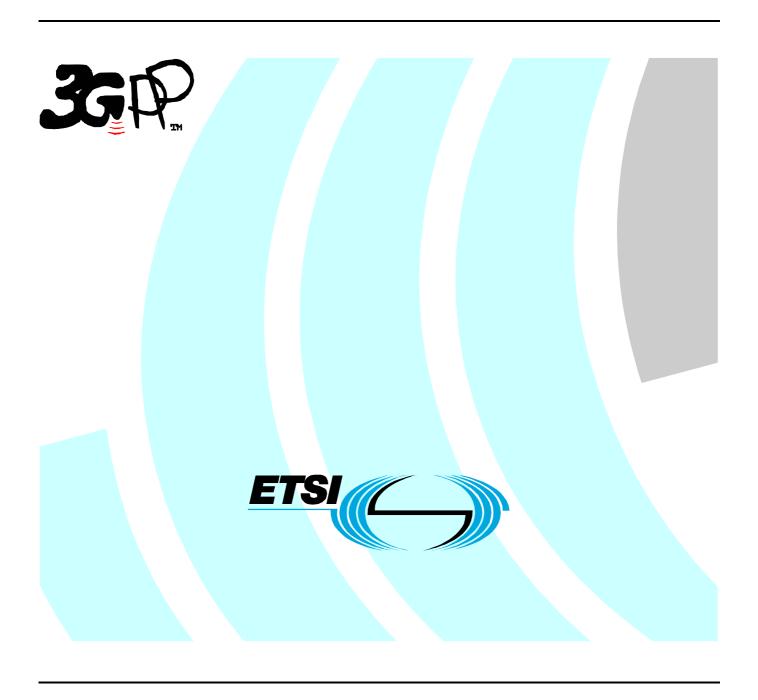
# ETSITS 125 419 V5.7.0 (2004-03)

Technical Specification

Universal Mobile Telecommunications System (UMTS);
UTRAN lu-BC interface:
Service Area Broadcast Protocol (SABP)
(3GPP TS 25.419 version 5.7.0 Release 5)



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## 1 Scope

The present document specifies the *Service Area Broadcast Protocol (SABP)* between the Cell Broadcast Centre (CBC) and the Radio Network Controller (RNC). It fulfils the CBC - RNC communication requirements specified in [5] and is defined over the Iu-BC – reference point.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
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- 3GPP TR 23.930: "Iu Principles". [1] [2] 3GPP TS 25.410: "UTRAN Iu Interface; General Aspects and Principles". 3GPP TS 25.401: "UTRAN Overall Description". [3] [4] 3GPP TR 25.931: "UTRAN Functions: Examples on Signalling Procedures". 3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)". [5] [6] 3GPP TS 25.414: "UTRAN Iu Interface Data Transport and Transport Signalling". ITU-T Recommendation X.680 (12/1997): "Information Technology - Abstract Syntax Notation [7] One (ASN.1): Specification of basic notation". [8] ITU-T Recommendation X.681 (12/1997): "Information Technology - Abstract Syntax Notation One (ASN.1): Information object specification". ITU-T Recommendation X.691 (12/1997): "Information Technology - ASN.1 encoding rules -[9] Specification of Packed Encoding Rules (PER)". [10] 3GPP TR 25.921: "Guidelines and Principles for Protocol Description and Error Handling". 3GPP TS 25.324: "Broadcast/Multicast Control BMC". [11] [12] 3GPP TS 23.003: "Numbering, addressing and identification".

## 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

**Elementary Procedure:** SABP consists of Elementary Procedures (EPs). An Elementary Procedure is a unit of interaction between the CN (CBC) and the RNC. These EPs are defined separately and are intended to be used to build up complete sequences in a flexible manner. If the independence between some EPs is restricted, it is described under

the relevant EP description. Unless otherwise stated by the restrictions, the EPs may be invoked independently of each other as stand alone procedures, which can be active in parallel. Examples on using several SABP EPs together with each other and EPs from other interfaces can be found in reference [4].

An EP consists of an initiating message and possibly a response message. Two kinds of EPs are used:

- Class 1: Elementary Procedures with response (success or failure).
- Class 2: Elementary Procedures without response.

For Class 1 EPs, the types of responses can be as follows:

#### Successful

 A signalling message explicitly indicates that the elementary procedure successfully completed with the receipt of the response.

#### Unsuccessful

- A signalling message explicitly indicates that the EP failed.
- On time supervision expiry (i.e. absence of expected response).

Class 2 EPs are considered always successful.

**Message Reference:** This is defined as consisting of the following parameters: Message Identifier, Serial Number, and SAI (Service Area Identifier).

#### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

CBC Cell Broadcast Centre
CBS Cell Broadcast Service
CN Core Network
EP Elementary Procedure

EP Elementary Procedure
FP Frame Protocol
PDU Protocol Data Unit
RNC Radio Network Controller

SA Service Area

SABP Service Area Broadcast Protocol

## 4 General

The protocol described in the present document is the protocol between CN (CBC) and RNC needed for the CBC Application. The CBC Application is described in [5].

## 4.1 Procedure Specification Principles

The principle for specifying the procedure logic is to specify the functional behaviour of the RNC exactly and completely. The CN functional behaviour is left unspecified.

The following specification principles have been applied for the procedure text in clause 8:

- The procedure text discriminates between:
  - 1) Functionality which "shall" be executed:
    - The procedure text indicates that the receiving node "shall" perform a certain function Y under a certain condition. If the receiving node supports procedure X but cannot perform functionality Y requested in the

REQUEST message of a Class 1 EP, the receiving node shall respond with the message used to report unsuccessful outcome for this procedure, containing an appropriate cause value.

- 2) Functionality which "shall, if supported" be executed:
  - The procedure text indicates that the receiving node "shall, if supported," perform a certain function Y under a certain condition. If the receiving node supports procedure X, but does not support functionality Y, the receiving node shall proceed with the execution of the EP, possibly informing the requesting node about the not supported functionality.
- Any required inclusion of an optional IE in a response message is explicitly indicated in the procedure text. If the procedure text does not explicitly indicate that an optional IE shall be included in a response message, the optional IE shall not be included.

## 4.2 Forwards and Backwards Compatibility

The forwards and backwards compatibility of the protocol is assured by mechanism where all current and future messages, and IEs or groups of related IEs, include Id and criticality fields that are coded in a standard format that will not be changed in the future. These parts can always be decoded regardless of the standard version.

## 4.3 Specification Notations

For the purposes of the present document, the following notations apply:

Procedure When referring to an elementary procedure in the specification the Procedure Name is written with

the first letters in each word in upper case characters followed by the word "procedure", e.g.

Write-Replace procedure.

Message When referring to a message in the specification the MESSAGE NAME is written with all letters

in upper case characters followed by the word "message", e.g. WRITE-REPLACE message.

IE When referring to an information element (IE) in the specification the *Information Element Name* 

is written with the first letters in each word in upper case characters and all letters in Italic font

followed by the abbreviation "IE", e.g. Old Serial Number IE.

Value of an IE When referring to the value of an information element (IE) in the specification the "Value" is

written as it is specified in subclause 9.2 enclosed by quotation marks, e.g. "Abstract Syntax Error

(Reject)" or "Background ".

## 5 Services provided by SABP

- During normal operation the CN (CBC) initiates all message transfer and query operations. The RNC responds to the message transfer and query operations initiated by the CBC.
- The RNC will open the connection only in case an error (Failure Indication Procedure) or recovery (Restart Indication Procedure) is to be reported.
- The initiator of a connection is responsible for the termination of the connection.

## 6 Services expected from the Transport layer

Following service is expected from the transport layer:

- in sequence delivery of Signalling data [6].

## 7 Functions of SABP

The SABP has the following functions:

- Message Handling. This function is responsible for the broadcast of new messages, amend existing broadcasted messages and to stop the broadcasting of specific messages.
- Load Handling. This function is responsible for determining the loading of the broadcast channels at any
  particular point in time.
- Reset. This function permits the CBC to end broadcasting in one or more Service Areas.
- Error Handling. This function allows the reporting of general error situations, for which function specific error messages have not been defined.

These functions are implemented by one or several SABP elementary procedures described in the following clauses.

## 8 SABP Procedures

## 8.1 Elementary Procedures

In the following tables, all EPs are divided into Class 1 and Class 2 Procedures.

Table 1: Class 1

Elementary	Initiating Message	Successful Outcome	Unsuccessful Outcome
Procedure		Response message	Response message
Write-Replace	WRITE-REPLACE	WRITE-REPLACE COMPLETE	WRITE-REPLACE FAILURE
Kill	KILL	KILL COMPLETE	KILL FAILURE
Load Status Enquiry	LOAD QUERY	LOAD QUERY COMPLETE	LOAD QUERY FAILURE
Message Status Query	MESSAGE QUERY	MESSAGE QUERY COMPLETE	MESSAGE QUERY FAILURE
Reset	RESET	RESET COMPLETE	RESET FAILURE

Table 2: Class 2

Elementary Procedure	Message
Restart Indication	RESTART
Failure Indication	FAILURE
Error Indication	ERROR INDICATION

## 8.2 Write-Replace

#### 8.2.1 General

The purpose of this Write-Replace procedure is to broadcast new information or replace a message already broadcast to a chosen Service Area(s).

#### 8.2.2 Successful Operation

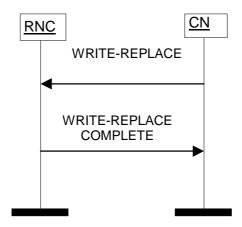


Figure 1: Write-Replace Procedure: Successful Operation

The CN shall initiate the procedure by sending a WRITE-REPLACE message to the RNC.

The presence of a *New Serial Number* IE will indicate that this is a new broadcast. The presence of both the *Old Serial Number* IE and a *New Serial Number* IE will indicate that this message is a replacement of an existing broadcast.

The RNC will initiate broadcasting of a new message or replace a message already broadcast as requested to the service areas as indicated in the Service Areas List IE.

The RNC shall uniquely identify the CBS message by the *Message Identifier* IE together with the twelve leftmost bits of the serial number in the *New Serial Number* IE and the *Service Area Identifier* IE.

The RNC shall perform the broadcast according to the value of the *Category* IE as follows:

- The Category IE, if given in the WRITE-REPLACE message, shall be treated as follows:
  - 1. If the value of *Category* IE is indicated as "High Priority", the RNC shall perform the broadcast immediately;
  - 2. If the value of *Category* IE is indicated as "Background", the RNC shall perform the broadcast when no other broadcast message indicated as "High Priority" or "Normal";
  - 3. If the value of *Category* IE is indicated as "Normal", the RNC shall perform the broadcast according to the *Repetition Period* IE.
- If the *Category* IE is not given in the WRITE-REPLACE message, the RNC shall perform the broadcast as the same category indicated as "Normal".

The RNC shall pass the *Data Coding Scheme* IE transparently to the radio interface protocol.

The RNC shall pass the Broadcast Message Content IE Transparently to the radio interface protocol.

The RNC shall broadcast the message frequently according to the value of the *Number of Broadcasts Requested* IE. If the value is set to "0", the RNC shall broadcast the message until the CN requests otherwise. If the value is different than '0', the RNC shall broadcast the message as many times as indicated in the *Number of Broadcasts Requested* IE, and after the completion of the broadcast, the RNC shall release the involved messages and their status stored for each service area.

Upon receipt of the WRITE-REPLACE message the RNC shall respond using the WRITE-REPLACE COMPLETE message containing a *New Serial Number* IE indicating that resources are available as requested for the Service Area(s) specified and a *Number of Broadcasts Completed List* IE to indicate the number of times the version of the old CBS message identified by the *Message Identifier* IE and the *Old Serial Number* IE, has been successfully broadcast to the particular Service Area(s). If the version corresponding to the *Old Serial Number* IE value is not recognized for a particular service area, the number of broadcast completed shall be reported as "0" and the *Number of Broadcasts Compl Info* IE set to "unknown".

If the WRITE-REPLACE message sent from the CN:

- contained a *New Serial Number* IE but not an *Old Serial Number* IE, the *Number of Broadcasts* IE within the *Number of Broadcasts Completed List* IE is set to "0" for each included Service Area in the corresponding WRITE-REPLACE COMPLETE message.
- contained both the *New Serial Number* IE and the *Old Serial Number* IE, an entry is made in the *Number of Broadcasts* IE in the *Number of Broadcasts Completed List* IE for each included Service Area in the corresponding WRITE-REPLACE COMPLETE message. The RNC shall also release the involved old messages and their status stored for each service area.

#### 8.2.3 Unsuccessful Operation

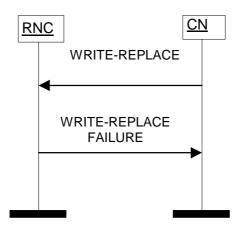


Figure 2: Write-Replace Procedure: Un-Successful Operation

If there is at least one Service Area specified in the WRITE-REPLACE message for which the RNC cannot allocate all the resources requested or for which the RNC cannot complete as requested, then the RNC shall return a WRITE-REPLACE FAILURE message to the CN as an outcome of the procedure. A list of Service Area(s) where the requested resources are unavailable or for which the RNC cannot complete as requested and appropriate cause value shall be provided in this WRITE-REPLACE FAILURE message in the *Failure List* IE.

This WRITE-REPLACE FAILURE message may also include those Service Area(s) where the requested resources were available and shall indicate in the *Number of Broadcasts Completed* List IE those Service Area(s) which completed the request successfully.

If the WRITE-REPLACE message sent from the CN:

- contained a *New Serial Number* IE but not an *Old Serial Number* IE, the *Number of Broadcasts* IE within the *Number of Broadcasts Completed List* IE is set to "0" for each included Service Area in the corresponding WRITE-REPLACE FAILURE message.
- contained a New Serial Number IE but not an Old Serial Number IE, and the CBS message is already used by the
  RNC, it shall consider the Write Replace procedure as failed for this Service Area and return a WRITE-REPLACEFAILURE message with the Service Area Identifier of this particular Service Area included in the Failure List IE
  together with the cause value 'Message-reference already-used'.
- contained both the *New Serial Number* IE and the *Old Serial Number* IE, an entry is made in *Number of Broadcasts* IE in the *Number of Broadcasts Completed List* IE for each included Service Area in the corresponding WRITE-REPLACE FAILURE message.
- contained both the *New Serial Number* IE and the *Old Serial Number* IE, but if the old CBS message is unknown to the RNC (i.e. it can not execute the kill request) for a particular Service Area, it shall consider the Write Replace procedure as failed for this Service Area. When the procedure is completed, the RNC shall return a WRITE-REPLACE-FAILURE message which includes the Service Area Identifier of this particular Service Area in the *Failure List* IE together with the cause value 'Valid-CN-message-not-identified'.

#### 8.2.4 Abnormal Conditions

#### 8.3 Kill

#### 8.3.1 General

The purpose of the Kill procedure is to stop the broadcast of the indicated message.

#### 8.3.2 Successful Operation

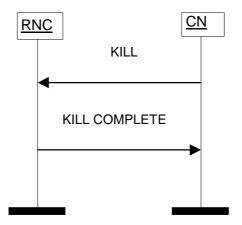


Figure 3: Kill Procedure: Successful Operation

The CN shall initiate the procedure by sending a KILL message to the RNC.

Upon receipt of the KILL message the RNC shall stop broadcasting the CBS message, which is indicated in the *Message Identifier* IE and the twelve leftmost bits of the *Old Serial Number* IE, in the indicated Service Area(s) as indicated in the *Service Areas List* IE.

The RNC shall respond using the KILL COMPLETE message, containing the *Old Serial Number* IE copied from the request and the *Number of Broadcast Completed List* IE when all Service Areas successfully stopped the broadcast. It shall indicate in the *Number of Broadcast Completed List* IE for each of these Service Area(s), the number of times the version of the CBS message identified by the *Message Identifier* IE and the *Old Serial Number* IE received has been sent to this particular Service Area(s) for broadcast. The RNC shall also release the involved messages and their status stored for each service area. If the version corresponding to the *Old Serial Number* IE value is not recognized for a particular service area, the number of broadcast completed shall be reported as "0" and the *Number of Broadcasts Compl Info* IE set to "unknown".

#### 8.3.3 Unsuccessful Operation

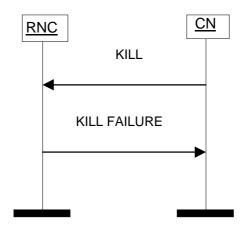


Figure 4: Kill Procedure: Un-Successful Operation

If the RNC fails to stop broadcasting the CBS message as indicated in the KILL message in at least one service area, the RNC shall return the KILL FAILURE message to the CN. A *Failure List* IE indicating the list of Service Area(s) where the CBS message was not recognized or the broadcast could not be stopped together with the appropriate cause value shall be provided in the KILL FAILURE message. This response message may also – if applicable - indicate in the *Number of Broadcasts Completed List* IE those Service Area(s) where the KILL message successfully stopped the broadcast.

#### 8.3.4 Abnormal Conditions

## 8.4 Load Status Enquiry

#### 8.4.1 General

The purpose of this Load Status Enquiry procedure is to obtain the current permissible bandwidth available for broadcast within particular Service Area(s).

## 8.4.2 Successful Operation

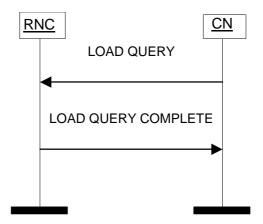


Figure 5: Load Status Enquiry Procedure: Successful Operation

The CN shall initiate the procedure by sending a LOAD QUERY message to the RNC. The message shall include a *Service Areas List* IE. Upon reception of the LOAD QUERY message the RNC shall respond with a LOAD QUERY COMPLETE message containing the *Radio Resource Loading List* IE indicating the available bandwidth of the Service Area(s).

#### 8.4.3 Unsuccessful Operation

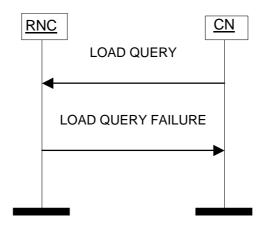


Figure 6: Load Status Enquiry Procedure: Un-Successful Operation

If the RNC contains Service Area(s) for which the RNC was not able to respond to, it shall respond with a LOAD QUERY FAILURE message which includes the *Failure List* IE.

The LOAD QUERY FAILURE response message may – if applicable - also contain a *Radio Resource Loading List* IE for which the LOAD STATUS QUERY reporting was successful.

#### 8.4.4 Abnormal Conditions

## 8.5 Message Status Query

#### 8.5.1 General

The Message Status Query procedure is used by the CN to obtain the message status of a broadcast message.

#### 8.5.2 Successful Operation

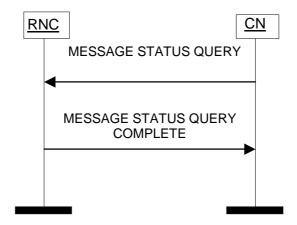


Figure 7: Message Status Query Procedure: Successful Operation

The CN shall initiate the procedure by sending a MESSAGE STATUS QUERY message to the RNC. The message shall contain the *Old Serial Number* IE along with the *Service Areas List* IE containing the Service Area Identifiers the status query is intended for. The status is requested for the version of the CBS message identified by the *Message Identifier* IE and the full value of the *Old Version Number* IE.

Upon receipt of the MESSAGE STATUS QUERY message the RNC shall respond using the MESSAGE STATUS QUERY COMPLETE message.

Within this message the *Number of Broadcasts Completed List* IE contains each Service Area which successfully performed the requested operation and for each of these Service Area(s), the number of times the version of this CBS message has been sent to this particular Service Area(s) for broadcast. If the version corresponding to the *Old Serial Number* IE value is not recognized for a particular service area, the number of broadcast completed shall be reported as "0" and the *Number of Broadcasts Compl Info* IE set to "unknown".

#### 8.5.3 Unsuccessful Operation

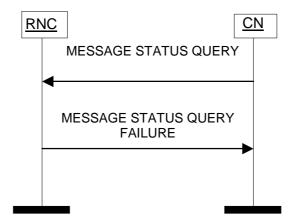


Figure 8: Message Status Query Procedure: Un-Successful Operation

If the requested operation fails (e.g. because the CBS message is unknown, or when the RNC cannot send the status for a known CBS message) the RNC shall send a MESSAGE STATUS QUERY FAILURE message to the CN containing a *Failure List* IE for Service Area(s) for which the requested operation failed.

The MESSAGE STATUS QUERY FAILURE message may – if applicable - also include the *Number of Broadcasts Completed List* IE indicating those Service Area(s) for which the MESSAGE STATUS QUERY message was successful.

#### 8.5.4 Abnormal Conditions

#### 8.6 Reset

#### 8.6.1 General

The purpose of the Reset procedure is to end broadcasting in one or more Service Areas in the RNC.

#### 8.6.2 Successful Operation

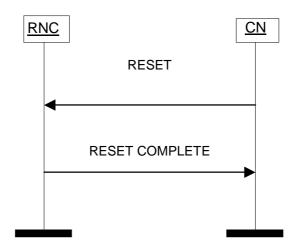


Figure 9: Reset Procedure: Successful Operation

The CN shall initiate the procedure by sending a RESET message to the RNC, in order to end broadcasting in one or more Service Areas of the RNC.

Upon receipt of this message the RNC shall end broadcasting in the indicated Service Area(s) and shall respond using a RESET COMPLETE message.

## 8.6.3 Unsuccessful Operation

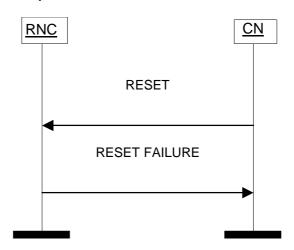


Figure 10: Reset Procedure: Un-Successful Operation

If upon receipt of this message the RNC can not end broadcasting in the indicated Service Area(s), it shall respond using a RESET FAILURE message. The RESET FAILURE message may contain the *Service Areas List* IE and shall contain the *Failure List* IE indicating the relevant Service Area(s) in which the RESET message was successful and unsuccessful respectively, along with the appropriate cause value.

The sum of the Service Area(s) included in the *Service Areas List* and *Failure List* IEs shall be the same as indicated in the *Service Areas List* IE of the initiating RESET message.

#### 8.6.4 Abnormal Conditions

#### 8.7 Restart Indication

#### 8.7.1 General

The purpose of the Restart Indication procedure is for the RNC to indicate to the CN that a Service Area broadcasting related restart situation has occurred in one or more of its Service Areas e.g. when a Service Area becomes operational or when the RNC is initialised.

#### 8.7.2 Successful Operation

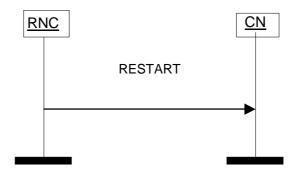


Figure 11: Restart Indication Procedure: Successful Operation

The RNC shall initiate the procedure by sending a RESTART message to the CN. This message shall contain a *Service Areas List* IE for reference and may also include the *Recovery Indication* IE to indicate whether the previous broadcast information needs to be loaded. In the absence of the *Recovery Indication* IE, the CN shall interpret it as "lost".

#### 8.7.3 Abnormal Conditions

#### 8.8 Failure Indication

#### 8.8.1 General

The purpose of the Failure Indication procedure is to indicate to the CN from the RNC that a Service Area broadcasting related problem is occurring in one or more of its Service Areas.

#### 8.8.2 Successful Operation

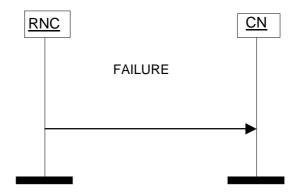


Figure 12: Failure Indication Procedure: Successful Operation

The RNC shall initiate the procedure by sending a FAILURE message to the CN. The FAILURE message shall contain the *Service Areas List* IE to indicate which Service Area(s) has a Service Area broadcasting related problem.

Upon receipt of this FAILURE message, the CN will not generate further WRITE or REPLACE messages for these Service Area(s) until the CN is informed by a RESTART message that the Service Area can resume normal Service Area broadcasting operation.

#### 8.8.3 Abnormal Conditions

#### 8.9 Error Indication

#### 8.9.1 General

The Error Indication procedure is initiated by the RNC to report detected errors in one incoming message, provided they cannot be reported by an appropriate failure message.

#### 8.9.2 Successful Operation

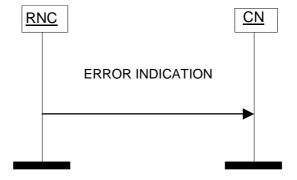


Figure 13: Error Indication Procedure: Successful Operation

When the conditions defined in chapter 10 are fulfilled, the Error Indication procedure is initiated by an ERROR INDICATION message sent from the receiving node.

The ERROR INDICATION message shall contain at least either the Cause IE or the Criticality Diagnostics IE.

Examples for possible cause values for protocol error indications are:

- "Transfer Syntax Error".
- "Abstract Syntax Error (reject)".

#### 8.9.3 Abnormal Conditions

## 9 Elements for SABP Communication

## 9.1 Message Functional Definition and Content

#### 9.1.1 General

Section 9.1 presents the contents of SABP messages in tabular format. The corresponding ASN.1 definition is presented in section 9.3. In case there is contradiction between the tabular format in section 9.1 and the ASN.1 definition, the ASN.1 shall take precedence, except for the definition of conditions for the presence of conditional IEs, where the tabular format shall take precedence.

NOTE: The messages have been defined in accordance to the guidelines specified in [10].

For each message there is, a table listing the signalling elements in their order of appearance in the transmitted message.

## 9.1.2 Message Contents

#### 9.1.2.1 Presence

All information elements in the message descriptions below are marked mandatory, optional or conditional according to table 3

Table 3: Meaning of abbreviations used in SABP messages

Abbreviation	Meaning
M	IE's marked as Mandatory (M) will always be included in the
	message.
0	IE's marked as Optional (O) may or may not be included in the
	message.
С	IE's marked as Conditional (C) will be included in a message only if
	the condition is satisfied. Otherwise the IE is not included.

#### 9.1.2.2 Criticality

Each Information Element or Group of Information Elements may have a criticality information applied to it. Following cases are possible.

Table 4: Meaning of content within "Criticality" column

Abbreviation	Meaning
_	No criticality information is applied explicitly.
YES	Criticality information is applied. This is usable only for non- repeatable IEs
GLOBAL	The IE and all its repetitions together have one common criticality information. This is usable only for repeatable IEs.
EACH	Each repetition of the IE has its own criticality information. It is not allowed to assign different criticality values to the repetitions. This is usable only for repeatable IEs.

#### 9.1.2.3 Range

The Range column indicates the allowed number of copies of repetitive IEs/IE groups.

#### 9.1.2.4 Assigned Criticality

This column provides the actual criticality information as defined in subclause 10.3.2, if applicable.

#### 9.1.3 WRITE-REPLACE

This message is sent by the CN to the RNC.

Direction: CN → RNC

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Message Identifier	M		9.2.19		yes	reject
New Serial Number	M		9.2.5		yes	reject
Old Serial Number	0		9.2.4		yes	ignore
Service Areas List	M		9.2.6		yes	reject
Category	0		9.2.7		yes	ignore
Repetition Period	M		9.2.8		yes	reject
Number of Broadcasts	M		9.2.9		yes	reject
Requested					·	
Data Coding Scheme	М		9.2.15		yes	reject
Broadcast Message Content	М		9.2.2		yes	reject

#### 9.1.4 WRITE-REPLACE COMPLETE

This message will be sent by the RNC to the CN in a successful response to a WRITE-REPLACE message.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Message Identifier	M		9.2.19		yes	reject
New Serial Number	М		9.2.5		yes	reject
Number of Broadcasts Completed List	M		9.210		yes	reject
Criticality Diagnostics	0		9.2.17		yes	ignore

#### 9.1.5 WRITE-REPLACE FAILURE

This message will be sent by the RNC to the CN as an unsuccessful response to a WRITE-REPLACE message.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Message Identifier	M		9.2.19		yes	reject
New Serial Number	M		9.2.5		yes	reject
Failure List	M		9.2.12		yes	reject
Number of Broadcasts Completed List	0		9.2.10		yes	ignore
Criticality Diagnostics	0		9.2.17		yes	ignore

#### 9.1.6 KILL

This message is sent by the CN to the RNC to stop broadcasting of a specific message.

Direction: CN → RNC

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Message Identifier	M		9.2.19		yes	reject
Old Serial Number	M		9.2.4		yes	reject
Service Areas List	М		9.2.6		yes	reject

#### 9.1.7 KILL COMPLETE

This message is sent by the RNC to the CN as a successful response to a KILL message.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Message Identifier	M		9.2.19		yes	reject
Old Serial Number	M		9.2.4		yes	reject
Number of Broadcasts Completed List	М		9.2.10		yes	reject
Criticality Diagnostics	0		9.2.17		yes	ignore

#### 9.1.8 KILL FAILURE

This message is sent by the RNC to the CN as unsuccessful response to a KILL message.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and	Semantics	Criticality	Assigned
			Reference	Description		Criticality
Message Type	M		9.2.1		yes	reject
Message Identifier	M		9.2.19		yes	reject
Old Serial Number	M		9.2.4		yes	reject
Failure List	M		9.2.12		yes	reject
Number of Broadcasts	0		9.2.10		yes	ignore
Completed List					-	
Criticality Diagnostics	0		9.2.17		yes	ignore

#### 9.1.9 LOAD QUERY

This message is sent by the CN to the RNC to gain an indication of broadcast resources available.

Direction:  $CN \rightarrow RNC$ 

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Service Areas List	M		9.2.6		yes	reject

#### 9.1.10 LOAD QUERY COMPLETE

This message will be sent by the RNC as a successful response to the LOAD QUERY message.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Radio Resource Loading List	M		9.2.13		yes	reject
Criticality Diagnostics	0		9.2.17		yes	ignore

#### 9.1.11 LOAD QUERY FAILURE

This message is sent by the RNC to the CN as an unsuccessful response to a LOAD QUERY message.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Failure List	M		9.2.12		yes	reject
Radio Resource Loading List	0		9.2.13		yes	ignore
Criticality Diagnostics	0		9.2.17		yes	ignore

#### 9.1.12 MESSAGE STATUS QUERY

This message is sent by the CN to the RNC to obtain the current status of a Service Area broadcasting message.

Direction: CN → RNC

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Message Identifier	M		9.2.19		yes	reject
Old Serial Number	M		9.2.4		yes	reject
Service Areas List	M		9.2.6		yes	reject

#### 9.1.13 MESSAGE STATUS QUERY COMPLETE

This message is sent by the RNC to the CN as a successful response to a MESSAGE QUERY message.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	М		9.2.1		yes	reject
Message Identifier	M		9.2.19		yes	reject
Old Serial Number	M		9.2.4		yes	reject
Number of Broadcasts	M		9.2.10		yes	reject
Completed List						
Criticality Diagnostics	0		9.2.17		yes	ignore

#### 9.1.14 MESSAGE STATUS QUERY FAILURE

This message is sent by the RNC to the CN in an unsuccessful response to a MESSAGE QUERY message.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Message Identifier	М		9.2.19		yes	reject
Failure List	М		9.2.12		yes	reject
Old Serial Number	М		9.2.4		yes	reject
Number of Broadcasts Completed List	0		9.2.10		yes	ignore
Criticality Diagnostics	0		9.2.17		yes	ignore

#### 9.1.15 RESET

The message is sent by the CN to the RNC to request that the RNC end broadcasting in one or more Service Areas.

Direction: CN → RNC

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Service Areas List	M		9.2.6		yes	reject

#### 9.1.16 RESET COMPLETE

This message is sent from the RNC to the CN as a successful response to a RESET message where indicated Service-Area(s) are now not broadcasting any messages.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Service Areas List	M		9.2.6		yes	reject
Criticality Diagnostics	0		9.2.17		yes	ignore

#### 9.1.17 RESET FAILURE

This message is sent from the RNC to the CN as an unsuccessful response to a RESET message to indicate that a Service Area broadcasting related problem exists in one or more of its Service Areas.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	reject
Failure List	M		9.2.12		yes	reject
Service Areas List	0		9.2.6		yes	ignore
Criticality Diagnostics	0		9.2.17		yes	ignore

#### 9.1.18 **RESTART**

This message is sent from the RNC to the CN to indicate a Service Area broadcasting related restart situation in one or more of its Service-Areas.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	ignore
Service Areas List	M		9.2.6		yes	ignore
Recovery Indication	0		9.2.16		yes	ignore

#### 9.1.19 FAILURE

This message is sent from the RNC to the CN to indicate that a Service Area broadcasting related problem exists in one or more of its Service-Areas.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	ignore
Service Areas List	М		9.2.6		yes	ignore

#### 9.1.20 ERROR INDICATION

This message is sent by the RNC to CN and is used to indicate that some errors have been detected in the node.

Direction: RNC → CN

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		yes	ignore
Message Identifier	0		9.2.19		yes	ignore
Serial Number	0		9.2.3		yes	ignore
Cause	0		9.2.14		yes	ignore
Criticality Diagnostics	0		9.2.17		yes	ignore

#### 9.2 Information Element Definitions

#### 9.2.0 General

Section 9.2 presents the SABP IE definitions in tabular format. The corresponding ASN.1 definition is presented in section 9.3. In case there is contradiction between the tabular format in section 9.2 and the ASN.1 definition, the ASN.1 shall take precedence, except for the definition of conditions for the presence of conditional elements, where the tabular format shall take precedence.

When specifying information elements which are to be represented by bitstrings, if not otherwise specifically stated in the semantics description of the concerned IE or elsewhere, the following principle applies with regards to the ordering of bits:

- The first bit (leftmost bit) contains the most significant bit (MSB);
- The last bit (rightmost bit) contains the least significant bit (LSB);
- When importing bitstrings from other specifications, the first bit of the bitstring contains the first bit of the concerned information;

## 9.2.1 MessageType

Message Type IE uniquely identifies the message being sent. It is mandatory for all messages.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Message Type				
>Procedure Code	M		ENUMERATED (Write-Replace, Kill, Load Status Enquiry, Message Status Query, Reset, Restart Indication, Failure Indication, Error Indication,)	
>Type of Message	M		ENUMERATED (Initiating Message, Successful Outcome, Unsuccessful Outcome, Outcome)	

## 9.2.2 Broadcast Message Content

Broadcast Message Content IE is sent from the CN to the RNC containing user information i.e. the message, and will be broadcast over the radio interface.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Broadcast Message Content	M		BIT STRING (19968)	The size of the received bitstring shall be multiple of 8.

#### 9.2.3 Serial Number

*Serial Number* IE is a 16-bit integer which identifies a particular message from the source and type indicated by the Message Identifier and is altered every time the message with a given Message Identifier is changed.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Serial Number	0		BIT STRING(16)	

#### 9.2.4 Old Serial Number

*Old Serial Number* IE enables identification of an existing message to be identified. The format of this IE is defined in subclause 9.2.3.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Old Serial Number	M		9.2.3	

#### 9.2.5 New Serial Number

*New Serial Number* IE enables identification of a new message for broadcast to be identified, and is altered every time the message is changes. The format of this IE is defined in subclause 9.2.3.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
New Serial Number	0		9.2.3	

#### 9.2.6 Service Areas List

The Service Areas List IE identifies a sequence of one or more Service Areas to which the message(s) apply. The Service Areas List IE must include at least one Service Area.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Service Areas List		1 to <maxno of SAI&gt;</maxno 		
>Service Area Identifier	M		9.2.11	

Range bound	Explanation
MaxnoofSAI	Maximum no. of SAI in Service Areas List. Value is 65535

## 9.2.7 Category

Category IE is sent from the CN to the RNC, and is used to indicate the priority of the message.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Category	0		Enumerated (High Priority, Background, Normal, Default)	This IE contains the broadcast priority of the message. The value 'Default' shall not be used

## 9.2.8 Repetition Period

Repetition Period IE is sent from the CN to the RNC and indicates the periodicity of message broadcasts.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Repetition Period	M		INTEGER (14096)	Range is 1 to 4096 where each unit will represent a repetition of one second to a maximum of once per ~1 hour

## 9.2.9 Number of Broadcasts Requested

Number of Broadcasts Requested IE is sent from the CN to the RNC and indicates the number of times a message is to be broadcast.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Number of Broadcasts Requested	М	0 to 65535	INTEGER (065535)	This specifies the number of times the message is to be broadcast. "0" indicates the
				message shall be broadcasted until CN request otherwise.

## 9.2.10 Number of Broadcasts Completed List

*Number of Broadcasts Completed List* IE is sent from the RNC to the CN, and indicates the number of times that a CN message (all pages) has been sent to each Service Area specified in the *Service Areas List* IE of the request message for broadcast over the radio interface.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Number of-Broadcasts Completed List		1 to <maxnoof SAI&gt;</maxnoof 		
>Service Area Identifier	М		OCTET STRING (7)	
>Number of Broadcasts	М		INTEGER (0 65535)	
>Number of Broadcasts Compl Info	0		ENUMERATED (overflow, unknown)	Overflow indicates that the number of times that CN message sent to the radio interface has been overflow. Unknown indicates that no information regarding the number of times that CN message sent to the radio interface.

Range bound	Explanation	
MaxnoofSAI	Maximum no. of SAI in Service Areas List Value is 65535	

#### 9.2.11 Service Area Identifier

*Service Area Identifier* IE in BC domain is used to identify an area consisting of one cell [12]. Such an area is called a Service Area. For this protocol, only a Service Area that is defined to be applicable to the BC domain shall be used.

IE/Group Name	Presence	Range	IE type and Reference	Semantics description
SAI			Reference	
>PLMN identity	М		OCTET STRING (SIZE (3))	- digits 0 to 9, two digits per octet, - each digit encoded 0000 to 1001, - 1111 used as filler - bit 4 to 1 of octet n encoding digit 2n-1 - bit 8 to 5 of octet n encoding digit 2n  -The PLMN identity consists of 3 digits from MCC followed by either -a filler plus 2 digits from MNC (in case of 2 digit MNC) or -3 digits from MNC (in case of a 3 digit MNC).
>LAC	М		OCTET STRING (2)	0000 and FFFE not allowed.
>SAC	М		OCTET STRING (2)	

#### 9.2.12 Failure List

Failure List IE identifies the list of Service-Area(s) for which the RNC could not complete as requested.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Failure List		1 to <maxnoof SAI&gt;</maxnoof 		
>Service Area Identifier	M		9.2.11	
>Cause	M		9.2.14	

Range bound	Explanation		
MaxnoofSAI	Maximum no. of SAI in Service-Area-List. Value is 65535		

## 9.2.13 Radio Resource Loading List

Radio Resource Loading List IE presents the available bandwidth available for Broadcast purposes of a specific Service Area.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Radio Resource Loading List		1 to <maxnoof SAI&gt;</maxnoof 		
>Service Area Identifier	M		9.2.11	
>Available Bandwidth	M		9.2.18	

Range bound	Explanation		
MaxnoofSAI	Maximum no. of SAI in Service Area List. Value is 65535		

## 9.2.14 Cause

Cause IE indicates the reason for a particular error event for the SABP protocol.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and Reference	Semantics Description
>Cause	M		INTEGER (	Range is 0-255
			Parameter-not-recognised(0),	Sent when the recipient (CN or RNC) was unable to act upon the message received due to an unrecognised parameter. A message should not be rejected only because a parameter is not recognised as this would prevent extensions to the service
			Parameter-value invalid(1),	Sent when a failure occurred due to the value of a parameter being invalid, e.g. out of range, or in Write-Replace, the parameter "no of pages" does not equal the number of pages received
			Valid-CN- message-not- identified(2),	Sent when the RNC does not recognise the CN message reference
			Service-Area- identity-not- valid(3),	Sent when the RNC does not recognise a Service-Area Identity
			Unrecognised- message(4)	Sent when the RNC did not recognise the message at all
			Missing- mandatory- element(5),	Sent when a mandatory element is missing from the message
			RNC-capacity- exceeded(6),	Sent when a write-replace fails because the RNC cannot meet the requested repetition period because of the

IE/GROUP NAME	PRESENCE	RANGE	IE Type and Reference	Semantics Description
				cell loading
			RNC-memory- exceeded(7),	Sent when the RNC is unable to store a CBS message as the RNC memory has been exceeded.
			Service-Area- broadcast-not- supported(8),	Sent when the SABCH/CN related Radio Resource is not configured for a Service-Area
			Service-Area- broadcast-not- operational(9),	Sent when the SABCH/CN related radio resource is not available because of error conditions or due to maintenance activities
			Message- reference already- used(10),	Sent when the recipient was unable to act upon the Write-Replace message received due to a previous Write-Replace received with the same message reference.
			Unspecified- error(11),	Sent when none of the above cause values apply.
			(Transfer Syntax Error(12),	Sent to indicate transfer syntax error in any message
			Semantic Error (12),	Sent to indicate semantic error any message
			Message not compatible with receiver state (14),	Sent to indicate that received message is not compatible with the receiver state

IE/GROUP NAME	PRESENCE	RANGE	IE Type and Reference	Semantics Description
			Abstract Syntax Error (Reject) (15),	Sent to indicate rejection due to Abstract Syntax Error
			Abstract Syntax Error (Ignore and Notify) (16),	Sent to indicate Abstract Syntax Error in some IE that has been ignored
			Abstract Syntax Error (Falsely Constructed Message) (17))	Sent to indicate Abstract Syntax Error due to false message construction

## 9.2.15 Data Coding Scheme

Data Coding Scheme IE is sent from the RNC to the CN and identifies the alphabet or coding employed for the message characters and message handling at the UE (it is passed transparently from the CN to the UE).

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Data Coding Scheme	M		BIT STRING(8)	

## 9.2.16 Recovery Indication

Recovery Indication IE is used to indicate whether the CN related data was lost or is still available.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Recovery Indication	0		ENUMERATED (Lost, Available)	

## 9.2.17 Criticality Diagnostics

For further details on how to use the Criticality Diagnostics IE, see annex A.

The *Criticality Diagnostics* IE is sent by the RNC or the CN when parts of a received message have not been comprehended or were missing, or if the message contained logical errors. When applicable, it contains information about which IEs that were not comprehended or were missing.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Criticality Diagnostics				
>Procedure Code	0		INTEGER (0255)	Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error
>Triggering Message	0		ENUMERATED(initiati ng message, successful outcome, unsuccessful outcome, outcome)	The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Criticality Diagnostics				_
>Procedure Criticality	O		ENUMERATED(reject, ignore, notify)	This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure).
Information Element Criticality Diagnostics		0 to <maxnoo f errors&gt;</maxnoo 		
>IE Criticality	M		ENUMERATED(reject, ignore, notify)	The IE Criticality is used for reporting the criticality of the triggering IE. The value 'ignore' shall not be used.
>IE ID	M		INTEGER (065535)	The IE Id of the not understood or missing IE
>Repetition Number	0		INTEGER (0255)	The Repetition Number IE gives
				in case of a not understood IE:     The number of occurrences of the reported IE up to and including the not understood occurrence
				in case of a missing IE:     The number of occurrences up to but not including the missing occurrence.
				Note: All the counted occurrences of the reported IE must have the same topdown hierachical message structure of IEs with assigned criticality above them.
>Message Structure	0		9.2.20	The Message Structure IE describes the structure where the not understood or missing IE was detected. This IE is included if the not understood IE is not the top level of the message.
>Type of Error	М		ENUMERATED(not understood, missing,)	

Range bound	Explanation
Maxnooferrors	Maximum no. of IE errors allowed to be reported with a single
	message. The value for maxnooferrors is 256.

#### 9.2.18 Available Bandwidth

Available Bandwidth IE is used to indicate the Bandwidth available for the broadcast of messages.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Available Bandwidth	0		INTEGER (020480)	The unit is: bit/second

## 9.2.19 Message Identifier

Message Identifier IE is set by the CN, transfer to the UE by the RNC.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and Reference	Semantics Description
Message Identifier	М		BIT STRING(16)	This IE is set by the CN, transfer to the UE by the RNC, the RNC needs not to understand what is the meaning of the value but shall treat it as a identifier of a message.  The Message Identifier is defined in [11].

## 9.2.20 Message Structure

The *Message Structure* IE gives information for each level with assigned criticality in an hierarchical message structure from top level down to the lowest level above the reported level for the occured error (reported in the *Information Element Criticality Diagnostics* IE).

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message structure		1 to <maxnoofle vels&gt;</maxnoofle 		The first repetition of the Message Structure IE corresponds to the top level of the message. The last repetition of the Message Structure IE corresponds to the level above the reported level for the occured error of the message.	GLOBAL	ignore
>IE ID	M		INTEGER (065535)	The IE ID of this level"s IE containing the not understood or missing IE.	-	
>Repetition Number	0		INTEGER (1256)	The Repetition Number IE gives, if applicable, the number of occurrences of this level"s reported IE up to and including the occurrence containing the not understood or missing IE.  Note: All the counted occurrences of the reported IE must have the same topdown hierachical message structure of IEs with assigned criticality above them.	-	

Range bound	Explanation
maxnooflevels	Maximum no. of message levels to report. The value for
	maxnooflevels is 256.

## 9.3 Message and Information Element Abstract Syntax (with ASN.1)

#### 9.3.0 General

SABP ASN.1 definition conforms with [7] and [8].

The ASN.1 definition specifies the structure and content of SABP messages. SABP messages can contain any IEs specified in the object set definitions for that message without the order or number of occurrence being restricted by ASN.1. However, for this version of the standard, a sending entity shall construct a SABP message according to the PDU definitions module and with the following additional rules (Note that in the following IE means an IE in the object set with an explicit id. If one IE needed to appear more than once in one object set, then the different occurrences have different IE ids):

- IEs shall be ordered (in an IE container) in the order they appear in object set definitions.

- Object set definitions specify how many times IEs may appear. An IE shall appear exactly once if the presence field in an object has value "mandatory". An IE may appear at most once if the presence field in an object has value "optional" or "conditional". If in a tabular format there is multiplicity specified for an IE (i.e. an IE list) then in the corresponding ASN.1 definition the list definition is separated into two parts. The first part defines an IE container list where the list elements reside. The second part defines list elements. The IE container list appears as an IE of its own. For this version of the standard an IE container list may contain only one kind of list elements.

If a SABP message that is not constructed as defined above is received, this shall be considered as Abstract Syntax Error, and the message shall be handled as defined for Abstract Syntax error in subclause 10.3.6.

# 9.3.1 Usage of protocol extension mechanism for non-standard use

The protocol extension mechanism for non-standard use may be used:

- for special operator- (and/or vendor) specific features considered not to be part of the basic functionality, i.e. the functionality required for a complete and high-quality specification in order to guarantee multivendor interoperability.
- by vendors for research purposes, e.g. to implement and evaluate new algorithms/features before such features are proposed for standardisation.

The extension mechanism shall not be used for basic functionality. Such functionality shall be standardised.

# 9.3.2 Elementary Procedure Definitions

```
-- Elementary Procedure definitions
SABP-PDU-Descriptions {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) sabp (3) version1 (1) sabp-PDU-Descriptions (0)}
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
     -- IE parameter types from other modules.
__ *********************
IMPORTS
   Criticality,
   ProcedureCode
FROM SABP-CommonDataTypes
   Error-Indication,
   Failure,
   Kill,
   Kill-Complete,
   Kill-Failure,
   Load-Ouery,
   Load-Query-Complete,
   Load-Query-Failure,
   Reset,
   Reset-Complete,
   Reset-Failure,
   Restart,
   Message-Status-Query,
   Message-Status-Query-Complete,
   Message-Status-Query-Failure,
   Write-Replace,
   Write-Replace-Complete,
   Write-Replace-Failure
FROM SABP-PDU-Contents
   id-Error-Indication,
   id-Failure-Indication,
   id-Kill,
   id-Reset.
```

```
id-Restart-Indication,
   id-Load-Status-Enquiry,
   id-Message-Status-Ouery,
   id-Write-Replace
FROM SABP-Constants;
-- Interface Elementary Procedure Class
    SABP-ELEMENTARY-PROCEDURE ::= CLASS {
   &InitiatingMessage
   &SuccessfulOutcome
                                 OPTIONAL,
   &UnsuccessfulOutcome
                                     OPTIONAL,
   &procedureCode
                                        UNIQUE
                         ProcedureCode
   &criticality
                         Criticality
                                        DEFAULT ignore
WITH SYNTAX {
   INITIATING MESSAGE
                          &InitiatingMessage
   [SUCCESSFUL OUTCOME
&SuccessfulOutcome |
                             &UnsuccessfulOutcomel
   [UNSUCCESSFUL OUTCOME
   PROCEDURE CODE
                          &procedureCode
   [CRITICALITY
                          &criticality]
-- Interface PDU Definition
  SABP-PDU ::= CHOICE {
   initiatingMessage
                     InitiatingMessage,
   successfulOutcome
                      SuccessfulOutcome,
   unsuccessfulOutcome UnsuccessfulOutcome,
   . . .
InitiatingMessage ::= SEQUENCE {
   procedureCode SABP-ELEMENTARY-PROCEDURE.&procedureCode
                                                           ({SABP-ELEMENTARY-PROCEDURES}),
   criticality SABP-ELEMENTARY-PROCEDURE.&criticality
                                                        ({SABP-ELEMENTARY-PROCEDURES}{@procedureCode}),
                                                           ({SABP-ELEMENTARY-PROCEDURES}{@procedureCode})
   value
              SABP-ELEMENTARY-PROCEDURE.&InitiatingMessage
SuccessfulOutcome ::= SEQUENCE {
                                                           ({SABP-ELEMENTARY-PROCEDURES}),
   procedureCode SABP-ELEMENTARY-PROCEDURE.&procedureCode
   criticality SABP-ELEMENTARY-PROCEDURE.&criticality
                                                        ({SABP-ELEMENTARY-PROCEDURES}{@procedureCode}),
   value
              SABP-ELEMENTARY-PROCEDURE.&SuccessfulOutcome
                                                           ({SABP-ELEMENTARY-PROCEDURES}{@procedureCode})
```

```
UnsuccessfulOutcome ::= SEQUENCE {
   procedureCode SABP-ELEMENTARY-PROCEDURE.&procedureCode ({SABP-ELEMENTARY-PROCEDURES}),
                                                   ({SABP-ELEMENTARY-PROCEDURES}{@procedureCode}),
   criticality SABP-ELEMENTARY-PROCEDURE.&criticality
              SABP-ELEMENTARY-PROCEDURE. &UnsuccessfulOutcome ({SABP-ELEMENTARY-PROCEDURES}{@procedureCode})
    -- Interface Elementary Procedure List
  SABP-ELEMENTARY-PROCEDURES SABP-ELEMENTARY-PROCEDURE ::= {
   SABP-ELEMENTARY-PROCEDURES-CLASS-1
   SABP-ELEMENTARY-PROCEDURES-CLASS-2 ,
SABP-ELEMENTARY-PROCEDURES-CLASS-1 SABP-ELEMENTARY-PROCEDURE ::= {
   write-Replace
   kill
   load-Status-Enquiry
   message-Status-Query
   reset
SABP-ELEMENTARY-PROCEDURES-CLASS-2 SABP-ELEMENTARY-PROCEDURE ::=
   restart-Indication
   failure-Indication
   error-Indication
write-Replace SABP-ELEMENTARY-PROCEDURE ::= {
   INITIATING MESSAGE Write-Replace
   SUCCESSFUL OUTCOME Write-Replace-Complete
   UNSUCCESSFUL OUTCOME Write-Replace-Failure
   PROCEDURE CODE
                     id-Write-Replace
   CRITICALITY
                     reject
kill SABP-ELEMENTARY-PROCEDURE ::= {
   INITIATING MESSAGE Kill
   SUCCESSFUL OUTCOME Kill-Complete
   UNSUCCESSFUL OUTCOME Kill-Failure
   PROCEDURE CODE
                         id-Kill
   CRITICALITY
                     reject
load-Status-Enquiry SABP-ELEMENTARY-PROCEDURE ::= {
   INITIATING MESSAGE Load-Query
   SUCCESSFUL OUTCOME Load-Query-Complete
                        Load-Query-Failure
   UNSUCCESSFUL OUTCOME
```

```
PROCEDURE CODE
                           id-Load-Status-Enquiry
    CRITICALITY
                       reject
message-Status-Ouery SABP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Message-Status-Ouery
    SUCCESSFUL OUTCOME Message-Status-Query-Complete
    UNSUCCESSFUL OUTCOME Message-Status-Query-Failure
    PROCEDURE CODE
                           id-Message-Status-Query
    CRITICALITY
                       reject
reset SABP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Reset
    SUCCESSFUL OUTCOME Reset-Complete
    UNSUCCESSFUL OUTCOME
                           Reset-Failure
                           id-Reset
    PROCEDURE CODE
    CRITICALITY
                       reject
restart-Indication SABP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Restart
    PROCEDURE CODE
                           id-Restart-Indication
    CRITICALITY
                           ignore
failure-Indication SABP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Failure
                           id-Failure-Indication
    PROCEDURE CODE
                           ignore
    CRITICALITY
error-Indication SABP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Error-Indication
                         id-Error-Indication
    PROCEDURE CODE
    CRITICALITY
                           ignore
END
```

#### 9.3.3 PDU Definitions

```
BEGIN
      -- IE parameter types from other modules.
__ ********************
   Broadcast-Message-Content,
   Category,
   Cause,
Criticality-Diagnostics,
   Data-Coding-Scheme,
   Failure-List,
   Message-Identifier,
   New-Serial-Number,
   Number-of-Broadcasts-Completed-List,
   Number-of-Broadcasts-Requested,
   Old-Serial-Number,
   Radio-Resource-Loading-List,
   Recovery-Indication,
   Repetition-Period,
   Serial-Number ,
   Service-Areas-List
FROM SABP-IEs
   ProtocolExtensionContainer{},
   ProtocolIE-Container{},
   SABP-PROTOCOL-EXTENSION,
   SABP-PROTOCOL-IES
FROM SABP-Containers
   id-Broadcast-Message-Content,
   id-Category,
   id-Criticality-Diagnostics,
   id-Cause,
   id-Data-Coding-Scheme,
   id-Failure-List,
   id-Message-Identifier,
   id-New-Serial-Number,
   id-Number-of-Broadcasts-Completed-List,
   id-Number-of-Broadcasts-Requested,
   id-Old-Serial-Number,
   id-Radio-Resource-Loading-List,
   id-Recovery-Indication,
   id-Repetition-Period,
   id-Serial-Number,
   id-Service-Areas-List
FROM SABP-Constants;
  ******************
```

```
-- Write-Replace
Write-Replace ::= SEQUENCE {
                                               { {Write-Replace-IEs} },
   protocolIEs
                      ProtocolIE-Container
                          ProtocolExtensionContainer { {Write-Replace-Extensions} } OPTIONAL,
   protocolExtensions
Write-Replace-IEs SABP-PROTOCOL-IES ::= {
     ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier
                                                                            PRESENCE mandatory }
     ID id-New-Serial-Number CRITICALITY reject TYPE New-Serial-Number
                                                                            PRESENCE mandatory }
     ID id-Old-Serial-Number CRITICALITY ignore TYPE Old-Serial-Number
                                                                            PRESENCE optional } |
     ID id-Service-Areas-List CRITICALITY reject TYPE Service-Areas-List
                                                                            PRESENCE mandatory } |
     ID id-Category
                     CRITICALITY ignore TYPE Category
                                                                        PRESENCE optional }
                                                                            PRESENCE mandatory
     ID id-Repetition-Period CRITICALITY reject TYPE Repetition-Period
     ID id-Number-of-Broadcasts-Requested
                   CRITICALITY reject TYPE Number-of-Broadcasts-Requested PRESENCE mandatory }
     ID id-Data-Coding-Scheme CRITICALITY reject TYPE Data-Coding-Scheme
                                                                            PRESENCE mandatory } |
    ID id-Broadcast-Message-Content
                   CRITICALITY reject TYPE Broadcast-Message-Content PRESENCE mandatory } ,
Write-Replace-Extensions SABP-PROTOCOL-EXTENSION ::= {
-- Write-Replace-Complete
  *****************
Write-Replace-Complete ::= SEQUENCE {
   protocolIEs
                      ProtocolIE-Container
                                                { {Write-Replace-Complete-IEs} },
                          ProtocolExtensionContainer { {Write-Replace-Complete-Extensions} } OPTIONAL,
   protocolExtensions
Write-Replace-Complete-IEs SABP-PROTOCOL-IES ::= {
     ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier
                                                                            PRESENCE mandatory }
     ID id-New-Serial-Number CRITICALITY reject TYPE New-Serial-Number
                                                                            PRESENCE mandatory }
     ID id-Number-of-Broadcasts-Completed-List
                      CRITICALITY reject TYPE Number-of-Broadcasts-Completed-List
                                                         PRESENCE mandatory }
{ ID id-Criticality-Diagnostics
CRITICALITY ignore TYPE Criticality-Diagnostics
                                                PRESENCE optional },
Write-Replace-Complete-Extensions SABP-PROTOCOL-EXTENSION ::= {
   . . .
```

```
-- Write-Replace-Failure
  ····
Write-Replace-Failure ::= SEQUENCE {
                                           { {Write-Replace-Failure-IEs} },
   protocolIEs
               ProtocolIE-Container
                       ProtocolExtensionContainer { {Write-Replace-Failure-Extensions} } OPTIONAL,
   protocolExtensions
   . . .
Write-Replace-Failure-IEs SABP-PROTOCOL-IES ::= {
     ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier
                                                                    PRESENCE mandatory }
     ID id-New-Serial-Number CRITICALITY reject TYPE New-Serial-Number
                                                                    PRESENCE mandatory }
     ID id-Failure-List
                           CRITICALITY reject TYPE Failure-List
                                                                 PRESENCE mandatory }
    ID id-Number-of-Broadcasts-Completed-List
                 CRITICALITY ignore TYPE Number-of-Broadcasts-Completed-List
                                         PRESENCE optional } |
{ ID id-Criticality-Diagnostics
CRITICALITY ignore TYPE Criticality-Diagnostics
                                               PRESENCE optional },
   . . .
Write-Replace-Failure-Extensions SABP-PROTOCOL-EXTENSION ::= {
-- Kill
__ *********************
Kill ::= SEOUENCE {
   protocolIEs
                    ProtocolIE-Container
                                           {{Kill-IEs}},
   protocolExtensions
ProtocolExtensionContainer {{Kill-Extensions}}
                                                                    OPTIONAL,
Kill-IEs SABP-PROTOCOL-IES ::= {
    ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier
                                                                    PRESENCE mandatory }
    ID id-Old-Serial-Number CRITICALITY reject TYPE Old-Serial-Number
                                                                    PRESENCE mandatory
   ID id-Service-Areas-List CRITICALITY reject TYPE Service-Areas-List
                                                                    PRESENCE mandatory } ,
Kill-Extensions SABP-PROTOCOL-EXTENSION ::= {
  *****************
```

```
-- Kill-Complete
Kill-Complete ::= SEOUENCE {
                                               {{Kill-Complete-IEs}},
   protocolIEs
                      ProtocolIE-Container
                         ProtocolExtensionContainer {{Kill-Complete-Extensions}}
   protocolExtensions
                                                                                  OPTIONAL,
Kill-Complete-IEs SABP-PROTOCOL-IES ::= {
                                                                           PRESENCE mandatory }
     ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier
     ID id-Old-Serial-Number CRITICALITY reject TYPE Old-Serial-Number
                                                                          PRESENCE mandatory }
     ID id-Number-of-Broadcasts-Completed-List
                  CRITICALITY reject
                                         TYPE Number-of-Broadcasts-Completed-List
                                                       PRESENCE mandatory }
{ ID id-Criticality-Diagnostics
CRITICALITY ignore TYPE Criticality-Diagnostics
                                                    PRESENCE optional },
Kill-Complete-Extensions SABP-PROTOCOL-EXTENSION ::= {
  *****************
-- Kill-Failure
Kill-Failure ::= SEQUENCE {
   protocolIEs
                      ProtocolIE-Container
                                               {{Kill-Failure-IEs}},
                         ProtocolExtensionContainer {{Kill-Failure-Extensions}}
   protocolExtensions
                                                                                  OPTIONAL,
Kill-Failure-IEs SABP-PROTOCOL-IES ::= {
     ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier
                                                                          PRESENCE mandatory }
     ID id-Old-Serial-Number CRITICALITY reject TYPE Old-Serial-Number
                                                                           PRESENCE mandatory }
     ID id-Failure-List
                             CRITICALITY reject TYPE Failure-List
                                                                       PRESENCE mandatory }
    ID id-Number-of-Broadcasts-Completed-List
                      CRITICALITY ignore TYPE Number-of-Broadcasts-Completed-List
                                                        PRESENCE optional } |
{ ID id-Criticality-Diagnostics
CRITICALITY ignore TYPE Criticality-Diagnostics
                                                    PRESENCE optional },
Kill-Failure-Extensions SABP-PROTOCOL-EXTENSION ::= {
__ **********************
```

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```
-- Load-Ouery
Load-Ouery ::= SEOUENCE {
                                                {{Load-Query-IEs}},
   protocolIEs
                      ProtocolIE-Container
   protocolExtensions
                         ProtocolExtensionContainer {{Load-Query-Extensions}}
                                                                                OPTIONAL.
Load-Query-IEs SABP-PROTOCOL-IES ::= {
    { ID id-Service-Areas-List CRITICALITY reject TYPE Service-Areas-List
                                                                            PRESENCE mandatory } ,
Load-Ouery-Extensions SABP-PROTOCOL-EXTENSION ::= {
-- Load-Query-Complete
  *****************
Load-Ouery-Complete ::= SEOUENCE {
   protocolIEs
                      ProtocolIE-Container
                                                 {{Load-Query-Complete-IEs}},
                      ProtocolExtensionContainer {{Load-Query-Complete-Extensions}} OPTIONAL,
   protocolExtensions
Load-Query-Complete-IEs SABP-PROTOCOL-IES ::= {
    { ID id-Radio-Resource-Loading-List
                      CRITICALITY reject TYPE Radio-Resource-Loading-List
                                                         PRESENCE mandatory }
{ ID id-Criticality-Diagnostics
CRITICALITY ignore TYPE Criticality-Diagnostics
                                                     PRESENCE optional },
Load-Query-Complete-Extensions SABP-PROTOCOL-EXTENSION ::= {
-- Load-Query-Failure
Load-Ouery-Failure ::= SEOUENCE {
   protocolIEs
                   ProtocolIE-Container
                                                {{Load-Query-Failure-IEs}},
                          ProtocolExtensionContainer {{Load-Query-Failure-Extensions}} OPTIONAL,
   protocolExtensions
```

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```
Load-Query-Failure-IEs SABP-PROTOCOL-IES ::= {
                                                                       PRESENCE mandatory }
    { ID id-Failure-List
                             CRITICALITY reject TYPE Failure-List
    { ID id-Radio-Resource-Loading-List
                      CRITICALITY ignore TYPE Radio-Resource-Loading-List
                                             PRESENCE optional } |
{ ID id-Criticality-Diagnostics
CRITICALITY ignore TYPE Criticality-Diagnostics
                                                    PRESENCE optional },
   . . .
Load-Ouery-Failure-Extensions SABP-PROTOCOL-EXTENSION ::= {
-- Message-Status-Query
  *****************
Message-Status-Query ::= SEQUENCE {
   protocolIEs
                      ProtocolIE-Container
                                                {{Message-Status-Query-IEs}},
                          ProtocolExtensionContainer {{Message-Status-Ouery-Extensions}} OPTIONAL,
   protocolExtensions
Message-Status-Query-IEs SABP-PROTOCOL-IES ::= {
     ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier
                                                                           PRESENCE mandatory }
     ID id-Old-Serial-Number CRITICALITY reject TYPE Old-Serial-Number
                                                                           PRESENCE mandatory
    { ID id-Service-Areas-List CRITICALITY reject TYPE Service-Areas-List
                                                                           PRESENCE mandatory } ,
Message-Status-Ouery-Extensions SABP-PROTOCOL-EXTENSION ::= {
-- Message-Status-Query-Complete
__ *********************
Message-Status-Query-Complete ::= SEQUENCE {
   protocolIEs
                         ProtocolIE-Container
                                                   {{Message-Status-Query-Complete-IEs}},
                         ProtocolExtensionContainer {{Message-Status-Ouery-Complete-Extensions}} OPTIONAL,
   protocolExtensions
Message-Status-Query-Complete-IEs SABP-PROTOCOL-IES ::= {
```

```
ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier
                                                                         PRESENCE mandatory }
     ID id-Old-Serial-Number CRITICALITY reject TYPE Old-Serial-Number
                                                                         PRESENCE mandatory }
     ID id-Number-of-Broadcasts-Completed-List
                     CRITICALITY reject TYPE Number-of-Broadcasts-Completed-List
                                                      PRESENCE mandatory } |
{ ID id-Criticality-Diagnostics
CRITICALITY ignore TYPE Criticality-Diagnostics
                                                   PRESENCE optional },
Message-Status-Query-Complete-Extensions SABP-PROTOCOL-EXTENSION ::= {
    *******************
-- Message-Status-Ouery-Failure
  ****************
Message-Status-Query-Failure ::= SEQUENCE {
                                                  {{Message-Status-Query-Failure-IEs}},
   protocolIEs
                         ProtocolIE-Container
                         ProtocolExtensionContainer {{Message-Status-Query-Failure-Extensions}} OPTIONAL,
   protocolExtensions
   . . .
Message-Status-Ouery-Failure-IEs SABP-PROTOCOL-IES ::= {
     ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier
                                                                         PRESENCE mandatory }
     ID id-Failure-List
                             CRITICALITY reject TYPE Failure-List
                                                                     PRESENCE mandatory }
     ID id-Old-Serial-Number CRITICALITY reject TYPE Old-Serial-Number
                                                                         PRESENCE mandatory } |
     ID id-Number-of-Broadcasts-Completed-List
                  CRITICALITY ignore TYPE Number-of-Broadcasts-Completed-List
                                                       PRESENCE optional }
{ ID id-Criticality-Diagnostics
CRITICALITY ignore TYPE Criticality-Diagnostics
                                                   PRESENCE optional },
Message-Status-Ouery-Failure-Extensions SABP-PROTOCOL-EXTENSION ::= {
-- Reset
  *****************
Reset ::= SEOUENCE {
                                              {{Reset-IEs}},
   protocolIEs
                     ProtocolIE-Container
                         ProtocolExtensionContainer {{Reset-Extensions}}
   protocolExtensions
                                                                         OPTIONAL,
```

```
Reset-IEs SABP-PROTOCOL-IES ::= {
   { ID id-Service-Areas-List CRITICALITY reject TYPE Service-Areas-List
                                                                       PRESENCE mandatory } ,
Reset-Extensions SABP-PROTOCOL-EXTENSION ::= {
  *****************
-- Reset-Complete
  *****************
Reset-Complete ::= SEQUENCE {
   protocolIEs ProtocolIE-Container
                                           {{Reset-Complete-IEs}},
   protocolExtensionS ProtocolExtensionContainer {{Reset-Complete-Extensions}}
                                                                         OPTIONAL,
Reset-Complete-IEs SABP-PROTOCOL-IES ::= {
   { ID id-Service-Areas-List CRITICALITY reject TYPE Service-Areas-List
                                                                  PRESENCE mandatory } |
{ ID id-Criticality-Diagnostics
CRITICALITY ignore TYPE Criticality-Diagnostics
                                               PRESENCE optional },
Reset-Complete-Extensions SABP-PROTOCOL-EXTENSION ::= {
__ *******************
-- Reset-Failure
__ *******************
Reset-Failure ::= SEOUENCE {
   protocolIEs
                    ProtocolIE-Container
                                           {{Reset-Failure-IEs}},
   protocolExtensions ProtocolExtensionContainer {{Reset-Failure-Extensions}}
                                                                           OPTIONAL,
Reset-Failure-IEs SABP-PROTOCOL-IES ::= {
    ID id-Failure-List
                      CRITICALITY reject TYPE Failure-List PRESENCE mandatory }
   { ID id-Service-Areas-List CRITICALITY reject TYPE Service-Areas-List
                                                                    PRESENCE optional } |
{ ID id-Criticality-Diagnostics
CRITICALITY ignore TYPE Criticality-Diagnostics PRESENCE optional } ,
Reset-Failure-Extensions SABP-PROTOCOL-EXTENSION ::= {
   . . .
```

```
-- Restart
Restart ::= SEQUENCE {
                                             {{Restart-IEs}},
   protocolIEs
                      ProtocolIE-Container
                    ProtocolExtensionContainer {{Restart-Extensions}} OPTIONAL,
   protocolExtensions
Restart-IEs SABP-PROTOCOL-IES ::= {
    ID id-Service-Areas-List CRITICALITY ignore TYPE Service-Areas-List
                                                                  PRESENCE mandatory } |
   { ID id-Recovery-Indication CRITICALITY ignore TYPE Recovery-Indication
                                                                     PRESENCE optional } ,
Restart-Extensions SABP-PROTOCOL-EXTENSION ::= {
  -- Failure
__ ********************************
Failure ::= SEQUENCE {
   protocolIEs
                      ProtocolIE-Container
                                             {{Failure-IEs}},
   protocolExtensions
                    ProtocolExtensionContainer {{Failure-Extensions}} OPTIONAL,
Failure-IEs SABP-PROTOCOL-IES ::= {
   { ID id-Service-Areas-List CRITICALITY ignore TYPE Service-Areas-List
                                                                  PRESENCE mandatory } ,
Failure-Extensions SABP-PROTOCOL-EXTENSION ::= {
  -- Error-Indication
__ **********************
Error-Indication ::= SEQUENCE {
   protocolIEs
                 ProtocolIE-Container
                                             {{Error-Indication-IEs}},
                      ProtocolExtensionContainer {{Error-Indication-Extensions}} OPTIONAL,
   protocolExtensions
```

# 9.3.4 Information Element Definitions

```
-- Information Element Definitions
__ *********************
SABP-IEs {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) sabp (3) version1 (1) sabp-IEs (2) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
   maxNrOfErrors,
   maxnoofSAI,
   maxNrOfLevels,
   id-MessageStructure,
   id-TypeOfError
FROM SABP-Constants
   Criticality,
   ProcedureCode,
   TriggeringMessage,
   ProtocolIE-ID
FROM SABP-CommonDataTypes
```

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```
ProtocolExtensionContainer{},
    SABP-PROTOCOL-EXTENSION
FROM SABP-Containers;
-- A
Available-Bandwidth
                            ::= INTEGER (0..20480)
-- bits/sec
-- B
Broadcast-Message-Content ::= BIT STRING (SIZE (1..9968)) -- This IE is sent from the CN to the RNC containing user information i.e.
-- the message.
-- C
Category ::= ENUMERATED {
    high-priority,
    background-priority,
    normal-priority,
    default-priority,
    . . .
                        ::= INTEGER {
Cause
    parameter-not-recognised
                                                          (0),
    parameter-value-invalid
                                                         (1),
    valid-CN-message-not-identified
                                                         (2),
    service-area-identity-not-valid
                                                         (3),
    unrecognised-message
                                                         (4),
    missing-mandatory-element
                                                         (5),
    rNC-capacity-exceeded
                                                         (6),
    rNC-memory-exceeded
                                                          (7),
    service-area-broadcast-not-supported
                                                         (8),
    service-area-broadcast-not-operational
                                                         (9),
    message-reference-already-used
                                                          (10),
    unspecifed-error
                                                         (11),
    transfer-syntax-error
                                                         (12),
    semantic-error
                                                         (13),
    message-not-compatible-with-receiver-state
                                                         (14),
    abstract-syntax-error-reject
                                                         (15),
    abstract-syntax-error-ignore-and-notify
                                                         (16),
    abstract-syntax-error-falsely-constructed-message
                                                         (17)
} (0..255)
Criticality-Diagnostics
                                 ::= SEQUENCE {
    procedureCode
                            ProcedureCode
                                                     OPTIONAL,
    triggeringMessage
                            TriggeringMessage
                                                     OPTIONAL,
    procedureCriticality
                                 Criticality
                                                     OPTIONAL,
    iEsCriticalityDiagnostics
                                     CriticalityDiagnostics-IE-List OPTIONAL,
    iE-Extensions
                            ProtocolExtensionContainer { {CriticalityDiagnostics-ExtIEs} } OPTIONAL,
    . . .
```

```
CriticalityDiagnostics-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
CriticalityDiagnostics-IE-List ::= SEQUENCE (SIZE (1..maxNrOfErrors)) OF
    SEOUENCE {
       iECriticality
                            Criticality,
        iE-ID
                            ProtocolIE-ID,
        repetitionNumber
                                RepetitionNumber0
                                                        OPTIONAL,
                                ProtocolExtensionContainer { {CriticalityDiagnostics-IE-List-ExtIEs} } OPTIONAL,
        iE-Extensions
CriticalityDiagnostics-IE-List-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
        ID id-MessageStructure
                                   CRITICALITY ignore
                                                            EXTENSION MessageStructure
                                                                                            PRESENCE optional } |
       ID id-TypeOfError
                                                                                            PRESENCE mandatory },
                                    CRITICALITY ignore
                                                            EXTENSION TypeOfError
MessageStructure ::= SEQUENCE (SIZE (1..maxNrOfLevels)) OF
    SEOUENCE {
       iE-ID
                                ProtocolIE-ID,
        repetitionNumber
                                RepetitionNumber1
                                                        OPTIONAL,
        iE-Extensions
                                ProtocolExtensionContainer { {MessageStructure-ExtIEs} } OPTIONAL,
MessageStructure-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
-- D
Data-Coding-Scheme
                           ::= BIT STRING (SIZE (8))
-- E
-- F
Failure-List ::= SEQUENCE (SIZE (1..maxnoofSAI)) OF Failure-List-Item
Failure-List-Item ::= SEQUENCE {
    service-area-identifier
                                Service-Area-Identifier,
    cause
                            ProtocolExtensionContainer { {FailureListItemIE-ExtIEs} } OPTIONAL,
        iE-Extensions
FailureListItemIE-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
```

```
-- G
-- H
-- I
-- J
-- K
-- L
-- M
Message-Identifier ::= BIT STRING (SIZE (16))
-- N
                               ::= Serial-Number
New-Serial-Number
Number-of-Broadcasts-Completed-List ::= SEQUENCE (SIZE (1..maxnoofSAI)) OF
    Number-of-Broadcasts-Completed-List-Item
Number-of-Broadcasts-Completed-List-Item ::= SEOUENCE {
    service-area-identifier
                                Service-Area-Identifier,
    number-of-broadcasts-completed
                                        INTEGER (0..65535),
    number-of-broadcasts-completed-info Number-Of-Broadcasts-Completed-Info
                                                                                    OPTIONAL,
    iE-Extensions
                       ProtocolExtensionContainer { {NoOfBroadcastsCompletedListItemIE-ExtIEs} } OPTIONAL,
        . . .
NoOfBroadcastsCompletedListItemIE-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
}
Number-Of-Broadcasts-Completed-Info
                                        ::= ENUMERATED {
    overflow,
    unknown,
    . . .
Number-of-Broadcasts-Requested
                                    ::= INTEGER {
   broadcast-indefinitely (0)
} (0..65535)
-- 0
Old-Serial-Number
                                ::= Serial-Number
-- P
```

```
-- Q
Radio-Resource-Loading-List ::= SEQUENCE (SIZE (1..maxnoofSAI)) OF
    Radio-Resource-Loading-List-Item
Radio-Resource-Loading-List-Item ::= SEOUENCE {
    service-area-identifier Service-Area-Identifier,
    available-bandwidth Available-Bandwidth,
    iE-Extensions
                      ProtocolExtensionContainer { {RadioResourceLoadingListItemIE-ExtIEs} } OPTIONAL,
        . . .
RadioResourceLoadingListItemIE-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
Recovery-Indication ::= ENUMERATED {
    data-lost.
    data-available
RepetitionNumber0
                          ::= INTEGER(0..255)
RepetitionNumber1
                          ::= INTEGER(1..256)
Repetition-Period
                    ::= INTEGER (1..4096)
-- Each unit represents a repetition of one second to a maximum of
-- once per 4096 seconds (~1 hour).
-- S
Serial-Number
                          ::= BIT STRING (SIZE (16))
Service-Area-Identifier ::= SEQUENCE {
    pLMNidentity
                               OCTET STRING (SIZE (3))
                   -- Digits 0 to 9, two digits per octet.
                   -- Each octet encoded 0000 to 1001.
                   -- 1111 used as filler
                   -- Bit 4 to 1 of octet n encoding digit 2n-1.
                   -- Bit 8 to 5 of octet n encoding digit 2n.
                   -- The PLMN identity consists of 3 digits from MCC
                   -- followed by either a filler plus 2 digits
                   -- from MNC (in case of 2 digit MNC) or 3 digits --
                   -- from MNC (in case of 3 digit MNC).
    lac
                   OCTET STRING (SIZE (2))
                   -- 0000 and FFFE not allowed
                   OCTET STRING (SIZE (2))
    sac
-- **TODO** The IE type for these parameters is not known as yet
```

55

```
Service-Areas-List ::= SEQUENCE (SIZE (1..maxnoofSAI)) OF Service-Area-Identifier

-- T

TypeOfError ::= ENUMERATED {
    not-understood,
    missing,
    ...
}

-- U
-- V
-- W
-- X
-- Y
END
```

## 9.3.5 Common Definitions

```
-- Common definitions
SABP-CommonDataTypes {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) sabp (3) version1 (1) sabp-CommonDataTypes (3) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
               ::= ENUMERATED { reject, ignore, notify }
Criticality
               ::= ENUMERATED { optional, conditional, mandatory }
Presence
ProcedureCode
                    ::= INTEGER (0..255)
ProtocolExtensionID ::= INTEGER (0..65535)
ProtocolIE-ID
                   ::= INTEGER (0..65535)
TriggeringMessage
                      ::= ENUMERATED {initiating-message, successful-outcome, unsuccessful-outcome, outcome}
END
```

### 9.3.6 Constant Definitions

```
__ *********************
-- Constant definitions
SABP-Constants {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) sabp (3) version1 (1) sabp-Constants (4) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
  *****************
-- Elementary Procedures
__ **********************
id-Write-Replace
                   INTEGER ::= 0
                 INTEGER ::= 1
id-Kill
id-Load-Status-Enquiry INTEGER ::= 2
id-Message-Status-Query
                      INTEGER ::= 3
                    INTEGER ::= 4
id-Restart-Indication
id-Reset INTEGER ::= 5
id-Failure-Indication INTEGER ::= 6
id-Error-Indication
                   INTEGER ::= 7
__ ********************
-- IEs
__ ********************
id-Broadcast-Message-Content
                         INTEGER ::= 0
id-Category INTEGER ::= 1
id-Cause
                INTEGER ::= 2
id-Criticality-Diagnostics INTEGER ::=3
id-Data-Coding-Scheme INTEGER ::= 4
id-Failure-List
                   INTEGER ::= 5
                   INTEGER ::= 6
id-Message-Identifier
id-New-Serial-Number
                        INTEGER ::= 7
id-Number-of-Broadcasts-Completed-List INTEGER ::= 8
id-Number-of-Broadcasts-Requested INTEGER ::= 9
id-Old-Serial-Number
                           INTEGER ::= 10
id-Radio-Resource-Loading-List INTEGER ::= 11
id-Recovery-Indication INTEGER ::= 12 id-Repetition-Period INTEGER ::= 13
id-Serial-Number
                INTEGER ::= 14
```

```
id-Service-Areas-List
                      INTEGER ::= 15
id-MessageStructure
                     INTEGER ::= 16
id-TypeOfError
                      INTEGER ::= 17
__ ********************
-- Extension constants
__ ********************
__ ********************
-- Lists
__ *********************
maxNrOfErrors
                   INTEGER ::= 256
             INTEGER ::= 65535
maxnoofSAI
maxProtocolExtensions
                   INTEGER ::= 65535
                 INTEGER ::= 65535
maxProtocolIEs
maxNrOfLevels
                        INTEGER ::= 256
END
```

### 9.3.7 Container Definitions

```
__ ***********************************
-- Container definitions
__ *********************
SABP-Containers {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) sabp (3) version1 (1) sabp-Containers (5) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
__ ***********************************
-- IE parameter types from other modules.
__ ********************************
IMPORTS
   Criticality,
   Presence,
   ProtocolExtensionID,
   ProtocolIE-ID
```

```
FROM SABP-CommonDataTypes
   maxProtocolExtensions,
   maxProtocolIEs
FROM SABP-Constants;
-- Class Definition for Protocol IEs
__ ********************
SABP-PROTOCOL-IES ::= CLASS {
   &id
                 ProtocolIE-ID
                                     UNIQUE,
   &criticality
                         Criticality
                                        DEFAULT ignore,
   &Value,
   &presence
                      Presence
WITH SYNTAX {
                  &id
   ID
   CRITICALITY
                   &criticality
   TYPE
                      &Value
   PRESENCE
                      &presence
-- Class Definition for Protocol Extensions
SABP-PROTOCOL-EXTENSION ::= CLASS {
                  ProtocolExtensionID
                                         UNIQUE,
                        Criticality
                                         DEFAULT ignore,
   &criticality
   &Extension.
   &presence
                  Presence
WITH SYNTAX {
                  &id
   ID
   CRITICALITY
                      &criticality
   EXTENSION
                      &Extension
   PRESENCE
                      &presence
-- Container for Protocol IEs
ProtocolIE-Container {SABP-PROTOCOL-IES : IEsSetParam} ::=
   SEQUENCE (SIZE (0..maxProtocolIEs)) OF
   ProtocolIE-Field {{IEsSetParam}}
```

END

```
ProtocolIE-Field {SABP-PROTOCOL-IES : IESSetParam} ::= SEQUENCE {
       SABP-PROTOCOL-IES.&id
                                               ({IEsSetParam}),
   criticality SABP-PROTOCOL-IES.&criticality value SABP-PROTOCOL-IES.&Value
                                                      ({IEsSetParam}{@id}),
                                                       ({IEsSetParam}{@id})
    -- Container Lists for Protocol IE Containers
  *****************
ProtocolIE-ContainerList {INTEGER : lowerBound, INTEGER : upperBound, SABP-PROTOCOL-IES : IEsSetParam} ::=
   SEQUENCE (SIZE (lowerBound..upperBound)) OF
   ProtocolIE-Container {{IEsSetParam}}
  Container for Protocol Extensions
  ProtocolExtensionContainer {SABP-PROTOCOL-EXTENSION : ExtensionSetParam} ::=
   SEQUENCE (SIZE (1..maxProtocolExtensions)) OF
   ProtocolExtensionField {{ExtensionSetParam}}
ProtocolExtensionField {SABP-PROTOCOL-EXTENSION : ExtensionSetParam} ::= SEQUENCE {
                                                ({ExtensionSetParam}),
                 SABP-PROTOCOL-EXTENSION.&id
   criticality
                                                          ({ExtensionSetParam}{@id}),
                    SABP-PROTOCOL-EXTENSION.&criticality
                                                          ({ExtensionSetParam}{@id})
   extensionValue
                       SABP-PROTOCOL-EXTENSION. & Extension
```

# 9.4 Message Transfer Syntax

SABP shall use the ASN.1 Basic Packed Encoding Rules (BASIC-PER) Aligned Variant as transfer syntax as specified in ref. [9].

The following encoding rules apply in addition to what has been specified in X.691 [9]:

When a bitstring value is placed in a bit-field as specified in 15.6 to 15.11 in [9], the leading bit of the bitstring value shall be placed in the leading bit of the bit-field, and the trailing bit of the bitstring value shall be placed in the trailing bit of the bit-field.

NOTE - When using the "bstring" notation, the leading bit of the bitstring value is on the left, and the trailing bit of the bitstring value is on the right. The term "leading bit" is to be interpreted as equal to the term "first bit" defined in [7].

# Handling of Unknown, Unforeseen or Erroneous Protocol Data

## 10.1 General

Protocol Error cases can be divided into three classes:

- Transfer Syntax Error;
- Abstract Syntax Error;
- Logical Error.

Protocol errors can occur in the following functions within a receiving node:

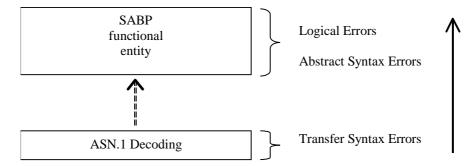


Figure 14: Protocol Errors in SABP

The information stated in subclauses 10.2, 10.3 and 10.4, to be included in the message used when reporting an error, is what at minimum shall be included. Other optional information elements within the message may also be included, if available. This is also valid for the case when the reporting is done with a response message. The latter is an exception to what is stated in subclause 4.1.

# 10.2 Transfer Syntax Error

A Transfer Syntax Error occurs when the receiver is not able to decode the received physical message Transfer syntax errors are always detected in the process of ASN.1 decoding. If a Transfer Syntax Error occurs, the receiver should initiate Error Indication procedure with appropriate cause value for the Transfer Syntax protocol error.

# 10.3 Abstract Syntax Error

#### 10.3.1 General

An Abstract Syntax Error occurs when the receiving functional SABP entity:

- 1. receives IEs or IE groups that cannot be understood (unknown IE id);
- 2. receives IEs for which the logical range is violated (e.g.: ASN.1 definition: 0 to 15, the logical range is 0 to 10 (values 11 to 15 are undefined), and 12 will be received; this case will be handled as an abstract syntax error using criticality information sent by the originator of the message);
- 3. does not receive IEs or IE groups but according to the specified presence of the concerning object, the IEs or IE groups should have been present in the received message;
- 4. receives IEs or IE groups that are defined to be part of that message in wrong order or with too many occurrences of the same IE or IE group;
- 5. receives IEs or IE groups but according to the conditional presence of the concerning object and the specified condition, the IEs or IE groups should not have been present in the received message.

Cases 1 and 2 (not comprehended IE/IE group) are handled based on received Criticality information. Case 3 (missing IE/IE group) is handled based on Criticality information and Presence information for the missing IE/IE group specified in the version of the specification used by the receiver. Case 4 (IEs or IE groups in wrong order or with too many occurrences) and Case 5 (erroneously present conditional IEs or IE groups) result in rejecting the procedure.

If an Abstract Syntax Error occurs, the receiver shall read the remaining message and shall then for each detected Abstract Syntax Error act according to the Criticality Information and Presence Information for the IE/IE group due to which Abstract Syntax Error occurred in accordance with subclauses 10.3.4 and 10.3.5. The handling of cases 4 and 5 is specified in subclause 10.3.6.

# 10.3.2 Criticality Information

In the SABP messages there is criticality information set for individual IEs and/or IE groups. This criticality information instructs the receiver how to act when receiving an IE or an IE group that is not comprehended i.e. the entire item (IE or IE group) which is not (fully or partially) comprehended shall be treated in accordance with its own criticality information as specified in subclause 10.3.4.

In addition, the criticality information is used in case of the missing IE/IE group abstract syntax error (see subclause 10.3.5).

The receiving node shall take different actions depending on the value of the Criticality Information. The three possible values of the Criticality Information for an IE/IE group are:

- Reject IE;
- Ignore IE and Notify Sender;
- Ignore IE.

The following rules restrict when a receiving entity may consider an IE, an IE group or an EP not comprehended (not implemented), and when action based on criticality information is applicable:

- 1. IE or IE group: When one new or modified IE or IE group is implemented for one EP from a standard version, then other new or modified IEs or IE groups specified for that EP in that standard version shall be considered comprehended by the receiving entity (some may still remain unsupported).
- 2. EP: The comprehension of different EPs within a standard version or between different standard versions is not mandated. Any EP that is not supported may be considered not comprehended, even if another EP from that standard version is comprehended, and action based on criticality shall be applied.

#### 10.3.3 Presence Information

For many IEs/IE groups which are optional according to the ASN.1 transfer syntax, SABP specifies separately if the presence of these IEs/IE groups is optional or mandatory with respect to RNS application by means of the presence field of the concerning object of class SABP-PROTOCOL-IES, SABP-PROTOCOL-IES-PAIR, SABP-PROTOCOL-EXTENSION or SABP-PRIVATE-IES.

The presence field of the indicated classes supports three values:

- 1. Optional;
- 2. Conditional;
- 3. Mandatory.

If an IE/IE group is not included in a received message and the presence of the IE/IE group is mandatory or the presence is conditional and the condition is true according to the version of the specification used by the receiver, an abstract syntax error occurs due to a missing IE/IE group.

# 10.3.4 Not comprehended IE/IE group

#### 10.3.4.1 Procedure Code

The receiving node shall treat the different types of received criticality information of the *Procedure Code* according to the following:

#### Reject IE:

- If a message is received with a *Procedure Code* marked with "*Reject IE*" which the receiving node does not comprehend, the receiving node shall reject the procedure using the Error Indication procedure.

#### Ignore IE and Notify Sender:

- If a message is received with a *Procedure Code* marked with "*Ignore IE and Notify Sender*" which the receiving node does not comprehend, the receiving node shall ignore the procedure and initiate the Error Indication procedure.

#### Ignore IE:

- If a message is received with a *Procedure Code* marked with "*Ignore IE*" which the receiving node does not comprehend, the receiving node shall ignore the procedure.

When using the Error Indication procedure to reject a procedure or to report an ignored procedure it shall include the *Procedure Code* IE, the *Triggering Message* IE, and the *Procedure Criticality* IE in the *Criticality Diagnostics* IE.

#### 10.3.4.1A Type of Message

When the receiving node cannot decode the *Type of Message* IE, the Error Indication procedure shall be initiated with an appropriate cause value.

#### 10.3.4.2 IEs other than the Procedure Code and Type of Message

The receiving node shall treat the different types of received criticality information of an IE/IE group other than the *Procedure Code* IE and *Type of Message* IE according to the following:

#### Reject IE:

- If a message *initiating* a procedure is received containing one or more IEs/IE groups marked with "*Reject IE*" which the receiving node does not comprehend; none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the rejection of one or more IEs/IE groups using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message

used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.

- If a message *initiating* a procedure that does not have a message to report unsuccessful outcome is received containing one or more IEs/IE groups marked with "*Reject IE*" which the receiving node does not comprehend, the receiving node shall terminate the procedure and initiate the Error Indication procedure.
- If a *response* message is received containing one or more IEs marked with "*Reject IE*" which the receiving node does no comprehend, the receiving node shall consider the procedure as unsuccessfully terminated and initiate local error handling.

#### Ignore IE and Notify Sender:

- If a message *initiating* a procedure is received containing one or more Ies/IE groups marked with "*Ignore IE and Notify Sender*" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups, continue with the procedure as if the not comprehended IEs/IE groups were not received (except for the reporting) using the understood IEs/IE groups, and report in the response message of the procedure that one or more IEs/IE groups have been ignored. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the response message, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- if a message initiating a procedure that does not have a message to report the outcome of the procedure is received containing one or more IEs/IE groups marked with "Ignore IE and Notify Sender" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups, continue with the procedure as if the not comprehended IEs/IE groups were not received (except for the reporting) using the understood IEs/IE groups, and initiate the Error Indication procedure to report that one or more IEs/IE groups have been ignored.
- If a response message is received containing one or more IEs/IE groups marked with "Ignore IE and Notify Sender" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IE/IE groups, continue with the procedure as if the not comprehended IEs/IE groups were not received (except for the reporting) using the understood IEs/IE groups and initiate the Error Indication procedure.

#### **Ignore IE:**

- If a message *initiating* a procedure is received containing one or more IEs/IE groups marked with "*Ignore IE*" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups and continue with the procedure as if the not comprehended IEs/IE groups were not received using only the understood IEs/IE groups.
- If a *response* message is received containing one or more IEs/IE groups marked with "*Ignore IE*" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups and continue with the procedure as if the not comprehended IEs/IE groups were not received using the understood IEs/IE groups.

When reporting not comprehended IEs/IE groups marked with "Reject IE" or "Ignore IE and Notify Sender" using a response message defined for the procedure, the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group. In the Information Element Criticality Diagnostics IE the Repetition Number IE shall be included and in addition, if the not comprehended IE/IE group is not at message hierarchy level 1 (top level; see annex A) also the Message Structure IE shall be included.

When reporting not comprehended IEs/IE groups marked with "Reject IE" or "Ignore IE and Notify Sender" using the Error Indication procedure, the Procedure Code IE, the Triggering Message IE, Procedure Criticality IE, and the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group. In the Information Element Criticality Diagnostics IE the Repetition Number IE shall be included and in addition, if the not comprehended IE/IE group is not at message hierarchy level 1 (top level; see annex A) also the Message Structure IE shall be included.

# 10.3.5 Missing IE or IE group

The receiving node shall treat the missing IE/IE group according to the criticality information for the missing IE/IE group in the received message specified in the version of the present document used by the receiver:

#### Reject IE:

- if a received message *initiating* a procedure is missing one or more IEs/IE groups with specified criticality "*Reject IE*"; none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the missing IEs/IE groups using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- if a received message *initiating* a procedure that does not have a message to report unsuccessful outcome is missing one or more IEs/IE groups with specified criticality "*Reject IE*", the receiving node shall terminate the procedure and initiate the Error Indication procedure.
- if a received *response* message is missing one or more IEs/IE groups with specified criticality "*Reject IE*, the receiving node shall consider the procedure as unsuccessfully terminated and initiate local error handling.

#### Ignore IE and Notify Sender:

- if a received message *initiating* a procedure is missing one or more IEs/IE groups with specified criticality "*Ignore IE and Notify Sender*", the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message and report in the response message of the procedure that one or more IEs/IE groups were missing. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the response message, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- if a received message *initiating* a procedure that does not have a message to report the outcome of the procedure is missing one or more IEs/IE groups with specified criticality "*Ignore IE and Notify Sender*", the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message and initiate the Error Indication procedure to report that one or more IEs/IE groups were missing.
- if a received *response* message is missing one or more IEs/IE groups with specified criticality "*Ignore IE and Notify Sender*", the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message and initiate the Error Indication procedure to report that one or more IEs/IE groups were missing.

#### **Ignore IE:**

- if a received message *initiating* a procedure is missing one or more IEs/IE groups with specified criticality "*Ignore IE*", the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message.
- if a received *response* message is missing one or more IEs/IE groups with specified criticality "*Ignore IE*", the receiving node shall ignore that those IEs/IE groups are missing and continue with the procedure based on the other IEs/IE groups present in the message.

When reporting missing IEs/IE groups with specified criticality "Reject IE" or "Ignore IE and Notify Sender" using a response message defined for the procedure, the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group. In the Information Element Criticality Diagnostics IE the Repetition Number IE shall be included and in addition, if the missing IE/IE group is not at message hierarchy level 1 (top level; see annex A) also the Message Structure IE shall be included.

When reporting missing IEs/IE groups with specified criticality "Reject IE" or "Ignore IE and Notify Sender" using the Error Indication procedure, the Procedure Code IE, the Triggering Message IE, Procedure Criticality IE, and the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group. In the Information Element Criticality Diagnostics IE the Repetition Number IE shall be included and in addition, if the missing IE/IE group is not at message hierarchy level 1 (top level; see annex A) also the Message Structure IE shall be included.

# 10.3.6 IEs or IE groups received in wrong order or with too many occurrences or erroneously present

If a message with IEs or IE groups in wrong order or with too many occurrences is received or if IEs or IE groups with a conditional presence are present when the condition is not met (i.e. erroneously present), the receiving node shall behave according to the following:

- If a message *initiating* a procedure is received containing IEs or IE groups in wrong order or with too many occurrences or erroneously present, none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the cause value "Abstract Syntax Error (Falsely Constructed Message)" using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- If a message *initiating* a procedure that does not have a message to report unsuccessful outcome is received containing IEs or IE groups in wrong order or with too many occurrences or erroneously present, the receiving node shall terminate the procedure and initiate the Error Indication procedure, and use cause value "Abstract Syntax Error (Falsely Constructed Message)".
- If a *response* message is received containing IEs or IE groups in wrong order or with too many occurrences or erroneously present, the receiving node shall consider the procedure as unsuccessfully terminated and initiate local error handling.

When determining the correct order only the IEs specified in the specification version used by the receiver shall be considered.

# 10.4 Logical Error

Logical error situations occur when a message is comprehended correctly, but the information contained within the message is not valid (i.e. semantic error), or describes a procedure which is not compatible with the state of the receiver. In these conditions, the following behaviour shall be performed (unless otherwise specified) as defined by the class of the elementary procedure, irrespective of the criticality information of the IE's/IE groups containing the erroneous values.

#### Class 1:

Where the logical error occurs in a request message of a class 1 procedure, and the procedure has a message to report this unsuccessful outcome, this message shall be sent with an appropriate cause value. Typical cause values are:

- Semantic Error;
- Message not compatible with receiver state.

Where the logical error is contained in a request message of a class 1 procedure, and the procedure does not have a message to report this unsuccessful outcome, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

Where the logical error exists in a response message of a class 1 procedure, the procedure shall be considered as unsuccessfully terminated and local error handling shall be initiated.

#### Class 2:

Where the logical error occurs in a message of a class 2 procedure, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

# 10.5 Exceptions

The error handling for all the cases described hereafter shall take precedence over any other error handling described in the other subclauses of clause 10.

- If any type of error (Transfer Syntax Error, Abstract Syntax Error or Logical Error) is detected in the ERROR INDICATION message, it shall not trigger the Error Indication procedure in the receiving Node but local error handling.
- In case a response message or Error Indication message needs to be returned, but the information necessary to determine the receiver of that message is missing, the procedure shall be considered as unsuccessfully terminated and local error handling shall be initiated.
- If an error that terminates a procedure occurs, the returned cause value shall reflect the error that caused the termination of the procedure even if one or more abstract syntax errors with criticality 'ignore and notify' have earlier occurred within the same procedure.

# Annex A (informative): Guidelines for Usage of the Criticality Diagnostics IE

# A.1 EXAMPLE MESSAGE Layout

Assume the following message format:

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M				YES	reject
Α	M				YES	reject
В	M				YES	reject
>E		1 <maxe></maxe>			EACH	ignore
>>F		1 <maxf></maxf>			-	
>>>G		03,			EACH	ignore
>>H		1 <maxh></maxh>			EACH	ignore
>>>G		03,			EACH	ignore and notify
>>G	М				YES	reject
>>J		1 <maxj></maxj>			-	
>>>G		03,			EACH	reject
С	М				YES	reject
>K		1 <maxk></maxk>			EACH	ignore and notify
>>L		1 <maxl></maxl>			-	
>>>M	0				-	
D	М				YES	reject

Note 1. The IEs F, J, and L do not have assigned criticality. The IEs F, J, and L are consequently realised as the ASN.1 type SEQUENCE OF of "ordinary" ASN.1 type, e.g. INTEGER. On the other hand, the repeatable IEs with assigned criticality are realised as the ASN.1 type SEQUENCE OF of an IE object, e.g. ProtocolIE-Container.

For the corresponding ASN.1 layout, see subclause A.4.

# A.2 Example on a Received EXAMPLE MESSAGE

Assume further more that a received message based on the above tabular format is according to figure A.1.

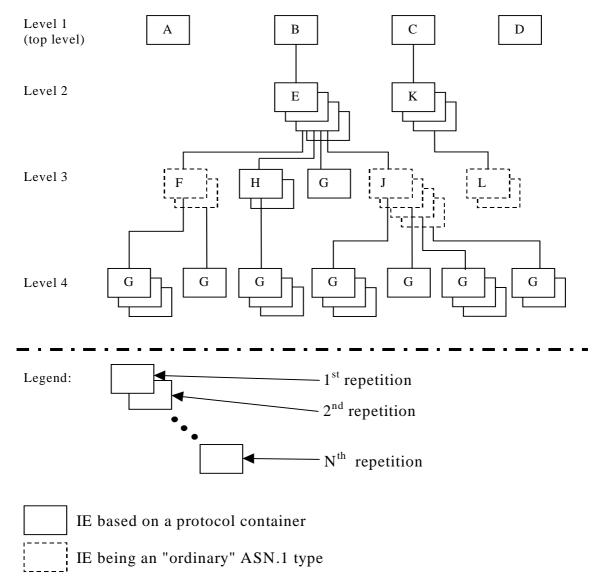
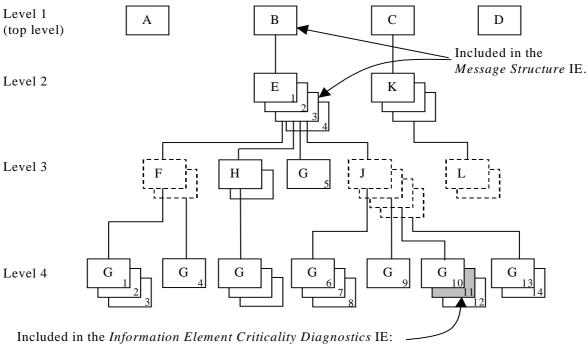


Figure A.1: Example of content of a received SABP message based on the EXAMPLE MESSAGE

# A.3 Content of Criticality Diagnostics

# A.3.1 Example 1



- a) IE ID IE
- b) Repetition Number IE

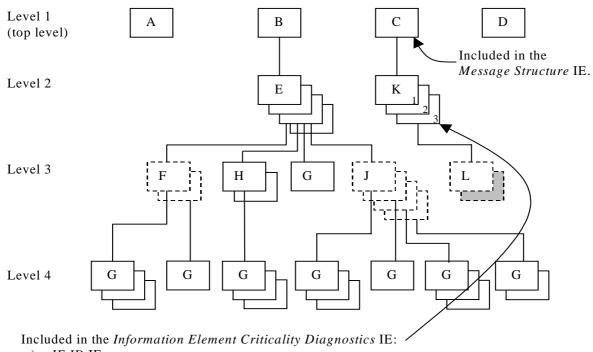
Figure A.2: Example of a received SABP message containing a not comprehended IE

If there is an error within the instance marked as grey in the IE G in the IE J shown in the figure A.2, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	Comment
IE Criticality	reject	Criticality for IE on the reported level, i.e. level 4.
IE ID	id-G	IE ID from the reported level, i.e. level 4.
Repetition	11	Repetition number on the reported level, i.e. level 4.
Number		(Since the IE E (level 2) is the lowest level included in the Message Structure IE this is
		the eleventh occurrence of IE G within the IE E (level 2).
Type of Error	not	
	underst	
	ood	
Message Structur	e, first repe	etition
>IE ID	id-B	IE ID from level 1.
Message Structur	e, second	repetition
>IE ID	id-E	IE ID from the lowest level above the reported level, i.e. level 2.
>Repetition	3	Repetition number from the lowest level above the reported level, i.e. level 2.
Number		

- Note 2. The IE J on level 3 cannot be included in the *Message Structure* IE since they have no criticality of their own.
- Note 3. The repetition number of the reported IE indicates the number of repetitions of IE G received up to the detected erroneous repetition, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).

# A.3.2 Example 2



- a) IE ID IE
- b) Repetition Number IE

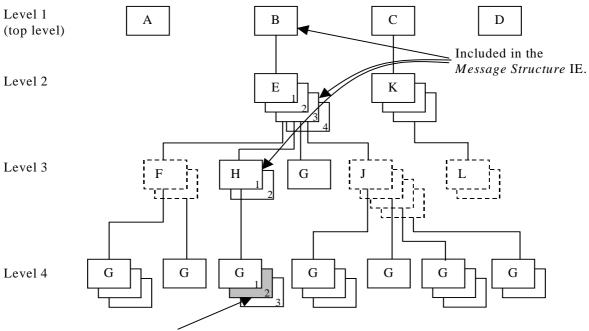
Figure A.3: Example of a received SABP message containing a not comprehended IE

If there is an error within the second instance (marked as grey) in the sequence (IE L in the tabular format) on level 3 below IE K in the structure shown in the figure A.3, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	Comment
IE Criticality	ignore	Criticality for IE on the reported level, i.e. level 2.
	and	
	notify	
IE ID	id-K	IE ID from the reported level, i.e. level 2.
Repetition	3	Repetition number on the reported level, i.e. level 2.
Number		
Type of Error	not	
	underst	
	ood	
Message Structu	etition	
>IE ID	id-C	IE ID from the lowest level above the reported level, i.e. level 1.

Note 4. The IE L on level 3 cannot be reported individually included in the *Message Structure* IE since it has no criticality of its own.

# A.3.3 Example 3



Included in the Information Element Criticality Diagnostics IE:

- a) IE ID IE
- b) Repetition Number IE

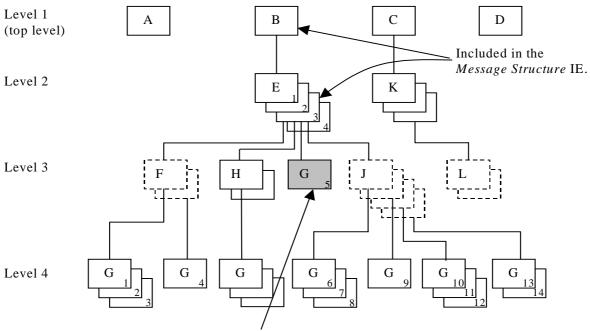
Figure A.4: Example of a received SABP message containing a not comprehended IE

If there is an error within the instance marked as grey in the IE G in the IE H shown in the figure A.4, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	Comment		
IE Criticality	ignore	Criticality for IE on the reported level, i.e. level 4.		
	and			
	notify			
IE ID	id-G	IE ID from the reported level, i.e. level 4.		
Repetition	2	Repetition number on the reported level, i.e. level 4.		
Number				
Type of Error	not			
	underst			
	ood			
Message Structur	e, first repe	etition		
>IE ID	id-B	IE ID from level 1.		
Message Structur	e, second	repetition		
>IE ID	id-E	IE ID from level 2.		
>Repetition	3	Repetition number from level 2.		
Number				
Message Structure, third repetition				
>IE ID	id-H	IE ID from the lowest level above the reported level, i.e. level 3.		
>Repetition	1	Repetition number from the lowest level above the reported level, i.e. level 3.		
Number				

Note 5. The repetition number of level 4 indicates the number of repetitions of IE G received up to the detected erroneous repetition, counted below the same instance of the previous level with assigned criticality (instance 1 of IE H on level 3).

# A.3.4 Example 4



Included in the Information Element Criticality Diagnostics IE:

- a) IE ID IE
- b) Repetition Number IE

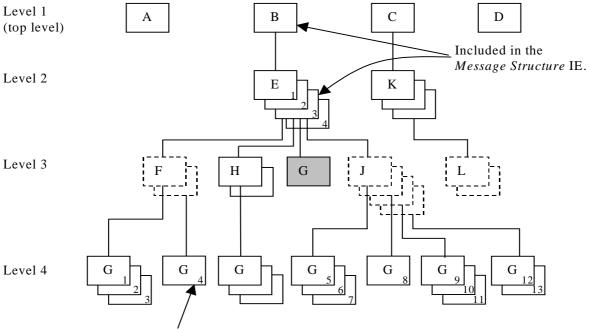
Figure A.5: Example of a received SABP message containing a not comprehended IE

If there is an error within the instance marked as grey in the IE G in the IE E shown in the figure A.5, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	Comment
IE Criticality	reject	Criticality for IE on the reported level, i.e. level 3.
IE ID	id-G	IE ID from the reported level, i.e. level 3.
Repetition Number	5	Repetition number on the reported level, i.e. level 3. (Since the IE E (level 2) is the lowest level included in the <i>Message Structure</i> IE this is the fifth occurrence of IE G within the IE E (level 2).
Type of Error	not underst ood	
Message Structur	e, first repe	etition
>IE ID	id-B	IE ID from level 1.
Message Structur	e, second	repetition
>IE ID	id-E	IE ID from the lowest level above the reported level, i.e. level 2.
>Repetition Number	3	Repetition number from the lowest level above the reported level, i.e. level 2.

Note 6. The repetition number of the reported IE indicates the number of repetitions of IE G received up to the detected erroneous repetition, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).

# A.3.5 Example 5



Included in the Information Element Criticality Diagnostics IE:

- a) IE ID IE
- b) Repetition Number IE

Figure A.6: Example of a received SABP message with a missing IE

If the instance marked as grey in the IE G in the IE E shown in the figure A.6, is missing this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	Comment
IE Criticality	reject	Criticality for IE on the reported level, i.e. level 3.
IE ID	id-G	IE ID from the reported level, i.e. level 3.
Repetition	4	Repetition number up to the missing IE on the reported level, i.e. level 3.
Number		(Since the IE E (level 2) is the lowest level included in the Message Structure IE there
		have been four occurrences of IE G within the IE E (level 2) up to the missing
		occurrence.
Type of Error	missing	
Message Structur	e, first repe	etition
>IE ID	id-B	IE ID from level 1.
Message Structur	e, second	repetition
>IE ID	id-E	IE ID from the lowest level above the reported level, i.e. level 2.
>Repetition	3	Repetition number from the lowest level above the reported level, i.e. level 2.
Number		

Note 7. The repetition number of the reported IE indicates the number of repetitions of IE G received up to but not including the missing occurrence, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).

# A.4 ASN.1 of EXAMPLE MESSAGE

```
ExampleMessage ::= SEQUENCE {
   ProtocolIEs ProtocolIE-Container {{ExampleMessage-IEs}},
ProtocolExtensions ProtocolExtensionContainer {{ExampleMessage-Extensions}} OPTIONAL,
}
ExampleMessage-IEs SABP-PROTOCOL-IES ::= {
   { ID id-A CRITICALITY reject TYPE A PRESENCE mandatory} { ID id-B CRITICALITY reject TYPE B PRESENCE mandatory}
    }
B ::= SEQUENCE {
                  E-List,
   iE-Extensions ProtocolExtensionContainer { {B-ExtIEs} } OPTIONAL,
B-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
E-List ::= SEQUENCE (SIZE (1..maxE)) OF ProtocolIE-Container { {E-IEs} }
E-IES SABP-PROTOCOL-IES ::= {
   { ID id-E CRITICALITY ignore TYPE E PRESENCE mandatory },
}
E ::= SEQUENCE {
   f
                   F-List,
   h
                   H-List,
                  G-List1,
                   J-List,
   \verb|iE-Extensions| & \verb|ProtocolExtensionContainer| \{ & \verb|E-ExtIEs| | \} & \verb|OPTIONAL|, \\
E-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
F-List ::= SEQUENCE (SIZE (1..maxF)) OF F
F ::= SEQUENCE {
                   G-List2 OPTIONAL,
   iE-Extensions ProtocolExtensionContainer { {F-ExtIEs} } OPTIONAL,
F-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
G-List2 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G2-IEs} }
G2-IES SABP-PROTOCOL-IES ::= {
   H-List ::= SEQUENCE (SIZE (1..maxH)) OF ProtocolIE-Container { {H-IEs} }
H-IES SABP-PROTOCOL-IES ::= {
   }
H ::= SEQUENCE {
                   G-List3 OPTIONAL,
                                   {\tt ProtocolExtensionContainer~\{~\{H-\tt ExtIEs\}~\}~OPTIONAL},\\
   iE-Extensions
```

```
H-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
G-List3 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G3-IEs} }
G3-IES SABP-PROTOCOL-IES ::= {
  { ID id-G CRITICALITY notify TYPE G PRESENCE mandatory },
G-List1 ::= ProtocolIE-Container { G1-IEs} }
G1-IES SABP-PROTOCOL-IES ::= {
   J-List ::= SEQUENCE (SIZE (1..maxJ)) OF J
J ::= SEQUENCE {
                  G-List 4 OPTIONAL.
   iE-Extensions ProtocolExtensionContainer { {J-ExtIEs} } OPTIONAL,
J-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
G-List4 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container \{ [G4-IEs\} \}
G4-IES SABP-PROTOCOL-IES ::= {
  { ID id-G CRITICALITY reject TYPE G PRESENCE mandatory },
}
C ::= SEQUENCE {
                 K-List.
   \verb|iE-Extensions| & ProtocolExtensionContainer { \{C-ExtIEs\} } & OPTIONAL, \\
C-ExtIEsA -PROTOCOL-EXTENSION ::= {
K-List ::= SEQUENCE (SIZE (1..maxK)) OF ProtocolIE-Container { K-IEs} }
K-IES SABP-PROTOCOL-IES ::= {
   { ID id-K CRITICALITY notify TYPE K PRESENCE mandatory },
}
K ::= SEQUENCE {
                   L-List,
   iE-Extensions ProtocolExtensionContainer { {K-ExtIEs} } OPTIONAL,
K-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
L-List ::= SEQUENCE (SIZE (1..maxL)) OF L
L ::= SEQUENCE {
                  M OPTIONAL,
   \verb|iE-Extensions| & \verb|ProtocolExtensionContainer| & \verb|\{L-ExtIEs\}| & \verb|OPTIONAL|, \\
}
L-ExtIEs SABP-PROTOCOL-EXTENSION ::= {
```

```
ExampleMessage-Extensions SABP-PROTOCOL-EXTENSION ::= {  \dots \\ \}
```

# Annex B (informative): Change history

Change history									
TSG RAN#	Version	CR	Tdoc RAN	New Version	Subject/Comment				
RAN_07	-	-	-	3.0.0	Approved at TSG RAN #7 and placed under Change Control				
RAN_08	3.0.0	-	RP-000239	3.1.0	Approved at TSG RAN #8				
RAN_09	3.1.0	011 012 014 015 016 017 018 019 020 021 022 023	RP-000377	3.2.0	Approved at TSG RAN #9				
RAN_10	3.2.0	024 025 026 027 028 029	RP-000616	3.3.0	Approved at TSG RAN #10				
RAN_11	3.3.0	030 031 032 033 034	RP-010114	3.4.0	Approved at TSG RAN #11				

	Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New	
March 01	11	-	-		Approved at TSG RAN #11 and placed under Change Control	-	4.0.0	
06/2001	12	RP-010377	036, 038,		Approved at TSG RAN #12	4.0.0	4.1.0	
			040, 042, 043,					
			043, 044, 048,					
			050					
09/2001	13	RP-010582	051	1	SABP criticality	4.1.0	4.2.0	
09/2001	13	RP-010582	052	1	Correction to the Error handling of the ERROR INDICATION message	4.1.0	4.2.0	
09/2001	13	RP-010582	056	1	Error handling of the Erroneously Present Conditional les	4.1.0	4.2.0	
09/2001	13	RP-010582	058	1	Clarification of chapter 10	4.1.0	4.2.0	
09/2001	13	RP-010582	060	1	SABP General Corrections	4.1.0	4.2.0	
09/2001	13	RP-010582	062	2	Clarification of the usage of the Number of Broadcasts Requested IE	4.1.0	4.2.0	
09/2001	13	RP-010582	064	1	Clarification of the usage of the SABP Reset Procedure	4.1.0	4.2.0	
09/2001	13	RP-010582	066	2	Clarification of the usage of the Service Areas List IE within the Reset Procedure	4.1.0	4.2.0	
12/2001	14	RP-010852	068	1	SAI Clarification	4.2.0	4.3.0	
12/2001	14	RP-010852	070		Bitstrings ordering	4.2.0	4.3.0	
12/2001	14	RP-010852	072		Procedure Code Criticality in Error Indication	4.2.0	4.3.0	
12/2001	14	RP-010852	074	2	Addition of amendment to clarify the PER encoding of bitstrings	4.2.0	4.3.0	
12/2001	14	RP-010852	076		Section 9.2.0 missing	4.2.0	4.3.0	
12/2001	14	RP-010852	078	2	CR on 25.419 (R4) Usage of "Number of Broadcasts Completed List' IE	4.2.0	4.3.0	
12/2001	14	RP-010852	080		Correction the Clause 10 Error Handling	4.2.0	4.3.0	
03/2002	15	RP-020167	082		Correction of the value Default in Category IE	4.3.0	4.4.0	
03/2002	15	RP-020167	084	1	Correction of the wording of maximum value	4.3.0	4.4.0	
03/2002	15	RP-020167	086		Service expected from the transport layer	4.3.0	4.4.0	
03/2002	15	RP-020167	088	1	ASN.1 take precedence if contradiction between ASN.1 and tabular	4.3.0	4.4.0	

03/2002	15	RP-020167	090	1	Mismatch the type of some IE between 24.419 and 25.324	4.3.0	4.4.0
03/2002	15	RP-020167	092		Correction of the usage of Write-Replace Failure message	4.3.0	4.4.0
03/2002	15	RP-020167	094		Error Indication correction	4.3.0	4.4.0
03/2002	15	-	-		Approved at TSG RAN #15 and placed under Change Control	-	5.0.0
06/2002	16	RP-020404	097		Criticality Information Decoding Failure Handling	5.0.0	5.1.0
06/2002	16	RP-020404	100	1	Clarification for the usage of the cause value	5.0.0	5.1.0
06/2002	16	RP-020404	103		SABP: WRITE-REPLACE Procedure Clarification	5.0.0	5.1.0
09/2002	17	RP-020602	106	1	Correction to ASN.1 coding: criticality information missing	5.1.0	5.2.0
03/2003	19	RP-030057	108	1	Correction of Write and Replace functions	5.2.0	5.3.0
06/2003	20	RP-030315	112	1	Correction of Kill Unsuccessful Outcome	5.3.0	5.4.0
06/2003	20	RP-030326	117		Correction of Failure message used for logical errors	5.3.0	5.4.0
09/2003	21	RP-030445	118		Alignment of title and sub-clause text of chapter 10.3.4.2	5.4.0	5.5.0
09/2003	21	RP-030446	119	1	Removal of the note in chapter 10	5.4.0	5.5.0
09/2003	21	RP-030438	124	2	Correction of number of broadcast to be reported	5.4.0	5.5.0
12/2003	22	RP-030673	130		Correction of finite number of broadcast	5.5.0	5.6.0
03/2004	23	RP-040063	131	1	Correction to 25.419 for Broadcast Message Content IE	5.6.0	5.7.0

# History

Document history						
V5.0.0	March 2002	Publication				
V5.1.0	June 2002	Publication				
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V5.7.0	March 2004	Publication				