

# ETSI TS 131 121 V3.13.0 (2005-06)

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*Technical Specification*

**Universal Mobile Telecommunications System (UMTS);  
UICC-terminal interface;  
Universal Subscriber Identity Module (USIM)  
application test specification  
(3GPP TS 31.121 version 3.13.0 Release 1999)**

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## Foreword

This Technical Specification (TS) has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

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## Introduction

The present document defines the application test specification.

The aim of the present document is to ensure interoperability between an UICC and a terminal independently of the respective manufacturer, card issuer or operator. The present document does not define any aspects related to the administrative management phase of the UICC. Any internal technical realisation of either the UICC or the Terminal is only specified where these are reflected over the interface.

Application specific details for applications residing on an UICC are specified in the respective application specific documents. The logical and physical Characteristics of the UICC Terminal interface is specified in document TS 102 221 [5]. The Universal Subscriber Identity Module (USIM)-application for 3G telecommunication networks is specified in document TS 31.102 [4].

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# 1 Scope

The present document provides the UICC (Universal IC Card)-Terminal Interface Conformance Test Specification between the 3<sup>rd</sup> Generation Terminal and USIM (Universal Subscriber Identity Module) as an application on the UICC and the Terminal for 3G telecom network operation:

- the default setting of the USIM;
- the applicability of each test case;
- the test configurations;
- the conformance requirement and reference to the core specifications;
- the test purposes; and
- a brief description of the test procedure and the specific acceptance criteria.

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
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- [1] Void
- [2] Void
- [3] 3GPP TS 23.038: "Alphabets and language-specific information".
- [4] 3GPP TS 31.102: "Characteristics of the USIM application".
- [5] ETSI TS 102 221 Release 99: "UICC-Terminal interface; Physical and logical characteristics".
- [6] 3GPP TS 22.011: "Service accessibility".
- [7] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [8] 3GPP TS 22.024: "Description of Charge Advice Information (CAI)".
- [9] 3GPP TS 23.086: "Advice of Charge (AoC) Supplementary Service - Stage 2".
- [10] 3GPP TS 24.086: "Advice of Charge (AoC) Supplementary Service - Stage 3".
- [11] 3GPP TS 22.101: "Service aspects; Service principles".
- [12] 3GPP TS 22.030: "Man-Machine Interface (MMI) of the User Equipment (UE)".
- [13] 3GPP TS 23.040: " Technical realization of the Short Message Service (SMS)".
- [14] 3GPP TS 23.003: "Numbering, Addressing and Identification".
- [15] GSM 04.18: "Mobile radio interface layer 3 specification; Radio Resource Control Protocol".
- [16] 3GPP TS 24.008: "Mobile radio interface Layer 3 specification; Core Network protocols; Stage 3".

- [17] 3GPP TS 24.080: "Mobile radio Layer 3 supplementary service specification; Formats and coding".
- [18] 3GPP TS 22.086: "Advice of Charge (AoC) supplementary services; Stage 1".
- [19] 3GPP TS 21.111: "USIM and IC card requirements".
- [20] 3GPP TS 25.331 "Radio Resource Control (RRC); Protocol Specification"
- [21] 3GPP TS 34.108 "Common test environments for User Equipment (UE) conformance testing"
- [22] 3GPP TS 51.010-1 "Mobile Station (MS) conformance specification; Part1: Conformance specification"

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## 3 Definitions, symbols, abbreviations and coding

### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

**Application DF (ADF):** entry point to an application

**access conditions:** set of security attributes associated with a file

**access technology:** Radio Access Technology of the Terminal (e.g. UTRAN or GSM)

**application:** consists of a set of security mechanisms, files, data and protocols (excluding transmission protocols)

**application protocol:** set of procedures required by the application

**card session:** link between the card and the external world starting with the ATR and ending with a subsequent reset or a deactivation of the card

**current directory:** latest MF or DF or ADF selected

**current EF:** latest EF selected

**data object:** information coded as TLV objects, i.e. consisting of a Tag, a Length and a Value part

**Dedicated File (DF):** file containing access conditions and, optionally, Elementary Files (EFs) or other Dedicated Files (DFs)

**directory:** general term for MF, DF and ADF

**Elementary File (EF):** file containing access conditions and data and no other files

**file:** directory or an organised set of bytes or records in the UICC

**file identifier:** 2 bytes which address a file in the UICC

**function:** function contains a command and a response pair

**GSM session:** that part of the card session dedicated to the GSM operation

**Master File (MF):** unique mandatory file containing access conditions and optionally DFs and/or EFs

**normal USIM operation:** relating to general, PIN related, 3G and or GSM security and subscription related procedures

**record:** string of bytes within an EF handled as a single entity

**record number:** number, which identifies a record within an EF

**record pointer:** pointer, which addresses one record in an EF

**Terminal:** device into which a UICC can be inserted and which is capable of providing access to UMTS services to users, either alone or in conjunction with a UICC

**User Equipment (UE):** terminal with one or several UMTS Subscriber Identity Module(s) (USIM)

**USIM session:** selectable application session for a USIM application

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

bx	Bit x of byte (leftmost bit is MSB)
Bn	Byte No. n

## 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

3G	3 <sup>rd</sup> Generation
3GPP	3 <sup>rd</sup> Generation Partnership Project
ACC	ACcess Class
ACL	APN Control List
ACM	Accumulated Call Meter
ACMmax	ACM maximal value
ACT	ACcess Technology
ADF	Application Dedicated File
AoC	Advice of Charge
AoCC	Advice of Charge Charging
APN	Access Point Name
ATR	Answer To Reset
BCCH	Broadcast Control Channel
BCD	Binary Coded Decimal
BDN	Barred Dialling Number
CCI	Capability / Configuration Identifier
CCM	Current Call Meter
CK	Cipher key
CS	Circuit switched
CN	Core Network
DF	Dedicated File
EF	Elementary File
EMMI	Electrical Man Machine Interface
Ext n	Extension n
FDN	Fixed Dialling Number
FPLMN	Forbidden PLMN
GSM	Global System for Mobile communications
HPLMN	Home PLMN
ICC	Integrated Circuit Card
ID	IDentifier
IEC	International Electrotechnical Commission
IK	Integrity key
IMSI	International Mobile Subscriber Identity
ISO	International Organization for Standardization
KSI	Key Set Identifier
LAC	Location Area Code
LAI	Location Area Information
LSB	Least Significant Bit
MCC	Mobile Country Code
MF	Master File
MMI	Man Machine Interface
MNC	Mobile Network Code
MSB	Most Significant Bit

NAS	Non Access Stratum
NPI	Numbering Plan Identifier
OFM	Operational Feature Monitor
OSI	Open System Interconnection
PS	Packet switched
P1	Parameter 1
P2	Parameter 2
P3	Parameter 3
PIN	Personal Identification Number
PLMN	Public Land Mobile Network
PS	Packet switched
RACH	Random Access Channel
RFU	Reserved for Future Use
RRC	Radio Resource Control
SFI	Short File Identifier
SS	System Simulator (GSM)
SM	Short Message
SMS	Short Message Service
TE	Terminal Equipment
TLV	Tag Length Value
TMSI	Temporary Mobile Subscriber Identity
TON	Type Of Number
UE	User Equipment
USIM	Universal Subscriber Identity Module
USS	UMTS System Simulator
UTRAN	UMTS Terrestrial Radio Access Network
VPLMN	Visitor PLMN

### 3.4 Coding Conventions

For the purposes of the present document, the following coding conventions apply:

All lengths are presented in bytes, unless otherwise stated. Each byte B is represented by eight bits b8 to b1, where b8 is the most significant bit (MSB) and b1 is the least significant bit (LSB). In each representation, the leftmost bit is the MSB.

In the UICC, all bytes specified as RFU shall be set to '00' and all bits specified as RFU shall be set to '0'. If the GSM and/or USIM application exists on a UICC or is built on a generic telecommunications card, then other values may apply for the non-GSM or non-USIM applications. The values will be defined in the appropriate specifications for such cards and applications. These bytes and bits shall not be interpreted by a Terminal in a GSM or 3G session.

The coding of all data objects in the present document is according to TS 102 221 [5]. All data objects are BER-TLV except if otherwise defined.

### 3.5 Generic procedures for UTRAN

If a test case contains the statement "This test applies to Terminals accessing UTRAN", the procedures defined in 3GPP TS 34.108 [21], subclause 7.2 shall be the basis for all performed procedures during the test. The procedures in subclause 7.2 describe the default behaviour of a conformant UE regarding the specified protocols to be used for UTRAN and the required procedures from the NAS.

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## 4 Default Values

All tests defined in the subsequent clauses apply to Terminals using card types specified in TS 102 221[5] unless otherwise stated.

The following sequence of tests confirms:

- a) the correct interpretation of data read from the USIM (Universal Subscriber Identification Module) by the Terminal;
- b) the correct writing of data to the USIM by the Terminal;
- c) the initiation of appropriate procedures by the Terminal;
- d) High level protocols.

All tests apply to the USIM application on the UICC.

A USIM simulator will be required as part of the USS. Alternatively, to perform the logical tests, USIMs programmed with specific data may be used. The USIM data is not defined within the initial conditions of the tests unless it differs from the default values defined below.

## 4.1 Definition of default values for USIM-Terminal interface testing (Default UICC)

A USIM containing the following default values is used for all tests of this present document unless otherwise stated.

For each data item, the logical default values and the coding within the elementary files (EF) of the USIM follow.

NOTE 1: Bx represents byte x of the coding.

NOTE 2: Unless otherwise defined, the coding values are hexadecimal.

### 4.1.1 Values of the EF's (Default UICC)

#### 4.1.1.1 EF<sub>IMSI</sub> (IMSI)

Logically: 2460813579

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9
Hex	06	21	64	80	31	75	F9	FF	FF

#### 4.1.1.2 EF<sub>AD</sub> (Administrative Data)

Logically: Normal operation  
OFM to be deactivated by the Terminal  
MNC: 3 digit

Coding:	B1	B2	B3	B4
Hex	00	00	00	03

#### 4.1.1.3 EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 246  
LAI-MNC: 081  
LAI-LAC: 0001  
TMSI: "FF .. FF"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	FF	FF	FF	FF	42	16	80	00	01	FF	00

#### 4.1.1.4 EF<sub>Keys</sub> (Ciphering and Integrity Keys)

Logically: Key Set Identifier KSI: 0x  
Ciphering Keys CK: xx

Integrity Keys IK:           xx

Coding:	B1	B2	B3	...	B16	B17	B18	...	B30	B31	B32	B33
Hex	0x	xx	xx	...	xx	xx	xx	...	xx	xx	xx	xx

#### 4.1.1.5 EF<sub>KeysPS</sub> (Ciphering and Integrity Keys for Packet Switched domain)

Logically:           Key Set Identifier KSI:    0x  
                   Ciphering Keys CK:     xx  
                   Integrity Keys IK:     xx

Coding:	B1	B2	B3	...	B16	B17	B18	...	B31	B32	B33
Hex	0x	xx	xx	...	xx	xx	xx	...	xx	xx	xx

#### 4.1.1.6 EF<sub>ACC</sub> (Access Control Class)

Logically:           One and only one access class from 0 - 9, e.g. class 7 for which the coding is "00 80".

#### 4.1.1.7 EF<sub>FPLMN</sub> (Forbidden PLMNs)

Besides of the 4 mandatory EF<sub>FPLMN</sub> 2 optional EF<sub>FPLMN</sub> are defined according to TS 31.102[4] subclause 4.2.16.

Logically:           PLMN1:    234 001 (MCC MNC)  
                   PLMN2:    234 002  
                   PLMN3:    234 003  
                   PLMN4:    234 004  
                   PLMN5:    234 005  
                   PLMN6:    234 006

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12
Hex	32	14	00	32	24	00	32	34	00	32	44	00
	B13	B14	B15	B16	B17	B18						
	32	54	00	32	64	00						

#### 4.1.1.8 EF<sub>UST</sub> (USIM Service Table)

Logically:           Local Phone Book available  
                   User controlled PLMN selector available  
                   Fixed dialling numbers available  
                   Barred dialling numbers available  
                   The GSM Access available  
                   The Group Identifier level 1 and level 2 not available  
                   Service n 33 (Packed Switched Domain) shall be set to '1'  
                   Enabled Services Table available

Coding:	B1	B2	B3	B4	B5
binary	xx1x xx11	xxxx xxxx	xxxx 1x00	xxxx x1xx	xxxx xx11

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

#### 4.1.1.9 EF<sub>EST</sub> (Enable Service Table)

Logically:           Fixed Dialling Numbers (FDN) disabled.  
                   Barred Dialling Numbers (BDN) disabled.  
                   APN Control list (ACL) disabled



Coding: B1  
binary 0000 0000

The coding of EF<sub>EST</sub> shall conform with the capabilities of the USIM, unused Bits are set to '0'.

#### 4.1.1.10 EF<sub>ADN</sub> (Abbreviated Dialling Number)

Logically: At least 10 records, each non-empty record unique..  
Record 1: Length of alpha identifier: 32 characters;  
Alpha identifier: "ABCDEFGHJKLMNOPQRSTUVWXYZABCDEF";  
Length of BCD number: "03";  
TON and NPI: Telephony and Unknown;  
Dialled number: 123;  
CCI: None;  
Ext1: None.

Record 1:

Coding:	B1	B2	B3	...	B32	B33	B34	B35	B36	B37	B38	B39	...	B46
Hex	41	42	43	...	46	03	81	21	F3	FF	FF	FF	...	FF

#### 4.1.1.11 EF<sub>PLMNwACT</sub> (User Controlled PLMN Selector with Access Technology)

Besides of the 8 mandatory PLMNwACT entries 4 optional PLMNwACT entries are defined according to TS 31.102[4] subclause 4.2.5. The Radio Access Technology identifier for the first two PLMN (1<sup>st</sup> PLMN and 2<sup>nd</sup> PLMN) are set to both UTRAN and GSM, all other PLMN to UTRAN only.

Logically:	1 <sup>st</sup> PLMN:	244 081 (MCC MNC)
	1 <sup>st</sup> ACT:	UTRAN
	2 <sup>nd</sup> PLMN:	244 081
	2 <sup>nd</sup> ACT:	GSM
	3 <sup>rd</sup> PLMN:	244 082
	3 <sup>rd</sup> ACT:	UTRAN
	4 <sup>th</sup> PLMN:	244 082
	4 <sup>th</sup> ACT:	GSM
	5 <sup>th</sup> PLMN:	244 003
	5 <sup>th</sup> ACT:	UTRAN
	6 <sup>th</sup> PLMN:	244 004
	6 <sup>th</sup> ACT:	UTRAN
	7 <sup>th</sup> PLMN:	244 005
	7 <sup>th</sup> ACT:	UTRAN
	8 <sup>th</sup> PLMN:	244 006
	8 <sup>th</sup> ACT:	UTRAN
	9 <sup>th</sup> PLMN:	244 007
	9 <sup>th</sup> ACT:	UTRAN
	10 <sup>th</sup> PLMN:	244 008
	10 <sup>th</sup> ACT:	UTRAN
	11 <sup>th</sup> PLMN:	244 009
	11 <sup>th</sup> ACT:	UTRAN
	12 <sup>th</sup> PLMN:	244 010
	12 <sup>th</sup> ACT:	UTRAN

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13	B14	B15
Hex	42	14	80	80	00	42	14	80	00	80	42	24	80	80	00
	B16	B17	B18	B19	B20	B21	B22	B23	B24	B25	B26	B27	B28	B29	B30
	42	24	80	00	80	42	34	00	80	00	42	44	00	80	00
	B31	B32	B33	B34	B35	B36	B37	B38	B39	B40	B41	B42	B43	B44	B45
	42	54	00	80	00	42	64	00	80	00	42	74	00	80	00
	B46	B47	B48	B49	B50	B51	B52	B53	B54	B55	B56	B57	B58	B59	B60
	42	84	00	80	00	42	94	00	80	00	42	04	10	80	00

#### 4.1.1.12 EF<sub>OPLMNwACT</sub> (Operator Controlled PLMN Selector with Access Technology)

The Radio Access Technology identifier for the first PLMN is set to both UTRAN and GSM, the other remaining PLMNs to UTRAN only.

Logically:	1 <sup>st</sup> PLMN:	254 001 (MCC MNC)
	1 <sup>st</sup> ACT:	UTRAN
	2 <sup>nd</sup> PLMN:	254 001
	2 <sup>nd</sup> ACT:	GSM
	3 <sup>rd</sup> PLMN:	254 002
	3 <sup>rd</sup> ACT:	UTRAN
	4 <sup>th</sup> PLMN:	254 003
	4 <sup>th</sup> ACT:	UTRAN
	5 <sup>th</sup> PLMN:	254 004
	5 <sup>th</sup> ACT:	UTRAN
	6 <sup>th</sup> PLMN:	254 005
	6 <sup>th</sup> ACT:	UTRAN
	7 <sup>th</sup> PLMN:	254 006
	7 <sup>th</sup> ACT:	UTRAN
	8 <sup>th</sup> PLMN:	254 007
	8 <sup>th</sup> ACT:	UTRAN

Coding:	B01	B02	B03	B04	B05	B06	B07	B08	B09	B10
Hex	52	14	00	80	00	52	14	00	00	80
	B11	B12	B13	B14	B15	B16	B17	B18	B19	B20
	52	24	00	80	00	52	34	00	80	00
	B21	B22	B23	B24	B25	B26	B27	B28	B29	B30
	52	44	00	80	00	52	54	00	80	00
	B31	B32	B33	B34	B35	B36	B37	B38	B39	B40
	52	64	00	80	00	52	74	00	80	00

#### 4.1.1.13 Void

#### 4.1.1.14 PIN

Key reference: 01

Logically: 2468

Coding:	B1	B2	B3	B4	B5	B6	B7	B8
Hex	32	34	36	38	FF	FF	FF	FF

#### 4.1.1.15 PIN2

Key reference: 81

Logically: 3579

Coding:	B1	B2	B3	B4	B5	B6	B7	B8
Hex	33	35	37	39	FF	FF	FF	FF

#### 4.1.1.16 Unblock PIN

Key reference: 01

Logically: 13243546

Coding:	B1	B2	B3	B4	B5	B6	B7	B8
Hex	31	33	32	34	33	35	34	36

#### 4.1.1.17 Unblock PIN2

Key reference: 81

Logically: 08978675

Coding:	B1	B2	B3	B4	B5	B6	B7	B8
Hex	30	38	39	37	38	36	37	35

#### 4.1.1.18 Other Values of the USIM

All other values of EFs provided by the USIM shall be set to the default values defined in the annex E of TS 31.102[4]. Some EFs (like the GSM Access files) may necessary for some tests and apply only to those test cases.

#### 4.1.1.19 EF<sub>PSLOCI</sub> (Packet Switch Location Information)

Logically: RAI-MCC: 246  
RAI-MNC: 081  
RAI-LAC: 0001  
RAI-RAC: 05  
P-TMSI: "FF....FF"  
P-TMSI signature value: "FF...FF"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	FF	FF	FF	FF	FF	FF	FF	42	16	80	00

Coding:	B12	B13	B14
Hex	01	05	00

#### 4.1.1.20 Universal PIN

Key reference: 11

Logically: 2839

Coding:	B1	B2	B3	B4	B5	B6	B7	B8
Hex	32	38	33	39	FF	FF	FF	FF

#### 4.1.1.21 Unblock Universal PIN

Key reference: 11

Logically: 0203040506

Coding:	B1	B2	B3	B4	B5	B6	B7	B8
Hex	30	32	30	33	30	34	30	35

## 4.2 Definition of FDN UICC

The FDN test cases require a different configuration than the one described in subclause 4.1. For that purpose a default FDN UICC is defined. In general the values of the FDN UICC are identical to the default UICC, with the following exceptions.

### 4.2.1 Values of the EF's (FDN UICC)

#### 4.2.1.1 EF<sub>UST</sub> (USIM Service Table)

Logically:

- Local Phone Book available
- User controlled PLMN selector available
- Fixed dialling numbers available
- Barred dialling numbers available
- The GSM Access available
- The Group Identifier level 1 and level 2 not available
- Service n 33 (Packed Switched Domain) shall be set to '1'.
- Enabled Services Table available

Coding:	B1	B2	B3	B4	B5
binary	xx1x xx11	xxxx xxxx	xxxx 1x00	xxxx x1xx	xxxx xx11

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

#### 4.2.1.2 EF<sub>EST</sub> (Enable Service Table)

Logically:

- Fixed Dialling Numbers enabled.
- Barred Dialling Numbers disabled.
- APN Control list (ACL) disabled.

Coding:	B1
binary	0000 0001

The coding of EF<sub>EST</sub> shall conform with the capabilities of the USIM, unused Bits are set to '0'.

#### 4.2.1.3 EF<sub>FDN</sub> (Fixed Dialling Numbers)

Logically:

Record 1:

- Length of alpha identifier: 6 characters;
- Alpha identifier: "FDN111";
- Length of BCD number: "06";
- TON and NPI: Telephony and International;
- Dialled number: +1357924680;
- CCI: None;
- Ext2: None.

Coding for record 1:

Hex	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13
	46	44	4E	31	31	31	06	91	31	75	29	64	08
	B14	B15	B16	B17	B18	B19	B20						
	FF	FF	FF	FF	FF	FF	FF						

Record 2: Length of alpha identifier: 6 characters;  
 Alpha identifier: "FDN222";  
 Length of BCD number: "04";  
 TON and NPI: Telephony and Unknown;  
 Dialed number: 24680;  
 CCI: None;  
 Ext2: None.

Coding for record 2:

Hex	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13
	46	44	4E	32	32	32	04	81	42	86	F0	FF	FF
	B14	B15	B16	B17	B18	B19	B20						
	FF	FF	FF	FF	FF	FF	FF						

Record 3: Length of alpha identifier: 6 characters;  
 Alpha identifier: "FDN333";  
 Length of BCD number: "0B";  
 TON and NPI: Telephony and International;  
 Dialed number: +12345678901234567890;  
 CCI: None;  
 Ext2: None.

Coding for record 3:

Hex	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13
	46	44	4E	33	33	33	0B	91	21	43	65	87	09
	B14	B15	B16	B17	B18	B19	B20						
	21	43	65	87	09	FF	FF						

#### 4.2.1.4 EF<sub>ECC</sub> (Emergency Call Codes)

Logically: Emergency call code: "122";  
 Emergency call code alpha identifier: "TEST";  
 Emergency call Service Category: RFU.

Coding:	B1	B2	B3	B4	B5	B6	B7	B8
Hex	21	F2	FF	54	45	53	54	00

#### 4.2.1.5 Other Values of the USIM

All other values of EFs provided by the USIM shall be set to the default values defined in the annex E of TS 31.102. Some EFs (like the GSM Access files) may necessary for some tests and apply only to those test cases.

#### 4.3 Void

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## 5 Subscription related tests

### 5.1 IMSI / TMSI handling

#### 5.1.1 UE identification by short IMSI

##### 5.1.1.1 Definition and applicability

The IMSI is used for unique identification of the UE by UTRAN. The IMSI is stored in the USIM and read during the UICC-Terminal initialisation procedure.

This test applies to Terminals accessing UTRAN.

##### 5.1.1.2 Conformance requirement

After successful completion of the RRC Connection Establishment procedure the UE shall send PAGING RESPONSE containing the IMSI of the USIM which is less than the maximum length.

Reference:

- TS 31.102, subclauses 5.1.1 and 5.2.2;
- TS 24.008, subclause 10.5.1.4;
- TS 102 221, subclause 14.1.1.

##### 5.1.1.3 Test purpose

- 1) To verify that the Terminal uses the IMSI of the USIM.
- 2) To verify that the Terminal can handle an IMSI of less than the maximum length.
- 3) To verify that the READ EF<sub>IMSI</sub> command is performed correctly by the terminal

##### 5.1.1.4 Method of test

###### 5.1.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.
- LAI (MCC/MNC/LAC): 246/081/0001.
- Access control: unrestricted.

The default UICC is installed into the Terminal and the UE is powered on.

###### 5.1.1.4.2 Procedure

- a) The USS sends PAGING TYPE 1 to the UE using the IMSI stored in the USIM.
- b) After receipt of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- c) After receipt of a PAGING RESPONSE from the UE, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.

### 5.1.1.5 Acceptance criteria

After step b) the UE shall send PAGING RESPONSE to the USS containing the IMSI stored in the USIM.

## 5.1.2 UE identification by short IMSI using a 2 digit MNC

### 5.1.2.1 Definition and applicability

In some networks the IMSI identifying the UTRAN can be consistence of a 2 digit MNC. The IMSI is stored in the USIM and read during the UICC-Terminal initialisation procedure.

This test applies to Terminals accessing UTRAN.

### 5.1.2.2 Conformance requirement

After successful completion of the RRC Connection Establishment procedure the UE shall send PAGING RESPONSE containing the IMSI of the USIM.

Reference:

- TS 31.102, subclause 4.2.18;
- TS 24.008, subclause 10.5.1.4.

### 5.1.2.3 Test purpose

- 1) To verify that the Terminal can handle an IMSI consistence of a 2 digit MNC.

### 5.1.2.4 Method of test

#### 5.1.2.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.
- LAI (MCC/MNC/LAC): 246/81/0001.
- Access control: unrestricted.

The default UICC is used with the following exception:

#### **EF<sub>LocI</sub> (Location Information)**

Logically: LAI-MCC: 246  
LAI-MNC: 81  
LAI-LAC: 0001  
TMSI: "FF .. FF"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	FF	FF	FF	FF	42	F6	18	00	01	FF	00

#### **EF<sub>IMSI</sub> (IMSI)**

Logically: 246813579

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9
Hex	05	29	64	18	53	97	FF	FF	FF

#### **EF<sub>AD</sub> (Administrative Data)**

Logically: Normal operation  
OFM to be deactivated by the Terminal  
MNC: 2 digit

Coding:	B1	B2	B3	B4
Hex	00	00	00	02

The UICC is installed into the Terminal and the UE is powered on.

#### 5.1.2.4.2 Procedure

- a) The USS sends PAGING TYPE 1 to the UE using the IMSI stored in the USIM.
- b) After receipt of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- c) After receipt of a PAGING RESPONSE from the UE, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.

#### 5.1.2.5 Acceptance criteria

After step b) the UE shall send PAGING RESPONSE to the USS containing the IMSI stored in the USIM.

### 5.1.3 UE identification by "short" TMSI

#### 5.1.3.1 Definition and applicability

The TMSI is temporarily used for identification of the UE by UTRAN. It will have been previously assigned by the network. The TMSI is stored in the USIM by the Terminal and read during the USIM-Terminal initialisation procedure.

NOTE: According to TS 23.003, subclause 2.4, a TMSI always consists of 8 digits (4 bytes). With this tests the handling of a TMSI with leading zeros will be tested. The term "short" TMSI is used in order to distinguish between the tests as defined in subclauses 5.1.3 and 5.1.4.

This test applies to Terminals accessing UTRAN and supporting CS.

#### 5.1.3.2 Conformance requirement

After successful completion of the RRC Connection Establishment procedure the UE shall send PAGING RESPONSE containing the TMSI stored in the USIM. According to subclause 10.3.1.17 in TS 25.331 [20] the TMSI has a fixed length of 32 bits (8 digits) when used inside the PAGING TYPE 1 message.

Reference:

- TS 31.102, subclauses 5.1.1 and 5.2.2;
- TS 24.008, subclause 10.5.1.4.
- TS 25.331, subclause 10.3.1.17

#### 5.1.3.3 Test purpose

- 1) To verify that the Terminal uses the TMSI stored in the USIM.
- 2) To verify that the Terminal can handle a TMSI of less than maximum length.



### 5.1.3.4 Method of test

#### 5.1.3.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.  
 LAI (MCC/MNC/LAC): 246/081/0001.  
 Access control: unrestricted.

The default UICC is used with the following exception:

#### **EF<sub>LocI</sub> (Location Information)**

Logically: LAI-MCC: 246  
 LAI-MNC: 081  
 LAI-LAC: 0001  
 TMSI: "00002143"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	00	00	21	43	42	16	80	00	01	FF	00

The UICC is installed into the Terminal and the UE is powered on.

#### 5.1.3.4.2 Procedure

- The USS sends PAGING TYPE 1 to the UE using the TMSI stored in the USIM matching the required length of 8 digits.
- After receipt of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- After receipt of a PAGING RESPONSE from the UE, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.

### 5.1.3.5 Acceptance criteria

After step b) the UE shall send PAGING RESPONSE to the USS containing the TMSI stored in the USIM.

## 5.1.4 UE identification by "long" TMSI

### 5.1.4.1 Definition and applicability

The TMSI is temporarily used for identification of the UE by UTRAN. It will have been previously assigned by the network. The TMSI is stored in the USIM by the Terminal and read during the USIM-Terminal initialisation procedure.

NOTE: According to TS 23.003, subclause 2.4, a TMSI always consists of 8 digits (4 bytes). With this tests the handling of a new assigned TMSI will be tested. The term "long" TMSI is used in order to distinguish between the tests as defined in subclauses 5.1.3 and 5.1.4. This test applies to Terminals accessing UTRAN and supporting CS.

### 5.1.4.2 Conformance requirement

After successful completion of the RRC Connection Establishment procedure the UE shall send PAGING RESPONSE containing the correct TMSI stored in the USIM.

According to subclause 10.3.1.17 in TS 25.331 [20] the TMSI has a fixed length of 32 bits (8 digits) when used inside the PAGING TYPE 1 message.

Reference:

- TS 31.102, subclauses 5.1.1 and 5.2.2;
- TS 24.008, subclause 10.5.1.4.
- TS 25.331, subclause 10.3.1.17

### 5.1.4.3 Test purpose

- 1) To verify that the Terminal uses the TMSI stored in the USIM.
- 2) To verify that the Terminal can handle a TMSI of maximum length.
- 3) To verify that the Terminal does not respond to page requests containing a previous TMSI.

### 5.1.4.4 Method of test

#### 5.1.4.4.1 Initial conditions

Prior to this test, the Terminal shall have been operated with a USIM containing TMSI "2143". This may be achieved by executing the previous test (5.1.3) prior to this test. Only under this condition will test purpose 3) be verified.

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.  
 LAI (MCC/MNC/LAC): 246/081/0001.  
 Access control: unrestricted.

The default UICC is used with the following exception:

#### **EF<sub>LocI</sub> (Location Information)**

Logically: LAI-MCC: 246  
 LAI-MNC: 081  
 LAI-LAC: 0001  
 TMSI: "21430000"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	21	43	00	00	42	16	80	00	01	FF	00

The UICC is installed into the Terminal and the UE is powered on.

#### 5.1.4.4.2 Procedure

- a) The USS sends PAGING TYPE 1 to the UE using the TMSI "00002143".
- b) The USS sends PAGING TYPE 1 to the UE using the TMSI stored in the USIM.
- c) After receipt of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- d) After receipt of a PAGING RESPONSE from the UE, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.

### 5.1.4.5 Acceptance criteria

- 1) After step a) the UE shall not respond to the PAGING TYPE 1.
- 2) After step c) the UE shall send PAGING RESPONSE to the USS containing the TMSI stored in the USIM.

## 5.1.5 UE identification by long IMSI, TMSI updating and key set identifier assignment

### 5.1.5.1 Definition and applicability

The IMSI and TMSI are used for identification of the UE by UTRAN. They are read from the USIM during the USIM-Terminal initialisation procedure. Within the authentication procedure the network sends a key set identifier to the UE. In addition the network may allocate a new TMSI to the UE. Key set identifier and TMSI are stored in the USIM after call termination and/or at a 3G session termination.

This test applies to Terminals accessing UTRAN and supporting CS.

NOTE: According to TS 24.008 [16] the term KSI may be used instead of the term ciphering key sequence number which is used inside the MM message AUTHENTICATION REQUEST.

### 5.1.5.2 Conformance requirement

- 1) After successful completion of the RRC Connection Establishment procedure the UE shall send PAGING RESPONSE containing the correct IMSI stored in the USIM.

Reference:

- TS 31.102, subclauses 5.1.1 and 5.2.2;
- TS 24.008, subclause 10.5.1.4.

- 2) After call termination the USIM shall contain the key set identifier (ciphering key sequence number) and TMSI received by the UE during the authentication and TMSI reallocation procedures.

Reference:

- TS 31.102, subclauses 5.1.2, 5.2.5 and 5.2.6;
- TS 21.111 subclause 10.1.
- TS 24.008 subclause 4.3.2.4.

- 3) After call termination the Terminal shall have updated EF<sub>LOCI</sub>.

Reference:

- TS 102 221, subclause 14.1.2.

### 5.1.5.3 Test purpose

- 1) To verify that the Terminal uses the IMSI stored in the USIM.
- 2) To verify that the Terminal does not respond to page requests containing a previous IMSI.
- 3) To verify that the Terminal can handle an IMSI of maximum length.
- 4) To verify that the Terminal correctly updates the key set identifier at call termination.
- 5) To verify that the Terminal correctly updates the TMSI at call termination.
- 6) To verify that the UPDATE EF<sub>LOCI</sub> command is performed correctly by the terminal.

#### 5.1.5.4 Method of test

##### 5.1.5.4.1 Initial conditions

Prior to this test, the Terminal shall have been operated with a USIM containing IMSI "2460813579". This may be achieved by executing the previous test (5.1.4) prior to this test. Only under this condition will test purpose 2) be verified.

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.  
 LAI (MCC/MNC/LAC): 246/081/0001.  
 Access control: unrestricted.

The default UICC is used with the following exception:

##### **EF<sub>IMSI</sub> (IMSI)**

Logically: 2460811111111111

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9
Hex	08	29	64	80	11	11	11	11	11

The UICC is installed into the Terminal and the UE is powered on.

##### 5.1.5.4.2 Procedure

- The USS sends PAGING TYPE 1 to the UE using the IMSI "2460813579".
- The USS sends PAGING TYPE 1 to the UE using the IMSI stored in the USIM.
- After receipt of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- After receipt of a PAGING RESPONSE from the UE, the USS sends AUTHENTICATION REQUEST to the UE containing Key Set Identifier KSI (ciphering key sequence number) set to binary 010.
- After receipt of AUTHENTICATION RESPONSE from the UE and subsequent completion of the security procedure on RRC, the USS sends TMSI REALLOCATION COMMAND to the UE containing TMSI "32547698".
- Within 5 s after receipt of TMSI REALLOCATION COMPLETE from the UE, the USS sends RRC CONNECTION RELEASE to the UE.
- To allow examination of the values in the USIM after connection termination the UE shall not be soft powered down. If the test is performed with a USIM simulator, the simulation is stopped. If the test is performed with a USIM, the UICC is removed without soft powering down the UE. If this is not possible, the power supply of the Terminal is removed and then the UICC removed.

##### 5.1.5.5 Acceptance criteria

- After step a) the UE shall not respond to the PAGING TYPE 1.
- After step c) the UE shall send PAGING RESPONSE to the USS containing the IMSI stored in the USIM.
- After step e) the UE shall send TMSI REALLOCATION COMPLETE to the USS.
- After step g) the USIM shall contain the following values:

##### **EF<sub>LocI</sub> (Location Information)**

Logically: LAI-MCC: 246

LAI-MNC: 081  
TMSI: "32547698"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	32	54	76	98	42	16	80	xx	xx	xx	00

### EF<sub>Keys</sub> (Ciphering and Integrity Keys)

Logically: Key Set Identifier KSI: 02  
Ciphering Keys CK: xx (result of the authentication algorithm)  
Integrity Keys IK: xx (result of the authentication algorithm)

Coding:	B1	B2	B3	...	B16	B17	B18	...	B31	B32	B33
Hex	02	xx	xx	...	xx	xx	xx	...	xx	xx	xx

## 5.2 Access Control handling

### 5.2.1 Access Control information handling

#### 5.2.1.1 Definition and applicability

Access Control allows restriction of call access attempts. All User Equipment are assigned to one out of ten randomly allocated classes, and optionally (for priority uses) also to one or more special categories.

An Access Class of the special Categories is only valid in the HPLMN or HPLMN country. Otherwise, the randomly allocated class is used.

The classes are programmed on the USIM. The network controls which classes at any time may be barred.

In addition, there is a separate mechanism for control of network access for emergency call attempts.

This test applies to Terminals accessing UTRAN and supporting CS.

#### 5.2.1.2 Conformance requirement

1. The Terminal shall read the access control value as part of the USIM-Terminal initialisation procedure, and subsequently adopt this value.

Reference:

- TS 31.102, subclause 5.1.1.
2. If the UE is a member of at least one access class which corresponds to the permitted classes as signalled over the air interface, and the access class is applicable in the serving network, access attempts are allowed. Otherwise access attempts are not allowed.
  3. If access class 10 is barred, then the UEs of classes 0 - 9 and the Terminals without UICCs shall not make emergency call attempts.
  4. UE of classes 11 - 15 are not allowed to make emergency call attempts if access class 10 and the relevant access class(es) between 11 and 15 are barred. Otherwise, emergency call attempts are allowed irrespective of the conditions of access class 10.

All options are shown in figure 5-1 and are referenced to the tests.

Reference:

- TS 22.011, subclauses 4.3 and 4.4.

### 5.2.1.3 Test purpose

- 1) To verify that the Terminal reads the access control value as part of the USIM-Terminal initialisation procedure, and subsequently adopts this value.
- 2) To verify that the UE controls its network access in accordance with its access control class and the conditions imposed by the serving network.

The tests verify Terminal performance for the following:

Tests (a) and (b) No UICC in Terminal.

Tests (c) to (e) UE with access class 0 to 9.

Test (f) UE with access class 11 and 15 not in HPLMN, and  
UE with access class 12,13 and 14 not in HPLMN country.

Test (g) and (h) UE with access class 11 and 15 in HPLMN, and  
UE with access class 12,13 and 14 in HPLMN country.

Each of the above are tested against all relevant combinations of access control and emergency call bits signalled by the network, as shown in table 5-1.

### 5.2.1.4 Method of test

#### 5.2.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.

LAI (MCC/MNC/LAC): see table 5-1.

Access control: see table 5-1.

RACH: see table 5-1.

The default UICC is installed in the Terminal containing IMSI and access control values as given in table 5-1 and the UE is powered on.

NOTE: Depending on the initial value of the  $EF_{LOCAL}$ , the UE may perform a location update. This shall be accepted by the USS.

#### 5.2.1.4.2 Coding details

USIM IMSI  $EF_{IMSI}$ : Data Field "6F 07"

Logically: IMSI: "2460813579"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9
Hex	06	21	64	80	31	75	F9	FF	FF

Logically: IMSI: "24608135x9"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9
Hex	06	21	64	80	31	x5	F9	FF	FF

Access Control class  $EF_{ACC}$ : Data field "6F 78"

Reference:

- See TS 31.102 [4].

## NETWORK (USS)

Access Class Barred List in SIB 3 should be set as table 5.1:

## Reference

- TS 25.331 clause 10.3.2.1

NOTE: The first instance of the parameter corresponds to Access Class 0, the second to Access Class 1 and so on up to Access Class 15.

## 5.2.1.4.3 Procedure

- a) Using the MMI or EMMI a normal call set-up is attempted.
- b) Using the MMI or EMMI an emergency call set-up is attempted.
- c) The test is repeated for each set of values in table 5-1.

## 5.2.1.5 Acceptance criteria

After steps a) and b) the UE shall access the network, or shall make no access attempt, in accordance with table 5-1.

NOTE: For conformance testing, to limit testing, in tests (c), (d) and (e) it is only necessary that one of the access classes is tested. This access class may randomly chosen.

Table 5-1

USIM			Network			Test Results	
IMSI		Access Class	RACH SIB3: Access Class Barred List	Informative: Cell Barred for:	BCCH/LAI	Normal Call	Emergency Call
		Access Class	AC15-AC08 AC07-AC00	Emergency Call Normal Call	MCC MNC		
Test (a)	No UICC in Terminal	N/A	0000 0100 0000 0000	Yes No	234 001	No	No
Test (b)	No UICC in Terminal	N/A	0000 0000 0000 0000	No No	234 001	No	Yes
Test (c)	"2460813579"	0	0000 0100 0000 0001	Yes No, except for ACC	246 081	No	No
	"2460813579"	1	0000 0100 0000 0010	Yes No, except for ACC	246 081	No	No
	"2460813579"	2	0000 0100 0000 0100	Yes No, except for ACC	246 081	No	No
	"2460813579"	3	0000 0100 0000 1000	Yes No, except for ACC	246 081	No	No
	"2460813579"	4	0000 0100 0001 0000	Yes No, except for ACC	246 081	No	No
	"2460813579"	5	0000 0100 0010 0000	Yes No, except for ACC	246 081	No	No
	"2460813579"	6	0000 0100 0100 0000	Yes No, except for ACC	246 081	No	No
	"2460813579"	7	0000 0100 1000 0000	Yes No, except for ACC	246 081	No	No
	"2460813579"	8	0000 0101 0000 0000	Yes No, except for ACC	246 081	No	No
	"2460813579"	9	0000 0110 0000 0000	Yes No, except for ACC	246 081	No	No
Test (d)	"2460813579"	0	0000 0000 0000 0001	No None, except for ACC	246 081	No	Yes
	"2460813579"	1	0000 0000 0000 0010	No None, except for ACC	246 081	No	Yes
	"2460813579"	2	0000 0000 0000 0100	No None, except for ACC	246 081	No	Yes
	"2460813579"	3	0000 0000 0000 1000	No None, except for ACC	246 081	No	Yes
	"2460813579"	4	0000 0000 0001 0000	No None, except for ACC	246 081	No	Yes

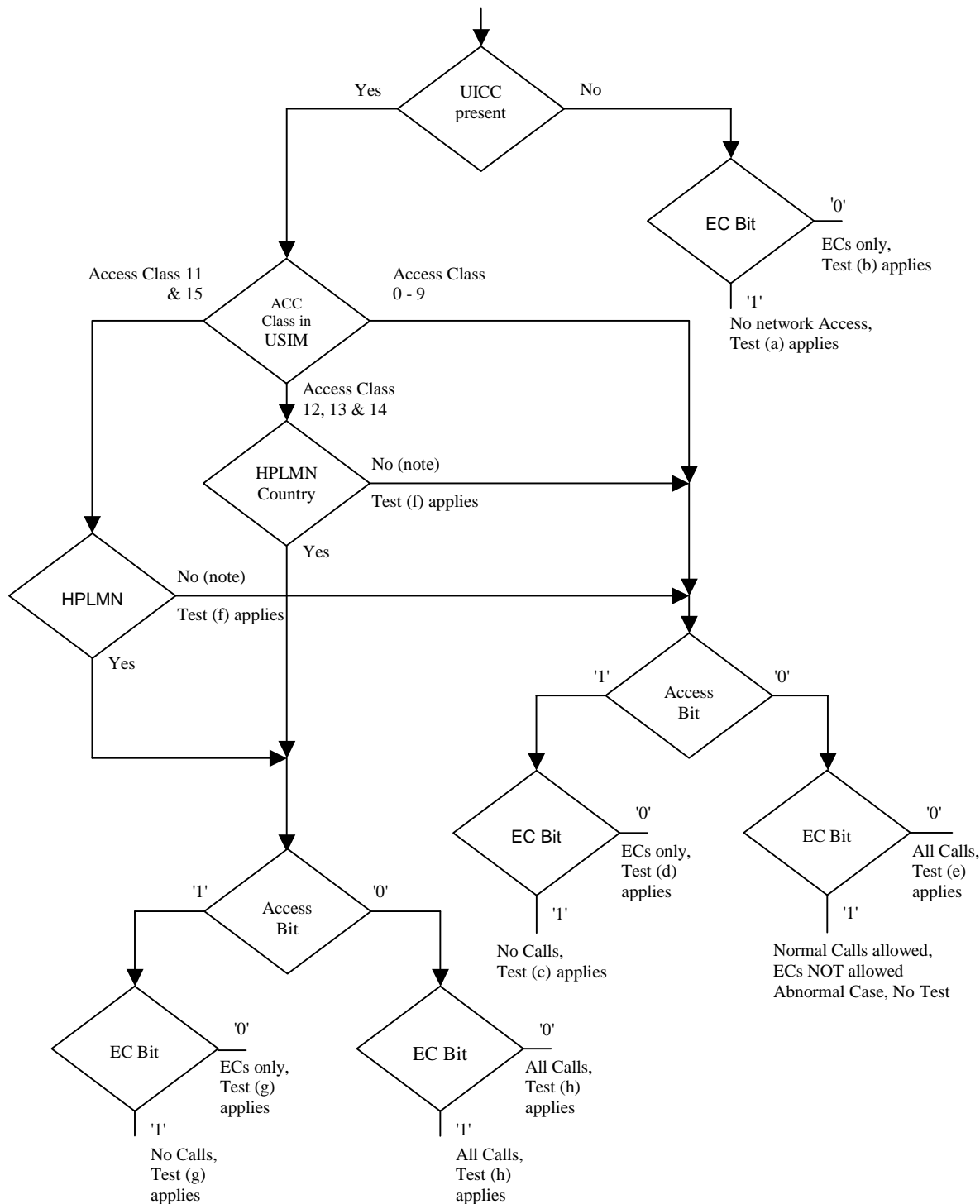


"2460813579"	5	0000 0000 0010 0000	No None, except for ACC	246 081	No	Yes
"2460813579"	6	0000 0000 0100 0000	No None, except for ACC	246 081	No	Yes
"2460813579"	7	0000 0000 1000 0000	No None, except for ACC	246 081	No	Yes
"2460813579"	8	0000 0001 0000 0000	No None, except for ACC	246 081	No	Yes
"2460813579"	9	0000 0010 0000 0000	No None, except for ACC	246 081	No	Yes

Test (e)	"2460813579"	0	1111 1011 1111 1110	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	1	1111 1011 1111 1101	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	2	1111 1011 1111 1011	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	3	1111 1011 1111 0111	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	4	1111 1011 1110 1111	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	5	1101 1011 1101 1111	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	6	1111 1011 1011 1111	No All, except ACC on USIM	246 081	Yes	Yes
	"2406813579"	7	1111 1011 0111 1111	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	8	1111 1010 1111 1111	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	9	1111 1001 1111 1111	No All, except ACC on USIM	246 081	Yes	Yes
Test (f)	"24608135x9"	11 & x	0000 0111 1111 1111	Yes All, except ACC greater than 11	246 082	No	No
	"	11 & x	0000 0011 1111 1111	No All, except ACC greater than 11	246 082	No	Yes
	"	11 & x	0000 0000 0000 0000	No None	246 082	Yes	Yes
	"24608135x9"	12 & x	0000 0111 1111 1111	Yes All, except ACC greater than 11	244 001	No	No
	"	12 & x	0000 0011 1111 1111	No All, except ACC greater than 11	244 001	No	Yes
	"	12 & x	0000 0000 0000 0000	No None	244 001	Yes	Yes
	"24608135x9"	13 & x	0000 0111 1111 1111	Yes All, except ACC greater than 11	244 001	No	No
	"	13 & x	0000 0011	No	244	No	Yes

			1111 1111	All, except ACC greater than 11	001		
"	13 & x		0000 0000 0000 0000	No None	244 001	Yes	Yes
"24608135x9"	14 & x		0000 0111 1111 1111	Yes All, except ACC greater than 11	244 001	No	No
"	14 & x		0000 0011 1111 1111	No All, except ACC greater than 11	244 001	No	Yes
"	14 & x		0000 0000 0000 0000	No None	244 001	Yes	Yes
"24608135x9"	15 & x		0000 0111 1111 1111	Yes All, except ACC greater than 11	246 082	No	No
"	15 & x		0000 0011 1111 1111	No All, except ACC greater than 11	246 082	No	Yes
" Set "x" to an arbitrary value in the range 0 to 9	15 & x		0000 0000 0000 0000	No None	246 082	Yes	Yes
Test (g)	"2460813579"	11 & x	0000 1111 1111 1111	Yes All normal ACC and ACC on USIM	246 081	No	No
"		11 & x	0000 1011 1111 1111	No All normal ACC and ACC on USIM	246 081	No	Yes
"2460813579"		12 & x	0001 0111 1111 1111	Yes All normal ACC and ACC on USIM	246 082	No	No
"		12 & x	0001 0011 1111 1111	No All normal ACC and ACC on USIM	246 082	No	Yes
"2460813579"		13 & x	0010 0111 1111 1111	Yes All normal ACC and ACC on USIM	246 082	No	No
"		13 & x	0010 0011 1111 1111	No All normal ACC and ACC on USIM	246 082	No	Yes
"2460813579"		14 & x	0100 0111 1111 1111	Yes All normal ACC and ACC on USIM	246 082	No	No
"		14 & x	0100 0011 1111 1111	No All normal ACC and ACC on USIM	246 082	No	Yes
"2460813579"		15 & x	1000 0111	Yes	246	No	No

			1111 1111	All normal ACC and ACC on USIM	081		
"	15 & x		1000 0011	No	246	No	Yes
Set "x" to an arbitrary value in the range 0 to 9			1111 1111	All normal ACC and ACC on USIM	081		
Test (h)	"2460813579"	11 & x	1111 0011	No	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	081		
"	11 & x		1111 0111	Yes	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	081		
"2460813579"	12 & x		1110 1011	No	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	082		
"	12 & x		1110 1111	Yes	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	082		
"2460813579"	13 & x		1101 1011	No	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	082		
"	13 & x		1101 1111	Yes	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	082		
"2460813579"	14 & x		1011 1011	No	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	082		
"	14 & x		1011 1111	Yes	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	082		
"2460813579"	15 & x		0111 1011	No	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	081		
"	15 & x		0111 1111	Yes	246	Yes	Yes
Set "x" to an arbitrary value in the range 0 to 9			1111 1111	All, except "special" ACC on USIM	081		



NOTE: UE adopts Access Class 0-9, based on IMSI, see TS 22.011.  
 Access Class in USIM, See TS 31.102 EF ACC, "6F 78".  
 ECs: Emergency Calls.  
 EC BIT: Access Class 10 defined in TS 22.011 clause 4.4.  
 AC Bit: See Access Class Barred List defined in TS 25.331 clause 10.3.2.1.  
 HPLMN: Country means that the MCC of the VPLMN is the same as the MCC of the HPLMN.

Figure 5-1: Access control information

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## 6 Security related Tests

### 6.1 PIN handling

#### 6.1.1 Entry of PIN

##### 6.1.1.1 Definition and applicability

The PIN is a number used to authenticate the user to the UICC for security. Entry of the correct PIN allows PIN-protected data to be accessed over the UICC-Terminal interface.

This test applies to all 3G Terminals.

##### 6.1.1.2 Conformance requirement

Following insertion of the UICC and switching on the UE, the Terminal shall check the state of the PIN. If the PIN is enabled, the Terminal asks the user for PIN verification.

The VERIFY PIN function verifies the PIN presented by the Terminal to the UICC.

Reference:

- TS 102 221[5], subclauses 9 and 11.1.9;
- TS 31.102[4], clause 6;
- TS 22.030[12], subclause 6.6.1.

##### 6.1.1.3 Test purpose

- 1) To verify that the PIN verification procedure is performed by the Terminal correctly.
- 2) To verify that the basic public MMI string is supported.

##### 6.1.1.4 Method of test

###### 6.1.1.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled, and powered off.

The default UICC is used.

###### 6.1.1.4.2 Procedure

- a) The Terminal is powered on.
- b) When the UE is in the "PIN check" mode, the sequence "2468#" shall be entered.

###### 6.1.1.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01".
- 2) After step b) the UE shall give an indication "OK", following a successful execution of the command.

## 6.1.2 Change of PIN

### 6.1.2.1 Definition and applicability

The PIN may be changed by the user, by entering the old and new PIN. The length of the PIN is between 4 and 8 digits. This test applies to all 3G Terminals.

### 6.1.2.2 Conformance requirement

The Terminal shall support the change of PIN procedure as defined in TS 102 221 subclause 11.10.

Reference:

- TS 102 221[5], subclauses 9 and 11.1.10;
- TS 31.102[4], clause 6;
- TS 22.030[12], subclause 6.6.2.

### 6.1.2.3 Test purpose

- 1) To verify that the PIN substitution procedure is performed correctly by the Terminal.
- 2) To verify that the basic public MMI string is supported.

### 6.1.2.4 Method of test

#### 6.1.2.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled.

The default UICC is used.

The Terminal is powered-on, with the correct PIN entered.

#### 6.1.2.4.2 Procedure

- a) Enter "\*\*\*04\*2468\*01234567\*01234567#".
- b) The UE is switched off and on.
- c) When the UE is in the "PIN check" mode, the sequence "2468#" shall be entered.
- d) The UE is switched off and on.
- e) When the UE is in the "PIN-check", mode the sequence "01234567#" shall be entered.

### 6.1.2.5 Acceptance criteria

- 1) After step a), the Terminal shall send a CHANGE PIN command to the UICC, with the parameter P2 set to "01".
- 2) Following the successful execution of the command, the UE shall give an indication that the new PIN is accepted.
- 3) After step c), the UE shall give an indication that the entered PIN is not accepted.
- 3) After step e), the UE shall give an indication "OK".

## 6.1.3 Unblock PIN

### 6.1.3.1 Definition and applicability

After three consecutive wrong entries of the PIN, the PIN shall become blocked. The Unblock PIN command is used to unblock the PIN. This function may be performed whether or not the PIN is blocked.

This test applies to 3G Terminals.

### 6.1.3.2 Conformance requirement

The Terminal shall support the Unblock PIN command, as defined in TS 102 221 subclause 11.13.

Reference:

- TS 102 221[5], subclauses 9 and 11.1.13;
- TS 31.102[4], clause 6;
- TS 22.030[12], subclause 6.6.3.

### 6.1.3.3 Test purpose

- 1) To verify that the PIN unblocking procedure is performed correctly.
- 2) To verify that the basic public MMI string is supported.

### 6.1.3.4 Method of test

#### 6.1.3.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

The default UICC is used.

#### 6.1.3.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) Enter "\*\*\*05\*13243546\*1234\*1234#".
- c) The Terminal is powered off and on.
- d) Enter the new PIN: "1234#".
- e) The Terminal is powered off and on.
- f) Enter a wrong PIN three times.
- g) Enter "\*\*\*05\*13243546\*2468\*2468#".
- h) The Terminal is powered off and on.
- i) Enter the new PIN: "2468#".

### 6.1.3.5 Acceptance criteria

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "01".
- 2) After step d), the Terminal shall indicate that the PIN has been accepted.
- 3) After step f), the Terminal shall indicate that the PIN has been blocked.



- 4) After step g), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "01".
- 5) After step j), the Terminal shall indicate that the PIN has been accepted.

## 6.1.4 Entry of PIN2

### 6.1.4.1 Definition and applicability

The PIN2 is a number used to authenticate the user to the UICC for security. Entry of the correct PIN2 allows PIN2-protected data to be accessed over the UICC-Terminal interface.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN).

### 6.1.4.2 Conformance requirement

Before allowing the access to PIN2 protected data, the Terminal shall ask the user for PIN2 verification. Only after presenting the PIN2, the user shall get access to these data.

The VERIFY PIN function verifies the PIN2 presented by the Terminal to the UICC.

Reference:

- TS 102 221[5], subclauses 9 and 11.1.9;
- TS 31.102[4], clause 6;
- TS 22.030[12], subclause 6.6.1.

### 6.1.4.3 Test purpose

- 1) To verify that the PIN2 verification procedure is performed by the Terminal correctly.
- 2) To verify that the basic public MMI string is supported.

### 6.1.4.4 Method of test

#### 6.1.4.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled, and powered off.

The default UICC is used.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

#### 6.1.4.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- c) When the UE is in the "PIN2 check" mode, the sequence "3579#" shall be entered.

### 6.1.4.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "81".
- 2) After step b) the UE shall give an indication "OK", following a successful execution of the command.

## 6.1.5 Change of PIN2

### 6.1.5.1 Definition and applicability

The PIN2 may be changed by the user, by entering the old and new PIN2. The length of the PIN2 is between 4 and 8 digits.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN).

### 6.1.5.2 Conformance requirement

The Terminal shall support the change of PIN2 procedure as defined in TS 102 221 subclause 11.1.10.

Reference:

- TS 102 221[5], subclauses 9 and 11.1.10;
- TS 31.102[4], clause 6;
- TS 22.030[12], subclause 6.6.2.

### 6.1.5.3 Test purpose

- 1) To verify that the PIN2 substitution procedure is performed correctly by the Terminal.
- 2) To verify that the basic public MMI string is supported.

### 6.1.5.4 Method of test

#### 6.1.5.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN2 enabled.

The default UICC is used.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

The Terminal is powered-on, with the correct PIN entered.

#### 6.1.5.4.2 Procedure

- a) Enter "\*\*\*042\*3579\*12345678\*12345678#".
- b) The UE is switched off and on and the correct PIN is entered.
- c) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- d) When the UE is in the "PIN2 check" mode, the sequence "3579#" shall be entered.
- e) The UE is switched off and on and the correct PIN is entered.
- f) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- g) When the UE is in the "PIN2-check", mode the sequence "12345678#" shall be entered.

### 6.1.5.5 Acceptance criteria

- 1) After step a), the Terminal shall send a CHANGE PIN2 command to the UICC, with the parameter P2 set to "81".

- 2) Following the successful execution of the command, the UE shall give an indication that the new PIN2 is accepted.
- 3) After step d), the UE shall give an indication that the entered PIN2 is not accepted.
- 3) After step g), the UE shall give an indication "OK".

## 6.1.6 Unblock PIN2

### 6.1.6.1 Definition and applicability

After three consecutive wrong entries of the PIN2, the PIN2 shall become blocked. The Unblock PIN2 command is used to unblock the PIN2. This function may be performed whether or not the PIN2 is blocked.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN).

### 6.1.6.2 Conformance requirement

The Terminal shall support the Unblock PIN2 command, as defined in TS 102 221 subclause 11.1.13.

Reference:

- TS 102 221[5], subclauses 9 and 11.1.13;
- TS 31.102[4], clause 6;
- TS 22.030[12], subclause 6.6.3.

### 6.1.6.3 Test purpose

- 1) To verify that the PIN2 unblocking procedure is performed correctly.
- 2) To verify that the basic public MMI string is supported.

### 6.1.6.4 Method of test

#### 6.1.6.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

The default UICC is used.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

#### 6.1.6.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) Enter "\*\*\*052\*089675\*1234\*1234#".
- c) The Terminal is powered off and on and the correct PIN is entered.
- d) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- e) Enter the new PIN2: "1234#".
- f) The Terminal is powered off and on and the correct PIN is entered.
- g) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- h) Enter a wrong PIN2 three times.

- i) Enter "\*\*\*052\*089675\*3579\*3579#".
- j) The Terminal is powered off and on and the correct PIN is entered.
- k) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- l) Enter the new PIN2: "3579#".

### 6.1.6.5 Acceptance criterias

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "81".
- 2) After step e), the Terminal shall indicate that the PIN2 has been accepted.
- 3) After step h), the Terminal shall indicate that the PIN2 has been blocked.
- 4) After step i), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "81".
- 5) After step l), the Terminal shall indicate that the PIN2 has been accepted.

## 6.1.7 Replacement of PIN

### 6.1.7.1 Definition and applicability

The Universal PIN may be used to replace a PIN used to authenticate the user to the UICC for security. In this case entry of the correct Universal PIN allows PIN-protected data to be accessed over the UICC-Terminal interface. TS 102 221[5] defines the range of "01" to "08" as key reference of the PIN on a multi-verification capable UICC.

This test applies to all 3G Terminals.

### 6.1.7.2 Conformance requirement

The Terminal shall support the usage of the Universal PIN as replacement PIN and the replacement procedure as defined in TS 102 221 [5], subclause 11.1.11, as well as the procedure to disable the replacement defined in TS 102 221 [5], subclause 11.1.12.

Reference:

- TS 102 221 [5], subclauses 9, 11.1.11 and 11.1.12;
- TS 31.102 [4], clause 6;

### 6.1.7.3 Test purpose

- 1) To verify that the PIN replacement is supported by the Terminal correctly.
- 2) To verify that the PIN replacement procedure is performed by the Terminal correctly.
- 3) To verify that the procedure to disable the PIN replacement is performed by the Terminal correctly.

### 6.1.7.4 Method of test

#### 6.1.7.4.1 Initial conditions

The Terminal is connected to the UICC simulator with the PIN enabled, and powered off.

The default UICC is used.

#### 6.1.7.4.2 Procedure

- a) The Terminal is powered on.

- b) When the Terminal is in the "PIN check" mode, the sequence "2468#" shall be entered.
- c) The user shall initiate an MMI dependent procedure to replace the PIN by the Universal PIN.
- d) The Terminal is powered off and on and when the Terminal is in 'PIN check' mode the sequence "2468#" shall be entered.
- e) The correct Universal PIN is entered.
- f) The user shall initiate an MMI dependent procedure to disable the replacement of the PIN by the Universal PIN.
- g) The Terminal is powered off and on and when the Terminal is in 'PIN check' mode the sequence "2839#" shall be entered.
- h) The correct PIN is entered.

### 6.1.7.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01".
- 2) After step c), the Terminal shall send a DISABLE PIN command to the UICC, with parameter P1="91" and P2 = "01".
- 3) After step d) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "11" and after unsuccessful execution of the command the Terminal shall indicate that the PIN has not been accepted.
- 4) After step e) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "11" and after successful execution of the command the Terminal shall indicate that the PIN has been accepted.
- 5) After step f), the Terminal shall send an ENABLE PIN command to the UICC, with parameter P2 = "01".
- 6) After step g) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01" and after unsuccessful execution of the command the Terminal shall indicate that the PIN has not been accepted.
- 7) After step e) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01" and after successful execution of the command the Terminal shall indicate that the PIN has been accepted.

## 6.1.8 Change of Universal PIN

### 6.1.8.1 Definition and applicability

The Universal PIN may be changed by the user, by entering the old and new Universal PIN. The length of the Universal PIN is between 4 and 8 digits.

This test applies to all 3G Terminals.

### 6.1.8.2 Conformance requirement

The Terminal shall support the change of PIN procedure as defined in TS 102 221 [5], subclause 11.1.10.

Reference:

- TS 102 221 [5], subclauses 9 and 11.1.10;
- TS 31.102 [4], clause 6.

### 6.1.8.3 Test purpose

To verify that the PIN substitution procedure is performed by the Terminal correctly.

## 6.1.8.4 Method of test

### 6.1.8.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled.

The default UICC is used with the following exception:

The Universal PIN is used as a replacement of the PIN.

The Terminal is powered-on, with the correct Universal PIN entered.

### 6.1.8.4.2 Procedure

- a) The user shall initiate an MMI dependent procedure to change the Universal PIN to "01234567".
- b) The UE is switched off and on.
- c) When the UE is in the "PIN check" mode, the sequence "2839#" shall be entered.
- d) The UE is switched off and on.
- e) When the UE is in the "PIN-check", mode the sequence "01234567#" shall be entered.

## 6.1.8.5 Acceptance criteria

- 1) After step a), the Terminal shall send a CHANGE PIN command to the UICC, with the parameter P2 set to "11".
- 2) Following the successful execution of the command, the UE shall give an indication that the new (Universal) PIN is accepted.
- 3) After step c), the UE shall give an indication that the entered (Universal) PIN is not accepted.
- 4) After step e), the UE shall give an indication "OK".

## 6.1.9 Unblock Universal PIN

### 6.1.9.1 Definition and applicability

After three consecutive wrong entries of the PIN, the PIN shall become blocked. The Unblock PIN command is used to unblock the PIN. This function may be performed whether or not the PIN is blocked.

This test applies to all 3G Terminals.

### 6.1.9.2 Conformance requirement

The Terminal shall support the Unblock PIN command, as defined in TS 102 221 [5], subclause 11.1.13.

Reference:

- TS 102 221 [5], subclause 11.1.13;
- TS 31.102 [4], clause 6.

### 6.1.9.3 Test purpose

To verify that the PIN unblocking procedure is performed correctly.

#### 6.1.9.4 Method of test

##### 6.1.9.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

The default UICC is used.

##### 6.1.9.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) The user shall initiate an MMI dependent procedure to unblock the Universal PIN and set the new Universal PIN value to "1234".
- c) The Terminal is powered off and on.
- d) Enter the new PIN: "1234#".
- e) The Terminal is powered off and on.
- f) Enter a wrong PIN three times.
- g) The user shall initiate an MMI dependent procedure to unblock the Universal PIN and set the new Universal PIN value to "2839".
- h) The Terminal is powered off and on.
- i) Enter the new PIN: "2839#".

##### 6.1.9.5 Acceptance criteria

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "11".
- 2) After step d), the Terminal shall indicate that the (Universal) PIN has been accepted.
- 3) After step f), the Terminal shall indicate that the (Universal) PIN has been blocked.
- 4) After step g), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "11".
- 5) After step j), the Terminal sha

## 6.2 Fixed Dialling Numbers (FDN) handling

### 6.2.1 Terminal and USIM with FDN enabled, EF<sub>ADN</sub> readable and updateable

#### 6.2.1.1 Definition and applicability

Fixed Dialling Numbers (FDN) is a service defined for the USIM. An enabled FDN service results in call restrictions for the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of FDN the UE runs the FDN capability request procedure during UICC-Terminal initialisation. During the initialisation the Terminal shall request the Emergency call codes of the USIM EF<sub>ECC</sub>.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting FDN and CS.

#### 6.2.1.2 Conformance requirement

- 1) Recognising the state of the USIM (FDN enabled) the UE shall perform the UICC initialisation procedure as specified.

- 2) The UE allows call set-up to a directory number as stored in EF<sub>FDN</sub>.
- 3) The UE allows call set-up to a directory number as stored in EF<sub>FDN</sub> and extended by digits in the end.
- 4) The UE does not allow call set-up to a directory number stored in EF<sub>FDN</sub> but with missing digits at the end.
- 5) The UE does not allow call set-up to a directory number having no reference in EF<sub>FDN</sub>.
- 6) The UE does not allow call set-up of an emergency call using the emergency number stored in the Terminal except "112", "911" and the emergency numbers stored on the SIM/USIM.
- 7) The UE allows call set-up of an emergency call using the emergency number stored in the USIM.

Reference:

- TS 22.101[11], clauses 8 and A.24;
- TS 31.102[4], subclauses 4.4.2, 4.2.24, 5.1.1 and 5.3.2.

### 6.2.1.3 Test purpose

- 1) To verify that the Terminal allows call set-up to a FDN number.
- 2) To verify that the Terminal allows call set-up to a FDN number extended by some digits in the end.
- 3) To verify that the Terminal rejects call set-up to number having no reference in EF<sub>FDN</sub>.
- 4) To verify that the Terminal rejects call set-up to a FDN number not completely corresponding to an entry in EF<sub>FDN</sub>.
- 5) To verify that the Terminal does not allow emergency call set-up using the emergency number stored in the Terminal except "112", "911" and the emergency numbers stored on the SIM/USIM.
- 6) To verify that the Terminal allows emergency call set-up using the emergency number stored in the USIM.

### 6.2.1.4 Method of test

#### 6.2.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.  
LAI (MCC/MNC/LAC): 246/081/0001.  
Access control: unrestricted.

The default FDN UICC with FDN service enabled and EF<sub>ADN</sub> readable and updateable is installed into the Terminal.

#### 6.2.1.4.2 Procedure

- a) The UE is powered on and PIN is entered.
- b) Using the MMI a call set-up to the fixed dialling number 1 (record 1) is attempted.
- c) Using the MMI a call set-up to the fixed dialling number 2 (record 2) extended by "123" in the end is attempted.
- d) Using the MMI a call set-up to a number which is equal to the fixed dialling number 3 (record 3) without the last digit is attempted, e.g. by recalling the fixed dialling number 3 and deleting the last digit (only in display).
- e) Using the MMI a call set-up to the number "1234567" is attempted.
- f) Using the MMI an emergency call set-up is attempted using an emergency call code stored in the Terminal, but not "112", "911" nor one of the emergency numbers stored on the SIM/USIM.



- g) Using the MMI an emergency call set-up is attempted using either "112" or "911".
- h) Using the MMI an emergency call set-up is attempted using the emergency call code stored in the USIM (i.e. "122").

NOTE: For step f) one of the emergency call codes according to TS 22.101[11], subclause 8.1, except "112" and "911", shall be used (i.e. '000', '08', '110', '118', '119' or '999').

### 6.2.1.5 Acceptance criteria

- 1) After step a) the UE is registered and in idle state.
- 2) After steps b) and c) the UE shall allow call set-up and send the requested number across the air interface.
- 3) After steps d), e) and f) the UE shall prevent call set-up.
- 4) After steps g) and h) the UE shall allow emergency call by indicating the call setup as "Emergency Call".

## 6.2.2 Terminal and USIM with FDN disabled

### 6.2.2.1 Definition and applicability

Fixed Dialling Numbers (FDN) is a service defined for the USIM. An enabled FDN service results in call restrictions for the UE. Only directory numbers which are stored in the  $EF_{FDN}$  may be dialled by the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of FDN the UE runs the FDN capability request procedure during UICC-Terminal initialisation. Deactivation of the service by the subscriber is possible under the control of PIN2 and switches the USIM into a "normal", non restrictive USIM.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting FDN and CS.

### 6.2.2.2 Conformance requirement

- 1) Recognising the state of the USIM (FDN disabled) the UE correctly performs the UICC initialisation procedure.
- 2) The UE allows call set-up to a directory number as stored in  $EF_{FDN}$ .
- 3) The UE allows call set-up to a directory number as stored in  $EF_{ADN}$ .
- 4) The UE allows call set-up to a directory number given in manually.

Reference:

- TS 22.101[11], clauses 8 and A.24;
- TS 31.102[4], subclauses 4.4.2.3, 4.2.24, 4.2.47, 5.1.1 and 5.3.2.

### 6.2.2.3 Test purpose

- 1) To verify that the Terminal as a result of the state of the USIM correctly performs the UICC-Terminal initialisation procedure.
- 2) To verify that the Terminal allows call set-up to a FDN number.
- 3) To verify that the Terminal allows call set-up to a ADN number.
- 4) To verify that the Terminal allows call set-up to manually given number.

## 6.2.2.4 Method of test

### 6.2.2.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.  
LAI (MCC/MNC/LAC): 246/081/0001.  
Access control: unrestricted.

The default FDN UICC is used with the following exception:

#### **EF<sub>EST</sub> (Enable Service Table)**

Logically: Fixed Dialling Numbers disabled.  
Barred Dialling Numbers disabled.  
APN Control list (ACL) disabled.

Coding: B1  
binary 0000 0000

The UICC is installed into the Terminal and the UE is powered on.

### 6.2.2.4.2 Procedure

- a) Using the MMI a call set-up to the fixed dialling number 1 is attempted.
- b) Using the MMI a call set-up to the abbreviated dialling number 1 is attempted.
- c) Using the MMI a call set-up to the number "1234567" is attempted.

## 6.2.2.5 Acceptance criteria

After steps a), b) and c) the UE shall allow call set-up and send the requested number across the air interface.

## 6.2.3 Enabling, disabling and updating of FDN

### 6.2.3.1 Definition and applicability

FDN may be enabled and disabled by the subscriber under control of PIN2. Fixed dialling numbers are read with PIN and updated under control of PIN2.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting FDN and CS.

### 6.2.3.2 Conformance requirement

- 1) Recognising the state of the USIM (FDN enabled) the UE shall perform the UICC initialisation procedure as specified.
- 2) The UE shall allow updating of EF<sub>FDN</sub> by the use of PIN2.
- 3) The UE provides means to disable the FDN service by the use of PIN2.
- 4) The UE shall allow the use of EF<sub>ADN</sub> after disabling of FDN.

Reference:

- TS 22.101[11], clause 8 and A.24;

- TS 31.102[4], subclauses 4.4.2.3, 4.2.24, 4.2.47, 5.1.1 and 5.3.2.

### 6.2.3.3 Test purpose

- 1) To verify that the Terminal correctly performs the update of a number in EF<sub>FDN</sub>.
- 2) To verify that the Terminal correctly disables FDN service.
- 3) To verify that the Terminal recognises disabling of FDN and allows access to EF<sub>ADN</sub>.

### 6.2.3.4 Method of test

#### 6.2.3.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.  
 LAI (MCC/MNC/LAC): 246/081/0001.  
 Access control: unrestricted.

The default FDN UICC with FDN service enabled is installed into the Terminal.

#### 6.2.3.4.2 Procedure

- a) The UE is powered on and PIN is entered.
- b) Using the MMI the directory number "+876543210" is stored in EF<sub>FDN</sub> as fixed dialling number 1 (record 1). The alpha identifier is not changed. On request of the UE PIN2 is entered.
- c) Using the MMI the FDN disabling procedure is performed. On request of the UE PIN2 is entered.
- d) Using the MMI a call set-up to the abbreviated dialling number 1 (record 1) is attempted.
- e) The UE is soft-powered down.

### 6.2.3.5 Acceptance criteria

- 1) After step a) the UE is registered and in idle state.
- 2) After step c) the UE shall indicate that the FDN disabling procedure has been successful.
- 3) After step d) the UE shall allow call set-up and send the requested number across the air interface.
- 4) After step e) record 1 in EF<sub>FDN</sub>, shall contain the following values:

Hex	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13
	46	44	4E	31	31	31	06	91	78	56	34	12	F0
	B14	B15	B16	B17	B18	B19	B20						
	FF	FF	FF	FF	FF	FF	FF						

## 6.3 Void

## 6.4 Advice of charge (AoC) handling

### 6.4.1 AoC not supported by USIM

#### 6.4.1.1 Definition and applicability

If the Terminal under test supports Advice of Charge Charging, it shall still look at the capability of the USIM, before responding to any AoCC information from the network.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC and CS.

#### 6.4.1.2 Conformance requirement

- 1) An UE not supporting AoCC and in the outgoing call / U4 call delivered state, on receipt of a CONNECT message containing AoCC information shall acknowledge the CONNECT message but ignore and not acknowledge the AoCC information sent within the CONNECT.
- 2) An UE not supporting AoCC and in the outgoing call / U4 call delivered state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 3) An UE not supporting AoCC and in the incoming call / U9 call confirmed state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 4) An UE not supporting AoCC and in the U10 call active state, on receipt of a FACILITY message containing AoCC information, shall ignore and not acknowledge the AoCC information sent within the FACILITY.

#### References:

- TS 24.008[16], subclause 5.1.2.1;
- TS 23.086[9], subclauses 1.2, 1.3, 2.2 and 2.3;
- TS 24.086[10], clause 2.

#### 6.4.1.3 Test purpose

- 1) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the outgoing call / U4 call delivered state, on receipt of a CONNECT message containing AoCC information shall acknowledge the CONNECT message but ignore and not acknowledge the AoCC information sent within the CONNECT.
- 2) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the outgoing call / U4 call delivered state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 3) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the incoming call / U9 call confirmed state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 4) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the U10 call active state, on receipt of a FACILITY message containing AoCC information, shall ignore and not acknowledge the AoCC information sent within the FACILITY.

#### 6.4.1.4 Method of test

##### 6.4.1.4.1 Initial conditions

The Terminal shall be installed with a UICC or USIM simulator, with all elementary files coded as for the default UICC, with the exception of:

##### EF<sub>UST</sub> (USIM Service Table)

Logically:

- Local Phone Book available.
- User controlled PLMN selector available.
- Fixed dialling numbers available.
- The GSM Access available.
- The Group Identifier level 1 and level 2 not available.
- AoC not available.
- Service n 33 (Packed Switched Domain) shall be set to '1'.

Enabled Services Table available

Coding:	B1	B2	B3	B4	B5
binary	xxxx xx11	xxx0 xxxx	xxxx 1x00	xxxx x1xx	xxxx xx11

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

The generic call set up procedures defined in TS 34.108 [21], subclause 7.2.3.2.3 and 7.2.3.1.3 are followed up to and including the reception, or transmission of the ALERTING message by the UE.

##### 6.4.1.4.2 Procedure

- a) For an MO call in the U4 state the USS transmits CONNECT containing AoCC information.
- b) For an MO call in the U4 state the USS transmits FACILITY containing AoCC information.
- c) For an MT call in the U9 state the USS transmits FACILITY containing AoCC information.
- d) For an MO call in the U10 state the USS transmits FACILITY containing AoCC information.

##### 6.4.1.5 Acceptance criteria

In all cases, the UE shall ignore the AoCC information sent to it in the Facility information elements as part of the CONNECT/FACILITY messages and not send any AoCC information acknowledgement. It shall be checked for 15 s that the UE does not transmit any AoCC information acknowledgement after the receipt of AoCC information.

### 6.4.2 Maximum frequency of ACM updating

#### 6.4.2.1 Definition and applicability

During a call, the ACM shall be updated at the end of every interval. The interval length is the greater of either 5 s or the value given by parameter e2 (part of the Facility Information Element).

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC and CS.

#### 6.4.2.2 Conformance requirement

The ACM shall be incremented when the CCM is incremented or once every 5 s, whichever is the longer period.

When used the value '1C' shall be used as SFI for EF<sub>ACM</sub>, for compatibility reasons the terminal shall accept other values.

Reference:

- TS 22.024[8], subclause 4.3, part h;
- TS 31.102 [4], subclauses 4.2.9, 5.3.4 and Annex H.1.

### 6.4.2.3 Test purpose

- 1) To verify that the Terminal, during a call, increments the ACM every 5 s when e2 is less or equal to 5 s.
- 2) To verify that the Terminal is able to handle other values than '1C' as SFI of EF<sub>ACM</sub>.

### 6.4.2.4 Method of test

#### 6.4.2.4.1 Initial conditions

The Terminal shall be connected to the USIM simulator, with all elementary files coded as default with the exception of:

#### EF<sub>UST</sub> (USIM Service Table)

Logically: Local Phone Book available.  
 User controlled PLMN selector available.  
 Fixed dialling numbers available.  
 The GSM Access available.  
 The Group Identifier level 1 and level 2 not available.  
 AoC available.  
 Service n 33 (Packed Switched Domain) shall be set to '1'  
 Enabled Services Table available'.

Coding:	B1	B2	B3	B4	B5
binary	xxxx xx11	xxx1 xxxx	xxxx 1x00	xxxx x1xx	xxxx xx11

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

#### EF<sub>ACM</sub> (Accumulated call meter)

Logically: 50 units

The SFI of EF<sub>ACM</sub> shall be set to '18'.

EF<sub>ACMmax</sub> (Accumulated call meter maximum)

Logically: 150 units

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.
- LAI (MCC/MNC/LAC): 246/081/0001.
- Access control: unrestricted.

User Equipment:

- The UE is in MM-state "idle, updated".

#### 6.4.2.4.2 Procedure

- a) The UE is made to initiate a call. The call establishment shall be performed according to the procedures defined in TS34.108 [21], subclause 7.2.3.2.3 extended by the messages of the AoCC. The call is established with AoCC e-parameters sent in a Facility IE in the CONNECT message, as given below. The UE returns the AoCC acknowledgement after the reception of the CONNECT message. It is an implementation option whether the AoCC acknowledge is sent by the UE before or after the CONNECT ACKNOWLEDGE.

- b) The call is maintained for 90 s, then terminated by the USS. During the call, the USIM-simulator monitors the time intervals between successive INCREMENT commands. As the final INCREMENT command will have occurred as a result of call termination, the time interval calculated since the prior INCREMENT command shall be ignored.

Maximum Duration of Test:

2 minutes.

Expected Sequence:

Step	Direction	Message	Comments
1	UE		The UE is made to initiate a call
2	UE -> USS	RRC CONNECTION REQUEST	
3	USS -> UE	RRC CONNECTION SETUP	
4	UE -> USS	RRC CONNECTION SETUP COMPLETE	
5	UE -> USS	CM SERVICE REQUEST	
6	USS -> UE	AUTHENTICATION REQUEST	MM procedure, to ensure the successful start of integrity in step 8
7	UE -> USS	AUTHENTICATION RESPONSE	
8	USS -> UE	SECURITY MODE COMMAND	RRC procedure, start of integrity is mandatory during call setup
9	UE -> USS	SECURITY MODE COMPLETE	
10	UE -> USS	SETUP	
11	USS -> UE	CALL PROCEEDING	
12	USS -> UE	RADIO BEARER SETUP	To a supported channel type
13	UE -> USS	RADIO BEARER SETUP COMPLETE	
14	USS -> UE	ALERTING	
15	USS -> UE	CONNECT	As default message except contains Facility IE with contents as indicated in i) below
			Either A or B branch is taken
A16	UE -> USS	CONNECT ACKNOWLEDGE	
A17	UE -> USS	FACILITY	As default message except contains Facility IE with contents as indicated in ii) below
B16	UE -> USS	FACILITY	As default message except contains Facility IE with contents as indicated in ii) below
B17	UE -> USS	CONNECT ACKNOWLEDGE	
18			call duration 90 s after CAI information sent by USS,
19	USS -> UE	DISCONNECT	
20	UE -> USS	RELEASE	
21	USS -> UE	RELEASE COMPLETE	
22	USS -> UE	RRC CONNECTION RELEASE	
23	UE -> USS	RRC CONNECTION RELEASE COMPLETE	All connections of RRC are released.

Specific Message Contents:

- i) **FACILITY Information Element** with **Invoke = ForwardChargeInformation** component type as defined in TS 24.080[17] subclauses 3.6.1 table 3.3.

For ASN.1 description see default message contents in TS 51.010-1 [22], subclause 31.6.4..

The values of the e-parameters within the parameter part of the Facility Information Element shall be set as below:

e-parameters:

parameter:	e1	e2	e3	e4	e5	e6	e7
value	1	1	1	0	0	0	0

Values shown in table are in the format and have units as in TS 22.024[8] clause 3.

- ii) **FACILITY Information Element** with **Return Result** component type as defined in TS 24.080[17] subclause 3.6.1 table 3.4.

For ASN.1 description see default message contents in TS 51.010-1 [22], subclause 31.6.4..

### 6.4.2.5 Acceptance criteria

The UE shall, during a call, send INCREMENT commands to the USIM every 5 s.

## 6.4.3 Call terminated when ACM greater than ACMmax

### 6.4.3.1 Definition and applicability

ACMmax gives the maximum value of ACM, at which the current chargeable calls shall be terminated and no further calls may be made (except emergency calls).

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC and CS.

### 6.4.3.2 Conformance requirement

ACM shall be incremented by the value of CCM.

If the ACMmax is valid, and the ACM becomes equal to or exceeds the value of the ACMmax, then all calls in progress, chargeable to the user, shall be terminated by the UE, once the chargeable interval determined by the CAI has elapsed, (except emergency calls).

When used the value '1C' shall be used as SFI for  $EF_{ACM}$ , for compatibility reasons the terminal shall accept other values.

Reference:

- TS 22.024[8], subclauses 4.2.2 and 4.3 (part h);
- TS 102 221[5], subclause 14.1.3;
- TS 31.102 [4], subclause 4.2.9, 5.3.4 and Annex H.1.

### 6.4.3.3 Test purpose

- 1) To verify that the Terminal increments the ACM by the correct number of units, even though this may take ACM above ACMmax.
- 2) To verify that the Terminal terminates the call.
- 3) To verify that the INCREMENT  $EF_{ACM}$  command is performed correctly by the terminal.
- 4) To verify that the Terminal is able to handle other values than '1C' as SFI of  $EF_{ACM}$ .

### 6.4.3.4 Method of test

#### 6.4.3.4.1 Initial conditions

The Terminal shall be connected to a UICC or the USIM simulator, with all elementary files coded as default with the exception of:

#### $EF_{UST}$ (USIM Service Table)

Logically: Local Phone Book available;  
 User controlled PLMN selector available;  
 Fixed dialling numbers available;  
 The GSM Access available;  
 The Group Identifier level 1 and level 2 not available;  
 AoC available;  
 Service n 33 (Packed Switched Domain) shall be set to '1'.



## Enabled Services Table available

Coding:	B1	B2	B3	B4	B5
binary	xxxx xx11	xxx1 xxxx	xxxx 1x00	xxxx x1xx	xxxx xx11

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

**EF<sub>ACM</sub> (Accumulated call meter)**

Logically: 80 units

Coding:	B1	B2	B3
binary	0000 0000	0000 0000	0101 0000

**EF<sub>ACMmax</sub> (Accumulated call meter maximum)**

Logically: 94 units

Coding:	B1	B2	B3
binary	0000 0000	0000 0000	0101 1110

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.

LAI (MCC/MNC/LAC): 246/081/0001.

Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

**6.4.3.4.2 Procedure**

- a) The UE is made to initiate a call. The call establishment shall be performed according to the procedures defined in TS 34.108 [21], subclause 7.2.3.2.3 extended by the messages of the AoCC. The call is established with AoCC e-parameters sent in a Facility IE in the CONNECT message, as given below. The UE returns the AoCC acknowledgement after the reception of the CONNECT message. It is an implementation option whether the AoCC acknowledge is sent by the UE before or after the CONNECT ACKNOWLEDGE.
- b) The call is maintained until cleared by the UE (after 30 s).
- c) The contents of ACM are checked.

Maximum Duration of Test:

2 minutes.

Expected Sequence:

Step	Direction	Message	Comments
1	UE		The UE is made to initiate a call
2	UE -> USS	RRC CONNECTION REQUEST	
3	USS -> UE	RRC CONNECTION SETUP	
4	UE -> USS	RRC CONNECTION SETUP COMPLETE	
5	UE -> USS	CM SERVICE REQUEST	
6	USS -> UE	AUTHENTICATION REQUEST	MM procedure, to ensure the successful start of integrity in step 8
7	UE -> USS	AUTHENTICATION RESPONSE	
8	USS -> UE	SECURITY MODE COMMAND	RRC procedure, start of integrity is mandatory during call setup
9	UE -> USS	SECURITY MODE COMPLETE	
10	UE -> USS	SETUP	
11	USS -> UE	CALL PROCEEDING	
12	USS -> UE	RADIO BEARER SETUP	To a supported channel type
13	UE -> USS	RADIO BEARER SETUP COMPLETE	
14	USS -> UE	ALERTING	
15	USS -> UE	CONNECT	As default message except contains Facility IE with contents as indicated in i) below
			Either A or B branch is taken
A16	UE -> USS	CONNECT ACKNOWLEDGE	
A17	UE -> USS	FACILITY	As default message except contains Facility IE with contents as indicated in ii) below
B16	UE -> USS	FACILITY	As default message except contains Facility IE with contents as indicated in ii) below
B17	UE -> USS	CONNECT ACKNOWLEDGE	
18			call duration 30 s after CAI information sent by USS
19	UE -> USS	DISCONNECT	
20	USS -> UE	RELEASE	
21	UE -> USS	RELEASE COMPLETE	
22	USS -> UE	RRC CONNECTION RELEASE	
23	UE -> USS	RRC CONNECTION RELEASE COMPLETE	All connections of RRC are released.

Specific Message Contents:

- i) **FACILITY Information Element** with **Invoke = ForwardChargeInformation** component type as defined in TS 24.080[17] subclause 3.6.1 table 3.3.

For ASN.1 description see default message contents in TS 51.010-1 [22], subclause 31.6.4.

The values of the e-parameters within the parameter part of the Facility Information Element shall be set as below:

e-parameters:

parameter:	e1	e2	e3	e4	e5	e6	e7
value	10	10	1	0	0	0	0

Values shown in table are in the format and have units as in TS 22.024[8] clause 3.

- ii) **FACILITY Information Element** with **Return Result** component type as defined in TS 24.080[17] subclause 3.6.1 table 3.4.

For ASN.1 description see default message contents in TS 51.010-1 [22], subclause 31.6.4.

### 6.4.3.5 Acceptance criteria

- 1) The UE shall terminate the call correctly 30 s after CAI was sent.
- 2) The value of ACM shall be 100 units.

## 6.4.4 Response codes of increase command of ACM

### 6.4.4.1 Definition and applicability

ACM has a maximum value in terms of coding, and an attempt by the Terminal to exceed that value by sending an INCREASE command shall result in an error message from the USIM. As the maximum of the ACM is equal to the maximum value of ACM<sub>max</sub>, all current chargeable calls shall be terminated and no further calls may be made (except emergency calls).

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC and CS.

### 6.4.4.2 Conformance requirement

The Terminal shall perform the increasing procedure, sending the amount to be increased.

The running accumulated charge shall be stored in the ACM of the USIM.

Where this charge cannot be stored in the UE, use of the telecommunications service shall be prevented.

At the time ACM exceeds it's maximum value, then all calls in progress, chargeable to the user, shall be terminated by the UE, once the chargeable interval determined by the CAI has elapsed, (except emergency calls).

When used the value '1C' shall be used as SFI for EF<sub>ACM</sub>, for compatibility reasons the terminal shall accept other values.

References:

- TS 31.102[4], subclauses 4.2.9, 5.3.4 and Annex H.1;
- TS 22.086[18], subclauses 2.1 and 2.2.1.

### 6.4.4.3 Test purpose

- 1) To verify that the Terminal clears a charged call if the USIM indicates that the ACM cannot be increased.
- 2) To verify that the Terminal is able to handle other values then '1C' as SFI of EF<sub>ACM</sub>.

### 6.4.4.4 Method of test

#### 6.4.4.4.1 Initial conditions

The Terminal shall be connected to the USIM simulator, with all elementary files coded as default with the exception of:

#### EF<sub>UST</sub> (USIM Service Table)

Logically:

- Local Phone Book available;
- User controlled PLMN selector available;
- Fixed dialling numbers available;
- The GSM Access available;
- The Group Identifier level 1 and level 2 not available;
- AoC available;
- Service n 33 (Packed Switched Domain) shall be set to '1'.
- Enabled Services Table available

Coding:	B1	B2	B3	B4	B5
binary	xxxx xx11	xxx1 xxxx	xxxx 1x00	xxxx x1xx	xxxx xx11

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

#### EF<sub>ACM</sub> (Accumulated call meter)

Logically: (Maximum value - 10) units

Coding:	B1	B2	B3
binary	1111 1111	1111 1111	1111 0101

The SFI of EF<sub>ACM</sub> shall be set to '18'.

#### EF<sub>ACMmax</sub> (Accumulated call meter maximum)

Logically: (Maximum value - 2) units

Coding:	B1	B2	B3
binary	1111 1111	1111 1111	1111 1101

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.

LAI (MCC/MNC/LAC): 246/081/0001.

Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

#### 6.4.4.4.2 Procedure

- The UE is made to initiate a call. The call establishment shall be performed according to the procedures defined in TS 34.108 [21], subclause 7.2.3.2.3 extended by the messages of the AoCC. The call is established with AoCC e-parameters sent in a Facility IE in the CONNECT message, as given below. The UE returns the AoCC acknowledgement after the reception of the CONNECT message. It is an implementation option whether the AoCC acknowledge is sent by the UE before or after the CONNECT ACKNOWLEDGE.
- After an interval has elapsed, the Terminal increments the ACM. When an INCREASE command is received, the USIM-simulator sends back the error "98 50".
- Conditions are reset to those described in the initial conditions. Steps a) and b) of the test are repeated, except that the error code sent by the USIM simulator at step b) is now "6F xx".
- Conditions are reset to those described in the initial conditions. Steps a) and b) of the test are repeated, except that the error code sent by the USIM simulator at step b) is now "65 81".

References:

- TS 102 221[5], subclause 10.2.1.

Maximum Duration of Test:

3 minutes.

Expected Sequence:

Step	Direction	Message	Comments
1	UE		The UE is made to initiate a call
2	UE -> USS	RRC CONNECTION REQUEST	
3	USS -> UE	RRC CONNECTION SETUP	
4	UE -> USS	RRC CONNECTION SETUP COMPLETE	
5	UE -> USS	CM SERVICE REQUEST	
6	USS -> UE	AUTHENTICATION REQUEST	MM procedure, to ensure the successful start of integrity in step 8
7	UE -> USS	AUTHENTICATION RESPONSE	
8	USS -> UE	SECURITY MODE COMMAND	RRC procedure, start of integrity is mandatory during call setup
9	UE -> USS	SECURITY MODE COMPLETE	
10	UE -> USS	SETUP	
11	USS -> UE	CALL PROCEEDING	
12	USS -> UE	RADIO BEARER SETUP	to a supported channel type
13	UE -> USS	RADIO BEARER SETUP COMPLETE	
14	USS -> UE	ALERTING	
15	USS -> UE	CONNECT	As default message except contains Facility IE with contents as indicated in i) below
			Either A or B branch is taken
A16	UE -> USS	CONNECT ACKNOWLEDGE	
A17	UE -> USS	FACILITY	As default message except contains Facility IE with contents as indicated in ii) below
B16	UE -> USS	FACILITY	As default message except contains Facility IE with contents as indicated in ii) below
B17	UE -> USS	CONNECT ACKNOWLEDGE	
18			call duration 10s after CAI information sent by USS
19	UE -> USS	DISCONNECT	
20	USS -> UE	RELEASE	
21	UE -> USS	RELEASE COMPLETE	
22	USS -> UE	RRC CONNECTION RELEASE	
23	UE -> USS	RRC CONNECTION RELEASE COMPLETE	All connections of RRC are released.

Specific Message Contents:

- i) **FACILITY Information Element** with **Invoke = ForwardChargeInformation** component type as defined in TS 24.080[17] subclause 3.6.1 table 3.3.

For ASN.1 description see default message contents in TS 51.010-1 [22], subclause 31.6.4.

The values of the e-parameters within the parameter part of the Facility Information Element shall be set as below:

e-parameters:

parameter:	e1	e2	e3	e4	e5	e6	e7
value	20	10	1	0	0	0	0

Values shown in table are in the format and have units as in TS 22.024[8] clause 3.

- ii) **FACILITY Information Element** with **Return Result** component type as defined in TS 24.080[17] subclause 3.6.1 table 3.4.

For ASN.1 description see default message contents in TS 51.010-1 [22], subclause 31.6.4.

#### 6.4.4.5 Acceptance criteria

- 1) The UE shall terminate the call correctly 10 s after CAI was sent.
- 2) In each of the three cases, as described in steps b), c) and d) of the procedure, the UE shall terminate the call correctly when it receives an indication from the USIM that the ACM cannot be incremented.

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## 7 PLMN related tests

### 7.1 FPLMN handling

#### 7.1.1 Adding FPLMN to the Forbidden PLMN list

##### 7.1.1.1 Definition and applicability

A list of forbidden PLMNs stored in the USIM and providing storage for at least 4 entries is managed by the UE. In automatic PLMN selection mode the UE controls registration attempts to appropriate networks with respect to this list of forbidden PLMNs. As a result of a registration reject with the cause "PLMN not allowed" the UE stores the PLMN which rejected the update request in the USIM.

The registration attempts initiated by the UE depends on UEs capabilities and can be one of the following:

- I. registration procedures for UEs supporting CS or
- II. registration procedures for UEs supporting PS or
- III. registration procedures for UEs supporting CS/PS

This test applies to Terminals accessing UTRAN.

##### 7.1.1.2 Conformance requirement

- 1) Depending on which domain the UE is going to be registered on, one of the following requirements should be fulfilled:
  - I. in automatic PLMN selection mode the UE shall only attempt a LOCATION UPDATING REQUEST during registration on CS if it receives a BCCH containing a PLMN(MCC,MNC) that is not indicated in the EF<sub>FPLMN</sub> in the USIM or
  - II. in automatic PLMN selection mode the UE shall only attempt a ATTACH REQUEST during registration on PS if it receives a BCCH containing a PLMN(MCC,MNC) that is not indicated in the EF<sub>FPLMN</sub> in the USIM or
  - III. in automatic PLMN selection mode the UE shall only attempt a LOCATION UPDATING REQUEST and/or ATTACH REQUEST during registration on CS/PS if it receives a BCCH containing a PLMN(MCC,MNC) that is not indicated in the EF<sub>FPLMN</sub> in the USIM.

Reference:

- TS 22.011, subclause 2.3;
- TS 31.102, subclauses 5.1.1 and 5.2.7.

- 2) Depending on which domain the UE is going to be on, one of the following requirements should be fulfilled:

- I. after receipt of a LOCATION UPDATE REJECT message during registration on CS with the cause "PLMN not allowed" the Terminal shall update the EF<sub>FPLMN</sub> in the USIM or
- II. after receipt of a ATTACH REJECT message during registration on PS with the cause "PLMN not allowed" the Terminal shall update the EF<sub>FPLMN</sub> in the USIM or
- II. after receipt of a LOCATION UPDATING REJECT and/or ATTACH REJECT message during registration on CS/PS with the cause "PLMN not allowed" the Terminal shall update the EF<sub>FPLMN</sub> in the USIM.

Reference:

- TS 22.011, subclause 3.2.2 ;
- TS 31.102, subclauses 5.1.1 and 5.2.7.

- 3) Depending on which domain the UE is going to be registered on, one of the following requirements should be fulfilled:
- I. after registration on CS the USIM shall contain the correct TMSI and location information received by the UE or
  - II. after registration on PS the USIM shall contain the correct P-TMSI and routing information received by the UE or
  - III. after registration on CS/PS the USIM shall contain the correct TMSI, P-TMSI, location information and routing information received by the UE.

Reference:

- TS 31.102, subclauses 5.1.2, 5.2.5 and 5.2.6;
- TS 21.111, subclause 10.1.

### 7.1.1.3 Test purpose

- 1) To verify that in automatic PLMN selection mode the UE does not attempt to access PLMNs stored in  $EF_{FPLMN}$  on the USIM.
- 2) To verify that the  $EF_{FPLMN}$  is correctly updated by the Terminal after receipt of a
  - I. LOCATION UPDATING REJECT message with cause "PLMN not allowed" during registration on CS or
  - II. ATTACH REJECT message with cause "PLMN not allowed" during registration on PS or
  - III. LOCATION UPDATING REJECT and/or ATTACH REJECT message with cause "PLMN not allowed" during registration on CS/PS.
- 3) To verify that
  - I. the  $EF_{LOCI}$  has been correctly updated by the Terminal during registration on CS or.
  - II. the  $EF_{PSLOCI}$  has been correctly updated by the Terminal during registration on PS or.
  - III. the  $EF_{LOCI}$  and  $EF_{PSLOCI}$  have been correctly updated by the Terminal during registration on CS/PS.

### 7.1.1.4 Method of test

#### 7.1.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.  
 LAI (MCC/MNC/LAC): 234/002/0001.  
 RAI (MCC/MNC/LAC/RAC): 234/002/0001/05.  
 Access control: unrestricted.

The default UICC is used with the following exception:

#### $EF_{IMSI}$ (IMSI)

Logically: 246081111111111

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9
Hex	08	29	64	80	11	11	11	11	11

#### $EF_{LOCI}$ (Location Information)

Logically: LAI-MCC: 234  
 LAI-MNC: 007  
 LAI-LAC: 0000  
 TMSI: "32547698"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	32	54	76	98	32	74	00	00	00	FF	00

#### EF<sub>PSLOCI</sub> (Packet Switched location Information)

Logically: RAI-MCC: 234  
 RAI-MNC: 007  
 RAI-LAC: 0000  
 RAI-RAC: 05  
 P-TMSI: "32547698"  
  
 P-TMSI signature value: "112233"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	32	54	76	98	11	22	33	32	74	00	00
	B12	B13	B14								
	00	05	00								

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.1.1.4.2 Procedure

- a) The UE is powered on.
- b) The USS stops all RF output on the BCCH for a long enough period of time to cause a cell reselection procedure in the UE. The BCCH is changed to contain:
 

PLMN (MCC/MNC): 234/003

The USS then resumes RF output on the BCCH.
- c) The USS stops all RF output on the BCCH for a long enough period of time to cause a cell reselection procedure in the UE. The BCCH is changed to contain:
 

PLMN (MCC/MNC): 234/004

The USS then resumes RF output on the BCCH.
- d) The USS stops all RF output on the BCCH for a long enough period of time to cause a cell reselection procedure in the UE. The BCCH is changed to contain:
 

PLMN (MCC/MNC): 234/005

The USS then resumes RF output on the BCCH.
- e) The USS stops all RF output on the BCCH for a long enough period of time to cause a cell reselection procedure in the UE. The BCCH is changed to contain:
 

LAI (MCC/MNC/LAC): 234/007/0001

RAI (MCC/MNC/LAC/RAC): 234/007/0001/05

The USS then resumes RF output on the BCCH.
- f) After receipt of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.



- g) Depending on which domain the UE is going to be registered on, one of the following sequences will be passed through:
- I. During registration on CS and after receipt of a LOCATION UPDATING REQUEST from the UE, the USS sends LOCATION UPDATING REJECT to the UE with cause "PLMN Not Allowed", followed by RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or
  - II. During registration on PS and after receipt of a ATTACH REQUEST from the UE, the USS sends ATTACH REJECT to the UE with cause "PLMN Not Allowed", followed by RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or
  - III. During registration on CS/PS and after receipt of a LOCATION UPDATING REQUEST and/or ATTACH REQUEST from the UE, the USS sends LOCATION UPDATING REJECT and/or ATTACH REJECT to the UE with cause "PLMN Not Allowed", followed by RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.

The USS stops all RF output on the BCCH for a long enough period of time to cause a cell reselection procedure in the UE. The BCCH is changed to contain:

LAI (MCC/MNC/LAC): 234/008/0001

RAI (MCC/MNC/LAC/RAC): 234/008/0001/05

The USS then resumes RF output on the BCCH.

- h) After receipt of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- i) Depending on which domain the UE is going to be registered on, one of the following sequences will be passed through:
- I. During registration on CS and after receipt of a LOCATION UPDATING REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT to the UE with:
 

LAI (MCC/MNC/LAC):	234/008/0001
TMSI:	"43658709"
  - II. During registration on PS and after receipt of a ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends ATTACH ACCEPT to the UE with :
 

RAI (MCC/MNC/LAC/RAC):	234/008/000/05
P-TMSI:	"43658709"
P-TMSI signature value	"443322"
  - III. During registration on CS/PS and after receipt of a LOCATION UPDATING REQUEST and/or ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT and/or ATTACH ACCEPT with some of the following values to the UE with :
 

LAI (MCC/MNC/LAC):	234/008/0001
TMSI:	"43658709"
RAI (MCC/MNC/LAC/RAC):	234/008/000/05
P-TMSI:	"43658709"
P-TMSI signature value	"443322"

- j) After passing through the authentication procedure and after receipt of

- I. TMSI REALLOCATION COMPLETE during registration on CS from the UE the USS sends RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.
  - II. ATTACH COMPLETE during registration on PS from the UE, the USS sends RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.
  - III. TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE during registration on CS/PS from the UE, the USS sends RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.
- k) The UE is soft powered down.

#### 7.1.1.5 Acceptance criteria

- 1) After each of the steps a) to d) the UE shall not attempt a LOCATION UPDATE and not a ATTACH procedure..
- 2) After step f) the UE shall send
  - I. LOCATION UPDATING REQUEST to the USS during registration on CS or
  - II. ATTACH REQUEST during registration on PS or
  - III. LOCATION UPDATING REQUEST and/or ATTACH REQUEST to the USS during registration on CS/PS.
- 3) After step h) the UE shall send
  - I. LOCATION UPDATING REQUEST to the USS during registration on CS or
  - II. ATTACH REQUEST during registration on PS or
  - III. LOCATION UPDATING REQUEST and/or ATTACH REQUEST to the USS during registration on CS/PS.
- 4) After step i) the UE shall respond with
  - I. TMSI REALLOCATION COMPLETE to the USS during registration on CS or
  - II. ATTACH COMPLETE during registration on PS or
  - III. TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE to the USS during registration on CS/PS.
- 5) After step k) the USIM shall contain the following values:

#### EF<sub>FPLMN</sub> (Forbidden PLMNs)

Logically:

PLMN1:	234 002 (MCC MNC)
PLMN2:	234 003
PLMN3:	234 004
PLMN4:	234 005
PLMN5:	234 006
PLMN6:	234 007

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12
Hex	32	24	00	32	34	00	32	44	00	32	54	00
	B13	B14	B15	B16	B17	B18						
	32	64	00	32	74	00						

For UEs supporting (CS and PS) or (CS only):

**EF<sub>LOCI</sub> (Location Information)**

Logically: LAI-MCC: 234  
 LAI-MNC: 008  
 TMSI: "43658709"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	43	65	87	09	32	84	00	xx	xx	xx	00

**For UEs supporting (CS and PS) or (PS only):****EF<sub>PSLOCI</sub> (Location Information)**

Logically: RAI-MCC: 234  
 RAI-MNC: 008  
 P-TMSI: "43658709"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	43	65	87	09	xx	xx	xx	32	84	00	xx

Coding:	B12	B13	B14
Hex	xx	xx	00

## 7.1.2 UE updating forbidden PLMNs

### 7.1.2.1 Definition and applicability

A list of forbidden PLMNs stored in the USIM provides storage for at least 4 entries, and is managed by the UE. In automatic PLMN selection mode the UE controls registration attempts to appropriate networks with respect to this list of forbidden PLMNs. As a result of a registration reject with the cause "PLMN not allowed" the UE stores the PLMN which rejected the update request in the USIM.

The registration attempts initiated by the UE depends on UEs capabilities and can be one of the following:

- I. registration procedures for UEs supporting CS or
- II. registration procedures for UEs supporting PS or
- III. registration procedures for UEs supporting CS/PS

This test applies to Terminals accessing UTRAN.

### 7.1.2.2 Conformance requirement

Depending on which domain the UE will be registered on, one of the following requirements should be fulfilled:

- I. after the receipt of a LOCATION UPDATING REJECT message during registration on CS with the cause "PLMN not allowed" the UE shall update the EFFPLMN in the USIM or
- II. after receipt of a ATTACH REJECT message during registration on PS with the cause "PLMN not allowed" the Terminal shall update the EFFPLMN in the USIM or
- III. after receipt of a LOCATION UPDATING REJECT and/or ATTACH REJECT message during registration on CS/PS with the cause "PLMN not allowed" the Terminal shall update the EFFPLMN in the USIM.

Reference:

- TS 22.011, subclause 3.2.2.4.
- TS 31.102, subclauses 5.1.1 and 5.2.7.

### 7.1.2.3 Test purpose

To verify that the UE correctly updates the  $EF_{FPLMN}$ , i.e. fill up existing gaps in the elementary file before overwriting any existing entries.

### 7.1.2.4 Method of test

#### 7.1.2.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.  
 LAI (MCC/MNC/LAC): 234/002/0001.  
 RAI (MCC/MNC/LAC/RAC): 234/002/0001/05  
 Access control: unrestricted.

The default UICC is used with the following exception:

#### $EF_{FPLMN}$ (Forbidden PLMNs)

Logically: PLMN1: 234 001 (MCC MNC)  
 PLMN2: empty  
 PLMN3: 234 003  
 PLMN4: 234 004  
 PLMN5: 234 005  
 PLMN6: 234 006

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12
Hex	32	14	00	FF	FF	FF	32	34	00	32	44	00
	B13	B14	B15	B16	B17	B18						
	32	54	00	32	64	00						

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.1.2.4.2 Procedure

- a) The UE is powered on.
- b) After receipt of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- c) Depending on which domain the UE is going to be registered on, one of the following sequences will be passed through:
  - I. During registration on CS and after receipt of a LOCATION UPDATING REQUEST from the UE, the USS sends LOCATION UPDATING REJECT to the UE with the cause "PLMN not allowed", followed by RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or
  - II. During registration on PS and after receipt of a ATTACH REQUEST from the UE, the USS sends ATTACH REJECT to the UE with cause "PLMN Not Allowed", followed by RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or
  - III. During registration on CS/PS and after receipt of a LOCATION UPDATING REQUEST and/or ATTACH REQUEST from the UE, the USS sends LOCATION UPDATING REJECT and/or ATTACH REJECT to the UE with cause "PLMN Not Allowed", followed by RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.
- d) The UE is soft powered down.

### 7.1.2.5 Acceptance criteria

1) After step b) the UE shall send

I. LOCATION UPDATING during registration on CS or

II. ATTACH REQUEST during registration on PS or

III. LOCATION UPDATING REQUEST and/or ATTACH REQUEST to the USS during registration on CS/PS.  
REQUEST to the USS.

2) After step d) the USIM shall contain:

#### EF<sub>FPLMN</sub> (Forbidden PLMNs)

Logically: PLMN1: 234 001 (MCC MNC)  
PLMN2: 234 002  
PLMN3: 234 003  
PLMN4: 234 004  
PLMN5: 234 005  
PLMN6: 234 006

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12
Hex	32	14	00	32	24	00	32	34	00	32	44	00
	B13	B14	B15	B16	B17	B18						
	32	54	00	32	64	00						

or

#### EF<sub>FPLMN</sub> (Forbidden PLMNs)

Logically: PLMN1: 234 001 (MCC MNC)  
PLMN2: 234 003  
PLMN3: 234 004  
PLMN4: 234 005  
PLMN5: 234 006  
PLMN6: 234 002

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12
Hex	32	14	00	32	34	00	32	44	00	32	54	00
	B13	B14	B15	B16	B17	B18						
	32	64	00	32	24	00						

## 7.1.3 UE deleting forbidden PLMNs

### 7.1.3.1 Definition and applicability

In manual PLMN selection mode the UE allows registration attempts to all available PLMNs, including forbidden PLMNs (as indicated by the forbidden PLMN list on the USIM). As a result of a successful registration procedure onto a PLMN which is in the forbidden PLMN list, the forbidden PLMN list is automatically updated by the UE.

The registration attempts initiated by the UE depends on UEs capabilities and can be one of the following:

- I. registration procedures for UEs supporting CS or
- II. registration procedures for UEs supporting PS or
- III. registration procedures for UEs supporting CS/PS

This test applies to Terminals accessing UTRAN.

### 7.1.3.2 Conformance requirement

- 1) Depending on which domain the UE will be registered on, one of the following requirements should be fulfilled:
  - I. In manual PLMN selection mode the UE shall be able to perform a LOCATION UPDATING attempt during registration on CS to a PLMN which is in the forbidden PLMN list.or
  - II. In manual PLMN selection mode the UE shall be able to perform a ATTACH attempt during registration on PS to a PLMN which is in the forbidden PLMN list or
  - III. In manual PLMN selection mode the UE shall be able to perform a LOCATION UPDATING and/or ATTACH attempt during registration on CS/PS to a PLMN which is in the forbidden PLMN list.
    - TS 22.011, subclause 3.2.2.2.
- 2) Depending on which domain the UE is going to be registered on, one of the following requirements should be fulfilled:
  - I. after receipt of LOCATION UPDATING ACCEPT message during registration on CS the UE shall delete the forbidden PLMN from the forbidden PLMN list or.
  - II. after receipt of ATTACH ACCEPT message during registration on PS the UE shall delete the forbidden PLMN from the forbidden PLMN list or
  - III. after receipt of LOCATION UPDATING ACCEPT and/or ATTACH ACCEPT message during registration on CS/PS the UE shall delete the forbidden PLMN from the forbidden PLMN list.
    - TS 22.011, subclause 3.2.2.4.

### 7.1.3.3 Test purpose

- 1) To verify that the UE is able to perform
  - I. a LOCATION UPDATING REQUEST during registration on CS on a forbidden PLMN in manual PLMN selection mode or
  - II. a ATTACH REQUEST during registration on PS on a forbidden PLMN in manual PLMN selection mode or
  - III. a LOCATION UPDATING REQUEST and/or ATTACH REQUEST during registration on CS/PS on a forbidden PLMN in manual PLMN selection mode:
- 2) To verify that the UE after a successful registration attempt deletes the PLMN in the  $EF_{FPLMN}$  on the USIM.

### 7.1.3.4 Method of test

#### 7.1.3.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach:	disabled.
LAI (MCC/MNC/LAC):	234/005/0001.
RAI (MCC/MNC/LAC/RAC):	234/005/0001/05.
Access control:	unrestricted.

The default UICC is used with the following exception:

#### $EF_{FPLMN}$ (Forbidden PLMNs)

Logically:	PLMN1:	empty
	PLMN2:	empty
	PLMN3:	empty
	PLMN4:	empty

PLMN5: 234 005 (MCC MNC)  
 PLMN6: empty

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12
Hex	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF
	B13	B14	B15	B16	B17	B18						
	32	54	00	FF	FF	FF						

The UICC is installed into the Terminal and the UE is set to manual PLMN selection mode.

#### 7.1.3.4.2 Procedure

- a) The UE is powered on.
- b) PLMN with MCC/MNC of 234/005 is manually selected.
- c) After receipt of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- d) Depending on which domain the UE is going to be registered on, one of the following sequences will be passed through:

- I. During registration on CS and after receipt of a LOCATION UPDATING REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT to the UE with:

LAI (MCC/MNC/LAC): 234/005/0001

TMSI: "12345678"

- II. During registration on PS and after receipt of a ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends ATTACH ACCEPT with to the UE:

RAI (MCC/MNC/LAC): 234/005/0001/05

P-TMSI: "12345678"

P-TMSI signature value "AB1234"

- III. During registration on CS/PS and after receipt of a LOCATION UPDATING REQUEST and/or ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT and/or ATTACH ACCEPT with some of the following values to the UE with:

LAI (MCC/MNC/LAC): 234/005/0001

TMSI: "12345678"

RAI (MCC/MNC/LAC): 234/005/0001/05

P-TMSI: "12345678"

P-TMSI signature value "AB1234"

- e) After passing through the authentication procedure and after receipt of
  - I. TMSI REALLOCATION COMPLETE during registration on CS from the UE, the USS sends RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.
  - II. ATTACH COMPLETE during registration on PS from the UE, the USS sends RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.

III. TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE during registration on CS/PS from the UE, the USS sends RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.

f) The UE is soft powered down.

### 7.1.3.5 Acceptance criteria

1) After step c) the UE shall send

I. LOCATION UPDATING REQUEST to the USS during registration on CS or

II. ATTACH REQUEST during registration on PS or

III. LOCATION UPDATING REQUEST and/or ATTACH REQUEST to the USS during registration on CS/PS.

2) After step d) the UE shall respond with

I. TMSI REALLOCATION COMPLETE to the USS during registration on CS or

II. ATTACH COMPLETE during registration on PS or

III. TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE to the USS during registration on CS/PS.

3) After step f) the USIM shall contain the following values:

#### EF<sub>FPLMN</sub> (Forbidden PLMNs)

Logically: PLMN1: empty  
 PLMN2: empty  
 PLMN3: empty  
 PLMN4: empty  
 PLMN5: empty  
 PLMN6: empty

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12
Hex	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF
	B13	B14	B15	B16	B17	B18						
	FF	FF	FF	FF	FF	FF						

#### For UEs supporting CS only or CS/PS :

##### EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 234  
 LAI-MNC: 005  
 TMSI: "12345678"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	12	34	56	78	32	54	00	xx	xx	xx	00

#### For UEs supporting PS only or CS/PS :

##### EF<sub>PSLOCI</sub> (Location Information)

Logically: RAI-MCC: 234  
 RAI-MNC: 005  
 P-TMSI: "12345678"



Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	12	34	56	78	xx	xx	xx	32	54	00	xx
Coding:	B12	B13	B14								
Hex	xx	xx	00								

## 7.2 User controlled PLMN selector handling

### 7.2.1 UE updating the User controlled PLMN selector list

#### 7.2.1.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred UPLMNs on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EF<sub>PLMNwACT</sub>. Update and deletion of PLMNs may be performed by the subscriber.

This test applies to Terminals accessing UTRAN.

#### 7.2.1.2 Conformance requirement

The UE shall correctly replace the selected UPLMN in the User controlled PLMN selector list.

- TS 31.102, subclause 5.3.6.

#### 7.2.1.3 Test purpose

To verify that the UE correctly updates the EF<sub>PLMNwACT</sub>

#### 7.2.1.4 Method of test

##### 7.2.1.4.1 Initial conditions

No USS is required for this test.

The default UICC is used.

The UICC is installed into the Terminal and the UE is powered on.

##### 7.2.1.4.2 Procedure

- The user shall initiate an MMI dependent procedure to change the second UPLMN in the User controlled PLMN selector list to MCC/MNC of 567/002, the ACT identifier shall set to UTRAN only.
- The UE is soft powered down.

##### 7.2.1.5 Acceptance criteria

After step b) the USIM shall contain the following values:

#### EF<sub>PLMNwACT</sub> (UPLMN Selector)

Logically:	1 <sup>st</sup> PLMN:	244 081 (MCC MNC)
	1 <sup>st</sup> ACT:	UTRAN
	2 <sup>nd</sup> PLMN:	567 002
	2 <sup>nd</sup> ACT:	UTRAN
	3 <sup>rd</sup> PLMN:	244 082
	3 <sup>rd</sup> ACT:	UTRAN
	4 <sup>th</sup> PLMN:	244 082
	4 <sup>th</sup> ACT:	GSM

5<sup>th</sup> PLMN: 244 003  
 5<sup>th</sup> ACT UTRAN  
 6<sup>th</sup> PLMN: 244 004  
 6<sup>th</sup> ACT UTRAN  
 7<sup>th</sup> PLMN: 244 005  
 7<sup>th</sup> ACT UTRAN  
 8<sup>th</sup> PLMN: 244 006  
 8<sup>th</sup> ACT UTRAN  
 9<sup>th</sup> PLMN: 244 007  
 9<sup>th</sup> ACT UTRAN  
 10<sup>th</sup> PLMN: 244 008  
 10<sup>th</sup> ACT UTRAN  
 11<sup>th</sup> PLMN: 244 009  
 11<sup>th</sup> ACT UTRAN  
 12<sup>th</sup> PLMN: 244 010  
 12<sup>th</sup> ACT UTRAN

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13	B14	B15
Hex	42	14	80	80	00	65	27	00	80	00	42	24	80	80	00
	B16	B17	B18	B19	B20	B21	B22	B23	B24	B25	B26	B27	B28	B29	B30
	42	24	80	00	80	42	34	00	80	00	42	44	00	80	00
	B31	B32	B33	B34	B35	B36	B37	B38	B39	B40	B41	B42	B43	B44	B45
	42	54	00	80	00	42	64	00	80	00	42	74	00	80	00
	B46	B47	B48	B49	B50	B51	B52	B53	B54	B55	B56	B57	B58	B59	B60
	42	84	00	80	00	42	94	00	80	00	42	04	10	80	00

## 7.2.2 UE recognising the priority order of the User controlled PLMN selector list with the same access technology.

### 7.2.2.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred UPLMNs on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EF<sub>PLMNwACT</sub>. Update and deletion of UPLMNs may be performed by the subscriber by the use of the PIN.

The registration attempts initiated by the UE depends on UEs capabilities and can be one of the following:

- I. registration procedures for UEs supporting CS or
- II. registration procedures for UEs supporting PS or
- III. registration procedures for UEs supporting CS/PS

This test applies to Terminals accessing UTRAN.

### 7.2.2.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority order of the UPLMNs in the preferred list on the USIM.

- TS 22.011, subclause 3.2.2.

### 7.2.2.3 Test purpose

To verify that the UPLMN with the higher priority (defined by its position in EF<sub>PLMNwACT</sub>) takes precedence over the UPLMN with the lower priority when the UE performs a network selection.

## 7.2.2.4 Method of test

### 7.2.2.4.1 Initial conditions

The USS transmits on two BCCHs, with the following network parameters:

Attach/detach:	disabled.
LAI (MCC/MNC/LAC):	244/033/0001.
RAI (MCC/MNC/LAC/RAC):	244/033/0001/05.
Access control:	unrestricted.
Attach/detach:	disabled.
LAI (MCC/MNC/LAC):	244/034/0001.
RAI (MCC/MNC/LAC/RAC):	244/034/0001/05.
Access control:	unrestricted.

The default UICC is used with the following exception:

#### $EF_{PLMNwACT}$ (UPLMN Selector with Access Technology)

Logically:	1 <sup>st</sup> PLMN:	244 081 (MCC MNC)
	1 <sup>st</sup> ACT:	UTRAN
	2 <sup>nd</sup> PLMN:	244 081
	2 <sup>nd</sup> ACT:	GSM
	3 <sup>rd</sup> PLMN:	244 082
	3 <sup>rd</sup> ACT:	UTRAN
	3 <sup>rd</sup> PLMN:	244 082
	3 <sup>rd</sup> ACT:	GSM
	.....	
	.....	
	10 <sup>th</sup> PLMN:	244 008
	10 <sup>th</sup> ACT:	UTRAN
	11 <sup>th</sup> PLMN:	244 034
	11 <sup>th</sup> ACT:	UTRAN
	12 <sup>th</sup> PLMN:	244 033
	12 <sup>th</sup> ACT:	UTRAN

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13	B14	B15
Hex	42	14	80	80	00	42	14	80	00	80	42	24	80	80	00
	B16	B17	B18	B19	B20	.....	.....	.....	.....						
	42	24	80	00	80	.....	.....	.....	.....						
	B46	B47	B48	B49	B50	B51	B52	B53	B54	B55	B56	B57	B58	B59	B60
	42	84	00	80	00	42	44	30	80	00	42	34	30	80	00

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

### 7.2.2.4.2 Procedure

- The UE is powered on.
- After receipt on the cell related to the BCCH transmitting MCC/MNC 244/034 of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- Depending on which domain the UE is going to be registered on, one of the following sequences will be passed through:
  - During registration on CS and after receipt of a LOCATION UPDATING REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT to the UE with the following values:

LAI (MCC/MNC/LAC):	244/034/0001
--------------------	--------------

TMSI: "34567890"

- II. During registration on PS and after receipt of a ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends ATTACH ACCEPT to the UE with the following values :

RAI (MCC/MNC/LAC/RAC) 244/034/0001/05

P-TMSI "34567890"

P-TMSI signature value "AB1234"

- III. During registration on CS/PS and after receipt of a LOCATION UPDATING REQUEST and/or ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT and/or ATTACH ACCEPT to the UE with some of the following values :

LAI (MCC/MNC/LAC): 244/034/0001

TMSI: "34567890"

RAI (MCC/MNC/LAC/RAC) 244/034/0001/05

P-TMSI "34567890"

P-TMSI signature value "AB1234"

- d) After passing through the authentication procedure and after receipt of a

- I. TMSI REALLOCATION COMPLETE during registration on CS from the UE, the USS sends RRC CONNECTION RELEASE , followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or
- II. ATTACH COMPLETE during registration on PS from the UE, the USS sends RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.
- III. TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE during registration on CS/PS from the UE, the USS sends RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.

- e) The UE is soft powered down.

### 7.2.2.5 Acceptance criteria

- 1) After step a) the UE shall send an RRC CONNECTION REQUEST on the cell related to the BCCH transmitting MCC/MNC 244/034 to the USS.
- 2) After step b) the UE shall send
- I. LOCATION UPDATING REQUEST to the USS during registration on CS or
- II. ATTACH REQUEST to the USS during registration on PS or
- III. LOCATION UPDATING REQUEST and/or ATTACH REQUEST to the USS during registration on CS/PS.
- 3) After step c) the UE shall respond with
- I. TMSI REALLOCATION COMPLETE to the USS during registration on CS or
- II. ATTACH COMPLETE during registration on PS or
- III. TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE to the USS during registration on CS/PS..

3) After step e) the USIM shall contain the following values:

**For UEs supporting (CS and PS) or (CS only):**

**EF<sub>LOCI</sub> (Location Information)**

Logically: LAI-MCC: 244  
LAI-MNC: 034  
TMSI: "34567890"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	34	56	78	90	42	44	30	xx	xx	xx	00

**For UEs supporting (CS and PS) or (PS only):**

**EF<sub>PSLOCI</sub> (Location Information)**

Logically: RAI-MCC: 244  
RAI-MNC: 034  
P-TMSI: "34567890"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	34	56	78	90	xx	xx	xx	42	44	30	xx

Coding:	B12	B13	B14
Hex	xx	xx	00

## 7.2.3 UE recognising the priority order of the User controlled PLMN selector list using a ACT preference.

### 7.2.3.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred PLMNs of the User on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EF<sub>PLMNwACT</sub>. Update and deletion of User controlled PLMNs may be performed by the subscriber by the use of the PIN.

This test applies to a GSM/UMTS dual mode UE accessing both UTRAN and GSM.

### 7.2.3.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority of the ACT identifier in the preferred list on the USIM.

- TS 22.011, subclause 3.2.2;
- TS 31.102, subclauses 4.2.5 and 5.1.2.

#### 7.2.3.2.1 Test purpose

To verify that the ACT with the higher priority (defined by its position in EF<sub>PLMNwACT</sub>) takes precedence over the UPLMN with the lower priority when the UE performs a network selection.

### 7.2.3.3 Method of test

#### 7.2.3.3.1 Initial conditions

For this test both a GSM SS and a UTRAN USS is needed.

The GSM SS transmit on BCCH, with the following network parameters:

Attach/detach: disabled.  
 LAI (MCC/MNC/LAC): 244/081/0001.  
 Access control: unrestricted.

The UMTS USS transmit on BCCH, with the following network parameters:

Attach/detach: disabled.  
 LAI (MCC/MNC/LAC): 244/082/0001.  
 Access control: unrestricted.

The default UICC is used.

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.2.3.3.2 Procedure

- a) The UE is powered on.
- b) After receipt on the GSM-cell related to the BCCH transmitting MCC/MNC 244/081 of a CHANNEL REQUEST from the UE, the SS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATING REQUEST from the UE, the SS sends LOCATION UPDATING ACCEPT with:
 

LAI (MCC/MNC): 244/081  
 TMSI: "34567890"

 to the UE.
- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the SS sends CHANNEL RELEASE to the UE.
- e) The UE is soft powered down.

#### 7.2.3.4 Acceptance criteria

- 1.) After step a) the UE shall send a CHANNEL REQUEST on the GSM-cell related to the BCCH transmitting MCC/MNC 244/081 to the SS.
- 2) After step b) the UE shall send LOCATION UPDATING REQUEST to the SS.
- 3) After step c) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 4) After step e) the USIM shall contain the following values:

##### EF<sub>LocI</sub> (Location Information)

Logically: LAI-MCC: 244  
 LAI-MNC: 081  
 TMSI: "34567890"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	34	56	78	90	42	14	80	xx	xx	xx	00

## 7.2.4 Void

# 7.3 Operator controlled PLMN selector handling

## 7.3.1 UE recognising the priority order of the Operator controlled PLMN selector list.

### 7.3.1.1 Definition and applicability

The Operator controlled PLMN selector list gives in priority order the preferred OPLMNs on which the UE shall register if no network of the User controlled PLMN selector list is available. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the  $EF_{OPLMNwACT}$ . Update and deletion of OPLMNs shall not be possible by the subscriber by the use of the PIN.

The registration attempts initiated by the UE depends on UEs capabilities and can be one of the following:

- I. registration procedures for UEs supporting CS or
- II. registration procedures for UEs supporting PS or
- III. registration procedures for UEs supporting CS/PS

This test applies to Terminals accessing UTRAN.

### 7.3.1.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority of OPLMNs in the preferred list on the USIM.

- TS 22.011, subclause 3.2.2;
- TS 31.102, subclause 4.2.53.

### 7.3.1.3 Test purpose

To verify that the OPLMN with the higher priority (defined by its position in  $EF_{OPLMNwACT}$ ) takes precedence over the OPLMN with the lower priority when the UE performs a network selection.

### 7.3.1.4 Method of test

#### 7.3.1.4.1 Initial conditions

For this test a USS is needed.

The USS transmits on two BCCHs, with the following network parameters:

Attach/detach:	disabled.
LAI (MCC/MNC/LAC):	254/011/0001.
RAI (MCC/MNC/LAC/RAC):	254/011/0001/05.
Access control:	unrestricted.
Attach/detach:	disabled.
LAI (MCC/MNC/LAC):	254/012/0001.
RAI (MCC/MNC/LAC/RAC):	254/012/0001/05.
Access control:	unrestricted.

The default UICC is used with the following exception:

#### $EF_{UST}$ (USIM Service Table)

Logically:	Local Phone Book available
------------	----------------------------

User controlled PLMN selector available  
 Fixed dialling numbers available  
 Barred dialling numbers available  
 The GSM Access available  
 The Group Identifier level 1 and level 2 not available  
 Service n 33 (Packed Switched Domain) shall be set to '1'  
 Enabled Services Table available  
 Operator controlled PLMN selector available

Coding:	B1	B2	B3	B4	B5	B6
binary	xx1x xx11	xxxx xxxx	xxxx 1x00	xxxx x1xx	xxxx xx11	xxxx xx1x

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

#### EF<sub>OPLMNwACT</sub> (OPLMN Selector)

Logically:

1 <sup>st</sup> PLMN:	254 012 (MCC MNC)
1 <sup>st</sup> ACT	UTRAN
2 <sup>nd</sup> PLMN:	254 011
2 <sup>nd</sup> ACT	UTRAN
3 <sup>rd</sup> PLMN:	254 002
3 <sup>rd</sup> ACT:	UTRAN
4 <sup>th</sup> PLMN:	254 003
4 <sup>th</sup> ACT:	UTRAN
5 <sup>th</sup> PLMN:	254 004
5 <sup>th</sup> ACT:	UTRAN
6 <sup>th</sup> PLMN:	254 005
6 <sup>th</sup> ACT:	UTRAN
7 <sup>th</sup> PLMN:	254 006
7 <sup>th</sup> ACT:	UTRAN
8 <sup>th</sup> PLMN:	254 007
8 <sup>th</sup> ACT:	UTRAN

Coding:	B01	B02	B03	B04	B05	B06	B07	B08	B09	B10
Hex	52	24	10	80	00	52	14	10	80	00
	B11	B12	B13	B14	B15	B16	B17	B18	B19	B20
	52	24	00	80	00	52	34	00	80	00
	B21	B22	B23	B24	B25	B26	B27	B28	B29	B30
	52	44	00	80	00	52	54	00	80	00
	B31	B32	B33	B34	B35	B36	B37	B38	B39	B40
	52	64	00	80	00	52	74	00	80	00

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.3.1.4.2 Procedure

- The UE is powered on.
- After receipt on the cell related to the BCCH transmitting MCC/MNC 254/012 of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- Depending on which domain the UE is going to be registered on, one of the following requirements should be fulfilled:
  - During registration on CS and after receipt of a LOCATION UPDATING REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT to the UE with following values:

LAI (MCC/MNC/LAC): 254/012/0001



TMSI: "34567890"

to the UE.

- II. During registration on PS and after receipt of a ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends ATTACH ACCEPT to the UE. with following values :

RAI (MCC/MNC/LAC/RAC) 254/012/0001/05

P-TMSI "34567890"

P-TMSI signature value "AB1234"

- III. During registration on CS/PS and after receipt of a LOCATION UPDATING REQUEST and/or ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT and/or ATTACH ACCEPT to the UE. with some of the following values :

LAI (MCC/MNC): 254/012/0001

TMSI: "34567890"

RAI (MCC/MNC/LAC/RAC) 254/012/0001/05

P-TMSI "34567890"

P-TMSI signature value "AB1234"

- d) After receipt of a

- I. TMSI REALLOCATION COMPLETE during registration on CS from the UE, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.
- II. ATTACH COMPLETE during registration on PS from the UE, the USS sends RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.
- III. TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE during registration on CS/PS from the UE, the USS sends RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.

- e) The UE is soft powered down.

### 7.3.1.5 Acceptance criteria

- 1) After step a) the UE shall send an RRC CONNECTION REQUEST on the cell related to the BCCH transmitting MCC/MNC 254/012 to the USS.
- 2) After step b) the UE shall send
- I. LOCATION UPDATING REQUEST to the USS during registration on CS or
- II. ATTACH REQUEST. to the USS during registration on PS or
- III. LOCATION UPDATING REQUEST and/or ATTACH REQUEST to the USS during registration on CS/PS.
- 3) After step c) the UE shall respond with
- I. TMSI REALLOCATION COMPLETE during registration on CS or
- II. ATTACH COMPLETE during registration on PS or
- III. TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE to the USS during registration on CS/PS..

4) After step e) the USIM shall contain the following values:

**For UEs supporting (CS and PS) or (CS only):**

**EF<sub>LOCI</sub> (Location Information)**

Logically: LAI-MCC: 254  
LAI-MNC: 012  
TMSI: "34567890"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	34	56	78	90	52	24	10	xx	xx	xx	00

**For UEs supporting (CS and PS) or (PS only):**

**EF<sub>PSLOCI</sub> (Location Information)**

Logically: RAI-MCC: 254  
RAI-MNC: 012  
P-TMSI: "34567890"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	34	56	78	90	xx	xx	xx	52	24	10	xx

Coding:	B12	B13	B14
Hex	xx	xx	00

## 7.3.2 UE recognising the priority order of the User controlled PLMN selector over the Operator controlled PLMN selector list.

### 7.3.2.1 Definition and applicability

The User controlled PLMN selector list has a higher priority as the OPLMN selector list on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EF<sub>PLMNwACT</sub>.

The registration attempts initiated by the UE depends on UEs capabilities and can be one of the following:

- I. registration procedures for UEs supporting CS or
- II. registration procedures for UEs supporting PS or
- III. registration procedures for UEs supporting CS/PS

This test applies to Terminals accessing UTRAN.

### 7.3.2.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority of UPLMNs first before the OPLMNs in the preferred list on the USIM.

- TS 22.011, subclause 3.2.2.2;
- TS 31.102, subclauses 4.2.5 and 4.2.53.

### 7.3.2.3 Test purpose

To verify that the User controlled PLMN with a lower priority (defined by its position in EF<sub>PLMNwACT</sub>) takes precedence over the OPLMN with a higher priority when the UE performs a network selection.

### 7.3.2.4 Method of test

#### 7.3.2.4.1 Initial conditions

For this test a USS is needed.

The USS transmits on two BCCHs, with the following network parameters:

Attach/detach: disabled.  
 LAI (MCC/MNC/LAC): 254/001/0001.  
 RAI (MCC/MNC/LAC/RAC): 254/001/0001/05.  
 Access control: unrestricted.  
 Attach/detach: disabled.  
 LAI (MCC/MNC/LAC): 244/010/0001.  
 RAI (MCC/MNC/LAC/RAC): 244/010/0001/05.  
 Access control: unrestricted.

The default UICC is used with the following exception:

#### EF<sub>UST</sub> (USIM Service Table)

Logically: Local Phone Book available  
 User controlled PLMN selector available  
 Fixed dialling numbers available  
 Barred dialling numbers available  
 The GSM Access available  
 The Group Identifier level 1 and level 2 not available  
 Service n 33 (Packed Switched Domain) shall be set to '1'  
 Enabled Services Table available  
 Operator controlled PLMN selector available

Coding:	B1	B2	B3	B4	B5	B6
binary	xx1x xx11	xxxx xxxx	xxxx 1x00	xxxx x1xx	xxxx xx11	xxxx xx1x

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.3.2.4.2 Procedure

- a) The UE is powered on.
- b) After receipt on the cell related to the BCCH transmitting MCC/MNC 244/010 of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- c) Depending on which domain the UE is going to be registered on , one of the following requirements should be fulfilled:
  - I During registration on CS and after receipt of a LOCATION UPDATING REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT with the following values :
 

LAI (MCC/MNC/LAC):	244/010/0001
TMSI:	"34567890"
  - II. During registration on PS and after receipt of a ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends ATTACH ACCEPT with the following values :

RAI (MCC/MNC/LAC/RAC) 244/010/0001/05

P-TMSI	"34567890"
P-TMSI signature value	"AB1234"

III. During registration on CS/PS and after receipt of a LOCATION UPDATING REQUEST and/or ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT and/or ATTACH ACCEPT with some of the following values :

LAI (MCC/MNC/LAC):	244/010/0001
TMSI:	"34567890"
RAI (MCC/MNC/LAC/RAC)	244/010/0001/05
P-TMSI	"34567890"
P-TMSI signature value	"AB1234"

d) After receipt of a

- I. TMSI REALLOCATION COMPLETE during registration on CS from the UE, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.
- II. ATTACH COMPLETE during registration on PS from the UE, the USS sends RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.
- III. TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE during registration on CS/PS from the UE, the USS sends RRC CONNECTION RELEASE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.

e) The UE is soft powered down.

### 7.3.2.5 Acceptance criteria

- 1) After step a) the UE shall send an RRC CONNECTION REQUEST on the cell related to the BCCH transmitting MCC/MNC 244/010 to the USS.
- 2) After step b) the UE shall send
  - I. LOCATION UPDATING REQUEST to the USS during registration on CS or
  - II. ATTACH REQUEST during registration on PS or
  - III. LOCATION UPDATING REQUEST and/or ATTACH REQUEST to the USS during registration on CS/PS.
- 3) After step c) the UE shall respond with
  - I. TMSI REALLOCATION COMPLETE during registration on CS or
  - II. ATTACH COMPLETE during registration on PS or
  - III. TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE to the USS during registration on CS/PS.
- 4) After step e) the USIM shall contain the following values:

**For UEs supporting (CS and PS) or (CS only):**

**EF<sub>LocI</sub> (Location Information)**

Logically:	LAI-MCC:	244
	LAI-MNC:	010
	TMSI:	"34567890"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
	34	56	78	90	42	04	10	xx	xx	xx	00

**For UEs supporting (CS and PS) or (PS only):**

#### **EF<sub>PSLOCI</sub> (Location Information)**

Logically: RAI-MCC: 244  
RAI-MNC: 010  
P-TMSI: "34567890"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	34	56	78	90	xx	xx	xx	42	04	10	xx

Coding:	B12	B13	B14
Hex	xx	xx	00

## 7.4 Higher priority PLMN search handling

### 7.4.1 UE recognising the search period of the Higher priority PLMN

#### 7.4.1.1 Definition and applicability

The Higher priority PLMN list gives in priority order the Higher priority PLMN on which the UE shall register first. The Higher priority PLMN search period gives the time interval in which the UE shall search for a possible Higher priority PLMN registration.

The registration attempts initiated by the UE depends on UEs capabilities and can be one of the following:

- I. registration procedures for UEs supporting CS or
- II. registration procedures for UEs supporting PS or
- III. registration procedures for UEs supporting CS/PS

This test applies to Terminals accessing UTRAN.

#### 7.4.1.2 Conformance requirement

After registered onto a VPLMN the UE shall take into account the Higher priority PLMN search period timer and the priority order of the Higher priority PLMNs in the preferred list on the USIM.

- TS 22.011, subclauses 3.2.2 and 3.2.2.5.
- TS 24.008, subclause 4.7.5

#### 7.4.1.3 Test purpose

To verify that the Higher priority PLMN timer is read and the Higher priority PLMN takes precedence over the VPLMN in which the UE is currently registered in.

#### 7.4.1.4 Method of test

##### 7.4.1.4.1 Initial conditions

For this test a UTRAN USS is needed.

The USS transmits on BCCH, with the following network parameters:

Attach/detach: disabled.

LAI (MCC/MNC/LAC): 244/082/0001.  
 RAI (MCC/MNC/LAC/RAC): 244/082/0001/05.  
 Access control: unrestricted.

After the registration of UE the USS transmits on a second BCCH, with the following network parameters:

Attach/detach: disabled.  
 LAI (MCC/MNC/LAC): 244/081/0001.  
 RAI (MCC/MNC/LAC/RAC): 244/081/0001/05.  
 Access control: unrestricted.

The default UICC shall be used with the following exception:

#### **EF<sub>HPP</sub>PLMN (Higher Priority PLMN Search period)**

Logically: set to 6minutes

Coding: B1  
 Hex 01

The UICC shall be installed into the Terminal and the UE shall be set to automatic PLMN selection mode.

#### 7.4.1.4.2 Procedure

- a) The UE shall be powered on.
- b) After receipt of a RRC CONNECTION REQUEST from the UE, the USS shall send RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- c) Depending on which domain the UE is going to be registered on, one of the following sequences will be passed through:
  - I. During registration on CS and after receipt of a LOCATION UPDATING REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT with the following values to the UE:

LAI (MCC/MNC/LAC): 244/082/0001

TMSI: "34567890"

- II. During registration on PS and after receipt of a ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends ATTACH ACCEPT with the following values to the UE:

RAI (MCC/MNC/LAC/RAC) 244/082/0001/05

P-TMSI "34567890"

P-TMSI signature value "AB1234"

- III. During registration on CS/PS and after receipt of a LOCATION UPDATING REQUEST and/or ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT and/or ATTACH ACCEPT with some of the following values to the UE:

LAI (MCC/MNC/LAC): 244/082/0001

TMSI: "34567890"

RAI (MCC/MNC/LAC/RAC) 244/082/0001/05

P-TMSI "34567890"

P-TMSI signature value "AB1234"

- d) Depending on which domain the UE is going to be registered on, one of the following sequences will be passed through:
- I. After receipt of a TMSI REALLOCATION COMPLETE from the UE during registration on CS, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.
  - II. After receipt of a ATTACH COMPLETE from the UE during registration on PS, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.
  - III. After receipt of a TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE from the UE during registration on CS/PS, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.
- e) The USS starts to send on the second BCCH with the MCC/MNC 244/081. An internal timer shall start to run.
- f) After receipt on the cell related to the BCCH transmitting MCC/MNC 246/081 of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS. The internal timer is stopped.
- g) Depending on which domain the UE is going to be registered on, one of the following sequences will be passed through:
- I. During registration on CS and after receipt of a LOCATION UPDATING REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT with the following values to the UE:
 

LAI (MCC/MNC/LAC):244/081/0001

TMSI: "12345678"
  - II. During registration on PS and after receipt of a ROUTING AREA UPDATE REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends ROUTING AREA UPDATE ACCEPT with the following values to the UE:
 

RAI (MCC/MNC/LAC/RAC) 244/081/0001/05

P-TMSI "12345678"

P-TMSI signature value "AB1234"
  - III. During registration on CS/PS and after receipt of a LOCATION UPDATING REQUEST and/or ROUTING AREA UPDATE REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT and/or ROUTING AREA UPDATE ACCEPT with some of the following values to the UE:
 

LAI (MCC/MNC/LAC):244/081/0001

TMSI: "12345678"

RAI (MCC/MNC/LAC/RAC) 244/081/0001/05

P-TMSI "12345678"

P-TMSI signature value "AB1234"
- h) Depending on which domain the UE is going to be registered on, one of the following sequences will be passed through:
- I. After receipt of a TMSI REALLOCATION COMPLETE from the UE during registration on CS, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.

II. After receipt of a ROUTING AREA UPDATE COMPLETE from the UE during registration on PS, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.

III. After receipt of a TMSI REALLOCATION COMPLETE and/or ROUTING AREA UPDATE COMPLETE from the UE during registration on CS/PS, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.

i) The UE is soft powered down.

#### 7.4.1.5 Acceptance criteria

1) After step e) the UE shall send an RRC CONNECTION REQUEST on the cell related to the BCCH transmitting MCC/MNC 244/081 to the USS.

2) After step e) the UE shall send

I. LOCATION UPDATING REQUEST to the USS during registration on CS or.

II. ROUTING AREA UPDATE REQUEST during registration on PS or

III. LOCATION UPDATING REQUEST and/or ROUTING AREA UPDATE REQUEST to the USS during registration on CS/PS.

3) After step g) the UE shall respond with

I. TMSI REALLOCATION COMPLETE to the USS during registration on CS or

II. ROUTING AREA UPDATE COMPLETE during registration on PS or

III. TMSI REALLOCATION COMPLETE and/or ROUTING AREA UPDATE COMPLETE to the USS during registration on CS/PS.

4) The value of the internal timer shall not exceed 6 minutes.

NOTE: To take the systems processing time into account, the value of the internal timer may allowed to be a guard time of 10% greater than the required 6minutes.

5) After step i) the USIM shall contain the following values:

**For UEs supporting (CS and PS) or (CS only):**

##### EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 244  
LAI-MNC: 081  
TMSI: "12345678"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	12	34	56	78	42	14	80	xx	xx	xx	00

**For UEs supporting (CS and PS) or (PS only):**

##### EF<sub>PSLOCI</sub> (Location Information)

Logically: RAI-MCC: 244  
RAI-MNC: 081  
P-TMSI: "12345678"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	12	34	56	78	xx	xx	xx	42	14	80	xx

Coding:	B12	B13	B14
Hex	xx	xx	00



## 7.4.2 GSM/UMTS dual mode UEs recognising the search period of the Higher priority PLMN

### 7.4.2.1 Definition and applicability

The Higher priority PLMN list gives in priority order the Higher priority PLMN on which the UE shall register first. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the  $EF_{HPLMNwACT}$ . The Higher priority PLMN search period gives the time interval in which the UE shall search for a possible Higher priority PLMN registration. This test applies to a GSM/UMTS dual mode UE that supports the Higher priority PLMN selector with Access Technology service. In the case that the terminal has implemented this feature according to Rel-6 or later, this test is optional. .

To avoid a duplication of tests, this test supersedes the previous test case (7.4.1).

The registration attempts initiated by the UE depends on UEs capabilities and can be one of the following:

- I. registration procedures for UEs supporting CS or
- II. registration procedures for UEs supporting PS or
- III. registration procedures for UEs supporting CS/PS

### 7.4.2.2 Conformance requirement

After registered onto a VPLMN the UE shall take into account the Higher priority PLMN search period timer and the priority order of the Higher priority PLMNs in the preferred list on the USIM including the Access Technology Identifier.

- TS 22.011, subclauses 3.2.2 and 3.2.2.5.

### 7.4.2.3 Test purpose

To verify that the Higher priority PLMN timer is read and the Higher priority PLMN with the higher priority (defined by its position in  $EF_{HPLMNwACT}$ ) takes precedence over the VPLMN in which the UE is currently registered in.

### 7.4.2.4 Method of test

#### 7.4.2.4.1 Initial conditions

For this test both a GSM SS and a UTRAN USS is needed.

The GSM SS transmits on BCCH, with the following network parameters:

Attach/detach:	disabled.
LAI (MCC/MNC/LAC):	244/082/0001.
Access control:	unrestricted.

After the registration of UE the GSM SS transmits on a second BCCH, with the following network parameters:

Attach/detach:	disabled.
LAI (MCC/MNC/LAC):	244/081/0001.
Access control:	unrestricted.

At the same time as the SS sends on a second BCCH, the UMTS USS transmit on BCCH, with the following network parameters:

Attach/detach:	disabled.
LAI (MCC/MNC/LAC):	244/081/0001.

RAI (MCC/MNC/LAC/RAC): 244/081/0001/05.

Access control: unrestricted.

The default UICC is used with the following exceptions:

**EF<sub>HPLMNwACT</sub> (HPLMN selector with Access Technology)**

Logically: Set to MCC 244 and MNC 081  
Set to UTRAN

Coding:	B1	B2	B3	B4	B5
Hex	42	14	80	80	00

**EF<sub>HPPLMN</sub> (Higher Priority HPLMN Search period)**

Logically: set to 6 minutes

Coding:	B1
Hex	01

**EF<sub>UST</sub> (USIM Service Table)**

Logically: Local Phone Book available  
User controlled PLMN selector available  
Fixed dialling numbers available  
Barred dialling numbers available  
The GSM Access available  
The Group Identifier level 1 and level 2 not available  
Service n 33 (Packed Switched Domain) shall be set to '1'  
Enabled Services Table available  
HPLMN selector with access technology available

Coding:	B1	B2	B3	B4	B5	B6
binary	xx1x xx11	xxxx xxxx	xxxx 1x00	xxxx x1xx	xxxx xx11	xxxx x1xx

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

**7.4.2.4.2 Procedure**

- a) The UE is powered on.
- b) After receipt of a CHANNEL REQUEST from the UE, the SS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the SS sends LOCATION UPDATE ACCEPT with:
 

LAI (MCC/MNC):	244/082
TMSI:	"34567890"

 to the UE.
- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the SS sends CHANNEL RELEASE to the UE.
- e) The SS starts to send on the second BCCH with the MCC/MNC 244/081 and the USS starts to send with the Same MCC/MNC. An internal timer shall start to run.
- f) After receipt on the UTRAN-cell related to the BCCH transmitting MCC/MNC 244/081 of a RRC CONNECTION REQUEST from the UE, the USS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS. The internal timer is stopped.

- g) Depending on which domain the UE is going to be registered on, one of the following sequences will be passed through:
- I. During registration on CS and after receipt of a LOCATION UPDATING REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT with the following values to the UE:
    - LAI (MCC/MNC/LAC):244/081/0001
    - TMSI: "12345678"
  - II. During registration on PS and after receipt of a ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends ATTACH ACCEPT with the following values to the UE:
    - RAI (MCC/MNC/LAC/RAC) 244/081/0001/05
    - P-TMSI "12345678"
    - P-TMSI signature value "AB1234"
  - III. During registration on CS/PS and after receipt of a LOCATION UPDATING REQUEST and/or ATTACH REQUEST from the UE, the USS initiates authentication, starts integrity by using the security procedure and sends LOCATION UPDATING ACCEPT and/or ATTACH ACCEPT with some of the following values to the UE:
    - LAI (MCC/MNC/LAC):244/081/0001
    - TMSI: "12345678"
    - RAI (MCC/MNC/LAC/RAC) 244/081/0001/05
    - P-TMSI "12345678"
    - P-TMSI signature value "AB1234"
- h) Depending on which domain the UE is going to be registered on, one of the following sequences will be passed through:
- I. After receipt of a TMSI REALLOCATION COMPLETE from the UE during registration on CS, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.
  - II. After receipt of a ATTACH COMPLETE from the UE during registration on PS, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS or.
  - III. After receipt of a TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE from the UE during registration on CS/PS, the USS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.
- i) The UE is soft powered down.

#### 7.4.2.5 Acceptance criteria

- 1) After step e) the UE shall send an RRC CONNECTION REQUEST on the UTRAN-cell related to the BCCH transmitting MCC/MNC 244/081 to the USS.
- 2) After step e) the UE shall send
  - I. LOCATION UPDATING REQUEST to the USS during registration on CS, or
  - II. ATTACH REQUEST during registration on PS or
  - III. LOCATION UPDATING REQUEST and/or ATTACH REQUEST to the USS during registration on CS/PS.

3) After step g) the UE shall respond with

- I. TMSI REALLOCATION COMPLETE to the USS during registration on CS, or
- II. ATTACH COMPLETE during registration on PS or
- III. TMSI REALLOCATION COMPLETE and/or ATTACH COMPLETE to the USS during registration on CS/PS.

4) The value of the internal timer shall not exceed 6 minutes.

NOTE: To take the systems processing time into account, the value of the internal timer may allowed to be a guard time of 10% greater than the required 6minutes.

5) After step i) the USIM shall contain the following values:

#### For UEs supporting (CS and PS) or (CS only):

##### EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 244  
LAI-MNC: 081  
TMSI: "12345678"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	12	34	56	78	42	14	80	xx	xx	xx	00

#### For UEs supporting (CS and PS) or (PS only):

##### EF<sub>PSLOCI</sub> (Location Information)

Logically: RAI-MCC: 244  
RAI-MNC: 081  
P-TMSI: "12345678"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	12	34	56	78	xx	xx	xx	42	14	80	xx

Coding:	B12	B13	B14
Hex	xx	xx	00

## 7.5 Void

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## 8 Subscription independent tests

### 8.1 Phone book procedures

#### 8.1.1 Recognition of a previously changed phonebook

##### 8.1.1.1 Definition and applicability

If the UICC is inserted into a GSM terminal, the phonebook may have been altered in this GSM session. If the ADN entry has been changed or deleted, the GSM terminal will not be able to change the appropriate additional phonebook entries (e.g. EF<sub>ANR</sub> Additional Number). In that case the UICC shall set a flag in the appropriate EF<sub>PBC</sub> (phonebook Control). If the UICC is inserted in a 3G Terminal later, the 3G Terminal shall recognise the flag and the phonebook

shall be synchronised by the Terminal. Once the Terminal recognises the set flag in the EF<sub>PBC</sub>, the Terminal shall update the Change Counter in the EF<sub>CC</sub>.

This test applies to all 3G Terminals.

### 8.1.1.2 Conformance requirement

The 3G Terminal shall recognise the set flag in the EF<sub>PBC</sub> and then synchronise the phonebook. The Terminal shall also update EF<sub>CC</sub> (Change Counter).

- TS 31.121, subclause 4.4.2.

### 8.1.1.3 Test purpose

- 1) To verify that the 3G Terminal has recognised that the phonebook has been altered by a GSM Terminal.
- 2) To verify that the 3G Terminal does the synchronising of the changed phonebook entries.
- 3) To verify that the 3G Terminal updates the EF<sub>PBC</sub> and EF<sub>CC</sub>.

### 8.1.1.4 Method of test

#### 8.1.1.4.1 Initial conditions

No USS is needed for this test.

The default UICC is used with the following exception:

#### EF<sub>ADN</sub> (Abbreviated Dialling Number)

Logically:

Record 1:	Length of alpha identifier:	32 characters;
	Alpha identifier:	"ABCDEFGHIJKLMNOPQRSTUVWXYZABCDEF";
	Length of BCD number:	"03";
	TON and NPI:	Telephony and Unknown;
	Dialled number:	123;
	CCI:	None;
	Ext1:	None.

Record 1:

Coding:	B1	B2	B3	...	B32	B33	B34	B35	B36	B37	B38	B39	...	B46
Hex	41	42	43	...	46	03	81	21	F3	FF	FF	FF	...	FF

#### EF<sub>PBC</sub> (Phonebook Control)

Logically:

Record 1:	The ADN Record No. 1 has been hanged by a GSM terminal. Related ADN record is not hidden.
-----------	--

Coding:	B1	B2
Hex	01	00

#### EF<sub>CC</sub> (Change Counter)

Logically: "000F"

Coding:	B1	B2
Hex	00	0F

The UICC is installed into the Terminal.

#### 8.1.1.4.2 Procedure

- a) The 3G Terminal is powered on.
- b) The Terminal shall stay powered on until the phonebook synchronisation procedures are finished. If the synchronisation is indicated by the Terminal, the Terminal shall only powered down after this indication is vanished.

#### 8.1.1.5 Acceptance criteria

After step b) the USIM shall contain the following values:

##### **EF<sub>PBC</sub> (Phonebook Control)**

Logically:

Record 1: The entry control information is reset.  
Related ADN record is not hidden.

Coding: B1 B2  
Hex 00 00

##### **EF<sub>CC</sub> (Change Counter)**

Logically: The counter is incremented to "0010".

Coding: B1 B2  
Hex 00 10

### 8.1.2 Update of the Phonebook Synchronisation Counter (PSC)

#### 8.1.2.1 Definition and applicability

The phonebook synchronisation Counter is used to unambiguously identify the status of the phonebook. Every time the phonebook is reset/deleted or the UID and/or the CC has run out of range, the PSC shall be regenerated.

The PSC is a part of the phonebook identifier.

This test applies to all 3G Terminals.

#### 8.1.2.2 Conformance requirement

Every time either the UID or the CC is incremented by the Terminal, the value of the content of the appropriate EF shall be tested. If either UID or CC has reached "FF FF", the related EF shall be set to "00 01" and the PSC is incremented.

- TS 31.102, subclause 4.4.2.12.2.

#### 8.1.2.3 Test purpose

- 1) To verify that the 3G Terminal has recognised that the values of UID and CC has changed.
- 2) To verify that the 3G Terminal resets the value of EF<sub>UID</sub> and EF<sub>CC</sub>.
- 3) To verify that the 3G Terminal updates EF<sub>PSC</sub>.

#### 8.1.2.4 Method of test

##### 8.1.2.4.1 Initial conditions

No USS is needed for this test.

The default UICC is used with the following exception:

#### **EF<sub>UID</sub> (Unique Identifier)**

Logically: one record is set to "FF FF".

Coding:	B1	B2
Hex	FF	FF

#### **EF<sub>PUID</sub> (Previous Unique Identifier)**

Logically: is set to "FF FF".

Coding:	B1	B2
Hex	FF	FF

#### **EF<sub>CC</sub> (Change Counter)**

Logically: set to "FF FF"

Coding:	B1	B2
Hex	FF	FF

#### **EF<sub>PSC</sub> (Phonebook Synchronisation Counter)**

Logically: set to "00 00 FF FF".

Coding:	B1	B2	B3	B4
Hex	00	00	FF	FF

At least one phonebook entry shall be empty and available for creating a new entry (e.g. an appropriate ADN record).

The UICC is installed into the Terminal and the UE is powered on and the correct PIN is entered.

#### **8.1.2.4.2 Procedure**

- a) A new phonebook entry shall be created.

NOTE 1: This may be done by storing a new telephone number in an empty ADN record.

- b) The UE shall have given the time to perform the regeneration of the UID records.

NOTE 2: It is assumed that the UE will indicate the time it needs to perform the regeneration by displaying a busy signal to the use.

#### **8.1.2.5 Acceptance criteria**

- 1) After step b) the USIM shall contain the following values:

The EF<sub>UID</sub> (Unique Identifier) shall have been regenerated and the first value used to update EF<sub>UID</sub> shall have been "00 01". The value FF FF shall have been replaced by an appropriate value which shall be distinguishable to the maximum value. EF<sub>PUID</sub> shall contain the UID value, which was used for the last update of EF<sub>UID</sub>.

#### **EF<sub>CC</sub> (Change Counter)**

Logically: set to "00 01"

Coding:	B1	B2
Hex	00	01

EF<sub>PSC</sub> (Phonebook Synchronisation Counter)

Logically: set to "00 01 00 00"

Coding:	B1	B2	B3	B4
Hex	00	01	00	00

## 8.2 Short message handling report

### 8.2.1 Correct storage of a SM on the USIM

#### 8.2.1.1 Definition and applicability

Once a SM is received by the UE, the Terminal shall store the SM on the USIM, if this is indicated by the class 2 of the SMS (USIM specific SM). For this it is assumed, that at least one relevant SMS field are available on the USIM and they are indicated as empty. If all SMS data field are full and furthermore all memory capacity reserved for SMS inside the ME is filled up to maximum and a SM was rejected, then this shall be indicated in the SMS Status file.

This test applies to all 3G Terminal accessing UTRAN and supporting "receive SMS" functionality.

#### 8.2.1.2 Conformance requirement

The received class 2 SMS shall be stored on the USIM in EF<sub>SMS</sub>. The status of a received SMS, which has not been read yet, shall be set to "3" (SMS to be read). If the terminal notifies the network that the terminal has been unable to accept a short message because its memory capacity has been exceeded, then the ME shall set the Memory Capacity Exceeded Notification Flag in the EF<sub>SMSS</sub>.

- TS 23.038, clause 4.
- TS 23.040, subclause 10.1, Operation 6
- TS 24.011, subclause 8.2.2, 8.2.3 and 8.2.5.4, Table 8.4 (part 2)
- TS 31.102, subclauses 4.2.25 and 4.2.28.

#### 8.2.1.3 Test purpose

- 1) To verify that the 3G Terminal stored correctly the class 2 SMS on the USIM.
- 2) To verify that the 3G Terminal sets the status of a received, and not yet read SMS to "3" (SMS to be read).
- 3) To verify that the 3G Terminal sets the memory full flag in EF<sub>SMSS</sub> . if the terminal notifies the network that the terminal has been unable to accept a short message because its memory capacity has been exceeded

#### 8.2.1.4 Method of test

##### 8.2.1.4.1 Initial conditions

The default UICC is used with the following exception:

##### EF<sub>UST</sub> (USIM Service Table)

Logically:

- Local Phone Book available
- User controlled PLMN selector available
- Fixed dialling numbers available
- Barred dialling numbers available
- The GSM Access available
- The Group Identifier level 1 and level 2 not available
- SMS available
- SMS Status available
- Service n 33 (Packed Switched Domain) shall be set to '1'.



## Enabled Services Table available

Coding:	B1	B2	B3	B4	B5
binary	xx1x xx11	xxxx x11x	xxxx 1x00	xxxx x1xx	xxxx xx11

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

**EF<sub>SMS</sub> (Short Message Service)**

At least 10 records.

Record 1 shall be empty.

Logically: Status byte set to empty.

Record 1:

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	...	B176
Hex	00	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF	...	FF

All other Record shall be full.

Logically: Status byte set to SMS read.  
The text body of the record shall be filled with any appropriate text.

Records

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	...	B176
Hex	01	xx	xx	xx	xx	xx	xx	xx	xx	xx	xx	xx	...	xx

NOTE: "xx" shall be the appropriate text using the SMS default 7-bit coded alphabet as defined in 3G TS 23.038 which represents the received SMS.

**EF<sub>SMSS</sub> (SMS Status)**

Logically: Last used TP-MR not defined.  
Memory capacity available (flag unset b1="1").

Coding:	B1	B2
Hex	FF	FF

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.
- LAI (MCC/MNC/LAC): 246/081/0001.
- Access control: unrestricted.

The USS transmits the short messages with the following parameters:

Logically:

**Class 2 SM:**

TS-Service Centre Address:

Bit 8:	1
Type-Of-Number:	International number
Numbering-Plan-Identification:	ISDN/telephony numbering plan
Address value:	112233445566

## SMS TPDU:

TP-Message-Type-Indicator: SMS-DELIVER (in the direction SC to MS)  
 TP-More-Messages-to-Send: No more messages are waiting for the MS in this SC  
 TP-Reply-Path: TP-Reply-Path parameter is not set in this SMS-DELIVER  
 TP-User-Data-Header-Indicator: The TP-UD field contains only the short message  
 TP-Status-Report-Indication: A status report shall be returned to the SME  
 Bits 4-3: 00

## TP-Originating-Address:

Bit 8: 1  
 Type-Of-Number: International number  
 Numbering-Plan-Identification: ISDN/telephony numbering plan  
 Address value: 012344556677

TP-Protocol-Identifier: No interworking, but SME-to-SME protocol

## TP-Data-Coding-Scheme:

Bits 8-7: General Data Coding  
 Bit 6: Text is uncompressed  
 Bit 5: Bits 2-1 have a message class meaning  
 Bits 4-3: GSM 7 bit default alphabet  
 Bits 2-1: Class 2: (U)SIM specific message

TP-Service-Centre-Time-Stamp: 02-03-04 09:13:06 GMT + 1

TP-User-Data-Length: 160

## TP-User-Data:

"Once a SMS is received by the UE, the Terminal shall store the SMS on the USIM, if this is indicated by the class 2 of the SMS (USIM specific SMS). For this..."

**Class 1 SM:**

The same content as for the Class 2 SM except:

## SMS TPDU:

TP-More-Messages-to-Send: More messages are waiting for the MS in this SC

## TP-Data-Coding-Scheme:

Bits 2-1: Class 1: default meaning: ME-specific

TP-Service-Centre-Time-Stamp: Always set to current time of the system simulator  
 User Equipment:

The UE is in MM-state "idle, updated". If there is ME storage capacity available, the storage for SMS inside the ME shall be able to allow for at least one more mobile terminated (e.g. Class 1) SM.

**8.2.1.4.2 Procedure**

- a) After the UE is set to idle mode, the defined Class 2 SM defined in 8.2.1.4.1 with 160 characters shall be sent to the UE.

- b) After the UE has indicated that a SM was received, the SM shall not be read.
- c) The USS starts sending Class 1 SMs as defined in 8.2.1.4.1 until the UE sends an RP-ERROR message with cause 'Memory capacity exceeded'.
- d) The UE is powered off.

### 8.2.1.5 Acceptance criteria

- 1) After step b) the record of the EF<sub>SMS</sub> which was empty, shall contain the following values:

Record 1:

Logically:

Status:

RFU bits 8-6: 000

Status: Used space, message received by MS from network, message to be read

TS-Service Centre Address:

Bit 8: 1

Type-Of-Number: International number

Numbering-Plan-Identification: ISDN/telephony numbering plan

Address value: 112233445566

SMS TPDU:

TP-Message-Type-Indicator: SMS-DELIVER (in the direction SC to MS)

TP-More-Messages-to-Send: No more messages are waiting for the MS in this SC

TP-Reply-Path: TP-Reply-Path parameter is not set in this SMS-DELIVER

TP-User-Data-Header-Indicator: The TP-UD field contains only the short message

TP-Status-Report-Indication: A status report shall be returned to the SME

Bits 4-3: 00

TP-Originating-Address:

Bit 8: 1

Type-Of-Number: International number

Numbering-Plan-Identification: ISDN/telephony numbering plan

Address value: 012344556677

TP-Protocol-Identifier: No interworking, but SME-to-SME protocol

TP-Data-Coding-Scheme:

Bits 8-7: General Data Coding

Bit 6: Text is uncompressed

Bit 5: Bits 2-1 have a message class meaning

Bits 4-3: GSM 7 bit default alphabet

Bits 2-1: Class 2: (U)SIM specific message

TP-Service-Centre-Time-Stamp: 02-03-04 09:13:06 GMT + 1

TP-User-Data-Length: 160

TP-User-Data:

"Once a SMS is received by the UE, the Terminal shall store the SMS on the USIM, if this is indicated by the class 2 of the SMS (USIM specific SMS). For this..."

Coding:

Hex	03	07	91	11	22	33	44	55	66	24	0C	91	10	32	44	55
	66	77	00	12	20	30	40	90	31	60	40	A0	4F	F7	B8	0C
	0A	83	A6	CD	29	28	3D	07	C9	CB	E3	72	DA	5E	26	83
	C4	79	10	1D	5D	06	55	8B	2C	10	1D	5D	06	51	CB	F2
	76	DA	1D	66	83	E6	E8	30	9B	0D	9A	D3	DF	F2	32	88
	8E	2E	83	A6	CD	29	E8	ED	06	D1	D1	65	50	75	9A	6C
	B2	40	69	33	88	8E	4E	CF	41	E9	39	28	ED	26	A7	C7
	61	7A	99	0C	12	E7	41	74	74	19	34	66	87	E7	73	90
	0C	F4	36	83	E8	E8	32	68	DA	9C	82	50	D5	69	B2	09
	9A	C3	CB	E3	B4	39	3D	06	4D	9B	D3	94	0B	64	7C	CB
	41	74	74	7A	0E	72	B9	5C								

2) After step d) the Memory Capacity Exceeded Notification Flag in the  $EF_{SMSS}$  shall be set to exceeded.

#### $EF_{SMSS}$ (SMS Status)

Logically: Last used TP-MR shall be set to any appropriate value.  
Memory capacity exceeded (flag set b1="0").

Coding:	B1	B2
Hex	xx	FE

## 8.2.2 Correct reading of a SM on the USIM

### 8.2.2.1 Definition and applicability

A SM which is stored but not yet read, is indicated as Status "3" (SMS to be read) on  $EF_{SMS}$ . The Terminal may indicate the user this status. After the SMS is read by the user, the status of the SMS shall be changed to "1" (SMS read).

This test applies to all 3G Terminal accessing UTRAN and supporting "receive SMS" functionality.

### 8.2.2.2 Conformance requirement

A received SM was stored on the USIM in  $EF_{SMS}$ . At the time the SMS is read by the user, the status of a received SMS, shall be changed to "1" (SMS read).

- TS 23.038, clause 4;
- TS 23.040;
- TS 31.102, subclauses 4.2.25 and 4.2.28.

### 8.2.2.3 Test purpose

- 1) To verify that the 3G Terminal read correctly the SMS on the USIM.
- 2) To verify that the 3G Terminal changes the status of a read SMS to "1" (SMS read).

## 8.2.2.4 Method of test

### 8.2.2.4.1 Initial conditions

The default UICC is used with the following exception:

#### EF<sub>UST</sub> (USIM Service Table)

Logically: Local Phone Book available  
 User controlled PLMN selector available  
 Fixed dialling numbers available  
 Barred dialling numbers available  
 The GSM Access available  
 The Group Identifier level 1 and level 2 not available  
 SMS available  
 SMS Status available  
 Service n 33 (Packed Switched Domain) shall be set to '1'  
 Enabled Services Table available

Coding:	B1	B2	B3	B4	B5
binary	xx1x xx11	xxxx x11x	xxxx 1x00	xxxx x1xx	xxxx xx11

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

#### EF<sub>SMSS</sub> (SMS Status)

Logically: Last used TP-MR not set.  
 Memory capacity available (flag unset b1="1").

Coding:	B1	B2
Hex	FF	FF

#### EF<sub>SMS</sub> (Short Message Service)

Logically: Status byte set to SMS to be read.  
 A chosen test is written in the text body of the EF<sub>SMS</sub>.

Record 1:

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	...	B176
Hex	03	xx	xx	xx	xx	xx	xx	xx	xx	xx	xx	xx	...	xx

NOTE: "xx" shall be the appropriate text using the SMS default 7-bit coded alphabet as defined in 3GPPTS 23.038 which represents the stored SMS.

At least 9 records.

Logically: Status byte set to empty  
 No text is written (Remainder Bytes set to "00").

Record:

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	...	B176
Hex	00	00	00	00	00	00	00	00	00	00	00	00	...	FF

A USS is only needed to bring the UE into a defined idle mode. The USS transmits on the BCCH:

- Attach/detach: disabled.
- LAI (MCC/MNC/LAC): 246/081/0001.
- Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

#### 8.2.2.4.2 Procedure

- a) After the UE has brought in idle state, the SMS shall be read.
- b) The UE is powered off.

#### 8.2.2.5 Acceptance criteria

- 1) After a) the correct text of the SM shall be read from the UE display.
- 2) After step b) the EF<sub>SMS</sub> record 1 shall contains the following values:

Logically:           Status byte set to SMS read.  
The entire content of the SM shall be unchanged.

Record 1:

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	...	B176
Hex	01	xx	xx	xx	xx	xx	xx	xx	xx	xx	xx	xx	...	xx

NOTE: "xx" shall be the appropriate text using the SMS default 7-bit coded alphabet as defined in TS 23.038 which represents the stored SMS.

## Annex A (informative): Change history

The table below indicates all change requests that have been incorporated into the present document since it was initially approved by 3GPP TSG-T.

TSG # / Date	TSG Doc		CR	Rev	Cat	Subject/Comment	New
TP-10	TP-000205					Final draft approved at TSG-T #10	3.0.0
TP-12	TP-010108		001		F	Correction of EF(UST) used in the Test USIM	3.1.0
	TP-010108		002		F	Correction of EF(ECC) used in the Test USIM	
	TP-010108		003		F	Correction to EF(HPLMN) regarding test USIMs	
	TP-010108		004		F	Deletion of a duplicated test case	
TP-16	TP-020117		006		F	Correction of tests using EF (USIM Service Table)	3.2.0
TP-17	TP-020215		009		F	Correction of coding of EF ACMMMax	3.3.0
			011		F	Correction of number of bytes of EF Keys	
			013	1	F	Definition of short message	
TP-18	TP-020286		014		F	Correction of PIN 2 related tests	3.4.0
			016		F	Essential Clarifications	
			018		F	Correction of EF OPLMNwACT	
TP-19	TP-030028		020		F	File size correction	3.5.0
			022		F	Correction of PLMN coding	
TP-20	TP-030123		024		F	Correction of acceptance criteria	3.6.0
TP-21	TP-030184		026		F	Usage of 3G PDU definition for UEs accessing UTRAN	3.7.0
TP-23	TP-040028		028		F	CR 31.121 R99: Essential Corrections	3.8.0
TP-24	TP-040104		030		F	Removal of ERF_RPLMNACT	3.9.0
TP-24	TP-040104		032		F	Security related tests	3.9.0
TP-25	TP-040184		034		F	CR 31.121 R99: alignment with TS 51.010-1 on default EF-ADN configuration	3.10.0
	TP-040184		037		F	CR 31.121 R99: Essential Corrections on section 7	3.10.0
	TP-040184		039		F	CR 31.121 R99: Correction of SMS related test cases	3.10.0
	TP-040184		035		F	CR 31.121 R99: Essential Corrections on sections 2-6	3.10.0
	TP-040184		041		F	CR 31.121 R99: Correction of Access Control handling related test case TC 5.2.1.	3.10.0
TP-26	TP-040263		046		F	Correction of non specific references	3.11.0
TP-27	TP-050022	T3-050101	047		F	Correction of Operator controlled PLMN selector handling tests	3.12.0
TP-27	TP-050022	T3-050119	053		F	Correction of verification of EF PSLOCi in section 7 "PLMN related tests":	3.12.0
TP-27	TP-050022	T3-050122	056		F	Correction of HPLMN Search Period tests	3.12.0
TP-27	TP-050022	T3-050173	050		F	Correction to the 'Maximum frequency of ACM updating' test	3.12.0
CP-28	CP-050136	C6-050356	059		F	ISO/IEC 7816-series revisions	3.13.0
CP-28	CP-050137	C6-050359	062		F	Correction of the content for Class 1 short messages in TC 8.2.1	3.13.0
CP-28	CP-050137	C6-050362	065		F	Essential correction of TC 8.1.2	3.13.0
CP-28	CP-050137	C6-050429	069		F	Deletion of BDN tests	3.13.0

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## History

<b>Document history</b>		
V3.0.0	December 2000	Publication
V3.1.0	June 2001	Publication
V3.2.0	June 2002	Publication
V3.3.0	September 2002	Publication
V3.4.0	December 2002	Publication
V3.5.0	March 2003	Publication
V3.6.0	June 2003	Publication
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V3.8.0	March 2004	Publication
V3.9.0	June 2004	Publication
V3.10.0	September 2004	Publication
V3.11.0	December 2004	Publication
V3.12.0	March 2005	Publication
V3.13.0	June 2005	Publication