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*Technical Specification*

**Universal Mobile Telecommunications System (UMTS);  
Technical Specification Group Radio  
Access Network (UTRAN);  
UTRAN Architecture for 3G HNB  
(3GPP TS 25.467 version 8.0.0 Release 8)**

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# Contents

Intellectual Property Rights .....	2
Foreword.....	2
Foreword.....	4
1 Scope .....	5
2 References .....	5
3 Definitions, symbols and abbreviations .....	5
3.1 Definitions .....	5
3.2 Abbreviations .....	5
4 Overall architecture .....	6
4.1 General .....	6
4.1.1 HNB Management System (HMS).....	6
4.1.2 Security Gateway (SeGW).....	6
4.1.3 HNB Gateway (HNB-GW).....	6
4.1.4 HNB.....	7
4.2 Functional split.....	7
5 UTRAN functions for HNB access .....	9
5.1 UE Registration .....	9
5.1.1 General.....	9
5.1.2 UE Registration for pre Rel-8 UEs .....	9
5.1.3 UE Registration for Rel-8 UEs .....	10
5.2 HNB Registration .....	12
5.2.1 General.....	12
5.2.2 HNB Registration procedure.....	12
5.3 HNB-GW Discovery Function .....	13
5.3.1 General.....	13
5.4 HNB de-registration Function .....	13
5.4.1 General.....	13
6 Requirements for O&M.....	13
6.1 O&M for HNB .....	13
6.1.1 Provisioning Procedure for HNB .....	13
6.1.2 Location Verification.....	14
6.1.2.1 General .....	14
6.1.2.2 Macro-cell Information .....	14
6.1.2.2.1 General .....	14
6.1.2.2.2 UTRAN Cell Information.....	14
6.1.2.2.3 GSM Cell Information.....	15
6.1.2.3 GNSS Location Information .....	15
6.1.2.4 Broadband Connection Information.....	15
6.1.3 HNB-GW Discovery.....	15
6.1.4 HNB Provisioning .....	16
6.1.4.1 General .....	16
6.1.4.2 CN Level Parameters .....	16
6.1.4.3 RAN Level Parameters .....	18
6.1.4.4 RF Level Parameters .....	19
6.2 O&M for HNB GW.....	19
7 Iuh interface protocol structure .....	20
7.1 General .....	20
7.2 Iuh .....	20
<b>Annex A (informative): Change history .....</b>	<b>22</b>
History .....	23

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# 1 Scope

The present document specifies the UTRAN architecture for 3G Home NodeB (HNB).

It covers specification of the functions for UEs not supporting Closed Subscriber Groups (CSG) (i.e. pre-Rel-8 UEs) and UEs supporting CSGs. It also covers HNB specific requirements for O&M.

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 25.468: "UTRAN Iuh Interface RUA signalling".
- [3] 3GPP TS 25.469: "UTRAN Iuh Interface HNBAP signalling".
- [4] 3GPP TS 25.401: "UTRAN overall description".
- [5] 3GPP TS 25.410: "UTRAN Iu Interface: general aspects and principles".
- [6] IETF RFC 4960 (September 2007): "Stream Control Transmission Protocol".

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# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Home NodeB, 3G Home NodeB:** These terms, their derivations and abbreviations are used synonymously throughout this document.

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

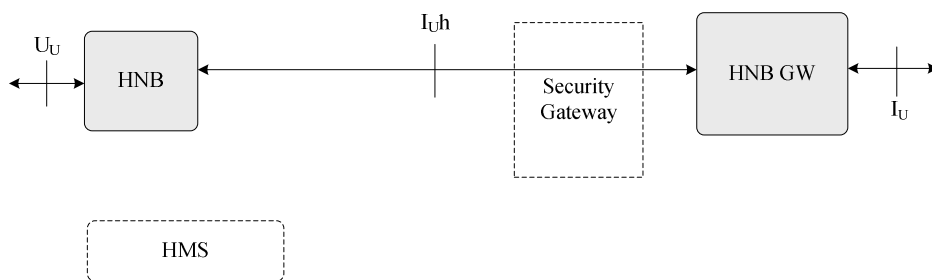
CSG	Closed Subscriber Group
GNSS	Global Navigation Satellite System
GPS	Global Positioning System
HMS	Home NodeB Management System
HNB	3G Home NodeB
HNB GW	3G HNB Gateway

## 4 Overall architecture

### 4.1 General

The overall UMTS architecture and UTRAN architectures are described in 25.401 and 25.410. For clarity and ease of understanding, at appropriate places references to TR-069 and associated methods are described briefly although they are beyond the scope of this specification.

The reference model shown in Figure 4.1-1 below contains the network elements that make up the HNB access network. There is one-to-many relationship between HNB-GW and HNB(s).



**Figure 4.1-1. Iuh reference model.**

The HNB GW serves the purpose of a RNC presenting itself to the CN as a concentrator of HNB connections. The Iu interface between the CN and the HNB-GW serves the same purpose as the interface between the CN and a RNC.

Note: The Security gateway is a logically separated entity and may be implemented either as a separate physical element or integrated into, for example, a HNB-GW.

The HNB access network includes the functional entities as shown in Figure 4.1-1 and detailed below.

#### 4.1.1 HNB Management System (HMS)

- Based on TR-069 family of standards
- Facilitates HNB-GW discovery
- Provisions configuration data to the HNB
- Performs Location verification of HNB and assigns appropriate serving elements (HMS, Security Gateway and HNB-GW).

#### 4.1.2 Security Gateway (SeGW)

- Terminates Secure tunnelling for TR-069 as well as Iuh
- Authentication of HNB
- Provides access to HMS and HNB-GW

#### 4.1.3 HNB Gateway (HNB-GW)

- Terminates Iuh from HNB. Appears as a RNC to the existing Core network using existing Iu interface.
- Provides service to the HNB

## 4.1.4 HNB

- Customer Premise Equipment that offers the Uu Interface to the UE
- Provides the RAN connectivity using the Iuh interface
- Supports RNC like functions, the details of which are captured in table 4.2-1 below
- Supports HNB registration and UE registration over Iuh.

## 4.2 Functional split

The UTRAN functions in the HNB are supported by RANAP, whereas the HNB specific functions are supported by Home NodeB Application Protocol (HNBAP) between the HNB and the HNB GW. The HNB GW provides concentration function for the control plane and may provide concentration function for the user plane.

This sub-clause defines the functional split between the core network and the UMTS radio access network. The functional split is shown in table 4.2-1 and 4.2-2

**Table 4.2-1. Functional split for UTRAN function in the HNB access.**

Function	HNB	HNB GW	CN
<b>RAB management functions:</b>			
RAB establishment, modification and release	X	FFS	X
RAB characteristics mapping I <sub>u</sub> transmission bearers	X	X	
RAB characteristics mapping U <sub>u</sub> bearers	X		
RAB queuing, pre-emption and priority	X		X
<b>Radio Resource Management functions:</b>			
Radio Resource admission control	X		
Broadcast Information	X		X
<b>Iu link Management functions:</b>			
Iu signalling link management	X	X	X
ATM VC management		X	X
AAL2 establish and release		X	X
AAL5 management		X	X
GTP-U Tunnels management	X	X	X
TCP Management	X (FFS)	(X) <sup>Note 1</sup>	X
Buffer Management	X	X	
<b>Iu U-plane (RNL) Management:</b>			
Iu U-plane frame protocol management			X
Iu U-plane frame protocol initialization	X		
<b>Mobility management functions:</b>			
Location information reporting	X		X
Handover and Relocation			
Inter RNC hard HO, Iur not used or not available	X	FFS <sup>Note 4</sup>	X
Serving RNS Relocation (intra/inter MSC)	X (FFS)		X
Inter system hard HO (UMTS-GSM)	X	FFS <sup>Note 4</sup>	X
Inter system Change (UMTS-GSM)	X	FFS	X
Paging Triggering	X		X
GERAN System Information Retrieval	X		X



Function	HNB	HNB GW	CN
<b>Security Functions:</b>			
Data confidentiality			
Radio interface ciphering	X		
Ciphering key management			X
User identity confidentiality	X		X
Data integrity			
Integrity checking	X		
Integrity key management			X
<b>Service and Network Access functions:</b>			
CN Signalling data	X		X
Data Volume Reporting	X		
UE Tracing	X		X
Location reporting	X	FFS <sup>Note 3</sup>	X
<b>Iu Co-ordination functions:</b>			
Paging co-ordination	X		X
NAS Node Selection Function		X	
MOCN Rerouting Function	FFS	X	X
<b>HNB Registration</b> <sup>Note 2</sup>			
HNB Registration Function	X	X	
HNB-GW Discovery Function	X		
HNB de-registration Function	X	X	
<b>UE Registration for HNB</b> <sup>Note 2</sup>			
UE Registration Function for HNB	X	X	
UE de-registration Function for HNB	X	X	
<b>Iuh user-plane Management functions</b>			
Iuh User plane transport bearer handling	X	X	

Note 1: If TCP is terminated for Iu-BC in the HNB GW

Note 2: Protocol support for this group of functions is provided by the HNB Application Protocol.

Note 3: Whether it is possible (and may be necessary) to provide location information from the HNB GW (e.g. GW may have logic to derive location based on the public IP address of the HNB GW, etc). is FFS

Note 4: Support for relocation from the macro network to HNB is FFS.

**Table 4.2-2. Functional split for HNB function in the HNB access.**

Function	HNB	HNB GW
<b>HNB Registration</b> <sup>Note 1</sup>		
HNB Registration Function	X	X
HNB-GW Discovery Function	X	
HNB de-registration Function	X	X
<b>UE Registration for HNB</b> <sup>Note 1</sup>		
UE Registration Function for HNB	X	X
UE de-registration Function for HNB	X	X
<b>Iuh user-plane Management functions</b>		
Iuh User plane transport bearer handling	X	X

Note 1: Protocol support for this group of functions is provided by the HNB Application Protocol.

## 5 UTRAN functions for HNB access

### 5.1 UE Registration

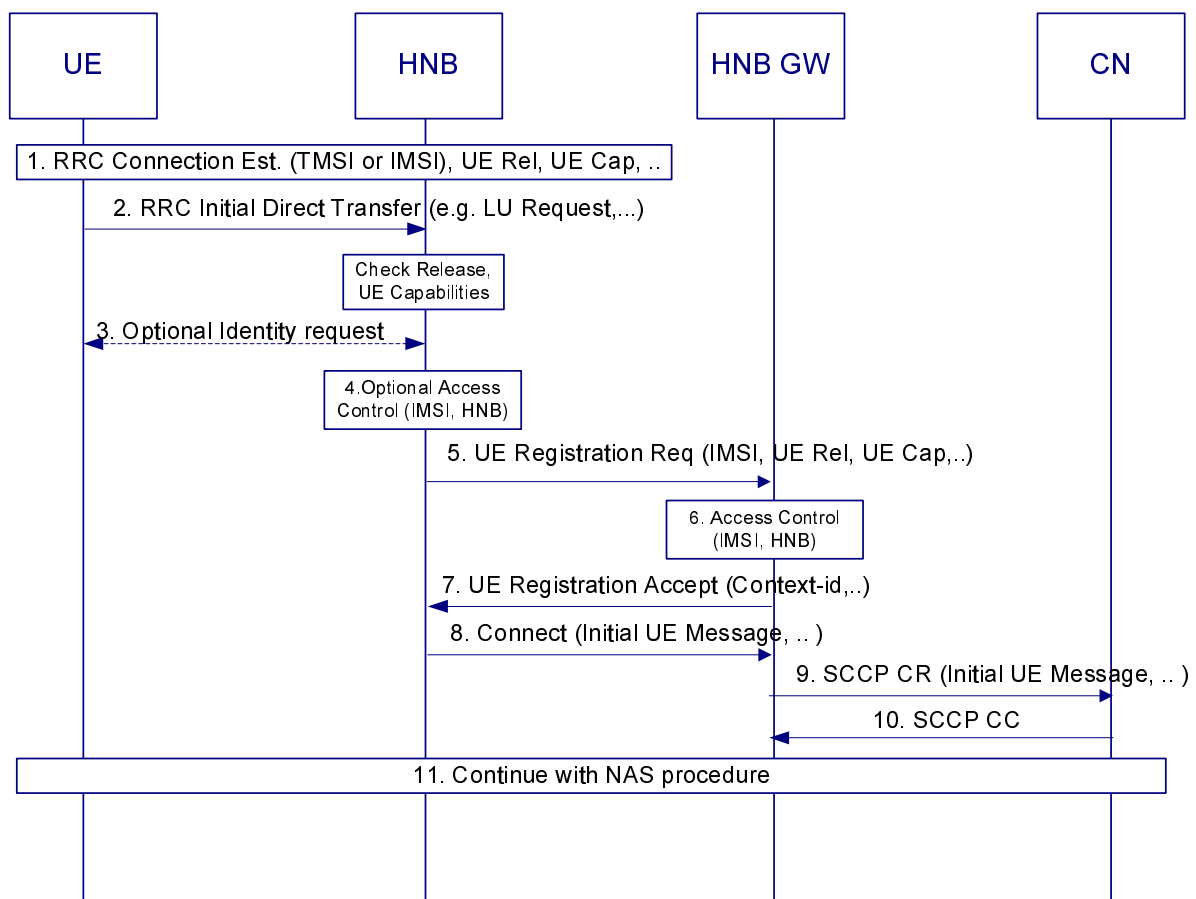
#### 5.1.1 General

The UE Registration Function for HNB provides means for the HNB to convey UE identification data to the HNB-GW in order to perform access control for the UE in the HNB GW. The UE Registration also informs the HNB-GW of the specific HNB where the UE is located.

The following sections illustrate the case when the HNB registers a specific UE with the HNB-GW. The registration is triggered when the UE attempts to access the HNB via an initial NAS message (e.g., Location Updating Request) and there is no context in the HNB allocated for that UE.

#### 5.1.2 UE Registration for pre Rel-8 UEs

Precondition is no support in UE or HNB for Closed Subscriber Group (CSG).



**Figure 5.1.2-1. UE Registration for pre Rel-8 UEs.**

1. Upon camping on the HNB, the UE initiates an initial NAS procedure (e.g. LU Procedure) by establishing an RRC connection with the HNB. UE capabilities, e.g. "Access stratum release indicator" or "UE feature capability indicator" (FFS), are reported to the HNB as part of the RRC Connection establishment procedure (step 1).
2. The UE then transmits a RRC Initial Direct Transfer message carrying the initial NAS message (e.g. Location Updating Request message) with some form of identity (IMSI or TMSI, ..).

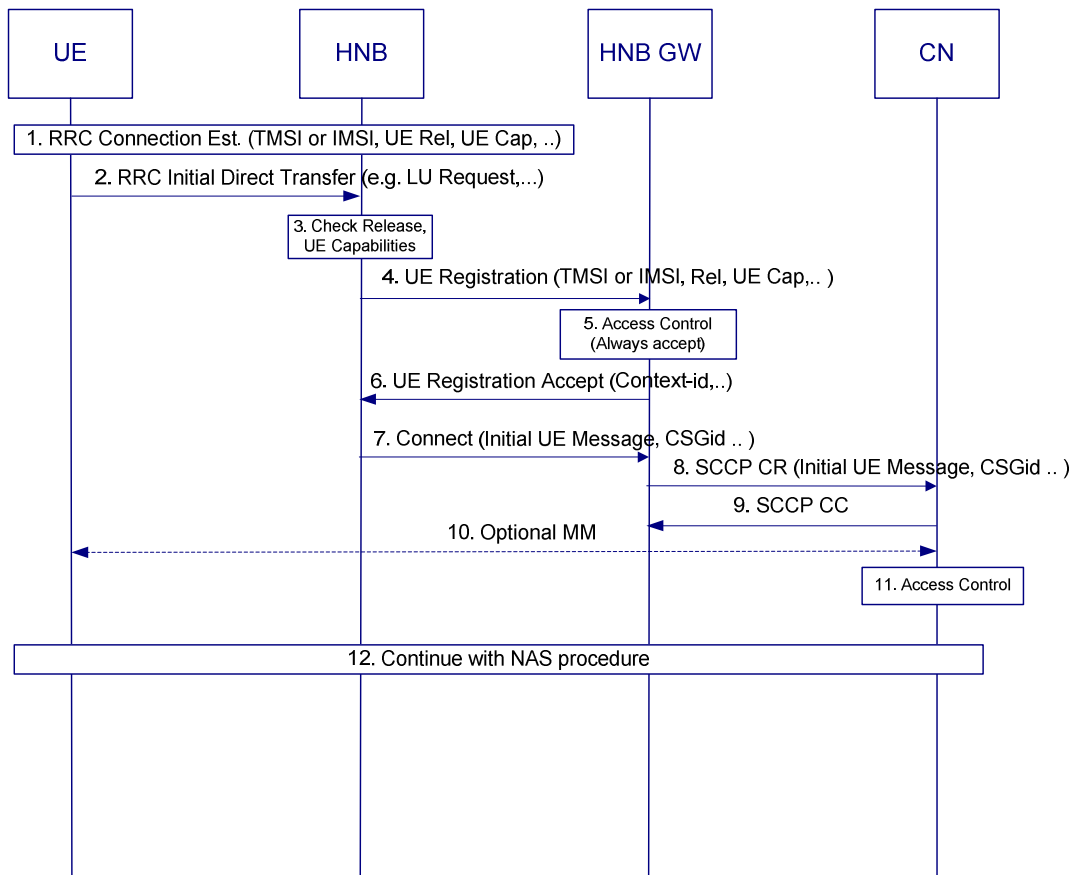
3. The HNB checks UE capabilities provided in step 1, if these indicate that CSG is not supported and if the identity of the UE (provided during RRC Connection Establishment) is unknown at the HNB being accessed, i.e. no Context id exists for the UE, the HNB initiates UE registration towards HNB-GW (step 5-7). Before starting the UE Registration procedure, HNB triggers the Identification procedure (step 3) asking for the UE IMSI, if such identity is not provided during the RRC Connection Establishment. If the HNB has a context id for the UE, the UE registration procedure is not performed nor the Identification procedure.
4. The HNB may optionally perform access control based on IMSI and provided access control list
5. The HNB attempts to register the UE on the HNB-GW by transmitting the UE REGISTER REQUEST. The message contains at a minimum:
  - **UE Identity:** IMSI of the (U)SIM associated with the UE and the indication about UE capabilities provided in step 1.

Note: The UE IMSI provided in the UE REGISTER message is unauthenticated.

6. The HNB-GW checks UE capabilities and if these indicate that CSG is not supported the HNB-GW shall perform access control for the particular UE attempting to utilize the specific HNB.
7. If the HNB-GW accepts the UE registration attempt it shall allocate a context-id for the UE and respond with a UE REGISTER ACCEPT message, including the context-id, to the HNB. If the HNB-GW chooses to not accept the incoming UE registration request then the HNB-GW shall respond with a UE REGISTRATION REJECT message. The HNB behaviour for reject handling shall further be determined by the cause specified in the UE REGISTER REJECT message (FFS).
8. The HNB then sends a CONNECT message containing the RANAP Initial UE message.
9. The reception of the CONNECT message at the HNB-GW triggers the setup of SCCP connection by the HNB-GW towards the CN. HNB-GW forwards the Initial UE Message.
10. The CN response with a SCCP Connection Confirm message.
11. The UE then continue with the NAS procedure (e.g. Location Updating procedure) towards the CN, via HNB and the HNB-GW.

### 5.1.3 UE Registration for Rel-8 UEs

Precondition is both UE and HNB support for Closed Subscriber Group (CSG).



**Figure 5.1.3-1. UE Registration for Rel-8 UEs.**

1. Upon camping on the HNB, the UE initiates an initial NAS procedure (e.g. LU Procedure) by establishing an RRC connection with the HNB. UE capabilities, e.g. "Access stratum release indicator" or "UE feature capability indicator" (FFS), are reported to the HNB as part of the RRC Connection establishment procedure (step 1).
2. The UE then transmits a RRC Initial Direct Transfer message carrying the initial NAS message (e.g. Location Updating Request message) with some form of identity (e.g. IMSI or TMSI, ..).
3. The HNB checks UE capabilities provided in step 1, if these indicate that CSG is supported and if the identity of the UE (provided during RRC Connection Establishment) is unknown at the HNB being accessed, i.e. no Context id exist for the UE, the HNB initiate UE registration towards HNB-GW (step 4-6). If HNB has a context id for UE, UE registration procedure is not performed. No Identification procedure is triggered, independent on the identity reported by the UE during the RRC Connection Establishment.
4. The HNB attempts to register the UE on the HNB-GW by transmitting the UE REGISTER REQUEST. The message contains at a minimum:
  - **UE Identity:** IMSI or TMSI of the (U)SIM associated with the UE and the indication about UE capabilities provided in step 1.

Note: The UE IMSI/TMSI provided in the UE REGISTER message is unauthenticated.
5. The HNB-GW checks UE capabilities and if these indicate that CSG is supported the HNB-GW shall accept the UE registration and allocate a context-id for the UE.
6. HNB-GW respond with a UE REGISTER ACCEPT message back to the HNB including a context-id allocated to the UE
7. The HNB then sends a CONNECT message containing the RANAP Initial UE message.
8. The reception of the CONNECT message at the HNB-GW triggers the setup of SCCP connection by the HNB-GW towards the CN. HNB-GW forwards the Initial UE Message and the CSG id (FFS) of HNB.

Note: The details of how to provide CSG id to CN are FFS

9. The CN response with a SCCP Connection Confirm message.
10. The CN may optionally perform Mobility Management procedures, e.g. Authentication procedure.
11. The CN performs access control of UE.
12. After granted access the UE then continue with the NAS procedure (e.g. Location Updating procedure) towards the CN, via HNB and the HNB-GW.

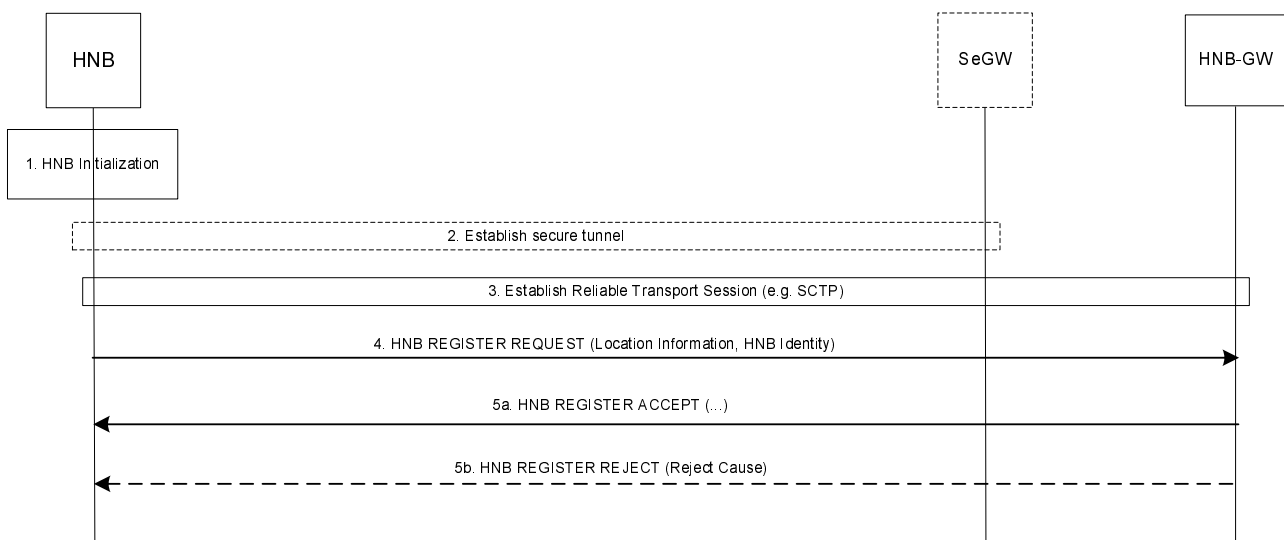
## 5.2 HNB Registration

### 5.2.1 General

The following section illustrates the case when the HNB registers with the HNB-GW. The HNB registration procedure serves the following purposes:

- It informs the HNB-GW that a HNB is now available at a particular IP address.

### 5.2.2 HNB Registration procedure



**Figure 5.2.2-1. HNB Registration procedure.**

1. HNB initialization is performed to obtain HNB configuration from the HNB Management System (HMS). Similarly, HNB-GW discovery is performed to obtain the initial serving HNB-GW information.

2. The HNB establishes a secure tunnel to the SeGW of the serving HNB-GW.

Note: This step may be omitted if a secure tunnel happens to be the same tunnel that is already established to contact the HMS.

3. The HNB sets up a SCTP transport session to a well-defined port on the serving HNB-GW.

4. The HNB then attempts to register with the serving HNB-GW using a HNB REGISTER REQUEST message. The message contains:

- a. **HNB Location Information:** The HNB provides location information via use of one or more of the following mechanisms:
  - i. detected macro coverage information (e.g. GERAN and/or UTRAN cell information)

- ii. geographical co-ordinates (e.g. via use of GPS, etc)
  - iii. Internet connectivity information (e.g. IP address).
- b. **HNB Identity**: the HNB has a globally unique and permanent identity.
  - c. **HNB Operating Parameters**: Such as the selected LAC, RAC, SAC, etc.
- 5a. The HNB-GW may use the information from the HNB REGISTER REQUEST message to perform access control of the HNB (e.g. whether a particular HNB is allowed to operate in a given location, etc). If the HNB-GW accepts the registration attempt it shall respond with a HNB REGISTER ACCEPT message.
- 5b. Alternatively, the HNB-GW may reject the registration request (e.g. due to network congestion, blacklisted HNB, unauthorized HNB location, etc). In this case, the HNB-GW shall respond with a HNB REGISTER REJECT indicating the reject cause.

Note: The HNB shall start broadcasting only after successful registration with the HNB-GW.

## 5.3 HNB-GW Discovery Function

### 5.3.1 General

The HNB-GW Discovery Function provides the means to determine the address of the Serving HNB-GW for a particular HNB. The HNB will use the Serving HNB GW address to register with the Serving HNB-GW.

## 5.4 HNB de-registration Function

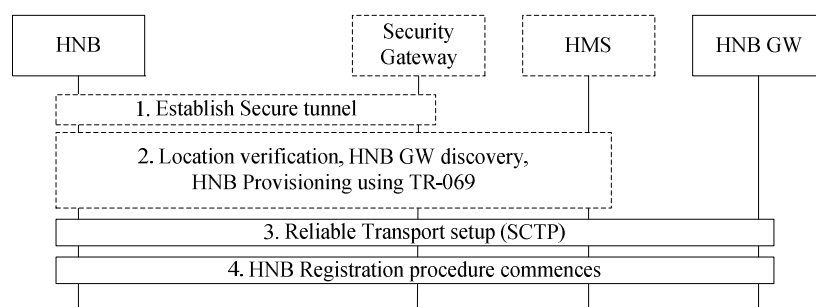
### 5.4.1 General

*Editors Note: To be added.*

# 6 Requirements for O&M

## 6.1 O&M for HNB

### 6.1.1 Provisioning Procedure for HNB



**Figure 6.1.1-1. Provisioning procedure for HNB.**

1. A secure tunnel is established from the HNB to the Security gateway.
2. Location verification shall be performed by the HMS based on information sent by the HNB (e.g. macro neighbour cell scans, global navigational satellite system type of information etc.). HMS determines the serving elements and provides the HNB GW, HMS and Security Gateway to the HNB. The HMS also provisions configuration parameters to the HNB only after successful location verification in the HMS.

Note: Steps 3 & 4 are shown only for completeness. Security Gateway and HMS are shown to highlight the general architecture.

Note: In the event information required for verifying location are not available (for example, no macro neighbour cells, no GNSS, no DSL line ID etc. available), HNB GW discovery may be based on specific operator and/or regulatory policies.

## 6.1.2 Location Verification

### 6.1.2.1 General

During the location verification, HNB reports its location information to the HMS. HMS in turn examines the provided information and verifies HNB's location. There are 3 possible types of information for this purpose:

1. Macrocell Information
2. GNSS location information
3. Broadband connection information

Note: Not all of this information is mandatory. In fact, the type of reported information is based on factors such as physical environmental condition the HNB is installed and/or possible variations in the HNB's HW and SW implementation.

### 6.1.2.2 Macro-cell Information

#### 6.1.2.2.1 General

The HNB is expected to have a radio environment measurement capability. This includes capturing the following type of information from the surrounding environment.

- a) UTRAN cell information
  - RF level information
  - Broadcast information
- b) GSM cell information
  - RF level information
  - Broadcast information

#### 6.1.2.2.2 UTRAN Cell Information

The information in the following table is reported by the HNB to the HMS for each UTRAN cell detected.

**Table 6.1.2.2.-1. UTRAN Cell Information.**

Information		Description / Note	Presence	3GPP Reference
RF information	UARFCNDL	UARFCN (DL)	M	25.104, sec.5.4 32.642 sec. 6.3.11
	CPICHRSCP	RSCP of CPICH	M	
	PSC	Primary Scrambling Code	M	32.642 sec. 6.3.11
Broadcast information	PLMN Type	« GSM-MAP » or « ANSI-41 »	M	25.331, sec.10.3.1.12
	MCC	Mobile Country Code	M	24.008 32.642 sec. 6.3.10
	MNC	Mobile Network Code	M	24.008 32.642 sec. 6.3.10
	LAC	Location Area Code	M	24.008, sec.10.5.1.3 32.642 sec. 6.3.10
	RAC	Routing Area Code	M	24.008, sec.10.5.1.12.3 25.413, sec.9.2.3.7 32.642 sec. 6.3.10
	CellID	Cell ID	M	25.331, sec.10.3.2.2
	CSG Cell Info	<detail TBD per Rel.8 RRC spec>	O	Applicable to Rel.8 compliant cell only.

### 6.1.2.2.3 GSM Cell Information

The information in the following table is reported by the HNB to the HMS for each GSM cell detected.

**Table 6.1.2.2.3. GSM Cell Information.**

Information		Description / Note	Presence	3GPP Reference
RF information	ARFCN	Channel number	M	32.652 sec. 6.3.5
	BCCHRSSI	RSSI of the BCCH carrier.	M	32.652 sec. 6.3.5
	BSIC	Base Station ID Code	M	32.652 sec. 6.3.5
Broadcast Information	MCC	Mobile Country Code	M	32.652 sec. 6.3.5
	MNC	Mobile Network Code	M	32.652 sec. 6.3.5
	LAC	Location Area Code	M	32.652 sec. 6.3.5
	RAC	Routing Area Code	M	32.652 sec. 6.3.5
	CellID	Cell ID	M	32.652 sec. 6.3.5

### 6.1.2.3 GNSS Location Information

This information consists of, at minimum, latitude and longitude detected by the GNSS receiver (e.g. GPS receiver), if the HNB implementation includes this functionality.

### 6.1.2.4 Broadband Connection Information

This information consists of the information associated with the broadband connection (e.g. DSL) the HNB is connected with: 1) public IP address assigned to the RGW (e.g. DSL-GW/router), and 2) line identifier to which the RGW is connected with (e.g. DSL line ID) as seen on the broadband service provider. These are applicable only when this information is available to the HNB.

### 6.1.3 HNB-GW Discovery

During the HNB-GW Discovery procedure, HMS provides the HNB with 3 identity information as shown in the following table. The information may be either IP address or FQDN to be resolved by DNS.



**Table 6.1.3. HNB-GW Discovery Information.**

Parameter	Description / Note	Presence	3GPP Reference
Serving HMS ID	One or more IDs may be provided	M	
Serving SeGW ID	One or more IDs may be provided	M	
Serving HNB-GW ID	One or more IDs may be provided	M	

## 6.1.4 HNB Provisioning

### 6.1.4.1 General

During the HNB Provisioning procedure, the HMS transfers the HNB configuration information to the HNB. This includes 3 types of parameters:

1. CN level parameters
2. RAN level parameters
3. RF level parameters

Note: The HNB may have auto-configuration capabilities, such that the HMS sends a list/range of values to the HNB, which selects (and returns to HMS) a single value, also based on the information collected measuring the radio environment. The HMS may also provide control parameters of the auto-configuration process.

### 6.1.4.2 CN Level Parameters

**Table 6.1.4.2. CN Level Parameters.**

Parameter	Description / Note	Presence	3GPP Reference
PLMN Type	"GSM-MAP" or "ANSI-41"	M	25.331, sec.10.3.1.12
MCC	Mobile Country Code	M	24.008 32.642 sec. 6.3.8
MNC	Mobile Network Code	M	24.008 32.642 sec. 6.3.8
LAC	Location Area Code (one or more LACs may be provided)	M (Note*1)	24.008, sec.10.5.1.3 32.642 sec. 6.3.9
SAC	Service Area Code	M	25.413, sec.9.2.3.9 32.642 sec. 6.3.9
T3212	Periodic LAU timer (CS domain)	M	24.008, sec.10.5.1.12.2
ATT	Attach-detach allowed (CS domain)	M	24.008, sec.10.5.1.12.2
RAC	Routing area code (PS domain) (one or more RACs may be provided)	M (Note*1)	24.008, sec.10.5.1.12.3 25.413, sec.9.2.3.7 32.642 sec. 6.3.9
NMO	Network Mode of Operation (Gs i/f)	M	24.008, sec.10.5.1.12.3
Equivalent PLMN ID	List of one or more equivalent PLMN ID (MCC + MNC)	O (Note*2)	24.008, sec.10.5.1.13
Allowed IMSI list	For access control purpose.	O (Note*3)	24.008, sec.10.5.1.4
CSG Cell Info	CSG Capability Indication, CSG Id, in case the Cell is CSG capable <any further detail FFS per Rel.8 RRC spec>	M	Applicable to Rel.8 compliant cell only.
HNB Location Information	Location information (Geographical coordinates, Uncertainty code)	O (FFS Note*4)	25.413, sec.9.2.3.11

Note (\*1): May be a list/range of values in case the HNB has auto-configuration capabilities.

Note (\*2): This information is operator-dependent based on its circumstance.

Note (\*3): ACL is an optional function at HNB. This information is provided if this function is enabled in the HNB.

Note (\*4): Due to possible regulatory requirements (future or current), this parameter may become mandatory.

## 6.1.4.3 RAN Level Parameters

Table 6.1.4.3-1. RAN Level Parameters.

Parameter		Description	Presence	3GPP Reference
RNCID for HNB		RNC ID used by HNB	M	32.642 sec. 6.3.8
Cell ID		28-bit "Cell ID" in SIB3	M	25.331, sec.10.3.2.2
HSPA related	HSflag	Whether HSDPA/HSUPA is used or not	O	32.642-820 32.642 sec. 6.3.9
HCS related	UseOfHCS HCSPrio QHCS		M	25.331, sec.10.3.7.47, 10.3.7.12 32.642 sec. 6.3.9
Cell selection / reselection related	Quality measure QqualMin QqualMin-offset QrxlevMin QrxlevMin-offset Sintrasearch Sintersearch SsearchRAT SsearchHCS Treselections UETxPwrMaxRACH QHyst1	CPICH Ec/No or RSCP if Ec/No is used  if RSCP is used	O (Note*1)	25.331, sec.10.3.2.3, 10.3.2.4 32.642 sec. 6.3.9 25.304
Intra Freq Measurement Related	Filter coefficient Measurement quantity for freq quality estimate Hysteresis for event 1x Threshold for event 1x TimetoTrigger for event 1x Weighting factor for event 1x Reporting Range Triggering Condition	Filter coefficient CPICH Ec/No, CPICH RSCP, or pathloss "x" in 1x includes applicable events from 1A to 1J	O (Note*1)	25.331 sec.10.3.7.38, 10.3.7.39
Inter-Freq Measurement Related	Filter coefficient Measurement quantity for freq quality estimate Hysteresis for event 2x Threshold for event 2x TimetoTrigger for event 2x Weighting factor for event 2x	Filter coefficient CPICH Ec/No, CPICH RSCP "x" in 2x includes applicable events from 2A to 2F	O (Note*1)	25.331 sec.10.3.7.18, 10.3.7.19
Inter-RAT Measurement Related	Filter coefficient BSIC verification required Hysteresis for event 3x Threshold for event 3x TimetoTrigger for event 3x Weighting factor for event 3x	Filter coefficient "required" / "not required" "x" in 3x includes applicable events from 3A to 3D	O (Note*1)	25.331 sec.10.3.7.29, 10.3.7.30
RRC related	N30x, N31x T30x, T31x, T320	RRC constants RRC timers	O (Note*1)	25.331, sec.10.3.3.43, 10.3.3.44
Neighbour list (UTRA Intra-Freq cell info list)	RNCID LAC RAC PSC	Defined for each intra-freq cells	O (Note*2)	32.642 sec. 6.3.10
Neighbour list (UTRA Inter-Freq cell info list)	RNCID LAC RAC UARFCN (DL) PSC	Defined for each inter-freq cells	O (Note*2)	32.642 sec. 6.3.10
Neighbour list (GERAN cell info list)	CellID BSIC BandIndicator BCCHARFCN	Defined for each inter-RAT cells (assume GSM cell only).	O (Note*2)	32.652 sec. 6.3.5

Note (\*1): Marked as optional based on the operator preference on the extent of provisioning that the HMS performs to the HNB vs. the level of autonomy that HNB has for auto-configuration. In case this IE is absent, default value is assumed (additional implication is that HNB has a set of default parameter values).

Note (\*2): Marked as optional due to several implications: 1) there may be no suitable neighbour cell available based on the RF scanning procedure described earlier, 2) based on operator deployment policy (e.g. dedicated RF channel for HNB layer vs. macro layer), and 3) operator preference on the extent of provisioning that the HMS performs to the HNB vs. the level of autonomy that HNB has for auto-configuration. Regarding 3) above, this may include capabilities such as the HMS to add or remove neighbour cells initially detected by the HNB during the radio environment scanning process, and the HNB to extend the received Neighbour list based on auto-configuration capabilities.

#### 6.1.4.4 RF Level Parameters

**Table 6.1.4.4. RF Level Parameters.**

Parameter	Description / Note	Presence	3GPP Reference
UARFCN (DL)	Frequency channel number (one or more UARFCNs may be provided)	O (Note*1)	25.101, sec.5.4, 25.104, sec.5.4, 32.642, sec.6.3.11
PSC	Primary scrambling code (one or more PSCs may be provided)	O (Note*1)	32.642, sec.6.3.11
MaxHNBTxPower	Maximum allowed Tx power of the HNB.	O (Note*1)	25.104, sec.6.2, 32.642, sec.6.3.9
MaxULTxPower	The parameter defines the maximum transmission power level a UE can use on PRACH.	O (Note*1)	25.101, sec.6.2, 32.642, sec.6.3.9
P-CPICHPower	Transmission power of Primary CPICH (DL config). This may be either a specific value or a range (min / max) of values.	O (Note*1)	32.642, sec.6.3.11
P-SCHPower	Primary SCH power offset (DL config)	O (Note*1)	32.642, sec.6.3.11
S-SCHPower	Secondary SCH power offset (DL config)	O (Note*1)	32.642, sec.6.3.11
BCHPower	BCH power offset (DL config)	O (Note*1)	32.642, sec.6.3.11
AICHPower	AICH power offset (DL config, BCCH info)	O (Note*1)	25.331, sec.10.3.6.3, 32.642, sec.6.3.11
PICHPower	PICH power offset (DL config, BCCH info)	O (Note*1)	25.331, sec.10.3.6.50, 32.642, sec.6.3.9
PCHPower	PCH power offset (DL config, BCCH info)	O (Note*1)	32.642, sec.6.3.9
FACHPower	FACH power offset (DL config, BCCH info)	O (Note*1)	32.642, sec.6.3.9

Note (\*1): Marked as optional based on the operator preference on the extent of provisioning that the HMS performs to the HNB vs. the level of autonomy that HNB has for auto-configuration. In case this IE is absent, it is assumed that the HNB will derive the suitable value based on its auto-configuration capability. In case this IE is a list/range of values, the HNB will choose a single value based on its auto-configuration capability. UARFCN UL may be automatically determined by the HNB upon UARFCN DL (basing on standard duplex configuration and country-specific spectrum allocation).

## 6.2 O&M for HNB GW

Editors Note: FFS

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## 7 Iuh interface protocol structure

### 7.1 General

Figure 7.2-1 shows  $I_{uh}$  the Control Plane as well as the User Plane protocol structure over the Iuh interface. For the control plane, the HNBAP protocol provides the signalling service between the HNB and the HNB-GW required to fulfil the following functions and is further described in 25.469 [3]:

- HNB Registration
- UE Registration
- Error Handling. This function allows the reporting of general error situations for which function specific error messages have not been defined.

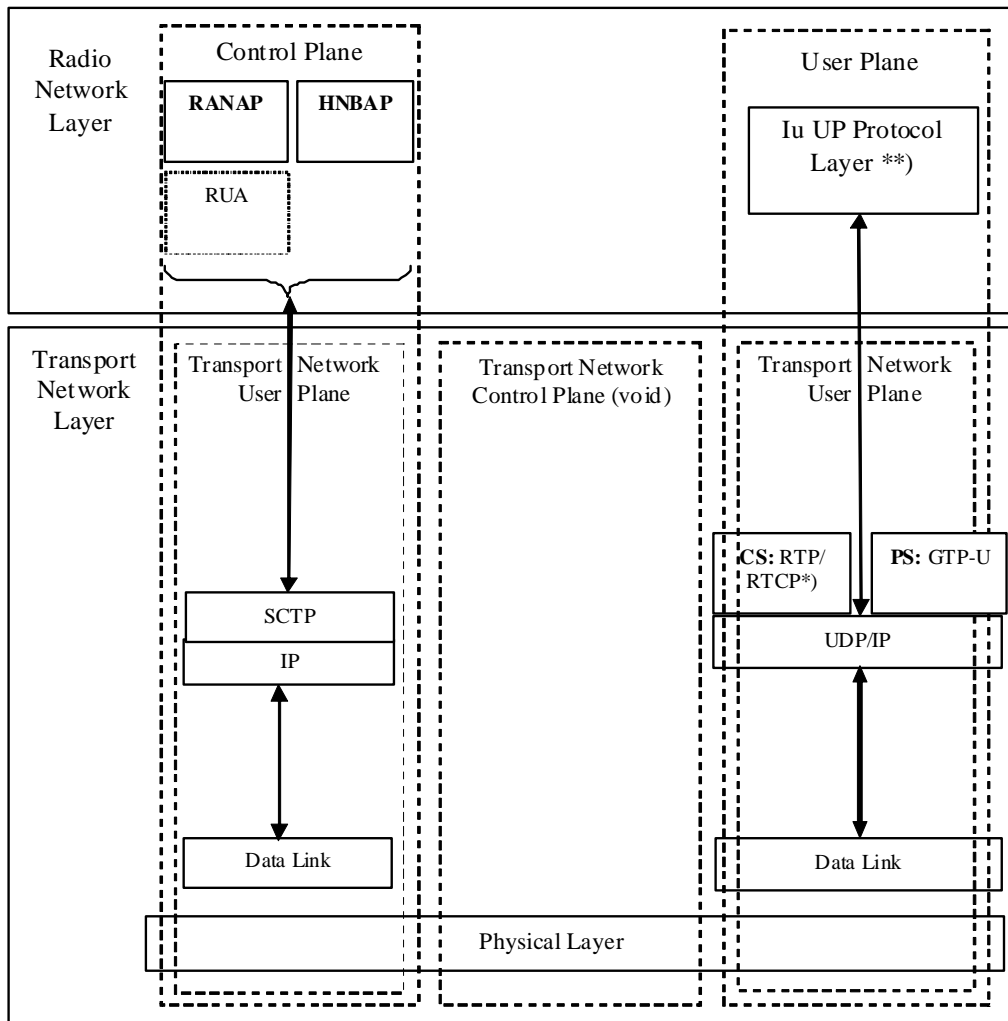
RUA provides the signalling service between the HNB and the HNB-GW that is required to fulfil the following functions and is further described in 25.468 [2]:

- Transparent transfer of RANAP messages
- Error Handling. This function allows the reporting of general error situations for which function specific error messages have not been defined.

The payload protocol identifier (PPI) field in SCTP [6] is set to the value assigned by IANA for use with the RUA protocol. In addition, a separate value for the PPI is defined for HNBAP.

### 7.2 Iuh

Figure 7.2-1 shows the protocol structure for Iuh, following the structure described in [5].



\*) RTCP is optional.

\*\*) Iu UP is terminated in CN and HNB only (i.e. not in the HNB GW)

**Figure 7.2-1. I<sub>uh</sub>-Interface Protocol Stack.**

## Annex A (informative): Change history

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2008-09					Skeleton Provided on RAN3 reflector	-	0.0.0
2008-10					Agreed Textproposals from October 2008 RAN3 Ahoc	0.0.0	0.0.1
2008-11					Rapporteurs Update	0.0.1	0.0.2
2008-11					Update following agreements from RAN3#62 1. update of scope section2. TP for architecture R3-083411 3. TP for HNB Registration R3-083434 4. Inclusion of PPI value statement in section 7.1 5. TP for Provisioning parameters in R3-083505 included 6. update of terminology, use of HNB abbreviation 7. Elimination of CSG ID from UE Registration R3-083171 8. Note in 4.1 reworded, partly text moved into normative text 9. further cleanup of wording wrt "3G HNB" which was changed to "HNB" 10. notes below Table 4.2-2 moved right below Table 4.2-1 11. Editorial restructuring of chapter 5.2 12. Correction of typos	0.0.2	0.0.3
2008-12	42				presented for approval	0.0.3	1.0.0
2008-12	42	RP-080832			approved at TSG-RAN#42 and placed under change control	1.0.0	8.0.0

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# History

<b>Document history</b>		
V8.0.0	January 2009	Publication