## ETSI TS 125 411 V14.0.0 (2017-04)



Universal Mobile Telecommunications System (UMTS); UTRAN lu interface layer 1 (3GPP TS 25.411 version 14.0.0 Release 14)



# Reference RTS/TSGR-0325411ve00 Keywords UMTS

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

#### Important notice

The present document can be downloaded from: http://www.etsi.org/standards-search

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the only prevailing document is the print of the Portable Document Format (PDF) version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<a href="https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx">https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx</a>

If you find errors in the present document, please send your comment to one of the following services: https://portal.etsi.org/People/CommiteeSupportStaff.aspx

#### Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2017.
All rights reserved.

**DECT**<sup>™</sup>, **PLUGTESTS**<sup>™</sup>, **UMTS**<sup>™</sup> and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members. **3GPP**<sup>™</sup> and **LTE**<sup>™</sup> are Trade Marks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

## Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

#### **Foreword**

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <a href="http://webapp.etsi.org/key/queryform.asp">http://webapp.etsi.org/key/queryform.asp</a>.

## Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

## Contents

[ntell	ectual Property Rights	2
	vord	
	ıl verbs terminology	
VIOU	ii veros terminology	2
Forev	vord	4
1	Scope	5
2	References	
	References	
3	Abbreviations	6
4	Iu Layer 1	6
4.1	Introduction	
4.2	Layer 1 Description	6
4.2.1	Layer 1 Synchronised	
4.2.2	[IP – Layer 1 Unsynchronised]	
4.3	Requirements from higher layer	
4.4	Services Provided by Layer 1	
4.4.1	ATM Transport	8
4.5	Interface to Management Plane	
Anne	x A (informative): Change History	10
Histo		11

## **Foreword**

This Technical Specification (TS) has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

#### where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## 1 Scope

The present document specifies the standards allowed to implement Layer 1 on the Iu interface.

The specification of transmission delay requirements and O&M requirements are not in the scope of the present document.

In the following 'Layer 1' and 'Physical Layer' are assumed to be synonymous.

#### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.

Version 1.1".

• For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

Kelease as ti	ne present aocument.
[1]	ITU-T Recommendation I.432.2 (1996-08): "ISDN User-Network interfaces, Layer 1 Recommendations, 155 520 kbit/s and 622 080 kbit/s operation".
[2]	Void.
[3]	ITU-T Recommendation G.703 (1998-10): "Physical/electrical characteristics of hierarchical digital interfaces".
[4]	ITU-T Recommendation G.704 (1998-10): "Synchronous frame structures used at 1544, 6312, 2048, 8448 and 44 736 kbit/s hierarchical levels".
[5]	ITU-T Recommendation G.957 (1995-07): "Optical interfaces for equipments and systems relating to the synchronous digital hierarchy".
[6]	ITU-T Recommendation I.432.1 (1996-08): "ISDN User-Network interfaces, Layer 1 Recommendations, General characteristics".
[7]	ITU-T Recommendation G.823 (2000-03): "The control of jitter and wander within digital networks which are based on the 2048 kbit/s hierarchy".
[8]	ITU-T Recommendation G.824 (2000-03): "The control of jitter and wander within digital networks which are based on the 1544 kbit/s hierarchy".
[9]	ITU-T Recommendation G.825 (2001-08): "The control of jitter and wander within digital networks which are based on the synchronous digital hierarchy (SDH)".
[10]	ITU-T Recommendation G.826 (1996-08): "Error performance parameters and objectives for international, constant bit rate digital paths at or above the primary rate".
[11]	ITU-T Recommendation I.361 (1995-11): "B-ISDN ATM layer specification".
[12]	ATM Forum AF-PHY-0016.000 (1994-09): "DS1 Physical Layer Specification".
[13]	ATM Forum AF-PHY-0064.000 (1996-09): "E1 Physical Layer Interface Specification".
[14]	ATM Forum AF-PHY-0086.001 (1999-02): "Inverse Multiplexing for ATM (IMA) Specification

[15]	ITU-T Recommendation G.751 (1988-11): "Digital multiplex equipments operating at the third order bit rate of 34 368 kbit/s and the fourth order bit rate of 139 264 kbit/s and using positive justification".
[16]	ITU-T Recommendation G.811 (1997-02): "Timing Characteristics of Primary Reference Clocks".
[17]	ITU-T Recommendation G.804 (1998-02): "ATM cell mapping into plesiochronous digital hierarchy (PDH)".
[18]	Standard ECMA-226: "Private Integrated Services Network (PISN) - Mapping Functions for the Employment of Dedicated Circuit Mode Connections as Inter-PTNX Connections (MAPPING-CM-STATIC)".
[19]	ITU-T Recommendation I.431 (1988-11): "Primary rate user-network interface - Layer 1 specification".

#### 3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

ATM Asynchronous Transfer Mode HEC Header Error Control **IMA** Inverse Multiplexing on ATM Internet Protocol ΙP PDH Plesiochronous Digital Hierarchy **PMD** Physical Media Dependent PHY-SAP Physical Service Access Point SDH Synchronous Digital Hierarchy **SDU** Service Data Unit **SONET** Synchronous Optical Networking

## 4 lu Layer 1

#### 4.1 Introduction

The main functions of Layer 1 are summarised in the following:

- Interface to physical medium;
- [ATM-Cell delineation];
- Line clock extraction capability;
- Layer 1 alarms extraction and generation;
- In-sequence delivery;
- Transmission quality control.

## 4.2 Layer 1 Description

#### 4.2.1 Layer 1 Synchronised

When the Layer 1 Synchronised option is used (i.e. PDH/SDH/SONET links), the following requirements shall be met:

Layer 1 reference configuration shall be according to ITU-T Rec. I.432.1 [6].

The physical layer is divided into:

- Physical Media Dependent (PMD) sublayer;
- Transmission Convergence (TC) sublayer defined according to ITU-T Rec. I.432.1 [6].

The PMD shall comply with at least one of the following standards:

- ETSI STM-4 (622 Mb/s) interface according to ITU-T Rec. I.432.2 [1] with optical S-4.1 interface according to ITU-T Rec. G.957 [5].
- SONET STS-12c (622 Mb/s) interface according to ANSI, T1.105-1995 with optical multimode.
- SONET STS-3c (155 Mb/s) interface according to ANSI, T1.105-1995 with optical multimode.
- ETSI STM-1 (155 Mb/s) interface according to ITU-T Rec. I.432.2 [1] with electrical interface (CMI) to ITU-T Rec. G.703 [3].
- ETSI STM-1 (155 Mb/s) interface according to ITU-T Rec. I.432.2 [1] with optical S-1.1 interface according to ITU-T Rec. G.957 [5].
- ITU STS-1 (51 Mb/s) interface according to ANSI, T1.105-1995 with electrical interface.
- ITU STM-0 (51 Mb/s) interface according to ETSI/TTC with electrical interface.
- ITU STM-0 (51 Mb/s) interface according to ETSI/TTC with optical S-1.1 interface according to ITU-T Rec. G.957 [5].
- J2, 6.3 Mb/s interface according to Japanese standard JT-G.703 (ITU-T Rec. G.703 [3]) and JT-G.704 (ITU-T Rec. G.704 [4]) (75 Ohm).

NOTE: J2 requires that the ATM cells be mapped into the physical layer according to HEC based mapping in ITU-R Rec. G.804 [17].

- E2, 8Mb/s according to ETSI/ITU G.703 (ITU-T Rec. G.703 [3]) and G.704 (ITU-T Rec. G.704 [4]) (75 Ohm).
- E3, 34 Mb/s interface according to ETSI/ITU G.751 (ITU-T Rec. G.751 [15]) (75 Ohm).
- T3, 45 Mb/s interface according to ANSI/ITU G.703 (ITU-T Rec. G.703 [3]) and G.704 (ITU-T Rec. G.704 [4]) (75 Ohm).
- E1, 2Mb/s interface balanced 120 Ohm symmetrical according to ETS 300 420 (Standard ECMA-226 [18]), ITU-T Rec. G.704 [4] and TBR 013 (ITU-T Rec. G.703 [3]), and AF-PHY-0064.000 [13].
- E1, 2Mb/s according to ITU-T Rec. G.703 [3] and ITU-T Rec. G.704 [4] (75 Ohm), and AF-PHY-0064.000 [13].
- J1, 1.5 Mb/s interface according to JT-I.431-a (ITU-T Rec. I.431 [19]) (100 Ohm).
- J1, 1.5 Mb/s interface according to JT-G.703 (ITU-T Rec. G.703 [3]) and JT-G.704 (ITU-T Rec. G.704 [4]) (110 Ohm).
- T1, 1.5 Mb/s interface according to AF-PHY-0016.000 [12] and ITU-T Rec. G.703 [3] and ITU-T Rec. G.704 [4] (100 Ohm).

Services provided to the upper layer shall be independent from the used underlying technology.

The support of intervening transport networks - like PDH or SDH terrestrial links, Point-to-point or Point-to-Multipoint radio links - shall not be prevented.

When using E1, T1, or J1, it shall be possible to use inverse multiplexing of ATM (IMA) (ATM Forum AF-PHY-0086.001 [14]) within suitable subsets of the physical ports on the respective Exchange Termination (ET).

The jitter and wander performance requirements on the interface shall be in accordance with network limits for output wander at traffic interfaces of either Reference ITU-T Rec. G.823 [7], ITU-T Rec. G.824 [8] or network limits for the maximum output jitter and wander at any hierarchical interface of Reference ITU-T Rec. G.825 [9], whichever is applicable.

The synchronisation reference extracted from the Iu may be used as UTRAN synchronisation reference. A general recommendation is to supply a traceable synchronisation reference according to reference ITU-T Rec. G.811 [16].

Transmission quality control shall be provided according to ITU-T Rec. G.826 [10].

#### 4.2.2 [IP – Layer 1 Unsynchronised]

When Layer 1 unsynchronised option is used, the following requirements shall be met:

The support of any suitable physical layer - like Ethernet L1 or other suitable point-to-point or point-to-multipoint techniques - shall not be prevented.

#### 4.3 Requirements from higher layer

No specific requirements beyond the ones listed in the introduction have been identified.

### 4.4 Services Provided by Layer 1

#### 4.4.1 ATM Transport

The physical layer provides services to the upper layer via the Physical Service Access Point (PHY-SAP) according to ITU-T I.361 [11], as described in the following figure:

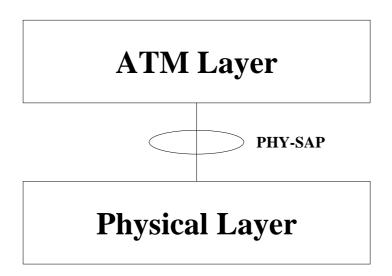


Figure 1: SAP between Physical Layer and ATM Layer

According to ITU-T Rec. I.361 [11], subclause 3.2, the following primitives are provided over PHY-SAP:

- PHY-DATA request (PHY-SDU);
- PHY-DATA indication (PHY-SDU).

The parameter PHY-SDU contains one ATM cell as defined in ITU-T I.361 [11] received or to be transferred over the physical medium.

## 4.5 Interface to Management Plane

The description of the interface towards Management Plane is out of scope of this document, anyhow at least the following O&M functions should be foreseen:

- Performance Monitoring Functions;

- Alarm Status Reporting Functions;
- Synchronisation Source Management.

# Annex A (informative): Change History

Date /	TSG Doc.	CR	Rev	Subject/Comment	New
TSG					
12/2008	-	-	-	Creation of Rel-8 version based on v7.1.0	8.0.0
12/2009	-	-	-	Creation of Rel-9 version based on v8.0.0	9.0.0
03/2011	SP-100629			Clarification on the use of References (TS 21.801 CR#0030)	9.0.1
03/2011				Creation of Rel-10 version based on v9.0.1	10.0.0
06/2011	RP-110684	0019		Correction of references	10.1.0
09/2012				Update to Rel-11 version (MCC)	11.0.0
09/2014				Update to Rel-12 version (MCC)	12.0.0
12/2015				Update to Rel-13 version (MCC)	13.0.0

Change history								
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version	
2017-03	SA#75					Promotion to Release 14 without technical change	14.0.0	

## History

Document history							
V14.0.0	April 2017	Publication					