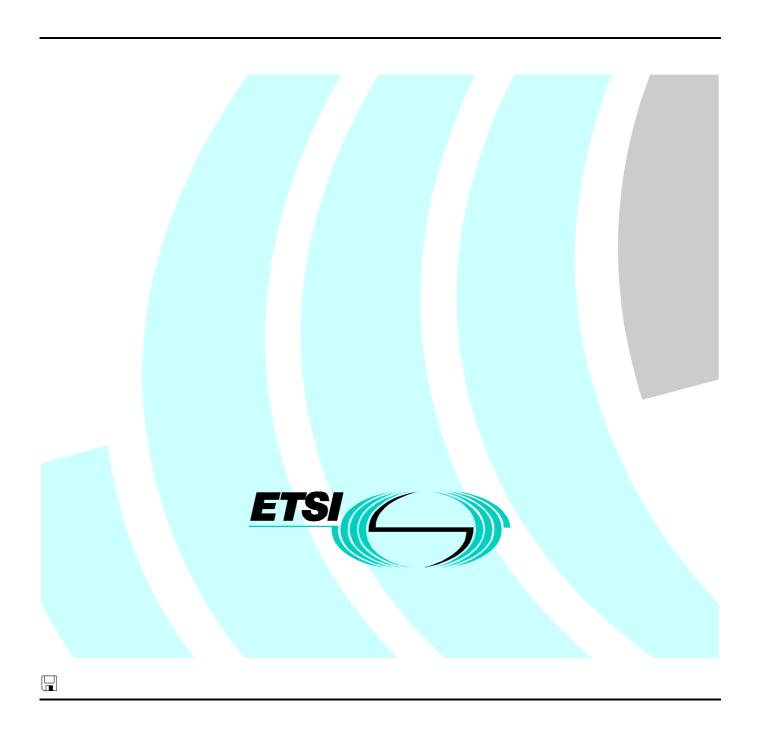
# TS 101 207-3 V1.2.1 (1999-05)

Technical Specification

Identification card systems;
Telecommunications IC cards and terminals;
Test methods and conformance testing for EN 726-7;
Part 3: Abstract Test Suite (ATS) and Implementation eXtra
Information for Testing (IXIT) proforma specification



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## **Foreword**

This Technical Specification (TS) has been produced by ETSI Project Pay Terminals and Systems (PTS).

The present document was handed over to the CEN Secretariat in order to become an EN through the CEN approval process. ETSI has produced a set of TSs which are not a copy of any CEN published EN. The TSs are complete and consistent documents with references among themselves. It has been made clear in these TSs that they are contributions to the CEN work for publication as EN (after re-editing the references). Once published by CEN as EN, ETSI will withdraw its TS.

The present document is part 3 of a multi-part document covering Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7, as identified below:

- Part 1: "Implementation Conformance Statement (ICS) proforma specification";
- Part 2: "Test Suite Structure and Test Purposes (TSS&TP) specification";
- Part 3: "Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma specification".

#### Overview of ETSI deliverables on EN 726 family

TS 101 206-1	"EN 726-1: Identification card systems; Telecommunications IC cards and terminals; Part 1: System overview".
TS 101 206-2	"EN 726-2: Identification card systems; Telecommunications IC cards and terminals; Part 2: Security framework".
TS 101 206-3	"EN 726-3: Identification card systems; Telecommunications IC cards and terminals; Part 3: Application independent card requirements".
TS 101 206-4	"EN 726-4: Identification card systems; Telecommunications IC cards and terminals; Part 4: Application independent card related terminal requirements".
TS 101 206-5	"EN 726-5: Identification card systems; Telecommunications IC cards and terminals; Part 5: Payment methods".
TS 101 206-6	"EN 726-6: Identification card systems; Telecommunications IC cards and terminals; Part 6: Telecommunications features".
TS 101 206-7	"EN 726-7: Identification card systems; Telecommunications IC cards and terminals; Part 7: Security module".

# Overview of ETSI deliverables on EN 726 conformance testing family

TS 101 207-3	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 3: Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma specification".
TS 101 207-2	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7, Part 2: Test Suite Structure and Test Purposes (TSS&TP) specification".
TS 101 207-1	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 1: Implementation Conformance Statement (ICS) proforma specification".
TS 101 204-3	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-4; Part 3: Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma specification".
TS 101 204-2	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-4, Part 2: Test Suite Structure and Test Purposes (TSS&TP) specification".
TS 101 204-1	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-4; Part 1: Implementation Conformance Statement (ICS) proforma specification".
TS 101 203-3	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-3; Part 3: Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma specification".
TS 101 203-2	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-3, Part 2: Test Suite Structure and Test Purposes (TSS&TP) specification".
TS 101 203-1	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-3; Part 1: Implementation Conformance Statement (ICS) proforma specification".

## 1 Scope

The present document specifies the Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma for *Application independent card requirements* defined in TS 101 200-7 [1].

ISO/IEC 9646-1 [2], ISO/IEC 9646-2 [3], ISO/IEC 9646-3 [4], ISO/IEC 9646-4 [5] and ISO/IEC 9646-5 [6] are used as the basis for the test methodology.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies.
- A non-specific reference to an ETS shall also be taken to refer to later versions published as an EN with the same number.
- [1] TS 101 200-7 (V1.2): "EN 726-7: Identification card systems; Telecommunications IC cards and terminals; Part 7: Security module".
- [2] ISO/IEC 9646-1 (1994): "Information technology; Open systems interconnection; Conformance testing methodology and framework; Part 1: General concepts".
- [3] ISO/IEC 9646-2: "Information technology; Open Systems Interconnection; Conformance testing methodology and framework; Part 2: Abstract Test Suite Specification".
- [4] ISO/IEC 9646-3: "Information technology; Open Systems Interconnection; Conformance testing methodology and framework; Part 3: The Tree and Tabular Combined Notation (TTCN)".
- [5] ISO/IEC 9646-4: "Information technology; Open Systems Interconnection; Conformance testing methodology and framework; Part 4: Test realization".
- [6] ISO/IEC 9646-5: "Information technology; Open Systems Interconnection; Conformance testing methodology and framework; Part 5: Requirements on test laboratories and clients for the conformance assessment process".
- [7] TS 101 207-1: "Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 1: Implementation Conformance Statement (ICS) proforma specification".
- [8] TS 101 207-2: Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7, Part 2: Test Suite Structure and Test Purposes (TSS&TP) specification".

## 3 Definitions and abbreviations

### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

Abstract Test Suite (ATS): see ISO/IEC 9646-1 [2].

Implementation Conformance Statement (ICS): see ISO/IEC 9646-1 [2].

ICS proforma: see ISO/IEC 9646-1 [2].

Implementation Extra Information For Testing (IXIT): see ISO/IEC 9646-1 [2].

Implementation Under Test (IUT): see ISO/IEC 9646-1 [2].

IXIT proforma: see ISO/IEC 9646-1 [2].

System Under Test (SUT): see ISO/IEC 9646-1 [2].

### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AC Access Condition(s)
ATC Abstract Test Case
ATS Abstract Test Suite
BCD Binary Code Decimal

CAD Card Accepting Device (this includes only the mechanics)

CHV Card Holder Verification

CLA CLAss

CS Cyclic Structure
DF Dedicated File
EF Elementary File

GR GRaphical form (TTCN)
IC Integrated Circuit

ICS Implementation Conformance Statement

ID IDentifier

IUT Implementation Under Test

IXIT Implementation eXtra Information for Testing

LFS Linear Fixed Structure LVS Linear Variable Structure

MF Master File

MP Machine Processable form (TTCN)

PC Physical Characteristics
SP Signals and Protocols
SUT System Under Test

TC Test Case
TP Test Purposes
TR TRansparent
TSS Test Suite Structure

TTCN Tree and Tabular Combined Notation

# 4 General aspects

The Abstract Test Suite (ATS) for TS 101 200-7 [1] implementations uses the remote test method as described in ISO/IEC 9646-2 [3]. This test method needs just one external interface towards the card. This function is provided by the Card Accepting Device (CAD) simulator.

Depending on options supported by the Implementation Under Test (IUT) it is possible that only part of the test suite is applicable. A test selection procedure needs to be performed to determine the applicability of a test to a particular IUT. Such selection shall be based on the Implementation Conformance Statement (ICS) and the Implementation eXtra Information for Testing (IXIT). The Abstract Test Cases (ATC) contained in the present document are a comprehensive reflection of the base standards.

For the various tests a number of files are needed. Ideally the ATS would use any available files on the IUT, but that would require a very detailed inquiry and initializing procedures of file identifiers, locations, access conditions and contents. Instead of that, this IXIT defines a configuration (a detailed data structure) containing a set of files with specific properties and contents. The ATS is able to use this configuration if existing, or create it alternatively. For this reason the client of the test house shall either equip the IUT with this configuration, or support the possibility to create the structure dynamically.

## 4.1 Test groups and subgroups

The test suite is structured following the rules defined in ISO/IEC 9646-2 [3].

#### 4.2 Preamble

The preamble of each test case consists of the events required to bring the IUT to the appropriate initial state. Examples of such are the creation of files and data. There may be alternate sequences of test steps which can be performed to initialize the IUT. These test steps in the preamble for TC have been chosen carefully, considering the test methodology and the other test co-ordination procedures that are available.

## 4.3 Test body

The test body is the sequence of actions within a test case that is essential to achieve the test purpose, followed by the verification of the IUTs ending state. Verdicts are assigned to the possible outcomes of the test cases.

#### 4.4 Postamble

At the end of the execution of a test body, the IUT may not be in the "initial state" (a stable state to be used as starting point for testing). A postamble is then required to bring the IUT from the ending state to an "initial state". For efficiency reasons the ATS does not remove created files nor does it undo modifications in files as long as this would not prevent the successful execution of other test cases. Therefore the IUT may contain at the end of the test campaign a file structure that is different from the initial state.

# 4.5 Instruction on completion of tables

The IXIT proforma request a number of aspects of the System Under Test (SUT) to be revealed. These aspects are questioned in the form of tables that shall be completed.

The meaning of the table columns is defined as follows:

Item	A sequential number used for referencing	
Description (e.g. file, type)	A descriptive text of the item under question	
Status/suggested value	An indication of requested support. Apart from literal values the following codes apply:	
	o Optional c Conditional Y Yes, available/Yes, can be created N Not available/Cannot be created Not applicable	
Support/value/supported value	A confirmation in the form of a code or value as defined for status	
Version	For a keyfile it indicates the current version	
Identifier	The file identifier in hexadecimal notation of a DF or EF	

# Annex A (normative): IXIT proforma

Notwithstanding the provisions of the copyright clause related to the text of the present document, ETSI grants that users of this document may freely reproduce the partial IXIT proforma in this annex so that it can be used for its intended purposes and may further publish the completed partial IXIT.

A.1 Identification summary
This clause is to be completed by the test laboratory.
IXIT number:
Test laboratory name:
Date of issue:
Issued to:
The test laboratory may include client or contract references in the identification summary.
A.2 Abstract Test Suite (ATS) summary
This clause is to be completed by the test laboratory.
System specification:
ATS specification:
Abstract test method(s):

# A.3 Test laboratory

This clause is to be completed by the test laboratory.
Test laboratory identification:
Accreditation status of the test service:
Accreditation reference:
Test laboratory manager:
Test laboratory contact:
Means of testing:
Means of testing may include any particular facilities such as: executable test suite and test equipment (e.g. card readers).
Instructions for completion:
The laboratory should include any special instructions necessary for the completion and return of the proforma by the client.

# A.4 Client

This clause is to be completed by the client.
Client identification:
Client test manager:
Client contact:
Test facilities required:
The client should record any particular facilities required for testing, if a range of facilities is provided by the test laboratory.
A.5 SUT (IC card)
Name:
Version:
ICS reference for IUT:

#### Limitations of the SUT:

The client may provide information explaining if any of the abstract test cases cannot be executed, e.g. non-support for file creation as intended.
Environmental conditions:
The test laboratory may specify the normal environmental conditions applying to the laboratory to be used for testing (e.g. temperature, humidity). The client should specify any tighter environmental conditions that may be necessary for the correct operation of the SUT.

## A.6 Protocols

In tables A.1 and A.2 the client identifies relevant information concerning any protocol in the SUT on which the IUT may depend.

Table A.1: Protocol used

Item	Protocol name	Status	Support	
1	T = 0	0.1		
2	T = 1	0.1		
NOTE:	o.1: It is mandato	It is mandatory to support at least one of these items.		

# A.6.1 T = 0 protocol

Prerequisite: A.1/1 -- T = 0 protocol

The supplier of the implementation shall indicate which options of the T=0 protocol specification are implemented.

No options for T = 0 on which IUT or test system depend are foreseen.

# A.6.2 T = 1 protocol

Prerequisite: A.1/2 -- T = 1 protocol

The supplier of the implementation shall indicate which options of the T = 1 protocol specification are implemented.

Table A.2: T = 1 protocol options

Item	Option	Status/	Support/	
		Suggested value	Supported value	
1	Maximum block size	≥ 32		
2	Chaining mechanism	0		
3	Maximum command data	≥ 64		
4	Maximum command size	≥ 32		
5	WTX request	0		
6	IFS request	0		
7	Error recovery by R-blocks	0		
8	Error recovery by S-blocks	0		

## A.7 Base standard identification

This clause is completed by the test laboratory and client in consultation.

Specification reference:				
Version:				
ICS reference:				

The ICS reference should reference a completed ICS which is conformant with the ICS proforma contained in TS 101 207-1 [7].

# A.8 Implementation options

# A.8.1 Configuration

For the purpose of testing a number of different files will be required. The ATS tries to use existing files with the requested properties. However if files with the requested properties do not exist, the ATS will attempt to create these files. If tests should be run that need non-existing files the IUT supplier shall make sure that the files can be created and written as specified. The IUT supplier is allowed to provide more files than specified in this configuration unless otherwise specified in the present document.

Figure A.1 depicts the required configuration (data structure). The following sections will list tables that provide details for each of the required files. The IUT supplier is requested to answer a number of questions for each of these files:

- Is the file with the defined properties already available on the IUT?
- If not available, can it be created according to the access conditions of the respective DF or MF?
- What is the file identifier (possibly the proposed file id)?
- In case of a keyfile, what is the current version?
- In case of a keyfile or CHV file, what is the value of the referenced key or the value of the CHV?

#### **Default settings for files:**

Unless otherwise specified in the present document, the following default settings apply to the files:

- access conditions using keys refer to key number 0 (the first key);
- files are not invalidated but can be;
- files are not readable when invalidated;
- files require the normal frequency for the authentication algorithm;
- clock stop is not allowed.

#### Additional default settings for CHV files:

- CHV files are enabled, but can be disabled;
- CHV files are activated;
- CHV change is allowed;
- CHV is to be presented in clear (not enciphered);
- CHV is coded in BCD format;
- CHV files contain the CHV in stead of the path to the CHV;
- CHV and UNBLOCK CHV counters are at their initial value.

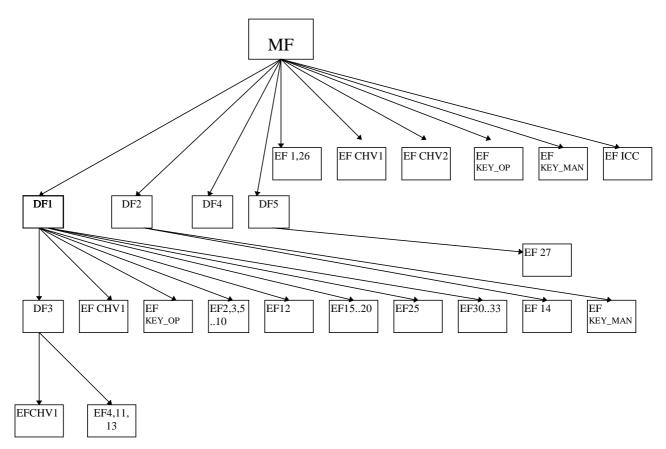


Figure A.1: Required configuration

Instead of completing all subsequent tables the IUT supplier is allowed, if the required configuration is already created, to declare support for all defined files, properties and suggested values in table A.3.

Table A.3: Full configuration support

Item	Full configuration support	Status	Support
1	The complete required configuration has been created and	0	
	initialized on the IUT		

## A.8.1.1 Dedicated Files (DF)

Table A.4 indicates DF with specific requested characteristic. If such a DF is available it will be used, otherwise the test suite will try to create it, if that is supported.

The IUT supplier shall indicate for each row whether the described file already exists. If not it shall be indicated whether it can be created. If the file exist or can be created and the actual or preferred value of the file identifier is other than the suggested value, a value shall be provided. A number of tests will be excluded if no support for existence nor creation is given.

Table A.4: Dedicated Files (DF)

		Existence		Creation		Identifier	
Item	File and properties	Status	Support	Status	Support	Suggested	Chosen
1	MF	Υ		N		'3F00'	
2	DF1 with AC = ALW for all functions, containing no other special files than those defined in table A.6	Y/N		Y/N/-		'8001'	
3	DF2 with AC = CHV1 on INVALIDATE REHABILITATE and DELETE FILE AC = PRO (key 1) on CREATE FILE containing no son files	Y/N		Y/N/-		'8002'	
4	DF3 with AC = ALW for all functions, containing no other special files than those defined in table A.6	Y/N		Y/N/-		'8003'	
5	DF4 with AC = PRO on DELETE, containing no son files	Y/N		Y/N/-		'8004'	
6	DF5 with AC = ALW	Y/N		Y/N		'8005'	

In order to allow files to be created in the MF and other DFs additional info shall be provided in the following table. If no keys are required the table need not to be completed.

Table A.5: Access Conditions (AC) of the MF and related key

Item	Property	Suggested	Support/Chosen
1	Access Condition for CREATE FILE in MF	PRO	
1.1	Key number in EF <sub>KEY_MAN</sub>	0	
2	Access Condition for DELETE FILE in MF	PRO	
2.1	Key number in EF <sub>KEY_MAN</sub>	0	

## A.8.1.2 Special Elementary Files (EF)

This subclause deals with special elementary files like CHV and key files. Each of the items in table A.6 contain a requirement for a special EF with specific requirements. If such an EF is supported (it exists), it will be used in the test. Otherwise the test system will try to create it, if allowed by the creation support column. As the creation of special files can be operating system dependent it is requested whether the creation of these files is allowed and their usage is conform the base standard. If the file does not exist and creation is not allowed the respective tests cannot be performed.

Table A.6: Availability of special Elementary Files (EF)

			Existence		ation	Version	
Item	File	Status	Support	Status	Support	Suggested	Support
1	EF <sub>CHV1</sub> in MF which can be disabled, enabled, blocked and unblocked	Y/N		Y/N/-			
2	EF <sub>CHV2</sub> in MF which can be blocked and unblocked	Y/N		Y/N/-		-	
3	EF <sub>KEY_MAN</sub> in MF	Y/N		Y/N/-		1	
4	EF <sub>KEY_OP</sub> in MF with AC = CHV1 on INVALIDATE and REHABILITATE; AC = CHV1+PRO on LOAD KEY FILE and UPDATE	Y/N		Y/N/-		1	
5	EF <sub>ICC</sub> in MF	Y/N		Y/N/-		-	
6	EF <sub>ID</sub> in MF	Y/N		Y/N/-		-	
7	EF <sub>LAN</sub> in MF	Y/N		Y/N/-		-	
8	EF <sub>NAM</sub> in MF	Y/N		Y/N/-		-	
9	$EF_{KEY\_OP}$ in DF1 with AC = PRO on LOAD KEY FILE	Y/N		Y/N/-		1	
10	EF <sub>CHV1</sub> in DF1 which is not allowed to be disabled and requires an enciphered CHV.	Y/N		Y/N/-		-	
11	EF <sub>CHV1</sub> in DF3 which is disabled and not allowed to be enabled.	Y/N		Y/N/-		-	
12	EF <sub>KEY_MAN</sub> in DF2 which contains no keys	Y/N		Y/N/-		1	

In order verify the card capabilities a number of keys is required. The following tables request the values of keys and codes (CHVs) in the key files of the MF and DF1 and DF3. All keys and codes in existing EFs that are related to actual Access Conditions (AC) need to be provided. Whenever two CHV files in one DF exist the corresponding CHVs shall be different. Additionally an algorithm ID must be given for each key. The usage of the algorithms may involve various modes of operation depending on their application. The semantics of the modes of operation shall be agreed between the IUT supplier and the test house. An example mode of operation could be 'Authentication for TESA-7'.

Table A.7: Key Values and secret codes

		Key value or secret code				
Item	file and key	Suggested	Chosen			
1	CHV1 in MF	'1234'				
1.1	UNBLOCK CHV	'3456'				
2	CHV2 in MF	'5678'				
2.1	UNBLOCK CHV	'7890'				
3	relevant key in EF <sub>KEY_MAN</sub> in MF	16 bytes counting up '00 1F'				
3.1	Algorithm ID	TESA-7 ('04')				
4	relevant key in EF <sub>KEY OP</sub> in MF	16 bytes counting down '1F 00'				
4.1	Algorithm ID	TESA-7 ('04')				
5	relevant key in EF <sub>KEY_OP</sub> in DF1	16 bytes counting up '20 3F'				
5.1	Algorithm ID	TESA-7 ('04')				
6	CHV1 in DF1 (enciphered)	'4321'				
6.1	UNBLOCK CHV	'6543'				
	(enciphered)					
7	CHV1 in DF3	'8765'				
7.1	UNBLOCK CHV	'0987'				

### Table A.8: Values of EF<sub>ICC</sub>

Item	Value	Data chosen
1	Clockstop	
2	IC card serial number	
3	IC card manufacturing references	
4	Card personlizer ID	
5	Embedder/ IC assembler ID	
6	IC identifier	
7	Card profile	
8	Type of Selection	

## Table A.9: Values of EFID

Item	Value	Data chosen
1	Identification number	
2	Date of activation	
3	Card expiry date	
4	Card sequence number	
5	Country Code	

### Table A.10: Values of EF<sub>LAN</sub>

Item	Value	Data chosen
1	First language preference	
2	Second language preference	
3	Third language preference	
4	Fourth language preference	

### Table A.11: Values of EF<sub>NAM</sub>

Item	Value	Data chosen
1	Card Holder Name	

## A.8.1.3 Linear Fixed Structure (LFS) Elementary Files (EF)

Table A.12 indicates LFS EFs with specific requested characteristics. If such an EF is available it will be used, otherwise the test suite will try to create it.

Table A.12: Linear Fixed Structure (LFS) Elementary Files (EF)

		Existence		Creation		Identi	fier
Item	File	Status	Support	Status	Support	Suggested	Support
1	EF15; an LFS EF with	Y/N		Y/N/-		'300F'	
	AC = AUT on DECREASE and INCREASE;						
	AC = CHV1 on CREATE FILE and READ						
2	EF16; an LFS EF with AC = PRO on INCREASE,	Y/N		Y/N/-		'3010'	
	INVALIDATE, REHABILITATE, UPDATE and						
	WRITE, while its record size is 5						
3	EF17; an LFS EF with AC = PRO on UPDATE,	Y/N		Y/N/-		'3011'	
	WRITE and READ while the referenced key does						
	not exist						
4	EF18; an LFS EF with AC = ALW on all functions,	Y/N		Y/N/-		'3012'	
	while record size = 5 and it has 255 records. The						
	file can be invalidated and extended.						
5	EF19; an LFS EF with AC = ALW on all functions,	Y/N		Y/N/-		'3013'	
	while record size = 30						
6	EF20; an LFS EF with AC = ALW on all functions,	Y/N		Y/N/-		'3014'	
	which has 5 records of size = 4						

## A.8.1.4 Linear Variable Structure (LVS) Elementary Files (EF)

Table A.13 indicates LVS EFs with specific requested characteristics. If such an EF is available it will be used, otherwise the test suite will try to create it.

Table A.13: Linear Variable Structure (LFS) Elementary Files (EF)

		Existence		Creation		Identifier	
Item	File	Status	Support	Status	Support	Suggested	Support
1	EF25; an LVS EF with AC = ALW on all functions, which is readable, can be extended and has 2 or more records	Y/N		Y/N/-		'3019'	
2	EF26; an LVS EF with AC = CHV1 on read	Y/N		Y/N/-		'301A'	
3	EF27; an LVS EF with AC = CHV1 on read	Y/N		Y/N/-		'301B'	

## A.8.1.5 Cyclic Structure (CS) Elementary Files (EF)

Table A.14 indicates CS EFs with specific requested characteristics. If such an EF is available it will be used, otherwise the test suite will try to create it.

Table A.14: Cyclic Structure (CS) Elementary Files (EF)

		Existence		Creation		Identifier	
Item	File	Status	Support	Status	Support	Suggested	Support
1	EF30; a CS EF with AC = PRO on INCREASE and DECREASE while the referenced key does not exist	Y/N		Y/N/-		'301E'	
2	EF31; a CS EF with AC = ALW for all functions which can be invalidated and extended. It is updatable, readable and non-empty. Its record size is 3	Y/N		Y/N/-		'301F'	
3	EF32; a CS EF with AC = ALW for all functions. It has 4 records of size 5	Y/N		Y/N/-		'3020'	
4	EF33; a CS EF with AC = PRO for INCREASE and DECREASE	Y/N		Y/N/-		'3021'	

## A.8.1.6 Transparent structure (TR) Elementary Files (EF)

Table A.15 indicates TR EFs with specific requested characteristics. If such an EF is available it will be used, otherwise the test suite will try to create it.

Table A.15: Transparent (TR) Elementary Files (EF)

		Existence		Creation		Identi	fier
Item	File	Status	Support	Status	Support	Suggested	Support
1	EF1; a TR EF with AC = PRO on READ,	Y/N		Y/N/-		'3001'	
	UPDATE, WRITE and EXECUTE while the						
	referenced key does not exist						
2	EF2; a TR EF with AC = CHV1 on READ	Y/N		Y/N/-		'3002'	
3	EF3; a TR EF with AC = CHV2 on READ	Y/N		Y/N/-		'3003'	
4	EF4; a TR EF with AC = ALW on READ	Y/N		Y/N/-		'3004'	
5	EF5; a TR EF with AC = AUT on READ	Y/N		Y/N/-		'3005'	
6	EF6; a TR EF with	Y/N		Y/N/-		'3006'	
	AC = CHV1/AUT on READ;						
	AC = CHV1/PRO on UPDATE						
7	EF7; a TR EF with	Y/N		Y/N/-		'3007'	
	AC = NEV on READ;						
	AC = PRO on UPDATE	> / / b 1		27/21/		IOOOOI	
8	EF8; a TR EF which is invalidated and contains a	Y/N		Y/N/-		'3008'	
_	program	Y/N		\//N1/		120001	
9	EF9; a TR EF which can be invalidated, deleted, extended and updated. It is readable when	Y/IN		Y/N/-		'3009'	
	invalidated. Its size is > 3 bytes.						
10	EF10; a TR EF which can be updated. Its size is >	Y/N		Y/N/-		'300A'	
10	300 bytes.	1714		1714/		000/1	
11	EF11; a TR EF with	Y/N		Y/N/-		'300B'	
	AC = ALW on READ;						
	AC = CHV1 on UPDATE						
12	EF12; a TR EF with	Y/N		Y/N/-		'300C'	
	AC = CHV2/AUT on READ;						
	AC = CHV2/PRO on UPDATE						
13	EF13; a TR EF with AC = CHV1 on READ	Y/N		Y/N/-		'300D'	
14	EF14; a TR EF with AC = CHV1 on READ	Y/N		Y/N/-		'300E'	

## A.8.2 File Identifiers

Table A.16: File identifiers

Item	Limitation	Suggested value	Supported value
1	Highest allowed file identifier	'FFFE'	
2	Unused file identifier	'3030'	

## A.8.3 Size limitations

**Table A.17: Size limitations** 

Item	Limitation	Suggested value	Supported value
1	Maximum level of nested DFs within the file structure on	>8	
	the IC card		
2	Maximum size of EFs or DFs to be created	>300	

# A.8.4 Keyfile version handling

When loading the first key in a keyfile by means of the LOAD KEY FILE command a version number should be indicated. The success of this command may depend on the value of this field.

Prerequisite: LOAD KEY FILE command supported.

Table A.18: Keyfile version handling

Item	Physical characteristic	Status	Support
1	Version number does not affect success of command	0	
2	Command must include current version number	0	
3	Command must include increased version number	0	
4	Version management is handled differently	0	

## A.8.5 CHV limitations

**Table A.19: CHV limitations** 

Item	Limitation	Suggested value	Supported value
1	CHV retry counter	3	
2	Successfull UNBLOCK CHV procedures	100	

## A.8.6 Memory behaviour

Table A.20: Specific memory failures

Item	Memory failure	Supported (Y/N)
1	Is it possible to activate and deactivate	
	the internal retry routine	
2	Is it possible to activate and deactivate a	
	memory failure	

# Annex B (normative): Abstract Test Suite (ATS)

This ATS has been produced using the Tree and Tabular Combined Notation (TTCN) according to ISO/IEC 9646-3 [4].

The ATS was developed on a separate TTCN software tool and therefore the TTCN tables are not completely referenced in the contents table. The ATS itself contains a Test Suite Overview Part which provides additional information and references.

The ATS specializes the tests that were defined in the TS 101 207-2 [8] by means of a formal language (TTCN). But not all test purposes were suitable to be expressed formally, therefore the following test groups have been excluded from the ATS:

- PC: Physical Characteristics;
- SP: (electronic) Signals and Protocols.

The actual execution of these test shall be based on the textual description within the TS 101 207-2 [8].

Additionally there are tests that need dedicated implementation procedures that are not provided within the ATS. Examples of these are tests that require the IUT to be in a state having memory problems. In these situations empty test steps are provided that can be specialized by the test house in order to fulfil the precondition.

#### Naming conventions:

- test case names correspond to the test purpose names in the TS 101 207-2 [8];
- IXIT parameters start with "PX\_";
- constraint names start with "S\_" for SEND constraints, and "R\_" for RECEIVE constraints;
- constraint names additionally contain an abbreviation of the command, e.g.: "SE\_" for SELECT.

## B.1 The TTCN Graphical form (TTCN.GR)

The TTCN.GR representation of this ATS is contained in an Adobe Portable Document Format™ file (GR900326.PDF contained in archive b6cr0ior.ZIP) which accompanies the present document.

# B.2 The TTCN Machine Processable form (TTCN.MP)

The TTCN.MP representation corresponding to this ATS is contained in an ASCII file (MP900326.MP contained in archive b6cr0ior.ZIP) which accompanies the present document.

NOTE: According to ISO/IEC 9646-3 [4], in case of a conflict in interpretation of the operational semantics of TTCN.GR and TTCN.MP, the operational semantics of the TTCN.GR representation takes precedence.

# **Bibliography**

The following material, though not specifically referenced in the body of the present document (or not publicly available), gives supporting information.

- ETS 300 406 (1995): "Methods for testing and Specification (MTS); Protocol and profile conformance testing specifications; Standardization methodology".
- ISO/IEC 9646-7 (1995): "Information technology; Open systems interconnection; Conformance testing methodology and framework; Part 7: Implementation Conformance Statements".
- ENV 1375-1: "Identification card systems; Intersector integrated circuit(s) and additional formats; Part 1: ID-000 card size and physical characteristics".
- EN 27811-1: "Identification card systems; Recording technique; Part 1: Embossing".
- EN 27816-1: "Identification cards; Integrated circuit(s) cards with cards contacts; Part 1: Physical characteristics".
- EN 27816-2: "Identification cards; Integrated circuit(s) cards with cards contacts; Part 2: Dimensions and location of the contacts".
- EN 27816-3: "Identification cards; Integrated circuit(s) cards with cards contacts; Part 3: Electronic signals and transmission protocols".
- ISO/IEC 7816-4: "Identification cards; Integrated circuit(s) cards with contacts; Part 4: Interindustry commands for interchange".
- ISO/IEC 7816-5: "Identification cards; Integrated circuit(s) cards with contacts; Part 5: Numbering system and registration procedure for application identifiers".

# History

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