

ETSI TS 123 380 V16.2.0 (2020-11)



**Digital cellular telecommunications system (Phase 2+) (GSM);
Universal Mobile Telecommunications System (UMTS);
LTE;
5G;
IMS Restoration Procedures
(3GPP TS 23.380 version 16.2.0 Release 16)**



Reference

RTS/TSGC-0423380vg20

Keywords

5G,GSM,LTE,UMTS

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

The present document can be downloaded from:

<http://www.etsi.org/standards-search>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at www.etsi.org/deliver.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx>

If you find errors in the present document, please send your comment to one of the following services:

<https://portal.etsi.org/People/CommiteeSupportStaff.aspx>

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2020.

All rights reserved.

DECT™, **PLUGTESTS™**, **UMTS™** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members.

3GPP™ and **LTE™** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

oneM2M™ logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners.

GSM® and the GSM logo are trademarks registered and owned by the GSM Association.

Intellectual Property Rights

Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "*Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards*", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<https://ipr.etsi.org/>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Trademarks

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

Legal Notice

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities. These shall be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

Contents

Intellectual Property Rights	2
Legal Notice	2
Modal verbs terminology.....	2
Foreword.....	6
Introduction	7
1 Scope	8
2 References	8
3 Definitions, symbols and abbreviations	9
3.1 Definitions	9
3.2 Abbreviations	9
4 Restoration of Data in the S-CSCF	10
4.1 General	10
4.2 Registration Procedure	10
4.2.1 Introduction.....	10
4.2.2 S-CSCF Restoration after Failure	10
4.2.3 S-CSCF Restoration during Registration Process.....	10
4.3 UE Terminating Procedure.....	11
4.3.1 Introduction.....	11
4.3.2 S-CSCF Restoration after Restart	11
4.3.3 S-CSCF Restoration after Failure	12
4.4 UE Originating Procedure	12
4.4.1 Introduction.....	12
4.4.2 S-CSCF Restoration after Restart	12
4.4.3 S-CSCF Restoration after Failure	13
4.5 SIP-AS Originating Procedure	13
4.5.1 Introduction.....	13
4.5.2 S-CSCF Restoration after Restart	13
4.5.3 S-CSCF Restoration after Failure	13
4.6 S-CSCF Data Restoration Information Backup and Update Procedures.....	14
4.6.1 Introduction.....	14
4.6.2 Backup and Update of S-CSCF Restoration Information during Registration Process	14
4.6.3 Backup and Update of S-CSCF Restoration Information after UE's Subscription	14
4.6.4 Backup and Update of S-CSCF Restoration Information after P-CSCF's Subscription	15
5 Recovery after P-CSCF failure.....	15
5.0 General	15
5.1 Update PDP context/Bearer at P-CSCF failure	15
5.1.1 General requirements.....	15
5.1.2 Network recovery information flow - Update PDP context / Bearer	16
5.1.3 Network recovery information flow with S5 PMIP	17
5.2 Inform UE about P-CSCF failure	18
5.2.1 General requirements.....	18
5.2.2 Network recovery information flow – Inform UE at P-CSCF failure.....	18
5.2.3 Network recovery information flow – Inform UE at P-CSCF failure with S5 PMIP	20
5.3 Network recovery information flow – UE uses keep alive mechanism	21
5.4 HSS-based P-CSCF restoration for 3GPP access.....	22
5.4.1 Introduction.....	22
5.4.2 Description.....	22
5.4.2.1 General	22
5.4.2.2 P-CSCF restart/failure detection by S-CSCF	24
5.4.2.2.1 General	24
5.4.2.2.2 Direct connection from S-CSCF to P-CSCF	24
5.4.2.2.3 S-CSCF connection to P-CSCF via IBCF/ATCF	24

5.4.2.3	MME/SGSN mechanism support.....	24
5.4.3	PCO-based optional extension.....	25
5.4.3.1	Introduction.....	25
5.4.3.2	Description.....	25
5.4.4	UE indication of support for "Update PDP context/bearer at P-CSCF failure" Restoration.....	27
5.4.5	Coexistence with "Update PDP context/bearer at P-CSCF failure" mechanism.....	27
5.4.6	HSS based P-CSCF restoration in roaming scenarios.....	27
5.5	PCRF-based P-CSCF restoration	28
5.5.1	Introduction.....	28
5.5.2	PCRF-based P-CSCF restoration information flow - deactivate PDN connection/PDP context.....	28
5.5.3	PCO-based optional extension.....	31
5.5.3.1	Introduction.....	31
5.5.3.2	Description.....	31
5.5.3.3	UE indication of support for "Update PDP context/bearer at P-CSCF failure" Restoration	31
5.5.4	Coexistence with "Update PDP context/bearer at P-CSCF failure" mechanism.....	31
5.5.5	P-CSCF restoration in roaming scenarios for PCRF based solution.....	31
5.6	P-CSCF restoration for WLAN.....	32
5.6.1	Introduction.....	32
5.6.2	Basic mechanism for the HSS-based solution	32
5.6.2.1	Overview and principles	32
5.6.2.2	Description	32
5.6.3	Basic mechanism for the PCRF-based solution.....	34
5.6.4	Optional extension for the HSS and PCRF-based solutions for the TWAN access.....	34
5.6.4.1	Overview and principles	34
5.6.4.2	Description	35
5.6.4.3	Indication of UE support of the P-CSCF restoration extension for the TWAN access.....	36
5.6.5	Optional extension for the HSS and PCRF-based solutions for the untrusted WLAN access.....	36
5.6.5.1	Overview and principles	36
5.6.5.2	Description	36
5.6.5.3	Indication of UE support of the P-CSCF restoration extension for the untrusted WLAN access	38
5.6.6	Supported features and capabilities	38
5.6.6.1	Introduction.....	38
5.6.6.2	Feature support in the HSS and S-CSCF.....	38
5.6.6.3	Feature support in the 3GPP AAA Server.....	38
5.6.6.4	Feature support in the PGW.....	39
5.6.6.5	Feature support in the TWAN.....	39
5.6.6.6	Feature support in the ePDG.....	39
5.6.6.7	Capability support in the UE.....	39
5.7	Interaction between P-CSCF restoration and NBIFOM.....	40
5.7.1	Introduction.....	40
5.7.2	HSS-based solution.....	40
5.7.3	PCRF-based solution	41
5.8	P-CSCF Restoration for 5GC.....	41
5.8.1	Introduction.....	41
5.8.2	Common Procedures for P-CSCF Restoration in 5GC	41
5.8.2.1	General.....	41
5.8.2.2	P-CSCF Address List Update Procedure.....	42
5.8.2.3	DHCP based P-CSCF Selection Triggering Procedure.....	42
5.8.2.4	PDU Session Release with Reactivation Procedure.....	43
5.8.3	P-CSCF Failure Detection at SMF/UPF.....	44
5.8.3.1	Overview and Principles	44
5.8.3.2	P-CSCF Monitoring and Failure Detection Procedure.....	44
5.8.4	UDM/HSS Based P-CSCF Restoration	46
5.8.4.1	Overview and principles	46
5.8.4.2	Trigger P-CSCF Restoration Procedure via SMF.....	46
5.8.4.3	Trigger P-CSCF Restoration Procedure via AMF.....	48
5.8.5	PCF Based P-CSCF Restoration.....	49
5.8.5.1	Introduction.....	49
5.8.5.2	Trigger P-CSCF Restoration Procedure via PCF.....	49
5.9	P-CSCF Restoration in EPC with 5GC interworking.....	50
5.10	P-CSCF Restoration in EPC for untrusted WLAN when N10 is used instead of S6b.....	50

6 Recovery after SCC AS failure50

6.1 General50

6.2 General Procedure51

6.2.1 Enhanced Third Party Registration Procedure51

6.2.1.1 Introduction51

6.2.1.2 Description51

6.2.2 Enhanced Service Request Procedure52

6.2.2.1 Introduction52

6.2.2.2 Description52

Annex A (informative): Change history54

History56

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, modal verbs have the following meanings:

- shall** indicates a mandatory requirement to do something
- shall not** indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

- should** indicates a recommendation to do something
- should not** indicates a recommendation not to do something
- may** indicates permission to do something
- need not** indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

- can** indicates that something is possible
- cannot** indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

- will** indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
- will not** indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
- might** indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

might not indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

is (or any other verb in the indicative mood) indicates a statement of fact

is not (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

Introduction

Although network nodes in the IMS Core Network should have a very high availability, some maintenance downtime and occasional failures are unavoidable. Communication links although designed with robust protocols between the network elements are also subject to failures. This document specifies a set of standardized procedures for automatic restoration after loss or corruption of data reducing the impact of these problems in order to improve service to the users. The scenarios covered here for the IMS Domain are similar to those covered in 3GPP TS 23.007 [2] for the CS and PS Domains.

1 Scope

The present document specifies the procedures required in 3GPP IMS to handle a S-CSCF or a P-CSCF service interruption scenario with minimum impact to the service to the end user.

NOTE: IMS Restoration Procedures covering service interruption of other network elements are not defined in this version of the specification.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 23.007: "Restoration procedures".
- [3] 3GPP TS 29.228: "IP Multimedia (IM) Subsystem Cx and Dx interfaces; Signalling flows and message contents".
- [4] 3GPP TS 24.008: "Mobile radio interface Layer 3 specification".
- [5] 3GPP TS 29.213: "Policy and charging control signalling flows and Quality of Service (QoS) parameter mapping".
- [6] 3GPP TS 29.212: "Policy and Charging Control (PCC); Reference points".
- [7] 3GPP TS 29.214: "Policy and Charging Control over Rx reference point".
- [8] 3GPP TS 29.060: "General Packet Radio Service (GPRS); GPRS Tunnelling Protocol (GTP) across the Gn and Gp interface".
- [9] 3GPP TS 29.061: "Interworking between the Public Land Mobile Network (PLMN) supporting packet based services and Packet Data Networks (PDN)".
- [10] 3GPP TS 29.274: "3GPP Evolved Packet System. Evolved GPRS Tunnelling Protocol for EPS (GTPv2)".
- [11] IETF RFC 3361: "Dynamic Host Configuration Protocol (DHCP-for-IPv4) Option for Session Initiation Protocol (SIP) Servers".
- [12] IETF RFC 1034: "Session Initiation Protocol (SIP): Locating SIP Servers".
- [13] IETF RFC 3319: "Dynamic Host Configuration Protocol (DHCPv6) Options for Session Initiation Protocol (SIP) Servers".
- [14] IETF RFC 6223: "Indication of Support for Keep-Alive".
- [15] 3GPP TS 29.275: "Proxy Mobile IPv6 (PMIPv6) based Mobility and Tunnelling protocols; Stage 3".
- [16] IETF RFC 7077: "Update Notifications for Proxy Mobile IPv6".
- [17] 3GPP TS 23.401: "GPRS Enhancements for E-UTRAN Access".

- [18] IETF RFC 3261: "SIP: Session Initiation Protocol".
- [19] 3GPP TS 24.229: "IP multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3".
- [20] 3GPP TS 23.060: "General Packet Radio Service (GPRS); Service description; Stage 2".
- [21] GSMA PRD IR.65: "IMS Roaming and Interworking Guidelines, version 14.0".
- [22] 3GPP TS 24.244: "Wireless LAN control plane protocol for trusted WLAN access to EPC; Stage 3".
- [23] IETF RFC 7296: "Internet Key Exchange Protocol Version 2 (IKEv2)".
- [24] 3GPP TS 29.273: "Evolved Packet System (EPS); 3GPP EPS AAA interfaces".
- [25] IETF RFC 3588: "Diameter Base Protocol".
- [26] IETF RFC 5996 (September 2010): "Internet Key Exchange Protocol Version 2 (IKEv2)".
- [27] 3GPP TS 23.228: "IP Multimedia Subsystem (IMS); Stage 2".
- [28] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".
- [29] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".
- [30] 3GPP TS 23.503: "Policy and Charging Control Framework for the 5G System; Stage 2".
- [31] 3GPP TS 29.503: "5G System; Unified Data Management Services; Stage 3".
- [32] 3GPP TS 29.512: "5G System; Session Management Policy Control Service; Stage 3".
- [33] 3GPP TS 23.632: "User Data Interworking, Coexistence and Migration; Stage 2".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

Service Interruption: A period of time in which one or more network elements do not respond to requests and do not send any requests to the rest of the system.

S-CSCF Restoration Information: Information required for the S-CSCF to handle traffic for a registered user. This information is stored in HSS and if lost, retrieved by the S-CSCF.

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

5GC	5G Core Network
APCO	Additional Protocol Configuration Options
ePCO	Extended Protocol Configuration Options
ePDG	Evolved Packet Data Gateway
IKEv2	Internet Key Exchange Protocol Version 2
LIA	Location Information Answer
LIR	Location Information Request
LMA	Local Mobility Anchor
NBIFOM	Network-Based IP Flow Mobility

PCF	Policy Control Function
PCO	Protocol Configuration Options
PDU	Packet Data Unit
PGW	PDN Gateway
PMIP	Proxy Mobile IP
RCS	Rich Communication Services
SAA	Server Assignment Answer
SAR	Server Assignment Request
SGW	Serving Gateway
SMF	Session Management Function
TWAN	Trusted WLAN Access Network
UAA	User Authorization Answer
UAR	User Authorization Request
UDM	Unified Data Management
UPF	User Plane Function
VoLTE	Voice over LTE
WLAN	Wireless Local Area Network
WLCP	Wireless LAN Control Plane Protocol

4 Restoration of Data in the S-CSCF

4.1 General

The following clauses describe the IMS Restoration Procedures for the S-CSCF service interruption in each of the scenarios where they apply.

4.2 Registration Procedure

4.2.1 Introduction

The following clauses specify the behaviour of HSS and S-CSCF if they support the IMS restoration feature.

4.2.2 S-CSCF Restoration after Failure

If the UE initiates a SIP REGISTER and the S-CSCF returned by the HSS during user registration status query procedure fails, the I-CSCF is unable to contact the S-CSCF. In this case, regardless of this registration is an initial registration, a re-registration or a de-registration, the I-CSCF shall send UAR with Authorization Type set to REGISTRATION_AND_CAPABILITIES to the HSS to explicitly request S-CSCF capabilities. After re-assignment of another S-CSCF according to the S-CSCF capabilities, the I-CSCF shall forward the REGISTER to the new S-CSCF. For registrations and re-registrations, S-CSCF shall proceed with the registration procedure as for initial registration, except for the clauses specified in 4.2.3.

For de-registrations, S-CSCF shall proceed as for user-initiated de-registration.

4.2.3 S-CSCF Restoration during Registration Process

During the registration procedure, the HSS shall send all the registered Private User Identities sharing the same Public User Identity which is being registered in the SAA, in addition to the basic user data to the S-CSCF. Subject to the operator policy, the HSS may include the S-CSCF restoration information for the registered Public User Identity in the response. Then the S-CSCF compares the registered Private User Identities received from the HSS with the ones it stores. If there are any registered Private User Identities the S-CSCF does not have their registration data, the S-CSCF shall send SAR with Server Assignment Type set to NO_ASSIGNMENT to the HSS to retrieve the S-CSCF restoration information for the registered Public User Identity. If there are S-CSCF restoration information related to the Public User Identity stored in the HSS, the HSS shall send the S-CSCF restoration information together with the user profile in the SAA to the S-CSCF. The result code shall be set to DIAMETER_SUCCESS. Subject to the operator policy, sending this SAR with Server Assignment Type set to NO_ASSIGNMENT may be skipped if the S-CSCF restoration information for the registered Public User Identity information was already received from the HSS.

If there are more than one group of S-CSCF restoration information related to the Public User Identity stored in the HSS, which may happen if the Public User Identity is shared by multiple Private User Identities, the HSS shall include all of the S-CSCF restoration information in the SAA. One group of S-CSCF restoration information corresponds to one Private User Identity.

If the S-CSCF receives an initial registration request for a Public User Identity that does not match any Public User Identity currently registered with the same Private User Identity as in the request at this S-CSCF, the S-CSCF shall check whether there is a reg-id parameter in the Contact header in the SIP REGISTER message and whether there is an "sos" SIP URI parameter in the SIP REGISTER message. Only when a reg-id parameter exists and an "sos" SIP URI parameter does not exist, the S-CSCF shall indicate to the HSS that the registration is related to a multiple registration.

If the HSS receives an SAR request with multiple registration indication, and the Public User Identity is stored as registered in the HSS, and there is restoration information related to the Private User Identity, the HSS shall not overwrite stored restoration information, instead, it shall send the stored S-CSCF restoration information together with the user profile in the SAA. Subject to the operator policy, the HSS may include all the S-CSCF restoration information groups associated to the registered Public User Identity in the response. The result code shall be set to `DIAMETER_ERROR_IN_ASSIGNMENT_TYPE`. The S-CSCF shall send a new SAR with Server-Assignment-Type set to `RE_REGISTRATION` and the User Data Already Available parameter set to `USER_DATA_ALREADY_AVAILABLE` to update the restoration information in the HSS in accordance to the current registration event.

If the S-CSCF receives a user-initiated deregistration request for a Public User Identity that does not match any Public User Identity currently registered with the same Private User Identity as in the request at this S-CSCF, the S-CSCF shall check whether there is a reg-id parameter in the Contact header in the received SIP REGISTER message,

- if a reg-id parameter exists, the S-CSCF shall:
 1. Send SAR with Server-Assignment-Type set to `NO_ASSIGNMENT` to retrieve the S-CSCF restoration information associated with the Public User Identity. The Result-Code shall be set to `DIAMETER_SUCCESS`.
 2. Compare the contact address(es) received in SAA with the contact address(es) in REGISTER request:
 - If they are not the same, the S-CSCF shall send SAR with Server-Assignment-Type set to `RE_REGISTRATION` to update the S-CSCF restoration information in HSS with the Contact address(es) still associated with the Public User Identity after the deregistration event.

Otherwise, the S-CSCF shall send SAR with Server-Assignment-Type set to `USER_DEREGISTRATION`.

4.3 UE Terminating Procedure

4.3.1 Introduction

The following clauses specify the behaviour of HSS, I-CSCF and S-CSCF if they support the IMS Restoration feature.

4.3.2 S-CSCF Restoration after Restart

The S-CSCF lost all user data if it restarts after a failure or it is unable to trust any data after it resumes operation, due to the fact that it may have lost profile updates from the HSS in the service interruption period. If such a S-CSCF receives a terminating service request from the I-CSCF, it sends an SAR to the HSS for unregistered service data. In this case, HSS and S-CSCF proceed as indicated in 3GPP TS 29.228 [3], except that

- if the Public User Identity is stored as registered in the HSS, and there are S-CSCF restoration information related to the Public User Identity stored in the HSS, the HSS shall send the S-CSCF restoration information together with the user profile in the SAA. The result code shall be set to `DIAMETER_ERROR_IN_ASSIGNMENT_TYPE`. The S-CSCF shall trigger matched registered services for the Public User Identity.

If there are more than one group of S-CSCF restoration information related to the Public User Identity, which may happen if the Public User Identity is shared by multiple Private User Identities, the HSS shall include all of the S-CSCF restoration information in the SAA. One group of S-CSCF restoration information corresponds to one Private User Identity.

If the S-CSCF restoration information received includes the UE's subscription information, the S-CSCF shall construct a NOTIFY message according to the information and send it to the UE (or UEs if the IMPU is shared between several IMPIs) to trigger a new registration at anytime after normal processing of the terminating request.

If the S-CSCF restoration information received includes the P-CSCF's subscription information, the S-CSCF shall construct a NOTIFY message and send it to the P-CSCF to update the registration information at any time after normal processing of the terminating request.

If the S-CSCF restoration information received includes the UE's registration expiration time and the value is in the past, the S-CSCF proceeds as indicated in 3GPP TS 23.228 [27] clause 5.3.2.1.

4.3.3 S-CSCF Restoration after Failure

If the S-CSCF returned by the HSS during location query procedure fails, the I-CSCF is unable to contact the S-CSCF during terminating procedure. In this case, the I-CSCF shall send LIR to the HSS to explicitly request S-CSCF capabilities. If the HSS returns the S-CSCF capabilities to the I-CSCF, after re-selection of another S-CSCF according to the S-CSCF capabilities, the I-CSCF shall forward the service request to the new S-CSCF. The HSS and this new S-CSCF shall behave as described in clause 4.3.2, except that the HSS shall overwrite the S-CSCF name when receiving the SAR request, only if there is a previous explicit LIR request for S-CSCF capabilities.

NOTE: If the HSS indicates during location query procedure that the server name returned corresponds to an AS, then the service request is for PSI direct routing. In this case, IMS Restoration Procedures will not be executed and I-CSCF will reject the service request.

4.4 UE Originating Procedure

4.4.1 Introduction

The following clauses specify the behaviour of HSS, S-CSCF and P-CSCF if they support the IMS Restoration feature.

4.4.2 S-CSCF Restoration after Restart

The S-CSCF lost all user data if it restarts after a failure or it is unable to trust any data after it resumes operation, due to the fact that it may have lost profile updates from the HSS in the service interruption period. If such a S-CSCF receives an originating request different from SIP REGISTER coming from the UE, the S-CSCF shall send SAR to the HSS with Server Assignment Type set to NO_ASSIGNMENT to restore the user data. If the S-CSCF name sent in the Server-Assignment-Request command and the previously assigned S-CSCF name stored in the HSS are different, which may happen if S-CSCF reassignment occurred during a terminating restoration before, the HSS shall not overwrite the S-CSCF name; instead it shall send a response to the S-CSCF with result code set to DIAMETER_UNABLE_TO_COMPLY, as specified in the 3GPP TS 29.228 [3]. If there are S-CSCF restoration information related to the Public User Identity stored in the HSS, the HSS shall send the S-CSCF restoration information together with the user profile in the SAA to the S-CSCF. If the HSS returns an error DIAMETER_UNABLE_TO_COMPLY to the S-CSCF, the S-CSCF shall then return a specific error response to the UE to trigger a new registration.

If there are more than one group of S-CSCF restoration information related to the Public User Identity stored in the HSS, which may happen if the Public User Identity is shared by multiple Private User Identities, the HSS shall include all of the S-CSCF restoration information in the SAA. One group of S-CSCF restoration information corresponds to one Private User Identity.

If authentication of SIP request methods initiated by the UE excluding REGISTER is desired according to operator's policy, for other authentication schemes different from IMS-AKA, the S-CSCF requires authentication information (e.g. authentication vectors). If this information is not stored in the S-CSCF, the S-CSCF shall fetch that information from the HSS by means of the authentication procedures (Cx-MAR/MAA).

NOTE 1: The S-CSCF restoration information includes authentication scheme information (SIP-Authentication-Scheme). It allows the S-CSCF to identify the corresponding scheme for authentication when multiple schemes are supported.

NOTE 2: The authentication information (e.g. authentication vectors) was retrieved by the S-CSCF from the HSS for the authentication of REGISTER requests and it can be stored locally. This data may be lost after the S-CSCF restart.

If the S-CSCF receives SAA with the service profile of the user, the S-CSCF shall continue the originating service as normal.

If the S-CSCF receives SAA with S-CSCF restoration information and the S-CSCF restoration information includes the UE's subscription information, the S-CSCF shall construct a NOTIFY message according to the information and send it to the UE (or UEs if the IMPU is shared between several IMPIs) to trigger a new registration at anytime after normal processing of the originating request.

If the S-CSCF restoration information received includes the P-CSCF's subscription information, the S-CSCF shall construct a NOTIFY message and send it to the P-CSCF to update the registration information at any time after normal processing of the originating request.

4.4.3 S-CSCF Restoration after Failure

If the UE initiates an originating service request different from SIP REGISTER and the P-CSCF is unable to contact the S-CSCF in the Route, the P-CSCF shall return a specific error response to the UE to trigger a new registration.

4.5 SIP-AS Originating Procedure

4.5.1 Introduction

The following clauses specify the behaviour of HSS, I-CSCF and S-CSCF if they support the IMS Restoration feature.

4.5.2 S-CSCF Restoration after Restart

The S-CSCF lost all user data if it restarts after a failure or it is unable to trust any data after it resumes operation, due to the fact that it may have lost profile updates from the HSS in the service interruption period. If such S-CSCF receives an originating request on behalf of a user (i.e. top-most route header in request contains "orig" parameter) coming from an AS, the S-CSCF shall send SAR to the HSS with Server Assignment Type set to UNREGISTERED_USER to inform the HSS that the user is unregistered. HSS and S-CSCF proceed as indicated in 3GPP TS 29.228 [3], except that:

- if the Public User Identity is stored as registered in the HSS, and there is S-CSCF restoration information related to the Public User Identity stored in the HSS, the HSS shall send the S-CSCF restoration information together with the user profile in the SAA. The result code shall be set to DIAMETER_ERROR_IN_ASSIGNMENT_TYPE. The S-CSCF shall trigger matched originating services for the Public User Identity. If the Public User Identity is stored as registered in the HSS, and there is no S-CSCF restoration information related to the Public User Identity stored in the HSS, the HSS shall send the user profile in the SAA and set the registration state for the Public Identity to unregistered. The result code shall be set to DIAMETER_SUCCESS. The S-CSCF shall trigger matched originating unregistered services for the Public User Identity.
- if the S-CSCF name sent in the Server-Assignment-Request command and the previously assigned S-CSCF name stored in the HSS are different, the HSS shall not overwrite the S-CSCF name. Result Code will be DIAMETER_IDENTITY_ALREADY_REGISTERED. The S-CSCF shall return a specific error response to AS. The AS shall resend the request to the I-CSCF.

NOTE: The address of the S-CSCF can be obtained by AS either by querying the HSS on the Sh interface or during third-party registration. It may happen that if AS is using third party registration and a reassignment occurred during a terminating request, AS will have the wrong S-CSCF name.

4.5.3 S-CSCF Restoration after Failure

If the application server sends the originating service request on behalf of the user to the S-CSCF, and the S-CSCF can not be contacted, after timeout, the application server shall resend the originating service request to the I-CSCF.

If the application server sends the originating service request directly to the I-CSCF, or resends the originating service request to the I-CSCF due to the S-CSCF can not be contacted, the I-CSCF shall behave as in clause 4.3.3. The S-CSCF and HSS shall behave as in clause 4.5.2, except that the HSS shall overwrite the S-CSCF name when receiving the SAR request, only if there is a previous explicit LIR request for S-CSCF capabilities.

4.6 S-CSCF Data Restoration Information Backup and Update Procedures

4.6.1 Introduction

The following clauses specify the behaviour of HSS and S-CSCF if they support the IMS Restoration feature.

4.6.2 Backup and Update of S-CSCF Restoration Information during Registration Process

The S-CSCF shall backup the following data in the HSS during the initial registration process.

- the list of SIP proxies in the path (normally it would be just the P-CSCF address)
- the Contact Information (Contact Addresses and Contact Header parameters)
- the Authentication Information (SIP-Authentication-Scheme)

The S-CSCF may backup the following data in the HSS during the initial registration process.

- the Initial-CSeq-Sequence-Number and the Call-ID used if used for temporary GRUU generation (see IETF RFC 3261 [18])

The point in time of registration expiration

This is done with an additional information element in the SAR requesting user information, in addition to the basic set of information required to handle traffic, as specified in the 3GPP TS 29.228 [3]. The information is associated with the Private User Identity and the Implicit Registration Set that is affected by the SAR request. The HSS shall store this information.

If any of the above data is changed, the S-CSCF shall update it in the HSS using SAR request with Server-Assignment-Type set to RE_REGISTRATION and the User Data Already Available parameter set to USER_DATA_ALREADY_AVAILABLE, as specified in the 3GPP TS 29.228 [3].

Note: Updating the point in time of registration expiration in the restoration information contributes to a better user experience at the cost of additional Cx traffic.

4.6.3 Backup and Update of S-CSCF Restoration Information after UE's Subscription

If the S-CSCF receives the UE's subscription to notification of the reg-event for the first time, the S-CSCF shall send an SAR to the HSS to store the following UE's subscription information.

- Call-ID, From, To, Record-Route, Contact

To avoid frequent storing of the subscription information in the HSS, the CSeq should not be included in the S-CSCF restoration information. Instead, the CSCF shall ensure that subsequent notification after retrieving this data includes a sufficiently large Cseq value so that the UE is able to accept it.

This is done with Server Assignment Type set to RE_REGISTRATION and the User Data Already Available parameter set to USER_DATA_ALREADY_AVAILABLE in the SAR, as specified in the 3GPP TS 29.228 [3]. The information is associated with the Private User Identity affected by the SAR request. The HSS shall store this information.

If any of the above data is changed, the S-CSCF shall update it in the HSS using SAR request with Server-Assignment-Type set to RE_REGISTRATION and the User Data Already Available parameter set to USER_DATA_ALREADY_AVAILABLE, as specified in the 3GPP TS 29.228 [3].

The S-CSCF shall send the registration data together with the subscription data as one S-CSCF restoration information. Each time the HSS receives the S-CSCF restoration information related to the same Private User Identity in the SAR with Server-Assignment-Type set to RE_REGISTRATION, the HSS shall overwrite the previous S-CSCF restoration information.

4.6.4 Backup and Update of S-CSCF Restoration Information after P-CSCF's Subscription

Backup and Update of S-CSCF Restoration Information after P-CSCF's Subscription is an optional S-CSCF capability. If supported, the following applies:

If the S-CSCF receives the P-CSCF's subscription to notification of the reg-event for the first time, the S-CSCF shall send an SAR to the HSS to store the following P-CSCF's subscription information.

- Call-ID, From, To, Contact

To avoid frequent storing of the subscription information in the HSS, the CSeq should not be included in the S-CSCF restoration information. Instead, the CSCF shall ensure that subsequent notification after retrieving this data includes a sufficiently large Cseq value so that the P-CSCF is able to accept it.

This is done with Server Assignment Type set to RE_REGISTRATION and the User Data Already Available parameter set to USER_DATA_ALREADY_AVAILABLE in the SAR, as specified in the 3GPP TS 29.228 [3]. The information is associated with the Private User Identity affected by the SAR request. The HSS shall store this information.

If any of the above data is changed, the S-CSCF shall update it in the HSS using SAR request with Server-Assignment-Type set to RE_REGISTRATION and the User Data Already Available parameter set to USER_DATA_ALREADY_AVAILABLE, as specified in the 3GPP TS 29.228 [3].

The S-CSCF shall send the registration data together with the subscription data as one S-CSCF restoration information. Each time the HSS receives the S-CSCF restoration information related to the same Private User Identity in the SAR with Server-Assignment-Type set to RE_REGISTRATION, the HSS shall overwrite the previous S-CSCF restoration information.

5 Recovery after P-CSCF failure

5.0 General

The following clauses show the requirements and information flows of IMS Restoration Procedures for the P-CSCF service interruption in each of the scenarios where they apply.

Procedures over S9 between V-PCRF and H-PCRF are not supported in this release of the specification.

5.1 Update PDP context/Bearer at P-CSCF failure

These flows show the procedures performed by the network at P-CSCF failure after user initiated registration..

5.1.1 General requirements

The following points are considered as requirements for the purpose of these procedures.

1. P-CSCF discovery is performed by requesting and provisioning P-CSCF address(es) within Protocol Configuration Options (PCO), as specified in 3GPP TS 29.061 [9], clause 13a.2.1
2. The UE supports PCO IE, as specified in 3GPP TS 24.008 [4], clause 10.5.6.3.

3. For the GTP based S5 interface, GTPv1, as specified in 3GPP TS 29.060 [8] or GTPv2, as specified in 3GPP TS 29.274 [10] are supported by the GGSN/PDN-GW.
4. For the PMIP based S5 interface, PMIPv6, as specified in 3GPP TS 29.275 [15] is supported by the PDN-GW.

5.1.2 Network recovery information flow - Update PDP context / Bearer

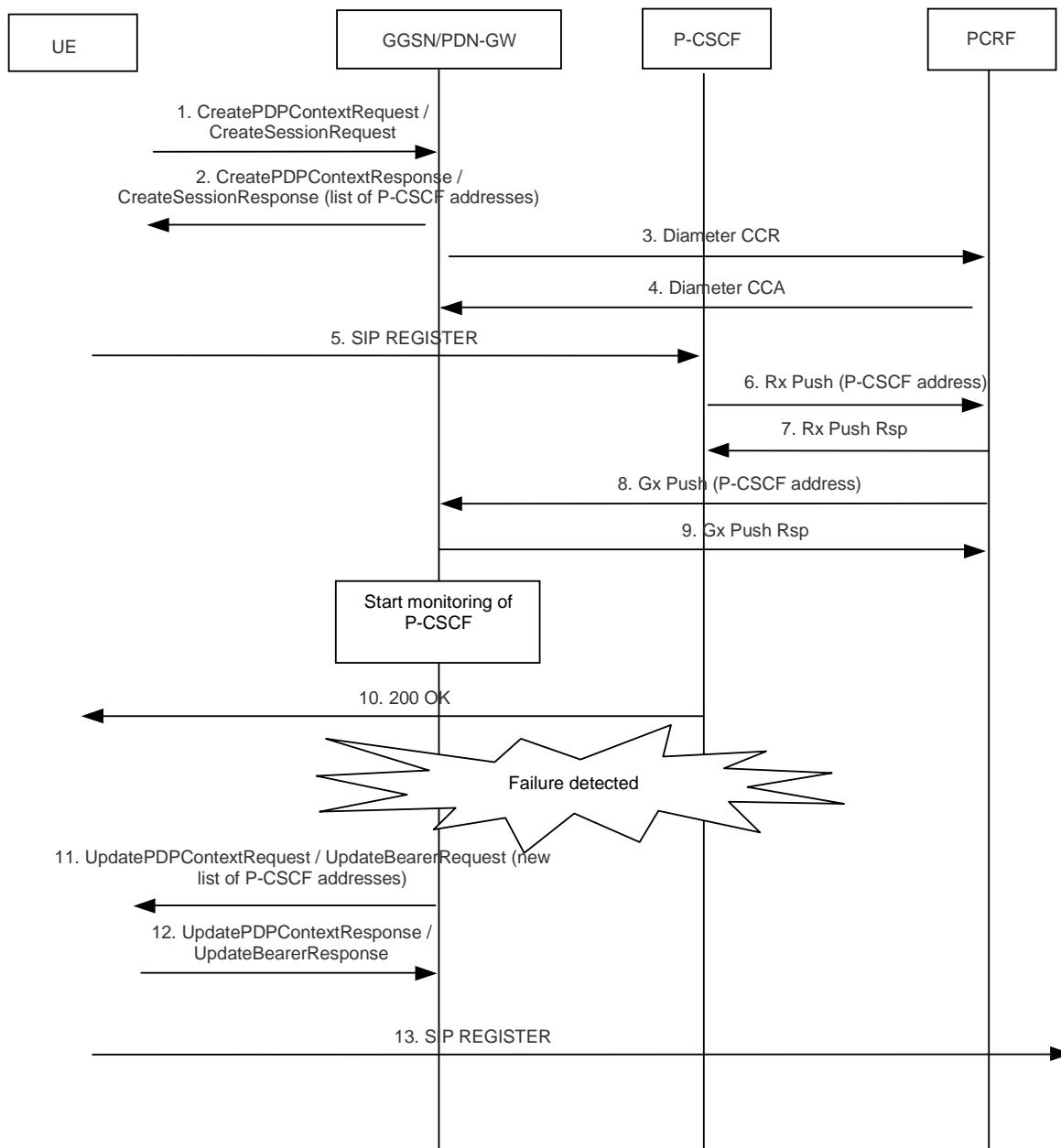


Figure 5.1.2a: P-CSCF failure (new list of P-CSCFs in PCO)

1. The UE initiates an IP-CAN session.
2. P-CSCF discovery is performed. A list of P-CSCF addresses is received in CreatePDPContextResponse / CreateBearerResponse within the PCO IE.
3. The GGSN/PDN-GW sends CCR to request for PCC rules, as specified in 3GPP TS 29.212 [6].
4. The PCRF provides PCC rules to be applied in CCA.

5. The UE performs an initial registration towards a P-CSCF from the received list.
6. The P-CSCF sends Rx Push (see 3GPP TS 29.214 [7]) to provide the PCRF with the P-CSCF selected by the UE.
7. The PCRF sends Rx Push reponse.
8. The PCRF uses a Gx push procedure to provide the GGSN/PDN-GW with the P-CSCF address.
9. The GGSN/PDN-GW stores this address for the UE and sends Gx Push Rsp. Also, the GGSN/PDN-GW starts monitoring the health of the P-CSCF if not already done.
10. The P-CSCF sends 200 OK to the UE.
11. A failure in P-CSCF is detected via Gi/sGi by the GGSN/PDN-GW. The GGSN/PDN-GW sends a new PCO IE with a new list of P-CSCF addresses (which does not include the failed P-CSCF) to all UEs associated to the failed P-CSCF address.
12. The UEs acknowledge the request.
13. Upon receiving the new list of P-CSCFs, if the P-CSCF in use is missing, each UE performs an initial registration towards a new P-CSCF.

5.1.3 Network recovery information flow with S5 PMIP

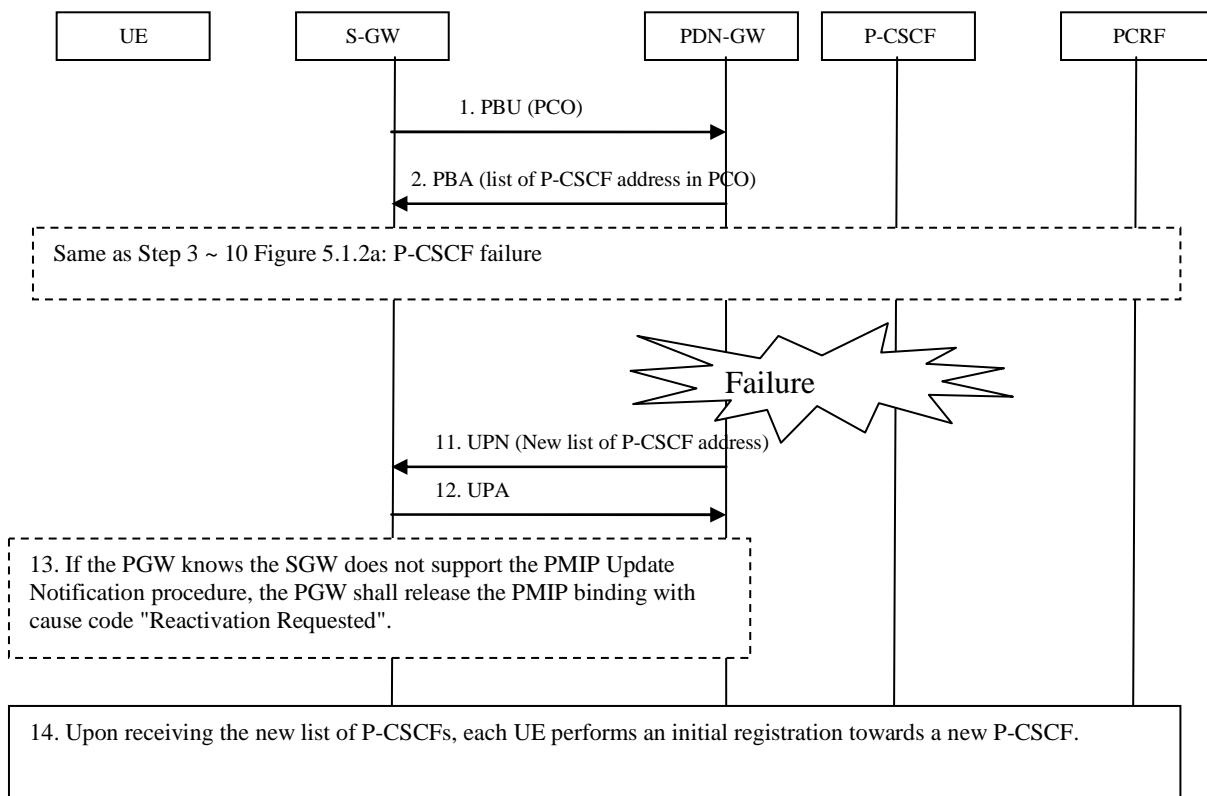


Figure 5.1.3: P-CSCF failure with S5 PMIP

- 1 ~ 10. The IMS session is setup as described in clause 5.1.2 except S5 PMIP procedure is used between SGW and PGW.
11. Once a P-CSCF failure is detected via Gi/sGi by the PDN-GW, the PDN-GW shall send a PMIP UPN message (MN ID, APN, PDN connection ID, PCO, and Additional parameters) as specified in 3GPP TS 29.275 [15] and IETF draft-ietf-netext-update-notifications-12 [16]. The PCO contains a new list of P-CSCF address. The Notification reason shall indicate that update Bearer Context at P-CSCF failure is needed.

12. If the SGW supports the PMIP Update Notification message, it shall send Update Bearer Request message with the new list of P-CSCF address in the PCO to the MME/SGSN as part of the PGW initiated bearer modification without QoS update procedure as specified in 3GPP TS 23.401[17].

Once the Update Bearer Response message is received, the SGW shall response with a PMIP UPA message (MN ID, APN, PDN connection ID, PCO, and Additional parameters) as specified in 3GPP TS 29.275 [15] and IETF RFC 7077 [16].

13. If the PGW knows the SGW does not support the PMIP Update Notification procedure, the PGW shall skip step 11 and release the PMIP binding with cause code "Reactivation Requested".

14. Upon receiving the new list of P-CSCFs, the UE may perform an initial registration towards a new P-CSCF.

5.2 Inform UE about P-CSCF failure

These flows show the procedures performed by the network at P-CSCF failure after user initiated registration..

5.2.1 General requirements

The following points are considered as requirements for the purpose of these procedures.

1. P-CSCF discovery is performed by requesting P-CSCF address(es) via DHCP method, as specified in 3GPP TS 29.061 [9], clause 13a.2.1
2. The UE supports PCO IE, as specified in 3GPP TS 24.008 [4], clause 10.5.6.3.
3. For the GTP based S5 interface, GTPv1, as specified in 3GPP TS 29.060 [8] or GTPv2, as specified in 3GPP TS 29.274 [10] are supported by the GGSN/PDN-GW
4. For the PMIPv6 based S5 interface, PMIPv6, as specified in 3GPP TS 29.275 [15] is supported by the PDN-GW.

5.2.2 Network recovery information flow – Inform UE at P-CSCF failure

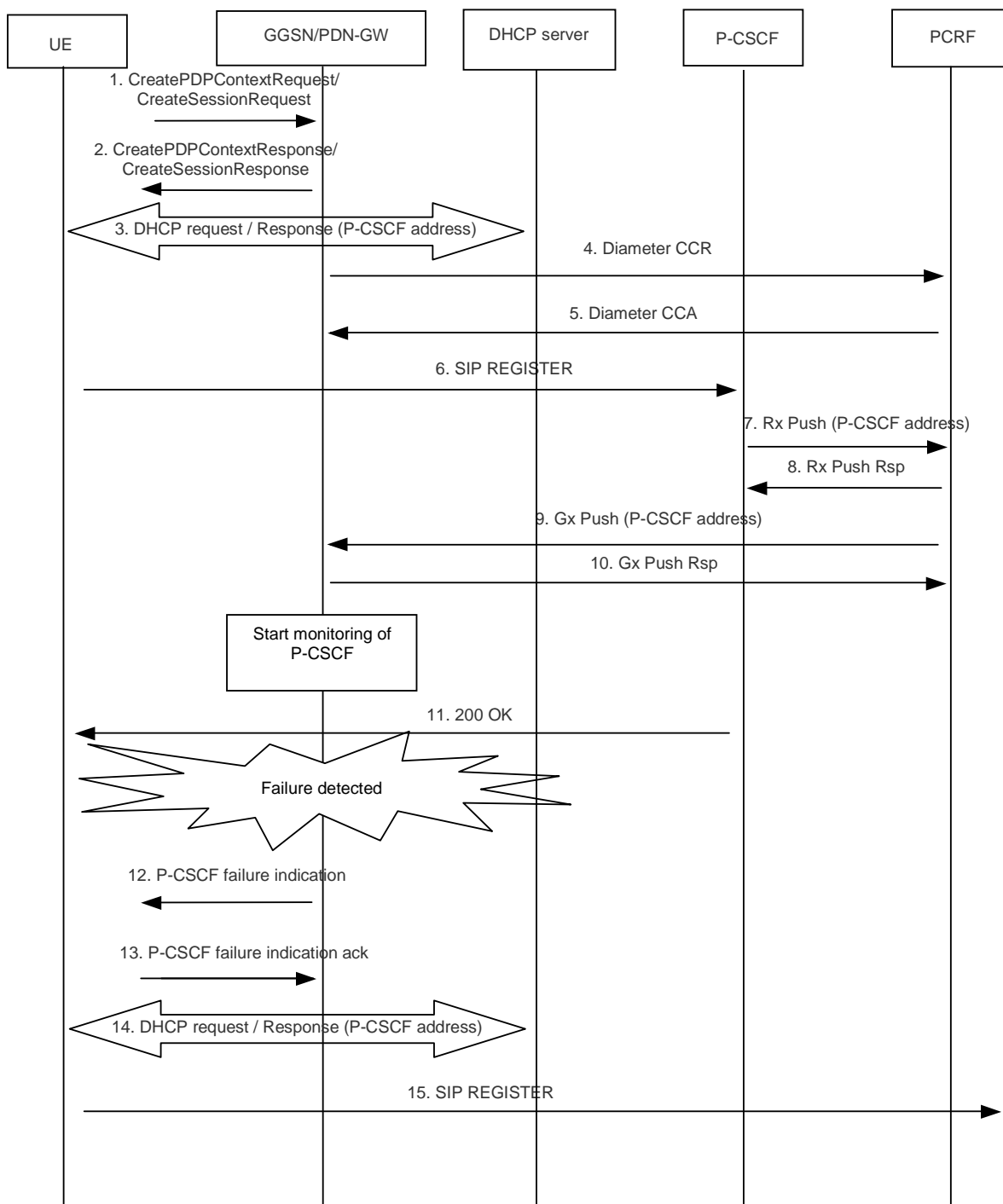


Figure 5.2.2a: P-CSCF failure for DHCP based scenarios

1-2. The UE initiates an IP-CAN session.

3. P-CSCF discovery is performed using DHCP based method. The GGSN/PDN-GW relays/send the list of P-CSCF addresses in DHCP response.

NOTE: The DHCP response can include either a list of P-CSCF IPv4/IPv6 addresses or a list of FQDNs (see IETF RFC 3361 [11] and IETF RFC 3319 [13]). If P-CSCF FQDNs were provided, the UE uses DNS SIP server resolution mechanism (see IETF RFC 3263 [12])

4. The GGSN/PDN-GW sends CCR to request for PCC rules, as specified in 3GPP TS 29.212 [6].

5. The PCRF provides PCC rules to be applied in CCA.
6. The UE performs an initial registration towards the P-CSCF received.
7. The P-CSCF sends Rx Push (see 3GPP TS 29.214 [7]) to provide the PCRF with the P-CSCF selected by the UE,
8. The PCRF sends Rx Push reponse.
9. The PCRF uses a Gx push procedure to provide the GGSN/PDN-GW with the P-CSCF address.
10. The GGSN/PDN-GW stores this address for the UE and sends Gx Push Rsp. Also, the GGSN/PDN-GW starts monitoring the health of the P-CSCF if not already done.
11. The P-CSCF sends 200 OK to the UE.
12. A failure in P-CSCF is detected via Gi/sGi by the GGSN/PDN-GW. The GGSN/PDN-GW informs to all UEs associated to the failed P-CSCF address that the P-CSCF is not available.
13. The UEs acknowledge the request.
14. The UE requests P-CSCF addresses (if needed) via new DHCP request.
15. The UE selects a new P-CSCF and initiates an initial IMS registration.

5.2.3 Network recovery information flow – Inform UE at P-CSCF failure with S5 PMIP

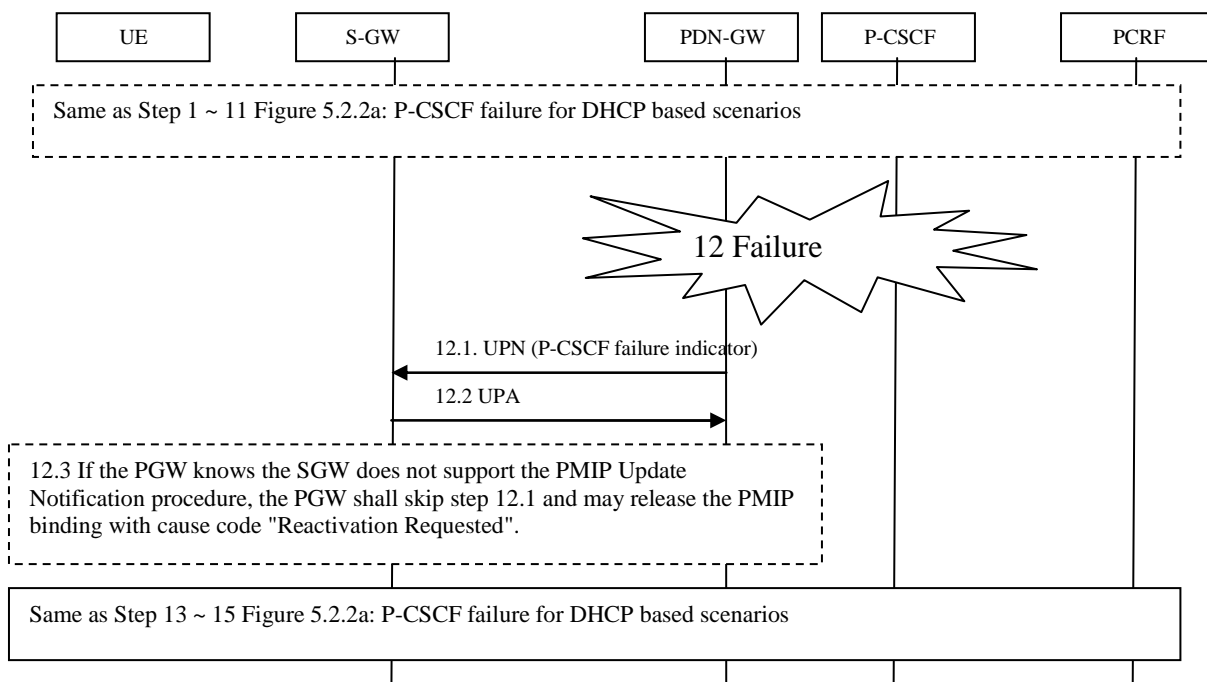


Figure 5.2.3-1: P-CSCF failure for DHCP based scenarios with S5 PMIP

1 ~ 11. Same as figure 5.2.2a step 1 ~ 11

12. A failure in P-CSCF is detected via Gi/sGi by the GGSN/PDN-GW. The GGSN/PDN-GW informs to all UEs associated to the failed P-CSCF address that the P-CSCF is not available.

- The PDN-GW shall send a PMIP UPN message (MN ID, APN, PDN connection ID, PCO, and Additional parameters) as specified in 3GPP TS 29.275 [15] and IETF RFC 7077 [16]. The PCO contains a P-CSCF failure Indicator. The Notification reason shall indicate that there is a P-CSCF failure.

- If the SGW supports the PMIP Update Notification message, it shall send Update Bearer Request message with the P-CSCF failure Indicator in the PCO to the MME as part of the PGW initiated bearer modification without QoS update procedure as specified in 3GPP TS 23.401 [17]. Once the Update Bearer Response message is received, the SGW shall response with a PMIP UPA message (MN ID, APN, PDN connection ID, PCO, and Additional parameters) as specified in 3GPP TS 29.275 [15] and IETF RFC 7077 [16].
- If the PGW knows the SGW does not support the PMIP Update Notification procedure, the PGW may release the PMIP binding with cause code "Reactivation Requested".

13 ~ 15. Same as figure 5.2.2a step 13 ~15.

5.3 Network recovery information flow – UE uses keep alive mechanism

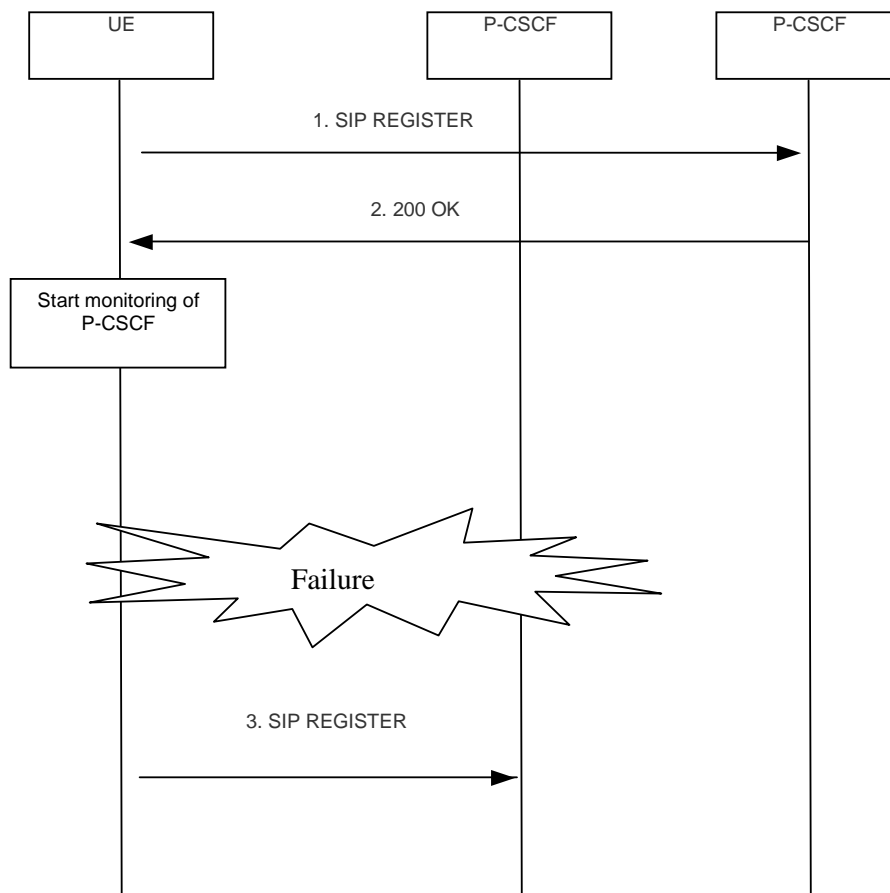


Figure 5.3a: P-CSCF failure detected by UE

1. After establishment of an IP-CAN session and acquiring P-CSCF addresses, the UE performs initial registration towards a P-CSCF.
2. If registration is successful, the UE monitors the P-CSCF health according to IETF RFC 6223 [14]
3. When a failure is detected, the UE acquires new P-CSCF addresses (if needed) and performs an initial registration.

5.4 HSS-based P-CSCF restoration for 3GPP access

5.4.1 Introduction

The HSS-based P-CSCF restoration described in the clause 5.4 is an optional mechanism which applies when the UE is using a 3GPP access for the IMS PDN connection.

When supported, this mechanism shall be executed when a terminating request cannot be serviced due to a P-CSCF failure, as long as there are no other registration flows for this terminating UE using an available P-CSCF.

The HSS-based P-CSCF restoration consists of a basic mechanism that makes usage of a path through HSS and MME/SGSN to request the release of the IMS PDN connection to the corresponding UE, as described in clause 5.4.2; and an optional extension that avoids the IMS PDN deactivation and re-activation, as described in clause 5.4.3.

5.4.2 Description

5.4.2.1 General

The call flow for the HSS-based P-CSCF restoration mechanism is described in figure 5.4.2.1-1. The nodes included in this call flow shall execute following procedures if they support the HSS-based P-CSCF restoration mechanism.

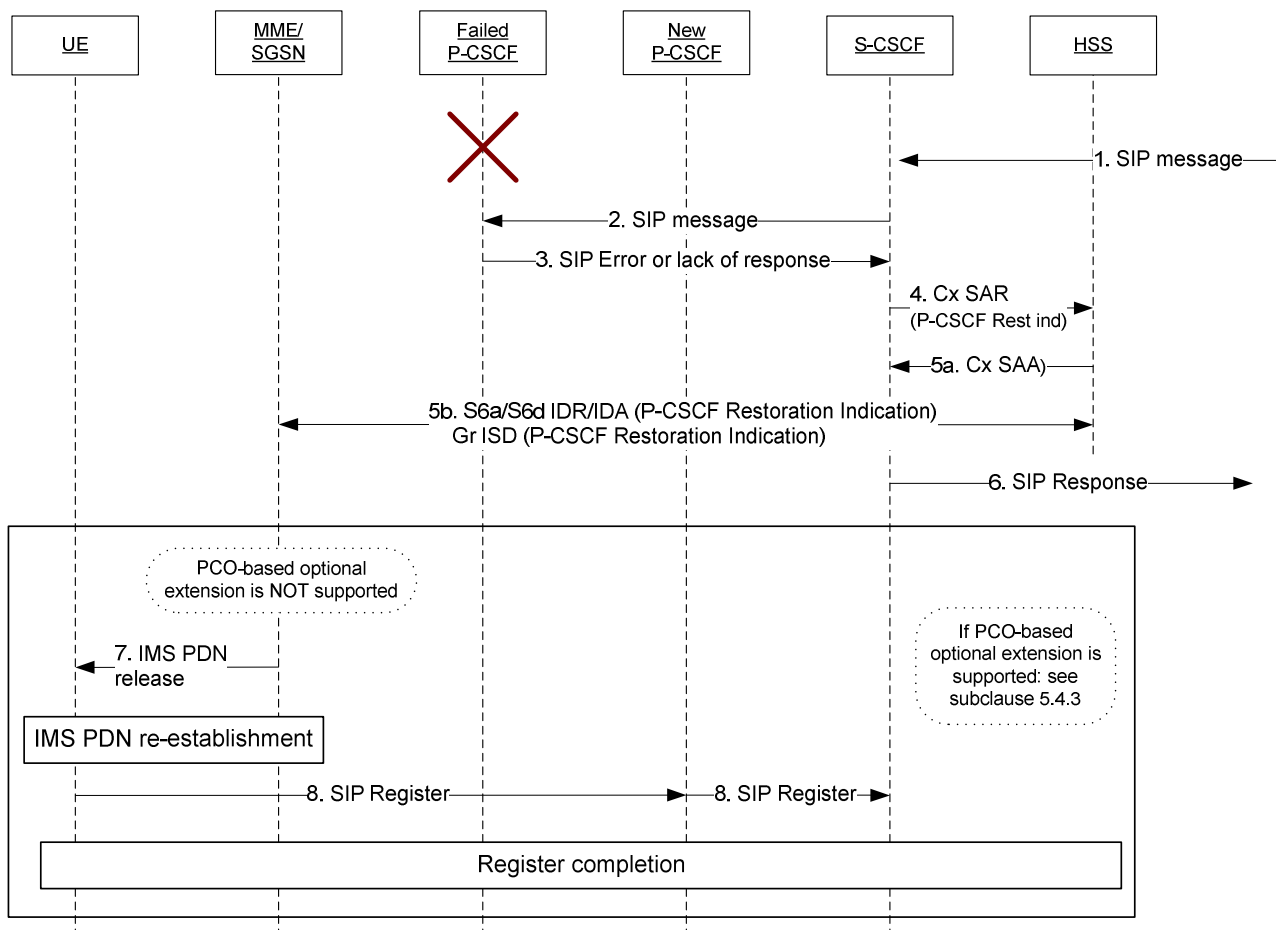


Figure 5.4.2.1-1: HSS-based P-CSCF restoration

1. The terminating S-CSCF receives a SIP message for a destination UE.
2. The S-CSCF forwards the SIP message to this called UE's terminating P-CSCF.

3. The S-CSCF shall identify whether the called UE's terminating P-CSCF is not able to process this request, based on received error codes (i.e. the UE registration data is not present) or no response. In this case, the following steps shall apply to execute the HSS-based P-CSCF restoration. For more information about S-CSCF failure detection, see clause 5.4.2.2.
4. The S-CSCF shall check the registration status of the Public User Identity associated to the called UE. If the registration state of the Public User Identity is Registered, the S-CSCF shall check if the Public User Identity is currently registered with one or more Private User Identities.
 - If the Public User Identity is currently registered with only one Private User Identity, the S-CSCF shall unregister this Public User Identity by sending a Cx SAR to the HSS, including a P-CSCF Restoration indication.
 - If the Public User Identity is currently registered with more than one Private User Identity, the S-CSCF shall send a deregistration request to the HSS for the corresponding Public User Identity and Private User Identity pair via Cx SAR, including a P-CSCF Restoration indication.
5. The HSS shall identify whether the MME/SGSN supports HSS-based P-CSCF restoration based on feature support information provided by the MME/SGSN as described in clause 5.4.2.3, then when the HSS receives a Cx SAR with a P-CSCF Restoration indication, it shall check whether the serving node(s) for corresponding user support this feature:
 - if at least one of the serving nodes support the feature:
 - the HSS shall send a P-CSCF restoration indication to the supporting serving node(s) where the IMSI associated to the received Private Identity is registered, i.e. SGSN and/or MME, using S6a/S6d IDR/IDA or Gr ISD request/answer; and
 - the HSS shall perform either the unregistration or deregistration requested and it shall send a successful response to the S-CSCF via Cx SAA. The S-CSCF shall set respectively this Public User Identity as Unregistered or this UE as not registered.

NOTE 1: The S-CSCF can start a P-CSCF Restoration Ongoing Timer to monitor the P-CSCF Restoration procedure. If the UE performs a new IMS registration before this timer expires, as a result of the P-CSCF Restoration procedure execution, the S-CSCF stops the timer. Otherwise, the S-CSCF registers again the Public User Identity by sending a Cx SAR to the HSS and it stops the timer. The value of the P-CSCF Restoration Ongoing Timer can consider how long the P-CSCF Restoration execution may take, and then it can take into account factors like paging re-transmission timers.

- in addition, if the 3GPP AAA Server supports P-CSCF restoration for WLAN and if the user has an IMS APN configuration subscription for a WLAN access and is registered to a WLAN access, the procedure described in clause 5.6.2.2 from step 5 onwards shall apply.
- otherwise, the HSS shall provide an error response back in Cx SAA to the S-CSCF.

NOTE 2: In case there is not homogeneous support of this feature in corresponding user serving nodes, the P-CSCF Restoration procedure may be triggered as long as one serving node supports this feature, but if the UE is only reachable in the non-supporting serving node, the restoration procedure is not successful.

6. The S-CSCF shall send a SIP response back to the originating side. This shall be an error response if only one Private User Identity is registered, since the S-CSCF is not able to progress the request; otherwise the S-CSCF shall select the best possible response following normal forking procedures.

Subject to an operator policy, the error response sent by S-CSCF may in addition inform the originating side that a terminating request reattempt is possible based on timer expiration.

NOTE 3: Steps 4 and 6 above are not required to be in this order, reverse order is also possible.

7. Upon reception of the P-CSCF Restoration indication from the HSS, the MME/SGSN from the received IMSI shall identify if the MM context of the UE exists and if the UE has an IMS PDN connection context. If either the MM context or the IMS PDN connection context does not exist, the MME/SGSN shall discard the P-CSCF Restoration indication without further processing; otherwise the MME/SGSN shall continue as below.

NOTE 4: GSMA PRD IR.65 [21] clause 2.3 recommends one single IMS APN in case of simultaneous usage of VoLTE and RCS.

The MME/SGSN shall check the UE state:

- If the UE is in ECM-IDLE state, the MME/SGSN shall page the UE.
- If the UE is initially in ECM-CONNECTED state or when it gets a response from the UE after paging:
 - If ISR is active, the MME/SGSN shall send a message, via the S3 interface, to stop paging the UE at the other ISR-associated node; and
 - The MME/SGSN shall execute the optional PCO-based optional extension to this mechanism as described in clause 5.4.3, if this optional extension is supported by the MME/SGSN and by the serving SGW/PGW; otherwise it shall proceed as below.

NOTE 5: The support of this feature by the serving SGW/PGW is determined based on the local configuration at the MME/SGSN.

- The SGSN, or the MME if this is not the last PDN connection of the UE, shall release the UE's IMS PDN connection towards the UE by initiating a PDN disconnection procedure with the NAS cause "reactivation requested". If this is the last PDN connection of the UE, the MME shall initiate a detach procedure with the NAS cause code "reactivation requested". Additionally, the MME/SGSN shall also release the same PDN connection towards the SGW/PGW by sending Delete Session message (not shown in the figure).
8. As a result of the release of the IMS PDN connection, the UE shall activate the IMS PDN connection, select an available P-CSCF and perform a new initial IMS registration, as per 3GPP TS 29.061 [9].

5.4.2.2 P-CSCF restart/failure detection by S-CSCF

5.4.2.2.1 General

If the P-CSCF is not reachable, the S-CSCF does not receive any SIP response when it sends a request. In this case, the S-CSCF shall consider the P-CSCF to be non-reachable. As long as the S-CSCF considers the P-CSCF to be non-reachable, the S-CSCF shall not try to contact again this P-CSCF for subsequent terminating requests. The S-CSCF shall consider the P-CSCF to be reachable as soon as a SIP request, including REGISTER, is received from that P-CSCF.

Various mechanisms can be used for the S-CSCF to detect a non-reachable P-CSCF, e.g. keep-alive mechanisms or expiry of timers.

If the P-CSCF is reachable, but it is not able to process the request, it shall send an error indication to the S-CSCF.

5.4.2.2.2 Direct connection from S-CSCF to P-CSCF

This is the normal case when the terminating user is not roaming.

When the S-CSCF receives a terminating request towards a UE registered to a P-CSCF that is not considered non-reachable, the S-CSCF shall forward the request to the P-CSCF. If the P-CSCF does not respond, after a pre-defined number of retransmissions, the S-CSCF shall consider the P-CSCF to be non-reachable.

5.4.2.2.3 S-CSCF connection to P-CSCF via IBCF/ATCF

This is the normal case when the terminating user is roaming and there are IBCFs between the S-CSCF and the P-CSCF. It can also be that an ATCF is inserted between the S-CSCF and the P-CSCF.

In this case, the SIP node closest to the P-CSCF shall identify when the P-CSCF is not reachable. It rejects the request with a SIP error response with an indication that the P-CSCF is not reachable.

5.4.2.3 MME/SGSN mechanism support

If the MME/SGSN supports this mechanism, it shall indicate support of this feature to the HSS in S6a/S6d ULR. If support is indicated, this information shall be stored by HSS per MME/SGSN.

5.4.3 PCO-based optional extension

5.4.3.1 Introduction

The HSS-based P-CSCF basic mechanism is optionally extended by reusing part of the "Update PDP context/bearer at P-CSCF failure" mechanism described in clause 5.1, in order to avoid the need to deactivate and reactivate the IMS PDN connection.

This extension is based on the possibility for the P-GW/GGSN to know whether or not the UE supports the "Update PDP context/bearer at P-CSCF failure" mechanism. This is described in clause 5.4.3.3.

5.4.3.2 Description

This procedure is described by figure 5.4.3.2-1 (for EPC) and 5.4.3.2-2 (for GPRS). The nodes included in this call flow shall execute following procedures if they support the HSS-based P-CSCF restoration mechanism.

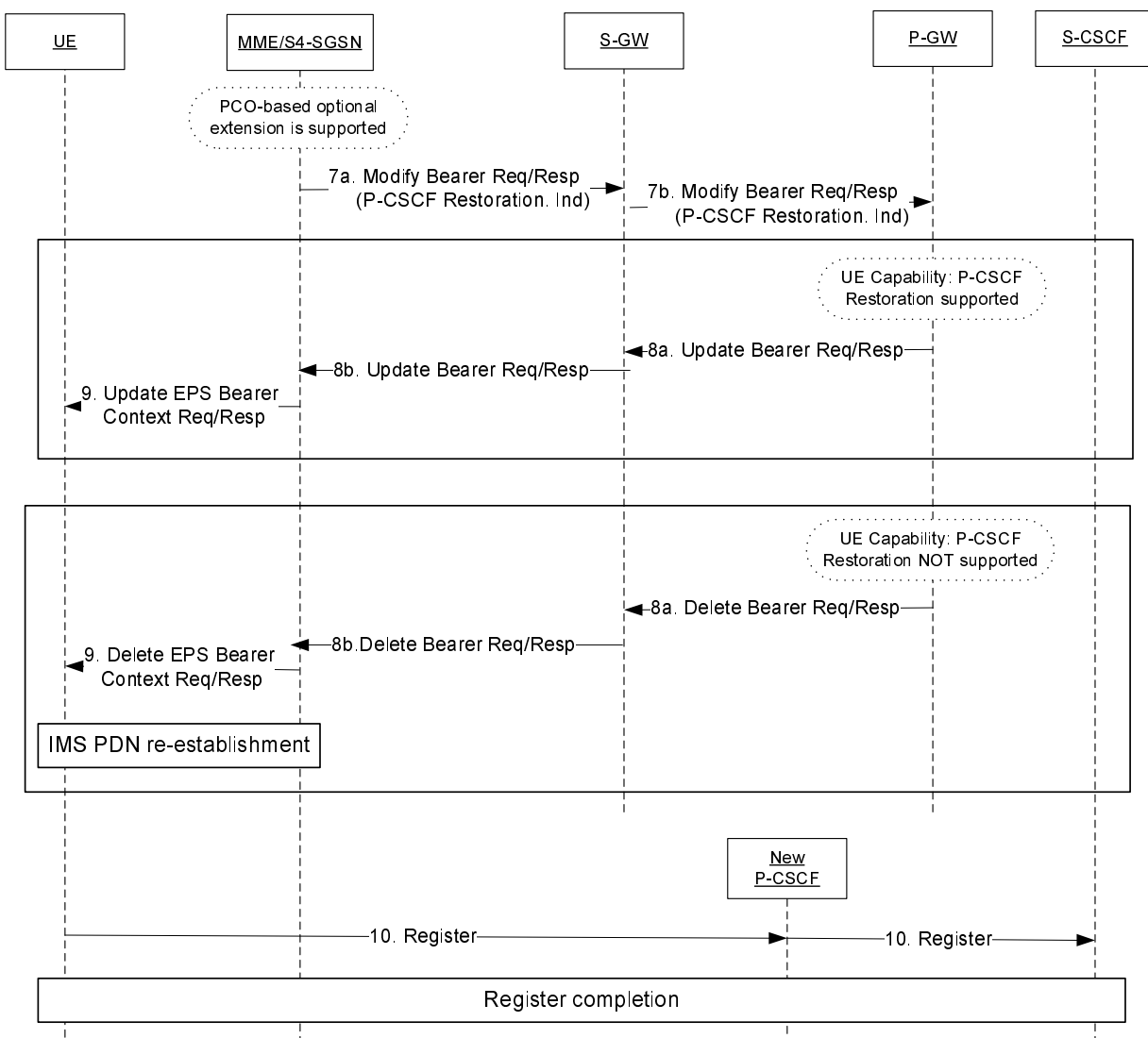


Figure 5.4.3.2-1: PCO-based optional extension - EPC

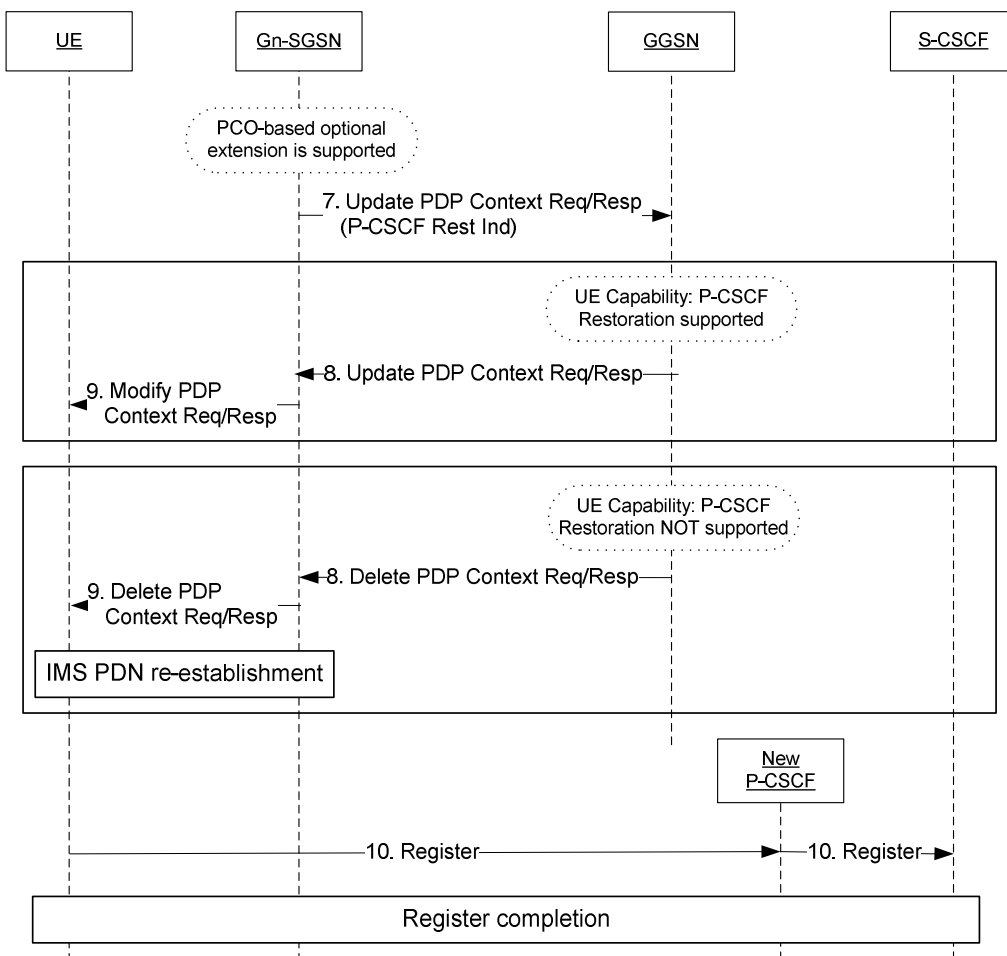


Figure 5.4.3.2-2: PCO-based optional extension - GPRS

Steps from 1 to 6 are the same as explained in figure 5.4.2.1-1 above.

7. The MME/SGSN shall send Modify Bearer Request / Update PDP Context Request to the P-GW/GGSN for this associated PDN connection with a P-CSCF Restoration indication.

The MME/S4 SGSN shall provide this indication to the P-GW via the S-GW. When the Modify Bearer Request is received by the S-GW with the P-CSCF Restoration indication, this message shall be forwarded to the P-GW.

8. Upon reception of the P-CSCF Restoration indication, the P-GW/GGSN shall check whether the UE has indicated it supports "Update PDP context/bearer at P-CSCF failure" mechanism, as described in clause 5.4.4:
 - if supported, the PGW/GGSN shall send Update Bearer Request / Update PDP Context Request to the MME/SGSN with the list of available P-CSCF addresses within PCO IE to update destination UE. The list of available P-CSCFs may contain the address of the P-CSCF used by the UE if this P-CSCF has restarted and is again available.
 - if not supported, the P-GW/GGSN shall release the IMS PDN connection/PDP context by sending a Delete Bearer Request / Delete PDP Context Request to the MME/SGSN with GTP cause "reactivation requested".

9. Upon reception of the Update Bearer Request / Update PDP Context Request, the MME/SGSN shall send an Update EPS Bearer Context Request / Modify PDP Context Request to the UE, including the PCO with the list of available P-CSCF addresses; otherwise, upon reception of a Delete Bearer Request / Delete PDP Context

Request, the MME/SGSN shall send a Delete EPS Bearer Context Request / Delete PDP Context Request to the UE with the NAS cause "reactivation requested", then once the PDN connection is released, the UE shall re-activate the IMS PDN connection.

10. The UE selects an available P-CSCF. If the UE has received a Modify EPS Bearer Context Request / Modify PDP Context Request while the UE does not have any ongoing session then the UE shall select one available P-CSCF from the list for IMS registration and perform a new initial IMS registration.

NOTE: The P-CSCF can be completely unreachable, so it is up to UE implementation to detect the end of an ongoing session, e.g. using media plane inactivity detection. Services depending on signalling such as CW and MT calls will not work during this time.

5.4.4 UE indication of support for "Update PDP context/bearer at P-CSCF failure" Restoration

This optional extension is based on the possibility for the P-GW/GGSN to identify whether or not the UE supports "Update PDP context/bearer at P-CSCF failure", as described in clause 5.1 and 3GPP TS 24.229 [19] (clauses B.2.2.1C and L.2.2.1C).

The UE shall indicate this capability to the P-GW/GGSN at the activation of the IMS PDN connection /PDP context, in a PCO parameter as described in 3GPP TS 24.008 [4] clause 10.5.6.3. The P-GW/GGSN shall store this UE capability.

This method has no impact on the MME/SGSN or SGW, as PCO information is transparently transferred through these network elements.

5.4.5 Coexistence with "Update PDP context/bearer at P-CSCF failure" mechanism

If the "Update PDP context/bearer at P-CSCF failure" mechanism is deployed, as soon as a P-CSCF failure is detected, as described in clause 5.1, it triggers massive radio signalling first and then massive IMS registration. Therefore, the HSS-based P-CSCF restoration triggering use case does not occur in most cases, and benefits are minimal; i.e., in case of coexistence, the "Update PDP context/bearer at P-CSCF failure" mechanism takes precedence over the HSS-based P-CSCF restoration mechanism in most cases. Hence, if the optional HSS-based P-CSCF restoration is deployed in a network, the recommendation is to only deploy the HSS-based P-CSCF restoration.

5.4.6 HSS based P-CSCF restoration in roaming scenarios

The considered roaming scenarios are the ones described in 3GPP TS 23.401 [17], clause 4.2.2.

For these roaming scenarios, when the VPLMN and the HPLMN both support the HSS based P-CSCF restoration mechanism, this mechanism shall be used for P-CSCF restoration. The HPLMN shall be aware that the VPLMN supports the HSS based P-CSCF restoration mechanism by signalling from the VPLMN. The HPLMN should not trigger a P-CSCF restoration otherwise.

For the roaming scenarios when either the VPLMN or the HPLMN does not support the HSS based P-CSCF restoration mechanism, then the PGW/GGSN which is located in network supporting the HSS based mechanism, depending on operator policy, may apply:

- no P-CSCF restoration mechanism; or
- another existing mechanism e.g. the "Update PDP context/bearer at P-CSCF failure" mechanism described in clause 5.1. The PGW/GGSN shall be aware (e.g. by local configuration) that the HSS based P-CSCF restoration mechanism cannot be used.

NOTE: The PGW/GGSN identifies the roaming or non-roaming scenario based on the serving PLMN-ID and IMSI received from the MME/SGSN at the PDN connection establishment.

5.5 PCRF-based P-CSCF restoration

5.5.1 Introduction

The PCRF-based P-CSCF restoration is an optional mechanism.

This mechanism is executed when a terminating request does not proceed due to a P-CSCF failure, as long as there are no other registration flows for this terminating UE using an available P-CSCF.

The PCRF-based P-CSCF restoration consists of a basic mechanism that makes usage of a path through an alternative P-CSCF and PCRF to request the release of the IMS PDN connection to the corresponding UE, as described in clause 5.5.2; and an optional extension that avoids the IMS PDN deactivation and re-activation, as described in clause 5.5.3.

5.5.2 PCRF-based P-CSCF restoration information flow - deactivate PDN connection/PDP context

The following figures illustrate the details of PCRF-based P-CSCF restoration information flow.

The nodes included in this call flow shall execute following procedures if they support the PCRF-based P-CSCF restoration mechanism.

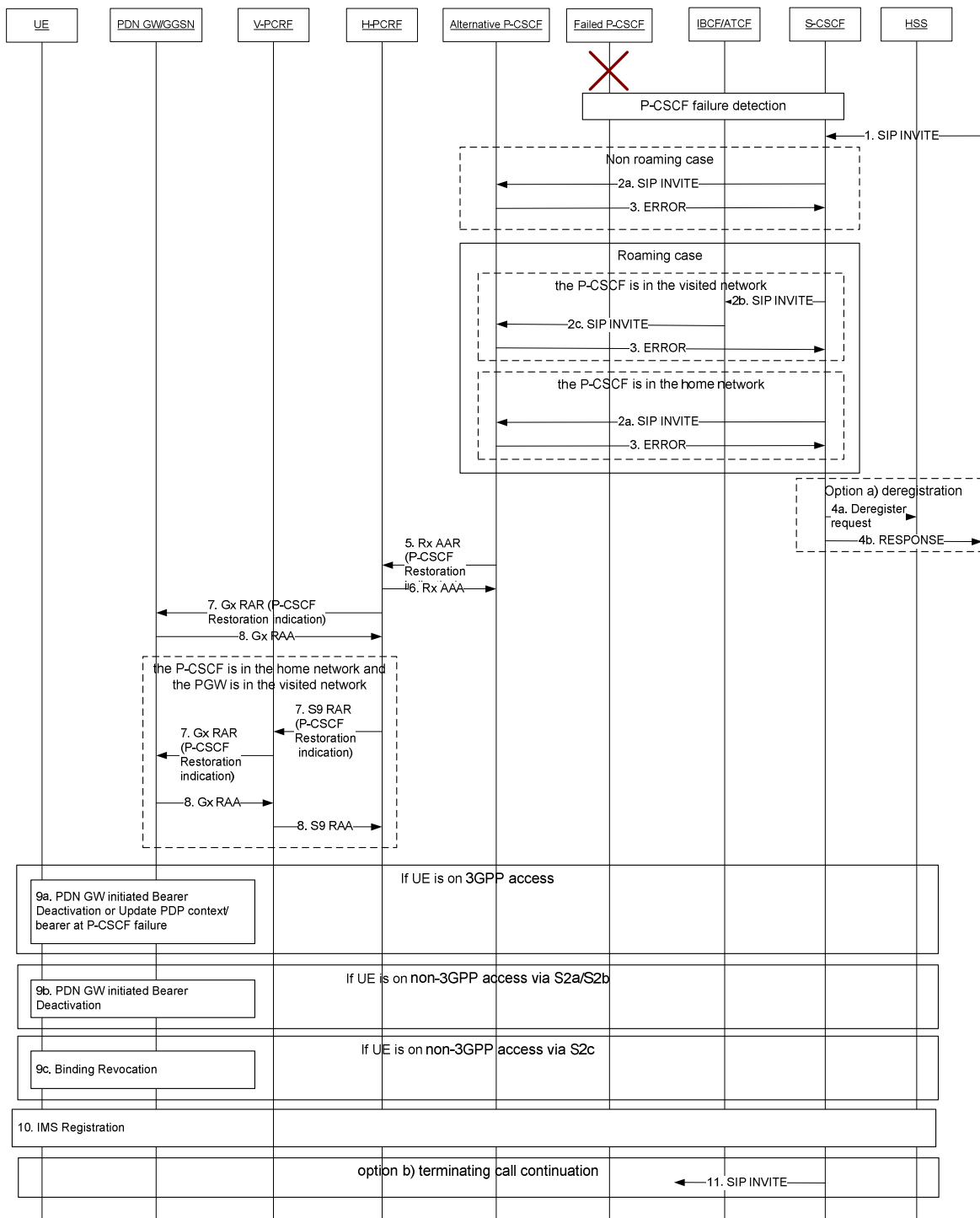


Figure 5.5.2-1: PCRf based P-CSCF restoration

This call flow provides two options for termination call being treated.

Option a) makes terminating UE to be deregistered until next re-registration.

Option b) continues terminating call after successful re-IMS registration.

1. The S-CSCF receives a terminating INVITE message.

- 2a. The S-CSCF shall populate IMSI into the terminating INVITE message. IMSI is maintained in the S-CSCF, which is obtained from HSS when the UE registers. Then the S-CSCF shall forward the Terminating INVITE message to alternative P-CSCF. The alternative P-CSCF is chosen by local configuration.

NOTE 1: The IMSI is used by the alternative P-CSCF to find the associated PCRF associated for the UE. The IMSI information is subtracted in P-CSCF.

- 2b. The S-CSCF shall populate IMSI into the terminating INVITE message. IMSI is maintained in the S-CSCF, which is obtained from HSS when the UE registers. Then the S-CSCF shall forward the terminating INVITE message to visited network.
- 2c. If IBCF or ATCF next to the failed P-CSCF has detected the P-CSCF failure, IBCF or ATCF shall forward the terminating INVITE message to alternative P-CSCF. The alternative P-CSCF is chosen by local configuration.
3. The alternative P-CSCF shall send SIP ERROR message to the S-CSCF.
- 4a. If option a) is chosen, the S-CSCF shall check the registration status of the Public User Identity associated to the called UE. If the registration state of the Public User Identity is registered, the S-CSCF shall check if the Public User Identity is currently registered with one or more Private User Identities.
- If the Public User Identity is currently registered with only one Private User Identity, the S-CSCF shall unregister this Public User Identity sending a Cx SAR/SAA to HSS. If the response is successful, the S-CSCF shall set this Public User Identity as Unregistered.
 - If the Public User Identity is currently registered with more than one Private User Identity, the S-CSCF shall send a deregistration to HSS for the corresponding Public User Identity and Private User Identity pair via Cx SAR/SAA. If the response is successful, the S-CSCF shall set this UE as not registered.

NOTE 2: the S-CSCF can start a P-CSCF Restoration Ongoing Timer to monitor the P-CSCF Restoration procedure. If the UE performs the new IMS registration before this timer expires, as a result of the P-CSCF Restoration procedure execution, the S-CSCF stops the timer. Otherwise, the S-CSCF registers again the Public User Identity by sending a Cx SAR to the HSS and it stops the timer. The value of the P-CSCF Restoration Ongoing Timer can consider how long the P-CSCF Restoration execution may take, and then it can take into account factors like paging re-transmission timers.

- 4b. If option a) is chosen the S-CSCF shall send a SIP response back to the originating side. This shall be an error response if only one Private User Identity is registered; otherwise the S-CSCF shall select the best possible response following normal forking procedures.
5. The alternative P-CSCF shall send an Rx AAR message with the P-CSCF restoration indication to the associated PCRF, the associated PCRF is found by UE's IP address (if available), IP Domain (if UE's IP address is provided and IP address overlapping can occur), IMSI and APN. The APN and IP Domain are set based on local configuration and additionally referring to the SDP information, e.g. media field, on the received SIP INVITE message.

NOTE 3: When the UE's IP address is not available, the P-CSCF has to include both IMSI and APN in the Rx AAR command.

NOTE 4: When IMSI is not available, the associated PCRF for the UE can be found by IP address of the UE with the condition that there is no IP translation function in the P-CSCF.

6. The PCRF shall send an Rx AAA to the P-CSCF
7. The PCRF shall find the IP-CAN session related to that UE based on the available information received in step 5 and shall send a Gx RAR including the P-CSCF restoration indication to the PDN GW/GGSN that has been associated with that IP-CAN session. In case where the alternative P-CSCF is located in the HPLMN and the associated PDN GW/GGSN is located in the VPLMN, in this case both S9 interface and Gx interface are used.
8. The PDN GW/GGSN shall send Gx RAA to the PCRF.
9. Then the PDN GW/GGSN shall perform one of following procedures.
- For 3GPP accesses, the PDN GW/GGSN initiates bearer deactivation procedure for the default bearer with "reactivation requested", if the PDN GW/GGSN has no knowledge whether the UE supports the "Update

PDP context/bearer at P-CSCF failure". If the UE supports the "Update PDP context/bearer at P-CSCF failure" mechanism, step 11 and 12 in the procedure that is described in clause 5.1 is reused instead.

- For the S2a and S2b, the PDN GW initiates bearer deactivation procedure to the trusted non 3GPP access domain and the ePDG, respectively.
- For the S2c, the PDN GW/GGSN initiates detach procedure.

NOTE 5: For the S2a/b/c, it should be noted that although this procedure does not request UE to re-attach to the IMS explicitly by signalling, it is assumed that IMS compliant UE shall re-attempt to obtain IMS service soon after detached from the IMS service.

10. UE activates the PDN connection and registers to IMS. As a result of the release of the IMS PDN connection, the voice centric UE activates the IMS PDN connection, selects a new available P-CSCF and performs a new initial IMS registration.

11. If option b) is chosen, the S-CSCF shall send the suspended terminating SIP INVITE message to a newly selected P-CSCF after the successful SIP registration for the UE.

5.5.3 PCO-based optional extension

5.5.3.1 Introduction

The PCRF-based P-CSCF basic mechanism is optionally extended by reusing part of the "Update PDP context/bearer at P-CSCF failure" mechanism described in clause 5.1, in order to avoid the need to deactivate and reactivate the IMS PDN connection.

This extension is based on the possibility for the P-GW/GGSN to know whether or not the UE supports the "Update PDP context/bearer at P-CSCF failure" mechanism. This is described in clause 5.5.3.3.

5.5.3.2 Description

This procedure is described by figure 5.4.3.2-1 (for EPC) starting with step 8a and 5.4.3.2-2 (for GPRS) starting with step 8. The nodes included in this call flow shall execute following procedures if they support the PCRF-based P-CSCF restoration mechanism.

5.5.3.3 UE indication of support for "Update PDP context/bearer at P-CSCF failure" Restoration

This function is identical to the HSS-based P-CSCF restoration. Refer to 5.4.4.

5.5.4 Coexistence with "Update PDP context/bearer at P-CSCF failure" mechanism

If the "Update PDP context/bearer at P-CSCF failure" mechanism is deployed, as soon as a P-CSCF failure is detected, as described in clause 5.1, it triggers massive radio signalling first and then massive IMS registration. Therefore, the PCRF-based P-CSCF restoration triggering use case does not occur in most cases, and benefits are minimal; i.e., in case of coexistence, the "Update PDP context/bearer at P-CSCF failure" mechanism takes precedence over the PCRF-based P-CSCF restoration mechanism in most cases. Hence, if the optional PCRF-based P-CSCF restoration is deployed in a network, the recommendation is to only deploy the PCRF-based P-CSCF restoration.

5.5.5 P-CSCF restoration in roaming scenarios for PCRF based solution

In a home routed scenario, i.e., S-GW(or any other gateway node) is in VPLMN and P-GW and P-CSCF are in HPLMN, the PCRF based solution can work solely within HPLMN that supports the PCRF based solution, no matter VPLMN supports the solution or not.

The following procedures only apply to the local breakout scenario.

In roaming scenarios, the VPLMN and HPLMN operators may deploy the same or different P-CSCF restoration mechanisms, amongst those described in clause 5.1 (Update PDP context/bearer at P-CSCF failure), clause 5.4 (HSS based P-CSCF restoration) and clause 5.5 (PCRF based P-CSCF restoration), independently from each other.

The PCRF based P-CSCF restoration can work in roaming scenarios if:

- 1) Both HPLMN and VPLMN support the PCRF based P-CSCF restoration; or
- 2) When the HPLMN does not support the PCRF based P-CSCF restoration but VPLMN does and NAT is not performed.

NOTE: If the HPLMN does not support the PCRF based P-CSCF restoration, IMSI may not be available on terminating INVITE message.

Alternatively, based on the operator policy or roaming agreement, the VPLMN can use the "Update PDP context/bearer at P-CSCF failure" mechanism described in clause 5.1.

For a terminating call to outbound roamers, the S-CSCF may not populate IMSI to terminating INVITE message if HPLMN knows, e.g. by configuration in the S-CSCF according to roaming agreements, that VPLMN for outbound roamer does not support the PCRF based P-CSCF restoration.

5.6 P-CSCF restoration for WLAN

5.6.1 Introduction

The clause 5.6 describes solutions to support P-CSCF restoration for UEs with an IMS PDN connection supported over a WLAN access.

The clauses 5.6.2 and 5.6.3 describe the basic mechanism for the HSS-based solution and for the PCRF-based solution. The basic mechanism relies on the release of the IMS PDN connection followed by its re-establishment to trigger a new IMS registration by the UE.

The clauses 5.6.4 and 5.6.5 describe extensions for trusted WLAN and for untrusted WLAN accesses to avoid the release of the IMS PDN connection and to trigger a new IMS registration by the UE over the existing IMS PDN connection. The extensions between the UE and the PGW are common for the HSS-based and for the PCRF-based solutions and rely on the same UE behavior.

5.6.2 Basic mechanism for the HSS-based solution

5.6.2.1 Overview and principles

The HSS-based P-CSCF restoration mechanism for WLAN extends the HSS-based P-CSCF restoration mechanism (specified for 3GPP accesses in clause 5.4) to trusted and untrusted WLAN accesses and is based on the same principles to disconnect the UE, which then re-establishes the connection via an available P-CSCF.

If the UE is registered to the EPC via a WLAN access and has an IMS APN subscription permitting non-3GPP accesses, the HSS forwards a P-CSCF restoration indication to the 3GPP AAA Server which transfers it to the PGW. Then the PGW initiates the release of the IMS PDN connection towards the UE via the WLAN access.

Following the release of the IMS PDN connection, the UE re-establishes a new IMS PDN connection and performs a new P-CSCF discovery (according to the existing procedures), and then registers again to IMS.

5.6.2.2 Description

The call flow for the HSS-based P-CSCF restoration mechanism for WLAN is described in figure 5.6.2.2-1. The functional entities involved by this call flow shall execute the following procedure if they all support the HSS-based P-CSCF restoration for WLAN.

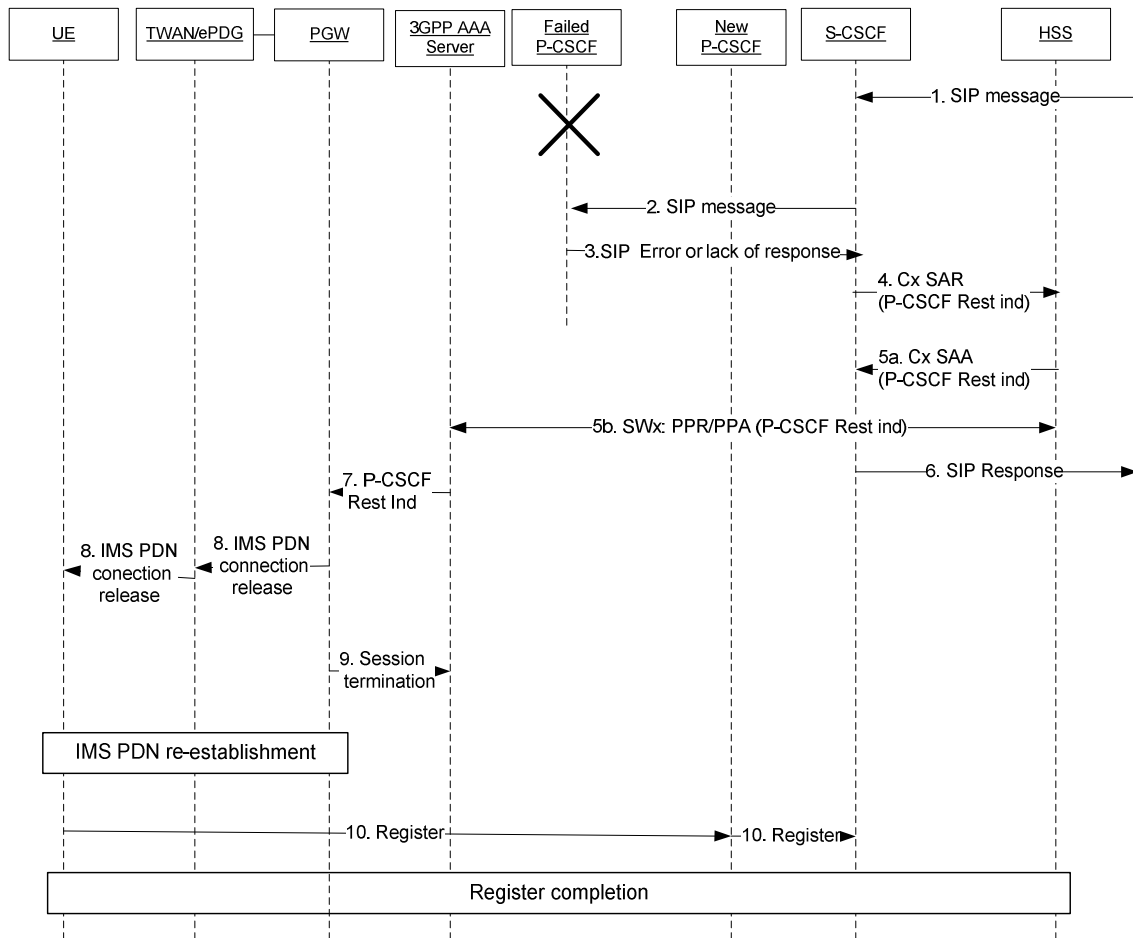


Figure 5.6.2.2-1: HSS-based P-CSCF restoration for WLAN

For a WLAN access, the basic mechanism to request the UE to do a new IMS registration is to release the IMS PDN connection over the interface (S2a or S2b) through which the UE is connected. The solution avoids disconnecting other PDN connections than the IMS PDN one.

NOTE 1: the UE may establish multiple PDN connections via untrusted WLAN and trusted WLAN in multi-connection mode; while there is a single SWm Diameter session per PDN connection, there is a unique STa Diameter session per UE.

Steps 1 to 4 are common with the steps 1 to 4 of clause 5.4.2.1.

5. After having checked that the 3GPP AAA Server supports the HSS-based P-CSCF restoration for WLAN, the HSS, if the user has a non-3GPP access subscription with an IMS APN configuration and if the user has a non-3GPP access registration in the HSS for the WLAN access, shall forward a P-CSCF restoration indication to the 3GPP AAA Server over SWx by using a PPR command, perform either the IMS unregistration or deregistration requested by the S-CSCF and send a successful response to the S-CSCF via a Cx SAA command. The S-CSCF shall set respectively this Public User Identity as unregistered or this UE as not registered.

If the user has also an IMS APN configuration subscription for a 3GPP access and is registered to a 3GPP access, the procedure described in clause 5.4.2.1 from step 5 onwards shall apply in addition.

Otherwise, if the P-CSCF restoration is not triggered over WLAN or 3GPP access, the HSS shall provide an error response in Cx SAA to the S-CSCF.

6. Step 6 is common with step 6 described in clause 5.4.2.1 for 3GPP accesses.

NOTE 2: Steps 4 and 6 above are not required to be in this order, reverse order is also possible.

7. If the 3GPP AAA Server has the information that an IMS PDN connection is established via a WLAN access for the user, the 3GPP AAA Server, after having checked that the PGW supports the HSS-based P-CSCF restoration for WLAN, shall send a P-CSCF restoration indication to the PGW over S6b in a Re-authorization Request (RAR) command, via a 3GPP AAA Proxy over SWd if a VPLMN is involved.

NOTE 3: The PGW does not send any AA-Request (AAR) command to the 3GPP AAA server after having received the Re-authorization Request (RAR) command in step 7, given that it will send a Session Termination Request (STR) in step 9.

NOTE 4: GSMA PRD IR.65 [21] clause 2.3 recommends one single IMS APN in case of simultaneous usage of VoLTE and RCS. If there were two PDN connections with the IMS APN, the reception of the P-CSCF restoration indication would result in the release of the two IMS PDN connections although only the PDN connection related to the failed P-CSCF should be released.

8. The PGW shall proceed with the release of the IMS PDN connection as follows:

- For a TWAN access, the PGW shall initiate over the S2a interface a Delete Bearer Request procedure (GTP) or a Proxy Mobile IPv6 LMA Initiated PDN Connection Deletion procedure (PMIP) to the TWAN which then shall initiate:
 - a WLCP PDN Disconnection procedure towards the UE for a UE in multi connection mode as described in 3GPP TS 24.244 [22];
 - a TWAN specific resource release procedure, in single connection mode or transparent single connection mode;
- For an untrusted WLAN access, the PGW shall initiate over the S2b interface a Delete Bearer Request procedure (GTP) or a Proxy Mobile IPv6 LMA Initiated PDN Connection Deletion procedure (PMIP) to the ePDG which then initiates the release of the associated IKEv2 tunnel.

A cause "reactivation requested" (as supported over 3GPP accesses) is added by the PGW over GTP-C based S2a and WLCP for TWAN and over GTP-C based S2b and IKEv2 for untrusted WLAN.

9. The PGW shall indicate the termination of the associated session to the 3GPP AAA Server by sending a Session Termination Request (STR).
10. As a result of the release of the IMS PDN connection, the UE shall re-establish the IMS PDN connection, and also perform a new P-CSCF discovery (as the IMS PDN connection was lost). After discovering a new P-CSCF, the UE shall perform a new initial IMS registration towards IMS.

5.6.3 Basic mechanism for the PCRF-based solution

The basic mechanism for the PCRF-based P-CSCF restoration for WLAN is part of the PCRF-based solution described in clause 5.5.

5.6.4 Optional extension for the HSS and PCRF-based solutions for the TWAN access

5.6.4.1 Overview and principles

The basic mechanism for P-CSCF restoration over WLAN is optionally extended for the TWAN access to avoid the need to deactivate and reactivate the IMS PDN connection. This P-CSCF restoration extension applies to the HSS-based and the PCRF-based solutions.

Upon receipt of a P-CSCF restoration indication from the 3GPP AAA Server, the PGW may invoke this P-CSCF restoration extension procedure if

- the UE is accessing the EPC via a TWAN in the multi-connection mode;
- the UE indicated support of this extension for the TWAN access (as further described in clause 5.6.4.3); and
- if the TWAN indicated support of the WLCP PDN connection modification procedure.

If so, the PGW shall send the updated list of the addresses of available P-CSCFs towards the UE via the TWAN. This triggers the UE to initiate a new IMS registration towards an available P-CSCF over the existing IMS PDN connection.

Otherwise, the PGW shall initiate the release of the IMS PDN connection and proceed with the basic P-CSCF restoration mechanism specified in clause 5.6.2 and 5.6.3.

5.6.4.2 Description

The call flow for the P-CSCF restoration extension for the TWAN access is described in figure 5.6.4.2-1. The functional entities involved by this call flow shall execute the following procedure if they all support the P-CSCF restoration extension for the TWAN access.

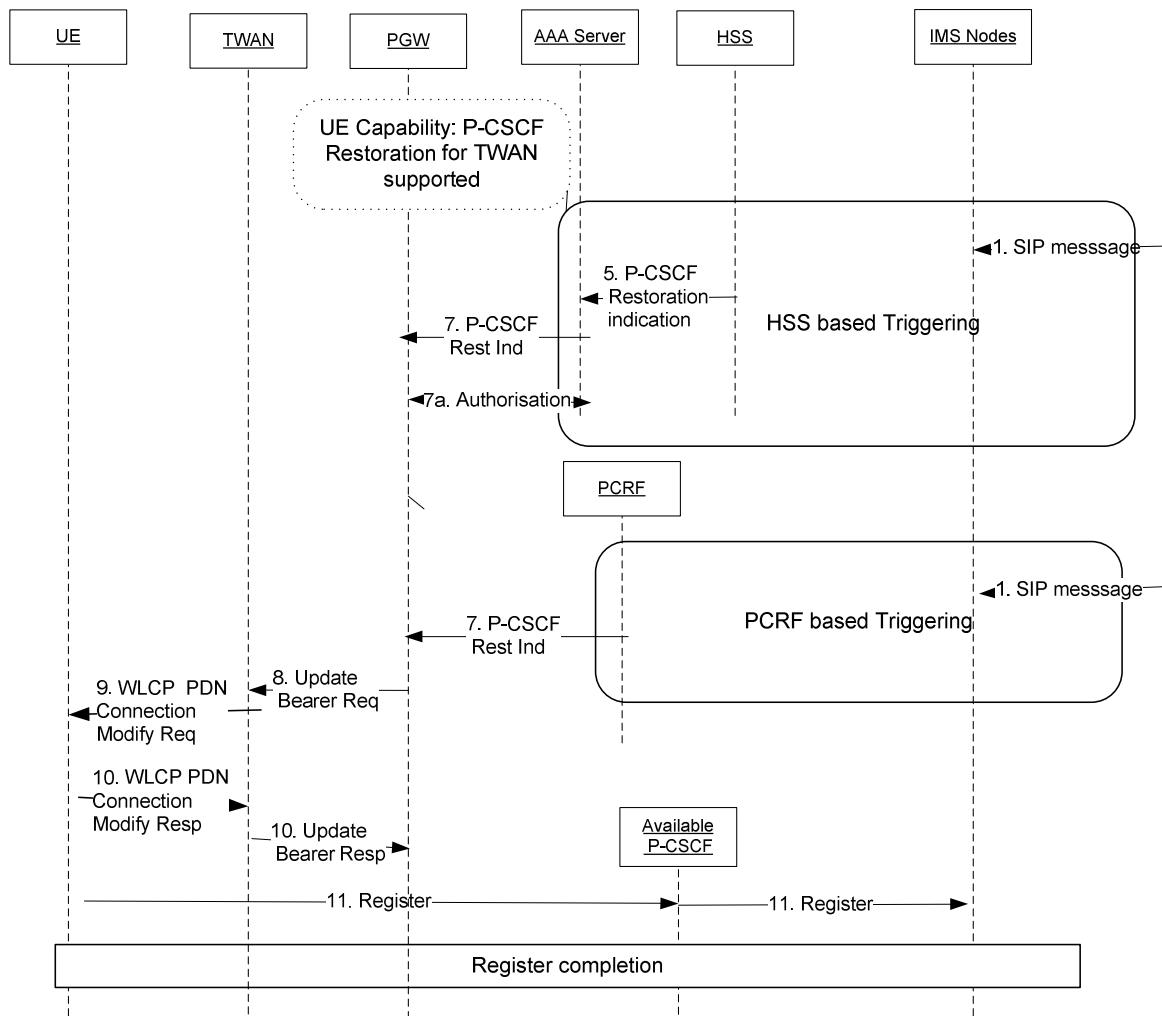


Figure 5.6.4.2-1: P-CSCF restoration extension for the TWAN access – GTP-based S2a

For the HSS-based solution, steps from 1 to 7 are the same as those explained in clause 5.6.2.2 for the P-CSCF restoration basic mechanism for WLAN. In step 7, the 3GPP AAA Server, when receiving a P-CSCF restoration indication from the HSS, transfers this indication to the PGW in a Re-authorization Request (RAR) command. This generates an authorisation procedure (step 7a) as according to 3GPP TS 29.273 [24].

For the PCRF-based solution, steps from 1 to 7 are the same as those explained in clause 5.3.2 for the P-CSCF restoration basic mechanism. In step 7, the PCRF transfers the P-CSCF restoration indication to the PGW.

Hereafter steps 8 to 11 are common to the HSS-based solution and to the PCRF-based solutions:

- 8. If the PGW has previously received the indication that the UE supports the P-CSCF restoration extension for the TWAN access and that the TWAN supports the WLCPPDN connection modification procedure, and if the UE

is accessing the TWAN in multi-connection mode, the PGW shall send an Update Bearer Request to the TWAN including the PCO information element set with a list of available P-CSCF addresses,

NOTE: the TWAN reports to the PGW whether the UE is accessing the TWAN in multi-connection mode, single-connection mode or transparent single-connection mode, during the PDN connection establishment procedure.

9. The TWAN shall initiate a WLCP PDN connection modification request procedure towards the UE as described in 3GPP TS 24.244 [22] to transparently forward the PCO information element received from the PGW.

10. The UE shall send a response to the TWAN which then shall send an Update Bearer Response to the PGW.

11. As per the P-CSCF restoration procedures described in 3GPP TS 24.229 [19], the UE shall select one P-CSCF from the received list and proceed with an IMS registration.

The same call flow applies to PMIP-based S2a, whereby, in step 8, the PGW shall initiate an LMA Initiated Update Notification procedure to provide the TWAN with the available P-CSCF addresses.

5.6.4.3 Indication of UE support of the P-CSCF restoration extension for the TWAN access

If the UE supports the P-CSCF restoration extension for the TWAN access, it shall send an indication of this capability to the PGW via the PCO information element at the establishment (or handover) of the IMS PDN connection over the WLAN access.

5.6.5 Optional extension for the HSS and PCRF-based solutions for the untrusted WLAN access

5.6.5.1 Overview and principles

The basic mechanism for P-CSCF restoration over WLAN is optionally extended for the untrusted WLAN access to avoid the need to deactivate and reactivate the IMS PDN connection. This P-CSCF restoration extension applies to the HSS-based and the PCRF-based solutions.

Upon receipt of a P-CSCF restoration indication from the 3GPP AAA Server, the PGW may invoke this P-CSCF restoration extension procedure if

- the UE is accessing the EPC via an untrusted WLAN access;
- the UE and the ePDG indicated support of this extension for the untrusted WLAN access (as further described in clause 5.6.5.3).

If so, the PGW shall send the updated list of the addresses of available P-CSCFs towards the UE via the ePDG. This triggers the UE to initiate a new IMS registration towards an available P-CSCF over the existing IMS PDN connection.

Otherwise, the PGW shall initiate the release of the IMS PDN connection and proceed with the basic P-CSCF restoration mechanism specified in clauses 5.6.2 and 5.6.3.

5.6.5.2 Description

The call flow for the P-CSCF restoration extension for the untrusted WLAN access is described in figure 5.6.5.2-1. The functional entities involved by this call flow shall execute the following procedure if they all support the P-CSCF restoration extension for the untrusted WLAN access.

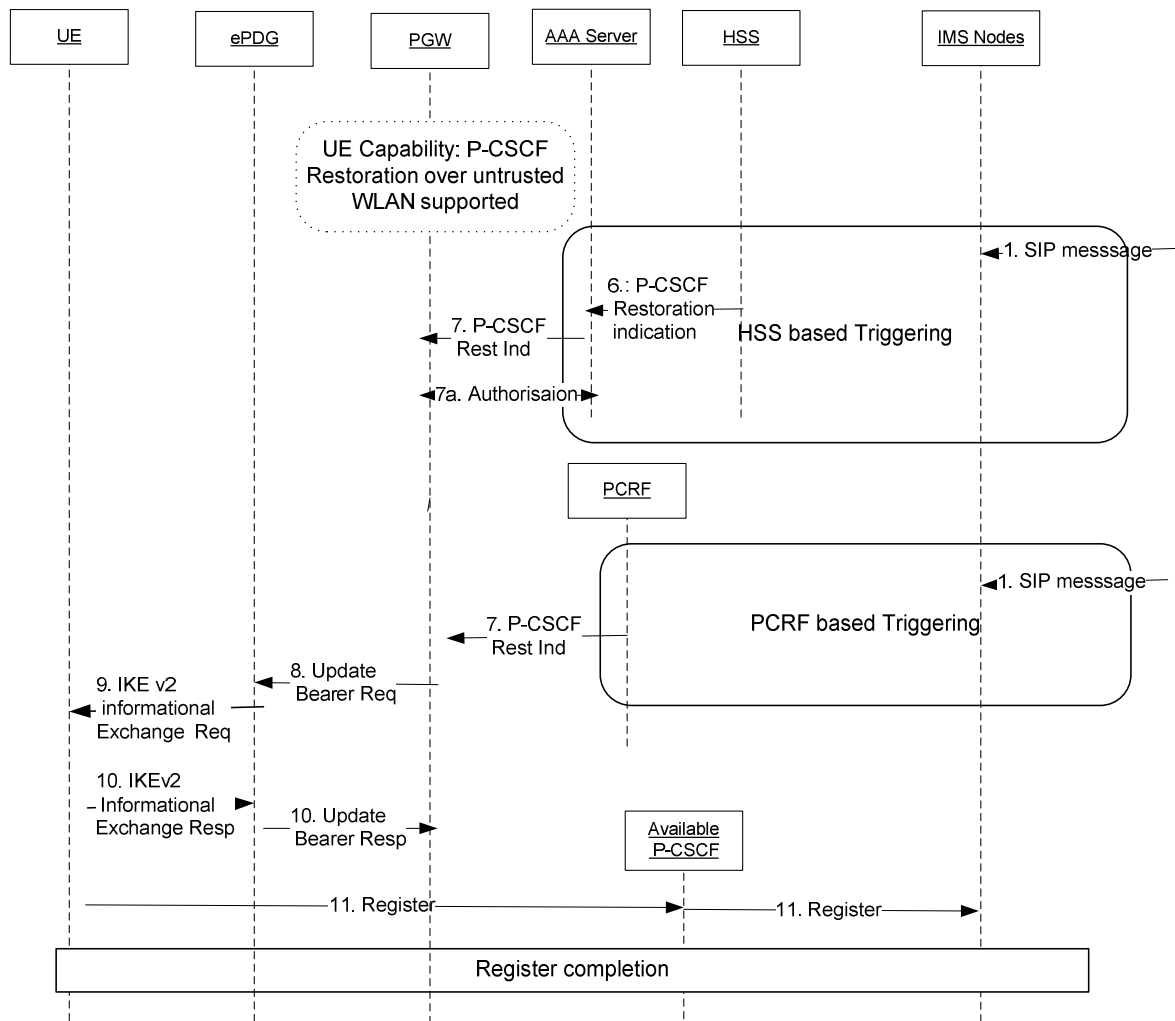


Figure 5.6.5.2-1: P-CSCF restoration extension for the untrusted WLAN access – GTP-based S2b

For the HSS-based solution, steps from 1 to 7 are the same as those explained in clause 5.6.2.2 for the P-CSCF restoration basic mechanism for WLAN. In step 7, the 3GPP AAA Server, when receiving a P-CSCF restoration indication from the HSS, transfers this indication to the PGW in a Re-authorization Request (RAR) command. This generates an authorisation procedure (step 7a) as according to 3GPP TS 29.273 [24].

For the PCRF-based solution, steps from 1 to 7 are the same as those explained in clause 5.3.2 for the P-CSCF restoration basic mechanism. In step 7, the PCRF transfers the P-CSCF restoration indication to the PGW.

Hereafter steps 8 to 11 are common to the HSS-based solution and to the PCRF-based solution:

- 8 If the PGW has previously received the indication that the UE and the ePDG support the P-CSCF restoration extension for the untrusted WLAN access, the PGW shall send an Update Bearer Request (as described in 3GPP TS 29.274 [10]) to the ePDG including the APCO information element set with a list of available P-CSCF addresses.
- 9 The ePDG shall initiate an IKEv2 informational exchange procedure, as described in IETF RFC 7296 [23], towards the UE to forward the list of available P-CSCF addresses received from the PGW.
- 10 The UE shall send a response to the ePDG which then shall send an Update Bearer Response to the PGW.
- 11 As per the P-CSCF restoration procedures described in 3GPP TS 24.229 [19], the UE shall select one P-CSCF from the received list and proceed with an IMS registration.

The same call flow applies to PMIP-based S2b, whereby, in step 8, the PGW shall initiate an LMA Initiated Update Notification procedure, as described in 3GPP TS 29.275 [15], to provide the ePDG with the available P-CSCF addresses.

5.6.5.3 Indication of UE support of the P-CSCF restoration extension for the untrusted WLAN access

If the UE supports the P-CSCF restoration extension for the untrusted WLAN access, it shall send an indication of this capability to the ePDG via a notify payload in the IKEv2 message to the ePDG at the establishment (or handover) of the IMS PDN connection over the untrusted WLAN access.

An ePDG which supports the P-CSCF restoration extension for untrusted WLAN shall forward this UE capability in the APCO information element to the PGW over the S2b interface.

NOTE: The receipt by the PGW of the UE capability indicating the support of P-CSCF restoration for the untrusted WLAN access at the IMS PDN connection establishment (or handover) over the untrusted WLAN access serves also as an indication that the ePDG supports this procedure.

5.6.6 Supported features and capabilities

5.6.6.1 Introduction

The P-CSCF restoration mechanism for WLAN, compared to the 3GPP access one, requires additional functionalities from the HSS, the 3GPP AAA Server, the PGW for the basic mechanism and in addition from the PGW, the TWAN, the ePDG and the UE for the extended mechanism.

The support or not of the additional functionalities by the involved entities has consequences on the applicability of the P-CSCF restoration mechanism, both for the basic mechanism and the extended mechanism. User roaming may imply a modification of the supported features.

5.6.6.2 Feature support in the HSS and S-CSCF

The S-CSCF behaviour when triggering the HSS with a P-CSCF restoration indication is independent of the 3GPP access or of the WLAN access that the UE is using. The signalling regarding P-CSCF restoration over the Cx interface and the S-CSCF behaviour are common for the P-CSCF restoration over a 3GPP or a WLAN access.

If the HSS does not support P-CSCF restoration for WLAN, but supports P-CSCF restoration over a 3GPP access and if the UE is registered in a 3GPP access, the HSS shall behave as described in clause 5.4.

If the HSS does not support P-CSCF restoration for 3GPP access, but supports P-CSCF restoration for WLAN, if the user is registered in the non 3GPP access with an IMS APN configuration for non 3GPP access in its subscription and if the 3GPP AAA Server previously indicated the support of P-CSCF restoration for WLAN to the HSS, then the HSS shall behave as described in clause 5.6.2.

If the HSS supports P-CSCF restoration both for 3GPP access and WLAN and if the UE is registered both with 3GPP access and WLAN, the HSS shall initiate P-CSCF restoration for 3GPP access and WLAN as described in clause 5.4 for 3GPP access and in clause 5.6.2 for WLAN.

The HSS shall report DIAMETER_SUCCESS to S-CSCF if at least one serving node (SGSN, MME or 3GPP AAA Server) supports HSS-based P-CSCF Restoration and a request indicating P-CSCF restoration is sent to at least one of these supporting nodes.

5.6.6.3 Feature support in the 3GPP AAA Server

The 3GPP AAA Server shall inform the HSS, at the user registration over SWx, if it supports the P-CSCF restoration feature through a supported feature indication, so to allow the HSS to correctly react to a P-CSCF restoration indication received from the S-CSCF. This feature support indication does not contain any information about the support of the P-CSCF restoration feature by the PGW handling the IMS PDN connection.

NOTE: The user registration over SWx can result from the establishment of another PDN connection via a different PGW, before the user sets up the IMS PDN connexion. So, at the user registration, the 3GPP AAA Server does not know if the PGW that will later handle the IMS PDN connection supports the P-CSCF restoration feature.

Therefore, when the PGW informs the 3GPP AAA Server over S6b that an IMS PDN connection is established, the PGW shall advertise the 3GPP AAA Server if the PGW supports the P-CSCF restoration mechanism for WLAN. A 3GPP AAA Server that supports the P-CSCF restoration mechanism shall store this information and when the 3GPP AAA Server receives a P-CSCF restoration indication from the HSS (as described in figure 5.6.2.2-1 step5b):

- if an IMS PDN connection is established and if the PGW supports the P-CSCF restoration mechanism for WLAN, the 3GPP AAA Server shall behave as described in step 7 of clause 5.6.2.2;
- if an IMS PDN connection is established and if the PGW does not support the P-CSCF restoration mechanism for WLAN, the 3GPP AAA Server shall ignore the P-CSCF restoration indication received from the HSS;
- if no IMS PDN connection is established, the 3GPP AAA Server shall ignore the P-CSCF restoration indication received from the HSS.

5.6.6.4 Feature support in the PGW

A PGW supporting the basic P-CSCF restoration mechanism for trusted and/or untrusted WLAN shall indicate the support of P-CSCF restoration for WLAN to the 3GPP AAA Server in the Authorization Request message sent over S6b at the creation of the IMS PDN connection.

The support of the extended mechanism by the PGW requires the support of the basic mechanism. The extended mechanism is optionally supported by the PGW for TWAN or for untrusted WLAN or for both.

5.6.6.5 Feature support in the TWAN

The TWAN shall advertise the support of the WLCP PDN connection modification request procedure over S2a at establishment (or handover) of the IMS PDN connection. This is to allow the PGW to use the P-CSCF restoration extension on this TWAN.

5.6.6.6 Feature support in the ePDG

As described in clause 5.6.5.3, the ePDG indicates its support of the P-CSCF restoration extension to the PGW over S2b when sending the UE capability indication to the PGW at the IMS PDN connection establishment (or handover) over S2b.

5.6.6.7 Capability support in the UE

A UE supporting the P-CSCF restoration extension may support this mechanism for:

- the 3GPP access (e.g. a Rel-12 UE) and/or;
- the TWAN and/or;
- the untrusted WLAN.

In consequence, a UE capability is defined for each type of access to indicate the UE support of the extended P-CSCF restoration mechanism for this type of access, meaning up to three capabilities with all the possible combinations.

During the set up (or handover) of the IMS PDN connection over a given type of access, the UE shall indicate its capability over this type of access.

5.7 Interaction between P-CSCF restoration and NBIFOM

5.7.1 Introduction

P-CSCF restoration and NBIFOM present interactions as NBIFOM can be applied to the IMS PDN connection which can be set on a 3GPP access or on a WLAN access or be simultaneously active over the two types of accesses. This impacts the P-CSCF restoration procedures for a network supporting both P-CSCF restoration and NBIFOM with IMS UEs supporting NBIFOM, as described in the hereafter clauses which cover:

- the HSS-based and the PCRF-based solutions;
- the cases where the IMS PDN connection is established over one access or over two accesses (3GPP access, WLAN access);
- the cases where the basic mechanism and/or the extended mechanism for P-CSCF restoration are supported by the network over only one access or on both accesses used by the IMS PDN connection;
- the capabilities of the IMS UEs with NBIFOM regarding the support or not of the extended mechanism for P-CSCF restoration over the 3GPP access, the trusted WLAN and/or the untrusted WLAN.

Regarding WLAN accesses, the statements in clause 5.7 are the same for trusted WLAN with multi-connection mode and untrusted WLAN. For a trusted WLAN with the single connection mode or the transparent single connection mode, only the basic P-CSCF restoration mechanism may apply.

The support of the basic mechanism or of the extended mechanism for P-CSCF restoration in clause 5.7 is meant as the support over the whole chain of involved functional elements over an access type at the creation of the IMS PDN connection over the concerned access, so, in particular, taking into account the UE capability for the extended mechanism over this access.

5.7.2 HSS-based solution

If the MME/SGSN is configured with the extended mechanism (i.e. the MME/SGSN forwards a received P-CSCF restoration indication to the PGW) and:

- If the IMS PDN connection is established over at least one access supporting the extended mechanism with the UE, the PGW, when receiving a P-CSCF restoration indication, shall select one access supporting the extended mechanism and send the list of available P-CSCF addresses over this access. The PGW shall ignore any second P-CSCF restoration indication which may be received shortly afterwards;
- If the IMS PDN connection is established over access(es) not supporting the extended mechanism with the UE, but over at least one supporting the basic mechanism, the PGW, when receiving a P-CSCF restoration indication (be it from the MME or the 3GPP AAA server), shall:
 - select one access supporting the basic mechanism and release the IMS PDN connection over that access with the cause "reactivation requested". The PGW shall ignore any second P-CSCF restoration indication which may be received shortly afterwards. If the IMS PDN connection is established on both accesses with the single connection mode over the trusted WLAN, the PGW shall select the 3GPP access; and
 - release the IMS PDN connection over the other access with a "local release" cause. The MME/SGSN or TWAN/ePDG in the other access shall release the corresponding bearer resources as specified in 3GPP TS 23.401 [17] or IETF RFC 5996 [26], but without signalling to the UE. Further, for the local release of the bearer resources by the MME/SGSN for a UE that is in connected mode, the MME/SGSN shall initiate the radio access bearer release procedure towards the serving eNB/NB.

If the MME/SGSN supports only the basic mechanism (i.e. the MME/SGSN does not forward the P-CSCF restoration indication to the PGW), the MME/SGSN, when receiving a P-CSCF restoration indication, shall release the IMS PDN connection with the cause "reactivation requested" sent to the UE or, if this is the last PDN connection of the UE, sends an explicit detach to the UE with the cause "reattach required" (as specified in clause 5.4.2.1). The MME/SGSN shall release the IMS PDN connection towards the PGW by sending a Delete Session Request to the PGW with the Release Over Any Access Indication. Upon receipt of such a message and indication, the PGW shall initiate a Delete Bearer Request procedure with a "local release" cause to tear down the PDN connection over the other access, if this is a NBIFOM PDN connection and resources still exist on that other access. The TWAN/ePDG shall then proceed with the

local disconnection of the PDN connection, i.e. the TWAN/ePDG need not send signalling to the UE to release that access. The UE may, over the WLAN access, also receive a release of the IMS PDN connection or a list of available P-CSCFs.

Upon receipt of a request to release an IMS PDN connection with the cause "reactivation requested" over the 3GPP or the WLAN access, or a Detach request with the cause "reattach required" over the 3GPP access, the UE shall locally release the IMS PDN connection over the other access if the IMS PDN connection was established on both accesses, then re-establish the IMS PDN connection and do a new IMS registration. The UE should avoid doing two new IMS registrations in a row.

NOTE: When a Detach request with the cause "reattach required" over the 3GPP access occurs due to a P-CSCF restoration indication, there is no PDN connection other than the IMS PDN connection established over the 3GPP access, so any PDN connection established over the WLAN access other than the IMS PDN connection is maintained.

5.7.3 PCRF-based solution

If the IMS PDN connection is established over at least one access supporting the extended mechanism with the UE, the PGW, when receiving a P-CSCF restoration indication, shall select one access supporting the extended mechanism and send the list of available P-CSCF addresses over this access.

If the IMS PDN connection is established over access(es) not supporting the extended mechanism with the UE, but over at least one supporting the basic mechanism, the PGW, when receiving a P-CSCF restoration indication, shall:

- select one access supporting the basic mechanism and release the IMS PDN connection over that access with the cause "reactivation requested". If the IMS PDN connection is established on both accesses with the single connection mode over the trusted WLAN, the PGW shall select the 3GPP access; and
- release the IMS PDN connection over the other access with a "local release" cause. The MME/SGSN or TWAN/ePDG in the other access shall release the corresponding bearer resources as specified in 3GPP TS 23.401 [17] or IETF RFC 5996 [26], but without signalling to the UE. Further, for the local release of the bearer resources by the MME/SGSN for a UE that is in connected mode, the MME/SGSN shall initiate the radio access bearer release procedure towards the serving eNB/NB.

Upon receipt of a request to release an IMS PDN connection with the cause "reactivation requested" over the 3GPP or the WLAN access, or a Detach request with the cause "reattach required" over the 3GPP access, the UE shall locally release the IMS PDN connection over the other access if the IMS PDN connection was established on both accesses, then re-establish the IMS PDN connection and do a new IMS registration.

NOTE: When a Detach request with the cause "reattach required" over the 3GPP access occurs due to a P-CSCF restoration indication, there is no PDN connection other than the IMS PDN connection established over the 3GPP access, so any PDN connection established over the WLAN access other than the IMS PDN connection is maintained.

5.8 P-CSCF Restoration for 5GC

5.8.1 Introduction

The clause 5.8 describes solutions to support P-CSCF restoration in 5GC for UEs with an IMS PDU session.

5.8.2 Common Procedures for P-CSCF Restoration in 5GC

5.8.2.1 General

If IMS service is required through 5GC, the UE performs Registration procedure and requests PDU Session Establishment procedure for IMS service, as specified in 3GPP TS 23.501 [28] and 3GPP TS 23.502 [29]. The UE selects P-CSCF via PDU Session Establishment procedure or via DHCP procedure and performs initial IMS registration (see 3GPP TS 23.228 [27]).

P-CSCF failure may be detected during an originating SIP procedure or terminating SIP procedure. When P-CSCF failure is detected, various mechanisms for P-CSCF restoration can be invoked (by e.g. SMF, UDM or PCF), depending on the mechanism utilized.

Various mechanisms for P-CSCF restoration in 5GC have common procedures which are used to trigger the P-CSCF re-selection at the UE side, as specified in the following clauses.

NOTE: Amongst the three common procedures, the PDU Session Release with Reactivation Procedure described at clause 5.8.2.4 has the highest signalling impact on the radio access and core networks; on the other hand it doesn't require the UE to support the optional P-CSCF Re-selection mechanism.

5.8.2.2 P-CSCF Address List Update Procedure

The following requirements shall be supported for the procedure specified in this clause:

1. P-CSCF discovery was performed by requesting and provisioning P-CSCF address(es) within Extended Protocol Configuration Options (ePCO), as specified in 3GPP TS 29.061 [9], clause 13a.2.1.
2. The UE has indicated the "P-CSCF Re-selection support" in the ePCO IE, as specified in 3GPP TS 24.008 [4], clause 10.5.6.3A.

Figure 5.8.2.2-1 describes the P-CSCF address list update procedure at detection of P-CSCF failure.

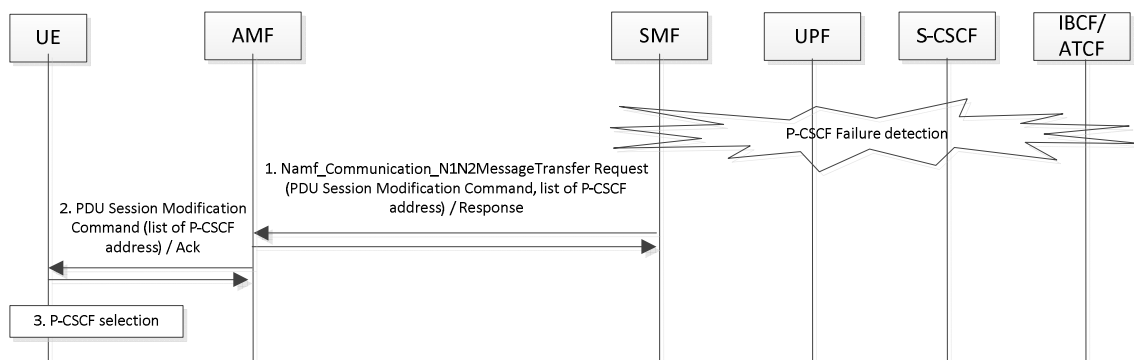


Figure 5.8.2.2-1: P-CSCF Address Update Procedure

When P-CSCF failure occurs, the SMF detects it or is informed of the event by another NF (e.g. AMF, UDM...) and it initiates the PDU Session Update procedure, in order to send the new P-CSCF list to the UE.

1. The SMF invokes `Namf_Communication_N1N2MessageTransfer` service operation, to trigger the PDU Session Modification procedure. Within the message, the SMF includes an ePCO IE as specified in 3GPP TS 24.008 [4], clause 10.5.6.3A with a new list of P-CSCF addresses excluding the failed P-CSCF.
2. The AMF triggers the PDU Session Modification procedure with the UE to deliver the new list of P-CSCF.
3. Upon receiving the new list of P-CSCFs, if the P-CSCF in use is missing, the UE re-selects one P-CSCF from the list to perform SIP registration.

5.8.2.3 DHCP based P-CSCF Selection Triggering Procedure

The following requirements shall be supported for the procedure specified in this clause:

1. P-CSCF discovery was performed by requesting P-CSCF address(es) via DHCP method, as specified in 3GPP TS 29.061 [9], clause 13a.2.1
2. The UE has indicated the "P-CSCF Re-selection support" in the ePCO IE, as specified in 3GPP TS 24.008 [4], clause 10.5.6.3A.

Figure 5.8.2.3-1 describes the DHCP based P-CSCF selection triggering procedure.

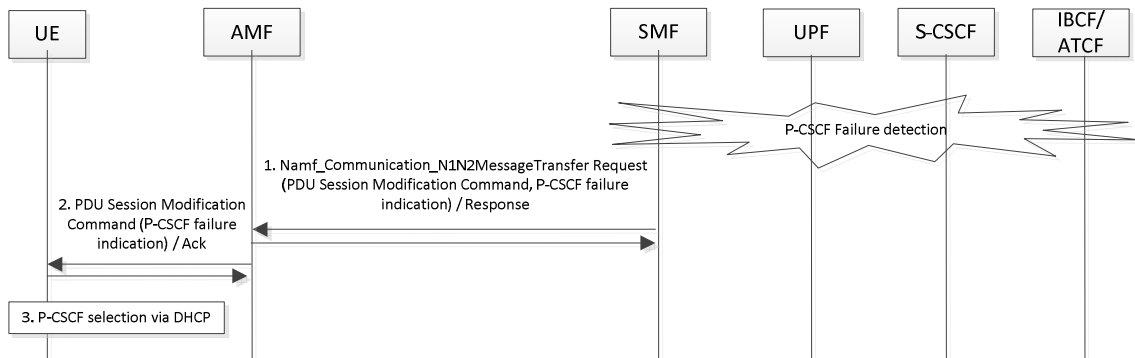


Figure 5.8.2.3-1: P-CSCF Selection Triggering Procedure

When P-CSCF failure occurs, the SMF detects it or is informed of the event by another NF (e.g. AMF, UDM...) and it initiates the PDU Session Update procedure, in order to send a P-CSCF failure indication to the UE.

1. The SMF invokes Namf_Communication_N1N2MessageTransfer service operation, to trigger the PDU Session Modification procedure. Within the message, the SMF includes a P-CSCF failure indication in the ePCO IE as specified in 3GPP TS 24.008 [4], clause 10.5.6.3A.
2. The AMF triggers the PDU Session Modification procedure to the UE, to deliver the P-CSCF failure indication to the UE.
3. Upon receiving the P-CSCF failure indication, the UE discovers another P-CSCF via DHCP procedure to perform SIP registration.

5.8.2.4 PDU Session Release with Reactivation Procedure

The following requirements shall be supported for the procedure specified in this clause:

1. The UE has not indicated the "P-CSCF Re-selection support" in the ePCO IE, as specified in 3GPP TS 24.008 [4], clause 10.5.6.3.

Figure 5.8.2.4-1 describes the procedure of PDU session release with reactivation indication.

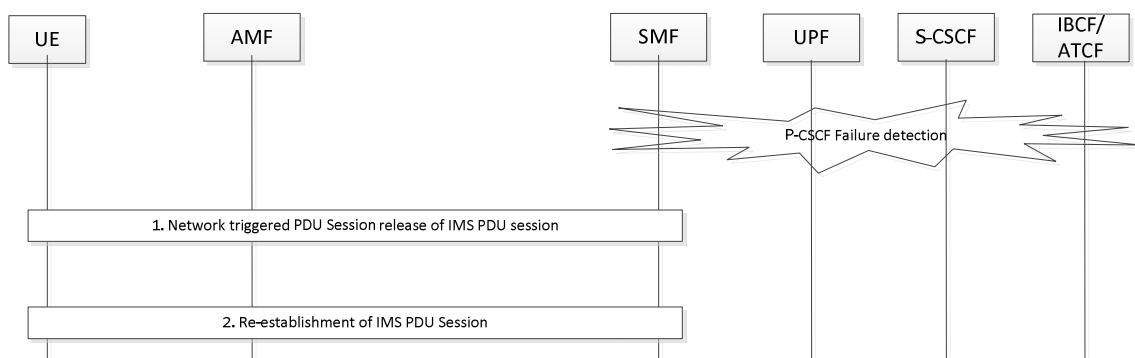


Figure 5.8.2.4-1: PDU Session Release with Reactivation Indication

When P-CSCF failure occurs, the SMF detects it or is informed of the event by another NF (e.g. AMF, UDM...) and it initiates the PDU Session Release procedure, with reactivation indication.

1. The network initiates a PDU session release with reactivation indication of the IMS PDU session according to 3GPP TS 23.502 [29], clause 4.3.4. The exact network function that triggers the procedure depends on the P-CSCF restoration method used.
2. The UE requests to re-establish IMS PDU session. The UE discovers P-CSCF during the IMS PDU session establishment or via DHCP procedure, to perform SIP registration.

5.8.3 P-CSCF Failure Detection at SMF/UPF

5.8.3.1 Overview and Principles

The P-CSCF failure detection at SMF/UPF is an optional mechanism.

This mechanism is executed when an originating request cannot be served due to a P-CSCF failure, as long as there are no other registration flows for this originating UE using an available P-CSCF.

Once the P-CSCF is reported as failed, the SMF initiates IMS PDU session update procedure, so as to trigger the UE to re-select a P-CSCF and to register again to IMS.

5.8.3.2 P-CSCF Monitoring and Failure Detection Procedure

The following figure 5.8.3.2-1 describes the SMF-instructed P-CSCF monitoring and failure detection. When P-CSCF failure is detected, the SMF triggers the related procedures, as specified in 5.8.2 for P-CSCF restoration.

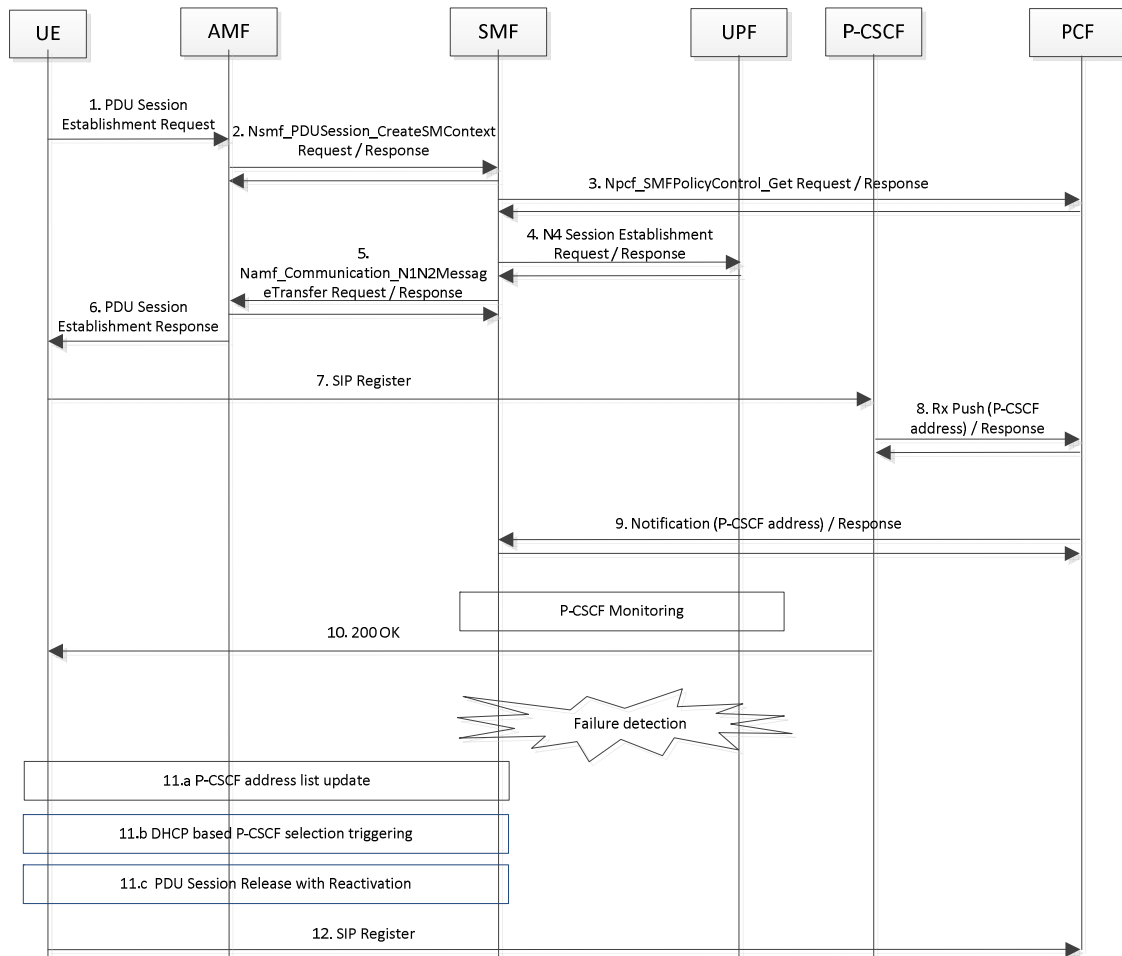


Figure 5.8.3.2-1: P-CSCF Failure Detection at SMF/UPF

1. The UE sends a PDU Session Establishment Request towards the AMF, to establish a PDU session for IMS service.
 2. The AMF invokes Nsmf_PDUSession_CreateSMContext service operation to the SMF, to request the SMF to create PDU session for IMS service.
 3. The SMF invokes Npcf_SMFPolicyControl_Get service operation to the PCF, to retrieve session related policy.
 4. The SMF selects appropriate UPF for IMS service and requests the UPF to establish N4 session.
 5. When PDU session is created, the SMF invokes Namf_Communication_N1N2MessageTransfer service operation to the AMF, to inform the request of PDU session establishment. A list of P-CSCF address is also included in the ePCO as specified in 3GPP TS 24.008 [4], clause 10.5.6.3A.
 6. The AMF sends PDU Establishment Response message to the UE, with a list of P-CSCF included in the ePCO as specified in 3GPP TS 24.008 [4], clause 10.5.6.3A.
 7. The UE performs an initial registration towards a P-CSCF from the received list.
 8. The P-CSCF sends Rx Push (see 3GPP TS 29.214 [7]) to provide the PCF with the P-CSCF selected by the UE. The PCF sends Rx Push response.
 9. The PCF sends P-CSCF address to the SMF, e.g. using Notification procedure.
- Editor's Note: It is FFS on which Npcf procedure/message is used for the PCF to send P-CSCF address to the SMF.**
10. The P-CSCF sends 200 OK to the UE.

Upon P-CSCF failure detection by the SMF/UPF;

- 11.a If the requirements listed at clause 5.8.2.2 are fulfilled, the SMF initiates the P-CSCF address list update procedure to trigger the P-CSCF reselection by the UE as specified in clause 5.8.2.2
 - 11.b If the requirements listed at clause 5.8.2.3 are fulfilled, the SMF initiates the DHCP based P-CSCF selection triggering procedure to trigger the P-CSCF reselection by the UE as specified in clause 5.8.2.3.
 - 11.c Otherwise the SMF initiates the PDU Session Release with Reactivation procedure to trigger the UE re-establish IMS PDUS session, as specified in clause 5.8.2.4.
12. Subsequent to step 11, the UE performs an initial IMS registration towards the new P-CSCF, as specified in clause 5.8.2.

5.8.4 UDM/HSS Based P-CSCF Restoration

5.8.4.1 Overview and principles

The UDM/HSS based P-CSCF restoration is an optional mechanism.

As the IMS system interfaces an HSS, the UDM/HSS in this clause shall be interpreted as TS 23.228 [27], annex Y, clause Y.0.

This mechanism is executed when a terminating request does not proceed due to a P-CSCF failure, as long as there are no other registration flows for this terminating UE using an available P-CSCF.

When P-CSCF failure is detected, the UDM shall, either:

- send a P-CSCF restoration indication to the SMF serving IMS PDU session, and the SMF thus initiates IMS PDU session update procedure or the IMS PDU session re-establishment, so as to trigger the UE re-selects P-CSCF and registers again to IMS, or
- send a P-CSCF restoration indication to the AMF serving the UE, and the AMF initiates IMS PDU session re-establishment, and the UE re-selects P-CSCF and registers again to IMS.

5.8.4.2 Trigger P-CSCF Restoration Procedure via SMF

During establishing PDU Session for IMS service, the SMF performs registration to the UDM and provides sufficient information for triggering P-CSCF restoration procedure (e.g. DNN="IMS", callback URI for P-CSCF restoration...), as specified in 3GPP TS 29.503 [31].

When the UDM determines that a P-CSCF restoration needs to be triggered, the UDM selects the SMF serving IMS, according to the SMF registration information in the UDM, to trigger the P-CSCF restoration procedure.

The following figure 5.8.4.2-1 illustrates how the SMF supports P-CSCF restoration procedure in 5G network.

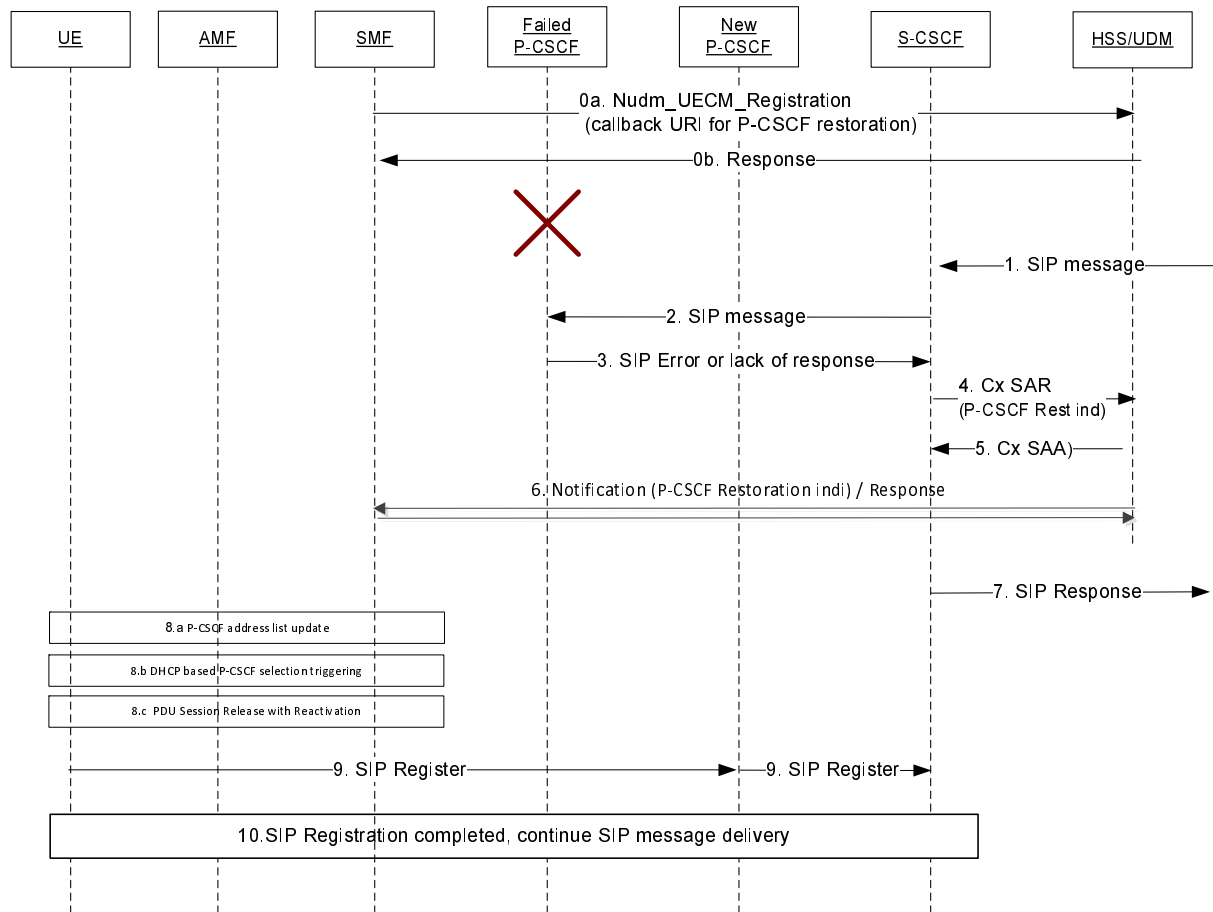


Figure 5.8.4.2-1: Trigger P-CSCF Restoration Procedure via SMF

0: The SMF serving the IMS PDU session registers at the UDM. If the SMF supports the UDM based P-CSCF restoration mechanism, it provides a callback URI for P-CSCF restoration notifications.

1-5: The S-CSCF receives incoming SIP message. If the S-CSCF detects the previous P-CSCF is failed (e.g. due to return SIP error or lack of response), the S-CSCF sends Cx SAR message to the HSS, including P-CSCF restoration indication.

After receiving the Cx SAR message, the HSS forwards the P-CSCF restoration indication to the UDM by means of the Nudm_UECM P-CSCF-RestorationTrigger service operation (see 3GPP TS 23.632 [33]).

6: The UDM sends Nudm_UECM_PCscfRestoration notification to the SMF serving IMS PDU session, using the received callback URI for P-CSCF restoration notifications. The SMF accepts the Nudm message and sends HTTP response message to the UDM.

7: The S-CSCF sends a SIP response back to the originating side.

8.a If the requirements listed at clause 5.8.2.2 are fulfilled, the SMF initiates the P-CSCF address list update procedure to trigger the P-CSCF reselection by the UE as specified in clause 5.8.2.2

8.b If the requirements listed at clause 5.8.2.3 are fulfilled, the SMF initiates the DHCP based P-CSCF selection triggering procedure to trigger the P-CSCF reselection by the UE as specified in clause 5.8.2.3.

8.c Otherwise the SMF initiates the PDU Session Release with Reactivation procedure to the UE, to trigger the UE re-establish IMS PDUS session, as specified in clause 5.8.2.4.

9: Subsequent to step 8, the UE performs an initial IMS registration towards the new P-CSCF, as specified in clause 5.8.2.

10: The S-CSCF sends the suspended terminating SIP message to a newly selected P-CSCF after the successful SIP registration for the UE.

5.8.4.3 Trigger P-CSCF Restoration Procedure via AMF

During establishing PDU Session for IMS service, the AMF performs registration to the UDM and provides sufficient information for triggering P-CSCF restoration procedure, as specified in 3GPP TS 29.503 [31].

When the UDM determines P-CSCF restoration need to be triggered, the UDM selects the AMF serving the UE according to the AMF registration information in the UDM, to trigger the P-CSCF restoration procedure.

The following figure 5.8.4.3-1 illustrates how the AMF supports P-CSCF restoration procedure in 5G network.

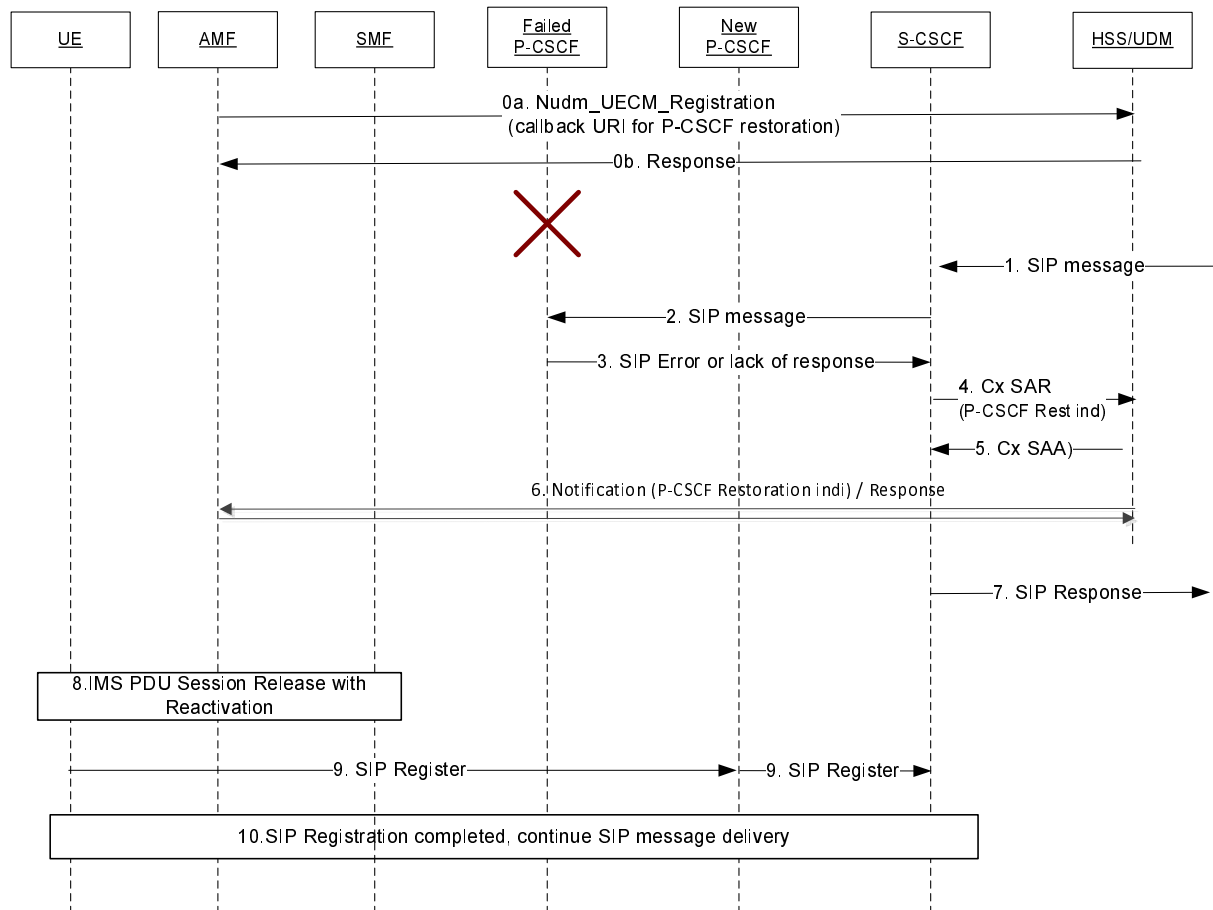


Figure 5.8.4.3-1: Trigger P-CSCF Restoration Procedure via AMF

- 0: The AMF serving the UE registers at the UDM. If the AMF supports the UDM based P-CSCF restoration mechanism, it provides a callback URI for P-CSCF restoration notifications.
- 1-5: The S-CSCF receives incoming SIP message. If the S-CSCF detects the previous P-CSCF is failed (e.g. due to return SIP error or lack of response), the S-CSCF sends Cx SAR message to the HSS, including P-CSCF restoration indication.
 After receiving the Cx SAR message, the HSS forwards the P-CSCF restoration indication to the UDM by means of the Nudm_UECM P-CSCF-RestorationTrigger service operation (see 3GPP TS 23.632 [33]).
- 6: The UDM sends Nudm_UECM_PCscfRestoration notification to the AMF serving the UE, using the received callback URI for P-CSCF restoration notifications. The AMF accepts the Nudm message and sends HTTP response message to the UDM.
- 7: The S-CSCF sends a SIP response back to the originating side.
- 8: The AMF initiates a network-triggered PDU Session Release procedure of the IMS PDU session with Reactivation indication, as specified in clause 5.8.2.4.

9. Subsequent to step 8, the UE performs an initial IMS registration towards the new P-CSCF, as specified in clause 5.8.2.4.
10. The S-CSCF sends the suspended terminating SIP message to a newly selected P-CSCF after the successful SIP registration for the UE.

5.8.5 PCF Based P-CSCF Restoration

5.8.5.1 Introduction

The PCF based P-CSCF restoration is an optional mechanism.

This mechanism is executed when a terminating request does not proceed due to a P-CSCF failure, as long as there are no other registration flows for this terminating UE using an available P-CSCF.

When P-CSCF failure is detected, the S-CSCF sends a P-CSCF restoration indication through an alternative P-CSCF and the PCF thus initiates IMS PDU session update procedure, so as to trigger the UE re-selects P-CSCF and registers again to IMS.

5.8.5.2 Trigger P-CSCF Restoration Procedure via PCF

The following figure illustrates the details of PCF based P-CSCF restoration procedure in 5G network.

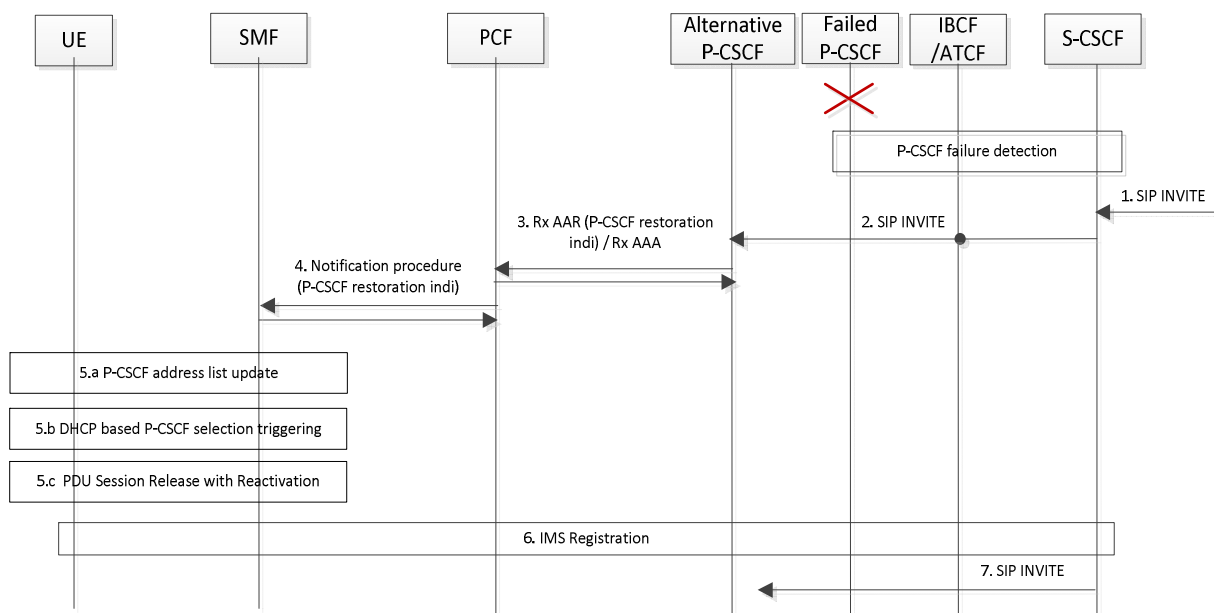


Figure 5.8.5.2-1: Trigger P-CSCF Restoration Procedure via PCF

The P-CSCF failure is detected by S-CSCF or IBCF/ATCF, as specified in clause 5.5.

1. The S-CSCF receives a terminating INVITE message.
2. As the failure of the previous P-CSCF is detected, the S-CSCF forwards the Terminating INVITE message to an alternative P-CSCF, as specified in clause 5.5. The alternative P-CSCF is chosen by local configuration.
3. The alternative P-CSCF shall send an Rx AAR message with the P-CSCF restoration indication to the associated PCF. The associated PCF is chosen as specified in 3GPP TS 23.503 [30]. The PCF shall send an Rx AAA to the P-CSCF.
4. The PCF sends P-CSCF restoration indication to the SMF serving IMS PDU session, as specified in 3GPP TS 29.512 [32] clause 4.2.3.
- 5.a If the requirements listed at clause 5.8.2.2 are fulfilled, the SMF initiates the P-CSCF address list update procedure to trigger the P-CSCF reselection by the UE as specified in clause 5.8.2.2

- 5.b If the requirements listed at clause 5.8.2.3 are fulfilled, the SMF initiates the DHCP based P-CSCF selection triggering procedure to trigger the P-CSCF reselection by the UE as specified in clause 5.8.2.3.
- 5.c Otherwise the SMF initiates the PDU Session Release with Reactivation procedure to the UE, to trigger the UE re-establish IMS PDUS session, as specified in 5.8.2.4.
6. Subsequent to step 8, the UE performs an initial IMS registration towards the new P-CSCF, as specified in clause 5.8.2.
7. The S-CSCF sends the suspended terminating SIP message to a newly selected P-CSCF after the successful SIP registration for the UE.

5.9 P-CSCF Restoration in EPC with 5GC interworking

If IMS service is required in EPC with 5GC interworking as specified in 3GPP TS 23.501 [28], HSS+UDM and PGW-C+SMF are involved in the P-CSCF procedures.

After mobility from 5GC to EPC happens for a UE as specified in 3GPP TS 23.502 [29], the MME via S6a (or AAA for WLAN via SWx) registers itself to HSS+UDM and PGW-C+SMF registered itself via N10 in the HSS+UDM when the UE was in 5GC. In this case, when P-CSCF restoration indication is received from S-CSCF, the HSS+UDM shall, either:

- send a P-CSCF restoration indication to the MME serving the UE as specified in clause 5.4 "HSS-based P-CSCF restoration for 3GPP access", or to the AAA for WLAN as specified in clause 5.6.2 "Basic mechanism for the HSS-based solution", or
- send a P-CSCF restoration indication via N10 interface to the PGW-C+SMF serving IMS PDN connection as described in clause 5.8.4.2 step 6, and the PGW-C+SMF thus initiates IMS PDN connection update procedure in EPC or the IMS PDN connection deactivation with reactivation required, to trigger the UE re-selects P-CSCF and registers again to IMS as described in clause 5.4.3.2 from step 8 to 10, in clause 5.6.2.2 from step 8 to 10, or in clause 5.6.5.2 step 8 to 11.

5.10 P-CSCF Restoration in EPC for untrusted WLAN when N10 is used instead of S6b

If the IMS service is required to be served by untrusted WLAN where N10 is used instead of S6b interface as specified in 3GPP TS 23.502 [29], the AAA registers itself in HSS+UDM via SWx interface, and PGW-C+SMF registers itself in HSS+UDM via N10.

When P-CSCF restoration indication is received, the HSS+UDM shall send a P-CSCF restoration indication via N10 interface to the PGW-C+SMF serving the IMS PDN connection as described in clause 5.9 to continue with the P-CSCF restoration.

6 Recovery after SCC AS failure

6.1 General

The following clauses show the requirements and information flows of IMS Restoration Procedures for the SCC-AS service interruption in each of the scenarios where they apply.

It is an optional feature to support SCC-AS restoration. If SCC-AS restoration is supported, it is required to support enhanced third part registration procedure described in 6.2.1 and enhanced service request procedure described in 6.2.2 together.

6.2 General Procedure

During the 3rd party registration, the SCC-AS should store extra SRVCC related information, i.e, ATCF-Path-URI and ATCF-Management-URI, in the HSS as Repository Data.

When a service request is received when assigned SCC-AS is down, the S-CSCF should forward the service request to a working SCC-AS and this SCC-AS should download corresponding ATCF related information previously stored in the HSS and it should notify the ATCF with its own ATU-STI for SRVCC.

6.2.1 Enhanced Third Party Registration Procedure

6.2.1.1 Introduction

The restoration described in the clause 6.2.1 is an optional mechanism which applies for third party registration. The SCC-AS are optionally enhanced to store ATCF related information in the HSS as Repository Data.

6.2.1.2 Description

Enhanced third party registration procedure is described in figure 6.2.1.2-1.

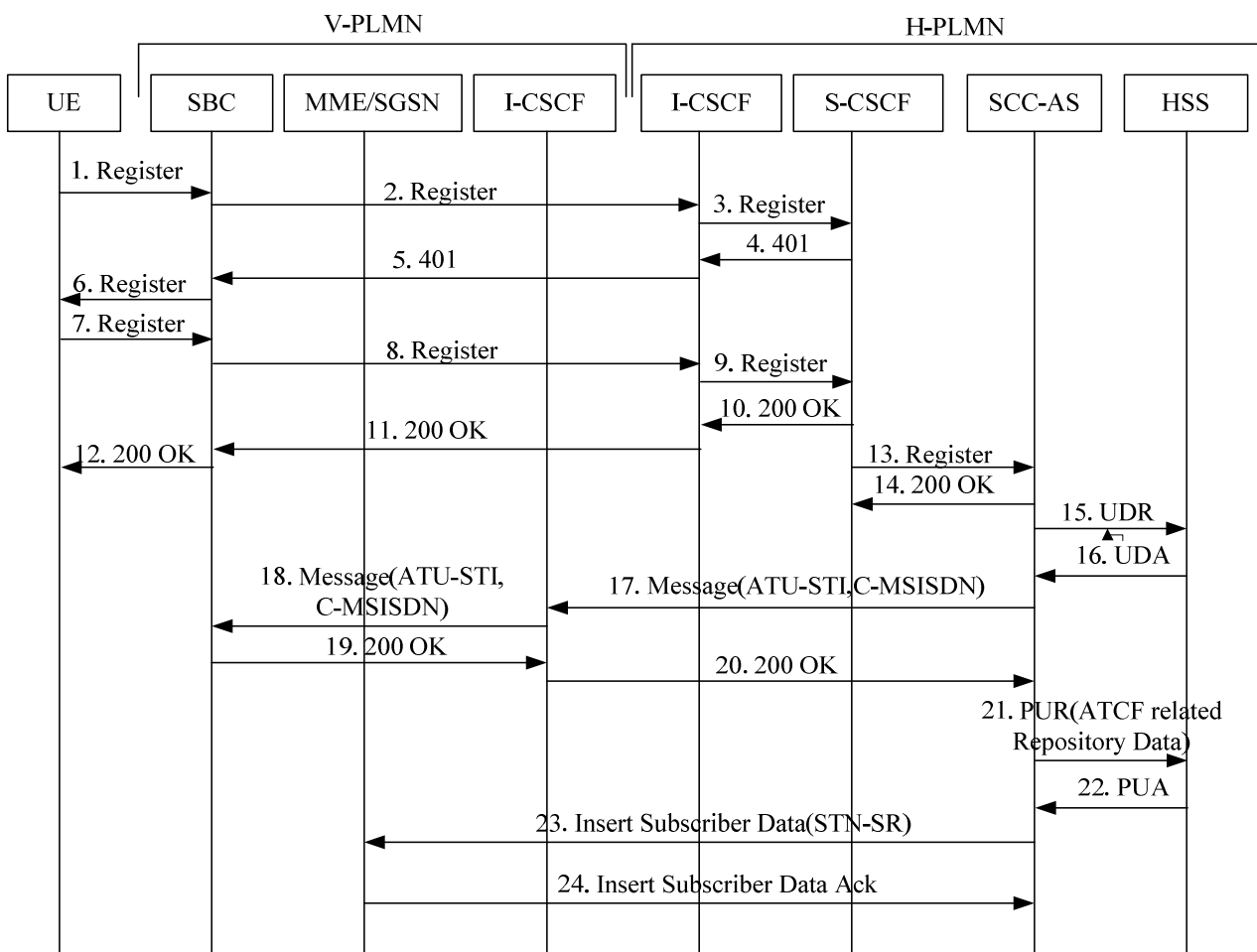


Figure 6.2.1.2-1 Enhanced Third Party Registration Procedure

1 ~ 20.Registration procedure as normal.

21. For the first time the SCC-AS receives a third party registration of a served user, the SCC-AS shall store the ATCF-Path-URI and ATCF-Management-URI parameters contained in the SIP REGISTER request as new Repository Data in the HSS. For the subsequent third party registration of that served user, the SCC-AS shall update the ATCF-Path-URI and the ATCF-Management-URI stored in the HSS as Repository Data with the new received value.

The SCC-AS may as well store this data locally, and then for the subsequent third party registration of that served user, if the ATCF-Path-URI and/or the ATCF-Management-URI contained in the SIP REGISTER request is different from previously stored one, the SCC-AS shall update the locally stored ATCF-Path-URI or the ATCF-Management-URI as well as that stored in the HSS as Repository Data with the new received value.

NOTE x: The SCC-AS already updates STN-SR in the HSS using PUR/PUA command, then the SCC-AS may send the new Repository Data along with STN-SR in a single PUR (only if Update-Eff-Enhance feature is supported).

22. HSS updates data and responds back.

23 ~ 24. HSS sends the received STN-SR and C-MSISDN to update MME/SGSN as normal.

6.2.2 Enhanced Service Request Procedure

6.2.2.1 Introduction

The restoration described in the clause 6.2.2 is an optional mechanism which applies for service request. And SCC-AS is optionally enhanced to upload SRVCC related information as Repository Data from the HSS and notify the ATCF with new ATU-STI.

Enhanced Service Request Procedure is based on enhanced third part registration procedure in 6.2.1.

6.2.2.2 Description

Enhanced service request procedure is described in figure 6.2.2.2-1.

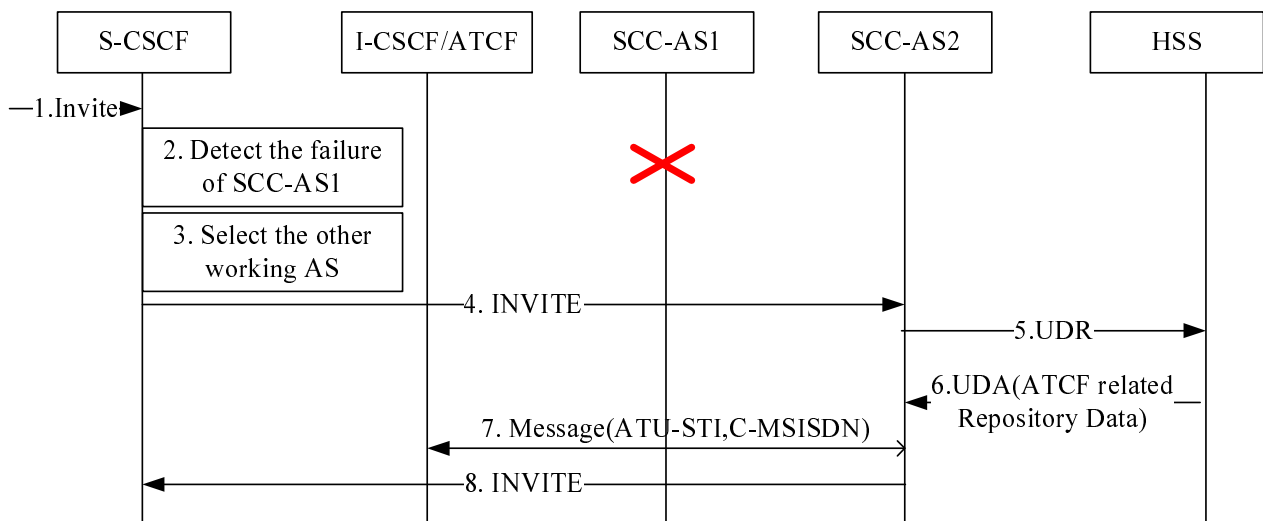


Figure 6.2.2.2-1 Enhanced Service Request Procedure

1. The S-CSCF receives session service request message and it attempts to route the message towards the registered SCC-AS, that in the figure 6.1.2.2-1 is "SCC-AS1".
2. The S-CSCF detects the failure of SCC-AS1.
3. S-CSCF re-selects a new available SCC-AS, i.e. "SCC-AS2" e.g. by a DNS procedure or by configuration in the matched Filter Criteria of an alternative SCC-AS to provide services to the user.
4. The S-CSCF sends the message to the selected SCC-AS2.

5. After receiving the service request message, if no subscriber data is found, SCC-AS2 starts implicit registration procedure as normal (not displayed in figure 6.2.2.2-1). After retrieving required subscriber data from the HSS, the SCC-AS2 shall request the ATCF related information stored as Repository Data (if present).
6. If ATCF related Repository Data is stored in the HSS, it is received back by the SCC-AS2.
7. If ATCF related Repository Data is received by the SCC-AS2, it shall send a MESSAGE with its own ATU-STI towards the ATCF that is identified by received ATCF-Management-URI.
8. The SCC-AS2 continues the session as normal.

Annex A (informative): Change history

Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	New
	CT#41				V1.0.0 was approved in CT#41	8.0.0
2008-12	CT#42	CP-080698	0003	-	Re-selection of S-CSCF at de-registration	8.1.0
2008-12	CT#42	CP-080698	0004	1	Subscription to registration information recover	8.1.0
2008-12	CT#42	CP-080963	0007	3	AS originating procedures	8.1.0
2008-12	CT#42	CP-080698	0008	-	Multiple contacts restoration at re-registration	8.1.0
2008-12	CT#42	CP-080698	0009	1	Multiple contacts restoration at de-registration	8.1.0
2009-03	CT#43	CP-090026	0010	-	Multiple Registrations in De-Registration	8.2.0
2009-03	CT#43	CP-090026	0011	-	Multiple Registrations in Registration	8.2.0
2009-06	CT#44	CP-090303	0019	-	Contact storage in reg event subscription	8.3.0
2009-12	CT#46	CP-090796	0020	1	P-CSCF restoration procedures: stage 2	9.0.0
2010-03	CT#47	CP-100045	0022	1	P-CSCF failure indication removal	9.1.0
2010-03	CT#47	CP-100045	0023	1	P-CSCF failure handling for DHCP based scenarios	9.1.0
2010-03	CT#47	CP-100045	0024	1	P-CSCF monitoring performed by UE	9.1.0
2010-03	CT#47	CP-100045	0025	1	S9 interface procedures	9.1.0
2010-06	CT#48	CP-100285	0027	-	Notification is to be sent to all UEs sharing the IMPU	9.2.0
2010-09	CT#49	CP-100462	0030	1	Restoration Data Backup	9.3.0
2011-03				-	Update to Rel-10 version (MCC)	10.0.0
2011-06	CT#52	CP-110356	0033	-	Emergency Restoration	10.1.0
2012-09	CT#57	CP-120440	0040	1	Emergency registrations do not affect registration status	10.2.0
2012-09	CT#57	CP-120458	0037	1	P-CSCF failure procedure with S5 PMIP	11.0.0
2012-09	CT#57	CP-120458	0041	1	Reference list correction to align with the corrected TS 29.212 title	11.0.0
2012-12	CT#58	CP-120745	0042	1	Alignment on the Network recovery procedure at P-CSCF failure	11.1.0
2012-12	CT#58	CP-120743	0046	1	PSI direct routing with restoration procedures	11.1.0
2013-12	CT#62	CP-130609	0047	1	Correction of reference to Update Notifications for Proxy Mobile IPv6	11.2.0
2014-03	CT#63	CP-140017	0051	1	Update the reference of draft-ietf-sipcore-keep-01 to RFC 6223	11.3.0
2014-03	CT#63	CP-140020	0048	1	Update the reference of IETF draft Update Notifications for Proxy Mobile IPv6 to RFC 7077	11.3.0
2014-09	CT#65	CP-140515	0053	1	T-GRUU restoration	12.0.0
2014-09	CT#65	CP-140506	0054	3	HSS-based P-CSCF restoration	12.0.0
2014-09	CT#65	CP-140506	0055	2	P-CSCF restoration in roaming scenarios for the HSS based mechanism	12.0.0
2014-09	CT#65	CP-140506	0056	5	PCRF based P-CSCF restoration	12.0.0
2014-09	CT#65	CP-140506	0057	3	P-CSCF restoration in roaming scenarios for PCRF based solution	12.0.0
2014-12	CT#66	CP-140794	0059	1	HSS-based P-CSCF restoration, ISR implications	12.1.0
2014-12	CT#66	CP-140794	0060	3	HSS-based P-CSCF restoration, MME/SGSN lack of support	12.1.0

2014-12	CT#66	CP-140794	0061	1	HSS-based P-CSCF restoration, response back to UE with retry option	12.1.0
2014-12	CT#66	CP-140794	0062	2	P-CSCF Restoration for single IMS APN	12.1.0
2014-12	CT#66	CP-140794	0065	1	P-CSCF restoration in a roaming scenario that P-GW and P-CSCF are in HPLMN for PCRF based solution	12.1.0
2014-12	CT#66	CP-140794	0066	1	A correction in figure of PCRF based P-CSCF restoration	12.1.0
2014-12	CT#66	CP-140794	0069	2	P-CSCF Restart	12.1.0
2014-12	CT#66	CP-140794	0070	-	Addition of P-CSCF restoration in the scope	12.1.0
2014-12	CT#66	CP-140794	0071	2	P-CSCF as non-reachable clarification	12.1.0
2015-03	CT#67	CP-150033	0073	1	P-CSCF restoration failure when UE is temporarily out of coverage	12.2.0
2015-06	CT#68	CP-150261	0074	1	S-CSCF handling in PCRF based solution when UE is temporarily out of coverage	12.3.0
2015-09	CT#69	CP-150458	0075	1	Basic P-CSCF restoration mechanism for WLAN	13.0.0
2015-09	CT#69	CP-150458	0076	2	P-CSCF restoration supported features	13.0.0
2015-09	CT#69	CP-150458	0077	1	P-CSCF restoration extension for trusted WLAN	13.0.0
2015-09	CT#69	CP-150458	0078	1	P-CSCF restoration extension for untrusted WLAN	13.0.0
2015-12	CT#70	CP-150780	0079	1	Interaction between P-CSCF Restoration and NBIFOM	13.1.0
2015-12	CT#70	CP-150780	0080	-	Reference correction and editorials	13.1.0
2015-12	CT#70	CP-150780	0081	2	Extended P-CSCF restoration mechanism for WLAN and AA-Request	13.1.0
2015-12	CT#70	CP-150780	0082	-	Remove the need to inform the UE about ePDG support of P-CSCF restoration	13.1.0
2016-03	CT#71	CP-160025	0083	1	P-CSCF restoration not prioritized for WLAN	13.2.0
2016-03	CT#71	CP-160025	0084	1	Result-Codes for P-CSCF Restoration	13.2.0
2016-03	CT#71	CP-160025	0085	-	Indication of P-CSCF restoration extension support over IKEv2	13.2.0
2016-06	CT#72	CP-160231	0086	3	P-CSCF restoration for NBIFOM PDN connections	13.3.0
2016-06	CT#72	CP-160216	0087	3	SCC AS restoration Mechanism	13.3.0
2016-09	CT#73	CP-160415	0091	-	Non REGISTER authentication after S-CSCF restart	13.4.0
2016-09	CT#73	CP-160431	0088		S-CSCF Restoration during Registration enhancement	14.0.0
2016-12	CT#74	CP-160656	0093	1	P-CSCF restoration for NBIFOM connections	14.1.0
2018-06	CT#80	CP-181132	0096	5	New Clause for P-CSCF Restoration in 5G Access	15.0.0
2018-12	CT#82	CP-183092	0102	1	Updates to PCF Based P-CSCF Restoration Solution	15.1.0
2019-03	CT#83	CP-190015	0103	-	Correction to clause numbering	16.0.0
2019-09	CT#85	CP-192191	0107	-	5G P-CSCF restoration using Nudm	16.1.0
2019-09	CT#85	CP-192099	0109	1	P-CSCF restoration	16.1.0
2019-09	CT#85	CP-192124	0105	5	Correction to P-CSCF Restoration Procedures	16.1.0
2019-09	CT#85	CP-192125	0106	2	Add P-CSCF subscription info to Restoration information	16.1.0
2019-12	CT#86	CP-193040	0110	1	S-CSCF restoration after registration timer expiry	16.2.0

History

Document history		
V16.2.0	November 2020	Publication