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Contents

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

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x   the first digit:

1   presented to TSG for information;

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y   the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

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1 Scope

The present document provides an overview and overall description of the UTRA radio interface functionalities from Release 12 onwards which are not covered by the Technical Specifications TS 25.308 [2] or TS 25.319 [3].

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1]   3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2]   3GPP TS 25.308: "UTRA HSDPA: UTRAN Overall Description (Stage 2) ".

[3]   3GPP TS 25.319: "Enhanced Uplink: Overall description (Stage 2) ".

[4]   3GPP TS 24.008: "Mobile radio interface layer 3 specification, Core Network Protocols - Stage 3".

[5]   3GPP TS 23.060: "General Packet Radio Service (GPRS); Service description; Stage 2".

[6]   3GPP TS 23.682: "Architecture enhancements to facilitate communications with packet data networks and applications".

[7]   3GPP TR 25.704: "Study on enhanced broadcast of system information".

[8]   3GPP TS 24.312: "Access Network Discovery and Selection Function (ANDSF) Management Object (MO)".

[9]   3GPP TS 25.304: "User Equipment (UE) procedures in idle mode and procedures for cell reselection in connected mode".

[10]   3GPP TS 23.402: "Architecture enhancements for non-3GPP accesses".

[11]   3GPP TS 25.133: "Requirements for support of radio resource management (FDD)".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

Power saving mode: Mode configured and controlled by NAS that allows the UE to reduce its power consumption, as defined in TS 24.008 [4], TS 23.060 [5], TS 23.682 [6].

3.2 Symbols

For the purposes of the present document, the following symbols apply:

<symbol> <Explanation>

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

ANDSF	Access Network Discovery and Selection Function
DPCCH2	Dedicated Physical Control Channel 2
NCL	Neighbour Cell List
OPI	Offload Preference Indicator
PSM	Power Saving Mode
WLAN	Wireless Local Area Network

4 Heterogeneous Networks Mobility Enhancements

Neighbour Cell List (NCL) extension

- The size of the inter-frequency neighbour cell list is extended for CELL_DCH, CELL_FACH, CELL_PCH, URA_PCH states and Idle mode, so that network could configure more inter-frequency neighbour cells than 32 for UE to monitor and detect under massive small cell deployment scenario.

Change of best cell on a configured secondary downlink frequency (event 2g)

- Event 2g is an inter-frequency measurement event. It is applicable only to the secondary downlink frequency with configured HS-DSCH operation, and it can be configured on more than one secondary downlink frequency.

Enhanced Serving Cell Change for Event 1C

- The enhanced Serving Cell Change procedure could also be applied to Event 1C, which is defined in TS 25.308 [2].

5 Heterogeneous Networks Enhancements

Serving E-DCH cell decoupling

- Serving E-DCH cell decoupling is introduced in order to improve the quality of reception of the uplink E-DCH control channels and the E-DCH SI in the presence of strong uplink/downlink imbalance. The UE is configured with different serving HS-DSCH cell and serving E-DCH cell.

Radio Links without DPCH/F-DPCH

- The UE is configured with a subset of non-serving E-DCH radio links in the UE"s E-DCH active set to operate in the absence of DPCH/F-DPCH. However, a UE is allowed to only receive either E-HICH or both E-HICH and E-RGCH from these non-serving E-DCH cells to mitigate uplink interference to a cell that is unable to power control a UE in the presence of strong uplink/downlink imbalance.

DPCCH2 transmission

- In order to improve the quality of reception of the HS-DPCCH in the presence of strong uplink/imbalance, a new secondary uplink pilot channel (DPCCH2) is introduced in the serving HS-DSCH cell as the reference for the HS-DPCCH channel power.

6 DCH Enhancements (FDD only)

DCH enhancements aims at improving the link efficiency and UE battery performance for voice calls compared to R99 DCH. DCH enhancements constitutes of the following sub-features:

- DL overhead optimization

- Enhanced rate matching and transport channel multiplexing

- DL Frame Early Termination (DL FET)

- Uplink DPCCH with DL FET ACK

- Uplink DPDCH dynamic 10ms transmission

DCH enhancements supports two modes (Basic and Full). The mode choice controls how the DL Frame Early Termination sub-feature operates, as described in 6.3. All other sub-features are active in both modes.

DCH enhancements is only applicable for DCH 20 ms TTI on downlink.

6.1 DL overhead optimization

This sub-feature introduces new DL DPCH slot format by removing the dedicated pilot bits from DL DPCCH and reusing them for DL DPDCH instead.

The R99 downlink physical channel (DPCH) consists of 0.66ms slots that contain 2 groups of data (DPDCH) symbols and 3 groups of control (DPCCH) symbols. The size of the groups is determined by the slot format. The control symbol groups are TPC - controlling uplink transmit power, TFCI - specifying the downlink packet type, and dedicated pilot - supporting channel estimation for DL power control and closed-loop transmit diversity. While the TFCI group may be empty in certain slot formats, the pilot and TPC are currently always non-empty. The dedicated pilot bits are used for estimation of DL SIR. With this sub-feature, new DL DPCH slot formats are introduced by removing the dedicated pilot bits and reusing the TPC bits instead for estimating the DL SIR. Correspondingly, the number of data symbols in a slot is increased leading to less control channel overhead on the downlink.

DL closed-loop transmit diversity is not supported when this sub-feature is configured.

6.2 Enhanced rate matching and transport channel multiplexing

The physical layer in R99 is designed to carry potentially a large variety of transport blocks with different sizes. The drawback for this design is the rate matching may not be efficient when some transport format combinations are not frequently used. For example, DCCH channel carries non-zero transport blocks not as often as voice DTCH channel. The enhanced rate matching and transport channel multiplexing sub-feature sets a zero rate matching attribute for DCCH, whenever DCCH channel does not carry a transport block together with DTCH channel. The DCCH bit fields are used to transmit DTCH transport channels instead. This potentially improves link efficiency due to less puncturing and better rate matching of the transport block with the available physical channel resources.

6.3 DL frame early termination (DL FET) and UL DPCCH with DL FET ACK

In a power-controlled system such as R99 DCH, inefficiencies in the power-control loop, such as limited granularity, delays and errors in the feedback, result in the presence of excess SINR at the receiver. This means that packets such as the voice packets which have a long (20ms) transmission time interval (TTI) can often be early-decoded, i.e, decoded prior to reception of all the data symbols in a TTI by running the channel decoder at multiple time instants during the TTI instead of only once at the end of the TTI. This is referred to as Frame Early Termination (FET). As described below, DCH enhancements introduces new mechanisms to R99 DCH in order to support DL FET.

A new design of UL DPCCH is introduced to support DL FET. With the new design, TFCI information is carried in the first 10 slots of each 20ms TTI for the uplink. Sending the TFCI information early in each 20ms TTI allows sending of DL FET ACK or NACK information using the TFCI bits in remaining UL DPCCH slots that do not carry TFCI.

Furthermore, there are two modes of operation introduced with support for DL FET in DCH enhancements as described below.

6.3.1 DL FET Full mode (Mode 1)

In the Full mode of operation:

- The UE acknowledges successful early decoding of a DL packet via a DL FET ACK on the newly designed UL DPCCH channel, which then allows the NodeB to stop transmission of the packet.

- AMR Class A, B, C transport channels are concatenated on the DL which further helps in early decoding of DL DPDCH.

6.3.2 DL FET Basic mode (Mode 0)

In the Basic mode of operation:

- DL FET is achieved by applying the DL BLER target at slot 14 (10ms) in each 20ms TTI duration. The NodeB may decide to stop transmission of the DL voice packet at slot 14 provided that the Uplink is in 10ms transmission mode (see sub-clause 6.4). The UE does not indicate successful decoding of the DL packet via the DL FET ACK or NACK field in UL DPCCH.

- AMR Class A, B, C transport channels are not concatenated on the downlink.

6.4 Uplink DPDCH dynamic 10ms transmission

The R99 DCH transport channels for a voice call are typically configured with 20ms TTI. However, the transport block sizes for a voice call could potentially be transmitted over a shorter duration. The sub-feature of uplink DPDCH dynamic 10ms transmission allows for dynamically selecting a shorter transmission time, i.e. 10ms, at the physical layer to transmit a voice packet on the uplink. The UE selects on whether to use a 10ms or 20ms transmission duration based on considerations such as the power headroom at the UE. The UE also discontinues the transmission of UL DPCCH for the remaining duration of the TTI when both UL transport block has been completed transmitted and DL has been successfully decoded early.

With 20ms TTI transmission at the physical layer, the pilot channel (UL DPCCH) is sent for the entire 20ms duration. This sub-feature potentially improves link efficiency due to reduction in UL DPCCH overhead as well as improves UE battery performance by allowing the UE to turn off its transceiver once the reception and transmission has been completed before the end of a 20ms TTI.

7 Access Control in Connected Mode (CELL_FACH and CELL_PCH)

For FDD, certain categories of UEs may be configured for Access Control in connected mode. This feature allows for a network to differentiate and control accesses of UE for DTCH transmission in CELL_FACH state (on either RACH or E-DCH) and CELL_PCH (with seamless transition to CELL_FACH), when Uplink congestion is being experienced.

The network may differentiate among the UE population by assigning UEs to one of 16 defined Access Groups. The network can indicate the identity of the access group to which the UE is assigned via RRC dedicated signalling.

For each network assigned Access Group, the network can indicate in System Information whether the UEs in CELL_FACH state (on either RACH or E-DCH) or CELL_PCH state (with seamless transition to CELL_FACH state) in that group are Blocked or Unblocked for DTCH data transmission. The System Information Block containing the Access Group information is scheduled by the network only during periods of Uplink congestion. A UE in CELL_FACH state (on either RACH or E-DCH) or CELL_PCH state (with seamless transition to CELL_FACH state) which has data to transmit and has an access group identity will reacquire the System Information Block containing the Access Group information based on the expiration of a timer.

A UE in CELL_FACH state (on either RACH or E-DCH) or CELL_PCH state (with seamless transition to CELL_FACH) which is blocked for DTCH transmission in the Uplink is permitted to transmit Uplink RLC CONTROL PDUs.

8 Access control enhancements

8.1 DSAC and PPAC update for the UE in CELL_DCH state

In CELL_DCH state, it allows the network to indicate to the UE about the DSAC and PPAC parameters through dedicated signalling so that the UE can obtain the updated DSAC and PPAC information.

9 Enhanced Broadcast of System Information

9.1 Second system information broadcast channel

In order to increase system information capacity (see TR 25.704 [7]) a second system information broadcast channel on SCCPCH can be configured, in addition to the system information broadcast channel on PCCPCH.

The second system information broadcast channel is mapped to a separate SCCPCH, which is different from the SCCPCH used for paging and FACH/CTCH, as depicted in Figure 9.1-1.
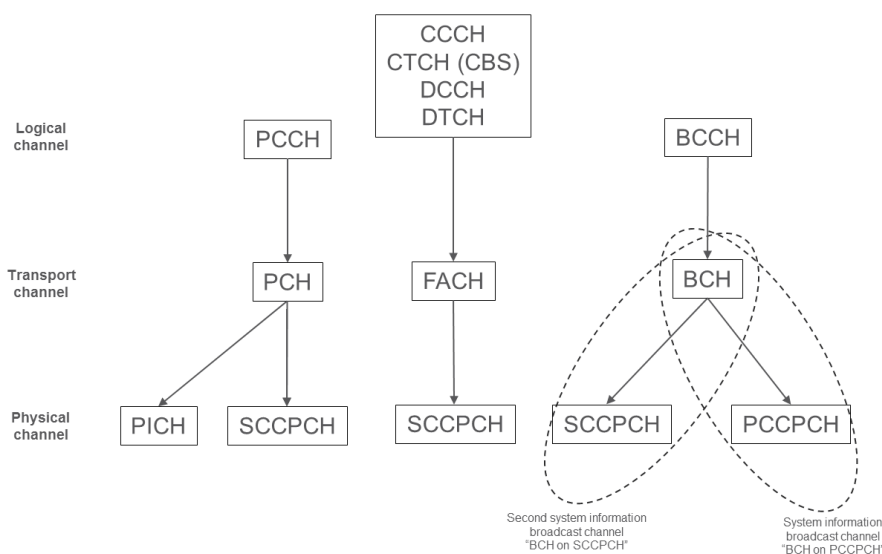

Figure 9.1-1: Channel mapping of system information broadcast channel and second system information broadcast channel.

The UE should be able to monitor at least two SCCPCHs simultaneously, but the UE may skip reading the second system information broadcast channel during CTCH occasions in Idle mode and CELL_PCH/URA_PCH state. When HS-DSCH in CELL_FACH is used, a UE supporting second system information broadcast channel monitors the corresponding SCCPCH while listening to HS-DSCH.

REL-12 and later SIBs are introduced on both the system information broadcast channel as well as the second system information broadcast channel. Pre-REL-12 SIBs may be broadcasted on the second system information broadcast channel in addition to the system information broadcast channel. Any SIB type may be scheduled simultaneously on system information broadcast channel and second system information broadcast channel provided that the content is the same.

Most of the existing principles and procedures for system information reading are retained for the second system information broadcast channel. To reduce the latency to acquire the system information on both system information broadcast channel and second system information broadcast channel, the UE acquires the system information on both channels simultaneously. The simultaneous acquisition of system information on both system information broadcast channel and second system information broadcast channel is depicted in Figure 9.1-2.
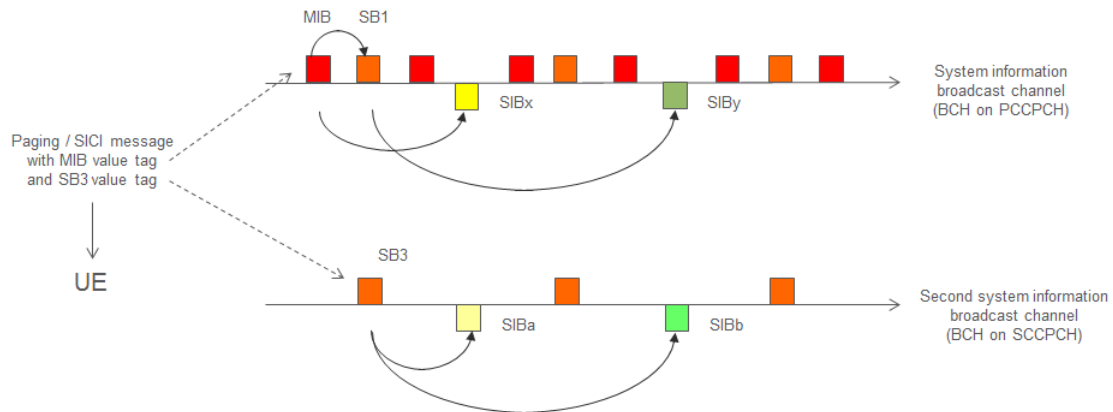

Figure 9.1-2: System information acquisition on system information broadcast channel and second system information broadcast channel.

When the SB3 value tag in PAGING TYPE 1 or SYSTEM INFORMATION CHANGE INDICATION (SICI) message is updated the UE supporting second system information broadcast channel is required to re-acquire the system information on the second system information broadcast channel. When the SB3 value tag is updated, but the MIB value tag is not, the UE supporting second system information broadcast channel is only required to re-acquire the system information on the second system information broadcast channel.

The scheduling block 3 (SB3) contains the scheduling information for the system information on the second system information broadcast channel. This scheduling information uses the SFN of the PCCPCH.

The SB3 is broadcasted with a pre-defined offset (40 ms) from the start of the frame containing the MIB, as depicted in the Figure 9.1-3. The MIB on BCH mapped on PCCPCH contains the channelization code of the second system information broadcast channel, the repetition interval of SB3 and the number of segments of SB3. The remaining configuration parameters of the second system information broadcast channel are pre-defined.
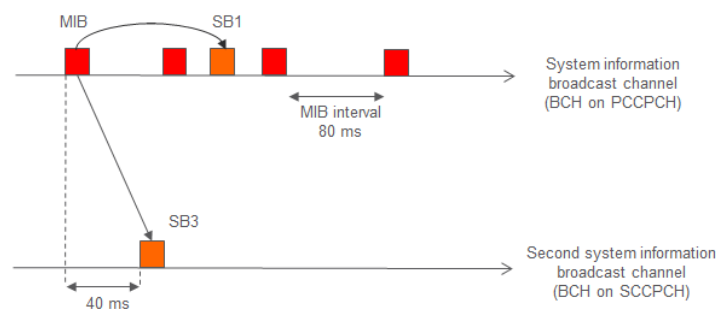

Figure 9.1-3: SB3 pre-defined offset from the start of the frame containing the MIB.

9.2   Scheduling information overhead reduction

To reduce the overhead of SIB scheduling information, included in MIB and Scheduling Blocks, the network may use mandatory default values for SIB_OFF and SEG_COUNT (when SIB_POS offset info is included) for SIBs of REL-12 or later.

9.3 MIB and Cell Value Tag range extension

To reduce the risk of Cell Value Tag wrap around, the network may broadcast a range extension (1..16) of the Cell Value Tag for SIB3, SIB5, SIB5bis, SIB21 and SIB22. The network may also extend the MIB value tag range (1..16). For UEs supporting these extensions the SIBs and MIB wrap around at 16, while for UEs not supporting this feature the SIBs wraps around at 4 (and MIB at 8). SIBs of REL-12 or later use the extended Cell Value Tag range (1..16).

10 RAN assisted WLAN interworking

This clause describes the mechanisms to support traffic steering between UTRAN and WLAN.

10.1 General principles

This version of the specification supports UTRAN assisted UE based bi-directional traffic steering between UTRAN and WLAN for UEs in Idle mode and CELL_DCH, CELL_FACH, CELL_PCH and URA_PCH states.

UTRAN provides assistance parameters via broadcast and dedicated RRC signalling to the UE. The RAN assistance parameters may include UTRAN signal strength thresholds, WLAN channel utilization thresholds, WLAN backhaul data rate thresholds, WLAN signal strength thresholds and Offload Preference Indicator (OPI). UTRAN can also provide a list of WLAN identifiers to the UE via broadcast and dedicated signalling.

The UE uses the RAN assistance parameters in the evaluation of:

- access network selection and traffic steering rules defined in TS 25.304 [9]; or

- ANDSF policies defined in TS 24.312 [8]

for traffic steering decisions between UTRAN and WLAN as specified in TS 23.402 [10].

The OPI is only used in ANDSF policies as specified in TS 24.312 [8].

WLAN identifiers are only used in access network selection and traffic steering rules defined in TS 25.304 [9].

If the UE is provisioned with ANDSF policies it shall forward the received RAN assistance parameters to upper layers, otherwise it shall use them in the access network selection and traffic steering rules defined in subclause 10.2 and TS 25.304 [9]. The access network selection and traffic steering rules defined in subclause 10.2 and TS 25.304 [9] are applied only to the WLANs of which identifiers are provided by the UTRAN.

The UE in CELL_DCH state shall apply the parameters obtained via dedicated signalling, and shall keep those parameters during handover if they are not reconfigured or deleted; the UE shall discard the parameters obtained via dedicated signalling at SRNS relocation.

The UE in CELL_FACH state shall apply the parameters obtained via dedicated signalling if such have been received from the serving cell; otherwise the UE shall apply the parameters obtained via broadcast signalling. Upon cell selection/reselection the UE shall discard the dedicated parameters.

The UE in Idle mode, CELL_PCH or URA_PCH state shall keep and apply the parameters obtained via dedicated signalling until selection/reselection of another cell than the one where these parameters were received or a timer has expired since the UE moved from CELL_DCH or CELL_FACH to Idle mode, CELL_PCH or URA_PCH state, upon which the UE shall discard the dedicated parameters and apply the parameters obtained via broadcast signalling.

In the case of RAN sharing, each PLMN sharing the RAN can broadcast independent sets of RAN assistance parameters.

10.2 Access network selection and traffic steering rules

The UE indicates to upper layers when (and for which WLAN identifiers) access network selection and traffic steering rules defined in TS 25.304 [9] are fulfilled. The selection among WLANs that fulfil the access network selection and traffic steering rules is up to UE implementation.

When the UE applies the access network selection and traffic steering rules defined in TS 25.304 [9], it performs traffic steering between UTRAN and WLAN with APN granularity.

11 Increased minimum number of carriers to monitor

The increased number of carrier monitoring feature allows a UE to monitor more UMTS and LTE frequencies in all RRC states.

When increased carrier monitoring is used, the network signals whether a carrier should be measured with "reduced measurement performance" together with a scaling factor applicable for CELL_DCH and CELL_FACH states. In Idle mode, CELL_PCH and URA_PCH states a fixed scaling factor is used. When a carrier does not belong to the "reduced measurement performance" group, it belongs to the 'normal measurement performance' group.

The value and the use of scaling factor are specified in [11].

Annex A (informative): Change history

Change history							
Date	**TSG #**	**TSG Doc.**	**CR**	**Rev**	**Subject/Comment**	**Old**	**New**
02/2014	R2-85	R2-140618	-	-	Initial Skeleton Document	-	0.0.1
02/2014	R2-85	R2-140860	-	-	Updates after review. Removal of section 4, updated wording of the scope	0.0.1	0.0.2
02/2014	R2-85	R2-140895	-	-	TS version 0.1.0 as agreed	0.0.2	0.1.0
05/2014	R2-86	R2-142782	-	-	Incorporating the Hetnet stage 2 description	0.1.0	0.1.1
	R2-86	R2-142925	-	-	TS version 0.2.0 as agreed by email discussion [86#11] after RAN2 #86	0.1.1	0.2.0
	R2-86	R2-142926	-	-	TS version 1.0.0 as agreed by email discussion [86#11] after RAN2 #86	0.2.0	1.0.0
06/2014	RP-64	RP-140844	-	-	TS version 1.0.0 submitted to RAN#64 for 1-step approval Approved as 12.0.0 at RAN#64 and MCC clean-up	1.0.0	12.0.0
	RP-64	RP-140882	0001	-	Stage 2 description of Power Saving Mode feature for UMTS	12.0.0	12.1.0
09/2014	RP-65	RP-141504	0002	-	Stage-2 details of WLAN/3GPP Radio Interworking for UMTS	12.1.0	12.2.0
	RP-65	RP-141503	0008	1	Introduction of DSAC and PPAC update in CELL_DCH	12.1.0	12.2.0
	RP-65	RP-141500	0007	1	Introduction of DCH Enhancements	12.1.0	12.2.0
	RP-65	RP-141503	0003	1	Introduction of Further EUL enhancements	12.1.0	12.2.0
	RP-65	RP-141502	0006	2	Introduction of a second broadcast channel	12.1.0	12.2.0
	RP-65	RP-141501	0005	-	CR to 25.300 on the introduction of Heterogeneous Networks Enhancements	12.1.0	12.2.0
12/2014	RP-66	RP-142127	0009	-	Cleanup corrections for Access group mechanism	12.2.0	12.3.0
	RP-66	RP-142122	0011	-	Clarification on handling of dedicated parameters upon cell selection&reselection	12.2.0	12.3.0
	RP-66	RP-142126	0010	1	CR to 25.300 on the correction of Second Broadcast Channel	12.2.0	12.3.0
	RP-66	RP-142128	0013	1	Introduction of increased UE carrier monitoring	12.2.0	12.3.0
06/2015	RP-68	RP-150919	0014	-	Clarification for Access Group based access control	12.3.0	12.4.0

History

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