

ETSI TS 123 527 V15.3.0 (2019-07)



**5G;
5G System;
Restoration procedures
(3GPP TS 23.527 version 15.3.0 Release 15)**



Reference

RTS/TSGC-0423527vf30

Keywords

5G

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

The present document can be downloaded from:

<http://www.etsi.org/standards-search>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at www.etsi.org/deliver.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx>

If you find errors in the present document, please send your comment to one of the following services:

<https://portal.etsi.org/People/CommitteeSupportStaff.aspx>

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2019.

All rights reserved.

DECT™, **PLUGTESTS™**, **UMTS™** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members.

3GPP™ and **LTE™** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

oneM2M™ logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners.

GSM® and the GSM logo are trademarks registered and owned by the GSM Association.

Intellectual Property Rights

Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "*Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards*", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<https://ipr.etsi.org/>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Trademarks

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

Legal Notice

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities. These shall be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

Contents

Intellectual Property Rights	2
Legal Notice	2
Modal verbs terminology.....	2
Foreword.....	5
1 Scope	6
2 References	6
3 Definitions and abbreviations.....	6
3.1 Definitions	6
3.2 Abbreviations	6
4 Restoration Procedures related to the N4 Interface.....	7
4.1 General	7
4.2 N4 Failure and Restart Detection	7
4.3 UPF Restoration Procedures.....	7
4.3.1 General.....	7
4.3.2 Restoration Procedure for PSA UPF Restart	7
4.3.3 Restoration Procedure for PSA UPF Failure without Restart	7
4.3.4 Restoration Procedure for Intermediate UPF Restart.....	7
4.3.5 Restoration Procedure for Intermediate UPF Failure without Restart	8
4.4 SMF Restoration Procedures.....	8
4.4.1 General.....	8
4.4.2 Restoration Procedure for SMF Restart.....	8
4.4.3 Restoration Procedure for SMF Failure without Restart.....	8
4.5 N4 path failure.....	9
5 Restoration Procedures related to the User Plane Interfaces N3 and N9	9
5.1 General	9
5.2 User Plane Failure Detection.....	9
5.2.1 Loss of GTP-U contexts	9
5.2.2 User Plane Path Failure.....	9
5.3 Restoration Procedures upon Loss of GTP-U contexts	9
5.3.1 General.....	9
5.3.2 Procedure for GTP-U Error Indication received from 5G-AN	10
5.3.2.1 Principles.....	10
5.3.3 Procedure for GTP-U Error Indication received from UPF	11
5.3.3.1 GTP-U Error Indication received by 5G-AN	11
5.3.3.2 GTP-U Error Indication received by another UPF.....	11
5.4 Restoration Procedures upon User Plane Path Failure	11
6 Restoration Procedures related to Service-Based Interfaces	12
6.1 General	12
6.2 NF (NF Service) Failure and Restart Detection using the NRF	12
6.2.1 General.....	12
6.2.2 NF (NF Service) Failure	12
6.2.3 NF (NF Service) Restart	13
6.3 NF Service Producer Restart Detection using direct signalling between NFs.....	15
6.3.1 General.....	15
6.3.2 NF Service Producer Restart.....	15
6.4 NF Service Consumer Restart Detection using direct signalling between NFs.....	16
6.4.1 General.....	16
6.4.2 NF Service Consumer Restart.....	17
6.5 NF Service Instance Reselection	18
7 Restoration Procedures related to Public Warning System (PWS).....	18
7.1 General	18
7.2 PWS operation failure in NG-RAN.....	18

7.3 PWS operation restart in NG-RAN 18

Annex A (informative): Change history20

History21

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

1 Scope

The present document defines the restoration procedures in the 5G System.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
 - [2] 3GPP TS 23.007: "Restoration procedures".
 - [3] 3GPP TS 29.281: "General Packet Radio System (GPRS) Tunneling Protocol User Plane (GTPv1-U)".
 - [4] 3GPP TS 29.244: "Interface between the Control Plane and the User Plane Nodes; Stage 3".
 - [5] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2"
 - [6] 3GPP TS 29.518: "5G System; Access and Mobility Management Service; Stage 3".
 - [7] 3GPP TS 29.510: "5G System; Network Function Repository Services; Stage 3".
 - [8] 3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".
-

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and 3GPP TS 29.244 [4] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1] and 3GPP TS 29.244 [4].

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

F-SEID	Fully Qualified SEID
PFCP	Packet Forwarding Control Protocol
PSA	PDU Session Anchor

4 Restoration Procedures related to the N4 Interface

4.1 General

This clause specifies the procedures supported in the 5G System to detect and handle failures affecting the N4 interface.

4.2 N4 Failure and Restart Detection

Across PFCP based interfaces, an SMF and UPF shall utilize the PFCP Heartbeat Request and Heartbeat Response messages to detect a peer PFCP entity failure or restart as described in clause 19A of 3GPP TS 23.007 [2].

A PFCP function that receives a PFCP Association Setup Request shall proceed with:

- establishing the PFCP association and
- deleting the existing PFCP association and associated PFCP sessions, if a PFCP association was already established for the Node ID received in the request, regardless of the Recovery Timestamp received in the request.

A PFCP function shall ignore the Recovery Timestamp received in PFCP Association Setup Response message.

4.3 UPF Restoration Procedures

4.3.1 General

When a UPF fails, all its Session contexts and PFCP associations affected by the failure become invalid and may be deleted.

4.3.2 Restoration Procedure for PSA UPF Restart

If F-TEID allocation is performed in the UPF, the UPF shall ensure that previously used F-TEID values are not immediately reused after a UPF restart, in order to avoid inconsistent TEID allocation throughout the network and to enable the restoration of PFCP sessions affected by the failure. How this is ensured is implementation specific.

The UPF shall not send an Error indication message for a configurable period after an UPF restart when the UPF receives a G-PDU not matching any PDRs.

During or immediately after an UPF Restart, the UPF shall place a local UPF Recovery Time Stamp value in all Heartbeat Request/Response messages.

Immediately after the re-establishment of a PFCP association between the SMF and the UPF, the SMF may start restoring PFCP sessions in the UPF.

4.3.3 Restoration Procedure for PSA UPF Failure without Restart

Procedures for PSA UPF failure without restart are implementation specific.

4.3.4 Restoration Procedure for Intermediate UPF Restart

The SMF will receive the UPF recovery time stamps in PFCP heartbeat requests/responses.

After an Intermediate UPF restart, the PFCP association between the SMF(s) and the Intermediate UPF has to be re-established.

The restoration of the PFCP sessions may start immediately after the PFCP association setup procedure:

- if the restoration is supported in the SMF on a proactive basis, the SMF may start re-establishing PFCP sessions matching any PDRs.

- if the restoration is supported in the SMF on a reactive basis:
 - the SMF shall establish an PFCP session with a wildcarded PDR to instruct the Intermediate UPF to forward G-PDU packets which are not matching any other PDRs to the SMF (to a F-TEID uniquely assigned in the SMF for this PFCP-u tunnel);
 - upon receipt of G-PDUs from this PFCP-u tunnel, the SMF shall then check if it has an active session for each received G-PDU packet:
 - if so, the SMF shall perform the PFCP Session establishment procedures to re-establish the corresponding PFCP sessions in the Intermediate UPF;
 - otherwise the SMF shall generate a GTP-U Error Indication with a destination address set to the source IP address of the received G-PDU, and send it to the Intermediate UPF. The Intermediate UPF shall forward this GTP-U Error Indication transparently. The SMF shall delete the G-PDU after the check for active sessions.

NOTE 1: The UPF can filter the G-PDU packets with same target F-TEID and send only one such G-PDU to the Intermediate SMF.

The Intermediate UPF shall not send any Error indication messages for a configurable period after an Intermediate UPF restart when the Intermediate UPF receives G-PDU not matching any PDRs.

NOTE 2: If restoration on a reactive basis is used, the period needs to be longer than the time required by the SMF to detect the UPF restart, to establish the PFCP association and provision the wildcarded PDR. Otherwise, the period needs to be longer than the time required by the SMF to restore all the PFCP sessions on a proactive basis.

4.3.5 Restoration Procedure for Intermediate UPF Failure without Restart

Procedures for Intermediate UPF failure without restart are implementation specific.

4.4 SMF Restoration Procedures

4.4.1 General

When a SMF fails, all its PDU session contexts and PFCP associations affected by the failure may become invalid and may be deleted.

If F-TEID allocation is performed in the SMF, the SMF should ensure as far as possible that previously used F-TEID values are not immediately reused after a SMF restart, in order to avoid inconsistent TEID allocation throughout the network.

NOTE: This is to ensure that F-TEIDs are not reused until earlier PDU sessions using them are released.

4.4.2 Restoration Procedure for SMF Restart

During or immediately after a SMF Restart, the SMF shall place local SMF-C Recovery Time Stamp value in all Heartbeat Request/Response messages.

The UPF will receive the SMF recovery time stamps in PFCP heartbeat requests/responses.

When a UPF detects that a peer PFCP entity in the SMF has restarted (as specified in subclause 4.2), the UPF shall delete all session contexts affected by the PFCP entity restart that it may have stored. When the UPF receives a GTP-U PDU not matching any PDRs, it shall discard the GTP-U PDU and return a GTP error indication to the originating node (e.g. other UPF, gNB or N3IWF).

4.4.3 Restoration Procedure for SMF Failure without Restart

When a UPF detects that a peer PFCP entity in the SMF is not reachable for a preconfigured time, the UPF shall delete all the session contexts affected by the peer PFCP entity failure that it may have stored.

4.5 N4 path failure

If the N4 path to the UPF is down, the SMF should handle this as an UPF Failure without Restart, see subclause 4.3.3.

If the N4 path to the SMF is down, the UPF should handle this as a SMF Failure without Restart, see subclause 4.4.3.

5 Restoration Procedures related to the User Plane Interfaces N3 and N9

5.1 General

This clause specifies the procedures supported in the 5G System to detect and handle failures affecting the user plane interfaces N3 and N9

5.2 User Plane Failure Detection

5.2.1 Loss of GTP-U contexts

A GTP-U entity may lose its GTP-U contexts upon a failure or restart.

When a GTP-U node receives a G-PDU for which no corresponding GTP-U tunnel exists, the GTP-U node shall discard the G-PDU and return a GTP-U Error Indication to the sending node, as specified in subclause 7.3.1 of 3GPP TS 29.281 [3].

The receipt of a GTP-U Error Indication is an indication for the sending GTP-U entity that the peer GTP-U entity cannot receive any more user plane traffic on the corresponding GTP-U tunnel.

5.2.2 User Plane Path Failure

A GTP-U entity may detect a user plane path failure by using GTP-U Echo Request and Echo Response messages, as specified in subclause 20.3.1 of 3GPP TS 23.007 [2].

5.3 Restoration Procedures upon Loss of GTP-U contexts

5.3.1 General

The following subclauses specify the behaviour of the different network entities when receiving a GTP-U Error Indication.

5.3.2 Procedure for GTP-U Error Indication received from 5G-AN

5.3.2.1 Principles

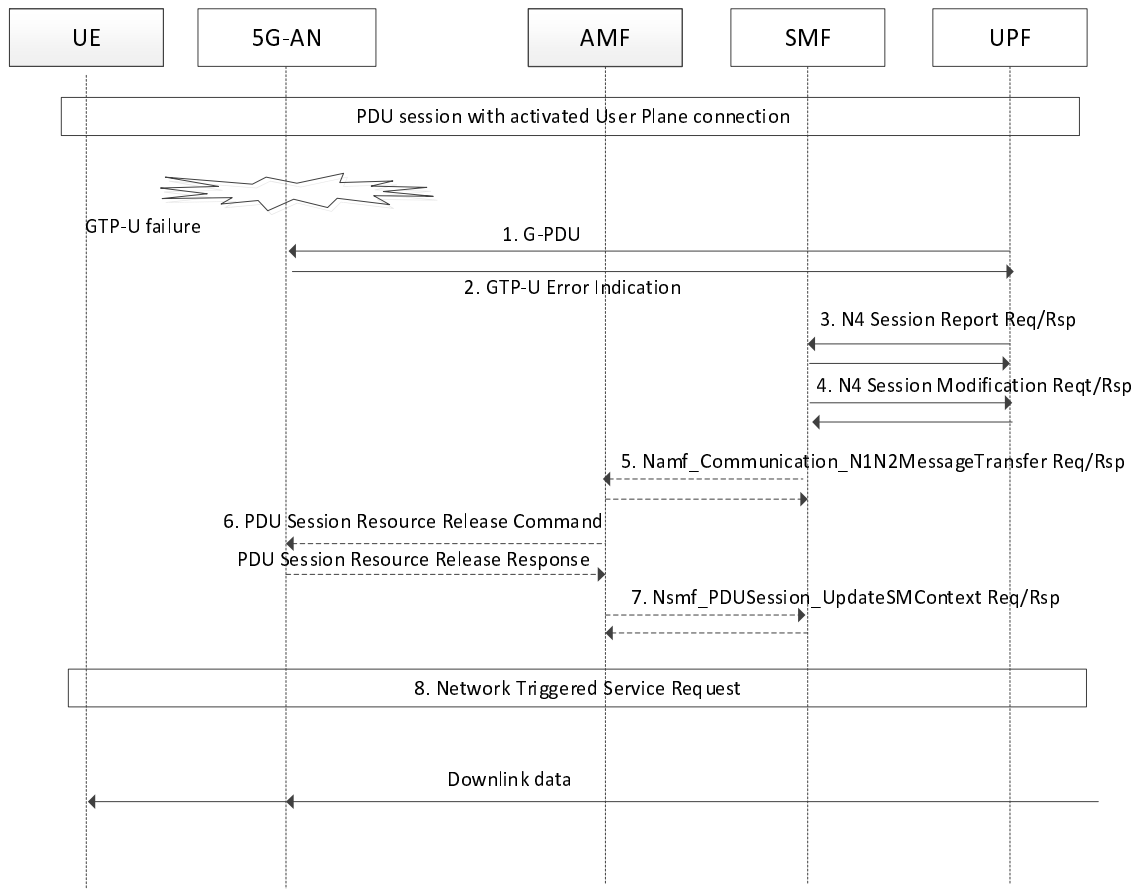


Figure 5.3.2.1-1: GTP-U Error Indication from 5G-AN

1. The user plane connection of an existing PDU session is activated. Downlink G-PDUs are sent towards the 5G-AN.
2. The 5G-AN returns a GTP-U Error Indication if it does not have a corresponding GTP-U context (see subclause 5.2).
3. Upon receipt of a GTP-U Error Indication, the UPF shall identify the related PFCP session and send an Error Indication Report to the SMF, as specified in subclause 5.10 of 3GPP TS 29.244 [4].
4. For a GTP-U Error Indication received from a 5G-AN, the SMF shall modify the PFCP session to instruct the UPF to buffer downlink packets.
5. If the user plane connection of the PDU session is seen as activated by the SMF, the SMF shall initiate an `Namf_Communication_N1N2MessageTransfer` service operation to request the 5G-AN to release the PDU session's resources, as specified in subclause 4.3.7 of 3GPP TS 23.502 [5].
6. Upon receipt of an `Namf_Communication_N1N2MessageTransfer` request to transfer the PDU Session Resource Release Command, the AMF shall:
 - proceed with the request, as specified in subclause 5.2.2.3.1 of 3GPP TS 29.518 [6], if the UE is in CM-CONNECTED state for the Access Network Type associated to the PDU session;
 - otherwise, reject the request with an error indicating that the UE is in CM-IDLE state for the Access Network Type associated to the PDU session.
7. If the AMF sent a PDU Session Resource Release Command to the 5G-AN, the PDU session's resource release is acknowledged to the SMF.

8. The SMF initiates the Network Triggered Service Request procedure specified in subclause 4.2.3.3 of 3GPP TS 23.502 [5], to re-activate the user plane connection of the PDU session.

5.3.3 Procedure for GTP-U Error Indication received from UPF

5.3.3.1 GTP-U Error Indication received by 5G-AN

Upon receipt of a GTP-U Error Indication, the 5G-AN shall proceed as follows:

- if the GTP-U Error Indication was received from an UPF for a NG-U tunnel other than an indirect forwarding tunnel, the 5G-AN shall initiate a PDU Session Resource Notify procedure and release immediately the resources of the PDU session for which the Error Indication was received;
- if the GTP-U Error Indication was received from a peer 5G-AN or UPF for a direct or indirect forwarding tunnel, the 5G-AN may ignore the error indication or delete the forwarding tunnel context locally without deleting the corresponding PDU session and bearers.

NOTE: The 5G-AN behaviour for dual connectivity is not described in this specification.

5.3.3.2 GTP-U Error Indication received by another UPF

Upon receipt of a GTP-U Error Indication, the UPF shall identify the related PFCP session and send an Error Indication Report to the SMF, as specified in subclause 5.10 of 3GPP TS 29.244 [4].

Upon receipt of an Error Indication Report from the UPF, the SMF shall identify the PDU session for which the Error Indication is received using the remote F-TEID included in the report.

For a GTP-U Error Indication received from another UPF, the SMF shall delete the PFCP session and PDU session, unless the UPF from which the Error Indication was received is controlled by the same SMF and the SMF is able to restore the user plane connectivity of the PDU session (e.g. Error Indication received from an Intermediate UPF controlled by the same SMF).

5.4 Restoration Procedures upon User Plane Path Failure

Upon detecting a GTP-U user plane path failure as specified in subclause 5.2.2, the UPF shall report the user plane path failure to the SMF, by sending a PFCP Node Report Request (see 3GPP TS 29.244 [4]) including a User Plane Path Failure Report with the IP address of the remote GTP-U peer(s) towards which a failure has been detected. The UPF should also notify the GTP-U user plane path failure via the Operation and Maintenance system.

When the SMF receives the PFCP Node Report Request with a User Plane Path Failure Report, the SMF may:

- delete the PDU session contexts associated with the path in failure; or
- maintain the PDU session contexts associated with the path in failure during an operator configurable maximum path failure duration. The SMF shall delete the PDU session contexts associated with the path in failure if the path is still down when this duration expires.

NOTE 1: During transient path failures (e.g. path failures not exceeding few minutes at most), maintaining the PDU session contexts associated with the peer's IP address enables the delivery of end user services (when the path is re-established again) and this also avoids unnecessary signalling in the network for restoring those PDU sessions.

NOTE 2: It is not intended to maintain PDU session contexts during long path failures (e.g. exceeding few minutes at most) as this would imply undesirable effects like undue charging.

When deciding to delete the PDU session contexts associated with the path in failure, the SMF shall modify or delete the affected PFCP sessions in the UPF.

NOTE 3: The SMF need to take care to smoothen the signalling load towards the UPF if a large number of PFCP sessions are affected by the user plane path failure.

6 Restoration Procedures related to Service-Based Interfaces

6.1 General

A NF may detect a failure or a restart of a peer NF or NF service using the NRF as specified in subclause 6.2.

A NF may also detect a restart of a peer NF or NF service by receiving recovery time information in signalling exchanged with that peer NF or NF service.

The restoration procedures, initiated when detecting a failure or a restart are not specified in this release.

6.2 NF (NF Service) Failure and Restart Detection using the NRF

6.2.1 General

This subclause describes optional procedures that may be supported by NFs to detect the failure or restart of a NF or a NF service using the NRF.

6.2.2 NF (NF Service) Failure

Figure 6.2.2-1 describes a NF failure scenario and how other NFs can be notified of this failure.

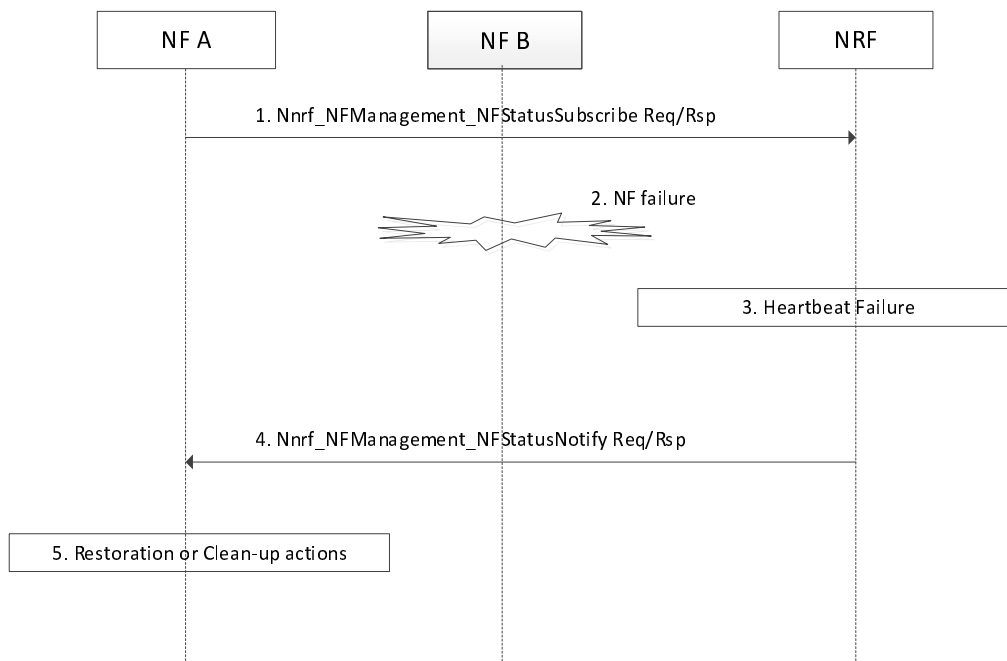


Figure 6.2.2-1: NF Failure Detection and Notification

1. NF A subscribes to the NRF to receive notifications of changes of the NF B Profile, as specified in 3GPP TS 29.510 [7].
2. A NF failure occurs at NF B.

3. The NRF detects that NF B is no longer operative using the NF Heart-Beat procedure as specified in subclause 5.2.2.3.2 of 3GPP TS 29.510 [7]. The NRF changes the NFStatus of NF B to SUSPENDED.
4. The NRF notifies NFs having subscribed to receive notifications of changes of the NF B Profile that the NFStatus of NF B is changed to SUSPENDED.
5. NF A may trigger appropriate restoration or clean-up actions, if it cannot communicate with NF B.

Figure 6.2.2-2 describes a NF service failure scenario and how other NFs can be notified of this failure.

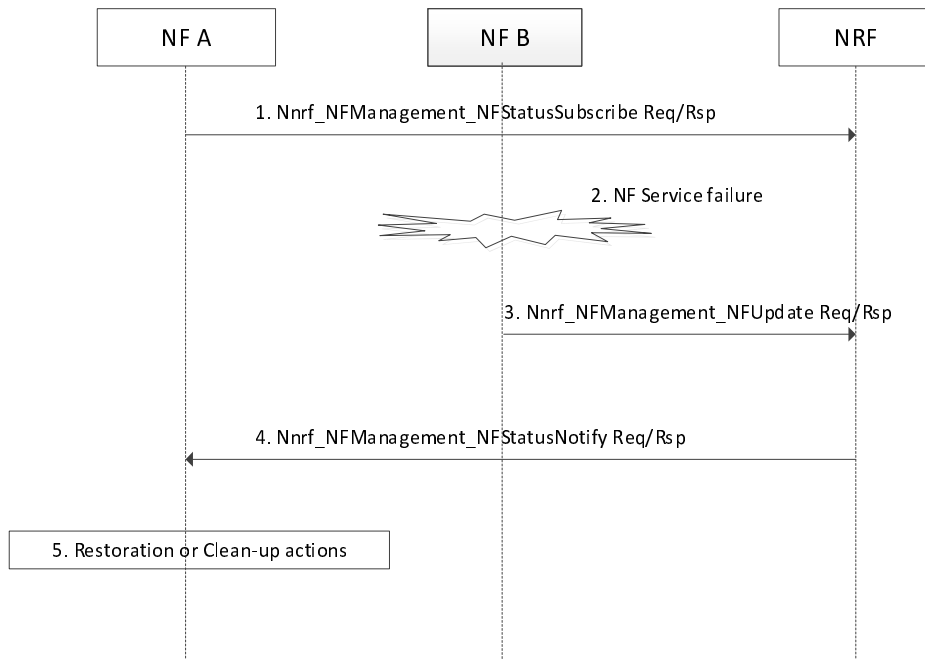


Figure 6.2.2-2: NF Service Failure Detection and Notification

1. NF A subscribes to the NRF to receive notifications of changes of the NF B Profile.
2. A NF Service failure occurs at NF B. NF B (other than the failed NF Service) is still operative.
3. NF B (or OAM) updates its NF Profile in the NRF, by setting the NFServiceStatus of the failed NF Service to SUSPENDED.
4. The NRF notifies NFs having subscribed to receive notifications of changes of NF B Profile that the NF Service status of the failed NF service of NF B is changed to SUSPENDED.
5. NF A triggers appropriate restoration or clean-up actions, if it cannot communicate with the NF B service.

6.2.3 NF (NF Service) Restart

Figure 6.2.3-1 describes a NF restart scenario and how other NFs can be notified of this restart.

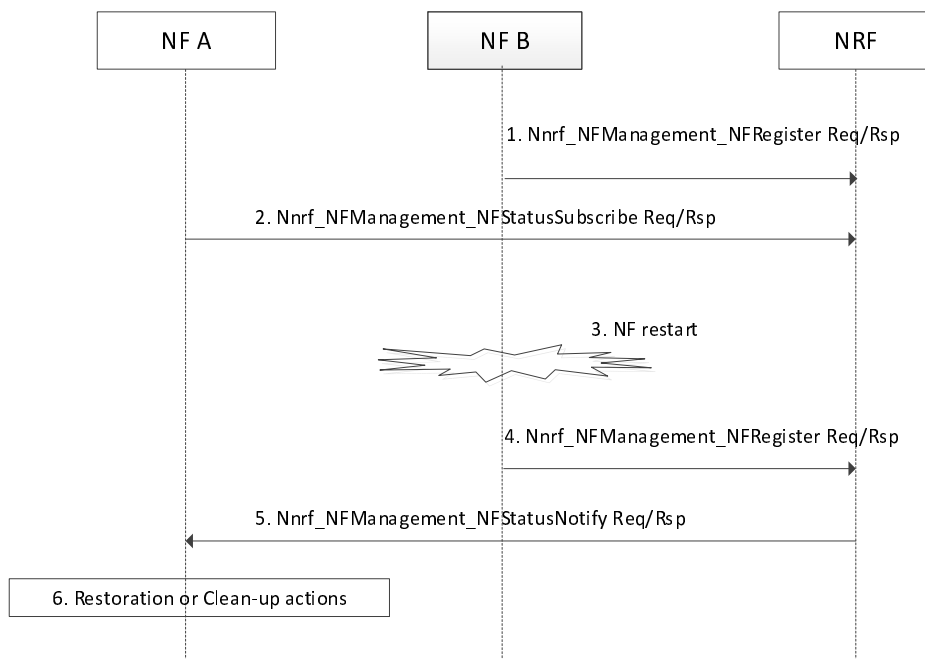


Figure 6.2.3-1: NF Restart Detection and Notification

1. NF B (or OAM) registers NF B Profile to the NRF. The NF B Profile may include the recoveryTime attribute, if a restart of NF B results in losing contexts.
2. NF A subscribes to the NRF to receive notifications of changes of the NF B Profile.
3. NF B restarts.
4. If contexts are lost during the restart, NF B (or OAM) updates the recoveryTime in its NF Profile in the NRF.
5. The NRF notifies NFs having subscribed to receive notifications of changes of NF B Profile about the updated recoveryTime of the NF B Profile.
6. NF A may consider that all the resources created in the NF B before the NF B recovery time as have been lost. NF A triggers then appropriate restoration or clean-up actions.

Figure 6.2.3-2 describes a NF service restart scenario and how other NFs can be notified of this restart.

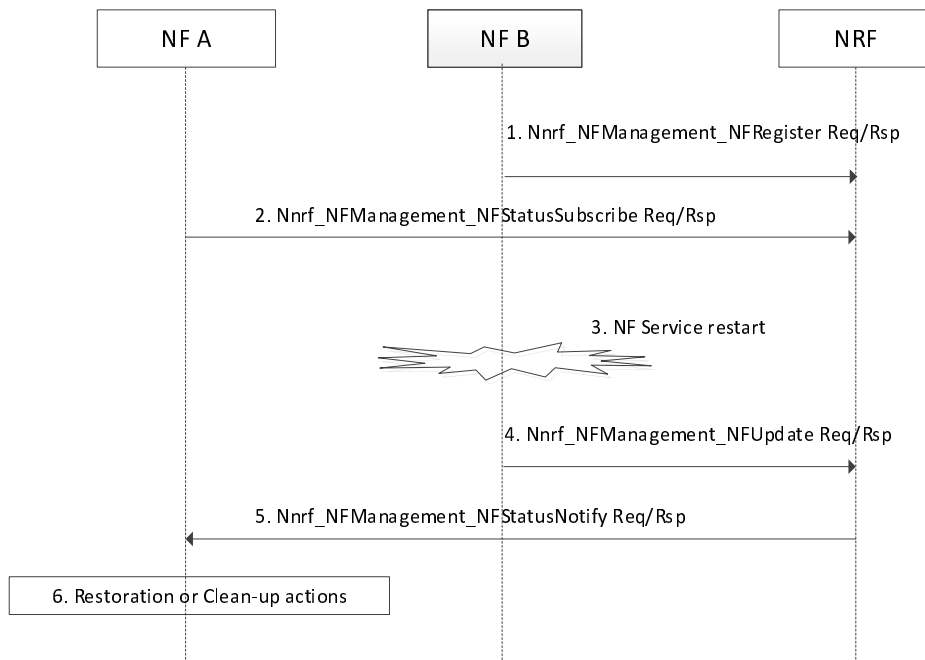


Figure 6.2.3-2: NF Service Restart Detection and Notification

1. NF B (or OAM) registers its NF B Profile (and its services) to the NRF. The NF B Profile may include the recoveryTime attribute for the NF Services it supports, if a restart of a NF B service results in losing contexts.
2. NF A subscribes to the NRF to receive notifications of changes of the NF B Profile.
3. A NF B service restarts.
4. If contexts are lost during the service restart, NF B (or OAM) updates the recoveryTime of the corresponding NF Service in the NRF.
5. The NRF notifies NFs having subscribed to receive notifications of changes of the NF B Profile about the updated recoveryTime of the NF B Service.
6. NF A may consider that all the resources created in the NF B service before the NF B service recovery time as have been lost. NF A triggers then appropriate restoration or clean-up actions.

6.3 NF Service Producer Restart Detection using direct signalling between NFs

6.3.1 General

This subclause describes an optional procedure that may be supported by NFs to detect the restart of a peer NF service using direct signalling between NFs.

6.3.2 NF Service Producer Restart

Figure 6.3.2-1 describes a NF Service restart scenario of an NF Service Producer and how the NF Service Consumer can detect this restart.

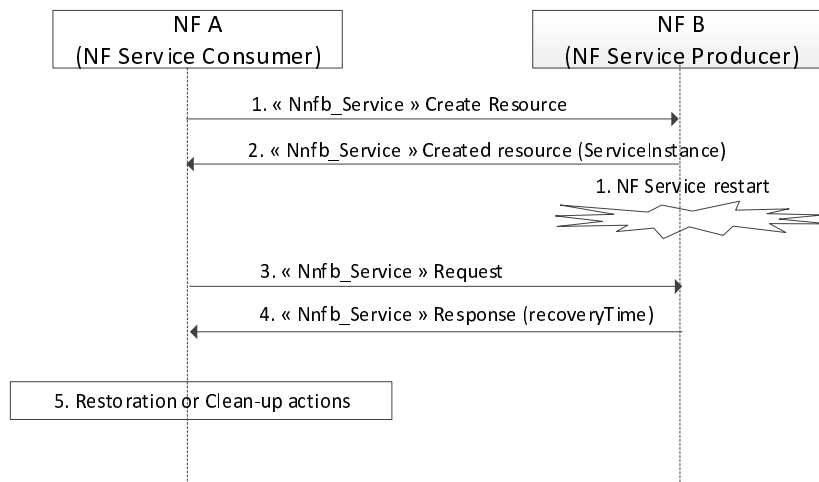


Figure 6.3.2-1: NF Service Producer Restart Detection

1. NF A requests to create a resource in the NF B. If the request is accepted, and if NF B implements the procedure specified in this subclause, NF B shall return its NF B service instance ID in the response, and NF A shall associate the created resource with the NF B service instance.
2. A NF service produced by NF B restarts.
- 3-4. NF B service may include its last recovery timestamp in responses it sends to the NF Service Consumer, if the restart of the NF service resulted in losing contexts and e.g. if the NF service has restarted recently.
5. NF A may consider that all the resources created in the NF B service instance before the NF B service recovery time as have been lost. NF A triggers then appropriate restoration or clean-up actions.

The recovery timestamp signalled in direct signalling between NFs shall be associated to a NF service instance, i.e. the same recovery timestamp shall be signalled by a NF service instance whatever the NF service instance's endpoint addresses used for the signalling.

NOTE 1: This procedure is only supported by NF services that support signalling the recoveryTime attribute.

NOTE 2: The recovery time signalled in this procedure is equivalent to the recovery time of the NF service of Figure 6.2.3-2. For an entire NF restart scenario, this procedure can be applied by each NF service instance of the NF.

NOTE 3: This procedure enables the detection of a restart of a peer NF service when sending signalling towards that NF Service. It can fasten the detection of a restart of a peer NF service when frequent signalling occurs towards that peer NF Service.

NOTE 4: In some use cases, NF A is not aware of the NF B Service Instance ID when creating the resource, e.g. a V-SMF just receives the H-SMF URI from the AMF to create a PDU session resource in H-SMF. Besides, for APIs supporting distributed collections (e.g. SMF), the response can contain a different Service Instance ID (that need not be registered in the NRF) than the one selected by NF A for sending the request.

6.4 NF Service Consumer Restart Detection using direct signalling between NFs

6.4.1 General

This subclause describes an optional procedure that may be supported by NFs to detect the restart of a peer NF Service Consumer by NF Service Producer using direct signalling between NFs.

6.4.2 NF Service Consumer Restart

Figure 6.4.2-1 describes a NF Service Consumer restart scenario and how the NF Service Producer can detect this restart.

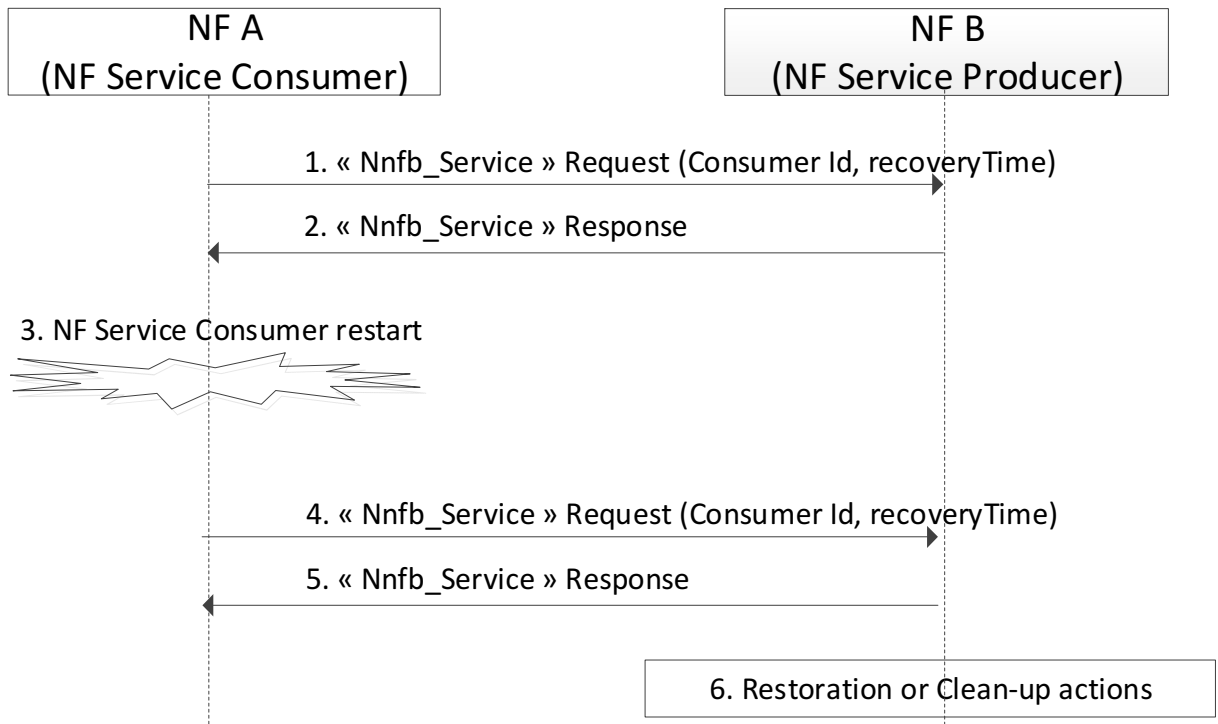


Figure 6.4.2-1: NF Service Consumer Restart Detection

1. NF A requests to create a resource in the NF B. If NF A implements the procedure specified in this subclause, it shall include a Consumer Id together with the last recovery timestamp in the request. The Consumer Id shall be identical for all service requests triggered by the NF service consumer for that service and shall be globally unique (e.g. using UUID).
2. If resource creation is successful, NF B as service producer shall store the received Consumer Id and recovery timestamp and associate the created resource with it.
3. The NF service consumer in NF A restarts.
4. The NF service consumer in NF A shall include its last recovery timestamp together with the Consumer Id in the request when invoking service provided by NF B. The same Consumer Id shall be used after restarting.
5. NF B as NF service producer may compare the received recovery timestamp with previous recovery timestamp associated with the Consumer Id and detect the NF service consumer was restarted, if the received recovery timestamp is newer than the previous one.

The consumer Id for the resource may be updated if another service consumer took over the usage of the resource. e.g. if a new consumer Id is received during a service operation of a resource, NF B as NF service producer shall consider the service consumer handling the resource has changed and associate the resource with the new consumer Id and recovery timestamp.

6. NF B may consider that the context in the NF A corresponding to all the resources associated with the consumer Id and the previous stored recovery time stamp has been lost. NF B triggers then appropriate restoration or clean-up actions.

NOTE 1: This procedure is only supported by NF services that support signalling the recovery timestamp attribute.

NOTE 2: This procedure can be used when the resource is exclusively used by an NF service consumer.

NOTE 3: This procedure enables the detection of a restart of a peer NF service consumer when sending signalling towards that NF service producer. It is helpful if the NF A as a pure service consumer without registration of its profile in NRF. If NF A does have a profile registered in NRF, it also can fasten the detection of a restart of a peer NF service consumer when frequent signalling occurs towards that peer NF Service.

6.5 NF Service Instance Reselection

An NF Instance of an NF Service Producer may expose several service instances of the same NF Service (e.g., an UDM instance may expose several service instances of the "Nudm_SubscriberDataManagement" service).

An NF Service Consumer may discover, via NRF Nnrf_NFDiscovery service, all available NF Service Instances for a given NF Service and select one of them.

If a formerly selected NF Service Instance becomes unavailable, the NF Service Consumer may select a different instance of a same NF Service, in the same NF Instance, if the NF Instance indicates in its NF Profile that it supports the capability to persist their resources in shared storage inside the NF Instance, and if the new NF Service Instance offers the same major service version.

If so, the NF Service Consumer may invoke service operations in the newly selected NF Service Instance by means of replacing the addressing parameters with those of the new service instance, and the new NF Service Instance in the NF Service Producer shall produce the same result as if the service operation request would have been successfully delivered to the former NF Service Instance.

NOTE: This reselection mechanism is applicable only for the request/response service semantics, but not for notify/callback requests.

If the NF instance does not indicate in its NF Profile the support of the capability to persist their resources in shared storage across service instances of the same NF Service, inside the NF Instance, the NF Service Consumer may still reselect any of the exposed service instances, but it shall not assume that the resources created in the former service instance are still valid.

7 Restoration Procedures related to Public Warning System (PWS)

7.1 General

This clause specifies the procedures supported in the 5G System to handle failures affecting Public Warning System (PWS). The stage 2 architecture and procedures for PWS are specified in 3GPP TS 23.041 [8].

7.2 PWS operation failure in NG-RAN

The NG-RAN shall report that on-going PWS operation for one or more cells of the NG-RAN has failed by sending a PWS Failure Indication as specified in 3GPP TS 23.041 [8].

7.3 PWS operation restart in NG-RAN

After a NG-RAN (i.e. gNB or ng-eNB) has restarted, it shall delete all its warning message data. If the warning message service is operational in one or more cell(s) of the NG-RAN, the NG-RAN shall send a PWS Restart Indication message, which shall include the identity of the NG-RAN, the identity of the restarted cell(s), and the TAI(s) and Emergency Area Id(s) with which the restarted cell(s) are configured, to request the CBC to re-load its warning message data if applicable.

The NG-RAN should send the PWS Restart Indication message via two AMFs of the AMF Region, if possible, to ensure that the CBC receives the message even if one AMF cannot propagate it to the CBC (e.g. due to a path failure between the AMF and the CBC).

If the AMF interfaces with multiple CBCs, the AMF shall forward the PWS Restart Indication to all CBCs.

Upon receipt of a PWS Restart Indication message, the CBC shall consider that the warning message service is restarted in the reported cell(s), i.e. the service is operational and no warning messages are being broadcast in the cell(s). The CBC shall then re-send the warning message data (with the same message identifier and serial number) to the NG-RAN for these cells, if any. When doing so, the CBC:

- shall provide the identity of the NG-RAN received in the PWS Restart Indication when sending the Write-Replace-Warning-Request message(s) to the AMF, to enable the AMF to forward the message(s) only to the NG-RAN involved in the restart. The identity of the NG-RAN shall be included in:
 - the Write-Replace-Warning-Request message(s) sent to the PWS-IWF over the SBc interface; or
 - the globalRanNodeList IE of the NonUeN2MessageTransfer request message(s) sent to the AMF over the N50 interface (see subclauses 5.2.2.4.1.3 and 6.1.6.2.9 of 3GPP TS 29.518 [6]) to request the transfer of the Write-Replace-Warning-Request message(s).
- should set the warning area list to the identities of the cell(s) to be reloaded which are relevant to the warning message data being reloaded; and
- may update the number of broadcasts requested, if necessary.

The CBC shall consider a PWS Restart Indication message received shortly after a preceding one for the same cell identity as a duplicate restart indication for that cell which it shall ignore.

- NOTE: The broadcast of warning messages can be configured in the network per individual cell, TAI and/or Emergency Area Id. The CBC can use the list of cell(s), the TAI(s) and Emergency Area Id(s) received in the PWS Restart Indication to derive the list of warning messages to be broadcast in the respective cell(s), TAI(s) and Emergency Area Id(s).

Likewise, in other scenarios where the NG-RAN may need to reload its warning message data (e.g. when an individual cell is restarted), the NG-RAN shall send a PWS Restart Indication message (including the identity of the NG-RAN, the identity of the restarted cell(s), and the TAI(s) and Emergency Area Id(s) with which the restarted cell(s) are configured) to the CBC to request the CBC to re-load its warning message data if applicable. The NG-RAN, AMF and CBC shall then proceed as specified above for a NG-RAN restart.

Annex A (informative): Change history

Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2018-07	CT4#85bis	C4-185034				Initial Draft and skeleton.	0.0.2
2018-07	CT4#85bis	C4-185407				N4 Failure and Restart Detection, Restoration procedures for User Plane interfaces N3 and N9 Implementation of C4-185409, C4-185410, C4-185411, C4-185412, C4-185413, C4-185414, C4-185527	0.1.0
2018-08	CT4#86	C4-186509				Implementation of C4-186233, C4-186406, C4-186408, C4-186413, C4-186488	0.2.0
2018-09	CT#81	CP-182082				Presented for Information and approval	1.0.0
2018-09	CT#81					Approved in CT#81	15.0.0
2018-12	CT#82	CP-183021	0003	-	F	GTP-U Error Indication received from 5G-AN	15.1.0
2018-12	CT#82	CP-183021	0004	4	F	NF service restart detection by direct signalling between NFs	15.1.0
2018-12	CT#82	CP-183021	0006	1	F	Restoration Procedure for Intermediate UPF Restart	15.1.0
2018-12	CT#82	CP-183021	0007	1	F	Restart detection by direct signalling between NFs	15.1.0
2018-12	CT#82	CP-183021	0008		F	PWS restoration procedures	15.1.0
2018-12	CT#82	CP-183021	0009	1	F	NF Service Instance Reselection	15.1.0
2019-03	CT#83	CP-190026	0010	-	F	NF Restart detection	15.2.0
2019-06	CT#84	CP-191038	0011	1	F	Corrections to Restoration procedures	15.3.0

History

Document history		
V15.0.0	October 2018	Publication
V15.1.0	March 2019	Publication
V15.2.0	April 2019	Publication
V15.3.0	July 2019	Publication