# ETSI TS 124 193 V16.2.0 (2021-01)



5G; 5G System; Access Traffic Steering, Switching and Splitting (ATSSS); Stage 3 (3GPP TS 24.193 version 16.2.0 Release 16)



Reference

RTS/TSGC-0124193vg20

Keywords

5G

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## Foreword

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- x the first digit:
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  - 2 presented to TSG for approval;
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- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, certain modal verbs have the following meanings:

- shall indicates a mandatory requirement to do something
- shall not indicates an interdiction (prohibition) to do something
- NOTE 1: The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.
- NOTE 2: The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.
- should indicates a recommendation to do something
- should not indicates a recommendation not to do something
- may indicates permission to do something
- **need not** indicates permission not to do something
- NOTE 3: The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.
- **can** indicates that something is possible
- cannot indicates that something is impossible
- NOTE 4: The constructions "can" and "cannot" shall not to be used as substitutes for "may" and "need not".
- will indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
- will not indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
- **might** indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

**might not** indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

- is (or any other verb in the indicative mood) indicates a statement of fact
- is not (or any other negative verb in the indicative mood) indicates a statement of fact

NOTE 5: The constructions "is" and "is not" do not indicate requirements.

## 1 Scope

The present document specifies the procedures for access traffic steering, switching and splitting (ATSSS) between the UE and the network across one 3GPP access network and one non-3GPP access network as specified in 3GPP TS 23.501 [2], 3GPP 23.502 [3], and 3GPP TS 23.316 [4].

The ATSSS can be supported over the access network where an MA PDU session can be established. The type of access network includes NG-RAN and untrusted non-3GPP access network as specified in 3GPP TS 23.501 [2], trusted non-3GPP access network, wireline access network and as specified in 3GPP TS 23.316 [4]. An MA PDU session established by the 5G-RG can also simultaneously use one 3GPP access network connected to EPC and one wireline access network connected to 5GCN as specified in 3GPP TS 23.316 [4].

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".
- [3] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".
- [4] 3GPP TS 23.316: "Wireless and wireline convergence access support for the 5G System (5GS)".
- [5] 3GPP TS 24.526: "UE policies for 5G System (5GS); Stage 3".
- [6] 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".
- [7] 3GPP TS 24.502: "Access to the 3GPP 5G System (5GS) via non-3GPP access networks; Stage 3".
- [8] IETF RFC 8684: "TCP Extensions for Multipath Operation with Multiple Addresses".
- [9] IETF RFC 8803: "0-RTT TCP Convert Protocol".
- [10] 3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".
- [11] IEEE Std 802-2014: "IEEE Standard for Local and Metropolitan Area Networks: Overview and Architecture".
- [12] IEEE 802.3-2018: "IEEE Standard for Ethernet".
- [13] 3GPP TS 24.007: "Mobile radio interface signalling layer 3; General aspects".
- [14] 3GPP TS 33.501: "Security architecture and procedures for 5G system".

## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.501 [2] apply:

#### MA PDU session Measurement assistance information

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

| 5G-RG    | 5G Residential Gateway                        |
|----------|---|
| ATSSS    | Access Traffic Steering, Switching, Splitting |
| ATSSS-LL | ATSSS Low-Layer                               |
| MA PDU   | Multi-Access PDU                              |
| MPTCP    | Multi-Path TCP Protocol                       |
| PDU      | Protocol Data Unit                            |
| PMF      | Performance Measurement Function              |
| RTT      | Round Trip Time                               |
| SA PDU   | Single-Access PDU                             |
| SDF      | Service Data Flow                             |
| UPF      | User Plane Function                           |
| URSP     | UE Route Selection Policy                     |
|          | •   |

## 4 General description

## 4.1 Introduction

ATSSS is an optional feature that can be supported by the UE and the 5GC network to route data traffic across 3GPP access and non-3GPP access networks. An ATSSS capable UE establishes an MA PDU session supporting multi-access connectivity over 3GPP access and non-3GPP access networks as described in clause 4.2. The ATSSS capable UE can support ATSSS-LL and/or MPTCP steering functionality as described in clause 4.3, with associated steering modes, i.e. active-standby, smallest delay, load balancing, priority based. The ATSSS capable UE indicates the steering functionality and associated steering modes to the 5GC network.

When the ATSSS capable UE registers to a registration area, it receives an indication from the AMF if the network supports the ATSSS. The procedure for how the AMF indicates the UE about its ATSSS support is specified in 3GPP TS 24.501 [6]. The UE capable ATSSS and the network supporting ATSSS exchange access performance measurements as described in clause 4.4. Clause 4.5 describes the traffic distribution over 3GPP access and non-3GPP access networks. Clause 4.6 provides a description for interworking with EPS network. Clause 4.7 describes ATSSS when 5G-RG is interconnected with EPS.

The architecture reference model for ATSSS support is described in clause 4.2.10 of 3GPP TS 23.501 [2].

## 4.2 Multi-access PDU session

A PDU session supporting a multi-access PDU connectivity service is referred to as multi-access PDU (MA PDU) session. An MA PDU session is a PDU session which can use one 3GPP access network or one non-3GPP access

network at a time, or simultaneously one 3GPP access network and one non-3GPP access network as defined in 3GPP TS 23.501 [2].

An MA PDU session can be established when the UE is registered to the same PLMN over 3GPP access network and non-3GPP access network or registered to different PLMNs over 3GPP access network and non-3GPP access network and non-3GPP access network and non-3GPP access network and non-3GPP access network or only registered to a PLMN over both 3GPP access network and non-3GPP access network, or only registered to one access network. Therefore, at any given time, the MA PDU session can have user-plane resources established on both 3GPP access and non-3GPP access, or on one access only (either 3GPP access or non-3GPP access), or can have no user-plane resources established on any access.

An ATSSS capable UE can establish an MA PDU session based on the URSP rules as defined in 3GPP TS 24.526 [5].

The following PDU session types are defined for an MA PDU session: IPv4, IPv6, IPv4v6 and Ethernet.

- NOTE 1: The unstructured PDU session type is not supported in this release of the specification.
- NOTE 2: An MA PDU session using IPv6 multi-homing or uplink classifier is not specified in this release of the specification.

## 4.3 Steering functionalities

An ATSSS capable UE can use a steering functionality to steer, switch and split the UL traffic across the 3GPP access network and the non-3GPP access network as defined in clause 5.32.6 of 3GPP TS 23.501 [2]. An ATSSS capable network can use the corresponding steering functionality for the DL traffic.

The UE and the network can support one or more of the following steering functionalities:

- a) the MPTCP steering functionality operates above the IP layer. The UE and an associated MPTCP proxy functionality in the UPF can communicate by using the MPTCP protocol; and
- b) the ATSSS-LL steering functionality operates below the IP layer as a data switching function.

## 4.4 Support of access performance measurements

The ATSSS capable UE can perform access performance measurements to decide how to distribute traffic over 3GPP access and non-3GPP access.

An ATSSS capable UE receives measurement assistance information from the network during the PDU session establishment procedure for an MA PDU session as described in clause 5.32.5 of 3GPP TS 23.501 [2]. The measurement assistance information (MAI) can contain the addressing information of the PMF in the UPF, as well as the indicator on whether access availability/unavailability reports need to be sent to the network. The encoding of the measurement assistance information is specified in clause 6.1.5.

An ATSSS capable UE that supports the MPTCP steering functionality can use the measurements available at the MPTCP layer.

The following PMF protocol messages can be exchanged between the PMF in the UE and the PMF in the UPF:

- a) messages for RTT measurements, only applicable for the ATSSS-LL steering functionality; or
- b) messages for reporting access availability/unavailability by the UE to the UPF.

An ATSSS capable UE does not apply the ATSSS rules to the PMF protocol messages.

The performance measurement function protocol procedures are specified in clause 5. 4.3 and 5.4.4 including the procedures for:

- a) UE-initiated RTT measurement; and
- b) Network-initiated RTT measurement.

The access availability/unavailability procedures are specified in clause 5.4.5.

# 4.5 Distribution of traffic across 3GPP access and non-3GPP access networks

The UE can receive ATSSS rules during the PDU session establishment procedure for an MA PDU session or networkrequested PDU session modification procedure, and the UE can distribute the UL traffic except for the PMF protocol messages across the 3GPP access network and the non-3GPP access network according to the ATSSS rules and other local conditions (such as network interface availability, signal loss conditions, user preferences, etc.).

NOTE: On the network side, the SMF configures relevant N4 rules according to the ATSSS control information provided by the PCF for the UPF to distribute DL traffic across two access networks.

## 4.6 EPS interworking

In this release of specification, with the exception of an MA PDU session established as specified in clause 4.7, the MA PDU session is established in 5GS.

In the network supporting N26 interface:

- a) if the UE established an MA PDU session over non-3GPP access only, no EPS bearer identity can be assigned to any QoS flow of the MA PDU session as specified in 3GPP TS 23.502 [3];
- b) if the UE established an MA PDU session over 3GPP access and non-3GPP access and the user plane of the MA PDU session over 3GPP access is released, the EPS bearer identity assigned for the MA PDU session can be revoked as specified in 3GPP TS 23.502 [3];
- c) for an inter-system change from N1 mode to S1 mode:
  - 1) if the UE established an MA PDU session over 3GPP access only, the UE follows the procedure as specified in clause 6.1.4.1 of 3GPP TS 24.501 [6]; or
  - 2) if the UE established an MA PDU session over 3GPP access and non-3GPP access, the UE follows the procedure as specified in clause 6.1.4.1 of 3GPP TS 24.501 [6], and
    - A) if the MA PDU session is transferred to EPS as a PDN connection, the SMF can initiate the network-requested PDU session release procedure over non-3GPP access as specified in clause 6.3.3.2 of 3GPP TS 24.501 [6] or perform a local release of the MA PDU session. The UE performs a local release of locally releases the MA PDU session over 3GPP access and non-3GPP access; or
- NOTE 1: If the UE receives from the network a PDU SESSION RELEASE COMMAND message which indicates to release the MA PDU session over non-3GPP access and the UE has already performed or is performing a local release of the MA PDU session, the error handling as specified in clause 6.3.3.6 of 3GPP TS 24.501 [6] is applied.
- NOTE 2: The QoS flow(s) with EBI assigned over non-3GPP access is also transferred to the corresponding PDN connection.
  - B) if the MA PDU session is not transferred to EPS as a PDN connection and the SMF decides to move the traffic of the MA PDU session from 3GPP access to non-3GPP access, the SMF can initiate the network-requested PDU session modification procedure as specified in clause 6.3.2.2 of 3GPP TS 24.501 [6]; and
- d) for an inter-system change from S1 mode to N1 mode, if the UE requests an MA PDU session or the related URSP or UE local configuration does not mandate that the PDU session is established over a single access when transferring the PDN connection to 3GPP access, the PDN connection can be converted by the network to an MA PDU session via the UE-requested PDU session modification procedure (see clause 5.2.5).

In the network not supporting N26 interface:

a) for an inter-system change from N1 mode to S1 mode, if the UE intends to transfer the MA PDU session to EPS, the UE follows the procedure as specified in clause 6.1.4.2 of 3GPP TS 24.501 [6] and performs a local release of the MA PDU session over 3GPP access and non-3GPP access. The SMF can initiate the network-requested PDU session release procedure over non-3GPP access as specified in clause 6.3.3.2 of 3GPP TS 24.501 [6] or perform a local release of the MA PDU session; and

- NOTE 3: If the UE receives from the network a PDU SESSION RELEASE COMMAND message which indicates to release the MA PDU session over non-3GPP access and the UE has already performed or is performing a local release of the MA PDU session, the error handling as specified in clause 6.3.3.6 of 3GPP TS 24.501 [6] is applied.
- b) for an inter-system change from S1 mode to N1 mode, if the related URSP or UE local configuration does not mandate that the PDU session is established over a single access, the UE can initiate the UE-requested PDU session establishment procedure to request an MA PDU session (see clause 5.2.1) or to allow the PDU session to be upgraded to an MA PDU session (see clause 5.2.6) when transferring the PDN connection to 5GS.

## 4.7 MA PDU session when 5G-RG is connected to EPS

A 5G-RG can connect to both 5GCN and EPC as specified in clause 4.12 of 3GPP TS 23.316 [4].

When establishing a PDN connection over EPS, the 5G-RG can indicate that the PDN connection is to be used as a user-plane resource associated with:

- a) a new MA PDU session; or
- b) an existing MA PDU session established in wireline access connected to 5GCN.

In the network supporting N26 interface, for an inter-system change from N1 mode to S1 mode and from S1 mode to N1 mode, the MA PDU session established by the 5G-RG is handled as specified in clause 6.1.4.1 of 3GPP TS 24.501 [6].

In the network not supporting N26 interface, for an inter-system change from N1 mode to S1 mode and from S1 mode to N1 mode, the MA PDU session established by the 5G-RG is handled as specified in clause 6.1.4.2 of 3GPP TS 24.501 [6].

## 5 ATSSS control procedures

## 5.1 Introduction

The ATSSS control procedures include:

- a) handling of multi-access PDU connectivity service procedures (see clause 5.2);
- b) handling of hybrid access with multi-access PDU connectivity (see clause 5.3); and
- c) access performance measurement procedures (see clause 5.4).

In clause 5.2, handling of multi-access PDU connectivity service procedures include following management procedures:

- a) activation of multi-access PDU connectivity service;
- b) re-activation of user-plane resources;
- c) release of user-plane resources;
- d) updating ATSSS parameters;
- e) converting PDU session transferred from EPS to MA PDU session; and
- f) PDU session establishment with network modification to MA PDU session.

In clause 5.3, the multi-access PDU connectivity procedures over E-UTRAN and wireline access network are specified. In this release of the specification, the procedures are applied for 5G-RG only.

In clause 5.4, access performance measurement procedures are performed by exchanges of PMF protocol messages between the PMF in a UE and the PMF in the UPF over the user plane. For MA PDU sessions of IPv4, IPv6, or IPv4v6 PDU session type, the PMF protocol messages are transported using UDP. For MA PDU sessions of Ethernet PDU

session type, the PMF protocol messages are transported using Ethernet frames. The protocol stacks of the PMF protocol are specified in clause 5.32.5.4 of 3GPP TS 23.501 [2].

## 5.2 Multi-access PDU connectivity service

#### 5.2.1 Activation of multi-access PDU connectivity service

Activating multi-access PDU connectivity service refers to the establishment of user-plane resources on both 3GPP access and non-3GPP access:

- a) if the UE is registered over both 3GPP access and non-3GPP access in the same PLMN, the UE shall initiate the UE-requested PDU session establishment procedure as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over a selected access, either 3GPP access or non-3GPP access. Over which access to initiate this UE-requested PDU session establishment procedure is UE implementation specific. When the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6], the UE shall consider that the MA PDU session has been established and the user plane resources are successfully established on the selected access. When the user plane resources are established user plane IPsec SA in untrusted non-3GPP access), the UE shall consider the user plane resources are established on both;
- NOTE: If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE and determines, upon an implementation specific timer expiry, fails to receive user plane resources established on the access other than the selected access, the UE re-initiates the UE-requested PDU session establishment procedure over the access other than the selected access, in order to establish user plane resources on the access other than the selected access.
- b) if the UE is registered over both 3GPP access and non-3GPP access in different PLMNs, the UE shall initiate the UE-requested PDU session establishment procedure as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over 3GPP access and non-3GPP access sequentially. Over which access to first initiate the UE-requested PDU session establishment procedure is UE implementation specific. When the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the selected access, the UE shall consider that the MA PDU session has been established and the user plane resources of the MA PDU session on this access are successfully established. The UE shall then initiate the UE-requested PDU session establishment procedure with the same PDU session ID, as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over the other access, in order to establish user plane resources on the other access for the MA PDU session. If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access, the UE shall consider that the user plane resources of the MA PDU session have been established on both 3GPP access and non-3GPP access; or
- c) if the UE is registered to a PLMN over only one access, either 3GPP access or non-3GPP access, the UE shall initiate the UE-requested PDU session establishment procedure as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over this access. When the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the access, the UE shall consider that the MA PDU session has been established and the user plane resources of the MA PDU session on this access are successfully established. When the UE at a later point in time registers over the other access, either in the same PLMN or in a different PLMN, the UE shall initiate the UE-requested PDU session establishment procedure with the same PDU session ID as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over the other access in order to establish user plane resources on the other access for the MA PDU session. If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access, the UE shall consider that the user plane resources of the MA PDU session. If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access, the UE shall consider that the user plane resources of the MA PDU session. If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access, the UE shall consider that the user plane resources of the MA PDU session have been established over both 3GPP access and non-3GPP access.

If the UE is in the non-allowed area, the UE shall not initiate a PDU session establishment procedure for an MA PDU session over the 3GPP access. It may still initiate a PDU session establishment procedure for an MA PDU session over the non-3GPP access other than wireline access network, however the network shall not establish user plane resources for the 3GPP access if the UE is in the non-allowed area. The handling of non-allowed area when using wireline access is described in 3GPP TS 23.316 [4].

#### 5.2.2 Re-activation of user-plane resources

In order to re-establish the user-plane resources of an MA PDU session:

- a) if the UE requests re-establishment of the user-plane resources of the MA PDU session over 3GPP access which were released, the UE shall include the Uplink data status IE indicating the related MA PDU session,
  - in the REGISTRATION REQUEST message when the registration procedure for mobility and periodic registration update is initiated by the UE over 3GPP access as specified in clause 5.5.1.3.2 of 3GPP TS 24.501 [6]; or
  - 2) in the SERVICE REQUEST message when the service request procedure initiated by the UE over 3GPP access as specified in clause 5.6.1.2 of 3GPP TS 24.501 [6];
- b) if the UE requests re-establishment of the user-plane resources of the MA PDU session over non-3GPP access which were released and the UE is in 5GMM-CONNECTED mode over non-3GPP access, the UE shall include the Uplink data status IE indicating the related MA PDU session,
  - 1) in the REGISTRATION REQUEST message when the registration procedure for mobility registration update is initiated by the UE over non-3GPP access as specified in clause 5.5.1.3.2 of 3GPP TS 24.501 [6]; or
  - 2) in the SERVICE REQUEST message when the service request procedure initiated by the UE over non-3GPP access as specified in clause 5.6.1.2 of 3GPP TS 24.501 [6];
- c) if the UE requests re-establishment of the user-plane resources of the MA PDU session over non-3GPP access which were released and the UE is in 5GMM-IDLE mode over non-3GPP access,
  - for untrusted non-3GPP access, the UE shall perform the procedure as specified in clause 7.3 of 3GPP TS 24.502 [7] and include the Uplink data status IE indicating the related MA PDU session in the SERVICE REQUEST message when the service request procedure initiated by the UE over non-3GPP access as specified in clause 5.6.1.2 of 3GPP TS 24.501 [6]; or
  - 2) for trusted non-3GPP access, the UE shall perform the procedures as specified in clause 7.3A of 3GPP TS 24.502 [7] and include the Uplink data status IE indicating the related MA PDU session in the SERVICE REQUEST message when the service request procedure initiated by the UE over non-3GPP access as specified in clause 5.6.1.2 of 3GPP TS 24.501 [6]; and
- d) if the network requests re-establishment of the user-plane resources of the MA PDU session over 3GPP access which were released, the UE in 5GMM-IDLE mode over 3GPP access shall initiate the service request procedure by sending a SERVICE REQUEST message to the AMF upon receipt of the paging request as specified in clause 5.6.1.2 of 3GPP TS 24.501 [6].
- NOTE: When the UE is in 5GMM-IDLE mode over non-3GPP access, the network does not request the UE to reestablish the user-plane resources of the MA PDU session over non-3GPP access which were released as specified in 3GPP TS 23.502 [3].

If the UE is in the non-allowed area, the UE shall not request re-establishment of the user plane resources of the MA PDU session for the 3GPP access. It may still request re-establishment of the user plane resources of the MA PDU session for the non-3GPP access.

#### 5.2.3 Release of user-plane resources

In order to release the MA PDU session:

- a) the SMF shall initiate the network-requested PDU session release procedure as specified in clause 6.3.3.2 of 3GPP TS 24.501 [6] over 3GPP access or non-3GPP access, by sending the PDU SESSION RELEASE COMMAND message to the UE. Over which access to initiate this network-requested PDU session release procedure is SMF implementation specific; or
- b) the UE shall initiate the UE-requested PDU session release procedure as specified in clause 6.4.3.2 of 3GPP TS 24.501 [6] over 3GPP access or non-3GPP access by sending the PDU SESSION RELEASE REQUEST message to the network. Over which access to initiate this UE-requested PDU session release procedure is UE implementation specific.

When the UE receives the PDU SESSION RELEASE COMMAND message, the UE shall behave as specified in 3GPP TS 24.501 [6] clause 6.3.3.3.

In order to release the MA PDU session's user-plane resources on either 3GPP access or non-3GPP access, the SMF shall initiate the network-requested PDU session release procedure as specified in clause 6.3.3.2 of 3GPP TS 24.501 [6] over 3GPP access or non-3GPP access, by sending the PDU SESSION RELEASE COMMAND message with the Access type IE indicating of which access the user-plane resources are released to the UE, e.g. when the AMF indicates to the SMF that the UE is deregistered over an access or when S-NSSAI of the MA PDU session is not in the Allowed NSSAI over an access. Over which access to initiate this network-requested PDU session release procedure is SMF implementation specific. When the UE receives the PDU SESSION RELEASE COMMAND message, the UE shall behave as specified in 3GPP TS 24.501 [6] clause 6.3.3.3, and consider that the user plane resources of the MA PDU session have been released on the access indicated in the Access type IE.

## 5.2.4 Updating ATSSS parameters

An SMF can update ATSSS parameters, e.g. the ATSSS rules, according to the procedure for the network-requested PDU session modification as specified in clause 6.3.2 of 3GPP TS 24.501 [6] over 3GPP access network or non-3GPP access network. The SMF may change the access network over which the traffic of the GBR QoS flow is transmitted by updating the UE's ATSSS rules.

## 5.2.5 Converting PDU session transferred from EPS to MA PDU session

When an ATSSS capable UE has transferred a PDN connection from S1 mode to N1 mode in the network supporting N26 interface and the related URSP or UE local configuration does not mandate the PDU session shall be established over a single access:

- a) if the UE is registered over both 3GPP access and non-3GPP access in the same PLMN, and the S-NSSAI associated with the PDU session over 3GPP access is included in the allowed NSSAI of non-3GPP access, the UE may initiate the UE-requested PDU session modification procedure by sending the PDU SESSION MODIFICATION REQUEST message including 5GSM capability IE over 3GPP access as specified in clause 6.4.2.2 of 3GPP TS 24.501 [6]. The UE may set the Request type IE to either:
  - 1) "modification request" and include the MA PDU session information IE set to "MA PDU session network upgrade allowed" as specified in clause 9.11.3.63 of 3GPP TS 24.501 [6]; or
  - 2) "MA PDU request"

in the UL NAS TRANSPORT message as specified in clause 8.2.10 of 3GPP TS 24.501 [6]. When the UE receives the PDU SESSION MODIFICATION COMMAND message including the ATSSS container IE as specified in clause 6.4.2.3 of 3GPP TS 24.501 [6], the UE shall consider that the requested PDU session was converted by the network to an MA PDU session and the user plane resources are successfully established on 3GPP access. When the user plane resources are established on the non-3GPP access (e.g., received established user plane IPsec SA in untrusted non-3GPP access), the UE shall consider the user plane resources are established on both accesses;

- NOTE: If the UE receives the PDU SESSION MODIFICATION COMMAND message including the ATSSS container IE and determines, upon an implementation specific timer expiry, fails to receive user plane resources established on the non-3GPP access, the UE initiates the UE-requested PDU session establishment procedure over the non-3GPP access, in order to establish user plane resources on the non-3GPP access.
- b) if the UE is registered over both 3GPP access and non-3GPP access in different PLMNs, the UE may initiate the UE-requested PDU session modification procedure by sending the PDU SESSION MODIFICATION REQUEST message including 5GSM capability IE over 3GPP access as specified in clause 6.4.2.2 of 3GPP TS 24.501 [6]. The UE may set the Request type IE to either:
  - 1) "modification request" and include the MA PDU session information IE set to "MA PDU session network upgrade allowed" as specified in clause 9.11.3.63 of 3GPP TS 24.501 [6]; or
  - 2) "MA PDU request"

in the UL NAS TRANSPORT message as specified in clause 8.2.10 of 3GPP TS 24.501 [6]. When the UE receives the PDU SESSION MODIFICATION COMMAND message including the ATSSS container IE as

specified in clause 6.4.2.3 of 3GPP TS 24.501 [6], the UE shall consider that the requested PDU session was converted by the network to an MA PDU session and the user plane resources are successfully established on 3GPP access. The UE shall then initiate the UE-requested PDU session establishment procedure with the same PDU session ID, as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over non-3GPP access, in order to establish user plane resources on the other access for the MA PDU session; or

- c) if the UE is registered over 3GPP access only, the UE may initiate the UE-requested PDU session modification procedure by sending the PDU SESSION MODIFICATION REQUEST message including 5GSM capability IE over 3GPP access as specified in clause 6.4.2.2 of 3GPP TS 24.501 [6], The UE may set the Request type IE to either:
  - 1) "modification request" and include the MA PDU session information IE set to "MA PDU session network upgrade allowed" as specified in clause 9.11.3.63 of 3GPP TS 24.501 [6]; or
  - 2) "MA PDU request"

in the UL NAS TRANSPORT message as specified in clause 8.2.10 of 3GPP TS 24.501 [6]. When the UE receives the PDU SESSION MODIFICATION COMMAND message including the ATSSS container IE as specified in clause 6.4.2.3 of 3GPP TS 24.501 [6], the UE shall consider that the requested PDU session was converted by the network to an MA PDU session and the user plane resources are successfully established on 3GPP access. When the UE at a later point in time registers over the non-3GPP access, either in the same PLMN or in a different PLMN, the UE shall initiate the UE-requested PDU session establishment procedure with the same PDU session ID as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over non-3GPP access in order to establish user plane resources on non-3GPP access for the MA PDU session.

## 5.2.6 PDU session establishment with network modification to MA PDU session

When an ATSSS capable UE establishes a new PDU session and the related URSP or UE local configuration does not mandate the PDU session shall be established over a single access:

- a) if the UE is registered over both 3GPP access and non-3GPP access in the same PLMN and the UE initiates the UE-requested PDU session establishment procedure over a selected access, either 3GPP access or non-3GPP access, the UE may include the MA PDU session information IE in the UL NAS TRANSPORT message and set the IE to "MA PDU session network upgrade is allowed" as specified in clause 9.11.3.63 of 3GPP TS 24.501 [6]. When the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6], the UE shall consider that the requested PDU session is established as an MA PDU session and the user plane resources are successfully established on the selected access. When the user plane resources are established on the access other than the selected access (e.g. received lower layer indication in 3GPP access or established user plane IPsec SA in untrusted non-3GPP access), the UE shall consider the user plane resources are successfully established on both accesses;
- NOTE: If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE and determines, upon an implementation specific timer expiry, fails to receive user plane resources established on the access other than the selected access, the UE re-initiates the UE-requested PDU session establishment procedure over the access other than the selected access, in order to establish user plane resources on the access other than the selected access.
- b) if the UE is registered over both 3GPP access and non-3GPP access in different PLMNs and the UE initiates the UE-requested PDU session establishment procedure over 3GPP access or non-3GPP access, the UE may include the MA PDU session information IE in the UL NAS TRANSPORT message and shall set the IE to "MA PDU session network upgrade is allowed" as specified in clause 9.11.3.63 of 3GPP TS 24.501 [6]. When the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the access, the UE shall consider that the requested PDU session is established as an MA PDU session establishment procedure with the same PDU session ID, as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over the other access, in order to establish user plane resources on the other access for the MA PDU session. If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over the other access, in order to establish user plane resources on the other access for the MA PDU session. If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access, in order to establish user plane resources on the other access for the MA PDU session. If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access, the UE shall consider that the user plane resources of the MA PDU session have been established on both 3GPP access and non-3GPP access; or

c) if the UE is registered to a PLMN over only one access, either 3GPP access or non-3GPP access, and the UE requests to establish a PDU session over this access, the UE may include the MA PDU session information IE in the UL NAS TRANSPORT message and shall set the IE to "MA PDU session network upgrade is allowed" as specified in clause 9.11.3.63 of 3GPP TS 24.501 [6]. When the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the access, the UE shall consider that the requested PDU session is established as an MA PDU session and the user plane resources are established on this access. When the UE at a later point in time registers over the other access, either in the same PLMN or in a different PLMN, the UE shall initiate the UE-requested PDU session establishment procedure with the same PDU session ID as specified in clause 6.4.1.2 of 3GPP TS 24.501 [6] over the other access in order to establish user plane resources on the other access for the MA PDU session. If the UE receives the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access for the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access for the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access, the PDU SESSION ESTABLISHMENT ACCEPT message including the ATSSS container IE as specified in clause 6.4.1.3 of 3GPP TS 24.501 [6] over the other access, the UE shall consider that the user plane resources of the MA PDU session have been established on both 3GPP access and non-3GPP access.

## 5.3 Hybrid access with multi-access PDU connectivity over E-UTRAN and wireline access network

#### 5.3.1 5G-RG establishing a PDN connection as a user-plane resource of an MA PDU session to be established

In order to establish a PDN connection as a user-plane resource of an MA PDU session to be established, the 5G-RG shall initiate the UE requested PDN connectivity procedure according to 3GPP TS 24.301 [10].

In the PDN CONNECTIVITY REQUEST message or, when applicable, in the ESM INFORMATION RESPONSE message, of the UE requested PDN connectivity procedure:

- a) the 5G-RG shall set the request type to "initial request" as specified in 3GPP TS 24.301 [10];
- NOTE: According to 3GPP TS 24.301 [10], a newly generated PDU session ID is included in the protocol configuration options IE or the extended protocol configuration options IE of the PDN CONNECTIVITY REQUEST message with the request type "initial request".
- b) the 5G-RG shall set the PDN Type IE to "IPv4", "IPv6" or "IPv4v6"; and
- c) in the protocol configuration options or extended protocol configuration options IE of the PDN CONNECTIVITY REQUEST message, the 5G-RG shall include the ATSSS request PCO parameter. In the ATSSS request PCO parameter:
  - if the 5G-RG supports ATSSS Low-Layer functionality with any steering mode as specified in clause 5.32.6 of 3GPP TS 23.501 [2], the 5G-RG shall set the ATSSS-ST field to "ATSSS Low-Layer functionality with any steering mode supported";
  - 2) if the 5G-RG supports MPTCP functionality with any steering mode and ATSSS-LL functionality with only active-standby steering mode as specified in clause 5.32.6 of 3GPP TS 23.501 [2], the 5G-RG shall set the ATSSS-ST field to "MPTCP functionality with any steering mode and ATSSS-LL functionality with only active-standby steering mode supported"; or
  - 3) if the 5G-RG supports MPTCP functionality with any steering mode and ATSSS-LL functionality with any steering mode as specified in clause 5.32.6 of 3GPP TS 23.501 [2], the 5G-RG shall set the ATSSS-ST field to "MPTCP functionality with any steering mode and ATSSS-LL functionality with any steering mode supported".

Upon receipt of an ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message of a default EPS bearer context activation procedure as a response to the PDN CONNECTIVITY REQUEST message as specified in 3GPP TS 24.301 [10], the ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message containing the extended protocol configuration options IE with the ATSSS response with the length of two octets PCO parameter:

a) the 5G-RG shall consider that the MA PDU session is established based on parameters from the default EPS bearer context of the PDN connection, as follows:

- 1) the PDN type of the default EPS bearer context shall be mapped to the PDU session type of the MA PDU session as follows:
  - i) if the PDN type is "IPv4", the PDU session type is set to "IPv4";
  - ii) if the PDN type is "IPv6", the PDU session type is set to "IPv6"; or
  - iii) if the PDN type is "IPv4v6", the PDU session type is set to "IPv4v6";
- 2) the PDN address of the default EPS bearer context shall be mapped to PDU address of the MA PDU session;
- 3) the APN of the default EPS bearer context shall be mapped to the DNN of the MA PDU session;
- the PDU session identity of the MA PDU session shall be set to the PDU session identity included by the UE in the Protocol configuration options IE or Extended protocol configuration options IE in the PDN CONNECTIVITY REQUEST message;
- 5) the S-NSSAI of the MA PDU session shall be set to the S-NSSAI included by the network in the Protocol configuration options IE or Extended protocol configuration options IE in the ACTIVATE DEFAULT EPS BEARER REQUEST message, if the PDN connection is a non-emergency PDN connection;
- 6) the SSC mode of the MA PDU session shall be set to "SSC mode 1"; and
- 7) state of the PDU session shall be set to PDU SESSION ACTIVE;

and that the PDN connection is established as a user-plane resource of the MA PDU session;

- b) if the network steering functionalities information is included in the ATSSS response with the length of two octets PCO parameter, the 5G-RG shall use the network steering functionalities information; and
- c) if the measurement assistance information is included in the ATSSS response with the length of two octets PCO parameter, the 5G-RG shall use the measurement assistance information.

Upon receipt of:

- a) a PDN CONNECTIVITY REJECT message as a response to the PDN CONNECTIVITY REQUEST message as specified in 3GPP TS 24.301 [10]; or
- b) an ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message of a default EPS bearer context activation procedure as a response to the PDN CONNECTIVITY REQUEST message as specified in 3GPP TS 24.301 [10] without the extended protocol configuration options IE containing the ATSSS response with the length of two octets PCO parameter;

the 5G-RG shall consider that the MA PDU session is not established and the PDN connection is not established as a user-plane resource of the MA PDU session.

#### 5.3.2 5G-RG establishing a PDN connection as a user-plane resource of an already established MA PDU session

In order to establish a PDN connection as a user-plane resource of an already established MA PDU session, the 5G-RG shall initiate the UE requested PDN connectivity procedure according to 3GPP TS 24.301 [10].

In the PDN CONNECTIVITY REQUEST message or, when applicable, in the ESM INFORMATION RESPONSE message, of the UE requested PDN connectivity procedure:

- a) the 5G-RG shall set the request type to "handover" as specified in 3GPP TS 24.301 [10];
- NOTE: According to 3GPP TS 24.301 [10], the PDU session ID of the already established MA PDU session is included in the protocol configuration options IE or the extended protocol configuration options IE of the PDN CONNECTIVITY REQUEST message with the request type "handover".
- b) the 5G-RG shall set the PDN Type IE to "IPv4", "IPv6" or "IPv4v6"; and
- c) in the protocol configuration options or extended protocol configuration options IE, the 5G-RG shall include the ATSSS request PCO parameter.

Upon receipt of the ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message of a default EPS bearer context activation procedure as a response to the PDN CONNECTIVITY REQUEST message as specified in 3GPP TS 24.301 [10], the 5G-RG shall consider that the PDN connection is established as a user-plane resource of the MA PDU session.

Upon receipt of a PDN CONNECTIVITY REJECT message as specified in 3GPP TS 24.301 [10], the 5G-RG shall consider that the PDN connection is not established as a user-plane resource of the MA PDU session.

# 5.4 Performance measurement function (PMF) protocol (PMFP) procedures

#### 5.4.1 General

Performance measurement function protocol (PMFP) procedures are performed between a performance measurement function (PMF) in a UE and a PMF in the UPF.

The following UE-initiated PMFP procedures are specified:

- a) UE-initiated RTT measurement procedure; and
- b) access availability or unavailability report procedure.

The following UPF-initiated PMFP procedures are specified:

a) UPF-initiated RTT measurement procedure.

The UE-initiated PMFP procedures and the UPF-initiated PMFP procedures can be performed in an MA PDU session only when the measurement assistance information is provided to the UE during establishment of the MA PDU session.

PMFP messages are transported in an IP packet or an Ethernet frame according to clause 5.3.2.

PMFP messages transported between the UE and the UPF (and vice versa) are protected using the security mechanisms protecting the user data packets transported over NG-RAN or non-3GPP access connected to the 5GCN and over the N3 and N9 reference points, specified in 3GPP TS 33.501 [r33501]. A PMFP-specific security mechanism is not specified.

NOTE: Even though transport of PMFP messages between the UE and the UPF is protected, a compromised UE can send false or incorrect PMFP messages.

PMFP is a standard L3 protocol according to 3GPP TS 24.007 [13], PMFP messages are standard L3 messages according to 3GPP TS 24.007 [13] and error behaviour specified for L3 protocol in according to 3GPP TS 24.007 [13] applies for PMFP.

PMFP messages are transported over the QoS flow of the default QoS rule in this release of specification.

#### 5.4.2 Elementary procedures for PMFP

#### 5.4.2.1 PMFP message transport

#### 5.4.2.1.1 PMFP message transport in IPv4, IPv6 or IPv4v6 PDU session

In order to send a PMFP message over an access of an MA PDU session of IPv4, IPv6 or IPv4v6 PDU session type:

- a) if the UE obtained IPv4 address for the PDU session and the received measurement assistance information contains an IPv4 address of the PMF in the UPF, the UE shall create a UDP/IPv4 packet. In the UDP/IPv4 packet, the UE:
  - 1) shall set the data octets field to the PMFP message;
  - 2) shall set the source port field to the UDP port of the PMF in the UE;

- 3) shall set the destination port field to the UDP port of the PMF in the UPF associated with the access of the MA PDU session, included in the received measurement assistance information;
- 4) shall set the source address field to the IPv4 address of the UE; and
- 5) shall set the destination address field to the IPv4 address of the PMF in the UPF, included in the received measurement assistance information; or
- b) if the UE obtained IPv6 prefix for the PDU session, generated an IPv6 address for the PMF in the UE and the received measurement assistance information contains an IPv6 address of the PMF in the UPF, the UE shall create a UDP/IPv6 packet. In the UDP/IPv6 packet, the UE:
  - 1) shall set the data octets field to the PMFP message;
  - 2) shall set the source port field to the UDP port of the PMF in the UE;
  - 3) shall set the destination port field to the UDP port of the PMF in the UPF associated with the access of the MA PDU session, included in the received measurement assistance information;
  - 4) shall set the source address field to the IPv6 address of the PMF in the UE; and
  - 5) shall set the destination address field to the IPv6 address of the PMF in the UPF, included in the received measurement assistance information.

The UE shall send the UDP/IPv4 packet or UDP/IPv6 packet over the access of the MA PDU session.

In order to send a PMFP message over an access of an MA PDU session of IPv4, IPv6 or IPv4v6 PDU session type:

- a) if the UPF is aware of the UDP port of the PMF in the UE used with IPv4, the UPF shall create a UDP/IPv4 packet. In the UDP/IPv4 packet, the UPF:
  - 1) shall set the data octets field to the PMFP message;
  - 2) shall set the source port field to the UDP port of the PMF in the UPF associated with the access of the MA PDU session, included in the measurement assistance information provided to the UE;
  - 3) shall set the destination port field to the UDP port of the PMF in the UE used with IPv4;
  - 4) shall set the source address field to the IPv4 address of the PMF in the UPF, included in the measurement assistance information provided to the UE; and
  - 5) shall set the destination address field to the IPv4 address of the UE; or
- a) if the UPF is aware of the UDP port and the IPv6 address of the PMF in the UE, the UPF shall create a UDP/IPv6 packet. In the UDP/IPv6 packet, the UPF:
  - 1) shall set the data octets field to the PMFP message;
  - 2) shall set the source port field to the UDP port of the PMF in the UPF associated with the access of the MA PDU session, included in the measurement assistance information provided to the UE;
  - 3) shall set the destination port field to the UDP port of the PMF in the UE;
  - 4) shall set the source address field to the IPv6 address of the PMF in the UPF, included in the measurement assistance information provided to the UE; and
  - 5) shall set the destination address field to the IPv6 address of the PMF in the UE.

The UPF shall send the UDP/IPv4 packet or UDP/IPv6 packet over the access of the MA PDU session.

The UE shall select the UDP port of the PMF in the UE upon establishment of an MA PDU session of IPv4, IPv6 or IPv4v6 PDU session type. The UE shall use the same UDP port of the PMF in the UE till release of the MA PDU session. The UE shall select the IPv6 address of the PMF in the UE upon establishment of an MA PDU session of IPv6 or IPv4v6 PDU session type. The UE shall use the same IPv6 address of the PMF in the UE till release of the MA PDU session.

The UPF shall discover the UDP port of the PMF in the UE used with IPv4 of an MA PDU session of IPv4 or IPv4v6 PDU session type, in the source port field of an UDP/IPv4 packet:

- a) received via the MA PDU session;
- b) with the destination port field set to the UDP port of the PMF in the UPF associated with an access, included in the measurement assistance information provided to the UE; and
- c) with the destination address field set to the IPv4 address of the PMF in the UPF, included the measurement assistance information provided to the UE.

The UPF shall discover the UDP port and the IPv6 address of the PMF in the UE of an MA PDU session of IPv6 or IPv4v6 PDU session type, in the source port field and the source address field of an UDP/IPv6 packet:

- a) received via the MA PDU session;
- b) with the destination port field set to the UDP port of the PMF in the UPF associated with an access, included in the measurement assistance information provided to the UE; and
- c) with the destination address field set to the IPv6 address of the PMF in the UPF, included the measurement assistance information provided to the UE.

In order to enable the UPF to discover:

- a) the UDP port of the PMF in the UE in case of an MA PDU session of IPv4 or IPv4v6 PDU session type, or
- b) the UDP port and the IPv6 address of the PMF in the UE in case of an MA PDU session of IPv6 or IPv4v6 PDU session type;

the UE shall perform a access availability or unavailability report procedure over an access immediately after the MA PDU session is established. If the MA PDU session is established over both 3GPP access and non-3GPP access, the UE may use either of the accesses for the access availability or unavailability report procedure. If the access availability or unavailability report procedure is aborted, the UE shall repeat the access availability or unavailability report procedure over the same access or, if the MA PDU session is established over both 3GPP access and non-3GPP access, over the other access.

#### 5.4.2.1.2 PMFP message transport in Ethernet PDU session

In order to send a PMFP message over an access of an MA PDU session of Ethernet PDU session type, the UE shall create an Ethernet frame as specified in IEEE 802.3 [12]. In the Ethernet frame, the UE:

- a) shall set the length/type field of the Ethernet frame to the ethertype value included in the received measurement assistance information;
- b) shall set the destination address field of the Ethernet frame to the MAC address of the PMF in the UPF associated with the access of the MA PDU session, included in the received measurement assistance information;
- c) shall set the source address field of the Ethernet frame to the MAC address of the PMF in the UE;
- d) shall set the MAC client data field of the Ethernet frame to the 3GPP IEEE MAC based protocol family envelope;
- e) shall set the protocol subtype field of the 3GPP IEEE MAC based protocol family envelope to "Performance measurement function protocol (PMFP)"; and
- f) shall set the PMFP message field of the protocol data field of the 3GPP IEEE MAC based protocol family envelope to the PMFP message.

The UE shall send the Ethernet frame over the access of the MA PDU session.

In order to send a PMFP message over an access of an MA PDU session, the UPF shall create an Ethernet frame as specified in IEEE 802.3 [12]. In the Ethernet frame, the UPF:

a) shall set the length/type field of the Ethernet frame to the ethertype value included in the measurement assistance information provided to the UE;

- b) shall set the source address field of the Ethernet frame to the MAC address of the PMF in the UPF associated with the access of the MA PDU session, included in the measurement assistance information provided to the UE;
- c) shall set the destination address field of the Ethernet frame to the MAC address of the PMF in the UE;
- d) shall set the MAC client data field of the Ethernet frame to the 3GPP IEEE MAC based protocol family envelope;
- e) shall set the protocol subtype field of the 3GPP IEEE MAC based protocol family envelope to "Performance measurement function protocol (PMFP)"; and
- f) shall set the PMFP message field of the protocol data field of the 3GPP IEEE MAC based protocol family envelope to the PMFP message.

The UPF shall send the Ethernet frame so that the UE receives it over the access of the MA PDU session.

The UE shall select the MAC address of the PMF in the UE upon establishment of an MA PDU session of Ethernet PDU session type. The UE shall use the same MAC address of the PMF in the UE till release of the MA PDU session.

The UPF shall discover the MAC address of the PMF in the UE of an MA PDU session of Ethernet PDU session type, in the source address field of an Ethernet frame:

- a) received via the MA PDU session;
- b) with the length/type field of the Ethernet frame set to the ethertype value included in the measurement assistance information provided to the UE; and
- c) with the destination address field of the Ethernet frame set to the MAC address of the PMF in the UPF associated with an access, included in the measurement assistance information provided to the UE.

In order to enable the UPF to discover the MAC address of the PMF in the UE of an MA PDU session of Ethernet PDU session type, the UE shall perform an access availability or unavailability report procedure over an access immediately after the MA PDU session is established. If the MA PDU session is established over both 3GPP access and non-3GPP access, the UE may use either of the accesses for the access availability or unavailability report procedure. If the access availability or unavailability or unavailability or unavailability report procedure. If the access availability or unavailability or unavailability or unavailability report procedure is aborted, the UE shall repeat the access availability or unavailability report procedure over the same access or, if the MA PDU session is established over both 3GPP access and non-3GPP access, over the other access.

#### 5.4.2.2 Extended procedure transaction identity (EPTI)

The UE shall maintain the current available UE EPTI value. When the MA PDU session is established, the UE shall set the current available UE EPTI value to 0000H. When a UE-initiated PMFP procedure is initiated, the UE shall allocate the current available UE EPTI value to the UE-initiated PMFP procedure and:

- if the current available UE EPTI value is 7FFFH, shall set the current available UE EPTI value to 0000H; or
- otherwise, shall increase the current available UE EPTI value by one.

The UE shall release the EPTI value allocated to the UE-initiated PMFP procedure when the UE-initiated PMFP procedure completes or is aborted.

The UPF shall maintain the current available UPF EPTI value. When the MA PDU session is established, the UPF shall set the current available UPF EPTI value to 8000H. When a UPF-initiated PMFP procedure is initiated, the UPF shall allocate the current available UPF EPTI value to the UPF-initiated PMFP procedure and:

- if the current available UPF EPTI value is FFFFH, shall set the current available UPF EPTI value to 8000H; or
- otherwise, shall increase the current available UPF EPTI value by one.

The UPF shall release the EPTI value allocated to the UPF-initiated PMFP procedure when the UPF-initiated PMFP procedure completes or is aborted.

#### 5.4.3 UE-initiated RTT measurement procedure

#### 5.4.3.1 General

The purpose of the UE-initiated RTT measurement procedure is to enable the UE to measure the RTT of an exchange of user data packets between the UE and the UPF over an access of an MA PDU session.

The UE-initiated RTT measurement procedure can be performed over an access of an MA PDU session only when the UE has user-plane resources on the access of the MA PDU session.

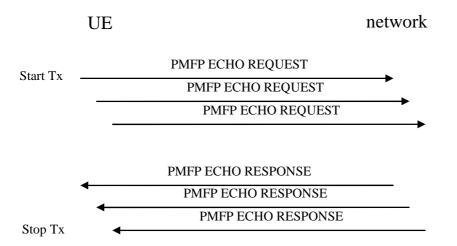
#### 5.4.3.2 UE-initiated RTT measurement procedure initiation

In order to initiate a UE-initiated RTT measurement procedure over an access of an MA PDU session, the UE shall allocate an EPTI value as specified in clause 5.4.2.2 and shall create one or more PMFP ECHO REQUEST messages. The number of created PMFP ECHO REQUEST messages is UE implementation specific. In each PMFP ECHO REQUEST message, the UE:

- a) shall set the EPTI IE to the allocated EPTI value;
- b) shall set the RI IE to a unique value identifying the particular PMFP ECHO REQUEST message within the transaction; and.
- c) if the upper layers request a particular length of PMFP messages, shall include the Padding IE such that length of the PMFP message becomes equal to the requested length.

The UE shall start a timer Tx and shall send the one or more PMFP ECHO REQUEST messages over the access of the MA PDU session.

An example of the UE-initiated RTT measurement procedure is shown in figure 5.3.3.2-1.





#### 5.4.3.3 UE-initiated RTT measurement procedure completion

Upon reception of the PMFP ECHO REQUEST message, the UPF shall create a PMFP ECHO RESPONSE message. In the PMFP ECHO RESPONSE message, the UPF shall set the EPTI IE to the EPTI value in the PMFP ECHO REQUEST message and shall set the RI IE to the RI value in the PMFP ECHO REQUEST message. If the PMFP ECHO REQUEST message contains the Padding IE, the UPF shall include the Padding IE such that length of the PMFP message becomes equal to length of the received PMFP message. The UPF shall send the PMFP ECHO RESPONSE message over the access of the MA PDU session via which the PMFP ECHO REQUEST message was received.

Upon reception of a PMFP ECHO RESPONSE message with the same EPTI as the allocated EPTI value and with the RI value of a sent PMFP ECHO REQUEST message, the UE shall determine the RTT value for the request identified

by the RI value by subtracting the current value of the timer Tx from the value of the timer Tx valid when the PMFP ECHO REQUEST with the RI value was sent.

When a PMFP ECHO RESPONSE message with the same EPTI as the allocated EPTI value has been received for each sent PMFP ECHO REQUEST message, the UE shall calculate an average of the RTT values for the requests, shall stop the timer Tx.

#### 5.4.3.4 Abnormal cases in the UE

The following abnormal cases can be identified:

a) Expiration of the timer Tx

Upon expiration of the timer Tx, the UE shall abort the procedure, shall calculate an average of the RTT values for the requests for which a response was received and shall count the number of requests for which no response was received.

#### 5.4.4 Network-initiated RTT measurement procedure

#### 5.4.4.1 General

The purpose of the UPF-initiated RTT measurement procedure is to enable the UPF to measure the RTT of an exchange of user data packets between the UPF and the UE over an access of an MA PDU session.

The UPF-initiated RTT measurement procedure can be performed over an access of an MA PDU session only when the UE has user-plane resources on the access of the MA PDU session.

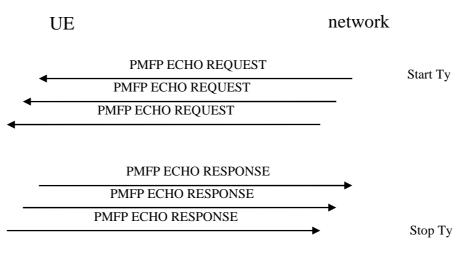
#### 5.4.4.2 UPF-initiated RTT measurement procedure initiation

In order to initiate a UPF-initiated RTT measurement procedure over an access of an MA PDU session, the UPF shall allocate a EPTI value as specified in clause 5.4.2.2 and shall create one or more PMFP ECHO REQUEST messages. The number of created PMFP ECHO REQUEST messages is UPF implementation specific. In each PMFP ECHO REQUEST message, the UPF:

- a) shall set the EPTI IE to the allocated EPTI value;
- b) shall set the RI IE to a unique value identifying the particular PMFP ECHO REQUEST message within the transaction; and
- c) if the upper layers request a particular length of PMFP messages, shall include the Padding IE such that length of the PMFP message becomes equal to the requested length.

The UPF shall start a timer Ty and shall send the one or more PMFP ECHO REQUEST messages over the access of the MA PDU session.

An example of the UPF-initiated RTT measurement procedure is shown in figure 5.4.4.2-1.





#### 5.4.4.3 UPF-initiated RTT measurement procedure completion

Upon reception of the PMFP ECHO REQUEST message, the UE shall create a PMFP ECHO RESPONSE message. In the PMFP ECHO RESPONSE message, the UE shall set the EPTI IE to the EPTI value in the PMFP ECHO REQUEST message and shall set the RI IE to the RI value in the PMFP ECHO REQUEST message. If the PMFP ECHO REQUEST message contains the Padding IE, the UE shall include the Padding IE such that length of the PMFP message becomes equal to length of the received PMFP message. The UE shall send the PMFP ECHO RESPONSE message over the access of the MA PDU session via which the PMFP ECHO REQUEST message was received.

Upon reception of a PMFP ECHO RESPONSE message with the same EPTI as the allocated EPTI value and with the RI value of a sent PMFP ECHO REQUEST message, the UPF shall determine the RTT value for the request identified by the RI value by subtracting the current value of the timer Ty from the starting value of the timer Ty valid when the PMFP ECHO REQUEST with the RI value was sent.

When a PMFP ECHO RESPONSE message with the same EPTI as the allocated EPTI value has been received for each sent PMFP ECHO REQUEST message, the UPF shall calculate an average of the RTT values for the requests, shall stop the timer Ty.

#### 5.4.4.4 Abnormal cases in the network

The following abnormal cases can be identified:

a) Expiration of the timer Ty

Upon expiration of the timer Ty, the UPF shall abort the procedure, shall calculate an average of the RTT values for the requests for which a response was received and shall count the number of requests for which no response was received.

#### 5.4.5 Access availability or unavailability report procedure

#### 5.4.5.1 General

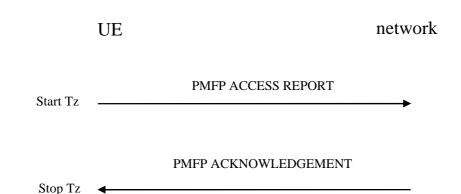
The purpose of the access availability or unavailability report procedure is to enable the UE to inform the UPF about availability or unavailability of an access of an MA PDU session.

#### 5.4.5.2 Access availability or unavailability report procedure initiation

In order to initiate an access availability or unavailability report procedure over an access of an MA PDU session, the UE shall allocate a EPTI value as specified in clause 5.4.2.2 and shall create a PMFP ACCESS REPORT message. In

the PMFP ACCESS REPORT message, the UE shall set the EPTI IE to the allocated EPTI value. The UE shall send the PMFP ACCESS REPORT message over the access of the MA PDU session and shall start a timer Tz.

An example of the access availability or unavailability report procedure is shown in figure 5.4.5.2-1.



#### Figure 5.4.5.2-1: Access availability or unavailability report procedure

#### 5.4.5.3 Access availability or unavailability report procedure completion

Upon reception of the PMFP ACCESS REPORT message, the UPF shall create a PMFP ACKNOWLEDGEMENT message. In the PMFP ACKNOWLEDGEMENT message, the UPF shall set the EPTI IE to the EPTI value in the PMFP ACCESS REPORT message. The UPF shall send the PMFP ACKNOWLEDGEMENT message over the access of the MA PDU session via which the PMFP ACCESS REPORT message was received.

Upon reception of a PMFP ACKNOWLEDGEMENT message with the same EPTI as the allocated EPTI value, the UE shall stop the timer Tz.

#### 5.4.5.4 Abnormal cases in the UE

The following abnormal cases can be identified:

a) Expiry of the timer Tz

The UE shall, on the first expiry of the timer Tz, retransmit the PMFP ACCESS REPORT message and shall reset and start timer Tz. This retransmission can be repeated up to four times, i.e. on the fifth expiry of timer Tz, the UE shall abort the procedure.

## 6 PDUs and parameters specific to the present document

## 6.1 ATSSS parameters

#### 6.1.1 General

The ATSSS parameters are the contents of the ATSSS container as defined in clause 9.11.4.22 of 3GPP TS 24.501 [6].

The purpose of the ATSSS parameters is to indicate the parameters associated with ATSSS (e.g. ATSSS rules).

### 6.1.2 Encoding of ATSSS parameters

The ATSSS container contents include one or more ATSSS parameters and they are coded as shown in figure 6.1.2-1, figure 6.1.2-2 and table 6.1.2-1.

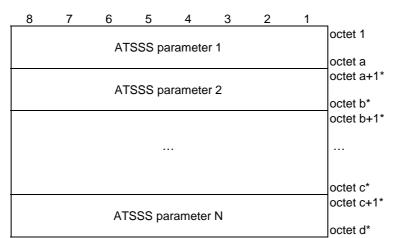


Figure 6.1.2-1: ATSSS container contents

| 8 | 7 | 6    | 5           | 4             | 3     | 2 | 1 |           |
|---|---|------|-------------|---------------|-------|---|---|-----------|
|   |   | A    | TSSS paran  | neter identif | ier   |   |   | octet f   |
|   |   | ATSS | SS paramete | er contents l | ength |   |   | octet f+1 |
|   |   |      |             |               |       |   |   | octet f+2 |
|   |   |      |             |               |       |   |   | octet f+3 |
|   |   | A    | TSSS paran  | neter conter  | nts   |   |   |           |
|   |   |      |             |               |       |   |   | octet g   |
|   |   |      |             |               |       |   |   |           |

#### Figure 6.1.2-2: ATSSS parameter

| Table | 6.1.2-1: | ATSSS | parameter |
|-------|----------|-------|-----------|
|-------|----------|-------|-----------|

| The ATSSS parameter identifier is encoded as follows:  |             |       |     |       |        |       |         |  |
|--|-------------|-------|-----|-------|--------|-------|---------|--|
| Bit<br>8   |             | 6     | 5   | 4     | 3      | 2     | 1       |  |
| 0  | 0           | 0     | 0   | 0     | 0      | 0     | 1       | ATSSS rules                                  |
| 0  | 0           | 0     | 0   | 0     | 0      | 1     | 0       | Network steering functionalities information |
| 0  |             |       | 0   |       |        | 1     |         | Measurement assistance information           |
| All  | othe        | r val | ues | are s | spare  | э.    |         |  |
|  | e AT<br>use |       |     | ame   | ter co | ontei | nts for | the ATSSS rules are specified according to   |
| The ATSSS parameter contents for the network steering functionalities information are specified according to clause 6.1.4. |             |       |     |       |        |       |         |  |
| The ATSSS parameter contents for the measurement assistance information are specified according to clause 6.1.5.           |             |       |     |       |        |       |         |  |

#### 6.1.3 ATSSS rules

#### 6.1.3.1 Definition of ATSSS rules

The ATSSS rules are defined in 3GPP TS 23.501 [2] and is set of one or more ATSSS rules, where a rule is composed of:

- a) a precedence value of the ATSSS rule identifying the precedence of the ATSSS rule;
- b) a traffic descriptor matching a service data flow (SDF); and

- c) an access selection descriptor including:
  - 1) a steering functionality:
    - A) MPTCP, the UE steers the SDF by using the MPTCP functionality; or
    - B) ATSSS-LL functionality, the UE steers the SDF by using the ATSSS-LL functionality; and
- NOTE: If the included steering functionality is not supported by the UE, the UE ignores this ATSSS rule, and proceeds with the evaluation of the ATSSS rule with the next smallest precedence, if available.
  - 2) a steering mode:
    - A) active-standby, the UE steers the SDF by using the active access if the active access is available. If the active access is not available and the standby access is available, the UE steers the SDF by using the standby access;
    - B) smallest delay, the UE steers the SDF by using the access network with the smallest RTT. If there is only one access available, the UE steers the SDF by using the available access. This steering mode is only applicable to non-GBR SDF;
    - C) load balancing, the UE steers the SDF across both the 3GPP access and the non-3GPP access with a given precentage if both accesses are available. If there is only one access available, the UE steers the SDF by using the available access. This steering mode is only applicable to non-GBR SDF; or
    - D) priority based, the UE steers the SDF over the access with high priority unless the access with high priority is congested or unavailable, when the UE steers the SDF over both the access with high priority and the access with low priority. This steering mode is only applicable to non-GBR SDF.

#### 6.1.3.2 Encoding of ATSSS rules

The ATSSS rules are encoded as shown in figure 6.1.3.2-1, figure 6.1.3.2-2 and figure 6.1.3.2-3 and table 6.1.3.2-1.

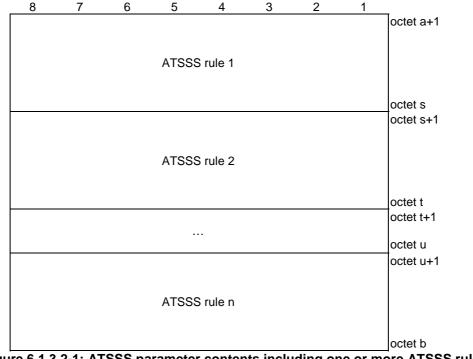
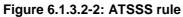


Figure 6.1.3.2-1: ATSSS parameter contents including one or more ATSSS rules

| 8 | 7                    | 6       | 5          | 4          | 3       | 2 | 1 |           |  |  |  |  |
|---|----------------------|---------|------------|------------|---------|---|---|-----------|--|--|--|--|
|   | Length of ATSSS rule |         |            |            |         |   |   |           |  |  |  |  |
|   |                      |         |            | ( ) = 0    |         |   |   | octet a+2 |  |  |  |  |
|   |                      | Precede | ence valu  | ue of ATS  | SS rule |   |   | octet a+3 |  |  |  |  |
|   |                      | Len     | gth of tra | ffic descr | iptor   |   |   | octet a+4 |  |  |  |  |
|   |                      |         | -          |            | -       |   |   | octet a+5 |  |  |  |  |
|   |                      |         |            |            |         |   |   | octet a+6 |  |  |  |  |
|   |                      |         | Traffic d  | lescriptor |         |   |   |           |  |  |  |  |
|   |                      |         |            |            |         |   |   | octet s-4 |  |  |  |  |
|   |                      |         |            |            |         |   |   | octet s-3 |  |  |  |  |
|   |                      | Acce    | ess selec  | tion desc  | riptor  |   |   |           |  |  |  |  |
|   |                      |         |            |            |         |   |   | octet s*  |  |  |  |  |



| 8                                     | 7 | 6 | 5 | 4 | 3 | 2 | 1 |  |  |  |
|---------------------------------------|---|---|---|---|---|---|---|--|--|--|
| Length of access selection descriptor |   |   |   |   |   |   |   |  |  |  |
| Steering functionality o              |   |   |   |   |   |   |   |  |  |  |
| Steering mode o                       |   |   |   |   |   |   |   |  |  |  |
| Steering mode information oc          |   |   |   |   |   |   |   |  |  |  |

Figure 6.1.3.2-3: Access selection descriptor

Table 6.1.3.2-1: ATSSS parameter contents including an ATSSS rule

|  | _   |  |  |  |  |  |  |  |  |
|--|-----|--|--|--|--|--|--|--|--|
| Precedence value of an ATSSS rule (octet a+3)<br>The precedence value of an ATSSS rule field shall be used to specify the precedence<br>of the ATSSS rule among all ATSSS rules. This field shall include the binary encoded<br>value of the precedence value in the range from 0 to 255 (decimal). The higher the<br>value of the precedence value field, the lower the precedence of the ATSSS rule is.  |     |  |  |  |  |  |  |  |  |
| Traffic descriptor (octets a+6 to s-4)<br>The traffic descriptor field is, as defined in table 5.2.1 in 3GPP TS 24.526 [5], of<br>variable size and contains a variable number (at least one) of traffic descriptor<br>components (NOTE 3). Each traffic descriptor component shall be encoded as a<br>sequence of one octet traffic descriptor component type identifier and a traffic<br>descriptor component value field. The traffic descriptor component type identifier shall<br>be transmitted first. |     |  |  |  |  |  |  |  |  |
| Traffic descriptor component type identifier   |     |  |  |  |  |  |  |  |  |
| Bits 8 7 6 5 4 3 2 1   0 0 0 0 0 1 Match-all type   0 0 0 1 0 0 0 1 Match-all type   0 0 0 1 0 0 0 OS Id + OS App Id type (NOTE 1)   0 0 0 1 0 0 0 IPv4 remote address type  |     |  |  |  |  |  |  |  |  |
| 0 0 1 0 0 0 1 IPv6 remote address/prefix length type   0 0 1 1 0 0 0 Protocol identifier/next header type   0 1 0 1 0 0 0 Single remote port type   0 1 0 1 0 0 1 Remote port range type   0 1 0 1 0 1 IP 3 tuple type   |     |  |  |  |  |  |  |  |  |
| 0 1 0 0 1 0 1 0 1 1 0 0 0 Security parameter index type   0 1 1 0 0 0 0 Type of service/traffic class type   1 0 0 0 0 0 Flow label type   1 0 0 0 0 1 Destination MAC address type  |     |  |  |  |  |  |  |  |  |
| 1 0 0 0 1 1 802.1Q C-TAG VID type   1 0 0 0 1 0 0 802.1Q S-TAG VID type   1 0 0 0 1 0 1 802.1Q C-TAG VID type   1 0 0 0 1 0 1 802.1Q C-TAG PCP/DEI type   1 0 0 0 1 1 0 802.1Q S-TAG PCP/DEI type  |     |  |  |  |  |  |  |  |  |
| 1 0 0 0 1 1 1 Ethertype type   1 0 0 1 0 0 0 DNN type   1 0 0 1 0 0 1 Destination FQDN   1 0 0 1 0 Regular expression  |     |  |  |  |  |  |  |  |  |
| 1 0 1 0 0 0 0 0 OS App Id type<br>All other values are spare. If received they shall be interpreted as unknown.  |     |  |  |  |  |  |  |  |  |
| Length of access selection descriptor (octet s-3)  |     |  |  |  |  |  |  |  |  |
| Bits 8 7 6 5 4 3 2 1   0 0 0 0 1 1 If the steering mode is smallest delay   0 0 0 0 1 0 0 If the steering mode is not smallest delay   All other values are spare. 0 0 0 0 0 0   |     |  |  |  |  |  |  |  |  |
| Steering functionality (octet s-2)<br>The steering functionality field shall be encoded by one octet (octet s-2) as follows<br>Bits  |     |  |  |  |  |  |  |  |  |
| 8 7 6 5 4 3 2 1  | 2)  |  |  |  |  |  |  |  |  |
| 0   0   0   0   0   1   UE's supported steering functionality (NOTE     0   0   0   0   0   1   0   MPTCP functionality  | ∠)  |  |  |  |  |  |  |  |  |
| 0 0 0 0 0 0 1 1 ATSSS-LL functionality   |     |  |  |  |  |  |  |  |  |
| All other values are spare.<br>If the UE does not support the received encoded steering functionality in the ATSSS   |     |  |  |  |  |  |  |  |  |
| rule, the UE shall ignore the ATSSS rule.  |     |  |  |  |  |  |  |  |  |
| Steering mode (octet s-1)<br>The steering mode descriptor field shall be encoded by one octet (octet s-1) as follow<br>Bits  | /S: |  |  |  |  |  |  |  |  |
| 8 7 6 5 4 3 2 1  |     |  |  |  |  |  |  |  |  |
| 0 0 0 0 0 0 0 1 Active-standby<br>0 0 0 0 0 0 1 0 Smallest delay   |     |  |  |  |  |  |  |  |  |
| 0 0 0 0 0 0 1 1 Load balancing<br>0 0 0 0 0 1 0 0 Priority based   |     |  |  |  |  |  |  |  |  |

All other values are spare. Steering mode information (octet s) If the steering mode is defined as active-standby, octet s shall be defined as follows: Bits Active 3GPP and no standby Active 3GPP and non-3GPP standby Active non-3GPP and no standby Active non-3GPP and 3GPP standby All other values are spare. If the steering mode is defined as smallest delay, octet s shall not be encoded. If the steering mode is defined as load balancing, octet s shall be encoded to show the percentage of the SDF traffic transmitted over 3GPP access and non-3GPP access as follows: Bits 100% over 3GPP and 0% over non-3GPP 90% over 3GPP and 10% over non-3GPP 80% over 3GPP and 20% over non-3GPP 70% over 3GPP and 30% over non-3GPP 60% over 3GPP and 40% over non-3GPP 50% over 3GPP and 50% over non-3GPP 40% over 3GPP and 60% over non-3GPP 30% over 3GPP and 70% over non-3GPP 20% over 3GPP and 80% over non-3GPP 10% over 3GPP and 90% over non-3GPP 0% over 3GPP and 100% over non-3GPP All other values are spare If the steering mode is defined as priority-based, octet s shall be encoded as: Bits 3GPP is high priority access non-3GPP is high priority access All other values are spare. NOTE 1: For "OS Id + OS App Id type", the traffic descriptor component value field does not specify the OS version number or the version number of the application. NOTE 2: This value shall be set by the SMF if the UE supports only one steering functionality. The SMF knows the UE's supported steering functionality during the MA PDU session establishment. NOTE 3: Traffic descriptor components of an ATSSS rule are not required to be the same as the traffic descriptor components, defined in table 5.2.1 in 3GPP TS 24.526 [5].

#### 6.1.4 Network steering functionalities information

#### 6.1.4.1 Definition of network steering functionalities information

## 6.1.4.1.1 MPTCP Functionality with any steering mode and the ATSSS-LL functionality with only the active-standby steering mode

In order for the UE to support the MPTCP functionality, the UE shall support the TCP extensions for multipath operation specified in IETF RFC 8684 [8].

When the UE indicates support for MPTCP functionality with any steering mode and the ATSSS-LL functionality with only the active-standby steering mode and the network accepts to enable these functionalities for an MA PDU session of IP type in the UPF as specified in the clause 5.32.2 of 3GPP TS 23.501 [2], then the network shall provide the following information to the UE:

- a) two "link-specific multipath" IP addresses/prefixes used only by the MPTCP functionality in the UE, one associated with the 3GPP access and another associated with the non-3GPP access;
- NOTE: It is possible that the network provides the "link-specific multipath" IP addresses/prefix that is not routable via N6 (e.g. IPv6 link local address).
- b) the IP address, port number and the type of one or more MPTCP proxies in the UPF; and
- c) one or more ATSSS rules including an ATSSS rule for non-MPTCP traffic. The ATSSS rule for non-MPTCP traffic shall be composed of a precedence with value "255", a "match-all type" traffic descriptor, an "ATSSS-LL functionality" steering functionality and an "active-standby" steering mode.

In this release of the specification, the UPF shall support the Transport Converter as specified in IETF RFC 8803 [9].

In this release of the specification, the UE shall support the client extensions specified in IETF RFC 8803 [9], and only client-initiated multipath connections via <u>a Transport Converter</u> are supported.

The UE shall use the "link-specific multipath" addresses/prefixes to establish subflows over non-3GPP access and over 3GPP access.

When the MA PDU session is Ethernet type, the network shall not enable the MPTCP functionality with any steering mode and the ATSSS-LL functionality with only the active-standby steering mode.

#### 6.1.4.1.2 ATSSS-LL Functionality with any steering mode

When the UE indicates ATSSS-LL capability with any steering mode and the network accepts to enable this functionality for an MA PDU session of any supported type, then the network shall enable ATSSS-LL functionality with any steering mode in the UPF as specified in the clause 5.32.2 of 3GPP TS 23.501 [2] and provide one or more ATSSS rules to the UE.

In an ATSSS capable UE, the following ATSSS-LL requirements apply:

- a) for an MA PDU session of Ethernet PDU session type, the ATSSS-LL functionality with any steering mode is mandatory; and
- b) for an MA PDU session of IPv4, IPv6, or IPv4v6 PDU session type, if the UE does not support:
  - 1) the MPTCP functionality with any steering mode and the ATSSS-LL functionality with only the activestandby steering mode; and
  - 2) the MPTCP functionality with any steering mode and the ATSSS-LL functionality with any steering mode,

then ATSSS-LL functionality with any steering mode is mandatory.

## 6.1.4.1.3 MPTCP functionality with any steering mode and the ATSSS-LL functionality with any steering mode

In order for the UE to support the MPTCP functionality, the UE shall support the TCP extensions for multipath operation specified in IETF RFC 8684[8].

When the UE indicates support for MPTCP functionality with any steering mode and the ATSSS-LL functionality with any steering mode and the network accepts to enable these functionalities for an MA PDU session of IP type in the UPF as specified in the clause 5.32.2 of 3GPP TS 23.501 [2], then the network shall provide the following information to the UE:

- a) two "link-specific multipath" IP addresses/prefixes used only by the MPTCP functionality in the UE, one associated with the 3GPP access and another associated with the non-3GPP access;
- b) the IP address, port number and the type of one or more MPTCP proxies in the UPF; and
- c) one or more ATSSS rules.

In this release of the specification, the UPF shall support the Transport Converter as specified in IETF RFC 8803 [9].

In this release of the specification, the UE shall support the client extensions specified in IETF RFC 8803 [9], and only client-initiated multipath connections via <u>a Transport Converter</u> are supported..

The UE shall use the "link-specific multipath" addresses/prefixes to establish subflows over non-3GPP access and over 3GPP access.

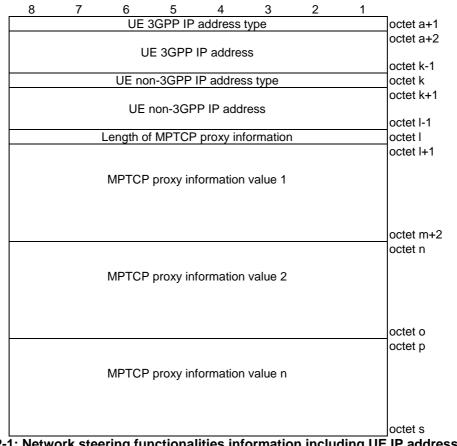
When the MA PDU session is Ethernet type, the network shall not enable the MPTCP functionality with any steering mode and the ATSSS-LL functionality with any steering mode.

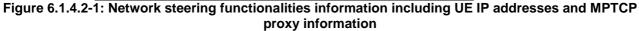
#### 6.1.4.2 Encoding of network steering functionalities information

The network steering functionalities information contains:

- a) addressing information for the ATSSS capable UE supporting MPTCP functionality; and
- b) addressing information and type for the MPTCP proxy;

and is encoded as shown in figure 6.1.4.2-1, figure 6.1.4.2-2 and table 6.1.4.2-1:





| MPTCP proxy IP address type               | octet I+1 |
|---|-----------|
|   | octet I+2 |
| MPTCP proxy IP address                    |           |
|   | octet m-1 |
|   | octet m   |
| MPTCP proxy port                          | octet m+1 |
| MPTCP proxy type                          | octet m+2 |
| Figure 6.1.4.2-2: MPTCP provy information |           |

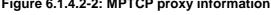


Table 6.1.4.2-1: UE IP addresses and MPTCP proxy information

| UE<br>Bits                    |   | P IP  | add           | ress  | type   | (octe | et a+1  | I) is set as follows:  |
|-------------------------------|---|-------|---------------|-------|--------|-------|---------|--|
| 8                             | 7   | 6     | 5             | 4     | 3      | 2     | 1       |  |
| 0                             | 0   | 0     | 0             | 0     | 0      | 0     | 1       | IPv4   |
| 0                             | 0   | 0     | 0             | 0     | 0      | 1     | 0       | IPv6   |
| 0                             | 0   | 0     | 0             | 0     | 0      | 1     | 1       | IPv4v6   |
|                               |   |       | PP II<br>octe |       | dress  | type  | e indio | cates IPv4, then the UE 3GPP IP address field contains an IPv4   |
|                               | lress   |       |               |       |        |       |         | cates IPv6, then the UE 3GPP IP address field contains an IPv6<br>t prefix length field. The IPv6 address field shall be transmitted   |
| add                           | lress   | es. 1 | he fi         | rst U | E 3G   | PP I  | P ad    | cates IPv4v6, then the UE 3GPP IP address field contains two IP dress is an IPv4 address in 4 octets and the second UE 3GPP IP 6 octets followed by 1 octet prefix length field. |
| UE<br>Bits                    |   | 3GP   | PIP           | addı  | ress t | ype   | (octe   | t k) is set as follows:  |
| 8                             | 7   | 6     | 5             | 4     | 3      | 2     | 1       |  |
| 0                             | 0   | 0     | 0             | 0     | 0      | 0     | 1       | IPv4   |
| 0                             | 0   | 0     | 0             | 0     | 0      | 1     | 0       | IPv6   |
| 0                             | 0   | 0     | 0             | 0     | 0      | 1     | 1       | IPv4v6   |
| an I<br>If th<br>an I<br>tran | If the UE non-3GPP IP address type indicates IPv4, then the UE non-3GPP IP address field contains<br>an IPv4 address in 4 octets.<br>If the UE non-3GPP IP address type indicates IPv6, then the UE non-3GPP IP address field contains<br>an IPv6 address in 16 octets field and 1 octet prefix length field. The IPv6 address field shall be<br>transmitted first.<br>If the UE non-3GPP IP address type indicates IPv4v6, then the UE non-3GPP IP address field |       |               |       |        |       |         |  |
| sec                           |   | UE r  |               |       |        |       |         | UE non-3GPP IP address is an IPv4 address in 4 octets and the<br>an IPv6 address field in 16 octets followed by 1 octet prefix   |
| MP <sup>®</sup><br>Bits       |   | prox  | y IP          | addr  | ess t  | ype   | (octet  | t I+1) is set as follows:  |
| 8                             | 7   | 6     | 5             | 4     | 3      | 2     | 1       |  |
| 0                             | 0   | 0     | 0             | 0     | 0      | 0     | 1       | IPv4   |
| 0                             | 0   | 0     | 0             | 0     | 0      | 1     | 0       | IPv6   |
| 0                             | 0   | 0     | 0             | 0     | 0      | 1     | 1       | IPv4v6   |
|                               | If the MPTCP proxy IP address type indicates IPv4, then the MPTCP proxy IP address field contains an IPv4 address in 4 octets.  |       |               |       |        |       |         |  |
|                               | If the MPTCP proxy IP address type indicates IPv6, then the MPTCP proxy IP address field contains an IPv6 address in 16 octets.   |       |               |       |        |       |         |  |
| con                           | tains   | s two | IP a          | ddre  | sses.  | The   | first   | indicates IPv4v6, then the MPTCP proxy IP address field<br>MPTCP proxy IP address is an IPv4 address in 4 octets and the<br>an IPv6 address in 16 octets.                        |
| MP <sup>.</sup><br>Bits       |   | prox  | xy typ        | e (o  | ctet n | n+2)  | is se   | t as follows:  |
| 8                             | 7   | 6     | 5             | 4     | 3      | 2     | 1       |  |
| 0                             | 0   | 0     | 0             | 0     | 0      | 0     | 1       | Transport converter  |
| A 11                          | -   | -     | -             | roor  | -      |       |         | ·  |

All other values are spare.

## 6.1.5 Measurement assistance information

#### 6.1.5.1 Definition of measurement assistance information

The measurement assistance information is transmitted by the network to the UE.

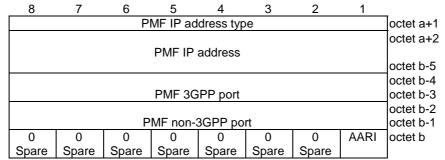
If the UE is only capable of supporting MPTCP functionality with any steering mode and the ATSSS-LL functionality with only the active-standby steering mode, the network may send measurement assistance information for the UE to send access availability/unavailability to the UPF. In this case, the UE and UPF shall not perform RTT measurements using PMF, the UE and UPF shall use the RTT measurements available at the MPTCP layer.

The measurement assistance information is defined in 3GPP TS 23.501 [2] and it contains:

- a) addressing for the PMF in the UPF according to:
  - if the PDU session is IP type, the measurement assistance information contains IP address for the PMF with an allocated port number associated with the 3GPP access network and another allocated port number associated with non-3GPP access network; and
  - if the PDU session is Ethernet type, the measurement assistance information contains a MAC address associated with the 3GPP access network and another MAC address associated with the non-3GPP address network for the PMF; and
- b) an indicator to report the availability and unavailability of an access network.

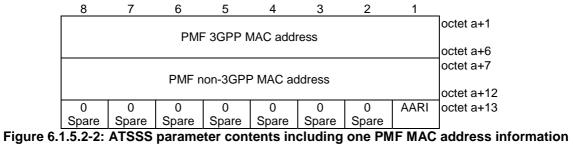
### 6.1.5.2 Encoding of measurement assistance information

The measurement assistance information contains addressing information for the PMF in the UPF and is encoded as shown in figure 6.1.5.2-1 and figure 6.1.5.2-2 and table 6.1.5.2-1 and table 6.1.5.2-2.





| PM<br>Bits |                 | addro | ess ty | ype (  | octe   | t a+1 | I) is s | et as follows:   |
|------------|-----------------|-------|--------|--------|--------|-------|---------|--|
| 8          | 7               | 6     | 5      | 4      | 3      | 2     | 1       |  |
| 0          | 0               | 0     | 0      | 0      | 0      | 0     | 1       | IPv4   |
| 0          | 0               | 0     | 0      | 0      | 0      | 1     | 0       | IPv6   |
| 0          | 0               | 0     | 0      | 0      | 0      | 1     | 1       | IPv4IPv6   |
| All        | other           | valu  | es ar  | e sp   | are.   |       |         |  |
|            | ne PN<br>ctets. |       | add    | ress   | type   | indi  | cates   | IPv4, then the PMF IP address field contains an IPv4 address in  |
|            | ne PN<br>octet  |       | add    | ress   | type   | indi  | cates   | IPv6, then the PMF IP address field contains an IPv6 address in  |
| adc        |                 | es. T | he fir | st Pl  | ŴF II  | P ad  | dress   | IPv4IPv6, then the PMF IP address field contains two IP is an IPv4 address in 4 octets and the second PMF IP address |
|            | F 3G<br>work.   |       | ort (o | octet  | s b-4  | ⊦–b   | -3) is  | allocated port number associated with the 3GPP access  |
|            | F noi<br>ess r  |       |        | ort (o | octet  | s b-2 | 2 – b-′ | 1) is allocated port number associated with the non-3GPP   |
| AAI<br>Bit | RI (a           | cces  | s ava  | ilabil | ity re | eport | ing in  | dicator) (octet b, bit 1) is set as follows:   |
| 1          |                 |       |        |        |        |       |         |  |
| ~          | Do              | not r | epor   | t the  | acce   | ess a | availal | bility   |
| 0          |                 |       |        |        |        |       |         |  |



#### Table 6.1.5.2-2: PMF MAC address type

PMF 3GPP MAC address contains a 6 octets MAC address associated with the 3GPP access network.

PMF non-3GPP MAC address contains a 6 octets MAC address associated with the non-3GPP access network.

AARI (access availability reporting indicator) (octet a+13, bit 1) is set as follows: Bit

1

- 0 Do not report the access availability
- 1 Report the access availability

## 6.1.6 ATSSS PCO parameters

### 6.1.6.1 General

Clause 6.1.6 specifies PCO parameters used for ATSSS.

### 6.1.6.2 ATSSS request PCO parameter

The purpose of the ATSSS request PCO parameter is to provide UE parameters for MA PDU session management.

The ATSSS request PCO parameter container contents are coded as shown in figure 6.1.6.2-1 and table 6.1.6.2-1.

The ATSSS request PCO parameter container contents may be one or more octets long. If the ATSSS request PCO parameter container contents is longer than one octet, octets other than the first octet shall be ignored.

| 8     | 7     | 6     | 5     | 4 | 3    | 2     | 1 | _       |
|-------|-------|-------|-------|---|------|-------|---|---------|
| 0     | 0     | 0     | 0     |   | ATSS | SS-ST |   | octet 1 |
| Spare | Spare | Spare | Spare |   |      |       |   |         |

Figure 6.1.6.2-1: ATSSS request PCO parameter container contents

Table 6.1.6.2-1: ATSSS request PCO parameter container contents

|     |   |       |   | TSSS steering functionalities and steering modes (ATSSS-ST) (octet 1, |  |  |  |  |  |  |  |
|-----|---|-------|---|---|--|--|--|--|--|--|--|
| bit | bits 1, 2, 3 and 4) (see NOTE)  |       |   |   |  |  |  |  |  |  |  |
| T٢  | This field indicates the 5GSM capability of ATSSS steering functionalities and steering |       |   |   |  |  |  |  |  |  |  |
| m   | ode   | s.    |   |   |  |  |  |  |  |  |  |
| Bi  | ts  |       |   |   |  |  |  |  |  |  |  |
| 4   | 3   | 2     | 1   |   |  |  |  |  |  |  |  |
| 0   | 0   | 0     | 1   | ATSSS Low-Layer functionality with any steering mode supported        |  |  |  |  |  |  |  |
| 0   | 0   | 1     | 1 0 MPTCP functionality with any steering mode and ATSSS-LL functionality |   |  |  |  |  |  |  |  |
|     |   |       |   | with only active-standby steering mode supported                      |  |  |  |  |  |  |  |
| 0   | 0   | 1     | 1   | MPTCP functionality with any steering mode and ATSSS-LL functionality |  |  |  |  |  |  |  |
|     |   |       |   | with any steering mode supported                                      |  |  |  |  |  |  |  |
| AI  | l oth   | ner v | valu  | ies are reserved.   |  |  |  |  |  |  |  |
|     |   |       |   |   |  |  |  |  |  |  |  |
| AI  | l oth   | ner   | bits  | in octet 1 are spare and shall be coded as zero.                      |  |  |  |  |  |  |  |
|     |   |       |   |   |  |  |  |  |  |  |  |
| N   | DTE   |       | lf  | the ATSSS request PCO parameter is included in the PDN                |  |  |  |  |  |  |  |
|     |   |       | С   | ONNECTIVITY REQUEST message with the request type information         |  |  |  |  |  |  |  |
|     |   |       | el  | ement set to "handover", the ATSSS-ST field is ignored.               |  |  |  |  |  |  |  |

## 6.1.6.3 ATSSS response with the length of two octets PCO parameter

The purpose of the ATSSS response with the length of two octets PCO parameter is to provide network parameters for MA PDU session management.

The ATSSS response with the length of two octets PCO parameter container contents are coded as shown in figure 6.1.6.3-1 and table 6.1.6.3-1.

The ATSSS response with the length of two octets PCO parameter container contents may be one or more octets long. If the ATSSS response with the length of two octets PCO parameter container contents is longer than as indicated in the figure 6.1.6.3-1, the octets after the last field of the figure 6.1.6.3-1 shall be ignored.

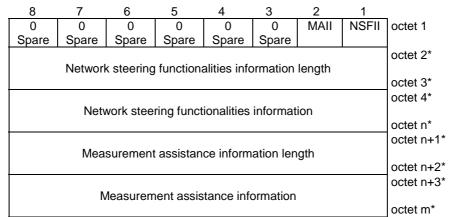


Figure 6.1.6.3-1: ATSSS response with the length of two octets PCO parameter container contents

#### Table 6.1.6.3-1: ATSSS response with the length of two octets PCO parameter container contents

| Network steering functionalities information indicato<br>This bit indicates whether the network steering function<br>and the network steering functionalities information<br>Bit   | tionalities information length field |
|--|--------------------------------------|
| 0 Network steering functionalities inform  | ation longth field and notwork       |
| steering functionalities information field   |                                      |
| 1   Network steering functionalities inform<br>steering functionalities information field  | ation length field and network       |
| Measurement assistance information indicator (MAI  | l) (octet 1, bit 2)                  |
| This bit indicates whether the measurement assistance information field are included and the included and th | nce information length field and the |
| Bit  |                                      |
| 2  |                                      |
| 0 Measurement assistance information l   |                                      |
| assistance information field not include   |                                      |
| 1 Measurement assistance information lassistance information field included.   | ength field and the measurement      |
| All other bits in octet 1 are spare and shall be coded   | l as zero.                           |
| The network steering functionalities information lengenetwork steering functionalities information field.  | th field indicates length of the     |
| The network steering functionalities information field figure 6.1.4.2-1, figure 6.1.4.2-2 and table 6.1.4.2-1.   |                                      |
| The measurement assistance information length fiel measurement assistance information field.   | d indicates length of the            |
| The measurement assistance information field is con<br>and table 6.1.5.2-1.  | ded as specified in figure 6.1.5.2-1 |
|  |                                      |
|  |                                      |

# 6.2 Encoding of performance measurement function (PMF) protocol (PMFP)

## 6.2.1 Message functional definitions and format

## 6.2.1.1 General

The following PMFP messages are specified:

- PMFP echo request;
- PMFP echo response;
- PMFP access report; and
- PMFP acknowledgement.

## 6.2.1.2 PMFP echo request

## 6.2.1.2.1 Message definition

The PMFP ECHO REQUEST message is sent by the UE to the UPF or by the UPF to the UE to initiate detection of RTT.

See table 6.2.1.2.1-1.

Message type: PMFP ECHO REQUEST

Significance: dual

Direction: UE to UPF or UPF to UE

### Table 6.2.1.2.1-1: PMFP ECHO REQUEST message content

| IEI | Information Element                   | Type/Reference                                  | Presence | Format | Length |
|-----|---------------------------------------|---|----------|--------|--------|
|     | PMFP echo request message<br>identity | Message type<br>6.2.2.1                         | М        | V      | 1      |
|     | EPTI                                  | Extended procedure transaction identity 6.2.2.2 | М        | V      | 2      |
|     | RI                                    | Request identity<br>6.2.2.5                     | М        | V      | 1      |
| 70  | Padding                               | Padding<br>6.2.2.6                              | 0        | TLV-E  | 3-1000 |

## 6.2.1.3 PMFP echo response

### 6.2.1.3.1 Message definition

The PMFP ECHO RESPONSE message is sent by the UPF to the UE or by the UE to the UPF as response to an PMFP ECHO RESPONSE message to enable detection of RTT.

See table 6.2.1.3.1-1.

Message type: PMFP ECHO RESPONSE

Significance: dual

Direction: UE to UPF or UPF to UE

| IEI | Information Element                    | Type/Reference                                  | Presence | Format | Length |
|-----|--|---|----------|--------|--------|
|     | PMFP echo response message<br>identity | Message type<br>6.2.2.1                         | М        | V      | 1      |
|     | EPTI                                   | Extended procedure transaction identity 6.2.2.2 | М        | V      | 2      |
|     | RI                                     | Request identity<br>6.2.2.5                     | М        | V      | 1      |
| 70  | Padding                                | Padding<br>6.2.2.6                              | 0        | TLV-E  | 3-1000 |

Table 6.2.1.3.1-1: PMFP ECHO RESPONSE message content

## 6.2.1.4 PMFP access report

#### 6.2.1.4.1 Message definition

The PMFP ACCESS REPORT message is sent by the UE to the UPF to inform the UPF about access availability or unavailability.

See table 6.2.1.4.1-1.

Message type: PMFP ACCESS REPORT

Significance: dual

Direction: UE to UPF

| IEI | Information Element                    | Type/Reference                                  | Presence | Format | Length |
|-----|--|---|----------|--------|--------|
|     | PMFP access report message<br>identity | Message type<br>6.2.2.1                         | М        | V      | 1      |
|     | EPTI                                   | Extended procedure transaction identity 6.2.2.2 | М        | V      | 2      |
|     | Access availability state              | Access availability state<br>6.2.2.3            | М        | V      | 1/2    |
|     | Spare half octet                       | Spare half octet<br>6.2.2.4                     | М        | V      | 1/2    |

## 6.2.1.5 PMFP acknowledgement

## 6.2.1.5.1 Message definition

The PMFP ACKNOWLEDGEMENT message is sent by the UPF to the UE to acknowledge reception of a PMFP ACCESS REPORT message.

See table 6.2.1.5.1-1.

Message type: PMFP ACKNOWLEDGEMENT

Significance: dual

Direction: UPF to UE

| IEI | Information Element  | Type/Reference                 | Presence | Format | Length |
|-----|----------------------|--------------------------------|----------|--------|--------|
|     | PMFP acknowledgement | Message type                   | М        | V      | 1      |
|     | message identity     | 6.2.2.1                        |          |        |        |
|     | EPTI                 | Extended procedure transaction | М        | V      | 2      |
|     |                      | identity                       |          |        |        |
|     |                      | 6.2.2.2                        |          |        |        |

Table 6.2.1.5.1-1: PMFP ACKNOWLEDGEMENT message content

## 6.2.2 Encoding of information element

### 6.2.2.1 Message type

Message type is a type 3 information element with length of 1 octet.

Table 6.2.2.1-1 defines the value part of the message type IE used in the PMFP.

Table 6.2.2.1-1: Message type

|   | 7 | 6 | 5 | 4 | 3 | 2 | 1 |                              |
|---|---|---|---|---|---|---|---|------------------------------|
|   | 0 | 0 | 0 | 0 | 0 | 0 | 1 | PMFP ECHO REQUEST message    |
| ) | 0 | 0 | 0 | 0 | 0 | 1 | 0 | PMFP ECHO RESPONSE message   |
| 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | PMFP ACCESS REPORT message   |
| 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | PMFP ACKNOWLEDGEMENT message |

### 6.2.2.2 Extended procedure transaction identity

The purpose of the extended procedure transaction identity information element is to enable distinguishing up to 10000H different bi-directional message flows. Such a message flow is called a transaction.

Extended procedure transaction identity is a type 3 information element with length of 2 octet.

The extended procedure transaction identity information element is coded as shown in figure 6.2.2.2-1.

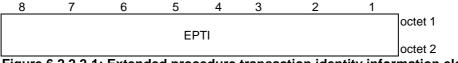


Figure 6.2.2.2-1: Extended procedure transaction identity information element

#### Table 6.2.2.2-1: Extended procedure transaction identity information element

EPTI (octet 1 to octet 4) Binary encoded EPTI value. EPTI values between 0000H and 7FFFH indicate a UE-initiated transaction. EPTI values between 8000H and FFFFH indicate a UPF-initiated transaction.

## 6.2.2.3 Access availability state

The purpose of the access availability state information element is to provide information about availability of access.

The access availability state is a type 1 information element.

The access availability state information element is coded as shown in figure 6.2.2.3-1 and table 6.2.2.3-1.

| 8    | 7          | 6            | 5   | 4     | 3     | 2    | 1   |         |
|------|------------|--------------|-----|-------|-------|------|-----|---------|
| Acce | ess availa | bility state | IEI | 0     | 0     | AN3A | A3A | octet 1 |
|      |            |              |     | spare | spare |      |     |         |

Figure 6.2.2.3-1: Access availability state information element

#### Table 6.2.2.3-1: Access availability state information element

| A | Availability over 3GPP access (A3A) (octet 1, bit 1)     |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|
| В | it   |  |  |  |  |  |  |  |
| 1 |  |  |  |  |  |  |  |  |
| 0 | 3GPP access not available                                |  |  |  |  |  |  |  |
| 1 | 3GPP access available                                    |  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |  |  |
| A | vailability over non-3GPP access (AN3A) (octet 1, bit 2) |  |  |  |  |  |  |  |
| В |  |  |  |  |  |  |  |  |
| 2 |  |  |  |  |  |  |  |  |
| 0 | non-3GPP access not available                            |  |  |  |  |  |  |  |
| 1 | non-3GPP access available                                |  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |  |  |

## 6.2.2.4 Spare half octet

This information element is used in the description of messages when an odd number of half octet type 1 information elements are used. This element is filled with spare bits set to zero and is placed in bits 5 to 8 of the octet unless otherwise specified.

## 6.2.2.5 Request identity

The purpose of the Request identity information element is to enable association of a PMF ECHO RESPONSE message with one of PMF ECHO REQUEST messages sent within one RTT measurement procedure.

The Request identity is a type 3 information element with length of 1 octet.

The Request identity information element is coded as shown in figure 6.2.2.5-1 and table 6.2.2.5-1.

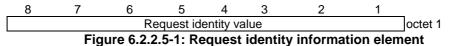


Table 6.2.2.5-1: Request identity information element

| Re<br>Bit | • | est i | den | tity | valı | ne ( | octe | 1)                            |
|-----------|---|-------|-----|------|------|------|------|-------------------------------|
| 8         | 7 | 6     | 5   | 4    | 3    | 2    | 1    |                               |
| 0<br>to   | 0 | 0     | 0   | 0    | 0    | 0    | 0    | \<br>} Request identity value |
|           | 1 | 1     | 1   | 1    | 1    | 1    | 1    |                               |

#### 6.2.2.6 Padding

The purpose of the Padding information element is to extend the PMFP message to length requested by upper layers.

The Padding information information element is coded as shown in figure 6.2.2.6-1.

The Padding information is a type 6 information element with a minimum length of 3 octets.

| 8     | 7     | 6      | 5       | 4        | 3       | 2        | 1      |          |
|-------|-------|--------|---------|----------|---------|----------|--------|----------|
|       |       |        | Paddii  | ng IEI   |         |          |        | octet 1  |
|       |       |        |         |          |         |          |        | octet 2  |
|       |       |        | Padding | g length |         |          |        |          |
|       |       |        |         |          |         |          |        | octet 3  |
| 0     | 0     | 0      | 0       | 0        | 0       | 0        | 0      | octets 3 |
| Spare | Spare | Spare  | Spare   | Spare    | Spare   | Spare    | Spare  |          |
|       |       |        |         |          |         |          |        | octet n  |
|       |       | Eiguro | 67761   | · Daddi  | a infor | mation a | lomont |          |

Figure 6.2.2.6-1: Padding information element

## 6.3 Encoding of 3GPP IEEE MAC based protocol family

Ethertype of the 3GPP IEEE MAC based protocol family is XYZ.

Editor's note: ethertype of the 3GPP IEEE MAC based protocol family will be assigned by IEEE.

The MAC client data field of a MAC frame as specified in IEEE 802.3 [12] with the length/type field set to the ethertype of the 3GPP IEEE MAC based protocol family contains a 3GPP IEEE MAC based protocol family envelope. The 3GPP IEEE MAC based protocol family envelope is encoded as shown in figure 6.3-1 and table 6.3-1.

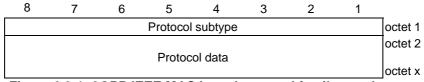


Figure 6.3-1: 3GPP IEEE MAC based protocol family envelope

| Dre                              | Protocol subtype (octet 1)  |     |     |      |      |       |        |  |  |
|----------------------------------|---|-----|-----|------|------|-------|--------|--|--|
|                                  |   |     |     |      |      |       |        |  |  |
| Ih                               | e pr  | oto | COL | sub  | type | e fie | Id ide | ntifies protocol of the protocol data field.   |  |
| Bit                              | s   |     |     |      |      |       |        |  |  |
| 8                                | 7   | 6   | 5   | 4    | 3    | 2     | 1      |  |  |
| -                                |   | -   | -   |      | -    |       | 1      | Performance measurement function protocol (PMFP).  |  |
| All                              | All other values are reserved.  |     |     |      |      |       |        |  |  |
| If ti<br>(PI<br>me<br>ind<br>coi | Protocol data (octets 2 to x)<br>If the protocol subtype field is set to "Performance measurement function protocol<br>(PMFP)", the protocol data field shall be encoded as a sequence of a two octets PMFP<br>message length field and a PMFP message field. The PMFP message length field shall<br>indicate the length in octets of the PMFP message field. The PMFP message field shall<br>contain a PMFP message as specified in subclause 6.2.1. |     |     |      |      |       |        |  |  |
| NC                               | DTE   |     |     |      |      |       |        | all not set the protocol subtype field to a reserved value. A<br>Il ignore a 3GPP IEEE MAC based protocol family |  |
|                                  |   |     | en  | velo | ppe  | if th | e prot | ocol subtype field is set to a reserved value.   |  |

## 7 List of system parameters

## 7.1 General

The description of timers in the tables of clause 7 should be considered a brief summary. The precise details are found in clause 5, which should be considered the definitive descriptions.

# 7.2 Timers of performance measurement function (PMF) protocol (PMFP)

Timers of PMFP are shown in table 7.2-1 and table 7.2-2.

| TIMER<br>NUM.   | TIMER<br>VALUE | CAUSE OF START   | NORMAL STOP  | ON<br>THE<br>1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> |  |  |  |  |
|-----------------|----------------|--|--|--|--|--|--|--|
| Tx              | 1s             | Transmission of the first PMFP<br>ECHO REQUEST message | A PMFP ECHO<br>RESPONSE<br>message received<br>for each sent PMFP<br>ECHO REQUEST<br>message | EXPIRY (NOTE 1)<br>Abort of the<br>procedure.                                      |  |  |  |  |
| Tz              | NOTE 2         | Transmission of PMFP ACCESS<br>REPORT message          | ACKNOWLEDGEM   | Retransmission of<br>PMFP ACCESS<br>REPORT message                                 |  |  |  |  |
| a<br>NOTE 2: II |                |  |  |  |  |  |  |  |

Table 7.2-1: Timers of PMFP – UE side

| Table 7.2-2: Timers of PMFP – UPF side | Table 7.2-2: | Timers of | PMFP - | <b>UPF</b> side |
|--|--------------|-----------|--------|-----------------|
|--|--------------|-----------|--------|-----------------|

| TIMER<br>NUM. | NUM. VALUE THE<br>1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup><br>EXPIRY (NOTE 1)  |  |  |  |  |  |  |  |  |  |
|---------------|---|--|--|--|--|--|--|--|--|--|
| Ту            | NOTE 2 Transmission of the first PMFP A PMFP ECHO Abort of the procedure.   ECHO REQUEST message message received for each sent PMFP ECHO REQUEST   |  |  |  |  |  |  |  |  |  |
| a             | NOTE 1: Typically, the procedures are aborted on the fifth expiry of the relevant timer. Exceptions are described in the corresponding procedure description.   NOTE 2: The value of this timer is network dependent. |  |  |  |  |  |  |  |  |  |

# 8 Handling of unknown, unforeseen, and erroneous PMFP data

## 8.1 General

The procedures specified in the clause apply to those messages which pass the checks described in this clause.

This clause also specifies procedures for the handling of unknown, unforeseen, and erroneous PMFP data by the receiving entity. These procedures are called "error handling procedures", but in addition to providing recovery mechanisms for error situations they define a compatibility mechanism for future extensions of the PMFP.

Clauses 8.1 to 8.8 shall be applied in order of precedence.

Detailed error handling procedures in the network are implementation dependent and may vary from PLMN to PLMN. However, when extensions of PMFP are developed, networks are assumed to have the error handling which is indicated in this clause as mandatory ("shall") and that is indicated as strongly recommended ("should").

Also, the error handling of the network is only considered as mandatory or strongly recommended when certain thresholds for errors are not reached during a dedicated connection.

For definition of semantical and syntactical errors see 3GPP TS 24.007 [13], clause 11.4.2.

ETSI

## 8.2 Message too short or too long

## 8.2.1 Message too short

When a message is received that is too short to contain a complete message type information element, that message shall be ignored, c.f. 3GPP TS 24.007 [13].

## 8.2.2 Message too long

The maximum size of a PMFP message is 65535 octets.

## 8.3 Unknown or unforeseen extended procedure transaction identity (EPTI)

## 8.3.1 Extended procedure transaction identity (EPTI)

The following network procedures shall apply for handling an unknown, erroneous, or unforeseen EPTI received in a PMFP message:

a) In case the network receives a PMFP ECHO RESPONSE message in which the EPTI value does not match any EPTI in use, the network shall ignore the PMFP message.

The following UE procedures shall apply for handling an unknown, erroneous, or unforeseen EPTI received in a PMFP message:

a) In case the UE receives a PMFP ECHO RESPONSE message or a PMFP ACKNOWLEDGEMENT message in which the EPTI value does not match any EPTI in use, the UE shall ignore the PMFP message.

## 8.4 Unknown or unforeseen message type

If the UE or the network receives a PMFP message with message type not defined for the PMFP or not implemented by the receiver, it shall ignore the PMFP message.

NOTE: A message type not defined for the PMFP in the given direction is regarded by the receiver as a message type not defined for the PMFP, see 3GPP TS 24.007 [13].

If the UE receives a message not compatible with the PMFP state, the UE shall ignore the PMFP message.

If the network receives a message not compatible with the PMFP state, the network actions are implementation dependent.

## 8.5 Non-semantical mandatory information element errors

## 8.5.1 Common procedures

When on receipt of a message,

- a) an "imperative message part" error; or
- b) a "missing mandatory IE" error;

is diagnosed or when a message containing:

- a) a syntactically incorrect mandatory IE;
- b) an IE unknown in the message, but encoded as "comprehension required" (see 3GPP TS 24.007 [13]); or
- c) an out of sequence IE encoded as "comprehension required" (see 3GPP TS 24.007 [13]) is received;

the UE shall ignore the PMFP message and the network shall:

- a) try to treat the message (the exact further actions are implementation dependent); or
- b) ignore the message.

## 8.6 Unknown and unforeseen IEs in the non-imperative message part

## 8.6.1 IEIs unknown in the message

The UE shall ignore all IEs unknown in a message which are not encoded as "comprehension required" (see 3GPP TS 24.007 [13]).

The network shall take the same approach.

## 8.6.2 Out of sequence IEs

The UE shall ignore all out of sequence IEs in a message which are not encoded as "comprehension required" (see 3GPP TS 24.007 [13]).

The network should take the same approach.

## 8.6.3 Repeated IEs

If an information element with format T, TV, TLV, or TLV-E is repeated in a message in which repetition of the information element is not specified in clause 6.2.1, the UE shall handle only the contents of the information element appearing first and shall ignore all subsequent repetitions of the information element. When repetition of information elements is specified, the UE shall handle only the contents of specified repeated information elements. If the limit on repetition of information elements is exceeded, the UE shall handle the contents of information elements appearing first up to the limit of repetitions and shall ignore all subsequent repetitions of the information element.

The network should follow the same procedures.

## 8.7 Non-imperative message part errors

## 8.7.1 General

This category includes:

- a) syntactically incorrect optional IEs; and
- b) conditional IE errors.

## 8.7.2 Syntactically incorrect optional IEs

The UE shall treat all optional IEs that are syntactically incorrect in a message as not present in the message.

The network shall take the same approach.

## 8.7.3 Conditional IE errors

NOTE: In this release of specification, there are no conditional IEs.

When upon receipt of a PMFP message the UE diagnoses a "missing conditional IE" error or an "unexpected conditional IE" error, or when it receives a PMFP message containing at least one syntactically incorrect conditional IE, the UE shall ignore the message.

When the network receives a message and diagnoses a "missing conditional IE" error or an "unexpected conditional IE" error or when it receives a message containing at least one syntactically incorrect conditional IE, the network shall either:

- a) try to treat the message (the exact further actions are implementation dependent); or
- b) ignore the message.

## 8.8 Messages with semantically incorrect contents

When a message with semantically incorrect contents is received, the UE shall perform the foreseen reactions of the procedural part of clause 5.4. If, however no such reactions are specified, the UE shall ignore the message.

The network should follow the same procedure.

## Annex A (informative): Registration templates

## A.1 IEEE registration templates

A.1.1 IEEE registration templates for ethertype values

## A.1.1.1 IEEE registration templates for ethertype value for 3GPP IEEE MAC based protocol family

Editor's note: MCC is requested to apply in IEEE-RA for allocation of an ethertype value according to this template.

Registration URL:

http://standards.ieee.org/develop/regauth/ethertype/index.html

Registry:

ethertype

Detailed description:

This application requests allocation of an ethertype value for 3GPP IEEE MAC based protocol family, as specified in IEEE 802 [11].

Protocol description:

The MAC client data field of a MAC frame as specified in IEEE 802.3 [12] of the 3GPP IEEE MAC based protocol family is formatted as follows:

- octet 1 of the MAC client data field is the protocol subtype field.
- remaining octets of the MAC client data field are the protocol data field.

The protocol subtype field set to one identifies the performance measurement function protocol specified in 3GPP TS 24.193.

3GPP TS 24.193 enables assignment of further protocols to values of the protocol subtype field.

Assignment quantity:

1

Additional comments:

1) Does the company requesting the assignment have any existing Ethertype assignments?

Yes.

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1a) Does the existing use of the original assignment support sub-typing?

No.

1b) Does the current applicant know who is currently responsible for maintenance of the previously assigned Ethertype? Yes.

1c) Has the company considered using sub-typing of the older Ethertype for the new use under application?

Yes. Sub-typing of the older Ethertype for the new use under application is not possible.

1d) Given the above, why is a new Ethertype needed?

See detailed description for the new use under application.

2) Has the new protocol been developed and tested in accordance with clause 9 and especially clause 9.2.3 and Figure 12 of IEEE Std 802-2014 [11], IEEE Standard for Local and Metropolitan Area Networks: Overview and Architecture?

The 3GPP IEEE MAC based protocol family has been developed as follows:

- the first octet of the MAC client data field of a MAC frame as specified in IEEE 802.3 [12] of the 3GPP IEEE MAC based protocol family contains the protocol subtype field.
- the MAC client data field of the MAC frame of the 3GPP IEEE MAC based protocol family does not contain a protocol version field. If a protocol identified by an existing protocol subtype field value is modified in a backward-compatible way, there is no need to indicate a protocol version. If a protocol identified by an existing protocol subtype field value needs to be modified in a backward-incompatible way, a new protocol subtype field value will be assigned to the modified protocol.

The 3GPP IEEE MAC based protocol family has not been tested.

3) Have the full provisions of Figure 12 for the "Protocol identification field" in the protocype protocol been preserved in the final version of the protocol for which the new EtherType is being requested?

The first octet of the MAC client data field of a MAC frame as specified in IEEE 802.3 [12] of the 3GPP IEEE MAC based protocol family contains the protocol subtype field.

The MAC client data field of the MAC frame of the 3GPP IEEE MAC based protocol family does not contain a protocol version field. If a protocol identified by an existing protocol subtype field value is modified in a backward-compatible way, there is no need to indicate a protocol version. If a protocol identified by an existing protocol subtype field value needs to be modified in a backward-incompatible way, a new protocol subtype field value will be assigned to the modified protocol.

This is preserved in the final version.

4) What provisions have been made for maintaining and assigning sub-types going forward within your company? Please provide an example of the first 10 bytes/octets as an example.

3GPP TS 24.193 enables assignment of protocols to values of the protocol subtype field. A sending entity shall not set the protocol subtype field to a reserved value. A receiving entity shall ignore the MAC client data field, if the protocol

subtype field is set to a reserved value. 3GPP TS 24.193 so far contains an assignment for the performance measurement function to value one of the protocol subtype field.

For the performance measurement function protocol:

- value of octet 1 of the MAC client data field is set to one.

- values of octet 2 and octet 3 of the MAC client data field contain the length of the performance measurement function protocol message.

- value of octet 4 of the MAC client data field is set to the message type of the performance measurement function protocol.

- value of octet 5 and octet 6 of the MAC client data field is set to the extended procedure transaction identity of the performance measurement function protocol, enabling distinguishing of procedures running in parallel.

- values of octet 7 and later octets of the MAC client data field depend on the message type of the performance measurement function protocol.

## Annex B (informative): Change history

| Change history |               |           |      |     |     |   |                |  |
|----------------|---------------|-----------|------|-----|-----|---|----------------|--|
| Date           | Meeting       | TDoc      | CR   | Rev | Cat | Subject/Comment   | New<br>version |  |
| 2019-02        | CT1#115       |           |      |     |     | TS skeleton and scope are provided by C1-191625 and C1-<br>191704 respectively.   | 0.0.0          |  |
| 2019-04        | CT1#116       |           |      |     |     | Includes the following contributions agreed by CT1 at CT1#116: C1-192468, C1-192471, C1-192472.   | 0.1.0          |  |
| 2019-05        | CT1#117       |           |      |     |     | Includes the following contributions agreed by CT1 at CT1#117: C1-193488, C1-193489, C1-193769, C1-193770.  | 0.2.0          |  |
| 2019-09        | CT1#119       |           |      |     |     | Includes the following contributions agreed by CT1 at<br>CT1#119: C1-194735, C1-194736, C1-194738, C1-194740,<br>C1-194934, C1-194938, C1-194941, C1-194975, C1-195119,<br>C1-195123, C1-195161, C1-195162. | 0.3.0          |  |
| 2019-10        | CT1#120       |           |      |     |     | Includes the following contributions agreed by CT1 at<br>CT1#120: C1-196191, C1-196712, C1-196746, C1-196748,<br>C1-196749, C1-196750, C1-196751, C1-196752, C1-196753,<br>C1-196947.                       | 0.4.0          |  |
| 2019-11        | CT1#121       |           |      |     |     | Includes the following contributions agreed by CT1 at<br>CT1#121: C1-198239, C1-198709, C1-198712, C1-198713,<br>C1-198714, C1-199036.  | 0.5.0          |  |
| 2019-12        | CT#86         | CP-193150 |      |     |     | Presentation for information at TSG CT  | 1.0.0          |  |
| 2019-12        | CT#86         | CP-192387 |      |     |     | A title updated   | 1.0.1          |  |
| 2020-03        | CT1#122-<br>e |           |      |     |     | Includes the following contributions agreed by CT1 at<br>CT1#122-e: C1-200461, C1-200630, C1-200789, C1-200807,<br>C1-200928, C1-200929, C1-200988, C1-201000, C1-201009,<br>C1-201014, C1-201036.          | 1.1.0          |  |
| 2020-05        | CT1#123-<br>e |           |      |     |     | Includes the following contributions agreed by CT1 at CT1#123-e: C1-202124, C1-202533, C1-202642, C1-202661, C1-202679, C1-202818.  | 1.2.0          |  |
| 2020-06        | CT1#124-<br>e |           |      |     |     | Includes the following contributions agreed by CT1 at<br>CT1#124-e: C1-203050, C1-203051, C1-203075, C1-203076,<br>C1-203077, C1-204002, C1-204015, C1-204016.  | 1.3.0          |  |
| 2020-06        | CT#88e        | CP-201173 |      |     |     | Presentation for approval at TSG CT   | 2.0.0          |  |
| 2020-07        | CT#88e        |           |      |     |     | Version 16.0.0 created after approval   | 16.0.0         |  |
| 2020-09        | CT#89e        | CP-202153 | 0001 | 1   | F   | Correction on the necessity of ATSSS Container IE   | 16.1.0         |  |
| 2020-09        | CT#89e        | CP-202153 | 0003 | 1   |     | Clarification on whether UP resources are established on<br>3GPP and non-3GPP accesses  | 16.1.0         |  |
| 2020-09        | CT#89e        | CP-202153 | 0004 | 1   | F   | Handling of MA PDU session after an inter-system change from N1 mode to S1 mode   | 16.1.0         |  |
| 2020-09        | CT#89e        | CP-202153 | 0005 | 1   | F   | ATSSS rule with steering functionality not supported by the UE  | 16.1.0         |  |
| 2020-09        | CT#89e        | CP-202153 | 0006 | 1   | F   | Clarification on MAI for PMFP   | 16.1.0         |  |
| 2020-09        | CT#89e        | CP-202153 | 0007 | 1   | F   | PMFP messages transported over default QoS flow   | 16.1.0         |  |
| 2020-09        | CT#89e        | CP-202153 | 8000 | 1   | F   | RFC for draft-ietf-tcpm-converters  | 16.1.0         |  |
| 2020-12        | CT#90e        | CP-203179 | 0010 | 1   | F   | Clarification on receipt of MA PDU session release command  | 16.2.0         |  |
| 2020-12        | CT#90e        | CP-203179 | 0011 | 3   | F   | Clarifications on using DRB/IPSecSA as indication to MA PDU session UP resources establishment  | 16.2.0         |  |
| 2020-12        | CT#90e        | CP-203179 | 0012 | 1   | F   | IEI value for the Padding IE  | 16.2.0         |  |
| 2020-12        | CT#90e        | CP-203179 | 0014 |     | F   | Correction for PMFP messages sent via Ethernet PDU session  | 16.2.0         |  |
| 2020-12        | CT#90e        | CP-203179 | 0015 | 1   | F   | Correction for EPTI length  | 16.2.0         |  |
| 2020-12        | CT#90e        | CP-203179 | 0017 | 1   | F   | Correction for PMFP timer values  | 16.2.0         |  |
| 2020-12        | CT#90e        | CP-203179 | 0018 | 1   | F   | Support of regular expression in ATSSS rules  | 16.2.0         |  |
| 2020-12        | CT#90e        | CP-203179 | 0020 | 1   |     | Transport Converter procedure   | 16.2.0         |  |
| 2020-12        | CT#90e        | CP-203179 | 0022 | †   | F   | Clarification on non-allowed area applied to wireline access  | 16.2.0         |  |
| 2020-12        | CT#90e        | CP-203179 | 0023 | 1   |     | Introduction of IP 3-tuple type   | 16.2.0         |  |

## History

|         | Document history |             |  |  |  |  |  |  |  |  |
|---------|------------------|-------------|--|--|--|--|--|--|--|--|
| V16.0.0 | July 2020        | Publication |  |  |  |  |  |  |  |  |
| V16.1.0 | October 2020     | Publication |  |  |  |  |  |  |  |  |
| V16.2.0 | January 2021     | Publication |  |  |  |  |  |  |  |  |
|         |                  |             |  |  |  |  |  |  |  |  |
|         |                  |             |  |  |  |  |  |  |  |  |