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*Technical Specification*

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Radio Resource Control (RRC);  
Protocol specification  
(3GPP TS 25.331 version 4.20.0 Release 4)**

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## Foreword

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# Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

---

# 1 Scope

The present document specifies the Radio Resource Control protocol for the UE-UTRAN radio interface.

The scope of the present document also includes:

- the information to be transported in a transparent container between source RNC and target RNC in connection with SRNC relocation;
- the information to be transported in a transparent container between a target RNC and another system.

---

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 25.301: "Radio Interface Protocol Architecture".
- [3] 3GPP TS 25.303: "Interlayer Procedures in Connected Mode".
- [4] 3GPP TS 25.304: "UE Procedures in Idle Mode and Procedures for Cell Reselection in Connected Mode".
- [5] 3GPP TS 24.008: "Mobile radio interface layer 3 specification; Core Network Protocols; Stage 3".
- [6] 3GPP TS 25.103: "RF parameters in support of RRM".
- [7] 3GPP TS 25.215: "Physical layer – Measurements (FDD)".
- [8] 3GPP TS 25.225: "Physical layer – Measurements (TDD)".
- [9] 3GPP TS 25.401: "UTRAN overall description".
- [10] 3GPP TS 25.402: "Synchronization in UTRAN; Stage 2".
- [11] 3GPP TS 23.003: "Numbering, addressing and identification".
- [12] ICD-GPS-200: "Navstar GPS Space Segment/Navigation User Interface".
- [13] RTCM-SC104: "RTCM Recommended Standards for Differential GNSS Service (v.2.2)".
- [14] 3GPP TR 25.921: "Guidelines and principles for protocol description and error handling".
- [15] 3GPP TS 25.321: "Medium Access Control (MAC) protocol specification".
- [16] 3GPP TS 25.322: "Radio Link Control (RLC) protocol specification".
- [17] 3GPP TS 24.007: "Mobile radio interface signalling layer 3; General aspects".
- [18] 3GPP TS 25.305: "Stage 2 Functional Specification of UE Positioning in UTRAN".
- [19] 3GPP TS 25.133: "Requirements for Support of Radio Resource Management (FDD)".

- [20] 3GPP TS 25.123: "Requirements for Support of Radio Resource Management (TDD)".
- [21] 3GPP TS 25.101: "UE Radio Transmission and Reception (FDD)".
- [22] 3GPP TS 25.102: "UE Radio Transmission and Reception (TDD)".
- [23] 3GPP TS 23.060: "General Packet Radio Service (GPRS); Service description; Stage 2".
- [24] 3GPP TS 23.032: "Universal Geographical Area Description (GAD)".
- [25] 3GPP TS 23.122: "Non-Access-Stratum functions related to Mobile Station (MS) in idle mode".
- [26] 3GPP TS 25.211: "Physical channels and mapping of transport channels onto physical channels (FDD)".
- [27] 3GPP TS 25.212: "Multiplexing and channel coding (FDD)".
- [28] 3GPP TS 25.213: "Spreading and modulation (FDD)".
- [29] 3GPP TS 25.214: "Physical layer procedures (FDD)".
- [30] 3GPP TS 25.221: "Physical channels and mapping of transport channels onto physical channels (TDD)".
- [31] 3GPP TS 25.222: "Multiplexing and channel coding (TDD)".
- [32] 3GPP TS 25.223: "Spreading and modulation (TDD)".
- [33] 3GPP TS 25.224: "Physical Layer Procedures (TDD)".
- [34] 3GPP TS 25.302: "Services provided by the physical layer".
- [35] 3GPP TS 25.306 "UE Radio Access Capabilities".
- [36] 3GPP TS 25.323: "Packet Data Convergence Protocol (PDCP) Specification".
- [37] 3GPP TS 25.324: "Broadcast/Multicast Control BMC".
- [38] 3GPP TR 25.922: "Radio resource management strategies".
- [39] 3GPP TR 25.925: "Radio interface for broadcast/multicast services".
- [40] 3GPP TS 33.102: "3G Security; Security Architecture".
- [41] 3GPP TS 34.108: "Common Test Environments for User Equipment (UE) Conformance Testing".
- [42] 3GPP TS 34.123-2: "User Equipment (UE) conformance specification; Part 2: Implementation Conformance Statement (ICS) proforma specification".
- [43] 3GPP TS 44.018: "Mobile radio interface layer 3 specification; Radio Resource Control Protocol".
- [44] 3GPP TS 44.060: "General Packet Radio Service (GPRS); Mobile Station (MS) - Base Station System (BSS) interface; Radio Link Control/Medium Access Control (RLC/MAC) protocol".
- [45] 3GPP TS 45.005: "Radio transmission and reception".
- [46] 3GPP TS 45.008: "Radio subsystem link control".
- [47] ITU-T Recommendation X.680 (07/2002) "Information Technology - Abstract Syntax Notation One (ASN.1): Specification of basic notation".
- [48] ITU-T Recommendation X.681 (07/2002) "Information Technology - Abstract Syntax Notation One (ASN.1): Information object specification".
- [49] ITU-T Recommendation X.691 (07/2002) "Information technology - ASN.1 encoding rules: Specification of Packed Encoding Rules (PER)".
- [50] 3GPP TS 31.102: "Characteristics of the USIM Application".

- [51] Void.
- [52] IANA ROHC profile identifier definition (<http://www.iana.org/assignments/rohc-pro-ids>).

---

## 3 Definitions and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in [1] apply.

### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

|        |  |
|--------|--|
| ACK    | Acknowledgement                          |
| AICH   | Acquisition Indicator CHannel            |
| AM     | Acknowledged Mode                        |
| AS     | Access Stratum                           |
| ASC    | Access Service Class                     |
| ASN.1  | Abstract Syntax Notation.1               |
| BCCH   | Broadcast Control Channel                |
| BCFE   | Broadcast Control Functional Entity      |
| BER    | Bit Error Rate                           |
| BLER   | Block Error Rate                         |
| BSS    | Base Station Sub-system                  |
| CCCH   | Common Control Channel                   |
| CCPCH  | Common Control Physical CHannel          |
| CH     | Conditional on history                   |
| CM     | Connection Management                    |
| CN     | Core Network                             |
| CPCH   | Common Packet CHannel                    |
| C-RNTI | Cell RNTI                                |
| CTCH   | Common Traffic CHannel                   |
| CTFC   | Calculated Transport Format Combination  |
| CV     | Conditional on value                     |
| DCA    | Dynamic Channel Allocation               |
| DCCH   | Dedicated Control Channel                |
| DCFE   | Dedicated Control Functional Entity      |
| DCH    | Dedicated Channel                        |
| DC-SAP | Dedicated Control SAP                    |
| DGPS   | Differential Global Positioning System   |
| DL     | Downlink                                 |
| DRAC   | Dynamic Resource Allocation Control      |
| DSCH   | Downlink Shared Channel                  |
| DTCH   | Dedicated Traffic Channel                |
| FACH   | Forward Access Channel                   |
| FDD    | Frequency Division Duplex                |
| GC-SAP | General Control SAP                      |
| HCS    | Hierarchical Cell Structure              |
| HFN    | Hyper Frame Number                       |
| ID     | Identifier                               |
| IDNNS  | Intra Domain NAS Node Selector           |
| IE     | Information element                      |
| IETF   | Internet Engineering Task Force          |
| IMEI   | International Mobile Equipment Identity  |
| IMSI   | International Mobile Subscriber Identity |
| IP     | Internet Protocol                        |
| ISCP   | Interference on Signal Code Power        |

|        |   |
|--------|---|
| L1     | Layer 1   |
| L2     | Layer 2   |
| L3     | Layer 3   |
| LAI    | Location Area Identity                            |
| MAC    | Media Access Control                              |
| MCC    | Mobile Country Code                               |
| MD     | Mandatory default                                 |
| MM     | Mobility Management                               |
| MNC    | Mobile Network Code                               |
| MP     | Mandatory present                                 |
| NAS    | Non Access Stratum                                |
| Nt-SAP | Notification SAP                                  |
| NW     | Network   |
| OP     | Optional  |
| PCCH   | Paging Control Channel                            |
| PCH    | Paging Channel                                    |
| PDCP   | Packet Data Convergence Protocol                  |
| PDSCH  | Physical Downlink Shared Channel                  |
| PDU    | Protocol Data Unit                                |
| PLMN   | Public Land Mobile Network                        |
| PNFE   | Paging and Notification Control Functional Entity |
| PRACH  | Physical Random Access Channel                    |
| P-TMSI | Packet Temporary Mobile Subscriber Identity       |
| PUSCH  | Physical Uplink Shared Channel                    |
| QoS    | Quality of Service                                |
| RAB    | Radio access bearer                               |
| RACH   | Random Access Channel                             |
| RAI    | Routing Area Identity                             |
| RAT    | Radio Access Technology                           |
| RB     | Radio Bearer                                      |
| RFE    | Routing Functional Entity                         |
| RL     | Radio Link  |
| RLC    | Radio Link Control                                |
| RNC    | Radio Network Controller                          |
| RNTI   | Radio Network Temporary Identifier                |
| RRC    | Radio Resource Control                            |
| RSCP   | Received Signal Code Power                        |
| RSSI   | Received Signal Strength Indicator                |
| SAP    | Service Access Point                              |
| SCFE   | Shared Control Function Entity                    |
| SCTD   | Space Code Transmit Diversity                     |
| SF     | Spreading Factor                                  |
| SHCCH  | Shared Control Channel                            |
| SIR    | Signal to Interference Ratio                      |
| S-RNTI | SRNC - RNTI                                       |
| SSDT   | Site Selection Diversity Transmission             |
| TDD    | Time Division Duplex                              |
| TF     | Transport Format                                  |
| TFCS   | Transport Format Combination Set                  |
| TFS    | Transport Format Set                              |
| TM     | Transparent Mode                                  |
| TME    | Transfer Mode Entity                              |
| TMSI   | Temporary Mobile Subscriber Identity              |
| Tr     | Transparent                                       |
| Tx     | Transmission                                      |
| UE     | User Equipment                                    |
| UL     | Uplink  |
| UM     | Unacknowledged Mode                               |
| URA    | UTRAN Registration Area                           |
| U-RNTI | UTRAN-RNTI  |
| USCH   | Uplink Shared Channel                             |
| UTRAN  | Universal Terrestrial Radio Access Network        |

## 4 General

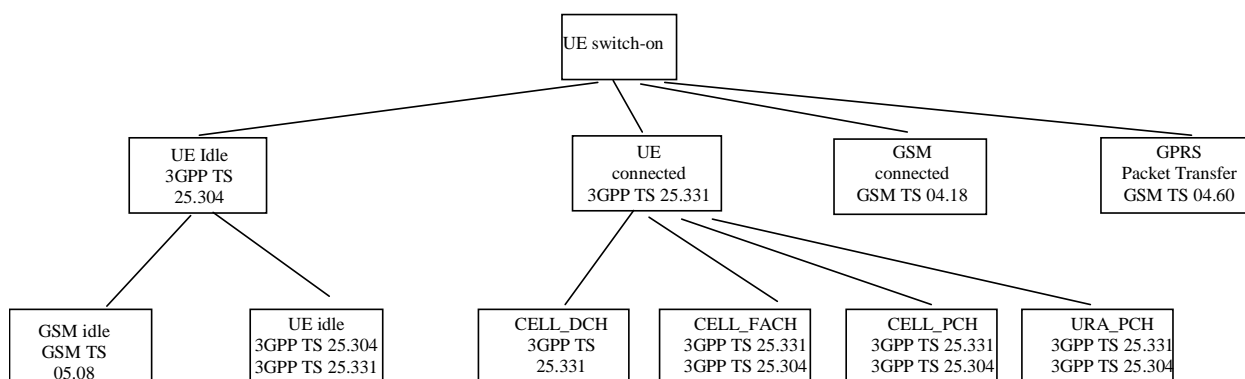
If not specified differently, descriptions are relevant for both FDD and TDD. Descriptions for TDD only are relevant for both 1.28 Mcps TDD and 3.84 Mcps TDD if not specified differently.

### 4.1 Overview of the specification

This specification is organised as follows:

- subclause 4.2 contains the description of the model of the RRC protocol layer;
- clause 5 lists the RRC functions and the services provided to upper layers;
- clause 6 lists the services expected from the lower layers and specifies the radio bearers available for usage by the RRC messages;
- clause 7 specifies the UE states for the Access Stratum, and also specifies the processes running in the UE in the respective states;
- clause 8 specifies RRC procedures, including UE state transitions;
- clause 9 specifies the procedures for the handling of unknown, unforeseen, and erroneous protocol data by the receiving entity;
- clause 10 describes the message in a Tabular format; these messages descriptions are referenced in clause 8;
- clause 11 specifies the encoding of the messages of the RRC protocol. This is based on the Tabular description in clause 10.
- clause 12 specifies the transfer syntax for RRC PDUs derived from the encoding definition;
- clause 13 lists the protocol timers, counters, constants and variables to be used by the UE;
- clause 14 specifies some of the processes applicable in UTRA RRC connected mode e.g. measurement processes, and also the RRC information to be transferred between network nodes. Note that not all the processes applicable in UTRA RRC connected mode are specified here i.e. some UTRA RRC connected mode processes are described in [4] e.g. cell re-selection;
- Annex A contains recommendations about the network parameters to be stored on the USIM;
- Annex B contains informative Stage 2 description of the RRC protocol states and state transitions.

The following figure summarises the mapping of UE states, including states in GSM, to the appropriate UTRA and GSM specifications that specify the UE behaviour.



**Figure 4.1-1: Mapping of UE state to 3GPP Specifications**



## 4.2 RRC Layer Model

The functional entities of the RRC layer are described below:

- Routing of higher layer messages to different MM/CM entities (UE side) or different core network domains (UTRAN side) is handled by the Routing Function Entity (**RFE**).
- Broadcast functions are handled in the broadcast control function entity (**BCFE**). The BCFE is used to deliver the RRC services, which are required at the GC-SAP. The BCFE can use the lower layer services provided by the Tr-SAP and UM-SAP.
- Paging of UEs that do not have an RRC connection is controlled by the paging and notification control function entity (**PNFE**). The PNFE is used to deliver the RRC services that are required at the Nt-SAP. The PNFE can use the lower layer services provided by the Tr-SAP and UM-SAP.
- The Dedicated Control Function Entity (**DCFE**) handles all functions specific to one UE. The DCFE is used to deliver the RRC services that are required at the DC-SAP and can use lower layer services of UM/AM-SAP and Tr-SAP depending on the message to be sent and on the current UE service state.
- In TDD mode, the DCFE is assisted by the Shared Control Function Entity (SCFE) location in the C-RNC, which controls the allocation of the PDSCH and PUSCH using lower layers services of UM-SAP and Tr-SAP.
- The Transfer Mode Entity (TME) handles the mapping between the different entities inside the RRC layer and the SAPs provided by RLC.

NOTE 1: Logical information exchange is necessary also between the RRC sublayer functional entities. Most of that is implementation dependent and not necessary to present in detail in a specification.

Figure 4.2-1 shows the RRC model for the UE and Figure 4.2-2 and Figure 4.2-3 show the RRC model for the UTRAN.

NOTE 2: The figure shows only the types of SAPs that are used. Multiple instances of Tr-SAP, UM-SAP and AM-SAP are possible. Especially, different functional entities usually use different instances of SAP types.

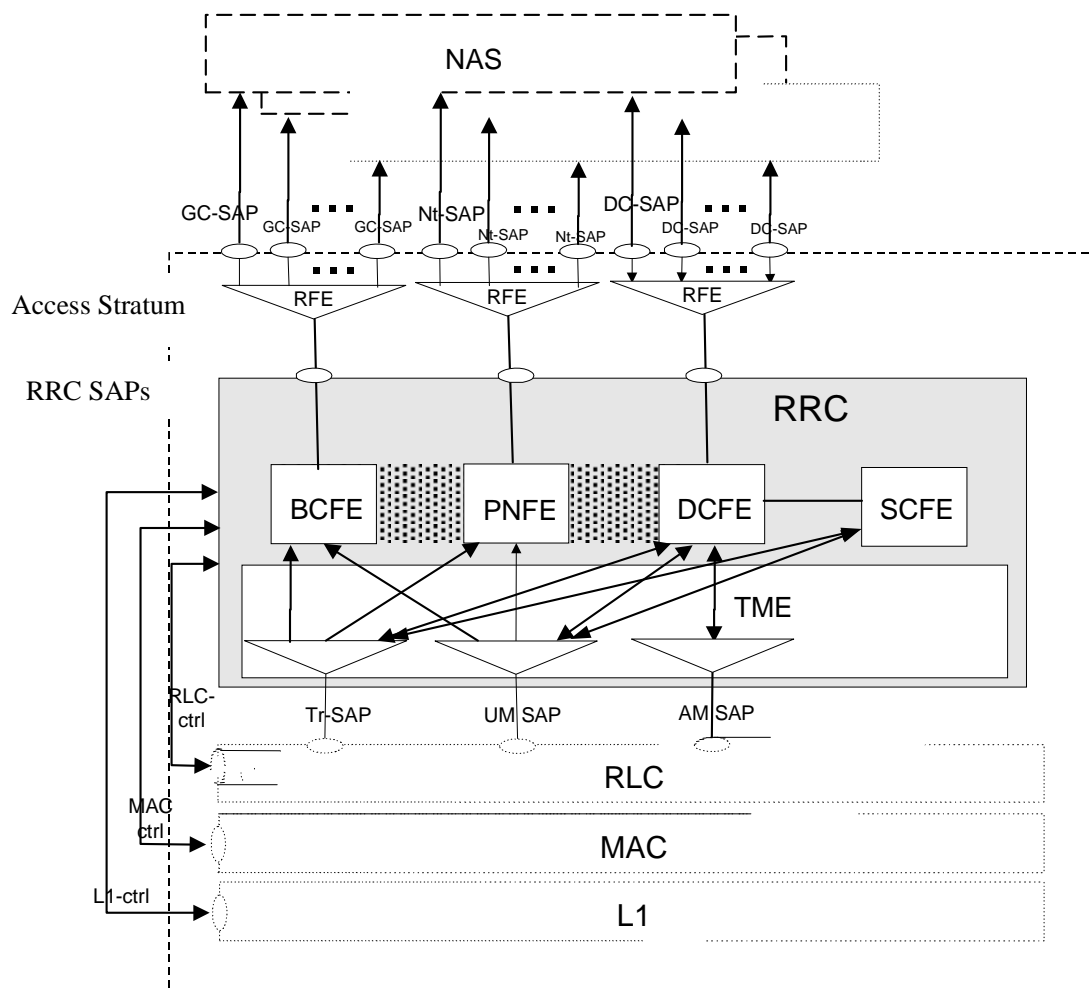


Figure 4.2-1: UE side model of RRC

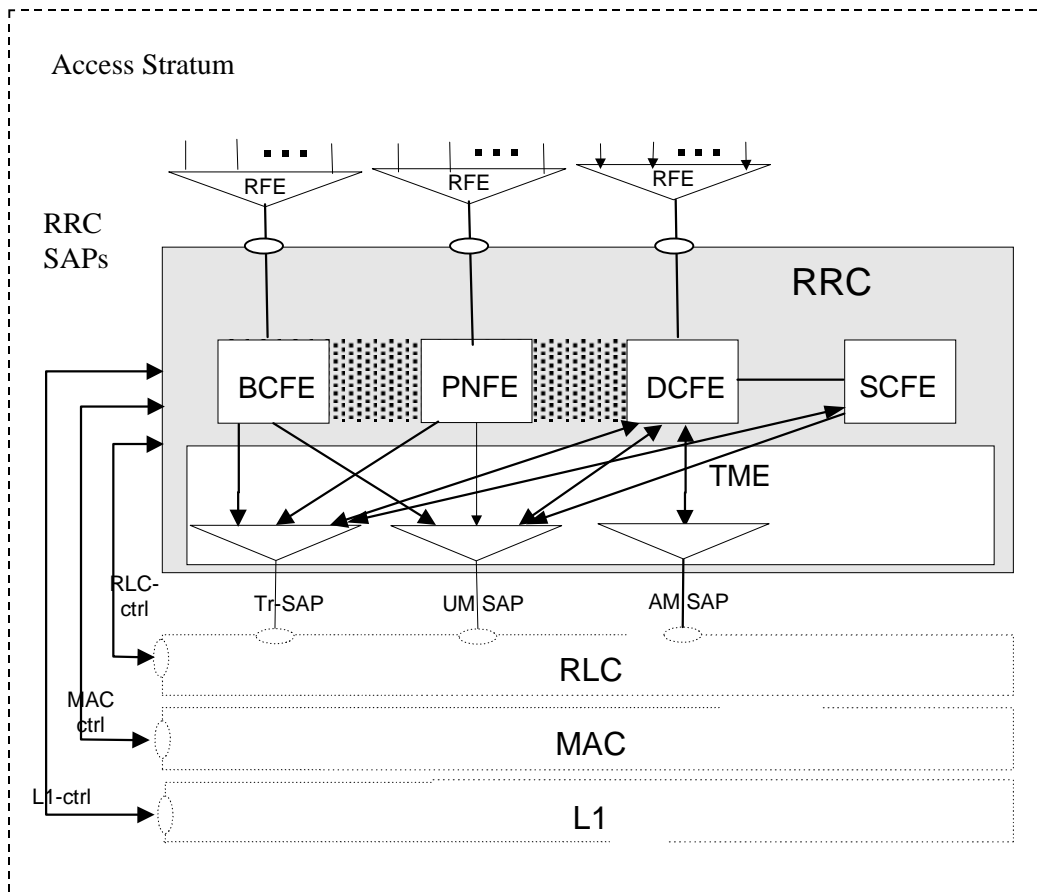


Figure 4.2-2: UTRAN side RRC model (DS-MAP system)

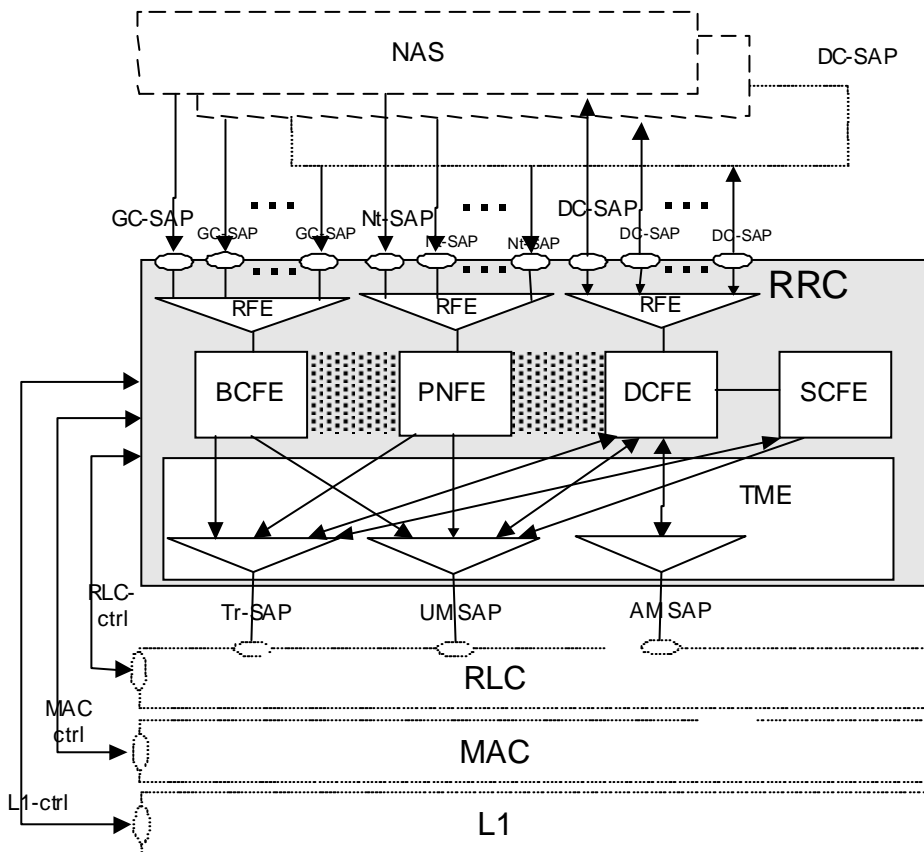


Figure 4.2-3: UTRAN side RRC model (DS-41 System)

## 4.3 Protocol specification principles

This protocol specification is based on the applicable general guidelines given in [14].

In this specification, a notation of variables is used. The variables are defined in subclause 13.4. Variables are typically used to represent a status or a result of an action, such as reception of an information element in a message, which is used to specify a behaviour somewhere else in the specification, such as when setting the value of an information element in a transmitted message. The variables only serve the purpose of specifying the protocol, and do not therefore impose any particular implementation.

When specifying the UE behaviour at reception of messages, the behaviour that is tied to reception or non-reception of individual information elements, and in some cases combinations of information elements, is specified in one location (subclause 8.6).

---

# 5 RRC Functions and Services provided to upper layers

## 5.1 RRC Functions

The RRC performs the functions listed below. A more detailed description of these functions is provided in [2]:

- Broadcast of information related to the non-access stratum (Core Network);
- Broadcast of information related to the access stratum;
- Establishment, maintenance and release of an RRC connection between the UE and UTRAN;
- Establishment, reconfiguration and release of Radio Bearers;
- Assignment, reconfiguration and release of radio resources for the RRC connection;
- RRC connection mobility functions;
- Control of requested QoS;
- UE measurement reporting and control of the reporting;
- Outer loop power control;
- Control of ciphering;
- Slow DCA (TDD mode);
- Paging;
- Initial cell selection and cell re-selection;
- Arbitration of radio resources on uplink DCH;
- RRC message integrity protection;
- Timing advance (TDD mode);
- CBS control.

## 5.2 RRC Services provided to upper layers

The RRC offers the following services to upper layers, a description and primitives of these services are provided in [2] and [17].

- General Control;
- Notification;
- Dedicated control.

The RRC layer provides the UE-UTRAN portion of signalling connections to the upper layers to support the exchange of upper layer's information flow. The signalling connection is used between the user equipment and the core network to transfer upper layer information. For each core network domain, at most one signalling connection may exist at the same time. The RRC layer maps the signalling connections for one UE on a single RRC connection. For the upper layer data transfer on signalling connections, the RRC layer supports the discrimination between two different classes, named "High priority" (corresponding to "SAPI 0" for a GSM-MAP based core network) and "Low priority" (corresponding to "SAPI 3" for a GSM-MAP based core network).

## 5.3 Primitives between RRC and upper layers

The primitives between RRC and the upper layers are described in [17].

---

# 6 Services expected from lower layers

## 6.1 Services expected from Layer 2

The services provided by layer 2 are described in [2], [15] and [16].

## 6.2 Services expected from Layer 1

The services provided by layer 1 are described in [2].

## 6.3 Signalling Radio Bearers

The Radio Bearers (RB) available for transmission of RRC messages are defined as "signalling radio bearers" and are specified in the following. The UE and UTRAN shall select the signalling radio bearers for RRC messages using RLC-TM, RLC-UM or RLC-AM on the DCCH and CCCH, according to the following:

- Signalling radio bearer RB0 shall be used for all messages sent on the CCCH (UL: RLC-TM, DL: RLC-UM).
- Signalling radio bearer RB1 shall be used for all messages sent on the DCCH, when using RLC unacknowledged mode (RLC-UM).
- Signalling radio bearer RB2 shall be used for all messages sent on the DCCH, when using RLC acknowledged mode (RLC-AM), except for the RRC messages carrying higher layer (NAS) signalling.
- Signalling radio bearer RB3 and optionally Signalling radio bearer RB4 shall be used for the RRC messages carrying higher layer (NAS) signalling and sent on the DCCH in RLC acknowledged mode (RLC-AM), as specified in subclauses 8.1.8., 8.1.9 and 8.1.10.
- Additionally, RBs whose identities shall be set between 5 and 32 may be used as signalling radio bearer for the RRC messages on the DCCH sent in RLC transparent mode (RLC-TM).
- RRC messages on the SHCCH are mapped either on RACH or on the USCH in the uplink using TM and either on FACH or on the DSCH using RLC-UM. These messages are only specified for TDD mode.

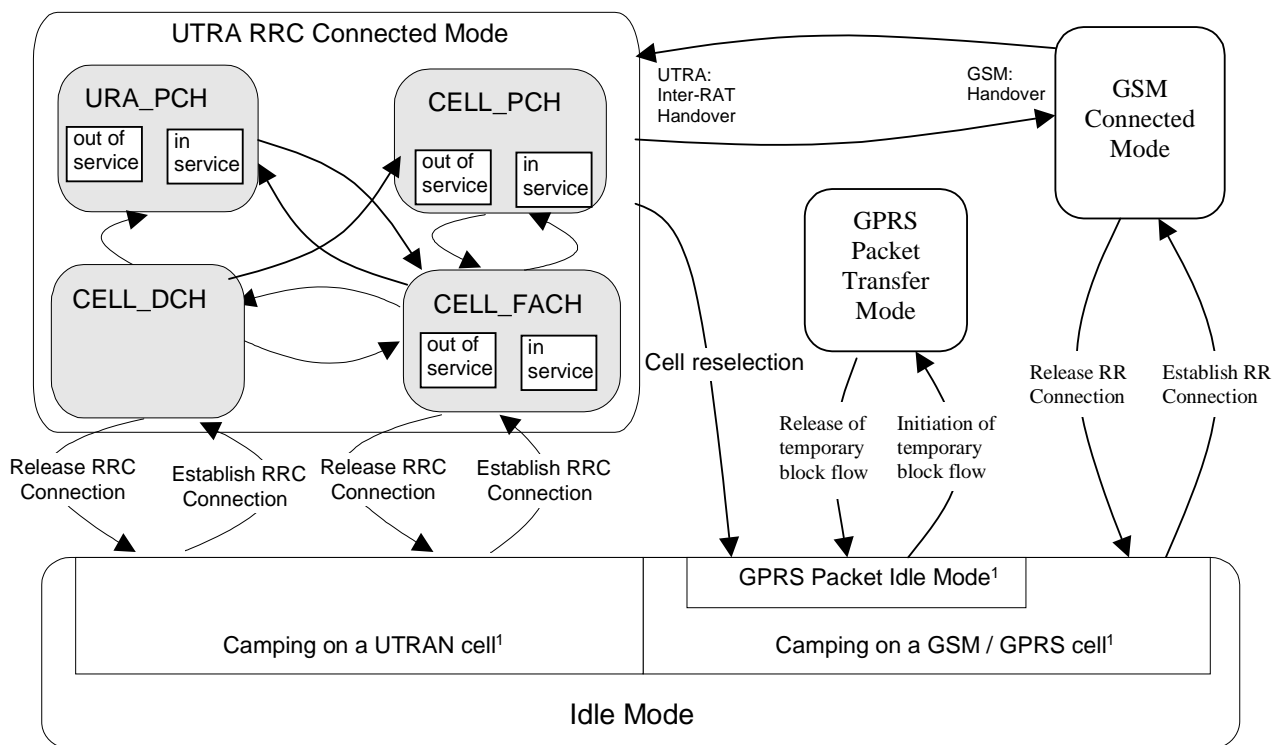
The Radio Bearer configuration for signalling radio bearer RB0, SHCCH, BCCH on FACH and PCCH on PCH are specified in subclauses 13.6, 13.6a, 13.6b and 13.6c.

Ciphering is never applied to signalling radio bearer RB0 or signalling radio bearers using RLC TM.

## 7 Protocol states

### 7.1 Overview of RRC States and State Transitions including GSM

Figure 7.1-1 shows the RRC states in UTRA RRC Connected Mode, including transitions between UTRA RRC connected mode and GSM connected mode for CS domain services, and between UTRA RRC connected mode and GSM/GPRS packet modes for PS domain services. It also shows the transitions between Idle Mode and UTRA RRC Connected Mode and furthermore the transitions within UTRA RRC connected mode.



NOTE: <sup>1</sup>: The indicated division within Idle Mode is only included for clarification and shall not be interpreted as states.

**Figure 7.1-1: RRC States and State Transitions including GSM**

The RRC connection is defined as a point-to-point bi-directional connection between RRC peer entities in the UE and the UTRAN characterised by the allocation of a U-RNTI. A UE has either zero or one RRC connection.

NOTE: The state transitions are specified in clause 8.

### 7.2 Processes in UE modes/states

NOTE: This subclause specifies what processes shall be active in the UE in the different RRC modes/states. The related procedures and the conditions on which they are triggered are specified either in clause 8 or elsewhere in the relevant process definition.

## 7.2.1 UE Idle mode

UE processes that are active in UE Idle mode are specified in [4].

The UE shall perform a periodic search for higher priority PLMNs as specified in [25].

## 7.2.2 UTRA RRC Connected mode

In this specification unless otherwise mentioned "connected mode" shall refer to "UTRA RRC connected mode".

### 7.2.2.1 URA\_PCH or CELL\_PCH state

In the URA\_PCH or CELL\_PCH state the UE shall perform the following actions:

NOTE: Neither DCCH nor DTCH are available in these states.

1> if the UE is "in service area":

- 2> maintain up-to-date system information as broadcast by the serving cell as specified in the subclause 8.1.1;
- 2> perform cell reselection process as specified in [4];
- 2> perform a periodic search for higher priority PLMNs as specified in [25];

NOTE: If the DRX cycle length is 80ms, then a search for higher priority PLMNs may not identify all the available PLMNs due to the paging occasion on the current serving cell coinciding with the MIB of the cell of interest.

- 2> monitor the paging occasions and PICH monitoring occasions determined according to subclauses 8.6.3.1a and 8.6.3.2 and receive paging information on the PCH mapped on the S-CCPCH selected by the UE according to the procedure in subclause 8.5.19;
- 2> act on RRC messages received on PCCH and BCCH;
- 2> perform measurements process according to measurement control information as specified in subclause 8.4 and in subclause 14.4;
- 2> maintain up-to-date BMC data if it supports Cell Broadcast Service (CBS) as specified in [37];
- 2> run timer T305 for periodical URA update if the UE is in URA\_PCH or for periodical cell update if the UE is in CELL\_PCH.

1> if the UE is "out of service area":

- 2> perform cell selection process as specified in [4];
- 2> run timer T316;
- 2> run timer T305;
- 2> if the cell selection process fails to find a suitable cell after a complete scan of all RATs and all frequency bands supported by the UE, the UE should after a minimum of TimerOutOfService time (default value 30 s) of being "out of service area":
  - 3> indicate all available PLMNs to NAS to enable the selection of a new PLMN. If the NAS indicates the selection of a new PLMN the UE shall store information for the new PLMN within the variable SELECTED\_PLMN and perform actions according to subclause 8.5.24;
  - 3> if an acceptable cell is found then the UE shall camp on that cell to obtain limited service as defined in [4] and, perform actions according to subclause 8.5.24. If the RRC connection is released due to camping on an acceptable cell, indicate this to upper layers;
  - 3> else if no acceptable cell is found, the UE shall continue looking for an acceptable cell as defined in [4].

### 7.2.2.2 CELL\_FACH state

In the CELL\_FACH state the UE shall perform the following actions:

NOTE: DCCH and, if configured, DTCH are available in this state.

- 1> if the UE is "in service area":
  - 2> maintain up-to-date system information as broadcast by the serving cell as specified in subclause 8.1.1;
  - 2> perform cell reselection process as specified in [4];
  - 2> perform measurements process according to measurement control information as specified in subclause 8.4 and in subclause 14.4;
  - 2> run timer T305 (periodical cell update);
  - 2> select and configure the RB multiplexing options applicable for the transport channels to be used in this RRC state;
  - 2> listen to all FACH transport channels mapped on the S-CCPCH selected by the UE according to the procedure in subclause 8.5.19;
  - 2> act on RRC messages received on BCCH, CCCH and DCCH;
  - 2> act on RRC messages received on, if available, SHCCH (TDD only).
- 1> if the UE is "out of service area":
  - 2> perform cell selection process as specified in [4];
  - 2> run timers T305 (periodical cell update), and T317 (cell update when re-entering "in service") or T307 (transition to Idle mode), if started;
  - 2> run timers T314 and/or T315, if started;
  - 2> if the cell selection process fails to find a suitable cell after a complete scan of all RATs and all frequency bands supported by the UE, the UE should after a minimum of TimerOutOfService time (default value 30 seconds) of being "out of service area":
    - 3> indicate all available PLMNs to NAS to enable the selection of a new PLMN. If the NAS indicates the selection of a new PLMN the UE shall store information for the new PLMN within the variable SELECTED\_PLMN and perform actions according to subclause 8.5.24;
    - 3> if an acceptable cell is found then the UE shall camp on that cell to obtain limited service as defined in [4] and perform actions according to subclause 8.5.24. If the RRC connection is released due to camping on an acceptable cell, indicate this to upper layers;
    - 3> else if no acceptable cell is found, the UE shall continue looking for an acceptable cell as defined in [4].

### 7.2.2.3 CELL\_DCH state

In the CELL\_DCH state the UE shall perform the following actions:

NOTE: DCCH and, if configured, DTCH are available in this state.

- 1> read system information broadcast on FACH as specified in subclause 8.1.1.3 (applicable only to UEs with certain capabilities and in FDD mode);
- 1> read the system information as specified in subclause 8.1.1 (for UEs in TDD mode);
- 1> perform measurements process according to measurement control information as specified in subclause 8.4 and in clause 14;
- 1> select and configure the RB multiplexing options applicable for the transport channels to be used in this RRC state;



- 1> act on RRC messages received on DCCH;
- 1> act on RRC messages received on BCCH (applicable only to UEs with certain capabilities and in FDD mode);
- 1> act on RRC messages received on BCCH (TDD only) and, if available, SHCCH (TDD only).

## 8 RRC procedures

The UE shall be able to process several simultaneous RRC procedures. After the reception of a message which invoked a procedure, the UE shall be prepared to receive and act on another message which may invoke a second procedure. Whether this second invocation of a procedure (transaction) is accepted or rejected by the UE is specified in the subclauses of this clause, and in particular in subclause 8.6.3.11 (RRC transaction identifier).

On receiving a message the UE shall:

- 1> check that the message is addressed to the UE (e.g. by checking the IE "Initial UE identity" or the IE "U-RNTI" for messages on CCCH);
- 1> discard the messages addressed to other UEs.

and then the UE shall:

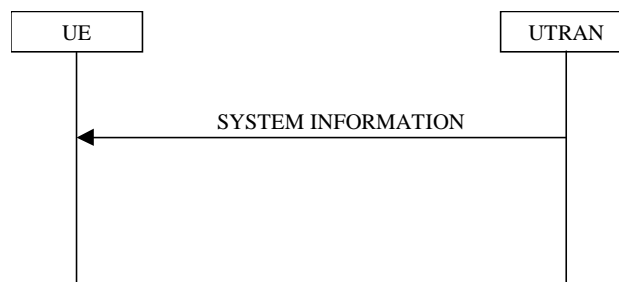
- 1> apply integrity check as appropriate;
- 1> proceed with error handling as specified in clause 9;
- 1> act upon the IE "RRC transaction identifier";
- 1> continue with the procedure as specified in the relevant subclause.

**NOTE:** Due to an error in the Release '99 ASN.1, a Release '99 UE is unable to determine which UE is addressed by a downlink CCCH message corresponding to a protocol version later than Release '99. As a result, the Release '99 UE will not be able to return a protocol error according to subclause 9.3a. Therefore, the UTRAN should only send a Release '99 message version towards UEs that have indicated conformance to Release '99 in the IE "Access stratum release indicator".

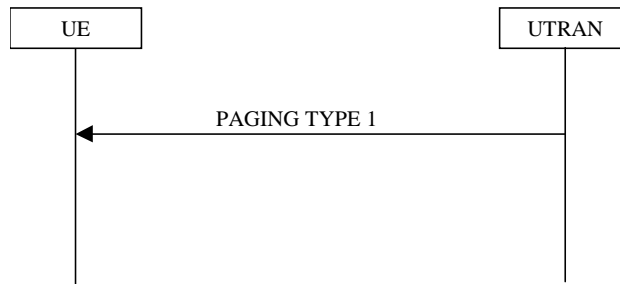
The RRC entity in the UE shall consider PDUs to have been transmitted when they are submitted to the lower layers. If the RRC entity in the UE submits a message for transmission using AM RLC, it shall consider the message successfully transmitted when UTRAN reception of all relevant PDUs is acknowledged by RLC. In the UE, timers are started when the PDUs are sent on the radio interface in the case of the transmission using the CCCH.

### 8.1 RRC Connection Management Procedures

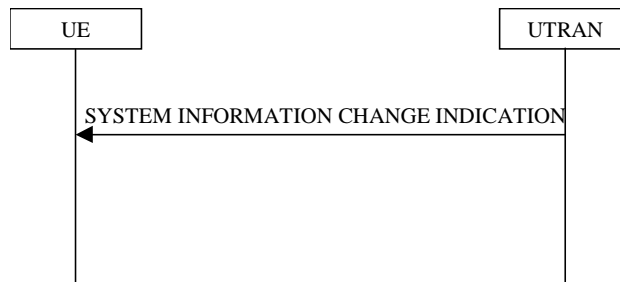
#### 8.1.1 Broadcast of system information



**Figure 8.1.1-1: Broadcast of system information**



**Figure 8.1.1-2: Notification of system information modification for UEs in idle mode, CELL\_PCH state and URA\_PCH state**



**Figure 8.1.1-3: Notification of system information modification for UEs in CELL\_FACH and CELL\_DCH(TDD only) state**

### 8.1.1.1 General

The purpose of this procedure is to broadcast system information from the UTRAN to UEs in a cell.

#### 8.1.1.1.1 System information structure

The system information elements are broadcast in *system information blocks*. A system information block groups together system information elements of the same nature. Different system information blocks may have different characteristics, e.g. regarding their repetition rate and the requirements on UEs to re-read the system information blocks.

The system information is organised as a tree. A *master information block* gives references and scheduling information to a number of system information blocks in a cell. The system information blocks contain the actual system information. The master information block may optionally also contain reference and scheduling information to one or two *scheduling blocks*, which give references and scheduling information for additional system information blocks. Scheduling information for a system information block may only be included in either the master information block or one of the scheduling blocks.

For all system information blocks except System Information Block types 15.2, 15.3 and 16, the content is the same in each occurrence for system information blocks using value tag. System Information Block types 15.2, 15.3 and 16 may occur more than once with different content. In this case scheduling information is provided for each such occurrence of the system information block. System information blocks that do not use value tag may have different content for each occurrence.

#### 8.1.1.1.2 System information blocks

Table 8.1.1 specifies all system information blocks and their characteristics.

The *area scope column* in table 8.1.1 specifies the area where a system information block's value tag is valid. If the area scope is *cell*, the UE shall consider the system information block to be valid only in the cell in which it was read. If system information blocks have been previously stored for this cell, the UE shall check whether the value tag for the system information block in the entered cell is different compared to the stored value tag. If the area scope is *PLMN* or *Equivalent PLMN*, the UE shall check the value tag for the system information block when a new cell is selected. If the value tag for the system information block in the new cell is different compared to the value tag for the system information block stored in the UE, the UE shall re-read the system information block. If the area scope is *PLMN*, the

UE shall consider the system information block to be valid only within the PLMN in which it was read. If the area scope is *Equivalent PLMN*, the UE shall consider the system information block to be valid within the PLMN in which it was received and all PLMNs which are indicated by higher layers to be equivalent.

For System information block types 15.2, 15.3 and 16, which may have multiple occurrences, each occurrence has its own independent value tag. The UE shall re-read a particular occurrence if the value tag of this occurrence has changed compared to that stored in the UE.

The *UE mode/state column when block is valid* in Table 8.1.1 specifies in which UE mode or UE state the IEs in a system information block shall be regarded as valid by the UE. In other words, the indicated system information block becomes invalid upon change to a mode/state that is not included in this column. System Information Block Type 16 remains also valid upon transition to or from GSM/GPRS. In some cases, the states are inserted in brackets to indicate that the validity is dependent on the broadcast of the associated System Information Blocks by the network as explained in the relevant procedure subclause.

The *UE mode/state column when block is read* in Table 8.1.1 specifies in which UE mode or UE state the IEs in a system information block may be read by the UE. The UE shall have the necessary information prior to execution of any procedure requiring information to be obtained from the appropriate system information block. The requirements on the UE in terms of when to read the system information may therefore be derived from the procedure specifications that specify which IEs are required in the different UE modes/states in conjunction with the different performance requirements that are specified.

System Information Block type 10 shall only be read by the UE while in CELL\_DCH.

The UE shall:

- 1> if System Information Block type 11 is referenced in the master information block or in the scheduling blocks:
  - 2> if System Information Block type 12 is not referenced in the master information block or in the scheduling blocks, or broadcast of System Information Block type 12 is not indicated in System Information Block type 11:
    - 3> have read and acted upon System Information Block type 11 in a cell when the UE transmits an RRC message on RACH.
  - 2> else:
    - 3> have read and acted upon System Information Block type 11 in a cell before the UE transmits the RRC CONNECTION REQUEST message.
    - 3> have read and acted upon both System Information Block type 11 and System Information Block type 12 in a cell when:
      - 4> the UE transmits an RRC message on RACH in RRC connected mode; or
      - 4> the UE receives a message commanding to enter Cell\_DCH state.

NOTE 1: There are a number of system information blocks that include the same IEs while the UE mode/state in which the information is valid differs. This approach is intended to allow the use of different IE values in different UE mode/states.

NOTE 2: System Information Block Type 16 is also obtained by a UE while in GSM/GPRS. The details of this are not within the scope of this specification.

The *Scheduling information* column in table 8.1.1 specifies the position and repetition period for the System Information Block.

The *modification of system information* column in table 8.1.1 specifies the update mechanisms applicable for a certain system information block. For system information blocks with a value tag, the UE shall update the information according to subclause 8.1.1.7.1 or 8.1.1.7.2. For system information blocks with an expiration timer, the UE shall, when the timer expires, perform an update of the information according to subclause 8.1.1.7.4.

Table 8.1.1: Specification of system information block characteristics

| System information block        | Area scope | UE mode/state when block is valid                              | UE mode/state when block is read                               | Scheduling information   | Modification of system information | Additional comment   |
|---------------------------------|------------|--|--|--|------------------------------------|--|
| Master information block        | Cell       | Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)   | Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)   | SIB_POS = 0<br>SIB_REP = 8 (FDD)<br>SIB_REP = 8, 16, 32 (TDD)<br>SIB_OFF=2 | Value tag                          |  |
| Scheduling block 1              | Cell       | Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)   | Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)   | Specified by the IE "Scheduling information" in MIB                        | Value tag                          |  |
| Scheduling block 2              | Cell       | Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)   | Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)   | Specified by the IE "Scheduling information" in MIB                        | Value tag                          |  |
| System information block type 1 | PLMN       | Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH              | Idle, CELL_FACH, CELL_PCH, URA_PCH                             | Specified by the IE "Scheduling information"                               | Value tag                          |  |
| System information block type 2 | Cell       | URA_PCH  | URA_PCH  | Specified by the IE "Scheduling information"                               | Value tag                          |  |
| System information block type 3 | Cell       | Idle mode, (CELL_FACH, CELL_PCH, URA_PCH)                      | Idle mode, (CELL_FACH, CELL_PCH, URA_PCH)                      | Specified by the IE "Scheduling information"                               | Value tag                          |  |
| System information block type 4 | Cell       | CELL_FACH, CELL_PCH, URA_PCH                                   | CELL_FACH, CELL_PCH, URA_PCH                                   | Specified by the IE "Scheduling information"                               | Value tag                          | If System information block type 4 is not broadcast in a cell, the connected mode UE shall apply information in System information block type 3 in connected mode. |
| System information block type 5 | Cell       | Idle mode, (CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)) | Idle mode, (CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)) | Specified by the IE "Scheduling information"                               | Value tag                          |  |

| System information block         | Area scope | UE mode/state when block is valid                            | UE mode/state when block is read                             | Scheduling information                       | Modification of system information                         | Additional comment  |
|----------------------------------|------------|--|--|--|--|---|
| System information block type 6  | Cell       | CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)            | CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)            | Specified by the IE "Scheduling information" | Value tag  | <p>If system information block type 6 is not broadcast in a cell, the connected mode UE shall read System information block type 5.</p> <p>If some of the optional IEs are not included in System information block type 6, the UE shall read the corresponding IEs in System information block type 5</p> <p>In TDD mode system information block 6 shall only be read in CELL_DCH if required for open loop power control as specified in subclause 8.5.7 and/or if shared transport channels are assigned to the UE. If in these cases system information block type 6 is not broadcast the UE shall read system information block type 5.</p> |
| System information block type 7  | Cell       | Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only) | Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only) | Specified by the IE "Scheduling information" | Expiration timer = MAX(32, SIB_REP * ExpirationTimeFactor) | In TDD mode system information block type 7 shall only be read in CELL_DCH if shared transport channels are assigned to the UE.   |
| System information block type 8  | Cell       | CELL_FACH, CELL_PCH, URA_PCH                                 | CELL_FACH, CELL_PCH, URA_PCH                                 | Specified by the IE "Scheduling information" | Value tag  |   |
| System information block type 9  | Cell       | CELL_FACH, CELL_PCH, URA_PCH                                 | CELL_FACH, CELL_PCH, URA_PCH                                 | Specified by the IE "Scheduling information" | Expiration timer = SIB_REP                                 |   |
| System information block type 10 | Cell       | CELL_DCH   | CELL_DCH   | Specified by the IE "Scheduling information" | Expiration timer = SIB_REP                                 |   |
| System information block type 11 | Cell       | Idle mode (CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH)           | Idle mode (CELL_FACH, CELL_PCH, URA_PCH)                     | Specified by the IE "Scheduling information" | Value tag  |   |

| System information block           | Area scope | UE mode/state when block is valid                 | UE mode/state when block is read                  | Scheduling information                       | Modification of system information                         | Additional comment   |
|------------------------------------|------------|---|---|--|--|--|
| System information block type 12   | Cell       | CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH            | Idle mode, CELL_FACH, CELL_PCH, URA_PCH           | Specified by the IE "Scheduling information" | Value tag  | If system information block type 12 is not broadcast in a cell, the connected mode UE shall read System information block type 11.<br>If some of the optional IEs are not included in System information block type 12, the UE shall read the corresponding IEs in System information block type 11. |
| System information block type 13   | Cell       | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Specified by the IE "Scheduling information" | Value tag  |  |
| System information block type 13.1 | Cell       | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Specified by the IE "Scheduling information" | Value tag  |  |
| System information block type 13.2 | Cell       | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Specified by the IE "Scheduling information" | Value tag  |  |
| System information block type 13.3 | Cell       | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Specified by the IE "Scheduling information" | Value tag  |  |
| System information block type 13.4 | Cell       | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Specified by the IE "Scheduling information" | Value tag  |  |
| System information block type 14   | Cell       | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH | Specified by the IE "Scheduling information" | Expiration timer = MAX(32, SIB_REP * ExpirationTimeFactor) | This system information block is used in 3.84 Mcps TDD mode only. System information block type 14 shall only be read in CELL_DCH if required for open loop power control as specified in subclause 8.5.7.   |
| System information block type 15   | Cell       | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Specified by the IE "Scheduling information" | Value tag  |  |
| System information block type 15.1 | Cell       | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Specified by the IE "Scheduling information" | Value tag  |  |
| System information block type 15.2 | Cell       | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Specified by the IE "Scheduling information" | Value tag  | For this system information block there may be multiple occurrences  |
| System information block type 15.3 | PLMN       | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Specified by the IE "Scheduling information" | Value tag  | For this system information block there may be multiple occurrences  |

| System information block           | Area scope      | UE mode/state when block is valid                 | UE mode/state when block is read        | Scheduling information                       | Modification of system information | Additional comment   |
|------------------------------------|-----------------|---|---|--|------------------------------------|--|
| System information block type 15.4 | Cell            | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH | Specified by the IE "Scheduling information" | Value tag                          |  |
| System information block type 15.5 | Cell            | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH           | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH | Specified by the IE "Scheduling information" | Value tag                          |  |
| System information block type 16   | Equivalent PLMN | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH | Idle Mode, CELL_FACH, CELL_PCH, URA_PCH | Specified by the IE "Scheduling information" | Value tag                          | For this system information block there may be multiple occurrences. This system information block is also valid while in GSM/GPRS.                              |
| System information block type 17   | Cell            | CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH            | CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH  | Specified by the IE "Scheduling information" | Expiration timer = SIB_REP         | This system information block is used in TDD mode only. System information block type 17 shall only be read if shared transport channels are assigned to the UE. |
| System Information Block type 18   | Cell            | Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH | Idle mode, CELL_FACH, CELL_PCH, URA_PCH | Specified by the IE "Scheduling information" | Value tag                          |  |

The UE shall acquire all system information blocks except system information block type 10 on BCH. System Information Block type 10 shall be acquired on the FACH and only by UEs with support for simultaneous reception of one SCCPCH and one DPCH. If System Information Block type 10 is not broadcast in a cell, the DRAC procedures do not apply in this cell. System Information Block type 10 is used in FDD mode only.

#### 8.1.1.1.3 Segmentation and concatenation of system information blocks

A generic SYSTEM INFORMATION message is used to convey the system information blocks on the BCCH. A given BCCH may be mapped onto either a BCH or a FACH transport channel according to subclause 8.1.1.1.2. The size of the SYSTEM INFORMATION message shall fit the size of a BCH or a FACH transport block.

The RRC layer in UTRAN performs segmentation and concatenation of encoded system information blocks. If the encoded system information block is larger than the size of a SYSTEM INFORMATION message, it will be segmented and transmitted in several messages. If the encoded system information block is smaller than a SYSTEM INFORMATION message, UTRAN may concatenate several system information blocks, or the first segment or the last segment into the same message as specified in the remainder of this clause.

Four different segment types are defined:

- First segment;
- Subsequent segment;
- Last segment;
- Complete.

Each of the types - *First*, *Subsequent* and *Last segment* - is used to transfer segments of a master information block, scheduling block or a system information block. The segment type, *Complete*, is used to transfer a complete master information block, complete scheduling block or a complete system information block.

Each segment consists of a header and a data field. The data field carries the encoded system information elements. The header contains the following parameters:

- The number of segments in the system information block (SEG\_COUNT). This parameter is only included in the header if the segment type is "First segment".
- SIB type. The SIB type uniquely identifies the master information block, scheduling block or a system information block.
- Segment index. This parameter is only included in the header if the segment type is "Subsequent segment" or "Last segment".

UTRAN may combine one or several segments of variable length in the same SYSTEM INFORMATION message. The following combinations are allowed:

1. No segment;
2. First segment;
3. Subsequent segment;
4. Last segment;
5. Last segment + First segment;
6. Last segment + one or several Complete;
7. Last segment + one or several Complete + First segment;
8. One or several Complete;
9. One or several Complete + First segment;
10. One Complete of size 215 to 226;
11. Last segment of size 215 to 222.

The "No segment" combination is used when there is no master information block, scheduling block or system information block scheduled for a specific BCH transport block.

UEs are not required to support the reception of multiple occurrences of the same system information block type within one SYSTEM INFORMATION message.

**NOTE:** Since the SIB type is the same for each occurrence of the system information block, the UE does not know the order in which the occurrences, scheduled for this SYSTEM INFORMATION message, appear. Therefore, the UE is unable to determine which scheduling information, e.g., value tag relates to which occurrence of the system information block.

#### 8.1.1.1.4 Re-assembly of segments

The RRC layer in the UE shall perform re-assembly of segments. All segments belonging to the same master information block, scheduling block or system information block shall be assembled in ascending order with respect to the segment index. When all segments of the master information block, scheduling block or a system information block have been received, the UE shall perform decoding of the complete master information block, scheduling block or system information block. For System Information Block types 15.2, 15.3 and 16, which may have multiple occurrences, each occurrence shall be re-assembled independently.

The UE shall discard system information blocks of which segments were missing, of which segments were received out of sequence and/or for which duplicate segments were received. The only valid sequence is an ascending one with the sequence starting with the First Segment of the associated System Information Block.



If the UE receives a Subsequent segment or Last segment where the index in IE "Segment index" is equal to or larger than the number of segments stated in IE "SEG\_COUNT" in the scheduling information for that scheduling block or system information block:

- 1> the UE may:
  - 2> read all the segments to create a system information block as defined by the scheduling information read by the UE;
  - 2> store the content of the system information block with a value tag set to the value NULL; and
  - 2> consider the content of the scheduling block or system information block as valid:
    - 3> until it receives the same type of scheduling block or system information block in a position according to its scheduling information; or
    - 3> at most for 6 hours after reception.
- 1> and the UE shall:
  - 2> re-read scheduling information for that scheduling block or system information block.

If the UE receives a Subsequent segment or Last segment where the index in IE "Segment index" is equal to or larger than the number of segments stated in IE "SEG\_COUNT" in the First segment, the UE shall

- 1> discard all segments for that master information block, scheduling block or system information block; and
- 1> re-read the scheduling information for that system information block;
- 1> then re-read all segments for that system information block.

#### 8.1.1.1.5 Scheduling of system information

Scheduling of system information blocks is performed by the RRC layer in UTRAN. If segmentation is used, it should be possible to schedule each segment separately.

To allow the mixing of system information blocks with short repetition period and system information blocks with segmentation over many frames, UTRAN may multiplex segments from different system information blocks. Multiplexing and de-multiplexing is performed by the RRC layer.

The scheduling of each system information block broadcast on a BCH transport channel is defined by the following parameters:

- the number of segments (SEG\_COUNT);
- the repetition period (SIB\_REP). The same value applies to all segments;
- the position (phase) of the first segment within one cycle of the Cell System Frame Number (SIB\_POS(0)). Since system information blocks are repeated with period SIB\_REP, the value of SIB\_POS(i),  $i = 0, 1, 2, \dots, \text{SEG\_COUNT}-1$  must be less than SIB\_REP for all segments;
- the offset of the subsequent segments in ascending index order (SIB\_OFF(i),  $i = 1, 2, \dots, \text{SEG\_COUNT}-1$ )  
The position of the subsequent segments is calculated using the following:  $\text{SIB\_POS}(i) = \text{SIB\_POS}(i-1) + \text{SIB\_OFF}(i)$ .

The scheduling is based on the Cell System Frame Number (SFN). The SFN of a frame at which a particular segment,  $i$ , with  $i = 0, 1, 2, \dots, \text{SEG\_COUNT}-1$  of a system information block occurs, fulfils the following relation:

$$\text{SFN mod SIB\_REP} = \text{SIB\_POS}(i)$$

In FDD and TDD the scheduling of the master information block is fixed as defined in table 8.1.1. For TDD, UTRAN may apply one of the values allowed for the master information block's repetition period. The value that UTRAN is using in TDD is not signalled; UEs have to determine it by trial and error.

### 8.1.1.2 Initiation

The system information is continuously broadcast on a regular basis in accordance with the scheduling defined for each system information block.

### 8.1.1.3 Reception of SYSTEM INFORMATION messages by the UE

The UE shall read SYSTEM INFORMATION messages broadcast on a BCH transport channel in idle mode and in the connected mode in states CELL\_FACH, CELL\_PCH, URA\_PCH and CELL\_DCH (TDD only). In addition, UEs in FDD mode which support simultaneous reception of one SCCPCH and one DPCH shall read system information on a FACH transport channel when in CELL\_DCH state.

In idle mode and connected mode different combinations of system information blocks are valid. The UE shall acquire the system information blocks that are needed according to table 8.1.1.

The UE may store system information blocks with *cell*, *PLMN* or *Equivalent PLMN* area scope (including their value tag if applicable) for different cells and different PLMNs, to be used if the UE returns to these cells.

The UE shall consider all stored system information blocks as invalid after it has been switched off. Some information obtained from system information may be stored by the UE or in the USIM for use in a stored information cell selection.

When selecting a new cell within the currently used PLMN, the UE shall consider all current system information blocks with area scope *cell* to be invalid. If the UE has stored valid system information blocks for the newly selected cell, the UE may set those as current system information blocks.

After selecting a new PLMN, the UE shall consider all current system information blocks with area scope *cell* and *PLMN* to be invalid. If the UE has previously stored valid system information blocks for the selected cell of the new PLMN, the UE may set those as current system information blocks. Upon selection of a new PLMN the UE shall store all information elements specified within variable SELECTED\_PLMN for the new PLMN within this variable.

After selecting a new PLMN which is not indicated by higher layers to be equivalent to the identity of the previously selected PLMN, the UE shall consider all system information blocks with area scope *Equivalent PLMN* to be invalid.

### 8.1.1.4 Reception of SYSTEM INFORMATION messages broadcast on a FACH transport channel

System information block type 10 may be broadcast on FACH, as specified in subclause 8.1.1.1.2.

When reading system information blocks on FACH, the UE shall perform the actions as defined in subclause 8.1.1.6.

### 8.1.1.5 Actions upon reception of the Master Information Block and Scheduling Block(s)

When selecting a new cell, the UE shall read the master information block. The UE may use the pre-defined scheduling information to locate the master information block in the cell.

Upon reception of the master information block, the UE shall:

- 1> if the "PLMN type" in the variable SELECTED\_PLMN has the value "GSM-MAP" and the IE "PLMN Type" has the value "GSM-MAP" or "GSM-MAP and ANSI-41":
  - 2> check the IE "PLMN identity" in the master information block and verify that it is the selected PLMN, stored as "PLMN identity" in the variable SELECTED\_PLMN.
- 1> if the "PLMN type" in the variable SELECTED\_PLMN has the value "ANSI-41" and the IE "PLMN Type" has the value "ANSI-41" or "GSM-MAP and ANSI-41":
  - 2> store the ANSI-41 Information elements contained in the master information block and perform initial process for ANSI-41.
- 1> compare the value tag in the master information block with the value tag stored for this cell and this PLMN in the variable VALUE\_TAG;

- 1> if the value tags differ, or if no IEs for the master information block are stored:
  - 2> store the value tag into the variable VALUE\_TAG for the master information block;
  - 2> read and store scheduling information included in the master information block.
- 1> if the value tags are the same the UE may use stored system information blocks and scheduling blocks using value tag that were stored for this cell and this PLMN as valid system information.

For all system information blocks or scheduling blocks that are supported by the UE referenced in the master information block or the scheduling blocks, the UE shall perform the following actions:

- 1> for all system information blocks with area scope "PLMN" or "Equivalent PLMN" that use value tags:
  - 2> compare the value tag read in scheduling information for that system information block with the value stored within the variable VALUE\_TAG for that system information block;
  - 2> if the value tags differ, or if no IEs for the corresponding system information block are stored:
    - 3> store the value tag read in scheduling information for that system information block into the variable VALUE\_TAG;
    - 3> read and store the IEs of that system information block.
  - 2> if the value tags are the same the UE may use stored system information blocks using value tag that were stored in this PLMN as valid system information.
- 1> for all system information blocks or scheduling blocks with area scope cell that use value tags:
  - 2> compare the value tag read in scheduling information for that system information block or scheduling block with the value stored within the variable VALUE\_TAG for that system information block or scheduling block;
  - 2> if the value tags differ, or if no IEs for the corresponding system information block or scheduling block are stored:
    - 3> store the value tag read in scheduling information for that system information block or scheduling block into the variable VALUE\_TAG;
    - 3> read and store the IEs of that system information block or scheduling block.
  - 2> if the value tags are the same the UE may use stored system information blocks using value tags that were stored for this cell and this PLMN as valid system information.
- 1> for system information blocks which may have multiple occurrences:
  - 2> compare the value tag and the configuration or multiple occurrence identity for the occurrence of the system information blocks read in scheduling information with the value tag and configuration or multiple occurrence identity stored within the variable VALUE\_TAG:
    - 3> if the value tags differ, or if no IEs from the occurrence with that configuration or multiple occurrence identity of the system information block are stored:
      - 4> store the value tag read in scheduling information for that system information block and the occurrence with that configuration or multiple occurrence identity into the variable VALUE\_TAG;
      - 4> read and store the IEs of that system information block.
    - 3> if the value tags and the configuration or multiple occurrence identity are identical to those stored, the UE may use stored occurrences of system information blocks that were stored for this cell and this PLMN as valid system information.

For system information blocks, not supported by the UE, but referenced either in the master information block or in the scheduling blocks, the UE may:

- 1> skip reading this system information block;

1> skip monitoring changes to this system information block.

If the UE:

- 1> receives a scheduling block at a position different from its position according to the scheduling information for the scheduling block; or
- 1> receives a scheduling block for which scheduling information has not been received:

the UE may:

- 1> store the content of the scheduling block with a value tag set to the value NULL; and
- 1> consider the content of the scheduling block as valid until it receives the same type of scheduling block in a position according to its scheduling information or at most for 6 hours after reception.

If the UE does not find a scheduling block in a position where it should be according to its scheduling information, but a transport block with correct CRC was found at that position, the UE shall:

- 1> read the scheduling information for this scheduling block.

If the UE does not find the master information block in a position fulfilling:

$$\text{SFN mod } 32 = 0$$

but a transport block with correct CRC was found at that position), the UE shall:

- 1> consider the master information block as not found; and
- 1> consider the cell to be barred according to [4]; and
- 1> consider the barred cell as using the value "allowed" in the IE "Intra-frequency cell re-selection indicator", and the maximum value in the IE " $T_{\text{barred}}$ ".

NOTE: This permits a different repetition for the MIB in later versions for FDD. In TDD it allows for a variable SIB\_REP in this and future releases.

If system information block type 1 is not scheduled on BCH, and system information block type 13 is not scheduled on BCH, the UE shall:

- 1> consider the cell to be barred according to [4]; and
- 1> consider the barred cell as using the value "allowed" in the IE "Intra-frequency cell re-selection indicator", and the maximum value in the IE " $T_{\text{barred}}$ ".

If the UE only supports GSM-MAP but finds a cell that broadcasts System Information Block type 13 but not System Information Block type 1, the UE shall:

- 1> consider the cell barred.

If:

- system information block type 1 is not scheduled on BCH; and
- the "PLMN Type" in the variable SELECTED\_PLMN has the value "GSM-MAP"; and
- the IE "PLMN type" in the Master Information Block has the value "GSM-MAP" or "GSM-MAP and ANSI-41":

the UE shall:

- 1> indicate to upper layers that no CN system information is available.

If in idle mode and System Information Block type 3 is not scheduled on BCH, the UE shall:

- 1> consider the cell to be barred according to [4]; and
- 1> consider the barred cell as using the value "allowed" in the IE "Intra-frequency cell re-selection indicator", and the maximum value in the IE " $T_{\text{barred}}$ ".

If in connected mode and System Information Block type 3 is not scheduled on BCH, and System Information Block type 4 is not scheduled on BCH, the UE shall:

- 1> consider the cell to be barred according to [4]; and
- 1> consider the barred cell as using the value "allowed" in the IE "Intra-frequency cell re-selection indicator", and the maximum value in the IE " $T_{\text{barred}}$ ".

If in idle mode and System Information Block type 5 is not scheduled on BCH or System Information Block type 5 is scheduled but IE "AICH info" (FDD) or IE "PICH info" is not present, the UE shall:

- 1> consider the cell to be barred according to [4]; and
- 1> consider the barred cell as using the value "allowed" in the IE "Intra-frequency cell re-selection indicator", and the maximum value in the IE " $T_{\text{barred}}$ ".

If in connected mode and System Information Block type 5 is not scheduled on BCH, and System Information Block type 6 is not scheduled on BCH, or any of System Information Block type 5 or type 6 is scheduled but IE "AICH info" (FDD) or IE "PICH info" is not present, the UE shall:

- 1> consider the cell to be barred according to [4]; and
- 1> consider the barred cell as using the value "allowed" in the IE "Intra-frequency cell re-selection indicator", and the maximum value in the IE " $T_{\text{barred}}$ ".

If System Information Block type 7 is not scheduled on BCH, the UE shall:

- 1> consider the cell to be barred according to [4]; and
- 1> consider the barred cell as using the value "allowed" in the IE "Intra-frequency cell re-selection indicator", and the maximum value in the IE " $T_{\text{barred}}$ ".

In 3.84 Mcps TDD, if System Information Block type 14 is not scheduled on BCH, the UE shall:

- 1> consider the cell to be barred according to [4]; and
- 1> consider the barred cell as using the value "allowed" in the IE "Intra-frequency cell re-selection indicator", and the maximum value in the IE " $T_{\text{barred}}$ ".

### 8.1.1.6 Actions upon reception of system information blocks

The UE may use the scheduling information included within the master information block and the scheduling blocks to locate each system information block to be acquired.

The UE should only expect one occurrence of the scheduling information for a system information block in the master information block and any of the scheduling blocks except for System Information Block type 16, System Information Block type 15.2 and System Information Block type 15.3, which may have multiple occurrences. However, to enable future introduction of new system information blocks, the UE shall also be able to receive system information blocks other than the ones indicated within the scheduling information. The UE may ignore contents of such system information block.

If the UE:

- 1> receives a system information block in a position according to the scheduling information for the system information block; and
- 1> this system information block uses a value tag; or
- 1> this system information block uses a value tag and configuration or multiple occurrence identity:

the UE shall:

- 1> store the content of the system information block together with the value of its value tag or the values of configuration and multiple occurrence identity and the associated value tag in the scheduling information for the system information block; and

- 1> consider the content of the system information block valid until, if used, the value tag in the scheduling information for the system information block is changed or at most for 6 hours after reception.

If the UE:

- 1> receives a system information block in a position according to the scheduling information for the system information block; and
- 1> this system information block does not use a value tag according to the system information block type:

the UE shall:

- 1> store the content of the system information block; and
- 1> start an expiration timer using a value as defined in Table 8.1.1 for that system information block type; and
- 1> consider the content of the system information block valid until, the expiration timer expires.

If the UE:

- 1> receives a system information block at a position different from its position according to the scheduling information for the system information block; or
- 1> receives a system information block for which scheduling information has not been received; and
- 1> this system information block uses a value tag:

the UE may:

- 1> store the content of the system information block with a value tag set to the value NULL; and
- 1> consider the content of the system information block as valid until it receives the same type of system information block in a position according to its scheduling information or at most for 6 hours after reception.

If the UE:

- 1> receives a system information block with multiple occurrences at a position different from its position according to the scheduling information for the system information block; or
- 1> receives a system information block with multiple occurrences for which scheduling information has not been received; and
- 1> this system information block uses a value tag and configuration or multiple occurrence identity:

the UE shall:

- 1> ignore this information.

If the UE does not find a system information block in a position where it should be according to its scheduling information, but a transport block with correct CRC was found at that position, the UE shall read the scheduling information for this system information block.

The UE shall act upon all received information elements as specified in subclause 8.6 unless specified otherwise in the following subclauses.

#### 8.1.1.6.1 System Information Block type 1

The UE should store all relevant IEs included in this system information block if the "PLMN Type" in the variable SELECTED\_PLMN has the value "GSM-MAP" and the IE "PLMN type" in the Master Information Block has the value "GSM-MAP" or "GSM-MAP and ANSI-41". The UE shall also:

- 1> check that the cell, according to information included in IE "CN common GSM-MAP NAS system information", is suitable [4];
- 1> if in connected mode:
  - 2> not forward the content of the IE "CN common GSM-MAP NAS system information" to upper layers.

1> if in idle mode:

2> forward the content of the IE "CN common GSM-MAP NAS system information" to upper layers.

1> for the IE "CN domain system information list":

2> for each IE "CN domain system information" that is present:

3> check that the cell, according to information included in IE "CN domain specific NAS system information", is suitable [4];

3> if in connected mode:

4> not forward the content of the IE "CN domain specific NAS system information" to upper layers.

3> if in idle mode:

4> forward the content of the IE "CN domain specific NAS system information" and the IE "CN domain identity" to upper layers;

4> use the IE "CN domain specific DRX cycle length coefficient" to calculate frame number for the Paging Occasions as specified in [4];

4> store the value of the IE "CN domain specific DRX cycle length coefficient" for use in connected mode.

2> if an IE "CN domain system information" is not present for a particular CN domain:

3> indicate to upper layers that no CN system information is available for that CN domain.

1> if the UE has not yet entered UTRA RRC connected mode:

2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS\_AND\_CONSTANTS.

1> use the values stored in the variable TIMERS\_AND\_CONSTANTS for the relevant timers and constants.

#### 8.1.1.6.2 System Information Block type 2

If in connected mode the UE should store all relevant IEs included in this system information block. The UE shall:

1> if in state URA\_PCH, start to perform URA updates using the information in the IE "URA identity".

If in idle mode, the UE shall not use the values of the IEs in this system information block.

#### 8.1.1.6.3 System Information Block type 3

The UE should store all relevant IEs included in this system information block. The UE shall:

1> if in connected mode, and System Information Block 4 is indicated as used in the cell:

2> read and act on information sent in that block.

#### 8.1.1.6.4 System Information Block type 4

If in connected mode, the UE should store all relevant IEs included in this system information block.

If in idle mode, the UE shall not use the values of the IEs included in this system information block.

#### 8.1.1.6.5 System Information Block type 5

The UE should store all relevant IEs included in this system information block. The UE shall:

1> if in connected mode, and System Information Block type 6 is indicated as used in the cell:

2> read and act on information sent in System Information Block type 6.

- 1> replace the TFS of the RACH with the one stored in the UE if any;
- 1> let the physical channel(s) of type PRACH given by the IE(s) "PRACH info" be the default in uplink for the PRACH if UE is in CELL\_FACH state;
- 1> start to receive the physical channel of type AICH using the parameters given by the IE "AICH info" (FDD only) when given allocated PRACH is used;
- 1> use the first instance of the list of transport formats as in the IE "RACH TFS" for the used RACH received in the IE "PRACH system information list" when using the CCCH;
- 1> replace the TFS of the FACH/PCH with the one stored in the UE if any;
- 1> select a Secondary CCPCH as specified in [4] and in subclause 8.5.19, and start to receive the physical channel of type PICH associated with the PCH carried by the selected Secondary CCPCH using the parameters given by the IE "PICH info" if UE is in Idle mode or in CELL\_PCH or URA\_PCH state;
- 1> start to monitor its paging occasions on the selected PICH if UE is in Idle mode or in CELL\_PCH or URA\_PCH state;
- 1> start to receive the selected physical channel of type Secondary CCPCH using the parameters given by the IE(s) "Secondary CCPCH info" if UE is in CELL\_FACH state;
- 1> in 3.84 Mcps TDD:
  - 2> use the IE "TDD open loop power control" as defined in subclause 8.5.7 when allocated PRACH is used.
- 1> in TDD:
  - 2> if the IE "PDSCH system information" and/or the IE "PUSCH system information" is included:
    - 3> store each of the configurations given there with the associated identity given in the IE "PDSCH Identity" and/or "PUSCH Identity" respectively. For every configuration, for which the IE "SFN Time info" is included, the information shall be stored for the duration given there.

If a UE is a 12 kbps class UE according to [35] and the UE has a lower capability than required to support all transport channel configurations mapped on a specific Secondary CCPCH, the UE shall at a certain time instant still be able to decode those transport channels mapped on this Secondary CCPCH that do match the capability supported by the UE. The UE shall use the TFCI bits for that Secondary CCPCH, to distinguish a transport channel configuration that is supported by the UE from a transport channel configuration that is not supported by the UE.

In particular if the UE is a 12 kbps class UE according to [35] and it does not support the processing requirement at a given point in time for a Secondary CCPCH, it shall still be able to decode the same Secondary CCPCH when the processing requirement is consistent with the UE capability. Or if the UE does not support the number of TFs or the coding of a certain transport channel on a Secondary CCPCH, it shall still be able to decode other transport channels mapped on the same Secondary CCPCH that is consistent with what is supported by the UE.

#### 8.1.1.6.6 System Information Block type 6

If in connected mode, the UE should store all relevant IEs included in this system information block. The UE shall:

- 1> replace the TFS of the RACH with the one stored in the UE if any;
- 1> let the physical channel(s) of type PRACH given by the IE(s) "PRACH info" be the default in uplink if UE is in CELL\_FACH state. If the IE "PRACH info" is not included, the UE shall read the corresponding IE(s) in System Information Block type 5 and use that information to configure the PRACH;
- 1> start to receive the physical channel of type AICH using the parameters given by the IE "AICH info" when associated PRACH is used. If the IE "AICH info" is not included, the UE shall read the corresponding IE in System Information Block type 5 and use that information (FDD only);
- 1> replace the TFS of the FACH/PCH with the one stored in the UE if any;
- 1> select a Secondary CCPCH as specified in [4] and in subclause 8.5.19, and start to receive the physical channel of type PICH associated with the PCH carried by the selected Secondary CCPCH using the parameters given by



the IE "PICH info" if the UE is in CELL\_PCH or URA\_PCH state. If the IE "PICH info" is not included, the UE shall read the corresponding IE in System Information Block type 5 and use that information;

1> start to monitor its paging occasions on the selected PICH if the UE is in CELL\_PCH or URA\_PCH state;

1> start to receive the selected physical channel of type Secondary CCPCH using the parameters given by the IE(s) "Secondary CCPCH info" if the UE is in CELL\_FACH state. If the IE "Secondary CCPCH info" is not included, the UE shall read the corresponding IE(s) in System Information Block type 5 and use that information;

1> in 3.84 Mcps TDD: use the IE "TDD open loop power control" as defined in subclause 8.5.7;

1> in TDD: if the IE "PDSCH system information" and/or the IE "PUSCH system information" is included, store each of the configurations given there with the associated identity given in the IE "PDSCH Identity" and/or "PUSCH Identity" respectively. For every configuration, for which the IE "SFN Time info" is included, the information shall be stored for the duration given there.

If in idle mode, the UE shall not use the values of the IEs in this system information block.

If a UE is a 12 kbps class UE according to [35] and the UE has a lower capability than required to support all transport channel configurations mapped on a specific Secondary CCPCH, the UE shall at a certain time instant still be able to decode those transport channels mapped on this Secondary CCPCH that do match the capability supported by the UE. The UE shall use the TFCI bits for that Secondary CCPCH, to distinguish a transport channel configuration that is supported by the UE from a transport channel configuration that is not supported by the UE.

In particular if the UE is a 12 kbps class UE according to [35] and it does not support the processing requirement at a given point in time for a Secondary CCPCH, it shall still be able to decode the same Secondary CCPCH when the processing requirement is consistent with the UE capability. Or if the UE does not support the number of TFs or the coding of a certain transport channel on a Secondary CCPCH, it shall still be able to decode other transport channels mapped on the same Secondary CCPCH that is consistent with what is supported by the UE.

#### 8.1.1.6.7 System Information Block type 7

The UE should store all relevant IEs included in this system information block.

#### 8.1.1.6.8 System Information Block type 8

This system information block type is used only in FDD.

If in connected mode, the UE should store all relevant IEs included in this system information block.

If in idle mode, the UE shall not use the values of the IEs in this system information block.

#### 8.1.1.6.9 System Information Block type 9

This system information block type is used only in FDD.

If in connected mode, the UE should store all relevant IEs included in the system information block. The UE shall:

1> start a timer set to the value given by the repetition period (SIB\_REP) for that system information block.

If in idle mode, the UE shall not use the values of the IEs in this system information block.

#### 8.1.1.6.10 System Information Block type 10

This system information block type is used only in FDD.

If in state CELL\_DCH, the UE should store all relevant IEs included in this system information block. The UE shall:

1> start a timer set to the value given by the repetition period (SIB\_REP) for that system information block;

1> perform actions defined in subclause 14.8.

If in idle mode, state CELL\_FACH, state CELL\_PCH or state URA\_PCH, the UE shall not use the values of the IEs in this system information block.

### 8.1.1.6.11 System Information Block type 11

The UE should store all relevant IEs included in this system information block. The UE shall:

- 1> if in idle mode:
  - 2> clear the variable MEASUREMENT\_IDENTITY.
- 1> if IE "FACH measurement occasion info" is included:
  - 2> act as specified in subclause 8.6.7.
- 1> else:
  - 2> may perform inter-frequency/inter-RAT measurements or inter-frequency/inter-RAT cell re-selection evaluation, if the UE capabilities permit such measurements while simultaneously receiving the S-CCPCH of the serving cell.
- 1> clear the variable CELL\_INFO\_LIST;
- 1> act upon the received IE "Intra-frequency cell info list"/"Inter-frequency cell info list"/"Inter-RAT cell info list" as described in subclause 8.6.7.3;
- 1> if in idle mode; or
- 1> if in connected mode and if System Information Block type 12 is not broadcast in the cell:
  - 2> if no intra-frequency measurement was set up or modified through a MEASUREMENT CONTROL message:
    - 3> if included, store the IE "Intra-frequency reporting quantity" and the IE "Intra-frequency measurement reporting criteria" or "Periodical reporting criteria" in order to activate reporting when state CELL\_DCH is entered in the variable MEASUREMENT\_IDENTITY. The IE "Cells for measurement" is absent for this measurement. The IE "Measurement Validity" is absent for this measurement after a state transition to CELL\_DCH;
- 1> if in connected mode and if System Information Block type 12 is not broadcast in the cell:
  - 2> read the IE "Traffic volume measurement information";
  - 2> if no traffic volume measurement with the measurement identity indicated in the IE "Traffic volume measurement system information" was set up or modified through a MEASUREMENT CONTROL message:
    - 3> update the variable MEASUREMENT\_IDENTITY with the measurement information received in that IE.
- 1> if the IE "Cell selection and reselection info" is not included for a new neighbouring cell in the IE "intra-frequency cell info list", the IE "inter-frequency cell info list" or the IE "inter-RAT cell info list" in System Information Block type 11:
  - 2> use the default values specified for the IE "Cell selection and reselection info" for that cell except for the IE "HCS neighbouring cell information".
- 1> if the IE "Use of HCS" is set to "used", indicating that HCS is used, do the following:
  - 2> if IE "HCS neighbouring cell information" is not included for the first new cell in the IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 11:
    - 3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.
  - 2> if IE "HCS neighbouring cell information" is not included for any other new cell in the IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 11:
    - 3> for that cell use the same parameter values as used for the preceding cell in the same cell info list in System Information Block type 11.

- 1> if the value of the IE "Cell selection and reselection quality measure" is different from the value of the IE "Cell selection and reselection quality measure" obtained from System Information Block type 3 or System Information Block type 4:
  - 2> use the value of the IE from this System Information Block and ignore the value obtained from System Information Block type 3 or System Information Block type 4.
- 1> if in connected mode, and System Information Block type 12 is indicated as used in the cell:
  - 2> read and act on information sent in System Information Block type 12 as indicated in subclause 8.1.1.6.12.

#### 8.1.1.6.12 System Information Block type 12

If in connected mode, the UE should store all relevant IEs included in this system information block. The UE shall:

- 1> after reception of System Information Block type 11:
  - 2> update the variable MEASUREMENT\_IDENTITY with the measurement information in the received IEs unless specified otherwise.
- 1> if IE "FACH measurement occasion info" is included:
  - 2> act as specified in subclause 8.6.7.
- 1> else:
  - 2> may perform inter-frequency/inter-RAT measurements or inter-frequency/inter-RAT cell re-selection evaluation, if the UE capabilities permit such measurements while simultaneously receiving the S-CCPCH of the serving cell.
- 1> act upon the received IE "Intra-frequency cell info list"/"Inter-frequency cell info list"/"Inter-RAT cell info list" as described in subclause 8.6.7.3;
- 1> if any of the IEs "Intra-frequency measurement quantity", "Intra-frequency reporting quantity for RACH reporting", "Maximum number of reported cells on RACH" or "Reporting information for state CELL\_DCH" are not included in the system information block:
  - 2> read the corresponding IE(s) in system information block type 11 and use that information for the intra-frequency measurement.
- 1> if included in this system information block or in System Information Block type 11:
  - 2> if no intra-frequency measurement in the variable MEASUREMENT\_IDENTITY was set up or modified through a MEASUREMENT CONTROL message:
    - 3> store the IE "Intra-frequency reporting quantity" and the IE "Intra-frequency measurement reporting criteria" or "Periodical reporting criteria" in order to activate reporting when state CELL\_DCH is entered in the variable MEASUREMENT\_IDENTITY. The IE "Cells for measurement" is absent for this measurement. The IE "Measurement Validity" is absent for this measurement after a state transition to CELL\_DCH;
- 1> if the IE "Traffic volume measurement system information" is not included in this system information block:
  - 2> read the corresponding IE in System Information Block type 11.
- 1> if the IE "Traffic volume measurement system information" was received either in this system information block or in System Information Block type 11:
  - 2> if no traffic volume measurement with the measurement identity indicated in the IE "Traffic volume measurement system information" was set up or modified through a MEASUREMENT CONTROL message:
    - 3> update the variable MEASUREMENT\_IDENTITY with the measurement information received in that IE.
- 1> if in CELL\_FACH state:

- 2> start or continue the traffic volume measurements stored in the variable MEASUREMENT\_IDENTITY that are valid in CELL\_FACH state.
- 1> if the IE "Cell selection and reselection info" is not included for a new neighbouring cell in the IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 12:
  - 2> use the default values specified for the IE "Cell selection and reselection info" for that cell except for the IE "HCS neighbouring cell information".
- 1> if the IE "Use of HCS" is set to "used", indicating that HCS is used, do the following:
  - 2> if IE "HCS neighbouring cell information" is not included for the first new cell in the IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 12:
    - 3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.
  - 2> if IE "HCS neighbouring cell information" is not included for any other new cell in the IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 12:
    - 3> for that cell use the same parameter values as used for the preceding cell in the same cell info list in System Information Block type 12.
- 1> if the value of the IE "Cell selection and reselection quality measure" is different from the value of the IE "Cell selection and reselection quality measure" obtained from System Information Block type 3 or System Information Block type 4:
  - 2> use the value of the IE from this System Information Block and ignore the value obtained from System Information Block type 3 or System Information Block type 4.

If in idle mode, the UE shall not use the values of the IEs in this system information block.

#### 8.1.1.6.13 System Information Block type 13

If in idle or connected mode, the UE should store all relevant IEs included in this system information block except for the IEs "CN domain specific DRX cycle length coefficient", "UE timers and constants in idle mode" and "Capability update requirement" which shall be stored only in the idle mode case. The UE shall read System Information Block type 13 and the associated System Information Block types 13.1, 13.2, 13.3 and 13.4 only when the "PLMN Type" in the variable SELECTED\_PLMN has the value "ANSI-41" and the IE "PLMN type" in the Master Information Block has the value "ANSI-41" or "GSM-MAP and ANSI-41". The UE shall also:

- 1> forward the content of the IE "CN domain specific NAS system information" to the non-access stratum entity indicated by the IE "CN domain identity";
- 1> use the IE "CN domain specific DRX cycle length coefficient" to calculate frame number for the Paging Occasions and Page indicator as specified in [4].

Refer to TIA/EIA/IS-2000.5-A for actions on information contained in System Information Block types 13.1, 13.2, 13.3 and 13.4.

#### 8.1.1.6.14 System Information Block type 14

This system information block type is used only in 3.84 Mcps TDD.

The UE should store all relevant IEs included in this system information block. The UE shall:

- 1> use the IE "UL Timeslot Interference" to calculate PRACH, DPCH and PUSCH transmit power for TDD uplink open loop power control as defined in subclause 8.5.7.

### 8.1.1.6.15 System Information Block type 15

If the UE is in idle or connected mode, and supports GPS location services it should store all relevant IEs included in this system information block. The UE shall:

1> if the IE "GPS Data ciphering info" is included:

1> act as specified in the subclause 8.6.7.19.4.- act upon the received IE "Reference position" as specified in subclause 8.6.7.19.3.8;

1> act upon the received IE "GPS reference time" as specified in subclause 8.6.7.19.3.7;

1> if IE "Satellite information" is included:

2> act upon this list of bad satellites as specified in subclause 8.6.7.19.3.6.

NOTE: For efficiency purposes, the UTRAN should broadcast System Information Block type 15 if it is broadcasting System Information Block type 15.2.

#### 8.1.1.6.15.1 System Information Block type 15.1

The UE should store all the relevant IEs included in this system information block in variable UE\_POSITIONING\_GPS\_DATA. The UE shall:

1> act on "DGPS information" in the IE "DGPS Corrections" in a similar manner as specified in [13] except that the scale factors for PRC and RRC are different;

1> act upon the received IE " UE Positioning GPS DGPS corrections" as specified in subclause 8.6.7.19.3.3.

In this version of the specification, the UE shall:

1> ignore the following IEs: "Delta PRC2", "Delta RRC2", "Delta PRC3" and "Delta RRC3".

#### 8.1.1.6.15.2 System Information Block type 15.2

For System Information Block type 15.2 multiple occurrences may be used; one occurrence for one satellite. To identify the different occurrences, the scheduling information for System Information Block type 15.2 includes IE "SIB occurrence identity and value tag". The UE should store all the relevant IEs included in this system information block in variable UE\_POSITIONING\_GPS\_DATA. The UE shall:

1> compare for each occurrence the value tag of the stored occurrence, if any, with the occurrence value tag included in the IE "SIB occurrence identity and value tag" for the occurrence of the System Information Block with the same occurrence identity;

1> in case the UE has no SIB occurrence stored with the same identity or in case the occurrence value tag is different:

2> store the occurrence information together with its identity and value tag for later use.

1> in case an occurrence with the same identity but different value tag was stored:

2> overwrite this one with the new occurrence read via system information for later use.

1> interpret IE "Transmission TOW" as a very coarse estimate of the current time, i.e., the approximate GPS time-of-week when the message is broadcast;

1> interpret IE "SatID" as the satellite ID of the data from which this message was obtained;

1> act upon the received IEs "Sat ID" and "GPS Ephemeris and Clock Corrections Parameter" as specified in subclause 8.6.7.19.3.4.

The IE "Transmission TOW" may be different each time a particular SIB occurrence is transmitted. The UTRAN should not increment the value tag of the SIB occurrence if the IE "Transmission TOW" is the only IE that is changed.

The UE may not need to receive all occurrences before it can use the information from any one occurrence.

#### 8.1.1.6.15.3 System Information Block type 15.3

For System Information Block type 15.3 multiple occurrences may be used; one occurrence for each set of satellite data. To identify the different occurrences, the scheduling information for System Information Block type 15.3 includes IE "SIB occurrence identity and value tag". The UE should store all the relevant IEs included in this system information block in variable UE\_POSITIONING\_GPS\_DATA. The UE shall:

- 1> compare for each occurrence the value tag of the stored occurrence, if any, with the occurrence value tag included in the IE "SIB occurrence identity and value tag" for the occurrence of the System Information Block with the same occurrence identity;
- 1> in case the UE has no SIB occurrence stored with the same identity or in case the occurrence value tag is different:
  - 2> store the occurrence information together with its identity and value tag for later use.
- 1> in case an occurrence with the same identity but different value tag was stored:
  - 2> overwrite this one with the new occurrence read via system information for later use.
- 1> interpret IE "Transmission TOW" as a very coarse estimate of the current time, i.e., the approximate GPS time-of-week when the message is broadcast;
- 1> if the IE "GPS Almanac and Satellite Health" is included:
  - 2> interpret IE "SatMask" as the satellites that contain the pages being broadcast in this message;
  - 2> interpret IE "LSB TOW" as the least significant 8 bits of the TOW ([12]);
  - 2> act upon the received IE "GPS Almanac and Satellite Health" as specified in subclause 8.6.7.19.3.2.
- 1> if the IE "GPS ionospheric model" is included:
  - 2> act upon the received IE "GPS ionospheric model" as specified in subclause 8.6.7.19.3.5.
- 1> if the IE "GPS UTC model" is included:
  - 2> act upon the received IE "GPS UTC model" as specified in subclause 8.6.7.19.3.9.

The IE "Transmission TOW" may be different each time a particular SIB occurrence is transmitted. The UTRAN should not increment the value tag of the SIB occurrence if the IE "Transmission TOW" is the only IE that is changed. One SIB occurrence value tag is assigned to the table of subclause 10.2.48.8.18.3.

The UE may not need to receive all occurrences before it can use the information for any one occurrence.

#### 8.1.1.6.15.4 System Information Block type 15.4

If the UE is in idle mode or connected mode, the UE shall:

- 1> if the IE "OTDOA Data ciphering info" is included:
  - 2> act as specified in subclause 8.6.7.19.4.

If the UE is in connected mode, the UE shall:

- 1> act as specified in subclause 8.6.7.19.2.

#### 8.1.1.6.15.5 System Information Block type 15.5

If the UE is in idle or connected mode, the UE shall:

- 1> if the UE supports UE-based OTDOA positioning:
  - 2> act as specified in subclause 8.6.7.19.2a.

#### 8.1.1.6.16 System Information Block type 16

For System Information Block type 16 multiple occurrences may be used; one occurrence for each predefined configuration. To identify the different predefined configurations, the scheduling information for System Information Block type 16 includes IE "Predefined configuration identity and value tag".

The UE should store all relevant IEs included in this system information block. The UE shall:

- 1> compare for each predefined configuration the value tag of the stored predefined configuration with the preconfiguration value tag included in the IE "Predefined configuration identity and value tag" for the occurrence of the SIB with the same predefined configuration identity;
- 1> in case the UE has no predefined configuration stored with the same identity or in case the predefined configuration value tag is different:
  - 2> store the predefined configuration information together with its identity and value tag for later use e.g. during handover to UTRAN.
- 1> in case a predefined configuration with the same identity but different value tag was stored:
  - 2> overwrite this one with the new configuration read via system information for later use e.g. during handover to UTRAN.

The above handling applies regardless of whether the previously stored predefined configuration information has been obtained via UTRA or via another RAT.

The UE is not required to complete reading of all occurrences of System Information Block type 16 before initiating RRC connection establishment.

The UE is not required to store more than maxPredefConfig preconfigurations even in the case of multiple equivalent PLMNs.

#### 8.1.1.6.17 System Information Block type 17

This system information block type is used only for TDD.

If in connected mode, the UE should store all relevant IEs included in this system information block. The UE shall:

- 1> if the IE "PDSCH system information" and/or the IE "PUSCH system information" is included, store each of the configurations given there with the associated identity given in the IE "PDSCH Identity" and/or "PUSCH Identity" respectively. This information shall become invalid after the time specified by the repetition period (SIB\_REP) for this system information block.

If in idle mode, the UE shall not use the values of the IEs in this system information block.

#### 8.1.1.6.18 System Information Block type 18

If the System Information Block type 18 is present, a UE shall obtain knowledge of the PLMN identity of the neighbour cells to be considered for cell reselection, and shall behave as specified in this subclause and in subclause 8.5.14a.

The UE should store all the relevant IEs included in this system information block.

A UE in idle mode shall act according to the following rules:

- 1> any PLMN list of a given type (IEs "PLMNs of intra-frequency cells list", "PLMNs of inter-frequency cells list", "PLMNs of inter-RAT cell lists") included in the IE "Idle mode PLMN identities" is paired with the list of cells of the same type derived from System Information Block type 11;
- 1> the PLMN identity located at a given rank in the PLMN list is that of the cell with the same ranking in the paired list of cells, the cells being considered in the increasing order of their associated identities ("Intra-frequency cell id", "Inter-frequency cell id", "Inter-RAT cell id");
- 1> if the number of identities in a PLMN list exceeds the number of neighbour cells in the paired list (if any), the extra PLMN identities are considered as unnecessary and ignored;

- 1> if the number of identities in a PLMN list (if any) is lower than the number of neighbour cells in the paired list, the missing PLMN identities are replaced by the last PLMN identity in the list if present, otherwise by the identity of the selected PLMN.

A UE in connected mode shall act in the same manner as a UE in idle mode with the following modifications:

- 1> the PLMN lists to be considered are the ones included, when present, in the IE "Connected mode PLMN identities"; otherwise, the UE shall use, in place of any missing list, the corresponding one in the IE "Idle mode PLMN identities";
- 1> the paired lists of cells are the ones derived from System Information Block type 11, and System Information Block type 12 if present.

#### 8.1.1.7 Modification of system information

For System Information Block type 15.2, 15.3 and 16 that may have multiple occurrences, the UE shall handle each occurrence independently as specified in the previous; that is each occurrence is handled as a separate system information block.

**NOTE:** It should be noted that for the proper operation of the BCCH Modification Information sent on a PCH, the System Information should not be changed more frequently than can be accommodated by mobile stations operating at the maximum DRX cycle length supported by the UTRAN.

##### 8.1.1.7.1 Modification of system information blocks using a value tag

Upon modifications of system information blocks using value tags, UTRAN should notify the new value tag for the master information block in the IE "BCCH modification info", transmitted in the following way:

- 1> to reach UEs in idle mode, CELL\_PCH state and URA\_PCH state, the IE "BCCH modification info" is contained in a PAGING TYPE 1 message transmitted on the PCCH in all paging occasions in the cell;
- 1> to reach UEs in CELL\_FACH state or TDD UEs in CELL\_DCH with S-CCPCH assigned, the IE "BCCH modification info" is contained in a SYSTEM INFORMATION CHANGE INDICATION message transmitted on the BCCH mapped on at least one FACH on every Secondary CCPCH in the cell.

Upon reception of a PAGING TYPE 1 message or a SYSTEM INFORMATION CHANGE INDICATION message containing the IE "BCCH modification info" containing the IE "MIB value tag" but not containing the IE "BCCH modification time", the UE shall perform actions as specified in subclause 8.1.1.7.3.

If the IE "BCCH modification time" is included the UE shall perform actions as specified in subclause 8.1.1.7.2.

##### 8.1.1.7.2 Synchronised modification of system information blocks

For modification of some system information elements, e.g. reconfiguration of the channels, it is important for the UE to know exactly when a change occurs. In such cases, the UTRAN should notify the SFN when the change will occur as well as the new value tag for the master information block in the IE "BCCH modification info" transmitted in the following way:

- 1> To reach UEs in idle mode, CELL\_PCH state and URA\_PCH state, the IE "BCCH modification info" is contained in a PAGING TYPE 1 message transmitted on the PCCH in all paging occasions in the cell;
- 1> To reach UEs in CELL\_FACH state, the IE "BCCH modification info" is contained in a SYSTEM INFORMATION CHANGE INDICATION message transmitted on the BCCH mapped on at least one FACH on every Secondary CCPCH in the cell.

Upon reception of a PAGING TYPE 1 message or a SYSTEM INFORMATION CHANGE INDICATION message containing the IE "BCCH modification info" containing the IE "MIB value tag" and containing the IE "BCCH modification time", the UE shall:

- 1> perform the actions as specified in subclause 8.1.1.7.3 at the time, indicated in the IE "BCCH Modification Info".



### 8.1.1.7.3 Actions upon system information change

The UE shall:

- 1> compare the value of IE "MIB value tag" in the IE "BCCH modification info" with the value tag stored for the master information block in variable VALUE\_TAG.
- 1> if the value tags differ:
  - 2> read the master information block on BCH;
  - 2> if the value tag of the master information block in the system information is the same as the value in IE "MIB value tag" in "BCCH modification info" but different from the value tag stored in the variable VALUE\_TAG:
    - 3> perform actions as specified in subclause 8.1.1.5.
  - 2> if the value tag of the master information block in the system information is the same as the value tag stored in the variable VALUE\_TAG:
    - 3> for the next occurrence of the master information block:
      - 4> perform actions as specified in subclause 8.1.1.7.3 again.
    - 2> if the value tag of the master information block in the system information is different from the value tag stored in the variable VALUE\_TAG, and is different from the value in IE "MIB value tag" in "BCCH modification info":
      - 3> perform actions as specified in subclause 8.1.1.5;
      - 3> if  $(VT_{CI} - VT_{MIB}) \bmod 8 < 4$ , where  $VT_{CI}$  is the value tag in the IE "MIB value tag" in "BCCH modification info" and  $VT_{MIB}$  is the value tag of the master information block in the system information:
        - 4> for the next occurrence of the master information block:
          - 5> perform actions as specified in subclause 8.1.1.7.3 again.

### 8.1.1.7.4 Actions upon expiry of a system information expiry timer

When the expiry timer of a system information block not using a value tag expires

the UE shall:

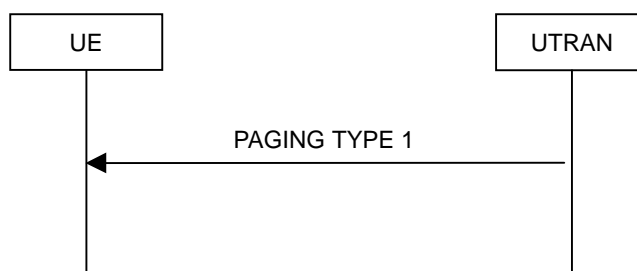
- 1> consider the content of the system information block invalid;
- 1> re-acquire the system information block again before the content can be used;

In FDD for system information blocks other than System Information Block type 7, or in states other than CELL\_FACH, or in TDD for system information blocks other than System Information Block type 14, or in states other than CELL\_FACH or CELL\_DCH the UE may:

- 1> postpone reading the system information block until the content is needed.

In FDD for System Information Block type 7, while in state CELL\_FACH, and in TDD for System Information Block type 14, while in state CELL\_FACH or CELL\_DCH the UE should always keep an up to date version of the relevant IEs, unless this is not possible because system information can not be received due to bad radio conditions.

## 8.1.2 Paging



**Figure 8.1.2-1: Paging**

### 8.1.2.1 General

This procedure is used to transmit paging information to selected UEs in idle mode, CELL\_PCH or URA\_PCH state using the paging control channel (PCCH). Upper layers in the network may request paging, to e.g. establish a signalling connection. UTRAN may initiate paging for UEs in CELL\_PCH or URA\_PCH state to trigger a cell update procedure. In addition, UTRAN may initiate paging for UEs in idle mode, CELL\_PCH and URA\_PCH state to trigger reading of updated system information.

### 8.1.2.2 Initiation

UTRAN initiates the paging procedure by transmitting a PAGING TYPE 1 message on an appropriate paging occasion on the PCCH.

UTRAN may repeat transmission of a PAGING TYPE 1 message to a UE in several paging occasions to increase the probability of proper reception of a page.

UTRAN may page several UEs in the same paging occasion by including one IE "Paging record" for each UE in the PAGING TYPE 1 message.

For CN originated paging, UTRAN should set the IE "Paging cause" to the cause for paging received from upper layers. If no cause for paging is received from upper layers, UTRAN should set the value "Terminating – cause unknown".

UTRAN may also indicate that system information has been updated, by including the value tag of the master information block in the IE "BCCH modification info" in the PAGING TYPE 1 message. In this case, UTRAN may omit the IEs "Paging record".

### 8.1.2.3 Reception of a PAGING TYPE 1 message by the UE

A UE in idle mode, CELL\_PCH state or URA\_PCH state shall receive the paging information for all its monitored paging occasions. For a UE in idle mode, the paging occasions are specified in [4] and depend on the IE "CN domain specific DRX cycle length coefficient", as specified in subclause 8.6.3.1a. For a UE in CELL\_PCH state or URA\_PCH state, the paging occasions depend also on the IE "UTRAN DRX cycle length coefficient" and the IE "RRC State Indicator", as specified in subclauses 8.6.3.2 and 8.6.3.3 respectively.

When the UE receives a PAGING TYPE 1 message, it shall perform the actions as specified below.

If the UE is in idle mode, for each occurrence of the IE "Paging record" included in the message the UE shall:

- 1> if the IE "Used paging identity" is a CN identity:
  - 2> compare the IE "UE identity" with all of its allocated CN UE identities:
  - 2> if one match is found:
    - 3> indicate reception of paging; and
    - 3> forward the IE "CN domain identity", the IE "UE identity" and the IE "Paging cause" to the upper layers.

1> otherwise:

2> ignore that paging record.

If the UE is in connected mode, for each occurrence of the IE "Paging record" included in the message the UE shall:

1> if the IE "Used paging identity" is a UTRAN identity and if this U-RNTI is the same as the U-RNTI allocated to the UE:

2> if the optional IE "CN originated page to connected mode UE" is included:

3> indicate reception of paging; and

3> forward the IE "CN domain identity", the IE "Paging cause" and the IE "Paging record type identifier" to the upper layers.

2> otherwise:

3> perform a cell update procedure with cause "paging response" as specified in subclause 8.3.1.2.

2> ignore any other remaining IE "Paging record" that may be present in the message.

1> otherwise:

2> ignore that paging record.

If the IE "BCCH modification info" is included, any UE in idle mode, CELL\_PCH or URA\_PCH state shall perform the actions as specified in subclause 8.1.1 in addition to any actions caused by the IE "Paging record" occurrences in the message as specified above.

### 8.1.3 RRC connection establishment

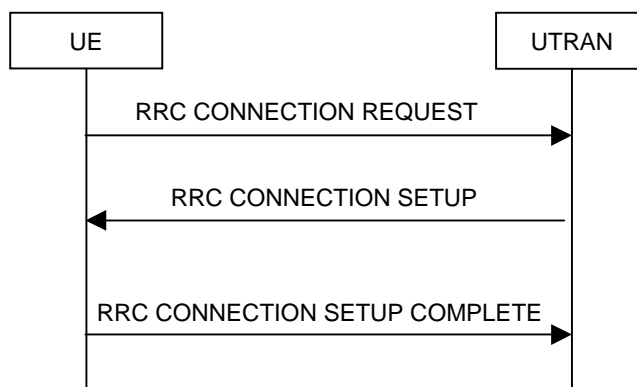


Figure 8.1.3-1: RRC Connection Establishment, network accepts RRC connection

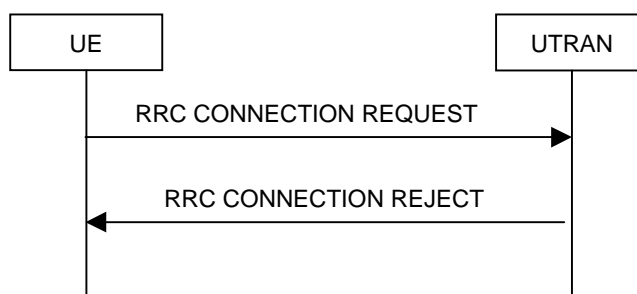


Figure 8.1.3-2: RRC Connection Establishment, network rejects RRC connection

#### 8.1.3.1 General

The purpose of this procedure is to establish an RRC connection.

### 8.1.3.2 Initiation

The UE shall initiate the procedure when upper layers in the UE requests the establishment of a signalling connection and the UE is in idle mode (no RRC connection exists), as specified in subclause 8.1.8.

Upon initiation of the procedure, the UE shall:

- 1> set the variable `PROTOCOL_ERROR_INDICATOR` to `FALSE`;
- 1> if the USIM is present:
  - 2> set the value of "THRESHOLD" in the variable "START\_THRESHOLD" to the 20 MSBs of the value stored in the USIM [50] for the maximum value of START for each CN Domain.
- 1> if the SIM is present:
  - 2> set the value of "THRESHOLD" in the variable "START\_THRESHOLD" to the default value in [40] for each CN Domain.
- 1> set the IE "Initial UE identity" in the variable `INITIAL_UE_IDENTITY` according to subclause 8.5.1;
- 1> set the contents of the RRC CONNECTION REQUEST message according to subclause 8.1.3.3;
- 1> set CFN in relation to SFN of current cell according to subclause 8.5.15;
- 1> perform the mapping of the Access Class to an Access Service Class as specified in subclause 8.5.13, and apply the given Access Service Class when accessing the RACH;
- 1> submit the RRC CONNECTION REQUEST message for transmission on the uplink CCCH;
- 1> set counter V300 to 1; and
- 1> start timer T300 when the MAC layer indicates success or failure to transmit the message;
- 1> select a Secondary CCPCH according to [4];
- 1> start receiving all FACH transport channels mapped on the selected Secondary CCPCH.

### 8.1.3.3 RRC CONNECTION REQUEST message contents to set

The UE shall, in the transmitted RRC CONNECTION REQUEST message:

- 1> set the IE "Establishment cause" to the value of the variable `ESTABLISHMENT_CAUSE`;
- 1> set the IE "Initial UE identity" to the value of the variable `INITIAL_UE_IDENTITY`;
- 1> set the IE "Protocol error indicator" to the value of the variable `PROTOCOL_ERROR_INDICATOR`.

The UE shall not include the IE "UE Specific Behaviour Information 1 idle".

### 8.1.3.4 Reception of an RRC CONNECTION REQUEST message by the UTRAN

Upon receiving an RRC CONNECTION REQUEST message, UTRAN should either:

- 1> submit an RRC CONNECTION SETUP message to the lower layers for transmission on the downlink CCCH; or

**NOTE:** The RRC CONNECTION SETUP message always includes the IEs "Added or Reconfigured TrCH information list", both for uplink and downlink transport channels, even if UTRAN orders the UE to move to CELL\_FACH and hence need not configure any transport channels. In these cases, UTRAN may include a configuration that adds little to the encoded message size e.g. a DCH with a single zero size transport format. At a later stage, UTRAN may either remove or reconfigure this configuration.

- 1> submit an RRC CONNECTION REJECT message on the downlink CCCH. In the RRC CONNECTION REJECT message, the UTRAN may direct the UE to another UTRA carrier or to another system. After the RRC CONNECTION REJECT message has been sent, all context information for the UE may be deleted in UTRAN.

### 8.1.3.5 Cell re-selection or T300 timeout

1> if the UE has not yet received an RRC CONNECTION SETUP message with the value of the IE "Initial UE identity" equal to the value of the variable INITIAL\_UE\_IDENTITY; and

1> if cell re-selection or expiry of timer T300 occurs:

the UE shall:

1> check the value of V300; and

2> if V300 is equal to or smaller than N300:

3> if cell re-selection occurred:

4> set CFN in relation to SFN of current cell according to subclause 8.5.15.

3> set the IEs in the RRC CONNECTION REQUEST message according to subclause 8.1.3.3;

3> perform the mapping of the Access Class to an Access Service Class as specified in subclause 8.5.13; and

3> apply the given Access Service Class when accessing the RACH;

3> submit a new RRC CONNECTION REQUEST message to lower layers for transmission on the uplink CCCH;

3> increment counter V300;

3> restart timer T300 when the MAC layer indicates success or failure to transmit the message.

2> if V300 is greater than N300:

3> enter idle mode.

3> consider the procedure to be unsuccessful;

3> Other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

3> the procedure ends.

### 8.1.3.5a Abortion of RRC connection establishment

If the UE has not yet entered UTRA RRC Connected mode and the RRC connection establishment is to be aborted as specified in subclause 8.1.8, the UE shall:

1> consider the procedure to be unsuccessful;

1> perform the actions when entering idle mode as specified in subclause 8.5.2.

The procedure ends.

### 8.1.3.6 Reception of an RRC CONNECTION SETUP message by the UE

The UE shall compare the value of the IE "Initial UE identity" in the received RRC CONNECTION SETUP message with the value of the variable INITIAL\_UE\_IDENTITY.

If the values are different, the UE shall:

1> ignore the rest of the message.

If the values are identical, the UE shall:

1> stop timer T300, and act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

- 2> if the UE, according to subclause 8.6.3.3, will be in the CELL\_FACH state at the conclusion of this procedure:
  - 3> if the IE "Frequency info" is included:
    - 4> select a suitable UTRA cell according to [4] on that frequency;
  - 3> enter UTRA RRC connected mode;
  - 3> select PRACH according to subclause 8.5.17;
  - 3> select Secondary CCPCH according to subclause 8.5.19;
  - 3> ignore the IE "UTRAN DRX cycle length coefficient" and stop using DRX.
- 1> if the UE, according to subclause 8.6.3.3, will be in the CELL\_DCH state at the conclusion of this procedure:
  - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
  - 2> enter UTRA RRC connected mode;
- 1> submit an RRC CONNECTION SETUP COMPLETE message to the lower layers on the uplink DCCH after successful state transition per subclause 8.6.3.3, with the contents set as specified below:
  - 2> set the IE "RRC transaction identifier" to:
    - 3> the value of "RRC transaction identifier" in the entry for the RRC CONNECTION SETUP message in the table "Accepted transactions" in the variable TRANSACTIONS; and
    - 3> clear that entry.
  - 2> if the USIM or SIM is present:
    - 3> set the "START" for each CN domain in the IE "START list" in the RRC CONNECTION SETUP COMPLETE message with the corresponding START value that is stored in the USIM [50] if present, or as stored in the UE if the SIM is present; and then
    - 3> set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START\_THRESHOLD.
  - 2> if neither the USIM nor SIM is present:
    - 3> set the "START" for each CN domain in the IE "START list" in the RRC CONNECTION SETUP COMPLETE message to zero;
    - 3> set the value of "THRESHOLD" in the variable "START\_THRESHOLD" to the default value [40].
  - 2> retrieve its UTRA UE radio access capability information elements from variable UE\_CAPABILITY\_REQUESTED; and then
  - 2> include this in IE "UE radio access capability" and IE "UE radio access capability extension", provided this IE is included in variable UE\_CAPABILITY\_REQUESTED;
  - 2> retrieve its inter-RAT-specific UE radio access capability information elements from variable UE\_CAPABILITY\_REQUESTED; and then
  - 2> include this in IE "UE system specific capability".

When the RRC CONNECTION SETUP COMPLETE message has been submitted to lower layers for transmission the UE shall:

- 1> if the UE has entered CELL\_FACH state:
  - 2> start timer T305 using its initial value if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS\_AND\_CONSTANTS.

- 1> store the contents of the variable UE\_CAPABILITY\_REQUESTED in the variable UE\_CAPABILITY\_TRANSFERRED;
- 1> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;
- 1> consider the procedure to be successful;

And the procedure ends.

### 8.1.3.7 Physical channel failure or cell re-selection

- 1> If the UE failed to establish, per subclause 8.5.4, the physical channel(s) indicated in the RRC CONNECTION SETUP message; or
- 1> if the UE performs cell re-selection; or
- 1> if the UE will be in the CELL\_FACH state at the conclusion of this procedure; and
- 1> if the received RRC CONNECTION SETUP message included the IE "Frequency info" and the UE could not find a suitable UTRA cell on that frequency but it could find a suitable UTRA cell on another frequency; or
- 1> if the received RRC CONNECTION SETUP message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE; or
- 1> if the contents of the variable C\_RNTI is empty;
- 1> after having received an RRC CONNECTION SETUP message with the value of the IE "Initial UE identity" equal to the value of the variable INITIAL\_UE\_IDENTITY; and
- 1> before the RRC CONNECTION SETUP COMPLETE message is delivered to lower layers for transmission:

the UE shall:

- 1> clear the entry for the RRC CONNECTION SETUP message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> check the value of V300, and:
  - 2> if V300 is equal to or smaller than N300:
    - 3> set CFN in relation to SFN of current cell according to subclause 8.5.15;
    - 3> set the IEs in the RRC CONNECTION REQUEST message according to subclause 8.1.3.3;
    - 3> perform the mapping of the Access Class to an Access Service Class as specified in subclause 8.5.13, and apply the given Access Service Class when accessing the RACH;
    - 3> submit a new RRC CONNECTION REQUEST message to the lower layers for transmission on the uplink CCCH;
    - 3> increment counter V300; and
    - 3> restart timer T300 when the MAC layer indicates success or failure in transmitting the message.
  - 2> if V300 is greater than N300:
    - 3> enter idle mode;
    - 3> perform the actions specified in subclause 8.5.2 when entering idle mode from connected mode;
    - 3> consider the RRC establishment procedure to be unsuccessful;
    - 3> the procedure ends.

### 8.1.3.8 Invalid RRC CONNECTION SETUP message, unsupported configuration or invalid configuration

If the UE receives an RRC CONNECTION SETUP message which contains an IE "Initial UE identity" with a value which is identical to the value of the variable INITIAL\_UE\_IDENTITY, but the RRC CONNECTION SETUP message contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> clear the entry for the RRC CONNECTION SETUP message in the table "Rejected transactions" in the variable TRANSACTIONS and proceed as below.

If the UE receives an RRC CONNECTION SETUP message which contains an IE "Initial UE identity" with a value which is identical to the value of the variable INITIAL\_UE\_IDENTITY:

- 1> if the RRC CONNECTION SETUP message contained a configuration the UE does not support; and/or
- 1> if the variable UNSUPPORTED\_CONFIGURATION becomes set to TRUE due to the received RRC CONNECTION SETUP message; and/or
- 1> if the variable INVALID\_CONFIGURATION becomes set to TRUE due to the received RRC CONNECTION SETUP message:

the UE shall:

- 1> clear the entry for the RRC CONNECTION SETUP message in the table "Accepted transactions" in the variable TRANSACTIONS and proceed as below.

If V300 is equal to or smaller than N300, the UE shall:

- 1> set the variable PROTOCOL\_ERROR\_INDICATOR to TRUE;
- 1> set the IEs in the RRC CONNECTION REQUEST message according to subclause 8.1.3.3;
- 1> perform the mapping of the Access Class to an Access Service Class as specified in subclause 8.5.13; and
- 1> apply the given Access Service Class when accessing the RACH;
- 1> submit a new RRC CONNECTION REQUEST message to the lower layers for transmission on the uplink CCCH;
- 1> increment counter V300; and
- 1> restart timer T300 when the MAC layer indicates success or failure in transmitting the message.

If V300 is greater than N300, the UE shall:

- 1> enter idle mode;
- 1> perform the actions specified in subclause 8.5.2 when entering idle mode from connected mode;
- 1> consider the RRC establishment procedure to be unsuccessful;
- 1> the procedure ends.

### 8.1.3.9 Reception of an RRC CONNECTION REJECT message by the UE

When the UE receives an RRC CONNECTION REJECT message on the downlink CCCH, it shall compare the value of the IE "Initial UE identity" in the received RRC CONNECTION REJECT message with the value of the variable INITIAL\_UE\_IDENTITY:

If the values are different, the UE shall ignore the rest of the message;

If the values are identical, the UE shall:

- 1> stop timer T300; and



- 1> clear the entry for the RRC CONNECTION REJECT message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> if the IE "wait time"  $\neq$  '0'; and
- 1> if the IE "frequency info" is present and:
  - 2> if V300 is equal to or smaller than N300:
    - 3> select a suitable cell belonging to the selected PLMN or any PLMN indicated to be equivalent to that PLMN on the designated UTRA carrier;
    - 3> after having selected and camped on a suitable cell on the designated UTRA carrier:
      - 4> set CFN in relation to SFN of current cell according to subclause 8.5.15;
      - 4> set the contents of the RRC CONNECTION REQUEST message according to subclause 8.1.3.3;
      - 4> perform the mapping of the Access Class to an Access Service Class as specified in subclause 8.5.13, and apply the given Access Service Class when accessing the RACH;
      - 4> transmit an RRC CONNECTION REQUEST message on the uplink CCCH;
      - 4> reset counter V300;
      - 4> start timer T300 when the MAC layer indicates success or failure in transmitting the message;
      - 4> disable cell reselection to original UTRA carrier until the time stated in the IE "wait time" has elapsed;
    - 3> if no suitable cell on the designated UTRA carrier is found:
      - 4> wait for at least the time stated in the IE "wait time";
      - 4> set CFN in relation to SFN of current cell according to subclause 8.5.15;
      - 4> set the IEs in the RRC CONNECTION REQUEST message according to subclause 8.1.3.3;
      - 4> perform the mapping of the Access Class to an Access Service Class as specified in subclause 8.5.13, and apply the given Access Service Class when accessing the RACH;
      - 4> then submit a new RRC CONNECTION REQUEST message to the lower layers for transmission on the uplink CCCH of the original serving cell;
      - 4> increment counter V300;
      - 4> restart timer T300 when the MAC layer indicates success or failure to transmit the message;
  - 2> if V300 is greater than N300:
    - 3> enter idle mode;
    - 3> perform the actions specified in subclause 8.5.2 when entering idle mode from connected mode;
    - 3> consider the RRC establishment procedure to be unsuccessful;
    - 3> the procedure ends.
- 1> if the IE "inter-RAT info" is present and:
  - 2> if V300 is equal to or smaller than N300:
    - 3> select a suitable cell belonging to the selected PLMN or any PLMN indicated to be equivalent to that PLMN in the designated RAT;
    - 3> after having selected and camped on a suitable cell on the designated RAT:
      - 4> disable cell reselection to the original RAT until the time stated in the IE "wait time" has elapsed.

- 3> if no suitable cell in the designated RAT is found:
  - 4> wait at least the time stated in the IE "wait time";
  - 4> set CFN in relation to SFN of current cell according to subclause 8.5.15;
  - 4> set the IEs in the RRC CONNECTION REQUEST message according to subclause 8.1.3.2.
  - 4> perform the mapping of the Access Class to an Access Service Class as specified in subclause 8.5.13, and apply the given Access Service Class when accessing the RACH;
  - 4> then submit a new RRC CONNECTION REQUEST message to the lower layers for transmission on the uplink CCCH;
  - 4> increment counter V300;
  - 4> restart timer T300 when the MAC layer indicates success or failure to transmit the message;
- 2> if V300 is greater than N300:
  - 3> enter idle mode;
  - 3> perform the actions specified in subclause 8.5.2 when entering idle mode from connected mode;
  - 3> consider the RRC establishment procedure to be unsuccessful;
  - 3> the procedure ends.
- 1> If neither the IEs "frequency info" nor "inter-RAT info" are present and:
  - 2> if V300 is equal to or smaller than N300:
    - 3> wait at least the time stated in the IE "wait time";
    - 3> set the IEs in the RRC CONNECTION REQUEST message according to subclause 8.1.3.2;
    - 3> perform the mapping of the Access Class to an Access Service Class as specified in subclause 8.5.13, and apply the given Access Service Class when accessing the RACH;
    - 3> submit a new RRC CONNECTION REQUEST message to the lower layers for transmission on the uplink CCCH;
    - 3> increment counter V300;
    - 3> restart timer T300 when the MAC layer indicates success or failure to transmit the message;
  - 2> if V300 is greater than N300:
    - 3> enter idle mode;
    - 3> perform the actions specified in subclause 8.5.2 when entering idle mode from connected mode;
    - 3> consider the RRC establishment procedure to be unsuccessful;
    - 3> the procedure ends.
- 1> if the IE "wait time" = '0':
  - 2> enter idle mode;
  - 2> perform the actions specified in subclause 8.5.2 when entering idle mode from connected mode;
  - 2> consider the RRC establishment procedure to be unsuccessful;
  - 2> the procedure ends.

### 8.1.3.10 Invalid RRC CONNECTION REJECT message

If the UE receives an RRC CONNECTION REJECT message which contains an IE "Initial UE identity" with a value which is identical to the value of the IE "Initial UE identity" in the most recent RRC CONNECTION REQUEST message sent by the UE; but the RRC CONNECTION REJECT message contains a protocol error causing the variable `PROTOCOL_ERROR_REJECT` to be set to `TRUE` according to clause 9, the UE shall perform procedure specific error handling as follows:

The UE shall:

- 1> clear the entry for the RRC CONNECTION REJECT message in the table "Rejected transactions" in the variable `TRANSACTIONS`;
- 1> if `V300` is equal to or smaller than `N300`:
  - 2> set the variable `PROTOCOL_ERROR_INDICATOR` to `TRUE`;
  - 2> set the IEs in the RRC CONNECTION REQUEST message according to subclause 8.1.3.3;
  - 2> perform the mapping of the Access Class to an Access Service Class as specified in subclause 8.5.13, and apply the given Access Service Class when accessing the RACH;
  - 2> submit a new RRC CONNECTION REQUEST message to the lower layers for transmission on the uplink CCCH;
  - 2> increment counter `V300`;
  - 2> restart timer `T300` when the MAC layer indicates success or failure to transmit the message.
- 1> if `V300` is greater than `N300`:
  - 2> enter idle mode;
  - 2> perform the actions specified in subclause 8.5.2 when entering idle mode from connected mode;
  - 2> consider the procedure to be successful;
  - 2> the procedure ends.

### 8.1.4 RRC connection release

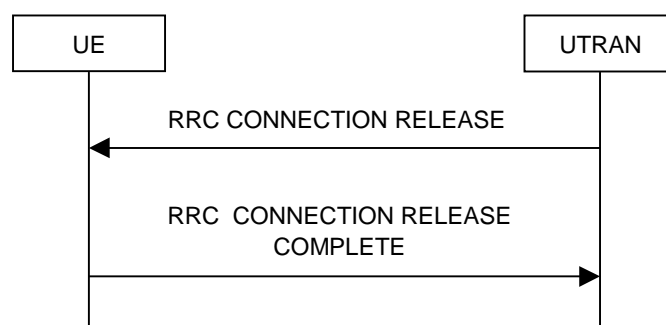


Figure 8.1.4-1: RRC Connection Release procedure on the DCCH



Figure 8.1.4-2: RRC Connection Release procedure on the CCCH

### 8.1.4.1 General

The purpose of this procedure is to release the RRC connection including all radio bearers and all signalling radio bearers between the UE and the UTRAN. By doing so, all established signalling connections will be released.

### 8.1.4.2 Initiation

When the UE is in state CELL\_DCH or CELL\_FACH, the UTRAN may at anytime initiate an RRC connection release by transmitting an RRC CONNECTION RELEASE message using UM RLC.

When UTRAN transmits an RRC CONNECTION RELEASE message the downlink DCCH should be used, if available. If the downlink DCCH is not available in UTRAN and the UE is in CELL\_FACH state, the downlink CCCH may be used.

UTRAN may transmit several RRC CONNECTION RELEASE messages to increase the probability of proper reception of the message by the UE. In such a case, the RRC SN for these repeated messages should be the same. The number of repeated messages and the interval between the messages is a network option.

### 8.1.4.3 Reception of an RRC CONNECTION RELEASE message by the UE

The UE shall receive and act on an RRC CONNECTION RELEASE message in states CELL\_DCH and CELL\_FACH. Furthermore this procedure can interrupt any ongoing procedures with the UE in the above listed states.

When the UE receives the first RRC CONNECTION RELEASE message; and

- 1> if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U\_RNTI; or
- 1> if the message is received on DCCH:

the UE shall:

- 1> in state CELL\_DCH:
  - 2> initialise the counter V308 to zero;
  - 2> set the IE "RRC transaction identifier" in the RRC CONNECTION RELEASE COMPLETE message to the value of "RRC transaction identifier" in the entry for the RRC CONNECTION RELEASE message in the table "Accepted transactions" in the variable TRANSACTIONS;
  - 2> submit an RRC CONNECTION RELEASE COMPLETE message to the lower layers for transmission using UM RLC on the DCCH to the UTRAN;
  - 2> if the IE "Rplmn information" is present:
    - 3> the UE may:
      - 4> store the IE on the ME together with the PLMN id for which it applies;
    - 3> the UE may then:
      - 4> utilise this information, typically indicating where a number of BCCH frequency ranges of a RAT may be expected to be found, during subsequent Rplmn selections of the indicated PLMN.
  - 2> start timer T308 when the RRC CONNECTION RELEASE COMPLETE message is sent on the radio interface.
- 1> in state CELL\_FACH:
  - 2> if the RRC CONNECTION RELEASE message was received on the DCCH:
    - 3> set the IE "RRC transaction identifier" in the RRC CONNECTION RELEASE COMPLETE message to the value of "RRC transaction identifier" in the entry for the RRC CONNECTION RELEASE message in the table "Accepted transactions" in the variable TRANSACTIONS;

- 3> submit an RRC CONNECTION RELEASE COMPLETE message to the lower layers for transmission using AM RLC on the DCCH to the UTRAN.
- 3> when the successful transmission of the RRC CONNECTION RELEASE COMPLETE message has been confirmed by the lower layers:
  - 4> release all its radio resources; and
  - 4> indicate the release of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers; and
  - 4> clear any entry for the RRC CONNECTION RELEASE message in the tables "Accepted transactions" and "Rejected transactions" in the variable TRANSACTIONS;
  - 4> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 4> clear the variable ESTABLISHED\_RABS;
  - 4> pass the value of the IE "Release cause" received in the RRC CONNECTION RELEASE message to upper layers;
  - 4> enter idle mode;
  - 4> perform the actions specified in subclause 8.5.2 when entering idle mode.
- 3> and the procedure ends.
- 2> if the RRC CONNECTION RELEASE message was received on the CCCH:
  - 3> release all its radio resources;
  - 3> indicate the release of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to the upper layers;
  - 3> clear any entry for the RRC CONNECTION RELEASE message in the tables "Accepted transactions" and "Rejected transactions" in the variable TRANSACTIONS;
  - 3> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 3> clear the variable ESTABLISHED\_RABS;
  - 3> pass the value of the IE "Release cause" received in the RRC CONNECTION RELEASE message to upper layers;
  - 3> enter idle mode;
  - 3> perform the actions specified in subclause 8.5.2 when entering idle mode;
  - 3> and the procedure ends.

#### 8.1.4.4 Invalid RRC CONNECTION RELEASE message

If the RRC CONNECTION RELEASE message contains a protocol error causing the variable `PROTOCOL_ERROR_REJECT` to be set to `TRUE` according to clause 9, and if the "protocol error cause" in `PROTOCOL_ERROR_INFORMATION` is set to any cause value except "ASN.1 violation or encoding error", the UE shall perform procedure specific error handling as follows:

The UE shall:

- 1> ignore any IE(s) causing the error but treat the rest of the RRC CONNECTION RELEASE message as normal according to subclause 8.1.4.3, with an addition of the following actions:
- 2> if the RRC CONNECTION RELEASE message was received on the DCCH:

- 3> set the IE "RRC transaction identifier" in the RRC CONNECTION RELEASE COMPLETE message to the value of "RRC transaction identifier" in the entry for the RRC CONNECTION RELEASE message in the table "Rejected transactions" in the variable TRANSACTIONS;
- 3> include the IE "Error indication" in the RRC CONNECTION RELEASE COMPLETE message with:
  - 4> the IE "Failure cause" set to the cause value "Protocol error"; and
  - 4> the IE "Protocol error information" set to the value of the variable PROTOCOL\_ERROR\_INFORMATION.

#### 8.1.4.5 Cell re-selection or radio link failure

If the UE performs cell re-selection or the radio link failure criteria in subclause 8.5.6 is met at any time during the RRC connection release procedure and the UE has not yet entered idle mode, the UE shall:

- 1> if cell re-selection occurred (CELL\_FACH state):
  - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection".
- 1> if radio link failure occurred (CELL\_DCH state):
  - 2> release all its radio resources;
  - 2> indicate the release of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 2> clear the variable ESTABLISHED\_RABS;
  - 2> enter idle mode;
  - 2> perform the actions specified in subclause 8.5.2 when entering idle mode;
  - 2> and the procedure ends.

#### 8.1.4.6 Expiry of timer T308, unacknowledged mode transmission

When in state CELL\_DCH and the timer T308 expires, the UE shall:

- 1> increment V308 by one;
- 1> if V308 is equal to or smaller than N308:
  - 2> prior to retransmitting the RRC CONNECTION RELEASE COMPLETE message:
    - 3> if the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started":
      - 4> include the same IEs as in the last unsuccessful attempt of this message, except for the IE "Integrity check info", which is set as specified in subclause 8.5.10.
    - 3> else:
      - 4> include the same IEs as in the last unsuccessful attempt of this message.
  - 2> set the IE "RRC transaction identifier" in the RRC CONNECTION RELEASE COMPLETE message retransmitted below to the value of "RRC transaction identifier" in the entry for the RRC CONNECTION RELEASE message in the table "Accepted transactions" in the variable TRANSACTIONS;
  - 2> send the RRC CONNECTION RELEASE COMPLETE message on signalling radio bearer RB1;
  - 2> start timer T308 when the RRC CONNECTION RELEASE COMPLETE message is sent on the radio interface.

- 1> if V308 is greater than N308:
  - 2> release all its radio resources;
  - 2> indicate the release of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - 2> clear any entry for the RRC CONNECTION RELEASE message in the tables "Accepted transactions" and "Rejected transactions" in the variable TRANSACTIONS;
  - 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 2> clear the variable ESTABLISHED\_RABS;
  - 2> enter idle mode;
  - 2> perform the actions specified in subclause 8.5.2 when entering idle mode;
  - 2> and the procedure ends.

#### 8.1.4.7 Void

#### 8.1.4.8 Reception of an RRC CONNECTION RELEASE COMPLETE message by UTRAN

When UTRAN receives an RRC CONNECTION RELEASE COMPLETE message from the UE, it should:

- 1> release all UE dedicated resources and the procedure ends on the UTRAN side.

#### 8.1.4.9 Unsuccessful transmission of the RRC CONNECTION RELEASE COMPLETE message, acknowledged mode transmission

When acknowledged mode was used and RLC does not succeed in transmitting the RRC CONNECTION RELEASE COMPLETE message, the UE shall:

- 1> release all its radio resources;
- 1> indicate the release of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- 1> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 1> clear the variable ESTABLISHED\_RABS;
- 1> enter idle mode;
- 1> perform the actions specified in subclause 8.5.2 when entering idle mode;
- 1> and the procedure ends.

#### 8.1.4.10 Detection of loss of dedicated physical channel by UTRAN in CELL\_DCH state

If the release is performed from the state CELL\_DCH, and UTRAN detects loss of the dedicated physical channel according to subclause 8.5.6, UTRAN may release all UE dedicated resources, even if no RRC CONNECTION RELEASE COMPLETE message has been received.

### 8.1.4.11 Failure to receive RRC CONNECTION RELEASE COMPLETE message by UTRAN

If UTRAN does not receive any RRC CONNECTION RELEASE COMPLETE message, it should release all UE dedicated resources.

## 8.1.4a RRC connection release requested by upper layers

### 8.1.4a.1 General

The purpose of this procedure is to release the RRC connection and bar the current cell or cells. The procedure is requested by upper layers when they determine that the network has failed an authentication check [5].

### 8.1.4a.2 Initiation

If the upper layers request the release of the RRC connection, the UE shall:

- 1> release all its radio resources;
- 1> enter idle mode;
- 1> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2;
- 1> if the UE was in CELL\_DCH state prior to entering idle mode:
  - 2> consider all cells that were in the active set prior to entering idle mode to be barred according to [4]; and
  - 2> consider the barred cells as using the value "allowed" in the IE "Intra-frequency cell re-selection indicator", and the maximum value in the IE "T<sub>barred</sub>".
- 1> if the UE was in CELL\_FACH or CELL\_PCH or URA\_PCH state prior to entering idle mode:
  - 2> consider the cell on which the UE was camped prior to entering idle mode to be barred according to [4]; and
  - 2> consider the barred cell as using the value "allowed" in the IE "Intra-frequency cell re-selection indicator", and the maximum value in the IE "T<sub>barred</sub>".

## 8.1.5 Void

## 8.1.6 Transmission of UE capability information

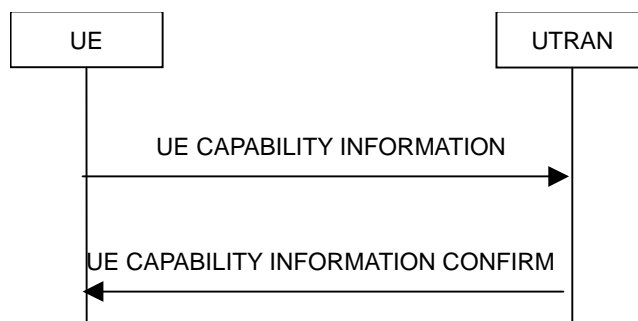


Figure 8.1.6-1: Transmission of UE capability information, normal flow

### 8.1.6.1 General

The UE capability update procedure is used by the UE to convey UE specific capability information to the UTRAN.



### 8.1.6.2 Initiation

The UE shall initiate the UE capability update procedure in the following situations:

- 1> the UE receives a UE CAPABILITY ENQUIRY message from the UTRAN;
- 1> while in connected mode the UE capabilities change compared to those stored in the variable UE\_CAPABILITY\_TRANSFERRED.

If the UE CAPABILITY INFORMATION message is sent in response to a UE CAPABILITY ENQUIRY message, the UE shall:

- 1> include the IE "RRC transaction identifier"; and
- 1> set it to the value of "RRC transaction identifier" in the entry for the UE CAPABILITY ENQUIRY message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> retrieve its UTRA UE radio access capability information elements from variable UE\_CAPABILITY\_REQUESTED; and
- 1> include this in IE "UE radio access capability" and in IE "UE radio access capability extension", provided this IE is included in variable UE\_CAPABILITY\_REQUESTED;
- 1> retrieve its inter-RAT-specific UE radio access capability information elements from variable UE\_CAPABILITY\_REQUESTED; and
- 1> include this in IE "UE system specific capability".

If the UE CAPABILITY INFORMATION message is sent because one or more of the UE capabilities change compared to those stored in the variable UE\_CAPABILITY\_TRANSFERRED while in connected state, the UE shall include the information elements associated with the capabilities that have changed in the UE CAPABILITY INFORMATION message.

If the UE is in CELL\_PCH or URA\_PCH state, it shall first perform a cell update procedure using the cause "uplink data transmission", see subclause 8.3.1.

The UE RRC shall submit the UE CAPABILITY INFORMATION message to the lower layers for transmission on the uplink DCCH using AM RLC. When the message has been delivered to lower layers for transmission the UE RRC shall start timer T304 and set counter V304 to 1.

### 8.1.6.3 Reception of a UE CAPABILITY INFORMATION message by the UTRAN

Upon reception of a UE CAPABILITY INFORMATION message, the UTRAN should transmit a UE CAPABILITY INFORMATION CONFIRM message on the downlink DCCH using UM or AM RLC. After the UE CAPABILITY INFORMATION CONFIRM message has been submitted to the lower layers for transmission, the procedure is complete.

### 8.1.6.4 Reception of the UE CAPABILITY INFORMATION CONFIRM message by the UE

Upon reception of a UE CAPABILITY INFORMATION CONFIRM message, the UE shall:

- 1> stop timer T304;
- 1> if there is an entry for the UE CAPABILITY ENQUIRY message is present in the table "Accepted transactions" in the variable TRANSACTIONS:
  - 2> clear that entry.
- 1> update its variable UE\_CAPABILITY\_TRANSFERRED with the UE capabilities it has last transmitted to the UTRAN during the current RRC connection;
- 1> clear the variable UE\_CAPABILITY\_REQUESTED;
- 1> and the procedure ends.

### 8.1.6.5 Invalid UE CAPABILITY INFORMATION CONFIRM message

If the UE receives a UE CAPABILITY INFORMATION CONFIRM message, which contains a protocol error causing the variable `PROTOCOL_ERROR_REJECT` to be set to `TRUE` according to clause 9, the UE shall perform procedure specific error handling as follows:

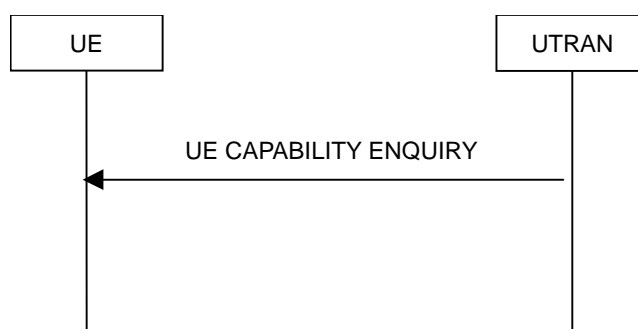
- 1> stop timer T304;
- 1> transmit an RRC STATUS message on the uplink DCCH using AM RLC;
- 1> include the IE "Identification of received message"; and
- 1> set the IE "Received message type" to UE CAPABILITY INFORMATION CONFIRM; and
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the UE CAPABILITY INFORMATION CONFIRM message in the table "Rejected transactions" in the variable `TRANSACTIONS`; and
- 1> clear that entry;
- 1> include the IE "Protocol error information" with contents set to the value of the variable `PROTOCOL_ERROR_INFORMATION`;
- 1> when the RRC STATUS message has been submitted to lower layers for transmission:
  - 2> restart timer T304 and continue with any ongoing procedures or processes as if the invalid UE CAPABILITY INFORMATION CONFIRM message has not been received.

### 8.1.6.6 T304 timeout

Upon expiry of timer T304, the UE shall check the value of V304 and:

- 1> if V304 is smaller than or equal to N304:
  - 2> prior to retransmitting the UE CAPABILITY INFORMATION message:
    - 3> if the IE "Status" in the variable `INTEGRITY_PROTECTION_INFO` has the value "Started":
      - 4> include the same IEs as in the last unsuccessful attempt of this message, except for the IE "Integrity check info", which is set as specified in subclause 8.5.10.
    - 3> else:
      - 4> include the same IEs as in the last unsuccessful attempt of this message.
  - 2> send the UE CAPABILITY INFORMATION message on signalling radio bearer RB2;
  - 2> restart timer T304;
  - 2> increment counter V304.
- 1> if V304 is greater than N304:
  - 2> initiate the Cell update procedure as specified in subclause 8.3.1, using the cause "Radio link failure".

## 8.1.7 UE capability enquiry



**Figure 8.1.7-1: UE capability enquiry procedure, normal flow**

### 8.1.7.1 General

The UE capability enquiry can be used to request the UE to transmit its capability information related to any radio access network that is supported by the UE.

### 8.1.7.2 Initiation

The UE capability enquiry procedure is initiated by the UTRAN by transmitting a UE CAPABILITY ENQUIRY message on the DCCH using UM or AM RLC.

### 8.1.7.3 Reception of a UE CAPABILITY ENQUIRY message by the UE

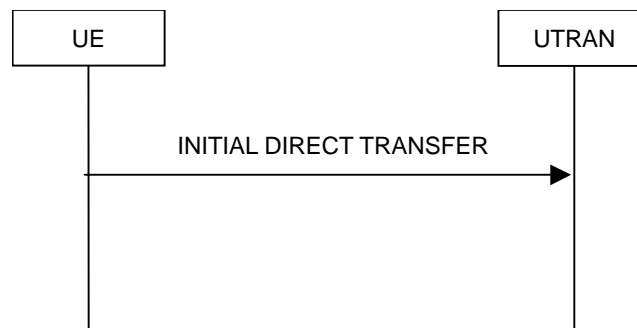
Upon reception of a UE CAPABILITY ENQUIRY message, the UE shall act on the received information elements as specified in subclause 8.6 and initiate the transmission of UE capability information procedure, which is specified in subclause 8.1.6.

### 8.1.7.4 Invalid UE CAPABILITY ENQUIRY message

If the UE receives a UE CAPABILITY ENQUIRY message, which contains a protocol error causing the variable `PROTOCOL_ERROR_REJECT` to be set to `TRUE` according to clause 9, the UE shall perform procedure specific error handling as follows:

- 1> transmit an RRC STATUS message on the uplink DCCH using AM RLC;
- 1> include the IE "Identification of received message"; and
- 1> set the IE "Received message type" to UE CAPABILITY ENQUIRY; and
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the UE CAPABILITY ENQUIRY message in the table "Rejected transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> include the IE "Protocol error information" with contents set to the value of the variable `PROTOCOL_ERROR_INFORMATION`;
- 1> when the RRC STATUS message has been submitted to lower layers for transmission:
  - 2> continue with the ongoing processes and procedures as if the invalid UE CAPABILITY ENQUIRY message has not been received.

## 8.1.8 Initial Direct transfer



**Figure 8.1.8-1: Initial Direct transfer in the uplink, normal flow**

### 8.1.8.1 General

The initial direct transfer procedure is used in the uplink to establish a signalling connection. It is also used to carry an initial upper layer (NAS) message over the radio interface.

### 8.1.8.2 Initiation of Initial direct transfer procedure in the UE

In the UE, the initial direct transfer procedure shall be initiated, when the upper layers request establishment of a signalling connection. This request also includes a request for the transfer of a NAS message.

Upon initiation of the initial direct transfer procedure when the UE is in idle mode, the UE shall:

- 1> set the variable ESTABLISHMENT\_CAUSE to the cause for establishment indicated by upper layers;
- 1> perform an RRC connection establishment procedure, according to subclause 8.1.3;
- 1> if the RRC connection establishment procedure was not successful:
  - 2> indicate failure to establish the signalling connection to upper layers and end the procedure.
- 1> when the RRC connection establishment procedure is completed successfully:
  - 2> continue with the initial direct transfer procedure as below.

Upon initiation of the initial direct transfer procedure when the UE is in CELL\_PCH or URA\_PCH state, the UE shall:

- 1> perform a cell update procedure, according to subclause 8.3.1, using the cause "uplink data transmission";
- 1> when the cell update procedure completed successfully:
  - 2> continue with the initial direct transfer procedure as below.

The UE shall, in the INITIAL DIRECT TRANSFER message:

- 1> set the IE "NAS message" as received from upper layers; and
- 1> set the IE "CN domain identity" as indicated by the upper layers; and
- 1> set the IE "Intra Domain NAS Node Selector" as follows:
  - 2> derive the IE "Intra Domain NAS Node Selector" from TMSI/PTMSI, IMSI, or IMEI; and
  - 2> provide the coding of the IE "Intra Domain NAS Node Selector" according to the following priorities:
    1. derive the routing parameter for IDNNS from TMSI (CS domain) or PTMSI (PS domain) whenever a valid TMSI/PTMSI is available;
    2. base the routing parameter for IDNNS on IMSI when no valid TMSI/PTMSI is available;
    3. base the routing parameter for IDNNS on IMEI only if no (U)SIM is inserted in the UE.

- 1> calculate the START according to subclause 8.5.9 for the CN domain as set in the IE "CN Domain Identity"; and
- 1> include the calculated START value for that CN domain in the IE "START".

The UE shall:

- 1> transmit the INITIAL DIRECT TRANSFER message on the uplink DCCH using AM RLC on signalling radio bearer RB3;
- 1> when the INITIAL DIRECT TRANSFER message has been submitted to lower layers for transmission:
  - 2> confirm the establishment of a signalling connection to upper layers; and
  - 2> add the signalling connection with the identity indicated by the IE "CN domain identity" in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS.
- 1> when the successful delivery of the INITIAL DIRECT TRANSFER message has been confirmed by RLC:
  - 2> the procedure ends.

When not stated otherwise elsewhere, the UE may also initiate the initial direct transfer procedure when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

A new signalling connection request may be received from upper layers during transition to idle mode. In those cases, from the time of the indication of release to upper layers until the UE has entered idle mode, any such upper layer request to establish a new signalling connection shall be queued. This request shall be processed after the UE has entered idle mode.

#### 8.1.8.2a RLC re-establishment or inter-RAT change

If a re-establishment of RLC on signalling radio bearer RB3 occurs before the successful delivery of the INITIAL DIRECT TRANSFER message has been confirmed by RLC, the UE shall:

- 1> retransmit the INITIAL DIRECT TRANSFER message on the uplink DCCH using AM RLC on signalling radio bearer RB3.

If an Inter-RAT handover from UTRAN procedure occurs before the successful delivery of the INITIAL DIRECT TRANSFER message has been confirmed by RLC, for messages with the IE "CN domain identity" set to "CS domain", the UE shall:

- 1> retransmit the NAS message as specified in subclause 8.3.7.4.

#### 8.1.8.2b Abortion of signalling connection establishment

If the UE receives a request from upper layers to release (abort) the signalling connection for the CN domain for which the initial direct transfer procedure is ongoing, the UE shall:

- 1> if the UE has not yet entered UTRA RRC connected mode:
  - 2> abort the RRC connection establishment procedure as specified in subclause 8.1.3;

the procedure ends.

#### 8.1.8.3 Reception of INITIAL DIRECT TRANSFER message by the UTRAN

On reception of the INITIAL DIRECT TRANSFER message the NAS message should be routed using the IE "CN Domain Identity". UTRAN may also use the IE "Intra Domain NAS Node Selector" for routing among the CN nodes for the addressed CN domain.

If no signalling connection exists towards the chosen node, then a signalling connection is established.

When the UTRAN receives an INITIAL DIRECT TRANSFER message, it shall not affect the state of any other ongoing RRC procedures, when not stated otherwise elsewhere.

The UTRAN should:

- 1> set the START value for the CN domain indicated in the IE "CN domain identity" to the value of the IE "START".

### 8.1.9 Downlink Direct transfer



**Figure 8.1.9-1: Downlink Direct transfer, normal flow**

#### 8.1.9.1 General

The downlink direct transfer procedure is used in the downlink direction to carry upper layer (NAS) messages over the radio interface.

#### 8.1.9.2 Initiation of downlink direct transfer procedure in the UTRAN

In the UTRAN, the direct transfer procedure is initiated when the upper layers request the transfer of a NAS message after the initial signalling connection is established. The UTRAN may also initiate the downlink direct transfer procedure when another RRC procedure is ongoing, and in that case the state of the latter procedure shall not be affected. The UTRAN shall transmit the DOWNLINK DIRECT TRANSFER message on the downlink DCCH using AM RLC on signalling radio bearer RB3 or signalling radio bearer RB4. The UTRAN should:

- 1> if upper layers indicate "low priority" for this message:
  - 2> select signalling radio bearer RB4, if available. Specifically, for a GSM-MAP based CN, signalling radio bearer RB4 should, if available, be selected when "SAPI 3" is requested;
  - 2> select signalling radio bearer RB3 when signalling radio bearer RB4 is not available.
- 1> if upper layers indicate "high priority" for this message:
  - 2> select signalling radio bearer RB3. Specifically, for a GSM-MAP based CN, signalling radio bearer RB3 should be selected when "SAPI 0" is requested.

The UTRAN sets the IE "CN Domain Identity" to indicate, which CN domain the NAS message is originated from.

#### 8.1.9.3 Reception of a DOWNLINK DIRECT TRANSFER message by the UE

Upon reception of the DOWNLINK DIRECT TRANSFER message, the UE RRC shall, using the IE "CN Domain Identity", route the contents of the IE "NAS message" and the value of the IE "CN Domain Identity" to upper layers.

The UE shall clear the entry for the DOWNLINK DIRECT TRANSFER message in the table "Accepted transactions" in the variable TRANSACTIONS.

When the UE receives a DOWNLINK DIRECT TRANSFER message, it shall not affect the state of any other ongoing RRC procedures when not stated otherwise elsewhere.

#### 8.1.9.3a No signalling connection exists

If the UE receives a DOWNLINK DIRECT TRANSFER message, and the signalling connection identified with the IE "CN domain identity" does not exist according to the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS, the UE shall:

- 1> ignore the content of the DOWNLINK DIRECT TRANSFER message;
- 1> transmit an RRC STATUS message on the uplink DCCH using AM RLC;
- 1> include the IE "Identification of received message"; and
- 1> set the IE "Received message type" to DOWNLINK DIRECT TRANSFER; and
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the DOWNLINK DIRECT TRANSFER message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> include the IE "Protocol error information" with the IE "Protocol error cause" set to "Message not compatible with receiver state".

When the RRC STATUS message has been submitted to lower layers for transmission, the UE shall:

- 1> continue with any ongoing processes and procedures as if the DOWNLINK DIRECT TRANSFER message has not been received.

#### 8.1.9.4 Invalid DOWNLINK DIRECT TRANSFER message

If the UE receives a DOWNLINK DIRECT TRANSFER message, which contains a protocol error causing the variable `PROTOCOL_ERROR_REJECT` to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows:

- 1> transmit an RRC STATUS message on the uplink DCCH using AM RLC;
- 1> include the IE "Identification of received message"; and
- 1> set the IE "Received message type" to DOWNLINK DIRECT TRANSFER; and
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the DOWNLINK DIRECT TRANSFER message in the table "Rejected transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> include the IE "Protocol error information" with contents set to the value of the variable `PROTOCOL_ERROR_INFORMATION`.

When the RRC STATUS message has been submitted to lower layers for transmission, the UE shall:

- 1> continue with any ongoing processes and procedures as if the invalid DOWNLINK DIRECT TRANSFER message has not been received.

#### 8.1.10 Uplink Direct transfer

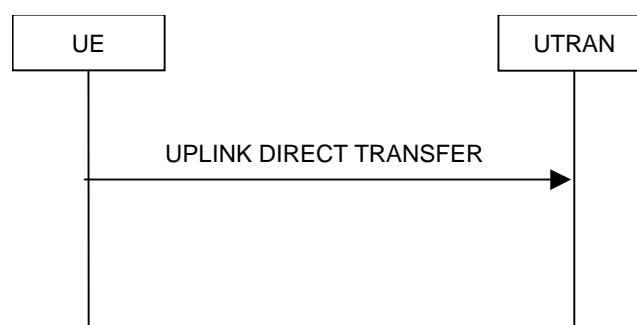


Figure 8.1.10-1: Uplink Direct transfer, normal flow

### 8.1.10.1 General

The uplink direct transfer procedure is used in the uplink direction to carry all subsequent upper layer (NAS) messages over the radio interface belonging to a signalling connection.

### 8.1.10.2 Initiation of uplink direct transfer procedure in the UE

In the UE, the uplink direct transfer procedure shall be initiated when the upper layers request a transfer of a NAS message on an existing signalling connection. When not stated otherwise elsewhere, the UE may initiate the uplink direct transfer procedure when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

Upon initiation of the uplink direct transfer procedure in CELL\_PCH or URA\_PCH state, the UE shall:

- 1> perform a cell update procedure, according to subclause 8.3.1, using the cause "uplink data transmission";
- 1> when the cell update procedure has been completed successfully:
  - 2> continue with the uplink direct transfer procedure as below.

The UE shall transmit the UPLINK DIRECT TRANSFER message on the uplink DCCH using AM RLC on signalling radio bearer RB3 or signalling radio bearer RB4. The UE shall:

- 1> if upper layers indicate "low priority" for this message:
  - 2> select signalling radio bearer RB4, if available. Specifically, for a GSM-MAP based CN, signalling radio bearer RB4 shall, if available, be selected when "SAPI 3" is requested;
  - 2> select signalling radio bearer RB3 when signalling radio bearer RB4 is not available;
- 1> if upper layers indicate "high priority" for this message:
  - 2> select signalling radio bearer RB3. Specifically, for a GSM-MAP based CN, signalling radio bearer RB3 shall be selected when "SAPI 0" is requested.

The UE shall set the IE "NAS message" as received from upper layers and set the IE "CN domain identity" as indicated by the upper layers.

When the successful delivery of the UPLINK DIRECT TRANSFER message has been confirmed by RLC the procedure ends.

### 8.1.10.2a RLC re-establishment or inter-RAT change

If signalling radio bearer RB n (where n equals to 3 or 4) was used when transmitting the UPLINK DIRECT TRANSFER message and a re-establishment of RLC on the same signalling radio bearer RB n occurs before the successful delivery of the UPLINK DIRECT TRANSFER message has been confirmed by RLC, the UE shall:

- 1> retransmit the UPLINK DIRECT TRANSFER message on the uplink DCCH using AM RLC on signalling radio bearer RB n.

If an Inter-RAT handover from UTRAN procedure occurs before the successful delivery of the UPLINK DIRECT TRANSFER message has been confirmed by RLC, for messages with the IE "CN domain identity" set to "CS domain", the UE shall:

- 1> retransmit the NAS message as specified in subclause 8.3.7.4.

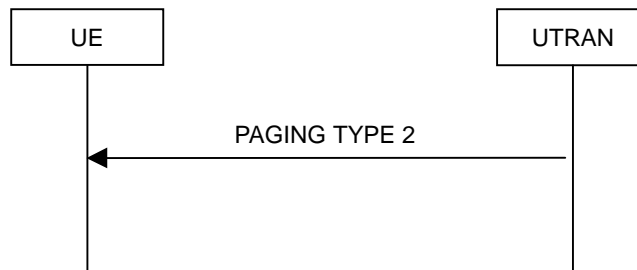
### 8.1.10.3 Reception of UPLINK DIRECT TRANSFER message by the UTRAN

On reception of the UPLINK DIRECT TRANSFER message the NAS message should be routed using the value indicated in the IE "CN domain identity".

When the UTRAN receives an UPLINK DIRECT TRANSFER message, it shall not affect the state of any other ongoing RRC procedures, when not stated otherwise elsewhere.



## 8.1.11 UE dedicated paging



**Figure 8.1.11-1: UE dedicated paging**

### 8.1.11.1 General

This procedure is used to transmit dedicated paging information to one UE in connected mode in CELL\_DCH or CELL\_FACH state. Upper layers in the network may request initiation of paging.

### 8.1.11.2 Initiation

For a UE in CELL\_DCH or CELL\_FACH state, UTRAN initiates the procedure by transmitting a PAGING TYPE 2 message on the DCCH using AM RLC. When not stated otherwise elsewhere, the UTRAN may initiate the UE dedicated paging procedure also when another RRC procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

UTRAN should set the IE "Paging cause" to the cause for paging received from upper layers. If no cause for paging is received from upper layers, UTRAN should set the value "Terminating – cause unknown".

### 8.1.11.3 Reception of a PAGING TYPE 2 message by the UE

When the UE receives a PAGING TYPE 2 message, it shall not affect the state of any other ongoing RRC procedures, when not stated otherwise elsewhere.

The UE shall:

- 1> indicate reception of paging; and
- 1> forward the IE "Paging cause" and the IE "Paging record type identifier" to upper layers.

The UE shall:

- 1> clear the entry for the PAGING TYPE 2 message in the table "Accepted transactions" in the variable TRANSACTIONS.

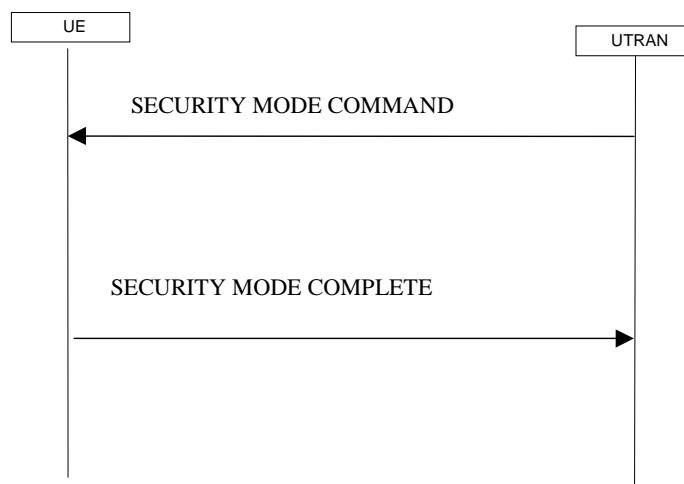
### 8.1.11.4 Invalid PAGING TYPE 2 message

If the UE receives a PAGING TYPE 2 message, which contains a protocol error causing the variable `PROTOCOL_ERROR_REJECT` to be set to `TRUE` according to clause 9, the UE shall perform procedure specific error handling as follows:

- 1> transmit an RRC STATUS message on the uplink DCCH using AM RLC;
- 1> include the IE "Identification of received message"; and
- 1> set the IE "Received message type" to PAGING TYPE 2; and
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the PAGING TYPE 2 message in the table "Rejected transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;

- 1> include the IE "Protocol error information" with contents set to the value of the variable `PROTOCOL_ERROR_INFORMATION`;
- 1> when the RRC STATUS message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid PAGING TYPE 2 message has not been received.

## 8.1.12 Security mode control



**Figure 8.1.12-1: Security mode control procedure**

### 8.1.12.1 General

The purpose of this procedure is to trigger the start of ciphering or to command the restart of the ciphering with a new ciphering configuration, for the radio bearers of one CN domain and for all signalling radio bearers.

It is also used to start integrity protection or to modify the integrity protection configuration for all signalling radio bearers.

### 8.1.12.2 Initiation

#### 8.1.12.2.1 Ciphering configuration change

To start/restart ciphering, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the most recent ciphering configuration. If no such ciphering configuration exists then the SECURITY MODE COMMAND is not ciphered. UTRAN should not transmit a SECURITY MODE COMMAND to signal a change in ciphering algorithm.

When configuring ciphering, UTRAN should ensure that the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain, in total over all radio bearers at any given time. For signalling radio bearers the total number of ciphering configurations that need to be stored is at most three. Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

- 1> suspend all radio bearers using RLC-AM or RLC-UM and all signalling radio bearers using RLC-AM or RLC-UM, except the signalling radio bearer used to send the SECURITY MODE COMMAND message on the downlink DCCH in RLC-AM, and except signalling radio bearer RB0, according to the following:
  - 2> not transmit RLC PDUs with sequence number greater than or equal to the number in IE "Radio bearer downlink ciphering activation time info" on all suspended radio bearers and all suspended signalling radio bearers.

1> set, for the signalling radio bearer used to send the SECURITY MODE COMMAND, the "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;

1> if a transparent mode radio bearer for this CN domain exists:

2> include the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info", at which time the new ciphering configuration shall be applied and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0);

NOTE: UTRAN should chose the value for the IE "Ciphering activation time for DPCH" such that the new ciphering configuration will occur after all the pending ciphering activation times have been reached for the transparent mode radio bearers of this CN domain.

1> consider a ciphering activation time in downlink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;

1> set, for each suspended radio bearer and signalling radio bearer that has no pending ciphering activation time set by a previous security mode control procedure, an "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;

1> set, for each suspended radio bearer and signalling radio bearer that has a pending ciphering activation time set by a previous security mode control procedure, the "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" to the value used in the previous security mode control procedure, at which time the latest ciphering configuration shall be applied;

1> if Integrity protection has already been started for the UE:

2> if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:

3> include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND.

2> if the IE "CN domain identity" in the SECURITY MODE COMMAND is different from the IE "CN domain identity" that was sent in the previous SECURITY MODE COMMAND message to the UE:

3> include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND.

1> transmit the SECURITY MODE COMMAND message on RB2.

#### 8.1.12.2.2 Integrity protection configuration change

To start or modify integrity protection, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the new integrity protection configuration. UTRAN should not "modify" integrity protection for a CN domain to which a SECURITY MODE COMMAND configuring integrity protection has been previously sent for an ongoing signalling connection unless the application of new integrity keys needs to be signalled to the UE. UTRAN should not transmit a SECURITY MODE COMMAND to signal a change in integrity protection algorithm.

When configuring Integrity protection, UTRAN should:

1> ensure that the UE needs to store at most three different Integrity protection configurations (keysets) at any given time. This includes the total number of Integrity protection configurations for all signalling radio bearers;

1> if Ciphering has already been started for the UE for the CN domain to be set in the IE "CN domain identity" in the SECURITY MODE COMMAND:

2> if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:

3> include the IE "Ciphering mode info" in the SECURITY MODE COMMAND.

- 1> if Ciphering has already been configured for the UE for a CN domain different from the CN domain to be set in the IE "CN domain identity" in the SECURITY MODE COMMAND:
  - 2> include the IE "Ciphering mode info" in the SECURITY MODE COMMAND.

Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

- 1> if this is the first SECURITY MODE COMMAND sent for this RRC connection:
  - 2> if new keys have been received:
    - 3> initialise the hyper frame numbers as follows:
      - 4> set all bits of the hyper frame numbers of the COUNT-I values for all signalling radio bearers to zero.
    - 2> else (if new keys have not been received):
      - 3> use the value "START" in the most recently received IE "START list" or IE "START" that belongs to the CN domain indicated in the IE "CN domain identity" to initialise all hyper frame numbers of COUNT-I for all the signalling radio bearers by:
        - 4> setting the 20 most significant bits of the hyper frame numbers for all signalling radio bearers to the value "START" in the most recently received IE "START list" or IE "START" for that CN domain;
        - 4> setting the remaining bits of the hyper frame numbers equal to zero.
  - 1> else (this is not the first SECURITY MODE COMMAND sent for this RRC connection):
    - 2> if new keys have been received:
      - 3> initialise the hyper frame number for COUNT-I for RB2 as follows:
        - 4> set all bits of the HFN of the COUNT-I value for RB2 to zero.
      - 2> if new keys have not been received:
        - 3> initialise the hyper frame number for COUNT-I for RB2 as follows:
          - 4> set the 20 most significant bits of the HFN of the downlink and uplink COUNT-I to the value of the most recently received IE "START" or IE "START list" for the CN domain to be set in the IE "CN Domain Identity";
          - 4> set the remaining bits of the HFN of the downlink and uplink COUNT-I to zero.
    - 1> if the IE "Integrity protection mode command" has the value "Start":
      - 2> prohibit the transmission of signalling messages with any RRC SN on all signalling radio bearers, except RB2;
      - 2> set the FRESH value in the IE "Integrity protection initialisation number", included in the IE "Integrity protection mode info".
    - 1> if the IE "Integrity protection mode command" has the value "Modify":
      - 2> for each signalling radio bearer RBn, except RB2:
        - 3> prohibit the transmission of signalling messages with RRC SN greater or equal to the RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info".
      - 2> consider an integrity protection activation time in downlink to be pending until the selected activation time is equal to the next RRC sequence number to be used, which means that the last RRC message using the old integrity protection configuration has been submitted to lower layers;
      - 2> set, for each signalling radio bearer RBn, that has no pending integrity protection activation time set by a previous security mode control procedure, an RRC sequence number in entry for signalling radio bearer n in

the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info", at which time the new integrity protection configuration shall be applied;

- 2> set, for each signalling radio bearer RBn, that has a pending integrity protection activation time set by a previous security mode control procedure, the RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info", to the value used in the previous security mode control procedure, at which time the latest integrity protection configuration shall be applied.

- 1> transmit the SECURITY MODE COMMAND message on RB2 using the new integrity protection configuration.

NOTE1: In the case of re-initialisation of Integrity Protection at HFN wrap around, the network should take into account the UE actions as described in subclauses 8.5.10.1 and 8.5.10.2.

NOTE2: After the SECURITY MODE COMMAND message is transmitted, the network should ensure that it can revert back to old integrity protection until it receives the SECURITY MODE COMPLETE message, to take into account the UE actions when security mode control procedure is unsuccessful. The network should also be aware that the UE may revert to old configuration when waiting for the acknowledgement from L2 for the SECURITY MODE COMPLETE message, and act accordingly.

### 8.1.12.3 Reception of SECURITY MODE COMMAND message by the UE

Upon reception of the SECURITY MODE COMMAND message, the UE shall:

- 1> if neither IE "Ciphering mode info" nor IE "Integrity protection mode info" is included in the SECURITY MODE COMMAND:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the IE "Security capability" is the same as indicated by variable UE\_CAPABILITY\_TRANSFERRED, and the IE "GSM security capability" (if included in the SECURITY MODE COMMAND) is the same as indicated by the variable UE\_CAPABILITY\_TRANSFERRED:
  - 2> set the variable LATEST\_CONFIGURED\_CN\_DOMAIN equal to the IE "CN domain identity";
  - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for the CN domain indicated in the IE "CN domain identity" in the received SECURITY MODE COMMAND to the value "Affected";
  - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all CN domains other than the CN domain indicated in the IE "CN domain identity" to "Not affected";
  - 2> set the IE "RRC transaction identifier" in the SECURITY MODE COMPLETE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
  - 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
    - 3> perform the actions as specified in subclause 8.6.3.4.
  - 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
    - 3> perform the actions as specified in subclause 8.6.3.5.
- 1> prior to sending the SECURITY MODE COMPLETE message:
  - 2> use the old ciphering configuration for this message;
  - 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
    - 3> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;

- 3> for each radio bearer and signalling radio bearer that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN:
  - 4> start or continue incrementing the COUNT-C values for all RLC-AM and RLC-UM signalling radio bearers at the ciphering activation time as specified in the procedure;
  - 4> start or continue incrementing the COUNT-C values common for all transparent mode radio bearers for this CN domain at the ciphering activation time as specified in the procedure;
  - 4> continue incrementing the COUNT-C values for all RLC-AM and RLC-UM radio bearers.
- 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN:
  - 4> for ciphering on signalling radio bearers using RLC-AM and RLC-UM in the downlink, at the RLC sequence number indicated in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" included in the SECURITY MODE COMMAND, for each signalling radio bearer:
    - 5> set the 20 most significant bits of the HFN component of the downlink COUNT-C to the value "START" in the most recently transmitted IE "START list" or IE "START", at the reception of the SECURITY MODE COMMAND, that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
    - 5> set the remaining bits of the hyper frame numbers to zero.
- 3> if new keys have been received:
  - 4> perform the actions in subclause 8.1.12.3.1.
- 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
  - 3> include and set the IE "Uplink integrity protection activation info" to the value of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO for each signalling radio bearer;
  - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN, for RB2:
    - 4> in the downlink, for the received SECURITY MODE COMMAND message:
      - 5> set the 20 most significant bits of the IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START", at the reception of the SECURITY MODE COMMAND, that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
      - 5> set the remaining bits of the IE "Downlink RRC HFN" to zero.
    - 4> in the uplink, for the transmitted response message, SECURITY MODE COMPLETE:
      - 5> set the 20 most significant bits of the IE "Uplink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the uplink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START", at the reception of the SECURITY MODE COMMAND, that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
      - 5> set the remaining bits of the IE "Uplink RRC HFN" to zero.
  - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN, for each signalling radio bearer other than RB2:
    - 4> if the IE "Integrity protection mode command" has the value "start":
      - 5> in the downlink, for this signalling radio bearer:

- 6> set the 20 most significant bits of IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to the value START transmitted in the most recently transmitted IE "START list" or IE "START", at the reception of the SECURITY MODE COMMAND, that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
  - 6> set the remaining bits of the IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to zero;
  - 4> else:
    - 5> in the downlink, for the first message for which the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info", for this signalling radio bearer:
      - 6> set the 20 most significant bits of the IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START", at the reception of the SECURITY MODE COMMAND, that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
      - 6> set the remaining bits of the IE "Downlink RRC HFN" to zero.
  - 3> if new keys have been received:
    - 4> perform the actions in subclause 8.1.12.3.1.
  - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted SECURITY MODE COMPLETE message;
  - 2> transmit the SECURITY MODE COMPLETE message on the uplink DCCH in AM RLC;
- NOTE: After submission of the SECURITY MODE COMPLETE message to the lower layers, the UE should accept messages received in the DL which require the new security configuration to be applied on them. If the received message is successfully integrity checked, the UE should not discard the message due to lack of completion of the security procedure, caused by the successful delivery of the SECURITY MODE COMPLETE not having yet been confirmed by lower layers, unless the security configuration to be applied has been aborted and the message received requires integrity protection [5].
- 1> when the successful delivery of the SECURITY MODE COMPLETE message has been confirmed by RLC:
    - 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
      - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN:
        - 4> for ciphering on signalling radio bearers using RLC-AM and RLC-UM in the uplink, at the RLC sequence number indicated in IE "Radio bearer uplink ciphering activation time info" included in the SECURITY MODE COMPLETE, for each signalling radio bearer:
          - 5> set the HFN component of the uplink COUNT-C to the value "START" in the most recently transmitted IE "START list" or IE "START", at the reception of the SECURITY MODE COMMAND, that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
          - 5> set the remaining bits of the hyper frame numbers to zero.
    - 3> if new keys have been received:
      - 4> perform the actions in subclause 8.1.12.3.1.
    - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
    - 3> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and

- 3> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
  - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN, for each signalling radio bearer other than RB2:
    - 4> if the IE "Integrity protection mode command" has the value "start":
      - 5> in the uplink, for this signalling radio bearer:
        - 6> set the 20 most significant bits of IE "Uplink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the uplink COUNT-I to the value START transmitted in the most recently transmitted IE "START list" or IE "START", at the reception of the SECURITY MODE COMMAND, that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
        - 6> set the remaining bits of the IE "Uplink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the uplink COUNT-I to zero.
      - 4> else:
        - 5> in the uplink, for the first transmitted RRC message for this signalling radio bearer with RRC sequence number equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the transmitted SECURITY MODE COMPLETE, for this signalling radio bearer:
          - 6> set the 20 most significant bits of the IE "Uplink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the uplink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START", at the reception of the SECURITY MODE COMMAND, that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
          - 6> set the remaining bits of the IE "Uplink RRC HFN" to zero.
    - 3> if new keys have been received:
      - 4> perform the actions in subclause 8.1.12.3.1.
    - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
    - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
    - 3> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
    - 3> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
  - 2> clear the variable SECURITY\_MODIFICATION;
  - 2> notify upper layers upon change of the security configuration;
  - 2> and the procedure ends.
  - 1> if the IE "Security capability" is not the same as indicated by the variable UE\_CAPABILITY\_TRANSFERRED, or the IE "GSM security capability" (if included in the SECURITY MODE COMMAND) is not the same as indicated by the variable UE\_CAPABILITY\_TRANSFERRED, or if the IE "GSM security capability" is not included in the SECURITY MODE COMMAND and is included in the variable UE\_CAPABILITY\_TRANSFERRED:
    - 2> release all its radio resources;



- 2> indicate the release of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 2> clear the variable ESTABLISHED\_RABS;
- 2> clear the variable SECURITY\_MODIFICATION;
- 2> enter idle mode;
- 2> perform actions when entering idle mode as specified in subclause 8.5.2;
- 2> and the procedure ends.

#### 8.1.12.3.1 New ciphering and integrity protection keys

NOTE: The actions in this subclause are to be performed only if the new keys were received for an ongoing signalling connection while in UTRA.

If a new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN, the UE shall:

- 1> set the START value for the CN domain indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN to zero;
- 1> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
  - 2> for integrity protection in the downlink on each signalling radio bearer except RB2:
    - 3> if IE "Integrity protection mode command" has the value "start":
      - 4> for the first received message on this signalling radio bearer:
        - 5> start using the new integrity key;
        - 5> for this signalling radio bearer:
          - 6> set the IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to zero.
      - 3> else:
        - 4> for the first message for which the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info":
          - 5> start using the new integrity key;
          - 5> for this signalling radio bearer:
            - 6> set the IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to zero.
    - 2> for integrity protection in the uplink on each signalling radio bearer except RB2:
      - 3> for the first message for which the RRC sequence number in a to be transmitted RRC message for this signalling radio bearer is equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the transmitted SECURITY MODE COMPLETE message:
        - 4> start using the new integrity key;
        - 4> for this signalling radio bearer:
          - 5> set the IE "Uplink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the uplink COUNT-I to zero.

- 2> for integrity protection in the downlink on signalling radio bearer RB2:
  - 3> at the received SECURITY MODECOMMAND:
    - 4> start using the new integrity key;
    - 4> set the IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to zero.
- 2> for integrity protection in the uplink on signalling radio bearer RB2 :
  - 3> at the transmitted SECURITY MODE COMPLETE:
    - 4> start using the new integrity key;
    - 4> set the IE "Uplink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the uplink COUNT-I to zero.
- 1> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
  - 2> for each signalling radio bearer and for each radio bearer for the CN domain indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN:
    - 3> if the IE "Status" in the variable CIPHERING\_STATUS has the value "Started" for this CN domain, then for ciphering on radio bearers using RLC-TM:
      - 4> at the CFN as indicated in the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info":
        - 5> start using the new key in uplink and downlink;
        - 5> set the HFN component of the COUNT-C to zero.
    - 3> if the IE "Status" in the variable CIPHERING\_STATUS has the value "Started" for this CN domain, then for ciphering on radio bearers and signalling radio bearers using RLC-AM and RLC-UM:
      - 4> in the downlink, at the RLC sequence number indicated in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info":
        - 5> start using the new key;
        - 5> set the HFN component of the downlink COUNT-C to zero.
      - 4> in the uplink, at the RLC sequence number indicated in IE "Radio bearer uplink ciphering activation time info":
        - 5> start using the new key;
        - 5> set the HFN component of the uplink COUNT-C to zero.
- 1> consider the value of the latest transmitted START value to be zero.

#### 8.1.12.4 Void

##### 8.1.12.4a Incompatible simultaneous security reconfiguration

If the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION becomes set to TRUE of the received SECURITY MODE COMMAND message, the UE shall:

- 1> transmit a SECURITY MODE FAILURE message on the uplink DCCH using AM RLC, using the ciphering and integrity protection configurations prior to the reception of this SECURITY MODE COMMAND;

- 1> set the IE "RRC transaction identifier" in the SECURITY MODE FAILURE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> set the IE "failure cause" to the cause value "incompatible simultaneous reconfiguration";
- 1> when the response message has been submitted to lower layers for transmission:
  - 2> set the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION to FALSE;
  - 2> continue with any ongoing processes and procedures as if the invalid SECURITY MODE COMMAND message has not been received;
  - 2> and the procedure ends.

#### 8.1.12.4b Cell update procedure during security reconfiguration

If:

- a cell update procedure according to subclause 8.3.1 is initiated; and
- the received SECURITY MODE COMMAND message causes either,
  - the IE "Reconfiguration" in the variable CIPHERING\_STATUS to be set to TRUE; and/or
  - the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to be set to TRUE:

the UE shall:

- 1> abort the ongoing integrity and/or ciphering reconfiguration;
- 1> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
- 1> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
- 1> when the CELL UPDATE message has been submitted to lower layers for transmission:
  - 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
    - 3> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
    - 3> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
    - 3> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
    - 3> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
  - 2> continue with any ongoing processes and procedures as if the SECURITY MODE COMMAND message has not been received; and
  - 2> clear the variable SECURITY\_MODIFICATION;
  - 2> the procedure ends.

#### 8.1.12.4c Invalid configuration

If the variable INVALID\_CONFIGURATION is set to TRUE due to the received SECURITY MODE COMMAND message, the UE shall:

- 1> transmit a SECURITY MODE FAILURE message on the DCCH using AM RLC after setting the IEs as specified below:

- 2> set the IE "RRC transaction identifier" in the SECURITY MODE FAILURE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
  - 2> set the IE "failure cause" to the cause value "invalid configuration".
- 1> when the response message has been submitted to lower layers for transmission:
- 2> set the variable INVALID\_CONFIGURATION to FALSE;
  - 2> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE;
  - 2> continue with any ongoing processes and procedures as if the invalid SECURITY MODE COMMAND message has not been received;
  - 2> and the procedure ends.

#### 8.1.12.5 Reception of SECURITY MODE COMPLETE message by the UTRAN

UTRAN should apply integrity protection on the received SECURITY MODE COMPLETE message and all subsequent messages with the new integrity protection configuration, if changed. When UTRAN has received a SECURITY MODE COMPLETE message and the integrity protection has successfully been applied, UTRAN should:

- 1> if the IE "Ciphering mode info" was included in the SECURITY MODE COMMAND message:
- 2> if new keys were received for the CN domain set in the IE "CN Domain Identity" in the SECURITY MODE COMMAND:
    - 3> set, at the downlink and uplink activation time, all the bits of the hyper frame numbers of the downlink and uplink COUNT-C values respectively for all radio bearers for this CN domain and all signalling radio bearers to zero.
  - 2> else (if new keys were not received):
    - 3> use, at the downlink and uplink activation time, the value "START" in the most recently received IE "START list" or IE "START" that belongs to the CN domain as indicated in the IE "CN domain identity" to initialise all hyper frame numbers of the downlink and uplink COUNT-C values respectively for all the signalling radio bearers as follows:
      - 4> set the 20 most significant bits of the hyper frame numbers of the COUNT-C for all signalling radio bearers to the value "START" in the most recently received IE "START list" or IE "START" for that CN domain;
      - 4> set the remaining bits of the hyper frame numbers equal to zero.
- 1> if the IE "Integrity protection mode info" was included in the SECURITY MODE COMMAND message:
- 2> if this was not the first SECURITY MODE COMMAND message for this RRC connection:
    - 3> if new keys have been received for the CN domain set in the IE "CN Domain Identity" included in the transmitted SECURITY MODE COMMAND message:
      - 4> initialise, at the downlink and uplink activation time, all hyper frame numbers of the downlink and uplink COUNT-I values respectively for all the signalling radio bearers other than RB2 as follows:
        - 5> set all bits of the hyper frame numbers of the uplink and downlink COUNT-I to zero.
    - 3> if no new keys have been received for the CN domain set in the IE "CN Domain Identity" included in the transmitted SECURITY MODE COMMAND message:
      - 4> use, at the downlink and uplink activation time, the value "START" in the most recently received IE "START list" or IE "START" that belongs to the CN domain as indicated in the IE "CN domain identity" to initialise all hyper frame numbers of the downlink and uplink COUNT-I values respectively for all the signalling radio bearers other than RB2 as follows:

- 5> set the 20 most significant bits of the hyper frame numbers of the downlink and uplink COUNT-I respectively for all signalling radio bearers to the value "START" in the most recently received IE "START list" or IE "START" for that CN domain;
  - 5> set the remaining bits of the hyper frame numbers equal to zero.
- 1> send an indication to upper layers that the new security configuration has been activated;
  - 1> resume, in the downlink, all suspended radio bearers and all signalling radio bearers;
  - 1> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - 1> if the IE "Integrity protection mode command" included in the SECURITY MODE COMMAND had the value "Start":
    - 2> start applying integrity protection in the downlink for all signalling radio bearers.
  - 1> if the IE "Integrity protection mode command" included in the SECURITY MODE COMMAND had the value "Modify":
    - 2> start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each signalling radio bearers RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info";
    - 2> continue applying the new integrity configuration for signalling radio bearer RB2;
    - 2> apply the new integrity protection configuration on the received signalling messages with RRC SN greater than or equal to the number associated with the signalling radio bearer in IE "Uplink integrity protection activation info".
  - 1> apply the old ciphering configuration for the transmission of RLC PDUs with RLC sequence number less than the number indicated in the IE "Radio bearer downlink ciphering activation time info" included in the IE "Ciphering mode info";
  - 1> apply the new ciphering configuration for the transmission of RLC PDUs with RLC sequence number greater than or equal to the number indicated in IE "Radio bearer downlink ciphering activation time info" included in the IE "Ciphering mode info";
  - 1> apply the old integrity protection configuration on the received signalling messages with RRC SN smaller than the number associated with the signalling radio bearer in IE "Uplink integrity protection activation info";
  - 1> for radio bearers using RLC-AM or RLC-UM:
    - 2> use the old ciphering configuration for received RLC PDUs with RLC sequence number less than the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
    - 2> use the new ciphering configuration for received RLC PDUs with RLC sequence number greater than or equal to the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
    - 2> if an RLC reset or re-establishment occurs after the SECURITY MODE COMPLETE message has been received by UTRAN before the activation time for the new ciphering configuration has been reached, ignore the activation time and apply the new ciphering configuration immediately after the RLC reset or RLC re-establishment.
  - 1> for radio bearers using RLC-TM:
    - 2> use the old ciphering configuration for the received RLC PDUs before the CFN as indicated in the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info" as included in the SECURITY MODE COMMAND;
    - 2> use the new ciphering configuration for the received RLC PDUs at the CFN as indicated in the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info" as included in the SECURITY MODE COMMAND.

1> and the procedure ends.

### 8.1.12.6 Invalid SECURITY MODE COMMAND message

If the SECURITY MODE COMMAND message contains a protocol error causing the variable `PROTOCOL_ERROR_REJECT` to be set to `TRUE` according to clause 9, the UE shall perform procedure specific error handling as follows:

- 1> transmit a SECURITY MODE FAILURE message on the uplink DCCH using AM RLC;
- 1> set the IE "RRC transaction identifier" in the SECURITY MODE FAILURE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Rejected transactions" in the variable `TRANSACTIONS`; and
- 1> clear that entry;
- 1> set the IE "failure cause" to the cause value "protocol error";
- 1> include the IE "Protocol error information" with contents set to the value of the variable `PROTOCOL_ERROR_INFORMATION`;
- 1> when the response message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid SECURITY MODE COMMAND message has not been received;
  - 2> and the procedure ends.

### 8.1.13 Signalling connection release procedure



**Figure 8.1.13-1: Signalling connection release procedure, normal case**

#### 8.1.13.1 General

The signalling connection release procedure is used to notify to the UE that one of its ongoing signalling connections has been released. The procedure does not initiate the release of the RRC connection.

#### 8.1.13.2 Initiation of SIGNALLING CONNECTION RELEASE by the UTRAN

To initiate the procedure, the UTRAN transmits a SIGNALLING CONNECTION RELEASE message on DCCH using AM RLC.

#### 8.1.13.3 Reception of SIGNALLING CONNECTION RELEASE by the UE

Upon reception of a SIGNALLING CONNECTION RELEASE message, the UE shall:

- 1> indicate the release of the signalling connection and pass the value of the IE "CN domain identity" to upper layers;
- 1> remove the signalling connection with the identity indicated by the IE "CN domain identity" from the variable `ESTABLISHED_SIGNALLING_CONNECTIONS`;

- 1> clear the entry for the SIGNALLING CONNECTION RELEASE message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> the procedure ends.

#### 8.1.13.4 Invalid SIGNALLING CONNECTION RELEASE message

If the UE receives a SIGNALLING CONNECTION RELEASE message, which contains a protocol error causing the variable `PROTOCOL_ERROR_REJECT` to be set to `TRUE` according to clause 9, the UE shall perform procedure specific error handling as follows:

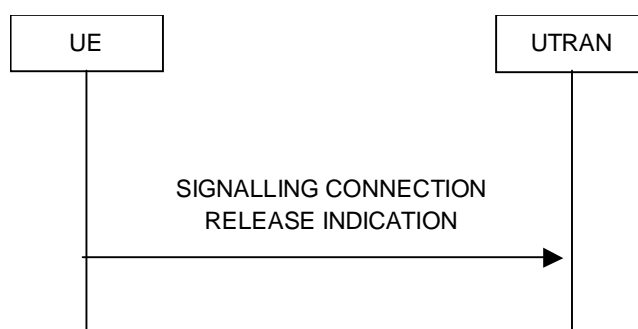
- 1> include the IE "Identification of received message"; and
  - 2> set the IE "Received message type" to SIGNALLING CONNECTION RELEASE;
  - 2> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the SIGNALLING CONNECTION RELEASE message in the table "Rejected transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry.
- 1> include the IE "Protocol error information" with contents set to the value of the variable `PROTOCOL_ERROR_INFORMATION`;
- 1> transmit an RRC STATUS message on the uplink DCCH using AM RLC
- 1> when the RRC STATUS message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid SIGNALLING CONNECTION RELEASE message has not been received.

#### 8.1.13.5 Invalid configuration

If radio access bearers for the CN domain indicated by the IE "CN domain identity" exist in the variable `ESTABLISHED_RABS`, the UE shall:

- 1> transmit an RRC STATUS message on the uplink DCCH using AM RLC;
- 1> include the IE "Identification of received message"; and
- 1> set the IE "Received message type" to SIGNALLING CONNECTION RELEASE; and
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the SIGNALLING CONNECTION RELEASE message in the table "Accepted transactions" in the variable TRANSACTIONS and clear that entry;
- 1> include the IE "Protocol error information" with contents set to the value "Message not compatible with receiver state";
- 1> when the RRC STATUS message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid SIGNALLING CONNECTION RELEASE message has not been received.

## 8.1.14 Signalling connection release indication procedure



**Figure 8.1.14-1: Signalling connection release indication procedure, normal case**

### 8.1.14.1 General

The signalling connection release indication procedure is used by the UE to indicate to the UTRAN that one of its signalling connections has been released. The procedure may in turn initiate the RRC connection release procedure.

### 8.1.14.2 Initiation

The UE shall, on receiving a request to release (abort) the signalling connection from upper layers for a specific CN domain:

- 1> if a signalling connection in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS for the specific CN domain identified with the IE "CN domain identity" exists:
  - 2> initiate the signalling connection release indication procedure.
- 1> otherwise:
  - 2> abort any ongoing establishment of signalling connection for that specific CN domain as specified in 8.1.3.5a.

Upon initiation of the signalling connection release indication procedure in CELL\_PCH or URA\_PCH state, the UE shall:

- 1> perform a cell update procedure, according to subclause 8.3.1, using the cause "uplink data transmission";
- 1> when the cell update procedure completed successfully:
  - 2> continue with the signalling connection release indication procedure as below.

The UE shall:

- 1> set the IE "CN Domain Identity" to the value indicated by the upper layers. The value of the IE indicates the CN domain whose associated signalling connection the upper layers are indicating to be released;
- 1> remove the signalling connection with the identity indicated by upper layers from the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 1> transmit a SIGNALLING CONNECTION RELEASE INDICATION message on DCCH using AM RLC.

When the successful delivery of the SIGNALLING CONNECTION RELEASE INDICATION message has been confirmed by RLC the procedure ends.

### 8.1.14.2a RLC re-establishment or inter-RAT change

If a re-establishment of RLC on signalling radio bearer RB2 occurs before the successful delivery of the SIGNALLING CONNECTION RELEASE INDICATION message has been confirmed by RLC, the UE shall:



- 1> retransmit the SIGNALLING CONNECTION RELEASE INDICATION message on the uplink DCCH using AM RLC on signalling radio bearer RB2.

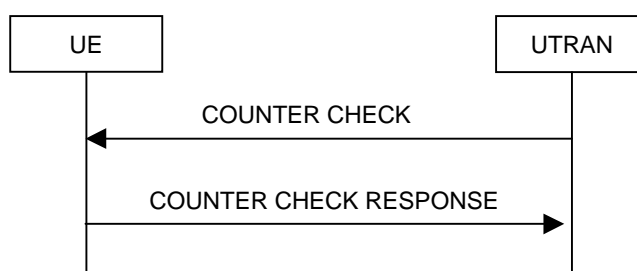
If an Inter-RAT handover from UTRAN procedure occurs before the successful delivery of the SIGNALLING CONNECTION RELEASE INDICATION message has been confirmed by RLC, the UE shall:

- 1> abort the signalling connection while in the new RAT.

### 8.1.14.3 Reception of SIGNALLING CONNECTION RELEASE INDICATION by the UTRAN

Upon reception of a SIGNALLING CONNECTION RELEASE INDICATION message, the UTRAN requests the release of the signalling connection from upper layers. Upper layers may then initiate the release of the signalling connection.

## 8.1.15 Counter check procedure



**Figure 8.1.15-1: Counter check procedure**

### 8.1.15.1 General

The counter check procedure is used by the UTRAN to perform a local authentication. The purpose of the procedure is to check that the amount of data sent in both directions (uplink and downlink) over the duration of the RRC connection is identical at the UTRAN and at the UE (to detect a possible intruder – a 'man-in-the-middle' – from operating).

This procedure is only applicable to radio bearers, and only to radio bearers using RLC-AM or RLC-UM. It should be noted that this requires that the COUNT-C values for each UL and DL radio bearers using RLC-AM or RLC-UM continue to be incremented even if ciphering is not used. This procedure is not applicable to signalling radio bearers.

### 8.1.15.2 Initiation

The UTRAN monitors the COUNT-C value associated with each radio bearer using UM or AM RLC. The procedure is triggered whenever any of these values reaches a critical checking value. The granularity of these checking values and the values themselves are defined to the UTRAN by the visited network. The UTRAN initiates the procedure by sending a COUNTER CHECK message on the downlink DCCH.

### 8.1.15.3 Reception of a COUNTER CHECK message by the UE

When the UE receives a COUNTER CHECK message it shall compare the COUNT-C MSB values received in the IE "RB COUNT-C MSB information" in the COUNTER CHECK message to the COUNT-C MSB values of the corresponding radio bearers.

The UE shall:

- 1> set the IE "RRC transaction identifier" in the COUNTER CHECK RESPONSE message to the value of "RRC transaction identifier" in the entry for the COUNTER CHECK message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry.

If:

- there is one or more radio bearer(s) using UM or AM RLC mode stored in the variable ESTABLISHED\_RABS, which is (are) not included in the IE "RB COUNT-C MSB information"; or
- there is one or more radio bearer(s) included in the IE "RB COUNT-C MSB information", which is (are) not stored in the variable ESTABLISHED\_RABS; or
- for any radio bearer (excluding signalling radio bearers) using UM or AM RLC mode stored in the variable ESTABLISHED\_RABS and included in the IE "RB COUNT-C MSB information" with COUNT-C MSB values different from the MSB part of the COUNT-C values in the UE:

the UE shall:

- 1> include these radio bearers in the IE "RB COUNT-C information" in the COUNTER CHECK RESPONSE message. For any RB which is included in the IE "RB COUNT-C MSB information" in the COUNTER CHECK message but not stored in the variable ESTABLISHED\_RABS in the UE, the MSB part of COUNT-C values in the COUNTER CHECK RESPONSE message shall be set identical to COUNT-C-MSB values in the COUNTER CHECK message. The LSB part shall be filled with zeroes.

The UE shall:

- 1> if no COUNT-C exists for a radio bearer for a given direction (uplink or downlink) because:
  - 2> it is a uni-directional radio bearer configured only for the other direction (downlink or uplink respectively); or
  - 2> it has been configured to RLC-TM mode in one direction (uplink or downlink) and RLC-UM in the other (downlink or uplink respectively):
    - 3> set the COUNT-C in the IE "RB COUNT-C information" in the COUNTER CHECK RESPONSE message, to any value.
- 1> submit a COUNTER CHECK RESPONSE message to lower layers for transmission on the uplink DCCH using AM RLC.

When the COUNTER CHECK RESPONSE message has been submitted to lower layers for transmission the procedure ends.

#### 8.1.15.4 Reception of the COUNTER CHECK RESPONSE message by UTRAN

If the UTRAN receives a COUNTER CHECK RESPONSE message that does not contain any COUNT-C values, the procedure ends.

If the UTRAN receives a COUNTER CHECK RESPONSE message that contains one or several COUNT-C values the UTRAN may release the RRC connection.

#### 8.1.15.5 Cell re-selection

If the UE performs cell re-selection anytime during this procedure it shall, without interrupting the procedure:

- 1> initiate the cell update procedure according to subclause 8.3.1.

#### 8.1.15.6 Invalid COUNTER CHECK message

If the UE receives a COUNTER CHECK message, which contains a protocol error causing the variable `PROTOCOL_ERROR_REJECT` to be set to `TRUE` according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> transmit an RRC STATUS message on the uplink DCCH using AM RLC;
- 1> include the IE "Identification of received message"; and
- 1> set the IE "Received message type" to COUNTER CHECK; and

- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the UE COUNTER CHECK message in the table "Rejected transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION;
- 1> when the RRC STATUS message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid COUNTER CHECK message has not been received.

### 8.1.16 Inter RAT handover information transfer



**Figure 8.1.16-1: Inter RAT handover information transfer, normal flow**

#### 8.1.16.1 General

The inter RAT handover information transfer procedure is used by the UE to convey RRC information needed for inter RAT handover to UTRAN.

#### 8.1.16.2 Initiation

If:

- a radio access technology other than UTRA, e.g. GSM, using radio access technology-specific procedures, orders the UE to provide the INTER RAT HANDOVER INFO message; or
- a radio access technology other than UTRA, e.g. GSM, using radio access technology-specific procedures, configures the UE to send the INTER RAT HANDOVER INFO message upon system specific conditions not involving an explicit order e.g. early classmark sending upon entering connected mode; or
- while in connected mode using another radio access technology, the inter RAT handover info changes compared to what has previously been sent via the other radio access technology:

the UE shall:

- 1> initiate the inter RAT handover information transfer procedure.

To determine if the inter RAT handover info has changed compared to what has previously been sent, the UE shall:

- 1> store the information last sent in the variable INTER\_RAT\_HANDOVER\_INFO\_TRANSFERRED;
- 1> if this variable has not yet been set:
  - 2> not initiate the inter RAT handover information transfer procedure due to change of inter RAT handover info.

**NOTE:** Currently neither the UE security information nor the predefined configuration status information change while in connected mode using GSM radio access technology.

### 8.1.16.3 INTER RAT HANDOVER INFO message contents to set

The UE shall:

- 1> include the IE "Predefined configuration status information" and the IE "UE security information";
- 1> include the IE "UE capability container", containing the IE "UE radio access capability" and the IE "UE radio access capability extension", in accordance with the following:
  - 2> if the UE supports multiple UTRA FDD Frequency Bands; or
  - 2> if the UE supports a single UTRA FDD Frequency Band different from 2100 MHz:
    - 3> include the IE "UE radio access capability", excluding IEs "RF capability FDD" and "Measurement capability";
    - 3> include the IE "UE radio access capability extension", including the IEs "RF capability FDD extension" and the "Measurement capability extension" associated with each supported UTRA FDD frequency band indicated in the IE "Frequency band".
  - 2> else:
    - 3> include the IE "UE radio access capability", including the IEs "RF capability FDD" and "Measurement capability" associated with the 2100 MHz UTRA FDD frequency band;
    - 3> include the IE "UE radio access capability extension", including the IEs "RF capability FDD extension" and the "Measurement capability extension" associated with each supported UTRA FDD frequency band indicated in the IE "Frequency band".
- 1> not include the IE "UE Specific Behaviour Information 1 interRAT";
- 1> initiate the transfer of the INTER RAT HANDOVER INFO message via the other radio access technology, using radio access technology-specific procedures;
- 1> store the IE "Predefined configuration status information", the IE "UE security information", the IE "UE radio access capability" and the IE "UE radio access capability extension", if included in the INTER RAT HANDOVER MESSAGE, in variable INTER\_RAT\_HANDOVER\_INFO\_TRANSFERRED;
- 1> and the procedure ends.

## 8.2 Radio Bearer control procedures

### 8.2.1 Radio bearer establishment

See subclause 8.2.2 Reconfiguration procedures.

### 8.2.2 Reconfiguration procedures

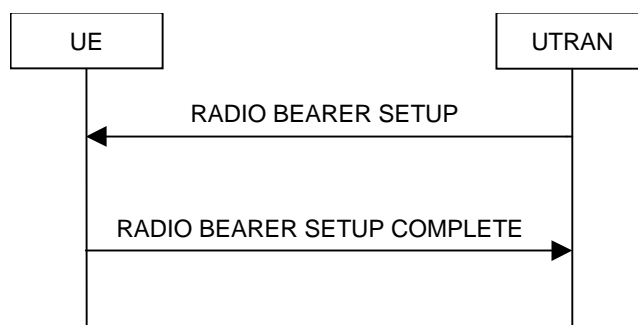


Figure 8.2.2-1: Radio Bearer Establishment, normal case

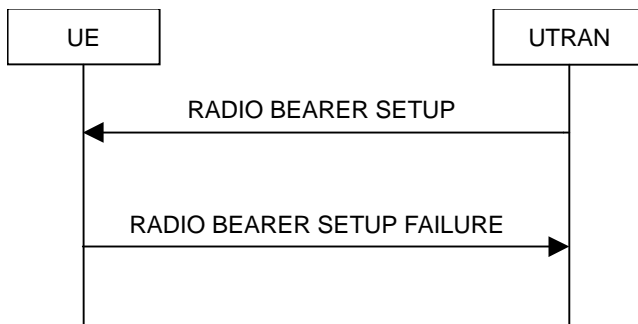


Figure 8.2.2-2: Radio Bearer Establishment, failure case

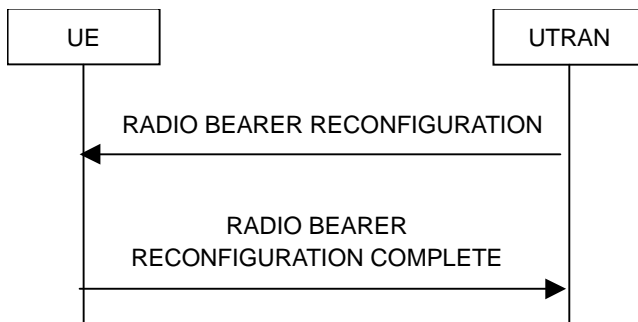


Figure 8.2.2-3: Radio bearer reconfiguration, normal flow

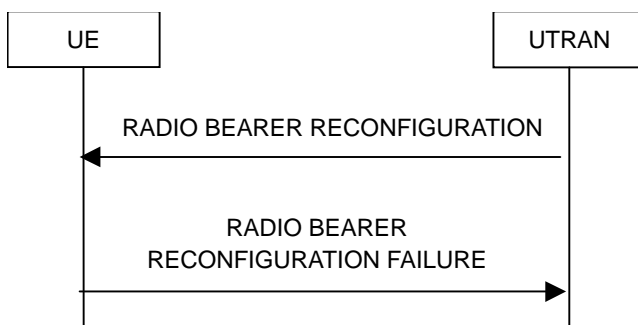


Figure 8.2.2-4: Radio bearer reconfiguration, failure case

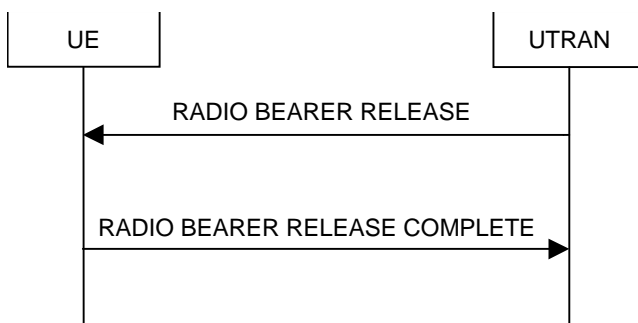


Figure 8.2.2-5: Radio Bearer Release, normal case

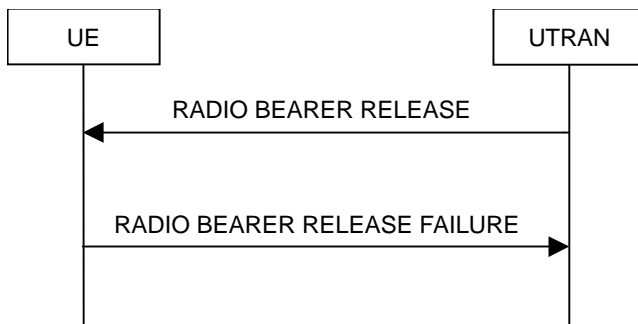


Figure 8.2.2-6: Radio Bearer Release, failure case

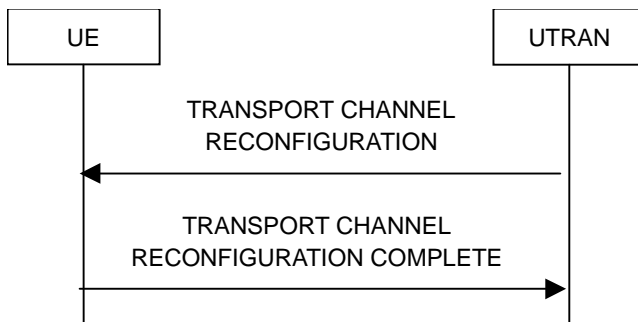


Figure 8.2.2-7: Transport channel reconfiguration, normal flow

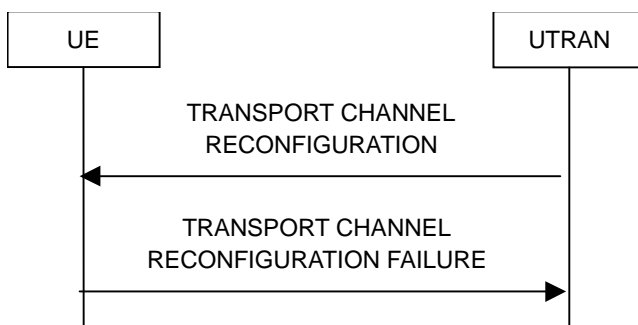


Figure 8.2.2-8: Transport channel reconfiguration, failure case

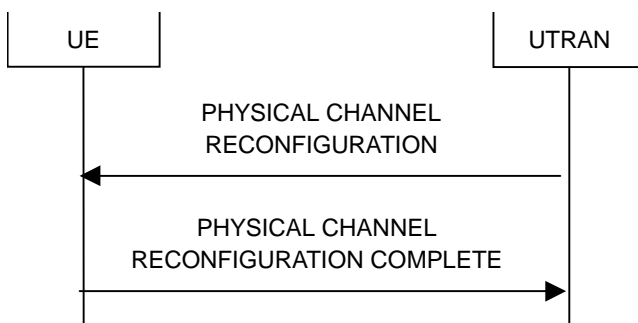
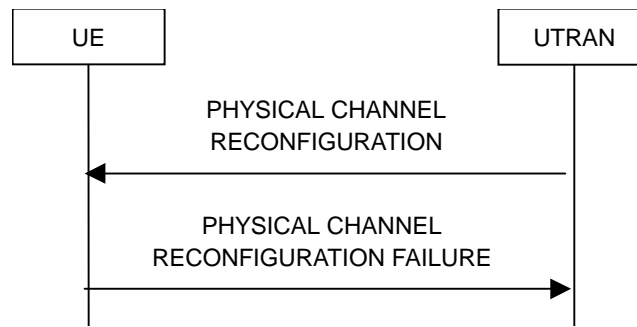


Figure 8.2.2-9: Physical channel reconfiguration, normal flow



**Figure 8.2.2-10: Physical channel reconfiguration, failure case**

### 8.2.2.1 General

Reconfiguration procedures include the following procedures:

- the radio bearer establishment procedure;
- radio bearer reconfiguration procedure;
- the radio bearer release procedure;
- the transport channel reconfiguration procedure; and
- the physical channel reconfiguration procedure.

The radio bearer establishment procedure is used to establish new radio bearer(s).

The radio bearer reconfiguration procedure is used to reconfigure parameters for a radio bearer.

The radio bearer release procedure is used to release radio bearer(s).

The transport channel reconfiguration procedure is used to reconfigure transport channel parameters.

The physical channel reconfiguration procedure is used to establish, reconfigure and release physical channels.

While performing any of the above procedures, these procedures may perform a hard handover - see subclause 8.3.5.

### 8.2.2.2 Initiation

To initiate any one of the reconfiguration procedures, UTRAN should:

- 1> configure new radio links in any new physical channel configuration;
- 1> start transmission and reception on the new radio links;
- 1> for a radio bearer establishment procedure:
  - 2> transmit a RADIO BEARER SETUP message on the downlink DCCH using AM or UM RLC;
  - 2> if signalling radio bearer RB4 is setup with this procedure and signalling radio bearers RB1-RB3 were already established prior to the procedure:
    - 3> if the variable "LATEST\_CONFIGURED\_CN\_DOMAIN" has been initialised:
      - 4> connect any radio bearers setup by the same message as signalling radio bearer RB4 to the CN domain indicated in the variable "LATEST\_CONFIGURED\_CN\_DOMAIN".
- 1> for a radio bearer reconfiguration procedure:
  - 2> transmit a RADIO BEARER RECONFIGURATION message on the downlink DCCH using AM or UM RLC.
- 1> for a radio bearer release procedure:

- 2> transmit a RADIO BEARER RELEASE message on the downlink DCCH using AM or UM RLC.
- 1> for a transport channel reconfiguration procedure:
  - 2> transmit a TRANSPORT CHANNEL RECONFIGURATION message on the downlink DCCH using AM or UM RLC.
- 1> for a physical channel reconfiguration procedure:
  - 2> transmit a PHYSICAL CHANNEL RECONFIGURATION message on the downlink DCCH using AM or UM RLC.
- 1> if the reconfiguration procedure is simultaneous with SRNS relocation procedure:
  - 2> if the transmitted message is a RADIO BEARER RECONFIGURATION:
    - 3> include the IE "New U-RNTI".
  - 2> else:
    - 3> include the IE "Downlink counter synchronisation info".
  - 2> if ciphering and/or integrity protection are activated:
    - 3> include new ciphering and/or integrity protection configuration information to be used after reconfiguration.
  - 2> use the downlink DCCH using AM RLC.
- 1> if transport channels are added, reconfigured or deleted in uplink and/or downlink:
  - 2> set TFCS according to the new transport channel(s).
- 1> if transport channels are added or deleted in uplink and/or downlink, and RB Mapping Info applicable to the new configuration has not been previously provided to the UE, the UTRAN should:
  - 2> send the RB Mapping Info for the new configuration.

In the Radio Bearer Reconfiguration procedure UTRAN may indicate that uplink transmission shall be stopped or continued on certain radio bearers. Uplink transmission on a signalling radio bearer used by the RRC signalling (signalling radio bearer RB1 or signalling radio bearer RB2) should not be stopped.

NOTE 1: The Release '99 RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure", even if UTRAN does not require the reconfiguration of any RB. In these cases, UTRAN may include only the IE "RB identity" within the IE "RB information to reconfigure".

NOTE 2: The Release '99 RADIO BEARER RECONFIGURATION message always includes the IE "Downlink information per radio link list", even if UTRAN does not require the reconfiguration of any RL. In these cases, UTRAN may re-send the currently assigned values for the mandatory IEs included within the IE "Downlink information per radio link list".

NOTE 3: The Release '99 RADIO BEARER RECONFIGURATION message always includes the IE "Primary CPICH Info" (FDD) or IE "Primary CCPCH Info" (TDD) within IE "Downlink information per radio link list". This implies that in case UTRAN applies the RADIO BEARER RECONFIGURATION message to move the UE to CELL\_FACH state, it has to indicate a cell. However, UTRAN may indicate any cell; the UE anyhow performs cell selection and notifies UTRAN if it selects another cell than indicated by UTRAN.

If the IE "Activation Time" is included, UTRAN should set it to a value taking the UE performance requirements into account.

UTRAN should take the UE capabilities into account when setting the new configuration.

If the message is used to initiate a transition from CELL\_DCH to CELL\_FACH state, the UTRAN may assign a CPCH configuration to be used in that cell by the UE. UTRAN may also assign a C-RNTI to be used in that cell by the UE.



### 8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message;

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.
- 1> if the UE has a pending "TGPS reconfiguration CFN" at the activation time received in the reconfiguration message and the reconfiguration requests a timing re-initialised hard handover (see subclause 8.3.5.1), the UE may:
  - 2> abort the pending CM activation;
  - 2> set the CM\_PATTERN\_ACTIVATION\_ABORTED to TRUE.
- 1> otherwise:
  - 2> set the CM\_PATTERN\_ACTIVATION\_ABORTED to FALSE.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED\_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL\_DCH state from any state other than CELL\_DCH state at the conclusion of this procedure:
  - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
  - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
  - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The Release '99 RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL\_DCH state, the UE shall, after the state transition:

- 1> in FDD; or
- 1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
  - 2> remove any C-RNTI from MAC;
  - 2> clear the variable C\_RNTI.

In FDD, if after state transition the UE leaves CELL\_DCH state, the UE shall, after the state transition:

- 1> remove any DSCH-RNTI from MAC;
- 1> clear the variable DSCH\_RNTI.

If the UE was in CELL\_DCH state upon reception of the reconfiguration message and remains in CELL\_DCH state, the UE shall:

- 1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration.
- 1> in TDD:
  - 2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
    - 3> remove any C-RNTI from MAC;
    - 3> clear the variable C\_RNTI.

If after state transition the UE enters CELL\_FACH state, the UE shall, after the state transition:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4] on that frequency;
- 2> if the UE finds a suitable UTRA cell on that frequency:
  - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
    - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
    - 4> when the cell update procedure completed successfully:

- 5> if the UE is in CELL\_PCH or URA\_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
  - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
  - 3> when the cell update procedure completed successfully:
    - 4> if the UE is in CELL\_PCH or URA\_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4];
  - 2> if the UE finds a suitable UTRA cell on the current frequency:
    - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
      - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
      - 4> when the cell update procedure completed successfully:
        - 5> if the UE is in CELL\_PCH or URA\_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
    - 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
      - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
      - 3> when the cell update procedure completed successfully:
        - 4> if the UE is in CELL\_PCH or URA\_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
  - 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS\_AND\_CONSTANTS;
  - 1> select PRACH according to subclause 8.5.17;
  - 1> select Secondary CCPCH according to subclause 8.5.19;
  - 1> use the transport format set given in system information;
  - 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
    - 2> ignore that IE and stop using DRX.
  - 1> if the contents of the variable C\_RNTI is empty:
    - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
    - 2> when the cell update procedure completed successfully:
      - 3> if the UE is in CELL\_PCH or URA\_PCH state:
        - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
        - 4> proceed as below.

If the UE was in CELL\_FACH state upon reception of the reconfiguration message and remains in CELL\_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4] on that frequency;
  - 2> if the UE finds a suitable UTRA cell on that frequency:
    - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
      - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
      - 4> when the cell update procedure completed successfully:
        - 5> if the UE is in CELL\_PCH or URA\_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
    - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
      - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
      - 3> when the cell update procedure completed successfully:
        - 4> if the UE is in CELL\_PCH or URA\_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
  - 1> if the IE "Frequency info" is not included in the received reconfiguration message:
    - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:
      - 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
    - 2> or:
      - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and it is different from the current cell:
        - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
        - 4> when the cell update procedure completed successfully:
          - 5> if the UE is in CELL\_PCH or URA\_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE enters CELL\_PCH or URA\_PCH state, the UE shall:

- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New URNTI" is included:
  - 2> if the variable PDCP\_SN\_INFO is empty:

- 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
  - 2> else:
    - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
    - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
  - 2> re-establish RB2;
  - 2> for the downlink and the uplink, apply the ciphering configuration as follows:
    - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
      - 4> use the ciphering configuration in the received message when transmitting the response message.
    - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
      - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
        - 5> consider the new ciphering configuration to include the received new keys;
        - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
      - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST\_CONFIGURED\_CN\_DOMAIN:
        - 5> consider the new ciphering configuration to include the keys associated with the LATEST\_CONFIGURED\_CN\_DOMAIN;
        - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST\_CONFIGURED\_CN\_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
    - 4> apply the new ciphering configuration immediately following RLC re-establishment.
  - 3> else:
    - 4> continue using the current ciphering configuration.
  - 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
  - 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
  - 2> calculate the START value according to subclause 8.5.9;
  - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
    - 2> if the variable START\_VALUE\_TO\_TRANSMIT is set:
      - 3> include and set the IE "START" to the value of that variable.
    - 2> if the variable START\_VALUE\_TO\_TRANSMIT is not set and the IE "New U-RNTI" is included:
      - 3> calculate the START value according to subclause 8.5.9;

- 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 2> if the received reconfiguration message caused a change in the uplink RLC size for any RB using RLC-AM:
  - 3> calculate the START value according to subclause 8.5.9;
  - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
  - 2> if the reconfiguration message is not used to perform SRNS relocation with change of ciphering algorithm:
    - 3> the UE behaviour is not specified.
  - 2> if the message is used to perform a timing re-initialised hard handover:
    - 3> if IE "Ciphering activation time for DPCH" is included:
      - 4> the UE behaviour is not specified.
    - 3> else:
      - 4> if the reconfiguration message is used to setup radio bearer(s) using RLC-TM:
        - 5> the UE behaviour is not specified.
  - 2> else:
    - 3> if the reconfiguration message is used to setup radio bearer(s) using RLC-TM; or
    - 3> if radio bearer(s) using RLC-TM already exist:
      - 4> if IE "Ciphering activation time for DPCH" is not included:
        - 5> UE behaviour is not specified.
  - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
  - 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
    - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
      - 3> if, at the conclusion of this procedure, the UE will be in CELL\_DCH state; and
      - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
        - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 =0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

  - 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 1> clear that entry;

- 1> if the variable PDCP\_SN\_INFO is not empty:
  - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP\_SN\_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
  - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
  - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters URA\_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C\_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS\_AND\_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled after cell selection:
  - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
  - 2> when the URA update procedure is successfully completed:
    - 3> the procedure ends.

If after state transition the UE enters CELL\_PCH state from CELL\_DCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4] on that frequency.
  - 2> if the UE finds a suitable UTRA cell on that frequency:
    - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
      - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
      - 4> proceed as below.

- 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
  - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
  - 3> proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4].
  - 2> if the UE finds a suitable UTRA cell on the current frequency:
    - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
      - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
      - 4> proceed as below.
    - 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
      - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
      - 3> proceed as below.
  - 1> prohibit periodical status transmission in RLC;
  - 1> remove any C-RNTI from MAC;
  - 1> clear the variable C\_RNTI;
  - 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS\_AND\_CONSTANTS;
  - 1> select Secondary CCPCH according to subclause 8.5.19;
  - 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
    - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
  - 1> the procedure ends.

If after state transition the UE enters CELL\_PCH state from CELL\_FACH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4] on that frequency.
  - 2> if the UE finds a suitable UTRA cell on that frequency:
    - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
      - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
      - 4> proceed as below.



- 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
  - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
  - 3> proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
  - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:
    - 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
  - 2> or:
    - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:
      - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
      - 4> proceed as below.
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C\_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS\_AND\_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> the procedure ends.

#### 8.2.2.4 Transmission of a response message by the UE, normal case

In case the procedure was triggered by reception of a RADIO BEARER SETUP message, the UE shall:

- 1> transmit a RADIO BEARER SETUP COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RECONFIGURATION message, the UE shall:

- 1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RELEASE message, the UE shall:

- 1> transmit a RADIO BEARER RELEASE COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a TRANSPORT CHANNEL RECONFIGURATION message, the UE shall:

- 1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a PHYSICAL CHANNEL RECONFIGURATION message, the UE shall:

- 1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

If the new state is CELL\_DCH or CELL\_FACH, the response message shall be transmitted using the new configuration after the state transition, and the UE shall:

- 1> if the IE "Downlink counter synchronisation info" was included in the reconfiguration message; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
  - 2> when RLC has confirmed the successful transmission of the response message:
    - 3> if the variable PDCP\_SN\_INFO is empty:
      - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".
    - 3> else:
      - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";
      - 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
    - 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
    - 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
    - 3> set the remaining bits of the HFN component of COUNT-C values of all UM RLC entities to zero;
    - 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].
  - 1> if the variable PDCP\_SN\_INFO is empty:
    - 2> if the received reconfiguration message contained the IE "Ciphering mode info":
      - 3> when RLC has confirmed the successful transmission of the response message:
        - 4> notify upper layers upon change of the security configuration;
        - 4> perform the actions below.
      - 2> if the received reconfiguration message did not contain the IE "Ciphering mode info":
        - 3> when RLC has been requested to transmit the response message:
          - 4> perform the actions below.
    - 1> if the variable PDCP\_SN\_INFO is non-empty:
      - 2> when RLC has confirmed the successful transmission of the response message:
        - 3> for each radio bearer in the variable PDCP\_SN\_INFO:
          - 4> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
            - 5> configure the RLC entity for that radio bearer to "continue".
        - 3> perform the actions below.

If the new state is CELL\_PCH or URA\_PCH, the response message shall be transmitted using the old configuration before the state transition, but the new C-RNTI shall be used if the IE "New C-RNTI" was included in the received reconfiguration message, and the UE shall:

- 1> when RLC has confirmed the successful transmission of the response message:
  - 2> for each radio bearer in the variable PDCP\_SN\_INFO:
    - 3> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
      - 4> configure the RLC entity for that radio bearer to "continue".
  - 2> enter the new state (CELL\_PCH or URA\_PCH, respectively);
  - 2> perform the actions below.

The UE shall:

- 1> set the variable ORDERED\_RECONFIGURATION to FALSE;
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
  - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - 2> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the received reconfiguration message contained the IE "Integrity protection mode info":
  - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - 2> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
  - 2> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> clear the variable PDCP\_SN\_INFO;
- 1> clear the variable START\_VALUE\_TO\_TRANSMIT;
- 1> clear the variable SECURITY\_MODIFICATION.

#### 8.2.2.5 Reception of a response message by the UTRAN, normal case

When UTRAN has received

- the RADIO BEARER SETUP COMPLETE message; or
- the RADIO BEARER RECONFIGURATION COMPLETE message; or
- the RADIO BEARER RELEASE COMPLETE message; or
- the TRANSPORT CHANNEL RECONFIGURATION COMPLETE message; or
- the PHYSICAL CHANNEL RECONFIGURATION COMPLETE message.

UTRAN may:

- 1> delete the old configuration.

If the procedure caused the UE to leave the CELL\_FACH state, UTRAN may:

- 1> delete the C-RNTI of the UE.

If the IE "UL Timing Advance" is included in TDD, UTRAN should:

- 1> evaluate the timing advance value that the UE has to use in the new cell after handover.

If the IE "START" or the IE "START list " is included, UTRAN should:

- 1> set the START value for each CN domain with the corresponding values as received in this response message;
- 1> consequently, then use the START values to initialise the hyper frame numbers, in the same way as specified for the UE in subclause 8.2.2.3, for any new radio bearers that are established.

If UTRAN has ordered a ciphering reconfiguration by including the IE "Ciphering mode info", UTRAN should:

- 1> for radio bearers using RLC-AM or RLC-UM:
  - 2> use the old ciphering configuration for received RLC PDUs with RLC sequence number less than the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
  - 2> use the new ciphering configuration for received RLC PDUs with RLC sequence number greater than or equal to the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
  - 2> if an RLC reset or re-establishment occurs after this response message has been received by UTRAN before the activation time for the new ciphering configuration has been reached:
    - 3> ignore the activation time; and
    - 3> apply the new ciphering configuration immediately after the RLC reset or RLC re-establishment.
- 1> for radio bearers using RLC-TM:
  - 2> begin incrementing the COUNT-C at the CFN only as indicated in:
    - 3> the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info", if included in the message that triggered the radio bearer control procedure; or
    - 3> the IE "COUNT-C activation time", if included in the response message for this procedure.
- 1> and the procedure ends on the UTRAN side.

### 8.2.2.6 Unsupported configuration in the UE

If the UTRAN instructs the UE to use a configuration, which it does not support and/or if the received message causes the variable UNSUPPORTED\_CONFIGURATION to be set to TRUE, the UE shall:

- 1> transmit a failure response as specified in subclause 8.2.2.9, setting the information elements as specified below:
  - 2> include the IE "RRC transaction identifier"; and
  - 2> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
  - 2> set the IE "failure cause" to "configuration unsupported".
- 1> set the variable UNSUPPORTED\_CONFIGURATION to FALSE;
- 1> continue with any ongoing processes and procedures as if the reconfiguration message was not received.

The procedure ends.

### 8.2.2.7 Physical channel failure

If the received message caused the UE to be in CELL\_DCH state and the UE according to subclause 8.5.4 failed to establish the dedicated physical channel(s) indicated in the received message:

- 1> if the CM\_PATTERN\_ACTIVATION\_ABORTED flag is not set to TRUE the UE shall:
  - 2> revert to the configuration prior to the reception of the message (old configuration);
- 1> If the CM\_PATTERN\_ACTIVATION\_ABORTED flag is set to TRUE or if the old configuration includes dedicated physical channels (CELL\_DCH state) and the UE is unable to revert to the old configuration:
  - 2> initiate a cell update procedure according to subclause 8.3.1, using the cause "radio link failure";
  - 2> after the cell update procedure has completed successfully:
    - 3> proceed as below.
- 1> if the old configuration does not include dedicated physical channels (CELL\_FACH state):
  - 2> select a suitable UTRA cell according to [4];
  - 2> if the UE selects another cell than the cell the UE camped on upon reception of the reconfiguration message:
    - 3> initiate a cell update procedure according to subclause 8.3.1, using the cause "Cell reselection";
    - 3> after the cell update procedure has completed successfully:
      - 4> proceed as below.
- 1> transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:
  - 2> include the IE "RRC transaction identifier"; and
  - 2> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
  - 2> set the IE "failure cause" to "physical channel failure".
- 1> set the variable ORDERED\_RECONFIGURATION to FALSE;
- 1> continue with any ongoing processes and procedures as if the reconfiguration message was not received.

The procedure ends.

### 8.2.2.8 Cell re-selection

If the UE performs cell re-selection during the reconfiguration procedure, the UE shall:

- 1> initiate a cell update procedure, as specified in subclause 8.3.1;
- 1> continue with the reconfiguration procedure.

### 8.2.2.9 Transmission of a response message by the UE, failure case

The UE shall:

- 1> in case of reception of a RADIO BEARER SETUP message:
  - 2> if the radio bearer establishment procedure affects several radio bearers:
    - 3> (may) include the identities of the radio bearers for which the procedure would have been successful into the RADIO BEARER SETUP FAILURE message.
  - 2> transmit a RADIO BEARER SETUP FAILURE as response message on the DCCH using AM RLC.
- 1> in case of reception of a RADIO BEARER RECONFIGURATION message:
  - 2> if the radio bearer reconfiguration procedure affects several radio bearers:

- 3> (may) include the identities of the radio bearers for which the procedure would have been successful into the RADIO BEARER RECONFIGURATION FAILURE message.
- 2> transmit a RADIO BEARER RECONFIGURATION FAILURE as response message on the DCCH using AM RLC.
- 1> in case of reception of a RADIO BEARER RELEASE message:
  - 2> if the radio bearer release procedure affects several radio bearers:
    - 3> (may) include the identities of the radio bearers for which the procedure would have been successful into the RADIO BEARER RELEASE FAILURE message.
    - 2> transmit a RADIO BEARER RELEASE FAILURE as response message on the DCCH using AM RLC.
- 1> in case of reception of a TRANSPORT CHANNEL RECONFIGURATION message:
  - 2> transmit a TRANSPORT CHANNEL RECONFIGURATION FAILURE as response message on the DCCH using AM RLC.
- 1> in case of reception of a PHYSICAL CHANNEL RECONFIGURATION message:
  - 2> transmit a PHYSICAL CHANNEL RECONFIGURATION FAILURE as response message on the DCCH using AM RLC.
- 1> when the response message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if no reconfiguration attempt had occurred.

#### 8.2.2.10 Reception of a response message by the UTRAN, failure case

When the UTRAN has received:

- the RADIO BEARER SETUP FAILURE message; or
- the RADIO BEARER RECONFIGURATION FAILURE message; or
- the RADIO BEARER RELEASE FAILURE message; or
- the TRANSPORT CHANNEL RECONFIGURATION FAILURE message; or
- the PHYSICAL CHANNEL RECONFIGURATION FAILURE message;

the UTRAN may restore the old and delete the new configuration. Upper layers should be notified of the failure.

The procedure ends on the UTRAN side.

#### 8.2.2.11 Invalid configuration

If the variable INVALID\_CONFIGURATION is set to TRUE the UE shall:

- 1> keep the configuration existing before the reception of the message;
- 1> transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:
  - 2> include the IE "RRC transaction identifier"; and
    - 3> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
    - 3> clear that entry.
  - 2> set the IE "failure cause" to "invalid configuration".
- 1> set the variable INVALID\_CONFIGURATION to FALSE;

1> continue with any ongoing processes and procedures as if the reconfiguration message was not received.

The procedure ends.

### 8.2.2.12 Incompatible simultaneous reconfiguration

If the table "Rejected transactions" in the variable TRANSACTIONS is set due to the received message and the variable PROTOCOL\_ERROR\_REJECT is set to FALSE, the UE shall:

1> not apply the configuration contained in the received reconfiguration message;

1> transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:

2> include the IE "RRC transaction identifier"; and

2> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Rejected transactions" in the variable TRANSACTIONS; and

2> clear that entry;

2> set the IE "failure cause" to "incompatible simultaneous reconfiguration".

1> continue with any ongoing processes and procedures as if the reconfiguration message was not received.

The procedure ends.

### 8.2.2.12a Incompatible simultaneous security reconfiguration

If the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION is set to TRUE due to the received reconfiguration message, the UE shall:

1> transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:

2> include the IE "RRC transaction identifier"; and

2> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

2> set the IE "failure cause" to the cause value "incompatible simultaneous reconfiguration".

1> set the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION to FALSE;

1> continue with any ongoing processes and procedures as if the reconfiguration message was not received.

The procedure ends.

### 8.2.2.12b Cell update procedure during security reconfiguration

If:

- a cell update procedure according to subclause 8.3.1 is initiated; and
- the received reconfiguration message causes either:
  - the IE "Reconfiguration" in the variable CIPHERING\_STATUS to be set to TRUE; and/or
  - the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to be set to TRUE:

the UE shall:

1> release all radio resources;

- 1> indicate the release of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers; and
- 1> clear any entry for the RRC CONNECTION RELEASE message in the tables "Accepted transactions" and "Rejected transactions" in the variable TRANSACTIONS;
- 1> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 1> clear the variable ESTABLISHED\_RABS;
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
  - 2> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - 2> clear the variable SECURITY\_MODIFICATION.
- 1> if the received reconfiguration message contained the IE "Integrity protection mode info":
  - 2> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> enter idle mode;
- 1> perform the actions specified in subclause 8.5.2 when entering idle mode;
- 1> and the procedure ends.

NOTE: UTRAN should use RB Control messages to perform an SRNS relocation only in case of state transitions from CELL\_DCH to CELL\_DCH.

### 8.2.2.13 Invalid received message

If the received reconfiguration message contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:
  - 2> include the IE "RRC transaction identifier"; and
  - 2> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Rejected transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
  - 2> set the IE "failure cause" to the cause value "protocol error";
  - 2> include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION.

The procedure ends.

### 8.2.2.14 Radio link failure

If the criteria for radio link failure are met in the old configuration during the reconfiguration procedure as specified in subclause 8.5.6, the UE should:

- 1> if the UE would have entered CELL\_PCH or URA\_PCH as a result of this reconfiguration procedure and the UE has already submitted a response message to lower layers:
  - 2> act as if the reconfiguration message was not received;



- 2> initiate a cell update procedure according to subclause 8.3.1, using the cause "radio link failure"; and
- 2> the procedure ends.

NOTE: UTRAN should consider the reconfiguration procedure as unsuccessful in this case even if a response message had been received.

- 1> if the UE would have remained in CELL\_DCH state as a result of this reconfiguration procedure:
  - 2> initiate a cell update procedure according to subclause 8.3.1, using the cause "radio link failure";
  - 2> after the cell update procedure has completed successfully:
    - 3> transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:
      - 4> include the IE "RRC transaction identifier";
      - 4> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS;
      - 4> clear that entry; and
      - 4> set the IE "failure cause" to "physical channel failure".
    - 3> act as if the reconfiguration message was not received; and
    - 3> the procedure ends.

If the criteria for radio link failure are met in the new configuration during the reconfiguration procedure (i.e. while the UE is waiting for RLC acknowledgement for a response message) as specified in subclause 8.5.6, the UE shall:

- 1> if the received reconfiguration causes either:
  - the IE "Reconfiguration" in the variable CIPHERING\_STATUS to be set to TRUE; and/or
  - the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to be set to TRUE:
- 2> perform the actions specified in subclause 8.2.2.12b.
- 1> else, the UE should:
  - 2> release all its radio resources;
  - 2> indicate the release of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - 2> clear any entry for the RRC CONNECTION RELEASE message in the tables "Accepted transactions" and "Rejected transactions" in the variable TRANSACTIONS;
  - 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 2> clear the variable ESTABLISHED\_RABS;
  - 2> enter idle mode;
  - 2> perform the actions specified in subclause 8.5.2 when entering idle mode; and
  - 2> the procedure ends.

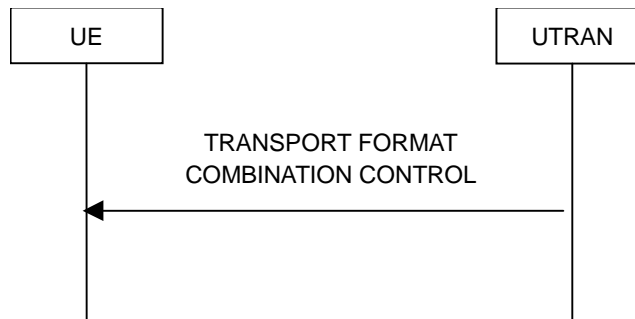
### 8.2.3 Radio bearer release

See subclause 8.2.2 (Reconfiguration procedures).

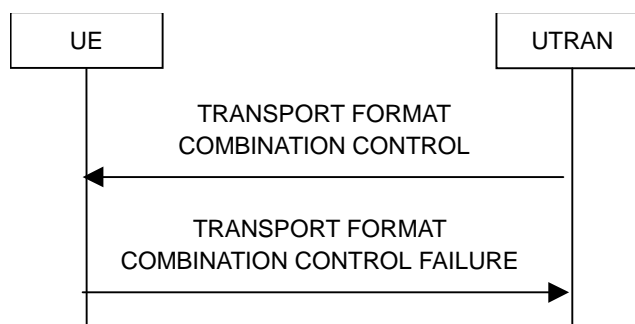
## 8.2.4 Transport channel reconfiguration

See subclause 8.2.2 (Reconfiguration procedures).

## 8.2.5 Transport format combination control



**Figure 8.2.5-1: Transport format combination control, normal flow**



**Figure 8.2.5-2: Transport format combination control, failure case**

### 8.2.5.1 General

The transport format combination control procedure is used to control the allowed uplink transport format combinations within the transport format combination set.

### 8.2.5.2 Initiation

To initiate the transport format combination control procedure, the UTRAN transmits the TRANSPORT FORMAT COMBINATION CONTROL message on the downlink DCCH using AM, UM or TM RLC. When not stated otherwise elsewhere, the UTRAN may initiate the transport format combination control procedure also when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

To change the sub-set of allowed transport format combinations, the UTRAN should:

- 1> set the allowed TFCs in the IE "TFC subset". The UTRAN may specify the duration for which a new TFC sub-set applies by using the IE "TFC Control duration" and independently may specify the time at which a new TFC sub-set shall be applied using the IE "Activation Time".

To remove completely the previous restrictions of allowed transport format combinations, the UTRAN should:

- 1> set the "full transport format combination" in the IE "TFC subset".

### 8.2.5.3 Reception of a TRANSPORT FORMAT COMBINATION CONTROL message by the UE

If the TRANSPORT FORMAT COMBINATION CONTROL message was received on AM RLC or UM RLC, the UE shall:

- 1> act upon all received information elements as specified in 8.6, unless specified otherwise in the following;
- 1> perform the actions for the transport format combination subset specified in the IE "DPCH/PUSCH TFCS in uplink" according to subclause 8.6.5.3;
- 1> if the variable INVALID\_CONFIGURATION is set to FALSE:
  - 2> if the IE "TFC Control duration" is included in the message:
    - 3> store the value of the IE "TFC Control duration" in the IE "Duration" in the variable TFC\_SUBSET;
    - 3> set the IE "Current TFC subset" (for the CCTrCH indicated by the IE "TFCS Id" in case of TDD) in the variable TFC\_SUBSET to the value of the IE "Transport format combination subset";
    - 3> apply the transport format combination subset in the IE "Current TFC subset" stored in the variable TFC\_SUBSET for the number of (10 ms) frames specified in the IE "TFC Control duration";
    - 3> at the end of the time period defined by the IE "TFC control duration":
      - 4> if the variable TFC\_SUBSET has not subsequently been changed by another message:
        - 5> go back to any previous restriction of the transport format combination set defined by the content of the IE "Default TFC subset" in the variable TFC\_SUBSET;
        - 5> set the value of the IE "Current TFC subset" in the variable TFC\_SUBSET to the value of the IE "Default TFC subset" in the variable TFC\_SUBSET;
        - 5> clear the IE "Duration" in the variable TFC\_SUBSET.
    - 2> if the IE "TFC Control duration" is not included in the message:
      - 3> set both the IE "Current TFC subset" and the IE "Default TFC subset" ( for the CCTrCH indicated by the IE "TFCS Id" in case of TDD) in the variable TFC\_SUBSET to the value of the IE "Transport format combination subset".
  - 1> if the UE is unable to comply with the reconfiguration due to an invalid activation time:
    - 2> set the variable INVALID\_CONFIGURATION to TRUE.

If the TRANSPORT FORMAT COMBINATION CONTROL message was received on TM RLC, the UE shall:

- 1> consider the size of the transport block of the downlink transport channel where this message was received to select the format for the transparent format combination control mode as specified in subclause 12.4.1.1;
- 1> if the IE "TFC subset identity" identifies one of the TFC subsets stored in the IE "TFC subset list" in the variable TFC\_SUBSET:
  - 2> perform the actions as specified in subclause 8.6.5.3;
  - 2> if the variable INVALID\_CONFIGURATION is set to FALSE:
    - 3> in the variable TFC\_SUBSET, set the IE "Current TFC subset" to the value of the IE "TFC subset" in "TFC subset list" which is identified by the IE "TFC subset identity".
- 1> if the IE "TFC subset identity" is greater than the maximum number of TFC subsets stored in the IE "TFC subset list" in the variable TFC\_SUBSET:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.

The UE shall:

- 1> clear the entry for the TRANSPORT FORMAT COMBINATION CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> and the procedure ends.

#### 8.2.5.4 Invalid configuration

If the variable INVALID\_CONFIGURATION is set to TRUE due to the received TRANSPORT FORMAT COMBINATION CONTROL message the UE shall:

- 1> if the TRANSPORT FORMAT COMBINATION CONTROL message was received on AM RLC:
  - 2> keep the TFC subset existing before the TRANSPORT FORMAT COMBINATION CONTROL message was received;
  - 2> transmit a TRANSPORT FORMAT COMBINATION CONTROL FAILURE message on the DCCH using AM RLC;
  - 2> set the IE "RRC transaction identifier" in the TRANSPORT FORMAT COMBINATION CONTROL FAILURE message to the value of "RRC transaction identifier" in the entry for the TRANSPORT FORMAT COMBINATION CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
  - 2> set the IE "failure cause" to "invalid configuration";
  - 2> when the TRANSPORT FORMAT COMBINATION CONTROL FAILURE message has been submitted to lower layers for transmission the procedure ends.
- 1> if the TRANSPORT FORMAT COMBINATION CONTROL message was received on UM RLC or TM RLC:
  - 2> ignore the TRANSPORT FORMAT COMBINATION CONTROL message;
  - 2> clear the entry for the TRANSPORT FORMAT COMBINATION CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS.

#### 8.2.5.5 Invalid TRANSPORT FORMAT COMBINATION CONTROL message

If the TRANSPORT FORMAT COMBINATION CONTROL message was received on AM RLC or UM RLC and contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> transmit a TRANSPORT FORMAT COMBINATION CONTROL FAILURE message on the uplink DCCH using AM RLC setting the information elements as specified below:
  - 2> set the IE "RRC transaction identifier" in the TRANSPORT FORMAT COMBINATION CONTROL FAILURE message to the value of "RRC transaction identifier" in the entry for the TRANSPORT FORMAT COMBINATION CONTROL message in the table "Rejected transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
  - 2> set the IE "failure cause" to the cause value "protocol error";
  - 2> include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION.
- 1> when the TRANSPORT FORMAT COMBINATION CONTROL FAILURE message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid TRANSPORT FORMAT COMBINATION CONTROL message has not been received;
  - 2> and the procedure ends.

If the TRANSPORT FORMAT COMBINATION CONTROL message was received on TM RLC and contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> ignore the invalid TRANSPORT FORMAT COMBINATION CONTROL message as if it has not been received;
- 1> the procedure ends.

## 8.2.6 Physical channel reconfiguration

See subclause 8.2.2 Reconfiguration procedures.

## 8.2.7 Physical Shared Channel Allocation [TDD only]



**Figure 8.2.7-1: Physical Shared Channel Allocation**

### 8.2.7.1 General

The purpose of this procedure is to allocate radio resources to USCH and/or DSCH transport channels in TDD mode, for use by a UE. This procedure can also be used to indicate to the UE, that a PUSCH allocation is pending, in order to prevent further capacity requests from the UE.

UEs are not required to receive FACH and DSCH simultaneously, i.e. if resources are allocated to DSCH the FACH reception may be suspended.

### 8.2.7.2 Initiation

To initiate the Physical Shared Channel Allocation procedure, the UTRAN sends the "PHYSICAL SHARED CHANNEL ALLOCATION" message on the downlink SHCCH or on the downlink DCCH using UM RLC. The DSCH-RNTI shall be included for UE identification, if the message is sent on the SHCCH.

### 8.2.7.3 Reception of a PHYSICAL SHARED CHANNEL ALLOCATION message by the UE

Upon reception of a "PHYSICAL SHARED CHANNEL ALLOCATION" message, if the message is received on the downlink SHCCH the UE shall:

- 1> check the DSCH-RNTI to see if the UE is addressed by the message;
- 1> if the UE is addressed by the message, or if the message is received on the downlink DCCH:
  - 2> perform the following actions.
- 1> otherwise:
  - 2> ignore the message.
- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:
  - 1> if the IE "ISCP Timeslot list" is included:
    - 2> store the timeslot numbers given there for future Timeslot ISCP measurements and reports in the variable PHYSICAL\_SHARED\_CHANNEL\_CONFIGURATION.
  - 1> if the IE "PDSCH capacity allocation info" is included:

- 2> configure the physical resources used for the downlink CCTrCH given by the IE "TFCS ID" according to the following:
    - 3> if the CHOICE "Configuration" has the value "Old configuration":
      - 4> if the UE has stored a PDSCH configuration in the variable PHYSICAL\_SHARED\_CHANNEL\_CONFIGURATION with the identity given by the IE "PDSCH Identity":
        - 5> configure the physical resources according to that configuration.
      - 4> otherwise:
        - 5> ignore the IE "PDSCH capacity allocation info".
    - 3> if the CHOICE "Configuration" has the value "New configuration":
      - 4> configure the physical resources according to the information given in IE "PDSCH Info". If IE "Common timeslot info" or IE "PDSCH timeslots and codes" IE are not present in IE "PDSCH Info":
        - 5> reuse the configuration stored in the variable PHYSICAL\_SHARED\_CHANNEL\_CONFIGURATION for this CCTrCH.
      - 4> if the IE "PDSCH Identity" is included:
        - 5> store the new configuration in the variable PHYSICAL\_SHARED\_CHANNEL\_CONFIGURATION using that identity.
  - 2> start using the new configuration at the CFN specified by the IE "Allocation activation time", and use that for the duration given by the IE "Allocation duration";
  - 2> if the IE "Confirm request" has the value "Confirm PDSCH" and IE "PDSCH Identity" is included in IE "PDSCH capacity allocation info":
    - 3> initiate the PUSCH CAPACITY REQUEST procedure as described in subclause 8.2.8.
- 1> if the IE "PUSCH capacity allocation info" is included:
    - 2> stop the timer T310, if running;
    - 2> if the CHOICE "PUSCH allocation" has the value "PUSCH allocation pending":
      - 3> start the timer T311.
    - 2> if the CHOICE "PUSCH allocation" has the value "PUSCH allocation assignment":
      - 3> stop the timer T311, if running;
      - 3> configure the physical resources used for the uplink CCTrCH given by the IE "TFCS ID" according to the following:
        - 4> if the CHOICE "Configuration" has the value "Old configuration":
          - 5> if the UE has stored a PUSCH configuration with the identity given by the IE "PUSCH Identity" in the variable PHYSICAL\_SHARED\_CHANNEL\_CONFIGURATION:
            - 6> configure the physical resources according to that configuration.
          - 5> otherwise:
            - 6> ignore the IE "PUSCH capacity allocation info".
        - 4> if the CHOICE "Configuration" has the value "New configuration", the UE shall:
          - 5> configure the physical resources according to the information given in IE "PUSCH Info". If IE "Common timeslot info" or IE "PUSCH timeslots and codes" is not present in IE "PUSCH Info":

- 6> reuse the configuration stored in the variable PHYSICAL\_SHARED\_CHANNEL\_CONFIGURATION for this CCTrCH.
- 5> if the IE "PUSCH Identity" is included:
  - 6> store the new configuration in the variable PHYSICAL\_SHARED\_CHANNEL\_CONFIGURATION using that identity.
- 3> if the IE "PUSCH power control info" is present in this message and includes the parameter "UL target SIR" for 3.84 Mcps TDD, or the parameters "PRX<sub>PUSCHdes</sub>" and "TPC Step Size" for 1.28 Mcps TDD, or the parameters are stored in the variable PHYSICAL SHARED CHANNEL CONFIGURATION for this CCTrCH:
  - 4> start using the new configuration at the CFN specified by the IE "Allocation activation time", and use that for the duration given by the IE "Allocation duration".
- 3> otherwise:
  - 4> ignore the IE "PUSCH capacity allocation info".
- 3> if the IE "PUSCH power control info" is present in this message and includes the parameter "UL target SIR" for 3.84 Mcps TDD, or the parameters "PRX<sub>PUSCHdes</sub>" and/or "TPC Step Size" for 1.28 Mcps TDD:
  - 4> replace the parameters "UL target SIR" or "PRX<sub>PUSCHdes</sub>" or "TPC Step Size" stored in the variable PHYSICAL SHARED CHANNEL CONFIGURATION for this CCTrCH with the signalled values.
- 3> if the IE "Traffic volume report request " is included:
  - 4> initiate the PUSCH CAPACITY REQUEST procedure as described in subclause 8.2.8 at the time indicated by the IE "Traffic volume report request".
- 3> if the IE "Confirm request" has the value "Confirm PUSCH" and IE "PUSCH Identity" is included in IE "PUSCH capacity allocation info":
  - 4> initiate the PUSCH CAPACITY REQUEST procedure as described in subclause 8.2.8.
- 3> determine the TFCS subset and hence the TFCI values which are possible given the PUSCH allocation for that CCTrCH;
- 3> configure the MAC-c/sh in the UE with this TFCS restriction if necessary;
- 3> transmit USCH Transport Block Sets as required, within the TFCS limits given by the PUSCH allocation.

NOTE: If the UE has just entered a new cell and System Information Block Type 6 has not yet been scheduled, PUSCH/PDSCH information should be specified in the allocation message.

The UE shall:

- 1> clear the entry for the PHYSICAL SHARED CHANNEL ALLOCATION message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> and the procedure ends.

#### 8.2.7.4 Invalid PHYSICAL SHARED CHANNEL ALLOCATION message

If the UE receives a PHYSICAL SHARED CHANNEL ALLOCATION message, which contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> ignore the invalid PHYSICAL SHARED CHANNEL ALLOCATION message;
- 1> submit the PUSCH CAPACITY REQUEST message for transmission on the uplink SHCCH, setting the information elements in the message as specified in subclause 8.2.8.3;
- 1> reset counter V310;

- 1> start timer T310;
- 1> proceed as described in subclause 8.2.8.

## 8.2.8 PUSCH capacity request [TDD only]

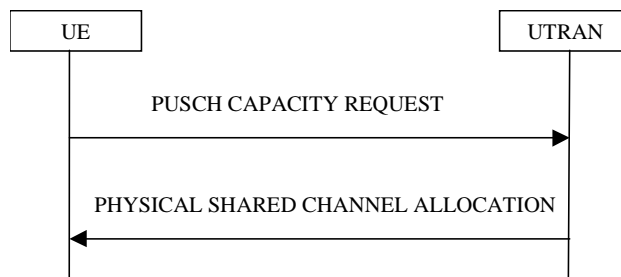


Figure 8.2.8-1: PUSCH Capacity request procedure

### 8.2.8.1 General

With this procedure, the UE transmits its request for PUSCH resources to the UTRAN. In the normal case, the UTRAN responds with a PHYSICAL SHARED CHANNEL ALLOCATION message, which either allocates the requested PUSCH resources, and/or allocates a PDSCH resource, or may just serve as an acknowledgement, indicating that PUSCH allocation is pending.

This procedure can also be used to acknowledge the reception of a PHYSICAL SHARED CHANNEL ALLOCATION message, or to indicate a protocol error in that message.

With the PUSCH CAPACITY REQUEST message, the UE can request capacity for one or more USCH.

### 8.2.8.2 Initiation

This procedure is initiated:

- 1> in the CELL\_FACH or CELL\_DCH state;
- 1> and when at least one RB using USCH has been established;
- 1> and when the UE sees the requirement to request physical resources (PUSCH) for an USCH channel or there is the need to reply to a PHYSICAL SHARED CHANNEL ALLOCATION message as described in clause 8.2.7 (i.e. to confirm the reception of a message, if requested to do so, or to indicate a protocol error).

The procedure can be initiated if:

- Timer T311 is not running.
- The timer T310 (capacity request repetition timer) is not running.

The UE shall:

- 1> set the IEs in the PUSCH CAPACITY REQUEST message according to subclause 8.2.8.3;
- 1> if the procedure is triggered to reply to a previous PHYSICAL SHARED CHANNEL ALLOCATION message by the IE "Confirm request" set to "Confirm PUSCH" and the IE "PUSCH capacity allocation info" is not present:
  - 2> transmit the PUSCH CAPACITY REQUEST message on RACH.
- 1> else:
  - 2> transmit the PUSCH CAPACITY REQUEST message on the uplink SHCCH.
- 1> set counter V310 to 1;



1> start timer T310.

### 8.2.8.3 PUSCH CAPACITY REQUEST message contents to set

With one PUSCH CAPACITY REQUEST message, capacity for one or more USCH can be requested. It shall include these information elements:

- 1> DSCH-RNTI to be used as UE identity if the message is sent on RACH;
- 1> Traffic volume measured results for each radio bearer satisfying the reporting criteria as specified in the MEASUREMENT CONTROL procedure (if no radio bearer satisfies the reporting criteria, traffic volume measured results shall not be included). These results shall include:
  - 2> Radio Bearer ID of the Radio Bearer being reported;
  - 2> RLC buffer payload for these radio bearers, as specified by the MEASUREMENT CONTROL procedure.

The UE shall:

- 1> if the initiation of the procedure is triggered by the IE "Traffic volume report request" in a previously received PHYSICAL SHARED CHANNEL ALLOCATION message:
  - 2> report the traffic volume measurement result for the radio bearer mapped on USCH transport channel specified in the received message. These results shall include:
    - 3> Radio Bearer ID of the Radio Bearer being reported;
    - 3> RLC buffer payload for this radio bearer.
- 1> if the initiation of the procedure is triggered by the IE "Confirm request" set to "Confirm PDSCH" in a previously received PHYSICAL SHARED CHANNEL ALLOCATION message and the IE "PUSCH capacity allocation info" is present in this message:
  - 2> set the CHOICE "Allocation confirmation" to "PDSCH Confirmation" with the value given in the IE "PDSCH Identity" stored in the variable PHYSICAL\_SHARED\_CHANNEL\_CONFIGURATION.
- 1> if the initiation of the procedure is triggered by the IE "Confirm request" set to "Confirm PUSCH" in a previously received PHYSICAL SHARED CHANNEL ALLOCATION message:
  - 2> set the CHOICE "Allocation confirmation" to "PUSCH Confirmation" with the value given in the IE "PUSCH Identity" stored in the variable PHYSICAL\_SHARED\_CHANNEL\_CONFIGURATION.
- 1> if the variable PROTOCOL\_ERROR\_REJECT is set to TRUE:
  - 2> include the IE "RRC transaction identifier" in the response message transmitted below; and
  - 2> set it to the value of "RRC transaction identifier" in the entry for the PHYSICAL SHARED CHANNEL ALLOCATION message in the table "Rejected transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
  - 2> set the IE "protocol error indicator" to TRUE;
  - 2> include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION.
- 1> if the value of the variable PROTOCOL\_ERROR\_REJECT is FALSE:
  - 2> set the IE "Protocol error indicator" to FALSE.

As an option, the message may include IE "Timeslot ISCP" and IE "Primary CCPCH RSCP".

The timeslots for which "Timeslot ISCP" may be reported shall have been configured with a previous PHYSICAL SHARED CHANNEL ALLOCATION message and stored in the variable PHYSICAL\_SHARED\_CHANNEL\_CONFIGURATION.

"Primary CCPCH RSCP" is reported when requested with a previous PHYSICAL SHARED CHANNEL ALLOCATION message.

#### 8.2.8.4 Reception of a PUSCH CAPACITY REQUEST message by the UTRAN

Upon receiving a PUSCH CAPACITY REQUEST message with traffic volume measurement included for at least one radio bearer, the UTRAN should initiate the PHYSICAL SHARED CHANNEL ALLOCATION procedure, either for allocating PUSCH or PDSCH resources as required, or just as an acknowledgement, indicating a pending PUSCH allocation, as described in subclause 8.2.7.

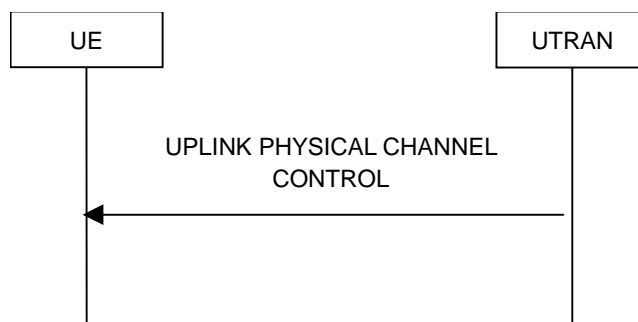
#### 8.2.8.5 T310 expiry

Upon expiry of timer T310, the UE shall:

- 1> if V310 is smaller than N310:
  - 2> transmit a new PUSCH CAPACITY REQUEST message on the Uplink SHCCH;
  - 2> restart timer T310;
  - 2> increment counter V310;
  - 2> set the IEs in the PUSCH CAPACITY REQUEST message as specified in subclause 8.2.8.3.
- 1> if V310 is greater than or equal to N310:
  - 2> the procedure ends.

#### 8.2.9 Void

#### 8.2.10 Uplink Physical Channel Control [TDD only]



**Figure 8.2.10-1: Uplink Physical Channel Control**

##### 8.2.10.1 General

The uplink physical channel control procedure is used in TDD to control the uplink outer loop power control and timing advance running in the UE.

##### 8.2.10.2 Initiation

The UTRAN initiates the procedure by transmitting the UPLINK PHYSICAL CHANNEL CONTROL message on the downlink DCCH using AM or UM RLC in order to update parameters for uplink open loop power control in the UE for one CCTrCH or to inform the UE about a new timing advance value to be applied. Especially, uplink interference information measured by the UTRAN can be included for the uplink timeslots used for the CCTrCH.

### 8.2.10.3 Reception of UPLINK PHYSICAL CHANNEL CONTROL message by the UE

Upon reception of the UPLINK PHYSICAL CHANNEL CONTROL message, the UE shall:

- 1> act upon all received information elements as specified in subclause 8.6.

In 1.28 Mcps TDD, if the IE "Uplink DPCH Power Control Info" is transmitted, this information shall be taken into account by the UE for uplink open loop power control and for uplink closed loop power control as described in subclause 8.6.6.11.

In 3.84 Mcps TDD, if the IEs "Uplink DPCH Power Control Info", "PRACH Constant Value", "PUSCH Constant Value", "Alpha" or IE group "list of UL Timeslot Interference" are transmitted, this information shall be taken into account by the UE for uplink open loop power control as specified in subclause 8.5.7. If the UE is capable of using IPDLs for UE positioning, the IE "IPDL-Alpha" shall be used instead of the IE "Alpha". If the IE "IPDL-Alpha" parameter is not present, the UE shall use IE "Alpha".

If the IE Special Burst Scheduling is transmitted the UE shall:

- 1> use the new value for the "Special Burst Generation Period".

The UE shall:

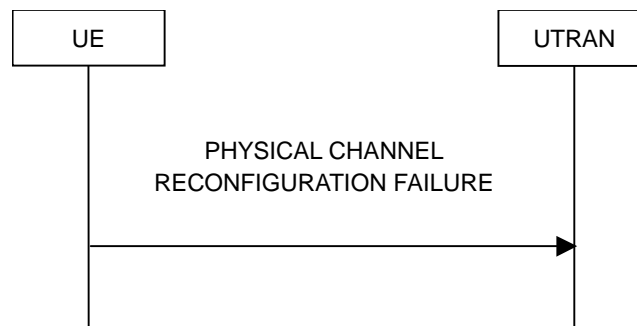
- 1> clear the entry for the UPLINK PHYSICAL CHANNEL CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> and the procedure ends.

### 8.2.10.4 Invalid UPLINK PHYSICAL CHANNEL CONTROL message

If the UE receives a UPLINK PHYSICAL CHANNEL CONTROL message, which contains a protocol error causing the variable `PROTOCOL_ERROR_REJECT` to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> transmit an RRC STATUS message on the uplink DCCH using AM RLC, setting the information elements as specified below:
  - 2> include the IE "Identification of received message"; and
  - 2> set the IE "Received message type" to UPLINK PHYSICAL CHANNEL CONTROL; and
  - 2> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the UPLINK PHYSICAL CHANNEL CONTROL message in the table "Rejected transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
  - 2> include the IE "Protocol error information" with contents set to the value of the variable `PROTOCOL_ERROR_INFORMATION`.
- 1> when the RRC STATUS message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid UPLINK PHYSICAL CHANNEL CONTROL message has not been received.

## 8.2.11 Physical channel reconfiguration failure



**Figure 8.2.11-1: Physical channel reconfiguration failure in case of runtime configuration error**

### 8.2.11.1 General

The physical channel reconfiguration failure procedure is used to indicate to the network a runtime configuration error in the UE.

### 8.2.11.2 Runtime error due to overlapping compressed mode configurations

When the UE has received from the UTRAN the configurations of several compressed mode transmission gap pattern sequences, and if several of these patterns are to be simultaneously active, the UE shall check to see if these simultaneously active transmission gap pattern sequences create transmission gaps in the same frame. An illegal overlap is created if two or more transmission gap pattern sequences create transmission gaps in the same frame, irrespective of the gaps are created in uplink or downlink.

If the parallel transmission gap pattern sequences create an illegal overlap, the UE shall:

- 1> delete the overlapping transmission gap pattern sequence configuration stored in the variable TGPS\_IDENTITY, which is associated with the highest value of IE "TGPSI";
- 1> transmit a PHYSICAL CHANNEL RECONFIGURATION FAILURE message on the DCCH using AM RLC, setting the information elements as specified below:
  - 2> not include the IE "RRC transaction identifier";
  - 2> set the cause value in IE "failure cause" to value "compressed mode runtime error".
- 1> terminate the inter-frequency and/or inter-RAT measurements corresponding to the deleted transmission gap pattern sequence;
- 1> when the PHYSICAL CHANNEL RECONFIGURATION FAILURE message has been submitted to lower layers for transmission:
  - 2> the procedure ends.

### 8.2.11.3 Runtime error due to overlapping compressed mode configuration and PDSCH reception

If UE is scheduled to receive a PDSCH frame at the same time instant as a compressed mode gap, UE shall perform the measurements according to the measurement purpose of the pattern sequence.

### 8.3 RRC connection mobility procedures

#### 8.3.1 Cell and URA update procedures

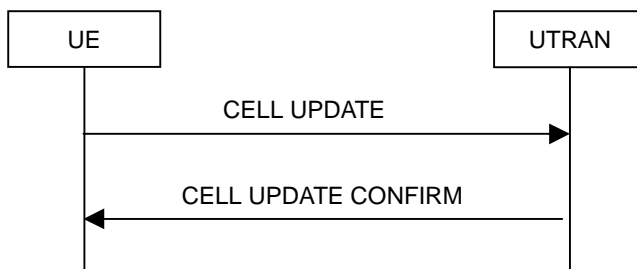


Figure 8.3.1-1: Cell update procedure, basic flow

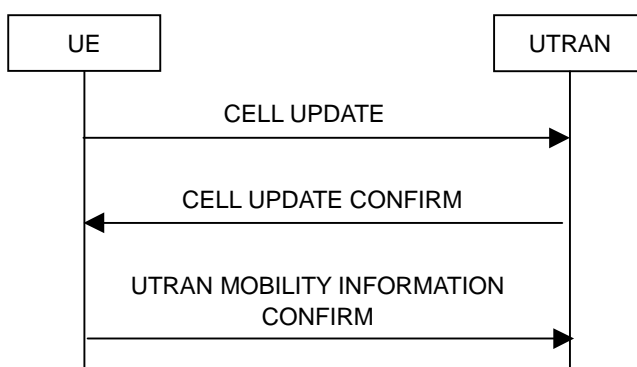


Figure 8.3.1-2: Cell update procedure with update of UTRAN mobility information

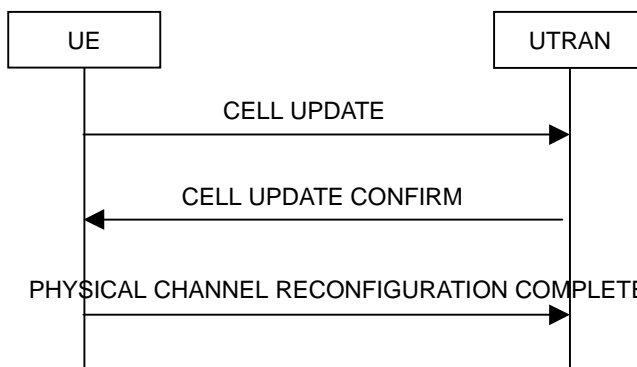


Figure 8.3.1-3: Cell update procedure with physical channel reconfiguration

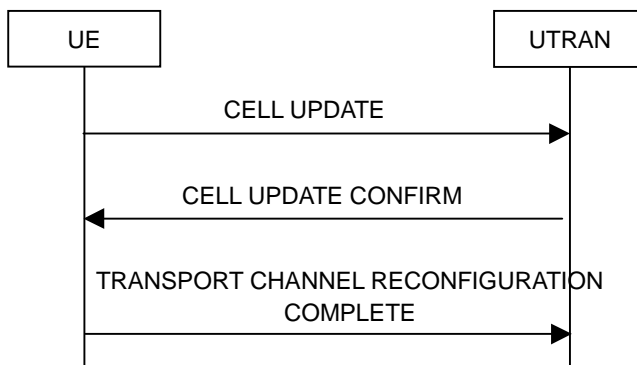


Figure 8.3.1-4: Cell update procedure with transport channel reconfiguration

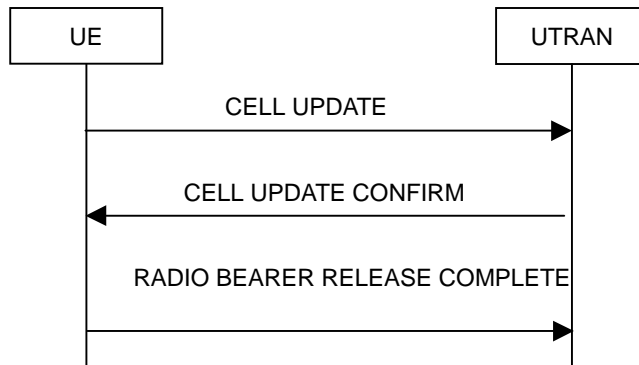


Figure 8.3.1-5: Cell update procedure with radio bearer release

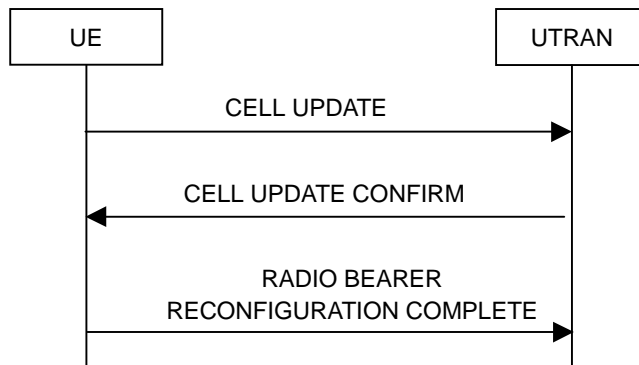


Figure 8.3.1-6: Cell update procedure with radio bearer reconfiguration

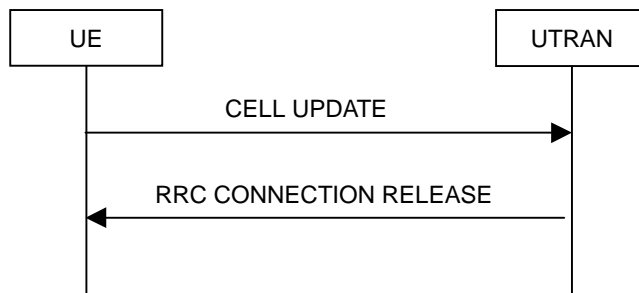


Figure 8.3.1-7: Cell update procedure, failure case

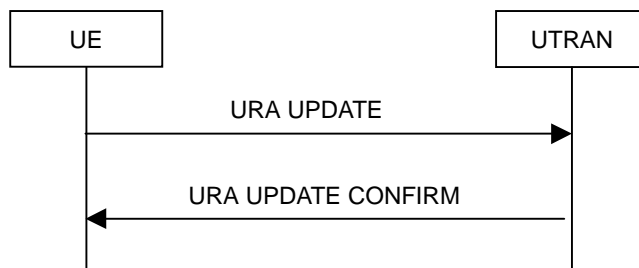
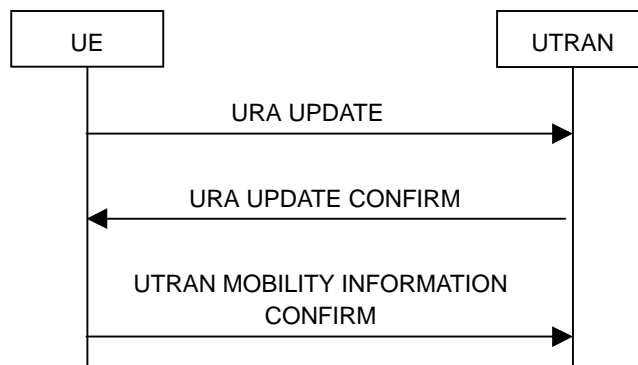
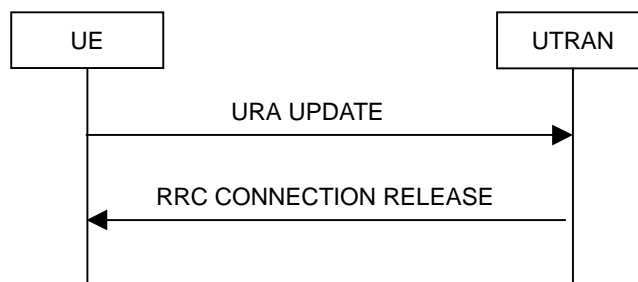


Figure 8.3.1-8: URA update procedure, basic flow



**Figure 8.3.1-9: URA update procedure with update of UTRAN mobility information**



**Figure 8.3.1-10: URA update procedure, failure case**

### 8.3.1.1 General

The URA update and cell update procedures serve several main purposes:

- to notify UTRAN after re-entering service area in the URA\_PCH or CELL\_PCH state;
- to notify UTRAN of an RLC unrecoverable error [16] on an AM RLC entity;
- to be used as a supervision mechanism in the CELL\_FACH, CELL\_PCH, or URA\_PCH state by means of periodical update.

In addition, the URA update procedure also serves the following purpose:

- to retrieve a new URA identity after cell re-selection to a cell not belonging to the current URA assigned to the UE in URA\_PCH state.

In addition, the cell update procedure also serves the following purposes:

- to update UTRAN with the current cell the UE is camping on after cell reselection;
- to act on a radio link failure in the CELL\_DCH state;
- to act on the transmission failure of the UE CAPABILITY INFORMATION message;
- when triggered in the URA\_PCH or CELL\_PCH state, to notify UTRAN of a transition to the CELL\_FACH state due to the reception of UTRAN originated paging or due to a request to transmit uplink data.

The URA update and cell update procedures may:

- 1> include an update of mobility related information in the UE;
- 1> cause a state transition from the CELL\_FACH state to the CELL\_DCH, CELL\_PCH or URA\_PCH states or idle mode.

The cell update procedure may also include:

- a re-establish of AM RLC entities;

- a radio bearer release, radio bearer reconfiguration, transport channel reconfiguration or physical channel reconfiguration.

### 8.3.1.2 Initiation

A UE shall initiate the cell update procedure in the following cases:

1> Uplink data transmission:

- 2> if the UE is in URA\_PCH or CELL\_PCH state; and
- 2> if the UE has uplink RLC data PDU or uplink RLC control PDU on RB1 or upwards to transmit:
  - 3> perform cell update using the cause "uplink data transmission".

1> Paging response:

- 2> if the criteria for performing cell update with the cause specified above in the current subclause is not met; and
- 2> if the UE in URA\_PCH or CELL\_PCH state, receives a PAGING TYPE 1 message fulfilling the conditions for initiating a cell update procedure specified in subclause 8.1.2.3:
  - 3> perform cell update using the cause "paging response".

1> Radio link failure:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:
  - 3> if the UE is in CELL\_DCH state and the criteria for radio link failure is met as specified in subclause 8.5.6; or
  - 3> if the transmission of the UE CAPABILITY INFORMATION message fails as specified in subclause 8.1.6.6:
    - 4> perform cell update using the cause "radio link failure".

1> Re-entering service area:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL\_FACH or CELL\_PCH state; and
- 2> if the UE has been out of service area and re-enters service area before T307 or T317 expires:
  - 3> perform cell update using the cause "re-entering service area".

1> RLC unrecoverable error:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE detects RLC unrecoverable error [16] in an AM RLC entity:
  - 3> perform cell update using the cause "RLC unrecoverable error".

1> Cell reselection:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:
  - 3> if the UE is in CELL\_FACH or CELL\_PCH state and the UE performs cell re-selection; or
  - 3> if the UE is in CELL\_FACH state and the variable C\_RNTI is empty:



4> perform cell update using the cause "cell reselection".

1> Periodical cell update:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
  - 2> if the UE is in CELL\_FACH or CELL\_PCH state; and
  - 2> if the timer T305 expires; and
  - 2> if the criteria for "in service area" as specified in subclause 8.5.5.2 is fulfilled; and
  - 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":
- 3> perform cell update using the cause "periodical cell update".

A UE in URA\_PCH state shall initiate the URA update procedure in the following cases:

1> URA reselection:

- 2> if the UE detects that the current URA assigned to the UE, stored in the variable URA\_IDENTITY, is not present in the list of URA identities in system information block type 2; or
  - 2> if the list of URA identities in system information block type 2 is empty; or
  - 2> if the system information block type 2 can not be found:
- 3> perform URA update using the cause "change of URA".

1> Periodic URA update:

- 2> if the criteria for performing URA update with the causes as specified above in the current subclause are not met; and
  - 2> if the timer T305 expires while the UE is in the service area; and
  - 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":
- 3> perform URA update using the cause "periodic URA update".

When initiating the URA update or cell update procedure, the UE shall:

1> stop timer T305;

1> if the UE is in CELL\_DCH state:

- 2> in the variable RB\_TIMER\_INDICATOR, set the IE "T314 expired" and the IE "T315 expired" to FALSE;
  - 2> if the stored values of the timer T314 and timer T315 are both equal to zero; or
  - 2> if the stored value of the timer T314 is equal to zero and there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED\_RABS the value of the IE "Re-establishment timer" is set to "useT315":
- 3> release all its radio resources;
- 3> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- 3> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 3> clear the variable ESTABLISHED\_RABS;
- 3> enter idle mode;

- 3> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2;
- 3> and the procedure ends.
- 2> if the stored value of the timer T314 is equal to zero:
  - 3> release all radio bearers, associated with any radio access bearers for which in the variable ESTABLISHED\_RABS the value of the IE "Re-establishment timer" is set to "useT314";
  - 3> in the variable RB\_TIMER\_INDICATOR set the IE "T314 expired" to TRUE.
- 2> if the stored value of the timer T315 is equal to zero:
  - 3> release all radio bearers associated with any radio access bearers for which in the variable ESTABLISHED\_RABS the value of the IE "Re-establishment timer" is set to "useT315";
  - 3> in the variable RB\_TIMER\_INDICATOR set the IE "T315 expired" to TRUE.
- 2> if the stored value of the timer T314 is greater than zero:
  - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED\_RABS the value of the IE "Re-establishment timer" is set to "useT314":
    - 4> start timer T314.
  - 3> if there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED\_RABS the value of the IE "Re-establishment timer" is set to "useT314" or "useT315":
    - 4> start timer T314.
- 2> if the stored value of the timer T315 is greater than zero:
  - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED\_RABS the value of the IE "Re-establishment timer" is set to "useT315":
    - 4> start timer T315.
- 2> for the released radio bearer(s):
  - 3> delete the information about the radio bearer from the variable ESTABLISHED\_RABS;
  - 3> when all radio bearers belonging to the same radio access bearer have been released:
    - 4> indicate local end release of the radio access bearer to upper layers using the CN domain identity together with the RAB identity stored in the variable ESTABLISHED\_RABS;
    - 4> delete all information about the radio access bearer from the variable ESTABLISHED\_RABS.
- 2> select a suitable UTRA cell according to [4];
- 2> set the variable ORDERED\_RECONFIGURATION to FALSE.
- 1> set the variables PROTOCOL\_ERROR\_INDICATOR, FAILURE\_INDICATOR, UNSUPPORTED\_CONFIGURATION and INVALID\_CONFIGURATION to FALSE;
- 1> set the variable CELL\_UPDATE\_STARTED to TRUE;
- 1> if the UE is not already in CELL\_FACH state:
  - 2> move to CELL\_FACH state;
  - 2> select PRACH according to subclause 8.5.17;
  - 2> select Secondary CCPCH according to subclause 8.5.19;
  - 2> use the transport format set given in system information as specified in subclause 8.6.5.1.
- 1> if the UE performs cell re-selection:

- 2> clear the variable C\_RNTI; and
- 2> stop using that C\_RNTI just cleared from the variable C\_RNTI in MAC.
- 1> set CFN in relation to SFN of current cell according to subclause 8.5.15;
- 1> in case of a cell update procedure:
  - 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3;
  - 2> submit the CELL UPDATE message for transmission on the uplink CCCH.
- 1> in case of a URA update procedure:
  - 2> set the contents of the URA UPDATE message according to subclause 8.3.1.3;
  - 2> submit the URA UPDATE message for transmission on the uplink CCCH.
- 1> set counter V302 to 1;
- 1> start timer T302 when the MAC layer indicates success or failure in transmitting the message.

### 8.3.1.3 CELL UPDATE / URA UPDATE message contents to set

In case of cell update procedure the UE shall transmit a CELL UPDATE message.

In case of URA update procedure the UE shall transmit a URA UPDATE message.

The UE shall set the IEs in the CELL UPDATE message as follows:

- 1> set the IE "Cell update cause" corresponding to the cause specified in subclause 8.3.1.2 that is valid when the CELL UPDATE message is submitted to lower layers for transmission;

NOTE: During the time period starting from when a cell update procedure is initiated by the UE until when the procedure ends, additional CELL UPDATE messages may be transmitted by the UE with different causes.

- 1> set the IE "U-RNTI" to the value of the variable U\_RNTI;
- 1> if the value of the variable PROTOCOL\_ERROR\_INDICATOR is TRUE:
  - 2> include the IE "RRC transaction identifier"; and
    - 3> set it to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - 2> include and set the IE "failure cause" to the cause value "protocol error";
  - 2> set the IE "Protocol error information" set to the value of the variable PROTOCOL\_ERROR\_INFORMATION.
- 1> if the value of the variable FAILURE\_INDICATOR is TRUE:
  - 2> include the IE "RRC transaction identifier"; and
    - 3> set it to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS.
  - 2> include and set the IE "failure cause" to the value of the variable FAILURE\_CAUSE.
- 1> include the START values for each CN domain, calculated according to subclause 8.5.9;
- 1> if an unrecoverable error [16] in any of the AM RLC entities for the signalling radio bearers RB2, RB3 or RB4 is detected:
  - 2> set the IE "AM\_RLC error indication (RB2, RB3 or RB4)" to TRUE.

1> otherwise:

2> set the IE "AM\_RLC error indication (RB2, RB3 or RB4)" to FALSE.

1> if an unrecoverable error [16] in any of the AM RLC entities for the RB5 or upward is detected:

2> set the IE "AM\_RLC error indication (RB>4)" to TRUE.

1> otherwise:

2> set the IE "AM\_RLC error indication (RB>4)" to FALSE.

1> set the IE "RB Timer indicator" to the value of the variable RB\_TIMER\_INDICATOR.

The UE shall set the IEs in the URA UPDATE message as follows:

1> set the IE "U-RNTI" to the value of the variable U\_RNTI;

1> set the IE "URA update cause" corresponding to which cause as specified in subclause 8.3.1.2 that is valid when the URA UPDATE message is submitted to lower layers for transmission;

NOTE: During the time period starting from when a URA update procedure is initiated by the UE until when the procedure ends, additional URA UPDATE messages may be transmitted by the UE with different causes, depending on which causes are valid for the respective URA UPDATE message.

2> if the value of the variable PROTOCOL\_ERROR\_INDICATOR is TRUE:

3> include the IE "RRC transaction identifier"; and

4> set it to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS;

3> set the IE "Protocol error indicator" to TRUE;

3> include the IE "Protocol error information" set to the value of the variable PROTOCOL\_ERROR\_INFORMATION.

2> if the value of the variable PROTOCOL\_ERROR\_INDICATOR is FALSE:

3> if the value of the variable INVALID\_CONFIGURATION is TRUE:

4> include the IE "RRC transaction identifier"; and

4> set it to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS;

4> set the IE "Protocol error indicator" to TRUE;

4> include the IE "Protocol error information" set to "Information element value not comprehended";

3> if the value of the variable INVALID\_CONFIGURATION is FALSE:

4> set the IE "Protocol error indicator" to FALSE.

#### 8.3.1.4 T305 expiry and the UE detects "out of service area"

When the T305 expires and the UE detects that it is "out of service area" as specified in subclause 8.5.5.1, the UE shall

1> start timer T307;

1> re-select to a new cell, as described in [4].

##### 8.3.1.4.1 Re-entering "in service area"

If the UE detects "in service area" according to subclause 8.5.5.2 and timer T307 or T317 is running, the UE shall:

1> check the value of V302; and

- 1> if V302 is equal to or smaller than N302:
  - 2> in case of a cell update procedure:
    - 3> set the contents of the CELL UPDATE message according to subclause 8.3.1.3;
    - 3> submit the CELL UPDATE message for transmission on the uplink CCCH.
  - 2> in case of a URA update procedure:
    - 3> set the contents of the URA UPDATE message according to subclause 8.3.1.3;
    - 3> submit the URA UPDATE message for transmission on the uplink CCCH.
  - 2> increment counter V302;
  - 2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.
- 1> if V302 is greater than N302:
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
  - 2> in case of a cell update procedure:
    - 3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - 2> in case of a URA update procedure:
    - 3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - 2> release all its radio resources;
  - 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 2> clear the variable ESTABLISHED\_RABS;
  - 2> enter idle mode;
  - 2> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2;
  - 2> and the procedure ends.

#### 8.3.1.4.2 Expiry of timer T307

When the T307 expires, the UE shall:

- 1> move to idle mode;
- 1> release all dedicated resources;
- 1> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- 1> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 1> clear the variable ESTABLISHED\_RABS;
- 1> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2;

1> and the procedure ends.

### 8.3.1.5 Reception of an CELL UPDATE/URA UPDATE message by the UTRAN

When the UTRAN receives a CELL UPDATE/URA UPDATE message, the UTRAN should:

- 1> in case the procedure was triggered by reception of a CELL UPDATE:
  - 2> if SRNS relocation was performed:
    - 3> transmit a CELL UPDATE CONFIRM message on the downlink DCCH.
  - 2> otherwise:
    - 3> update the START value for each CN domain as maintained in UTRAN (refer to subclause 8.5.9) with "START" in the IE "START list" for the CN domain as indicated by "CN domain identity" in the IE "START list";
    - 3> transmit a CELL UPDATE CONFIRM message on the downlink DCCH or optionally on the CCCH but only if ciphering is not required; and
    - 3> optionally set the IE "RLC re-establish indicator (RB2, RB3 and RB4)" and/or the IE "RLC re-establish indicator (RB5 and upwards)" to TRUE to request a RLC re-establishment in the UE, in which case the corresponding RLC entities should also be re-established in UTRAN; or
- 1> in case the procedure was triggered by reception of a URA UPDATE:
  - 2> if SRNS relocation was performed:
    - 3> transmit a URA UPDATE CONFIRM message on the downlink DCCH.
  - 2> otherwise:
    - 3> transmit a URA UPDATE CONFIRM message on the downlink CCCH or DCCH.
  - 2> include the IE "URA identity" in the URA UPDATE CONFIRM message in a cell where multiple URA identifiers are broadcast; or
- 1> initiate an RRC connection release procedure (see subclause 8.1.4) by transmitting an RRC CONNECTION RELEASE message on the downlink CCCH. In particular UTRAN should:
  - 2> if the CELL UPDATE message was sent because of an unrecoverable error in RB2, RB3 or RB4:
    - 3> initiate an RRC connection release procedure (subclause 8.1.4) by transmitting an RRC CONNECTION RELEASE message on the downlink CCCH.

UTRAN may transmit several CELL UPDATE CONFIRM/URA UPDATE CONFIRM messages to increase the probability of proper reception of the message by the UE. In such a case, the RRC SN for these repeated messages should be the same.

### 8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U\_RNTI; or
- if the message is received on DCCH:

the UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

- 1> stop timer T302;
- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:
  - 2> includes "RB information elements"; and/or
  - 2> includes "Transport channel information elements"; and/or
  - 2> includes "Physical channel information elements"; and
  - 2> if the variable ORDERED\_RECONFIGURATION is set to FALSE:
    - 3> set the variable ORDERED\_RECONFIGURATION to TRUE.
- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:
  - 2> if the IE "Frequency info" is included in the message:
    - 3> if the IE "RRC State Indicator" is set to the value "CELL\_FACH" or "CELL\_PCH" or "URA\_PCH":
      - 4> select a suitable UTRA cell according to [4] on that frequency;
      - 4> act as specified in subclause 8.3.1.12.
    - 3> if the IE "RRC State Indicator" is set to the value "CELL\_DCH":
      - 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.
  - 2> use the transport channel(s) applicable for the physical channel types that is used; and
  - 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):
    - 3> use the TFS given in system information.
  - 2> if none of the TFS stored is compatible with the physical channel:
    - 3> delete the stored TFS;
    - 3> use the TFS given in system information.
  - 2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:
    - 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);
    - 3> if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN is set to "Started":
      - 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN.
  - 2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:
    - 3> for radio bearers with RB identity 5 and upwards:
      - 4> re-establish the AM RLC entities;
      - 4> if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Started":

5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS.

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected".

1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL\_DCH state, it shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL\_FACH state, it shall

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> not prohibit periodical status transmission in RLC;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

If the UE after state transition enters URA\_PCH or CELL\_PCH state, it shall:

1> prohibit periodical status transmission in RLC;

1> clear the variable C\_RNTI;

1> stop using that C\_RNTI just cleared from the variable C\_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID\_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL\_FACH state; and

1> the contents of the variable C\_RNTI are empty;

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or



- 3> the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:
  - 4> abort the ongoing integrity and/or ciphering reconfiguration;
  - 4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
    - 5> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
    - 5> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
  - 4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
    - 5> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
    - 5> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 2> in case of a URA update procedure:
  - 3> stop the URA update procedure;
  - 3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 3> continue with a cell update procedure.
- 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";
- 2> submit the CELL UPDATE message for transmission on the uplink CCCH;
- 2> increment counter V302;
- 2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.
- 1> if V302 is greater than N302:
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
  - 2> in case of a cell update procedure:
    - 3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - 2> in case of a URA update procedure:
    - 3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - 2> release all its radio resources;
  - 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 2> clear the variable ESTABLISHED\_RABS;
  - 2> enter idle mode;
  - 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
  - 2> and the procedure ends.

If the UE after the state transition remains in CELL\_FACH state; and

- a C-RNTI is stored in the variable C\_RNTI;

or

- the UE after the state transition moves to another state than the CELL\_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
  - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:
  - 2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;
  - 2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":
    - 3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.
- 1> in case of a cell update procedure:
  - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry.
- 1> in case of a URA update procedure:
  - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP\_SN\_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":
  - 2> if the variable PDCP\_SN\_INFO is empty:
    - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
  - 2> else:
    - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
    - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
  - 2> re-establish RB2;
  - 2> for the downlink and the uplink, apply the ciphering configuration as follows:

- 3> if the received re-configuration message included the IE "Ciphering Mode Info":
  - 4> use the ciphering configuration in the received message when transmitting the response message.
- 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
  - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
    - 5> consider the new ciphering configuration to include the received new keys;
    - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
  - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST\_CONFIGURED\_CN\_DOMAIN:
    - 5> consider the new ciphering configuration to include the keys associated with the LATEST\_CONFIGURED\_CN\_DOMAIN;
    - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST\_CONFIGURED\_CN\_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
  - 4> apply the new ciphering configuration immediately following RLC re-establishment.
- 3> else:
  - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
  - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED\_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
  - 2> set the variable ORDERED\_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP\_SN\_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
  - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
    - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
    - 3> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and

- 3> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
  - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
  - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - 3> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE.
- 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> in case of a cell update procedure:
  - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
  - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL\_UPDATE\_STARTED to FALSE;
- 1> clear the variable SECURITY\_MODIFICATION.

The procedure ends.

### 8.3.1.7 Transmission of a response message to UTRAN

If the CELL UPDATE CONFIRM message:

- includes the IE "RB information to release list":

the UE shall:

- 1> transmit a RADIO BEARER RELEASE COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE "RB information to release list"; and
- includes the IE "RB information to reconfigure list"; or
- includes the IE "RB information to be affected list":

the UE shall:

- 1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE "RB information to release list", nor the IE "RB information to reconfigure list", nor the IE "RB information to be affected list"; and
- includes "Transport channel information elements":

the UE shall:

- 1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE "RB information to release list", nor the IE "RB information to reconfigure list", nor the IE "RB information to be affected list"; and
- does not include "Transport channel information elements"; and
- includes "Physical channel information elements":

the UE shall:

- 1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE "RB information to release list", nor the IE "RB information to reconfigure list", nor the IE "RB information to be affected list"; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes the IE "New C-RNTI"; or
- includes the IE "New U-RNTI"; or
- includes the IE "Downlink counter synchronisation info" and the IE "New U-RNTI":

the UE shall:

- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New C-RNTI"; and
- does not include the IE "New U-RNTI":

the UE shall:

- 1> transmit no response message.

If the URA UPDATE CONFIRM message:

- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes any one or both of the IEs "New C-RNTI" and "New U-RNTI"; or
- includes the IE "Downlink counter synchronisation info" and the IE "New U-RNTI":

the UE shall:

- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the URA UPDATE CONFIRM message:

- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New U-RNTI"; and
- does not include the IE "New C-RNTI":

the UE shall:

- 1> transmit no response message.

If the new state is CELL\_DCH or CELL\_FACH, the response message shall be transmitted using the new configuration after the state transition., and the UE shall:

- 1> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
  - 2> when RLC has confirmed the successful transmission of the response message:
    - 3> if the variable PDCP\_SN\_INFO is empty:
      - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".
    - 3> else:
      - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";
      - 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
    - 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
    - 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
    - 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
    - 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].
  - 2> if the variable PDCP\_SN\_INFO is empty:
    - 2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
      - 3> when RLC has confirmed the successful transmission of the response message:
        - 4> continue with the remainder of the procedure.
    - 2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message did not contain the IE "Ciphering mode info":
      - 3> when RLC has been requested to transmit the response message,
        - 4> continue with the remainder of the procedure.

- 1> if the variable PDCP\_SN\_INFO non-empty:
  - 2> when RLC has confirmed the successful transmission of the response message:
    - 3> for each radio bearer in the variable PDCP\_SN\_INFO:
      - 4> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
        - 5> configure the RLC entity for that radio bearer to "continue".
    - 3> continue with the remainder of the procedure.

If the new state is CELL\_PCH or URA\_PCH, the response message shall be transmitted in CELL\_FACH state, and the UE shall:

- 1> when RLC has confirmed the successful transmission of the response message:
  - 2> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
    - 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
    - 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
    - 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
    - 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].
  - 2> for each radio bearer in the variable PDCP\_SN\_INFO:
    - 3> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
      - 4> configure the RLC entity for that radio bearer to "continue".
  - 2> enter the new state (CELL\_PCH or URA\_PCH, respectively).
- 1> continue with the remainder of the procedure.

### 8.3.1.7a Physical channel failure

If the received CELL UPDATE CONFIRM message would cause the UE to transit to CELL\_DCH state:

- 1> if the UE failed to establish the physical channel(s) indicated in the received CELL UPDATE CONFIRM message according to the criteria defined in subclause 8.5.4 are not fulfilled; or
- 1> the received CELL UPDATE CONFIRM message does not contain dedicated physical channels:

the UE shall:

- 1> if, caused by the received CELL UPDATE CONFIRM message
  - 2> the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or
  - 2> the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:
    - 3> abort the ongoing integrity and/or ciphering reconfiguration;
    - 3> if the received CELL UPDATE CONFIRM message contained the IE "Ciphering mode info":
      - 4> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
      - 4> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.

- 3> if the received CELL UPDATE CONFIRM message contained the IE "Integrity protection mode info":
  - 4> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - 4> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> if the variable ORDERED\_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message:
  - 2> set the variable ORDERED\_RECONFIGURATION to FALSE.
- 1> if V302 is equal to or smaller than N302:
  - 2> select a suitable UTRA cell according to [4];
  - 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "Radio link failure";
  - 2> submit the CELL UPDATE message for transmission on the uplink CCCH;
  - 2> increment counter V302;
  - 2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.
- 1> if V302 is greater than N302:
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
  - 2> in case of a cell update procedure:
    - 3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - 2> release all its radio resources;
  - 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 2> clear the variable ESTABLISHED\_RABS;
  - 2> set the variable CELL\_UPDATE\_STARTED to FALSE;
  - 2> enter idle mode.

### 8.3.1.8 Unsupported configuration by the UE

If the UE does not support the configuration in the CELL UPDATE CONFIRM message and/or the variable UNSUPPORTED\_CONFIGURATION is set to TRUE, the UE shall:

- 1> if V302 is equal to or smaller than N302, the UE shall:
  - 2> if, caused by the received CELL UPDATE CONFIRM message
    - 3> the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or
    - 3> the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:
      - 4> abort the ongoing integrity and/or ciphering reconfiguration;
      - 4> if the received CELL UPDATE CONFIRM message contained the IE "Ciphering mode info":
        - 5> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and



- 5> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 4> if the received CELL UPDATE CONFIRM message contained the IE "Integrity protection mode info":
  - 5> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - 5> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 2> if the variable ORDERED\_RECONFIGURATION is set to TRUE due to the received CELL UPDATE CONFIRM message in case of a cell update procedure:
  - 3> set the variable ORDERED\_RECONFIGURATION to FALSE.
  - 2> set the variable FAILURE\_INDICATOR to TRUE;
  - 2> set the variable FAILURE\_CAUSE to "Unsupported configuration";
  - 2> set the content of the CELL UPDATE message according to subclause 8.3.1.3;
  - 2> submit the CELL UPDATE message for transmission on the uplink CCCH;
  - 2> increment counter V302;
  - 2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.
- 1> if V302 is greater than N302, the UE shall:
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
  - 2> clear the variable PDCP\_SN\_INFO;
  - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS;
  - 2> release all its radio resources;
  - 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 2> clear the variable ESTABLISHED\_RABS;
  - 2> set the variable CELL\_UPDATE\_STARTED to FALSE;
  - 2> enter idle mode;
  - 2> Other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
  - 2> and the procedure ends.

### 8.3.1.9 Invalid configuration

If the variable INVALID\_CONFIGURATION is set to TRUE, the UE shall:

- 1> if V302 is equal to or smaller than N302:
  - 2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message
    - 3> the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or
    - 3> the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:

- 4> abort the ongoing integrity and/or ciphering reconfiguration;
- 4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
  - 5> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - 5> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
  - 5> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - 5> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 2> if the variable ORDERED\_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
  - 3> set the variable ORDERED\_RECONFIGURATION to FALSE.
- 2> in case of a cell update procedure:
  - 3> set the variable FAILURE\_INDICATOR to TRUE;
  - 3> set the variable FAILURE\_CAUSE to "Invalid configuration";
  - 3> set the contents of the CELL UPDATE message according to subclause 8.3.1.3;
  - 3> submit the CELL UPDATE message for transmission on the uplink CCCH.
- 2> in case of a URA update procedure:
  - 3> set the contents of the URA UPDATE message according to subclause 8.3.1.3;
  - 3> submit the URA UPDATE message for transmission on the uplink CCCH.
- 2> increment counter V302;
- 2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.
- 1> if V302 is greater than N302:
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
  - 2> clear the variable PDCP\_SN\_INFO;
  - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS;
  - 2> release all its radio resources;
  - 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 2> clear the variable ESTABLISHED\_RABS;
  - 2> set the variable CELL\_UPDATE\_STARTED to FALSE;
  - 2> enter idle mode;
  - 2> Other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

2> the procedure ends.

### 8.3.1.9a Incompatible simultaneous reconfiguration

In case of a cell update procedure and if the received CELL UPDATE CONFIRM message

- includes "RB information elements"; and/or
- includes "Transport channel information elements"; and/or
- includes "Physical channel information elements"; and
- the variable ORDERED\_RECONFIGURATION is set to TRUE because of an ongoing Reconfiguration procedure;

or

If the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION is set to TRUE due to the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

the UE shall:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message

3> the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and

5> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and

5> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.

2> if the variable ORDERED\_RECONFIGURATION is set to TRUE due to the received CELL UPDATE CONFIRM message in case of a cell update procedure:

3> set the variable ORDERED\_RECONFIGURATION to FALSE.

2> set the variable FAILURE\_INDICATOR to TRUE;

2> set the variable FAILURE\_CAUSE to "Incompatible simultaneous reconfiguration";

2> set the content of the CELL UPDATE message according to subclause 8.3.1.3;

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;

2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;

- 2> clear the variable PDCP\_SN\_INFO;
- 2> set the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION to FALSE;
- 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS;
- 2> release all its radio resources;
- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 2> clear the variable ESTABLISHED\_RABS;
- 2> set the variable CELL\_UPDATE\_STARTED to FALSE;
- 2> enter idle mode;
- 2> Other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> the procedure ends.

### 8.3.1.9b Security reconfiguration during Cell update procedure

If:

- the variable CELL\_UPDATE\_STARTED is set to TRUE; and
- the UE receives a SECURITY MODE COMMAND message:

the UE shall

- 1> ignore the received SECURITY MODE COMMAND and continue with any ongoing processes and procedures as if the SECURITY MODE COMMAND message has not been received.

### 8.3.1.10 Confirmation error of URA ID list

If the URA UPDATE CONFIRM message causes a confirmation error of URA identity list as specified in subclause 8.6.2.1 the UE shall:

- 1> check the value of V302; and
- 1> if V302 is smaller or equal than N302:
  - 2> if, caused by the received URA UPDATE CONFIRM message
    - 3> the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or
    - 3> the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:
      - 4> abort the ongoing integrity and/or ciphering reconfiguration;
      - 4> if the received URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
        - 5> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
        - 5> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
      - 4> if the received URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
        - 5> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
        - 5> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.

- 2> set the IEs in the URA UPDATE message according to subclause 8.3.1.3;
  - 2> submit the URA UPDATE message for transmission on the uplink CCCH;
  - 2> increment counter V302;
  - 2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.
- 1> if V302 is greater than N302:
- 2> release all its radio resources;
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
  - 2> clear the variable PDCP\_SN\_INFO;
  - 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 2> clear the variable ESTABLISHED\_RABS;
  - 2> set the variable CELL\_UPDATE\_STARTED to FALSE;
  - 2> enter idle mode;
  - 2> perform the actions specified in subclause 8.5.2 when entering idle mode from connected mode;
  - 2> the procedure ends.

### 8.3.1.11 Invalid CELL UPDATE CONFIRM/URA UPDATE CONFIRM message

If the UE receives an CELL UPDATE CONFIRM/URA UPDATE CONFIRM message, which contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows:

- 1> If V302 is equal to or smaller than N302, the UE shall:
- 2> set the variable PROTOCOL\_ERROR\_INDICATOR to TRUE;
  - 2> in case of a cell update procedure:
    - 3> set the contents of the CELL UPDATE message according to subclause 8.3.1.3;
    - 3> submit the CELL UPDATE message for transmission on the uplink CCCH.
  - 2> in case of a URA update procedure:
    - 3> set the contents of the URA UPDATE message according to subclause 8.3.1.3;
    - 3> submit the URA UPDATE message for transmission on the uplink CCCH.
  - 2> increment counter V302;
  - 2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.
- 1> if V302 is greater than N302, the UE shall:
- 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - 2> in case of a cell update procedure:
    - 3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

- 2> in case of a URA update procedure:
  - 3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 2> clear the variable ESTABLISHED\_RABS;
- 2> set the variable CELL\_UPDATE\_STARTED to FALSE;
- 2> release all its radio resources;
- 2> enter idle mode;
- 2> Other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> the procedure ends.

#### 8.3.1.12 T302 expiry or cell reselection

If any or several of the following conditions are true:

- expiry of timer T302;
- reselection to another UTRA cell (including the previously serving cell) before completion of the cell update or URA update procedure;

the UE shall:

- 1> stop T302 if it is running;
- 1> if the UE was in CELL\_DCH state prior to the initiation of the procedure; and
  - 2> if timers T314 and T315 have elapsed while T302 was running:
    - 3> enter idle mode.
    - 3> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers. Other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2.
    - 3> and the procedure ends.
  - 2> if timer T314 has elapsed while T302 was running and,
    - 3> if "T314 expired" in the variable RB\_TIMER\_INDICATOR is set to FALSE and
    - 3> if T315 is still running:
      - 4> release locally all radio bearers which are associated with any radio access bearers for which in the variable ESTABLISHED\_RABS the value of the IE "Re-establishment timer" is set to "useT314";
      - 4> indicate release of those radio access bearers to upper layers;
      - 4> delete all information about those radio access bearers from the variable ESTABLISHED\_RABS;
      - 4> set "T314 expired" in the variable RB\_TIMER\_INDICATOR to TRUE.
  - 2> if timer T315 has elapsed while T302 was running and,

- 3> if "T315 expired" in the variable RB\_TIMER\_INDICATOR is set to FALSE and,
  - 3> if T314 is still running:
    - 4> release locally all radio bearers which are associated with any radio access bearers for which in the variable ESTABLISHED\_RABS the value of the IE "Re-establishment timer" is set to "useT315";
    - 4> indicate release of those radio access bearers to upper layers;
    - 4> delete all information about those radio access bearers from the variable ESTABLISHED\_RABS;
    - 4> set "T315 expired" in the variable RB\_TIMER\_INDICATOR to TRUE.
- 1> check whether it is still in "in service area" (see subclause 8.5.5.2);
- 1> if the variable ORDERED\_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
  - 2> set the variable ORDERED\_RECONFIGURATION to FALSE.
- 1> in case of a cell update procedure:
  - 2> clear any entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
  - 2> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS.

If the UE detects "in service area" if it has not entered idle mode, and:

- 1> if V302 is equal to or smaller than N302, the UE shall:
  - 2> if the UE performed cell re-selection:
    - 3> delete its C-RNTI.
  - 2> in case of a cell update procedure:
    - 3> set the contents of the CELL UPDATE message according to subclauses 8.3.1.3 and 8.5.10;
    - 3> if a CELL UPDATE CONFIRM message was received and caused the IE "Reconfiguration" in the variable CIPHERING\_STATUS to be set to TRUE and/or the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to be set to TRUE:
      - 4> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM message:
        - 5> apply the new security (integrity protection) configuration received in the CELL UPDATE CONFIRM on the CELL UPDATE message.
    - 3> submit the CELL UPDATE message for transmission on the uplink CCCH.
  - 2> in case of a URA update procedure:
    - 3> set the contents of the URA UPDATE message according to subclauses 8.3.1.3 and 8.5.10;
    - 3> if a URA UPDATE CONFIRM message was received and caused the IE "Reconfiguration" in the variable CIPHERING\_STATUS to be set to TRUE and/or the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:
      - 4> if the IE "Downlink counter synchronisation info" was included in the received URA UPDATE CONFIRM message:
        - 5> apply the new security (integrity protection) configuration received in the URA UPDATE CONFIRM on the URA UPDATE message.

- 3> submit the URA UPDATE message for transmission on the uplink CCCH.
- 2> increment counter V302;
- 2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.
- 1> if V302 is greater than N302, the UE shall:
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
  - 2> clear the variable PDCP\_SN\_INFO;
  - 2> in case of a cell update procedure:
    - 3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - 2> in case of a URA update procedure:
    - 3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - 2> release all its radio resources;
  - 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 2> clear the variable ESTABLISHED\_RABS;
  - 2> set the variable CELL\_UPDATE\_STARTED to FALSE;
  - 2> enter idle mode;
  - 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
  - 2> and the procedure ends.

If the UE does not detect "in service area", it shall:

- 1> continue searching for "in service area".

### 8.3.1.13 T314 expiry

Upon expiry of timer T314 the UE shall:

- 1> if timer T302 is running:
  - 2> continue awaiting response message from UTRAN.
- 1> if timer T302 is not running and timer T315 is running:
  - 2> set IE "T314 expired" in variable RB\_TIMER\_INDICATOR to TRUE;
  - 2> release locally all radio bearers which are associated with any radio access bearers for which in the variable ESTABLISHED\_RABS the value of the IE "Re-establishment timer" is set to "useT314";
  - 2> indicate release of those radio access bearers to upper layers;
  - 2> delete all information about those radio access bearers from the variable ESTABLISHED\_RABS.
- 1> if timers T302 and T315 are not running:



- 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
- 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
- 2> clear the variable PDCP\_SN\_INFO;
- 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS;
- 2> release all its radio resources;
- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 2> clear the variable ESTABLISHED\_RABS;
- 2> set the variable CELL\_UPDATE\_STARTED to FALSE;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

#### 8.3.1.14 T315 expiry

Upon expiry of timer T315 the UE shall:

- 1> if timer T302 is running:
  - 2> continue awaiting response message from UTRAN.
- 1> if timer T302 is not running and timer T314 is running:
  - 2> set IE "T315 expired" in variable RB\_TIMER\_INDICATOR to TRUE;
  - 2> release locally all radio bearers which are associated with any radio access bearers for which in the variable ESTABLISHED\_RABS the value of the IE "Re-establishment timer" is set to "use T315";
  - 2> indicate release of those radio access bearers to upper layers;
  - 2> delete all information about those radio access bearers from the variable ESTABLISHED\_RABS.
- 1> if timers T302 and T314 are not running:
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
  - 2> clear the variable PDCP\_SN\_INFO;
  - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS;
  - 2> release all its radio resources;
  - 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - 2> clear the variable ESTABLISHED\_RABS;

- 2> set the variable CELL\_UPDATE\_STARTED to FALSE;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

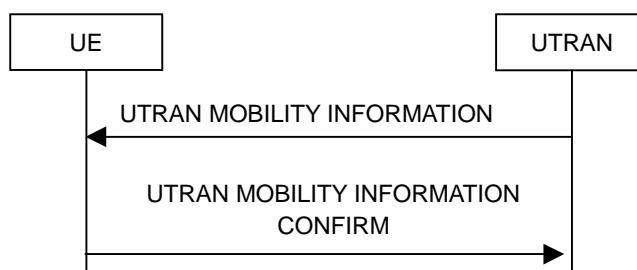
### 8.3.1.15 Reception of the UTRAN MOBILITY INFORMATION CONFIRM message by the UTRAN

See subclause 8.3.3.4.

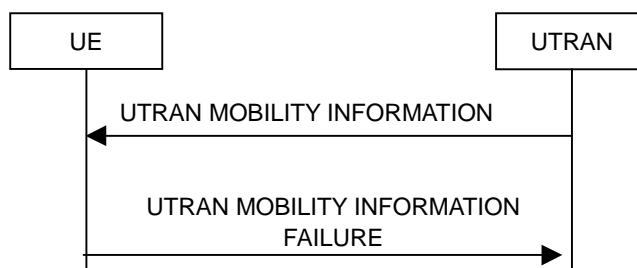
## 8.3.2 URA update

See subclause 8.3.1.

## 8.3.3 UTRAN mobility information



**Figure 8.3.3-1: UTRAN mobility information procedure, normal flow**



**Figure 8.3.3-2: UTRAN mobility information procedure, failure case**

### 8.3.3.1 General

The purpose of this procedure is to allocate any one or a combination of the following to a UE in connected mode:

- a new C-RNTI;
- a new U-RNTI;
- other mobility related information.

### 8.3.3.2 Initiation

To initiate the procedure UTRAN transmits a UTRAN MOBILITY INFORMATION message to the UE on the downlink DCCH using AM or UM RLC. In case of SRNS relocation, the message is sent using UM RLC only.

### 8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- 1> act on received information elements as specified in subclause 8.6;
- 1> if the IE "UE Timers and constants in connected mode" is present:
  - 2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS\_AND\_CONSTANTS, replacing any previously stored value for each timer and constant; and
  - 2> for each updated timer value:
    - 3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

- 2> for each updated constant value:
  - 3> start using the new value directly;
- 1> if the IE "CN domain specific DRX cycle length coefficient" is present:
  - 2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and
  - 2> use the value to determine the connected mode paging occasions according to [4].
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected";
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
  - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP\_SN\_INFO.
- 1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":
  - 2> if the variable PDCP\_SN\_INFO is empty:
    - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
  - 2> else:
    - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
    - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to " stop".

- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
  - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
    - 4> use the ciphering configuration in the received message when transmitting the response message.
  - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
    - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
      - 5> consider the new ciphering configuration to include the received new keys;
      - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
    - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST\_CONFIGURED\_CN\_DOMAIN:
      - 5> consider the new ciphering configuration to include the keys associated with the LATEST\_CONFIGURED\_CN\_DOMAIN;
      - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST\_CONFIGURED\_CN\_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
    - 4> apply the new ciphering configuration immediately following RLC re-establishment.
  - 3> else:
    - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- 1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
  - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:
  - 2> when RLC has confirmed the successful transmission of the response message:
    - 3> if the variable PDCP\_SN\_INFO is empty:
      - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".
    - 3> else:
      - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
  - 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
  - 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
  - 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
  - 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].
- 1> if the variable PDCP\_SN\_INFO is empty; and
- 2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
    - 3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
      - 4> perform the actions below.
  - 2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
    - 3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message:
      - 4> perform the actions below.
- 1> if the variable PDCP\_SN\_INFO is non-empty:
- 2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
    - 3> for each radio bearer in the variable PDCP\_SN\_INFO:
      - 4> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
        - 5> configure the RLC entity for that radio bearer to "continue".
    - 3> clear the variable PDCP\_SN\_INFO; and
    - 3> perform the actions below.

The UE shall:

- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
  - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - 2> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
  - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - 2> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> clear the variable SECURITY\_MODIFICATION.

The procedure ends.

#### 8.3.3.4 Reception of an UTRAN MOBILITY INFORMATION CONFIRM message by the UTRAN

When the network receives UTRAN MOBILITY INFORMATION CONFIRM message, UTRAN may delete any old U-RNTI. The procedure ends.

#### 8.3.3.5 Cell re-selection

If the UE performs cell re-selection, the UE shall:

- 1> initiate a cell update procedure according to subclause 8.3.1;
- 1> if the UTRAN MOBILITY INFORMATION message contains the IE "New C-RNTI"; and
- 1> if the UE has not yet submitted the UTRAN MOBILITY INFORMATION CONFIRM message to lower layers for transmission; and
- 1> if the IE "Downlink counter synchronisation info" was not included in the received UTRAN MOBILITY INFORMATION message:
  - 2> transmit a UTRAN MOBILITY INFORMATION FAILURE message on the uplink DCCH using AM RLC;
  - 2> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION FAILURE message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry.
  - 2> set the IE "failure cause" to the cause value "cell update occurred";
  - 2> when the UTRAN MOBILITY INFORMATION FAILURE message has been submitted to lower layers for transmission:
    - 3> continue with any ongoing processes and procedures as if the invalid UTRAN MOBILITY INFORMATION message has not been received and the procedure ends.
- 1> otherwise:
  - 2> if a UTRAN MOBILITY INFORMATION message was received and caused the IE "Reconfiguration" in the variable CIPHERING\_STATUS to be set to TRUE and/or the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to be set to TRUE; and
  - 2> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:
    - 3> apply the new security (integrity protection) configuration received in the UTRAN MOBILITY INFORMATION message on the CELL UPDATE message to be transmitted.
  - 2> continue the procedure normally.

#### 8.3.3.5a Incompatible simultaneous security reconfiguration

If the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION becomes set to TRUE of the received UTRAN MOBILITY INFORMATION message, the UE shall:

- 1> transmit a UTRAN MOBILITY INFORMATION FAILURE message on the uplink DCCH using AM RLC;
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION FAILURE message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;

- 1> set the IE "failure cause" to the cause value "incompatible simultaneous reconfiguration";
- 1> when the UTRAN MOBILITY INFORMATION FAILURE message has been delivered to lower layers for transmission:
  - 2> set the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION to FALSE;
  - 2> continue with any ongoing processes and procedures as if the UTRAN MOBILITY INFORMATION message has not been received;
  - 2> and the procedure ends.

### 8.3.3.6 Invalid UTRAN MOBILITY INFORMATION message

If the UTRAN MOBILITY INFORMATION message contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> transmit a UTRAN MOBILITY INFORMATION FAILURE message on the uplink DCCH using AM RLC;
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION FAILURE message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Rejected transactions" in the variable TRANSACTIONS, and;
- 1> clear that entry.
- 1> set the IE "failure cause" to the cause value "protocol error";
- 1> include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION;
- 1> when the UTRAN MOBILITY INFORMATION FAILURE message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid UTRAN MOBILITY INFORMATION message has not been received;
  - 2> and the procedure ends.

### 8.3.4 Active set update

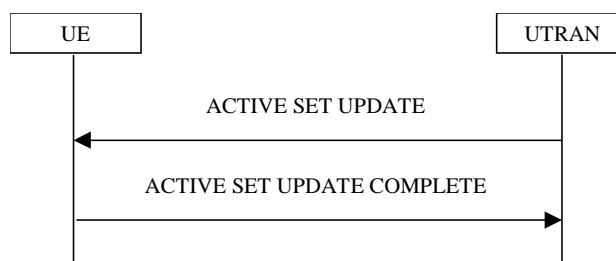


Figure 8.3.4-1: Active Set Update procedure, successful case

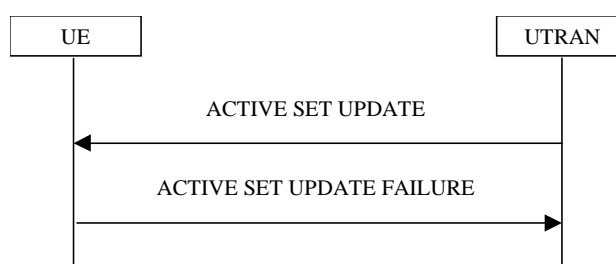


Figure 8.3.4-2: Active Set Update procedure, failure case

### 8.3.4.1 General

The purpose of the active set update procedure is to update the active set of the connection between the UE and UTRAN. This procedure shall be used in CELL\_DCH state. The UE should keep on using the old RLs while configuring the new RLs. Also the UE should keep the transmitter turned on during the procedure. This procedure is only used in FDD mode.

### 8.3.4.2 Initiation

The procedure is initiated when UTRAN orders a UE in CELL\_DCH state, to make the following modifications of the active set of the connection:

- a) Radio link addition;
- b) Radio link removal;
- c) Combined radio link addition and removal.

In case a) and c), UTRAN should:

- 1> prepare new additional radio link(s) in the UTRAN prior to the command to the UE.

In all cases, UTRAN should:

- 1> send an ACTIVE SET UPDATE message on downlink DCCH using AM or UM RLC;
- 1> create active sets that contain at least one common radio link across a DPCH frame boundary as the result of one or multiple (parallel) active set update procedures.

UTRAN should include the following information:

- 1> IE "Radio Link Addition Information": Downlink DPCH information and other optional parameters relevant for the radio links to be added along with the IE "Primary CPICH info" used for the reference ID to indicate which radio link to add. This IE is needed in cases a) and c) listed above;
- 1> IE "Radio Link Removal Information": IE "Primary CPICH info" used for the reference ID to indicate which radio link to remove. This IE is needed in cases b) and c) listed above.

### 8.3.4.3 Reception of an ACTIVE SET UPDATE message by the UE

Upon reception of an ACTIVE SET UPDATE message the UE shall act upon all received information elements as specified in 8.6, unless specified otherwise in the following.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE shall:

- 1> first add the RLs indicated in the IE "Radio Link Addition Information";
- 1> remove the RLs indicated in the IE "Radio Link Removal Information". If the UE active set is full or becomes full, an RL, which is included in the IE "Radio Link Removal Information" for removal, shall be removed before adding RL, which is included in the IE "Radio Link Addition Information" for addition;
- 1> perform the physical layer synchronisation procedure B as specified in [29];
- 1> if the IE "TFCI combining indicator" associated with a radio link to be added is set to TRUE:
  - 2> if a DSCH transport channel is assigned and there is a 'hard' split in the TFCI field:
    - 3> configure Layer 1 to soft-combine TFCI (field 2) of this new link with those links already in the TFCI (field 2) combining set.



- 1> set the IE "RRC transaction identifier" in the ACTIVE SET UPDATE COMPLETE message to the value of "RRC transaction identifier" in the entry for the ACTIVE SET UPDATE message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> transmit an ACTIVE SET UPDATE COMPLETE message on the uplink DCCH using AM RLC without waiting for the completion of the Physical Layer synchronisation B, as specified in [29];
- 1> the procedure ends on the UE side.

#### 8.3.4.4 Unsupported configuration in the UE

If UTRAN instructs the UE to use a configuration that it does not support, the UE shall:

- 1> keep the active set as it was before the ACTIVE SET UPDATE message was received;
- 1> transmit an ACTIVE SET UPDATE FAILURE message on the DCCH using AM RLC;
- 1> set the IE "RRC transaction identifier" in the ACTIVE SET UPDATE FAILURE message to the value of "RRC transaction identifier" in the entry for the ACTIVE SET UPDATE message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> set the IE "failure cause" to "configuration unsupported";
- 1> when the ACTIVE SET UPDATE FAILURE message has been submitted to lower layers for transmission:
  - 2> the procedure ends on the UE side.

#### 8.3.4.5 Invalid configuration

If any of the following conditions are valid:

- a radio link indicated by the IE "Downlink DPCH info for each RL" in the IE "Radio link addition information" has a different spreading factor than the spreading factor for the radio links in the active set that will be established at the time indicated by the IE "Activation time"; and/or
- a radio link in the IE "Radio link addition information" is also present in the IE "Radio Link Removal Information"; and/or
- the IE "Radio Link Removal Information" contains all the radio links which are part of or will be part of the active set at the time indicated by the IE "Activation time"; and/or
- the IE "TX Diversity Mode" is not set to "none" and it indicates a diversity mode that is different from the one currently used (<STTD>, <closed loop mode1>, or <closed loop mode2>) in all or part of the active set; and/or
- a radio link indicated by the IE "Radio Link Removal Information" does not exist in the active set; and/or
- after the removal of all radio links indicated by the IE "Radio Link Removal Information" and the addition of all radio links indicated by the IE "Radio Link Addition Information" the active set would contain more than the maximum allowed number of radio links; and/or
- the variable INVALID\_CONFIGURATION is set to TRUE:

the UE shall:

- 1> keep the active set as it was before the ACTIVE SET UPDATE message was received;
- 1> transmit an ACTIVE SET UPDATE FAILURE message on the DCCH using AM RLC;
- 1> set the IE "RRC transaction identifier" in the ACTIVE SET UPDATE FAILURE message to the value of "RRC transaction identifier" in the entry for the ACTIVE SET UPDATE message in the table "Accepted transactions" in the variable TRANSACTIONS; and

- 1> clear that entry;
- 1> set the IE "failure cause" to "Invalid configuration";
- 1> When the ACTIVE SET UPDATE FAILURE message has been submitted to lower layers for transmission:
  - 2> the procedure ends on the UE side.

If the following condition is valid:

- the active set update procedure results in active sets that do not contain at least one common radio link before and after a DPCH frame boundary:

the UE behaviour is not specified.

#### 8.3.4.5a Void

#### 8.3.4.5b Incompatible simultaneous reconfiguration

If the variable ORDERED\_RECONFIGURATION is set to TRUE, the UE may:

- 1> if the activation time for the procedure that has set variable ORDERED\_RECONFIGURATION and the activation time for the Active Set Update procedure are within a time window of 5 frames:
  - 2> transmit an ACTIVE SET UPDATE FAILURE message on the uplink DCCH using AM RLC;
  - 2> set the IE "RRC transaction identifier" in the ACTIVE SET UPDATE FAILURE message to the value of "RRC transaction identifier" in the entry for the ACTIVE SET UPDATE message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
  - 2> set the IE "failure cause" to the cause value "incompatible simultaneous reconfiguration";
  - 2> when the ACTIVE SET UPDATE FAILURE message has been delivered to lower layers for transmission:
    - 3> continue with any ongoing processes and procedures as if the ACTIVE SET UPDATE message has not been received.
    - 3> and the procedure ends.

#### 8.3.4.6 Reception of the ACTIVE SET UPDATE COMPLETE message by the UTRAN

When the UTRAN has received the ACTIVE SET UPDATE COMPLETE message,

- 1> the UTRAN may remove radio link(s) that are indicated to remove to the UE in case b) and c); and
- 1> the procedure ends on the UTRAN side.

#### 8.3.4.7 Reception of the ACTIVE SET UPDATE FAILURE message by the UTRAN

When the UTRAN has received the ACTIVE SET UPDATE FAILURE message, the UTRAN may delete radio links that were included in the IE "Radio Link Addition Information" for addition. The procedure ends on the UTRAN side.

#### 8.3.4.8 Invalid ACTIVE SET UPDATE message

If the ACTIVE SET UPDATE message contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> transmit a ACTIVE SET UPDATE FAILURE message on the uplink DCCH using AM RLC;

- 1> set the IE "RRC transaction identifier" in the ACTIVE SET UPDATE FAILURE message to the value of "RRC transaction identifier" in the entry for the ACTIVE SET UPDATE message in the table "Rejected transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> set the IE "failure cause" to the cause value "protocol error";
- 1> include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION;
- 1> when the ACTIVE SET UPDATE FAILURE message has been delivered to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid ACTIVE SET UPDATE message has not been received;
  - 2> and the procedure ends.

#### 8.3.4.9 Reception of an ACTIVE SET UPDATE message in wrong state

If the UE is in another state than CELL\_DCH state upon reception of the ACTIVE SET UPDATE message, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> transmit a ACTIVE SET UPDATE FAILURE message on the uplink DCCH using AM RLC;
- 1> set the IE "RRC transaction identifier" in the ACTIVE SET UPDATE FAILURE message to the value of "RRC transaction identifier" in the entry for the ACTIVE SET UPDATE message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> set the IE "failure cause" to the cause value "protocol error";
- 1> include the IE "Protocol error information" with the IE "Protocol error cause" set to "Message not compatible with receiver state";
- 1> when the ACTIVE SET UPDATE FAILURE message has been delivered to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the ACTIVE SET UPDATE message has not been received;
  - 2> and the procedure ends.

### 8.3.5 Hard handover

When performing hard handover with change of frequency, the UE shall:

- 1> stop all intra-frequency and inter-frequency measurement reporting on the cells listed in the variable CELL\_INFO\_LIST. Each stopped measurement is restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

#### 8.3.5.1 Timing re-initialised hard handover

##### 8.3.5.1.1 General

The purpose of the timing re-initialised hard handover procedure is to remove all the RL(s) in the active set and establish new RL(s) along with a change in the UL transmission timing and the CFN in the UE according to the SFN of the target cell.(see subclause 8.5.15).

This procedure is initiated when UTRAN does not know the target SFN timing before hard handover.

### 8.3.5.1.2 Initiation

Timing re-initialised hard handover initiated by the UTRAN is normally performed by using the procedure "Physical channel reconfiguration" (subclause 8.2.6), but may also be performed by using either one of the following procedures:

- "radio bearer establishment" (subclause 8.2.1);
- "Radio bearer reconfiguration" (subclause 8.2.2);
- "Radio bearer release" (subclause 8.2.3); or
- "Transport channel reconfiguration" (subclause 8.2.4).

If IE "Timing indication" has the value "initialise", UE shall:

- 1> execute the Timing Re-initialised hard handover procedure by following the procedure indicated in the subclause relevant to the procedure chosen by the UTRAN.

In this case of a timing re-initialised hard handover, UTRAN should include the IE "Default DPCH Offset Value" and:

1> in FDD mode:

- 2> set "Default DPCH Offset Value" and "DPCH frame offset" respecting the following relation

$$(\text{Default DPCH Offset Value}) \bmod 38400 = \text{DPCH frame offset}_j$$

- 3> where  $j$  indicates the first radio link listed in the message and the IE values used are the Actual Values of the IEs as defined in clause 11.

If the IE "Default DPCH Offset Value" is included, the UE shall:

1> in FDD mode:

- 2> if the above relation between "Default DPCH Offset Value" and "DPCH frame offset" is not respected:
- 3> set the variable INVALID\_CONFIGURATION to true.

If the IE "Default DPCH Offset Value" is not included, the UE shall:

- 1> set the variable INVALID\_CONFIGURATION to true.

## 8.3.5.2 Timing-maintained hard handover

### 8.3.5.2.1 General

The purpose of the Timing-maintained hard handover procedure is to remove all the RL(s) in the active set and establish new RL(s) while maintaining the UL transmission timing and the CFN in the UE.

This procedure can be initiated only if UTRAN knows the target SFN timing before hard handover. The target SFN timing can be known by UTRAN in the following 2 cases:

- UE reads SFN when measuring "Cell synchronisation information" and sends it to the UTRAN in MEASUREMENT REPORT message.
- UTRAN internally knows the time difference between the cells.

### 8.3.5.2.2 Initiation

Timing-maintained hard handover initiated by the network is normally performed by using the procedure "Physical channel reconfiguration" (subclause 8.2.6), but may also be performed by using either one of the following procedures:

- "radio bearer establishment" (subclause 8.2.1);
- "Radio bearer reconfiguration" (subclause 8.2.2);
- "Radio bearer release" (subclause 8.2.3); or

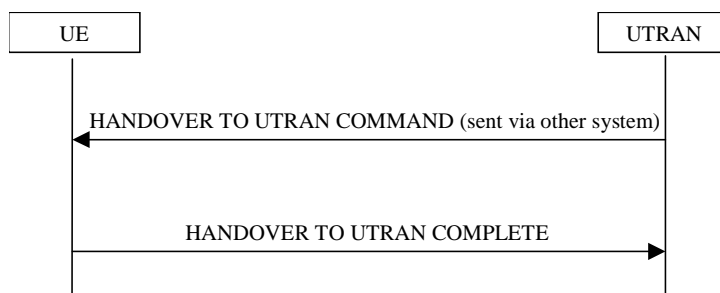
- "Transport channel reconfiguration" (subclause 8.2.4).

If IE "Timing indication" has the value "maintain", UE shall initiate the Timing-maintained hard handover procedure by following the procedure indicated in the subclause relevant to the procedure chosen by the UTRAN. In this case UTRAN should not include the IE "Default DPCH Offset Value".

If the IE "Default DPCH Offset Value" is included, the UE shall:

- 1> ignore the IE "Default DPCH Offset Value".

### 8.3.6 Inter-RAT handover to UTRAN



**Figure 8.3.6-1: Inter-RAT handover to UTRAN, successful case**

#### 8.3.6.1 General

The purpose of the inter-RAT handover procedure is to, under the control of the network, transfer a connection between the UE and another radio access technology (e.g. GSM) to UTRAN.

#### 8.3.6.2 Initiation

The procedure is initiated when a radio access technology other than UTRAN, e.g. GSM, using radio access technology-specific procedures, orders the UE to make a handover to UTRAN.

A HANDOVER TO UTRAN COMMAND message is sent to the UE via the radio access technology from which inter-RAT handover is performed.

In case UTRAN decides to use a predefined or default radio configuration that is stored in the UE, it should include the following information in the HANDOVER TO UTRAN COMMAND message.

- the IE "New U-RNTI" to be assigned;
- the IE "Predefined configuration identity", to indicate which pre-defined configuration of RB, transport channel and physical channel parameters shall be used; or
- the IE "Default configuration mode" and IE "Default configuration identity", to indicate which default configuration of RB, transport channel and physical channel parameters shall be used;
- PhyCH information elements.

NOTE 1: When using a predefined or default configuration during handover to UTRAN, UTRAN can only assign values of IEs "New U-RNTI" and "scrambling code" that are within the special subranges defined exclusively for this procedure. UTRAN may re-assign other values after completion of the handover procedure.

NOTE 2: When using a predefined or default configuration during handover to UTRAN, fewer IEs are signalled; when using this signalling option some parameters e.g. concerning compressed mode, DSCH, SSdT can not be configured. In this case, the corresponding functionality can not be activated immediately.

NOTE 3: When using a predefined or default configuration, the HANOVER TO UTRAN COMMAND should not include more than one radio link. If UTRAN includes more than one radio link in the HANOVER TO UTRAN COMMAND using a predefined or default configuration, the UE behaviour is unspecified.

In case UTRAN does not use a predefined radio configuration that is stored in the UE, it should include the following information in the HANOVER TO UTRAN COMMAND message.

- the IE "New U-RNTI" to be assigned;
- the complete set of RB, TrCH and PhyCH information elements to be used.

### 8.3.6.3 Reception of HANOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE shall:

- 1> store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and
- 1> initialise the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;
- 1> initialise the variable UE\_CAPABILITIES\_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;
- 1> initialise the variable TIMERS\_AND\_CONSTANTS to the default values and start to use those timer and constants values;
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":
  - 2> initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";
  - 2> initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;
  - 2> store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and
  - 2> set the IE "RAB Info Post" in the variable ESTABLISHED\_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED\_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":
  - 2> initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";
  - 2> initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;

NOTE: IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used.

2> set the IE "RAB Info Post" in the variable ESTABLISHED\_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED\_RABS to "useT314".

1> if IE "Specification mode" is set to "Preconfiguration":

2> use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:

3> 0 dB for the power offset  $P_{\text{Pilot-DPDCH}}$  bearer in FDD;

3> calculate the Default DPCH Offset Value using the following formula:

3> in FDD:

$$\text{Default DPCH Offset Value} = (\text{SRNTI} \cdot 2 \bmod 600) * 512$$

3> in TDD:

$$\text{Default DPCH Offset Value} = (\text{SRNTI} \cdot 2 \bmod 7)$$

3> handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.

1> if IE "Specification mode" is set to "Complete specification":

2> initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.

1> perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;

1> set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message equal to the START value for each CN domain stored in the USIM if the USIM is present, or as stored in the UE for each CN domain if the SIM is present;

NOTE: Keys received while in another RAT are not regarded as "new" (i.e. do not trigger the actions in subclause 8.1.12.3.1) in a subsequent security control procedure in UTRAN, irrespective of whether the keys are already being used in the other RAT or not. If the UE has received new keys in the other RAT before handover, then the START values in the USIM (sent in the HANDOVER TO UTRAN COMPLETE message and in the INTER\_RAT\_HANDOVER\_INFO sent to the BSS while in the other RAT) will not reflect the receipt of these new keys. At a subsequent security mode control procedure in UTRA, UE activates ciphering and/or integrity protection using the key set stored in the USIM/SIM.

1> set the value of "THRESHOLD" in the variable "START\_THRESHOLD" to the 20 MSBs of the value stored in the USIM [50] for the maximum value of START for each CN Domain, or to the default value in [40] if the SIM is present;

1> if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the variable LATEST\_CONFIGURED\_CN\_DOMAIN to the value indicated in the IE "CN domain identity", or to the CS domain when this IE is not present;

3> set the 20 MSB of the HFN component of the COUNT-C variable for all radio bearers using RLC-TM and all signalling radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER\_RAT\_HANDOVER\_INFO\_TRANSFERRER";

3> set the remaining LSBs of the HFN component of COUNT-C for all radio bearers using RLC-TM and all signalling radio bearers to zero;

3> not increment the HFN component of COUNT-C for radio bearers using RLC-TM, i.e. keep the HFN value fixed without incrementing every CFN cycle;

- 3> set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;
- 3> set the IE "Status" in the variable CIPHERING\_STATUS to "Started";
- 3> apply the algorithm according to IE "Ciphering Algorithm" with the ciphering key set stored in the USIM/SIM and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.

NOTE: If ciphering has been activated and ongoing in the radio access technology from which inter RAT handover is performed, UTRAN should not include the IE "Ciphering mode info" in the SECURITY MODE COMMAND message that starts Integrity protection.

- 1> if ciphering has not been activated and ongoing in the radio access technology from which inter-RAT handover is performed:
  - 2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:
    - 3> set the IE "Status" in the variable CIPHERING\_STATUS to "Not Started".

If the UE succeeds in establishing the connection to UTRAN, it should:

- 1> indicate to upper layers that no CN system information is available for any domain other than the CS domain.

If the UE succeeds in establishing the connection to UTRAN, it shall:

- 1> if the USIM or SIM is present:
  - 2> set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START\_THRESHOLD.
- 1> if the IE "Status" in the variable CIPHERING\_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
  - 2> include the IE "COUNT-C activation time" in the response message and specify a CFN value for this IE other than the default, "Now", that is a multiple of 8 frames ( $\text{CFN} \bmod 8 = 0$ ) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted;
  - 2> at the CFN value as indicated in the response message in the IE "COUNT-C activation time" for radio bearers using RLC-TM:
    - 3> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
    - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
    - 3> increment the HFN component of the COUNT-C variable by one even if the "COUNT-C activation time" is equal to zero;
    - 3> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
    - 3> step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.
- 1> if the IE "Status" in the variable CIPHERING\_STATUS of a CN domain is set to "Not Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
  - 2> initialise the 20 MSB of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value as indicated in the IE "START list" of the response message for the relevant CN domain;
  - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;



- 2> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
- 1> transmit a HANOVER TO UTRAN COMPLETE message on the uplink DCCH, using, if ciphering has been started, the new ciphering configuration;
- 1> when the HANOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:
  - 2> enter UTRA RRC connected mode in state CELL\_DCH;
  - 2> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;
  - 2> update the variable UE\_CAPABILITY\_TRANSFERRED with the UE capabilities stored in the variable INTER\_RAT\_HANOVER\_INFO\_TRANSFERRED;
  - 2> for all radio bearers using RLC-AM or RLC-UM:
    - 3> set the 20 MSB of the HFN component of the uplink and downlink COUNT-C variable to the START value indicated in the IE "START list" of the response message for the relevant CN domain; and
    - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
    - 3> increment the HFN component of the COUNT-C variable by one;
    - 3> start incrementing the COUNT-C values.
- 1> and the procedure ends.

#### 8.3.6.4 Invalid Handover to UTRAN command message

If the UE receives a HANOVER TO UTRAN COMMAND message, which contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling according to the source radio access technology. The UE shall:

- 1> if allowed by the source RAT:
  - 2> transmit an RRC FAILURE INFO message to the source radio access technology; and
  - 2> include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION;
- 1> Other details may be provided in the specifications related to the source radio access technology.

NOTE: The other RAT may include the above diagnostics information in a subsequent handover request towards the same RNC.

#### 8.3.6.4a Unsupported configuration in HANOVER TO UTRAN COMMAND message

If the UE does not support the configuration included in the HANOVER TO UTRAN COMMAND message, e.g., the message includes a pre-defined configuration that the UE has not stored, the UE shall:

- 1> continue the connection using the other radio access technology; and
- 1> indicate the failure to the other radio access technology.

#### 8.3.6.5 UE fails to perform handover

If the UE does not succeed in establishing the connection to UTRAN, it shall:

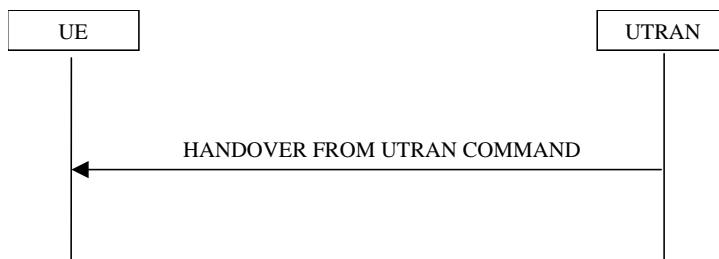
- 1> terminate the procedure including release of the associated resources;
- 1> resume the connection used before the handover; and
- 1> indicate the failure to the other radio access technology.

Upon receiving an indication about the failure from the other radio access technology, UTRAN should release the associated resources and the context information concerning this UE.

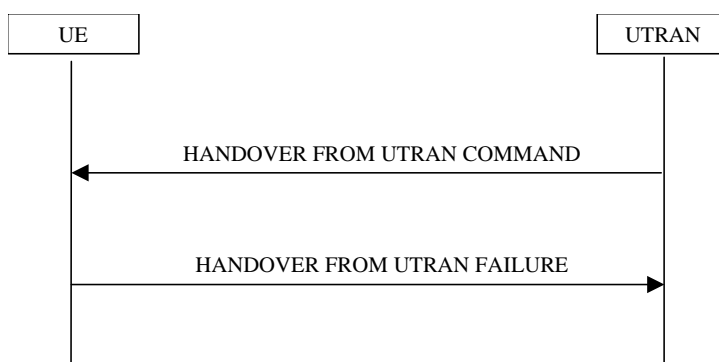
### 8.3.6.6 Reception of message HANDOVER TO UTRAN COMPLETE by the UTRAN

Upon receiving a HANDOVER TO UTRAN COMPLETE message, UTRAN should consider the inter-RAT handover procedure as having been completed successfully and indicate this to the Core Network.

### 8.3.7 Inter-RAT handover from UTRAN



**Figure 8.3.7-1: Inter-RAT handover from UTRAN, successful case**



**Figure 8.3.7-2: Inter-RAT handover from UTRAN, failure case**

#### 8.3.7.1 General

The purpose of the inter-RAT handover procedure is to, under the control of the network, transfer a connection between the UE and UTRAN to another radio access technology (e.g. GSM). This procedure may be used in CELL\_DCH state. This procedure may be used when no RABs are established or when the established RABs are only in the CS domain or when the established RABs are in both CS and PS domains.

#### 8.3.7.2 Initiation

The procedure is initiated when UTRAN orders a UE in CELL\_DCH state, to make a handover to a radio access technology other than UTRAN, e.g. GSM.

To initiate the procedure, UTRAN sends a HANDOVER FROM UTRAN COMMAND message.

#### 8.3.7.3 Reception of a HANDOVER FROM UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER FROM UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target cell.

The UE shall:

- 1> if the UE has a pending "TGPS reconfiguration CFN" at the activation time received in the HANDOVER FROM UTRAN COMMAND the UE may:
  - 2> abort the pending CM activation;
  - 2> set the CM\_PATTERN\_ACTIVATION\_ABORTED to TRUE.

1> otherwise:

2> set the CM\_PATTERN\_ACTIVATION\_ABORTED to FALSE.

1> establish the connection to the target radio access technology, by using the contents of the IE "Inter-RAT message". This IE contains a message specified in another standard, as indicated by the IE "System type", and carries information about the candidate/ target cell identifier(s) and radio parameters relevant for the target radio access technology. The correspondence between the value of the IE "System type", the standard to apply and the message contained within IE "Inter RAT message" is shown in the following:

| Value of the IE "System type" | Standard to apply  | Inter RAT Message |
|-------------------------------|--|-------------------|
| GSM                           | GSM TS 04.18, version 8.5.0 or later                                       | HANDOVER COMMAND  |
| cdma2000                      | TIA/EIA/IS-2000 or later, TIA/EIA/IS-833 or later, TIA/EIQ/IS-834 or later |                   |

1> if the IE "System type" has the value "GSM":

2> if the IE "Frequency band" has the value "GSM /DCS 1800 band used":

3> set the BAND\_INDICATOR [45] to "ARFCN indicates 1800 band".

2> if the IE "Frequency band" has the value " GSM /PCS 1900 band used":

3> set the BAND\_INDICATOR [45] to "ARFCN indicates 1900 band".

1> apply the "Inter RAT Message" according to the "standard to apply" in the table above.

1> if the IE "RAB information List" is included in the HANDOVER FROM UTRAN COMMAND message:

2> if the IE "RAB information List" includes one IE "RAB Info" with the IE "CN domain Identity" set to "CS domain":

3> connect upper layer entities corresponding to the indicated CS domain RAB to the radio resources indicated in the inter-RAT message.

NOTE1: In this version of the specification the maximum number of CS domain RABs which may be included in the IE "RAB information List" is limited to 1.

NOTE2: Requirements concerning the establishment of the radio connection towards the other radio access technology and the signalling procedure are outside the scope of this specification.

NOTE3: The UE may ignore the IE "NAS synchronisation indicator" if included in the HANDOVER FROM UTRAN COMMAND message;

NOTE4: The UE behaviour is undefined if the IE "Re-establishment timer" in the IE "RAB info" indicates a timer different from the timer currently configured for this RAB.

### 8.3.7.4 Successful completion of the inter-RAT handover

Upon successfully completing the handover, UTRAN should:

1> release the radio connection; and

1> remove all context information for the concerned UE.

Upon successfully completing the handover, the UE shall:

1> if there are any NAS messages with the IE "CN domain identity" set to "CS domain" for which the successful delivery of the INITIAL DIRECT TRANSFER message or UPLINK DIRECT TRANSFER message on signalling radio bearer RB3 or signalling radio bearer RB4 that have not yet been confirmed by RLC:

2> retransmit those NAS messages to the network on the newly established radio connection to the target radio access technology.

1> clear or set variables upon leaving UTRA RRC connected mode as specified in subclause 13.4.

NOTE: The release of the UMTS radio resources is initiated from the target RAT.

### 8.3.7.5 UE fails to complete requested handover

If the UE does not succeed in establishing the connection to the target radio access technology, it shall:

1> revert back to the UTRA configuration;

1> if the CM\_PATTERN\_ACTIVATION\_ABORTED flag is not set to TRUE:

2> establish the UTRA physical channel(s) used at the time for reception of HANOVER FROM UTRAN COMMAND;

1> if the CM\_PATTERN\_ACTIVATION\_ABORTED flag is set to TRUE or if the UE does not succeed to establish the UTRA physical channel(s):

2> perform a cell update procedure according to subclause 8.3.1 with cause "Radio link failure";

2> when the cell update procedure has completed successfully:

3> proceed as below.

1> transmit the HANOVER FROM UTRAN FAILURE message setting the information elements as specified below:

2> include the IE "RRC transaction identifier"; and

2> set it to the value of "RRC transaction identifier" in the entry for the HANOVER FROM UTRAN COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

2> set the IE "Inter-RAT handover failure" to "physical channel failure".

1> When the HANOVER FROM UTRAN FAILURE message has been submitted to lower layer for transmission:

2> the procedure ends.

### 8.3.7.6 Invalid HANOVER FROM UTRAN COMMAND message

If the IE "Inter-RAT message" received within the HANOVER FROM UTRAN COMMAND message does not include a valid inter RAT handover message in accordance with the protocol specifications for the target RAT, the UE shall perform procedure specific error handling as follows. The UE shall:

1> set the IE "failure cause" to the cause value "Inter-RAT protocol error";

1> include the IE "Inter-RAT message" in accordance with the specifications applicable to the other RAT;

1> transmit a HANOVER FROM UTRAN FAILURE message on the uplink DCCH using AM RLC;

1> when the transmission of the HANOVER FROM UTRAN FAILURE message has been confirmed by RLC:

2> continue with any ongoing processes and procedures as if the invalid HANOVER FROM UTRAN COMMAND message has not been received;

2> and the procedure ends.

If the HANOVER FROM UTRAN COMMAND message contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

1> set the IE "RRC transaction identifier" in the HANOVER FROM UTRAN FAILURE message to the value of "RRC transaction identifier" in the entry for the HANOVER FROM UTRAN COMMAND message in the table "Rejected transactions" in the variable TRANSACTIONS; and

- 1> clear that entry;
- 1> set the IE "failure cause" to the cause value "protocol error";
- 1> include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION;
- 1> transmit a HANDOVER FROM UTRAN FAILURE message on the uplink DCCH using AM RLC;
- 1> when the HANDOVER FROM UTRAN FAILURE message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid HANDOVER FROM UTRAN COMMAND message has not been received;
  - 2> and the procedure ends.

### 8.3.7.7 Reception of an HANDOVER FROM UTRAN FAILURE message by UTRAN

Upon receiving an HANDOVER FROM UTRAN FAILURE message, UTRAN may initiate the release the resources in the target radio access technology.

### 8.3.7.8 Unsupported configuration in HANDOVER FROM UTRAN COMMAND message

If:

- the UTRAN instructs the UE to perform a non-supported handover scenario; or
- the UTRAN instructs the UE to use a non-supported configuration; or
- the IE "RAB information List" is included in the HANDOVER FROM UTRAN COMMAND message and this IE does not include any IE "RAB Info" with the IE "CN domain Identity" set to "CS domain":

the UE shall:

- 1> transmit a HANDOVER FROM UTRAN FAILURE message, setting the information elements as specified below:
  - 2> include the IE "RRC transaction identifier"; and
  - 2> set it to the value of "RRC transaction identifier" in the entry for the HANDOVER FROM UTRAN COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
  - 2> set the IE "Inter-RAT handover failure" to "configuration unacceptable";
  - 2> when the HANDOVER FROM UTRAN FAILURE message has been submitted to lower layers for transmission:
    - 3> resume normal operation as if the invalid HANDOVER FROM UTRAN COMMAND message has not been received;
    - 3> and the procedure ends.

### 8.3.7.8a Reception of HANDOVER FROM UTRAN COMMAND message by UE in CELL\_FACH

If the UE receives HANDOVER FROM UTRAN COMMAND while in CELL\_FACH, the UE shall:

- 1> transmit a HANDOVER FROM UTRAN FAILURE message, setting the information elements as specified below:
  - 2> include the IE "RRC transaction identifier"; and

- 2> set it to the value of "RRC transaction identifier" in the entry for the HANOVER FROM UTRAN COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 2> clear that entry;
- 2> set the IE "Inter-RAT handover failure" to "protocol error", include IE "Protocol error information"; and
- 2> set the value of IE "Protocol error cause" to "Message not compatible with receiver state";
- 2> when the HANOVER FROM UTRAN FAILURE message has been submitted to lower layers for transmission:
  - 3> resume normal operation as if the invalid HANOVER FROM UTRAN COMMAND message has not been received;
  - 3> and the procedure ends.

## 8.3.8 Inter-RAT cell reselection to UTRAN

### 8.3.8.1 General

The purpose of the inter-RAT cell reselection procedure to UTRAN is to transfer, under the control of the UE and to some extent the source radio access technology, a connection between the UE and another radio access technology (e.g. GSM/GPRS, but not UTRAN) to UTRAN.

### 8.3.8.2 Initiation

When the UE makes an inter-RAT cell reselection to UTRAN according to the criteria specified in [4], it shall initiate this procedure. The inter-RAT cell reselection made by the UE may use system information broadcast from the source radio access technology or UE dedicated information.

If the NAS procedures associated with inter-system change specified in [5] require the establishment of an RRC connection, the UE shall:

- 1> set the variable ESTABLISHMENT\_CAUSE to "Inter-RAT cell reselection";

NOTE: This value of ESTABLISHMENT\_CAUSE has priority over the cause requested by upper layers.

- 1> initiate an RRC connection establishment procedure as specified in subclause 8.1.3;
- 1> after initiating an RRC connection establishment:

- 2> release all resources specific to the other radio access technology.

If the NAS procedures associated with inter-system change specified in [5] do not require the establishment of an RRC connection, the UE shall:

- 1> enter idle mode in the target cell without accessing the cell; and
- 1> release all resources specific to the other radio access technology.

### 8.3.8.3 UE fails to complete an inter-RAT cell reselection

If the inter-RAT cell reselection fails before the UE has initiated the RRC connection establishment the UE may return back to the other radio access technology.

If the RRC connection establishment fails, the UE shall enter idle mode.

## 8.3.9 Inter-RAT cell reselection from UTRAN

### 8.3.9.1 General

The purpose of the inter-RAT cell reselection procedure from UTRAN is to transfer, under the control of the UE and to some extent the UTRAN, a connection between the UE and UTRAN to another radio access technology (e.g. GSM/GPRS).

### 8.3.9.2 Initiation

This procedure is applicable in states CELL\_FACH, CELL\_PCH or URA\_PCH.

When the UE based on received system information makes a cell reselection to a radio access technology other than UTRAN, e.g. GSM/GPRS, according to the criteria specified in [4], the UE shall:

- 1> If the NAS procedures associated with inter-system change specified in [5] require the establishment of a connection:
- 2> initiate the establishment of a connection to the target radio access technology according to its specifications.

### 8.3.9.3 Successful cell reselection

When the UE has succeeded in reselecting a cell in the target radio access technology, the UE shall:

- 1> release all UTRAN specific resources.

UTRAN should:

- 1> release all UE dedicated resources upon indication that the UE has completed a connection establishment to the other radio access technology.

### 8.3.9.4 UE fails to complete an inter-RAT cell reselection

If the inter-RAT cell reselection fails, the UE shall:

- 1> resume the connection to UTRAN using the resources used before initiating the inter-RAT cell reselection procedure.

## 8.3.10 Inter-RAT cell change order to UTRAN

### 8.3.10.1 General

The purpose of the inter-RAT cell change order to UTRAN procedure is to transfer, under the control of the source radio access technology, a connection between the UE and another radio access technology (e.g. GSM/GPRS) to UTRAN.

### 8.3.10.2 Initiation

The procedure is initiated when a radio access technology other than UTRAN, e.g. GSM/GPRS, using procedures specific for that RAT, orders the UE to change to a UTRAN cell.

NOTE: Within the message used to order the UE to change to a UTRAN cell, the source RAT should specify the identity of the target UTRAN cell as specified in the specifications for that RAT.

The UE shall:

- 1> set the variable ESTABLISHMENT\_CAUSE to "Inter-RAT cell change order";

NOTE: This value of ESTABLISHMENT\_CAUSE has priority over the cause requested by upper layers.

1> initiate an RRC connection establishment procedure as specified in subclause 8.1.3.

### 8.3.10.3 UE fails to complete an inter-RAT cell change order

If the inter-RAT cell reselection fails the UE shall return to the other radio access technology and proceed as specified in the appropriate specifications for that RAT.

NOTE 3: The cell change was network ordered. Therefore, failure to change to the target cell should not cause the UE to move to UE- controlled cell selection.

### 8.3.11 Inter-RAT cell change order from UTRAN

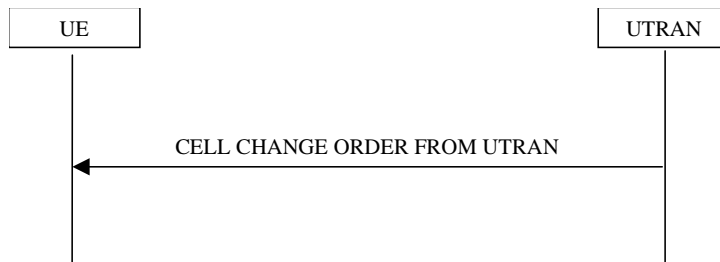


Figure 8.3.11-1: Inter-RAT cell change order from UTRAN

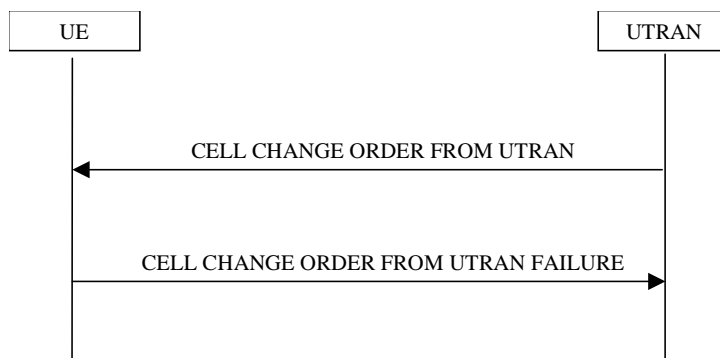


Figure 8.3.11-2: Inter-RAT cell change order from UTRAN, failure case

#### 8.3.11.1 General

The purpose of the inter-RAT cell change order procedure is to transfer, under the control of the network, a connection between the UE and UTRAN to another radio access technology (e.g. GSM). This procedure may be used in CELL\_DCH and CELL\_FACH state. This procedure may be used when no RABs are established or when the established RABs are only from PS domain. This procedure may not be used when there is no PS signalling connection.

#### 8.3.11.2 Initiation

The procedure is initiated when UTRAN orders a UE in CELL\_DCH or CELL\_FACH state, to make a cell change to a radio access technology other than UTRAN, e.g. GSM.

To initiate the procedure, UTRAN sends a CELL CHANGE ORDER FROM UTRAN message.

#### 8.3.11.3 Reception of an CELL CHANGE ORDER FROM UTRAN message by the UE

The UE shall be able to receive a CELL CHANGE ORDER FROM UTRAN message and perform a cell change order to another RAT, even if no prior UE measurements have been performed on the target cell.

If the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS does not include the CN domain identity "PS domain", or if the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS includes the CN domain identity "CS domain":



1> the UE shall act as if the message was never received.

The UE shall:

1> start timer T309; and

1> establish the connection to the other radio access technology, as specified within IE "Target cell description". This IE specifies the target cell identity, in accordance with the specifications for that other RAT. In case the target cell is a GSM/ GPRS cell, IE "Target cell description" may also include IE "NC mode", which specifies the cell selection mode to be applied in the target cell; and

1> if IE "NC mode" is not included in the CELL CHANGE ORDER FROM UTRAN:

2> retrieve it from the target cell as specified in [43];

2> act upon IE "NC mode" as specified in [43].

1> if the IE "RAB Information List" is included in the CELL CHANGE ORDER FROM UTRAN message:

2> ignore the contents of the IE "RAB Information List".

NOTE: Requirements concerning the establishment of the radio connection towards the other radio access technology and the signalling procedure are outside the scope of this specification. In case of GSM/GPRS proceed according to the procedure Network control cell reselection procedure as specified in [44].

#### 8.3.11.4 Successful completion of the cell change order

The UE regards the procedure as completed when it has received a successful response from the target RAT, e.g. in case of GSM when it received the response to a (PACKET) CHANNEL REQUEST in the new cell.

Upon successful completion of the cell change order, the UE shall:

1> stop timer T309;

1> clear or set variables upon leaving UTRA RRC connected mode as specified in subclause 13.4.

Upon indication of the UE having successfully completed the cell change order, UTRAN should:

1> release the radio connection; and

1> remove all context information for the concerned UE.

NOTE: The release of the UMTS radio resources is initiated from another RAT.

#### 8.3.11.5 Expiry of timer T309 or UE fails to complete requested cell change order

If:

- timer T309 expires prior to the successful establishment of a connection to the target RAT; or
- if the establishment of the connection to the other RAT failed due to other reasons e.g. (random) access failure, rejection due to lack of resources:

the UE shall:

1> if it received the CELL CHANGE ORDER FROM UTRAN message in state CELL\_DCH:

2> revert back to the UTRA configuration;

2> establish the UTRA physical channel(s) used at the time for reception of CELL CHANGE ORDER FROM UTRAN;

2> if the UE does not succeed in establishing the UTRA physical channel(s):

3> perform a cell update procedure according to subclause 8.3.1 with cause "Radio link failure";

- 3> when the cell update procedure has completed successfully:
  - 4> proceed as below.
- 2> transmit the CELL CHANGE ORDER FROM UTRAN FAILURE message setting the information elements as specified below:
  - 3> include the IE "RRC transaction identifier"; and
  - 3> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 3> clear that entry;
  - 3> set the IE "Inter-RAT change failure" to "physical channel failure".
- 2> When the CELL CHANGE ORDER FROM UTRAN FAILURE message has been submitted to lower layer for transmission, the procedure ends.
- 1> if the UE receives the CELL CHANGE ORDER FROM UTRAN message in CELL\_FACH state:
  - 2> revert to the cell it was camped on at the reception of the CELL CHANGE ORDER FROM UTRAN message;
  - 2> if the UE is unable to return to this cell:
    - 3> select a suitable UTRA cell according to [4];
    - 3> initiate the cell update procedure according to subclause 8.3.1 using the cause "cell re-selection";
    - 3> when the cell update procedure completed successfully:
      - 4> proceed as below.
  - 2> transmit the CELL CHANGE ORDER FROM UTRAN FAILURE message setting the information elements as specified below:
    - 3> include the IE "RRC transaction identifier"; and
    - 3> set it to the value of "RRC transaction identifier" in the entry for the CELL CHANGE ORDER FROM UTRAN message in the table "Accepted transactions" in the variable TRANSACTIONS; and
    - 3> clear that entry;
    - 3> set the IE "Inter-RAT change failure" to "physical channel failure".
  - 2> When the CELL CHANGE ORDER FROM UTRAN FAILURE message has been submitted to lower layer for transmission:
    - 3> the procedure ends.

### 8.3.11.6 Unsupported configuration in CELL CHANGE ORDER FROM UTRAN message

If the UTRAN instructs the UE to perform a non-supported cell change order scenario or to use a non-supported configuration, the UE shall:

- 1> transmit a CELL CHANGE ORDER FROM UTRAN FAILURE message, setting the information elements as specified below:
  - 2> include the IE "RRC transaction identifier"; and
  - 2> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;

- 2> set the IE "Inter-RAT change failure" to "configuration unacceptable";
- 2> when the CELL CHANGE ORDER FROM UTRAN FAILURE message has been submitted to lower layers for transmission:
  - 3> resume normal operation as if the CELL CHANGE ORDER FROM UTRAN message has not been received;
  - 3> and the procedure ends.

### 8.3.11.7 Invalid CELL CHANGE ORDER FROM UTRAN message

If the CELL CHANGE ORDER FROM UTRAN message contains a protocol error causing the variable `PROTOCOL_ERROR_REJECT` to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> set the IE "RRC transaction identifier" in the CELL CHANGE ORDER FROM UTRAN FAILURE message to the value of "RRC transaction identifier" in the entry for the CELL CHANGE ORDER FROM UTRAN message in the table "Rejected transactions" in the variable `TRANSACTIONS`; and
- 1> clear that entry;
- 1> set the IE "Inter-RAT change failure" to the cause value "protocol error";
- 1> include the IE "Protocol error information" with contents set to the value of the variable `PROTOCOL_ERROR_INFORMATION`;
- 1> transmit a CELL CHANGE ORDER FROM UTRAN FAILURE message on the uplink DCCH using AM RLC;
- 1> when the CELL CHANGE ORDER FROM UTRAN FAILURE message has been submitted to lower layers for transmission:
  - 2> resume normal operation as if the invalid CELL CHANGE ORDER FROM UTRAN message has not been received;
  - 2> and the procedure ends.

## 8.4 Measurement procedures

### 8.4.0 Measurement related definitions

UTRAN may control a measurement in the UE either by broadcast of `SYSTEM INFORMATION` and/or by transmitting a `MEASUREMENT CONTROL` message.

The following information is used to control the UE measurements and the measurement results reporting:

1. **Measurement identity:** A reference number that should be used by the UTRAN when setting up, modifying or releasing the measurement and by the UE in the measurement report.
2. **Measurement command:** One out of three different measurement commands.
  - Setup: Setup a new measurement.
  - Modify: Modify a previously defined measurement, e.g. to change the reporting criteria.
  - Release: Stop a measurement and clear all information in the UE that are related to that measurement.
3. **Measurement type:** One of the types listed below describing what the UE shall measure.

Presence or absence of the following control information depends on the measurement type

4. **Measurement objects:** The objects on which the UE shall measure measurement quantities, and corresponding object information.

5. **Measurement quantity:** The quantity the UE shall measure on the measurement object. This also includes the filtering of the measurements.
6. **Reporting quantities:** The quantities the UE shall include in the report in addition to the quantities that are mandatory to report for the specific event.
7. **Measurement reporting criteria:** The triggering of the measurement report, e.g. periodical or event-triggered reporting.
8. **Measurement Validity:** Defines in which UE states the measurement is valid.
9. **Measurement reporting mode:** This specifies whether the UE shall transmit the measurement report using AM or UM RLC.
10. **Additional measurement identities:** A list of references to other measurements. When this measurement triggers a measurement report, the UE shall also include the reporting quantities for the measurements referenced by the additional measurement identities.

All these measurement parameters depend on the measurement type and are described in more detail in clause 14.

The different types of measurements are:

- **Intra-frequency measurements:** measurements on downlink physical channels at the same frequency as the active set. A measurement object corresponds to one cell. Detailed description is found in subclause 14.1.
- **Inter-frequency measurements:** measurements on downlink physical channels at frequencies that differ from the frequency of the active set and on downlink physical channels in the active set. A measurement object corresponds to one cell. Detailed description is found in subclause 14.2.
- **Inter-RAT measurements:** measurements on downlink physical channels belonging to another radio access technology than UTRAN, e.g. GSM. A measurement object corresponds to one cell. Detailed description is found in subclause 14.3.
- **Traffic volume measurements:** measurements on uplink traffic volume. A measurement object corresponds to one cell. Detailed description is found in subclause 14.4.
- **Quality measurements:** Measurements of downlink quality parameters, e.g. downlink transport block error rate. A measurement object corresponds to one transport channel in case of BLER. A measurement object corresponds to one timeslot in case of SIR (TDD only). Detailed description is found in subclause 14.5.
- **UE-internal measurements:** Measurements of UE transmission power and UE received signal level. Detailed description is found in subclause 14.6.
- **UE positioning measurements:** Measurements of UE position. Detailed description is found in subclause 14.7.

The UE shall support a number of measurements running in parallel as specified in [19] and [20]. The UE shall also support that each measurement is controlled and reported independently of every other measurement.

Cells that the UE is monitoring are grouped in the UE into three mutually exclusive categories:

1. Cells, which belong to the **active set**. User information is sent from all these cells. In FDD, the cells in the active set are involved in soft handover. In TDD the active set always comprises one cell only. The UE shall only consider active set cells included in the variable CELL\_INFO\_LIST for measurement; i.e. active set cells not included in the CELL\_INFO\_LIST shall not be considered in any event evaluation and measurement reporting.
2. Cells, which are not included in the active set, but are included in the CELL\_INFO\_LIST belong to the **monitored set**.
3. Cells detected by the UE, which are neither in the CELL\_INFO\_LIST nor in the active set belong to the **detected set**. Reporting of measurements of the detected set is only applicable to intra-frequency measurements made by UEs in CELL\_DCH state.

If the IE "Cells for measurement" has been included in a MEASUREMENT CONTROL message, only monitored set cells explicitly indicated for a given intra-frequency (resp. inter-frequency, interRAT) measurement by the IE "Cells for measurement" shall be considered for measurement. If the IE "Cells for measurement" has not been included in a MEASUREMENT CONTROL message, all of the intra-frequency (resp. inter-frequency, inter RAT) cells stored in the

variable CELL\_INFO\_LIST shall be considered for measurement. The IE "Cells for measurement" is not applicable to active set cells or virtual active set cells e.g. when the triggering condition refers to active set cells, the UE shall consider all active set cells in the CELL\_INFO\_LIST for measurement irrespective if these cells are explicitly indicated by the IE "Cells for measurement".

### 8.4.1 Measurement control



Figure 8.4.1-1: Measurement Control, normal case

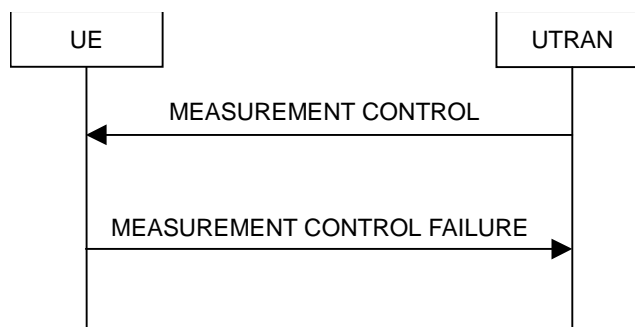


Figure 8.4.1-2: Measurement Control, failure case

#### 8.4.1.1 General

The purpose of the measurement control procedure is to setup, modify or release a measurement in the UE.

#### 8.4.1.2 Initiation

The UTRAN may request a measurement by the UE to be setup, modified or released with a MEASUREMENT CONTROL message, which is transmitted on the downlink DCCH using AM RLC.

The UTRAN should take the UE capabilities into account when a measurement is requested from the UE.

When a new measurement is created, UTRAN should set the IE "Measurement identity" to a value, which is not used for other measurements. UTRAN may use several "Measurement identity" for the same "Measurement type". In case of setting several "Measurement identity" within a same "Measurement type", the measurement object or the list of measurement objects can be set differently for each measurement with different "Measurement identity".

When a current measurement is modified or released, UTRAN should set the IE "Measurement identity" to the value, which is used for the measurement being modified or released. In case of modifying IEs within a "Measurement identity", it is not needed for UTRAN to indicate the IEs other than modified IEs, and the UE continues to use the current values of the IEs that are not modified. UTRAN should not use "modify" to change the type of measurement stored in the variable MEASUREMENT\_IDENTITY for a given measurement identity.

#### 8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

The UE shall:

- 1> read the IE "Measurement command";

- 1> if the IE "Measurement command" has the value "setup":
  - 2> store this measurement in the variable MEASUREMENT\_IDENTITY according to the IE "measurement identity", first releasing any previously stored measurement with that identity if that exists;
  - 2> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
    - 3> if the UE is in CELL\_FACH state:
      - 4> the UE behaviour is not specified.
    - 2> for measurement types "inter-RAT measurement" or "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency:
      - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and after reception of this message a compressed mode pattern sequence with an appropriate measurement purpose is active according to the IE "Current TGPS Status Flag" in UE variable TGPS\_IDENTITY; or
      - 3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements on at least one supported band of that measurement type:
        - 4> if the measurement is valid in the current RRC state of the UE:
          - 5> begin measurements according to the stored control information for this measurement identity.

NOTE: The UE is not required to perform measurements on cells for which it needs compressed mode but a suitable compressed mode pattern is not activated.

- 2> for measurement type "inter-frequency measurement" that requires measurements only on the same frequency as the actually used frequency:
  - 3> if the measurement is valid in the current RRC state of the UE:
    - 4> begin measurements according to the stored control information for this measurement identity.
- 2> for measurement type "UE positioning measurement":
  - 3> if the UE is in CELL\_FACH state:
    - 4> if IE "Positioning Method" is set to "OTDOA":
      - 5> if IE "Method Type" is set to "UE assisted":
        - 6> if IE "UE positioning OTDOA assistance data for UE assisted" is not included:
          - 7> if System Information Block type 15.4 is broadcast:
            - 8> read System Information Block type 15.4.
          - 7> act as specified in subclause 8.6.7.19.2.
        - 5> if IE "Method Type" is set to "UE based":
          - 6> if IE "UE positioning OTDOA assistance data for UE based" is not included:
            - 7> if System Information Block type 15.5 is broadcast:
              - 8> read System Information Block type 15.5.
            - 7> act as specified in subclause 8.6.7.19.2a.
  - 2> for any other measurement type:
    - 3> if the measurement is valid in the current RRC state of the UE:
      - 4> begin measurements according to the stored control information for this measurement identity.

- 1> if the IE "Measurement command" has the value "modify":
  - 2> for all IEs present in the MEASUREMENT CONTROL message:
    - 3> if a measurement was stored in the variable MEASUREMENT\_IDENTITY associated to the identity by the IE "measurement identity":
      - 4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
        - 5> if the UE is in CELL\_FACH state:
          - 6> the UE behaviour is not specified.
        - 4> if measurement type is set to "intra-frequency measurement", for any of the optional IEs "Intra-frequency measurement objects list", "Intra-frequency measurement quantity", "Intra-frequency reporting quantity", "Measurement Validity", "report criteria" and "parameters required for each event" (given "report criteria" is set to "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
        - 4> if measurement type is set to "inter-frequency measurement", for any of the optional IEs "Inter-frequency measurement quantity", "Inter-frequency reporting quantity", "Measurement Validity", "Inter-frequency set update" and "parameters required for each event" (given "report criteria" is set to either "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
        - 4> if measurement type is set to "inter-RAT measurement", for any of the optional IEs "Inter-RAT measurement objects list", "Inter-RAT measurement quantity", "Inter-RAT reporting quantity" and "parameters required for each event" (given "report criteria" is set to "inter-RAT measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
        - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning OTDOA assistance data" is present, for any of the optional IEs "UE positioning OTDOA neighbour cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-based", "UE positioning OTDOA neighbour cell info for UE-based" and "UE positioning" that are present in the MEASUREMENT CONTROL message:
        - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning GPS assistance data" is present, for any of the optional IEs "UE positioning GPS reference time", "UE positioning GPS reference UE position", "UE positioning GPS DGPS corrections", "UE positioning GPS ionospheric model", "UE positioning GPS UTC model", "UE positioning GPS acquisition assistance", "UE positioning GPS real-time integrity" that are present in the MEASUREMENT CONTROL message:
        - 4> if measurement type is set to "traffic volume measurement", for any of the optional IEs "Traffic volume measurement Object", "Traffic volume measurement quantity", "Traffic volume reporting quantity", "Measurement Validity" and "parameters required for each event" (given "report criteria" is set to "traffic volume measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
        - 4> if measurement type is set to "quality measurement", for any of the optional IE "Quality reporting quantity" that is present in the MEASUREMENT CONTROL message:
        - 4> if measurement type is set to "UE internal measurement", for any of the optional IEs "UE internal measurement quantity", "UE internal reporting quantity" and "parameters required for each event" (given "report criteria" is set to "UE internal measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
          - 5> replace all instances of the IEs listed above (and all their children) stored in variable MEASUREMENT\_IDENTITY associated to the identity indicated by the IE "measurement identity" with the IEs received in the MEASUREMENT CONTROL message;
          - 5> leave all other stored information elements unchanged in the variable MEASUREMENT\_IDENTITY.
      - 3> otherwise:

4> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

2> if measurement type is set to "inter-frequency measurement":

3> if "report criteria" is set to "intra-frequency report criteria" and "reporting criteria" in "inter-frequency measurement quantity" is set to "intra-frequency reporting criteria":

4> leave the currently stored "inter-frequency report criteria" within "report criteria" and "inter-frequency reporting criteria" within "inter-frequency measurement quantity" unchanged, and continue to act on the information stored in these variables.

NOTE: After UTRAN has configured the UE with an event triggered inter-frequency measurement using the virtual active set, if the UTRAN wants to modify the inter-frequency cell info list there are three options:

1 - Send a MEASUREMENT CONTROL message modifying the inter-frequency measurement and setting the choice "report criteria" to "intra-frequency measurement reporting criteria", not including the IE "parameters required for each event" and setting the choice "reporting criteria" in the IE "inter-frequency measurement quantity" to "intra-frequency reporting criteria". According to section 14.11.1 this could result in re-initialisation of the virtual active set.

2 - Setup a second inter-frequency measurement with the choice "report criteria" set to "no reporting". To update the inter-frequency cell info list send a MEASUREMENT CONTROL to modify this second inter-frequency measurement. The MEASUREMENT CONTROL message can not update the IE "Cells for measurement" for the first inter-frequency measurement.

3 - Send a MEASUREMENT CONTROL message modifying the inter-frequency measurement and setting the choice "report criteria" to "inter-frequency measurement reporting criteria" and including the IE "parameters required for each event". This could result in the reset of the inter-frequency event triggers within the UE.

2> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:

3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and after reception of this message a compressed mode pattern sequence with an appropriate measurement purpose is active according to the IE "Current TGPS Status Flag" in UE variable TGPS\_IDENTITY; or

3> if, according to its measurement capabilities, the UE does not require compressed mode, on at least one supported band of that measurement type, to perform the measurements:

4> resume the measurements according to the new stored measurement control information.

2> for measurement type "inter-frequency measurement" that requires measurements only on the same frequency as the actually used frequency:

3> if the measurement is valid in the current RRC state of the UE:

4> resume measurements according to the new stored control information for this measurement identity.

2> for any other measurement type:

3> resume the measurements according to the new stored measurement control information.

1> if the IE "measurement command" has the value "release":

2> terminate the measurement associated with the identity given in the IE "measurement identity";

2> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT\_IDENTITY.

1> if the IE "DPCH Compressed Mode Status Info" is present:

2> if, as the result of this message, UE will have more than one transmission gap pattern sequence with the same measurement purpose active (according to IE 'TGMP' in variable TGPS\_IDENTITY):



- 3> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
  - 2> if there is any pending "TGPS reconfiguration CFN" or any pending "TGCFN":
    - 3> the UE behaviour is unspecified;
  - 2> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag") in the variable TGPS\_IDENTITY):
    - 3> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:
      - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message;
      - 4> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS\_IDENTITY to "inactive".
    - 3> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:
      - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message.
- NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.
- 2> after the time indicated by IE "TGPS reconfiguration CFN" has elapsed:
    - 3> activate the pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in this message is set to "activate" at the time indicated by IE "TGCFN"; and
    - 3> set the corresponding "Current TGPS status flag" for this pattern sequence in the variable TGPS\_IDENTITY to "active"; and
    - 3> begin the inter-frequency and/or inter-RAT measurements corresponding to the pattern sequence measurement purpose of each activated pattern sequence;
    - 3> if the values of IE "TGPS reconfiguration CFN" and IE "TGCFN" are equal:
      - 4> start the concerned pattern sequence immediately at that CFN.
  - 2> not alter pattern sequences stored in variable TGPS\_IDENTITY, if the pattern sequence is not identified in IE "TGPSI" in the received message.
- 1> if the UE in CELL\_FACH state receives a MEASUREMENT CONTROL message, which indicates the same measurement identity as that stored in the variable MEASUREMENT\_IDENTITY:
    - 2> update the stored information with the traffic volume measurement control information in variable MEASUREMENT\_IDENTITY; and
    - 2> refrain from updating the traffic volume measurement control information associated with this measurement identity in the variable MEASUREMENT\_IDENTITY with the information received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) until this measurement is explicitly released with another MEASUREMENT CONTROL message.
  - 1> if the IE "Read SFN indicator" included in the IE "Cell info" of an inter-frequency cell is set to TRUE and the variable UE\_CAPABILITY\_TRANSFERRED has the DL "Measurement capability" for "FDD measurements" set to TRUE (the UE requires DL compressed mode in order to perform measurements on FDD):
    - 2> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
  - 1> clear the entry for the MEASUREMENT CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS.

The UE may:

- 1> if the IE "Measurement command" has the value "setup":

- 2> for measurement type "UE positioning measurement":
  - 3> if the UE is CELL\_FACH state:
    - 4> if IE "Positioning Method" is set to "GPS":
      - 5> if IE "UE positioning GPS assistance data" is not included and variable UE\_POSITIONING\_GPS\_DATA is empty:
        - 6> if System Information Block types 15, 15.1, 15.2 and 15.3 are broadcast:
          - 7> read System Information Block types 15, 15.1, 15.2 and 15.3.
        - 6> act as specified in subclause 8.6.7.19.3.
- 1> and the procedure ends.

#### 8.4.1.4 Unsupported measurement in the UE

If UTRAN instructs the UE to perform a measurement that is not supported by the UE, the UE shall:

- 1> retain the measurement configuration that was valid before the MEASUREMENT CONTROL message was received;
- 1> set the IE "RRC transaction identifier" in the MEASUREMENT CONTROL FAILURE message to the value of "RRC transaction identifier" in the entry for the MEASUREMENT CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry.
- 1> set the cause value in IE "failure cause" to "unsupported measurement";
- 1> submit the MEASUREMENT CONTROL FAILURE message to lower layers for transmission on the DCCH using AM RLC;
- 1> continue with any ongoing processes and procedures as if the invalid MEASUREMENT CONTROL message has not been received;
- 1> and the procedure ends.

#### 8.4.1.4a Configuration Incomplete

If the variable CONFIGURATION\_INCOMPLETE is set to TRUE, the UE shall:

- 1> retain the measurement configuration that was valid before the MEASUREMENT CONTROL message was received;
- 1> set the IE "RRC transaction identifier" in the MEASUREMENT CONTROL FAILURE message to the value of "RRC transaction identifier" in the entry for the MEASUREMENT CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS and clear that entry;
- 1> clear the variable CONFIGURATION\_INCOMPLETE;
- 1> set the cause value in IE "failure cause" to "Configuration incomplete";
- 1> submit the MEASUREMENT CONTROL FAILURE message to lower layers for transmission on the DCCH using AM RLC;
- 1> continue with any ongoing processes and procedures as if the invalid MEASUREMENT CONTROL message has not been received;
- 1> and the procedure ends.

#### 8.4.1.5 Invalid MEASUREMENT CONTROL message

If the MEASUREMENT CONTROL message contains a protocol error causing the variable `PROTOCOL_ERROR_REJECT` to be set to `TRUE` according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> set the IE "RRC transaction identifier" in the MEASUREMENT CONTROL FAILURE message to the value of "RRC transaction identifier" in the entry for the MEASUREMENT CONTROL message in the table "Rejected transactions" in the variable `TRANSACTIONS`; and
- 1> clear that entry.
- 1> set the IE "failure cause" to the cause value "protocol error";
- 1> include the IE "Protocol error information" with contents set to the value of the variable `PROTOCOL_ERROR_INFORMATION`;
- 1> submit the MEASUREMENT CONTROL FAILURE message to lower layers for transmission on the DCCH using AM RLC;
- 1> continue with any ongoing processes and procedures as if the invalid MEASUREMENT CONTROL message has not been received;
- 1> and the procedure ends.

#### 8.4.1.6 Measurements after transition from CELL\_DCH to CELL\_FACH/CELL\_PCH/URA\_PCH state

The UE shall apply the following rules for different measurement types after transiting from CELL\_DCH to CELL\_FACH/CELL\_PCH/URA\_PCH state:

##### 8.4.1.6.1 Intra-frequency measurement

Upon transition from CELL\_DCH to CELL\_FACH/CELL\_PCH/URA\_PCH state, the UE shall:

- 1> stop intra-frequency type measurement reporting;
- 1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE on the current frequency (in case the IE "Frequency info" is not received) or other than that indicated by this IE on the frequency indicated by the IE "Frequency info" (when the IE "Frequency info" is included); or
- 1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or
- 1> if the transition is not due to a reconfiguration message:
  - 2> delete the measurements of type intra-frequency associated with the variable `MEASUREMENT_IDENTITY`.
- 1> begin monitoring cells listed in the IE "intra-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11).

##### 8.4.1.6.2 Inter-frequency measurement

Upon transition from CELL\_DCH to CELL\_FACH/CELL\_PCH/URA\_PCH state, the UE shall:

- 1> stop the inter-frequency type measurement reporting assigned in a MEASUREMENT CONTROL message;
- 1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE on the current frequency (in case the IE "Frequency info" is not received) or other than that indicated by this IE on the frequency indicated by the IE "Frequency info" (when the IE "Frequency info" is included); or

- 1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or
- 1> if the transition is not due to a reconfiguration message:
  - 2> delete the measurements of type inter-frequency associated with the variable MEASUREMENT\_IDENTITY and delete the corresponding compressed mode pattern.
- 1> for remaining compressed mode patterns, set the IE "TGPS Status Flag" to "deactivate" and the IE "Current TGPS Status Flag" to "inactive" in the variable TGPS\_IDENTITY.
- 1> begin monitoring cells listed in the IE "inter-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);
- 1> in CELL\_FACH state:
  - 2> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

#### 8.4.1.6.3 Inter-RAT measurement

Upon transition from CELL\_DCH to CELL\_FACH/CELL\_PCH/URA\_PCH state, the UE shall:

- 1> stop the inter-RAT type measurement reporting assigned in a MEASUREMENT CONTROL message;
- 1> delete the measurements of type inter-RAT associated with the variable MEASUREMENT\_IDENTITY and delete the corresponding compressed mode pattern;
- 1> begin monitoring cells listed in the IE "inter-RAT cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);
- 1> in CELL\_FACH state:
  - 2> perform measurements on other systems according to the IE "FACH measurement occasion info".

#### 8.4.1.6.4 Quality measurement

Upon transition from CELL\_DCH to CELL\_FACH/CELL\_PCH/URA\_PCH state, the UE shall:

- 1> stop quality type measurement reporting;
- 1> delete all measurement control information of measurement type "quality" stored in the variable MEASUREMENT\_IDENTITY.

#### 8.4.1.6.5 UE internal measurement

Upon transition from CELL\_DCH to CELL\_FACH/CELL\_PCH/URA\_PCH state, the UE shall:

- 1> stop UE internal measurement type measurement reporting;
- 1> delete all measurement control information of measurement type "UE internal" stored in the variable MEASUREMENT\_IDENTITY.

#### 8.4.1.6.6 Traffic volume measurement

Upon transition from CELL\_DCH to CELL\_FACH or CELL\_PCH or URA\_PCH state, the UE shall:

- 1> retrieve each set of measurement control information of measurement type "traffic volume" stored in the variable MEASUREMENT\_IDENTITY; and
  - 2> if the optional IE "measurement validity" for this measurement has not been included:
    - 3> delete the measurement associated with the variable MEASUREMENT\_IDENTITY.
  - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "CELL\_DCH":

- 3> stop measurement reporting;
- 3> store the measurement associated with the variable MEASUREMENT\_IDENTITY to be used after the next transition to CELL\_DCH state.
- 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states":
  - 3> continue measurement reporting.
- 2> if the IE "measurement validity" has been included and the IE "UE state" has been assigned to value "all states except CELL\_DCH":
  - 3> resume this measurement and associated reporting.
- 1> if no traffic volume type measurement has been assigned to the UE with a MEASUREMENT CONTROL message that is valid in CELL\_FACH or CELL\_PCH or URA\_PCH states (stored in the variable MEASUREMENT\_IDENTITY), which has the same identity as the one indicated in the IE "Traffic volume measurement system information":
  - 2> store the measurement control information from the IE "Traffic volume measurement system information" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) in the variable MEASUREMENT\_IDENTITY;
  - 2> begin traffic volume measurement reporting according to the assigned information.

#### 8.4.1.6.7 UE positioning measurement

Upon transition from CELL\_DCH to CELL\_PCH or URA\_PCH, the UE shall:

- 1> if the UE does not support UP assisted GPS measurement validity in CELL\_PCH and URA\_PCH states as indicated in the IE "UE positioning capability" included in the IE "UE Radio Access Capability":
  - 2> stop UE positioning measurement reporting for measurements stored in the variable MEASUREMENT\_IDENTITY with the IE "Positioning Methods" set to "GPS".

Upon transition from CELL\_DCH to CELL\_FACH, or upon transition from CELL\_DCH to CELL\_PCH or URA\_PCH and if the UE supports UP assisted GPS measurement validity in CELL\_PCH and URA\_PCH states as indicated in the IE "UE positioning capability" included in the IE "UE Radio Access Capability", the UE shall:

- 1> retrieve each set of measurement control information of measurement type "UE positioning" stored in the variable MEASUREMENT\_IDENTITY; and
  - 2> if the optional IE "measurement validity" for this measurement has not been included:
    - 3> delete the measurement associated with the variable MEASUREMENT\_IDENTITY.
  - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "CELL\_DCH":
    - 3> stop measurement reporting;
    - 3> store the measurement associated with the variable MEASUREMENT\_IDENTITY to be used after the next transition to CELL\_DCH state.
  - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states":
    - 3> upon transition from CELL\_DCH to CELL\_PCH or URA\_PCH:
      - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT\_IDENTITY is set to "UE positioning reporting criteria" and the value of the IE "Measurement interval" included in this IE is less than 64 seconds:
        - 5> consider the value of the IE "Measurement interval " as being 64 seconds;

- 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT\_IDENTITY is set to "Periodical Reporting Criteria" and the value of the IE "Reporting interval" included in this IE is less than 64 seconds:
  - 5> consider the value of the IE "Reporting Interval" as being 64 seconds
- 3> continue measurement reporting according to its UE positioning measurement reporting capability.
- 2> if the IE "measurement validity" has been included and the IE "UE state" has been assigned to value "all states except CELL\_DCH":
  - 3> upon transition from CELL\_DCH to CELL\_PCH or URA\_PCH:
    - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT\_IDENTITY is set to "UE positioning reporting criteria" and the value of the IE "Measurement interval " included in this IE is less than 64 seconds:
      - 5> consider the value of the IE "Measurement interval " as being 64 seconds.
    - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT\_IDENTITY is set to "Periodical Reporting Criteria" and the value of the IE "Reporting interval" included in this IE is less than 64 seconds:
      - 5> consider the value of the IE "Reporting Interval" as being 64 seconds.
  - 3> resume this measurement and associated reporting according to its UE Positioning measurement reporting capability.
- 1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE; or
- 1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or
- 1> if the transition is due to a reconfiguration message which included the IE "Frequency info", and the UE selects a cell on another frequency than that indicated by this IE; or
- 1> if the transition is due to a reconfiguration message which does not include the IE "Frequency info", and the UE can not find a cell on the current frequency, but it selects a cell on another frequency; or
- 1> if the transition is not due to a reconfiguration message:
  - 2> delete the assistance data included in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED and UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED.
- 1> if the IE "Positioning Methods" stored in the variable MEASUREMENT\_IDENTITY is set to "OTDOA" or "OTDOA or GPS":
  - 2> if the IE "Method type" stored in the variable MEASUREMENT\_IDENTITY is set to "UE-based" or "UE assisted preferred but UE-based allowed" or "UE-based preferred but UE-assisted allowed":
    - 3> begin monitoring assistance data received in System Information Block type 15.4 and System Information Block type 15.5 according to subclause 8.1.1.6.15.
  - 2> if the IE "Method type" stored in the variable MEASUREMENT\_IDENTITY is set to "UE-assisted":
    - 3> begin monitoring assistance data received in System Information Block type 15.4 according to subclause 8.1.1.6.15.
- 1> if the UE is in CELL\_FACH state:
  - 2> if the IE "UE positioning OTDOA neighbour cell list for UE assisted" stored in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED or UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED contains neighbour cells on other frequencies than the current frequency:
    - 3> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

The UE may:

- 1> if the IE "Positioning Methods" stored in the variable MEASUREMENT\_IDENTITY is set to "GPS" or "OTDOA or GPS":
  - 2> begin monitoring assistance data received in System Information Block type 15 and/or System Information Block type 15.1 and/or System Information Block type 15.2 and/or System Information Block type 15.3 according to subclause 8.1.1.6.15.

#### 8.4.1.6a Actions in CELL\_FACH/CELL\_PCH/URA/PCH state upon cell re-selection

Upon cell reselection while in CELL\_FACH/CELL\_PCH/URA/PCH state and the cell reselection has occurred after the measurement control information was stored, the UE shall:

- 1> delete all measurements of type intra-frequency, inter-frequency, and inter-RAT associated with the variable MEASUREMENT\_IDENTITY;
- 1> delete all compressed mode patterns associated with inter-frequency and inter-RAT measurements;
- 1> delete the traffic volume measurements that have not been set up or modified through a MEASUREMENT CONTROL message.

#### 8.4.1.7 Measurements after transition from CELL\_FACH to CELL\_DCH state

The UE shall apply the following rules for different measurement types after transiting from CELL\_FACH to CELL\_DCH state:

##### 8.4.1.7.1 Intra-frequency measurement

Upon transition from CELL\_FACH to CELL\_DCH state:

- 1> if intra-frequency measurements applicable to CELL\_DCH state are stored in the variable MEASUREMENT\_IDENTITY:
  - 2> if the cell in which the UE transited from CELL\_FACH state is included in the active set for the CELL\_DCH state, the UE shall:
    - 3> resume the measurement reporting.
  - 2> otherwise, the UE shall:
    - 3> not resume the measurement reporting. The measurement shall be restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

##### 8.4.1.7.2 Inter-frequency measurement

Upon transition from CELL\_FACH to CELL\_DCH state:

- 1> if inter-frequency measurements applicable to CELL\_DCH state are stored in the variable MEASUREMENT\_IDENTITY:
  - 2> if the cell in which the UE transited from CELL\_FACH state is included in the active set for the CELL\_DCH state, the UE shall:
    - 3> resume the measurement reporting.
  - 2> otherwise, the UE shall:
    - 3> not resume the measurement reporting. The measurement shall be restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

##### 8.4.1.7.3 Inter-RAT measurement

Upon transition from CELL\_FACH to CELL\_DCH state, the UE shall:

- 1> stop monitoring the list of cells assigned in the IE "inter-RAT cell info list" in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11).

#### 8.4.1.7.4 Traffic volume measurement

Upon transition from CELL\_FACH to CELL\_DCH state, the UE shall:

- 1> retrieve each set of measurement control information of measurement type "traffic volume" stored in the variable MEASUREMENT\_IDENTITY;
  - 2> if the optional IE "measurement validity" for this measurement has not been included:
    - 3> delete the measurement associated with the variable MEASUREMENT\_IDENTITY.
  - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states except CELL\_DCH":
    - 3> stop measurement reporting; and
    - 3> save the measurement associated with the variable MEASUREMENT\_IDENTITY to be used after the next transition to CELL\_FACH/CELL\_PCH/URA\_PCH state.
  - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states":
    - 3> continue measurement reporting.
  - 2> if the IE "measurement validity" has been included and the IE "UE state" has been assigned to value "CELL\_DCH":
    - 3> resume this measurement and associated reporting.
- 1> if no traffic volume type measurement has been assigned to the UE with a MEASUREMENT CONTROL message that is valid in CELL\_DCH and has the same identity as the one indicated in the IE "Traffic volume measurement system information":
  - 2> store the measurement control information from the IE "Traffic volume measurement system information" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) in the variable MEASUREMENT\_IDENTITY;
  - 2> begin traffic volume measurement reporting according to the assigned information.

#### 8.4.1.7.5 UE positioning measurement

Upon transition from CELL\_FACH to CELL\_DCH state, the UE shall:

- 1> retrieve each set of measurement control information of measurement type "UE positioning" stored in the variable MEASUREMENT\_IDENTITY; and
  - 2> if the optional IE "Measurement validity" for this measurement has not been included:
    - 3> delete the measurement associated with the variable MEASUREMENT\_IDENTITY.
  - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states except CELL\_DCH":
    - 3> stop measurement reporting; and
    - 3> save the measurement associated with the variable MEASUREMENT\_IDENTITY to be used after the next transition to CELL\_FACH/CELL\_PCH/URA\_PCH state.
  - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states":
    - 3> continue measurement reporting.



- 2> if the IE "measurement validity" has been included and the IE "UE state" has been assigned to value "CELL\_DCH":
  - 3> resume this measurement and associated reporting.

- 1> stop monitoring assistance data received in System Information Block type 15 or System Information Block type 15.1 or System Information Block type 15.2 or System Information Block type 15.3 or System Information Block type 15.4 or System Information Block 15.5.

#### 8.4.1.8 Measurements after transition from idle mode to CELL\_DCH state

The UE shall obey the following rules for different measurement types after transiting from idle mode to CELL\_DCH state:

##### 8.4.1.8.1 Intra-frequency measurement

Upon transition from idle mode to CELL\_DCH state:

- 1> if intra-frequency measurements applicable to CELL\_DCH state are stored in the variable MEASUREMENT\_IDENTITY:
  - 2> if the cell in which the UE transited from idle mode is included in the active set for the CELL\_DCH state, the UE shall:
    - 3> begin measurement reporting.
  - 2> otherwise, the UE shall:
    - 3> not begin the measurement reporting. The measurement shall be restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

##### 8.4.1.8.2 Inter-frequency measurement

Upon transition from idle mode to CELL\_DCH state, the UE shall:

- 1> stop monitoring the list of cells assigned in the IE "inter-frequency cell info list" in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11).

##### 8.4.1.8.3 Inter-RAT measurement

Upon transition from idle mode to CELL\_DCH state, the UE shall:

- 1> stop monitoring the list of cells assigned in the IE "inter-RAT cell info list" in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11).

##### 8.4.1.8.4 Traffic volume measurement

Upon transition from idle mode to CELL\_DCH state, the UE shall:

- 1> begin a traffic volume type measurement, assigned in System Information Block type 11 (or System Information Block type 12, according to subclause 8.1.1.6.11).

##### 8.4.1.8.5 UE positioning measurement

Upon transition from idle mode to CELL\_DCH state, the UE shall:

- 1> stop monitoring assistance data received in System Information Block type 15 or System Information Block type 15.1 or System Information Block type 15.2 or System Information Block type 15.3 or System Information Block type 15.4 or System Information Block type 15.5.

#### 8.4.1.9 Measurements after transition from idle mode to CELL\_FACH state

The UE shall obey the follow rules for different measurement types after transiting from idle mode to CELL\_FACH state:

##### 8.4.1.9.1 Intra-frequency measurement

Upon transition from idle mode to CELL\_FACH state, the UE shall:

- 1> begin or continue monitoring cells listed in the IE "intra-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11).

##### 8.4.1.9.2 Inter-frequency measurement

Upon transition from idle mode to CELL\_FACH state, the UE shall:

- 1> begin or continue monitoring cells listed in the IE "inter-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);
- 1> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

##### 8.4.1.9.3 Inter-RAT measurement

Upon transition from idle mode to CELL\_FACH state, the UE shall:

- 1> begin or continue monitoring cells listed in the IE "inter-RAT cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);
- 1> perform measurements on other systems according to the IE "FACH measurement occasion info".

##### 8.4.1.9.4 Traffic volume measurement

Upon transition from idle mode to CELL\_FACH state, the UE shall:

- 1> store the measurement control information from the IE "Traffic volume measurement system information" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) in the variable MEASUREMENT\_IDENTITY;
- 1> begin traffic volume measurement reporting according to the assigned information.

##### 8.4.1.9.5 UE positioning measurement

Upon transition from idle mode to CELL\_FACH state, the UE may:

- 1> begin or continue monitoring assistance data received in System Information Block type 15 or System Information Block type 15.1 or System Information Block type 15.2 or System Information Block type 15.3 or System Information Block type 15.4 or System Information Block type 15.5 according to subclause 8.1.1.6.15;
- 1> if the IE "UE positioning OTDOA neighbour cell list for UE assisted" stored in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED; or
- 1> if the IE "UE positioning OTDOA neighbour cell list for UE based" stored in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED contains neighbour cells on other frequencies than the current frequency:
  - 2> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

#### 8.4.1.9a Measurements after transition from connected mode to idle mode

Upon transition from connected mode to idle mode the UE shall:

- 1> stop measurement reporting for all measurements stored in the variable MEASUREMENT\_IDENTITY;

- 1> clear the variable MEASUREMENT\_IDENTITY;
- 1> apply the following rules for different measurement types.

#### 8.4.1.9a.1 Intra-frequency measurement

Upon transition from connected mode to idle mode, the UE shall:

- 1> stop monitoring intra-frequency cells listed in the IE "intra-frequency cell info list" received in System Information Block type 12 (if System Information Block type 12 is transmitted in the cell, according to subclause 8.1.1.6.11);
- 1> begin monitoring intra-frequency cells listed in the IE "intra-frequency cell info list" received in System Information Block type 11.

#### 8.4.1.9a.2 Inter-frequency measurement

Upon transition from connected mode to idle mode, the UE shall:

- 1> stop monitoring inter-frequency cells listed in the IE "inter-frequency cell info list" received in System Information Block type 12 (if System Information Block type 12 is transmitted in the cell, according to subclause 8.1.1.6.11);
- 1> begin monitoring inter-frequency cells listed in the IE "inter-frequency cell info list" received in System Information Block type 11.

#### 8.4.1.9a.3 Inter-RAT measurement

Upon transition from connected mode to idle mode, the UE shall:

- 1> stop monitoring inter-RAT cells listed in the IE "inter-RAT cell info list" received in System Information Block type 12 (if System Information Block type 12 is transmitted in the cell, according to 8.1.1.6.11);
- 1> begin monitoring inter-RAT cells listed in the IE "inter-RAT cell info list" received in System Information Block type 11.

#### 8.4.1.9a.4 UE positioning measurement

Upon transition from connected mode to idle mode, the UE may:

- 1> begin or continue monitoring assistance data received in System Information Block type 15 or System Information Block type 15.1 or System Information Block type 15.2 or System Information Block type 15.3 or System Information Block type 15.4 or System Information Block type 15.5.

### 8.4.1.9b Measurements after transition from CELL\_FACH to CELL\_PCH/URA\_PCH

#### 8.4.1.9b.1 Traffic volume measurement

Upon transition from CELL\_FACH to CELL\_PCH, the UE shall stop any ongoing traffic volume measurement, and associated traffic volume measurement reporting.

### 8.4.1.9c Measurements after transition from CELL\_PCH/URA\_PCH to CELL\_FACH

#### 8.4.1.9c.1 Traffic volume measurement

Upon transition from CELL\_PCH to CELL\_FACH, the UE shall resume any traffic volume measurement stored in the variable MEASUREMENT\_IDENTITY with measurement validity "all states" or "all states except CELL\_DCH", and start the associated traffic volume measurement reporting.

## 8.4.1.10 Changes in measurement objects

### 8.4.1.10.1 Traffic volume measurement

When performing traffic volume event evaluation or reporting related to a certain transport channel, the UE shall consider all RBs which are mapped to the concerning transport channel e.g. if an additional RB is established on a transport channel used for event triggering or reporting, the new RB shall be taken into account.

NOTE: In this subclause, an "existing" uplink transport channel refers to a configured uplink transport channel applicable in the current RRC state.

The UE shall:

- 1> if the IE "Traffic volume measurement object" is included for this measurement:
  - 2> while a transport channel that is referenced in the IE "Traffic volume measurement object" does not exist:
    - 3> not perform any reporting related to this transport channel.
- 1> else:
  - 2> report on all existing uplink transport channels; e.g. if an additional transport channel is established while the measurement is ongoing, this new transport channel shall be taken into account in the traffic volume measurement reporting.

For every traffic volume event, the UE shall:

- 1> if the IE "Uplink transport channel type" is not included in the IE "Traffic volume measurement reporting criteria", or the "Uplink transport channel type" has the value "DCH" or "USCH" and the IE "UL transport channel id" is not included in the IE "Traffic volume measurement reporting criteria":
  - 2> if the IE "Traffic volume measurement object" is not included:
    - 3> take all existing UL transport channels into account for event triggering; e.g. if an additional transport channel is established while the measurement is ongoing, this new transport channel shall be taken into account in the traffic volume event triggering.
  - 2> else:
    - 3> while a transport channel that is referenced in the IE "Traffic Volume Measurement object" does not exist:
      - 4> not take this transport channel identity into account in the traffic volume measurement triggering.
- 1> else:
  - 2> while a transport channel that is referenced in the IE "Traffic Volume Measurement Reporting Criteria" does not exist:
    - 3> not take this transport channel identity into account in the traffic volume event triggering.

### 8.4.1.10.2 Quality measurement

While a transport channel that is explicitly referenced with a transport channel identity in the IE "Quality Reporting Quantity" does not exist, the UE shall:

- 1> not perform any reporting related to this transport channel identity.

If the IE "Quality Reporting Quantity" does not contain any explicit transport channel identities, the UE shall:

- 1> report the quality of all existing downlink dedicated transport channels;
- 1> if an additional transport channel is established while the measurement is ongoing:
  - 2> take into account this new transport channel in the quality measurement reporting.

While a transport channel that is explicitly referenced with a transport channel id in the IE "Quality Measurement Reporting Criteria" does not exist, the UE shall:

- 1> not take this transport channel identity into account in the quality measurement event triggering.

#### 8.4.1.10.3 Intra-frequency, Inter-frequency and Inter-RAT measurements

For measurements which include the IE "Cells for measurement" the UE shall:

- 1> while an IE "Intra-frequency cell id" or IE "Inter-frequency cell id" or IE "Inter-RAT cell id" in the IE "Cells for measurement" points to a position in the variable CELL\_INFO\_LIST which is marked as "vacant":
- 2> not take this position into account for event triggering and reporting.

### 8.4.2 Measurement report



Figure 8.4.2-1: Measurement report, normal case

#### 8.4.2.1 General

The purpose of the measurement reporting procedure is to transfer measurement results from the UE to UTRAN.

#### 8.4.2.2 Initiation

In CELL\_DCH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT\_IDENTITY are met for any ongoing measurements that are being performed in the UE.

In CELL\_FACH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT\_IDENTITY are met for any ongoing traffic volume measurement or UE positioning measurement that is being performed in the UE.

In TDD, if the Radio Bearer associated with the MEASUREMENT\_IDENTITY fulfilling the reporting criteria for an ongoing traffic volume measurement is mapped on transport channel of type USCH, the UE shall:

- 1> initiate the "PUSCH CAPACITY REQUEST" procedure instead of transmitting a MEASUREMENT REPORT (TDD Only).

In CELL\_PCH or URA\_PCH state, the UE shall:

- 1> first perform the cell update procedure according to subclause 8.3.1, using the cause "uplink data transmission", in order to transit to CELL\_FACH state; and then
- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT\_IDENTITY are fulfilled for any ongoing UE positioning measurement which is being performed in the UE.

The reporting criteria are fulfilled if either:

- a periodic MEASUREMENT REPORT message shall be sent according to the IE "Periodical Reporting Criteria"; or

- an event in stored IE "Measurement reporting criteria" was triggered. Events and triggering of reports for different measurement types are described in detail in clause 14.

For the measurement, which triggered the MEASUREMENT REPORT message, the UE shall:

- 1> set the IE "measurement identity" to the measurement identity, which is associated with that measurement in variable MEASUREMENT\_IDENTITY;
- 1> set the IE "measured results" to include measurements according to the IE "reporting quantity" of that measurement stored in variable MEASUREMENT\_IDENTITY; and
  - 2> if all the reporting quantities are set to "false":
    - 3> not set the IE "measured results".
- 1> set the IE "Measured results" in the IE "Additional measured results" according to the IE "reporting quantity" for all measurements associated with the measurement identities included in the "Additional measurements list" stored in variable MEASUREMENT\_IDENTITY of the measurement that triggered the measurement report; and
  - 2> if one or more additional measured results are to be included:
    - 3> include only the available additional measured results, and sort them in ascending order according to their IE "measurement identity" in the MEASUREMENT REPORT message.
- 1> if the MEASUREMENT REPORT message was triggered by an event (i.e. not a periodical report):
  - 2> set the IE "Event results" according to the event that triggered the report.
- 1> if the observed time difference for one or more GSM cells is included in the MEASUREMENT REPORT message:
  - 2> set the IE "GSM OTD reference cell" to the primary CPICH info of the active set cell that was used as reference for the measurement.

The UE shall:

- 1> transmit the MEASUREMENT REPORT message on the uplink DCCH using either AM or UM RLC according to the stored IE "measurement reporting mode" associated with the measurement identity that triggered the report.

When the MEASUREMENT REPORT message has been submitted to lower layers for transmission:

- 1> the procedure ends.

### 8.4.3 Assistance Data Delivery



Figure 8.4.3-1 Assistance Data Delivery

#### 8.4.3.1 General

The purpose of the assistance data delivery procedure is to transfer UE positioning related assistance data from the UTRAN to the UE.

### 8.4.3.2 Initiation

When requested by the Core Network, the UTRAN may deliver UE positioning related assistance data with a ASSISTANCE DATA DELIVERY message, which is transmitted on the downlink DCCH using AM RLC

### 8.4.3.3 Reception of ASSISTANCE DATA DELIVERY message by the UE

Upon reception of a ASSISTANCE DATA DELIVERY message the UE shall:

- 1> if IE "UE positioning OTDOA assistance data for UE-based" is included:
  - 2> act as specified in subclause 8.6.7.19.2a.
- 1> if IE "UE positioning GPS assistance data" is included:
  - 2> act as specified in subclause 8.6.7.19.3.

### 8.4.3.4 Invalid ASSISTANCE DATA DELIVERY message

If the UE receives a ASSISTANCE DATA DELIVERY message, which contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- 1> transmit an RRC STATUS message on the uplink DCCH using AM RLC;
- 1> include the IE "Identification of received message"; and
- 1> set the IE "Received message type" to ASSISTANCE DATA DELIVERY; and
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the ASSISTANCE DATA DELIVERY message in the table "Rejected transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION.
- 1> when the RRC STATUS message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid ASSISTANCE DATA DELIVERY message has not been received.

## 8.5 General procedures

### 8.5.1 Selection of initial UE identity

The purpose of the IE "Initial UE identity" is to provide a unique UE identification at the establishment of an RRC connection. The type of identity shall be selected by the UE according to the following.

Upper layers shall set the variable SELECTED\_PLMN. If the variable SELECTED\_PLMN in the UE indicates "GSM-MAP", the UE shall choose "UE id type" in the IE "Initial UE identity" with the following priority:

1. TMSI (GSM-MAP): The TMSI (GSM-MAP) shall be chosen if available. The IE "LAI" in the IE "Initial UE identity" shall also be present when TMSI (GSM-MAP) is used, for making it unique.
2. P-TMSI (GSM-MAP): The P-TMSI (GSM-MAP) shall be chosen if available and no TMSI (GSM-MAP) is available. The IE "RAI" in the IE "Initial UE identity" shall in this case also be present when P-TMSI (GSM-MAP) is used, for making it unique.
3. IMSI (GSM-MAP): The IMSI (GSM-MAP) shall be chosen if available and no TMSI (GSM-MAP) or P-TMSI is available.

4. IMEI: The IMEI shall be chosen when none of the above three conditions are fulfilled.

When being used, the IEs "TMSI (GSM-MAP)", "P-TMSI (GSM-MAP)", "IMSI (GSM-MAP)", "LAI" and "RAI" shall be set equal to the values of the corresponding identities stored in the USIM or SIM.

If the variable `SELECTED_PLMN` in the UE indicates "ANSI-41", the UE shall choose "UE id type" in the IE "Initial UE identity" according to the procedure specified in the 3GPP2 document "3GPP2 C.P0004-A".

## 8.5.2 Actions when entering idle mode from connected mode

When entering idle mode from connected mode, the UE shall:

- 1> clear or set variables upon leaving UTRA RRC connected mode as specified in subclause 13.4;
- 1> attempt to select a suitable cell to camp on.

When leaving connected mode according to [4], the UE shall:

- 1> perform cell selection.

While camping on a cell, the UE shall:

- 1> acquire system information according to the system information procedure in subclause 8.1;
- 1> perform measurements according to the measurement control procedure specified in subclause 8.4; and
- 1> if the UE is registered:
  - 2> be prepared to receive paging messages according to the paging procedure in subclause 8.2.

If IE "PLMN identity" within variable `SELECTED_PLMN` has the value "GSM-MAP", the UE shall:

- 1> delete any NAS system information received in connected mode;
- 1> acquire the NAS system information in system information block type 1; and
- 1> proceed according to subclause 8.6.1.2.

When entering idle mode, the UE shall:

- 1> if the USIM is present, for each CN domain:
  - 2> if a new security key set was received for this CN domain but was not used either for integrity protection or ciphering during this RRC connection:
    - 3> set the `START` value for this domain to zero; and
    - 3> store this `START` value for this domain in the USIM.
  - 2> else:
    - 3> if the current "START" value, according to subclause 8.5.9 for a CN domain, is greater than or equal to the value "THRESHOLD" of the variable `START_THRESHOLD`:
      - 4> delete the ciphering and integrity keys that are stored in the USIM for that CN domain;
      - 4> inform the deletion of these keys to upper layers.
    - 3> else:
      - 4> store the current "START" value for this CN domain on the USIM.

NOTE: Prior to storing the "START" value, the UE should calculate this "START" value according to subclause 8.5.9.

- 1> else:



- 2> if the SIM is present, for each CN domain:
  - 3> if a new security key set was received for this CN domain but was not used either for integrity protection or ciphering during this RRC connection:
    - 4> set the START value for this domain to zero; and
    - 4> store this START value for this domain in the UE
  - 3> else:
    - 4> if the current "START" value, according to subclause 8.5.9 for this CN domain, is greater than or equal to the value "THRESHOLD" of the variable START\_THRESHOLD:
      - 5> delete the Kc key for this CN domain;
      - 5> delete the ciphering and integrity keys that are stored in the UE for that CN domain;
      - 5> set the "START" values for this CN domain to zero and store it the UE;
      - 5> inform the deletion of the key to upper layers.
    - 4> else:
      - 5> store the current "START" value for this CN domain in the UE.

NOTE: Prior to storing the "START" value, the UE should calculate this "START" value according to subclause 8.5.9.

### 8.5.3 Open loop power control upon establishment of DPCCH

This procedure is used in FDD mode only.

When establishing the first DPCCH the UE shall start the UL inner loop power control at a power level according to:

$$1> \text{DPCCH\_Initial\_power} = \text{DPCCH\_Power\_offset} - \text{CPICH\_RSCP}$$

Where

DPCCH\_Power\_offset shall have the value of IE "DPCCH Power offset" in IE "Uplink DPCH power control info"

The value for the CPICH\_RSCP shall be measured by the UE.

### 8.5.4 Physical channel establishment criteria

When a physical dedicated channel establishment is initiated by the UE, the UE shall start a timer T312 and wait for layer 1 to indicate N312 "in sync" indications. On receiving N312 "in sync" indications, the physical channel is considered established and the timer T312 is stopped and reset.

If the timer T312 expires before the physical channel is established, the UE shall consider this as a "physical channel failure".

NOTE: The criteria defined in this subclause only apply in case the UE performs synchronisation procedure A (FDD only).

### 8.5.5 Actions in "out of service area" and "in service area"

This subclause specifies the general actions the UE shall perform when it detects "out of service" or "in service" area. The specific UE behaviour when it detects "out of service" or "in service area" and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" is specified in subclause 8.3.1.

### 8.5.5.1 Detection of "out of service" area

The UE shall detect "out of service" area as defined in [19].

#### 8.5.5.1.1 Actions following detection of "out of service" area in URA\_PCH or CELL\_PCH state

If the UE detects the "out of service area" and the UE is in URA\_PCH or CELL\_PCH state it shall perform the following actions:

- 1> start timer T316;
- 1> perform processes described in subclause 7.2.2.

#### 8.5.5.1.2 Actions following detection of "out of service" area in CELL\_FACH state

If the UE detects the "out of service area" and the UE is in CELL\_FACH state it shall perform the following actions. The UE shall:

- 1> start timer T317 if not already running;
- 1> perform processes described in subclause 7.2.2.

#### 8.5.5.1.3 Actions following detection of "out of service" area on transition from CELL\_DCH to URA\_PCH or CELL\_PCH

If the UE detects the "out of service area" on transition from CELL\_DCH to URA\_PCH or CELL\_PCH, it should perform the following actions:

- 1> start timer T316;
- 1> start timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity"; and
- 1> perform processes described in subclause 7.2.2.

#### 8.5.5.1.4 Actions following detection of "out of service" area on transition from CELL\_DCH to CELL\_FACH

If the UE detects the "out of service area" on transition from CELL\_DCH to CELL\_FACH, it should perform the following actions:

- 1> if the transition is triggered by a reconfiguration procedure:
  - 2> start timer T317;
  - 2> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity"; and
  - 2> perform processes described in subclause 7.2.2.
- 1> otherwise:
  - 2> perform processed described in subclause 7.2.2.

### 8.5.5.2 Detection of "in service" area

When a suitable cell is found based on the description in [4], the UE considers it as having detected "in service area".

#### 8.5.5.2.1 Actions following Re-entry into "in service area" in URA\_PCH or CELL\_PCH state

If the UE re-enters "in service area" before T316 expiry the UE shall perform the following actions. The UE shall:

- 1> stop T316;
- 1> if T307 is active:
  - 2> stop T307.
- 1> perform processes described in subclause 7.2.2.

#### 8.5.5.2.2 Actions following re-entry into "in service area" in CELL\_FACH state

If the UE detects "in service area" before T317 expiry the UE shall perform the following actions. If no cell update procedure or URA update procedure is ongoing, the UE shall:

- 1> stop T317;
- 1> if T307 is active:
  - 2> stop T307.
- 1> initiate the cell update procedure using as cause "Re-entering service area" as specified in subclause 8.3.1;
- 1> perform processes described in subclause 7.2.2.

If a cell update procedure or URA update procedure is ongoing, the UE shall:

- 1> stop T317;
- 1> perform the actions as specified in 8.3.1.

#### 8.5.5.3 T316 expiry

On T316 expiry the UE shall perform the following actions. The UE shall:

- 1> if "out of service area" is detected:
  - 2> start timer T317;
  - 2> move to CELL\_FACH state;
  - 2> perform processes described in subclause 7.2.2.
- 1> if "in service area" is detected:
  - 2> initiate the cell update procedure using as cause "Re-entering service area" as specified in subclause 8.3.1;
  - 2> perform processes described in subclause 7.2.2.

#### 8.5.5.4 T317 expiry

T317 should never expire, i.e. all its values should be assumed to be "infinity".

If the UE is using the value of "infinity" for T317, and T317 is running:

- 1> the UE behaviour shall be as specified in subclause 7.2.2.2.

When the T317 expires, the UE shall:

- 1> move to idle mode;
- 1> release all dedicated resources;

- 1> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- 1> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 1> clear the variable ESTABLISHED\_RABS;
- 1> perform actions specified in subclause 8.5.2 when entering idle mode from connected mode.

## 8.5.6 Radio link failure criteria and actions upon radio link failure

In CELL\_DCH State, after receiving N313 consecutive "out of sync" indications from layer 1 for the established DPCH physical channel in FDD, and the DPCH associated with mapped DCCHs in TDD, the UE shall:

- 1> start timer T313;
- 1> upon receiving N315 successive "in sync" indications from layer 1 and upon change of UE state:
  - 2> stop and reset timer T313.
- 1> if T313 expires:
  - 2> consider it as a "Radio link failure".

Periods in time where neither "in sync" nor "out of sync" is reported by layer 1 do not affect the evaluation of the number of consecutive (resp. successive) "in sync" or "out of sync" indications.

When a radio link failure occurs, the UE shall:

- 1> clear the dedicated physical channel configuration;
- 1> perform actions as specified for the ongoing procedure;
- 1> if no procedure is ongoing or no actions are specified for the ongoing procedure:
  - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "radio link failure".

## 8.5.7 Open loop power control

For FDD and prior to PRACH or PCPCH transmission the UE shall:

- 1> acquire valid versions of the necessary System Information IEs as follows:
  - 2> if the UE has stored valid versions of the IEs "Primary CPICH Tx power" and "Constant value":
    - 3> use the stored content of the IEs.
  - 2> otherwise:
    - 3> read and store the IE "Primary CPICH Tx power" and "Constant value" in System Information Block type 6 (or System Information Block type 5, if System Information Block type 6 is not being broadcast).
  - 2> if the UE has a valid version of the IE "UL interference" stored:
    - 3> use the stored content of the IE "UL interference".
  - 2> otherwise:
    - 3> read and store the IE "UL interference" in System Information Block type 7;
    - 3> if the UE fails to read the IE "UL interference" in System Information Block type 7 due to bad radio conditions, the UE shall use the last stored IE "UL interference".
- 1> measure the value for the CPICH\_RSCP;

1> calculate the power for the first preamble as:

$$\text{Preamble\_Initial\_Power} = \text{Primary CPICH TX power} - \text{CPICH\_RSCP} + \text{UL interference} + \text{Constant Value}$$

Where,

Primary CPICH TX power shall have the value of IE "Primary CPICH Tx power",

UL interference shall have the value of IE "UL interference"; and

Constant Value shall have the value of IE "Constant value".

1> as long as the physical layer is configured for PRACH or PCPCH transmission:

2> continuously recalculate the Preamble\_Initial\_Power when any of the broadcast parameters used in the above formula changes; and

2> resubmit to the physical layer the new calculated Preamble\_Initial\_Power.

For 3.84 Mcps TDD the UE shall:

1> if in the IE "Uplink DPCH Power Control info" the "CHOICE UL OL PC info" has the value "Broadcast UL OL PC info":

2> prior to DPCH transmission the UE shall:

3> acquire valid versions of the necessary System Information IEs as follows:

4> if the UE has stored valid versions of the IEs "Primary CCPCH Tx power" and "DPCH Constant value":

5> use the stored content of the IEs.

4> otherwise:

5> read and store the IE "Primary CCPCH Tx power" and "DPCH Constant value" in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast).

3> if the UE has a valid version of the IE "UL interference" for each active UL timeslot stored:

4> use the stored content of the IE "UL interference" for each active UL timeslot.

3> otherwise:

4> read and store the IE "UL Timeslot Interference" for each active UL timeslot in System Information Block type 14;

4> if the UE fails to read the IE "UL Timeslot Interference" for each active UL time slot in System Information Block type 14 due to bad radio conditions, the UE shall use the last stored IE "UL Timeslot interference" for each active UL timeslot.

1> otherwise:

2> acquire Reference Power, Constant Values and  $I_{\text{BTS}}$  for all active UL timeslots from the IE "Uplink DPCH Power Control info".

1> for PUSCH and PRACH power control:

2> prior to PUSCH or PRACH transmission the UE shall:

3> acquire valid versions of the necessary System Information IEs as follows:

4> if the UE has stored valid versions of the IEs "Primary CCPCH Tx power" and "PUSCH Constant value" for PUSCH transmissions or "PRACH Constant value" for PRACH transmissions:

5> use the stored content of the IEs.

4> otherwise:

5> read and store the IE "Primary CCPCH Tx power" and "PUSCH Constant value" for PUSCH transmissions or "PRACH Constant value" for PRACH transmissions in System Information Block type 6 (or System Information Block type 5, if System Information Block type 6 is not being broadcast).

3> if the UE has a valid version of the IE "UL interference" for each active UL timeslot stored:

4> use the stored content of the IE "UL interference" for each active UL timeslot.

3> otherwise:

4> read and store the IE "UL Timeslot Interference" for each active UL timeslot in System Information Block type 14;

4> if the UE fails to read the IE "UL Timeslot Interference" for each active UL time slot in System Information Block type 14 due to bad radio conditions, the UE shall use the last stored IE "UL Timeslot interference" for each active UL timeslot.

1> calculate the UL transmit power according to the following formula for the PRACH continuously while the physical channel is active:

$$P_{\text{PRACH}} = L_{\text{PCCPCH}} + I_{\text{BTS}} + \text{PRACH Constant value},$$

2> 3dB shall be added to RACH Constant Value in the above equation for the case where RACH Spreading Factor = 8.

1> calculate the UL transmit power according to the following formula for the DPCH continuously while the physical channel is active:

$$P_{\text{DPCH}} = \alpha L_{\text{PCCPCH}} + (1-\alpha)L_0 + I_{\text{BTS}} + \text{SIR}_{\text{TARGET}} + \text{DPCH Constant value}$$

1> calculate the UL transmit power according to the following formula for the PUSCH continuously while the physical channel is active:

$$P_{\text{PUSCH}} = \alpha L_{\text{PCCPCH}} + (1-\alpha)L_0 + I_{\text{BTS}} + \text{SIR}_{\text{TARGET}} + \text{PUSCH Constant value}$$

Where, for all the above equations for TDD the following apply:

- $P_{\text{PRACH}}$ ,  $P_{\text{DPCH}}$ , &  $P_{\text{PUSCH}}$ : Transmitter power level in dBm;
- Pathloss values:
  - $L_{\text{PCCPCH}}$ : Measurement representing path loss in dB based on beacon channels (the reference transmit power is signalled as the value of the IE "Primary CCPCH Tx Power" on BCH in System Information Block type 6 (or System Information Block type 5, according to subclause 8.1.1.6.5), or individually signalled in the IE "Uplink DPCH Power Control info").
  - $L_0$ : Long term average of path loss in dB;
  - If the midamble is used in the evaluation of  $L_{\text{PCCPCH}}$  and  $L_0$ , and the Tx diversity scheme used for the P-CCPCH involves the transmission of different midambles from the diversity antennas, the received power of the different midambles from the different antennas shall be combined prior to evaluation of the variables.
- $I_{\text{BTS}}$ : Interference signal power level at cell's receiver in dBm.  $I_{\text{BTS}}$  shall have the value of the IE "UL Timeslot Interference" (IE "UL Timeslot Interference" is broadcast on BCH in System Information Block type 14 or individually signalled to each UE in the IE "Uplink DPCH Power Control info" for each active uplink timeslot).
- $\alpha$ :  $\alpha$  is a weighting parameter, which represents the quality of path loss measurements.  $\alpha$  may be a function of the time delay between the uplink time slot and the most recent down link PCCPCH time slot.  $\alpha$  is calculated at the UE.  $\alpha$  shall be smaller or equal to the value of the IE "Alpha". If the IE "Alpha" is not

explicitly signalled to the UE  $\alpha$  shall be set to 1. If UE is capable of estimating its position by using the OTDOA IPDL method, the UE shall use the IPDL- $\alpha$  parameter.

- $SIR_{TARGET}$ : Target SNR in dB. This value is individually signalled to UEs in IE "UL target SIR" in IE "Uplink DPCH Power Control Info" or in IE "PUSCH Power Control Info" respectively.
- PRACH Constant value: PRACH Constant value shall have the value of the IE "PRACH Constant value".
- DPCH Constant value: DPCH Constant value shall have the value of the IE "DPCH Constant value".
- PUSCH Constant value: PUSCH Constant value shall have the value of the IE "PUSCH Constant value".
- Values received by dedicated signalling shall take precedence over broadcast values.
- If IPDLs are applied, the UE may increase UL Tx power by the value given in the IE "Max power increase". This power increase is only allowed in the slots between an idle slot and the next beacon slot.

For 1.28 Mcps TDD the UE shall:

1> acquire valid versions of the necessary System Information IEs as follows:

2> if the UE has stored a valid version of the IE "Primary CCPCH Tx Power":

3> use the stored content of the IE.

2> otherwise:

3> read and store the IE "Primary CCPCH Tx Power" from System Information Block type 6 (or System Information Block type 5, if System Information Block type 6 is not being broadcast).

1> calculate the UL transmit power according to the following formula for each UpPCH code transmission:

$$P_{UpPCH} = L_{PCCPCH} + PRX_{UpPCHdes} + (i-1) * P_{wr_ramp}$$

NOTE: When  $i$  equals 1, the initial signature power "Signature\_Initial\_Power" defined in [33] corresponds to  $P_{UpPCH}$  with  $i$  set to 1.

1> calculate the UL transmit power according to the following formula for each PRACH transmission:

$$P_{PRACH} = L_{PCCPCH} + PRX_{PRACHdes} + (i_{UpPCH}-1) * P_{wr_ramp}$$

1> calculate the initial UL transmit power according to the following formula for the PUSCH. Once the UE receives TPC bits relating to the PUSCH then it transitions to closed loop power control. If successive PUSCH resource allocations are contiguous then no return is made to open loop power control at the beginning of the succeeding resource allocation.

$$P_{USCH} = PRX_{PUSCHdes} + L_{PCCPCH}$$

1> calculate the initial UL transmit power according to the following formula for the DPCH. Once the UE receives TPC bits relating to the uplink DPCH then it transitions to closed loop power control.

$$P_{DPCH} = PRX_{DPCHdes} + L_{PCCPCH}$$

Where:

- $P_{UpPCH}$ ,  $P_{PRACH}$ ,  $P_{DPCH}$ , &  $P_{USCH}$ : Transmitter power level in dBm.
- $L_{PCCPCH}$ : Measurement representing path loss in dB (reference transmit power "Primary CCPCH Tx Power" is broadcast on BCH in System Information Block type 5 and System Information Block type 6, or individually signalled to each UE in the IE "Uplink DPCH Power Control info").
- $i$  is the number of transmission attempts on UpPCH,  $i=1 \dots \text{Max SYNC\_UL Transmissions}$ .
- $i_{UpPCH}$  is the final value of  $i$ .

- $PRX_{PRACHdes}$ : Desired PRACH RX power at the cell's receiver in dBm signalled to the UE by the network in the FPACH response to the UE's successful SYNC\_UL transmission.
- $PRX_{UpPCHdes}$ : Desired UpPCH RX power at the cell's receiver in dBm. The value is broadcast in " $PRX_{UpPCHdes}$ " in IE "SYNC\_UL info" on BCH and shall be read on System Information Block type 5 and System Information Block type 6. It can also be signalled directly to the UE in IE "Uplink Timing Advance Control" contained in a protocol message triggering a hard handover or a transition from cell FACH to cell DCH state.
- $PRX_{PUSCHdes}$ : Desired PUSCH RX power at the cell's receiver in dBm signalled to the UE in IE "PUSCH Power Control Info".
- $PRX_{DPCHdes}$ : Desired DPCH RX power at the cell's receiver in dBm signalled to the UE in IE "Uplink DPCH Info" and IE "Uplink DPCH Power Control Info".
- $Pwr_{ramp}$ : The UE shall increase its transmission power by the value of the IE "Power Ramp step" by every UpPCH transmission. Its value is signalled in the IE "SYNC UL info" in System Information Block type 5 and System Information Block type 6 or is signalled to the UE in the IE "Uplink Timing Advance Control" contained in a protocol message triggering a hard handover or a transition from cell FACH state to cell DCH state.

### 8.5.8 Maintenance of Hyper Frame Numbers

The MSBs of both the ciphering sequence numbers (COUNT-C) and integrity sequence numbers (COUNT-I), for the ciphering and integrity protection algorithms, respectively [40], are called the Hyper Frame Numbers (HFN).

For integrity protection, the UE shall:

- 1> maintain COUNT-I as specified in subclause 8.5.10.

The following hyper frame numbers types are defined:

- MAC-d HFN:  
24 MSB of COUNT-C for data sent over RLC TM
- RLC UM HFN:  
25 MSB of COUNT-C for data sent over RLC UM
- RLC AM HFN:  
20 MSB of COUNT-C for data sent over RLC AM
- RRC HFN:  
28 MSB of COUNT-I

For non-transparent mode RLC signalling radio bearers and radio bearers, the UE shall:

- 1> maintain one uplink and one downlink COUNT-C per signalling radio bearer and per radio bearer and one uplink and one downlink COUNT-I per signalling radio bearer;
- 1> increment the RLC UM HFN and RLC AM HFN in uplink and downlink by one each time the RLC sequence number wraps around in uplink and downlink respectively;
- 1> if the activation time for a new ciphering configuration set by an RRC procedure is equal to zero:
  - 2> apply the configured RLC UM HFN or RLC AM HFN at this activation time, i.e. the configured HFN is not incremented.

**NOTE:** On the receiver side it may happen that the RLC PDU with sequence number equal to the activation time is lost and the first received PDU after the activation time implies a wrap around of the sequence number compared to the activation time. In this case the configured HFN is incremented by one. This action is taken only when the activation time is set to a RLC PDU sequence number value other than zero.

For all transparent mode RLC signalling radio bearers and radio bearers of each CN domain, the UE shall:

- 1> maintain one COUNT-C, common for all radio bearers in uplink and downlink;



- 1> increment the MAC-d HFN by one each time the CFN wraps around;
- 1> if the activation time for a new ciphering configuration set by an RRC procedure is equal to zero:
  - 2> apply the configured MAC-d HFN at this activation time, i.e. the configured HFN is not incremented.
- 1> maintain one uplink and one downlink COUNT-I per signalling radio bearer.

NOTE: In this release of the specification there is only an uplink transparent mode COUNT-I, which is used for signalling radio bearer RB0.

COUNT-C and COUNT-I are defined in [40], with the following supplement for COUNT-C: for transparent mode RLC radio bearers with a transmission time interval of  $x$  radio frames ( $x = 2, 4, 8$ ), the MAC PDU is carried by L1 in  $x$  consecutive radio frames due to radio frame segmentation. In this case, the CFN of the first radio frame in the TTI shall be used as the CFN component of COUNT-C for ciphering of all data in the TTI [15].

## 8.5.9 START value calculation

In connected mode if a security mode command procedure has been successfully completed for a CN domain during the current RRC connection, the START value for that CN domain is calculated as:

Let  $START_X$  = the START value for CN domain 'X' prior to the calculation below:

$START_X' = MSB_{20} ( MAX \{ COUNT-C, COUNT-I \mid \text{radio bearers and signalling radio bearers using the most recently configured } CK_X \text{ and } IK_X \}) + 2$ .

- if  $START_X' =$  the maximum value = 1048575 then  $START_X = START_X'$ ;
- if the current  $START_X < START_X'$  then  $START_X = START_X'$ , otherwise  $START_X$  is unchanged.

NOTE: Here, "most recently configured" means that if there is more than one key in use for a CN domain, due to non-expiry of the ciphering and/or integrity protection activation time for any signalling radio bearers and/or radio bearers, do not include the COUNT-I/COUNT-C for these signalling radio bearers and/or radio bearers in the calculation of the  $START_X'$ .

COUNT-C corresponding to non-ciphered radio bearers (i.e. RBs with ciphering status set to "not started") shall not be included in the calculation of the  $START_X'$ . If a radio bearer is released and the radio bearer was ciphered, the values of the COUNT-C at the time the radio bearer is released shall be taken into account in the calculation of the  $START_X'$ .

If a security mode command procedure has not been successfully completed for a CN domain during the current RRC connection, the UE should use the latest transmitted START value for this CN domain.

## 8.5.10 Integrity protection

If the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" then the UE shall:

- 1> perform integrity protection (and integrity checking) on all RRC messages, with the following exceptions:
  - HANDOVER TO UTRAN COMPLETE
  - PAGING TYPE 1
  - PUSCH CAPACITY REQUEST
  - PHYSICAL SHARED CHANNEL ALLOCATION
  - RRC CONNECTION REQUEST
  - RRC CONNECTION SETUP
  - RRC CONNECTION SETUP COMPLETE
  - RRC CONNECTION REJECT
  - RRC CONNECTION RELEASE (CCCH only)

## SYSTEM INFORMATION

## SYSTEM INFORMATION CHANGE INDICATION

## TRANSPORT FORMAT COMBINATION CONTROL (TM DCCH only)

If the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not started" then integrity protection (and integrity checking) shall not be performed on any RRC message.

For each signalling radio bearer, the UE shall use two RRC hyper frame numbers:

- "Uplink RRC HFN";
- "Downlink RRC HFN".

and two message sequence numbers:

- "Uplink RRC Message sequence number";
- "Downlink RRC Message sequence number".

The above information is stored in the variable INTEGRITY\_PROTECTION\_INFO per signalling radio bearer (RB0-RB4).

Upon the first activation of integrity protection for an RRC connection, UE and UTRAN initialise the "Uplink RRC Message sequence number" and "Downlink RRC Message sequence number" for all signalling radio bearers as specified in subclauses 8.6.3.5 and 8.5.10.1.

The RRC message sequence number (RRC SN) is incremented for every integrity protected RRC message.

If the IE "Integrity Protection Mode Info" is present in a received message, the UE shall:

- 1> perform the actions in subclause 8.6.3.5 before proceeding with the integrity check of the received message.

### 8.5.10.1 Integrity protection in downlink

If the UE receives an RRC message on signalling radio bearer with RB identity n, the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and the IE 'Integrity check info' is present the UE shall:

- 1> check the value of the IE "RRC message sequence number" included in the IE "Integrity check info";
- 2> if the "Downlink RRC Message sequence number" is not present in the variable INTEGRITY\_PROTECTION\_INFO:
  - 3> initialise the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with the value of the IE "RRC message sequence number" included in the IE "Integrity check info" of the received message.
- 2> if the "Downlink RRC Message sequence number" is present in the variable INTEGRITY\_PROTECTION\_INFO:
  - 3> if the RRC message sequence number is lower than the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO:
    - 4> increment "Downlink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with one.

NOTE: The actions above imply that also for the case the "Downlink RRC HFN" is re-initialised by a security mode control procedure, this "Downlink RRC HFN" value is incremented by one before it is applied for the integrity protection of any received message if the conditions above are fulfilled.

- 3> if the RRC message sequence number is equal to the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO:
  - 4> discard the message.

- 1> calculate an expected message authentication code in accordance with subclause 8.5.10.3;

- 1> compare the expected message authentication code with the value of the received IE "message authentication code" contained in the IE "Integrity check info";
- 2> if the expected message authentication code and the received message authentication code are the same, the integrity check is successful:
  - 3> update the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with the value of the IE "RRC message sequence number" included in the IE "Integrity check info" of the received RRC message.
- 2> if the calculated expected message authentication code and the received message authentication code differ:
  - 3> act as if the message was not received.

If the UE receives an RRC message on signalling radio bearer with identity n, the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and the IE 'Integrity check info' is not present the UE shall:

- 1> discard the message.

UTRAN may transmit several copies of the same message in the downlink to increase the probability of proper reception of the message by the UE. In such a case, the RRC SN for these repeated messages should be the same.

### 8.5.10.2 Integrity protection in uplink

Prior to sending an RRC message using the signalling radio bearer with radio bearer identity n, and the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" the UE shall:

- 1> increment "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with 1, even if the message is a retransmission of a previously transmitted message.
- 1> if the "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO equals zero:
  - 2> increment "Uplink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO by one.

NOTE 1: The actions above imply that also for the case the "Uplink RRC HFN" is re-initialised by a security mode control procedure, this "Uplink RRC HFN" is incremented before it is applied in the integrity protection of any transmitted message if the conditions above are fulfilled.

NOTE 2: For SRB0, this is also valid in case the Message Sequence Number has been increased by N302 + 1 resulting in an MSN which equals 0 (i.e.: SRB0 UL activation time equals 0). Then the uplink RRC HFN is incremented by 1 after it is re-initialized and before it is applied in the integrity protection of any transmitted message.

- 1> calculate the message authentication code in accordance with subclause 8.5.10.3;
- 1> replace the "Message authentication code" in the IE "Integrity check info" in the message with the calculated message authentication code;
- 1> replace the "RRC Message sequence number" in the IE "Integrity check info" in the message with contents set to the new value of the "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO.

In the response message for the procedure ordering the security reconfiguration, the UE indicates the activation time, for each signalling radio bearer. When the new integrity configuration is to be applied in uplink, UTRAN should start to apply the new integrity protection configuration according to the activation time for each signalling radio bearer (except for the signalling radio bearer which is used to send the message that is reconfiguring the security configuration where the new configuration is to be applied starting from and including reception of the response message).

### 8.5.10.3 Calculation of message authentication code

The UE shall calculate the message authentication code in accordance with [40]. The input parameter MESSAGE [40] for the integrity algorithm shall be constructed by:

- 1> setting the "Message authentication code" in the IE "Integrity check info" in the message to the value of the IE "RB identity" for the signalling radio bearer;
- 1> setting the "RRC Message sequence number" in the IE "Integrity check info" in the message to zero;
- 1> encoding the message;
- 1> appending RRC padding (if any) as a bit string to the encoded bit string as the least significant bits.

For usage on an RRC message transmitted or received on the radio bearer with identity *n*, the UE shall:

- 1> construct the input parameter COUNT-I [40] by appending the following IEs from the IE "Signalling radio bearer specific integrity protection information" for radio bearer *n* in the variable INTEGRITY\_PROTECTION\_INFO:
  - 2> for uplink:
    - 3> "Uplink RRC HFN", as the MSB, and "Uplink RRC Message sequence number", as LSB.
  - 2> for downlink:
    - 3> "Downlink RRC HFN", as the MSB, and the IE "RRC message sequence number" included in the IE "Integrity check info", as LSB.

### 8.5.11 FACH measurement occasion calculation

When in CELL\_FACH state and when the variable C\_RNTI is non-empty the UE in FDD mode shall perform measurements as specified in subclauses 8.4.1.6 and 8.4.1.8 during the frame(s) with the SFN value fulfilling the following equation:

$$\text{SFN div } N = \text{C\_RNTI mod } M\_REP + n * M\_REP$$

where

- *N* is the TTI (in number of 10ms frames) of the FACH having the largest TTI on the SCCPCH monitored by UE
- C\_RNTI is the C-RNTI value of the UE stored in the variable C\_RNTI
- M\_REP is the Measurement Occasion cycle length. According to the equation above, a FACH Measurement Occasion of *N* frames will be repeated every  $N * M\_REP$  frame, and  $M\_REP = 2^k$ .

where,

- *k* is the FACH Measurement occasion cycle length coefficient.  
The value of the FACH Measurement occasion cycle length coefficient is read in system information in "System Information Block type 11" or "System Information Block type 12" in the IE "FACH measurement occasion info".
- *n* = 0,1,2... as long as SFN is below its maximum value

The UE is allowed to measure on other occasions in case the UE moves "out of service" area or in case it can simultaneously perform the ordered measurements.

A UE in TDD mode shall use the frame(s) with the SFN value fulfilling the above equation for neighbour cells measurements.

### 8.5.12 Establishment of Access Service Classes

The PRACH resources (i.e. access slots and preamble signatures for FDD), timeslot (with specific frame allocation and channelisation code for 3.84 Mcps TDD and SYNC\_UL codes (with specific frame allocation) for 1.28 Mcps TDD) may be divided between different Access Service Classes in order to provide different priorities of RACH usage. It is possible for more than one ASC or for all ASCs to be assigned to the same access slot/signature space in FDD or frame allocation/channelisation codes in 3.84 Mcps TDD or frame allocation/SYNC\_UL codes in 1.28 Mcps TDD.

Access Service Classes shall be numbered in the range  $0 \leq i \leq \text{NumASC} \leq 7$  (i.e. the maximum number of ASCs is 8). An ASC is defined by an identifier,  $i$ , that defines a certain partition of the PRACH resources (SYNC\_UL resources in 1.28 Mcps TDD) and an associated persistence value  $P_i$ . A set of ASC parameters consists of "NumASC+1" such parameters ( $i, P_i$ ),  $i = 0, \dots, \text{NumASC}$ .

PRACH partitions shall be established using the information element "PRACH partitioning". The persistence values  $P_i$  to be associated with each ASC shall be derived from the dynamic persistence level  $N = 1, \dots, 8$  which is broadcast in System Information Block 7, and the persistence scaling factors  $s_i$ , broadcast in System Information Block Type 5 and possibly also in System Information Block Type 6, as follows:

$$P(N) = 2^{-(N-1)}$$

| ASC # $i$ | 0 | 1      | 2          | 3          | 4          | 5          | 6          | 7          |
|-----------|---|--------|------------|------------|------------|------------|------------|------------|
| $P_i$     | 1 | $P(N)$ | $s_2 P(N)$ | $s_3 P(N)$ | $s_4 P(N)$ | $s_5 P(N)$ | $s_6 P(N)$ | $s_7 P(N)$ |

Scaling factors  $s_i$  are provided optionally for  $i = 2, \dots, \text{NumASC}$ , where NumASC+1 is the number of ASCs as defined by PRACH partitioning. If no scaling factors are broadcast, default value 1 shall be used if NumASC  $\geq 2$ .

If  $k \geq 1$  scaling factors are broadcast and NumASC  $\geq k+2$  then the last scaling factor  $s_{k+1}$  shall be used as default for the ASCs where  $i > k+1$ .

The set of ASC parameters is provided to MAC with the CMAC-Config-REQ primitive (see [15]), the PRACH partitioning is provided to PHY using the CPHY-RL-Setup-REQ primitive (see [34]).

The ASC enumeration shall be such that it corresponds to the order of priority (ASC 0 = highest priority, ASC 7 = lowest priority). ASC 0 shall be used in case of Emergency Call or for reasons with equivalent priority.

ASCs are numbered according to the order in which the IEs "ASC Setting" appear in the IE "PRACH partitioning", where the first IE "ASC Setting" describes ASC 0, the second IE "ASC Setting" describes ASC 1, etc.

At radio bearer setup/reconfiguration each involved logical channel is assigned a MAC Logical channel Priority (MLP) in the range 1, ..., 8. When the MAC sublayer is configured for RACH transmission in the UE, these MLP levels shall be employed for ASC selection on MAC.

### 8.5.13 Mapping of Access Classes to Access Service Classes

Access Classes shall only be applied at initial access, i.e. when sending an RRC CONNECTION REQUEST message. A mapping between Access Class (AC) and Access Service Class (ASC) shall be indicated by the information element "AC-to-ASC mapping" in System Information Block type 5. The correspondence between AC and ASC shall be indicated as follows.

| AC  | 0 - 9              | 10                 | 11                 | 12                 | 13                 | 14                 | 15                 |
|-----|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| ASC | 1 <sup>st</sup> IE | 2 <sup>nd</sup> IE | 3 <sup>rd</sup> IE | 4 <sup>th</sup> IE | 5 <sup>th</sup> IE | 6 <sup>th</sup> IE | 7 <sup>th</sup> IE |

In the table, "n<sup>th</sup> IE" designates an ASC number  $i$  in the range 0 - 7 to AC. If the ASC indicated by the "n<sup>th</sup> IE" is undefined, the UE behaviour is unspecified.

For the random access, the parameters implied by the respective ASC shall be employed. In case the UE is member of several ACs it shall select the ASC for the highest AC number. In connected mode, AC shall not be applied.

### 8.5.14 PLMN Type Selection

The UE shall perform PLMN selection and reselection as stated in [4] and store the identifier of the chosen PLMN in the variable SELECTED\_PLMN as follows. The UE shall:

- 1> if a GSM-MAP type of PLMN is selected:
  - 2> set the "PLMN Type" in the variable SELECTED\_PLMN to "GSM-MAP";
  - 2> and store the PLMN identity of that PLMN.
- 1> if an ANSI-41 type of PLMN is selected:

- 2> set the "PLMN Type" in the variable SELECTED\_PLMN to "ANSI-41";
- 2> and store the System identification (SID) of that PLMN.

### 8.5.14a Neighbour cells list narrowing for cell reselection

A UE having performed the PLMN identification of the neighbour cells as specified in 8.1.1.6.18 shall narrow the cell list to be used for cell reselection ([4]) to those cells that do satisfy one of the following criteria:

- 1> the PLMN identity of the neighbour cell is the identity of the selected PLMN;
- 1> the PLMN identity of the neighbour cell is indicated by higher layers to be equivalent to the identity of the selected PLMN.

### 8.5.15 CFN calculation

The DOFF used in the formulas in this clause concerns the value of IE "Default DPCH Offset Value" received in the message that instructs the UE to enter CELL\_DCH state or to perform timing re-initialised hard handover.

#### 8.5.15.1 Initialisation for CELL\_DCH state after state transition

When the UE receives any of the messages causing the UE to perform a state transition to CELL\_DCH, the UE shall set the CFN in relation to the SFN of the first radio link listed in the IE "Downlink information per radio link list" included in that message according to the following formula:

- for FDD:

$$\text{CFN} = (\text{SFN} - (\text{DOFF} \text{ div } 38400)) \text{ mod } 256$$

where the formula gives the CFN of the downlink DPCH frame which starts at the same time as or which starts during the PCCPCH frame with the given SFN.

- for TDD:

$$\text{CFN} = (\text{SFN} - \text{DOFF}) \text{ mod } 256.$$

#### 8.5.15.2 Initialisation in CELL\_DCH state at hard handover

When the UE is in CELL\_DCH state and receives any of the messages causing the UE to perform a hard handover, the UE shall check the IE "Timing indication" in that message and:

- 1> if IE "Timing indication" has the value "initialise" (i.e. timing re-initialised hard handover):
  - 2> read SFN on target cell identified by the first radio link listed in the IE "Downlink information per radio link list" included in that message;
  - 2> set the CFN according to the following formula:

- 3> for FDD:

$$\text{CFN} = (\text{SFN} - (\text{DOFF} \text{ div } 38400)) \text{ mod } 256$$

where the formula gives the CFN of the downlink DPCH frame which starts at the same time as or which starts during the PCCPCH frame with the given SFN.

- 3> for TDD:

$$\text{CFN} = (\text{SFN} - \text{DOFF}) \text{ mod } 256.$$

- 1> if IE "Timing indication" has the value "maintain" (i.e. timing-maintained hard handover), the UE shall keep CFN with no change due to the hard handover, and only increase CFN (mod 256) by 1 every frame.

### 8.5.15.3 Initialisation for CELL\_FACH

When the UE performs cell selection, re-selection or changes to CELL\_FACH state the UE shall set CFN for all common or shared channels according to:

$$\text{CFN} = \text{SFN} \bmod 256$$

where the formula gives the CFN of the downlink common or shared channel frame which starts at the same time as or which starts during the PCCPCH frame with the given SFN.

After the initialisation, the CFN in the UE is increased (mod 256) by 1 every frame.

### 8.5.15.4 Initialisation after intersystem handover to UTRAN

Upon inter RAT handover to UTRAN the UE shall, regardless of the value received within IE "Timing indication" (if received):

1> read SFN on target cell and set the CFN according to the following formula:

2> for FDD:

$$\text{CFN} = (\text{SFN} - (\text{DOFF} \div 38400)) \bmod 256$$

where the formula gives the CFN of the downlink DPCH frame which starts at the same time as or which starts during the PCCPCH frame with the given SFN.

2> for TDD:

$$\text{CFN} = (\text{SFN} - \text{DOFF}) \bmod 256.$$

### 8.5.16 Configuration of CTCH occasions

The CTCH, carrying CBS data is mapped onto only one S-CCPCH. If more than one CTCH is defined, the first CTCH that is configured in the list of S-CCPCHs is the one that is used for CBS data.

The CTCH occasions are identified by the first radio frame of the TTI that can contain CTCH data. The CTCH occasions are fixed on the system frame number cycle 0 .. 4095 (i.e. no modulo calculation) and thus repeated cyclically.

The CTCH occasions are determined by a set of parameters.

$M_{\text{TTI}}$ : number of radio frames within the TTI of the FACH used for CTCH

$N$ : period of CTCH allocation on S-CCPCH, integer number of radio frames,  
 $M_{\text{TTI}} \leq N \leq 256$ , where  $N$  is a multiple of  $M_{\text{TTI}}$  (see [27] and [31]).

$\text{MaxSFN}$ : maximum system frame number = 4095 (see [10]).

$K$ : CBS frame offset, integer number of radio frames  $0 \leq K \leq N-1$  where  $K$  is a multiple of  $M_{\text{TTI}}$ .

The CTCH occasions are calculated as follows:

$$\text{SFN} = (K + mN), m = 0, 1, \dots, M, \text{ with } M \text{ chosen that } K + MN \leq \text{MaxSFN}.$$

The parameters  $N$  and  $K$  are broadcast as system information.

### 8.5.17 PRACH selection

For this version of the specification, when a UE selects a cell, the uplink frequency to be used for the initial PRACH transmission shall have a default duplex frequency spacing offset from the downlink frequency that the cell was selected on. The default duplex frequency separation to be used by the UE is specified in [35] (for FDD only).

NOTE: The PRACH selection scheme assumes that all PRACHs configured in System Information Block type 5 and System Information Block type 6 support all (implicitly or explicitly) configurable RLC sizes of the cell, i.e. at least the transport formats corresponding to a single transport block of each applicable RLC size of the cell must be defined for each PRACH.

The UE shall select a "PRACH system information" according to the following rule. The UE shall:

- 1> select a "PRACH system information" from the ones indicated in the IE "PRACH system information list" in System Information Block type 5 (applicable in Idle Mode and Connected Mode) or System Information Block type 6 (applicable in Connected Mode only), as follows:
  - 2> if in connected mode and System Information Block type 6 is defined and includes PRACH info:
    - 3> compile a list of candidate PRACHs that consists of the PRACH system information listed in System Information Block 6, in the order of appearance as in System Information Block 6.
  - 2> otherwise:
    - 3> compile a list of candidate PRACHs that consists of the PRACH system information listed in System Information Block 5, in the order of appearance as in System Information Block 5.
  - 2> in FDD:
    - 3> perform RACH TTI selection as specified in subclause 8.5.18.1.
  - 2> in 1.28 Mcps TDD:
    - 3> perform RACH TTI selection according to subclause 8.5.18.2.
  - 2> remove from the list of candidate PRACHs those PRACHs that have a TTI length different from the selected value;
  - 2> select a PRACH randomly from the list of candidate PRACHs as follows:
 
$$\text{"Index of selected PRACH"} = \text{floor}(\text{rand} * K)$$

where K is equal to the number of candidate PRACH system informations, "rand" is a random number uniformly distributed in the range  $0 \leq \text{rand} < 1$  and "floor" refers to rounding down to nearest integer. The candidate PRACH system informations shall be indexed from 0 to K-1. The random number generator is left to implementation. The scheme shall be implemented such that one of the available PRACH system informations is randomly selected with uniform probability. At start-up of the random number generator in the UE the seed shall be dependent on the IMSI of the UE or time, thereby avoiding that all UEs select the same RACH;
  - 2> use the TFCS of the selected PRACH when performing TFC selection (see [15]);
  - 2> reselect the PRACH system information when a new cell is selected. RACH reselection may also be performed after each transmission of a Transport Block Set on RACH.
- 1> for emergency call, the UE is allowed to select any of the available PRACH system informations.

After selecting a PRACH system information, the RRC in the UE shall configure the MAC and the physical layer for the RACH access according to the parameters included in the selected "PRACH system information" IE.

## 8.5.18 Selection of RACH TTI

### 8.5.18.1 FDD

In FDD mode, a RACH may employ either 10 or 20 ms TTI. The supported TTI is indicated as a semi-static parameter of the RACH Transport Format in system information. The UE shall select an appropriate TTI length from the RACHs included in the list of candidate PRACH(s) according to the following rule.

The UE shall:

- 1> if only RACHs with one particular TTI length are included in the list of candidate PRACH(s):



2> select this TTI length and proceed as specified in subclause 8.5.17.

1> if both PRACHs with 10ms and 20ms TTI lengths are included in the list of candidate PRACH(s):

2> perform TTI selection as follows:

3> when the UE calculates the initial preamble transmit power ("Preamble\_Initial\_Power") as specified in subclause 8.5.7:

4> select a TF to be employed for calculation of a transmit power margin as follows:

5> from the TFs supported by all candidate PRACHs keep those which correspond to a single transport block of all configured RLC sizes (i.e., in idle mode, the RLC size applicable for RB0, in connected mode, the RLC sizes configured with explicit "RB mapping info"). If more than a single TF remain applicable, the UE may select any of these. Preferably the UE should select the TF which is intended to be used at the next transmission or, if such information is not available, the TF corresponding to the largest configured RLC size.

4> calculate a transmit power margin,

$$\text{Margin} = \{ \min(\text{Maximum allowed UL tx power, P\_MAX}) - \max(\text{Preamble\_Initial\_Power, Preamble\_Initial\_Power} + \Delta P_{p-m} + 10 \cdot \log_{10}(1 + (\beta_d / \beta_c)^2)) \}$$

where "Maximum allowed UL tx power" is the maximum allowed uplink transmit power indicated in system information (in dBm), and P\_MAX is the maximum RF output power of the UE (dBm). The margin shall be calculated for the gain factors  $\beta_d$  and  $\beta_c$  of the TF selected in the step above, using 10ms TTI length.

NOTE: the expression  $\text{Preamble\_Initial\_Power} + \Delta P_{p-m} + 10 \cdot \log_{10}(1 + (\beta_d / \beta_c)^2)$  represents the total RACH message power if the message would be sent after the initial preamble.

3> if the resulting "Margin" value is less than 6 dB:

4> select RACH with 20 ms TTI, and proceed as specified in subclause 8.5.17.

3> otherwise, if the last L1 message transmission on PRACH failed (see [15]):

4> the UE may select RACH with 20ms TTI length and proceed as specified in subclause 8.5.17.

3> otherwise:

4> select RACH with 10ms TTI length and proceed as specified in subclause 8.5.17.

### 8.5.18.2 1.28 Mcps TDD

In 1.28 Mcps TDD, a RACH may be assigned a 5, 10 or 20 ms TTI. If, in one cell, more than one RACH is defined a UE shall select the RACH that is to be used for each transmission according to the following rule:

1> if only RACHs with one particular TTI length are assigned a transport format that is suitable for the transmission of the transport block set:

2> select this RACH's TTI length.

1> if more than one RACHs are assigned a transport format that is suitable for the transmission of the transport block set:

2> select the longest of the TTI lengths of these RACHs.

### 8.5.19 Secondary CCPCH selection

In UTRAN Connected mode, the UE shall select the Secondary CCPCH according to the following rules:

1> in Cell\_DCH state:

2> select Secondary CCPCH according to subclause 8.6.6.4.

1> in Cell\_FACH state:

2> if System Information Block type 6 is defined and includes one or more SCCPCH that carry a FACH, compile a list of candidate SCCPCH that consists of these SCCPCH, in the order of appearance in System Information Block type 6.

NOTE 1: An SCCPCH carries a FACH if the size of the "FACH/PCH information" list within the IE "Secondary CCPCH system information" exceeds 1 or if the size of this list equals 1 while IE "Secondary CCPCH system information" does not contain an IE "PICH info".

2> otherwise:

3> compile a list of candidate SCCPCH that consists of the SCCPCH(s) included in System Information Block type 5 that carry a FACH, in the order of appearance in System Information Block type 5.

2> select an SCCPCH from the list of candidate SCCPCHs based on U-RNTI as follows:

$$\text{"Index of selected SCCPCH"} = \text{U-RNTI mod K},$$

where K is equal to the number of candidate SCCPCHs.

1> in Cell\_PCH and URA\_PCH states:

2> if System Information Block type 6 is defined and includes one or more SCCPCH that carry a PCH, compile a list of candidate SCCPCH that consists of these SCCPCH, in the order of appearance in System Information Block type 6

NOTE 2: An SCCPCH carries a PCH if the IE "Secondary CCPCH system information" contains IE "PICH info"

2> otherwise compile a list of candidate SCCPCH that consists of the SCCPCH(s) included in System Information Block type 5 that that carry a PCH , in the order of appearance in System Information Block type 5

2> select an SCCPCH from the list of candidate SCCPCHs based on U-RNTI as follows:

$$\text{"Index of selected SCCPCH"} = \text{U-RNTI mod K},$$

where K is equal to the number of candidate SCCPCHs.

The UE shall set the CFN in relation to the SFN of the current cell according to subclause 8.5.15.

The UE shall decode all transport formats on all FACHs multiplexed on the selected S-CCPCH according to its UE capability, as defined in subclauses 8.1.1.6.5 and 8.1.1.6.6, to find blocks addressed to the UE.

## 8.5.20 Unsupported configuration

The UE should set the variable UNSUPPORTED\_CONFIGURATION to TRUE if the received message is not according to the UE capabilities.

## 8.5.21 Actions related to Radio Bearer mapping

When the UE receives the IE "RB mapping info" and/or the IE "Transport format set", when the UE performs a cell reselection or a state transition, or when the UE releases a RB, the UE shall for each of the configured Radio Bearers:

1> upon moving to CELL\_FACH after detecting a radio link failure (see subclause 8.5.6) and upon subsequent cell reselections until the first successfully completed cell update procedure, perform the actions defined in the remainder of this subclause only for signalling radio bearers;

1> configure the MAC with the appropriate transport format set (with computed transport block sizes) for the transport channel used by that RB;

- 1> determine the sets of RLC sizes that apply to the logical channels used by that RB, based on the IEs "RLC size list" and/or the IEs "Logical Channel List" included in the applicable "Transport format set" (either the ones received in the same message or the ones stored if none were received);
- 1> in case the selected multiplexing option is a multiplexing option on RACH:
  - 2> ignore the RLC size indexes that do not correspond to any RLC size within the Transport Format Set stored for RACH.
  - 2> if there is no remaining RLC size index corresponding to an RLC size within the Transport Format Set stored for RACH:
    - 3> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if RACH is the transport channel to be used on the uplink, if that RB has a multiplexing option on RACH and if it is using AM:

- 2> apply the largest size amongst the ones derived according to the previous bullet for the RLC size (or RLC sizes in case the RB is realised using two logical channels) for the corresponding RLC entity.

NOTE: The IE "RB mapping info" is only included in the IE "Predefined RB configurations" in system information when used for Inter-RAT handover to UTRAN and there is no AM RLC size change involved in this case.

- 1> if that RB is using AM and the RLC size applicable to the uplink logical channel transporting data PDUs is different from the one derived from the previously stored configuration:
  - 2> re-establish the corresponding RLC entity;
  - 2> configure the corresponding RLC entity with the new uplink RLC size;
  - 2> for each AM RLC radio bearer in the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS whose RLC size is changed; and
  - 2> for each AM RLC signalling radio bearer in the CN domain as indicated in the IE "CN domain identity" in the variable LATEST\_CONFIGURED\_CN\_DOMAIN whose RLC size is changed:
    - 3> if the IE "Status" in the variable CIPHERING\_STATUS of this CN domain is set to "Started":
      - 4> if the information causing the RLC re-establishment was included in system information:
        - 5> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" for this CN domain that will be included in the CELL UPDATE message following cell reselection.

NOTE: Since the UE cannot predict the START value at the time of the next CELL UPDATE transmission in the future, UTRAN should desist from changing the RLC size for a signalling radio bearer within a cell. Other than this case the change in RLC size for a signalling radio bearer is known to the UE when reading system information following cell reselection.

- 4> if the RLC re-establishment is caused by a CELL UPDATE CONFIRM:
  - 5> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" included in the latest transmitted CELL UPDATE message for this CN domain.
- 4> if the RLC re-establishment is caused by a reconfiguration message:
  - 5> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the reconfiguration complete message for this CN domain.

NOTE: If the UTRAN modifies the RLC size for RB2 on any reconfiguration message or Cell Update Confirm message, the UE behaviour is unspecified in this version of the specification.

NOTE: The UE cannot rely on the configured Transport Formats to determine the RLC sizes to be used in downlink for a particular logical channel. For downlink RLC AM entities, the size will be determined based on the size of the first received PDU. If this size changes during the lifetime of the bearer, then the UE behaviour is unspecified.

- 1> if that RB is using UM:
  - 2> indicate the largest RLC size applicable for uplink to the corresponding RLC entity.
  - 2> indicate 125 bytes as the largest RLC size applicable for downlink to the corresponding RLC entity;
- 1> configure MAC multiplexing according to the selected multiplexing option (MAC multiplexing shall only be configured for a logical channel if the transport channel it is mapped on according to the selected multiplexing option is the same as the transport channel another logical channel is mapped on according to the multiplexing option selected for it);
- 1> configure the MAC with the logical channel priorities according to selected multiplexing option;
- 1> configure the MAC with the set of applicable RLC Sizes for each of the logical channels used for that RB;
- 1> if there is no multiplexing option applicable for the transport channels to be used:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if there is more than one multiplexing option applicable for the transport channels to be used:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.

If upon cell re-selection or upon moving to CELL\_FACH after detecting a radio link failure the UE sets variable INVALID\_CONFIGURATION to TRUE as a result of the actions defined in this subclause, the UE should:

- 1> move to idle mode;
- 1> release (locally) the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and the established radio access bearers (as stored in the variable ESTABLISHED\_RABS) and indicate this to upper layers;
- 1> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2.

## 8.5.22 Actions when entering another RAT from connected mode

When entering another RAT from connected mode (due to Inter-RAT handover from UTRAN, Inter-RAT cell change order from UTRAN or Inter-RAT cell reselection from UTRAN), after successful completion of the procedure causing the transition to the other RAT, the UE shall:

- 1> if the USIM is present, for each CN domain:
  - 2> if a new security key set was received for this CN domain but was not used either for integrity protection or ciphering during this RRC connection:
    - 3> set the START value for this domain to zero and;
    - 3> store this START value for this domain in the USIM;
  - 2> else:
    - 3> store the current START value for every CN domain in the USIM [50].

NOTE: Prior to storing the START value, the UE should calculate this START value according to subclause 8.5.9.

- 1> if the SIM is present, for each CN domain:
  - 2> if a new security key was received for this CN domain but was not used either for integrity protection or ciphering during this RRC connection:
    - 3> set the START value for this domain to zero and;
    - 3> store this START value for this domain in the UE.
  - 2> else:

3> store the current START value for this CN domain in the UE.

NOTE: Prior to storing the START value, the UE should calculate this START value according to subclause 8.5.9.

## 8.5.23 Measured results on RACH

When transmitting an uplink RRC message, the UE shall:

1> if the uplink RRC message is an RRC CONNECTION REQUEST message:

2> if the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" are included in System Information Block type 1:

3> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 11.

1> for any other uplink RRC message which optionally includes the IE "Measured results on RACH":

2> if the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast).

3> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast).

1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.

NOTE: The UE only includes measurement results for neighbour cells for which valid measurements are available at the time the message is sent. At cell access following selection or reselection to a cell, the UE may not have had sufficient time to obtain valid measurement results for neighbour cells.

1> for messages transmitted on CCCH, take care that the maximum allowed message size is not exceeded when forming the IE "Measured results on RACH", i.e. limit the number of included neighbour cells or if required omit the IE "Measured results on RACH" altogether.

If the IE "Measured results on RACH" is present in the message, the UTRAN should extract the contents to be used for radio resource control.

## 8.5.24 Change of PLMN while in RRC connected mode

If the UE camps on an acceptable cell to obtain limited service while in RRC connected mode the UE shall either:

1> move to idle mode;

1> release all dedicated resources;

1> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;

1> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;

1> clear the variable ESTABLISHED\_RABS; and

1> perform actions specified in subclause 8.5.2 when entering idle mode from connected mode.

or:

1> keep the RRC connection of the selected PLMN and its behaviour while camping on the cell of the other PLMN shall be as if in Idle mode in that PLMN;

- 1> if the UE re-enters "in service area" on the selected PLMN or cannot maintain limited service (i.e. cannot find any acceptable cell of any PLMN), the UE shall resume its RRC Connected mode behaviour as if it had not camped on any cell whilst being in "out of service area".

If the NAS indicates the selection of a new PLMN while the UE is in RRC connected mode in the selected PLMN or if the UE attempts transmission on a cell of another PLMN (i.e. to initiate emergency call), the UE shall for the selected PLMN:

- 1> move to idle mode;
- 1> release all dedicated resources;
- 1> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- 1> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 1> clear the variable ESTABLISHED\_RABS; and
- 1> perform actions specified in subclause 8.5.2 when entering idle mode from connected mode.

## 8.6 Generic actions on receipt and absence of an information element

### 8.6.1 CN information elements

#### 8.6.1.1 Void

#### 8.6.1.2 CN information info

If the IE "CN information info" is present in a message, the UE shall:

- 1> if present, forward the content of the IE "PLMN identity" to upper layers;
- 1> if present, forward the content of the IE "CN common GSM-MAP NAS system information" to upper layers;
- 1> if the IE "CN domain related information" is present:
  - 2> forward each occurrence of the IE "CN domain specific GSM-MAP NAS system info" together with the IE "CN domain identity" to upper layers.
  - 2> if an IE "CN domain specific GSM-MAP NAS system info" is not present for a particular CN domain:
    - 3> indicate to upper layers that no CN system information is available for that CN domain.

If the "PLMN identity" is present, the UE should consider this PLMN to be the selected PLMN (see [4]).

#### 8.6.1.3 Signalling connection release indication

If the IE "Signalling Connection release indication" is present in a message, the UE shall:

- 1> if all radio access bearers for the CN domain identified with the value of the IE "Signalling Connection release indication" would have been released in the variable ESTABLISHED\_RABS after processing of the received message:
  - 2> indicate release of the signalling connection identified with the value of the IE "Signalling Connection release indication" to the upper layers;

- 2> remove the signalling connection identified with the value of the IE "Signalling Connection release indication" from the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS.
- 1> if radio access bearers for the CN domain identified with the value of the IE "Signalling Connection release indication" would remain in the variable ESTABLISHED\_RABS after processing of the received message:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.

## 8.6.2 UTRAN mobility information elements

### 8.6.2.1 URA identity

The UE shall:

- 1> if the IE "URA identity" is included in a received message:
  - 2> if the IE "RRC State Indicator" is included and set to "URA\_PCH":
    - 3> store this URA identity in the variable URA\_IDENTITY;
    - 3> after sending a possible message to UTRAN and entering URA\_PCH state as specified elsewhere, read system information block type 2 in the selected cell;
    - 3> if the stored URA identity in the variable URA\_IDENTITY is not included in the list of URA identities in System Information Block type 2 in the selected cell, the list of URA identities in system information block type 2 is empty or if the system information block type 2 can not be found, a confirmation error of URA identity list has occurred:
    - 4> if no URA update procedure is ongoing:
      - 5> initiate a URA update procedure after entering URA\_PCH state; see subclause 8.3.1.2.
    - 4> if a URA update procedure is ongoing:
      - 5> take actions as specified in subclause 8.3.1.10.
  - 1> if the IE "URA identity" is not included in a received message:
    - 2> if the IE "RRC State Indicator" is included and set to " URA\_PCH":
      - 3> after sending a possible message to UTRAN and entering URA\_PCH state as specified elsewhere, read System Information Block type 2 in the selected cell;
      - 3> if System Information Block type 2 in the selected cell contains a single URA identity:
        - 4> store this URA identity in the variable URA\_IDENTITY.
      - 3> if System Information Block type 2 of the selected cell contains more than one URA identity, the list of URA identities in system information block type 2 is empty or if the system information block type 2 can not be found, a confirmation error of URA identity list has occurred:
      - 4> if no URA update procedure is ongoing:
        - 5> initiate a URA update procedure after entering URA\_PCH state, see subclause 8.3.1.2.
      - 4> if a URA update procedure is ongoing:
        - 5> take actions as specified in subclause 8.3.1.10.

### 8.6.2.2 Mapping info

If the IE "Mapping info" is received, the UE shall in this version of the specification:

- 1> ignore the contents of this IE.

## 8.6.3 UE information elements

### 8.6.3.1 Activation time

If the UE receives a message in which presence is needed for the IE "Activation time", and the value is other than the default value "Now", the UE shall:

- 1> let the "reference CCTrCH" be defined as the CCTrCh that includes any transport channel or is associated with any physical channel which is being added, re-configured or removed, or, in the case of DSCH (FDD only), the CCTrCh including the associated DCH;
- 1> if the frame boundary immediately before the frame with the CFN (Connection Frame Number) value indicated by the IE "Activation Time" is at the TTI boundary common to all the transport channels that are multiplexed onto the reference CCTrCh:
  - 2> select that frame boundary as the activation time T.
- 1> else:
  - 2> select the next TTI boundary, which is common to all the transport channels that are multiplexed onto the reference CCTrCh, after the frame with the CFN (Connection Frame Number) value indicated by the IE "Activation Time", as the activation time T.
- 1> at the activation time T:
  - 2> for a physical channel reconfiguration caused by the received message:
    - 3> release the physical channel configuration, which was present before T;
    - 3> initiate the establishment of the physical channel configuration as specified for the physical channel information elements in the received message as specified elsewhere.
  - 2> for actions, other than a physical channel reconfiguration, caused by the received message:
    - 3> perform the actions for the information elements in the received message as specified elsewhere.

If the UE receives a message in which presence is needed for the IE "Activation time", and the value is the default value "Now", the UE shall:

- 1> choose an activation time T as soon as possible after the reception of the message, respecting the performance requirements in subclause 13.5;
- 1> at the activation time T:
  - 2> perform the actions for the information elements in the received message as specified elsewhere.

NOTE: In FDD, if the UE was in idle mode or CELL\_FACH state upon reception of the message, regardless of the state the UE enters after reception of the message, and the value of the IE "Activation time" in the received message is different from "Now", the UE behaviour is unspecified. In TDD, if the UE was in idle mode or CELL\_FACH state upon reception of the message, the value of the IE "Activation time" in the received message is relative to the CFN associated with the cell from which the message was received.

#### 8.6.3.1a CN domain specific DRX cycle length coefficient

The UE updates CN domain specific DRX cycle length coefficient as specified in [4]. The UE shall use it to calculate the CN domain specific DRX cycle length, according to the following:

- 1> set k to the value of the IE "CN domain specific DRX cycle length coefficient".
- 1> store the result of  $\text{MAX}(2^k, \text{PBP})$ , where PBP is the Paging Block Periodicity, as the CN domain specific DRX cycle length for the CN domain indicated by the IE "CN domain identity". For FDD PBP=1.

The UE shall determine its idle mode paging occasions and PICH monitoring occasions for that CN domain, according to [4], based on the stored CN domain specific DRX cycle length, when using DRX in idle mode.



### 8.6.3.2 UTRAN DRX Cycle length coefficient

If the IE "UTRAN DRX cycle length coefficient" is present, the UE shall use it to calculate the UTRAN DRX cycle length, according to the following:

- 1> set  $k$  to the value of the IE "UTRAN DRX cycle length coefficient";
- 1> store the result of  $\text{MAX}(2^k \cdot \text{PBP})$ , where PBP is the Paging Block Periodicity, as the DRX cycle length.

The UE shall determine its connected mode paging occasions and PICH monitoring occasions in the same way as for idle mode, according to [4].

The DRX cycle length to use in connected mode is defined in [4].

### 8.6.3.3 Generic state transition rules depending on received information elements

The IE "RRC State Indicator" indicates the state the UE shall enter. The UE shall enter the state indicated by the IE "RRC State Indicator" even if the received message includes other IEs relevant only for states other than indicated by the IE "RRC State Indicator". E.g. if the RRC state indicator is set to CELL\_FACH while other IEs provide information about a configuration including dedicated channels, the UE shall enter CELL\_FACH state. If however the UE has no information about the configuration corresponding to the state indicated by the IE "RRC State Indicator", it shall consider the requested configuration as invalid.

The UE shall, if the IE "RRC State Indicator" in the received message has the value:

1> "CELL\_FACH":

- 2> enter CELL\_FACH state as dictated by the procedure governing the message received.

1> "CELL\_DCH":

- 2> if neither DPCH is assigned in the message nor is the UE in CELL\_DCH:
  - 3> set the variable INVALID\_CONFIGURATION to TRUE.

2> else:

- 3> enter CELL\_DCH state as dictated by the procedure governing the message received.

1> "CELL\_PCH":

- 2> if the received message is RRC CONNECTION SETUP and IE "RRC State Indicator" is set to CELL\_PCH:
  - 3> set the variable INVALID\_CONFIGURATION to TRUE.

2> else:

- 3> enter CELL\_PCH state as dictated by the procedure governing the message received.

1> "URA\_PCH":

- 2> if the received message is RRC CONNECTION SETUP and IE "RRC State Indicator" is set to URA\_PCH:
  - 3> set the variable INVALID\_CONFIGURATION to TRUE.

2> else:

- 3> enter URA\_PCH state as dictated by the procedure governing the message received.

### 8.6.3.4 Cipherng mode info

The IE "Cipherng mode info" defines the new cipherng configuration. At any given time, the UE needs to store at most two different cipherng configurations (keyset and algorithm) per CN domain at any given time in total for all radio bearers and three configurations in total for all signalling radio bearers.

If the IE "Ciphering mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE, the UE shall:

- 1> ignore this second attempt to change the ciphering configuration; and
- 1> set the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION to TRUE.

If the IE "Ciphering mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to FALSE, the UE shall:

- 1> if none of the IE "Status" in the variable CIPHERING STATUS has the value "Started", and this IE "Ciphering mode info" was included in a message that is not the message SECURITY MODE COMMAND; or
- 1> if the IE "Ciphering Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one ciphering activation time in the IE "Radio bearer downlink ciphering activation time info" for each established RLC-AM and RLC-UM radio bearers included in the IE "RB information" in the IE "ESTABLISHED\_RABS" for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN; or
- 1> if the IE "Ciphering Mode Info" was received in the message SECURITY MODE COMMAND and the IE "Ciphering activation time for DPCH" is not included in the message, and there exist radio bearers using RLC-TM according to the IE "RB information" in the IE "ESTABLISHED\_RABS" for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN; or
- 1> if the IE "Ciphering Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one ciphering activation time in the IE "Radio bearer downlink ciphering activation time info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED\_RABS":
  - 2> ignore this attempt to change the ciphering configuration;
  - 2> set the variable INVALID\_CONFIGURATION to TRUE;
  - 2> perform the actions as specified in subclause 8.1.12.4c.
- 1> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to TRUE;
- 1> set the IE "Status" in the variable CIPHERING\_STATUS of the CN domains for which the IE "Status" of the variable SECURITY\_MODIFICATION is set to "Affected" to "Started";
- 1> apply the new ciphering configuration in the lower layers for all RBs that belong to a CN domain for which the IE "Status" of the variable SECURITY\_MODIFICATION is set to "Affected" and all signalling radio bearers:
  - 2> using the ciphering algorithm (UEA [40]) indicated by the IE "Ciphering algorithm" as part of the new ciphering configuration;
  - 2> for each radio bearer that belongs to a CN domain for which the IE "Status" of the variable SECURITY\_MODIFICATION is set to "Affected" and all signalling radio bearers:
    - 3> using the value of the IE "RB identity" in the variable ESTABLISHED\_RABS minus one as the value of BEARER [40] in the ciphering algorithm.
- 1> for the downlink and the uplink, apply the new ciphering configuration as follows:
  - 2> if the ciphering configuration for a AM or UM radio bearer or signalling radio bearer from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the current received message includes the IE "DL Counter Synch Info" or the current received message is a RADIO BEARER RECONFIGURATION message and includes the IE "New U-RNTI":
    - 3> if the previous SECURITY MODE COMMAND was received due to new keys being received:
      - 4> consider the new ciphering configuration to include the received new keys.
    - 3> else if the previous SECURITY MODE COMMAND caused a change in LATEST\_CONFIGURED\_CN\_DOMAIN:

- 4> consider the new ciphering configuration to include the keys associated with the LATEST\_CONFIGURED\_CN\_DOMAIN.
- 2> apply the new ciphering configuration in uplink and downlink immediately following RLC re-establishment.
- 2> if the IE "Ciphering activation time for DPCH" is present in the IE "Ciphering mode info" and the UE was in CELL\_DCH state prior to this procedure:
  - 3> for radio bearers using RLC-TM:
    - 4> apply the old ciphering configuration for CFN less than the number indicated in the IE "Ciphering activation time for DPCH";
    - 4> apply the new ciphering configuration for CFN greater than or equal to the number indicated in IE "Ciphering activation time for DPCH".
  - 2> if the IE "Radio bearer downlink ciphering activation time info" is present:
    - 3> apply the following procedure for each radio bearer and signalling radio bearers using RLC-AM or RLC-UM indicated by the IE "RB identity":
      - 4> suspend uplink transmission on the radio bearer or the signalling radio bearer (except for the SRB where the response message is transmitted) according to the following:
        - 5> do not transmit RLC PDUs with sequence number greater than or equal to the uplink activation time, where the uplink activation time is selected according to the rules below.
      - 4> select an "RLC sequence number" at which (activation) time the new ciphering configuration shall be applied in uplink for that radio bearer according to the following:
        - 5> consider a ciphering activation time in uplink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;
        - 5> for each radio bearer and signalling radio bearer that has no pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:
          - 6> set a suitable value that would ensure a minimised delay in the change to the latest ciphering configuration.
        - 5> for each radio bearer and signalling radio bearer that has a pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:
          - 6> for radio bearers and signalling radio bearers except SRB2:
            - 7> set the same value as the pending ciphering activation time.
          - 6> for signalling radio bearer SRB2:
            - 7> set a suitable value that would ensure a minimised delay in the change to the latest ciphering configuration.
  - 4> store the selected "RLC sequence number" for that radio bearer in the entry for the radio bearer in the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - 4> switch to the new ciphering configuration according to the following:
    - 5> use the old ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers smaller than the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;
    - 5> use the new ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers greater than or equal to the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;

- 5> for a radio bearer using RLC-AM, when the RLC sequence number indicated in the IE "Radio bearer downlink ciphering activation time info" falls below the RLC receiving window and the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" falls below the RLC transmission window, the UE may release the old ciphering configuration for that radio bearer;
- 5> if an RLC reset or re-establishment occurs before the activation time for the new ciphering configuration has been reached, ignore the activation time and apply the new ciphering configuration both in uplink and downlink immediately after the RLC reset or RLC re-establishment.

If the IE "Ciphering mode info" is not present, the UE shall:

- 1> for the downlink and the uplink, apply the ciphering configuration as follows:
  - 2> if the ciphering configuration for a AM or UM radio bearer or signalling radio bearer from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the current received message includes the IE "DL Counter Synch Info" or the current received message is a RADIO BEARER RECONFIGURATION message and includes the IE "New U-RNTI":
    - 3> if the previous SECURITY MODE COMMAND was received due to new keys being received:
      - 4> consider the ciphering configuration to include the received new keys.
    - 3> else if the previous SECURITY MODE COMMAND caused a change in LATEST\_CONFIGURED\_CN\_DOMAIN:
      - 4> consider the ciphering configuration to include the keys associated with the LATEST\_CONFIGURED\_CN\_DOMAIN.
  - 3> apply the ciphering configuration in uplink and downlink immediately following RLC re-establishment.
- 2> else:
  - 3> not change the ciphering configuration.

### 8.6.3.5 Integrity protection mode info

The IE "Integrity protection mode info" defines the new integrity protection configuration. At any given time, the UE needs to store at most three different integrity protection configurations (keysets) in total for all signalling radio bearers for all CN domains.

If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE, the UE shall:

- 1> ignore this second attempt to change the integrity protection configuration; and
- 1> set the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION to TRUE.

If the IE "Integrity protection mode command" has the value "Start", the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not started" and the IE "Integrity protection mode info" was not included in the message SECURITY MODE COMMAND; or

If the IE "Integrity protection mode command" has the value "Start", the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not started", the IE "Integrity protection mode info" was included in the message SECURITY MODE COMMAND and the IE "Integrity protection algorithm" is not included; or

If the IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not Started"; or

If the IE "Integrity protection mode command" has the value "Start", the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and the IE "Integrity protection mode command info" was included in the message SECURITY MODE COMMAND; or

If the IE "Integrity protection mode command" has the value "Modify" and there does not exist exactly one integrity protection activation time in the IE "Downlink integrity protection activation info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED\_RABS"; or

If the IE "Integrity protection mode command" has the value "Modify", the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and the IE "Integrity protection mode info" was not included in the message SECURITY MODE COMMAND:

the UE shall:

- 1> ignore this attempt to change the integrity protection configuration; and
- 1> set the variable INVALID\_CONFIGURATION to TRUE.

If the IE "Integrity protection mode info" is not present, the UE shall:

- 1> not change the integrity protection configuration.

If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to FALSE, the UE shall:

- 1> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to TRUE;
- 1> perform the actions in accordance with subclauses 8.6.3.5.1, 8.6.3.5.2 and 8.6.3.5.3.

#### 8.6.3.5.1 Initialisation of Integrity Protection

The UE shall:

- 1> if the IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not started", and this IE was included in the message SECURITY MODE COMMAND:
  - 2> initialise the information for all signalling radio bearers in the variable INTEGRITY\_PROTECTION\_INFO according to the following:
    - 3> set the IE "Uplink RRC Message sequence number" in the variable INTEGRITY\_PROTECTION\_INFO to zero;
    - 3> do not set the IE "Downlink RRC Message sequence number" in the variable INTEGRITY\_PROTECTION\_INFO;
    - 3> set the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO to zero for each signalling radio bearer in the IE "ESTABLISHED\_RABS".

NOTE: The IEs "Integrity protection activation info" and "RRC Message sequence number" included in the IE "Integrity Check Info" in the transmitted message do not have identical values, but integrity protection is applied from the first transmitted message.

- 2> set the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO to the value "Started";
- 2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:
  - 3> using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";
  - 3> using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].
- 2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED\_RABS" except RB2 at the next received RRC message;
- 2> start applying the new integrity protection configuration in the downlink for signalling radio bearer RB2 from and including the received SECURITY MODE COMMAND message;

- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted SECURITY MODE COMPLETE message;
- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RB2 at the uplink activation time included in the IE "Uplink integrity protection activation info".

### 8.6.3.5.2 Integrity Protection Re-configuration for SRNS Relocation

The UE shall:

- 1> if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and this IE was not included SECURITY MODE COMMAND:

NOTE: This case is used in SRNS relocation

- 2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:
  - 3> using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";
  - 3> using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].
- 2> let RB<sub>m</sub> be the signalling radio bearer where the reconfiguration message was received and let RB<sub>n</sub> be the signalling radio bearer where the response message is transmitted;
- 2> prohibit transmission of RRC messages on all signalling radio bearers in the IE "ESTABLISHED\_RABS" except on RB<sub>0</sub> and the radio bearer where the response message is transmitted;
- 2> for the downlink, for each signalling radio bearer, if for the signalling radio bearer, a security configuration triggered by a previous SECURITY MODE COMMAND has not yet been applied, due to the activation time for the signalling radio bearer not having been reached:
  - 3> set "Down link RRC Message sequence number" for this signalling radio bearer in the variable INTEGRITY\_PROTECTION\_INFO to (activation time -1), where the activation time is the corresponding activation time for this signalling radio bearer;
  - 3> if the previous SECURITY MODE COMMAND was received due to new keys being received:
    - 4> consider the new integrity protection configuration to include the received new keys.
  - 3> else if the previous SECURITY MODE COMMAND caused a change in LATEST\_CONFIGURED\_CN\_DOMAIN:
    - 4> consider the new Integrity Protection configuration to include the keys associated with the LATEST\_CONFIGURED\_CN\_DOMAIN associated with the previously received SECURITY MODE COMMAND.
- 2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED\_RABS" except RB<sub>m</sub> at the next received RRC message for the corresponding signalling radio bearer;
- 2> start applying the new integrity protection configuration in the downlink for signalling radio bearer RB<sub>m</sub> from and including the received configuration message;
- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB<sub>n</sub> from and including the transmitted response message;
- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RB<sub>n</sub> from the first message onwards.

### 8.6.3.5.3 Integrity Protection modification in case of new keys or initialisation of signalling connection

The UE shall:

- 1> if the IE "Integrity protection mode command" has the value "modify" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and this IE was included in SECURITY MODE COMMAND:
    - 2> store the (oldest currently used) integrity protection configuration until activation times have elapsed for the new integrity protection configuration to be applied on all signalling radio bearers;
    - 2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer n, at the first received message with RRC Sequence number greater than or equal to the RRC sequence number indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info";
    - 2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1;
      - 3> if present, use the algorithm indicated by the IE "Integrity protection algorithm" (UIA [40]);
    - 2> set the content of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO according to the following:
      - 3> for each established signalling radio bearer, stored in the variable ESTABLISHED\_RABS:
        - 4> select a value of the RRC sequence number at which (activation) time the new integrity protection configuration shall be applied in uplink for that signalling radio bearer according to the following:
          - 5> for each signalling radio bearer except RB0:
            - 6> set the activation time for the new integrity protection configuration to the next RRC SN.
        - 4> for signalling radio bearer RB0:
          - 5> set the value of the included RRC sequence number to greater than or equal to the current value of the RRC sequence number for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO, plus the value of the constant N302 plus one.
        - 4> prohibit the transmission of RRC messages on all signalling radio bearers, except for RB2, with RRC SN greater than or equal to the value in the "RRC message sequence number list" for the signalling radio bearer in the IE "Uplink integrity protection activation info" of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
    - 2> start applying the new integrity protection configuration in the uplink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Uplink integrity protection activation info", included in the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
    - 2> start applying the new integrity protection configuration in the uplink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration;
    - 2> start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info";
- NOTE: For signalling radio bearers that have a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration, UTRAN should set this value in IE "Downlink integrity protection activation info".
- 2> start applying the new integrity protection configuration in the downlink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration.

8.6.3.6 Void

8.6.3.7 Void

### 8.6.3.8 Integrity check info

If the IE "Integrity check info" is present the UE shall:

- 1> act as described in subclause 8.5.10.1.

### 8.6.3.9 New C-RNTI

If the IE "New C-RNTI" is included, the UE shall:

- 1> store the value in the variable C\_RNTI, replacing any old stored value;
- 1> use that C-RNTI when using common transport channels of type RACH, FACH and CPCH in the current cell.

### 8.6.3.9a New DSCH-RNTI

If the IE "New DSCH-RNTI" is included, the UE shall:

- 1> in FDD:
  - 2> if the UE will be in CELL\_DCH at the end of the procedure where the received message included this IE:
    - 3> if the UE supports DSCH as indicated in the IE "Physical Channel Capability" included in the IE "UE Radio Access Capability":
      - 4> store the value in the variable DSCH\_RNTI, replacing any old stored value;
      - 4> use that DSCH-RNTI when using common transport channels of type DSCH in the current cell.
- 1> in TDD:
  - 2> if the UE will be in CELL\_DCH or CELL\_FACH at the end of the procedure where the received message included this IE:
    - 3> if the UE supports DSCH or USCH as indicated in the IE "Physical Channel Capability" included in the IE "UE Radio Access Capability":
      - 4> store the value in the variable DSCH\_RNTI, replacing any old stored value;
      - 4> use that DSCH-RNTI when using SHCCH signalling in the current cell.

### 8.6.3.10 New U-RNTI

If the IE "New U-RNTI" is included in a received message, the UE shall:

- 1> store the value in the variable U\_RNTI, replacing any old stored value.

### 8.6.3.11 RRC transaction identifier

The IE "RRC transaction identifier" may be used, together with the message type, for identification of an invocation of a downlink procedure (transaction). The UE behaviour for accepting or rejecting transactions based on the message type and the IE "RRC transaction identifier" is specified below.

If the IE "RRC transaction identifier" is included in a received message, the UE shall perform the actions below. The UE shall:



If the received message is any of the messages:

- RADIO BEARER SETUP; or
- RADIO BEARER RECONFIGURATION; or
- RADIO BEARER RELEASE; or
- TRANSPORT CHANNEL RECONFIGURATION; or
- PHYSICAL CHANNEL RECONFIGURATION;

the UE shall:

1> if the variable ORDERED\_RECONFIGURATION is set to FALSE; and

1> if the variable CELL\_UPDATE\_STARTED is set to FALSE; and

1> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL\_ERROR\_REJECT is set to FALSE; and

1> if the table "Accepted transactions" in the variable TRANSACTIONS does not contain an entry with an IE "Message Type" set to ACTIVE SET UPDATE:

2> accept the transaction; and

2> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS.

1> else:

2> if the variable ORDERED\_RECONFIGURATION is set to TRUE; or

2> if the variable CELL\_UPDATE\_STARTED is set to TRUE; or

2> if the table "Accepted transactions" in the variable TRANSACTIONS contains an entry with an IE "Message Type" set to ACTIVE SET UPDATE; or

2> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE:

3> if the IE "RRC transaction identifier" of the received message is identical to the "RRC transaction identifier" stored for the same "Message Type" as the received message in the table "Accepted transactions" in the variable TRANSACTIONS:

4> ignore the transaction; and

4> continue with any ongoing processes and procedures as the message was not received;

4> and end the procedure.

3> else:

4> reject the transaction; and

4> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

5> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

If the received message is any of the messages:

- RRC CONNECTION SETUP; or
- CELL UPDATE CONFIRM; or

- URA UPDATE CONFIRM; or
- UE CAPABILITY ENQUIRY:

the UE shall:

- 1> if the IE "Message Type" of the received message is not present in the table "Accepted transactions" in the variable TRANSACTIONS:
  - 2> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL\_ERROR\_REJECT is set to FALSE:
    - 3> accept the transaction; and
    - 3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS.
  - 2> else:
    - 2> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE:
      - 3> reject the transaction; and
      - 3> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:
        - 4> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.
    - 1> else:
      - 1> if the IE "Message Type" of the received message is present in the table "Accepted transactions" in the variable TRANSACTIONS:
        - 2> if the IE "RRC transaction identifier" of the received message is identical to the "RRC transaction identifier" stored for the "Message Type" in the table "Accepted transactions" in the variable TRANSACTIONS:
          - 3> ignore the transaction; and
          - 3> continue with any ongoing processes and procedures as the message was not received; and
          - 3> end the procedure.
        - 2> else:
          - 2> if the IE "RRC transaction identifier" of the received message is different from the "RRC transaction identifier" stored for the "Message Type" in the table "Accepted transactions" in the variable TRANSACTIONS:
            - 3> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL\_ERROR\_REJECT is set to FALSE:
              - 4> ignore the once accepted transaction and instead accept the new transaction; and
              - 4> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS, replacing the previous entry.

NOTE 1: The UE is expected to process the first RRC CONNECTION SETUP/CELL UPDATE CONFIRM/URA UPDATE CONFIRM message that it receives after transmitting an RRC CONNECTION REQUEST/CELL\_UPDATE/URA\_UPDATE message. If the UE receives further RRC CONNECTION SETUP/CELL UPDATE CONFIRM/URA UPDATE CONFIRM messages without having transmitted another RRC CONNECTION REQUEST/CELL\_UPDATE/URA\_UPDATE message, the UE is not required to process these messages.

NOTE 2: If the previously accepted transaction was a CELL UPDATE CONFIRM/URA UPDATE CONFIRM that included the IE "Dowlink counter synchronisation info", rather than ignore the first accepted transaction the UE may continue with the first transaction in the case where a cell re-selection interrupted the on-going procedure causing a cell update procedure to be triggered. In this case the response message acts as an explicit acknowledgement of both the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message signalling an SRNS relocation and the subsequent CELL UPDATE CONFIRM/URA UPDATE CONFIRM.

3> else:

3> if the received message contains a protocol error according to clause 9 causing the variable `PROTOCOL_ERROR_REJECT` to be set to TRUE:

4> reject the transaction; and

4> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable `TRANSACTIONS`:

5> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable `TRANSACTIONS`.

Else:

If the received message is any of the messages:

- HANDOVER FROM UTRAN COMMAND

the UE should:

1> if the variable `ORDERED_RECONFIGURATION` is set to TRUE:

2> reject the transaction; and

2> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable `TRANSACTIONS`:

3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable `TRANSACTIONS`.

Else:

If the received message is any other message, the UE shall:

1> if the IE "Message Type" of the received message is not present in the table "Accepted transactions" in the variable `TRANSACTIONS`:

2> if the received message does not contain a protocol error according to clause 9 and the variable `PROTOCOL_ERROR_REJECT` is set to FALSE:

3> accept the transaction; and

3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable `TRANSACTIONS`.

2> else:

2> if the received message contains a protocol error according to clause 9 causing the variable `PROTOCOL_ERROR_REJECT` to be set to TRUE:

3> reject the transaction; and

3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable `TRANSACTIONS`.

1> else:

- 1> if the IE "Message Type" of the received message is present in the table "Accepted transactions" in the variable TRANSACTIONS:
  - 2> if the IE "RRC transaction identifier" of the received message is identical to the "RRC transaction identifier" stored in any entry for the "Message Type" in the table "Accepted transactions" in the variable TRANSACTIONS:
    - 3> ignore the transaction; and
    - 3> continue with any ongoing processes and procedures as the message was not received; and
    - 3> end the procedure.
  - 2> else:
    - 2> if the IE "RRC transaction identifier" of the received message is different from the "RRC transaction identifier" stored in all entries for the "Message Type" in the table "Accepted transactions" in the variable TRANSACTIONS:
      - 3> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL\_ERROR\_REJECT is set to FALSE:
        - 4> accept the additional transaction; and
        - 4> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS, in addition to the already existing entries.
      - 3> else:
        - 3> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE:
          - 4> reject the transaction; and
          - 4> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

### 8.6.3.12 Capability Update Requirement

If the IE "Capability Update Requirement" is included the UE shall:

- 1> if the IE "UE radio access FDD capability update requirement" has the value TRUE:
  - 2> if the UE supports FDD mode:
    - 3> store its UTRA FDD capabilities and its UTRA capabilities common to FDD and TDD in the IE "UE radio access capability" and the IE "UE radio access capability extension" in variable UE\_CAPABILITY\_REQUESTED as specified below:
      - 4> if the UE supports multiple UTRA FDD Frequency Bands; or
      - 4> if the UE supports a single UTRA FDD Frequency Band different from 2100 MHz:
        - 5> store the IE "UE radio access capability", excluding IEs "RF capability FDD" and "Measurement capability";
        - 5> store the IE "UE radio access capability extension", including the IEs "RF capability FDD extension" and the "Measurement capability extension" associated with each supported UTRA FDD frequency band indicated in the IE "Frequency band".
    - 4> else:
      - 5> store the IE "UE radio access capability", including the IEs "RF capability FDD" and "Measurement capability" associated with the 2100 MHz UTRA FDD frequency band.

- 1> if the IE "UE radio access 3.84 Mcps TDD capability update requirement" has the value TRUE:
  - 2> if the UE supports 3.84 Mcps TDD mode:
    - 3> store its UTRAN-specific 3.84 Mcps TDD capabilities and its UTRAN-specific capabilities common to FDD and TDD in the variable UE\_CAPABILITY\_REQUESTED.
- 1> if the IE "UE radio access 1.28 Mcps TDD capability update requirement" has the value TRUE:
  - 2> if the UE supports 1.28 Mcps TDD mode:
    - 3> store its UTRAN-specific 1.28 Mcps TDD capabilities and its UTRAN-specific capabilities common to FDD and TDD in the variable UE\_CAPABILITY\_REQUESTED.
- 1> if the IE "System specific capability update requirement list" is present:
  - 2> for each of the RAT requested in the IE "UE system specific capability"
    - 3> if the UE supports the listed RAT:
      - 4> include its inter-RAT radio access capabilities for the listed RAT in the IE "UE system specific capability" from the variable UE\_CAPABILITY\_REQUESTED.

If the IE " Capability update requirement " is not present, the UE shall:

- 1> assume the default values as specified in subclause 10.3.3.2 and act in accordance with the above.

## 8.6.4 Radio bearer information elements

### 8.6.4.1 Signalling RB information to setup list

If the IE "Signalling RB information to setup list" is included the UE shall:

- 1> use the same START value to initialise the COUNT-C and COUNT-I variables for all the signalling radio bearers in the list;
- 1> if the IE "Signalling RB information to setup list" was included in the RADIO BEARER SETUP message:
  - 2> if the variable LATEST\_CONFIGURED\_CN\_DOMAIN has been initialised:
    - 3> calculate the START value only once during this procedure according to subclause 8.5.9 for the CN domain indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
    - 3> store the calculated START value in the variable START\_VALUE\_TO\_TRANSMIT.
- 1> for each occurrence of the IE "Signalling RB information to setup":
  - 2> use the value of the IE "RB identity" as the identity of the signalling radio bearer to setup;
  - 2> if the signalling radio bearer identified with the IE "RB identity" does not exist in the variable ESTABLISHED\_RABS:
    - 3> create a new entry for the signalling radio bearer in the variable ESTABLISHED\_RABS.
  - 2> if the IE "Signalling RB information to setup list" was received in a message other than HANDOVER TO UTRAN COMMAND; and
  - 2> if the variable LATEST\_CONFIGURED\_CN\_DOMAIN has been initialised and the value "STATUS" of the variable CIPHERING\_STATUS of the CN domain stored in this variable is "Started":
    - 3> if the IE "Uplink RLC mode" or the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "AM RLC" or "UM RLC":
      - 4> initialise the 20 MSB of the hyper frame number component of COUNT-C for this signalling radio bearer with the START value in the variable START\_VALUE\_TO\_TRANSMIT;

- 4> set the remaining LSB of the hyper frame number component of COUNT-C for this signalling radio bearer to zero;
  - 4> start to perform ciphering on this signalling radio bearer, using the value of the IE "RB identity" minus one as the value of BEARER in the ciphering algorithm.
- 2> if the IE "Signalling RB information to setup list" was received in a message other than HANDOVER TO UTRAN COMMAND; and
  - 2> if the variable LATEST\_CONFIGURED\_CN\_DOMAIN has been initialised and the value "Status" of the variable "INTEGRITY\_PROTECTION\_INFO" of the CN domain stored in this variable is "Started":
    - 3> initialise the 20 MSB of the hyper frame number component of COUNT-I for this signalling radio bearer with the START value in the variable START\_VALUE\_TO\_TRANSMIT;
    - 3> set the remaining LSB of the hyper frame number component of COUNT-I for this signalling radio bearer to zero;
    - 3> for this signalling radio bearer, set the IE "Uplink RRC Message sequence number" in the variable INTEGRITY\_PROTECTION\_INFO to zero;
    - 3> start performing integrity protection according to subclauses 8.5.10.1 and 8.5.10.2.
  - 2> perform the actions for the IE "RLC info" as specified in subclause 8.6.4.9, applied for that signalling radio bearer;
  - 2> perform the actions for the IE "RB mapping info" as specified in subclause 8.6.4.8, applied for that signalling radio bearer.
- 1> apply a default value of the IE "RB identity" equal to 1 for the first IE "Signalling RB information to setup"; and
  - 1> increase the default value by 1 for each occurrence.

### 8.6.4.2 RAB information for setup

If the IE "RAB information for setup" is included, the procedure is used to establish radio bearers belonging to a radio access bearer, and the UE shall:

- 1> if several IEs "RAB information for setup" are included and the included IEs "CN domain identity" in the IE "RAB info" does not all have the same value:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the radio access bearer identified with the IE "RAB info" does not exist in the variable ESTABLISHED\_RABS:
  - 2> create a new entry for the radio access bearer in the variable ESTABLISHED\_RABS;
  - 2> store the content of the IE "RAB info" in the entry for the radio access bearer in the variable ESTABLISHED\_RABS;
  - 2> indicate the establishment of the radio access bearer to the upper layer entity using the IE "CN domain identity", forwarding the content of the IE "RB identity";
- 2> if prior to this procedure there exists no transparent mode radio bearer for the CN domain included in the IE "CN domain identity" and at least one transparent mode radio bearer is included in the IE "RB information to setup"; or
- 2> if at least one RLC-AM or RLC-UM radio bearer is included in the IE "RB information to setup":
  - 3> calculate the START value only once during this procedure (the same START value shall be used on all new radio bearers created for this radio access bearer) according to subclause 8.5.9 for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" part of the IE "RAB information to setup";
  - 3> store the calculated START value in the variable START\_VALUE\_TO\_TRANSMIT.

- 1> for each radio bearer in the IE "RB information to setup":
  - 2> if the radio bearer identified with the IE "RB identity" does not exist in the variable ESTABLISHED\_RABS:
    - 3> perform the actions specified in subclause 8.6.4.3;
    - 3> store information about the new radio bearer in the entry for the radio access bearer identified by "RAB info" in the variable ESTABLISHED\_RABS;
    - 3> create a new RAB subflow for the radio access bearer;
    - 3> number the RAB subflow in ascending order, assigning the smallest number to the RAB subflow corresponding to the first radio bearer in the list;
    - 3> if the IE "CN domain identity" in the IE "RAB info" is set to "PS domain" and the number of RAB subflows for the radio access bearer is greater than 1:
      - 4> set the variable INVALID\_CONFIGURATION to TRUE.
  - 2> if the radio bearer identified with the IE "RB identity" already exists in the variable ESTABLISHED\_RABS:
    - 3> set the variable INVALID\_CONFIGURATION to TRUE.

#### 8.6.4.2a RAB information to reconfigure

If the IE "RAB information to reconfigure" is included then the UE shall:

- 1> if the entry for the radio access bearer identified by the IE "CN domain identity" together with the IE "RAB Identity" in the variable ESTABLISHED\_RABS already exists:
  - 2> perform the action for the IE "NAS Synchronization Indicator", according to subclause 8.6.4.12.
- 1> else:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.

#### 8.6.4.3 RB information to setup

If the IE "RB information to setup" is included, the UE shall apply the following actions on the radio bearer identified with the value of the IE "RB identity". The UE shall:

- 1> use the same START value to initialise the hyper frame number components of COUNT-C variables for all the new radio bearers to setup;
- 1> perform the actions for the IE "PDCP info", if present, according to subclause 8.6.4.10, applied for the radio bearer;
- 1> perform the actions for the IE "RLC info", according to subclause 8.6.4.9, applied for the radio bearer;
- 1> perform the actions for the IE "RB mapping info", according to subclause 8.6.4.8, applied for the radio bearer;
- 1> if the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
  - 2> configure delivery of erroneous SDUs in lower layers according to indication from upper layer [5].
- 1> if the IE "RB information to setup" was received in a message other than HANDOVER TO UTRAN COMMAND; and
- 1> if the IE "Uplink RLC mode" or the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "AM RLC" or "UM RLC":
  - 2> initialise the 20 MSB of the hyper frame number component of COUNT-C for this radio bearer with the START value in the variable START\_VALUE\_TO\_TRANSMIT;
  - 2> set the remaining LSB of the hyper frame number component of COUNT-C for this radio bearer to zero;

2> start incrementing the COUNT-C values.

- 1> if the IE "RB information to setup" was received in a message other than HANDOVER TO UTRAN COMMAND; and
- 1> if the IE "Uplink RLC mode" and the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
  - 2> if prior to this procedure there exists no transparent mode radio bearer for the CN domain included in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS and at least one transparent mode radio bearer is included in the IE "RB information to setup":
    - 3> if the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Not Started":
      - 4> at the activation time as specified in the IE "Ciphering activation time for DPCH" if included in the IE "Ciphering mode info" in the command message or, if this IE is not included, as specified in the IE "COUNT-C activation time" included in the response message:
        - 5> initialise the 20 most significant bits of the hyper frame number component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value in the variable START\_VALUE\_TO\_TRANSMIT;
        - 5> set the remaining LSB of the hyper frame number component of COUNT-C to zero;
        - 5> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
      - 3> if the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to " Started":
        - 4> at the activation time as specified in the IE "Activation Time" in the RADIO BEARER SETUP message:
          - 5> initialise the 20 most significant bits of the HFN component of COUNT-C common for all transparent mode RLC radio bearer to the value of the latest transmitted START for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
          - 5> set the remaining LSB of the HFN component of COUNT-C to zero;
          - 5> start to perform ciphering on the radio bearer in lower layers while not incrementing the HFN.
        - 4> at the activation time as specified in the IE "Ciphering activation time for DPCH" if included in the IE "Ciphering mode info" in the command message or, if this IE is not included, as specified in the IE "COUNT-C activation time" included in the response message:
          - 5> initialise the 20 most significant bits of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value in the variable START\_VALUE\_TO\_TRANSMIT;
          - 5> set the remaining LSB of the HFN component of COUNT-C to zero;
          - 5> if the received message is used to perform a Timing re-initialised hard handover:
            - 6> the UE behaviour is not specified.
          - 5> start incrementing the COUNT-C value common for all transparent mode radio bearers of this CN domain as normal, at each CFN value, i.e. the HFN component is no longer fixed in value but incremented at each CFN cycle.
    - 2> if prior to this procedure there exists at least one transparent mode radio bearer for the CN domain included in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS:
      - 3> if the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Not Started":



- 4> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
  - 3> if the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Started":
    - 4> use the COUNT-C value common for all transparent mode radio bearers of this CN domain.
  - 1> if the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Started":
    - 2> start to perform ciphering on the radio bearer in lower layers, using the value of the IE "RB identity" minus one as the value of BEARER in the ciphering algorithm.
- NOTE: UTRAN should not use the IE "RB information to setup" to setup radio bearers with RB identity in the range 1-4.

#### 8.6.4.4 RB information to be affected

If the IE "RB information to be affected" is included, the UE shall apply the following actions on the radio bearer identified with the value of the IE "RB identity". The UE shall:

- 1> perform the actions for the IE "RB mapping info", according to subclause 8.6.4.8, applied for the radio bearer.

#### 8.6.4.5 RB information to reconfigure

If the IE "RB information to reconfigure" is included, the UE shall apply the following actions on the radio bearer identified with the value of the IE "RB identity". The UE shall:

- 1> perform the actions for the IE "PDCP info", if present, according to subclause 8.6.4.10, applied for the radio bearer;
- 1> if the IE "RLC info" is present:
  - 2> perform the actions for the IE "RLC info", according to subclause 8.6.4.9, applied for the radio bearer.
- 1> else:
  - 2> continue using the current RLC configuration for the radio bearer.
- 1> perform the actions for the IE "RB mapping info", according to subclause 8.6.4.8, applied for the radio bearer;
- 1> if the IE "Downlink RLC mode" in the IE "RLC info" is set to "TM RLC":
  - 2> configure delivery of erroneous SDUs in lower layers according to indication from upper layer [5].
- 1> if the IE "PDCP SN info" is included:
  - 2> perform the actions as specified in subclause 8.6.4.11 applied for the radio bearer.
- 1> if the IE "RB stop/continue" is included; and
  - 2> if the "RB identity" has a value greater than 2; and
    - 3> if the value of the IE "RB stop/continue" is "stop":
      - 4> configure the RLC entity for the radio bearer to stop;
      - 4> set the IE "RB started" in the variable ESTABLISHED\_RABS to "stopped" for that radio bearer.
    - 3> if the value of the IE "RB stop/continue" is "continue":
      - 4> configure the RLC entity for the radio bearer to continue;
      - 4> set the IE "RB started" in the variable ESTABLISHED\_RABS to "started" for that radio bearer.

- 2> if the IE "RB identity" is set to a value less than or equal to 2:
  - 3> set the variable INVALID\_CONFIGURATION to TRUE.

#### 8.6.4.6 RB information to release

If the IE "RB information to release" is included, the UE shall apply the following actions on the radio bearer identified with the value of the IE "RB identity". The UE shall:

- 1> if the IE "RB identity" is set to a value less than 4:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the IE "RB identity" refers to a signalling radio bearer:
  - 2> release the RLC entity for the signalling radio bearer;
  - 2> delete the information about the signalling radio bearer from the variable ESTABLISHED\_RABS.
- 1> if the IE "RB identity" refers to a radio bearer:
  - 2> release the PDCP and RLC entities for that radio bearer;
  - 2> indicate release of the RAB subflow associated with the radio bearer to upper layers;
  - 2> delete the information about the radio bearer from the variable ESTABLISHED\_RABS;
  - 2> when all radio bearers belonging to the same radio access bearer have been released:
    - 3> indicate release of the radio access bearer to upper layers providing the "CN domain identity" together with the "RAB identity" stored in the variable ESTABLISHED\_RABS;
    - 3> delete all information about the radio access bearer from the variable ESTABLISHED\_RABS.

#### 8.6.4.7 RB with PDCP information

If the IE "RB with PDCP information" is included, the UE shall apply the following actions on the radio bearer identified with the value of the IE "RB identity". The UE shall:

- 1> for the IE "PDCP SN info":
  - 2> perform the actions as specified in subclause 8.6.4.11.

#### 8.6.4.8 RB mapping info

If the IE "RB mapping info" is included, the UE shall:

- 1> for each multiplexing option of the RB:
  - 2> if a transport channel that would not exist as a result of the message (i.e. removed in the same message in IE "Deleted DL TrCH information" and IE "Deleted UL TrCH information") is referred to:
    - 3> set the variable INVALID\_CONFIGURATION to TRUE.
  - 2> if a multiplexing option that maps a logical channel corresponding to a TM-RLC entity onto RACH, CPCH, FACH or DSCH is included:
    - 3> set the variable INVALID\_CONFIGURATION to TRUE.
  - 2> if the multiplexing option realises the radio bearer on the uplink (resp. on the downlink) using two logical channels with different values of the IE "Uplink transport channel type" (resp. of the IE "Downlink transport channel type"):
    - 3> set the variable INVALID\_CONFIGURATION to TRUE.

- 2> if that RB is using TM and the IE "Segmentation indication" is set to TRUE and, based on the multiplexing configuration resulting from this message, the logical channel corresponding to it is mapped onto the same transport channel as another logical channel:
  - 3> set the variable INVALID\_CONFIGURATION to TRUE.
- 2> if the transport channel considered in that multiplexing option is different from RACH and if that RB is using AM and the set of RLC sizes applicable to the uplink logical channel transferring data PDUs has more than one element not equal to zero:
  - 3> set the variable INVALID\_CONFIGURATION to TRUE.
- 2> if that RB is using UM or TM and the multiplexing option realises it using two logical channels:
  - 3> set the variable INVALID\_CONFIGURATION to TRUE.
- 2> for each logical channel in that multiplexing option:
  - 3> if the value of the IE "RLC size list" is set to "Explicit list":
    - 4> if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is included in the same message, and the value (index) of any IE "RLC size index" in the IE "Explicit list" does not correspond to an "RLC size" in the IE transport format set of that transport channel given in the message; or
    - 4> if the transport channel this logical channel is mapped on in this multiplexing option is different from RACH, and if a "Transport format set" for that transport channel is not included in the same message, and the value (index) of any IE "RLC size index" in the IE "Explicit list" does not correspond to an "RLC size" in the stored transport format set of that transport channel; or
    - 4> if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is included in the same message, and the value of any IE "Logical channel list" in the transport format set is not set to "Configured"; or
    - 4> if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is not included in the same message, and the value of any IE "Logical channel list" in the stored transport format set of that transport channel is not set to "Configured":
      - 5> set the variable INVALID\_CONFIGURATION to TRUE.
  - 3> if the value of the IE "RLC size list" is set to "All":
    - 4> if the transport channel this logical channel is mapped on is RACH; or
    - 4> if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is included in the same message, and the value of any IE "Logical channel list" in the transport format set is not set to "Configured"; or
    - 4> if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is not included in the same message, and the value of any IE "Logical channel list" in the stored transport format set of that transport channel is not set to "Configured":
      - 5> set the variable INVALID\_CONFIGURATION to TRUE.
  - 3> if the value of the IE "RLC size list" is set to "Configured":
    - 4> if the transport channel this logical channel is mapped on is RACH; or
    - 4> if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is included in the same message, and for none of the RLC sizes defined for that transport channel in the "Transport format set", the "Logical Channel List" is set to "All" or given as an "Explicit List" which contains this logical channel; or
    - 4> if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is not included in the same message, and for none of the RLC sizes defined in the

transport format set stored for that transport channel, the "Logical Channel List" is set to "All" or given as an "Explicit List" which contains this logical channel:

5> set the variable INVALID\_CONFIGURATION to TRUE.

1> if, as a result of the message this IE is included in, several radio bearers can be mapped onto the same transport channel, and the IE "Logical Channel Identity" was not included in the RB mapping info of any of those radio bearers for a multiplexing option on that transport channel or the same "Logical Channel Identity" was used more than once in the RB mapping info of those radio bearers for the multiplexing options on that transport channel:

2> set the variable INVALID\_CONFIGURATION to TRUE.

1> if the "RB mapping info" is considered as valid according to the rules above:

2> delete all previously stored multiplexing options for that radio bearer;

2> store each new multiplexing option for that radio bearer;

2> perform the actions as specified in subclause 8.5.21.

1> if the IE "Uplink transport channel type" is set to the value "RACH":

2> in FDD:

3> refer the IE "RLC size index" to the RACH Transport Format Set of the first PRACH received in the IE "PRACH system information list" received in System Information Block 5 or System Information Block 6.

2> in TDD:

3> use the first Transport Format of the PRACH of the IE "PRACH system information list" at the position equal to the value in the IE "RLC size index".

In case IE "RLC info" includes IE "Downlink RLC mode" ("DL RLC logical channel info" is mandatory present) but IE "Number of downlink RLC logical channels" is absent in the corresponding IE "RB mapping info", the parameter values are exactly the same as for the corresponding UL logical channels. In case two multiplexing options are specified for the UL, the first options shall be used as default for the DL. As regards the IE "Channel type", the following rule should be applied to derive the DL channel type from the UL channel included in the IE:

| Channel used in UL | DL channel type implied by "same as" |
|--------------------|--------------------------------------|
| DCH                | DCH                                  |
| RACH               | FACH                                 |
| CPCH               | FACH                                 |
| USCH               | DSCH                                 |

If ciphering is applied, UTRAN should not map Transparent Mode RBs of different CN domains on the same transport channel and it should not map transparent mode SRBs and RBs onto the same transport channel. In such cases the UE behaviour is not specified.

#### 8.6.4.9 RLC Info

Upon reception of the IE "RLC Info", the UE shall:

1> configure the transmitting and receiving RLC entities in the UE for that radio bearer accordingly;

1> if the IE "Polling info" is present in the IE "RLC info":

2> for each present IE in the IE "Polling info":

3> configure RLC to use the corresponding function according to the value of the IE.

2> for each absent IE in the IE "Polling info":

3> configure RLC to not use the corresponding function.

- 1> if the IE "Polling info" is absent:
  - 2> configure RLC to not use the polling functionality.
- 1> if the IE "Downlink RLC STATUS info" is present in the IE "RLC info" (this IE is present for AM RLC):
  - 2> for each present IE in the IE "Downlink RLC STATUS info":
    - 3> configure RLC to use the corresponding function according to value of the IE.
  - 2> for each absent IE in the IE "Downlink RLC STATUS info":
    - 3> configure RLC to not use the corresponding function.
- 1> if the IE "Transmission RLC discard" is present:
  - 2> configure the discard procedure in RLC according to the IE "Transmission RLC discard"
- 1> if the IE "Transmission RLC discard" is absent (only possible for TM RLC and UM RLC):
  - 2> do not configure SDU discard in RLC.

#### 8.6.4.10 PDCP Info

For RFC 3095:

- 1> the chosen MAX\_CID shall be less than the value "Maximum number of ROHC context sessions" as indicated in the IE "PDCP Capability";
- 1> the configuration for the PACKET\_SIZES\_ALLOWED is FFS.

If IE "PDCP info" is included, the UE shall:

- 1> if the radio bearer is connected to a CS domain radio access bearer:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the IE "PDCP PDU header" is set to the value "absent":
  - 2> if the IE "Support for lossless SRNS relocation" is true:
    - 3> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the IE "PDCP PDU header" is set to the value "present":
  - 2> if the IE "Support for lossless SRNS relocation" is false:
    - 3> if the IE "Header compression information" is absent:
      - 4> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the IE "Header compression information" is absent:
  - 2> not use Header compression after the successful completion of this procedure;
  - 2> remove any stored configuration for the IE "Header compression information".
- 1> if the IE "Header compression information" is present:
  - 2> only use header compression algorithms present in the IE "algorithm type" in that message for the configured radio bearer after the successful completion of this procedure;
  - 2> configure the order in which the header compression algorithms appear in the IE "algorithm type" in that message to be used by PDCP for mapping of PID values.
- 1> configure the PDCP entity for that radio bearer accordingly;

- 1> configure the RLC entity for that radio bearer according to the value of the IE "Support for lossless SRNS relocation";
- 1> set the PROFILES parameter, used by inband ROHC profile negotiation, for this PDCP entity for both UL and DL equal to the list of ROHC profiles received in the IE "PDCP info". A UE complying to this version of the protocol shall support ROHC profiles 0x0000 (ROHC uncompressed), 0x0001 (ROHC RTP), 0x0002 (ROHC UDP) and 0x0003 (ROHC ESP) (see [52]).

NOTE: The UE behaviour is unspecified if the IE "Header compression information" is present and the "algorithm type" is set to "RFC 2507" and the UE capability "Maximum header compression context space", as specified in [35], is exceeded.

#### 8.6.4.11 PDCP SN Info

If the IE "PDCP SN Info" is included, the UE shall:

- 1> transfer the sequence number to the PDCP entity for the radio bearer;
- 1> configure the RLC entity for the radio bearer to stop;
- 1> include the current PDCP receive sequence number and the radio bearer identity for the radio bearer in the variable PDCP\_SN\_INFO.

#### 8.6.4.12 NAS Synchronisation Indicator

If the IE "NAS Synchronisation Indicator" is present in a message, the UE shall:

- 1> forward the content to upper layers along with the IE "CN domain identity" of the associated RAB stored in the variable ESTABLISHED\_RABS at the CFN indicated in the IE "Activation time" in order to synchronise actions in NAS and AS.

### 8.6.5 Transport channel information elements

#### 8.6.5.1 Transport Format Set

If the IE "Transport format set" is included, the UE shall:

- 1> if the transport format set is a RACH TFS received in System Information Block type 5 or 6, and CHOICE "Logical Channel List" has a value different from "Configured":
  - 2> ignore that System Information Block.
- 1> if the transport format set for a downlink transport channel is received in a System Information Block, and CHOICE "Logical Channel List" has a value different from 'ALL':
  - 2> ignore that System Information Block.
- 1> if the transport format set for a downlink transport channel is received in a message on a DCCH, and CHOICE "Logical Channel List" has a value different from 'ALL':
  - 2> keep the transport format set if this exists for that transport channel;
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the value of any IE "RB identity" (and "Logical Channel" for RBs using two UL logical channels) in the IE "Logical channel list" does not correspond to a logical channel indicated to be mapped onto this transport channel in any RB multiplexing option (either included in the same message or previously stored and not changed by this message); or
- 1> if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is set to "Configured" while it is set to "All" or given as an "Explicit List" for any other RLC size; or

- 1> if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is set to "All" and for any logical channel mapped to this transport channel, the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is not set to "Configured"; or
- 1> if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is given as an "Explicit List" that contains a logical channel for which the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is not set to "Configured"; or
- 1> if the "Logical Channel List" for all the RLC sizes defined for that transport channel are given as "Explicit List" and if one of the logical channels mapped onto this transport channel is not included in any of those lists; or
- 1> if the "Logical Channel List" for the RLC sizes defined for that transport channel is set to "Configured" and for any logical channel mapped onto that transport channel, the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is also set to "Configured"; or
- 1> if the IE "Transport Format Set" was not received within the IE "PRACH system information list" and if the "Logical Channel List" for the RLC sizes defined for that transport channel is set to "Configured" and for any logical channel mapped onto that transport channel, the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is given as an "Explicit List" that includes an "RLC size index" that does not correspond to any RLC size in this "Transport Format Set"; or
- 1> if the IE "Transport Format Set" was not received within the IE "PRACH system information list", and if that RB is using AM and the set of RLC sizes applicable to the logical channel transferring data PDUs has more than one element not equal to zero:
  - 2> keep the transport format set if this exists for that transport channel;
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the total number of configured transport formats for the transport channel exceeds maxTF:
  - 2> keep the transport format set if this exists for that transport channel;
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the IE "Transport format set" is considered as valid according to the rules above:
  - 2> remove a previously stored transport format set if this exists for that transport channel;
  - 2> store the transport format set for that transport channel;
  - 2> consider the first instance of the parameter *Number of TBs and TTI List* within the *Dynamic transport format information* to correspond to transport format 0 for this transport channel, the second to transport format 1 and so on;
  - 2> if the IE "Transport format Set" has the choice "Transport channel type" set to "Dedicated transport channel":
    - 3> calculate the transport block size for all transport formats in the TFS using the following
 

|                                      |                     |
|--------------------------------------|---------------------|
| TB size = RLC size + MAC header size | if "RLC size" <> 0, |
| TB size = 0                          | if "RLC size" = 0,  |
  - where:
    - MAC header size is calculated according to [15] if MAC multiplexing is used. Otherwise it is 0 bits;
    - 'RLC size' reflects the RLC PDU size.
- 2> if the IE "Transport format Set" has the choice "Transport channel type" set to "Common transport channel":
  - 3> in FDD:
    - 4> for transport channels other than DSCH calculate the transport block size for all transport formats in the TFS using the following:
 

|                     |
|---------------------|
| TB size = RLC size. |
|---------------------|

- 4> for DSCH transport channels calculate the transport block size for all transport formats in the TFS using the following:

$$\begin{aligned} \text{TB size} &= \text{RLC size} + \text{MAC header size} && \text{if "RLC size"} \neq 0, \\ \text{TB size} &= 0 && \text{if "RLC size"} = 0, \end{aligned}$$

where:

- MAC header size is calculated according to [15];
- 'RLC size' reflects the RLC PDU size.

- 3> for TDD calculate the transport block size for all transport formats in the TFS using the following:

$$\text{TB size} = \text{RLC size.}$$

- 2> if the IE "Number of Transport blocks"  $\neq 0$  and IE "RLC size" = 0, no RLC PDU data exists but only parity bits exist for that transport format;
- 2> if the IE "Number of Transport blocks" = 0, neither RLC PDU neither data nor parity bits exist for that transport format;
- 2> perform the actions as specified in subclause 8.5.21.

For configuration restrictions on Blind Transport Format Detection, see [27].

### 8.6.5.2 Transport format combination set

If the IE "Transport format combination set" is included for the uplink, the UE shall for that direction:

- 1> store the new transport format combination set, or (if this exists) modify a previously stored transport format combination set according to IEs included in IE "Transport format combination set";
- 1> start to respect those transport format combinations;
- 1> if IE "Transport format combination subset" is received in this message:
  - 2> perform the actions as specified in subclause 8.6.5.3.
- 1> if IE "Transport format combination subset" is not received in this message:
  - 2> clear the IE "Duration" in the variable TFC\_SUBSET;
  - 2> set both the IE "Current TFC subset" and the IE "Default TFC subset" in the variable TFC\_SUBSET to the value indicating "full transport format combination set".

If the IE "Transport format combination set" is included for the downlink, the UE shall for that direction:

- 1> store the new transport format combination set, or (if this exists) modify a previously stored transport format combination set according to IEs included in IE "Transport format combination set";
- 1> start to respect those transport format combinations;
- 1> if IE "Transport format combination subset" is received in this message:
  - 2> perform the actions as specified in subclause 8.6.5.3.

If the IE "Transport format combination set" is not included for the uplink and if there is no addition, removal or reconfiguration of transport channels, the UE shall for that direction:

- 1> use a previously stored transport format combination set if this exists.

If the IE "Transport format combination set" is not included for the downlink and if there is no addition, removal or reconfiguration of transport channels, the UE shall for that direction:

- 1> use a previously stored transport format combination set if this exists.



If the IE "Transport format combination set" is not included; and

- 1> if no transport format combination set is stored in the UE; or
- 1> if transport channels are added or removed in the message; or
- 1> if any transport channel is reconfigured in the message such that the size of the transport format set is changed:

the UE shall:

- 1> set the variable INVALID\_CONFIGURATION to TRUE.

In the uplink TFCS the minimum set of TFCs is the set of TFCs that is needed for the TFC selection algorithm defined in [15] to give a predictable result. This set should always be included in the TFCS, and its use should never be restricted using the IE "TFC Subset". In the definition of the minimum set of TFCs below, only logical channels for which the TFCS or the TFC subset include at least one TFC with non-empty TF for the corresponding transport channel should be considered.

The minimum set of TFCs consists of the following:

- 1> for each UM logical channel:
  - 2> a TFC with one transport block for this transport channel and empty TFs (see [34]) for all the others. If more than one TFC fulfils this criteria, only the TFC with the lowest number of bits should be included in the minimum set of TFCs.
- 1> for each AM logical channel:
  - 2> a TFC with a non-empty TF for the corresponding transport channel and empty TFs for all other transport channels, where the non-empty TF includes one transport block with "Configured RLC Size" equal to the RLC PDU size.
- 1> for each set of "synchronous" TM logical channels (see the definition below) and for each set of SDU sizes associated with it:
  - 2> a TFC with TFs corresponding to any combination of SDU sizes that can be received in a TTI from higher layers on the corresponding transport channels and empty TFs for all other transport channels.
- 1> for each TM logical channel that is not part of a set of "synchronous" TM logical channels (see the definition below):
  - 2> a TFC with non-empty TFs for the corresponding transport channel, and empty TFs for all other transport channels, where
    - 3> for non-segmented mode TM-RLC logical channels the non-empty TFs include, for the smallest SDU size that can be received in a single TTI from higher layer:
      - 4> a TF with non-zero number of transport blocks with "Configured RLC Size" equal to the corresponding SDU size. If more than one TFC fulfils this criteria, only the TFC with the lowest number of bits in the TFC is included in the minimum set of TFCs.
    - 3> for segmented mode TM-RLC, the non-empty TFs include any TF such that the number of transport blocks multiplied by the "Configured RLC Size" is equal to the smallest SDU size that can be received in a single TTI from higher layer.
- 1> an "empty" TFC (see [34]).

Furthermore, the UTRAN should ensure that the uplink TFCS and any configured TFC Subset satisfies the following rules:

- 1> for each TTI length with which at least one transport channel is configured:
  - 2> for each combination of TFs for the transport channels configured with this TTI length included in the TFCS:
    - 3> a TFC with these TFs for the transport channels configured with this TTI length and empty TFs on all transport channels configured with shorter TTI lengths is also included in the TFCS.

For TDD, the TFCS of a CCTrCH should include those of the above combinations, which include a TF with one transport block for a transport channel used in that CCTrCH, and the "empty" TFC should be included in the TFCS of every CCTrCH.

Synchronous TM logical channels are logical channels on which higher layer traffic is generated in a perfectly correlated fashion (e.g. AMR RAB).

NOTE: The "Configured RLC Size" is defined as the transport block size minus the MAC header size.

### 8.6.5.3 Transport format combination subset

When configuring a TFC Subset, the UTRAN should follow the guidelines defined in subclause 8.6.5.2.

If the IE "Transport format combination subset" ("TFC subset") is included, the UE shall:

- 1> if the IE "Minimum allowed Transport format combination index" is included; and
  - 2> if the value of the IE "Minimum allowed Transport format combination index" is greater than the highest TFCI value in the current transport format combination set:
    - 3> consider the TFC subset to be incompatible with the current transport format combination set.
- 1> if the IE "Allowed transport format combination list" is included; and
  - 2> if the value of any of the IEs "Allowed transport format combination" included in the IE "Allowed transport format combination list" does not match a TFCI value in the current transport format combination set:
    - 3> consider the TFC subset to be incompatible with the current transport format combination set.
- 1> if the IE "Non-allowed transport format combination list" is included; and
  - 2> if the value of any of the IEs "Non-allowed transport format combination" included in the IE "Non-allowed transport format combination list" does not match a TFCI value in the current transport format combination set:
    - 3> consider the TFC subset to be incompatible with the current transport format combination set.
- 1> if the IE "Restricted TrCH information" is included:
  - 2> if the value of any of the IEs "Uplink transport channel type" and "Restricted UL TrCH identity" included in the IE "Restricted TrCH information" do not correspond to any of the transport channels for which the current transport format combination set is valid:
    - 3> consider the TFC subset to be incompatible with the current transport format combination set.
  - 2> if the IE "Allowed TFIs" is included; and
    - 3> if the value of each of the IEs "Allowed TFI" included in the IE "Allowed TFIs" corresponds to a transport format for that transport channel within the current transport format combination set:
      - 4> allow all transport format combinations that include these transport formats for the transport channel;
      - 4> restrict all other transport format combinations.
    - 3> else:
      - 4> consider the TFC subset to be incompatible with the current transport format combination set.
  - 2> if the IE "Allowed TFIs" is not included:
    - 3> restrict all transport format combinations where the transport channel has a transport format of non-zero rate.
- 1> if the UE considers the TFC subset to be incompatible with the current Transport format combination set according to the above:
  - 2> keep any previous restriction of the transport format combination set;

- 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the UE does not consider the TFC subset to be incompatible with the current Transport format combination set according to the above:
  - 2> restrict the transport format combination set in the uplink to the value of the IE "Transport format combination subset" (in case of TDD for the uplink CCH specified by the IE "TFCS Id");
  - 2> clear the IE "Duration" in the variable TFC\_SUBSET.
- 1> if the transport format combination subset indicates the "full transport format combination set":
  - 2> any restriction on transport format combination set is released and the UE may use the full transport format combination set.

#### 8.6.5.4 DCH quality target

If the IE "DCH quality target" is included, the UE shall:

- 1> set, at physical channel establishment, an initial downlink target SIR value based on the received IE "DCH quality target";
- 1> adjust the target SIR for the downlink power control to meet the quality target received in the IE "DCH quality target".

NOTE 1: Adjusting the target SIR is possible to do continuously by the UE if a CRC exists in all transport formats in the downlink TFS for a DCH. If a CRC does not exist in all transport formats, the UE can only adjust the target SIR when receiving transport formats containing a CRC and the UE has knowledge about the transport format according to [27].

NOTE 2: If the UTRAN configures a UE to use blind transport format detection and configures a transport channel such that single transport format detection [27] must be used to detect the TF, then it is not possible for the UE to maintain a quality target for that transport channel.

#### 8.6.5.5 Added or Reconfigured UL TrCH information

If the IE "Added or Reconfigured UL TrCH information" is included then the UE shall:

- 1> for the transport channel identified by the IE "UL Transport Channel Identity" and IE "Uplink transport channel type":
  - 2> perform the actions for the IE "Transport Format Set" as specified in subclause 8.6.5.1.

NOTE: The UE stores the UL transport channel configuration until it is explicitly deleted by a message containing the IE "Deleted UL TrCH information" or the UE leaves RRC Connected mode.

#### 8.6.5.6 Added or Reconfigured DL TrCH information

If the IE "Added or Reconfigured DL TrCH information" is included then for the transport channel identified by the IE "DL Transport Channel Identity" the UE shall:

- 1> if the choice "DL parameters" is set to 'explicit':
  - 2> perform the actions for the IE "Transport Format Set" as specified in subclause 8.6.5.1.
- 1> if the choice "DL parameters" is set to 'same as uplink':
  - 2> if the IE "UL Transport Channel Identity" indicates an existing or a new UL Transport Channel:
    - 3> store as transport format for this transport channel the transport format associated with the transport channel identified by the IE "UL Transport Channel Identity".
  - 2> else:
    - 3> set the variable INVALID\_CONFIGURATION to TRUE.

- 1> if the IE "DCH quality target" is included:
  - 2> perform the actions specified in subclause 8.6.5.4.

NOTE: The UE stores the DL transport channel configuration until it is explicitly deleted by a message containing the IE "Deleted DL TrCH information" or the UE leaves RRC connected mode.

### 8.6.5.7 Deleted UL TrCH information

If the IE "Deleted UL TrCH information" is included the UE shall:

- 1> delete any information about the transport channel identified by the IE "UL TrCH identity" and IE "Uplink transport channel type".

### 8.6.5.8 Deleted DL TrCH information

If the IE "Deleted DL TrCH information" is included the UE shall:

- 1> delete any information about the transport channel identified by the IE "DL TrCH identity".

### 8.6.5.9 UL Transport channel information common for all transport channels

If the IE "UL Transport channel information common for all transport channels" is included the UE shall:

- 1> perform actions for the IE "TFC subset" as specified in subclause 8.6.5.3;
- 1> if the IE "PRACH TFCS" is included:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the IE has the choice "mode" set to FDD:
  - 2> perform actions for the IE "UL DCH TFCS" as specified in subclause 8.6.5.2.
- 1> if the IE has the choice "mode" set to TDD:
  - 2> if the IE "Individual UL CCH information" is included:
    - 3> for each TFCS identified by IE "UL TFCS id":
      - 4> perform actions for the IE "UL TFCS" as specified in subclause 8.6.5.2.
- 1> if the IE "TFC subset list" is included:
  - 2> remove a previously stored TFC subset list if this exists in the variable TFC\_SUBSET;
  - 2> store the IE "TFC subset list" in the IE "TFC subset list" in the variable TFC\_SUBSET;
  - 2> consider the first instance of the IE "TFC subset" in the IE "TFC subset list" as Transport Format Combination Subset 0 (TFC subset identity = 0), the second instance as Transport Format Combination Subset 1 (TFC subset identity = 1) and so on.

### 8.6.5.10 DL Transport channel information common for all transport channels

If the IE "DL Transport channel information common for all transport channels" is included the UE shall:

- 1> if the IE "SCCPCH TFCS" is included:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the IE choice "mode" is set to FDD:
  - 2> if the choice "DL parameters" is set to 'explicit':
    - 3> if the IE "DL DCH TFCS" is included:

4> if the IE "SCCPCH TFCS" is included and the state the UE enters after handling the received information is other than CELL\_DCH:

5> ignore the received IE "DL DCH TFCS".

NOTE: the IE "DL Transport channel information common for all transport channels" always includes a DL DCH TFCS configuration, either by including the IE "DL DCH TFCS" or by specifying that the TFCS is the same as in UL. If UTRAN does not require the reconfiguration of the concerned parameters, UTRAN may replace one TFC with the value that is already assigned for this IE.

4> else:

5> perform actions as specified in subclause 8.6.5.2.

1> if the IE choice "mode" is set to TDD:

2> if the IE "Individual DL CCTRCH information" is included:

3> for each DL TFCS identified by the IE "DL TFCS identity":

4> if the IE choice "DL parameters" is set to 'independent':

5> perform actions for the IE "DL TFCS" as specified in subclause 8.6.5.2.

4> if the IE choice "DL parameters" is set to 'same as UL':

5> if the IE "UL DCH TFCS identity" indicates an existing or a new UL TFCS:

6> store for that DL TFCS the TFCS identified by the IE "UL DCH TFCS identity".

5> else:

6> set the variable INVALID\_CONFIGURATION to TRUE.

#### 8.6.5.11 DRAC static information

If the IE "DRAC static information" is included the UE shall:

1> store the content of the IE "Transmission Time Validity";

1> store the content of the IE "Time duration before retry";

1> store the content of the IE "DRAC Class identity".

#### 8.6.5.12 TFCS Reconfiguration/Addition Information

If the IE "TFCS Reconfiguration/Addition Information" is included the UE shall:

1> store the TFCs to be reconfigured/added indicated in the IE "CTFC information" as specified below;

1> if the IE "Power offset information" is included:

2> perform actions as specified in [29].

In order to identify the TFCs included in this IE the UE shall calculate the CTFC as specified in subclause 14.10 and

1> if the IE "TFCS Reconfiguration/Addition Information" was included in the IE "TFCI Field 1 Information":

2> ignore for the CTFC calculation any DSCH transport channel that may be assigned.

1> if the IE "TFCS Reconfiguration/Addition Information" was included in the IE "TFCI Field 2 Information":

2> ignore for the CTFC calculation any DCH transport channel that may be assigned.

If the IE "TFCS Reconfiguration/Addition Information" is used in case of TFCS "Complete reconfiguration" the UE shall:

1> remove a previously stored transport format combination set if this exists;

1> consider the first instance of the IE "CTFC information" as Transport Format Combination 0 in FDD (TFCI=0) and 1 in TDD (TFCI=1), the second instance as Transport Format Combination 1 in FDD (TFCI=1) and 2 in TDD (TFCI=2) and so on. In TDD the TFCI value = 0 is reserved for physical layer use.

If the IE "TFCS Reconfiguration/Addition Information" is used in case of TFCS "Addition" the UE shall insert the new additional(s) TFC into the first available position(s) in ascending TFCI order in the TFCS.

### 8.6.5.13 TFCS Removal Information

If the IE "TFCS Removal Information" is included the UE shall:

1> remove the TFC indicated by the IE "TFCI" from the current TFCS, and regard this position (TFCI) in the TFCS as vacant.

### 8.6.5.14 TFCI Field 2 Information

If the IE "TFCI Field 2 Information" is included the UE shall:

1> if the IE choice "Signalling method" is set to 'TFCI range':

2> for the first group in the IE "TFCI(field 2) range":

3> apply the Transport Format Combination indicated by the value of the IE "TFCS Information for DSCH (TFCI range method)" to the group of values of TFCI(field 2) between 0 and the IE "Max TFCI(field2) value".

2> for the following groups in the IE "TFCI(field 2) range":

3> apply the Transport Format Combination indicated by the value of the IE "TFCS Information for DSCH (TFCI range method)" to the group of values of TFCI(field 2) between the largest value reached in the previous group plus one and the IE "Max TFCI(field2) value".

1> if the IE choice "Signalling method" is set to 'Explicit':

2> perform actions for the IE "TFCS explicit configuration" as specified in subclause 8.6.5.15.

### 8.6.5.15 TFCS Explicit Configuration

If the IE "TFCS Explicit Configuration" is included the UE shall:

1> if the IE choice "TFCS representation" is set to 'complete reconfiguration':

2> perform the actions for the IE "TFCS Reconfiguration/Addition Information" as specified in subclause 8.6.5.12.

1> if the IE choice "TFCS representation" is set to 'addition':

2> perform the actions for the IE "TFCS Reconfiguration/Addition Information" as specified in subclause 8.6.5.12.

1> if the IE choice "TFCS representation" is set to 'removal':

2> perform the actions for the IE "TFCS Removal Information" as specified in subclause 8.6.5.13.

1> if the IE choice "TFCS representation" is set to 'replace':

2> perform first the actions for the IE "TFCS Removal Information" as specified in subclause 8.6.5.13; and then

2> perform the actions for the IE "TFCS Reconfiguration/Addition Information" as specified in subclause 8.6.5.12.

## 8.6.6 Physical channel information elements

This subclause specifies the actions upon reception and/or non-reception of the physical channel information elements. The combination of the values of those information elements included in a given message shall follow the compatibility rules that are specified in the physical layer specifications. In case those rules are not followed, the UE shall set the variable `INVALID_CONFIGURATION` to `TRUE`.

### 8.6.6.1 Frequency info

If, after completion of the procedure, the UE will be in `CELL_DCH` state, the UE shall:

- 1> if the IE "Frequency info" is included:
  - 2> if the frequency is different from the currently used frequency:
    - 3> store and use the frequency indicated by the IE "Frequency Info"; and
    - 3> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
  - 2> if the frequency is the same as the currently used frequency:
    - 3> continue to use the currently used frequency;
    - 3> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> if the IE "Frequency info" is not included and the UE has a currently used frequency:
  - 2> continue to use the currently used frequency.

### 8.6.6.2 Void

#### 8.6.6.2a PNBSCH allocation

The UE shall consider the frame numbers fulfilling the following equation as "PRACH blocked frames" as specified in [33].

$$SFN = \lfloor k * \text{Repetition period} \rfloor$$

for an integer  $k$  with  $k \in \{0, 1, 2, 3, 4, \dots, \text{value of IE "Number of repetitions per SFN period"} - 1\}$ , where:

Repetition period is:  $4096 / \text{value of IE "Number of repetitions per SFN period"}$ .

The UE shall configure the physical layer for the physical random access procedure accordingly.

### 8.6.6.3 Void

#### 8.6.6.3a Downlink information per radio link list

If the IE "Downlink information per radio link list" is included in a received message, the UE shall:

- 1> if the message was received in `CELL_DCH` state and the UE remains in `CELL_DCH` state according to subclause 8.6.3.3 applied on the received message:
- 2> if all radio links included in the IE "Downlink information per radio link list" are part of the current active set:
  - 3> for radio links part of the current active set, and present in the IE "Downlink information per radio link list":

- 4> update the downlink physical channel configuration according to the IE "Downlink information for each radio link" as specified in subclause 8.6.6.4.
  - 3> for radio links part of the current active set, and absent in the IE "Downlink information per radio link list":
    - 4> not change its current downlink physical channel configuration.
  - 2> if all radio links included in the IE "Downlink information per radio link list" are not part of the current active set:
    - 3> replace all the radio links in the current active set with the radio links in the IE "Downlink information per radio link list", each with a downlink physical channel configuration according to the IE "Downlink information for each radio link" as specified in subclause 8.6.6.4.
- NOTE: UTRAN should not mix radio links which are part of the current active set and radio links which are not part of the current active set in the same IE "Downlink information per radio link list". In such cases the UE behaviour is unspecified.
- 1> otherwise:
    - 2> if the message was received in CELL\_FACH state and the UE would transit to CELL\_DCH state according to subclause 8.6.3.3 applied on the received message:
      - 3> establish a downlink physical channel configuration for each of the included radio links according to the IE "Downlink information for each radio link" as specified in subclause 8.6.6.4.

#### 8.6.6.4 Downlink information for each radio link

If the IE "Downlink information for each radio link" is included in a received message, the UE shall:

- 1> if the UE would enter CELL\_DCH state according to subclause 8.6.3.3 applied on the received message:
  - 2> if the IE "SCCPCH Information for FACH" is included; and
  - 2> if the UE is in FDD mode and is not capable of simultaneous reception of DPCH and Secondary CCPCH:
    - 3> set the variable UNSUPPORTED\_CONFIGURATION to TRUE;
  - 2> if the UE is in FDD mode and is capable of simultaneous reception of DPCH and SCCPCH:
    - 3> start to receive the indicated Secondary CCPCH.
  - 2> if the UE is in TDD mode and shared transport channels are assigned to the UE:
    - 3> start to receive the indicated Secondary CCPCH.
  - 2> if the UE is in TDD mode and no shared transport channels are assigned to the UE:
    - 3> set the variable UNSUPPORTED\_CONFIGURATION to TRUE.
  - 2> act on the other IEs contained in the IE "Downlink information for each radio link" as specified in subclause 8.6 applied on this radio link.
- 1> in addition, if the message was received in CELL\_DCH state and the UE remains in CELL\_DCH state according to subclause 8.6.3.3 applied on the received message:
  - 2> for each optional IE part of the IE "Downlink information for each radio link" that is not present:
    - 3> do not change its current downlink physical channel configuration corresponding to the IE, which is absent, if not stated otherwise elsewhere.

NOTE: The Release '99 RADIO BEARER RECONFIGURATION message always includes at least one IE "Downlink information for each radio link" containing the mandatory IEs, even if UTRAN does not require the reconfiguration of any radio link.



- 1> if the UE would enter either the CELL\_FACH, CELL\_PCH or URA\_PCH state according to subclause 8.6.3.3 applied on the received message:
  - 2> if the received message is CELL UPDATE CONFIRM:
    - 3> ignore the IE "Downlink information for each radio link".
  - 2> if the received message is any other message than CELL UPDATE CONFIRM; and
  - 2> if IEs other than the IE "Primary CPICH info" (for FDD) or the IE "Primary CCPCH info" (for TDD) are included in the IE "Downlink information for each radio link":
    - 3> ignore these IEs.
  - 2> act on the other IEs contained in the IE "Downlink information for each radio link" as specified in subclause 8.6 applied on this radio link.

#### 8.6.6.5 Void

#### 8.6.6.6 Uplink DPCH info

If the IE "Uplink DPCH info" is included, the UE shall:

For FDD:

- 1> release any active uplink physical channels and activate the given physical channels;
- 1> if the IE "Number of FBI bits" is not included:
  - 2> use 0 FBI bits in the Uplink DPCH.
- 1> use an SF equal to or greater than the minimum SF indicated in the IE "Spreading Factor" during uncompressed frames or compressed frames by HL scheduling;
- 1> use an SF equal to or greater than the minimum SF divided by 2 during compressed frames by SF reduction.

For TDD:

- 1> release the uplink physical channels associated with any CCTrCH that is removed or reconfigured and activate the physical channels assigned to any CCTrCH that is added or reconfigured;
- 1> for 3.84 Mcps TDD use the IE "UL target SIR" specified for each added or reconfigured CCTrCH as described in subclause 8.5.7. For 1.28 Mcps TDD use the value of IE "UL target SIR" specified for each added or reconfigured CCTrCH for parameter  $PRX_{DPCHdes}$  as described in subclause 8.5.7;
- 1> use the parameters of the IE "Time info" for each added or reconfigured CCTrCH;
- 1> if present, use the IE "Uplink Timing Advance Control" as specified in subclause 8.6.6.26.

#### 8.6.6.7 Void

#### 8.6.6.8 Maximum allowed UL TX power

If the IE "Maximum allowed UL TX power" is included in the Handover to UTRAN Command, in any other dedicated message or in System Information Block type 3 or in System Information Block 4, the UE shall:

- 1> store and use the value until it is updated.

If the IE "Maximum allowed UL TX power" was not included in any dedicated message, the UE shall:

- 1> use the value previously stored, when received in an earlier dedicated message, Handover to UTRAN Command message or received in System Information Block type 3 or in System Information Block 4.

For all cases, the UE shall:

- 1> keep the UE uplink transmit power at or below the indicated power value;
- 1> if the current UE uplink transmit power is above the indicated power value:
  - 2> decrease the power to a level at or below the power value.

The maximum UE TX power is defined as the lower of the maximum output power of the UE power class and the maximum allowed UL TX power indicated in this IE. The maximum UE TX power shall not be exceeded.

#### 8.6.6.9 PDSCH with SHO DCH Info (FDD only)

If the IE "PDSCH with SHO DCH Info" is included, the UE shall:

- 1> if the variable DSCH\_RNTI is empty:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> configure itself to receive the PDSCH from the specified radio link within the active set identified by the IE "DSCH radio link identifier";
- 1> if the TFCI has a 'hard' split:
  - 2> if the IE "TFCI(field2) combining set" is included:
    - 3> configure the Layer 1 to combine soft only the DPCCCH TFCI(field 2) of the radio links within the active set which are identified by the IE "Radio link identifier" in the IE "TFCI(field2) Combining set".
  - 2> if the IE "TFCI(field2) combining set" is not included:
    - 3> configure the L1 to combine soft the DPCCCH TFCI(field 2) of all radio links within the active set.

#### 8.6.6.10 PDSCH code mapping (FDD only)

If the IE "PDSCH code mapping" is included, the UE shall:

- 1> if the variable DSCH\_RNTI is empty:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> use the scrambling code defined by the IE "DL Scrambling Code" to receive the PDSCH;
- 1> if the IE choice "signalling method" is set to 'code range':
  - 2> map the TFCI(field2) values to PDSCH codes in the following way:
    - 2> for the first group of the IE "PDSCH code mapping":
      - 3> if the value of the IE "multi-code info" equals 1:
        - 4> map the TFCI(field 2) = 0 to the PDSCH code specified by the IE "Spreading factor" and the code number given by the IE "Code number (for PDSCH code) start";
        - 4> map TFCI(field 2) = 1 to the PDSCH code specified by the IE "Spreading factor" and the code number given by the IE "Code number (for PDSCH code) start"+1;
        - 4> continue this process with unit increments in the value of TFCI(field 2) mapped to unit increments in code number until the code number equals the value of the IE "Code number (for PDSCH code) stop".
      - 3> if the value of the IE "multi-code info" is greater than 1:

- 4> if the value of the difference between the IE "Code number (for PDSCH code) start" and the IE "Code number (for PDSCH code) stop" + 1 is not a multiple of the value of the IE "multi-code info":
    - 5> set the variable INVALID\_CONFIGURATION to TRUE.
  - 4> map TFCI (field 2)=0 to a set of PDSCH contiguous codes. This code set is specified by the IE "Spreading factor" and code numbers between 'code number start' denoted by the IE "Code number (for PDSCH code) start" and 'code number stop' given by IE "Code number (for PDSCH code) start" - 1 + the value of the IE "multi-code info";
  - 4> continue this process with unit increments in the value of TFCI(field 2) mapped to a set of contiguous codes. This code set is specified by the IE "Spreading factor" and code numbers between 'code number start' = 'code number stop' + 1 of the previous TFCI(field 2) and 'code number stop' = 'code number start' - 1 + the value of the IE "multi-code info";
  - 4> stop this process when the 'code number stop' associated to the last TFCI(field 2) equals the value of the IE "Code number (for PDSCH code) stop".
- 2> for each of the next groups included in the IE "PDSCH code mapping":
    - 3> continue the process in the same way as for the first group with the TFCI(field 2) value used by the UE to construct its mapping table starting at the largest TFCI(field 2) value reached in the previous group plus one.
  - 2> if the value of the IE "Code number (for PDSCH code) start" equals the value of the IE "Code number (for PDSCH code) stop" (as may occur when mapping the PDSCH root code to a TFCI (field 2) value):
    - 3> consider this as defining the mapping between the channelisation code and a single TFCI (i.e., TFCI(field 2) shall not be incremented twice).
- 1> if the IE choice "signalling method" is set to "TFCI range":
    - 2> map the TFCI(field 2) values to PDSCH codes in the following way:
      - 2> for the first group of the IE "DSCH mapping":
        - 3> map each of the TFCI(field 2) between 0 and the value of the IE "Max TFCI(field 2)" to the PDSCH code specified by the IE "Spreading factor (for PDSCH code)" and the code number given by the IE "Code number (for PDSCH code)".
      - 2> for each of the next groups included in the IE "DSCH mapping":
        - 3> map each of the TFCI(field 2) between the IE "Max TFCI(field 2) value" specified in the last group plus one and the specified IE "Max TFCI(field 2)" in the current group to the PDSCH code specified by the IE "Spreading factor (for PDSCH code)" and the code number given by the IE "Code number (for PDSCH code)".
    - 2> if the value of the IE "multi-code info" is greater than 1:
      - 3> map each value of TFCI (field 2) to a set of PDSCH contiguous codes starting at the channelisation code denoted by the 'code number' parameter and including all codes with code numbers up to and including 'code number' - 1 + the value of the IE "multi-code info".
  - 1> if the IE choice "signalling method" is set to 'Explicit'
    - 2> map the TFCI(field 2) values to PDSCH codes in the following way:
      - 2> for the first instance on the IE "PDSCH code info":
        - 3> apply the PDSCH code specified by the IE "Spreading factor (for PDSCH code)" and the code number given by the IE "Code number (for PDSCH code)" for TFCI(field 2)=0.
      - 2> for the second instance of the IE "PDSCH code info":
        - 3> apply the PDSCH code specified by the IE "Spreading factor (for PDSCH code)" and the code number given by the IE "Code number (for PDSCH code)" for TFCI(field 2)=1.

- 2> continue in a similar way for each next instance of the IE "PDSCH code info";
- 2> if the value of the IE "multi-code info" is greater than 1, then
  - 3> map each value of TFCI (field 2) to a set of PDSCH contiguous codes starting at the channelisation code denoted by the 'code number' parameter and including all codes with code numbers up to and including 'code number' - 1 + the value of the IE "multi-code info".
- 1> if the IE choice "signalling method" is set to 'Replace':
  - 2> map the TFCI(field2) values to PDSCH codes in the following way:
    - 2> for each instance of the IE "Replaced PDSCH code":
      - 3> replace the corresponding PDSCH code for the TFCI(field2) identified by the IE "TFCI(field2)" with the new code specified by the IE "Spreading factor (for PDSCH code)" and the code number given by the IE "Code number (for PDSCH code)".
    - 2> if the value of the IE "multi-code info" is greater than 1:
      - 3> map each value of TFCI (field 2) to a set of PDSCH contiguous codes starting at the channelisation code denoted by the 'code number' parameter and including all codes with code numbers up to and including 'code number' - 1 + the value of the IE "multi-code info".

#### 8.6.6.11 Uplink DPCH power control info

The UE shall:

- 1> in FDD:
  - 2> if the IE "Uplink DPCH power control info" is included:
    - 3> if a synchronisation procedure A is performed according to [29]:
      - 4> calculate and set an initial uplink transmission power;
      - 4> start inner loop power control as specified in subclause 8.5.3;
      - 4> for the UL inner loop power control:
        - 5> use the parameters specified in the IE.
    - 3> else:
      - 4> act on the IE "Power control algorithm" and the IE "TPC step size" if included and ignore any other IEs that are included.
- 1> in 3.84 Mcps TDD:
  - 2> if the IE "Uplink DPCH power control info" is included:
    - 3> use the parameters specified in the IE for open loop power control as defined in subclause 8.5.7.
  - 2> else:
    - 3> use the current uplink transmission power.
- 1> in 1.28 Mcps TDD:
  - 2> if the CHOICE UL OL PC info is set to "Broadcast UL OL PC info":
    - 3> set the variable INVALID\_CONFIGURATION to true.
  - 2> if the IE "Uplink DPCH power control info" is included in the UPLINK PHYSICAL CHANNEL CONTROL message:

- 3> use the TPC step size for the closed loop power control of the CCTrCH identified in the message, replacing the existing value used for the CCTrCH.
- 3> if the IE "UL target SIR" is included:
  - 4> use this value for parameter  $PRX_{DPCHdes}$  for open loop power control of the CCTrCH identified in the message in the case of a transition from closed loop to open loop power control as specified in [33].
- 2> if the IE "Uplink DPCH power control info" is included in the IE "Uplink DPCH info":
  - 3> use the TPC step size for the closed loop power control of all CCTrCH added or reconfigured by the IE replacing any existing values used for the CCTrCHs;
  - 3> if the IE "UL target SIR" is included ignore the parameter.
- 1> both in FDD and TDD;
  - 2> if the IE "Uplink DPCH power control info" is not included in a message used to enter CELL\_DCH:
    - 3> set the variable INVALID\_CONFIGURATION to true.

### 8.6.6.12 Secondary CPICH info

If the IE Secondary CPICH info is included, the UE may:

- 1> use the channelisation code according to IE "channelisation code", with scrambling code according to IE "DL scrambling code" in the IE "Secondary CPICH info", for channel estimation of that radio link;
- 1> use the pilot bits on DPCCH for channel estimation.

If the IE Secondary CPICH info is not included, the UE shall:

- 1> not use any previously stored configuration corresponding to the usage of the Secondary CPICH info.

### 8.6.6.13 Primary CPICH usage for channel estimation

If the IE "Primary CPICH usage for channel estimation" is included and has the value "Primary CPICH may be used" the UE:

- 1> may use the Primary CPICH for channel estimation;
- 1> may use the pilot bits on DPCCH for channel estimation.

If the IE "Primary CPICH usage for channel estimation" is included and has the value "Primary CPICH shall not be used" the UE:

- 1> shall not use the Primary CPICH for channel estimation;
- 1> may use the Secondary CPICH for channel estimation;
- 1> may use the pilot bits on DPCCH for channel estimation.

### 8.6.6.14 DPCH frame offset

If "DPCH frame offset" is included in a message that instructs the UE to enter CELL\_DCH state:

- 1> UTRAN should:
  - 2> if only one Radio Link is included in the message:
    - 3> set "Default DPCH Offset Value" and "DPCH frame offset" respecting the following relation:

$$(\text{Default DPCH Offset Value}) \bmod 38400 = \text{DPCH frame offset}$$

- where the IE values used are the Actual Values of the IEs as defined in clause 11.

2> if more than one Radio Link are included in the message:

3> set "Default DPCH Offset Value" and "DPCH frame offset" respecting the following relation:

$$(\text{Default DPCH Offset Value}) \bmod 38400 = \text{DPCH frame offset};$$

- where  $j$  indicates the first radio link listed in the message and the IE values used are the Actual Values of the IEs as defined in clause 11.

1> The UE shall:

2> on reception of a message where the above relation between "Default DPCH Offset Value" and "DPCH frame offset" is not respected:

3> set the variable INVALID\_CONFIGURATION to true.

If the IE "DPCH frame offset" is included the UE shall:

1> use its value to determine the beginning of the DPCH frame.

### 8.6.6.15 DPCH Compressed mode info

If the IE "DPCH compressed mode info" is included, and if the IE group "transmission gap pattern sequence configuration parameters" is included, the UE shall for each transmission gap pattern sequence perform the following consistency checks:

1> if the UE, according to its measurement capabilities, and for all supported bands of the UTRA mode or RAT associated with the measurement purpose indicated by IE "TGMP", requires UL compressed mode, and CHOICE 'UL/DL mode' indicates 'DL only':

2> set the variable INVALID\_CONFIGURATION to TRUE.

1> if the UE, according to its measurement capabilities, and for all supported bands of the UTRA mode or RAT associated with the measurement purpose indicated by IE "TGMP", requires DL compressed mode, and CHOICE 'UL/DL mode' indicates 'UL only':

2> set the variable INVALID\_CONFIGURATION to TRUE.

1> if the UE, according to its measurement capabilities, does not require UL compressed mode for any of supported band of the UTRA mode or RAT associated with the measurement purpose indicated by the IE "TGMP", and CHOICE 'UL/DL mode' indicates 'UL only' or 'UL and DL':

2> set the variable INVALID\_CONFIGURATION to TRUE.

1> if the UE, according to its measurement capabilities, does not require DL compressed mode for any supported band of the UTRA mode or RAT associated with the measurement purpose indicated by the IE "TGMP", and CHOICE 'UL/DL mode' indicates 'DL only' or 'UL and DL':

2> set the variable INVALID\_CONFIGURATION to TRUE.

1> if UE already has an active transmission gap pattern sequence that, according to IE "TGMP", has the same measurement purpose, and both patterns will be active after the new configuration has been taken into use:

2> set the variable INVALID\_CONFIGURATION to TRUE.

1> if there is any pending "TGPS reconfiguration CFN" or any pending "TGCFN":

2> the UE behaviour is unspecified.

If variable INVALID\_CONFIGURATION has value FALSE after UE has performed the checks above, the UE shall:

1> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag") in the variable TGPS\_IDENTITY):

2> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:

3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time" (see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use.

3> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS\_IDENTITY to "inactive".

2> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:

3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time" (see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

1> update each pattern sequence to the variable TGPS\_IDENTITY according to the IE "TGPSI";

1> update into the variable TGPS\_IDENTITY the configuration information defined by IE group "transmission gap pattern sequence configuration parameters";

1> after the instant in which the message is to be executed, as specified in subclause 8.6.3.1:

2> activate the stored pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in the variable TGPS\_IDENTITY is set to "activate" at the time indicated by IE "TGCFN";

NOTE1: If the pattern is activated with a message that includes the IE "Activation time", and if the CFN value indicated by the IE "Activation Time" and the CFN value indicated by the TGCFN are included in the same TTI (but not at the TTI boundary) common to all the transport channels that are multiplexed onto the reference CCTrCh (as defined in subclause 8.6.3.1), and if the CFN value indicated by the TGCFN is equal or higher than the CFN value indicated by the IE "Activation Time" (as defined in subclause 8.6.3.1) value, the UE behaviour is not specified.

NOTE2: If the pattern is activated with a message used to perform timing re-initialised hard handover, the UE can start evaluating the activation of the pattern (i.e. compare the value of the CFN in the new configuration with the value of the TGCFN) at any time between the message activation time and the completion of the synchronisation procedure A.

2> if the IE "DPCH compressed mode info" is included in a message used to perform a Hard Handover with change of frequency (see subclause 8.3.5); or

2> if the IE "DPCH compressed mode info" is included in a message used to transfer the UE from Cell\_FACH to Cell\_DCH, and the cell in which the UE transited from CELL\_FACH state is not included in the active set for the CELL\_DCH state (see subclause 8.4.1.7.2):

3> not begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence.

2> else:

3> begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence.

2> begin the inter-RAT measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> if the new configuration is taken into use at the same CFN as indicated by IE "TGCFN":

3> start the concerned pattern sequence immediately at that CFN.

1> monitor if the parallel transmission gap pattern sequences create an illegal overlap, and in case of overlap, take actions as specified in subclause 8.2.11.2.

If the IE "DPCH compressed mode info" is included, and if the IE group "transmission gap pattern sequence configuration parameters" is not included, the UE shall:

- 1> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag" in the variable TGPS\_IDENTITY):
  - 2> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:
    - 3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use;
    - 3> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS\_IDENTITY to "inactive".

- 2> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:
  - 3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

- 1> after the instant in which the message is to be executed, as specified in subclause 8.6.3.1:

- 2> at the time indicated by IE "TGCFN":
  - 3> activate the stored pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" is set to "activate"; and

NOTE1: If the pattern is activated with a message that includes the IE "Activation time", and if the CFN value indicated by the IE "Activation Time" and the CFN value indicated by the TGCFN are included in the same TTI (but not at the TTI boundary) common to all the transport channels that are multiplexed onto the reference CCTrCh (as defined in subclause 8.6.3.1), and if the CFN value indicated by the TGCFN is equal or higher than the CFN value indicated by the IE "Activation Time" (as defined in subclause 8.6.3.1) value, the UE behaviour is not specified.

NOTE2: If the pattern is activated with a message used to perform timing re-initialised hard handover, the UE can start evaluating the activation of the pattern (i.e. compare the value of the CFN in the new configuration with the value of the TGCFN) at any time between the message activation time and the completion of the synchronisation procedure A.

- 3> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS\_IDENTITY to "active".
- 2> if the IE "DPCH compressed mode info" is included in a message used to perform a Hard Handover with change of frequency (see subclause 8.3.5); or
- 2> if the IE "DPCH compressed mode info" is included in a message used to transfer the UE from Cell\_FACH to Cell\_DCH, and the cell in which the UE transited from CELL\_FACH state is not included in the active set for the CELL\_DCH state (see subclause 8.4.1.7.2):
  - 3> not begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence.
- 2> else:
  - 3> begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence.
- 2> begin the inter-RAT measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;
- 2> if the new configuration is taken into use at the same CFN as indicated by IE "TGCFN":
  - 3> start the concerned pattern sequence immediately at that CFN.



For transmission gap pattern sequences stored in variable TGPS\_IDENTITY, but not identified in IE "TGPSI" (either due to the absence of the IE "DPCH compressed mode info" in the received message or due to not receiving the corresponding TGPSI value in the IE "DPCH compressed mode info"), the UE shall:

- 1> if the received message implies a timing re-initialised hard handover (see subclause 8.3.5.1):
  - 2> deactivate such transmission gap pattern sequences at the beginning of the frame, indicated by IE "Activation time" (see subclause 8.6.3.1) received in this message; and
  - 2> set IE "Current TGPS Status Flag" in corresponding UE variable TGPS\_IDENTITY to 'inactive'.
- 1> if the received message not implies a timing re-initialised hard handover (see subclause 8.3.5.1):
  - 2> continue such transmission gap pattern sequence according to IE "Current TGPS Status Flag" in the corresponding UE variable TGPS\_IDENTITY.

Uplink and downlink compressed mode methods are described in [27]. For UL "higher layer scheduling" compressed mode method and transport format combination selection, see [15].

#### 8.6.6.16 Repetition period, Repetition length, Offset (TDD only)

In case the physical allocations of different channels overlap the following priority rules shall apply for common channels and shall be taken into account by the UE:

- 1> PICH takes precedence over Primary CCPCH;
- 1> PICH takes precedence over Secondary CCPCH;
- 1> Secondary CCPCH takes precedence over Primary CCPCH.

The frame allocation can be derived by following rules:

If no IE "Offset" is explicitly given, the parameter "Offset" to be used is calculated by the following equation:

$$\text{Activation time mod Repetition period} = \text{Offset.}$$

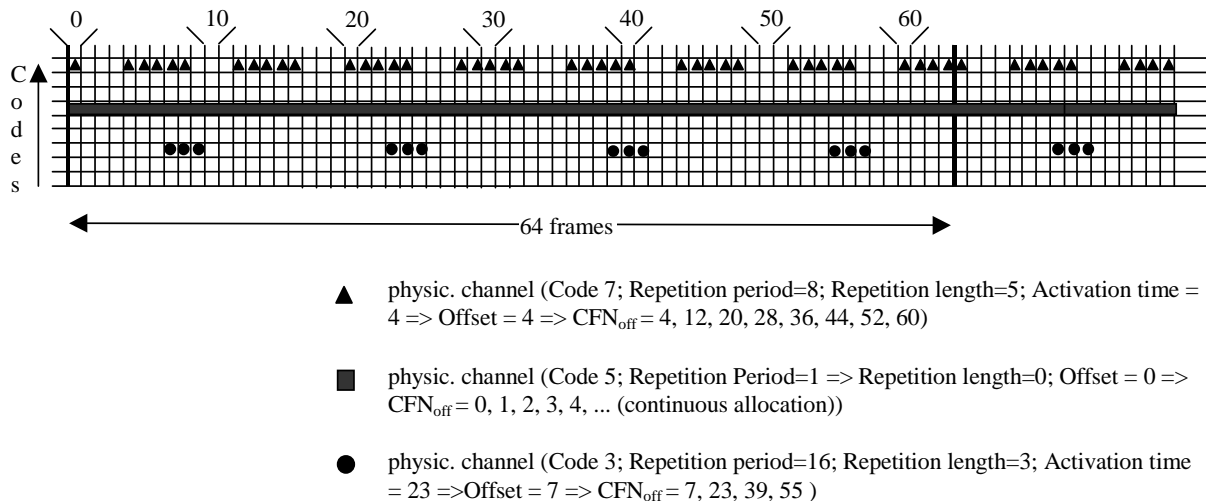
Frames from CFN CFN<sub>off</sub> to CFN<sub>off</sub> + Repetition length - 1 belong to the allocation with CFN<sub>off</sub> fulfilling the following equation:

$$\text{CFN}_{\text{off}} \text{ mod Repetition period} = \text{Offset.}$$

Repetition length is always a multiple of the largest TTI within the CCTrCH fulfilling the following equation:

$$(\text{largest TTI within CCTrCH}) * X = \text{Repetition Length}$$

Example of usage:



**Figure 8.6.6.16-1: Examples for frame allocations in TDD**

### 8.6.6.17 Primary CCPCH info

If the IE "Primary CCPCH info" is included, the UE shall:

- 1> use the information elements in this IE.

### 8.6.6.18 Primary CPICH info

If the IE "Primary CPICH info" in FDD is included, the UE shall:

- 1> use the value of this IE as the primary scrambling code for the downlink radio link.

### 8.6.6.19 CPCH SET Info (FDD only)

If the UE has the capability to use CPCH, the UE shall use the following general procedures:

- 1> if an IE "CPCH SET Info" is included in a dedicated message:
  - 2> read the "CPCH set ID" included in the IE;
  - 2> store the IE using the "CPCH set ID" as an address tag;
  - 2> release any active dedicated physical channels in the uplink;
  - 2> let the PCPCHs listed in the CPCH set be the default in the uplink for CPCH.
- 1> if an IE "CPCH SET Info" is included in a System Information message:
  - 2> read the "CPCH set ID" included in the IE;
  - 2> store the IE using the "CPCH set ID" as an address tag.

### 8.6.6.20 CPCH set ID (FDD only)

If the UE has the capability to use CPCH, the UE shall use the following general procedures. The UE shall:

- 1> if an IE "CPCH set ID" is included in a dedicated message and not as part of IE "CPCH SET Info":
  - 2> use the IE as an address tag to retrieve the corresponding stored "CPCH SET Info";
  - 2> release any active dedicated physical channels in the uplink;
  - 2> let the PCPCHs listed in the CPCH set be the default in the uplink for CPCH.

- 1> if an IE "CPCH set ID" is included in a dedicated message and not as part of IE "CPCH SET Info", and if there is no corresponding stored "CPCH SET Info":
  - 2> release any active dedicated physical channels in the uplink;
  - 2> let the last assigned PRACH be the default in the uplink for RACH;
  - 2> obtain current System Information on SCCPCH to obtain and store the "CPCH SET info" IE(s);
  - 2> upon receipt of a "CPCH SET Info" which corresponds to the "CPCH set ID" IE:
    - 3> let the PCPCHs listed in that CPCH set be the default in the uplink for CPCH.
- 1> if an IE "CPCH set ID" is not included in a dedicated message and the UE prior to the receipt of this message had configured the PCPCH as the default in the uplink:
  - 2> stop using the PCPCH;
  - 2> let the last assigned PRACH be the default in the uplink for RACH.

#### 8.6.6.21 Default DPCH Offset Value

The UE shall:

- 1> if the IE "Default DPCH Offset Value" is included:
  - 2> use its value to determine Frame Offset and Chip Offset from the SFN timing in a cell.

#### 8.6.6.22 Secondary Scrambling Code, Code Number

The following description applies to FDD.

Code Number can be assigned by following rules:

- 1> When more than one DL DPDCH is assigned per RL, the segmented physical channel shall be mapped on to DL DPDCHs according to [27]. When  $p$  number of DL DPDCHs are assigned to each RL, the first pair of Secondary Scrambling Code and Code Number corresponds to "*PhCH number 1*", the second to "*PhCH number 2*", and so on until the  $p$ th to "*PhCH number p*".

#### 8.6.6.23 PDSCH Power Control info

The UE shall:

- 1> if the IE "PDSCH Power Control info" is included:
  - 2> configure PDSCH power control with the received values.
- 1> if the IE "PDSCH Power Control info" is not included:
  - 2> continue to use the stored values.

#### 8.6.6.24 Tx Diversity Mode

If the IE "Tx Diversity Mode" is included the UE shall:

- 1> if the value of the IE "Tx Diversity Mode" is STTD:
  - 2> configure the Layer 1 to use the Tx diversity mode indicated in the IE "Tx Diversity Mode" for the radio links for which the IE "Closed loop timing adjustment mode" is included, ignoring the actual value of IE "Closed loop timing adjustment mode". The UE may apply the Tx diversity mode indicated in IE "Tx Diversity Mode" not only to the radio links for which the IE "Closed loop timing adjustment mode" is included, but also to the remaining radio links in the active set, as specified in [26].
- 1> if the value of the IE "Tx Diversity Mode" is closed loop mode1 or closed loop mode 2:

2> configure the Layer 1 to use the Tx diversity mode indicated in the IE "Tx Diversity Mode" for the radio links for which the IE "Closed loop timing adjustment mode" is included, using the actual value of IE "Closed loop timing adjustment mode". The UE may apply the Tx diversity mode indicated in the IE "Tx Diversity Mode" not only to the radio links for which the IE "Closed loop timing adjustment mode" is included, but also to the remaining radio links in the active set, as specified in [26]. The UE behaviour is unspecified if different values of the IE "Closed loop timing adjustment mode" are indicated for different radio links of the active set.

1> if the value of the IE "Tx Diversity Mode" is "none":

2> configure the Layer 1 not to use Tx diversity for all radio links in the active set.

If the IE "Tx Diversity Mode" is not included, the UE shall:

1> continue to use the already configured Tx diversity mode;

1> in case no Tx diversity mode has been configured:

2> not apply Tx diversity.

#### 8.6.6.25 SS DT Information

If the IE "SS DT Information" is included the UE shall:

1> configure the size of the S-field in the FBI field on the uplink DPCCH to the value indicated in the IE "S-field";

1> if the IE "Code Word Set" has the value "long", "medium" or "short":

2> use the length of the temporary cell ID code for SS DT indicated in the IE "Code Word Set".

1> if the IE "Code Word Set" has the value "SS DT off":

2> terminate SS DT.

#### 8.6.6.26 UL Timing Advance Control (TDD only)

If the IE "UL Timing Advance Control" is present, the UE shall:

1> if the IE "Uplink Timing Advance Control" has the value "disabled":

2> reset timing advance to 0;

2> disable calculated timing advance following handover;

2> in case of handover:

3> start uplink transmissions in the target cell without applying timing advance.

1> if the IE "Uplink Timing Advance Control" has the value "enabled":

2> in 3.84 Mcps TDD:

3> in case of no cell change:

4> evaluate and apply the timing advance value for uplink transmission as indicated in the IE "Uplink Timing Advance" at the CFN indicated in the IE "Activation Time".

3> in case of cell change:

4> use the IE "Uplink Timing Advance" as  $TA_{old}$  and apply  $TA_{new}$  for uplink transmission in the target cell at the CFN indicated in the IE "Activation Time" as specified in [33];

4> include the value of the applied timing advance in the IE "Timing Advance" in the COMPLETE message.

2> in 1.28 Mcps TDD:

3> if the IE "Synchronisation parameters" is included:

- 4> initiate a sequence of UpPCH SYNC\_UL code transmissions using a code selected from the set specified and continue until a timing correction is received in the specified FPACH (received for WT sub-frames following the sub-frame in which the transmission was made) or until Max SYNC\_UL transmissions have been completed. The power used for each SYNC\_UL transmission is as specified in subclause 8.5.7. Each transmission is made in the first sub-frame possible following the end of each FPACH reception interval using the timing specified in [33]. A new code is selected for each transmission. The detection that the FPACH relates to the transmitted SYNC\_UL code is described in [33];
- 4> if a timing correction is received within Max SYNC\_UL transmissions the procedure is completed. The assigned uplink resources may then be used, commencing at the first possible TTI boundary or the SFN in which the assignment commences, whichever is the later. The timing of the uplink transmission is described in [33];
- 4> if no timing correction has been received within Max SYNC\_UL transmissions, the synchronisation procedure has failed. If the assigned resources are DCH, the UE should not transmit using these resources and should respond as if a physical channel failure has occurred as specified in subclauses 8.1.3.7 or 8.2.2.7 or 8.3.1.7, or 8.3.6.5. If the assigned resources are USCH then the UE should ignore the USCH allocation.

3> if the IE "Synchronisation parameters" is not included:

- 4> in case of no cell change:
  - 5> continue to use the current uplink timing.
- 4> in case of cell change:
  - 5> evaluate and apply the timing correction  $TA_{new}$  for uplink transmissions using the procedure as specified in [33].

#### 8.6.6.26a Uplink synchronisation parameters (TDD only)

The UE shall apply uplink synchronisation using the values of the IEs "Uplink synchronisation step size" and "Uplink synchronisation frequency" as specified in [33].

#### 8.6.6.27 Downlink information common for all radio links

If the IE "Downlink information common for all radio links" is included the UE shall:

- 1> if the IE "Downlink DPCH info common for all RL" is included:
  - 2> perform actions as specified in subclause 8.6.6.28.
- 1> if the IE choice "mode" is set to 'FDD':
  - 2> perform actions for the IE "DPCH compressed mode info" as specified in subclause 8.6.6.15;
  - 2> perform actions for the IE "Tx Diversity mode" as specified in subclause 8.6.6.24;
- 2> if the IE "SSDT information" is included:
  - 3> perform actions as specified in subclause 8.6.6.25.
- 1> if the IE "Default DPCH Offset value" is included:
  - 2> perform actions as specified in the subclause 8.6.6.21.

#### 8.6.6.28 Downlink DPCH info common for all radio links

If the IE "Downlink DPCH info common for all RL" is included the UE shall:

- 1> if the IE "Downlink DPCH info common for all RL" is included in a message used to perform a hard handover:

- 2> perform actions for the IE "Timing indication" as specified in subclause 8.5.15.2, and subclause 8.3.5.1 or 8.3.5.2.
- 1> ignore the value received in IE "CFN-targetSFN frame offset";
- 1> if the IE "Downlink DPCH power control information" is included:
  - 2> in the case of FDD:
    - 3> perform actions for the IE "DPC Mode" according to [29].
  - 2> in the case of TDD:
    - 3> perform actions for the IE "TPC Step Size" according to [33].
- 1> if the IE choice "mode" is set to 'FDD':
  - 2> if the IE "Downlink rate matching restriction information" is included:
    - 3> set the variable INVALID\_CONFIGURATION to TRUE.
  - 2> perform actions for the IE "spreading factor";
  - 2> perform actions for the IE "Fixed or Flexible position";
  - 2> perform actions for the IE "TFCI existence";
  - 2> if the IE choice "SF" is set to 256:
    - 3> store the value of the IE "Number of bits for pilot bits".
  - 2> if the IE choice "SF" is set to 128:
    - 3> store the value of the IE "Number of bits for pilot bits".

If the IE "Downlink DPCH info common for all RL" is included in a message used to perform a Timing re-initialised hard handover or the IE "Downlink DPCH info common for all RL" is included in a message other than RB SETUP used to transfer the UE from a state different from Cell\_DCH to Cell\_DCH, and ciphering is active for any radio bearer using RLC-TM, the UE shall, after having activated the dedicated physical channels indicated by that IE:

- 1> if any ciphering configuration for a radio bearer using RLC-TM has not been applied, due to that the activation time from a previous procedure has not elapsed:
  - 2> apply the ciphering configuration immediately and consider the activation time from the previous procedure to be elapsed;
- 1> if the IE "MAC-d HFN initial value" is included in the IE "Downlink DPCH info common for all RL":
  - 2> set the HFN component of COUNT-C for TM-RLC to the value of the IE "MAC-d HFN initial value", while not incrementing the value of the HFN component of COUNT-C at each CFN cycle.

**NOTE:** The UTRAN should choose a value for the IE "MAC-d HFN initial value" using the COUNT-C value of the RBs using RLC-TM indicated by the Source RNC to the Target RNC in the IE "SRNS Relocation Info" and include some margin in such a way that no values of COUNT-C are repeated after the handover.

- 1> else:
  - 2> set the 20 MSB of the HFN component of COUNT-C for TM-RLC to the value of the latest transmitted IE "START" or "START List" for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
  - 2> set the remaining LSBs of the HFN component of COUNT-C to zero.
- 1> start to perform ciphering on the radio bearer in lower layers while not incrementing the HFN;

- 1> include the IE "COUNT-C activation time" in the response message and specify a CFN value for this IE other than the default, "Now", that is a multiple of 8 frames (CFN mod 8 =0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted;
- 1> calculate the START value according to subclause 8.5.9;
- 1> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the response message;
- 1> at the CFN value as indicated in the response message in the IE "COUNT-C activation time":
  - 2> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
  - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
  - 2> increment the HFN component of the COUNT-C variable by one even if the "COUNT-C activation time" is equal to zero;
  - 2> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
  - 2> step the COUNT-C variable, as normal, at each CFN value, i.e. the HFN component is no longer fixed in value but incremented at each CFN cycle.

#### 8.6.6.29 ASC setting

If the IE "ASC setting" is included, the UE shall:

- 1> establish the available signatures for this ASC as specified in the following:
  - 2> renumber the list of available signatures specified in the IE "Available signature" included in the IE "PRACH info" from signature index 0 to signature index N-1, where N is the number of available signatures, starting with the lowest available signature number and continuing in sequence, in the order of increasing signature numbers;
  - 2> consider as available signatures for this ASC the signatures included in this renumbered list from the index specified by the IE "Available signature Start Index" to the index specified by the IE "Available signature End Index".
- 1> establish the available access slot sub-channels for this ASC as specified in the following:
  - 2> if the IE "AICH transmission timing" included in the IE "AICH Info" is set to '0':
    - 3> ignore the leftmost (most significant) bit (bit b3) of the bit string specified by the IE "Assigned Sub-Channel Number";
    - 3> repeat 4 times the 3 rightmost (least significant) bits (bits b2-b0) of the bit string specified by the IE "Assigned Sub-Channel Number" to form a resulting bit string 'b2 b1 b0 b2 b1 b0 b2 b1 b0 b2 b1 b0' of length 12 bits, where the leftmost bit is the most significant.
  - 2> if the IE "AICH transmission timing" included in the IE "AICH Info" is set to '1':
    - 3> repeat 3 times the bit string (bits b3-b0) specified by the IE "Assigned Sub-Channel Number" to form a bit string 'b3 b2 b1 b0 b3 b2 b1 b0 b3 b2 b1 b0' of length 12 bits, where the leftmost bit is the most significant.
  - 2> perform in both cases, for the resulting bit string (that includes the repetitions) bit-wise logical AND operation with the IE "Available Sub Channel number" included in IE "PRACH info (for RACH)";
  - 2> consider as available sub-channels for this ASC the available sub-channels indicated in the resulting bit string, after logical AND operation i.e. each bit set to 1 or 0 indicates availability or non-availability, respectively, of sub-channel number  $x$ , with  $x$  from 0 to 11, for the respective ASC.

NOTE 1: In FDD, the list of available signatures is renumbered from signature index 0 to signature index N-1, where N is the number of available signatures, starting with the lowest available signature number and continuing in sequence, in the order of increasing signature numbers.

- List of available signatures: 16 or fewer signatures are available.
- Example: only signatures 0, 5, 10 and 15 are available, then :
  - Signature 0 is: available signature index 0
  - Signature 5 is: available signature index 1
  - Signature 10 is: available signature index 2
  - Signature 15 is: available signature index 3

NOTE 2: In 3.84 Mcps TDD, the list of available channelisation codes (defined in PRACH info) is renumbered from channelisation code index 0 to channelisation code index N-1, where N is the number of available channelisation codes, starting with the lowest available channelisation code number and continuing in sequence, in the order of increasing channelisation code numbers

List of available channelisation codes : 8 or less channelisation codes are available.

The i-th bit of the bitmap defined in the IE "Available Channelisation Code indices" defines whether the channelisation code with the available channelisation code index i is to be used for this ASC (bit set means used, bit unset means not used). Only the low N bits shall be used in the bitmap, where N is the number of available channelisation codes defined in PRACH info.

Ex : spreading factor 16, channelisation codes 16/1, 16/2, 16/5, 16/8 are available :

- Channelisation code 16/1 is: available channelisation code index 0
- Channelisation code 16/2 is: available channelisation code index 1
- Channelisation code 16/5 is: available channelisation code index 2
- Channelisation code 16/8 is: available channelisation code index 3

Available Channelisation Code indices has the value '00001100' means: Channelisation Codes 16/5 and 16/8 are available for this ASC.

NOTE 3: In TDD, the subchannel description is found in [33].

NOTE 4: In 1.28 Mcps TDD, the list of available SYNC\_UL codes (defined in PRACH info) is numbered from SYNC\_UL code index 0 to SYNC\_UL code index N-1, where N is the number of available SYNC\_UL codes, starting with the lowest available SYNC\_UL code number and continuing in sequence, in the order of increasing SYNC\_UL code numbers

The i-th bit of the bitmap defined in the IE "Available SYNC\_UL codes indices" defines whether the SYNC\_UL code with the available SYNC\_UL code index i is to be used for this ASC (bit set means used, bit unset means not used). Only the low N bits shall be used in the bitmap, where N is the number of available SYNC\_UL codes defined in PRACH info.

- List of available SYNC\_UL codes: 8 or fewer SYNC\_UL codes are available.

Example: only signatures 0, 5, 6 and 7 are available, then:

- SYNC\_UL codes 0 is: available SYNC\_UL codes index 0
- SYNC\_UL codes 5 is: available SYNC\_UL codes index 1
- SYNC\_UL codes 6 is: available SYNC\_UL codes index 2
- SYNC\_UL codes 7 is: available SYNC\_UL codes index 3

Available SYNC\_UL codes indices has the value '00001100' means: SYNC\_UL codes 6 and 7 are available for this ASC.

### 8.6.6.30 SRB delay, PC preamble (FDD only)

When the IE "SRB delay" and IE "PC preamble" is received in a message that results in a configuration of uplink DPCH, the UE shall:



- 1> after the establishment of the uplink physical channel, send DPCCCH and no DPDCH according to [26] during the number of frames indicated in the IE "PC preamble"; and
- 1> then not send any data on signalling radio bearers RB0 to RB4 during the number of frames indicated in the IE "SRB delay".

### 8.6.6.31 Void

## 8.6.7 Measurement information elements

On reception of measurement information elements the UE shall:

- 1> store the received information in the variable MEASUREMENT\_IDENTITY and CELL\_INFO\_LIST as specified;
- 1> perform further actions as specified in subclause 8.6.7 and subclause 8.4, based on the content of the variable MEASUREMENT\_IDENTITY.

If a configuration is considered to be invalid the UE may:

- 1> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

### 8.6.7.1 Measurement validity

If the IE "measurement validity" for a given measurement has not been included in measurement control information, the UE shall delete the measurement associated with the variable MEASUREMENT\_IDENTITY after the UE makes a transition to a new state.

If the IE "measurement validity" for this measurement has been included in measurement control information, the UE shall save the measurement associated with the variable MEASUREMENT\_IDENTITY. The IE "UE state" defines the scope of resuming the measurement.

If the "UE state" is defined as "all states", the UE shall continue the measurement after making a transition to a new state. This scope is assigned for traffic volume measurement type and UE positioning measurement type. For traffic volume measurement type this scope can only be applied by the UE if the IE "traffic volume measurement object" has been included in measurement control information. If the IE "traffic volume measurement object" has not been included in measurement control information, the UE shall not save the measurement control information in variable MEASUREMENT\_IDENTITY, but shall send a MEASUREMENT CONTROL FAILURE message to the UTRAN with failure cause "Configuration incomplete".

If the "UE state" is defined as "all states except CELL\_DCH", the UE shall store the measurement to be resumed after a subsequent transition from CELL\_DCH state to any of the other states in connected mode. This scope is assigned for traffic volume measurement type or UE positioning measurement type.

If the "UE state" is defined as "CELL\_DCH", the UE shall store the measurement to be resumed after a subsequent transition to CELL\_DCH state.

If the IE "measurement type" received in a MEASUREMENT CONTROL message is set to "inter-frequency measurement" or "intra-frequency measurement" and the IE "measurement validity" is present and is set to a value other than "CELL\_DCH", the UE behaviour is unspecified.

If the IE "Measurement type" received in a MEASUREMENT CONTROL message is set to "UE positioning measurement", the IE "Measurement validity" is present and the value of the IE "Support for UP assisted GPS measurement validity in CELL\_PCH and URA\_PCH states" in the variable UE\_CAPABILITY\_TRANSFERRED is set to "FALSE", the UE shall:

- 1> if the IE "Measurement validity" is set to "All states":
  - 2> consider the UE positioning measurement to have the scope CELL\_DCH and CELL\_FACH.
- 1> if the IE "Measurement validity" is set to "All states except CELL\_DCH":

2> consider the UE positioning measurement to have the scope CELL\_FACH.

### 8.6.7.2 Filter coefficient

If the IE "Filter coefficient" is received the UE shall, depending on the measurement quantity (see Table 8.6.7.2), apply filtering of the measurements for that measurement quantity according to the formula below. This filtering shall be performed by the UE before UE event evaluation. The UE shall depending on the reporting quantity (see Table 8.6.7.2), also filter the measurements reported in the IE "Measured results". The filtering shall not be performed for the measurements reported in the IE "Measured results on RACH" and for cell-reselection in connected or idle mode.

The filtering shall be performed according to the following formula.

$$F_n = (1 - a) \cdot F_{n-1} + a \cdot M_n$$

The variables in the formula are defined as follows:

$F_n$  is the updated filtered measurement result

$F_{n-1}$  is the old filtered measurement result

$M_n$  is the latest received measurement result from physical layer measurements, the unit used for  $M_n$  is the same unit as the reported unit in the MEASUREMENT REPORT message or the unit used in the event evaluation.

$a = 1/2^{(k/2)}$ , where  $k$  is the parameter received in the IE "Filter coefficient".

NOTE: if  $k$  is set to 0 that will mean no layer 3 filtering.

In order to initialise the averaging filter,  $F_0$  is set to  $M_1$  when the first measurement result from the physical layer measurement is received.

The physical layer measurement results are sampled once every measurement period. The measurement period and the accuracy for a certain measurement is defined in [19] and [20].

Table 8.6.7.2 lists for all measurement quantities and reporting quantities if L3-filtering is applicable or not. If L3-filtering is applicable for a certain measurement quantity or reporting quantity, the table specifies if the UE shall apply the filtering on linear values ("Lin"), logarithmic values ("Log") or either linear or logarithmic values ("Lin or Log"). In the last case, the choice between filtering on linear or logarithmic values is based on UE selection.

**Table 8.6.7.2: L3 filtering applicable for each measurement quantity and reporting quantity**

| Measurement- / Reporting quantity    | L3-filtering applicable | Linear or logarithmic filtering | Comment   |
|--------------------------------------|-------------------------|---------------------------------|---|
| Pathloss                             | Yes                     | Lin or Log                      |   |
| Cell synchronisation information     | No                      | -                               |   |
| Cell Identity                        | No                      | -                               |   |
| Frequency quality estimate           | No                      | -                               | Although the frequency quality estimate itself is not filtered, the inputs to the frequency quality estimate calculation (CPICH Ec/N0 or CPICH RSCP or P-CCPCH RSCP) are filtered |
| UTRA carrier RSSI                    | Yes                     | Log                             |   |
| GSM carrier RSSI                     | Yes                     | Log                             |   |
| Observed time difference to GSM cell | No                      | -                               |   |
| UE transmitted power                 | Yes                     | Log                             |   |
| FDD                                  |                         |                                 |   |
| > UE Rx-Tx time difference           | No                      | -                               |   |
| > CPICH Ec/N0                        | Yes                     | Lin or Log                      |   |
| > CPICH RSCP                         | Yes                     | Lin or Log                      |   |
| TDD                                  |                         |                                 |   |
| > Primary CCPCH RSCP                 | Yes                     | Lin or Log                      |   |

|                              |     |            |  |
|------------------------------|-----|------------|--|
| > Proposed TGSN              | No  | -          |  |
| > Timeslot ISCP              | Yes | Lin or Log |  |
| > TADV (1.28 Mcps TDD)       | No  | -          |  |
| > Applied TA (3.84 Mcps TDD) | No  | -          |  |

The UE shall support 2 different layer 3 filters per measurement type defined in subclause 8.4.0 (i.e. the UE shall be capable to apply at least 2 different L3 filters to intra-frequency measurement results, at least 2 different L3 filters to inter-frequency measurement results, etc.). If a MEASUREMENT CONTROL message is received that would require the UE to configure more than 2 different layer 3 filters, the UE may:

- 1> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

### 8.6.7.3 Intra-frequency/Inter-frequency/Inter-RAT cell info list

If the IE "Intra-frequency cell info list" is received in System Information Block Type 11, the UE shall update the variable CELL\_INFO\_LIST accordingly and in the following order. The UE shall:

- 1> if the IE "Intra-frequency cell removal" is received:
  - 2> ignore the IE.
- 1> if the IE "New Intra-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:
  - 2> update the variable CELL\_INFO\_LIST as follows:
    - 3> if the IE "Intra-frequency cell id" is received:
      - 4> store received cell information at this position in the Intra-frequency cell info list in the variable CELL\_INFO\_LIST, possibly overwriting any existing information in this position; and
      - 4> mark the position "occupied".
    - 3> if the IE "Intra-frequency cell id" is not received:
      - 4> store the received cell information at the first vacant position in ascending order in the Intra-frequency cell info list in the variable CELL\_INFO\_LIST; and
      - 4> mark the position as "occupied".

If the IE "Intra-frequency cell info list" is received in System Information Block Type 12, the UE shall update the variable CELL\_INFO\_LIST accordingly and in the following order. The UE shall:

- 1> if the IE "Intra-frequency cell removal" is received:
  - 2> if it has the value "Remove some intra-frequency cells", at the position indicated by the IE "Intra-frequency cell id":
    - 3> clear the cell information stored in the variable CELL\_INFO\_LIST; and
    - 3> mark the position "vacant".
  - 2> if it has the value "Remove all intra-frequency cells":
    - 3> for each position referring to an intra-frequency cell in the variable CELL\_INFO\_LIST:
      - 4> clear the cell information stored in the variable CELL\_INFO\_LIST; and
      - 4> mark the position "vacant".
  - 2> if it has the value "Remove no intra-frequency cells":
    - 3> leave the variable CELL\_INFO\_LIST unchanged.

- 1> if the IE "New Intra-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:
  - 2> update the variable CELL\_INFO\_LIST as follows:
    - 3> if the IE "Intra-frequency cell id" is received:
      - 4> store received cell information at this position in the Intra-frequency cell info list in the variable CELL\_INFO\_LIST, possibly overwriting any existing information in this position; and
      - 4> mark the position "occupied".
    - 3> if the IE "Intra-frequency cell id" is not received:
      - 4> store the received cell information at the first vacant position in ascending order in the Intra-frequency cell info list in the variable CELL\_INFO\_LIST; and
      - 4> mark the position as "occupied".

If the IE "Intra-frequency cell info list" is received in a MEASUREMENT CONTROL message, the UE shall update the variable CELL\_INFO\_LIST accordingly and in the following order. The UE shall:

- 1> if the CHOICE "Intra-frequency cell removal" is received:
  - 2> if it has the value "Remove some intra-frequency cells", at the position indicated by the IE "Intra-frequency cell id":
    - 3> clear the cell information stored in the variable CELL\_INFO\_LIST; and
    - 3> mark the position "vacant".
  - 2> if it has the value "Remove all intra-frequency cells":
    - 3> for each position referring to an intra-frequency cell in the variable CELL\_INFO\_LIST:
      - 4> clear the cell information stored in the variable CELL\_INFO\_LIST; and
      - 4> mark the position "vacant".
  - 2> if it has the value "Remove no intra-frequency cells":
    - 3> leave the variable CELL\_INFO\_LIST unchanged.

- 1> if the IE "New Intra-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:
  - 2> update the variable CELL\_INFO\_LIST as follows:
    - 3> if the IE "Intra-frequency cell id" is received:
      - 4> store received cell information at this position in the Intra-frequency cell info list in the variable CELL\_INFO\_LIST, possibly overwriting any existing information in this position; and
      - 4> mark the position "occupied".
    - 3> if the IE "Intra-frequency cell id" is not received:
      - 4> store the received cell information at the first vacant position in ascending order in the Intra-frequency cell info list in the variable CELL\_INFO\_LIST; and
      - 4> mark the position as "occupied".

- 1> if the IE "Cells for measurement" is received, in the measurement configured by this message only:
  - 2> consider Intra-frequency cells whose cell information is stored at the position indicated by the IE "Intra-frequency cell id" in the variable CELL\_INFO\_LIST.

- 1> if the IE "Cells for measurement" is not received, in the measurement configured by this message:

2> consider all Intra-frequency cells whose cell information is stored in CELL\_INFO\_LIST.

If the IE "Inter-frequency cell info list" is received in System Information Block Type 11 update the variable CELL\_INFO\_LIST accordingly and in the following order. The UE shall:

- 1> if the IE "Inter-frequency cell removal" is received:
  - 2> ignore the IE.
- 1> if the IE "New Inter-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:
  - 2> update the variable CELL\_INFO\_LIST as follows:
    - 3> if the IE "Inter-frequency cell id" is received:
      - 4> store received cell information at this position in the Inter-frequency cell info list in the variable CELL\_INFO\_LIST, possibly overwriting any existing information in this position; and
      - 4> mark the position "occupied".
    - 3> if the IE "Inter-frequency cell id" is not received:
      - 4> store the received cell information at the first vacant position in ascending order in the Inter-frequency cell info list in the variable CELL\_INFO\_LIST; and
      - 4> mark the position as "occupied".

If the IE "Inter-frequency cell info list" is received in System Information Block Type 12, the UE shall update the variable CELL\_INFO\_LIST accordingly and in the following order. The UE shall:

- 1> if the CHOICE "Inter-frequency cell removal" is received:
  - 2> if it has the value "Remove some inter-frequency cells", at the position indicated by the IE "Inter-frequency cell id":
    - 3> clear the cell information stored in the variable CELL\_INFO\_LIST; and
    - 3> mark the position "vacant".
  - 2> if it has the value "Remove all inter-frequency cells":
    - 3> for each position referring to an inter-frequency cell in the variable CELL\_INFO\_LIST:
      - 4> clear the cell information stored in the variable CELL\_INFO\_LIST; and
      - 4> mark the position "vacant".
  - 2> if it has the value "Remove no inter-frequency cells":
    - 3> leave the variable CELL\_INFO\_LIST unchanged.
- 1> if the IE "New Inter-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:
  - 2> update the variable CELL\_INFO\_LIST as follows:
    - 3> if the IE "Inter-frequency cell id" is received:
      - 4> store received cell information at this position in the Inter-frequency cell info list in the variable CELL\_INFO\_LIST, possibly overwriting any existing information in this position; and
      - 4> mark the position "occupied".
    - 3> if the IE "Inter-frequency cell id" is not received:
      - 4> store the received cell information at the first vacant position in ascending order in the Inter-frequency cell info list in the variable CELL\_INFO\_LIST; and

4> mark the position as "occupied".

If the IE "Inter-frequency cell info list" is received in a MEASUREMENT CONTROL message, the UE shall update the variable CELL\_INFO\_LIST accordingly and in the following order:

- 1> if the CHOICE "Inter-frequency cell removal" is received:
  - 2> if it has the value "Remove some inter-frequency cells", at the position indicated by the IE "Inter-frequency cell id":
    - 3> clear the cell information stored in the variable CELL\_INFO\_LIST; and
    - 3> mark the position "vacant".
  - 2> if it has the value "Remove all inter-frequency cells":
    - 3> for each position referring to an inter-frequency cell in the variable CELL\_INFO\_LIST:
      - 4> clear the cell information stored in the variable CELL\_INFO\_LIST; and
      - 4> mark the position "vacant".
  - 2> if it has the value "Remove no inter-frequency cells":
    - 3> leave the variable CELL\_INFO\_LIST unchanged.
- 1> if the IE "New Inter-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:
  - 2> update the variable CELL\_INFO\_LIST as follows:
    - 3> if the IE "Inter-frequency cell id" is received:
      - 4> store received cell information at this position in the Inter-frequency cell info list in the variable CELL\_INFO\_LIST, possibly overwriting any existing information in this position; and
      - 4> mark the position "occupied".
    - 3> if the IE "Inter-frequency cell id" is not received:
      - 4> store the received cell information at the first vacant position in ascending order in the Inter-frequency cell info list in the variable CELL\_INFO\_LIST; and
      - 4> mark the position as "occupied".
- 1> if the IE "Cells for measurement" is received, in the measurement configured by this message only:
  - 2> consider Inter-frequency cells whose cell information is stored at the position indicated by the IE "Inter-frequency cell id" in the variable CELL\_INFO\_LIST.
- 1> if the IE "Cells for measurement" is not received, in the measurement configured by this message:
  - 2> consider all Inter-frequency cells whose cell information is stored in CELL\_INFO\_LIST.

If the IE "Inter-RAT cell info list" is received in System Information Block Type 11, the UE shall update the variable CELL\_INFO\_LIST accordingly and in the following order. The UE shall:

- 1> ignore the IE "Inter-RAT cell removal".
- 1> if the IE "New Inter-RAT cells" is received, for each cell, and in the same order as the cells appear in the IE:
  - 2> if the IE "Radio Access Technology" is set to "None":
    - 3> ignore the cell.
  - 2> otherwise:
    - 3> update the variable CELL\_INFO\_LIST as follows:

4> if the IE "Inter-RAT cell id" is received:

5> store received cell information at this position in the Inter-RAT cell info list in the variable CELL\_INFO\_LIST, possibly overwriting any existing information in this position; and

5> mark the position "occupied".

4> if the IE "Inter-RAT cell id" is not received:

5> store the received cell information at the first vacant position in ascending order in the Inter-RAT cell info list in the variable CELL\_INFO\_LIST; and

5> mark the position as "occupied".

1> if the IE "Cells for measurement" is received:

2> ignore the IE.

If the IE "Inter-RAT cell info list" is received in System Information Block Type 12, the UE shall update the variable CELL\_INFO\_LIST accordingly and in the following order. The UE shall:

1> if the IE "Inter-RAT cell removal" is received:

2> if it has the value "Remove some inter-RAT cells", at the position indicated by the IE "Inter-RAT cell id":

3> clear the cell information stored in the variable CELL\_INFO\_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all inter-RAT cells":

3> for each position referring to an inter-RAT cell in the variable CELL\_INFO\_LIST:

4> clear the cell information stored in the variable CELL\_INFO\_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no inter-RAT cells":

3> leave the variable CELL\_INFO\_LIST unchanged.

1> if the IE "New Inter-RAT cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> if the IE "Radio Access Technology" is set to "None":

3> ignore the cell.

2> otherwise:

3> update the variable CELL\_INFO\_LIST as follows:

4> if the IE "Inter-RAT cell id" is received:

5> store received cell information at this position in the Inter-RAT cell info list in the variable CELL\_INFO\_LIST, possibly overwriting any existing information in this position; and

5> mark the position "occupied".

4> if the IE "Inter-RAT cell id" is not received:

5> store the received cell information at the first vacant position in ascending order in the Inter-RAT cell info list in the variable CELL\_INFO\_LIST; and

5> mark the position as "occupied".

1> if the IE "Cells for measurement" is received:

2> ignore the IE.

If the IE "Inter-RAT cell info list" is received in a MEASUREMENT CONTROL message, the UE shall update the variable CELL\_INFO\_LIST accordingly and in the following order. The UE shall:

- 1> if the IE "Inter-RAT cell removal" is received:
  - 2> if it has the value "Remove some inter-RAT cells", at the position indicated by the IE "Inter-RAT cell id":
    - 3> clear the cell information stored in the variable CELL\_INFO\_LIST; and
    - 3> mark the position "vacant".
  - 2> if it has the value "Remove all inter-RAT cells":
    - 3> for each position referring to an inter RAT cell in the variable CELL\_INFO\_LIST:
      - 4> clear the cell information stored in the variable CELL\_INFO\_LIST; and
      - 4> mark the position "vacant".
  - 2> if it has the value "Remove no inter-RAT cells":
    - 3> leave the variable CELL\_INFO\_LIST unchanged.
- 1> if the IE "New Inter-RAT cells" is received, for each cell, and in the same order as the cells appear in the IE:
  - 2> if the IE "Radio Access Technology" is set to "None":
    - 3> ignore the cell.
  - 2> otherwise:
    - 3> update the variable CELL\_INFO\_LIST as follows:
      - 4> if the IE "Inter-RAT cell id" is received:
        - 5> store received cell information at this position in the Inter-RAT cell info list in the variable CELL\_INFO\_LIST, possibly overwriting any existing information in this position; and
        - 5> mark the position "occupied".
      - 4> if the IE "Inter-RAT cell id" is not received:
        - 5> store the received cell information at the first vacant position in ascending order in the Inter-RAT cell info list in the variable CELL\_INFO\_LIST; and
        - 5> mark the position as "occupied".
- 1> if the IE "Cells for measurement" is received, in the measurement configured by this message only:
  - 2> consider Inter-RAT cells whose cell information is stored at the position indicated by the IE "Inter-RAT cell id" in the variable CELL\_INFO\_LIST.
- 1> if the IE "Cells for measurement" is not received, in the measurement configured by this message:
  - 2> consider all Inter-RAT cells whose cell information is stored in CELL\_INFO\_LIST.
- 1> if the IE "Cell selection and re-selection info for SIB11/12" is present:
  - 2> ignore the IE.

#### 8.6.7.4 Intra-frequency measurement quantity

If the IE "Intra-frequency measurement quantity" is received in a MEASUREMENT CONTROL message, the UE shall:

- 1> if the IE "Measurement quantity" is set to "pathloss"; and



- 1> for any intra-frequency cell indicated by the IE "Cells for measurement", the IE "Primary CPICH Tx power" in FDD or the IE "Primary CCPCH TX Power" in TDD in the intra frequency cell info list in the variable CELL\_INFO\_LIST is not present:
  - 2> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
- 1> else:
  - 2> configure the measurement quantity accordingly.

### 8.6.7.5 Inter-RAT measurement quantity

If the IE "Inter-RAT measurement quantity" is received in a MEASUREMENT CONTROL message and CHOICE system is GSM, the UE shall:

- 1> if IE "BSIC verification required" is set to "required", for cells that match any of the BCCH ARFCN and BSIC combinations in the list of inter-RAT cells that the UE has received in IE "Inter-RAT cell info list", and that has a "verified" BSIC:
  - 2> report measurement quantities according to IE "inter-RAT reporting quantity" taking into account the restrictions defined in subclause 8.6.7.6;
  - 2> trigger inter-RAT events according to IE "inter-RAT measurement reporting criteria"; and
  - 2> perform event evaluation for event-triggered reporting after BSIC has been verified for a GSM cell as defined in [19]; and
  - 2> trigger periodical reports according to the given "Reporting interval"; and
  - 2> when a periodical measurement report is triggered, include only BSIC verified GSM cells in the IE "Inter-RAT measured results list"; and
    - 3> indicate verified BSIC for a GSM cell in the IE "Inter-RAT measured results list" as defined in subclause 8.6.7.6.
  - 2> when an event triggered measurement report is triggered, include only BSIC verified GSM cells in the IE "Inter-RAT measured results list"; and
    - 3> indicate verified BSIC for a GSM cell in the IE "Inter-RAT measured results list" as defined in subclause 8.6.7.6.
- 1> if IE "BSIC verification required" is set to "not required", for cells that match any of the BCCH ARFCN in the list of inter-RAT cells that the UE has received in IE "Inter-RAT cell info list", regardless if the BSIC is "verified" or "non-verified":
  - 2> report measurement quantities according to IE "inter-RAT reporting quantity";
  - 2> trigger inter-RAT events according to IE "inter-RAT measurement reporting criteria";
  - 2> when an event triggered or periodical measurement report is triggered, include GSM cells in the IE "Inter-RAT measured results list" regardless of whether the BSIC of the GSM cell has been verified or not; and
    - 3> for any GSM cell that has not been verified, indicate non-verified BSIC for a GSM cell in the "Inter-RAT measured results list" IE as defined in subclause 8.6.7.6.
    - 3> for any GSM cell that has been verified, indicate verified BSIC for a GSM cell in the "Inter-RAT measured results list" IE as defined in subclause 8.6.7.6.
- 1> if the IE "Measurement quantity" is set to "pathloss":
  - 2> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

NOTE: The requirements for a cell to be considered "verified" or "non-verified" can be found in [19].

### 8.6.7.6 Inter-RAT reporting quantity

If the IE "Inter-RAT reporting quantity" is received by the UE, the UE shall:

- 1> store the content of the IE to the variable MEASUREMENT\_IDENTITY.

If the IE "Inter-RAT measurement quantity" is received and CHOICE system is GSM, the UE shall check each quantity in the GSM choice. The UE shall include measured results in MEASUREMENT REPORT as specified in the IE "Inter-RAT reporting quantity" with the following restrictions:

- 1> if the UE has not confirmed the BSIC of the measured cell:
  - 2> if no compressed mode pattern sequence specified with measurement purpose "Initial BSIC identification" is active and according to its capabilities the UE requires compressed mode to measure this, the UE is not required to include the "inter-RAT cell id" nor "Observed time difference to GSM cell" in the IE "Inter-RAT measured results list", when a MEASUREMENT REPORT is triggered.
- 1> if the UE has confirmed the BSIC of the measured cell, then:
  - 2> if no compressed mode pattern sequence specified with measurement purpose "Initial BSIC identification" nor "BSIC re-confirmation" is active and according to its capabilities the UE requires compressed mode to measure this, the UE is not required to include the "inter-RAT cell id" nor "Observed time difference to GSM cell" in the IE "Inter-RAT measured results", when a MEASUREMENT REPORT is triggered. If no compressed mode pattern sequence with measurement purpose "GSM carrier RSSI measurements" is active and according to its capabilities the UE requires compressed mode to measure this, the UE may include "inter-RAT cell id" or "Observed time difference to GSM cell" in MEASUREMENT REPORT without "GSM carrier RSSI" even if it is defined in the IE "Inter-RAT reporting quantity".
- 1> if the IE "UTRAN estimated quality" is set to "TRUE":
  - 2> ignore that IE.
- 1> if IE "Observed time difference to GSM cell Reporting indicator " is set to "TRUE":
  - 2> include optional IE "Observed time difference to GSM cell" with the value set to the time difference to that GSM cell for the GSM cells that have a BSIC that is "verified", and that match any of the BCCH ARFCN and BSIC combinations in the list of inter-RAT cells that the UE has received in IE "Inter-RAT cell info list". Observed time difference to GSM cells with "non-verified" BSIC shall not be included.
- 1> if IE "GSM Carrier RSSI" is set to "TRUE":
  - 2> include optional IE "GSM Carrier RSSI" with a value set to the measured RXLEV to that GSM cell in IE "Inter-RAT measured results list". If no compressed mode pattern sequence specified with measurement purpose "GSM carrier RSSI measurements" is active and according to its capabilities the UE requires compressed mode to measure this, the UE is not required to include the "GSM carrier RSSI" in the IE " Inter-RAT measured results list ", when a MEASUREMENT REPORT is triggered.
- 1> if the BSIC of reported GSM cell is "verified":
  - 2> set the CHOICE BSIC to "Verified BSIC" and IE "inter-RAT cell id" to the value that GSM cell had in the IE "Inter-RAT cell info list".
- 1> if the BSIC of reported GSM cell is "non-verified":
  - 2> set the CHOICE BSIC to "Non verified BSIC" and the IE "BCCH ARFCN" to the value of that GSM cells ARFCN.

The requirements for a cell to be considered "verified" or "non-verified" can be found in [19].

### 8.6.7.7 Cell Reporting Quantities

If the IE "Cell Reporting Quantities" is received by the UE, the UE shall store the content of the IE "Cell Reporting Quantities" to the variable MEASUREMENT\_IDENTITY.

The UE shall include measured results in MEASUREMENT REPORT as specified in the IE "Cell Reporting Quantities", except for the following cases:

If the IE "Cell Identity" is set to TRUE, the UE shall in this version of the specification:

- 1> treat the IE as if the IE "Cell Identity" is set to FALSE.

If the IE "Cell synchronisation information reporting indicator" is set to TRUE, the UE shall:

- 1> include the IE "Cell synchronisation information" in MEASUREMENT REPORT as specified in the IE "Cell Reporting Quantities":
  - 2> if the measurement is performed on another frequency; or
  - 2> if the IE "Read SFN indicator" included in the IE "Cell info" of the measured cell is set to FALSE:
    - 3> the UE may omit the information group "COUNT-C-SFN frame difference" in the IE "Cell synchronisation information".
  - 2> if the measurement is performed on the same frequency and no RLC Transparent Mode COUNT-C exists in the UE:
    - 3> set the IE "COUNT-C-SFN high" to 0.
  - 2> otherwise:
    - 3> include the information group "COUNT-C-SFN frame difference" with IE "COUNT-C-SFN high" set to:
 
$$\text{COUNT-C-SFN high} = (((\text{SFN} - (\text{COUNT-C} \bmod 4096)) \bmod 4096) \text{ div } 256) * 256;$$
    - 3> if RLC Transparent Mode COUNT-Cs exist in both CN domains:
      - 4> use the COUNT-C of CS domain in this measurement.

If the IE "Proposed TGSN Reporting required" is set to TRUE, the UE shall:

- 1> if compressed mode was used to monitor a TDD cell and the variable TGSN\_REPORTED is set to FALSE:
  - 2> report the IE "Proposed TGSN" indicating the TGSN that suits best to the measured cell;
  - 2> set the variable TGSN\_REPORTED to TRUE.
- 1> otherwise
  - 2> omit the IE "Proposed TGSN".

### 8.6.7.8 Periodical Reporting Criteria

If the IE "Periodical Reporting Criteria" is received by the UE, the UE shall:

- 1> store the contents of the IE "Amount of Reporting" and IE "Reporting interval" in the variable MEASUREMENT\_IDENTITY.

For the first MEASUREMENT REPORT message, the UE shall:

- 1> send the MEASUREMENT REPORT as soon as all requested reporting quantities are available according to the requirements and the measurement capabilities set in [19] and [20] for at least one measurement object stored in the variable MEASUREMENT\_IDENTITY, but never later than one reporting interval after measurement initiation.

Following the first MEASUREMENT REPORT message, the UE shall:

- 1> send a MEASUREMENT REPORT message one reporting interval after the previous MEASUREMENT REPORT message;

The first and subsequent periodic MEASUREMENT REPORT messages shall only include measured results for reporting quantities that are available according to the requirements and the measurement capabilities set in [19] and

[20] i.e. if no measured results are available and the measurement type is not UE positioning, the IE "Measured Results" shall not be included in the MEASUREMENT REPORT message. If no measured results are available and the measurement type is UE positioning, the UE shall include the IE "Measured Results" in the MEASUREMENT REPORT message in order to include the IE "UE positioning error" as specified in 8.6.7.19a and 8.6.7.19b.

After the UE has sent a total number of MEASUREMENT REPORT messages, which equal the value indicated in the IE "Amount of reporting", the UE shall:

- 1> terminate measurement reporting; and
- 1> delete all measurement information linked with the "Measurement identity" of the ongoing measurement from the variable MEASUREMENT\_IDENTITY.

If according to subclause 8.6.7.19.1a or 8.6.7.19.1b, a UE configured with a UE positioning measurement is unable to report the requested measurement results due to missing GPS assistance data and sends a MEASUREMENT REPORT containing the IE "UE positioning error" and the IE "Error reason" is set to "Assistance Data Missing", then this is not counted in the total number of MEASUREMENT REPORT messages sent.

### 8.6.7.9 Reporting Cell Status

If the IE "Reporting Cell Status" is received, the UE shall set the IE "Measured Results" in MEASUREMENT REPORT as follows. The UE shall:

- 1> for intra-frequency measurement and inter-frequency measurement:
  - 2> include the IE "Cell Measured Results" for cells (excluding cells of another RAT) that satisfy the condition (such as "Report cells within active set") specified in the IE "Reporting Cell Status", in descending order by the measurement quantity.
  - 2> the maximum number of the IE "Cell Measured Results" to be included in the IE "Measured Results" per reported frequency is the number specified in the IE "Reporting Cell Status".
- 1> for periodic inter-frequency measurement:
  - 2> include in the IE "Inter-frequency measured results list" the measured results for all non-used frequencies.
- 1> for inter-RAT measurement:
  - 2> include the measurement results for cells of other RAT (e.g., GSM) that satisfy the condition specified in the IE "Reporting Cell Status", in descending order by the measurement quantity.
  - 2> the maximum number of the IE "Measured GSM Cells" to be included in the IE "Measured Results" is the number specified in the IE "Reporting Cell Status".

If the IE "Reporting Cell Status" is not received for intra-frequency, inter-frequency measurement, or inter-RAT measurement, the UE shall:

- 1> for intra-frequency measurement, inter-frequency measurement and inter-RAT measurement:
  - 2> exclude the IE "Measured Results" in MEASUREMENT REPORT.

NOTE: The IE "Reporting Cell Status" within "Event Criteria List" defines whether "Cell Measured Results" is present for event-based reporting.

The IE "Reporting Cell Status" is not included in System Information Block 11/12 for periodic intra-frequency measurements. In this case the UE shall assume the default values "Report cells within active set and/or monitored set on used frequency" and "6".

### 8.6.7.10 Traffic Volume Measurement

If the IE "Traffic Volume Measurement" is received by the UE, the UE shall:

- 1> store the content of the IE to the variable MEASUREMENT\_IDENTITY.

If IE "Traffic volume measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", and if the IE "traffic volume reporting quantity" is included, the UE shall:

- 1> if the parameter "Average of RLC Buffer Payload for each RB" or the parameter "Variance of RLC Buffer payload for each RB" is set to "TRUE":
  - 2> if the IE "Traffic volume measurement quantity" is not included:
    - 3> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
  - 2> if the IE "Traffic volume measurement quantity" is included:
    - 3> if the parameter "time interval to take an average or a variance" is not included:
      - 4> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

If IE "Traffic volume measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", but IE "Traffic volume reporting quantity" or is not received, the UE shall:

- 1> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

#### 8.6.7.11 Traffic Volume Measurement Reporting Criteria

If the IE "Traffic Volume Measurement Reporting Criteria" is received by the UE, the UE shall:

- 1> if the IE "Parameters sent for each transport channel" is absent:
  - 2> set the variable PROTOCOL\_ERROR\_REJECT to TRUE;
  - 2> set the IE "Protocol error cause" in the variable PROTOCOL\_ERROR\_INFORMATION to "Information element missing".
- 1> for each IE "Parameters sent for each transport channel":
  - 2> if the IE "Parameters required for each Event" is absent:
    - 3> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

#### 8.6.7.12 FACH measurement occasion info

IE "FACH measurement occasion info" is used to control UE measurement activities in inter-frequency and inter-RAT cells in CELL\_FACH state.

If IE "FACH measurement occasion info" is received, UE shall, when in CELL\_FACH state:

- 1> if IE "FACH Measurement occasion cycle length coefficient" is included:
  - 2> if, according to its measurement capabilities, UE is not able to perform some of the indicated measurements in this IE simultaneously as receiving the SCCPCH of serving cell:
    - 3> perform those measurements during FACH measurement occasions, see subclause 8.5.11.
  - 2> if, according to its measurement capabilities, UE is able to perform some of the indicated measurements in this IE simultaneously as receiving the SCCPCH of serving cell:
    - 3> UE may perform measurements also on other occasions.
  - 2> if, according to its measurement capabilities, UE is able to perform the measurements and indicated in this IE simultaneously as receiving the SCCPCH of serving cell:
    - 3> perform the measurements simultaneously as receiving the SCCPCH of serving cell.
- 1> if IE "FACH Measurement occasion cycle length coefficient" is not included:

- 2> perform those indicated measurements indicated in this IE that UE, according to its measurement capabilities, is able to perform simultaneously as receiving the SCCPCH of serving cell.
- 1> if IE "Inter-frequency FDD measurement indicator" is set to TRUE:
  - 2> perform measurements and evaluate cell re-selection criteria according to [4] on inter-frequency FDD cells listed in IE "Measurement control system information" in "System Information Block type 11" or "System Information Block type 12".
- 1> if IE "Inter-frequency FDD measurement indicator" is set to FALSE:
  - 2> neither perform measurements nor evaluate cell re-selection criteria on inter-frequency FDD cells.
- 1> if IE "Inter-frequency TDD measurement indicator" is set to TRUE:
  - 2> perform measurements and evaluate cell re-selection criteria according to [4] on inter-frequency TDD cells listed in IE "Measurement control system information" in "System Information Block type 11" or "System Information Block type 12".
- 1> if IE "Inter-frequency TDD measurement indicator" is set to FALSE:
  - 2> neither perform measurements nor evaluate cell re-selection criteria on inter-frequency TDD cells.
- 1> if IE "Inter-RAT measurement indicators" is included:
  - 2> perform measurements and evaluate cell re-selection criteria according to [4] on those cells of listed Inter-RAT types that are present in IE "Measurement control system information" in "System Information Block type 11" or "System Information Block type 12".

### 8.6.7.13 Measurement Reporting Mode

If IE "Measurement Reporting Mode" is received by the UE, the UE shall:

- 1> store the contents of the IE "Measurement Report Transfer Mode" in the variable MEASUREMENT\_IDENTITY;
- 1> use the indicated RLC mode when sending MEASUREMENT REPORT message(s) related to this measurement;
- 1> ignore IE "Periodical Reporting / Event Trigger Reporting Mode".

If IE "Measurement Reporting Mode" is not received by the UE in MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", the UE shall:

- 1> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT\_IDENTITY;
- 1> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

### 8.6.7.14 Inter-frequency measurement

If the Inter-frequency cell info list, included in the variable CELL\_INFO\_LIST, includes a number (M) of frequencies that is larger than the number (N) considered in a UE performance requirement defined in [19] and [20]:

- 1> the UE shall:
  - 2> meet this performance requirement on the first relevant (N) frequencies, according to the order defined by the position of the frequencies in the Inter-frequency cell info list, included in the variable CELL\_INFO\_LIST.
- 1> the UE may:
  - 2> ignore the remaining (M-N) frequencies.

If IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", but IE "Inter-frequency measurement quantity", IE "Inter-frequency reporting quantity" or IE "parameters required for each event" (given "CHOICE Report criteria" is set to "inter-frequency measurement reporting criteria") is not received, the UE shall:

1> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT\_IDENTITY;

1> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

If IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "modify":

1> if CHOICE "Report criteria" in IE "Inter-frequency measurement" is set to "intra-frequency measurement reporting criteria" and IE "parameters required for each event" is present:

2> the UE behaviour is unspecified.

If IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup" and CHOICE "Report criteria" in IE "Inter-frequency measurement" is set to "intra-frequency measurement reporting criteria":

1> the UE behaviour is unspecified.

In the case of an inter-frequency measurement for FDD, the UE shall:

1> if IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", if an inter-frequency event is configured that is different from event 2d or 2f, and if the IE "Inter-frequency SET UPDATE" is not received in that same message:

2> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

If IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message:

1> if "CHOICE Report criteria" is set to "inter-frequency reporting criteria" and "inter-frequency measurement quantity" is not set to "inter-frequency reporting criteria"; or

1> if "CHOICE Report criteria" is set to "intra-frequency reporting criteria" and "inter-frequency measurement quantity" is not set to "intra-frequency reporting criteria":

2> the UE behaviour is not specified.

If the variable CONFIGURATION\_INCOMPLETE is set to TRUE, the UE shall:

1> act as described in subclause 8.4.1.4a.

### 8.6.7.15 Inter-RAT measurement

If the Inter-RAT cell info list, included in the variable CELL\_INFO\_LIST, includes a number (M) of frequencies that is larger than the number (N) considered in a UE performance requirement defined in [19] and [20]:

1> the UE shall:

2> meet this performance requirement on the first relevant (N) frequencies, according to the order defined by the position of the frequencies in the Inter-RAT cell info list, included in the variable CELL\_INFO\_LIST.

1> the UE may:

2> ignore the remaining (M-N) frequencies.

If IE "Inter-RAT measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", but IE "Inter-RAT measurement quantity", IE "Inter-RAT reporting quantity" or "parameters required for each event" (given "CHOICE Report criteria" is set to "inter-RAT measurement reporting criteria") is not received, the UE shall:

1> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT\_IDENTITY;

1> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

### 8.6.7.16 Intra-frequency measurement

If IE "Intra-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", but IE "Intra-frequency measurement quantity", IE "Intra-frequency reporting quantity", "CHOICE Report criteria" or "parameters required for each event" (given "CHOICE report criteria" is set to "intra-frequency measurement reporting criteria") is not received, the UE shall:

- 1> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT\_IDENTITY;
- 1> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

In case of 1a or 1e event-triggered reporting:

- 1> if the IE "Intra-frequency measurement quantity" is set to "pathloss", the UE shall:
  - 2> if detected set cells are indicated as possibly triggering the event within the IEs "Triggering condition 2" :
  - 3> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

### 8.6.7.17 Quality measurement

If IE "Quality measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", but IE "Quality reporting quantity" is not received, the UE shall:

- 1> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT\_IDENTITY;
- 1> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

### 8.6.7.18 UE internal measurement

If IE "UE internal measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", but IE "UE internal measurement quantity", IE "UE internal reporting quantity" or "parameters sent for each UE internal measurement event" (given "CHOICE report criteria" is set to "UE internal measurement reporting criteria") is not received, the UE shall:

- 1> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT\_IDENTITY;
- 1> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

### 8.6.7.18a UE positioning measurement

If IE "UE positioning measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", but IE "UE positioning reporting quantity" or "CHOICE report criteria" is not received, the UE shall:

- 1> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT\_IDENTITY;
- 1> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

### 8.6.7.19 UE positioning

#### 8.6.7.19.0 UE positioning reporting criteria

If IE "UE positioning reporting criteria" is included, the UE shall:

- 1> perform the necessary measurements and evaluate the event in the interval indicated in IE "Measurement Interval";
- 1> if IE "Event ID" is set to "7a" and if IE "Report first fix" is set to TRUE:



- 2> if the IE "Method Type" included in the variable MEASUREMENT\_IDENTITY is set to "UE based":
  - 3> act as specified in subclause 8.6.7.19.1b.

#### 8.6.7.19.1 UE positioning reporting quantity

The UE shall:

- 1> ignore IE "Multiple Sets";
- 1> ignore IE "Response Time";
- 1> if IE "Horizontal Accuracy" and/or IE "Vertical Accuracy" is included:
  - 2> should try to achieve the requested level(s) of positioning accuracy with 67% confidence.
- 1> if IE "Positioning Methods" is set to "Cell ID":
  - 2> act as specified in subclause 8.6.7.19.1a.
- 1> if the IE "Method Type" is set to "UE based":
  - 2> act as specified in subclause 8.6.7.19.1b.
- 1> if the IE "Method Type" is set to "UE assisted":
  - 2> act as specified in subclause 8.6.7.19.1a.
- 1> if the IE "Method Type" is set to "UE-assisted preferred but UE-based allowed" or "UE-based preferred but UE-assisted allowed":
  - 2> act either according to subclause 8.6.7.19.1a or 8.6.7.19.1b depending on the method type chosen by the UE.

If UE according to its capabilities supports Rx-Tx time difference type 2 measurement and if IE "Positioning Methods" is set to "Cell ID" and the IE "Measurement validity" stored in the variable MEASUREMENT\_IDENTITY is other than "CELL\_DCH", the UE shall:

- 1> set the variable CONFIGURATION\_INCOMPLETE to TRUE, and
- 1> act as specified in subclause 8.4.1.4b.

The UE shall perform the following consistency check:

- 1> if UE, according to its capabilities, does not support UE-based OTDOA and if IE "Positioning Methods" is set to "OTDOA" and if IE "Method Type" is set to "UE-based":
  - 2> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
- 1> if UE, according to its capabilities, does not support UE-based GPS and if IE "Positioning Methods" is set to "GPS" and if IE "Method Type" is set to "UE-based":
  - 2> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
- 1> if UE, according to its capabilities, does not support UE-assisted GPS and if IE "Positioning Methods" is set to "GPS" and if IE "Method Type" is set to "UE-assisted":
  - 2> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
- 1> if UE, according to its capabilities, does not support UE-based positioning and if IE "Positioning Methods" is set to "OTDOAorGPS" and if IE "Method Type" is set to "UE-based":
  - 2> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
- 1> if UE, according to its capabilities, does not support Rx-Tx time difference type 2 measurement and if IE "Positioning Methods" is set to "Cell ID":
  - 2> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

- 1> if UE, according to its capabilities, does not support UE GPS timing of cell frames measurement and if IE "GPS timing of Cell wanted" is set to TRUE:
- 2> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

#### 8.6.7.19.1a UE positioning reporting for UE assisted methods

The UE shall:

- 1> when a measurement report is triggered:
  - 2> if the UE was able to perform measurements on at least one neighbour cell included in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED in case of OTDOA or one satellite included in the variable UE\_POSITIONING\_GPS\_DATA in case of GPS positioning or one cell from the active set in case of CELL ID:
    - 3> if the IE "Vertical Accuracy" is included:
      - 4> interpret the presence of this IE to indicate that the UTRAN desires to compute a 3-dimensional position estimate.
    - 3> if the IE "Positioning Methods" is set to "GPS":
      - 4> include the IE "UE positioning GPS measured results" in the measurement report and set the contents of the IE as follows:
        - 5> if the UE supports the capability to provide the GPS timing of the cell frames measurement:
          - 6> if the IE "GPS timing of Cell wanted" is set to TRUE:
            - 7> perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.
            - 7> include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD; and
            - 7> include the IE "Reference SFN" and the IE "UE GPS timing of cell frames".
          - 6> if the IE "GPS timing of Cell wanted" is set to FALSE:
            - 7> include the IE "GPS TOW msec".
        - 5> if the UE does not support the capability to provide the GPS timing of the cell:
          - 6> include the IE "GPS TOW msec".
      - 3> if the IE "Positioning Methods" is set to "OTDOA":
        - 4> include the IE "UE positioning OTDOA measured results" in the measurement report and set the contents of the IE as follows:
          - 5> set IE "SFN" to the SFN when the last measurement was performed;
          - 5> if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement:
            - 6> if the UE is in CELL\_DCH state:
              - 7> if the measured value is equal to "1279.9375":
                - 8> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to "1279.8750".
              - 7> otherwise:
                - 8> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to the measured value.

- 7> include the IE group "Rx-Tx time difference type 2 info" for the reference cell and for each neighbour cell listed in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED that belongs to the active set.
- 5> if the UE does not support the capability to perform the Rx-Tx time difference type 2 measurement:
  - 6> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to value "1279.9375" to indicate that the measurement is not supported.
- 4> include IE group "Neighbour" for all neighbour cells listed in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED on which the SFN-SFN observed time difference type 2 measurement could be performed.
- 3> if IE "Positioning Methods" in the MEASUREMENT CONTROL message has been assigned to value "OTDOA or GPS":
  - 4> the UE may choose to either act as if IE "Positioning Methods" is set to "GPS" or "OTDOA" depending on the method chosen by the UE.
- 3> if the IE "Positioning Methods" is set to "CELL ID":
  - 4> if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement; and
  - 4> if the UE is in CELL\_DCH state:
    - 5> perform the Rx-Tx time difference type 2 measurement on the cells in the active set; and
    - 5> report the measurement results back to the network in the MEASUREMENT REPORT by using IE "UE positioning OTDOA measured results" including measurements on the cells in the active set; and
    - 5> report Rx-Tx time difference type 2 measurement of the reference cell (as designated by the UE); and
    - 5> for all reported neighbour cells:
      - 6> report Rx-Tx time difference type 2 measurement; and
      - 6> set the IE "SFN-SFN observed time difference type 2" and all IEs within the corresponding IE "UE positioning OTDOA quality" in IE "UE positioning OTDOA measured results" to value "0".
- 2> if the UE is not able to report the requested measurement results:
  - 3> include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.
- 1> if the UE is unable to report the requested measurement results due to missing GPS assistance data:
  - 2> the UE may at anytime send a measurement report containing the IE "UE positioning error" and set the contents of this IE as specified in subclause 8.6.7.19.5;
  - 2> after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20s. This requirement does not apply after release of the current RRC connection.

#### 8.6.7.19.1b UE positioning reporting for UE based methods

The UE shall:

- 1> when a measurement report is triggered:
  - 2> if the UE has been able to calculate a position after performing measurements on the cells included in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED in case of OTDOA or the UE has been able to calculate a position in case of GPS positioning:

3> include IE "UE positioning Position Estimate Info" in the MEASUREMENT REPORT and set the contents of the IE as follows:

4> if the UE supports the capability to perform the UE GPS timing of cell frames measurement:

5> if the IE "GPS timing of Cell wanted" is set to TRUE:

6> perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.

6> include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD;

6> include the SFN when the position was determined;

6> include the IE "UE GPS timing of cell frames".

5> if the IE "GPS timing of Cell wanted" is set to FALSE:

6> include the IE "GPS TOW msec".

4> if the UE does not support the capability to provide the GPS timing of the cell:

5> include the IE "GPS TOW msec".

4> if IE "Vertical Accuracy" has been included in IE "UE positioning reporting quantity":

5> if the IE "Vertical Accuracy" has been assigned to value "0":

6> if the IE "Horizontal Accuracy" has been assigned a value "0":

7> may include IE "Ellipsoid point with altitude".

6> if the IE "Horizontal Accuracy" has been assigned a value unequal to "0"; and

6> if the UE has been able to calculate a 3-dimensional position

7> include IE "Ellipsoid point with altitude" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

6> if the UE has not been able to calculate a 3-dimensional position:

7> may act as if IE "Vertical Accuracy" was not included in IE "UE positioning reporting quantity".

5> if the IE "Vertical Accuracy" has been assigned to a value unequal to "0":

6> if the UE has been able to calculate a 3-dimensional position:

7> include IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

6> if the UE has not been able to calculate a 3-dimensional position:

7> act as if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity".

4> if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity":

5> if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to value "0":

6> may include IE "Ellipsoid point".

5> if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to a value unequal to 0:

6> include either IE "Ellipsoid point with uncertainty circle" or IE "Ellipsoid point with uncertainty ellipse" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

2> if the UE was not able to calculate a position:

3> include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

1> if the UE is unable to calculate a position due to missing GPS assistance data:

2> the UE may at any time send a measurement report containing the IE "UE positioning error" and set the contents of this IE as specified in subclause 8.6.7.19.5;

2> after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20s. This requirement does not apply after release of the current RRC connection.

### 8.6.7.19.2 UE positioning OTDOA assistance data for UE-assisted

If IE "UE positioning OTDOA reference cell info for UE-assisted" is received in System Information Block type 15.4 or in the MEASUREMENT CONTROL message, the UE shall update the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED accordingly. The UE shall:

1> store received cell information in the UE positioning reference cell info in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED, overwriting any existing information.

If IE "UE positioning OTDOA neighbour cell list for UE-assisted" is received in System Information Block type 15.4 or in the MEASUREMENT CONTROL message, the UE shall update the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED accordingly. The UE shall:

1> store received cell information in the neighbour cell info list in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED, overwriting any existing information.

If, according to its capabilities, UE does not support IPDLs and if IE "IPDL parameters" is received for the reference or any of the neighbour cells, the UE shall:

1> ignore this IE.

If IE "SFN offset validity" is set to FALSE, the UE shall:

1> ignore the IE "SFN offset".

If IE "UE positioning measurement" is received in the MEASUREMENT CONTROL message, the UE shall also perform the following consistency checks:

1> if IE "Positioning Methods" is set to "OTDOA":

2> if IE "UE positioning OTDOA reference cell info for UE-assisted" is not included and if UE positioning OTDOA reference cell info for UE-assisted in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED is empty:

3> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

1> if IE "Positioning Methods" is set to "OTDOA":

2> if IE "UE positioning OTDOA neighbour cell list for UE-assisted" is not included and if less than two neighbour cells are stored in UE positioning OTDOA neighbour cell info list for UE-assisted in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED:

3> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

### 8.6.7.19.2a UE positioning OTDOA assistance data for UE-based

The UE shall:

1> if IE "UE positioning OTDOA reference cell info for UE-based" is received in System Information Block type 15.5 or in the MEASUREMENT CONTROL message or in the ASSISTANCE DATA DELIVERY:

2> update the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED accordingly;

- 2> store received cell information in the UE positioning reference cell info for UE-based in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED, overwriting any existing information.
- 1> if IE "UE positioning OTDOA neighbour cell list for UE-based" is received in System Information Block type 15.5 or in the MEASUREMENT CONTROL message or in the ASSISTANCE DATA DELIVERY:
  - 2> update the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED accordingly;
  - 2> store received cell information in the neighbour cell info list for UE-based in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED, overwriting any existing information.
- 1> if, according to its capabilities, UE does not support IPDLs and if IE "IPDL parameters" is received for the reference or any of the neighbour cells:
  - 2> ignore this IE.
- 1> if IE "SFN offset validity" is set to FALSE:
  - 2> ignore the IE "SFN offset".
- 1> if IE "UE positioning measurement" is received in the MEASUREMENT CONTROL message:
  - 2> also perform the following consistency checks:
    - 3> if IE "Positioning Methods" is set to "OTDOA":
      - 4> if IE "UE positioning OTDOA reference cell info for UE-based" is not included and if UE positioning OTDOA reference cell info for UE-based in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED is empty:
        - 5> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
    - 3> if IE "Positioning Methods" is set to "OTDOA":
      - 4> if IE "UE positioning OTDOA neighbour cell list for UE-based" is not included and if less than two neighbour cells are stored in UE positioning OTDOA neighbour cell info list for UE-based in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED:
        - 5> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
      - 4> if IE "Method Type" is set to "UE based":
        - 5> if IE "UE positioning OTDOA reference cell info for UE-based" is included and if IE "Cell Position" for the reference cell is not included:
          - 6> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
        - 5> if the IE "UE positioning OTDOA neighbour cell list for UE-based" is included and if cell position of less than two neighbour cells of the cells included in this IE and stored in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED are different and if those cell positions are not different to the one of the reference cell stored in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED:
          - 6> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
      - 5> if the IE "UE positioning OTDOA neighbouring cell list for UE-based" is included and only two neighbour cells are included or stored in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED and if the IE "Round Trip Time" is neither included for the neighbour cells nor for the reference cell info:
        - 6> set the variable CONFIGURATION\_INCOMPLETE to TRUE.

### 8.6.7.19.3 UE positioning GPS assistance data

The UE may receive GPS assistance data in System Information Block types 15, 15.1, 15.2, or 15.3, or in the ASSISTANCE DATA DELIVERY message, or in the MEASUREMENT CONTROL message.

## 8.6.7.19.3.1 UE positioning GPS acquisition assistance

If the IE "UE positioning GPS acquisition assistance" is included, the UE shall:

- 1> update the variable UE\_POSITIONING\_GPS\_DATA as follows:
  - 2> delete all information currently stored in the IE "UE positioning GPS acquisition assistance" in the variable UE\_POSITIONING\_GPS\_DATA;
  - 2> store the received acquisition assistance information in the IE "UE positioning GPS acquisition assistance" in the variable UE\_POSITIONING\_GPS\_DATA;
  - 2> store the IE "GPS TOW msec" in the IE "UE positioning GPS acquisition assistance" in variable UE\_POSITIONING\_GPS\_DATA and use it as an estimate of the GPS Time-of-Week at the time of reception of the complete message containing the IE "GPS TOW msec";

NOTE: The UE does not need to apply any compensation on the GPS Time-of-Week.

- 1> if the IEs "SFN" and "UTRAN GPS timing of cell frames" are included:
  - 2> if the UE is able to utilise these IEs:
    - 3> store these IEs in the IE "UE positioning GPS acquisition assistance" in variable UE\_POSITIONING\_GPS\_DATA;
    - 3> if the IE "Primary CPICH Info" for FDD or IE "cell parameters id" for TDD is not included:
      - 4> if the UE is not in CELL\_DCH state:
        - 5> use IEs "SFN" and "UTRAN GPS timing of cell frames" to estimate the relationship between GPS time and radio interface timing of the NODE B transmission in the serving cell.
      - 4> if the UE is in CELL\_DCH state:
        - 5> ignore IEs "SFN" and "UTRAN GPS timing of cell frames".
    - 3> if the IE "Primary CPICH Info" for FDD or IE "cell parameters id" for TDD is also included:
      - 4> store this IE in the IE "UE positioning GPS acquisition assistance" in variable UE\_POSITIONING\_GPS\_DATA;
      - 4> use IEs "SFN" and "UTRAN GPS timing of cell frames" to estimate the relationship between GPS time and air-interface timing of the NODE B transmission in the cell indicated by "Primary CPICH info" or "cell parameters id".

## 8.6.7.19.3.2 UE positioning GPS Almanac

If the IE "UE positioning GPS Almanac" is included, the UE shall:

- 1> if the IE "SV Global Health" is included:
  - 1> store this IE in the IE in the IE "SV Global Health" in the IE "UE positioning GPS Almanac" in variable UE\_POSITIONING\_GPS\_DATA.
- 1> for each satellite:
  - 2> store received GPS almanac information at the position indicated by the IE "Sat ID" in the IE "UE positioning GPS Almanac" in the variable UE\_POSITIONING\_GPS\_DATA, possibly overwriting any existing information in this position.
  - 2> interpret IE "Data ID" as the Data ID field contained in the indicated subframe, word 3, most significant 2 bits, as defined by [12];
  - 2> act on the rest of the IEs in a similar manner as specified in [12].

#### 8.6.7.19.3.3 UE positioning D-GPS Corrections

If the IE "UE positioning GPS DGPS corrections" is included, the UE shall:

- 1> update the variable UE\_POSITIONING\_GPS\_DATA as follows:
  - 2> delete all information currently stored in the IE "UE positioning GPS DGPS corrections" in the variable UE\_POSITIONING\_GPS\_DATA;
  - 2> store the received DGPS corrections in the IE "UE positioning GPS DGPS corrections" in the variable UE\_POSITIONING\_GPS\_DATA.
- 1> use IE "GPS TOW sec" to determine when the differential corrections were calculated;
- 1> use IE "Status/Health" to determine the status of the differential corrections.

#### 8.6.7.19.3.3a UE positioning GPS Navigation Model

If the IE "UE positioning GPS Navigation Model" is included, for each satellite, the UE shall:

- 1> use IE "Satellite Status" to determine if an update of IE "UE positioning GPS Ephemeris and Clock Correction parameters" has been provided for the satellite indicated by the IE "SatID";
- 1> if an update has been provided for this satellite:
  - 2> act as specified in subclause 8.6.7.19.3.4.

#### 8.6.7.19.3.4 UE positioning GPS Ephemeris and Clock Correction Parameters

If the IE "UE positioning GPS Ephemeris and Clock Correction parameters" is included, for each satellite, the UE shall:

- 1> update the variable UE\_POSITIONING\_GPS\_DATA as follows:
  - 2> store this IE at the position indicated by the IE "Sat ID" in the IE "UE positioning GPS Navigation Model" in the variable UE\_POSITIONING\_GPS\_DATA, possibly overwriting any existing information in this position.
- 1> act on these GPS ephemeris and clock correction parameters in a manner similar to that specified in [12].

#### 8.6.7.19.3.5 UE positioning GPS ionospheric model

If IE "UE positioning GPS ionospheric model" is included, the UE shall:

- 1> store this IE in the IE "UE positioning GPS ionospheric model" in variable UE\_POSITIONING\_GPS\_DATA;
- 1> act on these GPS ionospheric model parameters in a manner similar to that specified in [12].

#### 8.6.7.19.3.6 UE positioning GPS real-time integrity

If this list of bad satellites is included, the UE shall:

- 1> update the variable UE\_POSITIONING\_GPS\_DATA as follows:
  - 2> add the Sat IDs that are not yet included in the list of satellites in the IE "UE positioning GPS real time integrity" in the variable UE\_POSITIONING\_GPS\_DATA;
  - 2> remove all Sat IDs in the list of satellites in the IE "UE positioning GPS real time integrity" in the variable UE\_POSITIONING\_GPS\_DATA that are not included in IE UE positioning GPS real time integrity.
- 1> consider the data associated with the satellites identified in the variable UE\_POSITIONING\_GPS\_DATA as invalid.

#### 8.6.7.19.3.7 UE positioning GPS reference time

If the IE "UE positioning GPS reference time" is included, the UE shall:



1> store the IE "GPS Week" in "UE positioning GPS reference time" in variable UE\_POSITIONING\_GPS\_DATA and use it as the current GPS week;

1> store the IE "GPS TOW msec" in the IE "UE positioning GPS reference time" in variable UE\_POSITIONING\_GPS\_DATA and use it as an estimate of the GPS Time-of-Week at the time of reception of the complete message containing the IE "GPS TOW msec";

NOTE: The UE does not need to apply any compensation on the GPS Time-of-Week.

1> if the IE "SFN" and IE "UTRAN GPS timing of cell frames" are included:

2> if the UE is able to utilise the IEs:

3> store these IEs in the IE "UE positioning GPS reference time" in variable UE\_POSITIONING\_GPS\_DATA;

3> if the IE "Primary CPICH Info" for FDD or IE "cell parameters id" for TDD is not included:

4> if the UE is not in CELL\_DCH state:

5> use IEs "SFN" and "UTRAN GPS timing of cell frames" to estimate the relationship between GPS time and air-interface timing of the NODE B transmission in the serving cell.

4> if the UE is in CELL\_DCH state:

5> ignore IEs "SFN" and "UTRAN GPS timing of cell frames".

3> if the IE "Primary CPICH Info" for FDD or IE "cell parameters id" for TDD is also included:

4> store this IE in the IE "UE positioning GPS reference time" in variable UE\_POSITIONING\_GPS\_DATA;

4> use IEs "SFN" and "UTRAN GPS timing of cell frames" to estimate the relationship between GPS time and air-interface timing of the NODE B transmission in the cell indicated by "Primary CPICH info" or "cell parameters id".

1> if the IE "SFN-TOW Uncertainty" is included:

2> store this IE in the IE "UE positioning GPS reference time" in variable UE\_POSITIONING\_GPS\_DATA and use it to determine if the relationship between GPS time and air-interface timing of the NODE B transmission is known to within at least 10ms.

1> if the IE "T<sub>UTRAN-GPS</sub> drift rate" is included:

2> store this IE in the IE "UE positioning GPS reference time" in variable UE\_POSITIONING\_GPS\_DATA; and

2> may use it as an estimate of the drift rate of the NODE B clock relative to GPS time.

1> if the IE "GPS TOW Assist" is included:

2> for each satellite:

3> delete all information currently stored in the IE "GPS TOW Assist" in the IE "UE positioning GPS reference time" in the variable UE\_POSITIONING\_GPS\_DATA;

3> store the received GPS TOW Assist information in the IE "UE positioning GPS reference time" in the variable UE\_POSITIONING\_GPS\_DATA.

#### 8.6.7.19.3.8 UE positioning GPS reference UE position

If the IE "UE positioning GPS reference UE position" is included, the UE shall:

1> store this IE in the IE "UE positioning GPS reference UE position" in variable UE\_POSITIONING\_GPS\_DATA; and

1> use it as a priori knowledge of the approximate location of the UE.

#### 8.6.7.19.3.9 UE positioning UTC model

If the IE "UE positioning GPS UTC model" is included, the UE shall:

- 1> store this IE in the IE "UE positioning GPS UTC model" in variable UE\_POSITIONING\_GPS\_DATA.

#### 8.6.7.19.4 UE positioning Ciphering info

The UE shall:

- 1> if deciphering information is received from higher layers for deciphering of GPS assistance data broadcast on system information:

- 2> store the current key in IE "Current deciphering key" in variable UE\_POSITIONING\_GPS\_DATA;
- 2> store the next key in IE "Next deciphering key" in variable UE\_POSITIONING\_GPS\_DATA;
- 2> store the ciphering key flag in UE\_POSITIONING\_GPS\_DATA.

- 1> if deciphering information is received from higher layers for deciphering of OTDOA assistance data broadcast on system information:

- 2> store the current key in IE "Current deciphering key" in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED;
- 2> store the next key in IE "Next deciphering key" in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED;
- 2> store the ciphering key flag in UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED.

- 1> if the IE "GPS Data ciphering info" is included in System Information Block type 15:

- 2> select one of the two deciphering keys received and stored it in UE\_POSITIONING\_GPS\_DATA according to the following:
  - 3> if the value of the received IE "Ciphering Key Flag" is the same as the value of the IE "Ciphering Key Flag" stored in the variable UE\_POSITIONING\_GPS\_DATA:
    - 4> select the current deciphering key.
  - 3> if the value of the received IE "Ciphering Key Flag" is different from the value of the IE "Ciphering Key Flag" stored in the variable UE\_POSITIONING\_GPS\_DATA:
    - 4> select the next deciphering key.
- 2> store the received IE in the variable UE\_POSITIONING\_GPS\_DATA;
- 2> use the selected deciphering key to decipher the broadcast UE positioning GPS information contained within the System Information Block types 15.1, 15.2 and 15.3.

- 1> if the IE "OTDOA positioning ciphering info" is included in System Information Block type 15.4:

- 2> select one of the two deciphering keys and stored it in UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED according to the following:
  - 3> if the value of the received IE "Ciphering Key Flag" is the same as the value of the IE "Ciphering Key Flag" stored in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED:
    - 4> select the current deciphering key.
  - 3> if the value of the received IE "Ciphering Key Flag" is different from the value of the IE "Ciphering Key Flag" stored in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED:
    - 4> select the next deciphering key.
- 2> store the received IE in the variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED;

- 2> use the selected deciphering key to decipher the IE "OTDOA assistance data" included in the System Information Block types 15.4.

#### 8.6.7.19.5 UE positioning Error

The UE shall set the contents of the IE "UE positioning Error" as follows:

- 1> if the IE "Positioning Methods" in IE "UE positioning reporting quantity" has been assigned to value "OTDOA" and no neighbour cells could be received,
  - 2> set IE "Error reason" to "Not Enough OTDOA Cells";
- 1> if the IE "Positioning Methods" in IE "UE positioning reporting quantity" has been assigned to value "GPS":
  - 2> if there were not enough GPS satellites to be received:
    - 3> set IE "Error reason" to "Not Enough GPS Satellites".
  - 2> if some GPS assistance data was missing:
    - 3> set IE "Error reason" to "Assistance Data Missing"; and
    - 3> if the IE "Additional Assistance Data Request" included in the IE "UE positioning reporting quantity" stored in the variable MEASUREMENT\_IDENTITY is set to TRUE:
      - 4> include the IE "GPS Additional Assistance Data Request".
    - 3> if the IE "Additional Assistance Data Request" included in the IE "UE positioning reporting quantity" stored in the variable MEASUREMENT\_IDENTITY is set to FALSE:
      - 4> not include the IE "GPS Additional Assistance Data Request", and use the assistance data available for doing a positioning estimate.
  - 2> if the UE was not able to read the SFN of the reference cell included in the IE "UE positioning GPS reference time" or in the IE "UE positioning acquisition assistance":
    - 3> set IE "Error reason" to "Reference Cell Not Serving Cell".
  - 2> if the UE was not able to measure the requested GPS timing of cell frames measurement:
    - 3> set IE "Error reason" to "Not Accomplished GPS Timing Of Cell Frames".
  - 1> if none of the conditions above are fulfilled:
    - 2> set IE "Error reason" to "Undefined Error".

The UE shall not set the IE "Error reason" to "Request Denied By User" or "Not Processed and Timeout".

#### 8.6.7.19.6 Void

#### 8.6.7.20 Void

#### 8.6.7.21 Intra-frequency reporting quantity for RACH reporting

If the IE "Intra-frequency reporting quantity for RACH reporting" is included, the UE shall:

- 1> if the IE "SFN-SFN observed time difference reporting indicator" has the value "type 2":
  - 2> act as if the value of the IE "SFN-SFN observed time difference reporting indicator" is "no reporting".
- 1> if the IE "Reporting quantity" (FDD) or all IEs "Reporting quantity" (TDD) have the value "no report":
  - 2> the UE behaviour is unspecified.

### 8.6.7.22 Additional Measurement List

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message, the UE shall:

- 1> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement referenced in the "Additional Measurement List" do not all have the same validity (for this consistency check the UE shall assume "CELL\_DCH" as the measurement validity for measurements of type "inter-RAT", "UE internal", and "quality"):
  - 2> set the variable CONFIGURATION\_INCOMPLETE to TRUE.
- 1> if any of the measurements referenced in the "Additional Measurement List" is an intra-frequency, inter-frequency or inter-RAT measurement, and this measurement is configured with event based reporting:
  - 2> the UE behaviour is not specified.
- 1> if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positioning" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity":
  - 2> the UE behaviour is not specified.
- 1> if the result of this MEASUREMENT CONTROL message is such that more than one additional measurement of the same type will be referenced in the IE "Additional Measurement List" in the MEASUREMENT\_IDENTITY variable:
  - 2> the UE behaviour is not specified.
- 1> if the UE has no IE "Additional Measurement List" stored in the variable MEASUREMENT\_IDENTITY associated with the identity indicated by the IE "measurement identity":
  - 2> store the received IE "Additional Measurement List" in the variable MEASUREMENT\_IDENTITY.

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message with the IE "Measurement command" value set to "modify", and the UE has an IE "Additional Measurement List" stored in the variable MEASUREMENT\_IDENTITY associated with the identity indicated by the IE "measurement identity", the UE should:

- 1> replace the information stored in "Additional Measurement List" in variable MEASUREMENT\_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message.

If the IE "Additional Measurement List" is not received in a MEASUREMENT CONTROL message and the IE "Measurement command" has the value "modify" and the UE has an IE "Additional Measurement List" stored in the variable MEASUREMENT\_IDENTITY associated with the identity indicated by the IE "measurement identity", the UE shall:

- 1> leave the IE "Additional Measurement List" stored in the variable MEASUREMENT\_IDENTITY associated with the identity indicated by the IE "measurement identity" unchanged.

If, at any time during the life-time of a measurement, any measurement referenced in the Additional Measurement List does not exist, the UE shall:

- 1> remove this measurement identity from the Additional Measurement List.

NOTE: A measurement referenced in the Additional Measurement List which is updated with a measurement command set to "modify", or replaced with a measurement command set to "setup", continues to exist.

If the measurement configured with the MEASUREMENT CONTROL message triggers a measurement report, the UE shall:

- 1> also include the reporting quantities for the measurements referenced by the additional measurement identities. The contents of the IE "Additional Measured results" are completely determined by the measurement configuration of the referenced additional measurement.

## 8.6.8 Void

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# 9 Handling of unknown, unforeseen and erroneous protocol data

## 9.1 General

This subclause specifies procedures for the handling of unknown, unforeseen, and erroneous protocol data by the receiving entity. These procedures are called "error handling procedures", but in addition to provide recovery mechanisms for error situations they define a compatibility mechanism for future extensions of the protocol.

The error handling procedures specified in this subclause shall apply to all RRC messages. When there is a specific handling for messages received on different logical channels this is specified.

For system information received on the BCCH, the error handling procedures are applied on the BCCH message SYSTEM INFORMATION, the re-assembled system information segments as well as the system information blocks (including the master information block and the scheduling blocks), with specific error handling as specified below.

When the UE receives an RRC message, it shall set the variable `PROTOCOL_ERROR_REJECT` to FALSE and then perform the checks in the order as defined below.

The error cases specified in the following include the handling upon reception of spare values. This behaviour also applies in case the actual value of the IE results from mapping the originally sent IE value. Moreover, in certain error cases, as specified in the following, default values apply. In this case, the default values specified within the ASN.1, the tabular and the procedure specifications apply.

## 9.2 ASN.1 violation or encoding error

If the UE receives an RRC message on the DCCH for which the encoded message does not result in any valid abstract syntax value [49] (or "encoding error"), it shall perform the following. The UE shall:

- 1> set the variable `PROTOCOL_ERROR_REJECT` to TRUE;
- 1> transmit an RRC STATUS message on the uplink DCCH. The IE "Protocol error information" shall contain an IE "Protocol error cause" set to "ASN.1 violation or encoding error";
- 1> when RRC STATUS message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid message had not been received.

If the UE receives an RRC message sent via a radio access technology other than UTRAN, for which the encoded message does not result in any valid abstract syntax, the UE shall:

- 1> set the variable `PROTOCOL_ERROR_REJECT` to TRUE;
- 1> set the IE "Protocol error cause" in the variable `PROTOCOL_ERROR_INFORMATION` to "ASN.1 violation or encoding error";
- 1> perform procedure specific error handling according to clause 8.

If a reassembled set of system information segments received in messages on the BCCH does not result in any valid abstract syntax value, the UE shall:

- 1> ignore the reassembled set of system information segments;
- 1> treat the rest of each message containing the ignored system information segments as if those segments were not present.

If the UE receives an RRC message on the BCCH, PCCH, CCCH or SHCCH for which the encoded message does not result in any valid abstract syntax value, it shall ignore the message.

### 9.3 Unknown or unforeseen message type

If a UE receives an RRC message on the DCCH with a message type not defined for the DCCH it shall:

- 1> set the variable `PROTOCOL_ERROR_REJECT` to `TRUE`;
- 1> transmit an RRC STATUS message on the uplink DCCH. The IE "Protocol error information" shall contain an IE "Protocol error cause" set to "Message type non-existent or not implemented";
- 1> when the RRC STATUS message has been submitted to lower layers for transmission:
  - 2> continue with any ongoing processes and procedures as if the invalid message had not been received.

If the UE receives an RRC message on the BCCH, PCCH, CCCH or SHCCH with a message type not defined for the logical channel type the message was received on, it shall ignore the message.

#### 9.3a Unsolicited received message

If the UE receives any of the following messages:

- an RRC CONNECTION SETUP message addressed to the UE on the CCCH; or
- an RRC CONNECTION REJECT message addressed to the UE on the CCCH; or
- a UE CAPABILITY INFORMATION CONFIRM message on the DCCH; or
- a CELL UPDATE CONFIRM message addressed to the UE on the CCCH or on the DCCH; or
- a URA UPDATE CONFIRM message addressed to the UE on the CCCH or on the DCCH

and no procedure is ongoing according to clause 8 which expects the message to be received:

the UE shall:

- 1> ignore the received message.

#### 9.3b Unexpected critical message extension

If the UE receives an RRC message on the DCCH, or addressed to the UE on the CCCH or on the SHCCH, or sent via a radio access technology other than UTRAN, containing an undefined critical message extension, the UE shall:

- 1> set the variable `PROTOCOL_ERROR_REJECT` to `TRUE`;
- 1> set the IE "Protocol error cause" in the variable `PROTOCOL_ERROR_INFORMATION` to "Message extension not comprehended";
- 1> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable `TRANSACTIONS`:
  - 2> store the IE "Message type" of the received message in the table "Rejected transactions" in the variable `TRANSACTIONS`; and
  - 2> set the IE "RRC transaction identifier" to zero in that table entry.
- 1> perform procedure specific error handling according to clause 8.

If the UE receives an RRC message on the BCCH or PCCH, containing an undefined critical message extension, the UE shall:

- 1> ignore the message.

## 9.4 Unknown or unforeseen information element value, mandatory information element

If the UE receives an RRC message on the DCCH, or addressed to the UE on the CCCH or on the SHCCH, or sent via a radio access technology other than UTRAN, with a mandatory IE having a value, including choice, reserved for future extension (spare) or a value not used in this version of the specification (e.g. a dummy value), the UE shall:

- 1> if a default value of the IE is defined:
  - 2> treat the rest of the message using the default value of the IE.
- 1> if no default value of the IE is defined:
  - 2> set the variable `PROTOCOL_ERROR_REJECT` to `TRUE`;
  - 2> set the IE "Protocol error cause" in the variable `PROTOCOL_ERROR_INFORMATION` to "Information element value not comprehended";
  - 2> perform procedure specific error handling according to clause 8.

If the UE receives a system information block on the BCCH with a mandatory IE having a value reserved for future extension (spare) or a value not used in this version of the specification (e.g. a dummy value), the UE shall:

- 1> if a default value of the IE is defined:
  - 2> treat the rest of the system information block using the default value of the IE.
- 1> if no default value of the IE is defined:
  - 2> ignore the system information block.

If the UE receives an RRC message on the BCCH or PCCH with a mandatory IE having a value reserved for future extension (spare) or a value not used in this version of the specification (e.g. a dummy value), the UE shall:

- 1> if a default value of the IE is defined:
  - 2> treat the rest of the message using the default value of the IE.
- 1> if no default value of the IE is defined:
  - 2> ignore the message.

## 9.5 Conditional information element error

If the UE receives an RRC message on the DCCH, BCCH, PCCH, or addressed to the UE on the CCCH or on the SHCCH, or sent via a radio access technology other than UTRAN, for which the specified conditions for absence of a conditional IE are met and that IE is present, the UE shall:

- 1> ignore the IE;
- 1> treat the rest of the message as if the IE was not present.

If the UE receives an RRC message on the DCCH, or addressed to the UE on the CCCH or on the SHCCH, or sent via a radio access technology other than UTRAN, for which the specified conditions for presence of a conditional IE are met and that IE is absent, the UE shall:

- 1> set the variable `PROTOCOL_ERROR_REJECT` to `TRUE`;
- 1> set the IE "Protocol error cause" in the variable `PROTOCOL_ERROR_INFORMATION` to "Information element missing";
- 1> perform procedure specific error handling according to clause 8.

If the UE receives a system information block on the BCCH for which the specified conditions for presence of a conditional IE are met and that IE is absent, the UE shall:

- 1> ignore the system information block.

If the UE receives an RRC message on the BCCH or PCCH for which the specified conditions for presence of a conditional IE are met and that IE is absent, the UE shall:

- 1> ignore the message.

## 9.6 Unknown or unforeseen information element value, conditional information element

If the UE receives an RRC message on the DCCH, or addressed to the UE on the CCCH or on the SHCCH, or sent via a radio access technology other than UTRAN, for which the specified conditions for presence of a conditional IE are met, that IE is present, and that IE has a value, including choice, reserved for future extension (spare) or a value not used in this version of the specification (e.g. a dummy value), the UE shall:

- 1> if a default value of the IE is defined:
  - 2> treat the rest of the message using the default value of the IE.
- 1> if no default value of the IE is defined:
  - 2> set the variable `PROTOCOL_ERROR_REJECT` to `TRUE`;
  - 2> set the IE "Protocol error cause" in the variable `PROTOCOL_ERROR_INFORMATION` to "Information element value not comprehended";
  - 2> perform procedure specific error handling according to clause 8.

If the UE receives a system information block on the BCCH for which the specified conditions for presence of a conditional IE are met, that IE is present, and that IE has a value, including choice, reserved for future extension (spare) or a value not used in this version of the specification (e.g. a dummy value), the UE shall:

- 1> if a default value of the IE is defined:
  - 2> treat the rest of the system information block using the default value of the IE.
- 1> if no default value of the IE is defined:
  - 2> ignore the system information block.

If the UE receives an RRC message on the BCCH or PCCH for which the specified conditions for presence of a conditional IE are met, that IE is present, and that IE has a value, including choice, reserved for future extension (spare) or a value not used in this version of the specification (e.g. a dummy value), the UE shall:

- 1> if a default value of the IE is defined:
  - 2> treat the rest of the message using the default value of the IE.
- 1> if no default value of the IE is defined:
  - 2> ignore the message.

## 9.7 Unknown or unforeseen information element value, optional information element

If the UE receives an RRC message on the DCCH, or addressed to the UE on the CCCH or on the SHCCH, or sent via a radio access technology other than UTRAN, with an optional IE having a value, including choice, reserved for future extension (spare) or a value not used in this version of the specification (e.g. a dummy value), it shall:

- 1> ignore the value of the IE;
- 1> treat the rest of the message as if the IE was not present.



If the UE receives a system information block on the BCCH with an optional IE having a value, including choice, reserved for future extension (spare) or a value not used in this version of the specification (e.g. a dummy value), it shall:

- 1> ignore the value of the IE;
- 1> treat the rest of the system information block as if the IE was not present.

If the UE receives an RRC message on the BCCH or PCCH with an optional IE having a value, including choice, reserved for future extension (spare) or a value not used in this version of the specification (e.g. a dummy value), it shall:

- 1> ignore the value of the IE;
- 1> treat the rest of the message as if the IE was not present.

## 9.8 Unexpected non-critical message extension

If the UE receives an RRC message on the DCCH, or addressed to the UE on the CCCH or on the SHCCH, or sent via a radio access technology other than UTRAN, containing an undefined non-critical message extension, the UE shall:

- 1> if the non critical extension is included in the "Variable Length Extension Container":
  - 2> ignore the content of the extension and the contents of this container after the not comprehended extension, and continue decoding the rest of the message.
- 1> otherwise:
  - 2> ignore the content of the extension and the message contents after the extension, but treat the parts of the message up to the extension normally.

If the UE receives a system information block on the BCCH containing an undefined non-critical message extension, the UE shall:

- 1> ignore the content of the extension and the system information block contents after the extension, but treat the parts of the system information block up to the extension normally.

If the UE receives an RRC message on the BCCH or PCCH, containing an undefined non-critical message extension, the UE shall:

- 1> ignore the content of the extension and the message contents after the extension, but treat the parts of the message up to the extension normally.

## 9.9 Handling of errors in nested information elements

An erroneous IE may be included in another IE, which may be included in another IE and so on. This subclause specifies the handling of errors in mandatory IEs as well as for conditional IEs for which the specified conditions for presence are met, that are nested in another IE.

In case the UE receives an IE (IE1) that includes a mandatory IE (IE1-1) having a value, including choice, reserved for future extension (spare) or a value not used in this version of the specification (e.g. a dummy value), the UE shall:

- 1> consider IE1 to have an undefined value; and
- 1> apply the corresponding generic error handling to IE1.

In case there are many IE nesting levels, in all of which the IE is mandatory while no default value is defined, this treatment may need to be repeated several times. The following example illustrates the general principle.

|   |   |
|---|---|
| <pre>ExampleMessage ::=   ie1   ie2 }</pre> | <pre>SEQUENCE {   IE1   IE2   OPTIONAL,</pre> |
| <pre>IE1 ::=   ie1-1</pre>                  | <pre>SEQUENCE {   INTEGER (1..16),</pre>      |

```

-- ie1-1 values 13..16 are spare and should not be used in this version of the protocol
ie1-2          IE1-2          OPTIONAL,
ie1-3          IE1-3
}

```

If in the above example, UTRAN would include ie1 and set ie1-1 to value 13, the UE experiences an error in a mandatory IE. The guideline outlined in the previous then means that the UE shall not discard the entire message but instead consider "ie1" to have an unknown value. Since IE1 is optional, the generic error handling would be to ignore "ie1".

In case the UE receives an IE (IE1) that includes a list of another IE (IE1-1) for which one or more entries in the list have a value, including choice, reserved for future extension (spare) or a value not used in this version of the specification (e.g. a dummy value), the UE shall:

1> consider the list as if these entries were not included.

NOTE: In case the above generic error handling procedures do not result in the desired behaviour, the introduction of spares may need to be reconsidered.

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## 10 Message and information element functional definition and content

### 10.1 General

The function of each Radio Resource Control message together with message contents in the form of a list of information elements is defined in subclause 10.2.

Functional definitions of the information elements are then described in subclause 10.3.

Information elements are marked as either MP - Mandatory present, MD - Mandatory with default value, OP - Optional, CV - Conditional on value or CH - Conditional on history (see Table 10.1 with information extracted from [14]).

**Table 10.1: Meaning of abbreviations used in RRC messages and information elements**

| Abbreviation | Meaning  |
|--------------|--|
| MP           | Mandatory present<br>A value for that information is always needed, and no information is provided about a particular default value. If ever the transfer syntax allows absence (e.g., due to extension), then absence leads to an error diagnosis.                                      |
| MD           | Mandatory with default value<br>A value for that information is always needed, and a particular default value is mentioned (in the 'Semantical information' column). This opens the possibility for the transfer syntax to use absence or a special pattern to encode the default value. |

| Abbreviation | Meaning  |
|--------------|--|
| CV           | <p>Conditional on value</p> <p>The need for a value for that information depends on the value of some other IE or IEs, and/or on the message flow (e.g., channel, SAP). The need is specified by means of a condition, the result of which may be that the information is mandatory present, mandatory with default value, not needed or optional.</p> <p>If one of the results of the condition is that the information is mandatory present, the transfer syntax must allow for the presence of the information. If in this case the information is absent an error is diagnosed.</p> <p>If one of the results of the condition is that the information is mandatory with default value, and a particular default value is mentioned (in the 'Semantical information' column), the transfer syntax may use absence or a special pattern to encode the default value.</p> <p>If one of the results of the condition is that the information is not needed, the transfer syntax must allow encoding the absence. If in this case the information is present, it will be ignored. In specific cases however, an error may be diagnosed instead.</p> <p>If one of the results of the condition is that the information is optional, the transfer syntax must allow for the presence of the information. In this case, neither absence nor presence of the information leads to an error diagnosis.</p> |
| CH           | <p>Conditional on history</p> <p>The need for a value for that information depends on information obtained in the past (e.g., from messages received in the past from the peer). The need is specified by means of a condition, the result of which may be that the information is mandatory present, mandatory with default value, not needed or optional.</p> <p>The handling of the conditions is the same as described for CV.</p>   |
| OP           | <p>Optional</p> <p>The presence or absence is significant and modifies the behaviour of the receiver. However whether the information is present or not does not lead to an error diagnosis.</p>   |

### 10.1.1 Protocol extensions

RRC messages may be extended in future versions of this protocol, either by adding values for choices, enumerated and size constrained types or by adding information elements. An important aspect concerns the behaviour of a UE, conforming to this revision of the standard, upon receiving a not comprehended future extension. The details of this error handling behaviour are provided in clause 9.

NOTE 1: By avoiding the need for partial decoding (skipping uncomprehended IEs to continue decoding the remainder of the message), the RRC protocol extension mechanism also avoids the overhead of length determinants for extensions. "Variable length extension containers" (i.e. non critical extension containers that have their abstract syntax defined using the ASN.1 type "BIT STRING") have been defined to support the introduction of extensions to a release after the subsequent release is frozen (and UEs based on that subsequent release may appear). For this container a length determinant is used, which facilitates partial decoding of the container as well as the decoding of the extensions included after the container.

Two kinds of protocol extensions are distinguished: non-critical and critical extensions. In general, a receiver shall process a message including not comprehended non-critical extensions as if the extensions were absent. However, a receiver shall entirely reject a message including not comprehended critical extensions (there is no partial rejection) and notify the sender, as specified in clause 9.

The general mechanism for adding critical extensions is by defining a new version of the message, which is indicated at the beginning of the message.

The UE shall always comprehend the complete transfer syntax specified for the protocol version it supports; if the UE comprehends the transfer syntax defined within protocol version A for message 1, it shall also comprehend the transfer syntax defined within protocol version A for message 2.

The following table shows for which messages only non-critical extensions may be added while for others both critical and non-critical extensions may be added.

NOTE 2: Critical extensions can only be added to certain downlink messages.

| Extensions                           | Message  |
|--------------------------------------|--|
| Critical and non-critical extensions | ACTIVE SET UPDATE 10.2.1<br>ASSISTANCE DATA DELIVERY 10.2.4<br>CELL CHANGE ORDER FROM UTRAN 10.2.5<br>CELL UPDATE CONFIRM 10.2.8<br>COUNTER CHECK 10.2.9<br>DOWNLINK DIRECT TRANSFER 10.2.11<br>HANDOVER TO UTRAN COMMAND 10.2.16a<br>HANDOVER FROM UTRAN COMMAND 10.2.15<br>MEASUREMENT CONTROL 10.2.17<br>PHYSICAL CHANNEL RECONFIGURATION 10.2.22<br>PHYSICAL SHARED CHANNEL ALLOCATION 10.2.25<br>RADIO BEARER RECONFIGURATION 10.2.27<br>RADIO BEARER RELEASE 10.2.30<br>RADIO BEARER SETUP 10.2.33<br>RRC CONNECTION REJECT 10.2.36<br>RRC CONNECTION RELEASE 10.2.37<br>RRC CONNECTION SETUP 10.2.40<br>SECURITY MODE COMMAND 10.2.43<br>SIGNALLING CONNECTION RELEASE 10.2.46<br>TRANSPORT CHANNEL RECONFIGURATION 10.2.50<br>UE CAPABILITY ENQUIRY 10.2.55<br>UE CAPABILITY INFORMATION CONFIRM 10.2.57<br>UPLINK PHYSICAL CHANNEL CONTROL 10.2.59<br>URA UPDATE CONFIRM 10.2.61<br>UTRAN MOBILITY INFORMATION 10.2.62  |
| Non-critical extensions only         | ACTIVE SET UPDATE COMPLETE 10.2.2<br>ACTIVE SET UPDATE FAILURE 10.2.3<br>CELL CHANGE ORDER FROM UTRAN FAILURE 10.2.6<br>CELL UPDATE 10.2.7<br>COUNTER CHECK RESPONSE 10.2.10<br>HANDOVER TO UTRAN COMPLETE 10.2.16b<br>INITIAL DIRECT TRANSFER 10.2.16c<br>HANDOVER FROM UTRAN FAILURE 10.2.16<br>MEASUREMENT CONTROL FAILURE 10.2.18<br>MEASUREMENT REPORT 10.2.19<br>PAGING TYPE 1 10.2.20<br>PAGING TYPE 2 10.2.21<br>PHYSICAL CHANNEL RECONFIGURATION COMPLETE 10.2.23<br>PHYSICAL CHANNEL RECONFIGURATION FAILURE 10.2.24<br>PUSCH CAPACITY REQUEST 10.2.26<br>RADIO BEARER RECONFIGURATION COMPLETE 10.2.28<br>RADIO BEARER RECONFIGURATION FAILURE 10.2.29<br>RADIO BEARER RELEASE COMPLETE 10.2.31<br>RADIO BEARER RELEASE FAILURE 10.2.32<br>RADIO BEARER SETUP COMPLETE 10.2.34<br>RADIO BEARER SETUP FAILURE 10.2.35<br>RRC CONNECTION RELEASE COMPLETE 10.2.38<br>RRC CONNECTION REQUEST 10.2.39<br>RRC CONNECTION SETUP COMPLETE 10.2.41<br>RRC STATUS 10.2.42<br>SECURITY MODE COMPLETE 10.2.44<br>SECURITY MODE FAILURE 10.2.45<br>SIGNALLING CONNECTION RELEASE INDICATION 10.2.47<br>Master Information Block 10.2.48.8.1<br>System Information Block type 1 to<br>System Information Block type 17 10.2.48.8.2 to 10.2.48.8.19<br>SYSTEM INFORMATION CHANGE INDICATION 10.2.49<br>TRANSPORT CHANNEL RECONFIGURATION COMPLETE 10.2.51 |

| Extensions    | Message  |
|---------------|--|
|               | TRANSPORT CHANNEL RECONFIGURATION FAILURE 10.2.52<br>TRANSPORT FORMAT COMBINATION CONTROL 10.2.53<br>TRANSPORT FORMAT COMBINATION CONTROL FAILURE 10.2.54<br>UE CAPABILITY INFORMATION 10.2.56<br>UPLINK DIRECT TRANSFER 10.2.58<br>URA UPDATE 10.2.60<br>UTRAN MOBILITY INFORMATION CONFIRM 10.2.63<br>UTRAN MOBILITY INFORMATION FAILURE 10.2.64 |
| No extensions | SYSTEM INFORMATION 10.2.48<br>First Segment 10.2.48.1<br>Subsequent or last Segment 10.2.48.3<br>Complete SIB 10.2.48.5<br>SIB content 10.2.48.8.1   |

NOTE 3: For the SYSTEM INFORMATION message protocol extensions are only possible at the level of system information blocks.

### 10.1.1.1 Non-critical extensions

#### 10.1.1.1.1 Extension of an information element with additional values or choices

In future versions of this protocol, non-critical values may be added to choices, enumerated and size constrained types.

For choices, enumerated and size constrained types it is possible to indicate how many non-critical spare values need to be reserved for future extension. In this case, the tabular format should indicate the number of spare values that are needed. The value range defined in ASN.1 for the extensible IE should include the number of spares that are needed, since a value outside the range defined for this IE will result in a general ASN.1 violation error.

For downlink messages, spare values may be defined for non-critical information elements for which the need is specified to be MD or OP (or CV case leading to MD or OP). In this case, a receiver not comprehending the received spare value shall consider the information element to have the default value or consider it to be absent respectively.

For uplink messages spare values may be defined for all information elements, including those for which the need is specified to be MP (or CV case leading to MP).

In all cases at most one spare should be defined for choices. In this case, information elements applicable to the spare choices shall be added to the end of the message.

#### 10.1.1.1.2 Extension of a message with additional information elements

In future versions of this protocol, non-critical information elements may be added to RRC messages. These additional information elements shall be normally appended at the end of the message; the transfer syntax specified in this revision of the standard facilitates this. A receiver conformant to this revision of the standard shall accept such extension, and proceed as if it was not included. Extensions to a release that are introduced after the subsequent release is frozen may however be inserted prior to the end of the message. To facilitate this, "variable length extension containers" have been introduced in most messages.

A transmitter conformant to this version of the standard shall not include an extension reserved for introducing non critical extensions in later versions of the standard; i.e. the corresponding parameter defined in the ASN.1 shall be absent.

NOTE: If an extension, reserved for future non-critical extensions, is included (even if it is empty), this may result in transfer syntax errors when received by an implementation conforming to a later version of the standard.

## 10.1.1.2 Critical extensions

### 10.1.1.2.1 Extension of an information element with additional values or choices

In versions of this protocol, choices, enumerated and size constrained types may be extended with critical values. For extension with critical values the general critical extension mechanism is used, i.e. for this no spare values are reserved since backward compatibility is not required.

### 10.1.1.2.2 Extension of a message with additional information elements

In future versions of this protocol, RRC messages may be extended with new information elements. Since messages including critical extensions are rejected by receivers not comprehending them, these messages may be modified completely, e.g. IEs may be inserted at any place and IEs may be removed or redefined.

## 10.2 Radio Resource Control messages

### 10.2.1 ACTIVE SET UPDATE

NOTE: Only for FDD.

This message is used by UTRAN to add, replace or delete radio links in the active set of the UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

| Information Element/Group name     | Need | Multi          | Type and reference                        | Semantics description                                       |
|------------------------------------|------|----------------|---|---|
| Message Type                       | MP   |                | Message Type                              |   |
| <b>UE information elements</b>     |      |                |   |   |
| RRC transaction identifier         | MP   |                | RRC transaction identifier 10.3.3.36      |   |
| Integrity check info               | CH   |                | Integrity check info 10.3.3.16            |   |
| Activation time                    | MD   |                | Activation time 10.3.3.1                  | Default value is "now".                                     |
| New U-RNTI                         | OP   |                | U-RNTI 10.3.3.47                          |   |
| <b>CN information elements</b>     |      |                |   |   |
| CN Information info                | OP   |                | CN Information info 10.3.1.3              |   |
| <b>Phy CH information elements</b> |      |                |   |   |
| <b>Uplink radio resources</b>      |      |                |   |   |
| Maximum allowed UL TX power        | MD   |                | Maximum allowed UL TX power 10.3.6.39     | Default value is the existing "maximum UL TX power".        |
| <b>Downlink radio resources</b>    |      |                |   |   |
| Radio link addition information    | OP   | 1 to <maxRL-1> |   | Radio link addition information required for each RL to add |
| >Radio link addition information   | MP   |                | Radio link addition information 10.3.6.68 |   |

| Information Element/Group name  | Need | Multi        | Type and reference                       | Semantics description   |
|---------------------------------|------|--------------|--|---|
| Radio link removal information  | OP   | 1 to <maxRL> |  | Radio link removal information required for each RL to remove                           |
| >Radio link removal information | MP   |              | Radio link removal information 10.3.6.69 |   |
| TX Diversity Mode               | MD   |              | TX Diversity Mode 10.3.6.86              | Default value is the TX diversity mode currently used in all or part of the active set. |
| SSDT information                | OP   |              | SSDT information 10.3.6.77               |   |

## 10.2.2 ACTIVE SET UPDATE COMPLETE

NOTE: For FDD only.

This message is sent by UE when active set update has been completed.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name | Need | Multi | Type and reference                   | Semantics description |
|--------------------------------|------|-------|--------------------------------------|-----------------------|
| Message Type                   | MP   |       | Message Type                         |                       |
| <b>UE information elements</b> |      |       |                                      |                       |
| RRC transaction identifier     | MP   |       | RRC transaction identifier 10.3.3.36 |                       |
| Integrity check info           | CH   |       | Integrity check info 10.3.3.16       |                       |

## 10.2.3 ACTIVE SET UPDATE FAILURE

NOTE: Only for FDD.

This message is sent by UE if the update of the active set has failed, e.g. because the radio link is not a part of the active set.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name | Need | Multi | Type and reference                               | Semantics description |
|--------------------------------|------|-------|--|-----------------------|
| Message Type                   | MP   |       | Message Type                                     |                       |
| <b>UE information elements</b> |      |       |  |                       |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36          |                       |
| Integrity check info           | CH   |       | Integrity check info<br>10.3.3.16                |                       |
| Failure cause                  | MP   |       | Failure cause and error information<br>10.3.3.14 |                       |

## 10.2.4 ASSISTANCE DATA DELIVERY

This message is sent by UTRAN to convey UE positioning assistance data to the UE.

RLC-SAP: AM

Logical channel: DCCH

Direction: UTRAN→UE

| Information Element/Group name                    | Need | Multi | Type and reference   | Semantics description |
|---|------|-------|--|-----------------------|
| Message Type                                      | MP   |       | Message Type   |                       |
| <b>UE information elements</b>                    |      |       |  |                       |
| RRC transaction identifier                        | MP   |       | RRC transaction identifier<br>10.3.3.36                          |                       |
| Integrity check info                              | CH   |       | Integrity check info<br>10.3.3.16                                |                       |
| <b>Measurement Information elements</b>           |      |       |  |                       |
| UE positioning OTDOA assistance data for UE-based | OP   |       | UE positioning OTDOA assistance data for UE-based<br>10.3.7.103a |                       |
| UE positioning GPS assistance data                | OP   |       | UE positioning GPS assistance data<br>10.3.7.90                  |                       |



## 10.2.5 CELL CHANGE ORDER FROM UTRAN

This message is used to order a cell change from UTRA to another radio access technology, e.g., GSM.

RLC-SAP: AM

Logical channel: DCCH

Direction: UTRAN→UE

| Information Element/Group name         | Need | Multi               | Type and reference                                  | Semantics description  |
|--|------|---------------------|---|--|
| Message Type                           | MP   |                     | Message Type  |  |
| <b>UE information elements</b>         |      |                     |   |  |
| RRC transaction identifier             | MP   |                     | RRC transaction identifier<br>10.3.3.36             |  |
| Integrity check info                   | CH   |                     | Integrity check info<br>10.3.3.16                   |  |
| Activation time                        | MD   |                     | Activation time<br>10.3.3.1                         | Default value is "now"   |
| <b>RB Information elements</b>         |      |                     |   |  |
| RAB information list                   | OP   | 1 to <maxRABs etup> |   | This IE should not be included in this version of the protocol.  |
| >RAB info                              | MP   |                     | RAB info<br>10.3.4.8                                |  |
| <b>Other information elements</b>      |      |                     |   |  |
| Target cell description                | MP   |                     |   |  |
| >CHOICE <i>Radio Access Technology</i> | MP   |                     |   | Two spare values are needed.   |
| >>GSM                                  |      |                     |   |  |
| >>>BSIC                                | MP   |                     | BSIC<br>10.3.8.2                                    |  |
| >>>Band Indicator                      | MP   |                     | Enumerated (DCS 1800 band used, PCS 1900 band used) | Indicates how to interpret the BCCH ARFCN  |
| >>>BCCH ARFCN                          | MP   |                     | Integer (0..1023)                                   | [45]   |
| >>>NC mode                             | OP   |                     | Bit string(3)                                       | Includes bits b1-b3 of the NC mode IE specified in [43].<br>The first/leftmost/most significant bit of the bit string contains the most significant bit (b3) of NC mode.<br>NOTE: The Bit string should be extended to 4 bits in a later version of the message. |
| >>IS-2000                              |      |                     |   |  |

## 10.2.6 CELL CHANGE ORDER FROM UTRAN FAILURE

This message is sent on the RRC connection used before the Cell change order from UTRAN was executed. The message indicates that the UE has failed to seize the new channel in the other radio access technology.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name    | Need | Multi | Type and reference                   | Semantics description |
|-----------------------------------|------|-------|--------------------------------------|-----------------------|
| Message Type                      | MP   |       | Message Type                         |                       |
| <b>UE information elements</b>    |      |       |                                      |                       |
| RRC transaction identifier        | MP   |       | RRC transaction identifier 10.3.3.36 |                       |
| Integrity check info              | CH   |       | Integrity check info 10.3.3.16       |                       |
| <b>Other information elements</b> |      |       |                                      |                       |
| Inter-RAT change failure          | MP   |       | Inter-RAT change failure 10.3.8.5    |                       |

## 10.2.7 CELL UPDATE

This message is used by the UE to initiate a cell update procedure.

RLC-SAP: TM

Logical channel: CCCH

Direction: UE→UTRAN

| Information Element/Group name           | Need              | Multi                | Type and reference                   | Semantics description  |
|--|-------------------|----------------------|--------------------------------------|--|
| Message Type                             | MP                |                      | Message Type                         |  |
| <b>UE information elements</b>           |                   |                      |                                      |  |
| U-RNTI                                   | MP                |                      | U-RNTI 10.3.3.47                     |  |
| RRC transaction identifier               | <i>CV-Failure</i> |                      | RRC transaction identifier 10.3.3.36 |  |
| Integrity check info                     | CH                |                      | Integrity check info 10.3.3.16       |  |
| START list                               | MP                | 1 to <maxCNdo mains> |                                      | START [40] values for all CN domains.  |
| >CN domain identity                      | MP                |                      | CN domain identity 10.3.1.1          |  |
| >START                                   | MP                |                      | START 10.3.3.38                      | START value to be used in this CN domain.  |
| AM_RLC error indication(RB2, RB3 or RB4) | MP                |                      | Boolean                              | TRUE indicates AM_RLC unrecoverable error [16] occurred on RB2, RB3 or RB4 in the UE |
| AM_RLC error indication(RB>4)            | MP                |                      | Boolean                              | TRUE indicates AM_RLC unrecoverable error [16] occurred on RB>4 in the UE            |
| Cell update cause                        | MP                |                      | Cell update cause 10.3.3.3           |  |
| Failure cause                            | OP                |                      | Failure cause and error              |  |

| Information Element/Group name          | Need | Multi | Type and reference                 | Semantics description |
|---|------|-------|------------------------------------|-----------------------|
|   |      |       | information 10.3.3.14              |                       |
| RB timer indicator                      | MP   |       | RB timer indicator 10.3.3.28       |                       |
| <b>Measurement information elements</b> |      |       |                                    |                       |
| Measured results on RACH                | OP   |       | Measured results on RACH 10.3.7.45 |                       |

| Condition      | Explanation   |
|----------------|---|
| <i>Failure</i> | This IE is mandatory present if the IE "Failure cause" is present and not needed otherwise. |

## 10.2.8 CELL UPDATE CONFIRM

This message confirms the cell update procedure and can be used to reallocate new RNTI information for the UE valid in the new cell.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

| Information Element/Group name | Need           | Multi | Type and reference                       | Semantics description  |
|--------------------------------|----------------|-------|--|--|
| Message Type                   | MP             |       | Message Type                             |  |
| <b>UE Information Elements</b> |                |       |  |  |
| U-RNTI                         | <i>CV-CCCH</i> |       | U-RNTI 10.3.3.47                         |  |
| RRC transaction identifier     | MP             |       | RRC transaction identifier 10.3.3.36     |  |
| Integrity check info           | CH             |       | Integrity check info 10.3.3.16           |  |
| Integrity protection mode info | OP             |       | Integrity protection mode info 10.3.3.19 | The UTRAN should not include this IE unless it is performing an SRNS relocation.                                     |
| Ciphering mode info            | OP             |       | Ciphering mode info 10.3.3.5             | The UTRAN should not include this IE unless it is performing an SRNS relocation and a change in ciphering algorithm. |
| Activation time                | MD             |       | Activation time 10.3.3.1                 | Default value is "now"   |
| New U-RNTI                     | OP             |       | U-RNTI 10.3.3.47                         |  |
| New C-RNTI                     | OP             |       | C-RNTI 10.3.3.8                          |  |
| New DSCH-RNTI                  | OP             |       | DSCH-RNTI 10.3.3.9a                      |  |
| RRC State Indicator            | MP             |       | RRC State Indicator 10.3.3.35a           |  |
| UTRAN DRX cycle length         | OP             |       | UTRAN DRX                                |  |

| Information Element/Group name                                     | Need | Multi                   | Type and reference  | Semantics description   |
|--|------|-------------------------|---|---|
| coefficient  |      |                         | cycle length coefficient<br>10.3.3.49   |   |
| RLC re-establish indicator (RB2, RB3 and RB4)                      | MP   |                         | RLC re-establish indicator<br>10.3.3.35   |   |
| RLC re-establish indicator (RB5 and upwards)                       | MP   |                         | RLC re-establish indicator<br>10.3.3.35   |   |
| <b>CN Information Elements</b>                                     |      |                         |   |   |
| CN Information info  | OP   |                         | CN Information info<br>10.3.1.3   |   |
| <b>UTRAN Information Elements</b>                                  |      |                         |   |   |
| URA identity   | OP   |                         | URA identity<br>10.3.2.6  |   |
| <b>RB information elements</b>                                     |      |                         |   |   |
| RB information to release list                                     | OP   | 1 to<br><maxRB>         |   |   |
| >RB information to release   | MP   |                         | RB information to release<br>10.3.4.19  |   |
| RB information to reconfigure list                                 | OP   | 1 to<br><maxRB>         |   |   |
| >RB information to reconfigure                                     | MP   |                         | RB information to reconfigure<br>10.3.4.18                                      |   |
| RB information to be affected list                                 | OP   | 1 to<br><maxRB>         |   |   |
| >RB information to be affected                                     | MP   |                         | RB information to be affected<br>10.3.4.17                                      |   |
| Downlink counter synchronisation info                              | OP   |                         |   |   |
| >RB with PDCP information list                                     | OP   | 1 to<br><maxRBall RABs> |   | This IE is needed for each RB having PDCP in the case of lossless SRNS relocation |
| >>RB with PDCP information   | MP   |                         | RB with PDCP information<br>10.3.4.22   |   |
| <b>TrCH Information Elements</b>                                   |      |                         |   |   |
| <b>Uplink transport channels</b>                                   |      |                         |   |   |
| UL Transport channel information common for all transport channels | OP   |                         | UL Transport channel information common for all transport channels<br>10.3.5.24 |   |
| Deleted TrCH information list                                      | OP   | 1 to<br><maxTrCH>       |   |   |
| >Deleted UL TrCH information                                       | MP   |                         | Deleted UL TrCH information<br>10.3.5.5   |   |
| Added or Reconfigured TrCH   | OP   | 1 to                    |   |   |

| Information Element/Group name                                     | Need | Multi           | Type and reference  | Semantics description                             |
|--|------|-----------------|---|---|
| information list   |      | <maxTrCH >      |   |   |
| >Added or Reconfigured UL TrCH information                         | MP   |                 | Added or Reconfigured UL TrCH information 10.3.5.2                          |   |
| CHOICE <i>mode</i>   | MP   |                 |   |   |
| >FDD   |      |                 |   |   |
| >>CPCH set ID  | OP   |                 | CPCH set ID 10.3.5.3  |   |
| >>>Added or Reconfigured TrCH information for DRAC list            | OP   | 1 to <maxTrCH > |   |   |
| >>>>DRAC static information  | MP   |                 | DRAC static information 10.3.5.7  |   |
| >TDD   |      |                 |   | (no data)   |
| <b>Downlink transport channels</b>                                 |      |                 |   |   |
| DL Transport channel information common for all transport channels | OP   |                 | DL Transport channel information common for all transport channels 10.3.5.6 |   |
| Deleted TrCH information list                                      | OP   | 1 to <maxTrCH > |   |   |
| >Deleted DL TrCH information                                       | MP   |                 | Deleted DL TrCH information 10.3.5.4  |   |
| Added or Reconfigured TrCH information list                        | OP   | 1 to <maxTrCH > |   |   |
| >Added or Reconfigured DL TrCH information                         | MP   |                 | Added or Reconfigured DL TrCH information 10.3.5.1                          |   |
| <b>PhyCH information elements</b>                                  |      |                 |   |   |
| Frequency info   | OP   |                 | Frequency info 10.3.6.36  |   |
| <b>Uplink radio resources</b>                                      |      |                 |   |   |
| Maximum allowed UL TX power  | MD   |                 | Maximum allowed UL TX power 10.3.6.39                                       | Default value is the existing maximum UL TX power |
| CHOICE <i>channel requirement</i>                                  | OP   |                 |   |   |
| >Uplink DPCH info  |      |                 | Uplink DPCH info 10.3.6.88.   |   |
| >CPCH SET Info   |      |                 | CPCH SET Info 10.3.6.13   |   |
| <b>Downlink radio resources</b>                                    |      |                 |   |   |
| CHOICE <i>mode</i>   | MP   |                 |   |   |
| >FDD   |      |                 |   |   |
| >>>Downlink PDSCH information                                      | OP   |                 | Downlink PDSCH information 10.3.6.30  |   |
| >TDD   |      |                 |   | (no data)   |

| Information Element/Group name                  | Need | Multi        | Type and reference  | Semantics description                                      |
|---|------|--------------|---|--|
| Downlink information common for all radio links | OP   |              | Downlink information common for all radio links 10.3.6.24 |  |
| Downlink information per radio link list        | OP   | 1 to <maxRL> |   | Send downlink information for each radio link to be set-up |
| >Downlink information for each radio link       | MP   |              | Downlink information for each radio link 10.3.6.27        |  |

| Condition | Explanation  |
|-----------|--|
| CCCH      | This IE is mandatory present when CCCH is used and ciphering is not required and not needed otherwise. |

## 10.2.9 COUNTER CHECK

This message is used by the UTRAN to indicate the current COUNT-C MSB values associated to each radio bearer utilising UM or AM RLC mode and to request the UE to compare these to its COUNT-C MSB values and to report the comparison results to UTRAN.

RLC-SAP: AM

Logical channel: DCCH

Direction: UTRAN→UE

| Information Element/Group name | Presence | Multi                | IE type and reference                | Semantics description  |
|--------------------------------|----------|----------------------|--------------------------------------|--|
| Message Type                   | MP       |                      |                                      |  |
| <b>UE information elements</b> |          |                      |                                      |  |
| RRC transaction identifier     | MP       |                      | RRC transaction identifier 10.3.3.36 |  |
| Integrity check info           | CH       |                      | Integrity check info 10.3.3.16       |  |
| <b>RB information elements</b> |          |                      |                                      |  |
| RB COUNT-C MSB information     | MP       | 1 to <maxRBallRABs > |                                      | For each RB (excluding signalling radio bearers) using UM or AM RLC. |
| >RB COUNT-C MSB information    | MP       |                      | RB COUNT-C MSB information 10.3.4.14 |  |

## 10.2.10 COUNTER CHECK RESPONSE

This message is used by the UE to respond to a COUNTER CHECK message.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name | Presence | Multi                  | IE type and reference                | Semantics description |
|--------------------------------|----------|------------------------|--------------------------------------|-----------------------|
| Message Type                   | MP       |                        |                                      |                       |
| <b>UE information elements</b> |          |                        |                                      |                       |
| RRC transaction identifier     | MP       |                        | RRC transaction identifier 10.3.3.36 |                       |
| Integrity check info           | CH       |                        | Integrity check info 10.3.3.16       |                       |
| <b>RB information elements</b> |          |                        |                                      |                       |
| RB COUNT-C information         | OP       | 1 to < maxRBAllR ABs > |                                      |                       |
| >RB COUNT-C information        | MP       |                        | RB COUNT-C information 10.3.4.15     |                       |

### 10.2.11 DOWNLINK DIRECT TRANSFER

This message is sent by UTRAN to transfer higher layer messages.

RLC-SAP: AM

Logical channel: DCCH

Direction: UTRAN -> UE

| Information Element/Group name | Need | Multi | Type and reference                    | Semantics description |
|--------------------------------|------|-------|---------------------------------------|-----------------------|
| Message Type                   | MP   |       | Message Type                          |                       |
| <b>UE information elements</b> |      |       |                                       |                       |
| RRC transaction identifier     | MP   |       | RRC transaction identifier 10.3.3.36  |                       |
| Integrity check info           | CH   |       | Integrity check info 10.3.3.16        |                       |
| <b>CN information elements</b> |      |       |                                       |                       |
| CN Domain Identity             | MP   |       | Core Network Domain Identity 10.3.1.1 |                       |
| NAS message                    | MP   |       | NAS message 10.3.1.8                  |                       |

### 10.2.12 Void

### 10.2.13 Void

## 10.2.14 Void

## 10.2.15 HANDOVER FROM UTRAN COMMAND

This message is used for handover from UMTS to another system e.g. GSM. One or several messages from the other system can be included in the Inter-RAT message information element in this message. These messages are structured and coded according to that systems specification.

RLC-SAP: AM

Logical channel: DCCH

Direction: UTRAN→UE

| Information Element/Group name    | Need | Multi                      | Type and reference   | Semantics description  |
|-----------------------------------|------|----------------------------|--|--|
| Message Type                      | MP   |                            | Message Type   |  |
| <b>UE information elements</b>    |      |                            |  |  |
| RRC transaction identifier        | MP   |                            | RRC transaction identifier 10.3.3.36                         |  |
| Integrity check info              | CH   |                            | Integrity check info 10.3.3.16                               |  |
| Activation time                   | MD   |                            | Activation time 10.3.3.1                                     | Default value is "now"   |
| <b>RB information elements</b>    |      |                            |  |  |
| RAB information list              | OP   | 1 to <maxRABs etup>        |  | For each RAB to be handed over. In this version, the maximum size of the list of 1 shall be applied for all system types.  |
| >RAB info                         | MP   |                            | RAB info 10.3.4.8  |  |
| <b>Other information elements</b> |      |                            |  |  |
| CHOICE <i>System type</i>         | MP   |                            |  | This IE indicates which specification to apply, to decode the transported messages   |
| >GSM                              |      |                            |  |  |
| >>Frequency band                  | MP   |                            | Enumerated (GSM/DCS 1800 band used), GSM/PCS 1900 band used) |  |
| >>GSM message                     |      |                            |  |  |
| >>>Single GSM message             | MP   |                            | Bit string (no explicit size constraint)                     | Formatted and coded according to GSM specifications The first/leftmost/most significant bit of the bit string contains bit 8 of the first octet of the GSM message.  |
| >>>GSM message List               | MP   | 1.to.<maxInterSysMessages> | Bit string (1..512)  | Formatted and coded according to GSM specifications. The first/leftmost/most significant bit of the bit string contains bit 8 of the first octet of the GSM message. |



| Information Element/Group name | Need | Multi                      | Type and reference  | Semantics description  |
|--------------------------------|------|----------------------------|---------------------|--|
| >cdma2000                      |      |                            |                     |  |
| >>cdma2000MessageList          | MP   | 1.to.<maxInterSysMessages> |                     |  |
| >>>MSG_TYPE(s)                 | MP   |                            | Bit string (8)      | Formatted and coded according to cdma2000 specifications. The MSG_TYPE bits are numbered b0 to b7. The first/leftmost/most significant bit of the bit string contains bit 7 of the MSG_TYPE. |
| >>>cdma2000Messagepayload(s)   | MP   |                            | Bit string (1..512) | Formatted and coded according to cdma2000 specifications. The first/leftmost/most significant bit of the bit string contains the bit 7 of the first octet of the cdma2000 message.           |

## 10.2.16 HANDOVER FROM UTRAN FAILURE

This message is sent on the RRC connection used before the Inter-RAT Handover was executed. The message indicates that the UE has failed to seize the new channel in the other system.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name    | Need | Multi                      | Type and reference                      | Semantics description  |
|-----------------------------------|------|----------------------------|---|--|
| Message Type                      | MP   |                            | Message Type                            |  |
| <b>UE information elements</b>    |      |                            |   |  |
| RRC transaction identifier        | MP   |                            | RRC transaction identifier<br>10.3.3.36 |  |
| Integrity check info              | CH   |                            | Integrity check info<br>10.3.3.16       |  |
| <b>Other information elements</b> |      |                            |   |  |
| Inter-RAT handover failure        | OP   |                            | Inter-RAT handover failure<br>10.3.8.6  |  |
| CHOICE <i>System type</i>         | OP   |                            |   | This IE indicates which specification to apply to decode the transported messages  |
| >GSM                              |      |                            |   |  |
| >GSM message List                 | MP   | 1.to.<maxInterSysMessages> | Bit string (1..512)                     | Formatted and coded according to GSM specifications. The first/leftmost/most significant bit of the bit string contains bit 8 of the first octet of the GSM message. |
| >cdma2000                         |      |                            |   |  |
| >>cdma2000MessageList             | MP   | 1.to.<maxInterSysMessages> |   |  |

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description  |
|--------------------------------|------|-------|---------------------|--|
| >>>MSG_TYPE(s)                 | MP   |       | Bit string (8)      | Formatted and coded according to cdma2000 specifications. The MSG_TYPE bits are numbered b0 to b7. The first/leftmost/most significant bit of the bit string contains bit 7 of the MSG_TYPE. |
| >>>cdma2000Messagepayload(s)   | MP   |       | Bit string (1..512) | Formatted and coded according to cdma2000 specifications. The first/leftmost/most significant bit of the bit string contains bit 7 of the first octet of the cdma2000 message.               |

## 10.2.16a HANDOVER TO UTRAN COMMAND

This message is sent to the UE via other system to make a handover to UTRAN.

RLC-SAP: N/A (Sent through a different RAT)

Logical channel: N/A (Sent through a different RAT)

Direction: UTRAN → UE

| Information Element/Group name                                       | Need | Multi               | Type and reference  | Semantics description                        |
|--|------|---------------------|---|--|
| New U-RNTI   | MP   |                     | U-RNTI Short<br>10.3.3.48   |  |
| Ciphering algorithm  | OP   |                     | Ciphering algorithm<br>10.3.3.4   |  |
| CHOICE <i>specification mode</i>                                     | MP   |                     |   |  |
| >Complete specification  |      |                     |   |  |
| <b>RB information elements</b>                                       |      |                     |   |  |
| >>Signalling RB information to setup list                            | MP   | 1 to <maxSRBs etup> |   | For each signalling radio bearer established |
| >>>Signalling RB information to setup                                | MP   |                     | Signalling RB information to setup<br>10.3.4.24                                 |  |
| >>RAB information to setup list                                      | OP   | 1 to <maxRABs etup> |   | For each RAB established                     |
| >>>RAB information for setup   | MP   |                     | RAB information for setup<br>10.3.4.10  |  |
| <b>Uplink transport channels</b>                                     |      |                     |   |  |
| >>UL Transport channel information common for all transport channels | MP   |                     | UL Transport channel information common for all transport channels<br>10.3.5.24 |  |
| >>Added or Reconfigured TrCH information                             | MP   | 1 to <maxTrCH >     |   |  |
| >>>Added or Reconfigured UL  | MP   |                     | Added or  |  |

| Information Element/Group name                                       | Need | Multi          | Type and reference   | Semantics description   |
|--|------|----------------|--|---|
| TrCH information   |      |                | Reconfigured UL TrCH information<br>10.3.5.2                                   |   |
| <b>Downlink transport channels</b>                                   |      |                |  |   |
| >>DL Transport channel information common for all transport channels | MP   |                | DL Transport channel information common for all transport channels<br>10.3.5.6 |   |
| >>>Added or Reconfigured TrCH information                            | MP   | 1 to <maxTrCH> |  |   |
| >>>>Added or Reconfigured DL TrCH information                        | MP   |                | Added or Reconfigured DL TrCH information<br>10.3.5.1                          |   |
| <b>Uplink radio resources</b>  |      |                |  |   |
| >>Uplink DPCH info   | MP   |                | Uplink DPCH info<br>10.3.6.88  |   |
| >>>CHOICE <i>mode</i>  | MP   |                |  |   |
| >>>>FDD  |      |                |  |   |
| >>>>>CPCH SET Info   | OP   |                | CPCH SET Info<br>10.3.6.13   |   |
| <b>Downlink radio resources</b>                                      |      |                |  |   |
| >>>>>Downlink PDSCH information                                      | OP   |                | Downlink PDSCH information<br>10.3.6.30  |   |
| >>>>>TDD   |      |                |  | (no data)   |
| >>>>>>Downlink information common for all radio links                | MP   |                | Downlink information common for all radio links<br>10.3.6.24                   |   |
| >>>>>>>Downlink information per radio link                           | MP   | 1 to <maxRL>   |  |   |
| >>>>>>>>Downlink information for each radio link                     | MP   |                | Downlink information for each radio link<br>10.3.6.27                          |   |
| >Preconfiguration  |      |                |  |   |
| >>>CHOICE <i>Preconfiguration mode</i>                               | MP   |                |  |   |
| >>>>Predefined configuration   | MP   |                | Predefined configuration identity<br>10.3.4.5                                  |   |
| >>>>>Default configuration   |      |                |  |   |
| >>>>>>Default configuration mode                                     | MP   |                | Enumerated (FDD, TDD)  | Indicates whether the FDD or TDD version of the default configuration shall be used |
| >>>>>>>Default configuration identity                                | MP   |                | Default configuration identity<br>10.3.4.0                                     |   |
| >>>>>>>>RAB info   | OP   |                | RAB info Post<br>10.3.4.9  | One RAB is established  |

| Information Element/Group name                    | Need | Multi        | Type and reference   | Semantics description  |
|---|------|--------------|--|--|
| >>Uplink DPCH info                                | MP   |              | Uplink DPCH info Post 10.3.6.89                                |  |
| <b>Downlink radio resources</b>                   |      |              |  |  |
| >>Downlink information common for all radio links | MP   |              | Downlink information common for all radio links Post 10.3.6.25 |  |
| >>Downlink information per radio link             | MP   | 1 to <maxRL> |  | Send downlink information for each radio link to be set-up. In TDD MaxRL is 1. |
| >>>Downlink information for each radio link       | MP   |              | Downlink information for each radio link Post 10.3.6.28        |  |
| >>CHOICE <i>mode</i>                              | MP   |              |  |  |
| >>>FDD  |      |              |  | (no data)  |
| >>>TDD  |      |              |  |  |
| >>>>Primary CCPCH Tx Power                        | MP   |              | Primary CCPCH Tx Power 10.3.6.59                               |  |
| Frequency info                                    | MP   |              | Frequency info 10.3.6.36                                       |  |
| Maximum allowed UL TX power                       | MP   |              | Maximum allowed UL TX power 10.3.6.39                          |  |

## 10.2.16b HANDOVER TO UTRAN COMPLETE

This message is sent by the UE when a handover to UTRAN has been completed.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE → UTRAN

| Information Element/Group name | Need | Multi                | Type and reference          | Semantics description                    |
|--------------------------------|------|----------------------|-----------------------------|--|
| Message Type                   | MP   |                      | Message Type                |  |
| <b>UE Information elements</b> |      |                      |                             |  |
| START list                     | CH   | 1 to <maxCNdo mains> |                             | START [40] values for all CN domains.    |
| >CN domain identity            | MP   |                      | CN domain identity 10.3.1.1 |  |
| >START                         | MP   |                      | START 10.3.3.38             |  |
| <b>RB Information elements</b> |      |                      |                             |  |
| COUNT-C activation time        | OP   |                      | Activation time 10.3.3.1    | Used for radio bearers mapped on RLC-TM. |

## 10.2.16c INITIAL DIRECT TRANSFER

This message is used to initiate a signalling connection based on indication from the upper layers, and to transfer a NAS message.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE -> UTRAN

| Information Element/Group name          | Need | Multi | Type and reference                         | Semantics description   |
|---|------|-------|--|---|
| Message Type                            | MP   |       | Message Type                               |   |
| <b>UE information elements</b>          |      |       |  |   |
| Integrity check info                    | CH   |       | Integrity check info<br>10.3.3.16          |   |
| <b>CN information elements</b>          |      |       |  |   |
| CN domain identity                      | MP   |       | CN domain identity<br>10.3.1.1             |   |
| Intra Domain NAS Node Selector          | MP   |       | Intra Domain NAS Node Selector<br>10.3.1.6 |   |
| NAS message                             | MP   |       | NAS message<br>10.3.1.8                    |   |
| START                                   | OP   |       | START<br>10.3.3.38                         | START value to be used in the CN domain as indicated in the IE "CN domain identity". This IE shall always be present in this version of the protocol. |
| <b>Measurement information elements</b> |      |       |  |   |
| Measured results on RACH                | OP   |       | Measured results on RACH<br>10.3.7.45      |   |

## 10.2.16d INTER RAT HANDOVER INFO

This message is sent by the UE via another radio access technology to provide information to the target RNC when preparing for a handover to UTRAN.

RLC-SAP: N/A (Sent through a different RAT)

Logical channel: N/A (Sent through a different RAT)

Direction: UE → UTRAN

| Information Element/Group Name              | Need | Multi | Type and reference                                       | Semantics description |
|---|------|-------|--|-----------------------|
| <b>Radio Bearer IEs</b>                     |      |       |  |                       |
| Predefined configuration status information | OP   |       | Predefined configuration status information<br>10.3.4.5a |                       |
| <b>UE Information elements</b>              |      |       |  |                       |
| UE security information                     | OP   |       | UE security information<br>10.3.3.42b                    |                       |

| Information Element/Group Name                | Need | Multi | Type and reference                                     | Semantics description  |
|---|------|-------|--|--|
| >UE Specific Behaviour Information 1 interRAT | OP   |       | UE Specific Behaviour Information 1 interRAT 10.3.3.52 | This IE shall not be included in this version of the protocol                                |
| UE capability container                       | OP   |       |  |  |
| >UE radio access capability                   | MP   |       | UE radio access capability 10.3.3.42                   |  |
| >UE radio access capability extension         | MP   |       | UE radio access capability extension 10.3.3.42a        | Although this IE is not always required, the need has been set to MP to align with the ASN.1 |

## 10.2.17 MEASUREMENT CONTROL

This message is sent by UTRAN to setup, modify or release a measurement in the UE.

RLC-SAP: AM

Logical channel: DCCH

Direction: UTRAN→UE

| Information Element/Group name          | Need                  | Multi | Type and reference                    | Semantics description |
|---|-----------------------|-------|---------------------------------------|-----------------------|
| Message Type                            | MP                    |       | Message Type                          |                       |
| <b>UE information elements</b>          |                       |       |                                       |                       |
| RRC transaction identifier              | MP                    |       | RRC transaction identifier 10.3.3.36  |                       |
| Integrity check info                    | CH                    |       | Integrity check info 10.3.3.16        |                       |
| <b>Measurement Information elements</b> |                       |       |                                       |                       |
| Measurement Identity                    | MP                    |       | Measurement Identity 10.3.7.48        |                       |
| Measurement Command                     | MP                    |       | Measurement Command 10.3.7.46         |                       |
| Measurement Reporting Mode              | OP                    |       | Measurement Reporting Mode 10.3.7.49  |                       |
| Additional measurements list            | OP                    |       | Additional measurements list 10.3.7.1 |                       |
| CHOICE <i>Measurement type</i>          | CV-<br><i>command</i> |       |                                       |                       |
| >Intra-frequency measurement            |                       |       | Intra-frequency measurement 10.3.7.36 |                       |
| >Inter-frequency measurement            |                       |       | Inter-frequency measurement 10.3.7.16 |                       |

| Information Element/Group name               | Need | Multi | Type and reference                         | Semantics description |
|--|------|-------|--|-----------------------|
| >Inter-RAT measurement                       |      |       | Inter-RAT measurement 10.3.7.27            |                       |
| >UE positioning measurement                  |      |       | UE positioning measurement 10.3.7.100      |                       |
| >Traffic Volume measurement                  |      |       | Traffic Volume measurement 10.3.7.68       |                       |
| >Quality measurement                         |      |       | Quality measurement 10.3.7.56              |                       |
| >UE internal measurement                     |      |       | UE internal measurement 10.3.7.77          |                       |
| <b>Physical channel information elements</b> |      |       |  |                       |
| DPCH compressed mode status info             | OP   |       | DPCH compressed mode status info 10.3.6.34 |                       |

| Condition      | Explanation   |
|----------------|---|
| <i>Command</i> | The IE is mandatory present if the IE "Measurement command" is set to "Setup", optional if the IE "Measurement command" is set to "modify", otherwise the IE is not needed. |

## 10.2.18 MEASUREMENT CONTROL FAILURE

This message is sent by UE, if it cannot initiate a measurement as instructed by UTRAN.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name | Need | Multi | Type and reference                            | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| Message Type                   | MP   |       | Message Type                                  |                       |
| <b>UE information elements</b> |      |       |   |                       |
| RRC transaction identifier     | MP   |       | RRC transaction identifier 10.3.3.36          |                       |
| Integrity check info           | CH   |       | Integrity check info 10.3.3.16                |                       |
| Failure cause                  | MP   |       | Failure cause and error information 10.3.3.14 |                       |

## 10.2.19 MEASUREMENT REPORT

This message is used by UE to transfer measurement results to the UTRAN.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name          | Need | Multi                     | Type and reference                    | Semantics description | Version |
|---|------|---------------------------|---------------------------------------|-----------------------|---------|
| Message Type                            | MP   |                           | Message Type                          |                       |         |
| <b>UE information elements</b>          |      |                           |                                       |                       |         |
| Integrity check info                    | CH   |                           | Integrity check info<br>10.3.3.16     |                       |         |
| <b>Measurement Information Elements</b> |      |                           |                                       |                       |         |
| Measurement identity                    | MP   |                           | Measurement identity<br>10.3.7.48     |                       |         |
| Measured Results                        | OP   |                           | Measured Results<br>10.3.7.44         |                       |         |
| Measured Results on RACH                | OP   |                           | Measured Results on RACH<br>10.3.7.45 |                       |         |
| Additional Measured results             | OP   | 1 to <maxAdditional Meas> |                                       |                       |         |
| >Measured Results                       | MP   |                           | Measured Results<br>10.3.7.44         |                       |         |
| Event results                           | OP   |                           | Event results<br>10.3.7.7             |                       |         |
| GSM OTD reference cell                  | OP   |                           | Primary CPICH info<br>10.3.6.60       |                       | REL-4   |

## 10.2.20 PAGING TYPE 1

This message is used to send information on the paging channel. One or several UEs, in idle or connected mode, can be paged in one message, which also can contain other information.

RLC-SAP: TM

Logical channel: PCCH

Direction: UTRAN → UE

| Information Element/Group name | Need | Multi         | Type and reference | Semantics description |
|--------------------------------|------|---------------|--------------------|-----------------------|
| Message Type                   | MP   |               | Message Type       |                       |
| <b>UE Information elements</b> |      |               |                    |                       |
| Paging record list             | OP   | 1 to <maxPage |                    |                       |



|                                   |    |    |                                    |  |
|-----------------------------------|----|----|------------------------------------|--|
|                                   |    | 1> |                                    |  |
| >Paging record                    | MP |    | Paging record<br>10.3.3.23         |  |
| <b>Other information elements</b> |    |    |                                    |  |
| BCCH modification info            | OP |    | BCCH modification info<br>10.3.8.1 |  |

If the encoded message does not fill a transport block, the RRC layer shall add padding according to subclause 12.1.

## 10.2.21 PAGING TYPE 2

This message is used to page a UE in connected mode, when using the DCCH for CN originated paging.

RLC-SAP: AM

Logical channel: DCCH

Direction: UTRAN → UE

| Information Element/Group name | Need | Multi | Type and reference                         | Semantics description |
|--------------------------------|------|-------|--|-----------------------|
| Message Type                   | MP   |       | Message Type                               |                       |
| <b>UE information elements</b> |      |       |  |                       |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36    |                       |
| Integrity check info           | CH   |       | Integrity check info<br>10.3.3.16          |                       |
| Paging cause                   | MP   |       | Paging cause<br>10.3.3.22                  |                       |
| <b>CN Information elements</b> |      |       |  |                       |
| CN domain identity             | MP   |       | CN domain identity<br>10.3.1.1             |                       |
| Paging Record Type Identifier  | MP   |       | Paging Record Type Identifier<br>10.3.1.10 |                       |

## 10.2.22 PHYSICAL CHANNEL RECONFIGURATION

This message is used by UTRAN to assign, replace or release a set of physical channels used by a UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

| Information Element/Group name | Need | Multi | Type and reference                      | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| Message Type                   | MP   |       | Message Type                            |                       |
| <b>UE Information Elements</b> |      |       |   |                       |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36 |                       |

| Information Element/Group name             | Need | Multi                | Type and reference                           | Semantics description  |
|--|------|----------------------|--|--|
| Integrity check info                       | CH   |                      | Integrity check info 10.3.3.16               |  |
| Integrity protection mode info             | OP   |                      | Integrity protection mode info 10.3.3.19     | The UTRAN should not include this IE unless it is performing an SRNS relocation.                                     |
| Ciphering mode info                        | OP   |                      | Ciphering mode info 10.3.3.5                 | The UTRAN should not include this IE unless it is performing an SRNS relocation and a change in ciphering algorithm. |
| Activation time                            | MD   |                      | Activation time 10.3.3.1                     | Default value is "now"   |
| New U-RNTI                                 | OP   |                      | U-RNTI 10.3.3.47                             |  |
| New C-RNTI                                 | OP   |                      | C-RNTI 10.3.3.8                              |  |
| New DSCH-RNTI                              | OP   |                      | DSCH-RNTI 10.3.3.9a                          |  |
| RRC State Indicator                        | MP   |                      | RRC State Indicator 10.3.3.35a               |  |
| UTRAN DRX cycle length coefficient         | OP   |                      | UTRAN DRX cycle length coefficient 10.3.3.49 |  |
| <b>CN Information Elements</b>             |      |                      |  |  |
| CN Information info                        | OP   |                      | CN Information info 10.3.1.3                 |  |
| <b>UTRAN mobility information elements</b> |      |                      |  |  |
| URA identity                               | OP   |                      | URA identity 10.3.2.6                        |  |
| <b>RB information elements</b>             |      |                      |  |  |
| Downlink counter synchronisation info      | OP   |                      |  |  |
| >RB with PDCP information list             | OP   | 1 to <maxRBall RABs> |  | This IE is needed for each RB having PDCP in the case of lossless SRNS relocation                                    |
| >>RB with PDCP information                 | MP   |                      | RB with PDCP information 10.3.4.22           |  |
| <b>PhyCH information elements</b>          |      |                      |  |  |
| Frequency info                             | OP   |                      | Frequency info 10.3.6.36                     |  |
| <b>Uplink radio resources</b>              |      |                      |  |  |
| Maximum allowed UL TX power                | MD   |                      | Maximum allowed UL TX power 10.3.6.39        | Default value is the existing value of the maximum allowed UL TX power   |
| <i>CHOICE channel requirement</i>          |      |                      |  |  |
| >Uplink DPCH info                          |      |                      | Uplink DPCH info 10.3.6.88                   |  |
| >CPCH SET Info                             |      |                      | CPCH SET Info 10.3.6.13                      |  |
| >CPCH set ID                               |      |                      | CPCH set ID 10.3.5.3                         |  |
| <b>Downlink radio resources</b>            |      |                      |  |  |
| <i>CHOICE mode</i>                         | MP   |                      |  |  |

| Information Element/Group name                  | Need | Multi        | Type and reference   | Semantics description                         |
|---|------|--------------|--|---|
| >FDD  |      |              |  |   |
| >>Downlink PDSCH information                    | OP   |              | Downlink PDSCH information<br>10.3.6.30                      |   |
| >TDD  |      |              |  | (no data)                                     |
| Downlink information common for all radio links | OP   |              | Downlink information common for all radio links<br>10.3.6.24 |   |
| Downlink information per radio link list        | OP   | 1 to <maxRL> |  | Send downlink information for each radio link |
| >Downlink information for each radio link       | MP   |              | Downlink information for each radio link<br>10.3.6.27        |   |

### 10.2.23 PHYSICAL CHANNEL RECONFIGURATION COMPLETE

This message is sent from the UE when a physical channel reconfiguration has been done.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE → UTRAN

| Information Element/Group name                     | Need | Multi | Type and reference                                | Semantics description                    | Version |
|--|------|-------|---|--|---------|
| Message Type                                       | MP   |       | Message Type                                      |  |         |
| <b>UE information elements</b>                     |      |       |   |  |         |
| RRC transaction identifier                         | MP   |       | RRC transaction identifier<br>10.3.3.36           |  |         |
| Integrity check info                               | CH   |       | Integrity check info<br>10.3.3.16                 |  |         |
| Uplink integrity protection activation info        | OP   |       | Integrity protection activation info<br>10.3.3.17 |  |         |
| CHOICE <i>mode</i>                                 | MP   |       |   |  |         |
| >FDD   |      |       |   | (no data)                                |         |
| >TDD   |      |       |   |  |         |
| >>CHOICE <i>TDD option</i>                         | MP   |       |   |  | REL-4   |
| >>>3.84 Mcps TDD                                   | MP   |       |   |  | REL-4   |
| >>>>Uplink Timing Advance                          | OP   |       | Uplink Timing Advance<br>10.3.6.95                |  |         |
| >>>1.28 Mcps TDD                                   |      |       |   | (no data)                                | REL-4   |
| <b>RB Information elements</b>                     |      |       |   |  |         |
| COUNT-C activation time                            | OP   |       | Activation time<br>10.3.3.1                       | Used for radio bearers mapped on RLC-TM. |         |
| Radio bearer uplink ciphering activation time info | OP   |       | RB activation time info                           |  |         |

| Information Element/Group name      | Need | Multi                | Type and reference                 | Semantics description                     | Version |
|-------------------------------------|------|----------------------|------------------------------------|---|---------|
|                                     |      |                      | 10.3.4.13                          |   |         |
| Uplink counter synchronisation info | OP   |                      |                                    |   |         |
| >RB with PDCP information list      | OP   | 1 to <maxRBall RABs> |                                    |   |         |
| >>RB with PDCP information          | MP   |                      | RB with PDCP information 10.3.4.22 |   |         |
| >START list                         | MP   | 1 to <maxCNdo mains> |                                    | START [40] values for all CN domains.     |         |
| >>CN domain identity                | MP   |                      | CN domain identity 10.3.1.1        |   |         |
| >>START                             | MP   |                      | START 10.3.3.38                    | START value to be used in this CN domain. |         |

## 10.2.24 PHYSICAL CHANNEL RECONFIGURATION FAILURE

This message is sent by UE if the configuration given by UTRAN is unacceptable or if the UE failed to assign, replace or release a set of physical channel(s).

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name | Need | Multi | Type and reference                            | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| Message type                   | MP   |       | Message type                                  |                       |
| <b>UE information elements</b> |      |       |   |                       |
| RRC transaction identifier     | OP   |       | RRC transaction identifier 10.3.3.36          |                       |
| Integrity check info           | CH   |       | Integrity check info 10.3.3.16                |                       |
| Failure cause                  | MP   |       | Failure cause and error information 10.3.3.14 |                       |

## 10.2.25 PHYSICAL SHARED CHANNEL ALLOCATION

NOTE: Only for TDD.

This message is used by UTRAN to assign physical resources to USCH/DSCH transport channels in TDD, for temporary usage by the UE.

RLC-SAP: UM on SHCCH, UM on DCCH

Logical channel: SHCCH or DCCH

Direction: UTRAN → UE

| Information Element/Group name | Need | Multi      | Type and reference                                    | Semantics description  |
|--------------------------------|------|------------|---|--|
| Message Type                   | MP   |            | Message type  |  |
| DSCH-RNTI                      | OP   |            | DSCH-RNTI<br>10.3.3.9a                                |  |
| RRC transaction identifier     | MP   |            | RRC transaction identifier<br>10.3.3.36               |  |
| Uplink timing advance Control  | MD   |            | Uplink Timing Advance Control<br>10.3.6.96            | Default value is the existing value for uplink timing advance  |
| PUSCH capacity allocation info | OP   |            | PUSCH Capacity Allocation info<br>10.3.6.64           |  |
| PDSCH capacity allocation info | OP   |            | PDSCH Capacity Allocation info<br>10.3.6.42           |  |
| Confirm request                | MD   |            | Enumerated( No Confirm, Confirm PDSCH, Confirm PUSCH) | Default value is No Confirm  |
| Traffic volume report request  | OP   |            | Integer (0 .. 255)                                    | Indicates the number of frames between start of the allocation period and sending measurement report. The value should be less than the value for Allocation Duration. |
| ISCP Timeslot list             | OP   | 1 to maxTS |   |  |
| >Timeslot number               | MP   |            | Timeslot number<br>10.3.6.84                          | Timeslot numbers, for which the UE shall report the timeslot ISCP in PUSCH CAPACITY REQUEST message.   |
| Request P-CCPCH RSCP           | MP   |            | Boolean   | TRUE indicates that a Primary CCPCH RSCP measurement shall be reported by the UE in PUSCH CAPACITY REQUEST message.  |

## 10.2.26 PUSCH CAPACITY REQUEST

NOTE: Only for TDD.

This message is used by the UE for request of PUSCH resources to the UTRAN.

RLC-SAP: TM

Logical channel: SHCCH

Direction: UE → UTRAN

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Message Type                   | MP   |       | Message Type       |                       |
| DSCH-RNTI                      | OP   |       | DSCH-RNTI          |                       |

| Information Element/Group name | Need       | Multi      | Type and reference                                 | Semantics description  |
|--------------------------------|------------|------------|--|------------------------|
|                                |            |            | 10.3.3.9a  |                        |
| RRC transaction identifier     | CV-ProtErr |            | RRC transaction identifier<br>10.3.3.36            |                        |
| Traffic Volume                 | OP         |            | Traffic Volume, measured results list<br>10.3.7.67 |                        |
| Timeslot list                  | OP         | 1 to maxTS |  |                        |
| >Timeslot number               | MP         |            | Timeslot number<br>10.3.6.84                       |                        |
| >Timeslot ISCP                 | MP         |            | Timeslot ISCP info<br>10.3.7.65                    |                        |
| Primary CCPCH RSCP             | OP         |            | Primary CCPCH RSCP info<br>10.3.7.54               |                        |
| CHOICE Allocation confirmation | OP         |            |  |                        |
| >PDSCH Confirmation            |            |            | Integer(1..hi PDSCHidentities)                     |                        |
| >PUSCH Confirmation            |            |            | Integer(1..hi PUSCHidentities)                     |                        |
| Protocol error indicator       | MD         |            | Protocol error indicator<br>10.3.3.27              | Default value is FALSE |
| Protocol error information     | CV-ProtErr |            | Protocol error information<br>10.3.8.12            |                        |

| Condition      | Explanation   |
|----------------|---|
| <i>ProtErr</i> | This IE is mandatory present if the IE "Protocol error indicator" has the value "TRUE". Otherwise it is not needed. |

## 10.2.27 RADIO BEARER RECONFIGURATION

This message is sent from UTRAN to reconfigure parameters related to a change of QoS. This procedure can also change the multiplexing of MAC, reconfigure transport channels and physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

| Information Element/Group name | Need | Multi | Type and reference         | Semantics description | Version |
|--------------------------------|------|-------|----------------------------|-----------------------|---------|
| Message Type                   | MP   |       | Message Type               |                       |         |
| <b>UE Information elements</b> |      |       |                            |                       |         |
| RRC transaction identifier     | MP   |       | RRC transaction identifier |                       |         |

| Information Element/Group name             | Need | Multi                   | Type and reference                              | Semantics description   | Version |
|--|------|-------------------------|---|---|---------|
|  |      |                         | 10.3.3.36                                       |   |         |
| Integrity check info                       | CH   |                         | Integrity check info<br>10.3.3.16               |   |         |
| Integrity protection mode info             | OP   |                         | Integrity protection mode info<br>10.3.3.19     | The UTRAN should not include this IE unless it is performing an SRNS relocation                                     |         |
| Ciphering mode info                        | OP   |                         | Ciphering mode info<br>10.3.3.5                 | The UTRAN should not include this IE unless it is performing an SRNS relocation and a change in ciphering algorithm |         |
| Activation time                            | MD   |                         | Activation time 10.3.3.1                        | Default value is "now"  |         |
| New U-RNTI                                 | OP   |                         | U-RNTI<br>10.3.3.47                             |   |         |
| New C-RNTI                                 | OP   |                         | C-RNTI<br>10.3.3.8                              |   |         |
| New DSCH-RNTI                              | OP   |                         | DSCH-RNTI<br>10.3.3.9a                          |   |         |
| RRC State Indicator                        | MP   |                         | RRC State Indicator<br>10.3.3.35a               |   |         |
| UTRAN DRX cycle length coefficient         | OP   |                         | UTRAN DRX cycle length coefficient<br>10.3.3.49 |   |         |
| <b>CN information elements</b>             |      |                         |   |   |         |
| CN Information info                        | OP   |                         | CN Information info<br>10.3.1.3                 |   |         |
| <b>UTRAN mobility information elements</b> |      |                         |   |   |         |
| URA identity                               | OP   |                         | URA identity<br>10.3.2.6                        |   |         |
| <b>RB information elements</b>             |      |                         |   |   |         |
| RAB information to reconfigure list        | OP   | 1 to <maxRABse<br>tup > |   |   |         |
| >RAB information to reconfigure            | MP   |                         | RAB information to reconfigure<br>10.3.4.11     |   |         |
| RB information to reconfigure list         | MP   | 1to <maxRB>             |   | Although this IE is not always required, need is MP to align with ASN.1   |         |
|  | OP   |                         |   |   | REL-4   |
| >RB information to reconfigure             | MP   |                         | RB information to reconfigure<br>10.3.4.18      |   |         |
| RB information to be affected list         | OP   | 1 to <maxRB>            |   |   |         |
| >RB information to be affected             | MP   |                         | RB information to be                            |   |         |

| Information Element/Group name                                     | Need | Multi                 | Type and reference  | Semantics description | Version |
|--|------|-----------------------|---|-----------------------|---------|
|  |      |                       | affected<br>10.3.4.17   |                       |         |
| <b>TrCH Information Elements</b>                                   |      |                       |   |                       |         |
| <b>Uplink transport channels</b>                                   |      |                       |   |                       |         |
| UL Transport channel information common for all transport channels | OP   |                       | UL Transport channel information common for all transport channels<br>10.3.5.24 |                       |         |
| Deleted TrCH information list                                      | OP   | 1 to<br><maxTrCH<br>> |   |                       |         |
| >Deleted UL TrCH information                                       | MP   |                       | Deleted UL TrCH information<br>10.3.5.5   |                       |         |
| Added or Reconfigured TrCH information list                        | OP   | 1 to<br><maxTrCH<br>> |   |                       |         |
| >Added or Reconfigured UL TrCH information                         | MP   |                       | Added or Reconfigured UL TrCH information<br>10.3.5.2                           |                       |         |
| <b>CHOICE mode</b>   | OP   |                       |   |                       |         |
| >FDD   |      |                       |   |                       |         |
| >>CPCH set ID  | OP   |                       | CPCH set ID<br>10.3.5.3   |                       |         |
| >>>Added or Reconfigured TrCH information for DRAC list            | OP   | 1 to<br><maxTrCH<br>> |   |                       |         |
| >>>>DRAC static information  | MP   |                       | DRAC static information<br>10.3.5.7   |                       |         |
| >TDD   |      |                       |   | (no data)             |         |
| <b>Downlink transport channels</b>                                 |      |                       |   |                       |         |
| DL Transport channel information common for all transport channels | OP   |                       | DL Transport channel information common for all transport channels<br>10.3.5.6  |                       |         |
| Deleted TrCH information list                                      | OP   | 1 to<br><maxTrCH<br>> |   |                       |         |
| >Deleted DL TrCH information                                       | MP   |                       | Deleted DL TrCH information<br>10.3.5.4   |                       |         |
| Added or Reconfigured TrCH information list                        | OP   | 1 to<br><maxTrCH<br>> |   |                       |         |
| >Added or Reconfigured DL TrCH information                         | MP   |                       | Added or Reconfigured DL TrCH information<br>10.3.5.1                           |                       |         |
| <b>PhyCH information elements</b>                                  |      |                       |   |                       |         |
| Frequency info   | OP   |                       | Frequency info<br>10.3.6.36   |                       |         |
| <b>Uplink radio resources</b>                                      |      |                       |   |                       |         |



| Information Element/Group name                  | Need | Multi        | Type and reference   | Semantics description   | Version |
|---|------|--------------|--|---|---------|
| Maximum allowed UL TX power                     | MD   |              | Maximum allowed UL TX power<br>10.3.6.39                     | Default value is the existing maximum UL TX power                       |         |
| <b>CHOICE <i>channel requirement</i></b>        | OP   |              |  |   |         |
| >Uplink DPCH info                               |      |              | Uplink DPCH info<br>10.3.6.88                                |   |         |
| >CPCH SET Info                                  |      |              | CPCH SET Info<br>10.3.6.13                                   |   |         |
| <b>Downlink radio resources</b>                 |      |              |  |   |         |
| <b>CHOICE <i>mode</i></b>                       | MP   |              |  |   |         |
| >FDD  |      |              |  |   |         |
| >>Downlink PDSCH information                    | OP   |              | Downlink PDSCH information<br>10.3.6.30                      |   |         |
| >TDD  |      |              |  | (no data)   |         |
| Downlink information common for all radio links | OP   |              | Downlink information common for all radio links<br>10.3.6.24 |   |         |
| Downlink information per radio link list        | MP   | 1 to <maxRL> |  | Although this IE is not always required, need is MP to align with ASN.1 |         |
|   | OP   |              |  |   | REL-4   |
| >Downlink information for each radio link       | MP   |              | Downlink information for each radio link<br>10.3.6.27        |   |         |

## 10.2.28 RADIO BEARER RECONFIGURATION COMPLETE

This message is sent from the UE when a RB and signalling link reconfiguration has been done.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE → UTRAN

| Information Element/Group name              | Need | Multi | Type and reference                                | Semantics description | Version |
|---|------|-------|---|-----------------------|---------|
| Message Type                                | MP   |       | Message Type                                      |                       |         |
| <b>UE information elements</b>              |      |       |   |                       |         |
| RRC transaction identifier                  | MP   |       | RRC transaction identifier<br>10.3.3.36           |                       |         |
| Integrity check info                        | CH   |       | Integrity check info<br>10.3.3.16                 |                       |         |
| Uplink integrity protection activation info | OP   |       | Integrity protection activation info<br>10.3.3.17 |                       |         |

| Information Element/Group name                     | Need | Multi                | Type and reference                    | Semantics description                     | Version |
|--|------|----------------------|---------------------------------------|---|---------|
| CHOICE <i>mode</i>                                 | MP   |                      |                                       |   |         |
| >FDD   |      |                      |                                       | (no data)                                 |         |
| >TDD   |      |                      |                                       |   |         |
| >>CHOICE <i>TDD option</i>                         | MP   |                      |                                       |   | REL-4   |
| >>>3.84 Mcps TDD                                   |      |                      |                                       |   | REL-4   |
| >>>>Uplink Timing Advance                          | OP   |                      | Uplink Timing Advance<br>10.3.6.95    |   |         |
| >>>1.28 Mcps TDD                                   |      |                      |                                       | (no data)                                 | REL-4   |
| <b>RB Information elements</b>                     |      |                      |                                       |   |         |
| COUNT-C activation time                            | OP   |                      | Activation time<br>10.3.3.1           | Used for radio bearers mapped on RLC-TM.  |         |
| Radio bearer uplink ciphering activation time info | OP   |                      | RB activation time info<br>10.3.4.13  |   |         |
| Uplink counter synchronisation info                | OP   |                      |                                       |   |         |
| >RB with PDCP information list                     | OP   | 1 to <maxRBall RABs> |                                       |   |         |
| >>RB with PDCP information                         | MP   |                      | RB with PDCP information<br>10.3.4.22 |   |         |
| >START list  | MP   | 1 to <maxCNdo mains> |                                       | START [40] values for all CN domains.     |         |
| >>CN domain identity                               | MP   |                      | CN domain identity<br>10.3.1.1        |   |         |
| >>START  | MP   |                      | START<br>10.3.3.38                    | START value to be used in this CN domain. |         |

## 10.2.29 RADIO BEARER RECONFIGURATION FAILURE

This message is sent by UE if the configuration given by UTRAN is unacceptable or if the UE failed to establish the physical channel(s).

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name                                    | Need | Multi        | Type and reference                               | Semantics description |
|---|------|--------------|--|-----------------------|
| Message Type  | MP   |              | Message Type                                     |                       |
| <b>UE information elements</b>                                    |      |              |  |                       |
| RRC transaction identifier  | MP   |              | RRC transaction identifier<br>10.3.3.36          |                       |
| Integrity check info  | CH   |              | Integrity check info<br>10.3.3.16                |                       |
| Failure cause   | MP   |              | Failure cause and error information<br>10.3.3.14 |                       |
| <b>RB information elements</b>                                    |      |              |  |                       |
| Radio bearers for which reconfiguration would have succeeded List | OP   | 1 to <maxRB> |  |                       |
| >Radio bearer for which reconfiguration would have succeeded      | MP   |              | RB identity,<br>10.3.4.16                        |                       |

### 10.2.30 RADIO BEARER RELEASE

This message is used by UTRAN to release a radio bearer. It can also include modifications to the configurations of transport channels and/or physical channels. It can simultaneously indicate release of a signalling connection when UE is connected to more than one CN domain.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

| Information Element/Group name | Need | Multi | Type and reference                          | Semantics description  |
|--------------------------------|------|-------|---|--|
| Message Type                   | MP   |       | Message Type                                |  |
| <b>UE Information Elements</b> |      |       |   |  |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36     |  |
| Integrity check info           | CH   |       | Integrity check info<br>10.3.3.16           |  |
| Integrity protection mode info | OP   |       | Integrity protection mode info<br>10.3.3.19 | The UTRAN should not include this IE unless it is performing an SRNS relocation.                                     |
| Ciphering mode info            | OP   |       | Ciphering mode info<br>10.3.3.5             | The UTRAN should not include this IE unless it is performing an SRNS relocation and a change in ciphering algorithm. |
| Activation time                | MD   |       | Activation time<br>10.3.3.1                 | Default value is "now"   |
| New U-RNTI                     | OP   |       | U-RNTI<br>10.3.3.47                         |  |
| New C-RNTI                     | OP   |       | C-RNTI<br>10.3.3.8                          |  |
| New DSCH-RNTI                  | OP   |       | DSCH-RNTI                                   |  |

| Information Element/Group name                                     | Need | Multi                | Type and reference  | Semantics description   |
|--|------|----------------------|---|---|
|  |      |                      | 10.3.3.9a   |   |
| RRC State Indicator  | MP   |                      | RRC State Indicator<br>10.3.3.35a   |   |
| UTRAN DRX cycle length coefficient                                 | OP   |                      | UTRAN DRX cycle length coefficient<br>10.3.3.49                                 |   |
| <b>CN Information Elements</b>                                     |      |                      |   |   |
| CN Information info  | OP   |                      | CN Information info<br>10.3.1.3   |   |
| Signalling Connection release indication                           | OP   |                      | CN domain identity<br>10.3.1.1  |   |
| <b>UTRAN mobility information elements</b>                         |      |                      |   |   |
| URA identity   | OP   |                      | URA identity<br>10.3.2.6  |   |
| <b>RB Information Elements</b>                                     |      |                      |   |   |
| RAB information to reconfigure list                                | OP   | 1 to <maxRABsetup >  |   |   |
| >RAB information to reconfigure                                    | MP   |                      | RAB information to reconfigure<br>10.3.4.11                                     |   |
| RB information to release list                                     | MP   | 1 to <maxRB>         |   |   |
| >RB information to release   | MP   |                      | RB information to release<br>10.3.4.19  |   |
| RB information to be affected list                                 | OP   | 1 to <maxRB>         |   |   |
| >RB information to be affected                                     | MP   |                      | RB information to be affected<br>10.3.4.17                                      |   |
| Downlink counter synchronisation info                              | OP   |                      |   |   |
| >RB with PDCP information list                                     | OP   | 1 to <maxRBall RABs> |   | This IE is needed for each RB having PDCP in the case of lossless SRNS relocation |
| >>RB with PDCP information   | MP   |                      | RB with PDCP information<br>10.3.4.22   |   |
| <b>TrCH Information Elements</b>                                   |      |                      |   |   |
| <b>Uplink transport channels</b>                                   |      |                      |   |   |
| UL Transport channel information common for all transport channels | OP   |                      | UL Transport channel information common for all transport channels<br>10.3.5.24 |   |
| Deleted TrCH information list                                      | OP   | 1 to <maxTrCH >      |   |   |
| >Deleted UL TrCH information                                       | MP   |                      | Deleted UL TrCH information   |   |

| Information Element/Group name                                     | Need | Multi           | Type and reference  | Semantics description                             |
|--|------|-----------------|---|---|
|  |      |                 | 10.3.5.5  |   |
| Added or Reconfigured TrCH information list                        | OP   | 1 to <maxTrCH > |   |   |
| >Added or Reconfigured UL TrCH information                         | MP   |                 | Added or Reconfigured UL TrCH information 10.3.5.2                          |   |
| CHOICE <i>mode</i>   | OP   |                 |   |   |
| >FDD   |      |                 |   |   |
| >>CPCH set ID  | OP   |                 | CPCH set ID 10.3.5.3  |   |
| >>>Added or Reconfigured TrCH information for DRAC list            | OP   | 1 to <maxTrCH > |   |   |
| >>>DRAC static information   | MP   |                 | DRAC static information 10.3.5.7  |   |
| >TDD   |      |                 |   | (no data)   |
| <b>Downlink transport channels</b>                                 |      |                 |   |   |
| DL Transport channel information common for all transport channels | OP   |                 | DL Transport channel information common for all transport channels 10.3.5.6 |   |
| Deleted TrCH information list                                      | OP   | 1 to <maxTrCH > |   |   |
| >Deleted DL TrCH information                                       | MP   |                 | Deleted DL TrCH information 10.3.5.4  |   |
| Added or Reconfigured TrCH information list                        | OP   | 1 to <maxTrCH > |   |   |
| >Added or Reconfigured DL TrCH information                         | MP   |                 | Added or Reconfigured DL TrCH information 10.3.5.1                          |   |
| <b>PhyCH information elements</b>                                  |      |                 |   |   |
| Frequency info   | OP   |                 | Frequency info 10.3.6.36  |   |
| <b>Uplink radio resources</b>                                      |      |                 |   |   |
| Maximum allowed UL TX power  | MD   |                 | Maximum allowed UL TX power 10.3.6.39                                       | Default value is the existing maximum UL TX power |
| CHOICE <i>channel requirement</i>                                  | OP   |                 |   |   |
| >Uplink DPCH info  |      |                 | Uplink DPCH info 10.3.6.88  |   |
| >CPCH SET Info   |      |                 | CPCH SET Info 10.3.6.13   |   |
| <b>Downlink radio resources</b>                                    |      |                 |   |   |
| CHOICE <i>mode</i>   | MP   |                 |   |   |
| >FDD   |      |                 |   |   |
| >>>Downlink PDSCH information                                      | OP   |                 | Downlink PDSCH information  |   |

| Information Element/Group name                  | Need | Multi        | Type and reference  | Semantics description                                      |
|---|------|--------------|---|--|
|   |      |              | 10.3.6.30   |  |
| >TDD  |      |              |   | (no data)  |
| Downlink information common for all radio links | OP   |              | Downlink information common for all radio links 10.3.6.24 |  |
| Downlink information per radio link list        | OP   | 1 to <maxRL> |   | Send downlink information for each radio link to be set-up |
| >Downlink information for each radio link       | MP   |              | Downlink information for each radio link 10.3.6.27        |  |

### 10.2.31 RADIO BEARER RELEASE COMPLETE

This message is sent from the UE when radio bearer release has been completed.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE → UTRAN

| Information Element/Group name              | Need | Multi | Type and reference                             | Semantics description  | Version |
|---|------|-------|--|--|---------|
| Message Type                                | MP   |       | Message Type                                   |  |         |
| <b>UE information elements</b>              |      |       |  |  |         |
| RRC transaction identifier                  | MP   |       | RRC transaction identifier 10.3.3.36           |  |         |
| Integrity check info                        | CH   |       | Integrity check info 10.3.3.16                 | Integrity check info is included if integrity protection is applied  |         |
| Uplink integrity protection activation info | OP   |       | Integrity protection activation info 10.3.3.17 |  |         |
| CHOICE <i>mode</i>                          | MP   |       |  |  |         |
| >FDD  |      |       |  | (no data)  |         |
| >TDD  |      |       |  |  |         |
| >>CHOICE <i>TDD option</i>                  | MP   |       |  |  | REL-4   |
| >>>3.84 Mcps TDD                            |      |       |  |  | REL-4   |
| >>>>Uplink Timing Advance                   | OP   |       | Uplink Timing Advance 10.3.6.95                | This information element shall be present in case of handover procedure if timing advance is enabled. Calculated timing advance value for the new cell after handover in a synchronous TDD network |         |
| >>>1.28 Mcps TDD                            |      |       |  | (no data)  | REL-4   |
| <b>RB Information elements</b>              |      |       |  |  |         |

| Information Element/Group name                     | Need | Multi                | Type and reference                    | Semantics description   | Version |
|--|------|----------------------|---------------------------------------|---|---------|
| COUNT-C activation time                            | OP   |                      | Activation time<br>10.3.3.1           | Used for radio bearers mapped on RLC-TM.  |         |
| Radio bearer uplink ciphering activation time info | OP   |                      | RB activation time info<br>10.3.4.13  |   |         |
| Uplink counter synchronisation info                | OP   |                      |                                       |   |         |
| >RB with PDCP information list                     | OP   | 1 to <maxRBall RABs> |                                       | This IE is needed for each RB having PDCP in the case of lossless SRNS relocation |         |
| >>RB with PDCP information                         | MP   |                      | RB with PDCP information<br>10.3.4.22 |   |         |
| >START list  | MP   | 1 to <maxCNdo mains> |                                       | START [40] values for all CN domains.   |         |
| >>CN domain identity                               | MP   |                      | CN domain identity<br>10.3.1.1        |   |         |
| >>>START   | MP   |                      | START<br>10.3.3.38                    | START value to be used in this CN domain.   |         |

## 10.2.32 RADIO BEARER RELEASE FAILURE

This message is sent by UE if the configuration given by UTRAN is unacceptable or if radio bearer cannot be released.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name                                    | Need | Multi        | Type and reference                               | Semantics description |
|---|------|--------------|--|-----------------------|
| Message Type  | MP   |              | Message Type                                     |                       |
| <b>UE information elements</b>                                    |      |              |  |                       |
| RRC transaction identifier  | MP   |              | RRC transaction identifier<br>10.3.3.36          |                       |
| Integrity check info  | CH   |              | Integrity check info<br>10.3.3.16                |                       |
| Failure cause   | MP   |              | Failure cause and error information<br>10.3.3.14 |                       |
| <b>RB information elements</b>                                    |      |              |  |                       |
| Radio bearers for which reconfiguration would have succeeded      | OP   | 1 to <maxRB> |  |                       |
| >Radio bearer for which reconfiguration would have been succeeded | MP   |              | RB identity,<br>10.3.4.16                        |                       |

### 10.2.33 RADIO BEARER SETUP

This message is sent by UTRAN to the UE to establish new radio bearer(s). It can also include modifications to the configurations of transport channels and/or physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

| Information Element/Group name | Need | Multi | Type and reference                          | Semantics description  |
|--------------------------------|------|-------|---|--|
| Message Type                   | MP   |       | Message Type                                |  |
| <b>UE Information Elements</b> |      |       |   |  |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36     |  |
| Integrity check info           | CH   |       | Integrity check info<br>10.3.3.16           |  |
| Integrity protection mode info | OP   |       | Integrity protection mode info<br>10.3.3.19 | The UTRAN should not include this IE unless it is performing an SRNS relocation                                      |
| Ciphering mode info            | OP   |       | Ciphering mode info<br>10.3.3.5             | The UTRAN should not include this IE unless it is performing an SRNS relocation and a change in ciphering algorithm. |
| Activation time                | MD   |       | Activation time<br>10.3.3.1                 | Default value is "now"   |
| New U-RNTI                     | OP   |       | U-RNTI<br>10.3.3.47                         |  |
| New C-RNTI                     | OP   |       | C-RNTI<br>10.3.3.8                          |  |
| New DSCH-RNTI                  | OP   |       | DSCH-RNTI<br>10.3.3.9a                      |  |



| Information Element/Group name                                     | Need | Multi                | Type and reference  | Semantics description   |
|--|------|----------------------|---|---|
| RRC State Indicator  | MP   |                      | RRC State Indicator<br>10.3.3.35a   |   |
| UTRAN DRX cycle length coefficient                                 | OP   |                      | UTRAN DRX cycle length coefficient<br>10.3.3.49                                 |   |
| <b>CN Information Elements</b>                                     |      |                      |   |   |
| CN Information info  | OP   |                      | CN Information info<br>10.3.1.3   |   |
| <b>UTRAN mobility information elements</b>                         |      |                      |   |   |
| URA identity   | OP   |                      | URA identity<br>10.3.2.6  |   |
| <b>RB Information Elements</b>                                     |      |                      |   |   |
| Signalling RB information to setup list                            | OP   | 1 to <maxSRBs etup>  |   | For each signalling radio bearer established                                      |
| >Signalling RB information to setup                                | MP   |                      | Signalling RB information to setup<br>10.3.4.24                                 |   |
| RAB information to setup list                                      | OP   | 1 to <maxRABs etup>  |   | For each RAB established  |
| >RAB information for setup   | MP   |                      | RAB information for setup<br>10.3.4.10  |   |
| RB information to be affected list                                 | OP   | 1 to <maxRB>         |   |   |
| >RB information to be affected                                     | MP   |                      | RB information to be affected<br>10.3.4.17                                      |   |
| Downlink counter synchronisation info                              | OP   |                      |   |   |
| >RB with PDCP information list                                     | OP   | 1 to <maxRBall RABs> |   | This IE is needed for each RB having PDCP in the case of lossless SRNS relocation |
| >>RB with PDCP information   | MP   |                      | RB with PDCP information<br>10.3.4.22   |   |
| <b>TrCH Information Elements</b>                                   |      |                      |   |   |
| <b>Uplink transport channels</b>                                   |      |                      |   |   |
| UL Transport channel information common for all transport channels | OP   |                      | UL Transport channel information common for all transport channels<br>10.3.5.24 |   |
| Deleted TrCH information list                                      | OP   | 1 to <maxTrCH >      |   |   |
| >Deleted UL TrCH information                                       | MP   |                      | Deleted UL TrCH information<br>10.3.5.5   |   |
| Added or Reconfigured TrCH information list                        | OP   | 1 to <maxTrCH        |   |   |

| Information Element/Group name                                     | Need | Multi           | Type and reference  | Semantics description                             |
|--|------|-----------------|---|---|
|  |      | >               |   |   |
| >Added or Reconfigured UL TrCH information                         | MP   |                 | Added or Reconfigured UL TrCH information 10.3.5.2                          |   |
| CHOICE <i>mode</i>   | OP   |                 |   |   |
| >FDD   |      |                 |   |   |
| >>CPCH set ID  | OP   |                 | CPCH set ID 10.3.5.3  |   |
| >>>Added or Reconfigured TrCH information for DRAC list            | OP   | 1 to <maxTrCH > |   |   |
| >>>DRAC static information   | MP   |                 | DRAC static information 10.3.5.7  |   |
| >TDD   |      |                 |   | (no data)   |
| <b>Downlink transport channels</b>                                 |      |                 |   |   |
| DL Transport channel information common for all transport channels | OP   |                 | DL Transport channel information common for all transport channels 10.3.5.6 |   |
| Deleted TrCH information list                                      | OP   | 1 to <maxTrCH > |   |   |
| >Deleted DL TrCH information                                       | MP   |                 | Deleted DL TrCH information 10.3.5.4  |   |
| Added or Reconfigured TrCH information list                        | OP   | 1 to <maxTrCH > |   |   |
| >Added or Reconfigured DL TrCH information                         | MP   |                 | Added or Reconfigured DL TrCH information 10.3.5.1                          |   |
| <b>PhyCH information elements</b>                                  |      |                 |   |   |
| Frequency info   | OP   |                 | Frequency info 10.3.6.36  |   |
| <b>Uplink radio resources</b>                                      |      |                 |   |   |
| Maximum allowed UL TX power  | MD   |                 | Maximum allowed UL TX power 10.3.6.39                                       | Default value is the existing maximum UL TX power |
| CHOICE <i>channel requirement</i>                                  | OP   |                 |   |   |
| >Uplink DPCH info  |      |                 | Uplink DPCH info 10.3.6.88  |   |
| >CPCH SET Info   |      |                 | CPCH SET Info 10.3.6.13   |   |
| <b>Downlink radio resources</b>                                    |      |                 |   |   |
| CHOICE <i>mode</i>   | MP   |                 |   |   |
| >FDD   |      |                 |   |   |
| >>Downlink PDSCH information                                       | OP   |                 | Downlink PDSCH information 10.3.6.30  |   |
| >TDD   |      |                 |   | (no data)   |
| Downlink information common  | OP   |                 | Downlink  |   |

| Information Element/Group name            | Need | Multi        | Type and reference                                 | Semantics description                         |
|---|------|--------------|--|---|
| for all radio links                       |      |              | information common for all radio links 10.3.6.24   |   |
| Downlink information per radio link list  | OP   | 1 to <maxRL> |  | Send downlink information for each radio link |
| >Downlink information for each radio link | MP   |              | Downlink information for each radio link 10.3.6.27 |   |

## 10.2.34 RADIO BEARER SETUP COMPLETE

This message is sent by the UE to confirm the establishment of the radio bearer.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE → UTRAN

| Information Element/Group name              | Need | Multi | Type and reference                             | Semantics description  | Version |
|---|------|-------|--|--|---------|
| Message Type                                | MP   |       | Message Type                                   |  |         |
| <b>UE information elements</b>              |      |       |  |  |         |
| RRC transaction identifier                  | MP   |       | RRC transaction identifier 10.3.3.36           |  |         |
| Integrity check info                        | CH   |       | Integrity check info 10.3.3.16                 |  |         |
| Uplink integrity protection activation info | OP   |       | Integrity protection activation info 10.3.3.17 |  |         |
| CHOICE <i>mode</i>                          | OP   |       |  |  |         |
| >FDD  |      |       |  | (no data)  |         |
| >TDD  |      |       |  |  |         |
| >>CHOICE <i>TDD option</i>                  | MP   |       |  |  | REL-4   |
| >>>3.84 Mcps TDD                            |      |       |  |  | REL-4   |
| >>>>Uplink Timing Advance                   | OP   |       | Uplink Timing Advance 10.3.6.95                | This information element shall be present in case of handover procedure if timing advance is enabled. Calculated timing advance value for the new cell after handover in a synchronous TDD network |         |
| >>>1.28 Mcps TDD                            |      |       |  | (No data)  | REL-4   |
| START                                       | OP   |       | START 10.3.3.38                                | This information element is not needed for transparent mode RBs if prior to this procedure there   |         |

| Information Element/Group name                     | Need | Multi                | Type and reference                    | Semantics description   | Version |
|--|------|----------------------|---------------------------------------|---|---------|
|  |      |                      |                                       | exists one RB using RLC-TM.   |         |
| <b>RB Information elements</b>                     |      |                      |                                       |   |         |
| COUNT-C activation time                            | OP   |                      | Activation time<br>10.3.3.1           | Used for radio bearers mapped on RLC-TM.  |         |
| Radio bearer uplink ciphering activation time info | OP   |                      | RB activation time info<br>10.3.4.13  |   |         |
| Uplink counter synchronisation info                | OP   |                      |                                       |   |         |
| >RB with PDCP information list                     | OP   | 1 to <maxRBall RABs> |                                       | This IE is needed for each RB having PDCP in the case of lossless SRNS relocation |         |
| >>RB with PDCP information                         | MP   |                      | RB with PDCP information<br>10.3.4.22 |   |         |
| >START list  | MP   | 1 to <maxCNdo mains> |                                       | START [40] values for all CN domains.   |         |
| >>CN domain identity                               | MP   |                      | CN domain identity<br>10.3.1.1        |   |         |
| >>START  | MP   |                      | START<br>10.3.3.38                    | START value to be used in this CN domain.   |         |

## 10.2.35 RADIO BEARER SETUP FAILURE

This message is sent by UE, if it does not support the configuration given by UTRAN.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name                               | Need | Multi        | Type and reference                               | Semantics description |
|--|------|--------------|--|-----------------------|
| Message Type   | MP   |              | Message Type                                     |                       |
| <b>UE information elements</b>                               |      |              |  |                       |
| RRC transaction identifier                                   | MP   |              | RRC transaction identifier<br>10.3.3.36          |                       |
| Integrity check info   | CH   |              | Integrity check info<br>10.3.3.16                |                       |
| Failure cause  | MP   |              | Failure cause and error information<br>10.3.3.14 |                       |
| <b>RB information elements</b>                               |      |              |  |                       |
| Radio bearers for which reconfiguration would have succeeded | OP   | 1 to <maxRB> |  |                       |
| >Radio bearer for which reconfiguration would have succeeded | MP   |              | RB identity,<br>10.3.4.16                        |                       |

## 10.2.36 RRC CONNECTION REJECT

The network transmits this message when the requested RRC connection cannot be accepted.

RLC-SAP: UM

Logical channel: CCCH

Direction: UTRAN → UE

| Information Element/Group name | Need | Multi | Type and reference                      | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| Message Type                   | MP   |       | Message Type                            |                       |
| <b>UE information elements</b> |      |       |   |                       |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36 |                       |
| Initial UE identity            | MP   |       | Initial UE identity<br>10.3.3.15        |                       |
| Rejection cause                | MP   |       | Rejection cause<br>10.3.3.31            |                       |
| Wait time                      | MP   |       | Wait time<br>10.3.3.50                  |                       |
| Redirection info               | OP   |       | Redirection info<br>10.3.3.29           |                       |

## 10.2.37 RRC CONNECTION RELEASE

This message is sent by UTRAN to release the RRC connection. The message also releases the signalling connection and all radio bearers between the UE and UTRAN.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

| Information Element/Group name    | Need        | Multi | Type and reference                      | Semantics description   |
|-----------------------------------|-------------|-------|---|---|
| Message Type                      | MP          |       | Message Type                            |   |
| <b>UE information elements</b>    |             |       |   |   |
| U-RNTI                            | CV-CCCH     |       | U-RNTI<br>10.3.3.47                     |   |
| RRC transaction identifier        | MP          |       | RRC transaction identifier<br>10.3.3.36 |   |
| Integrity check info              | CV-DCCH     |       | Integrity check info<br>10.3.3.16       | Integrity check info is included if integrity protection is applied |
| N308                              | CH-Cell_DCH |       | Integer(1..8)                           |   |
| Release cause                     | MP          |       | Release cause<br>10.3.3.32              |   |
| <b>Other information elements</b> |             |       |   |   |
| Rplmn information                 | OP          |       | Rplmn information<br>10.3.8.15          |   |

| Condition | Explanation   |
|-----------|---|
| CCCH      | This IE is mandatory present when CCCH is used and not needed otherwise.            |
| DCCH      | This IE is mandatory present when DCCH is used and not needed otherwise.            |
| Cell_DCH  | This IE is mandatory present when UE is in CELL_DCH state and not needed otherwise. |

## 10.2.38 RRC CONNECTION RELEASE COMPLETE

This message is sent by UE to confirm that the RRC connection has been released.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UE → UTRAN

| Information Element/Group name | Need | Multi | Type and reference                               | Semantics description |
|--------------------------------|------|-------|--|-----------------------|
| Message Type                   | MP   |       | Message Type                                     |                       |
| <b>UE information elements</b> |      |       |  |                       |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36          |                       |
| Integrity check info           | CH   |       | Integrity check info<br>10.3.3.16                |                       |
| Error indication               | OP   |       | Failure cause and error information<br>10.3.3.14 |                       |

## 10.2.39 RRC CONNECTION REQUEST

RRC Connection Request is the first message transmitted by the UE when setting up an RRC Connection to the network.

RLC-SAP: TM

Logical channel: CCCH

Direction: UE → UTRAN

| Information Element/Group name            | Need | Multi | Type and reference                                    | Semantics description   | Version |
|---|------|-------|---|---|---------|
| Message Type                              | MP   |       | Message Type  |   |         |
| <b>UE information elements</b>            |      |       |   |   |         |
| Initial UE identity                       | MP   |       | Initial UE identity<br>10.3.3.15                      |   |         |
| Establishment cause                       | MP   |       | Establishment cause<br>10.3.3.11                      |   |         |
| Protocol error indicator                  | MD   |       | Protocol error indicator<br>10.3.3.27                 | Default value is FALSE  |         |
| >UE Specific Behaviour Information 1 idle | OP   |       | UE Specific Behaviour Information 1 idle<br>10.3.3.51 | This IE shall not be included in this version of the protocol   |         |
| <b>Measurement information elements</b>   |      |       |   |   |         |
| Measured results on RACH                  | OP   |       | Measured results on RACH<br>10.3.7.45                 |   |         |
| Access stratum release indicator          | MP   |       | Enumerated( REL-4)                                    | Absence of the IE implies R99. The IE also indicates the release of the RRC transfer syntax supported by the UE<br>15 spare values are needed | REL-4   |

If the encoded message does not fill a transport block, the RRC layer shall insert padding according to subclause 12.1.

## 10.2.40 RRC CONNECTION SETUP

This message is used by the network to accept the establishment of an RRC connection for a UE, including assignment of signalling link information, transport channel information and optionally physical channel information.

RLC-SAP: UM

Logical channel: CCCH

Direction: UTRAN → UE

| Information Element/Group name | Need | Multi | Type and reference                      | Semantics description  | Version |
|--------------------------------|------|-------|---|------------------------|---------|
| Message Type                   | MP   |       | Message Type                            |                        |         |
| <b>UE Information Elements</b> |      |       |   |                        |         |
| Initial UE identity            | MP   |       | Initial UE identity<br>10.3.3.15        |                        |         |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36 |                        |         |
| Activation time                | MD   |       | Activation time 10.3.3.1                | Default value is "now" |         |
| New U-RNTI                     | MP   |       | U-RNTI<br>10.3.3.47                     |                        |         |



| Information Element/Group name                                     | Need | Multi           | Type and reference  | Semantics description  | Version |
|--|------|-----------------|---|--|---------|
| New C-RNTI   | OP   |                 | C-RNTI<br>10.3.3.8  |  |         |
| RRC State Indicator  | MP   |                 | RRC State Indicator<br>10.3.3.35a   |  |         |
| UTRAN DRX cycle length coefficient                                 | MP   |                 | UTRAN DRX cycle length coefficient<br>10.3.3.49                                 |  |         |
| Capability update requirement                                      | MD   |                 | Capability update requirement<br>10.3.3.2                                       | Default value is defined in subclause<br>10.3.3.2  |         |
| <b>RB Information Elements</b>                                     |      |                 |   |  |         |
| Signalling RB information to setup list                            | MP   | 3 to 4          |   |  |         |
| >Signalling RB information to setup                                | MP   |                 | Signalling RB information to setup<br>10.3.4.24                                 |  |         |
| <b>TrCH Information Elements</b>                                   |      |                 |   |  |         |
| <b>Uplink transport channels</b>                                   |      |                 |   |  |         |
| UL Transport channel information common for all transport channels | OP   |                 | UL Transport channel information common for all transport channels<br>10.3.5.24 |  |         |
| Added or Reconfigured TrCH information list                        | MP   | 1 to <maxTrCH > |   | Although this IE is not required when the IE "RRC state indicator" is set to "CELL_FACH", need is MP to align with ASN.1 |         |
|  | OP   |                 |   |  | REL-4   |
| >Added or Reconfigured UL TrCH information                         | MP   |                 | Added or Reconfigured UL TrCH information<br>10.3.5.2                           |  |         |
| <b>Downlink transport channels</b>                                 |      |                 |   |  |         |
| DL Transport channel information common for all transport channels | OP   |                 | DL Transport channel information common for all transport channels<br>10.3.5.6  |  |         |
| Added or Reconfigured TrCH information list                        | MP   | 1 to <maxTrCH > |   | Although this IE is not required when the IE "RRC state indicator" is set to "CELL_FACH", need is MP to align with ASN.1 |         |
|  | OP   |                 |   |  | REL-4   |
| >Added or Reconfigured DL TrCH information                         | MP   |                 | Added or Reconfigured DL TrCH information<br>10.3.5.1                           |  |         |
| <b>PhyCH information elements</b>                                  |      |                 |   |  |         |

| Information Element/Group name                  | Need | Multi        | Type and reference   | Semantics description                                      | Version |
|---|------|--------------|--|--|---------|
| Frequency info                                  | OP   |              | Frequency info<br>10.3.6.36                                  |  |         |
| <b>Uplink radio resources</b>                   |      |              |  |  |         |
| Maximum allowed UL TX power                     | MD   |              | Maximum allowed UL TX power<br>10.3.6.39                     | Default value is the existing maximum UL TX power          |         |
| <i>CHOICE channel requirement</i>               |      |              |  |  |         |
| >Uplink DPCH info                               |      |              | Uplink DPCH info<br>10.3.6.88                                |  |         |
| >CPCH SET Info                                  |      |              | CPCH SET Info<br>10.3.6.13                                   |  |         |
| <b>Downlink radio resources</b>                 |      |              |  |  |         |
| Downlink information common for all radio links | OP   |              | Downlink information common for all radio links<br>10.3.6.24 |  |         |
| Downlink information per radio link list        | OP   | 1 to <MaxRL> |  | Send downlink information for each radio link to be set-up |         |
| >Downlink information for each radio link       | MP   |              | Downlink information for each radio link<br>10.3.6.27        |  |         |

## 10.2.41 RRC CONNECTION SETUP COMPLETE

This message confirms the establishment of the RRC Connection by the UE.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE → UTRAN

| Information Element/Group name | Need | Multi                | Type and reference                      | Semantics description                     |
|--------------------------------|------|----------------------|---|---|
| Message Type                   | MP   |                      | Message Type                            |   |
| <b>UE Information Elements</b> |      |                      |   |   |
| RRC transaction identifier     | MP   |                      | RRC transaction identifier<br>10.3.3.36 |   |
| START list                     | MP   | 1 to <maxCNdo mains> |   | START [40] values for all CN domains.     |
| >CN domain identity            | MP   |                      | CN domain identity<br>10.3.1.1          |   |
| >START                         | MP   |                      | START<br>10.3.3.38                      | START value to be used in this CN domain. |
| UE radio access capability     | OP   |                      | UE radio access capability<br>10.3.3.42 |   |

| Information Element/Group name        | Need | Multi                      | Type and reference                              | Semantics description |
|---------------------------------------|------|----------------------------|---|-----------------------|
| UE radio access capability extension  | OP   |                            | UE radio access capability extension 10.3.3.42a |                       |
| <b>Other information elements</b>     |      |                            |   |                       |
| UE system specific capability         | OP   | 1 to <maxInterSysMessages> |   |                       |
| >Inter-RAT UE radio access capability | MP   |                            | Inter-RAT UE radio access capability 10.3.8.7   |                       |

## 10.2.41a RRC FAILURE INFO

This message is sent by the UE via another radio access technology to provide information about the cause for failure to perform the requested operation.

RLC-SAP: N/A (Sent through a different RAT)

Logical channel: N/A (Sent through a different RAT)

Direction: UE → UTRAN

| Information Element/Group Name    | Need       | Multi | Type and reference                   | Semantics description |
|-----------------------------------|------------|-------|--------------------------------------|-----------------------|
| <b>Other Information elements</b> |            |       |                                      |                       |
| Failure cause                     | MP         |       | Failure cause 10.3.3.13              |                       |
| Protocol error information        | CV-ProtErr |       | Protocol error information 10.3.8.12 |                       |

| Condition      | Explanation   |
|----------------|---|
| <i>ProtErr</i> | Presence is mandatory if the IE "Failure cause" has the value "Protocol error"; otherwise the element is not needed in the message. |

## 10.2.42 RRC STATUS

This message is sent to indicate a protocol error.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name | Need | Multi | Type and reference             | Semantics description   |
|--------------------------------|------|-------|--------------------------------|---|
| Message Type                   | MP   |       | Message Type                   |   |
| <b>UE information elements</b> |      |       |                                |   |
| Integrity check info           | CH   |       | Integrity check info 10.3.3.16 | Integrity check info is included if integrity protection is applied |

| Information Element/Group name     | Need                             | Multi | Type and reference                      | Semantics description |
|------------------------------------|----------------------------------|-------|---|-----------------------|
| Identification of received message | CV-<br><i>Message identified</i> |       |   |                       |
| >Received message type             | MP                               |       | Message Type                            |                       |
| >RRC transaction identifier        | MP                               |       | RRC transaction identifier<br>10.3.3.36 |                       |
| <b>Other information elements</b>  |                                  |       |   |                       |
| Protocol error information         | MP                               |       | Protocol error information<br>10.3.8.12 |                       |

| Condition                 | Explanation   |
|---------------------------|---|
| <i>Message identified</i> | This IE is mandatory present if the IE "Protocol error cause" in the IE "Protocol error information" has any other value than "ASN.1 violation or encoding error" or "Message type non-existent or not implemented" and not needed otherwise. |

## 10.2.43 SECURITY MODE COMMAND

This message is sent by UTRAN to start or reconfigure ciphering and/or integrity protection parameters.

RLC-SAP: AM

Logical channel: DCCCH

Direction: UTRAN to UE

| Information Element/Group name | Need | Multi | Type and reference                          | Semantics description   |
|--------------------------------|------|-------|---|---|
| Message Type                   | MP   |       | Message Type                                |   |
| <b>UE information elements</b> |      |       |   |   |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36     |   |
| Integrity check info           | MP   |       | Integrity check info<br>10.3.3.16           |   |
| Security capability            | MP   |       | Security capability<br>10.3.3.37            |   |
| Ciphering mode info            | OP   |       | Ciphering mode info<br>10.3.3.5             | Only present if ciphering shall be controlled                       |
| Integrity protection mode info | OP   |       | Integrity protection mode info<br>10.3.3.19 | Only present if integrity protection shall be controlled            |
| <b>CN Information elements</b> |      |       |   |   |
| CN domain identity             | MP   |       | CN domain identity<br>10.3.1.1              | Indicates which cipher and integrity protection keys are applicable |

| Information Element/Group name         | Need | Multi                       | Type and reference                         | Semantics description  |
|--|------|-----------------------------|--|--|
| <b>Other information elements</b>      |      |                             |  |  |
| UE system specific security capability | CH   | 1 to <maxInter SysMessages> |  | This IE is included if the IE "Inter-RAT UE radio access capability" was included in RRC CONNECTION SETUP COMPLETE message |
| >Inter-RAT UE security capability      | MP   |                             | Inter-RAT UE security capability 10.3.8.8a |  |

## 10.2.44 SECURITY MODE COMPLETE

This message is sent by UE to confirm the reconfiguration of ciphering and/or integrity protection.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to UTRAN

| Information Element/Group name                     | Need | Multi | Type and reference                             | Semantics description |
|--|------|-------|--|-----------------------|
| Message Type                                       | MP   |       | Message Type                                   |                       |
| <b>UE information elements</b>                     |      |       |  |                       |
| RRC transaction identifier                         | MP   |       | RRC transaction identifier 10.3.3.36           |                       |
| Integrity check info                               | MP   |       | Integrity check info 10.3.3.16                 |                       |
| Uplink integrity protection activation info        | OP   |       | Integrity protection activation info 10.3.3.17 |                       |
| <b>RB Information elements</b>                     |      |       |  |                       |
| Radio bearer uplink ciphering activation time info | OP   |       | RB activation time info 10.3.4.13              |                       |

## 10.2.45 SECURITY MODE FAILURE

This message is sent to indicate a failure to act on a received SECURITY MODE COMMAND message.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name | Need | Multi | Type and reference                               | Semantics description |
|--------------------------------|------|-------|--|-----------------------|
| Message Type                   | MP   |       | Message Type                                     |                       |
| <b>UE information elements</b> |      |       |  |                       |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36          |                       |
| Integrity check info           | CH   |       | Integrity check info<br>10.3.3.16                |                       |
| Failure cause                  | MP   |       | Failure cause and error information<br>10.3.3.14 |                       |

## 10.2.46 SIGNALLING CONNECTION RELEASE

This message is used to notify the UE that its ongoing signalling connection to a CN domain has been released.

RLC-SAP: AM

Logical channel: DCCH

Direction: UTRAN→UE

| Information Element/Group name | Need | Multi | Type and reference                      | Semantics description   |
|--------------------------------|------|-------|---|---|
| Message Type                   | MP   |       | Message Type                            |   |
| <b>UE information elements</b> |      |       |   |   |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36 |   |
| Integrity check info           | CH   |       | Integrity check info<br>10.3.3.16       | Integrity check info is included if integrity protection is applied |
| <b>CN information elements</b> |      |       |   |   |
| CN domain identity             | MP   |       | CN domain identity<br>10.3.1.1          |   |

## 10.2.47 SIGNALLING CONNECTION RELEASE INDICATION

This message is used by the UE to indicate to UTRAN the release of an existing signalling connection.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name | Need | Multi | IE type and reference             | Semantics description |
|--------------------------------|------|-------|-----------------------------------|-----------------------|
| Message Type                   | MP   |       | Message type                      |                       |
| <b>UE Information Elements</b> |      |       |                                   |                       |
| Integrity check info           | CH   |       | Integrity check info<br>10.3.3.16 |                       |
| <b>CN information elements</b> |      |       |                                   |                       |
| CN domain identity             | MP   |       | CN domain identity<br>10.3.1.1    |                       |

## 10.2.48 SYSTEM INFORMATION

| Information Element/Group name    | Need                   | Multi                    | Type and reference                        | Semantics description  |
|-----------------------------------|------------------------|--------------------------|---|--|
| Message type                      | CV-<br><i>channel1</i> |                          | Message type                              |  |
| SFNprime                          | CV-<br><i>channel2</i> |                          | Integer(0..40<br>94 by step of<br>2)      | SFN=SFNprime (for first 10ms<br>frame of 20ms TTI),<br>SFN=SFNprime+1 (for last<br>10ms frame of 20ms TTI) |
| CHOICE <i>Segment combination</i> | MP                     |                          |   | Five spares are needed   |
| >Combination 1                    |                        |                          |   | (no data)  |
| >Combination 2                    |                        |                          |   |  |
| >>First Segment                   | MP                     |                          | First<br>Segment,<br>10.2.48.1            |  |
| >Combination 3                    |                        |                          |   |  |
| >>Subsequent Segment              | MP                     |                          | Subsequent<br>Segment,<br>10.2.48.3       |  |
| >Combination 4                    |                        |                          |   |  |
| >>Last segment                    | MP                     |                          | Last<br>segment<br>(short),10.2.<br>48.5  |  |
| >Combination 5                    |                        |                          |   |  |
| >>Last segment                    | MP                     |                          | Last<br>Segment<br>(short)10.2.4<br>8.5   |  |
| >>First Segment                   | MP                     |                          | First<br>Segment<br>(short),<br>10.2.48.2 |  |
| >Combination 6                    |                        |                          |   |  |
| >>Last Segment                    | MP                     |                          | Last<br>Segment<br>(short),<br>10.2.48.5  |  |
| >>Complete list                   | MP                     | 1 to<br>maxSIBper<br>Msg |   | Note 1   |

| Information Element/Group name     | Need | Multi             | Type and reference               | Semantics description |
|------------------------------------|------|-------------------|----------------------------------|-----------------------|
| >>>Complete                        | MP   |                   | Complete SIB (short), 10.2.48.7  |                       |
| >Combination 7                     |      |                   |                                  |                       |
| >>Last Segment                     | MP   |                   | Last Segment (short), 10.2.48.5  |                       |
| >>Complete list                    | MP   | 1..<maxSIBperMsg> |                                  | Note 1                |
| >>>Complete                        | MP   |                   | Complete SIB (short), 10.2.48.7  |                       |
| >>First Segment                    | MP   |                   | First Segment (short), 10.2.48.2 |                       |
| >Combination 8                     |      |                   |                                  |                       |
| >>Complete list                    | MP   | 1 to maxSIBperMsg |                                  | Note 1                |
| >>>Complete                        | MP   |                   | Complete SIB (short), 10.2.48.7  |                       |
| >Combination 9                     |      |                   |                                  |                       |
| >>Complete list                    | MP   | 1..MaxSIBperMsg   |                                  | Note 1                |
| >>>Complete                        | MP   |                   | Complete SIB (short), 10.2.48.7  |                       |
| >>First Segment                    | MP   |                   | First Segment (short), 10.2.48.2 |                       |
| >Combination 10                    |      |                   |                                  |                       |
| >>>Complete SIB of size 215 to 226 | MP   |                   | Complete SIB, 10.2.48.6          |                       |
| >Combination 11                    |      |                   |                                  |                       |
| >>Last segment of size 215 to 222  | MP   |                   | Last segment, 10.2.48.4          |                       |

| Condition       | Explanation  |
|-----------------|--|
| <i>channel1</i> | The IE is mandatory present if the message is sent on the FACH and not needed otherwise. |
| <i>channel2</i> | This IE is mandatory present if the channel is BCH, otherwise it is not needed.          |

If the encoded message does not fill a transport block, the RRC layer shall insert padding according to subclause 12.1. Padding is needed e.g. if the remaining space is insufficient to start a new First Segment (which requires several bits for SIB type, SEG\_COUNT and SIB data).

NOTE 1: If Combination 6 - 9 contains a Master information block Master information shall be located as the first IE in the list.



### 10.2.48.1 First Segment

This segment type is used to transfer the first segment of a segmented system information block. The IE is used when the first segment fills the entire transport block (Combination 2).

| Information Element/Group name    | Need | Multi | Type and reference        | Semantics description |
|-----------------------------------|------|-------|---------------------------|-----------------------|
| <b>Other information elements</b> |      |       |                           |                       |
| SIB type                          | MP   |       | SIB Type, 10.3.8.21       |                       |
| SEG_COUNT                         | MP   |       | SEG COUNT, 10.3.8.17      |                       |
| SIB data fixed                    | MP   |       | SIB data fixed, 10.3.8.19 |                       |

### 10.2.48.2 First Segment (short)

This segment type is used to transfer the first segment of a segmented system information block. The IE is used when the first segment is concatenated after other segments in a transport block (Combination 5, 7 and 9).

| Information Element/Group name    | Need | Multi | Type and reference           | Semantics description |
|-----------------------------------|------|-------|------------------------------|-----------------------|
| <b>Other information elements</b> |      |       |                              |                       |
| SIB type                          | MP   |       | SIB Type, 10.3.8.21          |                       |
| SEG_COUNT                         | MP   |       | SEG COUNT, 10.3.8.17         |                       |
| SIB data variable                 | MP   |       | SIB data variable, 10.3.8.20 |                       |

### 10.2.48.3 Subsequent Segment

This segment type is used to transfer a subsequent segment of a segmented system information block.

| Information Element/Group name    | Need | Multi | Type and reference        | Semantics description |
|-----------------------------------|------|-------|---------------------------|-----------------------|
| <b>Other information elements</b> |      |       |                           |                       |
| SIB type                          | MP   |       | SIB Type, 10.3.8.21       |                       |
| Segment index                     | MP   |       | Segment Index, 10.3.8.18  |                       |
| SIB data fixed                    | MP   |       | SIB data fixed, 10.3.8.19 |                       |

#### 10.2.48.4 Last Segment

This segment type is used to transfer the last segment of a segmented system information block. The IE is used when the last segment has a length, excluding length denominator, from 215 through 222 (Combination 11).

| Information Element/Group name    | Need | Multi | Type and reference        | Semantics description  |
|-----------------------------------|------|-------|---------------------------|--|
| <b>Other information elements</b> |      |       |                           |  |
| SIB type                          | MP   |       | SIB Type, 10.3.8.21       |  |
| Segment index                     | MP   |       | Segment Index, 10.3.8.18  |  |
| SIB data fixed                    | MP   |       | SIB data fixed, 10.3.8.19 | In case the SIB data is less than 222 bits, padding shall be used. The same padding bits shall be used as defined in clause 12.1 |

#### 10.2.48.5 Last Segment (short)

This segment type is used to transfer the last segment of a segmented system information block. The IE is used when the last segment has a length, excluding length denominator, of upto 214 bits (Combination 4, 5, 6 and 7).

| Information Element/Group name    | Need | Multi | Type and reference           | Semantics description |
|-----------------------------------|------|-------|------------------------------|-----------------------|
| <b>Other information elements</b> |      |       |                              |                       |
| SIB type                          | MP   |       | SIB Type, 10.3.8.21          |                       |
| Segment index                     | MP   |       | Segment Index, 10.3.8.18     |                       |
| SIB data variable                 | MP   |       | SIB data variable, 10.3.8.20 |                       |

#### 10.2.48.6 Complete SIB

This segment type is used to transfer a non-segmented system information block. The IE is used when the complete SIB has a length, excluding length denominator, from 215 through 226 (Combination 10).

| Information Element/Group name    | Need | Multi | Type and reference  | Semantics description   |
|-----------------------------------|------|-------|---------------------|---|
| <b>Other information elements</b> |      |       |                     |   |
| SIB type                          | MP   |       | SIB Type, 10.3.8.21 |   |
| SIB data fixed                    | MP   |       | Bit string (226)    | The first/leftmost/most significant bit of the bit string contains the first bit of the segment. In case the SIB data is less than 226 bits, padding shall be used. The same padding bits shall be used as defined in clause 12.1 |

### 10.2.48.7 Complete SIB (short)

This segment type is used to transfer a non-segmented system information block. The IE is used when the complete SIB has a length, excluding length denominator, of upto 214 bits (Combination 6, 7, 8 and 9).

| Information Element/Group name    | Need | Multi | Type and reference           | Semantics description |
|-----------------------------------|------|-------|------------------------------|-----------------------|
| <b>Other information elements</b> |      |       |                              |                       |
| SIB type                          | MP   |       | SIB Type, 10.3.8.21          |                       |
| SIB data variable                 | MP   |       | SIB data variable, 10.3.8.20 |                       |

### 10.2.48.8 System Information Blocks

The IE "SIB data" within the IEs, "First Segment", "Subsequent or last Segment" and "Complete SIB" contains either complete system information block or a segment of a system information block. The actual system information blocks are defined in the following clauses.

#### 10.2.48.8.1 Master Information Block

| Information Element/Group name                                      | Need       | Multi | Type and reference  | Semantics description |
|---|------------|-------|---|-----------------------|
| <b>Other information elements</b>                                   |            |       |   |                       |
| MIB Value tag   | MP         |       | MIB Value tag 10.3.8.9  |                       |
| <b>CN information elements</b>                                      |            |       |   |                       |
| Supported PLMN types  | MP         |       | PLMN Type 10.3.1.12   |                       |
| PLMN Identity   | CV-GSM     |       | PLMN Identity 10.3.1.11   |                       |
| <b>ANSI-41 information elements</b>                                 |            |       |   |                       |
| ANSI-41 Core Network Information                                    | CV-ANSI-41 |       | ANSI-41 Core Network Information 10.3.9.1                                     |                       |
| References to other system information blocks and scheduling blocks | MP         |       | References to other system information blocks and scheduling blocks 10.3.8.14 |                       |

| Condition | Explanation   |
|-----------|---|
| GSM       | The IE is mandatory present if the IE "Supported PLMN Types" is set to 'GSM-MAP' or 'GSM-MAP AND ANSI-41', and not needed otherwise |
| ANSI-41   | The IE is mandatory present if the IE "Supported PLMN Types" is set to 'ANSI-41' or 'GSM-MAP AND ANSI-41', and not needed otherwise |

## 10.2.48.8.2 Scheduling Block 1

| Information Element/Group name                | Need | Multi | Type and reference   | Semantics description |
|---|------|-------|--|-----------------------|
| References to other system information blocks | MP   |       | References to other system information blocks<br>10.3.8.13 |                       |

## 10.2.48.8.3 Scheduling Block 2

| Information Element/Group name                | Need | Multi | Type and reference   | Semantics description |
|---|------|-------|--|-----------------------|
| References to other system information blocks | MP   |       | References to other system information blocks<br>10.3.8.13 |                       |

## 10.2.48.8.4 System Information Block type 1

The system information block type 1 contains NAS system information as well as UE timers and counters to be used in idle mode and in connected mode.

| Information Element/Group name            | Need | Multi                | Type and reference                                     | Semantics description   |
|---|------|----------------------|--|---|
| <b>CN information elements</b>            |      |                      |  |   |
| CN common GSM-MAP NAS system information  | MP   |                      | NAS system information (GSM-MAP)<br>10.3.1.9           |   |
| CN domain system information list         | MP   | 1 to <maxCNdo mains> |  | Send CN information for each CN domain.   |
| >CN domain system information             | MP   |                      | CN domain system information<br>10.3.1.2               |   |
| <b>UE information</b>                     |      |                      |  |   |
| UE Timers and constants in idle mode      | MD   |                      | UE Timers and constants in idle mode<br>10.3.3.44      | Default value means that for all timers and constants<br>- For parameters with need MD, the defaults specified in 10.3.3.44 apply and<br>- For parameters with need OP, the parameters are absent |
| UE Timers and constants in connected mode | MD   |                      | UE Timers and constants in connected mode<br>10.3.3.43 | Default value means that for all timers and constants<br>- For parameters with need MD, the defaults specified in 10.3.3.43 apply and<br>- For parameters with need OP, the parameters are absent |

## 10.2.48.8.5 System Information Block type 2

The system information block type 2 contains the URA identity.

| Information Element/Group name             | Need | Multi           | Type and reference       | Semantics description |
|--|------|-----------------|--------------------------|-----------------------|
| <b>UTRAN mobility information elements</b> |      |                 |                          |                       |
| URA identity list                          | MP   | 1<br>..<maxURA> |                          |                       |
| >URA identity                              | MP   |                 | URA identity<br>10.3.2.6 |                       |

## 10.2.48.8.6 System Information Block type 3

The system information block type 3 contains parameters for cell selection and re-selection.

| Information Element/Group name             | Need | Multi | Type and reference  | Semantics description                              |
|--|------|-------|---|--|
| SIB4 Indicator                             | MP   |       | Boolean   | TRUE indicates that SIB4 is broadcast in the cell. |
| <b>UTRAN mobility information elements</b> |      |       |   |  |
| Cell identity                              | MP   |       | Cell identity<br>10.3.2.2                                   |  |
| Cell selection and re-selection info       | MP   |       | Cell selection and re-selection info for SIB3/4<br>10.3.2.3 |  |
| Cell Access Restriction                    | MP   |       | Cell Access Restriction<br>10.3.2.1                         |  |

## 10.2.48.8.7 System Information Block type 4

The system information block type 4 contains parameters for cell selection and re-selection to be used in connected mode.

| Information Element/Group name             | Need | Multi | Type and reference  | Semantics description |
|--|------|-------|---|-----------------------|
| <b>UTRAN mobility information elements</b> |      |       |   |                       |
| Cell identity                              | MP   |       | Cell identity<br>10.3.2.2                                   |                       |
| Cell selection and re-selection info       | MP   |       | Cell selection and re-selection info for SIB3/4<br>10.3.2.3 |                       |
| Cell Access Restriction                    | MP   |       | Cell Access Restriction<br>10.3.2.1                         |                       |

## 10.2.48.8.8 System Information Block type 5

The system information block type 5 contains parameters for the configuration of the common physical channels in the cell.

| Information Element/Group name     | Need    | Multi | Type and reference                              | Semantics description   |
|------------------------------------|---------|-------|---|---|
| SIB6 Indicator                     | MP      |       | Boolean   | TRUE indicates that SIB6 is broadcast in the cell.                                    |
| <b>PhyCH information elements</b>  |         |       |   |   |
| PICH Power offset                  | MP      |       | PICH Power offset<br>10.3.6.50                  |   |
| CHOICE <i>mode</i>                 | MP      |       |   |   |
| >FDD                               |         |       |   |   |
| >>AICH Power offset                | MP      |       | AICH Power offset<br>10.3.6.3                   | This AICH Power offset also indicates the power offset for AP-AICH and for CD/CA-ICH. |
| >TDD                               |         |       |   |   |
| >>PUSCH system information         | OP      |       | PUSCH system information<br>10.3.6.66           |   |
| >>PDSCH system information         | OP      |       | PDSCH system information<br>10.3.6.46           |   |
| >>TDD open loop power control      | MP      |       | TDD open loop power control<br>10.3.6.79        |   |
| Primary CCPCH info                 | OP      |       | Primary CCPCH info<br>10.3.6.57                 | Note 1  |
| PRACH system information list      | MP      |       | PRACH system information list<br>10.3.6.55      |   |
| Secondary CCPCH system information | MP      |       | Secondary CCPCH system information<br>10.3.6.72 |   |
| CBS DRX Level 1 information        | CV-CTCH |       | CBS DRX Level 1 information<br>10.3.8.3         |   |

NOTE 1: DL scrambling code of the Primary CCPCH is the same as the one for Primary CPICH (FDD only).

| Condition | Explanation  |
|-----------|--|
| CTCH      | The IE is mandatory present if the IE "CTCH indicator" is equal to TRUE for at least one FACH, otherwise the IE is not needed in the message |

## 10.2.48.8.9 System Information Block type 6

The system information block type 6 contains parameters for the configuration of the common and shared physical channels to be used in connected mode.

| Information Element/Group name    | Need | Multi | Type and reference | Semantics description |
|-----------------------------------|------|-------|--------------------|-----------------------|
| <b>PhyCH information elements</b> |      |       |                    |                       |
| PICH Power offset                 | MP   |       | PICH Power offset  |                       |

| Information Element/Group name     | Need    | Multi | Type and reference                              | Semantics description   |
|------------------------------------|---------|-------|---|---|
|                                    |         |       | 10.3.6.50                                       |   |
| CHOICE <i>mode</i>                 | MP      |       |   |   |
| >FDD                               |         |       |   |   |
| >>AICH Power offset                | MP      |       | AICH Power offset<br>10.3.6.3                   | This AICH Power offset also indicates the power offset for AP-AICH and for CD/CA-ICH. |
| >TDD                               |         |       |   |   |
| >>PUSCH system information         | OP      |       | PUSCH system information<br>10.3.6.66           |   |
| >>PDSCH system information         | OP      |       | PDSCH system information<br>10.3.6.46           |   |
| >>TDD open loop power control      | MP      |       | TDD open loop power control<br>10.3.6.79        |   |
| Primary CCPCH info                 | OP      |       | Primary CCPCH info<br>10.3.6.57                 | Note 1  |
| PRACH system information list      | OP      |       | PRACH system information list<br>10.3.6.55      |   |
| Secondary CCPCH system information | OP      |       | Secondary CCPCH system information<br>10.3.6.72 |   |
| CBS DRX Level 1 information        | CV-CTCH |       | CBS DRX Level 1 information<br>10.3.8.3         |   |

NOTE 1: DL scrambling code of the Primary CCPCH is the same as the one for Primary CPICH (FDD only).

| Condition | Explanation   |
|-----------|---|
| CTCH      | The IE is mandatory present if the IE "CTCH indicator" is equal to TRUE for at least one FACH, otherwise the IE is not needed |

## 10.2.48.8.10 System Information Block type 7

The system information block type 7 contains the fast changing parameters UL interference and Dynamic persistence level.

| Information Element/Group name                   | Need | Multi           | Type and reference                     | Semantics description  |
|--|------|-----------------|--|--|
| CHOICE <i>mode</i>                               | MP   |                 |  |  |
| >FDD   |      |                 |  |  |
| >>UL interference                                | MP   |                 | UL interference<br>10.3.6.87           |  |
| >TDD   |      |                 |  | (no data)  |
| <b>PhyCH information elements</b>                |      |                 |  |  |
| PRACHs listed in system information block type 5 | MP   | 1 to <maxPRACH> |  | The order of the PRACHs is the same as in system information block type 5. |
| >Dynamic persistence level                       | MP   |                 | Dynamic persistence level<br>10.3.6.35 |  |
| PRACHs listed in system information block type 6 | OP   | 1 to <maxPRACH> |  | The order of the PRACHs is the same as in system information block type 6. |
| >Dynamic persistence level                       | MP   |                 | Dynamic persistence level<br>10.3.6.35 |  |
| Expiration Time Factor                           | MD   |                 | Expiration Time Factor<br>10.3.3.12    | Default is 1.  |

## 10.2.48.8.11 System Information Block type 8

NOTE: Only for FDD.

The system information block type 8 contains static CPCH information to be used in the cell.

| Information Element/Group name    | Need | Multi               | Type and reference              | Semantics description |
|-----------------------------------|------|---------------------|---------------------------------|-----------------------|
| <b>UE information</b>             |      |                     |                                 |                       |
| CPCH parameters                   | MP   |                     | CPCH parameters<br>10.3.3.7     |                       |
| <b>PhyCH information elements</b> |      |                     |                                 |                       |
| CPCH set info list                | MP   | 1 to <maxCPC Hsets> |                                 |                       |
| >CPCH set info                    | MP   |                     | CPCH set info<br>10.3.6.13      |                       |
| CSICH Power offset                | MP   |                     | CSICH Power offset<br>10.3.6.15 |                       |



## 10.2.48.8.12 System Information Block type 9

NOTE: Only for FDD.

The system information block type 9 contains CPCH information to be used in the cell.

| Information Element/Group name    | Need | Multi               | Type and reference                | Semantics description |
|-----------------------------------|------|---------------------|-----------------------------------|-----------------------|
| <b>PhyCH information elements</b> |      |                     |                                   |                       |
| CPCH set persistence levels list  | MP   | 1 to <maxCPC Hsets> |                                   |                       |
| >CPCH set persistence levels      | MP   |                     | CPCH persistence levels 10.3.6.12 |                       |

## 10.2.48.8.13 System Information Block type 10

NOTE: Only for FDD.

The system information block type 10 contains information to be used by UEs having their DCH controlled by a DRAC procedure.

| Information Element/Group name | Need | Multi | Type and reference               | Semantics description                               |
|--------------------------------|------|-------|----------------------------------|---|
| <b>UE information</b>          |      |       |                                  |   |
| DRAC system information        | MP   |       | DRAC system information 10.3.3.9 | DRAC information is sent for each class of terminal |

## 10.2.48.8.14 System Information Block type 11

The system information block type 11 contains measurement control information to be used in the cell.

| Information Element/Group name          | Need | Multi | Type and reference                               | Semantics description                               |
|---|------|-------|--|---|
| SIB12 Indicator                         | MP   |       | Boolean  | TRUE indicates that SIB12 is broadcast in the cell. |
| <b>Measurement information elements</b> |      |       |  |   |
| FACH measurement occasion info          | OP   |       | FACH measurement occasion info 10.3.7.8          |   |
| Measurement control system information  | MP   |       | Measurement control system information 10.3.7.47 |   |

## 10.2.48.8.15 System Information Block type 12

The system information block type 12 contains measurement control information to be used in connected mode.

| Information Element/Group name          | Need | Multi | Type and reference                               | Semantics description |
|---|------|-------|--|-----------------------|
| <b>Measurement information elements</b> |      |       |  |                       |
| FACH measurement occasion info          | OP   |       | FACH measurement occasion info 10.3.7.8          |                       |
| Measurement control system information  | MP   |       | Measurement control system information 10.3.7.47 |                       |

## 10.2.48.8.16 System Information Block type 13

The system information block type 13 contains ANSI-41 system information.

| Information Element/Group name       | Need | Multi                | Type and reference                             | Semantics description   |
|--------------------------------------|------|----------------------|--|---|
| <b>Other information elements</b>    |      |                      |  |   |
| <b>CN Information Elements</b>       |      |                      |  |   |
| CN Domain system information list    | MP   | 1 to <maxCNdo mains> |  | Send CN information for each CN domain.   |
| >CN Domain system information        | MP   |                      | CN Domain system information 10.3.1.2          |   |
| <b>UE Information</b>                |      |                      |  |   |
| UE timers and constants in idle mode | MD   |                      | UE timers and constants in idle mode 10.3.3.44 | Default value means that for all timers and constants <ul style="list-style-type: none"> <li>- for parameters with need MD, the defaults specified in 10.3.3.44 apply;</li> <li>and</li> <li>- for parameters with need OP, the parameters are absent.</li> </ul> |
| Capability update requirement        | MD   |                      | Capability update requirement 10.3.3.2         | Default value is defined in subclause 10.3.3.2  |

## 10.2.48.8.16.1 System Information Block type 13.1

The system information block type 13.1 contains the ANSI-41 RAND information.

| Information Element/Group name      | Need | Multi | Type and reference                | Semantics description |
|-------------------------------------|------|-------|-----------------------------------|-----------------------|
| <b>ANSI-41 information elements</b> |      |       |                                   |                       |
| ANSI-41 RAND information            | MP   |       | ANSI-41 RAND information 10.3.9.6 |                       |

## 10.2.48.8.16.2 System Information Block type 13.2

The system information block type 13.2 contains the ANSI-41 User Zone Identification information.

| Information Element/Group name               | Need | Multi | Type and reference                                    | Semantics description |
|--|------|-------|---|-----------------------|
| <b>ANSI-41 information elements</b>          |      |       |   |                       |
| ANSI-41 User Zone Identification information | MP   |       | ANSI-41 User Zone Identification information 10.3.9.7 |                       |

## 10.2.48.8.16.3 System Information Block type 13.3

The system information block type 13.3 contains the ANSI-41 Private Neighbour List information.

| Information Element/Group name             | Need | Multi | Type and reference                                  | Semantics description |
|--|------|-------|---|-----------------------|
| <b>ANSI-41 information elements</b>        |      |       |   |                       |
| ANSI-41 Private Neighbour List information | MP   |       | ANSI-41 Private Neighbour List information 10.3.9.5 |                       |

## 10.2.48.8.16.4 System Information Block type 13.4

The system information block type 13.4 contains the ANSI-41 Global Service Redirection information.

| Information Element/Group name                 | Need | Multi | Type and reference                                      | Semantics description |
|--|------|-------|---|-----------------------|
| <b>ANSI-41 information elements</b>            |      |       |   |                       |
| ANSI-41 Global Service Redirection information | MP   |       | ANSI-41 Global Service Redirection information 10.3.9.2 |                       |

## 10.2.48.8.17 System Information Block type 14

NOTE: Only for 3.84 Mcps TDD.

The system information block type 14 contains parameters for common and dedicated physical channel uplink outer loop power control information to be used in both idle and connected mode.

| Information Element/Group name        | Need | Multi        | Type and reference                         | Semantics description |
|---------------------------------------|------|--------------|--|-----------------------|
| <b>PhyCH information elements</b>     |      |              |  |                       |
| Individual Timeslot interference list | MP   | 1 to <maxTS> |  |                       |
| >Individual Timeslot interference     | MP   |              | Individual Timeslot interference 10.3.6.38 |                       |
| Expiration Time Factor                | MD   |              | Expiration Time Factor 10.3.3.12           | Default is 1.         |

## 10.2.48.8.18 System Information Block type 15

The system information block type 15 contains information useful for UE-based or UE-assisted positioning methods.

| Information Element/Group name | Need | Multi         | Type and Reference  | Semantics description  |
|--------------------------------|------|---------------|---|--|
| GPS Data ciphering info        | OP   |               | UE positioning Cipher info 10.3.7.86                              | If this IE is present then the SIB types 15.1, 15.2 & 15.3 are ciphered in accordance with the Data Assistance Ciphering Algorithm specified in [18] |
| Reference position             | MP   |               | Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c | approximate position where the UE is located   |
| GPS reference time             | MP   |               | UE positioning GPS reference time 10.3.7.96                       |  |
| Satellite information          | OP   | 1 to <maxSat> |   | This IE is present whenever bad (failed/failing) satellites are detected by UTRAN [18].  |
| >BadSatID                      | MP   |               | Enumerated(0..63)   |  |

## 10.2.48.8.18.1 System Information Block type 15.1

The system information block type 15.1 contains information useful for UE positioning DGPS Corrections. The DGPS Corrections message contents are based on a Type-1 message of DGPS specified in [13].

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| DGPS corrections               | MP   |       | UE                 |                       |

10.2.48.8.18.2 System Information Block type 15.2

The system information block type 15.2 contains information useful for GPS Navigation Model. These IE fields are based on information extracted from the subframes 1 to 3 of the GPS navigation message [12].

| Information Element/Group name | Need | Multi | Type and Reference  | Semantics description  |
|--------------------------------|------|-------|---------------------|--|
| Transmission TOW               | MP   |       | Integer (0..604799) | The approximate GPS time-of-week when the message is broadcast. in seconds |
| SatID                          | MP   |       | Enumerated(         | Satellite ID   |

| Information Element/Group name                | Need | Multi | Type and Reference  | Semantics description |
|---|------|-------|---|-----------------------|
| GPS Ephemeris and Clock Correction Parameters | MP   |       | UE positioning GPS Ephemeris and Clock Correction parameters 10.3.7.91a |                       |

#### 10.2.48.8.18.3 System Information Block type 15.3

The system information block type 15.3 contains information useful for ionospheric delay, UTC offset, and Almanac. These IEs contain information extracted from the subframes 4 and 5 of the GPS navigation message, [12].

| Information Element/Group name   | Need | Multi | Type and Reference  | Semantics description   |
|----------------------------------|------|-------|---|---|
| Transmission TOW                 | MP   |       | Integer<br>(0..604799)  | The approximate GPS time-of-week when the message is broadcast.<br>in seconds |
| GPS Almanac and Satellite Health | OP   |       | UE  |   |
| GPS ionospheric model            | OP   |       | UE<br>positioning<br>GPS<br>ionospheric<br>model<br>10.3.7.92 |   |

|               |                       |  |                   |  |
|---------------|-----------------------|--|-------------------|--|
| GPS UTC model | OP                    |  | UE                |  |
| SatMask       | CV-<br><i>Almanac</i> |  | Bit string(1..32) | indicates the satellites that contain the pages being broadcast in this data set |
| LSB TOW       | CV-<br><i>Almanac</i> |  | Bit string(8)     |  |

| Condition      | Explanation  |
|----------------|--|
| <i>Almanac</i> | This IE is mandatory present if the IE "GPS Almanac and Satellite Health" is present |

10.2.48.8.18.4 System Information Block type 15.4

The system information block type 15.4 contains ciphering information for System Information Block type 15.5 and information useful for OTDOA UE-assisted Positioning method.



| Information Element/Group name | Need | Multi | Type and                                | Semantics description   |
|--------------------------------|------|-------|---|---|
| OTDOA Data ciphering info      | OP   |       | UE positioning Ciphering info 10.3.7.86 | If this IE is present then the for UE-based the System Information Block type 15.5 is ciphered in accordance with the Data Assistance Ciphering Algorithm specified in [18] |

|                                       |    |  |    |  |
|---------------------------------------|----|--|----|--|
| OTDOA assistance data for UE-assisted | MP |  | UE |  |
|---------------------------------------|----|--|----|--|

10.2.48.8.18.4a System Information Block type 15.5

The system information block type 15.5 contains information useful for OTDOA UE-based Positioning method.

| Information Element/Group name     | Need | Multi | Type and Reference   | Semantics description |
|------------------------------------|------|-------|--|-----------------------|
| OTDOA assistance data for UE-based | MP   |       | UE positioning OTDOA assistance data for UE-based<br>10.3.7.103a |                       |

#### 10.2.48.8.19 System Information Block type 16

The system information block type 16 contains radio bearer, transport channel and physical channel parameters to be stored by UE in idle and connected mode for use during handover to UTRAN.

| Information Element/Group name    | Need | Multi | Type and Reference                          | Semantics description |
|-----------------------------------|------|-------|---|-----------------------|
| <b>RB information elements</b>    |      |       |   |                       |
| Predefined RB configuration       | MP   |       | Predefined RB configuration<br>10.3.4.7     |                       |
| <b>TrCH Information Elements</b>  |      |       |   |                       |
| Predefined TrCH configuration     | MP   |       | Predefined TrCH configuration<br>10.3.5.9   |                       |
| <b>PhyCH Information Elements</b> |      |       |   |                       |
| Predefined PhyCH configuration    | MP   |       | Predefined PhyCH configuration<br>10.3.6.56 |                       |

#### 10.2.48.8.20 System Information Block type 17

NOTE: Only for TDD.

The system information block type 17 contains fast changing parameters for the configuration of the shared physical channels to be used in connected mode.

| Information Element/Group name    | Need | Multi | Type and reference                    | Semantics description |
|-----------------------------------|------|-------|---------------------------------------|-----------------------|
| <b>PhyCH information elements</b> |      |       |                                       |                       |
| PUSCH system information          | OP   |       | PUSCH system information<br>10.3.6.66 |                       |
| PDSCH system information          | OP   |       | PDSCH system information<br>10.3.6.46 |                       |



| Information Element/Group name    | Need | Multi | Type and reference              | Semantics description |
|-----------------------------------|------|-------|---------------------------------|-----------------------|
| Message Type                      | MP   |       | Message Type                    |                       |
| <b>Other information elements</b> |      |       |                                 |                       |
| BCCH modification info            | MP   |       | BCCH modification info 10.3.8.1 |                       |

If the encoded message does not fill a transport block, the RRC layer shall insert padding according to subclause 12.1.

## 10.2.50 TRANSPORT CHANNEL RECONFIGURATION

This message is used by UTRAN to configure the transport channel of a UE. This also includes a possible reconfiguration of physical channels. The message can also be used to assign a TFC subset and reconfigure physical channel.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

| Information Element/Group name             | Need | Multi | Type and reference                           | Semantics description  |
|--|------|-------|--|--|
| Message Type                               | MP   |       | Message Type                                 |  |
| <b>UE Information Elements</b>             |      |       |  |  |
| RRC transaction identifier                 | MP   |       | RRC transaction identifier 10.3.3.36         |  |
| Integrity check info                       | CH   |       | Integrity check info 10.3.3.16               |  |
| Integrity protection mode info             | OP   |       | Integrity protection mode info 10.3.3.19     | The UTRAN should not include this IE unless it is performing an SRNS relocation.                                     |
| Ciphering mode info                        | OP   |       | Ciphering mode info 10.3.3.5                 | The UTRAN should not include this IE unless it is performing an SRNS relocation and a change in ciphering algorithm. |
| Activation time                            | MD   |       | Activation time 10.3.3.1                     | Default value is "now"   |
| New U-RNTI                                 | OP   |       | U-RNTI 10.3.3.47                             |  |
| New C-RNTI                                 | OP   |       | C-RNTI 10.3.3.8                              |  |
| New DSCH-RNTI                              | OP   |       | DSCH-RNTI 10.3.3.9a                          |  |
| RRC State Indicator                        | MP   |       | RRC State Indicator 10.3.3.35a               |  |
| UTRAN DRX cycle length coefficient         | OP   |       | UTRAN DRX cycle length coefficient 10.3.3.49 |  |
| <b>CN Information Elements</b>             |      |       |  |  |
| CN Information info                        | OP   |       | CN Information info 10.3.1.3                 |  |
| <b>UTRAN mobility information elements</b> |      |       |  |  |

| Information Element/Group name                                     | Need | Multi                      | Type and reference  | Semantics description   |
|--|------|----------------------------|---|---|
| URA identity   | OP   |                            | URA identity<br>10.3.2.6  |   |
| <b>RB information elements</b>                                     |      |                            |   |   |
| Downlink counter synchronisation info                              | OP   |                            |   |   |
| >RB with PDCP information list                                     | OP   | 1 to<br><maxRBall<br>RABs> |   | This IE is needed for each RB having PDCP in the case of lossless SRNS relocation |
| >>RB with PDCP information   | MP   |                            | RB with PDCP information<br>10.3.4.22   |   |
| <b>TrCH Information Elements</b>                                   |      |                            |   |   |
| <b>Uplink transport channels</b>                                   |      |                            |   |   |
| UL Transport channel information common for all transport channels | OP   |                            | UL Transport channel information common for all transport channels<br>10.3.5.24 |   |
| Added or Reconfigured TrCH information list                        | OP   | 1 to<br><maxTrCH<br>>      |   |   |
| >Added or Reconfigured UL TrCH information                         | MP   |                            | Added or Reconfigured UL TrCH information<br>10.3.5.2                           |   |
| <b>CHOICE mode</b>   |      |                            |   |   |
| >FDD   |      |                            |   |   |
| >>CPCH set ID  | OP   |                            | CPCH set ID<br>10.3.5.3   |   |
| >>Added or Reconfigured TrCH information for DRAC list             | OP   | 1 to<br><maxTrCH<br>>      |   |   |
| >>>DRAC static information   | MP   |                            | DRAC static information<br>10.3.5.7   |   |
| >TDD   |      |                            |   | (no data)   |
| <b>Downlink transport channels</b>                                 |      |                            |   |   |
| DL Transport channel information common for all transport channels | OP   |                            | DL Transport channel information common for all transport channels<br>10.3.5.6  |   |
| Added or Reconfigured TrCH information list                        | OP   | 1 to<br><maxTrCH<br>>      |   |   |
| >Added or Reconfigured DL TrCH information                         | MP   |                            | Added or Reconfigured DL TrCH information<br>10.3.5.1                           |   |
| <b>PhyCH information elements</b>                                  |      |                            |   |   |
| Frequency info   | OP   |                            | Frequency info<br>10.3.6.36   |   |
| <b>Uplink radio resources</b>                                      |      |                            |   |   |
| Maximum allowed UL TX power  | MD   |                            | Maximum allowed UL TX power<br>10.3.6.39  | Default value is the existing maximum UL TX power                                 |

| Information Element/Group name                  | Need | Multi        | Type and reference   | Semantics description                         |
|---|------|--------------|--|---|
| CHOICE <i>channel requirement</i>               | OP   |              |  |   |
| >Uplink DPCH info                               |      |              | Uplink DPCH info<br>10.3.6.88                                |   |
| >CPCH SET Info                                  |      |              | CPCH SET Info<br>10.3.6.13                                   |   |
| <b>Downlink radio resources</b>                 |      |              |  |   |
| CHOICE <i>mode</i>                              | MP   |              |  |   |
| >FDD  |      |              |  |   |
| >>Downlink PDSCH information                    | OP   |              | Downlink PDSCH information<br>10.3.6.30                      |   |
| >TDD  |      |              |  | (no data)                                     |
| Downlink information common for all radio links | OP   |              | Downlink information common for all radio links<br>10.3.6.24 |   |
| Downlink information per radio link list        | OP   | 1 to <maxRL> |  | Send downlink information for each radio link |
| >Downlink information for each radio link       | MP   |              | Downlink information for each radio link<br>10.3.6.27        |   |

## 10.2.51 TRANSPORT CHANNEL RECONFIGURATION COMPLETE

This message is sent from the UE when a transport channel reconfiguration has been done.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE → UTRAN

| Information Element/Group name              | Need | Multi | Type and reference                                | Semantics description | Version |
|---|------|-------|---|-----------------------|---------|
| Message Type                                | MP   |       | Message Type                                      |                       |         |
| <b>UE information elements</b>              |      |       |   |                       |         |
| RRC transaction identifier                  | MP   |       | RRC transaction identifier<br>10.3.3.36           |                       |         |
| Integrity check info                        | CH   |       | Integrity check info<br>10.3.3.16                 |                       |         |
| Uplink integrity protection activation info | OP   |       | Integrity protection activation info<br>10.3.3.17 |                       |         |
| CHOICE <i>mode</i>                          | OP   |       |   |                       |         |
| >FDD  |      |       |   | (no data)             |         |
| >TDD  |      |       |   |                       |         |
| >>CHOICE <i>TDD option</i>                  | MP   |       |   |                       | REL-4   |
| >>>3.84 Mcps TDD                            |      |       |   |                       | REL-4   |
| >>>>Uplink Timing Advance                   | OP   |       | Uplink Timing Advance                             |                       |         |

| Information Element/Group name                     | Need | Multi                | Type and reference                    | Semantics description  | Version |
|--|------|----------------------|---------------------------------------|--|---------|
|  |      |                      | 10.3.6.95                             |  |         |
| >>>1.28 Mcps TDD                                   |      |                      |                                       | (no data)  | REL-4   |
| <b>RB Information elements</b>                     |      |                      |                                       |  |         |
| COUNT-C activation time                            | OP   |                      | Activation time<br>10.3.3.1           | Used for radio bearers mapped on RLC-TM. Only applicable if the UE is moving to CELL_DCH state due to this procedure |         |
| Radio bearer uplink ciphering activation time info | OP   |                      | RB activation time info<br>10.3.4.13  |  |         |
| Uplink counter synchronisation info                | OP   |                      |                                       |  |         |
| >RB with PDCP information list                     | OP   | 1 to <maxRBall RABs> |                                       |  |         |
| >>RB with PDCP information                         | MP   |                      | RB with PDCP information<br>10.3.4.22 |  |         |
| >START list  | MP   | 1 to <maxCNdo mains> |                                       | START [40] values for all CN domains.  |         |
| >>CN domain identity                               | MP   |                      | CN domain identity<br>10.3.1.1        |  |         |
| >>START  | MP   |                      | START<br>10.3.3.38                    | START value to be used in this CN domain.  |         |

## 10.2.52 TRANSPORT CHANNEL RECONFIGURATION FAILURE

This message is sent by UE if the configuration given by UTRAN is unacceptable or if the UE failed to establish the physical channel(s).

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name | Need | Multi | Type and reference                               | Semantics description |
|--------------------------------|------|-------|--|-----------------------|
| Message Type                   | MP   |       | Message Type                                     |                       |
| <b>UE information elements</b> |      |       |  |                       |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36          |                       |
| Integrity check info           | CH   |       | Integrity check info<br>10.3.3.16                |                       |
| Failure cause                  | MP   |       | Failure cause and error information<br>10.3.3.14 |                       |



## 10.2.53 TRANSPORT FORMAT COMBINATION CONTROL

This message is sent by UTRAN to control the uplink transport format combination within the allowed transport format combination set. This message has different structures depending if the message is sent on transparent (TM) or non-transparent mode (AM or UM).

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN→UE

| Information Element/Group name   | Need | Multi | Type and reference                                     | Semantics description  |
|----------------------------------|------|-------|--|------------------------|
| Message Type                     | MP   |       | Message Type   |                        |
| <b>UE information elements</b>   |      |       |  |                        |
| RRC transaction identifier       | MP   |       | RRC transaction identifier<br>10.3.3.36                |                        |
| Integrity check info             | CH   |       | Integrity check info<br>10.3.3.16                      |                        |
| <b>TrCH information elements</b> |      |       |  |                        |
| CHOICE <i>mode</i>               | MP   |       |  |                        |
| >FDD                             |      |       |  | (no data)              |
| >TDD                             |      |       |  |                        |
| >>TFCS Id                        | OP   |       | Transport Format Combination Set Identity<br>10.3.5.21 |                        |
| DPCH/PUSCH TFCS in uplink        | MP   |       | Transport Format Combination subset<br>10.3.5.22       |                        |
| Activation time for TFC subset   | MD   |       | Activation time<br>10.3.3.1                            | Default value is "now" |
| TFC Control duration             | OP   |       | TFC Control duration<br>10.3.6.80                      |                        |

In case of transparent mode signalling the following message structure shall be used:

RLC-SAP: TM

Logical channel: DCCH

Direction: UTRAN→UE

| Information Element/Group name  | Need | Multi | Type and reference | Semantics description |
|---------------------------------|------|-------|--------------------|-----------------------|
| CHOICE <i>TFCsubsetListSize</i> | MP   |       |                    |                       |
| >Three bits list size           |      |       |                    |                       |
| >>TFC subset identity           | MP   |       | INTEGER (0..7)     |                       |
| >Five bits list size            |      |       |                    |                       |
| >>TFC subset identity           | MP   |       | INTEGER (0..31)    |                       |
| >Ten bits list size             |      |       |                    |                       |
| >>TFC subset identity           | MP   |       | INTEGER (0..1023)  |                       |

The encoding of this message is specified in subclause 12.4.1.1.

## 10.2.54 TRANSPORT FORMAT COMBINATION CONTROL FAILURE

This message is sent to indicate that a received TRANSPORT FORMAT COMBINATION CONTROL message could not be handled by the UE.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name | Need | Multi | Type and reference                               | Semantics description |
|--------------------------------|------|-------|--|-----------------------|
| Message Type                   | MP   |       | Message Type                                     |                       |
| <b>UE information elements</b> |      |       |  |                       |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36          |                       |
| Integrity check info           | CH   |       | Integrity check info<br>10.3.3.16                |                       |
| Failure cause                  | MP   |       | Failure cause and error information<br>10.3.3.14 |                       |

## 10.2.55 UE CAPABILITY ENQUIRY

The UE CAPABILITY ENQUIRY is used by the UTRAN to enquire inter-RAT classmarks from the UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

| Information Element/Group name | Need | Multi | Type and reference                        | Semantics description   |
|--------------------------------|------|-------|---|---|
| Message Type                   | MP   |       | Message Type                              |   |
| <b>UE information elements</b> |      |       |   |   |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36   |   |
| Integrity check info           | CH   |       | Integrity check info<br>10.3.3.16         | Integrity check info is included if integrity protection is applied |
| Capability update requirement  | MP   |       | Capability update requirement<br>10.3.3.2 |   |

## 10.2.56 UE CAPABILITY INFORMATION

This message is sent by UE to convey UE specific capability information to the UTRAN.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE → UTRAN

| Information Element/Group name        | Need | Multi                       | Type and reference                                 | Semantics description   |
|---------------------------------------|------|-----------------------------|--|---|
| Message Type                          | MP   |                             | Message Type                                       |   |
| <b>UE information elements</b>        |      |                             |  |   |
| RRC transaction identifier            | OP   |                             | RRC transaction identifier<br>10.3.3.36            |   |
| Integrity check info                  | CH   |                             | Integrity check info<br>10.3.3.16                  | Integrity check info is included if integrity protection is applied |
| UE radio access capability            | OP   |                             | UE radio access capability<br>10.3.3.42            |   |
| UE radio access capability extension  | OP   |                             | UE radio access capability extension<br>10.3.3.42a |   |
| <b>Other information elements</b>     |      |                             |  |   |
| UE system specific capability         | OP   | 1 to <maxInter SysMessages> |  |   |
| >Inter-RAT UE radio access capability | MP   |                             | Inter-RAT UE radio access capability<br>10.3.8.7   |   |

## 10.2.57 UE CAPABILITY INFORMATION CONFIRM

This message is sent by UTRAN to confirm that UE capability information has been received.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

| Information Element/Group name | Need | Multi | Type and reference                      | Semantics description   |
|--------------------------------|------|-------|---|---|
| Message Type                   | MP   |       | Message Type                            |   |
| <b>UE information elements</b> |      |       |   |   |
| RRC transaction identifier     | MP   |       | RRC transaction identifier<br>10.3.3.36 |   |
| Integrity check info           | CH   |       | Integrity check info<br>10.3.3.16       | Integrity check info is included if integrity protection is applied |

## 10.2.58 UPLINK DIRECT TRANSFER

This message is used to transfer NAS messages for an existing signalling connection.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE ->UTRAN

| Information Element/Group name          | Need | Multi | Type and reference                 | Semantics description   |
|---|------|-------|------------------------------------|---|
| Message Type                            | MP   |       | Message Type                       |   |
| <b>UE information elements</b>          |      |       |                                    |   |
| Integrity check info                    | CH   |       | Integrity check info 10.3.3.16     | Integrity check info is included if integrity protection is applied |
| <b>CN information elements</b>          |      |       |                                    |   |
| CN domain identity                      | MP   |       | CN domain identity 10.3.1.1        |   |
| NAS message                             | MP   |       | NAS message 10.3.1.8               |   |
| <b>Measurement information elements</b> |      |       |                                    |   |
| Measured results on RACH                | OP   |       | Measured results on RACH 10.3.7.45 |   |

## 10.2.59 UPLINK PHYSICAL CHANNEL CONTROL

NOTE: Only for TDD.

This message is used to transfer uplink physical channel parameters to the UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN→UE

| Information Element/Group name    | Need | Multi | Type and Reference                   | Semantics description                              | Version |
|-----------------------------------|------|-------|--------------------------------------|--|---------|
| Message Type                      | MP   |       | Message Type                         |  |         |
| <b>UE information elements</b>    |      |       |                                      |  |         |
| RRC transaction identifier        | MP   |       | RRC transaction identifier 10.3.3.36 |  |         |
| Integrity check info              | OP   |       | Integrity check info 10.3.3.16       |  |         |
| <b>PhyCH information elements</b> |      |       |                                      |  |         |
| CCTrCH power control info         | OP   |       | CCTrCH power control info 10.3.6.8   | Power control information for one CCTrCH           |         |
| Special Burst Scheduling          | OP   |       | Special Burst Scheduling 10.3.6.75a  | UL Special Burst generation period in radio frames |         |
| CHOICE <i>TDD option</i>          | MP   |       |                                      |  | REL-4   |
| >3.84 Mcps TDD                    |      |       |                                      |  | REL-4   |
| >>Alpha                           | OP   |       | Alpha 10.3.6.5                       |  |         |
| >>Timing Advance Control          | OP   |       | UL Timing Advance Control            |  |         |

| Information Element/Group name      | Need     | Multi | Type and Reference               | Semantics description  | Version |
|-------------------------------------|----------|-------|----------------------------------|--|---------|
|                                     |          |       | 10.3.6.96                        |  |         |
| >>PRACH Constant Value              | OP       |       | Constant value TDD<br>10.3.6.11a | Operator controlled PRACH Margin   |         |
| >>PUSCH Constant Value              | OP       |       | Constant value TDD<br>10.3.6.11a | Operator controlled PUSCH Margin   |         |
| >>UE positioning related parameters | CV-IPDLs |       |                                  |  | REL-4   |
| >>>IPDL-Alpha                       | MP       |       | Alpha<br>10.3.6.5                |  | REL-4   |
| >>>Max power increase               | MP       |       | Integer (0..3)                   | In dB  | REL-4   |
| >1.28 Mcps TDD                      |          |       |                                  |  | REL-4   |
| >>Uplink synchronisation parameters | MD       |       |                                  | Default: Uplink synchronisation step size 1.<br>Uplink synchronisation frequency 1.                    | REL-4   |
| >>>Uplink synchronisation step size | MP       |       | Integer(1..8)                    | This parameter specifies the step size to be used for the adjustment of the uplink transmission timing | REL-4   |
| >>>Uplink synchronisation frequency | MP       |       | Integer(1..8)                    | This parameter specifies the frequency of the adjustment of the uplink transmission timing             | REL-4   |

| Condition | Explanation   |
|-----------|---|
| IPDLs     | This IE is present only if idle periods are applied |

## 10.2.60 URA UPDATE

This message is used by the UE to initiate a URA update procedure.

RLC-SAP: TM

Logical channel: CCCH

Direction: UE→UTRAN

| Information Element/Group name | Need       | Multi | Type and reference                      | Semantics description |
|--------------------------------|------------|-------|---|-----------------------|
| Message Type                   | MP         |       | Message Type                            |                       |
| <b>UE information elements</b> |            |       |   |                       |
| U-RNTI                         | MP         |       | U-RNTI<br>10.3.3.47                     |                       |
| RRC transaction identifier     | CV-ProtErr |       | RRC transaction identifier<br>10.3.3.36 |                       |
| Integrity check info           | CH         |       | Integrity check info<br>10.3.3.16       |                       |
| URA update cause               | MP         |       | URA update                              |                       |

| Information Element/Group name    | Need              | Multi | Type and reference                      | Semantics description  |
|-----------------------------------|-------------------|-------|---|------------------------|
|                                   |                   |       | cause<br>10.3.3.46                      |                        |
| Protocol error indicator          | MD                |       | Protocol error indicator<br>10.3.3.27   | Default value is FALSE |
| <b>Other information elements</b> |                   |       |   |                        |
| Protocol error information        | <i>CV-ProtErr</i> |       | Protocol error information<br>10.3.8.12 |                        |

| Condition      | Explanation   |
|----------------|---|
| <i>ProtErr</i> | The IE is mandatory present if the IE "Protocol error indicator" has the value "TRUE" and not needed otherwise. |

## 10.2.61 URA UPDATE CONFIRM

This message confirms the URA update procedure and can be used to reallocate new RNTI information for the UE valid after the URA update.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

| Information Element/Group name     | Need           | Multi | Type and reference                              | Semantics description  |
|------------------------------------|----------------|-------|---|--|
| Message Type                       | MP             |       | Message Type                                    |  |
| <b>UE information elements</b>     |                |       |   |  |
| U-RNTI                             | <i>CV-CCCH</i> |       | U-RNTI<br>10.3.3.47                             |  |
| RRC transaction identifier         | MP             |       | RRC transaction identifier<br>10.3.3.36         |  |
| Integrity check info               | CH             |       | Integrity check info<br>10.3.3.16               | Integrity check info is included if integrity protection is applied  |
| Integrity protection mode info     | OP             |       | Integrity protection mode info<br>10.3.3.19     | The UTRAN should not include this IE unless it is performing an SRNS relocation.                                     |
| Ciphering mode info                | OP             |       | Ciphering mode info<br>10.3.3.5                 | The UTRAN should not include this IE unless it is performing an SRNS relocation and a change in ciphering algorithm. |
| New U-RNTI                         | OP             |       | U-RNTI<br>10.3.3.47                             |  |
| New C-RNTI                         | OP             |       | C-RNTI<br>10.3.3.8                              |  |
| RRC State Indicator                | MP             |       | RRC State Indicator<br>10.3.3.35a               |  |
| UTRAN DRX cycle length coefficient | OP             |       | UTRAN DRX cycle length coefficient<br>10.3.3.49 |  |

| Information Element/Group name             | Need | Multi                | Type and reference                 | Semantics description   |
|--|------|----------------------|------------------------------------|---|
| <b>CN Information Elements</b>             |      |                      |                                    |   |
| CN Information info                        | OP   |                      | CN Information info 10.3.1.3       |   |
| <b>UTRAN mobility information elements</b> |      |                      |                                    |   |
| URA identity                               | OP   |                      | URA identity 10.3.2.6              |   |
| <b>RB information elements</b>             |      |                      |                                    |   |
| Downlink counter synchronisation info      | OP   |                      |                                    |   |
| >RB with PDCP information list             | OP   | 1 to <maxRBall RABs> |                                    | This IE is needed for each RB having PDCP in the case of lossless SRNS relocation |
| >>RB with PDCP information                 | MP   |                      | RB with PDCP information 10.3.4.22 |   |

| Condition | Explanation  |
|-----------|--|
| CCCH      | This IE is mandatory present when CCCH is used and not needed otherwise. |

## 10.2.62 UTRAN MOBILITY INFORMATION

This message is used by UTRAN to allocate a new RNTI and to convey other UTRAN mobility related information to a UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN→UE

| Information Element/Group name            | Need | Multi | Type and reference                       | Semantics description  |
|---|------|-------|--|--|
| Message Type                              | MP   |       | Message Type                             |  |
| <b>UE Information Elements</b>            |      |       |  |  |
| Integrity check info                      | CH   |       | Integrity check info 10.3.3.16           |  |
| RRC transaction identifier                | MP   |       | RRC transaction identifier 10.3.3.36     |  |
| Integrity protection mode info            | OP   |       | Integrity protection mode info 10.3.3.19 | The UTRAN should not include this IE unless it is performing an SRNS relocation.                                     |
| Ciphering mode info                       | OP   |       | Ciphering mode info 10.3.3.5             | The UTRAN should not include this IE unless it is performing an SRNS relocation and a change in ciphering algorithm. |
| New U-RNTI                                | OP   |       | U-RNTI 10.3.3.47                         |  |
| New C-RNTI                                | OP   |       | C-RNTI 10.3.3.8                          |  |
| UE Timers and constants in connected mode | OP   |       | UE Timers and constants in               |  |

| Information Element/Group name        | Need | Multi                | Type and reference                    | Semantics description   |
|---------------------------------------|------|----------------------|---------------------------------------|---|
|                                       |      |                      | connected mode<br>10.3.3.43           |   |
| <b>CN Information Elements</b>        |      |                      |                                       |   |
| CN Information info                   | OP   |                      | CN Information info full<br>10.3.1.3a |   |
| <b>UTRAN Information Elements</b>     |      |                      |                                       |   |
| URA identity                          | OP   |                      | URA identity<br>10.3.2.6              |   |
| <b>RB Information elements</b>        |      |                      |                                       |   |
| Downlink counter synchronisation info | OP   |                      |                                       |   |
| >RB with PDCP information list        | OP   | 1 to <maxRBall RABs> |                                       | This IE is needed for each RB having PDCP in the case of lossless SRNS relocation |
| >>RB with PDCP information            | MP   |                      | RB with PDCP information<br>10.3.4.22 |   |

### 10.2.63 UTRAN MOBILITY INFORMATION CONFIRM

This message is used to confirm the new UTRAN mobility information for the UE.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

| Information Element/Group name                     | Need | Multi          | Type and reference                                | Semantics description  |
|--|------|----------------|---|--|
| Message Type                                       | MP   |                | Message Type                                      |  |
| <b>UE information elements</b>                     |      |                |   |  |
| RRC transaction identifier                         | MP   |                | RRC transaction identifier<br>10.3.3.36           |  |
| Integrity check info                               | CH   |                | Integrity check info<br>10.3.3.16                 |  |
| Uplink integrity protection activation info        | OP   |                | Integrity protection activation info<br>10.3.3.17 |  |
| <b>RB Information elements</b>                     |      |                |   |  |
| COUNT-C activation time                            | OP   |                | Activation time<br>10.3.3.1                       | Used for radio bearers mapped on RLC-TM. Only applicable if the UE is moving to CELL_DCH state due to this procedure |
| Radio bearer uplink ciphering activation time info | OP   |                | RB activation time info<br>10.3.4.13              |  |
| Uplink counter synchronisation info                | OP   |                |   |  |
| >RB with PDCP information list                     | OP   | 1 to <maxRBall |   | This IE is needed for each RB having PDCP in the case of   |



| Information Element/Group name | Need | Multi                | Type and reference                 | Semantics description                     |
|--------------------------------|------|----------------------|------------------------------------|---|
|                                |      | RABs>                |                                    | lossless SRNS relocation                  |
| >>RB with PDCP information     | MP   |                      | RB with PDCP information 10.3.4.22 |   |
| >START list                    | MP   | 1 to <maxCNdo mains> |                                    | START [40] values for all CN domains.     |
| >>CN domain identity           | MP   |                      | CN domain identity 10.3.1.1        |   |
| >>START                        | MP   |                      | START 10.3.3.38                    | START value to be used in this CN domain. |

## 10.2.64 UTRAN MOBILITY INFORMATION FAILURE

This message is sent to indicate a failure to act on a received UTRAN MOBILITY INFORMATION message.

RLC-SAP: AM

Logical channel: DCCCH

Direction: UE→UTRAN

| Information Element/Group name | Need | Multi | Type and reference                            | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| Message Type                   | MP   |       | Message Type                                  |                       |
| <b>UE information elements</b> |      |       |   |                       |
| RRC transaction identifier     | MP   |       | RRC transaction identifier 10.3.3.36          |                       |
| Integrity check info           | CH   |       | Integrity check info 10.3.3.16                |                       |
| Failure cause                  | MP   |       | Failure cause and error information 10.3.3.14 |                       |

## 10.3 Information element functional definitions

### 10.3.1 CN Information elements

#### 10.3.1.1 CN domain identity

Identifies the type of core network domain.

| Information Element/Group name | Need | Multi | Type and reference                | Semantics description |
|--------------------------------|------|-------|-----------------------------------|-----------------------|
| CN domain identity             | MP   |       | Enumerated (CS domain, PS domain) |                       |

## 10.3.1.2 CN Domain System Information

| Information Element/Group name                  | Need | Multi | Type and reference   | Semantics description |
|---|------|-------|--|-----------------------|
| CN domain identity                              | MP   |       | CN domain identity<br>10.3.1.1                               |                       |
| CHOICE <i>CN Type</i>                           | MP   |       |  |                       |
| >GSM-MAP  |      |       |  |                       |
| >>CN domain specific NAS system information     | MP   |       | NAS system information (GSM-MAP)<br>10.3.1.9                 |                       |
| >ANSI-41  |      |       |  |                       |
| >>CN domain specific NAS system information     | MP   |       | ANSI-41 NAS system information,<br>10.3.9.4                  |                       |
| CN domain specific DRX cycle length coefficient | MP   |       | CN domain specific DRX cycle length coefficient,<br>10.3.3.6 |                       |

## 10.3.1.3 CN Information info

| Information Element/Group name              | Need | Multi                | Type and reference                           | Semantics description |
|---|------|----------------------|--|-----------------------|
| PLMN identity                               | OP   |                      | PLMN identity<br>10.3.1.11                   |                       |
| CN common GSM-MAP NAS system information    | OP   |                      | NAS system information (GSM-MAP)<br>10.3.1.9 |                       |
| CN domain related information               | OP   | 1 to <maxCNdo mains> |  |                       |
| >CN domain identity                         | MP   |                      | CN domain identity<br>10.3.1.1               |                       |
| >CN domain specific GSM-MAP NAS system info | MP   |                      | NAS system information (GSM-MAP)<br>10.3.1.9 |                       |

## 10.3.1.3a CN Information info full

| Information Element/Group name                   | Need | Multi                | Type and reference   | Semantics description |
|--|------|----------------------|--|-----------------------|
| PLMN identity                                    | OP   |                      | PLMN identity<br>10.3.1.11                                   |                       |
| CN common GSM-MAP NAS system information         | OP   |                      | NAS system information (GSM-MAP)<br>10.3.1.9                 |                       |
| CN domain related information                    | OP   | 1 to <maxCNdo mains> |  |                       |
| >CN domain identity                              | MP   |                      | CN domain identity<br>10.3.1.1                               |                       |
| >CN domain specific GSM-MAP NAS system info      | MP   |                      | NAS system information (GSM-MAP)<br>10.3.1.9                 |                       |
| >CN domain specific DRX cycle length coefficient | MP   |                      | CN domain specific DRX cycle length coefficient,<br>10.3.3.6 |                       |

## 10.3.1.4 IMEI

This IE contains an International Mobile Equipment Identity. Setting specified in [11].

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| IMEI                           | MP   | 15    |                    | The first element contains the first IMEI digit, the second element the second IMEI digit and so on. |
| >IMEI digit                    | MP   |       | INTEGER(0..15)     |  |

## 10.3.1.5 IMSI (GSM-MAP)

This IE contains an International Mobile Subscriber Identity, used towards a GSM-MAP type of PLMN. Setting specified in [11].

| Information Element/Group name | Need | Multi   | Type and reference | Semantics description   |
|--------------------------------|------|---------|--------------------|---|
| IMSI                           | MP   | 6 to 21 |                    | The first element contains the first IMSI digit, the second element the second IMSI digit and so on.<br>Although normally upto 15 digits are used for this IE, a bigger length is used to support future extension. |
| >IMSI digit                    | MP   |         | INTEGER(0..9)      |   |

## 10.3.1.6 Intra Domain NAS Node Selector

This IE carries information to be used to route the establishment of a signalling connection to a CN node within a CN domain.

| Information Element/Group name               | Need | Multi | Type and reference | Semantics description  |
|--|------|-------|--------------------|--|
| CHOICE <i>version</i>                        | MP   |       |                    |  |
| >R99   |      |       |                    | This choice shall also be used by mobiles that are compliant to this version of the protocol   |
| >>CHOICE <i>CN type</i>                      | MP   |       |                    |  |
| >>>GSM-MAP                                   |      |       |                    |  |
| >>>>CHOICE <i>Routing basis</i>              | MP   |       |                    |  |
| >>>>>local (P)TMSI                           |      |       |                    | TMSI allocated in the current LA or PTMSI allocated in the current RA  |
| >>>>>>Routing parameter                      | MP   |       | Bit string (10)    | The TMSI/ PTMSI consists of 4 octets (32bits). This can be represented by a string of bits numbered from b0 to b31, with bit b0 being the least significant<br>The "Routing parameter" bit string consists of bits b14 through b23 of the TMSI/ PTMSI. The first/leftmost/most significant bit of the bit string contains bit b23 of the TMSI/PTMSI.   |
| >>>>>>(P)TMSI of same PLMN, different (RA)LA |      |       |                    | TMSI allocated in another LA of this PLMN or PTMSI allocated in another RA this PLMN   |
| >>>>>>>Routing parameter                     | MP   |       | Bit string (10)    | The TMSI/ PTMSI consists of 4 octets (32bits). This can be represented by a string of bits numbered from b0 to b31, with bit b0 being the least significant<br>The "Routing parameter" bit string consists of bits b14 through b23 of the TMSI/ PTMSI. The first/leftmost/most significant bit of the bit string contains bit b23 of the TMSI/ PTMSI.  |
| >>>>>>>(P)TMSI of different PLMN             |      |       |                    | TMSI or a PTMSI allocated in another PLMN  |
| >>>>>>>>>Routing parameter                   | MP   |       | Bit string (10)    | The TMSI/ PTMSI consists of 4 octets (32bits). This can be represented by a string of bits numbered from b0 to b31, with bit b0 being the least significant.<br>The "Routing parameter" bit string consists of bits b14 through b23 of the TMSI/ PTMSI. The first/leftmost/most significant bit of the bit string contains bit b23 of the TMSI/ PTMSI. |
| >>>>>>>>>>IMSI(response to IMSI paging)      |      |       |                    | NAS identity is IMSI   |

| Information Element/Group name      | Need | Multi | Type and reference | Semantics description  |
|-------------------------------------|------|-------|--------------------|--|
| >>>>>Routing parameter              | MP   |       | Bit string (10)    | The "Routing parameter" bit string consists of DecimalToBinary [(IMSI div 10) mod 1000]. The first/leftmost bit of the bit string contains the most significant bit of the result. |
| >>>>>IMSI(cause UE initiated event) |      |       |                    | NAS identity is IMSI   |
| >>>>>Routing parameter              | MP   |       | Bit string (10)    | The "Routing parameter" bit string consists of DecimalToBinary [(IMSI div 10) mod 1000]. The first/leftmost bit of the bit string contains the most significant bit of the result. |
| >>>>>IMEI                           |      |       |                    | NAS parameter is IMEI  |
| >>>>>Routing parameter              | MP   |       | Bit string (10)    | The "Routing parameter" bit string consists of DecimalToBinary [(IMEI div 10) mod 1000]. The first/leftmost bit of the bit string contains the most significant bit of the result. |
| >>>>>Spare 1                        |      |       | Bit string (10)    | This choice shall not be used in this version  |
| >>>>>Spare 2                        |      |       | Bit string (10)    | This choice shall not be used in this version  |
| >>>ANSI-41                          |      |       | Bit string (14)    | All bits shall be set to 0   |
| >Later                              |      |       | Bit string(15)     | This bit string shall not be sent by mobiles that are compliant to this version of the protocol.   |

### 10.3.1.7 Location Area Identification

Identifies uniquely a location area for a GSM-MAP type of PLMN. Setting specified in [5].

| Information Element/Group name | Need | Multi | Type and reference      | Semantics description   |
|--------------------------------|------|-------|-------------------------|---|
| PLMN identity                  | MP   |       | PLMN identity 10.3.1.11 |   |
| LAC                            | MP   |       | Bit string(16)          | The first/leftmost bit of the bit string contains the most significant bit of the LAC.. |

### 10.3.1.8 NAS message

A non-access stratum message to be transferred transparently through UTRAN.

| Information Element/Group name | Need | Multi | Type and reference     | Semantics description   |
|--------------------------------|------|-------|------------------------|---|
| NAS message                    | MP   |       | Octet string (1..4095) | The first octet contains octet 1 [17] of the NAS message, the second octet contains octet 2 of the NAS message and so on. |

### 10.3.1.9 NAS system information (GSM-MAP)

This information element contains system information that belongs to the non-access stratum for a GSM-MAP type of PLMN. This information is transparent to RRC. It may contain either information specific to one CN domain (CS or PS) or information common for both CN domains.

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description   |
|--------------------------------|------|-------|---------------------|---|
| GSM-MAP NAS system information | MP   |       | Octet string(1..8 ) | The first octet contains octet 1 [17] of the NAS system information element, the second octet contains octet 2 of the NAS system information element and so on. |

### 10.3.1.10 Paging record type identifier

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| Paging record type identifier  | MP   |       | Enumerated (IMSI (GSM-MAP), TMSI (GSM-MAP)/ P-TMSI, IMSI (DS-41), TMSI (DS-41)) |                       |

### 10.3.1.11 PLMN identity

This information element identifies a Public Land Mobile Network for a GSM-MAP type of PLMN. Setting of digits is defined in [11].

| Information Element/Group name | Need | Multi  | Type and reference | Semantics description  |
|--------------------------------|------|--------|--------------------|--|
| MCC                            | MP   | 3      |                    | The first element contains the first MCC digit, the second element the second MCC digit and so on. |
| >MCC digit                     | MP   |        | INTEGER(0..9)      |  |
| MNC                            | MP   | 2 to 3 |                    | The first element contains the first MNC digit, the second element the second MNC digit and so on. |
| >MNC digit                     | MP   |        | INTEGER(0..9)      |  |

### 10.3.1.12 PLMN Type

Identifies the type of Public Land Mobile Network (PLMN). This IE shall be used to control the interpretation of network dependent messages and information elements in the RRC protocol.

| Information Element/Group name | Need | Multi | Type and reference                                 | Semantics description      |
|--------------------------------|------|-------|--|----------------------------|
| PLMN Type                      | MP   |       | Enumerated (GSM-MAP, ANSI-41, GSM-MAP and ANSI-41) | One spare value is needed. |

### 10.3.1.13 P-TMSI (GSM-MAP)

This IE contains a Packet Temporary Mobile Subscriber Identity, used towards a GSM-MAP type of PLMN.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| P-TMSI                         | MP   |       | Bit string (32)    | Setting specified in [11]. The first/leftmost bit of the bit string contains the most significant bit of the P-TMSI. |

### 10.3.1.14 RAB identity

This information element uniquely identifies a radio access bearer within a CN domain.

| Information Element/Group name  | Need | Multi | Type and reference | Semantics description   |
|---------------------------------|------|-------|--------------------|---|
| CHOICE <i>RAB identity type</i> | MP   |       |                    |   |
| >RAB identity (GSM-MAP)         |      |       | Bit string (8)     | Formatted according to [5]. The first/leftmost bit of the bit string contains the most significant bit of the RAB identity. |
| >RAB identity (ANSI-41)         |      |       | Bit string (8)     | The first/leftmost bit of the bit string contains the most significant bit of the RAB identity.                             |

| CHOICE <i>NAS binding info type</i> | Condition under which the given <i>RAB identity type</i> is chosen |
|-------------------------------------|--|
| RAB identity (GSM-MAP)              | PLMN is of type GSM-MAP  |
| RAB identity (ANSI-41)              | PLMN is of type ANSI-41  |

### 10.3.1.15 Routing Area Code

Identifies a routing area within a location area for a GSM-MAP type of PLMN.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| Routing Area Code              | MP   |       | Bit string(8)      | Setting specified in [11]. The first/leftmost bit of the bit string contains the most significant bit of the Routing Area Code. |

### 10.3.1.16 Routing Area Identification

Identifies uniquely a routing area for a GSM-MAP type of PLMN. Setting specified in [11].

| Information Element/Group name | Need | Multi | Type and reference                    | Semantics description |
|--------------------------------|------|-------|---------------------------------------|-----------------------|
| LAI                            | MP   |       | Location area identification 10.3.1.7 |                       |
| RAC                            | MP   |       | Routing area code 10.3.1.15           |                       |

### 10.3.1.17 TMSI (GSM-MAP)

This IE contains a Temporary Mobile Subscriber Identity, used towards a GSM-MAP type of PLMN.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| TMSI (GSM-MAP)                 | MP   |       | Bit string (32)    | Setting specified in [11]. The first/leftmost bit of the bit string contains the most significant bit of the TMSI. |

## 10.3.2 UTRAN mobility Information elements

### 10.3.2.1 Cell Access Restriction

Indicates the restrictions to cell access.

| Information Element/Group name              | Need              | Multi | Type and reference                       | Semantics description  |
|---|-------------------|-------|--|--|
| Cell Barred                                 | MP                |       | Enumerated( not barred, barred)          |  |
| Intra-frequency cell re-selection indicator | <i>CV-Barred</i>  |       | Enumerated( not allowed, allowed)        |  |
| T <sub>barred</sub>                         | <i>CV-Barred</i>  |       | Integer (10,20,40,80 ,160,320,640 ,1280) | [4]<br>[s]   |
| Cell Reserved for operator use              | MP                |       | Enumerated( reserved, not reserved)      |  |
| Cell Reservation Extension                  | MP                |       | Enumerated( reserved, not reserved)      |  |
| Access Class Barred list                    | <i>CV-SIB3-MD</i> | maxAC |  | Default is no access class barred is applied. The first instance of the parameter corresponds to Access Class 0, the second to Access Class 1 and so on up to Access Class 15. UE reads this IE of its access class stored in SIM. |
| >Access Class Barred                        | MP                |       | Enumerated( not barred, barred)          |  |

| Condition      | Explanation   |
|----------------|---|
| <i>Barred</i>  | The IE is mandatory present if the IE "Cell Barred" has the value "Barred"; otherwise the element is not needed in the message.       |
| <i>SIB3-MD</i> | The IE is mandatory and has a default value if the IE "Cell Access Restriction" is included in SIB 3. Otherwise the IE is not needed. |

### 10.3.2.2 Cell identity

This information element identifies a cell unambiguously within a PLMN.

NOTE: This information element may carry any implementation dependent identity that unambiguously identifies a cell within a PLMN.



| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Cell identity                  | MP   |       | bit string(28)     |                       |

### 10.3.2.3 Cell selection and re-selection info for SIB3/4

| Information Element/Group name                 | Need | Multi               | Type and reference                   | Semantics description  |
|--|------|---------------------|--------------------------------------|--|
| Mapping Info                                   | OP   |                     | Mapping info 10.3.2.5                | This IE should not be sent.  |
| Cell selection and reselection quality measure | MP   |                     | Enumerated (CPICH Ec/N0, CPICH RSCP) | Choice of measurement (CPICH Ec/N0 or CPICH RSCP) to use as quality measure Q for FDD cells. This IE is also sent to the UE in SIB11/12. Both occurrences of the IE should be set to the same value. |
| CHOICE <i>mode</i>                             | MP   |                     |                                      |  |
| >FDD   |      |                     |                                      |  |
| >>S <sub>intrasearch</sub>                     | OP   |                     | Integer (-32..20 by step of 2)       | If a negative value is received the UE shall consider the value to be 0.<br>[4]<br>[dB]  |
| >>S <sub>intersearch</sub>                     | OP   |                     | Integer (-32..20 by step of 2)       | If a negative value is received the UE shall consider the value to be 0.<br>[4]<br>[dB]  |
| >>S <sub>searchHCS</sub>                       | OP   |                     | Integer (-105..91 by step of 2)      | If a negative value is received the UE shall consider the value to be 0.<br>[4]<br>[dB]  |
| >>RAT List                                     | OP   | 1 to <maxOther RAT> |                                      |  |
| >>>RAT identifier                              | MP   |                     | Enumerated (GSM, cdma2000)           |  |
| >>>S <sub>search,RAT</sub>                     | MP   |                     | Integer (-32..20 by step of 2)       | In case the value 20 is received the UE shall consider this IE as if it was absent according to [4]<br>If a negative value is received the UE shall consider the value to be 0.<br>[dB]              |
| >>>S <sub>HCS,RAT</sub>                        | OP   |                     | Integer (-105..91 by step of 2)      | If a negative value is received the UE shall consider the value to be 0.<br>[4]<br>[dB]  |
| >>>S <sub>limit,SearchRAT</sub>                | MP   |                     | Integer (-32..20 by step of 2)       | If a negative value is received the UE shall consider the value to be 0.<br>[4]<br>[dB]  |
| >>Qqualmin                                     | MP   |                     | Integer (-24..0)                     | Ec/N0, [dB]  |
| >>Qrxlevmin                                    | MP   |                     | Integer (-115..-25 by step of 2)     | RSCP, [dBm]  |
| >TDD   |      |                     |                                      |  |
| >>S <sub>intrasearch</sub>                     | OP   |                     | Integer (-                           | If a negative value is received  |

| Information Element/Group name  | Need                   | Multi               | Type and reference                        | Semantics description   |
|---------------------------------|------------------------|---------------------|---|---|
|                                 |                        |                     | 105..91 by step of 2)                     | the UE shall consider the value to be 0.<br>[4]<br>[dB]   |
| >>S <sub>intersearch</sub>      | OP                     |                     | Integer (-105..91 by step of 2)           | If a negative value is received the UE shall consider the value to be 0.<br>[4]<br>[dB]   |
| >>S <sub>searchHCS</sub>        | OP                     |                     | Integer (-105..91 by step of 2)           | If a negative value is received the UE shall consider the value to be 0.<br>[4]<br>[dB]   |
| >>RAT List                      | OP                     | 1 to <maxOther RAT> |   |   |
| >>>RAT identifier               | MP                     |                     | Enumerated (GSM, cdma2000)                |   |
| >>>S <sub>search,RAT</sub>      | MP                     |                     | Integer (-105..91 by step of 2)           | In case the value 91 is received the UE shall consider this IE as if it was absent according to [4]<br>If a negative value is received the UE shall consider the value to be 0.<br>[dB] |
| >>>S <sub>HCS,RAT</sub>         | OP                     |                     | Integer (-105..91 by step of 2)           | If a negative value is received the UE shall consider the value to be 0.<br>[4]<br>[dB]   |
| >>>S <sub>limit,SearchRAT</sub> | MP                     |                     | Integer (-105..91 by step of 2)           | If a negative value is received the UE shall consider the value to be 0.<br>[4]<br>[dB]   |
| >>Qrxlevmin                     | MP                     |                     | Integer (-115..-25 by step of 2)          | RSCP, [dBm]   |
| Qhyst1 <sub>s</sub>             | MP                     |                     | Integer (0..40 by step of 2)              | [4]<br>[dB]   |
| Qhyst2 <sub>s</sub>             | CV-FDD-Quality-Measure |                     | Integer (0..40 by step of 2)              | Default value is Qhyst1 <sub>s</sub><br>[4]<br>[dB]   |
| Treselection <sub>s</sub>       | MP                     |                     | Integer (0..31)                           | [s]   |
| HCS Serving cell Information    | OP                     |                     | HCS Serving cell information<br>10.3.7.12 |   |
| Maximum allowed UL TX power     | MP                     |                     | Maximum allowed UL TX power<br>10.3.6.39  | [dBm]<br>UE_TXPWR_MAX_RACH in [4].  |

| Condition           | Explanation  |
|---------------------|--|
| FDD-Quality-Measure | The IE is not needed if the IE "Cell selection and reselection quality measure" has the value CPICH RSCP, otherwise the IE is mandatory and has a default value. |

## 10.3.2.4 Cell selection and re-selection info for SIB11/12

| Information Element/Group name    | Need                          | Multi | Type and reference                          | Semantics description  |
|-----------------------------------|-------------------------------|-------|---|--|
| Qoffset1 <sub>s,n</sub>           | MD                            |       | Integer(-50..50)                            | Default value is 0. [dB]   |
| Qoffset2 <sub>s,n</sub>           | <i>CV-FDD-Quality-Measure</i> |       | Integer(-50..50)                            | Default value is 0. [dB]   |
| Maximum allowed UL TX power       | MD                            |       | Maximum allowed UL TX power 10.3.6.39       | According to UE_TXPWR_MAX_RACH in [4], [dBm].<br>If applied to FDD or TDD cells, the default is the Maximum allowed UL TX power for the serving cell.<br>If applied to a GSM cell, the default is the UE maximum output power applicable for this GSM cell, according to the UE's radio access capability. |
| HCS neighbouring cell information | OP                            |       | HCS Neighbouring cell information 10.3.7.11 |  |
| CHOICE mode                       | MP                            |       |   |  |
| >FDD                              |                               |       |   |  |
| >>Qqualmin                        | <i>CV-FDD-Serving-Cell</i>    |       | Integer (-24..0)                            | Ec/NO, [dB]<br>Default value is Qqualmin for the serving cell  |
| >>Qrxlevmin                       | MD                            |       | Integer (-115..-25 by step of 2)            | RSCP, [dBm]<br>Default value is Qrxlevmin for the serving cell   |
| >TDD                              |                               |       |   |  |
| >>Qrxlevmin                       | MD                            |       | Integer (-115..-25 by step of 2)            | RSCP, [dBm]<br>Default value is Qrxlevmin for the serving cell   |
| >GSM                              |                               |       |   |  |
| >>Qrxlevmin                       | MD                            |       | Integer (-115..-25 by step of 2)            | GSM RSSI, [dBm]<br>Default value is Qrxlevmin for the serving cell   |

| Condition                  | Explanation  |
|----------------------------|--|
| <i>FDD-Quality-Measure</i> | This IE is mandatory and has a default value for Intra/Inter Frequency Cells if the IE "Cell selection and reselection quality measure" has the value CPICH Ec/No. Otherwise the IE is absent. |
| <i>FDD-Serving-Cell</i>    | This IE is mandatory and has a default value if the serving cell is an FDD cell. Otherwise the IE is mandatory present.  |

## 10.3.2.5 Mapping Info

| Information Element/Group name | Need | Multi         | Type and Reference   | Semantics description | Version                                   |
|--------------------------------|------|---------------|--|-----------------------|---|
| Mapping List                   | MP   | 1 to <MaxRAT> |  |                       |   |
| >RAT                           | MP   |               | Enumerated (UTRA FDD, UTRA TDD 3.84 Mcps, UTRA TDD 1.28 Mcps, GSM, |                       | UTRA TDD 1.28 Mcps is included for REL-4. |

| Information Element/Group name   | Need              | Multi                    | Type and Reference   | Semantics description  | Version                                   |
|----------------------------------|-------------------|--------------------------|--|--|---|
|                                  |                   |                          | cdma2000)  |  |   |
| >Mapping Function Parameter List | MP                | 1 to <maxMeas Intervals> |  |  |   |
| >>Function type                  | MP                |                          | Enumerated (linear, function type 2, function type 3, function type 4) | Type of the function within the interval.  |   |
| >>Map_parameter_1                | MD                |                          | Integer (0..99)  | Parameter describing the mapping function between the quality measurement and the representing quality value, see [4]. Default value is zero for the first interval or otherwise the value of Map_parameter_2 of the interval before.  |   |
| >>Map_parameter_2                | MP                |                          | Integer (0..99)  | Parameter describing the mapping function between the quality measurement and the representing quality value, see [4].   |   |
| >>Upper_limit                    | CV- <i>MaxInt</i> |                          | Integer (1..MaxMeas )  | Upper limit of interval for which the Map_parameter_1 and Map_parameter_2 are valid.<br>MaxMeas = 25 if RAT = UTRA FDD / CPICH Ec/N0,<br>MaxMeas = 91 if RAT = UTRA TDD 3.84 Mcps or if RAT = UTRA TDD 1.28 Mcps or if RAT = UTRA FDD/ CPICH RSCP,<br>MaxMeas = 63 if RAT = GSM. | UTRA TDD 1.28 Mcps is included for REL-4. |

| Condition     | Explanation   |
|---------------|---|
| <i>MaxInt</i> | This IE is mandatory present if Mapping Function Parameter List has not reached maxMeasIntervals and is not needed otherwise. |

### 10.3.2.6 URA identity

Gives the identity of the UTRAN Registration Area. It can be used to indicate to the UE which URA it shall use in case of overlapping URAs.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| URA identity                   | MP   |       | bit string(16)     |                       |

## 10.3.3 UE Information elements

### 10.3.3.1 Activation time

Activation Time defines the frame number/time at which the operation/changes caused by the related message shall take effect. Values between 0 and 255 indicate the absolute value of CFN (Connection Frame Number) of that frame number/time.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Activation time                | MP   |       | Integer(0..255)    | CFN [10]              |

### 10.3.3.2 Capability Update Requirement

This IE indicates to the UE which specific capabilities to transfer to the network.

| Information Element/Group name                              | Need | Multi                      | Type and reference | Semantics description  | Version               |
|---|------|----------------------------|--------------------|--|-----------------------|
| UE radio access FDD capability update requirement           | MP   |                            | Boolean            | TRUE indicates update required   |                       |
| UE radio access 3.84 Mcps TDD capability update requirement | MP   |                            | Boolean            | TRUE indicates update required   | Name changed in REL-4 |
| UE radio access 1.28 Mcps TDD capability update requirement | MP   |                            | Boolean            | TRUE indicates update required   | REL-4                 |
| System specific capability update requirement list          | OP   | 1 to <maxSystemCapability> |                    | In this version, a maximum size of 4 of the list shall be applied and any items after the 4 <sup>th</sup> item in the list shall be ignored. |                       |
| >System specific capability update requirement              | MP   |                            | Enumerated (GSM)   |  |                       |

Default value is:

"UE radio capability FDD update requirement" = false

"UE radio capability 3.84 Mcps TDD update requirement" = false

"UE radio capability 1.28 Mcps TDD update requirement" = false

"System specific capability update requirement" not present.

### 10.3.3.3 Cell update cause

Indicates the cause for cell update.

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description      |
|--------------------------------|------|-------|---|----------------------------|
| Cell update cause              | MP   |       | Enumerated (cell reselection, periodical cell update, uplink data transmission , paging response, re-entered service area, radio link failure, RLC unrecoverable error) | One spare value is needed. |

### 10.3.3.4 Ciphering Algorithm

| Information Element/Group name | Need | Multi | Type and reference      | Semantics description |
|--------------------------------|------|-------|-------------------------|-----------------------|
| Ciphering algorithm            | MP   |       | Enumerated (UEA0, UEA1) |                       |

### 10.3.3.5 Ciphering mode info

This information element contains the ciphering specific security mode control information.

| Information Element/Group name                       | Need | Multi | Type and reference                 | Semantics description   |
|--|------|-------|------------------------------------|---|
| Ciphering mode command                               | MP   |       | Enumerated (start/restart)         |   |
| Ciphering algorithm                                  | MP   |       | Ciphering algorithm 10.3.3.4       |   |
| Ciphering activation time for DPCH                   | OP   |       | Activation time 10.3.3.1           | Used for radio bearers mapped on RLC-TM. Only applicable if the UE is already in CELL_DCH state |
| Radio bearer downlink ciphering activation time info | OP   |       | RB activation time info, 10.3.4.13 | Used for radio bearers mapped on RLC-AM or RLC-UM   |

### 10.3.3.6 CN domain specific DRX cycle length coefficient

A coefficient in the formula to count the paging occasions to be used by a specific UE (specified in [4]) .

| Information Element/Group name                  | Need | Multi | Type and reference | Semantics description   |
|---|------|-------|--------------------|---|
| CN domain specific DRX cycle length coefficient | MP   |       | Integer(6...9)     | Refers to 'k' in the formula as specified in [4], Discontinuous reception |

## 10.3.3.7 CPCH Parameters

NOTE: Only for FDD.

These parameters are used by any UE using any CPCH set allocated to the cell that is broadcasting this system information.

| Information Element/Group name | Need            | Multi       | Type and reference                    | Semantics description  |
|--------------------------------|-----------------|-------------|---------------------------------------|--|
| Initial Priority Delay         | OP              | 1 to maxASC |                                       | Initial delays for ASC priority.   |
| >NS_IP                         | MP              |             | Integer (0...28)                      | Number of slots for initial fixed delay for each ASC priority level  |
| Backoff control parameters     | MP              |             |                                       |  |
| >N_ap_retrans_max              | MP              |             | Integer (1...64)                      | Max number of AP transmissions without AP-AICH response, a PHY parameter.  |
| >N_access_fails                | MP              |             | Integer (1...64)                      | Max number of preamble ramping cycles when NAK response received, a MAC parameter.   |
| >NF_bo_no_aich                 | MP              |             | Integer (0...31)                      | Number of frames for UE backoff after N <sub>ap_retrans_max</sub> unsuccessful AP access attempts, a MAC parameter.  |
| >NS_bo_busy                    | MP              |             | Integer (0...63)                      | Number of slots for UE fixed backoff after access attempt to busy CPCH, a MAC parameter.   |
| >NF_bo_all_busy                | MP              |             | Integer (0...31)                      | Max number of frames for UE backoff after access attempt to last busy CPCH, a MAC parameter. UE randomly selects backoff value from range (0..NF_bo_all_busy)  |
| >NF_bo_mismatch                | MP              |             | Integer (0...127)                     | Max number of frames for the UE backoff after received mismatch on CD/CA-IICH, a MAC parameter. UE randomly selects backoff value from range (0..NF_bo_mismatch)   |
| >T_CPCH                        | MP              |             | Enumerated (0, 1)                     | CPCH channel timing used to determine Tau, a PHY parameter   |
| Power Control Algorithm        | MP              |             | Enumerated (algorithm 1, algorithm 2) | Specifies algorithm to be used by UE to interpret TPC commands   |
| TPC step size                  | CV- <i>algo</i> |             | Integer (1, 2)                        | In dB  |
| DL DPCCH BER                   | MP              |             | Integer (0..63)                       | The BER quality value shall be set in the range $0 \leq \text{DPCCH BER} \leq 1$ in the unit BER_dB where:<br><br>BER_dB_0: DPCCH BER = 0<br><br>BER_dB_1: $-\infty < \text{Log}_{10}(\text{DPCCH BER}) < -4.03$<br><br>BER_dB_2: $-4.03 \leq \text{Log}_{10}(\text{DPCCH BER}) < -3.965$<br><br>BER_dB_3: $-3.965 \leq \text{Log}_{10}(\text{DPCCH BER}) < -3.9$<br>...<br>BER_dB_61: $-0.195 \leq$ |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
|                                |      |       |                    | $\text{Log}_{10}(\text{DPCCH BER}) < -0.13$<br>$\text{BER\_dB\_62}: -0.13 \leq \text{Log}_{10}(\text{DPCCH BER}) < -0.065$<br>$\text{BER\_dB\_63}: -0.065 \leq \text{Log}_{10}(\text{DPCCH BER}) \leq 0$ |

| Condition   | Explanation  |
|-------------|--|
| <i>algo</i> | The IE is mandatory present if "Power Control Algorithm" is set to "algorithm 1", otherwise the IE is not needed |

### 10.3.3.8 C-RNTI

The cell RNTI (C-RNTI) identifies a UE having a RRC connection within a cell.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| C-RNTI                         | MP   |       | bit string(16)     |                       |

### 10.3.3.9 DRAC system information

| Information element       | Need | Multi                  | Type and reference                    | Semantics description                               |
|---------------------------|------|------------------------|---------------------------------------|---|
| DRAC system information   | MP   | 1 to <maxDRA Cclasses> |                                       | DRAC information is sent for each class of terminal |
| >Transmission probability | MP   |                        | Transmission probability<br>10.3.3.39 |   |
| >Maximum bit rate         | MP   |                        | Maximum bit rate<br>10.3.3.20         |   |

### 10.3.3.9a DSCH-RNTI

In FDD, the DSCH-RNTI identifies a UE in CELL\_DCH using a DSCH within a cell. In TDD, the DSCH-RNTI identifies a UE in CELL\_DCH or CELL\_FACH using a DSCH or USCH within the cell.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| DSCH-RNTI                      | MP   |       | bit string(16)     |                       |

### 10.3.3.10 Void



## 10.3.3.11 Establishment cause

Cause for an RRC connection establishment request.

| Information Element/Group name | Need | Multi | Type and reference   | Semantics description           |
|--------------------------------|------|-------|--|---------------------------------|
| Establishment cause            | MP   |       | Enumerated( Originating Conversational Call, Originating Streaming Call, Originating Interactive Call, Originating Background Call, Originating Subscribed traffic Call, Terminating Conversational Call, Terminating Streaming Call, Terminating Interactive Call, Terminating Background Call, Emergency Call, Inter-RAT cell re-selection, Inter-RAT cell change order, Registration, Detach, Originating High Priority Signalling, Originating Low Priority Signalling, Call re-establishment, Terminating High Priority Signalling, Terminating Low Priority Signalling, Terminating – cause unknown) | Twelve spare values are needed. |

## 10.3.3.12 Expiration Time Factor

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| Expiration Time Factor         | MP   |       | Enumerated(2times, 4times, 8times, 16times, 32times, 64times, 128times, 256times) |                       |

## 10.3.3.13 Failure cause

Cause for failure to perform the requested procedure.

| Information Element/Group name | Need | Multi | Type and reference   | Semantics description          |
|--------------------------------|------|-------|--|--------------------------------|
| Failure cause                  | MP   |       | Enumerated (configuration unsupported, physical channel failure, incompatible simultaneous reconfiguration, protocol error, compressed mode runtime error, cell update occurred, invalid configuration, configuration incomplete, unsupported measurement) | Seven spare values are needed. |

## 10.3.3.14 Failure cause and error information

Cause for failure to perform the requested procedure.

| Information Element/Group name | Need           | Multi | Type and reference                   | Semantics description |
|--------------------------------|----------------|-------|--------------------------------------|-----------------------|
| Failure cause                  | MP             |       | Failure cause 10.3.3.13              |                       |
| Protocol error information     | CV-ProtErr     |       | Protocol error information 10.3.8.12 |                       |
| Deleted TGPSI                  | CV-CompModeErr |       | TGPSI 10.3.6.82                      |                       |

| Condition          | Explanation   |
|--------------------|---|
| <i>ProtErr</i>     | The IE is mandatory present if the IE "Failure cause" has the value "Protocol error"; otherwise it is not needed in the message.                |
| <i>CompModeErr</i> | The IE is mandatory present if the IE "Failure cause" has the value "Compressed mode runtime error"; otherwise it is not needed in the message. |

### 10.3.3.15 Initial UE identity

This information element identifies the UE at a request of an RRC connection.

| Information Element/Group name | Need | Multi | Type and reference                       | Semantics description  |
|--------------------------------|------|-------|--|--|
| CHOICE <i>UE id type</i>       | MP   |       |  |  |
| >IMSI (GSM-MAP)                |      |       | IMSI (GSM-MAP)<br>10.3.1.5               |  |
| >TMSI and LAI (GSM-MAP)        |      |       |  |  |
| >>TMSI (GSM-MAP)               | MP   |       | TMSI (GSM-MAP)<br>10.3.1.17              |  |
| >>LAI (GSM-MAP)                | MP   |       | Location Area Identification<br>10.3.1.7 |  |
| >P-TMSI and RAI (GSM-MAP)      |      |       |  |  |
| >>P-TMSI (GSM-MAP)             | MP   |       | P-TMSI (GSM-MAP)<br>10.3.1.13            |  |
| >>RAI (GSM-MAP)                | MP   |       | Routing Area Identification<br>10.3.1.16 |  |
| >IMEI                          |      |       | IMEI<br>10.3.1.4                         |  |
| >ESN (DS-41)                   |      |       | Bit string<br>(SIZE (32))                | TIA/EIA/IS-2000-4  |
| >IMSI (DS-41)                  |      |       | Octet string<br>(SIZE (5..7))            | TIA/EIA/IS-2000-4  |
| >IMSI and ESN (DS-41)          |      |       |  | TIA/EIA/IS-2000-4  |
| >>IMSI (DS-41)                 | MP   |       | Octet string<br>(SIZE (5..7))            | TIA/EIA/IS-2000-4  |
| >>ESN (DS-41)                  | MP   |       | Bit string<br>(SIZE (32))                | TIA/EIA/IS-2000-4  |
| >TMSI (DS-41)                  |      |       | Octet string<br>(SIZE (2..17))           | TIA/EIA/IS-2000-4<br>Although normally upto 12 digits are used for this IE, a bigger length is used to support future extension. |

### 10.3.3.16 Integrity check info

The Integrity check info contains the RRC message sequence number needed in the calculation of XMAC-I [40] and the calculated MAC-I.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| Message authentication code    | MP   |       | bit string(32)     | MAC-I [40]. The first/leftmost bit of the bit string contains the most significant bit of the MAC-I. The 27 MSB of the IE shall be set to zero and the 5 LSB of the IE shall be set to the value of the IE "RB identity" for the used signalling radio bearer when the encoded RRC message is used as the MESSAGE parameter in the integrity protection algorithm. |
| RRC Message sequence number    | MP   |       | Integer (0..15)    | The local RRC hyper frame number (RRC HFN) is concatenated with the RRC message sequence number to form the input parameter COUNT-I for the integrity protection algorithm.<br>The IE value shall be set to zero when the encoded RRC message is used as the MESSAGE parameter in the integrity protection algorithm.  |

### 10.3.3.17 Integrity protection activation info

This IE contains the time, in terms of RRC sequence numbers, when a new integrity protection configuration shall be activated for the signalling radio bearers.

| Information Element/Group name   | Need | Multi  | Type and reference | Semantics description  |
|----------------------------------|------|--------|--------------------|--|
| RRC message sequence number list | MP   | 4 to 5 |                    | The RRC sequence number when a new integrity protection configuration shall be applied, for signalling radio bearers in the order RB0, RB1, RB2, RB3, RB4.<br><br>The value for RB1 shall be ignored if this IE was included in a RRC message sent on RB1.<br><br>The value for RB2 shall be ignored if this IE was included in a RRC message sent on RB2. |
| >RRC message sequence number     | MP   |        | Integer (0..15)    |  |

### 10.3.3.18 Integrity protection Algorithm

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Integrity protection algorithm | MP   |       | Enumerated (UIA1)  |                       |

## 10.3.3.19 Integrity protection mode info

| Information Element/Group name                | Need      | Multi | Type and reference                                | Semantics description  |
|---|-----------|-------|---|--|
| Integrity protection mode command             | MP        |       | Enumerated(start, modify)                         |  |
| Downlink integrity protection activation info | CV-modify |       | Integrity protection activation info<br>10.3.3.17 |  |
| Integrity protection algorithm                | OP        |       | Integrity protection algorithm<br>10.3.3.18       |  |
| Integrity protection initialisation number    | CV-start  |       | Bit string(32)                                    | FRESH [40]. The first/leftmost bit of the bit string contains the most significant bit of the FRESH. |

| Condition | Explanation  |
|-----------|--|
| Start     | The IE is mandatory present if the IE "Integrity protection mode command" has the value "start ", otherwise it is not needed in the message. |
| Modify    | The IE is mandatory present if the IE "Integrity protection mode command" has the value "modify" and not needed otherwise.                   |

## 10.3.3.20 Maximum bit rate

NOTE: Only for FDD.

Indicates the maximum user bit rate allowed on a DCH controlled by DRAC procedure for the transmission period (Transmission time validity).

| Information Element/Group name | Need | Multi | Type and reference            | Semantics description |
|--------------------------------|------|-------|-------------------------------|-----------------------|
| Maximum bit rate               | MP   |       | integer(0..512 by step of 16) | =kbit/s               |

## 10.3.3.21 Measurement capability

| Information Element/Group name           | Need                 | Multi | Type and reference | Semantics description  | Version               |
|--|----------------------|-------|--------------------|--|-----------------------|
| <b>Need for downlink compressed mode</b> |                      |       |                    |  |                       |
| FDD measurements                         | MP                   |       | Boolean            | TRUE means that the UE requires DL compressed mode in order to perform measurements on FDD           |                       |
| 3.84 Mcps TDD measurements               | CV-3.84_Mcps_tdd_sup |       | Boolean            | TRUE means that the UE requires DL compressed mode in order to perform measurements on 3.84 Mcps TDD | Name changed in REL-4 |
| 1.28 Mcps TDD measurements               | CV-1.28_Mcps         |       | Boolean            | TRUE means that the UE requires  | REL-4                 |

| Information Element/Group name         | Need                        | Multi | Type and reference | Semantics description  | Version               |
|--|-----------------------------|-------|--------------------|--|-----------------------|
|  | <i>_tdd_sup</i>             |       |                    | DL compressed mode in order to perform measurements on 1.28 Mcps TDD                                 |                       |
| GSM measurements                       | <i>CV-gsm_sup</i>           |       |                    |  |                       |
| >GSM 900                               | MP                          |       | Boolean            | TRUE means that the UE requires DL compressed mode in order to perform measurements on GSM 900       |                       |
| >DCS 1800                              | MP                          |       | Boolean            | TRUE means that the UE requires DL compressed mode in order to perform measurements on DCS 1800      |                       |
| >GSM 1900                              | MP                          |       | Boolean            | TRUE means that the UE requires DL compressed mode in order to perform measurements on GSM 1900      |                       |
| Multi-carrier measurement              | <i>CV-mc_sup</i>            |       | Boolean            | TRUE means that the UE requires DL compressed mode in order to perform measurements on multi-carrier |                       |
| <b>Need for uplink compressed mode</b> |                             |       |                    |  |                       |
| FDD measurements                       | MP                          |       | Boolean            | TRUE means that the UE requires UL compressed mode in order to perform measurements on FDD           |                       |
| 3.84 Mcps TDD measurements             | <i>CV-3.84_Mcps_tdd_sup</i> |       | Boolean            | TRUE means that the UE requires UL compressed mode in order to perform measurements on 3.84 Mcps TDD | Name changed in REL-4 |
| 1.28 Mcps TDD measurements             | <i>CV-1.28_Mcps_tdd_sup</i> |       | Boolean            | TRUE means that the UE requires DL compressed mode in order to perform measurements on 1.28 Mcps TDD | REL-4                 |
| GSM measurements                       | <i>CV-gsm_sup</i>           |       |                    |  |                       |
| >GSM 900                               | MP                          |       | Boolean            | TRUE means that the UE requires UL compressed mode in order to perform measurements on               |                       |

| Information Element/Group name | Need                 | Multi | Type and reference | Semantics description  | Version |
|--------------------------------|----------------------|-------|--------------------|--|---------|
| >DCS 1800                      | MP                   |       | Boolean            | GSM 900<br>TRUE means that the UE requires UL compressed mode in order to perform measurements on DCS 1800 |         |
| >GSM 1900                      | MP                   |       | Boolean            | TRUE means that the UE requires UL compressed mode in order to perform measurements on GSM 1900            |         |
| Multi-carrier measurement      | CV-<br><i>mc_sup</i> |       | Boolean            | TRUE means that the UE requires UL compressed mode in order to perform measurements on multi-carrier       |         |

| Condition                | Explanation   |
|--------------------------|---|
| <i>3.84_Mcps_tdd_sup</i> | The IE is mandatory present if an IE "TDD RF capability" is present with the IE "Chip rate capability" set to "3.84 Mcps". Otherwise this field is not needed in the message.         |
| <i>1.28_Mcps_tdd_sup</i> | The IE is mandatory present if an IE "TDD RF capability" is present with the IE "Chip rate capability" set to "1.28 Mcps". Otherwise this field is not needed in the message.         |
| <i>gsm_sup</i>           | The IE is mandatory present if the IE "Inter-RAT UE radio access capability" indicates support for GSM900, GSM1800 and/or GSM1900. Otherwise this field is not needed in the message. |
| <i>mc_sup</i>            | The IE is mandatory present if the IE "Support of multi-carrier" has the value TRUE. Otherwise this field is not needed in the message.   |

### 10.3.3.21a Measurement capability extension

This IE may be used to replace the measurement capability information provided within IE "Measurement capability".

| Information Element/Group name | Need | Multi                             | Type and reference                  | Semantics description  |
|--------------------------------|------|-----------------------------------|-------------------------------------|--|
| FDD measurements               | MP   | 1 to<br><maxFreq<br>BandsFDD<br>> |                                     |  |
| >FDD Frequency band            | MD   |                                   | Enumerated(<br>FDD2100,<br>FDD1900) | The default value is the same as indicated in the IE "Frequency band" included in the IE " UE radio access capability extension".<br>Six spare values are needed |
| >Need for DL compressed mode   | MP   |                                   | Boolean                             | TRUE means that the UE requires DL compressed mode in order to perform measurements on the FDD frequency band indicated by the IE "FDD Frequency band"           |

| Information Element/Group name | Need               | Multi                    | Type and reference   | Semantics description  |
|--------------------------------|--------------------|--------------------------|--|--|
| >Need for UL compressed mode   | MP                 |                          | Boolean  | TRUE means that the UE requires UL compressed mode in order to perform measurements on the FDD frequency band indicated by the IE "FDD Frequency band" |
| TDD measurements               | CV- <i>tdd_sup</i> | 1 to <maxFreq BandsTDD > |  |  |
| >TDD Frequency band            | MP                 |                          | Enumerated(a, b, c)  |  |
| >Need for DL compressed mode   | MP                 |                          | Boolean  | TRUE means that the UE requires DL compressed mode in order to perform measurements on TDD frequency band indicated by the IE "TDD Frequency band"     |
| >Need for UL compressed mode   | MP                 |                          | Boolean  | TRUE means that the UE requires UL compressed mode in order to perform measurements on TDD frequency band indicated by the IE "TDD Frequency band"     |
| GSM measurements               | CV- <i>gsm_sup</i> | 1 to <maxFreq BandsGS M> |  |  |
| >GSM Frequency band            | MP                 |                          | Enumerated(GSM450, GSM480, GSM850, GSM900P, GSM900E, GSM1800, GSM1900) | as defined in [45].<br>Nine spare values are needed.   |
| >Need for DL compressed mode   | MP                 |                          | Boolean  | TRUE means that the UE requires DL compressed mode in order to perform measurements on GSM frequency band indicated by the IE "GSM Frequency band"     |
| >Need for UL compressed mode   | MP                 |                          | Boolean  | TRUE means that the UE requires UL compressed mode in order to perform measurements on GSM frequency band indicated by the IE "GSM Frequency band"     |
| Multi-carrier measurement      | CV- <i>mc_sup</i>  |                          |  |  |
| >Need for DL compressed mode   | MP                 |                          | Boolean  | TRUE means that the UE requires DL compressed mode in order to perform measurements on multi-carrier   |
| >Need for UL compressed mode   | MP                 |                          | Boolean  | TRUE means that the UE requires UL compressed mode in order to perform measurements on multi-carrier   |



| Condition      | Explanation  |
|----------------|--|
| <i>tdd_sup</i> | The IE is mandatory present if the IE "Multi-mode capability" has the value "TDD" or "FDD/TDD". Otherwise this field is not needed in the message. |
| <i>gsm_sup</i> | The IE is mandatory present if the IE "Support of GSM" has the value TRUE. Otherwise this field is not needed in the message.                      |
| <i>mc_sup</i>  | The IE is mandatory present if the IE "Support of multi-carrier" has the value TRUE. Otherwise this field is not needed in the message.            |

### 10.3.3.22 Paging cause

Cause for a CN originated page.

| Information Element/Group name | Need | Multi | Type and reference   | Semantics description      |
|--------------------------------|------|-------|--|----------------------------|
| Paging cause                   | MP   |       | Enumerated( Terminating Conversational Call, Terminating Streaming Call, Terminating Interactive Call, Terminating Background Call, Terminating High Priority Signalling, Terminating Low Priority Signalling, Terminating – cause unknown ) | One spare value is needed. |

### 10.3.3.23 Paging record

| Information Element/Group name     | Need | Multi | Type and reference          | Semantics description          |
|------------------------------------|------|-------|-----------------------------|--------------------------------|
| CHOICE <i>Used paging identity</i> | MP   |       |                             |                                |
| >CN identity                       |      |       |                             |                                |
| >>Paging cause                     | MP   |       | Paging cause 10.3.3.22      |                                |
| >>>CN domain identity              | MP   |       | CN domain identity 10.3.1.1 |                                |
| >>>CHOICE <i>UE Identity</i>       | MP   |       |                             | Three spare values are needed. |
| >>>>IMSI (GSM-MAP)                 |      |       | IMSI (GSM-MAP) 10.3.1.5     |                                |
| >>>>TMSI (GSM-MAP)                 |      |       | TMSI (GSM-MAP) 10.3.1.17    |                                |
| >>>>P-TMSI (GSM-MAP)               |      |       | P-TMSI (GSM-MAP) 10.3.1.13  |                                |

| Information Element/Group name            | Need | Multi | Type and reference                         | Semantics description |
|---|------|-------|--|-----------------------|
| >>>IMSI (DS-41)                           |      |       | TIA/EIA/IS-2000-4                          |                       |
| >>>TMSI (DS-41)                           |      |       | TIA/EIA/IS-2000-4                          |                       |
| >UTRAN identity                           |      |       |  |                       |
| >>U-RNTI                                  | MP   |       | U-RNTI<br>10.3.3.47                        |                       |
| >>CN originated page to connected mode UE | OP   |       |  |                       |
| >>>Paging cause                           | MP   |       | Paging cause<br>10.3.3.22                  |                       |
| >>>CN domain identity                     | MP   |       | CN domain identity<br>10.3.1.1             |                       |
| >>>Paging record type identifier          | MP   |       | Paging record type identifier<br>10.3.1.10 |                       |

| Condition                                 | Explanation  |
|---|--|
| <b>CHOICE</b> <i>Used paging identity</i> | <b>Condition under which the given <i>used paging identity</i> is chosen</b> |
| CN identity                               | For CN originating pages (for idle mode UEs)                                 |
| UTRAN identity                            | For UTRAN originating pages (for connected mode UEs)                         |

### 10.3.3.24 PDCP capability

Indicates which algorithms and which value range of their parameters are supported by the UE.

| Information Element/Group name           | Need                       | Multi | Type and reference  | Semantics description  | Version |
|--|----------------------------|-------|---|--|---------|
| Support for lossless SRNS relocation     | MP                         |       | Boolean   | TRUE means supported   |         |
| Support for RFC2507                      | MP                         |       | Boolean   | TRUE means supported   |         |
| >Max HC context space                    |                            |       | Integer(512, 1024, 2048, 4096, 8192)                                  |  |         |
| Support for RFC 3095                     | CV- <i>not_iRAT_HoInfo</i> |       | Boolean   | TRUE means supported   | REL-4   |
| >Maximum number of ROHC context sessions | MD                         |       | Integer( 2, 4, 8, 12, 16, 24, 32, 48, 64, 128, 256, 512, 1024, 16384) | Default value is 16.   | REL-4   |
| >Reverse decompression depth             | MD                         |       | Integer (0..65535)  | Default value is 0 (reverse decompression is not supported). | REL-4   |

| Condition              | Explanation  |
|------------------------|--|
| <i>not_iRAT_HoInfo</i> | The IE is not needed in the INTER RAT HANDOVER INFO message. Otherwise, it is mandatory present. |

## 10.3.3.25 Physical channel capability

| Information Element/Group name                            | Need  | Multi | Type and Reference   | Semantics description   | Version               |
|---|---|-------|--|---|-----------------------|
| Downlink physical channel capability information elements |   |       |  |   |                       |
| FDD downlink physical channel capability                  | CH-<br><i>fdd_req_su</i><br><i>p</i>                      |       |  |   |                       |
| >Max no DPCH/PDSCH codes                                  | MP  |       | Integer (1..8)   | Maximum number of DPCH/PDSCH codes to be simultaneously received  |                       |
| >Max no physical channel bits received                    | MP  |       | Integer (1200, 2400, 3600, 4800, 7200, 9600, 14400, 19200, 28800, 38400, 48000, 57600, 67200, 76800) | Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH)   |                       |
| >Support for SF 512                                       | MP  |       | Boolean  | TRUE means supported  |                       |
| >Support of PDSCH   | MP  |       | Boolean  | TRUE means supported  |                       |
| >Simultaneous reception of SCCPCH and DPCH                | MP  |       | Boolean  | TRUE means supported  |                       |
| >Simultaneous reception of SCCPCH, DPCH and PDSCH         | CV-<br><i>if_sim_rec</i><br><i>_pdsch</i><br><i>_sup</i>  |       | Boolean  | TRUE means supported  |                       |
| >Max no of S-CCPCH RL                                     | CV-<br><i>if_sim_rec</i>                                  |       | Integer(1)   | Maximum number of simultaneous S-CCPCH radio links  |                       |
| >Support of dedicated pilots for channel estimation       | MD  |       | Enumerated (true)  | Presence of this element means supported and absence not supported. If the UE notifies support of this functionality, it should comply with the corresponding performance requirements. Note 1. |                       |
| 3.84 Mcps TDD downlink physical channel capability        | CH-<br><i>3.84_Mcps</i><br><i>_tdd_req_s</i><br><i>up</i> |       |  |   | Name changed in REL-4 |
| >Maximum number of timeslots per frame                    | MP  |       | Integer (1..14)  |   |                       |
| >Maximum number of physical channels per frame            | MP  |       | Integer (5..224)   |   |                       |
| >Minimum SF   | MP  |       | Integer (1, 16)  |   |                       |
| >Support of PDSCH   | MP  |       | Boolean  | TRUE means supported  |                       |
| >Maximum number of physical                               | MP  |       | Integer  |   |                       |

| Information Element/Group name                                 | Need                         | Multi | Type and Reference   | Semantics description | Version               |
|--|------------------------------|-------|--|-----------------------|-----------------------|
| channels per timeslot  |                              |       | (5..16)  |                       |                       |
| 1.28 Mcps TDD downlink physical channel capability             | CH-1.28_Mcps_tdd_req_s<br>up |       |  |                       | REL-4                 |
| >Maximum number of timeslots per subframe                      | MP                           |       | Integer (1..6)   |                       | REL-4                 |
| >Maximum number of physical channels per subframe              | MP                           |       | Integer (1..96)  |                       | REL-4                 |
| >Minimum SF  | MP                           |       | Integer (1, 16)  |                       | REL-4                 |
| >Support of PDSCH  | MP                           |       | Boolean  | TRUE means supported  | REL-4                 |
| >Maximum number of physical channels per timeslot              | MP                           |       | Integer (1..16)  |                       | REL-4                 |
| >Support of 8PSK   | MP                           |       | Boolean  | TRUE means supported  | REL-4                 |
| <b>Uplink physical channel capability information elements</b> |                              |       |  |                       |                       |
| FDD uplink physical channel capability                         | CH-fdd_req_s<br>up           |       |  |                       |                       |
| >Maximum number of DPDCH bits transmitted per 10 ms            | MP                           |       | Integer (600, 1200, 2400, 4800, 9600, 19200, 28800, 38400, 48000, 57600) |                       |                       |
| >Support of PCPCH  | MP                           |       | Boolean  | TRUE means supported  |                       |
| 3.84 Mcps TDD uplink physical channel capability               | CH-3.84_Mcps_tdd_req_s<br>up |       |  |                       | Name changed in REL-4 |
| >Maximum Number of timeslots per frame                         | MP                           |       | Integer (1..14)  |                       |                       |
| >Maximum number of physical channels per timeslot              | MP                           |       | Integer (1, 2)   |                       |                       |
| >Minimum SF  | MP                           |       | Integer (1, 2, 4, 8)   |                       |                       |
| >Support of PUSCH  | MP                           |       | Boolean  | TRUE means supported  |                       |
| 1.28 Mcps TDD uplink physical channel capability               | CH-1.28_Mcps_tdd_req_s<br>up |       |  |                       | REL-4                 |
| >Maximum Number of timeslots per subframe                      | MP                           |       | Integer (1..6)   |                       | REL-4                 |
| >Maximum number of physical channels per timeslot              | MP                           |       | Integer (1, 2)   |                       | REL-4                 |
| >Minimum SF  | MP                           |       | Integer (1, 2, 4, 8, 16)   |                       | REL-4                 |
| >Support of PUSCH  | MP                           |       | Boolean  | TRUE means supported  | REL-4                 |
| >Support of 8PSK   | MP                           |       | Boolean  | TRUE means supported  | REL-4                 |

| Condition                    | Explanation   |
|------------------------------|---|
| <i>if_sim_rec_pdsch_sup</i>  | The IE is mandatory present if the IE "Simultaneous reception of SCCPCH and DPCH" = True and IE Support of PDSCH = True. Otherwise this field is not needed in the message.   |
| <i>if_sim_rec</i>            | The IE is mandatory present if the IE "capability Simultaneous reception of SCCPCH and DPCH" = True. Otherwise this field is not needed in the message.   |
| <i>3.84_Mcps_tdd_req_sup</i> | The IE is mandatory present if the IE "TDD RF capability" is present with the IE "Chip rate capability" set to "3.84 Mcps" and a 3.84 Mcps TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message. |
| <i>1.28_Mcps_tdd_req_sup</i> | The IE is mandatory present if the IE "TDD RF capability" is present with the IE "Chip rate capability" set to "1.28 Mcps" and a 1.28 Mcps TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message. |
| <i>fdd_req_sup</i>           | The IE is mandatory present if the IE "Multi-mode capability" has the value "FDD" or "FDD/TDD" and a FDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.                                       |

NOTE 1: These performance requirements are defined in Release 5.

### 10.3.3.26 Protocol error cause

This IE indicates the cause for a message or information that was not comprehended.

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description        |
|--------------------------------|------|-------|---|------------------------------|
| Protocol error cause           | MP   |       | Enumerated (ASN.1 violation or encoding error, Message type non-existent or not implemented, Message not compatible with receiver state, Information element value not comprehended, Information element missing, Message extension not comprehended) | Two spare values are needed. |

### 10.3.3.27 Protocol error indicator

This IE indicates whether a message was transmitted due to a protocol error or not.

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| Protocol error indicator       | MP   |       | Boolean            | TRUE means a protocol error occurred. FALSE means a protocol error did not occur. |

### 10.3.3.28 RB timer indicator

This IE is used to indicate to UTRAN if the timers T314 or T315 has expired in the UE.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| T314 expired                   | MP   |       | Boolean            | TRUE means that the timer has expired or the stored value is zero.<br>FALSE means that the timer has not expired. |
| T315 expired                   | MP   |       | Boolean            | TRUE means that the timer has expired or the stored value is zero.<br>FALSE means that the timer has not expired. |

### 10.3.3.29 Redirection info

This IE is used to redirect the UE to another frequency or other system.

| Information Element/Group name        | Need | Multi | Type and reference          | Semantics description |
|---------------------------------------|------|-------|-----------------------------|-----------------------|
| CHOICE <i>Redirection Information</i> | MP   |       |                             |                       |
| >Frequency info                       |      |       | Frequency info<br>10.3.6.36 |                       |
| >Inter-RAT info                       |      |       | Inter-RAT info<br>10.3.7.25 |                       |

### 10.3.3.30 Re-establishment timer

This information element indicates which timer to associate with RAB.

| Information Element/Group name | Need | Multi | Type and reference                  | Semantics description |
|--------------------------------|------|-------|-------------------------------------|-----------------------|
| Re-establishment timer         | MP   |       | Enumerated(<br>useT314,<br>useT315) |                       |

## 10.3.3.31 Rejection cause

Cause for rejection of RRC connection establishment request.

| Information Element/Group name | Need | Multi | Type and reference                   | Semantics description |
|--------------------------------|------|-------|--------------------------------------|-----------------------|
| Rejection cause                | MP   |       | Enumerated( congestion, unspecified) |                       |

## 10.3.3.32 Release cause

Cause for release of RRC connection.

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description      |
|--------------------------------|------|-------|---|----------------------------|
| Release cause                  | MP   |       | Enumerated (normal event, unspecified, pre-emptive release, congestion, re-establishment reject, user inactivity), directed signalling connection re-establishment) | One spare value is needed. |

## 10.3.3.33 RF capability FDD

| Information Element/Group name | Need | Multi | Type and Reference                         | Semantics description   | Version |
|--------------------------------|------|-------|--|---|---------|
| UE power class                 | MP   |       | Enumerated( 1..4)                          | as defined in [21]  |         |
| Tx/Rx frequency separation     | MP   |       | Enumerated( 190, 174.8-205.2, 134.8-245.2) | In MHz as defined in [21].<br>NOTE: Not applicable if UE is not operating in frequency band a (as defined in [21]). |         |

## 10.3.3.33a RF capability FDD extension

| Information Element/Group name | Need | Multi | Type and Reference                        | Semantics description   |
|--------------------------------|------|-------|---|---|
| UE power class extension       | MP   |       | Enumerated(1..4)                          | as defined in [21]. Four spare values are needed  |
| Tx/Rx frequency separation     | MP   |       | Enumerated(190, 174.8-205.2, 134.8-245.2) | In MHz as defined in [21].<br>NOTE: Not applicable if UE is not operating in frequency band a (as defined in [21]). |

## 10.3.3.33b RF capability TDD

| Information Element/Group name | Need | Multi | Type and Reference                        | Semantics description                       |
|--------------------------------|------|-------|---|---|
| UE power class                 | MP   |       | Enumerated(1..4)                          | as defined in [22]                          |
| Radio frequency bands          | MP   |       | Enumerated(a, b, c, a+b, a+c, b+c, a+b+c) | as defined in [22]. One spare value needed. |
| Chip rate capability           | MP   |       | Enumerated(3.84Mcps, 1.28Mcps)            | as defined in [22]                          |

## 10.3.3.33c RF capability TDD 1.28 Mcps

| Information Element/Group name | Need | Multi | Type and Reference                        | Semantics description                       |
|--------------------------------|------|-------|---|---|
| Radio frequency bands          | MP   |       | Enumerated(a, b, c, a+b, a+c, b+c, a+b+c) | as defined in [22]. One spare value needed. |

## 10.3.3.34 RLC capability

| Information Element/Group name | Need | Multi | Type and Reference              | Semantics description   |
|--------------------------------|------|-------|---------------------------------|---|
| Total RLC AM buffer size       | MP   |       | Integer(10,50,100,150,500,1000) | Total receiving and transmitting RLC AM buffer capability in kBytes. One spare value is needed. |
| Maximum RLC AM Window Size     | MP   |       | Integer(2047,4095)              | Maximum supported RLC TX and RX window in UE  |
| Maximum number of AM entities  | MP   |       | Integer(4,5,6,8,16,30)          |   |

## 10.3.3.35 RLC re-establish indicator

This IE is used to re-configure AM RLC on c-plane and u-plane.



| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| RLC re-establish indicator     | MP   |       | Boolean            | TRUE means re-establish required<br>FALSE means re-establish not required |

### 10.3.3.35a RRC State Indicator

Indicates to a UE the RRC state to be entered.

| Information Element/Group name | Need | Multi | Type and reference                                 | Semantics description |
|--------------------------------|------|-------|--|-----------------------|
| RRC State indicator            | MP   |       | Enumerated(CELL_DCH, CELL_FACH, CELL_PCH, URA_PCH) |                       |

### 10.3.3.36 RRC transaction identifier

This IE contains an identification of the RRC procedure transaction local for the type of the message this IE was included within.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| RRC transaction identifier     | MP   |       | Integer (0..3)     |                       |

### 10.3.3.37 Security capability

| Information Element/Group name            | Need | Multi | Type and reference | Semantics description   |
|---|------|-------|--------------------|---|
| Ciphering algorithm capability            | MP   |       |                    |   |
| >UEA0                                     | MP   |       | Boolean            |   |
| >UEA1                                     | MP   |       | Boolean            |   |
| >Spare                                    | MP   | 14    | Boolean            | Shall be set to FALSE by UEs complying with this version of the protocol. |
| Integrity protection algorithm capability | MP   |       |                    |   |
| >UIA1                                     | MP   |       | Boolean            | The value TRUE means that UIA1, Kasumi, is supported                      |
| >Spare                                    | MP   | 15    | Boolean            | Shall be set to FALSE by UEs complying with this version of the protocol. |

### 10.3.3.38 START

There is a START value per CN domain. The START is used to initialise the 20 MSBs of all hyper frame numbers (MAC-d HFN, RLC UM HFN, RLC AM HFN, RRC HFN) for a CN domain.

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| START                          | MP   |       | Bit string (20)    | START [40]. The first/leftmost bit of the bit string contains the most significant bit of the START. |

## 10.3.3.39 Transmission probability

NOTE: Only for FDD.

Indicates the probability for a mobile to be allowed to transmit on a DCH controlled by DRAC procedure.

| Information Element/Group name | Need | Multi | Type and reference                | Semantics description |
|--------------------------------|------|-------|-----------------------------------|-----------------------|
| Transmission probability       | MP   |       | Real(0.125..1.0 by step of 0.125) | probability           |

## 10.3.3.40 Transport channel capability

| Information Element/Group name                                    | Need             | Multi | Type and Reference   | Semantics description   |
|---|------------------|-------|--|---|
| <b>Downlink transport channel capability information elements</b> |                  |       |  |   |
| Max no of bits received   | MP               |       | Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840) | Maximum sum of number of bits of all transport blocks received at an arbitrary time instant                       |
| Max convolutionally coded bits received                           | MP               |       | Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840) | Maximum sum of number of bits of all convolutionally coded transport blocks received at an arbitrary time instant |
| Max turbo coded bits received                                     | CV-turbo_dec_sup |       | Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840) | Maximum sum of number of bits of all turbo coded transport blocks received at an arbitrary time instant           |
| Maximum number of simultaneous transport channels                 | MP               |       | Integer(4, 8, 16, 32)  |   |
| Maximum number of simultaneous CCTrCH                             | MP               |       | Integer (1..8)   |   |
| Max no of received transport blocks                               | MP               |       | Integer(4, 8, 16, 32, 48, 64, 96, 128, 256, 512)   | Maximum total number of transport blocks received within TTIs that end at within the same 10ms interval           |
| Maximum number of TFC   | MP               |       | Integer(16, 32, 48, 64, 96, 128, 256, 512, 1024)   |   |
| Maximum number of TF  | MP               |       | Integer(32, 64, 128, 256, 512, 1024)   |   |
| Support for turbo decoding  | MP               |       | Boolean  | TRUE means supported  |
| <b>Uplink transport channel capability information elements</b>   |                  |       |  |   |
| Max no of bits transmitted  | MP               |       | Integer(640,   | Maximum sum of number of  |

| Information Element/Group name                    | Need                        | Multi | Type and Reference   | Semantics description  |
|---|-----------------------------|-------|--|--|
|   |                             |       | 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)              | bits of all transport blocks transmitted at an arbitrary time instant  |
| Max convolutionally coded bits transmitted        | MP                          |       | Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840) | Maximum sum of number of bits of all convolutionally coded transport blocks transmitted at an arbitrary time instant |
| Max turbo coded bits transmitted                  | CV-<br><i>turbo_enc_sup</i> |       | Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840) | Maximum sum of number of bits of all turbo coded transport blocks transmitted at an arbitrary time instant           |
| Maximum number of simultaneous transport channels | MP                          |       | Integer(4, 8, 16, 32)  |  |
| Maximum number of simultaneous CCTrCH of DCH type | CH-<br><i>tdd_req_sup</i>   |       | Integer (1..8)   |  |
| Max no of transmitted transport blocks            | MP                          |       | Integer(4, 8, 16, 32, 48, 64, 96, 128, 256, 512)   | Maximum total number of transport blocks transmitted within TTIs that start at the same time                         |
| Maximum number of TFC                             | MP                          |       | Integer(16, 32, 48, 64, 96, 128, 256, 512, 1024)   |  |
| Maximum number of TF                              | MP                          |       | Integer(32, 64, 128, 256, 512, 1024)   |  |
| Support for turbo encoding                        | MP                          |       | Boolean  | TRUE means supported   |

| Condition            | Explanation   |
|----------------------|---|
| <i>turbo_dec_sup</i> | The IE is mandatory present if the IE "Support of turbo decoding" = True. Otherwise this field is not needed in the message.  |
| <i>turbo_enc_sup</i> | The IE is mandatory present if the IE "Support of turbo encoding" = True. Otherwise this field is not needed in the message.  |
| <i>tdd_req_sup</i>   | The IE is mandatory present if the IE "Multi-mode capability" has the value "TDD" or "FDD/TDD" and a TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message. |

## 10.3.3.41 UE multi-mode/multi-RAT capability

| Information Element/Group name | Need | Multi | Type and Reference             | Semantics description |
|--------------------------------|------|-------|--------------------------------|-----------------------|
| <b>Multi-RAT capability</b>    |      |       |                                |                       |
| Support of GSM                 | MP   |       | Boolean                        |                       |
| Support of multi-carrier       | MP   |       | Boolean                        |                       |
| Multi-mode capability          | MP   |       | Enumerated (TDD, FDD, FDD/TDD) |                       |

## 10.3.3.42 UE radio access capability

| Information Element/Group name   | Need                                      | Multi | Type and reference                     | Semantics description   | Version |
|----------------------------------|---|-------|--|---|---------|
| Access stratum release indicator | MP  |       | Enumerated(R99)                        | Indicates the release of the UE according to [35]. The IE also indicates the release of the RRC transfer syntax supported by the UE.. |         |
|                                  | <i>CV-not_rrc_connectionSetupComplete</i> |       | Enumerated(REL-4)                      | 15 spare values are needed.   | REL-4   |
| PDCP capability                  | MP  |       | PDCP capability 10.3.3.24              |   |         |
| RLC capability                   | MP  |       | RLC capability 10.3.3.34               |   |         |
| Transport channel capability     | MP  |       | Transport channel capability 10.3.3.40 |   |         |
| RF capability FDD                | OP  |       | RF capability FDD 10.3.3.33            |   |         |
| RF capability TDD                | OP  |       | RF capability TDD 10.3.3.33b           | One "TDD RF capability" entity shall be included for every Chip rate capability supported.  |         |

| Information Element/Group name     | Need                    | Multi  | Type and reference                           | Semantics description   | Version |
|------------------------------------|-------------------------|--------|--|---|---------|
|                                    |                         | 1 to 2 |  | The second entity of the "RF capability TDD" is not needed in the INTER RAT HANDOVER INFO message: if both TDD 3.84 Mcps and TDD 1.28 Mcps are supported, the "RF capability TDD 1.28 Mcps" entity shall be used for TDD 1.28 Mcps; the "UE power class" in the "RF capability TDD" entity shall apply for both chip rates. | REL-4   |
| RF capability TDD 1.28 Mcps        | CV- <i>iRAT_HoIn fo</i> |        | RF capability TDD 1.28 Mcps 10.3.3.33c       | The second entity of the "RF capability TDD" is not needed in the INTER RAT HANDOVER INFO message: if both TDD 3.84 Mcps and TDD 1.28 Mcps are supported, the "RF capability TDD 1.28 Mcps" entity shall be used for TDD 1.28 Mcps; the "UE power class" in the "RF capability TDD" entity shall apply for both chip rates. | REL-4   |
| Physical channel capability        | MP                      |        | Physical channel capability 10.3.3.25        |   |         |
| UE multi-mode/multi-RAT capability | MP                      |        | UE multi-mode/multi-RAT capability 10.3.3.41 | The TDD Modes supported by the UE are indicated by the RF Capability TDD IE   |         |
| Security capability                | MP                      |        | Security capability 10.3.3.37                |   |         |
| UE positioning capability          | MP                      |        | UE positioning capability 10.3.3.45          |   |         |
| Measurement capability             | CH- <i>fdd_req_su p</i> |        | Measurement capability 10.3.3.21             |   |         |

| Condition                              | Explanation   |
|--|---|
| <i>fdd_req_sup</i>                     | The IE is mandatory present if the IE "Multi-mode capability" has the value "FDD" or "FDD/TDD" and a FDD capability update has been requested in a previous message. Otherwise this field is not needed in the message. |
| <i>not_rrc_connectionSetupComplete</i> | The IE is not needed in the RRC CONNECTION SETUP COMPLETE message. Otherwise the IE is mandatory present.   |
| <i>iRAT_HoInfo</i>                     | The IE is optional in the INTER RAT HANDOVER INFO message. Otherwise, the IE is not needed.   |

### 10.3.3.42a UE radio access capability extension

| Information Element/Group name          | Need | Multi                  | Type and reference                          | Semantics description   |
|---|------|------------------------|---|---|
| Frequency band specific capability list | MP   | 1 to <maxFreqbandsFDD> |   |   |
| >Frequency band                         | MP   |                        | Enumerated(FDD2100, FDD1900)                | Six spare values are needed   |
| >RF capability FDD extension            | MD   |                        | RF capability FDD extension 10.3.3.33a      | the default values are the same values as in the immediately preceding IE "RF capability FDD extension"; the first occurrence is MP |
| >Measurement capability extension       | MP   |                        | Measurement capability extension 10.3.3.21a |   |

### 10.3.3.42b UE security information

Upon receiving a UE information request from another system, the UE shall indicate the requested security information. The UE security information includes the following RRC information.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description                      |
|--------------------------------|------|-------|--------------------|--|
| <b>UE information elements</b> |      |       |                    |  |
| START-CS                       | MP   |       | START 10.3.3.38    | START values to be used in this CN domain. |

### 10.3.3.43 UE Timers and Constants in connected mode

This information element specifies timer- and constants values used by the UE in connected mode.

| Information Element/Group name | Need | Multi | Type and reference   | Semantics description  |
|--------------------------------|------|-------|--|--|
| T301                           | MD   |       | Integer(100, 200 .. 2000 by step of 200, 3000, 4000, 6000, 8000) | Value in milliseconds. Default value is 2000. This IE should not be used by the UE in this release of the protocol. One spare value is needed. |
| N301                           | MD   |       | Integer(0..7)  | Default value is 2. This IE should not be used by the UE in this release of the protocol.  |
| T302                           | MD   |       | Integer(100, 200... 2000 by step of 200, 3000,                   | Value in milliseconds. Default value is 4000. One spare value is needed.   |

| Information Element/Group name | Need | Multi | Type and reference   | Semantics description  |
|--------------------------------|------|-------|--|--|
|                                |      |       | 4000, 6000, 8000)  |  |
| N302                           | MD   |       | Integer(0..7)  | Default value is 3.  |
| T304                           | MD   |       | Integer(100, 200, 400, 1000, 2000)                           | Value in milliseconds. Default value is 2000. Three spare values are needed.   |
| N304                           | MD   |       | Integer(0..7)  | Default value is 2..   |
| T305                           | MD   |       | Integer(5, 10, 30, 60, 120, 360, 720, infinity)              | Value in minutes. Default value is 30. Infinity means no update  |
| T307                           | MD   |       | Integer(5, 10, 15, 20, 30, 40, 50)                           | Value in seconds. Default value is 30. One spare value is needed.  |
| T308                           | MD   |       | Integer(40, 80, 160, 320)                                    | Value in milliseconds. Default value is 160.   |
| T309                           | MD   |       | Integer(1..8)  | Value in seconds. Default value is 5.  |
| T310                           | MD   |       | Integer(40 .. 320 by step of 40)                             | Value in milliseconds. Default value is 160.   |
| N310                           | MD   |       | Integer(0 .. 7)  | Default value is 4.  |
| T311                           | MD   |       | Integer(250 .. 2000 by step of 250)                          | Value in milliseconds. Default value is 2000.  |
| T312                           | MD   |       | Integer (0..15)  | Value in seconds. Default value is 1. The value 0 is not used in this version of the specification.                              |
| N312                           | MD   |       | Integer (1, 2, 4, 10, 20, 50, 100, 200, 400, 600, 800, 1000) | Default value is 1.  |
| T313                           | MD   |       | Integer (0..15)  | Value in seconds. Default value is 3.  |
| N313                           | MD   |       | Integer (1, 2, 4, 10, 20, 50, 100, 200)                      | Default value is 20.   |
| T314                           | MD   |       | Integer(0, 2, 4, 6, 8, 12, 16, 20)                           | Value in seconds. Default value is 12.   |
| T315                           | MD   |       | Integer (0,10, 30, 60, 180, 600, 1200, 1800)                 | Value in seconds. Default value is 180.  |
| N315                           | MD   |       | Integer (1, 2, 4, 10, 20, 50, 100, 200, 400, 600, 800, 1000) | Default value is 1.  |
| T316                           | MD   |       | Integer(0, 10, 20, 30, 40, 50, infinity)                     | Value in seconds. Default value is 30. One spare value is needed.  |
| T317                           | MD   |       | Integer (0,10, 30, 60, 180, 600, 1200, 1800)                 | Value in seconds<br>Default value is 180.<br>In this version of the protocol all the values should be interpreted as "infinity". |

### 10.3.3.44 UE Timers and Constants in idle mode

This information element specifies timer- and constant values used by the UE in idle mode.

| Information Element/Group name | Need | Multi | Type and reference   | Semantics description   |
|--------------------------------|------|-------|--|---|
| T300                           | MP   |       | Integer(100, 200... 2000 by step of 200, 3000, 4000, 6000, 8000) | Value in milliseconds. Default value is 1000. Use of Default is described in 10.2.48.8.4 and in 10.2.48.8.16.   |
| N300                           | MP   |       | Integer(0..7)  | Default value is 3. Use of Default is described in 10.2.48.8.4 and in 10.2.48.8.16.   |
| T312                           | MP   |       | Integer(0 .. 15)   | Value in seconds. Default value is 1. Use of Default is described in 10.2.48.8.4 and in 10.2.48.8.16. The value 0 is not used in this version of the specification. |
| N312                           | MP   |       | Integer (1, 2, 4, 10, 20, 50, 100, 200, 400, 600, 800, 1000)     | Default value is 1. Use of Default is described in 10.2.48.8.4 and in 10.2.48.8.16.   |

### 10.3.3.45 UE positioning capability

| Information Element/Group name  | Need | Multi | Type and reference                                       | Semantics description   |
|---|------|-------|--|---|
| Standalone location method(s) supported   | MP   |       | Boolean  | Defines if a UE can measure its location by some means unrelated to UTRAN<br>TRUE means supported   |
| UE based OTDOA supported  | MP   |       | Boolean  | TRUE means supported  |
| Network Assisted GPS support  | MP   |       | Enumerated ('Network based', 'UE based', 'Both', 'None') | Defines if the UE supports network based or UE based GPS methods.   |
| Support for GPS timing of cell frames measurement                               | MP   |       | Boolean  | Defines if a UE has the capability to perform the UE GPS timing of cell frames measurement [7].<br>TRUE means capable                         |
| Support for IPDL  | MP   |       | Boolean  | Defines if a UE has the capability to use IPDL to enhance its 'SFN-SFN observed time difference –type 2' measurement.<br>TRUE means supported |
| Support for Rx-Tx time difference type2 measurement                             | MP   |       | Boolean  | TRUE means supported  |
| Support for UP assisted GPS measurement validity in CELL_PCH and URA_PCH states | OP   |       | Enumerated (true)  | Absence of this element means not supported and presence means supported.   |
| Support for SFN-SFN observed time difference type 2 measurement                 | OP   |       | Enumerated (true)  | Absence of this element means not supported and presence means supported.   |



### 10.3.3.46 URA update cause

Indicates the cause for s URA update.

| Information Element/Group name | Need | Multi | Type and reference                              | Semantics description      |
|--------------------------------|------|-------|---|----------------------------|
| URA update cause               | MP   |       | Enumerated( change of URA, periodic URA update) | One spare value is needed. |

### 10.3.3.47 U-RNTI

The U-RNTI (UTRAN Radio Network Temporary Identity) is allocated to a UE having a RRC connection and identifies the UE within UTRAN.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| SRNC identity                  | MP   |       | bit string(12)     |                       |
| S-RNTI                         | MP   |       | bit string(20)     |                       |

### 10.3.3.48 U-RNTI Short

The U-RNTI (UTRAN Radio Network Temporary Identity) is allocated to a UE having a RRC connection and identifies the UE within UTRAN.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| SRNC identity                  | MP   |       | bit string(12)     |                       |
| S-RNTI 2                       | MP   |       | bit string(10)     |                       |

### 10.3.3.49 UTRAN DRX cycle length coefficient

A coefficient in the formula to count the paging occasions to be used by a specific UE (specified in [4]).

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| DRX cycle length coefficient   | MP   |       | Integer(3..9)      | Refers to 'k' in the formula as specified in [4], Discontinuous reception |

### 10.3.3.50 Wait time

Wait time defines the time period the UE has to wait before repeating the rejected procedure.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| Wait time                      | MP   |       | Integer(0..15)     | Wait time in seconds<br>The value 0 indicates that repetition is not allowed. |

### 10.3.3.51 UE Specific Behaviour Information 1 idle

This IE indicates the UE conformance typically for RRC connection establishment from idle mode.

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
|--------------------------------|------|-------|--------------------|-----------------------|

| Information Element/Group name           | Need | Multi | Type and Reference | Semantics description |
|--|------|-------|--------------------|-----------------------|
| UE Specific Behaviour Information 1 idle | MP   |       | bit string(4)      |                       |

### 10.3.3.52 UE Specific Behaviour Information 1 interRAT

This IE indicates the UE conformance typically for RRC connection establishment from another RAT.

| Information Element/Group name               | Need | Multi | Type and Reference | Semantics description |
|--|------|-------|--------------------|-----------------------|
| UE Specific Behaviour Information 1 interRAT | MP   |       | bit string(8)      |                       |

## 10.3.4 Radio Bearer Information elements

### 10.3.4.0 Default configuration identity

This information element identifies a default radio parameter configuration.

| Information Element/Group name | Need | Multi | Type and       | Semantics  | Version |
|--------------------------------|------|-------|----------------|--|---------|
| Default configuration identity | MP   |       | Integer (0..10 | The corresponding default configurations are specified in 13.7                                       |         |
|                                |      |       | 11, 12)        | Default configuration 12 is incorrect and it should not be used in this version of the specification | REL-4   |

### 10.3.4.1 Downlink RLC STATUS info

| Information Element/Group name | Need | Multi | Type and reference                                     | Semantics description  |
|--------------------------------|------|-------|--|--|
| Timer_Status_Prohibit          | OP   |       | Integer(10..50 by step of 10, 550..1000 by step of 50) | Minimum time in ms between STATUS reports  |
| Missing PDU Indicator          | MP   |       | Boolean  | Value true indicates that UE should send a STATUS report for each missing PDU that is detected |
| Timer_STATUS_periodic          | OP   |       | Integer(100, 200, 300, 400, 500,                       | Time in milliseconds   |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
|                                |      |       | 750, 1000, 2000)   |                       |

### 10.3.4.2 PDCP info

The purpose of the PDCP info IE is to indicate which algorithms shall be established and to configure the parameters of each of the algorithms.

| Information Element/Group name       | Need                           | Multi                             | Type and reference                 | Semantics description   | Version |
|--------------------------------------|--------------------------------|-----------------------------------|------------------------------------|---|---------|
| Support for lossless SRNS relocation | CV-<br><i>LosslessCriteria</i> |                                   | Boolean                            | TRUE means support  |         |
| Max PDCP SN window size              | CV-<br><i>Lossless</i>         |                                   | Enumerated(<br>sn255,<br>sn65535)  | Maximum PDCP sequence number window size. The handling of sequence number when the Max PDCP SN window size is 255 is specified in [23]. |         |
| PDCP PDU header                      | MD                             |                                   | Enumerated<br>(present,<br>absent) | Whether a PDCP PDU header is existent or not. Default value is "present"  |         |
| Header compression information       | OP                             | 1 to<br><maxPDC<br>PAlgoType<br>> |                                    |   |         |
| >CHOICE <i>algorithm type</i>        | MP                             |                                   |                                    |   |         |
| >>RFC 2507                           |                                |                                   |                                    | Header compression according to IETF standard RFC 2507  |         |
| >>>F_MAX_PERIOD                      | MD                             |                                   | Integer<br>(1..65535)              | Largest number of compressed non-TCP headers that may be sent without sending a full header. Default value is 256.                      |         |
| >>>F_MAX_TIME                        | MD                             |                                   | Integer<br>(1..255)                | Compressed headers may not be sent more than F_MAX_TIME seconds after sending last full header. Default value is 5.                     |         |
| >>>MAX_HEADER                        | MD                             |                                   | Integer<br>(60..65535)             | The largest header size in octets that may be compressed. Default value is 168.   |         |
| >>>TCP_SPACE                         | MD                             |                                   | Integer<br>(3..255)                | Maximum CID value for TCP connections. Default value is 15.   |         |

| Information Element/Group name | Need | Multi                        | Type and reference  | Semantics description   | Version |
|--------------------------------|------|------------------------------|---|---|---------|
| >>>NON_TCP_SPACE               | MD   |                              | Integer (3..65535)  | Maximum CID value for non-TCP connections. Default value is 15.   |         |
| >>>EXPECT_REORDERING           | MD   |                              | Enumerated (reordering not expected, reordering expected) | Whether the algorithm shall reorder PDCP SDUs or not. Default value is "reordering not expected".                 |         |
| >>RFC 3095                     |      |                              |   | Header compression according to IETF standard RFC 3095  | REL-4   |
| >>>Profiles                    | MP   | 1 to <maxROH C-Profiles>     |   | Profiles supported by both compressor and decompressor in both UE and UTRAN. Profile 0 shall always be supported. | REL-4   |
| >>>>Profile instance           | MP   |                              | Integer(1.. 3)  | 1 = 0x0001, 2 = 0x0002, 3 = 0x0003 (see [52])   | REL-4   |
| >>>Uplink                      | OP   |                              |   | Indicates the necessary information elements for Uplink.  | REL-4   |
| >>>>CID inclusion info         | MP   |                              | Enumerated (PDCP header, RFC3095 packet format)           | Configures which method shall be used to carry RFC3095 CID values.  | REL-4   |
| >>>>Max_CID                    | MD   |                              | Integer (1.. 16383)                                       | Highest context ID number to be used by the UE compressor. Default value is 15.                                   | REL-4   |
| >>>>Packet_Sizes_Allowed       | OP   | 1 to <maxROH C-PacketSize s> |   | List of packet sizes that are allowed to be produced by the UE compressor.  | REL-4   |
| >>>>>Packet size               | MP   |                              | Integer (2 .. 1500)                                       | Packet size as defined in RFC 3095.   | REL-4   |

| Information Element/Group name  | Need | Multi | Type and reference                              | Semantics description   | Version |
|---------------------------------|------|-------|---|---|---------|
| >>>Downlink                     | OP   |       |   | Indicates the necessary information elements for Downlink.  | REL-4   |
| >>>>CID inclusion info          | MP   |       | Enumerated (PDCP header, RFC3095 packet format) | Configures which method shall be used to carry RFC3095 CID values.  | REL-4   |
| >>>>Max_CID                     | MD   |       | Integer (1..16383)                              | Highest context ID number to be used by the UE decompressor. Default value is 15.   | REL-4   |
| >>>>Reverse-Decompression_Depth | MD   |       | Integer (0..65535)                              | Determines whether reverse decompression should be used or not and the maximum number of packets that can be reverse decompressed by the UE decompressor. Default value is 0 (reverse decompression shall not be used). | REL-4   |

| Condition               | Explanation  |
|-------------------------|--|
| <i>LosslessCriteria</i> | This IE is mandatory present if the IE "RLC mode" is "Acknowledged", the IE "In-sequence delivery" is "True" and the IE "SDU Discard Mode" is "No discard" and not needed otherwise. |
| <i>Lossless</i>         | This IE is mandatory present if the IE "Support for lossless SRNS relocation" is TRUE, otherwise it is not needed.   |

#### 10.3.4.3 PDCP SN info

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| Receive PDCP sequence number   | MP   |       | Integer(0..65535)  | The PDCP sequence number, which the sender of the message is expecting next to be received. |

## 10.3.4.4 Polling info

| Information Element/Group name | Need | Multi | Type and reference                                     | Semantics description   |
|--------------------------------|------|-------|--|---|
| Timer_poll_prohibit            | OP   |       | Integer(10..50 by step of 10, 600..1000 by step of 50) | Minimum time between polls in ms                                      |
| Timer_poll                     | OP   |       | Integer(10..50 by step of 10, 600..1000 by step of 50) | Time in ms.   |
| Poll_PDU                       | OP   |       | Integer(1,2,4,8,16,32,64,128)                          | Number of PDUs, interval between pollings                             |
| Poll_SDU                       | OP   |       | Integer(1,4,16,64)                                     | Number of SDUs, interval between pollings                             |
| Last transmission PDU poll     | MP   |       | Boolean  | TRUE indicates that poll is made at last PDU in transmission buffer   |
| Last retransmission PDU poll   | MP   |       | Boolean  | TRUE indicates that poll is made at last PDU in retransmission buffer |
| Poll_Window                    | OP   |       | Integer(50,60,70,80,85,90,95,99)                       | Percentage of transmission window, threshold for polling              |
| Timer_poll_periodic            | OP   |       | Integer(100, 200, 300, 400, 500, 750, 1000, 2000)      | Time in milliseconds Timer for periodic polling.                      |

## 10.3.4.5 Predefined configuration identity

This information element identifies a pre- defined radio parameter configuration.

| Information Element/Group name          | Need | Multi | Type and reference | Semantics description |
|---|------|-------|--------------------|-----------------------|
| Predefined radio configuration identity | MP   |       | Integer (0..15)    |                       |

## 10.3.4.5a Predefined configuration status information

Another system may provide the UE with one or more predefined UTRAN configurations, comprising of radio bearer, transport channel and physical channel parameters. If requested, the UE shall indicate the configurations it has stored. The predefined configuration status information should include the following RRC information.

| Information Element/Group name      | Need | Multi                 | Type and reference                          | Semantics description   |
|-------------------------------------|------|-----------------------|---|---|
| <b>RB information elements</b>      |      |                       |   |   |
| Predefined configurations           |      | maxPredef ConfigCount |   | The list is in order of preconfiguration identity                               |
| >Predefined configuration value tag | OP   |                       | Predefined configuration value tag 10.3.4.6 | The UE shall include the value tag if it has stored the concerned configuration |

| Multi Bound          | Explanation                                 |
|----------------------|---|
| MaxPredefConfigCount | Maximum number of predefined configurations |

### 10.3.4.6 Predefined configuration value tag

This information element is used to identify different versions of a radio bearer configuration as may be used within one PLMN e.g. to support different UTRAN implementations.

| Information Element/Group name     | Need | Multi | Type and Reference | Semantics description |
|------------------------------------|------|-------|--------------------|-----------------------|
| Predefined configuration value tag | MP   |       | Integer(0..15)     |                       |

### 10.3.4.7 Predefined RB configuration

This information element concerns a pre- defined configuration of radio bearer parameters

| Information Element/Group name             | Need | Multi               | Type and Reference                              | Semantics description            |
|--|------|---------------------|---|----------------------------------|
| <b>UE information elements</b>             |      |                     |   |                                  |
| Re-establishment timer                     | MP   |                     | Re-establishment timer<br>10.3.3.30             | Only one RAB supported           |
| <b>Signalling radio bearer information</b> |      |                     |   |                                  |
| Signalling RB information to setup List    | MP   | 1 to <maxSRBs etup> |   | For each signalling radio bearer |
| >Signalling RB information to setup        | MP   |                     | Signalling RB information to setup<br>10.3.4.24 |                                  |
| <b>RB information</b>                      |      |                     |   | Only one RAB supported           |
| RB information to setup list               | MP   | 1 to <maxRBperRAB>  |   |                                  |
| >RB information to setup                   | MP   |                     | RB information to setup<br>10.3.4.20            |                                  |

### 10.3.4.8 RAB info

This IE contains information used to uniquely identify a radio access bearer.

| Information Element/Group name | Need | Multi | Type and reference                         | Semantics description |
|--------------------------------|------|-------|--|-----------------------|
| RAB identity                   | MP   |       | RAB identity<br>10.3.1.14                  |                       |
| CN domain identity             | MP   |       | CN domain identity<br>10.3.1.1             |                       |
| NAS Synchronization Indicator  | OP   |       | NAS Synchronization indicator<br>10.3.4.12 |                       |
| Re-establishment timer         | MP   |       | Re-establishment timer<br>10.3.3.30        |                       |

### 10.3.4.9 RAB info Post

This IE contains information used to uniquely identify a radio access bearer.

| Information Element/Group name | Need | Multi | Type and reference                                | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| RAB identity                   | MP   |       | RAB identity<br>10.3.1.14                         |                       |
| CN domain identity             | MP   |       | CN domain<br>identity<br>10.3.1.1                 |                       |
| NAS Synchronization Indicator  | OP   |       | NAS<br>Synchronizat<br>ion indicator<br>10.3.4.12 |                       |

### 10.3.4.10 RAB information for setup

| Information Element/Group name | Need | Multi                     | Type and reference                         | Semantics description |
|--------------------------------|------|---------------------------|--|-----------------------|
| RAB info                       | MP   |                           | RAB info<br>10.3.4.8                       |                       |
| RB information to setup list   | MP   | 1 to<br><maxRBpe<br>rRAB> |  |                       |
| >RB information to setup       | MP   |                           | RB<br>information<br>to setup<br>10.3.4.20 |                       |

### 10.3.4.11 RAB information to reconfigure

| Information Element/Group name | Need | Multi | Type and reference                           | Semantics description |
|--------------------------------|------|-------|--|-----------------------|
| RAB identity                   | MP   |       | RAB Identity<br>10.3.1.14                    |                       |
| CN domain identity             | MP   |       | CN domain<br>identity<br>10.3.1.1            |                       |
| NAS synchronization indicator  | MP   |       | NAS<br>Synchronizat<br>ion info<br>10.3.4.12 |                       |

### 10.3.4.12 NAS Synchronization indicator

A container for non-access stratum information to be transferred transparently through UTRAN.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| NAS Synchronization indicator  | MP   |       | Bit string(4)      | The first/leftmost bit of the bit string contains the most significant bit of the NAS Synchronization indicator. |



### 10.3.4.13 RB activation time info

This IE contains the time, in terms of RLC sequence numbers, when a certain configuration shall be activated, for a number of radio bearers.

| Information Element/Group name | Need | Multi        | Type and reference    | Semantics description   |
|--------------------------------|------|--------------|-----------------------|---|
| Radio bearer activation time   | MP   | 1 to <maxRB> |                       |   |
| >RB identity                   | MP   |              | RB identity 10.3.4.16 |   |
| >RLC sequence number           | MP   |              | Integer (0..4095)     | RLC SN [16] .<br>Used for radio bearers mapped on RLC AM and UM |

### 10.3.4.14 RB COUNT-C MSB information

The MSB of the COUNT-C values of the radio bearer.

| Information Element/Group name | Needed | Multi | Type and reference        | Semantics description                      |
|--------------------------------|--------|-------|---------------------------|--|
| RB identity                    | MP     |       | RB identity 10.3.4.16     |  |
| COUNT-C-MSB-uplink             | MP     |       | Integer (0.. $2^{25}-1$ ) | 25 MSBs from COUNT-C associated to this RB |
| COUNT-C-MSB-downlink           | MP     |       | Integer (0.. $2^{25}-1$ ) | 25 MSBs from COUNT-C associated to this RB |

### 10.3.4.15 RB COUNT-C information

The COUNT-C values of the radio bearer.

| Information Element/Group name | Needed | Multi | Type and reference        | Semantics description |
|--------------------------------|--------|-------|---------------------------|-----------------------|
| RB identity                    | MP     |       | RB identity 10.3.4.16     |                       |
| COUNT-C-uplink                 | MP     |       | Integer (0.. $2^{32}-1$ ) |                       |
| COUNT-C-downlink               | MP     |       | Integer (0.. $2^{32}-1$ ) |                       |

### 10.3.4.16 RB identity

An identification number for the radio bearer affected by a certain message.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| RB identity                    | MP   |       | Integer(1..32)     | Values 1-4 shall only be used for signalling radio bearers. The IE value minus one shall be used as BEARER in the ciphering algorithm. |

## 10.3.4.17 RB information to be affected

| Information Element/Group name | Need | Multi | Type and reference           | Semantics description |
|--------------------------------|------|-------|------------------------------|-----------------------|
| RB identity                    | MP   |       | RB identity<br>10.3.4.16     |                       |
| RB mapping info                | MP   |       | RB mapping info<br>10.3.4.21 |                       |

## 10.3.4.18 RB information to reconfigure

| Information Element/Group name | Need | Multi | Type and reference                | Semantics description   |
|--------------------------------|------|-------|-----------------------------------|---|
| RB identity                    | MP   |       | RB identity<br>10.3.4.16          |   |
| PDCP info                      | OP   |       | PDCP info<br>10.3.4.2             |   |
| PDCP SN info                   | OP   |       | PDCP SN info<br>10.3.4.3          | PDCP sequence number info from the network. Present only in case of lossless SRNS relocation. |
| RLC info                       | OP   |       | RLC info<br>10.3.4.23             |   |
| RB mapping info                | OP   |       | RB mapping info<br>10.3.4.21      |   |
| RB stop/continue               | OP   |       | Enumerated(<br>stop,<br>continue) |   |

## 10.3.4.19 RB information to release

| Information Element/Group name | Need | Multi | Type and reference       | Semantics description |
|--------------------------------|------|-------|--------------------------|-----------------------|
| RB identity                    | MP   |       | RB identity<br>10.3.4.16 |                       |

## 10.3.4.20 RB information to setup

| Information Element/Group name | Need | Multi | Type and reference           | Semantics description                                   |
|--------------------------------|------|-------|------------------------------|---|
| RB identity                    | MP   |       | RB identity<br>10.3.4.16     |   |
| PDCP info                      | OP   |       | PDCP info<br>10.3.4.2        |   |
| CHOICE <i>RLC info type</i>    | MP   |       |                              |   |
| >RLC info                      |      |       | RLC info<br>10.3.4.23        |   |
| >Same as RB                    |      |       | RB identity<br>10.3.4.16     | Identity of RB with exactly the same RLC info IE values |
| RB mapping info                | MP   |       | RB mapping info<br>10.3.4.21 |   |

NOTE: This information element is included within IE "Predefined RB configuration".

## 10.3.4.21 RB mapping info

A multiplexing option for each possible transport channel this RB can be multiplexed on.

| Information Element/Group name             | Need                            | Multi                  | Type and reference                   | Semantics description   |
|--|---------------------------------|------------------------|--------------------------------------|---|
| Information for each multiplexing option   | MP                              | 1 to <maxRBmuxOptions> |                                      |   |
| >RLC logical channel mapping indicator     | <i>CV-UL-RLCLogicalChannels</i> |                        | Boolean                              | TRUE indicates that the first logical channel shall be used for data PDUs and the second logical channel shall be used for control PDUs. FALSE indicates that control and data PDUs can be sent on either of the two logical channels. This parameter is not used in this release and shall be set to TRUE. |
| >Number of uplink RLC logical channels     | <i>CV-UL-RLC info</i>           | 1 to MaxLoCHperRLC     |                                      | 1 or 2 logical channels per RLC entity or radio bearer RLC [16]   |
| >>Uplink transport channel type            | MP                              |                        | Enumerated(DCH,RACH, CPCH,USCH)      | CPCH is FDD only<br>USCH is TDD only  |
| >>>ULTransport channel identity            | <i>CV-UL-DCH/USCH</i>           |                        | Transport channel identity 10.3.5.18 | This is the ID of a DCH or USCH (TDD only) that this RB could be mapped onto.   |
| >>>Logical channel identity                | OP                              |                        | Integer(1..15)                       | This parameter is used to distinguish logical channels multiplexed by MAC on a transport channel.   |
| >>>>CHOICE <i>RLC size list</i>            | MP                              |                        |                                      | The RLC sizes that are allowed for this logical channel.  |
| >>>>>All                                   |                                 |                        | Null                                 | All RLC sizes listed in the <i>Transport Format Set</i> 10.3.5.23   |
| >>>>>Configured                            |                                 |                        | Null                                 | The RLC sizes configured for this logical channel in the <i>Transport Format Set</i> 10.3.5.23 if present in this message or in the previously stored configuration otherwise   |
| >>>>>Explicit List                         |                                 | 1 to <maxTF>           |                                      | Lists the RLC sizes that are valid for the logical channel.   |
| >>>>>>RLC size index                       | MP                              |                        | Integer(1..maxTF)                    | The integer number is a reference to the <i>RLC size</i> which arrived at that position in the <i>Transport Format Set</i> 10.3.5.23  |
| >>>>MAC logical channel priority           | MP                              |                        | Integer(1..8)                        | This is priority between a user's different RBs (or logical channels). [15]   |
| >Downlink RLC logical channel info         | <i>CV-DL-RLC info</i>           |                        |                                      |   |
| >>>Number of downlink RLC logical channels | <i>MD</i>                       | 1 to MaxLoCHperRLC     |                                      | 1 or 2 logical channels per RLC entity or radio bearer RLC [16]<br>Default value is that parameter values for DL are exactly the same as for corresponding UL logical channel. In case two  |

| Information Element/Group name        | Need       | Multi | Type and reference                   | Semantics description  |
|---------------------------------------|------------|-------|--------------------------------------|--|
|                                       |            |       |                                      | multiplexing options are specified for the UL, the first options shall be used as default for the DL. As regards to the IE "Channel type", rule is specified in 8.6.4.8. |
| >>>Downlink transport channel type    | MP         |       | Enumerated(DCH,FACH, DSCH,DCH+DSCH)  |  |
| >>>DL DCH Transport channel identity  | CV-DL-DCH  |       | Transport channel identity 10.3.5.18 |  |
| >>>DL DSCH Transport channel identity | CV-DL-DSCH |       | Transport channel identity 10.3.5.18 |  |
| >>>Logical channel identity           | OP         |       | Integer(1..15)                       | 16 is reserved   |

| Condition                    | Explanation  |
|------------------------------|--|
| <i>UL-RLC info</i>           | If "CHOICE <i>Uplink RLC mode</i> " in the IE "RLC info" that applies for that RB (i.e. either the one stored or received in the same message for the RB for which the "RB mapping info" was received, or the one stored or received in the same message for the RB pointed at in the IE "Same as RB" in the IE "RB information to setup" stored or received in the same message) is present this IE is mandatory present. Otherwise the IE is not needed.   |
| <i>DL-RLC info</i>           | If "CHOICE <i>Downlink RLC mode</i> " in the IE "RLC info" that applies for that RB (i.e. either the one stored or received in the same message for the RB for which the "RB mapping info" was received, or the one stored or received in the same message for the RB pointed at in the IE "Same as RB" in the IE "RB information to setup" stored or received in the same message) is present this IE is mandatory present. Otherwise the IE is not needed. |
| <i>UL-RLCLogicalChannels</i> | If "Number of uplink RLC logical channels" in IE "RB mapping info" is 2, then this IE is mandatory present. Otherwise this IE is not needed.   |
| <i>UL-DCH/USCH</i>           | If IE "Uplink transport channel type" is equal to "DCH" or "USCH" (TDD only) this IE is mandatory present. Otherwise the IE is not needed.   |
| <i>DL-DCH</i>                | If IE "Downlink transport channel type" is equal to "DCH" or "DCH+DSCH" this IE is mandatory present. Otherwise the IE is not needed.  |
| <i>DL-DSCH</i>               | If IE "Downlink transport channel type" is equal to "DSCH" or "DCH+DSCH" this IE is mandatory present. Otherwise the IE is not needed.   |

#### 10.3.4.22 RB with PDCP information

| Information Element/Group name | Need | Multi | Type and reference    | Semantics description  |
|--------------------------------|------|-------|-----------------------|--|
| RB identity                    | MP   |       | RB identity 10.3.4.16 |  |
| PDCP SN info                   | MP   |       | PDCP SN info 10.3.4.3 | PDCP sequence number info from the sender of the message for lossless SRNS relocation. |

## 10.3.4.23 RLC info

| Information Element/Group name  | Need | Multi | Type and reference   | Semantics description   |
|---------------------------------|------|-------|--|---|
| CHOICE <i>Uplink RLC mode</i>   | OP   |       |  | Indicates if Acknowledged, Unacknowledged or Transparent mode RLC shall be used.  |
| >AM RLC                         |      |       |  |   |
| >>Transmission RLC discard      | MP   |       | Transmission RLC discard 10.3.4.25                                       |   |
| >>Transmission window size      | MP   |       | Integer(1,8,16,32,64,128,256,512,768,1024,1536,2047,2560,3072,3584,4095) | Maximum number of RLC PUs sent without getting them acknowledged. This parameter is needed if acknowledged mode is used. UE shall also assume that the UTRAN receiver window is equal to this value.  |
| >>Timer_RST                     | MP   |       | Integer(50,100,150,200,250,300,350,400,450,500,550,600,700,800,900,1000) | Elapsed time in milliseconds. It is used to trigger the retransmission of RESET PDU.  |
| >>Max_RST                       | MP   |       | Integer(1,4,6,8,12,16,24,32)   | Defined in [16]   |
| >>Polling info                  | OP   |       | Polling info 10.3.4.4  |   |
| >UM RLC                         |      |       |  |   |
| >>Transmission RLC discard      | OP   |       | Transmission RLC discard 10.3.4.25                                       |   |
| >TM RLC                         |      |       |  |   |
| >>Transmission RLC discard      | OP   |       | Transmission RLC discard 10.3.4.25                                       |   |
| >>Segmentation indication       | MP   |       | Boolean  | TRUE indicates that segmentation is performed.  |
| CHOICE <i>Downlink RLC mode</i> | OP   |       |  | Indicates if Acknowledged, Unacknowledged or Transparent mode RLC shall be used   |
| >AM RLC                         |      |       |  |   |
| >>In-sequence delivery          | MP   |       | Boolean  | TRUE indicates that RLC shall preserve the order of higher layer PDUs when these are delivered.<br>FALSE indicates that receiving RLC entity could allow SDUs to be delivered to the higher layer in different order than submitted to RLC sublayer at the transmitting side. |
| >>Receiving window size         | MP   |       | Integer(1,8,16,32,64,128,  | Maximum number of RLC PUs allowed to be received. This  |

| Information Element/Group name | Need | Multi | Type and reference                              | Semantics description   |
|--------------------------------|------|-------|---|---|
|                                |      |       | 256,512,768,1024,1536,2047,2560,3072,3584,4095) | parameter is needed if acknowledged mode is used. UE shall also assume that the UTRAN transmitter window is equal to this value |
| >>Downlink RLC status Info     | MP   |       | Downlink RLC status info<br>10.3.4.1            |   |
| >UM RLC                        |      |       |   | (No data)   |
| >TM RLC                        |      |       |   |   |
| >>Segmentation indication      | MP   |       | Boolean   | TRUE indicates that segmentation is performed.  |

NOTE: This information element is included within IE "Predefined RB configuration".

#### 10.3.4.24 Signalling RB information to setup

| Information Element/Group name | Need | Multi | Type and reference           | Semantics description                                   |
|--------------------------------|------|-------|------------------------------|---|
| RB identity                    | MD   |       | RB identity<br>10.3.4.16     | Default value is specified in subclause 8.6.4.1         |
| CHOICE <i>RLC info type</i>    | MP   |       |                              |   |
| >RLC info                      |      |       | RLC info<br>10.3.4.23        |   |
| >Same as RB                    |      |       | RB identity<br>10.3.4.16     | Identity of RB with exactly the same RLC info IE values |
| RB mapping info                | MP   |       | RB mapping info<br>10.3.4.21 |   |

NOTE: This information element is included within IE "Predefined RB configuration".

#### 10.3.4.25 Transmission RLC Discard

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description  |
|--------------------------------|------|-------|---|--|
| CHOICE <i>SDU Discard Mode</i> | MP   |       |   | Different modes for discharge the RLC buffer on the transmitter side; "Timer based with explicit signalling", "Timer based without explicit signalling", "Discard after Max_DAT retransmissions" or "No_discard". For unacknowledged mode and transparent mode, only Timer based without explicit signalling is applicable. If "No_discard" is used, reset procedure shall be done after Max_DAT retransmissions |
| >Timer based explicit          |      |       |   |  |
| >>Timer_MRW                    | MP   |       | Integer(50,60,70,80,90,100,120,140,160,180,200,300,400,500,700,900) | Elapsed time in milliseconds. It is used to trigger the retransmission of a STATUS PDU containing an MRW SUFI field  |

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description   |
|--------------------------------|------|-------|---|---|
| >>Timer_discard                | MP   |       | Integer(100, 250, 500, 750, 1000, 1250, 1500, 1750, 2000, 2500, 3000, 3500, 4000, 4500, 5000, 7500) | Elapsed time in milliseconds before a SDU is discarded.   |
| >>MaxMRW                       | MP   |       | Integer(1, 4, 6, 8, 12, 16, 24, 32)   | Defined in [16]   |
| >Timer based no explicit       |      |       |   |   |
| >>Timer_discard                | MP   |       | Integer(10,20,30,40,50,60,70,80,90,100)   | Elapsed time in milliseconds before a SDU is discarded.   |
| >Max DAT retransmissions       |      |       |   |   |
| >>Max_DAT                      | MP   |       | Integer(1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 15, 20, 25, 30, 35, 40)                                      | Defined in [16]   |
| >>Timer_MRW                    | MP   |       | Integer(50, 60, 70, 80, 90, 100, 120, 140, 160, 180, 200, 300, 400, 500, 700, 900)                  | Elapsed time in milliseconds. It is used to trigger the retransmission of a STATUS PDU containing an MRW SUFI field |
| >>MaxMRW                       | MP   |       | Integer(1, 4, 6, 8, 12, 16, 24, 32)   | Defined in [16]   |
| >No discard                    |      |       |   |   |
| >>Max_DAT                      | MP   |       | Integer(1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 15, 20, 25, 30, 35, 40)                                      | Defined in [16]   |

| <b>CHOICE SDU Discard Mode</b> | <b>Condition under which the given SDU Discard Mode is chosen</b>   |
|--------------------------------|---|
| Timer based explicit           | If the modes for discharge of the RLC buffer on the transmitter side is "Timer based with explicit signalling"  |
| Timer based no explicit        | If the modes for discharge of the RLC buffer on the transmitter side is "Timer based without explicit signalling"<br>For unacknowledged mode, only Timer based without explicit signalling is applicable. |
| Max DAT retransmissions        | If the modes for discharge of the RLC buffer on the transmitter side is "Discard after Max_DAT retransmissions"   |
| No discard                     | If the modes for discharge the of RLC buffer on the transmitter side is "Reset procedure shall be done after Max_DAT retransmissions"   |

## 10.3.5 Transport CH Information elements

### 10.3.5.1 Added or Reconfigured DL TrCH information

| Information Element/Group name  | Need | Multi | Type and reference                   | Semantics description                               |
|---------------------------------|------|-------|--------------------------------------|---|
| Downlink transport channel type | MP   |       | Enumerated(DCH,DSCH)                 |   |
| DL Transport channel identity   | MP   |       | Transport channel identity 10.3.5.18 |   |
| CHOICE <i>DL parameters</i>     |      |       |                                      |   |
| >Explicit                       |      |       |                                      |   |
| >>TFS                           | MP   |       | Transport Format Set 10.3.5.23       |   |
| >SameAsUL                       |      |       |                                      |   |
| >>Uplink transport channel type | MP   |       | Enumerated(DCH,USCH)                 | USCH is TDD only                                    |
| >>UL TrCH identity              | MP   |       | Transport channel identity 10.3.5.18 | Same TFS applies as specified for indicated UL TrCH |
| DCH quality target              | OP   |       | Quality target 10.3.5.10             |   |

### 10.3.5.2 Added or Reconfigured UL TrCH information

| Information Element/Group name | Need | Multi | Type and reference                   | Semantics description |
|--------------------------------|------|-------|--------------------------------------|-----------------------|
| Uplink transport channel type  | MP   |       | Enumerated(DCH,USCH)                 | USCH is TDD only      |
| UL Transport channel identity  | MP   |       | Transport channel identity 10.3.5.18 |                       |
| TFS                            | MP   |       | Transport Format Set 10.3.5.23       |                       |

NOTE: This information element is included within IE "Predefined RB configuration".

### 10.3.5.3 CPCH set ID

NOTE: Only for FDD.

This information element indicates that this transport channel may use any of the Physical CPCH channels defined in the CPCH set info, which contains the same CPCH set ID. The CPCH set ID associates the transport channel with a set of PCPCH channels defined in a CPCH set info IE and a set of CPCH persistency values. The CPCH set info IE(s) and the CPCH persistency values IE(s) each include the CPCH set ID and are part of the SYSTEM INFORMATION message.

| Information Element/Group name | Need | Multi | Type and reference       | Semantics description  |
|--------------------------------|------|-------|--------------------------|--|
| CPCH set ID                    | MP   |       | Integer(1...maxCPCHsets) | Identifier for CPCH set info and CPCH persistency value messages |



## 10.3.5.4 Deleted DL TrCH information

| Information Element/Group name  | Need | Multi | Type and reference                   | Semantics description |
|---------------------------------|------|-------|--------------------------------------|-----------------------|
| Downlink transport channel type | MP   |       | Enumerated(DCH,DSCH)                 |                       |
| DL Transport channel identity   | MP   |       | Transport channel identity 10.3.5.18 |                       |

## 10.3.5.5 Deleted UL TrCH information

| Information Element/Group name | Need | Multi | Type and reference                   | Semantics description |
|--------------------------------|------|-------|--------------------------------------|-----------------------|
| Uplink transport channel type  | MP   |       | Enumerated(DCH,USCH)                 | USCH is TDD only      |
| UL Transport channel identity  | MP   |       | Transport channel identity 10.3.5.18 |                       |

## 10.3.5.6 DL Transport channel information common for all transport channels

| Information Element/Group name  | Need | Multi         | Type and reference                                  | Semantics description   | Version |
|---------------------------------|------|---------------|---|---|---------|
| SCCPCH TFCS                     | OP   |               | Transport Format Combination Set 10.3.5.20          | This IE should not be included in this version of the protocol.         |         |
| CHOICE <i>mode</i>              | MP   |               |   | Although this IE is not always required, need is MP to align with ASN.1 |         |
| >FDD                            | OP   |               |   |   | REL-4   |
| >>CHOICE <i>DL parameters</i>   | OP   |               |   |   |         |
| >>>Explicit                     |      |               |   |   |         |
| >>>>DL DCH TFCS                 | MP   |               | Transport Format Combination Set 10.3.5.20          | Although this IE is not always required, need is MP to align with ASN.1 |         |
| >>>>SameAsUL                    | OP   |               |   | (no data)   | REL-4   |
| >TDD                            |      |               |   |   |         |
| >>Individual DL CCH information | OP   | 1 to <maxCCH> |   |   |         |
| >>>DL TFCS Identity             | MP   |               | Transport format combination set identity 10.3.5.21 | Identifies a special CCH for shared or dedicated channels.              |         |
| >>>CHOICE <i>DL parameters</i>  | MP   |               |   |   |         |
| >>>>Independent                 |      |               |   |   |         |
| >>>>>DL TFCS                    | MP   |               | Transport format combination set 10.3.5.20          |   |         |
| >>>>>SameAsUL                   |      |               |   |   |         |

| Information Element/Group name | Need | Multi | Type and reference                                  | Semantics description   | Version |
|--------------------------------|------|-------|---|---|---------|
| >>>>UL DCH TFCS Identity       | MP   |       | Transport format combination set identity 10.3.5.21 | Same TFCS applies as specified for the indicated UL DCH TFCS identity except for information applicable for UL only |         |

NOTE: This information element is included within IE "Predefined TrCh configuration".

### 10.3.5.7 DRAC Static Information

NOTE: Only for FDD.

Contains static parameters used by the DRAC procedure. Meaning and use is described in subclause 14.8.

| Information Element/Group name | Need | Multi | Type and reference         | Semantics description  |
|--------------------------------|------|-------|----------------------------|--|
| Transmission Time Validity     | MP   |       | Integer(1..256)            | number of frames   |
| Time duration before retry     | MP   |       | Integer(1..256)            | number of frames   |
| DRAC Class Identity            | MP   |       | Integer(1..maxDRACclasses) | Indicates the class of DRAC parameters to use in SIB10 message |

### 10.3.5.8 Power Offset Information

| Information Element/Group name   | Need | Multi | Type and reference | Semantics description   |
|----------------------------------|------|-------|--------------------|---|
| CHOICE <i>Gain Factors</i>       | MP   |       |                    |   |
| >Signalled Gain Factors          |      |       |                    |   |
| >>CHOICE <i>mode</i>             |      |       |                    |   |
| >>>FDD                           |      |       |                    |   |
| >>>>Gain Factor $\beta_c$        | MP   |       | Integer (0.. 15)   | For UL DPCH or control part of PRACH or PCPCH (no data)   |
| >>>TDD                           |      |       |                    |   |
| >>>>Gain Factor $\beta_d$        | MP   |       | Integer (0..15)    | For UL DPCH or data part of PRACH or PCPCH in FDD and all uplink channels in TDD  |
| >>>Reference TFC ID              | OP   |       | Integer (0..3)     | If this TFC is a reference TFC, indicates the reference ID.   |
| >Computed Gain Factors           |      |       |                    |   |
| >>>Reference TFC ID              | MP   |       | Integer (0.. 3)    | Indicates the reference TFC Id of the TFC to be used to calculate the gain factors for this TFC. In case of using computed gain factors, at least one signalled gain factor is necessary for reference. |
| CHOICE <i>mode</i>               | MP   |       |                    |   |
| >FDD                             |      |       |                    |   |
| >>>Power offset P <sub>p-m</sub> | OP   |       | Integer(-5..10)    | In dB. Power offset between the last transmitted preamble and the control part of the message (added to the preamble power to receive the power of the message control                                  |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description           |
|--------------------------------|------|-------|--------------------|---------------------------------|
|                                |      |       |                    | part )<br>Needed only for PRACH |
| >TDD                           |      |       |                    | (no data)                       |

| <b>CHOICE Gain Factors</b>    | <b>Condition under which the way to signal the Gain Factors is chosen</b>   |
|-------------------------------|---|
| <i>Signalled Gain Factors</i> | The values for gain factors $\beta_c$ (only in FDD mode) and $\beta_d$ are signalled directly for a TFC.  |
| <i>Computed Gain Factors</i>  | The gain factors $\beta_c$ (only in FDD mode) and $\beta_d$ are computed for a TFC, based on the signalled settings for the associated reference TFC. |

### 10.3.5.9 Predefined TrCH configuration

This information element concerns a pre- defined configuration of transport channel parameters.

| Information Element/Group name                                     | Need | Multi                  | Type and Reference   | Semantics description |
|--|------|------------------------|--|-----------------------|
| UL Transport channel information common for all transport channels | MP   |                        | UL Transport channel information common for all transport channels 10.3.5.24 |                       |
| <b>Added or Reconfigured TrCH information</b>                      |      |                        |  |                       |
| Added or Reconfigured UL TrCH information                          | MP   | 1 to <maxTrCH preconf> |  |                       |
| >Added or Reconfigured UL TrCH information                         | MP   |                        | Added or Reconfigured UL TrCH information 10.3.5.2                           |                       |
| DL Transport channel information common for all transport channels | MP   |                        | DL Transport channel information common for all transport channels 10.3.5.6  |                       |
| <b>Downlink transport channels</b>                                 |      |                        |  |                       |
| Added or Reconfigured DL TrCH information                          | MP   | 1 to <maxTrCH preconf> |  |                       |
| >Added or Reconfigured DL TrCH information                         | MP   |                        | Added or Reconfigured DL TrCH information 10.3.5.1                           |                       |

## 10.3.5.10 Quality Target

| Information Element/Group name | Need | Multi | Type and reference            | Semantics description   |
|--------------------------------|------|-------|-------------------------------|---|
| BLER Quality value             | MP   |       | Real(-6.3 ..0 by step of 0.1) | Signalled value is Log10(Transport channel BLER quality target) |

## 10.3.5.11 Semi-static Transport Format Information

| Information Element/Group name | Need             | Multi | Type and reference                          | Semantics description                              | Version |
|--------------------------------|------------------|-------|---|--|---------|
| Transmission time interval     | MP               |       | Integer(10, 20, 40, 80, dynamic             | In ms. The value dynamic is only used in TDD mode. | REL-4   |
|                                |                  |       | 5)  | 5 is only applicable for the RACH in 1.28 Mcps TDD |         |
| Type of channel coding         | MP               |       | Enumerated(No coding, Convolutional, Turbo) | The option "No coding" is only valid for TDD.      |         |
| Coding Rate                    | <i>CV-Coding</i> |       | Enumerated(1/2, 1/3)                        |  |         |
| Rate matching attribute        | MP               |       | Integer(1..hi RM)                           |  |         |
| CRC size                       | MP               |       | Integer(0, 8, 12, 16, 24)                   | in bits  |         |

| Condition     | Explanation  |
|---------------|--|
| <i>Coding</i> | This IE is mandatory present if IE "Type of channel coding" is "Convolutional" and not needed otherwise. |

## 10.3.5.12 TFCI Field 2 Information

This IE is used for signalling the mapping between TFCI (field 2) values and the corresponding TFC.

| Information Element/Group name                   | Need | Multi                      | IE type and reference                                   | Semantics description  |
|--|------|----------------------------|---|--|
| CHOICE <i>Signalling method</i>                  | MP   |                            |   |  |
| >TFCI range                                      |      |                            |   |  |
| >>TFCI(field 2) range                            | MP   | 1 to <maxPDSCH-TFCIgroups> |   |  |
| >>>Max TFCI(field2) value                        | MP   |                            | Integer(1..1023)  | This is the Maximum value in the range of TFCI(field2) values for which the specified CTFC(field2) applies |
| >>>TFCS Information for DSCH (TFCI range method) | MP   |                            | TFCS Information for DSCH (TFCI range method) 10.3.5.14 |  |
| >Explicit  |      |                            |   |  |
| >>TFCS explicit configuration                    | MP   |                            | TFCS explicit configuration 10.3.5.13                   |  |

### 10.3.5.13 TFCS Explicit Configuration

| Information Element/Group name              | Need | Multi | IE type and reference                               | Semantics description |
|---|------|-------|---|-----------------------|
| CHOICE <i>TFCS representation</i>           | MP   |       |   |                       |
| >Complete reconfiguration                   |      |       |   |                       |
| >>TFCS complete reconfiguration information | MP   |       | TFCS Reconfiguration/Addition information 10.3.5.15 |                       |
| >Addition                                   |      |       |   |                       |
| >>TFCS addition information                 | MP   |       | TFCS Reconfiguration/Addition information 10.3.5.15 |                       |
| >Removal                                    |      |       |   |                       |
| >>TFCS removal information                  | MP   |       | TFCS Removal Information 10.3.5.16                  |                       |
| >Replace                                    |      |       |   |                       |
| >>TFCS removal information                  | MP   |       | TFCS Removal Information 10.3.5.16                  |                       |
| >>TFCS addition information                 | MP   |       | TFCS Reconfiguration/Addition information 10.3.5.15 |                       |

### 10.3.5.14 TFCS Information for DSCH (TFCI range method)

| Information Element/Group name | Need | Multi | IE type and reference | Semantics description |
|--------------------------------|------|-------|-----------------------|-----------------------|
| CHOICE <i>CTFC Size</i>        | MP   |       |                       |                       |
| >2 bit CTFC                    |      |       |                       |                       |
| >>2bit CTFC                    | MP   |       | Integer(0..3)         |                       |
| >4 bit CTFC                    |      |       |                       |                       |
| >>4bit CTFC                    | MP   |       | Integer(0..15)        |                       |
| >6 bit CTFC                    |      |       |                       |                       |
| >>6 bit CTFC                   | MP   |       | Integer(0..63)        |                       |
| >8 bit CTFC                    |      |       |                       |                       |
| >>8 bit CTFC                   | MP   |       | Integer(0..255)       |                       |
| >12 bit CTFC                   |      |       |                       |                       |
| >>12 bit CTFC                  | MP   |       | Integer(0..4095)      |                       |
| >16 bit CTFC                   |      |       |                       |                       |
| >>16 bit CTFC                  | MP   |       | Integer(0..65535)     |                       |
| >24 bit CTFC                   |      |       |                       |                       |
| >>24 bit CTFC                  | MP   |       | Integer(0..16777215)  |                       |

## 10.3.5.15 TFCS Reconfiguration/Addition Information

| Information Element/Group name | Need | Multi         | IE type and reference             | Semantics description                     |
|--------------------------------|------|---------------|-----------------------------------|---|
| CHOICE CTFC Size               | MP   |               |                                   |   |
| >2 bit CTFC                    |      |               |                                   |   |
| >>CTFC information             | MP   | 1 to <maxTFC> |                                   |   |
| >>>2bit CTFC                   | MP   |               | Integer(0..3)                     |   |
| >>>Power offset Information    | OP   |               | Power Offset Information 10.3.5.8 | Needed only for uplink physical channels. |
| >4 bit CTFC                    |      |               |                                   |   |
| >>CTFC information             | MP   | 1 to <maxTFC> |                                   |   |
| >>>4bit CTFC                   | MP   |               | Integer(0..15)                    |   |
| >>>Power offset Information    | OP   |               | Power Offset Information 10.3.5.8 | Needed only for uplink physical channels. |
| >6 bit CTFC                    |      |               |                                   |   |
| >>CTFC information             | MP   | 1 to <maxTFC> |                                   |   |
| >>>6 bit CTFC                  | MP   |               | Integer(0..63)                    |   |
| >>>Power offset Information    | OP   |               | Power Offset Information 10.3.5.8 | Needed only for uplink physical channels. |
| >8 bit CTFC                    |      |               |                                   |   |
| >>CTFC information             | MP   | 1 to <MaxTFC> |                                   |   |
| >>>8 bit CTFC                  | MP   |               | Integer(0..255)                   |   |
| >>>Power offset Information    | OP   |               | Power Offset Information 10.3.5.8 | Needed only for uplink physical channels. |
| >12 bit CTFC                   |      |               |                                   |   |
| >>CTFC information             | MP   | 1 to <maxTFC> |                                   |   |
| >>>12 bit CTFC                 | MP   |               | Integer(0..4095)                  |   |
| >>>Power offset Information    | OP   |               | Power Offset Information 10.3.5.8 | Needed only for uplink physical channels. |
| >16 bit CTFC                   |      |               |                                   |   |
| >>CTFC information             | MP   | 1 to <maxTFC> |                                   |   |
| >>>16 bit CTFC                 | MP   |               | Integer(0..65535)                 |   |
| >>>Power offset Information    | OP   |               | Power Offset Information 10.3.5.8 | Needed only for uplink physical channels. |
| >24 bit CTFC                   |      |               |                                   |   |
| >>CTFC information             | MP   | 1 to <MaxTFC> |                                   |   |
| >>>24 bit CTFC                 | MP   |               | Integer(0..16777215)              |   |
| >>>Power offset Information    | OP   |               | Power Offset Information 10.3.5.8 | Needed only for uplink physical channels. |

### 10.3.5.16 TFCS Removal Information

| Information Element/Group name | Need | Multi         | IE type and reference                        | Semantics description        |
|--------------------------------|------|---------------|--|------------------------------|
| Removal TFCI information       | MP   | 1 to <maxTFC> |  |                              |
| >TFCI                          | MP   |               | Transport Format Combination (TFC) 10.3.5.19 | In TDD 0 is a reserved value |

### 10.3.5.17 Void

### 10.3.5.18 Transport channel identity

This information element is used to distinguish transport channels. Transport channels of different type (RACH, CPCH, USCH, FACH/PCH, DSCH or DCH) have separate series of identities. This also holds for uplink and downlink transport channel identities (i.e. for DCH). Depending on in which context a transport channel identity  $n$  that is sent, it will have different meaning

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Transport channel identity     | MP   |       | Integer(1..32)     |                       |

### 10.3.5.19 Transport Format Combination (TFC)

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Transport format combination   | MP   |       | Integer (0..1023)  |                       |

### 10.3.5.20 Transport Format Combination Set

Indicates the allowed combinations of already defined Transport formats and the mapping between these allowed TFCs and the corresponding TFCI values.

For TDD, different coded composite transport channels have independent transport format combination sets and thus independent TFCI values.

For FDD, Where the UE is assigned access to one or more DSCH transport channels, a TFCI(field2) is used to signal the transport format combination for the DSCH. The following two cases exist:

- Case 1:  
Using one TFCI-word on the physical layer. A logical split determines the available number of transport format combinations for DCH and DSCH.
- Case 2:  
Using split TFCI on the physical layer. Two TFCI-words, each having a static length of five bits, are used.



| Information Element/Group name | Need | Multi | IE type and reference                 | Semantics description   |
|--------------------------------|------|-------|---------------------------------------|---|
| CHOICE <i>TFCI signalling</i>  | MP   |       |                                       | 'Normal' : meaning no split in the TFCI field (either 'Logical' or 'Hard')<br>'Split' : meaning there is a split in the TFCI field (either 'Logical' or 'Hard'). This value is only valid for FDD downlink when using DSCH.   |
| >Normal                        |      |       |                                       |   |
| >>TFCI Field 1 Information     | MP   |       | TFCS explicit Configuration 10.3.5.13 |   |
| >Split                         |      |       |                                       |   |
| >>Split type                   | OP   |       | Enumerated ('Hard', 'Logical')        | 'Hard' : meaning that TFCI (field 1) and TFCI (field 2) are each 5 bits long and each field is block coded separately.<br>'Logical' : meaning that on the physical layer TFCI (field 1) and TFCI (field 2) are concatenated, field 1 taking the most significant bits and field 2 taking the least significant bits). The whole is then encoded with a single block code. |
| >>Length of TFCI(field2)       | OP   |       | Integer (1..10)                       | This IE indicates the length measured in number of bits of TFCI(field2)   |
| >>TFCI Field 1 Information     | OP   |       | TFCS explicit Configuration 10.3.5.13 |   |
| >>TFCI Field 2 Information     | OP   |       | TFCI field 2 information 10.3.5.12    |   |

| <i>CHOICE TFCI signalling</i> | Condition under which <i>TFCI signalling type</i> is chosen   |
|-------------------------------|---|
| Normal                        | It is chosen when no split in the TFCI field.   |
| Split                         | It is chosen when split in the TFCI field. This value is only valid for FDD downlink when using DSCH. |

### 10.3.5.21 Transport Format Combination Set Identity

NOTE: Only for TDD.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| TFCS ID                        | MD   |       | Integer (1..8)     | Indicates the identity of every TFCS within a UE. Default value is 1. |
| Shared Channel Indicator       | MP   |       | Boolean            | TRUE indicates the use of shared channels. Default is false.          |

### 10.3.5.22 Transport Format Combination Subset

Indicates which Transport format combinations in the already defined Transport format combination set are allowed.

| Information Element/Group name                      | Need | Multi          | Type and reference                     | Semantics description |
|---|------|----------------|--|-----------------------|
| CHOICE <i>Subset representation</i>                 | MP   |                |  |                       |
| >Minimum allowed Transport format combination index |      |                | Transport format combination 10.3.5.19 |                       |
| >Allowed transport format combination list          |      | 1 to <maxTFC>  |  |                       |
| >>Allowed transport format combination              | MP   |                | Transport format combination 10.3.5.19 |                       |
| >Non-allowed transport format combination list      |      | 1 to <maxTFC>  |  |                       |
| >>Non-allowed transport format combination          | MP   |                | Transport format combination 10.3.5.19 |                       |
| >Restricted TrCH information                        |      | 1 to <maxTrCH> |  |                       |
| >>Uplink transport channel type                     | MP   |                | Enumerated(DCH, USCH)                  | USCH is TDD only      |
| >>>Restricted UL TrCH identity                      | MP   |                | Transport channel identity 10.3.5.18   |                       |
| >>>Allowed TFIs                                     | OP   | 1 to <maxTF>   |  |                       |
| >>>>Allowed TFI                                     | MP   |                | Integer(0..31)                         |                       |
| >Full transport format combination set              |      |                |  | (No data)             |

### 10.3.5.23 Transport Format Set

| Information Element/Group name          | Need                  | Multi        | Type and reference   | Semantics description   |
|---|-----------------------|--------------|----------------------|---|
| CHOICE <i>Transport channel type</i>    | MP                    |              |                      |   |
| >Dedicated transport channels           |                       |              |                      | The transport channel that is configured with this TFS is of type DCH   |
| >>Dynamic Transport Format Information  | MP                    | 1 to <maxTF> |                      |   |
| >>>RLC Size                             | MP                    |              | Integer(0..4992)     | Unit is bits  |
| >>>>Number of TBs and TTI List          | MP                    | 1 to <maxTF> |                      | Present for every valid number of TB's (and TTI) for this RLC Size.   |
| >>>>>Transmission Time Interval         | CV- <i>dynamicTTI</i> |              | Integer(10,20,40,80) | Unit is ms.   |
| >>>>>Number of Transport blocks         | MP                    |              | Integer(0..512)      |   |
| >>>>>CHOICE <i>Logical Channel List</i> | MP                    |              |                      | The logical channels that are allowed to use this RLC Size  |
| >>>>>>ALL                               |                       |              | Null                 | All logical channels mapped to this transport channel.  |
| >>>>>>>Configured                       |                       |              | Null                 | The logical channels configured to use this RLC size in the <i>RB mapping info</i> . 10.3.4.21 if present in this |

| Information Element/Group name             | Need                             | Multi           | Type and reference                                    | Semantics description   |
|--|----------------------------------|-----------------|---|---|
|  |                                  |                 |   | message or in the previously stored configuration otherwise   |
| >>>>Explicit List                          |                                  | 1 to 15         |   | Lists the logical channels that are allowed to use this RLC size.   |
| >>>>>RB Identity                           | MP                               |                 | RB identity<br>10.3.4.16                              |   |
| >>>>>LogicalChannel                        | CH-UL-<br>RLCLogical<br>Channels |                 | Integer(0..1)   | Indicates the relevant UL logical channel for this RB. "0" corresponds to the first, "1" corresponds to the second UL logical channel configured for this RB in the IE "RB mapping info". |
| >>Semi-static Transport Format Information | MP                               |                 | Semi-static Transport Format Information<br>10.3.5.11 |   |
| >Common transport channels                 |                                  |                 |   | The transport channel that is configured with this TFS is of a type not equal to DCH  |
| >>Dynamic Transport Format Information     | MP                               | 1 to<br><maxTF> |   | Note  |
| >>>RLC Size                                | MP                               |                 | Integer(0..49<br>92)                                  | Unit is bits  |
| >>>Number of TBs and TTI List              | MP                               | 1 to<br><maxTF> |   | Present for every valid number of TB's (and TTI) for this RLC Size.   |
| >>>>Number of Transport blocks             | MP                               |                 | Integer(0..51<br>2)                                   |   |
| >>>>CHOICE <i>mode</i>                     | MP                               |                 |   |   |
| >>>>>FDD                                   |                                  |                 |   | (no data)   |
| >>>>>TDD                                   |                                  |                 |   |   |
| >>>>>>Transmission Time Interval           | CV-<br>dynamicTT<br>I            |                 | Integer(10,2<br>0,40,80)                              | Unit is ms.   |
| >>>>CHOICE <i>Logical Channel List</i>     | MP                               |                 |   | The logical channels that are allowed to use this RLC Size.   |
| >>>>>ALL                                   |                                  |                 | Null  | All logical channels mapped to this transport channel.  |
| >>>>>Configured                            |                                  |                 | Null  | The logical channels configured to use this RLC size in the <i>RB mapping info</i> . 10.3.4.21 if present in this message or in the previously stored configuration otherwise             |
| >>>>>Explicit List                         |                                  | 1 to 15         |   | Lists the logical channels that are allowed to use this RLC size.   |
| >>>>>>RB Identity                          | MP                               |                 | RB identity<br>10.3.4.16                              |   |
| >>>>>>LogicalChannel                       | CV-UL-<br>RLCLogical<br>Channels |                 | Integer(0..1)   | Indicates the relevant UL logical channel for this RB. "0" corresponds to the first, "1" corresponds to the second UL logical channel configured for this RB in the IE "RB mapping info". |
| >>Semi-static Transport Format Information | MP                               |                 | Semi-static Transport Format Information<br>10.3.5.11 |   |

| Condition                    | Explanation  |
|------------------------------|--|
| <i>dynamicTTI</i>            | This IE is mandatory present if dynamic TTI usage is indicated in IE Transmission Time Interval in Semi-static Transport Format Information. Otherwise it is not needed.   |
| <i>UL-RLCLogicalChannels</i> | If "Number of uplink RLC logical channels" in IE "RB mapping info" in this message is 2 or the IE "RB mapping info" is not present in this message and 2 UL logical channels are configured for this RB, then this IE is mandatory present. Otherwise this IE is not needed. |

#### 10.3.5.24 UL Transport channel information common for all transport channels

| Information Element/Group name | Need | Multi | Type and reference                         | Semantics description   | Version |
|--------------------------------|------|-------|--|---|---------|
| PRACH TFCS                     | OP   |       | Transport format combination set 10.3.5.20 | This IE should not be included in this version of the protocol. |         |
| CHOICE <i>mode</i>             | OP   |       |  |   |         |
| >FDD                           |      |       |  |   |         |

|                                    |    |                  |   |   |       |
|------------------------------------|----|------------------|---|---|-------|
| >>TFC subset                       | MD |                  | Transport Format Combination Subset 10.3.5.22       | Default value is the complete existing set of transport format combinations |       |
| >>UL DCH TFCS                      | MP |                  | Transport formation combination set 10.3.5.20       |   |       |
| >TDD                               |    |                  |   |   |       |
| >>Individual UL CCTrCH information | OP | 1 to <maxCCTrCH> |   |   |       |
| >>>UL TFCS Identity                | MP |                  | Transport format combination set identity 10.3.5.21 | Identifies a special CCTrCH for shared or dedicated channels.               |       |
| >>>UL TFCS                         | MP |                  | Transport format combination set 10.3.5.20          |   |       |
| >>>TFC subset                      | MD |                  | Transport Format Combination Subset 10.3.5.22       | Default value is the complete existing set of transport format combinations |       |
| TFC subset list                    | OP | 1 to <maxTFCsub> |   |   | REL-4 |
| >CHOICE mode                       | MP |                  |   |   | REL-4 |
| >>FDD                              |    |                  |   | (no data)   | REL-4 |
| >>TDD                              |    |                  |   |   | REL-4 |
| >>>TFCS Id                         | OP |                  | Transport Format Combination Set Identity 10.3.5.21 |   | REL-4 |
| >TFC subset                        | MD |                  | Transport Format Combination Subset 10.3.5.22       |   | REL-4 |

NOTE: This information element is included within IE "Predefined TrCh configuration".

## 10.3.6 Physical CH Information elements

### 10.3.6.1 AC-to-ASC mapping

| Information Element/Group name | Need | Multi     | Type and reference | Semantics description   |
|--------------------------------|------|-----------|--------------------|---|
| AC-to-ASC mapping table        | MP   | maxASCmap |                    |   |
| >AC-to-ASC mapping             | MP   |           | Integer(0..7)      | Mapping of Access Classes to Access Service Classes (see subclause 8.5.13.) |

### 10.3.6.2 AICH Info

NOTE: Only for FDD.

| Information Element/Group name | Need | Multi | Type and reference          | Semantics description                          |
|--------------------------------|------|-------|-----------------------------|--|
| Channelisation code            | MP   |       | Integer(0..255)             | SF is fixed and equal to 256                   |
| STTD indicator                 | MP   |       | STTD Indicator<br>10.3.6.78 |  |
| AICH transmission timing       | MP   |       | Enumerated(0, 1)            | See parameter AICH_Transmission_Timing in [26] |

### 10.3.6.3 AICH Power offset

NOTE: Only for FDD.

This parameter is used to indicate the power level of AICH, AP-AICH and CD/CA-ICH channels. This is the power per transmitted Acquisition Indicator, AP Acquisition Indicator or CD/CA Indicator minus power of the Primary CPICH.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| AICH Power offset              | MP   |       | Integer(-22..+5)   | Offset in dB          |

### 10.3.6.4 Allocation period info

NOTE: Only for TDD.

Parameters used by UE to determine period of shared channel allocation.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description                             |
|--------------------------------|------|-------|--------------------|---|
| Allocation Activation Time     | MP   |       | Integer(0..255)    | Start the allocation period at the given CFN.     |
| Allocation Duration            | MP   |       | Integer(1..256)    | Total number of frames for the allocation period. |

### 10.3.6.5 Alpha

| Information Element/Group name | Need | Multi | Type and reference                                  | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| Alpha Value                    | MP   |       | Enumerated(0, 1/8, 2/8, 3/8, 4/8, 5/8, 6/8, 7/8, 1) |                       |

### 10.3.6.6 ASC setting

| Information Element/Group name    | Need | Multi | Type and reference | Semantics description | Version |
|-----------------------------------|------|-------|--------------------|-----------------------|---------|
| CHOICE <i>mode</i>                | MP   |       |                    |                       |         |
| >FDD                              |      |       |                    |                       |         |
| >>Available signature Start Index | MP   |       | Integer(0..15)     |                       |         |
| >>Available signature End Index   | MP   |       | Integer(0..15)     |                       |         |
| >>Assigned Sub-Channel            | MP   |       | Bit string(4)      | This IE defines       |         |

| Information Element/Group name             | Need | Multi | Type and reference | Semantics description  | Version |
|--|------|-------|--------------------|--|---------|
| Number                                     |      |       |                    | the subchannel assignment as specified in 8.6.6.29.<br>The first/leftmost bit of the bit string contains the most significant bit of the Assigned Sub-Channel Number..   |         |
| >TDD                                       |      |       |                    |  |         |
| >>CHOICE <i>TDD option</i>                 | MP   |       |                    |  | REL-4   |
| >>>3.84 Mcps TDD                           |      |       |                    |  | REL-4   |
| >>>>Available Channelisation codes indices | MD   |       | Bit string(8)      | Each bit indicates availability of a channelisation code index, where the channelisation code indices are numbered "channelisation code index 0" to "channelisation code index 7".<br>The value 1 of a bit indicates that the channelisation code index is available for the ASC this IE is associated to. The value 0 of a bit indicates that the channelisation code index is not available for the ASC this IE is associated to.<br>Default is that all channelisation codes defined in PRACH Info are available. |         |
| >>>1.28 Mcps TDD                           |      |       |                    |  | REL-4   |
| >>>>Available SYNC_UL codes indices        | MD   |       | Bit string(8)      | Each bit indicates availability of a SYNC_UL code index, where the SYNC_UL code indices are numbered "SYNC_UL code index 0" to "SYNC_UL code index 7".<br>The value 1 of a bit indicates that the SYNC_UL code index is available for the ASC this IE is associated to. The value 0 of a bit indicates that the SYNC_UL code index is not available for the  | REL-4   |

| Information Element/Group name  | Need | Multi | Type and reference | Semantics description  | Version |
|---------------------------------|------|-------|--------------------|--|---------|
|                                 |      |       |                    | ASC this IE is associated to. Default is that all SYNC_UL codes defined in SYNC_UL Info are available. |         |
| >>CHOICE <i>subchannel size</i> | MP   |       |                    |  |         |
| >>>Size1                        |      |       |                    |  |         |
| >>>>Available Subchannels       | MP   |       | null               | Indicates that all Subchannels are available   |         |
| >>>>Size2                       |      |       |                    |  |         |
| >>>>>Available Subchannels      | MD   |       | Bit string (2)     | NOTE   |         |
| >>>>>Size4                      |      |       |                    |  |         |
| >>>>>>Available Subchannels     | MD   |       | Bit string (4)     | NOTE   |         |
| >>>>>>Size8                     |      |       |                    |  |         |
| >>>>>>>Available Subchannels    | MD   |       | Bit string (8)     | NOTE   |         |

NOTE: Each bit indicates availability of a subchannel, where the subchannels are numbered subchannel 0, subchannel 1 etc. The value 1 of a bit indicates that the subchannel is available for the ASC this IE is associated with. The value 0 of a bit indicates that the subchannel is not available for the ASC this IE is associated with. Default value of the IE is that all subchannels within the size are available for the ASC this IE is associated with.

### 10.3.6.7 Void

### 10.3.6.8 CCTrCH power control info

Parameters used by UE to set the SIR target value for uplink open loop power control in TDD.

| Information Element/Group name | Need | Multi | Type and Reference                                  | Semantics description                             |
|--------------------------------|------|-------|---|---|
| TFCS Identity                  | OP   |       | Transport Format Combination Set Identity 10.3.5.21 | TFCS Identity of this CCTrCH. Default value is 1. |
| Uplink DPCH power control info | MP   |       | Uplink DPCH power control info 10.3.6.91            |   |

### 10.3.6.8a Cell and Channel Identity info

NOTE: Only for TDD.



| Information Element/Group name | Need | Multi | Type and                     | Semantics description   |
|--------------------------------|------|-------|------------------------------|---|
| Burst type                     | MP   |       | Enumerated (Type1, Type2)    | Identifies the channel in combination with the Midamble shift and slot number   |
| Midamble Shift                 | MP   |       | Integer                      |   |
| Time Slot                      | OP   |       | Timeslot number<br>10.3.6.84 | This IE is present only if no IPDL scheme is configured in the reference cell. Otherwise the slot is defined by the IPDL configuration. |
| Cell parameters ID             | MP   |       | Cell                         | Identifies the cell   |

### 10.3.6.9 Cell parameters Id

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Cell parameter Id              | MP   |       | Integer(0..127)    |                       |

### 10.3.6.10 Common timeslot info

| Information Element/Group name    | Need | Multi | Type and reference           | Semantics description   |
|-----------------------------------|------|-------|------------------------------|---|
| 2 <sup>nd</sup> interleaving mode | MD   |       | Enumerated( Frame, Timeslot) | Frame timeslot related interleaving. Default value is "Frame" |

| Information Element/Group name | Need | Multi | Type and reference               | Semantics description  |
|--------------------------------|------|-------|----------------------------------|--|
| TFCI coding                    | MD   |       | Integer(4,8,16,32)               | Describes the amount of bits for the TFCI bits code word as described in [31].<br>Defaults is no TFCI bit:<br>In case of 8 PSK in 1.28Mcps TDD:<br>4 corresponds to 6 TFCI code word bits.<br>8 corresponds to 12 TFCI code word bits.<br>16 corresponds to 24 TFCI code word bits.<br>32 corresponds to 48 TFCI code word bits. |
| Puncturing limit               | MP   |       | Real(0.40..1.0 by step of 0.04)  |  |
| Repetition period              | MD   |       | Integer(1,2,4,8,16,32,64)        | Default is continuous allocation. Value 1 indicate continuous  |
| Repetition length              | MP   |       | Integer(1..Repetition period -1) | NOTE: This is empty if repetition period is set to 1.  |

### 10.3.6.11 Constant value

NOTE: Only for FDD.

This constant value is used by the UE to calculate the initial output power on PRACH according to the Open loop power control procedure.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Constant value                 | MP   |       | Integer (-35..-10) | In dB                 |

### 10.3.6.11a Constant value TDD

NOTE: Only for 3.84 Mcps TDD.

3.84 Mcps TDD constant values are used for open loop power control of PRACH, USCH and UL DPCH as defined in subclause 8.5.7.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| TDD Constant value             | MP   |       | Integer (-35..+10) | In dB                 |

### 10.3.6.12 CPCH persistence levels

NOTE: Only for FDD.

This IE is dynamic and is used by RNC for load balancing and congestion control. This is broadcast often in the system information message.

| Information Element/Group name | Need | Multi             | Type and reference                     | Semantics description                   |
|--------------------------------|------|-------------------|--|---|
| CPCH set ID                    | MP   |                   | Integer (1 .. <maxCPCHsets>)           | Identifier for CPCH set info.           |
| Dynamic persistence level      | MP   | 1 to <maxTF-CPCH> |  |   |
| >Dynamic persistence level     | MP   |                   | Dynamic persistence level<br>10.3.6.35 | Persistence level for transport format. |

### 10.3.6.13 CPCH set info

NOTE: Only for FDD.

This IE may be broadcast in the System Information message or assigned by SRNC. It is pseudo-static in a cell.

| Information Element/Group name      | Need                    | Multi                 | Type and reference                            | Semantics description   |
|-------------------------------------|-------------------------|-----------------------|---|---|
| CPCH set ID                         | MP                      |                       | CPCH set ID<br>10.3.5.3                       | Indicates the ID number for a particular CPCH set allocated to a cell.  |
| TFS                                 | MP                      |                       | Transport Format Set<br>10.3.5.23             | Transport Format Set Information allocated to this CPCH set.  |
| TFCS                                | MP                      |                       | Transport Format Combination Set<br>10.3.5.20 | Transport Format Set Information allocated to this CPCH set   |
| AP preamble scrambling code         | MP                      |                       | Integer (0..79)                               | Preamble scrambling code for AP in UL   |
| AP-AICH channelisation code         | MP                      |                       | Integer(0..255)                               | Channelisation code for AP-AICH in DL   |
| CD preamble scrambling code         | MP                      |                       | Integer (0..79)                               | Preamble scrambling code for CD in UL   |
| CD/CA-ICH channelisation code       | MP                      |                       | Integer (0..255)                              | Channelisation code for CD/CA-ICH in DL   |
| Available CD access slot subchannel | CV- <i>CDSigPresent</i> | 1 to <maxPCH-CDsubCh> |   | Lists the set of subchannels to be used for CD access preambles.<br>NOTE: If not present, all subchannels are to be used without access delays.   |
| >CD access slot subchannel          | MP                      |                       | Integer (0..11)                               |   |
| Available CD signatures             | OP                      | 1 to <maxPCH-CDsig>   |   | Signatures for CD preamble in UL.<br>NOTE: If not present, all signatures are available for use.  |
| >CD signatures                      | MP                      |                       | Integer (0..15)                               |   |
| DeltaPp-m                           | MP                      |                       | Integer (-10..10)                             | In dB. Power offset between the transmitted CD preamble and UL DPCCH of the power control preamble or message part (added to the preamble power to calculate the power of the UL DPCCH) |
| UL DPCCH Slot Format                | MP                      |                       | Enumerated (0,1,2)                            | Slot format for UL DPCCH in power control preamble and in message part  |

| Information Element/Group name           | Need    | Multi                    | Type and reference                       | Semantics description  |
|--|---------|--------------------------|--|--|
| N_start_message                          | MP      |                          | Integer (1..8)                           | Number of Frames for start of message indication   |
| N_EOT                                    | MP      |                          | Integer(0..7)                            | Actual number of appended EOT indicators is $T\_EOT = N\_TTI * \text{ceil}(N\_EOT/N\_TTI)$ , where $N\_TTI$ is the number of frames per TTI and "ceil" refers to rounding up to nearest integer. |
| Channel Assignment Active                | OP      |                          | Boolean                                  | When present, indicates that Node B send a CA message and VCAM mapping rule (14.11) shall be used.   |
| CPCH status indication mode              | MP      |                          | CPCH status indication mode<br>10.3.6.14 |  |
| PCPCH Channel Info.                      | MP      | 1 to <maxPCP CHs>        |  |  |
| >UL scrambling code                      | MP      |                          | Integer (0..79)                          | For PCPCH message part   |
| >DL channelisation code                  | MP      |                          | Integer (0...511)                        | For DL DPCCH for PCPCH message part  |
| >DL scrambling code                      | MD      |                          | Secondary Scrambling Code<br>10.3.6.74   | Default is the same scrambling code as for the primary CPICH.  |
| >PCP length                              | MP      |                          | Enumerated (0, 8)                        | Indicates length of power control preamble, 0slots (no preamble used) or 8 slots   |
| >UCSM Info                               | CV-NCAA |                          |  |  |
| >>Minimum Spreading Factor               | MP      |                          | Integer (4,8,16,32,64,128,256 )          | The UE may use this PCPCH at any Spreading Factor equal to or greater than the indicated minimum Spreading Factor. The Spreading Factor for initial access is the minimum Spreading Factor.      |
| >>NF_max                                 | MP      |                          | Integer (1...64)                         | Maximum number of frames for PCPCH message part  |
| >>>Channel request parameters for UCSM   | MP      |                          |  | Required in UE channel selection mode.   |
| >>>>Available AP signature               | MP      | 1 to <maxPCP CH-APsig>   |  | AP preamble signature codes for selection of this PCPCH channel.   |
| >>>>>AP signature                        | MP      |                          | Integer (0..15)                          |  |
| >>>>>Available AP access slot subchannel | OP      | 1 to <maxPCP CH-APsubCh> |  | Lists the set of subchannels to be used for AP access preambles in combination with the above AP signature(s).<br>NOTE: If not present, all subchannels are to be used without access delays.    |
| >>>>>>AP access slot subchannel          | MP      |                          | Integer (0..11)                          |  |
| VCAM info                                | CV-CAA  |                          |  |  |
| >Available Minimum Spreading Factor      | MP      | 1 to <maxPCP CH-SF>      |  |  |
| >>Minimum Spreading Factor               | MP      |                          | Enumerated (4,8,16,32,64,128,256 )       |  |

| Information Element/Group name      | Need | Multi                    | Type and reference | Semantics description  |
|-------------------------------------|------|--------------------------|--------------------|--|
| >>NF_max                            | MP   |                          | Integer (1..64)    | Maximum number of frames for PCPCH message part  |
| >>Maximum available number of PCPCH | MP   |                          | Integer (1..64)    | Maximum available number of PCPCH for the indicated Spreading Factor.  |
| >>Available AP signatures           | MP   | 1 to <maxPCP CH-APsig>   |                    | Signatures for AP preamble in UL.  |
| >>>AP signature                     |      |                          | Integer (0..15)    |  |
| >>Available AP sub-channel          | OP   | 1 to <maxPCP CH-APsubCh> |                    | AP sub-channels for the given AP signature in UL.<br>NOTE: If not present, all subchannels are to be used without access delays. |
| >>>AP sub-channel                   | MP   |                          | Integer (0..11)    |  |

| Condition           | Explanation   |
|---------------------|---|
| <i>CDSigPresent</i> | This IE is optional if IE "Available CD signatures" is present and not needed otherwise.                |
| <i>NCAA</i>         | This IE is mandatory present if IE "Channel Assignment Active" is not present and not needed otherwise. |
| <i>CAA</i>          | This IE is mandatory present if IE "Channel Assignment Active" is present and not needed otherwise.     |

### 10.3.6.14 CPCH Status Indication mode

NOTE: Only for FDD.

| Information Element/Group name | Need | Multi | Type and reference                | Semantics description   |
|--------------------------------|------|-------|-----------------------------------|---|
| CPCH Status Indication mode    | MP   |       | Enumerated (PA mode, PAMASF mode) | Defines the status information type broadcast on the CPCH Status Indication Channel (CSICH) |

CPCH Status Indication mode defines the structure of the CSICH information that is broadcast by Node B on the CSICH channel. CSICH mode can take 2 values: PCPCH Availability (PA) mode and PCPCH Availability with Minimum Available Spreading Factor (PAMASF) mode. PAMASF mode is used when Channel Assignment is active. PA mode is used when Channel Assignment is not active (UE Channel Selection is active). [26] defines the structure of the CSICH information for both CSICH modes.

### 10.3.6.15 CSICH Power offset

NOTE: Only for FDD.

This is the power per transmitted CSICH Indicator minus power of the Primary CPICH.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description             |
|--------------------------------|------|-------|--------------------|-----------------------------------|
| CSICH Power offset             | MP   |       | Integer(-10..+5)   | Offset in dB, granularity of 1 dB |

### 10.3.6.16 Default DPCH Offset Value

Indicates the default offset value within interleaving size at a resolution of 512chip (1/5 slot) in FDD and a resolution of one frame in TDD to offset CFN in the UE. This is used to distribute discontinuous transmission periods in time and also to distribute NodeB-RNC transmission traffics in time. Even though the CFN is offset by DOFF, the start timing of the interleaving will be the timing that "CFN mod (interleaving size)"=0 (e.g. interleaving size: 2,4,8) in both UE and SRNC.

| Information Element/Group name     | Need | Multi | Type and reference                 | Semantics description                                |
|------------------------------------|------|-------|------------------------------------|--|
| CHOICE <i>mode</i>                 |      |       |                                    |  |
| >FDD                               |      |       |                                    |  |
| >>Default DPCH Offset Value (DOFF) | MP   |       | Integer (0..306688 by step of 512) | Number of chips=. 0 to 599 time 512 chips, see [10]. |
| >TDD                               |      |       |                                    |  |
| >>Default DPCH Offset Value (DOFF) | MP   |       | Integer(0..7)                      | Number of frames; See [10]                           |

### 10.3.6.17 Downlink channelisation codes

NOTE: Only for TDD

| Information Element/Group name     | Need | Multi | Type and reference              | Semantics description   |
|------------------------------------|------|-------|---------------------------------|---|
| CHOICE <i>codes representation</i> | MP   |       |                                 |   |
| >Consecutive codes                 |      |       |                                 |   |
| >>First channelisation code        | MP   |       | Enumerated ( (16/1)...(16/16) ) | If a TFCI exists in this timeslot, it is mapped to the channelisation code as defined in [30].  |
| >>Last channelisation code         | MP   |       | Enumerated ( (16/1)...(16/16) ) | If this is the same as First channelisation code, only one code is used by the physical layer.  |
| >Bitmap                            |      |       |                                 |   |
| >>Channelisation codes bitmap      | MP   |       | Bit string(16)                  | Each bit indicates the availability of a channelisation code for SF16, where the channelisation codes are numbered as channelisation code 1 (SF16) to channelisation code 16 (SF16). (For SF 16, a 1 in the bitmap means that the corresponding code is used, a 0 means that the corresponding code is not used.)<br>If all bits are set to zero, SF 1 shall be used. |

### 10.3.6.18 Downlink DPCH info common for all RL

| Information Element/Group name | Need              | Multi | Type and reference                | Semantics description | Version |
|--------------------------------|-------------------|-------|-----------------------------------|-----------------------|---------|
| Timing Indication              | MP                |       | Enumerated(Initialis e, Maintain) | NOTE                  |         |
| CFN-targetSFN frame offset     | CV- <i>TimInd</i> |       | Integer(0..255)                   | In frame              |         |

| Information Element/Group name                   | Need       | Multi | Type and reference  | Semantics description   | Version |
|--|------------|-------|---|---|---------|
| Downlink DPCH power control information          | OP         |       | Downlink DPCH power control information<br>10.3.6.23        |   |         |
| MAC-d HFN initial value                          | CV-Message |       | Bit string(24)  |   | REL-4   |
| CHOICE <i>mode</i>                               | MP         |       |   |   |         |
| >FDD   |            |       |   |   |         |
| >>Power offset $P_{\text{Pilot-DPCH}}$           | MP         |       | Integer(0..24)  | Power offset equals $P_{\text{Pilot}} - P_{\text{DPCH}}$ , range 0..6 dB, in steps of 0.25 dB   |         |
| >>Downlink rate matching restriction information | OP         |       | Downlink rate matching restriction information<br>10.3.6.31 | If this IE is set to "absent", no Transport CH is restricted in TFI.  |         |
| >>Spreading factor                               | MP         |       | Integer(4, 8, 16, 32, 64, 128, 256, 512)                    |   |         |
| >>Fixed or Flexible Position                     | MP         |       | Enumerated (Fixed, Flexible)                                |   |         |
| >>TFCI existence                                 | MP         |       | Boolean   | TRUE indicates that TFCI is used. When spreading factor is less than or equal to 64, FALSE indicates that TFCI is not used and therefore DTX is used in the TFCI field. |         |
| >>CHOICE <i>SF</i>                               | MP         |       |   |   |         |
| >>>SF = 256                                      |            |       |   |   |         |
| >>>>Number of bits for Pilot bits                | MP         |       | Integer (2,4,8)   | In bits   |         |
| >>>>SF = 128                                     |            |       |   |   |         |
| >>>>Number of bits for Pilot bits                | MP         |       | Integer(4, 8)   | In bits   |         |
| >>>>Otherwise                                    |            |       |   | (no data). In ASN.1 choice "Otherwise" is not explicitly available as all values are available, it is implied by the use of any value other than 128 or 256.            |         |
| >TDD   |            |       |   | (no data)   |         |

| CHOICE <i>SF</i> | Condition under which the given <i>SF</i> is chosen            |
|------------------|--|
| SF=128           | "Spreading factor" is set to 128                               |
| SF=256           | "Spreading factor" is set to 256                               |
| Otherwise        | "Spreading factor" is set to a value distinct from 128 and 256 |

| Condition      | Explanation   |
|----------------|---|
| <i>TimInd</i>  | This IE is optional if the IE "Timing Indication" is set to "Initialise". Otherwise it is not needed.   |
| <i>Message</i> | This IE is not needed if the IE "Downlink DPCH info common for all RL" is included in RRC CONNECTION SETUP or HANDOVER TO UTRAN COMMAND messages. Otherwise it is optional. |

NOTE: Within the HANDOVER TO UTRAN COMMAND message, only value "initialise" is applicable.

### 10.3.6.19 Downlink DPCH info common for all RL Post

| Information Element/Group name          | Need | Multi | Type and reference                                   | Semantics description |
|---|------|-------|--|-----------------------|
| Downlink DPCH power control information | OP   |       | Downlink DPCH power control information<br>10.3.6.23 |                       |

### 10.3.6.20 Downlink DPCH info common for all RL Pre

| Information Element/Group name    | Need | Multi | Type and reference                       | Semantics description   |
|-----------------------------------|------|-------|--|---|
| CHOICE <i>mode</i>                | MP   |       |  |   |
| >FDD                              |      |       |  |   |
| >>Spreading factor                | MP   |       | Integer(4, 8, 16, 32, 64, 128, 256, 512) | Defined in CHOICE SF512-Andpilot with "number of its for pilot bits" in ASN.1   |
| >>Fixed or Flexible Position      | MP   |       | Enumerated (Fixed, Flexible)             |   |
| >>TFCI existence                  | MP   |       | Boolean                                  | TRUE indicates that TFCI is used. When spreading factor is less than or equal to 64, FALSE indicates that TFCI is not used and therefore DTX is used in the TFCI field. |
| >>CHOICE <i>SF</i>                | MP   |       |  |   |
| >>>SF = 256                       |      |       |  |   |
| >>>>Number of bits for Pilot bits | MP   |       | Integer (2,4,8)                          | In bits   |
| >>>SF = 128                       |      |       |  |   |
| >>>>Number of bits for Pilot bits | MP   |       | Integer(4,8)                             | In bits   |
| >>>Otherwise                      |      |       |  | (no data)   |
| >TDD                              |      |       |  |   |
| >>Common timeslot info            | MP   |       | Common Timeslot Info<br>10.3.6.10        |   |

| CHOICE <i>SF</i> | Condition under which the given <i>SF</i> is chosen            |
|------------------|--|
| SF=128           | "Spreading factor" is set to 128                               |
| SF=256           | "Spreading factor" is set to 256                               |
| Otherwise        | "Spreading factor" is set to a value distinct from 128 and 256 |



## 10.3.6.21 Downlink DPCH info for each RL

| Information Element/Group name               | Need                        | Multi                  | Type and reference                                   | Semantics description  |
|--|-----------------------------|------------------------|--|--|
| CHOICE <i>mode</i>                           | MP                          |                        |  |  |
| >FDD   |                             |                        |  |  |
| >>Primary CPICH usage for channel estimation | MP                          |                        | Primary CPICH usage for channel estimation 10.3.6.62 |  |
| >>DPCH frame offset                          | MP                          |                        | Integer(0..38 144 by step of 256)                    | Offset (in number of chips) between the beginning of the P-CCPCH frame and the beginning of the DPCH frame This is called $\tau_{DPCH,n}$ in [26]                |
| >>Secondary CPICH info                       | OP                          |                        | Secondary CPICH info 10.3.6.73                       |  |
| >>DL channelisation code                     | MP                          | 1 to <maxDPC H-DLchan> |  | For the purpose of physical channel mapping [27] the DPCHs are numbered, starting from DPCH number 1, according to the order that they are contained in this IE. |
| >>>Secondary scrambling code                 | MD                          |                        | Secondary scrambling code 10.3.6.74                  | Default is the same scrambling code as for the Primary CPICH   |
| >>>Spreading factor                          | MP                          |                        | Integer(4, 8, 16, 32, 64, 128, 256, 512)             | Defined in CHOICE SF512-AndCodenumber with "code number" in ASN.1  |
| >>>Code number                               | MP                          |                        | Integer(0..Sp reading factor - 1)                    |  |
| >>>Scrambling code change                    | CH-SF/2                     |                        | Enumerated (code change, no code change)             | Indicates whether the alternative scrambling code is used for compressed mode method 'SF/2'.   |
| >>TPC combination index                      | MP                          |                        | TPC combination index 10.3.6.85                      |  |
| >>SSDT Cell Identity                         | OP                          |                        | SSDT Cell Identity 10.3.6.76                         |  |
| >>Closed loop timing adjustment mode         | CH- <i>TxDiversity Mode</i> |                        | Integer(1, 2)  | It is present if Tx Diversity is used in the radio link.   |
| >TDD   |                             |                        |  |  |
| >>DL CCTrCh List                             | OP                          | 1..<maxCC TrCH>        |  | DL physical channels to establish or reconfigure list.   |
| >>>TFCS ID                                   | MD                          |                        | Integer(1..8)  | Identity of this CCTrCh. Default value is 1  |
| >>>Time info                                 | MP                          |                        | Time Info 10.3.6.83                                  |  |
| >>>Common timeslot info                      | MD                          |                        | Common Timeslot Info 10.3.6.10                       | Default is the current Common timeslot info  |
| >>>Downlink DPCH timeslots and codes         | MD                          |                        | Downlink Timeslots and Codes 10.3.6.32               | Default is to use the old timeslots and codes.   |
| >>>UL CCTrCH TPC List                        | MD                          | 0..<maxCC              |  | UL CCTrCH identities for TPC   |

| Information Element/Group name | Need | Multi           | Type and reference                                  | Semantics description   |
|--------------------------------|------|-----------------|---|---|
|                                |      | TrCH>           |   | commands associated with this DL CCTrCH. Default is previous list or all defined UL CCTrCHs.<br>This list is not required for 1.28 Mcps TDD and is to be ignored by the UE. |
| >>>UL TPC TFCS Identity        | MP   |                 | Transport Format Combination Set Identity 10.3.5.21 |   |
| >>DL CCTrCH List to Remove     | OP   | 1..<maxCC TrCH> |   | DL physical channels to remove list.  |
| >>>TFCS ID                     | MP   |                 | Integer(1..8)                                       |   |

| Condition               | Explanation  |
|-------------------------|--|
| <i>SF/2</i>             | The information element is mandatory present if the UE has a compressed mode pattern sequence configured in variable TGPS_IDENTITY or included in the message including IE "Downlink DPCH info for each RL", which is using compressed mode method "SF/2". Otherwise the IE is not needed. |
| <i>TxDiversity Mode</i> | This IE is mandatory present if any TX Diversity Mode is used on the radio link, i.e. if STTD, "closed loop mode 1" or "closed loop mode 2" is used on the radio link. Otherwise the IE is not needed.   |

### 10.3.6.22 Downlink DPCH info for each RL Post

| Information Element/Group name               | Need    | Multi | Type and reference                                   | Semantics description  |
|--|---------|-------|--|--|
| CHOICE <i>mode</i>                           | MP      |       |  |  |
| >FDD   |         |       |  |  |
| >>Primary CPICH usage for channel estimation | MP      |       | Primary CPICH usage for channel estimation 10.3.6.62 |  |
| >>Secondary scrambling code                  | MD      |       | Secondary scrambling code 10.3.6.74                  | Default is the same scrambling code as for the Primary CPICH                                 |
| >>CHOICE <i>Spreading factor</i>             | MP      |       | Integer(4, 8, 16, 32, 64, 128, 256, 512)             | Defined in CHOICE SF512-AndCodenummer with "code number" in ASN.1                            |
| >>Code number                                | MP      |       | Integer(0.. Spreading factor - 1)                    |  |
| >>Scrambling code change                     | CH-SF/2 |       | Enumerated (code change, no code change)             | Indicates whether the alternative scrambling code is used for compressed mode method 'SF/2'. |
| >>>TPC combination index                     | MP      |       | TPC combination index 10.3.6.85                      |  |
| >TDD   |         |       |  |  |
| >>Downlink DPCH timeslots and codes          | MP      |       | Downlink Timeslots                                   |  |

| Information Element/Group name | Need | Multi | Type and reference     | Semantics description |
|--------------------------------|------|-------|------------------------|-----------------------|
|                                |      |       | and Codes<br>10.3.6.32 |                       |

| Condition   | Explanation   |
|-------------|---|
| <i>SF/2</i> | The information element is mandatory present if the UE has a compressed mode pattern sequence configured in variable TGPS_IDENTITY or included in the message including IE "Downlink DPCH info for each RL Post", which is using compressed mode method "SF/2". Otherwise the IE is not needed. |

### 10.3.6.23 Downlink DPCH power control information

| Information Element/Group name | Need | Multi | Type and reference                                    | Semantics description   |
|--------------------------------|------|-------|---|---|
| CHOICE <i>mode</i>             | MP   |       |   |   |
| >FDD                           |      |       |   |   |
| >>DPC Mode                     | MP   |       | Enumerated<br>(Single TPC,<br>TPC triplet in<br>soft) | "Single TPC" is DPC_Mode=0<br>and "TPC triplet in soft" is<br>DPC_mode=1 in [29]. |
| >TDD                           |      |       |   |   |
| >>TPC Step Size                | OP   |       | Integer<br>(1, 2, 3)                                  | In dB   |

### 10.3.6.24 Downlink information common for all radio links

| Information Element/Group name       | Need | Multi | Type and reference                                | Semantics description                                    | Version |
|--------------------------------------|------|-------|---|--|---------|
| Downlink DPCH info common for all RL | OP   |       | Downlink DPCH info common for all RL<br>10.3.6.18 |  |         |
| CHOICE <i>mode</i>                   | MP   |       |   |  |         |
| >FDD                                 |      |       |   |  |         |
| >>DPCH compressed mode info          | OP   |       | DPCH compressed mode info<br>10.3.6.33            |  |         |
| >>TX Diversity Mode                  | MD   |       | TX Diversity Mode<br>10.3.6.86                    | Default value is the existing value of TX Diversity mode |         |
| >>SSDT information                   | OP   |       | SSDT information<br>10.3.6.77                     |  |         |
| >TDD                                 |      |       |   | (no data)  |         |
| >>CHOICE <i>TDD option</i>           | MP   |       |   |  | REL-4   |
| >>>3.84 Mcps TDD                     |      |       |   | (no data)  | REL-4   |
| >>>1.28 Mcps TDD                     |      |       |   |  | REL-4   |
| >>>>TSTD indicator                   | MP   |       | TSTD indicator<br>10.3.6.85a                      |  | REL-4   |
| Default DPCH Offset Value            | OP   |       | Default DPCH Offset Value,<br>10.3.6.16           |  |         |

## 10.3.6.25 Downlink information common for all radio links Post

| Information Element/Group name       | Need | Multi | Type and reference                                  | Semantics description |
|--------------------------------------|------|-------|---|-----------------------|
| Downlink DPCH info common for all RL | MP   |       | Downlink DPCH info common for all RL Post 10.3.6.19 |                       |

## 10.3.6.26 Downlink information common for all radio links Pre

| Information Element/Group name       | Need | Multi | Type and reference                                 | Semantics description |
|--------------------------------------|------|-------|--|-----------------------|
| Downlink DPCH info common for all RL | MP   |       | Downlink DPCH info common for all RL Pre 10.3.6.20 |                       |

## 10.3.6.27 Downlink information for each radio link

| Information Element/Group name | Need | Multi | Type and reference                       | Semantics description | Version |
|--------------------------------|------|-------|--|-----------------------|---------|
| Choice mode                    | MP   |       |  |                       |         |
| >FDD                           |      |       |  |                       |         |
| >>Primary CPICH info           | MP   |       | Primary CPICH info 10.3.6.60             |                       |         |
| >>Cell ID                      | OP   |       | Cell ID 10.3.2.2                         |                       | REL-4   |
| >>PDSCH with SHO DCH Info      | OP   |       | PDSCH with SHO DCH Info 10.3.6.47        |                       |         |
| >>PDSCH code mapping           | OP   |       | PDSCH code mapping 10.3.6.43             |                       |         |
| >TDD                           |      |       |  |                       |         |
| >>Primary CCPCH info           | MP   |       | Primary CCPCH info 10.3.6.57             |                       |         |
| Downlink DPCH info for each RL | OP   |       | Downlink DPCH info for each RL 10.3.6.21 |                       |         |
| SCCPCH Information for FACH    | OP   |       | SCCPCH Information for FACH 10.3.6.70    |                       |         |

## 10.3.6.28 Downlink information for each radio link Post

| Information Element/Group name | Need | Multi | Type and reference           | Semantics description | Version |
|--------------------------------|------|-------|------------------------------|-----------------------|---------|
| Choice mode                    | MP   |       |                              |                       |         |
| >FDD                           |      |       |                              |                       |         |
| >>Primary CPICH info           | MP   |       | Primary CPICH info 10.3.6.60 |                       |         |
| >>Cell ID                      | OP   |       | Cell ID                      |                       | REL-4   |

| Information Element/Group name | Need | Multi | Type and reference                               | Semantics description | Version |
|--------------------------------|------|-------|--|-----------------------|---------|
|                                |      |       | 10.3.2.2   |                       |         |
| >TDD                           |      |       |  |                       |         |
| >>Primary CCPCH info           | MP   |       | Primary CCPCH info post<br>10.3.6.58             |                       |         |
| Downlink DPCH info for each RL | MP   |       | Downlink DPCH info for each RL Post<br>10.3.6.22 |                       |         |

### 10.3.6.29 Void

### 10.3.6.30 Downlink PDSCH information

NOTE: Only for FDD.

| Information Element/Group name | Need | Multi | Type and reference                   | Semantics description |
|--------------------------------|------|-------|--------------------------------------|-----------------------|
| PDSCH with SHO DCH Info        | OP   |       | PDSCH with SHO DCH Info<br>10.3.6.47 |                       |
| PDSCH code mapping             | OP   |       | PDSCH code mapping<br>10.3.6.43      |                       |

### 10.3.6.31 Downlink rate matching restriction information

This IE indicates which TrCH is restricted in TFI.

| Information Element/Group name   | Need | Multi          | Type and reference                      | Semantics description |
|----------------------------------|------|----------------|---|-----------------------|
| Restricted TrCH information      | OP   | 1 to <maxTrCH> |   |                       |
| >Downlink transport channel type | MP   |                | Enumerated(DCH,DSCH)                    |                       |
| >Restricted DL TrCH identity     | MP   |                | Transport channel identity<br>10.3.5.18 |                       |
| >Allowed TFIs                    | MP   | 1 to <maxTF>   |   |                       |
| >>Allowed TFI                    | MP   |                | Integer(0..31)                          |                       |

### 10.3.6.32 Downlink Timeslots and Codes

NOTE: Only for TDD

| Information Element/Group name | Need | Multi | Type and reference                    | Semantics description   |
|--------------------------------|------|-------|---------------------------------------|---|
| First Individual timeslot info | MP   |       | Individual timeslot info<br>10.3.6.37 | Individual timeslot info for the first timeslot used by the physical layer. |

| Information Element/Group name      | Need | Multi          | Type and reference                         | Semantics description  |
|-------------------------------------|------|----------------|--|--|
| First timeslot channelisation codes | MP   |                | Downlink channelisation codes<br>10.3.6.17 | These codes shall be used by the physical layer in the timeslot given in First Individual timeslot info.   |
| CHOICE <i>more timeslots</i>        | MP   |                |  |  |
| >No more timeslots                  |      |                |  | (no data)  |
| >Consecutive timeslots              |      |                |  |  |
| >>Number of additional timeslots    | MP   |                | Integer(1..maxTS-1)                        | The timeslots used by the physical layer shall be timeslots:<br>N mod maxTS<br>(N+1) mod maxTS<br>...<br>(N+k) mod maxTS<br>in that order, where N is the timeslot number in the First individual timeslot info and k the Number of additional timeslots.<br>The additional timeslots shall use the same parameters (e.g. channelisation codes, midamble shifts etc.) as the first timeslot. |
| >Timeslot list                      |      |                |  |  |
| >>Additional timeslot list          | MP   | 1 to <maxTS-1> |  | The first instance of this parameter corresponds to the timeslot that shall be used second by the physical layer, the second to the timeslot that shall be used third and so on.   |
| >>>CHOICE <i>parameters</i>         | MP   |                |  |  |
| >>>>Same as last                    |      |                |  |  |
| >>>>>Timeslot number                | MP   |                | Timeslot Number<br>10.3.6.84               | The physical layer shall use the same parameters (e.g. channelisation codes, midamble shifts etc.) for this timeslot as for the last one.  |
| >>>>>New parameters                 |      |                |  |  |
| >>>>>Individual timeslot info       | MP   |                | Individual timeslot info<br>10.3.6.37      |  |
| >>>>>Channelisation codes           | MP   |                | Downlink channelisation codes<br>10.3.6.17 |  |

### 10.3.6.33 DPCH compressed mode info

NOTE: Only for FDD.

This information element indicates the parameters of the compressed mode to be used by the UE in order to perform inter-frequency and inter-RAT measurements.

| Information Element/Group name    | Need | Multi           | Type and reference               | Semantics description   |
|-----------------------------------|------|-----------------|----------------------------------|---|
| Transmission gap pattern sequence | MP   | 1 to <maxTGP S> |                                  |   |
| >TGPSI                            | MP   |                 | TGPSI<br>10.3.6.82               |   |
| >TGPS Status Flag                 | MP   |                 | Enumerated(activate, deactivate) | This flag indicates whether the Transmission Gap Pattern Sequence shall be activated or |

| Information Element/Group name                              | Need      | Multi | Type and reference  | Semantics description   |
|---|-----------|-------|---|---|
|   |           |       |   | deactivated.  |
| >TGCFN  | CV-Active |       | Integer (0..255)  | Connection Frame Number of the first frame of the first pattern within the Transmission Gap Pattern Sequence.   |
| >Transmission gap pattern sequence configuration parameters | OP        |       |   |   |
| >>TGMP  | MP        |       | Enumerated( TDD measurement, FDD measurement, GSM carrier RSSI measurement, GSM Initial BSIC identification, GSM BSIC re-confirmation, Multi-carrier measurement) | Transmission Gap pattern sequence Measurement Purpose.  |
| >>TGPRC   | MP        |       | Integer (1..511, Infinity)  | The number of transmission gap patterns within the Transmission Gap Pattern Sequence.   |
| >>TGSN  | MP        |       | Integer (0..14)   | Transmission Gap Starting Slot Number<br>The slot number of the first transmission gap slot within the TGCFN.   |
| >>TGL1  | MP        |       | Integer(1..14)  | The length of the first Transmission Gap within the transmission gap pattern expressed in number of slots   |
| >>TGL2  | MD        |       | Integer (1..14)   | The length of the second Transmission Gap within the transmission gap pattern. If omitted, then TGL2=TGL1. The value of TGL2 shall be ignored if TGD is set to "undefined"  |
| >>TGD   | MP        |       | Integer(15..269, undefined)   | Transmission gap distance indicates the number of slots between starting slots of two consecutive transmission gaps within a transmission gap pattern. If there is only one transmission gap in the transmission gap pattern, this parameter shall be set to undefined. |
| >>TGPL1   | MP        |       | Integer (1..144)  | The duration of transmission gap pattern 1.   |
| >>TGPL2   | MD        |       | Integer (1..144)  | The duration of transmission gap pattern 2. If omitted, then TGPL2=TGPL1.   |
| >>RPP   | MP        |       | Enumerated (mode 0,   | Recovery Period Power control mode during the frame   |

| Information Element/Group name      | Need | Multi | Type and reference                                     | Semantics description   |
|-------------------------------------|------|-------|--|---|
|                                     |      |       | mode 1).   | after the transmission gap within the compressed frame. Indicates whether normal PC mode or compressed PC mode is applied   |
| >>ITP                               | MP   |       | Enumerated (mode 0, mode 1).                           | Initial Transmit Power is the uplink power control method to be used to compute the initial transmit power after the compressed mode gap.   |
| >>>CHOICE <i>UL/DL mode</i>         | MP   |       |  |   |
| >>>>DL only                         |      |       |  | Compressed mode used in DL only   |
| >>>>Downlink compressed mode method | MP   |       | Enumerated (puncturing, SF/2, higher layer scheduling) | Method for generating downlink compressed mode gap  |
| >>>>UL only                         |      |       |  | Compressed mode used in UL only   |
| >>>>Uplink compressed mode method   | MP   |       | Enumerated (SF/2, higher layer scheduling)             | Method for generating uplink compressed mode gap  |
| >>>>UL and DL                       |      |       |  | Compressed mode used in UL and DL   |
| >>>>Downlink compressed mode method | MP   |       | Enumerated (puncturing, SF/2, higher layer scheduling) | Method for generating downlink compressed mode gap  |
| >>>>Uplink compressed mode method   | MP   |       | Enumerated (SF/2, higher layer scheduling)             | Method for generating uplink compressed mode gap  |
| >>>Downlink frame type              | MP   |       | Enumerated (A, B)                                      |   |
| >>>DeltaSIR1                        | MP   |       | Real(0..3 by step of 0.1)                              | Delta in DL SIR target value to be set in the UE during the frame containing the start of the first transmission gap in the transmission gap pattern (without including the effect of the bit-rate increase)  |
| >>>DeltaSIRafter1                   | MP   |       | Real(0..3 by step of 0.1)                              | Delta in DL SIR target value to be set in the UE one frame after the frame containing the start of the first transmission gap in the transmission gap pattern.  |
| >>>DeltaSIR2                        | OP   |       | Real(0..3 by step of 0.1)                              | Delta in DL SIR target value to be set in the UE during the frame containing the start of the second transmission gap in the transmission gap pattern (without including the effect of the bit-rate increase)<br>When omitted, DeltaSIR2 = DeltaSIR1. |
| >>>DeltaSIRafter2                   | OP   |       | Real(0..3 by step of 0.1)                              | Delta in DL SIR target value to be set in the UE one frame after the frame containing the start of the second transmission gap in the transmission gap pattern.<br>When omitted, DeltaSIRafter2   |



| Information Element/Group name | Need               | Multi | Type and reference             | Semantics description  |
|--------------------------------|--------------------|-------|--------------------------------|--|
|                                |                    |       |                                | = DeltaSIRafter1.  |
| >>N Identify abort             | CV-Initial BSIC    |       | Integer(1..128)                | Indicates the maximum number of repeats of patterns that the UE shall use to attempt to decode the unknown BSIC of the GSM cell in the initial BSIC identification procedure |
| >>T Reconfirm abort            | CV-Re-confirm BSIC |       | Real(0.5..10.0 by step of 0.5) | Indicates the maximum time allowed for the re-confirmation of the BSIC of one GSM cell in the BSIC re-confirmation procedure. The time is given in steps of 0.5 seconds.     |

| Condition       | Explanation  |
|-----------------|--|
| Active          | This IE is mandatory present when the value of the IE "TGPS Status Flag" is "Activate" and not needed otherwise.                   |
| Initial BSIC    | This IE is mandatory present when the value of the IE "TGMP" is set to "GSM Initial BSIC identification" and not needed otherwise. |
| Re-confirm BSIC | This IE is mandatory present when the value of the IE "TGMP" is set to "GSM BSIC re-confirmation" and not needed otherwise.        |

### 10.3.6.34 DPCH Compressed Mode Status Info

This information element indicates status information of the compressed mode used by the UE in order to perform inter-frequency and inter-RAT measurements.

| Information Element/Group name    | Need      | Multi          | Type and reference               | Semantics description   |
|-----------------------------------|-----------|----------------|----------------------------------|---|
| TGPS reconfiguration CFN          | MP        |                | Integer (0..255)                 |   |
| Transmission gap pattern sequence | MP        | 1 to <maxTGPS> |                                  |   |
| >TGPSI                            | MP        |                | TGPSI 10.3.6.82                  | Transmission Gap Pattern Sequence Identifier  |
| >TGPS Status Flag                 | MP        |                | Enumerated(activate, deactivate) | This flag indicates whether the Transmission Gap Pattern Sequence it shall be activated or deactivated.       |
| >TGCFN                            | CV-Active |                | Integer (0..255)                 | Connection Frame Number of the first frame of the first pattern within the Transmission Gap Pattern Sequence. |

| Condition | Explanation  |
|-----------|--|
| Active    | This IE is mandatory present when the value of the IE "TGPS Status Flag" is "Activate" and not needed otherwise. |

## 10.3.6.35 Dynamic persistence level

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| Dynamic persistence level      | MP   |       | Integer(1..8)      | Level shall be mapped to a dynamic persistence value in the range 0 .. 1. The mapping is described in subclause 8.5.12. |

## 10.3.6.35a FPACH info

NOTE: Only for 1.28 Mcps TDD.

| Information Element/Group name | Need | Multi | Type and reference                      | Semantics description   | Version |
|--------------------------------|------|-------|---|---|---------|
| Timeslot number                | MP   |       | Integer(0..6)                           |   | REL-4   |
| Channelisation code            | MP   |       | Enumerated(16/1)..(16/16)               |   | REL-4   |
| Midamble Shift and burst type  | MP   |       | Midamble shift and burst type 10.3.6.41 |   | REL-4   |
| WT                             | MP   |       | Integer(1..4)                           | The number of sub-frames, following the sub-frame in which the SYNC UL is transmitted, in which the FPACH can be transmitted. | REL-4   |

## 10.3.6.36 Frequency info

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description  |
|--------------------------------|------|-------|---------------------|--|
| CHOICE <i>mode</i>             | MP   |       |                     |  |
| >FDD                           |      |       |                     |  |
| >>UARFCN uplink (Nu)           | OP   |       | Integer(0..16383)   | If this IE is not present, the default duplex distance defined for the operating frequency band shall be used [21] |
| >>UARFCN downlink (Nd)         | MP   |       | Integer(0 .. 16383) | [21]   |
| >TDD                           |      |       |                     |  |
| >>UARFCN (Nt)                  | MP   |       | Integer(0 .. 16383) | [22]   |

## 10.3.6.37 Individual timeslot info

| Information Element/Group name | Need | Multi | Type and reference        | Semantics description   | Version |
|--------------------------------|------|-------|---------------------------|---|---------|
| Timeslot number                | MP   |       | Timeslot number 10.3.6.84 | Timeslot within a frame                                       |         |
| TFCl existence                 | MP   |       | Boolean                   | TRUE indicates that the TFCl exists. It shall be coded in the |         |

| Information Element/Group name | Need | Multi | Type and reference                      | Semantics description  | Version |
|--------------------------------|------|-------|---|--|---------|
|                                |      |       |   | physical channel defined in [30] of this timeslot.   |         |
| Midamble Shift and burst type  | MP   |       | Midamble shift and burst type 10.3.6.41 |  |         |
| CHOICE <i>TDD option</i>       | MP   |       |   |  | REL-4   |
| >3.84 Mcps TDD                 |      |       |   | (no data)  | REL-4   |
| >1.28 Mcps TDD                 |      |       |   |  | REL-4   |
| >>Modulation                   | MP   |       | Enumerated(QPSK, 8PSK)                  |  | REL-4   |
| >>SS-TPC Symbols               | MP   |       | Enumerated(0, 1, 16/SF)                 | Denotes amount of SS and TPC bits send in this timeslot  | REL-4   |
| >>Additional TPC-SS Symbols    | OP   |       | Integer(1..15)                          | Specifies the number of additional codes in this timeslot that carry TPC and SS symbols as specified in [33] | REL-4   |

### 10.3.6.38 Individual Timeslot interference

Parameters used by the UE for uplink open loop power control in TDD.

| Information element      | Need | Multi | Type and reference             | Semantics description |
|--------------------------|------|-------|--------------------------------|-----------------------|
| Timeslot number          | MP   |       | Timeslot number 10.3.6.84      |                       |
| UL Timeslot Interference | MP   |       | UL Interference TDD 10.3.6.87a |                       |

### 10.3.6.39 Maximum allowed UL TX power

This information element indicates the maximum allowed uplink transmit power.

| Information Element         | Need | Multi | Type and reference | Semantics description |
|-----------------------------|------|-------|--------------------|-----------------------|
| Maximum allowed UL TX power | MP   |       | Integer(-50..33)   | In dBm                |

### 10.3.6.40 Void

### 10.3.6.41 Midamble shift and burst type

NOTE: Only for TDD.

This information element indicates burst type and midamble allocation. Three different midamble allocation schemes exist:

- Default midamble: the midamble shift is selected by layer 1 depending on the associated channelisation code (DL and UL)
- Common midamble: the midamble shift is chosen by layer 1 depending on the number of channelisation codes (possible in DL only)
- UE specific midamble: a UE specific midamble is explicitly assigned (DL and UL).

| Information Element/Group name                | Need  | Multi | Type and reference   | Semantics description                      | Version |
|---|-------|-------|--|--|---------|
| CHOICE <i>TDD option</i>                      | MP    |       |  |  | REL-4   |
| >3.84 Mcps TDD                                |       |       |  |  | REL-4   |
| >>CHOICE <i>Burst Type</i>                    | MP    |       |  |  |         |
| >>>Type 1                                     |       |       |  |  |         |
| >>>>Midamble Allocation Mode                  | MP    |       | Enumerated (Default midamble, Common midamble, UE specific midamble) |  |         |
| >>>>Midamble configuration burst type 1 and 3 | MP    |       | Integer(4, 8, 16)  | As defined in [30]                         |         |
| >>>>Midamble Shift                            | CV-UE |       | Integer(0..15)   |  |         |
| >>>Type 2                                     |       |       |  |  |         |
| >>>>Midamble Allocation Mode                  | MP    |       | Enumerated (Default midamble, Common midamble, UE specific midamble) |  |         |
| >>>>Midamble configuration burst type 2       | MP    |       | Integer(3, 6)  | As defined in [30]                         |         |
| >>>>Midamble Shift                            | CV-UE |       | Integer(0..5)  |  |         |
| >>>Type 3                                     |       |       |  |  |         |
| >>>>Midamble Allocation Mode                  | MP    |       | Enumerated (Default midamble, UE specific midamble)                  |  |         |
| >>>>Midamble configuration burst type 1 and 3 | MP    |       | Integer(4, 8, 16)  | As defined in [30]                         |         |
| >>>>Midamble Shift                            | CV-UE |       | Integer (0..15)  | NOTE: Burst Type 3 is only used in uplink. |         |
| >1.28 Mcps TDD                                |       |       |  |  | REL-4   |
| >>Midamble Allocation Mode                    | MP    |       | Enumerated (Default midamble, Common midamble, UE specific midamble) |  | REL-4   |
| >>Midamble configuration                      | MP    |       | Integer(2, 4, 6, 8, 10, 12, 14, 16)                                  | As defined in [30]                         | REL-4   |
| >>Midamble Shift                              | CV-UE |       | Integer (0..15)  |  | REL-4   |

| Condition | Explanation  |
|-----------|--|
| UE        | This IE is mandatory present when the value of the IE "Midamble Allocation Mode" is "UE-specific midamble" and not needed otherwise. |

### 10.3.6.42 PDSCH Capacity Allocation info

NOTE: Only for TDD.

| Information Element/Group name | Need | Multi | Type and reference                 | Semantics description |
|--------------------------------|------|-------|------------------------------------|-----------------------|
| PDSCH allocation period info   | MP   |       | Allocation Period Info 10.3.6.4    |                       |
| CHOICE <i>Configuration</i>    | MP   |       |                                    |                       |
| >Old configuration             |      |       |                                    |                       |
| >>TFCS ID                      | MD   |       | Integer(1..8)                      | Default is 1.         |
| >>PDSCH Identity               | MP   |       | Integer(1..hi PDSCHidentities)     |                       |
| >New configuration             |      |       |                                    |                       |
| >>PDSCH Info                   | MP   |       | PDSCH Info 10.3.6.44               |                       |
| >>PDSCH Identity               | OP   |       | Integer(1..hi PDSCHidentities)     |                       |
| >>PDSCH power control info     | OP   |       | PDSCH power control info 10.3.6.45 |                       |

### 10.3.6.43 PDSCH code mapping

NOTE: Only for FDD.

This IE indicates the association between each possible value of TFCI(field 2) and the corresponding PDSCH channelisation code(s). The following signalling methods are specified:

- 'code range': the mapping is described in terms of a number of groups, each group associated with a given spreading factor;
- 'TFCI range': the mapping is described in terms of a number of groups, each group corresponding to a given PDSCH channelisation code;
- 'Explicit': the mapping between TFCI(field 2) value and PDSCH channelisation code is spelt out explicitly for each value of TFCI (field2);
- 'Removal': replace individual entries in the TFCI(field 2) to PDSCH code mapping table with new PDSCH code values.

| Information Element/Group name  | Need | Multi                         | Type and reference                  | Semantics description   |
|---------------------------------|------|-------------------------------|-------------------------------------|---|
| DL Scrambling Code              | MD   |                               | Secondary scrambling code 10.3.6.74 | Scrambling code on which PDSCH is transmitted. Default is the same scrambling code as for the Primary CPICH |
| Choice <i>signalling method</i> | MP   |                               |                                     |   |
| >code range                     |      |                               |                                     |   |
| >>PDSCH code mapping            | MP   | 1 to < maxPDSC H-TFCIgroups > |                                     |   |

| Information Element/Group name        | Need | Multi                         | Type and reference                  | Semantics description  |
|---------------------------------------|------|-------------------------------|-------------------------------------|--|
| >>>Spreading factor                   | MP   |                               | Integer(4, 8, 16, 32, 64, 128, 256) |  |
| >>>multi-code info                    | MP   |                               | Integer(1..16)                      |  |
| >>>Code number (for PDSCH code) start | MP   |                               | Integer(0..Spreading factor-1)      |  |
| >>>Code number (for PDSCH code) stop  | MP   |                               | Integer(0..Spreading factor-1)      |  |
| >TFCI range                           |      |                               |                                     |  |
| >>DSCH mapping                        | MP   | 1 to < maxPDSC H-TFCIgroups > |                                     |  |
| >>>Max TFCI(field2) value             | MP   |                               | Integer(1..1023)                    | This is the maximum value in the range of TFCI(field 2) values for which the specified PDSCH code applies  |
| >>>Spreading factor (for PDSCH code)  | MP   |                               | Integer(4, 8, 16, 32, 64, 128, 256) |  |
| >>>Code number (for PDSCH code)       | MP   |                               | Integer(0..Spreading factor-1)      |  |
| >>>multi-code info                    | MP   |                               | Integer(1..16)                      |  |
| >Explicit                             |      |                               |                                     |  |
| >>PDSCH code info                     | MP   | 1 to < maxTFCI-2-Combs >      |                                     | The first instance of the parameter <i>PDSCH code</i> corresponds to TFCI (field2) = 0, the second to TFCI(field 2) = 1 and so on.                   |
| >>>Spreading factor (for PDSCH code)  | MP   |                               | Integer(4, 8, 16, 32, 64, 128, 256) |  |
| >>>Code number (for PDSCH code)       | MP   |                               | Integer(0..Spreading factor-1)      |  |
| >>>multi-code info                    | MP   |                               | Integer(1..16)                      |  |
| >Replace                              |      |                               |                                     | This choice is made if the PDSCH code(s) associated with a given value of TFCI(field 2) is to be replaced.   |
| >>Replaced PDSCH code                 | MP   | 1 to < maxTFCI-2-Combs >      |                                     | Identity of the PDSCH code(s) to be used for the specified value of TFCI(field 2). These code identity(s) replace any that had been specified before |
| >>>TFCI (field 2)                     | MP   |                               | Integer (0..1023)                   | Value of TFCI(field 2) for which PDSCH code mapping will be changed  |
| >>>Spreading factor (for PDSCH code)  | MP   |                               | Integer(4, 8, 16, 32, 64, 128, 256) |  |
| >>>Code number (for PDSCH code)       | MP   |                               | Integer(0..Spreading factor-1)      |  |
| >>>multi-code info                    | MP   |                               | Integer(1..16)                      |  |

## 10.3.6.44 PDSCH info

NOTE: Only for TDD.

| Information Element/Group name | Need | Multi | Type and reference                        | Semantics description                          |
|--------------------------------|------|-------|---|--|
| TFCS ID                        | MD   |       | Integer(1..8)                             | TFCS to be used. Default value is 1.           |
| Common timeslot info           | OP   |       | Common timeslot info<br>10.3.6.10         |  |
| PDSCH timeslots and codes      | OP   |       | Downlink Timeslots and Codes<br>10.3.6.32 | Default is to use the old timeslots and codes. |

## 10.3.6.45 PDSCH Power Control info

NOTE: Only for TDD.

| Information Element/Group name | Need | Multi           | Type and reference                                     | Semantics description  |
|--------------------------------|------|-----------------|--|--|
| TPC Step Size                  | OP   |                 | Integer (1, 2, 3)                                      | In dB  |
| UL CCTrCH TPC List             | OP   | 1..<maxCC TrCH> |  | UL CCTrCH identities for TPC commands associated with this DL CCTrCH.<br><br>This list is not used in 1.28 Mcps TDD. |
| >UL TPC TFCS Identity          | MP   |                 | Transport Format Combination Set Identity<br>10.3.5.21 |  |

## 10.3.6.46 PDSCH system information

NOTE: Only for TDD.

| Information Element/Group name | Need       | Multi            | Type and reference                            | Semantics description |
|--------------------------------|------------|------------------|---|-----------------------|
| PDSCH information              | MP         | 1 to <maxPDS CH> |   |                       |
| >PDSCH Identity                | MP         |                  | Integer(1..hi PDSCHidentities)                |                       |
| >PDSCH info                    | MP         |                  | PDSCH info<br>10.3.6.44                       |                       |
| >SFN Time Info                 | CH-Block17 |                  | SFN Time Info<br>10.3.6.75                    |                       |
| >DSCH TFS                      | OP         |                  | Transport format set<br>10.3.5.23             |                       |
| >DSCH TFCS                     | OP         |                  | Transport Format Combination Set<br>10.3.5.20 |                       |

| Condition      | Explanation   |
|----------------|---|
| <i>Block17</i> | This IE is not needed in System Information Block 17. Otherwise it is optional. |

### 10.3.6.47 PDSCH with SHO DCH Info

NOTE: Only for FDD

| Information Element/Group name | Need | Multi        | Type and reference              | Semantics description  |
|--------------------------------|------|--------------|---------------------------------|--|
| DSCH radio link identifier     | MP   |              | Primary CPICH info<br>10.3.6.60 | This parameter indicates on which radio link the user will be allocated resource on the DSCH.  |
| TFCI(field2) Combining set     | OP   | 1 to <maxRL> |                                 | This is used to indicate which of the downlink TFCI(field 2) transmissions made on the DPCCHs within the active set should be soft combined on the physical layer. This parameter may only be sent if there is a 'hard' split of the TFCI field and in this case the sending of the parameter is optional. |
| >Radio link identifier         | MP   |              | Primary CPICH info<br>10.3.6.60 |  |

### 10.3.6.48 Persistence scaling factors

This IE defines scaling factors associated with ASC 2 – ASC 7 to be applied to the dynamic persistence value.

| Information Element/Group name | Need | Multi                  | Type and reference             | Semantics description  |
|--------------------------------|------|------------------------|--------------------------------|--|
| Access Service Class           | MP   | 1 to maxASCpersistence |                                | multiplicity corresponds to the number of PRACH partitions minus 2 |
| >Persistence scaling factor    | MP   |                        | Real(0.9..0.2, by step of 0.1) | Scaling factors in the range 0,...,1                               |

### 10.3.6.49 PICH Info

| Information Element/Group name  | Need | Multi | Type and reference           | Semantics description   | Version |
|---------------------------------|------|-------|------------------------------|---|---------|
| CHOICE <i>mode</i>              | MP   |       |                              |   |         |
| >FDD                            |      |       |                              |   |         |
| >>Channelisation code           | MP   |       | Integer(0..255)              | SF is fixed and equal to 256  |         |
| >>Number of PI per frame        | MP   |       | Integer (18, 36, 72, 144)    |   |         |
| >>STTD indicator                | MP   |       | STTD Indicator<br>10.3.6.78  |   |         |
| >TDD                            |      |       |                              |   |         |
| >>Timeslot number               | MD   |       | Timeslot number<br>10.3.6.84 | Default value is the timeslot used by the SCCPCH carrying the associated PCH. |         |
| >>Midamble shift and burst type | MP   |       | Midamble                     |   |         |



|                            |    |      |  |   |       |
|----------------------------|----|------|--|---|-------|
|                            |    |      | shift and burst type<br>10.3.6.41  |   |       |
| >>CHOICE <i>TDD option</i> | MP |      |  |   | REL-4 |
| >>>3.84 Mcps TDD           |    |      |  |   | REL-4 |
| >>>>Channelisation code    | MD |      | Enumerated ( (16/1)...(16/1 6))  | Default value is the channelisation code used by the SCCPCH carrying the associated PCH.  |       |
| >>>1.28 Mcps TDD           |    |      |  |   | REL-4 |
| >>>>Codes list             | MP | 1..2 |  |   | REL-4 |
| >>>>>Channelisation code   | MP |      | Enumerated ( (16/1)...(16/1 6))  |   | REL-4 |
| >>Repetition period/length | MD |      | Enumerated( (4/2),(8/2), (8/4),(16/2), (16/4), (32/2),(32/4), (64/2),(64/4)) | Default value is "(64/2)".  |       |
| >>Offset                   | MP |      | Integer (0...Repetition period -1)   | SFN mod Repetition period = Offset.   |       |
| >>Paging indicator length  | MD |      | Integer (4, 8, 16)   | Indicates the length of one paging indicator in Bits. Default value is 4.   |       |
| >>N <sub>GAP</sub>         | MD |      | Integer(2, 4, 8)   | Number of frames between the last frame carrying PICH for this Paging Occasion and the first frame carrying paging messages for this Paging Occasion. Default value is 4. |       |
| >>N <sub>PCH</sub>         | MD |      | Integer(1 .. 8)  | Number of paging groups. Default value is 2.  |       |

### 10.3.6.50 PICH Power offset

This is the power transmitted on the PICH minus power of the Primary CPICH in FDD and Primary CCPCH Tx Power in TDD.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| PICH Power offset              | MP   |       | Integer(-10 .. +5) | Offset in dB          |

### 10.3.6.51 PRACH Channelisation Code List

NOTE: Only for 3.84 Mcps TDD.

| Information Element/Group name | Need | Multi  | Type and reference | Semantics description | Version |
|--------------------------------|------|--------|--------------------|-----------------------|---------|
| CHOICE <i>SF</i>               | MP   |        |                    |                       |         |
| >SF16                          |      |        |                    |                       |         |
| >>Channelisation Code List     | MP   | 1 to 8 |                    |                       |         |

| Information Element/Group name | Need | Multi  | Type and reference           | Semantics description   | Version |
|--------------------------------|------|--------|------------------------------|---|---------|
| >>>Channelisation code         | MP   |        | Enumerated ((16/1)..(16/16)) | There is a 1:1 mapping between spreading code and midamble shift defined in [30] for channelisation codes (16/1) to (16/8).<br><br>NOTE: channelisation codes (16/9) to (16/16) are not to be used. |         |
| >SF8                           |      |        |                              |   |         |
| >>Channelisation Code List     | MP   | 1 to 8 |                              |   |         |
| >>>Channelisation Code         | MP   |        | Enumerated( (8/1)..(8/8))    |   |         |

### 10.3.6.51a PRACH Channelisation Code 1.28 Mcps TDD

NOTE: Only for 1.28 Mcps TDD.

| Information Element/Group name | Need | Multi  | Type and reference                                     | Semantics description | Version |
|--------------------------------|------|--------|--|-----------------------|---------|
| Channelisation Code List       | MP   | 1 to 4 |  |                       | REL-4   |
| >Channelisation Code           | MP   |        | Enumerated( (4/1)..(4/4),(8/1)..(8/8),(16/1)..(16/16)) |                       | REL-4   |

### 10.3.6.52 PRACH info (for RACH)

| Information Element/Group name    | Need | Multi | Type and reference      | Semantics description   | Version |
|-----------------------------------|------|-------|-------------------------|---|---------|
| CHOICE <i>mode</i>                | MP   |       |                         |   |         |
| >FDD                              |      |       |                         |   |         |
| >>Available Signature             | MP   |       | Bit string(16)          | Each bit indicates availability for a signature, where the signatures are numbered "signature 0" up to "signature 15". The value 1 of a bit indicates that the corresponding signature is available and the value 0 that it is not available. |         |
| >>Available SF                    | MP   |       | Integer (32,64,128,256) | In chips per symbol<br>Defines the minimum allowed SF (i.e. the maximum rate)   |         |
| >>Preamble scrambling code number | MP   |       | Integer (0 .. 15)       | Identification of scrambling code see [28]  |         |
| >>Puncturing Limit                | MP   |       | Real(0.40..1.           |   |         |

|                                    |    |                         |  |   |       |
|------------------------------------|----|-------------------------|--|---|-------|
|                                    |    |                         | 00 by step of 0.04)                                      |   |       |
| >>Available Sub Channel Number     | MP |                         | Bit string(12)   | Each bit indicates availability for a subchannel, where the subchannels are numbered "subchannel 0" to "subchannel 11". The value 1 of a bit indicates that the corresponding subchannel is available and the value 0 indicates that it is not available. |       |
| >TDD                               |    |                         |  |   |       |
| >>CHOICE <i>TDD option</i>         | MP |                         |  |   | REL-4 |
| >>>3.84 Mcps TDD                   |    |                         |  |   | REL-4 |
| >>>>Timeslot number                | MP |                         | Timeslot number<br>10.3.6.84                             |   |       |
| >>>>PRACH Channelisation Code List | MP |                         | PRACH Channelisation Code List<br>10.3.6.51              |   |       |
| >>>>PRACH Midamble                 | MP |                         | Enumerated (Direct, Direct/Inverted)                     | Direct or direct and inverted midamble are used for PRACH   |       |
| >>>>PNBSCH allocation              | OP |                         | PNBSCH allocation<br>10.3.8.10a                          | Identifies frames used for cell synchronisation purposes  | REL-4 |
| >>>1.28 Mcps TDD                   |    |                         |  |   | REL-4 |
| >>>>SYNC_UL info                   | MP |                         | SYNC_UL info<br>10.3.6.78a                               |   | REL-4 |
| >>>>PRACH Definition               | MP | 1..<maxPRACH_FPA<br>CH> |  |   | REL-4 |
| >>>>>Timeslot number               | MP |                         | Timeslot number<br>10.3.6.84                             |   | REL-4 |
| >>>>>PRACH Channelisation Code     | MP |                         | PRACH Channelisation Code<br>1.28 Mcps TDD<br>10.3.6.51a |   | REL-4 |
| >>>>>Midamble Shift and burst type | MP |                         | Midamble shift and burst type<br>10.3.6.41               |   | REL-4 |
| >>>>>FPACH info                    | MP |                         | FPACH info<br>10.3.6.35a                                 |   | REL-4 |

## 10.3.6.53 PRACH partitioning

| Information Element/Group name | Need | Multi       | Type and reference   | Semantics description  |
|--------------------------------|------|-------------|----------------------|--|
| Access Service class           | MP   | 1 to maxASC |                      | If only "NumASC+1" (with, NumASC+1 < maxASC) ASCs are listed, the remaining (NumASC+2 through maxASC) ASCs are unspecified.  |
| >ASC Setting                   | MD   |             | ASC setting 10.3.6.6 | The default values are same as the previous ASC. If the "default" is used for the first ASC, the default values are all available signatures and "all available sub-channels" for FDD and "all available channelisation codes" and "all available subchannels" with "subchannel size=Size 1" in TDD. |

## 10.3.6.54 PRACH power offset

NOTE: Only for FDD.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description                                      |
|--------------------------------|------|-------|--------------------|--|
| Power Ramp Step                | MP   |       | Integer (1..8)     | Power step when no acquisition indicator is received in dB |
| Preamble Retrans Max           | MP   |       | Integer (1..64)    | Maximum number of preambles in one preamble ramping cycle  |

## 10.3.6.55 PRACH system information list

| Information element         | Need | Multi           | Type and reference                         | Semantics description   |
|-----------------------------|------|-----------------|--|---|
| PRACH system information    | MP   | 1 .. <maxPRACH> |  |   |
| >PRACH info                 | MP   |                 | PRACH info (for RACH) 10.3.6.52            |   |
| >Transport channel identity | MP   |                 | Transport channel identity 10.3.5.18       |   |
| >RACH TFS                   | MD   |                 | Transport format set 10.3.5.23             | Default value is the value of "RACH TFS" for the previous PRACH in the list<br>NOTE: The first occurrence is then MP).<br>NOTE: For TDD in this release there is a single TF within the RACH TFS. |
| >RACH TFCS                  | MD   |                 | Transport Format Combination Set 10.3.5.20 | Default value is the value of "RACH TFCS" for the previous PRACH in the list.<br>NOTE: The first occurrence is then MP).  |

| Information element             | Need       | Multi | Type and reference                        | Semantics description  |
|---------------------------------|------------|-------|---|--|
|                                 |            |       |   | NOTE: For TDD in this release there is no TFCS required.   |
| >PRACH partitioning             | MD         |       | PRACH partitioning<br>10.3.6.53           | Default value is the value of "PRACH partitioning" for the previous PRACH in the list (note : the first occurrence is then MP)   |
| >Persistence scaling factors    | OP         |       | Persistence scaling factors<br>10.3.6.48  | This IE shall not be present if only ASC 0 and ASC 1 are defined. If this IE is absent, value is the value of "Persistence scaling factors" for the previous PRACH in the list if value exists |
| >AC-to-ASC mapping              | CV-SIB5-MD |       | AC-to-ASC mapping<br>10.3.6.1             | Only present in SIB 5. Default value is the value of "AC-to-ASC mapping" for the previous PRACH in the list. NOTE: The first occurrence is then MP in SIB5.                                    |
| >CHOICE mode                    | MP         |       |   |  |
| >>FDD                           |            |       |   |  |
| >>>Primary CPICH TX power       | MD         |       | Primary CPICH TX power<br>10.3.6.61       | Default value is the value of "Primary CPICH TX power" for the previous PRACH in the list. NOTE: The first occurrence is then MP.  |
| >>>Constant value               | MD         |       | Constant value<br>10.3.6.11               | Default value is the value of "Constant value" for the previous PRACH in the list. NOTE: The first occurrence is then MP.  |
| >>>PRACH power offset           | MD         |       | PRACH power offset<br>10.3.6.54           | Default value is the value of "PRACH power offset" for the previous PRACH in the list. NOTE: The first occurrence is then MP.  |
| >>>RACH transmission parameters | MD         |       | RACH transmission parameters<br>10.3.6.67 | Default value is the value of "RACH transmission parameters" for the previous PRACH in the list. NOTE: The first occurrence is then MP.  |
| >>>AICH info                    | MD         |       | AICH info<br>10.3.6.2                     | Default value is the value of "AICH info" for the previous PRACH in the list. NOTE: The first occurrence is then MP.   |
| >>TDD                           |            |       |   | (no data)  |

| Condition | Explanation   |
|-----------|---|
| SIB5-MD   | The information element is present only in SIB 5 and in SIB 5 it is mandatory with default. |

NOTE: If the setting of the PRACH information results in that a combination of a signature, preamble scrambling code and subchannel corresponds to a RACH with different TFS and/or TFCS, then for that combination only the TFS/TFCS of the PRACH listed first is valid, where PRACHs listed in System Information Block type 5 shall be counted first.

## 10.3.6.56 Predefined PhyCH configuration

This information element concerns a pre- defined configuration of physical channel parameters.

| Information Element/Group name                  | Need | Multi | Type and Reference  | Semantics description |
|---|------|-------|---|-----------------------|
| <b>Uplink radio resources</b>                   |      |       |   |                       |
| Uplink DPCH info                                | MP   |       | Uplink DPCH info<br>Pre<br>10.3.6.90                                |                       |
| <b>Downlink radio resources</b>                 |      |       |   |                       |
| Downlink information common for all radio links | OP   |       | Downlink information common for all radio links<br>Pre<br>10.3.6.26 |                       |

## 10.3.6.57 Primary CCPCH info

| Information Element/Group name | Need | Multi | Type and reference             | Semantics description                           | Version |
|--------------------------------|------|-------|--------------------------------|---|---------|
| CHOICE <i>mode</i>             | MP   |       |                                |   |         |
| >FDD                           |      |       |                                |   |         |
| >>TX Diversity indicator       | MP   |       | Boolean                        | TRUE indicates that transmit diversity is used. |         |
| >TDD                           |      |       |                                |   |         |
| >>CHOICE <i>TDD option</i>     | MP   |       |                                |   | REL-4   |
| >>>3.84 Mcps TDD               |      |       |                                |   | REL-4   |
| >>>>CHOICE <i>SyncCase</i>     | OP   |       |                                |   |         |
| >>>>>Sync Case 1               |      |       |                                |   |         |
| >>>>>>Timeslot                 | MP   |       | Integer (0...14)               | PCCPCH timeslot                                 |         |
| >>>>>>Sync Case 2              |      |       |                                |   |         |
| >>>>>>>Timeslot                | MP   |       | Integer(0..6)                  |   |         |
| >>>1.28 Mcps TDD               |      |       |                                |   | REL-4   |
| >>>>TSTD indicator             | MP   |       | TSTD indicator<br>10.3.6.85a   |   | REL-4   |
| >>Cell parameters ID           | OP   |       | Cell parameters Id<br>10.3.6.9 | The Cell parameters ID is described in [32].    |         |
| >>SCTD indicator               | MP   |       | SCTD indicator<br>10.3.6.70a   |   |         |

## 10.3.6.58 Primary CCPCH info post

NOTE: Only for TDD

| Information Element/Group name | Need | Multi | Type and reference | Semantics description | Version |
|--------------------------------|------|-------|--------------------|-----------------------|---------|
| CHOICE <i>TDD option</i>       | MP   |       |                    |                       | REL-4   |
| >3.84 Mcps TDD                 |      |       |                    |                       | REL-4   |
| >>CHOICE <i>SyncCase</i>       | MP   |       |                    |                       |         |
| >>>Sync Case 1                 |      |       |                    |                       |         |
| >>>>Timeslot                   | MP   |       | Integer (0...14)   | PCCPCH timeslot       |         |
| >>>>Sync Case 2                |      |       |                    |                       |         |
| >>>>>Timeslot                  | MP   |       | Integer(0..6)      |                       |         |

| Information Element/Group name | Need | Multi | Type and reference           | Semantics description                        | Version |
|--------------------------------|------|-------|------------------------------|--|---------|
| >1.28 Mcps TDD                 |      |       |                              |  | REL-4   |
| >>TSTD indicator               | MP   |       | TSTD indicator<br>10.3.6.85a |  | REL-4   |
| Cell parameters ID             | MP   |       | Cell parameters Id 10.3.6.9  | The Cell parameters ID is described in [32]. |         |
| SCTD indicator                 | MP   |       | SCTD indicator<br>10.3.6.70a |  |         |

### 10.3.6.59 Primary CCPCH TX Power

NOTE: Only for TDD.

| Information Element/group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Primary CCPCH Tx Power         | MP   |       | Integer(6..43)     | In dBm                |

### 10.3.6.60 Primary CPICH info

NOTE: Only for FDD.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Primary scrambling code        | MP   |       | Integer(0..511)    |                       |

### 10.3.6.61 Primary CPICH Tx power

NOTE: Only for FDD.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Primary CPICH Tx Power         | MP   |       | Integer(-10..50)   | Power in dBm.         |

### 10.3.6.62 Primary CPICH usage for channel estimation

NOTE: Only for FDD.

| Information Element/Group name             | Need | Multi | Type and Reference   | Semantics description |
|--|------|-------|--|-----------------------|
| Primary CPICH usage for channel estimation | MP   |       | Enumerated(Primary CPICH may be used, Primary CPICH shall not be used) |                       |

### 10.3.6.63 PUSCH info

NOTE: Only for TDD.

| Information Element/Group name | Need | Multi | Type and reference                   | Semantics description |
|--------------------------------|------|-------|--------------------------------------|-----------------------|
| TFCS ID                        | MD   |       | Integer(1..8)                        | Default value is 1    |
| Common timeslot info           | OP   |       | Common timeslot info 10.3.6.10       |                       |
| PUSCH timeslots and codes      | OP   |       | Uplink Timeslots and Codes 10.3.6.94 |                       |

### 10.3.6.64 PUSCH Capacity Allocation info

NOTE: Only for TDD.

| Information Element/Group name | Need | Multi | Type and reference                 | Semantics description |
|--------------------------------|------|-------|------------------------------------|-----------------------|
| CHOICE <i>PUSCH allocation</i> | MP   |       |                                    |                       |
| >PUSCH allocation pending      |      |       |                                    | (no data)             |
| >PUSCH allocation assignment   |      |       |                                    |                       |
| >>PUSCH allocation period info | MP   |       | Allocation Period Info 10.3.6.4    |                       |
| >>PUSCH power control info     | OP   |       | PUSCH power control info 10.3.6.65 |                       |
| >>CHOICE <i>Configuration</i>  | MP   |       |                                    |                       |
| >>>Old configuration           |      |       |                                    |                       |
| >>>>TFCS ID                    | MD   |       | Integer(1..8)                      | Default is 1.         |
| >>>>PUSCH Identity             | MP   |       | Integer(1..hi PUSCHidentities)     |                       |
| >>>New configuration           |      |       |                                    |                       |
| >>>>PUSCH info                 | MP   |       | PUSCH info 10.3.6.63               |                       |
| >>>>PUSCH Identity             | OP   |       | Integer(1..hiPUSCHidentities)      |                       |

### 10.3.6.65 PUSCH power control info

NOTE: Only for TDD.

Interference level measured for a frequency at the UTRAN access point used by UE to set PUSCH output power.

| Information Element/Group name | Need | Multi | Type and reference                 | Semantics description   | Version |
|--------------------------------|------|-------|------------------------------------|---|---------|
| UL target SIR                  | MP   |       | Real (-11 .. 20 by step of 0.5 dB) | For 1.28 Mcps TDD this parameter represents PRXPUSCHdes with range Integer(-120...-58 by step of 1) dBm | REL-4   |
| CHOICE TDD option              | MP   |       |                                    |   | REL-4   |
| >3.84 Mcps TDD                 |      |       |                                    | (no data)   | REL-4   |
| >1.28 Mcps TDD                 |      |       |                                    |   | REL-4   |
| >>TPC Step Size                | OP   |       | Integer (1, 2, 3)                  | In dB   | REL-4   |



## 10.3.6.66 PUSCH system information

NOTE: Only for TDD.

| Information Element/Group name | Need       | Multi           | Type and reference                         | Semantics description |
|--------------------------------|------------|-----------------|--|-----------------------|
| PUSCH information              | MP         | 1 to <maxPUSCH> |  |                       |
| >PUSCH Identity                | MP         |                 | Integer(1..hi PUSCHidentities)             |                       |
| >PUSCH info                    | MP         |                 | PUSCH info 10.3.6.63                       |                       |
| >SFN Time Info                 | CH-Block17 |                 | SFN Time Info 10.3.6.75                    |                       |
| >USCH TFS                      | OP         |                 | Transport format set 10.3.5.23             |                       |
| >USCH TFCS                     | OP         |                 | Transport Format Combination Set 10.3.5.20 |                       |

| Condition      | Explanation   |
|----------------|---|
| <i>Block17</i> | This IE is not needed in System Information Block 17. Otherwise it is optional. |

## 10.3.6.67 RACH transmission parameters

NOTE: Only for FDD.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description                |
|--------------------------------|------|-------|--------------------|--------------------------------------|
| Mmax                           | MP   |       | Integer(1..32)     | Maximum number of preamble cycles    |
| NB01min                        | MP   |       | Integer(0..50)     | Sets lower bound for random back-off |
| NB01max                        | MP   |       | Integer(0..50)     | Sets upper bound for random back-off |

## 10.3.6.68 Radio link addition information

| Information Element/Group name | Need | Multi | Type and reference                       | Semantics description | Version |
|--------------------------------|------|-------|--|-----------------------|---------|
| Primary CPICH info             | MP   |       | Primary CPICH info 10.3.6.60             |                       |         |
| Cell ID                        | OP   |       | Cell ID 10.3.2.2                         |                       | REL-4   |
| Downlink DPCH info for each RL | MP   |       | Downlink DPCH info for each RL 10.3.6.21 |                       |         |
| TFCI combining indicator       | MP   |       | TFCI combining indicator 10.3.6.81       |                       |         |
| SCCPCH Information for FACH    | OP   |       | SCCPCH Information for FACH              | Note 1                |         |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description | Version |
|--------------------------------|------|-------|--------------------|-----------------------|---------|
|                                |      |       | 10.3.6.70          |                       |         |

NOTE 1: These IEs are present when the UE needs to listen to system information on FACH in CELL\_DCH state.

### 10.3.6.69 Radio link removal information

| Information Element/Group name | Need | Multi | Type and reference              | Semantics description |
|--------------------------------|------|-------|---------------------------------|-----------------------|
| Primary CPICH info             | MP   |       | Primary CPICH info<br>10.3.6.60 |                       |

### 10.3.6.70 SCCPCH Information for FACH

| Information Element/Group name            | Need | Multi               | Type and reference                         | Semantics description   |
|---|------|---------------------|--|---|
| Secondary CCPCH info                      | MP   |                     | Secondary CCPCH info<br>10.3.6.71          |   |
| TFCS                                      | MP   |                     | Transport format combination set 10.3.5.20 | For FACHs and PCH   |
| FACH/PCH information                      | MP   | 1 to <maxFACH/HPCH> |  |   |
| >TFS                                      | MP   |                     | Transport format set 10.3.5.23             | For each FACHs and PCH  |
| >Transport channel identity               | MP   |                     | Transport channel identity 10.3.5.18       |   |
| >CTCH indicator                           | MP   |                     | Boolean                                    | The value "TRUE" indicates that a CTCH is mapped on the FACH, and "FALSE" that no CTCH is mapped. |
| CHOICE mode                               |      |                     |  |   |
| >FDD                                      |      |                     |  |   |
| >>References to system information blocks | MP   | 1 to <maxSIB-FACH>  |  |   |
| >>>Scheduling information                 | MP   |                     | Scheduling information 10.3.8.16           |   |
| >>>SIB type SIBs only                     | MP   |                     | SIB Type SIBs only, 10.3.8.22              |   |
| >TDD                                      |      |                     |  | (No data)   |

NOTE: TFS for PCH shall be the first "FACH/PCH information" in the list if a PCH exists for the respective secondary CCPCH.

### 10.3.6.70a SCTD indicator

NOTE: Only for TDD

| Information Element/Group name | Need | Multi | Type and reference | Semantics description            |
|--------------------------------|------|-------|--------------------|----------------------------------|
| SCTD indicator                 | MP   |       | Boolean            | TRUE indicates that SCTD is used |

### 10.3.6.71 Secondary CCPCH info

| Information Element/Group name | Need | Multi   | Type and reference                     | Semantics description   |
|--------------------------------|------|---------|--|---|
| CHOICE <i>mode</i>             | MP   |         |  |   |
| >FDD                           |      |         |  |   |
| >>Secondary scrambling code    | OP   |         | Secondary scrambling code<br>10.3.6.74 | May only be sent for SCCPCH channels not carrying the PCH.  |
| >>STTD indicator               | MD   |         | STTD Indicator<br>10.3.6.78            | Default value is "TRUE"   |
| >>Spreading factor             | MP   |         | Integer(4, 8, 16, 32, 64, 128, 256)    |   |
| >>Code number                  | MP   |         | Integer(0..Spreading factor - 1)       |   |
| >>Pilot symbol existence       | MD   |         | Boolean                                | TRUE means the existence. Default value is "TRUE"   |
| >>TFCI existence               | MD   |         | Boolean                                | TRUE indicates that TFCI is used. When spreading factor is less than or equal to 64, FALSE indicates that TFCI is not used and therefore DTX is used in the TFCI field. Default value is "TRUE" |
| >>Fixed or Flexible Position   | MD   |         | Enumerated (Fixed, Flexible)           | Default value is "Flexible"   |
| >>Timing Offset                | MD   |         | Integer(0..38144 by step of 256)       | Chip Delay of the Secondary CCPCH relative to the Primary CCPCH. Default value is 0.  |
| >TDD                           |      |         |  |   |
| >>Offset                       | MP   |         | Integer (0..Repetition Period -1)      | SFN modulo Repetition period = offset. Repetition period is the one indicated in the accompanying Common timeslot info IE   |
| >>Common timeslot info         | MP   |         | Common timeslot info<br>10.3.6.10      |   |
| >>Individual timeslot info     | MP   |         | Individual timeslot info<br>10.3.6.37  |   |
| >>Code List                    | MP   | 1 to 16 |  |   |
| >>>Channelisation Code         | MP   |         | Enumerated( (16/1)..(16/16) )          |   |

## 10.3.6.72 Secondary CCPCH system information

| Information element                | Need | Multi              | Type and reference                         | Semantics description  |
|------------------------------------|------|--------------------|--|--|
| Secondary CCPCH system information | MP   | 1 to <maxSCC PCH>  |  |  |
| >Secondary CCPCH info              | MP   |                    | Secondary CCPCH info 10.3.6.71             | Note 1   |
| >TFCS                              | MD   |                    | Transport format combination set 10.3.5.20 | For FACHs and PCH<br>Default value is the value of "TFCS" for the previous SCCPCH in the list.<br>NOTE: The first occurrence is then MP. |
| >FACH/PCH information              | MD   | 1 to <maxFAC HPCH> |  | Default value is the value of "FACH/PCH" for the previous SCCPCH in the list.<br>NOTE: The first occurrence is then MP.                  |
| >>TFS                              | MP   |                    | Transport format set 10.3.5.23             | For each FACH and PCH<br>Note 2  |
| >>Transport channel identity       | MP   |                    | Transport channel identity 10.3.5.18       |  |
| >>CTCH indicator                   | MP   |                    | Boolean                                    | The value "TRUE" indicates that a CTCH is mapped on the FACH, and "FALSE" that no CTCH is mapped.  |
| >PICH info                         | OP   |                    | PICH info 10.3.6.49                        | PICH info is present only when PCH is multiplexed on Secondary CCPCH   |

NOTE 1: The secondary CCPCHs carrying a PCH shall be listed first.

NOTE 2: TFS for PCH shall be the first "FACH/PCH information" in the list if a PCH exists for the respective secondary CCPCH.

## 10.3.6.73 Secondary CPICH info

NOTE: Only for FDD.

| Information Element/Group name | Need | Multi | Type and reference                  | Semantics description  |
|--------------------------------|------|-------|-------------------------------------|--|
| Secondary scrambling code      | MD   |       | Secondary scrambling code 10.3.6.74 | Default is the same scrambling code as for the Primary CPICH |
| Channelisation code            | MP   |       | Integer(0..255)                     | SF=256   |

## 10.3.6.74 Secondary scrambling code

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Secondary scrambling code      | MP   |       | Integer(1..15)     |                       |

## 10.3.6.75 SFN Time info

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| Activation time SFN            | MP   |       | Integer (0..4095)  | System frame number start of the physical channel existence. |
| Duration                       | MP   |       | Integer(1..4096)   | Total number of frames the physical channel will exist.      |

## 10.3.6.75a Special Burst Scheduling

NOTE: Only for TDD.

| Information Element/Group name  | Need | Multi | Type and reference | Semantics description   |
|---------------------------------|------|-------|--------------------|---|
| Special Burst Generation Period | MP   |       | Integer (0..7)     | Value represents number of radio frames<br>0 = 2 frames, 1 = 4 frames,<br>2 = 8 frames, 3 = 16 frames,<br>4 = 32 frames, 5 = 64 frames,<br>6 = 128 frames, 7 = 256 frames |

## 10.3.6.76 SS DT cell identity

NOTE: Only for FDD.

This IE is used to associate a cell identity with a given radio link.

| Information Element/Group name | Need | Multi | Type and reference                  | Semantics description |
|--------------------------------|------|-------|-------------------------------------|-----------------------|
| SSDT cell id                   | MP   |       | Enumerated (a, b, c, d, e, f, g, h) |                       |

## 10.3.6.77 SS DT information

NOTE: Only for FDD.

This information element indicates the status (e.g. initiated/terminated) of the Site Selection.

Diversity Transmit power control (SSDT). It is used to change the SSDT status. The parameter 'code word set' indicates how cell identities are coded (using many bits or few, values are long, medium, or short).

| Information Element/Group name | Need | Multi | Type and reference | Semantics | Version |
|--------------------------------|------|-------|--------------------|-----------|---------|
| S field                        | MP   |       | Integer (1, 2)     | In bits   |         |

| Information Element/Group name | Need | Multi | Type and                 | Semantics | Version |
|--------------------------------|------|-------|--------------------------|-----------|---------|
| Code Word Set                  | MP   |       | Enumerated               |           |         |
| SSDT UL                        | OP   |       | Enumerated (UL, ULandDL) |           | REL-4   |

NOTE: These parameters shall be set optionally associated with DL DPCH info but not for each RL.

### 10.3.6.78 STTD indicator

NOTE: Only for FDD

Indicates whether STTD is used or not.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description        |
|--------------------------------|------|-------|--------------------|------------------------------|
| STTD Indicator                 | MP   |       | Boolean            | TRUE means that STTD is used |

### 10.3.6.78a SYNC\_UL info

NOTE: Only for 1.28 Mcps TDD.

| Information Element/ Group name | Need | Multi | Type and reference               | Semantics description   | Version |
|---------------------------------|------|-------|----------------------------------|---|---------|
| SYNC_UL codes bitmap            | MP   |       | Bitstring(8)                     | Each bit indicates availability of a SYNC_UL code, where the SYNC_UL codes are numbered "code 0" to "code 7".<br>The value 1 of a bit indicates that the corresponding SYNC_UL code can be used.<br>The value 0 of a bit indicates that the corresponding SYNC_UL code can not be used. | REL-4   |
| PRX <sub>UpPCHdes</sub>         | MP   |       | Integer(-120...-58 by step of 1) | In dBm  | REL-4   |
| Power Ramp Step                 | MP   |       | Integer(0,1,2,3)                 | In dB   | REL-4   |
| Max SYNC_UL Transmissions       | MP   |       | Integer(1,2,4,8)                 | Maximum numbers of SYNC_UL transmissions in a power ramping sequence.   | REL-4   |
| Mmax                            | MP   |       | Integer(1..32)                   | Maximum number of synchronisation attempts.   | REL-4   |

### 10.3.6.79 TDD open loop power control

This information element contains parameters for open loop power control setting for TDD.

| Information Element/Group name      | Need             | Multi | Type and reference                  | Semantics description              | Version |
|-------------------------------------|------------------|-------|-------------------------------------|------------------------------------|---------|
| Primary CCPCH Tx Power              | MP               |       | Primary CCPCH Tx Power<br>10.3.6.59 | For path loss calculation          |         |
| CHOICE <i>TDD option</i>            | MP               |       |                                     |                                    | REL-4   |
| >3.84 Mcps TDD                      |                  |       |                                     |                                    | REL-4   |
| >>Alpha                             | OP               |       | Alpha<br>10.3.6.5                   |                                    |         |
| >>PRACH Constant Value              | MP               |       | Constant Value TDD<br>10.3.6.11a    | Operator controlled PRACH Margin   |         |
| >>DPCH Constant Value               | MP               |       | Constant Value TDD<br>10.3.6.11a    | Operator controlled UL DPCH Margin |         |
| >>PUSCH Constant Value              | OP               |       | Constant Value TDD<br>10.3.6.11a    | Operator controlled PUSCH Margin   |         |
| >>UE positioning related parameters | CV- <i>IPDLs</i> |       |                                     |                                    | REL-4   |
| >>>IPDL-Alpha                       | MP               |       | Alpha<br>10.3.6.5                   |                                    | REL-4   |
| >>>Max power increase               | MP               |       | Integer (0..3)                      | In db                              | REL-4   |
| >1.28 Mcps TDD                      |                  |       |                                     | (no data)                          | REL-4   |

| Condition    | Explanation   |
|--------------|---|
| <i>IPDLs</i> | This IE is present only if idle periods are applied |

### 10.3.6.80 TFC Control duration

| Information Element/Group name | Need | Multi | Type and reference   | Semantics description   |
|--------------------------------|------|-------|--|---|
| TFC Control duration           | MP   |       | Integer (1, 2, 4, 8, 16, 24, 32, 48, 64, 128, 192, 256, 512) | Defines the period in multiples of 10 ms frames for which the defined TFC sub-set is to be applied. |

### 10.3.6.81 TFCI Combining Indicator

NOTE: Only for FDD.

This IE indicates whether the TFCI (field 2), which will be transmitted on the DPCCH of a newly added radio link, should be soft-combined with the others in the TFCI (field 2) combining set. This IE is relevant only when the UE is in CELL\_DCH state with a DSCH transport channel assigned and when there is a 'hard' split in the TFCI field (such that TFCI1 and TFCI2 have their own separate block coding).

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| TFCI combining indicator       | MP   |       | Boolean            | TRUE means that TFCI is combined, FALSE means that TFCI is not combined or that this IE is not applicable to the added radio link. |

### 10.3.6.82 TGPSI

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description  |
|--------------------------------|------|-------|---------------------|--|
| TGPSI                          | MP   |       | Integer(1..MaxTGPS) | Transmission Gap Pattern Sequence Identifier<br>Establish a reference to the compressed mode pattern sequence. Up to <MaxTGPS> simultaneous compressed mode pattern sequences can be used. |

### 10.3.6.83 Time info

| Information Element/Group name | Need | Multi | Type and reference         | Semantics description  |
|--------------------------------|------|-------|----------------------------|--|
| Activation time                | MD   |       | Activation time 10.3.3.1   | Frame number start of the physical channel existence. Default value is "Now"         |
| Duration                       | MD   |       | Integer(1..4096, infinite) | Total number of frames the physical channel will exist. Default value is "infinite". |

### 10.3.6.84 Timeslot number

| Information Element/Group name | Need | Multi | Type and reference | Semantics description | Version |
|--------------------------------|------|-------|--------------------|-----------------------|---------|
|--------------------------------|------|-------|--------------------|-----------------------|---------|



| Information Element/Group name | Need | Multi | Type and reference | Semantics description      | Version |
|--------------------------------|------|-------|--------------------|----------------------------|---------|
| CHOICE <i>TDD option</i>       | MP   |       |                    |                            | REL-4   |
| >3.84 Mcps TDD                 |      |       |                    |                            | REL-4   |
| >>Timeslot number              | MP   |       | Integer(0..14)     | Timeslot within a frame    |         |
| >1.28 Mcps TDD                 |      |       |                    |                            | REL-4   |
| >>Timeslot number              | MP   |       | Integer(0..6)      | Timeslot within a subframe | REL-4   |

### 10.3.6.85 TPC combination index

NOTE: Only for FDD.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| TPC combination index          | MP   |       | Integer(0..5)      | Radio links with the same index have TPC bits, which for the UE are known to be the same. |

### 10.3.6.85a TSTD indicator

NOTE: Only for 1.28 Mcps TDD.

| Information Element/Group name | Need | Multi | Type and | Semantics               | Version |
|--------------------------------|------|-------|----------|-------------------------|---------|
| TSTD indicator                 | MD   |       | Boolean  | Default value is "TRUE" | REL-4   |

### 10.3.6.86 TX Diversity Mode

NOTE: Only for FDD.

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| Tx diversity Mode              | MP   |       | Enumerated (none, STTD, closed loop mode1, closed loop mode2) |                       |

## 10.3.6.87 UL interference

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description |
|--------------------------------|------|-------|---------------------|-----------------------|
| UL interference                | MP   |       | Integer (-110..-70) | In dBm                |

NOTE: In TDD, this IE is a timeslot specific value.

## 10.3.6.87a UL interference TDD

NOTE: Only for TDD.

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description |
|--------------------------------|------|-------|---------------------|-----------------------|
| TDD UL interference            | MP   |       | Integer (-110..-52) | In dBm                |

NOTE: This IE is a timeslot specific value.

## 10.3.6.88 Uplink DPCH info

| Information Element/Group name     | Need | Multi             | Type and reference                          | Semantics description   | Version |
|------------------------------------|------|-------------------|---|---|---------|
| Uplink DPCH power control info     | OP   |                   | Uplink DPCH power control info<br>10.3.6.91 |   |         |
| CHOICE <i>mode</i>                 | MP   |                   |   |   |         |
| >FDD                               |      |                   |   |   |         |
| >>Scrambling code type             | MP   |                   | Enumerated(short, long)                     |   |         |
| >>Scrambling code number           | MP   |                   | Integer(0..16777215)                        |   |         |
| >>Number of DPDCH                  | MD   |                   | Integer(1..maxDPDCH)                        | Default value is 1. Number of DPDCH is 1 in HANDOVER TO UTRAN COMMAND   |         |
| >>Spreading factor                 | MP   |                   | Integer(4, 8, 16, 32, 64, 128, 256)         | Minimum allowed SF of the channelisation code for data part   |         |
| >>TFCH existence                   | MD   |                   | Boolean                                     | TRUE means existence. Default value is "TRUE"   |         |
| >>Number of FBI bits               | OP   |                   | Integer (1, 2)                              | In bits.  |         |
| >>Puncturing Limit                 | MP   |                   | Real(0.40..1 by step of 0.04)               |   |         |
| >TDD                               |      |                   |   |   |         |
| >>Uplink Timing Advance Control    | OP   |                   | Uplink Timing Advance Control<br>10.3.6.96  |   |         |
| >>UL CCTrCH List                   | OP   | 1 to <maxCC TrCH> |   | UL physical channels to establish or reconfigure list.  |         |
| >>>TFCS ID                         | MD   |                   | Integer(1..8)                               | Default value is 1.   |         |
| >>>UL target SIR                   | MP   |                   | Real (-11..20 by step of 0.5dB)             | In dB<br>For 1.28 Mcps TDD this parameter represents PRX <sub>DPCHdes</sub> with range Integer(-120...-58 by step of 1) dBm | REL-4   |
| >>>Time info                       | MP   |                   | Time info<br>10.3.6.83                      |   |         |
| >>>Common timeslot info            | MD   |                   | Common timeslot info<br>10.3.6.10           | Default is the current Common timeslot info   |         |
| >>>Uplink DPCH timeslots and codes | MD   |                   | Uplink Timeslots and Codes<br>10.3.6.94     | Default is to use the old timeslots and codes.  |         |
| >>UL CCTrCH List to Remove         | OP   | 1..<max CCTrCH >  |   | UL physical channels to remove list   |         |
| >>>TFCS ID                         | MP   |                   | Integer(1..                                 |   |         |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description | Version |
|--------------------------------|------|-------|--------------------|-----------------------|---------|
|                                |      |       | 8)                 |                       |         |

## 10.3.6.89 Uplink DPCH info Post

| Information Element/Group name    | Need | Multi | Type and reference                            | Semantics description  |
|-----------------------------------|------|-------|---|--|
| Uplink DPCH power control info    | MP   |       | Uplink DPCH power control info Post 10.3.6.92 |  |
| CHOICE <i>mode</i>                | MP   |       |   |  |
| >FDD                              |      |       |   |  |
| >>Scrambling code type            | MP   |       | Enumerated(short, long)                       |  |
| >>Reduced scrambling code number  | MP   |       | Integer(0..8191)                              | Sub-range of values for initial use upon handover to UTRAN.                          |
| >>Spreading factor                | MP   |       | Integer(4, 8, 16, 32, 64, 128, 256)           | SF of the channelisation code for data part<br>There is only one DPDCH for this case |
| >TDD                              |      |       |   |  |
| >>Uplink Timing Advance Control   | OP   |       | Uplink Timing Advance Control 10.3.6.96       |  |
| >>Uplink DPCH timeslots and codes | MP   |       | Uplink Timeslots and Codes 10.3.6.94          |  |

## 10.3.6.90 Uplink DPCH info Pre

| Information Element/Group name | Need | Multi | Type and reference                           | Semantics description                         |
|--------------------------------|------|-------|--|---|
| Uplink DPCH power control info | OP   |       | Uplink DPCH power control info Pre 10.3.6.93 |   |
| CHOICE <i>mode</i>             | MP   |       |  |   |
| >FDD                           |      |       |  |   |
| >>TFCI existence               | MP   |       | Boolean                                      | TRUE means existence. Default value is "TRUE" |
| >>Puncturing Limit             | MP   |       | Real(0.40 ..1 by step of 0.04)               |   |
| >TDD                           |      |       |  |   |
| >>Common timeslot info         | MP   |       | Common Timeslot Info 10.3.6.10               |   |

| Condition     | Explanation   |
|---------------|---|
| <i>Single</i> | This IE is mandatory present if the IE "Number of DPDCH" is "1" and not needed otherwise. |

## 10.3.6.91 Uplink DPCH power control info

Parameters used by UE to set DPCH initial output power and to use for closed-loop power control in FDD and 1.28 Mcps TDD and parameters for uplink open loop power control in 3.84 Mcps TDD.

| Information Element/Group name              | Need            | Multi        | Type and reference                         | Semantics description   | Version |
|---|-----------------|--------------|--|---|---------|
| CHOICE <i>mode</i>                          | MP              |              |  |   |         |
| >FDD  |                 |              |  |   |         |
| >>DPCCH Power offset                        | MP              |              | Integer(-164,..-6 by step of 2)            | In dB   |         |
| >>PC Preamble                               | MP              |              | Integer (0..7)                             | In number of frames   |         |
| >>SRB delay                                 | MP              |              | Integer(0..7)                              | In number of frames   |         |
| >>Power Control Algorithm                   | MP              |              | Enumerated (algorithm 1, algorithm 2)      | Specifies algorithm to be used by UE to interpret TPC commands  |         |
| >>TPC step size                             | CV- <i>algo</i> |              | Integer (1, 2)                             | In dB   |         |
| >TDD  |                 |              |  |   |         |
| >>UL target SIR                             | OP              |              | Real (-11 .. 20 by step of 0.5dB)          | In dB<br>For 1.28 Mcps TDD this parameter represents PRX <sub>DPCHdes</sub> with range Integer(-120...-58 by step of 1) dBm | REL-4   |
| >>CHOICE <i>UL OL PC info</i>               | MP              |              |  |   |         |
| >>>Broadcast UL OL PC info                  |                 |              | Null                                       | No data   |         |
| >>>Individually Signalled                   | OP              |              |  |   |         |
| >>>>CHOICE <i>TDD option</i>                | MP              |              |  |   | REL-4   |
| >>>>>3.84 Mcps TDD                          |                 |              |  |   | REL-4   |
| >>>>>>Individual timeslot interference info | MP              | 1 to <maxTS> |  |   |         |
| >>>>>>>Individual timeslot interference     | MP              |              | Individual timeslot interference 10.3.6.38 |   |         |
| >>>>>>>DPCH Constant Value                  | OP              |              | Constant Value TDD 10.3.6.11a              | Quality Margin  |         |
| >>>>>>>1.28 Mcps TDD                        |                 |              |  |   | REL-4   |
| >>>>>>>TPC step size                        | MP              |              | Integer(1,2,3)                             |   | REL-4   |
| >>>>>>>Primary CCPCH Tx Power               | OP              |              | Primary CCPCH Tx Power 10.3.6.59           | For Pathloss Calculation  |         |

| Condition   | Explanation   |
|-------------|---|
| <i>algo</i> | The IE is mandatory present if the IE "Power Control Algorithm" is set to "algorithm 1", otherwise the IE is not needed |

## 10.3.6.92 Uplink DPCH power control info Post

Parameters used by UE to set DPCH initial output power and to use for closed-loop power control.

| Information Element/Group name | Need | Multi | Type and reference                | Semantics description  | Version |
|--------------------------------|------|-------|-----------------------------------|--|---------|
| CHOICE <i>mode</i>             | MP   |       |                                   |  |         |
| >FDD                           |      |       |                                   |  |         |
| >>DPCCH Power offset           | MP   |       | Integer(-                         | In dB  |         |
| >>PC Preamble                  | MP   |       | Integer (0..7)                    | in number of frames  |         |
| >>SRB delay                    | MP   |       | Integer (0..7)                    | In number of frames  |         |
| >TDD                           |      |       |                                   |  |         |
| >>UL target SIR                | MP   |       | Real (-11 .. 20 by step of 0.5dB) | In dB<br>For 1.28 Mcps TDD this parameter represents PRX <sub>DPCCHdes</sub> with range Integer(-120...-58 by step of 1) dBm | REL-4   |
| >>CHOICE <i>TDD option</i>     | MP   |       |                                   |  | REL-4   |
| >>>3.84 Mcps TDD               |      |       |                                   |  | REL-4   |
| >>>>UL Timeslot Interference   | MP   |       | UL Interference TDD 10.3.6.87a    |  |         |
| >>>1.28 Mcps TDD               |      |       |                                   | (no data)  | REL-4   |

| Condition   | Explanation   |
|-------------|---|
| <i>algo</i> | The IE is mandatory present if the IE "Power Control Algorithm" is set to "algorithm 1", otherwise the IE is not needed |

### 10.3.6.93 Uplink DPCH power control info Pre

Parameters used by UE to set DPCH initial output power and to use for closed-loop power control in FDD and parameters for uplink open loop power control in 3.84 Mcps TDD.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description | Version |
|--------------------------------|------|-------|--------------------|-----------------------|---------|
| CHOICE <i>mode</i>             | MP   |       |                    |                       |         |
| >FDD                           |      |       |                    |                       |         |

| Information Element/Group name | Need           | Multi | Type and reference               | Semantics description | Version |
|--------------------------------|----------------|-------|----------------------------------|-----------------------|---------|
| >>Power Control Algorithm      | MP             |       | Enumerated                       | Specifies             |         |
| >>TPC step size                | <i>CV-algo</i> |       | Integer (1, 2)                   | In dB                 |         |
| >TDD                           |                |       |                                  | (No data)             |         |
| >>CHOICE <i>TDD option</i>     | MP             |       |                                  |                       | REL-4   |
| >>>3.84 Mcps TDD               |                |       |                                  |                       | REL-4   |
| >>DPCH Constant Value          | MP             |       | Constant Value TDD<br>10.3.6.11a | Quality Margin        |         |
| >>>1.28 Mcps TDD               |                |       |                                  | (no data)             | REL-4   |

| Condition   | Explanation   |
|-------------|---|
| <i>algo</i> | The IE is mandatory present if the IE "Power Control Algorithm" is set to "algorithm 1", otherwise the IE is not needed |

### 10.3.6.94 Uplink Timeslots and Codes

NOTE: Only for TDD

| Information Element/Group name   | Need | Multi          | Type and reference  | Semantics description  |
|----------------------------------|------|----------------|---|--|
| Dynamic SF usage                 | MP   |                | Boolean   |  |
| First Individual timeslot info   | MP   |                | Individual timeslot info 10.3.6.37  | Individual timeslot info for the first timeslot used by the physical layer.  |
| First timeslot Code List         | MP   | 1..2           |   | Code list used in the timeslot. given in First individual timeslot info.   |
| >Channelisation Code             | MP   |                | Enumerated( (1/1),(2/1),(2/2),(4/1)..(4/4),(8/1)..(8/8),(16/1)..(16/16) ) |  |
| CHOICE <i>more timeslots</i>     | MP   |                |   |  |
| >No more timeslots               |      |                |   | (no data)  |
| >Consecutive timeslots           |      |                |   |  |
| >>Number of additional timeslots | MP   |                | Integer(1..maxTS-1)   | The timeslots used by the physical layer shall be timeslots:<br>N mod maxTS<br>(N+1) mod maxTS<br>...<br>(N+k) mod maxTS<br>in that order, where N is the timeslot number in the First individual timeslot info and k the Number of additional timeslots.<br>The additional timeslots shall use the same parameters (e.g. channelisation codes, midamble shifts etc.) as the first timeslot. |
| >Timeslot list                   |      |                |   |  |
| >>Additional timeslot list       | MP   | 1 to <maxTS-1> |   | The first instance of this parameter corresponds to the timeslot that shall be used second by the physical layer, the second to the timeslot that shall be used third and so on.   |
| >>>CHOICE <i>parameters</i>      | MP   |                |   |  |
| >>>>Same as last                 |      |                |   |  |
| >>>>>Timeslot number             | MP   |                | Timeslot Number 10.3.6.84   | This physical layer shall use the same parameters (e.g. channelisation codes, midamble shifts etc.) for this timeslot as for the last one.   |
| >>>>>New parameters              |      |                |   |  |
| >>>>>>Individual timeslot info   | MP   |                | Individual timeslot info 10.3.6.37  |  |
| >>>>>>Code List                  | MP   | 1..2           |   |  |
| >>>>>>>Channelisation Code       | MP   |                | Enumerated( (1/1),(2/1),(2/2),(4/1)..(4/                                  |  |



| Information Element/Group name | Need | Multi | Type and reference                | Semantics description |
|--------------------------------|------|-------|-----------------------------------|-----------------------|
|                                |      |       | 4),(8/1)..(8/8), (16/1)..(16/16)) |                       |

### 10.3.6.95 Uplink Timing Advance

NOTE: Only for 3.84 Mcps TDD.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   | Version |
|--------------------------------|------|-------|--------------------|---|---------|
| UL Timing Advance              | MP   |       | Integer (0..63)    | Absolute timing advance value to be used to avoid large delay spread at the NodeB |         |

### 10.3.6.96 Uplink Timing Advance Control

NOTE: Only for TDD

| Information Element/Group name       | Need | Multi | Type and reference              | Semantics description   | Version |
|--------------------------------------|------|-------|---------------------------------|---|---------|
| CHOICE <i>Timing Advance</i>         | MP   |       |                                 |   |         |
| >Disabled                            |      |       | Null                            | Indicates that no timing advance is applied   |         |
| >Enabled                             |      |       |                                 |   |         |
| >>CHOICE <i>TDD option</i>           | MP   |       |                                 |   | REL-4   |
| >>>3.84 Mcps TDD                     |      |       |                                 |   | REL-4   |
| >>>>UL Timing Advance                | MD   |       | Uplink Timing Advance 10.3.6.95 | Absolute timing advance value to be used to avoid large delay spread at the NodeB. Default value is the existing value for uplink timing advance.   |         |
| >>>>Activation Time                  | OP   |       | Activation Time 10.3.3.1        | Frame number timing advance is to be applied. This IE is required when a new UL Timing Advance adjustment is specified and Activation Time is not otherwise specified in the RRC message. |         |
| >>1.28 Mcps TDD                      |      |       |                                 | (no data)   | REL-4   |
| >>>Uplink synchronisation parameters | MD   |       |                                 | Default: Uplink synchronisation step size is 1. Uplink synchronisation frequency is 1.  | REL-4   |
| >>>>Uplink synchronisation step size | MP   |       | Integer(1..8)                   | This parameter specifies the step size to be used for the adjustment of the uplink  | REL-4   |

| Information Element/Group name       | Need | Multi | Type and reference               | Semantics description   | Version |
|--------------------------------------|------|-------|----------------------------------|---|---------|
|                                      |      |       |                                  | transmission timing   |         |
| >>>>Uplink synchronisation frequency | MP   |       | Integer(1..8)                    | This parameter specifies the frequency of the adjustment of the uplink transmission timing  | REL-4   |
| >>>>Synchronisation parameters       | OP   |       |                                  |   |         |
| >>>>SYNC_UL codes bitmap             | MP   |       | Bitstring(8)                     | Each bit indicates availability of a SYNC_UL code, where the SYNC_UL codes are numbered "code 0" to "code 7".<br>The value 1 of a bit indicates that the corresponding SYNC_UL code can be used.<br>The value 0 of a bit indicates that the corresponding SYNC_UL code can not be used. | REL-4   |
| >>>>FPACH info                       | MP   |       | FPACH info 10.3.6.35a            |   | REL-4   |
| >>>>PRX <sub>UpPCHdes</sub>          | MP   |       | Integer(-120...-58 by step of 1) | In dBm  | REL-4   |
| >>>>SYNC_UL procedure                | MD   |       |                                  | Default is:<br>Max SYNC_UL Transmission is 2.<br>Power Ramp Step is 2.  | REL-4   |
| >>>>>Max SYNC_UL Transmissions       | MP   |       | Integer(1,2,4,8)                 | Maximum numbers of SYNC_UL transmissions in a power ramping sequence.   | REL-4   |
| >>>>>Power Ramp Step                 | MP   |       | Integer(0,1,2,3)                 | In dB   | REL-4   |

## 10.3.7 Measurement Information elements

### 10.3.7.1 Additional measurements list

| Information Element/Group name   | Need | Multi                    | Type and reference             | Semantics description |
|----------------------------------|------|--------------------------|--------------------------------|-----------------------|
| Additional measurements          | MP   | 1 to <MaxAdditionalMeas> |                                |                       |
| >Additional measurement identity | MP   |                          | Measurement identity 10.3.7.48 |                       |

## 10.3.7.2 Cell info

Includes non-frequency related cell info used in the IE "inter-frequency cell info list" and "intra frequency cell info list".

| Information Element/Group name    | Need | Multi        | Type and reference                             | Semantics description   | Version |
|-----------------------------------|------|--------------|--|---|---------|
| Cell individual offset            | MD   |              | Real(-10..10 by step of 0.5)                   | In dB<br>Default value is 0 dB<br>Used to offset measured quantity value                    |         |
| Reference time difference to cell | OP   |              | Reference time difference to cell<br>10.3.7.60 | In chips.<br>This IE is absent for serving cell.  |         |
| Read SFN indicator                | MP   |              | Boolean  | TRUE indicates that read of SFN is requested for the target cell                            |         |
| CHOICE <i>mode</i>                | MP   |              |  |   |         |
| >FDD                              |      |              |  |   |         |
| >>Primary CPICH info              | OP   |              | Primary CPICH info<br>10.3.6.60                | This IE is absent only if measuring RSSI only (broadband measurement.)                      |         |
| >>Primary CPICH Tx power          | OP   |              | Primary CPICH Tx power<br>10.3.6.61            | Required if calculating pathloss.   |         |
| >>TX Diversity Indicator          | MP   |              | Boolean  | TRUE indicates that transmit diversity is used.   |         |
| >TDD                              |      |              |  |   |         |
| >>Primary CCPCH info              | MP   |              | Primary CCPCH info<br>10.3.6.57                |   |         |
| >>Primary CCPCH TX power          | OP   |              | Primary CCPCH TX power<br>10.3.6.59            |   |         |
| >>Timeslot list                   | OP   | 1 to <maxTS> |  | The UE shall report Timeslot ISCP values according the order of the listed Timeslot numbers |         |
| >>>CHOICE <i>TDD option</i>       | MP   |              |  |   | REL-4   |
| >>>>3.84 Mcps TDD                 |      |              |  |   | REL-4   |
| >>>>>Timeslot number              | MP   |              | Integer (0..14)                                | Timeslot numbers, for which the UE shall report Timeslot ISCP                               |         |
| >>>>>Burst Type                   | MD   |              | Enumerated (Type1, Type2)                      | Use for Timeslot ISCP measurements only. Default value is "Type1"                           |         |
| >>>>>1.28 Mcps TDD                |      |              |  |   | REL-4   |
| >>>>>>Timeslot number             | MP   |              | Integer (0..6)                                 | Timeslot numbers, for which the UE shall report Timeslot ISCP                               | REL-4   |

|                                      |                   |  |   |   |  |
|--------------------------------------|-------------------|--|---|---|--|
| Cell Selection and Re-selection Info | CV- <i>BCHopt</i> |  | Cell Selection and Re-selection for SIB11/12Info 10.3.2.4 | This IE is absent for the serving cell. |  |
|--------------------------------------|-------------------|--|---|---|--|

| Condition     | Explanation  |
|---------------|--|
| <i>BCHopt</i> | This IE is Optional when sent in SYSTEM INFORMATION, Otherwise, the IE is not needed |

### 10.3.7.3 Cell measured results

Includes non-frequency related measured results for a cell.

| Information Element/Group name   | Need | Multi        | Type and reference                        | Semantics description   |
|----------------------------------|------|--------------|---|---|
| Cell Identity                    | OP   |              | Cell Identity 10.3.2.2                    |   |
| Cell synchronisation information | OP   |              | Cell synchronisation information 10.3.7.6 |   |
| CHOICE <i>mode</i>               | MP   |              |   |   |
| >FDD                             |      |              |   |   |
| >>Primary CPICH info             | MP   |              | Primary CPICH info 10.3.6.60              |   |
| >>CPICH Ec/N0                    | OP   |              | Integer(0..49)                            | According to CPICH_Ec/No in [19] and [20]. Fourteen spare values are needed.          |
| >>CPICH RSCP                     | OP   |              | Integer(0..91)                            | According to CPICH_RSCP in [19] and [20]. Thirty-six spare values are needed.         |
| >>Pathloss                       | OP   |              | Integer(46..158)                          | In dB. Fifteen spare values are needed.   |
| >TDD                             |      |              |   |   |
| >>Cell parameters Id             | MP   |              | Cell parameters Id 10.3.6.9               |   |
| >>Proposed TGSN                  | OP   |              | Integer (0..14)                           | Proposal for the next TGSN  |
| >>Primary CCPCH RSCP             | OP   |              | Primary CCPCH RSCP info 10.3.7.54         |   |
| >>Pathloss                       | OP   |              | Integer(46..158)                          | In dB. Fifteen spare values are needed.   |
| >>Timeslot list                  | OP   | 1 to <maxTS> |   |   |
| >>>Timeslot ISCP                 | MP   |              | Timeslot ISCP Info 10.3.7.65              | The UE shall report the Timeslot ISCP in the same order as indicated in the cell info |

### 10.3.7.4 Cell measurement event results

Includes non-frequency related cell reporting quantities.

| Information Element/Group name | Need | Multi                | Type and reference           | Semantics description |
|--------------------------------|------|----------------------|------------------------------|-----------------------|
| CHOICE <i>mode</i>             | MP   |                      |                              |                       |
| >FDD                           |      |                      |                              |                       |
| >>Primary CPICH info           | MP   | 1 to <maxCellIM eas> | Primary CPICH info 10.3.6.60 |                       |
| >TDD                           |      |                      |                              |                       |
| >>Primary CCPCH info           | MP   | 1 to <maxCellIM eas> | Primary CCPCH info 10.3.6.57 |                       |

### 10.3.7.5 Cell reporting quantities

Includes non-frequency related cell reporting quantities.

For all boolean types TRUE means inclusion in the report is requested.

| Information Element/Group name                       | Need | Multi | Type and reference | Semantics description |
|--|------|-------|--------------------|-----------------------|
| Cell synchronisation information reporting indicator | MP   |       | Boolean            |                       |
| Cell Identity reporting indicator                    | MP   |       | Boolean            |                       |
| CHOICE <i>mode</i>                                   | MP   |       |                    |                       |
| >FDD   |      |       |                    |                       |
| >>CPICH Ec/N0 reporting indicator                    | MP   |       | Boolean            |                       |
| >>CPICH RSCP reporting indicator                     | MP   |       | Boolean            |                       |
| >>Pathloss reporting indicator                       | MP   |       | Boolean            |                       |
| >TDD   |      |       |                    |                       |
| >>Timeslot ISCP reporting indicator                  | MP   |       | Boolean            |                       |
| >>Proposed TGSN Reporting required                   | MP   |       | Boolean            |                       |
| >>Primary CCPCH RSCP reporting indicator             | MP   |       | Boolean            |                       |
| >>Pathloss reporting indicator                       | MP   |       | Boolean            |                       |

### 10.3.7.6 Cell synchronisation information

The IE "Cell synchronisation information" contains the OFF and Tm as defined in [7] and [8] and the four most significant bits of the difference between the 12 least significant bits of the RLC Transparent Mode COUNT-C in the UE and the SFN of the measured cell. It is notified to SRNC by Measurement Report message or Measurement Information Element in other RRC messages

| Information Element/Group name | Need | Multi | Type and reference              | Semantics description |
|--------------------------------|------|-------|---------------------------------|-----------------------|
| CHOICE <i>mode</i>             | MP   |       |                                 |                       |
| >FDD                           |      |       |                                 |                       |
| >>COUNT-C-SFN frame difference | OP   |       |                                 |                       |
| >>>COUNT-C-SFN high            | MP   |       | Integer(0..3840 by step of 256) | in frames             |
| >>>OFF                         | MP   |       | Integer(0..255)                 | in frames             |
| >>Tm                           | MP   |       | Integer(0..38399)               | in chips              |
| >TDD                           |      |       |                                 |                       |
| >>COUNT-C-SFN frame difference | OP   |       |                                 |                       |
| >>>COUNT-C-SFN high            | MP   |       | Integer(0..3840 by step of 256) | in frames             |
| >>>OFF                         | MP   |       | Integer(0..255)                 | in frames             |

### 10.3.7.7 Event results

| Information Element/Group name             | Need | Multi | Type and reference                                     | Semantics description  |
|--|------|-------|--|--|
| CHOICE <i>event result</i>                 | MP   |       |  | One spare value is needed.   |
| >Intra-frequency measurement event results |      |       | Intra-frequency measurement event results<br>10.3.7.37 |  |
| >Inter-frequency measurement event results |      |       | Inter-frequency measurement event results<br>10.3.7.17 |  |
| >Inter-RAT measurement event results       |      |       | Inter-RAT measurement event results<br>10.3.7.28       | For IS-2000 results, include fields of the <i>Pilot Strength Measurement Message</i> from subclause 2.7.2.3.2.5 of TIA/EIA/IS-2000.5 |
| >Traffic volume measurement event results  |      |       | Traffic volume measurement event results<br>10.3.7.69  |  |
| >Quality measurement event results         |      |       | Quality measurement event results<br>10.3.7.57         |  |
| >UE internal measurement event results     |      |       | UE internal measurement event results<br>10.3.7.78     |  |
| >UE positioning measurement event results  |      |       | UE positioning measurement event results               |  |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
|                                |      |       | 10.3.7.101         |                       |

| CHOICE event result                       | Condition under which the given event result is chosen |
|---|--|
| Intra-frequency measurement event results | If measurement type = intra-frequency measurement      |
| Inter-frequency measurement event results | If measurement type = inter-frequency measurement      |
| Inter-RAT measurement event results       | If measurement type = inter-RAT measurement            |
| Traffic volume measurement event results  | If measurement type = traffic volume measurement       |
| Quality measurement event results         | If measurement type = Quality measurement              |
| UE internal measurement event results     | If measurement type = UE internal measurement          |
| UE positioning measurement event results  | If measurement type = UE positioning measurement       |

### 10.3.7.8 FACH measurement occasion info

| Information Element/Group name                      | Need | Multi               | Type and reference      | Semantics description                     | Version |
|---|------|---------------------|-------------------------|---|---------|
| FACH Measurement occasion cycle length coefficient  | OP   |                     | Integer(1..12)          |   |         |
| Inter-frequency FDD measurement indicator           | MP   |                     | Boolean                 | TRUE means that measurements are required |         |
| Inter-frequency TDD 3.84 Mcps measurement indicator | MP   |                     | Boolean                 | TRUE means that measurements are required | REL-4   |
| Inter-frequency TDD 1.28 Mcps measurement indicator | MP   |                     | Boolean                 | TRUE means that measurements are required | REL-4   |
| Inter-RAT measurement indicators                    | OP   | 1 to <maxOther RAT> |                         |   |         |
| >RAT type   | MP   |                     | Enumerated(GSM, IS2000) |   |         |

### 10.3.7.9 Filter coefficient

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| Filter coefficient             | MD   |       | Integer(0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 11, 13, 15, 17, 19) | Default value is 0    |

## 10.3.7.10 HCS Cell re-selection information

| Information Element/Group name | Need                          | Multi | Type and reference                    | Semantics description                                   |
|--------------------------------|-------------------------------|-------|---------------------------------------|---|
| Penalty_time                   | MD                            |       | Integer(0, 10, 20, 30, 40, 50, 60)    | Default value is 0 which means = not used<br>In seconds |
| Temporary_offsets              | <i>CV-Penalty used</i>        |       |                                       |   |
| >Temporary_offset1             | MP                            |       | Integer(3, 6, 9, 12, 15, 18, 21, inf) | [dB]  |
| >Temporary_offset2             | <i>CV-FDD-Quality-Measure</i> |       | Integer(2, 3, 4, 6, 8, 10, 12, inf)   | [dB]  |

| Condition                  | Explanation  |
|----------------------------|--|
| <i>Penalty used</i>        | This IE is not needed if the IE "Penalty time" equals "not used", else it is mandatory present.  |
| <i>FDD-Quality-Measure</i> | This IE is not needed if the IE "Cell selection and reselection quality measure" has the value CPICH RSCP, otherwise the IE is mandatory present. This conditional presence is implemented in ASN.1 by the use of a specific RSCP and EcN0 variant of 10.3.7.10. |

## 10.3.7.11 HCS neighbouring cell information

| Information Element/Group name    | Need | Multi | Type and reference                             | Semantics description |
|-----------------------------------|------|-------|--|-----------------------|
| HCS_PRIO                          | MD   |       | Integer (0..7)                                 | Default value = 0     |
| Qhcs                              | MD   |       | Qhcs<br>10.3.7.54a                             | Default value = 0     |
| HCS Cell Re-selection Information | MP   |       | HCS Cell Re-selection Information<br>10.3.7.10 |                       |

## 10.3.7.12 HCS Serving cell information

| Information Element/Group name | Need                        | Multi | Type and Reference                               | Semantics description            |
|--------------------------------|-----------------------------|-------|--|----------------------------------|
| HCS_PRIO                       | MD                          |       | Integer (0..7)                                   | Default value = 0                |
| Qhcs                           | MD                          |       | Qhcs<br>10.3.7.54a                               | Default value = 0                |
| T <sub>CRmax</sub>             | MD                          |       | Enumerated(not used, 30, 60, 120, 180, 240)      | [s]<br>Default value is not used |
| N <sub>CR</sub>                | <i>CV-UE speed detector</i> |       | Integer(1..16)                                   | Default value = 8                |
| T <sub>CrmaxHyst</sub>         | <i>CV-UE speed detector</i> |       | Enumerated(not used, 10, 20, 30, 40, 50, 60, 70) | [s]                              |

| Condition                | Explanation  |
|--------------------------|--|
| <i>UE Speed detector</i> | This IE is not needed if T <sub>CRmax</sub> equals 'not used', else it is mandatory present. |



## 10.3.7.13 Inter-frequency cell info list

Contains the information for the list of measurement objects for an inter-frequency measurement.

| Information Element/Group name             | Need              | Multi              | Type and reference            | Semantics description  |
|--|-------------------|--------------------|-------------------------------|--|
| CHOICE <i>Inter-frequency cell removal</i> | OP                |                    |                               |  |
| >Remove all inter-frequency cells          |                   |                    |                               | No data  |
| >Remove some inter-frequency cells         |                   |                    |                               |  |
| >>Removed inter-frequency cells            | MP                | 1 .. <maxCellMeas> |                               |  |
| >>>Inter-frequency cell id                 | MP                |                    | Integer(0 .. <maxCellMeas>-1) |  |
| >No inter-frequency cells removed          |                   |                    |                               | No data  |
| New inter-frequency cells                  | OP                | 1 to <maxCellMeas> |                               |  |
| >Inter-frequency cell id                   | MD                |                    | Integer(0 .. <maxCellMeas>-1) |  |
| >Frequency info                            | MD                |                    | Frequency info 10.3.6.36      | Default value is the value of the previous "frequency info" in the list.<br>NOTE: The first occurrence is then MP. |
| >Cell info                                 | MP                |                    | Cell info 10.3.7.2            |  |
| Cells for measurement                      | CV- <i>BCHopt</i> | 1 to <maxCellMeas> |                               |  |
| >Inter-frequency cell id                   | MP                |                    | Integer(0 .. <maxCellMeas>-1) |  |

| Condition     | Explanation  |
|---------------|--|
| <i>BCHopt</i> | This IE is not needed when sent in SYSTEM INFORMATION. Otherwise, the IE is Optional |

## 10.3.7.14 Inter-frequency event identity

| Information Element/Group name | Need | Multi | Type and reference                 | Semantics description        |
|--------------------------------|------|-------|------------------------------------|------------------------------|
| Inter-frequency event identity | MP   |       | Enumerated(2a, 2b, 2c, 2d, 2e, 2f) | Two spare values are needed. |

## 10.3.7.15 Inter-frequency measured results list

| Information Element/Group name      | Need | Multi          | Type and reference       | Semantics description  |
|-------------------------------------|------|----------------|--------------------------|--|
| Inter-frequency measurement results | OP   | 1 to <maxFreq> |                          |  |
| >Frequency info                     | MD   |                | Frequency info 10.3.6.36 | Default value is the value of the previous "frequency info" in the list.<br>NOTE: The first occurrence is then MP. |

| Information Element/Group name            | Need | Multi              | Type and reference             | Semantics description   |
|---|------|--------------------|--------------------------------|---|
| >UTRA carrier RSSI                        | OP   |                    | Integer(0..76 )                | According to UTRA_carrier_RSSI_LEV in [19] and [20]. Fifty-one spare values are needed. |
| >Inter-frequency cell measurement results | OP   | 1 to <maxCellMeas> |                                | Only cells for which all reporting quantities are available should be included.         |
| >>Cell measured results                   | MP   |                    | Cell measured results 10.3.7.3 |   |

### 10.3.7.16 Inter-frequency measurement

| Information Element/Group name                  | Need         | Multi | Type and reference                                       | Semantics description   |
|---|--------------|-------|--|---|
| Inter-frequency measurement objects list        | MP           |       | Inter-frequency cell info list 10.3.7.13                 |   |
| Inter-frequency measurement quantity            | OP           |       | Inter-frequency measurement quantity 10.3.7.18           |   |
| Inter-frequency reporting quantity              | OP           |       | Inter-frequency reporting quantity 10.3.7.21             |   |
| Reporting cell status                           | CV-reporting |       | Reporting cell status 10.3.7.61                          |   |
| Measurement validity                            | OP           |       | Measurement validity 10.3.7.51                           |   |
| Inter-frequency set update                      | OP           |       | Inter-frequency set update 10.3.7.22                     |   |
| <i>CHOICE report criteria</i>                   | MP           |       |  |   |
| >Intra-frequency measurement reporting criteria |              |       | Intra-frequency measurement reporting criteria 10.3.7.39 |   |
| >Inter-frequency measurement reporting criteria |              |       | Inter-frequency measurement reporting criteria 10.3.7.19 |   |
| >Periodical reporting criteria                  |              |       | Periodical reporting criteria 10.3.7.53                  |   |
| >No reporting                                   |              |       |  | (no data)<br>Chosen when this measurement only is used as additional measurement to another measurement |

| Condition        | Explanation  |
|------------------|--|
| <i>reporting</i> | This IE is optional if the CHOICE " <i>report criteria</i> " is equal to "periodical reporting criteria" or "No reporting", otherwise the IE is not needed |

### 10.3.7.17 Inter-frequency measurement event results

This IE contains the measurement event results that are reported to UTRAN for inter-frequency measurements.

| Information Element/Group name                   | Need | Multi             | Type and reference                          | Semantics description |
|--|------|-------------------|---|-----------------------|
| Inter-frequency event identity                   | MP   |                   | Inter-frequency event identity<br>10.3.7.14 |                       |
| Inter-frequency cells                            | OP   | 1 to<br><maxFreq> |   |                       |
| >Frequency info                                  | MP   |                   | Frequency info<br>10.3.6.36                 |                       |
| >Non frequency related measurement event results | MP   |                   | Cell measurement event results<br>10.3.7.4  |                       |

### 10.3.7.18 Inter-frequency measurement quantity

The quantity the UE shall measure in case of inter-frequency measurement. It also includes the filtering of the measurements.

| Information Element/Group name                            | Need | Multi | Type and reference                                | Semantics description |
|---|------|-------|---|-----------------------|
| CHOICE <i>reporting criteria</i>                          | MP   |       |   |                       |
| >Intra-frequency reporting criteria                       |      |       |   |                       |
| >>Intra-frequency measurement quantity                    | MP   |       | Intra-frequency measurement quantity<br>10.3.7.38 |                       |
| >Inter-frequency reporting criteria                       |      |       |   |                       |
| >>Filter coefficient                                      | MP   |       | Filter coefficient<br>10.3.7.9                    |                       |
| >>>CHOICE <i>mode</i>                                     | MP   |       |   |                       |
| >>>>FDD   |      |       |   |                       |
| >>>>>Measurement quantity for frequency quality estimate  | MP   |       | Enumerated(CPICH Ec/N0, CPICH RSCP)               |                       |
| >>>>>TDD  |      |       |   |                       |
| >>>>>>Measurement quantity for frequency quality estimate | MP   |       | Enumerated(Primary CCPCH RSCP)                    |                       |

### 10.3.7.19 Inter-frequency measurement reporting criteria

The triggering of the event-triggered reporting for an inter-frequency measurements. All events concerning inter-frequency measurements are labelled 2x where x is a,b,c, ...

Event 2a: Change of best frequency.

Event 2b: The estimated quality of the currently used frequency is below a certain threshold **and** the estimated quality of a non-used frequency is above a certain threshold.

Event 2c: The estimated quality of a non-used frequency is above a certain threshold.

Event 2d: The estimated quality of the currently used frequency is below a certain threshold.

Event 2e: The estimated quality of a non-used frequency is below a certain threshold.

Event 2f: The estimated quality of the currently used frequency is above a certain threshold.

| Information Element/Group name                   | Need        | Multi                | Type and reference                          | Semantics description   |
|--|-------------|----------------------|---|---|
| Parameters required for each event               | OP          | 1 to <maxMeas Event> |   |   |
| >Inter-frequency event identity                  | MP          |                      | Inter-frequency event identity<br>10.3.7.14 |   |
| >Threshold used frequency                        | CV-clause 0 |                      | Integer(-115..0)                            | Ranges used depend on measurement quantity.<br>CPICH Ec/No -24..0dB<br>CPICH/Primary CCPCH RSCP -115..-25dBm  |
| >W used frequency                                | CV-clause 2 |                      | Real(0, 0.1..2.0 by step of 0.1)            |   |
| >Hysteresis                                      | MP          |                      | Real(0, 0.5..14.5 by step of 0.5)           | In event 2a, 2b, 2c, 2d, 2e, 2f   |
| >Time to trigger                                 | MP          |                      | Time to trigger<br>10.3.7.64                | Indicates the period of time during which the event condition has to be satisfied, before sending a Measurement Report.<br>Time in ms.  |
| >Reporting cell status                           | OP          |                      | Reporting cell status<br>10.3.7.61          |   |
| >Parameters required for each non-used frequency | OP          | 1 to <maxFreq >      |   | In this release, the first listed threshold and W parameter shall apply to all non-used frequencies.  |
| >>Threshold non used frequency                   | CV-clause 1 |                      | Integer(-115..0)                            | Ranges used depend on measurement quantity.<br>CPICH Ec/No -24..0dB<br>CPICH/Primary CCPCH RSCP -115..-25dBm.<br>This IE is not needed if the IE "Inter-frequency event identity" is set to 2a. However, it is specified to be mandatory to align with the ASN.1. |
| >>W non-used frequency                           | CV-clause 1 |                      | Real(0, 0.1..2.0 by step of 0.1)            |   |

| Condition | Explanation   |
|-----------|---|
| Clause 0  | This IE is mandatory present if the IE "Inter frequency event identity" is set to 2b, 2d, or 2f, otherwise the IE is not needed.    |
| Clause 1  | This IE is mandatory present if the IE "Inter frequency event identity" is set to 2a, 2b, 2c or 2e, otherwise the IE is not needed  |
| Clause 2  | This IE is mandatory present if the IE "Inter-frequency event identity" is set to 2a, 2b, 2d or 2f, otherwise the IE is not needed. |

### 10.3.7.20 Inter-frequency measurement system information

| Information Element/Group name | Need | Multi | Type and reference                       | Semantics description |
|--------------------------------|------|-------|--|-----------------------|
| Inter-frequency cell info list | OP   |       | Inter-frequency cell info list 10.3.7.13 |                       |

### 10.3.7.21 Inter-frequency reporting quantity

| Information Element/Group name                  | Need | Multi | Type and reference                 | Semantics description  |
|---|------|-------|------------------------------------|--|
| UTRA Carrier RSSI                               | MP   |       | Boolean                            | TRUE means report is requested.  |
| Frequency quality estimate                      | MP   |       | Boolean                            | TRUE means that report is requested. This parameter is not used in this release and should be set to FALSE. It shall be ignored by the UE. |
| Non frequency related cell reporting quantities | MP   |       | Cell reporting quantities 10.3.7.5 |  |

### 10.3.7.22 Inter-frequency SET UPDATE

NOTE 1: Only for FDD.

This information makes it possible to use events defined for Intra-frequency measurement within the same non-used frequency for Inter-frequency measurement reporting criteria.

| Information Element/group name | Need | Multi | Type and reference               | Semantics description  |
|--------------------------------|------|-------|----------------------------------|--|
| UE autonomous update mode      | MP   |       | Enumerated (On with no reportin) | Required for backward compatibility with a UE not supporting this version of the standard. |

### 10.3.7.23 Inter-RAT cell info list

Contains the information for the list of measurement objects for an inter-RAT measurement.

| Information Element/Group name       | Need | Multi | Type and reference | Semantics description | Version |
|--------------------------------------|------|-------|--------------------|-----------------------|---------|
| CHOICE <i>Inter-RAT cell removal</i> | MP   |       |                    |                       |         |
| >Remove all inter-RAT cells          |      |       |                    | No data               |         |
| >Remove some inter-RAT cells         |      |       |                    |                       |         |

| Information Element/Group name          | Need | Multi              | Type and reference   | Semantics description   | Version |
|---|------|--------------------|--|---|---------|
| >>Removed inter-RAT cells               | MP   | 1 to <maxCellMeas> |  |   |         |
| >>>Inter-RAT cell id                    | MP   |                    | Integer(0 .. <maxCellMeas> - 1)  |   |         |
| >Remove no inter-RAT cells              |      |                    |  |   |         |
| New inter-RAT cells                     | MP   | 1 to <maxCellMeas> |  | Although this IE is not always required, need is MP to align with ASN.1   |         |
|   | OP   |                    |  |   | REL-4   |
| >Inter-RAT cell id                      | OP   |                    | Integer(0 .. <maxCellMeas> - 1)  |   |         |
| >CHOICE <i>Radio Access Technology</i>  | MP   |                    |  |   |         |
| >>GSM                                   |      |                    |  |   |         |
| >>>Cell individual offset               | MP   |                    | Integer (-50..50)  | In dB<br>Used to offset measured quantity value   |         |
| >>>Cell selection and re-selection info | OP   |                    | Cell selection and re-selection info for SIB11/12<br>10.3.2.4          | See subclause 8.6.7.3   |         |
| >>>BSIC                                 | MP   |                    | BSIC<br>10.3.8.2   |   |         |
| >>>Band indicator                       | MP   |                    | Enumerated (DCS 1800 band used, PCS 1900 band used)                    | Indicates how to interpret the BCCH ARFCN   |         |
| >>>BCCH ARFCN                           | MP   |                    | Integer (0..1023)  | [45]  |         |
| >>IS-2000                               |      |                    |  |   |         |
| >>>System specific measurement info     | MP   |                    | enumerated (frequency, timeslot, colour code, output power, PN offset) | For IS-2000, use fields from TIA/EIA/IS-2000.5, subclause 3.7.3.3.2.27, <i>Candidate Frequency Neighbour List Message</i> |         |
| >>None                                  |      |                    | (no data)  | This value has been introduced to handle the case when IE "New inter-RAT cells" is not required                           |         |
| Cell for measurement                    | OP   | 1 to <maxCellMeas> |  |   |         |
| >Inter-RAT cell id                      | MP   |                    | Integer(0 .. <maxCellMeas>-1)  |   |         |

## 10.3.7.24 Inter-RAT event identity

| Information Element/Group name | Need | Multi | Type and reference          | Semantics description |
|--------------------------------|------|-------|-----------------------------|-----------------------|
| Inter-RAT event identity       | MP   |       | Enumerated (3a, 3b, 3c, 3d) |                       |

## 10.3.7.25 Inter-RAT info

Inter-RAT info defines the target system for redirected cell selection.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Inter-RAT info                 | MP   |       | Enumerated (GSM)   |                       |

## 10.3.7.26 Inter-RAT measured results list

| Information Element/Group name               | Need | Multi                      | Type and reference                             | Semantics description   |
|--|------|----------------------------|--|---|
| Inter-RAT measurement results                | OP   | 1 to <maxOther RAT-16>     |  |   |
| >CHOICE <i>system</i>                        | MP   |                            |  | One spare value is needed.  |
| >>GSM  |      |                            |  |   |
| >>>Measured GSM cells                        | MP   | 1 to <maxReportedGSMCells> |  |   |
| >>>>GSM carrier RSSI                         | OP   |                            | bit string(6)                                  | RXLEV is mapped to a value between 0 and 63, [46]. When mapping the RXLEV value to the RSSI bit string, the first/leftmost bit of the bit string contains the most significant bit. |
| >>>>CHOICE <i>BSIC</i>                       | MP   |                            |  |   |
| >>>>>Verified BSIC                           |      |                            |  |   |
| >>>>>>inter-RAT cell id                      | MP   |                            | Integer(0..<maxCellMeasurements>-1)            |   |
| >>>>>>Non verified BSIC                      |      |                            |  |   |
| >>>>>>>BCCH ARFCN                            | MP   |                            | Integer (0..1023)                              | [45]  |
| >>>>>>>>Observed time difference to GSM cell | OP   |                            | Observed time difference to GSM cell 10.3.7.52 |   |

## 10.3.7.27 Inter-RAT measurement

| Information Element/Group name            | Need         | Multi | Type and reference                                 | Semantics description   |
|---|--------------|-------|--|---|
| Inter-RAT measurement objects list        | OP           |       | Inter-RAT cell info list 10.3.7.23                 |   |
| Inter-RAT measurement quantity            | OP           |       | Inter-RAT measurement quantity 10.3.7.29           |   |
| Inter-RAT reporting quantity              | OP           |       | Inter-RAT reporting quantity 10.3.7.32             |   |
| Reporting cell status                     | CV-reporting |       | Reporting cell status 10.3.7.61                    |   |
| CHOICE <i>report criteria</i>             | MP           |       |  |   |
| >Inter-RAT measurement reporting criteria |              |       | Inter-RAT measurement reporting criteria 10.3.7.30 |   |
| >Periodical reporting criteria            |              |       | Periodical reporting criteria 10.3.7.53            |   |
| >No reporting                             |              |       |  | (no data)<br>Chosen when this measurement only is used as additional measurement to another measurement |

| Condition        | Explanation  |
|------------------|--|
| <i>reporting</i> | This IE is optional if the CHOICE " <i>report criteria</i> " is equal to "periodical reporting criteria" or "No reporting", otherwise the IE is not needed |

## 10.3.7.28 Inter-RAT measurement event results

This IE contains the measurement event results that are reported to UTRAN for inter-RAT measurements.

| Information Element/Group name | Need | Multi              | Type and reference                 | Semantics description |
|--------------------------------|------|--------------------|------------------------------------|-----------------------|
| Inter-RAT event identity       | MP   |                    | Inter-RAT event identity 10.3.7.24 |                       |
| Cells to report                | MP   | 1 to <maxCellMeas> |                                    |                       |
| >CHOICE <i>BSIC</i>            | MP   |                    |                                    |                       |
| >>Verified <i>BSIC</i>         |      |                    |                                    |                       |
| >>>inter-RAT cell id           | MP   |                    | Integer(0..<maxCellMeas>-1)        |                       |
| >>Non verified <i>BSIC</i>     |      |                    |                                    |                       |
| >>>BCCH ARFCN                  | MP   |                    | Integer (0..1023)                  | [45]                  |



### 10.3.7.29 Inter-RAT measurement quantity

The quantity the UE shall measure in case of inter-RAT measurement. It also includes the filtering of the measurements.

| Information Element/Group name                  | Need | Multi | Type and reference                             | Semantics description   |
|---|------|-------|--|---|
| Measurement quantity for UTRAN quality estimate | OP   |       | Intra-frequency measurement quantity 10.3.7.38 |   |
| CHOICE <i>system</i>                            | MP   |       |  |   |
| >GSM  |      |       |  |   |
| >>Measurement quantity                          | MP   |       | Enumerated(GSM Carrier RSSI)                   |   |
| >>Filter coefficient                            | MP   |       | Filter coefficient 10.3.7.9                    |   |
| >>BSIC verification required                    | MP   |       | Enumerated(required, not required)             |   |
| >IS2000   |      |       |  |   |
| >>TADD $E_c/I_0$                                | MP   |       | Integer(0..63)                                 | Admission criteria for neighbours, see subclause 2.6.6.2.6 of TIA/EIA/IS-2000.5                 |
| >>TCOMP $E_c/I_0$                               | MP   |       | Integer(0..15)                                 | Admission criteria for neighbours, see subclause 2.6.6.2.5.2 of TIA/EIA/IS-2000.5               |
| >>SOFT SLOPE                                    | OP   |       | Integer(0..63)                                 | Admission criteria for neighbours, see subclause 2.6.6.2.3 and 2.6.6.2.5.2 of TIA/EIA/IS-2000.5 |
| >>ADD_INTERCEPT                                 | OP   |       | Integer(0..63)                                 | Admission criteria for neighbours, see subclause 2.6.6.2.5.2 of TIA/EIA/IS-2000.5               |

The IE "BSIC verification required" must be set to "required" if IE "Observed time difference to GSM cell Reporting indicator" in IE "Inter-RAT reporting quantity" is set to "true".

### 10.3.7.30 Inter-RAT measurement reporting criteria

The triggering of the event-triggered reporting for an inter-RAT measurement. All events concerning inter-RAT measurements are labelled 3x where x is a,b,c, ...

Event 3a: The estimated quality of the currently used UTRAN frequency is below a certain threshold **and** the estimated quality of the other system is above a certain threshold.

Event 3b: The estimated quality of other system is below a certain threshold.

Event 3c: The estimated quality of other system is above a certain threshold.

Event 3d: Change of best cell in other system.

| Information Element/Group name     | Need        | Multi                | Type and reference                    | Semantics description   |
|------------------------------------|-------------|----------------------|---------------------------------------|---|
| Parameters required for each event | OP          | 1 to <maxMeas Event> |                                       |   |
| >Inter-RAT event identity          | MP          |                      | Inter-RAT event identity<br>10.3.7.24 |   |
| >Threshold own system              | CV-clause 0 |                      | Integer (-115..0)                     |   |
| >W                                 | CV-clause 0 |                      | Real(0, 0.1..2.0 by step of 0.1)      | In event 3a   |
| >Threshold other system            | CV-clause 1 |                      | Integer (-115..0)                     | In event 3a, 3b, 3c   |
| >Hysteresis                        | MP          |                      | Real(0..7.5 by step of 0.5)           |   |
| >Time to trigger                   | MP          |                      | Time to trigger<br>10.3.7.64          | Indicates the period of time during which the event condition has to be satisfied, before sending a Measurement Report. |
| >Reporting cell status             | OP          |                      | Reporting cell status<br>10.3.7.61    |   |

| Condition | Explanation   |
|-----------|---|
| Clause 0  | The IE is mandatory present if the IE "Inter-RAT event identity" is set to "3a", otherwise the IE is not needed         |
| Clause 1  | The IE is mandatory present if the IE "Inter-RAT event identity" is set to 3a, 3b or 3c, otherwise the IE is not needed |

### 10.3.7.31 Inter-RAT measurement system information

| Information Element/Group name | Need | Multi | Type and reference                    | Semantics description |
|--------------------------------|------|-------|---------------------------------------|-----------------------|
| Inter-RAT cell info list       | OP   |       | Inter-RAT cell info list<br>10.3.7.23 |                       |

### 10.3.7.32 Inter-RAT reporting quantity

For all boolean types TRUE means inclusion in the report is requested.

| Information Element/Group name                             | Need | Multi | Type and reference | Semantics description  |
|--|------|-------|--------------------|--|
| UTRAN estimated quality                                    | MP   |       | Boolean            | This parameter is not used in this release and should be set to FALSE. |
| CHOICE <i>system</i>                                       | MP   |       |                    |  |
| >GSM   |      |       |                    |  |
| >>Observed time difference to GSM cell Reporting indicator | MP   |       | Boolean            |  |
| >>GSM Carrier RSSI Reporting indicator                     | MP   |       | Boolean            |  |

## 10.3.7.33 Intra-frequency cell info list

Contains the information for the list of measurement objects for an intra-frequency measurement.

| Information Element/Group name             | Need              | Multi              | Type and reference              | Semantics description   |
|--|-------------------|--------------------|---------------------------------|---|
| CHOICE <i>Intra-frequency cell removal</i> | OP                |                    |                                 | Absence of this IE is equivalent to choice "Remove no intra-frequency cells".   |
| >Remove all intra-frequency cells          |                   |                    |                                 | No data   |
| >Remove some intra-frequency cells         |                   |                    |                                 |   |
| >>Removed intra-frequency cells            | MP                | 1 to <maxCellMeas> |                                 |   |
| >>>Intra-frequency cell id                 | MP                |                    | Integer(0 .. <maxCellMeas> - 1) |   |
| >Remove no intra-frequency cells           |                   |                    |                                 |   |
| New intra-frequency cells                  | OP                | 1 to <maxCellMeas> |                                 | This information element must be present when "Intra-frequency cell info list" is included in the system information                        |
| >Intra-frequency cell id                   | OP                |                    | Integer(0 .. <maxCellMeas> - 1) |   |
| >Cell info                                 | MP                |                    | Cell info 10.3.7.2              | This IE must be included for the serving cell when the IE "Intra frequency cell info list" is included in System Information Block type 11. |
| Cells for measurement                      | CV- <i>BCHopt</i> | 1 to <maxCellMeas> |                                 |   |
| >Intra-frequency cell id                   | MP                |                    | Integer(0 .. <maxCellMeas>-1)   |   |

| Condition     | Explanation  |
|---------------|--|
| <i>BCHopt</i> | This IE is not needed when sent in SYSTEM INFORMATION. Otherwise, the IE is Optional |

## 10.3.7.34 Intra-frequency event identity

| Information Element/Group name | Need | Multi | Type and reference                      | Semantics description          |
|--------------------------------|------|-------|---|--------------------------------|
| Intra-frequency event identity | MP   |       | Enumerated (1a,1b,1c,1d,1e,1f,1g,1h,1i) | Seven spare values are needed. |

## 10.3.7.35 Intra-frequency measured results list

| Information Element/Group name      | Need | Multi              | Type and reference             | Semantics description   |
|-------------------------------------|------|--------------------|--------------------------------|---|
| Intra-frequency measurement results | OP   | 1 to <maxCellMeas> |                                |   |
| >Cell measured results              | MP   |                    | Cell measured results 10.3.7.3 | Only cells for which all reporting quantities are available should be included. |

## 10.3.7.36 Intra-frequency measurement

| Information Element/Group name                  | Need         | Multi | Type and reference                                       | Semantics description   |
|---|--------------|-------|--|---|
| Intra-frequency measurement objects list        | OP           |       | Intra-frequency cell info list 10.3.7.33                 |   |
| Intra-frequency measurement quantity            | OP           |       | Intra-frequency measurement quantity 10.3.7.38           |   |
| Intra-frequency reporting quantity              | OP           |       | Intra-frequency reporting quantity 10.3.7.41             |   |
| Reporting cell status                           | CV-reporting |       | Reporting cell status 10.3.7.61                          |   |
| Measurement validity                            | OP           |       | Measurement validity 10.3.7.51                           |   |
| CHOICE <i>report criteria</i>                   | OP           |       |  |   |
| >Intra-frequency measurement reporting criteria |              |       | Intra-frequency measurement reporting criteria 10.3.7.39 |   |
| >Periodical reporting criteria                  |              |       | Periodical reporting criteria 10.3.7.53                  |   |
| >No reporting                                   |              |       |  | (no data)<br>Chosen when this measurement only is used as additional measurement to another measurement |

| Condition        | Explanation  |
|------------------|--|
| <i>reporting</i> | This IE is optional if the CHOICE " <i>report criteria</i> " is equal to "periodical reporting criteria" or "No reporting", otherwise the IE is not needed |

## 10.3.7.37 Intra-frequency measurement event results

This IE contains the measurement event results that are reported to UTRAN for intra-frequency measurements.

| Information Element/Group name | Need | Multi | Type and reference                          | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| Intra-frequency event identity | MP   |       | Intra-frequency event identity<br>10.3.7.34 |                       |
| Cell measurement event results | MP   |       | Cell measurement event results<br>10.3.7.4  |                       |

### 10.3.7.38 Intra-frequency measurement quantity

The quantity the UE shall measure in case of intra-frequency measurement. It also includes the filtering of the measurements.

| Information Element/Group name | Need | Multi  | Type and reference   | Semantics description |
|--------------------------------|------|--------|--|-----------------------|
| Filter coefficient             | MP   |        | Filter coefficient<br>10.3.7.9   |                       |
| <i>CHOICE mode</i>             | MP   |        |  |                       |
| >FDD                           |      |        |  |                       |
| >>Measurement quantity         | MP   |        | Enumerated(<br>CPICH<br>Ec/N0,<br>CPICH<br>RSCP,<br>Pathloss)              |                       |
| >TDD                           |      |        |  |                       |
| >>Measurement quantity list    | MP   | 1 to 4 |  |                       |
| >>>Measurement quantity        | MP   |        | Enumerated(<br>Primary<br>CCPCH<br>RSCP,<br>Pathloss,<br>Timeslot<br>ISCP) |                       |

### 10.3.7.39 Intra-frequency measurement reporting criteria

The triggering of the event-triggered reporting for an intra-frequency measurement. All events concerning intra-frequency measurements are labelled 1x where x is a, b, c....

Event 1a: A Primary CPICH enters the Reporting Range (FDD only).

Event 1b: A Primary CPICH leaves the Reporting Range (FDD only).

Event 1c: A Non-active Primary CPICH becomes better than an active Primary CPICH (FDD only).

Event 1d: Change of best cell (FDD only).

Event 1e: A Primary CPICH becomes better than an absolute threshold (FDD only).

Event 1f: A Primary CPICH becomes worse than an absolute threshold (FDD only).

Event 1g: Change of best cell in TDD.

Event 1h: Timeslot ISCP below a certain threshold (TDD only).

Event 1i: Timeslot ISCP above a certain threshold (TDD only).

| Information Element/Group name             | Need        | Multi                | Type and reference  | Semantics description   |
|--|-------------|----------------------|---|---|
| Parameters required for each event         | OP          | 1 to <maxMeas Event> |   |   |
| >Intra-frequency event identity            | MP          |                      | Intra-frequency event identity 10.3.7.34  |   |
| >Triggering condition 1                    | CV-clause 0 |                      | Enumerated(Active set cells, Monitored set cells, Active set cells and monitored set cells)   | Indicates which cells can trigger the event   |
| >Triggering condition 2                    | CV-clause 6 |                      | Enumerated(Active set cells, Monitored set cells, Active set cells and monitored set cells, Detected set cells, Detected set cells and monitored set cells) | Indicates which cells can trigger the event   |
| >Reporting Range Constant                  | CV-clause 2 |                      | Real(0..14.5 by step of 0.5)  | In dB. In event 1a,1b.  |
| >Cells forbidden to affect Reporting range | CV-clause 1 | 1 to <maxCellMeas>   |   | In event 1a,1b  |
| >>CHOICE mode                              | MP          |                      |   |   |
| >>>FDD                                     |             |                      |   |   |
| >>>>Primary CPICH info                     | MP          |                      | Primary CPICH info 10.3.6.60  |   |
| >>>TDD                                     |             |                      |   |   |
| >>>>Primary CCPCH info                     | MP          |                      | Primary CCPCH info 10.3.6.57  |   |
| >W   | CV-clause 2 |                      | Real(0.0..2.0 by step of 0.1)   |   |
| >Hysteresis                                | MP          |                      | Real(0..7.5 by step of 0.5)   | In dB.  |
| >Threshold used frequency                  | CV-clause 3 |                      | Integer (-115..165)   | Range used depend on measurement quantity.<br>CPICH RSCP -115..-25 dBm<br>CPICH Ec/No -24..0 dB<br>Pathloss 30..165dB<br>ISCP -115..-25 dBm |
| >Reporting deactivation threshold          | CV-clause 4 |                      | Integer(0, 1, 2, 3, 4, 5, 6, 7)   | In event 1a<br>Indicates the maximum number of cells allowed in the active set in order for event 1a to occur.<br>0 means not applicable    |

| Information Element/Group name    | Need        | Multi | Type and reference                                  | Semantics description  |
|-----------------------------------|-------------|-------|---|--|
| >Replacement activation threshold | CV-clause 5 |       | Integer(0, 1, 2, 3, 4, 5, 6, 7)                     | In event 1c<br>Indicates the minimum number of cells allowed in the active set in order for event 1c to occur.<br>0 means not applicable   |
| >Time to trigger                  | MP          |       | Time to trigger<br>10.3.7.64                        | Indicates the period of time during which the event condition has to be satisfied, before sending a Measurement Report. Time in ms   |
| >Amount of reporting              | CV-clause 7 |       | Integer(1, 2, 4, 8, 16, 32, 64, Infinity)           | In case the IE "Intra-frequency reporting criteria" is included in the IE "Inter-frequency measurement", this IE is not needed.  |
| >Reporting interval               | CV-clause 7 |       | Integer(0, 250, 500, 1000, 2000, 4000, 8000, 16000) | Indicates the interval of periodical reporting when such reporting is triggered by an event. Interval in milliseconds.<br>0 means no periodical reporting. In case the IE "Intra-frequency reporting criteria" is included in the IE "Inter-frequency measurement", this IE is not needed. |
| >Reporting cell status            | OP          |       | Reporting cell status<br>10.3.7.61                  |  |

| Condition | Explanation  |
|-----------|--|
| Clause 0  | The IE is mandatory present if the IE "Intra-frequency event identity" is set to "1b" or "1f", otherwise the IE is not needed.               |
| Clause 1  | The IE is optional if the IE "Intra-frequency event identity" is set to "1a" or "1b", otherwise the IE is not needed.                        |
| Clause 2  | The IE is mandatory present if the IE "Intra-frequency event identity" is set to "1a" or "1b", otherwise the IE is not needed.               |
| Clause 3  | The IE is mandatory present if the IE "Intra-frequency event identity" is set to , "1e", "1f", "1h" or "1i", otherwise the IE is not needed. |
| Clause 4  | The IE is mandatory present if the IE "Intra-frequency event identity" is set to "1a", otherwise the IE is not needed.                       |
| Clause 5  | The IE is mandatory present if the IE "Intra-frequency event identity" is set to "1c", otherwise the IE is not needed.                       |
| Clause 6  | The IE is mandatory present if the IE "Intra-frequency event identity" is set to "1a" or "1e", otherwise the IE is not needed.               |
| Clause 7  | The IE is mandatory present if the IE "Intra-frequency event identity" is set to "1a" or "1c", otherwise the IE is not needed.               |

#### 10.3.7.40 Intra-frequency measurement system information

| Information Element/Group name       | Need | Multi | Type and reference   | Semantics description                        |
|--------------------------------------|------|-------|----------------------|--|
| Intra-frequency measurement identity | MD   |       | Measurement identity | The intra-frequency measurement identity has |

| Information Element/Group name                        | Need | Multi | Type and reference   | Semantics description |
|---|------|-------|--|-----------------------|
|   |      |       | 10.3.7.48  | default value 1.      |
| Intra-frequency cell info list                        | OP   |       | Intra-frequency cell info list<br>10.3.7.33                        |                       |
| Intra-frequency measurement quantity                  | OP   |       | Intra-frequency measurement quantity<br>10.3.7.38                  |                       |
| Intra-frequency reporting quantity for RACH Reporting | OP   |       | Intra-frequency reporting quantity for RACH Reporting<br>10.3.7.42 |                       |
| Maximum number of reported cells on RACH              | OP   |       | Maximum number of reported cells on RACH<br>10.3.7.43              |                       |
| Reporting information for state CELL_DCH              | OP   |       | Reporting information for state CELL_DCH<br>10.3.7.62              | Note 1                |

NOTE 1: The reporting of intra-frequency measurements is activated when state CELL\_DCH is entered.

### 10.3.7.41 Intra-frequency reporting quantity

Contains the reporting quantity information for an intra-frequency measurement.

| Information Element/Group name               | Need | Multi | Type and reference                    | Semantics description |
|--|------|-------|---------------------------------------|-----------------------|
| Reporting quantities for active set cells    | MP   |       | Cell reporting quantities<br>10.3.7.5 |                       |
| Reporting quantities for monitored set cells | MP   |       | Cell reporting quantities<br>10.3.7.5 |                       |
| Reporting quantities for detected set cells  | OP   |       | Cell reporting quantities<br>10.3.7.5 |                       |

### 10.3.7.42 Intra-frequency reporting quantity for RACH reporting

Contains the reporting quantity information for an intra-frequency measurement report, which is sent on the RACH.

| Information Element/Group name                       | Need | Multi | Type and reference                    | Semantics description |
|--|------|-------|---------------------------------------|-----------------------|
| SFN-SFN observed time difference reporting indicator | MP   |       | Enumerated(No report, type 1, type 2) |                       |
| CHOICE <i>mode</i><br>>FDD                           | MP   |       |                                       |                       |



| Information Element/Group name | Need | Multi  | Type and reference                                       | Semantics description |
|--------------------------------|------|--------|--|-----------------------|
| >>Reporting quantity           | MP   |        | Enumerated(CPICH Ec/NO, CPICH RSCP, Pathloss, No report) |                       |
| >TDD                           |      |        |  |                       |
| >>Reporting quantity list      | MP   | 1 to 2 |  |                       |
| >>>Reporting quantity          | MP   |        | Enumerated(Timeslot ISCP, Primary CCPCH RSCP, No report) |                       |

#### 10.3.7.43 Maximum number of reported cells on RACH

| Information Element/Group name   | Need | Multi | Type and reference   | Semantics description |
|----------------------------------|------|-------|--|-----------------------|
| Maximum number of reported cells | MP   |       | Enumerated (no report, current cell, current cell + best neighbour, current cell+2 best neighbours, ..., current cell+6 best neighbours) |                       |

#### 10.3.7.44 Measured results

Contains the measured results of the quantity indicated optionally by Reporting Quantity in Measurement Control. "Measured results" can be used for both event trigger mode and periodical reporting mode. For intra-frequency and inter-frequency measurements the list shall be in the order of the value of the measurement quantity (the first cell shall be the best cell). The "best" FDD cell has the largest value when the measurement quantity is "Ec/No" or "RSCP". On the other hand, the "best" cell has the smallest value when the measurement quantity is "Pathloss". The "best" TDD cell has the largest value when measurement quantity is "Primary CCPCH RSCP". For intra-frequency measurements, the ordering shall be applied to all cells included in the IE "Measured results". For inter-frequency measurements, the ordering shall be applied to all cells on the same frequency included in the IE "Measured results". For other measurements, the order of reported measurement objects is not specified.

| Information Element/Group name         | Need | Multi | Type and reference                              | Semantics description      |
|--|------|-------|---|----------------------------|
| CHOICE <i>Measurement</i>              | MP   |       |   | One spare value is needed. |
| >Intra-frequency measured results list |      |       | Intra-frequency measured results list 10.3.7.35 |                            |
| >Inter-frequency measured results list |      |       | Inter-frequency measured results list 10.3.7.15 |                            |
| >Inter-RAT measured results list       |      |       | Inter-RAT measured                              |                            |

| Information Element/Group name        | Need | Multi | Type and reference                                | Semantics description |
|---------------------------------------|------|-------|---|-----------------------|
|                                       |      |       | results list<br>10.3.7.26                         |                       |
| >Traffic volume measured results list |      |       | Traffic volume measured results list<br>10.3.7.67 |                       |
| >Quality measured results list        |      |       | Quality measured results list<br>10.3.7.55        |                       |
| >UE Internal measured results         |      |       | UE Internal measured results<br>10.3.7.76         |                       |
| >UE positioning measured results      |      |       | UE positioning measured results<br>10.3.7.99      |                       |

### 10.3.7.45 Measured results on RACH

Contains the measured results on RACH of the quantity indicated by Reporting quantity in the IE "Intra-frequency reporting quantity for RACH Reporting" in system information broadcast on BCH. The list, measurement results for monitored cells (not including the current cell), should be in the order of the value of the measurement quantity as indicated by Reporting Quantity in the IE "Intra-frequency reporting quantity for RACH Reporting" (the first cell should be the best cell). The "best" FDD cell has the largest value when the measurement quantity is "Ec/No" or "RSCP". On the other hand, the "best" cell has the smallest value when the measurement quantity is "Pathloss". The "best" TDD cell has the largest value when measurement quantity is "Primary CCPCH RSCP".

| Information Element/group name       | Need | Multi   | Type and reference              | Semantics description  | Version |
|--------------------------------------|------|---------|---------------------------------|--|---------|
| Measurement result for current cell  |      |         |                                 |  |         |
| CHOICE <i>mode</i>                   | MP   |         |                                 |  |         |
| >FDD                                 |      |         |                                 |  |         |
| >>CHOICE <i>measurement quantity</i> | MP   |         |                                 | One spare value is needed.   |         |
| >>>CPICH Ec/No                       |      |         | Integer(0..49)                  | In dB. According to CPICH_Ec/No in [19]. Fourteen spare values are needed.       |         |
| >>>CPICH RSCP                        |      |         | Integer(0..91)                  | In dBm. According to CPICH_RSCP_LEV in [19]. Thirty-six spare values are needed. |         |
| >>>Pathloss                          |      |         | Integer(46..158)                | In dB. Fifteen spare values are needed.  |         |
| >TDD                                 |      |         |                                 |  |         |
| >>CHOICE <i>TDD option</i>           | MP   |         |                                 |  | REL-4   |
| >>>3.84 Mcps TDD                     |      |         |                                 |  | REL-4   |
| >>>>Timeslot List                    | OP   | 1 to 14 |                                 |  |         |
| >>>>>Timeslot ISCP                   | MP   |         | Timeslot ISCP info<br>10.3.7.65 | The UE shall report the Timeslot ISCP in   |         |

| Information Element/group name          | Need | Multi  | Type and reference                         | Semantics description   | Version |
|---|------|--------|--|---|---------|
|   |      |        |  | the same order as indicated in the cell info  |         |
| >>>1.28 Mcps TDD                        |      |        |  |   | REL-4   |
| >>>>Timeslot List                       | OP   | 1 to 6 |  |   | REL-4   |
| >>>>>Timeslot ISCP                      | MP   |        | Timeslot ISCP info 10.3.7.65               | The UE shall report the Timeslot ISCP in the same order as indicated in the cell info | REL-4   |
| >>Primary CCPCH RSCP                    | OP   |        | Primary CCPCH RSCP info 10.3.7.54          |   |         |
| Measurement results for monitored cells | OP   | 1 to 8 |  |   |         |
| >SFN-SFN observed time difference       | OP   |        | SFN-SFN observed time difference 10.3.7.63 |   |         |
| >CHOICE <i>mode</i>                     | MP   |        |  |   |         |
| >>FDD                                   |      |        |  |   |         |
| >>>Primary CPICH info                   | MP   |        | Primary CPICH info 10.3.6.60               |   |         |
| >>>CHOICE <i>measurement quantity</i>   | OP   |        |  | One spare value is needed.  |         |
| >>>>CPICH Ec/N0                         |      |        | Integer(0..49)                             | In dB. According to CPICH_Ec/No in [19]. Fourteen spare values are needed.            |         |
| >>>>CPICH RSCP                          |      |        | Integer(0..91)                             | In dBm. According to CPICH_RSCP_LEV in [19]. Thirty-six spare values are needed.      |         |
| >>>>Pathloss                            |      |        | Integer(46..158)                           | In dB. Fifteen spare values are needed.   |         |
| >>TDD                                   |      |        |  |   |         |
| >>>Cell parameters Id                   | MP   |        | Cell parameters Id 10.3.6.9                |   |         |
| >>>Primary CCPCH RSCP                   | MP   |        | Primary CCPCH RSCP info 10.3.7.54          |   |         |

NOTE: Monitored cells consist of neighbouring cells.

## 10.3.7.46 Measurement Command

| Information Element/Group name | Need | Multi | Type and reference                 | Semantics description |
|--------------------------------|------|-------|------------------------------------|-----------------------|
| Measurement command            | MP   |       | Enumerated(Setup, Modify, Release) |                       |

## 10.3.7.47 Measurement control system information

| Information element/Group name                 | Need | Multi | Type and reference                                       | Semantics description  |
|--|------|-------|--|--|
| Use of HCS                                     | MP   |       | Enumerated (Not used, used)                              | Indicates if the serving cell belongs to a HCS structure   |
| Cell selection and reselection quality measure | MP   |       | Enumerated (CPICH Ec/N0, CPICH RSCP)                     | Choice of measurement (CPICH Ec/N0 or CPICH RSCP) to use as quality measure Q.<br>NOTE: For TDD, each choice of this parameter represents PCCPCH RSCP. |
| Intra-frequency measurement system information | OP   |       | Intra-frequency measurement system information 10.3.7.40 |  |
| Inter-frequency measurement system information | OP   |       | Inter-frequency measurement system information 10.3.7.20 |  |
| Inter-RAT measurement system information       | OP   |       | Inter-RAT measurement system information 10.3.7.31       |  |
| Traffic volume measurement system information  | OP   |       | Traffic volume measurement system information 10.3.7.73  |  |

## 10.3.7.48 Measurement Identity

A reference number that is used by the UTRAN at modification and release of the measurement, and by the UE in the measurement report.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Measurement identity           | MP   |       | Integer(1..16)     |                       |

## 10.3.7.49 Measurement reporting mode

Contains the type of Measurement Report transfer mode and the indication of periodical/event trigger.

| Information Element/Group name                      | Need | Multi | Type and reference  | Semantics description |
|---|------|-------|---|-----------------------|
| Measurement Report Transfer Mode                    | MP   |       | enumerated (Acknowledged mode RLC, Unacknowledged mode RLC) |                       |
| Periodical Reporting / Event Trigger Reporting Mode | MP   |       | Enumerated (Periodical reporting, Event trigger)            |                       |

## 10.3.7.50 Measurement Type

| Information Element/Group name | Need | Multi | Type and reference   | Semantics description |
|--------------------------------|------|-------|--|-----------------------|
| Measurement Type               | MP   |       | Enumerated (Intra-frequency, Inter-frequency, Inter-RAT, Traffic volume, Quality, UE internal, UE positioning) |                       |

## 10.3.7.51 Measurement validity

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| UE state                       | MP   |       | Enumerated (CELL_DCH, all states except CELL_DCH, all states) |                       |

## 10.3.7.52 Observed time difference to GSM cell

| Information Element/Group name       | Need | Multi | Type and reference | Semantics description                  |
|--------------------------------------|------|-------|--------------------|--|
| Observed time difference to GSM cell | OP   |       | Integer(0,4095)    | According to GSM_TIME in [19] and [20] |

### 10.3.7.53 Periodical reporting criteria

Contains the periodical reporting criteria information. It is necessary only in the periodical reporting mode.

| Information Element/Group name | Need | Multi | Type and reference   | Semantics description  |
|--------------------------------|------|-------|--|--|
| Amount of reporting            | MD   |       | Integer(1, 2, 4, 8, 16, 32, 64, Infinity)  | The default value is infinity.   |
| Reporting interval             | MP   |       | Integer(250, 500, 1000, 2000, 3000, 4000, 6000, 8000, 12000, 16000, 20000, 24000, 28000, 32000, 64000) | Indicates the interval of periodical report.<br>Interval in milliseconds |

### 10.3.7.53a PLMN identities of neighbour cells

This IE contains the PLMN identities of neighbour cells.

| Information Element/Group name      | Need | Multi              | Type and reference | Semantics description |
|-------------------------------------|------|--------------------|--------------------|-----------------------|
| PLMNs of intra-frequency cells list | OP   | 1 to <maxCellMeas> |                    |                       |

| Information Element/Group name      | Need | Multi               | Type and | Semantics description  |
|-------------------------------------|------|---------------------|----------|--|
| >PLMN identity                      | MD   |                     | PLMN     | Default value is the previous "PLMN identity" in the list.<br><br>The default value for the first PLMN in the list is the identity of the selected PLMN if the "PLMN type" in the variable SELECTED_PLMN has the value "GSM-MAP"; otherwise, the first occurrence is MP. |
| PLMNs of inter-frequency cells list | OP   | 1 to <maxCellIMeas> |          |  |

| Information Element/Group name | Need | Multi               | Type and | Semantics description   |
|--------------------------------|------|---------------------|----------|---|
| >PLMN identity                 | MD   |                     | PLMN     | <p>Default value is the previous "PLMN identity" in the list.</p> <p>The default value for the first PLMN in the list is the identity of the selected PLMN if the "PLMN type" in the variable SELECTED_PLMN has the value "GSM-MAP"; otherwise, the first occurrence is MP.</p> |
| PLMNs of inter-RAT cells list  | OP   | 1 to <maxCellM eas> |          |   |



| Information Element/Group name | Need | Multi | Type and | Semantics description   |
|--------------------------------|------|-------|----------|---|
| >PLMN identity                 | MD   |       | PLMN     | <p>Default value is the previous "PLMN identity" in the list.</p> <p>The default value for the first PLMN in the list is the identity of the selected PLMN if the "PLMN type" in the variable SELECTED_PLMN has the value "GSM-MAP"; otherwise, the first occurrence is MP.</p> |

10.3.7.54 Primary CCPCH RSCP info

NOTE: Only for TDD

| Information Element/Group name | Need | Multi | IE type and reference | Semantics description   |
|--------------------------------|------|-------|-----------------------|---|
| Primary CCPCH RSCP             | MP   |       | Integer(0..91)        | According to P-CCPCH_RSCP_LEV in [19] and [20]. Thirty-six spare values are needed. |

10.3.7.54a Qhcs

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| Qhcs                           | MP   |       | Integer(0..99)     | Qhcs, mapped from CPICH Ec/No (FDD), see [4] [dB]<br>0: -24<br>1: -23.5<br>2: -23<br>3: -22.5<br>...<br>45: -1.5<br>46: -1<br>47: -0.5<br>48: 0<br>49: (spare)<br>...<br>98: (spare)<br>99: (spare) |
|                                |      |       |                    | Qhcs, mapped from CPICH RSCP (FDD), see [4] [dBm]<br>0: -115<br>1: -114<br>2: -113<br>:<br>88: -27<br>89: -26<br>90: -(spare)<br>91: -(spare)<br>:<br>98: -(spare)<br>99: -(spare)                  |
|                                |      |       |                    | Qhcs, mapped from PCCPCH RSCP (TDD), see [4] [dBm]<br>0: -115<br>1: -114<br>2: -113<br>:<br>88: -27<br>89: -26<br>90: -(spare)<br>91: -(spare)<br>:<br>98: -(spare)<br>99: -(spare)                 |

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
|                                |      |       |                    | Qhcs level, mapped from Averaged received signal level RSSI (GSM), see [4]<br>[dBm]<br>0: -110<br>1: -109<br>2: -108<br>:<br>61: -49<br>62: -48<br>63: -47<br>64: -46<br>65: -45<br>66: -44<br>67: -43<br>68: -42<br>69: -41<br>70: -40<br>71: -39<br>72: -38<br>73: -37<br>74: -(spare)<br>:<br>98: -(spare)<br>99: -(spare) |

### 10.3.7.55 Quality measured results list

| Information Element/Group name | Need | Multi            | Type and reference                   | Semantics description                             |
|--------------------------------|------|------------------|--------------------------------------|---|
| BLER measurement results       | OP   | 1 to <maxTrCH >  |                                      |   |
| >DL Transport channel identity | MP   |                  | Transport channel identity 10.3.5.18 | transport channel type = DCH                      |
| >DL Transport Channel BLER     | OP   |                  | Integer (0..63)                      | According to BLER_LOG in [19] and [20]            |
| CHOICE <i>mode</i>             | MP   |                  |                                      |   |
| >FDD                           |      |                  |                                      | No data   |
| >TDD                           |      |                  |                                      |   |
| >>SIR measurement results      | OP   | 1 to <MaxCCTrCH> |                                      | SIR measurements for DL CCTrCH                    |
| >>>TFCS ID                     | MP   |                  | Integer(1...8)                       |   |
| >>>Timeslot list               | MP   | 1 to <maxTS>     |                                      | for all timeslot on which the CCTrCH is mapped on |
| >>>>SIR                        | MP   |                  | Integer(0..63 )                      | According to UE_SIR in [20]                       |

## 10.3.7.56 Quality measurement

| Information Element/Group name          | Need | Multi | Type and reference                                  | Semantics description   |
|---|------|-------|---|---|
| Quality reporting quantity              | OP   |       | Quality reporting quantity<br>10.3.7.59             |   |
| <i>CHOICE report criteria</i>           | MP   |       |   |   |
| >Quality measurement reporting criteria |      |       | Quality measurement reporting criteria<br>10.3.7.58 | Note<br>Given this choice, the IE "DL Transport Channel BLER" shall be set to "False" (see subclause 10.3.7.59) |
| >Periodical reporting criteria          |      |       | Periodical reporting criteria<br>10.3.7.53          | Note  |
| >No reporting                           |      |       |   | Note<br>(no data)<br>Chosen when this measurement only is used as additional measurement to another measurement |

NOTE: In this version of the specification, BLER as additional measurement is not supported.

## 10.3.7.57 Quality measurement event results

| Information Element/Group name       | Need | Multi           | Type and reference                      | Semantics description        |
|--------------------------------------|------|-----------------|---|------------------------------|
| Transport channels causing the event | OP   | 1 to <maxTrCH > |   |                              |
| >DL Transport channel identity       | MP   |                 | Transport channel identity<br>10.3.5.18 | transport channel type = DCH |

## 10.3.7.58 Quality measurement reporting criteria

Event 5a: Number of bad CRCs on a certain transport channel exceeds a threshold.

| Information Element/Group name             | Need | Multi           | Type and reference                      | Semantics description        |
|--|------|-----------------|---|------------------------------|
| Parameters sent for each transport channel | MP   | 1 to <maxTrCH > |   |                              |
| >DL Transport channel identity             | MP   |                 | Transport channel identity<br>10.3.5.18 | transport channel type = DCH |
| >Total CRC                                 | MP   |                 | Integer(1..512)                         | Number of CRCs               |
| >Bad CRC                                   | MP   |                 | Integer(1..512)                         | Number of CRCs               |
| >Pending after trigger                     | MP   |                 | Integer(1..512)                         | Number of CRCs               |

## 10.3.7.59 Quality reporting quantity

| Information Element/Group name        | Need                     | Multi             | Type and reference                   | Semantics description   |
|---------------------------------------|--------------------------|-------------------|--------------------------------------|---|
| DL Transport Channel BLER             | MP                       |                   | Boolean                              | TRUE means report requested   |
| Transport channels for BLER reporting | <i>CV-BLER reporting</i> | 1 to <maxTrCH >   |                                      | The default, if no transport channel identities are present, is that the BLER is reported for all downlink transport channels |
| >DL Transport channel identity        | MP                       |                   | Transport channel identity 10.3.5.18 | transport channel type = DCH  |
| CHOICE <i>mode</i>                    | MP                       |                   |                                      |   |
| >FDD                                  |                          |                   |                                      | No data   |
| >TDD                                  |                          |                   |                                      |   |
| >>SIR measurement list                | OP                       | 1 to <maxCCTr CH> |                                      | SIR measurements shall be reported for all listed TFCS IDs  |
| >>>TFCS ID                            | MP                       |                   | Integer(1..8)                        |   |

| Condition             | Explanation   |
|-----------------------|---|
| <i>BLER reporting</i> | This IE is not needed if the IE "DL Transport Channel BLER" is "False" and optional if the IE "DL Transport Channel BLER" is "True" |

## 10.3.7.60 Reference time difference to cell

In the System Information message, the reference time difference to cell indicates the timing difference between the primary CCPCH of the current cell and the primary CCPCH of a neighbouring cell..

In the Measurement Control message, the reference time difference to cell indicates the timing difference between UE uplink transmission timing and the primary CCPCH of a neighbouring cell.

| Information Element/Group name | Need | Multi | Type and reference                | Semantics description |
|--------------------------------|------|-------|-----------------------------------|-----------------------|
| CHOICE <i>accuracy</i>         | MP   |       |                                   |                       |
| >40 chips                      |      |       |                                   |                       |
| >>Reference time difference    | MP   |       | Integer(0..38400 by step of 40)   | In chips              |
| >256 chips                     |      |       |                                   |                       |
| >>Reference time difference    | MP   |       | Integer(0..38400 by step of 256)  | In chips              |
| >2560 chips                    |      |       |                                   |                       |
| >>Reference time difference    | MP   |       | Integer(0..38400 by step of 2560) | In chips              |

## 10.3.7.61 Reporting Cell Status

Indicates maximum allowed number of cells to report and whether active set cells and/or virtual active set cells and/or monitored set cells on and/or detected set cells used frequency and/or monitored set cells on non used frequency should/should not be included in the IE "Measured results".

| Information Element/Group name  | Need | Multi | Type and reference | Semantics description   |
|---------------------------------|------|-------|--------------------|---|
| CHOICE <i>reported cell</i>     | MP   |       |                    |   |
| >Report cells within active set |      |       |                    | This choice is not valid for inter-RAT measurements. For inter-frequency measurements |

| Information Element/Group name  | Need | Multi | Type and reference   | Semantics description  |
|---|------|-------|--|--|
|   |      |       |  | it is only valid for reporting events 2D and 2F. See NOTE 1.           |
| >>Maximum number of reported cells  | MP   |       | Integer(1..6)  |  |
| >Report cells within monitored set cells on used frequency                                      |      |       |  | This choice is not valid for inter-RAT or inter-frequency measurements |
| >>Maximum number of reported cells  | MP   |       | Integer(1..6)  |  |
| >Report cells within active set and/or monitored set cells on used frequency                    |      |       |  | This choice is not valid for inter-RAT or inter-frequency measurements |
| >>Maximum number of reported cells  | MP   |       | Integer(1..6)  |  |
| >Report cells within detected set on used frequency   |      |       |  | This choice is not valid for inter-RAT or inter-frequency measurements |
| >>Maximum number of reported cells  | MP   |       | Integer(1..6)  |  |
| >Report cells within monitored set and/or detected set on used frequency                        |      |       |  | This choice is not valid for inter-RAT or inter-frequency measurements |
| >>Maximum number of reported cells  | MP   |       | Integer(1..6)  |  |
| >Report all active set cells + cells within monitored set on used frequency                     |      |       |  | This choice is not valid for inter-RAT or inter-frequency measurements |
| >>Maximum number of reported cells  | MP   |       | Enumerated (virtual/active set cells+1, virtual/active set cells+2, ....., virtual/active set cells+6) |  |
| >Report all active set cells + cells within detected set on used frequency                      |      |       |  | This choice is not valid for inter-RAT or inter-frequency measurements |
| >>Maximum number of reported cells  | MP   |       | Enumerated (virtual/active set cells+1, virtual/active set cells+2, ....., virtual/active set cells+6) |  |
| >Report all active set cells + cells within monitored set and/or detected set on used frequency |      |       |  | This choice is not valid for inter-RAT or inter-frequency measurements |
| >>Maximum number of reported cells  | MP   |       | Enumerated (virtual/active set cells+1, virtual/active set cells+2, ....., virtual/active set cells+6) |  |
| >Report cells within virtual active set   |      |       |  | This choice is not valid for intra-frequency or inter-RAT measurements |
| >>Maximum number of reported cells per reported non-used frequency                              | MP   |       | Integer(1..6)  |  |
| >Report cells within monitored set on non-used frequency  |      |       |  | This choice is not valid for intra-frequency or inter-RAT              |

| Information Element/Group name   | Need | Multi | Type and reference   | Semantics description  |
|--|------|-------|--|--|
|  |      |       |  | measurements   |
| >>Maximum number of reported cells per reported non-used frequency   | MP   |       | Integer(1..6)  |  |
| >Report cells within monitored and/or virtual active set on non-used frequency   |      |       |  | This choice is not valid for intra-frequency or inter-RAT measurements   |
| >>Maximum number of reported cells per reported non-used frequency   | MP   |       | Integer(1..6)  |  |
| >Report all virtual active set cells + cells within monitored set on non-used frequency  |      |       |  | This choice is not valid for intra-frequency or inter-RAT measurements   |
| >>Maximum number of reported cells per reported non-used frequency   | MP   |       | Enumerated (virtual/active set cells+1, virtual/active set cells+2, ....., virtual/active set cells+6) |  |
| >Report cells within active set or within virtual active set or of the other RAT   |      |       |  | If this choice is selected for inter-RAT measurements, the UE shall report only cells of the other RAT.<br>If this choice is selected for intra-frequency measurements, the UE shall report cells within the active set. If this choice is selected for inter-frequency measurements, the UE shall report cells within the virtual active set.             |
| >>Maximum number of reported cells   | MP   |       | Integer (1..12)  |  |
| >Report cells within active and/or monitored set on used frequency or within virtual active and/or monitored set on non-used frequency |      |       |  | This choice is not valid for inter-RAT measurements.<br>If this choice is selected for intra-frequency measurements, the UE shall report cells within the active and/or monitored set.<br>If this choice is selected for inter-frequency measurements, the UE shall report cells within the virtual active set and/or monitored set on non-used frequency. |
| >>Maximum number of reported cells   | MP   |       | Integer(1..12 )  |  |

NOTE 1: For Inter-frequency reporting events 2D and 2F, only CHOICE "Report cells within active set" is valid.

## 10.3.7.62 Reporting information for state CELL\_DCH

| Information Element/Group name                  | Need | Multi | Type and reference  | Semantics description |
|---|------|-------|---|-----------------------|
| Intra-frequency reporting quantity              | MP   |       | Intra-frequency reporting quantity<br>10.3.7.41             |                       |
| Measurement Reporting Mode                      | MP   |       | Measurement Reporting Mode<br>10.3.7.49                     |                       |
| CHOICE <i>report criteria</i>                   | MP   |       |   |                       |
| >Intra-frequency measurement reporting criteria |      |       | Intra-frequency measurement reporting criteria<br>10.3.7.39 |                       |
| >Periodical reporting criteria                  |      |       | Periodical reporting criteria<br>10.3.7.53                  |                       |

## 10.3.7.63 SFN-SFN observed time difference

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description  | Version |
|--------------------------------|------|-------|---------------------|--|---------|
| CHOICE <i>type</i>             | MP   |       |                     |  |         |
| >Type 1                        |      |       | Integer(0..9830399) | According to T1_SFN-SFN_TIME in [19] and [20].<br>For FDD and 3.84 Mcps TDD:<br>6946816 spare values are needed. |         |
|                                |      |       | Integer(0..3276799) | For 1.28 Mcps TDD:<br>13500416 spare values are needed.  | Rel-4   |
| >Type 2                        |      |       | Integer(0..40961)   | According to T2_SFN-SFN_TIME in [19] and [20].<br>24574 spare values are needed.                                 |         |
|                                |      |       | Integer(0..27649)   | For 1.28 Mcps TDD:<br>37886 spare values are needed.   | Rel-4   |

## 10.3.7.64 Time to trigger

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description |
|--------------------------------|------|-------|---|-----------------------|
| Time to trigger                | MP   |       | Integer(0, 10, 20, 40, 60, 80, 100, 120, 160, 200, 240, 320, 640, 1280, 2560, 5000) | Time in ms            |

## 10.3.7.65 Timeslot ISCP info

NOTE: Only for TDD



| Information Element/Group name | Need | Multi | IE type and reference | Semantics description  |
|--------------------------------|------|-------|-----------------------|--|
| Timeslot ISCP                  | MP   |       | Integer (0..91)       | According to UE_TS_ISCP_LEV in [20]. Thirty-six spare values are needed. |

## 10.3.7.66 Traffic volume event identity

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Traffic volume event identity  | MP   |       | Enumerated(4a, 4b) |                       |

## 10.3.7.67 Traffic volume measured results list

| Information Element/Group name     | Need | Multi        | Type and reference   | Semantics description   |
|------------------------------------|------|--------------|--|---|
| Traffic volume measurement results | OP   | 1 to <maxRB> |  |   |
| >RB Identity                       | MP   |              | RB Identity 10.3.4.16  |   |
| >RLC Buffers Payload               | OP   |              | Enumerated(0, 4, 8, 16, 32, 64, 128, 256, 512, 1024, 2K, 4K, 8K, 16K, 32K, 64K, 128K, 256K, 512K, 1024K) | In bytes<br>And N Kbytes = N*1024 bytes.<br>Twelve spare values are needed. |
| >Average of RLC Buffer Payload     | OP   |              | Enumerated(0, 4, 8, 16, 32, 64, 128, 256, 512, 1024, 2K, 4K, 8K, 16K, 32K, 64K, 128K, 256K, 512K, 1024K) | In bytes<br>And N Kbytes = N*1024 bytes.<br>Twelve spare values are needed. |
| >Variance of RLC Buffer Payload    | OP   |              | Enumerated(0, 4, 8, 16, 32, 64, 128, 256, 512, 1024, 2K, 4K, 8K, 16K)                                    | In bytes<br>And N Kbytes = N*1024 bytes.<br>Two spare values are needed.    |

## 10.3.7.68 Traffic volume measurement

| Information Element/Group name                 | Need | Multi | Type and reference   | Semantics description   |
|--|------|-------|--|---|
| Traffic volume measurement Object              | OP   |       | Traffic volume measurement Object<br>10.3.7.70             |   |
| Traffic volume measurement quantity            | OP   |       | Traffic volume measurement quantity<br>10.3.7.71           |   |
| Traffic volume reporting quantity              | OP   |       | Traffic volume reporting quantity<br>10.3.7.74             |   |
| Measurement validity                           | OP   |       | Measurement validity<br>10.3.7.51                          |   |
| <b>CHOICE <i>report criteria</i></b>           | MP   |       |  |   |
| >Traffic volume measurement reporting criteria |      |       | Traffic volume measurement reporting criteria<br>10.3.7.72 |   |
| >Periodical reporting criteria                 |      |       | Periodical reporting criteria<br>10.3.7.53                 |   |
| >No reporting                                  |      |       |  | (no data)<br>Chosen when this measurement only is used as additional measurement to another measurement |

## 10.3.7.69 Traffic volume measurement event results

Contains the event result for a traffic volume measurement.

| Information Element/Group name                  | Need                  | Multi | Type and reference                         | Semantics description   |
|---|-----------------------|-------|--|---|
| Uplink transport channel type causing the event | MP                    |       | Enumerated(DCH,RACHorCPCH,USCH)            | USCH is TDD only.<br>CPCH is FDD only.<br>RACHorCPCH is the currently configured default in the uplink. |
| UL Transport Channel identity                   | <i>CV-UL-DCH/USCH</i> |       | Transport channel identity<br>10.3.5.18    |   |
| Traffic volume event identity                   | MP                    |       | Traffic volume event identity<br>10.3.7.66 |   |

| Condition          | Explanation  |
|--------------------|--|
| <i>UL-DCH/USCH</i> | If IE "Uplink transport channel type" is equal to "DCH" or "USCH" (TDD only) this IE is mandatory present. Otherwise the IE is not needed. |

## 10.3.7.70 Traffic volume measurement object

Contains the measurement object information for a traffic volume measurement.

| Information Element/Group name     | Need           | Multi           | Type and reference                   | Semantics description   |
|------------------------------------|----------------|-----------------|--------------------------------------|---|
| Traffic volume measurement objects | MP             | 1 to <maxTrCH > |                                      |   |
| >Uplink transport channel type     | MP             |                 | Enumerated(DCH,RACHorCPCH,USCH)      | USCH is TDD only. CPCH is FDD only. RACHorCPCH is the currently configured default in the uplink. |
| >UL Target Transport Channel ID    | CV-UL-DCH/USCH |                 | Transport channel identity 10.3.5.18 |   |

| Condition   | Explanation  |
|-------------|--|
| UL-DCH/USCH | If IE "Uplink transport channel type" is equal to "DCH" or "USCH" (TDD only) this IE is mandatory present. Otherwise the IE is not needed. |

## 10.3.7.71 Traffic volume measurement quantity

Contains the measurement quantity information for a traffic volume measurement.

| Information Element/Group name                 | Need   | Multi | Type and reference   | Semantics description             |
|--|--------|-------|--|-----------------------------------|
| Measurement quantity                           | MP     |       | Enumerated(RLC buffer payload, Average RLC buffer payload, Variance of RLC buffer payload) | This parameter should be ignored. |
| Time Interval to take an average or a variance | CV-A/V |       | Integer(20, 40, ..260, by steps of 20)   | In ms                             |

| Condition | Explanation  |
|-----------|--|
| A/V       | This IE is mandatory present when "Average RLC buffer" or "Variance of RLC buffer payload" is chosen and not needed otherwise. |

### 10.3.7.72 Traffic volume measurement reporting criteria

Contains the measurement reporting criteria information for a traffic volume measurement.

Event 4a: Transport Channel Traffic Volume [15] exceeds an absolute threshold.

Event 4b: Transport Channel Traffic Volume [15] becomes smaller than an absolute threshold.

| Information Element/Group name             | Need           | Multi                   | Type and reference   | Semantics description   |
|--|----------------|-------------------------|--|---|
| Parameters sent for each transport channel | OP             | 1 to <maxTrCH >         |  | This IE is always required, need is OP to align with ASN.1  |
| >Uplink transport channel type             | OP             |                         | Enumerated(DCH,RACHorCPCH,USCH)  | USCH is TDD only. CPCH is FDD only. RACHorCPCH is the currently configured default in the uplink.   |
| >UL Transport Channel ID                   | CV-UL-DCH/USCH |                         | Transport channel identity 10.3.5.18   |   |
| >Parameters required for each Event        | OP             | 1 to <maxMeas parEvent> |  |   |
| >>Traffic volume event identity            | MP             |                         | Traffic volume event identity 10.3.7.66  |   |
| >>Reporting Threshold                      | MP             |                         | Enumerated(8,16,32,64,128,256,512,1024,2K,3K,4K,6K,8K,12K,16K,24K,32K,48K,64K,96K,128K,192K,256K,384K,512K,768K) | Threshold in bytes<br>And N Kbytes = N*1024 bytes   |
| >>Time to trigger                          | OP             |                         | Time to trigger 10.3.7.64  | Indicates the period of time during which the event condition has to be satisfied, before sending a Measurement Report. Time in ms  |
| >>Pending time after trigger               | OP             |                         | Integer(250, 500, 1000, 2000, 4000, 8000, 16000)   | Indicates the period of time during which it is forbidden to send any new measurement reports with the same Traffic volume event identity even if the triggering condition is fulfilled. Time in milliseconds |
| >>Tx interruption after trigger            | OP             |                         | Integer (250, 500, 1000, 2000, 4000, 8000, 16000)  | Time in milliseconds. Indicates how long the UE shall block DTCH transmissions on the RACH after a measurement report is triggered.   |

| Condition   | Explanation   |
|-------------|---|
| UL-DCH/USCH | If IE "Uplink transport channel type" is equal to "DCH" or "USCH" (TDD only) this IE is optional. Otherwise the IE is not needed. |

## 10.3.7.73 Traffic volume measurement system information

| Information Element/Group name                 | Need | Multi | Type and reference                                      | Semantics description  |
|--|------|-------|---|--|
| Traffic volume measurement identity            | MD   |       | Measurement identity 10.3.7.48                          | The traffic volume measurement identity has default value 4. |
| Traffic volume measurement object              | OP   |       | Traffic volume measurement object 10.3.7.70             |  |
| Traffic volume measurement quantity            | OP   |       | Traffic volume measurement quantity 10.3.7.71           |  |
| Traffic volume reporting quantity              | OP   |       | Traffic volume reporting quantity 10.3.7.74             |  |
| Measurement validity                           | OP   |       | Measurement validity 10.3.7.51                          |  |
| Measurement Reporting Mode                     | MP   |       | Measurement Reporting Mode 10.3.7.49                    |  |
| CHOICE <i>reporting criteria</i>               | MP   |       |   |  |
| >Traffic volume measurement reporting criteria |      |       | Traffic volume measurement reporting criteria 10.3.7.72 |  |
| >Periodical reporting criteria                 |      |       | Periodical reporting criteria 10.3.7.53                 |  |

## 10.3.7.74 Traffic volume reporting quantity

Contains the reporting quantity information for a traffic volume measurement.

For all boolean types TRUE means inclusion in the report is requested.

| Information Element/Group name             | Need | Multi | Type and reference | Semantics description |
|--|------|-------|--------------------|-----------------------|
| RLC Buffer Payload for each RB             | MP   |       | Boolean            |                       |
| Average of RLC Buffer Payload for each RB  | MP   |       | Boolean            |                       |
| Variance of RLC Buffer Payload for each RB | MP   |       | Boolean            |                       |

## 10.3.7.75 UE internal event identity

| Information Element/Group name | Need | Multi | Type and reference                 | Semantics description |
|--------------------------------|------|-------|------------------------------------|-----------------------|
| UE internal event identity     | MP   |       | Enumerated(6a,6b,6c,6d,6e, 6f, 6g) |                       |

## 10.3.7.76 UE internal measured results

| Information Element/Group name     | Need | Multi        | Type and reference                        | Semantics description   | Version |
|------------------------------------|------|--------------|---|---|---------|
| CHOICE <i>mode</i>                 | MP   |              |   |   |         |
| >FDD                               |      |              |   |   |         |
| >>UE Transmitted Power             | OP   |              | UE Transmitted Power info 10.3.7.85       |   |         |
| >>UE Rx-Tx report entries          | OP   | 1 to <maxRL> |   |   |         |
| >>>Primary CPICH info              | MP   |              | Primary CPICH info 10.3.6.60              | Primary CPICH info for each cell included in the active set                           |         |
| >>>UE Rx-Tx time difference type 1 | MP   |              | UE Rx-Tx time difference type 1 10.3.7.83 | UE Rx-Tx time difference in chip for each RL included in the active set               |         |
| >TDD                               |      |              |   |   |         |
| >>UE Transmitted Power list        | OP   | 1 to <maxTS> |   | UE Transmitted Power for each used uplink timeslot in ascending timeslot number order |         |
| >>>UE Transmitted Power            | MP   |              | UE Transmitted Power info 10.3.7.85       |   |         |
| >>CHOICE <i>TDD option</i>         | MP   |              |   |   | REL-4   |
| >>>3.84 Mcps TDD                   |      |              |   |   | REL-4   |
| >>>>Applied TA                     | OP   |              | Uplink Timing Advance 10.3.6.95           | Uplink timing advance applied by the UE   |         |
| >>>>1.28 Mcps TDD                  |      |              |   |   | REL-4   |
| >>>>T <sub>ADV</sub>               | OP   |              | T <sub>ADV</sub> info 10.3.7.112          |   | REL-4   |

## 10.3.7.77 UE internal measurement

| Information Element/Group name              | Need | Multi | Type and reference                                      | Semantics description   |
|---|------|-------|---|---|
| UE internal measurement quantity            | OP   |       | UE internal measurement quantity<br>10.3.7.79           |   |
| UE internal reporting quantity              | OP   |       | UE internal reporting quantity<br>10.3.7.82             |   |
| <i>CHOICE report criteria</i>               | MP   |       |   |   |
| >UE internal measurement reporting criteria |      |       | UE internal measurement reporting criteria<br>10.3.7.80 |   |
| >Periodical reporting criteria              |      |       | Periodical reporting criteria<br>10.3.7.53              |   |
| >No reporting                               |      |       |   | (no data)<br>Chosen when this measurement only is used as additional measurement to another measurement |

| <i>CHOICE report criteria</i>              | Condition under which the given <i>report criteria</i> is chosen                           |
|--|--|
| UE internal measurement reporting criteria | Chosen when UE internal measurement event triggering is required                           |
| Periodical reporting criteria              | Chosen when periodical reporting is required   |
| No reporting                               | Chosen when this measurement only is used as additional measurement to another measurement |

## 10.3.7.78 UE internal measurement event results

This IE contains the measurement event results that are reported to UTRAN for UE internal measurements.

| Information Element/Group name | Need               | Multi | Type and reference                      | Semantics description |
|--------------------------------|--------------------|-------|---|-----------------------|
| UE internal event identity     | MP                 |       | UE internal event identity<br>10.3.7.75 |                       |
| <i>CHOICE mode</i>             | MP                 |       |   |                       |
| >FDD                           |                    |       |   |                       |
| >Primary CPICH info            | <i>CV-clause 1</i> |       | Primary CPICH info<br>10.3.6.60         |                       |
| >TDD                           |                    |       |   | (no data)             |

| Condition       | Explanation   |
|-----------------|---|
| <i>Clause 1</i> | This IE is mandatory present if the IE "UE internal event identity" is set to "6f" or "6g", otherwise the IE is not needed. |

## 10.3.7.79 UE internal measurement quantity

The quantity the UE shall measure in case of UE internal measurement.

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description   | Version |
|--------------------------------|------|-------|---|---|---------|
| CHOICE <i>mode</i>             | MP   |       |   |   |         |
| >FDD                           |      |       |   |   |         |
| >>Measurement quantity         | MP   |       | Enumerated(UE Transmitted Power, UTRA Carrier RSSI, UE Rx-Tx time difference) |   |         |
| >TDD                           |      |       |   |   |         |
| >>Measurement quantity         | MP   |       | Enumerated(UE Transmitted Power, UTRA Carrier RSSI, T <sub>ADV</sub> )        | Measurement on Timing Advance is for 1.28 Mcps TDD  | REL-4   |
| Filter coefficient             | OP   |       | Filter coefficient 10.3.7.9   | If the IE "Measurement quantity" is set to "Rx-Tx time difference" and this IE is present, the UE behaviour is unspecified. |         |

### 10.3.7.80 UE internal measurement reporting criteria

The triggering of the event-triggered reporting for a UE internal measurement. All events concerning UE internal measurements are labelled 6x where x is a, b, c.... In TDD, the events 6a - 6d are measured and reported on timeslot basis.

Event 6a: The UE Transmitted Power becomes larger than an absolute threshold

Event 6b: The UE Transmitted Power becomes less than an absolute threshold

Event 6c: The UE Transmitted Power reaches its minimum value

Event 6d: The UE Transmitted Power reaches its maximum value

Event 6e: The UE RSSI reaches the UEs dynamic receiver range

Event 6f (FDD): The UE Rx-Tx time difference for a RL included in the active set becomes larger than an absolute threshold

Event 6f (1.28 Mcps TDD): The time difference indicated by T<sub>ADV</sub> becomes larger than an absolute threshold

Event 6g: The UE Rx-Tx time difference for a RL included in the active set becomes less than an absolute threshold

| Information Element/Group name                         | Need | Multi                | Type and reference                   | Semantics description | Version |
|--|------|----------------------|--------------------------------------|-----------------------|---------|
| Parameters sent for each UE internal measurement event | OP   | 1 to <maxMeas Event> |                                      |                       |         |
| >UE internal event identity                            | MP   |                      | UE internal event identity 10.3.7.75 |                       |         |



| Information Element/Group name           | Need        | Multi | Type and reference  | Semantics description  | Version |
|--|-------------|-------|---|--|---------|
| >Time-to-trigger                         | MP          |       | Integer(0, 10, 20, 40, 60, 80, 100, 120, 160, 200, 240, 320, 640, 1280, 2560, 5000) | Time in ms. Indicates the period of time between the timing of event detection and the timing of sending Measurement Report. |         |
| >UE Transmitted Power Tx power threshold | CV-clause 1 |       | Integer(-50..33)  | Power in dBm. In event 6a, 6b.   |         |
| >UE Rx-Tx time difference threshold      | CV-clause 2 |       | Integer(768..1280)  | Time difference in chip. In event 6f, 6g.  |         |
| >T <sub>ADV</sub> threshold              | CV-clause 3 |       | Real (0..63 step 0.125)   | Time difference in chip. In event 6f   | REL-4   |

| Condition | Explanation   |
|-----------|---|
| Clause 1  | The IE is mandatory present if the IE "UE internal event identity" is set to "6a" or "6b", otherwise the IE is not needed.          |
| Clause 2  | In FDD, the IE is mandatory present if the IE "UE internal event identity" is set to "6f" or "6g", otherwise the IE is not needed.  |
| Clause 3  | In 1.28 Mcps TDD the IE is mandatory present if the IE "UE internal event identity" is set to "6f", otherwise the IE is not needed. |

### 10.3.7.81 Void

### 10.3.7.82 UE Internal reporting quantity

For all boolean types TRUE means inclusion in the report is requested.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description | Version |
|--------------------------------|------|-------|--------------------|-----------------------|---------|
| UE Transmitted Power           | MP   |       | Boolean            |                       |         |
| CHOICE <i>mode</i>             | MP   |       |                    |                       |         |
| >FDD                           |      |       |                    |                       |         |
| >>UE Rx-Tx time difference     | MP   |       | Boolean            |                       |         |
| >TDD                           |      |       |                    |                       |         |
| >>CHOICE <i>TDD option</i>     |      |       |                    |                       | REL-4   |
| >>>3.84 Mcps TDD               |      |       |                    | (no data)             | REL-4   |
| >>Applied TA                   | MP   |       | Boolean            |                       |         |
| >>>1.28 Mcps TDD               |      |       |                    |                       | REL-4   |
| >>>>T <sub>ADV</sub> info      | MP   |       | Boolean            |                       | REL-4   |

### 10.3.7.83 UE Rx-Tx time difference type 1

The difference in time between the UE uplink DPCCH/DPDCH frame transmission and the first detected path (in time), of the downlink DPCH frame from the measured radio link. This measurement is for FDD only.

| Information Element/Group name  | Need | Multi | Type and reference | Semantics description                  |
|---------------------------------|------|-------|--------------------|--|
| UE Rx-Tx time difference type 1 | MP   |       | Integer(768..1280) | In chips. 511 spare values are needed. |

### 10.3.7.84 UE Rx-Tx time difference type 2

The difference in time between the UE uplink DPCCH/DPDCH frame transmission and the first detected path (in time), of the downlink DPCH frame from the measured radio link.

| Information Element/Group name  | Need | Multi | Type and reference | Semantics description |
|---------------------------------|------|-------|--------------------|-----------------------|
| UE Rx-Tx time difference type 2 | MP   |       | Integer (0..8191)  | According to [19].    |

### 10.3.7.85 UE Transmitted Power info

| Information Element/Group name | Need | Multi | IE type and reference | Semantics description                     |
|--------------------------------|------|-------|-----------------------|---|
| UE Transmitted Power           | MP   |       | Integer (0..104)      | According to UE_TX_POWER in [19] and [20] |

### 10.3.7.86 UE positioning Ciphering info

This IE contains information for the ciphering of UE positioning assistance data broadcast in System Information.

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description                                 |
|--------------------------------|------|-------|--------------------|---|
| Ciphering Key Flag             | MP   |       | Bit string(1)      |   |
| Ciphering Serial Number        | MP   |       | Integer(0..65535)  | The serial number used in the DES ciphering algorithm |

10.3.7.87 UE positioning Error

| Information Element/Group name         | Need                         | Multi | Type and Reference   | Semantics description |
|--|------------------------------|-------|--|-----------------------|
| Error reason                           | MP                           |       | Enumerated( Not Enough OTDOA Cells, Not Enough GPS Satellites, Assistance Data Missing, Not Accomplished GPS Timing Of Cell Frames, Undefined Error, Request Denied By User, Not Processed And Timeout, Reference Cell Not Serving Cell) | Note 1                |
| GPS Additional Assistance Data Request | CV-<br><i>GPSdataMissing</i> |       | UE positioning GPS Additional Assistance Data Request 10.3.7.88a   |                       |

NOTE 1: The following table describes each value of the IE "Error reason".

| Value                                      | Indication   |
|--|--|
| Not Enough OTDOA Cells                     | There were not enough cells to be received.                              |
| Not Enough GPS Satellites                  | There were not enough GPS satellites to be received.                     |
| Assistance Data Missing                    | UE positioning GPS assistance data missing.                              |
| Not Accomplished GPS Timing Of Cell Frames | UE was not able to accomplish the GPS timing of cell frames measurement. |
| Undefined Error                            | Undefined error.   |
| Request Denied By User                     | UE positioning request denied by upper layers.                           |
| Not Processed And<br>Timeout               | UE positioning request not processed by upper layers and timeout.        |
| Reference Cell Not Serving Cell            | UE was not able to read the SFN of the reference cell.                   |

| Condition             | Explanation  |
|-----------------------|--|
| <i>GPSdataMissing</i> | The IE is optional if the IE "Error reason" is " Assistance Data Missing " and not needed otherwise. |

## 10.3.7.88 UE positioning GPS acquisition assistance

This IE contains parameters that enable fast acquisition of the GPS signals in UE-assisted GPS positioning.

| Information Element/Group name         | Need | Multi         | Type and Reference   | Semantics description   |
|--|------|---------------|--|---|
| GPS TOW msec                           | MP   |               | Integer(0..6.048*10 <sup>8</sup> -1)                       | GPS Time of Week in milliseconds rounded down to the nearest millisecond unit. It is also the time when satellite information is valid.                                 |
| UTRAN GPS reference time               | OP   |               |  |   |
| >UTRAN GPS timing of cell frames       | MP   |               | Integer(0 ... 2322431999 999)                              | GPS timing of cell frames in steps of 1 chip.   |
| >CHOICE <i>mode</i>                    | OP   |               |  |   |
| >>FDD                                  |      |               |  |   |
| >>>Primary CPICH Info                  | MP   |               | Primary CPICH Info 10.3.6.60                               | Identifies the reference cell for the GPS TOW-SFN relationship  |
| >>TDD                                  |      |               |  |   |
| >>>cell parameters id                  | MP   |               | Cell parameters id 10.3.6.9                                | Identifies the reference cell for the GPS TOW-SFN relationship  |
| >SFN                                   | MP   |               | Integer(0..40 95)  | The SFN which the UTRAN GPS timing of cell frames time stamps.  |
| Satellite information                  | MP   | 1 to <maxSat> |  |   |
| >SatID                                 | MP   |               | Integer (0..63)  | Identifies the satellite and is equal to (SV ID No - 1) where SV ID No is defined in [12].  |
| >Doppler (0 <sup>th</sup> order term)  | MP   |               | Real(- 5120..5117.5 by step of 2.5)                        | Hz  |
| >Extra Doppler                         | OP   |               |  |   |
| >>Doppler (1 <sup>st</sup> order term) | MP   |               | Real (- 0.966..0.483 by step of 0.023)                     | Hz/s  |
| >>>Doppler Uncertainty                 | MP   |               | Enumerated (12,5,25,50, 100,200)                           | Hz.<br>Three spare values are needed.<br>The Doppler experienced by a stationary UE is in the range "Doppler – Doppler Uncertainty" to "Doppler + Doppler Uncertainty". |
| >Code Phase                            | MP   |               | Integer(0..10 22)  | GPS chips.<br>Increasing binary values of the field signify increasing predicted pseudoranges.  |
| >Integer Code Phase                    | MP   |               | Integer(0..19 )  | Number of code periods that have elapsed since the latest GPS bit boundary, in units of C/A code period.  |
| >GPS Bit number                        | MP   |               | Integer(0..3)  | Specifies GPS bit number modulo 4   |
| >Code Phase Search Window              | MP   |               | Integer(1023 ,1,2,3,4,6,8,1 2,16,24,32,4 8,64,96,128, 192) | Expected code-phase is in the range "Code Phase – Code Phase Search Window" to "Code Phase + Code Phase Search Window".   |
| >Azimuth and Elevation                 | OP   |               |  |   |
| >>Azimuth                              | MP   |               | Real(0..348. 75 by step of                                 | Degrees<br>An angle of x degrees means  |

| Information Element/Group name | Need | Multi | Type and Reference              | Semantics description   |
|--------------------------------|------|-------|---------------------------------|---|
|                                |      |       | 11.25)                          | the satellite azimuth $a$ is in the range $x \leq a < x+11.25$ degrees.   |
| >>Elevation                    | MP   |       | Real(0..78.75 by step of 11.25) | Degrees<br>An angle of $y$ degrees means the satellite elevation $e$ is in the range $y \leq e < y+11.25$ degrees except for $y=78.75$ where the range is extended to include 90 degrees. |

## 10.3.7.88a UE positioning GPS Additional Assistance Data Request

| Information Element/Group name   | Need | Multi | Type and          | Semantics description   |
|----------------------------------|------|-------|-------------------|---|
| Almanac                          | MP   |       | Boolean           | TRUE means requested  |
| UTC Model                        | MP   |       | Boolean           | TRUE means requested  |
| Ionospheric model                | MP   |       | Boolean           | TRUE means requested  |
| Navigation Model                 | MP   |       | Boolean           | TRUE means requested  |
| DGPS Corrections                 | MP   |       | Boolean           | TRUE means requested  |
| Reference Location               | MP   |       | Boolean           | TRUE means requested  |
| Reference Time                   | MP   |       | Boolean           | TRUE means requested  |
| Acquisition Assistance           | MP   |       | Boolean           | TRUE means requested  |
| Real-Time Integrity              | MP   |       | Boolean           | TRUE means requested  |
| Navigation Model Additional data | CV-  |       |                   | this IE is present only if "Navigation Model" is set to TRUE otherwise it is absent |
| >GPS Week                        | MP   |       | Integer (0..1023) |   |

| Information Element/Group name | Need | Multi | Type and        | Semantics description  |
|--------------------------------|------|-------|-----------------|--|
| >GPS_Toe                       | MP   |       | Integer         | GPS time of ephemeris in hours of the latest ephemeris set contained by the UE.<br>Eighty-eight spare values needed. |
| >T-Toe limit                   | MP   |       | Integer (0..10) | ephemeris age tolerance of the UE to UTRAN in hours. Five spare values needed.                                       |
| >Satellites list related data  | MP   | 0 to  |                 |  |
| >>SatID                        | MP   |       | Integer (0..63) | Identifies the satellite and is equal to (SV ID No - 1) where SV ID No is defined in [12].                           |
| >>IODE                         | MP   |       | Integer         | Issue of Data Ephemeris for SatID  |

## 10.3.7.89 UE positioning GPS almanac

This IE contains a reduced-precision subset of the ephemeris and clock correction parameters.

| Information Element/Group name | Need | Multi         | Type and Reference | Semantics description  |
|--------------------------------|------|---------------|--------------------|--|
| WN <sub>a</sub>                | MP   |               | Bit string(8)      | Almanac Reference Week [12]  |
| Satellite information          | MP   | 1 to <maxSat> |                    |  |
| >DataID                        | MP   |               | Integer(0..3)      | See [12]   |
| >SatID                         | MP   |               | Enumerated(0..63)  | Identifies the satellite and is equal to (SV ID No - 1) where SV ID No is defined in [12].   |
| >e                             | MP   |               | Bit string(16)     | Eccentricity [12]  |
| >t <sub>oa</sub>               | MP   |               | Bit string(8)      | Reference Time of Almanac [12]   |
| >δi                            | MP   |               | Bit string(16)     |  |
| >OMEGADOT                      | MP   |               | Bit string(16)     | Longitude of Ascending Node of Orbit Plane at Weekly Epoch (semi-circles/sec) [12]   |
| >SV Health                     | MP   |               | Bit string(8)      |  |
| >A <sup>1/2</sup>              | MP   |               | Bit string(24)     | Semi-Major Axis (meters) <sup>1/2</sup> [12]   |
| >OMEGA <sub>0</sub>            | MP   |               | Bit string(24)     | Longitude of Ascending Node of Orbit Plane at Weekly Epoch (semi-circles) [12]   |
| >M <sub>0</sub>                | MP   |               | Bit string(24)     | Mean Anomaly at Reference Time (semi-circles) [12]   |
| >ω                             | MP   |               | Bit string(24)     | Argument of Perigee (semi-circles) [12]  |
| >af <sub>0</sub>               | MP   |               | Bit string(11)     | apparent clock correction [12]   |
| >af <sub>1</sub>               | MP   |               | Bit string(11)     | apparent clock correction [12]   |
| SV Global Health               | OP   |               | Bit                | This enables GPS time recovery and possibly extended GPS correlation intervals. It is specified in page 25 of subframes 4 and 5 [12] |

## 10.3.7.90 UE positioning GPS assistance data

This IE contains GPS assistance data.

| Information Element/Group name    | Need | Multi | Type and Reference | Semantics description |
|-----------------------------------|------|-------|--------------------|-----------------------|
| UE positioning GPS reference time | OP   |       | UE positioning GPS |                       |

| Information Element/Group name            | Need | Multi | Type and Reference   | Semantics description                  |
|---|------|-------|--|--|
|   |      |       | reference time<br>10.3.7.96  |  |
| UE positioning GPS reference UE position  | OP   |       | Ellipsoid point with altitude and uncertainty ellipsoid<br>10.3.8.4c | A priori knowledge of UE 3-D position. |
| UE positioning GPS DGPS corrections       | OP   |       | UE positioning GPS DGPS corrections<br>10.3.7.91                     |  |
| UE positioning GPS navigation model       | OP   |       | UE positioning GPS navigation model<br>10.3.7.94                     |  |
| UE positioning GPS ionospheric model      | OP   |       | UE positioning GPS ionospheric model<br>10.3.7.92                    |  |
| UE positioning GPS UTC model              | OP   |       | UE positioning GPS UTC model<br>10.3.7.97                            |  |
| UE positioning GPS almanac                | OP   |       | UE positioning GPS almanac<br>10.3.7.89                              |  |
| UE positioning GPS acquisition assistance | OP   |       | UE positioning GPS acquisition assistance<br>10.3.7.88               |  |
| UE positioning GPS real-time integrity    | OP   |       | UE positioning GPS real-time integrity<br>10.3.7.95                  |  |

10.3.7.90a Void

### 10.3.7.91 UE positioning GPS DGPS corrections

This IE contains DGPS corrections to be used by the UE.

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| GPS TOW sec                    | MP   |       | Integer(0..604799) | seconds<br>GPS time-of-week when the DGPS corrections were calculated |



| Information Element/Group name | Need                 | Multi            | Type and Reference   | Semantics description   |
|--------------------------------|----------------------|------------------|--|---|
| Status/Health                  | MP                   |                  | Enumerated(UDRE scale 1.0, UDRE scale 0.75, UDRE scale 0.5, UDRE scale 0.3, UDRE scale 0.2, UDRE scale 0.1, no data, invalid data) |   |
| DGPS information               | CV-<br>Status/Health | 1 to<br><maxSat> |  | If the Cipher information is included these fields are ciphered.  |
| >SatID                         | MP                   |                  | Enumerated (0...63)  | Identifies the satellite and is equal to (SV ID No - 1) where SV ID No is defined in [12].  |
| >IODE                          | MP                   |                  | Integer(0..255)  |   |
| >UDRE                          | MP                   |                  | Enumerated(UDRE ≤ 1.0 m, 1.0m < UDRE ≤ 4.0m, 4.0m < UDRE ≤ 8.0m, 8.0m < UDRE)  | The value in this field shall be multiplied by the UDRE Scale Factor in the IE Status/Health to determine the final UDRE estimate for the particular satellite. |
| >PRC                           | MP                   |                  | Real(-655.04..655.04 by step of 0.32)  | meters (different from [13])  |
| >RRC                           | MP                   |                  | Real(-4.064..4.064 by step of 0.032)   | meters/sec (different from [13])  |
| >Delta PRC2                    | MP                   |                  | Integer(-127..127)   | In this version of the protocol this IE should be set to zero and the UE shall ignore it  |
| >Delta RRC2                    | MP                   |                  | Real(-0.224..0.224 by step of 0.032)   | In this version of the protocol this IE should be set to zero and the UE shall ignore it  |
| >Delta PRC3                    | OP                   |                  | Integer(-127..127)   | This IE should not be included in this version of the protocol and if received the UE shall ignore it   |
| >Delta RRC3                    | OP                   |                  | Real(-0.224..0.224 by step of 0.032)   | This IE should not be included in this version of the protocol and if received the UE shall ignore it   |

| Condition     | Explanation   |
|---------------|---|
| Status/Health | This IE is mandatory present if "status" is not equal to "no data" or "invalid data", otherwise the IE is not needed. |

## 10.3.7.91a UE positioning GPS Ephemeris and Clock Correction parameters

This IE contains information for GPS ephemeris and clock correction.

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| C/A or P on L2                 | MP   |       | Bit string(2)      | Code(s) on L2 Channel [12]  |
| URA Index                      | MP   |       | Bit string(4)      | User Range Accuracy [12]  |
| SV Health                      | MP   |       | Bit string(6)      | [12]  |
| IODC                           | MP   |       | Bit string(10)     | Issue of Data, Clock [12]   |
| L2 P Data Flag                 | MP   |       | Bit string(1)      | [12]  |
| SF 1 Reserved                  | MP   |       | Bit string(87)     | [12]  |
| TGD                            | MP   |       | Bit string(8)      | Estimated group delay differential [12]   |
| $t_{oc}$                       | MP   |       | Bit string(16)     | apparent clock correction [12]  |
| $af_2$                         | MP   |       | Bit string(8)      | apparent clock correction [12]  |
| $af_1$                         | MP   |       | Bit string(16)     | apparent clock correction [12]  |
| $af_0$                         | MP   |       | Bit string(22)     | apparent clock correction [12]  |
| $C_{rs}$                       | MP   |       | Bit string(16)     | Amplitude of the Sine Harmonic Correction Term to the Orbit Radius (meters) [12]            |
| $\Delta n$                     | MP   |       | Bit string(16)     | Mean Motion Difference From Computed Value (semi-circles/sec) [12]                          |
| $M_0$                          | MP   |       | Bit string(32)     | Mean Anomaly at Reference Time (semi-circles) [12]  |
| $C_{uc}$                       | MP   |       | Bit string(16)     | Amplitude of the Cosine Harmonic Correction Term To The Argument Of Latitude (radians) [12] |
| $e$                            | MP   |       | Bit string(32)     | $c$   |
| $C_{us}$                       | MP   |       | Bit string(16)     | Amplitude of the Sine Harmonic Correction Term To The Argument Of Latitude (radians) [12]   |
| $(A)^{1/2}$                    | MP   |       | Bit string(32)     | Semi-Major Axis (meters) <sup>1/2</sup> [12]  |
| $t_{oe}$                       | MP   |       | Bit string(16)     | Reference Time Ephemeris [12]   |
| Fit Interval Flag              | MP   |       | Bit string(1)      | [12]  |
| AODO                           | MP   |       | Bit string(5)      | Age Of Data Offset [12]   |
| $C_{ic}$                       | MP   |       | Bit string(16)     | Amplitude of the Cosine Harmonic Correction Term To The Angle Of Inclination (radians) [12] |
| $\Omega_0$                     | MP   |       | Bit string(32)     | Longitude of Ascending Node of Orbit Plane at Weekly Epoch (semi-circles) [12]              |
| $C_{is}$                       | MP   |       | Bit string(16)     | Amplitude of the Sine Harmonic Correction Term To The Angle Of Inclination (radians) [12]   |
| $i_0$                          | MP   |       | Bit string(32)     | Inclination Angle at Reference Time (semi-circles) [12]                                     |
| $C_{rc}$                       | MP   |       | Bit string(16)     | Amplitude of the Cosine Harmonic Correction Term to the Orbit Radius (meters) [12]          |
| $\omega$                       | MP   |       | Bit string(32)     | Argument of Perigee (semi-circles) [12]   |
| $\Omega_{dot}$                 | MP   |       | Bit string(24)     | Longitude of Ascending Node of Orbit Plane at Weekly Epoch (semi-circles/sec) [12]          |
| $i_{dot}$                      | MP   |       | Bit string(14)     | Rate of Inclination Angle (semi-circles/sec) [12]   |

### 10.3.7.92 UE positioning GPS ionospheric model

The IE contains fields needed to model the propagation delays of the GPS signals through the ionosphere.

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| $\alpha_0$                     | MP   |       | Bit string(8)      | Note 1                |
| $\alpha_1$                     | MP   |       | Bit string(8)      | Note 1                |
| $\alpha_2$                     | MP   |       | Bit string(8)      | Note 1                |
| $\alpha_3$                     | MP   |       | Bit string(8)      | Note 1                |
| $\beta_0$                      | MP   |       | Bit string(8)      | Note 2                |
| $\beta_1$                      | MP   |       | Bit string(8)      | Note 2                |
| $\beta_2$                      | MP   |       | Bit string(8)      | Note 2                |
| $\beta_3$                      | MP   |       | Bit string(8)      | Note 2                |

NOTE 1: The parameters  $\alpha_n$  are the coefficients of a cubic equation representing the amplitude of the vertical delay [12].

NOTE 2: The parameters  $\beta_n$  are the coefficients of a cubic equation representing the period of the ionospheric model [12].

### 10.3.7.93 UE positioning GPS measured results

| Information Element/Group name | Need | Multi         | Type and Reference                   | Semantics description   |
|--------------------------------|------|---------------|--------------------------------------|---|
| CHOICE <i>Reference Time</i>   | MP   |               |                                      |   |
| >UTRAN reference time          |      |               |                                      |   |
| >>UE GPS timing of cell frames | MP   |               | Integer(0..3715891199999)            | GPS Time of Week in units of 1/16 <sup>th</sup> UMTS chips according to [19]. 33209832177664 spare values are needed.                   |
| >>>CHOICE <i>mode</i>          | MP   |               |                                      |   |
| >>>>FDD                        |      |               |                                      |   |
| >>>>Primary CPICH Info         | MP   |               | Primary CPICH Info 10.3.6.60         | Identifies the reference cell for the GPS TOW-SFN relationship.   |
| >>>>TDD                        |      |               |                                      |   |
| >>>>cell parameters id         | MP   |               | Cell parameters id 10.3.6.9          | Identifies the reference cell for the GPS TOW-SFN relationship.   |
| >>>Reference SFN               | MP   |               | Integer(0..4095)                     | The SFN for which the location is valid. This IE indicates the SFN at which the UE timing of cell frames is captured.                   |
| >GPS reference time only       |      |               |                                      |   |
| >>GPS TOW msec                 | MP   |               | Integer(0..6.048*10 <sup>8</sup> -1) | GPS Time of Week in milliseconds (rounded down to the nearest millisecond unit). This time is the GPS TOW measured by the UE.           |
| Measurement Parameters         | MP   | 1 to <maxSat> |                                      |   |
| >Satellite ID                  | MP   |               | Enumerated(0..63)                    | Identifies the satellite and is equal to (SV ID No - 1) where SV ID No is defined in [12].  |
| >C/N <sub>0</sub>              | MP   |               | Integer(0..63)                       | the estimate of the carrier-to-noise ratio of the received signal from the particular satellite used in the measurement. It is given in |

| Information Element/Group name | Need | Multi | Type and Reference                        | Semantics description   |
|--------------------------------|------|-------|---|---|
|                                |      |       |   | units of dB-Hz (typical levels will be in the range of 20 – 50 dB-Hz).  |
| >Doppler                       | MP   |       | Integer(-32768..32768)                    | Hz, scale factor 0.2.   |
| >Whole GPS Chips               | MP   |       | Integer(0..1022)                          | Unit in GPS chips. Whole value of the UE GPS code-phase measurement, where increasing binary values of the field signify increasing measured pseudoranges. The UE GPS code-phase measurement is divided into the fields "Whole GPS Chips" and "Fractional GPS Chips". |
| >Fractional GPS Chips          | MP   |       | Integer(0..(2 <sup>10</sup> -1))          | Scale factor 2 <sup>-10</sup><br>Fractional value of the UE GPS code-phase measurement.   |
| >Multipath Indicator           | MP   |       | Enumerated(NM, low, medium, high)         | Note 1.   |
| >Pseudorange RMS Error         | MP   |       | Enumerated(range index 0..range index 63) | Note 2.   |

NOTE 1: The following table gives the mapping of the multipath indicator field.

| Value  | Multipath Indication |
|--------|----------------------|
| NM     | Not measured         |
| Low    | MP error < 5m        |
| Medium | 5m < MP error < 43m  |
| High   | MP error > 43m       |

NOTE 2: The following table gives the bitmapping of the Pseudorange RMS Error field.

| Range Index | Mantissa | Exponent | Floating-Point value, $x_i$ | Pseudorange value, P   |
|-------------|----------|----------|-----------------------------|------------------------|
| 0           | 000      | 000      | 0.5                         | $P < 0.5$              |
| 1           | 001      | 000      | 0.5625                      | $0.5 \leq P < 0.5625$  |
| I           | X        | Y        | $0.5 * (1 + x/8) * 2^y$     | $x_{i-1} \leq P < x_i$ |
| 62          | 110      | 111      | 112                         | $104 \leq P < 112$     |
| 63          | 111      | 111      | --                          | $112 \leq P$           |

### 10.3.7.94 UE positioning GPS navigation model

This IE contain information required to manage the transfer of precise navigation data to the GPS-capable UE.

| Information Element/Group name | Need | Multi         | Type and Reference | Semantics description   |
|--------------------------------|------|---------------|--------------------|---|
| Satellite information          | MP   | 1 to <maxSat> |                    |   |
| >SatID                         | MP   |               | Enumerated(0..63)  | Identifies the satellite and is equal to (SV ID No - 1) where |

| Information Element/Group name                 | Need | Multi | Type and Reference                    | Semantics description                |
|--|------|-------|---------------------------------------|--------------------------------------|
| >Satellite Status                              | MP   |       | Enumerated(NS_NN, ES_SN, ES_NN, REVD) | SV ID No is defined in [12].<br>NOTE |
| >GPS Ephemeris and Clock Correction parameters | CV-  |       | UE                                    |                                      |

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
|                                |      |       |                    |                       |

NOTE: The UE shall interpret enumerated symbols as follows.

| Value | Indication                                |
|-------|---|
| NS_NN | New satellite, new Navigation Model       |
| ES_SN | Existing satellite, same Navigation Model |
| ES_NN | Existing satellite, new Navigation Model  |
| REVD  | Reserved                                  |

| Condition               | Explanation   |
|-------------------------|---|
| <i>Satellite status</i> | The IE is not needed if the IE "Satellite status" is ES_SN and mandatory present otherwise. |

### 10.3.7.95 UE positioning GPS real-time integrity

This IE contains parameters that describe the real-time status of the GPS constellation.

| Information Element/Group name | Need | Multi         | Type and Reference | Semantics description  |
|--------------------------------|------|---------------|--------------------|--|
| Satellite information          | MP   | 1 to <maxSat> |                    |  |
| >BadSatID                      | MP   |               | Enumerated(0..63)  | Identifies the satellite and is equal to (SV ID No - 1) where SV ID No is defined in [12]. |

#### 10.3.7.95a Void

### 10.3.7.96 UE positioning GPS reference time

| Information Element/Group name   | Need | Multi | Type and Reference                   | Semantics description  |
|----------------------------------|------|-------|--------------------------------------|--|
| GPS Week                         | MP   |       | Integer(0..1023)                     |  |
| GPS TOW msec                     | MP   |       | Integer(0..6.048*10 <sup>8</sup> -1) | GPS Time of Week in milliseconds (rounded down to the nearest millisecond unit). |
| UTRAN GPS reference time         | OP   |       |                                      |  |
| >UTRAN GPS timing of cell frames | MP   |       | Integer(0..232243199999)             | UTRAN GPS timing of cell frames in steps of 1 chip                               |
| >CHOICE mode                     | OP   |       |                                      |  |
| >>FDD                            |      |       |                                      |  |
| >>>Primary CPICH Info            | MP   |       | Primary CPICH Info 10.3.6.60         | Identifies the reference cell for the GPS TOW-SFN relationship                   |
| >>TDD                            |      |       |                                      |  |
| >>>cell parameters id            | MP   |       | Cell parameters                      | Identifies the reference cell for the GPS TOW-SFN                                |

| Information Element/Group name    | Need | Multi         | Type and Reference   | Semantics description  |
|-----------------------------------|------|---------------|--|--|
|                                   |      |               | id 10.3.6.9  | relationship   |
| >SFN                              | MP   |               | Integer(0..4095)   | The SFN which the UTRAN GPS timing of cell frames time stamps.   |
| SFN-TOW Uncertainty               | OP   |               | Enumerated   | This field indicates the uncertainty of the relation GPS TOW/SFN. less than 10 means the relation is accurate to at least 10 ms. |
| T <sub>UTRAN-GPS</sub> drift rate | OP   |               | Integer (0, 1, 2, 5, 10, 15, 25, 50, -1, -2, -5, -10, -15, -25, -50) | in 1/256 chips per sec.  |
| GPS TOW Assist                    | OP   | 1 to <maxSat> |  |  |
| >SatID                            | MP   |               | Enumerated(0..63)  | Identifies the satellite and is equal to (SV ID No - 1) where SV ID No is defined in [12].                                       |
| >TLM Message                      | MP   |               | Bit string(14)   |  |
| >TLM Reserved                     | MP   |               | Bit string(2)  |  |
| >Alert                            | MP   |               | Boolean  |  |
| >Anti-Spoof                       | MP   |               | Boolean  |  |

### 10.3.7.97 UE positioning GPS UTC model

The UTC Model field contains a set of parameters needed to relate GPS time to Universal Time Coordinate (UTC).

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| A <sub>1</sub>                 | MP   |       | Bit string(24)     | sec/sec [12]          |
| A <sub>0</sub>                 | MP   |       | Bit string(32)     | seconds [12]          |
| t <sub>ot</sub>                | MP   |       | Bit string(8)      | seconds [12]          |
| WN <sub>t</sub>                | MP   |       | Bit string(8)      | weeks [12]            |
| Δt <sub>LS</sub>               | MP   |       | Bit string(8)      | seconds [12]          |
| WN <sub>LSF</sub>              | MP   |       | Bit string(8)      | weeks [12]            |
| DN                             | MP   |       | Bit string(8)      | days [12]             |
| Δt <sub>LSF</sub>              | MP   |       | Bit string(8)      | seconds [12]          |

## 10.3.7.98 UE positioning IPDL parameters

This IE contains parameters for the IPDL mode. The use of this parameters is described in [29].

| Information Element/Group name | Need       | Multi | Type and Reference             | Semantics description | Version |
|--------------------------------|------------|-------|--------------------------------|-----------------------|---------|
| CHOICE <i>mode</i>             |            |       |                                |                       | REL-4   |
| >FDD                           |            |       |                                |                       | REL-4   |
| >>IP spacing                   | MP         |       | Integer(5,7,10,15,20,30,40,50) | See [29]              |         |
| >>IP length                    | MP         |       | Integer(5,10)                  | See [29]              |         |
| >>IP offset                    | MP         |       | Integer(0..9)                  | See [29]              |         |
| >>Seed                         | MP         |       | Integer(0..63)                 | See [29]              |         |
| >TDD                           |            |       |                                |                       | REL-4   |
| >>IP spacing                   | MP         |       | Integer(30,40,50,70,100)       | See [33]              | REL-4   |
| >>IP_Start                     | MP         |       | Integer(0..4095)               | See [33]              | REL-4   |
| >>IP_Slot                      | MP         |       | Integer(0..14)                 | See [33]              | REL-4   |
| >>IP_PCCPCH                    | CV-channel |       | Boolean                        | See [33]              | REL-4   |
| Burst mode parameters          | OP         |       |                                |                       |         |
| >Burst Start                   | MP         |       | Integer(0..15)                 | See [29] and [33]     |         |
| >Burst Length                  | MP         |       | Integer(10..25)                | See [29] and [33]     |         |
| >Burst freq                    | MP         |       | Integer(1..16)                 | See [29] and [33]     |         |

| Condition      | Explanation   |
|----------------|---|
| <i>channel</i> | This IE is present only if the idle slot carries the PCCPCH |

## 10.3.7.99 UE positioning measured results

| Information Element/Group name        | Need | Multi | Type and reference                               | Semantics description                     |
|---------------------------------------|------|-------|--|---|
| UE positioning OTDOA measured results | OP   |       | UE positioning OTDOA measured results 10.3.7.105 |   |
| UE positioning Position estimate info | OP   |       | UE positioning Position estimate info 10.3.7.109 |   |
| UE positioning GPS measured results   | OP   |       | UE positioning GPS measured results 10.3.7.93    |   |
| UE positioning error                  | OP   |       | UE positioning error 10.3.7.87                   | Included if UE positioning error occurred |



## 10.3.7.100 UE positioning measurement

| Information Element/Group name                       | Need | Multi | Type and reference   | Semantics description |
|--|------|-------|--|-----------------------|
| UE positioning reporting quantity                    | MP   |       | UE positioning reporting quantity<br>10.3.7.111                    |                       |
| Measurement validity                                 | OP   |       | Measurement validity<br>10.3.7.51                                  |                       |
| <i>CHOICE reporting criteria</i>                     | MP   |       |  |                       |
| >UE positioning reporting criteria                   |      |       | UE positioning reporting criteria<br>10.3.7.110                    |                       |
| >Periodical reporting criteria                       |      |       | Periodical reporting criteria<br>10.3.7.53                         |                       |
| >No reporting  |      |       |  | (no data)             |
| UE positioning OTDOA assistance data for UE-assisted | OP   |       | UE positioning OTDOA assistance data for UE-assisted<br>10.3.7.103 |                       |
| UE positioning OTDOA assistance data for UE-based    | OP   |       | UE positioning OTDOA assistance data for UE-based<br>10.3.7.103a   |                       |
| UE positioning GPS assistance data                   | OP   |       | UE positioning GPS assistance data<br>10.3.7.90                    |                       |

## 10.3.7.101 UE positioning measurement event results

This IE contains the measurement event results that are reported to UTRAN for UE positioning measurements.

| Information Element/Group name          | Need | Multi | Type and Reference                               | Semantics description      |
|---|------|-------|--|----------------------------|
| CHOICE <i>Event ID</i>                  | MP   |       |  | One spare value is needed. |
| >7a                                     |      |       |  |                            |
| >>UE positioning Position estimate info | MP   |       | UE positioning Position estimate info 10.3.7.109 |                            |
| >7b                                     |      |       |  |                            |
| >>UE positioning OTDOA measured results | MP   |       | UE positioning OTDOA measured results 10.3.7.105 |                            |
| >7c                                     |      |       |  |                            |
| >>UE positioning GPS measurement        | MP   |       | UE positioning GPS measured results 10.3.7.93    |                            |

## 10.3.7.102 Void

## 10.3.7.103 UE positioning OTDOA assistance data for UE-assisted

| Information Element/Group name                            | Need | Multi | Type and Reference                                  | Semantics description |
|---|------|-------|---|-----------------------|
| UE positioning OTDOA reference cell info for UE-assisted  | OP   |       | UE positioning OTDOA reference cell info 10.3.7.108 |                       |
| UE positioning OTDOA neighbour cell list for UE-assisted  | OP   | 1 to  |   |                       |
| >UE positioning OTDOA neighbour cell info for UE-assisted | MP   |       | UE positioning OTDOA neighbour cell info 10.3.7.106 |                       |

## 10.3.7.103a UE positioning OTDOA assistance data for UE-based

| Information Element/Group name                         | Need | Multi | Type and Reference  | Semantics description |
|--|------|-------|---|-----------------------|
| UE positioning OTDOA reference cell info for UE-based  | OP   |       | UE positioning OTDOA reference cell info for UE-based 10.3.7.108a |                       |
| UE positioning OTDOA neighbour cell list for UE-based  | OP   | 1 to  |   |                       |
| >UE positioning OTDOA neighbour cell info for UE-based | MP   |       | UE positioning OTDOA neighbour cell info for UE-based 10.3.7.106a |                       |

## 10.3.7.104 Void

## 10.3.7.105 UE positioning OTDOA measured results

| Information Element/Group name          | Need | Multi | Type and Reference                        | Semantics description   |
|---|------|-------|---|---|
| SFN                                     | MP   |       | Integer(0..4095)                          | SFN during which the last measurement was performed                                 |
| CHOICE <i>mode</i>                      |      |       |   |   |
| >FDD                                    |      |       |   |   |
| >>Reference cell id                     | MP   |       | Primary CPICH info 10.3.6.60              |   |
| >>>UE Rx-Tx time difference type 2 info | MP   |       |   |   |
| >>>>UE Rx-Tx time difference type 2     | MP   |       | UE Rx-Tx time difference type 2 10.3.7.84 |   |
| >>>>UE positioning OTDOA quality        | MP   |       | UE positioning OTDOA quality 10.3.7.107   | Quality of the UE Rx-Tx time difference type 2 measurement from the reference cell. |
| >TDD                                    |      |       |   | (no data)   |
| >>Reference cell id                     | MP   |       | Cell parameters                           |   |

| Information Element/Group name           | Need | Multi              | Type and Reference                         | Semantics description   |
|--|------|--------------------|--|---|
|  |      |                    | ID 10.3.6.9                                |   |
| Neighbours                               | MP   | 0 to <maxCellMeas> |  |   |
| >CHOICE mode                             | MP   |                    |  |   |
| >>FDD                                    |      |                    |  |   |
| >>>Neighbour Identity                    | MD   |                    | Primary CPICH info 10.3.6.60               | Default value is the same as in the first set of multiple sets.                             |
| >>>Frequency info                        | MD   |                    | Frequency info 10.3.6.36                   | Default value is the existing value of frequency information                                |
| >>>UE Rx-Tx time difference type 2 info  | OP   |                    |  | Included for cell in the active set excluding the reference cell.                           |
| >>>>UE Rx-Tx time difference type 2      | MP   |                    | UE Rx-Tx time difference type 2 10.3.7.84  |   |
| >>>>UE positioning OTDOA quality         | MP   |                    | UE positioning OTDOA quality 10.3.7.107    | Quality of the UE Rx-Tx time difference type 2 measurement from the neighbour cell.         |
| >>TDD                                    |      |                    |  |   |
| >>>Cell and Channel ID                   | MD   |                    | Cell and Channel Identity info 10.3.6.8a   | Default value is the same as in the first set of multiple sets.                             |
| >UE positioning OTDOA quality            | MP   |                    | UE positioning OTDOA quality 10.3.7.107    | Quality of the SFN-SFN observed time difference type 2 measurement from the neighbour cell. |
| >SFN-SFN observed time difference type 2 | MP   |                    | SFN-SFN observed time difference 10.3.7.63 | Gives the timing relative to the reference cell. Only type 2 is allowed.                    |

### 10.3.7.106 UE positioning OTDOA neighbour cell info

This IE gives approximate cell timing in order to decrease the search window.

| Information Element/Group name | Need | Multi | Type and Reference                       | Semantics description  |
|--------------------------------|------|-------|--|--|
| CHOICE mode                    | MP   |       |  |  |
| >FDD                           |      |       |  |  |
| >>Primary CPICH info           | MP   |       | Primary CPICH info 10.3.6.60             |  |
| >TDD                           |      |       |  |  |
| >>cell and channel ID          | MP   |       | Cell and Channel Identity info 10.3.6.8a | Identifies the channel to be measured on.                    |
| Frequency info                 | MD   |       | Frequency info 10.3.6.36                 | Default value is the existing value of frequency information |

| Information Element/Group name   | Need     | Multi | Type and Reference  | Semantics description   |
|----------------------------------|----------|-------|---|---|
| IPDL parameters                  | CV-IPDLs |       | UE positioning IPDL parameters 10.3.7.98  |   |
| SFN offset                       | MP       |       | Integer (0 .. 4095)   | Although this IE is not always required, need is MP to align with ASN.1.<br>Define Tref as the time of beginning of system frame number SFNref of the reference cell. Define Tnc as the beginning of a frame from the neighbour cell occurring immediately after the time Tref. Let the corresponding system frame number be SFNnc. Then SFNnc = SFNref-SFN offset modulo 4096. |
| SFN offset validity              | MD       |       | Enumerated (false)  | Absence of this element means SFN offset is valid. False means SFN offset is not valid.   |
| SFN-SFN relative time difference | MP       |       | Integer(0..38399)   | Gives the relative timing compared to the reference cell. Equal to $\lfloor (Tnc - Tref) * (3.84 * 10^6) \rfloor$ where $\lfloor \cdot \rfloor$ denotes rounding to the nearest lower integer.<br>In chips, Tnc = the time of beginning of a system frame from the neighbour cell, Tref = the time of beginning of a system frame from the reference cell.                      |
| SFN-SFN drift                    | OP       |       | Integer (0, -1, -2, -3, -4, -5, -8, -10, -15, -25, -35, -50, -65, -80, -100, 1, 2, 3, 4, 5, 8, 10, 15, 25, 35, 50, 65, 80, 100) | in 1/256 chips per second   |
| Search Window Size               | MP       |       | Integer(20, 40, 80, 160, 320, 640, 1280, infinity)  | In chips. If the value is X then the expected SFN-SFN observed time difference is in the range [RTD-X, RTD+X] where RTD is the value of the field SFN-SFN relative time difference.<br>Infinity means that the uncertainty is larger than 1280 chips.   |
| CHOICE <i>PositioningMode</i>    | MP       |       |   |   |
| >UE based                        |          |       |   | (no data)   |
| >UE assisted                     |          |       |   | (no data)   |

| Condition | Explanation   |
|-----------|---|
| IPDLs     | This IE is mandatory present if IPDLs are applied and not needed otherwise. |

## 10.3.7.106a UE positioning OTDOA neighbour cell info for UE-based

This IE gives approximate cell timing in order to decrease the search window, as well as the cell locations and fine cell timing for UE based OTDOA.

| Information Element/Group name                  | Need | Multi | Type and Reference                                  | Semantics description  |
|---|------|-------|---|--|
| UE positioning OTDOA neighbour cell info        | MP   |       | UE positioning OTDOA neighbour cell info 10.3.7.106 |  |
| Cell Position                                   | MD   |       |   | Default is the same as previous cell   |
| >Relative North                                 | OP   |       | Integer(-20000..20000)                              | Seconds of angle, scale factor 0.03. Relative position compared to reference cell. |
| >Relative East                                  | OP   |       | Integer(-20000..20000)                              | Seconds of angle, scale factor 0.03. Relative position compared to reference cell. |
| >Relative Altitude                              | OP   |       | Integer(-4000..4000)                                | Relative altitude in meters compared to ref. cell.                                 |
| Fine SFN-SFN                                    | MP   |       | Real(0..0.9375 in steps of 0.0625)                  | Gives finer resolution   |
| UE positioning Relative Time Difference Quality | MP   |       | UE positioning OTDOA quality 10.3.7.109a            | Quality of the relative time difference between neighbour and reference cell.      |
| Round Trip Time                                 | OP   |       | Real(876.00 .. 2923.875) in steps of 0.0625         | In chips. Included if cell is in active set.                                       |

## 10.3.7.107 UE positioning OTDOA quality

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| Std Resolution                 | MP   |       | Bit string(2)      | Std Resolution field includes the resolution used in Std of OTDOA Measurements field. Encoding on two bits as follows:<br>'00' 10 meters<br>'01' 20 meters<br>'10' 30 meters<br>'11' Reserved  |
| Number of OTDOA Measurements   | MP   |       | Bit string(3)      | The 'Number of OTDOA measurements' field indicates how many OTDOA measurements have been used in the UE to determine the sample standard deviation of the measurements. Following 3 bit encoding is used:<br>'001' 5-9<br>'010' 10-14<br>'011' 15-24<br>'100' 25-34<br>'101' 35-44<br>'110' 45-54<br>'111' 55 or more<br>Special case: |

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
|                                |      |       |                    | '000':In this case the field 'Std of OTDOA measurements' contains the std of the reported SFN-SFN otd value = $\sqrt{E[(x-\mu)^2]}$ , where x is the reported value and $\mu = E[x]$ is the expectation value (i.e. the true value) of x. This std can be used irrespective of the number of measurements and reporting of the number of measurements is not needed. Also other measurements such as Ec/No or Rx levels can be utilised in this case to evaluate the 'Std of OTDOA measurements' reported in this IE.   |
| Std of OTDOA Measurements      | MP   |       | Bit string(5)      | Std of OTDOA Measurements field includes sample standard deviation of OTDOA measurements (when number of measurements is reported in 'Number of OTDOA measurements field') or standard deviation of the reported SFN-SFN otd value = $\sqrt{E[(x-\mu)^2]}$ , where x is the reported value and $\mu = E[x]$ is the expectation value (i.e. the true value) of x (when '000' is given in 'Number of OTDOA measurements' field). Following linear 5 bit encoding is used:<br>'00000' 0 - (R*1-1) meters<br>'00001' R*1 - (R*2-1) meters<br>'00010' R*2 - (R*3-1) meters<br>...<br>'11111' R*31 meters or more where R is the resolution defined by Std Resolution field. E.g. R=20 m corresponds to 0-19 m, 20-39 m,...,620+ m. |

### 10.3.7.108 UE positioning OTDOA reference cell info

This IE defines the cell used for time references in all OTDOA measurements.

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| SFN                            | OP   |       | Integer (0..4095)  | Time stamp (SFN of Reference Cell) of the SFN-SFN relative time differences and SFN-SFN drift rates. Included if any SFN-SFN drift value is included in IE UE positioning OTDOA neighbour cell info. |
| CHOICE mode                    | MP   |       |                    |  |
| >FDD                           |      |       |                    |  |
| >>Primary CPICH info           | MP   |       | Primary CPICH info |  |

| Information Element/Group name | Need | Multi | Type and Reference                          | Semantics description  |
|--------------------------------|------|-------|---|--|
|                                |      |       | 10.3.6.60                                   |  |
| >TDD                           |      |       |   |  |
| >>cell and channel ID          | MP   |       | Cell and Channel Identity info<br>10.3.6.8a | Identifies the channel to be measured on.  |
| Frequency info                 | MD   |       | Frequency info<br>10.3.6.36                 | Default value is the existing value of frequency information. This IE shall always be set to default value |
| CHOICE <i>PositioningMode</i>  | MP   |       |   |  |
| >UE based                      |      |       |   |  |
| >UE assisted                   |      |       |   | (no data)  |
| IPDL parameters                | OP   |       | UE positioning IPDL parameters<br>10.3.7.98 | If this element is not included there are no idle periods present  |

### 10.3.7.108a UE positioning OTDOA reference cell info for UE-based

This IE defines the cell used for time references in all OTDOA measurements for UE-based methods.

| Information Element/Group name           | Need | Multi | Type and Reference                                     | Semantics description  |
|--|------|-------|--|--|
| UE positioning OTDOA reference cell info | MP   |       | UE positioning OTDOA reference cell info<br>10.3.7.108 |  |
| CHOICE <i>Cell Position</i>              | OP   |       |  | The position of the antenna that defines the cell. Used for the UE based method. |
| >Ellipsoid                               |      |       |  |  |
| >>Ellipsoid point                        | MP   |       | Ellipsoid point<br>10.3.8.4a                           |  |
| >Ellipsoid with altitude                 |      |       |  |  |
| >>Ellipsoid point with altitude          | MP   |       | Ellipsoid point with altitude<br>10.3.8.4b             |  |
| Round Trip Time                          | OP   |       | Real(876.00 .. 2923.875) in steps of 0.0625            | In chips.  |

### 10.3.7.109 UE positioning position estimate info

The purpose of this IE is to provide the position estimate from the UE to the network, if the UE is capable of determining its own position.

| Information Element/Group name | Need | Multi | Type and Reference           | Semantics description   |
|--------------------------------|------|-------|------------------------------|---|
| CHOICE <i>Reference Time</i>   | MP   |       |                              |   |
| >UTRAN GPS reference time      |      |       |                              |   |
| >>UE GPS timing of cell frames | MP   |       | Integer(0.. 3715891199 9999) | GPS Time of Week in units of 1/16 <sup>th</sup> UMTS chips according to [19]. 33209832177664 spare values |



| Information Element/Group name                           | Need | Multi | Type and Reference   | Semantics description  |
|--|------|-------|--|--|
|  |      |       |  | are needed.  |
| >>CHOICE <i>mode</i>                                     | MP   |       |  |  |
| >>>FDD   |      |       |  |  |
| >>>>Primary CPICH Info                                   | MP   |       | Primary CPICH Info<br>10.3.6.60                                      | Identifies the reference cell for the GPS TOW-SFN relationship   |
| >>>TDD   |      |       |  |  |
| >>cell parameters id                                     | MP   |       | Cell parameters id<br>10.3.6.9                                       | Identifies the reference cell for the GPS TOW-SFN relationship.  |
| >>Reference SFN  | MP   |       | Integer(0..4095)   | The SFN for which the location is valid. This IE indicates the SFN at which the UE timing of cell frame is captured. |
| >GPS reference time only                                 |      |       |  |  |
| >>GPS TOW msec   | MP   |       | Integer(0..6.048*10 <sup>8</sup> -1)                                 | GPS Time of Week in milliseconds (rounded down to the nearest millisecond unit).                                     |
| >Cell timing   |      |       |  |  |
| >>SFN  | MP   |       | Integer(0..4095)   | SFN during which the position was calculated.  |
| >>CHOICE <i>mode</i>                                     | MP   |       |  |  |
| >>>FDD   |      |       |  |  |
| >>>>Primary CPICH Info                                   | MP   |       | Primary CPICH Info<br>10.3.6.60                                      | Identifies the reference cell for SFN  |
| >>>TDD   |      |       |  |  |
| >>cell parameters id                                     | MP   |       | Cell parameters id<br>10.3.6.9                                       | Identifies reference cell for SFN  |
| CHOICE <i>Position estimate</i>                          | MP   |       |  |  |
| >Ellipsoid Point   |      |       | Ellipsoid Point;<br>10.3.8.4a  |  |
| >Ellipsoid point with uncertainty circle                 |      |       | Ellipsoid point with uncertainty circle<br>10.3.8.4d                 |  |
| >Ellipsoid point with uncertainty ellipse                |      |       | Ellipsoid point with uncertainty ellipse<br>10.3.8.4e                |  |
| >Ellipsoid point with altitude                           |      |       | Ellipsoid point with altitude<br>10.3.8.4b                           |  |
| >Ellipsoid point with altitude and uncertainty ellipsoid |      |       | Ellipsoid point with altitude and uncertainty ellipsoid<br>10.3.8.4c |  |

## 10.3.7.109a UE positioning Relative Time Difference quality

| Information Element/Group name          | Need | Multi | Type and Reference | Semantics description   |
|---|------|-------|--------------------|---|
| Relative Time Difference Std Resolution | MP   |       | Bit string(2)      | Std Resolution field includes the resolution used in Std of Relative Time Difference field. Encoding on two bits as follows:<br>'00' 10 meters<br>'01' 20 meters<br>'10' 30 meters<br>'11' Reserved   |
| Std of Relative Time Difference         | MP   |       | Bit string(5)      | Std of Relative Time difference field includes standard deviation of (SFN-SFN relative time difference + Fine SFN-SFN). Following linear 5 bit encoding is used:<br>'00000' 0 - (R*1-1) meters<br>'00001' R*1 - (R*2-1) meters<br>'00010' R*2 - (R*3-1) meters<br>...<br>'11111' R*31 meters or more<br>where R is the resolution defined by Std Resolution field. E.g. R=20 m corresponds to 0-19 m, 20-39 m,...,620+ m. |

## 10.3.7.110 UE positioning reporting criteria

The triggering of the event-triggered reporting for a UE positioning measurement.

| Information Element/Group name     | Need | Multi                | Type and Reference  | Semantics description   |
|------------------------------------|------|----------------------|---|---|
| Parameters required for each event | OP   | 1 to <maxMeas Event> |   |   |
| >Amount of reporting               | MP   |                      | Integer(1, 2, 4, 8, 16, 32, 64,infinite)  |   |
| >Report first fix                  | MP   |                      | Boolean   | If true the UE reports the position once the measurement control is received, and then each time an event is triggered.     |
| >Measurement interval              | MP   |                      | Integer(5,15, 60,300,900,1 800,3600,72 00)  | Indicates how often the UE should make the measurement In seconds   |
| >CHOICE <i>Event ID</i>            | MP   |                      |   |   |
| >>7a                               |      |                      |   |   |
| >>>Threshold Position Change       | MP   |                      | Integer(10,2 0,30,40,50,1 00,200,300,5 00,1000,200 0,5000,1000 0,20000,500 00,100000) | Meters. Indicated how much the position should change compared to last reported position fix in order to trigger the event. |
| >>7b                               |      |                      |   |   |
| >>>Threshold SFN-SFN change        | MP   |                      | Real(0.25,0. 5,1,2,3,4,5,1 0,20,50,100, 200,500,100 0,2000,5000 )                     | Chips. Indicates how much the SFN-SFN measurement of ANY measured cell is allowed to change before the event is triggered.  |
| >>7c                               |      |                      |   |   |
| >>>Threshold SFN-GPS TOW           | MP   |                      | Integer(1,2,3 ,5,10,20,50,1 00)   | Time in ms. When the GPS TOW and SFN timer has drifted apart more than the specified value the event is triggered.          |

### 10.3.7.111 UE positioning reporting quantity

The purpose of the element is to express the allowed/required location method(s), and to provide information desired QoS.

| Information Element/Group name | Need | Multi | Type and Reference   | Semantics description     |
|--------------------------------|------|-------|--|---------------------------|
| Method Type                    | MP   |       | Enumerated( UE assisted, UE based, UE based is preferred but UE assisted is allowed, UE assisted is preferred but UE based is allowed) |                           |
| Positioning Methods            | MP   |       | Enumerated( OTDOA, GPS, OTDOA or GPS, Cell ID)   |                           |
| Response Time                  | MP   |       | Integer(1,2,4  | This IE shall be ignored. |

| Information Element/Group name     | Need              | Multi | Type and Reference   | Semantics description  |
|------------------------------------|-------------------|-------|--|--|
|                                    |                   |       | , 8, 16, 32, 64, 128)  |  |
| Horizontal Accuracy                | CV-<br>MethodType |       | Bit string(7)  | The uncertainty is derived from the "uncertainty code" k by $r = 10*(1.1^k-1)$ in meters.  |
| Vertical Accuracy                  | CV-<br>MethodType |       | Bit string(7)  | The uncertainty is derived from the "uncertainty code" k by $r = 45*(1.025^k-1)$ in meters.  |
| GPS timing of Cell wanted          | MP                |       | Boolean  | If true the SRNC wants the UE to report the SFN-GPS timing of the reference cell. This is however optional in the UE.  |
| Multiple Sets                      | MP                |       | Boolean  | This IE shall be ignored.  |
| Additional Assistance Data Request | MP                |       | Boolean  | TRUE indicates that the UE is requested to send the IE "Additional assistance Data Request" when the IE "UE positioning Error" is present in the UE positioning measured results. FALSE indicates that the UE shall use the assistance data available. |
| Environment Characterisation       | OP                |       | Enumerated(possibly heavy multipath and NLOS conditions, no or light multipath and usually LOS conditions, not defined or mixed environment) | One spare value is needed.   |

| Condition          | Explanation   |
|--------------------|---|
| <i>Method Type</i> | The IE is optional if the IE "Method Type" is "UE assisted"; otherwise it is mandatory present. |

### 10.3.7.112 $T_{ADV}$ info

NOTE: Only for 1.28 Mcps TDD.

$T_{ADV}$  indicates the difference between the Rx timing and Tx timing of a UE.

| Information Element/group name | Need | Multi | Type and          | Semantics           | Version |
|--------------------------------|------|-------|-------------------|---------------------|---------|
| $T_{ADV}$                      | MP   |       | Integer (0..2047) | As defined in [20]. | REL-4   |

| Information Element/group name | Need | Multi | Type and         | Semantics  | Version |
|--------------------------------|------|-------|------------------|--|---------|
| SFN                            | MP   |       | Integer(0..4095) | SFN during which the T <sub>ADV</sub> measurement was performed. | REL-4   |

## 10.3.8 Other Information elements

### 10.3.8.1 BCCH modification info

Indicates modification of the System Information on BCCH.

| Information Element/Group name | Need | Multi | Type and reference             | Semantics description                                  |
|--------------------------------|------|-------|--------------------------------|--|
| MIB Value tag                  | MP   |       | MIB Value tag 10.3.8.9         |  |
| BCCH modification time         | OP   |       | Integer (0..4088 in step of 8) | All SFN values in which MIB may be mapped are allowed. |

### 10.3.8.2 BSIC

| Information Element/Group name                | Need | Multi | Type and reference | Semantics description  |
|---|------|-------|--------------------|--|
| Base transceiver Station Identity Code (BSIC) | MP   |       |                    | [11]   |
| >Network Colour Code (NCC)                    | MP   |       | bit string(3)      | The first/leftmost bit of the bit string contains the most significant bit of the NCC. |
| >Base Station Colour Code (BCC)               | MP   |       | bit string(3)      | The first/leftmost bit of the bit string contains the most significant bit of the BCC. |

### 10.3.8.3 CBS DRX Level 1 information

This information element contains the CBS discontinuous reception information to be broadcast for CBS DRX Level 1 calculations in the UE.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description                                  |
|--------------------------------|------|-------|--------------------|--|
| Period of CTCH allocation (N)  | MP   |       | Integer (1..256)   | $M_{TTI} \leq N \leq 256$ ,<br>N multiple of $M_{TTI}$ |
| CBS frame offset (K)           | MP   |       | Integer (0..255)   | $0 \leq K \leq N-1$ ,<br>K multiple of $M_{TTI}$       |

## 10.3.8.4 Cell Value tag

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Cell Value tag                 | MP   |       | Integer (1..4)     |                       |

## 10.3.8.4a Ellipsoid point

This IE contains the description of an ellipsoid point as in [24].

| Information Element/Group name | Need | Multi | Type and Reference                               | Semantics description   |
|--------------------------------|------|-------|--|---|
| Latitude sign                  | MP   |       | Enumerated (North, South)                        |   |
| Degrees Of Latitude            | MP   |       | Integer (0...2 <sup>23</sup> -1)                 | The IE value ( <i>N</i> ) is derived by this formula:<br>$N \leq 2^{23} X / 90 < N+1$<br><i>X</i> being the latitude in degree (0°.. 90°)       |
| Degrees Of Longitude           | MP   |       | Integer (-2 <sup>23</sup> ...2 <sup>23</sup> -1) | The IE value ( <i>N</i> ) is derived by this formula:<br>$N \leq 2^{24} X / 360 < N+1$<br><i>X</i> being the longitude in degree (-180°..+180°) |

## 10.3.8.4b Ellipsoid point with Altitude

This IE contains the description of an ellipsoid point with altitude as in [24].

| Information Element/Group name | Need | Multi | Type and Reference                               | Semantics description   |
|--------------------------------|------|-------|--|---|
| Latitude sign                  | MP   |       | Enumerated (North, South)                        |   |
| Degrees Of Latitude            | MP   |       | Integer (0...2 <sup>23</sup> -1)                 | The IE value ( <i>N</i> ) is derived by this formula:<br>$N \leq 2^{23} X / 90 < N+1$<br><i>X</i> being the latitude in degree (0°.. 90°)       |
| Degrees Of Longitude           | MP   |       | Integer (-2 <sup>23</sup> ...2 <sup>23</sup> -1) | The IE value ( <i>N</i> ) is derived by this formula:<br>$N \leq 2^{24} X / 360 < N+1$<br><i>X</i> being the longitude in degree (-180°..+180°) |
| Altitude Direction             | MP   |       | Enumerated (Height, Depth)                       |   |
| Altitude                       | MP   |       | Integer (0..2 <sup>15</sup> -1)                  | The IE value ( <i>N</i> ) is derived by this formula:<br>$N \leq a < N+1$<br><i>a</i> being the altitude in metres                              |

## 10.3.8.4c Ellipsoid point with Altitude and uncertainty ellipsoid

This IE contains the description of an ellipsoid point with altitude and uncertainty ellipsoid as in [24].

| Information Element/Group name | Need | Multi | Type and Reference                               | Semantics description  |
|--------------------------------|------|-------|--|--|
| Latitude sign                  | MP   |       | Enumerated (North, South)                        |  |
| Degrees Of Latitude            | MP   |       | Integer (0...2 <sup>23</sup> -1)                 | The IE value ( <i>N</i> ) is derived by this formula:<br>$N \leq 2^{23} X / 90 < N+1$<br><i>X</i> being the latitude in degree (0°.. 90°)  |
| Degrees Of Longitude           | MP   |       | Integer (-2 <sup>23</sup> ...2 <sup>23</sup> -1) | The IE value ( <i>N</i> ) is derived by this formula:<br>$N \leq 2^{24} X / 360 < N+1$<br><i>X</i> being the longitude in degree (-180° ..+180°)   |
| Altitude Direction             | MP   |       | Enumerated (Height, Depth)                       |  |
| Altitude                       | MP   |       | Integer (0..2 <sup>15</sup> -1)                  | The IE value ( <i>N</i> ) is derived by this formula:<br>$N \leq a < N+1$<br><i>a</i> being the altitude in metres   |
| Uncertainty semi-major         | MP   |       | Integer (0...127)                                | The uncertainty <i>r</i> is derived from the "uncertainty code" <i>k</i> by<br>$r = 10x(1.1^k - 1)$  |
| Uncertainty semi-minor         | MP   |       | Integer (0...127)                                | The uncertainty <i>r</i> is derived from the "uncertainty code" <i>k</i> by<br>$r = 10x(1.1^k - 1)$  |
| Orientation of major axis      | MP   |       | Integer (0..89)                                  | The IE value ( <i>N</i> ) is derived by this formula:<br>$2N \leq a < 2(N+1)$<br><i>a</i> being the orientation in degree (0°.. 179°)  |
| Uncertainty Altitude           | MP   |       | Integer(0..127)                                  | The uncertainty in altitude, <i>h</i> , expressed in metres is mapped from the IE value ( <i>K</i> ), with the following formula:<br>$h = C \left( (1 + x)^K - 1 \right)$ with <i>C</i> = 45 and <i>x</i> = 0.025. |
| Confidence                     | MP   |       | Integer (0..100)                                 | in percentage  |

### 10.3.8.4d Ellipsoid point with uncertainty Circle

This IE contains the description of an ellipsoid point with an uncertainty circle as in [24].

| Information Element/Group name | Need | Multi | Type and Reference                               | Semantics description   |
|--------------------------------|------|-------|--|---|
| Latitude sign                  | MP   |       | Enumerated (North, South)                        |   |
| Degrees Of Latitude            | MP   |       | Integer (0...2 <sup>23</sup> -1)                 | The IE value (N) is derived by this formula:<br>$N \leq 2^{23} X / 90 < N+1$<br>X being the latitude in degree (0°.. 90°)       |
| Degrees Of Longitude           | MP   |       | Integer (-2 <sup>23</sup> ...2 <sup>23</sup> -1) | The IE value (M) is derived by this formula:<br>$N \leq 2^{24} X / 360 < N+1$<br>X being the longitude in degree (-180°..+180°) |
| Uncertainty Code               | MP   |       | Integer (0...127)                                | The uncertainty r is derived from the "uncertainty code" k by<br>$r = 10x(1.1^k - 1)$   |

### 10.3.8.4e Ellipsoid point with uncertainty Ellipse

This IE contains the description of an ellipsoid point with an uncertainty ellipse as in [24].

| Information Element/Group name | Need | Multi | Type and Reference                               | Semantics description   |
|--------------------------------|------|-------|--|---|
| Latitude sign                  | MP   |       | Enumerated (North, South)                        |   |
| Degrees Of Latitude            | MP   |       | Integer (0...2 <sup>23</sup> -1)                 | The IE value (N) is derived by this formula:<br>$N \leq 2^{23} X / 90 < N+1$<br>X being the latitude in degree (0°.. 90°)       |
| Degrees Of Longitude           | MP   |       | Integer (-2 <sup>23</sup> ...2 <sup>23</sup> -1) | The IE value (N) is derived by this formula:<br>$N \leq 2^{24} X / 360 < N+1$<br>X being the longitude in degree (-180°..+180°) |
| Uncertainty semi-major         | MP   |       | Integer (0...127)                                | The uncertainty r is derived from the "uncertainty code" k by<br>$r = 10x(1.1^k - 1)$   |
| Uncertainty semi-minor         | MP   |       | Integer (0...127)                                | The uncertainty r is derived from the "uncertainty code" k by<br>$r = 10x(1.1^k - 1)$   |
| Orientation of major axis      | MP   |       | Integer (0..89)                                  | The IE value (M) is derived by this formula:<br>$2N \leq a < 2(N+1)$<br>a being the orientation in degree (0°.. 179°)           |
| Confidence                     | MP   |       | Integer (0..100)                                 | in percentage   |



## 10.3.8.5 Inter-RAT change failure

| Information Element/Group name | Need       | Multi | Type and reference   | Semantics description         |
|--------------------------------|------------|-------|--|-------------------------------|
| Inter-RAT change failure cause | MP         |       | Enumerated( Configuration unacceptable, physical channel failure, protocol error, unspecified) | Four spare values are needed. |
| Protocol error information     | CV-ProtErr |       | Protocol error information 10.3.8.12   |                               |

| Condition      | Explanation   |
|----------------|---|
| <i>ProtErr</i> | The IE is mandatory present if the IE "Inter-RAT change failure cause" has the value "Protocol error" and not needed otherwise. |

## 10.3.8.6 Inter-RAT handover failure

| Information Element/Group name   | Need       | Multi | Type and reference   | Semantics description  |
|----------------------------------|------------|-------|--|--|
| Inter-RAT handover failure cause | MD         |       | Enumerated( Configuration unacceptable, physical channel failure, protocol error, inter-RAT protocol error, unspecified) | Default value is "unspecified".<br>Eleven spare values are needed. |
| Protocol error information       | CV-ProtErr |       | Protocol error information 10.3.8.12   |  |

| Condition      | Explanation   |
|----------------|---|
| <i>ProtErr</i> | The IE is mandatory present if the IE "Inter-RAT handover failure cause" has the value "Protocol error" and not needed otherwise. |

## 10.3.8.7 Inter-RAT UE radio access capability

This Information Element contains the inter-RAT UE radio access capability that is structured and coded according to the specification used for the corresponding system type.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description                                      |
|--------------------------------|------|-------|--------------------|--|
| CHOICE <i>system</i>           | MP   |       |                    |  |
| >GSM                           |      |       |                    |  |
| >>Mobile Station Classmark 2   | MP   |       | Octet string (5)   | This IE is formatted as 'TLV' and is coded in the same way |

| Information Element/Group name | Need | Multi                              | Type and reference   | Semantics description  |
|--------------------------------|------|------------------------------------|----------------------|--|
|                                |      |                                    |                      | <p>as the <i>Mobile Station Classmark 2</i> information element in [5]. The first octet is the <i>Mobile station classmark 2 IEI</i> and its value shall be set to 33H. The second octet is the <i>Length of mobile station classmark 2</i> and its value shall be set to 3.</p> <p>The octet 3 contains the first octet of the value part of the <i>Mobile Station Classmark 2</i> information element, the octet 4 contains the second octet of the value part of the <i>Mobile Station Classmark 2</i> information element and so on. For each of these octets, the first/ leftmost/ most significant bit of the octet contains b8 of the corresponding octet of the <i>Mobile Station Classmark 2</i>. In this version of the protocol the first two octets of the <i>Mobile Station Classmark 2 IE</i> containing the <i>Mobile station classmark 2 IEI</i> and the <i>Length of mobile station classmark 2 contents</i> should be ignored by the receiver.</p> |
| >>Mobile Station Classmark 3   | MP   |                                    | Octet string (1..32) | <p>This IE is formatted as 'V' and is coded in the same way as the value part in the <i>Mobile station classmark 3</i> information element in [5]. The first octet contains octet 1 of the value part of <i>Mobile station classmark 3</i>, the second octet contains octet 2 of the value part of <i>Mobile station classmark 3</i> and so on. See NOTE 1.</p>  |
| >cdma2000                      |      |                                    |                      |  |
| >>cdma2000Message              | MP   | 1.to.<maxl<br>nterSysMe<br>ssages> |                      |  |
| >>>MSG_TYPE(s)                 | MP   |                                    | Bit string (8)       | <p>Formatted and coded according to cdma2000 specifications. The first/leftmost/most significant bit of the bit string contains bit 7 of the MSG_TYPE.</p>   |
| >>>cdma2000Messagepayload(s)   | MP   |                                    | Bit string (1..512)  | <p>Formatted and coded according to cdma2000 specifications. The first/leftmost/most significant bit of the bit string contains bit 7 of the first octet of the cdma2000 message.</p>  |

NOTE 1: The value part is specified by means of CSN.1, which encoding results in a bit string, to which final padding may be appended upto the next octet boundary [5]. The first/ leftmost bit of the CSN.1 bit string is placed in the first/ leftmost/ most significant bit of the first octet. This continues until the last bit of the CSN.1 bit string, which is placed in the last/ rightmost/ least significant bit of the last octet.

## 10.3.8.8 Void

## 10.3.8.8a Inter-RAT UE security capability

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| CHOICE <i>system</i>           | MP   |       |                    |   |
| >GSM                           |      |       |                    |   |
| >>GSM security capability      | MP   |       |                    | The value TRUE means that the indicated ciphering algorithm is supported. |
| >>>A5/7 supported              | MP   |       | Boolean            |   |
| >>>A5/6 supported              | MP   |       | Boolean            |   |
| >>>A5/5 supported              | MP   |       | Boolean            |   |
| >>>A5/4 supported              | MP   |       | Boolean            |   |
| >>>A5/3 supported              | MP   |       | Boolean            |   |
| >>>A5/2 supported              | MP   |       | Boolean            |   |
| >>>A5/1 supported              | MP   |       | Boolean            |   |

## 10.3.8.9 MIB Value tag

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| MIB Value tag                  | MP   |       | Integer (1..8)     |                       |

## 10.3.8.10 PLMN Value tag

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| PLMN Value tag                 | MP   |       | Integer (1..256)   |                       |

## 10.3.8.10a PNBSCH allocation

UTRAN may use this IE to provide silent periods in the cell that may be used for cell synchronisation purposes.

| Information Element/Group name       | Need | Multi | Type and reference  | Semantics description | Version |
|--------------------------------------|------|-------|---|-----------------------|---------|
| Number of repetitions per SFN period | MP   |       | Integer(2, 3, 4, 5, 6, 7, 8, 9, 10, 12, 14, 16, 18, 20, 24, 28, 32, 36, 40, 48, 56, 64, 72, 80) |                       | REL-4   |

## 10.3.8.11 Predefined configuration identity and value tag

| Information Element/Group name     | Need | Multi | Type and reference                         | Semantics description |
|------------------------------------|------|-------|--|-----------------------|
| Predefined configuration identity  | MP   |       | Predefined configuration identity 10.3.4.5 |                       |
| Predefined configuration value tag | MP   |       | Predefined configuration                   |                       |

| Information Element/Group name | Need | Multi | Type and reference    | Semantics description |
|--------------------------------|------|-------|-----------------------|-----------------------|
|                                |      |       | value tag<br>10.3.4.6 |                       |

### 10.3.8.12 Protocol error information

This information element contains diagnostics information returned by the receiver of a message that was not completely understood.

| Information Element/Group name | Need | Multi | Type and reference                | Semantics description      |
|--------------------------------|------|-------|-----------------------------------|----------------------------|
| CHOICE <i>diagnostics type</i> | MP   |       |                                   | One spare value is needed. |
| >Protocol error cause          |      |       | Protocol error cause<br>10.3.3.26 |                            |

### 10.3.8.13 References to other system information blocks

| Information element/Group name                | Need | Multi            | Type and reference                   | Semantics description   |
|---|------|------------------|--------------------------------------|---|
| References to other system information blocks | MP   | 1 to<br><maxSIB> |                                      | System information blocks for which multiple occurrences are used, may appear more than once in this list |
| >Scheduling information                       | MP   |                  | Scheduling information,<br>10.3.8.16 |   |
| >SIB type SIBs only                           | MP   |                  | SIB Type SIBs only,<br>10.3.8.22     |   |

### 10.3.8.14 References to other system information blocks and scheduling blocks

| Information element/Group name                | Need | Multi            | Type and reference                   | Semantics description   |
|---|------|------------------|--------------------------------------|---|
| References to other system information blocks | MP   | 1 to<br><maxSIB> |                                      | System information blocks for which multiple occurrences are used, may appear more than once in this list |
| >Scheduling information                       | MP   |                  | Scheduling information,<br>10.3.8.16 |   |
| >SIB and SB type                              | MP   |                  | SIB and SB Type,<br>10.3.8.18a       |   |

### 10.3.8.15 Rplmn information

Contains information to provide faster RPLMN selection in the UE.

| Information Element/Group name | Need | Multi                               | Type and reference    | Semantics description                       | Version |
|--------------------------------|------|-------------------------------------|-----------------------|---|---------|
| GSM BA Range                   | OP   | 1 to<br>maxNumG<br>SMFreqRan<br>ges |                       | GSM BA Range                                |         |
| >GSM Lower Range (UARFCN)      | MP   |                                     | Integer(0..16<br>383) | Lower bound for<br>range of GSM BA<br>freqs |         |

| Information Element/Group name    | Need | Multi                    | Type and reference   | Semantics description  | Version |
|-----------------------------------|------|--------------------------|----------------------|--|---------|
| >GSM Upper Range (UARFCN)         | MP   |                          | Integer(0..16383)    | Upper bound for range of GSM BA freqs  |         |
| FDD UMTS Frequency list           | OP   | 1 to maxNumFDDFreqs      |                      |  |         |
| >UARFCN (Nlow)                    | MP   |                          | Integer(0..16383)    | [21]   |         |
| >UARFCN (Nupper)                  | OP   |                          | Integer(0..16383)    | [21]<br>This IE is only needed when the FDD frequency list is specifying a range.  |         |
| 3.84 Mcps TDD UMTS Frequency list | OP   | 1 to maxNumTDDFreqs      |                      |  |         |
| >UARFCN                           | MP   |                          | Integer(0..16383)    | [22]   |         |
| 1.28 Mcps TDD UMTS Frequency list | OP   | 1 to maxNumTDDFreqs      |                      |  | REL-4   |
| >UARFCN                           | MP   |                          | Integer(0..16383)    | [22]   | REL-4   |
| CDMA2000 UMTS Frequency list      | OP   | 1 to maxNumCDMA2000Freqs |                      |  |         |
| >BAND_CLASS                       | MP   |                          | Bit string(5 bits)   | TIA/EIA/IS-2000<br>When mapping the BAND_CLASS to the bit string, the first/leftmost bit of the bit string contains the most significant bit.. |         |
| >CDMA_FREQ                        | MP   |                          | Bit string (11 bits) | TIA/EIA/IS-2000<br>When mapping the CDMA_FREQ to the bit string, the first/leftmost bit of the bit string contains the most significant bit..  |         |

### 10.3.8.16 Scheduling information

| Information Element/Group name                   | Need | Multi | Type and reference  | Semantics description  |
|--|------|-------|---|--|
| CHOICE <i>Value tag</i>                          | OP   |       |   |  |
| >PLMN Value tag                                  |      |       | PLMN Value tag 10.3.8.10                                  | This IE is included if the following conditions are fulfilled:<br>the SIB type equals system information block type 1  |
| >Predefined configuration identity and value tag |      |       | Predefined configuration identity and value tag 10.3.8.11 | This IE is included if the following conditions are fulfilled:<br>the SIB type equals system information block type 16 |
| >Cell Value tag                                  |      |       | Cell Value tag 10.3.8.4                                   | This IE is included if the following conditions are fulfilled:   |

| Information Element/Group name         | Need | Multi | Type and reference  | Semantics description  |
|--|------|-------|---|--|
|  |      |       |   | the area scope for the system information block is set to "cell" in table 8.1.1.<br>a value tag is used to indicate changes in the system information block. |
| >SIB occurrence identity and value tag |      |       | SIB occurrence identity and value tag<br>10.3.8.20b         | This IE is included if the following conditions are fulfilled:<br>the SIB type equals system information block types 15.2 and 15.3                           |
| Scheduling                             | MP   |       |   |  |
| >SEG_COUNT                             | MD   |       | SEG COUNT<br>10.3.8.17                                      | Default value is 1   |
| >SIB_REP                               | MP   |       | Integer (4, 8, 16, 32, 64, 128, 256, 512, 1024, 2048, 4096) | Repetition period for the SIB in frames  |
| >SIB_POS                               | MP   |       | Integer (0 ..Rep-2 by step of 2)                            | Position of the first segment<br>Rep is the value of the SIB_REP IE  |
| >SIB_POS offset info                   | MD   | 1..15 |   | see below for default value  |
| >>SIB_OFF                              | MP   |       | Integer(2..32 by step of 2)                                 | Offset of subsequent segments  |

| Field               | Default value   |
|---------------------|---|
| SIB_POS offset info | The default value is that all segments are consecutive, i.e., that the SIB_OFF = 2 for all segments except when MIB segment/complete MIB is scheduled to be transmitted in between segments from same SIB. In that case, SIB_OFF=4 in between segments which are scheduled to be transmitted at $SFN_{prime} = 8 * n - 2$ and $8 * n + 2$ , and SIB_OFF=2 for the rest of the segments. |

### 10.3.8.17 SEG COUNT

| Information Element/Group name | Need | Multi | Type and reference | Semantics description                              |
|--------------------------------|------|-------|--------------------|--|
| SEG_COUNT                      | MP   |       | Integer (1..16)    | Number of segments in the system information block |

### 10.3.8.18 Segment index

Each system information segment has an individual segment index.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| Segment index                  | MP   |       | Integer (1..15)    | Segments of a system information block are numbered starting with 0 for the first segment and 1 for the next segment, which can be the first subsequent segment or a last segment. |

### 10.3.8.18a SIB and SB type

The SIB type identifies a specific system information block.

| Information Element/Group name | Need | Multi | Type and reference    | Semantics description |
|--------------------------------|------|-------|-----------------------|-----------------------|
| SIB and SB type                | MP   |       | Enumerated, see below |                       |

The list of values to encode is:

- System Information Type 1,
- System Information Type 2,
- System Information Type 3,
- System Information Type 4,
- System Information Type 5,
- System Information Type 6,
- System Information Type 7,
- System Information Type 8,
- System Information Type 9,
- System Information Type 10,
- System Information Type 11,
- System Information Type 12,
- System Information Type 13,
- System Information Type 13.1,
- System Information Type 13.2,
- System Information Type 13.3,
- System Information Type 13.4,
- System Information Type 14,
- System Information Type 15,
- System Information Type 15.1,
- System Information Type 15.2,
- System Information Type 15.3,
- System Information Type 15.4,
- System Information Type 15.5,
- System Information Type 16,
- System Information Type 17,
- System Information Type 18,
- Scheduling Block 1,
- Scheduling Block 2.

In addition, three spare values are needed.

### 10.3.8.19 SIB data fixed

Contains the result of a master information block or a system information block after encoding and segmentation. The IE is used for segments with fixed length (segments filling an entire transport block).

| Information Element/Group name | Need | Multi | Type and reference | Semantics description                                |
|--------------------------------|------|-------|--------------------|--|
| SIB data fixed                 | MP   |       | Bit string (222)   | The first bit contains the first bit of the segment. |

### 10.3.8.20 SIB data variable

Contains either a complete system information block or a segment of a system information block. Contains the result of a master information block or a system information block after encoding and segmentation. The IE is used for segments with variable length. The system information blocks are defined in clauses 10.2.48.8.1 to 10.2.48.8.18.

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description                                |
|--------------------------------|------|-------|---------------------|--|
| SIB data variable              | MP   |       | Bit string (1..214) | The first bit contains the first bit of the segment. |

### 10.3.8.20a SIB occurrence identity

This information element identifies a SIB occurrence for System Information Block types 15.2 and 15.3. For System Information Block type 15.2, this identity is assigned to the visible satellite only. Unused identities are claimed by newly rising satellites.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| SIB occurrence identity        | MP   |       | Integer (0..15)    |                       |

### 10.3.8.20b SIB occurrence identity and value tag

| Information Element/Group name | Need | Multi | Type and reference                  | Semantics description |
|--------------------------------|------|-------|-------------------------------------|-----------------------|
| SIB occurrence identity        | MP   |       | SIB occurrence identity 10.3.8.20a  |                       |
| SIB occurrence value tag       | MP   |       | SIB occurrence value tag 10.3.8.20c |                       |

### 10.3.8.20c SIB occurrence value tag

This information element is used to identify different versions of SIB occurrence for System Information Block types 15.2 and 15.3.

| Information Element/Group name | Need | Multi | Type and Reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| SIB occurrence value tag       | MP   |       | Integer(0..15)     |                       |



### 10.3.8.21 SIB type

The SIB type identifies a specific system information block.

| Information Element/Group name | Need | Multi | Type and reference    | Semantics description |
|--------------------------------|------|-------|-----------------------|-----------------------|
| SIB type                       | MP   |       | Enumerated, see below |                       |

The list of values to encode is:

Master information block,  
 System Information Type 1,  
 System Information Type 2,  
 System Information Type 3,  
 System Information Type 4,  
 System Information Type 5,  
 System Information Type 6,  
 System Information Type 7,  
 System Information Type 8,  
 System Information Type 9,  
 System Information Type 10,  
 System Information Type 11,  
 System Information Type 12,  
 System Information Type 13,  
 System Information Type 13.1,  
 System Information Type 13.2,  
 System Information Type 13.3,  
 System Information Type 13.4,  
 System Information Type 14,  
 System Information Type 15,  
 System Information Type 15.1,  
 System Information Type 15.2,  
 System Information Type 15.3,  
 System Information Type 15.4,  
 System Information Type 15.5,  
 System Information Type 16,  
 System Information Type 17,  
 System Information Type 18,  
 Scheduling Block 1,

Scheduling Block 2.

In addition, two spare values are needed.

### 10.3.8.22 SIB type SIBs only

The SIB type identifies a specific system information block.

| Information Element/Group name | Need | Multi | Type and reference    | Semantics description |
|--------------------------------|------|-------|-----------------------|-----------------------|
| SIB type SIBs only             | MP   |       | Enumerated, see below |                       |

The list of values to encode is:

System Information Type 1,  
 System Information Type 2,  
 System Information Type 3,  
 System Information Type 4,  
 System Information Type 5,  
 System Information Type 6,  
 System Information Type 7,  
 System Information Type 8,  
 System Information Type 9,  
 System Information Type 10,  
 System Information Type 11,  
 System Information Type 12,  
 System Information Type 13,  
 System Information Type 13.1,  
 System Information Type 13.2,  
 System Information Type 13.3,  
 System Information Type 13.4,  
 System Information Type 14,  
 System Information Type 15,  
 System Information Type 15.1,  
 System Information Type 15.2,  
 System Information Type 15.3,  
 System Information Type 15.4,  
 System Information Type 15.5,  
 System Information Type 16,  
 System Information Type 17,

System Information Type 18.

In addition, five spare values are needed.

## 10.3.9 ANSI-41 Information elements

### 10.3.9.1 ANSI 41 Core Network Information

| Information element/Group name | Need | Multi | Type and reference    | Semantics description |
|--------------------------------|------|-------|-----------------------|-----------------------|
| P_REV                          | MP   |       | P_REV<br>10.3.9.10    |                       |
| MIN_P_REV                      | MP   |       | MIN_P_REV<br>10.3.9.8 |                       |
| SID                            | MP   |       | SID<br>10.3.9.11      |                       |
| NID                            | MP   |       | NID 10.3.9.9          |                       |

### 10.3.9.2 ANSI-41 Global Service Redirection information

This Information Element contains ANSI-41 Global Service Redirection information.

| Information Element/Group name                 | Need | Multi | Type and reference              | Semantics description  |
|--|------|-------|---------------------------------|--|
| ANSI-41 Global Service Redirection information | MP   |       | ANSI-41 NAS parameter, 10.3.9.3 | Formatted and coded according to the 3GPP2 document "G3G CDMA DS on ANSI-41" |

### 10.3.9.3 ANSI-41 NAS parameter

This Information Element contains ANSI-41 User Zone Identification information.

| Information Element/Group name | Need | Multi | Type and reference          | Semantics description  |
|--------------------------------|------|-------|-----------------------------|--|
| ANSI-41 NAS parameter          | MP   |       | Bit string (size (1..2048)) | The first bit contains the first bit of the ANSI-41 information. |

### 10.3.9.4 ANSI-41 NAS system information

This Information Element contains ANSI-41 system information.

| Information Element/Group name   | Need | Multi | Type and reference              | Semantics description  |
|----------------------------------|------|-------|---------------------------------|--|
| NAS (ANSI-41) system information | MP   |       | ANSI-41 NAS parameter, 10.3.9.3 | Formatted and coded according to the 3GPP2 document "G3G CDMA DS on ANSI-41" |

### 10.3.9.5 ANSI-41 Private Neighbour List information

This Information Element contains ANSI-41 Private Neighbour List information.

| Information Element/Group name             | Need | Multi | Type and reference              | Semantics description  |
|--|------|-------|---------------------------------|--|
| ANSI-41 Private Neighbour List information | MP   |       | ANSI-41 NAS parameter, 10.3.9.3 | Formatted and coded according to the 3GPP2 document "G3G CDMA DS on ANSI-41" |

### 10.3.9.6 ANSI-41 RAND information

This Information Element contains ANSI-41 RAND information.

| Information Element/Group name | Need | Multi | Type and reference              | Semantics description  |
|--------------------------------|------|-------|---------------------------------|--|
| ANSI-41 RAND information       | MP   |       | ANSI-41 NAS parameter, 10.3.9.3 | Formatted and coded according to the 3GPP2 document "G3G CDMA DS on ANSI-41" |

### 10.3.9.7 ANSI-41 User Zone Identification information

This Information Element contains ANSI-41 User Zone Identification information.

| Information Element/Group name               | Need | Multi | Type and reference              | Semantics description  |
|--|------|-------|---------------------------------|--|
| ANSI-41 User Zone Identification information | MP   |       | ANSI-41 NAS parameter, 10.3.9.3 | Formatted and coded according to the 3GPP2 document "G3G CDMA DS on ANSI-41" |

### 10.3.9.8 MIN\_P\_REV

This Information Element contains minimum protocol revision level.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| MIN_P_REV                      | MP   |       | Bit string (8)     | Minimum protocol revision level. The first/leftmost bit of the bit string contains the most significant bit of the MIN_P_REV. |

### 10.3.9.9 NID

This Information Element contains Network identification.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| NID                            | MP   |       | Bit string (16)    | Network identification. The first/leftmost bit of the bit string contains the most significant bit of the NID. |

### 10.3.9.10 P\_REV

This Information Element contains protocol revision level.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| P_REV                          | MP   |       | Bit string (8)     | Protocol revision level. The first/leftmost bit of the bit string contains the most significant bit of the P_REV. |

### 10.3.9.11 SID

This Information Element contains System identification.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| SID                            | MP   |       | Bit string (15)    | System identification. The first/leftmost bit of the bit string contains the most significant bit of the SID. |

### 10.3.10 Multiplicity values and type constraint values

The following table includes constants that are either used as multi bounds (name starting with "max") or as high or low value in a type specification (name starting with "lo" or "hi"). Constants are specified only for values appearing more than once in the RRC specification. In case a constant is related to one or more other constants, an expression is included in the "value" column instead of the actual value.

| Constant                          | Explanation  | Value           | Version |
|-----------------------------------|--|-----------------|---------|
| <b>CN information</b>             |  |                 |         |
| maxCNdomains                      | Maximum number of CN domains   | 4               |         |
| <b>UTRAN mobility information</b> |  |                 |         |
| maxRAT                            | Maximum number of Radio Access Technologies  | maxOtherRAT + 1 |         |
| maxOtherRAT                       | Maximum number of other Radio Access Technologies                                    | 15              |         |
| maxURA                            | Maximum number of URAs in a cell   | 8               |         |
| maxInterSysMessages               | Maximum number of Inter System Messages  | 4               |         |
| maxRABsetup                       | Maximum number of RABs to be established   | 16              |         |
| <b>UE information</b>             |  |                 |         |
| maxtransactions                   | Maximum number of parallel RRC transactions in downlink                              | 25              |         |
| maxPDCPalgoType                   | Maximum number of PDCP algorithm types   | 8               |         |
| maxDRACclasses                    | Maximum number of UE classes which would require different DRAC parameters           | 8               |         |
| maxFreqBandsFDD                   | Maximum number of frequency bands supported by the UE as defined in [21]             | 8               |         |
| maxFreqBandsTDD                   | Maximum number of frequency bands supported by the UE as defined in [22]             | 4               |         |
| maxFreqBandsGSM                   | Maximum number of frequency bands supported by the UE as defined in [45]             | 16              |         |
| maxPage1                          | Number of UEs paged in the Paging Type 1 message                                     | 8               |         |
| maxSystemCapability               | Maximum number of system specific capabilities that can be requested in one message. | 16              |         |
| <b>RB information</b>             |  |                 |         |
| maxPredefConfig                   | Maximum number of predefined configurations  | 16              |         |
| maxRB                             | Maximum number of RBs  | 32              |         |
| maxSRBsetup                       | Maximum number of signalling RBs to be established                                   | 8               |         |
| maxRBperRAB                       | Maximum number of RBs per RAB  | 8               |         |
| maxRBallRABs                      | Maximum number of non signalling RBs   | 27              |         |
| maxRBMuxOptions                   | Maximum number of RB multiplexing options  | 8               |         |
| maxLoCHperRLC                     | Maximum number of logical channels per RLC entity                                    | 2               |         |

| Constant                 | Explanation  | Value              | Version |
|--------------------------|--|--------------------|---------|
| MaxROHC-PacketSizes      | Maximum number of packet sizes that are allowed to be produced by ROHC.  | 16                 |         |
| MaxROHC-Profiles         | Maximum number of profiles supported by ROHC on a given RB.  | 8                  |         |
| <b>TrCH information</b>  |  |                    |         |
| maxTrCH                  | Maximum number of transport channels used in one direction (UL or DL)  | 32                 |         |
| maxTrCHpreconf           | Maximum number of preconfigured Transport channels, per direction  | 16                 |         |
| maxCCTrCH                | Maximum number of CCTrCHs  | 8                  |         |
| maxTF                    | Maximum number of different transport formats that can be included in the Transport format set for one transport channel | 32                 |         |
| maxTF-CPCH               | Maximum number of TFs in a CPCH set  | 16                 |         |
| maxTFC                   | Maximum number of Transport Format Combinations  | 1024               |         |
| maxTFCsub                | Maximum number of Transport Format Combinations Subset   | 1024               |         |
| maxTFCl-1-Combs          | Maximum number of TFCl (field 1) combinations  | 512                |         |
| maxTFCl-2-Combs          | Maximum number of TFCl (field 2) combinations  | 512                |         |
| maxCPCHsets              | Maximum number of CPCH sets per cell   | 16                 |         |
| maxSIBperMsg             | Maximum number of complete system information blocks per SYSTEM INFORMATION message                                      | 16                 |         |
| maxSIB                   | Maximum number of references to other system information blocks.   | 32                 |         |
| maxSIB-FACH              | Maximum number of references to system information blocks on the FACH  | 8                  |         |
| <b>PhyCH information</b> |  |                    |         |
| maxPCPCH-APsubCH         | Maximum number of available sub-channels for AP signature on PCPCH   | 12                 |         |
| maxPCPCH-CDsubCH         | Maximum number of available sub-channels for CD signature on PCPCH   | 12                 |         |
| maxPCPCH-APsig           | Maximum number of available signatures for AP on PCPCH   | 16                 |         |
| maxPCPCH-CDsig           | Maximum number of available signatures for CD on PCPCH   | 16                 |         |
| maxAC                    | Maximum number of access classes   | 16                 |         |
| maxASC                   | Maximum number of access service classes   | 8                  |         |
| maxASCmap                | Maximum number of access class to access service classes mappings  | 7                  |         |
| maxASCpersist            | Maximum number of access service classes for which persistence scaling factors are specified                             | 6                  |         |
| maxPRACH                 | Maximum number of PRACHs in a cell   | 16                 |         |
| MaxPRACH_FPACH           | Maximum number of PRACH / FPACH pairs in a cell (1.28 Mcps TDD)  | 8                  | REL-4   |
| maxFACHPCH               | Maximum number of FACHs and PCHs mapped onto one secondary CCPCHs  | 8                  |         |
| maxRL                    | Maximum number of radio links  | 8                  |         |
| maxSCCPCH                | Maximum number of secondary CCPCHs per cell  | 16                 |         |
| maxDPDCH-UL              | Maximum number of DPDCHs per cell  | 6                  |         |
| maxDPCH-DLchan           | Maximum number of channelisation codes used for DL DPCH  | 8                  |         |
| maxPUSCH                 | Maximum number of PUSCHs   | (8)                |         |
| maxPDSCH                 | Maximum number of PDSCHs   | 8                  |         |
| maxPDSCHcodes            | Maximum number of codes for PDSCH  | 16                 |         |
| maxPDSCH-TFClgroups      | Maximum number of TFCl groups for PDSCH  | 256                |         |
| maxPDSCHcodeGroups       | Maximum number of code groups for PDSCH  | 256                |         |
| maxPCPCHs                | Maximum number of PCPCH channels in a CPCH Set   | 64                 |         |
| maxPCPCH-SF              | Maximum number of available SFs on PCPCH   | 7                  |         |
| maxTS                    | Maximum number of timeslots used in one direction (UL or DL)   | 14 (3.84 Mcps TDD) |         |

| Constant                       | Explanation   | Value             | Version |
|--------------------------------|---|-------------------|---------|
|                                |   | 6 (1.28 Mcps TDD) | REL-4   |
| hiPUSCHidentities              | Maximum number of PUSCH Identities  | 64                |         |
| hiPDSCHidentities              | Maximum number of PDSCH Identities  | 64                |         |
| <b>Measurement information</b> |   |                   |         |
| maxTGPS                        | Maximum number of transmission gap pattern sequences  | 6                 |         |
| maxAdditionalMeas              | Maximum number of additional measurements for a given measurement identity  | 4                 |         |
| maxMeasEvent                   | Maximum number of events that can be listed in measurement reporting criteria   | 8                 |         |
| maxMeasParEvent                | Maximum number of measurement parameters (e.g. thresholds) per event  | 2                 |         |
| maxMeasIntervals               | Maximum number of intervals that define the mapping function between the measurements for the cell quality Q of a cell and the representing quality value | 1                 |         |
| maxCellMeas                    | Maximum number of cells to measure  | 32                |         |
| maxReportedGSMCells            | Maximum number of GSM cells to be reported  | 8                 |         |
| maxFreq                        | Maximum number of frequencies to measure  | 8                 |         |
| maxSat                         | Maximum number of satellites to measure   | 16                |         |
| maxSatAlmanacStorage           | Maximum number of satellites for which to store GPS Almanac information   | 32                |         |
| HiRM                           | Maximum number that could be set as rate matching attribute for a transport channel   | 256               |         |
| <b>Frequency information</b>   |   |                   |         |
| maxFDDFreqList                 | Maximum number of FDD carrier frequencies to be stored in USIM  | 4                 |         |
| maxTDDFreqList                 | Maximum number of TDD carrier frequencies to be stored in USIM  | 4                 |         |
| maxFDDFreqCellList             | Maximum number of neighbouring FDD cells to be stored in USIM   | 32                |         |
| maxTDDFreqCellList             | Maximum number of neighbouring TDD cells to be stored in USIM   | 32                |         |
| maxGSMCellList                 | Maximum number of GSM cells to be stored in USIM  | 32                |         |
| <b>Other information</b>       |   |                   |         |
| maxNumGSMFreqRanges            | Maximum number of GSM Frequency Ranges to store   | 32                |         |
| maxNumFDDFreqs                 | Maximum number of FDD centre frequencies to store   | 8                 |         |
| maxNumTDDFreqs                 | Maximum number of TDD centre frequencies to store   | 8                 |         |
| maxNumCDMA200Freqs             | Maximum number of CDMA2000 centre frequencies to store  | 8                 |         |

## 11 Message and Information element abstract syntax (with ASN.1)

This clause contains definitions for RRC PDUs and IEs using a subset of ASN.1 as specified in [14]. PDU and IE definitions are grouped into separate ASN.1 modules.

### 11.0 General

Some messages and/or IEs may include one or more IEs with name "dummy" that are included only in the ASN.1. The UE should avoid sending information elements that are named "dummy" to UTRAN. Likewise, UTRAN should avoid sending IEs with name "dummy" to the UE. If the UE anyhow receives an information element named "dummy", it shall ignore the IE and process the rest of the message as if the IE was not included.

NOTE: An IE with name "dummy" concerns an information element that was (erroneously) included in a previous version of the specification and has been removed by replacing it with a dummy with same type.

The UE shall only include the "variable length extension container" when it sends a non critical extension that according to this specification shall be transferred within this container.

If the abstract syntax of an IE is defined using the ASN.1 type "BIT STRING", and this IE corresponds to a functional IE definition in tabular format, in which the significance of bits is semantically defined, the following general rule shall be applied:

The bits in the ASN.1 bit string shall represent the semantics of the functional IE definition in decreasing order of bit significance;

- with the first (or leftmost) bit in the bit string representing the most significant bit; and
- with the last (or rightmost) bit in the bit string representing the least significant bit.

## 11.1 General message structure

```
Class-definitions DEFINITIONS AUTOMATIC TAGS ::=
```

```
BEGIN
```

```
IMPORTS
```

```

ActiveSetUpdate,
ActiveSetUpdateComplete,
ActiveSetUpdateFailure,
AssistanceDataDelivery,
CellChangeOrderFromUTRAN,
CellChangeOrderFromUTRANFailure,
CellUpdate,
CellUpdateConfirm-CCCH,
CellUpdateConfirm,
CounterCheck,
CounterCheckResponse,
DownlinkDirectTransfer,
HandoverToUTRANComplete,
InitialDirectTransfer,
HandoverFromUTRANCommand-GSM,
HandoverFromUTRANCommand-CDMA2000,
HandoverFromUTRANFailure,
MeasurementControl,
MeasurementControlFailure,
MeasurementReport,
PagingType1,
PagingType2,
PhysicalChannelReconfiguration,
PhysicalChannelReconfigurationComplete,
PhysicalChannelReconfigurationFailure,
PhysicalSharedChannelAllocation,
PUSCHCapacityRequest,
RadioBearerReconfiguration,
RadioBearerReconfigurationComplete,
RadioBearerReconfigurationFailure,
RadioBearerRelease,
RadioBearerReleaseComplete,
RadioBearerReleaseFailure,
RadioBearerSetup,
RadioBearerSetupComplete,
RadioBearerSetupFailure,
RRCConnectionReject,
RRCConnectionRelease,
RRCConnectionRelease-CCCH,
RRCConnectionReleaseComplete,
RRCConnectionRequest,
RRCConnectionSetup,
RRCConnectionSetupComplete,
RRCStatus,
SecurityModeCommand,
SecurityModeComplete,
SecurityModeFailure,
SignallingConnectionRelease,
```



```

    SignallingConnectionReleaseIndication,
    SystemInformation-BCH,
    SystemInformation-FACH,
    SystemInformationChangeIndication,
    TransportChannelReconfiguration,
    TransportChannelReconfigurationComplete,
    TransportChannelReconfigurationFailure,
    TransportFormatCombinationControl,
    TransportFormatCombinationControlFailure,
    UECapabilityEnquiry,
    UECapabilityInformation,
    UECapabilityInformationConfirm,
    UplinkDirectTransfer,
    UplinkPhysicalChannelControl,
    URAUpdate,
    URAUpdateConfirm,
    URAUpdateConfirm-CCCH,
    UTRANMobilityInformation,
    UTRANMobilityInformationConfirm,
    UTRANMobilityInformationFailure
FROM PDU-definitions

-- User Equipment IEs :
    IntegrityCheckInfo
FROM InformationElements;

--*****
--
-- Downlink DCCH messages
--
--*****

DL-DCCH-Message ::= SEQUENCE {
    integrityCheckInfo      IntegrityCheckInfo      OPTIONAL,
    message                  DL-DCCH-MessageType
}

DL-DCCH-MessageType ::= CHOICE {
    activeSetUpdate           ActiveSetUpdate,
    assistanceDataDelivery   AssistanceDataDelivery,
    cellChangeOrderFromUTRAN CellChangeOrderFromUTRAN,
    cellUpdateConfirm        CellUpdateConfirm,
    counterCheck              CounterCheck,
    downlinkDirectTransfer   DownlinkDirectTransfer,
    handoverFromUTRANCommand-GSM HandoverFromUTRANCommand-GSM,
    handoverFromUTRANCommand-CDMA2000 HandoverFromUTRANCommand-CDMA2000,
    measurementControl        MeasurementControl,
    pagingType2              PagingType2,
    physicalChannelReconfiguration PhysicalChannelReconfiguration,
    physicalSharedChannelAllocation PhysicalSharedChannelAllocation,
    radioBearerReconfiguration RadioBearerReconfiguration,
    radioBearerRelease        RadioBearerRelease,
    radioBearerSetup          RadioBearerSetup,
    rrcConnectionRelease     RRCConnectionRelease,
    securityModeCommand       SecurityModeCommand,
    signallingConnectionRelease SignallingConnectionRelease,
    transportChannelReconfiguration TransportChannelReconfiguration,
    transportFormatCombinationControl TransportFormatCombinationControl,
    ueCapabilityEnquiry       UECapabilityEnquiry,
    ueCapabilityInformationConfirm UECapabilityInformationConfirm,
    uplinkPhysicalChannelControl UplinkPhysicalChannelControl,
    uraUpdateConfirm          URAUpdateConfirm,
    utranMobilityInformation  UTRANMobilityInformation,
    spare7                    NULL,
    spare6                    NULL,
    spare5                    NULL,
    spare4                    NULL,
    spare3                    NULL,
    spare2                    NULL,
    spare1                    NULL
}

--*****
--
-- Uplink DCCH messages
--
--*****

```

```

UL-DCCH-Message ::= SEQUENCE {
    integrityCheckInfo    IntegrityCheckInfo    OPTIONAL,
    message                UL-DCCH-MessageType
}

UL-DCCH-MessageType ::= CHOICE {
    activeSetUpdateComplete      ActiveSetUpdateComplete,
    activeSetUpdateFailure      ActiveSetUpdateFailure,
    cellChangeOrderFromUTRANFailure CellChangeOrderFromUTRANFailure,
    counterCheckResponse        CounterCheckResponse,
    handoverToUTRANComplete     HandoverToUTRANComplete,
    initialDirectTransfer        InitialDirectTransfer,
    handoverFromUTRANFailure     HandoverFromUTRANFailure,
    measurementControlFailure    MeasurementControlFailure,
    measurementReport           MeasurementReport,
    physicalChannelReconfigurationComplete PhysicalChannelReconfigurationComplete,
    physicalChannelReconfigurationFailure PhysicalChannelReconfigurationFailure,
    radioBearerReconfigurationComplete RadioBearerReconfigurationComplete,
    radioBearerReconfigurationFailure RadioBearerReconfigurationFailure,
    radioBearerReleaseComplete   RadioBearerReleaseComplete,
    radioBearerReleaseFailure    RadioBearerReleaseFailure,
    radioBearerSetupComplete     RadioBearerSetupComplete,
    radioBearerSetupFailure      RadioBearerSetupFailure,
    rrcConnectionReleaseComplete RRCConnectionReleaseComplete,
    rrcConnectionSetupComplete   RRCConnectionSetupComplete,
    rrcStatus                    RRCStatus,
    securityModeComplete         SecurityModeComplete,
    securityModeFailure          SecurityModeFailure,
    signallingConnectionReleaseIndication SignallingConnectionReleaseIndication,
    transportChannelReconfigurationComplete TransportChannelReconfigurationComplete,
    transportChannelReconfigurationFailure TransportChannelReconfigurationFailure,
    transportFormatCombinationControlFailure TransportFormatCombinationControlFailure,
    ueCapabilityInformation       UECapabilityInformation,
    uplinkDirectTransfer          UplinkDirectTransfer,
    utranMobilityInformationConfirm UTRANMobilityInformationConfirm,
    utranMobilityInformationFailure UTRANMobilityInformationFailure,
    spare2                        NULL,
    spare1                        NULL
}

--*****
--
-- Downlink CCCH messages
--
--*****

DL-CCCH-Message ::= SEQUENCE {
    integrityCheckInfo    IntegrityCheckInfo    OPTIONAL,
    message                DL-CCCH-MessageType
}

DL-CCCH-MessageType ::= CHOICE {
    cellUpdateConfirm-CCCH      CellUpdateConfirm-CCCH,
    rrcConnectionReject        RRCConnectionReject,
    rrcConnectionRelease-CCCH  RRCConnectionRelease-CCCH,
    rrcConnectionSetup        RRCConnectionSetup,
    uraUpdateConfirm-CCCH     URAUpdateConfirm-CCCH,
    spare3                     NULL,
    spare2                     NULL,
    spare1                     NULL
}

--*****
--
-- Uplink CCCH messages
--
--*****

UL-CCCH-Message ::= SEQUENCE {
    integrityCheckInfo    IntegrityCheckInfo    OPTIONAL,
    message                UL-CCCH-MessageType
}

```

```

UL-CCCH-MessageType ::= CHOICE {
    cellUpdate           CellUpdate,
    rrcConnectionRequest RRCConnectionRequest,
    uraUpdate           URAUpdate,
    spare                NULL
}

--*****
--
-- PCCH messages
--
--*****

PCCH-Message ::= SEQUENCE {
    message           PCCH-MessageType
}

PCCH-MessageType ::= CHOICE {
    pagingType1       PagingType1,
    spare             NULL
}

--*****
--
-- Downlink SHCCH messages
--
--*****

DL-SHCCH-Message ::= SEQUENCE {
    message           DL-SHCCH-MessageType
}

DL-SHCCH-MessageType ::= CHOICE {
    physicalSharedChannelAllocation PhysicalSharedChannelAllocation,
    spare             NULL
}

--*****
--
-- Uplink SHCCH messages
--
--*****

UL-SHCCH-Message ::= SEQUENCE {
    message           UL-SHCCH-MessageType
}

UL-SHCCH-MessageType ::= CHOICE {
    puschCapacityRequest PUSCHCapacityRequest,
    spare                NULL
}

--*****
--
-- BCCH messages sent on FACH
--
--*****

BCCH-FACH-Message ::= SEQUENCE {
    message           BCCH-FACH-MessageType
}

BCCH-FACH-MessageType ::= CHOICE {
    systemInformation           SystemInformation-FACH,
    systemInformationChangeIndication SystemInformationChangeIndication,
    spare2                     NULL,
    spare1                     NULL
}

--*****
--
-- BCCH messages sent on BCH
--
--*****

BCCH-BCH-Message ::= SEQUENCE {

```

```

    message          SystemInformation-BCH
  }
END

```

## 11.2 PDU definitions

```

--*****
--
-- TABULAR: The message type and integrity check info are not
-- visible in this module as they are defined in the class module.
-- Also, all FDD/TDD specific choices have the FDD option first
-- and TDD second, just for consistency.
--
--*****

PDU-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

--*****
--
-- IE parameter types from other modules
--
--*****

IMPORTS

-- Core Network IEs :
  CN-DomainIdentity,
  CN-InformationInfo,
  CN-InformationInfoFull,
  NAS-Message,
  PagingRecordTypeID,
-- UTRAN Mobility IEs :
  CellIdentity,
  CellIdentity-PerRL-List,
  URA-Identity,
-- User Equipment IEs :
  AccessStratumReleaseIndicator,
  ActivationTime,
  C-RNTI,
  CapabilityUpdateRequirement,
  CapabilityUpdateRequirement-r4,
  CapabilityUpdateRequirement-r4-ext,
  CellUpdateCause,
  CipheringAlgorithm,
  CipheringModeInfo,
  DSCH-RNTI,
  EstablishmentCause,
  FailureCauseWithProtErr,
  FailureCauseWithProtErrTrId,
  UESpecificBehaviourInformationIdle,
  UESpecificBehaviourInformationInterRAT,
  InitialUE-Identity,
  IntegrityProtActivationInfo,
  IntegrityProtectionModeInfo,
  N-308,
  PagingCause,
  PagingRecordList,
  ProtocolErrorIndicator,
  ProtocolErrorIndicatorWithMoreInfo,
  RadioFrequencyBandTDDList,
  Rb-timer-indicator,
  RedirectionInfo,
  RejectionCause,
  ReleaseCause,
  RRC-StateIndicator,
  RRC-TransactionIdentifier,
  SecurityCapability,
  START-Value,
  STARTList,
  U-RNTI,
  U-RNTI-Short,
  UE-RadioAccessCapability,
  UE-RadioAccessCapability-v370ext,
  UE-RadioAccessCapability-v380ext,

```

```
UE-RadioAccessCapability-v3a0ext,  
UE-RadioAccessCapability-v3g0ext,  
UE-RadioAccessCapability-v4b0ext,  
DL-PhysChCapabilityFDD-v380ext,  
UE-ConnTimersAndConstants,  
UE-ConnTimersAndConstants-v3a0ext,  
UE-SecurityInformation,  
URA-UpdateCause,  
UTRAN-DRX-CycleLengthCoefficient,  
WaitTime,  
-- Radio Bearer IEs :  
  DefaultConfigIdentity,  
  DefaultConfigIdentity-r4,  
  DefaultConfigMode,  
  DL-CounterSynchronisationInfo,  
  PredefinedConfigIdentity,  
  PredefinedConfigStatusList,  
  RAB-Info,  
  RAB-Info-Post,  
  RAB-InformationList,  
  RAB-InformationReconfigList,  
  RAB-InformationSetupList,  
  RAB-InformationSetupList-r4,  
  RB-ActivationTimeInfoList,  
  RB-COUNT-C-InformationList,  
  RB-COUNT-C-MSB-InformationList,  
  RB-IdentityList,  
  RB-InformationAffectedList,  
  RB-InformationReconfigList,  
  RB-InformationReconfigList-r4,  
  RB-InformationReleaseList,  
  SRB-InformationSetupList,  
  SRB-InformationSetupList2,  
  UL-CounterSynchronisationInfo,  
-- Transport Channel IEs:  
  CPCH-SetID,  
  DL-AddReconfTransChInfo2List,  
  DL-AddReconfTransChInfoList,  
  DL-AddReconfTransChInfoList-r4,  
  DL-CommonTransChInfo,  
  DL-CommonTransChInfo-r4,  
  DL-DeletedTransChInfoList,  
  DRAC-StaticInformationList,  
  TFC-Subset,  
  TFCS-Identity,  
  UL-AddReconfTransChInfoList,  
  UL-CommonTransChInfo,  
  UL-CommonTransChInfo-r4,  
  UL-DeletedTransChInfoList,  
-- Physical Channel IEs :  
  Alpha,  
  CCTrCH-PowerControlInfo,  
  CCTrCH-PowerControlInfo-r4,  
  ConstantValue,  
  ConstantValueTdd,  
  CPCH-SetInfo,  
  DL-CommonInformation,  
  DL-CommonInformation-r4,  
  DL-CommonInformationPost,  
  DL-InformationPerRL,  
  DL-InformationPerRL-List,  
  DL-InformationPerRL-List-r4,  
  DL-InformationPerRL-ListPostFDD,  
  DL-InformationPerRL-PostTDD,  
  DL-InformationPerRL-PostTDD-LCR-r4,  
  DL-PDSCH-Information,  
  DPCH-CompressedModeStatusInfo,  
  FrequencyInfo,  
  FrequencyInfoFDD,  
  FrequencyInfoTDD,  
  MaxAllowedUL-TX-Power,  
  OpenLoopPowerControl-IPDL-TDD-r4,  
  PDSCH-CapacityAllocationInfo,  
  PDSCH-CapacityAllocationInfo-r4,  
  PDSCH-Identity,  
  PrimaryCCPCH-TX-Power,  
  PrimaryCPICH-Info,  
  PUSCH-CapacityAllocationInfo,
```

```

PUSCH-CapacityAllocationInfo-r4,
PUSCH-Identity,
RL-AdditionInformationList,
RL-RemovalInformationList,
SpecialBurstScheduling,
SSDT-Information,
TFC-ControlDuration,
SSDT-UL,
TimeslotList,
TimeslotList-r4,
TX-DiversityMode,
UL-ChannelRequirement,
UL-ChannelRequirement-r4,
UL-ChannelRequirementWithCPCH-SetID,
UL-ChannelRequirementWithCPCH-SetID-r4,
UL-DPCH-Info,
UL-DPCH-Info-r4,
UL-DPCH-InfoPostFDD,
UL-DPCH-InfoPostTDD,
UL-DPCH-InfoPostTDD-LCR-r4,
UL-SynchronisationParameters-r4,
UL-TimingAdvance,
UL-TimingAdvanceControl,
UL-TimingAdvanceControl-r4,
-- Measurement IEs :
AdditionalMeasurementID-List,
Frequency-Band,
EventResults,
InterFreqEventResults-LCR-r4-ext,
InterRAT-TargetCellDescription,
MeasuredResults,
MeasuredResults-v390ext,
MeasuredResultsList,
MeasuredResultsList-LCR-r4-ext,
MeasuredResultsOnRACH,
MeasurementCommand,
MeasurementCommand-r4,
MeasurementIdentity,
MeasurementReportingMode,
PrimaryCCPCH-RSCP,
SFN-Offset-Validity,
TimeslotListWithISCP,
TrafficVolumeMeasuredResultsList,
UE-Positioning-GPS-AssistanceData,
UE-Positioning-Measurement-v390ext,
UE-Positioning-OTDOA-AssistanceData,
UE-Positioning-OTDOA-AssistanceData-r4ext,
UE-Positioning-OTDOA-AssistanceData-UEB,
-- Other IEs :
BCCH-ModificationInfo,
CDMA2000-MessageList,
GSM-MessageList,
InterRAT-ChangeFailureCause,
InterRAT-HO-FailureCause,
InterRAT-UE-RadioAccessCapabilityList,
InterRAT-UE-SecurityCapList,
IntraDomainNasNodeSelector,
ProtocolErrorMoreInformation,
Rplmn-Information,
Rplmn-Information-r4,
SegCount,
SegmentIndex,
SFN-Prime,
SIB-Data-fixed,
SIB-Data-variable,
SIB-Type
FROM InformationElements

maxSIBperMsg
FROM Constant-definitions;

-- *****
--
-- ACTIVE SET UPDATE (FDD only)
--
-- *****

ActiveSetUpdate ::= CHOICE {
    r3                               SEQUENCE {

```

```

    activeSetUpdate-r3          ActiveSetUpdate-r3-IEs,
    laterNonCriticalExtensions SEQUENCE {
      -- Container for additional R99 extensions
      activeSetUpdate-r3-add-ext BIT STRING OPTIONAL,
      v4b0NonCriticalExtensions SEQUENCE {
        activeSetUpdate-v4b0ext ActiveSetUpdate-v4b0ext-IEs,
        nonCriticalExtensions SEQUENCE {} OPTIONAL
      } OPTIONAL
    } OPTIONAL
  },
  later-than-r3                SEQUENCE {
    rrc-TransactionIdentifier   RRC-TransactionIdentifier,
    criticalExtensions          SEQUENCE {}
  }
}

ActiveSetUpdate-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier   RRC-TransactionIdentifier,
  -- dummy and dummy2 are not used in this version of the specification, they should
  -- not be sent and if received they should be ignored.
  dummy                       IntegrityProtectionModeInfo           OPTIONAL,
  dummy2                      CipheringModeInfo                   OPTIONAL,
  activationTime              ActivationTime                       OPTIONAL,
  newU-RNTI                   U-RNTI                             OPTIONAL,
  -- Core network IEs
  cn-InformationInfo          CN-InformationInfo                   OPTIONAL,
  -- Radio bearer IEs
  -- dummy3 is not used in this version of the specification, it should
  -- not be sent and if received it should be ignored.
  dummy3                      DL-CounterSynchronisationInfo       OPTIONAL,
  -- Physical channel IEs
  maxAllowedUL-TX-Power       MaxAllowedUL-TX-Power              OPTIONAL,
  rl-AdditionInformationList   RL-AdditionInformationList      OPTIONAL,
  rl-RemovalInformationList    RL-RemovalInformationList      OPTIONAL,
  tx-DiversityMode            TX-DiversityMode                   OPTIONAL,
  ssdt-Information            SSDT-Information                   OPTIONAL
}

ActiveSetUpdate-v4b0ext-IEs ::= SEQUENCE {
  -- Physical channel IEs
  -- ssdt-UL extends SSDT-Information. FDD only.
  ssdt-UL-r4                  SSDT-UL                             OPTIONAL,
  -- The order of the RLs in IE cell-id-PerRL-List is the same as
  -- in IE RL-AdditionInformationList included in this message
  cell-id-PerRL-List          CellIdentity-PerRL-List             OPTIONAL
}

-- *****
--
-- ACTIVE SET UPDATE COMPLETE (FDD only)
--
-- *****

ActiveSetUpdateComplete ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier   RRC-TransactionIdentifier,
  -- dummy is not used in this version of the specification, it should
  -- not be sent and if received it should be ignored.
  dummy                       IntegrityProtActivationInfo         OPTIONAL,
  -- Radio bearer IEs
  -- dummy2 and dummy3 are not used in this version of the specification, they should
  -- not be sent and if received they should be ignored.
  dummy2                      RB-ActivationTimeInfoList          OPTIONAL,
  dummy3                      UL-CounterSynchronisationInfo       OPTIONAL,
  laterNonCriticalExtensions SEQUENCE {
    -- Container for additional R99 extensions
    activeSetUpdateComplete-r3-add-ext BIT STRING OPTIONAL,
    nonCriticalExtensions SEQUENCE {} OPTIONAL
  } OPTIONAL
}

-- *****
--
-- ACTIVE SET UPDATE FAILURE (FDD only)
--
-- *****

```

```

ActiveSetUpdateFailure ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  failureCause                   FailureCauseWithProtErr,
  laterNonCriticalExtensions     SEQUENCE {
    -- Container for additional R99 extensions
    activeSetUpdateFailure-r3-add-ext  BIT STRING      OPTIONAL,
    nonCriticalExtensions              SEQUENCE {} OPTIONAL
  }
} OPTIONAL

-- *****
--
-- Assistance Data Delivery
--
-- *****

AssistanceDataDelivery ::= CHOICE {
  r3                               SEQUENCE {
    assistanceDataDelivery-r3      AssistanceDataDelivery-r3-IEs,
    v3a0NonCriticalExtensions      SEQUENCE {
      assistanceDataDelivery-v3a0ext AssistanceDataDelivery-v3a0ext,
      laterNonCriticalExtensions   SEQUENCE {
        -- Container for additional R99 extensions
        assistanceDataDelivery-r3-add-ext  BIT STRING      OPTIONAL,
        v4b0NonCriticalExtensions       SEQUENCE {
          assistanceDataDelivery-v4b0ext
            AssistanceDataDelivery-v4b0ext-IEs,
            SEQUENCE {} OPTIONAL
        }
      } OPTIONAL
    } OPTIONAL
  },
  later-than-r3                   SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             SEQUENCE {}
  }
}

AssistanceDataDelivery-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  -- Measurement Information Elements
  ue-positioning-GPS-AssistanceData  UE-Positioning-GPS-AssistanceData
  OPTIONAL,
  ue-positioning-OTDOA-AssistanceData-UEB  UE-Positioning-OTDOA-AssistanceData-UEB
  OPTIONAL
}

AssistanceDataDelivery-v3a0ext ::= SEQUENCE {
  sfn-Offset-Validity            SFN-Offset-Validity      OPTIONAL
}

AssistanceDataDelivery-v4b0ext-IEs ::= SEQUENCE {
  ue-Positioning-OTDOA-AssistanceData-r4ext  UE-Positioning-OTDOA-AssistanceData-r4ext  OPTIONAL
}

-- *****
--
-- CELL CHANGE ORDER FROM UTRAN
--
-- *****

CellChangeOrderFromUTRAN ::= CHOICE {
  r3                               SEQUENCE {
    cellChangeOrderFromUTRAN-IEs  CellChangeOrderFromUTRAN-r3-IEs,
    laterNonCriticalExtensions     SEQUENCE {
      -- Container for additional R99 extensions
      cellChangeOrderFromUTRAN-r3-add-ext  BIT STRING      OPTIONAL,
      nonCriticalExtensions             SEQUENCE {} OPTIONAL
    }
  },
  later-than-r3                   SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             SEQUENCE {}
  }
}

```



```

CellChangeOrderFromUTRAN-r3-IEs ::= SEQUENCE {
  -- User equipment IES
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  -- dummy is not used in this version of the specification, it should
  -- not be sent and if received it should be ignored.
  dummy                          IntegrityProtectionModeInfo      OPTIONAL,
  activationTime                  ActivationTime                    OPTIONAL,
  -- the IE rab-InformationList is not used in this version of the specification, it should
  -- not be sent and if received it should be ignored. The IE may be used in a later
  -- version of the protocol and hence it is not changed into a dummy
  rab-InformationList            RAB-InformationList              OPTIONAL,
  interRAT-TargetCellDescription InterRAT-TargetCellDescription
}

-- *****
--
-- CELL CHANGE ORDER FROM UTRAN FAILURE
--
-- *****

CellChangeOrderFromUTRANFailure ::= CHOICE {
  r3                             SEQUENCE {
    cellChangeOrderFromUTRANFailure-r3
                                CellChangeOrderFromUTRANFailure-r3-IEs,
    laterNonCriticalExtensions   SEQUENCE {
      -- Container for additional R99 extensions
      cellChangeOrderFromUTRANFailure-r3-add-ext      BIT STRING OPTIONAL,
      nonCriticalExtensions                           SEQUENCE {} OPTIONAL
    } OPTIONAL
  },
  -- dummy is not used in this version of the specification and it
  -- should be ignored.
  dummy                          SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             SEQUENCE {}
  }
}

CellChangeOrderFromUTRANFailure-r3-IEs ::= SEQUENCE {
  -- User equipment IES
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  -- dummy is not used in this version of the specification, it should
  -- not be sent and if received it should be ignored.
  dummy                          IntegrityProtectionModeInfo      OPTIONAL,
  interRAT-ChangeFailureCause   InterRAT-ChangeFailureCause
}

-- *****
--
-- CELL UPDATE
--
-- *****

CellUpdate ::= SEQUENCE {
  -- User equipment IES
  u-RNTI                          U-RNTI,
  startList                        STARTList,
  am-RLC-ErrorIndicationRb2-3or4  BOOLEAN,
  am-RLC-ErrorIndicationRb5orAbove BOOLEAN,
  cellUpdateCause                  CellUpdateCause,
  -- TABULAR: RRC transaction identifier is nested in FailureCauseWithProtErrTrId
  failureCause                     FailureCauseWithProtErrTrId    OPTIONAL,
  rb-timer-indicator               Rb-timer-indicator,
  -- Measurement IES
  measuredResultsOnRACH             MeasuredResultsOnRACH        OPTIONAL,
  laterNonCriticalExtensions       SEQUENCE {
    -- Container for additional R99 extensions
    cellUpdate-r3-add-ext          BIT STRING OPTIONAL,
    nonCriticalExtensions         SEQUENCE {} OPTIONAL
  } OPTIONAL
}

-- *****
--
-- CELL UPDATE CONFIRM
--
-- *****

```

```

CellUpdateConfirm ::= CHOICE {
  r3
    SEQUENCE {
      cellUpdateConfirm-r3          CellUpdateConfirm-r3-IEs,
      v3a0NonCriticalExtensions     SEQUENCE {
        cellUpdateConfirm-v3a0ext   CellUpdateConfirm-v3a0ext,
        laterNonCriticalExtensions  SEQUENCE {
          -- Container for additional R99 extensions
          cellUpdateConfirm-r3-add-ext  BIT STRING OPTIONAL,
          v4b0NonCriticalExtensions    SEQUENCE {
            cellUpdateConfirm-v4b0ext   CellUpdateConfirm-v4b0ext-IEs,
            nonCriticalExtensions      SEQUENCE {} OPTIONAL
          } OPTIONAL
        } OPTIONAL
      } OPTIONAL
    } OPTIONAL
  },
  later-than-r3
    SEQUENCE {
      rrc-TransactionIdentifier     RRC-TransactionIdentifier,
      criticalExtensions            CHOICE {
        r4
          SEQUENCE {
            cellUpdateConfirm-r4     CellUpdateConfirm-r4-IEs,
            v4d0NonCriticalExtensions SEQUENCE {
              -- Container for adding non critical extensions after freezing REL-5
              cellUpdateConfirm-r4-add-ext  BIT STRING OPTIONAL,
              nonCriticalExtensions        SEQUENCE {} OPTIONAL
            } OPTIONAL
          }
        },
      criticalExtensions            SEQUENCE {}
    }
}

```

```

CellUpdateConfirm-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier     RRC-TransactionIdentifier,
  integrityProtectionModeInfo   IntegrityProtectionModeInfo   OPTIONAL,
  cipheringModeInfo             CipheringModeInfo                 OPTIONAL,
  activationTime                 ActivationTime                     OPTIONAL,
  new-U-RNTI                     U-RNTI                             OPTIONAL,
  new-C-RNTI                     C-RNTI                             OPTIONAL,
  rrc-StateIndicator             RRC-StateIndicator,
  utran-DRX-CycleLengthCoeff     UTRAN-DRX-CycleLengthCoefficient   OPTIONAL,
  rlc-Re-establishIndicatorRb2-3or4  BOOLEAN,
  rlc-Re-establishIndicatorRb5orAbove  BOOLEAN,
  -- CN information elements
  cn-InformationInfo             CN-InformationInfo                 OPTIONAL,
  -- UTRAN mobility IEs
  ura-Identity                   URA-Identity                     OPTIONAL,
  -- Radio bearer IEs
  rb-InformationReleaseList       RB-InformationReleaseList       OPTIONAL,
  rb-InformationReconfigList      RB-InformationReconfigList      OPTIONAL,
  rb-InformationAffectedList      RB-InformationAffectedList      OPTIONAL,
  dl-CounterSynchronisationInfo   DL-CounterSynchronisationInfo   OPTIONAL,
  -- Transport channel IEs
  ul-CommonTransChInfo           UL-CommonTransChInfo           OPTIONAL,
  ul-deletedTransChInfoList       UL-DeletedTransChInfoList       OPTIONAL,
  ul-AddReconfTransChInfoList     UL-AddReconfTransChInfoList     OPTIONAL,
  modeSpecificTransChInfo        CHOICE {
    fdd
      SEQUENCE {
        cpch-SetID                 CPCH-SetID                     OPTIONAL,
        addReconfTransChDRAC-Info   DRAC-StaticInformationList     OPTIONAL
      },
    tdd
      NULL
  },
  dl-CommonTransChInfo           DL-CommonTransChInfo           OPTIONAL,
  dl-DeletedTransChInfoList       DL-DeletedTransChInfoList       OPTIONAL,
  dl-AddReconfTransChInfoList     DL-AddReconfTransChInfoList     OPTIONAL,
  -- Physical channel IEs
  frequencyInfo                  FrequencyInfo                     OPTIONAL,
  maxAllowedUL-TX-Power           MaxAllowedUL-TX-Power           OPTIONAL,
  ul-ChannelRequirement           UL-ChannelRequirement           OPTIONAL,
  modeSpecificPhysChInfo         CHOICE {
    fdd
      SEQUENCE {
        dl-PDSCH-Information        DL-PDSCH-Information           OPTIONAL
      },
    tdd
      NULL
  },
  dl-CommonInformation           DL-CommonInformation           OPTIONAL,

```

```

        dl-InformationPerRL-List          DL-InformationPerRL-List          OPTIONAL
    }
CellUpdateConfirm-v3a0ext ::= SEQUENCE {
    new-DSCH-RNTI                        DSCH-RNTI                        OPTIONAL
}
CellUpdateConfirm-v4b0ext-IEs ::= SEQUENCE {
    -- Physical channel IES
    -- ssdt-UL extends SSDT-Information, which is included in
    -- DL-CommonInformation. FDD only.
    ssdt-UL-r4                          SSDT-UL                          OPTIONAL,
    -- The order of the RLs in IE cell-id-PerRL-List is the same as
    -- in IE DL-InformationPerRL-List included in this message
    cell-id-PerRL-List                   CellIdentity-PerRL-List          OPTIONAL
}
CellUpdateConfirm-r4-IEs ::= SEQUENCE {
    -- User equipment IES
    integrityProtectionModeInfo          IntegrityProtectionModeInfo      OPTIONAL,
    cipheringModeInfo                    CipheringModeInfo                 OPTIONAL,
    activationTime                        ActivationTime                     OPTIONAL,
    new-U-RNTI                            U-RNTI                           OPTIONAL,
    new-C-RNTI                            C-RNTI                           OPTIONAL,
    new-DSCH-RNTI                        DSCH-RNTI                        OPTIONAL,
    rrc-StateIndicator                   RRC-StateIndicator,
    utran-DRX-CycleLengthCoeff           UTRAN-DRX-CycleLengthCoefficient OPTIONAL,
    rlc-Re-establishIndicatorRb2-3or4     BOOLEAN,
    rlc-Re-establishIndicatorRb5orAbove   BOOLEAN,
    -- CN information elements
    cn-InformationInfo                   CN-InformationInfo               OPTIONAL,
    -- UTRAN mobility IES
    ura-Identity                          URA-Identity                     OPTIONAL,
    -- Radio bearer IES
    rb-InformationReleaseList             RB-InformationReleaseList        OPTIONAL,
    rb-InformationReconfigList            RB-InformationReconfigList-r4    OPTIONAL,
    rb-InformationAffectedList            RB-InformationAffectedList       OPTIONAL,
    dl-CounterSynchronisationInfo         DL-CounterSynchronisationInfo    OPTIONAL,
    -- Transport channel IES
    ul-CommonTransChInfo                  UL-CommonTransChInfo-r4         OPTIONAL,
    ul-deletedTransChInfoList             UL-DeletedTransChInfoList        OPTIONAL,
    ul-AddReconfTransChInfoList           UL-AddReconfTransChInfoList      OPTIONAL,
    modeSpecificTransChInfo               CHOICE {
        fdd                               SEQUENCE {
            cpch-SetID                    CPCH-SetID                       OPTIONAL,
            addReconfTransChDRAC-Info      DRAC-StaticInformationList       OPTIONAL
        },
        tdd                               NULL
    },
    dl-CommonTransChInfo                  DL-CommonTransChInfo-r4         OPTIONAL,
    dl-DeletedTransChInfoList             DL-DeletedTransChInfoList        OPTIONAL,
    dl-AddReconfTransChInfoList           DL-AddReconfTransChInfoList-r4   OPTIONAL,
    -- Physical channel IES
    frequencyInfo                         FrequencyInfo                      OPTIONAL,
    maxAllowedUL-TX-Power                  MaxAllowedUL-TX-Power            OPTIONAL,
    ul-ChannelRequirement                  UL-ChannelRequirement-r4         OPTIONAL,
    modeSpecificPhysChInfo                CHOICE {
        fdd                               SEQUENCE {
            dl-PDSCH-Information           DL-PDSCH-Information            OPTIONAL
        },
        tdd                               NULL
    },
    dl-CommonInformation                  DL-CommonInformation-r4         OPTIONAL,
    dl-InformationPerRL-List              DL-InformationPerRL-List-r4     OPTIONAL
}
-- *****
--
-- CELL UPDATE CONFIRM for CCCH
--
-- *****

CellUpdateConfirm-CCCH ::= CHOICE {
    r3                                     SEQUENCE {
        -- User equipment IES
        u-RNTI                            U-RNTI,
        -- The rest of the message is identical to the one sent on DCCH.
        cellUpdateConfirm-r3              CellUpdateConfirm-r3-IEs,
    }
}

```

```

        laterNonCriticalExtensions      SEQUENCE {
        -- Container for additional R99 extensions
        cellUpdateConfirm-CCCH-r3-add-ext      BIT STRING OPTIONAL,
        v4b0NonCriticalExtensions      SEQUENCE {
        cellUpdateConfirm-v4b0ext      CellUpdateConfirm-v4b0ext-IEs,
        nonCriticalExtensions      SEQUENCE {} OPTIONAL
        } OPTIONAL
    } OPTIONAL
},
later-than-r3      SEQUENCE {
    u-RNTI      U-RNTI,
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions      CHOICE {
        r4      SEQUENCE {
            -- The rest of the message is identical to the one sent on DCCH.
            cellUpdateConfirm-r4      CellUpdateConfirm-r4-IEs,
            v4d0NonCriticalExtensions      SEQUENCE {
                -- Container for adding non critical extensions after freezing REL-5
                cellUpdateConfirm-CCCH-r4-add-ext      BIT STRING OPTIONAL,
                nonCriticalExtensions      SEQUENCE {} OPTIONAL
            } OPTIONAL
        },
        criticalExtensions      SEQUENCE {}
    }
}
}

-- *****
--
-- COUNTER CHECK
--
-- *****

CounterCheck ::= CHOICE {
    r3      SEQUENCE {
        counterCheck-r3      CounterCheck-r3-IEs,
        laterNonCriticalExtensions      SEQUENCE {
            -- Container for additional R99 extensions
            counterCheck-r3-add-ext      BIT STRING OPTIONAL,
            nonCriticalExtensions      SEQUENCE {} OPTIONAL
        } OPTIONAL
    },
    later-than-r3      SEQUENCE {
        rrc-TransactionIdentifier      RRC-TransactionIdentifier,
        criticalExtensions      SEQUENCE {}
    }
}

CounterCheck-r3-IEs ::= SEQUENCE {
    -- User equipment IEs
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    -- Radio bearer IEs
    rb-COUNT-C-MSB-InformationList      RB-COUNT-C-MSB-InformationList
}

-- *****
--
-- COUNTER CHECK RESPONSE
--
-- *****

CounterCheckResponse ::= SEQUENCE {
    -- User equipment IEs
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    -- Radio bearer IEs
    rb-COUNT-C-InformationList      RB-COUNT-C-InformationList      OPTIONAL,
    laterNonCriticalExtensions      SEQUENCE {
        -- Container for additional R99 extensions
        counterCheckResponse-r3-add-ext      BIT STRING OPTIONAL,
        nonCriticalExtensions      SEQUENCE {} OPTIONAL
    } OPTIONAL
}

-- *****
--
-- DOWNLINK DIRECT TRANSFER
--
-- *****

```

```

DownlinkDirectTransfer ::= CHOICE {
  r3 SEQUENCE {
    downlinkDirectTransfer-r3 DownlinkDirectTransfer-r3-IEs,
    laterNonCriticalExtensions SEQUENCE {
      -- Container for additional R99 extensions
      downlinkDirectTransfer-r3-add-ext BIT STRING OPTIONAL,
      nonCriticalExtensions SEQUENCE {} OPTIONAL
    } OPTIONAL
  },
  later-than-r3 SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions SEQUENCE {}
  }
}

DownlinkDirectTransfer-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  -- Core network IEs
  cn-DomainIdentity CN-DomainIdentity,
  nas-Message NAS-Message
}

-- *****
--
-- HANDOVER TO UTRAN COMMAND
--
-- *****

HandoverToUTRANCommand ::= CHOICE {
  r3 SEQUENCE {
    handoverToUTRANCommand-r3 HandoverToUTRANCommand-r3-IEs,
    nonCriticalExtensions SEQUENCE {} OPTIONAL
  },
  criticalExtensions CHOICE {
    r4 SEQUENCE {
      handoverToUTRANCommand-r4 HandoverToUTRANCommand-r4-IEs,
      nonCriticalExtensions SEQUENCE {} OPTIONAL
    },
    criticalExtensions SEQUENCE {}
  }
}

HandoverToUTRANCommand-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  new-U-RNTI U-RNTI-Short,
  -- dummy is not used in this version of specification, it should
  -- not be sent and if received it should be ignored.
  dummy ActivationTime OPTIONAL,
  cipheringAlgorithm CipheringAlgorithm OPTIONAL,
  -- Radio bearer IEs
  -- Specification mode information
  specificationMode CHOICE {
    complete SEQUENCE {
      srb-InformationSetupList SRB-InformationSetupList,
      rab-InformationSetupList RAB-InformationSetupList OPTIONAL,
      ul-CommonTransChInfo UL-CommonTransChInfo,
      ul-AddReconfTransChInfoList UL-AddReconfTransChInfoList,
      dl-CommonTransChInfo DL-CommonTransChInfo,
      dl-AddReconfTransChInfoList DL-AddReconfTransChInfoList,
      ul-DPCH-Info UL-DPCH-Info,
      modeSpecificInfo CHOICE {
        fdd SEQUENCE {
          dl-PDSCH-Information DL-PDSCH-Information OPTIONAL,
          cpch-SetInfo CPCH-SetInfo OPTIONAL
        },
        tdd NULL
      },
      dl-CommonInformation DL-CommonInformation,
      dl-InformationPerRL-List DL-InformationPerRL-List,
      frequencyInfo FrequencyInfo
    },
    preconfiguration SEQUENCE {
  -- All IEs that include an FDD/TDD choice are split in two IEs for this message,
  -- one for the FDD only elements and one for the TDD only elements, so that one
  -- FDD/TDD choice in this level is sufficient.

```

```

preConfigMode CHOICE {
  predefinedConfigIdentity PredefinedConfigIdentity,
  defaultConfig SEQUENCE {
    defaultConfigMode DefaultConfigMode,
    defaultConfigIdentity DefaultConfigIdentity
  }
},
rab-Info RAB-Info-Post OPTIONAL,
modeSpecificInfo CHOICE {
  fdd SEQUENCE {
    ul-DPCH-Info UL-DPCH-InfoPostFDD,
    dl-CommonInformationPost DL-CommonInformationPost,
    dl-InformationPerRL-List DL-InformationPerRL-ListPostFDD,
    frequencyInfo FrequencyInfoFDD
  },
  tdd SEQUENCE {
    ul-DPCH-Info UL-DPCH-InfoPostTDD,
    dl-CommonInformationPost DL-CommonInformationPost,
    dl-InformationPerRL DL-InformationPerRL-PostTDD,
    frequencyInfo FrequencyInfoTDD,
    primaryCCPCH-TX-Power PrimaryCCPCH-TX-Power
  }
}
},
}
-- Physical channel IEs
maxAllowedUL-TX-Power MaxAllowedUL-TX-Power
}

HandoverToUTRANCommand-r4-IEs ::= SEQUENCE {
-- User equipment IEs
new-U-RNTI U-RNTI-Short,
cipheringAlgorithm CipheringAlgorithm OPTIONAL,
-- Radio bearer IEs
-- Specification mode information
specificationMode CHOICE {
  complete SEQUENCE {
    srb-InformationSetupList SRB-InformationSetupList,
    rab-InformationSetupList RAB-InformationSetupList-r4 OPTIONAL,
    ul-CommonTransChInfo UL-CommonTransChInfo-r4,
    ul-AddReconfTransChInfoList UL-AddReconfTransChInfoList,
    dl-CommonTransChInfo DL-CommonTransChInfo-r4,
    dl-AddReconfTransChInfoList DL-AddReconfTransChInfoList-r4,
    ul-DPCH-Info UL-DPCH-Info-r4,
    modeSpecificInfo CHOICE {
      fdd SEQUENCE {
        dl-PDSCH-Information DL-PDSCH-Information OPTIONAL,
        cpch-SetInfo CPCH-SetInfo OPTIONAL
      },
      tdd NULL
    },
    dl-CommonInformation DL-CommonInformation-r4,
    dl-InformationPerRL-List DL-InformationPerRL-List-r4,
    frequencyInfo FrequencyInfo
  },
  preconfiguration SEQUENCE {
-- All IEs that include an FDD/TDD choice are split in two IEs for this message,
-- one for the FDD only elements and one for the TDD only elements, so that one
-- FDD/TDD choice in this level is sufficient.
preConfigMode CHOICE {
  predefinedConfigIdentity PredefinedConfigIdentity,
  defaultConfig SEQUENCE {
    defaultConfigMode DefaultConfigMode,
    defaultConfigIdentity DefaultConfigIdentity-r4
  }
},
rab-Info RAB-Info-Post OPTIONAL,
modeSpecificInfo CHOICE {
  fdd SEQUENCE {
    ul-DPCH-Info UL-DPCH-InfoPostFDD,
    dl-CommonInformationPost DL-CommonInformationPost,
    dl-InformationPerRL-List DL-InformationPerRL-ListPostFDD,
    frequencyInfo FrequencyInfoFDD
  },
  tdd CHOICE {
    tdd384 SEQUENCE {
      ul-DPCH-Info UL-DPCH-InfoPostTDD,

```

```

        dl-InformationPerRL          DL-InformationPerRL-PostTDD,
        frequencyInfo                FrequencyInfoTDD,
        primaryCCPCH-TX-Power         PrimaryCCPCH-TX-Power
    },
    tdd128                             SEQUENCE {
        ul-DPCH-Info                  UL-DPCH-InfoPostTDD-LCR-r4,
        dl-InformationPerRL           DL-InformationPerRL-PostTDD-LCR-r4,
        frequencyInfo                 FrequencyInfoTDD,
        primaryCCPCH-TX-Power         PrimaryCCPCH-TX-Power
    }
}
}
},
-- Physical channel IEs
    maxAllowedUL-TX-Power             MaxAllowedUL-TX-Power
}

-- *****
--
-- HANDOVER TO UTRAN COMPLETE
--
-- *****

HandoverToUTRANComplete ::= SEQUENCE {
--TABULAR: Integrity protection shall not be performed on this message.
-- User equipment IEs
-- TABULAR: startList is conditional on history.
    startList                          STARTList                               OPTIONAL,
-- Radio bearer IEs
    count-C-ActivationTime              ActivationTime                       OPTIONAL,
    laterNonCriticalExtensions           SEQUENCE {
        -- Container for additional R99 extensions
        handoverToUTRANComplete-r3-add-ext BIT STRING OPTIONAL,
        nonCriticalExtensions             SEQUENCE {} OPTIONAL
    } OPTIONAL
}

-- *****
--
-- INITIAL DIRECT TRANSFER
--
-- *****

InitialDirectTransfer ::= SEQUENCE {
-- Core network IEs
    cn-DomainIdentity                  CN-DomainIdentity,
    intraDomainNasNodeSelector          IntraDomainNasNodeSelector,
    nas-Message                          NAS-Message,
-- Measurement IEs
    measuredResultsOnRACH                MeasuredResultsOnRACH              OPTIONAL,
    v3a0NonCriticalExtensions            SEQUENCE {
        initialDirectTransfer-v3a0ext    InitialDirectTransfer-v3a0ext,
        laterNonCriticalExtensions        SEQUENCE {
            -- Container for additional R99 extensions
            initialDirectTransfer-r3-add-ext BIT STRING OPTIONAL,
            -- Extension mechanism for non- release99 information
            nonCriticalExtensions         SEQUENCE {} OPTIONAL
        } OPTIONAL
    } OPTIONAL
}

InitialDirectTransfer-v3a0ext ::= SEQUENCE {
-- start-value shall always be included in this version of the protocol
    start-Value                          START-Value                          OPTIONAL
}

-- *****
--
-- HANDOVER FROM UTRAN COMMAND
--
-- *****

HandoverFromUTRANCommand-GSM ::= CHOICE {
    r3                                     SEQUENCE {
        handoverFromUTRANCommand-GSM-r3
        HandoverFromUTRANCommand-GSM-r3-IEs,

```

```

-- UTRAN should not include the IE laterNonCriticalExtensions when it sets the IE
-- gsm-message included in handoverFromUTRANCommand-GSM-r3 to single-GSM-Message. The UE
-- behaviour upon receiving a message with this combination of IE values is unspecified.
laterNonCriticalExtensions SEQUENCE {
  -- Container for additional R99 extensions
  handoverFromUTRANCommand-GSM-r3-add-ext BIT STRING OPTIONAL,
  nonCriticalExtensions SEQUENCE {} OPTIONAL
}
},
later-than-r3 SEQUENCE {
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  criticalExtensions SEQUENCE {}
}
}

HandoverFromUTRANCommand-GSM-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  activationTime ActivationTime OPTIONAL,
  -- Radio bearer IEs
  toHandoverRAB-Info RAB-Info OPTIONAL,
  -- Measurement IEs
  frequency-band Frequency-Band,
  -- Other IEs
  gsm-message CHOICE {
    -- In the single-GSM-Message case the following rules apply:
    -- 1> the GSM message directly follows the basic production; the final padding that
    -- results when PER encoding the abstract syntax value is removed prior to appending
    -- the GSM message.
    -- 2> the RRC message excluding the GSM part, does not contain a length determinant;
    -- there is no explicit parameter indicating the size of the included GSM message.
    -- 3> depending on need, final padding (all "0"s) is added to ensure the final result
    -- comprises a full number of octets
    single-GSM-Message SEQUENCE {},
    gsm-MessageList SEQUENCE {
      gsm-Messages GSM-MessageList
    }
  }
}

HandoverFromUTRANCommand-CDMA2000 ::= CHOICE {
  r3 SEQUENCE {
    handoverFromUTRANCommand-CDMA2000-r3
    HandoverFromUTRANCommand-CDMA2000-r3-IEs,
    laterNonCriticalExtensions SEQUENCE {
      -- Container for additional R99 extensions
      handoverFromUTRANCommand-CDMA2000-r3-add-ext BIT STRING OPTIONAL,
      nonCriticalExtensions SEQUENCE {} OPTIONAL
    }
  },
  later-than-r3 SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions SEQUENCE {}
  }
}

HandoverFromUTRANCommand-CDMA2000-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  activationTime ActivationTime OPTIONAL,
  -- Radio bearer IEs
  toHandoverRAB-Info RAB-Info OPTIONAL,
  -- Other IEs
  cdma2000-MessageList CDMA2000-MessageList
}

-- *****
--
-- HANOVER FROM UTRAN FAILURE
--
-- *****

HandoverFromUTRANFailure ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  -- Other IEs
  interRAT-HO-FailureCause InterRAT-HO-FailureCause OPTIONAL,

```



```

interRATMessage          CHOICE {
  gsm                     SEQUENCE {
    gsm-MessageList      GSM-MessageList
  },
  cdma2000                SEQUENCE {
    cdma2000-MessageList CDMA2000-MessageList
  }
} OPTIONAL,
laterNonCriticalExtensions SEQUENCE {
  -- Container for additional R99 extensions
  handoverFromUTRANFailure-r3-add-ext BIT STRING OPTIONAL,
  nonCriticalExtensions SEQUENCE {} OPTIONAL
} OPTIONAL
}

-- *****
--
-- INTER RAT HANDOVER INFO
--
-- *****

InterRATHandoverInfo ::= SEQUENCE {
  -- This structure is defined for historical reasons, backward compatibility with 04.18
  predefinedConfigStatusList CHOICE {
    absent NULL,
    present PredefinedConfigStatusList
  },
  uE-SecurityInformation CHOICE {
    absent NULL,
    present UE-SecurityInformation
  },
  ue-CapabilityContainer CHOICE {
    absent NULL,
    -- present is an octet aligned string containing IE UE-RadioAccessCapabilityInfo
    present OCTET STRING (SIZE (0..63))
  },
  -- Non critical extensions
  v390NonCriticalExtensions CHOICE {
    absent NULL,
    present SEQUENCE {
      interRATHandoverInfo-v390ext InterRATHandoverInfo-v390ext-IEs,
      v3a0NonCriticalExtensions SEQUENCE {
        interRATHandoverInfo-v3a0ext InterRATHandoverInfo-v3a0ext-IEs,
        laterNonCriticalExtensions SEQUENCE {
          interRATHandoverInfo-v3d0ext InterRATHandoverInfo-v3d0ext-IEs,
          -- Container for additional R99 extensions
          interRATHandoverInfo-r3-add-ext BIT STRING OPTIONAL,
          v3g0NonCriticalExtensions SEQUENCE {
            interRATHandoverInfo-v3g0ext InterRATHandoverInfo-v3g0ext-IEs,
            v4b0NonCriticalExtensions SEQUENCE {
              interRATHandoverInfo-v4b0ext InterRATHandoverInfo-v4b0ext-IEs,
              v4d0NonCriticalExtensions SEQUENCE {
                interRATHandoverInfo-v4d0ext InterRATHandoverInfo-v4d0ext-IEs,
                -- Reserved for future non critical extension
                nonCriticalExtensions SEQUENCE {} OPTIONAL
              } OPTIONAL
            } OPTIONAL
          } OPTIONAL
        } OPTIONAL
      } OPTIONAL
    } OPTIONAL
  }
}

InterRATHandoverInfo-v390ext-IEs ::= SEQUENCE {
  -- User equipment IEs
  ue-RadioAccessCapability-v380ext UE-RadioAccessCapability-v380ext OPTIONAL,
  dl-PhysChCapabilityFDD-v380ext DL-PhysChCapabilityFDD-v380ext
}

InterRATHandoverInfo-v3a0ext-IEs ::= SEQUENCE {
  -- User equipment IEs
  ue-RadioAccessCapability-v3a0ext UE-RadioAccessCapability-v3a0ext OPTIONAL
}

InterRATHandoverInfo-v3d0ext-IEs ::= SEQUENCE {
  -- User equipment IEs

```

```

        uESpecificBehaviourInformationInterRAT    UESpecificBehaviourInformationInterRAT
    OPTIONAL
}

InterRATHandoverInfo-v3g0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
    ue-RadioAccessCapability-v3g0ext    UE-RadioAccessCapability-v3g0ext    OPTIONAL
}

InterRATHandoverInfo-v4b0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
    accessStratumReleaseIndicator    AccessStratumReleaseIndicator
}

InterRATHandoverInfo-v4d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
    tdd128-RF-Capability    RadioFrequencyBandTDDList    OPTIONAL
}

-- *****
--
-- MEASUREMENT CONTROL
--
-- *****

MeasurementControl ::= CHOICE {
    -- The Rel-4 functionality of UE Positioning OTDOA AssistanceData for TDD is only available
    -- in the later-than-r3 branch of this message (i.e. through the use of the IE
    -- ue-Positioning-OTDOA-AssistanceData-r4)
    r3
        SEQUENCE {
            measurementControl-r3    MeasurementControl-r3-IEs,
            v390nonCriticalExtensions    SEQUENCE {
                measurementControl-v390ext    MeasurementControl-v390ext,
                v3a0NonCriticalExtensions    SEQUENCE {
                    measurementControl-v3a0ext    MeasurementControl-v3a0ext,
                    laterNonCriticalExtensions    SEQUENCE {
                        -- Container for additional R99 extensions
                        measurementControl-r3-add-ext    BIT STRING    OPTIONAL,
                        v4b0NonCriticalExtensions    SEQUENCE {
                            -- The REL-4 version of this specification included a non critical extension
                            -- that was removed from version 4.h.0 onwards to align with REL-5
                            -- If UTRAN sends the extension that has been removed to a UE conforming
                            -- to this version of the specification, the UE behaviour is unspecified.
                            -- Later non critical extensions sent to a UE conforming to a REL-4 version
                            -- of the specification earlier than 4.h.0 may cause interoperability
                            -- problems
                            nonCriticalExtensions    SEQUENCE {}    OPTIONAL
                        }
                    }
                }
            }
        }
        OPTIONAL
    },
    later-than-r3
        SEQUENCE {
            rrc-TransactionIdentifier    RRC-TransactionIdentifier,
            criticalExtensions    CHOICE {
                r4
                    SEQUENCE {
                        measurementControl-r4    MeasurementControl-r4-IEs,
                        v4d0NonCriticalExtensions    SEQUENCE {
                            -- Container for adding non critical extensions after freezing REL-5
                            measurementControl-r4-add-ext    BIT STRING    OPTIONAL,
                            nonCriticalExtensions    SEQUENCE {}    OPTIONAL
                        }
                    }
                },
            criticalExtensions    SEQUENCE {}
        }
    }
}

MeasurementControl-r3-IEs ::= SEQUENCE {
    -- User equipment IEs
    rrc-TransactionIdentifier    RRC-TransactionIdentifier,
    -- Measurement IEs
    measurementIdentity    MeasurementIdentity,
    -- TABULAR: The measurement type is included in MeasurementCommand.
    measurementCommand    MeasurementCommand,
    measurementReportingMode    MeasurementReportingMode    OPTIONAL,
    additionalMeasurementList    AdditionalMeasurementID-List    OPTIONAL,
    -- Physical channel IEs

```

```

    dpch-CompressedModeStatusInfo    DPCH-CompressedModeStatusInfo    OPTIONAL
}

MeasurementControl-v390ext ::= SEQUENCE {
    ue-Positioning-Measurement-v390ext    UE-Positioning-Measurement-v390ext    OPTIONAL
}

MeasurementControl-v3a0ext ::= SEQUENCE {
    sfn-Offset-Validity                SFN-Offset-Validity                OPTIONAL
}

MeasurementControl-r4-IEs ::= SEQUENCE {
    -- Measurement IEs
    measurementIdentity                MeasurementIdentity,
    -- TABULAR: The measurement type is included in measurementCommand.
    measurementCommand                  MeasurementCommand-r4,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementList           AdditionalMeasurementID-List        OPTIONAL,
    -- Physical channel IEs
    dpch-CompressedModeStatusInfo    DPCH-CompressedModeStatusInfo    OPTIONAL
}

-- *****
--
-- MEASUREMENT CONTROL FAILURE
--
-- *****

MeasurementControlFailure ::= SEQUENCE {
    -- User equipment IEs
    rrc-TransactionIdentifier            RRC-TransactionIdentifier,
    failureCause                         FailureCauseWithProtErr,
    laterNonCriticalExtensions           SEQUENCE {
        -- Container for additional R99 extensions
        measurementControlFailure-r3-add-ext    BIT STRING            OPTIONAL,
        nonCriticalExtensions                   SEQUENCE {}           OPTIONAL
    } OPTIONAL
}

-- *****
--
-- MEASUREMENT REPORT
--
-- *****

MeasurementReport ::= SEQUENCE {
    -- Measurement IEs
    measurementIdentity                MeasurementIdentity,
    measuredResults                     MeasuredResults                OPTIONAL,
    measuredResultsOnRACH               MeasuredResultsOnRACH            OPTIONAL,
    additionalMeasuredResults            MeasuredResultsList              OPTIONAL,
    eventResults                         EventResults                      OPTIONAL,
    -- Non-critical extensions
    v390nonCriticalExtensions           SEQUENCE {
        measurementReport-v390ext            MeasurementReport-v390ext,
        laterNonCriticalExtensions           SEQUENCE {
            -- Container for additional R99 extensions
            measurementReport-r3-add-ext    BIT STRING            OPTIONAL,
            v4b0NonCriticalExtensions       SEQUENCE {
                measurementReport-v4b0ext    MeasurementReport-v4b0ext-IEs,
                -- Extension mechanism for non-Rel4 information
                nonCriticalExtensions       SEQUENCE {}           OPTIONAL
            } OPTIONAL
        } OPTIONAL
    } OPTIONAL
}

MeasurementReport-v390ext ::= SEQUENCE {
    measuredResults-v390ext            MeasuredResults-v390ext            OPTIONAL
}

MeasurementReport-v4b0ext-IEs ::= SEQUENCE {
    interFreqEventResults-LCR           InterFreqEventResults-LCR-r4-ext    OPTIONAL,
    -- additionalMeasuredResults-LCR shall contain measurement results and additional measurement
    -- results list.
    additionalMeasuredResults-LCR       MeasuredResultsList-LCR-r4-ext    OPTIONAL,
    gsmOTDreferenceCell                 PrimaryCPICH-Info                  OPTIONAL
}

```

```

-- *****
--
-- PAGING TYPE 1
--
-- *****

PagingType1 ::= SEQUENCE {
  -- User equipment IES
  pagingRecordList          PagingRecordList          OPTIONAL,
  -- Other IES
  bcch-ModificationInfo    BCCH-ModificationInfo    OPTIONAL,
  laterNonCriticalExtensions SEQUENCE {
    -- Container for additional R99 extensions
    pagingType1-r3-add-ext  BIT STRING            OPTIONAL,
    nonCriticalExtensions   SEQUENCE {}            OPTIONAL
  } OPTIONAL
}

-- *****
--
-- PAGING TYPE 2
--
-- *****

PagingType2 ::= SEQUENCE {
  -- User equipment IES
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  pagingCause              PagingCause,
  -- Core network IES
  cn-DomainIdentity        CN-DomainIdentity,
  pagingRecordTypeID       PagingRecordTypeID,
  laterNonCriticalExtensions SEQUENCE {
    -- Container for additional R99 extensions
    pagingType2-r3-add-ext  BIT STRING            OPTIONAL,
    nonCriticalExtensions   SEQUENCE {}            OPTIONAL
  } OPTIONAL
}

-- *****
--
-- PHYSICAL CHANNEL RECONFIGURATION
--
-- *****

PhysicalChannelReconfiguration ::= CHOICE {
  r3 SEQUENCE {
    physicalChannelReconfiguration-r3
      PhysicalChannelReconfiguration-r3-IEs,
    v3a0NonCriticalExtensions SEQUENCE {
      physicalChannelReconfiguration-v3a0ext PhysicalChannelReconfiguration-v3a0ext,
      laterNonCriticalExtensions SEQUENCE {
        -- Container for additional R99 extensions
        physicalChannelReconfiguration-r3-add-ext BIT STRING OPTIONAL,
        v4b0NonCriticalExtensions SEQUENCE {
          physicalChannelReconfiguration-v4b0ext
            PhysicalChannelReconfiguration-v4b0ext-IEs,
          nonCriticalExtensions SEQUENCE {} OPTIONAL
        } OPTIONAL
      } OPTIONAL
    } OPTIONAL
  },
  later-than-r3 SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
      r4 SEQUENCE {
        physicalChannelReconfiguration-r4
          PhysicalChannelReconfiguration-r4-IEs,
        v4d0NonCriticalExtensions SEQUENCE {
          -- Container for adding non critical extensions after freezing REL-5
          physicalChannelReconfiguration-r4-add-ext BIT STRING OPTIONAL,
          nonCriticalExtensions SEQUENCE {} OPTIONAL
        } OPTIONAL
      },
      criticalExtensions SEQUENCE {}
    }
  }
}

```

```

PhysicalChannelReconfiguration-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  integrityProtectionModeInfo    IntegrityProtectionModeInfo    OPTIONAL,
  cipheringModeInfo              CipheringModeInfo                  OPTIONAL,
  activationTime                  ActivationTime                    OPTIONAL,
  new-U-RNTI                      U-RNTI                          OPTIONAL,
  new-C-RNTI                      C-RNTI                          OPTIONAL,
  rrc-StateIndicator              RRC-StateIndicator,
  utran-DRX-CycleLengthCoeff     UTRAN-DRX-CycleLengthCoefficient OPTIONAL,
  -- Core network IEs
  cn-InformationInfo              CN-InformationInfo                OPTIONAL,
  -- UTRAN mobility IEs
  ura-Identity                    URA-Identity                      OPTIONAL,
  -- Radio bearer IEs
  dl-CounterSynchronisationInfo  DL-CounterSynchronisationInfo    OPTIONAL,
  -- Physical channel IEs
  frequencyInfo                   FrequencyInfo                      OPTIONAL,
  maxAllowedUL-TX-Power           MaxAllowedUL-TX-Power             OPTIONAL,
  -- TABULAR: UL-ChannelRequirementWithCPCH-SetID contains the choice
  -- between UL DPCH info, CPCH SET info and CPCH set ID.
  ul-ChannelRequirement           UL-ChannelRequirementWithCPCH-SetID OPTIONAL,
  modeSpecificInfo                CHOICE {
    fdd                            SEQUENCE {
      dl-PDSCH-Information         DL-PDSCH-Information            OPTIONAL
    },
    tdd                            NULL
  },
  dl-CommonInformation            DL-CommonInformation              OPTIONAL,
  dl-InformationPerRL-List       DL-InformationPerRL-List          OPTIONAL
}

PhysicalChannelReconfiguration-v3a0ext ::= SEQUENCE {
  new-DSCH-RNTI                   DSCH-RNTI                          OPTIONAL
}

PhysicalChannelReconfiguration-v4b0ext-IEs ::= SEQUENCE {
  -- Physical channel IEs
  -- ssdt-UL extends SSDT-Information, which is included in
  -- DL-CommonInformation. FDD only.
  ssdt-UL-r4                      SSdt-UL                            OPTIONAL,
  -- The order of the RLs in IE cell-id-PerRL-List is the same as
  -- in IE DL-InformationPerRL-List included in this message
  cell-id-PerRL-List              CellIdentity-PerRL-List            OPTIONAL
}

PhysicalChannelReconfiguration-r4-IEs ::= SEQUENCE {
  -- User equipment IEs
  integrityProtectionModeInfo      IntegrityProtectionModeInfo        OPTIONAL,
  cipheringModeInfo                CipheringModeInfo                  OPTIONAL,
  activationTime                    ActivationTime                      OPTIONAL,
  new-U-RNTI                        U-RNTI                            OPTIONAL,
  new-C-RNTI                        C-RNTI                            OPTIONAL,
  new-DSCH-RNTI                    DSCH-RNTI                          OPTIONAL,
  rrc-StateIndicator                RRC-StateIndicator,
  utran-DRX-CycleLengthCoeff       UTRAN-DRX-CycleLengthCoefficient  OPTIONAL,
  -- Core network IEs
  cn-InformationInfo                CN-InformationInfo                OPTIONAL,
  -- UTRAN mobility IEs
  ura-Identity                      URA-Identity                      OPTIONAL,
  -- Radio bearer IEs
  dl-CounterSynchronisationInfo    DL-CounterSynchronisationInfo     OPTIONAL,
  -- Physical channel IEs
  frequencyInfo                     FrequencyInfo                      OPTIONAL,
  maxAllowedUL-TX-Power             MaxAllowedUL-TX-Power             OPTIONAL,
  -- TABULAR: UL-ChannelRequirementWithCPCH-SetID-r4 contains the choice
  -- between UL DPCH info, CPCH SET info and CPCH set ID.
  ul-ChannelRequirement            UL-ChannelRequirementWithCPCH-SetID-r4 OPTIONAL,
  modeSpecificInfo                  CHOICE {
    fdd                             SEQUENCE {
      dl-PDSCH-Information         DL-PDSCH-Information            OPTIONAL
    },
    tdd                             NULL
  },
  dl-CommonInformation              DL-CommonInformation-r4            OPTIONAL,
  dl-InformationPerRL-List-r4      DL-InformationPerRL-List-r4        OPTIONAL
}

```

```

-- *****
--
-- PHYSICAL CHANNEL RECONFIGURATION COMPLETE
--
-- *****

PhysicalChannelReconfigurationComplete ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  ul-IntegProtActivationInfo     IntegrityProtActivationInfo      OPTIONAL,
  -- TABULAR: UL-TimingAdvance is applicable for TDD mode only.
  ul-TimingAdvance              UL-TimingAdvance                OPTIONAL,
  -- Radio bearer IEs
  count-C-ActivationTime        ActivationTime                OPTIONAL,
  rb-UL-CiphActivationTimeInfo   RB-ActivationTimeInfoList    OPTIONAL,
  ul-CounterSynchronisationInfo UL-CounterSynchronisationInfo  OPTIONAL,
  laterNonCriticalExtensions     SEQUENCE {
    -- Container for additional R99 extensions
    physicalChannelReconfigurationComplete-r3-add-ext  BIT STRING      OPTIONAL,
  }
  -- Extension mechanism for non-release99 information
  nonCriticalExtensions          SEQUENCE {}                  OPTIONAL
}

-- *****
--
-- PHYSICAL CHANNEL RECONFIGURATION FAILURE
--
-- *****

PhysicalChannelReconfigurationFailure ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier      OPTIONAL,
  failureCause                  FailureCauseWithProtErr,
  laterNonCriticalExtensions     SEQUENCE {
    -- Container for additional R99 extensions
    physicalChannelReconfigurationFailure-r3-add-ext  BIT STRING      OPTIONAL,
    nonCriticalExtensions        SEQUENCE {}                  OPTIONAL
  }
}

-- *****
--
-- PHYSICAL SHARED CHANNEL ALLOCATION (TDD only)
--
-- *****

PhysicalSharedChannelAllocation ::= CHOICE {
  r3                             SEQUENCE {
    physicalSharedChannelAllocation-r3
    laterNonCriticalExtensions    SEQUENCE {
      -- Container for additional R99 extensions
      physicalSharedChannelAllocation-r3-add-ext  BIT STRING      OPTIONAL,
      nonCriticalExtensions        SEQUENCE {}                  OPTIONAL
    }
  },
  later-than-r3                  SEQUENCE {
    dsch-RNTI                    DSCH-RNTI                    OPTIONAL,
    rrc-TransactionIdentifier     RRC-TransactionIdentifier,
    criticalExtensions            CHOICE {
      r4                          SEQUENCE {
        physicalSharedChannelAllocation-r4
        v4d0NonCriticalExtensions SEQUENCE {
          -- Container for adding non critical extensions after freezing REL-5
          physicalSharedChannelAllocation-r4-add-ext  BIT STRING      OPTIONAL,
          nonCriticalExtensions        SEQUENCE {}                  OPTIONAL
        }
      }
    },
    criticalExtensions            SEQUENCE {}
  }
}

PhysicalSharedChannelAllocation-r3-IEs ::= SEQUENCE {
  -- TABULAR: Integrity protection shall not be performed on this message.

```

```

-- User equipment IEs
  dsch-RNTI                DSCH-RNTI                OPTIONAL,
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
-- Physical channel IEs
  ul-TimingAdvance         UL-TimingAdvanceControl    OPTIONAL,
  pusch-CapacityAllocationInfo PUSCH-CapacityAllocationInfo  OPTIONAL,
  pdsch-CapacityAllocationInfo PDSCH-CapacityAllocationInfo  OPTIONAL,
  -- TABULAR: If confirmRequest is not present, the default value "No Confirm"
  -- shall be used as specified in 10.2.25.
  confirmRequest           ENUMERATED {
                                confirmPDSCH, confirmPUSCH }  OPTIONAL,
  trafficVolumeReportRequest INTEGER (0..255)          OPTIONAL,
  iscpTimeslotList         TimeslotList                OPTIONAL,
  requestPCCPCHRSCP        BOOLEAN
}

PhysicalSharedChannelAllocation-r4-IEs ::= SEQUENCE {
  -- TABULAR: Integrity protection shall not be performed on this message.
  -- Physical channel IEs
  ul-TimingAdvance         UL-TimingAdvanceControl-r4    OPTIONAL,
  pusch-CapacityAllocationInfo PUSCH-CapacityAllocationInfo-r4  OPTIONAL,
  pdsch-CapacityAllocationInfo PDSCH-CapacityAllocationInfo-r4  OPTIONAL,
  -- TABULAR: If confirmRequest is not present, the default value "No Confirm"
  -- shall be used as specified in 10.2.25.
  confirmRequest           ENUMERATED {
                                confirmPDSCH, confirmPUSCH }  OPTIONAL,
  trafficVolumeReportRequest INTEGER (0..255)          OPTIONAL,
  iscpTimeslotList         TimeslotList-r4              OPTIONAL,
  requestPCCPCHRSCP        BOOLEAN
}

-- *****
--
-- PUSCH CAPACITY REQUEST (TDD only)
--
-- *****

PUSCHCapacityRequest ::= SEQUENCE {
  -- User equipment IEs
  dsch-RNTI                DSCH-RNTI                OPTIONAL,
  -- Measurement IEs
  trafficVolume             TrafficVolumeMeasuredResultsList  OPTIONAL,
  timeslotListWithISCP     TimeslotListWithISCP    OPTIONAL,
  primaryCCPCH-RSCP        PrimaryCCPCH-RSCP          OPTIONAL,
  allocationConfirmation    CHOICE {
    pdschConfirmation       PDSCH-Identity,
    puschedConfirmation     PUSCH-Identity
  }  OPTIONAL,
  protocolErrorIndicator    ProtocolErrorIndicatorWithMoreInfo,
  laterNonCriticalExtensions SEQUENCE {
    -- Container for additional R99 extensions
    puschedCapacityRequest-r3-add-ext BIT STRING  OPTIONAL,
    -- Extension mechanism for non-release99 information
    nonCriticalExtensions     SEQUENCE {}  OPTIONAL
  }  OPTIONAL
}

-- *****
--
-- RADIO BEARER RECONFIGURATION
--
-- *****

RadioBearerReconfiguration ::= CHOICE {
  r3                        SEQUENCE {
    radioBearerReconfiguration-r3 RadioBearerReconfiguration-r3-IEs,
    -- Prefix "v3ao" is used (in one instance) to keep alignment with R99
    v3aoNonCriticalExtensions SEQUENCE {
      radioBearerReconfiguration-v3aoext RadioBearerReconfiguration-v3aoext,
      laterNonCriticalExtensions SEQUENCE {
        -- Container for additional R99 extensions
        radioBearerReconfiguration-r3-add-ext BIT STRING  OPTIONAL,
        v4b0NonCriticalExtensions SEQUENCE {
          radioBearerReconfiguration-v4b0ext
        }
      }
      nonCriticalExtensions SEQUENCE {}  OPTIONAL
    }  OPTIONAL
  }  OPTIONAL
}

```

```

    } OPTIONAL
  },
  later-than-r3 SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
      r4 SEQUENCE {
        radioBearerReconfiguration-r4 RadioBearerReconfiguration-r4-IEs,
        v4d0NonCriticalExtensions SEQUENCE {
          -- Container for adding non critical extensions after freezing REL-5
          radioBearerReconfiguration-r4-add-ext BIT STRING OPTIONAL,
          nonCriticalExtensions SEQUENCE {} OPTIONAL
        } OPTIONAL
      }
    },
    criticalExtensions SEQUENCE {}
  }
}

```

```

RadioBearerReconfiguration-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  integrityProtectionModeInfo IntegrityProtectionModeInfo OPTIONAL,
  cipheringModeInfo CipheringModeInfo OPTIONAL,
  activationTime ActivationTime OPTIONAL,
  new-U-RNTI U-RNTI OPTIONAL,
  new-C-RNTI C-RNTI OPTIONAL,
  rrc-StateIndicator RRC-StateIndicator,
  utran-DRX-CycleLengthCoeff UTRAN-DRX-CycleLengthCoefficient OPTIONAL,
  -- Core network IEs
  cn-InformationInfo CN-InformationInfo OPTIONAL,
  -- UTRAN mobility IEs
  ura-Identity URA-Identity OPTIONAL,
  -- Radio bearer IEs
  rab-InformationReconfigList RAB-InformationReconfigList OPTIONAL,
  -- NOTE: IE rb-InformationReconfigList should be optional in later versions
  -- of this message
  rb-InformationReconfigList RB-InformationReconfigList,
  rb-InformationAffectedList RB-InformationAffectedList OPTIONAL,
  -- Transport channel IEs
  ul-CommonTransChInfo UL-CommonTransChInfo OPTIONAL,
  ul-deletedTransChInfoList UL-DeletedTransChInfoList OPTIONAL,
  ul-AddReconfTransChInfoList UL-AddReconfTransChInfoList OPTIONAL,
  modeSpecificTransChInfo CHOICE {
    fdd SEQUENCE {
      cpch-SetID CPCH-SetID OPTIONAL,
      addReconfTransChDRAC-Info DRAC-StaticInformationList OPTIONAL
    },
    tdd NULL
  } OPTIONAL,
  dl-CommonTransChInfo DL-CommonTransChInfo OPTIONAL,
  dl-DeletedTransChInfoList DL-DeletedTransChInfoList OPTIONAL,
  dl-AddReconfTransChInfoList DL-AddReconfTransChInfo2List OPTIONAL,
  -- Physical channel IEs
  frequencyInfo FrequencyInfo OPTIONAL,
  maxAllowedUL-TX-Power MaxAllowedUL-TX-Power OPTIONAL,
  ul-ChannelRequirement UL-ChannelRequirement OPTIONAL,
  modeSpecificPhysChInfo CHOICE {
    fdd SEQUENCE {
      dl-PDSCH-Information DL-PDSCH-Information OPTIONAL
    },
    tdd NULL
  },
  dl-CommonInformation DL-CommonInformation OPTIONAL,
  -- NOTE: IE dl-InformationPerRL-List should be optional in later versions
  -- of this message
  dl-InformationPerRL-List DL-InformationPerRL-List
}

```

```

RadioBearerReconfiguration-v3a0ext ::= SEQUENCE {
  new-DSCH-RNTI DSCH-RNTI OPTIONAL
}

```

```

RadioBearerReconfiguration-v4b0ext-IEs ::= SEQUENCE {
  -- Physical channel IEs
  -- ssdt-UL extends SSdT-Information, which is included in
  -- DL-CommonInformation. FDD only.
  ssdt-UL-r4 SSdT-UL OPTIONAL,
  -- The order of the RLs in IE cell-id-PerRL-List is the same as

```



```

-- in IE DL-InformationPerRL-List included in this message
cell-id-PerRL-List          CellIdentity-PerRL-List          OPTIONAL
}

```

```

RadioBearerReconfiguration-r4-IEs ::= SEQUENCE {
-- User equipment IES
  integrityProtectionModeInfo    IntegrityProtectionModeInfo    OPTIONAL,
  cipheringModeInfo              CipheringModeInfo              OPTIONAL,
  activationTime                  ActivationTime                  OPTIONAL,
  new-U-RNTI                      U-RNTI                        OPTIONAL,
  new-C-RNTI                      C-RNTI                        OPTIONAL,
  new-DSCH-RNTI                  DSCH-RNTI                     OPTIONAL,
  rrc-StateIndicator             RRC-StateIndicator,
  utran-DRX-CycleLengthCoeff     UTRAN-DRX-CycleLengthCoefficient OPTIONAL,
-- Core network IES
  cn-InformationInfo             CN-InformationInfo            OPTIONAL,
-- UTRAN mobility IES
  ura-Identity                   URA-Identity                  OPTIONAL,
-- Radio bearer IES
  rab-InformationReconfigList    RAB-InformationReconfigList   OPTIONAL,
  rb-InformationReconfigList     RB-InformationReconfigList-r4  OPTIONAL,
  rb-InformationAffectedList     RB-InformationAffectedList     OPTIONAL,
-- Transport channel IES
  ul-CommonTransChInfo          UL-CommonTransChInfo-r4       OPTIONAL,
  ul-deletedTransChInfoList     UL-DeletedTransChInfoList     OPTIONAL,
  ul-AddReconfTransChInfoList   UL-AddReconfTransChInfoList   OPTIONAL,
  modeSpecificTransChInfo       CHOICE {
    fdd                           SEQUENCE {
      cpch-SetID                  CPCH-SetID                    OPTIONAL,
      addReconfTransChDRAC-Info   DRAC-StaticInformationList    OPTIONAL
    },
    tdd                           NULL
  }
  dl-CommonTransChInfo          DL-CommonTransChInfo-r4       OPTIONAL,
  dl-DeletedTransChInfoList     DL-DeletedTransChInfoList     OPTIONAL,
  dl-AddReconfTransChInfoList   DL-AddReconfTransChInfoList-r4 OPTIONAL,
-- Physical channel IES
  frequencyInfo                  FrequencyInfo                   OPTIONAL,
  maxAllowedUL-TX-Power         MaxAllowedUL-TX-Power         OPTIONAL,
  ul-ChannelRequirement         UL-ChannelRequirement-r4      OPTIONAL,
  modeSpecificPhysChInfo        CHOICE {
    fdd                           SEQUENCE {
      dl-PDSCH-Information        DL-PDSCH-Information         OPTIONAL
    },
    tdd                           NULL
  },
  dl-CommonInformation          DL-CommonInformation-r4       OPTIONAL,
  dl-InformationPerRL-List      DL-InformationPerRL-List-r4   OPTIONAL
}

```

```

-- *****
--
-- RADIO BEARER RECONFIGURATION COMPLETE
--
-- *****

```

```

RadioBearerReconfigurationComplete ::= SEQUENCE {
-- User equipment IES
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  ul-IntegProtActivationInfo     IntegrityProtActivationInfo    OPTIONAL,
  -- TABULAR: UL-TimingAdvance is applicable for TDD mode only.
  ul-TimingAdvance              UL-TimingAdvance              OPTIONAL,
-- Radio bearer IES
  count-C-ActivationTime        ActivationTime                  OPTIONAL,
  rb-UL-CiphActivationTimeInfo   RB-ActivationTimeInfoList     OPTIONAL,
  ul-CounterSynchronisationInfo  UL-CounterSynchronisationInfo OPTIONAL,
  laterNonCriticalExtensions     SEQUENCE {
    -- Container for additional R99 extensions
    radioBearerReconfigurationComplete-r3-add-ext  BIT STRING  OPTIONAL,
    nonCriticalExtensions          SEQUENCE {} OPTIONAL
  }
  } OPTIONAL
}

```

```

-- *****
--
-- RADIO BEARER RECONFIGURATION FAILURE
--
-- *****

```

```

RadioBearerReconfigurationFailure ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  failureCause                   FailureCauseWithProtErr,
  -- Radio bearer IEs
  potentiallySuccessfulBearerList RB-IdentityList          OPTIONAL,
  laterNonCriticalExtensions      SEQUENCE {
    -- Container for additional R99 extensions
    radioBearerReconfigurationFailure-r3-add-ext          BIT STRING          OPTIONAL,
    nonCriticalExtensions      SEQUENCE {} OPTIONAL
  } OPTIONAL
}

-- *****
--
-- RADIO BEARER RELEASE
--
-- *****

RadioBearerRelease ::= CHOICE {
  r3
    SEQUENCE {
      radioBearerRelease-r3      RadioBearerRelease-r3-IEs,
      v3a0NonCriticalExtensions  SEQUENCE {
        radioBearerRelease-v3a0ext      RadioBearerRelease-v3a0ext,
        laterNonCriticalExtensions      SEQUENCE {
          -- Container for additional R99 extensions
          radioBearerRelease-r3-add-ext  BIT STRING          OPTIONAL,
          v4b0NonCriticalExtensions      SEQUENCE {
            radioBearerRelease-v4b0ext      RadioBearerRelease-v4b0ext-IEs,
            nonCriticalExtensions          SEQUENCE {} OPTIONAL
          } OPTIONAL
        } OPTIONAL
      } OPTIONAL
    } OPTIONAL
  },
  later-than-r3
    SEQUENCE {
      rrc-TransactionIdentifier      RRC-TransactionIdentifier,
      criticalExtensions             CHOICE {
        r4
          SEQUENCE {
            radioBearerRelease-r4      RadioBearerRelease-r4-IEs,
            v4d0NonCriticalExtensions  SEQUENCE {
              -- Container for adding non critical extensions after freezing REL-5
              radioBearerRelease-r4-add-ext  BIT STRING          OPTIONAL,
              nonCriticalExtensions          SEQUENCE {} OPTIONAL
            } OPTIONAL
          }
        },
      criticalExtensions             SEQUENCE {}
    }
}

RadioBearerRelease-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  integrityProtectionModeInfo    IntegrityProtectionModeInfo    OPTIONAL,
  cipheringModeInfo              CipheringModeInfo              OPTIONAL,
  activationTime                  ActivationTime                  OPTIONAL,
  new-U-RNTI                      U-RNTI                      OPTIONAL,
  new-C-RNTI                      C-RNTI                      OPTIONAL,
  rrc-StateIndicator              RRC-StateIndicator,
  utran-DRX-CycleLengthCoeff      UTRAN-DRX-CycleLengthCoefficient  OPTIONAL,
  -- Core network IEs
  cn-InformationInfo              CN-InformationInfo              OPTIONAL,
  signallingConnectionRelIndication  CN-DomainIdentity              OPTIONAL,
  -- UTRAN mobility IEs
  ura-Identity                    URA-Identity                    OPTIONAL,
  -- Radio bearer IEs
  rab-InformationReconfigList      RAB-InformationReconfigList      OPTIONAL,
  rb-InformationReleaseList        RB-InformationReleaseList        OPTIONAL,
  rb-InformationAffectedList       RB-InformationAffectedList       OPTIONAL,
  dl-CounterSynchronisationInfo    DL-CounterSynchronisationInfo    OPTIONAL,
  -- Transport channel IEs
  ul-CommonTransChInfo            UL-CommonTransChInfo            OPTIONAL,
  ul-deletedTransChInfoList        UL-DeletedTransChInfoList        OPTIONAL,
  ul-AddReconfTransChInfoList      UL-AddReconfTransChInfoList      OPTIONAL,
  modeSpecificTransChInfo          CHOICE {
    fdd
      SEQUENCE {
        cpch-SetID                    CPCH-SetID                    OPTIONAL,

```

```

        addReconfTransChDRAC-Info          DRAC-StaticInformationList  OPTIONAL
    },
    tdd                                     NULL
}
dl-CommonTransChInfo                     DL-CommonTransChInfo          OPTIONAL,
dl-DeletedTransChInfoList                 DL-DeletedTransChInfoList     OPTIONAL,
dl-AddReconfTransChInfoList               DL-AddReconfTransChInfo2List  OPTIONAL,
-- Physical channel IEs
frequencyInfo                             FrequencyInfo                  OPTIONAL,
maxAllowedUL-TX-Power                     MaxAllowedUL-TX-Power         OPTIONAL,
ul-ChannelRequirement                     UL-ChannelRequirement         OPTIONAL,
modeSpecificPhysChInfo                    CHOICE {
    fdd                                     SEQUENCE {
        dl-PDSCH-Information               DL-PDSCH-Information         OPTIONAL
    },
    tdd                                     NULL
},
dl-CommonInformation                       DL-CommonInformation          OPTIONAL,
dl-InformationPerRL-List                   DL-InformationPerRL-List      OPTIONAL
}

RadioBearerRelease-v3a0ext ::= SEQUENCE {
    new-DSCH-RNTI                           DSCH-RNTI                     OPTIONAL
}

RadioBearerRelease-v4b0ext-IEs ::= SEQUENCE {
    -- Physical channel IEs
    -- IE ssdt-UL extends SSdT-Information, which is included in
    -- DL-CommonInformation. FDD only.
    ssdt-UL-r4                               SSdT-UL                        OPTIONAL,
    -- The order of the RLs in IE cell-id-PerRL-List is the same as
    -- in IE DL-InformationPerRL-List included in this message
    cell-id-PerRL-List                       CellIdentity-PerRL-List       OPTIONAL
}

RadioBearerRelease-r4-IEs ::= SEQUENCE {
    -- User equipment IEs
    integrityProtectionModeInfo              IntegrityProtectionModeInfo    OPTIONAL,
    cipheringModeInfo                        CipheringModeInfo              OPTIONAL,
    activationTime                           ActivationTime                  OPTIONAL,
    new-U-RNTI                               U-RNTI                        OPTIONAL,
    new-C-RNTI                               C-RNTI                        OPTIONAL,
    new-DSCH-RNTI                           DSCH-RNTI                     OPTIONAL,
    rrc-StateIndicator                       RRC-StateIndicator,
    utran-DRX-CycleLengthCoeff              UTRAN-DRX-CycleLengthCoefficient  OPTIONAL,
    -- Core network IEs
    cn-InformationInfo                       CN-InformationInfo            OPTIONAL,
    signallingConnectionRelIndication        CN-DomainIdentity             OPTIONAL,
    -- UTRAN mobility IEs
    ura-Identity                             URA-Identity                  OPTIONAL,
    -- Radio bearer IEs
    rab-InformationReconfigList              RAB-InformationReconfigList    OPTIONAL,
    rb-InformationReleaseList                 RB-InformationReleaseList,
    rb-InformationAffectedList                RB-InformationAffectedList     OPTIONAL,
    dl-CounterSynchronisationInfo            DL-CounterSynchronisationInfo  OPTIONAL,
    -- Transport channel IEs
    ul-CommonTransChInfo                     UL-CommonTransChInfo-r4       OPTIONAL,
    ul-deletedTransChInfoList                UL-DeletedTransChInfoList     OPTIONAL,
    ul-AddReconfTransChInfoList              UL-AddReconfTransChInfoList   OPTIONAL,
    modeSpecificTransChInfo                  CHOICE {
        fdd                                  SEQUENCE {
            cpch-SetID                       CPCH-SetID                    OPTIONAL,
            addReconfTransChDRAC-Info        DRAC-StaticInformationList     OPTIONAL
        },
        tdd                                  NULL
    }
},
dl-CommonTransChInfo                       DL-CommonTransChInfo-r4       OPTIONAL,
dl-DeletedTransChInfoList                   DL-DeletedTransChInfoList     OPTIONAL,
dl-AddReconfTransChInfoList                 DL-AddReconfTransChInfoList-r4  OPTIONAL,
-- Physical channel IEs
frequencyInfo                             FrequencyInfo                  OPTIONAL,
maxAllowedUL-TX-Power                     MaxAllowedUL-TX-Power         OPTIONAL,
ul-ChannelRequirement                       UL-ChannelRequirement-r4      OPTIONAL,
modeSpecificPhysChInfo                     CHOICE {
    fdd                                     SEQUENCE {
        dl-PDSCH-Information                 DL-PDSCH-Information         OPTIONAL
    },
    tdd                                     NULL
}

```

```

    },
    dl-CommonInformation          DL-CommonInformation-r4          OPTIONAL,
    dl-InformationPerRL-List      DL-InformationPerRL-List-r4      OPTIONAL
  }
}
-- *****
--
-- RADIO BEARER RELEASE COMPLETE
--
-- *****

RadioBearerReleaseComplete ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  ul-IntegProtActivationInfo     IntegrityProtActivationInfo          OPTIONAL,
  -- TABULAR: UL-TimingAdvance is applicable for TDD mode only.
  ul-TimingAdvance              UL-TimingAdvance          OPTIONAL,
  -- Radio bearer IEs
  count-C-ActivationTime        ActivationTime          OPTIONAL,
  rb-UL-CiphActivationTimeInfo   RB-ActivationTimeInfoList          OPTIONAL,
  ul-CounterSynchronisationInfo UL-CounterSynchronisationInfo      OPTIONAL,
  laterNonCriticalExtensions     SEQUENCE {
    -- Container for additional R99 extensions
    radioBearerReleaseComplete-r3-add-ext  BIT STRING          OPTIONAL,
    nonCriticalExtensions                 SEQUENCE {}          OPTIONAL
  }
  OPTIONAL
}

-- *****
--
-- RADIO BEARER RELEASE FAILURE
--
-- *****

RadioBearerReleaseFailure ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  failureCause                  FailureCauseWithProtErr,
  -- Radio bearer IEs
  potentiallySuccessfulBearerList RB-IdentityList          OPTIONAL,
  laterNonCriticalExtensions     SEQUENCE {
    -- Container for additional R99 extensions
    radioBearerReleaseFailure-r3-add-ext  BIT STRING          OPTIONAL,
    nonCriticalExtensions                 SEQUENCE {}          OPTIONAL
  }
  OPTIONAL
}

-- *****
--
-- RADIO BEARER SETUP
--
-- *****

RadioBearerSetup ::= CHOICE {
  r3
    SEQUENCE {
      radioBearerSetup-r3          RadioBearerSetup-r3-IEs,
      v3a0NonCriticalExtensions    SEQUENCE {
        radioBearerSetup-v3a0ext   RadioBearerSetup-v3a0ext,
        laterNonCriticalExtensions SEQUENCE {
          -- Container for additional R99 extensions
          radioBearerSetup-r3-add-ext  BIT STRING          OPTIONAL,
          v4b0NonCriticalExtensions   SEQUENCE {
            radioBearerSetup-v4b0ext   RadioBearerSetup-v4b0ext-IEs,
            nonCriticalExtensions     SEQUENCE {}          OPTIONAL
          }
          OPTIONAL
        }
        OPTIONAL
      }
    }
  OPTIONAL
},
  later-than-r3
    SEQUENCE {
      rrc-TransactionIdentifier      RRC-TransactionIdentifier,
      criticalExtensions             CHOICE {
        r4
          SEQUENCE {
            radioBearerSetup-r4      RadioBearerSetup-r4-IEs,
            v4d0NonCriticalExtensions SEQUENCE {
              -- Container for adding non critical extensions after freezing REL-5
              radioBearerSetup-r4-add-ext  BIT STRING          OPTIONAL,
              nonCriticalExtensions       SEQUENCE {}          OPTIONAL
            }
            OPTIONAL
          }
        OPTIONAL
      }
    }
}

```

```

    },
    criticalExtensions          SEQUENCE {}
  }
}

RadioBearerSetup-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier    RRC-TransactionIdentifier,
  integrityProtectionModeInfo  IntegrityProtectionModeInfo    OPTIONAL,
  cipheringModeInfo            CipheringModeInfo                    OPTIONAL,
  activationTime                ActivationTime                      OPTIONAL,
  new-U-RNTI                   U-RNTI                            OPTIONAL,
  new-C-RNTI                   C-RNTI                            OPTIONAL,
  rrc-StateIndicator           RRC-StateIndicator,
  utran-DRX-CycleLengthCoeff   UTRAN-DRX-CycleLengthCoefficient  OPTIONAL,
  -- UTRAN mobility IEs
  ura-Identity                 URA-Identity                            OPTIONAL,
  -- Core network IEs
  cn-InformationInfo           CN-InformationInfo                    OPTIONAL,
  -- Radio bearer IEs
  srb-InformationSetupList     SRB-InformationSetupList        OPTIONAL,
  rab-InformationSetupList     RAB-InformationSetupList        OPTIONAL,
  rb-InformationAffectedList   RB-InformationAffectedList      OPTIONAL,
  dl-CounterSynchronisationInfo DL-CounterSynchronisationInfo  OPTIONAL,
  -- Transport channel IEs
  ul-CommonTransChInfo        UL-CommonTransChInfo            OPTIONAL,
  ul-deletedTransChInfoList   UL-DeletedTransChInfoList       OPTIONAL,
  ul-AddReconfTransChInfoList UL-AddReconfTransChInfoList     OPTIONAL,
  modeSpecificTransChInfo     CHOICE {
    fdd                        SEQUENCE {
      cpch-SetID              CPCH-SetID                    OPTIONAL,
      addReconfTransChDRAC-Info DRAC-StaticInformationList  OPTIONAL
    },
    tdd                        NULL
  }
  dl-CommonTransChInfo        DL-CommonTransChInfo            OPTIONAL,
  dl-DeletedTransChInfoList   DL-DeletedTransChInfoList       OPTIONAL,
  dl-AddReconfTransChInfoList DL-AddReconfTransChInfoList     OPTIONAL,
  -- Physical channel IEs
  frequencyInfo               FrequencyInfo                    OPTIONAL,
  maxAllowedUL-TX-Power       MaxAllowedUL-TX-Power          OPTIONAL,
  ul-ChannelRequirement       UL-ChannelRequirement          OPTIONAL,
  modeSpecificPhysChInfo     CHOICE {
    fdd                        SEQUENCE {
      dl-PDSCH-Information    DL-PDSCH-Information          OPTIONAL
    },
    tdd                        NULL
  },
  dl-CommonInformation        DL-CommonInformation            OPTIONAL,
  dl-InformationPerRL-List    DL-InformationPerRL-List       OPTIONAL
}

RadioBearerSetup-v3a0ext ::= SEQUENCE {
  new-DSCH-RNTI                DSCH-RNTI                            OPTIONAL
}

RadioBearerSetup-v4b0ext-IEs ::= SEQUENCE {
  -- Physical channel IEs
  -- ssdt-UL extends SSdT-Information, which is included in
  -- DL-CommonInformation. FDD only.
  ssdt-UL-r4                   SSdT-UL                            OPTIONAL,
  -- The order of the RLs in IE cell-id-PerRL-List is the same as
  -- in IE DL-InformationPerRL-List included in this message
  cell-id-PerRL-List           CellIdentity-PerRL-List          OPTIONAL
}

RadioBearerSetup-r4-IEs ::= SEQUENCE {
  -- User equipment IEs
  integrityProtectionModeInfo  IntegrityProtectionModeInfo    OPTIONAL,
  cipheringModeInfo            CipheringModeInfo                    OPTIONAL,
  activationTime                ActivationTime                      OPTIONAL,
  new-U-RNTI                   U-RNTI                            OPTIONAL,
  new-C-RNTI                   C-RNTI                            OPTIONAL,
  new-DSCH-RNTI               DSCH-RNTI                            OPTIONAL,
  rrc-StateIndicator           RRC-StateIndicator,
  utran-DRX-CycleLengthCoeff   UTRAN-DRX-CycleLengthCoefficient  OPTIONAL,
  -- UTRAN mobility IEs

```

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ura-Identity          URA-Identity          OPTIONAL,
-- Core network IEs
  cn-InformationInfo  CN-InformationInfo          OPTIONAL,
-- Radio bearer IEs
  srb-InformationSetupList  SRB-InformationSetupList  OPTIONAL,
  rab-InformationSetupList  RAB-InformationSetupList-r4  OPTIONAL,
  rb-InformationAffectedList  RB-InformationAffectedList  OPTIONAL,
  dl-CounterSynchronisationInfo  DL-CounterSynchronisationInfo  OPTIONAL,
-- Transport channel IEs
  ul-CommonTransChInfo  UL-CommonTransChInfo-r4  OPTIONAL,
  ul-deletedTransChInfoList  UL-DeletedTransChInfoList  OPTIONAL,
  ul-AddReconfTransChInfoList  UL-AddReconfTransChInfoList  OPTIONAL,
  modeSpecificTransChInfo  CHOICE {
    fdd  SEQUENCE {
      cpch-SetID  CPCH-SetID  OPTIONAL,
      addReconfTransChDRAC-Info  DRAC-StaticInformationList  OPTIONAL,
    },
    tdd  NULL  OPTIONAL,
  }
  dl-CommonTransChInfo  DL-CommonTransChInfo-r4  OPTIONAL,
  dl-DeletedTransChInfoList  DL-DeletedTransChInfoList  OPTIONAL,
  dl-AddReconfTransChInfoList  DL-AddReconfTransChInfoList-r4  OPTIONAL,
-- Physical channel IEs
  frequencyInfo  FrequencyInfo  OPTIONAL,
  maxAllowedUL-TX-Power  MaxAllowedUL-TX-Power  OPTIONAL,
  ul-ChannelRequirement  UL-ChannelRequirement-r4  OPTIONAL,
  modeSpecificPhysChInfo  CHOICE {
    fdd  SEQUENCE {
      dl-PDSCH-Information  DL-PDSCH-Information  OPTIONAL,
    },
    tdd  NULL,
  },
  dl-CommonInformation  DL-CommonInformation-r4  OPTIONAL,
  dl-InformationPerRL-List  DL-InformationPerRL-List-r4  OPTIONAL,
}

```

```

-- *****
--
-- RADIO BEARER SETUP COMPLETE
--
-- *****

```

```

RadioBearerSetupComplete ::= SEQUENCE {
-- User equipment IEs
  rrc-TransactionIdentifier  RRC-TransactionIdentifier,
  ul-IntegProtActivationInfo  IntegrityProtActivationInfo  OPTIONAL,
-- TABULAR: UL-TimingAdvance is applicable for TDD mode only.
  ul-TimingAdvance  UL-TimingAdvance  OPTIONAL,
  start-Value  START-Value  OPTIONAL,
-- Radio bearer IEs
  count-C-ActivationTime  ActivationTime  OPTIONAL,
  rb-UL-CiphActivationTimeInfo  RB-ActivationTimeInfoList  OPTIONAL,
  ul-CounterSynchronisationInfo  UL-CounterSynchronisationInfo  OPTIONAL,
  laterNonCriticalExtensions  SEQUENCE {
    -- Container for additional R99 extensions
    radioBearerSetupComplete-r3-add-ext  BIT STRING  OPTIONAL,
    nonCriticalExtensions  SEQUENCE {}  OPTIONAL
  }  OPTIONAL
}

```

```

-- *****
--
-- RADIO BEARER SETUP FAILURE
--
-- *****

```

```

RadioBearerSetupFailure ::= SEQUENCE {
-- User equipment IEs
  rrc-TransactionIdentifier  RRC-TransactionIdentifier,
  failureCause  FailureCauseWithProtErr,
-- Radio bearer IEs
  potentiallySuccessfulBearerList  RB-IdentityList  OPTIONAL,
  laterNonCriticalExtensions  SEQUENCE {
    -- Container for additional R99 extensions
    radioBearerSetupFailure-r3-add-ext  BIT STRING  OPTIONAL,
    nonCriticalExtensions  SEQUENCE {}  OPTIONAL
  }  OPTIONAL
}

```

```

-- *****
--
-- RRC CONNECTION REJECT
--
-- *****

RRCConnectionReject ::= CHOICE {
  r3                               SEQUENCE {
    rrcConnectionReject-r3         RRCConnectionReject-r3-IEs,
    laterNonCriticalExtensions     SEQUENCE {
      -- Container for additional R99 extensions
      rrcConnectionReject-r3-add-ext BIT STRING      OPTIONAL,
      nonCriticalExtensions         SEQUENCE {}      OPTIONAL
    } OPTIONAL
  },
  later-than-r3                   SEQUENCE {
    initialUE-Identity             InitialUE-Identity,
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             SEQUENCE {}
  }
}

RRCConnectionReject-r3-IEs ::= SEQUENCE {
  -- TABULAR: Integrity protection shall not be performed on this message.
  -- User equipment IEs
  initialUE-Identity             InitialUE-Identity,
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  rejectionCause                 RejectionCause,
  waitTime                       WaitTime,
  redirectionInfo                RedirectionInfo      OPTIONAL
}

-- *****
--
-- RRC CONNECTION RELEASE
--
-- *****

RRCConnectionRelease ::= CHOICE {
  r3                               SEQUENCE {
    rrcConnectionRelease-r3       RRCConnectionRelease-r3-IEs,
    laterNonCriticalExtensions     SEQUENCE {
      -- Container for additional R99 extensions
      rrcConnectionRelease-r3-add-ext BIT STRING      OPTIONAL,
      nonCriticalExtensions         SEQUENCE {}      OPTIONAL
    } OPTIONAL
  },
  later-than-r3                   SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions            CHOICE {
      r4                           SEQUENCE {
        rrcConnectionRelease-r4    RRCConnectionRelease-r4-IEs,
        v4d0NonCriticalExtensions SEQUENCE {
          -- Container for adding non critical extensions after freezing REL-5
          rrcConnectionRelease-r4-add-ext BIT STRING  OPTIONAL,
          nonCriticalExtensions     SEQUENCE {}      OPTIONAL
        } OPTIONAL
      }
    },
    criticalExtensions            SEQUENCE {}
  }
}

RRCConnectionRelease-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  -- n-308 is conditional on the UE state
  n-308                          N-308              OPTIONAL,
  releaseCause                   ReleaseCause,
  rplmn-information              Rplmn-Information   OPTIONAL
}

RRCConnectionRelease-r4-IEs ::= SEQUENCE {
  -- User equipment IEs
  -- n-308 is conditional on the UE state.
  n-308                          N-308              OPTIONAL,
  releaseCause                   ReleaseCause,

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```

    rplmn-information                Rplmn-Information-r4                OPTIONAL
}
-- *****
--
-- RRC CONNECTION RELEASE for CCCH
--
-- *****

RRConnectionRelease-CCCH ::= CHOICE {
    r3                               SEQUENCE {
        rrcConnectionRelease-CCCH-r3  RRConnectionRelease-CCCH-r3-IEs,
        laterNonCriticalExtensions     SEQUENCE {
            -- Container for additional R99 extensions
            rrcConnectionRelease-CCCH-r3-add-ext  BIT STRING    OPTIONAL,
            nonCriticalExtensions              SEQUENCE {}    OPTIONAL
        } OPTIONAL
    },
    later-than-r3                    SEQUENCE {
        u-RNTI                         U-RNTI,
        rrc-TransactionIdentifier      RRC-TransactionIdentifier,
        criticalExtensions              CHOICE {
            r4                           SEQUENCE {
                rrcConnectionRelease-CCCH-r4  RRConnectionRelease-CCCH-r4-IEs,
                v4d0NonCriticalExtensions     SEQUENCE {
                    -- Container for adding non critical extensions after freezing REL-5
                    rrcConnectionRelease-CCCH-r4-add-ext  BIT STRING    OPTIONAL,
                    nonCriticalExtensions              SEQUENCE {}    OPTIONAL
                } OPTIONAL
            },
            criticalExtensions            SEQUENCE {}
        }
    }
}

RRConnectionRelease-CCCH-r3-IEs ::= SEQUENCE {
    -- User equipment IEs
    u-RNTI                         U-RNTI,
    -- The rest of the message is identical to the one sent on DCCH.
    rrcConnectionRelease           RRConnectionRelease-r3-IEs
}

RRConnectionRelease-CCCH-r4-IEs ::= SEQUENCE {
    -- The rest of the message is identical to the one sent on DCCH.
    rrcConnectionRelease           RRConnectionRelease-r4-IEs
}

-- *****
--
-- RRC CONNECTION RELEASE COMPLETE
--
-- *****

RRConnectionReleaseComplete ::= SEQUENCE {
    -- User equipment IEs
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    errorIndication                FailureCauseWithProtErr                OPTIONAL,
    laterNonCriticalExtensions     SEQUENCE {
        -- Container for additional R99 extensions
        rrcConnectionReleaseComplete-r3-add-ext  BIT STRING    OPTIONAL,
        nonCriticalExtensions              SEQUENCE {}    OPTIONAL
    } OPTIONAL
}

-- *****
--
-- RRC CONNECTION REQUEST
--
-- *****

RRConnectionRequest ::= SEQUENCE {
    -- TABULAR: Integrity protection shall not be performed on this message.
    -- User equipment IEs
    initialUE-Identity             InitialUE-Identity,
    establishmentCause             EstablishmentCause,
    -- protocolErrorIndicator is MD, but for compactness reasons no default value
    -- has been assigned to it.
    protocolErrorIndicator         ProtocolErrorIndicator,

```



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-- Measurement IEs
  measuredResultsOnRACH          MeasuredResultsOnRACH          OPTIONAL,
-- Non critical Extensions
  v3d0NonCriticalExtensions      SEQUENCE {
    rrcConnectionRequest-v3d0ext  RRCConnectionRequest-v3d0ext-IEs,
    -- Reserved for future non critical extension
    v4b0NonCriticalExtensions      SEQUENCE {
      rrcConnectionRequest-v4b0ext  RRCConnectionRequest-v4b0ext-IEs,
      -- Reserved for future non critical extension
      nonCriticalExtensions          SEQUENCE {}          OPTIONAL
    }          OPTIONAL
  }          OPTIONAL
}

RRCConnectionRequest-v3d0ext-IEs ::= SEQUENCE {
  -- User equipment IEs
  ueSpecificBehaviourInformationIdle  UESpecificBehaviourInformationIdle  OPTIONAL
}

RRCConnectionRequest-v4b0ext-IEs ::= SEQUENCE {
  -- User equipment IEs
  accessStratumReleaseIndicator      AccessStratumReleaseIndicator
}

-- *****
--
-- RRC CONNECTION SETUP
--
-- *****

RRCConnectionSetup ::= CHOICE {
  r3
    SEQUENCE {
      rrcConnectionSetup-r3          RRCConnectionSetup-r3-IEs,
      laterNonCriticalExtensions      SEQUENCE {
        -- Container for additional R99 extensions
        rrcConnectionSetup-r3-add-ext  BIT STRING          OPTIONAL,
        v4b0NonCriticalExtensions      SEQUENCE {
          rrcConnectionSetup-v4b0ext  RRCConnectionSetup-v4b0ext-IEs,
          -- Extension mechanism for non- release99 information
          nonCriticalExtensions          SEQUENCE {}          OPTIONAL
        }          OPTIONAL
      }          OPTIONAL
    },
  later-than-r3
    SEQUENCE {
      initialUE-Identity              InitialUE-Identity,
      rrc-TransactionIdentifier        RRC-TransactionIdentifier,
      criticalExtensions               CHOICE {
        r4
          SEQUENCE {
            rrcConnectionSetup-r4      RRCConnectionSetup-r4-IEs,
            v4d0NonCriticalExtensions  SEQUENCE {
              -- Container for adding non critical extensions after freezing REL-5
              rrcConnectionSetup-r4-add-ext  BIT STRING          OPTIONAL,
              nonCriticalExtensions          SEQUENCE {}          OPTIONAL
            }          OPTIONAL
          },
        criticalExtensions              SEQUENCE {}
      }
    }
}

RRCConnectionSetup-r3-IEs ::= SEQUENCE {
  -- TABULAR: Integrity protection shall not be performed on this message.
  -- User equipment IEs
  initialUE-Identity              InitialUE-Identity,
  rrc-TransactionIdentifier        RRC-TransactionIdentifier,
  activationTime                  ActivationTime          OPTIONAL,
  new-U-RNTI                      U-RNTI,
  new-c-RNTI                      C-RNTI          OPTIONAL,
  rrc-StateIndicator              RRC-StateIndicator,
  utran-DRX-CycleLengthCoeff      UTRAN-DRX-CycleLengthCoefficient,
  -- TABULAR: If capabilityUpdateRequirement is not present, the default value
  -- defined in 10.3.3.2 shall be used.
  capabilityUpdateRequirement      CapabilityUpdateRequirement  OPTIONAL,
  -- Radio bearer IEs
  srb-InformationSetupList2        SRB-InformationSetupList2,
  -- Transport channel IEs
  ul-CommonTransChInfo            UL-CommonTransChInfo          OPTIONAL,
  -- NOTE: ul-AddReconfTransChInfoList should be optional in later versions of

```

```

-- this message
ul-AddReconfTransChInfoList      UL-AddReconfTransChInfoList,
dl-CommonTransChInfo              DL-CommonTransChInfo              OPTIONAL,
-- NOTE: dl-AddReconfTransChInfoList should be optional in later versions
-- of this message
dl-AddReconfTransChInfoList      DL-AddReconfTransChInfoList,
-- Physical channel IEs
frequencyInfo                     FrequencyInfo                 OPTIONAL,
maxAllowedUL-TX-Power             MaxAllowedUL-TX-Power       OPTIONAL,
ul-ChannelRequirement            UL-ChannelRequirement       OPTIONAL,
dl-CommonInformation              DL-CommonInformation        OPTIONAL,
dl-InformationPerRL-List          DL-InformationPerRL-List    OPTIONAL
}

RRCConnectionSetup-v4b0ext-IEs ::= SEQUENCE {
  capabilityUpdateRequirement-r4-ext  CapabilityUpdateRequirement-r4-ext  OPTIONAL,
  -- Physical channel IEs
  -- ssdt-UL extends SSdT-Information, which is included in
  -- DL-CommonInformation. FDD only.
  ssdt-UL-r4                       SSdT-UL                       OPTIONAL,
  -- The order of the RLS in IE cell-id-PerRL-List is the same as
  -- in IE DL-InformationPerRL-List included in this message
  cell-id-PerRL-List                CellIdentity-PerRL-List        OPTIONAL
}

RRCConnectionSetup-r4-IEs ::= SEQUENCE {
  -- TABULAR: Integrity protection shall not be performed on this message.
  activationTime                    ActivationTime                  OPTIONAL,
  new-U-RNTI                        U-RNTI,
  new-c-RNTI                        C-RNTI                        OPTIONAL,
  rrc-StateIndicator                RRC-StateIndicator,
  utran-DRX-CycleLengthCoeff        UTRAN-DRX-CycleLengthCoefficient,
  -- TABULAR: If capabilityUpdateRequirement is not present, the default value
  -- defined in 10.3.3.2 shall be used.
  capabilityUpdateRequirement        CapabilityUpdateRequirement-r4  OPTIONAL,
  -- Radio bearer IEs
  srb-InformationSetupList           SRB-InformationSetupList2,
  -- Transport channel IEs
  ul-CommonTransChInfo              UL-CommonTransChInfo-r4       OPTIONAL,
  ul-AddReconfTransChInfoList       UL-AddReconfTransChInfoList   OPTIONAL,
  dl-CommonTransChInfo              DL-CommonTransChInfo-r4       OPTIONAL,
  dl-AddReconfTransChInfoList       DL-AddReconfTransChInfoList-r4  OPTIONAL,
  -- Physical channel IEs
  frequencyInfo                     FrequencyInfo                   OPTIONAL,
  maxAllowedUL-TX-Power             MaxAllowedUL-TX-Power         OPTIONAL,
  ul-ChannelRequirement            UL-ChannelRequirement-r4      OPTIONAL,
  dl-CommonInformation              DL-CommonInformation-r4       OPTIONAL,
  dl-InformationPerRL-List          DL-InformationPerRL-List-r4    OPTIONAL
}

-- *****
--
-- RRC CONNECTION SETUP COMPLETE
--
-- *****

RRCConnectionSetupComplete ::= SEQUENCE {
  -- TABULAR: Integrity protection shall not be performed on this message.
  -- User equipment IEs
  rrc-TransactionIdentifier          RRC-TransactionIdentifier,
  startList                          STARTList,
  ue-RadioAccessCapability           UE-RadioAccessCapability       OPTIONAL,
  -- Other IEs
  ue-RATSpecificCapability           InterRAT-UE-RadioAccessCapabilityList  OPTIONAL,
  -- Non critical extensions
  v370NonCriticalExtensions          SEQUENCE {
    rrcConnectionSetupComplete-v370ext  RRCConnectionSetupComplete-v370ext,
    v380NonCriticalExtensions          SEQUENCE {
      rrcConnectionSetupComplete-v380ext  RRCConnectionSetupComplete-v380ext-IEs,
      -- Reserved for future non critical extension
      v3a0NonCriticalExtensions          SEQUENCE {
        rrcConnectionSetupComplete-v3a0ext  RRCConnectionSetupComplete-v3a0ext-IEs,
        laterNonCriticalExtensions        SEQUENCE {
          -- Container for additional R99 extensions
          rrcConnectionSetupComplete-r3-add-ext  BIT STRING  OPTIONAL,
          v3g0NonCriticalExtensions          SEQUENCE {
            rrcConnectionSetupComplete-v3g0ext  RRCConnectionSetupComplete-v3g0ext-IEs,
            v4b0NonCriticalExtensions          SEQUENCE {

```

```

        rrcConnectionSetupComplete-v4b0ext
        nonCriticalExtensions
    } OPTIONAL
} OPTIONAL
} OPTIONAL
} OPTIONAL
} OPTIONAL
}

RRCConnectionSetupComplete-v370ext ::= SEQUENCE {
    -- User equipment IEs
    ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext    OPTIONAL
}

RRCConnectionSetupComplete-v380ext-IEs ::= SEQUENCE {
    -- User equipment IEs
    ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext    OPTIONAL,
    dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext
}

RRCConnectionSetupComplete-v3a0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
    ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext    OPTIONAL
}

RRCConnectionSetupComplete-v3g0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
    ue-RadioAccessCapability-v3g0ext    UE-RadioAccessCapability-v3g0ext    OPTIONAL
}

RRCConnectionSetupComplete-v4b0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
    ue-RadioAccessCapability-v4b0ext    UE-RadioAccessCapability-v4b0ext    OPTIONAL
}

-- *****
--
-- RRC FAILURE INFO
--
-- *****

RRC-FailureInfo ::= CHOICE {
    r3
        SEQUENCE {
            rRC-FailureInfo-r3
            laterNonCriticalExtensions    SEQUENCE {
                -- Container for additional R99 extensions
                rrc-FailureInfo-r3-add-ext    BIT STRING    OPTIONAL,
                nonCriticalExtensions        SEQUENCE {} OPTIONAL
            } OPTIONAL
        },
    criticalExtensions    SEQUENCE {}
}

RRC-FailureInfo-r3-IEs ::= SEQUENCE {
    -- Non-RRC IEs
    failureCauseWithProtErr    FailureCauseWithProtErr
}

-- *****
--
-- RRC STATUS
--
-- *****

RRCStatus ::= SEQUENCE {
    -- Other IEs
    -- TABULAR: Identification of received message is nested in
    -- ProtocolErrorMoreInformation
    protocolErrorInformation    ProtocolErrorMoreInformation,
    laterNonCriticalExtensions    SEQUENCE {
        -- Container for additional R99 extensions
        rrcStatus-r3-add-ext    BIT STRING    OPTIONAL,
        nonCriticalExtensions    SEQUENCE {}    OPTIONAL
    } OPTIONAL
}

```

```

-- *****
--
-- SECURITY MODE COMMAND
--
-- *****

SecurityModeCommand ::= CHOICE {
  r3                               SEQUENCE {
    securityModeCommand-r3         SecurityModeCommand-r3-IEs,
    laterNonCriticalExtensions      SEQUENCE {
      -- Container for additional R99 extensions
      securityModeCommand-r3-add-ext BIT STRING OPTIONAL,
      nonCriticalExtensions         SEQUENCE {} OPTIONAL
    } OPTIONAL
  },
  later-than-r3                    SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             SEQUENCE {}
  }
}

SecurityModeCommand-r3-IEs ::= SEQUENCE {
-- TABULAR: Integrity protection shall always be performed on this message.
-- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  securityCapability             SecurityCapability,
  cipheringModeInfo             CipheringModeInfo OPTIONAL,
  integrityProtectionModeInfo    IntegrityProtectionModeInfo OPTIONAL,
-- Core network IEs
  cn-DomainIdentity             CN-DomainIdentity,
-- Other IEs
  ue-SystemSpecificSecurityCap    InterRAT-UE-SecurityCapList OPTIONAL
}

-- *****
--
-- SECURITY MODE COMPLETE
--
-- *****

SecurityModeComplete ::= SEQUENCE {
-- TABULAR: Integrity protection shall always be performed on this message.

-- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  ul-IntegProtActivationInfo     IntegrityProtActivationInfo OPTIONAL,
-- Radio bearer IEs
  rb-UL-CiphActivationTimeInfo  RB-ActivationTimeInfoList OPTIONAL,
  laterNonCriticalExtensions     SEQUENCE {
    -- Container for additional R99 extensions
    securityModeComplete-r3-add-ext BIT STRING OPTIONAL,
    nonCriticalExtensions         SEQUENCE {} OPTIONAL
  } OPTIONAL
}

-- *****
--
-- SECURITY MODE FAILURE
--
-- *****

SecurityModeFailure ::= SEQUENCE {
-- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  failureCause                   FailureCauseWithProtErr,
  laterNonCriticalExtensions     SEQUENCE {
    -- Container for additional R99 extensions
    securityModeFailure-r3-add-ext BIT STRING OPTIONAL,
    nonCriticalExtensions         SEQUENCE {} OPTIONAL
  } OPTIONAL
}

-- *****
--
-- SIGNALLING CONNECTION RELEASE
--
-- *****

```

```

SignallingConnectionRelease ::= CHOICE {
  r3
    SEQUENCE {
      signallingConnectionRelease-r3 SignallingConnectionRelease-r3-IEs,
      laterNonCriticalExtensions
        SEQUENCE {
          -- Container for additional R99 extensions
          signallingConnectionRelease-r3-add-ext BIT STRING OPTIONAL,
          nonCriticalExtensions SEQUENCE {} OPTIONAL
        }
    } OPTIONAL
  },
  later-than-r3
    SEQUENCE {
      rrc-TransactionIdentifier RRC-TransactionIdentifier,
      criticalExtensions
        SEQUENCE {}
    }
}

SignallingConnectionRelease-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  -- Core network IEs
  cn-DomainIdentity CN-DomainIdentity
}

-- *****
--
-- SIGNALLING CONNECTION RELEASE INDICATION
--
-- *****

SignallingConnectionReleaseIndication ::= SEQUENCE {
  -- Core network IEs
  cn-DomainIdentity CN-DomainIdentity,
  laterNonCriticalExtensions
    SEQUENCE {
      -- Container for additional R99 extensions
      signallingConnectionReleaseIndication-r3-add-ext BIT STRING OPTIONAL,
      nonCriticalExtensions SEQUENCE {} OPTIONAL
    }
}

-- *****
--
-- SYSTEM INFORMATION for BCH
--
-- *****

SystemInformation-BCH ::= SEQUENCE {
  -- Other information elements
  sfn-Prime SFN-Prime,
  payload
    CHOICE {
      noSegment NULL,
      firstSegment FirstSegment,
      subsequentSegment SubsequentSegment,
      lastSegmentShort LastSegmentShort,
      lastAndFirst
        SEQUENCE {
          lastSegmentShort LastSegmentShort,
          firstSegment FirstSegmentShort
        },
      lastAndComplete
        SEQUENCE {
          lastSegmentShort LastSegmentShort,
          completeSIB-List CompleteSIB-List
        },
      lastAndCompleteAndFirst
        SEQUENCE {
          lastSegmentShort LastSegmentShort,
          completeSIB-List CompleteSIB-List,
          firstSegment FirstSegmentShort
        },
      completeSIB-List CompleteSIB-List,
      completeAndFirst
        SEQUENCE {
          completeSIB-List CompleteSIB-List,
          firstSegment FirstSegmentShort
        },
      completeSIB CompleteSIB,
      lastSegment LastSegment,
      spare5 NULL,
      spare4 NULL,
      spare3 NULL,
      spare2 NULL,
      spare1 NULL
    }
}

```

```

}

-- *****
--
-- SYSTEM INFORMATION for FACH
--
-- *****

SystemInformation-FACH ::= SEQUENCE {
  -- Other information elements
  payload CHOICE {
    noSegment NULL,
    firstSegment FirstSegment,
    subsequentSegment SubsequentSegment,
    lastSegmentShort LastSegmentShort,
    lastAndFirst SEQUENCE {
      lastSegmentShort LastSegmentShort,
      firstSegment FirstSegmentShort
    },
    lastAndComplete SEQUENCE {
      lastSegmentShort LastSegmentShort,
      completeSIB-List CompleteSIB-List
    },
    lastAndCompleteAndFirst SEQUENCE {
      lastSegmentShort LastSegmentShort,
      completeSIB-List CompleteSIB-List,
      firstSegment FirstSegmentShort
    },
    completeSIB-List CompleteSIB-List,
    completeAndFirst SEQUENCE {
      completeSIB-List CompleteSIB-List,
      firstSegment FirstSegmentShort
    },
    completeSIB CompleteSIB,
    lastSegment LastSegment,
    spare5 NULL,
    spare4 NULL,
    spare3 NULL,
    spare2 NULL,
    spare1 NULL
  }
}

-- *****
--
-- First segment
--
-- *****

FirstSegment ::= SEQUENCE {
  -- Other information elements
  sib-Type SIB-Type,
  seg-Count SegCount,
  sib-Data-fixed SIB-Data-fixed
}

-- *****
--
-- First segment (short)
--
-- *****

FirstSegmentShort ::= SEQUENCE {
  -- Other information elements
  sib-Type SIB-Type,
  seg-Count SegCount,
  sib-Data-variable SIB-Data-variable
}

-- *****
--
-- Subsequent segment
--
-- *****

SubsequentSegment ::= SEQUENCE {
  -- Other information elements
  sib-Type SIB-Type,

```

```

        segmentIndex          SegmentIndex,
        sib-Data-fixed        SIB-Data-fixed
    }
-- *****
--
-- Last segment
-- *****

LastSegment ::=
    SEQUENCE {
        -- Other information elements
        sib-Type              SIB-Type,
        segmentIndex          SegmentIndex,
        -- For sib-Data-fixed, in case the SIB data is less than 222 bits, padding
        -- shall be used. The same padding bits shall be used as defined in clause 12.1
        sib-Data-fixed        SIB-Data-fixed
    }

LastSegmentShort ::=
    SEQUENCE {
        -- Other information elements
        sib-Type              SIB-Type,
        segmentIndex          SegmentIndex,
        sib-Data-variable     SIB-Data-variable
    }

-- *****
--
-- Complete SIB
-- *****

CompleteSIB-List ::=
    SEQUENCE (SIZE (1..maxSIBperMsg)) OF
        CompleteSIBshort

CompleteSIB ::=
    SEQUENCE {
        -- Other information elements
        sib-Type              SIB-Type,
        -- For sib-Data-fixed, in case the SIB data is less than 226 bits, padding
        -- shall be used. The same padding bits shall be used as defined in clause 12.1
        sib-Data-fixed        BIT STRING (SIZE (226))
    }

CompleteSIBshort ::=
    SEQUENCE {
        -- Other information elements
        sib-Type              SIB-Type,
        sib-Data-variable     SIB-Data-variable
    }

-- *****
--
-- SYSTEM INFORMATION CHANGE INDICATION
-- *****

SystemInformationChangeIndication ::= SEQUENCE {
    -- Other IEs
    bcch-ModificationInfo    BCCH-ModificationInfo,
    laterNonCriticalExtensions SEQUENCE {
        -- Container for additional R99 extensions
        systemInformationChangeIndication-r3-add-ext    BIT STRING    OPTIONAL,
        nonCriticalExtensions    SEQUENCE {}    OPTIONAL
    }    OPTIONAL
}

-- *****
--
-- TRANSPORT CHANNEL RECONFIGURATION
-- *****

TransportChannelReconfiguration ::= CHOICE {
    r3    SEQUENCE {
        transportChannelReconfiguration-r3
        TransportChannelReconfiguration-r3-IEs,
        v3a0NonCriticalExtensions    SEQUENCE {
            transportChannelReconfiguration-v3a0ext
            TransportChannelReconfiguration-v3a0ext,

```

```

        laterNonCriticalExtensions      SEQUENCE {
        -- Container for additional R99 extensions
        transportChannelReconfiguration-r3-add-ext      BIT STRING      OPTIONAL,
        v4b0NonCriticalExtensions      SEQUENCE {
        transportChannelReconfiguration-v4b0ext
        TransportChannelReconfiguration-v4b0ext-IEs,
        nonCriticalExtensions          SEQUENCE {} OPTIONAL
        }
        }
        }
    },
    later-than-r3                        SEQUENCE {
    rrc-TransactionIdentifier          RRC-TransactionIdentifier,
    criticalExtensions                CHOICE {
    r4                                SEQUENCE {
    transportChannelReconfiguration-r4
    TransportChannelReconfiguration-r4-IEs,
    v4d0NonCriticalExtensions        SEQUENCE {
    -- Container for adding non critical extensions after freezing REL-5
    transportChannelReconfiguration-r4-add-ext      BIT STRING      OPTIONAL,
    nonCriticalExtensions            SEQUENCE {}      OPTIONAL
    }
    },
    criticalExtensions                SEQUENCE {}
    }
}
}
}

```

```

TransportChannelReconfiguration-r3-IEs ::= SEQUENCE {
-- User equipment IEs
    rrc-TransactionIdentifier          RRC-TransactionIdentifier,
    integrityProtectionModeInfo      IntegrityProtectionModeInfo      OPTIONAL,
    cipheringModeInfo                 CipheringModeInfo                 OPTIONAL,
    activationTime                     ActivationTime                     OPTIONAL,
    new-U-RNTI                         U-RNTI                           OPTIONAL,
    new-C-RNTI                         C-RNTI                           OPTIONAL,
    rrc-StateIndicator                 RRC-StateIndicator,
    utran-DRX-CycleLengthCoeff        UTRAN-DRX-CycleLengthCoefficient OPTIONAL,
-- Core network IEs
    cn-InformationInfo                 CN-InformationInfo                 OPTIONAL,
-- UTRAN mobility IEs
    ura-Identity                       URA-Identity                       OPTIONAL,
-- Radio bearer IEs
    dl-CounterSynchronisationInfo     DL-CounterSynchronisationInfo     OPTIONAL,
-- Transport channel IEs
    ul-CommonTransChInfo              UL-CommonTransChInfo              OPTIONAL,
    ul-AddReconfTransChInfoList       UL-AddReconfTransChInfoList       OPTIONAL,
    modeSpecificTransChInfo           CHOICE {
        fdd                            SEQUENCE {
            cpch-SetID                  CPCH-SetID                        OPTIONAL,
            addReconfTransChDRAC-Info   DRAC-StaticInformationList        OPTIONAL
        },
        tdd                            NULL
    }
    dl-CommonTransChInfo              DL-CommonTransChInfo              OPTIONAL,
    dl-AddReconfTransChInfoList       DL-AddReconfTransChInfoList       OPTIONAL,
-- Physical channel IEs
    frequencyInfo                     FrequencyInfo                       OPTIONAL,
    maxAllowedUL-TX-Power              MaxAllowedUL-TX-Power              OPTIONAL,
    ul-ChannelRequirement              UL-ChannelRequirement              OPTIONAL,
    modeSpecificPhysChInfo             CHOICE {
        fdd                            SEQUENCE {
            dl-PDSCH-Information        DL-PDSCH-Information              OPTIONAL
        },
        tdd                            NULL
    },
    dl-CommonInformation              DL-CommonInformation              OPTIONAL,
    dl-InformationPerRL-List           DL-InformationPerRL-List           OPTIONAL
}

```

```

TransportChannelReconfiguration-v3a0ext ::= SEQUENCE {
    new-DSCH-RNTI                     DSCH-RNTI                          OPTIONAL
}

```

```

TransportChannelReconfiguration-v4b0ext-IEs ::= SEQUENCE {
-- Physical channel IEs
    -- ssdt-UL extends SSdT-Information, which is included in
    -- DL-CommonInformation. FDD only.

```



```

    ssdt-UL-r4                SSDT-UL                OPTIONAL,
    -- The order of the RLs in IE cell-id-PerRL-List is the same as
    -- in IE DL-InformationPerRL-List included in this message
    cell-id-PerRL-List        CellIdentity-PerRL-List    OPTIONAL
}

TransportChannelReconfiguration-r4-IEs ::= SEQUENCE {
  -- User equipment IEs
  integrityProtectionModeInfo    IntegrityProtectionModeInfo    OPTIONAL,
  cipheringModeInfo              CipheringModeInfo              OPTIONAL,
  activationTime                  ActivationTime                  OPTIONAL,
  new-U-RNTI                      U-RNTI                        OPTIONAL,
  new-C-RNTI                      C-RNTI                        OPTIONAL,
  new-DSCH-RNTI                  DSCH-RNTI                    OPTIONAL,
  rrc-StateIndicator              RRC-StateIndicator,
  utran-DRX-CycleLengthCoeff      UTRAN-DRX-CycleLengthCoefficient    OPTIONAL,
  -- Core network IEs
  cn-InformationInfo              CN-InformationInfo            OPTIONAL,
  -- UTRAN mobility IEs
  ura-Identity                    URA-Identity                  OPTIONAL,
  -- Radio bearer IEs
  dl-CounterSynchronisationInfo    DL-CounterSynchronisationInfo    OPTIONAL,
  -- Transport channel IEs
  ul-CommonTransChInfo            UL-CommonTransChInfo-r4        OPTIONAL,
  ul-AddReconfTransChInfoList      UL-AddReconfTransChInfoList    OPTIONAL,
  modeSpecificTransChInfo          CHOICE {
    fdd                            SEQUENCE {
      cpch-SetID                    CPCH-SetID                    OPTIONAL,
      addReconfTransChDRAC-Info      DRAC-StaticInformationList    OPTIONAL
    },
    tdd                            NULL
  }
  dl-CommonTransChInfo            DL-CommonTransChInfo-r4        OPTIONAL,
  dl-AddReconfTransChInfoList      DL-AddReconfTransChInfoList-r4  OPTIONAL,
  -- Physical channel IEs
  frequencyInfo                   FrequencyInfo                   OPTIONAL,
  maxAllowedUL-TX-Power            MaxAllowedUL-TX-Power          OPTIONAL,
  ul-ChannelRequirement            UL-ChannelRequirement-r4        OPTIONAL,
  modeSpecificPhysChInfo           CHOICE {
    fdd                            SEQUENCE {
      dl-PDSCH-Information            DL-PDSCH-Information          OPTIONAL
    },
    tdd                            NULL
  },
  dl-CommonInformation            DL-CommonInformation-r4        OPTIONAL,
  dl-InformationPerRL-List         DL-InformationPerRL-List-r4    OPTIONAL
}

-- *****
--
-- TRANSPORT CHANNEL RECONFIGURATION COMPLETE
--
-- *****

TransportChannelReconfigurationComplete ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier        RRC-TransactionIdentifier,
  ul-IntegProtActivationInfo        IntegrityProtActivationInfo      OPTIONAL,
  -- TABULAR: UL-TimingAdvance is applicable for TDD mode only.
  ul-TimingAdvance                  UL-TimingAdvance                OPTIONAL,
  -- Radio bearer IEs
  count-C-ActivationTime            ActivationTime                    OPTIONAL,
  rb-UL-CiphActivationTimeInfo      RB-ActivationTimeInfoList        OPTIONAL,
  ul-CounterSynchronisationInfo      UL-CounterSynchronisationInfo    OPTIONAL,
  laterNonCriticalExtensions         SEQUENCE {
    -- Container for additional R99 extensions
    transportChannelReconfigurationComplete-r3-add-ext    BIT STRING    OPTIONAL,
    nonCriticalExtensions            SEQUENCE {}    OPTIONAL
  }
}

-- *****
--
-- TRANSPORT CHANNEL RECONFIGURATION FAILURE
--
-- *****

TransportChannelReconfigurationFailure ::= SEQUENCE {

```

```

-- User equipment IEs
rrc-TransactionIdentifier      RRC-TransactionIdentifier,
failureCause                   FailureCauseWithProtErr,
laterNonCriticalExtensions     SEQUENCE {
  -- Container for additional R99 extensions
  transportChannelReconfigurationFailure-r3-add-ext      BIT STRING      OPTIONAL,
  nonCriticalExtensions     SEQUENCE {}      OPTIONAL
}
}

-- *****
--
-- TRANSPORT FORMAT COMBINATION CONTROL in AM or UM RLC mode
--
-- *****

TransportFormatCombinationControl ::= SEQUENCE {
  -- rrc-TransactionIdentifier is always included in this version of the specification
  rrc-TransactionIdentifier      RRC-TransactionIdentifier      OPTIONAL,
  modeSpecificInfo              CHOICE {
    fdd                          NULL,
    tdd                          SEQUENCE {
      tfcs-ID                    TFCS-Identity      OPTIONAL
    }
  },
  dpch-TFCS-InUplink            TFC-Subset,
  activationTimeForTFCSubset     ActivationTime          OPTIONAL,
  tfc-ControlDuration           TFC-ControlDuration          OPTIONAL,
  laterNonCriticalExtensions     SEQUENCE {
    -- Container for additional R99 extensions
    transportFormatCombinationControl-r3-add-ext          BIT STRING      OPTIONAL,
    nonCriticalExtensions     SEQUENCE {}      OPTIONAL
  }
}

-- *****
--
-- TRANSPORT FORMAT COMBINATION CONTROL FAILURE
--
-- *****

TransportFormatCombinationControlFailure ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  failureCause                   FailureCauseWithProtErr,
  laterNonCriticalExtensions     SEQUENCE {
    -- Container for additional R99 extensions
    transportFormatCombinationControlFailure-r3-add-ext    BIT STRING      OPTIONAL,
    nonCriticalExtensions     SEQUENCE {}      OPTIONAL
  }
}

-- *****
--
-- UE CAPABILITY ENQUIRY
--
-- *****

UECapabilityEnquiry ::= CHOICE {
  r3                             SEQUENCE {
    ueCapabilityEnquiry-r3       UECapabilityEnquiry-r3-IEs,
    laterNonCriticalExtensions   SEQUENCE {
      -- Container for additional R99 extensions
      ueCapabilityEnquiry-r3-add-ext      BIT STRING      OPTIONAL,
      v4b0NonCriticalExtensions         SEQUENCE {
        ueCapabilityEnquiry-v4b0ext      UECapabilityEnquiry-v4b0ext-IEs,
        nonCriticalExtensions           SEQUENCE {}      OPTIONAL
      }
    }
  },
  later-than-r3                 SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             SEQUENCE {}
  }
}

UECapabilityEnquiry-r3-IEs ::= SEQUENCE {
  -- User equipment IEs

```

```

rrc-TransactionIdentifier      RRC-TransactionIdentifier,
capabilityUpdateRequirement    CapabilityUpdateRequirement
}

UECapabilityEnquiry-v4b0ext-IEs ::= SEQUENCE {
    capabilityUpdateRequirement-r4-ext  CapabilityUpdateRequirement-r4-ext
}

-- *****
--
-- UE CAPABILITY INFORMATION
--
-- *****

UECapabilityInformation ::= SEQUENCE {
    -- User equipment IEs
    rrc-TransactionIdentifier      RRC-TransactionIdentifier      OPTIONAL,
    ue-RadioAccessCapability       UE-RadioAccessCapability       OPTIONAL,
    -- Other IEs
    ue-RATSpecificCapability       InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
    v370NonCriticalExtensions      SEQUENCE {
        ueCapabilityInformation-v370ext  UECapabilityInformation-v370ext,
        v380NonCriticalExtensions      SEQUENCE {
            ueCapabilityInformation-v380ext  UECapabilityInformation-v380ext-IEs,
            v3a0NonCriticalExtensions      SEQUENCE {
                ueCapabilityInformation-v3a0ext  UECapabilityInformation-v3a0ext-IEs,
                laterNonCriticalExtensions      SEQUENCE {
                    -- Container for additional R99 extensions
                    ueCapabilityInformation-r3-add-ext  BIT STRING      OPTIONAL,
                    -- Reserved for future non critical extension
                    v4b0NonCriticalExtensions      SEQUENCE {
                        ueCapabilityInformation-v4b0ext  UECapabilityInformation-v4b0ext,
                        nonCriticalExtensions          SEQUENCE {}      OPTIONAL
                    }
                }
            }
        }
    }
}

UECapabilityInformation-v370ext ::= SEQUENCE {
    -- User equipment IEs
    ue-RadioAccessCapability-v370ext  UE-RadioAccessCapability-v370ext  OPTIONAL
}

UECapabilityInformation-v380ext-IEs ::= SEQUENCE {
    -- User equipment IEs
    ue-RadioAccessCapability-v380ext  UE-RadioAccessCapability-v380ext  OPTIONAL,
    dl-PhysChCapabilityFDD-v380ext    DL-PhysChCapabilityFDD-v380ext
}

UECapabilityInformation-v3a0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
    ue-RadioAccessCapability-v3a0ext  UE-RadioAccessCapability-v3a0ext  OPTIONAL
}

UECapabilityInformation-v4b0ext ::= SEQUENCE {
    -- User equipment IEs
    ue-RadioAccessCapability-v4b0ext  UE-RadioAccessCapability-v4b0ext  OPTIONAL
}

-- *****
--
-- UE CAPABILITY INFORMATION CONFIRM
--
-- *****

UECapabilityInformationConfirm ::= CHOICE {
    r3
        SEQUENCE {
            ueCapabilityInformationConfirm-r3
                UECapabilityInformationConfirm-r3-IEs,
            laterNonCriticalExtensions      SEQUENCE {
                -- Container for additional R99 extensions
                ueCapabilityInformationConfirm-r3-add-ext  BIT STRING      OPTIONAL,
                nonCriticalExtensions          SEQUENCE {}      OPTIONAL
            }
        }
},

```

```

    later-than-r3          SEQUENCE {
      rrc-TransactionIdentifier  RRC-TransactionIdentifier,
      criticalExtensions         SEQUENCE {}
    }
  }
UECapabilityInformationConfirm-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier  RRC-TransactionIdentifier
}

-- *****
--
-- UPLINK DIRECT TRANSFER
--
-- *****

UplinkDirectTransfer ::= SEQUENCE {
  -- Core network IEs
  cn-DomainIdentity          CN-DomainIdentity,
  nas-Message                NAS-Message,
  -- Measurement IEs
  measuredResultsOnRACH      MeasuredResultsOnRACH          OPTIONAL,
  laterNonCriticalExtensions SEQUENCE {
    -- Container for additional R99 extensions
    uplinkDirectTransfer-r3-add-ext  BIT STRING          OPTIONAL,
    nonCriticalExtensions            SEQUENCE {}          OPTIONAL
  } OPTIONAL
}

-- *****
--
-- UPLINK PHYSICAL CHANNEL CONTROL
--
-- *****

UplinkPhysicalChannelControl ::= CHOICE {
  r3          SEQUENCE {
    uplinkPhysicalChannelControl-r3 UplinkPhysicalChannelControl-r3-IEs,
    laterNonCriticalExtensions       SEQUENCE {
      -- Container for additional R99 extensions
      uplinkPhysicalChannelControl-r3-add-ext  BIT STRING          OPTIONAL,
      v4b0NonCriticalExtensions              SEQUENCE {
        uplinkPhysicalChannelControl-v4b0ext  UplinkPhysicalChannelControl-v4b0ext-IEs,
        -- Extension mechanism for non- release4 information
        noncriticalExtensions                SEQUENCE {}          OPTIONAL
      } OPTIONAL
    } OPTIONAL
  },
  later-than-r3          SEQUENCE {
    rrc-TransactionIdentifier  RRC-TransactionIdentifier,
    criticalExtensions        CHOICE {
      r4          SEQUENCE {
        uplinkPhysicalChannelControl-r4 UplinkPhysicalChannelControl-r4-IEs,
        v4d0NonCriticalExtensions       SEQUENCE {
          -- Container for adding non critical extensions after freezing REL-5
          uplinkPhysicalChannelControl-r4-add-ext  BIT STRING          OPTIONAL,
          nonCriticalExtensions                SEQUENCE {}          OPTIONAL
        } OPTIONAL
      },
      criticalExtensions SEQUENCE {}
    }
  }
}

UplinkPhysicalChannelControl-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier  RRC-TransactionIdentifier,
  -- Physical channel IEs
  ccTrCH-PowerControlInfo    CCTrCH-PowerControlInfo          OPTIONAL,
  timingAdvance              UL-TimingAdvanceControl          OPTIONAL,
  alpha                      Alpha                            OPTIONAL,
  specialBurstScheduling     SpecialBurstScheduling            OPTIONAL,
  prach-ConstantValue        ConstantValueTdd                  OPTIONAL,
  pusch-ConstantValue        ConstantValueTdd                  OPTIONAL
}

UplinkPhysicalChannelControl-v4b0ext-IEs ::= SEQUENCE {

```

```

-- In case of TDD, openLoopPowerControl-IPDL-TDD is included instead of IE
-- up-IPDL-Parameters in up-OTDOA-AssistanceData
openLoopPowerControl-IPDL-TDD    OpenLoopPowerControl-IPDL-TDD-r4    OPTIONAL
}

UplinkPhysicalChannelControl-r4-IEs ::= SEQUENCE {
  -- Physical channel IES
  ccTrCH-PowerControlInfo          CCTrCH-PowerControlInfo-r4          OPTIONAL,
  specialBurstScheduling            SpecialBurstScheduling            OPTIONAL,
  tddOption                         CHOICE {
    tdd384                          SEQUENCE {
      timingAdvance                  UL-TimingAdvanceControl-r4    OPTIONAL,
      alpha                          Alpha                          OPTIONAL,
      prach-ConstantValue            ConstantValueTdd              OPTIONAL,
      pusch-ConstantValue            ConstantValueTdd              OPTIONAL,
      openLoopPowerControl-IPDL-TDD  OpenLoopPowerControl-IPDL-TDD-r4  OPTIONAL
    },
    tdd128                          SEQUENCE {
      ul-SynchronisationParameters  UL-SynchronisationParameters-r4  OPTIONAL
    }
  }
}

-- *****
--
-- URA UPDATE
--
-- *****

URAUUpdate ::= SEQUENCE {
  -- User equipment IES
  u-RNTI                            U-RNTI,
  ura-UpdateCause                   URA-UpdateCause,
  protocolErrorIndicator             ProtocolErrorIndicatorWithMoreInfo,
  laterNonCriticalExtensions         SEQUENCE {
    -- Container for additional R99 extensions
    uraUpdate-r3-add-ext             BIT STRING                    OPTIONAL,
    nonCriticalExtensions            SEQUENCE {}                   OPTIONAL
  }
}

-- *****
--
-- URA UPDATE CONFIRM
--
-- *****

URAUUpdateConfirm ::= CHOICE {
  r3                                 SEQUENCE {
    uraUpdateConfirm-r3              URAUpdateConfirm-r3-IEs,
    laterNonCriticalExtensions        SEQUENCE {
      -- Container for additional R99 extensions
      uraUpdateConfirm-r3-add-ext     BIT STRING                    OPTIONAL,
      nonCriticalExtensions            SEQUENCE {}                   OPTIONAL
    }
  },
  later-than-r3                      SEQUENCE {
    rrc-TransactionIdentifier         RRC-TransactionIdentifier,
    criticalExtensions                SEQUENCE {}
  }
}

URAUUpdateConfirm-r3-IEs ::= SEQUENCE {
  -- User equipment IES
  rrc-TransactionIdentifier          RRC-TransactionIdentifier,
  integrityProtectionModeInfo        IntegrityProtectionModeInfo    OPTIONAL,
  cipheringModeInfo                 CipheringModeInfo              OPTIONAL,
  new-U-RNTI                         U-RNTI                         OPTIONAL,
  new-C-RNTI                         C-RNTI                         OPTIONAL,
  rrc-StateIndicator                 RRC-StateIndicator,
  utran-DRX-CycleLengthCoeff         UTRAN-DRX-CycleLengthCoefficient  OPTIONAL,
  -- CN information elements
  cn-InformationInfo                 CN-InformationInfo             OPTIONAL,
  -- UTRAN mobility IES
  ura-Identity                       URA-Identity                   OPTIONAL,
  -- Radio bearer IES
  dl-CounterSynchronisationInfo      DL-CounterSynchronisationInfo   OPTIONAL
}

```

```

-- *****
--
-- URA UPDATE CONFIRM for CCCH
--
-- *****

URAUUpdateConfirm-CCCH ::= CHOICE {
  r3 SEQUENCE {
    uraUpdateConfirm-CCCH-r3 URAUpdateConfirm-CCCH-r3-IEs,
    laterNonCriticalExtensions SEQUENCE {
      -- Container for additional R99 extensions
      uraUpdateConfirm-CCCH-r3-add-ext BIT STRING OPTIONAL,
      nonCriticalExtensions SEQUENCE {} OPTIONAL
    }
  },
  later-than-r3 SEQUENCE {
    u-RNTI U-RNTI,
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions SEQUENCE {}
  }
}

URAUUpdateConfirm-CCCH-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  u-RNTI U-RNTI,
  -- The rest of the message is identical to the one sent on DCCH.
  uraUpdateConfirm URAUpdateConfirm-r3-IEs
}

-- *****
--
-- UTRAN MOBILITY INFORMATION
--
-- *****

UTRANMobilityInformation ::= CHOICE {
  r3 SEQUENCE {
    utranMobilityInformation-r3 UTRANMobilityInformation-r3-IEs,
    v3a0NonCriticalExtensions SEQUENCE {
      utranMobilityInformation-v3a0ext UTRANMobilityInformation-v3a0ext-IEs,
      laterNonCriticalExtensions SEQUENCE {
        -- Container for additional R99 extensions
        utranMobilityInformation-r3-add-ext BIT STRING OPTIONAL,
        nonCriticalExtensions SEQUENCE {} OPTIONAL
      }
    }
  },
  later-than-r3 SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions SEQUENCE {}
  }
}

UTRANMobilityInformation-r3-IEs ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  integrityProtectionModeInfo IntegrityProtectionModeInfo OPTIONAL,
  cipheringModeInfo CipheringModeInfo OPTIONAL,
  new-U-RNTI U-RNTI OPTIONAL,
  new-C-RNTI C-RNTI OPTIONAL,
  ue-ConnTimersAndConstants UE-ConnTimersAndConstants OPTIONAL,
  -- CN information elements
  cn-InformationInfo CN-InformationInfoFull OPTIONAL,
  -- UTRAN mobility IEs
  ura-Identity URA-Identity OPTIONAL,
  -- Radio bearer IEs
  dl-CounterSynchronisationInfo DL-CounterSynchronisationInfo OPTIONAL,
  -- Extension mechanism for non- release99 information
  nonCriticalExtensions SEQUENCE {} OPTIONAL
}

UTRANMobilityInformation-v3a0ext-IEs ::= SEQUENCE {
  ue-ConnTimersAndConstants-v3a0ext UE-ConnTimersAndConstants-v3a0ext
}

-- *****
--

```

```

-- UTRAN MOBILITY INFORMATION CONFIRM
--
-- *****
UTRANMobilityInformationConfirm ::= SEQUENCE {
  -- User equipment IEs
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  ul-IntegProtActivationInfo     IntegrityProtActivationInfo      OPTIONAL,
  -- Radio bearer IEs
  count-C-ActivationTime        ActivationTime                        OPTIONAL,
  rb-UL-CiphActivationTimeInfo   RB-ActivationTimeInfoList      OPTIONAL,
  ul-CounterSynchronisationInfo  UL-CounterSynchronisationInfo  OPTIONAL,
  laterNonCriticalExtensions     SEQUENCE {
    -- Container for additional R99 extensions
    utranMobilityInformationConfirm-r3-add-ext  BIT STRING      OPTIONAL,
    nonCriticalExtensions                     SEQUENCE {}   OPTIONAL
  }
}

-- *****
--
-- UTRAN MOBILITY INFORMATION FAILURE
--
-- *****

UTRANMobilityInformationFailure ::= SEQUENCE {
  -- UE information elements
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  failureCause                  FailureCauseWithProtErr,
  laterNonCriticalExtensions     SEQUENCE {
    -- Container for additional R99 extensions
    utranMobilityInformationFailure-r3-add-ext  BIT STRING      OPTIONAL,
    -- Extension mechanism for non- release99 information
    nonCriticalExtensions         SEQUENCE {}   OPTIONAL
  }
}

END

```

## 11.3 Information element definitions

```
InformationElements DEFINITIONS AUTOMATIC TAGS ::=
```

```

-- *****
--
-- CORE NETWORK INFORMATION ELEMENTS (10.3.1)
--
-- *****

```

```
BEGIN
```

```
IMPORTS
```

```

  hiPDSCHidentities,
  hiPUSCHidentities,
  hiRM,
  maxAC,
  maxAdditionalMeas,
  maxASC,
  maxASCmap,
  maxASCpersist,
  maxCCTrCH,
  maxCellMeas,
  maxCellMeas-1,
  maxCNdomains,
  maxCPCHsets,
  maxDPCH-DLchan,
  maxDPDCH-UL,
  maxDRACclasses,
  maxFACHPCH,
  maxFreq,
  maxFreqBandsFDD,
  maxFreqBandsTDD,
  maxFreqBandsGSM,
  maxInterSysMessages,
  maxLoCHperRLC,
  maxMeasEvent,

```

```

maxMeasIntervals,
maxMeasParEvent,
maxNumCDMA2000Freqs,
maxNumFDDFreqs,
maxNumGSMFreqRanges,
maxNumTDDFreqs,
maxOtherRAT,
maxOtherRAT-16,
maxPage1,
maxPCPCH-Apsig,
maxPCPCH-ApsubCh,
maxPCPCH-Cdsig,
maxPCPCH-Cdsch,
maxPCPCH-SF,
maxPCPCHs,
maxPDCPAlgoType,
maxPDSCH,
maxPDSCH-TFCIgroups,
maxPRACH,
maxPRACH-FPACH,
maxPredefConfig,
maxPUSCH,
maxRABsetup,
maxRAT,
maxRB,
maxRBallRABs,
maxRBMuxOptions,
maxRBperRAB,
maxReportedGSMCells,
maxSRBsetup,
maxRL,
maxRL-1,
maxROHC-PacketSizes-r4,
maxROHC-Profile-r4,
maxSCCPCH,
maxSat,
maxSIB,
maxSIB-FACH,
maxSystemCapability,
maxTF,
maxTF-CPCH,
maxTFC,
maxTFCsub,
maxTFCI-2-Combs,
maxTGPS,
maxTrCH,
maxTrCHpreconf,
maxTS,
maxTS-1,
maxTS-LCR,
maxTS-LCR-1,
maxURA
FROM Constant-definitions;

Ansi-41-IDNNS ::=                               BIT STRING (SIZE (14))

CN-DomainIdentity ::=                           ENUMERATED {
                                                cs-domain,
                                                ps-domain }

CN-DomainInformation ::=                       SEQUENCE {
  cn-DomainIdentity                             CN-DomainIdentity,
  cn-DomainSpecificNAS-Info                     NAS-SystemInformationGSM-MAP
}

CN-DomainInformationFull ::=                   SEQUENCE {
  cn-DomainIdentity                             CN-DomainIdentity,
  cn-DomainSpecificNAS-Info                     NAS-SystemInformationGSM-MAP,
  cn-DRX-CycleLengthCoeff                      CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList ::=                   SEQUENCE (SIZE (1..maxCNdomains)) OF
  CN-DomainInformation

CN-DomainInformationListFull ::=               SEQUENCE (SIZE (1..maxCNdomains)) OF
  CN-DomainInformationFull

CN-DomainSysInfo ::=                           SEQUENCE {
  cn-DomainIdentity                             CN-DomainIdentity,

```



```

    cn-Type                               CHOICE {
      gsm-MAP                             NAS-SystemInformationGSM-MAP,
      ansi-41                             NAS-SystemInformationANSI-41
    },
    cn-DRX-CycleLengthCoeff              CN-DRX-CycleLengthCoefficient
  }

CN-DomainSysInfoList ::=                 SEQUENCE (SIZE (1..maxCNdomains)) OF
                                         CN-DomainSysInfo

CN-InformationInfo ::=                   SEQUENCE {
  plmn-Identity                          PLMN-Identity                          OPTIONAL,
  cn-CommonGSM-MAP-NAS-SysInfo          NAS-SystemInformationGSM-MAP            OPTIONAL,
  cn-DomainInformationList               CN-DomainInformationList                OPTIONAL
}

CN-InformationInfoFull ::=                SEQUENCE {
  plmn-Identity                          PLMN-Identity                          OPTIONAL,
  cn-CommonGSM-MAP-NAS-SysInfo          NAS-SystemInformationGSM-MAP            OPTIONAL,
  cn-DomainInformationListFull           CN-DomainInformationListFull            OPTIONAL
}

Digit ::=                                INTEGER (0..9)

Gsm-map-IDNNS ::=                         SEQUENCE {
  routingbasis                           CHOICE {
    localPTMSI                           SEQUENCE {
      routingparameter                    RoutingParameter
    },
    tMSIofsamePLMN                       SEQUENCE {
      routingparameter                    RoutingParameter
    },
    tMSIofdifferentPLMN                   SEQUENCE {
      routingparameter                    RoutingParameter
    },
    iMSIresponsetopaging                  SEQUENCE {
      routingparameter                    RoutingParameter
    },
    iMSIcauseUEinitiatedEvent             SEQUENCE {
      routingparameter                    RoutingParameter
    },
    iMEI                                   SEQUENCE {
      routingparameter                    RoutingParameter
    },
    spare2                                 SEQUENCE {
      routingparameter                    RoutingParameter
    },
    spare1                                 SEQUENCE {
      routingparameter                    RoutingParameter
    }
  },
  -- dummy is not used in this version of the specification and
  -- it should be ignored by the receiver.
  dummy                                   BOOLEAN
}

IMEI ::=                                  SEQUENCE (SIZE (15)) OF
                                         IMEI-Digit

IMEI-Digit ::=                            INTEGER (0..15)

IMSI-GSM-MAP ::=                          SEQUENCE (SIZE (6..21)) OF
                                         Digit

IntraDomainNasNodeSelector ::=            SEQUENCE {
  version                                 CHOICE {
    release99                             SEQUENCE {
      cn-Type                             CHOICE {
        gsm-Map-IDNNS                     Gsm-map-IDNNS,
        ansi-41-IDNNS                     Ansi-41-IDNNS
      }
    },
    later                                  SEQUENCE {
      futurecoding                         BIT STRING (SIZE (15))
    }
  }
}

```

```

LAI ::=
    plmn-Identity
    lac
}
SEQUENCE {
    PLMN-Identity,
    BIT STRING (SIZE (16))
}

MCC ::=
SEQUENCE (SIZE (3)) OF
    Digit

MNC ::=
SEQUENCE (SIZE (2..3)) OF
    Digit

NAS-Message ::=
OCTET STRING (SIZE (1..4095))

NAS-Synchronisation-Indicator ::=
    BIT STRING(SIZE(4))

NAS-SystemInformationGSM-MAP ::=
OCTET STRING (SIZE (1..8))

P-TMSI-GSM-MAP ::=
BIT STRING (SIZE (32))

PagingRecordTypeID ::=
ENUMERATED {
    imsi-GSM-MAP,
    tmsi-GSM-MAP-P-TMSI,
    imsi-DS-41,
    tmsi-DS-41 }

PLMN-Identity ::=
    mcc
    mnc
}
SEQUENCE {
    MCC,
    MNC
}

PLMN-Type ::=
    gsm-MAP
        plmn-Identity
    },
    ansi-41
        p-REV
        min-P-REV
        sid
        nid
    },
    gsm-MAP-and-ANSI-41
        plmn-Identity
        p-REV
        min-P-REV
        sid
        nid
    },
    spare
}
CHOICE {
    SEQUENCE {
        PLMN-Identity
    },
    SEQUENCE {
        P-REV,
        Min-P-REV,
        SID,
        NID
    },
    SEQUENCE {
        PLMN-Identity,
        P-REV,
        Min-P-REV,
        SID,
        NID
    }
}

RAB-Identity ::=
    gsm-MAP-RAB-Identity
    ansi-41-RAB-Identity
}
CHOICE {
    BIT STRING (SIZE (8)),
    BIT STRING (SIZE (8))
}

RAI ::=
    lai
    rac
}
SEQUENCE {
    LAI,
    RoutingAreaCode
}

RoutingAreaCode ::=
BIT STRING (SIZE (8))

RoutingParameter ::=
BIT STRING (SIZE (10))

TMSI-GSM-MAP ::=
BIT STRING (SIZE (32))

-- *****
--
--     UTRAN MOBILITY INFORMATION ELEMENTS (10.3.2)
--
-- *****

AccessClassBarred ::=
ENUMERATED {
    barred, notBarred }

AccessClassBarredList ::=
SEQUENCE (SIZE (maxAC)) OF
    AccessClassBarred

```

```

AllowedIndicator ::=
    ENUMERATED {
        allowed, notAllowed }

CellAccessRestriction ::=
    SEQUENCE {
        cellBarred                CellBarred,
        cellReservedForOperatorUse ReservedIndicator,
        cellReservationExtension   ReservedIndicator,
        -- NOTE: IE accessClassBarredList should not be included if the IE CellAccessRestriction
        -- is included in the IE SysInfoType4
        accessClassBarredList     AccessClassBarredList                OPTIONAL
    }

CellBarred ::=
    CHOICE {
        barred                SEQUENCE {
            intraFreqCellReselectionInd AllowedIndicator,
            t-Barred                T-Barred
        },
        notBarred            NULL
    }

CellIdentity ::=
    BIT STRING (SIZE (28))

CellIdentity-PerRL-List ::=
    SEQUENCE (SIZE (1..maxRL)) OF CellIdentity

CellSelectReselectInfoSIB-3-4 ::=
    SEQUENCE {
        mappingInfo                MappingInfo                OPTIONAL,
        cellSelectQualityMeasure   CHOICE {
            cpich-Ec-N0            SEQUENCE {
                -- Default value for q-HYST-2-S is q-HYST-1-S
                q-HYST-2-S        Q-Hyst-S                OPTIONAL
                -- Default value for q-HYST-2-S is q-HYST-1-S
            },
            cpich-RSCP            NULL
        },
        modeSpecificInfo          CHOICE {
            fdd                    SEQUENCE {
                s-Intrasearch    S-SearchQual        OPTIONAL,
                s-Intersearch    S-SearchQual        OPTIONAL,
                s-SearchHCS       S-SearchRXLEV        OPTIONAL,
                rat-List          RAT-FDD-InfoList        OPTIONAL,
                q-QualMin         Q-QualMin,
                q-RxlevMin        Q-RxlevMin
            },
            tdd                    SEQUENCE {
                s-Intrasearch    S-SearchRXLEV        OPTIONAL,
                s-Intersearch    S-SearchRXLEV        OPTIONAL,
                s-SearchHCS       S-SearchRXLEV        OPTIONAL,
                rat-List          RAT-TDD-InfoList        OPTIONAL,
                q-RxlevMin        Q-RxlevMin
            }
        },
        q-Hyst-1-S                Q-Hyst-S,
        t-Reselection-S          T-Reselection-S,
        hcs-ServingCellInformation HCS-ServingCellInformation    OPTIONAL,
        maxAllowedUL-TX-Power     MaxAllowedUL-TX-Power
    }

MapParameter ::=
    INTEGER (0..99)

Mapping ::=
    SEQUENCE {
        rat                RAT,
        mappingFunctionParameterList MappingFunctionParameterList
    }

Mapping-LCR-r4 ::=
    SEQUENCE {
        mappingFunctionParameterList MappingFunctionParameterList
    }

MappingFunctionParameter ::=
    SEQUENCE {
        functionType        MappingFunctionType,
        mapParameter1       MapParameter                OPTIONAL,
        mapParameter2       MapParameter,
        -- The presence of upperLimit is conditional on the number of repetition
        upperLimit          UpperLimit                OPTIONAL
    }

MappingFunctionParameterList ::=
    SEQUENCE (SIZE (1..maxMeasIntervals)) OF
        MappingFunctionParameter

```

```

MappingFunctionType ::=
    ENUMERATED {
        linear,
        functionType2,
        functionType3,
        functionType4 }

-- In MappingInfo list, mapping for FDD and 3.84Mcps TDD is defined.
-- For 1.28Mcps TDD, Mapping-LCR-r4 is used instead.
MappingInfo ::=
    SEQUENCE (SIZE (1..maxRAT)) OF
        Mapping

-- Actual value Q-Hyst-S = IE value * 2
Q-Hyst-S ::=
    INTEGER (0..20)

RAT ::=
    ENUMERATED {
        ultra-FDD,
        ultra-TDD,
        gsm,
        cdma2000 }

RAT-FDD-Info ::=
    SEQUENCE {
        rat-Identifier
        s-SearchRAT
        s-HCS-RAT
        s-Limit-SearchRAT
    }
    RAT-Identifier,
    S-SearchQual,
    S-SearchRXLEV
    OPTIONAL,
    S-SearchQual

RAT-FDD-InfoList ::=
    SEQUENCE (SIZE (1..maxOtherRAT)) OF
        RAT-FDD-Info

RAT-Identifier ::=
    ENUMERATED {
        gsm, cdma2000 }

RAT-TDD-Info ::=
    SEQUENCE {
        rat-Identifier
        s-SearchRAT
        s-HCS-RAT
        s-Limit-SearchRAT
    }
    RAT-Identifier,
    S-SearchRXLEV,
    S-SearchRXLEV
    OPTIONAL,
    S-SearchRXLEV

RAT-TDD-InfoList ::=
    SEQUENCE (SIZE (1..maxOtherRAT)) OF
        RAT-TDD-Info

ReservedIndicator ::=
    ENUMERATED {
        reserved,
        notReserved }

-- Actual value S-SearchQual = IE value * 2
S-SearchQual ::=
    INTEGER (-16..10)

-- Actual value S-SearchRXLEV = (IE value * 2) + 1
S-SearchRXLEV ::=
    INTEGER (-53..45)

T-Barred ::=
    ENUMERATED {
        s10, s20, s40, s80,
        s160, s320, s640, s1280 }

T-Reselection-S ::=
    INTEGER (0..31)

-- For UpperLimit, the used range depends on the RAT used.
UpperLimit ::=
    INTEGER (1..91)

URA-Identity ::=
    BIT STRING (SIZE (16))

URA-IdentityList ::=
    SEQUENCE (SIZE (1..maxURA)) OF
        URA-Identity

-- *****
--
--     USER EQUIPMENT INFORMATION ELEMENTS (10.3.3)
--
-- *****

AccessStratumReleaseIndicator ::=
    ENUMERATED {
        rel-4, spare15, spare14, spare13,
        spare12, spare11, spare10, spare9, spare8,
        spare7, spare6, spare5, spare4, spare3,

```

```

        spare2, spare1 }

-- TABULAR : for ActivationTime, value 'now' always appear as default, and is encoded
-- by absence of the field
ActivationTime ::=
    INTEGER (0..255)

BackoffControlParams ::=
    SEQUENCE {
        n-AP-RetransMax      N-AP-RetransMax,
        n-AccessFails       N-AccessFails,
        nf-BO-NoAICH        NF-BO-NoAICH,
        ns-BO-Busy          NS-BO-Busy,
        nf-BO-AllBusy       NF-BO-AllBusy,
        nf-BO-Mismatch      NF-BO-Mismatch,
        t-CPCH              T-CPCH
    }

C-RNTI ::=
    BIT STRING (SIZE (16))

CapabilityUpdateRequirement ::=
    SEQUENCE {
        ue-RadioCapabilityFDDUpdateRequirement    BOOLEAN,
        -- ue-RadioCapabilityTDDUpdateRequirement is for 3.84Mcps TDD update requirement
        ue-RadioCapabilityTDDUpdateRequirement    BOOLEAN,
        systemSpecificCapUpdateReqList           SystemSpecificCapUpdateReqList    OPTIONAL
    }

CapabilityUpdateRequirement-r4-ext ::= SEQUENCE {
    ue-RadioCapabilityUpdateRequirement-TDD128    BOOLEAN
}

CapabilityUpdateRequirement-r4 ::= SEQUENCE {
    ue-RadioCapabilityFDDUpdateRequirement-FDD    BOOLEAN,
    ue-RadioCapabilityTDDUpdateRequirement-TDD384    BOOLEAN,
    ue-RadioCapabilityTDDUpdateRequirement-TDD128    BOOLEAN,
    systemSpecificCapUpdateReqList                 SystemSpecificCapUpdateReqList    OPTIONAL
}

CellUpdateCause ::=
    ENUMERATED {
        cellReselection,
        periodicalCellUpdate,
        uplinkDataTransmission,
        utran-pagingResponse,
        re-enteredServiceArea,
        radiolinkFailure,
        rlc-unrecoverableError,
        spare1 }

ChipRateCapability ::=
    ENUMERATED {
        mcps3-84, mcps1-28 }

CipheringAlgorithm ::=
    ENUMERATED {
        uea0, uea1 }

CipheringModeCommand ::=
    CHOICE {
        startRestart          CipheringAlgorithm,
        dummy                  NULL
    }

CipheringModeInfo ::=
    SEQUENCE {
        -- TABULAR: The ciphering algorithm is included in the CipheringModeCommand.
        cipheringModeCommand    CipheringModeCommand,
        activationTimeForDPCH    ActivationTime                OPTIONAL,
        rb-DL-CiphActivationTimeInfo    RB-ActivationTimeInfoList    OPTIONAL
    }

CN-DRX-CycleLengthCoefficient ::=
    INTEGER (6..9)

CN-PagedUE-Identity ::=
    CHOICE {
        imsi-GSM-MAP          IMSI-GSM-MAP,
        tmsi-GSM-MAP          TMSI-GSM-MAP,
        p-TMSI-GSM-MAP        P-TMSI-GSM-MAP,
        imsi-DS-41            IMSI-DS-41,
        tmsi-DS-41            TMSI-DS-41,
        spare3                NULL,
        spare2                NULL,
        spare1                NULL
    }

CompressedModeMeasCapability ::=
    SEQUENCE {

```

```

    fdd-Measurements                BOOLEAN,
    -- TABULAR: The IEs tdd-Measurements, gsm-Measurements and multiCarrierMeasurements
    -- are made optional since they are conditional based on another information element.
    -- Their absence corresponds to the case where the condition is not true.
    tdd-Measurements                BOOLEAN                                OPTIONAL,
    gsm-Measurements                GSM-Measurements                    OPTIONAL,
    multiCarrierMeasurements        BOOLEAN                                OPTIONAL
}

CompressedModeMeasCapability-LCR-r4 ::= SEQUENCE {
    tdd128-Measurements              BOOLEAN                                OPTIONAL
}

CompressedModeMeasCapabFDDList ::= SEQUENCE (SIZE (1..maxFreqBandsFDD)) OF
    CompressedModeMeasCapabFDD

CompressedModeMeasCapabFDD ::= SEQUENCE {
    radioFrequencyBandFDD           RadioFrequencyBandFDD    OPTIONAL,
    dl-MeasurementsFDD              BOOLEAN,
    ul-MeasurementsFDD              BOOLEAN
}

CompressedModeMeasCapabTDDList ::= SEQUENCE (SIZE (1..maxFreqBandsTDD)) OF
    CompressedModeMeasCapabTDD

CompressedModeMeasCapabTDD ::= SEQUENCE {
    radioFrequencyBandTDD           RadioFrequencyBandTDD,
    dl-MeasurementsTDD              BOOLEAN,
    ul-MeasurementsTDD              BOOLEAN
}

CompressedModeMeasCapabGSMList ::= SEQUENCE (SIZE (1..maxFreqBandsGSM)) OF
    CompressedModeMeasCapabGSM

CompressedModeMeasCapabGSM ::= SEQUENCE {
    radioFrequencyBandGSM           RadioFrequencyBandGSM,
    dl-MeasurementsGSM              BOOLEAN,
    ul-MeasurementsGSM              BOOLEAN
}

CompressedModeMeasCapabMC ::= SEQUENCE {
    dl-MeasurementsMC               BOOLEAN,
    ul-MeasurementsMC               BOOLEAN
}

CPCH-Parameters ::= SEQUENCE {
    initialPriorityDelayList         InitialPriorityDelayList    OPTIONAL,
    backoffControlParams             BackoffControlParams,
    -- TABULAR: TPC step size nested inside PowerControlAlgorithm
    powerControlAlgorithm            PowerControlAlgorithm,
    dl-DPCCH-BER                     DL-DPCCH-BER
}

DL-DPCCH-BER ::= INTEGER (0..63)

DL-PhysChCapabilityFDD ::= SEQUENCE {
    maxNoDPCH-PDSCH-Codes            INTEGER (1..8),
    maxNoPhysChBitsReceived          MaxNoPhysChBitsReceived,
    supportForSF-512                 BOOLEAN,
    supportOfPDSCH                   BOOLEAN,
    simultaneousSCCPCH-DPCH-Reception SimultaneousSCCPCH-DPCH-Reception
}

DL-PhysChCapabilityFDD-v380ext ::= SEQUENCE {
    supportOfDedicatedPilotsForChEstimation SupportOfDedicatedPilotsForChEstimation    OPTIONAL
}

SupportOfDedicatedPilotsForChEstimation ::= ENUMERATED { true }

DL-PhysChCapabilityTDD ::= SEQUENCE {
    maxTS-PerFrame                   MaxTS-PerFrame,
    maxPhysChPerFrame                MaxPhysChPerFrame,
    minimumSF                         MinimumSF-DL,
    supportOfPDSCH                    BOOLEAN,
    maxPhysChPerTS                    MaxPhysChPerTS
}

DL-PhysChCapabilityTDD-LCR-r4 ::= SEQUENCE {

```

```

maxTS-PerSubFrame          MaxTS-PerSubFrame-r4,
maxPhysChPerFrame         MaxPhysChPerSubFrame-r4,
minimumSF                  MinimumSF-DL,
supportOfPDSCH             BOOLEAN,
maxPhysChPerTS            MaxPhysChPerTS,
supportOf8PSK              BOOLEAN
}

DL-TransChCapability ::=
  maxNoBitsReceived        MaxNoBits,
  maxConvCodeBitsReceived MaxNoBits,
  turboDecodingSupport     TurboSupport,
  maxSimultaneousTransChs  MaxSimultaneousTransChsDL,
  maxSimultaneousCCTrCH-Count MaxSimultaneousCCTrCH-Count,
  maxReceivedTransportBlocks MaxTransportBlocksDL,
  maxNumberOfTFC           MaxNumberOfTFC-DL,
  maxNumberOfTF            MaxNumberOfTF
}

DRAC-SysInfo ::=
  transmissionProbability  TransmissionProbability,
  maximumBitRate           MaximumBitRate
}

DRAC-SysInfoList ::=
  SEQUENCE (SIZE (1..maxDRACclasses)) OF
  DRAC-SysInfo

DSCH-RNTI ::=
  BIT STRING (SIZE (16))

ESN-DS-41 ::=
  BIT STRING (SIZE (32))

EstablishmentCause ::=
  ENUMERATED {
    originatingConversationalCall,
    originatingStreamingCall,
    originatingInteractiveCall,
    originatingBackgroundCall,
    originatingSubscribedTrafficCall,
    terminatingConversationalCall,
    terminatingStreamingCall,
    terminatingInteractiveCall,
    terminatingBackgroundCall,
    emergencyCall,
    interRAT-CellReselection,
    interRAT-CellChangeOrder,
    registration,
    detach,
    originatingHighPrioritySignalling,
    originatingLowPrioritySignalling,
    callRe-establishment,
    terminatingHighPrioritySignalling,
    terminatingLowPrioritySignalling,
    terminatingCauseUnknown,
    spare12,
    spare11,
    spare10,
    spare9,
    spare8,
    spare7,
    spare6,
    spare5,
    spare4,
    spare3,
    spare2,
    spare1 }

FailureCauseWithProtErr ::=
  CHOICE {
    configurationUnsupported      NULL,
    physicalChannelFailure        NULL,
    incompatibleSimultaneousReconfiguration
                                  NULL,
    compressedModeRuntimeError    TGPSI,
    protocolError                 ProtocolErrorInformation,
    cellUpdateOccurred            NULL,
    invalidConfiguration          NULL,
    configurationIncomplete       NULL,
    unsupportedMeasurement        NULL,
    spare7                        NULL,
    spare6                        NULL,
  }

```

```

    spare5                NULL,
    spare4                NULL,
    spare3                NULL,
    spare2                NULL,
    spare1                NULL
}

FailureCauseWithProtErrTrId ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    failureCause              FailureCauseWithProtErr
}

GSM-Measurements ::= SEQUENCE {
    gsm900                    BOOLEAN,
    dcs1800                   BOOLEAN,
    gsm1900                   BOOLEAN
}

UESpecificBehaviourInformationIdle ::= BIT STRING (SIZE (4))

UESpecificBehaviourInformationInterRAT ::= BIT STRING (SIZE (8))

IMSI-and-ESN-DS-41 ::= SEQUENCE {
    imsi-DS-41                IMSI-DS-41,
    esn-DS-41                 ESN-DS-41
}

IMSI-DS-41 ::= OCTET STRING (SIZE (5..7))

InitialPriorityDelayList ::= SEQUENCE (SIZE (1..maxASC)) OF
    NS-IP

InitialUE-Identity ::= CHOICE {
    imsi                      IMSI-GSM-MAP,
    tmsi-and-LAI              TMSI-and-LAI-GSM-MAP,
    p-TMSI-and-RAI            P-TMSI-and-RAI-GSM-MAP,
    imei                      IMEI,
    esn-DS-41                 ESN-DS-41,
    imsi-DS-41                IMSI-DS-41,
    imsi-and-ESN-DS-41        IMSI-and-ESN-DS-41,
    tmsi-DS-41                TMSI-DS-41
}

IntegrityCheckInfo ::= SEQUENCE {
    messageAuthenticationCode MessageAuthenticationCode,
    rrc-MessageSequenceNumber RRC-MessageSequenceNumber
}

IntegrityProtActivationInfo ::= SEQUENCE {
    rrc-MessageSequenceNumberList RRC-MessageSequenceNumberList
}

IntegrityProtectionAlgorithm ::= ENUMERATED {
    uia1
}

IntegrityProtectionModeCommand ::= CHOICE {
    startIntegrityProtection SEQUENCE {
        integrityProtInitNumber IntegrityProtInitNumber
    },
    modify                    SEQUENCE {
        dl-IntegrityProtActivationInfo IntegrityProtActivationInfo
    }
}

IntegrityProtectionModeInfo ::= SEQUENCE {
    -- TABULAR: DL integrity protection activation info and Integrity
    -- protection intialisation number have been nested inside
    -- IntegrityProtectionModeCommand.
    integrityProtectionModeCommand IntegrityProtectionModeCommand,
    integrityProtectionAlgorithm   IntegrityProtectionAlgorithm OPTIONAL
}

IntegrityProtInitNumber ::= BIT STRING (SIZE (32))

MaxHcContextSpace ::= ENUMERATED {
    by512, by1024, by2048, by4096,
    by8192
}

```



```

MaxROHC-ContextSessions-r4 ::=      ENUMERATED {
                                        s2, s4, s8, s12, s16, s24, s32, s48,
                                        s64, s128, s256, s512, s1024, s16384 }

MaximumAM-EntityNumberRLC-Cap ::=  ENUMERATED {
                                        dummy, am4, am5, am6,
                                        am8, am16, am30 }

-- Actual value MaximumBitRate = IE value * 16
MaximumBitRate ::=                  INTEGER (0..32)

MaximumRLC-WindowSize ::=          ENUMERATED { mws2047, mws4095 }

MaxNoDPDCH-BitsTransmitted ::=     ENUMERATED {
                                        b600, b1200, b2400, b4800,
                                        b9600, b19200, b28800, b38400,
                                        b48000, b57600 }

MaxNoBits ::=                      ENUMERATED {
                                        b640, b1280, b2560, b3840, b5120,
                                        b6400, b7680, b8960, b10240,
                                        b20480, b40960, b81920, b163840 }

MaxNoPhysChBitsReceived ::=        ENUMERATED {
                                        dummy, b1200, b2400, b3600,
                                        b4800, b7200, b9600, b14400,
                                        b19200, b28800, b38400, b48000,
                                        b57600, b67200, b76800 }

MaxNoSCCPCH-RL ::=                ENUMERATED {
                                        r11 }

MaxNumberOfTF ::=                  ENUMERATED {
                                        tf32, tf64, tf128, tf256,
                                        tf512, tf1024 }

MaxNumberOfTFC-DL ::=              ENUMERATED {
                                        tfc16, tfc32, tfc48, tfc64, tfc96,
                                        tfc128, tfc256, tfc512, tfc1024 }

MaxNumberOfTFC-UL ::=              ENUMERATED {
                                        dummy1, dummy2, tfc16, tfc32, tfc48, tfc64,
                                        tfc96, tfc128, tfc256, tfc512, tfc1024 }

-- the values 1 ...4 for MaxPhysChPerFrame are not used in this version of the protocol
MaxPhysChPerFrame ::=              INTEGER (1..224)

MaxPhysChPerSubFrame-r4 ::=        INTEGER (1..96)

MaxPhysChPerTimeslot ::=           ENUMERATED {
                                        ts1, ts2 }

-- the values 1 ...4 for MaxPhysChPerTS are not used in this version of the protocol
MaxPhysChPerTS ::=                 INTEGER (1..16)

MaxSimultaneousCCTrCH-Count ::=    INTEGER (1..8)

MaxSimultaneousTransChsDL ::=      ENUMERATED {
                                        e4, e8, e16, e32 }

MaxSimultaneousTransChsUL ::=      ENUMERATED {
                                        dummy, e4, e8, e16, e32 }

MaxTransportBlocksDL ::=           ENUMERATED {
                                        tb4, tb8, tb16, tb32, tb48,
                                        tb64, tb96, tb128, tb256, tb512 }

MaxTransportBlocksUL ::=           ENUMERATED {
                                        dummy, tb4, tb8, tb16, tb32, tb48,
                                        tb64, tb96, tb128, tb256, tb512 }

MaxTS-PerFrame ::=                 INTEGER (1..14)

MaxTS-PerSubFrame-r4 ::=           INTEGER (1..6)

-- TABULAR: MeasurementCapability contains dependencies to UE-MultiModeRAT-Capability,

```

```

-- the conditional fields have been left mandatory for now.
MeasurementCapability ::= SEQUENCE {
    downlinkCompressedMode          CompressedModeMeasCapability,
    uplinkCompressedMode            CompressedModeMeasCapability
}

MeasurementCapabilityExt ::= SEQUENCE{
    compressedModeMeasCapabFDDList    CompressedModeMeasCapabFDDList,
    compressedModeMeasCapabTDDList    CompressedModeMeasCapabTDDList OPTIONAL,
    compressedModeMeasCapabGSMList    CompressedModeMeasCapabGSMList OPTIONAL,
    compressedModeMeasCapabMC         CompressedModeMeasCapabMC         OPTIONAL
}

MeasurementCapability-r4-ext ::= SEQUENCE {
    downlinkCompressedMode-LCR        CompressedModeMeasCapability-LCR-r4,
    uplinkCompressedMode-LCR          CompressedModeMeasCapability-LCR-r4
}

MessageAuthenticationCode ::= BIT STRING (SIZE (32))

MinimumSF-DL ::= ENUMERATED {
    sf1, sf16 }

MinimumSF-UL ::= ENUMERATED {
    sf1, sf2, sf4, sf8, dummy}

MultiModeCapability ::= ENUMERATED {
    tdd, fdd, fdd-tdd }

MultiRAT-Capability ::= SEQUENCE {
    supportOfGSM                BOOLEAN,
    supportOfMulticarrier        BOOLEAN
}

N-300 ::= INTEGER (0..7)
N-301 ::= INTEGER (0..7)
N-302 ::= INTEGER (0..7)
N-304 ::= INTEGER (0..7)
N-308 ::= INTEGER (1..8)
N-310 ::= INTEGER (0..7)
N-312 ::= ENUMERATED {
    s1, s50, s100, s200, s400,
    s600, s800, s1000 }
N-312ext ::= ENUMERATED {
    s2, s4, s10, s20 }
N-313 ::= ENUMERATED {
    s1, s2, s4, s10, s20,
    s50, s100, s200 }
N-315 ::= ENUMERATED {
    s1, s50, s100, s200, s400,
    s600, s800, s1000 }
N-315ext ::= ENUMERATED {
    s2, s4, s10, s20 }

N-AccessFails ::= INTEGER (1..64)
N-AP-RetransMax ::= INTEGER (1..64)
NetworkAssistedGPS-Supported ::= ENUMERATED {
    networkBased,
    ue-Based,
    bothNetworkAndUE-Based,
    noNetworkAssistedGPS }

NF-BO-AllBusy ::= INTEGER (0..31)
NF-BO-NoAICH ::= INTEGER (0..31)

```

```

NF-BO-Mismatch ::= INTEGER (0..127)

NS-BO-Busy ::= INTEGER (0..63)

NS-IP ::= INTEGER (0..28)

P-TMSI-and-RAI-GSM-MAP ::= SEQUENCE {
    p-TMSI P-TMSI-GSM-MAP,
    rai RAI
}

PagingCause ::= ENUMERATED {
    terminatingConversationalCall,
    terminatingStreamingCall,
    terminatingInteractiveCall,
    terminatingBackgroundCall,
    terminatingHighPrioritySignalling,
    terminatingLowPrioritySignalling,
    terminatingCauseUnknown,
    spare
}

PagingRecord ::= CHOICE {
    cn-Identity SEQUENCE {
        pagingCause PagingCause,
        cn-DomainIdentity CN-DomainIdentity,
        cn-pagedUE-Identity CN-PagedUE-Identity
    },
    utran-Identity SEQUENCE {
        u-RNTI U-RNTI,
        cn-OriginatedPage-connectedMode-UE SEQUENCE {
            pagingCause PagingCause,
            cn-DomainIdentity CN-DomainIdentity,
            pagingRecordTypeID PagingRecordTypeID
        }
    }
} OPTIONAL

PagingRecordList ::= SEQUENCE (SIZE (1..maxPage1)) OF
    PagingRecord

PDCP-Capability ::= SEQUENCE {
    losslessSRNS-RelocationSupport BOOLEAN,
    supportForRfc2507 CHOICE {
        notSupported NULL,
        supported MaxHcContextSpace
    }
}

PDCP-Capability-r4-ext ::= SEQUENCE {
    supportForRfc3095 CHOICE {
        notSupported NULL,
        supported SEQUENCE {
            maxROHC-ContextSessions MaxROHC-ContextSessions-r4 DEFAULT s16,
            reverseCompressionDepth INTEGER (0..65535) DEFAULT 0
        }
    }
}

PhysicalChannelCapability ::= SEQUENCE {
    fddPhysChCapability SEQUENCE {
        downlinkPhysChCapability DL-PhysChCapabilityFDD,
        uplinkPhysChCapability UL-PhysChCapabilityFDD
    } OPTIONAL,
    -- tddPhysChCapability describes the 3.84Mcps TDD physical channel capability
    tddPhysChCapability SEQUENCE {
        downlinkPhysChCapability DL-PhysChCapabilityTDD,
        uplinkPhysChCapability UL-PhysChCapabilityTDD
    } OPTIONAL
}

-- PhysicalChannelCapability-LCR-r4 describes the 1.28Mcps TDD physical channel capability
PhysicalChannelCapability-LCR-r4 ::= SEQUENCE {
    tdd128-PhysChCapability SEQUENCE {
        downlinkPhysChCapability DL-PhysChCapabilityTDD-LCR-r4,
        uplinkPhysChCapability UL-PhysChCapabilityTDD-LCR-r4
    } OPTIONAL
}

```

```

PNBSCH-Allocation-r4 ::= SEQUENCE {
    numberOfRepetitionsPerSFNPeriod ENUMERATED {
        c2, c3, c4, c5, c6, c7, c8, c9, c10,
        c12, c14, c16, c18, c20, c24, c28, c32,
        c36, c40, c48, c56, c64, c72, c80 }
}

ProtocolErrorCause ::= ENUMERATED {
    asn1-ViolationOrEncodingError,
    messageTypeNonexistent,
    messageNotCompatibleWithReceiverState,
    ie-ValueNotComprehended,
    informationElementMissing,
    messageExtensionNotComprehended,
    spare2, spare1 }

ProtocolErrorIndicator ::= ENUMERATED {
    noError, errorOccurred }

ProtocolErrorIndicatorWithMoreInfo ::= CHOICE {
    noError NULL,
    errorOccurred SEQUENCE {
        rrc-TransactionIdentifier RRC-TransactionIdentifier,
        protocolErrorInformation ProtocolErrorInformation
    }
}

ProtocolErrorMoreInformation ::= SEQUENCE {
    diagnosticsType CHOICE {
        type1 CHOICE {
            asn1-ViolationOrEncodingError NULL,
            messageTypeNonexistent NULL,
            messageNotCompatibleWithReceiverState
                IdentificationOfReceivedMessage,
            ie-ValueNotComprehended IdentificationOfReceivedMessage,
            conditionalInformationElementError IdentificationOfReceivedMessage,
            messageExtensionNotComprehended IdentificationOfReceivedMessage,
            spare1 NULL,
            spare2 NULL
        },
        spare NULL
    }
}

RadioFrequencyBandFDD ::= ENUMERATED {
    fdd2100,
    fdd1900,
    spare6, spare5, spare4, spare3, spare2, spare1 }

RadioFrequencyBandFDD Spare ::= ENUMERATED { spare8, spare7, spare6, spare5,
    spare4, spare3, spare2, spare1 }

RadioFrequencyBandTDDList ::= ENUMERATED {
    a, b, c, ab, ac, bc, abc, spare }

RadioFrequencyBandTDD ::= ENUMERATED { a, b, c, spare }

RadioFrequencyBandGSM ::= ENUMERATED {
    gsm450,
    gsm480,
    gsm850,
    gsm900P,
    gsm900E,
    gsm1800,
    gsm1900,
    spare9, spare8, spare7, spare6, spare5,
    spare4, spare3, spare2, spare1 }

Rb-timer-indicator ::= SEQUENCE {
    t314-expired BOOLEAN,
    t315-expired BOOLEAN }

Re-EstablishmentTimer ::= ENUMERATED {
    useT314, useT315
}

```

```

RedirectionInfo ::= CHOICE {
    frequencyInfo
    interRATInfo
}

RejectionCause ::= ENUMERATED {
    congestion,
    unspecified }

ReleaseCause ::= ENUMERATED {
    normalEvent,
    unspecified,
    pre-emptiveRelease,
    congestion,
    re-establishmentReject,
    directedsignallingconnectionre-establishment,
    userInactivity,
    spare }

RF-Capability ::= SEQUENCE {
    fddRF-Capability SEQUENCE {
        ue-PowerClass
        txRxFrequencySeparation
    }
    tddRF-Capability SEQUENCE {
        ue-PowerClass
        radioFrequencyTDDBandList
        chipRateCapability
    }
}

RF-Capability-r4-ext ::= SEQUENCE {
    tddRF-Capability SEQUENCE {
        ue-PowerClass
        radioFrequencyBandTDDList
        chipRateCapability
    }
}

RLC-Capability ::= SEQUENCE {
    totalRLC-AM-BufferSize
    maximumRLC-WindowSize
    maximumAM-EntityNumber
}

RRC-MessageSequenceNumber ::= INTEGER (0..15)

RRC-MessageSequenceNumberList ::= SEQUENCE (SIZE (4..5)) OF
    RRC-MessageSequenceNumber

RRC-StateIndicator ::= ENUMERATED {
    cell-DCH, cell-FACH, cell-PCH, ura-PCH }

RRC-TransactionIdentifier ::= INTEGER (0..3)

S-RNTI ::= BIT STRING (SIZE (20))

S-RNTI-2 ::= BIT STRING (SIZE (10))

SecurityCapability ::= SEQUENCE {
    cipheringAlgorithmCap
    BIT STRING {
        -- For each bit value "0" means false/ not supported
        spare15(0),
        spare14(1),
        spare13(2),
        spare12(3),
        spare11(4),
        spare10(5),
        spare9(6),
        spare8(7),
        spare7(8),
        spare6(9),
        spare5(10),
        spare4(11),
        spare3(12),
        spare2(13),
        uea1(14),
    }
}

```

```

        uea0(15)
    } (SIZE (16)),
integrityProtectionAlgorithmCap BIT STRING {
    -- For each bit value "0" means false/ not supported
    spare15(0),
    spare14(1),
    spare13(2),
    spare12(3),
    spare11(4),
    spare10(5),
    spare9(6),
    spare8(7),
    spare7(8),
    spare6(9),
    spare5(10),
    spare4(11),
    spare3(12),
    spare2(13),
    uia1(14),
    spare0(15)
} (SIZE (16))
}

SimultaneousSCCPCH-DPCH-Reception ::= CHOICE {
    notSupported NULL,
    supported SEQUENCE {
        maxNoSCCPCH-RL MaxNoSCCPCH-RL,
        -- simultaneousSCCPCH-DPCH-DPDCH-Reception is applicable only if
        -- the IE Support of PDSCH = TRUE
        simultaneousSCCPCH-DPCH-DPDCH-Reception BOOLEAN
    }
}

SRNC-Identity ::= BIT STRING (SIZE (12))

START-Value ::= BIT STRING (SIZE (20))

STARTList ::= SEQUENCE (SIZE (1..maxCNdomains)) OF
    STARTSingle

STARTSingle ::= SEQUENCE {
    cn-DomainIdentity CN-DomainIdentity,
    start-Value START-Value
}

SystemSpecificCapUpdateReq ::= ENUMERATED {
    gsm }

SystemSpecificCapUpdateReqList ::= SEQUENCE (SIZE (1..maxSystemCapability)) OF
    SystemSpecificCapUpdateReq

T-300 ::= ENUMERATED {
    ms100, ms200, ms400, ms600, ms800,
    ms1000, ms1200, ms1400, ms1600,
    ms1800, ms2000, ms3000, ms4000,
    ms6000, ms8000 }

T-301 ::= ENUMERATED {
    ms100, ms200, ms400, ms600, ms800,
    ms1000, ms1200, ms1400, ms1600,
    ms1800, ms2000, ms3000, ms4000,
    ms6000, ms8000, spare }

T-302 ::= ENUMERATED {
    ms100, ms200, ms400, ms600, ms800,
    ms1000, ms1200, ms1400, ms1600,
    ms1800, ms2000, ms3000, ms4000,
    ms6000, ms8000, spare }

T-304 ::= ENUMERATED {
    ms100, ms200, ms400,
    ms1000, ms2000, spare3, spare2, spare1 }

T-305 ::= ENUMERATED {
    noUpdate, m5, m10, m30,
    m60, m120, m360, m720 }

```

```

T-307 ::=
    ENUMERATED {
        s5, s10, s15, s20,
        s30, s40, s50, spare }

T-308 ::=
    ENUMERATED {
        ms40, ms80, ms160, ms320 }

T-309 ::=
    INTEGER (1..8)

T-310 ::=
    ENUMERATED {
        ms40, ms80, ms120, ms160,
        ms200, ms240, ms280, ms320 }

T-311 ::=
    ENUMERATED {
        ms250, ms500, ms750, ms1000,
        ms1250, ms1500, ms1750, ms2000 }

-- The value 0 for T-312 is not used in this version of the specification
T-312 ::=
    INTEGER (0..15)

T-313 ::=
    INTEGER (0..15)

T-314 ::=
    ENUMERATED {
        s0, s2, s4, s6, s8,
        s12, s16, s20 }

T-315 ::=
    ENUMERATED {
        s0, s10, s30, s60, s180,
        s600, s1200, s1800 }

T-316 ::=
    ENUMERATED {
        s0, s10, s20, s30, s40,
        s50, s-inf, spare }

-- All the values should be interpreted as "infinity"
T-317 ::=
    ENUMERATED {
        s0, s10, s30, s60, s180,
        s600, s1200, s1800 }

T-CPCH ::=
    ENUMERATED {
        ct0, ct1 }

TMSI-and-LAI-GSM-MAP ::=
    SEQUENCE {
        tmsi
        lai
    }

TMSI-DS-41 ::=
    OCTET STRING (SIZE (2..17))

TotalRLC-AM-BufferSize ::=
    ENUMERATED {
        dummy, kb10, kb50, kb100,
        kb150, kb500, kb1000, spare }

-- Actual value TransmissionProbability = IE value * 0.125
TransmissionProbability ::=
    INTEGER (1..8)

TransportChannelCapability ::=
    SEQUENCE {
        dl-TransChCapability
        ul-TransChCapability
    }

TurboSupport ::=
    CHOICE {
        notSupported
        supported
    }

TxRxFrequencySeparation ::=
    ENUMERATED {
        mhz190, mhz174-8-205-2,
        mhz134-8-245-2 }

U-RNTI ::=
    SEQUENCE {
        srnc-Identity
        s-RNTI
    }

U-RNTI-Short ::=
    SEQUENCE {
        srnc-Identity
    }

```

```

    s-RNTI-2                S-RNTI-2
}

UE-ConnTimersAndConstants ::=          SEQUENCE {
-- Optional is used also for parameters for which the default value is the last one read in SIB1
-- t-301 and n-301 should not be used by the UE in this version of the specification
    t-301                    T-301                DEFAULT ms2000,
    n-301                    N-301                DEFAULT 2,
    t-302                    T-302                DEFAULT ms4000,
    n-302                    N-302                DEFAULT 3,
    t-304                    T-304                DEFAULT ms2000,
    n-304                    N-304                DEFAULT 2,
    t-305                    T-305                DEFAULT m30,
    t-307                    T-307                DEFAULT s30,
    t-308                    T-308                DEFAULT ms160,
    t-309                    T-309                DEFAULT 5,
    t-310                    T-310                DEFAULT ms160,
    n-310                    N-310                DEFAULT 4,
    t-311                    T-311                DEFAULT ms2000,
    t-312                    T-312                DEFAULT 1,
    -- n-312 shall be ignored if n-312 in UE-ConnTimersAndConstants-v3a0ext is present, and the
    -- value of that element shall be used instead.
    n-312                    N-312                DEFAULT s1,
    t-313                    T-313                DEFAULT 3,
    n-313                    N-313                DEFAULT s20,
    t-314                    T-314                DEFAULT s12,
    t-315                    T-315                DEFAULT s180,
    -- n-315 shall be ignored if n-315 in UE-ConnTimersAndConstants-v3a0ext is present, and the
    -- value of that element shall be used instead.
    n-315                    N-315                DEFAULT s1,
    t-316                    T-316                DEFAULT s30,
    t-317                    T-317                DEFAULT s180
}

UE-ConnTimersAndConstants-v3a0ext ::=          SEQUENCE {
    n-312                    N-312ext                OPTIONAL,
    n-315                    N-315ext                OPTIONAL
}

UE-IdleTimersAndConstants ::=          SEQUENCE {
    t-300                    T-300,
    n-300                    N-300,
    t-312                    T-312,
    -- n-312 shall be ignored if n-312 in UE-IdleTimersAndConstants-v3a0ext is present, and the
    -- value of that element shall be used instead.
    n-312                    N-312
}

UE-IdleTimersAndConstants-v3a0ext ::=          SEQUENCE {
    n-312                    N-312ext                OPTIONAL
}

UE-MultiModeRAT-Capability ::=          SEQUENCE {
    multiRAT-CapabilityList    MultiRAT-Capability,
    multiModeCapability        MultiModeCapability
}

UE-PowerClass ::=          INTEGER (1..4)

UE-PowerClassExt ::=          ENUMERATED {class1, class2, class3, class4,
    spare4, spare3, spare2, spare1 }

UE-RadioAccessCapability ::=          SEQUENCE {
-- UE-RadioAccessCapability is compatible with R99, although accessStratumReleaseIndicator
-- is removed from this IE, since its encoding did not does in bits. The
-- accessStratumReleaseIndicator is provided in the relevant REL-4 extension IEs.
    pdcp-Capability            PDCCP-Capability,
    rlc-Capability              RLC-Capability,
    transportChannelCapability  TransportChannelCapability,
    rf-Capability               RF-Capability,
    physicalChannelCapability    PhysicalChannelCapability,
    ue-MultiModeRAT-Capability  UE-MultiModeRAT-Capability,
    securityCapability           SecurityCapability,
    ue-positioning-Capability    UE-Positioning-Capability,
    measurementCapability        MeasurementCapability        OPTIONAL
}

UE-RadioAccessCapabilityInfo ::=          SEQUENCE {

```



```

    ue-RadioAccessCapability          UE-RadioAccessCapability,
    ue-RadioAccessCapability-v370ext  UE-RadioAccessCapability-v370ext
  }
UE-RadioAccessCapability-v370ext ::= SEQUENCE {
    ue-RadioAccessCapabBandFDDList  UE-RadioAccessCapabBandFDDList
}
UE-RadioAccessCapability-v380ext ::= SEQUENCE {
    ue-PositioningCapabilityExt-v380 UE-PositioningCapabilityExt-v380
}
UE-RadioAccessCapability-v3a0ext ::= SEQUENCE {
    ue-PositioningCapabilityExt-v3a0 UE-PositioningCapabilityExt-v3a0
}
UE-RadioAccessCapability-v3g0ext ::= SEQUENCE {
    ue-PositioningCapabilityExt-v3g0 UE-PositioningCapabilityExt-v3g0
}
UE-PositioningCapabilityExt-v380 ::= SEQUENCE {
    rx-tx-TimeDifferenceType2Capable BOOLEAN
}
UE-PositioningCapabilityExt-v3a0 ::= SEQUENCE {
    validity-CellPCH-UraPCH          ENUMERATED { true }
}
UE-PositioningCapabilityExt-v3g0 ::= SEQUENCE {
    sfn-sfnType2Capability           ENUMERATED { true }
}
UE-RadioAccessCapabBandFDDList ::= SEQUENCE (SIZE (1..maxFreqBandsFDD)) OF
    UE-RadioAccessCapabBandFDD
UE-RadioAccessCapabBandFDD ::= SEQUENCE{
    radioFrequencyBandFDD          RadioFrequencyBandFDD,
    fddRF-Capability               SEQUENCE {
        ue-PowerClass              UE-PowerClassExt,
        txRxFrequencySeparation    TxRxFrequencySeparation
    } OPTIONAL,
    measurementCapability          MeasurementCapabilityExt
}
UE-RadioAccessCapability-v4b0ext ::= SEQUENCE {
    pdcp-Capability-r4-ext        PDCP-Capability-r4-ext,
    tdd-CapabilityExt             SEQUENCE {
        rf-Capability              RF-Capability-r4-ext,
        physicalChannelCapability-LCR PhysicalChannelCapability-LCR-r4,
        measurementCapability-r4-ext MeasurementCapability-r4-ext
    } OPTIONAL,
    -- IE " AccessStratumReleaseIndicator" is not needed in RRC CONNECTION SETUP COMPLETE
    accessStratumReleaseIndicator AccessStratumReleaseIndicator OPTIONAL
}
UL-PhysChCapabilityFDD ::= SEQUENCE {
    maxNoDPDCH-BitsTransmitted    MaxNoDPDCH-BitsTransmitted,
    supportOfPCPCH                BOOLEAN
}
UL-PhysChCapabilityTDD ::= SEQUENCE {
    maxTS-PerFrame                MaxTS-PerFrame,
    maxPhysChPerTimeslot          MaxPhysChPerTimeslot,
    minimumSF                     MinimumSF-UL,
    supportOfPUSCH                BOOLEAN
}
UL-PhysChCapabilityTDD-LCR-r4 ::= SEQUENCE {
    maxTS-PerSubFrame             MaxTS-PerSubFrame-r4,
    maxPhysChPerTimeslot          MaxPhysChPerTimeslot,
    minimumSF                     MinimumSF-UL,
    supportOfPUSCH                BOOLEAN,
    supportOf8PSK                 BOOLEAN
}
UL-TransChCapability ::= SEQUENCE {
    maxNoBitsTransmitted           MaxNoBits,
    maxConvCodeBitsTransmitted     MaxNoBits,

```

```

turboEncodingSupport          TurboSupport,
maxSimultaneousTransChs      MaxSimultaneousTransChsUL,
modeSpecificInfo             CHOICE {
    fdd                       NULL,
    tdd                       SEQUENCE {
        maxSimultaneousCCTrCH-Count  MaxSimultaneousCCTrCH-Count
    }
},
maxTransmittedBlocks         MaxTransportBlocksUL,
maxNumberOfTFC               MaxNumberOfTFC-UL,
maxNumberOfTF                MaxNumberOfTF
}

UE-Positioning-Capability ::= SEQUENCE {
    standaloneLocMethodsSupported    BOOLEAN,
    ue-BasedOTDOA-Supported          BOOLEAN,
    networkAssistedGPS-Supported     NetworkAssistedGPS-Supported,
    supportForUE-GPS-TimingOfCellFrames  BOOLEAN,
    supportForIPDL                   BOOLEAN
}

UE-SecurityInformation ::= SEQUENCE {
    start-CS                        START-Value
}

URA-UpdateCause ::= ENUMERATED {
    changeOfURA,
    periodicURAUpdate,
    dummy,
    spare1 }

UTRAN-DRX-CycleLengthCoefficient ::= INTEGER (3..9)

WaitTime ::= INTEGER (0..15)

-- *****
--
-- RADIO BEARER INFORMATION ELEMENTS (10.3.4)
--
-- *****

AlgorithmSpecificInfo ::= CHOICE {
    rfc2507-Info          RFC2507-Info
}

AlgorithmSpecificInfo-r4 ::= CHOICE {
    rfc2507-Info          RFC2507-Info,
    rfc3095-Info          RFC3095-Info-r4
}

CID-InclusionInfo-r4 ::= ENUMERATED {
    pdcp-Header,
    rfc3095-PacketFormat }

-- Upper limit of COUNT-C is 2^32 - 1
COUNT-C ::= INTEGER (0..4294967295)

-- Upper limit of COUNT-C-MSB is 2^25 - 1
COUNT-C-MSB ::= INTEGER (0..33554431)

DefaultConfigIdentity ::= INTEGER (0..10)

DefaultConfigIdentity-r4 ::= INTEGER (0..12)

DefaultConfigMode ::= ENUMERATED {
    fdd,
    tdd }

DL-AM-RLC-Mode ::= SEQUENCE {
    inSequenceDelivery    BOOLEAN,
    receivingWindowSize   ReceivingWindowSize,
    dl-RLC-StatusInfo     DL-RLC-StatusInfo
}

DL-CounterSynchronisationInfo ::= SEQUENCE {
    rB-WithPDCP-InfoList  RB-WithPDCP-InfoList    OPTIONAL
}

```

```

DL-LogicalChannelMapping ::= SEQUENCE {
  -- TABULAR: DL-TransportChannelType contains TransportChannelIdentity as well.
  dl-TransportChannelType DL-TransportChannelType,
  logicalChannelIdentity LogicalChannelIdentity OPTIONAL
}

DL-LogicalChannelMappingList ::= SEQUENCE (SIZE (1..maxLoCHperRLC)) OF
  DL-LogicalChannelMapping

DL-RFC3095-r4 ::= SEQUENCE {
  cid-InclusionInfo CID-InclusionInfo-r4,
  max-CID INTEGER (1..16383) DEFAULT 15,
  reverseDecompressionDepth INTEGER (0..65535) DEFAULT 0
}

DL-RLC-Mode ::= CHOICE {
  dl-AM-RLC-Mode DL-AM-RLC-Mode,
  dl-UM-RLC-Mode NULL,
  dl-TM-RLC-Mode DL-TM-RLC-Mode
}

DL-RLC-StatusInfo ::= SEQUENCE {
  timerStatusProhibit TimerStatusProhibit OPTIONAL,
  -- dummy is not used in this version of the specification, it should not be sent
  -- and if received they should be ignored.
  dummy TimerEPC OPTIONAL,
  missingPDU-Indicator BOOLEAN,
  timerStatusPeriodic TimerStatusPeriodic OPTIONAL
}

DL-TM-RLC-Mode ::= SEQUENCE {
  segmentationIndication BOOLEAN
}

DL-TransportChannelType ::= CHOICE {
  dch TransportChannelIdentity,
  fach NULL,
  dsch TransportChannelIdentity,
  dch-and-dsch TransportChannelIdentityDCHandDSCH
}

ExpectReordering ::= ENUMERATED {
  reorderingNotExpected,
  reorderingExpected }

ExplicitDiscard ::= SEQUENCE {
  timerMRW TimerMRW,
  timerDiscard TimerDiscard,
  maxMRW MaxMRW
}

HeaderCompressionInfo ::= SEQUENCE {
  algorithmSpecificInfo AlgorithmSpecificInfo
}

HeaderCompressionInfoList ::= SEQUENCE (SIZE (1..maxPDCPALgoType)) OF
  HeaderCompressionInfo

HeaderCompressionInfo-r4 ::= SEQUENCE {
  algorithmSpecificInfo-r4 AlgorithmSpecificInfo-r4
}

HeaderCompressionInfoList-r4 ::= SEQUENCE (SIZE (1..maxPDCPALgoType)) OF
  HeaderCompressionInfo-r4

LogicalChannelIdentity ::= INTEGER (1..15)

LosslessSRNS-RelocSupport ::= CHOICE {
  supported MaxPDCP-SN-WindowSize,
  notSupported NULL
}

MAC-d-HFN-initial-value ::= BIT STRING (SIZE (24))

MAC-LogicalChannelPriority ::= INTEGER (1..8)

MaxDAT ::= ENUMERATED {
  dat1, dat2, dat3, dat4, dat5, dat6,

```

```

        dat7, dat8, dat9, dat10, dat15, dat20,
        dat25, dat30, dat35, dat40 }

MaxDAT-Retransmissions ::= SEQUENCE {
    maxDAT           MaxDAT,
    timerMRW        TimerMRW,
    maxMRW          MaxMRW
}

MaxMRW ::= ENUMERATED {
    mm1, mm4, mm6, mm8, mm12, mm16,
    mm24, mm32 }

MaxPDCP-SN-WindowSize ::= ENUMERATED {
    sn255, sn65535 }

MaxRST ::= ENUMERATED {
    rst1, rst4, rst6, rst8, rst12,
    rst16, rst24, rst32 }

NoExplicitDiscard ::= ENUMERATED {
    dt10, dt20, dt30, dt40, dt50,
    dt60, dt70, dt80, dt90, dt100 }

PDCP-Info ::= SEQUENCE {
    losslessSRNS-RelocSupport    LosslessSRNS-RelocSupport    OPTIONAL,
    -- TABULAR: pdcP-PDU-Header is MD in the tabular format and it can be encoded
    -- in one bit, so the OPTIONAL is removed for compactness.
    pdcP-PDU-Header              PDCP-PDU-Header,
    headerCompressionInfoList    HeaderCompressionInfoList    OPTIONAL
}

PDCP-Info-r4 ::= SEQUENCE {
    losslessSRNS-RelocSupport    LosslessSRNS-RelocSupport    OPTIONAL,
    -- TABULAR: pdcP-PDU-Header is MD in the tabular format and it can be encoded
    -- in one bit, so the OPTIONAL is removed for compactness.
    pdcP-PDU-Header              PDCP-PDU-Header,
    headerCompressionInfoList    HeaderCompressionInfoList-r4    OPTIONAL
}

PDCP-InfoReconfig ::= SEQUENCE {
    pdcP-Info                    PDCP-Info,
    -- dummy is not used in this version of the specification and
    -- it should be ignored.
    dummy                        INTEGER (0..65535)
}

PDCP-InfoReconfig-r4 ::= SEQUENCE {
    pdcP-Info                    PDCP-Info-r4
}

PDCP-PDU-Header ::= ENUMERATED {
    present, absent }

PDCP-SN-Info ::= INTEGER (0..65535)

Poll-PDU ::= ENUMERATED {
    pdu1, pdu2, pdu4, pdu8, pdu16,
    pdu32, pdu64, pdu128 }

Poll-SDU ::= ENUMERATED {
    sdu1, sdu4, sdu16, sdu64 }

PollingInfo ::= SEQUENCE {
    timerPollProhibit           TimerPollProhibit           OPTIONAL,
    timerPoll                   TimerPoll                           OPTIONAL,
    poll-PDU                    Poll-PDU                           OPTIONAL,
    poll-SDU                    Poll-SDU                            OPTIONAL,
    lastTransmissionPDU-Poll    BOOLEAN,
    lastRetransmissionPDU-Poll  BOOLEAN,
    pollWindow                  PollWindow                       OPTIONAL,
    timerPollPeriodic           TimerPollPeriodic           OPTIONAL
}

PollWindow ::= ENUMERATED {
    pw50, pw60, pw70, pw80, pw85,
    pw90, pw95, pw99 }

```

```

PredefinedConfigIdentity ::=          INTEGER (0..15)

PredefinedConfigValueTag ::=         INTEGER (0..15)

PredefinedRB-Configuration ::=       SEQUENCE {
  re-EstablishmentTimer              Re-EstablishmentTimer,
  srb-InformationList                 SRB-InformationSetupList,
  rb-InformationList                  RB-InformationSetupList
}

PreDefRadioConfiguration ::=         SEQUENCE {
  -- Radio bearer IEs
  predefinedRB-Configuration          PredefinedRB-Configuration,
  -- Transport channel IEs
  preDefTransChConfiguration         PreDefTransChConfiguration,
  -- Physical channel IEs
  preDefPhyChConfiguration           PreDefPhyChConfiguration
}

PredefinedConfigStatusList ::=       SEQUENCE (SIZE (maxPredefConfig)) OF
                                      PredefinedConfigStatusInfo

PredefinedConfigStatusInfo ::=       CHOICE {
  storedWithValueTagSameAsPrevious   NULL,
  other                               CHOICE {
    notStored                         NULL,
    storedWithDifferentValueTag       PredefinedConfigValueTag
  }
}

RAB-Info ::=                         SEQUENCE {
  rab-Identity                       RAB-Identity,
  cn-DomainIdentity                  CN-DomainIdentity,
  nas-Synchronisation-Indicator      NAS-Synchronisation-Indicator OPTIONAL,
  re-EstablishmentTimer              Re-EstablishmentTimer
}

RAB-InformationList ::=              SEQUENCE (SIZE (1..maxRABsetup)) OF
                                      RAB-Info

RAB-InformationReconfigList ::=      SEQUENCE (SIZE (1.. maxRABsetup)) OF
                                      RAB-InformationReconfig

RAB-InformationReconfig ::=          SEQUENCE {
  rab-Identity                       RAB-Identity,
  cn-DomainIdentity                  CN-DomainIdentity,
  nas-Synchronisation-Indicator      NAS-Synchronisation-Indicator
}

RAB-Info-Post ::=                   SEQUENCE {
  rab-Identity                       RAB-Identity,
  cn-DomainIdentity                  CN-DomainIdentity,
  nas-Synchronisation-Indicator      NAS-Synchronisation-Indicator OPTIONAL
}

RAB-InformationSetup ::=             SEQUENCE {
  rab-Info                           RAB-Info,
  rb-InformationSetupList            RB-InformationSetupList
}

RAB-InformationSetup-r4 ::=          SEQUENCE {
  rab-Info                           RAB-Info,
  rb-InformationSetupList-r4         RB-InformationSetupList-r4
}

RAB-InformationSetupList ::=         SEQUENCE (SIZE (1..maxRABsetup)) OF
                                      RAB-InformationSetup

RAB-InformationSetupList-r4 ::=      SEQUENCE (SIZE (1..maxRABsetup)) OF
                                      RAB-InformationSetup-r4

RB-ActivationTimeInfo ::=           SEQUENCE {
  rb-Identity                        RB-Identity,
  rlc-SequenceNumber                 RLC-SequenceNumber
}

RB-ActivationTimeInfoList ::=        SEQUENCE (SIZE (1..maxRB)) OF
                                      RB-ActivationTimeInfo

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```

RB-COUNT-C-Information ::= SEQUENCE {
    rb-Identity
    count-C-UL
    count-C-DL
}

RB-COUNT-C-InformationList ::= SEQUENCE (SIZE (1..maxRBallRABs)) OF
    RB-COUNT-C-Information

RB-COUNT-C-MSB-Information ::= SEQUENCE {
    rb-Identity
    count-C-MSB-UL
    count-C-MSB-DL
}

RB-COUNT-C-MSB-InformationList ::= SEQUENCE (SIZE (1..maxRBallRABs)) OF
    RB-COUNT-C-MSB-Information

RB-Identity ::= INTEGER (1..32)

RB-IdentityList ::= SEQUENCE (SIZE (1..maxRB)) OF
    RB-Identity

RB-InformationAffected ::= SEQUENCE {
    rb-Identity
    rb-MappingInfo
}

RB-InformationAffectedList ::= SEQUENCE (SIZE (1..maxRB)) OF
    RB-InformationAffected

RB-InformationReconfig ::= SEQUENCE {
    rb-Identity
    pdcp-Info
    pdcp-SN-Info
    rlc-Info
    rb-MappingInfo
    rb-StopContinue
}
OPTIONAL,
OPTIONAL,
OPTIONAL,
OPTIONAL,
OPTIONAL

RB-InformationReconfig-r4 ::= SEQUENCE {
    rb-Identity
    pdcp-Info
    pdcp-SN-Info
    rlc-Info
    rb-MappingInfo
    rb-StopContinue
}
OPTIONAL,
OPTIONAL,
OPTIONAL,
OPTIONAL,
OPTIONAL

RB-InformationReconfigList ::= SEQUENCE (SIZE (1..maxRB)) OF
    RB-InformationReconfig

RB-InformationReconfigList-r4 ::= SEQUENCE (SIZE (1..maxRB)) OF
    RB-InformationReconfig-r4

RB-InformationReleaseList ::= SEQUENCE (SIZE (1..maxRB)) OF
    RB-Identity

RB-InformationSetup ::= SEQUENCE {
    rb-Identity
    pdcp-Info
    rlc-InfoChoice
    rb-MappingInfo
}
OPTIONAL,

RB-InformationSetup-r4 ::= SEQUENCE {
    rb-Identity
    pdcp-Info
    rlc-InfoChoice
    rb-MappingInfo
}
OPTIONAL,

RB-InformationSetupList ::= SEQUENCE (SIZE (1..maxRBperRAB)) OF
    RB-InformationSetup

RB-InformationSetupList-r4 ::= SEQUENCE (SIZE (1..maxRBperRAB)) OF
    RB-InformationSetup-r4

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RB-MappingInfo ::=
    SEQUENCE (SIZE (1..maxRBMuxOptions)) OF
        RB-MappingOption

RB-MappingOption ::=
    SEQUENCE {
        ul-LogicalChannelMappings    UL-LogicalChannelMappings    OPTIONAL,
        dl-LogicalChannelMappingList DL-LogicalChannelMappingList    OPTIONAL
    }

RB-StopContinue ::=
    ENUMERATED {
        stopRB, continueRB }

RB-WithPDCP-Info ::=
    SEQUENCE {
        rb-Identity    RB-Identity,
        pdcp-SN-Info   PDCP-SN-Info
    }

RB-WithPDCP-InfoList ::=
    SEQUENCE (SIZE (1..maxRBallRABs)) OF
        RB-WithPDCP-Info

ReceivingWindowSize ::=
    ENUMERATED {
        rw1, rw8, rw16, rw32, rw64, rw128, rw256,
        rw512, rw768, rw1024, rw1536, rw2047,
        rw2560, rw3072, rw3584, rw4095 }

RFC2507-Info ::=
    SEQUENCE {
        f-MAX-PERIOD    INTEGER (1..65535)    DEFAULT 256,
        f-MAX-TIME      INTEGER (1..255)      DEFAULT 5,
        max-HEADER      INTEGER (60..65535)   DEFAULT 168,
        tcp-SPACE       INTEGER (3..255)      DEFAULT 15,
        non-TCP-SPACE   INTEGER (3..65535)    DEFAULT 15,
        -- TABULAR: expectReordering has only two possible values, so using Optional or Default
        -- would be wasteful
        expectReordering    ExpectReordering
    }

RFC3095-Info-r4 ::=
    SEQUENCE {
        rohcProfileList    ROHC-ProfileList-r4,
        ul-RFC3095         UL-RFC3095-r4    OPTIONAL,
        dl-RFC3095         DL-RFC3095-r4    OPTIONAL
    }

RLC-Info ::=
    SEQUENCE {
        ul-RLC-Mode    UL-RLC-Mode    OPTIONAL,
        dl-RLC-Mode    DL-RLC-Mode    OPTIONAL
    }

RLC-InfoChoice ::=
    CHOICE {
        rlc-Info    RLC-Info,
        same-as-RB  RB-Identity
    }

RLC-SequenceNumber ::=
    INTEGER (0..4095)

RLC-SizeInfo ::=
    SEQUENCE {
        rlc-SizeIndex    INTEGER (1..maxTF)
    }

RLC-SizeExplicitList ::=
    SEQUENCE (SIZE (1..maxTF)) OF
        RLC-SizeInfo

ROHC-Profile-r4 ::=
    INTEGER (1..3)

ROHC-ProfileList-r4 ::=
    SEQUENCE (SIZE (1..maxROHC-Profile-r4)) OF
        ROHC-Profile-r4

ROHC-PacketSize-r4 ::=
    INTEGER (2..1500)

ROHC-PacketSizeList-r4 ::=
    SEQUENCE (SIZE (1..maxROHC-PacketSizes-r4)) OF
        ROHC-PacketSize-r4

SRB-InformationSetup ::=
    SEQUENCE {
        -- The default value for rb-Identity is the smallest value not used yet.
        rb-Identity    RB-Identity    OPTIONAL,
        rlc-InfoChoice RLC-InfoChoice,
        rb-MappingInfo RB-MappingInfo
    }

SRB-InformationSetupList ::=
    SEQUENCE (SIZE (1..maxSRBsetup)) OF

```

```

SRB-InformationSetup
SRB-InformationSetupList2 ::= SEQUENCE (SIZE (3..4)) OF
    SRB-InformationSetup
TimerDiscard ::= ENUMERATED {
    td0-1, td0-25, td0-5, td0-75,
    td1, td1-25, td1-5, td1-75,
    td2, td2-5, td3, td3-5, td4,
    td4-5, td5, td7-5 }
TimerEPC ::= ENUMERATED {
    te50, te60, te70, te80, te90,
    te100, te120, te140, te160, te180,
    te200, te300, te400, te500, te700,
    te900 }
TimerMRW ::= ENUMERATED {
    te50, te60, te70, te80, te90, te100,
    te120, te140, te160, te180, te200,
    te300, te400, te500, te700, te900 }
TimerPoll ::= ENUMERATED {
    tp10, tp20, tp30, tp40, tp50,
    tp60, tp70, tp80, tp90, tp100,
    tp110, tp120, tp130, tp140, tp150,
    tp160, tp170, tp180, tp190, tp200,
    tp210, tp220, tp230, tp240, tp250,
    tp260, tp270, tp280, tp290, tp300,
    tp310, tp320, tp330, tp340, tp350,
    tp360, tp370, tp380, tp390, tp400,
    tp410, tp420, tp430, tp440, tp450,
    tp460, tp470, tp480, tp490, tp500,
    tp510, tp520, tp530, tp540, tp550,
    tp600, tp650, tp700, tp750, tp800,
    tp850, tp900, tp950, tp1000 }
TimerPollPeriodic ::= ENUMERATED {
    tper100, tper200, tper300, tper400,
    tper500, tper750, tper1000, tper2000 }
TimerPollProhibit ::= ENUMERATED {
    tpp10, tpp20, tpp30, tpp40, tpp50,
    tpp60, tpp70, tpp80, tpp90, tpp100,
    tpp110, tpp120, tpp130, tpp140, tpp150,
    tpp160, tpp170, tpp180, tpp190, tpp200,
    tpp210, tpp220, tpp230, tpp240, tpp250,
    tpp260, tpp270, tpp280, tpp290, tpp300,
    tpp310, tpp320, tpp330, tpp340, tpp350,
    tpp360, tpp370, tpp380, tpp390, tpp400,
    tpp410, tpp420, tpp430, tpp440, tpp450,
    tpp460, tpp470, tpp480, tpp490, tpp500,
    tpp510, tpp520, tpp530, tpp540, tpp550,
    tpp600, tpp650, tpp700, tpp750, tpp800,
    tpp850, tpp900, tpp950, tpp1000 }
TimerRST ::= ENUMERATED {
    tr50, tr100, tr150, tr200, tr250, tr300,
    tr350, tr400, tr450, tr500, tr550,
    tr600, tr700, tr800, tr900, tr1000 }
TimerStatusPeriodic ::= ENUMERATED {
    tsp100, tsp200, tsp300, tsp400, tsp500,
    tsp750, tsp1000, tsp2000 }
TimerStatusProhibit ::= ENUMERATED {
    tsp10, tsp20, tsp30, tsp40, tsp50,
    tsp60, tsp70, tsp80, tsp90, tsp100,
    tsp110, tsp120, tsp130, tsp140, tsp150,
    tsp160, tsp170, tsp180, tsp190, tsp200,
    tsp210, tsp220, tsp230, tsp240, tsp250,
    tsp260, tsp270, tsp280, tsp290, tsp300,
    tsp310, tsp320, tsp330, tsp340, tsp350,
    tsp360, tsp370, tsp380, tsp390, tsp400,
    tsp410, tsp420, tsp430, tsp440, tsp450,
    tsp460, tsp470, tsp480, tsp490, tsp500,
    tsp510, tsp520, tsp530, tsp540, tsp550,
    tsp600, tsp650, tsp700, tsp750, tsp800,

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        tsp850,tsp900,tsp950,tsp1000 }

TransmissionRLC-Discard ::=          CHOICE {
    timerBasedExplicit                ExplicitDiscard,
    timerBasedNoExplicit              NoExplicitDiscard,
    maxDAT-Retransmissions            MaxDAT-Retransmissions,
    noDiscard                         MaxDAT
}

TransmissionWindowSize ::=          ENUMERATED {
    tw1, tw8, tw16, tw32, tw64, tw128, tw256,
    tw512, tw768, tw1024, tw1536, tw2047,
    tw2560, tw3072, tw3584, tw4095 }

UL-AM-RLC-Mode ::=                 SEQUENCE {
    transmissionRLC-Discard            TransmissionRLC-Discard,
    transmissionWindowSize            TransmissionWindowSize,
    timerRST                          TimerRST,
    max-RST                           MaxRST,
    pollingInfo                        PollingInfo                                OPTIONAL
}

UL-CounterSynchronisationInfo ::=  SEQUENCE {
    rB-WithPDCP-InfoList              RB-WithPDCP-InfoList    OPTIONAL,
    startList                         STARTList
}

UL-LogicalChannelMapping ::=       SEQUENCE {
    -- TABULAR: UL-TransportChannelType contains TransportChannelIdentity as well.
    ul-TransportChannelType           UL-TransportChannelType,
    logicalChannelIdentity             LogicalChannelIdentity    OPTIONAL,
    rlc-SizeList                      CHOICE {
        allSizes                      NULL,
        configured                    NULL,
        explicitList                  RLC-SizeExplicitList
    },
    mac-LogicalChannelPriority         MAC-LogicalChannelPriority
}

UL-LogicalChannelMappingList ::=   SEQUENCE {
    -- rlc-LogicalChannelMappingIndicator shall be set to TRUE in this version
    -- of the specification
    rlc-LogicalChannelMappingIndicator BOOLEAN,
    ul-LogicalChannelMapping          SEQUENCE (SIZE (maxLoCHperRLC)) OF
        UL-LogicalChannelMapping
}

UL-LogicalChannelMappings ::=      CHOICE {
    oneLogicalChannel                 UL-LogicalChannelMapping,
    twoLogicalChannels                UL-LogicalChannelMappingList
}

UL-RFC3095-r4 ::=                 SEQUENCE {
    cid-InclusionInfo                 CID-InclusionInfo-r4,
    max-CID                          INTEGER (1..16383)          DEFAULT 15,
    rohcPacketSizeList               ROHC-PacketSizeList-r4
}

UL-RLC-Mode ::=                   CHOICE {
    ul-AM-RLC-Mode                   UL-AM-RLC-Mode,
    ul-UM-RLC-Mode                   UL-UM-RLC-Mode,
    ul-TM-RLC-Mode                   UL-TM-RLC-Mode,
    spare                             NULL
}

UL-TM-RLC-Mode ::=                SEQUENCE {
    transmissionRLC-Discard           TransmissionRLC-Discard    OPTIONAL,
    segmentationIndication            BOOLEAN
}

UL-UM-RLC-Mode ::=                SEQUENCE {
    transmissionRLC-Discard           TransmissionRLC-Discard    OPTIONAL
}

UL-TransportChannelType ::=        CHOICE {
    dch                               TransportChannelIdentity,
    rach                              NULL,

```

```

    cpch                NULL,
    usch                TransportChannelIdentity
}

-- *****
--
--     TRANSPORT CHANNEL INFORMATION ELEMENTS (10.3.5)
--
-- *****

AllowedTFC-List ::=          SEQUENCE (SIZE (1..maxTFC)) OF
                              TFC-Value

AllowedTFI-List ::=          SEQUENCE (SIZE (1..maxTF)) OF
                              INTEGER (0..31)

BitModeRLC-SizeInfo ::=      CHOICE {
    sizeType1              INTEGER (0..127),
    -- Actual value sizeType2 = (part1 * 8) + 128 + part2
    sizeType2              SEQUENCE {
        part1                INTEGER (0..15),
        part2                INTEGER (1..7)
    },
    -- Actual value sizeType3 = (part1 * 16) + 256 + part2
    sizeType3              SEQUENCE {
        part1                INTEGER (0..47),
        part2                INTEGER (1..15)
    },
    -- Actual value sizeType4 = (part1 * 64) + 1024 + part2
    sizeType4              SEQUENCE {
        part1                INTEGER (0..62),
        part2                INTEGER (1..63)
    }
}

-- Actual value BLER-QualityValue = IE value * 0.1
BLER-QualityValue ::=        INTEGER (-63..0)

ChannelCodingType ::=        CHOICE {
    -- noCoding is only used for TDD in this version of the specification,
    -- otherwise it should be ignored
    noCoding                NULL,
    convolutional           CodingRate,
    turbo                   NULL
}

CodingRate ::=                ENUMERATED {
    half,
    third
}

CommonDynamicTF-Info ::=      SEQUENCE {
    rlc-Size                CHOICE {
        fdd                  SEQUENCE {
            octetModeRLC-SizeInfoType2
        },
        tdd                  SEQUENCE {
            commonTDD-Choice CHOICE {
                bitModeRLC-SizeInfo          BitModeRLC-SizeInfo,
                octetModeRLC-SizeInfoType1    OctetModeRLC-SizeInfoType1
            }
        }
    },
    numberOfTbSizeList      SEQUENCE (SIZE (1..maxTF)) OF
                              NumberOfTransportBlocks,
    logicalChannelList      LogicalChannelList
}

CommonDynamicTF-Info-DynamicTTI ::= SEQUENCE {
    commonTDD-Choice        CHOICE {
        bitModeRLC-SizeInfo          BitModeRLC-SizeInfo,
        octetModeRLC-SizeInfoType1    OctetModeRLC-SizeInfoType1
    },
    numberOfTbSizeAndTTIList  NumberOfTbSizeAndTTIList,
    logicalChannelList        LogicalChannelList
}

CommonDynamicTF-InfoList ::= SEQUENCE (SIZE (1..maxTF)) OF
                              CommonDynamicTF-Info

```

```

CommonDynamicTF-InfoList-DynamicTTI ::= SEQUENCE (SIZE (1..maxTF)) OF
CommonDynamicTF-Info-DynamicTTI

CommonTransChTFS ::= SEQUENCE {
tti CHOICE {
tti10 CommonDynamicTF-InfoList,
tti20 CommonDynamicTF-InfoList,
tti40 CommonDynamicTF-InfoList,
tti80 CommonDynamicTF-InfoList,
dynamic CommonDynamicTF-InfoList-DynamicTTI
},
semistaticTF-Information SemistaticTF-Information
}

CommonTransChTFS-LCR ::= SEQUENCE {
tti CHOICE {
tti5 CommonDynamicTF-InfoList,
tti10 CommonDynamicTF-InfoList,
tti20 CommonDynamicTF-InfoList,
tti40 CommonDynamicTF-InfoList,
tti80 CommonDynamicTF-InfoList,
dynamic CommonDynamicTF-InfoList-DynamicTTI
},
semistaticTF-Information SemistaticTF-Information
}

CPCH-SetID ::= INTEGER (1..maxCPCHsets)

CRC-Size ::= ENUMERATED {
crc0, crc8, crc12, crc16, crc24 }

DedicatedDynamicTF-Info ::= SEQUENCE {
rlc-Size CHOICE {
bitMode BitModeRLC-SizeInfo,
octetModeType1 OctetModeRLC-SizeInfoType1
},
numberOfTbSizeList SEQUENCE (SIZE (1..maxTF)) OF
NumberofTransportBlocks,
logicalChannelList LogicalChannelList
}

DedicatedDynamicTF-Info-DynamicTTI ::= SEQUENCE {
rlc-Size CHOICE {
bitMode BitModeRLC-SizeInfo,
octetModeType1 OctetModeRLC-SizeInfoType1
},
numberOfTbSizeAndTTIList NumberOfTbSizeAndTTIList,
logicalChannelList LogicalChannelList
}

DedicatedDynamicTF-InfoList ::= SEQUENCE (SIZE (1..maxTF)) OF
DedicatedDynamicTF-Info

DedicatedDynamicTF-InfoList-DynamicTTI ::= SEQUENCE (SIZE (1..maxTF)) OF
DedicatedDynamicTF-Info-DynamicTTI

DedicatedTransChTFS ::= SEQUENCE {
tti CHOICE {
tti10 DedicatedDynamicTF-InfoList,
tti20 DedicatedDynamicTF-InfoList,
tti40 DedicatedDynamicTF-InfoList,
tti80 DedicatedDynamicTF-InfoList,
dynamic DedicatedDynamicTF-InfoList-DynamicTTI
},
semistaticTF-Information SemistaticTF-Information
}

-- The maximum allowed size of DL-AddReconfTransChInfo2List sequence is 16
DL-AddReconfTransChInfo2List ::= SEQUENCE (SIZE (1..maxTrCHpreconf)) OF
DL-AddReconfTransChInformation2

-- The maximum allowed size of DL-AddReconfTransChInfoList sequence is 16
DL-AddReconfTransChInfoList ::= SEQUENCE (SIZE (1..maxTrCHpreconf)) OF
DL-AddReconfTransChInformation

-- The maximum allowed size of DL-AddReconfTransChInfoList-r4 sequence is 16
DL-AddReconfTransChInfoList-r4 ::= SEQUENCE (SIZE (1..maxTrCHpreconf)) OF

```

## DL-AddReconfTransChInformation-r4

```

-- ASN.1 for IE "Added or Reconfigured DL TrCH information"
-- in case of messages other than: Radio Bearer Release message and
-- Radio Bearer Reconfiguration message
DL-AddReconfTransChInformation ::= SEQUENCE {
  dl-TransportChannelType      DL-TrCH-Type,
  dl-transportChannelIdentity  TransportChannelIdentity,
  tfs-SignallingMode          CHOICE {
    explicit-config           TransportFormatSet,
    sameAsULTrCH             UL-TransportChannelIdentity
  },
  dch-QualityTarget           QualityTarget OPTIONAL,
  -- dummy is not used in this version of the specification, it should
  -- not be sent and if received it should be ignored.
  dummy                       TM-SignallingInfo OPTIONAL
}

DL-AddReconfTransChInformation-r4 ::= SEQUENCE {
  dl-TransportChannelType      DL-TrCH-Type,
  dl-transportChannelIdentity  TransportChannelIdentity,
  tfs-SignallingMode          CHOICE {
    explicit-config           TransportFormatSet,
    sameAsULTrCH             UL-TransportChannelIdentity
  },
  dch-QualityTarget           QualityTarget OPTIONAL
}

-- ASN.1 for IE "Added or Reconfigured DL TrCH information"
-- in case of Radio Bearer Release message and
-- Radio Bearer Reconfiguration message
DL-AddReconfTransChInformation2 ::= SEQUENCE {
  dl-TransportChannelType      DL-TrCH-Type,
  transportChannelIdentity     TransportChannelIdentity,
  tfs-SignallingMode          CHOICE {
    explicit-config           TransportFormatSet,
    sameAsULTrCH             UL-TransportChannelIdentity
  },
  qualityTarget               QualityTarget OPTIONAL
}

DL-CommonTransChInfo ::= SEQUENCE {
  sccpch-TFCS                 TFCS OPTIONAL,
  -- modeSpecificInfo should be optional. A new version of this IE should be defined
  -- to be used in later versions of messages using this IE
  modeSpecificInfo            CHOICE {
    fdd                       SEQUENCE {
      dl-Parameters           CHOICE {
        dl-DCH-TFCS          TFCS,
        sameAsUL              NULL
      }
    },
    tdd                       SEQUENCE {
      individualDL-CCTrCH-InfoList IndividualDL-CCTrCH-InfoList OPTIONAL
    }
  }
}

DL-CommonTransChInfo-r4 ::= SEQUENCE {
  sccpch-TFCS                 TFCS OPTIONAL,
  modeSpecificInfo            CHOICE {
    fdd                       SEQUENCE {
      dl-Parameters           CHOICE {
        dl-DCH-TFCS          SEQUENCE {
          tfcs                TFCS OPTIONAL
        },
        sameAsUL              NULL
      }
    },
    tdd                       SEQUENCE {
      individualDL-CCTrCH-InfoList IndividualDL-CCTrCH-InfoList OPTIONAL
    }
  } OPTIONAL
}

DL-DeletedTransChInfoList ::= SEQUENCE (SIZE (1..maxTrCH)) OF

```

```

DL-TransportChannelIdentity
DL-TransportChannelIdentity ::= SEQUENCE {
    dl-TransportChannelType
    dl-TransportChannelIdentity
}
DL-TrCH-Type ::= ENUMERATED {dch, dsch}
DRAC-ClassIdentity ::= INTEGER (1..maxDRACclasses)
DRAC-StaticInformation ::= SEQUENCE {
    transmissionTimeValidity
    timeDurationBeforeRetry
    drac-ClassIdentity
}
DRAC-StaticInformationList ::= SEQUENCE (SIZE (1..maxTrCH)) OF
    DRAC-StaticInformation
ExplicitTFCS-Configuration ::= CHOICE {
    complete
    addition
    removal
    replacement
    tfcsRemoval
    tfcsAdd
}
GainFactor ::= INTEGER (0..15)
GainFactorInformation ::= CHOICE {
    signalledGainFactors
    computedGainFactors
}
IndividualDL-CCTrCH-Info ::= SEQUENCE {
    dl-TFCS-Identity
    tfcs-SignallingMode
    explicit-config
    sameAsUL
}
IndividualDL-CCTrCH-InfoList ::= SEQUENCE (SIZE (1..maxCCTrCH)) OF
    IndividualDL-CCTrCH-Info
IndividualUL-CCTrCH-Info ::= SEQUENCE {
    ul-TFCS-Identity
    ul-TFCS
    tfc-Subset
}
IndividualUL-CCTrCH-InfoList ::= SEQUENCE (SIZE (1..maxCCTrCH)) OF
    IndividualUL-CCTrCH-Info
LogicalChannelByRB ::= SEQUENCE {
    rb-Identity
    logChOfRb
}
LogicalChannelList ::= CHOICE {
    allSizes
    configured
    explicitList
}
NumberOfTbSizeAndTTIList ::= SEQUENCE (SIZE (1..maxTF)) OF SEQUENCE {
    numberOfTransportBlocks
    transmissionTimeInterval
}
MessType ::= ENUMERATED {
    transportFormatCombinationControl
}
Non-allowedTFC-List ::= SEQUENCE (SIZE (1..maxTFC)) OF

```

```

TFC-Value

NumberOfTransportBlocks ::= CHOICE {
    zero          NULL,
    one           NULL,
    small        INTEGER (2..17),
    large        INTEGER (18..512)
}

OctetModeRLC-SizeInfoType1 ::= CHOICE {
    -- Actual size = (8 * sizeType1) + 16
    sizeType1    INTEGER (0..31),
    sizeType2    SEQUENCE {
        -- Actual size = (32 * part1) + 272 + (part2 * 8)
        part1     INTEGER (0..23),
        part2     INTEGER (1..3)           OPTIONAL
    },
    sizeType3    SEQUENCE {
        -- Actual size = (64 * part1) + 1040 + (part2 * 8)
        part1     INTEGER (0..61),
        part2     INTEGER (1..7)           OPTIONAL
    }
}

OctetModeRLC-SizeInfoType2 ::= CHOICE {
    -- Actual size = (sizeType1 * 8) + 48
    sizeType1    INTEGER (0..31),
    -- Actual size = (sizeType2 * 16) + 312
    sizeType2    INTEGER (0..63),
    -- Actual size = (sizeType3 * 64) + 1384
    sizeType3    INTEGER (0..56)
}

PowerOffsetInformation ::= SEQUENCE {
    gainFactorInformation GainFactorInformation,
    -- PowerOffsetPp-m is always absent in TDD
    powerOffsetPp-m      PowerOffsetPp-m           OPTIONAL
}

PowerOffsetPp-m ::= INTEGER (-5..10)

PreDefTransChConfiguration ::= SEQUENCE {
    ul-CommonTransChInfo      UL-CommonTransChInfo,
    ul-AddReconfTrChInfoList  UL-AddReconfTrChInfoList,
    dl-CommonTransChInfo      DL-CommonTransChInfo,
    dl-TrChInfoList           DL-AddReconfTrChInfoList
}

QualityTarget ::= SEQUENCE {
    bler-QualityValue         BLER-QualityValue
}

RateMatchingAttribute ::= INTEGER (1..hirM)

ReferenceTFC-ID ::= INTEGER (0..3)

RestrictedTrChInfo ::= SEQUENCE {
    ul-TransportChannelType    UL-TrCH-Type,
    restrictedTrChIdentity     TransportChannelIdentity,
    allowedTFI-List           AllowedTFI-List           OPTIONAL
}

RestrictedTrChInfoList ::= SEQUENCE (SIZE (1..maxTrCH)) OF
    RestrictedTrChInfo

SemistaticTF-Information ::= SEQUENCE {
    -- TABULAR: Transmission time interval has been included in the IE CommonTransChTFS.
    channelCodingType         ChannelCodingType,
    rateMatchingAttribute     RateMatchingAttribute,
    crc-Size                  CRC-Size
}

SignalledGainFactors ::= SEQUENCE {
    modeSpecificInfo          CHOICE {
        fdd                   SEQUENCE {
            gainFactorBetaC    GainFactor
        },

```

```

        tdd                                NULL
    },
    gainFactorBetaD                        GainFactor,
    referenceTFC-ID                         ReferenceTFC-ID                                OPTIONAL
}

SplitTFCI-Signalling ::=
    splitType                               SEQUENCE {
        splitType                             SplitType                                OPTIONAL,
        tfci-Field2-Length                    INTEGER (1..10)                          OPTIONAL,
        tfci-Field1-Information                ExplicitTFCS-Configuration                OPTIONAL,
        tfci-Field2-Information                TFCI-Field2-Information                    OPTIONAL
    }

SplitType ::=
    ENUMERATED {
        hardSplit, logicalSplit }

TFC-Subset ::=
    minimumAllowedTFC-Number                CHOICE {
        allowedTFC-List                       TFC-Value,
        non-allowedTFC-List                   AllowedTFC-List,
        restrictedTrChInfoList                 Non-allowedTFC-List,
        fullTFCS                               RestrictedTrChInfoList,
        NULL                                   NULL
    }

TFC-Subset-ID-With3b ::=
    INTEGER (0..7)

TFC-Subset-ID-With5b ::=
    INTEGER (0..31)

TFC-Subset-ID-With10b ::=
    INTEGER (0..1023)

TFC-SubsetList ::=
    modeSpecificInfo                         SEQUENCE (SIZE (1.. maxTFCsub)) OF SEQUENCE {
        fdd                                    CHOICE {
            tdd                                NULL,
            tdd                                SEQUENCE {
                tfcs-ID                          TFCs-Identity                                OPTIONAL
            }
        },
        tfc-Subset                             TFC-Subset
    }

TFC-Value ::=
    INTEGER (0..1023)

TFCI-Field2-Information ::=
    tfci-Range                               CHOICE {
        explicit-config                         TFCI-RangeList,
        explicit-config                         ExplicitTFCS-Configuration
    }

TFCI-Range ::=
    maxTFCIField2Value                       SEQUENCE {
        tfcs-InfoForDSCH                       INTEGER (1..1023),
        tfcs-InfoForDSCH                       TFCs-InfoForDSCH
    }

TFCI-RangeList ::=
    SEQUENCE (SIZE (1..maxPDSCH-TFCIgroups)) OF
    TFCI-Range

TFCS ::=
    normalTFCI-Signalling                     CHOICE {
        splitTFCI-Signalling                   ExplicitTFCS-Configuration,
        splitTFCI-Signalling                   SplitTFCI-Signalling
    }

TFCS-Identity ::=
    tfcs-ID                                   SEQUENCE {
        sharedChannelIndicator                 TFCs-IdentityPlain                            DEFAULT 1,
        sharedChannelIndicator                 BOOLEAN
    }

TFCS-IdentityPlain ::=
    INTEGER (1..8)

TFCS-InfoForDSCH ::=
    ctfc2bit                                  CHOICE {
        ctfc4bit                               INTEGER (0..3),
        ctfc6bit                               INTEGER (0..15),
        ctfc8bit                               INTEGER (0..63),
        ctfc12bit                              INTEGER (0..255),
        ctfc16bit                              INTEGER (0..4095),
        ctfc24bit                              INTEGER (0..65535),
        ctfc24bit                              INTEGER (0..16777215)
    }

TFCS-ReconfAdd ::=
    SEQUENCE{

```

```

ctfcSize CHOICE{
  ctfc2Bit SEQUENCE (SIZE (1..maxTFC)) OF SEQUENCE {
    ctfc2 INTEGER (0..3),
    powerOffsetInformation PowerOffsetInformation OPTIONAL
  },
  ctfc4Bit SEQUENCE (SIZE (1..maxTFC)) OF SEQUENCE {
    ctfc4 INTEGER (0..15),
    powerOffsetInformation PowerOffsetInformation OPTIONAL
  },
  ctfc6Bit SEQUENCE (SIZE (1..maxTFC)) OF SEQUENCE {
    ctfc6 INTEGER (0..63),
    powerOffsetInformation PowerOffsetInformation OPTIONAL
  },
  ctfc8Bit SEQUENCE (SIZE (1..maxTFC)) OF SEQUENCE {
    ctfc8 INTEGER (0..255),
    powerOffsetInformation PowerOffsetInformation OPTIONAL
  },
  ctfc12Bit SEQUENCE (SIZE(1..maxTFC)) OF SEQUENCE {
    ctfc12 INTEGER (0..4095),
    powerOffsetInformation PowerOffsetInformation OPTIONAL
  },
  ctfc16Bit SEQUENCE (SIZE (1..maxTFC)) OF SEQUENCE {
    ctfc16 INTEGER(0..65535),
    powerOffsetInformation PowerOffsetInformation OPTIONAL
  },
  ctfc24Bit SEQUENCE (SIZE (1..maxTFC)) OF SEQUENCE {
    ctfc24 INTEGER(0..16777215),
    powerOffsetInformation PowerOffsetInformation OPTIONAL
  }
}

TFCS-Removal ::= SEQUENCE {
  tfci INTEGER (0..1023)
}

TFCS-RemovalList ::= SEQUENCE (SIZE (1..maxTFC)) OF
  TFCS-Removal

TimeDurationBeforeRetry ::= INTEGER (1..256)

TM-SignallingInfo ::= SEQUENCE {
  messType MessType,
  tm-SignallingMode CHOICE {
    mode1 NULL,
    mode2 SEQUENCE {
      -- in ul-controlledTrChList, TrCH-Type is always DCH
      ul-controlledTrChList UL-ControlledTrChList
    }
  }
}

TransmissionTimeInterval ::= ENUMERATED {
  tti10, tti20, tti40, tti80 }

TransmissionTimeValidity ::= INTEGER (1..256)

TransportChannelIdentity ::= INTEGER (1..32)

TransportChannelIdentityDCHandDSCH ::= SEQUENCE {
  dch-transport-ch-id TransportChannelIdentity,
  dsch-transport-ch-id TransportChannelIdentity
}

TransportFormatSet ::= CHOICE {
  dedicatedTransChTFS DedicatedTransChTFS,
  commonTransChTFS CommonTransChTFS
}

TransportFormatSet-LCR ::= CHOICE {
  dedicatedTransChTFS DedicatedTransChTFS,
  commonTransChTFS-LCR CommonTransChTFS-LCR
}

-- The maximum allowed size of UL-AddReconfTransChInfoList sequence is 16
UL-AddReconfTransChInfoList ::= SEQUENCE (SIZE (1..maxTrCHpreconf)) OF
  UL-AddReconfTransChInformation

```



```

UL-AddReconfTransChInformation ::= SEQUENCE {
    ul-TransportChannelType      UL-TrCH-Type,
    transportChannelIdentity     TransportChannelIdentity,
    transportFormatSet           TransportFormatSet
}

UL-CommonTransChInfo ::=          SEQUENCE {
    -- TABULAR: tfc-subset is applicable to FDD only, TDD specifies tfc-subset in individual
    -- CCH Info.
    tfc-Subset                   TFC-Subset                OPTIONAL,
    prach-TFCS                   TFCS                    OPTIONAL,
    modeSpecificInfo              CHOICE {
        fdd                       SEQUENCE {
            ul-TFCS                TFCS
        },
        tdd                       SEQUENCE {
            individualUL-CCH-InfoList IndividualUL-CCH-InfoList
        }
    }
}

UL-CommonTransChInfo-r4 ::=      SEQUENCE {
    -- TABULAR: tfc-subset is applicable to FDD only, TDD specifies tfc-subset in individual
    -- CCH Info.
    tfc-Subset                   TFC-Subset                OPTIONAL,
    prach-TFCS                   TFCS                    OPTIONAL,
    modeSpecificInfo              CHOICE {
        fdd                       SEQUENCE {
            ul-TFCS                TFCS
        },
        tdd                       SEQUENCE {
            individualUL-CCH-InfoList IndividualUL-CCH-InfoList
        }
    }
}

UL-CommonTransChInfo-r4-r4 ::=  SEQUENCE {
    tfc-SubsetList                TFC-SubsetList                OPTIONAL,
}

-- In UL-ControlledTrChList, TrCH-Type is always DCH
UL-ControlledTrChList ::=        SEQUENCE (SIZE (1..maxTrCH)) OF
    TransportChannelIdentity

UL-DeletedTransChInfoList ::=    SEQUENCE (SIZE (1..maxTrCH)) OF
    UL-TransportChannelIdentity

UL-TransportChannelIdentity ::=  SEQUENCE {
    ul-TransportChannelType      UL-TrCH-Type,
    ul-TransportChannelIdentity  TransportChannelIdentity
}

UL-TrCH-Type ::= ENUMERATED {dch, usch}

-- *****
--
--     PHYSICAL CHANNEL INFORMATION ELEMENTS (10.3.6)
--
-- *****

AC-To-ASC-Mapping ::=           INTEGER (0..7)

AC-To-ASC-MappingTable ::=      SEQUENCE (SIZE (maxASCmap)) OF
    AC-To-ASC-Mapping

AccessServiceClass-FDD ::=      SEQUENCE {
    availableSignatureStartIndex  INTEGER (0..15),
    availableSignatureEndIndex    INTEGER (0..15),

    assignedSubChannelNumber      BIT STRING {
        b3(0),
        b2(1),
        b1(2),
        b0(3)
    } (SIZE(4))
}

AccessServiceClass-TDD ::=      SEQUENCE {
    channelisationCodeIndices     BIT STRING {

```

```

        chCodeIndex7(0),
        chCodeIndex6(1),
        chCodeIndex5(2),
        chCodeIndex4(3),
        chCodeIndex3(4),
        chCodeIndex2(5),
        chCodeIndex1(6),
        chCodeIndex0(7)
    } (SIZE(8)) OPTIONAL,
subchannelSize CHOICE {
    size1 NULL,
    size2 SEQUENCE {
        -- subch0 means bitstring '01' in the tabular, subch1 means bitsring '10'
        subchannels ENUMERATED { subch0, subch1 } OPTIONAL
    },
    size4 SEQUENCE {
        subchannels BIT STRING {
            subCh3(0),
            subCh2(1),
            subCh1(2),
            subCh0(3)
        } (SIZE(4)) OPTIONAL
    },
    size8 SEQUENCE {
        subchannels BIT STRING {
            subCh7(0),
            subCh6(1),
            subCh5(2),
            subCh4(3),
            subCh3(4),
            subCh2(5),
            subCh1(6),
            subCh0(7)
        } (SIZE(8)) OPTIONAL
    }
}
}

AccessServiceClass-TDD-LCR-r4 ::= SEQUENCE {
    availableSYNC-UlCodesIndics BIT STRING {
        sulCodeIndex7(0),
        sulCodeIndex6(1),
        sulCodeIndex5(2),
        sulCodeIndex4(3),
        sulCodeIndex3(4),
        sulCodeIndex2(5),
        sulCodeIndex1(6),
        sulCodeIndex0(7)
    } (SIZE(8)) OPTIONAL,
subchannelSize CHOICE {
    size1 NULL,
    size2 SEQUENCE {
        -- subch0 means bitstring '01' in the tabular, subch1 means bitsring '10'.
        subchannels ENUMERATED { subch0, subch1 } OPTIONAL
    },
    size4 SEQUENCE {
        subchannels BIT STRING {
            subCh3(0),
            subCh2(1),
            subCh1(2),
            subCh0(3)
        } (SIZE(4)) OPTIONAL
    },
    size8 SEQUENCE {
        subchannels BIT STRING {
            subCh7(0),
            subCh6(1),
            subCh5(2),
            subCh4(3),
            subCh3(4),
            subCh2(5),
            subCh1(6),
            subCh0(7)
        } (SIZE(8)) OPTIONAL
    }
}
}
}

```

```

AICH-Info ::=
    channelisationCode256
    sttd-Indicator
    aich-TransmissionTiming
}
SEQUENCE {
    ChannelisationCode256,
    BOOLEAN,
    AICH-TransmissionTiming
}

AICH-PowerOffset ::=
    INTEGER (-22..5)

AICH-TransmissionTiming ::=
    ENUMERATED {
        e0, e1
    }

AllocationPeriodInfo ::=
    allocationActivationTime
    allocationDuration
}
SEQUENCE {
    INTEGER (0..255),
    INTEGER (1..256)
}

-- Actual value Alpha = IE value * 0.125
Alpha ::=
    INTEGER (0..8)

AP-AICH-ChannelisationCode ::=
    INTEGER (0..255)

AP-PreambleScramblingCode ::=
    INTEGER (0..79)

AP-Signature ::=
    INTEGER (0..15)

AP-Signature-VCAM ::=
    ap-Signature
    availableAP-SubchannelList
}
SEQUENCE {
    AP-Signature,
    AvailableAP-SubchannelList OPTIONAL
}

AP-Subchannel ::=
    INTEGER (0..11)

ASCSetting-FDD ::=
    -- TABULAR: accessServiceClass-FDD is MD in tabular description
    -- Default value is previous ASC
    -- If this is the first ASC, the default value is all available signature and sub-channels
    accessServiceClass-FDD
}
SEQUENCE {
    AccessServiceClass-FDD OPTIONAL
}

ASCSetting-TDD ::=
    -- TABULAR: accessServiceClass-TDD is MD in tabular description
    -- Default value is previous ASC
    -- If this is the first ASC, the default value is all available channelisation codes and
    -- all available sub-channels with subchannelSize=size1.
    accessServiceClass-TDD
}
SEQUENCE {
    AccessServiceClass-TDD OPTIONAL
}

ASCSetting-TDD-LCR-r4 ::=
    -- TABULAR: accessServiceClass-TDD-LCR is MD in tabular description
    -- Default value is previous ASC
    -- If this is the first ASC, the default value is all available SYNC_UL codes and
    -- all available sub-channels with subchannelSize=size1.
    accessServiceClass-TDD-LCR
}
SEQUENCE {
    AccessServiceClass-TDD-LCR-r4 OPTIONAL
}

AvailableAP-Signature-VCAMList ::=
    SEQUENCE (SIZE (1..maxPCPCH-APsig)) OF
    AP-Signature-VCAM

AvailableAP-SignatureList ::=
    SEQUENCE (SIZE (1..maxPCPCH-APsig)) OF
    AP-Signature

AvailableAP-SubchannelList ::=
    SEQUENCE (SIZE (1..maxPCPCH-APsubCh)) OF
    AP-Subchannel

AvailableMinimumSF-ListVCAM ::=
    SEQUENCE (SIZE (1..maxPCPCH-SF)) OF
    AvailableMinimumSF-VCAM

AvailableMinimumSF-VCAM ::=
    minimumSpreadingFactor
    nf-Max
    maxAvailablePCPCH-Number
    availableAP-Signature-VCAMList
}
SEQUENCE {
    MinimumSpreadingFactor,
    NF-Max,
    MaxAvailablePCPCH-Number,
    AvailableAP-Signature-VCAMList
}

AvailableSignatures ::=
    BIT STRING {
        signature15(0),
        signature14(1),
    }

```

```

signature13 (2),
signature12 (3),
signature11 (4),
signature10 (5),
signature9 (6),
signature8 (7),
signature7 (8),
signature6 (9),
signature5 (10),
signature4 (11),
signature3 (12),
signature2 (13),
signature1 (14),
signature0 (15)
} (SIZE (16))

AvailableSubChannelNumbers ::= BIT STRING {
    subCh11 (0),
    subCh10 (1),
    subCh9 (2),
    subCh8 (3),
    subCh7 (4),
    subCh6 (5),
    subCh5 (6),
    subCh4 (7),
    subCh3 (8),
    subCh2 (9),
    subCh1 (10),
    subCh0 (11)
} (SIZE (12))

BurstType ::= ENUMERATED {
    type1, type2 }

CCTrCH-PowerControlInfo ::= SEQUENCE {
    tfcs-Identity                OPTIONAL,
    ul-DPCH-PowerControlInfo
}

CCTrCH-PowerControlInfo-r4 ::= SEQUENCE {
    tfcs-Identity                OPTIONAL,
    ul-DPCH-PowerControlInfo-r4
}

CD-AccessSlotSubchannel ::= INTEGER (0..11)

CD-AccessSlotSubchannelList ::= SEQUENCE (SIZE (1..maxPCPCH-CDsubCh)) OF
    CD-AccessSlotSubchannel

CD-CA-ICH-ChannelisationCode ::= INTEGER (0..255)

CD-PreambleScramblingCode ::= INTEGER (0..79)

CD-SignatureCode ::= INTEGER (0..15)

CD-SignatureCodeList ::= SEQUENCE (SIZE (1..maxPCPCH-CDsig)) OF
    CD-SignatureCode

CellAndChannelIdentity ::= SEQUENCE {
    burstType,
    midambleShift,
    timeslot,
    cellParametersID
}

CellParametersID ::= INTEGER (0..127)

Cfntargetsfnframeoffset ::= INTEGER (0..255)

ChannelAssignmentActive ::= CHOICE {
    notActive,
    isActive,
    AvailableMinimumSF-ListVCAM
}

ChannelisationCode256 ::= INTEGER (0..255)

ChannelReqParamsForUCSM ::= SEQUENCE {
    availableAP-SignatureList,

```

```

    availableAP-SubchannelList      AvailableAP-SubchannelList      OPTIONAL
  }
ClosedLoopTimingAdjMode ::=      ENUMERATED {
    slot1, slot2 }

CodeNumberDSCH ::=              INTEGER (0..255)

CodeRange ::=                   SEQUENCE {
    pdsch-CodeMapList             PDSCH-CodeMapList
}

CodeWordSet ::=                 ENUMERATED {
    longCWS,
    mediumCWS,
    shortCWS,
    ssdtOff }

CommonTimeslotInfo ::=          SEQUENCE {
    -- TABULAR: secondInterleavingMode is MD, but since it can be encoded in a single
    -- bit it is not defined as OPTIONAL.
    secondInterleavingMode        SecondInterleavingMode,
    tfci-Coding                   TFCI-Coding                      OPTIONAL,
    puncturingLimit               PuncturingLimit,
    repetitionPeriodAndLength     RepetitionPeriodAndLength      OPTIONAL
}

CommonTimeslotInfoSCCPCH ::=    SEQUENCE {
    -- TABULAR: secondInterleavingMode is MD, but since it can be encoded in a single
    -- bit it is not defined as OPTIONAL.
    secondInterleavingMode        SecondInterleavingMode,
    tfci-Coding                   TFCI-Coding                      OPTIONAL,
    puncturingLimit               PuncturingLimit,
    repetitionPeriodLengthAndOffset RepetitionPeriodLengthAndOffset  OPTIONAL
}

ConstantValue ::=               INTEGER (-35..-10)

ConstantValueTdd ::=            INTEGER (-35..10)

CPCH-PersistenceLevels ::=      SEQUENCE {
    cpch-SetID                    CPCH-SetID,
    dynamicPersistenceLevelTF-List DynamicPersistenceLevelTF-List
}

CPCH-PersistenceLevelsList ::=  SEQUENCE (SIZE (1..maxCPCHsets)) OF
    CPCH-PersistenceLevels

CPCH-SetInfo ::=                SEQUENCE {
    cpch-SetID                    CPCH-SetID,
    transportFormatSet            TransportFormatSet,
    tfcs                          TFCS,
    ap-PreambleScramblingCode     AP-PreambleScramblingCode,
    ap-AICH-ChannelisationCode    AP-AICH-ChannelisationCode,
    cd-PreambleScramblingCode     CD-PreambleScramblingCode,
    cd-CA-ICH-ChannelisationCode  CD-CA-ICH-ChannelisationCode,
    cd-AccessSlotSubchannelList   CD-AccessSlotSubchannelList      OPTIONAL,
    cd-SignatureCodeList          CD-SignatureCodeList             OPTIONAL,
    deltaPp-m                     DeltaPp-m,
    ul-DPCCH-SlotFormat           UL-DPCCH-SlotFormat,
    n-StartMessage                N-StartMessage,
    n-EOT                          N-EOT,
    -- TABULAR: VCAM info has been nested inside ChannelAssignmentActive,
    -- which in turn is mandatory since it's only a binary choice.
    channelAssignmentActive        ChannelAssignmentActive,
    cpch-StatusIndicationMode     CPCH-StatusIndicationMode,
    pcpch-ChannelInfoList         PCPCH-ChannelInfoList
}

CPCH-SetInfoList ::=            SEQUENCE (SIZE (1..maxCPCHsets)) OF
    CPCH-SetInfo

CPCH-StatusIndicationMode ::=  ENUMERATED {
    pa-mode,
    pamsf-mode }

CSICH-PowerOffset ::=           INTEGER (-10..5)

```

```

-- DefaultDPCH-OffsetValueFDD and DefaultDPCH-OffsetValueTDD corresponds to
-- IE "Default DPCH Offset Value" depending on the mode.
-- Actual value DefaultDPCH-OffsetValueFDD = IE value * 512
DefaultDPCH-OffsetValueFDD ::=      INTEGER (0..599)

DefaultDPCH-OffsetValueTDD ::=      INTEGER (0..7)

DeltaPp-m ::=                        INTEGER (-10..10)

-- Actual value DeltaSIR = IE value * 0.1
DeltaSIR ::=                         INTEGER (0..30)

DL-CCTrCh ::=                        SEQUENCE {
  tfcs-ID                            TFCS-IdentityPlain           DEFAULT 1,
  timeInfo                           TimeInfo,
  commonTimeslotInfo                 CommonTimeslotInfo         OPTIONAL,
  dl-CCTrCH-TimeslotsCodes           DownlinkTimeslotsCodes   OPTIONAL,
  ul-CCTrChTPCList                  UL-CCTrChTPCList         OPTIONAL
}

DL-CCTrCh-r4 ::=                    SEQUENCE {
  tfcs-ID                            TFCS-IdentityPlain           DEFAULT 1,
  timeInfo                           TimeInfo,
  commonTimeslotInfo                 CommonTimeslotInfo         OPTIONAL,
  tddOption                          CHOICE {
    tdd384                            SEQUENCE {
      dl-CCTrCH-TimeslotsCodes         DownlinkTimeslotsCodes   OPTIONAL
    },
    tdd128                             SEQUENCE {
      dl-CCTrCH-TimeslotsCodes         DownlinkTimeslotsCodes-LCR-r4  OPTIONAL
    }
  },
  ul-CCTrChTPCList                  UL-CCTrChTPCList           OPTIONAL
}

DL-CCTrChList ::=                   SEQUENCE (SIZE (1..maxCCTrCH)) OF
  DL-CCTrCh

DL-CCTrChList-r4 ::=                 SEQUENCE (SIZE (1..maxCCTrCH)) OF
  DL-CCTrCh-r4

DL-CCTrChListToRemove ::=            SEQUENCE (SIZE (1..maxCCTrCH)) OF
  TFCS-IdentityPlain

DL-CCTrChTPCList ::=                 SEQUENCE (SIZE (0..maxCCTrCH)) OF
  TFCS-Identity

DL-ChannelisationCode ::=             SEQUENCE {
  secondaryScramblingCode            SecondaryScramblingCode     OPTIONAL,
  sf-AndCodeNumber                  SF512-AndCodeNumber,
  scramblingCodeChange               ScramblingCodeChange       OPTIONAL
}

DL-ChannelisationCodeList ::=         SEQUENCE (SIZE (1..maxDPCH-DLchan)) OF
  DL-ChannelisationCode

DL-CommonInformation ::=              SEQUENCE {
  dl-DPCH-InfoCommon                 DL-DPCH-InfoCommon         OPTIONAL,
  modeSpecificInfo                   CHOICE {
    fdd                               SEQUENCE {
      defaultDPCH-OffsetValue         DefaultDPCH-OffsetValueFDD  OPTIONAL,
      dpch-CompressedModeInfo         DPCH-CompressedModeInfo    OPTIONAL,
      tx-DiversityMode                TX-DiversityMode           OPTIONAL,
      ssdt-Information                 SSDT-Information           OPTIONAL
    },
    tdd                               SEQUENCE {
      defaultDPCH-OffsetValue         DefaultDPCH-OffsetValueTDD  OPTIONAL
    }
  }
}

DL-CommonInformation-r4 ::=           SEQUENCE {
  dl-DPCH-InfoCommon                 DL-DPCH-InfoCommon-r4     OPTIONAL,
  modeSpecificInfo                   CHOICE {
    fdd                               SEQUENCE {
      defaultDPCH-OffsetValue         DefaultDPCH-OffsetValueFDD  OPTIONAL,
      dpch-CompressedModeInfo         DPCH-CompressedModeInfo    OPTIONAL,
      tx-DiversityMode                TX-DiversityMode           OPTIONAL,

```

```

        ssdt-Information          SSDT-Information-r4          OPTIONAL
    },
    tdd                          SEQUENCE {
        tddOption                CHOICE {
            tdd384                NULL,
            tdd128                SEQUENCE {
                tstd-Indicator    BOOLEAN
            }
        },
        defaultDPCH-OffsetValue  DefaultDPCH-OffsetValueTDD  OPTIONAL
    }
}

DL-CommonInformationPost ::= SEQUENCE {
    dl-DPCH-InfoCommon          DL-DPCH-InfoCommonPost
}

DL-CommonInformationPredef ::= SEQUENCE {
    dl-DPCH-InfoCommon          DL-DPCH-InfoCommonPredef  OPTIONAL
}

DL-CompressedModeMethod ::= ENUMERATED {
    puncturing, sf-2,
    higherLayerScheduling }

DL-DPCH-InfoCommon ::= SEQUENCE {
    cfnHandling                 CHOICE {
        maintain                 NULL,
        initialise                SEQUENCE {
            cfnTargetsfnframeoffset  CfnTargetsfnframeoffset  OPTIONAL
        }
    },
    modeSpecificInfo            CHOICE {
        fdd                      SEQUENCE {
            dl-DPCH-PowerControlInfo  DL-DPCH-PowerControlInfo  OPTIONAL,
            powerOffsetPilot-pdpdch    PowerOffsetPilot-pdpdch,
            dl-rate-matching-restriction  Dl-rate-matching-restriction  OPTIONAL,
            -- TABULAR: The number of pilot bits is nested inside the spreading factor.
            spreadingFactorAndPilot    SF512-AndPilot,
            positionFixedOrFlexible    PositionFixedOrFlexible,
            tfci-Existence             BOOLEAN
        },
        tdd                      SEQUENCE {
            dl-DPCH-PowerControlInfo  DL-DPCH-PowerControlInfo  OPTIONAL
        }
    }
}

DL-DPCH-InfoCommon-r4 ::= SEQUENCE {
    cfnHandling                 CHOICE {
        maintain                 NULL,
        initialise                SEQUENCE {
            cfnTargetsfnframeoffset  CfnTargetsfnframeoffset  OPTIONAL
        }
    },
    modeSpecificInfo            CHOICE {
        fdd                      SEQUENCE {
            dl-DPCH-PowerControlInfo  DL-DPCH-PowerControlInfo  OPTIONAL,
            powerOffsetPilot-pdpdch    PowerOffsetPilot-pdpdch,
            dl-rate-matching-restriction  Dl-rate-matching-restriction  OPTIONAL,
            -- TABULAR: The number of pilot bits is nested inside the spreading factor.
            spreadingFactorAndPilot    SF512-AndPilot,
            positionFixedOrFlexible    PositionFixedOrFlexible,
            tfci-Existence             BOOLEAN
        },
        tdd                      SEQUENCE {
            dl-DPCH-PowerControlInfo  DL-DPCH-PowerControlInfo  OPTIONAL
        }
    },
    mac-d-HFN-initial-value      MAC-d-HFN-initial-value  OPTIONAL
}

-- The IE mac-d-HFN-initial-value should be absent in the RRCConnectionSetup-r4-IEs or
-- HandoverToUTRANCommand-r4-IEs and if the IE is included, the general error handling for
-- conditional IEs applies.
DL-DPCH-InfoCommonPost ::= SEQUENCE {

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    dl-DPCH-PowerControlInfo          DL-DPCH-PowerControlInfo          OPTIONAL
  }
DL-DPCH-InfoCommonPredef ::=          SEQUENCE {
  modeSpecificInfo                    CHOICE {
    fdd                                SEQUENCE {
      -- TABULAR: The number of pilot bits is nested inside the spreading factor.
      spreadingFactorAndPilot          SF512-AndPilot,
      positionFixedOrFlexible          PositionFixedOrFlexible,
      tfci-Existence                   BOOLEAN
    },
    tdd                                SEQUENCE {
      commonTimeslotInfo               CommonTimeslotInfo
    }
  }
}
DL-DPCH-InfoPerRL ::=                 CHOICE {
  fdd                                  SEQUENCE {
    pCPICH-UsageForChannelEst          PCPICH-UsageForChannelEst,
    dpch-FrameOffset                  DPCH-FrameOffset,
    secondaryCPICH-Info                SecondaryCPICH-Info          OPTIONAL,
    dl-ChannelisationCodeList          DL-ChannelisationCodeList,
    tpc-CombinationIndex               TPC-CombinationIndex,
    ssdt-CellIdentity                  SSDT-CellIdentity          OPTIONAL,
    closedLoopTimingAdjMode            ClosedLoopTimingAdjMode    OPTIONAL
  },
  tdd                                  SEQUENCE {
    dl-CCTrChListToEstablish           DL-CCTrChList              OPTIONAL,
    dl-CCTrChListToRemove              DL-CCTrChListToRemove      OPTIONAL
  }
}
DL-DPCH-InfoPerRL-r4 ::=              CHOICE {
  fdd                                  SEQUENCE {
    pCPICH-UsageForChannelEst          PCPICH-UsageForChannelEst,
    dpch-FrameOffset                  DPCH-FrameOffset,
    secondaryCPICH-Info                SecondaryCPICH-Info          OPTIONAL,
    dl-ChannelisationCodeList          DL-ChannelisationCodeList,
    tpc-CombinationIndex               TPC-CombinationIndex,
    ssdt-CellIdentity                  SSDT-CellIdentity          OPTIONAL,
    closedLoopTimingAdjMode            ClosedLoopTimingAdjMode    OPTIONAL
  },
  tdd                                  SEQUENCE {
    dl-CCTrChListToEstablish           DL-CCTrChList-r4          OPTIONAL,
    dl-CCTrChListToRemove              DL-CCTrChListToRemove      OPTIONAL
  }
}
DL-DPCH-InfoPerRL-PostFDD ::=         SEQUENCE {
  pCPICH-UsageForChannelEst           PCPICH-UsageForChannelEst,
  dl-ChannelisationCode                DL-ChannelisationCode,
  tpc-CombinationIndex                 TPC-CombinationIndex
}
DL-DPCH-InfoPerRL-PostTDD ::=         SEQUENCE {
  dl-DPCH-TimeslotsCodes              DownlinkTimeslotsCodes
}
DL-DPCH-InfoPerRL-PostTDD-LCR-r4 ::= SEQUENCE {
  dl-CCTrCH-TimeslotsCodes             DownlinkTimeslotsCodes-LCR-r4
}
DL-DPCH-PowerControlInfo ::=          SEQUENCE {
  modeSpecificInfo                    CHOICE {
    fdd                                SEQUENCE {
      dpc-Mode                          DPC-Mode
    },
    tdd                                SEQUENCE {
      tpc-StepSizeTDD                   TPC-StepSizeTDD          OPTIONAL
    }
  }
}
DL-FrameType ::=                      ENUMERATED {
  dl-FrameTypeA, dl-FrameTypeB }
DL-InformationPerRL ::=               SEQUENCE {

```



```

modeSpecificInfo
  fdd
    primaryCPICH-Info
    pdsch-SHO-DCH-Info
    pdsch-CodeMapping
  },
  tdd
    PrimaryCCPCH-Info
},
dl-DPCH-InfoPerRL
sccpch-InfoForFACH
}

DL-InformationPerRL-r4 ::=
modeSpecificInfo
  fdd
    primaryCPICH-Info
    pdsch-SHO-DCH-Info
    pdsch-CodeMapping
  },
  tdd
    PrimaryCCPCH-Info-r4
},
dl-DPCH-InfoPerRL
sccpch-InfoForFACH
cell-id
}

DL-InformationPerRL-List ::= SEQUENCE (SIZE (1..maxRL)) OF
  DL-InformationPerRL

DL-InformationPerRL-List-r4 ::= SEQUENCE (SIZE (1..maxRL)) OF
  DL-InformationPerRL-r4

DL-InformationPerRL-ListPostFDD ::= SEQUENCE (SIZE (1..maxRL)) OF
  DL-InformationPerRL-PostFDD

DL-InformationPerRL-PostFDD ::= SEQUENCE {
  primaryCPICH-Info
  dl-DPCH-InfoPerRL
}

DL-InformationPerRL-PostTDD ::= SEQUENCE {
  primaryCCPCH-Info
  dl-DPCH-InfoPerRL
}

DL-InformationPerRL-PostTDD-LCR-r4 ::= SEQUENCE {
  primaryCCPCH-Info
  dl-DPCH-InfoPerRL
}

DL-PDSCH-Information ::= SEQUENCE {
  pdsch-SHO-DCH-Info
  pdsch-CodeMapping
}

Dl-rate-matching-restriction ::= SEQUENCE {
  restrictedTrCH-InfoList
}

DL-TS-ChannelisationCode ::= ENUMERATED {
  cc16-1, cc16-2, cc16-3, cc16-4,
  cc16-5, cc16-6, cc16-7, cc16-8,
  cc16-9, cc16-10, cc16-11, cc16-12,
  cc16-13, cc16-14, cc16-15, cc16-16 }

DL-TS-ChannelisationCodesShort ::= SEQUENCE {
  codesRepresentation
  consecutive
    firstChannelisationCode
    lastChannelisationCode
  },
  bitmap
}

```

```

        chCode10-SF16(6),
        chCode9-SF16(7),
        chCode8-SF16(8),
        chCode7-SF16(9),
        chCode6-SF16(10),
        chCode5-SF16(11),
        chCode4-SF16(12),
        chCode3-SF16(13),
        chCode2-SF16(14),
        chCode1-SF16(15)
    } (SIZE (16))
}
}

DownlinkAdditionalTimeslots ::= SEQUENCE {
    parameters CHOICE {
        sameAsLast SEQUENCE {
            timeslotNumber TimeslotNumber
        },
        newParameters SEQUENCE {
            individualTimeslotInfo IndividualTimeslotInfo,
            dl-TS-ChannelisationCodesShort DL-TS-ChannelisationCodesShort
        }
    }
}

DownlinkAdditionalTimeslots-LCR-r4 ::= SEQUENCE {
    parameters CHOICE {
        sameAsLast SEQUENCE {
            timeslotNumber TimeslotNumber-LCR-r4
        },
        newParameters SEQUENCE {
            individualTimeslotInfo IndividualTimeslotInfo-LCR-r4,
            dl-TS-ChannelisationCodesShort DL-TS-ChannelisationCodesShort
        }
    }
}

DownlinkTimeslotsCodes ::= SEQUENCE {
    firstIndividualTimeslotInfo IndividualTimeslotInfo,
    dl-TS-ChannelisationCodesShort DL-TS-ChannelisationCodesShort,
    moreTimeslots CHOICE {
        noMore NULL,
        additionalTimeslots CHOICE {
            consecutive INTEGER (1..maxTS-1),
            timeslotList SEQUENCE (SIZE (1..maxTS-1)) OF
                DownlinkAdditionalTimeslots
        }
    }
}

DownlinkTimeslotsCodes-LCR-r4 ::= SEQUENCE {
    firstIndividualTimeslotInfo IndividualTimeslotInfo-LCR-r4,
    dl-TS-ChannelisationCodesShort DL-TS-ChannelisationCodesShort,
    moreTimeslots CHOICE {
        noMore NULL,
        additionalTimeslots CHOICE {
            consecutive INTEGER (1..maxTS-LCR-1),
            timeslotList SEQUENCE (SIZE (1..maxTS-LCR-1)) OF
                DownlinkAdditionalTimeslots-LCR-r4
        }
    }
}

DPC-Mode ::= ENUMERATED {
    singleTPC,
    tpcTripletInSoft }

-- Actual value DPCCH-PowerOffset = IE value * 2
DPCCH-PowerOffset ::= INTEGER (-82..-3)

-- Actual value DPCCH-PowerOffset2 = 2 + (IE value * 4)
DPCCH-PowerOffset2 ::= INTEGER (-28..-13)

DPCH-CompressedModeInfo ::= SEQUENCE {
    tgp-SequenceList TGP-SequenceList
}

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```

DPCCH-CompressedModeStatusInfo ::= SEQUENCE {
    tgps-Reconfiguration-CFN          TGPS-Reconfiguration-CFN,
    tgp-SequenceShortList             SEQUENCE (SIZE (1..maxTGPS)) OF
                                      TGP-SequenceShort
}

-- Actual value DPCCH-FrameOffset = IE value * 256
DPCCH-FrameOffset ::= INTEGER (0..149)

DSCH-Mapping ::= SEQUENCE {
    maxTFCI-Field2Value              MaxTFCI-Field2Value,
    spreadingFactor                   SF-PDSCH,
    codeNumber                         CodeNumberDSCH,
    multiCodeInfo                     MultiCodeInfo
}

DSCH-MappingList ::= SEQUENCE (SIZE (1..maxPDSCH-TFCIgroups)) OF
    DSCH-Mapping

DSCH-RadioLinkIdentifier ::= INTEGER (0..511)

DurationTimeInfo ::= INTEGER (1..4096)

DynamicPersistenceLevel ::= INTEGER (1..8)

DynamicPersistenceLevelList ::= SEQUENCE (SIZE (1..maxPRACH)) OF
    DynamicPersistenceLevel

DynamicPersistenceLevelTF-List ::= SEQUENCE (SIZE (1..maxTF-CPCH)) OF
    DynamicPersistenceLevel

FACH-PCH-Information ::= SEQUENCE {
    transportFormatSet               TransportFormatSet,
    transportChannelIdentity         TransportChannelIdentity,
    ctch-Indicator                   BOOLEAN
}

FACH-PCH-InformationList ::= SEQUENCE (SIZE (1..maxFACHPCH)) OF
    FACH-PCH-Information

FPACH-Info-r4 ::= SEQUENCE {
    timeslot                          TimeslotNumber-LCR-r4,
    channelisationCode                TDD-FPACH-CCode16-r4,
    midambleShiftAndBurstType         MidambleShiftAndBurstType-LCR-r4,
    wi                                 Wi-LCR
}

FrequencyInfo ::= SEQUENCE {
    modeSpecificInfo                  CHOICE {
        fdd                            FrequencyInfoFDD,
        tdd                            FrequencyInfoTDD
    }
}

FrequencyInfoFDD ::= SEQUENCE {
    uarfcn-UL                          UARFCN                OPTIONAL,
    uarfcn-DL                          UARFCN
}

FrequencyInfoTDD ::= SEQUENCE {
    uarfcn-Nt                          UARFCN
}

IndividualTimeslotInfo ::= SEQUENCE {
    timeslotNumber                    TimeslotNumber,
    tfci-Existence                    BOOLEAN,
    midambleShiftAndBurstType         MidambleShiftAndBurstType
}

IndividualTimeslotInfo-LCR-r4 ::= SEQUENCE {
    timeslotNumber                    TimeslotNumber-LCR-r4,
    tfci-Existence                    BOOLEAN,
    midambleShiftAndBurstType         MidambleShiftAndBurstType-LCR-r4,
    modulation                        ENUMERATED { mod-QPSK, mod-8PSK },
    ss-TPC-Symbols                    ENUMERATED { zero, one, sixteenOverSF },
    additionalSS-TPC-Symbols          INTEGER (1..15)                OPTIONAL
}

```

```

IndividualTimeslotInfo-LCR-r4-ext ::= SEQUENCE {
-- timeslotNumber and tfci-Existence is taken from IndividualTimeslotInfo.
-- midambleShiftAndBurstType in IndividualTimeslotInfo shall be ignored.
  midambleShiftAndBurstType      MidambleShiftAndBurstType-LCR-r4,
  modulation                      ENUMERATED { mod-QPSK, mod-8PSK },
  ss-TPC-Symbols                  ENUMERATED { zero, one, sixteenOverSF }
}

IndividualTS-Interference ::= SEQUENCE {
  timeslot                        TimeslotNumber,
  ul-TimeslotInterference        TDD-UL-Interference
}

IndividualTS-InterferenceList ::= SEQUENCE (SIZE (1..maxTS)) OF
  IndividualTS-Interference

ITP ::= ENUMERATED {
  mode0, mode1 }

NidentifyAbort ::= INTEGER (1..128)

MaxAllowedUL-TX-Power ::= INTEGER (-50..33)

MaxAvailablePCPCH-Number ::= INTEGER (1..64)

MaxPowerIncrease-r4 ::= INTEGER (0..3)

MaxTFCI-Field2Value ::= INTEGER (1..1023)

MidambleConfigurationBurstType1and3 ::= ENUMERATED {ms4, ms8, ms16}

MidambleConfigurationBurstType2 ::= ENUMERATED {ms3, ms6}

MidambleShiftAndBurstType ::= SEQUENCE {
  burstType                       CHOICE {
    type1                          SEQUENCE {
      midambleConfigurationBurstType1and3 MidambleConfigurationBurstType1and3,
      midambleAllocationMode             CHOICE {
        defaultMidamble             NULL,
        commonMidamble              NULL,
        ueSpecificMidamble          SEQUENCE {
          midambleShift              MidambleShiftLong
        }
      }
    },
    type2                          SEQUENCE {
      midambleConfigurationBurstType2    MidambleConfigurationBurstType2,
      midambleAllocationMode             CHOICE {
        defaultMidamble             NULL,
        commonMidamble              NULL,
        ueSpecificMidamble          SEQUENCE {
          midambleShift              MidambleShiftShort
        }
      }
    },
    type3                          SEQUENCE {
      midambleConfigurationBurstType1and3 MidambleConfigurationBurstType1and3,
      midambleAllocationMode             CHOICE {
        defaultMidamble             NULL,
        ueSpecificMidamble          SEQUENCE {
          midambleShift              MidambleShiftLong
        }
      }
    }
  }
}

MidambleShiftAndBurstType-LCR-r4 ::= SEQUENCE {
  midambleAllocationMode          CHOICE {
    defaultMidamble              NULL,
    commonMidamble               NULL,
    ueSpecificMidamble           SEQUENCE {
      midambleShift              INTEGER (0..15)
    }
  }
},
-- Actual value midambleConfiguration = IE value * 2
midambleConfiguration            INTEGER (1..8)
}

```

```

MidambleShiftLong ::=                INTEGER (0..15)

MidambleShiftShort ::=               INTEGER (0..5)

MinimumSpreadingFactor ::=           ENUMERATED {
                                        sf4, sf8, sf16, sf32,
                                        sf64, sf128, sf256 }

MultiCodeInfo ::=                   INTEGER (1..16)

N-EOT ::=                            INTEGER (0..7)

N-GAP ::=                            ENUMERATED {
                                        f2, f4, f8 }

N-PCH ::=                            INTEGER (1..8)

N-StartMessage ::=                  INTEGER (1..8)

NB01 ::=                             INTEGER (0..50)

NF-Max ::=                           INTEGER (1..64)

NumberOfDPDCH ::=                   INTEGER (1..maxDPDCH-UL)

NumberOfFBI-Bits ::=                 INTEGER (1..2)

OpenLoopPowerControl-TDD ::=         SEQUENCE {
    primaryCCPCH-TX-Power             PrimaryCCPCH-TX-Power,
    -- alpha, prach-ConstantValue, dpch-ConstantValue and pusch-ConstantValue
    -- shall be ignored in 1.28Mcps TDD mode.
    alpha                             Alpha                               OPTIONAL,
    prach-ConstantValue                ConstantValueTdd,
    dpch-ConstantValue                 ConstantValueTdd,
    pusch-ConstantValue                ConstantValueTdd             OPTIONAL
}

OpenLoopPowerControl-IPDL-TDD-r4 ::= SEQUENCE {
    ipdl-alpha                         Alpha,
    maxPowerIncrease                   MaxPowerIncrease-r4
}

PagingIndicatorLength ::=            ENUMERATED {
                                        pi4, pi8, pi16 }

PC-Preamble ::=                      INTEGER (0..7)

PCP-Length ::=                       ENUMERATED {
                                        as0, as8 }

PCPCH-ChannelInfo ::=                SEQUENCE {
    pcpch-UL-ScramblingCode            INTEGER (0..79),
    pcpch-DL-ChannelisationCode        INTEGER (0..511),
    pcpch-DL-ScramblingCode            SecondaryScramblingCode       OPTIONAL,
    pcp-Length                          PCP-Length,
    ucsm-Info                           UCSM-Info                       OPTIONAL
}

PCPCH-ChannelInfoList ::=            SEQUENCE (SIZE (1..maxPCPCHs)) OF
                                        PCPCH-ChannelInfo

PCPICH-UsageForChannelEst ::=        ENUMERATED {
                                        maybeUsed,
                                        shallNotBeUsed }

PDSCH-CapacityAllocationInfo ::=     SEQUENCE {
    -- pdsch-PowerControlInfo is conditional on new-configuration branch below, if this
    -- selected the IE is OPTIONAL otherwise it should not be sent
    pdsch-PowerControlInfo              PDSCH-PowerControlInfo         OPTIONAL,
    pdsch-AllocationPeriodInfo          AllocationPeriodInfo,
    configuration                        CHOICE {
        old-Configuration                SEQUENCE {
            tfcs-ID                       TFCS-IdentityPlain           DEFAULT 1,
            pdsch-Identity                 PDSCH-Identity
        },

```

```

        new-Configuration                SEQUENCE {
            pdsch-Info                    PDSCH-Info,
            pdsch-Identity                 PDSCH-Identity                OPTIONAL
        }
    }
}

PDSCH-CapacityAllocationInfo-r4 ::= SEQUENCE {
    pdsch-AllocationPeriodInfo          AllocationPeriodInfo,
    configuration                        CHOICE {
        old-Configuration                SEQUENCE {
            tfcs-ID                      TFCS-IdentityPlain            DEFAULT 1,
            pdsch-Identity                 PDSCH-Identity
        },
        new-Configuration                SEQUENCE {
            pdsch-Info                    PDSCH-Info-r4,
            pdsch-Identity                 PDSCH-Identity                OPTIONAL,
            pdsch-PowerControlInfo        PDSCH-PowerControlInfo        OPTIONAL
        }
    }
}

PDSCH-CodeInfo ::=
    SEQUENCE {
        spreadingFactor                   SF-PDSCH,
        codeNumber                        CodeNumberDSCH,
        multiCodeInfo                     MultiCodeInfo
    }

PDSCH-CodeInfoList ::=
    SEQUENCE (SIZE (1..maxTFCI-2-Combs)) OF
        PDSCH-CodeInfo

PDSCH-CodeMap ::=
    SEQUENCE {
        spreadingFactor                   SF-PDSCH,
        multiCodeInfo                     MultiCodeInfo,
        codeNumberStart                   CodeNumberDSCH,
        codeNumberStop                     CodeNumberDSCH
    }

PDSCH-CodeMapList ::=
    SEQUENCE (SIZE (1..maxPDSCH-TFCIgroups)) OF
        PDSCH-CodeMap

PDSCH-CodeMapping ::=
    SEQUENCE {
        dl-ScramblingCode                 SecondaryScramblingCode        OPTIONAL,
        signallingMethod                   CHOICE {
            codeRange                       CodeRange,
            tfci-Range                       DSCH-MappingList,
            explicit-config                  PDSCH-CodeInfoList,
            replace                           ReplacedPDSCH-CodeInfoList
        }
    }

PDSCH-Identity ::=
    INTEGER (1..hiPDSCHidentities)

PDSCH-Info ::=
    SEQUENCE {
        tfcs-ID                            TFCS-IdentityPlain            DEFAULT 1,
        commonTimeslotInfo                 CommonTimeslotInfo            OPTIONAL,
        pdsch-TimeslotsCodes               DownlinkTimeslotsCodes        OPTIONAL
    }

PDSCH-Info-r4 ::=
    SEQUENCE {
        tfcs-ID                            TFCS-IdentityPlain            DEFAULT 1,
        commonTimeslotInfo                 CommonTimeslotInfo            OPTIONAL,
        tddOption                           CHOICE {
            tdd384                           SEQUENCE {
                pdsch-TimeslotsCodes         DownlinkTimeslotsCodes        OPTIONAL
            },
            tdd128                           SEQUENCE {
                pdsch-TimeslotsCodes         DownlinkTimeslotsCodes-LCR-r4  OPTIONAL
            }
        }
    }

PDSCH-Info-LCR-r4 ::=
    SEQUENCE {
        tfcs-ID                            TFCS-IdentityPlain            DEFAULT 1,
        commonTimeslotInfo                 CommonTimeslotInfo            OPTIONAL,
        pdsch-TimeslotsCodes               DownlinkTimeslotsCodes-LCR-r4  OPTIONAL
    }
}

```

|                                       |                                       |              |
|---------------------------------------|---------------------------------------|--------------|
| PDSCH-PowerControlInfo ::=            | SEQUENCE {                            |              |
| tpc-StepSizeTDD                       | TPC-StepSizeTDD                       | OPTIONAL,    |
| ul-CCTrChTPCList                      | UL-CCTrChTPCList                      | OPTIONAL     |
| }                                     |                                       |              |
| PDSCH-SHO-DCH-Info ::=                | SEQUENCE {                            |              |
| dsch-RadioLinkIdentifier              | DSCH-RadioLinkIdentifier,             |              |
| rl-IdentifierList                     | RL-IdentifierList                     | OPTIONAL     |
| }                                     |                                       |              |
| PDSCH-SysInfo ::=                     | SEQUENCE {                            |              |
| pdsch-Identity                        | PDSCH-Identity,                       |              |
| pdsch-Info                            | PDSCH-Info,                           |              |
| dsch-TFS                              | TransportFormatSet                    | OPTIONAL,    |
| dsch-TFCS                             | TFCS                                  | OPTIONAL     |
| }                                     |                                       |              |
| PDSCH-SysInfo-LCR-r4 ::=              | SEQUENCE {                            |              |
| pdsch-Identity                        | PDSCH-Identity,                       |              |
| pdsch-Info                            | PDSCH-Info-LCR-r4,                    |              |
| dsch-TFS                              | TransportFormatSet                    | OPTIONAL,    |
| dsch-TFCS                             | TFCS                                  | OPTIONAL     |
| }                                     |                                       |              |
| PDSCH-SysInfoList ::=                 | SEQUENCE (SIZE (1..maxPDSCH)) OF      |              |
|                                       | PDSCH-SysInfo                         |              |
| PDSCH-SysInfoList-LCR-r4 ::=          | SEQUENCE (SIZE (1..maxPDSCH)) OF      |              |
|                                       | PDSCH-SysInfo-LCR-r4                  |              |
| PDSCH-SysInfoList-SFN ::=             | SEQUENCE (SIZE (1..maxPDSCH)) OF      |              |
|                                       | SEQUENCE {                            |              |
| pdsch-SysInfo                         | PDSCH-SysInfo,                        |              |
| sfn-TimeInfo                          | SFN-TimeInfo                          | OPTIONAL     |
| }                                     |                                       |              |
| PDSCH-SysInfoList-SFN-LCR-r4 ::=      | SEQUENCE (SIZE (1..maxPDSCH)) OF      |              |
|                                       | SEQUENCE {                            |              |
| pdsch-SysInfo                         | PDSCH-SysInfo-LCR-r4,                 |              |
| sfn-TimeInfo                          | SFN-TimeInfo                          | OPTIONAL     |
| }                                     |                                       |              |
| PersistenceScalingFactor ::=          | ENUMERATED {                          |              |
|                                       | psf0-9, psf0-8, psf0-7, psf0-6,       |              |
|                                       | psf0-5, psf0-4, psf0-3, psf0-2 }      |              |
| PersistenceScalingFactorList ::=      | SEQUENCE (SIZE (1..maxASCPersist)) OF |              |
|                                       | PersistenceScalingFactor              |              |
| PI-CountPerFrame ::=                  | ENUMERATED {                          |              |
|                                       | e18, e36, e72, e144 }                 |              |
| PichChannelisationCodeList-LCR-r4 ::= | SEQUENCE (SIZE (1..2)) OF             |              |
|                                       | DL-TS-ChannelisationCode              |              |
| PICH-Info ::=                         | CHOICE {                              |              |
| fdd                                   | SEQUENCE {                            |              |
| channelisationCode256                 | ChannelisationCode256,                |              |
| pi-CountPerFrame                      | PI-CountPerFrame,                     |              |
| sttd-Indicator                        | BOOLEAN                               |              |
| },                                    |                                       |              |
| tdd                                   | SEQUENCE {                            |              |
| channelisationCode                    | TDD-PICH-CCode                        | OPTIONAL,    |
| timeslot                              | TimeslotNumber                        | OPTIONAL,    |
| midambleShiftAndBurstType             | MidambleShiftAndBurstType,            |              |
| repetitionPeriodLengthOffset          | RepPerLengthOffset-PICH               | OPTIONAL,    |
| pagingIndicatorLength                 | PagingIndicatorLength                 | DEFAULT pi4, |
| n-GAP                                 | N-GAP                                 | DEFAULT f4,  |
| n-PCH                                 | N-PCH                                 | DEFAULT 2    |
| }                                     |                                       |              |
| PICH-Info-LCR-r4 ::=                  | SEQUENCE {                            |              |
| timeslot                              | TimeslotNumber-LCR-r4                 | OPTIONAL,    |
| pichChannelisationCodeList-LCR-r4     | PichChannelisationCodeList-LCR-r4,    |              |
| midambleShiftAndBurstType             | MidambleShiftAndBurstType-LCR-r4,     |              |
| repetitionPeriodLengthOffset          | RepPerLengthOffset-PICH               | OPTIONAL,    |

```

    pagingIndicatorLength      PagingIndicatorLength      DEFAULT pi4,
    n-GAP                      N-GAP                      DEFAULT f4,
    n-PCH                      N-PCH                      DEFAULT 2
}

PICH-PowerOffset ::=          INTEGER (-10..5)

PilotBits128 ::=              ENUMERATED {
                                pb4, pb8 }

PilotBits256 ::=              ENUMERATED {
                                pb2, pb4, pb8 }

PositionFixedOrFlexible ::=   ENUMERATED {
                                fixed,
                                flexible }

PowerControlAlgorithm ::=     CHOICE {
    algorithm1                  TPC-StepSizeFDD,
    algorithm2                  NULL
}

PowerOffsetPilot-pdpdch ::=   INTEGER (0..24)

PowerRampStep ::=              INTEGER (1..8)

PRACH-ChanCodes-LCR-r4 ::=    SEQUENCE (SIZE (1..4)) OF
                                TDD-PRACH-CCode-LCR-r4

PRACH-Definition-LCR-r4 ::=    SEQUENCE {
    timeslot                    TimeslotNumber-PRACH-LCR-r4,
    prach-ChanCodes-LCR         PRACH-ChanCodes-LCR-r4,
    midambleShiftAndBurstType   MidambleShiftAndBurstType-LCR-r4,
    fpach-Info                  FPACH-Info-r4
}

PRACH-Midamble ::=            ENUMERATED {
                                direct,
                                direct-Inverted }

PRACH-Partitioning ::=        CHOICE {
    fdd                          SEQUENCE (SIZE (1..maxASC)) OF
        -- TABULAR: If only "NumASC+1" (with, NumASC+1 < maxASC) ASCSetting-FDD are listed,
        -- the remaining (NumASC+2 through maxASC) ASCs are unspecified.
        ASCSetting-FDD,
    tdd                          SEQUENCE (SIZE (1..maxASC)) OF
        -- TABULAR: If only "NumASC+1" (with, NumASC+1 < maxASC) ASCSetting-TDD are listed,
        -- the remaining (NumASC+2 through maxASC) ASCs are unspecified.
        ASCSetting-TDD
}

PRACH-Partitioning-LCR-r4 ::= SEQUENCE (SIZE (1..maxASC)) OF
    -- TABULAR: If only "NumASC+1" (with, NumASC+1 < maxASC) ASCSetting-TDD-LCR-r4 are listed,
    -- the remaining (NumASC+2 through maxASC) ASCs are unspecified.
    ASCSetting-TDD-LCR-r4

PRACH-PowerOffset ::=         SEQUENCE {
    powerRampStep                PowerRampStep,
    preambleRetransMax           PreambleRetransMax
}

PRACH-RACH-Info ::=           SEQUENCE {
    modeSpecificInfo             CHOICE {
        fdd                      SEQUENCE {
            availableSignatures   AvailableSignatures,
            availableSF            SF-PRACH,
            preambleScramblingCodeWordNumber PreambleScramblingCodeWordNumber,
            puncturingLimit        PuncturingLimit,
            availableSubChannelNumbers AvailableSubChannelNumbers
        },
        tdd                      SEQUENCE {
            timeslot               TimeslotNumber,
            channelisationCodeList TDD-PRACH-CCodeList,
            prach-Midamble         PRACH-Midamble
        }
    }
}
}

```



```

PRACH-RACH-Info-LCR-r4 ::= SEQUENCE {
    sync-UL-Info
    prach-DefinitionList
}

PRACH-SystemInformation ::= SEQUENCE {
    prach-RACH-Info
    transportChannelIdentity
    rach-TransportFormatSet
    rach-TFCS
    prach-Partitioning
    persistenceScalingFactorList
    ac-To-ASC-MappingTable
    modeSpecificInfo
    fdd
        primaryCPICH-TX-Power
        constantValue
        prach-PowerOffset
        rach-TransmissionParameters
        aich-Info
    },
    tdd
        NULL
}

PRACH-SystemInformation-LCR-r4 ::= SEQUENCE {
    prach-RACH-Info-LCR
    rach-TransportFormatSet-LCR
    prach-Partitioning-LCR
}

PRACH-SystemInformationList ::= SEQUENCE (SIZE (1..maxPRACH)) OF
    PRACH-SystemInformation

PRACH-SystemInformationList-LCR-r4 ::= SEQUENCE (SIZE (1..maxPRACH)) OF
    PRACH-SystemInformation-LCR-r4

PreambleRetransMax ::= INTEGER (1..64)

PreambleScramblingCodeWordNumber ::= INTEGER (0..15)

PreDefPhyChConfiguration ::= SEQUENCE {
    ul-DPCH-InfoPredef
    dl-CommonInformationPredef
}

PrimaryCCPCH-Info ::= CHOICE {
    fdd
        tx-DiversityIndicator
    },
    tdd
        -- syncCase should be ignored for 1.28Mcps TDD mode
        syncCase
            CHOICE {
                syncCase1
                    timeslot
                },
            syncCase2
                timeslotSync2
            }
        cellParametersID
        sctd-Indicator
}

PrimaryCCPCH-Info-r4 ::= CHOICE {
    fdd
        tx-DiversityIndicator
    },
    tdd
        tddOption
            tdd384
                syncCase
                    CHOICE {
                        syncCase1
                            timeslot
                    },
                    syncCase2
}

```



```

PUSCH-CapacityAllocationInfo-r4 ::= SEQUENCE {
  pusch-Allocation CHOICE {
    pusch-AllocationPending NULL,
    pusch-AllocationAssignment SEQUENCE {
      pusch-AllocationPeriodInfo AllocationPeriodInfo,
      pusch-PowerControlInfo PUSCH-PowerControlInfo-r4 OPTIONAL,
      configuration CHOICE {
        old-Configuration SEQUENCE {
          tfcs-ID TFCS-IdentityPlain DEFAULT 1,
          pusch-Identity PUSCH-Identity
        },
        new-Configuration SEQUENCE {
          pusch-Info PUSCH-Info-r4,
          pusch-Identity PUSCH-Identity OPTIONAL
        }
      }
    }
  }
}

PUSCH-Identity ::= INTEGER (1..hiPUSCHidentities)

PUSCH-Info ::= SEQUENCE {
  tfcs-ID TFCS-IdentityPlain DEFAULT 1,
  commonTimeslotInfo CommonTimeslotInfo OPTIONAL,
  pusch-TimeslotsCodes UplinkTimeslotsCodes OPTIONAL
}

PUSCH-Info-r4 ::= SEQUENCE {
  tfcs-ID TFCS-IdentityPlain DEFAULT 1,
  commonTimeslotInfo CommonTimeslotInfo OPTIONAL,
  tddOption CHOICE {
    tdd384 SEQUENCE {
      pusch-TimeslotsCodes UplinkTimeslotsCodes OPTIONAL
    },
    tdd128 SEQUENCE {
      pusch-TimeslotsCodes UplinkTimeslotsCodes-LCR-r4 OPTIONAL
    }
  }
}

PUSCH-Info-LCR-r4 ::= SEQUENCE {
  tfcs-ID TFCS-IdentityPlain DEFAULT 1,
  commonTimeslotInfo CommonTimeslotInfo OPTIONAL,
  pusch-TimeslotsCodes UplinkTimeslotsCodes-LCR-r4 OPTIONAL
}

PUSCH-PowerControlInfo-r4 ::= SEQUENCE {
  -- The IE ul-TargetSIR corresponds to PRX-PUSCHdes for 1.28Mcps TDD
  -- Actual value PRX-PUSCHdes = (value of IE "ul-TargetSIR" - 120)
  ul-TargetSIR UL-TargetSIR,
  tddOption CHOICE {
    tdd384 NULL,
    tdd128 SEQUENCE {
      tpc-StepSize TPC-StepSizeTDD OPTIONAL
    }
  }
}

PUSCH-SysInfo ::= SEQUENCE {
  pusch-Identity PUSCH-Identity,
  pusch-Info PUSCH-Info,
  usch-TFS TransportFormatSet OPTIONAL,
  usch-TFCS TFCS OPTIONAL
}

PUSCH-SysInfo-LCR-r4 ::= SEQUENCE {
  pusch-Identity PUSCH-Identity,
  pusch-Info PUSCH-Info-LCR-r4,
  usch-TFS TransportFormatSet OPTIONAL,
  usch-TFCS TFCS OPTIONAL
}

PUSCH-SysInfoList ::= SEQUENCE (SIZE (1..maxPUSCH)) OF
  PUSCH-SysInfo

```

```

PUSCH-SysInfoList-LCR-r4 ::= SEQUENCE (SIZE (1..maxPUSCH)) OF
                               PUSCH-SysInfo-LCR-r4

PUSCH-SysInfoList-SFN ::= SEQUENCE (SIZE (1..maxPUSCH)) OF
                               SEQUENCE {
                                   pusch-SysInfo          PUSCH-SysInfo,
                                   sfm-TimeInfo            SFN-TimeInfo
                               } OPTIONAL

PUSCH-SysInfoList-SFN-LCR-r4 ::= SEQUENCE (SIZE (1..maxPUSCH)) OF
                               SEQUENCE {
                                   pusch-SysInfo          PUSCH-SysInfo-LCR-r4,
                                   sfm-TimeInfo            SFN-TimeInfo
                               } OPTIONAL

RACH-TransmissionParameters ::= SEQUENCE {
    mmax          INTEGER (1..32),
    nb01Min       NB01,
    nb01Max       NB01
}

ReducedScramblingCodeNumber ::= INTEGER (0..8191)

RepetitionPeriodAndLength ::= CHOICE {
    repetitionPeriod1      NULL,
    -- repetitionPeriod2 could just as well be NULL also.
    repetitionPeriod2      INTEGER (1..1),
    repetitionPeriod4      INTEGER (1..3),
    repetitionPeriod8      INTEGER (1..7),
    repetitionPeriod16     INTEGER (1..15),
    repetitionPeriod32     INTEGER (1..31),
    repetitionPeriod64     INTEGER (1..63)
}

RepetitionPeriodLengthAndOffset ::= CHOICE {
    repetitionPeriod1      NULL,
    repetitionPeriod2      SEQUENCE {
        length             NULL,
        offset             INTEGER (0..1)
    },
    repetitionPeriod4      SEQUENCE {
        length             INTEGER (1..3),
        offset             INTEGER (0..3)
    },
    repetitionPeriod8      SEQUENCE {
        length             INTEGER (1..7),
        offset             INTEGER (0..7)
    },
    repetitionPeriod16     SEQUENCE {
        length             INTEGER (1..15),
        offset             INTEGER (0..15)
    },
    repetitionPeriod32     SEQUENCE {
        length             INTEGER (1..31),
        offset             INTEGER (0..31)
    },
    repetitionPeriod64     SEQUENCE {
        length             INTEGER (1..63),
        offset             INTEGER (0..63)
    }
}

ReplacedPDSCH-CodeInfo ::= SEQUENCE {
    tfci-Field2           MaxTFCI-Field2Value,
    spreadingFactor       SF-PDSCH,
    codeNumber            CodeNumberDSCH,
    multiCodeInfo         MultiCodeInfo
}

ReplacedPDSCH-CodeInfoList ::= SEQUENCE (SIZE (1..maxTFCI-2-Combs)) OF
                                ReplacedPDSCH-CodeInfo

RepPerLengthOffset-PICH ::= CHOICE {
    rpp4-2                INTEGER (0..3),
    rpp8-2                INTEGER (0..7),
    rpp8-4                INTEGER (0..7),
    rpp16-2               INTEGER (0..15),
    rpp16-4               INTEGER (0..15),
}

```

```

    rpp32-2                INTEGER (0..31),
    rpp32-4                INTEGER (0..31),
    rpp64-2                INTEGER (0..63),
    rpp64-4                INTEGER (0..63)
}

RestrictedTrCH ::=
    dl-restrictedTrCh-Type    DL-TrCH-Type,
    restrictedDL-TrCH-Identity TransportChannelIdentity,
    allowedTFIList           AllowedTFI-List
}

RestrictedTrCH-InfoList ::= SEQUENCE (SIZE(1..maxTrCH)) OF
    RestrictedTrCH

RL-AdditionInformation ::= SEQUENCE {
    primaryCPICH-Info        PrimaryCPICH-Info,
    dl-DPCH-InfoPerRL       DL-DPCH-InfoPerRL,
    tfci-CombiningIndicator BOOLEAN,
    sccpch-InfoForFACH       SCCPCH-InfoForFACH
} OPTIONAL

RL-AdditionInformationList ::= SEQUENCE (SIZE (1..maxRL-1)) OF
    RL-AdditionInformation

RL-IdentifierList ::= SEQUENCE (SIZE (1..maxRL)) OF
    PrimaryCPICH-Info

RL-RemovalInformationList ::= SEQUENCE (SIZE (1..maxRL)) OF
    PrimaryCPICH-Info

RPP ::= ENUMERATED {
    mode0, mode1
}

S-Field ::= ENUMERATED {
    e1bit, e2bits
}

SCCPCH-ChannelisationCode ::= ENUMERATED {
    cc16-1, cc16-2, cc16-3, cc16-4,
    cc16-5, cc16-6, cc16-7, cc16-8,
    cc16-9, cc16-10, cc16-11, cc16-12,
    cc16-13, cc16-14, cc16-15, cc16-16
}

SCCPCH-ChannelisationCodeList ::= SEQUENCE (SIZE (1..16)) OF
    SCCPCH-ChannelisationCode

SCCPCH-InfoForFACH ::= SEQUENCE {
    secondaryCCPCH-Info      SecondaryCCPCH-Info,
    tfcs                     TFCS,
    modeSpecificInfo         CHOICE {
        fdd                  SEQUENCE {
            fach-PCH-InformationList FACH-PCH-InformationList,
            sib-ReferenceListFACH     SIB-ReferenceListFACH
        },
        tdd                  SEQUENCE {
            fach-PCH-InformationList FACH-PCH-InformationList
        }
    }
}

SCCPCH-InfoForFACH-r4 ::= SEQUENCE {
    secondaryCCPCH-Info      SecondaryCCPCH-Info-r4,
    tfcs                     TFCS,
    fach-PCH-InformationList FACH-PCH-InformationList,
    modeSpecificInfo         CHOICE {
        fdd                  SEQUENCE {
            sib-ReferenceListFACH     SIB-ReferenceListFACH
        },
        tdd                  NULL
    }
}

SCCPCH-SystemInformation ::= SEQUENCE {
    secondaryCCPCH-Info      SecondaryCCPCH-Info,
    tfcs                     TFCS
    fach-PCH-InformationList FACH-PCH-InformationList OPTIONAL,
    pich-Info                PICH-Info OPTIONAL
}

```

```

SCCPCH-SystemInformation-LCR-r4-ext ::= SEQUENCE {
    secondaryCCPCH-LCR-Extensions  SecondaryCCPCH-Info-LCR-r4-ext,
    -- pich-Info in the SCCPCH-SystemInformation IE shall be absent,
    -- and instead the following used.
    pich-Info                       PICH-Info-LCR-r4                       OPTIONAL
}

SCCPCH-SystemInformationList ::= SEQUENCE (SIZE (1..maxSCCPCH)) OF
    SCCPCH-SystemInformation

-- SCCPCH-SystemInformationList-LCR-r4-ext includes elements additional to those in
-- SCCPCH-SystemInformationList for the 1.28Mcps TDD. The order of the IEs
-- indicates which SCCPCH-SystemInformation-LCR-r4-ext IE extends which
-- SCCPCH-SystemInformation IE.
SCCPCH-SystemInformationList-LCR-r4-ext ::= SEQUENCE (SIZE (1..maxSCCPCH)) OF
    SCCPCH-SystemInformation-LCR-r4-ext

ScramblingCodeChange ::= ENUMERATED {
    codeChange, noCodeChange }

ScramblingCodeType ::= ENUMERATED {
    shortSC,
    longSC }

SecondaryCCPCH-Info ::= SEQUENCE {
    modeSpecificInfo CHOICE {
        fdd SEQUENCE {
            -- dummy1 is not used in this version of the specification and should be ignored.
            dummy1 PCPICH-UsageForChannelEst,
            -- dummy2 is not used in this version of the specification. It should not
            -- be sent and if received it should be ignored.
            dummy2 SecondaryCPICH-Info OPTIONAL,
            secondaryScramblingCode SecondaryScramblingCode OPTIONAL,
            sttd-Indicator BOOLEAN,
            sf-AndCodeNumber SF256-AndCodeNumber,
            pilotSymbolExistence BOOLEAN,
            tfci-Existence BOOLEAN,
            positionFixedOrFlexible PositionFixedOrFlexible,
            timingOffset TimingOffset DEFAULT 0
        },
        tdd SEQUENCE {
            -- TABULAR: the offset is included in CommonTimeslotInfoSCCPCH
            commonTimeslotInfo CommonTimeslotInfoSCCPCH,
            individualTimeslotInfo IndividualTimeslotInfo,
            channelisationCode SCCPCH-ChannelisationCodeList
        }
    }
}

SecondaryCCPCH-Info-r4 ::= SEQUENCE {
    modeSpecificInfo CHOICE {
        fdd SEQUENCE {
            secondaryScramblingCode SecondaryScramblingCode OPTIONAL,
            sttd-Indicator BOOLEAN,
            sf-AndCodeNumber SF256-AndCodeNumber,
            pilotSymbolExistence BOOLEAN,
            tfci-Existence BOOLEAN,
            positionFixedOrFlexible PositionFixedOrFlexible,
            timingOffset TimingOffset DEFAULT 0
        },
        tdd SEQUENCE {
            -- TABULAR: the offset is included in CommonTimeslotInfoSCCPCH
            commonTimeslotInfo CommonTimeslotInfoSCCPCH,
            tddOption CHOICE {
                tdd384 SEQUENCE {
                    individualTimeslotInfo IndividualTimeslotInfo
                },
                tdd128 SEQUENCE {
                    individualTimeslotInfo IndividualTimeslotInfo-LCR-r4
                }
            }
        },
        channelisationCode SCCPCH-ChannelisationCodeList
    }
}

SecondaryCCPCH-Info-LCR-r4-ext ::= SEQUENCE {

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```

    individualTimeslotLCR-Ext          IndividualTimeslotInfo-LCR-r4-ext
}

SecondaryCPICH-Info ::=
    secondaryDL-ScramblingCode
    channelisationCode
}

SecondaryScramblingCode ::=
    INTEGER (1..15)

SecondInterleavingMode ::=
    ENUMERATED {
        frameRelated, timeslotRelated }

-- SF256-AndCodeNumber encodes both "Spreading factor" and "Code Number"
SF256-AndCodeNumber ::=
    CHOICE {
        sf4          INTEGER (0..3),
        sf8          INTEGER (0..7),
        sf16         INTEGER (0..15),
        sf32         INTEGER (0..31),
        sf64         INTEGER (0..63),
        sf128        INTEGER (0..127),
        sf256        INTEGER (0..255)
    }

-- SF512-AndCodeNumber encodes both "Spreading factor" and "Code Number"
SF512-AndCodeNumber ::=
    CHOICE {
        sf4          INTEGER (0..3),
        sf8          INTEGER (0..7),
        sf16         INTEGER (0..15),
        sf32         INTEGER (0..31),
        sf64         INTEGER (0..63),
        sf128        INTEGER (0..127),
        sf256        INTEGER (0..255),
        sf512        INTEGER (0..511)
    }

-- SF512-AndPilot encodes both "Spreading factor" and "Number of bits for Pilot bits"
SF512-AndPilot ::=
    CHOICE {
        sfd4         NULL,
        sfd8         NULL,
        sfd16        NULL,
        sfd32        NULL,
        sfd64        NULL,
        sfd128       PilotBits128,
        sfd256       PilotBits256,
        sfd512       NULL
    }

SF-PDSCH ::=
    ENUMERATED {
        sfp4, sfp8, sfp16, sfp32,
        sfp64, sfp128, sfp256 }

SF-PRACH ::=
    ENUMERATED {
        sfpr32, sfpr64, sfpr128, sfpr256 }

SFN-TimeInfo ::=
    SEQUENCE {
        activationTimeSFN    INTEGER (0..4095),
        physChDuration       DurationTimeInfo
    }

-- actual scheduling value = 2(signalled value +1) and is the periodicity of sending special burst frames
SpecialBurstScheduling ::=
    INTEGER (0..7)

SpreadingFactor ::=
    ENUMERATED {
        sf4, sf8, sf16, sf32,
        sf64, sf128, sf256 }

SRB-delay ::=
    INTEGER (0..7)

SSDT-CellIdentity ::=
    ENUMERATED {
        ssdt-id-a, ssdt-id-b, ssdt-id-c,
        ssdt-id-d, ssdt-id-e, ssdt-id-f,
        ssdt-id-g, ssdt-id-h }

SSDT-Information ::=
    SEQUENCE {
        s-Field             S-Field,
        codeWordSet         CodeWordSet
    }

```

```

SSDT-Information-r4 ::= SEQUENCE {
    s-Field
    codeWordSet
    ssdt-UL-r4
}
                                                                    OPTIONAL

SSDT-UL ::= ENUMERATED {
    ul, ul-AndDL }

SynchronisationParameters-r4 ::= SEQUENCE {
    sync-UL-CodesBitmap
                                                                    BIT STRING {
                                                                    code7(0),
                                                                    code6(1),
                                                                    code5(2),
                                                                    code4(3),
                                                                    code3(4),
                                                                    code2(5),
                                                                    code1(6),
                                                                    code0(7)
                                                                    } (SIZE (8)),
    fpach-Info
                                                                    FPACH-Info-r4,
    -- Actual value prxUpPCHdes = IE value - 120
    prxUpPCHdes
                                                                    INTEGER (0..62),
    sync-UL-Procedure
                                                                    SYNC-UL-Procedure-r4
}
                                                                    OPTIONAL

SYNC-UL-Procedure-r4 ::= SEQUENCE {
    max-SYNC-UL-Transmissions
                                                                    ENUMERATED { tr1, tr2, tr4, tr8 },
    powerRampStep
                                                                    INTEGER (0..3)
}

SYNC-UL-Info-r4 ::= SEQUENCE {
    sync-UL-Codes-Bitmap
                                                                    BIT STRING {
                                                                    code7(0),
                                                                    code6(1),
                                                                    code5(2),
                                                                    code4(3),
                                                                    code3(4),
                                                                    code2(5),
                                                                    code1(6),
                                                                    code0(7)
                                                                    } ( SIZE (8)),
    -- Actual value prxUpPCHdes = IE value - 120
    prxUpPCHdes
                                                                    INTEGER (0..62),
    powerRampStep
                                                                    INTEGER (0..3),
    max-SYNC-UL-Transmissions
                                                                    ENUMERATED { tr1, tr2, tr4, tr8 } ,
    mmax
                                                                    INTEGER(1..32)
}

TDD-FPACH-CCode16-r4 ::= ENUMERATED {
    cc16-1, cc16-2, cc16-3, cc16-4,
    cc16-5, cc16-6, cc16-7, cc16-8,
    cc16-9, cc16-10, cc16-11, cc16-12,
    cc16-13, cc16-14, cc16-15, cc16-16 }

TDD-UL-Interference ::= INTEGER (-110..-52)

TDD-PICH-CCode ::= ENUMERATED {
    cc16-1, cc16-2, cc16-3, cc16-4,
    cc16-5, cc16-6, cc16-7, cc16-8,
    cc16-9, cc16-10, cc16-11, cc16-12,
    cc16-13, cc16-14, cc16-15, cc16-16 }

TDD-PRACH-CCode8 ::= ENUMERATED {
    cc8-1, cc8-2, cc8-3, cc8-4,
    cc8-5, cc8-6, cc8-7, cc8-8 }

TDD-PRACH-CCode16 ::= ENUMERATED {
    cc16-1, cc16-2, cc16-3, cc16-4,
    cc16-5, cc16-6, cc16-7, cc16-8,
    cc16-9, cc16-10, cc16-11, cc16-12,
    cc16-13, cc16-14, cc16-15, cc16-16 }

TDD-PRACH-CCode-LCR-r4 ::= ENUMERATED {
    cc4-1, cc4-2, cc4-3, cc4-4,
    cc8-1, cc8-2, cc8-3, cc8-4,
    cc8-5, cc8-6, cc8-7, cc8-8,
    cc16-1, cc16-2, cc16-3, cc16-4,

```



```

cc16-5, cc16-6, cc16-7, cc16-8,
cc16-9, cc16-10, cc16-11, cc16-12,
cc16-13, cc16-14, cc16-15, cc16-16 }

TDD-PRACH-CCodeList ::=
    sf8 CHOICE {
        SEQUENCE (SIZE (1..8)) OF
            TDD-PRACH-CCode8,
        -- Channelisation codes cc16-9, cc16-10, cc16-11, cc16-12, cc16-13, cc16-14,
        -- cc16-15 and cc16-16 shall not be used
        sf16 SEQUENCE (SIZE (1..8)) OF
            TDD-PRACH-CCode16
    }

TFC-ControlDuration ::=
    ENUMERATED {
        tfc-cd1, tfc-cd2, tfc-cd4, tfc-cd8,
        tfc-cd16, tfc-cd24, tfc-cd32,
        tfc-cd48, tfc-cd64, tfc-cd128,
        tfc-cd192, tfc-cd256, tfc-cd512 }

TFCI-Coding ::=
    ENUMERATED {
        tfci-bits-4, tfci-bits-8,
        tfci-bits-16, tfci-bits-32 }

TGCFN ::=
    INTEGER (0..255)

-- In TGD, value 270 represents "undefined" in the tabular description.
TGD ::=
    INTEGER (15..270)

TGL ::=
    INTEGER (1..14)

TGMP ::=
    ENUMERATED {
        tdd-Measurement, fdd-Measurement,
        gsm-CarrierRSSIMeasurement,
        gsm-initialBSICIdentification, gsmBSICReconfirmation,
        multi-carrier }

TGP-Sequence ::=
    SEQUENCE {
        tgpsi
        tgps-Status
            activate
                tgcfm
            },
        deactivate
    },
    tgps-ConfigurationParams          TGPS-ConfigurationParams          OPTIONAL
}

TGPS-Reconfiguration-CFN ::=
    INTEGER (0..255)

TGP-SequenceList ::=
    SEQUENCE (SIZE (1..maxTGPS)) OF
        TGP-Sequence

TGP-SequenceShort ::=
    SEQUENCE {
        tgpsi
        tgps-Status
            activate
                tgcfm
            },
        deactivate
    }
}

TGPL ::=
    INTEGER (1..144)

-- TABULAR: In TGPRC, value 0 represents "infinity" in the tabular description.
TGPRC ::=
    INTEGER (0..511)

TGPS-ConfigurationParams ::=
    SEQUENCE {
        tgmp,
        tgprc,
        tgsm,
        tgl1,
        tgl2,
        tgd,
        tgpl1,
        tgpl2,
        rpp,
        itp,
        TGMP,
        TGPRC,
        TGSM,
        TGL,
        TGL,
        TGD,
        TGPL,
        TGPL,
        RPP,
        ITP,
        OPTIONAL,
        OPTIONAL,
    }

```



```

ul-TargetSIR                UL-TargetSIR,
timeInfo                    TimeInfo,
commonTimeslotInfo          CommonTimeslotInfo          OPTIONAL,
tddOption                   CHOICE {
  tdd384                     SEQUENCE {
    ul-CCTrCH-TimeslotsCodes UplinkTimeslotsCodes          OPTIONAL
  },
  tdd128                     SEQUENCE {
    ul-CCTrCH-TimeslotsCodes UplinkTimeslotsCodes-LCR-r4 OPTIONAL
  }
}
}

UL-CCTrCHList ::= SEQUENCE (SIZE (1..maxCCTrCH)) OF
  UL-CCTrCH

UL-CCTrCHList-r4 ::= SEQUENCE (SIZE (1..maxCCTrCH)) OF
  UL-CCTrCH-r4

UL-CCTrCHListToRemove ::= SEQUENCE (SIZE (1..maxCCTrCH)) OF
  TFCS-IdentityPlain

UL-CCTrChTPCList ::= SEQUENCE (SIZE (0..maxCCTrCH)) OF
  TFCS-Identity

UL-ChannelRequirement ::= CHOICE {
  ul-DPCH-Info            UL-DPCH-Info,
  cpch-SetInfo           CPCH-SetInfo
}

UL-ChannelRequirement-r4 ::= CHOICE {
  ul-DPCH-Info-r4       UL-DPCH-Info-r4,
  cpch-SetInfo          CPCH-SetInfo
}

UL-ChannelRequirementWithCPCH-SetID ::= CHOICE {
  ul-DPCH-Info            UL-DPCH-Info,
  cpch-SetInfo           CPCH-SetInfo,
  cpch-SetID             CPCH-SetID
}

UL-ChannelRequirementWithCPCH-SetID-r4 ::= CHOICE {
  ul-DPCH-Info-r4       UL-DPCH-Info-r4,
  cpch-SetInfo          CPCH-SetInfo,
  cpch-SetID            CPCH-SetID
}

UL-CompressedModeMethod ::= ENUMERATED {
  sf-2,
  higherLayerScheduling }

UL-DL-Mode ::= CHOICE {
  ul                      UL-CompressedModeMethod,
  dl                      DL-CompressedModeMethod,
  ul-and-dl              SEQUENCE {
    ul                    UL-CompressedModeMethod,
    dl                    DL-CompressedModeMethod
  }
}

UL-DPCCH-SlotFormat ::= ENUMERATED {
  slf0, slf1, slf2 }

UL-DPCH-Info ::= SEQUENCE {
  ul-DPCH-PowerControlInfo UL-DPCH-PowerControlInfo          OPTIONAL,
  modeSpecificInfo        CHOICE {
    fdd                    SEQUENCE {
      scramblingCodeType   ScramblingCodeType,
      scramblingCode       UL-ScramblingCode,
      numberOfDPDCH        NumberOfDPDCH          DEFAULT 1,
      spreadingFactor      SpreadingFactor,
      tfci-Existence       BOOLEAN,
      -- numberOfFBI-Bits is conditional based on history
      numberOfFBI-Bits     NumberOfFBI-Bits          OPTIONAL,
      puncturingLimit      PuncturingLimit
    },
    tdd                    SEQUENCE {
      ul-TimingAdvance     UL-TimingAdvanceControl          OPTIONAL,
      ul-CCTrCHList        UL-CCTrCHList          OPTIONAL,

```

```

        ul-CCTrCHListToRemove          UL-CCTrCHListToRemove          OPTIONAL
    }
}

UL-DPCH-Info-r4 ::=
    ul-DPCH-PowerControlInfo          UL-DPCH-PowerControlInfo-r4          OPTIONAL,
    modeSpecificInfo                   CHOICE {
        fdd                             SEQUENCE {
            scramblingCodeType           ScramblingCodeType,
            scramblingCode                UL-ScramblingCode,
            numberOfDPDCH                 NumberOfDPDCH                DEFAULT 1,
            spreadingFactor               SpreadingFactor,
            tfci-Existence                BOOLEAN,
            -- numberOfFBI-Bits is conditional based on history
            numberOfFBI-Bits              NumberOfFBI-Bits            OPTIONAL,
            puncturingLimit               PuncturingLimit
        },
        tdd                             SEQUENCE {
            ul-TimingAdvance              UL-TimingAdvanceControl-r4    OPTIONAL,
            ul-CCTrCHList                 UL-CCTrCHList-r4            OPTIONAL,
            ul-CCTrCHListToRemove         UL-CCTrCHListToRemove       OPTIONAL
        }
    }
}

UL-DPCH-InfoPostFDD ::=
    ul-DPCH-PowerControlInfo          UL-DPCH-PowerControlInfoPostFDD,
    scramblingCodeType                 ScramblingCodeType,
    reducedScramblingCodeNumber         ReducedScramblingCodeNumber,
    spreadingFactor                     SpreadingFactor
}

UL-DPCH-InfoPostTDD ::=
    ul-DPCH-PowerControlInfo          UL-DPCH-PowerControlInfoPostTDD,
    ul-TimingAdvance                   UL-TimingAdvanceControl      OPTIONAL,
    ul-CCTrCH-TimeslotsCodes           UplinkTimeslotsCodes
}

UL-DPCH-InfoPostTDD-LCR-r4 ::=
    ul-DPCH-PowerControlInfo          UL-DPCH-PowerControlInfoPostTDD-LCR-r4,
    ul-TimingAdvance                   UL-TimingAdvanceControl-LCR-r4    OPTIONAL,
    ul-CCTrCH-TimeslotsCodes           UplinkTimeslotsCodes-LCR-r4
}

UL-DPCH-InfoPredef ::=
    ul-DPCH-PowerControlInfo          UL-DPCH-PowerControlInfoPredef,
    modeSpecificInfo                   CHOICE {
        fdd                             SEQUENCE {
            tfci-Existence                BOOLEAN,
            puncturingLimit               PuncturingLimit
        },
        tdd                             SEQUENCE {
            commonTimeslotInfo            CommonTimeslotInfo
        }
    }
}

UL-DPCH-PowerControlInfo ::=
    fdd                                 CHOICE {
        SEQUENCE {
            dpccch-PowerOffset            DPCCH-PowerOffset,
            pc-Preamble                    PC-Preamble,
            srb-delay                      SRB-delay,
            -- TABULAR: TPC step size nested inside PowerControlAlgorithm
            powerControlAlgorithm          PowerControlAlgorithm
        },
        tdd                                 SEQUENCE {
            ul-TargetSIR                   UL-TargetSIR                OPTIONAL,
            ul-OL-PC-Signalling             CHOICE {
                broadcast-UL-OL-PC-info    NULL,
                individuallySignalled      SEQUENCE {
                    individualTS-InterferenceList    IndividualTS-InterferenceList,
                    dpch-ConstantValue            ConstantValueTdd,
                    primaryCCPCH-TX-Power        PrimaryCCPCH-TX-Power
                }
            }
        }
    }
}

```

```

}

UL-DPCH-PowerControlInfo-r4 ::= CHOICE {
  fdd SEQUENCE {
    dpcch-PowerOffset DPCCH-PowerOffset,
    pc-Preamble PC-Preamble,
    sRB-delay SRB-delay,
    -- TABULAR: TPC step size nested inside PowerControlAlgorithm
    powerControlAlgorithm PowerControlAlgorithm
  },
  tdd SEQUENCE {
    -- The IE ul-TargetSIR corresponds to PRX-DPCHdes for 1.28Mcps TDD
    -- Actual value PRX-DPCHdes = (value of IE "ul-TargetSIR" - 120)
    ul-TargetSIR UL-TargetSIR OPTIONAL,
    ul-OL-PC-Signalling CHOICE {
      broadcast-UL-OL-PC-info NULL,
      individuallySignalled SEQUENCE {
        tddOption CHOICE {
          tdd384 SEQUENCE {
            individualTS-InterferenceList IndividualTS-InterferenceList,
            dpch-ConstantValue ConstantValue
          },
          tdd128 SEQUENCE {
            tpc-StepSize TPC-StepSizeTDD
          }
        },
        primaryCCPCH-TX-Power PrimaryCCPCH-TX-Power
      }
    }
  }
}

UL-DPCH-PowerControlInfoPostFDD ::= SEQUENCE {
  -- DPCCH-PowerOffset2 has a smaller range to save bits
  dpcch-PowerOffset DPCCH-PowerOffset2,
  pc-Preamble PC-Preamble,
  sRB-delay SRB-delay
}

UL-DPCH-PowerControlInfoPostTDD ::= SEQUENCE {
  ul-TargetSIR UL-TargetSIR,
  ul-TimeslotInterference TDD-UL-Interference
}

UL-DPCH-PowerControlInfoPostTDD-LCR-r4 ::= SEQUENCE {
  -- The IE ul-TargetSIR corresponds to PRX-DPCHdes for 1.28Mcps TDD
  -- Actual value PRX-DPCHdes = (value of IE "ul-TargetSIR" - 120)
  ul-TargetSIR UL-TargetSIR
}

UL-DPCH-PowerControlInfoPredef ::= CHOICE {
  fdd SEQUENCE {
    -- TABULAR: TPC step size nested inside PowerControlAlgorithm
    powerControlAlgorithm PowerControlAlgorithm
  },
  tdd SEQUENCE {
    -- dpch-ConstantValue shall be ignored if in 1.28Mcps TDD mode.
    dpch-ConstantValue ConstantValueTdd
  }
}

UL-Interference ::= INTEGER (-110..-70)

UL-ScramblingCode ::= INTEGER (0..16777215)

UL-SynchronisationParameters-r4 ::= SEQUENCE {
  stepSize INTEGER (1..8),
  frequency INTEGER (1..8)
}

-- Actual value UL-TargetSIR = (IE value * 0.5) - 11
UL-TargetSIR ::= INTEGER (0..62)

UL-TimingAdvance ::= INTEGER (0..63)

UL-TimingAdvanceControl ::= CHOICE {
  disabled NULL,

```

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    enabled
      ul-TimingAdvance
      activationTime
    }
  }
}

UL-TimingAdvanceControl-r4 ::= CHOICE {
  disabled
  enabled
    tddOption
      tdd384
        ul-TimingAdvance
        activationTime
      },
      tdd128
        ul-SynchronisationParameters
        synchronisationParameters
      }
  }
}

UL-TimingAdvanceControl-LCR-r4 ::= CHOICE {
  disabled
  enabled
    ul-SynchronisationParameters
    synchronisationParameters
  }
}

UL-TS-ChannelisationCode ::= ENUMERATED {
  cc1-1, cc2-1, cc2-2,
  cc4-1, cc4-2, cc4-3, cc4-4,
  cc8-1, cc8-2, cc8-3, cc8-4,
  cc8-5, cc8-6, cc8-7, cc8-8,
  cc16-1, cc16-2, cc16-3, cc16-4,
  cc16-5, cc16-6, cc16-7, cc16-8,
  cc16-9, cc16-10, cc16-11, cc16-12,
  cc16-13, cc16-14, cc16-15, cc16-16 }

UL-TS-ChannelisationCodeList ::= SEQUENCE (SIZE (1..2)) OF
  UL-TS-ChannelisationCode

UplinkAdditionalTimeslots ::= SEQUENCE {
  parameters
    CHOICE {
      sameAsLast
        timeslotNumber
      },
    newParameters
      individualTimeslotInfo
      ul-TS-ChannelisationCodeList
    }
  }

UplinkAdditionalTimeslots-LCR-r4 ::= SEQUENCE {
  parameters
    CHOICE {
      sameAsLast
        timeslotNumber
      },
    newParameters
      individualTimeslotInfo
      ul-TS-ChannelisationCodeList
    }
  }

UplinkTimeslotsCodes ::= SEQUENCE {
  dynamicSFusage
  firstIndividualTimeslotInfo
  ul-TS-ChannelisationCodeList
  moreTimeslots
    noMore
    additionalTimeslots
      consecutive
        numAdditionalTimeslots
      },
    timeslotList
  }
  BOOLEAN,
  IndividualTimeslotInfo,
  UL-TS-ChannelisationCodeList,
  CHOICE {
    NULL,
    CHOICE {
      SEQUENCE {
        INTEGER (1..maxTS-1)
      }
    }
  }
  SEQUENCE (SIZE (1..maxTS-1)) OF

```

```

    }
  }
}

UplinkTimeslotsCodes-LCR-r4 ::= SEQUENCE {
  dynamicSFusage          BOOLEAN,
  firstIndividualTimeslotInfo IndividualTimeslotInfo-LCR-r4,
  ul-TS-ChannelisationCodeList UL-TS-ChannelisationCodeList,
  moreTimeslots          CHOICE {
    noMore                NULL,
    additionalTimeslots   CHOICE {
      consecutive         SEQUENCE {
        numAdditionalTimeslots INTEGER (1..maxTS-LCR-1)
      },
      timeslotList        SEQUENCE (SIZE (1..maxTS-LCR-1)) OF
        UplinkAdditionalTimeslots-LCR-r4
    }
  }
}

Wi-LCR ::= INTEGER(1..4)

-- *****
--
-- MEASUREMENT INFORMATION ELEMENTS (10.3.7)
--
-- *****

AcquisitionSatInfo ::= SEQUENCE {
  satID          SatID,
  -- Actual value dopplerOthOrder = IE value * 2.5
  dopplerOthOrder INTEGER (-2048..2047),
  extraDopplerInfo ExtraDopplerInfo OPTIONAL,
  codePhase        INTEGER (0..1022),
  integerCodePhase INTEGER (0..19),
  gps-BitNumber    INTEGER (0..3),
  codePhaseSearchWindow CodePhaseSearchWindow,
  azimuthAndElevation AzimuthAndElevation OPTIONAL
}

AcquisitionSatInfoList ::= SEQUENCE (SIZE (1..maxSat)) OF
  AcquisitionSatInfo

AdditionalMeasurementID-List ::= SEQUENCE (SIZE (1..maxAdditionalMeas)) OF
  MeasurementIdentity

AlmanacSatInfo ::= SEQUENCE {
  dataID          INTEGER (0..3),
  satID          SatID,
  e              BIT STRING (SIZE (16)),
  t-oa           BIT STRING (SIZE (8)),
  deltaI         BIT STRING (SIZE (16)),
  omegaDot       BIT STRING (SIZE (16)),
  satHealth      BIT STRING (SIZE (8)),
  a-Sqrt         BIT STRING (SIZE (24)),
  omega0         BIT STRING (SIZE (24)),
  m0             BIT STRING (SIZE (24)),
  omega          BIT STRING (SIZE (24)),
  af0            BIT STRING (SIZE (11)),
  af1            BIT STRING (SIZE (11))
}

AlmanacSatInfoList ::= SEQUENCE (SIZE (1..maxSat)) OF
  AlmanacSatInfo

AverageRLC-BufferPayload ::= ENUMERATED {
  pla0, pla4, pla8, pla16, pla32,
  pla64, pla128, pla256, pla512,
  pla1024, pla2k, pla4k, pla8k, pla16k,
  pla32k, pla64k, pla128k, pla256k,
  pla512k, pla1024k, spare12, spare11,
  spare10, spare9, spare8, spare7, spare6,
  spare5, spare4, spare3, spare2, spare1 }

AzimuthAndElevation ::= SEQUENCE {
  -- Actual value azimuth = IE value * 11.25

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```

    azimuth                INTEGER (0..31),
    -- Actual value elevation = IE value * 11.25
    elevation              INTEGER (0..7)
}

BadSatList ::=
    SEQUENCE (SIZE (1..maxSat)) OF
        INTEGER (0..63)

Frequency-Band ::=
    ENUMERATED {
        dcs1800BandUsed, pcs1900BandUsed }

BCCH-ARFCN ::=
    INTEGER (0..1023)

BLER-MeasurementResults ::=
    SEQUENCE {
        transportChannelIdentity
            TransportChannelIdentity,
        dl-TransportChannelBLER
            DL-TransportChannelBLER
    }
    OPTIONAL

BLER-MeasurementResultsList ::=
    SEQUENCE (SIZE (1..maxTrCH)) OF
        BLER-MeasurementResults

BLER-TransChIdList ::=
    SEQUENCE (SIZE (1..maxTrCH)) OF
        TransportChannelIdentity

BSIC-VerificationRequired ::=
    ENUMERATED {
        required, notRequired }

BSICReported ::=
    CHOICE {
        -- Value maxCellMeas is not allowed for verifiedBSIC
        verifiedBSIC
            INTEGER (0..maxCellMeas),
        nonVerifiedBSIC
            BCCH-ARFCN
    }

BurstModeParameters ::=
    SEQUENCE {
        burstStart
            INTEGER (0..15),
        burstLength
            INTEGER (10..25),
        burstFreq
            INTEGER (1..16)
    }

CellDCH-ReportCriteria ::=
    CHOICE {
        intraFreqReportingCriteria
            IntraFreqReportingCriteria,
        periodicalReportingCriteria
            PeriodicalReportingCriteria
    }

CellDCH-ReportCriteria-LCR-r4 ::=
    CHOICE {
        intraFreqReportingCriteria
            IntraFreqReportingCriteria-LCR-r4,
        periodicalReportingCriteria
            PeriodicalReportingCriteria
    }

-- Actual value CellIndividualOffset = IE value * 0.5
CellIndividualOffset ::=
    INTEGER (-20..20)

CellInfo ::=
    SEQUENCE {
        cellIndividualOffset
            CellIndividualOffset
            DEFAULT 0,
        referenceTimeDifferenceToCell
            ReferenceTimeDifferenceToCell
            OPTIONAL,
        modeSpecificInfo
            CHOICE {
                fdd
                    SEQUENCE {
                        primaryCPICH-Info
                            PrimaryCPICH-Info
                            OPTIONAL,
                        primaryCPICH-TX-Power
                            PrimaryCPICH-TX-Power
                            OPTIONAL,
                        readSFN-Indicator
                            BOOLEAN,
                        tx-DiversityIndicator
                            BOOLEAN
                    },
                tdd
                    SEQUENCE {
                        primaryCCPCH-Info
                            PrimaryCCPCH-Info,
                        primaryCCPCH-TX-Power
                            PrimaryCCPCH-TX-Power
                            OPTIONAL,
                        timeslotInfoList
                            TimeslotInfoList
                            OPTIONAL,
                        readSFN-Indicator
                            BOOLEAN
                    }
            }
    }

CellInfo-r4 ::=
    SEQUENCE {
        cellIndividualOffset
            CellIndividualOffset
            DEFAULT 0,
        referenceTimeDifferenceToCell
            ReferenceTimeDifferenceToCell
            OPTIONAL,
        modeSpecificInfo
            CHOICE {
                fdd
                    SEQUENCE {
                        primaryCPICH-Info
                            PrimaryCPICH-Info
                            OPTIONAL,

```



|  |   |   |
|--|---|---|
| <pre> primaryCPICH-TX-Power readSFN-Indicator tx-DiversityIndicator }, tdd primaryCCPCH-Info primaryCCPCH-TX-Power timeslotInfoList readSFN-Indicator } } } </pre>   | <pre> PrimaryCPICH-TX-Power BOOLEAN, BOOLEAN SEQUENCE { PrimaryCCPCH-Info-r4, PrimaryCCPCH-TX-Power TimeslotInfoList-r4 BOOLEAN } </pre>  | <pre> OPTIONAL, OPTIONAL, OPTIONAL, OPTIONAL, </pre>  |
| <pre> CellInfoSI-RSCP ::= cellIndividualOffset referenceTimeDifferenceToCell modeSpecificInfo fdd primaryCPICH-Info primaryCPICH-TX-Power readSFN-Indicator tx-DiversityIndicator }, tdd primaryCCPCH-Info primaryCCPCH-TX-Power timeslotInfoList readSFN-Indicator }, cellSelectionReselectionInfo } </pre> | <pre> SEQUENCE { CellIndividualOffset ReferenceTimeDifferenceToCell CHOICE { SEQUENCE { PrimaryCPICH-Info PrimaryCPICH-TX-Power BOOLEAN, BOOLEAN } SEQUENCE { PrimaryCCPCH-Info, PrimaryCCPCH-TX-Power TimeslotInfoList BOOLEAN } } CellSelectReselectInfoSIB-11-12-RSCP </pre> | <pre> DEFAULT 0, OPTIONAL, OPTIONAL, OPTIONAL, OPTIONAL, OPTIONAL, OPTIONAL, OPTIONAL, </pre> |
| <pre> CellInfoSI-RSCP-LCR-r4 ::= cellIndividualOffset referenceTimeDifferenceToCell primaryCCPCH-Info primaryCCPCH-TX-Power timeslotInfoList readSFN-Indicator cellSelectionReselectionInfo } </pre>   | <pre> SEQUENCE { CellIndividualOffset ReferenceTimeDifferenceToCell PrimaryCCPCH-Info-LCR-r4, PrimaryCCPCH-TX-Power TimeslotInfoList-LCR-r4 BOOLEAN, CellSelectReselectInfoSIB-11-12-RSCP } </pre>  | <pre> DEFAULT 0, OPTIONAL, OPTIONAL, OPTIONAL, OPTIONAL, OPTIONAL, </pre>                     |
| <pre> CellInfoSI-ECN0 ::= cellIndividualOffset referenceTimeDifferenceToCell modeSpecificInfo fdd primaryCPICH-Info primaryCPICH-TX-Power readSFN-Indicator tx-DiversityIndicator }, tdd primaryCCPCH-Info primaryCCPCH-TX-Power timeslotInfoList readSFN-Indicator }, cellSelectionReselectionInfo } </pre> | <pre> SEQUENCE { CellIndividualOffset ReferenceTimeDifferenceToCell CHOICE { SEQUENCE { PrimaryCPICH-Info PrimaryCPICH-TX-Power BOOLEAN, BOOLEAN } SEQUENCE { PrimaryCCPCH-Info, PrimaryCCPCH-TX-Power TimeslotInfoList BOOLEAN } } CellSelectReselectInfoSIB-11-12-ECN0 </pre> | <pre> DEFAULT 0, OPTIONAL, OPTIONAL, OPTIONAL, OPTIONAL, OPTIONAL, </pre>                     |
| <pre> CellInfoSI-ECN0-LCR-r4 ::= cellIndividualOffset referenceTimeDifferenceToCell primaryCCPCH-Info primaryCCPCH-TX-Power timeslotInfoList readSFN-Indicator cellSelectionReselectionInfo } </pre>   | <pre> SEQUENCE { CellIndividualOffset ReferenceTimeDifferenceToCell PrimaryCCPCH-Info-LCR-r4, PrimaryCCPCH-TX-Power TimeslotInfoList-LCR-r4 BOOLEAN, CellSelectReselectInfoSIB-11-12-ECN0 } </pre>  | <pre> DEFAULT 0, OPTIONAL, OPTIONAL, OPTIONAL, OPTIONAL, OPTIONAL, </pre>                     |
| <pre> CellInfoSI-HCS-RSCP ::= cellIndividualOffset referenceTimeDifferenceToCell modeSpecificInfo } </pre>   | <pre> SEQUENCE { CellIndividualOffset ReferenceTimeDifferenceToCell CHOICE { } } </pre>   | <pre> DEFAULT 0, OPTIONAL, </pre>   |

```

    fdd
        primaryCPICH-Info          PrimaryCPICH-Info          OPTIONAL,
        primaryCPICH-TX-Power      PrimaryCPICH-TX-Power     OPTIONAL,
        readSFN-Indicator          BOOLEAN,
        tx-DiversityIndicator      BOOLEAN
    },
    tdd
        primaryCCPCH-Info          PrimaryCCPCH-Info,
        primaryCCPCH-TX-Power      PrimaryCCPCH-TX-Power     OPTIONAL,
        timeslotInfoList           TimeslotInfoList         OPTIONAL,
        readSFN-Indicator          BOOLEAN
    }
},
cellSelectionReselectionInfo    CellSelectReselectInfoSIB-11-12-HCS-RSCP  OPTIONAL
}

CellInfoSI-HCS-RSCP-LCR-r4 ::= SEQUENCE {
    cellIndividualOffset          CellIndividualOffset          DEFAULT 0,
    referenceTimeDifferenceToCell ReferenceTimeDifferenceToCell  OPTIONAL,
    primaryCCPCH-Info             PrimaryCCPCH-Info-LCR-r4,
    primaryCCPCH-TX-Power         PrimaryCCPCH-TX-Power         OPTIONAL,
    timeslotInfoList              TimeslotInfoList-LCR-r4      OPTIONAL,
    readSFN-Indicator             BOOLEAN,
    cellSelectionReselectionInfo  CellSelectReselectInfoSIB-11-12-HCS-RSCP  OPTIONAL
}

CellInfoSI-HCS-ECN0 ::= SEQUENCE {
    cellIndividualOffset          CellIndividualOffset          DEFAULT 0,
    referenceTimeDifferenceToCell ReferenceTimeDifferenceToCell  OPTIONAL,
    modeSpecificInfo              CHOICE {
        fdd
            primaryCPICH-Info          PrimaryCPICH-Info          OPTIONAL,
            primaryCPICH-TX-Power      PrimaryCPICH-TX-Power     OPTIONAL,
            readSFN-Indicator          BOOLEAN,
            tx-DiversityIndicator      BOOLEAN
        },
        tdd
            primaryCCPCH-Info          PrimaryCCPCH-Info,
            primaryCCPCH-TX-Power      PrimaryCCPCH-TX-Power     OPTIONAL,
            timeslotInfoList           TimeslotInfoList         OPTIONAL,
            readSFN-Indicator          BOOLEAN
        }
    },
    cellSelectionReselectionInfo    CellSelectReselectInfoSIB-11-12-HCS-ECN0  OPTIONAL
}

CellInfoSI-HCS-ECN0-LCR-r4 ::= SEQUENCE {
    cellIndividualOffset          CellIndividualOffset          DEFAULT 0,
    referenceTimeDifferenceToCell ReferenceTimeDifferenceToCell  OPTIONAL,
    primaryCCPCH-Info             PrimaryCCPCH-Info-LCR-r4,
    primaryCCPCH-TX-Power         PrimaryCCPCH-TX-Power         OPTIONAL,
    timeslotInfoList              TimeslotInfoList-LCR-r4      OPTIONAL,
    readSFN-Indicator             BOOLEAN,
    cellSelectionReselectionInfo  CellSelectReselectInfoSIB-11-12-HCS-ECN0  OPTIONAL
}

CellMeasuredResults ::= SEQUENCE {
    cellIdentity                  CellIdentity                  OPTIONAL,
    -- dummy is not used in this version of the specification, it should
    -- not be sent and if received it should be ignored.
    dummy                         SFN-SFN-ObsTimeDifference     OPTIONAL,
    cellSynchronisationInfo       CellSynchronisationInfo       OPTIONAL,
    modeSpecificInfo              CHOICE {
        fdd
            primaryCPICH-Info          PrimaryCPICH-Info,
            cpich-Ec-N0                CPICH-Ec-N0                OPTIONAL,
            cpich-RSCP                  CPICH-RSCP                  OPTIONAL,
            pathloss                    Pathloss                    OPTIONAL
        },
        tdd
            cellParametersID           CellParametersID,
            proposedTGSN               TGSN                        OPTIONAL,
            primaryCCPCH-RSCP          PrimaryCCPCH-RSCP           OPTIONAL,
            pathloss                    Pathloss                    OPTIONAL,
            timeslotISCP-List          TimeslotISCP-List          OPTIONAL
        }
    }
}

```

```

CellMeasurementEventResults ::= CHOICE {
  fdd SEQUENCE (SIZE (1..maxCellMeas)) OF
      PrimaryCPICH-Info,
  tdd SEQUENCE (SIZE (1..maxCellMeas)) OF
      PrimaryCCPCH-Info
}

CellMeasurementEventResults-LCR-r4 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
  PrimaryCCPCH-Info-LCR-r4

CellReportingQuantities ::= SEQUENCE {
  -- dummy is not used in this version of the specification, it should
  -- not be sent and if received it should be ignored.
  dummy SFN-SFN-OTD-Type,
  cellIdentity-reportingIndicator BOOLEAN,
  cellSynchronisationInfoReportingIndicator BOOLEAN,
  modeSpecificInfo CHOICE {
    fdd SEQUENCE {
      cpich-Ec-N0-reportingIndicator BOOLEAN,
      cpich-RSCP-reportingIndicator BOOLEAN,
      pathloss-reportingIndicator BOOLEAN
    },
    tdd SEQUENCE {
      timeslotISCP-reportingIndicator BOOLEAN,
      proposedTGSN-ReportingRequired BOOLEAN,
      primaryCCPCH-RSCP-reportingIndicator BOOLEAN,
      pathloss-reportingIndicator BOOLEAN
    }
  }
}

CellSelectReselectInfoSIB-11-12 ::= SEQUENCE {
  q-Offset1S-N Q-OffsetS-N DEFAULT 0,
  q-Offset2S-N Q-OffsetS-N OPTIONAL,
  maxAllowedUL-TX-Power MaxAllowedUL-TX-Power OPTIONAL,
  hcs-NeighbouringCellInformation-RSCP HCS-NeighbouringCellInformation-RSCP
  OPTIONAL,
  modeSpecificInfo CHOICE {
    fdd SEQUENCE {
      q-QualMin Q-QualMin OPTIONAL,
      q-RxlevMin Q-RxlevMin OPTIONAL
    },
    tdd SEQUENCE {
      q-RxlevMin Q-RxlevMin OPTIONAL
    },
    gsm SEQUENCE {
      q-RxlevMin Q-RxlevMin OPTIONAL
    }
  }
}

CellSelectReselectInfoSIB-11-12-RSCP ::= SEQUENCE {
  q-OffsetS-N Q-OffsetS-N DEFAULT 0,
  maxAllowedUL-TX-Power MaxAllowedUL-TX-Power OPTIONAL,
  modeSpecificInfo CHOICE {
    fdd SEQUENCE {
      q-QualMin Q-QualMin OPTIONAL,
      q-RxlevMin Q-RxlevMin OPTIONAL
    },
    tdd SEQUENCE {
      q-RxlevMin Q-RxlevMin OPTIONAL
    },
    gsm SEQUENCE {
      q-RxlevMin Q-RxlevMin OPTIONAL
    }
  }
}

CellSelectReselectInfoSIB-11-12-ECN0 ::= SEQUENCE {
  q-Offset1S-N Q-OffsetS-N DEFAULT 0,
  q-Offset2S-N Q-OffsetS-N DEFAULT 0,
  maxAllowedUL-TX-Power MaxAllowedUL-TX-Power OPTIONAL,
  modeSpecificInfo CHOICE {
    fdd SEQUENCE {
      q-QualMin Q-QualMin OPTIONAL,
      q-RxlevMin Q-RxlevMin OPTIONAL
    },
  },
}

```

```

        tdd                SEQUENCE {
            q-RxlevMin      Q-RxlevMin                OPTIONAL
        },
        gsm                SEQUENCE {
            q-RxlevMin      Q-RxlevMin                OPTIONAL
        }
    }
}

CellSelectReselectInfoSIB-11-12-HCS-RSCP ::= SEQUENCE {
    q-OffsetS-N            Q-OffsetS-N                DEFAULT 0,
    maxAllowedUL-TX-Power  MaxAllowedUL-TX-Power      OPTIONAL,
    hcs-NeighbouringCellInformation-RSCP  HCS-NeighbouringCellInformation-RSCP
    OPTIONAL,
    modeSpecificInfo      CHOICE {
        fdd                SEQUENCE {
            q-QualMin      Q-QualMin                OPTIONAL,
            q-RxlevMin      Q-RxlevMin                OPTIONAL
        },
        tdd                SEQUENCE {
            q-RxlevMin      Q-RxlevMin                OPTIONAL
        },
        gsm                SEQUENCE {
            q-RxlevMin      Q-RxlevMin                OPTIONAL
        }
    }
}

CellSelectReselectInfoSIB-11-12-HCS-ECNO ::= SEQUENCE {
    q-Offset1S-N          Q-OffsetS-N                DEFAULT 0,
    q-Offset2S-N          Q-OffsetS-N                DEFAULT 0,
    maxAllowedUL-TX-Power  MaxAllowedUL-TX-Power      OPTIONAL,
    hcs-NeighbouringCellInformation-ECNO  HCS-NeighbouringCellInformation-ECNO
    OPTIONAL,
    modeSpecificInfo      CHOICE {
        fdd                SEQUENCE {
            q-QualMin      Q-QualMin                OPTIONAL,
            q-RxlevMin      Q-RxlevMin                OPTIONAL
        },
        tdd                SEQUENCE {
            q-RxlevMin      Q-RxlevMin                OPTIONAL
        },
        gsm                SEQUENCE {
            q-RxlevMin      Q-RxlevMin                OPTIONAL
        }
    }
}

CellsForInterFreqMeasList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    InterFreqCellID
CellsForInterRATMeasList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    InterRATCellID
CellsForIntraFreqMeasList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    IntraFreqCellID

CellSynchronisationInfo ::= SEQUENCE {
    modeSpecificInfo      CHOICE {
        fdd                SEQUENCE {
            countC-SFN-Frame-difference  CountC-SFN-Frame-difference  OPTIONAL,
            tm              INTEGER(0..38399)
        },
        tdd                SEQUENCE {
            countC-SFN-Frame-difference  CountC-SFN-Frame-difference  OPTIONAL
        }
    }
}

CellToReport ::= SEQUENCE {
    bsicReported          BSICReported
}

CellToReportList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    CellToReport

CodePhaseSearchWindow ::= ENUMERATED {
    w1023, w1, w2, w3, w4, w6, w8,
    w12, w16, w24, w32, w48, w64,
    w96, w128, w192 }

```

```

CountC-SFN-Frame-difference ::= SEQUENCE {
  -- Actual value countC-SFN-High = IE value * 256
  countC-SFN-High      INTEGER(0..15),
  off                  INTEGER(0..255)
}

-- SPARE: CPICH-Ec-No, Max = 49
-- Values above Max are spare
CPICH-Ec-NO ::=          INTEGER (0..63)

-- SPARE: CPICH- RSCP, Max = 91
-- Values above Max are spare
CPICH-RSCP ::=          INTEGER (0..127)

DeltaPRC ::=            INTEGER (-127..127)

-- Actual value DeltaRRC = IE value * 0.032
DeltaRRC ::=           INTEGER (-7..7)

DGPS-CorrectionSatInfo ::=          SEQUENCE {
  satID                SatID,
  iode                 IODE,
  udre                 UDRE,
  prc                  PRC,
  rrc                  RRC,
  -- dummy1 and dummy2 are not used in this version of the specification and should be ignored.
  dummy1               DeltaPRC,
  dummy2               DeltaRRC,
  -- dummy3 and dummy4 are not used in this version of the specification. They should not
  -- be sent and if received they should be ignored.
  dummy3               DeltaPRC      OPTIONAL,
  dummy4               DeltaRRC      OPTIONAL
}

DGPS-CorrectionSatInfoList ::=      SEQUENCE (SIZE (1..maxSat)) OF
  DGPS-CorrectionSatInfo

DiffCorrectionStatus ::=            ENUMERATED {
  udre-1-0, udre-0-75, udre-0-5, udre-0-3,
  udre-0-2, udre-0-1, noData, invalidData }

DL-TransportChannelBLER ::=        INTEGER (0..63)

DopplerUncertainty ::=             ENUMERATED {
  hz12-5, hz25, hz50, hz100, hz200,
  spare3, spare2, spare1 }

EllipsoidPoint ::=                SEQUENCE {
  latitudeSign         ENUMERATED { north, south },
  latitude             INTEGER (0..8388607),
  longitude            INTEGER (-8388608..8388607)
}

EllipsoidPointAltitude ::=         SEQUENCE {
  latitudeSign         ENUMERATED { north, south },
  latitude             INTEGER (0..8388607),
  longitude            INTEGER (-8388608..8388607),
  altitudeDirection   ENUMERATED {height, depth},
  altitude             INTEGER (0..32767)
}

EllipsoidPointAltitudeEllipsoide ::= SEQUENCE {
  latitudeSign         ENUMERATED { north, south },
  latitude             INTEGER (0..8388607),
  longitude            INTEGER (-8388608..8388607),
  altitudeDirection   ENUMERATED {height, depth},
  altitude             INTEGER (0..32767),
  uncertaintySemiMajor INTEGER (0..127),
  uncertaintySemiMinor INTEGER (0..127),
  -- Actual value orientationMajorAxis = IE value * 2
  orientationMajorAxis INTEGER (0..89),
  uncertaintyAltitude  INTEGER (0..127),
  confidence            INTEGER (0..100)
}

```

```

EllipsoidPointUncertCircle ::= SEQUENCE {
    latitudeSign      ENUMERATED { north, south },
    latitude          INTEGER (0..8388607),
    longitude         INTEGER (-8388608..8388607),
    uncertaintyCode   INTEGER (0..127)
}

EllipsoidPointUncertEllipse ::= SEQUENCE {
    latitudeSign      ENUMERATED { north, south },
    latitude          INTEGER (0..8388607),
    longitude         INTEGER (-8388608..8388607),
    uncertaintySemiMajor   INTEGER (0..127),
    uncertaintySemiMinor   INTEGER (0..127),
    -- Actual value orientationMajorAxis = IE value * 2
    orientationMajorAxis   INTEGER (0..89),
    confidence             INTEGER (0..100)
}

EnvironmentCharacterisation ::= ENUMERATED {
    possibleHeavyMultipathNLOS,
    lightMultipathLOS,
    notDefined,
    spare }

Event1a ::= SEQUENCE {
    triggeringCondition      TriggeringCondition2,
    reportingRange          ReportingRange,
    forbiddenAffectCellList ForbiddenAffectCellList      OPTIONAL,
    w                       W,
    reportDeactivationThreshold ReportDeactivationThreshold,
    reportingAmount         ReportingAmount,
    reportingInterval       ReportingInterval
}

Event1a-r4 ::= SEQUENCE {
    triggeringCondition      TriggeringCondition2,
    reportingRange          ReportingRange,
    forbiddenAffectCellList ForbiddenAffectCellList-r4    OPTIONAL,
    w                       W,
    reportDeactivationThreshold ReportDeactivationThreshold,
    reportingAmount         ReportingAmount,
    reportingInterval       ReportingInterval
}

Event1a-LCR-r4 ::= SEQUENCE {
    triggeringCondition      TriggeringCondition2,
    reportingRange          ReportingRange,
    forbiddenAffectCellList ForbiddenAffectCellList-LCR-r4  OPTIONAL,
    w                       W,
    reportDeactivationThreshold ReportDeactivationThreshold,
    reportingAmount         ReportingAmount,
    reportingInterval       ReportingInterval
}

Event1b ::= SEQUENCE {
    triggeringCondition      TriggeringCondition1,
    reportingRange          ReportingRange,
    forbiddenAffectCellList ForbiddenAffectCellList      OPTIONAL,
    w                       W
}

Event1b-r4 ::= SEQUENCE {
    triggeringCondition      TriggeringCondition1,
    reportingRange          ReportingRange,
    forbiddenAffectCellList ForbiddenAffectCellList-r4    OPTIONAL,
    w                       W
}

Event1b-LCR-r4 ::= SEQUENCE {
    triggeringCondition      TriggeringCondition1,
    reportingRange          ReportingRange,
    forbiddenAffectCellList ForbiddenAffectCellList-LCR-r4  OPTIONAL,
    w                       W
}

```

```

Event1c ::=
    replacementActivationThreshold
    reportingAmount
    reportingInterval
}
SEQUENCE {
    ReplacementActivationThreshold,
    ReportingAmount,
    ReportingInterval
}

Event1e ::=
    triggeringCondition
    thresholdUsedFrequency
}
SEQUENCE {
    TriggeringCondition2,
    ThresholdUsedFrequency
}

Event1f ::=
    triggeringCondition
    thresholdUsedFrequency
}
SEQUENCE {
    TriggeringCondition1,
    ThresholdUsedFrequency
}

Event2a ::=
    -- dummy is not used in this version of the specification and should be ignored
    dummy
    usedFreqW
    hysteresis
    timeToTrigger
    reportingCellStatus
    nonUsedFreqParameterList
}
SEQUENCE {
    Threshold,
    W,
    HysteresisInterFreq,
    TimeToTrigger,
    ReportingCellStatus
    NonUsedFreqParameterList
}
OPTIONAL,
OPTIONAL

Event2b ::=
    usedFreqThreshold
    usedFreqW
    hysteresis
    timeToTrigger
    reportingCellStatus
    nonUsedFreqParameterList
}
SEQUENCE {
    Threshold,
    W,
    HysteresisInterFreq,
    TimeToTrigger,
    ReportingCellStatus
    NonUsedFreqParameterList
}
OPTIONAL,
OPTIONAL

Event2c ::=
    hysteresis
    timeToTrigger
    reportingCellStatus
    nonUsedFreqParameterList
}
SEQUENCE {
    HysteresisInterFreq,
    TimeToTrigger,
    ReportingCellStatus
    NonUsedFreqParameterList
}
OPTIONAL,
OPTIONAL

Event2d ::=
    usedFreqThreshold
    usedFreqW
    hysteresis
    timeToTrigger
    reportingCellStatus
}
SEQUENCE {
    Threshold,
    W,
    HysteresisInterFreq,
    TimeToTrigger,
    ReportingCellStatus
}
OPTIONAL

Event2e ::=
    hysteresis
    timeToTrigger
    reportingCellStatus
    nonUsedFreqParameterList
}
SEQUENCE {
    HysteresisInterFreq,
    TimeToTrigger,
    ReportingCellStatus
    NonUsedFreqParameterList
}
OPTIONAL,
OPTIONAL

Event2f ::=
    usedFreqThreshold
    usedFreqW
    hysteresis
    timeToTrigger
    reportingCellStatus
}
SEQUENCE {
    Threshold,
    W,
    HysteresisInterFreq,
    TimeToTrigger,
    ReportingCellStatus
}
OPTIONAL

Event3a ::=
    thresholdOwnSystem
    w
    thresholdOtherSystem
    hysteresis
    timeToTrigger
    reportingCellStatus
}
SEQUENCE {
    Threshold,
    W,
    Threshold,
    Hysteresis,
    TimeToTrigger,
    ReportingCellStatus
}
OPTIONAL

Event3b ::=
    thresholdOtherSystem
    hysteresis
}
SEQUENCE {
    Threshold,
    Hysteresis,
}

```

```

    timeToTrigger                TimeToTrigger,
    reportingCellStatus          ReportingCellStatus                OPTIONAL
}

Event3c ::=
    thresholdOtherSystem
    hysteresis
    timeToTrigger
    reportingCellStatus
SEQUENCE {
    Threshold,
    Hysteresis,
    TimeToTrigger,
    ReportingCellStatus                OPTIONAL
}

Event3d ::=
    hysteresis
    timeToTrigger
    reportingCellStatus
SEQUENCE {
    Hysteresis,
    TimeToTrigger,
    ReportingCellStatus                OPTIONAL
}

EventIDInterFreq ::=
ENUMERATED {
    e2a, e2b, e2c, e2d, e2e, e2f, spare2, spare1 }

EventIDInterRAT ::=
ENUMERATED {
    e3a, e3b, e3c, e3d }

EventIDIntraFreq ::=
ENUMERATED {
    e1a, e1b, e1c, e1d, e1e,
    e1f, e1g, e1h, e1i, spare7,
    spare6, spare5, spare4, spare3, spare2,
    spare1 }

EventResults ::=
    intraFreqEventResults
    interFreqEventResults
    interRATEventResults
    trafficVolumeEventResults
    qualityEventResults
    ue-InternalEventResults
    ue-positioning-MeasurementEventResults
    spare
CHOICE {
    IntraFreqEventResults,
    InterFreqEventResults,
    InterRATEventResults,
    TrafficVolumeEventResults,
    QualityEventResults,
    UE-InternalEventResults,
    UE-Positioning-MeasurementEventResults,
    NULL
}

ExtraDopplerInfo ::=
    -- Actual value doppler1stOrder = IE value * 0.023
    doppler1stOrder
    dopplerUncertainty
SEQUENCE {
    INTEGER (-42..21),
    DopplerUncertainty
}

FACH-MeasurementOccasionInfo ::=
    fACH-meas-occasion-coeff
    inter-freq-FDD-meas-ind
    -- inter-freq-TDD-meas-ind is for 3.84Mcps TDD. For 1.28Mcps TDD, the IE in
    -- FACH-MeasurementOccasionInfo-LCR-r4-ext is used.
    inter-freq-TDD-meas-ind
    inter-RAT-meas-ind
SEQUENCE {
    INTEGER (1..12)                OPTIONAL,
    BOOLEAN,
    BOOLEAN,
    SEQUENCE (SIZE (1..maxOtherRAT)) OF
        RAT-Type                OPTIONAL
}

FACH-MeasurementOccasionInfo-LCR-r4-ext ::= SEQUENCE {
    inter-freq-TDD128-meas-ind    BOOLEAN
}

FilterCoefficient ::=
ENUMERATED {
    fc0, fc1, fc2, fc3, fc4, fc5,
    fc6, fc7, fc8, fc9, fc11, fc13,
    fc15, fc17, fc19, spare1 }

-- Actual value FineSFN-SFN = IE value * 0.0625
FineSFN-SFN ::=
INTEGER (0..15)

ForbiddenAffectCell ::=
    fdd
    tdd
CHOICE {
    PrimaryCPICH-Info,
    PrimaryCCPCH-Info
}

ForbiddenAffectCell-r4 ::=
    fdd
    tdd
CHOICE {
    PrimaryCPICH-Info,
    PrimaryCCPCH-Info-r4
}

```



```

ForbiddenAffectCell-LCR-r4 ::= SEQUENCE {
    tdd
}

ForbiddenAffectCellList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    ForbiddenAffectCell

ForbiddenAffectCellList-r4 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    ForbiddenAffectCell-r4

ForbiddenAffectCellList-LCR-r4 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    ForbiddenAffectCell-LCR-r4

FreqQualityEstimateQuantity-FDD ::= ENUMERATED {
    cpich-Ec-N0,
    cpich-RSCP }

FreqQualityEstimateQuantity-TDD ::= ENUMERATED {
    primaryCCPCH-RSCP }

GPS-MeasurementParam ::= SEQUENCE {
    satelliteID          INTEGER (0..63),
    c-N0                 INTEGER (0..63),
    doppler              INTEGER (-32768..32768),
    wholeGPS-Chips       INTEGER (0..1022),
    fractionalGPS-Chips  INTEGER (0..1023),
    multipathIndicator   MultipathIndicator,
    pseudorangeRMS-Error INTEGER (0..63)
}

GPS-MeasurementParamList ::= SEQUENCE (SIZE (1..maxSat)) OF
    GPS-MeasurementParam

GSM-CarrierRSSI ::= BIT STRING (SIZE (6))

GSM-MeasuredResults ::= SEQUENCE {
    gsm-CarrierRSSI          GSM-CarrierRSSI          OPTIONAL,
    -- dummy is not used in this version of the specification, it should
    -- not be sent and if received it should be ignored.
    dummy                    INTEGER (46..173)        OPTIONAL,
    bsicReported             BSICReported,            OPTIONAL,
    observedTimeDifferenceToGSM ObservedTimeDifferenceToGSM OPTIONAL
}

GSM-MeasuredResultsList ::= SEQUENCE (SIZE (1..maxReportedGSMCells)) OF
    GSM-MeasuredResults

GPS-TOW-1msec ::= INTEGER (0..604799999)

GPS-TOW-Assist ::= SEQUENCE {
    satID                    SatID,
    tlm-Message              BIT STRING (SIZE (14)),
    tlm-Reserved             BIT STRING (SIZE (2)),
    alert                    BOOLEAN,
    antiSpooF                BOOLEAN
}

GPS-TOW-AssistList ::= SEQUENCE (SIZE (1..maxSat)) OF
    GPS-TOW-Assist

HCS-CellReselectInformation-RSCP ::= SEQUENCE {
    -- TABULAR: The default value for penaltyTime is "notUsed"
    -- Temporary offset is nested inside PenaltyTime-RSCP
    penaltyTime              PenaltyTime-RSCP
}

HCS-CellReselectInformation-ECN0 ::= SEQUENCE {
    -- TABULAR: The default value for penaltyTime is "notUsed"
    -- Temporary offset is nested inside PenaltyTime-ECN0
    penaltyTime              PenaltyTime-ECN0
}

HCS-NeighbouringCellInformation-RSCP ::= SEQUENCE {
    hcs-PRIO                 HCS-PRIO                 DEFAULT 0,
    q-HCS                    Q-HCS                    DEFAULT 0,
    hcs-CellReselectInformation HCS-CellReselectInformation-RSCP
}

```

```

}

HCS-NeighbouringCellInformation-ECNO ::= SEQUENCE {
    hcs-PRIO          HCS-PRIO          DEFAULT 0,
    q-HCS            Q-HCS            DEFAULT 0,
    hcs-CellReselectInformation  HCS-CellReselectInformation-ECNO
}

HCS-PRIO ::=
    INTEGER (0..7)

HCS-ServingCellInformation ::= SEQUENCE {
    hcs-PRIO          HCS-PRIO          DEFAULT 0,
    q-HCS            Q-HCS            DEFAULT 0,
    t-CR-Max        T-CR-Max          OPTIONAL
}

-- Actual value Hysteresis = IE value * 0.5
Hysteresis ::=
    INTEGER (0..15)

-- Actual value HysteresisInterFreq = IE value * 0.5
HysteresisInterFreq ::=
    INTEGER (0..29)

InterFreqCell ::= SEQUENCE {
    frequencyInfo    FrequencyInfo,
    nonFreqRelatedEventResults  CellMeasurementEventResults
}

InterFreqCell-LCR-r4 ::= SEQUENCE {
    frequencyInfo    FrequencyInfo,
    nonFreqRelatedEventResults  CellMeasurementEventResults-LCR-r4
}

InterFreqCellID ::=
    INTEGER (0..maxCellMeas-1)

InterFreqCellInfoList ::= SEQUENCE {
    removedInterFreqCellList  RemovedInterFreqCellList  OPTIONAL,
    newInterFreqCellList      NewInterFreqCellList      OPTIONAL,
    cellsForInterFreqMeasList  CellsForInterFreqMeasList  OPTIONAL
}

InterFreqCellInfoList-r4 ::= SEQUENCE {
    removedInterFreqCellList  RemovedInterFreqCellList  OPTIONAL,
    newInterFreqCellList-r4  NewInterFreqCellList-r4  OPTIONAL,
    cellsForInterFreqMeasList  CellsForInterFreqMeasList  OPTIONAL
}

InterFreqCellInfoSI-List-RSCP ::= SEQUENCE {
    removedInterFreqCellList  RemovedInterFreqCellList  OPTIONAL,
    newInterFreqCellList      NewInterFreqCellSI-List-RSCP  OPTIONAL
}

InterFreqCellInfoSI-List-ECNO ::= SEQUENCE {
    removedInterFreqCellList  RemovedInterFreqCellList  OPTIONAL,
    newInterFreqCellList      NewInterFreqCellSI-List-ECNO  OPTIONAL
}

InterFreqCellInfoSI-List-HCS-RSCP ::= SEQUENCE {
    removedInterFreqCellList  RemovedInterFreqCellList  OPTIONAL,
    newInterFreqCellList      NewInterFreqCellSI-List-HCS-RSCP  OPTIONAL
}

InterFreqCellInfoSI-List-HCS-ECNO ::= SEQUENCE {
    removedInterFreqCellList  RemovedInterFreqCellList  OPTIONAL,
    newInterFreqCellList      NewInterFreqCellSI-List-HCS-ECNO  OPTIONAL
}

InterFreqCellInfoSI-List-RSCP-LCR ::= SEQUENCE {
    removedInterFreqCellList  RemovedInterFreqCellList  OPTIONAL,
    newInterFreqCellList      NewInterFreqCellSI-List-RSCP-LCR-r4  OPTIONAL
}

InterFreqCellInfoSI-List-ECNO-LCR ::= SEQUENCE {
    removedInterFreqCellList  RemovedInterFreqCellList  OPTIONAL,
    newInterFreqCellList      NewInterFreqCellSI-List-ECNO-LCR-r4  OPTIONAL
}

InterFreqCellInfoSI-List-HCS-RSCP-LCR ::= SEQUENCE {
    removedInterFreqCellList  RemovedInterFreqCellList  OPTIONAL,
    newInterFreqCellList      NewInterFreqCellSI-List-HCS-RSCP-LCR-r4  OPTIONAL
}

```

```

}
InterFreqCellInfoSI-List-HCS-ECNO-LCR ::= SEQUENCE {
    removedInterFreqCellList      RemovedInterFreqCellList      OPTIONAL,
    newInterFreqCellList           NewInterFreqCellSI-List-HCS-ECNO-LCR-r4 OPTIONAL
}

InterFreqCellList ::= SEQUENCE (SIZE (1..maxFreq)) OF
    InterFreqCell

InterFreqCellList-LCR-r4-ext ::= SEQUENCE (SIZE (1..maxFreq)) OF
    InterFreqCell-LCR-r4

InterFreqCellMeasuredResultsList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    CellMeasuredResults

InterFreqEvent ::= CHOICE {
    event2a      Event2a,
    event2b      Event2b,
    event2c      Event2c,
    event2d      Event2d,
    event2e      Event2e,
    event2f      Event2f
}

InterFreqEventList ::= SEQUENCE (SIZE (1..maxMeasEvent)) OF
    InterFreqEvent

InterFreqEventResults ::= SEQUENCE {
    eventID      EventIDInterFreq,
    interFreqCellList      InterFreqCellList      OPTIONAL
}

InterFreqEventResults-LCR-r4-ext ::= SEQUENCE {
    eventID      EventIDInterFreq,
    interFreqCellList      InterFreqCellList-LCR-r4-ext      OPTIONAL
}

InterFreqMeasQuantity ::= SEQUENCE {
    reportingCriteria      CHOICE {
        intraFreqReportingCriteria      SEQUENCE {
            intraFreqMeasQuantity      IntraFreqMeasQuantity
        },
        interFreqReportingCriteria      SEQUENCE {
            filterCoefficient      FilterCoefficient      DEFAULT fc0,
            modeSpecificInfo      CHOICE {
                fdd      SEQUENCE {
                    freqQualityEstimateQuantity-FDD      FreqQualityEstimateQuantity-FDD
                },
                tdd      SEQUENCE {
                    freqQualityEstimateQuantity-TDD      FreqQualityEstimateQuantity-TDD
                }
            }
        }
    }
}

InterFreqMeasuredResults ::= SEQUENCE {
    frequencyInfo      FrequencyInfo      OPTIONAL,
    ultra-CarrierRSSI      UTRA-CarrierRSSI      OPTIONAL,
    interFreqCellMeasuredResultsList      InterFreqCellMeasuredResultsList      OPTIONAL
}

InterFreqMeasuredResultsList ::= SEQUENCE (SIZE (1..maxFreq)) OF
    InterFreqMeasuredResults

InterFreqMeasurementSysInfo-RSCP ::= SEQUENCE {
    interFreqCellInfoSI-List      InterFreqCellInfoSI-List-RSCP      OPTIONAL
}

InterFreqMeasurementSysInfo-ECNO ::= SEQUENCE {
    interFreqCellInfoSI-List      InterFreqCellInfoSI-List-ECNO      OPTIONAL
}

InterFreqMeasurementSysInfo-HCS-RSCP ::= SEQUENCE {
    interFreqCellInfoSI-List      InterFreqCellInfoSI-List-HCS-RSCP      OPTIONAL
}

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```

InterFreqMeasurementSysInfo-HCS-ECNO ::= SEQUENCE {
    interFreqCellInfoSI-List          InterFreqCellInfoSI-List-HCS-ECNO    OPTIONAL
}

InterFreqMeasurementSysInfo-RSCP-LCR-r4 ::= SEQUENCE {
    interFreqCellInfoSI-List          InterFreqCellInfoSI-List-RSCP-LCR    OPTIONAL
}

InterFreqMeasurementSysInfo-ECNO-LCR-r4 ::= SEQUENCE {
    interFreqCellInfoSI-List          InterFreqCellInfoSI-List-ECNO-LCR    OPTIONAL
}

InterFreqMeasurementSysInfo-HCS-RSCP-LCR-r4 ::= SEQUENCE {
    interFreqCellInfoSI-List          InterFreqCellInfoSI-List-HCS-RSCP-LCR    OPTIONAL
}

InterFreqMeasurementSysInfo-HCS-ECNO-LCR-r4 ::= SEQUENCE {
    interFreqCellInfoSI-List          InterFreqCellInfoSI-List-HCS-ECNO-LCR    OPTIONAL
}

InterFreqReportCriteria ::= CHOICE {
    intraFreqReportingCriteria        IntraFreqReportingCriteria,
    interFreqReportingCriteria        InterFreqReportingCriteria,
    periodicalReportingCriteria        PeriodicalWithReportingCellStatus,
    noReporting                        ReportingCellStatusOpt
}

InterFreqReportCriteria-r4 ::= CHOICE {
    intraFreqReportingCriteria-r4      IntraFreqReportingCriteria-r4,
    interFreqReportingCriteria-r4      InterFreqReportingCriteria,
    periodicalReportingCriteria-r4      PeriodicalWithReportingCellStatus,
    noReporting                        ReportingCellStatusOpt
}

InterFreqReportingCriteria ::= SEQUENCE {
    interFreqEventList                InterFreqEventList                OPTIONAL
}

InterFreqReportingQuantity ::= SEQUENCE {
    ultra-Carrier-RSSI                BOOLEAN,
    frequencyQualityEstimate           BOOLEAN,
    nonFreqRelatedQuantities           CellReportingQuantities
}

InterFrequencyMeasurement ::= SEQUENCE {
    interFreqCellInfoList              InterFreqCellInfoList,
    interFreqMeasQuantity              InterFreqMeasQuantity              OPTIONAL,
    interFreqReportingQuantity         InterFreqReportingQuantity         OPTIONAL,
    measurementValidity                MeasurementValidity                OPTIONAL,
    interFreqSetUpdate                 UE-AutonomousUpdateMode           OPTIONAL,
    reportCriteria                      InterFreqReportCriteria
}

InterFrequencyMeasurement-r4 ::= SEQUENCE {
    interFreqCellInfoList-r4           InterFreqCellInfoList-r4,
    interFreqMeasQuantity               InterFreqMeasQuantity               OPTIONAL,
    interFreqReportingQuantity          InterFreqReportingQuantity          OPTIONAL,
    measurementValidity                 MeasurementValidity                 OPTIONAL,
    interFreqSetUpdate                  UE-AutonomousUpdateMode            OPTIONAL,
    reportCriteria                      InterFreqReportCriteria-r4
}

InterRAT-TargetCellDescription ::= SEQUENCE {
    technologySpecificInfo              CHOICE {
        gsm                             SEQUENCE {
            bsic                         BSIC,
            frequency-band                Frequency-Band,
            bcch-ARFCN                    BCCH-ARFCN,
            ncMode                          NC-Mode                                OPTIONAL
        },
        is-2000                            NULL,
        spare2                              NULL,
        spare1                              NULL
    }
}

InterRATCellID ::= INTEGER (0..maxCellMeas-1)

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InterRATCellInfoList ::=          SEQUENCE {
    removedInterRATCellList      RemovedInterRATCellList,
    -- NOTE: Future revisions of dedicated messages including IE newInterRATCellList
    -- should use a corrected version of this IE
    newInterRATCellList          NewInterRATCellList,
    cellsForInterRATMeasList     CellsForInterRATMeasList          OPTIONAL
}

InterRATCellInfoList-B ::=        SEQUENCE {
    removedInterRATCellList      RemovedInterRATCellList,
    -- NOTE: IE newInterRATCellList should be optional. However, system information
    -- does not support message versions. Hence, this can not be corrected
    newInterRATCellList          NewInterRATCellList-B
}

InterRATCellInfoList-r4 ::=       SEQUENCE {
    removedInterRATCellList      RemovedInterRATCellList,
    newInterRATCellList          NewInterRATCellList          OPTIONAL,
    cellsForInterRATMeasList     CellsForInterRATMeasList          OPTIONAL
}

InterRATCellIndividualOffset ::=  INTEGER (-50..50)

InterRATEvent ::=                 CHOICE {
    event3a                      Event3a,
    event3b                      Event3b,
    event3c                      Event3c,
    event3d                      Event3d
}

InterRATEventList ::=             SEQUENCE (SIZE (1..maxMeasEvent)) OF
    InterRATEvent

InterRATEventResults ::=          SEQUENCE {
    eventID                      EventIDInterRAT,
    cellToReportList             CellToReportList
}

InterRATInfo ::=                  ENUMERATED {
    gsm
}

InterRATMeasQuantity ::=          SEQUENCE {
    measQuantityUTRAN-QualityEstimate  IntraFreqMeasQuantity          OPTIONAL,
    ratSpecificInfo                CHOICE {
        gsm                        SEQUENCE {
            measurementQuantity      MeasurementQuantityGSM,
            filterCoefficient        FilterCoefficient          DEFAULT fc0,
            bsic-VerificationRequired BSIC-VerificationRequired
        },
        is-2000                    SEQUENCE {
            tadd-EcIo                INTEGER (0..63),
            tcomp-EcIo               INTEGER (0..15),
            softSlope                 INTEGER (0..63)          OPTIONAL,
            addIntercept              INTEGER (0..63)          OPTIONAL
        }
    }
}

InterRATMeasuredResults ::=       CHOICE {
    gsm                           GSM-MeasuredResultsList,
    spare                          NULL
}

InterRATMeasuredResultsList ::=  SEQUENCE (SIZE (1..maxOtherRAT-16)) OF
    InterRATMeasuredResults

InterRATMeasurement ::=          SEQUENCE {
    interRATCellInfoList          InterRATCellInfoList          OPTIONAL,
    interRATMeasQuantity          InterRATMeasQuantity          OPTIONAL,
    interRATReportingQuantity     InterRATReportingQuantity    OPTIONAL,
    reportCriteria                InterRATReportCriteria
}

InterRATMeasurement-r4 ::=       SEQUENCE {
    interRATCellInfoList-r4       InterRATCellInfoList-r4      OPTIONAL,
    interRATMeasQuantity          InterRATMeasQuantity          OPTIONAL,
    interRATReportingQuantity     InterRATReportingQuantity    OPTIONAL,
}

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    reportCriteria                InterRATReportCriteria
}

InterRATMeasurementSysInfo ::= SEQUENCE {
    interRATCellInfoList        InterRATCellInfoList        OPTIONAL
}

InterRATMeasurementSysInfo-B ::= SEQUENCE {
    interRATCellInfoList        InterRATCellInfoList-B    OPTIONAL
}

InterRATReportCriteria ::= CHOICE {
    interRATReportingCriteria    InterRATReportingCriteria,
    periodicalReportingCriteria  PeriodicalWithReportingCellStatus,
    noReporting                  ReportingCellStatusOpt
}

InterRATReportingCriteria ::= SEQUENCE {
    interRATEventList           InterRATEventList        OPTIONAL
}

InterRATReportingQuantity ::= SEQUENCE {
    utran-EstimatedQuality      BOOLEAN,
    ratSpecificInfo             CHOICE {
        gsm                     SEQUENCE {
            dummy                BOOLEAN,
            observedTimeDifferenceGSM  BOOLEAN,
            gsm-Carrier-RSSI      BOOLEAN
        }
    }
}

IntraFreqCellID ::= INTEGER (0..maxCellMeas-1)

IntraFreqCellInfoList ::= SEQUENCE {
    removedIntraFreqCellList    RemovedIntraFreqCellList    OPTIONAL,
    newIntraFreqCellList        NewIntraFreqCellList        OPTIONAL,
    cellsForIntraFreqMeasList   CellsForIntraFreqMeasList   OPTIONAL
}

IntraFreqCellInfoList-r4 ::= SEQUENCE {
    removedIntraFreqCellList    RemovedIntraFreqCellList    OPTIONAL,
    newIntraFreqCellList        NewIntraFreqCellList-r4    OPTIONAL,
    cellsForIntraFreqMeasList   CellsForIntraFreqMeasList   OPTIONAL
}

IntraFreqCellInfoSI-List-RSCP ::= SEQUENCE {
    removedIntraFreqCellList    RemovedIntraFreqCellList    OPTIONAL,
    newIntraFreqCellList        NewIntraFreqCellSI-List-RSCP
}

IntraFreqCellInfoSI-List-ECNO ::= SEQUENCE {
    removedIntraFreqCellList    RemovedIntraFreqCellList    OPTIONAL,
    newIntraFreqCellList        NewIntraFreqCellSI-List-ECNO
}

IntraFreqCellInfoSI-List-HCS-RSCP ::= SEQUENCE {
    removedIntraFreqCellList    RemovedIntraFreqCellList    OPTIONAL,
    newIntraFreqCellList        NewIntraFreqCellSI-List-HCS-RSCP
}

IntraFreqCellInfoSI-List-HCS-ECNO ::= SEQUENCE {
    removedIntraFreqCellList    RemovedIntraFreqCellList    OPTIONAL,
    newIntraFreqCellList        NewIntraFreqCellSI-List-HCS-ECNO
}

IntraFreqCellInfoSI-List-RSCP-LCR-r4 ::= SEQUENCE {
    removedIntraFreqCellList    RemovedIntraFreqCellList    OPTIONAL,
    newIntraFreqCellList        NewIntraFreqCellSI-List-RSCP-LCR-r4
}

IntraFreqCellInfoSI-List-ECNO-LCR-r4 ::= SEQUENCE {
    removedIntraFreqCellList    RemovedIntraFreqCellList    OPTIONAL,
    newIntraFreqCellList        NewIntraFreqCellSI-List-ECNO-LCR-r4
}

IntraFreqCellInfoSI-List-HCS-RSCP-LCR-r4 ::= SEQUENCE {
    removedIntraFreqCellList    RemovedIntraFreqCellList    OPTIONAL,

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    newIntraFreqCellList          NewIntraFreqCellSI-List-HCS-RSCP-LCR-r4
}

IntraFreqCellInfoSI-List-HCS-ECN0-LCR-r4 ::= SEQUENCE {
    removedIntraFreqCellList      RemovedIntraFreqCellList          OPTIONAL,
    newIntraFreqCellList          NewIntraFreqCellSI-List-HCS-ECN0-LCR-r4
}

IntraFreqEvent ::= CHOICE {
    e1a          Event1a,
    e1b          Event1b,
    e1c          Event1c,
    e1d          NULL,
    e1e          Event1e,
    e1f          Event1f,
    e1g          NULL,
    e1h          ThresholdUsedFrequency,
    e1i          ThresholdUsedFrequency
}

IntraFreqEvent-r4 ::= CHOICE {
    e1a-r4      Event1a-r4,
    e1b-r4      Event1b-r4,
    e1c-r4      Event1c,
    e1d-r4      NULL,
    e1e-r4      Event1e,
    e1f-r4      Event1f,
    e1g-r4      NULL,
    e1h-r4      ThresholdUsedFrequency,
    e1i-r4      ThresholdUsedFrequency
}

IntraFreqEvent-LCR-r4 ::= CHOICE {
    e1a-LCR-r4  Event1a-LCR-r4,
    e1b-LCR-r4  Event1b-LCR-r4,
    e1c-LCR-r4  Event1c,
    e1d-LCR-r4  NULL,
    e1e-LCR-r4  Event1e,
    e1f-LCR-r4  Event1f,
    e1g-LCR-r4  NULL,
    e1h-LCR-r4  ThresholdUsedFrequency,
    e1i-LCR-r4  ThresholdUsedFrequency
}

IntraFreqEventCriteria ::= SEQUENCE {
    event          IntraFreqEvent,
    hysteresis     Hysteresis,
    timeToTrigger  TimeToTrigger,
    reportingCellStatus ReportingCellStatus          OPTIONAL
}

IntraFreqEventCriteria-r4 ::= SEQUENCE {
    event          IntraFreqEvent-r4,
    hysteresis     Hysteresis,
    timeToTrigger  TimeToTrigger,
    reportingCellStatus ReportingCellStatus          OPTIONAL
}

IntraFreqEventCriteria-LCR-r4 ::= SEQUENCE {
    event          IntraFreqEvent-LCR-r4,
    hysteresis     Hysteresis,
    timeToTrigger  TimeToTrigger,
    reportingCellStatus ReportingCellStatus          OPTIONAL
}

IntraFreqEventCriteriaList ::= SEQUENCE (SIZE (1..maxMeasEvent)) OF
    IntraFreqEventCriteria

IntraFreqEventCriteriaList-r4 ::= SEQUENCE (SIZE (1..maxMeasEvent)) OF
    IntraFreqEventCriteria-r4

IntraFreqEventCriteriaList-LCR-r4 ::= SEQUENCE (SIZE (1..maxMeasEvent)) OF
    IntraFreqEventCriteria-LCR-r4

IntraFreqEventResults ::= SEQUENCE {
    eventID        EventIDIntraFreq,
    cellMeasurementEventResults CellMeasurementEventResults
}

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IntraFreqMeasQuantity ::=          SEQUENCE {
    filterCoefficient              FilterCoefficient              DEFAULT fc0,
    modeSpecificInfo              CHOICE {
        fdd                       SEQUENCE {
            intraFreqMeasQuantity-FDD  IntraFreqMeasQuantity-FDD
        },
        tdd                       SEQUENCE {
            intraFreqMeasQuantity-TDDList  IntraFreqMeasQuantity-TDDList
        }
    }
}

-- If IntraFreqMeasQuantity-FDD is used in InterRATMeasQuantity, then only
-- cpich-Ec-N0 and cpich-RSCP are allowed.
-- dummy is not used in this version of the specification, it should
-- not be sent and if received it should be ignored.
IntraFreqMeasQuantity-FDD ::=      ENUMERATED {
    cpich-Ec-N0,
    cpich-RSCP,
    pathloss,
    dummy }

-- dummy is not used in this version of the specification, it should
-- not be sent and if received it should be ignored.
IntraFreqMeasQuantity-TDD ::=      ENUMERATED {
    primaryCCPCH-RSCP,
    pathloss,
    timeslotISCP,
    dummy }

IntraFreqMeasQuantity-TDDList ::=  SEQUENCE (SIZE (1..4)) OF
    IntraFreqMeasQuantity-TDD

IntraFreqMeasuredResultsList ::=   SEQUENCE (SIZE (1..maxCellMeas)) OF
    CellMeasuredResults

IntraFreqMeasurementSysInfo-RSCP ::= SEQUENCE {
    intraFreqMeasurementID         MeasurementIdentity          DEFAULT 1,
    intraFreqCellInfoSI-List       IntraFreqCellInfoSI-List-RSCP  OPTIONAL,
    intraFreqMeasQuantity          IntraFreqMeasQuantity          OPTIONAL,
    intraFreqReportingQuantityForRACH  IntraFreqReportingQuantityForRACH  OPTIONAL,
    maxReportedCellsOnRACH         MaxReportedCellsOnRACH          OPTIONAL,
    reportingInfoForCellDCH        ReportingInfoForCellDCH         OPTIONAL
}

IntraFreqMeasurementSysInfo-ECNO ::= SEQUENCE {
    intraFreqMeasurementID         MeasurementIdentity          DEFAULT 1,
    intraFreqCellInfoSI-List       IntraFreqCellInfoSI-List-ECNO  OPTIONAL,
    intraFreqMeasQuantity          IntraFreqMeasQuantity          OPTIONAL,
    intraFreqReportingQuantityForRACH  IntraFreqReportingQuantityForRACH  OPTIONAL,
    maxReportedCellsOnRACH         MaxReportedCellsOnRACH          OPTIONAL,
    reportingInfoForCellDCH        ReportingInfoForCellDCH         OPTIONAL
}

IntraFreqMeasurementSysInfo-HCS-RSCP ::= SEQUENCE {
    intraFreqMeasurementID         MeasurementIdentity          DEFAULT 1,
    intraFreqCellInfoSI-List       IntraFreqCellInfoSI-List-HCS-RSCP  OPTIONAL,
    intraFreqMeasQuantity          IntraFreqMeasQuantity          OPTIONAL,
    intraFreqReportingQuantityForRACH  IntraFreqReportingQuantityForRACH  OPTIONAL,
    maxReportedCellsOnRACH         MaxReportedCellsOnRACH          OPTIONAL,
    reportingInfoForCellDCH        ReportingInfoForCellDCH         OPTIONAL
}

IntraFreqMeasurementSysInfo-HCS-ECNO ::= SEQUENCE {
    intraFreqMeasurementID         MeasurementIdentity          DEFAULT 1,
    intraFreqCellInfoSI-List       IntraFreqCellInfoSI-List-HCS-ECNO  OPTIONAL,
    intraFreqMeasQuantity          IntraFreqMeasQuantity          OPTIONAL,
    intraFreqReportingQuantityForRACH  IntraFreqReportingQuantityForRACH  OPTIONAL,
    maxReportedCellsOnRACH         MaxReportedCellsOnRACH          OPTIONAL,
    reportingInfoForCellDCH        ReportingInfoForCellDCH         OPTIONAL
}

IntraFreqMeasurementSysInfo-RSCP-LCR-r4 ::= SEQUENCE {
    intraFreqMeasurementID         MeasurementIdentity          DEFAULT 1,
    intraFreqCellInfoSI-List       IntraFreqCellInfoSI-List-RSCP-LCR-r4  OPTIONAL,
    intraFreqMeasQuantity          IntraFreqMeasQuantity          OPTIONAL,
    intraFreqReportingQuantityForRACH  IntraFreqReportingQuantityForRACH  OPTIONAL,
}

```



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maxReportedCellsOnRACH           MaxReportedCellsOnRACH           OPTIONAL,
reportingInfoForCellDCH          ReportingInfoForCellDCH-LCR-r4    OPTIONAL
}

IntraFreqMeasurementSysInfo-ECN0-LCR-r4 ::= SEQUENCE {
  intraFreqMeasurementID          MeasurementIdentity                DEFAULT 1,
  intraFreqCellInfoSI-List        IntraFreqCellInfoSI-List-ECN0-LCR-r4  OPTIONAL,
  intraFreqMeasQuantity           IntraFreqMeasQuantity            OPTIONAL,
  intraFreqReportingQuantityForRACH IntraFreqReportingQuantityForRACH  OPTIONAL,
  maxReportedCellsOnRACH          MaxReportedCellsOnRACH           OPTIONAL,
  reportingInfoForCellDCH          ReportingInfoForCellDCH-LCR-r4    OPTIONAL
}

IntraFreqMeasurementSysInfo-HCS-RSCP-LCR-r4 ::= SEQUENCE {
  intraFreqMeasurementID          MeasurementIdentity                DEFAULT 1,
  intraFreqCellInfoSI-List        IntraFreqCellInfoSI-List-HCS-RSCP-LCR-r4  OPTIONAL,
  intraFreqMeasQuantity           IntraFreqMeasQuantity            OPTIONAL,
  intraFreqReportingQuantityForRACH IntraFreqReportingQuantityForRACH  OPTIONAL,
  maxReportedCellsOnRACH          MaxReportedCellsOnRACH           OPTIONAL,
  reportingInfoForCellDCH          ReportingInfoForCellDCH-LCR-r4    OPTIONAL
}

IntraFreqMeasurementSysInfo-HCS-ECN0-LCR-r4 ::= SEQUENCE {
  intraFreqMeasurementID          MeasurementIdentity                DEFAULT 1,
  intraFreqCellInfoSI-List        IntraFreqCellInfoSI-List-HCS-ECN0-LCR-r4  OPTIONAL,
  intraFreqMeasQuantity           IntraFreqMeasQuantity            OPTIONAL,
  intraFreqReportingQuantityForRACH IntraFreqReportingQuantityForRACH  OPTIONAL,
  maxReportedCellsOnRACH          MaxReportedCellsOnRACH           OPTIONAL,
  reportingInfoForCellDCH          ReportingInfoForCellDCH-LCR-r4    OPTIONAL
}

IntraFreqReportCriteria ::= CHOICE {
  intraFreqReportingCriteria      IntraFreqReportingCriteria,
  periodicalReportingCriteria     PeriodicalWithReportingCellStatus,
  noReporting                     ReportingCellStatusOpt
}

IntraFreqReportCriteria-r4 ::= CHOICE {
  intraFreqReportingCriteria-r4   IntraFreqReportingCriteria-r4,
  periodicalReportingCriteria     PeriodicalWithReportingCellStatus,
  noReporting                     ReportingCellStatusOpt
}

IntraFreqReportingCriteria ::= SEQUENCE {
  eventCriteriaList              IntraFreqEventCriteriaList      OPTIONAL
}

IntraFreqReportingCriteria-r4 ::= SEQUENCE {
  eventCriteriaList              IntraFreqEventCriteriaList-r4   OPTIONAL
}

IntraFreqReportingCriteria-LCR-r4 ::= SEQUENCE {
  eventCriteriaList              IntraFreqEventCriteriaList-LCR-r4  OPTIONAL
}

IntraFreqReportingQuantity ::= SEQUENCE {
  activeSetReportingQuantities    CellReportingQuantities,
  monitoredSetReportingQuantities CellReportingQuantities,
  detectedSetReportingQuantities CellReportingQuantities          OPTIONAL
}

IntraFreqReportingQuantityForRACH ::= SEQUENCE {
  sfn-SFN-OTD-Type              SFN-SFN-OTD-Type,
  modeSpecificInfo              CHOICE {
    fdd                          SEQUENCE {
      intraFreqRepQuantityRACH-FDD IntraFreqRepQuantityRACH-FDD
    },
    tdd                          SEQUENCE {
      intraFreqRepQuantityRACH-TDDList IntraFreqRepQuantityRACH-TDDList
    }
  }
}

IntraFreqRepQuantityRACH-FDD ::= ENUMERATED {
  cpich-EcN0, cpich-RSCP,
  pathloss, noReport
}

IntraFreqRepQuantityRACH-TDD ::= ENUMERATED {

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timeslotISCP,
primaryCCPCH-RSCP,
noReport }

IntraFreqRepQuantityRACH-TDDList ::= SEQUENCE (SIZE (1..2)) OF
    IntraFreqRepQuantityRACH-TDD

IntraFrequencyMeasurement ::= SEQUENCE {
    intraFreqCellInfoList          IntraFreqCellInfoList          OPTIONAL,
    intraFreqMeasQuantity          IntraFreqMeasQuantity          OPTIONAL,
    intraFreqReportingQuantity     IntraFreqReportingQuantity     OPTIONAL,
    measurementValidity            MeasurementValidity            OPTIONAL,
    reportCriteria                 IntraFreqReportCriteria        OPTIONAL
}

IntraFrequencyMeasurement-r4 ::= SEQUENCE {
    intraFreqCellInfoList-r4       IntraFreqCellInfoList-r4       OPTIONAL,
    intraFreqMeasQuantity          IntraFreqMeasQuantity          OPTIONAL,
    intraFreqReportingQuantity     IntraFreqReportingQuantity     OPTIONAL,
    measurementValidity            MeasurementValidity            OPTIONAL,
    reportCriteria-r4              IntraFreqReportCriteria-r4     OPTIONAL
}

IODE ::= INTEGER (0..255)

IP-Length ::= ENUMERATED {
    ip15, ip110 }

IP-PCCPCH-r4 ::= BOOLEAN

IP-Spacing ::= ENUMERATED {
    e5, e7, e10, e15, e20,
    e30, e40, e50 }

IP-Spacing-TDD ::= ENUMERATED {
    e30, e40, e50, e70, e100}

IS-2000SpecificMeasInfo ::= ENUMERATED {
    frequency, timeslot, colourcode,
    outputpower, pn-Offset }

MaxNumberOfReportingCellsType1 ::= ENUMERATED {
    e1, e2, e3, e4, e5, e6}

MaxNumberOfReportingCellsType2 ::= ENUMERATED {
    e1, e2, e3, e4, e5, e6, e7, e8, e9, e10, e11, e12}

MaxNumberOfReportingCellsType3 ::= ENUMERATED {
    viactCellsPlus1,
    viactCellsPlus2,
    viactCellsPlus3,
    viactCellsPlus4,
    viactCellsPlus5,
    viactCellsPlus6 }

MaxReportedCellsOnRACH ::= ENUMERATED {
    noReport,
    currentCell,
    currentAnd-1-BestNeighbour,
    currentAnd-2-BestNeighbour,
    currentAnd-3-BestNeighbour,
    currentAnd-4-BestNeighbour,
    currentAnd-5-BestNeighbour,
    currentAnd-6-BestNeighbour }

MeasuredResults ::= CHOICE {
    intraFreqMeasuredResultsList  IntraFreqMeasuredResultsList,
    interFreqMeasuredResultsList  InterFreqMeasuredResultsList,
    interRATMeasuredResultsList   InterRATMeasuredResultsList,
    trafficVolumeMeasuredResultsList TrafficVolumeMeasuredResultsList,
    qualityMeasuredResults         QualityMeasuredResults,
    ue-InternalMeasuredResults     UE-InternalMeasuredResults,
    ue-positioning-MeasuredResults UE-Positioning-MeasuredResults,
    spare                           NULL
}

MeasuredResults-v390ext ::= SEQUENCE {
    ue-positioning-MeasuredResults-v390ext    UE-Positioning-MeasuredResults-v390ext
}

```

```

}

MeasuredResults-LCR-r4 ::= CHOICE {
    intraFreqMeasuredResultsList      IntraFreqMeasuredResultsList,
    interFreqMeasuredResultsList      InterFreqMeasuredResultsList,
    interRATMeasuredResultsList       InterRATMeasuredResultsList,
    trafficVolumeMeasuredResultsList  TrafficVolumeMeasuredResultsList,
    qualityMeasuredResults             QualityMeasuredResults,
    ue-InternalMeasuredResults         UE-InternalMeasuredResults-LCR-r4,
    ue-positioning-MeasuredResults     UE-Positioning-MeasuredResults,
    spare                              NULL,
}

MeasuredResultsList ::= SEQUENCE (SIZE (1..maxAdditionalMeas)) OF
    MeasuredResults

MeasuredResultsList-LCR-r4-ext ::= SEQUENCE (SIZE (1..maxAdditionalMeas)) OF
    MeasuredResults-LCR-r4

MeasuredResultsOnRACH ::= SEQUENCE {
    currentCell          SEQUENCE {
        modeSpecificInfo CHOICE {
            fdd SEQUENCE {
                measurementQuantity CHOICE {
                    cpich-Ec-NO      CPICH-Ec-NO,
                    cpich-RSCP        CPICH-RSCP,
                    pathloss          Pathloss,
                    spare             NULL,
                }
            },
            tdd SEQUENCE {
                timeslotISCP          TimeslotISCP-List      OPTIONAL,
                primaryCCPCH-RSCP    PrimaryCCPCH-RSCP    OPTIONAL,
            }
        },
        monitoredCells              MonitoredCellRACH-List      OPTIONAL,
    }

MeasurementCommand ::= CHOICE {
    setup      MeasurementType,
    modify     SEQUENCE {
        measurementType      MeasurementType      OPTIONAL,
    },
    release    NULL,
}

MeasurementCommand-r4 ::= CHOICE {
    setup      MeasurementType-r4,
    modify     SEQUENCE {
        measurementType      MeasurementType-r4      OPTIONAL,
    },
    release    NULL,
}

MeasurementControlSysInfo ::= SEQUENCE {
    -- CHOICE cellSelectQualityMeasure represents PCCPCH-RSCP in TDD mode.
    use-of-HCS CHOICE {
        hcs-not-used SEQUENCE {
            cellSelectQualityMeasure CHOICE {
                cpich-RSCP SEQUENCE {
                    intraFreqMeasurementSysInfo      IntraFreqMeasurementSysInfo-RSCP
                },
                interFreqMeasurementSysInfo          InterFreqMeasurementSysInfo-RSCP      OPTIONAL,
            },
            cpich-Ec-NO SEQUENCE {
                intraFreqMeasurementSysInfo          IntraFreqMeasurementSysInfo-ECNO
            },
            interFreqMeasurementSysInfo              InterFreqMeasurementSysInfo-ECNO      OPTIONAL,
        },
        interRATMeasurementSysInfo                  InterRATMeasurementSysInfo-B      OPTIONAL,
    },
    hcs-used SEQUENCE {
        cellSelectQualityMeasure CHOICE {
            cpich-RSCP SEQUENCE {
                intraFreqMeasurementSysInfo          IntraFreqMeasurementSysInfo-HCS-RSCP
            },
        },
    }
}

```

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        interFreqMeasurementSysInfo      InterFreqMeasurementSysInfo-HCS-RSCP
OPTIONAL
    },
    cpich-Ec-N0      SEQUENCE {
OPTIONAL,
        intraFreqMeasurementSysInfo      IntraFreqMeasurementSysInfo-HCS-ECN0
OPTIONAL
    },
    interFreqMeasurementSysInfo      InterFreqMeasurementSysInfo-HCS-ECN0
OPTIONAL
    },
    interRATMeasurementSysInfo      InterRATMeasurementSysInfo      OPTIONAL
    },
    },
    trafficVolumeMeasSysInfo      TrafficVolumeMeasSysInfo      OPTIONAL,
    -- dummy is not used in this version of specification and it shall be ignored by the UE.
    dummy      UE-InternalMeasurementSysInfo      OPTIONAL
}

MeasurementControlSysInfo-LCR-r4-ext ::= SEQUENCE {
    -- CHOICE use-of-HCS shall have the same value as the use-of-HCS
    -- in MeasurementControlSysInfo
    -- CHOICE cellSelectQualityMeasure represents PCCPCH-RSCP in TDD mode.
    use-of-HCS      CHOICE {
        hcs-not-used      SEQUENCE {
            -- CHOICE cellSelectQualityMeasure shall have the same value as the
            -- cellSelectQualityMeasure in MeasurementControlSysInfo
            cellSelectQualityMeasure      CHOICE {
                cpich-RSCP      SEQUENCE {
                    intraFreqMeasurementSysInfo      IntraFreqMeasurementSysInfo-RSCP-LCR-r4      OPTIONAL,
                    interFreqMeasurementSysInfo      InterFreqMeasurementSysInfo-RSCP-LCR-r4      OPTIONAL
                },
                cpich-Ec-N0      SEQUENCE {
                    intraFreqMeasurementSysInfo      IntraFreqMeasurementSysInfo-ECN0-LCR-r4      OPTIONAL,
                    interFreqMeasurementSysInfo      InterFreqMeasurementSysInfo-ECN0-LCR-r4      OPTIONAL
                }
            }
        },
        hcs-used      SEQUENCE {
            -- CHOICE cellSelectQualityMeasure shall have the same value as the
            -- cellSelectQualityMeasure in MeasurementControlSysInfo
            cellSelectQualityMeasure      CHOICE {
                cpich-RSCP      SEQUENCE {
OPTIONAL,
                    intraFreqMeasurementSysInfo      IntraFreqMeasurementSysInfo-HCS-RSCP-LCR-r4
OPTIONAL,
                    interFreqMeasurementSysInfo      InterFreqMeasurementSysInfo-HCS-RSCP-LCR-r4      OPTIONAL
                },
                cpich-Ec-N0      SEQUENCE {
OPTIONAL,
                    intraFreqMeasurementSysInfo      IntraFreqMeasurementSysInfo-HCS-ECN0-LCR-r4
OPTIONAL,
                    interFreqMeasurementSysInfo      InterFreqMeasurementSysInfo-HCS-ECN0-LCR-r4      OPTIONAL
                }
            }
        }
    }
}

MeasurementIdentity ::= INTEGER (1..16)

MeasurementQuantityGSM ::= ENUMERATED {
    gsm-CarrierRSSI,
    dummy }

MeasurementReportingMode ::= SEQUENCE {
    measurementReportTransferMode      TransferMode,
    periodicalOrEventTrigger      PeriodicalOrEventTrigger
}

MeasurementType ::= CHOICE {
    intraFrequencyMeasurement      IntraFrequencyMeasurement,
    interFrequencyMeasurement      InterFrequencyMeasurement,
    interRATMeasurement      InterRATMeasurement,
    ue-positioning-Measurement      UE-Positioning-Measurement,
    trafficVolumeMeasurement      TrafficVolumeMeasurement,
    qualityMeasurement      QualityMeasurement,
    ue-InternalMeasurement      UE-InternalMeasurement
}

MeasurementType-r4 ::= CHOICE {

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    intraFrequencyMeasurement      IntraFrequencyMeasurement-r4,
    interFrequencyMeasurement      InterFrequencyMeasurement-r4,
    interRATMeasurement            InterRATMeasurement-r4,
    up-Measurement                  UE-Positioning-Measurement-r4,
    trafficVolumeMeasurement        TrafficVolumeMeasurement,
    qualityMeasurement              QualityMeasurement,
    ue-InternalMeasurement          UE-InternalMeasurement-r4
}

MeasurementValidity ::=
    ue-State                       SEQUENCE {
                                    ENUMERATED {
                                        cell-DCH, all-But-Cell-DCH, all-States }
                                    }
}

MonitoredCellRACH-List ::=
    SEQUENCE (SIZE (1..8)) OF
        MonitoredCellRACH-Result

MonitoredCellRACH-Result ::=
    sfn-SFN-ObsTimeDifference      OPTIONAL,
    modeSpecificInfo               CHOICE {
        fdd                         SEQUENCE {
            primaryCPICH-Info        PrimaryCPICH-Info,
            measurementQuantity       CHOICE {
                cpich-Ec-NO,
                cpich-RSCP,
                pathloss,
                spare
            }
        },
        tdd                         SEQUENCE {
            cellParametersID          CellParametersID,
            primaryCCPCH-RSCP         PrimaryCCPCH-RSCP
        }
    }
}

MultipathIndicator ::=
    ENUMERATED {
        nm,
        low,
        medium,
        high }

N-CR-T-CRMaxHyst ::=
    n-CR                            INTEGER (1..16)           DEFAULT 8,
    t-CRMaxHyst                      T-CRMaxHyst
}

NavigationModelSatInfo ::=
    satID                            SatID,
    satelliteStatus                   SatelliteStatus,
    ephemerisParameter                EphemerisParameter           OPTIONAL
}

NavigationModelSatInfoList ::=
    SEQUENCE (SIZE (1..maxSat)) OF
        NavigationModelSatInfo

EphemerisParameter ::=
    codeOnL2                          BIT STRING (SIZE (2)),
    uraIndex                          BIT STRING (SIZE (4)),
    satHealth                          BIT STRING (SIZE (6)),
    iodc                               BIT STRING (SIZE (10)),
    l2Pflag                            BIT STRING (SIZE (1)),
    sf1Revd                            SubFrame1Reserved,
    t-GD                              BIT STRING (SIZE (8)),
    t-oc                              BIT STRING (SIZE (16)),
    af2                                BIT STRING (SIZE (8)),
    af1                                BIT STRING (SIZE (16)),
    af0                                BIT STRING (SIZE (22)),
    c-rs                              BIT STRING (SIZE (16)),
    delta-n                            BIT STRING (SIZE (16)),
    m0                                 BIT STRING (SIZE (32)),
    c-uc                              BIT STRING (SIZE (16)),
    e                                  BIT STRING (SIZE (32)),
    c-us                              BIT STRING (SIZE (16)),
    a-Sqrt                             BIT STRING (SIZE (32)),
    t-oe                              BIT STRING (SIZE (16)),
    fitInterval                        BIT STRING (SIZE (1)),
    aodo                              BIT STRING (SIZE (5)),

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    c-ic                BIT STRING (SIZE (16)),
    omega0              BIT STRING (SIZE (32)),
    c-is                BIT STRING (SIZE (16)),
    i0                  BIT STRING (SIZE (32)),
    c-rc                BIT STRING (SIZE (16)),
    omega               BIT STRING (SIZE (32)),
    omegaDot            BIT STRING (SIZE (24)),
    iDot                BIT STRING (SIZE (14))
  }
  NC-Mode ::=          BIT STRING (SIZE (3))

  Neighbour ::=       SEQUENCE {
    modeSpecificInfo  CHOICE {
      fdd              SEQUENCE {
        neighbourIdentity          PrimaryCPICH-Info          OPTIONAL,
        ue-RX-TX-TimeDifferenceType2Info UE-RX-TX-TimeDifferenceType2Info OPTIONAL
      },
      tdd              SEQUENCE {
        neighbourAndChannelIdentity CellAndChannelIdentity    OPTIONAL
      }
    },
    neighbourQuality   NeighbourQuality,
    sfn-SFN-ObsTimeDifference2 SFN-SFN-ObsTimeDifference2
  }

  Neighbour-v390ext ::= SEQUENCE {
    modeSpecificInfo  CHOICE {
      fdd              SEQUENCE {
        frequencyInfo          FrequencyInfo
      },
      tdd              NULL
    }
  }

  NeighbourList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    Neighbour

  -- The order of the cells in IE NeighbourList-v390ext shall be the
  -- same as the order in IE NeighbourList
  NeighbourList-v390ext ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    Neighbour-v390ext

  NeighbourQuality ::= SEQUENCE {
    ue-Positioning-OTDOA-Quality UE-Positioning-OTDOA-Quality
  }

  NewInterFreqCell ::= SEQUENCE {
    interFreqCellID InterFreqCellID          OPTIONAL,
    frequencyInfo   FrequencyInfo          OPTIONAL,
    cellInfo        CellInfo
  }

  NewInterFreqCell-r4 ::= SEQUENCE {
    interFreqCellID InterFreqCellID          OPTIONAL,
    frequencyInfo   FrequencyInfo          OPTIONAL,
    cellInfo-r4     CellInfo-r4
  }

  NewInterFreqCellList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    NewInterFreqCell

  NewInterFreqCellList-r4 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    NewInterFreqCell-r4

  NewInterFreqCellSI-RSCP ::= SEQUENCE {
    interFreqCellID InterFreqCellID          OPTIONAL,
    frequencyInfo   FrequencyInfo          OPTIONAL,
    cellInfo        CellInfoSI-RSCP
  }

  NewInterFreqCellSI-ECNO ::= SEQUENCE {
    interFreqCellID InterFreqCellID          OPTIONAL,
    frequencyInfo   FrequencyInfo          OPTIONAL,
    cellInfo        CellInfoSI-ECNO
  }

  NewInterFreqCellSI-HCS-RSCP ::= SEQUENCE {
    interFreqCellID InterFreqCellID          OPTIONAL,
    frequencyInfo   FrequencyInfo          OPTIONAL,
  }

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    cellInfo          CellInfoSI-HCS-RSCP
  }

NewInterFreqCellSI-HCS-ECNO ::=
  SEQUENCE {
    interFreqCellID  InterFreqCellID          OPTIONAL,
    frequencyInfo    FrequencyInfo            OPTIONAL,
    cellInfo         CellInfoSI-HCS-ECNO
  }

NewInterFreqCellSI-RSCP-LCR-r4 ::=
  SEQUENCE {
    interFreqCellID  InterFreqCellID          OPTIONAL,
    frequencyInfo    FrequencyInfo            OPTIONAL,
    cellInfo         CellInfoSI-RSCP-LCR-r4
  }

NewInterFreqCellSI-ECNO-LCR-r4 ::=
  SEQUENCE {
    interFreqCellID  InterFreqCellID          OPTIONAL,
    frequencyInfo    FrequencyInfo            OPTIONAL,
    cellInfo         CellInfoSI-ECNO-LCR-r4
  }

NewInterFreqCellSI-HCS-RSCP-LCR-r4 ::=
  SEQUENCE {
    interFreqCellID  InterFreqCellID          OPTIONAL,
    frequencyInfo    FrequencyInfo            OPTIONAL,
    cellInfo         CellInfoSI-HCS-RSCP-LCR-r4
  }

NewInterFreqCellSI-HCS-ECNO-LCR-r4 ::=
  SEQUENCE {
    interFreqCellID  InterFreqCellID          OPTIONAL,
    frequencyInfo    FrequencyInfo            OPTIONAL,
    cellInfo         CellInfoSI-HCS-ECNO-LCR-r4
  }

NewInterFreqCellSI-List-ECNO ::=
  SEQUENCE (SIZE (1..maxCellMeas)) OF
  NewInterFreqCellSI-ECNO

NewInterFreqCellSI-List-HCS-RSCP ::=
  SEQUENCE (SIZE (1..maxCellMeas)) OF
  NewInterFreqCellSI-HCS-RSCP

NewInterFreqCellSI-List-HCS-ECNO ::=
  SEQUENCE (SIZE (1..maxCellMeas)) OF
  NewInterFreqCellSI-HCS-ECNO

NewInterFreqCellSI-List-RSCP ::=
  SEQUENCE (SIZE (1..maxCellMeas)) OF
  NewInterFreqCellSI-RSCP

NewInterFreqCellSI-List-ECNO-LCR-r4 ::=
  SEQUENCE (SIZE (1..maxCellMeas)) OF
  NewInterFreqCellSI-ECNO-LCR-r4

NewInterFreqCellSI-List-HCS-RSCP-LCR-r4 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
  NewInterFreqCellSI-HCS-RSCP-LCR-r4

NewInterFreqCellSI-List-HCS-ECNO-LCR-r4 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
  NewInterFreqCellSI-HCS-ECNO-LCR-r4

NewInterFreqCellSI-List-RSCP-LCR-r4 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
  NewInterFreqCellSI-RSCP-LCR-r4

NewInterRATCell ::=
  SEQUENCE {
    interRATCellID  InterRATCellID          OPTIONAL,
    technologySpecificInfo
      CHOICE {
        gsm
          SEQUENCE {
            cellSelectionReselectionInfo  CellSelectReselectInfoSIB-11-12  OPTIONAL,
            interRATCellIndividualOffset  InterRATCellIndividualOffset,
            bsic                          BSIC,
            frequency-band                 Frequency-Band,
            bcch-ARFCN                     BCCH-ARFCN,
            -- dummy is not used in this version of the specification, it should
            -- not be sent and if received it should be ignored.
            dummy                          NULL                                OPTIONAL
          },
        is-2000
          SEQUENCE {
            is-2000SpecificMeasInfo        IS-2000SpecificMeasInfo
          },
        -- ASN.1 inconsistency: NewInterRATCellList should be optional within
        -- InterRATCellInfoList. The UE shall consider IE NewInterRATCell with
        -- technologySpecificInfo set to "absent" as valid and handle the
        -- message as if the IE NewInterRATCell was absent
        absent                             NULL,
      }
  }

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    spare1                NULL
  }
}

NewInterRATCell-B ::=          SEQUENCE {
  interRATCellID            InterRATCellID                OPTIONAL,
  technologySpecificInfo    CHOICE {
    gsm                      SEQUENCE {
      cellSelectionReselectionInfo    CellSelectReselectInfoSIB-11-12    OPTIONAL,
      interRATCellIndividualOffset    InterRATCellIndividualOffset,
      bsic                            BSIC,
      frequency-band                  Frequency-Band,
      bcch-ARFCN                      BCCH-ARFCN,
      -- dummy is not used in this version of the specification, it should
      -- not be sent and if received it should be ignored.
      dummy                            NULL                OPTIONAL
    },
    is-2000                        SEQUENCE {
      is-2000SpecificMeasInfo        IS-2000SpecificMeasInfo
    },
    -- ASN.1 inconsistency: NewInterRATCellList-B should be optional within
    -- InterRATCellInfoList-B. The UE shall consider IE NewInterRATCell-B with
    -- technologySpecificInfo set to "absent" as valid and handle the
    -- message as if the IE NewInterRATCell-B was absent
    absent                          NULL,
    spare1                          NULL
  }
}

NewInterRATCellList ::=          SEQUENCE (SIZE (1..maxCellMeas)) OF
  NewInterRATCell

NewInterRATCellList-B ::=        SEQUENCE (SIZE (1..maxCellMeas)) OF
  NewInterRATCell-B

NewIntraFreqCell ::=             SEQUENCE {
  intraFreqCellID              IntraFreqCellID                OPTIONAL,
  cellInfo                      CellInfo
}

NewIntraFreqCell-r4 ::=          SEQUENCE {
  intraFreqCellID              IntraFreqCellID                OPTIONAL,
  cellInfo-r4                  CellInfo-r4
}

NewIntraFreqCellList ::=         SEQUENCE (SIZE (1..maxCellMeas)) OF
  NewIntraFreqCell

NewIntraFreqCellList-r4 ::=      SEQUENCE (SIZE (1..maxCellMeas)) OF
  NewIntraFreqCell-r4

NewIntraFreqCellSI-RSCP ::=      SEQUENCE {
  intraFreqCellID              IntraFreqCellID                OPTIONAL,
  cellInfo                      CellInfoSI-RSCP
}

NewIntraFreqCellSI-ECN0 ::=      SEQUENCE {
  intraFreqCellID              IntraFreqCellID                OPTIONAL,
  cellInfo                      CellInfoSI-ECN0
}

NewIntraFreqCellSI-HCS-RSCP ::=  SEQUENCE {
  intraFreqCellID              IntraFreqCellID                OPTIONAL,
  cellInfo                      CellInfoSI-HCS-RSCP
}

NewIntraFreqCellSI-HCS-ECN0 ::=  SEQUENCE {
  intraFreqCellID              IntraFreqCellID                OPTIONAL,
  cellInfo                      CellInfoSI-HCS-ECN0
}

NewIntraFreqCellSI-RSCP-LCR-r4 ::= SEQUENCE {
  intraFreqCellID              IntraFreqCellID                OPTIONAL,
  cellInfo                      CellInfoSI-RSCP-LCR-r4
}

NewIntraFreqCellSI-ECN0-LCR-r4 ::= SEQUENCE {
  intraFreqCellID              IntraFreqCellID                OPTIONAL,
  cellInfo                      CellInfoSI-ECN0-LCR-r4
}

```



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}
NewIntraFreqCellSI-HCS-RSCP-LCR-r4 ::= SEQUENCE {
    intraFreqCellID          OPTIONAL,
    cellInfo                  CellInfoSI-HCS-RSCP-LCR-r4
}

NewIntraFreqCellSI-HCS-ECNO-LCR-r4 ::= SEQUENCE {
    intraFreqCellID          OPTIONAL,
    cellInfo                  CellInfoSI-HCS-ECNO-LCR-r4
}

NewIntraFreqCellSI-List-RSCP ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    NewIntraFreqCellSI-RSCP

NewIntraFreqCellSI-List-ECNO ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    NewIntraFreqCellSI-ECNO

NewIntraFreqCellSI-List-HCS-RSCP ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    NewIntraFreqCellSI-HCS-RSCP

NewIntraFreqCellSI-List-HCS-ECNO ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    NewIntraFreqCellSI-HCS-ECNO

NewIntraFreqCellSI-List-RSCP-LCR-r4 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    NewIntraFreqCellSI-RSCP-LCR-r4

NewIntraFreqCellSI-List-ECNO-LCR-r4 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    NewIntraFreqCellSI-ECNO-LCR-r4

NewIntraFreqCellSI-List-HCS-RSCP-LCR-r4 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    NewIntraFreqCellSI-HCS-RSCP-LCR-r4

NewIntraFreqCellSI-List-HCS-ECNO-LCR-r4 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    NewIntraFreqCellSI-HCS-ECNO-LCR-r4

-- IE "nonUsedFreqThreshold" is not needed in case of event 2a
-- In case of event 2a UTRAN should include value 0 within IE "nonUsedFreqThreshold"
-- In case of event 2a, the UE shall be ignore IE "nonUsedFreqThreshold"
-- In later versions of the message including this IE, a special version of
-- IE "NonUsedFreqParameterList" may be defined for event 2a, namely a
-- version not including IE "nonUsedFreqThreshold"
NonUsedFreqParameter ::= SEQUENCE {
    nonUsedFreqThreshold    Threshold,
    nonUsedFreqW            W
}

NonUsedFreqParameterList ::= SEQUENCE (SIZE (1..maxFreq)) OF
    NonUsedFreqParameter

ObservedTimeDifferenceToGSM ::= INTEGER (0..4095)

OTDOA-SearchWindowSize ::= ENUMERATED {
    c20, c40, c80, c160, c320,
    c640, c1280, moreThan1280 }

-- SPARE: Pathloss, Max = 158
-- Values above Max are spare
Pathloss ::= INTEGER (46..173)

PenaltyTime-RSCP ::= CHOICE {
    notUsed          NULL,
    pt10             TemporaryOffset1,
    pt20             TemporaryOffset1,
    pt30             TemporaryOffset1,
    pt40             TemporaryOffset1,
    pt50             TemporaryOffset1,
    pt60             TemporaryOffset1
}

PenaltyTime-ECNO ::= CHOICE {
    notUsed          NULL,
    pt10             TemporaryOffsetList,
    pt20             TemporaryOffsetList,
    pt30             TemporaryOffsetList,
    pt40             TemporaryOffsetList,
    pt50             TemporaryOffsetList,
    pt60             TemporaryOffsetList
}

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PendingTimeAfterTrigger ::=          ENUMERATED {
                                        ptat0-25, ptat0-5, ptat1,
                                        ptat2, ptat4, ptat8, ptat16 }

PeriodicalOrEventTrigger ::=          ENUMERATED {
                                        periodical,
                                        eventTrigger }

PeriodicalReportingCriteria ::=        SEQUENCE {
    reportingAmount                    ReportingAmount                DEFAULT ra-Infinity,
    reportingInterval                  ReportingIntervalLong
}

PeriodicalWithReportingCellStatus ::= SEQUENCE {
    periodicalReportingCriteria        PeriodicalReportingCriteria,
    reportingCellStatus                ReportingCellStatus            OPTIONAL
}

PLMNIdentitiesOfNeighbourCells ::=    SEQUENCE {
    plmnsOfIntraFreqCellsList         PLMNsOfIntraFreqCellsList    OPTIONAL,
    plmnsOfInterFreqCellsList         PLMNsOfInterFreqCellsList    OPTIONAL,
    plmnsOfInterRATCellsList          PLMNsOfInterRATCellsList     OPTIONAL
}

PLMNsOfInterFreqCellsList ::=         SEQUENCE (SIZE (1..maxCellMeas)) OF
    plmn-Identity                     SEQUENCE {
                                        PLMN-Identity                OPTIONAL
}

PLMNsOfIntraFreqCellsList ::=         SEQUENCE (SIZE (1..maxCellMeas)) OF
    plmn-Identity                     SEQUENCE {
                                        PLMN-Identity                OPTIONAL
}

PLMNsOfInterRATCellsList ::=          SEQUENCE (SIZE (1..maxCellMeas)) OF
    plmn-Identity                     SEQUENCE {
                                        PLMN-Identity                OPTIONAL
}

PositionEstimate ::=                  CHOICE {
    ellipsoidPoint                     EllipsoidPoint,
    ellipsoidPointUncertCircle          EllipsoidPointUncertCircle,
    ellipsoidPointUncertEllipse        EllipsoidPointUncertEllipse,
    ellipsoidPointAltitude             EllipsoidPointAltitude,
    ellipsoidPointAltitudeEllipsoide   EllipsoidPointAltitudeEllipsoide
}

PositioningMethod ::=                 ENUMERATED {
                                        otdoa,
                                        gps,
                                        otdoaOrGPS, cellID }

-- Actual value PRC = IE value * 0.32
PRC ::=                               INTEGER (-2047..2047)

-- SPARE: PrimaryCCPCH-RSCP, Max = 91
-- Values above Max are spare
PrimaryCCPCH-RSCP ::=                 INTEGER (0..127)

Q-HCS ::=                             INTEGER (0..99)

Q-OffsetS-N ::=                       INTEGER (-50..50)

Q-QualMin ::=                          INTEGER (-24..0)

-- Actual value Q-RxlevMin = (IE value * 2) + 1
Q-RxlevMin ::=                        INTEGER (-58..-13)

QualityEventResults ::=               SEQUENCE (SIZE (1..maxTrCH)) OF
    TransportChannelIdentity

QualityMeasuredResults ::=             SEQUENCE {
    blerMeasurementResultsList         BLER-MeasurementResultsList    OPTIONAL,
    modeSpecificInfo                   CHOICE {
        fdd                             NULL,
        tdd                             SEQUENCE {
            sir-MeasurementResults       SIR-MeasurementList            OPTIONAL
        }
    }
}

```

```

    }
  }
}

QualityMeasurement ::=
  qualityReportingQuantity      SEQUENCE {
    reportCriteria              QualityReportingQuantity      OPTIONAL,
                              QualityReportCriteria
  }

QualityReportCriteria ::=
  qualityReportingCriteria      CHOICE {
    periodicalReportingCriteria QualityReportingCriteria,
    noReporting                  PeriodicalReportingCriteria,
                              NULL
  }

QualityReportingCriteria ::=
  SEQUENCE (SIZE (1..maxTrCH)) OF
  QualityReportingCriteriaSingle

QualityReportingCriteriaSingle ::= SEQUENCE {
  transportChannelIdentity      TransportChannelIdentity,
  totalCRC                      INTEGER (1..512),
  badCRC                        INTEGER (1..512),
  pendingAfterTrigger           INTEGER (1..512)
}

QualityReportingQuantity ::=
  dl-TransChBLER                SEQUENCE {
    bler-dl-TransChIdList       BOOLEAN,
    modeSpecificInfo            BLER-TransChIdList          OPTIONAL,
    fdd                          CHOICE {
      tdd                        NULL,
      sir-TFCS-List              SEQUENCE {
        sir-TFCS-List           OPTIONAL
      }
    }
  }
}

RAT-Type ::=
  ENUMERATED {
    gsm, is2000 }

ReferenceCellPosition ::=
  ellipsoidPoint                CHOICE {
    ellipsoidPointWithAltitude  EllipsoidPoint,
                              EllipsoidPointAltitude
  }

-- ReferenceLocation, as defined in 23.032
ReferenceLocation ::=
  ellipsoidPointAltitudeEllipsoide SEQUENCE {
    EllipsoidPointAltitudeEllipsoide
  }

ReferenceTimeDifferenceToCell ::= CHOICE {
  -- Actual value accuracy40 = IE value * 40
  accuracy40                     INTEGER (0..960),
  -- Actual value accuracy256 = IE value * 256
  accuracy256                     INTEGER (0..150),
  -- Actual value accuracy2560 = IE value * 2560
  accuracy2560                    INTEGER (0..15)
}

RemovedInterFreqCellList ::=
  removeAllInterFreqCells        CHOICE {
    removeSomeInterFreqCells     NULL,
    removeNoInterFreqCells       SEQUENCE (SIZE (1..maxCellMeas)) OF
    InterFreqCellID,
    NULL
  }

RemovedInterRATCellList ::=
  removeAllInterRATCells         CHOICE {
    removeSomeInterRATCells      NULL,
    removeNoInterRATCells       SEQUENCE (SIZE (1..maxCellMeas)) OF
    InterRATCellID,
    NULL
  }

RemovedIntraFreqCellList ::=
  removeAllIntraFreqCells        CHOICE {
    removeSomeIntraFreqCells     NULL,
    removeNoIntraFreqCells       SEQUENCE (SIZE (1..maxCellMeas)) OF
    IntraFreqCellID,
    NULL
  }
}

```

```

ReplacementActivationThreshold ::= ENUMERATED {
    notApplicable, t1, t2,
    t3, t4, t5, t6, t7 }

ReportDeactivationThreshold ::= ENUMERATED {
    notApplicable, t1, t2,
    t3, t4, t5, t6, t7 }

ReportingAmount ::= ENUMERATED {
    ra1, ra2, ra4, ra8, ra16, ra32,
    ra64, ra-Infinity }

ReportingCellStatus ::= CHOICE{
    withinActiveSet                MaxNumberOfReportingCellsType1,
    withinMonitoredSetUsedFreq     MaxNumberOfReportingCellsType1,
    withinActiveAndOrMonitoredUsedFreq MaxNumberOfReportingCellsType1,
    withinDetectedSetUsedFreq     MaxNumberOfReportingCellsType1,
    withinMonitoredAndOrDetectedUsedFreq
        MaxNumberOfReportingCellsType1,
    allActiveplusMonitoredSet     MaxNumberOfReportingCellsType3,
    allActivePlusDetectedSet     MaxNumberOfReportingCellsType3,
    allActivePlusMonitoredAndOrDetectedSet
        MaxNumberOfReportingCellsType3,
    withinVirtualActSet           MaxNumberOfReportingCellsType1,
    withinMonitoredSetNonUsedFreq MaxNumberOfReportingCellsType1,
    withinMonitoredAndOrVirtualActiveSetNonUsedFreq
        MaxNumberOfReportingCellsType1,
    allVirtualActSetplusMonitoredSetNonUsedFreq
        MaxNumberOfReportingCellsType3,
    withinActSetOrVirtualActSet-InterRATcells
        MaxNumberOfReportingCellsType2,
    withinActSetAndOrMonitoredUsedFreqOrVirtualActSetAndOrMonitoredNonUsedFreq
        MaxNumberOfReportingCellsType2
}

ReportingCellStatusOpt ::= SEQUENCE {
    reportingCellStatus ReportingCellStatus OPTIONAL
}

ReportingInfoForCellDCH ::= SEQUENCE {
    intraFreqReportingQuantity IntraFreqReportingQuantity,
    measurementReportingMode MeasurementReportingMode,
    reportCriteria CellDCH-ReportCriteria
}

ReportingInfoForCellDCH-LCR-r4 ::= SEQUENCE {
    intraFreqReportingQuantity IntraFreqReportingQuantity,
    measurementReportingMode MeasurementReportingMode,
    reportCriteria CellDCH-ReportCriteria-LCR-r4
}

ReportingInterval ::= ENUMERATED {
    noPeriodicalreporting, ri0-25,
    ri0-5, ri1, ri2, ri4, ri8, ri16 }

ReportingIntervalLong ::= ENUMERATED {
    ril0, ril0-25, ril0-5, ril1,
    ril2, ril3, ril4, ril6, ril8,
    ril12, ril16, ril20, ril24,
    -- When the value "ril0" is used, the UE behaviour is not
    -- defined.
    ril28, ril32, ril64 }

-- Actual value ReportingRange = IE value * 0.5
ReportingRange ::= INTEGER (0..29)

RL-AdditionInfoList ::= SEQUENCE (SIZE (1..maxRL)) OF
    PrimaryCPICH-Info

RL-InformationLists ::= SEQUENCE {
    rl-AdditionInfoList RL-AdditionInfoList OPTIONAL,
    rl-RemovalInformationList RL-RemovalInformationList OPTIONAL
}

RLC-BuffersPayload ::= ENUMERATED {
    p10, p14, p18, p116, p132,

```

```

        pl64, pl128, pl256, pl512, pl1024,
        pl2k, pl4k, pl8k, pl16k, pl32k,
        pl64k, pl128k, pl256k, pl512k, pl1024k,
        spare12, spare11, spare10, spare9, spare8,
        spare7, spare6, spare5, spare4, spare3,
        spare2, spare1 }

-- Actual value RRC = IE value * 0.032
RRC ::=
    INTEGER (-127..127)

SatData ::=
    SEQUENCE {
        satID
            SatID,
        iode
            IODE
    }

SatDataList ::=
    SEQUENCE (SIZE (0..maxSat)) OF
        SatData

SatelliteStatus ::=
    ENUMERATED {
        ns-NN-U,
        es-SN,
        es-NN-U,
        rev2,
        rev }

-- Identifies the satellite and is equal to (SV ID No - 1) where SV ID No is defined in [12].
SatID ::=
    INTEGER (0..63)

SFN-Offset-Validity ::=
    ENUMERATED { false }

SFN-SFN-Drift ::=
    ENUMERATED {
        sfnsfndrift0, sfnsfndrift1, sfnsfndrift2,
        sfnsfndrift3, sfnsfndrift4, sfnsfndrift5,
        sfnsfndrift8, sfnsfndrift10, sfnsfndrift15,
        sfnsfndrift25, sfnsfndrift35, sfnsfndrift50,
        sfnsfndrift65, sfnsfndrift80, sfnsfndrift100,
        sfnsfndrift-1, sfnsfndrift-2, sfnsfndrift-3,
        sfnsfndrift-4, sfnsfndrift-5, sfnsfndrift-8,
        sfnsfndrift-10, sfnsfndrift-15, sfnsfndrift-25,
        sfnsfndrift-35, sfnsfndrift-50, sfnsfndrift-65,
        sfnsfndrift-80, sfnsfndrift-100}

SFN-SFN-ObsTimeDifference ::=
    CHOICE {
        type1
            SFN-SFN-ObsTimeDifference1,
        type2
            SFN-SFN-ObsTimeDifference2
    }

-- SPARE: SFN-SFN-ObsTimeDifference1, Max = 9830399
-- For 1.28Mcps TDD, Max value of SFN-SFN-ObsTimeDifference1 is 3276799.
-- Values above Max are spare
SFN-SFN-ObsTimeDifference1 ::=
    INTEGER (0..16777215)

-- SPARE: SFN-SFN-ObsTimeDifference2, Max = 40961
-- For 1.28Mcps TDD, Max value of SFN-SFN-ObsTimeDifference2 is 27649.
-- Values above Max are spare
SFN-SFN-ObsTimeDifference2 ::=
    INTEGER (0..65535)

SFN-SFN-OTD-Type ::=
    ENUMERATED {
        noReport,
        type1,
        type2 }

SFN-SFN-RelTimeDifference1 ::=
    SEQUENCE {
        sfn-Offset
            INTEGER (0 .. 4095),
        sfn-sfn-Reltimedifference
            INTEGER (0.. 38399)
    }

SFN-TOW-Uncertainty ::=
    ENUMERATED {
        lessThan10,
        moreThan10 }

SIR ::=
    INTEGER (0..63)

SIR-MeasurementList ::=
    SEQUENCE (SIZE (1..maxCCTrCH)) OF
        SIR-MeasurementResults

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```

SIR-MeasurementResults ::=          SEQUENCE {
    tfcs-ID                          TFCS-IdentityPlain,
    sir-TimeslotList                  SIR-TimeslotList
}

SIR-TFCS ::=                          TFCS-IdentityPlain

SIR-TFCS-List ::=                     SEQUENCE (SIZE (1..maxCCTrCH)) OF
    SIR-TFCS

SIR-TimeslotList ::=                  SEQUENCE (SIZE (1..maxTS)) OF
    SIR

-- SubFrame1Reserved, reserved bits in subframe 1 of the GPS navigation message
SubFrame1Reserved ::=                SEQUENCE {
    reserved1                         BIT STRING (SIZE (23)),
    reserved2                         BIT STRING (SIZE (24)),
    reserved3                         BIT STRING (SIZE (24)),
    reserved4                         BIT STRING (SIZE (16))
}

T-ADVinfo ::=                          SEQUENCE {
    t-ADV                             INTEGER (0..2047),
    sfn                                INTEGER (0..4095)
}

T-CRMax ::=                            CHOICE {
    notUsed                            NULL,
    t30                                N-CR-T-CRMaxHyst,
    t60                                N-CR-T-CRMaxHyst,
    t120                               N-CR-T-CRMaxHyst,
    t180                               N-CR-T-CRMaxHyst,
    t240                               N-CR-T-CRMaxHyst
}

T-CRMaxHyst ::=                        ENUMERATED {
    notUsed, t10, t20, t30,
    t40, t50, t60, t70 }

TemporaryOffset1 ::=                   ENUMERATED {
    to3, to6, to9, to12, to15,
    to18, to21, infinite }

TemporaryOffset2 ::=                   ENUMERATED {
    to2, to3, to4, to6, to8,
    to10, to12, infinite }

TemporaryOffsetList ::=                SEQUENCE {
    temporaryOffset1                  TemporaryOffset1,
    temporaryOffset2                  TemporaryOffset2
}

Threshold ::=                          INTEGER (-115..0)

ThresholdPositionChange ::=            ENUMERATED {
    pc10, pc20, pc30, pc40, pc50,
    pc100, pc200, pc300, pc500,
    pc1000, pc2000, pc5000, pc10000,
    pc20000, pc50000, pc100000 }

ThresholdSFN-GPS-TOW ::=               ENUMERATED {
    ms1, ms2, ms3, ms5, ms10,
    ms20, ms50, ms100 }

ThresholdSFN-SFN-Change ::=            ENUMERATED {
    c0-25, c0-5, c1, c2, c3, c4, c5,
    c10, c20, c50, c100, c200, c500,
    c1000, c2000, c5000 }

ThresholdUsedFrequency ::=              INTEGER (-115..165)

-- Actual value TimeInterval = IE value * 20.
TimeInterval ::=                       INTEGER (1..13)

```

```

TimeslotInfo ::=
    timeslotNumber
    burstType
}
SEQUENCE {
    TimeslotNumber,
    BurstType
}

TimeslotInfo-LCR-r4 ::=
    timeslotNumber
}
SEQUENCE {
    TimeslotNumber-LCR-r4
}

TimeslotInfoList ::=
SEQUENCE (SIZE (1..maxTS)) OF
    TimeslotInfo

TimeslotInfoList-LCR-r4 ::=
SEQUENCE (SIZE (1..maxTS-LCR)) OF
    TimeslotInfo-LCR-r4

TimeslotInfoList-r4 ::=
    tdd384
    tdd128
}
CHOICE {
    SEQUENCE (SIZE (1..maxTS)) OF
        TimeslotInfo,
    SEQUENCE (SIZE (1..maxTS-LCR)) OF
        TimeslotInfo-LCR-r4
}

-- SPARE: TimeslotISCP, Max = 91
-- Values above Max are spare
TimeslotISCP ::=
INTEGER (0..127)

-- TimeslotISCP-List shall not include more than 6 elements in 1.28Mcps TDD mode.
TimeslotISCP-List ::=
SEQUENCE (SIZE (1..maxTS)) OF
    TimeslotISCP

TimeslotListWithISCP ::=
SEQUENCE (SIZE (1..maxTS)) OF
    TimeslotWithISCP

TimeslotWithISCP ::=
    timeslot
    timeslotISCP
}
SEQUENCE {
    TimeslotNumber,
    TimeslotISCP
}

TimeToTrigger ::=
ENUMERATED {
    ttt0, ttt10, ttt20, ttt40, ttt60,
    ttt80, ttt100, ttt120, ttt160,
    ttt200, ttt240, ttt320, ttt640,
    ttt1280, ttt2560, ttt5000 }

TrafficVolumeEventParam ::=
    eventID
    reportingThreshold
    timeToTrigger
    pendingTimeAfterTrigger
    tx-InterruptionAfterTrigger
}
SEQUENCE {
    TrafficVolumeEventType,
    TrafficVolumeThreshold,
    TimeToTrigger
    PendingTimeAfterTrigger
    TX-InterruptionAfterTrigger
}
OPTIONAL,
OPTIONAL,
OPTIONAL

TrafficVolumeEventResults ::=
    ul-transportChannelCausingEvent
    trafficVolumeEventIdentity
}
SEQUENCE {
    UL-TrCH-Identity,
    TrafficVolumeEventType
}

TrafficVolumeEventType ::=
ENUMERATED {
    e4a,
    e4b }

TrafficVolumeMeasQuantity ::=
    rlc-BufferPayload
    averageRLC-BufferPayload
    varianceOfRLC-BufferPayload
}
CHOICE {
    NULL,
    TimeInterval,
    TimeInterval
}

TrafficVolumeMeasSysInfo ::=
    trafficVolumeMeasurementID
    trafficVolumeMeasurementObjectList
    trafficVolumeMeasQuantity
    trafficVolumeReportingQuantity
    -- dummy is not used in this version of specification, it should
    -- not be sent and if received it should be ignored.
    dummy
    measurementValidity
    measurementReportingMode
}
SEQUENCE {
    MeasurementIdentity
    TrafficVolumeMeasurementObjectList
    TrafficVolumeMeasQuantity
    TrafficVolumeReportingQuantity
    TrafficVolumeReportingCriteria
    MeasurementValidity
    MeasurementReportingMode,
    DEFAULT 4,
    OPTIONAL,
    OPTIONAL,
    OPTIONAL,
    OPTIONAL,
    OPTIONAL,
    OPTIONAL
}

```

```

reportCriteriaSysInf                TrafficVolumeReportCriteriaSysInfo
}

TrafficVolumeMeasuredResults ::= SEQUENCE {
    rb-Identity                RB-Identity,
    rlc-BuffersPayload          RLC-BuffersPayload          OPTIONAL,
    averageRLC-BufferPayload    AverageRLC-BufferPayload    OPTIONAL,
    varianceOfRLC-BufferPayload VarianceOfRLC-BufferPayload    OPTIONAL
}

TrafficVolumeMeasuredResultsList ::= SEQUENCE (SIZE (1..maxRB)) OF
    TrafficVolumeMeasuredResults

TrafficVolumeMeasurement ::= SEQUENCE {
    trafficVolumeMeasurementObjectList TrafficVolumeMeasurementObjectList OPTIONAL,
    trafficVolumeMeasQuantity          TrafficVolumeMeasQuantity          OPTIONAL,
    trafficVolumeReportingQuantity     TrafficVolumeReportingQuantity     OPTIONAL,
    measurementValidity                MeasurementValidity                OPTIONAL,
    reportCriteria                     TrafficVolumeReportCriteria
}

TrafficVolumeMeasurementObjectList ::= SEQUENCE (SIZE (1..maxTrCH)) OF
    UL-TrCH-Identity

TrafficVolumeReportCriteria ::= CHOICE {
    trafficVolumeReportingCriteria    TrafficVolumeReportingCriteria,
    periodicalReportingCriteria       PeriodicalReportingCriteria,
    noReporting                        NULL
}

TrafficVolumeReportCriteriaSysInfo ::= CHOICE {
    trafficVolumeReportingCriteria    TrafficVolumeReportingCriteria,
    periodicalReportingCriteria       PeriodicalReportingCriteria
}

TrafficVolumeReportingCriteria ::= SEQUENCE {
    -- NOTE: transChCriteriaList should be mandatory in later versions of this message
    transChCriteriaList               TransChCriteriaList                OPTIONAL
}

TrafficVolumeReportingQuantity ::= SEQUENCE {
    rlc-RB-BufferPayload              BOOLEAN,
    rlc-RB-BufferPayloadAverage       BOOLEAN,
    rlc-RB-BufferPayloadVariance      BOOLEAN
}

TrafficVolumeThreshold ::= ENUMERATED {
    th8, th16, th32, th64, th128,
    th256, th512, th1024, th2k, th3k,
    th4k, th6k, th8k, th12k, th16k,
    th24k, th32k, th48k, th64k, th96k,
    th128k, th192k, th256k, th384k,
    th512k, th768k }

TransChCriteria ::= SEQUENCE {
    ul-transportChannelID             UL-TrCH-Identity                OPTIONAL,
    eventSpecificParameters           SEQUENCE (SIZE (1..maxMeasParEvent)) OF
        TrafficVolumeEventParam      OPTIONAL
}

TransChCriteriaList ::= SEQUENCE (SIZE (1..maxTrCH)) OF
    TransChCriteria

TransferMode ::= ENUMERATED {
    acknowledgedModeRLC,
    unacknowledgedModeRLC }

TransmittedPowerThreshold ::= INTEGER (-50..33)

TriggeringCondition1 ::= ENUMERATED {
    activeSetCellsOnly,
    monitoredSetCellsOnly,
    activeSetAndMonitoredSetCells }

TriggeringCondition2 ::= ENUMERATED {
    activeSetCellsOnly,
    monitoredSetCellsOnly,

```



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        activeSetAndMonitoredSetCells,
        detectedSetCellsOnly,
        detectedSetAndMonitoredSetCells }

TX-InterruptionAfterTrigger ::=      ENUMERATED {
        txiat0-25, txiat0-5, txiat1,
        txiat2, txiat4, txiat8, txiat16 }

UDRE ::=                              ENUMERATED {
        lessThan1,
        between1-and-4,
        between4-and-8,
        over8 }

UE-6AB-Event ::=                     SEQUENCE {
        timeToTrigger                 TimeToTrigger,
        transmittedPowerThreshold     TransmittedPowerThreshold
}

UE-6FG-Event ::=                     SEQUENCE {
        timeToTrigger                 TimeToTrigger,
        -- in 1.28 Mcps TDD ue-RX-TX-TimeDifferenceThreshold corresponds to TADV Threshold
        ue-RX-TX-TimeDifferenceThreshold UE-RX-TX-TimeDifferenceThreshold
}

-- dummy and dummy2 are not used in this version of the specification, they should
-- not be sent and if received the UE behaviour is not specified.
UE-AutonomousUpdateMode ::=         CHOICE {
        dummy                          NULL,
        onWithNoReporting              NULL,
        dummy2                         RL-InformationLists
}

UE-InternalEventParam ::=           CHOICE {
        event6a                       UE-6AB-Event,
        event6b                       UE-6AB-Event,
        event6c                       TimeToTrigger,
        event6d                       TimeToTrigger,
        event6e                       TimeToTrigger,
        event6f                       UE-6FG-Event,
        event6g                       UE-6FG-Event
}

UE-InternalEventParamList ::=       SEQUENCE (SIZE (1..maxMeasEvent)) OF
        UE-InternalEventParam

UE-InternalEventResults ::=         CHOICE {
        event6a                       NULL,
        event6b                       NULL,
        event6c                       NULL,
        event6d                       NULL,
        event6e                       NULL,
        event6f                       PrimaryCPICH-Info,
        event6g                       PrimaryCPICH-Info,
        spare                          NULL
}

UE-InternalMeasQuantity ::=         SEQUENCE {
        measurementQuantity           UE-MeasurementQuantity,
        filterCoefficient              FilterCoefficient                DEFAULT fc0
}

UE-InternalMeasuredResults ::=      SEQUENCE {
        modeSpecificInfo              CHOICE {
                fdd                    SEQUENCE {
                        ue-TransmittedPowerFDD           UE-TransmittedPower           OPTIONAL,
                        ue-RX-TX-ReportEntryList         UE-RX-TX-ReportEntryList       OPTIONAL
                },
                tdd                    SEQUENCE {
                        ue-TransmittedPowerTDD-List      UE-TransmittedPowerTDD-List   OPTIONAL,
                        appliedTA                       UL-TimingAdvance              OPTIONAL
                }
        }
}

UE-InternalMeasuredResults-LCR-r4 ::= SEQUENCE {
        ue-TransmittedPowerTDD-List   UE-TransmittedPowerTDD-List   OPTIONAL,
        t-ADVinfo                     T-ADVinfo                      OPTIONAL
}

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```

}

UE-InternalMeasurement ::= SEQUENCE {
    ue-InternalMeasQuantity          UE-InternalMeasQuantity          OPTIONAL,
    ue-InternalReportingQuantity     UE-InternalReportingQuantity     OPTIONAL,
    reportCriteria                   UE-InternalReportCriteria
}

UE-InternalMeasurement-r4 ::= SEQUENCE {
    ue-InternalMeasQuantity          UE-InternalMeasQuantity          OPTIONAL,
    ue-InternalReportingQuantity-r4  UE-InternalReportingQuantity-r4  OPTIONAL,
    reportCriteria                   UE-InternalReportCriteria
}

UE-InternalMeasurementSysInfo ::= SEQUENCE {
    ue-InternalMeasurementID        MeasurementIdentity              DEFAULT 5,
    ue-InternalMeasQuantity         UE-InternalMeasQuantity
}

UE-InternalReportCriteria ::= CHOICE {
    ue-InternalReportingCriteria    UE-InternalReportingCriteria,
    periodicalReportingCriteria     PeriodicalReportingCriteria,
    noReporting                     NULL
}

UE-InternalReportingCriteria ::= SEQUENCE {
    ue-InternalEventParamList      UE-InternalEventParamList      OPTIONAL
}

UE-InternalReportingQuantity ::= SEQUENCE {
    ue-TransmittedPower            BOOLEAN,
    modeSpecificInfo              CHOICE {
        fdd                        SEQUENCE {
            ue-RX-TX-TimeDifference  BOOLEAN
        },
        tdd                        SEQUENCE {
            appliedTA                BOOLEAN
        }
    }
}

UE-InternalReportingQuantity-r4 ::= SEQUENCE {
    ue-TransmittedPower            BOOLEAN,
    modeSpecificInfo              CHOICE {
        fdd                        SEQUENCE {
            ue-RX-TX-TimeDifference  BOOLEAN
        },
        tdd                        SEQUENCE {
            tddOption               CHOICE {
                tdd384              SEQUENCE {
                    appliedTA        BOOLEAN
                },
                tdd128              SEQUENCE {
                    t-ADVinfo        BOOLEAN
                }
            }
        }
    }
}

-- TABULAR: UE-MeasurementQuantity, for 3.84 Mcps TDD only the first two values
-- ue-TransmittedPower and ultra-Carrier-RSSI are used.
-- For 1.28 Mcps TDD ue-RX-TX-TimeDifference corresponds to T-ADV in the tabular
UE-MeasurementQuantity ::= ENUMERATED {
    ue-TransmittedPower,
    ultra-Carrier-RSSI,
    ue-RX-TX-TimeDifference }

UE-RX-TX-ReportEntry ::= SEQUENCE {
    primaryCPICH-Info             PrimaryCPICH-Info,
    ue-RX-TX-TimeDifferenceType1  UE-RX-TX-TimeDifferenceType1
}

UE-RX-TX-ReportEntryList ::= SEQUENCE (SIZE (1..maxRL)) OF
    UE-RX-TX-ReportEntry

-- SPARE: UE-RX-TX-TimeDifferenceType1, Max = 1280
-- Values above Max are spare

```

```

UE-RX-TX-TimeDifferenceType1 ::=          INTEGER (768..1791)

UE-RX-TX-TimeDifferenceType2 ::=          INTEGER (0..8191)

UE-RX-TX-TimeDifferenceType2Info ::=      SEQUENCE {
    ue-RX-TX-TimeDifferenceType2          UE-RX-TX-TimeDifferenceType2,
    neighbourQuality                       NeighbourQuality
}

-- In 1.28 Mcps TDD, actual value for
-- T-ADV Threshold = (UE-RX-TX-TimeDifferenceThreshold - 768) * 0.125
UE-RX-TX-TimeDifferenceThreshold ::=      INTEGER (768..1280)

UE-TransmittedPower ::=                  INTEGER (0..104)

UE-TransmittedPowerTDD-List ::=          SEQUENCE (SIZE (1..maxTS)) OF
    UE-TransmittedPower

UL-TrCH-Identity ::=                     CHOICE{
    dch                                     TransportChannelIdentity,
    -- Default transport channel in the UL is either RACH or CPCH, but not both.
    rachorcpch                             NULL,
    usch                                    TransportChannelIdentity
}

UE-Positioning-Accuracy ::=               BIT STRING (SIZE (7))

UE-Positioning-CipherParameters ::=       SEQUENCE {
    cipheringKeyFlag                       BIT STRING (SIZE (1)),
    cipheringSerialNumber                  INTEGER (0..65535)
}

UE-Positioning-Error ::=                  SEQUENCE {
    errorReason                            UE-Positioning-ErrorCause,
    ue-positioning-GPS-additionalAssistanceDataRequest  UE-Positioning-GPS-
AdditionalAssistanceDataRequest OPTIONAL
}

UE-Positioning-ErrorCause ::=             ENUMERATED {
    notEnoughOTDOA-Cells,
    notEnoughGPS-Satellites,
    assistanceDataMissing,
    notAccomplishedGPS-TimingOfCellFrames,
    undefinedError,
    requestDeniedByUser,
    notProcessedAndTimeout,
    referenceCellNotServingCell }

UE-Positioning-EventParam ::=             SEQUENCE {
    reportingAmount                        ReportingAmount,
    reportFirstFix                         BOOLEAN,
    measurementInterval                    UE-Positioning-MeasurementInterval,
    eventSpecificInfo                      UE-Positioning-EventSpecificInfo
}

UE-Positioning-EventParamList ::=         SEQUENCE (SIZE (1..maxMeasEvent)) OF
    UE-Positioning-EventParam

UE-Positioning-EventSpecificInfo ::=      CHOICE {
    e7a                                     ThresholdPositionChange,
    e7b                                     ThresholdSFN-SFN-Change,
    e7c                                     ThresholdSFN-GPS-TOW
}

UE-Positioning-GPS-AcquisitionAssistance ::= SEQUENCE {
    gps-ReferenceTime                      INTEGER (0..604799999),
    utran-GPSReferenceTime                  UTRAN-GPSReferenceTime          OPTIONAL,
    satelliteInformationList                AcquisitionSatInfoList
}

UE-Positioning-GPS-AdditionalAssistanceDataRequest ::= SEQUENCE {
    almanacRequest                         BOOLEAN,
    utcModelRequest                        BOOLEAN,
    ionosphericModelRequest                BOOLEAN,
    navigationModelRequest                 BOOLEAN,
    dgpsCorrectionsRequest                  BOOLEAN,

```

```

referenceLocationRequest          BOOLEAN,
referenceTimeRequest              BOOLEAN,
aquisitionAssistanceRequest      BOOLEAN,
realTimeIntegrityRequest          BOOLEAN,
navModelAddDataRequest           UE-Positioning-GPS-NavModelAddDataReq  OPTIONAL
}

UE-Positioning-GPS-Almanac ::=          SEQUENCE {
  wn-a                             BIT STRING (SIZE (8)),
  almanacSatInfoList               AlmanacSatInfoList,
  sv-GlobalHealth                  BIT STRING (SIZE (364))          OPTIONAL
}

UE-Positioning-GPS-AssistanceData ::=  SEQUENCE {
  ue-positioning-GPS-ReferenceTime UE-Positioning-GPS-ReferenceTime
  OPTIONAL,
  ue-positioning-GPS-ReferenceLocation ReferenceLocation          OPTIONAL,
  ue-positioning-GPS-DGPS-Corrections UE-Positioning-GPS-DGPS-Corrections
  OPTIONAL,
  ue-positioning-GPS-NavigationModel UE-Positioning-GPS-NavigationModel
  OPTIONAL,
  ue-positioning-GPS-IonosphericModel UE-Positioning-GPS-IonosphericModel
  OPTIONAL,
  ue-positioning-GPS-UTC-Model        UE-Positioning-GPS-UTC-Model
  OPTIONAL,
  ue-positioning-GPS-Almanac          UE-Positioning-GPS-Almanac
  OPTIONAL,
  ue-positioning-GPS-AcquisitionAssistance UE-Positioning-GPS-AcquisitionAssistance
  OPTIONAL,
  ue-positioning-GPS-Real-timeIntegrity BadSatList                OPTIONAL,
  -- dummy is not used in this version of the specification, it should
  -- not be sent and if received it should be ignored.
  dummy                               UE-Positioning-GPS-ReferenceCellInfo  OPTIONAL
}

UE-Positioning-GPS-DGPS-Corrections ::= SEQUENCE {
  gps-TOW                            INTEGER (0..604799),
  statusHealth                        DiffCorrectionStatus,
  dgps-CorrectionSatInfoList          DGPS-CorrectionSatInfoList
}

UE-Positioning-GPS-IonosphericModel ::= SEQUENCE {
  alfa0                               BIT STRING (SIZE (8)),
  alfa1                               BIT STRING (SIZE (8)),
  alfa2                               BIT STRING (SIZE (8)),
  alfa3                               BIT STRING (SIZE (8)),
  beta0                               BIT STRING (SIZE (8)),
  beta1                               BIT STRING (SIZE (8)),
  beta2                               BIT STRING (SIZE (8)),
  beta3                               BIT STRING (SIZE (8))
}

UE-Positioning-GPS-MeasurementResults ::= SEQUENCE {
  referenceTime                       CHOICE {
    utran-GPSReferenceTimeResult      UTRAN-GPSReferenceTimeResult,
    gps-ReferenceTimeOnly              INTEGER (0..604799999)
  },
  gps-MeasurementParamList            GPS-MeasurementParamList
}

UE-Positioning-GPS-NavigationModel ::= SEQUENCE {
  navigationModelSatInfoList          NavigationModelSatInfoList
}

UE-Positioning-GPS-NavModelAddDataReq ::= SEQUENCE {
  gps-Week                             INTEGER (0..1023),
  -- SPARE: gps-Toe, Max = 167
  -- Values above Max are spare
  gps-Toe                              INTEGER (0..255),
  -- SPARE: tToeLimit, Max = 10
  -- Values above Max are spare
  tToeLimit                            INTEGER (0..15),
  satDataList                          SatDataList
}

UE-Positioning-GPS-ReferenceCellInfo ::= SEQUENCE {
  modeSpecificInfo                    CHOICE {
    fdd                                SEQUENCE {

```

```

        referenceIdentity          PrimaryCPICH-Info
    },
    tdd                            SEQUENCE {
        referenceIdentity          CellParametersID
    }
}

UE-Positioning-GPS-ReferenceTime ::= SEQUENCE {
    gps-Week                       INTEGER (0..1023),
    gps-tow-lmsec                  GPS-TOW-lmsec,      utran-GPSReferenceTime      UTRAN-
GPSReferenceTime                  OPTIONAL,
    sfn-tow-Uncertainty            SFN-TOW-Uncertainty      OPTIONAL,
    utran-GPS-DriftRate            UTRAN-GPS-DriftRate      OPTIONAL,
    gps-TOW-AssistList             GPS-TOW-AssistList      OPTIONAL
}

UE-Positioning-GPS-UTC-Model ::= SEQUENCE {
    a1                             BIT STRING (SIZE (24)),
    a0                             BIT STRING (SIZE (32)),
    t-ot                           BIT STRING (SIZE (8)),
    wn-t                           BIT STRING (SIZE (8)),
    delta-t-LS                     BIT STRING (SIZE (8)),
    wn-lsf                         BIT STRING (SIZE (8)),
    dn                             BIT STRING (SIZE (8)),
    delta-t-LSF                   BIT STRING (SIZE (8))
}

UE-Positioning-IPDL-Parameters ::= SEQUENCE {
    ip-Spacing                     IP-Spacing,
    ip-Length                      IP-Length,
    ip-Offset                      INTEGER (0..9),
    seed                           INTEGER (0..63),
    burstModeParameters            BurstModeParameters      OPTIONAL
}

UE-Positioning-IPDL-Parameters-r4 ::= SEQUENCE {
    modeSpecificInfo              CHOICE {
        fdd                        SEQUENCE {
            ip-Spacing             IP-Spacing,
            ip-Length              IP-Length,
            ip-Offset              INTEGER (0..9),
            seed                   INTEGER (0..63)
        },
        tdd                        SEQUENCE {
            ip-Spacing-TDD         IP-Spacing-TDD,
            ip-slot                INTEGER (0..14),
            ip-Start               INTEGER (0..4095),
            ip-PCCPCG              IP-PCCPCH-r4      OPTIONAL
        }
    },
    burstModeParameters            BurstModeParameters      OPTIONAL
}

UE-Positioning-IPDL-Parameters-TDD-r4-ext ::= SEQUENCE {
    ip-Spacing                     IP-Spacing-TDD,
    ip-slot                        INTEGER (0..14),
    ip-Start                       INTEGER (0..4095),
    ip-PCCPCG                      IP-PCCPCH-r4      OPTIONAL,
    burstModeParameters            BurstModeParameters
}

UE-Positioning-MeasuredResults ::= SEQUENCE {
    ue-positioning-OTDOA-Measurement      UE-Positioning-OTDOA-Measurement
OPTIONAL,
    ue-positioning-PositionEstimateInfo    UE-Positioning-PositionEstimateInfo
OPTIONAL,
    ue-positioning-GPS-Measurement         UE-Positioning-GPS-MeasurementResults
OPTIONAL,
    ue-positioning-Error                   UE-Positioning-Error
OPTIONAL
}

UE-Positioning-MeasuredResults-v390ext ::= SEQUENCE {
    ue-Positioning-OTDOA-Measurement-v390ext      UE-Positioning-OTDOA-Measurement-v390ext
}

UE-Positioning-Measurement ::= SEQUENCE {

```

```

    ue-positioning-ReportingQuantity                UE-Positioning-ReportingQuantity,
    reportCriteria                                UE-Positioning-ReportCriteria,
    ue-positioning-OTDOA-AssistanceData            UE-Positioning-OTDOA-AssistanceData
    OPTIONAL,
    ue-positioning-GPS-AssistanceData              UE-Positioning-GPS-AssistanceData
    OPTIONAL
}

UE-Positioning-Measurement-v390ext ::= SEQUENCE {
    ue-positioning-ReportingQuantity-v390ext      UE-Positioning-ReportingQuantity-v390ext
    OPTIONAL,
    measurementValidity                          MeasurementValidity                OPTIONAL,
    ue-positioning-OTDOA-AssistanceData-UEB      UE-Positioning-OTDOA-AssistanceData-UEB
    OPTIONAL
}

UE-Positioning-Measurement-r4 ::= SEQUENCE {
    ue-positioning-ReportingQuantity              UE-Positioning-ReportingQuantity-r4,
    measurementValidity                          MeasurementValidity
    OPTIONAL,
    reportCriteria                                UE-Positioning-ReportCriteria,
    ue-positioning-OTDOA-AssistanceData            UE-Positioning-OTDOA-AssistanceData-r4
    OPTIONAL,
    ue-positioning-GPS-AssistanceData              UE-Positioning-GPS-AssistanceData
    OPTIONAL
}

UE-Positioning-MeasurementEventResults ::= CHOICE {
    event7a                                       UE-Positioning-PositionEstimateInfo,
    event7b                                       UE-Positioning-OTDOA-Measurement,
    event7c                                       UE-Positioning-GPS-MeasurementResults,
    spare                                         NULL
}

UE-Positioning-MeasurementInterval ::= ENUMERATED {
    e5, e15, e60, e300,
    e900, e1800, e3600, e7200 }

UE-Positioning-MethodType ::= ENUMERATED {
    ue-Assisted,
    ue-Based,
    ue-BasedPreferred,
    ue-AssistedPreferred }

UE-Positioning-OTDOA-AssistanceData ::= SEQUENCE {
    ue-positioning-OTDOA-ReferenceCellInfo        UE-Positioning-OTDOA-ReferenceCellInfo
    OPTIONAL,
    ue-positioning-OTDOA-NeighbourCellList        UE-Positioning-OTDOA-NeighbourCellList
    OPTIONAL
}

UE-Positioning-OTDOA-AssistanceData-r4 ::= SEQUENCE {
    ue-positioning-OTDOA-ReferenceCellInfo        UE-Positioning-OTDOA-ReferenceCellInfo-r4
    OPTIONAL,
    ue-positioning-OTDOA-NeighbourCellList        UE-Positioning-OTDOA-NeighbourCellList-r4
    OPTIONAL
}

UE-Positioning-OTDOA-AssistanceData-r4ext ::= SEQUENCE {
    -- In case of TDD these IPDL parameters shall be used for the reference cell instead of
    -- IPDL Parameters in IE UE-Positioning-OTDOA-ReferenceCellInfo
    ue-Positioning-IPDL-Parameters-TDD-r4-ext    UE-Positioning-IPDL-Parameters-TDD-r4-ext
    OPTIONAL,
    -- These IPDL parameters shall be used for the neighbour cells in case of TDD instead of
    -- IPDL Parameters in IE UE-Positioning-OTDOA-NeighbourCellInfoList. The cells shall be
    -- listed in the same order as in IE UE-Positioning-OTDOA-NeighbourCellInfoList
    ue-Positioning-IPDL-Parameters-TDDList-r4-ext UE-Positioning-IPDL-Parameters-TDDList-r4-ext
    OPTIONAL
}

UE-Positioning-OTDOA-AssistanceData-UEB ::= SEQUENCE {
    ue-positioning-OTDOA-ReferenceCellInfo-UEB   UE-Positioning-OTDOA-ReferenceCellInfo-UEB
    OPTIONAL,
    ue-positioning-OTDOA-NeighbourCellList-UEB   UE-Positioning-OTDOA-NeighbourCellList-
    UEB
    OPTIONAL
}

UE-Positioning-IPDL-Parameters-TDDList-r4-ext ::= SEQUENCE (SIZE (1..maxCellMeas)) OF

```

## UE-Positioning-IPDL-Parameters-TDD-r4-ext

```

UE-Positioning-OTDOA-Measurement ::= SEQUENCE {
  sfn INTEGER (0..4095),
  modeSpecificInfo CHOICE {
    fdd SEQUENCE {
      referenceCellIdentity PrimaryCPICH-Info,
      ue-RX-TX-TimeDifferenceType2Info UE-RX-TX-TimeDifferenceType2Info
    },
    tdd SEQUENCE {
      referenceCellIdentity CellParametersID
    }
  },
  neighbourList NeighbourList OPTIONAL
}

UE-Positioning-OTDOA-Measurement-v390ext ::= SEQUENCE {
  neighbourList-v390ext NeighbourList-v390ext
}

UE-Positioning-OTDOA-NeighbourCellInfo ::= SEQUENCE {
  modeSpecificInfo CHOICE {
    fdd SEQUENCE {
      primaryCPICH-Info PrimaryCPICH-Info
    },
    tdd SEQUENCE {
      cellAndChannelIdentity CellAndChannelIdentity
    }
  },
  frequencyInfo FrequencyInfo OPTIONAL,
  ue-positioning-IPDL-Parameters UE-Positioning-IPDL-Parameters OPTIONAL,
  sfn-SFN-RelTimeDifference SFN-SFN-RelTimeDifference1,
  sfn-SFN-Drift SFN-SFN-Drift OPTIONAL,
  searchWindowSize OTDOA-SearchWindowSize,
  positioningMode CHOICE {
    ueBased SEQUENCE {},
    ueAssisted SEQUENCE {}
  }
}

UE-Positioning-OTDOA-NeighbourCellInfo-r4 ::= SEQUENCE {
  modeSpecificInfo CHOICE {
    fdd SEQUENCE {
      primaryCPICH-Info PrimaryCPICH-Info
    },
    tdd SEQUENCE {
      cellAndChannelIdentity CellAndChannelIdentity
    }
  },
  frequencyInfo FrequencyInfo OPTIONAL,
  ue-positioning-IPDL-Parameters UE-Positioning-IPDL-Parameters-r4 OPTIONAL,
  sfn-SFN-RelTimeDifference SFN-SFN-RelTimeDifference1,
  sfn-Offset-Validity SFN-Offset-Validity OPTIONAL,
  sfn-SFN-Drift SFN-SFN-Drift OPTIONAL,
  searchWindowSize OTDOA-SearchWindowSize,
  positioningMode CHOICE {
    ueBased SEQUENCE {
      relativeNorth INTEGER (-20000..20000) OPTIONAL,
      relativeEast INTEGER (-20000..20000) OPTIONAL,
      relativeAltitude INTEGER (-4000..4000) OPTIONAL,
      fineSFN-SFN FineSFN-SFN OPTIONAL,
      -- actual value roundTripTime = (IE value * 0.0625) + 876
      roundTripTime INTEGER (0.. 32766) OPTIONAL
    },
    ueAssisted SEQUENCE {}
  }
}

UE-Positioning-OTDOA-NeighbourCellInfo-UEB ::= SEQUENCE {
  modeSpecificInfo CHOICE {
    fdd SEQUENCE {
      primaryCPICH-Info PrimaryCPICH-Info
    },
    tdd SEQUENCE {
      cellAndChannelIdentity CellAndChannelIdentity
    }
  },
}

```

```

frequencyInfo                FrequencyInfo                OPTIONAL,
ue-positioning-IPDL-Parameters UE-Positioning-IPDL-Parameters OPTIONAL,
sfn-SFN-RelTimeDifference     SFN-SFN-RelTimeDifference,
sfn-SFN-Drift                 SFN-SFN-Drift                OPTIONAL,
searchWindowSize              OTDOA-SearchWindowSize,
relativeNorth                 INTEGER (-20000..20000)      OPTIONAL,
relativeEast                  INTEGER (-20000..20000)      OPTIONAL,
relativeAltitude              INTEGER (-4000..4000)        OPTIONAL,
fineSFN-SFN                   FineSFN-SFN,
-- actual value roundTripTime = (IE value * 0.0625) + 876
roundTripTime                 INTEGER (0..32766)           OPTIONAL
}

UE-Positioning-OTDOA-NeighbourCellList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    UE-Positioning-OTDOA-NeighbourCellInfo

UE-Positioning-OTDOA-NeighbourCellList-r4 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    UE-Positioning-OTDOA-NeighbourCellInfo-r4

UE-Positioning-OTDOA-NeighbourCellList-UEB ::= SEQUENCE (SIZE (1..maxCellMeas)) OF
    UE-Positioning-OTDOA-NeighbourCellInfo-UEB

UE-Positioning-OTDOA-Quality ::= SEQUENCE {
    stdResolution                BIT STRING (SIZE (2)),
    numberOfOTDOA-Measurements   BIT STRING (SIZE (3)),
    stdOfOTDOA-Measurements      BIT STRING (SIZE (5))
}

UE-Positioning-OTDOA-ReferenceCellInfo ::= SEQUENCE {
    sfn                          INTEGER (0..4095)
    OPTIONAL,
    modeSpecificInfo CHOICE {
        fdd                      SEQUENCE {
            primaryCPICH-Info    PrimaryCPICH-Info
        },
        tdd                      SEQUENCE{
            cellAndChannelIdentity CellAndChannelIdentity
        }
    },
    frequencyInfo                FrequencyInfo                OPTIONAL,
    positioningMode CHOICE {
        ueBased                   SEQUENCE {},
        ueAssisted                SEQUENCE {}
    },
    ue-positioning-IPDL-Parameters UE-Positioning-IPDL-Parameters OPTIONAL
}

UE-Positioning-OTDOA-ReferenceCellInfo-r4 ::= SEQUENCE {
    sfn                          INTEGER (0..4095)
    OPTIONAL,
    modeSpecificInfo CHOICE {
        fdd                      SEQUENCE {
            primaryCPICH-Info    PrimaryCPICH-Info
        },
        tdd                      SEQUENCE{
            cellAndChannelIdentity CellAndChannelIdentity
        }
    },
    frequencyInfo                FrequencyInfo                OPTIONAL,
    positioningMode CHOICE {
        ueBased                   SEQUENCE {
            cellPosition          ReferenceCellPosition    OPTIONAL,
            -- actual value roundTripTime = (IE value * 0.0625) + 876
            roundTripTime         INTEGER (0..32766)         OPTIONAL
        },
        ueAssisted                SEQUENCE {}
    },
    ue-positioning-IPDL-Parameters UE-Positioning-IPDL-Parameters-r4 OPTIONAL
}

UE-Positioning-OTDOA-ReferenceCellInfo-UEB ::= SEQUENCE {
    sfn                          INTEGER (0..4095)                OPTIONAL,
    modeSpecificInfo CHOICE {
        fdd                      SEQUENCE {
            primaryCPICH-Info    PrimaryCPICH-Info
        },
        tdd                      SEQUENCE{
            cellAndChannelIdentity CellAndChannelIdentity
        }
    }
}

```



```

    },
    frequencyInfo          FrequencyInfo          OPTIONAL,
    cellPosition           ReferenceCellPosition  OPTIONAL,
    -- actual value roundTripTime = (IE value * 0.0625) + 876
    roundTripTime          INTEGER (0..32766)      OPTIONAL,
    ue-positioning-IPDL-Parameters  UE-Positioning-IPDL-Parameters  OPTIONAL
}

UE-Positioning-PositionEstimateInfo ::=
    SEQUENCE {
        referenceTime      CHOICE {
            utran-GPSReferenceTimeResult  UTRAN-GPSReferenceTimeResult,
            gps-ReferenceTimeOnly          INTEGER (0..604799999),
            cell-Timing                    SEQUENCE {
                sfn                        INTEGER (0..4095),
                modeSpecificInfo          CHOICE {
                    fdd                    SEQUENCE {
                        primaryCPICH-Info  PrimaryCPICH-Info
                    },
                    tdd                    SEQUENCE {
                        cellAndChannelIdentity  CellAndChannelIdentity
                    }
                }
            }
        },
        positionEstimate    PositionEstimate
    }

UE-Positioning-ReportCriteria ::=
    CHOICE {
        ue-positioning-ReportingCriteria  UE-Positioning-EventParamList,
        periodicalReportingCriteria        PeriodicalReportingCriteria,
        noReporting                        NULL
    }

UE-Positioning-ReportingQuantity ::=
    SEQUENCE {
        methodType          UE-Positioning-MethodType,
        positioningMethod    PositioningMethod,
        -- dummy1 is not used in this version of specification and it should
        -- be ignored.
        dummy1              UE-Positioning-ResponseTime,
        horizontal-Accuracy  UE-Positioning-Accuracy          OPTIONAL,
        gps-TimingOfCellWanted  BOOLEAN,
        -- dummy2 is not used in this version of specification and it should
        -- be ignored.
        dummy2              BOOLEAN,
        additionalAssistanceDataRequest  BOOLEAN,
        environmentCharacterisation  EnvironmentCharacterisation  OPTIONAL
    }

UE-Positioning-ReportingQuantity-v390ext ::=
    SEQUENCE {
        vertical-Accuracy    UE-Positioning-Accuracy
    }

UE-Positioning-ReportingQuantity-r4 ::=
    SEQUENCE {
        methodType          UE-Positioning-MethodType,
        positioningMethod    PositioningMethod,
        horizontalAccuracy    UE-Positioning-Accuracy          OPTIONAL,
        verticalAccuracy      UE-Positioning-Accuracy          OPTIONAL,
        gps-TimingOfCellWanted  BOOLEAN,
        additionalAssistanceDataReq  BOOLEAN,
        environmentCharacterisation  EnvironmentCharacterisation  OPTIONAL
    }

UE-Positioning-ResponseTime ::=
    ENUMERATED {
        s1, s2, s4, s8, s16,
        s32, s64, s128 }

-- SPARE: UTRA-CarrierRSSI, Max = 76
-- Values above Max are spare
UTRA-CarrierRSSI ::=
    INTEGER (0..127)

UTRAN-GPS-DriftRate ::=
    ENUMERATED {
        utran-GPSDrift0, utran-GPSDrift1, utran-GPSDrift2,
        utran-GPSDrift5, utran-GPSDrift10, utran-GPSDrift15,
        utran-GPSDrift25, utran-GPSDrift50, utran-GPSDrift-1,
        utran-GPSDrift-2, utran-GPSDrift-5, utran-GPSDrift-10,
        utran-GPSDrift-15, utran-GPSDrift-25, utran-GPSDrift-50}

```

```

UTRAN-GPSReferenceTime ::=
    SEQUENCE {
        -- For utran-GPSTimingOfCell values above 2322431999999 are not
        -- used in this version of the specification
        -- Actual value utran-GPSTimingOfCell = (ms-part * 4294967296) + ls-part
        utran-GPSTimingOfCell SEQUENCE {
            ms-part INTEGER (0..1023),
            ls-part  INTEGER (0..4294967295)
        },
        modeSpecificInfo CHOICE {
            fdd SEQUENCE {
                referenceIdentity PrimaryCPICH-Info
            },
            tdd SEQUENCE {
                referenceIdentity CellParametersID
            }
        } OPTIONAL,
        sfn INTEGER (0..4095)
    }

UTRAN-GPSReferenceTimeResult ::=
    SEQUENCE {
        -- For ue-GPSTimingOfCell values above 371589119999999 are not
        -- used in this version of the specification
        -- Actual value ue-GPSTimingOfCell = (ms-part * 4294967296) + ls-part
        ue-GPSTimingOfCell SEQUENCE {
            ms-part INTEGER (0.. 16383),
            ls-part  INTEGER (0..4294967295)
        },
        modeSpecificInfo CHOICE {
            fdd SEQUENCE {
                referenceIdentity PrimaryCPICH-Info
            },
            tdd SEQUENCE {
                referenceIdentity CellParametersID
            }
        },
        sfn INTEGER (0..4095)
    }

VarianceOfRLC-BufferPayload ::=
    ENUMERATED {
        plv0, plv4, plv8, plv16, plv32, plv64,
        plv128, plv256, plv512, plv1024,
        plv2k, plv4k, plv8k, plv16k, spare2, spare1 }

-- Actual value W = IE value * 0.1
W ::=
    INTEGER (0..20)

-- *****
--
-- OTHER INFORMATION ELEMENTS (10.3.8)
--
-- *****

BCC ::=
    INTEGER (0..7)

BCCH-ModificationInfo ::=
    SEQUENCE {
        mib-ValueTag MIB-ValueTag,
        bcch-ModificationTime BCCH-ModificationTime OPTIONAL
    }

-- Actual value BCCH-ModificationTime = IE value * 8
BCCH-ModificationTime ::=
    INTEGER (0..511)

BSIC ::=
    SEQUENCE {
        ncc NCC,
        bcc BCC
    }

CBS-DRX-Level1Information ::=
    SEQUENCE {
        ctch-AllocationPeriod INTEGER (1..256),
        cbs-FrameOffset INTEGER (0..255)
    }

CDMA2000-Message ::=
    SEQUENCE {
        msg-Type BIT STRING (SIZE (8)),
        payload BIT STRING (SIZE (1..512))
    }

CDMA2000-MessageList ::=
    SEQUENCE (SIZE (1..maxInterSysMessages)) OF

```

## CDMA2000-Message

```

CDMA2000-UMTS-Frequency-List ::= SEQUENCE (SIZE (1..maxNumCDMA2000Freqs)) OF
    FrequencyInfoCDMA2000

CellValueTag ::= INTEGER (1..4)

--Actual value = 2^(IE value)
ExpirationTimeFactor ::= INTEGER (1..8)

FDD-UMTS-Frequency-List ::= SEQUENCE (SIZE (1..maxNumFDDFreqs)) OF
    FrequencyInfoFDD

FrequencyInfoCDMA2000 ::= SEQUENCE {
    band-Class          BIT STRING (SIZE (5)),
    cdma-Freq           BIT STRING (SIZE(11))
}

GSM-BA-Range ::= SEQUENCE {
    gsmLowRangeUARFCN   UARFCN,
    gsmUpRangeUARFCN    UARFCN
}

GSM-BA-Range-List ::= SEQUENCE (SIZE (1..maxNumGSMFreqRanges)) OF
    GSM-BA-Range

-- This IE is formatted as 'TLV' and is coded in the same way as the Mobile Station Classmark 2
-- information element in [5]. The first octet is the Mobile station classmark 2 IEI and its value
-- shall be set to 33H. The second octet is the Length of mobile station classmark 2 and its value
-- shall be set to 3. The octet 3 contains the first octet of the value part of the Mobile Station
-- Classmark 2 information element, the octet 4 contains the second octet of the value part of the
-- Mobile Station Classmark 2 information element and so on. For each of these octets, the first/
-- leftmost/ most significant bit of the octet contains b8 of the corresponding octet of the Mobile
-- Station Classmark 2.
GSM-Classmark2 ::= OCTET STRING (SIZE (5))

-- This IE is formatted as 'V' and is coded in the same way as the value part in the Mobile station
-- classmark 3 information element in [5]
-- The value part is specified by means of CSN.1, which encoding results in a bit string, to which
-- final padding may be appended upto the next octet boundary [5]. The first/ leftmost bit of the
-- CSN.1 bit string is placed in the first/ leftmost/ most significant bit of the first
-- octet. This continues until the last bit of the CSN.1 bit string, which is placed in the last/
-- rightmost/ least significant bit of the last octet.
GSM-Classmark3 ::= OCTET STRING (SIZE (1..32))

GSM-MessageList ::= SEQUENCE (SIZE (1..maxInterSysMessages)) OF
    BIT STRING (SIZE (1..512))

GsmSecurityCapability ::= BIT STRING {
    -- For each bit value "0" means false/ not supported
    a5-7(0),
    a5-6(1),
    a5-5(2),
    a5-4(3),
    a5-3(4),
    a5-2(5),
    a5-1(6)
} (SIZE (7))

IdentificationOfReceivedMessage ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    receivedMessageType       ReceivedMessageType
}

InterRAT-ChangeFailureCause ::= CHOICE {
    configurationUnacceptable    NULL,
    physicalChannelFailure       NULL,
    protocolError                ProtocolErrorInformation,
    unspecified                  NULL,
    spare4                       NULL,
    spare3                       NULL,
    spare2                       NULL,
    spare1                       NULL
}

InterRAT-UE-RadioAccessCapability ::= CHOICE {
    gsm                           SEQUENCE {

```

```

        gsm-Classmark2          GSM-Classmark2,
        gsm-Classmark3          GSM-Classmark3
    },
    cdma2000                     SEQUENCE {
        cdma2000-MessageList     CDMA2000-MessageList
    }
}

InterRAT-UE-RadioAccessCapabilityList ::= SEQUENCE (SIZE(1..maxInterSysMessages)) OF
InterRAT-UE-RadioAccessCapability

InterRAT-UE-SecurityCapability ::= CHOICE {
    gsm                           SEQUENCE {
        gsmSecurityCapability     GsmSecurityCapability
    }
}

InterRAT-UE-SecurityCapList ::= SEQUENCE (SIZE(1..maxInterSysMessages)) OF
InterRAT-UE-SecurityCapability

InterRAT-HO-FailureCause ::= CHOICE {
    configurationUnacceptable      NULL,
    physicalChannelFailure         NULL,
    protocolError                  ProtocolErrorInformation,
    interRAT-ProtocolError         NULL,
    unspecified                     NULL,
    spare11                        NULL,
    spare10                        NULL,
    spare9                         NULL,
    spare8                         NULL,
    spare7                         NULL,
    spare6                         NULL,
    spare5                         NULL,
    spare4                         NULL,
    spare3                         NULL,
    spare2                         NULL,
    spare1                         NULL
}

MasterInformationBlock ::= SEQUENCE {
    mib-ValueTag                  MIB-ValueTag,
    -- TABULAR: The PLMN identity and ANSI-41 core network information
    -- are included in PLMN-Type.
    plmn-Type                     PLMN-Type,
    sibSb-ReferenceList           SIBSb-ReferenceList,
    -- Extension mechanism for non- release99 information
    nonCriticalExtensions         SEQUENCE {} OPTIONAL
}

MIB-ValueTag ::= INTEGER (1..8)

NCC ::= INTEGER (0..7)

PLMN-ValueTag ::= INTEGER (1..256)

PredefinedConfigIdentityAndValueTag ::= SEQUENCE {
    predefinedConfigIdentity       PredefinedConfigIdentity,
    predefinedConfigValueTag       PredefinedConfigValueTag
}

ProtocolErrorInformation ::= SEQUENCE {
    diagnosticsType               CHOICE {
        type1                      SEQUENCE {
            protocolErrorCause     ProtocolErrorCause
        },
        spare                       NULL
    }
}

ReceivedMessageType ::= ENUMERATED {
    activeSetUpdate,
    cellChangeOrderFromUTRAN,
    cellUpdateConfirm,
    counterCheck,
    downlinkDirectTransfer,
    interRATHandoverCommand,
    measurementControl,

```

```

        pagingType2,
        physicalChannelReconfiguration,
        physicalSharedChannelAllocation,
        radioBearerReconfiguration,
        radioBearerRelease,
        radioBearerSetup,
        rrcConnectionRelease,
        rrcConnectionReject,
        rrcConnectionSetup,
        securityModeCommand,
        signallingConnectionRelease,
        transportChannelReconfiguration,
        transportFormatCombinationControl,
        ueCapabilityEnquiry,
        ueCapabilityInformationConfirm,
        uplinkPhysicalChannelControl,
        uraUpdateConfirm,
        utranMobilityInformation,
        assistanceDataDelivery,
        spare6, spare5, spare4, spare3, spare2,
        spare1
    }
}

Rplmn-Information ::= SEQUENCE {
    gsm-BA-Range-List          GSM-BA-Range-List          OPTIONAL,
    fdd-UMTS-Frequency-List   FDD-UMTS-Frequency-List
    OPTIONAL,
    tdd-UMTS-Frequency-List   TDD-UMTS-Frequency-List
    OPTIONAL,
    cdma2000-UMTS-Frequency-List CDMA2000-UMTS-Frequency-
List    OPTIONAL
}

Rplmn-Information-r4 ::= SEQUENCE {
    gsm-BA-Range-List          GSM-BA-Range-List          OPTIONAL,
    fdd-UMTS-Frequency-List   FDD-UMTS-Frequency-List   OPTIONAL,
    tdd384-UMTS-Frequency-List TDD-UMTS-Frequency-List   OPTIONAL,
    tdd128-UMTS-Frequency-List TDD-UMTS-Frequency-List   OPTIONAL,
    cdma2000-UMTS-Frequency-List CDMA2000-UMTS-Frequency-List   OPTIONAL
}

SchedulingInformation ::= SEQUENCE {
    scheduling SEQUENCE {
        segCount SegCount DEFAULT 1,
        sib-Pos CHOICE {
            -- The element name indicates the repetition period and the value
            -- (multiplied by two) indicates the position of the first segment.
            rep4 INTEGER (0..1),
            rep8 INTEGER (0..3),
            rep16 INTEGER (0..7),
            rep32 INTEGER (0..15),
            rep64 INTEGER (0..31),
            rep128 INTEGER (0..63),
            rep256 INTEGER (0..127),
            rep512 INTEGER (0..255),
            rep1024 INTEGER (0..511),
            rep2048 INTEGER (0..1023),
            rep4096 INTEGER (0..2047)
        },
        sib-PosOffsetInfo SibOFF-List OPTIONAL
    }
}

SchedulingInformationSIB ::= SEQUENCE {
    sib-Type SIB-TypeAndTag,
    scheduling SchedulingInformation
}

SchedulingInformationSIBSb ::= SEQUENCE {
    sibSb-Type SIBSb-TypeAndTag,
    scheduling SchedulingInformation
}

SegCount ::= INTEGER (1..16)

SegmentIndex ::= INTEGER (1..15)

-- Actual value SFN-Prime = 2 * IE value

```

```

SFN-Prime ::= INTEGER (0..2047)

SIB-Data-fixed ::= BIT STRING (SIZE (222))

SIB-Data-variable ::= BIT STRING (SIZE (1..214))

SIBOccurIdentity ::= INTEGER (0..15)

SIBOccurrenceIdentityAndValueTag ::= SEQUENCE {
    sibOccurIdentity      SIBOccurIdentity,
    sibOccurValueTag     SIBOccurValueTag
}

SIBOccurValueTag ::= INTEGER (0..15)

SIB-ReferenceList ::= SEQUENCE (SIZE (1..maxSIB)) OF
    SchedulingInformationSIB

SIBSb-ReferenceList ::= SEQUENCE (SIZE (1..maxSIB)) OF
    SchedulingInformationSIBSb

SIB-ReferenceListFACH ::= SEQUENCE (SIZE (1..maxSIB-FACH)) OF
    SchedulingInformationSIB

SIB-Type ::= ENUMERATED {
    masterInformationBlock,
    systemInformationBlockType1,
    systemInformationBlockType2,
    systemInformationBlockType3,
    systemInformationBlockType4,
    systemInformationBlockType5,
    systemInformationBlockType6,
    systemInformationBlockType7,
    systemInformationBlockType8,
    systemInformationBlockType9,
    systemInformationBlockType10,
    systemInformationBlockType11,
    systemInformationBlockType12,
    systemInformationBlockType13,
    systemInformationBlockType13-1,
    systemInformationBlockType13-2,
    systemInformationBlockType13-3,
    systemInformationBlockType13-4,
    systemInformationBlockType14,
    systemInformationBlockType15,
    systemInformationBlockType15-1,
    systemInformationBlockType15-2,
    systemInformationBlockType15-3,
    systemInformationBlockType16,
    systemInformationBlockType17,
    systemInformationBlockType15-4,
    systemInformationBlockType18,
    schedulingBlock1,
    schedulingBlock2,
    systemInformationBlockType15-5,
    spare1, spare2 }

SIB-TypeAndTag ::= CHOICE {
    sysInfoType1      PLMN-ValueTag,
    sysInfoType2      CellValueTag,
    sysInfoType3      CellValueTag,
    sysInfoType4      CellValueTag,
    sysInfoType5      CellValueTag,
    sysInfoType6      CellValueTag,
    sysInfoType7      NULL,
    sysInfoType8      CellValueTag,
    sysInfoType9      NULL,
    sysInfoType10     NULL,
    sysInfoType11     CellValueTag,
    sysInfoType12     CellValueTag,
    sysInfoType13     CellValueTag,
    sysInfoType13-1   CellValueTag,
    sysInfoType13-2   CellValueTag,
    sysInfoType13-3   CellValueTag,
    sysInfoType13-4   CellValueTag,
    sysInfoType14     NULL,

```

```

sysInfoType15      CellValueTag,
sysInfoType16      PredefinedConfigIdentityAndValueTag,
sysInfoType17      NULL,
sysInfoType15-1    CellValueTag,
sysInfoType15-2    SIBOccurrenceIdentityAndValueTag,
sysInfoType15-3    SIBOccurrenceIdentityAndValueTag,
sysInfoType15-4    CellValueTag,
sysInfoType18      CellValueTag,
sysInfoType15-5    CellValueTag,
spare5             NULL,
spare4             NULL,
spare3             NULL,
spare2             NULL,
spare1             NULL
}

SIBSb-TypeAndTag ::=
  sysInfoType1      CHOICE {
  sysInfoType2      PLMN-ValueTag,
  sysInfoType3      CellValueTag,
  sysInfoType4      CellValueTag,
  sysInfoType5      CellValueTag,
  sysInfoType6      CellValueTag,
  sysInfoType7      NULL,
  sysInfoType8      CellValueTag,
  sysInfoType9      NULL,
  sysInfoType10     NULL,
  sysInfoType11     CellValueTag,
  sysInfoType12     CellValueTag,
  sysInfoType13     CellValueTag,
  sysInfoType13-1   CellValueTag,
  sysInfoType13-2   CellValueTag,
  sysInfoType13-3   CellValueTag,
  sysInfoType13-4   CellValueTag,
  sysInfoType14     NULL,
  sysInfoType15     CellValueTag,
  sysInfoType16     PredefinedConfigIdentityAndValueTag,
  sysInfoType17     NULL,
  sysInfoTypeSB1    CellValueTag,
  sysInfoTypeSB2    CellValueTag,
  sysInfoType15-1   CellValueTag,
  sysInfoType15-2   SIBOccurrenceIdentityAndValueTag,
  sysInfoType15-3   SIBOccurrenceIdentityAndValueTag,
  sysInfoType15-4   CellValueTag,
  sysInfoType18     CellValueTag,
  sysInfoType15-5   CellValueTag,
  spare3            NULL,
  spare2            NULL,
  spare1            NULL
}

SibOFF ::=
  ENUMERATED {
    so2, so4, so6, so8, so10,
    so12, so14, so16, so18,
    so20, so22, so24, so26,
    so28, so30, so32 }

SibOFF-List ::=
  SEQUENCE (SIZE (1..15)) OF
  SibOFF

SysInfoType1 ::=
  SEQUENCE {
    -- Core network IEs
    cn-CommonGSM-MAP-NAS-SysInfo  NAS-SystemInformationGSM-MAP,
    cn-DomainSysInfoList          CN-DomainSysInfoList,
    -- User equipment IEs
    ue-ConnTimersAndConstants      UE-ConnTimersAndConstants      OPTIONAL,
    ue-IdleTimersAndConstants      UE-IdleTimersAndConstants      OPTIONAL,
    -- Extension mechanism for non-
    v3a0NonCriticalExtensions      release99 information
    v3a0NonCriticalExtensions      SEQUENCE {
      sysInfoType1-v3a0ext         SysInfoType1-v3a0ext-IEs,
      nonCriticalExtensions        SEQUENCE {} OPTIONAL
    }
  }
  OPTIONAL

SysInfoType1-v3a0ext-IEs ::= SEQUENCE {
  ue-ConnTimersAndConstants-v3a0ext  UE-ConnTimersAndConstants-v3a0ext,
  ue-IdleTimersAndConstants-v3a0ext  UE-IdleTimersAndConstants-v3a0ext
}

```

```

SysInfoType2 ::= SEQUENCE {
  -- UTRAN mobility IEs
  ura-IdentityList          URA-IdentityList,
  -- Extension mechanism for non- release99 information
  nonCriticalExtensions     SEQUENCE {} OPTIONAL
}

SysInfoType3 ::= SEQUENCE {
  sib4indicator             BOOLEAN,
  -- UTRAN mobility IEs
  cellIdentity              CellIdentity,
  cellSelectReselectInfo    CellSelectReselectInfoSIB-3-4,
  cellAccessRestriction     CellAccessRestriction,
  -- Extension mechanism for non- release99 information
  v4b0NonCriticalExtensions SEQUENCE {
    sysInfoType3-v4b0ext     SysInfoType3-v4b0ext-IEs,
    nonCriticalExtensions     SEQUENCE {} OPTIONAL
  }
}

SysInfoType3-v4b0ext-IEs ::= SEQUENCE {
  mapping-LCR               Mapping-LCR-r4 OPTIONAL
}

SysInfoType4 ::= SEQUENCE {
  -- UTRAN mobility IEs
  cellIdentity              CellIdentity,
  cellSelectReselectInfo    CellSelectReselectInfoSIB-3-4,
  cellAccessRestriction     CellAccessRestriction,
  -- Extension mechanism for non- release99 information
  v4b0NonCriticalExtensions SEQUENCE {
    sysInfoType4-v4b0ext     SysInfoType4-v4b0ext-IEs,
    nonCriticalExtensions     SEQUENCE {} OPTIONAL
  }
}

SysInfoType4-v4b0ext-IEs ::= SEQUENCE {
  mapping-LCR               Mapping-LCR-r4 OPTIONAL
}

SysInfoType5 ::= SEQUENCE {
  sib6indicator             BOOLEAN,
  -- Physical channel IEs
  pich-PowerOffset          PICH-PowerOffset,
  modeSpecificInfo          CHOICE {
    fdd                      SEQUENCE {
      aich-PowerOffset        AICH-PowerOffset
    },
    tdd                      SEQUENCE {
      -- If PDSCH/PUSCH is configured for 1.28Mcps TDD, the following IEs should be absent
      -- and the info included in the tdd128SpecificInfo instead.
      pusch-SysInfoList-SFN    PUSCH-SysInfoList-SFN OPTIONAL,
      pdsch-SysInfoList-SFN    PDSCH-SysInfoList-SFN OPTIONAL,
      openLoopPowerControl-TDD OpenLoopPowerControl-TDD
    }
  },
  primaryCCPCH-Info         PrimaryCCPCH-Info OPTIONAL,
  prach-SystemInformationList PRACH-SystemInformationList,
  sCCPCH-SystemInformationList SCCPCH-SystemInformationList,
  -- cbs-DRX-Level1Information is conditional on any of the CTCH indicator IEs in
  -- sCCPCH-SystemInformationList
  cbs-DRX-Level1Information CBS-DRX-Level1Information OPTIONAL,
  -- Extension mechanism for non- release99 information
  v4b0NonCriticalExtensions SEQUENCE {
    sysInfoType5-v4b0ext     SysInfoType5-v4b0ext-IEs OPTIONAL,
    -- Extension mechanism for non- rel-4 information
    nonCriticalExtensions     SEQUENCE {} OPTIONAL
  }
}

SysInfoType5-v4b0ext-IEs ::= SEQUENCE {
  --The following IE PNBSCH-Allocation-r4 shall be used for 3.84Mcps TDD only.
  pNBSCH-Allocation-r4      PNBSCH-Allocation-r4 OPTIONAL,
  -- In case of TDD, the following IE is included instead of the
  -- IE up-IPDL-Parameter in up-OTDOA-AssistanceData.
  openLoopPowerControl-IPDL-TDD OpenLoopPowerControl-IPDL-TDD-r4 OPTIONAL,
  -- If SysInfoType5 is sent to describe a 1.28Mcps TDD cell, the IE PRACH-RACH-Info included in

```



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-- PRACH-SystemInformationList shall be ignored, the IE PRACH-Partitioning and the
-- IE rach-TransportFormatSet shall be absent and the corresponding IE in the following
-- PRACH-SystemInformationList-LCR-r4 shall be used
prach-SystemInformationList-LCR-r4 PRACH-SystemInformationList-LCR-r4 OPTIONAL,
tdd128SpecificInfo SEQUENCE {
    pusch-SysInfoList-SFN PUSCH-SysInfoList-SFN-LCR-r4 OPTIONAL,
    pdsch-SysInfoList-SFN PDSCH-SysInfoList-SFN-LCR-r4 OPTIONAL,
    pCCPCH-LCR-Extensions PrimaryCCPCH-Info-LCR-r4-ext OPTIONAL,
    sCCPCH-LCR-ExtensionsList SCCPCH-SystemInformationList-LCR-r4-ext
}
frequencyBandIndicator RadioFrequencyBandFDD Spare OPTIONAL
}

SysInfoType6 ::= SEQUENCE {
    -- Physical channel IEs
    pich-PowerOffset PICH-PowerOffset,
    modeSpecificInfo CHOICE {
        fdd SEQUENCE {
            aich-PowerOffset AICH-PowerOffset,
            -- dummy is not used in this version of specification, it should
            -- not be sent and if received it should be ignored.
            dummy CSICH-PowerOffset OPTIONAL
        },
        tdd SEQUENCE {
            -- If PDSCH/PUSCH is configured for 1.28Mcps TDD, pusch-SysInfoList-SFN,
            -- pdsch-SysInfoList-SFN and openLoopPowerControl-TDD should be absent
            -- and the info included in the tdd128SpecificInfo instead.
            pusch-SysInfoList-SFN PUSCH-SysInfoList-SFN OPTIONAL,
            pdsch-SysInfoList-SFN PDSCH-SysInfoList-SFN OPTIONAL,
            openLoopPowerControl-TDD OpenLoopPowerControl-TDD
        }
    },
    primaryCCPCH-Info PrimaryCCPCH-Info OPTIONAL,
    prach-SystemInformationList PRACH-SystemInformationList OPTIONAL,
    sCCPCH-SystemInformationList SCCPCH-SystemInformationList OPTIONAL,
    cbs-DRX-Level1Information CBS-DRX-Level1Information OPTIONAL,
    -- Conditional on any of the CTCH indicator IEs in
    -- sCCPCH-SystemInformationList
    -- Extension mechanism for non- release99 information
    v4b0NonCriticalExtensions SEQUENCE {
        sysInfoType6-v4b0ext SysInfoType6-v4b0ext-IEs OPTIONAL,
        -- Extension mechanism for non- rel-4 information
        nonCriticalExtensions SEQUENCE {} OPTIONAL
    }
}

SysInfoType6-v4b0ext-IEs ::= SEQUENCE {
    -- openLoopPowerControl-IPDL-TDD is present only if IPDLs are applied for TDD
    openLoopPowerControl-IPDL-TDD OpenLoopPowerControl-IPDL-TDD-r4 OPTIONAL,
    -- If SysInfoType6 is sent to describe a 1.28Mcps TDD cell, the IE PRACH-RACH-Info included
    -- in PRACH-SystemInformationList shall be ignored, the IE PRACH-Partitioning and the
    -- IE rach-TransportFormatSet shall be absent and the corresponding IEs in the following
    -- PRACH-SystemInformationList-LCR-r4 shall be used
    prach-SystemInformationList-LCR-r4 PRACH-SystemInformationList-LCR-r4 OPTIONAL,
    tdd128SpecificInfo SEQUENCE {
        pusch-SysInfoList-SFN PUSCH-SysInfoList-SFN-LCR-r4 OPTIONAL,
        pdsch-SysInfoList-SFN PDSCH-SysInfoList-SFN-LCR-r4 OPTIONAL,
        pCCPCH-LCR-Extensions PrimaryCCPCH-Info-LCR-r4-ext OPTIONAL,
        sCCPCH-LCR-ExtensionsList SCCPCH-SystemInformationList-LCR-r4-ext OPTIONAL
    }
    frequencyBandIndicator RadioFrequencyBandFDD Spare OPTIONAL
}

SysInfoType7 ::= SEQUENCE {
    -- Physical channel IEs
    modeSpecificInfo CHOICE {
        fdd SEQUENCE {
            ul-Interference UL-Interference
        },
        tdd NULL
    },
    prach-Information-SIB5-List DynamicPersistenceLevelList,
    prach-Information-SIB6-List DynamicPersistenceLevelList OPTIONAL,
    expirationTimeFactor ExpirationTimeFactor OPTIONAL,
    -- Extension mechanism for non- release99 information
    nonCriticalExtensions SEQUENCE {} OPTIONAL
}

```

```

SysInfoType8 ::= SEQUENCE {
  -- User equipment IEs
  cpch-Parameters CPCH-Parameters,
  -- Physical channel IEs
  cpch-SetInfoList CPCH-SetInfoList,
  csich-PowerOffset CSICH-PowerOffset,
  -- Extension mechanism for non- release99 information
  nonCriticalExtensions SEQUENCE {} OPTIONAL
}

SysInfoType9 ::= SEQUENCE {
  -- Physical channel IEs
  cpch-PersistenceLevelsList CPCH-PersistenceLevelsList,
  -- Extension mechanism for non- release99 information
  nonCriticalExtensions SEQUENCE {} OPTIONAL
}

SysInfoType10 ::= SEQUENCE {
  -- User equipment IEs
  drac-SysInfoList DRAC-SysInfoList,
  -- Extension mechanism for non- release99 information
  nonCriticalExtensions SEQUENCE {} OPTIONAL
}

SysInfoType11 ::= SEQUENCE {
  sib12indicator BOOLEAN,
  -- Measurement IEs
  fach-MeasurementOccasionInfo FACH-MeasurementOccasionInfo OPTIONAL,
  measurementControlSysInfo MeasurementControlSysInfo,
  -- Extension mechanism for non- release99 information
  v4b0NonCriticalExtensions SEQUENCE {
    sysInfoType11-v4b0ext SysInfoType11-v4b0ext-IEs OPTIONAL,
    nonCriticalExtensions SEQUENCE {} OPTIONAL
  } OPTIONAL
}

SysInfoType11-v4b0ext-IEs ::= SEQUENCE {
  fach-MeasurementOccasionInfo-LCR-Ext FACH-MeasurementOccasionInfo-LCR-r4-ext OPTIONAL,
  measurementControlSysInfo-LCR MeasurementControlSysInfo-LCR-r4-ext
}

SysInfoType12 ::= SEQUENCE {
  -- Measurement IEs
  fach-MeasurementOccasionInfo FACH-MeasurementOccasionInfo OPTIONAL,
  measurementControlSysInfo MeasurementControlSysInfo,
  -- Extension mechanism for non- release99 information
  v4b0NonCriticalExtensions SEQUENCE {
    sysInfoType12-v4b0ext SysInfoType12-v4b0ext-IEs OPTIONAL,
    nonCriticalExtensions SEQUENCE {} OPTIONAL
  } OPTIONAL
}

SysInfoType12-v4b0ext-IEs ::= SEQUENCE {
  fach-MeasurementOccasionInfo-LCR-Ext FACH-MeasurementOccasionInfo-LCR-r4-ext OPTIONAL,
  measurementControlSysInfo-LCR MeasurementControlSysInfo-LCR-r4-ext
}

SysInfoType13 ::= SEQUENCE {
  -- Core network IEs
  cn-DomainSysInfoList CN-DomainSysInfoList,
  -- User equipment IEs
  ue-IdleTimersAndConstants UE-IdleTimersAndConstants OPTIONAL,
  capabilityUpdateRequirement CapabilityUpdateRequirement OPTIONAL,
  -- Extension mechanism for non- release99 information
  v3a0NonCriticalExtensions SEQUENCE {
    sysInfoType13-v3a0ext SysInfoType13-v3a0ext-IEs,
    v4b0NonCriticalExtensions SEQUENCE {
      sysInfoType13-v4b0ext SysInfoType13-v4b0ext-IEs,
      -- Extension mechanism for non- release99 information
      nonCriticalExtensions SEQUENCE {} OPTIONAL
    } OPTIONAL
  } OPTIONAL
}

SysInfoType13-v3a0ext-IEs ::= SEQUENCE {
  ue-IdleTimersAndConstants-v3a0ext UE-IdleTimersAndConstants-v3a0ext
}

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```

SysInfoType13-v4b0ext-IEs ::= SEQUENCE {
    capabilityUpdateRequirement-r4Ext    CapabilityUpdateRequirement-r4-ext    OPTIONAL
}

SysInfoType13-1 ::=
    SEQUENCE {
        -- ANSI-41 IEs
        ansi-41-RAND-Information          ANSI-41-RAND-Information,
        -- Extension mechanism for non- release99 information
        nonCriticalExtensions              SEQUENCE {}                                OPTIONAL
    }

SysInfoType13-2 ::=
    SEQUENCE {
        -- ANSI-41 IEs
        ansi-41-UserZoneID-Information    ANSI-41-UserZoneID-Information,
        -- Extension mechanism for non- release99 information
        nonCriticalExtensions              SEQUENCE {}                                OPTIONAL
    }

SysInfoType13-3 ::=
    SEQUENCE {
        -- ANSI-41 IEs
        ansi-41-PrivateNeighbourListInfo ANSI-41-PrivateNeighbourListInfo,
        -- Extension mechanism for non- release99 information
        nonCriticalExtensions              SEQUENCE {}                                OPTIONAL
    }

SysInfoType13-4 ::=
    SEQUENCE {
        -- ANSI-41 IEs
        ansi-41-GlobalServiceRedirectInfo ANSI-41-GlobalServiceRedirectInfo,
        -- Extension mechanism for non- release99 information
        nonCriticalExtensions              SEQUENCE {}                                OPTIONAL
    }

SysInfoType14 ::=
    SEQUENCE {
        -- Physical channel IEs
        individualTS-InterferenceList     IndividualTS-InterferenceList,
        expirationTimeFactor               ExpirationTimeFactor                    OPTIONAL,
        -- Extension mechanism for non- release99 information
        nonCriticalExtensions              SEQUENCE {}                                OPTIONAL
    }

SysInfoType15 ::=
    SEQUENCE {
        -- Measurement IEs

        ue-positioning-GPS-CipherParameters    UE-Positioning-CipherParameters    OPTIONAL,
        ue-positioning-GPS-ReferenceLocation    ReferenceLocation,
        ue-positioning-GPS-ReferenceTime        UE-Positioning-GPS-ReferenceTime,

        ue-positioning-GPS-Real-timeIntegrity    BadSatList                            OPTIONAL,
        -- Extension mechanism for non- release99 information
        v4b0NonCriticalExtensions              SEQUENCE {
            sysInfoType15-v4b0ext            SysInfoType15-v4b0ext-IEs,
            -- Extension mechanism for non- release4 information
            nonCriticalExtensions              SEQUENCE {}                                OPTIONAL
        }
        OPTIONAL
    }

SysInfoType15-v4b0ext-IEs ::= SEQUENCE {
    up-Ipdl-Parameters-TDD                UE-Positioning-IPDL-Parameters-TDD-r4-ext    OPTIONAL
}

SysInfoType15-1 ::=
    SEQUENCE {
        -- DGPS corrections
        ue-positioning-GPS-DGPS-Corrections    UE-Positioning-GPS-DGPS-Corrections,

        -- Extension mechanism for non- release99 information
        nonCriticalExtensions              SEQUENCE {}                                OPTIONAL
    }

SysInfoType15-2 ::=
    SEQUENCE {
        -- Ephemeris and clock corrections
        transmissionTOW                     INTEGER (0..604799),
        satID                                 SatID,
        ephemerisParameter                   EphemerisParameter,

        -- Extension mechanism for non- release99 information
        nonCriticalExtensions              SEQUENCE {}                                OPTIONAL
    }

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SysInfoType15-3 ::= SEQUENCE {
  -- Almanac and other data
  transmissionTOW          INTEGER (0.. 604799),
  ue-positioning-GPS-Almanac  UE-Positioning-GPS-Almanac
OPTIONAL,
  ue-positioning-GPS-IonosphericModel  UE-Positioning-GPS-IonosphericModel
OPTIONAL,
  ue-positioning-GPS-UTC-Model  UE-Positioning-GPS-UTC-Model
OPTIONAL,
  satMask          BIT STRING (SIZE (1..32))  OPTIONAL,
  lsbTOW          BIT STRING (SIZE (8))  OPTIONAL,
  -- Extension mechanism for non- release99 information
  nonCriticalExtensions  SEQUENCE {}  OPTIONAL
}

SysInfoType15-4 ::= SEQUENCE {
  -- Measurement IEs
  ue-positioning-OTDOA-CipherParameters  UE-Positioning-CipherParameters  OPTIONAL,
  ue-positioning-OTDOA-AssistanceData  UE-Positioning-OTDOA-AssistanceData,
  v3a0NonCriticalExtensions  SEQUENCE {
    sysInfoType15-4-v3a0ext  SysInfoType15-4-v3a0ext,
    -- Extension mechanism for non- release99 information
    v4b0NonCriticalExtensions  SEQUENCE {
      sysInfoType15-4-v4b0ext  SysInfoType15-4-v4b0ext,
      nonCriticalExtensions  SEQUENCE {}  OPTIONAL
    }  OPTIONAL
  }  OPTIONAL
}

SysInfoType15-4-v3a0ext ::= SEQUENCE {
  sfn-Offset-Validity  SFN-Offset-Validity  OPTIONAL
}

SysInfoType15-4-v4b0ext ::= SEQUENCE {
  ue-Positioning-OTDOA-AssistanceData-r4ext  UE-Positioning-OTDOA-AssistanceData-r4ext  OPTIONAL
}

SysInfoType15-5 ::= SEQUENCE {
  -- Measurement IEs
  ue-positioning-OTDOA-AssistanceData-UEB  UE-Positioning-OTDOA-AssistanceData-UEB,
  v3a0NonCriticalExtensions  SEQUENCE {
    sysInfoType15-5-v3a0ext  SysInfoType15-5-v3a0ext,
    -- Extension mechanism for non- release99 information
    nonCriticalExtensions  SEQUENCE {}  OPTIONAL
  }  OPTIONAL
}

SysInfoType15-5-v3a0ext ::= SEQUENCE {
  sfn-Offset-Validity  SFN-Offset-Validity  OPTIONAL
}

SysInfoType16 ::= SEQUENCE {
  -- Radio bearer IEs
  preDefinedRadioConfiguration  PreDefRadioConfiguration,
  -- Extension mechanism for non- release99 information
  nonCriticalExtensions  SEQUENCE {}  OPTIONAL
}

SysInfoType17 ::= SEQUENCE {
  -- Physical channel IEs
  -- If PDSCH/PUSCH is configured for 1.28Mcps TDD, pusch-SysInfoList and
  -- pdsch-SysInfoList should be absent and the info included in the
  -- tdd128SpecificInfo instead.
  pusch-SysInfoList  PUSCH-SysInfoList  OPTIONAL,
  pdsch-SysInfoList  PDSCH-SysInfoList  OPTIONAL,
  -- Extension mechanism for non- release99 information
  v4b0NonCriticalExtensions  SEQUENCE {
    sysInfoType17-v4b0ext  SysInfoType17-v4b0ext-IEs,
    nonCriticalExtensions  SEQUENCE {}  OPTIONAL
  }  OPTIONAL
}

SysInfoType17-v4b0ext-IEs ::= SEQUENCE {
  tdd128SpecificInfo  SEQUENCE {
    pusch-SysInfoList  PUSCH-SysInfoList-LCR-r4  OPTIONAL,
    pdsch-SysInfoList  PDSCH-SysInfoList-LCR-r4  OPTIONAL
  }  OPTIONAL
}

```

```

}

SysInfoType18 ::=
    SEQUENCE {
        idleModePLMNIdentities      PLMNIdentitiesOfNeighbourCells    OPTIONAL,
        connectedModePLMNIdentities PLMNIdentitiesOfNeighbourCells    OPTIONAL,
        -- Extension mechanism for non- release99 information
        nonCriticalExtensions        SEQUENCE {}          OPTIONAL
    }

SysInfoTypeSB1 ::=
    SEQUENCE {
        -- Other IEs
        sib-ReferenceList            SIB-ReferenceList,
        -- Extension mechanism for non- release99 information
        nonCriticalExtensions        SEQUENCE {}          OPTIONAL
    }

SysInfoTypeSB2 ::=
    SEQUENCE {
        -- Other IEs
        sib-ReferenceList            SIB-ReferenceList,
        -- Extension mechanism for non- release99 information
        nonCriticalExtensions        SEQUENCE {}          OPTIONAL
    }

TDD-UMTS-Frequency-List ::=
    SEQUENCE (SIZE (1..maxNumTDDFreqs)) OF
        FrequencyInfoTDD

-- *****
--
-- ANSI-41 INFORMATION ELEMENTS (10.3.9)
--
-- *****

ANSI-41-GlobalServiceRedirectInfo ::= ANSI-41-NAS-Parameter
ANSI-41-PrivateNeighbourListInfo ::= ANSI-41-NAS-Parameter
ANSI-41-RAND-Information ::= ANSI-41-NAS-Parameter
ANSI-41-UserZoneID-Information ::= ANSI-41-NAS-Parameter
ANSI-41-NAS-Parameter ::= BIT STRING (SIZE (1..2048))

Min-P-REV ::= BIT STRING (SIZE (8))

NAS-SystemInformationANSI-41 ::= ANSI-41-NAS-Parameter
NID ::= BIT STRING (SIZE (16))

P-REV ::= BIT STRING (SIZE (8))

SID ::= BIT STRING (SIZE (15))

END

```

## 11.4 Constant definitions

Constant-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

```

hipDSCHidentities      INTEGER ::= 64
hipUSCHidentities      INTEGER ::= 64
hIRM                    INTEGER ::= 256
maxAC                   INTEGER ::= 16
maxAdditionalMeas       INTEGER ::= 4
maxASC                  INTEGER ::= 8
maxASCmap               INTEGER ::= 7
maxASCpersist           INTEGER ::= 6
maxCCTrCH               INTEGER ::= 8
maxCellMeas             INTEGER ::= 32
maxCellMeas-1           INTEGER ::= 31
maxCNdomains            INTEGER ::= 4
maxCPCHsets             INTEGER ::= 16
maxDPCH-DLchan          INTEGER ::= 8
maxDPDCH-UL             INTEGER ::= 6
maxDRACclasses          INTEGER ::= 8
maxFACHPCH              INTEGER ::= 8
maxFreq                 INTEGER ::= 8
maxFreqBandsFDD         INTEGER ::= 8
maxFreqBandsTDD         INTEGER ::= 4
maxFreqBandsGSM         INTEGER ::= 16
maxInterSysMessages     INTEGER ::= 4

```

```

maxLoCHperRLC                INTEGER ::= 2
maxMeasEvent                  INTEGER ::= 8
maxMeasIntervals              INTEGER ::= 3
maxMeasParEvent               INTEGER ::= 2
maxNumCDMA2000Freqs          INTEGER ::= 8
maxNumGSMFreqRanges          INTEGER ::= 32
maxNumFDDFreqs               INTEGER ::= 8
maxNumTDDFreqs               INTEGER ::= 8
maxNoOfMeas                   INTEGER ::= 16
maxOtherRAT                   INTEGER ::= 15
maxOtherRAT-16               INTEGER ::= 16
maxPage1                      INTEGER ::= 8
maxPCPCH-APsig               INTEGER ::= 16
maxPCPCH-APsubCh             INTEGER ::= 12
maxPCPCH-CDsig               INTEGER ::= 16
maxPCPCH-CDsubCh             INTEGER ::= 12
maxPCPCH-SF                   INTEGER ::= 7
maxPCPCHs                     INTEGER ::= 64
maxPDCPAlgoType              INTEGER ::= 8
maxPDSCH                      INTEGER ::= 8
maxPDSCH-TFCIgroups          INTEGER ::= 256
maxPRACH                      INTEGER ::= 16
maxPRACH-FPACH               INTEGER ::= 8
maxPredefConfig              INTEGER ::= 16
maxPUSCH                      INTEGER ::= 8
maxRABsetup                   INTEGER ::= 16
maxRAT                        INTEGER ::= 16
maxRB                         INTEGER ::= 32
maxRBallRABs                 INTEGER ::= 27
maxRBMuxOptions              INTEGER ::= 8
maxRBperRAB                  INTEGER ::= 8
maxReportedGSMCells          INTEGER ::= 8
maxRL                         INTEGER ::= 8
maxRL-1                      INTEGER ::= 7
maxROHC-PacketSizes-r4       INTEGER ::= 16
maxROHC-Profile-r4           INTEGER ::= 8
maxSat                        INTEGER ::= 16
maxSCCPCH                    INTEGER ::= 16
maxSIB                        INTEGER ::= 32
maxSIB-FACH                   INTEGER ::= 8
maxSIBperMsg                  INTEGER ::= 16
maxSRBsetup                   INTEGER ::= 8
maxSystemCapability           INTEGER ::= 16
maxTF                         INTEGER ::= 32
maxTF-CPCH                   INTEGER ::= 16
maxTFC                        INTEGER ::= 1024
maxTFCsub                     INTEGER ::= 1024
maxTFCI-2-Combs              INTEGER ::= 512
maxTGPS                       INTEGER ::= 6
maxTrCH                       INTEGER ::= 32
-- maxTrCHpreconf should be 16 but has been set to 32 for compatibility
maxTrCHpreconf                INTEGER ::= 32
maxTS                          INTEGER ::= 14
maxTS-1                       INTEGER ::= 13
maxTS-LCR                     INTEGER ::= 6
maxTS-LCR-1                   INTEGER ::= 5
maxURA                        INTEGER ::= 8

```

END

## 11.5 RRC information between network nodes

Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

```

    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo,
    TransportChannelReconfiguration
FROM PDU-definitions

```

```
-- Core Network IEs :
  CN-DomainIdentity,
  CN-DomainInformationList,
  CN-DomainInformationListFull,
  CN-DRX-CycleLengthCoefficient,
  NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
  CellIdentity,
  URA-Identity,
-- User Equipment IEs :
  AccessStratumReleaseIndicator,
  C-RNTI,
  ChipRateCapability,
  DL-PhysChCapabilityFDD-v380ext,
  DL-PhysChCapabilityTDD,
  DL-PhysChCapabilityTDD-LCR-r4,
  GSM-Measurements,
  FailureCauseWithProtErr,
  MaxHcContextSpace,
  MaxNoPhysChBitsReceived,
  MaxROHC-ContextSessions-r4,
  NetworkAssistedGPS-Supported,
  RadioFrequencyBandTDDList,
  RLC-Capability,
  RRC-MessageSequenceNumber,
  SecurityCapability,
  SimultaneousSCCPCH-DPCH-Reception,
  STARTList,
  STARTSingle,
  START-Value,
  SupportOfDedicatedPilotsForChEstimation,
  TransportChannelCapability,
  TxRxFrequencySeparation,
  U-RNTI,
  UE-MultiModeRAT-Capability,
  UE-PowerClassExt,
  UE-RadioAccessCapabBandFDDList,
  UE-RadioAccessCapability,
  UE-RadioAccessCapability-v370ext,
  UE-RadioAccessCapability-v380ext,
  UE-RadioAccessCapability-v3a0ext,
  UE-RadioAccessCapability-v3g0ext,
  UE-RadioAccessCapability-v4b0ext,
  UL-PhysChCapabilityFDD,
  UL-PhysChCapabilityTDD,
  UL-PhysChCapabilityTDD-LCR-r4,
-- Radio Bearer IEs :
  PredefinedConfigStatusList,
  PredefinedConfigValueTag,
  RAB-InformationSetupList,
  RAB-InformationSetupList-r4,
  RB-Identity,
  SRB-InformationSetupList,
-- Transport Channel IEs :
  CPCH-SetID,
  DL-CommonTransChInfo,
  DL-CommonTransChInfo-r4,
  DL-AddReconfTransChInfoList,
  DL-AddReconfTransChInfoList-r4,
  DRAC-StaticInformationList,
  UL-CommonTransChInfo,
  UL-CommonTransChInfo-r4,
  UL-AddReconfTransChInfoList,
-- Physical Channel IEs :
  PrimaryCPICH-Info,
  TPC-CombinationIndex,
-- Measurement IEs :
  MeasurementIdentity,
  MeasurementReportingMode,
  MeasurementType,
  MeasurementType-r4,
  AdditionalMeasurementID-List,
  PositionEstimate,
  UE-Positioning-IPDL-Parameters-TDD-r4-ext,
-- Other IEs :
  InterRAT-UE-RadioAccessCapabilityList,
  UESpecificBehaviourInformationInterRAT,
```

```

    UESpecificBehaviourInformationIdle
FROM InformationElements

    maxCNDomains,
    maxNoOfMeas,

    maxRB,
    maxSRBsetup,
    maxRL
FROM Constant-definitions
;

-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is transferred in the same direction and across the same path is grouped
-- *****
--
-- RRC information, to target RNC
--
-- *****
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRATHandoverInfo          InterRATHandoverInfoWithInterRATCapabilities-r3,
    srncRelocation                SRNC-RelocationInfo-r3,
    extension                     NULL
}

-- *****
--
-- RRC information, target RNC to source RNC
--
-- *****

TargetRNC-ToSourceRNC-Container ::= CHOICE {
    radioBearerSetup              RadioBearerSetup,
    radioBearerReconfiguration    RadioBearerReconfiguration,
    radioBearerRelease            RadioBearerRelease,
    transportChannelReconfiguration TransportChannelReconfiguration,
    physicalChannelReconfiguration PhysicalChannelReconfiguration,
    rrc-FailureInfo              RRC-FailureInfo,
    -- IE dl-DCCHmessage consists of an octet string that includes
    -- the IE DL-DCCH-Message
    dl-DCCHmessage               OCTET STRING,
    extension                     NULL
}

-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order

-- *****
--
-- Handover to UTRAN information
--
-- *****

InterRATHandoverInfoWithInterRATCapabilities-r3 ::= CHOICE {
    r3                            SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
        interRATHandoverInfo-r3    InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
        v390NonCriticalExtensions  SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
        }
        InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
        -- Reserved for future non critical extension
        nonCriticalExtensions      SEQUENCE {} OPTIONAL
    } OPTIONAL
},
    criticalExtensions            SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs ::= SEQUENCE {
    -- The order of the IEs may not reflect the tabular format
    -- but has been chosen to simplify the handling of the information in the BSC
    -- Other IEs
    ue-RATSpecificCapability      InterRAT-UE-RadioAccessCapabilityList OPTIONAL,

```



```

-- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
-- actual information. This makes it possible for BSS to transparently handle information
-- received via GSM air interface even when it includes non critical extensions.
-- The octet string shall include the InterRATHandoverInfo information
-- The BSS can re-use the 04.18 length field received from the MS
interRATHandoverInfo          OCTET STRING (SIZE (0..255))
}

InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
  -- User equipment IES
  failureCauseWithProtErr          FailureCauseWithProtErr          OPTIONAL
}

-- *****
--
-- SRNC Relocation information
--
-- *****

SRNC-RelocationInfo-r3 ::= CHOICE {
  r3                               SEQUENCE {
    sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
    v380NonCriticalExtensions        SEQUENCE {
      sRNC-RelocationInfo-v380ext    SRNC-RelocationInfo-v380ext-IEs,
      -- Reserved for future non critical extension
      v390NonCriticalExtensions        SEQUENCE {
        sRNC-RelocationInfo-v390ext    SRNC-RelocationInfo-v390ext-IEs,
        v3a0NonCriticalExtensions        SEQUENCE {
          sRNC-RelocationInfo-v3a0ext    SRNC-RelocationInfo-v3a0ext-IEs,
          v3b0NonCriticalExtensions        SEQUENCE {
            sRNC-RelocationInfo-v3b0ext    SRNC-RelocationInfo-v3b0ext-IEs,
            v3c0NonCriticalExtensions        SEQUENCE {
              sRNC-RelocationInfo-v3c0ext    SRNC-RelocationInfo-v3c0ext-IEs,
              laterNonCriticalExtensions        SEQUENCE {
                sRNC-RelocationInfo-v3d0ext    SRNC-RelocationInfo-v3d0ext-IEs,
                -- Container for additional R99 extensions
                sRNC-RelocationInfo-r3-add-ext    BIT STRING
                (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)    OPTIONAL,
                v3g0NonCriticalExtensions        SEQUENCE {
                  sRNC-RelocationInfo-v3g0ext    SRNC-RelocationInfo-v3g0ext-IEs,
                  v4b0NonCriticalExtensions        SEQUENCE {
                    sRNC-RelocationInfo-v4b0ext    SRNC-RelocationInfo-v4b0ext-IEs,
                    -- Reserved for future non critical extension
                    nonCriticalExtensions        SEQUENCE {}    OPTIONAL
                  }
                }
              }
            }
          }
        }
      }
    }
  }
} OPTIONAL
},
later-than-r3                      CHOICE {
  r4                               SEQUENCE {
    sRNC-RelocationInfo          SRNC-RelocationInfo-r4-IEs,
    v4d0NonCriticalExtensions        SEQUENCE {
      sRNC-RelocationInfo-v4d0ext    SRNC-RelocationInfo-v4d0ext-IEs,
      -- Container for adding non critical extensions after freezing REL-5
      sRNC-RelocationInfo-r4-add-ext    BIT STRING    OPTIONAL,
      nonCriticalExtensions        SEQUENCE {}    OPTIONAL
    }
  }
},
criticalExtensions                  SEQUENCE {}
}
}

SRNC-RelocationInfo-r3-IEs ::= SEQUENCE {
  -- Non-RRC IES
  stateOfRRC                        StateOfRRC,
  stateOfRRC-Procedure                StateOfRRC-Procedure,
  -- Ciphering related information IES
  -- If the extension v380 is included use the extension for the ciphering status per CN domain
  cipheringStatus                    CipheringStatus,
  calculationTimeForCiphering        CalculationTimeForCiphering    OPTIONAL,
  -- The order of occurrence in the IE cipheringInfoPerRB-List is the
  -- same as the RBs in SRB-InformationSetupList in RAB-InformationSetupList.
  -- The signalling RBs are supposed to be listed
}

```

```

-- first. Only UM and AM RBs that are ciphered are listed here
cipheringInfoPerRB-List      CipheringInfoPerRB-List      OPTIONAL,
count-C-List                 COUNT-C-List                 OPTIONAL,
integrityProtectionStatus    IntegrityProtectionStatus,
-- In the IE srb-SpecificIntegrityProtInfo, the first information listed corresponds to
-- signalling radio bearer RB0 and after the order of occurrence is the same as the SRBs in
-- SRB-InformationSetupList
srb-SpecificIntegrityProtInfo SRB-SpecificIntegrityProtInfoList,
implementationSpecificParams ImplementationSpecificParams      OPTIONAL,
-- User equipment IEs
u-RNTI                       U-RNTI,
c-RNTI                       C-RNTI                        OPTIONAL,
ue-RadioAccessCapability     UE-RadioAccessCapability,
ue-Positioning-LastKnownPos  UE-Positioning-LastKnownPos   OPTIONAL,
-- Other IEs
ue-RATSpecificCapability     InterRAT-UE-RadioAccessCapabilityList  OPTIONAL,
-- UTRAN mobility IEs
ura-Identity                 URA-Identity                  OPTIONAL,
-- Core network IEs
cn-CommonGSM-MAP-NAS-SysInfo NAS-SystemInformationGSM-MAP,
cn-DomainInformationList     CN-DomainInformationList      OPTIONAL,
-- Measurement IEs
ongoingMeasRepList          OngoingMeasRepList           OPTIONAL,
-- Radio bearer IEs
predefinedConfigStatusList   PredefinedConfigStatusList,
srb-InformationList          SRB-InformationSetupList,
rab-InformationList          RAB-InformationSetupList      OPTIONAL,
-- Transport channel IEs
ul-CommonTransChInfo        UL-CommonTransChInfo          OPTIONAL,
ul-TransChInfoList          UL-AddReconfTransChInfoList   OPTIONAL,
modeSpecificInfo             CHOICE {
    fdd                       SEQUENCE {
        cpch-SetID            CPCH-SetID                    OPTIONAL,
        transChDRAC-Info     DRAC-StaticInformationList    OPTIONAL
    },
    tdd                       NULL
},
dl-CommonTransChInfo        DL-CommonTransChInfo          OPTIONAL,
dl-TransChInfoList          DL-AddReconfTransChInfoList   OPTIONAL,
-- Measurement report
measurementReport            MeasurementReport              OPTIONAL
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
-- Ciphering related information IEs
cn-DomainIdentity           CN-DomainIdentity,
cipheringStatusList         CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
cn-DomainInformationList-v390ext  CN-DomainInformationList-v390ext      OPTIONAL,
ue-RadioAccessCapability-v370ext  UE-RadioAccessCapability-v370ext      OPTIONAL,
ue-RadioAccessCapability-v380ext  UE-RadioAccessCapability-v380ext      OPTIONAL,
dl-PhysChCapabilityFDD-v380ext    DL-PhysChCapabilityFDD-v380ext,
failureCauseWithProtErr          FailureCauseWithProtErr               OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
cipheringInfoForSRB1-v3a0ext      CipheringInfoPerRB-List-v3a0ext,
ue-RadioAccessCapability-v3a0ext  UE-RadioAccessCapability-v3a0ext      OPTIONAL,
-- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
-- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
startValueForCiphering-v3a0ext    START-Value
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
-- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
cn-DomainIdentity                CN-DomainIdentity,
-- the remaining start values are contained in IE startValueForCiphering-v3b0ext
startValueForCiphering-v3b0ext    STARTList2                            OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
-- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
-- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
-- Only included if type is "UE involved"
rb-IdentityForHOMessage          RB-Identity                            OPTIONAL
}

```

```

SRNC-RelocationInfo-v3d0ext-IEs ::= SEQUENCE {
  -- User equipment IEs
  uESpecificBehaviourInformationIdle      UESpecificBehaviourInformationIdle      OPTIONAL,
  uESpecificBehaviourInformationInterRAT  UESpecificBehaviourInformationInterRAT
  OPTIONAL
}

SRNC-RelocationInfo-v3g0ext-IEs ::= SEQUENCE {
  ue-RadioAccessCapability-v3g0ext      UE-RadioAccessCapability-v3g0ext      OPTIONAL
}

SRNC-RelocationInfo-v3h0ext-IEs ::= SEQUENCE {
  tpc-CombinationInfoList              TPC-CombinationInfoList              OPTIONAL,
  nonCriticalExtension                  SEQUENCE {}                          OPTIONAL
}

SRNC-RelocationInfo-v4d0ext-IEs ::= SEQUENCE {
  tpc-CombinationInfoList              TPC-CombinationInfoList              OPTIONAL
}

TPC-CombinationInfoList ::= SEQUENCE (SIZE (1..maxRL)) OF
  TPC-Combination-Info

STARTList2 ::=
  SEQUENCE (SIZE (2..maxCNdomains)) OF
  STARTSingle

SRNC-RelocationInfo-v4b0ext-IEs ::= SEQUENCE {
  ue-RadioAccessCapability-v4b0ext      UE-RadioAccessCapability-v4b0ext      OPTIONAL
}

CipheringInfoPerRB-List-v3a0ext ::= SEQUENCE {
  dl-UM-SN                              BIT STRING (SIZE (7))
}

CipheringStatusList ::=
  SEQUENCE (SIZE (1..maxCNdomains)) OF
  CipheringStatusCNdomain

CipheringStatusCNdomain ::=
  SEQUENCE {
    cn-DomainIdentity                  CN-DomainIdentity,
    cipheringStatus                    CipheringStatus
  }

SRNC-RelocationInfo-r4-IEs ::=
  SEQUENCE {
    -- Non-RRC IEs
    -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
    -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
    -- Only included if type is "UE involved"
    rb-IdentityForHOMessage            RB-Identity                          OPTIONAL,
    stateOfRRC                        StateOfRRC,
    stateOfRRC-Procedure               StateOfRRC-Procedure,
    -- Ciphering related information IEs
    cipheringStatusList                CipheringStatusList-r4,
    latestConfiguredCN-Domain          CN-DomainIdentity,
    calculationTimeForCiphering        CalculationTimeForCiphering           OPTIONAL,
    count-C-List                       COUNT-C-List                          OPTIONAL,
    cipheringInfoPerRB-List            CipheringInfoPerRB-List-r4           OPTIONAL,
    -- Integrity protection related information IEs
    integrityProtectionStatus          IntegrityProtectionStatus,
    srb-SpecificIntegrityProtInfoList  SRB-SpecificIntegrityProtInfoList,
    implementationSpecificParams       ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
    u-RNTI                             U-RNTI,
    c-RNTI                             C-RNTI                              OPTIONAL,
    ue-RadioAccessCapability           UE-RadioAccessCapability-r4,
    ue-RadioAccessCapability-ext       UE-RadioAccessCapabBandFDDList     OPTIONAL,
    ue-Positioning-LastKnownPos        UE-Positioning-LastKnownPos        OPTIONAL,
    uESpecificBehaviourInformationIdle  UESpecificBehaviourInformationIdle  OPTIONAL,
    uESpecificBehaviourInformationInterRAT  UESpecificBehaviourInformationInterRAT
    OPTIONAL,
    -- Other IEs
    ue-RATSpecificCapability           InterRAT-UE-RadioAccessCapabilityList  OPTIONAL,
    -- UTRAN mobility IEs
    ura-Identity                      URA-Identity                        OPTIONAL,
    -- Core network IEs
    cn-CommonGSM-MAP-NAS-SysInfo      NAS-SystemInformationGSM-MAP,
    cn-DomainInformationList          CN-DomainInformationListFull        OPTIONAL,
    -- Measurement IEs
  }

```

```

    ongoingMeasRepList          OngoingMeasRepList-r4          OPTIONAL,
-- Radio bearer IEs
    predefinedConfigStatusList  PredefinedConfigStatusList,
    srb-InformationList         SRB-InformationSetupList,
    rab-InformationList         RAB-InformationSetupList-r4          OPTIONAL,
-- Transport channel IEs
    ul-CommonTransChInfo       UL-CommonTransChInfo-r4          OPTIONAL,
    ul-TransChInfoList         UL-AddReconfTransChInfoList  OPTIONAL,
    modeSpecificInfo           CHOICE {
        fdd                     SEQUENCE {
            cpch-SetID          CPCH-SetID              OPTIONAL,
            transChDRAC-Info    DRAC-StaticInformationList  OPTIONAL
        },
        tdd                     NULL
    }
    dl-CommonTransChInfo       DL-CommonTransChInfo-r4          OPTIONAL,
    dl-TransChInfoList         DL-AddReconfTransChInfoList-r4  OPTIONAL,
-- Measurement report
    measurementReport          MeasurementReport          OPTIONAL,
    failureCause               FailureCauseWithProtErr    OPTIONAL
}

-- IE definitions

CalculationTimeForCiphering ::= SEQUENCE {
    cell-Id                    CellIdentity,
    sfn                        INTEGER (0..4095)
}

CipheringInfoPerRB ::= SEQUENCE {
    dl-HFN                     BIT STRING (SIZE (20..25)),
    ul-HFN                     BIT STRING (SIZE (20..25))
}

CipheringInfoPerRB-r4 ::= SEQUENCE {
    rb-Identity                RB-Identity,
    dl-HFN                     BIT STRING (SIZE (20..25)),
    dl-UM-SN                   BIT STRING (SIZE (7))          OPTIONAL,
    ul-HFN                     BIT STRING (SIZE (20..25))
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::= SEQUENCE (SIZE (1..maxRB)) OF
    CipheringInfoPerRB

CipheringInfoPerRB-List-r4 ::= SEQUENCE (SIZE (1..maxRB)) OF
    CipheringInfoPerRB-r4

CipheringStatus ::= ENUMERATED {
    started, notStarted }

CipheringStatusList-r4 ::= SEQUENCE (SIZE (1..maxCNdomains)) OF
    CipheringStatusCNdomain-r4

CipheringStatusCNdomain-r4 ::= SEQUENCE {
    cn-DomainIdentity          CN-DomainIdentity,
    cipheringStatus            CipheringStatus,
    start-Value                START-Value
}

CN-DomainInformation-v390ext ::= SEQUENCE {
    cn-DRX-CycleLengthCoeff    CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::= SEQUENCE (SIZE (1..maxCNdomains)) OF
    CN-DomainInformation-v390ext

CompressedModeMeasCapability-r4 ::= SEQUENCE {
    fdd-Measurements           BOOLEAN,
    -- TABULAR: The IEs tdd-Measurements, gsm-Measurements and multiCarrierMeasurements
    -- are made optional since they are conditional based on another information element.
    -- Their absence corresponds to the case where the condition is not true.
    tdd384-Measurements        BOOLEAN          OPTIONAL,
    tdd128-Measurements        BOOLEAN          OPTIONAL,
    gsm-Measurements           GSM-Measurements  OPTIONAL,
    multiCarrierMeasurements    BOOLEAN          OPTIONAL
}

```

```

COUNT-C-List ::=                               SEQUENCE (SIZE (1..maxCNdomains)) OF
                                                COUNT-CSingle

COUNT-CSingle ::=                             SEQUENCE {
  cn-DomainIdentity                            CN-DomainIdentity,
  count-C                                       BIT STRING (SIZE (32))
}

DL-PhysChCapabilityFDD-r4 ::=                  SEQUENCE {
  maxNoDPCH-PDSCH-Codes                        INTEGER (1..8),
  maxNoPhysChBitsReceived                      MaxNoPhysChBitsReceived,
  supportForSF-512                             BOOLEAN,
  supportOfPDSCH                               BOOLEAN,
  simultaneousSCCPCH-DPCH-Reception            SimultaneousSCCPCH-DPCH-Reception,
  supportOfDedicatedPilotsForChEstimation      SupportOfDedicatedPilotsForChEstimation  OPTIONAL
}

ImplementationSpecificParams ::=              BIT STRING (SIZE (1..512))

IntegrityProtectionStatus ::=                 ENUMERATED {
  started, notStarted }

MeasurementCapability-r4 ::=                  SEQUENCE {
  downlinkCompressedMode                       CompressedModeMeasCapability-r4,
  uplinkCompressedMode                         CompressedModeMeasCapability-r4
}

MeasurementCommandWithType ::=               CHOICE {
  setup                                         MeasurementType,
  modify                                        NULL,
  release                                       NULL
}

MeasurementCommandWithType-r4 ::=            CHOICE {
  setup                                         MeasurementType-r4,
  modify                                        NULL,
  release                                       NULL
}

OngoingMeasRep ::=                           SEQUENCE {
  measurementIdentity                          MeasurementIdentity,
  -- TABULAR: The CHOICE Measurement in the tabular description is included
  -- in MeasurementCommandWithType
  measurementCommandWithType                  MeasurementCommandWithType,
  measurementReportingMode                    MeasurementReportingMode  OPTIONAL,
  additionalMeasurementID-List                AdditionalMeasurementID-List  OPTIONAL
}

OngoingMeasRep-r4 ::=                        SEQUENCE {
  measurementIdentity                          MeasurementIdentity,
  -- TABULAR: The CHOICE Measurement in the tabular description is included
  -- in MeasurementCommandWithType-r4.
  measurementCommandWithType                  MeasurementCommandWithType-r4,
  measurementReportingMode                    MeasurementReportingMode  OPTIONAL,
  additionalMeasurementID-List                AdditionalMeasurementID-List  OPTIONAL
}

OngoingMeasRepList ::=                       SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                                OngoingMeasRep

OngoingMeasRepList-r4 ::=                     SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                                OngoingMeasRep-r4

PDCP-Capability-r4 ::=                       SEQUENCE {
  losslessSRNS-RelocationSupport              BOOLEAN,
  supportForRfc2507                           CHOICE {
    notSupported                               NULL,
    supported                                  MaxHcContextSpace
  },
  supportForRfc3095                            CHOICE {
    notSupported                               NULL,
    supported                                  SEQUENCE {
      maxROHC-ContextSessions                  MaxROHC-ContextSessions-r4  DEFAULT s16,
      reverseCompressionDepth                  INTEGER (0..65535)          DEFAULT 0
    }
  }
}

```

```

}

PhysicalChannelCapability-r4 ::= SEQUENCE {
    fddPhysChCapability          SEQUENCE {
        downlinkPhysChCapability DL-PhysChCapabilityFDD-r4,
        uplinkPhysChCapability   UL-PhysChCapabilityFDD
    } OPTIONAL,
    tdd384-PhysChCapability     SEQUENCE {
        downlinkPhysChCapability DL-PhysChCapabilityTDD,
        uplinkPhysChCapability   UL-PhysChCapabilityTDD
    } OPTIONAL,
    tdd128-PhysChCapability     SEQUENCE {
        downlinkPhysChCapability DL-PhysChCapabilityTDD-LCR-r4,
        uplinkPhysChCapability   UL-PhysChCapabilityTDD-LCR-r4
    } OPTIONAL
}

RF-Capability-r4 ::= SEQUENCE {
    fddRF-Capability          SEQUENCE {
        ue-PowerClass          UE-PowerClassExt,
        txRxFrequencySeparation TxRxFrequencySeparation
    } OPTIONAL,
    tdd384-RF-Capability     SEQUENCE {
        ue-PowerClass          UE-PowerClassExt,
        radioFrequencyBandTDDList RadioFrequencyBandTDDList,
        chipRateCapability     ChipRateCapability
    } OPTIONAL,
    tdd128-RF-Capability     SEQUENCE {
        ue-PowerClass          UE-PowerClassExt,
        radioFrequencyBandTDDList RadioFrequencyBandTDDList,
        chipRateCapability     ChipRateCapability
    } OPTIONAL
}

SRB-SpecificIntegrityProtInfo ::= SEQUENCE {
    ul-RRC-HFN                BIT STRING (SIZE (28)),
    dl-RRC-HFN                BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber    RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber    RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
SRB-SpecificIntegrityProtInfo

StateOfRRC ::= ENUMERATED {
    cell-DCH, cell-FACH,
    cell-PCH, ura-PCH }

StateOfRRC-Procedure ::= ENUMERATED {
    awaitNoRRC-Message,
    awaitRB-ReleaseComplete,
    awaitRB-SetupComplete,
    awaitRB-ReconfigurationComplete,
    awaitTransportCH-ReconfigurationComplete,
    awaitPhysicalCH-ReconfigurationComplete,
    awaitActiveSetUpdateComplete,
    awaitHandoverComplete,
    sendCellUpdateConfirm,
    sendUraUpdateConfirm,
    -- dummy is not used in this version of specification
    -- It should not be sent
    dummy,
    otherStates
}

TPC-Combination-Info ::= SEQUENCE {
    primaryCPICH-Info          PrimaryCPICH-Info,
    tpc-CombinationIndex       TPC-CombinationIndex
}

UE-Positioning-LastKnownPos ::= SEQUENCE {
    sfn                        INTEGER (0..4095),
    cell-id                    CellIdentity,
    positionEstimate           PositionEstimate
}

UE-Positioning-Capability-r4 ::= SEQUENCE {
    standaloneLocMethodsSupported BOOLEAN,

```

```

ue-BasedOTDOA-Supported          BOOLEAN,
networkAssistedGPS-Supported    NetworkAssistedGPS-Supported,
supportForUE-GPS-TimingOfCellFrames  BOOLEAN,
supportForIPDL                  BOOLEAN,
rx-tx-TimeDifferenceType2Capable  BOOLEAN,
validity-CellPCH-UraPCH         ENUMERATED { true }      OPTIONAL,
sfn-sfnType2Capability           ENUMERATED { true }      OPTIONAL
}

UE-RadioAccessCapability-r4 ::= SEQUENCE {
  accessStratumReleaseIndicator  AccessStratumReleaseIndicator,
  pdcp-Capability                PDCP-Capability-r4,
  rlc-Capability                 RLC-Capability,
  transportChannelCapability     TransportChannelCapability,
  rf-Capability                  RF-Capability-r4,
  physicalChannelCapability      PhysicalChannelCapability-r4,
  ue-MultiModeRAT-Capability     UE-MultiModeRAT-Capability,
  securityCapability             SecurityCapability,
  ue-positioning-Capability      UE-Positioning-Capability-r4,
  measurementCapability          MeasurementCapability-r4      OPTIONAL
}
END

```

## 12 Message transfer syntax

Transfer syntax for RRC PDUs is derived from their ASN.1 definitions by use of Packed Encoding Rules, unaligned as specified in X.691 [49], and with adapted final padding. If special encoding is used, it is indicated in the ECN module defined for each ASN.1 module. The use of special encoding is defined in [14].

The following encoding rules apply in addition to what has been specified in X.691 [49]:

- When a bit string value is placed in a bit-field as specified in 15.6 to 15.11 in [11], the leading bit of the bit string value shall be placed in the leading bit of the bit-field, and the trailing bit of the bit string value shall be placed in the trailing bit of the bit-field.

NOTE: The terms "leading bit" and "trailing bit" are defined in ITU-T Rec. X.680 | ISO/IEC 8824-1. When using the "bstring" notation, the leading bit of the bit string value is on the left, and the trailing bit of the bit string value is on the right.

### 12.1 Structure of encoded RRC messages

An RRC PDU, which is the bit string that is exchanged between peer entities/ across the radio interface, is the concatenation of a basic production, an extension and padding, in that order.

RRC PDUs shall be mapped to and from RLC SDUs upon transmission and reception as follows:

- when delivering an RRC PDU as an RLC SDU to the RLC layer for transmission, the first bit of the RRC PDU shall be represented as the first bit in the RLC SDU and onwards; and
- upon reception of an RLC SDU from the RLC layer, the first bit of the RLC SDU shall represent the first bit of the RRC PDU and onwards.

#### 12.1.1 Basic production

The 'basic production' is obtained by applying UNALIGNED PER to the abstract syntax value (the ASN.1 description) as specified in X.691, except for the 0 to 7 bits added at the end to produce a multiple of 8 bits. The basic production can have any positive number of bits, not necessarily a multiple of 8 bits.

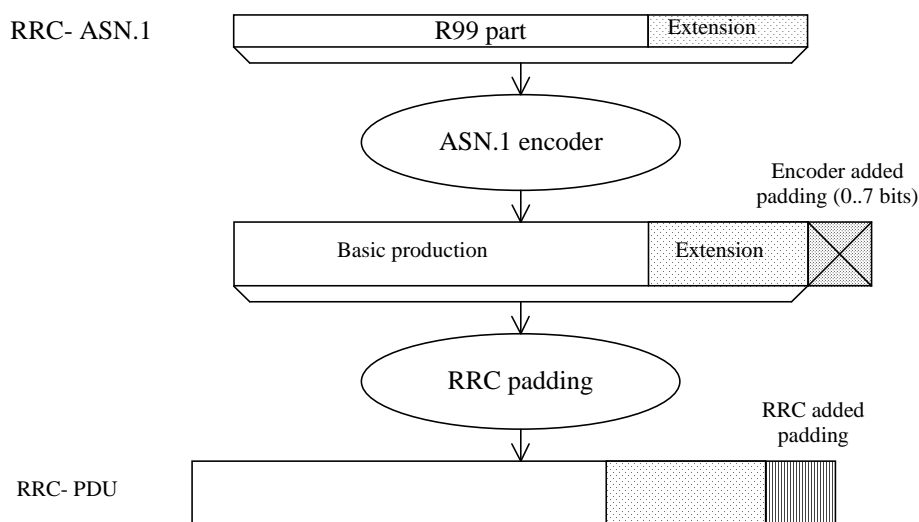
#### 12.1.2 Extension

Emitters compliant with this version of the specification of the protocol shall, unless indicated otherwise on a PDU type basis, set the extension part empty. Emitters compliant with a later version might send non-empty extensions.

### 12.1.3 Padding

Emitters compliant with this version of the specification of the protocol shall, unless indicated otherwise on a PDU type basis, pad the basic production with the smallest number of bits required to meet the size constraints of the lower layers. Padding bits shall be set to 0.

Receivers compliant with this version of the specification have no need to distinguish the extension and padding parts, and shall, unless indicated otherwise on a PDU type basis, accept RRC PDUs with any bit string in the extension and padding parts.



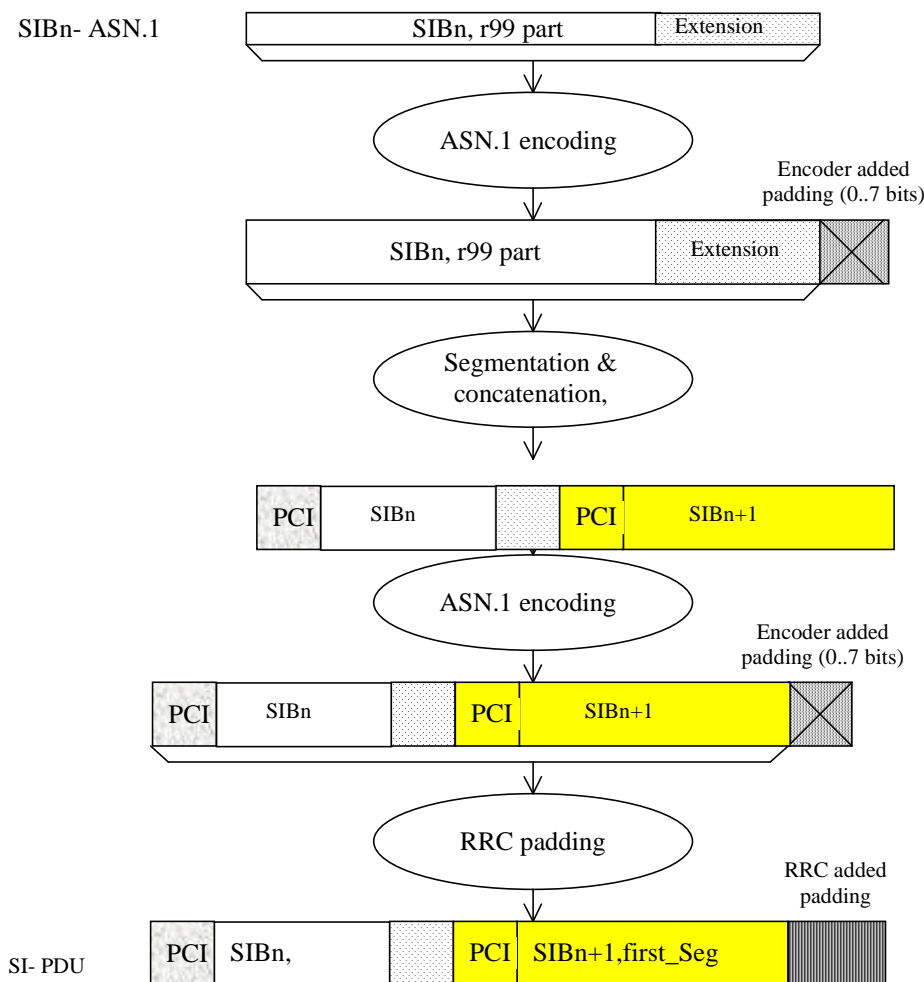
**Figure 12.1.3-1: Padding**

When using AM or UM mode, RLC requires that the RRC PDU length is a multiple of 8 bits.

When using Tr mode, RLC does neither impose size requirements nor perform padding. This implies that RRC has to take into account the transport format set defined for the transport channel across which the message is to be sent. RRC shall add the lowest number of padding bits required to fit the size specified for the selected transport format.

For system information blocks, building the PDU involves two steps. The first step is the building of the System Information Blocks, in which step padding is not applied (the rules for extension apply). The second step is the building of the RRC PDUs, involving segmentation and concatenation of System Information Blocks, and then padding as described above for Tr mode. The procedure is shown by means of an example as described in Figure 12.1.3-2. The example includes two System Information Blocks, SIB<sub>n</sub> and SIB<sub>n+1</sub>, of which only SIB<sub>n</sub> includes a protocol extension. The two System Information Blocks used in the example do not require segmentation and are concatenated into one SYSTEM INFORMATION message.





**Figure 12.1.3-2: Padding for System Information**

PCI: Protocol control information at SYSTEM INFORMATION message level

SI: SYSTEM INFORMATION message

For system information blocks, RRC may also add padding information at the end of IE "SIB data fixed", used both within IE "Last segment" and IE "Complete SIB". The IE "SIB data fixed" has a fixed length i.e. no length denominator used. In case the remaining amount of "SIB data" information is insufficient to fill the IE completely, RRC includes padding bits.

Since no length denominator is included, the receiving RRC cannot remove the padding added by the sender. However, since the padding used is the same as the padding added by the PER encoder to achieve octet alignment, the receiver can handle it.

NOTE 1 The mechanism described above implies that the PDU provided to the ASN.1 decoder may have more than 7 padding bits included. For a complete System Information Block of length 215 bits, 11 padding bits are added by RRC. Since the decoder requires an octet aligned input, 6 additional bits need to be added. In this (worst) case, a total of 17 padding bits is included.

NOTE 2 For the above cases, use of padding bits is possible and more efficient than including a length denominator.

When using the RRC padding described above, the segment has a fixed length, which completely fills the transport block. Therefore, in this case no RRC padding is added within the SYSTEM INFORMATION message. This is illustrated by means of the following figure.

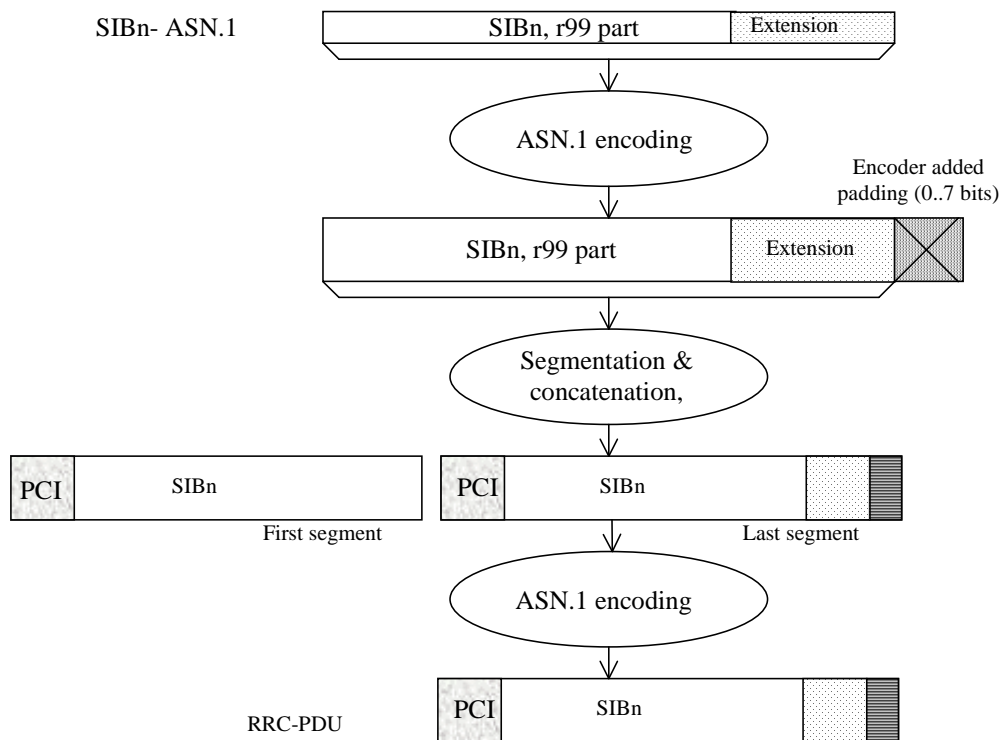


Figure 12.1.3-3: No RRC padding for System Information

## 12.2 ECN link module for RRC

```

RRC-ECN-Link-Module LINK-DEFINITIONS ::=
BEGIN

IMPORTS
    RRC-encodings          -- Encoding objects for RRC messages
FROM RRC-Encoding-Definitions;

ENCODE Class-definitions
    WITH RRC-encodings
    COMPLETED BY PER-BASIC-UNALIGNED

ENCODE PDU-definitions
    WITH RRC-encodings
    COMPLETED BY PER-BASIC-UNALIGNED

ENCODE InformationElements
    WITH RRC-encodings
    COMPLETED BY PER-BASIC-UNALIGNED

ENCODE Internode-definitions
    WITH RRC-encodings
    COMPLETED BY PER-BASIC-UNALIGNED

END

```

## 12.3 ECN modules for RRC

The encoding definition module "RRC-Encoding-Definitions" contains definition of the encoding object set "RRC-encodings". The encoding object set contains all the specialized encoding for RRC.

```
RRC-Encoding-Definitions ENCODING-DEFINITIONS ::=
BEGIN

EXPORTS
    RRC-encodings;

RRC-encodings #ENCODINGS ::= {
    -- Trailing bits
    outer-encoding
}

--*****
--
-- The trailing bits in all RRC messages shall be ignored
-- (including unknown message contents & unknown extensions).
-- This overrides the default PER behaviour which pads the last
-- octet with zero bits.
--
--*****

outer-encoding #OUTER ::= {
    ENCODER-DECODER {
    }
    DECODE AS IF {
        POST-PADDING    encoder-option
    }
}

END

Class-definitions-ECN-Module ENCODING-DEFINITIONS ::=
BEGIN
END

PDU-definitions-ECN-Module ENCODING-DEFINITIONS ::=
BEGIN
END

InformationElements-ECN-Module ENCODING-DEFINITIONS ::=
BEGIN
END

Internode-definitions-ECN-Module ENCODING-DEFINITIONS ::=
BEGIN
END
```

## 12.4 RRC messages encoded otherwise

NOTE: The messages included in this section are not specified by means of ASN.1.

### 12.4.1 Messages using tabular encoding specification

The encoding of the message is specified by means of a table listing the information elements known in the message and their order of their appearance in the message.

When a field extends over more than one octet, the order of bit values progressively decreases as the octet number increases. The least significant bit of the field is represented by the lowest numbered bit of the highest numbered octet of the field.

#### 12.4.1.1 TRANSPORT FORMAT COMBINATION CONTROL using transparent DCCH

There are three possible formats for the transparent format combination control mode used on a transparent mode DCCH. The mode to be used is configured during establishment of the transparent mode DCCH.

## 12.4.1.1.1 TRANSPORT FORMAT COMBINATION CONTROL, 3 bit format

The 3 bit format is as follows:

| 3 | 2 | 1 | Transport Format Combination Set Identity value |
|---|---|---|---|
| 0 | 0 | 0 | 0   |
| 0 | 0 | 1 | 1   |
| 0 | 1 | 0 | 2   |
|   |   |   |   |
| 1 | 1 | 1 | 7   |

## 12.4.1.1.2 TRANSPORT FORMAT COMBINATION CONTROL, 5 bit format

The 5 bit format is as follows:

| 5 | 4 | 3 | 2 | 1 | Transport Format Combination Set Identity value |
|---|---|---|---|---|---|
| 0 | 0 | 0 | 0 | 0 | 0   |
| 0 | 0 | 0 | 0 | 1 | 1   |
| 0 | 0 | 0 | 1 | 0 | 2   |
|   |   |   |   |   |   |
| 1 | 1 | 1 | 1 | 1 | 31  |

## 12.4.1.1.3 TRANSPORT FORMAT COMBINATION CONTROL, 10 bit format

The 10 bit format is as follows:

| Octet 1 |   |   |   |   |   |   |   | Oct 2 |   | Transport Format Combination Set Identity value |
|---------|---|---|---|---|---|---|---|-------|---|---|
| 10      | 9 | 8 | 7 | 6 | 5 | 4 | 3 | 2     | 1 |   |
| 0       | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0     | 0 | 0   |
| 0       | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0     | 1 | 1   |
| 0       | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1     | 0 | 2   |
|         |   |   |   |   |   |   |   |       |   |   |
| 1       | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1     | 1 | 1023  |

## 13 Protocol timers, counters, other parameters and default configurations

The information provided in subclauses 13.1 and 13.2 shall be treated as informative. The normative text is specified in the relevant subclauses in clause 8 and clause 8 shall prevail.

### 13.1 Timers for UE

| Timer | Start  | Stop   | At expiry   |
|-------|--|--|---|
| T300  | Transmission of RRC CONNECTION REQUEST   | Reception of RRC CONNECTION SETUP  | Retransmit RRC CONNECTION REQUEST if V300 =< N300, else go to Idle mode   |
| T302  | Transmission of CELL UPDATE/URA UPDATE   | Reception of CELL UPDATE CONFIRM/URA UPDATE CONFIRM  | Retransmit CELL UPDATE/URA UPDATE if V302 =< N302, else, go to Idle mode  |
| T304  | Transmission of UE CAPABILITY INFORMATION  | Reception of UE CAPABILITY INFORMATION CONFIRM   | Retransmit UE CAPABILITY INFORMATION if V304 =< N304, else initiate a cell update procedure                                       |
| T305  | Entering CELL_FACH or URA_PCH or CELL_PCH state. Reception of CELL UPDATE CONFIRM/URA UPDATE CONFIRM.  | Entering another state.  | Transmit CELL UPDATE if T307 is not activated and the UE detects "in service area". Otherwise, if T307 is not active, start T307. |
| T307  | When the timer T305 has expired and the UE detects "out of service area".  | When the UE detects "in service area".   | Transit to idle mode  |
| T308  | Transmission of RRC CONNECTION RELEASE COMPLETE  | Not stopped  | Transmit RRC CONNECTION RELEASE COMPLETE if V308 <=N308, else go to idle mode.  |
| T309  | Upon reception of CELL CHANGE ORDER FROM UTRAN message   | Successful response to a connection establishment request in the new cell.   | Resume the connection to UTRAN  |
| T310  | Transmission of PUSCH CAPACITY REQUEST   | Reception of PHYSICAL SHARED CHANNEL ALLOCATION  | Transmit PUSCH CAPACITY REQUEST if V310 =< N310, else procedure stops.  |
| T311  | Reception of PHYSICAL SHARED CHANNEL ALLOCATION message with the CHOICE "PUSCH allocation" set to "PUSCH allocation pending".  | Reception of PHYSICAL SHARED CHANNEL ALLOCATION message with CHOICE "PUSCH allocation" set to "PUSCH allocation assignment". | UE may initiate a PUSCH capacity request procedure.   |
| T312  | When the UE starts to establish dedicated CH   | When the UE detects N312 "in sync" indication from L1.   | The criteria for physical channel establishment failure is fulfilled  |
| T313  | When the UE detects consecutive N313 "out of sync" indication from L1.   | When the UE detects consecutive N315 "in sync" indication from L1.   | The criteria for Radio Link failure is fulfilled  |
| T314  | When the criteria for radio link failure are fulfilled.<br>The timer is started if radio bearer(s) that are associated with T314 exist or if only RRC connection exists. | When the Cell Update procedure has been completed.   | See subclause 8.3.1.13  |
| T315  | When the criteria for radio link failure are fulfilled.<br>The timer is started only if radio bearer(s) that are associated with T315 exist.                             | When the Cell Update procedure has been completed.   | See subclause 8.3.1.14  |

| Timer | Start   | Stop                                   | At expiry   |
|-------|---|--|---|
| T316  | When the UE detects "out of service area" in URA_PCH or CELL_PCH state                  | When the UE detects "in service area". | Initiate cell update procedure if in service area is detected. Otherwise start timer T317, transit to CELL_FACH state and initiate cell update procedure when the UE detects "in service area". |
| T317  | When the T316 expires or when in CELL_FACH state, the UE detects "out of service area". | When the UE detects "in service area". | Transit to idle mode  |

## 13.2 Counters for UE

| Counter | Reset   | Incremented          | When reaching max value  |
|---------|---|----------------------|--|
| V300    | When initiating the procedure RRC connection establishment  | Upon expiry of T300. | When V300 > N300, the UE enters idle mode.   |
| V302    | When initiating the procedure Cell update or URA update   | Upon expiry of T302  | When V302 > N302 the UE enters idle mode.  |
| V304    | When sending the first UE CAPABILITY INFORMATION message.   | Upon expiry of T304  | When V304 > N304 the UE initiates the Cell update procedure                                |
| V308    | When sending the first RRC CONNECTION RELEASE COMPLETE message in a RRC connection release procedure. | Upon expiry of T308  | When V308 > N308 the UE stops re-transmitting the RRC CONNECTION RELEASE COMPLETE message. |
| V310    | When sending the first PUSCH CAPACITY REQUEST message in a PUSCH capacity request procedure           | Upon expiry of T310  | When V310 > N310 the UE stops re-transmitting the PUSCH CAPACITY REQUEST message.          |

## 13.3 UE constants and parameters

| Constant | Usage   |
|----------|---|
| N300     | Maximum number of retransmissions of the RRC CONNECTION REQUEST message           |
| N302     | Maximum number of retransmissions of the CELL UPDATE / URA UPDATE message         |
| N304     | Maximum number of retransmissions of the UE CAPABILITY INFORMATION message        |
| N308     | Maximum number of retransmissions of the RRC CONNECTION RELEASE COMPLETE message  |
| N310     | Maximum number of retransmission of the PUSCH CAPACITY REQUEST message            |
| N312     | Maximum number of "in sync" received from L1.                                     |
| N313     | Maximum number of successive "out of sync" received from L1.                      |
| N315     | Maximum number of successive "in sync" received from L1 during T313 is activated. |

## 13.4 UE variables

### 13.4.0 CELL\_INFO\_LIST

This variable contains cell information on intra-frequency, inter-frequency and inter-RAT cells, as received in messages System Information Block Type 11, System Information Block Type 12, and MEASUREMENT CONTROL.

The first position in Intra-frequency cell info list corresponds to Intra-frequency cell id 0, the second to Intra-frequency cell id 1, etc.

The first position in Inter-frequency cell info list corresponds to Inter-frequency cell id 0, the second to Inter-frequency cell id 1, etc.

The first position in Inter-RAT cell info list corresponds to Intra-frequency cell id 0, the second to Inter-RAT cell id 1, etc.

This variable shall be cleared at cell re-selection, when leaving UTRA RRC connected mode, when switched off as well as at selection of a new PLMN.

| Information Element/Group name            | Need | Multi             | Type and reference   | Semantics description  |
|---|------|-------------------|--|--|
| Intra-frequency cell info                 | OP   | 1..<maxCel IMeas> |  |  |
| >CHOICE <i>position status</i>            | MP   |                   |  |  |
| >>Occupied                                |      |                   |  |  |
| >>>Cell info                              | MP   |                   | Cell info<br>10.3.7.2  |  |
| >>Vacant                                  |      |                   |  | No data  |
| Inter-frequency cell info                 | OP   | 1..<maxCel IMeas> |  |  |
| >CHOICE <i>position status</i>            | MP   |                   |  |  |
| >>Occupied                                |      |                   |  |  |
| >>>Frequency info                         | MP   |                   | Frequency info<br>10.3.6.36  |  |
| >>>Cell info                              | MP   |                   | Cell info<br>10.3.7.2  |  |
| >>Vacant                                  |      |                   |  | No data  |
| Inter-RAT cell info                       | OP   | 1..<maxCel IMeas> |  |  |
| >CHOICE <i>position status</i>            | MP   |                   |  |  |
| >>Occupied                                |      |                   |  |  |
| >>>CHOICE <i>Radio Access Technology</i>  |      |                   |  |  |
| >>>>GSM                                   |      |                   |  |  |
| >>>>>Cell selection and re-selection info | MP   |                   | Cell selection and re-selection info for SIB11/12<br>10.3.2.4          |  |
| >>>>>BSIC                                 | MP   |                   | BSIC<br>10.3.8.2   |  |
| >>>>>BCCH ARFCN                           | MP   |                   | Integer<br>(0..1023)   | [43]   |
| >>>>>IS-2000                              |      |                   |  |  |
| >>>>>>System specific measurement info    |      |                   | enumerated (frequency, timeslot, colour code, output power, PN offset) | For IS-2000, use fields from TIA/EIA/IS-2000.5, subclause 3. 7.3.3.2.27, <i>Candidate Frequency Neighbour List Message</i> |
| >>Vacant                                  |      |                   |  | No data  |

## 13.4.00 Void

## 13.4.0a CELL\_UPDATE\_STARTED

This variable indicates whether a cell update or URA update procedure is in progress.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| Cell update started            | MP   |       | Boolean            | TRUE means a cell or URA update procedure is in progress.<br>Set to FALSE when entering UTRA RRC connected mode.<br>Set to FALSE when leaving UTRA RRC connected mode. |

## 13.4.1 CIPHERING\_STATUS

This variable contains information about the current status of ciphering in the UE.

| Information Element/Group name | Need | Multi               | Type and reference               | Semantics description  |
|--------------------------------|------|---------------------|----------------------------------|--|
| Status for each CN domain      | MP   | <1 to maxCNDomains> |                                  |  |
| >CN domain identity            | MP   |                     | CN domain identity 10.3.1.1      |  |
| >Status                        | MP   |                     | Enumerated(Not started, Started) | Set to "Not started" when entering UTRA RRC connected mode.<br>Set to "Not started" when leaving UTRA RRC connected mode.  |
| Reconfiguration                | MP   |                     | Boolean                          | TRUE means an RRC procedure performing reconfiguration of ciphering is ongoing.<br>Set to FALSE when entering UTRA RRC connected mode.<br>Set to FALSE when leaving UTRA RRC connected mode. |

## 13.4.2 Void

### 13.4.2a CONFIGURATION\_INCOMPLETE

This variable indicates whether a received measurement control message contains invalid an incomplete measurement configuration.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| Configuration incomplete       | MP   |       | Boolean            | TRUE: An incomplete configuration has been detected.<br>Set to FALSE when entering UTRA RRC connected mode.<br>Set to FALSE when leaving UTRA RRC connected mode. |



### 13.4.3 C\_RNTI

This variable stores the assigned C-RNTI for this UE when in CELL\_FACH state.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| C-RNTI                         | OP   |       | C-RNTI<br>10.3.3.8 | Cleared when entering UTRA RRC connected mode when not otherwise stated in the procedure.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.3a DSCH\_RNTI

This variable stores the assigned DSCH-RNTI for this UE when in CELL\_DCH state.

| Information Element/Group name | Need | Multi | Type and reference     | Semantics description  |
|--------------------------------|------|-------|------------------------|--|
| DSCH-RNTI                      | OP   |       | DSCH-RNTI<br>10.3.3.9a | Cleared when entering UTRA RRC connected mode when not otherwise stated in the procedure.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.4 Void

### 13.4.5 ESTABLISHED\_RABS

This variable is used to store information about the established radio access bearers and signalling radio bearers in the UE.

| Information Element/Group name      | Need | Multi               | Type and reference             | Semantics description   |
|-------------------------------------|------|---------------------|--------------------------------|---|
| RAB information                     | OP   | 1 to <maxRABs etup> |                                | For each RAB established.<br>Cleared when entering UTRA RRC connected mode when not otherwise stated in the procedure.<br>Cleared when leaving UTRA RRC connected mode. |
| >RAB info                           | MP   |                     | RAB info<br>10.3.4.8           |   |
| >RB information                     | MP   | 1 to <maxRBper RAB> |                                | For each RB belonging to the RAB  |
| >>RB identity                       | MP   |                     | RB identity<br>10.3.4.16       |   |
| >>Subflow                           | MP   |                     | Integer(0..<maxSubflow count>) | Reference to the RAB subflow implemented by this RB   |
| >>RB started                        | MD   |                     | Enumerated(stopped, started)   | Default value is started  |
| Signalling radio bearer information | OP   | 1 to <maxSRBsetup>  |                                | In the order of RB0 and upwards.<br>Cleared when leaving UTRA RRC connected mode.   |
| >RB started                         | MD   |                     | Enumerated(                    | Default value is started  |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
|                                |      |       | stopped, started)  |                       |

### 13.4.5a ESTABLISHED\_SIGNALLING\_CONNECTIONS

This variable is used to store information about established signalling connections.

| Information Element/Group name  | Need | Multi                | Type and reference             | Semantics description   |
|---------------------------------|------|----------------------|--------------------------------|---|
| Signalling connection list      | OP   | 1 to <maxCNdo mains> |                                | For each established signalling connection.<br>Cleared when entering UTRA RRC connected mode when not otherwise stated in the procedure.<br>Cleared when leaving UTRA RRC connected mode. |
| >Signalling connection identity | MP   |                      | CN domain identity<br>10.3.1.1 |   |

### 13.4.6 ESTABLISHMENT\_CAUSE

This variable is used to store the cause for establishment of a signalling connection received by upper layers, to be used at RRC connection establishment.

| Information Element/Group name | Need | Multi | Type and reference               | Semantics description                         |
|--------------------------------|------|-------|----------------------------------|---|
| Establishment cause            | OP   |       | Establishment cause<br>10.3.3.11 | Cleared when leaving UTRA RRC connected mode. |

### 13.4.7 FAILURE\_CAUSE

This variable contains the cause for failure of a UE initiated procedure, to be reported in a retransmitted message.

| Information Element/Group name | Need | Multi | Type and reference         | Semantics description   |
|--------------------------------|------|-------|----------------------------|---|
| Failure cause                  | OP   |       | Failure cause<br>10.3.3.13 | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.8 FAILURE\_INDICATOR

This variable indicates whether the procedure has failed for a UE initiated procedure.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| Failure indicator              | MP   |       | Boolean            | TRUE: Procedure has failed.<br>Set to FALSE when entering UTRA RRC connected mode.<br>Set to FALSE when leaving UTRA RRC connected mode. |

### 13.4.8a INCOMPATIBLE\_SECURITY\_RECONFIGURATION

This variable indicates whether an incompatible simultaneous reconfiguration of a security function has been received.

| Information Element/Group name        | Need | Multi | Type and reference | Semantics description   |
|---------------------------------------|------|-------|--------------------|---|
| Incompatible security reconfiguration | MP   |       | Boolean            | TRUE: An incompatible simultaneous security reconfiguration has been detected.<br>Set to FALSE when entering UTRA RRC connected mode.<br>Set to FALSE when leaving UTRA RRC connected mode. |

### 13.4.9 INITIAL\_UE\_IDENTITY

In this variable the identity used by the UE when establishing an RRC connection is stored.

| Information Element/Group name | Need | Multi | Type and reference               | Semantics description                         |
|--------------------------------|------|-------|----------------------------------|---|
| Initial UE identity            | OP   |       | Initial UE identity<br>10.3.3.15 | Cleared when leaving UTRA RRC connected mode. |

### 13.4.9a INTEGRITY\_PROTECTION\_ACTIVATION\_INFO

This variable contains information to be sent to UTRAN about when a new integrity protection configuration shall be activated in the uplink for signalling radio bearers in case of modification of integrity protection.

| Information Element/Group name              | Need | Multi | Type and reference                                | Semantics description   |
|---|------|-------|---|---|
| Uplink Integrity protection activation info | OP   |       | Integrity protection activation info<br>10.3.3.17 | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.10 INTEGRITY\_PROTECTION\_INFO

This variable contains information about the current status of the integrity protection in the UE.

| Information Element/Group name                                    | Need | Multi               | Type and reference               | Semantics description   |
|---|------|---------------------|----------------------------------|---|
| Status  | MP   |                     | Enumerated(Not started, Started) | Set to "Not started" when entering UTRA RRC connected mode.<br>Set to "Not started" when leaving UTRA RRC connected mode.   |
| Reconfiguration   | MP   |                     | Boolean                          | TRUE means a reconfiguration of integrity protection is ongoing.<br>Set to FALSE when entering UTRA RRC connected mode.<br>Set to FALSE when leaving UTRA RRC connected mode. |
| Signalling radio bearer specific integrity protection information | OP   | 1 to <maxSRBs etup> |                                  | When integrity protection is started, status information for RB0- RB4 in that order.<br>Cleared when entering UTRA  |

| Information Element/Group name        | Need | Multi | Type and reference | Semantics description  |
|---------------------------------------|------|-------|--------------------|--|
|                                       |      |       |                    | RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |
| >Uplink RRC HFN                       | MP   |       | Bit string (28)    |  |
| >Downlink RRC HFN                     | MP   |       | Bit string (28)    |  |
| >Uplink RRC Message sequence number   | MP   |       | Integer (0..15)    |  |
| >Downlink RRC Message sequence number | OP   |       | Integer (0..15)    |  |

### 13.4.10a INTER\_RAT\_HANDOVER\_INFO\_TRANSFERRED

This variable stores information about the inter RAT handover info that has been transferred to another RAT.

| Information Element/Group name              | Need | Multi                      | Type and reference                                    | Semantics description                               |
|---|------|----------------------------|---|---|
| Predefined configuration status information | OP   |                            | Predefined configuration status information 10.3.4.5a | Cleared upon entering connected mode in another RAT |
| UE security information                     | OP   |                            | UE security information 10.3.3.42b                    | Cleared upon entering connected mode in another RAT |
| UE radio access capability                  | OP   |                            | UE radio access capability 10.3.3.42                  | Cleared upon entering connected mode in another RAT |
| UE radio access capability extension        | OP   |                            | UE radio access capability extension 10.3.3.42a       | Cleared upon entering connected mode in another RAT |
| UE system specific capability               | OP   | 1 to <maxSystemCapability> | Inter-RAT UE radio access capability 10.3.8.7         | Cleared upon entering connected mode in another RAT |
| >Inter-RAT UE radio access capability       | MP   |                            | Inter-RAT UE radio access capability 10.3.8.7         |   |

### 13.4.11 INVALID\_CONFIGURATION

This variable indicates whether a received message contained an invalid configuration, by means of invalid values or invalid combinations of information elements.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| Invalid configuration          | MP   |       | Boolean            | TRUE: An invalid configuration has been detected.<br>Set to FALSE when entering UTRA RRC connected mode.<br>Set to FALSE when leaving UTRA RRC connected mode. |

### 13.4.11a LATEST\_CONFIGURED\_CN\_DOMAIN

This variable stores the CN-domain that was most recently configured to be used for ciphering and integrity protection.

| Information Element/Group name | Need | Multi | Type and reference             | Semantics description  |
|--------------------------------|------|-------|--------------------------------|--|
| Latest configured CN domain    | OP   |       | CN domain identity<br>10.3.1.1 | Cleared when entering UTRA RRC connected mode when not stated otherwise in the procedure.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.12 MEASUREMENT\_IDENTITY

This variable stores the measurements configured in the UE. For each configured measurement, the information below shall be stored.

| Information Element/Group name | Need | Multi | Type and reference   | Semantics description   |
|--------------------------------|------|-------|--|---|
| MEASUREMENT CONTROL            | OP   |       | MEASUREMENT CONTROL<br>10.2.17,<br>System Information Block type 11<br>10.2.48.8.12,<br>System Information Block type 12<br>10.2.48.8.13 | Information as contained in these messages.<br>Cleared when entering UTRA RRC connected mode when not stated otherwise in the procedure (8.4.1.8-8.4.1.9).<br>Cleared when leaving UTRA RRC connected mode when not stated otherwise in the procedure (8.4.1.9a). |

### 13.4.13 Void

### 13.4.14 ORDERED\_RECONFIGURATION

This variable stores information about an ongoing Reconfiguration procedure.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| Ordered reconfiguration        | MP   |       | Boolean            | TRUE means that a Reconfiguration procedure is ongoing.<br>Set to FALSE when entering UTRA RRC connected mode.<br>Set to FALSE when leaving UTRA RRC connected mode. |

### 13.4.15 PDCP\_SN\_INFO

This variable contains PDCP receive sequence numbers for one or several radio bearers to be included in a response message to UTRAN.

| Information Element/Group name | Need | Multi                | Type and reference                    | Semantics description   |
|--------------------------------|------|----------------------|---------------------------------------|---|
| RB with PDCP information list  | OP   | 1 to <maxRBall RABs> |                                       | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |
| >RB with PDCP information      | MP   |                      | RB with PDCP information<br>10.3.4.22 |   |

### 13.4.15a PHYSICAL\_SHARED\_CHANNEL\_CONFIGURATION

This variable is used only for TDD to store information about the physical shared channel configuration in the UE.

| Information Element/Group name | Need | Multi      | Type and reference                    | Semantics description   |
|--------------------------------|------|------------|---------------------------------------|---|
| PUSCH configuration            | OP   |            |                                       | Cleared when entering and leaving UTRA RRC connected mode.  |
| >PUSCH info                    | MP   |            | PUSCH info<br>10.3.6.63               |   |
| >PUSCH Identity                | OP   |            | Integer(1..hiPUSCHidentities)         |   |
| >PUSCH power control info      | OP   |            | PUSCH power control info<br>10.3.6.65 |   |
| PDSCH configuration            | OP   |            |                                       | Cleared when entering and leaving UTRA RRC connected mode.  |
| >PDSCH Info                    | MP   |            | PDSCH Info<br>10.3.6.44               |   |
| >PDSCH Identity                | OP   |            | Integer(1..hiPDSCHidentities)         |   |
| >PDSCH power control info      | OP   |            | PDSCH power control info<br>10.3.6.45 |   |
| ISCP Timeslot list             | OP   | 1 to maxTS |                                       | Cleared when entering and leaving UTRA RRC connected mode.  |
| >Timeslot number               | MP   |            | Timeslot number<br>10.3.6.84          | Timeslot numbers, for which the UE shall report the timeslot ISCP in PUSCH CAPACITY REQUEST message |

### 13.4.16 PROTOCOL\_ERROR\_INDICATOR

This variable indicates whether there exist a protocol error that is to be reported to UTRAN.

| Information Element/Group name | Need | Multi | Type and reference                    | Semantics description   |
|--------------------------------|------|-------|---------------------------------------|---|
| Protocol error indicator       | MP   |       | Protocol error indicator<br>10.3.3.27 | Set to FALSE when entering UTRA RRC connected mode.<br>Set to FALSE when leaving UTRA RRC connected mode. |

### 13.4.17 PROTOCOL\_ERROR\_INFORMATION

This variable contains diagnostics to be reported to UTRAN for a message that was not completely understood.

| Information Element/Group name | Need | Multi | Type and reference                      | Semantics description   |
|--------------------------------|------|-------|---|---|
| Protocol error information     | OP   |       | Protocol error information<br>10.3.8.12 | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.18 PROTOCOL\_ERROR\_REJECT

This variable indicates whether there has occurred a severe protocol error causing the ongoing procedure to fail.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| Protocol error reject          | MP   |       | Boolean            | TRUE: a severe protocol error has occurred.<br>Set to FALSE when entering UTRA RRC connected mode.<br>Set to FALSE when leaving UTRA RRC connected mode. |

### 13.4.19 RB\_TIMER\_INDICATOR

This variable contains information to be sent to UTRAN if any of the timers T314 or T315 has expired when the UE sends a cell update with cause RL failure.

| Information Element/Group name | Need | Multi | Type and reference              | Semantics description   |
|--------------------------------|------|-------|---------------------------------|---|
| RB timer indicator             | OP   |       | RB timer indicator<br>10.3.3.28 | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.20 RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO

This variable contains information to be sent to UTRAN about when a new ciphering configuration shall be activated in the uplink for radio bearers using RLC-AM or RLC-UM.

| Information Element/Group name           | Need | Multi | Type and reference                   | Semantics description   |
|--|------|-------|--------------------------------------|---|
| RB uplink ciphering activation time info | OP   |       | RB activation time info<br>10.3.4.13 | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.20a SECURITY\_MODIFICATION

This variable contains information on which CN domain is affected by the ongoing security reconfiguration.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
|--------------------------------|------|-------|--------------------|-----------------------|

|                           |    |                    |                                    |  |
|---------------------------|----|--------------------|------------------------------------|--|
| Status for each CN domain | MP | <1 to maxCNDomain> |                                    |  |
| >CN domain identity       | MP |                    | CN domain identity 10.3.1.1        |  |
| >Status                   | MP |                    | Enumerated(Affected, Not Affected) |  |

### 13.4.21 SELECTED\_PLMN

This variable contains the type of and identity of the selected PLMN.

| Information Element/Group name | Need | Multi | Type and reference      | Semantics description |
|--------------------------------|------|-------|-------------------------|-----------------------|
| PLMN Type                      | MP   |       | PLMN Type 10.3.1.12     |                       |
| CHOICE <i>identity type</i>    | MP   |       |                         |                       |
| >PLMN identity                 |      |       | PLMN identity 10.3.1.11 |                       |
| >SID                           |      |       | SID 10.3.9.11           |                       |

| CHOICE <i>identity type</i> | Condition under which the given <i>identity type</i> is chosen |
|-----------------------------|--|
| PLMN identity               | PLMN Type is "GSM-MAP"   |
| SID                         | PLMN Type is "ANSI-41"   |

### 13.4.22 START\_THRESHOLD

This variable contains information about the maximum allowed value of the START for a CN domain.

| Information Element/Group name | Need | Multi | Type and reference   | Semantics description  |
|--------------------------------|------|-------|----------------------|--|
| THRESHOLD                      | OP   |       | Integer (0..1048576) | 20 bits.<br>Cleared when entering UTRA RRC connected mode when not stated otherwise in the procedure.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.23 START\_VALUE\_TO\_TRANSMIT

This variable contains the value of START for new radio bearer(s) to be transmitted in a response message.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| START                          | OP   |       | START 10.3.3.38    | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.24 TFC\_SUBSET

This variable contains information about the TFC subset(s) applicable to the UE.



| Information Element/Group name | Need | Multi              | Type and reference                                  | Semantics description  | Version |
|--------------------------------|------|--------------------|---|--|---------|
| CHOICE <i>mode</i>             | MP   |                    |   |  |         |
| >FDD                           |      |                    |   |  |         |
| >>Current TFC subset           | MP   |                    | Transport Format Combination Subset 10.3.5.22       | Set to "Full transport format set" when entering UTRA RRC connected mode when not stated otherwise in the procedure.   |         |
| >>>Duration                    | OP   |                    | TFC Control duration 10.3.6.80                      | Cleared when entering UTRA RRC connected mode. Cleared when leaving UTRA RRC connected mode.   |         |
| >>>Default TFC subset          | OP   |                    | Transport Format Combination Subset 10.3.5.22       | The TFC subset to go back to when any temporary limitation is released. Cleared when entering UTRA RRC connected mode. Cleared when leaving UTRA RRC connected mode.   |         |
| >>>>TFC subset list            | MP   | 1 to <maxTF Csub>  |   |  | REL-4   |
| >>>>>TFC subset                | MP   |                    | Transport Format Combination Subset 10.3.5.22       |  | REL-4   |
| >TDD                           |      |                    |   |  |         |
| >>TFCS list                    | MP   | 1 to <maxCCT rCH > |   | One TFCS is created when entering UTRA RRC connected mode when not stated otherwise in the procedure.  |         |
| >>>>TFCS identity              | MP   |                    | Transport Format Combination Set Identity 10.3.5.21 | "TFCS ID" is set to 1 when entering UTRA RRC connected mode when not stated otherwise in the procedure. "Shared channel indicator" is set to FALSE when entering UTRA RRC connected mode when not stated otherwise in the procedure. |         |
| >>>>>Current TFC subset        | MP   |                    | Transport Format Combination Subset 10.3.5.22       | Set to "Full transport format set" when entering UTRA RRC connected mode when not stated otherwise in the procedure.   |         |
| >>>>>>Duration                 | OP   |                    | TFC Control duration 10.3.6.80                      | Cleared when entering UTRA RRC connected mode. Cleared when leaving UTRA RRC connected mode.   |         |
| >>>>>>>Default TFC subset      | OP   |                    | Transport Format Combination Subset 10.3.5.22       | The TFC subset to go back to when any temporary limitation is released. Cleared when entering  |         |

| Information Element/Group name | Need | Multi             | Type and reference                                  | Semantics description  | Version |
|--------------------------------|------|-------------------|---|--|---------|
|                                |      |                   |   | UTRA RRC connected mode. Cleared when leaving UTRA RRC connected mode. |         |
| >>TFC subset list              | MP   | 1 to <maxTF Csub> |   |  | REL-4   |
| >>>TFCS identity               | MP   |                   | Transport Format Combination Set Identity 10.3.5.21 |  | REL-4   |
| >>>TFC subset                  | MP   |                   | Transport Format Combination Subset 10.3.5.22       |  | REL-4   |

### 13.4.25 TGPS\_IDENTITY

This variable contains the configuration parameters of all the configured compressed mode transmission gap pattern sequences.

| Information Element/Group name                              | Need      | Multi          | Type and reference               | Semantics description  |
|---|-----------|----------------|----------------------------------|--|
| Transmission gap pattern sequence                           | OP        | 1 to <maxTGPS> |                                  | Cleared when entering UTRA RRC connected mode. Cleared when leaving UTRA RRC connected mode.   |
| >TGPSI  | MP        |                | TGPSI 10.3.6.82                  |  |
| >TGPS Status Flag   | MP        |                | Enumerated(activate, deactivate) | This flag indicates whether the Transmission Gap Pattern Sequence shall be activated or deactivated.   |
| > Current TGPS Status Flag                                  | MP        |                | Enumerated(active, inactive)     | This flag indicates the current status of the Transmission Gap Pattern Sequence, whether it is active or inactive                                  |
| >TGCFN  | CV-Active |                | Integer (0..255)                 | Connection Frame Number of the first frame of the first pattern within the Transmission Gap Pattern Sequence.                                      |
| >Transmission gap pattern sequence configuration parameters | MP        |                |                                  | Information as contained in the IE group "Transmission gap pattern sequence configuration parameters" in IE "DPCH compressed mode info" 10.3.6.33. |

| Condition | Explanation  |
|-----------|--|
| Active    | This IE is mandatory present when the value of the IE "TGPS Status Flag" is "Activate" and not needed otherwise. |

### 13.4.26 TGSN\_REPORTED

This variable specifies whether an IE "Proposed TGSN" was reported to the UTRAN

| Information Element/Group name | Need | Multi | Type and reference | Semantics description   |
|--------------------------------|------|-------|--------------------|---|
| Proposed TGSN reported         | MP   |       | Boolean            | Set to FALSE when entering UTRA RRC connected mode.<br>Set to FALSE when leaving UTRA RRC connected mode. |

### 13.4.26a TIMERS\_AND\_CONSTANTS

This variable contains the values for all timers and constants used in connected mode.

| Information Element/Group name            | Need | Multi | Type and reference                                     | Semantics description  |
|---|------|-------|--|--|
| UE Timers and constants in connected mode | MD   |       | UE Timers and constants in connected mode<br>10.3.3.43 | Default value means that for all timers and constants<br>- for parameters with need MD, the defaults specified in 10.3.3.43 apply and<br>- for parameters with need OP, the parameters are absent.<br><br>All parameters are set to the default value when leaving UTRA RRC connected mode to another RAT. |

### 13.4.27 TRANSACTIONS

This variable stores the identifications of the ongoing RRC procedure transactions.

| Information Element/Group name | Need | Multi                  | Type and reference                      | Semantics description                         |
|--------------------------------|------|------------------------|---|---|
| Accepted transactions          | OP   | 1 to <maxtransactions> |   | Cleared when leaving UTRA RRC connected mode. |
| >Message type                  | MP   |                        | Message Type                            |   |
| >RRC transaction identifier    | MP   |                        | RRC transaction identifier<br>10.3.3.36 |   |
| Rejected transactions          | OP   | 1 to <maxtransactions> |   | Cleared when leaving UTRA RRC connected mode. |
| >Message type                  | MP   |                        | Message Type                            |   |
| >RRC transaction identifier    | MP   |                        | RRC transaction identifier<br>10.3.3.36 |   |

### 13.4.27a TRIGGERED\_1A\_EVENT

This variable contains information about a 1a event that has been triggered in the UE. There is one such variable per 1a event configured in the UE.

| Information Element/Group name | Need | Multi               | Type and reference           | Semantics description   |
|--------------------------------|------|---------------------|------------------------------|---|
| Cells triggered                | OP   | 1 to < maxCellMeas> |                              | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |
| >primary CPICH                 | MP   |                     | Primary CPICH info 10.3.6.60 |   |
| >sent reports                  | MP   |                     | Integer(1..Infinity)         | Number of reports sent to UTRAN in case of event triggered periodical reporting                 |
| Cells recently triggered       | OP   | 1 to < maxCellMeas> |                              |   |
| >primary CPICH                 | MP   |                     | Primary CPICH info 10.3.6.60 |   |
| >sent reports                  | MP   |                     | Integer(1..Infinity)         | Number of reports sent to UTRAN in case of event triggered periodical reporting                 |
| Periodical reporting running   | MP   |                     | Boolean                      |   |

### 13.4.27b TRIGGERED\_1B\_EVENT

This variable contains information about a 1b event that has been triggered in the UE. There is one such variable per 1b event configured in the UE.

| Information Element/Group name | Need | Multi               | Type and reference           | Semantics description   |
|--------------------------------|------|---------------------|------------------------------|---|
| Cells triggered                | OP   | 1 to < maxCellMeas> |                              | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |
| >primary CPICH                 | MP   |                     | Primary CPICH info 10.3.6.60 |   |
| Cells recently triggered       | OP   | 1 to < maxCellMeas> |                              |   |
| >primary CPICH                 | MP   |                     | Primary CPICH info 10.3.6.60 |   |

### 13.4.27c TRIGGERED\_1C\_EVENT

This variable contains information about a 1c event that has been triggered in the UE. There is one such variable per 1c event configured in the UE.

| Information Element/Group name | Need | Multi               | Type and reference           | Semantics description   |
|--------------------------------|------|---------------------|------------------------------|---|
| Cells triggered                | OP   | 1 to < maxCellMeas> |                              | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |
| >primary CPICH                 | MP   |                     | Primary CPICH info 10.3.6.60 |   |
| >sent reports                  | MP   |                     | Integer(1..Infinity)         | Number of reports sent to UTRAN in case of event triggered periodical reporting                 |
| Cells recently triggered       | OP   | 1 to < maxCellMeas> |                              |   |
| >primary CPICH                 | MP   |                     | Primary CPICH info 10.3.6.60 |   |
| >sent reports                  | MP   |                     | Integer(1..Infinity)         | Number of reports sent to UTRAN in case of event triggered periodical reporting                 |
| Periodical reporting running   | MP   |                     | Boolean                      |   |

### 13.4.27d BEST\_CELL\_1D\_EVENT

This variable contains information about a 1d event that has been triggered in the UE. There is one such variable per 1d event configured in the UE.

| Information Element/Group name | Need | Multi | Type and reference           | Semantics description   |
|--------------------------------|------|-------|------------------------------|---|
| Best cell                      | OP   |       | Primary CPICH info 10.3.6.60 | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.27e TRIGGERED\_1E\_EVENT

This variable contains information about a 1e event that has been triggered in the UE. There is one such variable per 1e event configured in the UE.

| Information Element/Group name | Need | Multi               | Type and reference           | Semantics description   |
|--------------------------------|------|---------------------|------------------------------|---|
| Cells triggered                | OP   | 1 to < maxCellMeas> |                              | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |
| >primary CPICH                 | MP   |                     | Primary CPICH info 10.3.6.60 |   |
| Cells recently triggered       | OP   | 1 to < maxCellMeas> |                              |   |
| >primary CPICH                 | MP   |                     | Primary CPICH info 10.3.6.60 |   |

### 13.4.27f TRIGGERED\_1F\_EVENT

This variable contains information about a 1f event that has been triggered in the UE. There is one such variable per 1f event configured in the UE.

| Information Element/Group name | Need | Multi               | Type and reference           | Semantics description   |
|--------------------------------|------|---------------------|------------------------------|---|
| Cells triggered                | OP   | 1 to < maxCellMeas> |                              | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |
| >primary CPICH                 | MP   |                     | Primary CPICH info 10.3.6.60 |   |
| Cells recently triggered       | OP   | 1 to < maxCellMeas> |                              |   |
| >primary CPICH                 | MP   |                     | Primary CPICH info 10.3.6.60 |   |

### 13.4.27f1 TRIGGERED\_1G\_EVENT

This variable contains information about a 1g event that has been triggered in the UE.

| Information Element/Group name | Need | Multi               | Type and reference           | Semantics description |
|--------------------------------|------|---------------------|------------------------------|-----------------------|
| Cells triggered                | OP   | 1 to < maxCellMeas> |                              |                       |
| >Primary CCPCH info            | MP   |                     | Primary CCPCH info 10.3.6.57 |                       |

### 13.4.27f2 TRIGGERED\_1H\_EVENT

This variable contains information about a 1h event that has been triggered in the UE.

| Information Element/Group name | Need | Multi               | Type and reference           | Semantics description |
|--------------------------------|------|---------------------|------------------------------|-----------------------|
| Cells triggered                | OP   | 1 to < maxCellMeas> |                              |                       |
| >Primary CCPCH info            | MP   |                     | Primary CCPCH info 10.3.6.57 |                       |

### 13.4.27f3 TRIGGERED\_1I\_EVENT

This variable contains information about a 1i event that has been triggered in the UE.

| Information Element/Group name | Need | Multi               | Type and reference           | Semantics description |
|--------------------------------|------|---------------------|------------------------------|-----------------------|
| Cells triggered                | OP   | 1 to < maxCellMeas> |                              |                       |
| >Primary CCPCH info            | MP   |                     | Primary CCPCH info 10.3.6.57 |                       |

### 13.4.27f4 BEST\_FREQUENCY\_2A\_EVENT

This variable contains information about a 2a event that has been configured in the UE. There is one such variable per 2a event configured in the UE.

| Information Element/Group name | Need | Multi | Type and reference          | Semantics description |
|--------------------------------|------|-------|-----------------------------|-----------------------|
| Best frequency                 | MP   |       | Frequency info<br>10.3.6.36 |                       |

### 13.4.27f5 TRIGGERED\_2B\_EVENT

This variable contains information about a 2b event that has been configured in the UE. There is one such variable per 2b event configured in the UE.

| Information Element/Group name | Need | Multi                       | Type and reference | Semantics description |
|--------------------------------|------|-----------------------------|--------------------|-----------------------|
| Frequency triggered            | OP   | 1 to <maxCellMeas>          |                    |                       |
| >Frequency                     | MP   | Frequency info<br>10.3.6.36 |                    |                       |

### 13.4.27f6 TRIGGERED\_2C\_EVENT

This variable contains information about a 2c event that has been configured in the UE. There is one such variable per 2c event configured in the UE.

| Information Element/Group name | Need | Multi                       | Type and reference | Semantics description |
|--------------------------------|------|-----------------------------|--------------------|-----------------------|
| Frequency triggered            | OP   | 1 to <maxCellMeas>          |                    |                       |
| >Frequency                     | MP   | Frequency info<br>10.3.6.36 |                    |                       |

### 13.4.27f7 TRIGGERED\_2D\_EVENT

This variable contains information about a 2d event that has been configured in the UE. There is one such variable per 2d event configured in the UE.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Event triggered                | OP   |       | Boolean            |                       |

### 13.4.27f8 TRIGGERED\_2E\_EVENT

This variable contains information about a 2e event that has been configured in the UE. There is one such variable per 2e event configured in the UE.

| Information Element/Group name | Need | Multi                       | Type and reference | Semantics description |
|--------------------------------|------|-----------------------------|--------------------|-----------------------|
| Frequency triggered            | OP   | 1 to <maxCellMeas>          |                    |                       |
| >Frequency                     | MP   | Frequency info<br>10.3.6.36 |                    |                       |

### 13.4.27f9 TRIGGERED\_2F\_EVENT

This variable contains information about a 2f event that have been configured in the UE. There is one such variable per 2f event configured in the UE.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Event triggered                | OP   |       | Boolean            |                       |

### 13.4.27f10 TRIGGERED\_3A\_EVENT

This variable contains information about a 3a event that has been configured in the UE. There is one such variable per event 3a configured in the UE.

| Information Element/Group name | Need | Multi              | Type and reference                  | Semantics description |
|--------------------------------|------|--------------------|-------------------------------------|-----------------------|
| CHOICE <i>system</i>           | OP   |                    |                                     |                       |
| >GSM                           |      |                    |                                     |                       |
| >>CHOICE <i>BSIC</i>           | MP   |                    |                                     |                       |
| >>>Verified BSIC               |      | 0 to <maxCellMeas> |                                     |                       |
| >>>>Inter-RAT cell id          | MP   |                    | Integer(0..<maxCellMeasurements>-1) |                       |
| >>>Non verified BSIC           |      | 0 to <maxCellMeas> |                                     |                       |
| >>>>BCCH ARFCN                 | MP   |                    | Integer (0..1023)                   |                       |



### 13.4.27f11 TRIGGERED\_3B\_EVENT

This variable contains information about a 3b event that has been configured in the UE. There is one such variable per event 3b configured in the UE.

| Information Element/Group name | Need | Multi              | Type and reference          | Semantics description |
|--------------------------------|------|--------------------|-----------------------------|-----------------------|
| CHOICE <i>system</i>           | OP   |                    |                             |                       |
| >GSM                           |      |                    |                             |                       |
| >>CHOICE <i>BSIC</i>           | MP   |                    |                             |                       |
| >>>Verified BSIC               |      | 0 to <maxCellMeas> |                             |                       |
| >>>>Inter-RAT cell id          | MP   |                    | Integer(0..<maxCellMeas>-1) |                       |
| >>>Non verified BSIC           |      | 0 to <maxCellMeas> |                             |                       |
| >>>>BCCH ARFCN                 | MP   |                    | Integer (0..1023)           |                       |

### 13.4.27f12 TRIGGERED\_3C\_EVENT

This variable contains information about a 3c event that has been configured in the UE. There is one such variable per event 3c configured in the UE.

| Information Element/Group name | Need | Multi              | Type and reference          | Semantics description |
|--------------------------------|------|--------------------|-----------------------------|-----------------------|
| CHOICE <i>system</i>           | OP   |                    |                             |                       |
| >GSM                           |      |                    |                             |                       |
| >>CHOICE <i>BSIC</i>           | MP   |                    |                             |                       |
| >>>Verified BSIC               |      | 0 to <maxCellMeas> |                             |                       |
| >>>>Inter-RAT cell id          | MP   |                    | Integer(0..<maxCellMeas>-1) |                       |
| >>>Non verified BSIC           |      | 0 to <maxCellMeas> |                             |                       |
| >>>>BCCH ARFCN                 | MP   |                    | Integer (0..1023)           |                       |

### 13.4.27f13 BEST\_CELL\_3D\_EVENT

This variable contains information about a 3d event that has been configured in the UE. There is one such variable per event 3a configured in the UE.

| Information Element/Group name | Need | Multi | Type and reference          | Semantics description |
|--------------------------------|------|-------|-----------------------------|-----------------------|
| CHOICE <i>system</i>           |      |       |                             |                       |
| >GSM                           |      |       |                             |                       |
| >>CHOICE <i>BSIC</i>           | MP   |       |                             |                       |
| >>>Verified BSIC               |      |       |                             |                       |
| >>>>Inter-RAT cell id          | MP   |       | Integer(0..<maxCellMeas>-1) |                       |
| >>>Non verified BSIC           |      |       |                             |                       |
| >>>>BCCH ARFCN                 | MP   |       | Integer (0..1023)           |                       |

### 13.4.27f14 TRIGGERED\_6A\_EVENT

This variable contains information about a 6a event that has been configured in the UE. There is one such variable per 6a event configured in the UE.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Event triggered                | OP   |       | Boolean            |                       |

### 13.4.27f15 TRIGGERED\_6B\_EVENT

This variable contains information about a 6b event that has been configured in the UE. There is one such variable per 6b event configured in the UE.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Event triggered                | OP   |       | Boolean            |                       |

### 13.4.27f16 TRIGGERED\_6C\_EVENT

This variable contains information about a 6c event that has been configured in the UE. There is one such variable per 6c event configured in the UE.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Event triggered                | OP   |       | Boolean            |                       |

### 13.4.27f17 TRIGGERED\_6D\_EVENT

This variable contains information about a 6d event that has been configured in the UE. There is one such variable per 6d event configured in the UE.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Event triggered                | OP   |       | Boolean            |                       |

### 13.4.27f18 TRIGGERED\_6E\_EVENT

This variable contains information about a 6e event that has been configured in the UE. There is one such variable per 6e event configured in the UE.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
| Event triggered                | OP   |       | Boolean            |                       |

### 13.4.27f19 TRIGGERED\_6F\_EVENT

This variable contains information about a 6f event that has been configured in the UE. There is one such variable per 6f event configured in the UE.

| Information Element/Group name | Need | Multi       | Type and reference          | Semantics description | Version |
|--------------------------------|------|-------------|-----------------------------|-----------------------|---------|
| CHOICE mode                    |      |             |                             |                       |         |
| >FDD                           |      |             |                             |                       |         |
| Event triggered_RL             | OP   | <maxRL<br>> | Boolean                     |                       |         |
| >1.28 Mcps TDD                 |      |             |                             |                       | REL-4   |
| >>T <sub>ADV</sub>             | MP   |             | TADV info<br>10.3.7.11<br>2 |                       | REL-4   |

### 13.4.27f20 TRIGGERED\_6G\_EVENT

This variable contains information about a 6g event that has been configured in the UE. There is one such variable per 6g event configured in the UE.

| Information Element/Group name | Need | Multi   | Type and reference | Semantics description |
|--------------------------------|------|---------|--------------------|-----------------------|
| Event triggered_RL             | OP   | <maxRL> | Boolean            |                       |

### 13.4.27g UE\_CAPABILITY\_REQUESTED

This variable stores information about the UE capabilities that have been requested by UTRAN but that have not yet been transferred to UTRAN.

| Information Element/Group name        | Need | Multi                              | Type and reference                                 | Semantics description  |
|---------------------------------------|------|------------------------------------|--|--|
| UE radio access capability            | OP   |                                    | UE radio access capability<br>10.3.3.42            | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode.                                  |
| UE radio access capability extension  | OP   |                                    | UE radio access capability extension<br>10.3.3.42a | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode.                                  |
| UE system specific capability         | OP   | 1 to <maxInterSy<br>sMessages<br>> |  |  |
| >Inter-RAT UE radio access capability | MP   |                                    | Inter-RAT UE radio access capability<br>10.3.8.7   | Includes inter-RAT classmark.<br>Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.28 UE\_CAPABILITY\_TRANSFERRED

This variable stores information about which UE capabilities that have been transferred to UTRAN.

| Information Element/Group name       | Need | Multi | Type and reference                      | Semantics description  |
|--------------------------------------|------|-------|---|--|
| UE radio access capability           | OP   |       | UE radio access capability<br>10.3.3.42 | Cleared when entering UTRA RRC connected mode when not stated otherwise in the procedure.<br>Cleared when leaving UTRA RRC connected mode. |
| UE radio access capability extension | OP   |       | UE radio access capability              | Cleared when entering UTRA RRC connected mode when not stated otherwise in the   |

| Information Element/Group name        | Need | Multi                      | Type and reference                            | Semantics description   |
|---------------------------------------|------|----------------------------|---|---|
|                                       |      |                            | extension 10.3.3.42a                          | procedure. Cleared when leaving UTRA RRC connected mode.  |
| UE system specific capability         | OP   | 1 to <maxSystemCapability> |   |   |
| >Inter-RAT UE radio access capability | MP   |                            | Inter-RAT UE radio access capability 10.3.8.7 | Includes inter-RAT classmark. Cleared when entering UTRA RRC connected mode when not stated otherwise in the procedure. Cleared when leaving UTRA RRC connected mode. |

### 13.4.28a UE\_POSITIONING\_GPS\_DATA

| Information Element/Group name                 | Need | Multi         | Type and reference  | Semantics description                  |
|--|------|---------------|---|--|
| GPS Data ciphering info                        | OP   |               | UE positioning Ciphering info 10.3.7.86                                 |  |
| GPS Deciphering Keys                           | OP   |               |   |  |
| >Current deciphering key                       | MP   |               | Bit string(56)  |  |
| >Next deciphering key                          | MP   |               | Bit string(56)  |  |
| UE positioning GPS reference time              | OP   |               | UE positioning GPS reference time 10.3.7.96                             |  |
| UE positioning GPS reference UE position       | OP   |               | Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c       | A priori knowledge of UE 3-D position. |
| UE positioning GPS DGPS corrections            | OP   |               | UE positioning GPS DGPS corrections 10.3.7.91                           |  |
| UE positioning GPS navigation model            | OP   | 1 to <maxSat> |   |  |
| >SatID   | MP   |               | Enumerated(0..63)   | Satellite ID                           |
| >GPS Ephemeris and Clock Correction parameters | MP   |               | UE positioning GPS Ephemeris and Clock Correction parameters 10.3.7.91a |  |
| UE positioning GPS ionospheric model           | OP   |               | UE positioning GPS ionospheric model 10.3.7.92                          |  |
| UE positioning GPS UTC model                   | OP   |               | UE positioning  |  |

| Information Element/Group name            | Need | Multi                       | Type and reference                                  | Semantics description   |
|---|------|-----------------------------|---|-------------------------|
|   |      |                             | GPS UTC model 10.3.7.97                             |                         |
| UE positioning GPS almanac                | OP   |                             |   |                         |
| >SatID                                    | MP   | 1 to <maxSatAlmanacStorage> |   |                         |
| >>WN <sub>a</sub>                         | MP   |                             |   | Same as IE in 10.3.7.89 |
| >>DataID                                  | MP   |                             |   | Same as IE in 10.3.7.89 |
| >>e                                       | MP   |                             |   | Same as IE in 10.3.7.89 |
| >>t <sub>oa</sub>                         | MP   |                             |   | Same as IE in 10.3.7.89 |
| >>δI                                      | MP   |                             |   | Same as IE in 10.3.7.89 |
| >>OMEGADOT                                | MP   |                             |   | Same as IE in 10.3.7.89 |
| >>SV Health                               | MP   |                             |   | Same as IE in 10.3.7.89 |
| >>A <sup>1/2</sup>                        | MP   |                             |   | Same as IE in 10.3.7.89 |
| >>OMEGA <sub>0</sub>                      | MP   |                             |   | Same as IE in 10.3.7.89 |
| >>M <sub>0</sub>                          | MP   |                             |   | Same as IE in 10.3.7.89 |
| >>ω                                       | MP   |                             |   | Same as IE in 10.3.7.89 |
| >>af <sub>0</sub>                         | MP   |                             |   | Same as IE in 10.3.7.89 |
| >>af <sub>1</sub>                         | MP   |                             |   | Same as IE in 10.3.7.89 |
| >SV Global Health                         | OP   |                             |   | Same as IE in 10.3.7.89 |
| UE positioning GPS acquisition assistance | OP   |                             | UE positioning GPS acquisition assistance 10.3.7.88 |                         |
| UE positioning GPS real-time integrity    | OP   |                             | UE positioning GPS real-time integrity 10.3.7.95    |                         |

### 13.4.28b UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED

| Information Element/Group name                            | Need | Multi              | Type and reference                                  | Semantics description |
|---|------|--------------------|---|-----------------------|
| UE positioning OTDOA reference cell info for UE-assisted  | OP   |                    | UE positioning OTDOA reference cell info 10.3.7.108 |                       |
| UE positioning OTDOA neighbour cell list for UE-assisted  | OP   | 1 to <maxCellMeas> |   |                       |
| >UE positioning OTDOA neighbour cell info for UE-assisted | MP   |                    | UE positioning OTDOA neighbour cell info 10.3.7.106 |                       |

### 13.4.28c UE\_POSITIONING\_OTDOA\_DATA\_UE\_BASED

| Information Element/Group name                         | Need | Multi              | Type and reference   | Semantics description |
|--|------|--------------------|--|-----------------------|
| OTDOA Deciphering Keys                                 | OP   |                    |  |                       |
| >Current deciphering key                               | MP   |                    | Bit string(56)   |                       |
| >Next deciphering key                                  | MP   |                    | Bit string(56)   |                       |
| OTDOA Data ciphering info                              | OP   |                    | UE positioning Ciphering info<br>10.3.7.86                           |                       |
| UE positioning OTDOA reference cell info for UE-based  | OP   |                    | UE positioning OTDOA reference cell info for UE-based<br>10.3.7.108a |                       |
| UE positioning OTDOA neighbour cell list for UE-based  | OP   | 1 to <maxCellMeas> |  |                       |
| >UE positioning OTDOA neighbour cell info for UE-based | MP   |                    | UE positioning OTDOA neighbour cell info for UE-based<br>10.3.7.106  |                       |

### 13.4.29 UNSUPPORTED\_CONFIGURATION

This variable indicates whether a received message contained a configuration that is not supported by the UE.

| Information Element/Group name | Need | Multi | Type and reference | Semantics description  |
|--------------------------------|------|-------|--------------------|--|
| Unsupported configuration      | MP   |       | Boolean            | TRUE: An unsupported configuration has been detected.<br>Set to FALSE when entering UTRA RRC connected mode.<br>Set to FALSE when leaving UTRA RRC connected mode. |

### 13.4.30 URA\_IDENTITY

This variable stores the assigned URA identity for this UE when in URA\_PCH state.

| Information Element/Group name | Need | Multi | Type and reference       | Semantics description   |
|--------------------------------|------|-------|--------------------------|---|
| URA identity                   | OP   |       | URA identity<br>10.3.2.6 | Cleared when entering UTRA RRC connected mode.<br>Cleared when leaving UTRA RRC connected mode. |

### 13.4.31 U\_RNTI

This variable stores the assigned U-RNTI for this UE.

| Information Element/Group name | Need | Multi | Type and reference  | Semantics description                         |
|--------------------------------|------|-------|---------------------|---|
| U-RNTI                         | OP   |       | U-RNTI<br>10.3.3.47 | Cleared when leaving UTRA RRC connected mode. |

### 13.4.32 VALUE\_TAG

This variable contains information about the value tag for the last received system information block of a given type, for all system information blocks using value tags. The UE shall maintain one instance of this variable for the current selected cell. The UE may store several instances of this variable, one for each cell, to be used if the UE returns to these cells.

All IEs in this variable shall be cleared when switched off. All IEs in this variable except for the IE "SIB 16 value tag list" shall be cleared at selection of a new PLMN. The IE "SIB 16 value tag list" is cleared at selection of a new PLMN which is not indicated by higher layers to be equivalent to the previously selected PLMN.

| Information Element/Group name | Need    | Multi            | Type and reference       | Semantics description   |
|--------------------------------|---------|------------------|--------------------------|---|
| MIB value tag                  | OP      |                  | MIB value tag 10.3.8.9   | Value tag for the master information block  |
| SB 1 value tag                 | OP      |                  | Cell value tag 10.3.8.4  | Value tag for the scheduling block type 1   |
| SB 2 value tag                 | OP      |                  | Cell value tag 10.3.8.4  | Value tag for the scheduling block type 2   |
| SIB 1 value tag                | CV-GSM  |                  | PLMN value tag 10.3.8.10 | Value tag for the system information block type 1                                   |
| SIB 2 value tag                | OP      |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 2                                   |
| SIB 3 value tag                | OP      |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 3                                   |
| SIB 4 value tag                | OP      |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 4                                   |
| SIB 5 value tag                | OP      |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 5                                   |
| SIB 6 value tag                | OP      |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 6                                   |
| CHOICE mode                    | MP      |                  |                          |   |
| >FDD                           |         |                  |                          |   |
| >>SIB 8 value tag              | OP      |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 8                                   |
| >TDD                           |         |                  |                          | (no data)   |
| SIB 11 value tag               | OP      |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 11                                  |
| SIB 12 value tag               | OP      |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 12                                  |
| SIB 13 value tag               | CV-ANSI |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 13                                  |
| SIB 13.1 value tag             | CV-ANSI |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 13.1                                |
| SIB 13.2 value tag             | CV-ANSI |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 13.2                                |
| SIB 13.3 value tag             | CV-ANSI |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 13.3                                |
| SIB 13.4 value tag             | CV-ANSI |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 13.4                                |
| SIB 15 value tag               | OP      |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 15                                  |
| SIB 15.1 value tag             | OP      |                  | Cell value tag 10.3.8.4  | Value tag for the system information block type 15.1                                |
| SIB 15.2 value tag list        | OP      | 1 to<br><maxSat> |                          | List of value tags for all stored occurrences of system information block type 15.2 |
| >SIB 15.2 value tag            | MP      |                  | Cell value tag 10.3.8.4  |   |
| >SIB occurrence identity and   | MP      |                  | SIB                      |   |

| Information Element/Group name                   | Need | Multi                   | Type and reference  | Semantics description   |
|--|------|-------------------------|---|---|
| value tag  |      |                         | occurrence identity and value tag 10.3.8.20b              |   |
| SIB 15.3 value tag list                          | OP   | 1 to <maxSat>           |   | List of value tags for all stored occurrences of system information block type 15.3   |
| >SIB 15.3 value tag                              | MP   |                         | PLMN value tag 10.3.8.10                                  | Value tag for the system information block type 15.3                                  |
| >SIB occurrence identity and value tag           | MP   |                         | SIB occurrence identity and value tag 10.3.8.20b          |   |
| SIB 15.4 value tag                               | OP   |                         | Cell value tag 10.3.8.4                                   | Value tag for the system information block type 15.4                                  |
| SIB 15.5 value tag                               | OP   |                         | Cell value tag 10.3.8.4                                   | Value tag for the system information block type 15.5                                  |
| SIB 16 value tag list                            | OP   | 1 to <maxPred efConfig> |   | List of value tags for all stored occurrences of the system information block type 16 |
| >Predefined configuration identity and value tag | MP   |                         | Predefined configuration identity and value tag 10.3.8.11 |   |
| SIB 18 value tag                                 | OP   |                         | Cell value tag 10.3.8.4                                   | Value tag for the system information block type 18                                    |

| Condition   | Explanation  |
|-------------|--|
| <i>GSM</i>  | This information is optional when the PLMN Type in the variable SELECTED_PLMN is "GSM-MAP" and never stored otherwise. |
| <i>ANSI</i> | This information is optional when the PLMN Type in the variable SELECTED_PLMN is "ANSI-41" and never stored otherwise. |

## 13.5 UE RRC Procedure Performance

This subclause defines the performance requirements related to RRC procedures in the UE. Where the total delay is impacted by processing of variable length on the physical layer (e.g. physical layer synchronisation), references to appropriate specifications are given.

### 13.5.1 Definitions

The following definitions of N1 and N2 are valid only for this UE RRC Procedure Performance specification.

N1 = upper limit on the time required to execute modifications in UE after the reception of a UTRAN -> UE message has been completed. Where applicable (e.g. the physical layer transmission is impacted), the changes shall be adopted in the beginning of the next TTI starting after N1. N1 is specified as a multiple of 10 ms.

N2 = number of 10 ms radio frames from end of reception of UTRAN -> UE message on UE physical layer before the transmission of the UE -> UTRAN response message must be ready to start on a transport channel with no access delay other than the TTI alignment (e.g. DCH, therefore excluding delays caused by RACH procedure etc). The UE response message transmission from the physical layer shall begin at the latest (N2\*10)+TTI ms after completion of the reception of the last TTI carrying the triggering UTRAN -> UE message. When Target State is CELL\_DCH, the UE response message transmission from the physical layer may be additionally delayed by the value of IE "SRB delay".

N1 and N2 are independent (e.g. N2-N1 is not restricted to being less than or equal to 10ms).



## 13.5.2 RRC procedure performance values

NOTE: Times indicated in the table do not include cell reselection.

| Procedure title:                            | UTRAN -> UE        | UE -> UTRAN | N1 | N2 | Notes  |
|---|--------------------|-------------|----|----|--|
| <b>RRC Connection Management Procedures</b> |                    |             |    |    |  |
| Broadcast of system information             | SYSTEM INFORMATION |             |    |    | N2 is not applicable for any system information messages, because there is no response message from the UE.  |
| Master Information Block                    | SYSTEM INFORMATION |             | 5  | NA | No system information data shall be lost due to processing of a MIB received with no detectable errors. This means that the UE shall buffer all system information data received after the MIB until the data can be processed according to the information in the MIB, unless the MIB was received erroneously. |
| System Information Block type 1             | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 2             | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 3             | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 4             | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 5             | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 6             | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 7             | SYSTEM INFORMATION |             | 5  | NA |  |
| System Information Block type 8             | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 9             | SYSTEM INFORMATION |             | 5  | NA |  |
| System Information Block type 10            | SYSTEM INFORMATION |             | 5  | NA |  |
| System Information Block type 11            | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 12            | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 13            | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 14            | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 15            | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 16            | SYSTEM INFORMATION |             | 10 | NA |  |
| System Information Block type 18            | SYSTEM INFORMATION |             | 10 | NA |  |

| Procedure title:   | UTRAN -> UE                   | UE -> UTRAN                           | N1 | N2    | Notes   |
|--|-------------------------------|---------------------------------------|----|-------|---|
| RRC connection establishment<br><i>Target state CELL_DCH</i>                 | RRC CONNECTION SETUP          | RRC CONNECTION SETUP COMPLETE         | 10 | NA    | N1 measures time to the start of tx / rx on DPCH. N2 cannot be specified, because RRC CONNECTION SETUP COMPLETE message is transmitted only after physical layer synchronisation, which also depends on the Node B.<br><br>The performance of the physical layer synchronisation procedure is specified in [19] and [20]  |
| RRC connection establishment<br><i>Target state CELL_FACH</i>                | RRC CONNECTION SETUP          | RRC CONNECTION SETUP COMPLETE         | 10 | 11    | N1 and N2 applicable as defined (N2 can be tested from the initiation of the power ramp on RACH).   |
| RRC connection release<br><i>From CELL_DCH state</i>                         | RRC CONNECTION RELEASE        | RRC CONNECTION RELEASE COMPLETE       | 5  | 8     | N1 sets the requirement for the time from the completion of the last repetition of the RRC CONNECTION RELEASE COMPLETE message to the release of the physical channel.<br><br>N2 sets the requirement from the end of successful reception of the RRC CONNECTION RELEASE message to the start of the first transmission of the RRC CONNECTION RELEASE COMPLETE message. |
| RRC connection release<br><i>From CELL_FACH state</i>                        | RRC CONNECTION RELEASE        | RRC CONNECTION RELEASE COMPLETE       | NA | 11    | N1 represents UE internal configuration that cannot be externally observed.   |
| Paging   | PAGING TYPE 1                 | CELL UPDATE                           | 10 | 11+ T | T is the repetition period of SIB7 (applicable for FDD) and SIB14 (applicable for TDD)  |
| UE capability enquiry  | UE CAPABILITY ENQUIRY         | UE CAPABILITY INFORMATION             | NA | 8     | N1 is not applicable because the UE configuration does not change.  |
| Security mode control  | SECURITY MODE COMMAND         | SECURITY MODE COMPLETE                | 5  | 8     |   |
| Signalling connection release procedure                                      | SIGNALLING CONNECTION RELEASE |                                       | 5  | NA    | N2 is not applicable because there is no response message.  |
| Counter check  | COUNTER CHECK                 | COUNTER CHECK RESPONSE                | NA | 8     | N1 is not applicable because the UE configuration does not change.  |
| <b>Radio Bearer control procedures</b>                                       |                               |                                       |    |       |   |
| Radio bearer establishment<br><i>Target state CELL_DCH</i>                   | RADIO BEARER SETUP            | RADIO BEARER SETUP COMPLETE / FAILURE | 10 | NA    | N2 cannot be specified, because the RADIO BEARER SETUP COMPLETE / FAILURE message is transmitted only after physical layer synchronisation, which depends also on Node B.   |
| Radio bearer establishment<br><i>From state CELL_FACH to state CELL_FACH</i> | RADIO BEARER SETUP            | RADIO BEARER SETUP COMPLETE / FAILURE | 10 | 11    |   |

| Procedure title:  | UTRAN -> UE                          | UE -> UTRAN  | N1 | N2 | Notes  |
|---|--------------------------------------|--|----|----|--|
| Radio bearer establishment<br><i>From CELL_DCH to CELL_FACH</i>                     | RADIO BEARER SETUP                   | RADIO BEARER SETUP COMPLETE                          | NA | NA | N1 and N2 cannot be specified, because UE need to read SIBs on BCH before sending RADIO BEARER SETUP COMPLETE  |
| Radio bearer reconfiguration<br><i>Target state CELL_DCH</i>                        | RADIO BEARER RECONFIGURATION         | RADIO BEARER RECONFIGURATION COMPLETE / FAILURE      | 10 | NA | N2 cannot be specified, because the RADIO BEARER RECONFIGURATION COMPLETE / FAILURE message is transmitted only after physical layer synchronisation, which depends also on Node B.      |
| Radio bearer reconfiguration<br><i>From state CELL_FACH to state CELL_FACH</i>      | RADIO BEARER RECONFIGURATION         | RADIO BEARER RECONFIGURATION COMPLETE / FAILURE      | 10 | 11 |  |
| Radio bearer reconfiguration<br><i>From state CELL_DCH to state CELL_FACH</i>       | RADIO BEARER RECONFIGURATION         | RADIO BEARER RECONFIGURATION COMPLETE                | NA | NA | N1 and N2 cannot be specified, because UE need to read SIBs on BCH before sending RADIO BEARER RECONFIGURATION COMPLETE  |
| Radio bearer release<br><i>Target state CELL_DCH</i>                                | RADIO BEARER RELEASE                 | RADIO BEARER RELEASE COMPLETE / FAILURE              | 10 | 11 |  |
| Radio bearer release<br><i>From state CELL_FACH to state CELL_FACH</i>              | RADIO BEARER RELEASE                 | RADIO BEARER RELEASE COMPLETE / FAILURE              | 10 | 11 |  |
| Radio bearer release<br><i>From state CELL_DCH to state CELL_FACH</i>               | RADIO BEARER RELEASE                 | RADIO BEARER RELEASE COMPLETE                        | NA | NA | N1 and N2 cannot be specified, because UE need to read SIBs on BCH before sending RADIO BEARER RECONFIGURATION COMPLETE  |
| Transport channel reconfiguration<br><i>Target state CELL_DCH</i>                   | TRANSPORT CHANNEL RECONFIGURATION    | TRANSPORT CHANNEL RECONFIGURATION COMPLETE / FAILURE | 10 | NA | N2 cannot be specified, because the TRANSPORT CHANNEL RECONFIGURATION COMPLETE / FAILURE message is transmitted only after physical layer synchronisation, which depends also on Node B. |
| Transport channel reconfiguration<br><i>From state CELL_FACH to state CELL_FACH</i> | TRANSPORT CHANNEL RECONFIGURATION    | TRANSPORT CHANNEL RECONFIGURATION COMPLETE / FAILURE | 10 | 11 |  |
| Transport channel reconfiguration<br><i>From state CELL_DCH to state CELL_FACH</i>  | TRANSPORT CHANNEL RECONFIGURATION    | TRANSPORT CHANNEL RECONFIGURATION COMPLETE           | NA | NA | N1 and N2 cannot be specified, because UE need to read SIBs on BCH before sending TRANSPORT CHANNEL RECONFIGURATION COMPLETE   |
| Transport format combination control<br><i>AM or UM RLC mode</i>                    | TRANSPORT FORMAT COMBINATION CONTROL | TRANSPORT FORMAT COMBINATION CONTROL FAILURE         | 5  | 8  |  |
| Transport format combination control<br><i>Transparent mode</i>                     | TRANSPORT FORMAT COMBINATION CONTROL |  | 5  | NA | N2 is not applicable because no response message is defined.   |

| Procedure title:   | UTRAN -> UE                        | UE -> UTRAN   | N1 | N2 | Notes   |
|--|------------------------------------|---|----|----|---|
| Physical channel reconfiguration<br><i>Target state CELL_DCH</i>                   | PHYSICAL CHANNEL RECONFIGURATION   | PHYSICAL CHANNEL RECONFIGURATION COMPLETE / FAILURE                         | 8  | NA | N2 cannot be specified, because the PHYSICAL CHANNEL RECONFIGURATION COMPLETE / FAILURE message is transmitted only after physical layer synchronisation, which depends also on Node B. |
| Physical channel reconfiguration<br><i>From state CELL_FACH to state CELL_FACH</i> | PHYSICAL CHANNEL RECONFIGURATION   | PHYSICAL CHANNEL RECONFIGURATION COMPLETE / FAILURE                         | 8  | 9  |   |
| Physical channel reconfiguration<br><i>From state CELL_DCH to state CELL_FACH</i>  | PHYSICAL CHANNEL RECONFIGURATION   | PHYSICAL CHANNEL RECONFIGURATION COMPLETE                                   | NA | NA | N1 and N2 cannot be specified, because UE need to read SIBs on BCH before sending PHYSICAL CHANNEL RECONFIGURATION COMPLETE   |
| Physical Shared Channel Allocation [TDD only]                                      | PHYSICAL SHARED CHANNEL ALLOCATION |   | 5  | NA | N2 is not applicable because no response message is defined.  |
| Uplink Physical Channel Control [TDD only]   | UPLINK PHYSICAL CHANNEL CONTROL    |   | 8  | NA | Requirements for outer loop and timing advance adjustments are defined in [22] and [20]. N2 is not applicable because there is no response message.                                     |
| <b>RRC connection mobility procedures</b>  |                                    |   |    |    |   |
| Cell update  | CELL UPDATE CONFIRM                | UTRAN MOBILITY INFORMATION CONFIRM  | 5  | 8  |   |
|  |                                    | PHYSICAL CHANNEL RECONFIGURATION COMPLETE<br><i>Target state CELL_FACH</i>  | 8  | 9  |   |
|  |                                    | PHYSICAL CHANNEL RECONFIGURATION COMPLETE<br><i>Target state CELL_DCH</i>   | 8  | NA | N2 cannot be specified, because the PHYSICAL CHANNEL RECONFIGURATION COMPLETE / FAILURE message is transmitted only after physical layer synchronisation, which depends also on Node B. |
|  |                                    | TRANSPORT CHANNEL RECONFIGURATION COMPLETE<br><i>Target state CELL_FACH</i> | 10 | 11 |   |

| Procedure title:              | UTRAN -> UE                              | UE -> UTRAN   | N1 | N2 | Notes   |
|-------------------------------|--|---|----|----|---|
|                               |  | TRANSPORT CHANNEL RECONFIGURATION COMPLETE<br><i>Target state</i><br>CELL_DCH | 10 | NA | N2 cannot be specified, because the PHYSICAL CHANNEL RECONFIGURATION COMPLETE / FAILURE message is transmitted only after physical layer synchronisation, which depends also on Node B.   |
|                               |  | RADIO BEARER RECONFIGURATION COMPLETE<br><i>Target state</i><br>CELL_FACH     | 10 | 11 |   |
|                               |  | RADIO BEARER RECONFIGURATION COMPLETE<br><i>Target state</i><br>CELL_DCH      | 10 | NA | N2 cannot be specified, because the PHYSICAL CHANNEL RECONFIGURATION COMPLETE / FAILURE message is transmitted only after physical layer synchronisation, which depends also on Node B.   |
|                               |  | RADIO BEARER RELEASE COMPLETE<br><i>Target state</i><br>CELL_DCH              | 10 | 11 |   |
| URA update                    | URA UPDATE CONFIRM                       | UTRAN MOBILITY INFORMATION CONFIRM  | 5  | 8  |   |
| UTRAN mobility information    | UTRAN MOBILITY INFORMATION               | UTRAN MOBILITY INFORMATION CONFIRM / FAILURE                                  | 5  | 8  |   |
| Active set update             | ACTIVE SET UPDATE                        | ACTIVE SET UPDATE COMPLETE / FAILURE  | NA | 8  | The requirements on UE combining and power control performance for both UL and DL are specified by RAN WG4 in [21] and [19].<br><br>Also in case of branch addition the COMPLETE / FAILURE message is transmitted without waiting for the new branch to stabilise, therefore N2 is specified. |
| Inter-RAT handover to UTRAN   | HANDOVER TO UTRAN COMMAND (other system) | HANDOVER TO UTRAN COMPLETE  | NA | NA | The performance of this procedure is specified in 05.10.  |
| Inter-RAT handover from UTRAN | HANDOVER FROM UTRAN COMMAND              | HANDOVER FROM UTRAN FAILURE   | NA | NA | The performance of this procedure is specified in [19] and [20].  |
| <b>Measurement procedures</b> |  |   |    |    |   |
| Measurement control           | MEASUREMENT CONTROL                      | MEASUREMENT CONTROL FAILURE   | 5  | 8  | Response to measurement inquiry depends on physical layer measurement. Response time is defined in [19] and [20]. N1 and N2 only define the processing of the message.  |

## 13.6 RB information parameters for signalling radio bearer RB 0

The following Radio Bearer parameter values apply for signalling radio bearer RB0:

| Information element/ Group name | Value   | Comment   |
|---------------------------------|---------|---|
| RLC info                        |         |   |
| >Uplink RLC mode                | TM      |   |
| >>Transmission RLC discard      | omitted | Neither discard is used, nor will there be a reset                                      |
| >>Segmentation indication       | FALSE   |   |
| >Downlink RLC mode              | UM      |   |
| RB mapping info                 |         | Single multiplexing option  |
| >Uplink mapping info            |         |   |
| >>UL transport channel          | RACH    | RACH corresponding with selected PRACH  |
| >>RLC size list                 | N/A     | The first TF defined in the Transport Format Set for the transport channel that is used |
| >>MAC logical channel priority  | 1       |   |
| >Downlink mapping info          |         |   |
| >>DL transport channel          | FACH    |   |

Procedure descriptions in subclause 8.6.4.8 shall not be applied for the IE "RB mapping info" that is used for signalling radio bearer RB0.

### 13.6a RB information parameters for SHCCH

The following Radio Bearer parameter values apply for SHCCH:

| Information element/ Group name | Value   | Comment   |
|---------------------------------|---------|---|
| RLC info                        |         |   |
| >Uplink RLC mode                | TM      |   |
| >>Transmission RLC discard      | omitted | Neither discard is used, nor will there be a reset                                      |
| >>Segmentation indication       | FALSE   |   |
| >Downlink RLC mode              | UM      |   |
| RB mapping info                 |         |   |
| >Uplink mapping info            |         | Option 1  |
| >>UL transport channel          | RACH    | RACH corresponding with selected PRACH  |
| >>RLC size list                 | N/A     | The first TF defined in the Transport Format Set for the transport channel that is used |
| >>MAC logical channel priority  | 1       |   |
| >Downlink mapping info          |         |   |
| >>DL transport channel          | FACH    |   |
| >Uplink mapping info            |         | Option 2  |
| >>UL transport channel          | USCH    |   |
| >>UL Transport Channel Identity | 1       |   |
| >>MAC logical channel priority  | 1       |   |
| >>RLC size list                 | N/A     | The first TF defined in the Transport Format Set for the transport channel that is used |
| >Downlink mapping info          |         |   |
| >>DL transport channel          | DSCH    |   |
| >>DL Transport Channel Identity | 1       |   |

### 13.6b RB information parameters for BCCH mapped to FACH

The following Radio Bearer parameter values apply for BCCH mapped to FACH:

| Information element/ Group name | Value | Comment |
|---------------------------------|-------|---------|
| Downlink RLC mode               | TM    |         |
| Segmentation indication         | FALSE |         |

## 13.6c RB information parameters for PCCH mapped to PCH

The following Radio Bearer parameter values apply for PCCH mapped to PCH:

| Information element/ Group name | Value | Comment |
|---------------------------------|-------|---------|
| Downlink RLC mode               | TM    |         |
| Segmentation indication         | FALSE |         |

## 13.6d Parameters for BCCH mapped to BCH

The transport format parameters for BCH are specified in [34].

## 13.7 Parameter values for default radio configurations

The UE shall support the use of the default radio configurations that are specified in the following.

NOTE 1: These configurations are based on [41] and cover a number of RAB and signalling connection configurations.

In the table that is used to specify the parameter values for these default configurations, the following principles are used:

- Optional IEs that are not used are omitted;
- In case no parameter value is specified in a column, this means the value given the previous (left side) column applies.

NOTE 2: If needed, signalling radio bearer RB4 is established after the completion of handover.

NOTE 3: For each default configuration, the value of FDD, 3.84 Mcps TDD and 1.28 Mcps TDD parameters are specified. All parameters apply to FDD, 3.84 Mcps TDD and 1.28 Mcps TDD modes, unless explicitly stated otherwise. It should be noted that in this respect default configurations differ from pre-defined configurations, which only include parameter values for one mode.

NOTE 4: The transport format sizes, indicated in the following table, concern the RLC PDU size, since all configurations concern dedicated channels. The transport block sizes indicated in TS 34.108 are different since these include the size of the MAC header.

NOTE 5: Default configuration 12 is incorrect and it should not be used in this version of the specification.

| Configuration                  | 3.4 kbps signalling                | 13.6 kbps signalling               | 7.95 kbps speech + 3.4 kbps signalling              | 12.2 kbps speech + 3.4 kbps signalling              |
|--------------------------------|------------------------------------|------------------------------------|---|---|
| Ref 34.108                     | 2                                  | 3                                  | 6   | 4   |
| Default configuration identity | 0                                  | 1                                  | 2   | 3   |
| <b>RB INFORMATION</b>          |                                    |                                    |   |   |
| rb-Identity                    | RB1: 1, RB2: 2, RB3: 3             | RB1: 1, RB2: 2, RB3: 3             | RB1: 1, RB2: 2, RB3: 3, RB5: 5, RB6: 6              | RB1: 1, RB2: 2, RB3: 3, RB5: 5, RB6: 6, RB7: 7      |
| rlc-InfoChoice                 | Rlc-info                           | Rlc-info                           | Rlc-info  | Rlc-info  |
| >ul-RLC-Mode                   | RB1: UM<br>RB2- RB3: AM            | RB1: UM<br>RB2- RB3: AM            | RB1: UM<br>RB2- RB3: AM<br>RB5-RB6: TM              | RB1: UM<br>RB2- RB3: AM<br>RB5-RB7: TM              |
| >>transmissionRLC-DiscardMode  | RB1: N/A<br>RB2- RB3:<br>NoDiscard | RB1: N/A<br>RB2- RB3:<br>NoDiscard | RB1: N/A<br>RB2- RB3:<br>NoDiscard<br>RB5- RB6: N/A | RB1: N/A<br>RB2- RB3:<br>NoDiscard<br>RB5- RB7: N/A |
| >>>maxDat                      | RB1: N/A<br>RB2- RB3: 15           | RB1: N/A<br>RB2- RB3: 15           | RB1: N/A<br>RB2- RB3: 15<br>RB5- RB6: N/A           | RB1: N/A<br>RB2- RB3: 15<br>RB5- RB7: N/A           |

| Configuration                 | 3.4 kbps signalling   | 13.6 kbps signalling  | 7.95 kbps speech + 3.4 kbps signalling   | 12.2 kbps speech + 3.4 kbps signalling   |
|-------------------------------|---|---|--|--|
| >>transmissionWindowSize      | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5- RB6: N/A | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5- RB7: N/A |
| >>timerRST                    | RB1: N/A<br>RB2- RB3: 300   | RB1: N/A<br>RB2- RB3: 300   | RB1: N/A<br>RB2- RB3: 300<br>RB5- RB6: N/A   | RB1: N/A<br>RB2- RB3: 300<br>RB5- RB7: N/A   |
| >>max-RST                     | RB1: N/A<br>RB2- RB3: 1   | RB1: N/A<br>RB2- RB3: 1   | RB1: N/A<br>RB2- RB3: 1<br>RB5- RB6: N/A   | RB1: N/A<br>RB2- RB3: 1<br>RB5- RB7: N/A   |
| >>pollingInfo                 | RB1: N/A<br>RB2- RB3: as below  | RB1: N/A<br>RB2- RB3: as below  | RB1: N/A<br>RB2- RB3: as below<br>RB5- RB6: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5- RB7: N/A  |
| >>>lastTransmissionPDU-Poll   | RB2- RB3: FALSE   | RB2- RB3: FALSE   | RB2- RB3: FALSE  | RB2- RB3: FALSE  |
| >>>lastRetransmissionPDU-Poll | RB2- RB3: FALSE   | RB2- RB3: FALSE   | RB2- RB3: FALSE  | RB2- RB3: FALSE  |
| >>>timerPollPeriodic          | RB2- RB3: 300   | RB2- RB3: 100   | RB2- RB3: 300  | RB2- RB3: 300  |
| >>segmentationIndication      | RB1- RB3: N/A   | RB1- RB3: N/A   | RB1- RB3: N/A<br>RB5- RB6: FALSE   | RB1- RB3: N/A<br>RB5- RB7: FALSE   |
| >dl-RLC-Mode                  | RB1: UM<br>RB2- RB3: AM   | RB1: UM<br>RB2- RB3: AM   | RB1: UM<br>RB2- RB3: AM<br>RB5- RB6: TM  | RB1: UM<br>RB2- RB3: AM<br>RB5- RB7: TM  |
| >>inSequenceDelivery          | RB1: N/A<br>RB2- RB3: TRUE  | RB1: N/A<br>RB2- RB3: TRUE  | RB1: N/A<br>RB2- RB3: TRUE<br>RB5- RB6: N/A  | RB1: N/A<br>RB2- RB3: TRUE<br>RB5- RB7: N/A  |
| >>receivingWindowSize         | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5- RB6: N/A | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5- RB7: N/A |
| >>>dl-RLC-StatusInfo          | RB1: N/A<br>RB2- RB3: as below  | RB1: N/A<br>RB2- RB3: as below  | RB1: N/A<br>RB2- RB3: as below<br>RB5- RB6: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5- RB7: N/A  |
| >>>timerStatusProhibit        | RB2- RB3: 100   | RB2- RB3: 100   | RB2- RB3: 100  | RB2- RB3: 100  |
| >>>missingPDU-Indicator       | RB2- RB3: FALSE   | RB2- RB3: FALSE   | RB2- RB3: FALSE  | RB2- RB3: FALSE  |
| >>>timerStatusPeriodic        | RB2- RB3: 300   | RB2- RB3: 100   | RB2- RB3: 300  | RB2- RB3: 300  |
| >>segmentationIndication      | RB1- RB3: N/A   | RB1- RB3: N/A   | RB1- RB3: N/A<br>RB5- RB6: FALSE   | RB1- RB3: N/A<br>RB5- RB7: FALSE   |
| rb-MappingInfo                |   |   |  |  |
| >UL-LogicalChannelMappings    | OneLogicalChannel   | OneLogicalChannel   | OneLogicalChannel  | OneLogicalChannel  |
| >>ul-TransportChannelType     | Dch   | Dch   | Dch  | Dch  |
| >>>transportChannelIdentity   | RB1- RB3: 1   | RB1- RB3: 1   | RB1- RB3: 3<br>RB5: 1, RB6: 2  | RB1- RB3: 4<br>RB5: 1, RB6: 2,<br>RB7: 3   |
| >>logicalChannelIdentity      | RB1: 1, RB2: 2,<br>RB3: 3   | RB1: 1, RB2: 2,<br>RB3: 3   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB6: N/A   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB7: N/A   |
| >>rlc-SizeList                | RB1- RB3:<br>configured   | RB1- RB3:<br>configured   | RB1- RB3:<br>configured<br>RB5- RB6: N/A   | RB1- RB3:<br>configured<br>RB5- RB7: N/A   |
| >>mac-LogicalChannelPriority  | RB1: 1, RB2: 2,<br>RB3: 3   | RB1: 1, RB2: 2,<br>RB3: 3   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB6: 5   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB7: 5   |
| >DL-logicalChannelMappingList |   |   |  |  |



| Configuration                  | 3.4 kbps signalling                           | 13.6 kbps signalling                          | 7.95 kbps speech + 3.4 kbps signalling   | 12.2 kbps speech + 3.4 kbps signalling   |
|--------------------------------|---|---|--|--|
| >>Mapping option 1             | One mapping option                            | One mapping option                            | One mapping option   | One mapping option   |
| >>>dl-TransportChannelType     | Dch   | Dch   | Dch  | Dch  |
| >>>>transportChannelIdentity   | RB1- RB3: 1                                   | RB1- RB3: 1                                   | RB1- RB3: 3<br>RB5: 1, RB6: 2  | RB1- RB3: 4<br>RB5: 1, RB6: 2,<br>RB7: 3   |
| >>>logicalChannelIdentity      | RB1: 1, RB2: 2,<br>RB3: 3                     | RB1: 1, RB2: 2,<br>RB3: 3                     | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB6: N/A   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB7: N/A   |
| TrCH INFORMATION PER TrCH      |   |   |  |  |
| UL-AddReconfTransChInfoList    |   |   |  |  |
| >Uplink transport channel type | dch   | dch   | dch  | dch  |
| >transportChannelIdentity      | TrCH1: 1                                      | TrCH1: 1                                      | TrCH1: 1, TrCH2: 2,<br>TrCH3: 3  | TrCH1: 1, TrCH2: 2,<br>TrCH3: 3, TrCH4: 4  |
| >transportFormatSet            | DedicatedTransChTFS                           | DedicatedTransChTFS                           | DedicatedTransChTFS  | DedicatedTransChTFS  |
| >>dynamicTF-information        |   |   |  |  |
| >>>tf0/ tf0,1                  | TrCH1: (0x144,<br>1x144)                      | TrCH1: (0x144,<br>1x144)                      | TrCH1: (0x75)<br>TrCH2: (0x 84<br>1x84)<br>TrCH3: (0x144,<br>1x144)                        | TrCH1: (0x81)<br>TrCH2: (0x 103,<br>1x103)<br>TrCH3: (0x 60,<br>1x60)<br>TrCH4: (0x144,<br>1x144)                |
| >>>>rlcSize                    | BitMode                                       | BitMode                                       | BitMode  | BitMode  |
| >>>>>sizeType                  | TrCH1: type 2,<br>part1= 2, part2= 0<br>(144) | TrCH1: type 2,<br>part1= 2, part2= 0<br>(144) | TrCH1: type 1: 75<br>TrCH2: type 1: 84<br>TrCH3: 2: type 2,<br>part1= 2, part2= 0<br>(144) | TrCH1: type 1: 81<br>TrCH2: type 1: 103<br>TrCH3: type 1: 60<br>TrCH4: 2: type 2,<br>part1= 2, part2= 0<br>(144) |
| >>>>numberOfTbSizeList         | TrCH1: Zero, one                              | TrCH1: Zero, one                              | TrCH1: Zero<br>TrCH2-3: Zero, one  | TrCH1: Zero<br>TrCH2-4: Zero, one  |
| >>>>logicalChannelList         | All   | All   | All  | All  |
| >>>tf 1                        | N/A   | N/A   | TrCH1: (1x39)<br>TrCH2- TrCH4: N/A   | TrCH1: (1x39)<br>TrCH2- TrCH4: N/A   |
| >>>>numberOfTransportBlocks    |   |   | TrCH1: One   | TrCH1: One   |
| >>>>rlc-Size                   |   |   | TrCH1: BitMode   | TrCH1: BitMode   |
| >>>>>sizeType                  |   |   | TrCH1: 1: 39   | TrCH1: 1: 39   |
| >>>>numberOfTbSizeList         |   |   | TrCH1: One   | TrCH1: One   |
| >>>>logicalChannelList         |   |   | TrCH1: all   | TrCH1: all   |
| >>>tf 2                        | N/A   | N/A   | TrCH1: (1x75)<br>TrCH2- TrCH3: N/A   | TrCH1: (1x81)<br>TrCH2- TrCH4: N/A   |
| >>>>numberOfTransportBlocks    |   |   | TrCH1: One   | TrCH1: One   |
| >>>>rlc-Size                   |   |   | TrCH1: BitMode   | TrCH1: BitMode   |
| >>>>>sizeType                  |   |   | TrCH1: type 1: 75  | TrCH1: type 1: 81  |
| >>>>numberOfTbSizeList         |   |   | TrCH1: One   | TrCH1: One   |
| >>>>logicalChannelList         |   |   | TrCH1: all   | TrCH1: all   |
| >>semistaticTF-Information     |   |   |  |  |
| >>>tfti                        | TrCH1: 40                                     | TrCH1: 10                                     | TrCH1- TrCH2: 20<br>TrCH3: 40  | TrCH1- TrCH3: 20<br>TrCH4: 40  |
| >>>channelCodingType           | Convolutional                                 | Convolutional                                 | Convolutional  | Convolutional  |
| >>>>codingRate                 | TrCH1: Third                                  | TrCH1: Third                                  | TrCH1- TrCH2:<br>Third<br>TrCH3: Third   | TrCH1- TrCH2:<br>Third<br>TrCH3: Half<br>TrCH4: Third  |

| Configuration                                      | 3.4 kbps signalling       | 13.6 kbps signalling      | 7.95 kbps speech + 3.4 kbps signalling                       | 12.2 kbps speech + 3.4 kbps signalling                       |
|--|---------------------------|---------------------------|--|--|
| >>>rateMatchingAttribute                           | TrCH1: 160                | TrCH1: 160                | TrCH1: 200<br>TrCH2: 190<br>TrCH3: 160                       | TrCH1: 200<br>TrCH2: 190<br>TrCH3: 235<br>TrCH4: 160         |
| >>>crc-Size  | TrCH1: 16                 | TrCH1: 16                 | TrCH1: 12<br>TrCH2: 0<br>TrCH3: 16                           | TrCH1: 12<br>TrCH2- TrCH3: 0<br>TrCH4: 16                    |
| DL-AddReconfTransChInfoList                        |                           |                           |  |  |
| >Downlink transport channel type                   | dch                       | dch                       | dch  | dch  |
| >dl-TransportChannelIdentity (should be as for UL) | TrCH1: 1                  | TrCH1: 1                  | TrCH1: 1, TrCH2: 2, TrCH3: 3                                 | TrCH1: 1, TrCH2: 2, TrCH3: 3, TrCH4: 4                       |
| >dfs-SignallingMode                                | SameAsUL                  | SameAsUL                  | Explicit<br><Only tf0 on TrCH1 is different and shown below> | Explicit<br><Only tf0 on TrCH1 is different and shown below> |
| >>transportFormatSet                               |                           |                           | DedicatedTransChTFS  | DedicatedTransChTFS  |
| >>>dynamicTF-information                           |                           |                           |  |  |
| >>>>tf0/ tf0,1                                     |                           |                           | TrCH1: (1x0)   | TrCH1: (1x0)   |
| >>>>rlcSize  |                           |                           | BitMode  | bitMode  |
| >>>>>sizeType                                      |                           |                           | TrCH1: type 1: 0   | TrCH1: type 1: 0   |
| >>>>numberOfTbSizeList                             |                           |                           | TrCH1: One   | TrCH1: One   |
| >>>>logicalChannelList                             |                           |                           | All  | All  |
| >>ULTrCH-Id  | TrCH1: 1                  | TrCH1: 1                  | TrCH1: 1, TrCH2: 2, TrCH3: 3                                 | TrCH1: 1, TrCH2: 2, TrCH3: 3, TrCH4: 4                       |
| >dch-QualityTarget                                 |                           |                           |  |  |
| >>bler-QualityValue                                | TrCH1: $5 \times 10^{-2}$ | TrCH1: $5 \times 10^{-2}$ | TrCH1: $7 \times 10^{-3}$<br>TrCH2- TrCH3: Absent            | TrCH1: $7 \times 10^{-3}$<br>TrCH2- TrCH4: Absent            |
| TrCH INFORMATION, COMMON                           |                           |                           |  |  |
| ul-CommonTransChInfo                               |                           |                           |  |  |
| >tfcs-ID (TDD only)                                | 1                         | 1                         | 1  | 1  |
| >sharedChannelIndicator (TDD only)                 | FALSE                     | FALSE                     | FALSE  | FALSE  |
| >tfcs-Subset                                       | Absent, not required      | Absent, not required      | Absent, not required   | Absent, not required   |
| >ul-TFCS   | Normal TFCS signalling    | Normal TFCS signalling    | Normal TFCS signalling                                       | Normal TFCS signalling                                       |
| >>explicitTFCS-ConfigurationMode                   | Complete                  | Complete                  | Complete   | Complete   |
| >>>ctfcSize  | Ctfc2Bit                  | Ctfc2Bit                  | Ctfc4Bit   | Ctfc6Bit   |
| >>>>TFCS representation                            | Addition                  | Addition                  | Addition   | Addition   |
| >>>>>TFCS list                                     |                           |                           |  |  |
| >>>>>>TFCS 1                                       | (TF0)                     | (TF0)                     | (TF0, TF0, TF0)  | (TF0, TF0, TF0, TF0)   |
| >>>>>>>ctfc  | 0                         | 0                         | 0  | 0  |
| >>>>>>>>gainFactorInformation                      | Computed                  | Computed                  | Computed   | Computed   |
| >>>>>>>>>referenceTFCSId                           | 0                         | 0                         | 0  | 0  |
| >>>>>>>>>>TFCS 2                                   | (TF1)                     | (TF1)                     | (TF1, TF0, TF0)  | (TF1, TF0, TF0, TF0)   |
| >>>>>>>>>>>ctfc                                    | 1                         | 1                         | 1  | 1  |
| >>>>>>>>>>>>gainFactorInformation                  | Signalled                 | Signalled                 | Computed   | Computed   |
| >>>>>>>>>>>>>βc (FDD only)                         | 11                        | 11                        | N/A  | N/A  |
| >>>>>>>>>>>>>>βd                                   | 15                        | 15                        | N/A  | N/A  |
| >>>>>>>>>>>>>>>referenceTFCSId                     | 0                         | 0                         | 0  | 0  |

| Configuration                   | 3.4 kbps signalling | 13.6 kbps signalling | 7.95 kbps speech + 3.4 kbps signalling | 12.2 kbps speech + 3.4 kbps signalling |
|---------------------------------|---------------------|----------------------|--|--|
| >>>>>TFCS 3                     |                     |                      | (TF2, TF1, TF0)                        | (TF2, TF1, TF1, TF0)                   |
| >>>>>>ctfc                      |                     |                      | 5                                      | 11                                     |
| >>>>>>gainFactorInformation     |                     |                      | Computed                               | Computed                               |
| >>>>>>referenceTFCLd            |                     |                      | 0                                      | 0                                      |
| >>>>>TFCS 4                     |                     |                      | (TF0, TF0, TF1)                        | (TF0, TF0, TF0, TF1)                   |
| >>>>>>ctfc                      |                     |                      | 6                                      | 12                                     |
| >>>>>>gainFactorInformation     |                     |                      | Computed                               | Computed                               |
| >>>>>> $\beta$ c (FDD only)     |                     |                      | N/A                                    | N/A                                    |
| >>>>>> $\beta$ d                |                     |                      | N/A                                    | N/A                                    |
| >>>>>>referenceTFCLd            |                     |                      | 0                                      | 0                                      |
| >>>>>TFCS 5                     |                     |                      | (TF1, TF0, TF1)                        | (TF1, TF0, TF0, TF1)                   |
| >>>>>>ctfc                      |                     |                      | 7                                      | 13                                     |
| >>>>>>gainFactorInformation     |                     |                      | Computed                               | Computed                               |
| >>>>>>referenceTFCLd            |                     |                      | 0                                      | 0                                      |
| >>>>>TFCS 6                     |                     |                      | (TF2, TF1, TF1)                        | (TF2, TF1, TF1, TF1)                   |
| >>>>>>ctfc                      |                     |                      | 11                                     | 23                                     |
| >>>>>>gainFactorInformation     |                     |                      | Signalled                              | Signalled                              |
| >>>>>> $\beta$ c (FDD only)     |                     |                      | 11                                     | 11                                     |
| >>>>>> $\beta$ d                |                     |                      | 15                                     | 15                                     |
| >>>>>>referenceTFCLd            |                     |                      | 0                                      | 0                                      |
| dl-CommonTransChInfo            |                     |                      |  |  |
| >tfcs-SignallingMode            | Same as UL          | Same as UL           | Same as UL                             | Same as UL                             |
| PhyCH INFORMATION FDD           |                     |                      |  |  |
| UL-DPCH-InfoPredef              |                     |                      |  |  |
| >ul-DPCH-PowerControlInfo       |                     |                      |  |  |
| >>powerControlAlgorithm         | Algorithm 1         | Algorithm 1          | Algorithm 1                            | Algorithm 1                            |
| >>>tpcStepSize                  | 1                   | 1                    | 1                                      | 1                                      |
| >tfci-Existence                 | TRUE                | TRUE                 | TRUE                                   | TRUE                                   |
| >puncturingLimit                | 1                   | 1                    | 1                                      | 0.88                                   |
| DL-CommonInformationPredef      |                     |                      |  |  |
| >dl-DPCH-InfoCommon             |                     |                      |  |  |
| >>spreadingFactor               | 256                 | 128                  | 128                                    | 128                                    |
| >>tfci-Existence                | FALSE               | FALSE                | FALSE                                  | FALSE                                  |
| >>pilotBits                     | 4                   | 4                    | 4                                      | 4                                      |
| >>positionFixed                 | N/A                 | N/A                  | Fixed                                  | Fixed                                  |
| PhyCH INFORMATION 3.84 Mcps TDD |                     |                      |  |  |
| UL-DPCH-InfoPredef              |                     |                      |  |  |
| >ul-DPCH-PowerControlInfo       |                     |                      |  |  |
| >>dpch-ConstantValue            | 0                   | 0                    | 0                                      | 0                                      |
| >commonTimeslotInfo             |                     |                      |  |  |
| >>secondInterleavingMode        | frameRelated        | frameRelated         | frameRelated                           | frameRelated                           |
| >>tfci-Coding                   | 4                   | 4                    | 16                                     | 16                                     |
| >>puncturingLimit               | 1                   | 0.92                 | 0.52                                   | 0.88                                   |
| >>repetitionPeriodAndLength     | repetitionPeriod1   | repetitionPeriod1    | repetitionPeriod1                      | repetitionPeriod1                      |

| Configuration                      | 3.4 kbps signalling | 13.6 kbps signalling | 7.95 kbps speech + 3.4 kbps signalling | 12.2 kbps speech + 3.4 kbps signalling |
|------------------------------------|---------------------|----------------------|--|--|
| DL-CommonInformationPredef         |                     |                      |  |  |
| >dl-DPCH-InfoCommon                |                     |                      |  |  |
| >>commonTimeslotInfo               |                     |                      |  |  |
| >>>secondInterleavingMode          | frameRelated        | frameRelated         | frameRelated                           | frameRelated                           |
| >>>tfc-Coding                      | 4                   | 4                    | 16                                     | 16                                     |
| >>>puncturingLimit                 | 1                   | 0.92                 | 0.52                                   | 0.92                                   |
| >>>repetitionPeriodAndLength       | repetitionPeriod1   | repetitionPeriod1    | repetitionPeriod1                      | repetitionPeriod1                      |
| PhyCH INFORMATION<br>1.28 Mcps TDD |                     |                      |  |  |
| UL-DPCH-InfoPredef                 |                     |                      |  |  |
| >commonTimeslotInfo                |                     |                      |  |  |
| >>secondInterleavingMode           | frameRelated        | frameRelated         | frameRelated                           | frameRelated                           |
| >>tfc-Coding                       | 4                   | 4                    | 16                                     | 16                                     |
| >>puncturingLimit                  | 1                   | 0.64                 | 0.80                                   | 0.60                                   |
| >>repetitionPeriodAndLength        | repetitionPeriod1   | repetitionPeriod1    | repetitionPeriod1                      | repetitionPeriod1                      |
| DL-CommonInformationPredef         |                     |                      |  |  |
| >dl-DPCH-InfoCommon                |                     |                      |  |  |
| >>commonTimeslotInfo               |                     |                      |  |  |
| >>>secondInterleavingMode          | frameRelated        | frameRelated         | frameRelated                           | frameRelated                           |
| >>>tfc-Coding                      | 4                   | 4                    | 16                                     | 16                                     |
| >>>puncturingLimit                 | 1                   | 0.64                 | 0.80                                   | 0.60                                   |
| >>>repetitionPeriodAndLength       | repetitionPeriod1   | repetitionPeriod1    | repetitionPeriod1                      | repetitionPeriod1                      |

| Configuration                  | 28.8 kbps conv. CS- data + 3.4 kbps signalling  | 32 kbps conv. CS- data + 3.4 kbps signalling  | 64kbps conv. CS- data + 3.4 kbps signalling   | 14.4 kbps streaming CS- data + 3.4 kbps signalling  |
|--------------------------------|---|---|---|---|
| Ref 34.108                     | 12  | 14  | 13  | 15  |
| Default configuration identity | 4   | 5   | 6   | 7   |
| RB INFORMATION                 |   |   |   |   |
| rb-Identity                    | RB1: 1, RB2: 2, RB3: 3, RB5: 5  | RB1: 1, RB2: 2, RB3: 3, RB5: 5  | RB1: 1, RB2: 2, RB3: 3, RB5: 5  | RB1: 1, RB2: 2, RB3: 3, RB5: 5  |
| rlc-InfoChoice                 | Rlc-info  | Rlc-info  | Rlc-info  | Rlc-info  |
| >ul-RLC-Mode                   | RB1: UM<br>RB2- RB3: AM<br>RB5: TM  | RB1: UM<br>RB2- RB3: AM<br>RB5: TM  | RB1: UM<br>RB2- RB3: AM<br>RB5: TM  | RB1: UM<br>RB2- RB3: AM<br>RB5: TM  |
| >>transmissionRLC-DiscardMode  | RB1: N/A<br>RB2- RB3: NoDiscard<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: NoDiscard<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: NoDiscard<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: NoDiscard<br>RB5: N/A   |
| >>>maxDat                      | RB1: N/A<br>RB2- RB3: 15<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: 15<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: 15<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: 15<br>RB5: N/A  |
| >>transmissionWindowSize       | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5: N/A | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5: N/A | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5: N/A | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5: N/A |
| >>timerRST                     | RB1: N/A<br>RB2- RB3: 300<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: 300<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: 300<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: 300<br>RB5: N/A   |

| Configuration                 | 28.8 kbps conv. CS- data + 3.4 kbps signalling  | 32 kbps conv. CS- data + 3.4 kbps signalling  | 64kbps conv. CS- data + 3.4 kbps signalling   | 14.4 kbps streaming CS- data + 3.4 kbps signalling  |
|-------------------------------|---|---|---|---|
| >>max-RST                     | RB1: N/A<br>RB2- RB3: 1<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: 1<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: 1<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: 1<br>RB5: N/A   |
| >>pollingInfo                 | RB1: N/A<br>RB2- RB3: as below<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5: N/A  |
| >>>lastTransmissionPDU-Poll   | RB2- RB3: FALSE   | RB2- RB3: FALSE   | RB2- RB3: FALSE   | RB2- RB3: FALSE   |
| >>>lastRetransmissionPDU-Poll | RB2- RB3: FALSE   | RB2- RB3: FALSE   | RB2- RB3: FALSE   | RB2- RB3: FALSE   |
| >>>timerPollPeriodic          | RB2- RB3: 300   | RB2- RB3: 300   | RB2- RB3: 300   | RB2- RB3: 300   |
| >>segmentationIndication      | RB1- RB3: N/A<br>RB5: FALSE   | RB1- RB3: N/A<br>RB5: FALSE   | RB1- RB3: N/A<br>RB5: FALSE   | RB1- RB3: N/A<br>RB5: FALSE   |
| >dl-RLC-Mode                  | RB1: UM<br>RB2- RB3: AM<br>RB5: TM  | RB1: UM<br>RB2- RB3: AM<br>RB5: TM  | RB1: UM<br>RB2- RB3: AM<br>RB5: TM  | RB1: UM<br>RB2- RB3: AM<br>RB5: TM  |
| >>inSequenceDelivery          | RB1: N/A<br>RB2- RB3: TRUE<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: TRUE<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: TRUE<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: TRUE<br>RB5: N/A  |
| >>receivingWindowSize         | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5: N/A | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5: N/A | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5: N/A | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5: N/A |
| >>dl-RLC-StatusInfo           | RB1: N/A<br>RB2- RB3: as below<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5: N/A  |
| >>>timerStatusProhibit        | RB2- RB3: 100   | RB2- RB3: 100   | RB2- RB3: 100   | RB2- RB3: 100   |
| >>>missingPDU-Indicator       | RB2- RB3: FALSE   | RB2- RB3: FALSE   | RB2- RB3: FALSE   | RB2- RB3: FALSE   |
| >>>timerStatusPeriodic        | RB2- RB3: 300   | RB2- RB3: 300   | RB2- RB3: 300   | RB2- RB3: 300   |
| >>segmentationIndication      | RB1- RB3: N/A<br>RB5: FALSE   | RB1- RB3: N/A<br>RB5: FALSE   | RB1- RB3: N/A<br>RB5: FALSE   | RB1- RB3: N/A<br>RB5: FALSE   |
| rb-MappingInfo                |   |   |   |   |
| >UL-LogicalChannelMappings    | OneLogicalChannel   | OneLogicalChannel   | OneLogicalChannel   | OneLogicalChannel   |
| >>ul-TransportChannelType     | Dch   | Dch   | Dch   | Dch   |
| >>>transportChannelIdentity   | RB1- RB3: 2<br>RB5: 1   | RB1- RB3: 2<br>RB5: 1   | RB1- RB3: 2<br>RB5: 1   | RB1- RB3: 2<br>RB5: 1   |
| >>logicalChannelIdentity      | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: N/A   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: N/A   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: N/A   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: N/A   |
| >>rlc-SizeList                | RB1- RB3: configured<br>RB5: N/A  | RB1- RB3: configured<br>RB5: N/A  | RB1- RB3: configured<br>RB5: N/A  | RB1- RB3: configured<br>RB5: N/A  |
| >>mac-LogicalChannelPriority  | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: 5   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: 5   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: 5   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: 5   |
| >DL-logicalChannelMappingList |   |   |   |   |
| >>Mapping option 1            | One mapping option  | One mapping option  | One mapping option  | One mapping option  |
| >>>dl-TransportChannelType    | Dch   | Dch   | Dch   | Dch   |
| >>>>transportChannelIdentity  | RB1- RB3: 2<br>RB5: 1   | RB1- RB3: 2<br>RB5: 1   | RB1- RB3: 2<br>RB5: 1   | RB1- RB3: 2<br>RB5: 1   |
| >>>logicalChannelIdentity     | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: N/A   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: N/A   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: N/A   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: N/A   |
| TrCH INFORMATION PER TrCH     |   |   |   |   |

| Configuration                                      | 28.8 kbps conv. CS- data + 3.4 kbps signalling                                     | 32 kbps conv. CS- data + 3.4 kbps signalling  | 64kbps conv. CS- data + 3.4 kbps signalling   | 14.4 kbps streaming CS- data + 3.4 kbps signalling                                 |
|--|--|---|---|--|
| UL-AddReconfTransChInfoList                        |  |   |   |  |
| >Uplink transport channel type                     | dch  | dch   | dch   | dch  |
| >transportChannelIdentity                          | TrCH1: 1, TrCH2: 2   | TrCH1: 1, TrCH2: 2  | TrCH1: 1, TrCH2: 2  | TrCH1: 1, TrCH2: 2   |
| >transportFormatSet                                | DedicatedTransChTFS  | DedicatedTransChTFS   | DedicatedTransChTFS   | DedicatedTransChTFS  |
| >>dynamicTF-information                            |  |   |   |  |
| >>>tf0/ tf0,1                                      | TrCH1: (0x576, 1x576, 2x576)<br>TrCH2: (0x144, 1x144)                              | TrCH1: (0x640, 1x640)<br>TrCH2: (0x144, 1x144)                                      | TrCH1: (0x640, 2x640)<br>TrCH2: (0x144, 1x144)                                      | TrCH1: (0x576, 1x576)<br>TrCH2: (0x144, 1x144)                                     |
| >>>>rlcSize  | TrCH1: OctetMode<br>TrCH2: BitMode   | TrCH1: OctetMode<br>TrCH2: BitMode  | TrCH1: OctetMode<br>TrCH2: BitMode  | TrCH1: OctetMode<br>TrCH2: BitMode   |
| >>>>>sizeType                                      | TrCH1: type 2, part1= 9, part2= 2 (576)<br>TrCH2: type 2, part1= 2, part2= 0 (144) | TrCH1: type 2, part1= 11, part2= 2 (640)<br>TrCH2: type 2, part1= 2, part2= 0 (144) | TrCH1: type 2, part1= 11, part2= 2 (640)<br>TrCH2: type 2, part1= 2, part2= 0 (144) | TrCH1: type 2, part1= 9, part2= 2 (576)<br>TrCH2: type 2, part1= 2, part2= 0 (144) |
| >>>>numberOfTbSizeList                             | TrCH1: Zero, 1, 2<br>TrCH2: Zero, one  | TrCH1: Zero, one<br>TrCH2: Zero, one  | TrCH1: Zero, 2<br>TrCH2: Zero, one  | TrCH1: Zero, one,<br>TrCH2: Zero, one  |
| >>>>logicalChannelList                             | All  | All   | All   | All  |
| >>semiStaticTF-Information                         |  |   |   |  |
| >>>tTi   | TrCH1: 40<br>TrCH2: 40   | TrCH1: 20<br>TrCH2: 40  | TrCH1: 20<br>TrCH2: 40  | TrCH1: 40<br>TrCH2: 40   |
| >>>channelCodingType                               | TrCH1: Turbo<br>TrCH2: Convolutional   | TrCH1: Turbo<br>TrCH2: Convolutional  | TrCH1: Turbo<br>TrCH2: Convolutional  | TrCH1: Turbo<br>TrCH2: Convolutional   |
| >>>>codingRate                                     | TrCH1: N/A<br>TrCH2: Third   | TrCH1: N/A<br>TrCH2: Third  | TrCH1: N/A<br>TrCH2: Third  | TrCH1: N/A<br>TrCH2: Third   |
| >>>rateMatchingAttribute                           | TrCH1: 180<br>TrCH2: 160   | TrCH1: 185<br>TrCH2: 160  | TrCH1: 170<br>TrCH2: 160  | TrCH1: 165<br>TrCH2: 160   |
| >>>crc-Size  | TrCH1: 16<br>TrCH2: 16   | TrCH1: 16<br>TrCH2: 16  | TrCH1: 16<br>TrCH2: 16  | TrCH1: 16<br>TrCH2: 16   |
| DL-AddReconfTransChInfoList                        |  |   |   |  |
| >Downlink transport channel type                   | dch  | dch   | dch   | dch  |
| >dl-TransportChannelIdentity (should be as for UL) | TrCH1: 1, TrCH2: 2   | TrCH1: 1, TrCH2: 2  | TrCH1: 1, TrCH2: 2  | TrCH1: 1, TrCH2: 2   |
| >dfs-SignallingMode                                | SameAsUL   | SameAsUL  | SameAsUL  | SameAsUL   |
| >>transportFormatSet                               |  |   |   |  |
| >>>dynamicTF-information                           |  |   |   |  |
| >>>>tf0/ tf0,1                                     |  |   |   |  |
| >>>>rlcSize  |  |   |   |  |
| >>>>>sizeType                                      |  |   |   |  |
| >>>>numberOfTbSizeList                             |  |   |   |  |
| >>>>logicalChannelList                             |  |   |   |  |
| >>ULTrCH-Id  | TrCH1: 1, TrCH2: 2   | TrCH1: 1, TrCH2: 2  | TrCH1: 1, TrCH2: 2  | TrCH1: 1, TrCH2: 2   |
| >dch-QualityTarget                                 |  |   |   |  |
| >>bler-QualityValue                                | TrCH1: $2 \times 10^{-3}$<br>TrCH2: Absent   | TrCH1: $2 \times 10^{-3}$<br>TrCH2: Absent  | TrCH1: $2 \times 10^{-3}$<br>TrCH2: Absent  | TrCH1: $1 \times 10^{-2}$<br>TrCH2: Absent   |
| TrCH INFORMATION, COMMON                           |  |   |   |  |
| ul-CommonTransChInfo                               |  |   |   |  |
| >tfcs-ID (TDD only)                                | 1  | 1   | 1   | 1  |

| Configuration   | 28.8 kbps conv. CS- data + 3.4 kbps signalling | 32 kbps conv. CS- data + 3.4 kbps signalling | 64kbps conv. CS- data + 3.4 kbps signalling | 14.4 kbps streaming CS- data + 3.4 kbps signalling |
|---|--|--|---|--|
| >sharedChannelIndicator (TDD only)                                | FALSE  | FALSE  | FALSE                                       | FALSE  |
| >tfc-Subset   | Absent, not required                           | Absent, not required                         | Absent, not required                        | Absent, not required                               |
| >ul-TFCS  | Normal TFCI signalling                         | Normal TFCI signalling                       | Normal TFCI signalling                      | Normal TFCI signalling                             |
| >>explicitTFCS-ConfigurationMode                                  | Complete                                       | Complete                                     | Complete                                    | Complete   |
| >>>ctfcSize   | Ctfc4Bit                                       | Ctfc2Bit                                     | Ctfc2Bit                                    | Ctfc4Bit   |
| >>>>TFCS representation   | Addition                                       | Addition                                     | Addition                                    | Addition   |
| >>>>>TFCS list  |  |  |   |  |
| >>>>>>TFCS 1  | (TF0, TF0)                                     | (TF0, TF0)                                   | (TF0, TF0)                                  | (TF0, TF0)   |
| >>>>>>>ctfc   | 0  | 0  | 0   | 0  |
| >>>>>>>>gainFactorInformation                                     | Computed                                       | Computed                                     | Computed                                    | Computed   |
| >>>>>>>>>referenceTFCId   | 0  | 0  | 0   | 0  |
| >>>>>>>>>TFCS 2   | (TF1, TF0)                                     | (TF1, TF0)                                   | (TF1, TF0)                                  | (TF1, TF0)   |
| >>>>>>>>>>ctfc  | 1  | 1  | 1   | 1  |
| >>>>>>>>>>>gainFactorInformation                                  | Computed                                       | Computed                                     | Computed                                    | Computed   |
| >>>>>>>>>>>>βc (FDD only)   | N/A  | N/A  | N/A   | N/A  |
| >>>>>>>>>>>>βd  | N/A  | N/A  | N/A   | N/A  |
| >>>>>>>>>>>>>referenceTFCId                                       | 0  | 0  | 0   | 0  |
| >>>>>>>>>>>>>>TFCS 3  | (TF2, TF0)                                     | (TF0, TF1)                                   | (TF0, TF1)                                  | (TF0, TF1)   |
| >>>>>>>>>>>>>>>ctfc   | 2  | 2  | 2   | 2  |
| >>>>>>>>>>>>>>>>gainFactorInformation                             | Computed                                       | Computed                                     | Computed                                    | Computed   |
| >>>>>>>>>>>>>>>>>referenceTFCId                                   | 0  | 0  | 0   | 0  |
| >>>>>>>>>>>>>>>>>>TFCS 4  | (TF0, TF1)                                     | (TF1, TF1)                                   | (TF1, TF1)                                  | (TF1, TF1)   |
| >>>>>>>>>>>>>>>>>>>ctfc   | 3  | 3  | 3   | 3  |
| >>>>>>>>>>>>>>>>>>>>gainFactorInformation                         | Computed                                       | Signalled                                    | Signalled                                   | Signalled  |
| >>>>>>>>>>>>>>>>>>>>>βc (FDD only)                                | N/A  | 8  | 8   | 11   |
| >>>>>>>>>>>>>>>>>>>>>>βd  | N/A  | 15   | 15  | 15   |
| >>>>>>>>>>>>>>>>>>>>>>>referenceTFCId                             | 0  | 0  | 0   | 0  |
| >>>>>>>>>>>>>>>>>>>>>>>>TFCS 5                                    | (TF1, TF1)                                     | N/A  | N/A   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>ctfc                                     | 4  |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>gainFactorInformation                   | Computed                                       |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>referenceTFCId                         | 0  |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>TFCS 6                                | (TF2, TF1)                                     | N/A  | N/A   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>ctfc                                 | 5  |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>gainFactorInformation               | Signalled                                      |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>βc (FDD only)                      | 8  |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>βd                                | 15   |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>referenceTFCId                   | 0  |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>TFCS 7                          |  |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>ctfc                           |  |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>gainFactorInformation         |  |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>referenceTFCId               |  |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>TFCS 8                      |  |  |   |  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>ctfc                       |  |  |   |  |
| >>gainFactorInformation     |  |  |   |  |
| >>>referenceTFCId           |  |  |   |  |
| >>TFCS 9                  |  |  |   |  |
| >>>ctfc                   |  |  |   |  |
| >>gainFactorInformation |  |  |   |  |

| Configuration                   | 28.8 kbps conv. CS- data + 3.4 kbps signalling | 32 kbps conv. CS- data + 3.4 kbps signalling | 64kbps conv. CS- data + 3.4 kbps signalling | 14.4 kbps streaming CS- data + 3.4 kbps signalling |
|---------------------------------|--|--|---|--|
| >>>>>>referenceTFCId            |  |  |   |  |
| >>>>>TFCS 10                    |  |  |   |  |
| >>>>>>ctfc                      |  |  |   |  |
| >>>>>>gainFactorInformation     |  |  |   |  |
| >>>>>> $\beta$ c (FDD only)     |  |  |   |  |
| >>>>>> $\beta$ d                |  |  |   |  |
| >>>>>>referenceTFCId            |  |  |   |  |
| dl-CommonTransChInfo            |  |  |   |  |
| >tfc-SignallingMode             | Same as UL                                     | Same as UL                                   | Same as UL                                  | Same as UL   |
| PhyCH INFORMATION FDD           |  |  |   |  |
| UL-DPCH-InfoPredef              |  |  |   |  |
| >ul-DPCH-PowerControllInfo      |  |  |   |  |
| >>powerControlAlgorithm         | Algorithm 1                                    | Algorithm 1                                  | Algorithm 1                                 | Algorithm 1  |
| >>>tpcStepSize                  | 1  | 1  | 1   | 1  |
| >tfc-Existence                  | TRUE   | TRUE   | TRUE  | TRUE   |
| >puncturingLimit                | 0.92   | 0.8  | 0.92  | 1  |
| DL-CommonInformationPredef      |  |  |   |  |
| >dl-DPCH-InfoCommon             |  |  |   |  |
| >>spreadingFactor               | 64   | 64   | 32  | 128  |
| >>tfc-Existence                 | TRUE   | TRUE   | TRUE  | TRUE   |
| >>pilotBits                     | 8  | 8  | 8   | 8  |
| >>positionFixed                 | Flexible                                       | Flexible                                     | Flexible                                    | Flexible   |
| PhyCH INFORMATION 3.84 Mcps TDD |  |  |   |  |
| UL-DPCH-InfoPredef              |  |  |   |  |
| >ul-DPCH-PowerControllInfo      |  |  |   |  |
| >>dpch-ConstantValue            | 0  | 0  | 0   | 0  |
| >commonTimeslotInfo             |  |  |   |  |
| >>secondInterleavingMode        | frameRelated                                   | frameRelated                                 | frameRelated                                | frameRelated                                       |
| >>tfc-Coding                    | 16   | 8  | 8   | 8  |
| >>puncturingLimit               | 0.44   | 0.8  | 0.56  | 0.8  |
| >>repetitionPeriodAndLength     | repetitionPeriod1                              | repetitionPeriod1                            | repetitionPeriod1                           | repetitionPeriod1                                  |
| DL-CommonInformationPredef      |  |  |   |  |
| >dl-DPCH-InfoCommon             |  |  |   |  |
| >>commonTimeslotInfo            |  |  |   |  |
| >>>secondInterleavingMode       | frameRelated                                   | frameRelated                                 | frameRelated                                | frameRelated                                       |
| >>>tfc-Coding                   | 16   | 8  | 8   | 8  |
| >>>puncturingLimit              | 0.44   | 0.64   | 0.56  | 0.8  |
| >>>repetitionPeriodAndLength    | repetitionPeriod1                              | repetitionPeriod1                            | repetitionPeriod1                           | repetitionPeriod1                                  |
| PhyCH INFORMATION 1.28 Mcps TDD |  |  |   |  |
| UL-DPCH-InfoPredef              |  |  |   |  |
| >commonTimeslotInfo             |  |  |   |  |
| >>secondInterleavingMode        | frameRelated                                   | frameRelated                                 | frameRelated                                | frameRelated                                       |
| >>tfc-Coding                    | 16   | 8  | 8   | 8  |
| >>puncturingLimit               | 0.64   | 0.60   | 0.64  | 1  |



| Configuration                | 28.8 kbps conv. CS-data + 3.4 kbps signalling | 32 kbps conv. CS-data + 3.4 kbps signalling | 64kbps conv. CS-data + 3.4 kbps signalling | 14.4 kbps streaming CS-data + 3.4 kbps signalling |
|------------------------------|---|---|--|---|
| >>repetitionPeriodAndLength  | repetitionPeriod1                             | repetitionPeriod1                           | repetitionPeriod1                          | repetitionPeriod1                                 |
| DL-CommonInformationPredef   |   |   |  |   |
| >dl-DPCH-InfoCommon          |   |   |  |   |
| >>commonTimeslotInfo         |   |   |  |   |
| >>>secondInterleavingMode    | frameRelated                                  | frameRelated                                | frameRelated                               | frameRelated                                      |
| >>>tfc-Coding                | 16  | 8   | 8  | 8   |
| >>>puncturingLimit           | 0.64  | 0.60  | 0.64                                       | 0.88  |
| >>>repetitionPeriodAndLength | repetitionPeriod1                             | repetitionPeriod1                           | repetitionPeriod1                          | repetitionPeriod1                                 |

| Configuration                  | 28.8 kbps streaming CS-data + 3.4 kbps signalling   | 57.6 kbps streaming CS-data + 3.4 kbps signalling   | 12.2 kbps speech(multimode) + 3.4 kbps signalling  |
|--------------------------------|---|---|--|
| Ref 34.108                     | 16  | 17  | 1a   |
| Default configuration identity | 8   | 9   | 10   |
| <b>RB INFORMATION</b>          |   |   |  |
| rb-Identity                    | RB1: 1, RB2: 2, RB3: 3, RB5: 5  | RB1: 1, RB2: 2, RB3: 3, RB5: 5  | RB1: 1, RB2: 2, RB3: 3, RB5: 5, RB6: 6, RB7: 7   |
| rlc-InfoChoice                 | Rlc-info  | Rlc-info  | Rlc-info   |
| >ul-RLC-Mode                   | RB1: UM<br>RB2- RB3: AM<br>RB5: TM  | RB1: UM<br>RB2- RB3: AM<br>RB5: TM  | RB1: UM<br>RB2- RB3: AM<br>RB5-RB7: TM   |
| >>transmissionRLC-DiscardMode  | RB1: N/A<br>RB2- RB3: NoDiscard<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: NoDiscard<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: NoDiscard<br>RB5- RB7: N/A   |
| >>>maxDat                      | RB1: N/A<br>RB2- RB3: 15<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: 15<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: 15<br>RB5- RB7: N/A  |
| >>>transmissionWindowSize      | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5: N/A | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5: N/A | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5- RB7: N/A |
| >>timerRST                     | RB1: N/A<br>RB2- RB3: 300<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: 300<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: 300<br>RB5- RB7: N/A   |
| >>max-RST                      | RB1: N/A<br>RB2- RB3: 1<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: 1<br>RB5: N/A   | RB1: N/A<br>RB2- RB3: 1<br>RB5- RB7: N/A   |
| >>pollingInfo                  | RB1: N/A<br>RB2- RB3: as below<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5- RB7: N/A  |
| >>>lastTransmissionPDU-Poll    | RB2- RB3: FALSE   | RB2- RB3: FALSE   | RB2- RB3: FALSE  |
| >>>lastRetransmissionPDU-Poll  | RB2- RB3: FALSE   | RB2- RB3: FALSE   | RB2- RB3: FALSE  |
| >>>timerPollPeriodic           | RB2- RB3: 300   | RB2- RB3: 300   | RB2- RB3: 300  |
| >>segmentationIndication       | RB1- RB3: N/A<br>RB5: FALSE   | RB1- RB3: N/A<br>RB5: FALSE   | RB1- RB3: N/A<br>RB5- RB7: FALSE   |

| Configuration                  | 28.8 kbps streaming CS-data + 3.4 kbps signalling   | 57.6 kbps streaming CS-data + 3.4 kbps signalling   | 12.2 kbps speech(multimode ) + 3.4 kbps signalling   |
|--------------------------------|---|---|--|
| >dl-RLC-Mode                   | RB1: UM<br>RB2- RB3: AM<br>RB5: TM  | RB1: UM<br>RB2- RB3: AM<br>RB5: TM  | RB1: UM<br>RB2- RB3: AM<br>RB5- RB7: TM  |
| >>inSequenceDelivery           | RB1: N/A<br>RB2- RB3: TRUE<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: TRUE<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: TRUE<br>RB5- RB7: N/A  |
| >>receivingWindowSize          | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5: N/A | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5: N/A | RB1: N/A<br>RB2- RB3: 128 for UEs with more than 10 kbyte "total RLC AM buffer size" and 32 otherwise<br>RB5- RB7: N/A |
| >>>dl-RLC-StatusInfo           | RB1: N/A<br>RB2- RB3: as below<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5- RB7: N/A  |
| >>>>timerStatusProhibit        | RB2- RB3: 100   | RB2- RB3: 100   | RB2- RB3: 100  |
| >>>>missingPDU-Indicator       | RB2- RB3: FALSE   | RB2- RB3: FALSE   | RB2- RB3: FALSE  |
| >>>>timerStatusPeriodic        | RB2- RB3: 300   | RB2- RB3: 300   | RB2- RB3: 300  |
| >>segmentationIndication       | RB1- RB3: N/A<br>RB5: FALSE   | RB1- RB3: N/A<br>RB5: FALSE   | RB1- RB3: N/A<br>RB5- RB7: FALSE   |
| rb-MappingInfo                 |   |   |  |
| >UL-LogicalChannelMappings     | OneLogicalChannel   | OneLogicalChannel   | OneLogicalChannel  |
| >>ul-TransportChannelType      | Dch   | Dch   | Dch  |
| >>>transportChannelIdentity    | RB1- RB3: 2<br>RB5: 1   | RB1- RB3: 2<br>RB5: 1   | RB1- RB3: 4<br>RB5: 1, RB6: 2,<br>RB7: 3   |
| >>logicalChannelIdentity       | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: N/A   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: N/A   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB7: N/A   |
| >>rlc-SizeList                 | RB1- RB3:<br>configured<br>RB5: N/A   | RB1- RB3:<br>configured<br>RB5: N/A   | RB1- RB3:<br>configured<br>RB5- RB7: N/A   |
| >>mac-LogicalChannelPriority   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: 5   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: 5   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB7: 5   |
| >DL-logicalChannelMappingList  |   |   |  |
| >>Mapping option 1             | One mapping option  | One mapping option  | One mapping option   |
| >>>>dl-TransportChannelType    | Dch   | Dch   | Dch  |
| >>>>>transportChannelIdentity  | RB1- RB3: 2<br>RB5: 1   | RB1- RB3: 2<br>RB5: 1   | RB1- RB3: 4<br>RB5: 1, RB6: 2,<br>RB7: 3   |
| >>>>logicalChannelIdentity     | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: N/A   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5: N/A   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB7: N/A   |
| TrCH INFORMATION PER TrCH      |   |   |  |
| UL-AddReconfTransChInfoList    |   |   |  |
| >Uplink transport channel type | dch   | dch   | dch  |
| >transportChannelIdentity      | TrCH1: 1, TrCH2: 2  | TrCH1: 1, TrCH2: 2  | TrCH1: 1, TrCH2: 2,<br>TrCH3: 3, TrCH4: 4  |
| >transportFormatSet            | DedicatedTransChTFS   | DedicatedTransChTFS   | DedicatedTransChTFS  |
| >>dynamicTF-information        |   |   |  |

| Configuration               | 28.8 kbps streaming CS-data + 3.4 kbps signalling                                  | 57.6 kbps streaming CS-data + 3.4 kbps signalling                                  | 12.2 kbps speech(multimode ) + 3.4 kbps signalling   |
|-----------------------------|--|--|--|
| >>>tf0/ tf0,1               | TrCH1: (0x576, 1x576, 2x576)<br>TrCH2: (0x144, 1x144)                              | TrCH1: (0x576, 1x576, 2x576, 3x576, 4x576)<br>TrCH2: (0x144, 1x144)                | TrCH1: (0x81)<br>TrCH2: (0x 103)<br>TrCH3: (0x 60)<br>TrCH4: (0x144)                                       |
| >>>>rlcSize                 | TrCH1: OctetMode<br>TrCH2:BitMode  | TrCH1: OctetMode<br>TrCH2:BitMode  | BitMode  |
| >>>>>sizeType               | TrCH1: type 2, part1= 9, part2= 2 (576)<br>TrCH2: type 2, part1= 2, part2= 0 (144) | TrCH1: type 2, part1= 9, part2= 2 (576)<br>TrCH2: type 2, part1= 2, part2= 0 (144) | TrCH1: type 1: 81<br>TrCH2: type 1: 103<br>TrCH3: type 1: 60<br>TrCH4: 2: type 2, part1= 2, part2= 0 (144) |
| >>>>numberOfTbSizeList      | TrCH1: Zero, one, 2<br>TrCH2: Zero, one  | TrCH1: Zero, one, 2, 3, 4<br>TrCH2: Zero, one                                      | TrCH1-4: Zero  |
| >>>>logicalChannelList      | All  | All  | All  |
| >>>>tf 1                    |  |  | TrCH1: (1x39)<br>TrCH2: (1x53)<br>TrCH3: (1x60)<br>TrCH4: (1x144)  |
| >>>>numberOfTransportBlocks |  |  | TrCH1-3: One   |
| >>>>rlc-Size                |  |  | TrCH1-3: BitMode   |
| >>>>>sizeType               |  |  | TrCH1: 1: 39<br>TrCH2: 1: 53<br>TrCH3: 1: 60   |
| >>>>numberOfTbSizeList      |  |  | TrCH1-3: One   |
| >>>>logicalChannelList      |  |  | TrCH1-3: all   |
| >>>>tf 2                    |  |  | TrCH1: (1x42)<br>TrCH2: (1x63)<br>TrCH3- TrCH4: N/A  |
| >>>>numberOfTransportBlocks |  |  | TrCH1-2: One   |
| >>>>rlc-Size                |  |  | TrCH1: BitMode   |
| >>>>>sizeType               |  |  | TrCH1: type 1: 42<br>TrCH2: type 1: 63   |
| >>>>numberOfTbSizeList      |  |  | TrCH1-2: One   |
| >>>>logicalChannelList      |  |  | TrCH1: all   |
| >>>>tf 3                    |  |  | TrCH1: (1x55)<br>TrCH2: (1x84)<br>TrCH3- TrCH4: N/A  |
| >>>>numberOfTransportBlocks |  |  | TrCH1-2: One   |
| >>>>rlc-Size                |  |  | TrCH1: BitMode   |
| >>>>>sizeType               |  |  | TrCH1: type 1: 55<br>TrCH2: type 1: 84   |
| >>>>numberOfTbSizeList      |  |  | TrCH1-2: One   |
| >>>>logicalChannelList      |  |  | TrCH1: all   |
| >>>>tf 4                    |  |  | TrCH1: (1x75)<br>TrCH2: (1x103)<br>TrCH3- TrCH4: N/A   |
| >>>>numberOfTransportBlocks |  |  | TrCH1-2: One   |
| >>>>rlc-Size                |  |  | TrCH1: BitMode   |
| >>>>>sizeType               |  |  | TrCH1: type 1: 75<br>TrCH2: type 1: 103  |
| >>>>numberOfTbSizeList      |  |  | TrCH1-2: One   |
| >>>>logicalChannelList      |  |  | TrCH1: all   |
| >>>>tf 5                    |  |  | TrCH1: (1x81)<br>TrCH2- TrCH4: N/A   |

| Configuration                                      | 28.8 kbps<br>streaming CS-<br>data +<br>3.4 kbps signalling | 57.6 kbps<br>streaming CS-<br>data +<br>3.4 kbps signalling | 12.2 kbps<br>speech(multimode<br>) +<br>3.4 kbps signalling           |
|--|---|---|---|
| >>>>numberOfTransportBlocks                        |   |   | TrCH1: One  |
| >>>>rlc-Size                                       |   |   | TrCH1: BitMode  |
| >>>>>sizeType                                      |   |   | TrCH1: type 1: 81   |
| >>>>numberOfTbSizeList                             |   |   | TrCH1: One  |
| >>>>logicalChannelList                             |   |   | TrCH1: all  |
| >>semiStaticTF-Information                         |   |   |   |
| >>>tti   | TrCH1: 40<br>TrCH2: 40                                      | TrCH1: 40<br>TrCH2: 40                                      | TrCH1- TrCH3: 20<br>TrCH4: 40   |
| >>>channelCodingType                               | TrCH1: Turbo<br>TrCH2:<br>Convolutional                     | TrCH1: Turbo<br>TrCH2:<br>Convolutional                     | Convolutional   |
| >>>>codingRate                                     | TrCH1: N/A<br>TrCH2: Third                                  | TrCH1: N/A<br>TrCH2: Third                                  | TrCH1- TrCH2:<br>Third<br>TrCH3: Half<br>TrCH4: Third                 |
| >>>rateMatchingAttribute                           | TrCH1: 155<br>TrCH2: 160                                    | TrCH1: 145<br>TrCH2: 160                                    | TrCH1: 200<br>TrCH2: 190<br>TrCH3: 235<br>TrCH4: 160                  |
| >>>crc-Size  | TrCH1: 16<br>TrCH2: 16                                      | TrCH1: 16<br>TrCH2: 16                                      | TrCH1: 12<br>TrCH2- TrCH3: 0<br>TrCH4: 16                             |
| DL-AddReconfTransChInfoList                        |   |   |   |
| >Downlink transport channel type                   | dch   | dch   | dch   |
| >dl-TransportChannelIdentity (should be as for UL) | TrCH1: 1, TrCH2: 2  | TrCH1: 1, TrCH2: 2  | TrCH1: 1, TrCH2: 2,<br>TrCH3: 3, TrCH4: 4                             |
| >dfs-SignallingMode                                | SameAsUL  | SameAsUL  | Independent<br><Only tf0 on TrCH1<br>is different and<br>shown below> |
| >>transportFormatSet                               |   |   | DedicatedTransChTFS   |
| >>>dynamicTF-information                           |   |   |   |
| >>>>tf0/ tf0,1                                     |   |   | TrCH1: (1x0)  |
| >>>>rlcSize  |   |   | bitMode   |
| >>>>>sizeType                                      |   |   | TrCH1: type 1: 0  |
| >>>>numberOfTbSizeList                             |   |   | TrCH1: One  |
| >>>>logicalChannelList                             |   |   | All   |
| >>ULTrCH-Id  | TrCH1: 1, TrCH2: 2  | TrCH1: 1, TrCH2: 2  | TrCH1: 1, TrCH2: 2,<br>TrCH3: 3, TrCH4: 4                             |
| >dch-QualityTarget                                 |   |   |   |
| >>bler-QualityValue                                | TrCH1: $1 \times 10^{-2}$<br>TrCH2: Absent                  | TrCH1: $1 \times 10^{-2}$<br>TrCH2: Absent                  | TrCH1: $7 \times 10^{-3}$<br>TrCH2- TrCH4:<br>Absent                  |
| TrCH INFORMATION,<br>COMMON                        |   |   |   |
| ul-CommonTransChInfo                               |   |   |   |
| >tfcs-ID (TDD only)                                | 1   | 1   | 1   |
| >sharedChannelIndicator (TDD only)                 | FALSE   | FALSE   | FALSE   |
| >tfcs-Subset                                       | Absent, not required  | Absent, not required  | Absent, not required  |
| >ul-TFCS   | Normal TFCI<br>signalling                                   | Normal TFCI<br>signalling                                   | Normal TFCI<br>signalling   |
| >>explicitTFCS-ConfigurationMode                   | Complete  | Complete  | Complete  |

| Configuration  | 28.8 kbps streaming CS-data + 3.4 kbps signalling | 57.6 kbps streaming CS-data + 3.4 kbps signalling | 12.2 kbps speech(multimode ) + 3.4 kbps signalling |
|--|---|---|--|
| >>>>ctfcSize   | Ctfc4Bit  | Ctfc4Bit  | Ctfc8Bit   |
| >>>>TFCS representation  | Addition  | Addition  | Addition   |
| >>>>>TFCS list   |   |   |  |
| >>>>>>TFCS 1   | (TF0, TF0)  | (TF0, TF0)  | (TF0, TF0, TF0, TF0)                               |
| >>>>>>>ctfc  | 0   | 0   | 0  |
| >>>>>>>>gainFactorInformation                                      | Computed  | Computed  | Computed   |
| >>>>>>>>referenceTFCLid  | 0   | 0   | 0  |
| >>>>>>>>TFCS 2   | (TF1, TF0)  | (TF1, TF0)  | (TF1, TF0, TF0, TF0)                               |
| >>>>>>>>>ctfc  | 1   | 1   | 1  |
| >>>>>>>>>>gainFactorInformation                                    | Computed  | Computed  | Computed   |
| >>>>>>>>>>>> $\beta$ c (FDD only)                                  | N/A   | N/A   | N/A  |
| >>>>>>>>>>>> $\beta$ d   | N/A   | N/A   | N/A  |
| >>>>>>>>>>>>>>referenceTFCLid                                      | 0   | 0   | 0  |
| >>>>>>>>>>>>>>TFCS 3   | (TF2, TF0)  | (TF2, TF0)  | (TF2, TF1, TF0, TF0)                               |
| >>>>>>>>>>>>>>>ctfc  | 2   | 2   | 8  |
| >>>>>>>>>>>>>>>>gainFactorInformation                              | Computed  | Computed  | Computed   |
| >>>>>>>>>>>>>>>>>>referenceTFCLid                                  | 0   | 0   | 0  |
| >>>>>>>>>>>>>>>>>>TFCS 4   | (TF0, TF1)  | (TF3, TF0)  | (TF3, TF2, TF0, TF0)                               |
| >>>>>>>>>>>>>>>>>>>ctfc  | 3   | 3   | 15   |
| >>>>>>>>>>>>>>>>>>>>gainFactorInformation                          | Computed  | Computed  | Computed   |
| >>>>>>>>>>>>>>>>>>>>>> $\beta$ c (FDD only)                        | N/A   | N/A   | N/A  |
| >>>>>>>>>>>>>>>>>>>>>> $\beta$ d                                   | N/A   | N/A   | N/A  |
| >>>>>>>>>>>>>>>>>>>>>>>>referenceTFCLid                            | 0   | 0   | 0  |
| >>>>>>>>>>>>>>>>>>>>>>>>TFCS 5                                     | (TF1, TF1)  | (TF4, TF0)  | (TF4, TF3, TF0, TF0)                               |
| >>>>>>>>>>>>>>>>>>>>>>>>>ctfc                                      | 4   | 4   | 22   |
| >>>>>>>>>>>>>>>>>>>>>>>>>>gainFactorInformation                    | Computed  | Computed  | Computed   |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>referenceTFCLid                        | 0   | 0   | 0  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>TFCS 6                                 | (TF2, TF1)  | (TF0, TF1)  | (TF5, TF4, TF1, TF0)                               |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>ctfc                                  | 5   | 5   | 59   |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>gainFactorInformation                | Signalled   | Computed  | Computed   |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>> $\beta$ c (FDD only)              | 8   | N/A   | N/A  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>> $\beta$ d                         | 15  | N/A   | N/A  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>referenceTFCLid                  | 0   | 0   | 0  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>TFCS 7                           |   | (TF1, TF1)  | (TF0,TF0,TF0,TF1)                                  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>ctfc                            |   | 6   | 60   |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>gainFactorInformation          |   | Computed  | Computed   |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>referenceTFCLid              |   | 0   | 0  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>TFCS 8                      |   | (TF2, TF1)  | (TF1,TF0,TF0,TF1)                                  |
| >>ctfc                       |   | 7   | 61   |
| >>>gainFactorInformation     |   | Computed  | Computed   |
| >>referenceTFCLid          |   | 0   | 0  |
| >>>TFCS 9                  |   | (TF3, TF1)  | (TF2,TF1,TF0,TF1)                                  |
| >>ctfc                   |   | 8   | 68   |
| >>>gainFactorInformation |   | Computed  | Computed   |

| Configuration                      | 28.8 kbps<br>streaming CS-<br>data +<br>3.4 kbps signalling | 57.6 kbps<br>streaming CS-<br>data +<br>3.4 kbps signalling | 12.2 kbps<br>speech(multimode<br>) +<br>3.4 kbps signalling |
|------------------------------------|---|---|---|
| >>>>>>referenceTFClId              |   | 0   | 0   |
| >>>>>TFCS 10                       |   | (TF4, TF1)  | (TF3,TF2,TF0,TF1)   |
| >>>>>>ctfc                         |   | 9   | 75  |
| >>>>>>gainFactorInformation        |   | Signalled   | Computed  |
| >>>>>> $\beta$ c (FDD only)        |   | 8   | N/A   |
| >>>>>> $\beta$ d                   |   | 15  | N/A   |
| >>>>>>referenceTFClId              |   | 0   | 0   |
| >>>>>TFCS 11                       |   |   | (TF4,TF3,TF0,TF1)   |
| >>>>>>ctfc                         |   |   | 82  |
| >>>>>>gainFactorInformation        |   |   | Computed  |
| >>>>>>referenceTFClId              |   |   | 0   |
| >>>>>TFCS 12                       |   |   | (TF5,TF4,TF1,TF1)   |
| >>>>>>ctfc                         |   |   | 119   |
| >>>>>>gainFactorInformation        |   |   | Signalled   |
| >>>>>> $\beta$ c (FDD only)        |   |   | 11  |
| >>>>>> $\beta$ d                   |   |   | 15  |
| >>>>>>referenceTFClId              |   |   | 0   |
| dl-CommonTransChInfo               |   |   |   |
| >tfcs-SignallingMode               | Same as UL  | Same as UL  | Same as UL  |
| PhyCH INFORMATION<br>FDD           |   |   |   |
| UL-DPCH-InfoPredef                 |   |   |   |
| >ul-DPCH-<br>PowerControllInfo     |   |   |   |
| >>powerControlAlgorithm            | Algorithm 1   | Algorithm 1   | Algorithm 1   |
| >>>tpcStepSize                     | 1   | 1   | 1   |
| >tfci-Existence                    | TRUE  | TRUE  | TRUE  |
| >puncturingLimit                   | 1   | 1   | 0.88  |
| DL-<br>CommonInformationPredef     |   |   |   |
| >dl-DPCH-InfoCommon                |   |   |   |
| >>spreadingFactor                  | 64  | 32  | 128   |
| >>tfci-Existence                   | TRUE  | TRUE  | FALSE   |
| >>pilotBits                        | 8   | 8   | 4   |
| >>positionFixed                    | Flexible  | Flexible  | Fixed   |
| PhyCH INFORMATION<br>3.84 Mcps TDD |   |   |   |
| UL-DPCH-InfoPredef                 |   |   |   |
| >ul-DPCH-<br>PowerControllInfo     |   |   |   |
| >>dpch-ConstantValue               | 0   | 0   | 0   |
| >commonTimeslotInfo                |   |   |   |
| >>secondInterleavingMode           | frameRelated  | frameRelated  | frameRelated  |
| >>tfci-Coding                      | 16  | 16  | 16  |
| >>puncturingLimit                  | 0.44  | 0.48  | 0.88  |
| >>repetitionPeriodAndLength        | repetitionPeriod1   | repetitionPeriod1   | repetitionPeriod1   |
| DL-<br>CommonInformationPredef     |   |   |   |
| >dl-DPCH-InfoCommon                |   |   |   |
| >>commonTimeslotInfo               |   |   |   |
| >>>secondInterleavingMode          | frameRelated  | frameRelated  | frameRelated  |

| Configuration                      | 28.8 kbps<br>streaming CS-<br>data +<br>3.4 kbps signalling | 57.6 kbps<br>streaming CS-<br>data +<br>3.4 kbps signalling | 12.2 kbps<br>speech(multimode<br>) +<br>3.4 kbps signalling |
|------------------------------------|---|---|---|
| >>>tfc-Coding                      | 16  | 16  | 16  |
| >>>puncturingLimit                 | 0.44  | 0.48  | 0.92  |
| >>>repetitionPeriodAndLength       | repetitionPeriod1   | repetitionPeriod1   | repetitionPeriod1   |
| PhyCH INFORMATION<br>1.28 Mcps TDD |   |   |   |
| UL-DPCH-InfoPredef                 |   |   |   |
| >commonTimeslotInfo                |   |   |   |
| >>secondInterleavingMode           | frameRelated  | frameRelated  |   |
| >>tfc-Coding                       | 16  | 16  |   |
| >>puncturingLimit                  | 0.64  | 0.72  |   |
| >>repetitionPeriodAndLength        | repetitionPeriod1   | repetitionPeriod1   |   |
| DL-<br>CommonInformationPredef     |   |   |   |
| >dl-DPCH-InfoCommon                |   |   |   |
| >>commonTimeslotInfo               |   |   |   |
| >>>secondInterleavingMode          | frameRelated  | frameRelated  | frameRelated  |
| >>>tfc-Coding                      | 16  | 16  | 16  |
| >>>puncturingLimit                 | 0.64  | 0.72  | 0.92  |
| >>>repetitionPeriodAndLength       | repetitionPeriod1   | repetitionPeriod1   | repetitionPeriod1   |

| Configuration                  | 10.2/6.7/5.9/4.75<br>kbps speech +<br>3.4 kbps signalling  | 7.4/6.7/5.9/4.75<br>kbps speech +<br>3.4 kbps signalling   |
|--------------------------------|--|--|
| Ref 34.108                     | N/A  | N/A  |
| Default configuration identity | 11   | 12   |
| <b>RB INFORMATION</b>          |  |  |
| rb-Identity                    | RB1: 1, RB2: 2,<br>RB3: 3, RB5: 5,<br>RB6: 6, RB7: 7,<br>RB8: 8  | RB1: 1, RB2: 2,<br>RB3: 3, RB5: 5,<br>RB6: 6, RB7: 7   |
| rlc-InfoChoice                 | Rlc-info   | Rlc-info   |
| >ul-RLC-Mode                   | RB1: UM<br>RB2- RB3: AM<br>RB5-RB7: TM   | RB1: UM<br>RB2- RB3: AM<br>RB5-RB6: TM   |
| >>transmissionRLC-DiscardMode  | RB1: N/A<br>RB2- RB3:<br>NoDiscard<br>RB5- RB7: N/A  | RB1: N/A<br>RB2- RB3:<br>NoDiscard<br>RB5- RB6: N/A  |
| >>>maxDat                      | RB1: N/A<br>RB2- RB3: 15<br>RB5- RB7: N/A  | RB1: N/A<br>RB2- RB3: 15<br>RB5- RB6: N/A  |
| >>transmissionWindowSize       | RB1: N/A<br>RB2- RB3: 128 for<br>UEs with more than<br>10 kbyte "total RLC<br>AM buffer size" and<br>32 otherwise<br>RB5- RB7: N/A | RB1: N/A<br>RB2- RB3: 128 for<br>UEs with more than<br>10 kbyte "total RLC<br>AM buffer size" and<br>32 otherwise<br>RB5- RB6: N/A |
| >>timerRST                     | RB1: N/A<br>RB2- RB3: 300<br>RB5- RB7: N/A   | RB1: N/A<br>RB2- RB3: 300<br>RB5- RB6: N/A   |
| >>max-RST                      | RB1: N/A<br>RB2- RB3: 1<br>RB5- RB7: N/A   | RB1: N/A<br>RB2- RB3: 1<br>RB5- RB6: N/A   |
| >>pollingInfo                  | RB1: N/A<br>RB2- RB3: as below<br>RB5- RB7: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5- RB6: N/A  |
| >>>lastTransmissionPDU-Poll    | RB2- RB3: FALSE  | RB2- RB3: FALSE  |
| >>>lastRetransmissionPDU-Poll  | RB2- RB3: FALSE  | RB2- RB3: FALSE  |
| >>>timerPollPeriodic           | RB2- RB3: 300  | RB2- RB3: 300  |
| >>segmentationIndication       | RB1- RB3: N/A<br>RB5- RB7: FALSE   | RB1- RB3: N/A<br>RB5- RB6: FALSE   |
| >dl-RLC-Mode                   | RB1: UM<br>RB2- RB3: AM<br>RB5- RB7: TM<br>RB8: TM   | RB1: UM<br>RB2- RB3: AM<br>RB5- RB6: TM<br>RB7: TM   |
| >>inSequenceDelivery           | RB1: N/A<br>RB2- RB3: TRUE<br>RB5- RB8: N/A  | RB1: N/A<br>RB2- RB3: TRUE<br>RB5- RB7: N/A  |
| >>receivingWindowSize          | RB1: N/A<br>RB2- RB3: 128 for<br>UEs with more than<br>10 kbyte "total RLC<br>AM buffer size" and<br>32 otherwise<br>RB5- RB8: N/A | RB1: N/A<br>RB2- RB3: 128 for<br>UEs with more than<br>10 kbyte "total RLC<br>AM buffer size" and<br>32 otherwise<br>RB5- RB7: N/A |
| >>dl-RLC-StatusInfo            | RB1: N/A<br>RB2- RB3: as below<br>RB5- RB8: N/A  | RB1: N/A<br>RB2- RB3: as below<br>RB5- RB7: N/A  |
| >>>timerStatusProhibit         | RB2- RB3: 100  | RB2- RB3: 100  |
| >>>missingPDU-Indicator        | RB2- RB3: FALSE  | RB2- RB3: FALSE  |
| >>>timerStatusPeriodic         | RB2- RB3: 300  | RB2- RB3: 300  |



|                                |   |  |
|--------------------------------|---|--|
| >>segmentationIndication       | RB1- RB3: N/A<br>RB5- RB8: FALSE  | RB1- RB3: N/A<br>RB5- RB7: FALSE   |
| rb-MappingInfo                 |   |  |
| >UL-LogicalChannelMappings     | OneLogicalChannel   | OneLogicalChannel  |
| >>ul-TransportChannelType      | Dch   | Dch  |
| >>>transportChannelIdentity    | RB1- RB3: 4<br>RB5: 1, RB6: 2,<br>RB7: 3,   | RB1- RB3: 3<br>RB5: 1, RB6: 2  |
| >>logicalChannelIdentity       | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB7: N/A  | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB6: N/A   |
| >>rlc-SizeList                 | RB1- RB3:<br>configured<br>RB5- RB7: N/A  | RB1- RB3:<br>configured<br>RB5- RB6: N/A   |
| >>mac-LogicalChannelPriority   | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB7: 5  | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB6: 5   |
| >DL-logicalChannelMappingList  |   |  |
| >>Mapping option 1             | One mapping option  | One mapping option   |
| >>>dl-TransportChannelType     | Dch   | Dch  |
| >>>>transportChannelIdentity   | RB1- RB3: 4<br>RB5: 1, RB6: 2,<br>RB7: 3, RB8: 5  | RB1- RB3: 3<br>RB5: 1, RB6: 2,<br>RB7:4  |
| >>>logicalChannelIdentity      | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB8: N/A  | RB1: 1, RB2: 2,<br>RB3: 3<br>RB5- RB7: N/A   |
| TrCH INFORMATION PER TrCH      |   |  |
| UL-AddReconfTransChInfoList    |   |  |
| >Uplink transport channel type | dch   | dch  |
| >transportChannelIdentity      | TrCH1: 1, TrCH2: 2,<br>TrCH3: 3, TrCH4: 4   | TrCH1: 1, TrCH2: 2,<br>TrCH3: 3  |
| >transportFormatSet            | DedicatedTransChTFS   | DedicatedTransChTFS  |
| >>dynamicTF-information        |   |  |
| >>>tf0/ tf0,1                  | TrCH1: (0x65)<br>TrCH2: (0x 99)<br>TrCH3: (0x 40,<br>1x40)<br>TrCH4: (0x144,<br>1x144)                          | TrCH1: (0x61)<br>TrCH2: (0x 87)<br>TrCH3: (0x 144,<br>1x144)                               |
| >>>>rlcSize                    | BitMode   | BitMode  |
| >>>>>sizeType                  | TrCH1: type 1: 65<br>TrCH2: type 1: 99<br>TrCH3: type 1: 40<br>TrCH4: 2: type 2,<br>part1= 2, part2= 0<br>(144) | TrCH1: type 1: 61<br>TrCH2: type 1: 87<br>TrCH3: 2: type 2,<br>part1= 2, part2= 0<br>(144) |
| >>>>numberOfTbSizeList         | TrCH1-2: Zero<br>TrCH3-4: Zero, one   | TrCH1-2: Zero<br>TrCH3: Zero, one  |
| >>>>logicalChannelList         | All   | All  |
| >>>>tf 1                       | TrCH1: (1x39)<br>TrCH2: (1x 53)<br>TrCH3- TrCH4: N/A  | TrCH1: (1x39)<br>TrCH2: (1x53)<br>TrCH3: N/A   |
| >>>>>numberOfTransportBlocks   | TrCH1: One<br>TrCH2: One  | TrCH1: One<br>TrCH2: One   |
| >>>>>rlc-Size                  | TrCH1-2: BitMode  | TrCH1-2: BitMode   |
| >>>>>>sizeType                 | TrCH1: 1: 39<br>TrCH2: 1: 53  | TrCH1: 1: 39<br>TrCH1: 1: 53   |
| >>>>>>numberOfTbSizeList       | TrCH1-2: One  | TrCH1-2: One   |

|                                  |   |  |
|----------------------------------|---|--|
| >>>>logicalChannelList           | TrCH1: all  | TrCH1: all                                   |
| >>>tf 2                          | TrCH1: (1x42)<br>TrCH2: (1x63)<br>TrCH3- TrCH4: N/A   | TrCH1: (1x42)<br>TrCH2: (1x63)<br>TrCH3: N/A |
| >>>>numberOfTransportBlocks      | TrCH1: One<br>TrCh2: One                              | TrCH1: One<br>TrCh2: One                     |
| >>>>rlc-Size                     | TrCH1: BitMode  | TrCH1: BitMode                               |
| >>>>>sizeType                    | TrCH1: type 1: 42<br>TrCH2: type 1: 63                | TrCH1: type 1: 42<br>TrCH2: type 1: 63       |
| >>>>numberOfTbSizeList           | TrCH1: One<br>TrCH2: One                              | TrCH1: One<br>TrCH2: One                     |
| >>>>logicalChannelList           | TrCH1: all<br>TrCH2: all                              | TrCH1: all<br>TrCH2: all                     |
| >>>tf 3                          | TrCH1: (1x55)<br>TrCH2: (1x76)<br>TrCH3- TrCH4: N/A   | TrCH1: (1x55)<br>TrCH2: (1x76)<br>TrCH3: N/A |
| >>>>numberOfTransportBlocks      | TrCH1: One<br>TrCh2: One                              | TrCH1: One<br>TrCh2: One                     |
| >>>>rlc-Size                     | TrCH1: BitMode  | TrCH1: BitMode                               |
| >>>>>sizeType                    | TrCH1: type 1: 55<br>TrCH2: type 1: 76                | TrCH1: type 1: 55<br>TrCH2: type 1: 76       |
| >>>>numberOfTbSizeList           | TrCH1: One<br>TrCH2: One                              | TrCH1: One<br>TrCH2: One                     |
| >>>>logicalChannelList           | TrCH1: all<br>TrCH2: all                              | TrCH1: all<br>TrCH2: all                     |
| >>>tf 4                          | TrCH1: (1x58)<br>TrCH2: (1x99)<br>TrCH3- TrCH4: N/A   | TrCH1: (1x58)<br>TrCH2: (1x87)<br>TrCH3: N/A |
| >>>>numberOfTransportBlocks      | TrCH1: One<br>TrCh2: One                              | TrCH1: One<br>TrCh2: One                     |
| >>>>rlc-Size                     | TrCH1: BitMode  | TrCH1: BitMode                               |
| >>>>>sizeType                    | TrCH1: type 1: 58<br>TrCH2: type 1: 99                | TrCH1: type 1: 58<br>TrCH2: type 1: 87       |
| >>>>numberOfTbSizeList           | TrCH1: One<br>TrCH2: One                              | TrCH1: One<br>TrCH2: One                     |
| >>>>logicalChannelList           | TrCH1: all<br>TrCH2: all                              | TrCH1: all<br>TrCH2: all                     |
| >>>tf 5                          | TrCH1: (1x65)<br>TrCH2- TrCH4: N/A                    | TrCH1: (1x61)<br>TrCH2- TrCH4: N/A           |
| >>>>numberOfTransportBlocks      | TrCH1: One  | TrCH1: One                                   |
| >>>>rlc-Size                     | TrCH1: BitMode  | TrCH1: BitMode                               |
| >>>>>sizeType                    | TrCH1: type 1: 65                                     | TrCH1: type 1: 61                            |
| >>>>numberOfTbSizeList           | TrCH1: One  | TrCH1: One                                   |
| >>>>logicalChannelList           | TrCH1: all  | TrCH1: all                                   |
| >>semistaticTF-Information       |   |  |
| >>>tti                           | TrCH1- TrCH3: 20<br>TrCH4: 40                         | TrCH1- TrCH2: 20<br>TrCH3: 40                |
| >>>channelCodingType             | Convolutional   | Convolutional                                |
| >>>>codingRate                   | TrCH1- TrCH2:<br>Third<br>TrCH3: Half<br>TrCH4: Third | TrCH1- TrCH2:<br>Third<br>TrCH3: Third       |
| >>>rateMatchingAttribute         | TrCH1: 200<br>TrCH2: 190<br>TrCH3: 235<br>TrCH4: 160  | TrCH1: 200<br>TrCH2: 190<br>TrCH3: 160       |
| >>>crc-Size                      | TrCH1: 12<br>TrCH2- TrCH3: 0<br>TrCH4: 16             | TrCH1: 12<br>TrCH2: 0<br>TrCH3: 16           |
| DL-AddReconfTransChInfoList      |   |  |
| >Downlink transport channel type | dch   | dch  |
| >dl-TransportChannelIdentity     |   |  |

|                                       |   |   |
|---------------------------------------|---|---|
| >tfS-SignallingMode                   | Independent<br><Only tf0 on TrCH1 and tf0/TF1 on TrCH5 are different and shown below> | Independent<br><Only tf0 on TrCH1 and tf0/TF1 on TrCH4 are different and shown below> |
| >>transportFormatSet                  |   |   |
| >>>dynamicTF-information              |   |   |
| >>>>tf0/ tf0,1                        | TrCH1: (1x0)<br>TrCH5: (0x3, 1x3)   | TrCH1: (1x0)<br>TrCH4: (0x3, 1x3)   |
| >>>>rlcSize                           | BitMode   | bitMode   |
| >>>>>sizeType                         | TrCH1: type 1: 0<br>TrCH5: type 1: 3  | TrCH1: type 1: 0<br>TrCH4: type 1: 3  |
| >>>>>numberOfTbSizeList               | TrCH1: One<br>TrCH5: Zero, one  | TrCH1: One<br>TrCH4: Zero, one  |
| >>>>>logicalChannelList               | All   | All   |
| >>>>semistaticTF-Information          | same as UL except for TrCH5   | same as UL except for TrCH4   |
| >>>>>tti                              | TrCH5: 20   | TrCH4: 20   |
| >>>>>channelCodingType                | Convolutional   | Convolutional   |
| >>>>>>codingRate                      | TrCH5: Third  | TrCH4: Third  |
| >>>>>>rateMatchingAttribute           | TrCH5: 200  | TrCH4: 200  |
| >>>>>>crc-Size                        | TrCH5: 12   | TrCH4: 12   |
| >>ULTrCH-Id                           | TrCH1: 1, TrCH2: 2,<br>TrCH3: 3, TrCH4: 4,  | TrCH1: 1, TrCH2: 2,<br>TrCH3: 3   |
| >dch-QualityTarget                    |   |   |
| >>bler-QualityValue                   | TrCH1: $7 \times 10^{-3}$<br>TrCH2- TrCH5:<br>Absent                                  | TrCH1: $7 \times 10^{-3}$<br>TrCH2- TrCH4:<br>Absent                                  |
| TrCH INFORMATION,<br>COMMON           |   |   |
| ul-CommonTransChInfo                  |   |   |
| >tfcs-ID (TDD only)                   | 1   | 1   |
| >sharedChannelIndicator<br>(TDD only) | FALSE   | FALSE   |
| > tfc-Subset                          | Absent, not required  | Absent, not required  |
| >ul-TFCS                              | Normal TFCl<br>signalling   | Normal TFCl<br>signalling   |
| >>explicitTFCS-<br>ConfigurationMode  | Complete  | Complete  |
| >>>ctfcSize                           | Ctfc8Bit  | Ctfc6Bit  |
| >>>>TFCS representation               | Addition  | Addition  |
| >>>>>TFC list                         |   |   |
| >>>>>>TFC 1                           | (TF0, TF0, TF0,<br>TF0)   | (TF0, TF0, TF0)   |
| >>>>>>>ctfc                           | 0   | 0   |
| >>>>>>>>gainFactorInform<br>ation     | Computed  | Computed  |
| >>>>>>>>referenceTFCId                | 0   | 0   |
| >>>>>>>>TFC 2                         | (TF1, TF0, TF0,<br>TF0)   | (TF1, TF0, TF0)   |
| >>>>>>>>ctfc                          | 1   | 1   |
| >>>>>>>>>gainFactorInform<br>ation    | Computed  | Computed  |
| >>>>>>>>> $\beta_c$ (FDD only)        | N/A   | N/A   |
| >>>>>>>>> $\beta_d$                   | N/A   | N/A   |
| >>>>>>>>>>referenceTFCId              | 0   | 0   |
| >>>>>>>>>>TFC 3                       | (TF2, TF1, TF0,<br>TF0)   | (TF2, TF1, TF0)   |
| >>>>>>>>>>ctfc                        | 8   | 8   |
| >>>>>>>>>>>gainFactorInform<br>ation  | Computed  | Computed  |
| >>>>>>>>>>>referenceTFCId             | 0   | 0   |
| >>>>>>>>>>>TFC 4                      | (TF3, TF2, TF0,<br>TF0)   | (TF3, TF2, TF0)   |
| >>>>>>>>>>>>ctfc                      | 15  | 15  |

|                              |                      |                   |
|------------------------------|----------------------|-------------------|
| >>>>>>>gainFactorInformation | Computed             | Computed          |
| >>>>>>> $\beta$ c (FDD only) |                      |                   |
| >>>>>>> $\beta$ d            |                      |                   |
| >>>>>>>referenceTFCId        | 0                    | 0                 |
| >>>>>>>TFC 5                 | (TF4, TF3, TF0, TF0) | (TF4, TF3, TF0)   |
| >>>>>>>ctfc                  | 22                   | 22                |
| >>>>>>>gainFactorInformation | Computed             | Computed          |
| >>>>>>>referenceTFCId        | 0                    | 0                 |
| >>>>>>>TFC 6                 | (TF5, TF4, TF1, TF0) | (TF5, TF4, TF0)   |
| >>>>>>>ctfc                  | 59                   | 29                |
| >>>>>>>gainFactorInformation | Computed             | Computed          |
| >>>>>>> $\beta$ c (FDD only) |                      |                   |
| >>>>>>> $\beta$ d            |                      |                   |
| >>>>>>>referenceTFCId        | 0                    | 0                 |
| >>>>>>>TFC 7                 | (TF0, TF0, TF0, TF1) | (TF0, TF0, TF1)   |
| >>>>>>>ctfc                  | 60                   | 30                |
| >>>>>>>gainFactorInformation | Computed             | Computed          |
| >>>>>>>referenceTFCId        | 0                    | 0                 |
| >>>>>>>TFC 8                 | (TF1, TF0, TF0, TF1) | (TF1, TF0, TF1)   |
| >>>>>>>ctfc                  | 61                   | 31                |
| >>>>>>>gainFactorInformation | computed             | computed          |
| >>>>>>> $\beta$ c (FDD only) |                      |                   |
| >>>>>>> $\beta$ d            |                      |                   |
| >>>>>>>referenceTFCId        | 0                    | 0                 |
| >>>>>>>TFC 9                 | (TF2, TF1, TF0, TF1) | (TF2, TF1, TF1)   |
| >>>>>>>ctfc                  | 68                   | 38                |
| >>>>>>>gainFactorInformation | computed             | computed          |
| >>>>>>>referenceTFCId        | 0                    | 0                 |
| >>>>>>>TFC 10                | (TF3, TF2, TF0, TF1) | (TF3, TF2, TF1)   |
| >>>>>>>ctfc                  | 75                   | 45                |
| >>>>>>>gainFactorInformation | computed             | computed          |
| >>>>>>> $\beta$ c (FDD only) |                      |                   |
| >>>>>>> $\beta$ d            |                      |                   |
| >>>>>>>referenceTFCId        | 0                    | 0                 |
| >>>>>>>TFC 11                | (TF4, TF3, TF0, TF1) | (TF4, TF3, TF1)   |
| >>>>>>>ctfc                  | 82                   | 52                |
| >>>>>>>gainFactorInformation | computed             | computed          |
| >>>>>>>referenceTFCId        | 0                    | 0                 |
| >>>>>>>TFC 12                | (TF5, TF4, TF1, TF1) | (TF5, TF4, TF1)   |
| >>>>>>>ctfc                  | 97                   | 59                |
| >>>>>>>gainFactorInformation | signalled            | signalled         |
| >>>>>>> $\beta$ c (FDD only) | 11                   | 11                |
| >>>>>>> $\beta$ d            | 15                   | 15                |
| >>>>>>>referenceTFCId        | 0                    | 0                 |
| > TFC subset list            |                      |                   |
| >>TFC subset 1               | (speech rate 10.2)   | (speech rate 7.4) |

|   |                                       |                                       |
|---|---------------------------------------|---------------------------------------|
| >>> Allowed transport format combination list | (TFC1, TFC2, TFC7, TFC8, TFC6, TFC12) | (TFC1, TFC2, TFC7, TFC8, TFC6, TFC12) |
| >>TFC subset 2                                | (speech rate 6.7)                     | (speech rate 6.7)                     |
| >>> Allowed transport format combination list | (TFC1, TFC2, TFC7, TFC8, TFC5, TFC11) | (TFC1, TFC2, TFC7, TFC8, TFC5, TFC11) |
| >>TFC subset 3                                | (speech rate 5.9)                     | (speech rate 5.9)                     |
| >>> Allowed transport format combination list | (TFC1, TFC2, TFC7, TFC8, TFC4, TFC10) | (TFC1, TFC2, TFC7, TFC8, TFC4, TFC10) |
| >>TFC subset 4                                | (speech rate 4.75)                    | (speech rate 4.75)                    |
| >>> Allowed transport format combination list | (TFC1, TFC2, TFC7, TFC8, TFC3, TFC9)  | (TFC1, TFC2, TFC7, TFC8, TFC3, TFC9)  |
| dl-CommonTransChInfo                          |                                       |                                       |
| >tfc-SignallingMode                           | Independent                           | Independent                           |
| >tfc-ID (TDD only)                            | 1                                     | 1                                     |
| >sharedChannelIndicator (TDD only)            | FALSE                                 | FALSE                                 |
| > tfc-Subset                                  | Absent, not required                  | Absent, not required                  |
| >dl-TFCS                                      | Normal TFCI signalling                | Normal TFCI signalling                |
| >>explicitTFCS-ConfigurationMode              | Complete                              | Complete                              |
| >>>ctfcSize                                   | Ctfc8Bit                              | Ctfc8Bit                              |
| >>>>TFCS representation                       | Addition                              | Addition                              |
| >>>>>TFCS list                                |                                       |                                       |
| >>>>>>TFC 1                                   | (TF0, TF0, TF0, TF0, TF0)             | (TF0, TF0, TF0, TF0)                  |
| >>>>>>>ctfc                                   | 0                                     | 0                                     |
| >>>>>>>TFC 2                                  | (TF1, TF0, TF0, TF0, TF0)             | (TF1, TF0, TF0, TF0)                  |
| >>>>>>>>ctfc                                  | 1                                     | 1                                     |
| >>>>>>>>TFC 3                                 | (TF2, TF1, TF0, TF0, TF0)             | (TF2, TF1, TF0, TF0)                  |
| >>>>>>>>>ctfc                                 | 8                                     | 8                                     |
| >>>>>>>>>TFC 4                                | (TF3, TF2, TF0, TF0, TF0)             | (TF3, TF2, TF0, TF0)                  |
| >>>>>>>>>>ctfc                                | 15                                    | 15                                    |
| >>>>>>>>>>>TFC 5                              | (TF4, TF3, TF0, TF0, TF0)             | (TF4, TF3, TF0, TF0)                  |
| >>>>>>>>>>>>ctfc                              | 22                                    | 22                                    |
| >>>>>>>>>>>>>TFC 6                            | (TF5, TF4, TF1, TF0, TF0)             | (TF5, TF4, TF0, TF0)                  |
| >>>>>>>>>>>>>>ctfc                            | 59                                    | 29                                    |
| >>>>>>>>>>>>>>>TFC 7                          | (TF0, TF0, TF0, TF1, TF0)             | (TF0, TF0, TF1, TF0)                  |
| >>>>>>>>>>>>>>>>ctfc                          | 60                                    | 30                                    |
| >>>>>>>>>>>>>>>>>TFC 8                        | (TF1, TF0, TF0, TF1, TF0)             | (TF1, TF0, TF1, TF0)                  |
| >>>>>>>>>>>>>>>>>>ctfc                        | 61                                    | 31                                    |
| >>>>>>>>>>>>>>>>>>>TFC 9                      | (TF2, TF1, TF0, TF1, TF0)             | (TF2, TF1, TF1, TF0)                  |
| >>>>>>>>>>>>>>>>>>>>ctfc                      | 68                                    | 37                                    |
| >>>>>>>>>>>>>>>>>>>>>TFC 10                   | (TF3, TF2, TF0, TF1, TF0)             | (TF3, TF2, TF1, TF0)                  |
| >>>>>>>>>>>>>>>>>>>>>>ctfc                    | 75                                    | 55                                    |
| >>>>>>>>>>>>>>>>>>>>>>>TFC 11                 | (TF4, TF3, TF0, TF1, TF0)             | (TF4, TF3, TF1, TF0)                  |
| >>>>>>>>>>>>>>>>>>>>>>>>ctfc                  | 82                                    | 52                                    |
| >>>>>>>>>>>>>>>>>>>>>>>>>TFC 12               | (TF5, TF4, TF1, TF1, TF0)             | (TF5, TF4, TF1, TF0)                  |
| >>>>>>>>>>>>>>>>>>>>>>>>>>>ctfc               | 119                                   | 59                                    |

|                                    |                              |                         |
|------------------------------------|------------------------------|-------------------------|
| >>>>>TFC 13                        | (TF0, TF0, TF0,<br>TF0, TF1) | (TF0, TF0, TF0,<br>TF1) |
| >>>>>>ctfc                         | 120                          | 60                      |
| >>>>>TFC 14                        | (TF1, TF0, TF0,<br>TF0, TF1) | (TF1, TF0, TF0,<br>TF1) |
| >>>>>>ctfc                         | 121                          | 61                      |
| >>>>>TFC 15                        | (TF2, TF1, TF0,<br>TF0, TF1) | (TF2, TF1, TF0,<br>TF1) |
| >>>>>>ctfc                         | 128                          | 68                      |
| >>>>>TFC 16                        | (TF3, TF2, TF0,<br>TF0, TF1) | (TF3, TF2, TF0,<br>TF1) |
| >>>>>>ctfc                         | 135                          | 75                      |
| >>>>>TFC 17                        | (TF4, TF3, TF0,<br>TF0, TF1) | (TF4, TF3, TF0,<br>TF1) |
| >>>>>>ctfc                         | 152                          | 82                      |
| >>>>>TFC 18                        | (TF5, TF4, TF1,<br>TF0, TF1) | (TF5, TF4, TF0,<br>TF1) |
| >>>>>>ctfc                         | 189                          | 89                      |
| >>>>>TFC 19                        | (TF0, TF0, TF0,<br>TF1, TF1) | (TF0, TF0, TF1,<br>TF1) |
| >>>>>>ctfc                         | 180                          | 90                      |
| >>>>>TFC 20                        | (TF1, TF0, TF0,<br>TF1, TF1) | (TF1, TF0, TF1,<br>TF1) |
| >>>>>>ctfc                         | 181                          | 91                      |
| >>>>>TFC 21                        | (TF2, TF1, TF0,<br>TF1, TF1) | (TF2, TF1, TF1,<br>TF1) |
| >>>>>>ctfc                         | 188                          | 98                      |
| >>>>>TFC 22                        | (TF3, TF2, TF0,<br>TF1, TF1) | (TF3, TF2, TF1,<br>TF1) |
| >>>>>>ctfc                         | 195                          | 105                     |
| >>>>>TFC 23                        | (TF4, TF3, TF0,<br>TF1, TF1) | (TF4, TF3, TF1,<br>TF1) |
| >>>>>>ctfc                         | 239                          | 112                     |
| >>>>>TFC 24                        | (TF5, TF4, TF1,<br>TF1, TF1) | (TF5, TF4, TF1,<br>TF1) |
| >>>>>>ctfc                         | 218                          | 119                     |
| PhyCH INFORMATION<br>FDD           |                              |                         |
| UL-DPCH-InfoPredef                 |                              |                         |
| >ul-DPCH-<br>PowerControlInfo      |                              |                         |
| >>powerControlAlgorithm            | Algorithm 1                  | Algorithm 1             |
| >>>tpcStepSize                     | 1                            | 1                       |
| >tfc-Existence                     | TRUE                         | TRUE                    |
| >puncturingLimit                   | 0.88                         | 0.88                    |
| DL-<br>CommonInformationPredef     |                              |                         |
| >dl-DPCH-InfoCommon                |                              |                         |
| >>spreadingFactor                  | 128                          | 128                     |
| >>tfc-Existence                    | FALSE                        | FALSE                   |
| >>pilotBits                        | 4                            | 4                       |
| >>positionFixed                    | Fixed                        | Fixed                   |
|                                    |                              |                         |
| PhyCH INFORMATION<br>3.84 Mcps TDD |                              |                         |
| UL-DPCH-InfoPredef                 |                              |                         |
| >ul-DPCH-<br>PowerControlInfo      |                              |                         |
| >>dpch-ConstantValue               | 0                            | 0                       |
| >commonTimeslotInfo                |                              |                         |
| >>secondInterleavingMode           | frameRelated                 | frameRelated            |
| >>tfc-Coding                       | 16                           | 16                      |
| >>puncturingLimit                  | 0.60                         | 0.60                    |
| >>repetitionPeriodAndLeng<br>th    | repetitionPeriod1            | repetitionPeriod1       |

|                                    |                   |                   |
|------------------------------------|-------------------|-------------------|
| DL-CommonInformationPredef         |                   |                   |
| >dl-DPCH-InfoCommon                |                   |                   |
| >>commonTimeslotInfo               |                   |                   |
| >>>secondInterleavingMode          | frameRelated      | frameRelated      |
| >>>tfc-Coding                      | 16                | 16                |
| >>>puncturingLimit                 | 0.60              | 0.60              |
| >>>repetitionPeriodAndLength       | repetitionPeriod1 | repetitionPeriod1 |
| PhyCH INFORMATION<br>1.28 Mcps TDD |                   |                   |
| UL-DPCH-InfoPredef                 |                   |                   |
| >commonTimeslotInfo                |                   |                   |
| >>secondInterleavingMode           | frame Related     | frame Related     |
| >>tfc-Coding                       | 16                | 16                |
| >>puncturingLimit                  | 0.64              | 0.64              |
| >>repetitionPeriodAndLength        | repetitionPeriod1 | repetitionPeriod1 |
| DL-CommonInformationPredef         |                   |                   |
| >dl-DPCH-InfoCommon                |                   |                   |
| >>commonTimeslotInfo               |                   |                   |
| >>>secondInterleavingMode          | frame Related     | frame Related     |
| >>>tfc-Coding                      | 16                | 16                |
| >>>puncturingLimit                 | 0.64              | 0.64              |
| >>>repetitionPeriodAndLength       | repetitionPeriod1 | repetitionPeriod1 |

## 14 Specific functions

### 14.1 Intra-frequency measurements

#### 14.1.1 Intra-frequency measurement quantities

A measurement quantity is used to evaluate whether an intra-frequency event has occurred or not. It can be:

- 1 Downlink  $E_c/N_0$ .
- 2 Downlink path loss.

For FDD:

Pathloss in dB = Primary CPICH Tx power - CPICH RSCP.

For Primary CPICH Tx power the IE "Primary CPICH Tx power" shall be used. The unit is dBm.

CPICH RSCP is the result of the CPICH RSCP measurement. The unit is dBm.

For TDD:

Pathloss in dB = Primary CCPCH TX power - Primary CCPCH RSCP.

For Primary CCPCH TX power the IE "Primary CCPCH TX Power" shall be used. The unit is dBm.

Primary CCPCH RSCP is the result of the Primary CCPCH RSCP measurement. The unit is dBm.

If necessary Pathloss shall be rounded up to the next higher integer.

Results higher than 158 shall be reported as 158.

Results lower than 46 shall be reported as 46.

- 3 Downlink received signal code power (RSCP) after despreading.
- 4 ISCP measured on Timeslot basis.

A description of those values can be found in [7] and [8].

## 14.1.2 Intra-frequency reporting events for FDD

Within the measurement reporting criteria field in the Measurement Control message the UTRAN notifies the UE which events should trigger a measurement report. The listed events are the toolbox from which the UTRAN can choose the reporting events that are needed for the implemented handover evaluation function, or other radio network functions.

All the specified events are measured with respect to any of the measurement quantities given in subclause 14.1.1. The measurement quantities are measured on the monitored primary common pilot channels (CPICH) of the cell defined in the measurement object.

Special mechanisms for the events are illustrated in subclause 14.1.4 and 14.1.5.

NOTE: The events below are numbered 1A, 1B, 1C,... since all intra-frequency reporting events would be labelled 1X, inter-frequency reporting events would be labelled 2X, and so on for the other measurement types.

When one intra-frequency measurement identity corresponds to multiple intra-frequency events with identical event identities, the UE behaviour is not specified.

### 14.1.2.1 Reporting event 1A: A Primary CPICH enters the reporting range

When an intra-frequency measurement configuring event 1a is set up, the UE shall:

- 1> create a variable TRIGGERED\_1A\_EVENT related to that measurement, which shall initially be empty;
- 1> delete this variable when the measurement is released.

When event 1A is configured in the UE, the UE shall:

- 1> if "Measurement quantity" is "pathloss" and Equation 1 below is fulfilled for one or more primary CPICHs, or if "Measurement quantity" is "CPICH Ec/N0" or "CPICH RSCP", and Equation 2 below is fulfilled for one or more primary CPICHs, for each of these primary CPICHs:
  - 2> if all required reporting quantities are available for that cell; and
  - 2> if the equations have been fulfilled for a time period indicated by "Time to trigger", and if that primary CPICH is part of cells allowed to trigger the event according to "Triggering condition 2", and if that primary CPICH is not included in the "cells triggered" in the variable TRIGGERED\_1A\_EVENT:
    - 3> include that primary CPICH in the "cells recently triggered" in the variable TRIGGERED\_1A\_EVENT.
- 1> if the value of "Reporting deactivations threshold" for this event is greater than or equal to the current number of cells in the active set or equal to 0 and any primary CPICHs are stored in the "cells recently triggered" in the variable TRIGGERED\_1A\_EVENT:
  - 2> if "Reporting interval" for this event is not equal to 0:
    - 3> if the IE "Periodical reporting running" in the variable TRIGGERED\_1A\_EVENT is set to FALSE:
      - 4> start a timer with the value of "Reporting interval" for this event and set the IE "Periodical reporting running" in the variable TRIGGERED\_1A\_EVENT to TRUE;
    - 3> set "sent reports" for the primary CPICHs in "cells recently triggered" in the variable TRIGGERED\_1A\_EVENT to 1.
  - 2> send a measurement report with IEs set as below:
    - 3> set in "intra-frequency measurement event results": "Intrafrequency event identity" to "1a"; and



- 3> include in "cell measurement event results" all entries of the "cells recently triggered" in the variable TRIGGERED\_1A\_EVENT in descending order according to the configured measurement quantity taking into account the cell individual offset for each of those cells;
- 3> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset for each cell.
- 2> move all entries from "cells recently triggered" to "cells triggered" in the variable TRIGGERED\_1A\_EVENT.
- 1> if the timer for the periodical reporting has expired:
  - 2> if any primary CPICH is included in the "cells triggered" in the variable TRIGGERED\_1A\_EVENT:
    - 3> if "Reporting interval" for this event is not equal to 0, and if "Amount of reporting" is greater than "sent reports" stored for any of these primary CPICHs, in "cells triggered" in the variable TRIGGERED\_1A\_EVENT:
      - 4> increment the stored counter "sent reports" for all CPICHs in "cell triggered" in variable TRIGGERED\_1A\_EVENT;
      - 4> start a timer with the value of "Reporting interval" for this event;
      - 4> send a measurement report with IEs set as below:
        - 5> set in "intra-frequency measurement event results": "Intrafrequency event identity" to "1a"; and
        - 5> include in "cell measurement event results" all entries of the variable TRIGGERED\_1A\_EVENT with value of IE "sent reports" smaller than value of "Amount of reporting" in descending order according to the configured measurement quantity taking into account the cell individual offset for each of those cells;
        - 5> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset for each cell.
      - 4> if "sent reports" in variable TRIGGERED\_1A\_EVENT is greater than "Amount of reporting" for all entries:
        - 5> set the IE "Periodical Reporting running" in the variable TRIGGERED\_1A\_EVENT to FALSE and disable the timer for the periodical reporting.
  - 1> if "Measurement quantity" is "pathloss" and Equation 3 below is fulfilled for a primary CPICH, or if "Measurement quantity" is "CPICH  $E_c/N_0$ " or "CPICH RSCP", and Equation 4 below is fulfilled for a primary CPICH; or
  - 1> if a primary CPICH is no longer part of the cells allowed to trigger the event according to the "Triggering condition 2":
    - 2> if that primary CPICH is included in the "cells triggered" or "cells recently triggered" in the variable TRIGGERED\_1A\_EVENT:
      - 3> remove the entry of that primary CPICH from "cells triggered" or "cells recently triggered" in the variable TRIGGERED\_1A\_EVENT.
      - 3> if no entry in the variable TRIGGERED\_1A\_EVENT has a value of "sent reports" smaller than "Amount of reporting":
        - 4> stop the reporting interval timer;
        - 4> set the IE "Periodical reporting running" in the variable TRIGGERED\_1A\_EVENT to FALSE.

This event is only applicable to the CELL\_DCH state. When the measurement is setup in CELL\_DCH or upon transition to CELL\_DCH the UE shall:

- 1> if the "Triggering condition 2" includes active set cells:

2> include the primary CPICH of all cells in the current active set into the "cells triggered" in the variable TRIGGERED\_1A\_EVENT with the counter "sent reports" set to "Amount of reporting".

Equation 1 (Triggering condition for pathloss)

$$10 \cdot \text{Log}M_{New} + CIO_{New} \leq W \cdot 10 \cdot \text{Log} \left( 1 / \sum_{i=1}^{N_A} (1 / M_i) \right) + (1 - W) \cdot 10 \cdot \text{Log}M_{Best} + (R_{1a} - H_{1a} / 2),$$

Equation 2 (Triggering condition for all the other measurement quantities)

$$10 \cdot \text{Log}M_{New} + CIO_{New} \geq W \cdot 10 \cdot \text{Log} \left( \sum_{i=1}^{N_A} M_i \right) + (1 - W) \cdot 10 \cdot \text{Log}M_{Best} - (R_{1a} - H_{1a} / 2),$$

Equation 3 (Leaving triggering condition for pathloss)

$$10 \cdot \text{Log}M_{New} + CIO_{New} > W \cdot 10 \cdot \text{Log} \left( 1 / \sum_{i=1}^{N_A} (1 / M_i) \right) + (1 - W) \cdot 10 \cdot \text{Log}M_{Best} + (R_{1a} + H_{1a} / 2),$$

Equation 4 (Leaving triggering condition for all the other measurement quantities)

$$10 \cdot \text{Log}M_{New} + CIO_{New} < W \cdot 10 \cdot \text{Log} \left( \sum_{i=1}^{N_A} M_i \right) + (1 - W) \cdot 10 \cdot \text{Log}M_{Best} - (R_{1a} + H_{1a} / 2),$$

The variables in the formula are defined as follows:

$M_{New}$  is the measurement result of the cell entering the reporting range.

$CIO_{New}$  is the individual cell offset for the cell entering the reporting range if an individual cell offset is stored for that cell. Otherwise it is equal to 0.

$M_i$  is a measurement result of a cell not forbidden to affect reporting range in the active set.

$N_A$  is the number of cells not forbidden to affect reporting range in the current active set.

For pathloss

$M_{Best}$  is the measurement result of the cell not forbidden to affect reporting range in the active set with the lowest measurement result, not taking into account any cell individual offset.

for other measurements quantities.

$M_{Best}$  is the measurement result of the cell not forbidden to affect reporting range in the active set with the highest measurement result, not taking into account any cell individual offset.

$W$  is a parameter sent from UTRAN to UE.

$R_{1a}$  is the reporting range constant.

$H_{1a}$  is the hysteresis parameter for the event 1a.

If the measurement results are pathloss or CPICH-Ec/No then  $M_{New}$ ,  $M_i$  and  $M_{Best}$  are expressed as ratios.

If the measurement result is CPICH-RSCP then  $M_{New}$ ,  $M_i$  and  $M_{Best}$  are expressed in mW.

#### 14.1.2.2 Reporting event 1B: A primary CPICH leaves the reporting range

When an intra-frequency measurement configuring event 1b is set up, the UE shall:

1> create a variable TRIGGERED\_1B\_EVENT related to that measurement, which shall initially be empty;

1> delete this variable when the measurement is released.

When event 1B is configured in the UE, the UE shall:

- 1> if "Measurement quantity" is "pathloss" and Equation 1 below is fulfilled for one or more primary CPICHs, or if "Measurement quantity" is "CPICH Ec/N0" or "CPICH RSCP", and Equation 2 below is fulfilled for one or more primary CPICHs, for each of these primary CPICHs:
  - 2> if all required reporting quantities are available for that cell, and if the equations have been fulfilled for a time period indicated by "Time to trigger", and if that primary CPICH is part of cells allowed to trigger the event according to "Triggering condition 1", and if that primary CPICH is not included in the "cells triggered" in the variable TRIGGERED\_1B\_EVENT:
    - 3> include that primary CPICH in the "cells recently triggered" in the variable TRIGGERED\_1B\_EVENT.
- 1> if any primary CPICHs are stored in the "cells recently triggered" in the variable TRIGGERED\_1B\_EVENT:
  - 2> send a measurement report with IEs set as below:
    - 3> set in "intra-frequency measurement event results": "Intrafrequency event identity" to "1b"; and
    - 3> include in "cell measurement event results" all entries of "cells recently triggered" in the variable TRIGGERED\_1B\_EVENT in ascending order according to the configured measurement quantity taking into account the cell individual offset for each of those cells;
    - 3> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset for each cell.
  - 2> move all entries from IE "cells recently triggered" to "cells triggered" in the variable TRIGGERED\_1B\_EVENT.
- 1> if "Measurement quantity" is "pathloss" and Equation 3 below is fulfilled for a primary CPICH, or if "Measurement quantity" is "CPICH Ec/N0" or "CPICH RSCP", and Equation 4 below is fulfilled for a primary CPICH; or
- 1> if a primary CPICH is no longer part of the cells allowed to trigger the event according to the "Triggering condition 1":
  - 2> if that primary CPICH is included in the "cells triggered" in the variable TRIGGERED\_1B\_EVENT:
    - 3> remove the entry of that primary CPICH from "cells triggered" in the variable TRIGGERED\_1B\_EVENT.

Equation 1 (Triggering condition for pathloss)

$$10 \cdot \text{Log}M_{\text{Oid}} + \text{CIO}_{\text{Oid}} \geq W \cdot 10 \cdot \text{Log} \left( 1 / \sum_{i=1}^{N_A} (1 / M_i) \right) + (1 - W) \cdot 10 \cdot \text{Log}M_{\text{Best}} + (R_{1b} + H_{1b} / 2),$$

Equation 2 (Triggering condition for all the other measurement quantities)

$$10 \cdot \text{Log}M_{\text{Oid}} + \text{CIO}_{\text{Oid}} \leq W \cdot 10 \cdot \text{Log} \left( \sum_{i=1}^{N_A} M_i \right) + (1 - W) \cdot 10 \cdot \text{Log}M_{\text{Best}} - (R_{1b} + H_{1b} / 2),$$

Equation 3 (Leaving triggering condition for pathloss)

$$10 \cdot \text{Log}M_{\text{Oid}} + \text{CIO}_{\text{Oid}} < W \cdot 10 \cdot \text{Log} \left( 1 / \sum_{i=1}^{N_A} (1 / M_i) \right) + (1 - W) \cdot 10 \cdot \text{Log}M_{\text{Best}} + (R_{1b} - H_{1b} / 2),$$

Equation 4 (Leaving triggering condition for all the other measurement quantities)

$$10 \cdot \text{Log}M_{\text{Oid}} + \text{CIO}_{\text{Oid}} > W \cdot 10 \cdot \text{Log} \left( \sum_{i=1}^{N_A} M_i \right) + (1 - W) \cdot 10 \cdot \text{Log}M_{\text{Best}} - (R_{1b} - H_{1b} / 2),$$

The variables in the formula are defined as follows:

$M_{\text{Oid}}$  is the measurement result of the cell leaving the reporting range.

$\text{CIO}_{\text{Oid}}$  is the individual cell offset for the cell leaving the reporting range if an individual cell offset is stored for that cell. Otherwise it is equal to 0.

$M_i$  is a measurement result of a cell not forbidden to affect reporting range in the active set.

$N_A$  is the number of cells not forbidden to affect reporting range in the current active set.

For pathloss

$M_{Best}$  is the measurement result of the cell not forbidden to affect reporting range in the active set with the lowest measurement result, not taking into account any cell individual offset.

for other measurements quantities.

$M_{Best}$  is the measurement result of the cell not forbidden to affect reporting range in the active set with the highest measurement result, not taking into account any cell individual offset.

$W$  is a parameter sent from UTRAN to UE.

$R_{Ib}$  is the reporting range constant.

$H_{Ib}$  is the hysteresis parameter for the event 1b.

If the measurement results are pathloss or CPICH-Ec/No then  $M_{Old}$ ,  $M_i$  and  $M_{Best}$  are expressed as ratios.

If the measurement result is CPICH-RSCP then  $M_{Old}$ ,  $M_i$  and  $M_{Best}$  are expressed in mW.

### 14.1.2.3 Reporting event 1C: A non-active primary CPICH becomes better than an active primary CPICH

When an intra-frequency measurement configuring event 1c is set up, the UE shall:

- 1> create a variable TRIGGERED\_1C\_EVENT related to that measurement, which shall initially be empty;
- 1> delete this variable when the measurement is released.

When event 1C is configured in the UE, the UE shall:

- 1> if "Measurement quantity" is "pathloss" and Equation 1 below is fulfilled for one or more primary CPICHs, or if "Measurement quantity" is "CPICH Ec/No" or "CPICH RSCP", and Equation 2 below is fulfilled for one or more primary CPICHs, for each of these primary CPICHs:
  - 2> if all required reporting quantities are available for that cell; and
  - 2> if the equations have been fulfilled for a time period indicated by "Time to trigger", and if the primary CPICH that is better is not included in the active set but the other primary CPICH is any of the primary CPICHs included in the active set, and if that first primary CPICH is not included in the "cells triggered" in the variable TRIGGERED\_1C\_EVENT:
    - 3> include that primary CPICH in the "cells recently triggered" in the variable TRIGGERED\_1C\_EVENT.
- 1> if the value of "Replacement activation threshold" for this event is less than or equal to the current number of cells in the active set or equal to 0 and if any primary CPICHs are stored in the "cells recently triggered" in the variable TRIGGERED\_1C\_EVENT:
  - 2> if "Reporting interval" for this event is not equal to 0:
    - 3> if the IE "Periodical reporting running" in the variable TRIGGERED\_1C\_EVENT is set to FALSE:
      - 4> start a timer for with the value of "Reporting interval" for this event and set the IE "Periodical reporting running" in the variable TRIGGERED\_1C\_EVENT to TRUE.
    - 3> set "sent reports" for that primary CPICH in the variable TRIGGERED\_1C\_EVENT to 1.
  - 2> send a measurement report with IEs set as below:
    - 3> set in "intra-frequency measurement event results": "Intrafrequency event identity" to "1c"; and
    - 3> include in "cell measurement event results" all entries of the "cells recently triggered" in the variable TRIGGERED\_1C\_EVENT not in the active set as well as the "primary CPICH info" of all the primary CPICHs in the active set for which the measured value is worse (i.e. greater for pathloss and less for the

other measurement quantities) than the one of the entry in "cell recently triggered" that has the best measured value taking into account their cell individual offset. The "primary CPICH info" for those cells shall be ordered according to their measured value taking into account their cell individual offset, beginning with the best cell to the worst one;

- 3> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset for each cell.
- 2> move all entries from "cells recently triggered" to "cells triggered" in the variable TRIGGERED\_1C\_EVENT.
- 1> if the timer for the periodical reporting has expired:
  - 2> if any primary CPICH is included in the "cells triggered" in the variable TRIGGERED\_1C\_EVENT, and not included in the current active set:
    - 3> if "Reporting interval" for this event is not equal to 0, and if "Amount of reporting" is greater than "sent reports" stored for that primary CPICH, in "cells triggered" in the variable TRIGGERED\_1C\_EVENT:
      - 4> increment the stored counter "sent reports" for all CPICH in "cell triggered" in variable TRIGGERED\_1C\_EVENT;
      - 4> start a timer with the value of "Reporting interval" for this event;
      - 4> send a measurement report with IEs set as below:
        - 5> set in "intra-frequency measurement event results": "Intrafrequency event identity" to "1c"; and
        - 5> include in "cell measurement event results" all entries of the variable TRIGGERED\_1C\_EVENT with value of IE "sent report" smaller than value of "Amount of reporting" and that are not part of the active set as well as the "primary CPICH info" of all the primary CPICHs in the active set for which the measured value is worse (i.e. greater for pathloss and less for the other measurement quantities) than the one of the entry in "cell recently triggered" that has the best measured value, ordering the "primary CPICH info" according to their measured value beginning with the best cell to the worst one, taking into account the cell individual offset for each cell;
        - 5> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset for each cell.
      - 4> if "sent reports" in variable TRIGGERED\_1C\_EVENT is greater than "Amount of reporting" for all entries:
        - 5> set the IE "Periodical Reporting running" in the variable TRIGGERED\_1C\_EVENT to FALSE and disable the timer for the periodical reporting.
    - 1> if "Measurement quantity" is "pathloss" and Equation 3 below is fulfilled for a primary CPICH, or if "Measurement quantity" is "CPICH  $E_c/N_0$ " or "CPICH RSCP", and Equation 4 below is fulfilled for a primary CPICH or;
    - 1> if a primary CPICH is added to the active set:
      - 2> if that primary CPICH is included in the "cells triggered" or "cells recently triggered" in the variable TRIGGERED\_1C\_EVENT:
        - 3> remove the entry of that primary CPICH from "cells triggered" or "cells recently triggered" in the variable TRIGGERED\_1C\_EVENT.
        - 3> if no entry in the variable TRIGGERED\_1C\_EVENT has a value of "sent reports" smaller than "Amount of reporting":
          - 4> stop the reporting interval timer;
          - 4> set the IE "Periodical reporting running" in the variable TRIGGERED\_1C\_EVENT to FALSE.

Equation 1 (Triggering condition for pathloss)

$$10 \log M_{New} + CIO_{New} \leq 10 \log M_{InAS} + CIO_{InAS} - H_{1c} / 2$$

Equation 2 (Triggering condition for all the other measurement quantities)

$$10 \log M_{New} + CIO_{New} \geq 10 \log M_{InAS} + CIO_{InAS} + H_{1c} / 2$$

Equation 3 (Leaving triggering condition for pathloss)

$$10 \log M_{New} + CIO_{New} > 10 \log M_{InAS} + CIO_{InAS} + H_{1c} / 2$$

Equation 4 (Leaving triggering condition for all the other measurement quantities)

$$10 \log M_{New} + CIO_{New} < 10 \log M_{InAS} + CIO_{InAS} - H_{1c} / 2$$

The variables in the formula are defined as follows:

$M_{New}$  is the measurement result of the cell not included in the active set.

$CIO_{New}$  is the individual cell offset for the cell becoming better than the cell in the active set if an individual cell offset is stored for that cell. Otherwise it is equal to 0.

For pathloss:

$M_{InAS}$  is the measurement result of the cell in the active set with the highest measurement result.

For other measurement quantities:

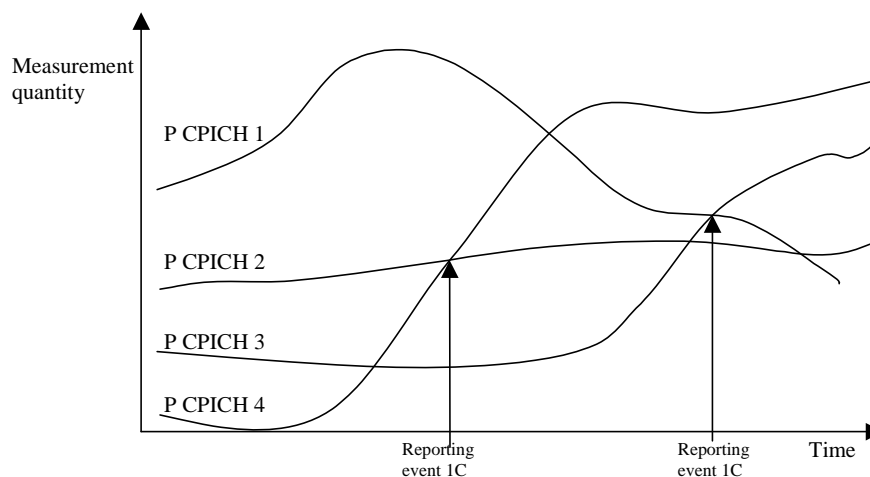
$M_{InAS}$  is the measurement result of the cell in the active set with the lowest measurement result.

$CIO_{InAS}$  is the individual cell offset for the cell in the active set that is becoming worse than the new cell.

$H_{1c}$  is the hysteresis parameter for the event 1c.

If the measurement results are pathloss or CPICH-Ec/No then  $M_{New}$  and  $M_{InAS}$  are expressed as ratios.

If the measurement result is CPICH-RSCP then  $M_{New}$  and  $M_{InAS}$  are expressed in mW.



**Figure 14.1.2.3-1 [Informative]: A primary CPICH that is not included in the active set becomes better than a primary CPICH that is in the active set**

In this figure, the parameters hysteresis and time to trigger, as well as the cell individual offsets for all cells are equal to 0. In this example the cells belonging to primary CPICH 1 and 2 are in the active set, but the cells transmitting primary CPICH 3 and CPICH 4 are not (yet) in the active set.

The first measurement report is sent when primary CPICH 4 becomes better than primary CPICH 2. The "cell measurement event result" of the measurement report contains the information of primary CPICH 4 and CPICH 2.

Assuming that the active set has been updated after the first measurement report (active set is now primary CPICH 1 and primary CPICH 4), the second report is sent when primary CPICH 3 becomes better than primary CPICH 1. The "cell measurement event result" of the second measurement report contains the information of primary CPICH 3 and primary CPICH 1.

#### 14.1.2.4 Reporting event 1D: Change of best cell

When an intra-frequency measurement configuring event 1d is set up, the UE shall:

- 1> create a variable TRIGGERED\_1D\_EVENT related to that measurement, which shall initially contain the best cell in the active set when the measurement is initiated;
- 1> delete this variable when the measurement is released.

When event 1D is configured in the UE, the UE shall:

- 1> if "Measurement quantity" is "pathloss" and Equation 1 below is fulfilled for a primary CPICH that is not stored in "Best cell" in variable BEST\_CELL\_1D\_EVENT, or if "Measurement quantity" is "CPICH Ec/N0" or "CPICH RSCP", and Equation 2 below is fulfilled for a primary CPICH that is not stored in "Best cell" in variable BEST\_CELL\_1D\_EVENT:

NOTE: If the equations are simultaneously fulfilled for more than one primary CPICH, the UE should report only one event 1D, triggered by the best primary CPICH.

- 2> if all required reporting quantities are available for that cell, and if the equations have been fulfilled for a time period indicated by "Time to trigger":
  - 3> set "best cell" in the variable BEST\_CELL\_1D\_EVENT to that primary CPICH that triggered the event;
  - 3> send a measurement report with IEs set as below:
    - 4> set in "intra-frequency measurement event results"; "Intrafrequency event identity" to "1d" and "cell measurement event results" to the CPICH info of the primary CPICH that triggered the report, not taking into account the cell individual offset for each cell.
    - 4> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset for each cell.

NOTE: Event 1D can be triggered by an active or by a non-active CPICH.

This event is only applicable to the CELL\_DCH state. Upon transition to CELL\_DCH the UE shall:

- 1> set "best cell" in the variable BEST\_CELL\_1D\_EVENT to the best cell of the primary CPICHs included in the active set, not taking into account any cell individual offsets.

Equation 1 (Triggering condition for pathloss)

$$10 \log M_{NotBest} \leq 10 \log M_{Best} - H_{1d} / 2,$$

Equation 2 (Triggering condition for all the other measurement quantities)

$$10 \log M_{NotBest} \geq 10 \log M_{Best} + H_{1d} / 2,$$

The variables in the formula are defined as follows:

$M_{NotBest}$  is the measurement result of a cell not stored in "best cell" in the variable BEST\_CELL\_1D\_EVENT.

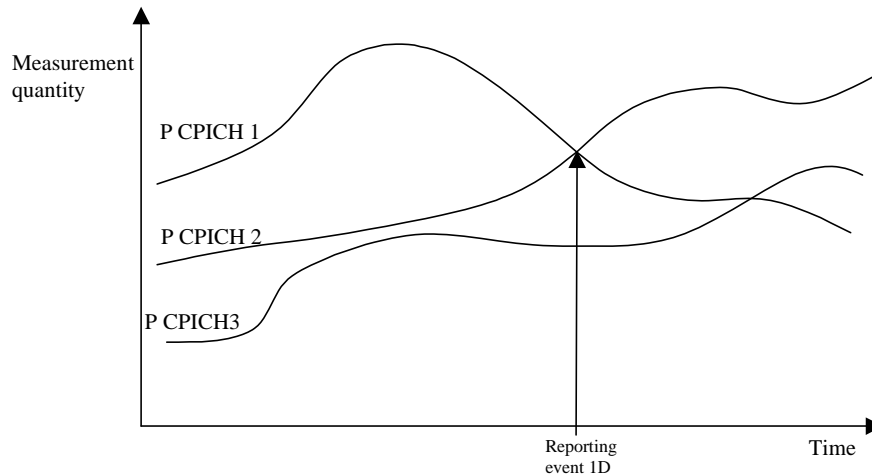
$M_{Best}$  is the measurement result of the cell stored in "best cell" in variable BEST\_CELL\_1D\_EVENT.

$H_{1d}$  is the hysteresis parameter for the event 1d.

If the measurement results are pathloss or CPICH-Ec/No then  $M_{NotBest}$  and  $M_{Best}$  are expressed as ratios.

If the measurement result is CPICH-RSCP then  $M_{NotBest}$  and  $M_{Best}$  are expressed in mW.

NOTE: The cell individual offsets for the two cells being compared shall not be taken into account when checking whether this event has been triggered or not.



**Figure 14.1.2.4-1 [Informative]: A primary CPICH becomes better than the previously best primary CPICH**

In this figure, the parameters hysteresis and time to trigger, as well as the cell individual offsets for all cells are equal to 0.

#### 14.1.2.5 Reporting event 1E: A Primary CPICH becomes better than an absolute threshold

When an intra-frequency measurement configuring event 1e is set up, the UE shall:

- 1> create a variable TRIGGERED\_1E\_EVENT related to that measurement, which shall initially be empty;
- 1> delete this variable when the measurement is released.

When event 1E is configured in the UE, the UE shall:

- 1> if "Measurement quantity" is "pathloss" and Equation 1 below is fulfilled for a primary CPICH, or if "Measurement quantity" is "CPICH  $E_c/N_0$ " or "CPICH RSCP", and Equation 2 below is fulfilled for one or more primary CPICHs, for each of these primary CPICHs:
  - 2> if all required reporting quantities are available for that cell, and if the equations have been fulfilled for a time period indicated by "Time to trigger", and if that primary CPICH is part of cells allowed to trigger the event according to "Triggering condition 2", and that primary CPICH is not included in the "cells triggered" in the variable TRIGGERED\_1E\_EVENT:
    - 3> include that primary CPICH in the "cells recently triggered" in the variable TRIGGERED\_1E\_EVENT.
- 1> if any primary CPICHs are stored in the "cells recently triggered" in the variable TRIGGERED\_1E\_EVENT:
  - 2> send a measurement report with IEs set as below:
    - 3> set in "intra-frequency measurement event results": "Intrafrequency event identity" to "1e"; and
    - 3> include in "cell measurement event results" all entries of the "cells recently triggered" in the variable TRIGGERED\_1E\_EVENT in descending order according to the configured measurement quantity taking into account the cell individual offset for each of those cells;
    - 3> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset for each cell.
  - 2> move all entries from "cells recently triggered" to "cells triggered" in the variable TRIGGERED\_1E\_EVENT.



- 1> if "Measurement quantity" is "pathloss" and Equation 3 below is fulfilled for a primary CPICH, or if "Measurement quantity" is "CPICH Ec/N0" or "CPICH RSCP", and Equation 4 below is fulfilled for a primary CPICH; or
- 1> if a primary CPICH is no longer part of the cells allowed to trigger the event according to the "Triggering condition 2":
  - 2> if that primary CPICH is included in the "cells triggered" in the variable TRIGGERED\_1E\_EVENT:
    - 3> remove that primary CPICH and sent reports from "cells triggered" in the variable TRIGGERED\_1E\_EVENT.

This event is only applicable to the CELL\_DCH state. When the measurement is setup in CELL\_DCH or upon transition to CELL\_DCH the UE shall:

- 1> if the "Triggering condition 2" includes active set cells:
  - 2> include the primary CPICH of all cells in the current active set that fulfil the equations 1 or 2 according to the "Measurement quantity" of event 1e into the "cells triggered" in the variable TRIGGERED\_1E\_EVENT.

Equation 1 (Triggering condition for pathloss)

$$10 \text{Log} M_{New} + CIO_{New} \leq T_{1e} - H_{1e} / 2,$$

Equation 2 (Triggering condition for all the other measurement quantities)

$$10 \text{Log} M_{New} + CIO_{New} \geq T_{1e} + H_{1e} / 2,$$

Equation 3 (Leaving triggering condition for pathloss)

$$10 \text{Log} M_{New} + CIO_{New} > T_{1e} + H_{1e} / 2,$$

Equation 4 (Leaving triggering condition for all the other measurement quantities)

$$10 \text{Log} M_{New} + CIO_{New} < T_{1e} - H_{1e} / 2,$$

The variables in the formula are defined as follows:

$M_{New}$  is the measurement result of a cell that becomes better than an absolute threshold.

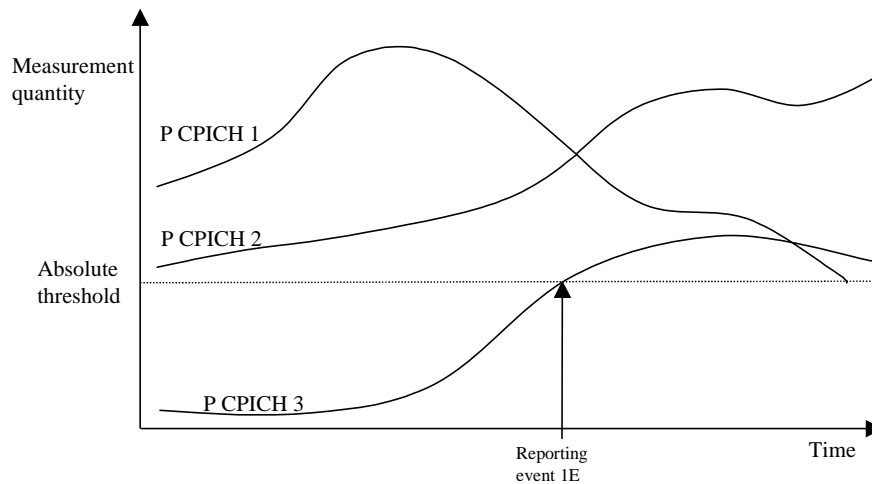
$CIO_{New}$  is the individual cell offset for the cell becoming better than the absolute threshold. Otherwise it is equal to 0.

$T_{1e}$  is an absolute threshold.

$H_{1e}$  is the hysteresis parameter for the event 1e.

If the measurement results are pathloss or CPICH-Ec/No then  $M_{New}$  is expressed as ratios.

If the measurement result is CPICH-RSCP then  $M_{New}$  is expressed in mW.



**Figure 14.1.2.5-1 [Informative]: Event-triggered report when a Primary CPICH becomes better than an absolute threshold**

In this figure, the parameters hysteresis and time to trigger, as well as the cell individual offsets for all cells are equal to 0.

#### 14.1.2.6 Reporting event 1F: A Primary CPICH becomes worse than an absolute threshold

When an intra-frequency measurement configuring event 1F is set up, the UE shall:

- 1> create a variable TRIGGERED\_1F\_EVENT related to that measurement, which shall initially be empty;
- 1> delete this variable when the measurement is released.

When event 1F is configured in the UE, the UE shall:

- 1> if "Measurement quantity" is "pathloss" and Equation 1 below is fulfilled for one or more primary CPICHs, or if "Measurement quantity" is "CPICH Ec/N0" or "CPICH RSCP", and Equation 2 below is fulfilled for one or more primary CPICHs, for each of these primary CPICHs:
  - 2> if all required reporting quantities are available for that cell, and if the equations have been fulfilled for a time period indicated by "Time to trigger", and if that primary CPICH is part of cells allowed to trigger the event according to "Triggering condition 1", and that primary CPICH is not included in the "cells triggered" in the variable TRIGGERED\_1F\_EVENT:
    - 3> include that primary CPICH in the "cells recently triggered" in the variable TRIGGERED\_1F\_EVENT.
- 1> if any primary CPICHs are stored in the "cells recently triggered" in the variable TRIGGERED\_1F\_EVENT:
  - 2> send a measurement report with IEs set as below:
    - 3> set in "intra-frequency event measurement results": "Intrafrequency event identity" to "1f"; and
    - 3> include in "cell measurement event results" all entries of the "cells recently triggered" in the variable TRIGGERED\_1F\_EVENT in descending order according to the configured measurement quantity taking into account the cell individual offset for each of those cells;
    - 3> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset for each cell;
  - 2> move all entries from "cells recently triggered" to "cells triggered" in the variable TRIGGERED\_1F\_EVENT.
- 1> if "Measurement quantity" is "pathloss" and Equation 3 below is fulfilled for a primary CPICH, or if "Measurement quantity" is "CPICH Ec/N0" or "CPICH RSCP", and Equation 4 below is fulfilled for a primary CPICH; or

- 1> if a primary CPICH is no longer part of the cells allowed to trigger the event according to the "Triggering condition 1":
- 2> if that primary CPICH is included in the "cells triggered" in the variable TRIGGERED\_1F\_EVENT:
- 3> remove that primary CPICH from "cells triggered" in the variable TRIGGERED\_1F\_EVENT.

Equation 1 (Triggering condition for pathloss)

$$10 \text{Log}M_{Old} + CIO_{Old} \geq T_{I_f} + H_{I_f} / 2,$$

Equation 2 (Triggering condition for all the other measurement quantities)

$$10 \text{Log}M_{Old} + CIO_{Old} \leq T_{I_f} - H_{I_f} / 2,$$

Equation 3 (Leaving triggering condition for pathloss)

$$10 \text{Log}M_{Old} + CIO_{Old} < T_{I_f} - H_{I_f} / 2,$$

Equation 4 (Leaving triggering condition for all the other measurement quantities)

$$10 \text{Log}M_{Old} + CIO_{Old} > T_{I_f} + H_{I_f} / 2,$$

The variables in the formula are defined as follows:

$M_{Old}$  is the measurement result of a cell that becomes worse than an absolute threshold

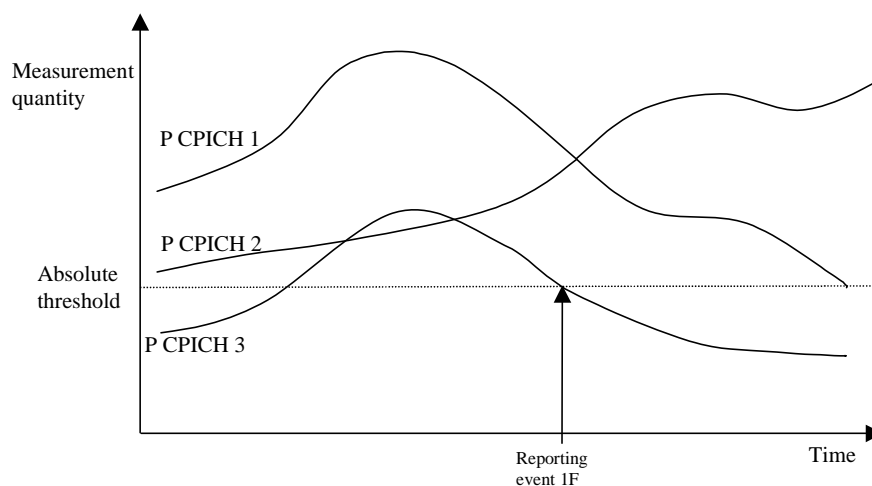
$CIO_{Old}$  is the individual cell offset for the cell becoming worse than the absolute threshold. Otherwise it is equal to 0.

$T_{I_f}$  is an absolute threshold

$H_{I_f}$  is the hysteresis parameter for the event 1f.

If the measurement results are pathloss or CPICH-Ec/No then  $M_{Old}$  is expressed as ratios.

If the measurement result is CPICH-RSCP then  $M_{Old}$  is expressed in mW.



**Figure 14.1.2.6-1 [Informative]: Event-triggered report when a Primary CPICH becomes worse than an absolute threshold**

In this figure, the parameters hysteresis and time to trigger, as well as the cell individual offsets for all cells are equal to 0.

## 14.1.3 Intra-frequency reporting events for TDD

### 14.1.3.1 Reporting event 1G: Change of best cell (TDD)

When event 1G is configured in the UE, the UE shall:

- 1> if the equation 1 is fulfilled for a P-CCPCHs during the time "Time to trigger" and if that P-CCPCH is not included in the "primary CCPCH info" in the variable TRIGGERED\_1G\_EVENT;
- 2> include that P-CCPCH in "cells triggered" in the variable TRIGGERED\_1G\_EVENT;
- 2> send a measurement report with IEs set as below:
  - 3> set in "intra-frequency measurement event results": "Intrafrequency event identity" to "1g";
  - 3> set the first entry in "cell measurement event results" to the "Cell parameters ID" of the P-CCPCH which was stored in the variable TRIGGERED\_1G\_EVENT;
  - 3> include all entries in "cells triggered" in variable TRIGGERED\_1G\_EVENT in "cell measurement event results" in the measurement report in descending order according to:

$$10 \cdot \text{Log}M + O$$

where  $M$  is the P-CCPCH RSCP and  $O$  the individual offset of a cell;

- 3> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset for each cell.
- 1> if Equation 2 below is fulfilled for a primary CCPCH:
  - 2> if a primary CCPCH is included in the "cells triggered" in the variable TRIGGERED\_1G\_EVENT:
    - 3> remove the entry of that primary CCPCH from "cells triggered" in the variable TRIGGERED\_1G\_EVENT;

The UE shall use the equations below for evaluation of reporting event 1g:

Equation 1

$$10 \cdot \text{Log}M_i + O_i - H_{1g} > 10 \cdot \text{Log}M_{\text{previous\_best}} + O_{\text{previous\_best}}$$

The variables in the formula are defined as follows:

$M_{\text{previous\_best}}$  is the current P-CCPCH RSCP of the previous best cell expressed in mW

$O_{\text{previous\_best}}$  is the cell individual offset of the previous best cell

$M_i$  is the current P-CCPCH RSCP of the currently evaluated cell  $i$  expressed in mW

$O_i$  is the cell individual offset of the currently evaluated cell  $i$

$H_{1g}$  is the hysteresis parameter for the event 1g.

Equation 2

$$10 \cdot \text{Log}M_i + O_i + H_{1g} < 10 \cdot \text{Log}M_{\text{previous\_best}} + O_{\text{previous\_best}}$$

The variables in the formula are defined as follows:

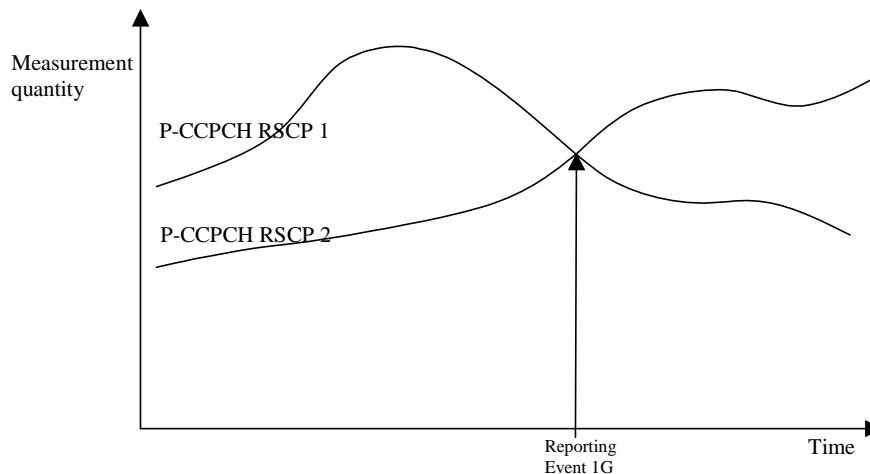
$M_{\text{previous\_best}}$  is the current P-CCPCH RSCP of the previous best cell expressed in mW

$O_{\text{previous\_best}}$  is the cell individual offset of the previous best cell

$M_i$  is the current P-CCPCH RSCP of the currently evaluated cell  $i$  expressed in mW

$O_i$  is the cell individual offset of the currently evaluated cell  $i$

$H_{1g}$  is the hysteresis parameter for the event 1g.



**Figure 14.1.3.1-1: A P-CCPCH RSCP becomes better than the previous best P-CCPCH RSCP**

### 14.1.3.2 Reporting event 1H: Timeslot ISCP below a certain threshold (TDD)

When event 1h is configured in the UE, the UE shall:

- 1> if equation 1 is fulfilled for a time period indicated by "Time to trigger" and if that P-CCPCH is not included in the IE "cells triggered" in the variable TRIGGERED\_1H\_EVENT:
  - 2> include that P-CCPCH in the IE "cells triggered" in the variable TRIGGERED\_1H\_EVENT;
  - 2> send a measurement report with the IEs set as below:
    - 3> set in "intra-frequency measurement event results": "Intrafrequency event identity" to "1h" and in "cell measurement event results" the "Cell parameters ID" of the P-CCPCH that triggered the report;
    - 3> include in "Cell measured results" the "Timeslot ISCP" of those cells that are included in the variable TRIGGERED\_1H\_EVENT.
- 1> if a primary CCPCH is included in the "cells triggered" in the variable TRIGGERED\_1H\_EVENT:
  - 2> send a measurement report with IEs set as below:
    - 3> set in "intra-frequency measurement event results": "Intrafrequency event identity" to "1h" and "cell measurement event results" to the "Cell parameters ID" of the P-CCPCH that triggered the report;
    - 3> set in "measured results" the "Timeslot ISCP" of those cells that are included in the variable TRIGGERED\_1H\_EVENT and "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset for each cell.
- 1> if Equation 2 below is fulfilled for a primary CCPCH:
  - 2> if a primary CCPCH is included in the "cells triggered" in the variable TRIGGERED\_1H\_EVENT:
    - 3> remove the entry of that primary CCPCH from "cells triggered" in the variable TRIGGERED\_1H\_EVENT.

The UE shall use the equations below for evaluation of reporting event 1h:

Equation 1

$$10 \cdot \text{Log} M_i + H_{1h} + O_i < T_{1h},$$

Equation 2

$$10 \cdot \text{Log} M_i - H_{1h} + O_i > T_{1h},$$

The variables in the formula are defined as follows:

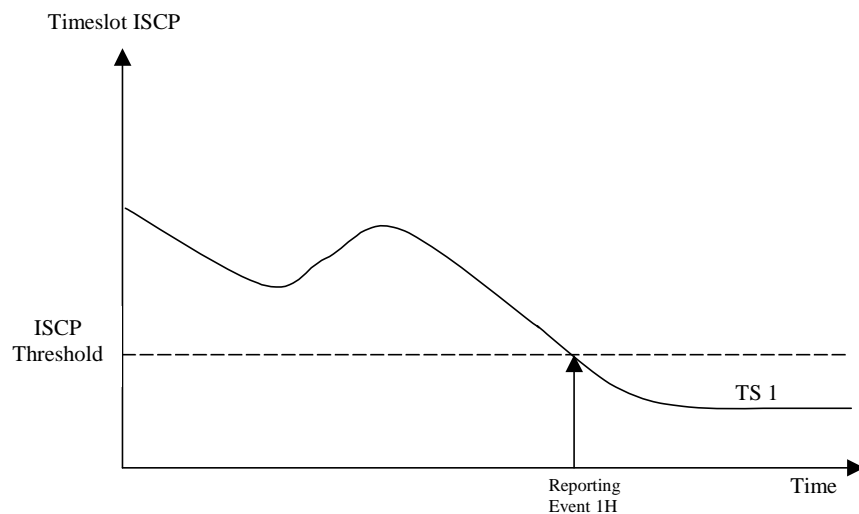
$M_i$  is the Timeslot ISCP of the currently evaluated cell  $i$  expressed in mW

$O_i$  is the cell individual offset of the currently evaluated cell  $i$

$T_{1h}$  is the Threshold for event 1h

$H_{1h}$  is the hysteresis parameter for the event 1h.

Before any evaluation is done, the Timeslot ISCP expressed in mW is filtered according to subclause 8.6.7.2.



**Figure 14.1.3.2-1: An ISCP value of a timeslot drops below an absolute threshold**

### 14.1.3.3 Reporting event 1i: Timeslot ISCP above a certain threshold (TDD)

When event 1i is configured in the UE, the UE shall:

- 1> if equation 1 is fulfilled for a time period indicated by "Time to trigger" and if that P-CCPCH is not included in the IE "cells triggered" in the variable TRIGGERED\_1I\_EVENT:
  - 2> include that P-CCPCH in the IE "cells triggered" in the variable TRIGGERED\_1I\_EVENT;
  - 2> send a measurement report with the IEs set as below:
    - 3> set in "intra-frequency measurement event results": "Intrafrequency event identity" to "1i" and in "cell measurement event results" to the "Cell parameters ID" of the P-CCPCH that triggered the report;
    - 3> include in "measured results" the "Timeslot ISCP" of those cells that are included in the variable TRIGGERED\_1I\_EVENT and "additional measured results" according to 8.4.2, not taking into account the cell individual offset for each cell.
- 1> if Equation 2 below is fulfilled for a primary CCPCH:
  - 2> if a primary CCPCH is included in the "cells triggered" in the variable TRIGGERED\_1I\_EVENT:
    - 3> remove the entry of that primary CCPCH from "cells triggered" in the variable TRIGGERED\_1I\_EVENT.

The UE shall use the equation below for evaluation of reporting event 1i:

Equation 1

$$10 \cdot \text{Log}M_i - H_{1i} + O_i > T_{1i},$$

Equation 2

$$10 \cdot \text{Log}M_i - H_{1i} + O_i < T_{1i},$$

The variables in the formula are defined as follows:

$M_i$  is the Timeslot ISCP of the currently evaluated cell  $i$  expressed in mW

$O_i$  is the cell individual offset of the currently evaluated cell  $i$

$T_{1i}$  is the Threshold for event 1i

$H_{1i}$  is the hysteresis parameter for the event 1i.

Before any evaluation is done, the Timeslot ISCP expressed in mW is filtered according to subclause 8.6.7.2.

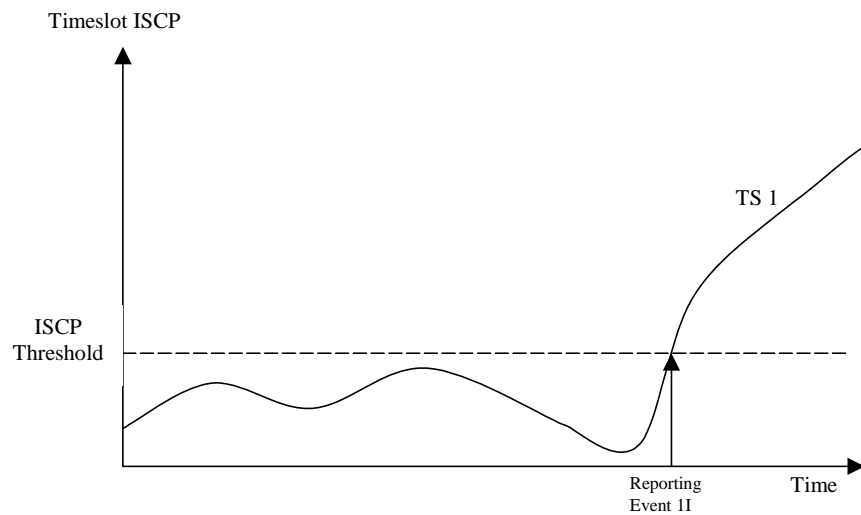
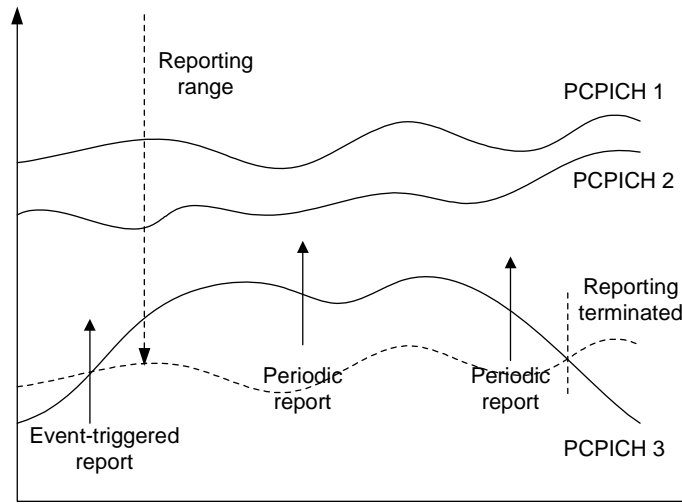


Figure 14.1.3.3-1: An ISCP value of a timeslot exceeds a certain threshold

## 14.1.4 Event-triggered periodic intra-frequency measurement reports (informative)

### 14.1.4.1 Cell addition failure (FDD only)



**Figure 14.1.4.1-1: Periodic reporting triggered by event 1A**

When a cell enters the reporting range and triggers event 1A, the UE shall transmit a MEASUREMENT REPORT to the UTRAN and typically this may result in an update of the active set. However, in some situations the UTRAN may be unable to add a strong cell to the active set typically due to capacity shortage for example.

The UE shall continue reporting after the initial report by reverting to periodical measurement reporting if the reported cell is not added to the active set. This is illustrated in Figure 14.1.4.1-1. During periodic reporting the UE shall transmit MEASUREMENT REPORT messages to the UTRAN at predefined intervals. The reports shall include reporting information of the cells in the current active set and of the monitored cell(s) in the reporting range.

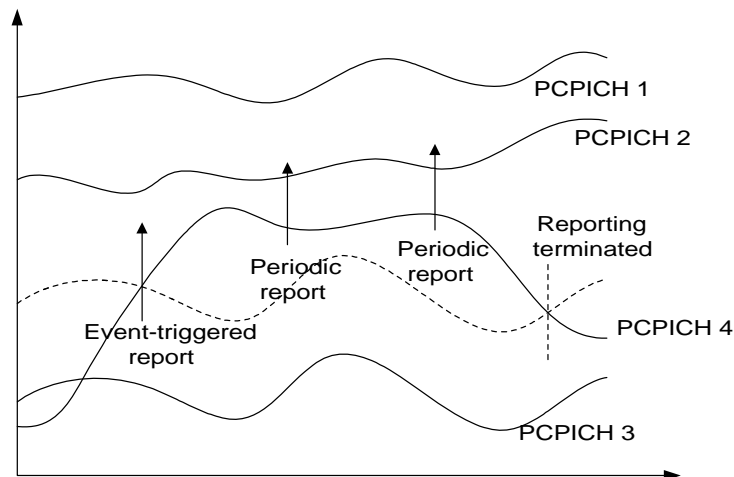
Event-triggered periodic measurement reporting shall be terminated if:

- 1> there are no longer any monitored cell(s) within the reporting range; or
- 1> the UTRAN has added cells to the active set so that it includes the maximum number of cells (defined by the **reporting deactivation threshold** parameter), which are allowed for event 1A to be triggered; or
- 1> the UE has sent the maximum number of MEASUREMENT REPORT messages (defined by the **amount of reporting** parameter).

The reporting period is assigned by the UTRAN (with the **Reporting interval** parameter). If the reporting interval is set to zero event-triggered periodic measurement reporting shall not be applied.



#### 14.1.4.2 Cell replacement failure (FDD only)



**Figure 14.1.4.1-2: Periodic reporting triggered by event 1C**

When a cell enters the replacement range and triggers event 1C, the UE shall transmit a MEASUREMENT REPORT to the UTRAN and typically this may result in the replacement of the weakest active cell. If the UTRAN is unable to replace the cell due to for example capacity shortage, it is beneficial to receive continuous reports in this case as well.

The UE shall revert to periodical measurement reporting if the UTRAN does not update the active set after the transmission of the measurement report. This is illustrated in Figure 14.1.4.1-2. During periodic reporting the UE shall transmit MEASUREMENT REPORT messages to the UTRAN at predefined intervals. The reports shall include reporting information of the cells in the current active set and of the monitored cell(s) in the replacement range.

Event-triggered periodic measurement reporting shall be terminated if:

- 1> there are no longer any monitored cell(s) within the replacement range; or
- 1> the UTRAN has removed cells from the active set so that there are no longer the minimum amount of active cells for event 1C to be triggered (as defined by the **replacement activation threshold** parameter); or
- 1> the UE has sent the maximum number of MEASUREMENT REPORT messages (defined by the **amount of reporting** parameter).

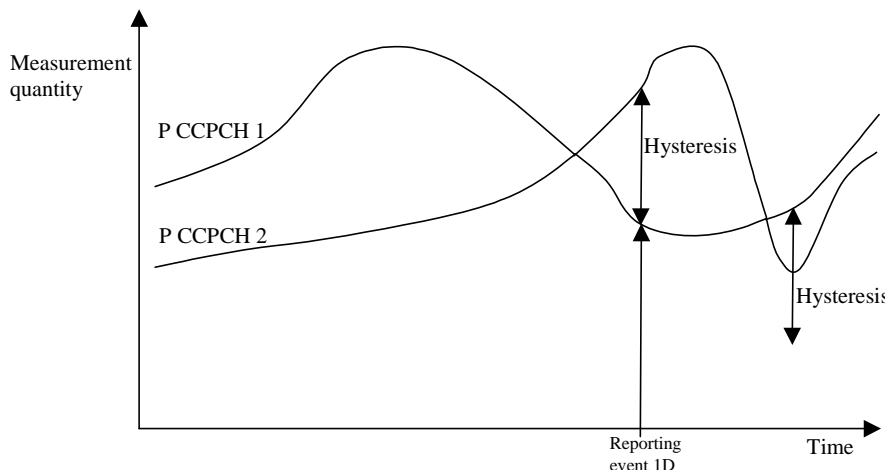
The reporting period is assigned by the UTRAN (with the **Reporting interval** parameter). If the reporting interval is set to zero, event-triggered periodic measurement reporting shall not be applied.

### 14.1.5 Mechanisms available for modifying intra-frequency measurement reporting behaviour (informative)

#### 14.1.5.1 Hysteresis

To limit the amount of event-triggered reports, a hysteresis parameter may be connected with each reporting event given above. The value of the hysteresis is given to the UE in the Reporting criteria field of the Measurement Control message.

In the example in Figure 14.1.5.1-1, the hysteresis ensures that the event 1D (FDD) or IG(TDD) (primary CPICH(FDD)/CCPCH(TDD) 2 becomes the best cell) is not reported until the difference is equal to the hysteresis value. The fact that primary CPICH(FDD)/CCPCH(TDD) 1 becomes best afterwards is not reported at all in the example since the primary CPICH(FDD)/CCPCH(TDD) 1 does not become sufficiently better than the primary CPICH(FDD)/CCPCH(TDD) 2.

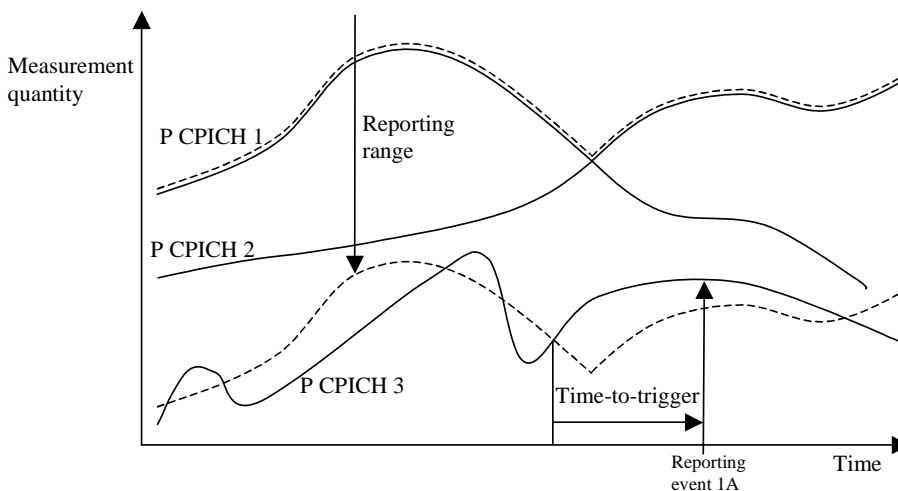


**Figure 14.1.5.1-1: Hysteresis limits the amount of measurement reports**

14.1.5.2 Time-to-trigger

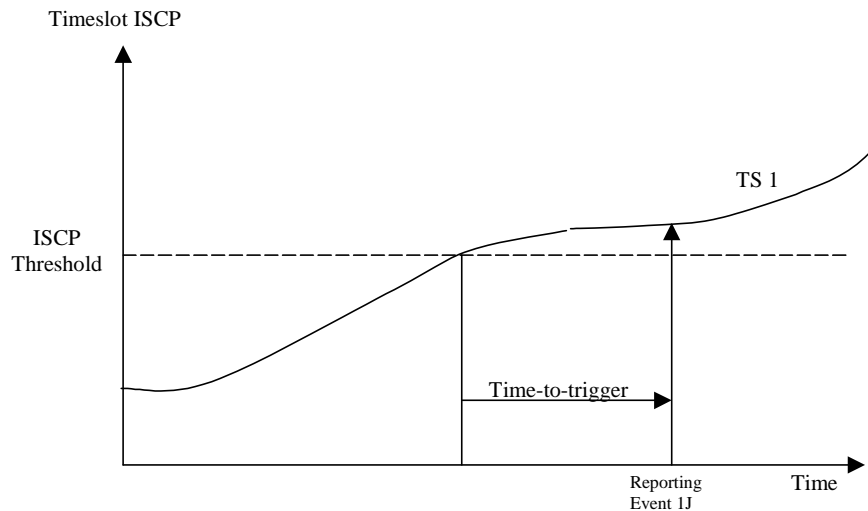
To limit the measurement signalling load, a time-to-trigger parameter could be connected with each reporting event given above. The value of the time-to-trigger is given to the UE in the Reporting criteria field of the Measurement Control message.

The effect of the time-to-trigger is that the report is triggered only after the conditions for the event have existed for the specified time-to-trigger. In the following FDD example in Figure 14.1.5.2-1, the use of time-to-trigger means that the event (primary CPICH 3 enters the reporting range) is not reported until it has been within the range for the time given by the time-to-trigger parameter.



**Figure 14.1.5.2-1: Time-to-trigger limits the amount of measurement reports**

In the following TDD example in Figure 14.1.5.2-2, the use of time-to-trigger means that the event (Timeslot ISCP upon certain threshold) is not reported until it has been upon the threshold for the time given by the time-to trigger parameter.



**Figure 14.1.5.2-2: Time-to-trigger limits the amount of measurement reports**

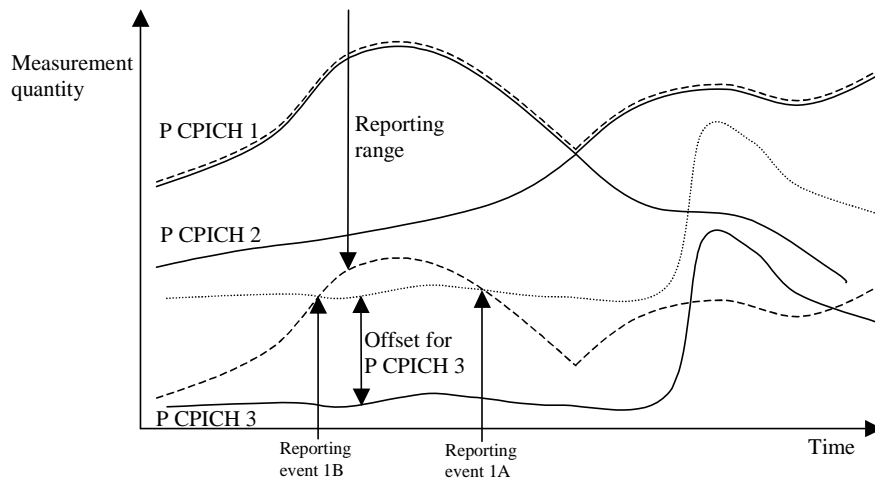
**NOTE:** The time-to-trigger could be combined with hysteresis, i.e. a hysteresis value is added to the measurement quantity before evaluating if the time-to-trigger timer should be started.

### 14.1.5.3 Cell individual offsets

For each cell that is monitored, an offset can be assigned with inband signalling. The offset can be either positive or negative. The offset is added to the measurement quantity before the UE evaluates if an event has occurred. The UE receives the cell individual offsets for each primary CPICH(FDD)/CCPCH(TDD) in the IE "Cell individual offset" included in the IE "Cell info" associated with each measurement object included in the MEASUREMENT CONTROL message.

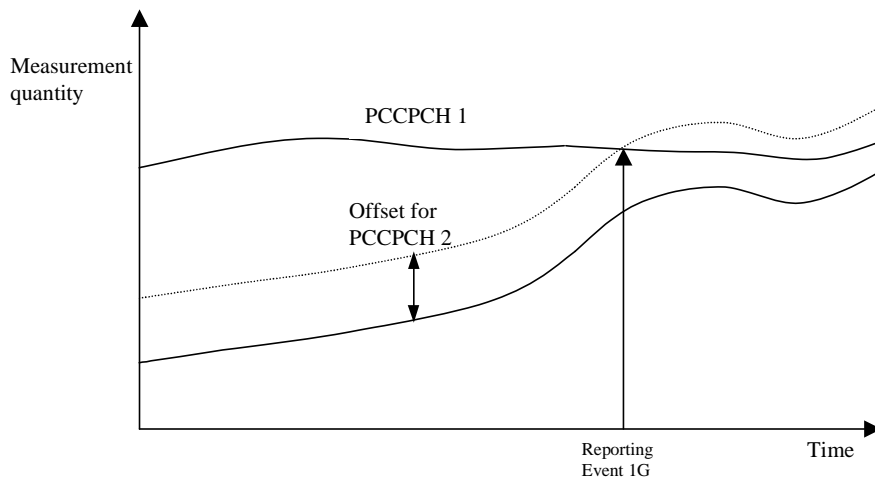
For the FDD example, in Figure 14.1.5.3-1, since an offset is added to primary CPICH 3, it is the dotted curve that is used to evaluate if an event occurs. Hence, this means that measurement reports from UE to UTRAN are triggered when primary CPICH plus the corresponding offset, i.e. the dotted curve, leaves and enters the reporting range and when it gets better than primary CPICH 1 (if these events have been ordered by UTRAN). This offset mechanism provides the network with an efficient tool to change the reporting of an individual primary CPICH.

By applying a positive offset, as in Figure 14.1.5.3-1, the UE will send measurement reports as if the primary CPICH is offset  $x$  dB better than what it really is. This could be useful if the operator knows that a specific cell is interesting to monitor more carefully, even though it is not so good for the moment. In the example in Figure 14.1.5.3-1, the operator might know by experience that in this area primary CPICH 3 can become good very quickly (e.g. due to street corners) and therefore that it is worth reporting more intensively. Depending on the implemented handover evaluation algorithm, this may result in the cell with primary CPICH 3 being included in the active set earlier than would have been the case without the positive offset.



**Figure 14.1.5.3-1: A positive offset is applied to primary CPICH 3 before event evaluation in the UE**

For the TDD example, in Figure 14.1.5.3-2, an offset is added to primary CCPCH2, it is the dotted curve that is used to evaluate if the primary CCPCH2 becomes better than primary CCPCH1 (ordered by the UTRAN).



**Figure 14.1.5.3-2: A positive offset is applied to primary CCPCH 2**

Correspondingly, the operator can choose to apply a negative offset to a primary CCPCH. Then the reporting on that primary CCPCH is limited and the corresponding cell may be, at least temporarily excluded from the active set or as a target cell for handover.

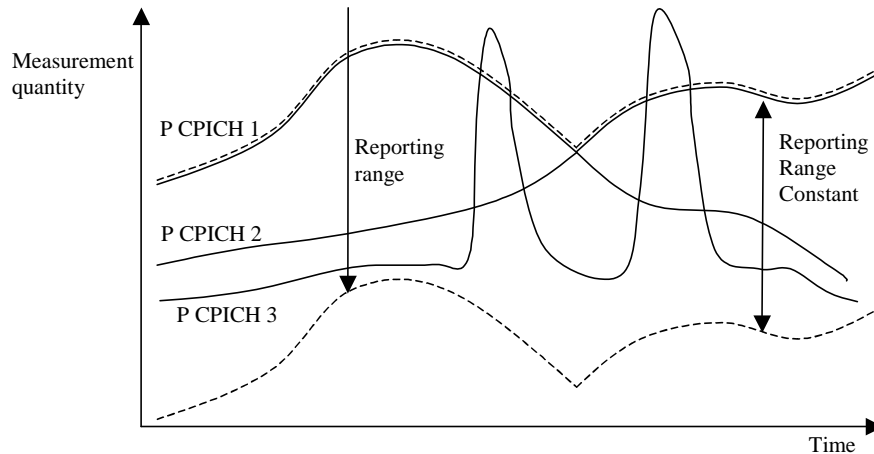
It is important to note that the offset is added before triggering events, i.e. the offset is added by the UE before evaluating if a measurement report should be sent as opposed to offsets that are applied in the network and used for the actual handover evaluation. It should also be noted that the cell individual offset is not used in all measurement reporting events, and that it is not applied to all events in the same way.

#### 14.1.5.4 Forbid a Primary CPICH to affect the reporting range (FDD only)

The reporting range affects the reporting events 1A and 1B presented above. The reporting range is defined as a function of all the Primary CPICHs in the active set (see 14.1.2.1 and 14.1.2.2). If the parameter W is set to 0, the reporting range is defined relative to the best Primary CPICH. However, there could be cases where it is good to forbid a specific Primary CPICH to affect the reporting range. For example in Figure 14.1.5.4-1 the network has requested the UE to not let Primary CPICH 3 affect the reporting range. This mechanism could be effective if the operator knows by experience that the quality of Primary CPICH 3 is very unstable in a specific area and therefore should not affect the reporting of the other Primary CPICHs.

The UE shall ignore that a Primary CPICH is forbidden to affect the reporting range if all of the following conditions are fulfilled:

- the Primary CPICH is included in active set; and
- all cells in active set are defined as Primary CPICHs forbidden to affect the reporting range.



**Figure 14.1.5.4-1: Primary CPICH 3 is forbidden to affect the reporting range**

### 14.1.6 Report quantities in intra-frequency measurements

The quantities that the UE shall report to UTRAN when the event is triggered for an intra-frequency measurement are given by the IE "Intra-frequency reporting quantity" stored for this measurement and can be the following:

- 1 SFN-SFN observed time difference
- 2 Cell synchronisation information
- 3 Cell Identity
- 4 Downlink  $E_c/N_0$  (FDD).
- 5 Downlink path loss.

For FDD:

$$\text{Pathloss in dB} = \text{Primary CPICH Tx power} - \text{CPICH RSCP.}$$

- For Primary CPICH Tx power the IE "Primary CPICH Tx power" shall be used. The unit is dBm.
- CPICH RSCP is the result of the CPICH RSCP measurement. The unit is dBm.

For TDD:

$$\text{Pathloss in dB} = \text{Primary CCPCH TX power} - \text{Primary CCPCH RSCP.}$$

- For Primary CCPCH TX power the IE "Primary CCPCH TX Power" shall be used. The unit is dBm.
- Primary CCPCH RSCP is the result of the Primary CCPCH RSCP measurement. The unit is dBm.

If necessary Pathloss shall be rounded up to the next higher integer.

Results higher than 158 shall be reported as 158.

Results lower than 46 shall be reported as 46.

- 6 Downlink received signal code power (RSCP) after despreading (of a primary CPICH for FDD, and of a primary CCPCH for TDD).
- 7 ISCP measured on Timeslot basis. (TDD)

## 8 Proposed TGSN (TDD)

A description of those values can be found in [7] and [8].

# 14.2 Inter-frequency measurements

## 14.2.0a Inter-frequency measurement quantities

The two first measurement quantities listed below are used by the UE to evaluate whether an inter-frequency measurement event has occurred or not, through the computation of a frequency quality estimate. The quantity to use to compute the frequency quality estimate for an inter-frequency measurement is given in the "Inter-frequency measurement quantity" stored for that measurement. In the FDD case, all three measurement quantities can be used for the update of the virtual active set of the non-used frequencies as described in subclause 14.11.

- 1 Downlink Ec/No (FDD)
- 2 Downlink received signal code power (RSCP) after despreading.
- 3 Downlink path loss.

For FDD:

$$\text{Pathloss in dB} = \text{Primary CPICH Tx power} - \text{CPICH RSCP.}$$

- For Primary CPICH Tx power the IE "Primary CPICH Tx power" shall be used. The unit is dBm.
- CPICH RSCP is the result of the CPICH RSCP measurement. The unit is dBm.

For TDD:

$$\text{Pathloss in dB} = \text{Primary CCPCH TX power} - \text{Primary CCPCH RSCP.}$$

- For Primary CCPCH TX power the IE "Primary CCPCH TX Power" shall be used. The unit is dBm.
- Primary CCPCH RSCP is the result of the Primary CCPCH RSCP measurement. The unit is dBm.

A description of those values can be found in [7] and [8].

## 14.2.0b Frequency quality estimate

### 14.2.0b.1 FDD cells

The frequency quality estimate used in events 2a, 2b, 2c, 2d, 2e and 2f is defined as:

$$Q_{\text{frequency } j} = 10 \cdot \text{Log} M_{\text{frequency } j} = W_j \cdot 10 \cdot \text{Log} \left( \sum_{i=1}^{N_{A_j}} M_{i j} \right) + (1 - W_j) \cdot 10 \cdot \text{Log} M_{\text{Best } j},$$

The variables in the formula are defined as follows ("the virtual active set on frequency j" should be understood as the active set if frequency j is the used frequency. If frequency j is a non-used frequency, the way the virtual active set is initiated and updated is described in subclause 14.11):

$Q_{\text{frequency } j}$  is the estimated quality of the virtual active set on frequency j.

$M_{\text{frequency } j}$  is the estimated quality of the virtual active set on frequency j.

$M_{i j}$  is a measurement result of cell i in the virtual active set on frequency j.

$N_{A_j}$  is the number of cells in the virtual active set on frequency j.

$M_{Best,j}$  is the measurement result of the cell in the virtual active set on frequency  $j$  with the highest measurement result.

$W_j$  is a parameter sent from UTRAN to UE and used for frequency  $j$ .

If the measurement result is CPICH-Ec/No then  $M_{Frequency}$ ,  $M_{ij}$  and  $M_{Best}$  are expressed as ratios.

If the measurement result is CPICH-RSCP or PCCPCH-RSCP then  $M_{Frequency}$ ,  $M_{ij}$  and  $M_{Best}$  are expressed in mW.

### 14.2.0b.2 TDD cells

$$Q_{i, frequency j} = 10 \cdot \text{Log} M_{i, frequency j} + O_{i,j}$$

$Q_{i, frequency j}$  is the estimated quality of cell  $i$  on frequency  $j$ .

$M_{frequency j}$  is the measurement result for Primary CCPCH RSCP of cell  $i$  on frequency  $j$  expressed in mW.

$O_{i,j}$  is the cell individual offset of the currently evaluated cell  $i$  on frequency  $j$ .  $O_{ij}$  is set by IE "Cell individual offset"

### 14.2.0c Inter-frequency reporting quantities

The quantities that the UE shall report for each cell to UTRAN when the event is triggered for an inter-frequency measurement is given by the "Inter-frequency reporting quantity" IE stored for this measurement and can be the following, from 1 to 8. The quantity number 9 can be reported for each frequency that triggered the report.

- 1 Cell identity
- 2 SFN-SFN observed time difference
- 3 Cell synchronisation information
- 4 Downlink Ec/No (FDD)
- 5 Downlink path loss.

For FDD:

$$\text{Pathloss in dB} = \text{Primary CPICH Tx power} - \text{CPICH RSCP.}$$

- For Primary CPICH Tx power the IE "Primary CPICH Tx power" shall be used. The unit is dBm.
- CPICH RSCP is the result of the CPICH RSCP measurement. The unit is dBm.

For TDD:

$$\text{Pathloss in dB} = \text{Primary CCPCH TX power} - \text{Primary CCPCH RSCP.}$$

- For Primary CCPCH TX power the IE "Primary CCPCH TX Power" shall be used. The unit is dBm.
- Primary CCPCH RSCP is the result of the Primary CCPCH RSCP measurement. The unit is dBm.

If necessary Pathloss shall be rounded up to the next higher integer.

Results higher than 158 shall be reported as 158.

Results lower than 46 shall be reported as 46.

- 6 Downlink received signal code power (RSCP) after despreading (of a primary CPICH for FDD, and of a primary CCPCH for TDD).
- 7 ISCP measured on Timeslot basis. (TDD)
- 8 Proposed TGSN (TDD)
- 9 UTRA carrier RSSI

A description of those values can be found in [7] and [8].

## 14.2.1 Inter-frequency reporting events

Within the measurement reporting criteria field in the MEASUREMENT CONTROL message UTRAN notifies the UE which events should trigger the UE to send a MEASUREMENT REPORT message. The listed events are the toolbox from which the UTRAN can choose the reporting events that are needed for the implemented handover evaluation function, or other radio network functions.

All events are evaluated with respect to one of the measurement quantities given in subclause 14.2.0a. The measurement quantities are measured on the monitored primary common pilot channels (CPICH) in FDD mode and the monitored primary common control channels (PCCPCH) in TDD mode of the cell defined in the measurement object. A "non-used frequency" is a frequency that the UE has been ordered to measure upon but is not used for the connection. A "used frequency" is a frequency that the UE has been ordered to measure upon and is also currently used for the connection.

The "monitored set on non-used frequency" consists of cells in "cells for measurement" (or all cells in CELL\_INFO\_LIST if "cells for measurement" is not present) that are not part of the virtual active set on that non-used frequency.

When one inter-frequency measurement identity corresponds to multiple inter-frequency events with identical event identities, the UE behaviour is not specified.

### 14.2.1.1 Event 2a: Change of best frequency.

When event 2a is configured in the UE within a measurement, the UE shall:

- 1> when the measurement is initiated or resumed:
  - 2> store the used frequency in the variable BEST\_FREQUENCY\_2A\_EVENT.
- 1> if equation 1 below has been fulfilled for a time period indicated by "Time to trigger" for a frequency included for that event and which is not stored in the variable BEST\_FREQUENCY\_2A\_EVENT:
  - 2> send a measurement report with IEs set as below:
    - 3> set in "inter-frequency measurement event results":
      - 4> "inter-frequency event identity" to "2a"; and
      - 4> "Frequency info" to the frequency that triggered the event; and
      - 4> "Non frequency related measurement event results" to the "Primary CPICH info" of the best primary CPICH for FDD cells or "Primary CCPCH info" to the "Cells parameters ID" of the best primary CCPCH for TDD cells on that frequency, not taking into account the cell individual offset.
    - 3> if a non-used frequency triggered the measurement report:
      - 4> include in IE "Inter-frequency measured results list" the measured results for the non-used frequency that triggered the event, not taking into account the cell individual offset.
    - 3> if the used frequency triggered the measurement report:
      - 4> do not include the IE "Inter-frequency measured results list" in the measurement report.
    - 3> set the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset;
  - 2> update the variable BEST\_FREQUENCY\_2A\_EVENT with that frequency.

Equation 1:

$$Q_{NotBest} \geq Q_{Best} + H_{2a} / 2$$

The variables in the formula are defined as follows:



$Q_{Not\ Best}$  is the quality estimate of a frequency not stored the "best frequency" in the variable BEST\_FREQUENCY\_2A\_EVENT.

$Q_{Best}$  is the quality estimate of the frequency stored in "best frequency" in the variable BEST\_FREQUENCY\_2A\_EVENT.

$H_{2a}$  is the hysteresis parameter for the event 2a in that measurement.

#### 14.2.1.2 Event 2b: The estimated quality of the currently used frequency is below a certain threshold **and** the estimated quality of a non-used frequency is above a certain threshold.

When an inter-frequency measurement configuring event 2b is set up, the UE shall:

- 1> create a variable TRIGGERED\_2B\_EVENT related to that measurement, which shall initially be empty;
- 1> delete this variable when the measurement is released.

When event 2b is configured in the UE within a measurement, the UE shall:

- 1> if equations 1 and 2 below have been fulfilled for a time period indicated by "Time to Trigger" from the same instant, respectively for one or several non-used frequencies included for that event and for the used frequency:
  - 2> if any of those non-used frequency is not stored in the variable TRIGGERED\_2B\_EVENT:
    - 3> store the non-used frequencies that triggered the event and that were not previously stored in the variable TRIGGERED\_2B\_EVENT into that variable;
    - 3> send a measurement report with IEs set as below:
      - 4> set in "inter-frequency measurement event results":
        - 5> "inter-frequency event identity" to "2b"; and
        - 5> for each non-used frequency that triggered the event, beginning with the best frequency:
          - 6> "Frequency info" to that non-used frequency; and
          - 6> "Non frequency related measurement event results" to the "Primary CPICH info" of the best primary CPICH for FDD cells or "Primary CCPCH info" to the "Cell parameters ID" of the best primary CCPCH for TDD cells on that non-used frequency, not taking into account the cell individual offset.
      - 4> include in IE "Inter-frequency measured results list" the measured results for each non-used frequency that triggered the event, not taking into account the cell individual offset;
      - 4> set the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset.
  - 1> if equation 3 below is fulfilled for a non-used frequency stored in the variable TRIGGERED\_2B\_EVENT:
    - 2> remove that non-used frequency from the variable TRIGGERED\_2B\_EVENT.
  - 1> if equation 4 below is fulfilled for the used frequency:
    - 2> clear the variable TRIGGERED\_2B\_EVENT.

Triggering conditions:

Equation 1:

$$Q_{Non\ used} \geq T_{Non\ used\ 2b} + H_{2b}/2$$

The variables in the formula are defined as follows:

$Q_{Non\ used}$  is the quality estimate of a non-used frequency that becomes better than an absolute threshold.

$T_{Non\ used\ 2b}$  is the absolute threshold that applies for this non-used frequency in that measurement.

$H_{2b}$  is the hysteresis parameter for the event 2b.

Equation 2:

$$Q_{Used} \leq T_{Used\ 2b} - H_{2b}/2$$

The variables in the formula are defined as follows:

$Q_{Used}$  is the quality estimate of the used frequency.

$T_{Used\ 2b}$  is the absolute threshold that applies for the used frequency in that measurement.

$H_{2b}$  is the hysteresis parameter for the event 2b.

Leaving triggered state condition:

Equation 3:

$$Q_{Nonused} < T_{Nonused\ 2b} - H_{2b}/2$$

The variables in the formula are defined as follows:

$Q_{Non\ used}$  is the quality estimate of a non-used frequency that is stored in the variable TRIGGERED\_2B\_EVENT.

$T_{Non\ used\ 2b}$  is the absolute threshold that applies for this non-used frequency in that measurement.

$H_{2b}$  is the hysteresis parameter for the event 2b.

Equation 4:

$$Q_{Used} > T_{Used\ 2b} + H_{2b}/2$$

The variables in the formula are defined as follows:

$Q_{Used}$  is the quality estimate of the used frequency.

$T_{Used\ 2b}$  is the absolute threshold that applies for the used frequency in that measurement.

$H_{2b}$  is the hysteresis parameter for the event 2b.

#### 14.2.1.3 Event 2c: The estimated quality of a non-used frequency is above a certain threshold

When an inter-frequency measurement configuring event 2c is set up, the UE shall:

- 1> create a variable TRIGGERED\_2C\_EVENT related to that measurement, which shall initially be empty;
- 1> delete this variable when the measurement is released.

When event 2c is configured in the UE within a measurement, the UE shall:

- 1> if equation 1 below has been fulfilled for one or several non-used frequencies included for that event during the time "Time to trigger":
  - 2> if any of those non-used frequencies is not stored in the variable TRIGGERED\_2C\_EVENT:
    - 3> store the non-used frequencies that triggered the event and that were not previously stored in the variable TRIGGERED\_2C\_EVENT into that variable;
    - 3> send a measurement report with IEs set as below:
      - 4> set in "inter-frequency measurement event results":
        - 5> "inter-frequency event identity" to "2c"; and

- 5> for each non-used frequency that triggered the event, beginning with the best frequency:
    - 6> "Frequency info" to that non-used frequency; and
    - 6> "Non frequency related measurement event results" to the "Primary CPICH info" of the best primary CPICH for FDD cells or "Primary CCPCH info" to the "Cell parameters ID" of the best primary CCPCH for TDD cells on that non-used frequency, not taking into account the cell individual offset.
  - 4> include in IE "Inter-frequency measured results list" the measured results for each non-used frequency that triggered the event, not taking into account the cell individual offset;
  - 4> set the IE "additional measured results" according to subclause 8.4.2 not taking into account the cell individual offset.
- 1> if equation 2 below is fulfilled for a non-used frequency stored in the variable TRIGGERED\_2C\_EVENT:
- 2> remove that non-used frequency from the variable TRIGGERED\_2C\_EVENT.

Triggering condition:

Equation 1:

$$Q_{Nonused} \geq T_{Nonused2c} + H_{2c}/2$$

The variables in the formula are defined as follows:

$Q_{Non used}$  is the quality estimate of a non-used frequency that becomes better than an absolute threshold.

$T_{Non used 2c}$  is the absolute threshold that applies for this non-used frequency in that measurement.

$H_{2c}$  is the hysteresis parameter for the event 2c.

Leaving triggered state condition:

Equation 2:

$$Q_{Nonused} < T_{Nonused2c} - H_{2c}/2$$

The variables in the formula are defined as follows:

$Q_{Non used}$  is the quality estimate of a non-used frequency stored in the variable TRIGGERED\_2C\_EVENT.

$T_{Non used 2c}$  is the absolute threshold that applies for this non-used frequency in that measurement.

$H_{2c}$  is the hysteresis parameter for the event 2c.

#### 14.2.1.4 Event 2d: The estimated quality of the currently used frequency is below a certain threshold

A UE shall be able to perform this measurement and the corresponding event reporting without requiring compressed mode.

When an inter-frequency measurement configuring event 2d is set up, the UE shall:

- 1> create a variable TRIGGERED\_2D\_EVENT related to that measurement, which shall initially be set to FALSE;
- 1> delete this variable when the measurement is released.

When event 2d is configured in the UE within a measurement, the UE shall:

- 1> if equation 1 below has been fulfilled for the used frequency during the time "Time to trigger":
  - 2> if the variable TRIGGERED\_2D\_EVENT is set to FALSE:
    - 3> set the variable TRIGGERED\_2D\_EVENT to TRUE;

3> send a measurement report with IEs set as below:

4> set in "inter-frequency event results": "inter-frequency event identity" to "2d" and no IE "Inter-frequency cells", not taking into account the cell individual offset;

4> include in IE "Inter-frequency measured results list" the measured results for the used frequency, not taking into account the cell individual offset;

4> set the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset.

1> if the variable TRIGGERED\_2D\_EVENT is set to TRUE and if equation 2 is fulfilled for the used frequency:

2> set the variable TRIGGERED\_2D\_EVENT to FALSE.

Triggering condition:

Equation 1:

$$Q_{Used} \leq T_{Used2d} - H_{2d}/2$$

The variables in the formula are defined as follows:

$Q_{Used}$  is the quality estimate of the used frequency.

$T_{Used2d}$  is the absolute threshold that applies for the used frequency and event 2d.

$H_{2d}$  is the hysteresis parameter for the event 2d.

Leaving triggered state condition:

Equation 2:

$$Q_{Used} > T_{Used2d} + H_{2d}/2$$

The variables in the formula are defined as follows:

$Q_{Used}$  is the quality estimate of the used frequency.

$T_{Used2d}$  is the absolute threshold that applies for the used frequency and event 2d.

$H_{2d}$  is the hysteresis parameter for the event 2d.

#### 14.2.1.5 Event 2e: The estimated quality of a non-used frequency is below a certain threshold

When an inter-frequency measurement configuring event 2e is set up, the UE shall:

1> create a variable TRIGGERED\_2E\_EVENT related to that measurement, which shall initially be empty;

1> delete this variable when the measurement is released.

When event 2e is configured in the UE within a measurement, the UE shall:

1> if equation 1 below has been fulfilled for one or several non-used frequencies included for that event during the time "Time to trigger":

2> if any of those non-used frequencies is not stored in the variable TRIGGERED\_2E\_EVENT:

3> store the non-used frequencies that triggered the event and that were not previously stored in the variable TRIGGERED\_2E\_EVENT into that variable;

3> send a measurement report with IEs set as below:

4> set in "inter-frequency measurement event results":

- 5> "inter-frequency event identity" to "2e"; and
- 5> for each non-used frequency that triggered the event, beginning with the best frequency:
  - 6> "Frequency info" to that non-used frequency; and
  - 6> "Non frequency related measurement event results" to the "Primary CPICH info" of the best primary CPICH for FDD cells or "Primary CCPCH info" to the "Cell parameters ID" of the best primary CCPCH for TDD cells on that non-used frequency, not taking into account the cell individual offset.
- 4> include in the IE "Inter-frequency measured results list" the measured results for each non-used frequency that triggered the event, not taking into account the cell individual offset;
- 4> set the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset.
- 1> if equation 2 below is fulfilled for a non-used frequency stored in the variable TRIGGERED\_2E\_EVENT:
  - 2> remove that non-used frequency from the variable TRIGGERED\_2E\_EVENT.

Triggering condition:

Equation 1:

$$Q_{Nonused} \leq T_{Nonused2e} - H_{2e} / 2$$

The variables in the formula are defined as follows:

$Q_{Non used}$  is the quality estimate of a non-used frequency that becomes worse than an absolute threshold.

$T_{Non used 2e}$  is the absolute threshold that applies for that non-used frequency for that event.

$H_{2e}$  is the hysteresis parameter for the event 2e.

Leaving triggered state condition:

Equation 2:

$$Q_{Nonused} > T_{Nonused2e} + H_{2e} / 2$$

The variables in the formula are defined as follows:

$Q_{Non used}$  is the quality estimate of a non-used frequency stored in the variable TRIGGERED\_2E\_EVENT.

$T_{Non used 2e}$  is the absolute threshold that applies for that non-used frequency for that event.

$H_{2e}$  is the hysteresis parameter for the event 2e.

#### 14.2.1.6 Event 2 f: The estimated quality of the currently used frequency is above a certain threshold

A UE shall be able to perform this measurement and the corresponding event reporting without requiring compressed mode.

When an inter-frequency measurement configuring event 2f is set up, the UE shall:

- 1> create a variable TRIGGERED\_2F\_EVENT related to that measurement, which shall initially be set to FALSE;
- 1> delete this variable when the measurement is released.

When event 2f is configured in the UE within a measurement, the UE shall:

- 1> if equation 1 below has been fulfilled for the used frequency during the time "Time to trigger":
  - 2> if the variable TRIGGERED\_2F\_EVENT is set to FALSE:

3> set the variable TRIGGERED\_2F\_EVENT to TRUE;

3> send a measurement report with IEs set as below:

4> set in "inter-frequency event results": "inter-frequency event identity" to "2f", and no IE "Inter-frequency cells";

4> include in IE "Inter-frequency measured results list" the measured results for the used frequency, not taking into account the cell individual offset;

4> set the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset.

1> if the variable TRIGGERED\_2F\_EVENT is set to TRUE and if equation 2 is fulfilled for the used frequency:

2> set the variable TRIGGERED\_2F\_EVENT to FALSE.

Triggering condition:

Equation 1:

$$Q_{Used} \geq T_{Used2f} + H_{2f}/2$$

The variables in the formula are defined as follows:

$Q_{Used}$  is the quality estimate of the used frequency.

$T_{Used2f}$  is the absolute threshold that applies for the used frequency and event 2f.

$H_{2f}$  is the hysteresis parameter for the event 2f.

Leaving triggered state condition:

Equation 2:

$$Q_{Used} < T_{Used2f} - H_{2f}/2$$

The variables in the formula are defined as follows:

$Q_{Used}$  is the quality estimate of the used frequency.

$T_{Used2f}$  is the absolute threshold that applies for the used frequency and event 2f.

$H_{2f}$  is the hysteresis parameter for the event 2f.

## 14.3 Inter-RAT measurements

### 14.3.0a Inter-RAT measurement quantities

A measurement quantity is used by the UE to evaluate whether an inter-RAT measurement event has occurred or not.

The measurement quantity for UTRAN is used to compute the frequency quality estimate for the active set, as described in the next subclause, and can be:

- 1 Downlink Ec/No.
- 2 Downlink received signal code power (RSCP) after despreading.

The measurement quantity for GSM can be:

- 1 GSM Carrier RSSI

A description of those values can be found in [7] and [8].

### 14.3.0b Frequency quality estimate of the UTRAN frequency

The estimated quality of the active set in UTRAN in event 3a is defined as:

$$Q_{UTRAN} = 10 \cdot \text{Log} M_{UTRAN} = W \cdot 10 \cdot \text{Log} \left( \sum_{i=1}^{N_A} M_i \right) + (1 - W) \cdot 10 \cdot \text{Log} M_{Best},$$

The variables in the formula are defined as follows:

$Q_{UTRAN}$  is the estimated quality of the active set on the currently used UTRAN frequency.

$M_{UTRAN}$  is the estimated quality of the active set on currently used UTRAN frequency expressed in another unit.

$M_i$  is the measurement result of cell  $i$  in the active set, according to what is indicated in the IE "Measurement quantity for UTRAN quality estimate".

$N_A$  is the number of cells in the active set.

$M_{Best}$  is the measurement result of the cell in the active set with the highest measurement result.

$W$  is a parameter sent from UTRAN to UE.

If the measurement result is CPICH-Ec/No  $M_{UTRAN}$ ,  $M_i$  and  $M_{Best}$  are expressed as ratios.

If the measurement result is CPICH-RSCP or PCCPCH-RSCP,  $M_{UTRAN}$ ,  $M_i$  and  $M_{Best}$  are expressed in mW.

### 14.3.0c Inter-RAT reporting quantities

The quantities that the UE shall report to UTRAN when the event is triggered for an inter-RAT measurement are given by the IE "Inter-RAT reporting quantity" stored for that measurement, and can be the following:

In the case the other RAT is GSM:

- 1 Observed time difference to the GSM cell
  - The reference cell in the UTRAN is one of the cells in the active set.
- 2 GSM carrier RSSI

A description of those values can be found in [7] and [8].

### 14.3.1 Inter-RAT reporting events

Within the measurement reporting criteria field in the MEASUREMENT CONTROL message the UTRAN notifies the UE which events should trigger the UE to send a MEASUREMENT REPORT message. The listed events are the toolbox from which the UTRAN can choose the reporting events that are needed for the implemented handover evaluation function, or other radio network functions.

All events are measured with respect to one of the measurement quantities given in subclause 14.3.0a, and of the frequency quality estimate given in subclause 14.3.0b. For UTRAN the measurement quantities are measured on the monitored primary common pilot channels (CPICH) in FDD mode and the monitored primary common control channels (PCCPCH) in TDD mode of the cell defined in the measurement object. For other RATs the measurement quantities are system-specific. A "used UTRAN frequency" is a frequency that the UE have been ordered to measure upon and is also currently used for the connection to UTRAN. "Other system" is e.g. GSM.

In the text below describing the events:

- "The BCCH ARFCN and BSIC combinations considered in that inter-RAT measurement" shall be understood as the BCCH ARFCN and BSIC combinations of the inter-RAT cells pointed at in the IE "Cells for measurement" if it has been received for that inter-RAT measurement, or otherwise of the cells included in the "inter-RAT cell info" part of the variable CELL\_INFO LIST.

- "The BCCH ARFCNs considered in that inter-RAT measurement" shall be understood as the BCCH ARFCNs of the inter-RAT cells pointed at in the IE "Cells for measurement" if it has been received for that inter-RAT measurement, or otherwise of the cells included in the "inter-RAT cell info" part of the variable CELL\_INFO LIST.

When one inter-RAT measurement identity corresponds to multiple inter-RAT events with identical event identities, the UE behaviour is not specified.

#### 14.3.1.1 Event 3a: The estimated quality of the currently used UTRAN frequency is below a certain threshold and the estimated quality of the other system is above a certain threshold.

When an inter-RAT measurement configuring event 3a is set up, the UE shall:

- 1> create a variable TRIGGERED\_3A\_EVENT related to that measurement, which shall initially be empty;
- 1> delete this variable when the measurement is released.

When event 3a is configured in the UE within a measurement, the UE shall:

- 1> if the other RAT is GSM, and if IE "BSIC verification required" is set to "required":
  - 2> if equations 1 and 2 below have both been fulfilled for a time period indicated by "Time to trigger" from the same instant, respectively for the used UTRAN frequency and for one or several GSM cells that match any of the BCCH ARFCN and BSIC combinations considered in that inter-RAT measurement:
    - 3> if the Inter-RAT cell id of any of those GSM cells is not stored in the variable TRIGGERED\_3A\_EVENT:
      - 4> store the Inter-RAT cell ids of the GSM cells that triggered the event and that were not previously stored in the variable TRIGGERED\_3A\_EVENT into that variable.
      - 4> send a measurement report with IEs set as below:
        - 5> in "inter-RAT measurement event result": "inter-RAT event identity" to "3a", "CHOICE BSIC" to "verified BSIC" and "Inter-RAT cell id" to the GSM cells that triggered the event (best one first), taking into account the cell individual offset of the GSM cells;
        - 5> "measured results" and possible "additional measured results" according to subclause 8.6.7.5 and 8.4.2, respectively, not taking into account the cell individual offset.
    - 2> if equation 4 is fulfilled for a GSM cell whose inter-RAT cell id is stored in the variable TRIGGERED\_3A\_EVENT:
      - 3> remove the inter-RAT cell id of that GSM cell from the variable TRIGGERED\_3A\_EVENT.
  - 2> if equation 3 is fulfilled for the used frequency in UTRAN:
    - 3> clear the variable TRIGGERED\_3A\_EVENT.
  - 1> if the other RAT is GSM, and if IE "BSIC verification required" is set to "not required":
    - 2> if equations 1 and 2 below have been fulfilled for a time period indicated by "Time to trigger" from the same instant, respectively for the used UTRAN frequency and for one or several BCCH ARFCNs considered in that inter-RAT measurement:
      - 3> if any of those BCCH ARFCNs is not stored into the variable TRIGGERED\_3A\_EVENT:
        - 4> store the BCCH ARFCNs that triggered the event and that were not previously stored in the variable TRIGGERED\_3A\_EVENT into that variable;
        - 4> send a measurement report with IEs set as below:
          - 5> in "inter-RAT measurement event result": "inter-RAT event identity" to "3a", "CHOICE BSIC" to "non verified BSIC" and "BCCH ARFCN" to BCCH ARFCNs that triggered the event (best one first), taking into account the cell individual offset of the GSM cells;



5> "measured results" and possible "additional measured results" according to subclause 8.6.7.5 and 8.4.2, respectively, not taking into account the cell individual offset.

2> if equation 4 is fulfilled for a BCCH ARFCN that is stored in the variable TRIGGERED\_3A\_EVENT:

3> remove that BCCH ARFCN from the variable TRIGGERED\_3A\_EVENT.

2> if equation 3 is fulfilled for the used frequency in UTRAN:

3> clear the variable TRIGGERED\_3A\_EVENT.

Triggering conditions:

Equation 1:

$$Q_{Used} \leq T_{Used} - H_{3a} / 2$$

The variables in the formula are defined as follows:

$Q_{Used}$  is the quality estimate of the used UTRAN frequency.

$T_{Used}$  is the absolute threshold that applies for the used frequency in that measurement.

$H_{3a}$  is the hysteresis parameter for event 3a.

Equation 2:

$$M_{Other RAT} + CIO_{Other RAT} \geq T_{Other RAT} + H_{3a} / 2$$

The variables in the formula are defined as follows:

$M_{Other RAT}$  is the measurement quantity for the cell of the other system.

$CIO_{Other RAT}$  is the cell individual offset for the cell of the other system.

$T_{Other RAT}$  is the absolute threshold that applies for the other system in that measurement.

$H_{3a}$  is the hysteresis parameter for event 3a.

Leaving triggered state conditions:

Equation 3:

$$Q_{Used} > T_{Used} + H_{3a} / 2$$

The variables in the formula are defined as follows:

$Q_{Used}$  is the quality estimate of the used UTRAN frequency.

$T_{Used}$  is the absolute threshold that applies for the used frequency in that measurement.

$H_{3a}$  is the hysteresis parameter for event 3a.

Equation 4:

$$M_{Other RAT} + CIO_{Other RAT} < T_{Other RAT} - H_{3a} / 2$$

The variables in the formula are defined as follows:

$M_{Other RAT}$  is the measurement quantity for the cell of the other system.  $M_{Other RAT}$  is expressed in dBm.

$CIO_{Other RAT}$  is the cell individual offset for the cell of the other system.

$T_{Other RAT}$  is the absolute threshold that applies for the other system in that measurement.

$H_{3a}$  is the hysteresis parameter for event 3a.

### 14.3.1.2 Event 3b: The estimated quality of other system is below a certain threshold

When an inter-RAT measurement configuring event 3b is set up, the UE shall:

- 1> create a variable TRIGGERED\_3B\_EVENT related to that measurement, which shall initially be empty;
- 1> delete this variable when the measurement is released.

When event 3b is configured in the UE within a measurement, the UE shall:

- 1> if the other RAT is GSM, and if IE "BSIC verification required" is set to "required":
  - 2> if equation 1 below has been fulfilled for a time period indicated by "time to trigger" for one or several GSM cells that match any of the BCCH ARFCN and BSIC combinations considered in that inter-RAT measurement:
    - 3> if the inter-RAT cell id of any of those GSM cell is not stored in the variable TRIGGERED\_3B\_EVENT:
      - 4> store the inter-RAT cell ids of the GSM cells that triggered the event and that were not previously stored in the variable TRIGGERED\_3B\_EVENT into that variable;
      - 4> send a measurement report with IEs set as below:
        - 5> set in "inter-RAT measurement event result": "inter-RAT event identity" to "3b", "CHOICE BSIC" to "verified BSIC" and "Inter-RAT cell id" to the GSM cells that triggered the event (worst one first), taking into account the cell individual offset of the GSM cells;
        - 5> set the IE "measured results" and the IE "additional measured results" according to subclause 8.6.7.5 and 8.4.2, respectively, not taking into account the cell individual offset.
    - 2> if equation 2 below is fulfilled for a GSM cell whose inter-RAT cell id is stored in the variable TRIGGERED\_3B\_EVENT:
      - 3> remove the inter-RAT cell id of that GSM cell from the variable TRIGGERED\_3B\_EVENT.
- 1> if the other RAT is GSM, and if IE "BSIC verification required" is set to "not required":
  - 2> if equation 1 below has been fulfilled for a time period indicated by "time to trigger" for one or several of the BCCH ARFCNs considered in that inter-RAT measurement:
    - 3> if any of those BCCH ARFCN is not stored into the variable TRIGGERED\_3B\_EVENT:
      - 4> store the BCCH ARFCNs that triggered the event and that were not previously stored in the variable TRIGGERED\_3B\_EVENT into that variable;
      - 4> send a measurement report with IEs set as below:
        - 5> set in "inter-RAT measurement event result": "inter-RAT event identity" to "3b", "CHOICE BSIC" to "non verified BSIC" and "BCCH ARFCN" to BCCH ARFCNs that triggered the event (worst one first), taking into account the cell individual offset of the GSM cells;
        - 5> set the IE "measured results" and the IE "additional measured results" according to subclause 8.6.7.5 and 8.4.2, respectively, not taking into account the cell individual offset.
    - 2> if equation 2 below is fulfilled for a BCCH ARFCN that is stored in the variable TRIGGERED\_3B\_EVENT:
      - 3> remove that BCCH ARFCN from the variable TRIGGERED\_3B\_EVENT.

Triggering condition:

Equation 1:

$$M_{Other\ RAT} + CIQ_{Other\ RAT} \leq T_{Other\ RAT} - H_{3b} / 2$$

The variables in the formula are defined as follows:

$M_{Other\ RAT}$  is the measurement quantity for the cell of the other system.

$CIO_{Other\ RAT}$  is the cell individual offset for the cell of the other system.

$T_{Other\ RAT}$  is the absolute threshold that applies for the other system in that measurement.

$H_{3b}$  is the hysteresis parameter for event 3b.

Leaving triggered state condition:

Equation 2:

$$M_{Other\ RAT} + CIO_{Other\ RAT} > T_{Other\ RAT} + H_{3b}/2$$

The variables in the formula are defined as follows:

$M_{Other\ RAT}$  is the measurement quantity for the cell of the other system.  $M_{Other\ RAT}$  is expressed in dBm.

$CIO_{Other\ RAT}$  is the cell individual offset for the cell of the other system.

$T_{Other\ RAT}$  is the absolute threshold that applies for the other system in that measurement.

$H_{3b}$  is the hysteresis parameter for event 3b.

#### 14.3.1.3 Event 3c: The estimated quality of other system is above a certain threshold

When an inter-RAT measurement configuring event 3c is set up, the UE shall:

- 1> create a variable TRIGGERED\_3C\_EVENT related to that measurement, which shall initially be empty;
- 1> delete this variable when the measurement is released.

When event 3c is configured in the UE within a measurement, the UE shall:

- 1> if the other RAT is GSM, and if IE "BSIC verification required" is set to "required":
  - 2> if equation 1 below has been fulfilled for a time period indicated by "time to trigger" for one or several GSM cells that match any of the BCCH ARFCN and BSIC combinations considered in that inter-RAT measurement:
    - 3> if the inter-RAT cell id of any of those GSM cell is not stored in the variable TRIGGERED\_3C\_EVENT:
      - 4> store the Inter-RAT cell ids of the GSM cells that triggered the event and that were not previously stored in the variable TRIGGERED\_3C\_EVENT into that variable;
      - 4> send a measurement report with IEs set as below:
        - 5> set in "inter-RAT measurement event result": "inter-RAT event identity" to "3c", "CHOICE BSIC" to "verified BSIC" and "Inter-RAT cell id" to the GSM cells that triggered the event (best one first), taking into account the cell individual offset of the GSM cells;
        - 5> set the IE "measured results" and the IE "additional measured results" according to subclause 8.6.7.5 and 8.4.2, respectively, not taking into account the cell individual offset.
  - 2> if equation 2 below is fulfilled for a GSM cell whose inter-RAT cell id is stored in the variable TRIGGERED\_3C\_EVENT:
    - 3> remove the inter-RAT cell id of that GSM cell from the variable TRIGGERED\_3C\_EVENT.
- 1> if the other RAT is GSM, and if IE "BSIC verification required" is set to "not required":
  - 2> if equation 1 below has been fulfilled for a time period indicated by "time to trigger" for one or several of the BCCH ARFCNs considered in that inter-RAT measurement:

- 3> if any of those BCCH ARFCN is not stored into the variable TRIGGERED\_3C\_EVENT:
  - 4> store the BCCH ARFCNs that triggered the event and that were not previously stored in the variable TRIGGERED\_3C\_EVENT into that variable;
  - 4> send a measurement report with IEs set as below:
    - 5> set in "inter-RAT measurement event result": "inter-RAT event identity" to "3c", "CHOICE BSIC" to "non verified BSIC" and "BCCH ARFCN" to BCCH ARFCNs that triggered the event (best one first), taking into account the cell individual offset of the GSM cells;
    - 5> set the IE "measured results" and the IE "additional measured results" according to subclause 8.6.7.5 and 8.4.2, respectively, not taking into account the cell individual offset.
- 2> if equation 2 is fulfilled for a BCCH ARFCN that is stored in the variable TRIGGERED\_3C\_EVENT:
  - 3> remove that BCCH ARFCN from the variable TRIGGERED\_3C\_EVENT.

Triggering condition:

Equation 1:

$$M_{Other\ RAT} + CIO_{Other\ RAT} \geq T_{Other\ RAT} + H_{3c} / 2$$

The variables in the formula are defined as follows:

$M_{Other\ RAT}$  is the measurement quantity for the cell of the other system.  $M_{Other\ RAT}$  is expressed in dBm.

$CIO_{Other\ RAT}$  is the cell individual offset for the cell of the other system.

$T_{Other\ RAT}$  is the absolute threshold that applies for the other system in that measurement.

$H_{3c}$  is the hysteresis parameter for event 3c.

Leaving triggered state condition:

Equation 2:

$$M_{Other\ RAT} + CIO_{Other\ RAT} < T_{Other\ RAT} - H_{3c} / 2$$

The variables in the formula are defined as follows:

$M_{Other\ RAT}$  is the measurement quantity for the cell of the other system.  $M_{Other\ RAT}$  is expressed in dBm.

$CIO_{Other\ RAT}$  is the cell individual offset for the cell of the other system.

$T_{Other\ RAT}$  is the absolute threshold that applies for the other system in that measurement.

$H_{3c}$  is the hysteresis parameter for event 3c.

#### 14.3.1.4 Event 3d: Change of best cell in other system

When an inter-RAT measurement configuring event 3d is set up, the UE shall:

- 1> create a variable BEST\_CELL\_3D\_EVENT related to that measurement;
- 1> delete this variable when the measurement is released.

When event 3d is configured in the UE within a measurement, the UE shall:

- 1> if the other RAT is GSM, and if IE "BSIC verification required" is set to "required":
  - 2> when the measurement is initiated or resumed:
    - 3> store in the variable BEST\_CELL\_3D\_EVENT the Inter-RAT cell id of the GSM cell that has the best measured quantity among the GSM cells that match any of the BCCH ARFCN and BSIC combinations considered in that inter-RAT measurement, not taking into account the cell individual offset of the GSM cells;
    - 3> send a measurement report with IE set as below:
      - 4> set in "inter-RAT measurement event result": "inter-RAT event identity" to "3d", "CHOICE BSIC" to "verified BSIC" and "Inter-RAT cell id" to the GSM cell that is stored in the variable BEST\_CELL\_3D\_EVENT;
      - 4> set the IE "measured results" and the IE "additional measured results" according to subclause 8.6.7.5 and 8.4.2, respectively, not taking into account the cell individual offset.
  - 2> if equation 1 has been fulfilled for a time period indicated by "time to trigger" for a GSM cell that is different from the one stored in BEST\_CELL\_3D\_EVENT and that matches any of the BCCH ARFCN and BSIC combinations considered in that inter-RAT measurement:
    - 3> store the Inter-RAT cell id of that GSM cell in the variable BEST\_CELL\_3D\_EVENT;
    - 3> send a measurement report with IEs set as below:
      - 4> set in "inter-RAT measurement event result": "inter-RAT event identity" to "3d", "CHOICE BSIC" to "verified BSIC" and "Inter-RAT cell id" to the GSM cell is now stored in BEST\_CELL\_3D\_EVENT;
      - 4> set the IE "measured results" and the IE "additional measured results" according to subclause 8.6.7.5 and 8.4.2, respectively, not taking into account the cell individual offset.
- 1> if the other RAT is GSM, and if IE "BSIC verification required" is set to "not required":
  - 2> when the measurement is initiated or resumed:
    - 3> store in the variable BEST\_CELL\_3D\_EVENT the BCCH ARFCN of the GSM cell that has the best measured quantity among the BCCH ARFCNs considered in that inter-RAT measurement;
    - 3> send a measurement report with IE set as below:
      - 4> set in "inter-RAT measurement event result": "inter-RAT event identity" to "3d", "CHOICE BSIC" to "non verified BSIC" and "BCCH ARFCN" to the BCH ARFCN that is stored in the variable BEST\_CELL\_3D\_EVENT;
      - 4> set the IE "measured results" and the IE "additional measured results" according to subclause 8.6.7.5 and 8.4.2, respectively, not taking into account the cell individual offset.
  - 2> if equation 1 below has been fulfilled for a time period indicated by "time to trigger" for one of the BCCH ARFCNs considered in that inter-RAT measurement and different from the one stored in BEST\_CELL\_3D\_EVENT:
    - 3> store the BCCH ARFCN of that GSM cell in the variable BEST\_CELL\_3D\_EVENT;
    - 3> send a measurement report with IEs set as below:
      - 4> set in "inter-RAT measurement event result": "inter-RAT event identity" to "3d", "CHOICE BSIC" to "non verified BSIC" and "BCCH ARFCN" to the BCCH ARFCN that is now stored in the variable BEST\_CELL\_3D\_EVENT;
      - 4> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2, not taking into account the cell individual offset.

Equation 1:

$$M_{New} \geq M_{Best} + H_{3d}/2$$

The variables in the formula are defined as follows:

$M_{New}$  is the measurement quantity for a GSM cell that is not stored in the variable BEST\_CELL\_3D\_EVENT.

$M_{Best}$  is the measurement quantity for a GSM cell that is stored in the variable BEST\_CELL\_3D\_EVENT.

$H_{3d}$  is the hysteresis parameter for event 3d.

## 14.3.2 GSM measurements in compressed mode

### 14.3.2.1 GSM RSSI measurements

The UE shall perform GSM RSSI measurements in the gaps of compressed mode pattern sequence specified for GSM RSSI measurement purpose. The UE cannot be required to measure "Observed time difference to GSM" in gaps specified for this purpose.

### 14.3.2.2 Initial BSIC identification

The UE shall perform Initial BSIC identification in compressed mode pattern sequence specified for Initial BSIC identification measurement purpose.

The parameter "N identify abort" in the IE "DPCH compressed mode info" indicates the maximum number of patterns that the UE shall use to attempt to decode the unknown BSIC of the GSM cell in the initial BSIC identification procedure.

The UE shall be able to measure the "Observed time difference to GSM cell" during a compressed mode pattern sequence configured for this purpose.

The BSIC identification procedure is defined in detail in [19].

### 14.3.2.3 BSIC re-confirmation

The UE shall perform BSIC re-confirmation in compressed mode pattern sequence specified for BSIC re-confirmation measurement purpose.

The parameter "T reconfirm abort" in the IE "DPCH compressed mode info" indicates the maximum time allowed for the re-confirmation of the BSIC of one GSM cell in the BSIC re-confirmation procedure.

The UE shall be able to measure the "Observed time difference to GSM cell" during a compressed mode pattern sequence configured for this purpose.

The BSIC re-confirmation procedure is defined in detail in [19].

## 14.4 Traffic Volume Measurements

### 14.4.1 Traffic Volume Measurement Quantity

Traffic volume measurements may be configured by RRC to assist with dynamic radio bearer control. The reported quantities that can be configured are:

- 1 Buffer Occupancy.
- 2 Average of Buffer Occupancy.
- 3 Variance of Buffer Occupancy.

A description of these values can be found in [15].

When a report is triggered, the UE shall provide the requested quantities for the acknowledged and unacknowledged mode RBs mapped onto the transport channels identified.

When the RLC buffer payload, Average of RLC buffer payload or Variance of RLC buffer payload is reported, the measured quantity shall be rounded upwards to the closest higher value possible to report.

## 14.4.2 Traffic Volume reporting triggers

Traffic volume measurement reports can be triggered using two different mechanisms, periodical and event triggered. The reporting criteria are specified in the measurement control message.

All the specified events are evaluated with respect to the Transport Channel Traffic Volume (TCTV). This quantity is equal to the sum of the Buffer Occupancy for all logical channels mapped onto a transport channel. The events on a given transport channel shall be evaluated at least at every TTI (may be more often) as described in [15].

When one traffic volume measurement identity corresponds to multiple traffic volume events with identical event identities for the same transport channel, the UE behaviour is not specified.

When a traffic volume measurement is set up, the UE shall:

- 1> if the IE "report criteria" is set to "Traffic volume measurement reporting criteria":
  - 2> for each IE "Parameters sent for each transport channel":
    - 3> if the IE "Uplink transport channel type" is not included; or
    - 3> if the IE "Uplink Transport Channel Type" has the value "DCH" or "USCH" and the IE "UL transport channel id" is not included:
      - 4> for each IE "Parameters required for each Event":
        - 5> for each uplink transport channel on which the UE is supposed to report (see below):
          - 6> configure an event trigger defined by the values in the IEs "Measurement Identity", "Traffic volume event identity", "Reporting threshold", "Time to trigger", "Pending time after trigger" and "Tx Interruption after trigger".
    - 3> else:
      - 4> for each IE "Parameters required for each Event":
        - 5> for the uplink transport channel defined by the IEs "Uplink transport channel type" and "UL transport channel id":
          - 6> configure an event trigger defined by the values in the IEs "Measurement Identity", "Traffic volume event identity", "Reporting threshold", "Time to trigger", "Pending time after trigger" and "Tx Interruption after trigger".
- 1> else:
  - 2> if the IE "report criteria" is set to "Periodical reporting criteria":
    - 2> configure periodical triggers with period equal to the value in the IE "Reporting Interval" and with number of transmissions equal to the value in the IE "Amount of reporting" for the measurement identified by the IE "Measurement Identity".

For each transport channel for which an event trigger has been configured, the UE shall:

- 1> for each event configured for this transport channel:
  - 2> if the TCTV is larger than the threshold in IE "Reporting threshold" at TVM setup or modify; or
  - 2> if the TCTV becomes larger than the threshold in IE "Reporting threshold" while the event is configured:
    - 3> if the IE "Traffic volume event identity" has value "4a":

- 4> if the IE "Time to trigger" is not present; and
- 4> if the Pending-time-after-trigger timer for this event is not active:
  - 5> if the IE "Pending time after trigger" is included:
    - 6> start the Pending-time-after-trigger timer for this event with the value in this IE.
  - 5> trigger a report for the measurement identified by the IE "Measurement Identity".
- 4> else:
  - 5> start the Time-to-trigger timer for this event with the value in the IE "Time to trigger".
- 3> if the IE "Traffic volume event identity" has value "4b":
  - 4> if the Time-to-trigger timer for this event is active:
    - 5> stop this timer.
- 2> if the TCTV is smaller than the threshold in IE "Reporting threshold" at TVM setup or modify; or
- 2> if the TCTV becomes smaller than the threshold in IE "Reporting threshold" while the event is configured:
  - 3> if the IE "Traffic volume event identity" has value "4a":
    - 4> if the Time-to-trigger timer for this event is active:
      - 5> stop this timer.
  - 3> if the IE "Traffic volume event identity" has value "4b":
    - 4> if the IE "Time to trigger" is not present; and
    - 4> if the Pending-time-after-trigger timer for this event is not active:
      - 5> if the IE "Pending time after trigger" is included:
        - 6> start the Pending-time-after-trigger timer for this event with the value in this IE.
      - 5> trigger a report for the measurement identified by the IE "Measurement Identity".
  - 4> else:
    - 5> start the Time-to-trigger timer for this event with the value in the IE "Time to trigger".

When the Time-to-trigger timer for an event elapses:

- 1> if the Pending-time-after-trigger timer for this event is not active:
  - 2> trigger a report for the measurement identified by the IE "Measurement Identity" corresponding to this event;
- 2> if the IE "Pending time after trigger" is included:
  - 3> start the Pending-time-after-trigger timer for this event with the value in this IE.

When the Pending-time-after-trigger for an event elapses:

- 1> if the IE "Traffic volume event identity" has value "4a":
- 2> if the TCTV is larger than the threshold in IE "Reporting threshold":
- 3> if the IE "Time to trigger" is not present:
  - 4> trigger a report for the measurement identified by the IE "Measurement Identity" corresponding to this event;
  - 4> start the Pending-time-after-trigger timer for this event with the value in the IE "Pending time after trigger".



3> else:

4> start the Time-to-trigger timer for this event with the value in the IE "Time to trigger".

1> if the IE "Traffic volume event identity" has value "4b":

2> if the TCTV is smaller than the threshold in IE "Reporting threshold":

3> if the IE "Time to trigger" is not present:

4> trigger a report for the measurement identified by the IE "Measurement Identity" corresponding to this event;

4> start the Pending-time-after-trigger timer for this event with the value in the IE "Pending time after trigger".

3> else:

4> start the Time-to-trigger timer for this event with the value in the IE "Time to trigger".

When a periodical trigger elapses, the UE shall:

1> trigger a report for the measurement identified by the IE "Measurement Identity";

1> if the number of reports triggered by this periodical trigger reaches the value in the IE "Amount of reporting":

2> disable this periodical trigger.

When a report is triggered for a given IE "Measurement Identity", the UE shall:

1> consider the variable MEASUREMENT\_IDENTITY corresponding to this measurement identity;

1> if the report is triggered by an event trigger:

2> include the IE "Event results";

2> set the IE "Uplink transport channel type causing the event" to the type of the transport channel which triggered the report;

2> if the transport channel type is "DCH" or "USCH":

3> include the IE "UL Transport Channel identity" and set it to the identity of the transport channel which triggered the report.

2> else:

3> not include the IE "UL Transport Channel identity".

2> set the IE "Traffic volume event identity" to the identity of the event that triggered the report;

2> if the IE "Tx interruption after trigger" for the event that triggered the report is included:

3> if the UE is in CELL\_FACH state:

4> prohibit DTCH transmissions on the RACH;

4> if the Tx interruption timer is not running; or

4> if the Tx interruption timer is running and still has a value larger than the IE "Tx interruption after trigger" for the event, i.e. it was started earlier by another event with a larger value in IE "Tx interruption after trigger":

5> start the Tx interruption timer with the value in the IE "Tx interruption after trigger" for this event.

4> when it receives from the UTRAN a message causing the transition to CELL\_DCH state; or

4> when the Tx interruption timer elapses:

- 5> stop the timer;
- 5> resume these transmissions.
- 1> if the IE "Traffic volume measurement object" is not included:
  - 2> report on all the uplink transport channels as specified below.
- 1> if the IE "Traffic volume measurement object" is included:
  - 2> report on the uplink transport channels identified in this IE as specified below.
- 1> for each UM or AM RB mapped onto a transport channel on which the UE is expected to report:
  - 2> add an element in the IE "Traffic volume measurement results";
  - 2> set the value of the IE "RB Identity" to the identity of the considered radio bearer;
  - 2> if the RB is mapped onto one logical channel:
    - 3> if the IE "RLC Buffer Payload for each RB" is set to TRUE:
      - 4> include the IE "RLC Buffers Payload" and set it to the Buffer Occupancy value for this logical channel, rounded up to the next allowed value.
    - 3> if the IE "Average of RLC Buffer Payload for each RB" is set to TRUE:
      - 4> include and set the IE "Average of RLC Buffer Payload" to the Buffer Occupancy for this logical channel averaged over the interval specified in the IE "Time Interval to take an average or a variance" (see [15]), rounded up to the next allowed value.
    - 3> if the IE "Variance of RLC Buffer Payload for each RB" is set to TRUE:
      - 4> include and set the IE "Variance of RLC Buffer Payload" to the variance of the Buffer Occupancy for this logical channel computed over the interval specified in the IE "Time Interval to take an average or a variance" (see [15]), rounded up to the next allowed value.
  - 2> if the RB is mapped onto two logical channels:
    - 3> if one logical channel is mapped onto transport channels on which the UE is supposed to report:
      - 4> if the IE "RLC Buffer Payload for each RB" is set to TRUE:
        - 5> include and set the IE "RLC Buffers Payload" to the Buffer Occupancy value for this logical channel, rounded up to the next allowed value.
      - 4> if the IE "Average of RLC Buffer Payload for each RB" is set to TRUE:
        - 5> include and set the IE "Average of RLC Buffer Payload" to the Buffer Occupancy for this logical channel averaged over the interval specified in the IE "Time Interval to take an average or a variance" (see [15]), rounded up to the next allowed value.
      - 4> if the IE "Variance of RLC Buffer Payload for each RB" is set to TRUE:
        - 5> include and set the IE "Variance of RLC Buffer Payload" to the variance of the Buffer Occupancy for this logical channel computed over the interval specified in the IE "Time Interval to take an average or a variance" (see [15]), rounded up to the next allowed value.
    - 3> if both logical channels are mapped onto transport channels on which the UE is supposed to report:
      - 4> if the IE "RLC Buffer Payload for each RB" is set to TRUE:
        - 5> include and set the IE "RLC Buffers Payload" to the sum of the Buffer Occupancy values for the two logical channels, rounded up to the next allowed value.
      - 4> if the IE "Average of RLC Buffer Payload for each RB" is set to TRUE:

5> include and set the IE "Average of RLC Buffer Payload" to the sum of the Buffer Occupancy for the two logical channels averaged over the interval specified in the IE "Time Interval to take an average or a variance" (see [15]) and rounded up to the next allowed value.

4> if the IE "Variance of RLC Buffer Payload for each RB" is set to TRUE:

5> include and set the IE "Variance of RLC Buffer Payload" to the variance of the sum of the Buffer Occupancy for the two logical channels, computed over the interval specified in the IE "Time Interval to take an average or a variance" (see [15]) and rounded up to the next allowed value.

14.4.2.1 Reporting event 4 A: Transport Channel Traffic Volume becomes larger than an absolute threshold

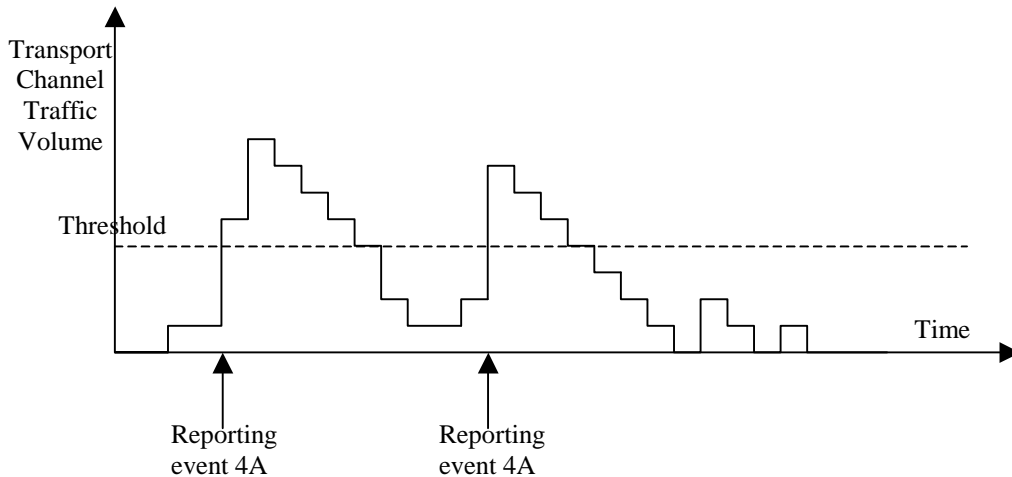


Figure 14.4.2.1-1: Event triggered report when Transport Channel Traffic Volume becomes larger than a certain threshold

If the monitored Transport Channel Traffic Volume (TCTV) [15] is larger than an absolute threshold at TVM setup or modify, or is larger at activation of the monitored transport channel, or becomes larger than an absolute threshold while the event is configured i.e. if  $TCTV > \text{Reporting threshold}$ , this event could trigger a report. The event could be triggered again only if TCTV becomes smaller than the Reporting threshold and later  $TCTV > \text{Reporting threshold}$  is verified again.

14.4.2.2 Reporting event 4 B: Transport Channel Traffic Volume becomes smaller than an absolute threshold

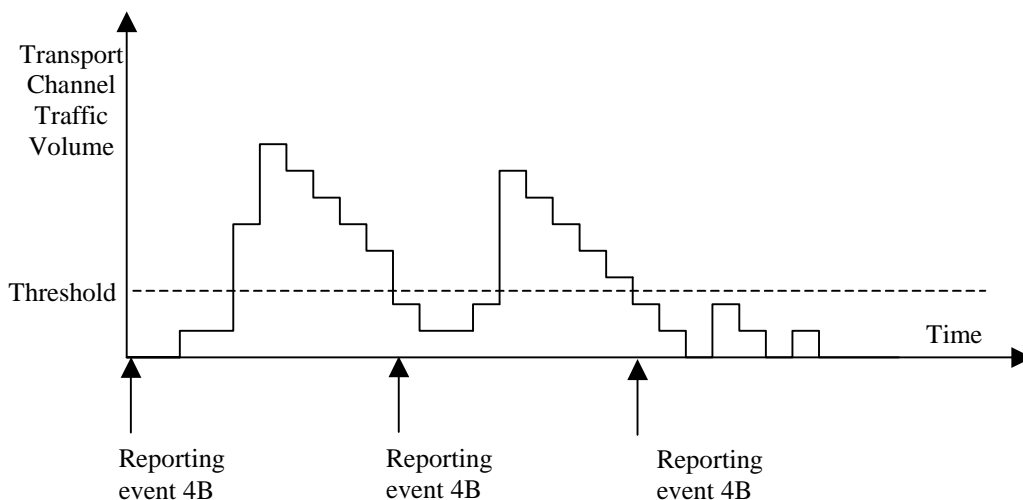


Figure 14.4.2.1-2: Event triggered report when Transport Channel Traffic Volume becomes smaller than certain threshold

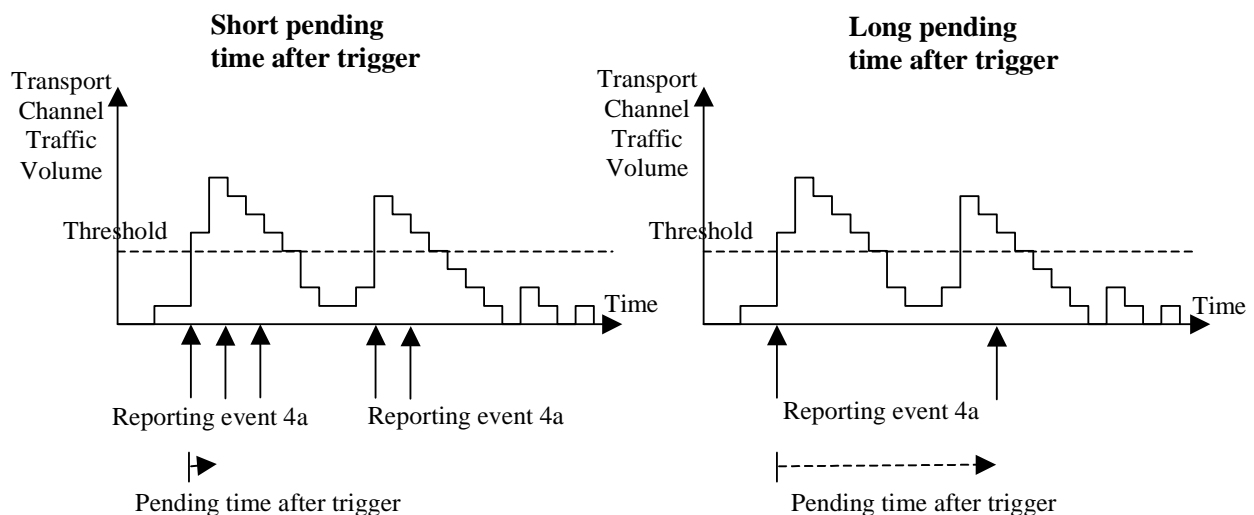
If the monitored Transport Channel Traffic Volume (TCTV) [15] is smaller than an absolute threshold at TVM setup or modify, or is smaller at activation of the monitored transport channel, or becomes smaller than an absolute threshold while the event is configured i.e. if  $TCTV < \text{Reporting threshold}$ , this event could trigger a report. The event could be triggered again only if TCTV becomes bigger than the Reporting threshold and later  $TCTV < \text{Reporting threshold}$  is verified again.

### 14.4.3 Traffic volume reporting mechanisms

Traffic volume measurement triggering could be associated with both a *time-to-trigger* and a *pending time after trigger*. The time-to-trigger is used to get time domain hysteresis, i.e. the condition must be fulfilled during the time-to-trigger time before a report is sent. Pending time after trigger is used to limit consecutive reports when one traffic volume measurement report already has been sent and enables periodic reporting while the TCTV remains above(4a) or below(4b) the threshold. This is described in detail below.

#### 14.4.3.1 Pending time after trigger

This timer is started in the UE when a measurement report has been triggered by a given event. The UE is then forbidden to send new measurement reports triggered by the same event during this time period. Instead the UE waits until the timer has expired. If the Transport Channel Traffic Volume [15] is still above the threshold for event 4a, or below the threshold for event 4b when the timer expires, the UE sends a new measurement report, and the timer is restarted. Otherwise it waits for a new triggering.

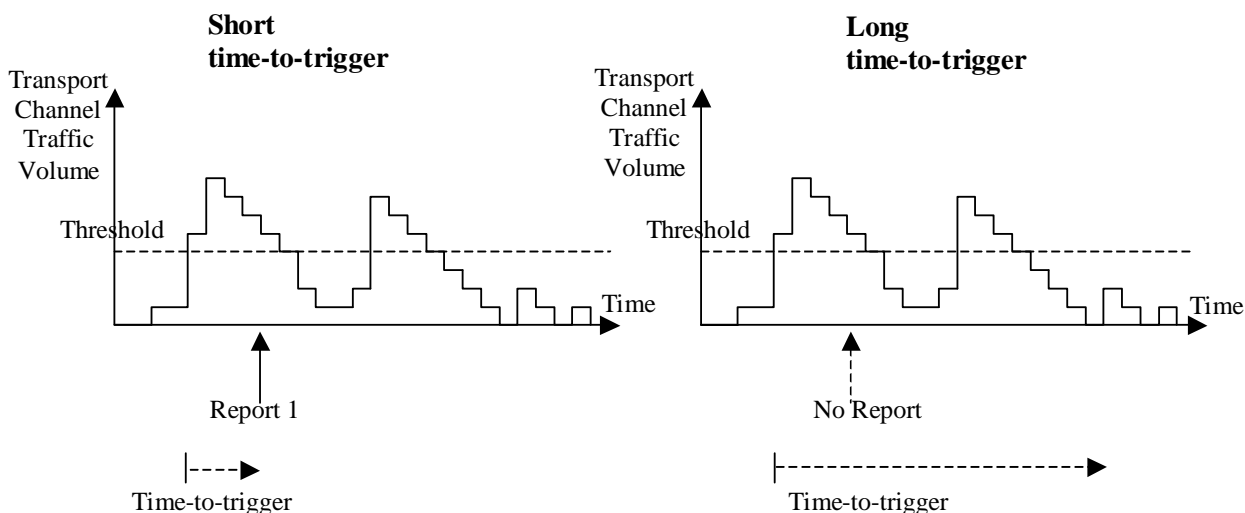


**Figure 14.4.3.1-1: Pending time after trigger limits the amount of consecutive measurement reports**

Figure 14.4.3.1-1 shows that by increasing the pending time after trigger a triggered second event does not result in a measurement report. The figure assumes absence of the IE "Time to trigger".

#### 14.4.3.2 Time-to-trigger

The timer is started in the UE when the Transport Channel Traffic Volume triggers the event. If the TCTV crosses the threshold before the timer expires, the timer is stopped. If the timer expires then a report is triggered.



**Figure 14.4.3.1-2: Time-to-trigger is used to achieve time hysteresis**

Figure 14.4.3.1-2 shows that by increasing the time-to-trigger the report is not triggered.

## 14.4.4 Interruption of user data transmission

By including the IE "Tx Interruption after trigger", a UE in CELL\_FACH state may be instructed by the UTRAN to prohibit transmission of user data on the RACH temporarily after a measurement report has been triggered.

The UE shall only resume transmission of user data, when:

- 1> it receives from the UTRAN a message allocating a dedicated physical channel, leading to the transition to CELL\_DCH state; or
- 1> the time period indicated by the IE "Tx Interruption after trigger" elapses.

The transmission on signalling radio bearers shall not be interrupted.

## 14.5 Quality Measurements

### 14.5.1 Quality reporting measurement quantities

For quality measurements, the following measurement quantities are used:

1. Downlink transport channel BLER
2. Timeslot SIR (TDD only)

### 14.5.2 Quality reporting events

When one measurement identity corresponds to multiple quality events for the same transport channel, the UE behaviour is not specified.

#### 14.5.2.1 Reporting event 5A: A predefined number of bad CRCs is exceeded

When this event is ordered by UTRAN in a measurement control message, the UE shall send a measurement report when the amount of bad CRCs during a predefined sliding window exceeds a predefined number.

The following three parameters are used in the scheme:

- **Total CRC** = the length of the sliding window over which the number of bad CRCs are counted.

- **Bad CRC** = the number of bad CRC that is required within the latest "Total CRC" received CRCs for the event to be triggered.
- **Pending after trigger** = a new event can not be triggered until "Pending after trigger" CRCs have been received,

When a DCH is established, the UE shall begin to count the number of bad CRCs within the last "Total CRC" received CRCs. No event can be triggered until at least "Total CRC" CRCs have been received. For each new received CRC, the UE shall compare the number of bad CRCs within the latest "Total CRC" received CRCs with the parameter "Bad CRC". An event shall be triggered if the number of bad CRCs is equal or larger than "Bad CRC".

At the time when the event is triggered a pending time after trigger timer is started with the length of "Pending after trigger" CRCs. A new event can not be triggered until "Pending after trigger" CRCs have been received. When "Pending after trigger" CRCs have been received the event evaluation start again and a new event can be triggered.

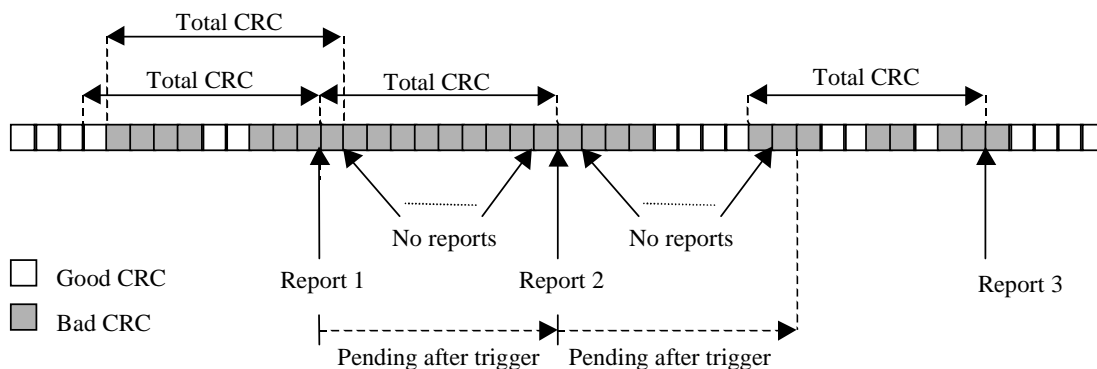


Figure 14.5.2.1-1: Event triggered CRC error reporting

## 14.6 UE internal measurements

### 14.6.1 UE internal measurement quantities

For UE internal measurements the following measurement quantities exist:

1. UE transmission (Tx) power, for TDD measured on a timeslot basis.
2. UE received signal strength power (RSSI).
3. UE Rx-Tx time difference (FDD only).
4.  $T_{ADV}$  (1.28 Mcps TDD).

### 14.6.2 UE internal measurement reporting events

In the Measurement reporting criteria field in the Measurement Control messages, the UTRAN notifies the UE of which events should trigger a measurement report. UE internal measurement reporting events that can trigger a report are given below. The reporting events are marked with vertical arrows in the figures below. All events can be combined with time-to-trigger.

NOTE: The reporting events are numbered 6A, 6B, 6C,... where 6 denotes that the event belongs to the type UE internal measurements.

When one measurement identity corresponds to multiple internal events with identical event identities, the UE behaviour is not defined.

#### 14.6.2.1 Reporting event 6A: The UE Tx power becomes larger than an absolute threshold

When a UE internal measurement configuring event 6a is set up, the UE shall:

- 1> create a variable TRIGGERED\_6A\_EVENT related to that measurement, which shall initially be set to FALSE;
- 1> delete this variable when the measurement is released.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> if the UE Tx power (for TDD within a single TS) is greater than the value in IE "UE Transmitted Power Tx power threshold" stored for this event in the variable MEASUREMENT\_IDENTITY for a time period indicated by the IE "time\_to\_trigger":
  - 2> if the variable TRIGGERED\_6A\_EVENT is set to FALSE:
    - 3> set the variable TRIGGERED\_6A\_EVENT to TRUE;
    - 3> send a measurement report with IEs set as below:
      - 4> set in "UE internal measurement event results": "UE internal event identity" to "6a";
      - 4> set the IE "measured results" and the IE "additional measured results" according to 8.4.2.
  - 1> if the variable TRIGGERED\_6A\_EVENT is set to TRUE and if the UE Tx power (for TDD within a single TS) is less or equal the value in IE "UE Transmitted Power Tx power threshold" stored for this event in the variable MEASUREMENT\_IDENTITY:
    - 2> set the variable TRIGGERED\_6A\_EVENT to FALSE.

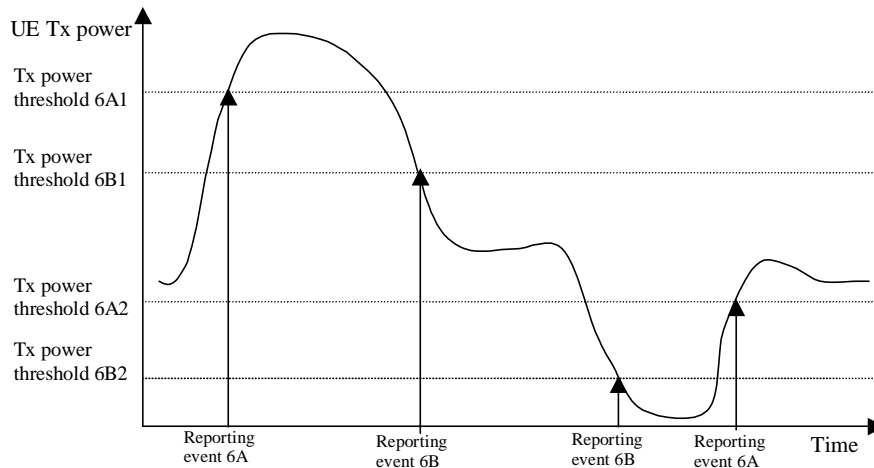
#### 14.6.2.2 Reporting event 6B: The UE Tx power becomes less than an absolute threshold

When a UE internal measurement configuring event 6b is set up, the UE shall:

- 1> create a variable TRIGGERED\_6B\_EVENT related to that measurement, which shall initially be set to FALSE;
- 1> delete this variable when the measurement is released.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> if the UE Tx power (for TDD within a single TS) is less than the value in IE "UE Transmitted Power Tx power threshold" stored for this event in the variable MEASUREMENT\_IDENTITY for a time period indicated by the IE "time\_to\_trigger":
  - 2> if the variable TRIGGERED\_6B\_EVENT is set to FALSE:
    - 3> set the variable TRIGGERED\_6B\_EVENT to TRUE;
    - 3> send a measurement report with IEs set as below:
      - 4> set in "UE internal measurement event results": "UE internal event identity" to "6b";
      - 4> set the IE "measured results" and the IE "additional measured results" according to 8.4.2.
  - 1> if the variable TRIGGERED\_6B\_EVENT is set to TRUE and if the UE Tx power (for TDD within a single TS) is greater or equal the value in IE "UE Transmitted Power Tx power threshold" stored for this event in the variable MEASUREMENT\_IDENTITY:
    - 2> set the variable TRIGGERED\_6B\_EVENT to FALSE.



**Figure 14.6.2.2-1: Event-triggered measurement reports when the UE Tx power becomes larger or less than absolute thresholds**

### 14.6.2.3 Reporting event 6C: The UE Tx power reaches its minimum value

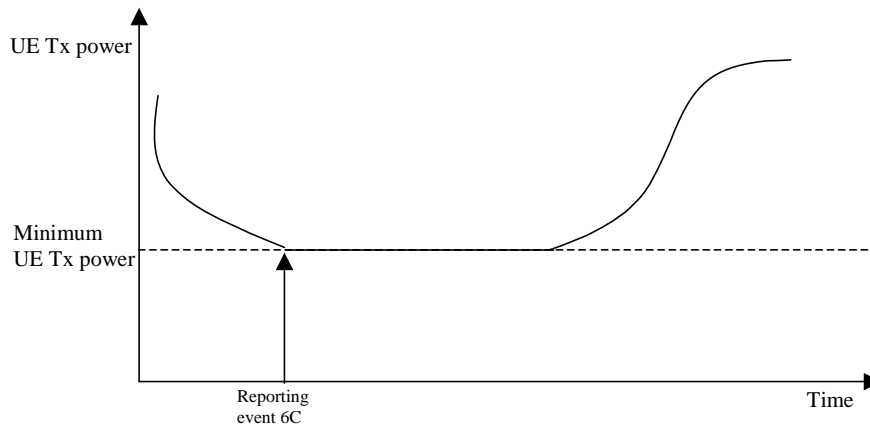
When a UE internal measurement configuring event 6c is set up, the UE shall:

- 1> create a variable TRIGGERED\_6C\_EVENT related to that measurement, which shall initially be set to FALSE;
- 1> delete this variable when the measurement is released.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> if the UE Tx power is equal its minimum value (for TDD its minimum value on a single TS) for a time period indicated by the IE "time\_to\_trigger":
  - 2> if the variable TRIGGERED\_6C\_EVENT is set to FALSE:
    - 3> set the variable TRIGGERED\_6C\_EVENT to TRUE;
    - 3> send a measurement report with IEs set as below:
      - 4> set in "UE internal measurement event results": "UE internal event identity" to "6c";
      - 4> set the IE "measured results" and the IE "additional measured results" according to 8.4.2.
- 1> if the variable TRIGGERED\_6C\_EVENT is set to TRUE and if the UE Tx power is greater than its minimum value:
  - 2> set the variable TRIGGERED\_6C\_EVENT to FALSE.





**Figure 14.6.2.3-1: Event-triggered measurement report when the UE Tx power reaches its minimum value**

#### 14.6.2.4 Reporting event 6D: The UE Tx power reaches its maximum value

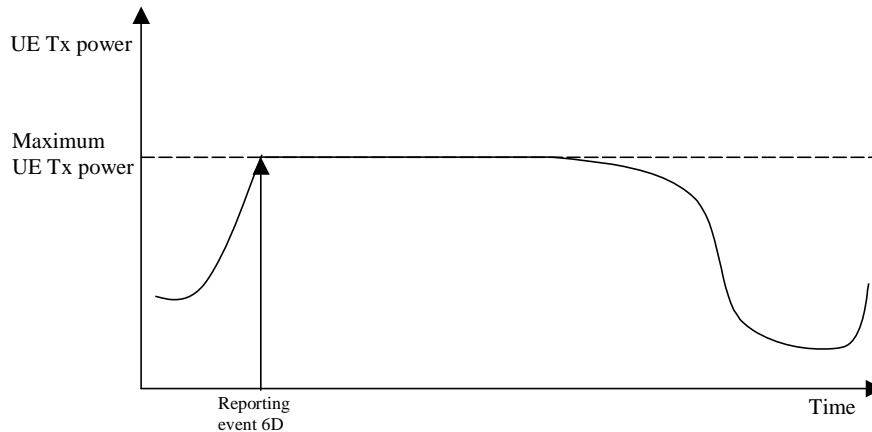
When a UE internal measurement configuring event 6d is set up, the UE shall:

- 1> create a variable TRIGGERED\_6D\_EVENT related to that measurement, which shall initially be set to FALSE;
- 1> delete this variable when the measurement is released.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> if the UE Tx power equals the maximum UE TX power (for TDD its maximum value on a single TS) for a time period indicated by the IE "time\_to\_trigger":
  - 2> if the variable TRIGGERED\_6D\_EVENT is set to FALSE:
    - 3> set the variable TRIGGERED\_6D\_EVENT to TRUE;
    - 3> send a measurement report with IEs set as below:
      - 4> set in "UE internal measurement event results": "UE internal event identity" to "6d";
      - 4> set the IE "measured results" and the IE "additional measured results" according to 8.4.2.
  - 1> if the variable TRIGGERED\_6D\_EVENT is set to TRUE and if the UE Tx power is less than the maximum UE TX power:
    - 2> set the variable TRIGGERED\_6D\_EVENT to FALSE.

NOTE: The maximum UE TX power is defined in subclause 8.6.6.8.



**Figure 14.6.2.4-1: Event-triggered report when the UE Tx power reaches its maximum value**

#### 14.6.2.5 Reporting event 6E: The UE RSSI reaches the UE's dynamic receiver range

When a UE internal measurement configuring event 6e is set up, the UE shall:

- 1> create a variable TRIGGERED\_6E\_EVENT related to that measurement, which shall initially be set to FALSE;
- 1> delete this variable when the measurement is released.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> if the UE RSSI is greater or equal the UE's dynamic receiver range for a time period indicated by the IE "time\_to\_trigger":
  - 2> if the variable TRIGGERED\_6E\_EVENT is set to FALSE:
    - 3> set the variable TRIGGERED\_6E\_EVENT to TRUE;
    - 3> send a measurement report with IEs set as below:
      - 4> set in "UE internal measurement event results": "UE internal event identity" to "6e";
      - 4> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2.
- 1> if the variable TRIGGERED\_6E\_EVENT is set to TRUE and if the UE RSSI is less than the UE's dynamic receiver range:
  - 2> set the variable TRIGGERED\_6E\_EVENT to FALSE.

#### 14.6.2.6 Reporting event 6F (FDD): The UE Rx-Tx time difference for a RL included in the active set becomes larger than an absolute threshold

When a UE internal measurement configuring event 6f is set up, the UE shall:

- 1> create a variable TRIGGERED\_6F\_EVENT related to that measurement, which shall initially be set to FALSE for each RL;
- 1> delete this variable when the measurement is released.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> if the UE Rx-Tx time difference for a RL included in the active set is greater than the value in the IE "UE Rx-Tx time difference threshold" stored for this event in the variable MEASUREMENT\_IDENTITY for a time period indicated by the IE "time\_to\_trigger":
  - 2> if the variable TRIGGERED\_6F\_EVENT is set to FALSE for this RL:

- 3> set the variable TRIGGERED\_6F\_EVENT to TRUE for this RL;
- 3> send a measurement report with IEs set as below:
  - 4> set in "UE internal measurement event results": "UE internal event identity" to "6f";
  - 4> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2.
- 1> if the variable TRIGGERED\_6F\_EVENT is set to TRUE for a RL and if the UE RX-Tx time difference for this RL included in the active set is less than or equal to the value in the IE "UE Rx-Tx time difference threshold" stored for this event in the variable MEASUREMENT\_IDENTITY:
  - 2> set the variable TRIGGERED\_6F\_EVENT to FALSE for this RL.

#### 14.6.2.6a Reporting event 6F (1.28 Mcps TDD): The time difference indicated by $T_{ADV}$ becomes larger than an absolute threshold

When a UE internal measurement configuring event 6f is set up, the UE shall:

- 1> create a variable TRIGGERED\_6F\_EVENT related to that measurement, which shall initially be set to the currently measured  $T_{ADV}$ ;
- 1> delete this variable when the measurement is released.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> if the absolute value of the difference between the measured  $T_{ADV}$  and the  $T_{ADV}$  stored in the variable TRIGGERED\_6F\_EVENT is greater than the predefined threshold configured with the IE "T<sub>ADV</sub> Threshold" for this event in the variable MEASUREMENT\_IDENTITY for a time period indicated by the IE "time\_to\_trigger":
  - 2> set the variable TRIGGERED\_6F\_EVENT to the currently measured  $T_{ADV}$ ;
  - 2> send a measurement report with IEs set as below:
    - 3> set the IE "T<sub>ADV</sub>" to the measured value, and the IE "SFN" to the SFN during which the latest measurement was performed, in the IE "T<sub>ADV</sub> Info";
    - 3> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2.

#### 14.6.2.7 Reporting event 6G: The UE Rx-Tx time difference for a RL included in the active set becomes less than an absolute threshold

When a UE internal measurement configuring event 6g is set up, the UE shall:

- 1> create a variable TRIGGERED\_6G\_EVENT related to that measurement, which shall initially be set to FALSE for each RL;
- 1> delete this variable when the measurement is released.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> if the UE Rx-Tx time difference for a RL included in the active set is less than the value in IE "UE Rx-Tx time difference threshold" stored for this event in the variable MEASUREMENT\_IDENTITY for a time period indicated by the IE "time\_to\_trigger":
  - 2> if the variable TRIGGERED\_6G\_EVENT is set to FALSE for this RL:
    - 3> set the variable TRIGGERED\_6G\_EVENT to TRUE for this RL;
    - 3> send a measurement report with IEs set as below:
      - 4> set in "UE internal measurement event results": "UE internal event identity" to "6g";
      - 4> set the IE "measured results" and the IE "additional measured results" according to subclause 8.4.2.

1> if the variable TRIGGERED\_6G\_EVENT is set to TRUE for a RL and if the UE RX-Tx time difference for this RL included in the active set is greater or equal the value in IE "UE Rx-Tx time difference threshold" stored for this event in the variable MEASUREMENT\_IDENTITY:

2> set the variable TRIGGERED\_6G\_EVENT to FALSE for this RL.

## 14.7 UE positioning measurements

### 14.7.1 UE positioning measurement quantities

The quantity to measure for UE positioning is dependent on the positioning method and the method type requested in the IE "UE positioning reporting quantity".

- 1 SFN-SFN observed time difference type 2, mandatory.
- 2 Rx-Tx time difference type 2, optional.
- 3 GPS timing of cell frames, optional.

The definition of other GPS measurements is not within the scope of this specification.

### 14.7.2 Void

### 14.7.3 UE positioning reporting events

In the IE "UE positioning reporting criteria" in the Measurement Control messages, the UTRAN notifies the UE of which events should trigger a measurement report. UE positioning reporting events that can trigger a report are given below. The content of the measurement report is dependant on the positioning method and method type requested in the IE "UE positioning reporting quantity" of the Measurement Control message and is described in detail in [18].

When one measurement identity corresponds to multiple positioning events with identical event identities, the UE behaviour is not defined.

#### 14.7.3.1 Reporting Event 7a: The UE position changes more than an absolute threshold

This event is used for UE-based methods only.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> if the UE changes its position compared to the last reported position by more than the threshold defined by the IE "Threshold position change"; or
- 1> if no position has been reported since the event was configured and the UE changes its position compared to the first position estimate obtained after the event was configured by more than the threshold defined by the IE "Threshold position change":
  - 2> send a measurement report as specified in subclause 8.6.7.19.1b;
  - 2> if the value of IE "Amount of Reporting" in variable MEASUREMENT\_IDENTITY for this event is greater than one:
    - 3> decrease IE "Amount of Reporting" in variable MEASUREMENT\_IDENTITY for this event by one.
  - 2> if the value of IE "Amount of Reporting" in variable MEASUREMENT\_IDENTITY for this event is equal to one:
    - 3> delete this event from the list of events in variable MEASUREMENT\_IDENTITY.
- 1> if the UE is unable to evaluate the event because a position measurement is not available:
  - 2> not send a report.

### 14.7.3.2 Reporting Event 7b: SFN-SFN measurement changes more than an absolute threshold

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> send a measurement report when the SFN-SFN time difference measurement type 2 of any measured cell changes more than the threshold defined by the IE "Threshold SFN-SFN change"; and
- 1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-based":
  - 2> act as specified in subclause 8.6.7.19.1b.
- 1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-assisted":
  - 2> act as specified in subclause 8.6.7.19.1a.
- 1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-assisted preferred but UE-based allowed" or "UE-based preferred but UE-assisted allowed":
  - 2> the UE may choose to act according to either subclause 8.6.7.19.1a or 8.6.7.19.1b.
- 1> if the value of IE "Amount of Reporting" in variable MEASUREMENT\_IDENTITY for this event is greater than one:
  - 2> decrease IE "Amount of Reporting" in variable MEASUREMENT\_IDENTITY for this event by one.
- 1> if the value of IE "Amount of Reporting" in variable MEASUREMENT\_IDENTITY for this event is equal to one:
  - 2> delete this event from the list of events in variable MEASUREMENT\_IDENTITY.

### 14.7.3.3 Reporting Event 7c: GPS time and SFN time have drifted apart more than an absolute threshold

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> send a measurement report when the GPS Time Of Week and the SFN timer have drifted apart more than the threshold defined by the IE "Threshold SFN-GPS TOW"; and
- 1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE based":
  - 2> act as specified in subclause 8.6.7.19.1b.
- 1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE assisted":
  - 2> act as specified in subclause 8.6.7.19.1a.
- 1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE assisted preferred but UE based allowed" or "UE based preferred but UE assisted allowed":
  - 2> act as specified in subclause 8.6.7.19.1a or in subclause 8.6.7.19.1b depending on the method type chosen by the UE.
- 1> if the value of IE "Amount of Reporting" in variable MEASUREMENT\_IDENTITY for this event is greater than one:
  - 2> decrease IE "Amount of Reporting" in variable MEASUREMENT\_IDENTITY for this event by one.
- 1> if the value of IE "Amount of Reporting" in variable MEASUREMENT\_IDENTITY for this event is equal to one:
  - 2> delete this event from the list of events in variable MEASUREMENT\_IDENTITY.

## 14.8 Dynamic Resource Allocation Control of Uplink DCH (FDD only)

The network uses this procedure to dynamically control the allocation of resources on an uplink DCH.

This procedure shall be activated in the UE when it has been allocated an uplink DCH with DRAC static information elements. Such uplink DCHs can be established through RB establishment procedure, RB reconfiguration procedure, RB release procedure or Transport Channel Reconfiguration procedure by setting the DRAC static information elements to indicate that the DCH is controlled by the DRAC procedure.

The UE shall periodically listen to the System Information Block 10 of each cell in its Active Set. The scheduling information of System Information Block 10 and the SCCPCH info on which the System Information Block 10 is transmitted are provided to the UE when the DCH is set up and when a cell is added in its active set. In case several System Information Block 10 messages from different cells are scheduled at the same time, the UE shall only listen to the System Information Block 10 broadcast in the cell of its Active Set having the best CPICH measurements.

Upon reception of a SYSTEM INFORMATION message comprising a System Information Block 10, the UE shall:

1. Determine and store the most stringent DRAC parameters from the last received values from each cell of its active set (i.e. select the lowest product  $p_{tr}$ \*maximum bit rate corresponding to its DRAC class identity)
2. Determine the allowed subset of TFCS according to the selected maximum bit rate value, and store it for later usage.  
The allowed subset of TFCS are the ones of the TFCS for which the sum of bit rates of the DCH controlled by DRAC is lower than Maximum Bit Rate IE, i.e.

$$\sum_{\text{DCH}_i \text{ controlled by DRAC}} TBSsize_i / TTI_i < MaximumBitRate$$

DCH<sub>i</sub> controlled by DRAC

After the first System Information Block 10 has been received, the UE shall start the following process:

1. At the start of the next TTI, the UE shall randomly select  $p \in [0,1]$ .
2. If  $p < p_{tr}$ , the UE shall transmit on the DCH controlled by DRAC during  $T_{\text{validity}}$  frames using the last stored allowed subset of TFCS and comes back to step 1, otherwise the UE shall stop transmission on these DCH during  $T_{\text{retry}}$  frames and then comes back to step 1.

Transmission time validity ( $T_{\text{validity}}$ ) and Time duration before retry ( $T_{\text{retry}}$ ) are indicated to the UE at the establishment of a DCH controlled by this procedure and may be changed through RB or transport channel reconfiguration. The UE shall always use the latest received DRAC static parameters.

A UE that supports the simultaneous reception of one SCCPCH and one DPCH shall support the DRAC procedure.

## 14.9 Downlink power control

### 14.9.1 Generalities

This function is implemented in the UE in order to set the SIR target value on each CCTrCH used for the downlink power control. This SIR value shall be adjusted according to an autonomous function in the UE in order to achieve the same measured quality as the quality target set by UTRAN. The quality target is set as the transport channel BLER value for each transport channel as signalled by UTRAN. For CPCH the quality target is set as the BER of the DL DPCCCH as signalled by UTRAN.

When transport channel BLER is used the UE shall run a quality target control loop such that the quality requirement is met for each transport channel, which has been assigned a BLER target.

When DL DPCCCH BER is used the UE shall run a quality target control loop such that the quality requirement is met for each CPCH transport channel, which has been assigned a DL DPCCCH BER target.

The UE shall set the SIR target when the physical channel has been set up or reconfigured. It shall not increase the SIR target value before the power control has converged on the current value. The UE may estimate whether the power control has converged on the current value, by comparing the averaged measured SIR to the SIR target value.

## 14.9.2 Downlink power control in compressed mode

In compressed mode, the target SIR needs to be changed in several frames compared to normal mode. For this purpose, four values DeltaSIR1, DeltaSIRafter1, DeltaSIR2 and DeltaSIRafter2 are signalled by the UTRAN to the UE (see subclause 10.2.9).

For each frame, the target SIR offset during compressed mode, compared to normal mode is:

$$\Delta\text{SIR} = \max(\Delta\text{SIR1\_compression}, \dots, \Delta\text{SIRn\_compression}) + \Delta\text{SIR1\_coding} + \Delta\text{SIR2\_coding}$$

where n is the number of TTI lengths for all TrChs of the CCTrCh,  $F_i$  is the length in number of frames of the i-th TTI and where  $\Delta\text{SIR\_coding}$  fulfils:

- $\Delta\text{SIR1\_coding} = \text{DeltaSIR1}$  if the start of the first transmission gap in the transmission gap pattern is within the current frame.
- $\Delta\text{SIR1\_coding} = \text{DeltaSIRafter1}$  if the current frame just follows a frame containing the start of the first transmission gap in the transmission gap pattern.
- $\Delta\text{SIR2\_coding} = \text{DeltaSIR2}$  if the start of the second transmission gap in the transmission gap pattern is within the current frame.
- $\Delta\text{SIR2\_coding} = \text{DeltaSIRafter2}$  if the current frame just follows a frame containing the start of the second transmission gap in the transmission gap pattern.
- $\Delta\text{SIR1\_coding} = 0$  and  $\Delta\text{SIR2\_coding} = 0$  otherwise.

and  $\Delta\text{SIRi\_compression}$  is defined by :

- $\Delta\text{SIRi\_compression} = 3$  dB for downlink frames compressed by reducing the spreading factor by 2.
- $\Delta\text{SIRi\_compression} = 10 \log(15 * F_i / (15 * F_i - \text{TGL}_i))$  if there is a transmission gap created by puncturing method within the current TTI of length  $F_i$  frames, where  $\text{TGL}_i$  is the gap length in number of slots (either from one gap or a sum of gaps) in the current TTI of length  $F_i$  frames.
- $\Delta\text{SIRi\_compression} = 0$  dB in all other cases.

Several compressed mode patterns applying to the same frames should be avoided as much as possible.

In particular; several simultaneous patterns by puncturing applying to the same frames shall be considered as a protocol error by the UE. The handling of this error is described in the procedure descriptions in clause 8

In case several compressed mode patterns are used simultaneously, a  $\Delta\text{SIR}$  offset is computed for each compressed mode pattern and the sum of all  $\Delta\text{SIR}$  offsets is applied to the frame.

## 14.10 Calculated Transport Format Combination

The Calculated Transport Format Combination (CTFC) is a tool for efficient signalling of transport format combinations.

Let  $I$  be the number of transport channels that are included in the transport format combination. Each transport channel TrCH<sub>*i*</sub>,  $i = 1, 2, \dots, I$ , has  $L_i$  transport formats, i.e. the transport format indicator TFI<sub>*i*</sub> can take  $L_i$  values,

$$\text{TFI}_i \in \{0, 1, 2, \dots, L_i - 1\}.$$

$$\text{Define } P_i = \prod_{j=0}^{i-1} L_j, \text{ where } i = 1, 2, \dots, I, \text{ and } L_0 = 1.$$

Let TFC( $TFI_1, TFI_2, \dots, TFI_l$ ) be the transport format combination for which TrCH<sub>1</sub> has transport format  $TFI_1$ , TrCH<sub>2</sub> has transport format  $TFI_2$ , etc. The corresponding CTFC( $TFI_1, TFI_2, \dots, TFI_l$ ) is then computed as:

$$CTFC(TFI_1, TFI_2, \dots, TFI_l) = \sum_{i=1}^l TFI_i \cdot P_i.$$

For FACH and PCH transport channels, "TrCH<sub>1</sub>" corresponds to the transport channel listed at the first position in IE "FACH/PCH information" in IE "Secondary CCPCH System Information", "TrCH<sub>2</sub>" corresponds to the transport channel listed at the second position in IE "FACH/PCH information" and so on.

For all other transport channels in FDD and for all configured transport channels of the same transport channel type (i.e. DCH, DSCH, USCH) in TDD, "TrCH<sub>1</sub>" corresponds to the transport channel having the lowest transport channel identity in the transport format combination mapped to the TFCI field. "TrCH<sub>2</sub>" corresponds to the transport channel having the next lowest transport channel identity, and so on.

## 14.11 UE autonomous update of virtual active set on non-used frequency (FDD only)

In the text that follows:

- a "non-used frequency" is a frequency that the UE has been ordered to measure upon but is not used for the connection. A "used frequency" is a frequency that the UE has been ordered to measure upon and is also currently used for the connection;
- a "non-used frequency (resp. cell) considered in an inter-frequency measurement" shall be understood as a non-used frequency (resp. cell) included in the list of cells pointed at in the IE "cells for measurement" if it was received for that measurement, or otherwise as a non-used frequency (resp. cell) included in the "Inter-frequency cell info" part of the variable CELL\_INFO\_LIST.

For event-triggered inter frequency measurements it is possible to use intra-frequency measurement reporting events for support of maintenance of an active set associated with a non-used frequency considered in that measurement, a "virtual active set" and used in the evaluation of the frequency quality estimates. The "initial virtual active set" for a frequency is the virtual active set that is associated to that frequency just after a message was received that sets up or modifies the inter-frequency measurement.

The way the virtual active sets are initiated and updated for the non-used frequencies considered in an inter-frequency measurement is described in subclauses 14.11.1, 14.11.1a and 14.11.2.

The UE shall support at least one single virtual active set per non-used frequency.

### 14.11.1 Initial virtual active set

The UE shall initialise the virtual active set according to this subclause or according to subclause 14.11.1a.

**NOTE:** The initialisation of the virtual active is not specified correctly in subclause 14.11.1. The initialisation of the virtual active set is correctly specified in subclause 14.11.1a. A UE implemented according to subclause 14.11.1 may have unexpected behaviour in case UTRAN does not follow the note in subclause 8.4.1.3.

The way the UE shall act when a MEASUREMENT CONTROL message is received that sets up or modifies an inter-frequency measurement, and that includes the IE "Inter-frequency set update" and/or the IE "Intra-Frequency reporting quantity" is described below. The UE shall:

- 1> if the IE "Intra-Frequency measurement reporting criteria" is included in the MEASUREMENT CONTROL message; or
- 1> if it was previously stored and if the IE "Inter-frequency set update" was included in the MEASUREMENT CONTROL message:
  - 2> if the IE "UE autonomous update mode" received or previously stored is set to "on with no reporting":



- 3> for each non-used frequency  $F_i$  considered in the measurement:
- 4> include in the initial virtual active set the  $N_i$  cells that have either the greatest downlink  $E_c/N_0$ , the greatest downlink RSCP after despreading, or the lowest pathloss (depending on what is indicated in the IE "inter-frequency measurement quantity"), among the cells on frequency  $F_i$  considered in that inter-frequency measurement, where:
- 5> if event 1a is configured in the "Intra-Frequency measurement reporting criteria":

$$N_i = \min(N_{Ia}, N_{Cells\ F_i}) \text{ if } N_{Ia} \neq 0 \text{ and } N_i = N_{Cells\ F_i} \text{ otherwise.}$$

where:

$N_{Ia}$  is the "Reporting deactivation threshold" included in the "Intra-Frequency measurement" IE received for that inter-frequency measurement for event 1a.

$N_{Cells\ F_i}$  is the number of cells on frequency  $F_i$  considered in that inter-frequency measurement.

- 5> else:

$$N_i = N_{Cells\ F_i}$$

where:

$N_{Cells\ F_i}$  is the number of cells on frequency  $F_i$  considered in that inter-frequency measurement.

- 1> if the IE "Intra-Frequency measurement reporting criteria" was not included in the MEASUREMENT CONTROL message:

- 2> if the IE "UE autonomous update mode" is set to "on with no reporting":

- 3> for each non-used frequency  $F_i$  considered in the measurement:

- 4> include in the initial virtual active set the  $N_i$  cells that have either the greatest downlink  $E_c/N_0$  or the greatest downlink RSCP after despreading or the lowest pathloss (depending on what is indicated in the IE "inter-frequency measurement quantity"), among the cells on frequency  $F_i$  considered in that inter-frequency measurement, where:

- 5> if event 1a is configured for the used frequency in an intra-frequency measurement:

$$N_i = \min(N_{Ia}, N_{Cells\ F_i}) \text{ if } N_{Ia} \neq 0 \text{ and } N_i = N_{Cells\ F_i} \text{ otherwise.}$$

where:

$N_{Ia}$  is the "Reporting deactivation threshold" included in the intra-frequency measurement for the first event 1a defined in the intra-frequency measurement with the lowest identity.

$N_{Cells\ F_i}$  is the number of cells on frequency  $F_i$  considered in that inter-frequency measurement.

- 5> else:

$$N_i = N_{Cells\ F_i}$$

where:

$N_{Cells\ F_i}$  is the number of cells on frequency  $F_i$  considered in that inter-frequency measurement.

### 14.11.1a Initial virtual active set

If the UE receives a MEASUREMENT CONTROL message that sets up or modifies an inter-frequency measurement and includes the IE "Inter-frequency set update", the UE shall:

- 1> for each non-used frequency  $F_i$  considered in the measurement:
  - 2> include in the initial virtual active set the  $N_i$  cells that have either the greatest downlink  $E_c/N_0$  or the greatest downlink RSCP after despreading or the lowest pathloss (depending on what is indicated in the IE "inter-frequency measurement quantity"), among the cells on frequency  $F_i$  considered in that inter-frequency measurement, where:
    - 3> if event 1a is configured for the used frequency in an intra-frequency measurement:

$$N_i = \min(N_{Ia}, N_{Cells Fi}) \text{ if } N_{Ia} \neq 0 \text{ and } N_i = N_{Cells Fi} \text{ otherwise.}$$

where:

$N_{Ia}$  is the "Reporting deactivation threshold" included in the intra-frequency measurement for the first event 1a defined in the intra-frequency measurement with the lowest identity.

$N_{Cells Fi}$  is the number of cells on frequency  $F_i$  considered in that inter-frequency measurement.

- 3> else:

$$N_i = N_{Cells Fi}$$

where:

$N_{Cells Fi}$  is the number of cells on frequency  $F_i$  considered in that inter-frequency measurement.

## 14.11.2 Virtual active set update during an inter-frequency measurement

For an inter-frequency measurement, the UE shall:

- 1> apply the events of type 1a, 1b and 1c that were defined for the used frequency in other stored measurements of type "intra-frequency" at the time the inter-frequency measurement was set up; and
- 1> update the virtual active set for the non-used frequencies considered in that measurement according to the following rules:
  - 2> if several events of type 1a (resp. 1b, 1c) were defined for the used frequency when the inter-frequency measurement was set up, only the first 1a event (resp. 1b, 1c) that was defined in the measurement with the lowest measurement identity shall apply to the non-used frequencies;
  - 2> all the cells considered in the inter-frequency measurements shall be able to affect the reporting range for event 1a and 1b. (i.e. the IE "Cells forbidden to affect reporting range" possibly stored for the intra-frequency measurements on the used frequency does not apply to the non-used frequencies considered in the inter-frequency measurement);
  - 2> the IEs "amount of reporting" and "reporting interval" that were stored for the intra-frequency measurements on the used frequency shall not be considered if reports of the virtual active set updates are needed.
- 1> if event 1a is applicable to the non-used frequencies considered in the inter-frequency measurement, when this event is triggered (according to the criteria described in subclause 14.1.2.1) by a cell for a non-used frequency considered in that measurement:
  - 2> if the "Reporting deactivation threshold" is equal to 0, or if the "Reporting deactivation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is less than or equal to the "Reporting deactivation threshold":
    - 3> add the primary CPICH that enters the reporting range to the "virtual active set".
- 1> if event 1b is applicable for the non-used frequencies considered in that inter-frequency measurement, when this event is triggered (according to the criteria described in subclause 14.1.2.2) by a cell for a non-used frequency considered in that measurement:
  - 2> if the number of cells included in the virtual active set is greater than 1:

- 3> remove the primary CPICH that leaves the reporting range from the "virtual active set".
- 1> if event 1c is applicable for the non-used frequencies considered in that inter-frequency measurement, when this event is triggered (according to the criteria described in subclause 14.1.2.3) by a cell for a non-used frequency considered in that measurement:
  - 2> if the "Reporting activation threshold" is equal to 0, or if the "Reporting activation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is greater than or equal to the "Reporting activation threshold":
    - 3> rank all active and non-active primary CPICHs and take the  $n$  best cells to create a new "virtual active set", where  $n$  is the number of active primary CPICHs in the "virtual active set".
- 1> if Event 1a is not defined for the used frequency in other stored measurements of type "intra-frequency" at the time the inter-frequency measurement was set up:
  - 2> the UE shall continuously update the virtual active set to consist of all cells on frequency  $F_i$  considered in that inter-frequency measurement, without sending any corresponding measurement report.

If none of the cells that are considered in the measurement on this frequency were measured, the UE may treat the virtual active set as empty and follow the appropriate initialisation procedure in subclause 14.11.1 when any relevant cell can first be measured.

## 14.12 Provision and reception of RRC information between network nodes

### 14.12.0 General

In certain cases, e.g., when performing handover to UTRAN or when performing SRNC relocation, RRC information may need to be transferred between UTRAN nodes, between UTRAN and another RAT, between nodes within another RAT or between the UE and another RAT.

The RRC information exchanged between network nodes or between the UE and another RAT is typically transferred by means of RRC information containers. An RRC information container is a self-contained and extensible RRC information unit that may be used to transfer a number of different RRC messages, one at a time. As stated before, RRC information containers may be used to transfer RRC messages across interfaces other than the Uu interface. The RRC messages that may be included in RRC information containers have similar characteristics as the RRC messages that are transferred across the Uu interface.

The RRC messages that are sent to/ from the UE, e.g., HANDOVER TO UTRAN COMMAND, INTER RAT HANDOVER INFO are covered by (sub)clauses 8, 9, 10, 11.0-11.4 and 12 of this specification. The following subclauses concern RRC messages exchanged between network nodes.

In future versions of this specification, it is possible to extend the RRC messages transferred across interfaces other than Uu. For these RRC messages the same extension mechanism applies as defined for RRC messages transferred across the Uu interface, as is specified in subclause 10.1, i.e., both critical and non-critical extensions may be added.

The transfer syntax for RRC information containers and RRC messages transferred between network nodes is derived from their ASN.1 definitions by use of Packed Encoding Rules, unaligned (X.691). It should be noted that the encoder adds final padding to achieve octet alignment. The resulting octet string is, carried in a container, transferred between the network nodes.

When using a separate RRC information container for each endpoint, the receiving RRC protocol entity is able to interpret the received container; this means that the receiver need not take into account information about the (network interface) message used in transferring the container.

The following encoding rules apply in addition to what has been specified in X.691 [49]:

- 1> When a bit string value is placed in a bit-field as specified in 15.6 to 15.11 in [11], the leading bit of the bit string value shall be placed in the leading bit of the bit-field, and the trailing bit of the bit string value shall be placed in the trailing bit of the bit-field.

NOTE: The terms "leading bit" and "trailing bit" are defined in ITU-T Rec. X.680 | ISO/IEC 8824-1. When using the "bstring" notation, the leading bit of the bit string value is on the left, and the trailing bit of the bit string value is on the right.

## 14.12.0a General error handling for RRC messages exchanged between network nodes

The error handling for RRC messages that are exchanged between network nodes applies the same principles as defined for other RRC messages.

Although the same principles apply for network nodes receiving unknown, unforeseen and erroneous RRC messages received in RRC information containers, the notification of the error should be done in a different manner, as specified in the following:

The network node receiving an invalid RRC message from another network node should:

- 1> if the received RRC message was unknown, unforeseen or erroneous:
  - 2> prepare an RRC FAILURE INFO message, including the IE "Failure cause" set to "Protocol error" and the IE "Protocol error information" including an IE "Protocol error cause" which should be set as follows:
    - 3> to "ASN.1 violation or encoding error" upon receiving an RRC message for which the encoded message does not result in any valid abstract syntax value;
    - 3> to "Message type non-existent or not implemented" upon receiving an unknown RRC message type;
    - 3> to "Message extension not comprehended" upon receiving an RRC message including an undefined critical message extension;
    - 3> to "Information element value not comprehended" upon receiving an RRC message including an mandatory IE for which no default value is defined and for which either the value is set to spare or for which the encoded IE does not result in a valid transfer syntax. The same applies for conditional IEs, for which the conditions for presence are met, the IE is present but has a value set to spare or for which the encoded IE does not result in a valid transfer syntax;
    - 3> to "Information element missing" upon receiving an RRC information container with an absent conditional IE for which the conditions for presence are met.
  - 1> if there was another failure to perform the operation requested by the received RRC message:
    - 2> prepare an RRC FAILURE INFO message, including the IE "Failure cause" set to a value that reflects the failure cause.
- 1> send the RRC FAILURE INFO message to the network node from which the invalid RRC protocol information was received.

NOTE 1: The appropriate (failure) messages used across the network interfaces may not support the inclusion of a RRC information container. In this case, the information contained in the RRC FAILURE INFO message may need to be transferred otherwise e.g. by mapping to a cause value (e.g. a cause value in the RR-HANDOVER FAILURE message when there is a error associated with the RRC-HANDOVER TO UTRAN COMMAND message).

NOTE 2 In case the RRC procedure used to perform SRNS relocation fails e.g. due to non comprehension, the source RNC may notify the target RNC by including the diagnostics information (IEs "Protocol error" and "Protocol error information") in the "RRC message "SRNS Relocation" Info sent in the RRC information container" used for a subsequent relocation request.

### 14.12.1 RRC Information to target RNC

The RRC information container "RRC Information to target RNC" may either be sent from source RNC or from another RAT. In case of handover to UTRAN, this information originates from another RAT, while in case of SRNC relocation the RRC information originates from the source RNC. In case of handover to UTRAN, the RRC information transferred may provide UTRAN specific information, as defined in the INTER RAT HANDOVER INFO WITH INTER RAT

CAPABILITIES message, that the target RNC needs when preparing the handover command message. In case of SRNC relocation, the RRC information transferred specifies the configuration of RRC and the lower layers it controls, e.g., including the radio bearer and transport channel configuration. It is used by the target RNC to initialise RRC and the lower layer protocols to facilitate SRNC relocation in a manner transparent to the UE.

| Information Element/Group Name | Need | Multi | Type and reference   | Semantics description                                     |
|--------------------------------|------|-------|--|---|
| CHOICE case                    | MP   |       |  | At least one spare choice, Criticality: Reject, is needed |
| >Handover to UTRAN             |      |       | INTER RAT HANDOVER INFO WITH INTER RAT CAPABILITIES<br>14.12.4.1 |   |
| >SRNC relocation               |      |       | SRNS RELOCATION INFO<br>14.12.4.2                                |   |

## 14.12.2 RRC information, target RNC to source RNC

There are 2 possible cases for RNC relocation:

1. The UE is already under control of target RNC; and
2. The SRNC Relocation with Hard Handover (UE still under control of SRNC), but UE is moving to a location controlled by the target RNC (based on measurement information).

In case 1 the relocation is transparent to the UE and there is no "reverse" direction container. The SRNC just assigns the 'serving' function to the target RNC, which then becomes the Serving RNC.

In case 2 the relocation is initiated by SRNC, which also provides the RRC Initialisation Information to the target RNC. Base on this information, the target RNC prepares the Hard Handover Message ( "Physical channel reconfiguration" (subclause 8.2.6), "radio bearer establishment" (subclause 8.2.1), "Radio bearer reconfiguration" (subclause 8.2.2), "Radio bearer release" (subclause 8.2.3) or "Transport channel reconfiguration" (subclause 8.2.4).

In case 2 two possibilities are defined in order to transmit the relocation message from the target RNC to the source RNC which can be chosen by the source RNC by including or not including the IE "RB Id for handover message" in the IE "SRNS Relocation Info".

In case the IE "RB Id for handover message" has been received by the target RNC in the IE "SRNS Relocation Info", the target RNC should choose the IE "DL DCCH message" and include the DL DCCH message that should be transmitted transparently to the UE by the source RNC. In that case, the target RNC is integrity protecting the message if applicable.

If the target RNC did not receive the IE "RB Id for handover message" in the IE "SRNS Relocation Info" the target RNC should use another choice. In that case, the source RNC should integrity protect the message before transmitting it to the UE if applicable.

The source RNC then transmits the Handover Message to the UE, which then performs the handover.

In the successful case, the UE transmits an XXX COMPLETE message, using the new configuration, to the target RNC.

In case of failure, the UE transmits an XXX FAILURE, using the old configuration, to the source RNC and the RRC context remains unchanged (has to be confirmed and checked with the SRNS relocation procedure).

| Information Element/Group name | Need | Multi | Type and reference | Semantics description                                     |
|--------------------------------|------|-------|--------------------|---|
| CHOICE RRC message             | MP   |       |                    | At least one spare choice, Criticality: Reject, is needed |
| >RADIO BEARER SETUP            |      |       | RADIO BEARER SETUP |   |

| Information Element/Group name     | Need | Multi | Type and reference                           | Semantics description |
|------------------------------------|------|-------|--|-----------------------|
|                                    |      |       | 10.2.31                                      |                       |
| >RADIO BEARER RECONFIGURATION      |      |       | RADIO BEARER RECONFIGURATION<br>10.2.25      |                       |
| >RADIO BEARER RELEASE              |      |       | RADIO BEARER RELEASE<br>10.2.28              |                       |
| >TRANSPORT CHANNEL RECONFIGURATION |      |       | TRANSPORT CHANNEL RECONFIGURATION<br>10.2.51 |                       |
| >PHYSICAL CHANNEL RECONFIGURATION  |      |       | PHYSICAL CHANNEL RECONFIGURATION<br>10.2.20  |                       |
| >RRC FAILURE INFO                  |      |       | RRC FAILURE INFO<br>10.2.41<br>a             |                       |
| >DL DCCH message                   |      |       | OCTET STRING                                 |                       |

### 14.12.3 Void

## 14.12.4 RRC messages exchanged between network nodes

### 14.12.4.0 HANDOVER TO UTRAN COMMAND

This RRC message is sent between network nodes to transfer the actual handover command including the details of the radio configuration to be used upon handover to UTRAN as compiled by the target RNC.

Direction: target RNC →source RAT

The message is exactly the same as the HANDOVER TO UTRAN COMMAND defined in subclause 10.2.16a.

#### 14.12.4.0a INTER RAT HANDOVER INFO

This RRC message is sent between network nodes to transfer information relevant for the target RNC when preparing for handover to UTRAN.

Direction: source RNC/RAT→target RAT

The message is exactly the same as the INTER RAT HANDOVER INFO defined in subclause 10.2.16d

#### 14.12.4.1 INTER RAT HANDOVER INFO WITH INTER RAT CAPABILITIES

This RRC message is sent between network nodes when preparing for an inter RAT handover to UTRAN.

Direction: source RAT→target RNC

| Information Element/Group Name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|--------------------|-----------------------|
|--------------------------------|------|-------|--------------------|-----------------------|

| Information Element/Group Name                | Need       | Multi                      | Type and reference                                     | Semantics description  |
|---|------------|----------------------------|--|--|
| <b>UE Information elements</b>                |            |                            |  |  |
| UE security information                       | OP         |                            | UE security information 10.3.3.42b                     |  |
| UE capability container                       | OP         |                            |  |  |
| >UE radio access capability                   | MP         |                            | UE radio access capability 10.3.3.42                   |  |
| >UE radio access capability extension         | MP         |                            | UE radio access capability extension 10.3.3.42a        | Although this IE is not always required, the need has been set to MP to align with the ASN.1 |
| >UE Specific Behaviour Information 1 interRAT | OP         |                            | UE Specific Behaviour Information 1 interRAT 10.3.3.52 | This IE shall not be included in this version of the protocol                                |
| <b>Non RRC IEs</b>                            |            |                            |  |  |
| <b>Radio Bearer IEs</b>                       |            |                            |  |  |
| Predefined configuration status information   | OP         |                            | Predefined configuration status information 10.3.4.5a  |  |
| <b>Other Information elements</b>             |            |                            |  |  |
| UE system specific capability                 | OP         | 1 to <maxSystemCapability> |  |  |
| >Inter-RAT UE radio access capability         | MP         |                            | Inter-RAT UE radio access capability 10.3.8.7          |  |
| Failure cause                                 | OP         |                            | Failure cause 10.3.3.13                                | Diagnostics information related to an earlier handover to UTRAN request                      |
| Protocol error information                    | CV-ProtErr |                            | Protocol error information 10.3.8.12                   |  |

| Condition      | Explanation   |
|----------------|---|
| <i>ProtErr</i> | This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed. |

NOTE: The above table does not need to reflect the order of the information elements in the actual encoded message. The order, that is reflected in the ASN.1, should be chosen in a manner that avoids that network nodes need to perform reordering of information elements.

#### 14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target

RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I.

Direction: source RNC→target RNC

| Information Element/Group Name       | Need | Multi                | Type and reference   | Semantics description   |
|--------------------------------------|------|----------------------|--|---|
| <b>Non RRC IEs</b>                   |      |                      |  |   |
| RB identity for Handover message     | OP   |                      | RB identity<br>10.3.4.16   | Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved". |
| >State of RRC                        | MP   |                      | RRC state indicator,<br>10.3.3.35a   |   |
| >State of RRC procedure              | MP   |                      | Enumerated<br>(await no RRC message, await RB Release Complete, await RB Setup Complete, await RB Reconfiguration Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others) |   |
| <b>Ciphering related information</b> |      |                      |  |   |
| >Ciphering status for each CN domain | MP   | <1 to maxCNDo mains> |  |   |
| >>CN domain identity                 | MP   |                      | CN domain identity<br>10.3.1.1   |   |
| >>Ciphering status                   | MP   |                      | Enumerated(<br>Not started,<br>Started)  |   |
| >>START                              | MP   |                      | START<br>10.3.3.38   | START value to be used in this CN domain.   |
| >Latest configured CN domain         | MP   |                      | CN domain identity   | Value contained in the variable of the same name.   |



| Information Element/Group Name                                     | Need                    | Multi                      | Type and reference                      | Semantics description   |
|--|-------------------------|----------------------------|---|---|
|  |                         |                            | 10.3.1.1                                | In case this variable is empty, the source RNC can set any CN domain identity. In that case, the Ciphering status and the Integrity protection status should be Not started and the target RNC should not initialise the variable Latest configured CN domain.  |
| >Calculation time for ciphering related information                | CV-<br><i>Ciphering</i> |                            |   | Time when the ciphering information of the message were calculated, relative to a cell of the target RNC  |
| >>Cell Identity  | MP                      |                            | Cell Identity<br>10.3.2.2               | Identity of one of the cells under the target RNC and included in the active set of the current call  |
| >>SFN  | MP                      |                            | Integer(0..40<br>95)                    |   |
| >COUNT-C list  | OP                      | 1 to<br><maxCNdo<br>mains> |   | COUNT-C values for radio bearers using transparent mode RLC   |
| >>CN domain identity   | MP                      |                            | CN domain<br>identity<br>10.3.1.1       |   |
| >>COUNT-C  | MP                      |                            | Bit string(32)                          |   |
| >Ciphering info per radio bearer                                   | OP                      | 1 to<br><maxRB>            |   | For signalling radio bearers this IE is mandatory.  |
| >>RB identity  | MP                      |                            | RB identity<br>10.3.4.16                |   |
| >>Downlink HFN   | MP                      |                            | Bit<br>string(20..25<br>)               | This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)  |
| >>Downlink SN  | CV- <i>SRB1</i>         |                            | Bit String(7)                           | VT(US) of RLC UM  |
| >>Uplink HFN   | MP                      |                            | Bit<br>string(20..25<br>)               | This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)  |
| <b>Integrity protection related information</b>                    |                         |                            |   |   |
| >Integrity protection status                                       | MP                      |                            | Enumerated(<br>Not started,<br>Started) |   |
| >Signalling radio bearer specific integrity protection information | CV- <i>IP</i>           | 4 to<br><maxSRBs<br>etup>  |   |   |
| >>Uplink RRC HFN   | MP                      |                            | Bit string<br>(28)                      | For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initialized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source. |
| >>Downlink RRC HFN   | MP                      |                            | Bit string<br>(28)                      | For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value   |

| Information Element/Group Name              | Need | Multi | Type and reference                                    | Semantics description   |
|---|------|-------|---|---|
|   |      |       |   | the source would have initialized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source. In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation. |
| >>Uplink RRC Message sequence number        | MP   |       | Integer (0..15)                                       | For each SRB, this IE corresponds to the last value received or in the case activation time was not reached for a configuration the value equals (activation time - 1).   |
| >>Downlink RRC Message sequence number      | MP   |       | Integer (0..15)                                       | For each SRB, this IE corresponds to the last value used or in the case activation time was not reached for a configuration the value equals (activation time -1). In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.          |
| >Implementation specific parameters         | OP   |       | Bit string (1..512)                                   |   |
| <b>RRC IEs</b>                              |      |       |   |   |
| <b>UE Information elements</b>              |      |       |   |   |
| >U-RNTI                                     | MP   |       | U-RNTI<br>10.3.3.47                                   |   |
| >C-RNTI                                     | OP   |       | C-RNTI<br>10.3.3.8                                    |   |
| >UE radio access Capability                 | MP   |       | UE radio access capability<br>10.3.3.42               |   |
| >UE radio access capability extension       | OP   |       | UE radio access capability extension<br>10.3.3.42a    |   |
| >Last known UE position                     | OP   |       |   |   |
| >>SFN                                       | MP   |       | Integer (0..4095)                                     | Time when position was estimated  |
| >>Cell ID                                   | MP   |       | Cell identity;<br>10.3.2.2                            | Indicates the cell, the SFN is valid for.   |
| >>CHOICE <i>Position estimate</i>           | MP   |       |   |   |
| >>>Ellipsoid Point                          |      |       | Ellipsoid Point;<br>10.3.8.4a                         |   |
| >>>Ellipsoid point with uncertainty circle  |      |       | Ellipsoid point with uncertainty circle<br>10.3.8.4d  |   |
| >>>Ellipsoid point with uncertainty ellipse |      |       | Ellipsoid point with uncertainty ellipse<br>10.3.8.4e |   |
| >>>Ellipsoid point with altitude            |      |       | Ellipsoid point with altitude                         |   |

| Information Element/Group Name                             | Need | Multi                      | Type and reference   | Semantics description  |
|--|------|----------------------------|--|--|
|  |      |                            | 10.3.8.4b  |  |
| >>>Ellipsoid point with altitude and uncertainty ellipsoid |      |                            | Ellipsoid point with altitude and uncertainty ellipsoid<br>10.3.8.4c |  |
| >UE Specific Behaviour Information 1 idle                  | OP   |                            | UE Specific Behaviour Information idle 1<br>10.3.3.51                | This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities" |
| >UE Specific Behaviour Information 1 interRAT              | OP   |                            | UE Specific Behaviour Information 1 interRAT<br>10.3.3.52            | This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities" |
| <b>Other Information elements</b>                          |      |                            |  |  |
| >UE system specific capability                             | OP   | 1 to <maxSystemCapability> |  |  |
| >>Inter-RAT UE radio access capability                     | MP   |                            | Inter-RAT UE radio access capability<br>10.3.8.7                     |  |
| <b>UTRAN Mobility Information elements</b>                 |      |                            |  |  |
| >URA Identifier  | OP   |                            | URA identity<br>10.3.2.6   |  |
| <b>CN Information Elements</b>                             |      |                            |  |  |
| >CN common GSM-MAP NAS system information                  | MP   |                            | NAS system information (GSM-MAP)<br>10.3.1.9                         |  |
| >CN domain related information                             | OP   | 1 to <MaxCNdomains>        |  | CN related information to be provided for each CN domain   |
| >>CN domain identity                                       | MP   |                            |  |  |
| >>CN domain specific GSM-MAP NAS system info               | MP   |                            | NAS system information (GSM-MAP)<br>10.3.1.9                         |  |
| >>CN domain specific DRX cycle length coefficient          | MP   |                            | CN domain specific DRX cycle length coefficient,<br>10.3.3.6         |  |
| <b>Measurement Related Information elements</b>            |      |                            |  |  |
| >For each ongoing measurement reporting                    | OP   | 1 to <MaxNoOfMeas>         |  |  |
| >>Measurement Identity                                     | MP   |                            | Measurement identity<br>10.3.7.48                                    |  |
| >>Measurement Command                                      | MP   |                            | Measurement command<br>10.3.7.46                                     |  |

| Information Element/Group Name                      | Need     | Multi | Type and reference  | Semantics description |
|---|----------|-------|---|-----------------------|
| >>Measurement Type                                  | CV-Setup |       | Measurement type<br>10.3.7.50                               |                       |
| >>Measurement Reporting Mode                        | OP       |       | Measurement reporting mode<br>10.3.7.49                     |                       |
| >>Additional Measurements list                      | OP       |       | Additional measurements list<br>10.3.7.1                    |                       |
| >>CHOICE <i>Measurement</i>                         | OP       |       |   |                       |
| >>>Intra-frequency                                  |          |       |   |                       |
| >>>>Intra-frequency cell info                       | OP       |       | Intra-frequency cell info list<br>10.3.7.33                 |                       |
| >>>>Intra-frequency measurement quantity            | OP       |       | Intra-frequency measurement quantity<br>10.3.7.38           |                       |
| >>>>Intra-frequency reporting quantity              | OP       |       | Intra-frequency reporting quantity<br>10.3.7.41             |                       |
| >>>>Reporting cell status                           | OP       |       | Reporting cell status<br>10.3.7.61                          |                       |
| >>>>Measurement validity                            | OP       |       | Measurement validity<br>10.3.7.51                           |                       |
| >>>>CHOICE <i>report criteria</i>                   | OP       |       |   |                       |
| >>>>>Intra-frequency measurement reporting criteria |          |       | Intra-frequency measurement reporting criteria<br>10.3.7.39 |                       |
| >>>>>Periodical reporting                           |          |       | Periodical reporting criteria<br>10.3.7.53                  |                       |
| >>>>>No reporting                                   |          |       | NULL  |                       |
| >>>>Inter-frequency                                 |          |       |   |                       |
| >>>>>Inter-frequency cell info                      | OP       |       | Inter-frequency cell info list<br>10.3.7.13                 |                       |
| >>>>>Inter-frequency measurement quantity           | OP       |       | Inter-frequency measurement quantity<br>10.3.7.18           |                       |
| >>>>>Inter-frequency reporting quantity             | OP       |       | Inter-frequency reporting quantity<br>10.3.7.21             |                       |
| >>>>>Reporting cell status                          | OP       |       | Reporting cell status<br>10.3.7.61                          |                       |
| >>>>>Measurement validity                           | OP       |       | Measurement validity  |                       |

| Information Element/Group Name                      | Need | Multi | Type and reference  | Semantics description |
|---|------|-------|---|-----------------------|
|   |      |       | 10.3.7.51   |                       |
| >>>>CHOICE <i>report criteria</i>                   | OP   |       |   |                       |
| >>>>>Inter-frequency measurement reporting criteria |      |       | Inter-frequency measurement reporting criteria<br>10.3.7.19 |                       |
| >>>>>Periodical reporting                           |      |       | Periodical reporting criteria<br>10.3.7.53                  |                       |
| >>>>>No reporting                                   |      |       | NULL  |                       |
| >>>Inter-RAT  |      |       |   |                       |
| >>>>Inter-RAT cell info                             | OP   |       | Inter-RAT cell info list<br>10.3.7.23                       |                       |
| >>>>Inter-RAT measurement quantity                  | OP   |       | Inter-RAT measurement quantity<br>10.3.7.29                 |                       |
| >>>>Inter-RAT reporting quantity                    | OP   |       | Inter-RAT reporting quantity<br>10.3.7.32                   |                       |
| >>>>Reporting cell status                           | OP   |       | Reporting cell status<br>10.3.7.61                          |                       |
| >>>>Measurement validity                            | OP   |       | Measurement validity<br>10.3.7.51                           |                       |
| >>>>CHOICE <i>report criteria</i>                   | OP   |       |   |                       |
| >>>>>Inter-RAT measurement reporting criteria       |      |       | Inter-RAT measurement reporting criteria<br>10.3.7.30       |                       |
| >>>>>Periodical reporting                           |      |       | Periodical reporting criteria<br>10.3.7.53                  |                       |
| >>>>>No reporting                                   |      |       | NULL  |                       |
| >>>Traffic Volume                                   |      |       |   |                       |
| >>>>Traffic volume measurement Object               | OP   |       | Traffic volume measurement object<br>10.3.7.70              |                       |
| >>>>Traffic volume measurement quantity             | OP   |       | Traffic volume measurement quantity<br>10.3.7.71            |                       |
| >>>>Traffic volume reporting quantity               | OP   |       | Traffic volume reporting quantity<br>10.3.7.74              |                       |
| >>>>CHOICE <i>report criteria</i>                   | OP   |       |   |                       |
| >>>>>Traffic volume measurement reporting criteria  |      |       | Traffic volume measurement reporting criteria<br>10.3.7.72  |                       |

| Information Element/Group Name                  | Need | Multi               | Type and reference                                    | Semantics description            |
|---|------|---------------------|---|----------------------------------|
| >>>>Periodical reporting                        |      |                     | Periodical reporting criteria 10.3.7.53               |                                  |
| >>>>No reporting                                |      |                     | NULL  |                                  |
| >>>Quality                                      |      |                     |   |                                  |
| >>>>Quality measurement Object                  | OP   |                     | Quality measurement object                            |                                  |
| >>>>CHOICE <i>report criteria</i>               | OP   |                     |   |                                  |
| >>>>>Quality measurement reporting criteria     |      |                     | Quality measurement reporting criteria 10.3.7.58      |                                  |
| >>>>>Periodical reporting                       |      |                     | Periodical reporting criteria 10.3.7.53               |                                  |
| >>>>>No reporting                               |      |                     | NULL  |                                  |
| >>>UE internal                                  |      |                     |   |                                  |
| >>>>UE internal measurement quantity            | OP   |                     | UE internal measurement quantity 10.3.7.79            |                                  |
| >>>>UE internal reporting quantity              | OP   |                     | UE internal reporting quantity 10.3.7.82              |                                  |
| >>>>CHOICE <i>report criteria</i>               | OP   |                     |   |                                  |
| >>>>>UE internal measurement reporting criteria |      |                     | UE internal measurement reporting criteria 10.3.7.80  |                                  |
| >>>>>Periodical reporting                       |      |                     | Periodical reporting criteria 10.3.7.53               |                                  |
| >>>>>No reporting                               |      |                     | NULL  |                                  |
| >>>UE positioning                               |      |                     |   |                                  |
| >>>>LCS reporting quantity                      | OP   |                     | LCS reporting quantity 10.3.7.111                     |                                  |
| >>>>CHOICE <i>report criteria</i>               | OP   |                     |   |                                  |
| >>>>>LCS reporting criteria                     |      |                     | LCS reporting criteria 10.3.7.110                     |                                  |
| >>>>>Periodical reporting                       |      |                     | Periodical reporting criteria 10.3.7.53               |                                  |
| >>>>>No reporting                               |      |                     |   |                                  |
| <b>Radio Bearer Information Elements</b>        |      |                     |   |                                  |
| >Predefined configuration status information    | OP   |                     | Predefined configuration status information 10.3.4.5a |                                  |
| >Signalling RB information list                 | MP   | 1 to <maxSRBs etup> |   | For each signalling radio bearer |

| Information Element/Group Name                                      | Need | Multi               | Type and reference   | Semantics description    |
|---|------|---------------------|--|--------------------------|
| >>Signalling RB information   | MP   |                     | Signalling RB information to setup 10.3.4.24                                 |                          |
| >RAB information list   | OP   | 1 to <maxRABs etup> |  | Information for each RAB |
| >>RAB information   | MP   |                     | RAB information to setup 10.3.4.10   |                          |
| <b>Transport Channel Information Elements</b>                       |      |                     |  |                          |
| <b>Uplink transport channels</b>                                    |      |                     |  |                          |
| >UL Transport channel information common for all transport channels | OP   |                     | UL Transport channel information common for all transport channels 10.3.5.24 |                          |
| >UL transport channel information list                              | OP   | 1 to <MaxTrCH >     |  |                          |
| >>UL transport channel information                                  | MP   |                     | Added or reconfigured UL TrCH information 10.3.5.2                           |                          |
| >CHOICE mode  | OP   |                     |  |                          |
| >>FDD   |      |                     |  |                          |
| >>>CPCH set ID  | OP   |                     | CPCH set ID 10.3.5.5   |                          |
| >>>Transport channel information for DRAC list                      | OP   | 1 to <MaxTrCH >     |  |                          |
| >>>>DRAC static information   | MP   |                     | DRAC static information 10.3.5.7   |                          |
| >>TDD   |      |                     |  | (no data)                |
| <b>Downlink transport channels</b>                                  |      |                     |  |                          |
| >DL Transport channel information common for all transport channels | OP   |                     | DL Transport channel information common for all transport channels 10.3.5.6  |                          |
| >DL transport channel information list                              | OP   | 1 to <MaxTrCH >     |  |                          |
| >>DL transport channel information                                  | MP   |                     | Added or reconfigured DL TrCH information 10.3.5.1                           |                          |
| >Measurement report   | OP   |                     | MEASUREMENT REPORT 10.2.17   |                          |

| Information Element/Group Name    | Need       | Multi        | Type and reference                      | Semantics description  |
|-----------------------------------|------------|--------------|---|--|
| <b>PhyCH information elements</b> |            |              |   |  |
| >TPC Combination Info list        | OP         | 1 to <maxRL> |   |  |
| >>Primary CPICH info              | MP         |              | Primary CPICH info<br>10.3.6.60         |  |
| >>TPC combination index           | MP         |              | TPC combination index<br>10.3.6.85      |  |
| <b>Other Information elements</b> |            |              |   |  |
| Failure cause                     | OP         |              | Failure cause<br>10.3.3.13              | Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a) |
| Protocol error information        | CV-ProtErr |              | Protocol error information<br>10.3.8.12 |  |

| Multi Bound | Explanation   |
|-------------|---|
| MaxNoOfMeas | Maximum number of active measurements, upper limit 16 |

| Condition        | Explanation  |
|------------------|--|
| <i>Setup</i>     | The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.   |
| <i>Ciphering</i> | The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.                       |
| <i>IP</i>        | The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed. |
| <i>ProtErr</i>   | This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.  |
| <i>SRB1</i>      | The IE is mandatory present for RB1. Otherwise it is not needed.   |

14.12.4.3 Void

14.13 Void

## 14.14 Versatile Channel Assignment Mode (VCAM) mapping rule (FDD only)

When Versatile Channel Assignment Method (VCAM) is used in the CPCH procedure, the following mapping rules shall be used to specify one PCPCH.

If the number of PCPCHs is less than or equal to 16, there is a one to one mapping between the CA index and the PCPCH index. Thus a suitable AP signature (and/or AP sub-channel) number is transmitted for the required spreading



factor based on the broadcast system information, and the assigned PCPCH index (having the requested spreading factor) corresponds to the received CA index.

When the number of PCPCHs is greater than 16, a combination of an AP signature (and/or AP sub-channel) number and a CA signature number specifies one PCPCH as follows:

In VCAM mapping rule, a combination of an AP signature (and/or AP sub-channel) number and a CA signature number specifies one PCPCH. In a CPCH set, there are  $K$  available PCPCHs which are numbered  $k=0,1,\dots,K-1$ , and there are  $R$  available Minimum Spreading Factor  $A_r$ ,  $r=0,1,\dots,R-1$ , that a UE can request and use. The maximum available number of PCPCHs and the number of available AP signatures (and/or AP sub-channels) for  $A_r$  are denoted as  $P_{0r}$  and  $S_r$ , respectively, for  $r=0,1,\dots,R-1$ . Let  $P_r$  be equal to 16 if  $P_{0r}$  is less than 16 and to  $P_{0r}$  otherwise.  $T_r$  represents the number of CA signatures for  $A_r$ , which are needed for specifying PCPCH. The default value of  $T_r$  is 16.

$S_r$  always satisfies  $S_r \geq \min\{s \in N, s \times T_r \geq P_r\}$ , where  $N$  is the set of positive integers.

The list of available AP signatures (and/or AP sub-channels) for each  $A_r$  is renumbered from signature index 0 to signature index  $S_r - 1$ , starting with the lowest AP signature (and/or AP sub-channel) number, and continuing in sequence, in the order of increasing signature numbers.

Then for given AP signature (and/or AP sub-channel) number and CA signature number, the number  $k$  that signifies the assigned PCPCH is obtained as:

$$k = \{[(i + n) \bmod S_r] + j \times S_r\} \bmod P_r,$$

where  $i$  ( $i=0,1,\dots,S_r-1$ ) is the AP signature (and/or AP sub-channel) index for  $A_r$ ,  $j$  ( $j=0,1,\dots,\min(P_r,T_r)-1$ ) is the CA signature number for  $A_r$  and  $n$  is a nonnegative integer which satisfies

$$n \times M_r \times S_r \leq i + j \times S_r < (n + 1) \times M_r \times S_r \text{ where } M_r = \min\{m : m \in N, (m \times S_r) \bmod P_r = 0\}.$$

An example of the above mapping rule is shown in [38].

## Annex A (informative): USIM parameters

### A.1 Introduction

This annex contains recommendations about the RRC parameters to be stored in the USIM.

### A.2 Ciphering information

| Information Element/Group name             | Need | Multi                | Type and reference | Semantics description                    |
|--|------|----------------------|--------------------|--|
| Cipher key for each CN domain              | MP   | <1 to maxCNDo mains> |                    | Cipher key is described in [40].         |
| >CK  | MP   |                      | Bit string (128)   |  |
| Integrity key for each CN domain           | MP   | <1 to maxCNDo mains> |                    | Integrity key is described in [40].      |
| >IK  | MP   |                      | Bit string (128)   |  |
| THRESHOLD                                  | MP   |                      | Bit string (20)    |  |
| START value for each CN domain             | MP   | <1 to maxCNDo mains> |                    | START value is described in [40].        |
| >START                                     | MP   |                      | Bit string (20)    |  |
| KSI, Key set identifier for each CN domain | MP   | <1 to maxCNDo mains> |                    | Key set identifier is described in [40]. |
| >KSI                                       | MP   |                      | Bit string (3)     |  |

### A.3 Frequency information

Neighbour cell list.

| Information Element/Group name | Need | Multi                      | Type and reference           | Semantics description   | Version |
|--------------------------------|------|----------------------------|------------------------------|---|---------|
| FDD cell list                  | OP   | <1 to maxFDDFr eqList>     |                              |   |         |
| >UARFCN uplink (Nu)            | OP   |                            | Integer(0..16383)            | [21] If IE not present, default duplex distance of 190 MHz shall be used. |         |
| >UARFCN downlink (Nd)          | MP   |                            | Integer(0 .. 16383)          | [21]  |         |
| >Primary scrambling code       | OP   | <1 to maxFDDFr eqCellList> | Primary CPICH info 10.3.6.60 |   |         |
| 3.84 Mcps TDD cell list        | OP   | <1 to maxTDDFr eqList>     |                              |   |         |
| >UARFCN (Nt)                   | MP   |                            | Integer(0 .. 16383)          | [22]  |         |
| >Cell parameters ID            | OP   | <1 to maxTDDFr eqCellList> | Integer (0..127)             | The Cell parameters ID is   |         |

| Information Element/Group name | Need | Multi                                       | Type and reference     | Semantics description                              | Version |
|--------------------------------|------|---|------------------------|--|---------|
| 1.28 Mcps TDD cell list        | OP   | eqCellList><br><1 to<br>maxTDDFr<br>eqList> |                        | described in [32].                                 | REL-4   |
| >UARFCN (Nt)                   | MP   |   | Integer(0 ..<br>16383) | [22]   | REL-4   |
| >Cell parameters ID            | OP   | <1 to<br>maxTDDFr<br>eqCellList>            | Integer<br>(0..127)    | The Cell<br>parameters ID is<br>described in [32]. | REL-4   |
| GSM Neighbour cell list        | OP   |   |                        |  |         |
| >GSM neighbour cell info       | MP   | <1 to<br>maxGSMC<br>ellList>                |                        |  |         |
| >>BSIC                         | MP   |   |                        |  |         |
| >>BCCH ARFCN                   | MP   |   |                        |  |         |

## A.4 Multiplicity values and type constraint values

| Constant                     | Explanation  | Value |
|------------------------------|--|-------|
| <b>Ciphering information</b> |  |       |
| maxCNDomains                 | Maximum number of CN domains   | 4     |
| <b>Frequency information</b> |  |       |
| maxFDDFreqList               | Maximum number of FDD carrier frequencies to be stored in USIM               | 4     |
| maxTDDFreqList               | Maximum number of TDD carrier frequencies to be stored in USIM               | 4     |
| maxFDDFreqCellList           | Maximum number of neighbouring FDD cells on one carrier to be stored in USIM | 32    |
| maxTDDFreqCellList           | Maximum number of neighbouring TDD cells on one carrier to be stored in USIM | 32    |
| maxGSMCellList               | Maximum number of GSM cells to be stored in USIM                             | 32    |

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## Annex B (informative): Description of RRC state transitions

This annex contains Stage 2 description of RRC states and state transitions.

### B.1 RRC states and state transitions including GSM

After power on, the UE stays in Idle Mode until it transmits a request to establish an RRC Connection. In Idle Mode the connection of the UE is closed on all layers of the access stratum. In Idle Mode the UE is identified by non-access stratum identities such as IMSI, TMSI and P-TMSI. In addition, the UTRAN has no own information about the individual Idle Mode UEs, and it can only address e.g. all UEs in a cell or all UEs monitoring a paging occasion. The UE behaviour within this mode is described in [4].

The UTRA RRC Connected Mode is entered when the RRC Connection is established. The UE is assigned a radio network temporary identity (RNTI) to be used as UE identity on common transport channels.

The RRC states within UTRA RRC Connected Mode reflect the level of UE connection and which transport channels that can be used by the UE.

For inactive stationary data users the UE may fall back to PCH on both the Cell and URA levels. That is, upon the need for paging, the UTRAN checks the current level of connection of the given UE, and decides whether the paging message is sent within the URA, or should it be sent via a specific cell.

### B.2 Transition from Idle Mode to UTRA RRC Connected Mode

The transition to the UTRA RRC Connected Mode from the Idle Mode can only be initiated by the UE by transmitting a request for an RRC Connection. The event is triggered either by a paging request from the network or by a request from upper layers in the UE.

When the UE receives a message from the network that confirms the RRC connection establishment, the UE enters the CELL\_FACH or CELL\_DCH state of UTRA RRC Connected Mode.

In the case of a failure to establish the RRC Connection the UE goes back to Idle Mode. Possible causes are radio link failure, a received reject response from the network or lack of response from the network (timeout).

#### B.2.1 Transitions for Emergency Calls

Refer to [4] for all states and procedures referred to in this subclause. When UE leaves idle mode from state *Camped on any cell* in order to make an emergency call, moving to state *Connected mode (emergency calls only)*, the UE shall attempt to access the current serving cell. If the access attempt to the serving cell fails the UE shall use the *Cell Reselection* procedure. If no acceptable cell is found, the UE shall use the *Any cell selection*. When returning to idle mode, the UE shall use the procedure *Cell selection when leaving connected mode* in order to find an acceptable cell to camp on, state *Camped on any cell*.

### B.3 UTRA RRC Connected Mode States and Transitions

#### B.3.1 CELL\_DCH state

The CELL\_DCH state is characterised by

- A dedicated physical channel is allocated to the UE in uplink and downlink.
- The UE is known on cell level according to its current active set.
- Dedicated transport channels, downlink and uplink (TDD) shared transport channels, and a combination of these transport channels can be used by the UE.

The CELL\_DCH-state is entered from the Idle Mode through the setup of an RRC connection, or by establishing a dedicated physical channel from the CELL\_FACH state.

A PDSCH may be assigned to the UE in this state, to be used for a DSCH. In TDD a PUSCH may also be assigned to the UE in this state, to be used for a USCH. If PDSCH or PUSCH are used for TDD, a FACH transport channel may be assigned to the UE for reception of physical shared channel allocation messages.

#### B.3.1.1 Transition from CELL\_DCH to Idle Mode

Transition to Idle Mode is realised through the release of the RRC connection.

#### B.3.1.2 Transition from CELL\_DCH to CELL\_FACH state

Transition to CELL\_FACH state occurs when all dedicated channels have been released, which may be

- a) via explicit signalling (e.g. PHYSICAL CHANNEL RECONFIGURATION, RADIO BEARER RECONFIGURATION, RADIO BEARER RELEASE, RADIO BEARER SETUP, TRANSPORT CHANNEL RECONFIGURATION, etc.).

at the end of the time period for which the dedicated channel was allocated (TDD)

#### B.3.1.3 Transition from CELL\_DCH to CELL\_PCH state

Transition to CELL\_PCH state occurs via explicit signalling (e.g. PHYSICAL CHANNEL RECONFIGURATION, RADIO BEARER RECONFIGURATION, RADIO BEARER RELEASE, RADIO BEARER SETUP, TRANSPORT CHANNEL RECONFIGURATION, etc.).

#### B.3.1.4 Transition from CELL\_DCH to URA\_PCH state

Transition to URA\_PCH state occurs via explicit signalling (e.g. PHYSICAL CHANNEL RECONFIGURATION, RADIO BEARER RECONFIGURATION, RADIO BEARER RELEASE, RADIO BEARER SETUP, TRANSPORT CHANNEL RECONFIGURATION, etc.).

#### B.3.1.5 Radio Resource Allocation tasks (CELL\_DCH)

For the DCH, several physical channel allocation strategies may be applied. The allocations can be either permanent (needing a DCH release message) or based on time or amount-of-data.

Resource allocation can be done separately for each packet burst with fast signalling on the DCH

For each radio frame the UE and the network indicate the current data rate (in uplink and downlink respectively) using the transport format combination indicator (TFCI). However, in TDD, DCH and DSCH or USCH may be mapped on different CCTrCHs, their TFCI are totally independent. DCH transmission is not modified by the simultaneous existence of DSCH/USCH. If the configured set of combinations (i.e. transport format set for one transport channel) are found to be insufficient to retain the QoS requirements for a transport channel, the network initiates a reconfiguration of the transport format set (TFS) for that transport channel. This reconfiguration can be done during or in between data transmission. Further, the network can reconfigure the physical channel allowing an increase or decrease of the peak data rate.

For the uplink data transmission, the UE reports the observed traffic volume to the network in order for the network to re-evaluate the current allocation of resources. This report contains e.g. the amount of data to be transmitted or the buffer status in the UE.

#### B.3.1.6 RRC Connection mobility tasks (CELL\_DCH)

Depending on the amount and frequency of data macrodiversity (soft handover) may or may not be applied.

The RRC Connection mobility is handled by measurement reporting, soft handover and Timing re-initialised or Timing-maintained hard handover procedures.

### B.3.1.7 UE Measurements (CELL\_DCH)

The UE performs measurements and transmit measurement reports according to the measurement control information.

The UE uses the connected mode measurement control information received in other states until new measurement control information has been assigned to the UE.

### B.3.1.8 Acquisition of system information (CELL\_DCH)

FDD UEs with certain capabilities reads system information broadcast on FACH.

TDD UEs reads the BCH to acquire valid system information. For each acquisition, the UE may need different combinations of system information broadcast on BCH. The scheduling on the broadcast channel is done in such way that the UE knows when the requested information can be found.

## B.3.2 CELL\_FACH state

The CELL\_FACH state is characterised by:

- No dedicated physical channel is allocated to the UE.
- The UE continuously monitors a FACH in the downlink.
- The UE is assigned a default common or shared transport channel in the uplink (e.g. RACH) that it can use anytime according to the access procedure for that transport channel.
- The position of the UE is known by UTRAN on cell level according to the cell where the UE last made a cell update.
- In TDD mode, one or several USCH or DSCH transport channels may have been established.

### B.3.2.1 Transition from CELL\_FACH to CELL\_DCH state

A transition occurs, when a dedicated physical channel is established via explicit signalling (e.g. PHYSICAL CHANNEL RECONFIGURATION, RADIO BEARER RECONFIGURATION, RADIO BEARER RELEASE, RADIO BEARER SETUP, TRANSPORT CHANNEL RECONFIGURATION, etc.).

### B.3.2.2 Transition from CELL\_FACH to CELL\_PCH state

The transition occurs when UTRAN orders the UE to move to CELL\_PCH state, which is done via explicit signalling (e.g. CELL UPDATE CONFIRM, RADIO BEARER RECONFIGURATION, etc.).

### B.3.2.3 Transition from CELL\_FACH to Idle Mode

Upon release of the RRC connection, the UE moves to the idle mode.

### B.3.2.4 Transition from CELL\_FACH to URA\_PCH State

The transition occurs when UTRAN orders the UE to move to URA\_PCH state, which is done via explicit signalling (e.g. URA UPDATE CONFIRM, RADIO BEARER RECONFIGURATION, etc.).

### B.3.2.5 Radio Resource Allocation Tasks (CELL\_FACH)

In the CELL\_FACH state the UE will monitor an FACH. It is enabled to transmit uplink control signals and it may be able to transmit small data packets on the RACH.

The network can assign the UE transport channel parameters (e.g. transport format sets) in advance, to be used when a DCH is used. Upon assignment of the physical channel for DCH, the UE moves to CELL\_DCH state and uses the pre-assigned TFS for the DCH.

If no UE dedicated physical channel or transport channel configuration has been assigned, the UE uses the common physical channel and transport channel configuration according to the system information.

For the uplink data transmission, the UE reports the observed traffic volume to the network in order for the network to re-evaluate the current allocation of resources. This report contains e.g. the amount of data to be transmitted or the buffer status in the UE.

When there is either user or control data to transmit, a selection procedure determines whether the data should be transmitted on a common transport channel, or if a transition to CELL\_DCH should be executed. The selection is dynamic and depends on e.g. traffic parameters (amount of data, packet burst frequency).

In FDD mode, the UTRAN can assign CPCH resources to the UE in CELL\_FACH state. When CPCH resources are assigned, the UE will continue to monitor FACHs. When CPCH resources are assigned, the UE will use CPCH for all uplink traffic in accordance with RB mapping.

In FDD mode, UTRAN may configure the UE to provide CPCH measurement reports of traffic volume on each CPCH channel used. With these measures, the UTRAN can reallocate network resources on a periodic basis. The UTRAN allocates CPCH Sets to each cell and assigns UEs to one of the cell's CPCH Sets. The UEs can dynamically access the CPCH resources without further UTRAN control.

In the TDD mode, the UTRAN can assign USCH / DSCH resources to the UE in CELL\_FACH state. When USCH / DSCH resources are assigned, the UE will continue to monitor FACHs, depending on the UE capability. The UE may use the USCH / DSCH to transmit signalling messages or user data in the uplink and / or the downlink using USCH and / or DSCH when resources are allocated to cell and UE is assigned use of those USCH / DSCH.

For the uplink data transmission on USCH the UE reports to the network the traffic volume (current size of RLC data buffers), The UTRAN can use these measurement reports to re-evaluate the current allocation of the USCH / DSCH resources.

### B.3.2.6 RRC Connection mobility tasks (CELL\_FACH)

In this state the location of the UE is known on cell level. A cell update procedure is used to report to the UTRAN, when the UE selects a new cell to observe the common downlink channels of a new cell. Downlink data transmission on the FACH can be started without prior paging.

The UE monitors the broadcast channel and system information on BCCH of its own and neighbour cells and from this the need for the updating of cell location is identified.

The UE performs cell reselection and upon selecting a new UTRA cell, it initiates a cell update procedure. Upon selecting a new cell belonging to another radio access system than UTRA, the UE enters idle mode and makes an access to that system according to its specifications.

### B.3.2.7 UE Measurements (CELL\_FACH)

The UE performs measurements and transmit measurement reports according to the measurement control information.

By default, the UE uses the measurement control information broadcast within the system information. However, for measurements for which the network also provides measurement control information within a MEASUREMENT CONTROL message, the latter information takes precedence.

### B.3.2.8 Transfer and update of system information (CELL\_FACH)

The UE reads the BCH to acquire valid system information. For each acquisition, the UE may need different combinations of system information broadcast on BCH. The scheduling on the broadcast channel is done in such way that the UE knows when the requested information can be found.

When the system information is modified, the scheduling information is updated to reflect the changes in system information transmitted on BCH. The new scheduling information is broadcast on FACH in order to inform UEs about the changes. If the changes are applicable for the UE, the modified system information is read on BCH.

### B.3.3 CELL\_PCH state

The CELL\_PCH state is characterised by:

- No dedicated physical channel is allocated to the UE.
- The UE selects a PCH with the algorithm specified in subclause 8.5.19, and uses DRX for monitoring the selected PCH via an associated PICH.
- No uplink activity is possible.
- The position of the UE is known by UTRAN on cell level according to the cell where the UE last made a cell update in CELL\_FACH state.

The DCCH logical channel cannot be used in this state. If the network wants to initiate any activity, it needs to make a paging request on the PCCH logical channel in the known cell to initiate any downlink activity.

#### B.3.3.1 Transition from CELL\_PCH to CELL\_FACH state

The UE is transferred to CELL\_FACH state:

- a) by paging from UTRAN (PAGING TYPE1 message)
- b) through any uplink access

#### B.3.3.2 Radio Resource Allocation Tasks (CELL\_PCH)

In CELL\_PCH state no resources have been granted for data transmission. For this purpose, a transition to another state has to be executed.

The UE may use Discontinuous Reception (DRX) in order to reduce power consumption. When DRX is used the UE needs only to receive at one paging occasion per DRX cycle. The UE may be instructed to use a specific DRX cycle length by the network. The UE determines its paging occasions in the same way as for Idle Mode, see [4].

#### B.3.3.3 RRC Connection mobility tasks (CELL\_PCH)

In the CELL\_PCH state, the UE mobility is performed through cell reselection procedures, which may differ from the one defined in [4].

The UE performs cell reselection and upon selecting a new UTRA cell, it moves to CELL\_FACH state and initiates a cell update procedure in the new cell. After the cell update procedure has been performed, the UE changes its state back to CELL\_PCH state if neither the UE nor the network has any more data to transmit.

Upon selecting a new cell belonging to another radio access system than UTRA, the UE enters idle mode and make an access to that system according to its specifications.

In case of low UE activity, UTRAN may want to reduce the cell-updating overhead by ordering the UE to move to the URA\_PCH State. This transition is made via the CELL\_FACH state. UTRAN may apply an inactivity timer, and optionally, a counter, which counts the number of cell updates e.g. UTRAN orders the UE to move to URA\_PCH when the number of cell updates has exceeded certain limits (network parameter).

#### B.3.3.4 UE Measurements (CELL\_PCH)

The UE performs measurements and transmit measurement reports according to the measurement control information.

The UE uses the measurement control information according to the system information when no UE dedicated measurement control information has been assigned.

#### B.3.3.5 Transfer and update of system information (CELL\_PCH)

The UE reads the BCH to acquire valid system information. For each acquisition, the UE may need different combinations of system information broadcast on BCH. The scheduling on the broadcast channel is done in such way that the UE knows when the requested information can be found.



## B.3.4 URA\_PCH State

The URA\_PCH state is characterised by:

- No dedicated channel is allocated to the UE.
- The UE selects a PCH with the algorithm specified in subclause 8.5.19, and uses DRX for monitoring the selected PCH via an associated PICH.
- No uplink activity is possible.
- The location of the UE is known on UTRAN Registration area level according to the URA assigned to the UE during the last URA update in CELL\_FACH state.

The DCCH logical channel cannot be used in this state. If the network wants to initiate any activity, it needs to make a paging request on the PCCH logical channel within the URA where the location of the UE is known. If the UE needs to transmit anything to the network, it goes to the CELL\_FACH state. The transition to URA\_PCH State can be controlled with an inactivity timer, and optionally, with a counter that counts the number of cell updates. When the number of cell updates has exceeded certain limits (a network parameter), then the UE changes to the URA\_PCH State.

URA updating is initiated by the UE, which, upon the detection of the Registration area, sends the network the Registration area update information on the RACH of the new cell.

### B.3.4.1 Transition from URA\_PCH State to CELL\_FACH State (URA\_PCH)

Any activity causes the UE to be transferred to CELL\_FACH State.

- a) Uplink access is performed by RACH.
- b) by paging from UTRAN ( PAGING TYPE1 message ).

NOTE: The release of an RRC connection is not possible in the URA\_PCH State. The UE will first move to CELL\_FACH State to perform the release signalling.

### B.3.4.2 Radio Resource Allocation Tasks (URA\_PCH)

In URA\_PCH State no resources have been granted for data transmission. For this purpose, a transition to CELL\_FACH State has to be executed.

The UE may use Discontinuous Reception (DRX) in order to reduce power consumption. When DRX is used the UE needs only to receive at one paging occasion per DRX cycle. The UE may be instructed to use a specific DRX cycle length by the network. The UE determines its paging occasions in the same way as for Idle Mode, see [4].

### B.3.4.3 RRC Connection mobility tasks (URA\_PCH)

In URA\_PCH State the location of a UE is known on UTRAN Registration area level.

In this state, the UE mobility is performed through URA reselection procedures, which may differ from the definitions in [4]. The UE performs cell reselection and upon selecting a new UTRA cell belonging to a URA that does not match the URA used by the UE, the UE moves to CELL\_FACH state and initiates a URA update towards the network. After the URA update procedure has been performed, the UE changes its state back to URA\_PCH state if neither the UE nor the network has any more data to transmit.

Upon selecting a new cell belonging to another radio access system than UTRA, the UE enters idle mode and makes an access to that system according to its specifications.

### B.3.4.4 UE Measurements (URA\_PCH)

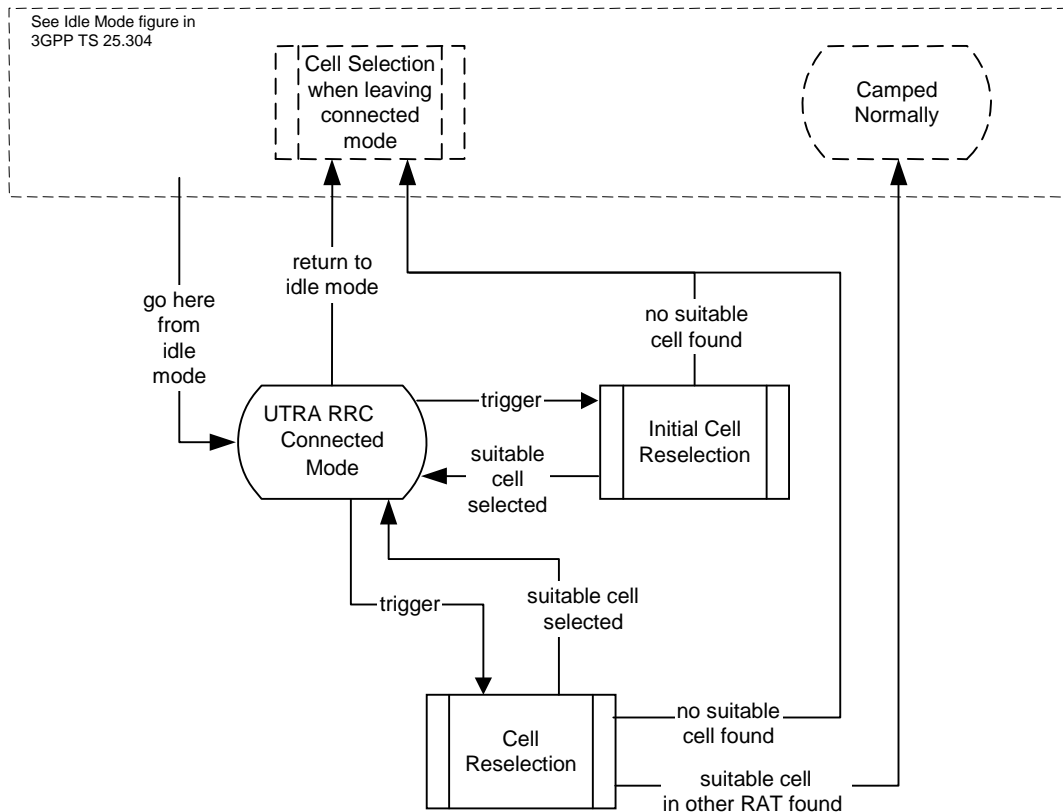
The UE performs measurements and transmit measurement reports according to the measurement control information.

The UE uses the measurement control information according to the system information when no UE dedicated measurement control information has been assigned.

### B.3.4.5 Transfer and update of system information (URA\_PCH)

The same mechanisms to transfer and update system information as for state CELL\_PCH are applicable for UEs in URA\_PCH state.

## B.3.5 States and Transitions for Cell Reselection in URA\_PCH, CELL\_PCH, and CELL\_FACH



**Figure B.3.5-1: UTRA RRC Connected mode cell reselection for URA\_PCH, CELL\_PCH, and CELL\_FACH**

In some states the UE performs cell reselection procedures. The UE selects a suitable cell (defined in [4]) and radio access technology based on connected mode radio measurements and cell reselection criteria.

Figure B.3.5-1 shows the states and procedures in the cell reselection process in connected mode.

When a cell reselection is triggered, the UE evaluates the cell reselection criteria based on radio measurements, and if a better cell is found that cell is selected, procedure Cell reselection (see [4]). If the change of cell implies a change of radio access technology, the RRC connection is released, and the UE enters idle mode of the other RAT. If no suitable cell is found in the cell reselection procedure, the UE eventually enters idle mode.

When an Initial cell reselection is triggered, the UE shall use the Initial cell reselection procedure (see [4]) to find a suitable cell. One example where this procedure is triggered is at radio link failure, where the UE may trigger an initial cell reselection in order to request re-establishment of the RRC connection. If the UE is unable to find a suitable cell, the UE eventually enters idle mode.

## B.4 Inter-RAT handover with CS domain services

When using CS domain services, UTRAN is using an Inter-Radio access system Handover Procedure and GSM is using a Handover procedure for the transition from UTRA RRC Connected Mode to GSM Connected Mode.

## B.5 Inter-RAT handover with PS domain services

When using PS domain services, the UE initiates cell reselection from a GSM/GPRS cell to a UTRAN cell and then uses the RRC Connection Establishment procedure for the transition to UTRA RRC Connected mode.

When the RRC Connection is established from Idle Mode (GPRS Packet Idle Mode) the RRC CONNECTION REQUEST message contains an indication, that UTRAN needs to continue an already established GPRS UE context from the CN. This indication allows UTRAN to e.g. prioritise the RRC CONNECTION REQUEST from the UE.

In UTRA RRC connected mode UTRAN is using UE or network initiated cell reselection to change from a UTRAN cell to a GSM/GPRS cell. If the cell reselection was successful the UE enters Idle Mode (GPRS Packet Idle Mode). The UE sends a packet channel request from Idle Mode (GPRS Packet Idle mode) to establish a Temporary Block flow and enter GPRS Packet Transfer Mode. In the GPRS Packet Transfer Mode the UE sends a RA Update request message. The RA Update Request message sent from the UE contains an indication that GSM/GPRS need to continue an already established UTRAN UE context from the CN. This means that the RA Update request is always sent for the transition from UTRA RRC Connected Mode to GSM/GPRS regardless if the RA is changed or not.

NOTE: The reason for using RA update instead of a new message is to reduce the impact on the existing GSM/GPRS specification.

## B.6 Inter-RAT handover with simultaneous PS and CS domain services

### B.6.1 Inter-RAT handover UTRAN to GSM / BSS

For a UE in CELL\_DCH state using both CS and PS Domain services the Inter-RAT handover procedure is based on measurement reports from the UE but initiated from UTRAN.

The UE performs the Inter-RAT handover from UTRA RRC Connected Mode to GSM Connected Mode first. When the UE has sent handover complete message to GSM / BSS the UE initiates a temporary block flow towards GPRS and sends a RA update request.

If the Inter-RAT handover from UTRA RRC Connected Mode to GSM Connected Mode was successful the handover is considered as successful regardless if the UE was able to establish a temporary block flow or not towards GPRS.

In case of Inter-RAT handover failure the UE has the possibility to go back to UTRA RRC Connected Mode and re-establish the connection in the state it originated from.

### B.6.2 Inter-RAT handover GSM / BSS to UTRAN

For a UE in GSM Connected Mode using both CS and PS domain services the Inter-RAT handover procedure is based on measurement reports from the UE but initiated from GSM / BSS.

The UE performs the Inter-RAT handover from GSM Connected Mode to UTRA RRC Connected Mode.

In UTRA RRC Connected Mode both services are established in parallel.

If the Inter-RAT handover from GSM Connected mode to UTRA RRC Connected Mode was successful the handover is considered as successful.

In case of Inter-RAT handover failure the UE has the possibility to go back to GSM Connected Mode and re-establish the connection in the state it originated from.

## Annex C (informative): Change history

| Change history |       |          |     |     |  |       |       |
|----------------|-------|----------|-----|-----|--|-------|-------|
| Date           | TSG # | TSG Doc. | CR  | Rev | Subject/Comment  | Old   | New   |
| 10/1999        | RP-05 | RP-99524 | -   |     | Approved at TSG-RAN #5 and placed under Change Control   | -     | 3.0.0 |
| 12/1999        | RP-06 | RP-99650 | 001 |     | Modification of RRC procedure specifications   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 005 | 1   | Introduction of Information Element for Power Control Algorithm  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 007 | 1   | RRC parameters for SS DT   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 009 | 1   | Inclusion of information elements for integrity protection   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 010 | 2   | Security mode control procedure  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 011 | 3   | Updates of the system information procedure  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 012 | 2   | Inter-frequency measurements and reporting   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 013 | 1   | Inter-system measurements and reporting  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 014 | 1   | Additional measurements in RRC measurement messages  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 015 | 3   | Value range for Measurement Information Elements   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 016 | 2   | Message contents for inter system handover to UTRAN  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99652 | 017 |     | Inclusion of ciphering information elements  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99651 | 018 |     | Corrections and editorial changes  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 019 | 1   | Algorithm for CTCF Calculation   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99651 | 025 |     | Logical CH for RRC Connection Re-establishment (RRC Connection Re-establishment deleted in RAN_10, RP-000715)                                  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99719 | 026 | 1   | Gain Factors   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 027 | 1   | Parameters for CELL UPDATE CONFIRM message   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99651 | 028 |     | Cell Update Cause  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 029 | 1   | RRC Initialisation Information   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 034 | 1   | Open loop power control for PRACH  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99652 | 038 |     | Addition of the UE controlled AMR mode adaptation  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99651 | 039 |     | Information elements for RLC reset   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 040 |     | Support for DS-41 Initial UE Identity  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 042 | 2   | Integration of Cell Broadcast Service (CBS)  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 044 | 1   | Gated transmission of DPCH   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 045 |     | Modification to the Transport Format Combination Control message   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 046 |     | New Information elements and modifications to messages required in order to support configuration and re-configuration of the DSCH in FDD mode | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 047 | 1   | Editorial Corrections and Alignments with Layer 1 specifications   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 048 | 1   | Information elements for TDD shared channel operation  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 049 |     | Description of CN dependent IEs in Master Information Block  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99650 | 050 |     | UE capability information elements   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 051 | 1   | UTRAN response time to uplink feedback commands of TX diversity control  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 052 |     | New and corrected CPCH parameters  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 053 | 2   | Compressed mode parameters without gating  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 054 |     | Transport format combination set and transport format combination subset   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 055 | 1   | Information elements for cell selection and reselection  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 056 |     | Corrections and Alignments of the RRC to the L1 for TDD  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 057 | 1   | Introduction of a SCCH procedure   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 061 |     | Support for DS-41 Paging UE Identity   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 062 | 2   | Support for cdma2000 Hard Handover   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99656 | 063 | 1   | Provide necessary signalling to support FDD DSCH   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 064 |     | RRC procedure interactions   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 066 | 1   | Transfer of UE capabilities  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654 | 067 |     | Selection of initial UE identity   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657 | 069 |     | UE capability verification in the security mode control procedure  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657 | 070 | 1   | DPCH initial power   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657 | 071 |     | Actions when entering idle mode  | 3.0.0 | 3.1.0 |

| Change history |       |           |     |     |  |       |       |
|----------------|-------|-----------|-----|-----|--|-------|-------|
| Date           | TSG # | TSG Doc.  | CR  | Rev | Subject/Comment  | Old   | New   |
|                | RP-06 | RP-99657  | 072 |     | Specification of inter-frequency and inter-system reporting events for FDD                         | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 073 | 1   | Signalling radio bearers   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654  | 074 |     | CN information elements  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654  | 076 |     | UE information elements  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 077 | 1   | Radio bearer, transport channel and physical channel information elements                          | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654  | 078 |     | Other information elements   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 079 | 2   | RRC signalling for PDCP  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654  | 080 |     | Content of Measurement Control Messages  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99654  | 081 |     | RRC Information Elements to support Block STTD transmission diversity in TDD                       | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 082 | 1   | Signalling connection release  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 083 | 1   | Addition of cell access restriction information elements to System Information                     | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99655  | 085 | 1   | RRC Connection Establishment parameters  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 092 | 1   | Support of UE autonomous update of a active set on a non-used frequency                            | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 095 | 1   | TPC combining for power control  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99653  | 096 | 1   | Editorial Modification of IEs in RRC messages  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99655  | 097 |     | Selection of SCCPCH  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99655  | 098 | 1   | RRC Initialisation Information   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 100 | 1   | Support of physical channel establishment and failure criteria in the UE                           | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99655  | 102 | 1   | RRC Connection Re-establishment (Message deleted in RAN_10, RP-000715)                             | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 106 | 1   | System information on FACH   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 108 | 1   | SAPs and Primitives for DS-41 mode   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99655  | 109 | 1   | TX Diversity Mode for Dedicated Channel  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 110 | 1   | RACH message length signalling on System Information   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 113 | 1   | Routing of NAS messages in UTRAN   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99655  | 116 | 3   | TBS Identification in TFS  | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 117 | 1   | Merging the hard handover and some radio bearer control procedures                                 | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99653  | 120 | 1   | Selected RRC message transfer syntax   | 3.0.0 | 3.1.0 |
|                | RP-06 | RP-99657  | 121 |     | Efficient rate command signalling  | 3.0.0 | 3.1.0 |
| 03/2000        | RP-07 | RP-000043 | 122 |     | TDD Mode BCH Reception in Cell DCH State   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 123 |     | Uplink Outer Loop Power Control in TDD Mode  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 124 | 1   | TFS TB Size Calculation with Bit Aligned TDD MAC Headers   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 125 |     | Grouping of DRAC IEs, and detailed definitions of these IEs  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 126 |     | Correction of specifications for the 'Dynamic Resource Allocation Control of Uplink DCH' Procedure | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 131 | 2   | Clarification of PDCP info and PDCP capability IEs   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 132 |     | Editorial change to "Specification of system information block characteristics"                    | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 133 |     | Additions of CBS related Information Elements  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 134 |     | Signalling for computed gain factors   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 137 | 1   | General error handling procedures  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 138 | 1   | RRC message extensions   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 139 |     | Padding of RRC messages using RLC transparent mode   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 140 | 2   | UE information elements  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 141 |     | Other information elements   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 142 | 3   | Integrity protection function  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 143 | 4   | RAB-RB relations   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 144 | 1   | Inter-system handover from UTRAN   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 145 | 3   | Handover to UTRAN including procedure for pre- configuration                                       | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 146 | 2   | RRC measurement filtering parameters   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000043 | 147 |     | New event "RL out of UE Rx window"   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 148 | 1   | Access control on RACH   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 149 | 2   | cdma2000 Hard Handover   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 150 | 1   | CPCH parameters with corrections   | 3.1.0 | 3.2.0 |

| Change history |       |           |     |     |   |       |       |
|----------------|-------|-----------|-----|-----|---|-------|-------|
| Date           | TSG # | TSG Doc.  | CR  | Rev | Subject/Comment   | Old   | New   |
|                | RP-07 | RP-000044 | 152 |     | U-plane AM RLC reconfiguration by cell update procedure   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 154 | 3   | CPCCH   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 155 | 1   | Information elements for ASC in TDD   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 156 |     | Addition of timing advance value in handover related messages   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 157 | 2   | Physical channel description for TDD  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 159 |     | Message contents for the intersystem command message to UTRAN operating in TDD mode                                     | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 160 |     | Corrections on use of PUSCH power control info and minor corrections  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 162 | 2   | UE individual DRX cycles in CELL_PCH and URA_PCH states   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 163 |     | Correction to Transport Format Combination Control procedure  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 164 | 3   | Downlink outer loop power control   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 165 | 2   | Redirection of RRC connection setup   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 166 | 2   | Inter-frequency measurements in CELL_FACH state   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 167 |     | List of found editorial mistakes in the Dec99 version of 25.331 (V3.1.0)  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 168 | 1   | Transport block size  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 169 | 1   | Cell Access Restriction   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 170 |     | Editorial modification  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000044 | 171 |     | Modification of DPCH info   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 172 | 1   | Measurement control message   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 173 | 2   | Reporting cell status   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 174 |     | Additional IE for RB release  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 175 |     | Available SF in PRACH info  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 176 |     | Traffic volume measurement event  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 177 |     | Report of multiple cells on an event result   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 178 |     | Editorial modification on Direct Transfer   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 179 |     | Correction of the Security Mode Control procedure   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 180 | 1   | Maximum calculated Transport Format Combination   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 183 |     | Additional DPCH IEs to align 25.331 with 25.214   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 184 | 1   | RB – DCH mapping  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 188 | 1   | Modifications related to FDD mode DSCH  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 189 | 1   | Identification of Shared Channel Physical Configuration in TDD Mode   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 192 | 1   | Uplink Outer Loop Power Control During Hard Handover  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 193 |     | Support of Multiple CCTrCH's in TDD Mode  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 194 | 1   | Uplink Physical Channel Control in TDD Mode   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 201 | 1   | Transfer of initial information from UE to target RNC prior to handover to UTRAN  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 202 | 1   | CN information elements   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 203 |     | UTRAN mobility information elements   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000045 | 204 | 1   | RB information elements   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 205 | 1   | Physical channel information elements   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 206 | 1   | UE capability information elements  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 207 |     | UE variables  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 208 | 1   | Actions when entering idle mode   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 209 |     | Usage of pilot bits   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 210 |     | System information procedure corrections  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 212 |     | Reconfiguration of ciphering  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 213 | 1   | Enhancements to RRC connection re-establishment procedure (Message subsequently deleted in RAN !), RP-000715)           | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 215 |     | Updates to RRC Initialisation Information transparent container and addition of reverse direction container description | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 220 | 1   | Changes in RRC messages to support lossless SRNC relocation   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 229 | 1   | Measurements of unlisted neighbouring cells   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 234 | 2   | Inclusion of Location Services  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 236 | 1   | Application of Access Service Classes and relation to Access Classes  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 252 | 1   | DRX indicator presence and state entering mechanism at the end of a procedure   | 3.1.0 | 3.2.0 |

| Change history |       |           |     |     |   |       |       |
|----------------|-------|-----------|-----|-----|---|-------|-------|
| Date           | TSG # | TSG Doc.  | CR  | Rev | Subject/Comment   | Old   | New   |
|                | RP-07 | RP-000046 | 254 | 1   | Physical shared channel allocation procedure                        | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 255 |     | Corrections to TDD specific parameters in PICH info                 | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 256 |     | Editorial modifications   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 259 | 2   | Introduction of mapping function information in Cell selection and  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 263 |     | Ciphering and integrity HFN   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000046 | 267 |     | New SIB for UP  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000047 | 268 |     | Removal of synchronization Case 3                                   | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000047 | 271 |     | TX Diversity  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000047 | 272 |     | Update of tabular format clause 10                                  | 3.1.0 | 3.2.0 |
|                | RP-07 | RP-000047 | 273 |     | ASN.1 description   | 3.1.0 | 3.2.0 |
| 06/2000        | RP-08 | RP-000222 | 228 | 5   | Downlink power control in compressed mode                           | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 260 | 1   | Clarification on physical channel allocations in TDD                | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 261 | 4   | TDD Measurements and Reporting                                      | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 262 | 4   | Signalling of IEs related to System Information on FACH             | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 265 | 3   | Transport Format Combination Control                                | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 269 | 1   | Signalling of partial failure in radio bearer related procedures    | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 275 |     | Clarification on PDCP info  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 279 |     | Editorial modification on Transport Ch capability                   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 280 |     | Editorial modification on CN IE                                     | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 281 | 3   | Editorial modification on Physical CH IE                            | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 282 | 1   | Editorial modification on ASN.1 description                         | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 283 | 1   | IEs on SIB5/6   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 285 | 2   | Re-establishment timer  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 286 | 1   | CN DRX cycle coefficient  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 287 | 1   | Cell Access Restriction   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 288 | 1   | Cell selection and re-selection parameters                          | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 289 | 2   | Modification on Measurement IE                                      | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 291 | 1   | RACH Transmission parameters  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 292 | 1   | SCCPCH System Info  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000222 | 293 | 1   | Addition of HFN for RRC CONNECTION RE-ESTABLISHMENT COMPLETE        | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 294 | 1   | RLC reconfiguration indicator                                       | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 296 | 3   | RLC Info  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 297 | 1   | Usage of Transport CH ID  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 298 | 2   | Transport format combination set                                    | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 300 | 1   | Usage of U-RNTI and C-RNTI in DL DCCH message                       | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 301 |     | Description of Cell Update Procedure                                | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 304 | 1   | System information modification procedure                           | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 305 |     | Functional descriptions of the RRC messages                         | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 306 |     | Clarification of CTFC calculation                                   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 307 | 3   | Compressed mode parameters  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 309 | 2   | Signalling procedure for periodic local authentication              | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 310 | 5   | Editorial corrections on security                                   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 311 | 2   | Security capability   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 312 | 1   | Corrections on ASN.1 definitions                                    | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 313 | 2   | DRX cycle lower limit   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 314 | 1   | Removal of CPICH SIR measurement quantity                           | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 315 | 1   | Signalling connection release request                               | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 318 | 1   | Change to IMEI coding from BCD to hexadecimal                       | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 319 | 1   | Removal of RLC sequence numbers from RRC initialisation information | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000223 | 320 | 3   | Addition of the length of PDCP sequence numbers into PDCP info      | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 323 | 1   | BSIC verification of GSM cells                                      | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 324 |     | Reporting cell status   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 325 |     | RRC measurement filtering parameters                                | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 326 |     | Cell-reselection parameter signalling                               | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 328 | 3   | Multiplicity values   | 3.2.0 | 3.3.0 |

| Change history |       |           |     |     |   |       |       |
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|                | RP-08 | RP-000224 | 329 |     | Quality measurements  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 330 | 4   | CPCH Status Indication mode correction  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 331 | 4   | End of CPCH transmission  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 332 |     | Handover to UTRAN procedure   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 333 |     | Harmonization of access service classes in FDD and TDD                                  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 334 | 1   | Correction to usage of primary CCPCH info and primary CPICH info                        | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 335 |     | Corrections and clarifications on system information handling                           | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 336 |     | Editorial corrections   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 337 | 1   | Editorial corrections on uplink timing advance  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 339 |     | Correction of Transport Format Combination tabular format and ASN.1                     | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 340 | 1   | UE variables  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 342 | 1   | General error handling  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 344 | 1   | System Information extensibility in ASN.1 definitions                                   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 345 |     | Usage of pilot bits   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000224 | 346 | 3   | RRC connection release procedure  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 347 | 1   | Alignment of Section 10.3 on methodology defined in 25.921                              | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 348 |     | Modifications of cell (re)selection parameters  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 350 | 1   | GPS time-of-week represented as seconds and fractions of seconds                        | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 351 | 2   | CPCH corrections  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 352 |     | PLMN type selection   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 353 | 3   | Paging and establishment cause values   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 354 |     | Common channel configurations   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 355 | 2   | Clarification of prioritization of logical channels in UE                               | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 357 | 2   | UE capability corrections   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 358 | 2   | Clarification of HFN  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 359 | 3   | Clarification of Integrity Protection   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 360 | 1   | RRC message size optimization regarding TrCH parameters                                 | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 361 |     | Protocol extensions in ASN  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 362 | 1   | Downloading of pre- defined configurations via SIB 16                                   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 363 | 1   | Optimization of System Information  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 364 | 1   | CPCH gain factor  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 368 | 2   | SFN Transmission Rate in TDD Mode   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 371 | 1   | Integrity Control   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 372 |     | Modification to measurement event evaluation  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000225 | 373 |     | System Information related parameters   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 375 | 1   | Changes in RB mapping info  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 377 |     | Editorial corrections to PRACH system information and Cell info                         | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 378 |     | Editorial Corrections to 25.331 Procedures and Tabular Format                           | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 379 | 1   | Corrections to figures and procedures for the failure cases                             | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 380 |     | Corrections on use of ORDERED_CONFIG  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 382 | 1   | Corrections to Transport Channel and RB Reconfiguration procedures                      | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 383 | 1   | Corrections to INITIAL DIRECT TRANSFER and UE CAPABILITY INFORMATION CONFIRM procedures | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 384 |     | Corrections to Transparent mode signalling info Tabular format and ASN.1                | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 385 |     | Corrections to Soft Handover messages and procedures                                    | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 387 |     | Corrections to RRC CONNECTION REJECT procedures   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 388 | 1   | Transport format combination in TDD and Transport channel ID                            | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 389 | 1   | Signalling for dynamic TTI in TDD   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 390 | 1   | Usage of DCCH for Shared Channel Allocation message                                     | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 391 | 1   | Correction to physical channel IEs in TDD   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 392 | 1   | TDD preconfiguration for Handover to UTRAN  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 393 |     | Corrections to measurement control descriptions and messages                            | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 394 | 1   | Corrections on ASN.1 definitions  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 395 |     | Addition of the Segmentation indication field for transparent mode                      | 3.2.0 | 3.3.0 |



| Change history |       |           |     |     |   |       |       |
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|                |       |           |     |     | RLC in the RLC Info   |       |       |
|                | RP-08 | RP-000226 | 396 | 1   | Radio Bearer identity for CCCH  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000226 | 397 | 1   | ASN.1 definitions for RRC information between network nodes   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000227 | 398 | 1   | NAS Routing   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000227 | 399 |     | DPCCH power control preamble  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000227 | 400 | 2   | Modifications of Assisted GPS Messages  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000227 | 401 |     | Choice of Initial UE Identity   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000227 | 402 |     | ANSI-41 information elements  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000227 | 404 | 1   | RLC value ranges  | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000227 | 408 | 1   | HFN Reset   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000227 | 409 | 1   | Clarification on ciphering parameters and integrity protection procedure in case of SRNS relocation | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000227 | 410 | 1   | Clarification of compressed mode activation and configuration failure                               | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000227 | 412 | 1   | Modification of the RLC Size IE   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000227 | 414 |     | CPCH DL Power control   | 3.2.0 | 3.3.0 |
|                | RP-08 | RP-000227 | 415 | 1   | SFN measurements in TDD   | 3.2.0 | 3.3.0 |
| 09/2000        | RP-09 | RP-000361 | 356 | 3   | Clarification on multiplicity of PCH and PICH and S-CCPCH selection                                 | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 403 | 3   | Parameters to be stored in the USIM   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 413 | 3   | Optimization of Inter-system handover message   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 416 | 2   | Timing Advance in Handover Procedures   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 417 | 2   | Synchronization of Timing Advance and Timing Deviation Measurement                                  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 418 |     | Downlink Physical Channels Per Timeslot   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 419 |     | TDD Mode DCH Reception in Cell DCH State  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 420 | 2   | Downlink Power Control During DTX in TDD Mode   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 421 | 1   | Paging Indicator Length Definition  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 422 |     | Updating & alignment of RRC containers & handover to UTRAN information transfer                     | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 424 |     | Default values for UE timers and counters   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 425 | 1   | Security mode control   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 426 | 1   | Corrections and Editorial updates to chapter 8  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 427 |     | Corrections and editorial updates to chapter 10   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 428 |     | Transition from CELL_DCH to CELL_PCH and URA_PCH state  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 430 |     | Assisted GPS Messaging and Procedures   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 431 | 2   | Corrections to Activation Time use  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 432 |     | Editorial Corrections to measurement reporting range  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 434 | 4   | Default DPCH offset value and DPCH offset   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000361 | 435 | 3   | RLC info  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 437 |     | Clarification of the description of IE semantics in "RB with PDCP information"                      | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 438 | 1   | Editorial corrections on security   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 439 |     | Editorial correction to RB mapping info   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 440 | 1   | Compressed mode configuration failure   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 441 |     | Gain factors for TDD  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 442 |     | Introduction of Default DPCH Offset Value in TDD  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 444 | 1   | Optimization of handover to UTRAN command   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 445 |     | Editorial corrections   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 448 | 1   | Mapping of channelisation code  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 449 | 2   | DL TFCS Limitation  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 450 |     | SIB offset  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 451 |     | RRC CONNECTION RELEASE cause  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 452 |     | Addition of RACH TFCS   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 453 | 2   | Cell Identity   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 454 |     | Editorial Modifications   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 455 | 1   | TDD PRACH Power Control for Spreading Factor 8/16   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 456 |     | TDD CCTrCH Repetition Length Definition   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 457 | 1   | Reporting threshold of traffic volume measurements  | 3.3.0 | 3.4.0 |

| Change history |       |           |     |     |   |       |       |
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|                | RP-09 | RP-000362 | 459 | 2   | UP GPS assistance data for SIB  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000362 | 461 | 1   | Support of cell update confirm on CCCH  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 462 | 1   | Max Window Size in RLC capabilities   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 463 | 3   | UE handling of CFN  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 464 | 1   | Correction of padding description in clause 12  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 465 | 1   | Window size in RLC info   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 466 | 1   | TFC Control Duration  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 467 |     | System Information Block Tabular Information  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 469 | 1   | Frequency encoding in inter-system handover messages  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 470 |     | RRC message size optimization regarding TFS parameters  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 471 | 2   | RACH selection  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 472 |     | DRX cycle lower limit   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 474 |     | Rx window size in RLC info  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 476 | 1   | Corrections & optimizations regarding system information blocks of length 215..221  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 477 | 1   | Corrections on 8.1.1 resulting from RRC review at R2#14   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 478 | 1   | Corrections to the RRC connection release procedure   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 479 | 1   | New release cause for signalling connection re-establishment  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 480 | 1   | Correction to IE midamble shift and burst type  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 481 | 1   | Correction in RLC info  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 483 |     | Description of CTCH occasions   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 485 | 1   | TDD CCTrCH UL/DL Pairing for Inner Loop Power Control   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000363 | 486 | 1   | DCCH and BCCH Signalling of TDD UL OL PC Information  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 487 | 1   | Broadcast SIBs for TDD UL OL PC Information   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 490 | 1   | CPCH corrections  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 492 | 3   | Corrections to Security IEs   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 494 | 1   | Corrections to parameters to be stored in the USIM  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 496 |     | Editorial corrections   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 497 | 2   | Physical Shared Channel Allocation procedure  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 498 |     | Correction to Transport Format Combination Control Message  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 499 | 1   | Usage of Cell Parameter ID  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 500 |     | RB description for SHCCH  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 501 | 1   | Use of LI in UM   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 502 | 1   | Minor Corrections to RRC Protocol Specification   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 503 | 1   | Correction to Cell Update Cause   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 504 |     | Correction on T307 definition   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 505 |     | Corrections to relative priorities in RRC Protocol  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 506 |     | Unification of Reconfiguration Procedures   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 507 | 1   | Changes to section 8.2 proposed at Paris RRC Ad Hoc   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 508 |     | Establishment Cause   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 509 | 1   | PRACH partitioning  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 510 |     | Editorial Correction on Active Set Update   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000364 | 511 |     | Editorial Correction regarding system information   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 512 | 1   | Clarification on Reporting Cell Status  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 513 | 1   | Editorial corrections on RRC Connection Establishment and Release procedures<br>NOTE: In subclause 8.1.4.6, the change from "decrease" to "increase" for V308 was decided to be incorrect after discussion on the TSG-RAN WG2 reflector and was not implemented | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 514 |     | Gated Transmission Control Info   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 515 | 1   | Cell selection/reselection parameters for SIB 3/4   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 516 |     | Implementation of Ec/N0 parameters and optimization of SIB 11/12  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 517 |     | PRACH Info  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 518 | 1   | Uplink DPCH power control info  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 519 |     | AICH power offset value range   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 520 |     | Direct paging of RRC connected UE in CELL_PCH/URA_PCH<br>NOTE: This CR was postponed in TSG-RAN #9 and was wrongly included in v3.4.0. This was corrected in v3.4.1   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 521 |     | Corrections to Sections 1-7   | 3.3.0 | 3.4.0 |

| Change history |       |           |     |     |  |       |       |
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|                | RP-09 | RP-000365 | 522 |     | Error handling for Uplink Physical Channel Control procedure   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 523 |     | Corrections to downlink outer loop power control in compressed mode  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 524 | 1   | Clarification on measurement procedure using compressed mode   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 525 | 1   | Updates to cell and URA update procedures based on RRC Ad Hoc  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 526 | 1   | Updates to RNTI allocation procedure based on RRC Ad Hoc   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 528 |     | PRACH constant value   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 530 | 1   | Corrections to the paging procedure  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 532 | 1   | Moving of text from 25.304   | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 533 | 1   | Message extensibility  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 534 | 1   | Additions to "State of RRC Procedure" in RRC Initialisation information, source RNC to target RNC                  | 3.3.0 | 3.4.0 |
|                | RP-09 | RP-000365 | 535 | 1   | Support of codec negotiation   | 3.3.0 | 3.4.0 |
|                | -     | -         | -   |     | Removal of contents of CR 520 from v3.4.0, because it was postponed at TSG-RAN #9 and by accident included anyway. | 3.4.0 | 3.4.1 |
| 12/2000        | RP-10 | RP-000570 | 536 |     | Downlink outer-loop power control in compressed mode   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 537 | 1   | Correction in the use of "U-RNTI Short"  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 538 |     | Corrections related to UE Timing   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 539 |     | Corrections to SFN-SFN definition  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 541 | 1   | Corrections to definition and use of Activation Time   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 542 |     | Corrections to logical channel priorities  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 543 | 1   | Correction to codec negotiation  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 544 | 1   | CFN-SFN observed time difference measurement   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 545 | 1   | Correction to timing indication for hard handover  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 546 | 1   | UE Radio Access Capability Corrections   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 548 | 1   | RRC establishment and paging causes for NAS signalling   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 549 |     | Corrections to Intra-frequency measurements and Traffic volume measurements  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 551 | 1   | PRACH/RACH System information  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 553 | 1   | GSM Measurement reporting  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 554 | 1   | BLER measurement and quality target  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 556 | 1   | Clarification of PDCP sequence number window terminology   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 559 | 1   | Clarification on Error Handling  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 560 |     | Removal of compressed mode measurement purpose "other"   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 561 |     | Clarification of compressed mode measurement purpose "GSM"   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000570 | 564 | 2   | Reporting multiple GSM cells   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 566 | 1   | Number of RLS that can be removed in Active Set update   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 568 | 1   | Clarification on Segment Index   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 571 | 3   | RRC procedure performance requirements   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 572 | 1   | Correction of newInterSystemCellList and MeasurementControlSysInfo in ASN.1  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 573 | 4   | Removal of Flow Id concept while maintaining lu interface flexibility  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 574 | 2   | Ciphering and reset  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 575 | 1   | Corrections and clarifications concerning inter-RAT change procedures  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 576 | 1   | General Security Clarifications  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 577 |     | Clarification on RB 0  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 578 |     | Clarification on the transition of RRC state   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 580 | 1   | UP measurements for RRC information to target RNC  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 581 |     | Correction on LCS reporting criteria   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000574 | 583 | 1   | CSICH Corrections  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 584 | 1   | Clarification to handling of satellite health issues   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 585 |     | Clarification on activation time   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 586 |     | Clarification on activation time for ciphering in TM   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 587 | 2   | Measurement procedures and messages  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 590 | 1   | Inter-RAT UE radio access capability   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 592 | 1   | Clarification on cell update/URA update procedures   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000571 | 595 | 4   | Protocol States and Process  | 3.4.1 | 3.5.0 |

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|                | RP-10 | RP-000571 | 596 | 1   | System Information   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000715 | 597 | 5   | RRC Connection Management Procedures, Generic procedures and actions | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 598 | 1   | Paging Procedures  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 599 |     | NAS signalling Procedures  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 600 | 3   | Radio Bearer Control Procedures                                      | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 601 | 1   | Corrections to the Counter Check Procedure                           | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 602 |     | Tabular Information and ASN.1  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 604 | 2   | Corrections to Measurement Occasion concept                          | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 606 |     | Corrections concerning optimisation of RB information                | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 608 | 1   | Corrections to security  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 609 | 1   | Ciphering activation time for DPCH                                   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 610 |     | Confirmation of signalling connection establishment                  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 611 | 2   | RACH Sub-channel signalling  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 613 | 2   | Assistance data delivery for UP                                      | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 614 | 1   | Clarification of LCS measurements                                    | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 615 | 2   | Configuration of RLC PDU sizes for logical channels                  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000574 | 616 |     | PICH power offset for TDD  | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 617 |     | Correction for PDSCH power control for TDD                           | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000574 | 618 |     | Usage of dynamic spreading factor in uplink                          | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 619 |     | Correction of Midamble Shift for Burst Type 3                        | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 621 |     | Correction of text concerning Scheduling of System Information       | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 622 | 1   | Alignment of GSM/99 BA Range concept and its inclusion in UTRA       | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 623 | 1   | Clarification of RB mapping info                                     | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000572 | 624 | 1   | Correction to UE multi-RAT capability                                | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000573 | 625 |     | Correction to PDCP sequence number exchange during hard handover     | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000573 | 628 | 2   | DCH Quality Target   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000573 | 629 | 1   | Simultaneous release of RBs and signalling connection                | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000573 | 630 |     | Correction on Transport Channel Reconfiguration                      | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000573 | 631 |     | Limitation of DRX cycle length                                       | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000574 | 632 |     | Signalling of the alpha value in TDD for open loop power control     | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000573 | 633 |     | Support for improved compressed mode handling for TDD measurements   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000573 | 636 |     | Usage of secondary CPICH and secondary scrambling code               | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000573 | 639 |     | Expiration time of SIB type 7, 14                                    | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000573 | 640 |     | Correction to integrity protection                                   | 3.4.1 | 3.5.0 |
|                | RP-10 | RP-000684 | 641 |     | Downlink Outer Loop Control  | 3.4.1 | 3.5.0 |
| 03/2001        | RP-11 | RP-010029 | 642 | 2   | RL Failure in cell update procedure                                  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 645 | 1   | Clarification on COUNTER CHECK                                       | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 646 | 2   | Traffic Volume Measurement corrections                               | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 650 | 2   | Reserved TFCI for the TDD Special Burst                              | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 653 |     | Correction to description of RRC state transitions                   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 657 |     | RLC re-establish correction  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 658 | 1   | Removal of RLC logical channel mapping indicator                     | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 659 |     | New paging and establishment cause "Unknown"                         | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 660 | 1   | Miscellaneous procedure corrections                                  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 661 |     | Corrections to compressed mode pattern sequence handling             | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 662 |     | Inter-system change clarifications                                   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 663 | 1   | RLC status transmission in CELL_PCH and URA_PCH                      | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 665 | 1   | Clarification of RB information parameter values for SRB0            | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 666 |     | Encoding for RRC- container  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 667 | 2   | Update of message extension and encoding descriptions                | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010032 | 668 | 4   | Introduction of default pre-defined configurations                   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 669 | 2   | Security corrections   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 670 |     | Clarifications on Blind Handover Support                             | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 671 | 1   | Missing descriptions of UE actions                                   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010029 | 672 | 2   | Corrections on UE Positioning information                            | 3.5.0 | 3.6.0 |

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|                | RP-11 | RP-010029 | 674 | 1   | Security related corrections to SRNS   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010032 | 675 | 2   | Downlink power offsets   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010274 | 676 | 2   | Checking the integrity of UE security capabilities   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 678 | 1   | Clarification to Secondary CCPCH info  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 679 | 1   | Miscellaneous corrections  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 680 |     | Removal of Layer 3 filtering for RACH  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 681 | 2   | Correction of compressed mode parameters   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 682 |     | Removal of immediate cell evaluation   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 684 | 2   | Scheduling of SIB 15.2 and SIB 15.3  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 685 | 1   | Correction to ECN modules  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 686 | 1   | Improvement of the description of timing advance for TDD   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 687 |     | Correction on timing advance and allocation for shared channels  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 688 | 1   | Clarification on SF 1 signalling   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 689 | 1   | Correction to power control in TDD   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 690 |     | Midamble - Channelisation code association for TDD   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 691 |     | Network requested reporting for physical shared channel allocation   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 693 |     | System Information   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 694 | 1   | Clarification on Transport Channel Identity  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 696 | 1   | Editorial Correction   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 698 | 2   | Correction to add coding of intra domain NAS node selector   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 700 | 1   | Corrections to system information block characteristics in TDD   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 701 | 2   | ASN.1 corrections  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010030 | 702 | 2   | Measurement related corrections  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 703 | 1   | Clarifications on TFC Control procedure  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 704 | 2   | Association of PLMN ID to neighbour cells  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 705 | 1   | TFCS Selection Guidelines  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 710 |     | Special Burst Scheduling During DTX in TDD   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 711 | 1   | Radio Link Failure Criteria in TDD   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 712 | 1   | Correction & Clarification to TDD RACH Subchannels   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 713 | 1   | Number of retransmission of RRC CONNECTION REQUEST   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 714 |     | Uplink Frequency Notification  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 715 |     | Clarification of Radio Bearer Mapping for DCH/DSCH Transport Channels  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 716 |     | Correction of mismatches between tabular and ASN.1   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 717 |     | Correction to discontinuous reception in TDD   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 718 |     | Power control preamble   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 719 |     | Maximum number of AM entity  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 720 | 1   | Real-time Integrity Broadcast  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 721 | 3   | Moving Real-time Integrity description to different chapter  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 723 | 1   | Removal of the payload unit concept  | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 724 |     | Security related corrections to SRNS   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010031 | 725 |     | Periodic PLMN selection correction   | 3.5.0 | 3.6.0 |
|                | RP-11 | RP-010042 | 683 | 1   | Modification of "SSDT Information" IE parameters to indicate if SSDT is used in the UL only                  | 3.6.0 | 4.0.0 |
|                | RP-11 | RP-010041 | 692 | 1   | Idle allocation for Node B synchronisation   | 3.6.0 | 4.0.0 |
|                | RP-11 | RP-010037 | 706 | 1   | Physical channel configuration information elements for 1.28 Mcps TDD  | 3.6.0 | 4.0.0 |
|                | RP-11 | RP-010037 | 707 | 2   | Changes to Measurement Related Signalling and Introduction of Cell (Re)selection Parameters for 1.28Mcps TDD | 3.6.0 | 4.0.0 |
|                | RP-11 | RP-010037 | 708 | 1   | Introduction of RACH Parameters for 1.28 Mcps TDD  | 3.6.0 | 4.0.0 |
|                | RP-11 | RP-010037 | 709 |     | Introduction of UE radio access capability Parameters for 1.28 Mcps TDD                                      | 3.6.0 | 4.0.0 |
|                | RP-11 | RP-010040 | 722 | 1   | Introduction of IPDLs for TDD  | 3.6.0 | 4.0.0 |
|                | RP-11 | RP-010039 | 726 | 1   | ROHC updates to RRC  | 3.6.0 | 4.0.0 |
| 06/2001        | RP-12 | RP-010311 | 731 |     | Clarification of the IE 'spreading factor' in Uplink DPCH info for FDD mode                                  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010311 | 733 |     | Correction of UE Radio Access Capability depending on UTRAN FDD bands  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010311 | 735 |     | Clarification on Security mode control   | 4.0.0 | 4.1.0 |

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|                | RP-12 | RP-010311 | 738 |     | Correction of TrCH parameter handling                                   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010311 | 740 |     | TFC Subsets in TDD  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010311 | 746 |     | RRC containers  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010311 | 748 |     | Various corrections   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010311 | 750 |     | General error handling for system information                           | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010311 | 752 |     | Order of elements in strings  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010311 | 754 |     | Configuration consistency checks  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010312 | 756 |     | Compressed mode corrections   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010312 | 758 |     | Correction concerning inter-RAT procedures                              | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010312 | 762 |     | Measurement corrections   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010312 | 764 |     | RLC Tr Discard  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010312 | 766 |     | Annex B CPCH Correction   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010312 | 768 |     | SIB Correction for CSICH Power Offset                                   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010312 | 770 |     | Transfer of Last known position in case of SRNS relocation              | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010312 | 772 |     | Corrections to UE Positioning measurements                              | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010312 | 779 |     | GSM measurements in compressed mode                                     | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010312 | 781 |     | Correction of Activation Time in Inter-Rat HO Commands                  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010313 | 785 |     | Clarification of FRESH in SRNS relocation                               | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010313 | 789 |     | Correction to UE timers and constants in idle mode                      | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010313 | 793 |     | Clarification on multiframe allocation in TDD                           | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010313 | 795 |     | Predefined parameters for logical channels                              | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010313 | 797 |     | Pathloss calculation  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010313 | 799 |     | Clarification on periodic measurement reporting                         | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010313 | 803 | 1   | Handling of IE PRACH TFCS and Primary CPICH/Primary CCPCH info          | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010313 | 805 |     | Correction to FACH measurement occasion in TDD                          | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010313 | 807 |     | Clarification of L1 synchronization procedures                          | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010313 | 809 |     | Correction of Activation Time definition                                | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010314 | 813 |     | Corrections to RRC procedure performance                                | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010314 | 815 |     | Removal of mapping function   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010314 | 817 |     | Security clarifications   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010314 | 819 |     | Corrections to UE Positioning   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010314 | 825 |     | Definition of DPCH numbering  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010314 | 827 |     | Corrections to System Information Procedure                             | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010314 | 829 |     | Relation between DOFF and DPCH Frame Offset                             | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010314 | 831 |     | Procedures for "same as UL"   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010314 | 837 |     | Editorial and minor corrections   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010314 | 839 |     | Editorial Correction  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010315 | 843 |     | Corrections on OTDOA-IPDL specific burst parameter semantic description | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010315 | 845 |     | Error handling for messages sent from another RAT                       | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010315 | 849 |     | Needed TFC in the TFCS for TDD  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010315 | 855 |     | Clarification of TFCS selection guidelines                              | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010315 | 861 |     | Clarification of Traffic Volume measurements                            | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010315 | 863 |     | CFN synchronisation problems at timing re-initialised hard handover     | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010315 | 866 |     | Corrections on UP Assistance Message Descriptions                       | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010315 | 868 |     | Correction on Area Scope of SIB 15.3                                    | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010315 | 872 |     | Correction to AICH power offset   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010316 | 875 |     | Clarification on IE 'Downlink rate matching restriction information'    | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010316 | 877 |     | Corrections on Tabular/ASN.1  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010316 | 879 |     | Corrections on Tabular and ASN.1 inconsistencies                        | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010316 | 881 |     | Editorial corrections on Tabular and ASN.1 inconsistencies              | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010316 | 883 |     | UE Positioning corrections to ASN.1 and tabular                         | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010316 | 885 |     | Corrections to resolve inconsistencies between Tabular and ASN.1        | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010316 | 887 |     | UE positioning OTDOA Neighbour Cell Info                                | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010316 | 889 |     | DRAC corrections  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010316 | 893 |     | ASN.1 Correction of IE TFCS ID  | 4.0.0 | 4.1.0 |

| Change history |       |           |      |     |   |       |       |
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| Date           | TSG # | TSG Doc.  | CR   | Rev | Subject/Comment   | Old   | New   |
|                | RP-12 | RP-010316 | 895  |     | Correction of IE IODE range in AGPS Positioning   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010317 | 897  |     | Correction to BurstModeParameters in IPDL   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010317 | 899  |     | Corrections on inconsistencies between Tabular and ASN.1  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010317 | 901  |     | Naming of message abstract types in ASN.1   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010317 | 904  |     | Information elements outside the extension container  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010317 | 906  |     | Correction concerning DRX cycle upon inter-RAT change towards UTRAN                                       | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010323 | 773  |     | Corrections to IPDLs for TDD  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010323 | 850  | 2   | Correction to 1.28Mcps TDD RACH parameters and operation  | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010323 | 851  |     | TFCI coding in case of 8PSK   | 4.0.0 | 4.1.0 |
|                | RP-12 | RP-010323 | 902  | 1   | Structure and naming of information elements  | 4.0.0 | 4.1.0 |
| 09/2001        | RP-13 | RP-010544 | 0870 |     | UL Transport Channel Type Correction  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010544 | 0908 |     | Guidelines concerning conditions, spares, defaults and correction of inconsistencies                      | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010544 | 0910 |     | Correction to TDD DL DPCH Common Timeslot Info  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010544 | 0912 |     | TDD System Information Update in Cell_DCH   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010544 | 0914 |     | Editorial Corrections   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010544 | 0916 |     | UL DPCH Power Control Info in TDD   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010544 | 0918 |     | CN-originated paging in CELL_PCH and URA_PCH state  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010544 | 0920 |     | Corrections to UE variable handling   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010544 | 0922 |     | Inter-frequency measurements  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010544 | 0924 |     | Inter-RAT measurements  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010671 | 0926 | 1   | Intra-frequency measurements  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010545 | 0928 |     | Multiplexing configuration corrections  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010545 | 0930 |     | Reception of non-dedicated control channels mapped on FACH in CELL_FACH state                             | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010545 | 0932 |     | Removal of C-RNTI when entering CELL_DCH  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010545 | 0935 |     | TF and TFC set definition   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010545 | 0937 |     | Correction of remaining ASN.1/Tabular inconsistencies   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010545 | 0939 |     | CPICH Ec/N0 Range   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010545 | 0941 |     | Priorities for IDNNS coding   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010545 | 0943 |     | Dedicated pilots and S-CPICH specification related to UE specific beamforming                             | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010545 | 0945 |     | Security corrections  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010546 | 0953 |     | Intra-frequency measurement events for TDD corrections  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010546 | 0955 |     | Inconsistencies between ASN.1 and tabular format  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010546 | 0957 |     | TDD PICH corrections and clarifications   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010546 | 0959 |     | Messages on CCCH  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010546 | 0961 |     | Clarification of Parameter Values for Default Radio Configurations  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010546 | 0963 |     | Clarification to usage of default values in "Cell Selection and Reselection for SIB11/12Info"             | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010546 | 0965 |     | Clarification of handling of System information block 14  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010546 | 0967 |     | Description of UE behaviour when receiving UE positioning related information                             | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010546 | 0982 |     | Clarification on periodic measurement reporting   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010546 | 0984 |     | Corrections and clarifications on Measurement procedures description                                      | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010547 | 0986 |     | Lossless Criteria in PDCP Info  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010547 | 0988 |     | Corrections to cell reselection parameter values  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010547 | 0990 |     | Correction to signalling connection release   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010547 | 0992 |     | Corrections to cell update procedures   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010547 | 0994 |     | PDCP configuration and PS domain configuration checks   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010547 | 0996 |     | Correction to handling of RRC transaction identifier for Cell Update, URA Update and RRC connection setup | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010547 | 0998 | 1   | Correction of UE capabilities regarding Rx-Tx time difference type 2 measurement                          | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010547 | 1000 |     | Correction to handling of IE 'Downlink info for each radio link'  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010547 | 1004 |     | Redundant IE in Traffic volume measurement system information   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010547 | 1006 |     | Editorial corrections   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010548 | 1008 |     | MAC logical channel priority added to definition of RB0 and SHCCH   | 4.1.0 | 4.2.0 |

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|                | RP-13 | RP-010548 | 1010 |     | Control of primary CCPCH RSCP measurement in PUSCH CAPACITY REQUEST message               | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010548 | 1014 |     | Various minor corrections   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010548 | 1016 |     | Range of T312   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010548 | 1018 |     | Bitstring of channelisationCodeIndices  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010548 | 1020 |     | Transmission of UE CAPABILITY INFORMATION message   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010548 | 1022 |     | Multiple UE capabilities procedures   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010548 | 1024 |     | Corrections to information elements outside the extension container                       | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010548 | 1026 |     | SFN reporting   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010548 | 1028 |     | TFCI combining indicator  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010549 | 1030 |     | RLC reset on a Signalling Radio Bearer  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010549 | 1034 |     | Quality Indication for UE Positioning Parameters  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010549 | 1036 |     | Editorial Correction for UE Positioning   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010549 | 1038 |     | Clarification on the current status of ciphering  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010549 | 1048 |     | Clarification on HFN initialization at SRB and RB setup                                   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010549 | 1050 |     | Clarification on Inter-RAT measurement  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010549 | 1052 |     | Clarification on re-assembly of segments  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010549 | 1062 |     | Minor Corrections   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010549 | 1066 |     | Support of dedicated pilots for channel estimation  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010549 | 1068 |     | Correction to SRNS relocation handling  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010550 | 1076 |     | Correction to RLC state variables   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010550 | 1082 |     | Reading of CN information in SIB 1 in RRC Connected Mode                                  | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010550 | 1086 |     | Restricting the maximum amount of preconfigurations in case of equivalent PLMNs           | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010554 | 0933 |     | Order of bits in bitstrings   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010554 | 0946 |     | Selection of the RFC3095 CID transmission   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010554 | 0970 |     | Correction of IPDL parameters for TDD enhancements in ASN.1 description                   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010554 | 0971 | 1   | 1.28 Mcps TDD PICH, Midamble and UL timing advance control corrections                    | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010554 | 0972 |     | Introduction of 1.28 Mcps TDD Mode in clause 13.7   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010554 | 0973 |     | Tadv in 1.28 Mcps TDD   | 4.1.0 | 4.2.0 |
|                | RP-13 | RP-010554 | 0974 |     | Correction and clarification to PRACH in 1.28 Mcps TDD                                    | 4.1.0 | 4.2.0 |
| 10/2001        | -     | -         | -    |     | Replacement of incorrect (R'99) version of ASN.1 by correct (Rel-4) version of ASN.1.     | 4.2.0 | 4.2.1 |
| 12/2001        | RP-14 | RP-010763 | 1088 |     | Corrections to RRC information containers   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010763 | 1090 |     | Removal of Block SSTD   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010763 | 1098 |     | COUNT-C-SFN frame difference measurement  | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010763 | 1100 |     | Trigger for deletion of ciphering and integrity keys                                      | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010763 | 1102 |     | Correction to P <sub>compensation</sub> calculation for GSM neighbour cells               | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010763 | 1104 |     | Preconfigurations in case of equivalent PLMNs   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010763 | 1109 |     | Handling of DRX cycle and U-RNTI in RRC connection setup and handling of TrCH information | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010763 | 1111 |     | Correction to Information Element names   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010763 | 1113 |     | Correction of Description of IE "SSTD Information"  | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010763 | 1115 |     | Clarification on Cell Identity and correction to reference to BAND_INDICATOR              | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010764 | 1117 |     | Clarification to Measured Results on RACH and Measurement Events                          | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010764 | 1119 |     | Inconsistency between ASN.1 and tabular wrt. RPLMN information                            | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010764 | 1124 |     | General clarification on Establishment of Access Service Classes                          | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010764 | 1126 |     | Clarification on TX diversity indicator IE and STTD indicator IE                          | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010764 | 1132 |     | Different diversity modes used in the same active set                                     | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010764 | 1134 |     | Issues regarding signalling connection establishment and RRC connection release           | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010764 | 1136 |     | Presence of AC to ASC mapping in SIB5 and SIB6  | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010764 | 1138 |     | RRC establishment cause at inter-RAT cell change order to UTRAN                           | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010764 | 1142 |     | Start of timers at radio link failure   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010765 | 1144 |     | Handling of the number of FBI bits sent in Uplink DPCH info                               | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010765 | 1146 |     | Bit string order when using PER   | 4.2.1 | 4.3.0 |



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|                | RP-14 | RP-010765 | 1148 |     | Clarification on DRX cycle length in connected mode   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010765 | 1152 |     | Correction to error condition on downlink information for each radio link                   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010765 | 1154 |     | Correction of inconsistencies between tabular and ASN.1                                     | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010765 | 1156 |     | Measurement related corrections   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010765 | 1158 |     | Inconsistency between hard-coded preconfigurations parameters and procedure text            | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010765 | 1166 |     | PLMN search in CELL_PCH/URA_PCH states with 80ms DRX cycle                                  | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010765 | 1168 |     | Correction to CFN calculation for FDD   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010765 | 1170 |     | Correction to radio bearer control  | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010766 | 1172 |     | Handling of IE "frequency info"   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010766 | 1174 |     | Correction to Radio Bearer Release  | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010940 | 1178 | 1   | Correction to RACH reporting  | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010766 | 1180 |     | Correction to URA/Cell update and other minor corrections                                   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010766 | 1182 |     | Correction to Active Set Update   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010766 | 1184 |     | Correction of Traffic Volume Measurement Criteria   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010941 | 1186 | 1   | Correction of UE Positioning  | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010766 | 1203 |     | Invalid RRC CONNECTION REJECT   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010766 | 1214 |     | Security baseline for corrections   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010766 | 1220 |     | Pending integrity protection activation time for UL RB0                                     | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010767 | 1222 |     | Correction of rate matching restriction function  | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010773 | 1096 |     | Usage of UM RLC Special Length Indicator  | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010773 | 1120 |     | Corrections to REL-4 LCR Tabular Description and ASN1 Code                                  | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010773 | 1199 |     | Correction of FPACH parameter definition for 1.28Mcps TDD                                   | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010773 | 1200 |     | Correction of 1.28Mcps TDD  | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010773 | 1201 |     | Correction and Clarification to Open Loop Power Control in 1.28 Mcps TDD                    | 4.2.1 | 4.3.0 |
|                | RP-14 | RP-010773 | 1206 |     | Extensions of IE value ranges in tabular  | 4.2.1 | 4.3.0 |
| 03/2002        | RP-15 | RP-020070 | 1229 |     | Constant value range correction for DPCH and PUSCH in TDD mode                              | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020070 | 1231 |     | Corrections to open loop power control for TDD and RB information parameters for SHCCH      | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020070 | 1233 |     | Removal of unnecessary replication of TFCS ID in Physical Shared Channel Allocation message | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020070 | 1237 |     | Correction to TF selection when using UL RLC TM   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020070 | 1239 |     | Correction to the UE behaviour in case of SRNS relocation                                   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020070 | 1241 |     | Header Compression protocols re-initialisation during SRNS Relocation                       | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020070 | 1243 |     | Misalignments between tabular and ASN.1 related to UE Positioning, tabular correction       | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020070 | 1245 |     | Corrections to comments in ASN.1  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020070 | 1247 |     | Correction to restarting of T308  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020070 | 1249 |     | Clarification of the use of T309 during inter-RAT cell reselections                         | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020071 | 1251 |     | Measurement Corrections   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020239 | 1253 | 1   | Existence of TFCS bits  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020071 | 1258 |     | Corrections of inconsistency between procedural description, tabular and ASN.1              | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020071 | 1260 |     | Corrections to Expiration Time Factor and Expiration Time formula for SIB 7 and SIB 14      | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020071 | 1262 |     | Corrections to Reporting Cell Status  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020071 | 1268 |     | Correction to inter frequency measurements  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020071 | 1271 |     | Actions at reception of system information block type 1                                     | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020071 | 1273 |     | Tx diversity and no diversity in the same active set  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020071 | 1275 |     | Correction to cell update   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020071 | 1277 |     | Successful and unsuccessful procedures  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020072 | 1279 |     | Measurement related corrections   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020072 | 1281 |     | Clarifications on Event 1D  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020205 | 1283 | 1   | Security corrections  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020072 | 1285 |     | Transition from CELL_DCH to CELL_FACH state   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020072 | 1287 |     | Corrections and clarifications of Radio link timing   | 4.3.0 | 4.4.0 |

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|                | RP-15 | RP-020072 | 1289 |     | Spare values in ASN.1   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020072 | 1294 |     | Actions on reception of measurement related IEs                                       | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020231 | 1296 | 1   | Removal of channel coding option "no coding" for FDD and 3.84 Mcps TDD                | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020072 | 1298 |     | Timing Indication when moving to CELL_DCH state                                       | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020072 | 1307 |     | Correction to processing RB mapping info  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020072 | 1313 |     | RRC Connection Release following network authentication failure                       | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020072 | 1317 |     | Clarification on serving cell in SIB11  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020073 | 1319 |     | Treatment of optional elements in RB control messages                                 | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020073 | 1323 |     | Procedure Performance for TDD UL physical Channel Control                             | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020250 | 1331 | 1   | Clarification to physical channel establishment criteria                              | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020249 | 1333 | 1   | OTDOA Assistance Data   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020073 | 1337 |     | Retransmission of uplink direct transfer at RLC re-establishment and inter-RAT change | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020073 | 1339 |     | Correction to IE "UL interference" for UTRA TDD                                       | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020074 | 1343 |     | Corrections of UE Positioning requirements  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020074 | 1345 |     | Multimode speech in default configurations  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020073 | 1347 |     | Correction to UE Id for DSCH  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020073 | 1349 |     | Corrections to support combined Cell/URA update and SRNS relocation                   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020073 | 1351 |     | Number of UTRAN and Inter-RAT frequencies   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020073 | 1353 |     | Abortion of signalling connection establishment                                       | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020073 | 1358 |     | Modification of GPS timing representation to avoid large integers                     | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020074 | 1360 |     | Additional TFCS selection guidelines  | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020074 | 1362 |     | Clarification of layer 3 filtering of measurements in the UE                          | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020210 | 1364 |     | Improved readability of procedural text   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020228 | 1366 |     | Clarification on ICS version within UE radio access capabilities                      | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020233 | 1368 |     | Clarification of Maximum number of TFC in the TFCS                                    | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020238 | 1370 |     | Support of UP measurement reporting in CELL_PCH/URA_PCH                               | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020082 | 1122 | 2   | Correction to include Cell ID for Cell_DCH state                                      | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020082 | 1187 | 2   | Correction of Transparent mode signalling for UL rate control                         | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020082 | 1188 | 2   | Introduction of default radio configurations for UMTS_AMR2 with four speech modes     | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020082 | 1223 | 1   | Acquisition of PLMN identity of neighbour cells via SIB 18                            | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020082 | 1254 |     | Various ASN.1 Corrections   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020082 | 1290 |     | Handover from UTRAN failure   | 4.3.0 | 4.4.0 |
|                | RP-15 | RP-020082 | 1335 |     | Corrections to indicate that SIB 14 is not used by 1.28 TDD                           | 4.3.0 | 4.4.0 |
| 06/2002        | RP-16 | RP-020330 | 1372 |     | ASN.1 Corrections   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020330 | 1375 |     | Clarification of unnecessary MP IEs in RADIO BEARER RECONFIGURATION                   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020330 | 1378 |     | Correction on SIB type  | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020330 | 1381 |     | Clarification to the handling of IE "Cells for measurement" received in SIB 11/12     | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020330 | 1384 | 1   | Correction to Cell Update procedure   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020330 | 1387 |     | Correction to handling of FACH measurement occasion info in SIB12                     | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020331 | 1391 |     | Actions when optional IE "Maximum allowed UL TX power" is missing                     | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020331 | 1394 |     | Corrections concerning default configurations   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020331 | 1397 |     | Correction concerning when hard handover specific handling applies                    | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020331 | 1400 |     | Handling of variables CELL_INFO_LIST and MEASUREMENT_IDENTITY                         | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020331 | 1403 |     | IE "Cell Synchronisation Information"   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020331 | 1406 |     | Corrections to Cell Individual Offset   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020332 | 1409 |     | Clarification to Compressed Mode Status Info  | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020332 | 1412 |     | Clarification of OTDOA quality figure   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020332 | 1415 |     | Correction to Cell Access Restriction for SIB4  | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020332 | 1418 |     | Corrections concerning spare values and comments                                      | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020332 | 1422 |     | Variable for shared channel configurations  | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020332 | 1425 | 1   | Integrity protection on RB0   | 4.4.0 | 4.5.0 |

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|                | RP-16 | RP-020333 | 1428 | 1   | Periodic cell update clarifications   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020333 | 1431 |     | Multiple cells triggering event 1D  | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020333 | 1434 |     | Disjoint Active Sets in the Active Set Update procedure   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020333 | 1437 |     | Deletion on compressed mode patterns when moving to CELL_FACH state   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020333 | 1440 |     | TDD C-RNTI in Cell DCH  | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020333 | 1443 | 1   | CCTrCH Release in TDD   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020334 | 1446 |     | Layer 3 retransmission of SIGNALLING CONNECTION RELEASE INDICATION  | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020334 | 1449 |     | Alignment of tabular and ASN.1 for UTRAN GPS timing of cell frames resolution   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020334 | 1452 |     | Correction to Default Radio Configuration Timers  | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020334 | 1455 |     | Clarification to number of L3 filters   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020334 | 1458 | 1   | Correction to 3G to 2G Inter-RAT handover for multi-domain RABs   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020334 | 1461 |     | DCH quality target  | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020335 | 1464 |     | Correction to RB Mapping Info   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020335 | 1467 | 1   | Ciphering activation for TM bearers   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020335 | 1470 |     | TFCS selection guideline correction   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020382 | 1479 | 1   | Clarification of Measurement Validity and Valid Measurement Objects   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020363 | 1482 |     | Remaining clarification of Measurement Validity and Valid Measurement Objects   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020381 | 1485 | 1   | Traffic Volume Measurement clarifications   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020335 | 1488 |     | Correction to handling of IE 'Downlink information for each RL'   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020336 | 1491 |     | Corrections to Security procedure on Missing integrity protection reset on relocation and counter check response actions for asymmetric bearer configurations | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020336 | 1494 |     | Corrections to cell update interactions with security and SRNS Relocation   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020336 | 1497 |     | "Out of service" area definition  | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020339 | 1472 |     | RRC connection release procedure in CELL_DCH state  | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020339 | 1474 |     | Correction to DL TM DCCH TF size for Default Configurations   | 4.4.0 | 4.5.0 |
|                | RP-16 | RP-020339 | 1476 |     | Corrections in ASN.1 related to SRNS relocation   | 4.4.0 | 4.5.0 |
| 09/2002        | RP-17 | RP-020541 | 1503 | 1   | UE behaviour when active set cells are not included in CELL_INFO_LIST   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020541 | 1506 | 1   | Corrections to handling of IE "Cells for measurement"   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020541 | 1509 |     | Clarification on the use of UE radio access capability extensions within the INTER RAT HANDOVER INFO message  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020541 | 1512 |     | Correction to RRC connection procedure  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020541 | 1515 |     | Correction to the variable TGPS_IDENTITY  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020541 | 1521 |     | Missing IEs in RLC info   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020542 | 1527 | 1   | Corrections of UE internal measurement reporting events   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020542 | 1530 | 2   | UE behaviour upon reception of reconfiguration  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020542 | 1533 |     | Application of integrity keys in case of a pending CN domain switch during a SRNS relocation  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020542 | 1536 | 1   | Clarifications on Quality Measurements  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020542 | 1539 |     | Correction of DPCH constant value in TDD default radio configurations   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020542 | 1542 |     | Handling of UE internal measurement information in broadcast  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020543 | 1545 |     | Observed time difference to GSM reporting indicator   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020543 | 1550 |     | Corrections on Security relative to ciphering of TM bearers and to SRNS relocation  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020543 | 1553 |     | Coding of IE NC mode  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020543 | 1556 |     | Clarification to filtered measurement quantities  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020543 | 1559 |     | Inconsistencies in triggering and reporting for events 1a, 1b, 1c, 1e and 1f  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020543 | 1562 | 1   | Optional and Mandatory fields in Measurement Control  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020544 | 1565 |     | Clarifications to Reporting Cell Status   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020544 | 1568 |     | Clarification to minimum SF   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020544 | 1571 |     | Clarifications to inter-frequency measurements  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020544 | 1577 | 2   | Ciphering when HO to UMTS of signalling only connection   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020544 | 1580 |     | Inter RAT handover from UTRAN   | 4.5.0 | 4.6.0 |

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|                | RP-17 | RP-020544 | 1583 |     | Correction to Cell Update procedure with cause "Radio link failure"   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020545 | 1586 |     | Correction to the handling of IE "UTRAN DRX cycle length coefficient" in CELL/URA UPDATE procedure                          | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020545 | 1589 |     | Correction to RLC unrecoverable error occurs in CELL_DCH state  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020545 | 1592 |     | Use of scrambling change when activating CM pattern using SF/2 by MEASUREMENT CONTROL                                       | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020545 | 1595 |     | Actions when optional IE "Maximum allowed UL TX power" is missing   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020630 | 1598 | 1   | IP_offset correction  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020545 | 1601 |     | Clarification on the IE "Frequency Info"  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020546 | 1604 |     | Correction of RNTI used in PUSCH capacity request and physical shared channel allocation                                    | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020546 | 1607 |     | Correction to allowed logical channel list choice for RACH transport channels   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020654 | 1610 | 1   | Clarification of SRNS Relocation Info   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020546 | 1613 |     | DCH quality target  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020546 | 1616 |     | Handling of variables CELL_INFO_LIST and MEASUREMENT_IDENTITY(2)  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020546 | 1619 | 1   | Correction of secondary CCPCH selection and PRACH selection   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020547 | 1622 |     | RRC TVM Corrections   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020547 | 1625 |     | Correction of Transmission Gap Distance semantics description   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020547 | 1628 |     | Corrections to Active Set Update and simultaneous reconfiguration   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020547 | 1631 |     | UE behaviour following RLC size change  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020547 | 1634 |     | RRC SN in uplink  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020547 | 1637 |     | Multiplexing of Tr mode RBs of different CN domains on the same transport channel   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020548 | 1640 |     | Security clarifications   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020548 | 1643 |     | Correction to the actions of "out of service area" and "in service area"  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020548 | 1646 |     | TVM: pending time after trigger and initial conditions  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020548 | 1649 | 1   | Handling of Downlink information for each RL in reconfiguration messages  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020548 | 1654 |     | Corrections to Cell Update/URA Update Procedure in case of nested cell updates and simultaneous SRNS relocation             | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020548 | 1669 |     | Corrections to security   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020631 | 1672 | 2   | SRNS relocation with integrity  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020549 | 1675 |     | Reception of MEASUREMENT CONTROL in state CELL_FACH   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020549 | 1678 |     | Unsupported configuration   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020549 | 1681 |     | Handover corrections  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020558 | 1574 | 1   | Correction of SFN-SFN Measurement   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020559 | 1660 |     | Corrections to Synchronisation for 1.28 Mcps TDD  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020553 | 1656 |     | Corrections on Power Control for 1.28 Mcps TDD  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020553 | 1658 |     | Correction to RLC entity re-establishment during SRNS relocation  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020553 | 1662 |     | Reintroduction of IE "SRB delay" in Rel-4 ASN.1   | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020553 | 1664 |     | Corrections to ASN.1 for SRNC relocation container  | 4.5.0 | 4.6.0 |
|                | RP-17 | RP-020553 | 1666 |     | Unused elements in ASN.1  | 4.5.0 | 4.6.0 |
| 09/2002        | -     | -         | -    |     | Inclusion of subclause 11 (ASN.1, accidentally omitted in v4.6.0).  | 4.6.0 | 4.7.0 |
| 12/2002        | RP-18 | RP-020721 | 1686 |     | Corrections to IEs "Ellipsoid point with Altitude and uncertainty Ellipsoid" and "Ellipsoid point with uncertainty Ellipse" | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020721 | 1689 | 1   | Handling of Ciphering and integrity protection activation times   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020721 | 1692 | 1   | Handling of measurements at state transitions to/from DCH state.  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020721 | 1695 | 3   | Measurement related corrections   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020721 | 1698 |     | ASN.1 of the SRNS relocation info   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020721 | 1709 | 1   | Corrections to PRACH selection  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020722 | 1712 |     | TDD Downlink Path Loss for interfrequency measurement   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020892 | 1715 | 1   | Correction on coding of GSM Classmark 2 and 3   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020722 | 1718 |     | Correction on Frame Allocation Calculation  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020722 | 1721 |     | Inter-frequency measurements  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020722 | 1724 |     | Maximum Allowed UL TX Power   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020722 | 1727 |     | START values for the initialisation of SRB counters and UTRAN incorrect actions   | 4.7.0 | 4.8.0 |

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|                | RP-18 | RP-020723 | 1730 |     | Correction to the RRC transaction table management   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020723 | 1739 |     | Use of DCH Quality Target with Blind Transport Format Detection  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020723 | 1741 |     | Correction to storing current TFC subset in variable TFC_SUBSET for TDD  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020723 | 1744 |     | Security at inter-RAT handover   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020723 | 1747 |     | Integrity protection activations times   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020723 | 1750 |     | Additional measurements  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020724 | 1753 |     | DPCH compressed mode info in Downlink information common for all RLS   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020724 | 1756 | 1   | Handling of RB mapping   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020724 | 1765 |     | RLC window size in default configurations  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020724 | 1768 |     | Corrections to Activation time   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020724 | 1771 |     | Numbering of "ASC Setting" IEs included in "PRACH partitioning" IE   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020724 | 1778 | 2   | Correction on support for compressed mode  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020903 | 1733 | 3   | Introduction of backwards compatible correction mechanism  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020727 | 1774 |     | Signalling of the timing adjustment mode for closed loop Tx diversity  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020893 | 1809 |     | Handling of hyper frame numbers  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020726 | 1759 | 2   | Early UE Specific Behaviour Information in RRC Connection Request / interRAT info                                      | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020858 | 1700 |     | Correction of ASN1 IE "InterFreqCellInfoList-r4"   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020858 | 1702 |     | Correction of Special Burst Scheduling for TDD   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020858 | 1704 |     | Correction of measurement reporting event 6f for 1.28 Mcps TDD   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020858 | 1780 |     | Ciphering during SRNS relocation without reuse of COUNT-C  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020858 | 1782 |     | Correction to IE "Intra Domain NAS Node Selector"  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020858 | 1784 |     | Correction to PRACH selection  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020859 | 1786 |     | Correction to reporting event 6f for FDD   | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020859 | 1801 |     | ASN.1 corrections  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020859 | 1804 |     | Asymmetric ROHC Configuration  | 4.7.0 | 4.8.0 |
|                | RP-18 | RP-020859 | 1806 |     | Reference Cell for GSM OTD Measurement   | 4.7.0 | 4.8.0 |
| 03/2003        | RP-19 | RP-030103 | 1812 |     | ASN.1 of the SRNS relocation Info  | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030103 | 1815 |     | Correction to procedural text for Physical Shared Channel Allocation (TDD only)  | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030103 | 1818 | 1   | CM and state transition related to measurements, additional measurements, virtual active set and periodic measurements | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030103 | 1821 |     | Physical channel failure and radio link re-establishment   | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030103 | 1824 |     | Correction concerning bit numbering convention   | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030104 | 1830 |     | Additional Measurement reporting list  | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030104 | 1833 | 2   | Correction on RRC integrity protection procedure   | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030104 | 1836 |     | Reporting Cell Status and Event 2A   | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030104 | 1839 |     | Correction to the handling of variable TGPS_IDENTITY and IE "Triggering condition 1/2"                                 | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030104 | 1842 | 1   | Hard handover with pending ciphering activation times  | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030105 | 1845 |     | Correction of default configurations   | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030105 | 1848 |     | Correction to UE behaviour on entering RRC Connected mode  | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030105 | 1854 |     | Update of Start values in USIM on inter-RAT transitions and transitions to idle mode                                   | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030082 | 1857 |     | Corrections to Channelisation Code TFCI Mapping for TDD  | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030111 | 1859 |     | Correction of PNBSCH for 1.28Mcps TDD  | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030111 | 1861 |     | Correction of SFN-SFN observed time difference for 1.28Mcps TDD  | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030111 | 1863 | 1   | ASN.1 corrections concerning missing UE capability extensions  | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030111 | 1865 |     | Extensions for 1.28 Mcps specific elements in system information   | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030111 | 1867 |     | Corrections to power control parameter signalling for 1.28 Mcps TDD  | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030105 | 1883 |     | Correction to Inter-RAT Measurement Report   | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030106 | 1886 |     | Correction of signalling of transport block size for DSCH  | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030106 | 1889 |     | PS service continuity when moving between 3G and 2G  | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030106 | 1892 | 1   | Multiple activations of the same compressed mode pattern   | 4.8.0 | 4.9.0 |
|                | RP-19 | RP-030106 | 1895 |     | Setting of ciphering activation time for TM bearers  | 4.8.0 | 4.9.0 |

| Change history |       |           |      |     |   |        |        |
|----------------|-------|-----------|------|-----|---|--------|--------|
| Date           | TSG # | TSG Doc.  | CR   | Rev | Subject/Comment   | Old    | New    |
|                | RP-19 | RP-030111 | 1898 |     | Removal of MRRU parameter in PDCP info  | 4.8.0  | 4.9.0  |
|                | RP-19 | RP-030105 | 1901 | 1   | NAS and Integrity procedure interaction   | 4.8.0  | 4.9.0  |
|                | RP-19 | RP-030187 | 1908 |     | GPS Navigational model update mechanism   | 4.8.0  | 4.9.0  |
| 06/2003        | RP-20 | RP-030293 | 1912 |     | Handling of UP Assistance Data  | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030293 | 1915 | 1   | Concerns on Procedures for Cell-ID Positioning Method   | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030293 | 1918 |     | Inconsistency between Procedural, ASN.1, and Tabular Aspects of UE Positioning Error                            | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030293 | 1921 |     | Removal of FFS (For further Study) and references to other working groups                                       | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030293 | 1925 |     | Key handling when entering idle mode and coding of security capabilities  | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030293 | 1928 |     | Security actions when SIM is present on RRC Connection Request  | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030294 | 1931 |     | Update of interfrequency measurement cell info list, reading of SIB11/12, inclusion of Measured Results on RACH | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030294 | 1934 |     | L3 filtering  | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030294 | 1936 |     | Additional measurements without measurement validity  | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030294 | 1939 |     | Handover to UTRAN in macrodiversity   | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030294 | 1942 | 1   | TVM Reporting in CELL_PCH state   | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030294 | 1945 | 1   | Initialisation of the Virtual Active Set  | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030295 | 1948 |     | IE "Tx diversity mode" in ACTIVE SET UPDATE message   | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030295 | 1951 | 1   | Correction to transport channel traffic volume measurement events 4a and 4b                                     | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030295 | 1954 |     | Maximum Number of GPS Almanac Messages to be Stored in UE_POSITIONING_GPS_DATA                                  | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030374 | 1957 | 2   | Stopping of RLC entities at relocation  | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030369 | 1977 | 4   | Ciphering Mode info IE in 2G-3G Handover  | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030295 | 1980 |     | START values on 2G-3G handover  | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030298 | 1982 |     | ROHC profile signalling   | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030298 | 1984 |     | Optimisation of the INTER RAT HANDOVER INFO message   | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030350 | 1986 | 2   | Corrections to security procedures in case of pending security configurations at SRNS Relocation                | 4.9.0  | 4.10.0 |
|                | RP-20 | RP-030371 | 1989 |     | Setting of T317 to infinity and out of service behaviour  | 4.9.0  | 4.10.0 |
| 09/2003        | RP-21 | RP-030484 | 1992 |     | Handling of key sets at Inter-RAT Handover to UTRAN   | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030484 | 1995 |     | Correction to UE Positioning privacy procedures   | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030520 | 2002 | 2   | START calculation in connected mode   | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030506 | 1999 | 1   | Reconfiguration with state transition to an indicated cell on a different frequency                             | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030484 | 2005 |     | PRACH channelisation code list limitation to align with TS 25.221   | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030484 | 2008 |     | Handling of transport channel information at radio bearer release   | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030485 | 2014 |     | Corrections for TDD for the IEs "Downlink DPCH info common for all radio links"                                 | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030485 | 2017 |     | TFCS selection guidelines for TFC Subset  | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030485 | 2024 |     | Value range of UE Rx-Tx time difference type 2 measurement  | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030485 | 2032 | 1   | Activation Time for DSCH  | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030485 | 2037 | 1   | START value calculation for RLC size change   | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030485 | 2044 |     | Correction of PDCP Configuration for RFC 2507   | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030486 | 2047 |     | Corrections to event list handling  | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030486 | 2050 |     | Corrections to RACH reporting   | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030486 | 2053 |     | Corrections to modification of Additional Measurement lists   | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030486 | 2056 |     | UE positioning support in the UE  | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030478 | 2063 |     | Elimination of EPC mechanism  | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030486 | 2068 |     | UE behaviour in transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH and Out of Service is detected           | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030505 | 2071 | 2   | Radio link failure during reconfiguration procedure   | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030491 | 2019 |     | Ciphering of TM SRBs  | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030491 | 2021 | 1   | Correction on PDCP Header compression Configuration   | 4.10.0 | 4.11.0 |
|                | RP-21 | RP-030491 | 2026 |     | Corrections for TDD PUSCH   | 4.10.0 | 4.11.0 |
| 12/2003        | RP-22 | RP-030617 | 2074 |     | Unsuccessful security mode control procedure and Integrity Protection   | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030617 | 2078 |     | UE Positioning UE based assisted GPS  | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030617 | 2084 |     | Handling of zero-rate TrCHs in TFCS   | 4.11.0 | 4.12.0 |

| Change history |       |           |      |     |  |        |        |
|----------------|-------|-----------|------|-----|--|--------|--------|
| Date           | TSG # | TSG Doc.  | CR   | Rev | Subject/Comment  | Old    | New    |
|                | RP-22 | RP-030617 | 2090 | 3   | Measurement control for A-GPS  | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030621 | 2092 |     | Corrections to 1.28 Mcps TDD power control: ASN1/Tabular consistency, correction of omissions                                | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030621 | 2094 |     | UpPCH power control for 1.28Mcps   | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030617 | 2101 | 1   | Interaction between compressed mode pattern activation and message activation time   | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030611 | 2105 | 1   | Correction to Redirection procedure at RRC Connection Setup  | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030610 | 2111 | 2   | SFN associated with GPS timing of cell frame   | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030618 | 2114 |     | Correction to Handling SIB1  | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030618 | 2117 |     | Measurement Handling In State Transition for UE Positioning  | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030618 | 2123 |     | Corrections to UE positioning reporting for UE assisted and UE based methods   | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030662 | 2126 |     | SIB 7 reading  | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030662 | 2129 |     | HFN initialisation in case of pending security configurations  | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030621 | 2131 | 1   | General protocol error handling failure for DL CCCH messages due to ASN.1 error  | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030621 | 2135 |     | Corrections relating to 1.28 Mcps TDD  | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030621 | 2137 |     | Missing CHOICE RLC Info type in the ASN.1 IE 'RB-InformationSetup-r4'  | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030662 | 2147 |     | Additional Measurements List Modify  | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030722 | 2151 | 3   | Minimum UE capability class  | 4.11.0 | 4.12.0 |
|                | RP-22 | RP-030612 | 2157 | 4   | Measured results on RACH   | 4.11.0 | 4.12.0 |
| 03/2004        | RP-23 | RP-040095 | 2166 | 2   | Response on SRNS Relocation with Cell Update   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040095 | 2170 |     | TPC Combination Index in SRNC relocation   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040095 | 2178 | 1   | Invalidation of START value in USIM/UE.  | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040095 | 2182 | 1   | Uplink Integrity protection handling in case of N302 increment   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040095 | 2186 | 1   | Amount of reporting for UE-based and UE assisted A-GPS   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040101 | 2189 | 1   | Ensuring decoding possibility related to Introduction of new bands   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040101 | 2195 | 1   | Clarification to multimode indication  | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040101 | 2198 |     | Correction for 1.28 Mcps TDD Power Control   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040101 | 2201 |     | Missing "pdcp-SN-info" in ASN.1 IE "RB-InformationReconfig-r4"   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040096 | 2219 |     | Correction to event 6D   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040096 | 2223 | 1   | Correction to UE positioning reporting for GPS standalone operation mode   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040096 | 2231 | 1   | Initialisation of virtual active set   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040096 | 2272 |     | UTRAN setting of the activation time for TM bearers in Ciphering Mode info IE  | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040096 | 2237 |     | Corrections to "Entered parameter"   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040097 | 2241 |     | Corrections to TFC Subset Functionality  | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040097 | 2245 | 1   | Waiting for RLC-ACK on UMI   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040101 | 2250 | 1   | General correction and alignment of the ASN.1 and tabular  | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040101 | 2255 |     | Introduction of VLEC in every message branch   | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040101 | 2275 |     | Misalignments between R'99 and Rel-4 procedures  | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040097 | 2279 |     | Issues related to Inter-RAT and Inter-frequency handovers  | 4.12.0 | 4.13.0 |
|                | RP-23 | RP-040097 | 2289 |     | Corrections to reconfiguration scenarios and ciphering of TM RBs   | 4.12.0 | 4.13.0 |
| 06/2004        | RP-24 | RP-040203 | 2290 |     | Empty non-critical extensions  | 4.13.0 | 4.14.0 |
|                | RP-24 | RP-040201 | 2296 |     | DL RLC Size handling   | 4.13.0 | 4.14.0 |
|                | RP-24 | RP-040203 | 2301 |     | Correction on System Information in TDD  | 4.13.0 | 4.14.0 |
|                | RP-24 | RP-040206 | 2306 |     | Correction on SFN-SFN time difference misalignment in 1.28 Mcps TDD  | 4.13.0 | 4.14.0 |
|                | RP-24 | RP-040207 | 2309 |     | ASN.1 correction leftovers   | 4.13.0 | 4.14.0 |
|                | RP-24 | RP-040207 | 2321 |     | Clean up of SRNS Relocation Info REL-4 version   | 4.13.0 | 4.14.0 |
|                |       | RP-040207 | 2330 |     | Correction to IE "Cell Info"   | 4.13.0 | 4.14.0 |
|                | RP-24 | RP-040206 | 2343 |     | Clarification about open loop power control in 1.28Mcps TDD  | 4.13.0 | 4.14.0 |
|                | RP-24 | RP-040206 | 2346 |     | Clarification about measurement control system information in TDD mode   | 4.13.0 | 4.14.0 |
|                | RP-24 | RP-040207 | 2352 |     | Incorrect presence of UE-RadioAccessCapability extension in RRC CONNECTION SETUP COMPLETE                                    | 4.13.0 | 4.14.0 |
| 09/2004        | RP-25 | RP-040328 | 2369 | 1   | Restrict operation of the virtual active set   | 4.14.0 | 4.15.0 |
|                | RP-25 | RP-040327 | 2371 |     | TDD misalignment between tabular and ASN.1 definitions of UL Transport channel information common for all transport channels | 4.14.0 | 4.15.0 |

| Change history |       |           |      |     |   |        |        |
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| Date           | TSG # | TSG Doc.  | CR   | Rev | Subject/Comment   | Old    | New    |
|                |       |           |      |     | and special burst scheduling                                    |        |        |
|                | RP-25 | RP-040327 | 2375 |     | Definition of parameters for UE-assisted A-GPS                  | 4.14.0 | 4.15.0 |
|                | RP-25 | RP-040360 | 2379 |     | Default Configurations for multiple AMR Rate Configurations     | 4.14.0 | 4.15.0 |
|                | RP-25 | RP-040319 | 2382 |     | Correction on PRACH selection in 1.28Mcps TDD                   | 4.14.0 | 4.15.0 |
|                | RP-25 | RP-040360 | 2423 |     | Correction on SIB12 validity                                    | 4.14.0 | 4.15.0 |
| 12/2004        | RP-26 | RP-040476 | 2440 |     | Correction to maximum length of CTCH period                     | 4.15.0 | 4.16.0 |
|                | RP-26 | RP-040474 | 2446 |     | References to ITU-T Recommendations on ASN.1                    | 4.15.0 | 4.16.0 |
|                | RP-26 | RP-040477 | 2449 |     | ASN.1 clarification on Measurement Report for 1.28 Mcps TDD     | 4.15.0 | 4.16.0 |
|                | RP-26 | RP-040477 | 2466 | 1   | Missing OTDOA TDD related v4b0 extension in MEASUREMENT CONTROL | 4.15.0 | 4.16.0 |
| 03/2005        | RP-27 | RP-050066 | 2511 |     | Correction of ASN.1 comment concerning OTDOA correction         | 4.16.0 | 4.17.0 |
| 12/2007        | RP-38 | RP-070892 | 3158 | 1   | Use of default configuration 12                                 | 4.17.0 | 4.18.0 |
| 05/2008        | RP-40 | RP-080389 | 3278 | -   | Clarification on MAX_CID  | 4.18.0 | 4.19.0 |
| 12/2008        | RP-42 | RP-080998 | 3495 | -   | Correction of measurement event 11                              | 4.19.0 | 4.20.0 |



## History

| <b>Document history</b> |                |             |
|-------------------------|----------------|-------------|
| V4.0.0                  | March 2001     | Publication |
| V4.1.0                  | July 2001      | Publication |
| V4.2.0                  | September 2001 | Publication |
| V4.2.1                  | October 2001   | Publication |
| V4.3.0                  | December 2001  | Publication |
| V4.4.0                  | March 2002     | Publication |
| V4.5.0                  | June 2002      | Publication |
| V4.7.0                  | September 2002 | Publication |
| V4.8.0                  | December 2002  | Publication |
| V4.9.0                  | March 2003     | Publication |
| V4.10.0                 | June 2003      | Publication |
| V4.11.0                 | September 2003 | Publication |
| V4.12.0                 | December 2003  | Publication |
| V4.13.0                 | March 2004     | Publication |
| V4.14.0                 | June 2004      | Publication |
| V4.15.0                 | September 2004 | Publication |
| V4.16.0                 | December 2004  | Publication |
| V4.17.0                 | March 2005     | Publication |
| V4.18.0                 | January 2008   | Publication |
| V4.19.0                 | July 2008      | Publication |
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