

ETSI TS 122 220 V9.10.0 (2012-09)



Technical Specification

**Universal Mobile Telecommunications System (UMTS);
Service requirements for Home Node B (HNB)
and Home eNode B (HeNB)
(3GPP TS 22.220 version 9.10.0 Release 9)**



ReferenceRTS/TSGS-0122220v9a0

KeywordsUMTS

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

Individual copies of the present document can be downloaded from:

<http://www.etsi.org>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at

<http://portal.etsi.org/tb/status/status.asp>

If you find errors in the present document, please send your comment to one of the following services:

http://portal.etsi.org/chaicor/ETSI_support.asp

Copyright Notification

No part may be reproduced except as authorized by written permission.
The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2012.
All rights reserved.

DECT™, **PLUGTESTS™**, **UMTS™** and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.
3GPP™ and **LTE™** are Trade Marks of ETSI registered for the benefit of its Members and
of the 3GPP Organizational Partners.
GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<http://ipr.etsi.org>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

Contents

Intellectual Property Rights	2
Foreword.....	2
Foreword.....	5
Introduction	5
1 Scope	6
2 References	6
3 Definitions, symbols and abbreviations	6
3.1 Definitions	6
3.2 Abbreviations	7
4 General	7
4.1 Description	7
5 Common requirements for Home NodeB / Home eNodeB.....	7
5.1 HNB and HeNB Installation, identification and location requirements	7
5.2 OA&M Requirements	8
5.3 Access Control requirements.....	8
5.3.1 General.....	8
5.3.2 Closed Subscriber Group	9
5.4 Display requirements.....	9
5.4.1 CSG Type	9
5.4.2 HNB Name	10
5.5 Mobility Aspects for Home NodeB and Home eNodeB	10
5.5.1 PLMN selection	10
5.5.2 Idle-mode operation.....	10
5.5.3 Connected mode operation	11
5.5.4 Manual CSG selection	11
5.6 Services support	11
5.6.1 General.....	11
5.6.2 Emergency services	12
5.7 Local IP access in the home based network	12
5.7.1 Description.....	12
5.7.2 General requirements.....	12
5.8 Void.....	13
5.9 Local IP Access to the Internet.....	13
5.10 UICC and H(e)NB.....	13
6 Requirements for Home NodeB	14
6.1 Access Control	14
6.2 Void.....	14
7 Requirements for Home eNodeB	14
7.1 Services support	14
7.1.1 Void	14
8 Quality of Service.....	14
8.1 General	14
8.2 Admission Control	14
9 Security and privacy.....	14
9.1 General	14
9.2 Security Requirements	14
9.3 Privacy.....	15
10 Charging Aspects	15

Annex A (informative):	Use cases	16
Annex B (informative):	Clarification of H(e)NB Access Modes.....	19
Annex C (informative):	Overview of identifiers and names.	20
Annex D (informative):	Change history	22
History		23

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

Introduction

In Rel-8, 3GPP has specified the basic functionalities for the support of Home Node B (HNB) and Home eNodeB (HeNB). The requirements for these basic functionalities were captured in TS 22.011.

From Rel-9 onward, it has been agreed to consolidate all the requirements from Rel-8 and further requirements for HNB and HeNB in a new TS, which is this specification.

1 Scope

This specification defines the service requirements for the basic functionalities for the support of Home NodeB (HNB) and Home eNodeB (HeNB) – jointly referred to as H(e)NB – and the further functionalities that will enable the mobile operators to provide more advanced services as well as improving the user experience.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] Void
- [3] 3GPP TS 22.246: "Multimedia Broadcast/Multicast Service (MBMS) user services; Stage 1".
- [4] 3GPP TS 22.101: "Service Aspects; Service Principles".
- [5] TR-069 Amendment 2: "CPE WAN Management Protocol v1.1, Broadband Forum", viewable at <http://www.broadband-forum.org/technical/download/TR-069Amendment2.pdf>
- [6] 3GPP TS 25.304: "User Equipment (UE) procedures in idle mode and procedures for cell reselection in connected mode".
- [7] 3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) procedures in idle mode".
- [8] 3GPP TS 22.115: "Service aspects; Charging and billing".
- [9] 3GPP TS 22.268: "Public Warning System (PWS) requirements".
- [10] 3GPP TS 22.011: "Service accessibility".
- [11] 3GPP TS 31.115: "Secured packet structure for (Universal) Subscriber Identity Module (U)SIM Toolkit applications".
- [12] 3GPP TS 31.116: "Remote APDU Structure for (U)SIM Toolkit applications".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

Closed access mode: H(e)NB provides services only to its associated CSG members.

Home based network: An IP based network in the same premises as, and is connected to, the H(e)NB.

Hybrid access mode: H(e)NB provides services to its associated CSG members and to non-CSG members.

Open access mode: H(e)NB operates as a normal NodeB or eNodeB.

HNB: A HNB is a Customer-premises equipment that connects a 3GPP UE over UTRAN wireless air interface to a mobile operator's network using a broadband IP backhaul.

HeNB: A HeNB is a Customer-premises equipment that connects a 3GPP UE over EUTRAN wireless air interface to a mobile operator's network using a broadband IP backhaul.

H(e)NB Gateway: H(e)NB Gateway is a mobile operator's equipment (usually physically located on mobile operator premises) through which the H(e)NB gets access to mobile operator's core network.

H(e)NB Hosting Party: A H(e)NB Hosting Party has a contractual relationship with the operator, related to the provision of access to the operator's network via one or more H(e)NBs.

NOTE: A H(e)NB Hosting Party is likely to have the billing relationship with the operator. A H(e)NB Hosting Party will typically be the 'lead' user in a household, but could be e.g. the corporate IT manager in an enterprise context.

H(e)NB Subsystem: A H(e)NB Subsystem consists of the H(e)NB and the H(e)NB Gateway.

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

CSG	Closed Subscriber Group
HNB	Home NodeB
HeNB	Home eNodeB
H(e)NB	HNB and HeNB

4 General

4.1 Description

Access to 3G and evolved 3G (EPS) services may be provided via UTRAN or E-UTRAN cellular base stations belonging to e.g. domestic, business, commercial enterprises. This type of access may be provided by the PLMN by means of HNB and HeNB (jointly referred to as H(e)NB). The H(e)NB provides services either only to a Closed Subscriber Group (CSG) or to other mobile subscribers too. The H(e)NB is connected to the mobile operator core network using IP via any suitable access technology.

5 Common requirements for Home NodeB / Home eNodeB

5.1 HNB and HeNB Installation, identification and location requirements

- H(e)NB shall have a unique equipment identity.
- All the H(e)NBs serving the same CSG share the same unique (within the PLMN) identity called CSG Identity.

NOTE: CSGs of different PLMNs are considered different, even if the PLMNs are indicated to the UE as "equivalent PLMNs" [10].

- It shall be possible to support at least 125 million CSG Identities within a PLMN of an operator.
- The radio transmitter of a H(e)NB shall not be activated until configured and authorised by the operator.
- When installing, provisioning, configuring or re-configuring an H(e)NB the operator shall be able to:
 - verify the H(e)NB's identity.
 - obtain the geographical location of the H(e)NB.

NOTE: The scenario where a H(e)NB is connected to one operator's network and later changed to another operator's network is not required.

- The operator shall be able to determine that the H(e)NB is installed and operated in accordance with all relevant regulatory requirements.
- The operator shall be able to configure the settings of the H(e)NB. In the case where the H(e)NB has detrimental impact on the spectrum usage, the H(e)NB can be set to out-of-service by the operator.
- Installation and activation of a new H(e)NB shall require no reconfiguration of the operators network.
- The impact of H(e)NB on the core network should be minimised.

5.2 OA&M Requirements

- H(e)NB shall support the automatic discovery of an operator's management platform.
- It shall be possible to make use of the operator's management platform to carry out OA&M functions for H(e)NB. The management connection between H(e)NB and the operator's management platform shall be end-to-end secure.
- H(e)NB shall support OA&M procedures which allow the operator to remotely configure the H(e)NB, deploy software upgrades, detect and report changes in RF conditions and perform general OA&M tasks. The OA&M procedures shall be as closely aligned as possible with those that are commonly used in broadband access networks such as defined in TR-069 Amendment 2 [5].
- If the connection between H(e)NB and the rest of the operator network is out of service, then it shall be possible within an operator's defined time period for the H(e)NB to deactivate the air-interface.
- When the H(e)NB Hosting Party authentication is required by the MNO, the H(e)NB shall not activate the air-interface unless the authentication of the H(e)NB Hosting Party has been performed successfully.

5.3 Access Control requirements

5.3.1 General

- Subject to operator and H(e)NB Hosting Party agreement, the operator shall be able to configure the H(e)NB with open, hybrid or closed access mode.
- When the H(e)NB is configured for open access mode, it shall be possible for the H(e)NB to provide services to subscribers of any PLMN, subject to roaming agreement.
- When the H(e)NB is configured for hybrid access mode, it shall be possible for the H(e)NB to provide services to:
 - its associated CSG members, and
 - subscribers of any PLMN not belonging to its associated CSG, subject to roaming agreement.

- When the H(e)NB is configured for closed access mode, only users that belong to its associated CSG shall be able to obtain services.
- CSG members may include subscriber of any PLMN subject to roaming agreement.

5.3.2 Closed Subscriber Group

- The CSG manager shall be able, under the operator supervision, to add, remove and view CSG membership

NOTE: the interaction of the user with the application that manages the Allowed CSG Lists is out of scope of 3GPP (e.g. Web interface).

- The UE shall contain a list of allowed CSG identities (Allowed CSG List). It shall be possible to store the Allowed CSG List in the USIM. When available, the list on the USIM shall be used. It shall be possible for both, the operator and the UE, to modify the Allowed CSG List.
- The UE shall allow the user to introduce new CSGs to the Allowed CSG List by means of manual CSG selection only.
- The UE shall maintain an operator controlled list of allowed CSG identities (Operator CSG list). It shall be possible to store the Operator CSG list in the USIM. When available, the list on the USIM shall be used. It shall be possible for the operator to modify the Operator CSG List.
- The two lists are maintained independently from each other. A change in the Operator CSG list shall not trigger the UE to modify the Allowed CSG list to reflect such change automatically.
- All CSG cells belonging to a CSG identity not included in the Allowed CSG List or Operator CSG list shall be considered not suitable by the UE ('not suitable' as specified in TS 25.304 [6] and TS 36.304 [7]).
- Each CSG identity shall be associated to a subscriber group which identifies the subscribers allowed to access the CSG.
- When the subscriber group is updated, the affected UE shall be informed accordingly.
- For temporary members, it shall be possible to limit the period of time during which the subscriber is considered a member of a CSG (granted access rights). It shall be possible to configure a time period for each temporary member.
- The time period shall be configurable by the CSG manager and/or the operator operating the CSG and shall span from 1 decihour to several days. Unlimited membership to the CSG is allowed.
- When a CSG is no longer considered available to provide services, except for emergency calls (i.e. due to time period expiry or removal of the CSG membership), it shall be possible to continue the established communication in another cell not belonging to this CSG.
- In hybrid access mode when services cannot be provided to a CSG member due to a shortage of H(e)NB resources it shall be possible to continue the established communication of non-CSG members in another cell.
- In hybrid access mode, to minimise the impact on CSG members from established communication of non-CSG members, it shall be possible for the network to allow the data rate of established PS communication of non-CSG members to be reduced.

5.4 Display requirements

5.4.1 CSG Type

The CSG Type is an indicator provided by the UE that is configured by the operator.

- It shall be possible for the operator to associate a CSG identity in the UE's Allowed CSG List or the Operator CSG List with a CSG Type. Therefore, it is possible that a CSG identity stored in different UEs may either be associated with the same CSG Type or with different CSG Types.

NOTE: The CSG Type allows, for example, information on the applied billing regime to be given to the user.

- When a UE camps on a cell with a CSG identity that is part of the UE's Allowed CSG List or the Operator CSG List and has an associated CSG Type, a UE that has a display capability shall provide the user with the associated CSG Type. A UE that does not have a display capability may provide the CSG Type by other means, e.g. voice notification.
- If the CSG Type for a CSG identity has not been configured in the UE, the UE may provide the HNB Name instead. In this case, the user is notified that the UE is providing the HNB Name rather than CSG Type.
- It shall be possible to store the CSG Type in the USIM. As an option, the CSG Type may be stored in the ME. If the CSG Type is present in the USIM, a CSG Type stored in the ME shall be ignored. If the CSG Type is present in the Operator CSG list, a CSG Type present in the Allowed CSG list shall be ignored.
- The CSG Type shall be stored in text and/or graphical format. When the CSG Type has a text component, the CSG Type text length shall not exceed 12 characters in any language.

5.4.2 HNB Name

HNB Name is a common name referring to HNB/HeNB as defined in TR 21.905 [1].

- It shall be possible for a CSG cell and for a hybrid cell to broadcast a HNB Name in free text format. The UE may display the HNB Name when camping on the cell where it is broadcasted. The HNB Name, if broadcasted or stored in the UE, shall be available to the user during manual CSG selection. The HNB Name shall be configurable by the operator or the H(e)NB Hosting Party at the discretion of the operator.
- The HNB Name length shall not exceed 48x8 bits.

NOTE: In order to allow the maximum flexibility in the way the HNB Name is configured in any language, UTF-8 coding should be used; this allows a maximum length of 48 characters coded on one byte, 24 characters on two bytes, 16 characters on 3 bytes down to a minimum of 12 characters if all characters are encoded on 4 bytes.

- The HNB Name may be stored in the USIM. If the HNB Name stored on the USIM is available, it shall take precedence over the broadcasted HNB Name.
- The HNB Name may be stored optionally in the ME. If the HNB Name is present in the USIM, the HNB Name in the ME shall be ignored. If the HNB Name is present in the Operator CSG list, a HNB Name present in the Allowed CSG list shall be ignored.

NOTE: The HNB Name is necessary in order to aid the user in choosing the correct CSG identity when performing a manual CSG identity selection.

5.5 Mobility Aspects for Home NodeB and Home eNodeB

5.5.1 PLMN selection

The standard automatic and manual network selection procedures are used to register a UE on a PLMN via a H(e)NB.

5.5.2 Idle-mode operation

In addition to normal cell reselection procedures, the following requirements apply:

- It shall be possible to support idle mode mobility between a H(e)NB cell and other cells and between H(e)NB cells.
- A UE in idle mode shall prefer to select a cell whose CSG Identity is in the UE's Allowed CSG List or in the Operator CSG list, when the cell reselection criteria has been met.

NOTE: All CSG identities on the Allowed CSG list and the Operator CSG list have the same priority.

- The cell reselection procedure should not result in excessive power consumption in the UE.

5.5.3 Connected mode operation

- It shall be possible to support service continuity, including handover, between a H(e)NB cell and other cells and between H(e)NB cells.

5.5.4 Manual CSG selection

The user shall be able to request the UE to perform a scan for available CSGs. When such request is received the UE shall perform a scan of available CSGs, their CSG Identities and their HNB Names. In the UE display, the available CSGs shall be represented by their associated HNB Names and PLMN Name(s). If the HNB Name is not available, the CSG Identity shall be displayed instead.

An indication shall be given to the user as to which of the available CSGs is contained in the Allowed CSG List or Operator CSG list. The available CSGs shall be displayed in the following order:

- The CSGs, whose CSG Identities are contained in the Allowed CSG list.
- The CSGs, whose CSG Identities are contained in the Operator CSG List.
- Any other CSG, whose CSG Identity is not included in the Allowed CSG List or the Operator CSG list.

The HPLMN shall configure, on a PLMN basis, the UE to display the available CSGs so that either:

- all CSGs are displayed, or
- only CSGs in the Operator CSG List are displayed.

NOTE: It is assumed that OMA DM/OTA will be used by the HPLMN to configure the ME/USIM

By default, the UE shall display all available CSGs for any PLMN, unless the UE has been configured by the HPLMN, for a specific PLMN, to display only CSGs in the Operator CSG List that are available.

When the user selects an entry in the list, the UE shall reselect any of the available cells with the CSG chosen by the user.

The UE shall attempt to register to the PLMN.

If the registration attempt is accepted, the UE shall add the CSG identity to the Allowed CSG list unless the cell is a hybrid cell or the identity is already present in the list.

If the registration attempt is rejected and the CSG entry is in the CSG list, that CSG shall be removed from the list.

In addition, when the user manually selects a CSG in a PLMN, which is different from the last registered PLMN, the following behaviour applies:

- The UE shall enter into Manual PLMN Selection state.
- The UE shall attempt to register to the PLMN. This PLMN shall not be stored as the Last Registered PLMN.
- When the UE is no longer in the service area of the CSG the UE shall return to the previous PLMN Selection state.

5.6 Services support

5.6.1 General

- Subject to availability of network resources there shall be no difference in the user experience when using the PLMN provided services via H(e)NB or via NodeB/eNodeB (NB/eNB).
- Depending on operator preferences and in compliance with regulatory requirements ETWS and PWS [9] shall be supported.
- Any additional registration and paging load as a result of H(e)NB deployment shall be minimized.

- Deployment of H(e)NBs and NB/eNBs on the same spectrum should not degrade the performance of UEs receiving service from NB/eNBs.
- Deployment of H(e)NBs and NB/eNBs on the same spectrum should not degrade the NB/eNB's coverage and capacity.

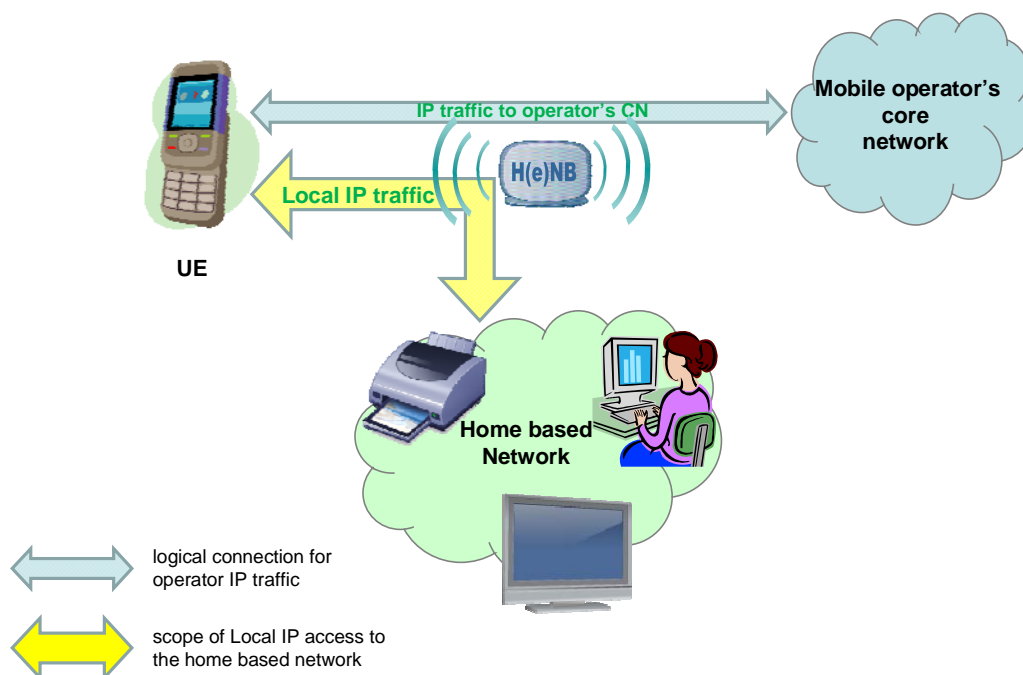
5.6.2 Emergency services

- Subject to local regulatory requirements, H(e)NB shall support emergency calls, as specified in TS 22.101 [4].
- It shall be possible for the operator to provide location information of the UE attempting an emergency call over a H(e)NB. The location information shall be sufficiently accurate to comply with the regulatory requirements that apply to the area where the H(e)NB is deployed.

5.7 Local IP access in the home based network

5.7.1 Description

H(e)NB Local IP Access to the home based network provides access for a directly connected (i.e. using H(e)NB radio access) IP capable UE to other IP capable devices in the home. Traffic for local IP access is expected to not traverse the operator's network except H(e)NB. The home based network itself and the devices in the home based network are not within the scope of 3GPP standardisation.



5.7.2 General requirements

It shall be possible that a H(e)NB supports Local IP Access to the home based network in order to provide access for a directly connected (i.e. using H(e)NB radio access) UE to other IP capable devices in the home. The following requirements apply to support Local IP access to the home based network:

- Simultaneous access from a UE to both the operator's core network and Local IP Access to the home based network shall be supported.
- Local IP Access to the home based network shall be possible without traversing the operator's network except H(e)NB, subject to regulatory requirements.
- Access to local IP through the H(e)NB E-UTRAN/UTRAN-interface shall only be granted to UE with valid subscription.
- Pre-Rel 9 UEs should be able to use Local IP Access to the home based network.
- It shall not be precluded for a device in the home based network to contact a UE via Local IP Access to the home based network.

NOTE: Loss of access to Local IP Access to the home based network is acceptable as a UE moves out of H(e)NB coverage.

- The operator or the H(e)NB Hosting Party, within the limits set by the Operator, shall be able to enable/disable Local IP Access to the home based network per H(e)NB.
- It shall be possible to collect and make available to the operator statistics information (e.g. regular reporting of Local IP traffic volume) for each user on the use of the Local IP Access to the home based network.
- Local IP access to the home based network shall not compromise the security of the operator's network.

5.8 Void

5.9 Local IP Access to the Internet

It shall be possible that a H(e)NB supports Local IP Access to the Internet to provide access for a directly connected (i.e. using H(e)NB radio access) UE to the Internet. The following requirements apply to support Local IP Access to the Internet:

- It shall be possible to be done without traversing the operator network.
- Simultaneous access from a UE to both the operator's core network and Local IP Access to the Internet shall be supported.
- The operator or the H(e)NB Owner, within the limits set by the operator, shall be able to enable/disable Local IP Access to the Internet per H(e)NB.
- It shall be possible to collect and make available to the operator statistics information (e.g. regular reporting of Local IP traffic volume) for each user on the use of the Local IP Access to the Internet.
- Local IP access to the internet shall not compromise the security of the operator's network.

NOTE: When a UE is using the Local IP Access to the Internet, it is assumed that the H(e) NB does not provide any support to LI.

5.10 UICC and H(e)NB

Optionally, the H(e)NB may support identification and authentication of the H(e)NB Hosting Party by means of a UICC-based application.

This application may also contain information for the initial provisioning (e.g. the O&M system contact).

If the H(e)NB supports the H(e)NB Hosting Party application,

- The H(e)NB shall support the use of the operator's UICC application management platform to configure the Hosting Party application.

Note: UICC application management is specified in 3GPP TS 31.115 [11] and 3GPP TS 31.116 [12].

6 Requirements for Home NodeB

6.1 Access Control

- It shall be possible to control access (i.e. accept and reject connection requests) of pre-Release 8 UEs.

NOTE: Such mechanisms may be different for those used to access control a Release 8 UE.

- The operation of a HNB shall not adversely impact the performances of a pre-Release 8 UEs operating in the area where the HNB is active and vice versa.
- The total bandwidth from the HNB towards the network for 4 simultaneous TS11 or TS12, including signalling and overhead, shall not exceed 200 kbps

6.2 Void

7 Requirements for Home eNodeB

7.1 Services support

7.1.1 Void

8 Quality of Service

8.1 General

- It shall be possible to provide information of the QoS treatment used for traffic traversing the H(e)NB to the H(e)NB broadband access mechanism.

8.2 Admission Control

- The network shall be able to perform admission control based on the available H(e)NB backhaul resource.
- The network shall be able to set different criteria for admission control in a hybrid cell for CSG and non-CSG members.

9 Security and privacy

9.1 General

- The use of H(e)NB shall not compromise the security of any PLMN or broadband access network.

9.2 Security Requirements

- The H(e)NB shall provide a high level of security, equivalent or better than Rel-8 3GPP systems.
- Security policy shall be under the control of the H(e)NB network operator.

- The H(e)NB shall not impact the security of the UE.

9.3 Privacy

- The H(e)NB shall not compromise user privacy for UEs that are using the H(e)NB, including communication confidentiality, location privacy and identity protection.

10 Charging Aspects

NOTE: Refer to charging requirements in TS 22.115 [8]

Annex A (informative): Use cases

These use cases do not imply any requirement beyond that which is contained in the normative part of this TS.

Usecase-1: H(e)NB Mobility

User A connects to the H(e)NB via mobile device. User A should be able to move around within the H(e)NB coverage in the home or enterprise. User should also be able to invoke additional services based on user policy and operator policy.

Usecase-2: H(e)NB Guest Users

User A and User B are subscribers of Operator 1 and Operator 2 respectively. User A visits User B in his home and User B allows User A to use H(e)NB in User B's home. User A should be able to access all the services he is subscribed to from Operator 1 based on the policies set by User B and operator 2. Operator 1 and Operator 2 have roaming agreement.

Usecase-3: HNB/HeNB – NB/eNB Handovers

User A subscribes to cellular services of Operator 1 and is authorised to access a HNB/HeNB from same or other operator. User A starts service in the H(e)NB coverage and continues moving into a cellular network. Similarly User A starts service in cellular network and continues moving into H(e)NB coverage. User A does not see any impact on services due to mobility in both cases.

Usecase-4: Access to Home based services

User A connects to the H(e)NB via mobile device. User A should be able to access home based services (e.g. local digital media servers and digital media players) from the mobile device. Other users may access the home based services subject to H(e)NB Hosting Party policies.

Usecase-5: Media Transfer

User A connects to the H(e)NB via mobile device. User A starts viewing video streaming service on the mobile device. User A then wants to continue viewing the video on a different screen for better viewing. User A should be able to transfer the session to a high-definition TV or PC connected via broadband connection. User A should also be able to transfer the session from the TV or PC to a mobile device and continue the session in the H(e)NB coverage and also in the cellular network.

Usecase-6: IMS capable HNB used for coverage purposes

In this scenario, the reason for an operator to introduce IMS capable HNB is to offload voice traffic from his existing CS core network to IMS. However, as in this scenario the usage of 'legacy' services (e.g. CS Fax) is still assumed - only the utilization of network resources is to be changed - it is requested that IMS capable HNB provides all the services/ capabilities that are provided through regular Node B from the beginning.

Usecase-7: IMS capable HNB for a new business model

This scenario starts with a view that HNB is located in the user's residence and the UE is the preferred equipment to interact with home services/ applications. New business can be expected there. In this scenario, some of the CS services/ capabilities that are provided through regular Node B might not be

needed or might be provided in a later step if the operator could instead offer attractive new services under IMS capable HNB only.

Usecase-8: IMS capable HNB for Green field operator

This scenario expects new players to get into the mobile market. In this scenario, they would aim to deploy cost efficient and future proof infrastructure, i.e. no CS domain but IMS/PS domain only, regardless of whether or not UEs have IMS client on them.

Usecase-9: Hybrid access mode

In order to improve the coverage in a shopping mall, H(e)NBs are deployed. The shopping mall owner may have been provided a special deal by the network operator where the employees of the shopping mall will get preferential charging rates and priority access when accessing services via these H(e)NBs. In exchange, the shopping mall owner allows the public to use the H(e)NBs to access the normal network operator services. The H(e)NB Hosting Party should not need to manage the public access and the public should not need to do anything special in order to get services on the H(e)NB.

Use case-10: Open access mode

Typically to enhance coverage or capacity of an operator's public network, for example in railway stations, airports, stadiums, etc, taking benefit of the H(e)NBs additional functionality (e.g. uncoordinated deployment).

Usecase-11: HNB interacts with Home network

User A connects with his UE (possibly a pre-Rel 9 UE) to the HNB with IMS Interworking and Local IP Access to the home network capabilities. The home network accommodates home network devices (Intercom, Door lock, Network radio, Photo server, etc.) and the HNB. User A should be able to communicate with a visitor at Intercom via the mobile device.

Usecase-12: HNB interacts with IP-PABX

User A connects with his UE (possibly a pre-Rel 9 UE) to a HNB with IMS Interworking and Local IP Access to the home network capabilities at an office. The HNB might be deployed and interconnect with an enterprise extension telephone system (e.g. SIP based PABX). User A should be able to make/receive an extension call to/from fixed line UE under SIP based PABX. In addition, User A with the mobile device and User B with computers should be able to access a common groupware server at the office and share the same information such as schedule, emails, etc.

Usecase-13: Electronic customer guide in shopping centre, using Local IP access

A department store or shopping centre provides electronic shopping guide. When user A enters into a shopping centre where a shopping centre H(e)NB is installed, an invitation indication shows up on his mobile device which he accepts. This allows him access to the centre's H(e)NB. Subsequently, he accesses the centre's customer service server, which is only accessible through the H(e)NB where he uploads his shopping list. The customer service server responds a list of sale items of similar nature. He accepts or declines the various choices and the final shopping list is downloaded to his UE. While user A is waiting, User A watches free TV show or advertisement provided through the H(e)NB for the shop customer. While in the shopping centre the user has simultaneous access to operator's and local shopping centre services.

Usecase-14: Local IP Access

The user has the subscription through home operator H. The user is served by the home operator H. The UE obtains IP connectivity in both a local gateway to obtain local connectivity for IMS services (e.g. as in local IP access or for enterprise scenarios with call to other terminals in the PABX area) and to a home gateway (as in normal connectivity for IMS services). For IMS sessions to be routed to e.g. remote terminals, the traffic is sent through the connectivity with the home gateway, whereas for IMS session that can be routed locally (e.g. based on local phone number), the traffic is sent through the connectivity with the local gateway through the local IP access. Whether the UE routes a specific IMS session through the local access or the home gateway can be controlled on a per session basis. Also, the UE may obtain local connectivity by default (e.g. based on static configuration by the operator) or dynamically based on indication by the IMS server.

Usecase-15:

Subscriber A from Network A owns HNB/HeNB A because of no macro network coverage .
Guest user B from Network B visits subscriber A's house. Subscriber A wants to allow guest user B access to HNB/HeNB A while the guest user B is visiting.

Usecase-16:

Corporation A has sites in country A, B and C.
Corporation A has employees from country A and B.
Employees in country A are from Operator AA and AB.
Employees in country B are from Operator B.
Corporation A has HNB/HeNB in country A from Operator AA and country B from Operator B.
Employees from country A and B are allowed access to HNB/HeNBs in country A and B.

Annex B (informative): Clarification of H(e)NB Access Modes

Table B.1 illustrates the different H(e)NB Access Modes and what access is allowed for UEs of any release depending on whether the UE is allowed access to the CSG.

In Table B.1 'Access' means 'Access to services'.

'Preferential access' means the user will get preferential access to the cell.

Table B.1: H(e)NB access for UEs of any release

	H(e)NB Access Mode		
	Open	Closed	Hybrid
UE allowed access to CSG	Access	Access	Preferential Access
UE not allowed access to CSG	Access	No Access	Access

NOTE: Pre Release 8 UEs can only access HNBS

Annex C (informative): Overview of identifiers and names.

Table C.1: Overview of identifiers and names

<i>item</i>	<i>used for</i>	<i>associated with</i>	<i>permanently stored in</i>	<i>distribution method</i>	<i>displayed to user</i>	<i>comment</i>
H(e)NB equipment identity	<ul style="list-style-type: none"> administrative purposes 	H(e)NB (physical entity)	<ul style="list-style-type: none"> H(e)NB administration database of the operator 	O&M procedures	NO	not known to UE, therefore not useable by UE to identify a H(e)NB
CSG identity	<ul style="list-style-type: none"> automatic and manual CSG selection access control to CSG cells 	<ul style="list-style-type: none"> a CSG, i.e. a group of users (UEs). One or More H(e)NBs (CSG cells) 	<ul style="list-style-type: none"> H(e)NB administration database of the operator Allowed CSG List in the UE if user (UE) is member of CSG (USIM entry takes precedence over ME) 	<ul style="list-style-type: none"> provided by O&M to H(e)NBs provided by home PLMN to UEs (the Home PLMN and Visited PLMN should synchronize this information) <ul style="list-style-type: none"> Provided to the UE by OMA DM when stored in the ME, Provided to the UE by OTA when stored in the USIM Provided to UE via manual CSG selection 	YES, if HNB Name is not available	A CSG identity is unique within a PLMN. In the UE a CSG ID, together with a network identifier, identifies a CSG globally uniquely.

				<ul style="list-style-type: none"> • broadcasted by H(e)NB 		
HNB name (optional)	for supporting (ease of use) manual CSG selection, displaying a 'friendly' name to the user	CSG identity (relationship: m CSG ID : n HNB names)	<ul style="list-style-type: none"> • H(e)NB • administration database of the operator • UE 	<ul style="list-style-type: none"> • Provided by O&M to H(e)NBs • Optionally stored by user in UE • broadcasted by H(e)NB 	YES during manual selection, OPTIONAL during normal operation. (USIM entry takes precedence over broadcast and ME)	If a HNB name is stored in the UE it needs to be associated with a CSG identity. Initial configuration in the UE may be done by the operator (e.g. at point of sale). Later, a HNB name is implicitly associated to the current CSG identity by the UE when the user stores the HNB name
CSG Type	for additional information (on e.g. billing mode) to the user when camping on a CSG cell (i.e. after CSG has been selected)	CSG identity (relationship: n CSG ID : 1 CSG Type)	<ul style="list-style-type: none"> • administration database of the operator • UE 	<ul style="list-style-type: none"> • provided by initial UE configuration, OTA and device management to UEs 	YES, if CSG is in Allowed CSG List. (USIM entry takes precedence over ME)	UE needs to associate a CSG Type with a CSG identity Association done by operator (the Home PLMN and Visited PLMN should synchronize this information)

Annex D (informative): Change history

TSG SA#	SA Doc.	SA1 Doc	Spec	CR	Rev	Rel	Cat	Subject/Comment	Old	New	WI
SP-43	SP-090087	-	22.220	-	-	-	-	Approved by SA plenary.	2.0.0	9.0.0	EHNB
SP-44	SP-090373	S1-091273	22.220	0002	1	Rel-9	F	Clarification on the displaying of the H(e)NB name during manual CSG selection	9.0.0	9.1.0	EHNB
SP-44	SP-090373	S1-091164	22.220	0004	4	Rel-9	B	Optional USIM support in H(e)NB	9.0.0	9.1.0	EHNB
SP-44	SP-090373	S1-091387	22.220	0011	3	Rel-9	F	Clarification on Local IP Access Requirements	9.0.0	9.1.0	EHNB
SP-44	SP-090373	S1-091083	22.220	0015	-	Rel-9	F	Remove VPLMN CSG support for Rel9	9.0.0	9.1.0	EHNB
SP-44	SP-090373	S1-091382	22.220	0016	2	Rel-9	F	Clarification on the requirement of session diversion.	9.0.0	9.1.0	EHNB
SP-44	SP-090373	S1-091279	22.220	0019	2	Rel-9	F	Allowed CSG list management for hybrid cells	9.0.0	9.1.0	EHNB
SP-44	SP-090373	S1-091158	22.220	0023	3	Rel-9	D	Clarification of H(e)NB Owner / Hosting Party	9.0.0	9.1.0	EHNB
SP-44	SP-090373	S1-091159	22.220	0024	2	Rel-9	D	H(e)NB Operator Change	9.0.0	9.1.0	EHNB
SP-44	SP-090373	S1-091383	22.220	0025	2	Rel-9	F	Clarification of the terminology about H(e)NB access modes	9.0.0	9.1.0	EHNB
SP-44	SP-090373	S1-091274	22.220	0026	1	Rel-9	F	Minor corrections for clarification	9.0.0	9.1.0	EHNB
SP-44	SP-090374	S1-091260	22.220	0005	1	Rel-9	F	Rel 8 Rel 9 CSG lists alignment (approved at SA#44 but not implemented in 9.1.0)	9.1.0	9.1.1	EHNB
SP-45	SP-090477	S1-093332	22.220	0032	2	Rel-9	F	CSG Lists clarification	9.1.1	9.2.0	EHNB
SP-45	SP-090477	S1-093329	22.220	0035	1	Rel-9	F	IP access approach of backhaul network for H(e)NB	9.1.1	9.2.0	EHNB
SP-45	SP-090477	S1-093331	22.220	0037	1	Rel-9	D	Editorial corrections of TS 22.220	9.1.1	9.2.0	EHNB
SP-45	SP-090477	S1-093480	22.220	0039	2	Rel-9	C	Loss of IP Backhaul Connection	9.1.1	9.2.0	EHNB
SP-45	SP-090477	S1-093330	22.220	0041	1	Rel-9	F	Clarification of definition for H(e)NB Hosting Party	9.1.1	9.2.0	EHNB
SP-46	SP-090839	S1-094319	22.220	0054	1	Rel-9	D	Contribution to Chapter 5.4.1 in 22.220	9.2.0	9.3.0	EHNB
SP-46	SP-090839	S1-094338	22.220	0049	3	Rel-9	F	H(e)NB Hosting Party USIM management	9.2.0	9.3.0	TEI-9
SP-46	SP-090839	S1-094013	22.220	0060	-	Rel-9	F	Removal of requirement for "Managed Remote Access" from Rel-9	9.2.0	9.3.0	EHNB
SP-46	SP-090839	S1-094317	22.220	0056	1	Rel-9	B	Simplified CSG list handling	9.2.0	9.3.0	EHNB
SP-47	SP-100183	S1-100329	22.220	0081	1	Rel-9	F	Removal of IMS HNB interworking	9.3.0	9.4.0	EHNBF
SP-47	SP-100229	S1-100323r	22.220	0093	1	Rel-9	F	Clarification of the interaction of the Operator and Allowed CSG lists	9.3.0	9.4.0	EHNB
SP-49	SP-100573	S1-102190	22.220	0109	1	Rel-9	F	Removal of requirement to inhibit Allowed CSG List	9.4.0	9.5.0	EHNB
SP-51	SP-110161	S1-110185	22.220	0125	2	Rel-9	F	Hosting Party Identity Module	9.5.0	9.6.0	TEI9
SP-52	SP-110368	S1-111401	22.220	0131	1	Rel-9	F	Correction to the admission control for the H(e)NB	9.6.0	9.7.0	TEI9
SP-54	SP-110871	S1-113413r	22.220	0145	2	Rel-9	F	H(e)NB emergency call support	9.7.0	9.8.0	EHNB
SP-54	SP-110808	S1-113430	22.220	0148	-	Rel-9	F	MBMS not supported for HeNB	9.7.0	9.8.0	EHNB
SP-56	SP-120285	S1-121412	22.220	0152	2	Rel-9	F	Clarification on emergency calls from CSG cell by non-CSG member	9.8.0	9.9.0	TEI9
SP-57	SP-120520	S1-122476	22.220	0158	1	Rel-9	F	Clarification on Hosting Party identification and authentication	9.9.0	9.10.0	TEI9

History

Document history		
V9.1.1	July 2009	Publication
V9.2.0	October 2009	Publication
V9.3.0	February 2010	Publication
V9.4.0	April 2010	Publication
V9.5.0	October 2010	Publication
V9.6.0	April 2011	Publication
V9.7.0	July 2011	Publication
V9.8.0	January 2012	Publication
V9.9.0	July 2012	Publication
V9.10.0	September 2012	Publication