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TECHNICAL SPECIFICATION

**5G;
5G System;
Restoration procedures
(3GPP TS 23.527 version 16.6.0 Release 16)**

ETSI

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Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the ETSI Drafting Rules (Verbal forms for the expression of provisions).

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Contents

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

   x   the first digit:

   1   presented to TSG for information;

   2   presented to TSG for approval;

   3   or greater indicates TSG approved document under change control.

   y   the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

   z   the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, modal verbs have the following meanings:

   shall   indicates a mandatory requirement to do something

   shall not   indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

   should   indicates a recommendation to do something

   should not   indicates a recommendation not to do something

   may   indicates permission to do something

   need not   indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

   can   indicates that something is possible

   cannot   indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

   will   indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

   will not   indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

   might   indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

might not indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

is (or any other verb in the indicative mood) indicates a statement of fact

is not (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

1 Scope

The present document defines the restoration procedures in the 5G System.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 23.007: "Restoration procedures".

[3] 3GPP TS 29.281: "General Packet Radio System (GPRS) Tunneling Protocol User Plane (GTPv1-U)".

[4] 3GPP TS 29.244: "Interface between the Control Plane and the User Plane Nodes; Stage 3".

[5] 3GPP TS 23.502:"Procedures for the 5G System; Stage 2"

[6] 3GPP TS 29.518: "5G System; Access and Mobility Management Service; Stage 3".

[7] 3GPP TS 29.510: "5G System; Network Function Repository Services; Stage 3".

[8] 3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".

[9] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".

[10] 3GPP TS 29.500: "5G System; Technical Realization of Service Based Architecture; Stage 3".

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and 3GPP TS 29.244 [4] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1] and 3GPP TS 29.244 [4].

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

F-SEID	Fully Qualified SEID
PFCP	Packet Forwarding Control Protocol
PSA	PDU Session Anchor

4　Restoration Procedures related to the N4 Interface

4.1　General

This clause specifies the procedures supported in the 5G System to detect and handle failures affecting the N4 interface.

4.2　N4 Failure and Restart Detection

Across PFCP based interfaces, an SMF and UPF shall utilize the PFCP Heartbeat Request and Heartbeat Response messages to detect a peer PFCP entity failure or restart as described in clause 19A of 3GPP TS 23.007 [2].

A PFCP function shall ignore the Recovery Timestamp received in PFCP Association Setup Request and PFCP Association Setup Response messages (see clause 6.2.6 of 3GPP TS 29.244 [4]).

4.3　UPF Restoration Procedures

4.3.1　General

When a UPF fails, all its Session contexts and PFCP associations affected by the failure become invalid and may be deleted.

4.3.2　Restoration Procedure for PSA UPF Restart

If F-TEID and/or UE IP address allocation is performed in the UPF, the UPF shall ensure that previously used F-TEID values and/or UE IP addresses are not immediately reused after a UPF restart, in order to avoid inconsistent F-TEID and/or UE IP address allocation throughout the network and to enable the restoration of PFCP sessions affected by the failure. How this is ensured is implementation specific.

The UPF shall not send GTP-U Error indication message for a configurable period after an UPF restart when the UPF receives a G-PDU not matching any PDRs.

During or immediately after an UPF Restart, the UPF shall place a local UPF Recovery Time Stamp value in all Heartbeat Request/Response messages.

Immediately after the re-establishment of a PFCP association between the SMF and the UPF, the SMF may start restoring PFCP sessions in the UPF.

The SMF should prioritize the PFCP sessions to restore based on operator's policy.

The SMF should control the load induced on the UPF when performing the PFCP restoration procedures, the way it is done is implementation specific.

When re-establishing a PFCP session and if F-TEID allocation and/or UE IP address is performed in the PSA UPF by network configuration, the SMF shall include a restoration indication in the PFCP Session Establishment Request message to indicate to the UPF it is for a restoration of an existing PFCP session and the UPF shall accept SMF allocated F-TEID and/or UE IP address if possible.

4.3.3　Restoration Procedure for PSA UPF Failure without Restart

Procedures for PSA UPF failure without restart are implementation specific.

4.3.4　Restoration Procedure for Intermediate UPF Restart

The SMF will receive the UPF recovery time stamps in PFCP heartbeat requests/responses.

After an Intermediate UPF restart, the PFCP association between the SMF(s) and the Intermediate UPF has to be re-established.

The restoration of the PFCP sessions may start immediately after the PFCP association setup procedure:

- if the restoration is supported in the SMF on a proactive basis, the SMF may start re-establishing PFCP sessions matching any PDRs.

- as defined in clause 4.3.2, the SMF should prioritize the PFCP sessions restoration.

- if the restoration is supported in the SMF on a reactive basis:

    - the SMF shall establish an PFCP session with a wildcarded PDR to instruct the Intermediate UPF to forward G-PDU packets which are not matching any other PDRs to the SMF (to a F-TEID uniquely assigned in the SMF for this PFCP-u tunnel);

    - upon receipt of G-PDUs from this PFCP-u tunnel, the SMF shall then check if it has an active session for each received G-PDU packet:

        - if so, the SMF shall perform the PFCP Session establishment procedures to re-establish the corresponding PFCP sessions in the Intermediate UPF;

        - otherwise the SMF shall generate a GTP-U Error Indication with a destination address set to the source IP address of the received G-PDU, and send it to the Intermediate UPF. The Intermediate UPF shall forward this GTP-U Error Indication transparently. The SMF shall delete the G-PDU after the check for active sessions.

    NOTE 1: The UPF can filter the G-PDU packets with same target F-TEID and send only one such G-PDU to the Intermediate SMF.

When re-establishing a PFCP session and if F-TEID allocation is performed in the Intermediate UPF by network configuration, the SMF shall include a restoration indication in the PFCP Session Establishment Request message to indicate to the UPF it is for a restoration of an existing PFCP session and the UPF shall accept SMF allocated F-TEID if possible.

The Intermediate UPF shall not send any Error indication messages for an operator configurable period after an Intermediate UPF restart when the Intermediate UPF receives G-PDU not matching any PDRs.

    NOTE 2: If restoration on a reactive basis is used, the period needs to be longer than the time required by the SMF to detect the UPF restart, to establish the PFCP association and provision the wildcarded PDR. Otherwise, the period needs to be longer than the time required by the SMF to restore all the PFCP sessions on a proactive basis.

4.3.5 Restoration Procedure for Intermediate UPF Failure without Restart

Procedures for Intermediate UPF failure without restart are implementation specific.

4.4 SMF Restoration Procedures

4.4.1 General

When a SMF fails, all its PDU session contexts and PFCP associations affected by the failure may become invalid and may be deleted.

If F-TEID allocation is performed in the SMF, the SMF should ensure as far as possible that previously used F-TEID values are not immediately reused after a SMF restart, in order to avoid inconsistent TEID allocation throughout the network.

    NOTE: This is to ensure that F-TEIDs are not reused until earlier PDU sessions using them are released.

4.4.2 Restoration Procedure for SMF Restart

During or immediately after a SMF Restart, the SMF shall place local SMF-C Recovery Time Stamp value in all Heartbeat Request/Response messages.

The UPF will receive the SMF recovery time stamps in PFCP heartbeat requests/responses.

When a UPF detects that a peer PFCP entity in the SMF has restarted (as specified in clause 4.2), the UPF shall delete all session contexts affected by the PFCP entity restart that it may have stored. When the UPF receives a GTP-U PDU not matching any PDRs, it shall discard the GTP-U PDU and return a GTP error indication to the originating node (e.g. other UPF, gNB or N3IWF).

4.4.3   Restoration Procedure for SMF Failure without Restart

When a UPF detects that a peer PFCP entity in the SMF is not reachable for a preconfigured time, the UPF shall delete all the session contexts affected by the peer PFCP entity failure that it may have stored.

4.5   N4 path failure

If the N4 path to the UPF is down, the SMF should handle this as an UPF Failure without Restart, see clause 4.3.3.

If the N4 path to the SMF is down, the UPF should handle this as a SMF Failure without Restart, see clause 4.4.3.

5   Restoration Procedures related to the User Plane Interfaces N3 and N9

5.1   General

This clause specifies the procedures supported in the 5G System to detect and handle failures affecting the user plane interfaces N3 and N9

5.2   User Plane Failure Detection

5.2.1   Loss of GTP-U contexts

A GTP-U entity may lose its GTP-U contexts upon a failure or restart.

When a GTP-U node receives a G-PDU for which no corresponding GTP-U tunnel exists, the GTP-U node shall discard the G-PDU and return a GTP-U Error Indication to the sending node, as specified in clause 7.3.1 of 3GPP TS 29.281 [3].

The receipt of a GTP-U Error Indication is an indication for the sending GTP-U entity that the peer GTP-U entity cannot receive any more user plane traffic on the corresponding GTP-U tunnel.

5.2.2   User Plane Path Failure

A GTP-U entity may detect a user plane path failure by using GTP-U Echo Request and Echo Response messages, as specified in clause 20.3.1 of 3GPP TS 23.007 [2].

5.3   Restoration Procedures upon Loss of GTP-U contexts

5.3.1   General

The following clauses specify the behaviour of the different network entities when receiving a GTP-U Error Indication.

5.3.2　Procedure for GTP-U Error Indication received from 5G-AN

5.3.2.1　Principles
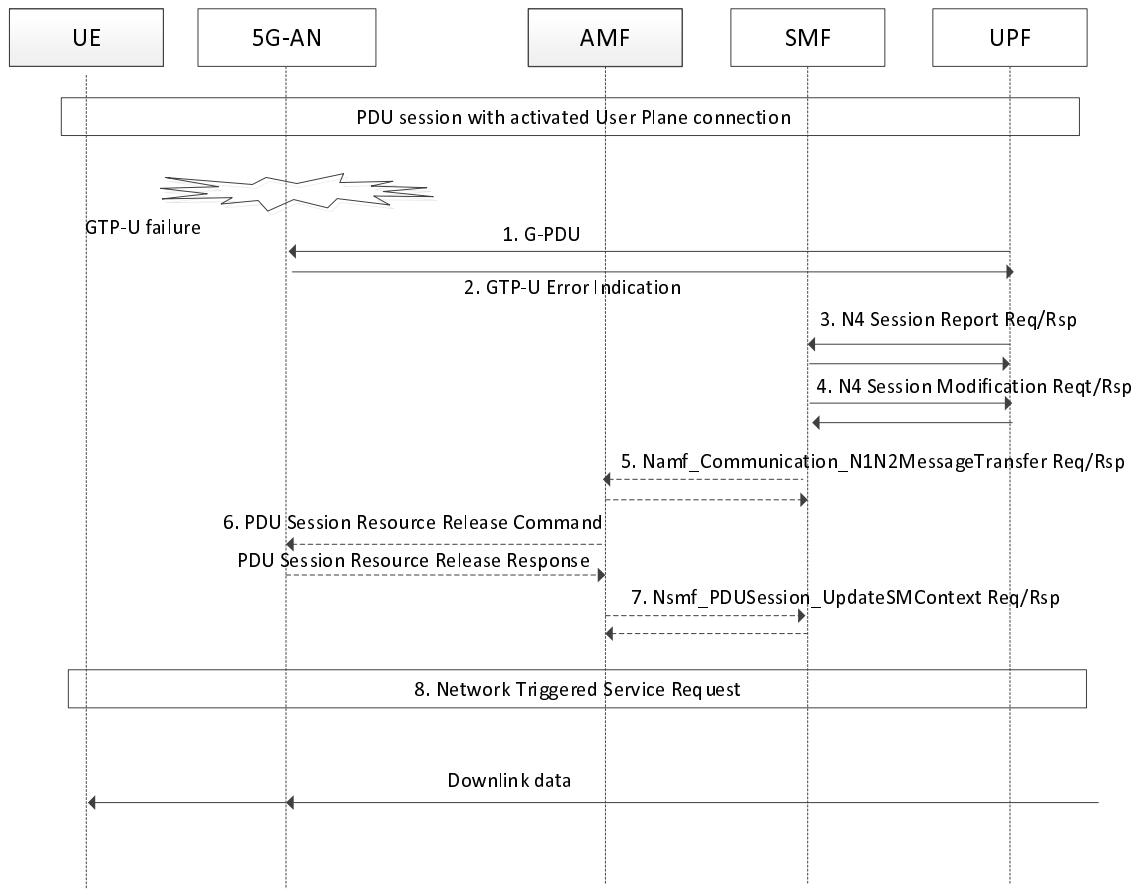
Figure 5.3.2.1-1: GTP-U Error Indication from 5G-AN

1. The user plane connection of an existing PDU session is activated. Downlink G-PDUs are sent towards the 5G-AN.

2. The 5G-AN returns a GTP-U Error Indication if it does not have a corresponding GTP-U context (see clause 5.2).

3. Upon receipt of a GTP-U Error Indication, the UPF shall identify the related PFCP session and send an Error Indication Report to the SMF, as specified in clause 5.10 of 3GPP TS 29.244 [4].

4. For a GTP-U Error Indication received from a 5G-AN, the SMF shall modify the PFCP session to instruct the UPF to buffer downlink packets.

5. If the user plane connection of the PDU session is seen as activated by the SMF, the SMF shall initiate an Namf_Communication_N1N2MessageTransfer service operation to request the 5G-AN to release the PDU session's resources, as specified in clause 4.3.7 of 3GPP TS 23.502 [5].

6. Upon receipt of an Namf_Communication_N1N2MessageTransfer request to transfer the PDU Session Resource Release Command, the AMF shall:

   - proceed with the request, as specified in clause 5.2.2.3.1 of 3GPP TS 29.518 [6], if the UE is in CM-CONNECTED state for the Access Network Type associated to the PDU session;

   - otherwise, reject the request with an error indicating that the UE is in CM-IDLE state for the Access Network Type associated to the PDU session.

7. If the AMF sent a PDU Session Resource Release Command to the 5G-AN, the PDU session's resource release is acknowledged to the SMF.

8. The SMF initiates the Network Triggered Service Request procedure specified in clause 4.2.3.3 of 3GPP TS 23.502 [5], to re-activate the user plane connection of the PDU session.

5.3.3 Procedure for GTP-U Error Indication received from UPF

5.3.3.1 GTP-U Error Indication received by 5G-AN

Upon receipt of a GTP-U Error Indication, the 5G-AN shall proceed as follows:

- if the GTP-U Error Indication was received from an UPF over a NG-U tunnel that is not an indirect forwarding tunnel, the 5G-AN shall initiate a PDU Session Resource Notify procedure and release immediately the resources of the PDU session for which the Error Indication was received;

- if the GTP-U Error Indication was received from a peer5G-AN over a Xn-U direct forwarding tunnel or an UPF over a NG-U indirect forwarding tunnel, the 5G-AN may ignore the error indication or delete the forwarding tunnel context locally without deleting the corresponding PDU session and bearers.

   NOTE: The 5G-AN behaviour for dual connectivity is not described in this specification.

5.3.3.2 GTP-U Error Indication received by another UPF

Upon receipt of a GTP-U Error Indication, the UPF shall identify the related PFCP session and send an Error Indication Report to the SMF, as specified in clause 5.10 of 3GPP TS 29.244 [4].

Upon receipt of an Error Indication Report from the UPF, the SMF shall identify the PDU session for which the Error Indication is received using the remote F-TEID included in the report.

For a GTP-U Error Indication received from another UPF, the SMF shall delete the PFCP session and PDU session, unless the UPF from which the Error Indication was received is controlled by the same SMF and the SMF is able to restore the user plane connectivity of the PDU session (e.g. Error Indication received from an Intermediate UPF controlled by the same SMF).

5.4 Restoration Procedures upon User Plane Path Failure

Upon detecting a GTP-U user plane path failure as specified in clause 5.2.2, the UPF shall report the user plane path failure to the SMF, by sending a PFCP Node Report Request (see 3GPP TS 29.244 [4]) including a User Plane Path Failure Report with the IP address of the remote GTP-U peer(s) towards which a failure has been detected. The UPF should also notify the GTP-U user plane path failure via the Operation and Maintenance system.

When the SMF receives the PFCP Node Report Request with a User Plane Path Failure Report, the SMF may:

- delete the PDU session contexts associated with the path in failure; or

- maintain the PDU session contexts associated with the path in failure during an operator configurable maximum path failure duration. The SMF shall delete the PDU session contexts associated with the path in failure if the path is still down when this duration expires.

   NOTE 1:   During transient path failures (e.g. path failures not exceeding few minutes at most), maintaining the PDU session contexts associated with the peer's IP address enables the delivery of end user services (when the path is re-established again) and this also avoids unnecessary signalling in the network for restoring those PDU sessions.

   NOTE 2:   It is not intended to maintain PDU session contexts during long path failures (e.g. exceeding few minutes at most) as this would imply undesirable effects like undue charging.

When deciding to delete the PDU session contexts associated with the path in failure, the SMF shall modify or delete the affected PFCP sessions in the UPF.

   NOTE 3:   The SMF need to take care to smoothen the signalling load towards the UPF if a large number of PFCP sessions are affected by the user plane path failure.

6 Restoration Procedures related to Service-Based Interfaces

6.1 General

A NF may detect a failure or a restart of a peer NF or NF service using the NRF as specified in clause 6.2.

A NF may also detect a restart of a peer NF or NF service by receiving recovery time information in signalling exchanged with that peer NF or NF service.

When NF (Service) Set is deployed in the network as specified in clauses 5.21.3 and 6.3.1.0 of 3GPP TS 23.501[9], an NF Service Producer in a NF (Service) Set creates resource contexts and the context data is shared by all the NF (Service) instances pertaining to the same NF (Service) set, i.e. the resource context is bound to the NF (Service) Set. So, requests targeting the resource may be served by any NF (Service) Instance within the NF (Service) set, unless the shared contexts are lost (which is further referenced in this specification as "the NF (Service) Set has failed or restarted").

In order to enable peer NFs to detect the loss of the resource contexts, i.e. the "restart of the NF (Service) Set or NF instance", and trigger appropriate restoration procedures, the NF Service Producer may provide a recovery timestamp associated to the highest resiliency level that it supports for the resource context, i.e. the binding entity with which the context data is shared (bound). Binding entities are sorted from the highest to the lowest resilience levels as follows: an NF Set, NF Instance, NF service set or NF service instance. The NF Service Producer may signal this recovery timestamp and its corresponding binding entity, in direct HTTP signalling or via the NRF.

NOTE 1: Signalling the recovery timestamp of an NF (Service) Set or an NF (Service) Instance via the NF profile in the NRF does not require the support of Binding Indication.

NOTE 2: Signalling the recovery timestamp and its corresponding binding entity in direct HTTP signalling requires the use of a Binding Indication (i.e. "3gpp-sbi-binding" HTTP header, see clause 5.2.3.2.6 of 3GPP TS 29.500 [10]). When multiple binding entities are present in a Binding Indication, the binding entity with the highest resiliency level is associated with the recovery timestamp; otherwise (if there is only one binding entity in a Binding Indication), the recovery timestamp is associated with that binding entity in the Binding Indication.

A NF may prioritize the contexts to restore based on operator's policy.

A NF should control/regulate the load induced on a peer NF or NF service when performing the restoration procedures.

The restoration procedures initiated when detecting a failure or a restart are not specified in this release.

6.2 NF (NF Service) Failure and Restart Detection using the NRF

6.2.1 General

This clause describes optional procedures that may be supported by NFs to detect the failure or restart of a NF or a NF service using the NRF.

6.2.2 NF (NF Service) Failure

Figure 6.2.2-1 describes a NF failure scenario and how other NFs can be notified of this failure.
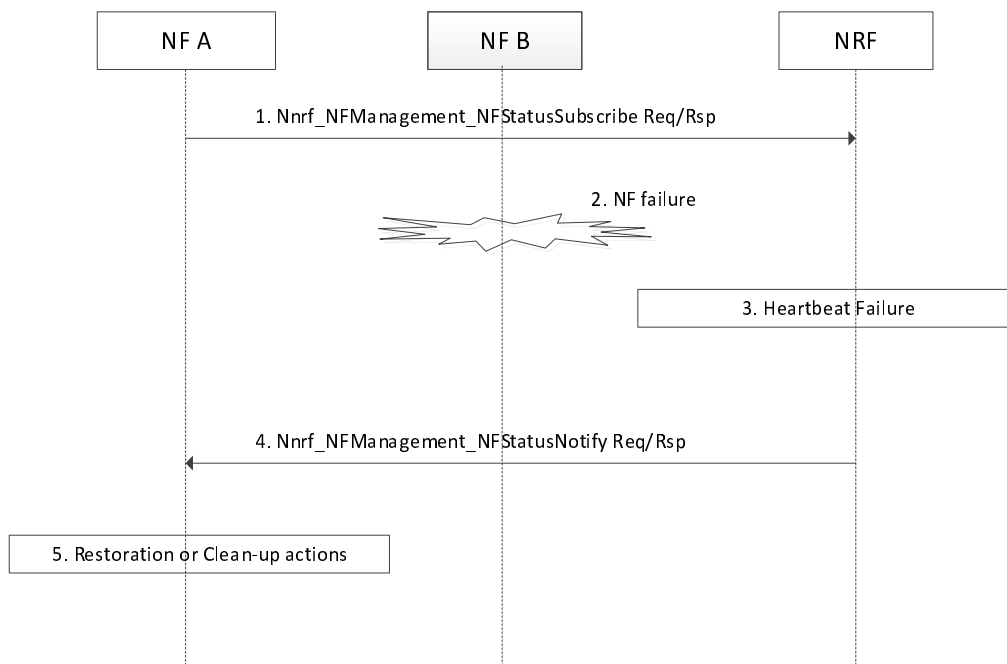
Figure 6.2.2-1: NF Failure Detection and Notification

1. NF A subscribes to the NRF to receive notifications of changes of the NF B Profile, as specified in 3GPP TS 29.510 [7].

2. A NF failure occurs at NF B.

3. The NRF detects that NF B is no longer operative using the NF Heart-Beat procedure as specified in clause 5.2.2.3.2 of 3GPP TS 29.510 [7]. The NRF changes the NFStatus of NF B to SUSPENDED.

4. The NRF notifies NFs having subscribed to receive notifications of changes of the NF B Profile that the NFStatus of NF B is changed to SUSPENDED.

5. NF A may trigger appropriate restoration or clean-up actions, if it cannot communicate with NF B.

Figure 6.2.2-2 describes a NF service failure scenario and how other NFs can be notified of this failure.
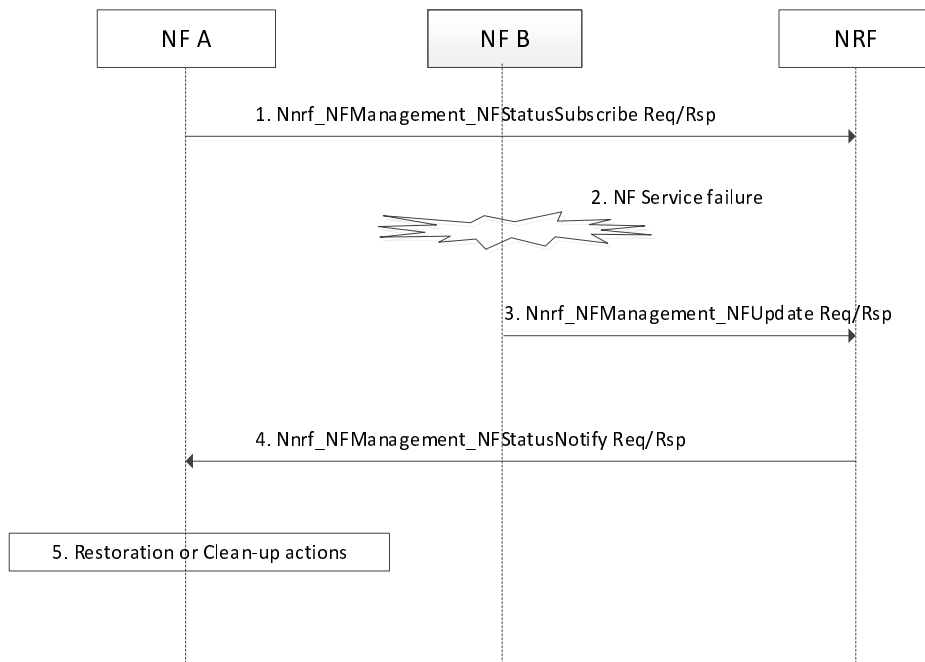
Figure 6.2.2-2: NF Service Failure Detection and Notification

1. NF A subscribes to the NRF to receive notifications of changes of the NF B Profile.

2. A NF Service failure occurs at NF B. NF B (other than the failed NF Service) is still operative.

3. NF B (or OAM) updates its NF Profile in the NRF, by setting the NFServiceStatus of the failed NF Service to SUSPENDED.

4. The NRF notifies NFs having subscribed to receive notifications of changes of NF B Profile that the NF Service status of the failed NF service of NF B is changed to SUSPENDED.

5. NF A triggers appropriate restoration or clean-up actions, if it cannot communicate with the NF B service.

6.2.3   NF (NF Service) Restart

Figure 6.2.3-1 describes a NF restart scenario and how other NFs can be notified of this restart.
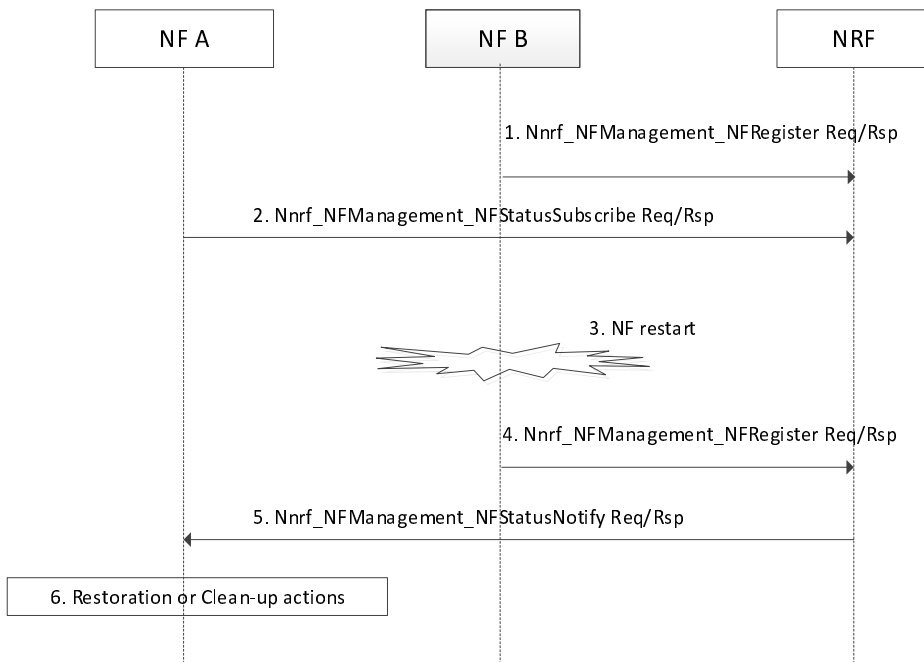
Figure 6.2.3-1: NF Restart Detection and Notification

1. NF B (or OAM) registers NF B Profile to the NRF. The NF B Profile may include the recoveryTime attribute, if a restart of NF B results in losing contexts.

   NF B Profile may include the recoveryTime attribute of the NF Set to which NF B pertains to, when NF B pertains to an NF Set, i.e. when the resource contexts created in the NF B is bound to the NF Set, i.e. resource contexts are accessible by all NF Instances within the NF Set.NOTE 1:The restart of an NF Set indicates that resource contexts bound to the NF Set (i.e. that are accessible by all NF Instances within the NF Set) have been lost.2 NF A subscribes to the NRF to receive notifications of changes of the NF B Profile.

3. NF B restarts.

4. If contexts are lost during the restart, NF B (or OAM) updates the recoveryTime in its NF Profile in the NRF.

   NF B Profile shall update the recoveryTime attribute of the NF Set to which NF B pertains to, if the whole NF Set has restarted and NF B has registered the recoveryTime for that NF Set.

5. The NRF notifies NFs having subscribed to receive notifications of changes of NF B Profile about the updated recoveryTime of the NF B Profile or updated recoveryTime of NF Set to which the NF B pertains to.

6. NF A may consider that all the resources created in the NF B before the NF B recovery time as have been lost. NF A triggers then appropriate restoration or clean-up actions.

Figure 6.2.3-2 describes a NF service restart scenario and how other NFs can be notified of this restart.
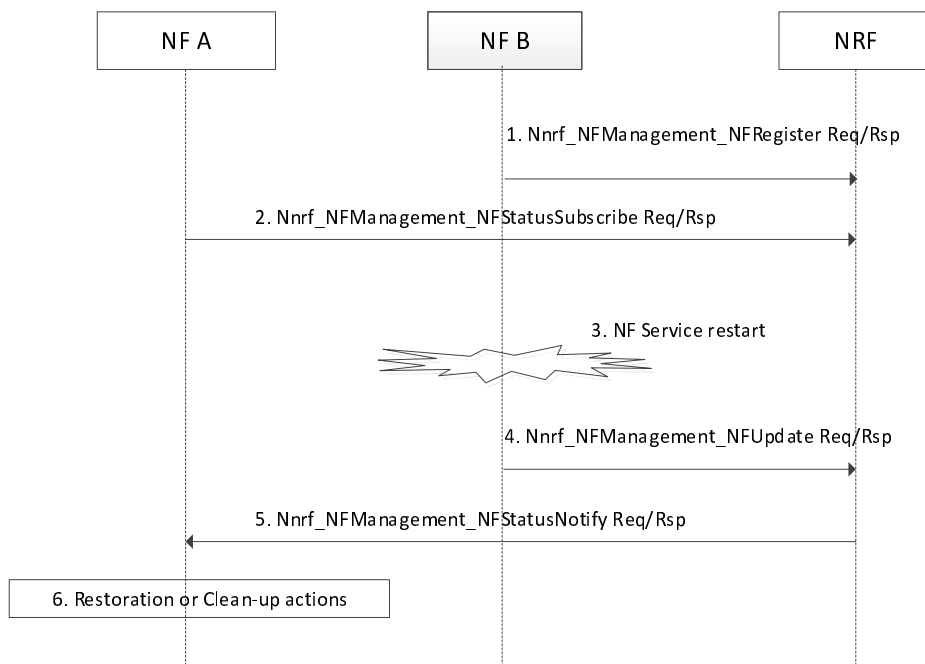
Figure 6.2.3-2: NF Service Restart Detection and Notification

1. NF B (or OAM) registers its NF B Profile (and its services) to the NRF. The NF B Profile may include the recoveryTime attribute for the NF Services it supports, if a restart of a NF B service results in losing contexts. The NF B Profile may include the recoveryTime attribute of either the NF Service Set, NF Instance or NF Set to which the NF Service Instance pertains to, when the resource context for the NF Service created in NF B is bound to NF Service Set, or NF Instance, or NF Set respectively, i.e. accessible by all NF Service Instances within an NF Service Set, NF Instance or NF Set respectively (see clause 6.3.1.0 of 3GPP TS 23.501 [9]).

NOTE 2: The restart of an NF Service Set indicates that the resource contexts bound to the NF Service Set (i.e. accessible by all NF Service Instances within the NF Service Set) have been lost.

2 NF A subscribes to the NRF to receive notifications of changes of the NF B Profile.

3. A NF B service restarts.

4. If contexts are lost during the service restart, NF B (or OAM) updates the recoveryTime of the corresponding NF Service in the NRF. NF B (or OAM) shall update the recoveryTime attribute of the NF Service Set, NF Instance or NF Set to which the NF Service Instance pertains to, when the whole NF Service Set, NF Instance or NF Set has restarted respectively.

5. The NRF notifies NFs having subscribed to receive notifications of changes of the NF B Profile about the updated recoveryTime of the NF B Service or updated recoveryTime of the NF B Service Set, NF Instance or NF Set.

6. NF A may consider that all the resources created in the NF B service before the NF B service recovery time as have been lost. NF A triggers then appropriate restoration or clean-up actions.

6.3 NF Service Producer Restart Detection using direct signalling between NFs

6.3.1 General

This clause describes an optional procedure that may be supported by NFs to detect the restart of a peer NF service using direct signalling between NFs.

6.3.2   NF Service Producer Restart

Figure 6.3.2-1 describes a NF Service restart scenario of an NF Service Producer and how the NF Service Consumer can detect this restart.

Figure 6.3.2-1: NF Service Producer Restart Detection

1. NF A (NF Service Consumer) requests to create a resource in the NF B (NF Service Producer).

2. If the request is accepted, and if NF B implements the procedure specified in this clause, NF B shall return its NF B service instance ID in the response, and NF A shall associate the created resource with the NF B service instance if no Binding Indication is received from NF B.

    In the response message, the NF B that supports this procedure may include the recovery timestamp in the Binding Indication (i.e. in the "3gpp-sbi-binding" HTTP header). An NF A that supports this procedure shall associate the created resource with the binding entity and the recovery timestamp as specified in clause 6.1.

3. A NF service produced by NF B restarts, e.g. an NF Service Instance in NF B, an NF Service Set in NF B, NF B or the NF Set to which NF B pertains has restarted.

4-5.   NF B Service Producer may include its last recovery timestamp in responses it sends to the NF A Service Consumer, if the restart of the NF service resulted in losing contexts and e.g. if the NF service has restarted recently.

6. NF A may consider that all the resource contexts are lost, which were created in the NF B service instance before the updated recovery timestamp, if the recovery timestamp was associated to the NF B service instance.

    If the recovery timestamp was associated to an NF Service Set, NF Instance or NF Set, NF A may consider that all the resource contexts are lost, which were created in these binding entities before the recovery, as indicated in the received recovery timestamp.

    NF A may trigger then appropriate restoration or clean-up actions.

NOTE 1: The recovery time signalled in this procedure is equivalent to the recovery time of the NF service of Figure 6.2.3-2. For an entire NF restart scenario, this procedure can be applied by each NF service instance of the NF.

NOTE 2: This procedure enables the detection of a restart of a peer NF service when sending signalling towards that NF Service. It can fasten the detection of a restart of a peer NF service when frequent signalling occurs towards that peer NF Service.

NOTE 3: In some use cases, NF A is not aware of the NF B Service Instance ID when creating the resource, e.g. a V-SMF just receives the H-SMF URI from the AMF to create a PDU session resource in H-SMF. Besides, for APIs supporting distributed collections (e.g. SMF), the response can contain a different Service Instance ID (that need not be registered in the NRF) than the one selected by NF A for sending the request.

6.4 NF Service Consumer Restart Detection using direct signalling between NFs

6.4.1 General

This clause describes an optional procedure that may be supported by NFs to detect the restart of a peer NF Service Consumer by NF Service Producer using direct signalling between NFs.

When NF (Service) Set is deployed in the network as specified in clause 5.21.3 and 6.3.1.0 of 3GPP TS 23.501[9], an NF Service Consumer in an NF (Service) Set may create a session context for callback (corresponding to the resource context in the NF Service Producer) when invoking a NF Service and the session context data is shared by all NF (Service) instances pertaining to the same NF (Service) set, i.e. the context is bound to the NF (Service) Set. So, any NF (service) instance within the NF (service) set is able to receive notifications or callback request from the NF Service Producer, unless the shared contexts are lost (which is further referenced in this specification as "the NF (Service) Set has failed or restarted").

In order to enable peer NF Service Producers to detect the loss of the session contexts in the NF Service Consumer, i.e. the "restart of the NF (Service) Set or NF instance", and trigger appropriate restoration procedures, the NF Service Consumer may provide a recovery timestamp associated to the highest resiliency level it supports for the context, i.e. the binding entitiy with which the context data is shared (bound). Binding entities are sorted from the highest to the lowest resilience levels as follows: an NF Set, NF Instance, NF service set or NF service instance. The NF Service Consumer may signal this recovery timestamp in direct HTTP signalling, using a Binding Indication.

6.4.2 NF Service Consumer Restart

Figure 6.4.2-1 describes a NF Service Consumer restart scenario and how the NF Service Producer can detect this restart.
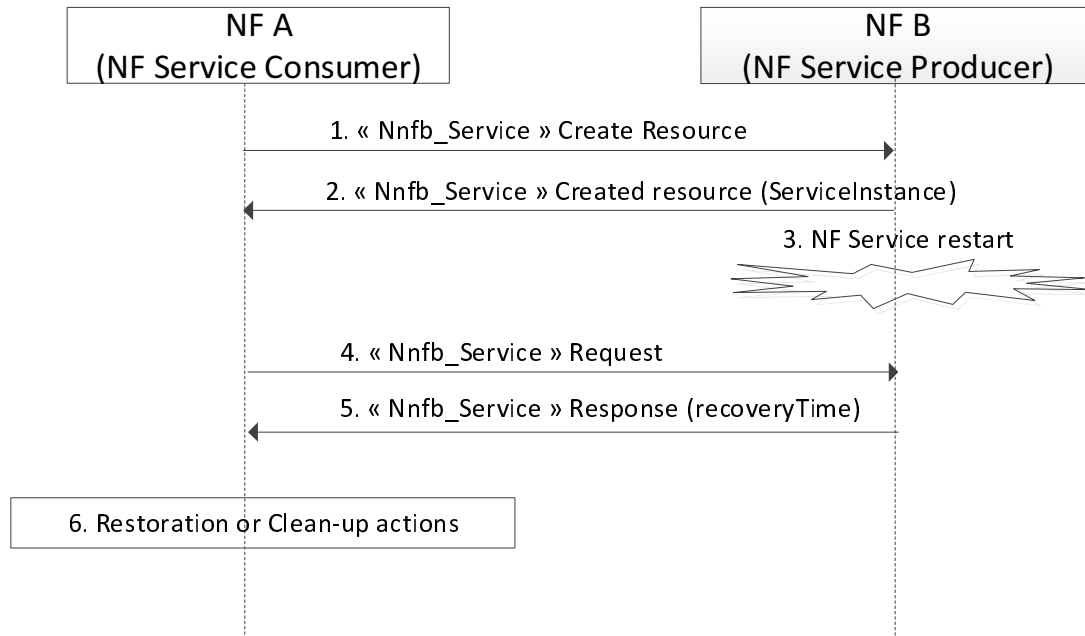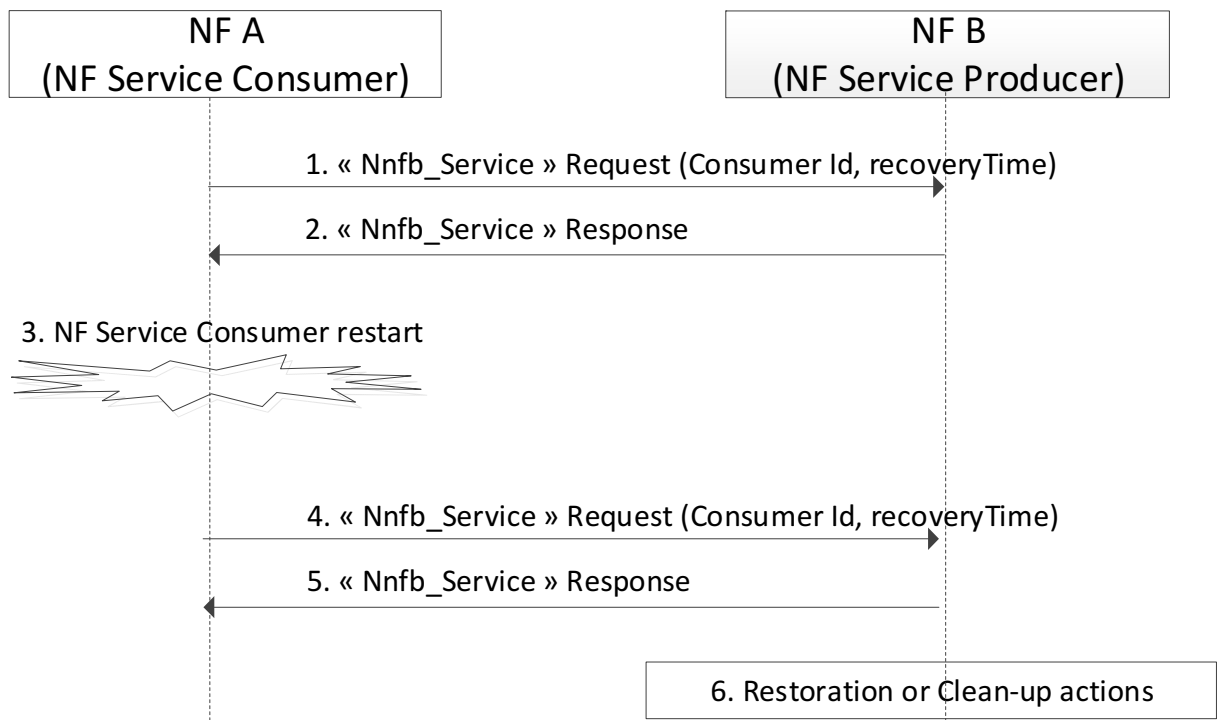
Figure 6.4.2-1: NF Service Consumer Restart Detection

1. NF A (NF Service Consumer) requests to create a resource in the NF B (NF Service Producer). If NF A implements the procedure specified in this clause, it shall include a Consumer Id together with the last recovery timestamp in the request. The Consumer Id shall be identical for all service requests triggered by the NF service consumer for that service and shall be globally unique (e.g. using UUID).

   If NF A includes Binding Indication(s) (i.e. in the "3gpp-sbi-binding" HTTP header) in the request, NF A may include the recovery timestamp for the higher level binding entity indicated in the Binding Indication with the scope set to "callback" (see clause 5.2.3.2.6 of 3GPP TS 29.500 [10]).

2. If resource creation is successful, NF B as service producer shall store the received Consumer Id and recovery timestamp and associate the created resource with it.

   An NF B that supports this procedure shall associate the callback resource and the recovery timestamp to the higher level binding entity indicated in the received Binding Indication (with the scope set to "callback").

   If the Service Request contains Binding Indication(s) with the scope set to "other service", the NF B may use the the binding information and associated recovery timestamp to detect whether resources that NF B has created in NF A have been lost, according to the principles specified in clause 6.3.2.

3. The NF service consumer in NF A restarts.

4. The NF service consumer in NF A shall include its last recovery timestamp together with the Consumer Id in the request when invoking service provided by NF B. The same Consumer Id shall be used after restarting.

   If NF A includes Binding Indication(s) in the request, NF A shall include the updated recovery timestamp for the higher level binding entity to which the callback resource context is bound in the Binding Indication with the scope set to "callback".

5. NF B as NF service producer may compare the received recovery timestamp with previous stored and detect the NF service consumer has restarted, if the received recovery timestamp is newer than the previous one.

The consumer Id for the resource or the Binding Indication with the scope set to "callback" may be updated if another service consumer took over the usage of the resource. e.g. if a new consumer Id is received during a service operation of a resource. NF B as NF service producer shall consider the service consumer handling the resource has changed and associate the resource with the new consumer Id or according to the new Binding Indication and with the corresponding recovery timestamp.

6. NF B may consider that the contexts in NF A corresponding to all the resources associated with the consumer Id or all resources bound to the entity (with which the recovery timestamp is associated) and the previous stored recovery time stamp have been lost. NF B triggers then appropriate restoration or clean-up actions.

NOTE 1: This procedure is only supported by NF services that support signalling the recovery timestamp attribute.

NOTE 2: This procedure can be used when the resource is exclusively used by an NF service consumer.

NOTE 3: This procedure enables the detection of a restart of a peer NF service consumer when sending signalling towards that NF service producer. It is helpful if the NF A as a pure service consumer without registration of its profile in NRF. If NF A does have a profile registered in NRF, it also can fasten the detection of a restart of a peer NF service consumer when frequent signalling occurs towards that peer NF Service.

6.5 NF Service Producer Instance Reselection

6.5.1 General

An NF Instance of an NF Service Producer may expose several service instances of the same NF Service (e.g., an UDM instance may expose several service instances of the "Nudm_SubscriberDataManagement" service).

An NF Service Consumer or SCP may discover, via NRF Nnrf_NFDiscovery service, all available NF Service Instances for a given NF Service and select one of them.

6.5.2 NF Service Instance Reselection when a (Routing) Binding Indication is available

When using the Binding procedures specified in clause 6.12 of 3GPP TS 29.500 [10], Binding Indications and Routing Binding Indications include the Binding level and one or more Binding entity IDs representing all NF service instances that are capable to serve service requests targeting the resource, i.e. that share the same resource contexts.

When a Binding Indication or a Routing Binding Indication is available for a target resource, NF Service Instance selection and re-selection shall be supported as specified in clause 6.12 of 3GPP TS 29.500 [10].

6.5.3 NF Service Instance Reselection when a (Routing) Binding Indication is not available

If a formerly selected NF Service Instance becomes unavailable, the NF Service Consumer or SCP may select a different instance of a same NF Service in:

- the same NF Instance, if the NF Instance indicates in its NF Profile that it supports the capability to persist their resources in shared storage inside the NF Instance, and if the new NF Service Instance offers the same major service version; or

- the same NF Set or NF Service Set, if the NF (service) instance indicates in its NF Profile that it belongs to an NF Set or an NF Service Set.

If so, the NF Service Consumer or SCP may invoke service operations in the newly selected NF Service Instance by means of replacing the addressing parameters with those of the new service instance, and the new NF Service Instance in the NF Service Producer shall produce the same result as if the service request would have been successfully delivered to the former NF Service Instance.

NOTE 1: In some scenarios, the newly selected NF Service Producer might not produce the exact same result as the former NF Service Producer would have produced for the service request, e.g. when the former NF Service Producer failed before it could update a change in the resource context to the USDF.

For indirect communication, if the NF service consumer delegates target NF service instance reselection to the SCP (when the target NF service instance is not reachable), the NF Service Consumer shall include at least one of the 3gpp-Sbi-Discovery-target-nf-instance-id, 3gpp-Sbi-Discovery-target-nf-set-id, 3gpp-Sbi-Discovery-target-nf-service-set-id, 3gpp-Sbi-Discovery-amf-region-id and 3gpp-Sbi-Discovery-amf-set-id headers, and it should also include at least the following information in its request to the SCP:

- the target NF type, the service name, and the requested S-NSSAI in the corresponding "3gpp-Sbi-Discovery-*" request header(s) (see clause 6.10.3.2).

NOTE 2: This is to allow the SCP to discover and reselect a target NF service instance from the target NF instance or target NF (service) set for the corresponding service request and supporting the requested S-NSSAI, e.g. when the NF service producer supports different NF service instances serving different network slices. Likewise, other "3gpp-Sbi-Discovery-*" request header(s), e.g. target-plmn-list, can also be included for the same purpose.

NOTE 3: The inclusion of the 3gpp-Sbi-Discovery-target-nf-instance-id in an HTTP request enables the SCP to discover the profile of the target NF instance and to possibly reselect a different target NF service instance from the same NF instance or from a different NF instance in the same set.

If so, the SCP shall use the information provided by the NF service consumer to perform a NF service discovery procedure and reselect a NF (service) producer instance as specified in the preceding bullets, if possible and if the target NF Service Instance indicated in the "3gpp-Sbi-Target-apiRoot" header or target URI is not reachable.

NOTE 4: This reselection mechanism is applicable only for the request/response service semantics, but not for notify/callback requests.

If the NF instance does not indicate in its NF Profile the support of the capability to persist their resources in shared storage across service instances of the same NF Service, inside the NF Instance, and if it does not indicate in its NF Profile that it belongs to an NF Set or an NF Service Set, the NF Service Consumer or SCP may still reselect any of the exposed service instances, but it shall not assume that the resources created in the former service instance are still valid.

6.6 NF Service Consumer Instance Reselection

6.6.1 General

When NF (Service) Set is deployed in the network as specified in clauses 5.21.3 and 6.3.1.0 of 3GPP TS 23.501[9], an NF Service Consumer in an NF (Service) Set may create a session context for callback (corresponding to the resource context in the NF Service Producer) when invoking a NF Service and the session context data is shared by all NF (Service) instances pertaining to the same NF (Service) set, i.e. the context is bound to the NF (Service) Set.

An NF Service Producer or SCP may discover, via NRF Nnrf_NFDiscovery service, all available NF (Service) Instances within the NF (service) set that are capable to receive the notifications or callback requests and select one of them.

NOTE: When an NF service consumer changes, if the new NF service consumer does not support handling the notification or callback requests as described above, the new NF service consumer updates NF service producers with new URI as specified in clause 6.5.3.2 of 3GPP TS 29.500 [10].

6.6.2 NF Service Consumer Instance Reselection when a (Routing) Binding Indication is available

When using the Binding procedures specified in clause 6.12 of 3GPP TS 29.500 [10], Binding Indications and Routing Binding Indications include the Binding level and one or more Binding entity IDs representing all NF service consumer instances that are capable to receive the notifications or callback requests targeting the session context for callback (corresponding to the resource context in the NF Service Producer). See also clause 6.5.3.2 of 3GPP TS 29.500 [10].

When a Binding Indication or a Routing Binding Indication is available for a target context, NF Service Consumer selection and re-selection shall be supported as specified in clause 6.12 of 3GPP TS 29.500 [10].

6.6.3 NF Service Consumer Instance Reselection when a (Routing) Binding Indication is not available

When the target NF Service Consumer becomes unavailable, the NF Service Producer or SCP may select a different instance of the Service Consumer which is capable to receive the notifications or callback requests targeting the session context for callback (corresponding to the resource context in the NF Service Producer) in:

- the same consumer NF instance, using an alternate endpoint address information if any is configured at the NF instance level, or at the NF service instance level when the name of the NF service to which these notifications are to be sent is known and the (consumer) NF instance registered in its NF Profile that it is capable to persist its resources in shared storage across NF service instances of the same NF Service;

- the same NF (service) Set or a backup NF Service Consumer if applicable.

NOTE 1: When binding procedures are not supported, the NF Service Consumer can provide in certain APIs the name of the NF service to which these notifications are to be sent. This service can be one of the service produced by the NF (if this NF Service Consumer can also serve as a NF Service Producer) and registered in the NRF, or a custom service registered in the NRF for the purpose of receiving these notifications). See clause 6.5.2.2 of 3GPP TS 29.500 [10].

NOTE 2: When the AMF serves as a NF Service Consumer, it can indicate to the NF Service Producer its backup AMF. See clause 5.21.2 of 3GPP TS 23.501 [9].

NOTE 3: NF Service Consumer Reselection when a (Routing) Binding Indication is not available is only supported when the NF Service Producer has the target NF Service Consumer information available, e.g. for APIs where the NF Service Consumer can communicate its NF Instance Id to the NF Service Producer in the service request message.

If so, the NF Service Producer or SCP may send the notification or callback request to the newly selected NF Service Consumer Instance by means of replacing the addressing parameters with the those of the newly selected instance.

For indirect communication, if the NF service producer delegates target NF consumer instance reselection to the SCP (when the target NF consumer instance is not reachable), an NF service producer may also include 3gpp-Sbi-Discovery-*" headers in a notification or callback request, if the "3gpp-Sbi-Routing-Binding" header is not included in the HTTP/2 request message, to enable the SCP to reselect a different NF service consumer instance as specified in the preceding bullets, if possible and if the NF service consumer instance indicated in the "3gpp-Sbi-Target-apiRoot" header or target URI is not reachable.

7 Restoration Procedures related to Public Warning System (PWS)

7.1 General

This clause specifies the procedures supported in the 5G System to handle failures affecting Public Warning System (PWS). The stage 2 architecture and procedures for PWS are specified in 3GPP TS 23.041 [8].

7.2 PWS operation failure in NG-RAN

The NG-RAN shall report that on-going PWS operation for one or more cells of the NG-RAN has failed by sending a PWS Failure Indication as specified in 3GPP TS 23.041 [8].

7.3 PWS operation restart in NG-RAN

After a NG-RAN (i.e. gNB or ng-eNB) has restarted, it shall delete all its warning message data. If the warning message service is operational in one or more cell(s) of the NG-RAN, the NG-RAN shall send a PWS Restart Indication message, which shall include the identity of the NG-RAN, the identity of the restarted cell(s), and the TAI(s) and

Emergency Area Id(s) with which the restarted cell(s) are configured, to request the CBC to re-load its warning message data if applicable.

The NG-RAN should send the PWS Restart Indication message via two AMFs of the AMF Region, if possible, to ensure that the CBC receives the message even if one AMF cannot propagate it to the CBC (e.g. due to a path failure between the AMF and the CBC).

If the AMF interfaces with multiple CBCs, the AMF shall forward the PWS Restart Indication to all CBCs.

Upon receipt of a PWS Restart Indication message, the CBC shall consider that the warning message service is restarted in the reported cell(s), i.e. the service is operational and no warning messages are being broadcast in the cell(s). The CBC shall then re-send the warning message data (with the same message identifier and serial number) to the NG-RAN for these cells, if any. When doing so, the CBC:

- shall provide the identity of the NG-RAN received in the PWS Restart Indication when sending the Write-Replace-Warning-Request message(s) to the AMF, to enable the AMF to forward the message(s) only to the NG-RAN involved in the restart. The identity of the NG-RAN shall be included in:

    - the Write-Replace-Warning-Request message(s) sent to the PWS-IWF over the SBc interface; or

    - the globalRanNodeList IE of the NonUeN2MessageTransfer request message(s) sent to the AMF over the N50 interface (see clauses 5.2.2.4.1.3 and 6.1.6.2.9 of 3GPP TS 29.518 [6]) to request the transfer of the Write-Replace-Warning-Request message(s).

- should set the warning area list to the identities of the cell(s) to be reloaded which are relevant to the warning message data being reloaded; and

- may update the number of broadcasts requested, if necessary.

The CBC shall consider a PWS Restart Indication message received shortly after a preceding one for the same cell identity as a duplicate restart indication for that cell which it shall ignore.

   NOTE: The broadcast of warning messages can be configured in the network per individual cell, TAI and/or Emergency Area Id. The CBC can use the list of cell(s), the TAI(s) and Emergency Area Id(s) received in the PWS Restart Indication to derive the list of warning messages to be broadcast in the respective cell(s), TAI(s) and Emergency Area Id(s).

Likewise, in other scenarios where the NG-RAN may need to reload its warning message data (e.g. when an individual cell is restarted), the NG-RAN shall send a PWS Restart Indication message (including the identity of the NG-RAN, the identity of the restarted cell(s), and the TAI(s) and Emergency Area Id(s) with which the restarted cell(s) are configured) to the CBC to request the CBC to re-load its warning message data if applicable. The NG-RAN, AMF and CBC shall then proceed as specified above for a NG-RAN restart.

Annex A (informative):
Change history

<table>
<tr><th colspan="8">Change history</th></tr>
<tr><th>Date</th><th>Meeting</th><th>TDoc</th><th>CR</th><th>Rev</th><th>Cat</th><th>Subject/Comment</th><th>New version</th></tr>
<tr><td>2018-07</td><td>CT4#85bis</td><td>C4-185034</td><td></td><td></td><td></td><td>Initial Draft and skeleton.</td><td>0.0.2</td></tr>
<tr><td>2018-07</td><td>CT4#85bis</td><td>C4-185407</td><td></td><td></td><td></td><td>N4 Failure and Restart Detection, Restoration procedures for User Plane interfaces N3 and N9
Implementation of C4-185409, C4-185410, C4-185411, C4-185412, C4-185413, C4-185414, C4-185527</td><td>0.1.0</td></tr>
<tr><td>2018-08</td><td>CT4#86</td><td>C4-186509</td><td></td><td></td><td></td><td>Implementation of C4-186233, C4-186406, C4-186408, C4-186413, C4-186488</td><td>0.2.0</td></tr>
<tr><td>2018-09</td><td>CT#81</td><td>CP-182082</td><td></td><td></td><td></td><td>Presented for Information and approval</td><td>1.0.0</td></tr>
<tr><td>2018-09</td><td>CT#81</td><td></td><td></td><td></td><td></td><td>Approved in CT#81</td><td>15.0.0</td></tr>
<tr><td>2018-12</td><td>CT#82</td><td>CP-183021</td><td>0003</td><td>-</td><td>F</td><td>GTP-U Error Indication received from 5G-AN</td><td>15.1.0</td></tr>
<tr><td>2018-12</td><td>CT#82</td><td>CP-183021</td><td>0004</td><td>4</td><td>F</td><td>NF service restart detection by direct signalling between NFs</td><td>15.1.0</td></tr>
<tr><td>2018-12</td><td>CT#82</td><td>CP-183021</td><td>0006</td><td>1</td><td>F</td><td>Restoration Procedure for Intermediate UPF Restart</td><td>15.1.0</td></tr>
<tr><td>2018-12</td><td>CT#82</td><td>CP-183021</td><td>0007</td><td>1</td><td>F</td><td>Restart detection by direct signalling between NFs</td><td>15.1.0</td></tr>
<tr><td>2018-12</td><td>CT#82</td><td>CP-183021</td><td>0008</td><td></td><td>F</td><td>PWS restoration procedures</td><td>15.1.0</td></tr>
<tr><td>2018-12</td><td>CT#82</td><td>CP-183021</td><td>0009</td><td>1</td><td>F</td><td>NF Service Instance Reselection</td><td>15.1.0</td></tr>
<tr><td>2019-03</td><td>CT#83</td><td>CP-190026</td><td>0010</td><td>-</td><td>F</td><td>NF Restart detection</td><td>15.2.0</td></tr>
<tr><td>2019-06</td><td>CT#84</td><td>CP-191038</td><td>0011</td><td>1</td><td>F</td><td>Corrections to Restoration procedures</td><td>15.3.0</td></tr>
<tr><td>2019-06</td><td>CT#84</td><td>CP-191044</td><td>0012</td><td>2</td><td>F</td><td>Clarifications on UPF restoration procedure</td><td>16.0.0</td></tr>
<tr><td>2019-06</td><td>CT#84</td><td>CP-191044</td><td>0013</td><td>1</td><td>F</td><td>Clarifications on GTP-U Error Indication received by 5G-AN</td><td>16.0.0</td></tr>
<tr><td>2019-09</td><td>CT#85</td><td>CP-192124</td><td>0014</td><td>-</td><td>F</td><td>Regulation of contexts restoration by NFs</td><td>16.1.0</td></tr>
<tr><td>2019-12</td><td>CT#86</td><td>CP-193045</td><td>0017</td><td>1</td><td>F</td><td>PFCP Association Setup Request with same Node ID</td><td>16.2.0</td></tr>
<tr><td>2019-12</td><td>CT#86</td><td>CP-193045</td><td>0018</td><td>2</td><td>F</td><td>Reestablishment of PFCP sessions after a UP function restart</td><td>16.2.0</td></tr>
<tr><td>2020-06</td><td>CT#88e</td><td>CP-201030</td><td>0019</td><td>2</td><td>B</td><td>Populating Recovery Information via Direct signalling from a Service Producer</td><td>16.3.0</td></tr>
<tr><td>2020-06</td><td>CT#88e</td><td>CP-201030</td><td>0021</td><td>2</td><td>B</td><td>Populating Recovery Information of NF (Service) Set via NRF</td><td>16.3.0</td></tr>
<tr><td>2020-06</td><td>CT#88e</td><td>CP-201030</td><td>0020</td><td>2</td><td>B</td><td>Populating Recovery Information via Direct signalling from a Service Consumer</td><td>16.3.0</td></tr>
<tr><td>2020-06</td><td>CT#88e</td><td>CP-201030</td><td>0023</td><td>-</td><td>F</td><td>NF Service Instance Reselection</td><td>16.3.0</td></tr>
<tr><td>2020-09</td><td>CT#89e</td><td>CP-202119</td><td>0024</td><td>1</td><td>F</td><td>Reselection when the Routing Binding Indication unavailable</td><td>16.4.0</td></tr>
<tr><td>2020-12</td><td>CT90e</td><td>CP-203054</td><td>0025</td><td>1</td><td>F</td><td>NF reselection by the SCP without Routing Binding Indication</td><td>16.5.0</td></tr>
<tr><td>2021-06</td><td>CT#92e</td><td>CP-211059</td><td>0032</td><td>1</td><td>F</td><td>Clarifications to NF service producer reselection procedure (w/o binding support)</td><td>16.6.0</td></tr>
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History

Document history		
V16.3.0	October 2020	Publication
V16.4.0	October 2020	Publication
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