

# ETSI TS 125 411 V6.1.0 (2004-09)

---

*Technical Specification*

## **Universal Mobile Telecommunications System (UMTS); UTRAN Iu interface layer 1 (3GPP TS 25.411 version 6.1.0 Release 6)**

---



---

Reference

RTS/TSGR-0325411v610

---

Keywords

UMTS

**ETSI**

650 Route des Lucioles  
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C  
Association à but non lucratif enregistrée à la  
Sous-Préfecture de Grasse (06) N° 7803/88

---

**Important notice**

Individual copies of the present document can be downloaded from:

<http://www.etsi.org>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at

<http://portal.etsi.org/tb/status/status.asp>

If you find errors in the present document, please send your comment to one of the following services:

[http://portal.etsi.org/chaicor/ETSI\\_support.asp](http://portal.etsi.org/chaicor/ETSI_support.asp)

---

**Copyright Notification**

No part may be reproduced except as authorized by written permission.  
The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2004.  
All rights reserved.

**DECT**<sup>TM</sup>, **PLUGTESTS**<sup>TM</sup> and **UMTS**<sup>TM</sup> are Trade Marks of ETSI registered for the benefit of its Members.  
**TIPHON**<sup>TM</sup> and the **TIPHON logo** are Trade Marks currently being registered by ETSI for the benefit of its Members.  
**3GPP**<sup>TM</sup> is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

---

## Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<http://webapp.etsi.org/IPR/home.asp>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

---

## Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

---

# Contents

|  |          |
|--|----------|
| Intellectual Property Rights .....                 | 2        |
| Foreword.....                                      | 2        |
| Foreword.....                                      | 4        |
| 1 Scope .....                                      | 5        |
| 2 References .....                                 | 5        |
| 3 Abbreviations .....                              | 6        |
| 4 I <sub>u</sub> Layer 1 .....                     | 6        |
| 4.1 Introduction .....                             | 6        |
| 4.2 Layer 1 Description .....                      | 6        |
| 4.2.1 Layer 1 Synchronised .....                   | 6        |
| 4.2.2 [IP – Layer 1 Unsynchronised] .....          | 7        |
| 4.3 Requirements from higher layer .....           | 7        |
| 4.4 Services Provided by Layer 1 .....             | 8        |
| 4.4.1 ATM Transport.....                           | 8        |
| 4.5 Interface to Management Plane .....            | 8        |
| <b>Annex A (informative): Change History .....</b> | <b>9</b> |
| History .....                                      | 10       |

---

# Foreword

This Technical Specification (TS) has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

---

# 1 Scope

The present document specifies the standards allowed to implement Layer 1 on the  $I_u$  interface.

The specification of transmission delay requirements and O&M requirements are not in the scope of the present document.

In the following 'Layer 1' and 'Physical Layer' are assumed to be synonymous.

---

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] ITU-T Recommendation I.432.2 (8/96): "ISDN User-Network interfaces, Layer 1 Recommendations, 155 520 kbit/s and 622 080 kbit/s operation".
- [2] ITU-T Recommendation I.432.3 (8/96): "ISDN User-Network interfaces, Layer 1 Recommendations, 1544 kbit/s and 2048 kbit/s operation".
- [3] ITU-T Recommendation G.703 (10/98): "Physical/electrical characteristics of hierarchical digital interfaces".
- [4] ITU-T Recommendation G.704 (10/98): "Synchronous frame structures used at 1544, 6312, 2048, 8448 and 44 736 kbit/s hierarchical levels".
- [5] ITU-T Recommendation G.957 (7/95): "Optical interfaces for equipments and systems relating to the synchronous digital hierarchy".
- [6] ITU-T Recommendation I.432.1 (8/96): "ISDN User-Network interfaces, Layer 1 Recommendations, General characteristics".
- [7] ITU-T Recommendation G.823 (3/93): "The control of jitter and wander within digital networks which are based on the 2048 kbit/s hierarchy".
- [8] ITU-T Recommendation G.824 (3/93): "The control of jitter and wander within digital networks which are based on the 1544 kbit/s hierarchy".
- [9] ITU-T Recommendation G.825 (3/93): "The control of jitter and wander within digital networks which are based on the synchronous digital hierarchy (SDH)".
- [10] ITU-T Recommendation G.826 (8/96): "Error performance parameters and objectives for international, constant bit rate digital paths at or above the primary rate".
- [11] ITU-T Recommendation I.361 (11/95): "B-ISDN ATM layer specification".
- [12] ATM Forum AF-PHY-0016.000 (9/94): "DS1 Physical Layer Specification".
- [13] ATM Forum AF-PHY-0064.000 (9/96): "E1 Physical Layer Interface Specification".
- [14] ATM Forum AF-PHY-0086.001 (2/99): "Inverse Multiplexing for ATM (IMA) Specification Version 1.1".

- [15] ITU-T Recommendation G.751 (11/88): "Digital multiplex equipments operating at the third order bit rate of 34 368 kbit/s and the fourth order bit rate of 139 264 kbit/s and using positive justification".
- [16] ITU-T Recommendation G.811 (2/97): "Timing Characteristics of Primary Reference Clocks".

---

## 3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

|     |                                  |
|-----|----------------------------------|
| ATM | Asynchronous Transfer Mode       |
| BER | Bit Error Rate                   |
| IMA | Inverse Multiplexing on ATM      |
| IP  | Internet Protocol                |
| PDH | Plesiochronous Digital Hierarchy |
| PMD | Physical Media Dependent         |
| SDH | Synchronous Digital Hierarchy    |
| SDU | Service Data Unit                |
| TC  | Transmission Convergence         |

---

## 4 I<sub>u</sub> Layer 1

### 4.1 Introduction

The main functions of Layer 1 are summarised in the following:

- Interface to physical medium;
- [ATM-Cell delineation];
- Line clock extraction capability;
- Layer 1 alarms extraction and generation;
- In-sequence delivery;
- Transmission quality control.

### 4.2 Layer 1 Description

#### 4.2.1 Layer 1 Synchronised

When the Layer 1 Synchronised option is used (i.e. PDH/SDH/SONET links), the following requirements shall be met:

Layer 1 reference configuration shall be according to ITU-T Recommendation I.432.1 [6].

The physical layer is divided into:

- Physical Media Dependent (PMD) sublayer;
- Transmission Convergence (TC) sublayer defined according to ITU-T Recommendation I.432.1 [6].

The PMD shall comply with at least one of the following standards:

- ETSI STM-4 (622 Mb/s) interface according to I.432.2 [1] with optical S-4.1 interface according to G.957 [5].
- SONET STS-12c (622 Mb/s) interface according to ANSI, T1.105-1995 with optical multimode.

- SONET STS-3c (155 Mb/s) interface according to ANSI, T1.105-1995 with optical multimode.
- ETSI STM-1 (155 Mb/s) interface according to I.432.2 [1] with electrical interface (CMI) to G.703 [3].
- ETSI STM-1 (155 Mb/s) interface according to I.432.2 [1] with optical S-1.1 interface according to G.957 [5].
- ITU STS-1 (51 Mb/s) interface according to ANSI, T1.105-1995 with electrical interface.
- ITU STM-0 (51 Mb/s) interface according to ETSI/TTC with electrical interface.
- ITU STM-0 (51 Mb/s) interface according to ETSI/TTC with optical S-1.1 interface according G.957 [5].
- J2, 6.3 Mb/s interface according to Japanese standard JT-G.703 [3] and JT-G.704 [4] (75 Ohm).

NOTE: J2 requires that the ATM cells be mapped into the physical layer according to HEC based mapping in G.804.

- E2, 8Mb/s according to ETSI/ITU G.703 [3] and G.704 [4] (75 Ohm).
- E3, 34 Mb/s interface according to ETSI/ITU G.751 [15] (75 Ohm).
- T3, 45 Mb/s interface according to ANSI/ITU G.703 [3] and G.704 [4] (75 Ohm).
- E1, 2Mb/s interface balanced 120 Ohm symmetrical according to ETS 300 420, ITU-T G.704 [4] and TBR 013 (G.703) [3], and AF-PHY-0064.000 [13]
- E1, 2Mb/s according to ETSI/ITU G.703 [3] and G.704 [4] (75 Ohm), and AF-PHY-0064.000 [13].
- J1, 1.5 Mb/s interface according to Jt-431-a (100 Ohm).
- J1, 1.5 Mb/s interface according to JT-G.703 [3] and JT-G.704 [4] (110 Ohm).
- T1, 1.5 Mb/s interface according to AF-PHY-0016.000 [10] and ANSI/ITU G.703 [3] and G.704 [4] (100 Ohm).

Services provided to the upper layer shall be independent from the used underlying technology.

The support of intervening transport networks - like PDH or SDH terrestrial links, Point-to-point or Point-to-Multipoint radio links - shall not be prevented.

When using E1, T1, or J1, it shall be possible to use inverse multiplexing of ATM (IMA) [14] within suitable subsets of the physical ports on the respective Exchange Termination (ET).

The jitter and wander performance requirements on the interface shall be in accordance with either Reference [7], [8] or [9], whichever is applicable.

The synchronisation reference extracted from the  $I_u$  may be used as UTRAN synchronisation reference. A general recommendation is to supply a traceable synchronisation reference according to reference [16].

Transmission quality control shall be provided according to ITU-T Recommendation G.826 [10].

## 4.2.2 [IP – Layer 1 Unsynchronised]

When Layer 1 unsynchronised option is used, the following requirements shall be met:

The support of any suitable physical layer - like Ethernet L1 or other suitable point-to-point or point-to-multipoint techniques shall not be prevented.

## 4.3 Requirements from higher layer

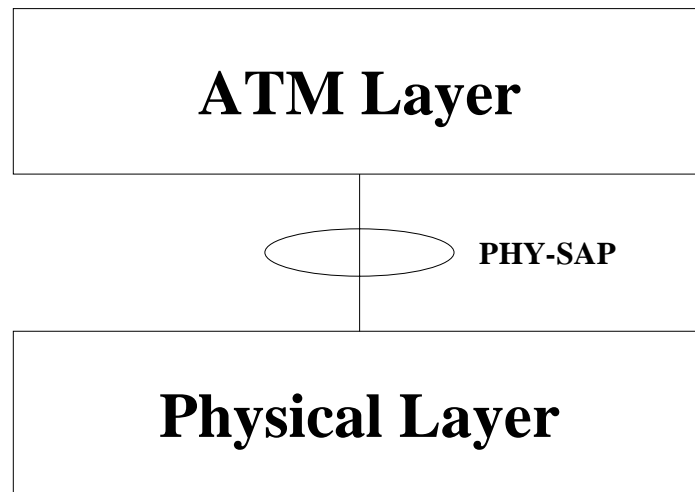
No specific requirements beyond the ones listed in the introduction have been identified.



## 4.4 Services Provided by Layer 1

### 4.4.1 ATM Transport

The physical layer provides services to the upper layer via the Physical Service Access Point (PHY-SAP) according to ITU-T I.361 [11], as described in the following figure:



**Figure 1: SAP between Physical Layer and ATM Layer**

According to ITU-T I.361 [11], subclause 3.2, the following primitives are provided over PHY-SAP:

- PHY-DATA request (PHY-SDU);
- PHY-DATA indication (PHY-SDU).

The parameter PHY-SDU contains one ATM cell as defined in ITU-T I.361 [9] received or to be transferred over the physical medium.

## 4.5 Interface to Management Plane

The description of the interface towards Management Plane is out of scope of this document, anyhow at least the following O&M functions should be foreseen:

- Performance Monitoring Functions;
- Alarm Status Reporting Functions;
- Synchronisation Source Management.

## Annex A (informative): Change History

| Change history |         |     |           |             |  |
|----------------|---------|-----|-----------|-------------|--|
| TSG RAN#       | Version | CR  | Tdoc RAN  | New Version | Subject/Comment  |
| RAN_04         | -       | -   | -         | 3.0.0       | Approved at TSG RAN #4 and placed under Change Control |
| RAN_06         | 3.0.0   | 001 | RP-99742  | 3.1.0       | Approved at TSG RAN #6                                 |
| RAN_06         | 3.0.0   | 002 | RP-99743  | 3.1.0       | Approved at TSG RAN #6                                 |
| RAN_07         | 3.1.0   | -   | -         | 3.2.0       | Approved at TSG RAN #7                                 |
| RAN_10         | 3.2.0   | 002 | RP-000610 | 3.3.0       | Approved at TSG RAN #10                                |
| RAN_11         | 3.3.0   | 004 | RP-010109 | 3.4.0       | Approved at TSG RAN #11                                |

| Change history |       |           |          |     |   |       |       |
|----------------|-------|-----------|----------|-----|---|-------|-------|
| Date           | TSG # | TSG Doc.  | CR       | Rev | Subject/Comment   | Old   | New   |
| March 01       | 11    | -         | -        |     | Approved at TSG RAN #11 and placed under Change Control | 3.4.0 | 4.0.0 |
| 06/2001        | 12    | RP-010373 | 006, 008 |     | Approved at TSG RAN #12                                 | 4.0.0 | 4.1.0 |
| 03/2002        | 15    | RP-020189 | 009      | 1   | IP transport modifications to 25.411                    | 4.1.0 | 5.0.0 |
| 12/2003        | 22    | -         | -        | -   | Introduction of Release 6 specification                 | 5.0.0 | 6.0.0 |
| 09/2004        | 25    | RP-040296 | 015      | -   | Correction of optical interfaces                        | 6.0.0 | 6.1.0 |
|                |       |           |          |     |   |       |       |

---

# History

| <b>Document history</b> |                |             |
|-------------------------|----------------|-------------|
| V6.0.0                  | December 2003  | Publication |
| V6.1.0                  | September 2004 | Publication |
|                         |                |             |
|                         |                |             |
|                         |                |             |