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Foreword

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where:

- x the first digit:
 - 1 presented to TSG for information;
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- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, certain modal verbs have the following meanings:

shall indicates a mandatory requirement to do something

shall not indicates an interdiction (prohibition) to do something

NOTE 1: The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

NOTE 2: The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

should indicates a recommendation to do something

should not indicates a recommendation not to do something

may indicates permission to do something

need not indicates permission not to do something

NOTE 3: The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

can indicates that something is possible

cannot indicates that something is impossible

NOTE 4: The constructions "can" and "cannot" shall not to be used as substitutes for "may" and "need not".

will indicates that something is certain or expected to happen as a result of action taken by an agency

the behaviour of which is outside the scope of the present document

will not indicates that something is certain or expected not to happen as a result of action taken by an

agency the behaviour of which is outside the scope of the present document

might indicates a likelihood that something will happen as a result of action taken by some agency the

behaviour of which is outside the scope of the present document

might not indicates a likelihood that something will not happen as a result of action taken by some agency

the behaviour of which is outside the scope of the present document

In addition:

is (or any other verb in the indicative mood) indicates a statement of fact

is not (or any other negative verb in the indicative mood) indicates a statement of fact

NOTE 5: The constructions "is" and "is not" do not indicate requirements.

1 Scope

The present document specifies the procedures for access traffic steering, switching and splitting (ATSSS) between the UE and the network across one 3GPP access network and one non-3GPP access network as specified in 3GPP TS 23.501 [2], 3GPP 23.502 [3], and 3GPP TS 23.316 [4].

The ATSSS can be supported over the access network where an MA PDU session can be established. The type of access network includes NG-RAN and untrusted non-3GPP access network as specified in 3GPP TS 23.501 [2], trusted non-3GPP access network, wireline access network and as specified in 3GPP TS 23.316 [4]. An MA PDU session established by the 5G-RG can also simultaneously use one 3GPP access network connected to EPC and one wireline access network connected to 5GCN as specified in 3GPP TS 23.316 [4].

2 References

[14]

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1]	3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
[2]	3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".
[3]	3GPP TS 23.502: "Procedures for the 5G System; Stage 2".
[4]	3GPP TS 23.316: "Wireless and wireline convergence access support for the 5G System (5GS)".
[5]	3GPP TS 24.526: "UE policies for 5G System (5GS); Stage 3".
[6]	3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".
[7]	3GPP TS 24.502: "Access to the 3GPP 5G System (5GS) via non-3GPP access networks; Stage 3".
[8]	IETF RFC 8684: "TCP Extensions for Multipath Operation with Multiple Addresses".
[9]	IETF draft-ietf-tcpm-converters-19 (March 2020): "0-RTT TCP Convert Protocol".
Editor's note: T	he above document cannot be formally referenced until it is published as an RFC.
[10]	3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".
[11]	IEEE Std 802-2014: "IEEE Standard for Local and Metropolitan Area Networks: Overview and Architecture".
[12]	IEEE 802.3-2018: "IEEE Standard for Ethernet".
[13]	3GPP TS 24.007: "Mobile radio interface signalling layer 3; General aspects".

3GPP TS 33.501: "Security architecture and procedures for 5G system".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.501 [2] apply:

MA PDU session Measurement assistance information

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

5G-RG 5G Residential Gateway

ATSSS Access Traffic Steering, Switching, Splitting

ATSSS-LL ATSSS Low-Layer
MA PDU Multi-Access PDU
MPTCP Multi-Path TCP Protocol
PDU Protocol Data Unit

PMF Performance Measurement Function

RTT Round Trip Time
SA PDU Single-Access PDU
SDF Service Data Flow
UPF User Plane Function
URSP UE Route Selection Policy

4 General description

4.1 Introduction

ATSSS is an optional feature that can be supported by the UE and the 5GC network to route data traffic across 3GPP access and non-3GPP access networks. An ATSSS capable UE establishes an MA PDU session supporting multi-access connectivity over 3GPP access and non-3GPP access networks as described in clause 4.2. The ATSSS capable UE can support ATSSS-LL and/or MPTCP steering functionality as described in clause 4.3, with associated steering modes, i.e. active-standby, smallest delay, load balancing, priority based. The ATSSS capable UE indicates the steering functionality and associated steering modes to the 5GC network.

When the ATSSS capable UE registers to a registration area, it receives an indication from the AMF if the network supports the ATSSS. The procedure for how the AMF indicates the UE about its ATSSS support is specified in 3GPP TS 24.501 [6]. The UE capable ATSSS and the network supporting ATSSS exchange access performance measurements as described in clause 4.4. Clause 4.5 describes the traffic distribution over 3GPP access and non-3GPP access networks. Clause 4.6 provides a description for interworking with EPS network. Clause 4.7 describes ATSSS when 5G-RG is interconnected with EPS.

The architecture reference model for ATSSS support is described in clause 4.2.10 of 3GPP TS 23.501 [2].

4.2 Multi-access PDU session

A PDU session supporting a multi-access PDU connectivity service is referred to as multi-access PDU (MA PDU) session. An MA PDU session is a PDU session which can use one 3GPP access network or one non-3GPP access

network at a time, or simultaneously one 3GPP access network and one non-3GPP access network as defined in 3GPP TS 23.501 [2].

An MA PDU session can be established when the UE is registered to the same PLMN over 3GPP access network and non-3GPP access network or registered to different PLMNs over 3GPP access network and non-3GPP access network respectively. A UE can initiate MA PDU session establishment when the UE is registered to a PLMN over both 3GPP access network and non-3GPP access network, or only registered to one access network. Therefore, at any given time, the MA PDU session can have user-plane resources established on both 3GPP access and non-3GPP access, or on one access only (either 3GPP access or non-3GPP access), or can have no user-plane resources established on any access.

An ATSSS capable UE can establish an MA PDU session based on the URSP rules as defined in 3GPP TS 24.526 [5].

The following PDU session types are defined for an MA PDU session: IPv4, IPv6, IPv4v6 and Ethernet.

NOTE 1: The unstructured PDU session type is not supported in this release of the specification.

NOTE 2: An MA PDU session using IPv6 multi-homing or uplink classifier is not specified in this release of the specification.

4.3 Steering functionalities

An ATSSS capable UE can use a steering functionality to steer, switch and split the UL traffic across the 3GPP access network and the non-3GPP access network as defined in clause 5.32.6 of 3GPP TS 23.501 [2]. An ATSSS capable network can use the corresponding steering functionality for the DL traffic.

The UE and the network can support one or more of the following steering functionalities:

- a) the MPTCP steering functionality operates above the IP layer. The UE and an associated MPTCP proxy functionality in the UPF can communicate by using the MPTCP protocol; and
- b) the ATSSS-LL steering functionality operates below the IP layer as a data switching function.

4.4 Support of access performance measurements

The ATSSS capable UE can perform access performance measurements to decide how to distribute traffic over 3GPP access and non-3GPP access.

An ATSSS capable UE receives measurement assistance information from the network during the PDU session establishment procedure for an MA PDU session as described in clause 5.32.5 of 3GPP TS 23.501 [2]. The measurement assistance information (MAI) is used by the UE to report the access availability/unavailability to the network. The encoding of the measurement assistance information is specified in clause 6.1.5.

An ATSSS capable UE that supports the MPTCP steering functionality can use the measurements available at the MPTCP layer.

The following PMF protocol messages can be exchanged between the UE and the PMF:

- a) messages for RTT measurements, only applicable for the ATSSS-LL steering functionality; or
- b) messages for reporting access availability/unavailability by the UE to the UPF.

An ATSSS capable UE does not apply the ATSSS rules to the PMF protocol messages.

The access performance measurement procedures are specified in clause 5.3 including the procedures for:

- a) UE-initiated RTT measurement; and
- b) Network-initiated RTT measurement.

The access availability/unavailability procedures are specified in clause 5.4.

4.5 Distribution of traffic across 3GPP access and non-3GPP access networks

The UE can receive ATSSS rules during the PDU session establishment procedure for an MA PDU session or network-requested PDU session modification procedure, and the UE can distribute the UL traffic except for the PMF protocol messages across the 3GPP access network and the non-3GPP access network according to the ATSSS rules and other local conditions (such as network interface availability, signal loss conditions, user preferences, etc.).

NOTE: On the network side, the SMF configures relevant N4 rules according to the ATSSS control information provided by the PCF for the UPF to distribute DL traffic across two access networks.

4.6 EPS interworking

In this release of specification, with the exception of an MA PDU session established as specified in clause 4.7, the MA PDU session is established in 5GS.

In the network supporting N26 interface:

- a) if the UE established an MA PDU session over non-3GPP access only, no EPS bearer identity can be assigned to any QoS flow of the MA PDU session as specified in 3GPP TS 23.502 [3];
- b) if the UE established an MA PDU session over 3GPP access and non-3GPP access and the user plane of the MA PDU session over 3GPP access is released, the EPS bearer identity assigned for the MA PDU session can be revoked as specified in 3GPP TS 23.502 [3];
- c) for an inter-system change from N1 mode to S1 mode:
 - 1) if the UE established an MA PDU session over 3GPP access only, the UE can follow the procedure as specified in clause 6.1.4.1 of 3GPP TS 24.501 [6]; or
 - 2) if the UE established an MA PDU session over 3GPP access and non-3GPP access,
 - A) if the MA PDU session is transferred to EPS as a PDN connection, the SMF can initiate the network-requested PDU session release procedure over non-3GPP access as specified in clause 6.3.3.2 of 3GPP TS 24.501 [6]; or

NOTE: The QoS flow(s) with EBI assigned over non-3GPP access is also transferred to the corresponding PDN connection.

- B) if the MA PDU session is not transferred to EPS as a PDN connection and the SMF decides to move the traffic of the MA PDU session from 3GPP access to non-3GPP access, the SMF can initiate the network-requested PDU session modification procedure as specified in clause 6.3.2.2 of 3GPP TS 24.501 [6]; and
- d) for an inter-system change from S1 mode to N1 mode, if the UE requests an MA PDU session or the related URSP or UE local configuration does not mandate that the PDU session is established over a single access when transferring the PDN connection to 3GPP access, the PDN connection can be converted by the network to an MA PDU session via the UE-requested PDU session modification procedure (see clause 5.2.5).

In the network not supporting N26 interface:

- a) for an inter-system change from N1 mode to S1 mode, if the UE intends to transfer the MA PDU session to EPS, the UE can follow the procedure as specified in clause 6.1.4.2 of 3GPP TS 24.501 [6] and the network-requested PDU session release procedure over non-3GPP access can be performed to release the MA PDU session in 5GS as specified in clause 6.3.3.2 of 3GPP TS 24.501 [6]; and
- b) for an inter-system change from S1 mode to N1 mode, if the related URSP or UE local configuration does not mandate that the PDU session is established over a single access, the UE can initiate the UE-requested PDU session establishment procedure to request an MA PDU session (see clause 5.2.1) or to allow the PDU session to be upgraded to an MA PDU session (see clause 5.2.6) when transferring the PDN connection to 5GS.

4.7 MA PDU session when 5G-RG is connected to EPS

A 5G-RG can connect to both 5GCN and EPC as specified in clause 4.12 of 3GPP TS 23.316 [4].

When establishing a PDN connection over EPS, the 5G-RG can indicate that the PDN connection is to be used as a user-plane resource associated with:

- a) a new MA PDU session; or
- b) an existing MA PDU session established in wireline access connected to 5GCN.

In the network supporting N26 interface, for an inter-system change from N1 mode to S1 mode and from S1 mode to N1 mode, the MA PDU session established by the 5G-RG is handled as specified in clause 6.1.4.1 of 3GPP TS 24.501 [6].

In the network not supporting N26 interface, for an inter-system change from N1 mode to S1 mode and from S1 mode to N1 mode, the MA PDU session established by the 5G-RG is handled as specified in clause 6.1.4.2 of 3GPP TS 24.501 [6].

5 ATSSS control procedures

5.1 Introduction

The ATSSS control procedures include:

- a) handling of multi-access PDU connectivity service procedures (see clause 5.2);
- b) handling of hybrid access with multi-access PDU connectivity (see clause 5.3); and
- c) access performance measurement procedures (see clause 5.4).

In clause 5.2, handling of multi-access PDU connectivity service procedures include following management procedures:

- a) activation of multi-access PDU connectivity service;
- b) re-activation of user-plane resources;
- c) release of user-plane resources;
- d) updating ATSSS parameters;
- e) converting PDU session transferred from EPS to MA PDU session; and
- f) PDU session establishment with network modification to MA PDU session.

In clause 5.3, the multi-access PDU connectivity procedures over E-UTRAN and wireline access network are specified. In this release of the specification, the procedures are applied for 5G-RG only.

In clause 5.4, access performance measurement procedures are performed by exchanges of PMF protocol messages between the PMF in a UE and the PMF in the UPF over the user plane. For MA PDU sessions of IPv4, IPv6, or IPv4v6 PDU session type, the PMF protocol messages are transported using UDP. For MA PDU sessions of Ethernet PDU session type, the PMF protocol messages are transported using Ethernet frames. The protocol stacks of the PMF protocol are specified in clause 5.32.5.4 of 3GPP TS 23.501 [2].

5.2 Multi-access PDU connectivity service

5.2.1 Activation of multi-access PDU connectivity service

Activating multi-access PDU connectivity service refers to the establishment of user-plane resources on both 3GPP access and non-3GPP access:

- a) if the UE is registered over both 3GPP access and non-3GPP access in the same PLMN, the UE shall initiate the UE-requested PDU session establishment procedure as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over 3GPP access or non-3GPP access. Over which access to initiate this UE-requested PDU session establishment procedure is UE implementation specific. When the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] and user plane resources are established in both accesses (e.g. received lower layer indication in 3GPP access and established IKEv2 tunnel in untrusted non-3GPP access), the UE shall consider that the MA PDU session has been established over both 3GPP access and non-3GPP access;
- b) if the UE is registered over both 3GPP access and non-3GPP access in different PLMNs, the UE shall initiate the UE-requested PDU session establishment procedure as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over 3GPP access and non-3GPP access sequentially. Over which access to first initiate the UE-requested PDU session establishment procedure is UE implementation specific. When the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the selected access, the UE shall consider that the MA PDU session has been established and the user plane resources of the MA PDU session on this access are successfully established. The UE shall then initiate the UE-requested PDU session establishment procedure with the same PDU session ID, as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over the other access, in order to establish user plane resources on the other access for the MA PDU session. If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access, the UE shall consider that the user plane resources of the MA PDU session have been established over both 3GPP access and non-3GPP access; or
- c) if the UE is registered to a PLMN over only one access, either 3GPP access or non-3GPP access, the UE shall initiate the UE-requested PDU session establishment procedure as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over this access. When the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the access, the UE shall consider that the MA PDU session has been established and the user plane resources of the MA PDU session on this access are successfully established. When the UE at a later point in time registers over the other access, either in the same PLMN or in a different PLMN, the UE shall initiate the UE-requested PDU session establishment procedure with the same PDU session ID as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over the other access in order to establish user plane resources on the other access for the MA PDU session. If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access, the UE shall consider that the user plane resources of the MA PDU session have been established over both 3GPP access and non-3GPP access.

If the UE is in the non-allowed area, the UE shall not initiate a PDU session establishment procedure for an MA PDU session over the 3GPP access. It may still initiate a PDU session establishment procedure for an MA PDU session over the non-3GPP access, however the network shall not establish user plane resources for the 3GPP access if the UE is in the non-allowed area.

5.2.2 Re-activation of user-plane resources

In order to re-establish the user-plane resources of an MA PDU session:

- a) if the UE requests re-establishment of the user-plane resources of the MA PDU session over 3GPP access which were released, the UE shall include the Uplink data status IE indicating the related MA PDU session,
 - 1) in the REGISTRATION REQUEST message when the registration procedure for mobility and periodic registration update is initiated by the UE over 3GPP access as specified in clause 5.5.1.3.2 of 3GPP TS 24.501 [6]; or
 - 2) in the SERVICE REQUEST message when the service request procedure initiated by the UE over 3GPP access as specified in clause 5.6.1.2 of 3GPP TS 24.501 [6];
- b) if the UE requests re-establishment of the user-plane resources of the MA PDU session over non-3GPP access which were released and the UE is in 5GMM-CONNECTED mode over non-3GPP access, the UE shall include the Uplink data status IE indicating the related MA PDU session,
 - 1) in the REGISTRATION REQUEST message when the registration procedure for mobility registration update is initiated by the UE over non-3GPP access as specified in clause 5.5.1.3.2 of 3GPP TS 24.501 [6]; or

- 2) in the SERVICE REQUEST message when the service request procedure initiated by the UE over non-3GPP access as specified in clause 5.6.1.2 of 3GPP TS 24.501 [6];
- c) if the UE requests re-establishment of the user-plane resources of the MA PDU session over non-3GPP access which were released and the UE is in 5GMM-IDLE mode over non-3GPP access,
 - 1) for untrusted non-3GPP access, the UE shall perform the procedure as specified in clause 7.3 of 3GPP TS 24.502 [7] and include the Uplink data status IE indicating the related MA PDU session in the SERVICE REQUEST message when the service request procedure initiated by the UE over non-3GPP access as specified in clause 5.6.1.2 of 3GPP TS 24.501 [6]; or
 - 2) for trusted non-3GPP access, the UE shall perform the procedures as specified in clause 7.3A of 3GPP TS 24.502 [7] and include the Uplink data status IE indicating the related MA PDU session in the SERVICE REQUEST message when the service request procedure initiated by the UE over non-3GPP access as specified in clause 5.6.1.2 of 3GPP TS 24.501 [6]; and
- d) if the network requests re-establishment of the user-plane resources of the MA PDU session over 3GPP access which were released, the UE in 5GMM-IDLE mode over 3GPP access shall initiate the service request procedure by sending a SERVICE REQUEST message to the AMF upon receipt of the paging request as specified in clause 5.6.1.2 of 3GPP TS 24.501 [6].

NOTE: When the UE is in 5GMM-IDLE mode over non-3GPP access, the network does not request the UE to reestablish the user-plane resources of the MA PDU session over non-3GPP access which were released as specified in 3GPP TS 23.502 [3].

If the UE is in the non-allowed area, the UE shall not request re-establishment of the user plane resources of the MA PDU session for the 3GPP access. It may still request re-establishment of the user plane resources of the MA PDU session for the non-3GPP access.

5.2.3 Release of user-plane resources

In order to release the MA PDU session:

- a) the SMF shall initiate the network-requested PDU session release procedure as specified in clause 6.3.3.2 of 3GPP TS 24.501 [6] over 3GPP access or non-3GPP access, by sending the PDU SESSION RELEASE COMMAND message to the UE. Over which access to initiate this network-requested PDU session release procedure is SMF implementation specific; or
- b) the UE shall initiate the UE-requested PDU session release procedure as specified in clause 6.4.3.2 of 3GPP TS 24.501 [6] over 3GPP access or non-3GPP access by sending the PDU SESSION RELEASE REQUEST message to the network. Over which access to initiate this UE-requested PDU session release procedure is UE implementation specific.

When the UE receives the PDU SESSION RELEASE COMMAND message, the UE shall behave as specified in 3GPP TS 24.501 [6] clause 6.3.3.3.

In order to release the MA PDU session's user-plane resources on either 3GPP access or non-3GPP access, the SMF shall initiate the network-requested PDU session release procedure as specified in clause 6.3.3.2 of 3GPP TS 24.501 [6] over 3GPP access or non-3GPP access, by sending the PDU SESSION RELEASE COMMAND message with the Access type IE indicating of which access the user-plane resources are released to the UE, e.g. when the AMF indicates to the SMF that the UE is deregistered over an access or when S-NSSAI of the MA PDU session is not in the Allowed NSSAI over an access. Over which access to initiate this network-requested PDU session release procedure is SMF implementation specific. When the UE receives the PDU SESSION RELEASE COMMAND message, the UE shall behave as specified in 3GPP TS 24.501 [6] clause 6.3.3.3, and consider that the user plane resources of the MA PDU session have been released on the access indicated in the Access type IE.

5.2.4 Updating ATSSS parameters

An SMF can update ATSSS parameters, e.g. the ATSSS rules, according to the procedure for the network-requested PDU session modification as specified in clause 6.3.2 of 3GPP TS 24.501 [6] over 3GPP access network or non-3GPP access network. The SMF may change the access network over which the traffic of the GBR QoS flow is transmitted by updating the UE's ATSSS rules.

5.2.5 Converting PDU session transferred from EPS to MA PDU session

When an ATSSS capable UE has transferred a PDN connection from S1 mode to N1 mode in the network supporting N26 interface and the related URSP or UE local configuration does not mandate the PDU session shall be established over a single access:

- a) if the UE is registered over both 3GPP access and non-3GPP access in the same PLMN, and the S-NSSAI associated with the PDU session over 3GPP access is included in the allowed NSSAI of non-3GPP access, the UE may initiate the UE-requested PDU session modification procedure by sending the PDU SESSION MODIFICATION REQUEST message including 5GSM capability IE over 3GPP access as specified in clause 6.4.2.2 of 3GPP TS 24.501 [6]. The UE may set the Request type IE to either:
 - 1) "modification request" and include the MA PDU session information IE set to "MA PDU session network upgrade allowed" as specified in clause 9.11.3.63 of 3GPP TS 24.501 [6]; or
 - 2) "MA PDU request"

in the UL NAS TRANSPORT message as specified in clause 8.2.10 of 3GPP TS 24.501 [6]. When the UE receives the PDU SESSION MODIFICATION COMMAND message including the ATSSS container IE as specified in clause 6.4.2.3 of 3GPP TS 24.501 [6], the UE shall consider that the requested PDU session was converted by the network to an MA PDU session and user plane resources are established in both 3GPP access and non-3GPP access;

- b) if the UE is registered over both 3GPP access and non-3GPP access in different PLMNs, the UE may initiate the UE-requested PDU session modification procedure by sending the PDU SESSION MODIFICATION REQUEST message including 5GSM capability IE over 3GPP access as specified in clause 6.4.2.2 of 3GPP TS 24.501 [6]. The UE may set the Request type IE to either:
 - 1) "modification request" and include the MA PDU session information IE set to "MA PDU session network upgrade allowed" as specified in clause 9.11.3.63 of 3GPP TS 24.501 [6]; or
 - 2) "MA PDU request"

in the UL NAS TRANSPORT message as specified in clause 8.2.10 of 3GPP TS 24.501 [6]. When the UE receives the PDU SESSION MODIFICATION COMMAND message including the ATSSS container IE as specified in clause 6.4.2.3 of 3GPP TS 24.501 [6], the UE shall consider that the requested PDU session was converted by the network to an MA PDU session. The UE shall then initiate the UE-requested PDU session establishment procedure with the same PDU session ID, as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over non-3GPP access, in order to establish user plane resources on the other access for the MA PDU session; or

- c) if the UE is registered over 3GPP access only, the UE may initiate the UE-requested PDU session modification procedure by sending the PDU SESSION MODIFICATION REQUEST message including 5GSM capability IE over 3GPP access as specified in clause 6.4.2.2 of 3GPP TS 24.501 [6], The UE may set the Request type IE to either:
 - 1) "modification request" and include the MA PDU session information IE set to "MA PDU session network upgrade allowed" as specified in clause 9.11.3.63 of 3GPP TS 24.501 [6]; or
 - 2) "MA PDU request"

in the UL NAS TRANSPORT message as specified in clause 8.2.10 of 3GPP TS 24.501 [6]. When the UE receives the PDU SESSION MODIFICATION COMMAND message including the ATSSS container IE as specified in clause 6.4.2.3 of 3GPP TS 24.501 [6], the UE shall consider that the requested PDU session was converted by the network to an MA PDU session. When the UE at a later point in time registers over the non-3GPP access, either in the same PLMN or in a different PLMN, the UE shall initiate the UE-requested PDU session establishment procedure with the same PDU session ID as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over non-3GPP access in order to establish user plane resources on non-3GPP access for the MA PDU session.

5.2.6 PDU session establishment with network modification to MA PDU session

When an ATSSS capable UE establishes a new PDU session and the related URSP or UE local configuration does not mandate the PDU session shall be established over a single access:

- a) if the UE is registered over both 3GPP access and non-3GPP access in the same PLMN and the UE initiates the UE-requested PDU session establishment procedure over 3GPP access or non-3GPP access, the UE may include the MA PDU session information IE in the UL NAS TRANSPORT message and set the IE to "MA PDU session network upgrade is allowed" as specified in clause 9.11.3.63 of 3GPP TS 24.501 [6]. When the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6], the UE shall consider that the requested PDU session is established as an MA PDU session;
- b) if the UE is registered over both 3GPP access and non-3GPP access in different PLMNs and the UE initiates the UE-requested PDU session establishment procedure over 3GPP access or non-3GPP access, the UE may include the MA PDU session information IE in the UL NAS TRANSPORT message and shall set the IE to "MA PDU session network upgrade is allowed" as specified in clause 9.11.3.63 of 3GPP TS 24.501 [6]. When the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the access, the UE shall consider that the requested PDU session is established as an MA PDU session on this access. The UE shall then initiate the UE-requested PDU session establishment procedure with the same PDU session ID, as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over the other access, in order to establish user plane resources on the other access for the MA PDU session. If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access, the UE shall consider that the user plane resources of the MA PDU session have been established over both 3GPP access and non-3GPP access; or
- c) if the UE is registered to a PLMN over only one access, either 3GPP access or non-3GPP access, and the UE requests to establish a PDU session over this access, the UE may include the MA PDU session information IE in the UL NAS TRANSPORT message and shall set the IE to "MA PDU session network upgrade is allowed" as specified in clause 9.11.3.63 of 3GPP TS 24.501 [6]. When the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the access, the UE shall consider that the requested PDU session is established as an MA PDU session on this access. When the UE at a later point in time registers over the other access, either in the same PLMN or in a different PLMN, the UE shall initiate the UE-requested PDU session establishment procedure with the same PDU session ID as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over the other access in order to establish user plane resources on the other access for the MA PDU session. If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access, the UE shall consider that the user plane resources of the MA PDU session have been established over both 3GPP access and non-3GPP access.

5.3 Hybrid access with multi-access PDU connectivity over E-UTRAN and wireline access network

5.3.1 5G-RG establishing a PDN connection as a user-plane resource of an MA PDU session to be established

In order to establish a PDN connection as a user-plane resource of an MA PDU session to be established, the 5G-RG shall initiate the UE requested PDN connectivity procedure according to 3GPP TS 24.301 [10].

In the PDN CONNECTIVITY REQUEST message or, when applicable, in the ESM INFORMATION RESPONSE message, of the UE requested PDN connectivity procedure:

a) the 5G-RG shall set the request type to "initial request" as specified in 3GPP TS 24.301 [10];

NOTE: According to 3GPP TS 24.301 [10], a newly generated PDU session ID is included in the protocol configuration options IE or the extended protocol configuration options IE of the PDN CONNECTIVITY REQUEST message with the request type "initial request".

- b) the 5G-RG shall set the PDN Type IE to "IPv4", "IPv6" or "IPv4v6"; and
- c) in the protocol configuration options or extended protocol configuration options IE of the PDN CONNECTIVITY REQUEST message, the 5G-RG shall include the ATSSS request PCO parameter. In the ATSSS request PCO parameter:
 - 1) if the 5G-RG supports ATSSS Low-Layer functionality with any steering mode as specified in clause 5.32.6 of 3GPP TS 23.501 [2], the 5G-RG shall set the ATSSS-ST field to "ATSSS Low-Layer functionality with any steering mode supported";
 - 2) if the 5G-RG supports MPTCP functionality with any steering mode and ATSSS-LL functionality with only active-standby steering mode as specified in clause 5.32.6 of 3GPP TS 23.501 [2], the 5G-RG shall set the ATSSS-ST field to "MPTCP functionality with any steering mode and ATSSS-LL functionality with only active-standby steering mode supported"; or
 - 3) if the 5G-RG supports MPTCP functionality with any steering mode and ATSSS-LL functionality with any steering mode as specified in clause 5.32.6 of 3GPP TS 23.501 [2], the 5G-RG shall set the ATSSS-ST field to "MPTCP functionality with any steering mode and ATSSS-LL functionality with any steering mode supported".

Upon receipt of an ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message of a default EPS bearer context activation procedure as a response to the PDN CONNECTIVITY REQUEST message as specified in 3GPP TS 24.301 [10], the ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message containing the extended protocol configuration options IE with the ATSSS response with the length of two octets PCO parameter:

- a) the 5G-RG shall consider that the MA PDU session is established based on parameters from the default EPS bearer context of the PDN connection, as follows:
 - the PDN type of the default EPS bearer context shall be mapped to the PDU session type of the MA PDU session as follows:
 - i) if the PDN type is "IPv4", the PDU session type is set to "IPv4";
 - ii) if the PDN type is "IPv6", the PDU session type is set to "IPv6"; or
 - iii) if the PDN type is "IPv4v6", the PDU session type is set to "IPv4v6";
 - 2) the PDN address of the default EPS bearer context shall be mapped to PDU address of the MA PDU session;
 - 3) the APN of the default EPS bearer context shall be mapped to the DNN of the MA PDU session;
 - 4) the PDU session identity of the MA PDU session shall be set to the PDU session identity included by the UE in the Protocol configuration options IE or Extended protocol configuration options IE in the PDN CONNECTIVITY REQUEST message;
 - 5) the S-NSSAI of the MA PDU session shall be set to the S-NSSAI included by the network in the Protocol configuration options IE or Extended protocol configuration options IE in the ACTIVATE DEFAULT EPS BEARER REQUEST message, if the PDN connection is a non-emergency PDN connection;
 - 6) the SSC mode of the MA PDU session shall be set to "SSC mode 1"; and
 - 7) state of the PDU session shall be set to PDU SESSION ACTIVE;
 - and that the PDN connection is established as a user-plane resource of the MA PDU session;
- b) if the network steering functionalities information is included in the ATSSS response with the length of two octets PCO parameter, the 5G-RG shall use the network steering functionalities information; and
- c) if the measurement assistance information is included in the ATSSS response with the length of two octets PCO parameter, the 5G-RG shall use the measurement assistance information.

Upon receipt of:

a) a PDN CONNECTIVITY REJECT message as a response to the PDN CONNECTIVITY REQUEST message as specified in 3GPP TS 24.301 [10]; or

 b) an ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message of a default EPS bearer context activation procedure as a response to the PDN CONNECTIVITY REQUEST message as specified in 3GPP TS 24.301 [10] without the extended protocol configuration options IE containing the ATSSS response with the length of two octets PCO parameter;

the 5G-RG shall consider that the MA PDU session is not established and the PDN connection is not established as a user-plane resource of the MA PDU session.

5.3.2 5G-RG establishing a PDN connection as a user-plane resource of an already established MA PDU session

In order to establish a PDN connection as a user-plane resource of an already established MA PDU session, the 5G-RG shall initiate the UE requested PDN connectivity procedure according to 3GPP TS 24.301 [10].

In the PDN CONNECTIVITY REQUEST message or, when applicable, in the ESM INFORMATION RESPONSE message, of the UE requested PDN connectivity procedure:

- a) the 5G-RG shall set the request type to "handover" as specified in 3GPP TS 24.301 [10];
- NOTE: According to 3GPP TS 24.301 [10], the PDU session ID of the already established MA PDU session is included in the protocol configuration options IE or the extended protocol configuration options IE of the PDN CONNECTIVITY REQUEST message with the request type "handover".
- b) the 5G-RG shall set the PDN Type IE to "IPv4", "IPv6" or "IPv4v6"; and
- c) in the protocol configuration options or extended protocol configuration options IE, the 5G-RG shall include the ATSSS request PCO parameter.

Upon receipt of the ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message of a default EPS bearer context activation procedure as a response to the PDN CONNECTIVITY REQUEST message as specified in 3GPP TS 24.301 [10], the 5G-RG shall consider that the PDN connection is established as a user-plane resource of the MA PDU session.

Upon receipt of a PDN CONNECTIVITY REJECT message as specified in 3GPP TS 24.301 [10], the 5G-RG shall consider that the PDN connection is not established as a user-plane resource of the MA PDU session.

5.4 Performance measurement function (PMF) protocol (PMFP) procedures

5.4.1 General

Performance measurement function protocol (PMFP) procedures are performed between a performance measurement function (PMF) in a UE and a PMF in the UPF.

The following UE-initiated PMFP procedures are specified:

- a) UE-initiated RTT measurement procedure; and
- b) access availability or unavailability report procedure.

The following UPF-initiated PMFP procedures are specified:

a) UPF-initiated RTT measurement procedure.

The UE-initiated PMFP procedures and the UPF-initiated PMFP procedures can be performed in an MA PDU session only when the measurement assistance information is provided to the UE during establishment of the MA PDU session.

PMFP messages are transported in an IP packet or an Ethernet frame according to clause 5.3.2.

PMFP messages transported between the UE and the UPF (and vice versa) are protected using the security mechanisms protecting the user data packets transported over NG-RAN or non-3GPP access connected to the 5GCN and over the N3 and N9 reference points, specified in 3GPP TS 33.501 [r33501]. A PMFP-specific security mechanism is not specified.

NOTE: Even though transport of PMFP messages between the UE and the UPF is protected, a compromised UE can send false or incorrect PMFP messages.

PMFP is a standard L3 protocol according to 3GPP TS 24.007 [13], PMFP messages are standard L3 messages according to 3GPP TS 24.007 [13] and error behaviour specified for L3 protocol in according to 3GPP TS 24.007 [13] applies for PMFP.

5.4.2 Elementary procedures for PMFP

5.4.2.1 PMFP message transport

5.4.2.1.1 PMFP message transport in IPv4, IPv6 or IPv4v6 PDU session

In order to send a PMFP message over an access of an MA PDU session of IPv4, IPv6 or IPv4v6 PDU session type:

- a) if the UE obtained IPv4 address for the PDU session and the received measurement assistance information contains an IPv4 address of the PMF in the UPF, the UE shall create a UDP/IPv4 packet. In the UDP/IPv4 packet, the UE:
 - 1) shall set the data octets field to the PMFP message;
 - 2) shall set the source port field to the UDP port of the PMF in the UE;
 - 3) shall set the destination port field to the UDP port of the PMF in the UPF associated with the access of the MA PDU session, included in the received measurement assistance information;
 - 4) shall set the source address field to the IPv4 address of the UE; and
 - 5) shall set the destination address field to the IPv4 address of the PMF in the UPF, included in the received measurement assistance information; or
- b) if the UE obtained IPv6 prefix for the PDU session, generated an IPv6 address for the PMF in the UE and the received measurement assistance information contains an IPv6 address of the PMF in the UPF, the UE shall create a UDP/IPv6 packet. In the UDP/IPv6 packet, the UE:
 - 1) shall set the data octets field to the PMFP message;
 - 2) shall set the source port field to the UDP port of the PMF in the UE;
 - 3) shall set the destination port field to the UDP port of the PMF in the UPF associated with the access of the MA PDU session, included in the received measurement assistance information;
 - 4) shall set the source address field to the IPv6 address of the PMF in the UE; and
 - 5) shall set the destination address field to the IPv6 address of the PMF in the UPF, included in the received measurement assistance information.

The UE shall send the UDP/IPv4 packet or UDP/IPv6 packet over the access of the MA PDU session.

In order to send a PMFP message over an access of an MA PDU session of IPv4, IPv6 or IPv4v6 PDU session type:

- a) if the UPF is aware of the UDP port of the PMF in the UE used with IPv4, the UPF shall create a UDP/IPv4 packet. In the UDP/IPv4 packet, the UPF:
 - 1) shall set the data octets field to the PMFP message;
 - 2) shall set the source port field to the UDP port of the PMF in the UPF associated with the access of the MA PDU session, included in the measurement assistance information provided to the UE;
 - 3) shall set the destination port field to the UDP port of the PMF in the UE used with IPv4;
 - 4) shall set the source address field to the IPv4 address of the PMF in the UPF, included in the measurement assistance information provided to the UE; and
 - 5) shall set the destination address field to the IPv4 address of the UE; or

- a) if the UPF is aware of the UDP port and the IPv6 address of the PMF in the UE, the UPF shall create a UDP/IPv6 packet. In the UDP/IPv6 packet, the UPF:
 - 1) shall set the data octets field to the PMFP message;
 - 2) shall set the source port field to the UDP port of the PMF in the UPF associated with the access of the MA PDU session, included in the measurement assistance information provided to the UE;
 - 3) shall set the destination port field to the UDP port of the PMF in the UE;
 - 4) shall set the source address field to the IPv6 address of the PMF in the UPF, included in the measurement assistance information provided to the UE; and
 - 5) shall set the destination address field to the IPv6 address of the PMF in the UE.

The UPF shall send the UDP/IPv4 packet or UDP/IPv6 packet over the access of the MA PDU session.

The UE shall select the UDP port of the PMF in the UE upon establishment of an MA PDU session of IPv4, IPv6 or IPv4v6 PDU session type. The UE shall use the same UDP port of the PMF in the UE till release of the MA PDU session. The UE shall select the IPv6 address of the PMF in the UE upon establishment of an MA PDU session of IPv6 or IPv4v6 PDU session type. The UE shall use the same IPv6 address of the PMF in the UE till release of the MA PDU session.

The UPF shall discover the UDP port of the PMF in the UE used with IPv4 of an MA PDU session of IPv4 or IPv4v6 PDU session type, in the source port field of an UDP/IPv4 packet:

- a) received via the MA PDU session;
- b) with the destination port field set to the UDP port of the PMF in the UPF associated with an access, included in the measurement assistance information provided to the UE; and
- c) with the destination address field set to the IPv4 address of the PMF in the UPF, included the measurement assistance information provided to the UE.

The UPF shall discover the UDP port and the IPv6 address of the PMF in the UE of an MA PDU session of IPv6 or IPv4v6 PDU session type, in the source port field and the source address field of an UDP/IPv6 packet:

- a) received via the MA PDU session;
- b) with the destination port field set to the UDP port of the PMF in the UPF associated with an access, included in the measurement assistance information provided to the UE; and
- c) with the destination address field set to the IPv6 address of the PMF in the UPF, included the measurement assistance information provided to the UE.

In order to enable the UPF to discover:

- a) the UDP port of the PMF in the UE in case of an MA PDU session of IPv4 or IPv4v6 PDU session type, or
- b) the UDP port and the IPv6 address of the PMF in the UE in case of an MA PDU session of IPv6 or IPv4v6 PDU session type;

the UE shall perform a access availability or unavailability report procedure over an access immediately after the MA PDU session is established. If the MA PDU session is established over both 3GPP access and non-3GPP access, the UE may use either of the accesses for the access availability or unavailability report procedure. If the access availability or unavailability report procedure over the same access or, if the MA PDU session is established over both 3GPP access and non-3GPP access, over the other access.

5.4.2.1.2 PMFP message transport in Ethernet PDU session

In order to send a PMFP message over an access of an MA PDU session of Ethernet PDU session type, the UE shall create an Ethernet frame as specified in IEEE 802.3 [12]. In the Ethernet frame, the UE:

a) shall set the length/type field of the Ethernet frame to the ethertype value included in the received measurement assistance information;

- b) shall set the destination address field of the Ethernet frame to the MAC address of the PMF in the UPF associated with the access of the MA PDU session, included in the received measurement assistance information:
- c) shall set the source address field of the Ethernet frame to the MAC address of the PMF in the UE;
- d) shall set the MAC client data field of the Ethernet frame to the 3GPP IEEE MAC based protocol family envelope;
- e) shall set the protocol subtype field of the 3GPP IEEE MAC based protocol family envelope to "Performance measurement function protocol (PMFP)"; and
- f) shall set the protocol data field of the 3GPP IEEE MAC based protocol family envelope to the PMFP message.

The UE shall send the Ethernet frame over the access of the MA PDU session.

In order to send a PMFP message over an access of an MA PDU session, the UPF shall create an Ethernet frame as specified in IEEE 802.3 [12]. In the Ethernet frame, the UPF:

- a) shall set the length/type field of the Ethernet frame to the ethertype value included in the measurement assistance information provided to the UE;
- b) shall set the source address field of the Ethernet frame to the MAC address of the PMF in the UPF associated with the access of the MA PDU session, included in the measurement assistance information provided to the UE;
- c) shall set the destination address field of the Ethernet frame to the MAC address of the PMF in the UE;
- d) shall set the MAC client data field of the Ethernet frame to the 3GPP IEEE MAC based protocol family envelope;
- e) shall set the protocol subtype field of the 3GPP IEEE MAC based protocol family envelope to "Performance measurement function protocol (PMFP)"; and
- f) shall set the protocol data field of the 3GPP IEEE MAC based protocol family envelope to the PMFP message.

The UPF shall send the Ethernet frame so that the UE receives it over the access of the MA PDU session.

The UE shall select the MAC address of the PMF in the UE upon establishment of an MA PDU session of Ethernet PDU session type. The UE shall use the same MAC address of the PMF in the UE till release of the MA PDU session.

The UPF shall discover the MAC address of the PMF in the UE of an MA PDU session of Ethernet PDU session type, in the source address field of an Ethernet frame:

- a) received via the MA PDU session;
- b) with the length/type field of the Ethernet frame set to the ethertype value included in the measurement assistance information provided to the UE; and
- c) with the destination address field of the Ethernet frame set to the MAC address of the PMF in the UPF associated with an access, included in the measurement assistance information provided to the UE.

In order to enable the UPF to discover the MAC address of the PMF in the UE of an MA PDU session of Ethernet PDU session type, the UE shall perform an access availability or unavailability report procedure over an access immediately after the MA PDU session is established. If the MA PDU session is established over both 3GPP access and non-3GPP access, the UE may use either of the accesses for the access availability or unavailability report procedure. If the access availability or unavailability report procedure is aborted, the UE shall repeat the access availability or unavailability report procedure over the same access or, if the MA PDU session is established over both 3GPP access and non-3GPP access, over the other access.

5.4.2.2 Extended procedure transaction identity (EPTI)

The UE shall maintain the current available UE EPTI value. When the MA PDU session is established, the UE shall set the current available UE EPTI value to 0000H. When a UE-initiated PMFP procedure is initiated, the UE shall allocate the current available UE EPTI value to the UE-initiated PMFP procedure and:

- if the current available UE EPTI value is 7FFFH, shall set the current available UE EPTI value to 0000H; or

- otherwise, shall increase the current available UE EPTI value by one.

The UE shall release the EPTI value allocated to the UE-initiated PMFP procedure when the UE-initiated PMFP procedure completes or is aborted.

The UPF shall maintain the current available UPF EPTI value. When the MA PDU session is established, the UPF shall set the current available UPF EPTI value to 8000H. When a UPF-initiated PMFP procedure is initiated, the UPF shall allocate the current available UPF EPTI value to the UPF-initiated PMFP procedure and:

- if the current available UPF EPTI value is FFFFH, shall set the current available UPF EPTI value to 8000H; or
- otherwise, shall increase the current available UPF EPTI value by one.

The UPF shall release the EPTI value allocated to the UPF-initiated PMFP procedure when the UPF-initiated PMFP procedure completes or is aborted.

5.4.3 UE-initiated RTT measurement procedure

5.4.3.1 General

The purpose of the UE-initiated RTT measurement procedure is to enable the UE to measure the RTT of an exchange of user data packets between the UE and the UPF over an access of an MA PDU session.

The UE-initiated RTT measurement procedure can be performed over an access of an MA PDU session only when the UE has user-plane resources on the access of the MA PDU session.

5.4.3.2 UE-initiated RTT measurement procedure initiation

In order to initiate a UE-initiated RTT measurement procedure over an access of an MA PDU session, the UE shall allocate an EPTI value as specified in clause 5.3.2.2 and shall create one or more PMFP ECHO REQUEST messages. The number of created PMFP ECHO REQUEST messages is UE implementation specific. In each PMFP ECHO REQUEST message, the UE:

- a) shall set the EPTI IE to the allocated EPTI value;
- b) shall set the RI IE to a unique value identifying the particular PMFP ECHO REQUEST message within the transaction; and.
- c) if the upper layers request a particular length of PMFP messages, shall include the Padding IE such that length of the PMFP message becomes equal to the requested length.

The UE shall start a timer Tx and shall send the one or more PMFP ECHO REQUEST messages over the access of the MA PDU session.

An example of the UE-initiated RTT measurement procedure is shown in figure 5.3.3.2-1.

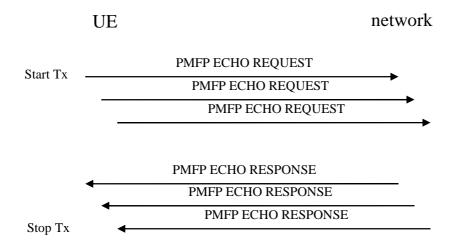


Figure 5.3.3.2-1: UE-initiated RTT measurement procedure

5.4.3.3 UE-initiated RTT measurement procedure completion

Upon reception of the PMFP ECHO REQUEST message, the UPF shall create a PMFP ECHO RESPONSE message. In the PMFP ECHO RESPONSE message, the UPF shall set the EPTI IE to the EPTI value in the PMFP ECHO REQUEST message and shall set the RI IE to the RI value in the PMFP ECHO REQUEST message. If the PMFP ECHO REQUEST message contains the Padding IE, the UPF shall include the Padding IE such that length of the PMFP message becomes equal to length of the received PMFP message. The UPF shall send the PMFP ECHO RESPONSE message over the access of the MA PDU session via which the PMFP ECHO REQUEST message was received.

Upon reception of a PMFP ECHO RESPONSE message with the same EPTI as the allocated EPTI value and with the RI value of a sent PMFP ECHO REQUEST message, the UE shall determine the RTT value for the request identified by the RI value by subtracting the current value of the timer Tx from the value of the timer Tx valid when the PMFP ECHO REQUEST with the RI value was sent.

When a PMFP ECHO RESPONSE message with the same EPTI as the allocated EPTI value has been received for each sent PMFP ECHO REQUEST message, the UE shall calculate an average of the RTT values for the requests, shall stop the timer Tx.

5.4.3.4 Abnormal cases in the UE

The following abnormal cases can be identified:

a) Expiration of the timer Tx

Upon expiration of the timer Tx, the UE shall abort the procedure, shall calculate an average of the RTT values for the requests for which a response was received and shall count the number of requests for which no response was received.

5.4.4 Network-initiated RTT measurement procedure

5.4.4.1 General

The purpose of the UPF-initiated RTT measurement procedure is to enable the UPF to measure the RTT of an exchange of user data packets between the UPF and the UE over an access of an MA PDU session.

The UPF-initiated RTT measurement procedure can be performed over an access of an MA PDU session only when the UE has user-plane resources on the access of the MA PDU session.

5.4.4.2 UPF-initiated RTT measurement procedure initiation

In order to initiate a UPF-initiated RTT measurement procedure over an access of an MA PDU session, the UPF shall allocate a EPTI value as specified in clause 5.3.2.2 and shall create one or more PMFP ECHO REQUEST messages. The number of created PMFP ECHO REQUEST messages is UPF implementation specific. In each PMFP ECHO REQUEST message, the UPF:

- a) shall set the EPTI IE to the allocated EPTI value;
- b) shall set the RI IE to a unique value identifying the particular PMFP ECHO REQUEST message within the transaction; and
- c) if the upper layers request a particular length of PMFP messages, shall include the Padding IE such that length of the PMFP message becomes equal to the requested length.

The UPF shall start a timer Ty and shall send the one or more PMFP ECHO REQUEST messages over the access of the MA PDU session.

An example of the UPF-initiated RTT measurement procedure is shown in figure 5.3.4.2-1.

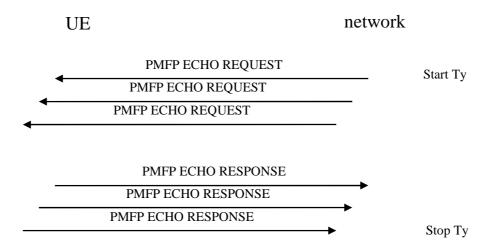


Figure 5.3.4.2-1: UPF-initiated RTT measurement procedure

5.4.4.3 UPF-initiated RTT measurement procedure completion

Upon reception of the PMFP ECHO REQUEST message, the UE shall create a PMFP ECHO RESPONSE message. In the PMFP ECHO RESPONSE message, the UE shall set the EPTI IE to the EPTI value in the PMFP ECHO REQUEST message and shall set the RI IE to the RI value in the PMFP ECHO REQUEST message. If the PMFP ECHO REQUEST message contains the Padding IE, the UE shall include the Padding IE such that length of the PMFP message becomes equal to length of the received PMFP message. The UE shall send the PMFP ECHO RESPONSE message over the access of the MA PDU session via which the PMFP ECHO REQUEST message was received.

Upon reception of a PMFP ECHO RESPONSE message with the same EPTI as the allocated EPTI value and with the RI value of a sent PMFP ECHO REQUEST message, the UPF shall determine the RTT value for the request identified by the RI value by subtracting the current value of the timer Ty from the starting value of the timer Ty valid when the PMFP ECHO REQUEST with the RI value was sent.

When a PMFP ECHO RESPONSE message with the same EPTI as the allocated EPTI value has been received for each sent PMFP ECHO REQUEST message, the UPF shall calculate an average of the RTT values for the requests, shall stop the timer Ty.

5.4.4.4 Abnormal cases in the network

The following abnormal cases can be identified:

a) Expiration of the timer Ty

Upon expiration of the timer Ty, the UPF shall abort the procedure, shall calculate an average of the RTT values for the requests for which a response was received and shall count the number of requests for which no response was received.

5.4.5 Access availability or unavailability report procedure

5.4.5.1 General

The purpose of the access availability or unavailability report procedure is to enable the UE to inform the UPF about availability or unavailability of an access of an MA PDU session.

5.4.5.2 Access availability or unavailability report procedure initiation

In order to initiate an access availability or unavailability report procedure over an access of an MA PDU session, the UE shall allocate a EPTI value as specified in clause 5.3.2.2 and shall create a PMFP ACCESS REPORT message. In

the PMFP ACCESS REPORT message, the UE shall set the EPTI IE to the allocated EPTI value. The UE shall send the PMFP ACCESS REPORT message over the access of the MA PDU session and shall start a timer Tz.

An example of the access availability or unavailability report procedure is shown in figure 5.3.5.2-1.

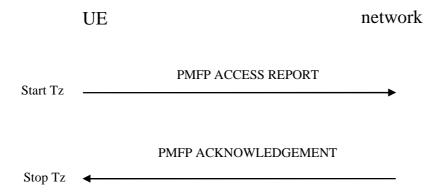


Figure 5.3.5.2-1: Access availability or unavailability report procedure

5.4.5.3 Access availability or unavailability report procedure completion

Upon reception of the PMFP ACCESS REPORT message, the UPF shall create a PMFP ACKNOWLEDGEMENT message. In the PMFP ACKNOWLEDGEMENT message, the UPF shall set the EPTI IE to the EPTI value in the PMFP ACCESS REPORT message. The UPF shall send the PMFP ACKNOWLEDGEMENT message over the access of the MA PDU session via which the PMFP ACCESS REPORT message was received.

Upon reception of a PMFP ACKNOWLEDGEMENT message with the same EPTI as the allocated EPTI value, the UE shall stop the timer Tz.

5.4.5.4 Abnormal cases in the UE

The following abnormal cases can be identified:

a) Expiry of the timer Tz

The UE shall, on the first expiry of the timer Tz, retransmit the PMFP ACCESS REPORT message and shall reset and start timer Tz. This retransmission can be repeated up to four times, i.e. on the fifth expiry of timer Tz, the UE shall abort the procedure.

6 PDUs and parameters specific to the present document

6.1 ATSSS parameters

6.1.1 General

The ATSSS parameters are the contents of the ATSSS container as defined in clause 9.11.4.22 of 3GPP TS 24.501 [6].

The purpose of the ATSSS parameters is to indicate the parameters associated with ATSSS (e.g. ATSSS rules).

6.1.2 Encoding of ATSSS parameters

The ATSSS container contents include one or more ATSSS parameters and they are coded as shown in figure 6.1.2-1, figure 6.1.2-2 and table 6.1.2-1.

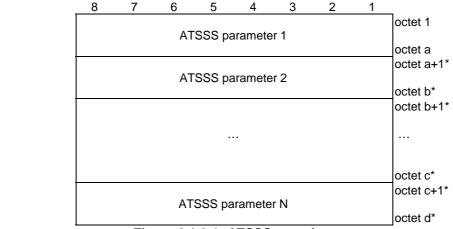


Figure 6.1.2-1: ATSSS container contents

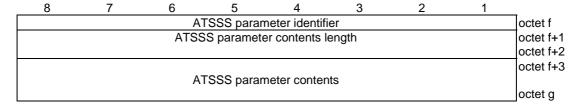


Figure 6.1.2-2: ATSSS parameter

Table 6.1.2-1: ATSSS parameter

The	The ATSSS parameter identifier is encoded as follows:												
Bits	S		•										
8			5	4	3	2	1						
0	0	0	0	0	0 0	0	1	ATSSS rules					
0	0	0	0	0	0	1	0	Network steering functionalities information					
0	0	0	0	0	0	1	1	Measurement assistance information					
ΑII	othe	r val	lues	are s	spare) .							
The	e AT	SSS	para	amet	ter co	onter	nts fo	r the ATSSS rules are specified according to					
cla	use	6.1.3	3.					·					
The	e AT	SSS	para	amet	ter co	onter	nts fo	r the network steering functionalities information are					
spe	ecifie	ed ac	cord	ling t	o cla	use	6.1.4						
The ATSSS parameter contents for the measurement assistance information are													
spe	ecifie	ed ac	cord	ling t	o cla	use	6.1.5						
	11												

6.1.3 ATSSS rules

6.1.3.1 Definition of ATSSS rules

The ATSSS rules are defined in 3GPP TS 23.501 [2] and is set of one or more ATSSS rules, where a rule is composed of:

- a) a precedence value of the ATSSS rule identifying the precedence of the ATSSS rule;
- b) a traffic descriptor matching a service data flow (SDF); and

- c) an access selection descriptor including:
 - 1) a steering functionality:
 - A) MPTCP, the UE steers the SDF by using the MPTCP functionality; or
 - B) ATSSS-LL functionality, the UE steers the SDF by using the ATSSS-LL functionality; and

NOTE: If the included steering functionality is not supported by the UE, the UE ignores the received ATSSS rule.

- 2) a steering mode:
 - A) active-standby, the UE steers the SDF by using the active access if the active access is available. If the active access is not available and the standby access is available, the UE steers the SDF by using the standby access;
 - B) smallest delay, the UE steers the SDF by using the access network with the smallest RTT. If there is only one access available, the UE steers the SDF by using the available access. This steering mode is only applicable to non-GBR SDF;
 - C) load balancing, the UE steers the SDF across both the 3GPP access and the non-3GPP access with a given precentage if both accesses are available. If there is only one access available, the UE steers the SDF by using the available access. This steering mode is only applicable to non-GBR SDF; or
 - D) priority based, the UE steers the SDF over the access with high priority unless the access with high priority is congested or unavailable, when the UE steers the SDF over both the access with high priority and the access with low priority. This steering mode is only applicable to non-GBR SDF.

6.1.3.2 Encoding of ATSSS rules

The ATSSS rules are encoded as shown in figure 6.1.3.2-1, figure 6.1.3.2-2 and figure 6.1.3.2-3 and table 6.1.3.2-1.

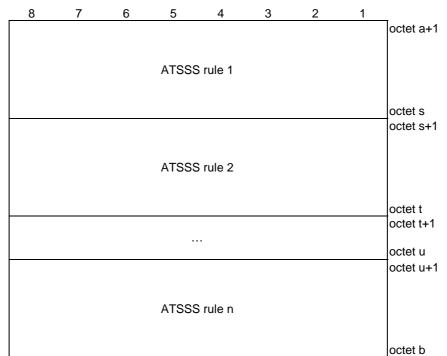


Figure 6.1.3.2-1: ATSSS parameter contents including one or more ATSSS rules

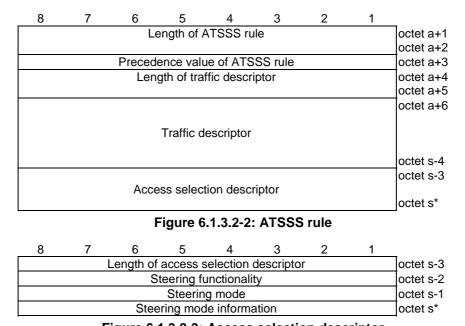


Figure 6.1.3.2-3: Access selection descriptor

Table 6.1.3.2-1: ATSSS parameter contents including an ATSSS rule

Precedence value of an ATSSS rule (octet a+3)

The precedence value of an ATSSS rule field shall be used to specify the precedence of the ATSSS rule among all ATSSS rules. This field shall include the binary encoded value of the precedence value in the range from 0 to 255 (decimal). The higher the value of the precedence value field, the lower the precedence of the ATSSS rule is.

Traffic descriptor (octets a+6 to s-4)

The traffic descriptor field is, as defined in table 5.2.1 in 3GPP TS 24.526 [5], of variable size and contains a variable number (at least one) of traffic descriptor components (NOTE 3). Each traffic descriptor component shall be encoded as a sequence of one octet traffic descriptor component type identifier and a traffic descriptor component value field. The traffic descriptor component type identifier shall be transmitted first.

Traffic descriptor component type identifier

8	7	6	5	4	3	2	
0	0	0	0	0	0	0	
0	0	0	0	1	0	0	
0	0	0	1	0	0	0	

n

Match-all type

OS Id + OS App Id type (NOTE 1)

IPv4 remote address type

0 0 0 1 IPv6 remote address/prefix length type
0 0 0 0 Protocol identifier/next header type
0 0 0 0 Single remote port type

Remote port range type Security parameter index type Type of service/traffic class type

Flow label type Destination MAC address type 802.1Q C-TAG VID type 802.1Q S-TAG VID type

802.1Q C-TAG PCP/DEI type 802.1Q S-TAG PCP/DEI type Ethertype type

DNN type **Destination FQDN** 0 0 OS App Id type

All other values are spare. If received they shall be interpreted as unknown.

Length of access selection descriptor (octet s-3)

Rite

Bits

0 0

0 0

8	7	6	5	4	3	2	1
0	0	0	0	0	0	1	1
0	0	0	0	0	1	0	0

If the steering mode is smallest delay
If the steering mode is not smallest delay

All other values are spare.

Steering functionality (octet s-2)

The steering functionality field shall be encoded by one octet (octet s-2) as follows Bits

510												
8	7	6	5	4	3	2	1					
0	0	0	0	0	0	0	1					

UE's supported steering functionality (NOTE 2)

0 0 0 0 0 1 0 MPTCP functionality 0 0 0 0 0 1 1 ATSSS-LL functionality

All other values are spare.

If the UE does not support the received encoded steering functionality in the ATSSS rule, the UE shall ignore the ATSSS rule.

Steering mode (octet s-1)

The steering mode descriptor field shall be encoded by one octet (octet s-1) as follows:

	_							
8	7	6	5	4	3	2	1	
0	0	0	0	0	0	0	1	Active-standby
0	0	0	0	0	0	1	0	Smallest delay
0	0	0	0	0	0	1	1	Load balancing
0	Ω	Ω	Ο	Ω	1	Ω	Ω	Priority based

All other values are spare.

_												
	Steering mode information (octet s)											
	If the steering mode is defined as active-standby, octet s shall be defined as follows:											
Bit												
8	7	6	5	4	3	2	1					
0	0	0	0	0	0	0	1	Active 3GPP and no standby				
0	0	0	0	0	0	1	0	Active 3GPP and non-3GPP standby				
0	0	0	0	0	0	1	1	Active non-3GPP and no standby				
0	0	0	0	0	1	0	0	Active non-3GPP and 3GPP standby				
ΑII	othe	r va	lues	are s	spare	€.						
					-							
If the steering mode is defined as smallest delay, octet s shall not be encoded.												
								load balancing, octet s shall be encoded to show the				
pei	cent	age	of th	e SE	OF tra	affic	trans	smitted over 3GPP access and non-3GPP access as				
-	ows:											
Bit												
8	7	6	5	4	3	2	1					
0	0	0	0	0	0	0	1	100% over 3GPP and 0% over non-3GPP				
0	0	0	0	0	0	1	0	90% over 3GPP and 10% over non-3GPP				
0	0	0	0	0	0	1	1	80% over 3GPP and 20% over non-3GPP				
0	0	0	0	0	1	0	0	70% over 3GPP and 30% over non-3GPP				
0	0	0	0	0	1	0	1	60% over 3GPP and 40% over non-3GPP				
0	0	0	0	0	1	1	0	50% over 3GPP and 50% over non-3GPP				
0	0	0	0	0	1	1	1	40% over 3GPP and 60% over non-3GPP				
Ō	Ō	Ö	0	1	0	0	0	30% over 3GPP and 70% over non-3GPP				
0	0	0	0	1	0	0	1	20% over 3GPP and 80% over non-3GPP				
0	0	0	0	1	0	1	0	10% over 3GPP and 90% over non-3GPP				
0	0	Õ	0	1	0	1	1	0% over 3GPP and 100% over non-3GPP				
-	•	-	lues	-	-	•	•					
/ \	Ouic	ı vu	iucs	arc c	spare	,						
If t	ne st	eeri	na m	ode	is de	finer	l as	priority-based, octet s shall be encoded as:				
Bit		0011	9	ouo	10 40	,,,,,	<i>a</i> 40	priority bacca, color o criair be criceada ac.				
8	์ 7	6	5	4	3	2	1					
0	0	0	0	0	0	0	1	3GPP is high priority access				
0	0	0	0	0	0	1	0	non-3GPP is high priority access				
_	-	-	lues	-	-	•	U	non-sor i is high phonty access				
	Ouile	ıva	iues	aic s	spare	- .						
NIC	TE 1	1. [- - - -	25 I	4 T C	رم عر	an Id	type", the traffic descriptor component value field				
INC	/IL							S version number or the version number of the				
			applio			ily uii	e O	5 version number of the version number of the				
NIC	TE 2					ll bo	oot	by the SMF if the UE supports only one steering				
INC	/I⊏ 2											
								knows the UE's supported steering functionality				
NIC	TE -							esion establishment.				
INC	TE 3							onents of an ATSSS rule are not required to be the				
								criptor components, defined in table 5.2.1 in				
1			3GPF	15	24.5	∠b 5)].					

6.1.4 Network steering functionalities information

6.1.4.1 Definition of network steering functionalities information

6.1.4.1.1 MPTCP Functionality with any steering mode and the ATSSS-LL functionality with only the active-standby steering mode

In order for the UE to support the MPTCP functionality, the UE shall support the TCP extensions for multipath operation specified in IETF RFC 8684 [8].

When the UE indicates support for MPTCP functionality with any steering mode and the ATSSS-LL functionality with only the active-standby steering mode and the network accepts to enable these functionalities for an MA PDU session of IP type in the UPF as specified in the clause 5.32.2 of 3GPP TS 23.501 [2], then the network shall provide the following information to the UE:

a) two "link-specific multipath" IP addresses/prefixes used only by the MPTCP functionality in the UE, one associated with the 3GPP access and another associated with the non-3GPP access;

NOTE: It is possible that the network provides the "link-specific multipath" IP addresses/prefix that is not routable via N6 (e.g. IPv6 link local address).

- b) the IP address, port number and the type of one or more MPTCP proxies in the UPF; and
- c) one or more ATSSS rules including an ATSSS rule for non-MPTCP traffic. The ATSSS rule for non-MPTCP traffic shall be composed of a precedence with value "255", a "match-all type" traffic descriptor, an "ATSSS-LL functionality" steering functionality and an "active-standby" steering mode.

In this release of the specification, the UPF shall support the Transport Converter as specified in IETF draft-ietf-tcpm-converters [9].

In this release of the specification, the UE shall support the client extensions specified in IETF draft-ietf-tcpm-converters [9].

The UE shall use the "link-specific multipath" addresses/prefixes to establish subflows over non-3GPP access and over 3GPP access.

When the MA PDU session is Ethernet type, the network shall not enable the MPTCP functionality with any steering mode and the ATSSS-LL functionality with only the active-standby steering mode.

6.1.4.1.2 ATSSS-LL Functionality with any steering mode

When the UE indicates ATSSS-LL capability with any steering mode and the network accepts to enable this functionality for an MA PDU session of any supported type, then the network shall enable ATSSS-LL functionality with any steering mode in the UPF as specified in the clause 5.32.2 of 3GPP TS 23.501 [2] and provide one or more ATSSS rules to the UE.

In an ATSSS capable UE, the following ATSSS-LL requirements apply:

- a) for an MA PDU session of Ethernet PDU session type, the ATSSS-LL functionality with any steering mode is mandatory; and
- b) for an MA PDU session of IPv4, IPv6, or IPv4v6 PDU session type, if the UE does not support:
 - the MPTCP functionality with any steering mode and the ATSSS-LL functionality with only the activestandby steering mode; and
 - 2) the MPTCP functionality with any steering mode and the ATSSS-LL functionality with any steering mode,

then ATSSS-LL functionality with any steering mode is mandatory.

6.1.4.1.3 MPTCP functionality with any steering mode and the ATSSS-LL functionality with any steering mode

In order for the UE to support the MPTCP functionality, the UE shall support the TCP extensions for multipath operation specified in IETF RFC 8684[8].

When the UE indicates support for MPTCP functionality with any steering mode and the ATSSS-LL functionality with any steering mode and the network accepts to enable these functionalities for an MA PDU session of IP type in the UPF as specified in the clause 5.32.2 of 3GPP TS 23.501 [2], then the network shall provide the following information to the UE:

- a) two "link-specific multipath" IP addresses/prefixes used only by the MPTCP functionality in the UE, one associated with the 3GPP access and another associated with the non-3GPP access:
- b) the IP address, port number and the type of one or more MPTCP proxies in the UPF; and
- c) one or more ATSSS rules.

In this release of the specification, the UPF shall support the Transport Converter as specified in IETF draft-ietf-tcpm-converters [9].

In this release of the specification, the UE shall support the client extensions specified in IETF draft-ietf-tcpm-converters [9].

The UE shall use the "link-specific multipath" addresses/prefixes to establish subflows over non-3GPP access and over 3GPP access.

When the MA PDU session is Ethernet type, the network shall not enable the MPTCP functionality with any steering mode and the ATSSS-LL functionality with any steering mode.

6.1.4.2 Encoding of network steering functionalities information

The network steering functionalities information contains:

- a) addressing information for the ATSSS capable UE supporting MPTCP fiunctionality; and
- b) addressing information and type for the MPTCP proxy;

and is encoded as shown in figure 6.1.4.2-1, figure 6.1.4.2-2 and table 6.1.4.2-1:

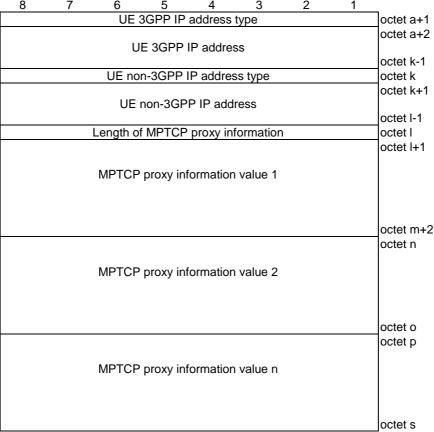


Figure 6.1.4.2-1: Network steering functionalities information including UE IP addresses and MPTCP proxy information

MPTCP proxy IP address type	octet I+1
	octet I+2
MPTCP proxy IP address	
	octet m-1
	octet m
MPTCP proxy port	octet m+1
MPTCP proxy type	octet m+2

Figure 6.1.4.2-2: MPTCP proxy information

Table 6.1.4.2-1: UE IP addresses and MPTCP proxy information

UE 3GPP IP address type (octet a+1) is set as follows:

Bits

8	7	6	5	4	3	2	1	
0	0	0	0	0	0	0	1	IPv4
0	0	0	0	0	0	1	0	IPv6
0	0	0	0	0	0	1	1	IPv4v6

If the UE 3GPP IP address type indicates IPv4, then the UE 3GPP IP address field contains an IPv4 address in 4 octets.

If the UE 3GPP IP address type indicates IPv6, then the UE 3GPP IP address field contains an IPv6 address in 16 octets field and 1 octet prefix length field. The IPv6 address field shall be transmitted first.

If the UE 3GPP IP address type indicates IPv4v6, then the UE 3GPP IP address field contains two IP addresses. The first UE 3GPP IP address is an IPv4 address in 4 octets and the second UE 3GPP IP address is an IPv6 address field in 16 octets followed by 1 octet prefix length field.

UE non-3GPP IP address type (octet k) is set as follows:

Rits

8	7	6	5	4	3	2	1	
0	0	0	0	0	0	0	1	IPv4
0	0	0	0	0	0	1	0	IPv6
0	0	0	0	0	0	1	1	IPv4v6

If the UE non-3GPP IP address type indicates IPv4, then the UE non-3GPP IP address field contains an IPv4 address in 4 octets.

If the UE non-3GPP IP address type indicates IPv6, then the UE non-3GPP IP address field contains an IPv6 address in 16 octets field and 1 octet prefix length field. The IPv6 address field shall be transmitted first.

If the UE non-3GPP IP address type indicates IPv4v6, then the UE non-3GPP IP address field contains two IP addresses. The first UE non-3GPP IP address is an IPv4 address in 4 octets and the second UE non-3GPP IP address is an IPv6 address field in 16 octets followed by 1 octet prefix length field.

MPTCP proxy IP address type (octet I+1) is set as follows:

Bits

8	7	6	5	4	3	2	1	
0	0	0	0	0	0	0	1	IPv4
0	0	0	0	0	0	1	0	IPv6
0	0	0	0	0	0	1	1	IPv4v6

If the MPTCP proxy IP address type indicates IPv4, then the MPTCP proxy IP address field contains an IPv4 address in 4 octets.

If the MPTCP proxy IP address type indicates IPv6, then the MPTCP proxy IP address field contains an IPv6 address in 16 octets.

If the MPTCP proxy IP address type indicates IPv4v6, then the MPTCP proxy IP address field contains two IP addresses. The first MPTCP proxy IP address is an IPv4 address in 4 octets and the second MPTCP proxy IP address is an IPv6 address in 16 octets.

MPTCP proxy type (octet m+2) is set as follows: Bits

8 7 6 5 4 3 2 1 0 0 0 0 0 0 1

Transport converter

All other values are spare.

6.1.5 Measurement assistance information

6.1.5.1 Definition of measurement assistance information

The measurement assistance information is transmitted by the network to the UE.

If the UE is only capable of supporting MPTCP functionality with any steering mode and the ATSSS-LL functionality with only the active-standby steering mode, the network may send measurement assistance information for the UE to send access availability/unavailability to the UPF. In this case, the UE and UPF shall not perform RTT measurements using PMF, the UE and UPF shall use the RTT measurements available at the MPTCP layer.

The measurement assistance information is defined in 3GPP TS 23.501 [2] and it contains:

- a) addressing for the PMF in the UPF according to:
 - if the PDU session is IP type, the measurement assistance information contains IP address for the PMF with an allocated port number associated with the 3GPP access network and another allocated port number associated with non-3GPP access network; and
 - 2) if the PDU session is Ethernet type, the measurement assistance information contains a MAC address associated with the 3GPP access network and another MAC address associated with the non-3GPP address network for the PMF; and
- b) an indicator to report the availability and unavailability of an access network.

6.1.5.2 Encoding of measurement assistance information

The measurement assistance information contains addressing information for the PMF in the UPF and is encoded as shown in figure 6.1.5.2-1 and figure 6.1.5.2-2 and table 6.1.5.2-1 and table 6.1.5.2-2.

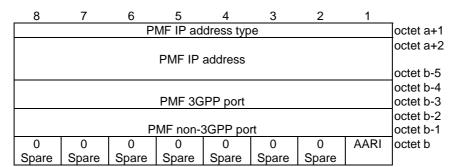


Figure 6.1.5.2-1: ATSSS parameter contents including one PMF IP address information

Table 6.1.5.2-1: PMF IP address type

PMF IP address type (octet a+1) is set as follows: Bits 7 0 IPv4 0 0 0 0 0 IPv6 IPv4IPv6 0 0 0 0 0 1 All other values are spare. If the PMF IP address type indicates IPv4, then the PMF IP address field contains an IPv4 address in 4 octets. If the PMF IP address type indicates IPv6, then the PMF IP address field contains an IPv6 address in 16 octets. If the PMF IP address type indicates IPv4IPv6, then the PMF IP address field contains two IP addresses. The first PMF IP address is an IPv4 address in 4 octets and the second PMF IP address is an IPv6 address in 16 octets. PMF 3GPP port (octets b-4 - b-3) is allocated port number associated with the 3GPP access network. PMF non-3GPP port (octets b-2 - b-1) is allocated port number associated with the non-3GPP access network. AARI (access availability reporting indicator) (octet b, bit 1) is set as follows: 1 0 Do not report the access availability Report the access availability

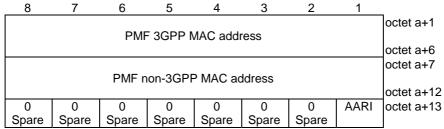


Figure 6.1.5.2-2: ATSSS parameter contents including one PMF MAC address information

Table 6.1.5.2-2: PMF MAC address type

PMF 3GPP MAC address contains a 6 octets MAC address associated with the 3GPP access network.

PMF non-3GPP MAC address contains a 6 octets MAC address associated with the non-3GPP access network.

AARI (access availability reporting indicator) (octet a+13, bit 1) is set as follows: Bit

1

- 0 Do not report the access availability
- 1 Report the access availability

6.1.6 ATSSS PCO parameters

6.1.6.1 General

Clause 6.1.6 specifies PCO parameters used for ATSSS.

6.1.6.2 ATSSS request PCO parameter

The purpose of the ATSSS request PCO parameter is to provide UE parameters for MA PDU session management.

The ATSSS request PCO parameter container contents are coded as shown in figure 6.1.6.2-1 and table 6.1.6.2-1.

The ATSSS request PCO parameter container contents may be one or more octets long. If the ATSSS request PCO parameter container contents is longer than one octet, octets other than the first octet shall be ignored.

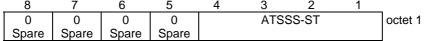


Figure 6.1.6.2-1: ATSSS request PCO parameter container contents

Table 6.1.6.2-1: ATSSS request PCO parameter container contents

Supported ATSSS steering functionalities and steering modes (ATSSS-ST) (octet 1, bits 1, 2, 3 and 4) (see NOTE) This field indicates the 5GSM capability of ATSSS steering functionalities and steering modes. Bits 4 3 2 1 0 0 0 1 ATSSS Low-Layer functionality with any steering mode supported 0 0 1 0 MPTCP functionality with any steering mode and ATSSS-LL functionality with only active-standby steering mode supported 0 0 1 1 MPTCP functionality with any steering mode and ATSSS-LL functionality with any steering mode supported All other values are reserved. All other bits in octet 1 are spare and shall be coded as zero. NOTE: If the ATSSS request PCO parameter is included in the PDN CONNECTIVITY REQUEST message with the request type information element set to "handover", the ATSSS-ST field is ignored.

6.1.6.3 ATSSS response with the length of two octets PCO parameter

The purpose of the ATSSS response with the length of two octets PCO parameter is to provide network parameters for MA PDU session management.

The ATSSS response with the length of two octets PCO parameter container contents are coded as shown in figure 6.1.6.3-1 and table 6.1.6.3-1.

The ATSSS response with the length of two octets PCO parameter container contents may be one or more octets long. If the ATSSS response with the length of two octets PCO parameter container contents is longer than as indicated in the figure 6.1.6.3-1, the octets after the last field of the figure 6.1.6.3-1 shall be ignored.

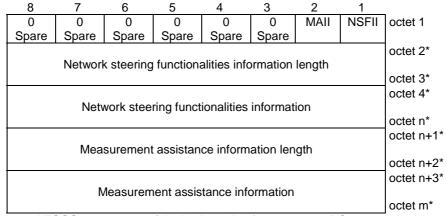


Figure 6.1.6.3-1: ATSSS response with the length of two octets PCO parameter container contents

Table 6.1.6.3-1: ATSSS response with the length of two octets PCO parameter container contents

	ering functionalities information indicator (NSFII) (octet 1, bit 1)
	ates whether the network steering functionalities information length field
Bit	ork steering functionalities information are included.
1	
0 1	Network steering functionalities information length field and network steering functionalities information field not included. Network steering functionalities information length field and network
	steering functionalities information field included.
This bit indicates measurement Bit 2	at assistance information indicator (MAII) (octet 1, bit 2) ates whether the measurement assistance information length field and the assistance information field are included.
0	Measurement assistance information length field and the measurement
	assistance information field not included.
1	Measurement assistance information length field and the measurement assistance information field included.
All other bits	in octet 1 are spare and shall be coded as zero.
	steering functionalities information length field indicates length of the ring functionalities information field.
	steering functionalities information field is coded as specified in 2-1, figure 6.1.4.2-2 and table 6.1.4.2-1.
	ement assistance information length field indicates length of the at assistance information field.
The measure and table 6.1	ement assistance information field is coded as specified in figure 6.1.5.2-1 .5.2-1.

6.2 Encoding of performance measurement function (PMF) protocol (PMFP)

6.2.1 Message functional definitions and format

6.2.1.1 General

The following PMFP messages are specified:

- PMFP echo request;
- PMFP echo response;
- PMFP access report; and
- PMFP acknowledgement.

6.2.1.2 PMFP echo request

6.2.1.2.1 Message definition

The PMFP ECHO REQUEST message is sent by the UE to the UPF or by the UPF to the UE to initiate detection of RTT.

See table 6.2.1.2.1-1.

Message type: PMFP ECHO REQUEST

Significance: dual

Direction: UE to UPF or UPF to UE

Table 6.2.1.2.1-1: PMFP ECHO REQUEST message content

IEI	Information Element	Type/Reference	Presence	Format	Length
	PMFP echo request message identity	Message type 6.2.2.1	М	V	1
	EPTI	Extended procedure transaction identity 6.2.2.2	M	V	TBD
	RI	Request identity 6.2.2.5	М	V	1
TBD	Padding	Padding 6.2.2.6	0	TVL-E	3-1000

6.2.1.3 PMFP echo response

6.2.1.3.1 Message definition

The PMFP ECHO RESPONSE message is sent by the UPF to the UE or by the UE to the UPF as response to an PMFP ECHO RESPONSE message to enable detection of RTT.

See table 6.2.1.3.1-1.

Message type: PMFP ECHO RESPONSE

Significance: dual

Direction: UE to UPF or UPF to UE

Table 6.2.1.3.1-1: PMFP ECHO RESPONSE message content

IEI	Information Element	Type/Reference	Presence	Format	Length
	PMFP echo response message	Message type	M	V	1
	identity	6.2.2.1			
	EPTI	Extended procedure transaction	M	V	TBD
		identity			
		6.2.2.2			
	RI	Request identity	M	V	1
		6.2.2.5			
TBD	Padding	Padding	0	TVL-E	3-1000
		6.2.2.6			

6.2.1.4 PMFP access report

6.2.1.4.1 Message definition

The PMFP ACCESS REPORT message is sent by the UE to the UPF to inform the UPF about access availability or unavailability.

See table 6.2.1.4.1-1.

Message type: PMFP ACCESS REPORT

Significance: dual

Direction: UE to UPF

Table 6.2.1.4.1-1: PMFP ACCESS REPORT message content

IEI	Information Element	Type/Reference	Presence	Format	Length
	PMFP access report message	Message type	M	V	1
	identity	6.2.2.1			
	EPTI	Extended procedure transaction identity 6.2.2.2	M	V	TBD
	Access availability state	Access availability state 6.2.2.3	М	V	1/2
	Spare half octet	Spare half octet 6.2.2.4	M	V	1/2

6.2.1.5 PMFP acknowledgement

6.2.1.5.1 Message definition

The PMFP ACKNOWLEDGEMENT message is sent by the UPF to the UE to acknowledge reception of a PMFP ACCESS REPORT message.

See table 6.2.1.5.1-1.

Message type: PMFP ACKNOWLEDGEMENT

Significance: dual

Direction: UPF to UE

Table 6.2.1.5.1-1: PMFP ACKNOWLEDGEMENT message content

IEI	Information Element	Type/Reference	Presence	Format	Length
	PMFP acknowledgement	Message type	M	V	1
	message identity	6.2.2.1			
	EPTI	Extended procedure transaction	M	V	TBD
		identity			
		6.2.2.2			

6.2.2 Encoding of information element

6.2.2.1 Message type

Message type is a type 3 information element with length of 1 octet.

Table 6.2.2.1-1 defines the value part of the message type IE used in the PMFP.

Table 6.2.2.1-1: Message type

Bit	s							
8	7	6	5	4	3	2	1	
0	0	0	0	0	0	0	1	PMFP ECHO REQUEST message
0	0	0	0	0	0	1	0	PMFP ECHO RESPONSE message
0	0	0	0	0	0	1	1	PMFP ACCESS REPORT message
0	0	0	0	0	1	0	0	PMFP ACKNOWLEDGEMENT message
ΑII	oth	er v	alu	es a	are i	rese	erved	

6.2.2.2 Extended procedure transaction identity

The purpose of the extended procedure transaction identity information element is to enable distinguishing up to 10000H different bi-directional message flows. Such a message flow is called a transaction.

Extended procedure transaction identity is a type 3 information element with length of 2 octet.

The extended procedure transaction identity information element is coded as shown in figure 6.2.2.2-1.

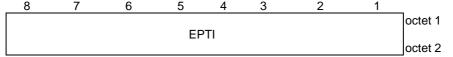


Figure 6.2.2.2-1: Extended procedure transaction identity information element

Table 6.2.2.2-1: Extended procedure transaction identity information element

EPTI (octet 1 to octet 4)
Binary encoded EPTI value.
EPTI values between 0000H and 7FFFH indicate a UE-initiated transaction. EPTI values between 8000H and FFFFH indicate a UPF-initiated transaction.

6.2.2.3 Access availability state

The purpose of the access availability state information element is to provide information about availability of access.

The access availability state is a type 1 information element.

The access availability state information element is coded as shown in figure 6.2.2.3-1 and table 6.2.2.3-1.

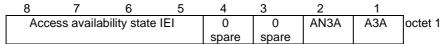


Figure 6.2.2.3-1: Access availability state information element

Table 6.2.2.3-1: Access availability state information element

6.2.2.4 Spare half octet

This information element is used in the description of messages when an odd number of half octet type 1 information elements are used. This element is filled with spare bits set to zero and is placed in bits 5 to 8 of the octet unless otherwise specified.

6.2.2.5 Request identity

The purpose of the Request identity information element is to enable association of a PMF ECHO RESPONSE message with one of PMF ECHO REQUEST messages sent within one RTT measurement procedure.

The Request identity is a type 3 information element with length of 1 octet.

The Request identity information element is coded as shown in figure 6.2.2.5-1 and table 6.2.2.5-1.

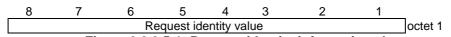


Figure 6.2.2.5-1: Request identity information element

Table 6.2.2.5-1: Request identity information element

6.2.2.6 Padding

The purpose of the Padding information element is to extend the PMFP message to length requested by upper layers.

The Padding information information element is coded as shown in figure 6.2.2.6-1.

The Padding information is a type 6 information element with a minimum length of 3 octets.

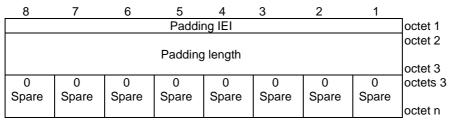


Figure 6.2.2.6-1: Padding information element

6.3 Encoding of 3GPP IEEE MAC based protocol family

Ethertype of the 3GPP IEEE MAC based protocol family is XYZ.

Editor's note: ethertype of the 3GPP IEEE MAC based protocol family will be assigned by IEEE.

The MAC client data field of a MAC frame as specified in IEEE 802.3 [12] with the length/type field set to the ethertype of the 3GPP IEEE MAC based protocol family contains a 3GPP IEEE MAC based protocol family envelope. The 3GPP IEEE MAC based protocol family envelope is encoded as shown in figure 6.3-1 and table 6.3-1.

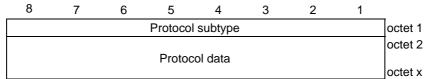


Figure 6.3-1: 3GPP IEEE MAC based protocol family envelope

Table 6.3-1: 3GPP IEEE MAC based protocol family envelope

7 List of system parameters

7.1 General

The description of timers in the tables of clause 7 should be considered a brief summary. The precise details are found in clause 5, which should be considered the definitive descriptions.

7.2 Timers of performance measurement function (PMF) protocol (PMFP)

Timers of PMFP are shown in table 7.2-1 and table 7.2-2.

Table 7.2-1: Timers of PMFP - UE side

TIMER NUM.	TIMER VALUE	CAUSE OF START	NORMAL STOP	ON THE 1 st , 2 nd , 3 rd , 4 th EXPIRY (NOTE 1)
Tx	TBD	Transmission of the first PMFP ECHO REQUEST message	A PMFP ECHO RESPONSE message received for each sent PMFP ECHO REQUEST message	Abort of the procedure.
Tz	TBD	Transmission of PMFP ACCESS REPORT message	ACKNOWLEDGEM	Retransmission of PMFP ACCESS REPORT message
NOTE 1: T	ypically, the	procedures are aborted on the fift	h expiry of the relevar	nt timer. Exceptions

are described in the corresponding procedure description.

Table 7.2-2: Timers of PMFP - UPF side

TIMER NUM.	TIMER VALUE	CAUSE OF START	NORMAL STOP	ON THE 1 st , 2 nd , 3 rd , 4 th EXPIRY (NOTE 1)
Ту	NOTE 2	Transmission of the first PMFP ECHO REQUEST message		Abort of the procedure.

NOTE 1: Typically, the procedures are aborted on the fifth expiry of the relevant timer. Exceptions are described in the corresponding procedure description.

NOTE 2: The value of this timer is network dependent.

8 Handling of unknown, unforeseen, and erroneous PMFP data

8.1 General

The procedures specified in the clause apply to those messages which pass the checks described in this clause.

This clause also specifies procedures for the handling of unknown, unforeseen, and erroneous PMFP data by the receiving entity. These procedures are called "error handling procedures", but in addition to providing recovery mechanisms for error situations they define a compatibility mechanism for future extensions of the PMFP.

Clauses 8.1 to 8.8 shall be applied in order of precedence.

Detailed error handling procedures in the network are implementation dependent and may vary from PLMN to PLMN. However, when extensions of PMFP are developed, networks are assumed to have the error handling which is indicated in this clause as mandatory ("shall") and that is indicated as strongly recommended ("should").

Also, the error handling of the network is only considered as mandatory or strongly recommended when certain thresholds for errors are not reached during a dedicated connection.

For definition of semantical and syntactical errors see 3GPP TS 24.007 [13], clause 11.4.2.

8.2 Message too short or too long

8.2.1 Message too short

When a message is received that is too short to contain a complete message type information element, that message shall be ignored, c.f. 3GPP TS 24.007 [13].

8.2.2 Message too long

The maximum size of a PMFP message is 65535 octets.

8.3 Unknown or unforeseen extended procedure transaction identity (EPTI)

8.3.1 Extended procedure transaction identity (EPTI)

The following network procedures shall apply for handling an unknown, erroneous, or unforeseen EPTI received in a PMFP message:

a) In case the network receives a PMFP ECHO RESPONSE message in which the EPTI value does not match any EPTI in use, the network shall ignore the PMFP message.

The following UE procedures shall apply for handling an unknown, erroneous, or unforeseen EPTI received in a PMFP message:

a) In case the UE receives a PMFP ECHO RESPONSE message or a PMFP ACKNOWLEDGEMENT message in which the EPTI value does not match any EPTI in use, the UE shall ignore the PMFP message.

8.4 Unknown or unforeseen message type

If the UE or the network receives a PMFP message with message type not defined for the PMFP or not implemented by the receiver, it shall ignore the PMFP message.

NOTE: A message type not defined for the PMFP in the given direction is regarded by the receiver as a message type not defined for the PMFP, see 3GPP TS 24.007 [13].

If the UE receives a message not compatible with the PMFP state, the UE shall ignore the PMFP message.

If the network receives a message not compatible with the PMFP state, the network actions are implementation dependent.

8.5 Non-semantical mandatory information element errors

8.5.1 Common procedures

When on receipt of a message,

- a) an "imperative message part" error; or
- b) a "missing mandatory IE" error;

is diagnosed or when a message containing:

- a) a syntactically incorrect mandatory IE;
- b) an IE unknown in the message, but encoded as "comprehension required" (see 3GPP TS 24.007 [13]); or
- c) an out of sequence IE encoded as "comprehension required" (see 3GPP TS 24.007 [13]) is received;

the UE shall ignore the PMFP message and the network shall:

- a) try to treat the message (the exact further actions are implementation dependent); or
- b) ignore the message.

8.6 Unknown and unforeseen IEs in the non-imperative message part

8.6.1 IEIs unknown in the message

The UE shall ignore all IEs unknown in a message which are not encoded as "comprehension required" (see 3GPP TS 24.007 [13]).

The network shall take the same approach.

8.6.2 Out of sequence IEs

The UE shall ignore all out of sequence IEs in a message which are not encoded as "comprehension required" (see 3GPP TS 24.007 [13]).

The network should take the same approach.

8.6.3 Repeated IEs

If an information element with format T, TV, TLV, or TLV-E is repeated in a message in which repetition of the information element is not specified in clause 6.2.1, the UE shall handle only the contents of the information element appearing first and shall ignore all subsequent repetitions of the information element. When repetition of information elements is specified, the UE shall handle only the contents of specified repeated information elements. If the limit on repetition of information elements is exceeded, the UE shall handle the contents of information elements appearing first up to the limit of repetitions and shall ignore all subsequent repetitions of the information element.

The network should follow the same procedures.

8.7 Non-imperative message part errors

8.7.1 General

This category includes:

- a) syntactically incorrect optional IEs; and
- b) conditional IE errors.

8.7.2 Syntactically incorrect optional IEs

The UE shall treat all optional IEs that are syntactically incorrect in a message as not present in the message.

The network shall take the same approach.

8.7.3 Conditional IE errors

NOTE: In this release of specification, there are no conditional IEs.

When upon receipt of a PMFP message the UE diagnoses a "missing conditional IE" error or an "unexpected conditional IE" error, or when it receives a PMFP message containing at least one syntactically incorrect conditional IE, the UE shall ignore the message.

When the network receives a message and diagnoses a "missing conditional IE" error or an "unexpected conditional IE" error or when it receives a message containing at least one syntactically incorrect conditional IE, the network shall either:

- a) try to treat the message (the exact further actions are implementation dependent); or
- b) ignore the message.

8.8 Messages with semantically incorrect contents

When a message with semantically incorrect contents is received, the UE shall perform the foreseen reactions of the procedural part of clause 5.3. If, however no such reactions are specified, the UE shall ignore the message.

The network should follow the same procedure.

Annex A (informative): Registration templates

IEEE registration templates A.1

A.1.1 IEEE registration templates for ethertype values

based protocol family	: IVIA
Editor's note: MCC is requested to apply in IEEE-RA for allocation of an ethertype value according to this	templa
Registration URL:	
http://standards.ieee.org/develop/regauth/ethertype/index.html	
Registry:	
ethertype	
Detailed description:	
This application requests allocation of an ethertype value for 3GPP IEEE MAC based protocol family, as spec IEEE 802 [11].	rified in
222	
Protocol description:	
The MAC client data field of a MAC frame as specified in IEEE 802.3 [12] of the 3GPP IEEE MAC based prefamily is formatted as follows:	otocol
- octet 1 of the MAC client data field is the protocol subtype field.	
- remaining octets of the MAC client data field are the protocol data field.	
The protocol subtype field set to one identifies the performance measurement function protocol specified in 3GPP TS 24.193.	
3GPP TS 24.193 enables assignment of further protocols to values of the protocol subtype field.	
Assignment quantity:	
1	
Additional comments:	
1) Does the company requesting the assignment have any existing Ethertype assignments?	
Yes.	

1a) Does the existing use of the original assignment support sub-typing?

No.

- 1b) Does the current applicant know who is currently responsible for maintenance of the previously assigned Ethertype? Yes.
- 1c) Has the company considered using sub-typing of the older Ethertype for the new use under application?

Yes. Sub-typing of the older Ethertype for the new use under application is not possible.

1d) Given the above, why is a new Ethertype needed?

See detailed description for the new use under application.

2) Has the new protocol been developed and tested in accordance with clause 9 and especially clause 9.2.3 and Figure 12 of IEEE Std 802-2014 [11], IEEE Standard for Local and Metropolitan Area Networks: Overview and Architecture?

The 3GPP IEEE MAC based protocol family has been developed as follows:

- the first octet of the MAC client data field of a MAC frame as specified in IEEE 802.3 [12] of the 3GPP IEEE MAC based protocol family contains the protocol subtype field.
- the MAC client data field of the MAC frame of the 3GPP IEEE MAC based protocol family does not contain a protocol version field. If a protocol identified by an existing protocol subtype field value is modified in a backward-compatible way, there is no need to indicate a protocol version. If a protocol identified by an existing protocol subtype field value needs to be modified in a backward-incompatible way, a new protocol subtype field value will be assigned to the modified protocol.

The 3GPP IEEE MAC based protocol family has not been tested.

3) Have the full provisions of Figure 12 for the "Protocol identification field" in the prototype protocol been preserved in the final version of the protocol for which the new EtherType is being requested?

The first octet of the MAC client data field of a MAC frame as specified in IEEE 802.3 [12] of the 3GPP IEEE MAC based protocol family contains the protocol subtype field.

The MAC client data field of the MAC frame of the 3GPP IEEE MAC based protocol family does not contain a protocol version field. If a protocol identified by an existing protocol subtype field value is modified in a backward-compatible way, there is no need to indicate a protocol version. If a protocol identified by an existing protocol subtype field value needs to be modified in a backward-incompatible way, a new protocol subtype field value will be assigned to the modified protocol.

This is preserved in the final version.

4) What provisions have been made for maintaining and assigning sub-types going forward within your company? Please provide an example of the first 10 bytes/octets as an example.

3GPP TS 24.193 enables assignment of protocols to values of the protocol subtype field. A sending entity shall not set the protocol subtype field to a reserved value. A receiving entity shall ignore the MAC client data field, if the protocol

subtype field is set to a reserved value. 3GPP TS 24.193 so far contains an assignment for the performance measurement function to value one of the protocol subtype field.

For the performance measurement function protocol:

- value of octet 1 of the MAC client data field is set to one.
- value of octet 2 of the MAC client data field is set to the message type of the performance measurement function protocol.
- value of octet 3 and octet 4 of the MAC client data field is set to the extended procedure transaction identity of the performance measurement function protocol, enabling distinguishing of procedures running in parallel.
- values of octet 5 and later octets of the MAC client data field depend on the message type of the performance measurement function protocol.

Annex B (informative): Change history

Date	Meeting	TDoc	CR	Rev	Cat	Change history Subject/Comment	New
Date	Wiceting	1000	CIX	IVEA	Cat	oubject comment	version
2019-02	CT1#115					TS skeleton and scope are provided by C1-191625 and C1-191704 respectively.	0.0.0
2019-04	CT1#116					Includes the following contributions agreed by CT1 at CT1#116: C1-192468, C1-192471, C1-192472.	0.1.0
2019-05	CT1#117					Includes the following contributions agreed by CT1 at CT1#117: C1-193488, C1-193489, C1-193769, C1-193770.	0.2.0
2019-09	CT1#119					Includes the following contributions agreed by CT1 at CT1#119: C1-194735, C1-194736, C1-194738, C1-194740, C1-194934, C1-194938, C1-194941, C1-194975, C1-195119, C1-195123, C1-195161, C1-195162.	0.3.0
2019-10	CT1#120					Includes the following contributions agreed by CT1 at CT1#120: C1-196191, C1-196712, C1-196746, C1-196748, C1-196749, C1-196750, C1-196751, C1-196752, C1-196753, C1-196947.	0.4.0
2019-11	CT1#121					Includes the following contributions agreed by CT1 at CT1#121: C1-198239, C1-198709, C1-198712, C1-198713, C1-198714, C1-199036.	0.5.0
2019-12	CT#86	CP-193150				Presentation for information at TSG CT	1.0.0
2019-12	CT#86	CP-192387				A title updated	1.0.1
2020-03	CT1#122- e					Includes the following contributions agreed by CT1 at CT1#122-e: C1-200461, C1-200630, C1-200789, C1-200807, C1-200928, C1-200929, C1-200988, C1-201000, C1-201009, C1-201014, C1-201036.	1.1.0
2020-05	CT1#123- e					Includes the following contributions agreed by CT1 at CT1#123-e: C1-202124, C1-202533, C1-202642, C1-202661, C1-202679, C1-202818.	1.2.0
2020-06	CT1#124- e					Includes the following contributions agreed by CT1 at CT1#124-e: C1-203050, C1-203051, C1-203075, C1-203076, C1-203077, C1-204002, C1-204015, C1-204016.	1.3.0
2020-06	CT#88e	CP-201173				Presentation for approval at TSG CT	2.0.0
2020-07	CT#88e					Version 16.0.0 created after approval	16.0.0

History

	Document history							
V16.0.0	July 2020	Publication						