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TECHNICAL SPECIFICATION

**Smart Cards;
UICC Application Programming Interface for Java Card™
for Contactless Applications
(Release 17)**



Reference

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ETSI

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Foreword

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In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

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1 Scope

The present document describes the UICC Application Programming Interface for Java Card™ for contactless Applications. Its purpose is to provide access for a contactless Applet to the services provided by the HCI protocol defined in ETSI TS 102 622 [4] for the communication via the CLF. In the scope of the present document contactless means support for the RF Technologies referenced by the HCI specification [4]. Low level functionality to manage gates and pipes as defined in the HCI specification [4] is not in the scope of the present document. Registration of contactless parameters and management of contactless Applets in card emulation mode is defined in "GlobalPlatform Card Specification Amendment C" [8]. Related APIs are provided in "Java Card API and Export File for Card Specification v2.2.1 (org.globalplatform)" [12] and "Card Contactless API and Export File for Card Specification v2.3 (org.globalplatform.contactless)" [13].

2 References

2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

- In the case of a reference to a TC SCP document, a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

Referenced documents which are not found to be publicly available in the expected location might be found at <https://docbox.etsi.org/Reference>.

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The following referenced documents are necessary for the application of the present document.

- [1] ISO/IEC 7816-3 (2006): "Identification cards - Integrated circuit cards - Part 3: Cards with contacts - Electrical interface and transmission protocols".
- [2] ETSI TS 102 221: "Smart Cards; UICC-Terminal interface; Physical and logical characteristics".
- [3] ETSI TS 101 220: "Smart Cards; ETSI numbering system for telecommunication application providers".
- [4] ETSI TS 102 622: "Smart Cards; UICC - Contactless Front-end (CLF) Interface; Host Controller Interface (HCI)".
- [5] ETSI TS 102 241: "Smart Cards; UICC Application Programming Interface (UICC API) for Java Card™".
- [6] ETSI TS 102 223: "Smart Cards; Card Application Toolkit (CAT)".
- [7] ETSI TS 102 226: "Smart Cards; Remote APDU structure for UICC based applications".
- [8] GlobalPlatform: "GlobalPlatform Technology, Contactless Services, Card Specification v2.3, Amendment C" Version 1.2.1.

NOTE: See <http://www.globalplatform.org/>.

- [9] ORACLE: "Java Card Platform, Java Card API, Classic Edition, Version 3.1".
- [10] ORACLE: "Java Card Platform, Runtime Environment Specification, Classic Edition, Version 3.1".

- [11] ORACLE: "Java Card Platform, Virtual Machine Specification, Classic Edition, Version 3.1".
- NOTE: ORACLE Java Card Specifications can be downloaded at <https://docs.oracle.com/en/java/javacard/3.1/index.html>.
- [12] GlobalPlatform: "Java Card API and Export File for Card Specification v2.2.1 (org.globalplatform)" v1.6.
- [13] GlobalPlatform: "Card Contactless API and Export File for Card Specification v2.3 (org.globalplatform.contactless)" v1.3.
- [14] ETSI TS 102 613: "Smart Cards; UICC - Contactless Front-end (CLF) Interface; Physical and data link layer characteristics".
- [15] ETSI TS 102 705: "Smart Cards; UICC Application Programming Interface for Java Card™ for Contactless Applications".

2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

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The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

Not applicable.

3 Definition of terms, symbols and abbreviations

3.1 Terms

For the purposes of the present document, the following terms apply:

contactless mode: used as a generic term for "Card Emulation Mode" and "Reader Mode"

contactless state: corresponds to the logical state of the contactless framework

HCP message: Message as specified in ETSI TS 102 622 [4].

NOTE: An HCP message can be of type "command", "event" or "response to a command".

RF Technology: radio frequency technology supported by the HCI (ETSI TS 102 622 [4]) protocol specification

3.2 Symbols

Void.

3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

APDU Application Protocol Data Unit

NOTE: According to ISO/IEC 7816-3 [1].

API Application Programming Interface

CAT Card Application Toolkit

CLF ContactLess Front-end

NOTE: According to ETSI TS 102 622 [4].

CLT ContactLess Tunnelling

NOTE: According to ETSI TS 102 613 [14].

CRS Contactless Registry Service

HCI Host Controller Interface

NOTE: According to ETSI TS 102 622 [4].

HCP Host Controller Protocol

NOTE: According to ETSI TS 102 622 [4].

RF Radio Frequency

SCP Smart Card Platform

SWP Single Wire Protocol

NOTE: According to ETSI TS 102 613 [14].

TC Technical Committee

TS Technical Specification

4 Description

4.1 Architecture

The present document describes an API and a Contactless Framework that enables Java Card™ Platform based Applets, defined in [9], [10] and [11], to send and receive messages using the HCI protocol as specified in ETSI TS 102 622 [4] and to act as contactless Applets. The Contactless Framework shall support card emulation mode and reader mode as specified in the HCI protocol specification (ETSI TS 102 622 [4]).

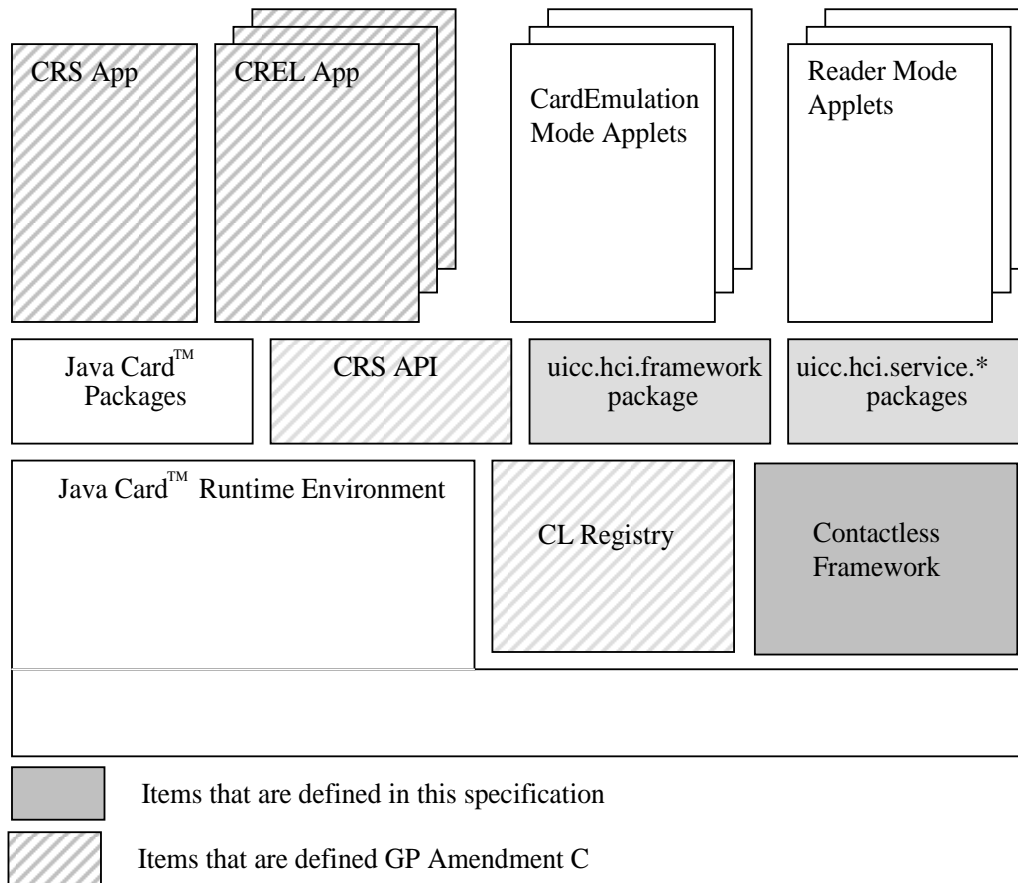


Figure 1

The functionality of the Contactless Framework and the configuration of contactless parameters and the management of contactless Applets in card emulation mode are based on the functionality provided by the Contactless Registry Service (CRS), the related APIs, the CRS Application and other features and concepts which are defined in the "GlobalPlatform Amendment C" [8] and the related APIs "Java Card API and Export File for Card Specification (org.globalplatform)" [12] and "Card Contactless API and Export File for Card Specification (org.globalplatform.contactless)" [13].

The API is event driven and based on the Observer/Listener pattern. Every HCI service is encapsulated by a dedicated Service interface. These Service interfaces shall allow the registration of Listener Interfaces and the activation of events. The Listener Interfaces shall be implemented by Java objects to receive HCI messages and events in the *onCallback* method. The Registration of Listener Interfaces and activation of events shall be persistent.

An *HCIMessage* object shall encapsulates one HCP message according to the HCI protocol as specified in ETSI TS 102 622 [4]. HCI message for the different contactless modes shall be identified by different types of interfaces. It is not guaranteed that any Applet originated HCI messages are sent before the completion of the execution of the current Applet. The Contactless Framework sends the Applet originated HCI messages in the same order as they are submitted by the Applet.

NOTE 1: The Contactless Framework may not have enough resources to send several HCI messages submitted during the same *onCallback* method execution. The Applet should be aware of this limitation (e.g. use suitable error handling strategy, or send only one HCI message in the *onCallback* method at a time).

Any *onCallback()* method of a Listener interface shall not be invoked again while another *onCallback()* method is still being executed. The Contactless Framework shall be able to receive one or more HCI messages while waiting for a response related to a command originated by the Applet (e.g. processing a request for parameters) especially for the `EVT_FIELD_OFF` case.

The HCI event `EVT_FIELD_OFF` shall be buffered and sent by the Contactless Framework as soon as the Contactless Framework becomes the current context.

All other HCI messages shall be delivered to the Applet instance in the same order as they were received by the Contactless Framework.

Contactless State is the logical state of the Contactless Framework it can take the value enabled and disabled. It refers to the "contactless functionality in the UICC" as used in ETSI TS 102 223 [6].

This state can be changed with the mechanisms defined in ETSI TS 102 223 [6], and by the method *setCommunicationInterface()* API method of "GlobalPlatform Amendment C" [8].

The Contactless State applies only to the Card Emulation Mode and the Reader Mode, and it does not apply to the Connectivity service.

When the Contactless State is disabled, the Contactless Framework shall throw an *HCIEException* with reason code *HCI_CURRENTLY_DISABLED* when an Applet invokes a method which requires that the Contactless State is enabled.

When the Contactless State is enabled and the state of the SWP [14] interface is *DEACTIVATED* and when the Contactless Framework needs to send data over the SWP [14] interface then it shall send the proactive command *ACTIVATE* defined in ETSI TS 102 223 [6] if supported by the terminal. The *ACTIVATE* command is defined as system proactive command sent by the CAT Runtime Environment defined in ETSI TS 102 241 [5].

NOTE 2: An Applet may use the method *HCIDevice.isHCIServiceAvailable()* to check if the Contactless Framework supports sending the *ACTIVATE* command on pre Rel-11 implementations.

The underlying HCI communication layer as defined in ETSI TS 102 622 [4] provides reliable message transfer. Therefore no errors can be reported to the application layer. For this reason no error reporting and recovery mechanism related to HCI communication are defined in the present document.

The API is split into two parts. One is a generic framework that provides a factory class to retrieve the different Service instances that are provided by the HCI implementation, and that allows discovery of whether the UICC is inserted into a HCI network. The second part of the API implements the Services that are defined for the HCI protocol, card emulation mode, reader mode and connectivity service. The support of the package implementing reader mode, *uicc.hci.services.readermode*, is optional.

4.2 Card Emulation Mode

In card emulation mode there exist two exclusive ways to exchange messages over the HCP [4]. The first is based on APDUs provided to the Applet through its *process()* method as specified in "Application Programming Interface, Java Card™ Platform, 3.0.1 Classic Edition" [9]. The second is made available by the package *uicc.hci.services.cardemulation* defined in the present document.

The *uicc.hci.services.cardemulation* package shall provide the communication technologies for the card emulation mode defined by the HCP as specified in ETSI TS 102 622 [4]. The Contactless Framework shall bind the services defined in the *uicc.hci.services.cardemulation* package to the underlying HCI resources (e.g. gates and pipes) defined in the HCI architecture as specified in ETSI TS 102 622 [4]. The parameters to be used by the HCI layer may be provided to the framework as defined in "GlobalPlatform Amendment C" [8].

In case of a communication error on the RF interface (i.e. the RF error indicator is set), messages are not propagated to the application layer in CardEmulation Mode.

For the API defined in the present document the card emulation capability shall be provided to Applets through a service interface implemented by the Contactless Framework. Applet instances shall receive *CardEmulationMessages* after the registration of a *CardEmulationListener* interface to a *CardEmulationService* only if the *EVENT_ON_SEND_DATA* is activated for the Applet instance. If the *EVENT_ON_SEND_DATA* is deactivated for the Applet instance and an APDU is received via the *EVT_SEND_DATA*, the *javacard.framework.APDU* class and the *process()* method of the Applet instance shall be invoked.

It shall not be possible to switch between the usage of the *CardEmulationListener* interface and the invocation through the *process()* method within a contactless application session, i.e. not before the Applet has been deselected and selected again. Applets communicating through the *process()* method shall also be able to use the API services defined in the present document which do not require a *CardEmulationListener* registration (e.g. requesting the power mode or connectivity service).

If the current application was selected through a *SELECT* by DF name, the Contactless Framework shall handle an application session termination according to ETSI TS 102 221 [2] independent of the interface used for APDU exchange.

Applet selection and deselection shall be performed by the Contactless Framework according to the rules defined in the "Java Card Platform, Runtime Environment Specification, Classic Edition, Version 3.1" [10] and in "GlobalPlatform Amendment C" [8].

The *select()* method of the Applet instance shall always be invoked for an Applet selection according to the rules given in "Java Card Platform, Runtime Environment Specification, Classic Edition, Version 3.1" [10].

In case the Applet instance has registered the *CardEmulationListener* and has activated the *EVENT_ON_SEND_DATA* the *process()* method of this Applet instance shall not be invoked during the selection. The *CardEmulationListener.onCallback* method shall be called by the Contactless Framework. The HCP message that resulted in the selection of this Applet according to the rules defined in "GlobalPlatform Amendment C" [8] shall be provided by the *CardEmulationMessage*.

If the HCI event *EVT_FIELD_OFF* or *EVT_CARD_DEACTIVATED* defined by the HCP specified in ETSI TS 102 622 [4] is received by the Contactless Framework and the UICC is still powered, the Applet instance shall be deselected according to "GlobalPlatform Amendment C" [8] by invocation of the *deselect()* method.

When the HCI event *EVT_FIELD_OFF* is received and if the Applet instance has activated this event the Contactless Framework shall raise an *EVENT_FIELD_OFF* before the invocation of the *deselect()* method of the Applet instance.

After the deselection of the Applet instance, it shall not be invoked by any other event defined in the interface *CardEmulationListener* until the Applet instance is selected again.

4.3 Reader Mode

4.3.0 Reader Mode service description

The functionality to support the reader mode is provided in the package *uicc.hci.services.reader*. In reader mode the communication technologies defined by the contactless platform for reader mode [4] are supported. The Contactless Framework shall bind the services defined in *uicc.hci.services.reader* to the corresponding resources (e.g. gates and pipes) defined by the contactless platform for reader mode [4].

In case of a communication error on the RF interface (i.e. the RF error indicator is set), messages are propagated to the application layer in Reader Mode.

An Applet has to be in the selectable state (according to the Java Card™ specification [9], [10] and [11]) to act as a contactless Applet in reader mode.

Reader mode Applets shall follow the extended lifecycle model that is defined in "GlobalPlatform Amendment C" [8] for contactless Applets in card emulation mode (i.e. following Application Availability States and the related transition rules).

There shall not be more than one reader mode Applet in the state *ACTIVATED* (a state defined in "GlobalPlatform Amendment C" [8]) at any time.

The installation parameters for contactless reader mode applications are specified in ETSI TS 102 226 [7].

When the state of a reader mode Applet changes to lifecycle *ACTIVATED* (according to "GlobalPlatform Amendment C" [8]) the Contactless Framework shall ensure that the HCI gates and pipes are setup for the RF technologies that are supported by the reader mode Applet.

The procedures for receiving and sending messages over the contactless interface and the procedures for notifications about the reader status are described in the following clauses.

4.3.1 Receiving and sending messages over the contactless interface

To be able to receive and send messages over the contactless interface in reader mode the Applet shall activate the *ReaderListener.EVENT_TARGET_DISCOVERED*. An Applet shall only be able to activate this event or to use the *restartReadermodeProcedure* method if it is in lifecycle state *ACTIVATED*. To release the CLF control at the end of a transaction an Applet shall deactivate the *ReaderListener.EVENT_TARGET_DISCOVERED*.

When an Applet lifecycle state changes from ACTIVATED to DEACTIVATED the Contactless Framework shall enforce that the *ReaderListener.EVENT_TARGET_DISCOVERED* is deactivated.

The Contactless Framework shall request the reader mode control on the CLF by sending the HCI events *EVT_READER_REQUESTED* and *EVT_END_OPERATION* according to the state of the reader mode Applet. The *EVT_READER_REQUESTED* shall be sent by the Contactless Framework if an Applet instance activates the event *ReaderListener.EVENT_TARGET_DISCOVERED*. The HCI event *EVT_END_OPERATION* shall be sent to the CLF when an Applet instance or the Contactless Framework deactivates the event *ReaderListener.EVENT_TARGET_DISCOVERED*.

The Contactless Framework shall inform the Applet instance which has activated the *ReaderListener.EVENT_TARGET_DISCOVERED* when a target is discovered on one of the RF technologies the Applet instance is registered to with its installation parameters as specified in ETSI TS 102 226 [7].

The Contactless Framework shall ensure that the *ReaderListener.EVENT_TARGET_DISCOVERED* is deactivated for an Applet when access to the interface is disabled on the UICC level.

4.3.2 Receiving notifications about reader status

To be able to receive CLF reader status notifications the Applet shall activate the event *ReaderListener.EVENT_READER_STATUS*. An Applet shall only be able to activate this event if it is in lifecycle state ACTIVATED. To release the CLF reader status notifications an Applet shall deactivate the event *ReaderListener.EVENT_READER_STATUS*.

When the Applet lifecycle state changes from ACTIVATED to DEACTIVATED the Contactless Framework shall enforce that the event *ReaderListener.EVENT_READER_STATUS* is deactivated.

The Contactless Framework shall request the CLF reader status notification on the CLF by sending the HCI commands *ANY_SET_PARAMETER(STATUS_EVENT_EN)* with the respective value to the HCI registry of the Reader Gate(s) of the RF technologies for which the Applet instance is registered according to its installation parameters, according to rules below:

- HCI *ANY_SET_PARAMETER(STATUS_EVENT_EN)* command with the value 1 shall be sent when an Applet instance activates the event *ReaderListener.EVENT_READER_STATUS*.
- HCI *ANY_SET_PARAMETER(STATUS_EVENT_EN)* command with the value 0 shall be sent when an Applet instance or the Contactless Framework deactivates the event *ReaderListener.EVENT_READER_STATUS*.

The Contactless Framework shall notify the Applet instance which has activated the event *ReaderListener.EVENT_READER_STATUS* as described above when the reader status has changed for the respective RF technology.

The Contactless Framework shall ensure that the event *ReaderListener.EVENT_READER_STATUS* is deactivated for all Applet instances when access to the interface is disabled on the UICC level.

4.4 Connectivity Service

The functionality to support the connectivity mechanisms specified in the HCI specification [4] is provided in the package *uicc.hci.services.connectivity*. The Contactless Framework shall bind the services defined in *uicc.hci.services.connectivity* to the corresponding resources (e.g. gates and pipes) specified in the HCI specification [4] for connectivity.

The Contactless Framework shall only accept the request to send the HCI event *EVT_CONNECTIVITY* or *EVT_TRANSACTION* specified by the HCP [4] when initiated by an Applet instance calling one of the *ConnectivityService* interface methods in the following situations:

- the Applet is the selected Applet in card emulation mode;
- the Applet is in the state ACTIVATED (according to "GlobalPlatform Amendment C" [8]) for the reader mode;

- the Applet has been notified by the event `EVENT_CLT_TRANSACTION_A_DONE`. In this case the Applet does not need to be selected nor has to be in the life cycle state `ACTIVATED`.

4.5 CLT specific extension to Card Emulation Mode

The Contactless Framework shall observe the communication in CLT mode as specified in the SWP specification [14] between the CLF and arbitrary entities on the UICC. It shall notify every Applet which registered a `CLTObserverListener` object and activated the event `EVENT_CLT_TRANSACTION_A_DONE` about the end of a CLT session Type A (see ETSI TS 102 613 [14]).

5 Interaction with Proactive Functionality

The *ProactiveHandler* defined in ETSI TS 102 241 [5] shall not be available when the contactless Applet is invoked with the callback methods defined in the present document, or when the Applet is invoked with the `process()` method of the Applet class defined in Application Programming Interface, "Java Card Platform, Runtime Environment Specification, Classic Edition, Version 3.1" [9] (in card emulation mode). If the Applet wants to use proactive functionality it shall use the Connectivity Service defined above to send an HCI event `EVT_CONNECTIVITY` to the terminal, register for `EVENT_EVENT_DOWNLOAD_HCI_CONNECTIVITY` and return. All the proactive functionality of the UICC API defined in ETSI TS 102 241 [5] is then available to the Applet when that Applet instance is triggered with the `processToolkit()` method defined in ETSI TS 102 241 [5].

6 Java Card Resource Handling

The Runtime Environment invokes an Applet by calling the *onCallback()* method of the respective contactless Listener Interface. As a consequence all the rules defined in "Java Card Platform, Runtime Environment Specification, Classic Edition, Version 3.1" [10] apply (e.g. access to `CLEAR_ON_DESELECT` transient objects, context switch, multi selectable).

When the *onCallback()* method of a Listener Interface is invoked, no transaction shall be in progress.

The context as defined in the Java Card™ specification [9], [10] and [11] shall be set to the context of the Applet which implements the *onCallback()* method. The previous context (context of the caller) shall be the context of the Contactless Framework.

Upon return from the *onCallback()* method a pending transaction shall be aborted.

Annex A (normative): Java Card™ Platform HCI API for the UICC

The source files for the UICC Application Programming Interface for Java Card™ for contactless Applets (102705_Annex_A_Java.zip and 102705_Annex_A-HTML.zip) are contained in ts_102705v170000p0.zip, which accompanies the present document.

Annex B (normative): Java Card™ Platform HCI API for the UICC identifiers

The export files for the uicc.hci.* package (102705_Annex_B_Export_Files.zip) are contained in ts_102705v170000p0.zip, which accompanies the present document.

NOTE: See the "Java Card Platform, Virtual Machine Specification, Classic Edition, Version 3.1" [11]. It should be noted that the CAP and Export file format version, defined in the "Java Card Platform, Virtual Machine Specification, Classic Edition, Version 3.1" [11] was updated to the version 2.3. Implementations that support these new versions are backward compatible with earlier versions of the Java Card Platform specification.

Annex C (normative): HCI API package version management

Table C.1 describes the relationship between each ETSI TS 102 705 [15] specification version and its HCI API packages AID and Major, Minor versions defined in the export files.

Table C.1

ETSI TS 102 705 [15]	uicc.hci.framework package	
	AID	Major, Minor
11.0.0	A0 00 00 00 09 00 05 FF FF FF FF 89 16 01 00 00	1.3

Table C.2

ETSI TS 102 705 [15]	uicc.hci.services.cardemulation package	
	AID	Major, Minor
11.0.0	A0 00 00 00 09 00 05 FF FF FF FF 89 16 02 01 00	1.1

Table C.3

ETSI TS 102 705 [15]	uicc.hci.services.connectivity package	
	AID	Major, Minor
11.0.0	A0 00 00 00 09 00 05 FF FF FF FF 89 16 02 02 00	1.0

Table C.4

ETSI TS 102 705 [15]	uicc.hci.services.readermode package	
	AID	Major, Minor
11.0.0	A0 00 00 00 09 00 05 FF FF FF FF 89 16 02 03 00	1.1

Table C.5

ETSI TS 102 705 [15]	uicc.hci.services.cltobserver package	
	AID	Major, Minor
13.0.0	A0 00 00 00 09 00 05 FF FF FF FF 89 16 02 04 00	1.0

The package AID coding is defined in ETSI TS 101 220 [3]. The HCI API packages' AIDs are not modified by changes to Major or Minor Version.

The Major Version shall be incremented if a change to the specification introduces byte code incompatibility with the previous version.

The Minor Version shall be incremented if a change to the specification does not introduce byte code incompatibility with the previous version.

Annex D (informative): Change history

Meeting	Document	CR	Rev	Cat	Title	Resulting Version
SCP#45					First publication of the specification	9.0.0
SCP#46	SCP(10)0286	001	1	F	Clarification about restartReaderModeProcedure method use	9.1.0
SCP#46	SCP(10)0287	002	1	F	Wrong Reference in HCIDevice.java related to UICC power mode	9.1.0
SCP#46	SCP(10)0288	003	-	F	Correction of wrong constant value and name	9.1.0
SCP#46	SCP(10)0289	004	-	F	Clarification of contactless framework behaviour when HCI event EVT_FIELD_OFF is received	9.1.0
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History

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