ETSI TS 131 130 V18.1.0 (2024-07)

TECHNICAL SPECIFICATION

Digital cellular telecommunications system (Phase 2+) (GSM);
Universal Mobile Telecommunications System (UMTS);
LTE;
5G;
(U)SIM Application Programming Interface (API);
(U)SIM API for Java™ Card
(3GPP TS 31.130 version 18.1.0 Release 18)

Reference

RTS/TSGC-0631130vi10

Keywords

5G,GSM,LTE,UMTS

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Sous-Préfecture de Grasse (06) N° w061004871

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Contents

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

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y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

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In the present document, modal verbs have the following meanings:

shall indicates a mandatory requirement to do something

shall not indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

should indicates a recommendation to do something

should not indicates a recommendation not to do something

may indicates permission to do something

need not indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

can indicates that something is possible

cannot indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

will indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

will not indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

might indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

> **might not** indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

> **is** (or any other verb in the indicative mood) indicates a statement of fact

> **is not** (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

1 Scope

The present document defines the (U)SIM Application Programming Interface extending the "UICC API for Java Card™" [2].

This API allows to develop a (U)SAT application running together with a (U)SIM application and using 3GPP network features.

The present document includes information applicable to 3GPP network operators, service providers, server – (U)SIM – database manufacturers.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] ETSI TS 101 220: "Integrated Circuit Cards (ICC); ETSI numbering system for telecommunication; Application providers (AID)".

[2] ETSI TS 102 241 V18.0.0: "UICC API for Java Card™"

[3] 3GPP TS 31.102: "Characteristics of the USIM Application".

[4] 3GPP TS 51.011 Release 4: "Specification of the Subscriber Identity Module- Mobile Equipment (SIM – ME) interface".

[5] 3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".

[6] 3GPP TS 31.101: "UICC-terminal interface; Physical and logical characteristics".

[7] 3GPP TS 31.111: "USIM Application Toolkit (USAT)".

[8] 3GPP TS 51.014 Release 4: "Specification of the SIM Application Toolkit for the Subscriber Identity Module – Mobile Equipment (SIM – ME) interface".

[9] 3GPP TS 31.115: "Secured packet structure for the (U)SIM Toolkit applications".

[10] 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".

[11] Void.

[12] Void.

[13] Void.

[14] 3GPP TS 23.032: "Universal Geographical Area Description (GAD)".

[15] IEC 61162-1: "Maritime navigation and radio communication equipment and systems – Digital interfaces".

[16] 3GPP TS 23.003: "Numbering, Addressing and Identification".

[17] 3GPP TS 31.103: "Characteristics of the IP Multimedia Services Identity Module (ISIM) application".

[18]   3GPP TS 33.220: "Generic Authentication Architecture (GAA);Generic Bootstrapping

3   Definitions and abbreviations

3.1   Definitions

For the purposes of the present document, the terms and definitions defined in ETSI TS 102 241 [2] apply.

(U)SAT Framework : (U)SAT extension of the CAT Runtime Environment.

3.2   Abbreviations

For the purposes of the present document, the abbreviations defined in ETSI TS 102 241 [2] apply.

4   Description

4.0   Overview

This API is an extension to the ETSI TS 102 241 [2] "UICC API for Java Card™" and requires the implementation of this specification.

The classes and interfaces described in this specification inherit functionality from the classes and interfaces specified in the "UICC API for Java Card™".

The (U)SAT Framework described in this specification is an extension of the CAT Runtime Environment defined in ETSI TS 102 241 [2].

4.1   (U)SIM Java Card™ Architecture

The overall architecture of the (U)SIM API is based on the "UICC API for Java Card™" defined in
ETSI TS 102 241 [2].

Items that are defined in this specification
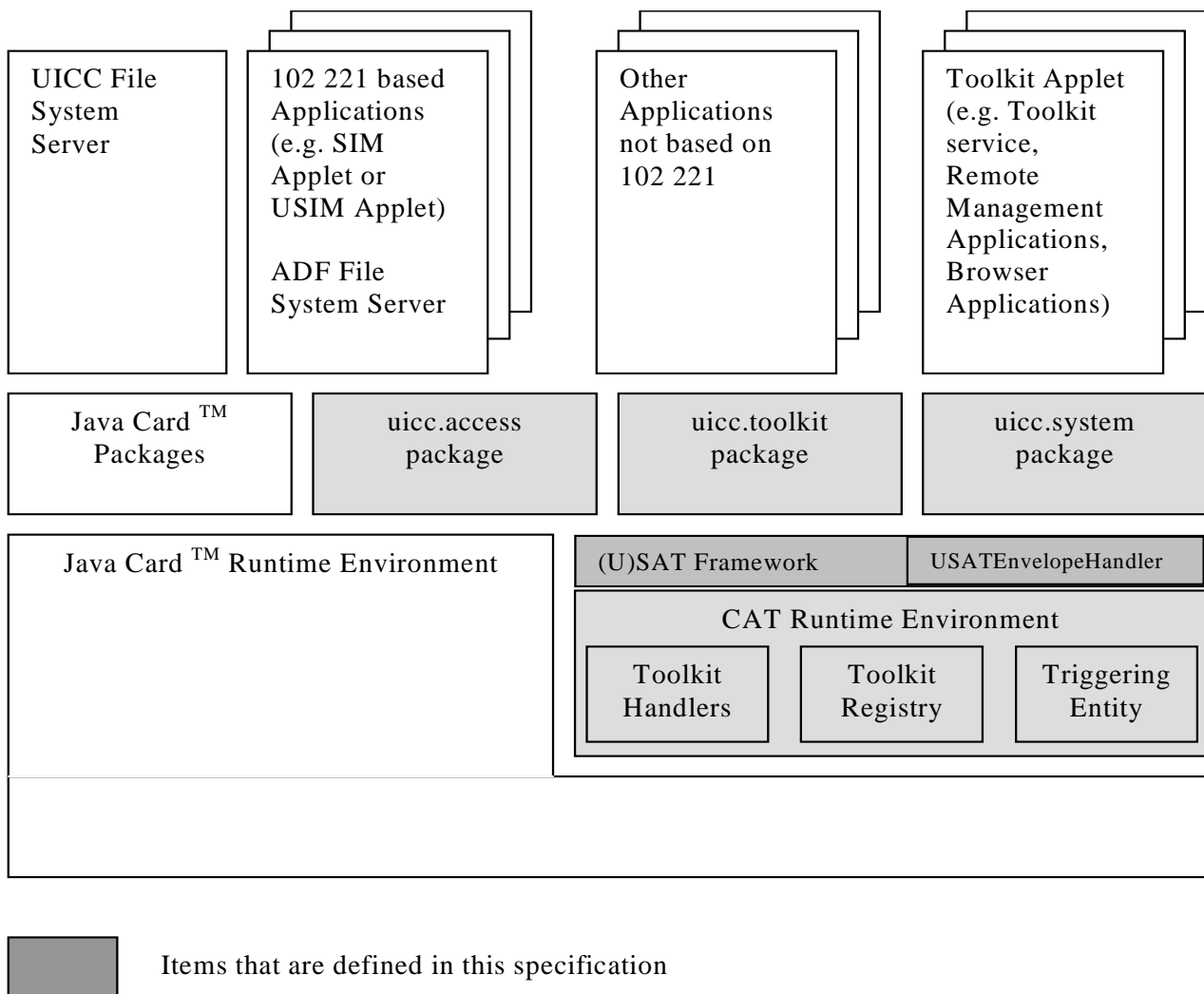
Figure 1: (U)SIM Java Card™ Architecture

5   File Access API

The (U)SIM file access API consists of the package *uicc.usim.access*. This package defines additional constants to those
defined in the *uicc.access* package from ETSI TS 102 241 [2]. The access to the file system, defined in TS 51.011 [4]
and TS 31.102 [3], is the one specified in ETSI TS 102 241 [2] via the UICC *FileView* Interface. When selecting a
cyclic file the current record number is defined, this applies also to files located under DF$_{GSM}$.

6   (U)SAT Framework

6.0   Overview

The (U)SIM toolkit API consists of the *uicc.usim.toolkit* package for toolkit features defined in TS 31.111 [7] and
TS 51.014 [8], and is based on the *uicc.toolkit* package defined in ETSI TS 102 241 [2].

6.1 Applet triggering

See ETSI TS 102 241 [2].

6.1.1 Exception Handling

The following clause describes the handling of exceptions by the (U)SAT Framework in addition to the behaviour defined in ETSI TS 102 241 [2] for the CAT Runtime Environment.

If an Applet triggered by EVENT_FORMATTED_SMS_PP_ENV event throws an ISOException with the reason code (0x6FXX), it shall be sent to the terminal.

Other Exceptions shall not be propagated to the terminal.

6.2 Definition of Events

The following events can trigger a Toolkit Applet in addition to the events defined in ETSI TS 102 241 [2], all short values are reserved in ETSI TS 102 241 [2]:

Table 1: (U)SAT event list

Event Name	Reserved short value
EVENT_FORMATTED_SMS_PP_ENV	2
EVENT_FORMATTED_SMS_PP_UPD	3
EVENT_UNFORMATTED_SMS_PP_ENV	4
EVENT_UNFORMATTED_SMS_PP_UPD	5
EVENT_UNFORMATTED_SMS_CB	6
EVENT_MO_SHORT_MESSAGE_CONTROL_BY_NAA	10
EVENT_FORMATTED_SMS_CB	24
EVENT_EVENT_DOWNLOAD_IWLAN_ACCESS_STATUS	30
EVENT_EVENT_DOWNLOAD_NETWORK_REJECTION	31
EVENT_EVENT_DOWNLOAD_CSG_CELL_SELECTION	33
EVENT_EVENT_DOWNLOAD_DATA_CONNECTION_STATUS_CHANGE	37
Reserved for 3GPP (for future usage)	113
Reserved for 3GPP (for future usage)	114
Reserved for 3GPP (for future usage)	115
Reserved for 3GPP (for future usage)	116
EVENT_EVENT_DOWNLOAD_SLICES_STATUS_CHANGE	117
EVENT_EVENT_DOWNLOAD_CAG_CELL_SELECTION	118
EVENT_EVENT_DOWNLOAD_IMS_REGISTRATION	119
EVENT_EVENT_DOWNLOAD_INCOMING_IMS_DATA	120
EVENT_FORMATTED_USSD	121
EVENT_UNFORMATTED_USSD	122

EVENT_FORMATTED_SMS_PP_ENV, EVENT_UNFORMATTED_SMS_PP_ENV, EVENT_FORMATTED_SMS_PP_UPD, EVENT_UNFORMATTED_SMS_PP_UPD

There are two ways for a card to receive a Short Message Point to Point: via an ENVELOPE(SMS-PP DOWNLOAD) APDU as defined in TS 31.111 [7] and TS 51.014 [8] or an UPDATE RECORD EF$_{SMS}$ APDU as defined in TS 31.102 [3] and TS 51.011 [4]. The EF$_{SMS}$ can be either located under the DF$_{Telecom}$ or under any ADF as defined in TS 31.102 [3] and TS 51.011 [4].

The received Short Message may be:

- formatted according to TS 31.115 [9] or an other protocol to identify explicitly the toolkit applet for which the message is sent;

- unformatted (e.g. a toolkit applet specific protocol) then the (U)SAT Framework will pass this data to all registered toolkit applets.

When the Short Message is received as Concatenated Short Messages as defined in TS 23.040 [10], it is the responsibility of the (U)SAT Framework to link single Short Messages together to re – assemble the original message before any further processing. The original Short Message shall be placed in one SMS TPDU TLV (with TP-UDL field coded on one octet) included in the *USATEnvelopeHandler*. The concatenation control headers used to re-assemble the short messages in the correct order shall not be present in the SMS TPDU. The TP-elements of the SMS TPDU and the Address (TS – Service-Centre-Address) shall correspond to the ones in the last received Short Message (independently of the Sequence number of Information-Element-Data).

The minimum requirement for the (U)SAT Framework is to process a concatenated short message with the following properties:

- the Information Element Identifier is equal to the 8-bit reference number.

- it contains uncompressed 8 bit data or uncompressed UCS2 data.

EVENT_FORMATTED_SMS_PP_ENV

Upon reception of a TS 31.115 [9] formatted Short Message Point to Point (Single or Concatenated) via an ENVELOPE, the (U)SAT Framework shall:

- verify the security of the Short Message as per TS 31.115 [9];

- trigger the toolkit applet registered with the corresponding TAR;

- take the optional Application Data posted by the triggered toolkit applet if present;

- secure and send the response packet using SMS-DELIVER-REPORT or SMS-SUBMIT.

When the toolkit applet is triggered, data shall be provided deciphered.

EVENT_UNFORMATTED_SMS_PP_ENV

Upon reception of an unformatted Short Message Point to Point (Single or Concatenated) via an ENVELOPE, the (U)SAT Framework shall trigger all the Toolkit Applets registered to this event.

NOTE 1: As a consequence of the *EnvelopeResponseHandler* availability rules specified in clause 6.6, only the first triggered toolkit applet is guaranteed to be able to send back a response.

EVENT_FORMATTED_SMS_PP_UPD

Upon reception of a TS 31.115 [9] formatted Short Message Point to Point (Single or Concatenated) via an UPDATE RECORD EF$_{SMS}$, the (U)SAT Framework shall:

- update the EF$_{SMS}$ file with the data received, it is then up to the receiving toolkit applet to change the SMS stored in the file (i.e. the toolkit applet need to have access to the EF$_{SMS}$ file)

- verify the security of the Short Message as per TS 31.115 [9];

- convert the UPDATE RECORD EF$_{SMS}$ APDU into a COMPREHENSION TLV List;

- trigger the toolkit applet registered with the corresponding TAR;

When the toolkit applet is triggered, data shall be provided deciphered.

The *USATEnvelopeHandler* provided to the applet shall:

- return *BTAG_SMS_PP_DOWNLOAD* to the *getTag()* method call**;**

- return the Comprehension TLV list length to the *getLength()* method call;

The *USATEnvelopeHandler* provided to the applet shall contain the following COMPREHENSION TLVs:

- Device Identities TLV

The Device Identities Comprehension TLV is used to store the information about the absolute record number in the EF$_{SMS}$ file and the value of the EF$_{SMS}$ record status byte, and is formatted as defined below:

Device identities Comprehension TLV
Device Identities tag
length = 02
Absolute Record Number
Record Status

With the absolute record number the toolkit applet can update EF$_{SMS}$ in absolute mode to change the received SMS (e.g. in a readable text).

For Concatenated Short Message the Absolute Record Number and the Record Status will correspond to the last UPDATE RECORD EF$_{SMS}$ APDU received.

- Address TLV

The value is the TS-Service-Centre-Address (RP-OA) of the last UPDATE RECORD EF$_{SMS}$ APDU.

- SMS TPDU TLV

The value is the SMS TPDU provided deciphered and reassembled, if needed

- AID TLV

The AID comprehension TLV is present only if the EF$_{SMS}$ file updated is under an ADF. The value is the AID of the ADF as defined TS 31.111 [7].

The order of the TLVs given in the *USATEnvelopeHandler* is not specified,

NOTE 2: To get each COMPREHENSION TLV, it is recommended that the applet uses the *ViewHandler.findTLV()* methods

EVENT_UNFORMATTED_SMS_PP_UPD

Upon reception of an unformatted Short Message Point to Point (Single or Concatenated) via UPDATE RECORD EF$_{SMS}$ APDU, the (U)SAT Framework shall :

- update the EF$_{SMS}$ file with the data received;

- convert the UPDATE RECORD EF$_{SMS}$ APDU data into a COMPREHENSION TLV List (as described for *EVENT_FORMATTED_SMS_PP_UPD*);

- trigger all the Toolkit Applets registered to this event.

The content of EF$_{SMS}$ may have been modified by a previously triggered Toolkit Applet..

EVENT_FORMATTED_SMS_CB, EVENT_UNFORMATTED_SMS_CB

The received Cell Broadcast Message, via an ENVELOPE (CELL BROADCAST DOWNLOAD) APDU as defined in TS 31.111 [7] and TS 51.014 [8] and, can be either:

- formatted according to TS 31.115 [9] or an other protocol to identify explicitly the toolkit applet for which the message is sent;

- unformatted (e.g. using a toolkit applet specific protocol), then the (U)SAT Framework will pass this data to all registered toolkit applets.

When the Cell Broadcast Message is received as multiple pages as defined in TS 23.041 [5], it is the responsibility of the (U)SAT Framework to link single pages together to re-assemble the original message before any further processing. The original Cell Broadcast message shall be placed in one Cell Broadcast page TLV included in the *USATEnvelopeHandler*. The message parameters shall correspond to the ones in the last received Cell Broadcast page (independently of the Page Parameter).

EVENT_FORMATTED_SMS_CB

Upon reception of a TS 31.115 [9] formatted Cell Broadcast message, the (U)SAT Framework shall:

- verify the security of the Cell Broadcast message as per TS 31.115 [9];

- trigger the toolkit applet registered with the corresponding TAR;

When the toolkit applet is triggered, data shall be provided deciphered.

EVENT_UNFORMATTED_SMS_CB

Upon reception of an unformatted Cell Broadcast message, the (U)SAT Framework shall trigger all the Toolkit Applets registered to this event.

EVENT_MO_SHORT_MESSAGE_CONTROL_BY_NAA

Upon reception of an ENVELOPE (MO SHORT MESSAGE CONTROL defined in TS 51.014 [8] and TS 31.111 [7]) APDU as defined in TS 31.101 [6] and TS 51.011 [4] the (U)SAT Framework shall trigger the Toolkit Applet registered to this event. The (U)SAT Framework shall not allow more than one Toolkit Applet to be registered to this event at a time(e.g. if a Toolkit Applet is registered to this event but not in selectable state the (U)SAT Framework shall not allow another Toolkit Applet to register to this event).

EVENT_FORMATTED_USSD, EVENT_UNFORMATTED_USSD

The received USSD String, via an ENVELOPE (USSD Data Download) APDU as defined in TS 31.111 [7], may be:

- formatted according to TS 31.115 [9] or an other protocol to identify explicitly the toolkit applet for which the message is sent;

- unformatted (e.g. a toolkit applet specific protocol) then the (U)SAT Framework will pass this data to all registered toolkit applets.

When the USSD Message is received as concatenated as defined in TS 31.115 [9], it is the responsibility of the (U)SAT Framework to link single USSD Messages together to re-assemble the original message before any further processing. The original USSD message shall be placed in one USSD String TLV included in the *USATEnvelopeHandler*. The USSD String parameters (DCS, PFI, CCF) shall correspond to the ones in the last received USSD String (independently of the CCF Sequence number).

EVENT_FORMATTED_USSD

Upon reception of a TS 31.115 [9] formatted USSD Message via an ENVELOPE, the (U)SAT Framework shall:

- verify the security of the USSD Message as per TS 31.115 [9];

- trigger the toolkit applet registered with the corresponding TAR;

- take the optional Application Data posted by the triggered toolkit applet if present;

- secure and send the response packet.

When the toolkit applet is triggered, data shall be provided deciphered.

EVENT_UNFORMATTED_USSD

Upon reception of an unformatted USSD String via an ENVELOPE, the (U)SAT Framework shall trigger all the Toolkit Applets registered to this event.

   NOTE 3: As a consequence of the *EnvelopeResponseHandler* availability rules specified in clause 6.6, only the first triggered toolkit applet is guaranteed to be able to send back a response.

EVENT_EVENT_DOWNLOAD_IWLAN_ACCESS_STATUS

EVENT_EVENT_DOWNLOAD_NETWORK_REJECTION

EVENT_EVENT_DOWNLOAD_CSG_CELL_SELECTION

EVENT_EVENT_DOWNLOAD_IMS_REGISTRATION

EVENT_EVENT_DOWNLOAD_INCOMING_IMS_DATA

EVENT_EVENT_DOWNLOAD_DATA_CONNECTION_STATUS_CHANGE

EVENT_EVENT_DOWNLOAD_CAG_CELL_SELECTION

EVENT_EVENT_DOWNLOAD_SLICES_STATUS_CHANGE

Upon reception of an ENVELOPE (Event Download) APDU command as defined in TS 31.111 [7] the (U)SAT Framework shall trigger all the Toolkit applets registered to the corresponding event.

The following events defined in TS 31.111 [7] shall be raised upon reception of the corresponding APDU defined in either TS 51.011 [4] or TS 31.101 [6].

EVENT_PROFILE_DOWNLOAD

EVENT_MENU_SELECTION, EVENT_MENU_SELECTION_HELP_REQUEST

EVENT_CALL_CONTROL_BY_NAA

EVENT_TIMER_EXPIRATION

EVENT_EVENT_DOWNLOAD_MT_CALL

EVENT_EVENT_DOWNLOAD_CALL_CONNECTED

EVENT_EVENT_DOWNLOAD_CALL_DISCONNECTED

EVENT_EVENT_DOWNLOAD_LOCATION_STATUS

EVENT_EVENT_DOWNLOAD_USER_ACTIVITY

EVENT_EVENT_DOWNLOAD_IDLE_SCREEN_AVAILABLE

EVENT_EVENT_DOWNLOAD_CARD_READER_STATUS

EVENT_STATUS_COMMAND

EVENT_EVENT_DOWNLOAD_LANGUAGE_SELECTION

EVENT_EVENT_DOWNLOAD_BROWSER_TERMINATION

EVENT_EVENT_DOWNLOAD_DATA_AVAILABLE

EVENT_EVENT_DOWNLOAD_CHANNEL_STATUS

EVENT_EVENT_DOWNLOAD_ACCESS_TECHNOLOGY_CHANGE

EVENT_EVENT_DOWNLOAD_DISPLAY_PARAMETER_CHANGED

EVENT_EVENT_DOWNLOAD_LOCAL_CONNECTION

EVENT_EVENT_DOWNLOAD_NETWORK_SEARCH_MODE_CHANGE

EVENT_EVENT_DOWNLOAD_BROWSING_STATUS

EVENT_PROACTIVE_HANDLER_AVAILABLE

EVENT_EXTERNAL_FILE_UPDATE

EVENT_FIRST_COMMAND_AFTER_ATR

EVENT_UNRECOGNIZED_ENVELOPE

6.3 Registration

A Toolkit Applet shall register to events described in 6.2 as defined in ETSI TS 102 241 [2].

Constants for these events are available in *uicc.usim.toolkit.ToolkitConstants* interface in Annex A.

The *uicc.toolkit.ToolkitException* TAR_NOT_DEFINED shall be thrown if a Toolkit Applet has no TAR defined and registers to events: EVENT_FORMATTED_SMS_PP_ENV, EVENT_FORMATTED_SMS_PP_UPD, EVENT_FORMATTED_SMS_CB, EVENT_FORMATTED_USSD.

The *uicc.toolkit.ToolkitException*.EVENT_ALREADY_REGISTERED shall be thrown if there is another Toolkit Applet already registered to *EVENT_MO_SHORT_MESSAGE_CONTROL_BY_NAA*.

6.4   Proactive command handling

There is no extension of the CAT Runtime Environment by the (U)SAT Framework for proactive command handling.

6.5   Envelope response handling

For the events defined in the present document, the following rules apply:

A Toolkit Applet can post a response by using the *post()* method or the *postAsBERTLV()* method defined in ETSI TS 102 241 [2]. The (U)SAT Framework shall return the Status Word as defined in TS 31.111 [7] and in TS 51.014 [8] depending on the current NAA.

Case of EVENT_MO_SHORT_MESSAGE_CONTROL_BY_NAA:

-     The rules defined for *EVENT_CALL_CONTROL_BY_NAA* in ETSI TS 102 241 [2] apply.

Case of EVENT_UNFORMATTED_SMS_PP_ENV:

-     See ETSI TS 102 241 [2].

Case of EVENT_FORMATTED_SMS_PP_ENV:

-     When the *post()* or the *postAsBERTLV()* method is invoked, the (U)SAT Framework shall, according to bit 6 of the second octet of the SPI defined in TS 31.115 [9], build a SMS-DELIVER-REPORT or a SMS-SUBMIT.

In case of a SMS-DELIVER-REPORT and if the post response is too large to be contained in a SMS-DELIVER-REPORT, the (U)SAT Framework shall issue Response Packets as defined in TS 31.115 [9].

In case of a SMS-DELIVER-REPORT, the (U)SAT Framework shall return the Status Word for RP-ACK or RP-ERROR as defined in TS 31.111 [7] and in TS 51.014 [8] depending on the current NAA.

In case of SMS-SUBMIT the boolean value method parameter shall be ignored by the (U)SAT Framework. If the SMS-SUBMIT is to be used, the (U)SAT Framework shall build and issue a Send Short Message proactive command as defined in TS 31.111 [7] and in TS 51.014 [8] depending on the current NAA .

Case of EVENT_FORMATTED_USSD:

-     When the *post()* or the *postAsBERTLV()* method is invoked, the (U)SAT Framework shall build a USSD String to be sent back in the Return Result Component contained in the subsequent Facility message. In that case the (U)SAT Framework shall return the Status Word as defined in TS 31.111 [7].

Case of EVENT_UNFORMATTED_USSD:

-     See ETSI TS 102 241 [2].

6.6   System Handler management

For the handler management of the *ProactiveHandler*, the *ProactiveResponseHandler*, the *EnvelopeHandler* and the *EnvelopeResponseHandler*, the rules defined in ETSI TS 102 241 [2] apply.

USATEnvelopeHandler:

The single system instance of the *USATEnvelopeHandler* and the single system instance of the *EnvelopeHandler* are two distinct objects instances.

- When available the *USATEnvelopeHandler* shall remain available and its content shall remain unchanged from the invocation to the termination of the *processToolkit()* method.

- The TLV List provided in the *USATEnvelopeHandler* are the same as in the *EnvelopeHandler*.

- The handler availability of the *USATEnvelopeHandler* is the same handler availability as the *EnvelopeHandler* including all the events defined in ETSI TS 102 241 [2].

The following table describes the minimum availability of the handlers for all the events at the invocation of the *processToolkit()* method of the Toolkit Applet. The rules described in this table apply in addition to the rules described in "UICC API for Java Card™"

Table 2: Handler availability for each event

EVENT_	Reply busy allowed	EnvelopeHandler / USATEnvelopeHandler	EnvelopeResponse Handler	Nb of triggered / registrered Applet
_FORMATTED_SMS_PP_ENV	Y (see Note 1)	Y	Y	1 / n (per TAR)
_FORMATTED_SMS_PP_UPD	N	Y	N	1 / n (per TAR)
_UNFORMATTED_SMS_PP_ENV	Y	Y	Y	n / n
_UNFORMATTED_SMS_PP_UPD	N	Y	N	n / n
_FORMATTED_SMS_CB	Y	Y	N	1/n (per TAR)
_UNFORMATTED_SMS_CB	Y	Y	N	n / n
_MO_SHORT_MESSAGE_CONTROL_BY_NAA	N	Y	Y	1 / 1
_FORMATTED_USSD	Y	Y	Y	1 / n (per TAR)
UNFORMATTED_USSD	Y	Y	Y	n / n
EVENT_DOWNLOAD				
_IWLAN_ACCESS_STATUS	Y	Y	N	n/n
_NETWORK_REJECTION	Y	Y	N	n/n
_IMS_REGISTRATION	Y	Y	N	n/n
_INCOMING_IMS_DATA	Y	Y	N	n/n
_DATA_CONNECTION_STATUS_CHANGE	Y	Y	N	n/n
_CAG_CELL_SELECTION	Y	Y	N	n/n
_SLICES_STATUS_CHANGE	Y	Y	N	n/n
NOTE 1: The framework may reply busy and not trigger the toolkit applet if e.g. a PoR using SMS SUBMIT is required in the incoming message and a proactive session is ongoing.				

6.7 (U)SAT Framework behaviour

The (U)SAT Framework is a (U)SAT extension of the CAT Runtime Environment as defined in ETSI TS 102 241 [2]. In addition, the (U)SAT Framework shall consider the EVENT_EVENT_DOWNLOAD_* defined in this specification when issuing the SET UP EVENT LIST system proactive command.

7 UICC toolkit applet

See ETSI TS 102 241 [2].

8 Geo Location API

The Geo Location API consists of the package *uicc.usim.geolocation*. This package defines services to allow an Applet to perform a geographical location operation, depending of the ME capabilities. When a geographical location operation is requested, the API will follow a defined way to choose either "Geographical Location Request" toolkit command or "Provide Local Information" toolkit command as defined in TS 31.111 [7] to determine the location information. The result is formatted using GAD shapes as defined in TS 23.032 [14] or in the format of NMEA sentences defined in IEC 61162-1 [15].

9 SUCI API

The SUCI API consists of the package *uicc.usim.suci*. This package defines services to allow an Applet to perform a SUCI computation upon reception of terminal request.

If an applet has registered an object implementing the interface *SUCICalculator* to the USIM application, then when the ME sends a GET IDENTITY APDU Command in SUCI context to this USIM application, the (U)SAT framework shall invoke the *getSUCI* method in order to retrieve the SUCI to be returned to the ME. Only one object can be registered per USIM application. The reference to the object is needed to dereference the object. If no object is registered, the USIM shall return the SUCI computed by its own means, according to the USIM configuration.

When SUPI type is IMSI, and Identity Context is SUCI 5G NSWO, the (U)SAT framework shall convert the SUCI returned by *getSUCI* in SUCI NAI format (see TS 23.003 [16]).

If an exception is raised, the (U)SAT framework behaviour is implementation specific.

10 GBA_U API

10.0 Overview

The GBA_U API consists of the package *uicc.usim.gba_u*. This package defines services to allow an applet to perform cryptographic operations (i.e. encryption or signature) using Ks_int_NAF, as defined in TS 33.220 [18], which is remained on UICC and is derived during GBA_U procedures as defined in TS 31.102 [3] for USIM or in TS 31.103 [17] for ISIM.

During the initialization of cryptographics objects (i.e. *GBAUCipher*, *GBAUSignature* classes) using *init()* methods:

- the application (i.e. USIM, ISIM) hosting the Ks_int_NAF is specified through *adfAID*, *adfAIDOff* and *adfAIDLen* parameters

- the NAF_ID is specified through *nafID*, *nafIDOff* and *nafIDLen* parameters.

- the framework shall check whether the applet is defined with corresponding NAF_ID for requested application ADF AID (i.e. USIM, ISIM) as defined in clause 10.1,

If the applet is authorized to use the Ks_int_NAF, then the framework shall check additionnally:

- the GBA service is available in requested application (for USIM as defined in TS 31.102 [3], i.e. Service n°68 is "available" in UST or for ISIM as defined in TS 31.103 [17], i.e. Service n°2 is "available" in IST)

- the GBA_U bootstrap procedure was executed as defined in TS 31.102 [3] or TS 31.103 [17]

- the GBA_U NAF derivation was executed as defined in TS 31.102 [3] or TS 31.103 [17]

- the NAF_ID associated to the calling applet is included in EF$_{GBANL}$

If all conditions are satisfied the cryptographics objects are then initialized and returned, otherwise the framework shall raise an exception with associated reason defined in *GBAUException* or *javacard.security.CryptoException* classes.

10.1 Access control

On the initialization of cryptographics objects (i.e. *init()* method from *GBAUCipher*, *GBAUSignature* classes) the framework shall check:

- the *adfAID*, *adfAIDOff* and *adfAIDLen* parameters refer to an existing USIM or an existing ISIM

- the EF$_{AC\_GBAUAPI}$ as defined in TS 31.102 [3] or TS 31.103 [17] is present in the corresponding ADF

- at least one record of the EF$_{AC\_GBAUAPI}$ matches with:

- the caller applet's AID of the AID value and

- the *nafID*, *nafIDOff* and *nafIDLen* parameters of the NAF_ID value

If all conditions are satisfied the cryptographics objects are then initialized and returned, otherwise the framework shall raise a *GBAUException* exception with reason code GBA_U_UNALLOWED_ACCESS.

Annex A (normative):
Java Card™ (U)SIM API

The attached files "31130_Annex_A_Java.zip", and "31130_Annex_A_HTML.zip" contains source files and html documentation for the Java Card™ (U)SIM API.

Annex B (normative):
Java Card™ (U)SIM API identifiers

The attached file "31130_Annex_B_Export_files.zip" contains the export files for the uicc.usim.* package.

NOTE: Since version 18.1.0 the export files are delivered in export format version 2.3.

Annex C (normative):
(U)SIM API package version management

The following table describes the relationship between each TS 31.130 specification version and its packages AID and Major, Minor versions defined in the export files.

uicc.usim.access package		
TS 31.130	**Major, Minor**	**AID**
	1.0	A000000087 1005 FFFF FFFF 89 13 100000
7.7.1	1.1	
8.3.0	1.2	
9.1.0	1.3	
12.0.0	1.4	
17.0.0	1.5	
17.1.0	1.6	
17.3.0	1.7	
17.4.0	1.7	
18.1.0	1.8	

uicc.usim.toolkit package		
TS 31.130	**Major, Minor**	**AID**
	1.0	A000000087 1005 FFFF FFFF 89 13 200000
7.1.0	1.1	
7.2.1	1.2	
7.7.1	1.3	
7.9.0	1.4	
8.3.0	1.5	
9.1.0	1.6	
9.4.0	1.7	
10.4.0	1.8	
14.2.0	1.9	
17.0.0	1.10	
17.1.0	1.11	
17.4.0	1.12	
18.1.0	1.13	

uicc.usim. geolocation package		
TS 31.130	**Major, Minor**	**AID**
	1.0	A000000087 1005 FFFF FFFF 89 13 300000
13.1.0	2.0	

uicc.usim.suci package		
TS 31.130	**Major, Minor**	**AID**
15.1.0	1.0	A000000087 1005 FFFF FFFF 89 13 400000

uicc.usim.gba_u package		
TS 31.130	**Major, Minor**	**AID**
18.1.0	1.0	A000000087 1005 FFFF FFFF 89 13 500000

The package AID coding is defined in ETSI TS 101 220 [1]. The (U)SIM API packages' AID are not modified by changes to Major or Minor Version.

The Major Version shall be incremented if a change to the specification introduces byte code incompatibility with the previous version.

The Minor Version shall be incremented if a change to the specification does not introduce byte code incompatibility with the previous version.

For a table describing the versioning of a package, a line is introduced only upon changes of Major or Minor version of its package.

The package *uicc.usim.access* contains only constants, therefore it may not be loaded on the UICC.

Annex D (normative):
USIM API jar files

The attached files "31130_Annex_D.jar", contains class files for the Java Card™ (U)SIM API.

Annex E (informative):
Change History

Change history							
Date	**Meeting**	**TDoc**	**CR**	**Rev**	**Cat**	**Subject/Comment**	**New version**
	TP-27					Generation of Version 7.0.0 based on version 6.2.0	7.0.0
	TP-27	TP-050023	009			Allow passing of specified status words through the (U)SAT Framework	7.0.0
	CT-28	CP-050139	011			Allign paragraph numbering between TS 31.130 and ETSI TS 102 241	7.1.0
	CT-28	CP-050139	013			Delete version and author info from the Java source code	7.1.0
	CT-28	CP-050141	014			Addition of new events EVENT_FORMATTED_USSD and EVENT_UNFORMATTED_USSD	7.1.0
	CT-29	CP-050340	016			Adding missing constant values	7.2.0
						2005-11: Adds missing attachment files and adds line to table in annex C.	7.2.1
	CT-33	CP-060391	019	1		Correction of misnamed constant	7.3.0
			020	1		Addition of missing event download I-WLAN access status	
	CT-34	CP-060546	0022	2		Clarification on getShortMessageLength() method when applied on a SMS Cell Broadcast.	7.4.0
		CP-050548	0024	1		Correction of the USATEnvelopeHandlerSystem method prototype	
	CT-35	CP-070068	0027	1		Correction of Annex A JAVA.zip, package uicc.usim.toolkit	7.5.0
			0028	2		Update the reference to Java Card 2.2.2	
	CT-36	CP-070302	0029	-		Correction of the reference to ETSI TS 102 241	7.6.0
		CP-070298	0029	-		Correction of references to ETSI TS 102 223 and ETSI TS 102 221	
	CT-38	CP-070844	0032	1		Introduction of new constant values for files in the USIM application	7.7.0
						Annex A and B attachments provided (2008-08)	7.7.1
	CT-42	CP-080908	0034	2		Introduction of a geographical location discovery Java Card™ API	8.0.0
	CT-43	CP-090196	0035	1		Introduction of missing constant values for USIM files	8.1.0
	CT-45	CP-090719	0039	2		Alignment of constants with 31.111	8.2.0
	CT-46	CP-090788	0040	1		References update	8.3.0
	CT-46	CP-091013	0042	1		Support of missing event EVENT_EVENT_DOWNLOAD_NETWORK_REJECTION	8.3.0
	CT-46	CP-091013	0045	1		Support of missing constants in USAT Terminal Profile	8.3.0
	CT-46	-	-	-		Upgrade of the specification to Rel-9	9.0.0
	CT-47	CP-100185	0047	1		Addition of missing constant values	9.1.0
	CT-47	CP-100198	0048	2		Supporting operator controlled CSG list for H(e)NB	9.1.0
	CT-47	CP-100198	0049	2		Support of CSG cell discovery and CSG selection event	9.1.0
	--------					Spec reissued as v9.1.1 due to a bad version number on the cover sheet	9.1.1
	CT-50	CP-100836	0046	1		Update reference to "Java Card 3.0.1 Classic" reference	9.2.0
	SP-51	-	-	-		Upgrade of the specification to Rel-10	10.0.0
	CT-52	CP-110507	0050	1		Addition of events and reservation of constant values for Java API	10.1.0
	CT-54	CP-110905	0053	-		Correction to TAG_CSG_SELECTION_STATUS	10.2.0
	CT-54	CP-110905	0054	-		Correction to constant value in TerminalProfile.java	10.2.0
	CT-55	CP-120154	0059			Correction to TAG_CSG_SELECTION_STATUS	10.3.0
	CT-55	CP-120154	0060			Correction to constant value in TerminalProfile.java	10.3.0
	CT-55	CP-120154	0058	1		Update the reference to ETSI TS 102 241	10.3.0
	--------					Editorial version correcting the three lines above	10.3.1
	CT-56	CP-120393	0061	1		Correct implementation of CR 0059 for TAG_CSG_SELECTION_STATUS_N	10.4.0
	CT-56	CP-120392	0062	1		Adding a constant value in USATTerminalProfile.java for the indication of IMS support	10.4.0
	CT-56	CP-120393	0063	1		Adding constant values in USIMConstants.java for missing file identifiers	10.4.0
	SP-57					Automatic upgrade to Rel-11	11.0.0
	SP-65					Automatic upgrade to Rel-12	12.0.0
	CT-70	CP-150827	0071			Missing rule for SMS_PP envelope response handling	13.0.0
	CT-73	CP-160550	0072	5		Geo Location API corrections Note 1: known problem within the change request, to be fixed at CT-74 Note 2: in the CR, the body of the CR and the attached annexes are not identical. The body of the CR contains the correct text and is implemented.	13.1.0
	CT-74	CP-160788	0073	1		Geo Location API format alignment	13.2.0
	CT-75	CP-170166	0075			Geolocalization API document alignment	13.3.0
	SA-75					Automatic upgrade to Rel-14	14.0.0
	CT-78	CP-173150	0077	-		Update of reference to ETSI TS 102 241	14.1.0
	CT-78	CP-173150	0078	-		Editorial change of Java Card reference	14.1.0
	CT-78	CP-173143	0076	3		Corrections in Annex C	15.0.0
						Added missing attachments	15.0.1
2019-03	CT#83	TP-050023	0081	1	F	SUCI Package	15.1.0
2019-09	CT#85	CP-192013	0085	1	F	Add support for ENVELOPE (EVENT DOWNLOAD - Data Connection Status Change)	15.2.0

2019-09	CT#85	CP-192014	0083	1	F	Update of reference to ETSI TS 102 241	15.2.0
2019-09	CT#85	CP-192014	0084	-	F	Clarification for SUCI API	15.2.0
2020-01						5G logo updated in a cover page as agreed in CT#86	15.2.1
2020-02						Attachments updated	15.2.2
2020-06	CT#88e	CP-201148	0086	3	F	Update the scope of 31.130 to cover 4/5G aspects	15.3.0
2020-07	-	-	-	-	-	Update to Rel-16 version (MCC)	16.0.0
2022-03	CT#95e	CP-220135	0089	1	B	Aligment with TS 31.111 and TS 31.102 (Rel-17)	17.0.0
2022-12	CT#98e	CP-223084	0091	2	F	Toolkit Event CAG Selection support (EVENT_EVENT_DOWNLOAD_CAG_CELL_SELECTION)	17.1.0
2023-03	CT#99	CP-230107	0093	-	F	3GPP reserved events allocation	17.2.0
2023-06	CT#100	CP-231102	0094	1	F	Aligment with TS 31.102 - EFNID addition	17.3.0
2024-03	CT#103	CP-240139	0100	-	F	TS 31.130 17.3.0 pack delivery and tag terminal profile index correction	17.4.0
2024-03	CT#103					MCC update to Rel-18	18.0.0
2024-06	CT#104	Cp-241215	0102	2	B	Aligments with TS 31.111 and TS 31.102 and new GBA_U API introduction	18.1.0

History

Document history		
V18.0.0	May 2024	Publication
V18.1.0	July 2024	Publication