

ETSI TS 128 390 V15.0.0 (2018-07)



**Universal Mobile Telecommunications System (UMTS);  
LTE;  
Telecommunication management;  
Fixed Mobile Convergence (FMC);  
Interface Integration Reference Point (IRP)  
Solution Profiles (SPs)  
(3GPP TS 28.390 version 15.0.0 Release 15)**



---

Reference

RTS/TSGS-0528390vf00

---

Keywords

LTE,UMTS

**ETSI**

650 Route des Lucioles  
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C  
Association à but non lucratif enregistrée à la  
Sous-Préfecture de Grasse (06) N° 7803/88

---

**Important notice**

The present document can be downloaded from:

<http://www.etsi.org/standards-search>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the only prevailing document is the print of the Portable Document Format (PDF) version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx>

If you find errors in the present document, please send your comment to one of the following services:

<https://portal.etsi.org/People/CommiteeSupportStaff.aspx>

---

**Copyright Notification**

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2018.

All rights reserved.

**DECT™**, **PLUGTESTS™**, **UMTS™** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members.  
**3GPP™** and **LTE™** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

**oneM2M** logo is protected for the benefit of its Members.

**GSM®** and the GSM logo are trademarks registered and owned by the GSM Association.

---

## Intellectual Property Rights

### Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<https://ipr.etsi.org/>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

### Trademarks

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

---

## Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

---

## Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

---

# Contents

Intellectual Property Rights .....	2
Foreword.....	2
Modal verbs terminology.....	2
Foreword.....	4
1 Scope .....	5
2 References .....	5
3 Definitions, symbols and abbreviations .....	5
3.1 Definitions .....	5
3.2 Abbreviations .....	6
4 NGCOR Fault Management Solution Profile.....	6
4.1 Requirement statements .....	6
4.2 System context .....	9
4.3 SP .....	9
<b>Annex A (informative): Change history .....</b>	<b>11</b>
History .....	12

---

# Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

---

# 1 Scope

The objective of this specification is to define a set of Solution Profiles (SPs) for Interface IRPs. Each SP identifies the necessary and sufficient subset of the 3GPP IRP defined solutions (solutions) required for the network management of a specific operating environment or domain, including that defined for the converged management environments.

A specific operating environment or domain is characterised by a set of Requirement statements. In other words, the solutions identified in a specific SP would satisfy the Requirements listed in that same SP.

An SP, in its simplest form, is nothing else than a list of solutions (e.g. 3GPP IRP defined operations). The purpose is to place a combination of solutions under a common (SP) *name* so that:

- Operators and vendors need not decide arbitrarily which solutions are to be used to satisfy the Requirements;
- There is one document where readers can have a clear view of solutions, specified in various IRP specifications, required to satisfy the Requirements.

This version of the TS contains a number of SPs defined in clause 4 and onwards, one clause for each SP.

---

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TS 32.150: "Telecommunication management; Integration Reference Point (IRP) Concept and definitions".
- [2] NGMN Alliance NGCOR: "Next Generation Converged Operations Requirements" v1.3.
- [3] 3GPP TS 32.111-2: "Telecommunication management; Fault Management; Part 2: Alarm Integration Reference Point (IRP): Information Service (IS)".
- [4] 3GPP TS 32.302: "Telecommunication management; Configuration Management (CM); Notification Integration Reference Point (IRP); Information Service (IS)".
- [5] 3GPP TS 32.352: "Telecommunication management; Communication Surveillance (CS) Integration Reference Point (IRP); Information Service (IS)".
- [6] 3GPP TS 32.332: "Telecommunication management; Notification Log (NL) Integration Reference Point (IRP); Information Service (IS)".
- [7] 3GPP TS 32.122: "Telecommunication management; Advanced Alarm Management (AAM) Integration Reference Point (IRP); Information Service (IS)".
- [8] 3GPP TS 21.905: "Vocabulary for 3GPP Specifications".

---

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [8] and TS 32.150 [1] apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [8].

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [8], TS 32.150 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [8].

FM	Fault Management
NGCOR	Next Generation Converged Operations Requirements
SP	Solution Profile

---

# 4 NGCOR Fault Management Solution Profile

## 4.1 Requirement statements

These requirement statements, pertaining to fault management, are extracted from [2]. The REQ-GEN (1..22) statements are from section 2 of [2]. The REQ-FM (1..8) statements are from section 5 of [2].

### ***REQ-GEN (1..22)***

#### **REQ-GEN (1) “Plug & Play”**

It must be possible to implement the interfaces between the OSS easy and efficient by lowest costs and smallest effort (ideally without any development and/or configuration). The standard specification must enable “Plug&Play” (e.g. by unambiguously defined interface capabilities). Priority: Major

#### **REQ-GEN (2) Useful**

It must deliver efficient support for the OSS business processes. The standard specification interface must deliver the needed OSS semantics to support the process. Priority: Major

#### **REQ-GEN (3) Re-Useable/ Generic**

The standard interface specification must be generic enough, to enable the re-use in different integration scenarios. Priority: Essential

#### **REQ-GEN (4) Simple**

The standard interface specification must be simple (that means: the interface should offer only really necessary capabilities), so that people which have not been involved in the specification are able to understand it (or even do not need to understand the details), so that they are able to implement, maintain and use the interface. Priority: Essential

#### **REQ-GEN (5) Flexible/ Extendible**

The interface can be extended and refined, from basic setup to more complex implementations without impact on the other communication partners. It must be possible to extend the interface capabilities (methods and attributes), without breaking the standard. The standard interface specification must enable this. Priority: Major

#### **REQ-GEN (6) Fine grained (as far as needed)**

Means: Focus on using valid Use case to motivate the interface design. In such case, the standard Interface specification will be of the correct grade of grain. Fine grained functionality ONLY where really needed and absolutely necessary to support the common basic process. Adding more and more capabilities into the standard interface specification will lead to complex and expensive implementations (which often hinders the adoption of the interface) and might lead to a dilution of the scope of the interface and overlapping functionality with other interfaces. Priority: Major

#### **REQ-GEN (7) Standardized/ Open**

The requirement means, that we need an “unambiguously standardized specification” without room for interpretation (which usually hinders Plug & Play, s.o.). This standard can be an existing specification or a new one. NGMN-NGCOR will not specify any standard. The specification and everything needed to make use of the standard (e.g. appendixes to the specification-document which are not part of the document itself, etc.) must be freely available and useable for everyone. Priority: Essential

**REQ-GEN (8) Mature/ Stable**

The standard interface specification must be stable and mature, to avoid expensive changes on implemented interfaces. Changes in the application or in the interface implementation at one of the communication partners may not lead to the need for changes in the application or in the interface implementation of the other communication partners. (Please consider that this requirement does not assume any specific type of implementation technology.) The standard interface specification must enable this capability. Priority: Major

**REQ-GEN (9)**

Changes in the application or in the interface implementation at one of the communication partners may not lead to the need for changes in the application or in the interface implementation of the other communication partners. (Please consider that this requirement does not assume any specific type of implementation technology.) Priority: Essential

**REQ-GEN (10) Evolutionary**

OSS standard interface specification shall re-use already existing, widely adopted and mature IT standards (e.g. transport protocols) to avoid “reinventing the wheel”. The interface standard specification must be independent from underlying infrastructure. The standard must be agnostic to the implementation-platform (e.g. the standard may not rely on capabilities of a specific Operating System). Priority: Essential

**REQ-GEN (11)**

The interface standard specification must be independent from underlying infrastructure. The standard must be agnostic to the implementation-platform (e.g. the standard may not rely on capabilities of a specific Operating System). Priority: Essential

**REQ-GEN (12) Certifiable**

The Interface must be specified in a way that makes it technically possible to validate an implementation compliancy. Beside of that, the standard should include a mechanism to certify the standard compliancy of the interface implementation. Priority: Major

**REQ-GEN (13) Compatible**

It must be possible to implement a new version of an interface specification at one of the communication partners while the other communication partners still use an old version of the interface specification. This “mixed versions” of interface implementations can be used without any impact on the communication partners or the interface implementations of the communication partners. The standard interface specification must enable this capability. Priority: Essential

**REQ-GEN (14) Interoperable**

The interface implementation shall be based on an interoperable portfolio of standard interfaces/ interface specifications to support different dynamic and configurable OSS business workflow and processes using a common architecture and a common information model. The standard must enable this by delivering the standard portfolio of interfaces and interface specifications. Priority: Major

**REQ-GEN (15) Scalable**

The standard interface specification must be able to be enlarged to accommodate a growth of traffic. Priority: Essential

**REQ-GEN (16) Secure**

The standard interface specification has to be able to ensure confidentiality, integrity and availability of the data, which is transferred by the interface. Priority: Minor

**REQ-GEN (17) Reliable**

The interface implementation has to ensure the reliability of the data, which is transferred by the interface. The standard interface specification must enable this capability. Priority: Essential

**REQ-GEN (18) Interface Robustness**

No interface dependencies on availability between NMS and EMS if one of the EMSs (Server) communication partners is not available. The standard interface specification must enable this capability. Priority: Essential



**REQ-GEN (19) Simple Trace and Logging**

The standard interface specification must deliver a simple “trace and logging” functionality (in readable text format).  
Priority: Essential

**REQ-GEN (20) 1:1 Relation between Event MO Instances and Inventory MO Instances**

Priority: Major

**REQ-GEN (21) “MO Instance” Attribute Information Structure for EMS ↔ NMS Event Interfaces**

Priority: Major

**REQ-GEN (22) M : N Connectivity**

Multiple EMS applications might be connected (logically) to multiple NMS applications (M : N). Priority: Major

**REQ-FM (1) X.733 Event/Alarm Attributes**

The event/alarm must contain structured information according to the X.733 specification. Priority: Essential

**REQ-FM (2) Event/Alarm Transport**

It must be possible to send (Server) [and receive/listen to (Client) event/alarms]. See also REQ-FM (9), Priority: Essential

**REQ-FM (3) Clear – Event/Alarm Transport**

It must be possible to send [and receive/listen to] “clear” - event/alarm events. Priority: Essential

**REQ-FM (4) Unambiguous ID**

It must be possible to correlate between clear–event/alarm and the original event/alarm, by using an unambiguous ID.  
Priority: Essential

**REQ-FM (5) Event/Alarm Query**

Priority: Essential

**REQ-FM (6) Heartbeat**

The interface has to support a heartbeat capability which allows EMS to send heartbeats (configurable) and NMS to receive/listen to heartbeats. Priority: Essential

**REQ-FM (7) Supplementary Information contained within alarm**

The interface has to provide all information required for correlation. Priority: Essential

**REQ-FM (8) Co-operative alarm acknowledgement (OPTIONAL)**

The interface shall support a co-operative alarm-acknowledgement function as described in 3GPP TS 32.111-1 (Optional feature). Priority: Minor

**REQ-FM (9) Reliable Event/Alarm Communication (supported by EMS)**

- EMS buffers event/alarms if they cannot be sent to the NMS
- EMS sends event/alarms immediately as soon as the connectivity to the NMS is up again

Priority: Essential

**REQ-FM (10) Configurable EMS Heartbeat Message**

EMS will send heartbeats in regular (configurable) intervals to NMS. Priority: Essential

**REQ-FM (11) Alarm Suppression**

The EMS - NMS - Fault Management interface should enable the alarm suppression. Priority: Major

**REQ-FM (12) Summary Alarms**

EMS interface summary should provide summary alarm functionality. Priority: Major

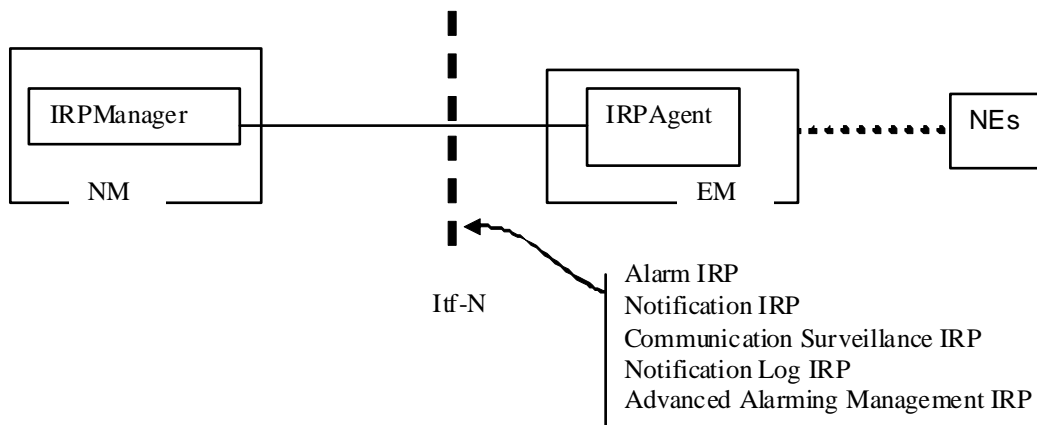
**REQ-FM (13) Re-Synchronization**

The NMS must be able to synchronize the own event/alarm list with the EMS event/alarm lists. Priority: Essential

## 4.2 System context

The general definition of “System Context” can be found in 3GPP TS 32.150 [1] subclause 4.7.

The set of related IRP(s) relevant to this SP is shown in the following diagram.



**Figure 4.2-1: System Context**

## 4.3 SP

This section specifies the Fault Management SP for NGCOR. Specifically, this SP identifies the necessary and sufficient subset of the IRP Interface operations that can satisfy the fault management requirements stated in [2]. The SP is presented in the Table below.

The column labels identify the fault management specific and the general requirements stated in [2], presented by column labels as REQ-FM(..) and REQ-GEN(..). The row labels identify the various IRP Interface operations. An empty cell means that the related operation does not support the related requirement. A cell marked has the following meanings.

- X: The related operation supports the related requirement;
- A: The related operation supports the related requirement. REQ-FM (1) requires the use of ITU-T X.733 defined structured information. Current IRP solution supports the use of all X.733 defined Probable Causes. Current IRP solution supports a subset of X.733 defined Event Type. Enhancement of current IRP may need to be considered.
- B: the related operation supports the related requirement. REQ-FM (5) requires a ‘query’ type of service for both alarms and events. Current IRP supports a ‘query’ type service for alarms (e.g. result of a query is carried by the response of the query request). The current IRP supports an export facility with filtering capability for events (e.g. result of an query is carried in a file and not in the response of the query request).
- C: The related operation supports the related requirement. REQ-FM (12) requires support of Toggle and Transient Rule of activateAAMRule (..) only.
- \*: Some requirements are stated in a general form (e.g. “REQ-GEN (2) Useful”) and are related to the design principle of the protocol and implementation. They are not related to any particular operation(s) or notification(s); nevertheless these IRPs in general support those requirements. Their requirement column headings are marked with a ‘\*’ (e.g. “REQ-FM(9)”).

Table 4.3-1: NGCOR FM SP

	REQ-FM (..)													REQ-GEN (..)		
	1	2	3	4	5	6	7	8	9*	10	11	12	13	1-18*	19	20-22*
<b>Alarm IRP [3]</b>																
acknowledgeAlarms								X								
getAlarmList	A				X						X		X			
notifyNewAlarm	A	X					X									
notifyAckStateChanged		X						X								
notifyChangedAlarm		X														
notifyClearedAlarm		X	X	X												
notifyAlarmListRebuilt		X											X			
<b>AAM IRP [7]</b>																
activateAAMRule													C			
deactivateAAMRule													X			
getAAMRules													X			
<b>Notification IRP [4]</b>																
subscribe		X									X					
unsubscribe		X									X					
<b>CS IRP [5]</b>																
setHeartbeatPeriod						X				X						
triggerHeartbeat						X										
notifyHeartbeat						X										
<b>NL IRP [6]</b>																
subscribeLog															X	
unsubscribeLog															X	
exportLogRecords					B										X	

## Annex A (informative): Change history

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2013-06	SA#60	SP-130280			Presented to SA Plenary for approval	1.1.1	2.0.0
2013-06					Upgrade of approved version	2.0.0	12.0.0
2014-12	SA#66	SP-140798	001	1	Remove feature support statement	12.0.0	12.1.0
2016-01	-	-	-	-	Update to Rel-13 version (MCC)	12.1.0	<b>13.0.0</b>
2017-03	SA#75	-	-	-	Promotion to Release 14 without technical change	13.0.0	<b>14.0.0</b>
2018-06	-	-	-	-	Update to Rel-15 version (MCC)	14.0.0	<b>15.0.0</b>

---

# History

<b>Document history</b>		
V15.0.0	July 2018	Publication