# ETSI TS 132 274 V16.2.0 (2021-01)



Digital cellular telecommunications system (Phase 2+) (GSM); Universal Mobile Telecommunications System (UMTS); LTE; Telecommunication management; Charging management; Short Message Service (SMS) charging (3GPP TS 32.274 version 16.2.0 Release 16)



Reference

RTS/TSGS-0532274vg20

Keywords GSM,LTE,UMTS

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# Contents

Intelle	ectual Property Rights	2
Legal	Notice	2
Moda	l verbs terminology	2
Forew	/ord	6
1	Scope	7
2	References	8
	Definitions and abbreviations	
3.1	Definitions	
3.2 3.3	Symbols Abbreviations	
4	Architecture considerations	10
4.1	High level SMS architecture	
4.2	SMS offline charging architecture	
4.3	SMS online charging architecture	
4.4	SMS converged charging architecture	
5	SMS charging principles and scenarios	12
5.1	SMS charging principles	
5.1.1	General principles	
5.1.2	Segmentation and concatenation	
5.1.3	Triggers for generation of charging information	
5.1.4	SMS via T4	
5.2	SMS offline charging scenarios	14
5.2.1	Basic principles	14
5.2.2	Rf message flows	15
5.2.2.0		
5.2.2.1		
5.2.2.2		
5.2.2.3	· · · · · ·	
5.2.2.4		
5.2.2.4	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	
5.2.2.4		
5.2.2.4 5.2.2.4		
5.2.2.4		
5.2.2.4		
5.2.2.6		
5.2.2.6		
5.2.2.6		
5.2.2.6		
5.2.2.6	5.3.1 MSISDN-less SMS MO via T4 - failure at submission to SMS-SC	23
5.2.2.6		
5.2.3	CDR generation	
5.2.3.0	66 6	
5.2.3.1	66 6 6	
5.2.3.2		
5.2.3.3	66	
5.2.4	Ga record transfer flows	
5.2.5	B <sub>sm</sub> CDR file transfer	
5.3	SMS online charging scenarios	
5.3.1 5.3.2	Basic principles R <sub>o</sub> message flows	
5.3.2 5.3.2.1		
5.3.2.1	*	
2.2.2.2		······ <i>2</i> )

5.3.2.3	Delivery report	
5.3.2.4	Origination retry	
5.3.2.5	Termination charge	
5.3.2.6	Termination charge retry	
5.3.2.7	Unsuccessful transaction	
5.3.2.8	IMS/SMS Interworking Messages Charging	
5.3.2.9 5.3.2.10	Simple Submission with SM service request Void	
5.3.2.10	Device Triggering using T4	
5.3.2.11	SMS submission to SMS-SC for Device Triggering	
5.3.2.11.1	SMS Delivery from SMS-SC for Device Triggering	
5.3.2.11.2	Unsuccessful SMS Delivery from SMS-SC for Device Triggering	
5.3.2.11.3	SMS submission to SMS-SC for Device Triggering - Replace procedure	
5.3.2.11.5	SMS submission to SMS-SC for Device Triggering - Recall procedure	
5.3.2.12	MSISDN-less SMS MO via T4	
5.3.2.12.1	Introduction	
5.3.2.12.2	MSISDN-less SMS MO via T4 - successful case	
5.3.2.12.3	MSISDN-less SMS MO via T4 - error cases	
5.3.2.12.3.1	MSISDN-less SMS MO via T4 - failure at submission to SMS-SC	
5.3.2.12.3.2	MSISDN-less SMS MO via T4 - failure at the MTC-IWF	
5.3.3	Credit-Control related	
5.3.3.1	Triggers for stopping for an SMS Credit-Control session	
5.3.3.2	Triggers for providing interim information for a SMS Credit-Control session	
	IS converged online and offline charging scenarios	
5.4.1	Basic principles	
5.4.1.1	General	
5.4.1.2	Applicable Triggers in the SMSF	
5.4.1.2.1	General	
5.4.1.3	CHF selection	
5.4.2	Message flows	
5.4.2.1	Introduction	
5.4.2.2	SMS Submission - IEC	
5.4.2.3	SMS Delivery - IEC	
5.4.2.4	SMS Derivery The management of the second se	
5.4.2.4a	SMS Delivery - ECUR	
5.4.2.5	SMS Submission - PEC	
5.4.2.6	SMS Delivery - PEC	
5.4.3	CDR generation	
5.4.3.1	Introduction	
5.4.3.2	Triggers for CHF CDR.	
5.4.3.2.1	General	
5.4.3.2.2	Triggers for CHF CDR generation	
5.4.3.2.3	Triggers for CHF CDR opening	
5.4.3.2.4	Triggers for CHF CDR closure	
5.4.4	Ga record transfer flows	
5.4.5	B <sub>sm</sub> CDR file transfer	
	ition of charging information	
	ta description for SMS offline charging	
6.1.1	R <sub>f</sub> message contents	
6.1.1.1	Summary of offline charging message formats	
6.1.1.2	Structure for the offline charging message formats	
6.1.1.2.1	Charging Data Request message	
6.1.1.2.2	Charging Data Response message	
6.1.2	G <sub>a</sub> message contents	
6.1.3	CDR description on the B <sub>sm</sub> interface	
6.1.3.1	CDR field types	
6.1.3.2	CDR triggers	
6.1.3.3	SC-SMO CDR content	
6.1.3.4	SC-SMT CDR content	
6.1.3.5	SC-DVT-T4 CDR content	
6.1.3.6	SC-SMO-T4 CDR content	73

6.1.3.7		R content	
6.1.3.8		R content	
6.2		MS online charging	
6.2.1	e	ts	
6.2.1.0			
6.2.1.1		essage formats	
6.2.1.2	Structure for the	e Credit-Control message formats	77
6.2.1.2.1		rve Units Request message	
6.2.1.2.2		erve Units Response message	
6.2a		MS converged charging	
6.2a.1	Ũ		
6.2a.1.1			
6.2a.1.2		e converged charging message formats	
6.2a.1.2.1		ata Request message	
6.2a.1.2.2		ata Response message	
6.2a.2		ıts	
6.2a.3		n the B <sub>sm</sub> interface	
6.2a.3.1			
6.2a.3.2		CHF CDR data	
6.3		c parameters	
6.3.1		MS charging information	
6.3.1.1		information assignment for Service Information	
6.3.1.2		ne SMS Information	
6.3.1A		format for offline charging	
6.3.2		description	
6.3.2.1		information for CDRs	
6.3.2.2		information for charging events	
6.3.3		format for online charging	
6.4		rging	
6.5		converged charging information	
6.5.1			
6.5.2		charging information	
6.5.3		format for converged charging	
6.5.4		erged charging parameter description	
6.5.4.1		CHF CDR parameters	
6.5.4.2		resources attributes	
6.6	Bindings for SMS con	verged charging	96
Annex A	(informative):	Bibliography	97
Annex B	(informative):	Change history	98
History			

# Foreword

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# 1 Scope

The present document is part of a series of Technical Specifications (TSs) that specify charging functionality and charging management in 3GPP networks. The 3GPP core network charging architecture and principles are specified in TS 32.240 [2], which provides an umbrella for other charging management TSs that specify:

- the content of the CDRs per domain / subsystem / service (offline charging);
- the content of real-time charging messages per domain / subsystem / service (online charging);
- the functionality of online and offline charging for those domains / subsystems / services;
- the interfaces that are used in the charging framework to transfer the charging information (i.e. CDRs or charging events).

The complete document structure for these TSs is defined in TS 32.240 [2].

The present document specifies the Offline and Online Charging description for the Short Message Service (SMS), based on the functional description in TS 23.040 [7], TS 23.204 [8] for SMS over IP, and TS 23.682 [17] for SMS procedures using T4. The present document does not replace existing offline SMS charging functionality defined for Circuit Switched in TS 32.250 [9] and for Packet Switched in TS 32.251 [10], and therefore is in addition to those specifications. This charging description includes the offline and online charging architecture and scenarios specific to SMS, as well as the mapping of the common 3GPP charging architecture specified in TS 32.240 [2] onto SMS. It further specifies the structure and content of the CDRs for offline charging, and the charging events for online charging. The present document is related to other 3GPP charging TSs as follows:

- The common 3GPP charging architecture is specified in TS 32.240 [2];
- The parameters, abstract syntax and encoding rules for the CDRs are specified in TS 32.298 [3];
- A transaction based mechanism for the transfer of CDRs within the network is specified in TS 32.295 [6];
- The file based mechanism used to transfer the CDRs from the network to the operator's billing domain (e.g. the billing system or a mediation device) is specified in TS 32.297 [5];
- The 3GPP Diameter application that is used for SMS offline and online charging is specified in TS 32.299 [4].
- The services, operations and procedures of charging, using Service Based Interface are specified in TS 32.290 [19].
- The charging service of 5G system is specified in TS 32.291 [20].

Furthermore, requirements that govern the charging work are specified in TS 22.115 [102].

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 32.240: "Telecommunication management; Charging management; Charging architecture and principles".
- [3] 3GPP TS 32.298: "Telecommunication management; Charging management; Charging Data Record (CDR) parameter description".
- [4] 3GPP TS 32.299: "Telecommunication management; Charging management; Diameter charging application".
- [5] 3GPP TS 32.297: "Telecommunication management; Charging management; Charging Data Record (CDR) file format and transfer".
- [6] 3GPP TS 32.295: "Telecommunication management; Charging management; Charging Data Record (CDR) transfer".
- [7] 3GPP TS 23.040: "Technical realization of Short Message Service (SMS)".
- [8] 3GPP TS 23.204: "Support of Short Message Service (SMS) over generic 3GPP Internet Protocol (IP) access; Stage 2".
- [9] 3GPP TS 32.250: "Telecommunication management; Charging management; Circuit Switched (CS) domain charging".
- [10] 3GPP TS 32.251: "Telecommunication management; Charging management; Packet Switched (PS) domain charging".
- [11] 3GPP TS 32.296: "Telecommunication management; Charging management; Online Charging System (OCS) applications and interfaces".
- [12] IETF RFC 4006: "Diameter Credit-Control Application".
- [13] 3GPP TS 32.270: "Telecommunication management; Charging management; Multimedia Messaging Service (MMS) charging".
- [14] 3GPP TS 23.038: "Alphabets and language-specific information".
- [15] 3GPP TS 32.260: "Telecommunication management; Charging management; IP Multimedia Services (IMS) charging".
- [16] 3GPP TS 22.142: "Value Added Services (VAS) for Short Message Service (SMS) requirements".
- [17] 3GPP TS 23.682: "Architecture enhancements to facilitate communications with packet data networks and applications".
- [18] 3GPP TS 29.337: "Diameter-based T4 interface for communications with packet data networks and applications".
- [19] 3GPP TS 32.290: "Telecommunication management; Charging management; 5G system; Services, operations and procedures of charging using Service Based Interface (SBI)".

[20] 3GPP TS 32.291: "Telecommunication management; Charging management 5G system; Charging service, stage 3".
 [21]-[99] Void.

[100]-[199] Void.

- [200] 3GPP TS 29.338: "Diameter based protocols to support Short Message Service (SMS) capable Mobile Management Entities (MMEs)".
- [201] 3GPP TS 23.501:"System Architecture for the 5G System".
- [202] 3GPP TS 23.502:"Procedures for the 5G System".
- [203] 3GPP TS 29.061: "Interworking between the Public Land Mobile Network (PLMN) supporting packet based services and Packet Data Networks (PDN)".

# 3 Definitions and abbreviations

# 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1], TS 32.240 [2] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1] or TS 32.240 [2].

**SMS node**: An SMS node, in the present document, refers to either an SMS router, IP-SM-GW, SMS-SC, SMSF or a combination of these nodes.

# 3.2 Symbols

For the purposes of the present document, the following symbols apply:

Bsm	Reference point for the CDR file transfer from SMS CGF to the BD,
Ga	Reference point for CDR transfer between a CDF and the CGF.
Rf	Offline charging reference point between a 3G network element and the CDF.
Ro	Online charging reference point between a 3G network element and the OCS.T4 Reference point
	used between MTC-IWF and the SMS-SC in the HPLMN.

# 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], TS 32.240 [2] and the following apply:

5GS	5G System
ECUR	Event Charging with Unit Reservation
IEC	Immediate Event Charging
PEC	Post Event Charging
NCGI	NR Cell Global Identity

# 4 Architecture considerations

# 4.1 High level SMS architecture

The high level SMS architecture is as defined in TS 23.040 [7].

Only the SMS Router, SMS-SC, IP-SM-GW and the SMSF are within the scope of the present document. The details for the other nodes in the SMS architecture are defined in TS 32.250 [9] and TS 32.251 [10].

# 4.2 SMS offline charging architecture

The architecture for SMS offline charging is described in figure 4.2.1

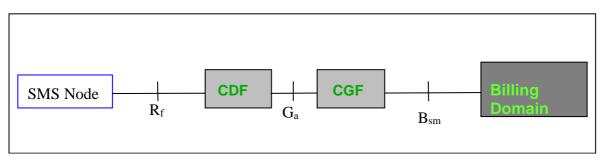


Figure 4.2.1: SMS offline charging architecture

The SMS Nodes for which this architecture applies are the SMS-SC and IP-SM-GW.

Details on the interfaces and functions can be found in TS 32.240 [2] for the general architecture components. The Rf interface is described in clause 6.1.1, Ga in clause 6.1.2, and Bsm in clause 6.1.3 of this document.

# 4.3 SMS online charging architecture

For online charging, the relevant SMS nodes utilise the Ro interface and application towards the OCS as specified in TS 32.299 [4]. The Ro reference point covers all online charging functionality required for SMS.

The SMS online charging architecture is depicted in figure 4.3.1

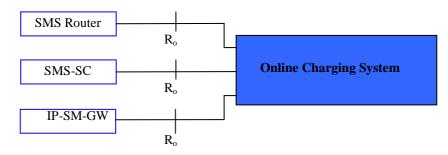
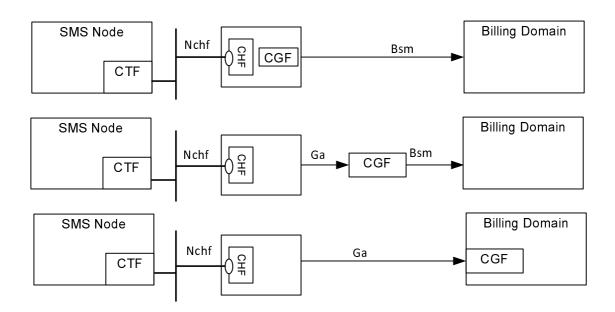


Figure 4.3.1: SMS online charging architecture

Details on the interfaces and functions can be found in TS 32.240 [2] for the general architecture components, TS 32.296 [11] for the OCS, and TS 32.299 [4] for the Ro application.

# 4.4 SMS converged charging architecture

The architectural options for SMS converged charging are depicted in figure 4.4.1



#### Figure 4.4.1: SMS converged charging architecture

The SMS Node for which this architecture applies is the SMSF.

The general architecture components can be found in TS 32.240 [2].

Ga is described in clause 5.2.4 and Bsm in clause 5.2.5 of this document, and Nchf is described in TS 32.290 [19].

# 5 SMS charging principles and scenarios

# 5.1 SMS charging principles

### 5.1.1 General principles

The Short Message Service (SMS) comprises 5 main operational scenarios:

- Person to Person: The message is sent by a UE as originator and received by a UE as destination.
- **Person to Application:** The message is sent by a UE as originator and received by a third party application as destination.
- **Application to Person:** The message is sent by a third party application as originator and received by a UE as destination.
- **Application to Application:** The message is sent by a third party application as originator and received by another third party application as destination.
- **Device Triggering**: the message is sent on behalf of an application as originator and received by a device as destination.
- **MSISDN-less UE to Application via T4**: the message is sent by a MSISDN-less UE as originator and received by a third party application as destination (e.g. SCS/AS) in MSISDN-less MO-SMS via T4 scenario.

In addition SMS nodes may apply services such as Value Added Services (VAS) specified in TS 22.142 [16], services defined in industry standard protocols for SM submission from applications in a fixed network (protocols such as SMPP, UCP/EMI, OIS, CIMD, etc.) or vendor specific services as endorsed by TS 23.040 [7]. As such, the SMS node collects charging information such as:

- the destination and source addresses applied for an SM;
- an indication of origination or termination handling;
- identification of the node(s) and connection(s) involved in the SM transaction;
- SM validity period;
- in scenarios involving an application / VASP, the charging information describes the identification of the application / VASP;
- requested SM service type.

### 5.1.2 Segmentation and concatenation

Information about concatenated messages should be sent to the charging systems in order to apply the appropriate charging models. The charging system may be required to be stateful to process information about segmented messages.

# 5.1.3 Triggers for generation of charging information

The following service level events shall, based on operator configuration, trigger the generation of charging information:

- Simple submission, except for device triggering based on reception at the SMS node.
- Enhanced submission based on completion of the transaction handling at the SMS node.
- Origination retry based on the enhanced submission where the initial handling fails and a redelivery attempt is initiated.
- Delivery, except for device triggering based on delivery from the SMS node.

- Delivery report reports based on the delivery to Person.
- Termination Application to Person scenario only.
- Termination retry Application to Person scenario only reattempt delivery of an SM to a terminating entity;
- SM Service request.
- SMS via T4 related events specified in clause 5.1.4.

Depending on the charging model applied, a "refund" may be necessary for unsuccessful delivery in online charging.

See clause 5.2 and 5.3 for detailed procedures associated with the triggers above for offline charging and online charging respectively.

### 5.1.4 SMS via T4

For the following TS 23.682 [17] procedures using T4 interface and relying on SMS capability, online and offline charging functionalities are based on SMS-SC reporting chargeable events associated with the corresponding functionalities:

- Device Triggering Function;
- MSISDN-less MO-SMS via T4.

For Device Triggering functionality, following chargeable events are considered:

- Device Trigger submitted to the SMS-SC from MTC-IWF, for request, replace and recall;
- SMS Delivery from the SMS-SC for Device Triggering towards the UE based on delivery from the SMS node;
- SMS Delivery from the SMS-SC for Device Triggering towards the UE based on completion of the transaction handling at the SMS node;

The protocol description for Device Triggering functionality is detailed in TS 29.337 [18].

For MSISDN-less MO-SMS via T4 functionality, allowing MSISDN-less UE to send small data to an SCS/AS (i.e. destination SME) using SMS-MO, the completion of the transaction at the SMS-SC is considered, since SMS-SC store and forward capability for MO-SMS is not used. Instead, the SMS-MO received by the SMS-SC through MO submission TS 23.040 [12]) procedures, is directly forwarded to the MTC-IWF through appropriate protocol for further transfer to the recipient SCS/AS. Also, the SMS-MO delivery answer status from MTC-IWF received by the SMS-SC is directly conveyed back to the UE.

For MSISDN-less MO-SMS via T4 functionality, the chargeable event considered is:

- MO-SMS via T4 submission - based on Delivery response from the MTC-IWF.

The protocol description for MSISDN-less MO-SMS via T4 functionality is detailed in TS 29.338 [200].

# 5.2 SMS offline charging scenarios

# 5.2.1 Basic principles

SMS offline charging functionality is based on SMS Nodes reporting chargeable events associated with SM transactions.

The SMS offline charging applies to the SMS-SC and IP-SM-GW.

SMS offline charging uses the Diameter Offline Charging as specified in TS 32.299 [4]. Event based charging applies, with reporting achieved by sending *Charging Data Request* [Event] to the CDF.

SMS transactions are collected independently by the SMS Node , or on completion handling at SMS Node (enhanced submission) .

### 5.2.2 Rf message flows

#### 5.2.2.0 Introduction

The different scenarios below focus on the different message exchanges from/to the SMS Node and the corresponding message flows between the SMS Node and the CDF.

The sequence of messages exchanged between the SMS Node and the other nodes are described with generic names (i.e SMS submit, SMS deliver), to reflect SMS reception or sending by/from the SMS Node, independently from the protocol conveying the SMS.

Each message flow is applicable to different Network scenario, which are referred-to by relevant TSs.

The SMS Nodes for which these message flows apply are the SMS-SC and IP-SM-GW.

#### 5.2.2.1 SMS Submission

Figure 5.2.2.1.1 describes the scenario where UE or a third party application originates SMS-MO destined to a recipient UE:

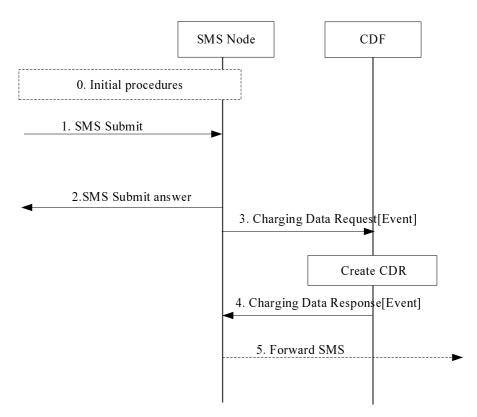


Figure 5.2.2.1.1: Offline charging - SMS submission to SMS Node

- 0) Initial procedures: see applicable Network scenario.
- 1) The SMS Node receives a "SMS Submit" incoming message originated by a UE or a third party application.
- 2) The SMS Node returns "SMS Submit Answer" with appropriate result associated to the reception of the SM: successfully received by SMS-SC or failed due to error at SMS Node.
- 3) The SMS Node triggers a *Charging Data Charging Data Request* with *Operation Type* indicating EVENT\_RECORD to record successful or unsuccessful reception of the SM, with originator identified as UE or as a third party application, depending on the scenario.

- NOTE: In the scenario where a third party application is originator, sending application identification to the CDF allows to apply accurate charging model of Termination scenario, i.e. recipient UE to be charged for the delivered SM, instead of originator or both parties.
- 4) The CDF creates a SMO CDR and acknowledges the reception of the data.
- 5) Forward SMS per applicable Network scenario.

The table 5.2.2.1.1 describes the correspondence between the message in this scenario, and the message in the different Network scenario for which it is applicable.

Message	Message in Network scenario	Reference
1. SMS submit	10a. Message Transfer	TS 23.040[7]
		Figure 18a): Successful short
		message transfer attempt
	3. Message	TS 23.204[8]
		Figure 6.3: Successful encapsulated
		Short Message origination procedure
	3. Delivery report	TS 23.204[8]
		Figure 6.5: Delivery report procedure
2. SMS submit answer	10b. Delivery report	TS 23.040[7]
		Figure 18a): Successful short
		message transfer attempt
	4. Accepted	TS 23.204[8]
		Figure 6.3: Successful encapsulated
		Short Message origination procedure
	4. Accepted	TS 23.204[8]
		Figure 6.5: Delivery report procedure
5. Forward SMS	Not applicable	TS 23.040[7]
		Figure 18a): Successful short
		message transfer attempt
	6. Forward short message	TS 23.204[8]
		Figure 6.3: Successful encapsulated
		Short Message origination procedure
	6. Delivery report	TS 23.204[8]
		Figure 6.5: Delivery report procedure

Table 5.2.2.1.1: Messages mapping

#### 5.2.2.2 SMS Delivery

Figure 5.2.2.2.1 describes the scenario where SMS Node originates SM transfer towards the receiving party.

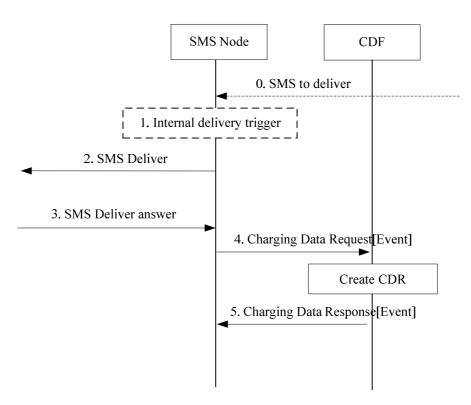


Figure 5.2.2.2.1: Offline charging SMS Transfer from SMS Node

- 0) "SMS to deliver" optionally received by SMS Node in order to deliver a MT SMS towards the UE: see applicable Network scenario.
- 1) The SMS Node decides to forward "SMS Deliver" message towards the receiving party, as a first attempt (based on step 0) or due to internal trigger for a retry delivery of a previously failed and stored SM.
- 2) The SMS Node forwards the "SMS Deliver" message towards the receiving party.
- 3) The SMS Node receives "SMS Deliver Answer" message as the delivery success or failure of the SM transfer attempt.
- 4) The SMS Node triggers a Charging Data Request[Event] to record successful or unsuccessful result of SM delivery.
- 5) The CDF creates a SMT CDR and acknowledges the reception of the data.

The table 5.2.2.2.1 describes the correspondence between the message in this scenario, and the message in the different Network scenario for which it is applicable.

Message	Message in Network scenario	Reference
1. SMS to deliver	Not applicable	TS 23.040[7]
		Figure 15a): Successful short
		message transfer attempt via the MSC
		or the SGSN
	<ol> <li>Forward short message</li> </ol>	TS 23.204 [8]
		Figure 6.4: Successful encapsulated
		Short Message termination procedure
	9. Submit report	TS 23.204[8]
		Figure 6.3: Successful encapsulated
		Short Message origination procedure
3. SMS deliver	1a. Message transfer	TS 23.040[7]
		Figure 15a): Successful short
		message transfer attempt via the MSC
		or the SGSN
	6. Message	TS 23.204[8]
		Figure 6.4: Successful encapsulated
		Short Message termination procedure
	10. Submit report	TS 23.204[8]
		Figure 6.3: Successful encapsulated
		Short Message origination procedure
4. SMS deliver answer	Not shown	TS 23.040[7]
		Figure 15a): Successful short
		message transfer attempt via the MSC
		or the SGSN
	9. OK	TS 23.204 [8]
		Figure 6.4: Successful encapsulated
		Short Message termination procedure
	13. OK	TS 23.204[8]
		Figure 6.3: Successful encapsulated
		Short Message origination procedure

#### Table 5.2.2.2.1: Messages mapping

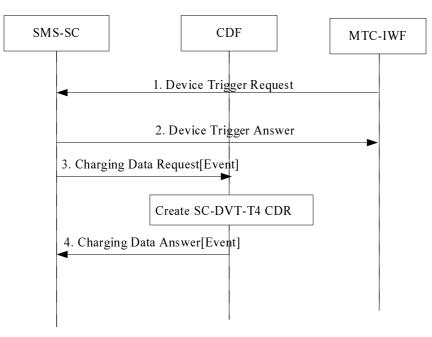
### 5.2.2.3 Delivery Report

Delivery Report or Status Report (SC informing the originating UE of the delivery outcome of a previously submitted short message) issued by the SMS Node uses the same procedures as the "SMS Delivery from the SMS Node" described within clause 5.2.2.2, as it is contained within a new SM.

### 5.2.2.4 Device Triggering using T4

#### 5.2.2.4.1 SMS submission to SMS-SC for Device Triggering

Figure 5.2.2.4.1.1 describes the scenario where the MTC-IWF submits a request to SMS-SC for SM transfer towards the UE for Device Triggering purpose.



#### Figure 5.2.2.4.1.1: Offline charging - SMS submission to SMS-SC for Device Triggering

- 1) The SMS-SC receives an incoming "Device Trigger Request" from an MTC-IWF over T<sub>4</sub>, destined to a UE recipient.
- 2) The SMS-SC returns "Device Trigger Answer" with appropriate result associated to the reception of the trigger request: successfully received by SMS-SC or failed due to error at SMS-SC.
- 3) The SMS-SC triggers a *Charging Data Request* with *Operation Type* indicating EVENT\_RECORD to record successful or unsuccessful reception of the SM from the MTC-IWF, with originator identified as SCS Identity.
- 4) The CDF creates a SC- DVT-T4 CDR and acknowledges the reception of the data.

### 5.2.2.4.2 SMS Delivery from SMS-SC for Device Triggering

Figure 5.2.2.4.2.1 describes the scenario where SMS-SC originates the SMS Device Triggering transfer towards the UE.

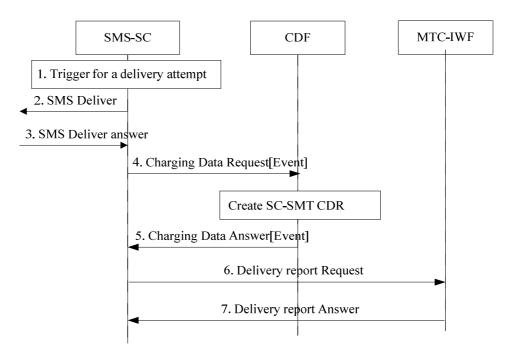


Figure 5.2.2.4.2.1: Offline charging - SMS delivery for Device Triggering

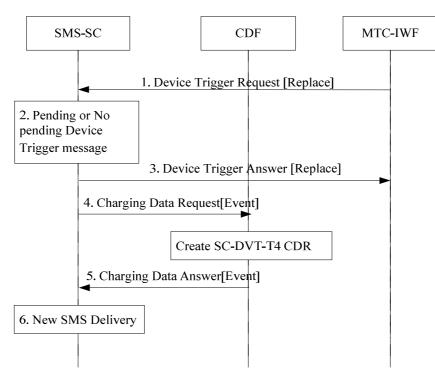
- The SMS-SC decides to forward "SMS Deliver" message towards the receiving party, as a first attempt upon device trigger request received from MTC-IWF, or due to internal trigger for a retry delivery of a previously failed and stored SM for Device Triggering, or internal trigger for a first attempt of a previously stored SM.
- 2) The SMS-SC forwards the "SMS Deliver" message towards the receiving party.
- 3) The SMS-SC receives "SMS Deliver Answer" message as the delivery success or failure of the SM transfer attempt.
- 4) The SMS-SC triggers a Charging Data Request[Event] to record successful or unsuccessful result of SM delivery, including a value for "Device Triggering indication".
- 5) The CDF creates a SC-SMT CDR and acknowledges the reception of the data.
- 6) The SMS-SC sends "Delivery Report Request" to MTC-IWF with appropriate result associated to the successful delivery of the device trigger to the UE.
- 7) The MTC-IWF acknowledges by sending "Delivery Report Answer".

#### 5.2.2.4.3 SMS Device Triggering Delivery Report

The SMS Device Triggering Delivery Report corresponds to the SMS-SC reporting the Delivery Report of Device Trigger to the MTC-IWF in the scenario described in clause 5.2.2.4.2. The Delivery Report itself is not a trigger for a charging event.

#### 5.2.2.4.4 SMS submission to SMS-SC for Device Triggering - Replace procedure

Figure 5.2.2.4.4.1 describes the scenario where the MTC-IWF submits a request to SMS-SC for a replace procedure of Device Triggering:

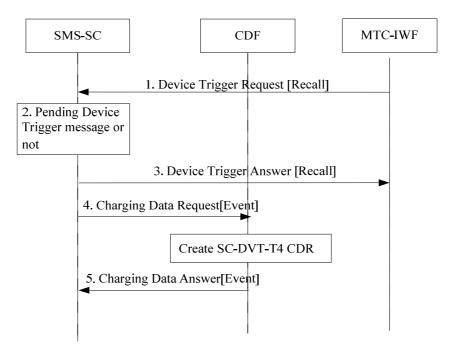


#### Figure 5.2.2.4.4.1: Offline charging - SMS submission to SMS-SC for replace Device Triggering

- 1) The SMS-SC receives an incoming "Device Trigger Request" indicating "Replace" from an MTC-IWF over T<sub>4</sub>.
- 2) If the SMS-SC determines the trigger message identified by the External Identifier or MSISDN, SCS Identifier, and old trigger reference number in the received Device Trigger Replace message, is pending at SMS-SC, the new trigger message replaces the previous one. If no trigger message is pending this corresponds to a failed replace procedure.
- 3) The SMS-SC returns "Device Trigger Answer" with appropriate result of the successful or unsuccessful replace procedure.
- 4) The SMS-SC triggers a Charging Data Request[Event] to record successful or unsuccessful result of the replace procedure.
- 5) The CDF creates a SC-DVT-T4 CDR and acknowledges the reception of the data.
- 6) In case of successful replace, the new SM to be delivered uses the same procedure as per clause 5.2.2.4.2.1.

#### 5.2.2.4.5 SMS submission to SMS-SC for Device Triggering - Recall procedure

Figure 5.2.2.4.5.1 describes the scenario where the MTC-IWF submits a request to SMS-SC for a recall procedure for Device Triggering:



#### Figure 5.2.2.4.5.1: Offline charging - SMS submission to SMS-SC for recall Device Triggering

- 1) The SMS-SC receives an incoming "Device Trigger Request" indicating "Recall" from an MTC-IWF over T<sub>4</sub>.
- 2) The SMS-SC determines the trigger message identified by the External Identifier or MSISDN, SCS Identifier, and old trigger reference number in the received Device Trigger Recall message, is pending at SMS-SC. The stored trigger message is deleted.
- 3) The SMS-SC returns "Device Trigger Answer" with appropriate result of the recall procedure.
- 4) The SMS-SC triggers a Charging Data Request[Event] to record successful or unsuccessful result of the recall procedure.
- 5) The CDF creates a SC-DVT-T4 CDR and acknowledges the reception of the data.

#### 5.2.2.5 Offline charging error cases - Diameter procedures

The Offline Charging error cases in Diameter (Accounting) Related Procedures associated to *Charging Data Request* /*Response*, from SMS node as network element are specified in TS 32.299 [4] clause 6.1.3.

#### 5.2.2.6 MSISDN-less SMS MO via T4

#### 5.2.2.6.1 Introduction

The message flows associated to the MSISDN-less SMS MO via T4, illustrate the triggers occurring in the SMS-SC Node. As specified in TS 23.682 [17], the SMS delivery procedures to SMS-SC and SMS delivery report from SMS-SC are per TS 23.040 [12], therefore involving SMS-GMSC/SMS-IWMSC depending on the scenario. However, per this TS 23.040 [12], the interface between the SMS-GMSC/SMS-IWMSC and the SMS-SC is out of scope of 3GPP, therefore SMS-GMSC/SMS-IWMSC are assumed as internal to SMS-SC for the charging flows with triggers description.

#### 5.2.2.6.2 MSISDN-less SMS MO via T4 - successful case

Figure 5.2.2.6.2.1 describes the scenario where MSISDN-less UE originates SMS-MO destined to a recipient SCS/AS using MSISDN-less SMS MO via T4 procedure:

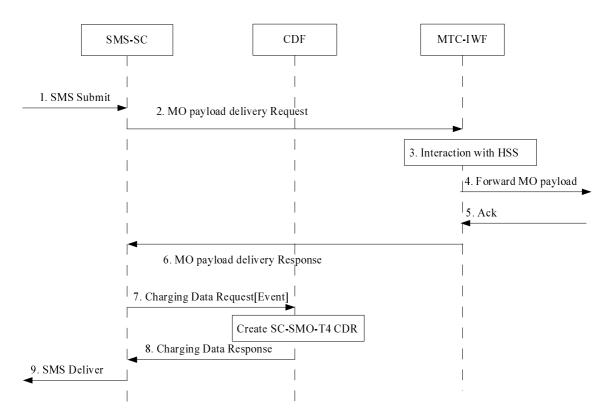


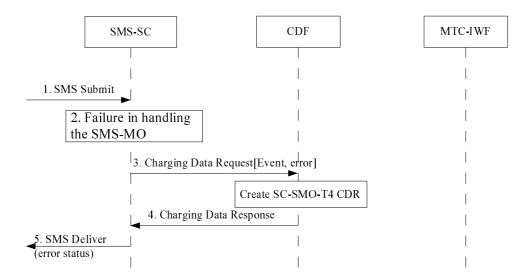
Figure 5.2.2.6.2.1: Offline charging MSISDN-less SMS MO via T4 – successful case

- 1) The SMS-SC receives a "SMS Submit" incoming message originated by a MSISDN-less UE to deliver small data to SCS/AS.
- 2) The SMS-SC sends the "MO payload delivery Request" message to the MTC-IWF address (as pre-configured in the SMS-SC for this SCS/AS), with the SMS payload and the destination SME address (long/short code of the SCS/AS).
- 3) The MTC-IWF retrieves the external ID from the HSS (based on the IMSI of the UE and application port ID).
- 4-5) The MTC-IWF forwards the SMS to the SCS/AS (received destination SME), and receives the successful or failure answer.
- 6) The MTC-IWF returns a success or failure delivery indication to SMS-SC, along with the external identifier associated to this transaction.
- 7) The SMS-SC triggers a *Charging Data Charging Data Request* with *Operation Type* indicating EVENT\_RECORD to record successful or unsuccessful delivery of the SM.
- 8) The CDF creates a SC-SMO-T4 CDR and acknowledges the reception of the data.
- 9) The SMS-SC indicates success/failure back to UE.

#### 5.2.2.6.3 MSISDN-less SMS MO via T4 - error cases

5.2.2.6.3.1 MSISDN-less SMS MO via T4 - failure at submission to SMS-SC

Figure 5.2.2.6.3.1.1 describes the scenario where MSISDN-less UE originates SMS-MO destined to a recipient SCS/AS using MSISDN-less SMS MO via T4 procedure, and failure at submission to SMS-SC:

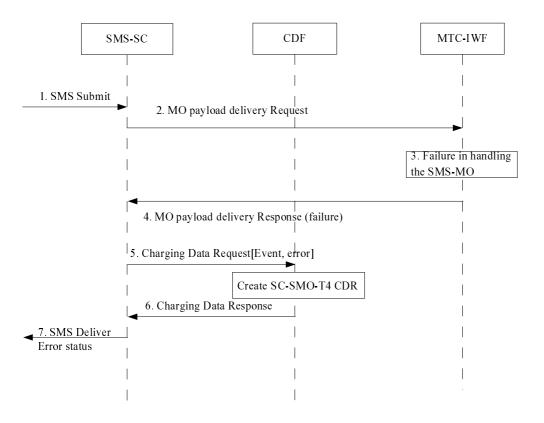


#### Figure 5.2.2.6.3.1.1: Offline charging MSISDN-less SMS MO via T4 – failure at submission

- 1) The SMS-SC receives a "SMS Submit" incoming message originated by a MSISDN-less UE to deliver small data to SCS/AS.
- 2) Failure in handling the submitted SMS-MO in the SMS-SC.
- 3) The SMS-SC triggers a *Charging Data Charging Data Request* with *Operation Type* indicating EVENT\_RECORD to record the unsuccessful delivery of the SM.
- 4) The CDF creates a SC-SMO-T4 CDR and acknowledges the reception of the data.
- 5) The SMS-SC indicates the failure back to UE.

#### 5.2.2.6.3.2 MSISDN-less SMS MO via T4 - failure at the MTC-IWF

Figure 5.2.2.6.3.2.1 describes the scenario where MSISDN-less UE originates SMS-MO destined to a recipient SCS/AS using MSISDN-less SMS MO via T4 procedure, and failure at the MTC-IWF:



#### Figure 5.2.2.6.3.2.1; Offline charging MSISDN-less SMS MO via T4 - failure at the MTC-IWF

- 1) The SMS-SC receives a "SMS Submit" incoming message originated by a MSISDN-less UE to deliver small data to SCS/AS.
- 2) The SMS-SC sends the "MO payload delivery Request" message to the MTC-IWF address (as pre-configured in the SMS-SC for this SCS/AS), with the SMS payload and the destination SME address (long/short code of the SCS/AS).
- 3) Failure in handling the submitted SMS-MO in the MTC-IWF.
- 4) The MTC-IWF returns the failure delivery indication to SMS-SC.
- 5) The SMS-SC triggers a *Charging Data Charging Data Request* with *Operation Type* indicating EVENT\_RECORD to record the unsuccessful delivery of the SM.
- 6) The CDF creates a SC-SMO-T4 CDR and acknowledges the reception of the data.
- 7) The SMS-SC indicates the failure back to UE.

### 5.2.3 CDR generation

### 5.2.3.0 Triggers for SMS CDR generation

SMS related CDRs (i.e. SC-SMO, SC-SMT, ISM-SMO, ISM-SMT, SC-SMO-T4 and SC-DVT-T4 CDRs) are used to collect charging information related to individual Charging Data Request [Event]. A single CDR is generated by the CDF for each event, and subsequently transfered to the Charging Gateway Function (CGF).

### 5.2.3.1 Triggers for SMS CDR charging information collection

The triggers for CDR creation are described in clause 5.2.3.0.

### 5.2.3.2 Triggers for SMS CDR charging information addition

The triggers for CDR creation are described in clause 5.2.3.0.

#### 5.2.3.3 Triggers for SMS CDR closure

The triggers for CDR creation are described in clause 5.2.3.0.

### 5.2.4 Ga record transfer flows

Details of the Ga protocol application are specified in TS 32.295 [6].

### 5.2.5 B<sub>sm</sub> CDR file transfer

Details of the Bsm protocol application are specified in TS 32.297 [5].

# 5.3 SMS online charging scenarios

### 5.3.1 Basic principles

SMS online charging uses the Credit-Control application as specified in TS 32.299 [4].

SMS charging may use the Immediate Event Charging (IEC) principle or the Event Charging with Unit Reservation (ECUR) principle as specified in TS 32.299 [4]. The chargeable events for subscriber charging are associated with SM transactions.

An implementation may use either IEC or ECUR for charging events based on operator configuration.

The units used for quota shall be service specific and based on an SM.

The selection of the OCS is implementation specific as there is no guaranteed means of providing the OCS address to the CTF.

In addition, SMS charging may use the Refund Account principle when the operation has not been successfully completed after an IIEC.

NOTE: For SMSIP, the IP-SM-GW may receive information relevant for online charging through signalling in IMS.

### 5.3.2 $R_{o}$ message flows

#### 5.3.2.1 Simple submission

This clause contains message flows for the different operation models IEC (figure 5.3.2.1.1) and ECUR (figure 5.3.2.1.2).

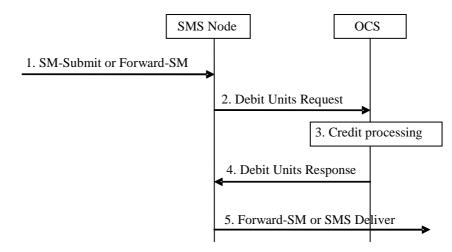
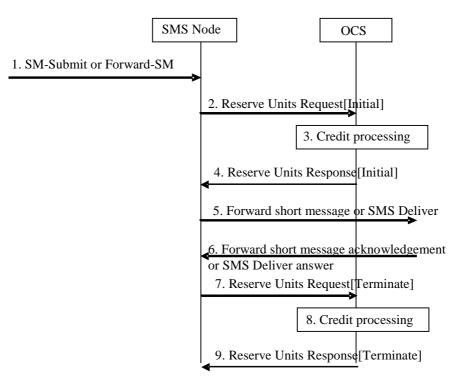


Figure 5.3.2.1.1: Online charging in simple submission for IEC

- 1) Depending on which SMS mechanism (i.e. SMS or SMSIP) is in operation, the SMS node receives an incoming SM-Submit or a MAP-Forward-SM.
- 2) The SMS node triggers a Debit Units Request message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Debit Units Response message to the SMS node.
- 5) If authorized, the SMS node continues the SM processing as appropriate for the origination procedures.



#### Figure 5.3.2.1.2: Online charging in simple submission for ECUR

- 1) Depending on which SMS mechanism (i.e. SMS or SMSIP) is in operation, the SMS node receives an incoming SM-Submit or a MAP-Forward-SM.
- 2) The SMS node triggers a Reserve Units Request [Initial] message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Reserve Units Response message to the SMS node.
- 5) If authorized, the SMS node continues the SM processing as appropriate for the origination procedures.
- 6) The SM transaction is successfully acknowledged.
- 7) The SMS node triggers a Reserve Units Request [Terminate] message to the OCS reporting the successful event transaction.
- 8) The OCS performs the appropriate credit processing based on the received request.
- 9) The OCS responds with a Reserve Units Response message to the SMS node.

#### 5.3.2.2 Enhanced submission

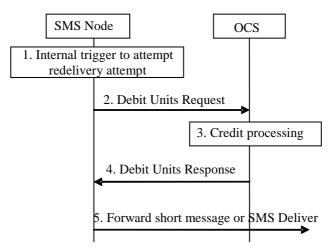
The enhanced submission procedures are similar to the simple submission procedures using ECUR. However, the trigger for Reserve Units Request (Terminate) may be based on unsuccessful handling, e.g. negative acknowledgement and with or without successful storage of the message for future redelivery attempts. See failure scenarios defined in clause 5.3.2.7.

#### 5.3.2.3 Delivery report

The origination of delivery reports use the same procedures as the simple submission procedures as described within clause 5.3.2.1. The delivery report itself is contained within a new SM.

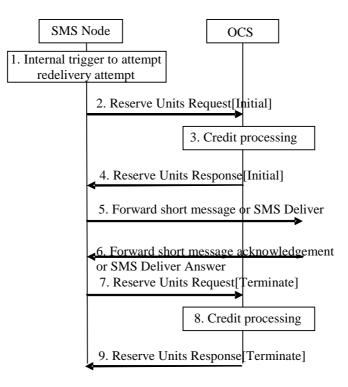
#### 5.3.2.4 Origination retry

This clause contains message flows for the different operation models IEC (figure 5.3.2.4.1) and ECUR (figure 5.3.2.4.2) for redelivery attempts in the origination direction.



#### Figure 5.3.2.4.1: Online charging in origination redelivery attempt for IEC

- 1) An SMS node internal trigger occurs to attempt a redelivery of a previously failed and stored SM.
- 2) The SMS node triggers a Debit Units Request message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Debit Units Response message to the SMS node.
- 5) If authorized, the SMS node continues the SM processing as appropriate for the origination procedures.



#### Figure 5.3.2.4.2: Online charging in origination redelivery attempt for ECUR

- 1) An SMS node internal trigger occurs to attempt a redelivery of a previously failed and stored SM.
- 2) The SMS node triggers a Reserve Units Request[Initial] message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Reserve Units Response message to the SMS node.
- 5) If authorized, the SMS node continues the SM processing as appropriate for the origination or termination procedures.
- 6) The SM transaction is successfully acknowledged.
- 7) The SMS node triggers a Reserve Units Request[Terminate] message to the OCS reporting the successful event transaction.
- 8) The OCS performs the appropriate credit processing based on the received request.
- 9) The OCS responds with a Reserve Units Response message to the SMS node.

#### 5.3.2.5 Termination charge

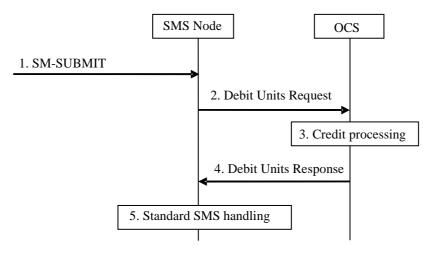
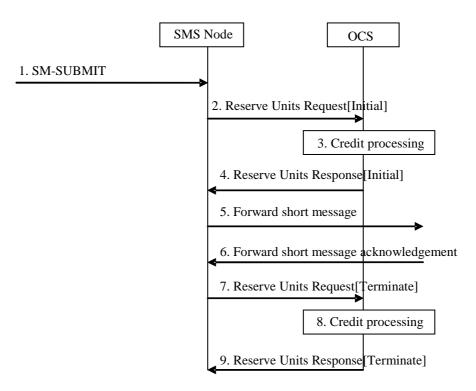


Figure 5.3.2.5.1: Online charging in termination for IEC

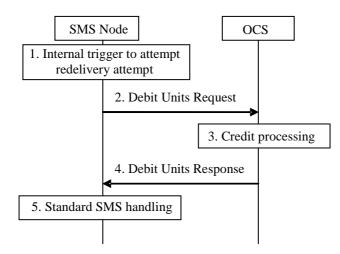
- 1) The SMS node receives an incoming SM-SUBMIT from an application destined for a mobile recipient.
- NOTE: This scenario differs from simple submission charging as described in clause 5.3.2.1 in that typically the mobile recipient (instead of originator or both parties) will be charged for such a short message.
- 2) The SMS node triggers a Debit Units Request message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Debit Units Response message to the SMS node.
- 5) If authorized, the SMS node continues the SM processing as appropriate for the termination procedures.



#### Figure 5.3.2.5.2: Online charging in termination for ECUR

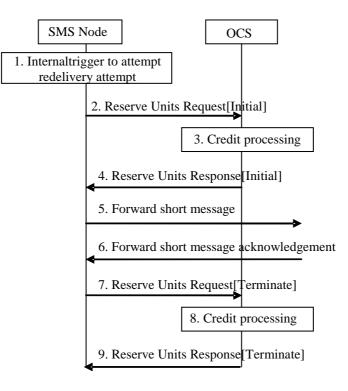
- 1) The SMS node receives an incoming SM-SUBMIT from an application destined for a mobile recipient.
- NOTE: This scenario differs from simple submission charging as described in clause 5.3.2.1 in that typically the mobile recipient (instead of originator or both parties) will be charged for such a short message.
- 2) The SMS node triggers a Reserve Units Request [Initial] message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Reserve Units Response message to the SMS node.
- 5) If authorized, the SMS node continues the SM processing as appropriate for the termination procedures.
- 6) The SM transaction is successfully acknowledged.
- 7) The SMS node triggers a Reserve Units Request [Terminate] message to the OCS reporting the successful event transaction.
- 8) The OCS performs the appropriate credit processing based on the received request.
- 9) The OCS responds with a Reserve Units Response message to the SMS node.

#### 5.3.2.6 Termination charge retry



#### Figure 5.3.2.6.1: Online charging in termination redelivery attempt for IEC

- 1) An SMS node internal trigger occurs to attempt a redelivery of a previously failed and stored SM.
- 2) The SMS node triggers a Debit Units Request message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Debit Units Response message to the SMS node.
- 5) If authorized, the SMS node continues the SM processing as appropriate for the termination procedures.



#### Figure 5.3.2.6.2: Online charging in termination redelivery attempt for ECUR

- 1) An SMS node internal trigger occurs to attempt a redelivery of a previously failed and stored SM.
- 2) The SMS node triggers a Reserve Units Request[Initial] message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Reserve Units Response message to the SMS node.
- 5) If authorized, the SMS node continues the SM processing as appropriate for the termination procedures.
- 6) The SM transaction is successfully acknowledged.
- 7) The SMS node triggers a Reserve Units Request[Terminate] message to the OCS reporting the successful event transaction.
- 8) The OCS performs the appropriate credit processing based on the received request.
- 9) The OCS responds with a Reserve Units Response message to the SMS node.

#### 5.3.2.7 Unsuccessful transaction

#### Unsuccessful transaction after IEC

Figure 5.3.2.7.1 only applies where a refund action is required for unsuccessful delivery.

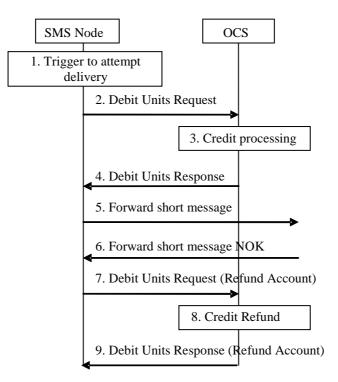


Figure 5.3.2.7.1: Unsuccessful transaction after IEC

- 1) The SMS node receives a trigger to attempt delivery of an SM. This may be for origination, termination or redelivery attempt.
- 2) The SMS node triggers a Debit Units Request message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Debit Units Response message to the SMS node.
- 5) If authorized, the SMS node continues the SM processing as appropriate for origination or termination procedures.
- 6) The SM transaction is acknowledged as an unsuccessful transaction (either via explicit signalling or an internal trigger).
- 7) The SMS node triggers a Debit Units Request (Refund Account) message to the OCS.
- 8) The OCS performs the appropriate refund processing based on the received request.
- 9) The OCS responds with a Debit Units Response (Refund Account) message to the SMS node.

#### Unsuccessful transaction in ECUR

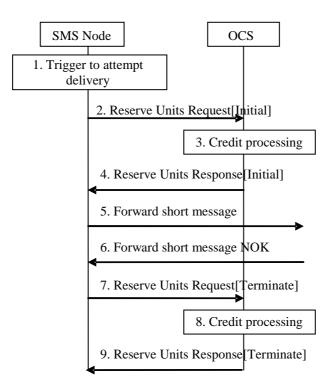
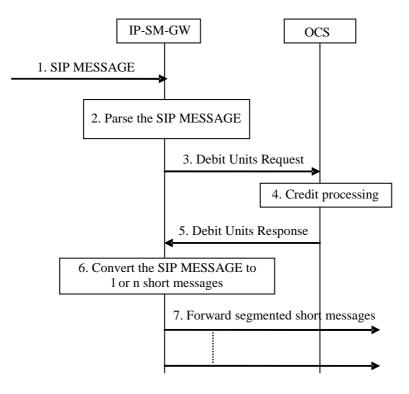


Figure 5.3.2.7.2: Unsuccessful transaction for ECUR

- 1) The SMS node receives a trigger occurs to attempt delivery of an SM. This may be for origination, termination or redelivery attempt.
- 2) The SMS node triggers a Reserve Units Request[Initial] message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Reserve Units Response message to the SMS node.
- 5) If authorized, the SMS node continues the SM processing as appropriate for the origination or termination procedures.
- 6) The SM transaction is acknowledged as an unsuccessful transaction (either via explicit signalling or an internal trigger).
- 7) The SMS node triggers a Reserve Units Request[Terminate] message to the OCS reporting the used unit for the service to zero. This characterizes the unsuccessful event transaction.
- 8) The OCS performs the appropriate credit processing based on the received request.
- 9) The OCS responds with a Reserve Units Response message to the SMS node.

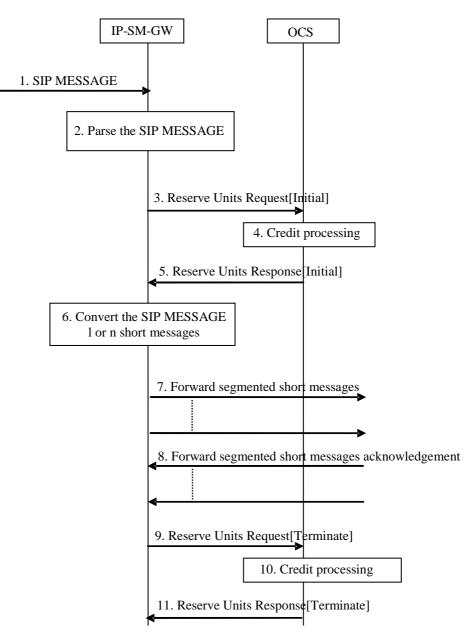
## 5.3.2.8 IMS/SMS Interworking Messages Charging

This clause contains message flows for the different operation models IEC (figure 5.3.2.8.1) and ECUR (figure 5.3.2.8.2) for IMS/SMS Interworking messages in the origination direction.



#### Figure 5.3.2.8.1: Online charging in origination IMS/SMS Interworking Messages for IEC

- 1) The IP-SM-GW receives an incoming SIP MESSAGE.
- 2) The IP-SM-GW parses the SIP MESSAGE.
- 3) The IP-SM-GW triggers a Debit Units Request message to the OCS.
- 4) The OCS performs the appropriate credit processing based on the received Debit Units Request.
- 5) The OCS responds with a Debit Units Response message to the IP-SM-GW.
- 6) IP-SM-GW converts the SIP MESSAGE to 1 or n (n>=1) short messages.
- 7) If authorized, the IP-SM-GW forwards the segmented short messages.



#### Figure 5.3.2.8.2: Online charging in origination IMS/SMS Interworking Messages for ECUR

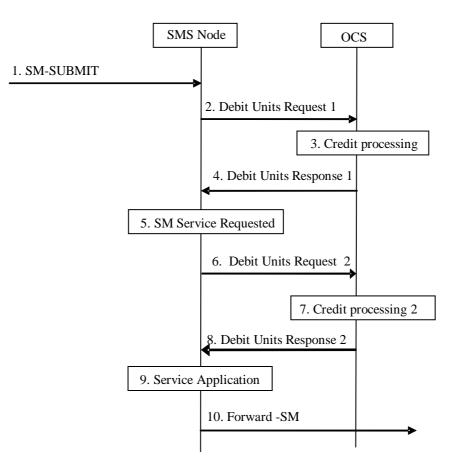
- 1) The IP-SM-GW receives an incoming SIP MESSAGE.
- 2) The IP-SM-GW parses the SIP MESSAGE.
- 3) The IP-SM-GW triggers a Reserve Units Request[Initial] message to the OCS.
- 4) The OCS performs the appropriate credit processing based on the received Reserve Units Request.
- 5) The OCS responds with a Reserve Units Response message to the IP-SM-GW.
- 6) IP-SM-GW converts the SIP MESSAGE to 1 or n (n>=1) short messages.
- 7) If authorized, the IP-SM-GW forwards segmented short messages.
- 8) All the short messages transactions are successfully acknowledged.
- 9) The IP-SM-GW triggers a Reserve Units Request[Terminate] message to the OCS reporting the successful event transaction.
- 10) The OCS performs the appropriate credit processing based on the received request.

11) The OCS responds with a Reserve Units Response message to the IP-SM-GW.

#### 5.3.2.9 Simple Submission with SM service request

This clause contains message flows for operation models IEC (figure 5.3.2.9-1) where application of a SM service is subject to charging independent from the SM submission.

Editors Note: Simple SM submission with SM service request for operation model ECUR is FFS.



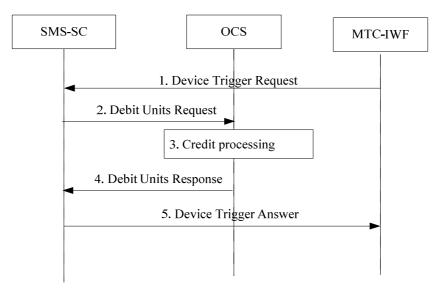
#### Figure 5.3.2.9.1: Online charging in simple submission with SM service request for IEC

- 1) Depending on which SMS mechanism (i.e. SMS or SMSIP) is in operation, the SMS node receives an incoming SM-Submit or a MAP-Forward-SM which includes a SM service request (such as forwarding or SM copy).
- 2) The SMS node triggers a Debit Units Request message to the OCS for the SM submission.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Debit Units Response message for the SM submission to the SMS node.
- 5) If normal SM processing is authorized in step 4, the SMS Node analyzes the SM and detects that a SM service shall be applied that is subject to charging.
- 6) If a SM service subject to charging is detected in step 5, the SMS node triggers an additional Debit Units Request message to the OCS for the requested SM service.
- 7) The OCS performs the appropriate credit processing based on the received request.
- 8) The OCS responds with a Debit Units Response message for the requested SM service to the SMS node.
- 9) If authorized in step 7, the SMS node applies the requested SM service.
- NOTE 1: Depending on the nature of the requested SM service, "service application" may involve creating additional messages (for example in case of a SM copy service). This is deemed part of step 9 and not otherwise shown in this diagram.

- 10) If authorized in step 4, the SMS node continues the SM processing as appropriate for the origination procedures.
- NOTE 2: Authorization of SM processing is independent of the authorization for application of a SM service. I.e. if authorization is for SM processing is granted in step 4 but authorization for SM service is refused in step 8 SM processing appropriate for the originating service continues without applying the requested SM service.
- 5.3.2.10 Void
- 5.3.2.11 Device Triggering using T4

#### 5.3.2.11.1 SMS submission to SMS-SC for Device Triggering

This clause contains the message flows for the scenario where the MTC-IWF submits a request to SMS-SC for SM transfer towards the UE for Device Triggering purpose, in IEC operation model (figure 5.3.2.11.1.1):

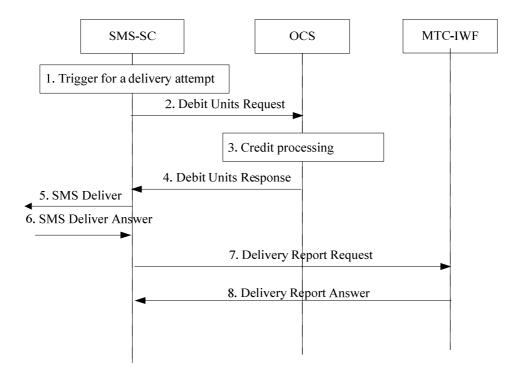


#### Figure 5.3.2.11.1.1: Online charging - SMS submission to SMS-SC for Device Triggering - IEC

- 1) The SMS-SC receives an incoming "Device Trigger Request" from an MTC-IWF over T<sub>4</sub>, destined to a UE recipient.
- 2) The SMS-SC triggers a Debit Units Request message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Debit Units Response message to the SMS-SC.
- 5) The SMS-SC returns "Device Trigger Answer" with appropriate result associated to the reception of the trigger request: successfully received by SMS-SC or failed due to error at SMS-SC.

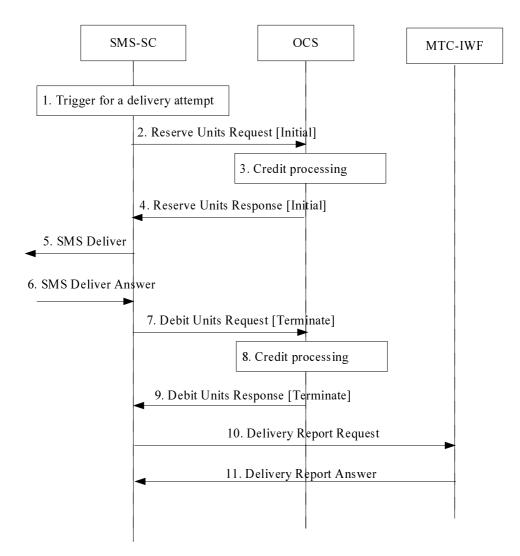
## 5.3.2.11.2 SMS Delivery from SMS-SC for Device Triggering

This clause contains message flows for the different operation models IEC (figure 5.3.2.11.2.1) and ECUR (figure 5.3.2.11.2.2) for delivery attempts from SMS-SC for SM transfer towards the UE for Device Triggering.



#### Figure 5.3.2.11.2.1: Online charging SMS Delivery retry from SMS-SC for Device Triggering – IEC

- 1) The SMS-SC decides to forward "SMS Deliver" message towards the receiving party, based on same trigger as step 1 of Figure 5.2.2.4.2.1.
- 2) The SMS-SC triggers a Debit Units Request message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the internal trigger.
- 4) The OCS responds with a Debit Units Response message to the SMS-SC.
- 5) If authorized, the SMS-SC continues the SM processing for delivering the short message to the UE.
- 6) The SMS-SC receives "SMS Deliver Answer" message as the delivery success of the SM transfer.
- 7) The SMS-SC sends "Delivery Report Request" to MTC-IWF with appropriate result associated to the successful delivery of the device trigger to the UE.
- 8) The MTC-IWF acknowledges by sending "Delivery Report Answer".



#### Figure 5.3.2.11.2.2: Online charging SMS Delivery retry from SMS-SC for Device Triggering - ECUR

- 1) The SMS-SC decides to forward "SMS Deliver" message towards the receiving party, based on same trigger as step 1 of Figure 5.2.2.4.2.1.
- 2) The SMS-SC triggers a Reserve Units Request [Initial] message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Reserve Units Response message to the SMS-SC.
- 5) If authorized, the SMS-SC continues the SM processing for delivering the short message to the UE.
- 6) The SMS-SC receives "SMS Deliver Answer" message as the delivery success of the SM transfer.
- 7) The SMS-SC triggers a Debit Units Request[Terminate] message to the OCS reporting the successful transaction.
- 8) The OCS performs the appropriate credit processing based on the received request.
- 9) The OCS responds with a Debit Units Response message to the SMS-SC.
- 10) The SMS-SC sends "Delivery Report Request" to MTC-IWF with appropriate result associated to the successful delivery of the device trigger to the UE.

11) The MTC-IWF acknowledges by sending "Delivery Report Answer".

#### 5.3.2.11.3 Unsuccessful SMS Delivery from SMS-SC for Device Triggering

This clause contains message flows for the different operation models IEC (figure 5.3.2.11.3.1) and ECUR (figure 5.3.2.11.3.2) for unsuccessful delivery from SMS-SC of a SM towards the UE for Device Triggering.

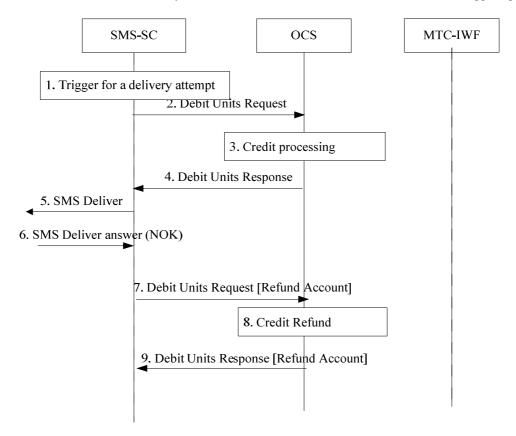
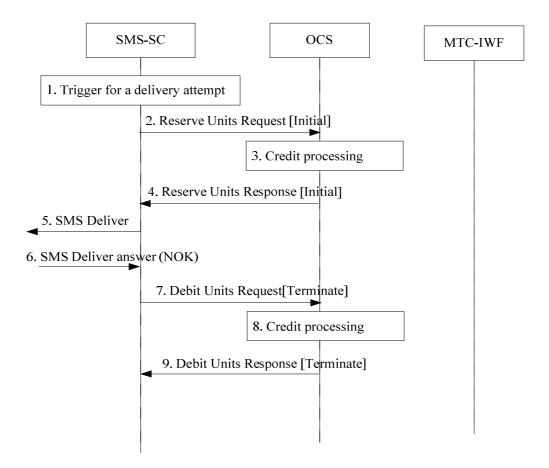


Figure 5.3.2.11.3.1: Online charging unsuccessful SMS Delivery from SMS-SC for Device Triggering after IEC

- 1) The SMS-SC decides to forward "SMS Deliver" message towards the receiving party, based on same trigger as step 1 of Figure 5.2.2.4.2.1.
- 2) The SMS-SC triggers a Debit Units Request message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the internal trigger.
- 4) The OCS responds with a Debit Units Response message to the SMS-SC.
- 5) If authorized, the SMS-SC continues the SM processing for delivering the short message to the UE.
- 6) The SMS-SC receives "SMS Deliver Answer" message as the delivery failure of the SM transfer attempt, or an internal trigger indicating failure.
- 7) The SMS-SC triggers a Debit Units Request (Refund Account) message to the OCS.
- 8) The OCS performs the appropriate refund processing based on the received request.
- 9) The OCS responds with a Debit Units Response (Refund Account) message to the SMS-SC.

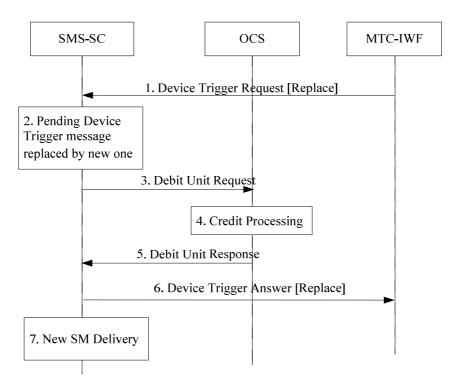


#### Figure 5.3.2.11.3.2: Online charging Unsuccessful SMS Delivery from SMS-SC for Device Triggering after ECUR

- 1) The SMS-SC decides to forward "SMS Deliver" message towards the receiving party, based on same trigger as step 1 of Figure 5.2.2.4.2.1.
- 2) The SMS-SC triggers a Reserve Units Request [Initial] message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Reserve Units Response message to the SMS-SC.
- 5) If authorized, the SMS-SC continues the SM processing for delivering the short message to the UE.
- 6) The SMS-SC receives "SMS Deliver Answer" message as the delivery failure of the SM transfer attempt, or an internal trigger indicating failure.
- 7) The SMS-SC triggers a Debit Units Request[Terminate] message to the OCS reporting the used unit for the service to zero, as a failed transaction.
- 8) The OCS performs the appropriate credit processing based on the received request.
- 9) The OCS responds with a Debit Units Response message to the SMS-SC.

#### 5.3.2.11.4 SMS submission to SMS-SC for Device Triggering - Replace procedure

Figure 5.3.2.11.4.1 describes the scenario where the MTC-IWF submits a request to SMS-SC for a replace procedure of Device Triggering, in IEC operation model:

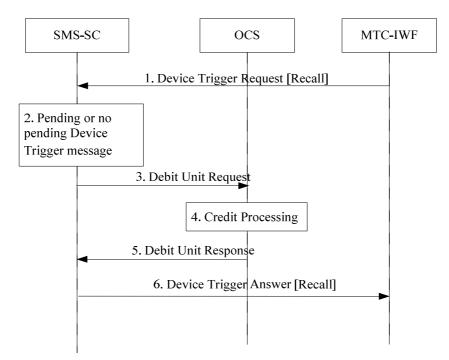


#### Figure 5.3.2.11.4.1: Online charging - SMS submission to SMS-SC for replace Device Triggering

- 1) The SMS-SC receives an incoming "Device Trigger Request" indicating "Replace" from an MTC-IWF over T<sub>4</sub>.
- 2) The SMS-SC determines the trigger message identified by the External Identifier or MSISDN, SCS Identifier, and old trigger reference number in the received Device Trigger Replace message, is pending at SMS-SC: the new trigger message replaces the previous one.
- 3) The SMS-SC triggers a Debit Units Request message to the OCS.
- 4) The OCS performs the appropriate credit processing based on the received replace request.
- 5) The OCS responds with a Debit Units Response message to the SMS-SC.
- 6) The SMS-SC returns "Device Trigger Answer" with appropriate result of the successful or unsuccessful replace procedure.
- 7) In case of successful replace, the new SM to be delivered uses the same procedure as per clause 5.3.2.11.2.

#### 5.3.2.11.5 SMS submission to SMS-SC for Device Triggering - Recall procedure

Figure 5.3.2.11.5.1 describes the scenario where the MTC-IWF submits a request to SMS-SC for a recall procedure for Device Triggering:



#### Figure 5.3.2.11.5.1: Online charging - SMS submission to SMS-SC for recall Device Triggering

- 1) The SMS-SC receives an incoming "Device Trigger Request" indicating "Recall" from an MTC-IWF over T<sub>4</sub>.
- 2) The SMS-SC determines the trigger message identified by the External Identifier or MSISDN, SCS Identifier, and old trigger reference number in the received Device Trigger Recall message, is pending at SMS-SC: the old trigger message is deleted.
- 3) The SMS-SC triggers a Debit Units Request message to the OCS.
- 4) The OCS performs the appropriate credit processing based on the received recall request.
- 5) The OCS responds with a Debit Units Response message to the SMS-SC.
- 6) The SMS-SC returns "Device Trigger Answer" with appropriate result of the recall procedure. In case in step 2 there was no pending Device trigger message, a failure is reported.

#### 5.3.2.12 MSISDN-less SMS MO via T4

#### 5.3.2.12.1 Introduction

The message flows associated to the MSISDN-less SMS MO via T4, describe the triggers occurring in the SMS-SC Node. As specified in TS 23.682 [17], the SMS delivery procedures to SMS-SC and SMS delivery report from SMS-SC are per TS 23.040 [12], therefore involving SMS-GMSC/SMS-IWMSC depending on the scenario. However, per this TS 23.040 [12], the interface between the SMS-GMSC/SMS-IWMSC and the SMS-SC is out of scope of 3GPP, therefore SMS-GMSC/SMS-IWMSC are assumed as internal to SMS-SC for the charging flows with triggers description.

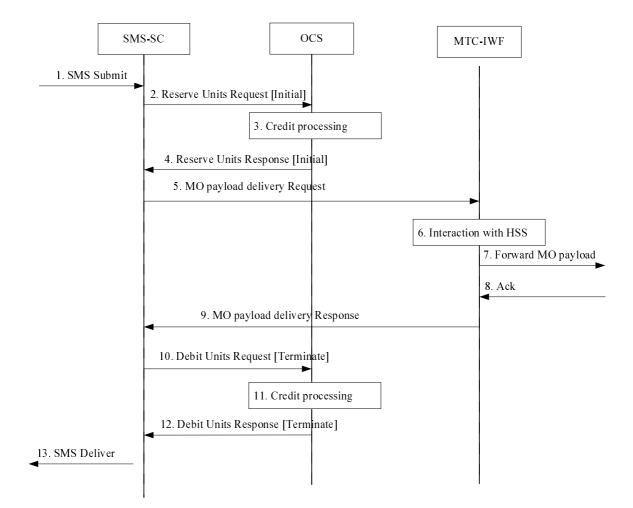
The network does not perform any storing and forwarding functionality for MO-SMS.

Only ECUR operation model is applicable to MSISDN-less SMS MO via T4.

NOTE: As specified in TS 23.682 [17], the external identifier associated to the transaction is not available before the when the MO payload delivery response is received by the SMS-SC, therefore the IEC operation model is considered as not relevant for successful scenario.

#### 5.3.2.12.2 MSISDN-less SMS MO via T4 - successful case

Figure 5.3.2.12.2.1 describes the scenario where MSISDN-less UE originates SMS-MO destined to a recipient SCS/AS using MSISDN-less SMS MO via T4 procedure, in ECUR operation model:



#### Figure 5.3.2.12.2.1: Online charging MSISDN-less SMS MO via T4 – successful case

- The SMS-SC receives a "SMS Submit" incoming message originated by a MSISDN-less UE to deliver small data to SCS/AS.
- 2) The SMS-SC triggers a Reserve Units Request[Initial] message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Reserve Units Response message to the SMS-SC.
- 5) If authorized, the SMS-SC sends the "MO payload delivery Request" message to the MTC-IWF address (as preconfigured in the SMS-SC for this SCS/AS), with the SMS payload and the destination SME address (long/short code of the SCS/AS).
- 6) The MTC-IWF retrieves the external ID from the HSS (based on the IMSI of the UE and application port ID).
- 7\_8) The MTC-IWF forwards the SMS to the SCS/AS (received destination SME), and receives the successful answer.
- The MTC-IWF returns the success indication to SMS-SC, along with the external identifier associated to this transaction.
- 10) The SMS-SC triggers a Debit Units Request [Terminate] message to the OCS.

50

11) The OCS performs the appropriate credit processing based on the received request.

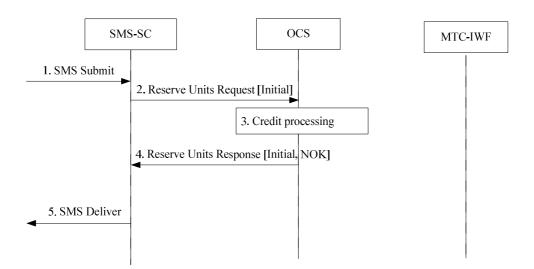
12) The OCS responds with a Debit Units Response message to the SMS-SC.

13) The SMS-SC indicates success back to UE.

#### 5.3.2.12.3 MSISDN-less SMS MO via T4 - error cases

#### 5.3.2.12.3.1 MSISDN-less SMS MO via T4 - failure at submission to SMS-SC

Figure 5.3.2.12.3.1.1 describes the scenario where MSISDN-less UE originates SMS-MO destined to a recipient SCS/AS using MSISDN-less SMS MO via T4 procedure, and failure at submission to SMS-SC:



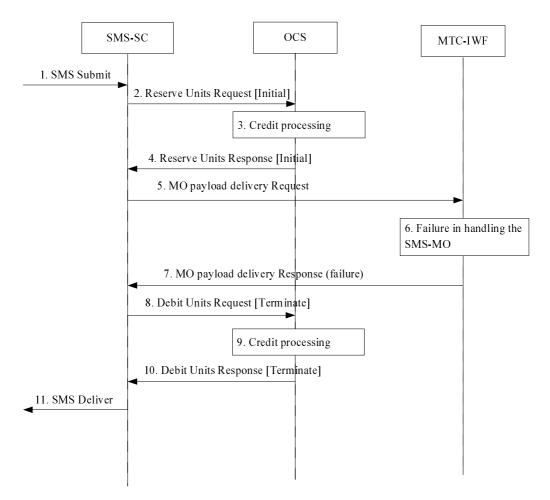
#### Figure 5.3.2.12.3.1.1: Online charging MSISDN-less SMS MO via T4 – failure at submission

- 1) The SMS-SC receives a "SMS Submit" incoming message originated by a MSISDN-less UE to deliver small data to SCS/AS.
- 2) The SMS-SC triggers a Reserve Units Request[Initial] message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request and identified the transaction is not authorized.
- 4) The OCS responds with a Reserve Units Response [Initial, NOK] message to the SMS-SC.
- 5) The SMS-SC indicates failure back to UE, and will not initiate any message towards the MTC-IWF due to this failed attempt.

#### 5.3.2.12.3.2 MSISDN-less SMS MO via T4 - failure at the MTC-IWF

Figure 5.3.2.12.3.2.1 describes the scenario where MSISDN-less UE originates SMS-MO destined to a recipient SCS/AS using MSISDN-less SMS MO via T4 procedure, and failure at the MTC-IWF:





#### Figure 5.3.2.12.3.2.1; Online charging MSISDN-less SMS MO via T4 - failure at the MTC-IWF

- 1) The SMS-SC receives a "SMS Submit" incoming message originated by a MSISDN-less UE to deliver small data to SCS/AS.
- 2) The SMS-SC triggers a Reserve Units Request [Initial] message to the OCS.
- 3) The OCS performs the appropriate credit processing based on the received request.
- 4) The OCS responds with a Reserve Units Response [Initial] message to the SMS-SC.
- 5) If authorized, the SMS-SC sends the "MO payload delivery Request" message to the MTC-IWF address (as preconfigured in the SMS-SC for this SCS/AS), with the SMS payload and the destination SME address (long/short code of the SCS/AS).
- 6) Failure in handling the submitted SMS-MO in the MTC-IWF, including failure submission to the SCS/AS step 7 and 8 in Figure 5.3.2.11.2.1.
- 7) The MTC-IWF returns the failure delivery indication to SMS-SC.
- 8) The SMS-SC triggers a Debit Units Request [Terminate] message to the OCS, reporting the used unit for the service to zero.
- 9) The OCS performs the appropriate credit processing based on the received request.
- 10) The OCS responds with a Debit Units Response message to the SMS-SC.
- 11) The SMS-SC indicates failure back to UE.

# 5.3.3 Credit-Control related

## 5.3.3.1 Triggers for stopping for an SMS Credit-Control session

Used in ECUR only, a Debit / Reserve Units Request message to terminate the Credit-Control session is sent to OCS when:

- Validity time for granted quota expires;
- Granted quota runs out (i.e. a successful event has occurred);
- Abort-Session-Request is received from the OCS.

The expiration of the validity time for quota does not require the SMS procedures to be terminated. The CTF shall be configurable as to whether on expiration of validity time, the service should be aborted or not; i.e. whether the stored message should be deleted and no further (re-)delivery attempt should be made.

## 5.3.3.2 Triggers for providing interim information for a SMS Credit-Control session

The provision of interim information for Credit-Control is not used in this release of the specification, due to the use of IEC and ECUR.

# 5.4 SMS converged online and offline charging scenarios

## 5.4.1 Basic principles

## 5.4.1.1 General

Converged charging may be performed by the SMSF interacting with CHF using Nchf specified in TS 32.290 [19] and TS 32.291 [20]. In order to provide the data required for the management activities outlined in TS 32.240 [2] (Credit-Control, accounting, billing, statistics etc.), the SMSF shall be able to perform converged charging for each of the SMS transactions.

The SMSF shall be able to perform convergent charging by interacting with CHF, for charging data related to SMS over NAS. The Charging Data Request and Charging Data Response are exchanged between the SMSF and the CHF, based on PEC, IEC or ECUR scenarios specified in TS 32.290 [19]. The Charging Data Request is issued by the SMSF towards the CHF when certain conditions (chargeable events) are met.

Converged charging uses centralized or decentralized unit determination and centralized rating scenarios for convergent charging IEC and ECUR specified in TS 32.290 [19].

The contents and purpose of each charging event that triggers interaction with CHF, as well as the chargeable events that trigger them, are described in the following sub-clauses.

A detailed formal description of the converged charging parameters defined in the present document is to be found in TS 32.291 [20].

A detailed formal description of the CDR parameters defined in the present document is to be found in TS 32.298 [3].

The chargeable events or messages exchanged between the SMSF and the other nodes are described with generic names (i.e SMS submit, SMS deliver), to reflect SMS reception or sending by/from the SMSF, independently from the protocol conveying the SMS.

## 5.4.1.2 Applicable Triggers in the SMSF

#### 5.4.1.2.1 General

When a charging event is issued towards the CHF, it includes details such as Subscriber identifier (e.g. SUPI).

Each trigger condition (i.e. chargeable event) defined for the SMS over NAS converged charging functionality, is specified with the associated behaviour when they are met.

When a MO or MT SMS is sent through the SMSF, and the converged charging is activated, the SMSF invokes a Charging Data Request [Initial] towards the CHF to get authorization to start in ECUR mode. In IEC mode, the Charging Data Request [Event] is sent towards the CHF.

Table 5.4.1.2.1 summarizes the set of default trigger conditions and their category which shall be supported by the SMSF. For "immediate report" category, the table also provides the corresponding Charging Data Request [Initial, Event, Termination] message sent from SMSF towards the CHF.

Trigger Conditions	Trigger level	Default category	CHF allowed to change category	CHF allowed to enable and disable	Message when "immediate reporting" category
SMS Submit	-	Immediate	Not Applicable	Not Applicable	IEC: Charging Data Request [Event] ECUR: Charging Data Request [Initial]
SMS to deliver	-	Immediate	Not Applicable	Not Applicable	IEC: Charging Data Request [Event] ECUR: Charging Data Request [Termination]
SMS Submit Answer	-	Immediate	Not Applicable	Not Applicable	PEC: Charging Data Request [Event]
SMS Deliver Answer	-	Immediate	Not Applicable	Not Applicable	PEC: Charging Data Request [Event]

Table 5.4.1.2.1: Default Trigger conditions in SMSF

For converged charging, the following details of chargeable events and corresponding actions in the SMSF are defined in Table 5.4.1.2.2:

Table 5.4.1.2.2: Chargeable events and their related actions in SMSF

Chargeable event	Conditions	SMSF action
SMS Submit		IEC: Charging Data Request [Event]
		ECUR: Charging Data Request [Initial] with
		a possible request quota for later use
SMS to deliver		IEC: Charging Data Request [Event]
		ECUR: Charging Data Request
		[Termination], indicating that charging
		session is terminated
SMS Submit Answer		PEC: Charging Data Request [Event]
SMS Deliver Answer		PEC: Charging Data Request [Event]

The CDR generation mechanism processed by the CHF upon receiving Charging Data Request [Event, Initial, Termination] issued by the SMSF for these chargeable events, is specified in clause 5.4.3.

## 5.4.1.3 CHF selection

The CHF to be used by the SMSF can be:

- Discovered via NRF upon SMS activation from AMF to SMSF.
- SMSF locally provisioned in charging characteristics.

The option depends on Operator's policies.

When CHF selection by SMSF is performed via NRF based discovery:

- For UE determined by SMSF as served by the same PLMN as the SMSF (i.e. non-roaming scenario), the CHF of the PLMN can be discovered based on the UE identifier.

54

- For UE determined by SMSF in V-PLMN as an in-bound roamer (i.e. roaming scenario), the CHF of the V-PLMN can be discovered based on the PLMN Id of the UE H-PLMN.

## 5.4.2 Message flows

#### 5.4.2.1 Introduction

The different scenarios below focus on the different messages from/to the SMS Node and corresponding interaction with the CHF, based on scenarios specified in TS 23.502 [202] clause 4.13.3.

#### 5.4.2.2 SMS Submission - IEC

Figure 5.4.2.2.1 describes the scenario where a SMS is submitted to the SMSF for IEC mode

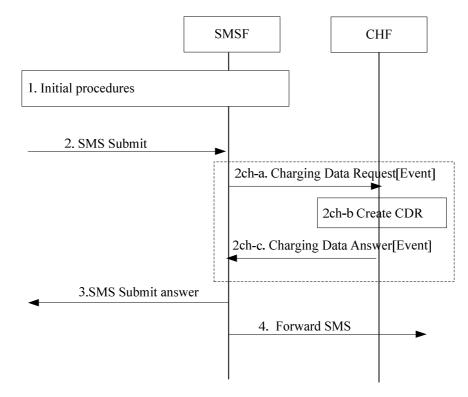


Figure 5.4.2.2.1: SMS submission to SMSF for IEC

- 1. Initial procedures: see applicable flows.
- 2. The SMSF receives a "SMS Submit" incoming message originated by a UE.

2ch-a. The SMSF sends Charging Data Request[Event] to CHF for the received MO SMS.

2ch-b. The CHF creates a CDR for this MO SMS.

2ch-c. The CHF acknowledges by sending Charging Data Response[Event] to the SMSF.

- 3. The SMSF returns "SMS Submit Answer" with appropriate result successful or unsuccessful reception of the SM by the SMSF.
- 4. Forward SMS per applicable flows.

The table 5.4.2.2.1 describes the correspondence between the message in this scenario, and the message in the different Network scenario for which it is applicable.

Message	Message in Network scenario	Reference
2. SMS submit	2b. Nsmsf_SMService_UplinkSMS (SMS body)	TS 23.502[202]
		Figure 4.13.3.3-1: MO SMS over NAS
	6b. Nsmsf_SMService_UplinkSMS (Delivery report)	TS 23.502[202]
		Figure 4.13.3.6-1: MT SMS over NAS
		in CM_IDLE state via 3GPP access
3. SMS submit answer	2c. Namf_Communication_N1N2MessageTransfer	TS 23.502[202]
	(CP Ack)	Figure 4.13.3.3-1: MO SMS over NAS
	6c. Namf_Communication_N1N2MessageTransfer	TS 23.502[202]
	(CP Ack)	Figure 4.13.3.6-1: MT SMS over NAS
		in CM_IDLE state via 3GPP access
4. Forward SMS	3. Forward MO	TS 23.502[202]
		Figure 4.13.3.3-1: MO SMS over NAS
	7. Delivery report	TS 23.502[202]
		Figure 4.13.3.6-1: MT SMS over NAS
		in CM_IDLE state via 3GPP access

 Table 5.4.2.2.1: Messages mapping

## 5.4.2.3 SMS Delivery - IEC

Figure 5.4.2.3.1 describes the scenario where a SMS is delivered from the SMSF for IEC mode

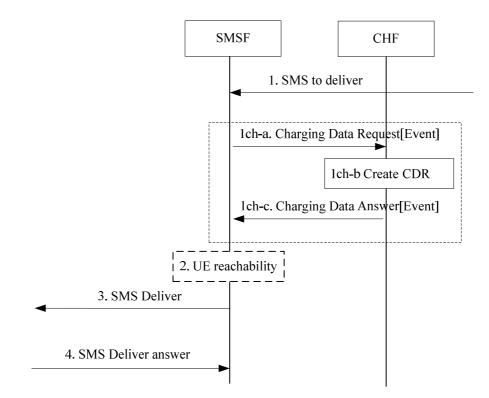


Figure 5.4.2.3.1 SMS delivery from SMSF for IEC

1. "SMS to deliver" received by SMSF: see applicable flows.

1ch-a. The SMSF sends Charging Data Request[Event] to CHF for the SMS.

1ch-b. The CHF creates a CDR for this SMS.

1ch-c. The CHF acknowledges by sending Charging Data Response[Event] to the SMSF.

- 2. UE reachability criteria met.
- 3. The SMSF forwards the "SMS Deliver" message.

4. The SMSF receives "SMS Deliver Answer" message as the delivery success or failure of the SM transfer attempt.

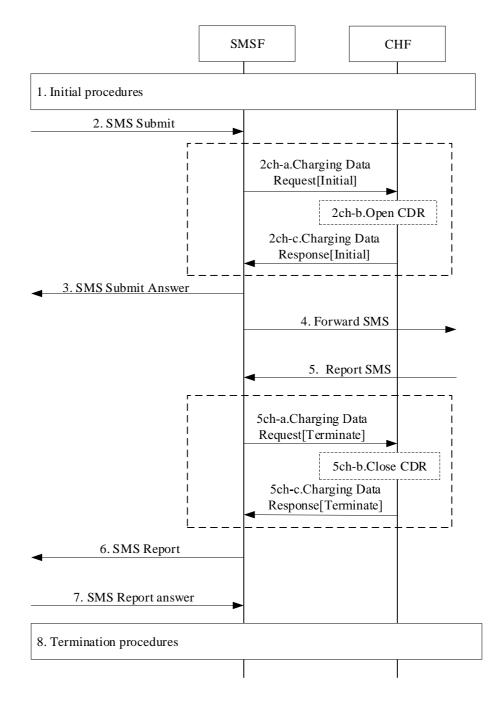
The table 5.4.2.3.1 describes the correspondence between the message in this scenario, and the message in the different Network scenario for which it is applicable.

Message	Message in Network scenario	Reference
1. SMS to deliver	3. Forward MT SM	TS 23.502[202]
		Figure 4.13.3.6-1: MT SMS over NAS
		in CM_IDLE state via 3GPP access
	5. Submit report	TS 23.502[202]
		Figure 4.13.3.3-1: MO SMS over NAS
3. SMS deliver	5. Namf_Communication_N1N2MessageTransfer	TS 23.502[202]
	(SMS body)	Figure 4.13.3.6-1: MT SMS over NAS
		in CM_IDLE state via 3GPP access
	6a. Namf_Communication_N1N2MessageTransfer	TS 23.502[202]
	(Submit Report)	Figure 4.13.3.3-1: MO SMS over NAS
4. SMS deliver answer	5d. Nsmsf_SMService_UplinkSMS (CP Ack)	TS 23.502[202]
		Figure 4.13.3.6-1: MT SMS over NAS
		in CM_IDLE state via 3GPP access
	6d. Nsmsf_SMService_UplinkSMS (CP Ack)	TS 23.502[202]
		Figure 4.13.3.3-1: MO SMS over NAS

#### Table 5.4.2.3.1: Messages mapping

## 5.4.2.4 SMS Submission - ECUR

Figure 5.4.2.4.1 describes the scenario where a SMS is submitted to the SMSF for ECUR mode.



#### Figure 5.4.2.4.1: Converged charging SMS Submission using ECUR

- 1. Initial procedures: see applicable flows.
- 2. The SMSF receives a "SMS Submit" incoming message originated by a UE.

2ch-a. The SMSF sends Charging Data Request [Initial] to CHF for authorization.

2ch-b. The CHF opens CDR for this SMS submission.

2ch-c. The CHF acknowledges by sending Charging Data Response [Initial] to the SMSF

- 3. The SMSF returns "SMS Submit Answer" with appropriate result successful or unsuccessful reception of the SM by the SMSF.
- 4. Forward SMS per applicable flows.

58

- 5. "Report SMS" received by SMSF: see applicable flows.
- 5ch-a. The SMSF sends Charging Data Request [Termination] to the CHF for terminating the charging associated with SMS submission.

5ch-b. The CHF closes the CDR for this SMS submission.

5ch-c. The CHF acknowledges by sending Charging Data Response [Termination] to the SMSF.

- 6. The SMSF forwards the "SMS Report" message towards the UE.
- 7. The SMSF receives "SMS Report answer" message as the delivery success or failure of the SM transfer attempt.
- 8. Termination procedures: see applicable flows

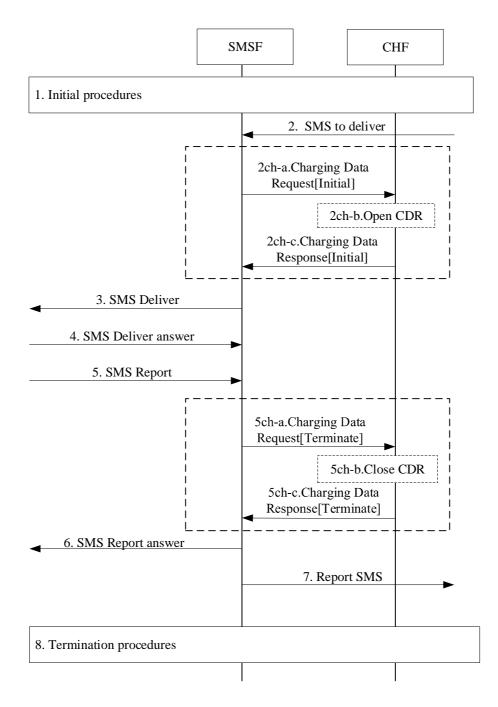
The table 5.4.2.4.1 describes the correspondence between the message in this scenario, and the message in the different Network scenario for which it is applicable.

Message	Message in Network scenario	Reference
2. SMS Submit	2b. Nsmsf_SMService_UplinkSMS (SMS body)	TS 23.502[202]
		Figure 4.13.3.3-1: MO SMS over NAS
3. SMS Submit answer	2c. Namf_Communication_N1N2MessageTransfer	TS 23.502[202]
	(CP Ack)	Figure 4.13.3.3-1: MO SMS over NAS
4. Forward SMS	3. Forward MO	TS 23.502[202]
		Figure 4.13.3.3-1: MO SMS over NAS
5. Report SMS	5. Submit report	TS 23.502[202]
		Figure 4.13.3.3-1: MO SMS over NAS
6. SMS Report	6a. Namf_Communication_N1N2MessageTransfer	TS 23.502[202]
	(Submit Report)	Figure 4.13.3.3-1: MO SMS over NAS
7. SMS Report answer	6d. Nsmsf_SMService_UplinkSMS (CP Ack)	TS 23.502[202]
		Figure 4.13.3.3-1: MO SMS over NAS

Table 5.4.2.4.1: Messages mapping

## 5.4.2.4a SMS Delivery - ECUR

Figure 5.4.2.4a.1 describes the scenario where a SMS is delivered from the SMSF for ECUR mode.



#### Figure 5.4.2.4a.1: Converged charging SMS Delivery using ECUR

- 1. Initial procedures: see applicable flows.
- 2. "SMS to deliver" received by SMSF: see applicable flows.

2ch-a. The SMSF sends Charging Data Request [Initial] to CHF for authorization.

2ch-b. The CHF opens CDR for this SMS delivery.

2ch-c. The CHF acknowledges by sending Charging Data Response [Initial] to the SMSF

- 3. The SMSF forwards the "SMS Deliver" message towards the UE.
- 4. The SMSF receives "SMS Deliver Answer" message as the delivery success or failure of the SM transfer attempt.

- 5. The SMSF receives a "SMS Report" incoming message originated by a UE.
- 5ch-a. The SMSF sends Charging Data Request [Termination] to the CHF for terminating the charging associated with SMS delivery.

5ch-b. The CHF closes the CDR for this SMS delivery.

5ch-c. The CHF acknowledges by sending Charging Data Response [Termination] to the SMSF.

- 3. The SMSF returns "SMS Report answer" with appropriate result successful or unsuccessful reception of the SM by the SMSF.
- 4. Report SMS per applicable flows.
- 8. Termination procedures: see applicable flows

The table 5.4.2.4a.1 describes the correspondence between the message in this scenario, and the message in the different Network scenario for which it is applicable.

Message	Message in Network scenario	Reference
1. SMS to deliver	3. Forward MT SM	TS 23.502[202]
		Figure 4.13.3.6-1: MT SMS over NAS
		in CM_IDLE state via 3GPP access
3. SMS Deliver	<ol><li>Namf_Communication_N1N2MessageTransfer</li></ol>	TS 23.502[202]
	(SMS body)	Figure 4.13.3.6-1: MT SMS over NAS
		in CM_IDLE state via 3GPP access
4. SMS Deliver answer	5d. Nsmsf_SMService_UplinkSMS (CP Ack)	TS 23.502[202]
		Figure 4.13.3.6-1: MT SMS over NAS
		in CM_IDLE state via 3GPP access
5. SMS Report	6b. Nsmsf_SMService_UplinkSMS (Delivery report)	TS 23.502[202]
		Figure 4.13.3.6-1: MT SMS over NAS
		in CM_IDLE state via 3GPP access
<ol><li>SMS Report answer</li></ol>	6c. Namf_Communication_N1N2MessageTransfer	TS 23.502[202]
	(CP Ack)	Figure 4.13.3.6-1: MT SMS over NAS
		in CM_IDLE state via 3GPP access
7. Report SMS	7. Delivery report	TS 23.502[202]
		Figure 4.13.3.6-1: MT SMS over NAS
		in CM_IDLE state via 3GPP access

#### Table 5.4.2.4a.1: Messages mapping

#### 5.4.2.5 SMS Submission - PEC

Figure 5.4.2.5.1 describes the scenario where a SMS is submitted to the SMSF for PEC mode

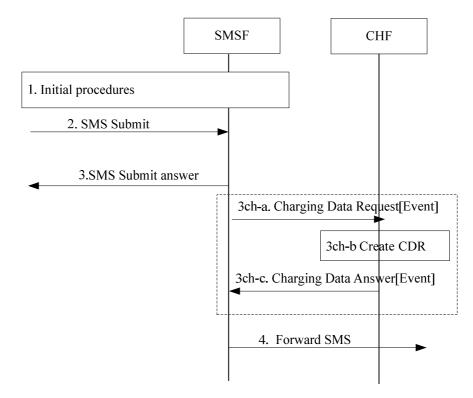


Figure 5.4.2.5.1: SMS submission to SMSF - PEC

- 1. Initial procedures: see applicable flows in table 5.4.2.2.1.
- 2. The SMSF receives a "SMS Submit" incoming message.
- 3. The SMSF returns "SMS Submit Answer" with appropriate result successful or unsuccessful reception of the SM by the SMSF.
- 3ch-a. The SMSF sends Charging Data Request [Event] to CHF for the SMS.

3ch-b. The CHF creates a CDR for this SMS.

- 3ch-c. The CHF acknowledges by sending Charging Data Response [Event] to the SMSF.
- 4. Forward SMS per applicable flows table 5.4.2.2.1.

#### 5.4.2.6 SMS Delivery - PEC

Figure 5.4.2.6.1 describes the scenario where a SMS is delivered from the SMSF for PEC mode

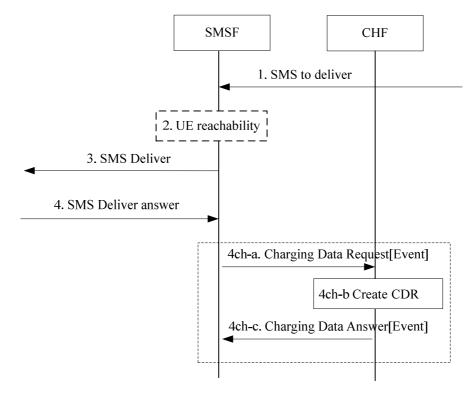


Figure 5.4.2.6.1 SMS delivery from SMSF - PEC

- 1. "SMS to deliver" received by SMSF: see applicable flows in table 5.4.2.3.1.
- 2. UE reachability criteria met.
- 3. The SMSF forwards the "SMS Deliver".
- 4. The SMSF receives "SMS Deliver Answer" message as the delivery success or failure of the SM transfer attempt.

4ch-a. The SMSF sends Charging Data Request [Event] to CHF for the SMS.

4ch-b. The CHF creates a CDR for this SMS.

4ch-c. The CHF acknowledges by sending Charging Data Response [Event] to the SMSF.

## 5.4.3 CDR generation

#### 5.4.3.1 Introduction

The CHF CDRs for SMS charging are generated by the CHF to collect charging information that they subsequently transfer to the Charging Gateway Function (CGF).

The following clauses describe in details the conditions for generating, opening and closing the CHF CDR, which shall be supported by the CHF.

#### 5.4.3.2 Triggers for CHF CDR

#### 5.4.3.2.1 General

A SMS charging CHF CDR is used to collect charging information related to SMS chargeable events for PEC, IEC and ECUR.

63

#### 5.4.3.2.2 Triggers for CHF CDR generation

A CHF CDR is generated by the CHF for each received Charging Data Request[Event].

#### 5.4.3.2.3 Triggers for CHF CDR opening

A CHF CDR shall be opened when the CHF receives Charging Data Request[Initial].

## 5.4.3.2.4 Triggers for CHF CDR closure

The CHF CDR shall be closed when the CHF receives Charging Data Request[Termination].

## 5.4.4 Ga record transfer flows

Details of the Ga protocol application are specified in TS 32.295 [6].

## 5.4.5 B<sub>sm</sub> CDR file transfer

Details of the Bsm protocol application are specified in TS 32.297 [5].

# 6 Definition of charging information

# 6.1 Data description for SMS offline charging

## 6.1.1 R<sub>f</sub> message contents

#### 6.1.1.1 Summary of offline charging message formats

The SMS Node generates accounting information that can be transferred from the CTF to the CDF. For this purpose, SMS offline charging utilizes the *Charging Data Transfer Operation* that is specified in the 3GPP accounting application described in TS 32.299 [4].

The *Charging Data Transfer* operation employs the *Charging Data Request* and *Charging Data Response* messages. Table 6.1.1.1 describes the use of these messages for offline charging.

#### Table 6.1.1.1.1: Offline charging messages reference table

Command-Name	Source	Destination
Charging Data Request	CTF	CDF
Charging Data Response	CDF	CTF

This clause describes the different fields used in the Charging Data messages and the category in the tables are used according to the charging data configuration defined in clause 5.4 of TS 32.240 [2].

#### 6.1.1.2 Structure for the offline charging message formats

#### 6.1.1.2.1 Charging Data Request message

Table 6.1.1.2.1.1 illustrates the basic structure of a Charging Data Request message as used for SMS offline charging.

Information Element	Category	Description	
Session Identifier	М	Described in TS 32.299 [4]	
Originator Host	М	Described in TS 32.299 [4]	
Originator Domain	М	Described in TS 32.299 [4]	
Destination Domain	М	Described in TS 32.299 [4]	
Operation Type	М	This field contains event type transfer (immediate event based charging).	
Operation Number	М	Described in TS 32.299 [4]	
Operation Identifier	Ом	Described in TS 32.299 [4]	
User Name	Oc	This field contains the identification of the source node.	
Origination Timestamp	Oc	Described in TS 32.299 [4]	
Proxy Information	Oc	Described in TS 32.299 [4]	
Route Information	Oc	Described in TS 32.299 [4]	
Operation Token	OM	This field contains the service context, i.e. SMS charging.	
Service Information	Ом	This field holds the 3GPP specific SMS parameter. Described in clause 6.3.	

#### Table 6.1.1.2.1.1: Charging Data Request message contents

#### 6.1.1.2.2 Charging Data Response message

Table 6.1.1.2.2.1 illustrates the basic structure of a Charging Data Response message as used for SMS offline charging.

Information Element	Category	Description
Session Identifier	М	Described in TS 32.299 [4]
Operation Result	М	Described in TS 32.299 [4]
Originator Host	М	Described in TS 32.299 [4]
Originator Domain	М	Described in TS 32.299 [4]
Operation Type	М	This field contains event type transfer (immediate event based charging).
Operation Number	М	Described in TS 32.299 [4]
Operation Identifier	Ом	Described in TS 32.299 [4]
User Name	Oc	This field contains the identification of the source node.
Destination Host	Oc	Described in TS 32.299 [4]
Error Reporting Host	Oc	Described in TS 32.299 [4]
Origination Timestamp	Oc	Described in TS 32.299 [4]
Proxy Information	Oc	Described in TS 32.299 [4]

Table 6.1.1.2.2.1: Charging Data Response Message Contents

## 6.1.2 G<sub>a</sub> message contents

Refer to clause 5.2.4 for further information.

## 6.1.3 CDR description on the B<sub>sm</sub> interface

#### 6.1.3.1 CDR field types

The following Standard CDR content and format are considered:

- generated from SMS-SC:
  - SC-SMO CDR;
  - SC-SMT CDR;
  - SC-SMO-T4 CDR;
  - SC-DVT-T4 CDR.
- generated from IP-SM-GW:
  - ISM-SMO CDR;
  - ISM-SMT CDR.

The content of each CDR type is defined in the tables in clauses 6.1.3.3 to 6.1.3.8.

For each CDR type the field definition includes the field name, category and description. The category in the tables are used according to the charging data configuration defined in clause 5.4 of TS 32.240 [2].

The detailed specification of the CDR parameters and their encoding is contained in TS 32.298 [3], while TS 32.297 [5] specifies the details of the CDR file transfer to the Billing Domain (BD). Additional CDR formats and contents may be available at the interface to the billing system to meet the requirements of the Billing System (BS), these are outside of the scope of 3GPP standardization.

#### 6.1.3.2 CDR triggers

The generation of the SMS related CDRs is based on reception of Charging Data Request[Event] messages transferred from the SMS node to the CDF. One CDR is created in the CDF for each Charging Data Request[Event] message received.

## 6.1.3.3 SC-SMO CDR content

The content of SC-SMO CDR is defined in the table 6.1.3.3.1.

#### Table 6.1.3.3.1: SC-SMO record

Field	Category	Description	
Record Type	M	SMS-SC SMS originated.	
SMS Node Address	M	This field holds the address (e.g. E.164) of the SMS-service centre sending the	
Originator Info	Ом	Charging Data Request used for producing the record. (SMSC Address) This field is a grouped field and holds information on originator of the SM.	
Originator IMSI		This field holds the IMSI of the subscriber sending the short message, in case	
	Ом	of Mobile Originating message, if available.	
Originator MSISDN	Oc	This field holds the primary MSISDN of the subscriber sending the message, if available.	
Originator Other Address	Ом	This field holds the address of the originator of the SM, when different from IMSI and MSISDN, if available: e.g. email, short code. Multiple addresses may be carried.	
Originator SCCP Address	Oc	This field holds the SCCP calling address used to receive the SM at the SMS node, when applicable.	
Originator Received Address	Oc	This field holds the original, unmodified address of the originator of the SM, as received by the SMS node, in case address manipulation (such as number plan corrections) have been applied in the SMS node.	
SM Originator Interface	Ом	This field contains information describing the interface on which the SM was received by the SMS node. In case the charging event is for application to person messaging or for application to application messaging (see clause 5.1.1) this field holds the identification of the application sending the SM.	
SM Originator Protocol Id	Oc	This field holds the TP-PROTOCOL-ID (TP-PID) as defined in TS 23.040 [7] describing the protocol used for the SM by originator.	
Recipient Info	Ом	This field is a grouped field and holds recipient information for the SM. Multiple occurrences of this field are allowed in case: - multiple recipients are associated with the charged event and - all other charging information is identical for all recipients.	
Recipient IMSI	Oc	This field holds the IMSI of the recipient of the SM, as received by the SMS Node, if available.	
Recipient MSISDN	Oc	This field holds the MSISDN of the recipient of the SM, as received by the SMS Node, if available.	
Recipient Other Address	Oc	This field holds the address of the recipient of the SM, as received by the SMS Node, when different from IMSI and MSISDN, if available: e.g. email, short code, external identifier. Multiple addresses may be carried.	
Recipient Received Address	Oc	This field holds the original, unmodified address of the recipient of the SM, as received by the SMS node, in case address manipulation (such as number plan corrections) have been applied in the SMS node.	
SM Destination Interface	Ом	This is a structured field containing information describing the interface on which the SM is to be delivered (i.e. the next hop). In case the charging event is for person to application messaging or for application to application messaging (see clause 5.1.1) this field holds the identification of the application.	
Served IMEI	Oc	The field holds IMEI or IMEISV of the UE, if available	
Event Time stamp	М	This field holds the timestamp of when the submitted SM arrived at the SMS-SC.	
Message Reference	М	This field holds the identity used to identify an SM in the SMS node associated with entity that submitted it, and corresponds to the TP-Message-Reference (TP-MR) as defined in TS 23.040 [7].	
SM Total Number	Oc	This field holds the total number of short messages when this SM is part of concatenated short message. This field is present only in case of concatenated short message.	
SM sequence Number	Oc	This field holds the sequence number of this SM within the concatenated short message when applicable. This field is present only in case of concatenated short message.	
Message size	Oc	This field holds the length of the user data part of the SM, and corresponds to the TP-User-Data-Length (TP-UDL) as defined in TS 23.040 [7].	
Message Class	Ом	Used as defined in TS 32.270 [13]. It is implementation dependent the value selected for a specific transaction.	

Field	Category	Description
SM Delivery Report		This field holds indication whether a delivery report is requested by the SM
Requested	Oc	originator, and corresponds to the TP-Status-Report-Request (TP-SRR) as
		defined in TS 23.040 [7].
SM Data Coding Scheme	Ом	This field holds the data coding scheme used within the SM. The information to
	Ом	populate this field is obtained from TP-DCS header.
SM Message Type	Ом	This field identifies the message that triggered the generation of charging information.
SM Reply Path	0	This field holds an indication of whether a reply SM to an original SM shall
Requested	Oc	follow the same path as identified by the TP-Reply-Path (TP-RP) flag.
SM User Data Header	0	This field carries the user data header extracted from the user data of the SM.
	Oc	The user data header (TP-UDH) is specified in TS 23.040 [7]
User Location Info		This field holds the information about the location of the subscriber as defined
		in TS 29.061 [203] "3GPP-User-Location-Info"during the SMS transaction, in
	Oc	case of Mobile Originating message, if available.
		"NCGI", "5GS TAI", "5GS TAI and NCGI", "NG-RAN Node ID" and "5GS TAI
		and NG-RAN Node ID" values are applicable.
RAT Type	Oc	This field holds the Radio Access Technology (RAT) type used for the SMS
	06	transaction, in case of Mobile Originating message, if available.
UE Time Zone		This field indicates the offset between universal time and local time in steps of
	Oc	15 minutes of where the UE currently resides, in case of Mobile Originating
		message, if available.
SMS Result	С	The field holds the result of the attempted SM submission, if unsuccessful.
Record extensions	Oc	A set of network/ manufacturer specific extensions to the record, when available.

## 6.1.3.4 SC-SMT CDR content

The content of SC-SMT CDR is defined in table 6.1.3.4.1.

## Table 6.1.3.4.1: SC-SMT record

Field	Category	Description		
Record Type	M	SMS-SC SMS Terminated.		
SMS Node Address	М	This field holds the address (e.g. E.164) of the SMS-service centre sending the Charging Data Request used for producing the record. (SMSC Address).		
Recipient Info	Ом	This field is a grouped field and holds information on the recipient for the SM.		
Recipient IMSI	Ом	The IMSI of the subscriber the short message was delivered to, in case of		
		Mobile Terminating message, if available.		
Recipient MSISDN	Oc	The primary MSISDN of the subscriber the short message was delivered to, if available.		
Recipient Other Address	Oc	This field holds the address of the recipient of the SM, when different from IMSI and MSISDN, if available: e.g. email, short code. Multiple addresses may be carried. This field holds the external identifier of the recipient of the SM, when the SM is		
Recipient SCCP	Oc	to be delivered for Device Trigger, if available. This field holds the SCCP called address used by the SMS node to onward		
Address		deliver the SM.		
Recipient Received Address	Oc	This field holds the original, unmodified address of the recipient of the SM, as received by the SMS node, in case address manipulation (such as number plan corrections) have been applied in the SMS node.		
SM Destination Interface	Ом	This is a structured field containing information describing the interface on which the SM was requested to be delivered (i.e. the next hop). In case the charging event is for person to application messaging or for application to application messaging (see clause 5.1.1) this field holds the identification of the application. In case the SM is for Device Trigger, thisfield is not present.		
SM Recipient Protocol Id	Oc	This field holds the TP-PROTOCOL-ID (TP-PID) as defined in TS 23.040 [7]. In case the SM is for Device Trigger, this field holds the "Device Triggering Short Message code".		
Originator Info	Ом	This field is a grouped field and holds information on the originator of the SM, if available.		
Originator IMSI	Ом	This field holds the IMSI of the subscriber originator of the SM, if available.		
Originator MSISDN	Oc	This field holds the MSISDN of the subscriber originator of the SM, if available.		
Originator Other Address	Ом	This field holds the address of the originator of the SM, when different from IMSI and MSISDN, if available: e.g. short-code. Multiple addresses may be carried. In case the SM is for Device Trigger, this field holds the SME address of the Service Capability Server that is requesting a device trigger to the UE as specified in TS 29.337 [18].		
Originator Received Address	Oc	This field holds the original, unmodified address of the originator of the SM, as received by the SMS node, in case address manipulation (such as number plan corrections) have been applied in the SMS node.		
SM Originator Interface	Oc	This field contains information describing the interface on which the SM was received by the SMS node. In case the charging event is for application to person messaging or for application to application messaging (see clause 5.1.1) this field holds the identification of the application sending the SM. In case the SM is for Device Trigger, thisfield is not present.		
Served IMEI	o <sub>C</sub>	The field holds IMEI or IMEISV of the UE, if available.		
Submission Time	Oc	This field holds the timestamp of when the submitted SM arrived at the originating SMS Node. The information to populate this field is obtained from the TP-Service-Center-Time-Stamp (TP-SCTS) as defined in TS 23.040 [7].		
Event Time stamp	М	This field holds the timestamp of result (successful or unsuccessful) of SM delivery: last result in case of multiple retries.		
SM Priority	Oc	This field holds any priority information associated with an SM, as defined in TS 23.040 [7].		
Message Reference	Ом	This field holds the identity used to identify an SM in the SMS node associated with entity that submitted it, and corresponds to the TP-Message-Reference (TP-MR) as defined in TS 23.040 [7]. This information is only applicable to delivery report charging procedures.		
SM Total Number	Oc	This field holds the total number of short messages when this SM is part of concatenated short message. This field is present only in case of concatenated short message.		
SM Sequence Number	Oc	This field holds the sequence number of this SM within the concatenated short message when applicable. This field is present only in case of concatenated short message.		

Field	Category	Description
Message size	Oc	This field holds the length of the user data part of the SM, and corresponds to the TP-User-Data-Length (TP-UDL) as defined in TS 23.040 [7].
Message Class	Ом	Used as defined in TS 32.270 [13]. It is implementation dependent the value selected for a specific transaction.
SM Delivery Report Requested	Oc	This field holds indication whether a delivery report was requested by the SM originator, and corresponds to the TP-Status-Report-Indication (TP-SRI) as defined in TS 23.040 [7].
SM Data Coding Scheme	Ом	This field holds the data coding scheme used within the SM. The information to populate this field is obtained from TP-DCS header.
SM Message Type	Ом	This field identifies the message that triggered the generation of charging information.
SM Reply Path Requested	Oc	This field holds an indication of whether a reply SM to an original SM was requested to follow the same path as identified by the TP-Reply-Path (TP-RP) flag.
SM User Data Header	Oc	This field carries the user data header extracted from the user data of the SM. The user data header (TP-UDH) is specified in TS 23.040 [7].
SM Status	Oc	This field holds the information from the TP-Status field in a Status-Report TPDU. This information is only applicable to delivery report charging procedures.
SM Discharge Time	Oc	This field holds the time associated with the event being reported in the SM Status field. This information is only applicable to delivery report charging procedures.
User Location Info	Oc	This field holds the information about the location of the subscriber as defined in TS 29.061 [203] "3GPP-User-Location-Info",during the SMS transaction, in case of Mobile Terminating message, if available. "NCGI", "5GS TAI", "5GS TAI and NCGI", "NG-RAN Node ID" and "5GS TAI and NG-RAN Node ID" values are applicable.
RAT Type	Oc	This field holds the Radio Access Technology (RAT) type as defined in TS 29.061 [203] "3GPP-RAT-type", used for the SMS transaction, in case of Mobile Terminating message, if available. "NG-RAN" value is applicable.
UE Time Zone	Oc	This field indicates the offset between universal time and local time in steps of 15 minutes of where the UE currently resides, in case of Mobile Terminating message, if available.
SMS Result	С	The field holds the result of the attempted SM delivery, if unsuccessful.
SM Device Trigger information	Oc	This field holds the set of information related to SMS submission to SMS-SC for Device Trigger.
SM DT Reference Number	Oc	This field holds the Reference Number related to the device trigger request, if available.
SMS Application Port ID	Oc	This field holds the Application Port ID of the triggering application for the device trigger request, if available.
Record extensions	Oc	A set of network/ manufacturer specific extensions to the record, when available.

### 6.1.3.5 SC-DVT-T4 CDR content

The content of SC-DVT-T4 CDR is defined in the table 6.1.3.5.1.

### Table 6.1.3.5.1: SC-DVT-T4 record

Field	Category	Description			
Record Type	M	Device Trigger over T4.			
SMS Node Address	М	This field holds the address (e.g. E.164) of the SMS-service centre sending the Charging Data Request used for producing the record. (SMSC Address)			
Event Time stamp	М	This field holds the timestamp of when the Device Trigger request arrived at the SMS-SC.			
Originator Info	OM	This field is a grouped field and holds information on originator of the Device Trigger.			
Originator Other Address	Ом	This field holds the SME address of the Service Capability Server that is requesting a device trigger to the UE as specified in TS 29.337 [18],			
Recipient Info	Ом	This field is a grouped field and holds recipient information for the SM to be delivered.			
Recipient IMSI	Ом	This field holds the IMSI of the recipient of the SM to be delivered for Device Trigger, as received in the User Identifier from MTC-IWF, specified in TS 29.337 [18].			
Recipient MSISDN	Oc	This field holds the MSISDN of the recipient of the SM to be delivered for Device Trigger, as received in the User Identifier from MTC-IWF, specified in TS 29.337 [18], if available.			
Recipient Other Address	Oc	This field holds the the external identifier of the recipient of the SM to be delivered for Device Trigger, as received in the User Identifier from MTC-IWF, specified in TS 29.337 [18], if available.			
SM Device Trigger Indicator	Oc	This field holds indication on the device trigger submission to SMS-SC: trigger, replace or recall.			
SM Device Trigger information	Oc	This field holds the set of information related to Device Trigger submission to SMS-SC.			
MTC IWF Address	Oc	This field holds the MTC IWF address from which device trigger is received.			
SM DT Reference Number	Oc	This field holds the Reference Number related to the device trigger request, if available.			
SM Old DT Reference Number	Oc	This field holds the Reference Number related to the device trigger intended to be replaced or recalled in the request. This information element shall be present if SM Device Trigger Indicator is recall or replace.			
SM Serving Node	Oc	This field holds the serving node identity, i.e. SGSN/MME/MSC identity serving the UE, as received from MTC-IWF, if available.			
SM DT Validity Period	Oc	This field holds the validity period of the device trigger request, if available.			
SM DT Priority Indication	Oc	This field holds the priority of the device trigger request, if available.			
SMS Application Port ID	Oc	This field holds the Application Port ID of the triggering application for the device trigger request, if available.			
Result	М	This field holds the result of Device Trigger request to SMS-SC			
Record extensions	Oc	A set of network/ manufacturer specific extensions to the record, when available.			

# 6.1.3.6 SC-SMO-T4 CDR content

The content of SC-SMO-T4 CDR is defined in the table 6.1.3.6.1.

### Table 6.1.3.6.1: SC-SMO-T4 record

Field	Category	Description			
Record Type	M	SMS originated via T4.			
SMS Node Address	М	This field holds the address (e.g. E.164) of the SMS-service centre sending the Charging Data Request used for producing the record. (SMSC Address)			
Originator Info	Ом	This field is a grouped field and holds information on originator of the SM.			
Originator IMSI	OM	This field holds the IMSI of the subscriber sending the short message, if available.			
Originator SCCP Address	Oc	This field holds the SCCP calling address used to receive the SM at the SMS node, when applicable.			
SM Originator Protocol Id	Oc	This field holds the TP-PROTOCOL-ID (TP-PID) as defined in TS 23.040 [7] describing the protocol used for the SM by originator.			
Recipient Info	Ом	This field is a grouped field and holds recipient information for the SM.			
Recipient Other Address	Oc	This field holds the SME address of the SCS/AS, recipient of the SM, as received by the SMS-SC.			
Served IMEI	Oc	The field holds IMEI or IMEISV of the UE, if available			
Event Time stamp	М	This field holds the timestamp of when the submitted SM arrived at the SMS-SC.			
Message Reference	М	This field holds the identity used to identify an SM in the SMS node associated with entity that submitted it, and corresponds to the TP-Message-Reference (TP-MR) as defined in TS 23.040 [7].			
Message size	Oc	This field holds the length of the user data part of the SM, and corresponds to the TP-User-Data-Length (TP-UDL) as defined in TS 23.040 [7].			
Message Class	Ом	Used as defined in TS 32.270 [13]. It is implementation dependent the value selected for a specific transaction.			
SM Delivery Report Requested	Oc	This field holds indication whether a delivery report is requested by the SM originator, and corresponds to the TP-Status-Report-Request (TP-SRR) as defined in TS 23.040 [7].			
SM Data Coding Scheme	Ом	This field holds the data coding scheme used within the SM. The information to populate this field is obtained from TP-DCS header.			
SM Message Type	Ом	This field identifies the message that triggered the generation of charging information, indicating "MO-SMS via T4 submission"			
SM Reply Path Requested	Oc	This field holds an indication of whether a reply SM to an original SM shall follow the same path as identified by the TP-Reply-Path (TP-RP) flag.			
SM User Data Header	Oc	This field carries the user data header extracted from the user data of the SM. The user data header (TP-UDH) is specified in TS 23.040 [7]			
User Location Info	Oc	This field holds the information about the location of the subscriber during the SMS transaction, if available.			
RAT Type	Oc	This field holds the Radio Access Technology (RAT) type used for the SMS transaction, if available.			
UE Time Zone	Oc	This field indicates the offset between universal time and local time in steps of 15 minutes of where the UE currently resides, if available.			
SMS Result	С	The field holds the result of the attempted SM submission, if unsuccessful.			
MTC IWF Address	Oc	This field holds the MTC IWF address used by the SMS-SC for the Mobile Originating message transfer to the SCS/AS.			
SMS Application Port ID	Oc	This field holds the Application Port ID of the Mobile Originating message handled by the SMS-SC.			
External Identifier	Ом	This field holds the External Identifier associated to the sender of the Mobile Originated short message, if available.			
Record extensions	Oc	A set of network/ manufacturer specific extensions to the record, when available.			

### 6.1.3.7 ISM-SMO CDR content

The content of ISM-SMO CDR is defined in the table 6.1.3.7.1.

#### Table 6.1.3.7.1: ISM-SMO record

Field	Category	Description	
Record Type	М	IP-SM-GW SMS originated.	
SMS Node Address	М	This field holds the address of the IP-SM-GW sending the Charging Data Request used for producing the record.	
Originator Info	Ом	This field and sub-fields are described in table 6.1.3.3.1	
Recipient Info	Ом	This field and sub-fields are described in table 6.1.3.3.1	
Subscriber Equipment Number	Oc	This field holds the subscriber equipment information.	
Event Time stamp	М	Described in table 6.1.3.3.1	
Message Reference	М	Described in table 6.1.3.3.1	
SM Total Number	Oc	Described in table 6.1.3.3.1	
SM sequence Number	Oc	Described in table 6.1.3.3.1	
Message size	Oc	Described in table 6.1.3.3.1	
Message Class	Ом	Described in table 6.1.3.3.1	
SM Delivery Report Requested	Oc	Described in table 6.1.3.3.1	
SM Data Coding Scheme	OM	Described in table 6.1.3.3.1	
SM Message Type	Ом	Described in table 6.1.3.3.1	
SM Reply Path Requested	Oc	Described in table 6.1.3.3.1	
SM User Data Header	Oc	Described in table 6.1.3.3.1	
SMS Result	С	Described in table 6.1.3.3.1	
User Location Info	Oc	Described in table 6.1.3.3.1	
RAT Type	Oc	Described in table 6.1.3.3.1	
UE Time Zone	Oc	Described in table 6.1.3.3.1	
PDP Address	Oc	This field holds the IP address used by the subscriber for the SMS transaction.	
User Session Id	Oc	This field holds the session identifier. For a SIP session the Session-ID contains the SIP Call ID.	
Number Portability routing information	Oc	This field includes information on number portability after DNS/ENUM request from S-CSCF in the sms originator user's home network.	
Carrier Select routing information	Oc	This field includes information on carrier select after DNS/ENUM request from S-CSCF in the sms originator user's home network.	
Record extensions	Oc	A set of network/ manufacturer specific extensions to the record, when available.	

# 6.1.3.8 ISM-SMT CDR content

The content of ISM-SMT CDR is defined in table 6.1.3.8.1.

### Table 6.1.3.8.1: ISM-SMT record

Field	Category	Description
Record Type	M	IP-SM-GW SMS Terminated.
SMS Node Address	М	This field holds the address of the IP-SM-GW sending the Charging Data
		Request used for producing the record.
Recipient Info	Ом	This field and sub-fields are described in table 6.1.3.4.1, except for Device
		trigger which is not applicable.
Originator Info	Ом	This field and sub-fields are described in table 6.1.3.4.1, except for Device
_		trigger which is not applicable.
Subscriber Equipment	Oc	This field holds the subscriber equipment information.
Number		
Submission Time	Oc	Described in table 6.1.3.4.1
Event Time stamp	М	Described in table 6.1.3.4.1
SM Priority	Oc	Described in table 6.1.3.4.1
Message Reference	Ом	Described in table 6.1.3.4.1
SM Total Number	Oc	Described in table 6.1.3.4.1
SM Sequence Number	Oc	Described in table 6.1.3.4.1
Message size	Oc	Described in table 6.1.3.4.1
Message Class	Ом	Described in table 6.1.3.4.1
SM Delivery Report	Oc	Described in table 6.1.3.4.1
Requested		
SM Data Coding	Ом	Described in table 6.1.3.4.1
Scheme		
SM Message Type	Ом	Described in table 6.1.3.4.1
SM Reply Path	Oc	Described in table 6.1.3.4.1
Requested		
SM User Data Header	Oc	Described in table 6.1.3.4.1
SM Status	Oc	Described in table 6.1.3.4.1
SM Discharge Time	Oc	Described in table 6.1.3.4.1
SMS Result	С	Described in table 6.1.3.4.1
User Location Info	Oc	Described in table 6.1.3.4.1
RAT Type	Oc	Described in table 6.1.3.4.1
UE Time Zone	Oc	Described in table 6.1.3.4.1
PDP Address	Oc	This field holds the IP address used by the subscriber for the SMS transaction.
User Session Id	Oc	This field holds the session identifier. For a SIP session the Session-ID
		contains the SIP Call ID.
Number Portability	Oc	This field includes information on number portability after DNS/ENUM request
routing information		from S-CSCF in the sms recipient user's home network.
Carrier Select routing	Oc	This field includes information on carrier select after DNS/ENUM request from
information		S-CSCF in the sms recipient user's home network.
Record extensions	Oc	A set of network/ manufacturer specific extensions to the record, when
		available.

# 6.2 Data description for SMS online charging

### 6.2.1 R<sub>o</sub> message contents

#### 6.2.1.0 Introduction

The SMS node generates Debit / Reserve Units information that can be transferred from the CTF to the OCF. For this purpose, SMS online charging utilizes the *Debit Units and Reserve Units* procedure that is specified in the 3GPP Debit / Reserve Units operation in TS 32.299 [4].

The SMS node generates refund information that can be transferred from the CTF to the OCF. For this purpose, it uses REFUND procedure defined in IETF RFC 4006 [12] with extended AVPs.

The *Debit / Reserve Units* procedure employs the *Debit / Reserve Units Request* and *Debit / Reserve Units Response* messages.

The Refund Account procedure employs the Debit Units Request (Refund Account) request and response messages.

Table 6.2.1.0.1 describes the use of these messages for SMS online charging.

#### Table 6.2.1.0.1: SMS online charging messages contents

Command-Name	Source	Destination
Debit / Reserve Units Request	CTF	OCS
Debit / Reserve Units Response	OCS	CTF

This clause describes the different fields used in the Debit / Reserve Units Request and Debit / Reserve Units Reponse messages and the category in the tables are used according to the charging data configuration defined in clause 5.4 of TS 32.240 [2].

Detailed descriptions of the fields are provided in TS 32.299 [4].

## 6.2.1.1 Summary of message formats

## 6.2.1.2 Structure for the Credit-Control message formats

### 6.2.1.2.1 Debit/Reserve Units Request message

Table 6.2.1.2.1.1 illustrates the basic structure of a *Debit / Reserve Units Request* message from SMS node as used for SMS online charging.

Table 6.2.1.2.1.1: Debit / Reserve Units Request message contents for SMS

Information Element	Category	Description	
Session Identifier	М	This field identifies the operation session.	
Originator Host	М	This field contains the identification of the source point of the operation.	
Originator Domain	М	This field contains the realm of the operation originator.	
Destination Domain	М	This field contains the realm of the operation destination.	
Operation Identifier	М	This field is a unique operation identifier.	
Operation Token	М	This field contains the service context, i.e. SMS charging.	
Operation Type	М	This field defines the transfer type: event for immediate event based charging and	
		initial, terminate for ECUR based charging.	
Operation Number	М	This field contains the sequence number of the transferred messages.	
Destination Host	Oc	This field contains the identification of the destination point of the operation.	
User Name	Oc	This field contains the identification of the source node.	
Origination State	Oc	Used for ECUR only.	
Origination Timestamp	Oc	This field contains the time when the operation is requested.	
Subscriber Identifier	OM	This field contains the identification of the subscriber (i.e. MSISDN) that uses the	
		requested service.	
Termination Cause	Oc	This field contains information about the cause for termination of a Credit-Control	
		session. Used for terminating Credit-Control sessions in ECUR only.	
Requested-Action	Oc	This field contains the requested action, used for IEC only.	
Multiple Operation	OM	This field indicate the occurrence of multiple operations.	
Multiple Unit Operation	Oc	This field contains the parameter for the quota management.	
Subscriber Equipment Number	Oc	This field contains the identification of the user equipment used to access service.	
		Included if information is made available to the node.	
Proxy Information	Oc	This field contains the parameter of the proxy.	
Route Information	Oc	This field contains the parameter of the route.	
Service Information	OM	This field holds the SMS specific parameter and is described in clause 6.3.	

### 6.2.1.2.2 Debit / Reserve Units Response message

Table 6.2.1.2.2.1 illustrates the basic structure of a Debit / Reserve Units Response message as used for SMS charging. This message is always used by the OCS as specified below, independent of the receiving SMS node and the operation type that is being replied to.

Information Element	Category	Description	
Session Identifier	М	This field identifies the operation session.	
Operation Result	М	This field identifies the result of the operation.	
Originator Host	М	This field contains the identification of the source point of the operation.	
Originator Domain	М	This field contains the realm of the operation originator.	
Operation Identifier	М	This field is a unique operation identifier.	
Operation Type	М	This field defines the transfer type: event for event based charging and start, interim, stop for session based charging.	
Operation Number	М	This field contains the sequence number of the transferred messages.	
Operation Failover	-	Not used for SMS in 3GPP.	
Multiple Unit Operation	Oc	This field contains the parameter for the quota management. Used in IEC for refun purpose and in ECUR.	
Operation Failure Action	Oc	This field defines the resulting operation at the SMS node if a failure has occurred a the OCS for ECUR.	
Operation Event Failure Action	Oc	This field defines the resulting operation at the SMS node if a failure has occurred at the OCS for IEC.	
Redirection Host	Oc		
Redirection Host Usage	Oc		
Redirection Cache Time	Oc		
Proxy Information	Oc	This field contains the parameter of the proxy.	
Route Information	Oc	This field contains the parameter of the route.	
Failed parameter	Oc	This field contains missing and/or unsupported parameter that caused the failure.	
Service Information	Oc	This field contains SMS specific information.	

Editor's Note: The mechanism to carry refund information is For Future Study.

# 6.2a Data description for SMS converged charging

# 6.2a.1 Message contents

### 6.2a.1.1 General

The Charging Data Request and Charging Data Response are specified in TS 32.290 [57] and include charging information. The Charging Data Request can be of type [Event, Initial, Termination].

Table 6.2a.1.1.1 describes the use of these messages for converged charging.

### Table 6.2a.1.1.1: Converged charging messages reference table

Message	Source	Destination
Charging Data Request	SMSF	CHF
Charging Data Response	CHF	SMSF

The following clauses describe the different fields used in the Charging Data messages and the category in the tables is used according to the charging data configuration defined in clause 5.4 of TS 32.240 [2].

### 6.2a.1.2 Structure for the converged charging message formats

### 6.2a.1.2.1 Charging Data Request message

Table 6.2a.1.2.1.1 illustrates the basic structure of a Charging Data Request message as used for SMS converged charging.

Information Element	Category	Description
Session Identifier	Oc	Described in TS 32.290 [57]
Subscriber Identifier	Ом	Described in TS 32.290 [57]
NF Consumer Identification	М	Described in TS 32.290 [57]
NF Functionality	М	Described in TS 32.290 [57]
NF Name	Oc	Described in TS 32.290 [57]
NF Address	Oc	Described in TS 32.290 [57]
NF PLMN ID	Oc	Described in TS 32.290 [57]
Invocation Timestamp	М	Described in TS 32.290 [57]
Invocation Sequence Number	М	Described in TS 32.290 [57]
Retransmission Indicator	-	This field is not applicable.
One-time Event	O <sub>C</sub>	Described in TS 32.290 [57]
One-time Event Type	O <sub>C</sub>	Described in TS 32.290 [57]
Service Specification Information	Oc	Described in TS 32.290 [57]
Notify URI	Oc	This field is not applicable.
Triggers	-	This field is not applicable.
Multiple Unit Usage	Oc	This field is present when the number of
		units is beyond one (i.e. more than one
		SMS)
Rating Group	М	Described in TS 32.290 [57]
Requested Unit	Oc	Described in TS 32.290 [57]
Used Unit Container	Oc	This field holds SMS charging information
		when more than one SMS. It may have
		multiple occurrences.
SMS Charging Information	Ом	This field holds the SMS specific information
		described in clause 6.5.2

Table 6.2a.1.2.1.1: Charging Data Request message contents

### 6.2a.1.2.2 Charging Data Response message

Table 6.2a.1.2.2.1 illustrates the basic structure of a Charging Data Response message as used for SMS converged charging.

Information Element	Category	Description
Session Identifier	Oc	Described in TS 32.290 [57]
Invocation Timestamp	М	Described in TS 32.290 [57]
Invocation Result	Oc	Described in TS 32.290 [57]
Result code	Oc	Described in TS 32.290 [57]
Failed parameter	Oc	Described in TS 32.290 [57]
Failure Handling	Oc	Described in TS 32.290 [57]
Invocation Sequence Number	М	Described in TS 32.290 [57]
Session Failover	Oc	Described in TS 32.290 [57]
Triggers	Oc	This field is not applicable.
Multiple Unit information	Oc	This field is applicable for ECUR.
Result Code	Oc	Described in TS 32.290 [57]
Rating Group	OM	Described in TS 32.290 [57]
Granted Unit	Oc	Described in TS 32.290 [57]
Validity Time	Oc	Described in TS 32.290 [57]

Table 6.2a.1.2.2.1: Charging Data Response Message Contents

### 6.2a.2 G<sub>a</sub> message contents

Refer to clause 5.4.4.

# 6.2a.3 CDR description on the B<sub>sm</sub> interface

### 6.2a.3.1 General

This clause describes the CDR content and format generated for SMS converged charging.

The following tables provide a brief description of each CDR parameter. The category in the tables is used according to the charging data configuration defined in clause 5.4 of TS 32.240 [2]. Full definitions of the CDR parameters, sorted by the name in alphabetical order, are provided in TS 32.298 [3].

#### 6.2a.3.2 SMS charging CHF CDR data

If enabled, CHF CDRs for SMS charging shall be produced for SMS chargeable events.

The fields of SMS charging CHF CDR are specified in table 6.2a.3.2.1.

#### Table 6.2a.3.2.1: SMS charging CHF record data

Field	Category	Description
Record Type	М	Described in TS 32.298 [3]
Recording Network Function ID	OM	Described in TS 32.298 [3]
Subscriber Identifier	М	This field holds the 5G Subscription Permanent Identifier (SUPI) of the served party.
NF Consumer Information	М	This field holds the information of the SMSF that used the charging service.
NF Functionality	М	This field contains the function of the node (i.e. SMSF)
NF Name	O <sub>C</sub>	This field holds the name of the SMSF used.
NF Address	O <sub>C</sub>	This fields holds the IP Address of the SMSF used.
NF PLMN ID	Oc	This field holds the PLMN identifier (MCC MNC) of the SMSF.
Triggers	-	This field is not applicable.
List of Multiple Unit Usage	O <sub>M</sub>	This field is present when the number of units is beyond one (i.e. more than one SMS)
Record Opening Time	O <sub>C</sub>	Described in TS 32.298 [3]
Duration	М	Described in TS 32.298 [3]
Record Sequence Number	С	Described in TS 32.298 [3]
Cause for Record Closing	М	Described in TS 32.298 [3]
Local Record Sequence Number	OM	Described in TS 32.298 [3]
Record Extensions	O <sub>C</sub>	Described in TS 32.298 [3]
SMS Charging Information	Ом	This field holds the SMS specific information defined in clause 6.x

# 6.3 SMS charging specific parameters

# 6.3.1 Definition of the SMS charging information

### 6.3.1.1 SMS charging information assignment for Service Information

The components in the Service-Information that are use for SMS charging can be found in table 6.3.1.1.1

Table 6.3.1.1.1: Service-Information used for SMS Charging

Information Element	Category	
Service Information	Ом	This is a structured field and holds the 3GPP specific parameter as defined in TS 32.299 [4]. For SMS Charging the SMS-Information and selected parameters of MMS Information, PS-Information and IMS information are used.
SMS Information	Ом	This is a structured field and holds the SMS specific parameters. The details are defined in table 6.3.1.2.
MMS Information	Ом	This is a structure field and the following parameters are specific to SMS. The complete structure is defined in TS 32.270 [13]
Originator Address		This field holds the address of the originator of the SM. This is typically an E.164 number or a short-code. Multiple addresses may be carried if additional information is available, e.g. IMSI and E.164 number. This field holds the SME address of the SCS requesting a device trigger to the UE as specified in TS 29.337 [18], in case of SM for Device Trigger.
Submission Time	Oc	This field holds the timestamp of when the submitted SM arrived at the originating SMS Node. The information to populate this field is obtained from the TP-Service-Center-Time-Stamp (TP-SCTS) as defined in TS 23.040 [7]. If a refund or retransmission is required, the timestamp carries the timestamp associated with the original submitted SM.
Priority	Oc	This field holds any priority information associated with an SM. Applicable to terminating procedures only. Priority handling is defined in TS 23.040 [7]. The value "low" is not applicable.
Message Id	Ом	This field carries the identity used to identify an SM in the SMS node associated with entity that submitted it. The information to populate this field is obtained from the TP-Message-Reference (TP-MR) as defined in TS 23.040 [7].
Message Size	Ом	This field carries the length of the user data part of the SM. The information to populate this field is obtained from the TP-User-Data-Length (TP-UDL) as defined in TS 23.040 [7]
Message Class	Ом	Used as defined in TS 32.270 [13]. It is implementation dependent the value selected for a specific transaction.
Delivery Report Requested	Oc	This field indicates whether a delivery report is requested by the SM originator. The information to populate this field is obtained from the TP-Status-Report-Request (TP-SRR) as defined in TS 23.040 [7]
PS Information	Oc	This is a structured field and the following parameters are specific to SMS. The complete structure is defined in TS 32.251 [10].
PDP Address	Oc	This field holds the IP address used by the subscriber for the SMS transaction. Included if the SMS node is the IP-SM-GW.
3GPP User Location Info	Oc	This field holds the information about the location of the subscriber as defined in TS 29.061 [203] "3GPP-User-Location-Info", during the SMS transaction. "NCGI", "5GS TAI", "5GS TAI and NCGI", "NG-RAN Node ID" and "5GS TAI and NG-RAN Node ID" values are applicable.
3GPP RAT Type	Oc	This field holds information about the radio access technology as defined in TS 29.061 [203] "3GPP-RAT-type", used for the SMS transaction. "NG-RAN" value is applicable.
MS Time Zone	Oc	This field indicates the offset between universal time and local time in steps of 15 minutes of where the MS currently resides.
User Equipment Info	Oc	This field holds the identification of the terminal (IMEI or IMEISV)
IMS Information	Oc	This is a structured field and the following parameters are specific to SMS. The complete structure is defined in TS 32.260 [15].
User Session Id	Oc	This field holds the session identifier. For a SIP session the Session-ID contains the SIP Call ID.
Number Portability routing information	Oc	This field includes information on number portability after DNS/ENUM request from S-CSCF in the calling user's home network.
Carrier Select routing information	Oc	This field includes information on carrier select after DNS/ENUM request from S-CSCF in the calling user's home network.

# 6.3.1.2 Definition of the SMS Information

The components in the SMS Information that are used for SMS charging can be found in table 6.3.1.2.1

Table 6.3.1.2.1: SMS Information used for SMS Charging

Information Element	Category	Description
SMS Node	Ом	Identifies the SMS Node as IP-SM-GW or SMS Router or a combined IP- SM-GW / SMS Router or as SMS-SC.
SM Client Address	Ом	This field holds the address of the SMS node to which the charging system is connected to. This may be the same as the SMSC Address field.
Originator SCCP Address	Oc	This field holds the SCCP calling address used to receive the SM at the SMS node. Only present if SMSIP is not used for the inward connection.
Originator Received Address	Oc	This field holds the original, unmodified address of the originator of the SM, as received by the SMS node, in case address manipulation (such as number plan corrections) have been applied in the SMS node. This is typically an E.164 number or a short-code. Multiple addresses may be carried if additional information is available, e.g. IMSI and E.164 number.
Recipient Info	Oc	This field holds recipient information for the SM. Each occurrence of this field denotes a different recipient. Multiple occurrences of this field are allowed in case: - multiple recipients are associated with the charged event and - all other charging information is identical for all recipients. In case the SM contains a Delivery Report, as described in clause 5.3.2.3, this field identifies the recipient of this Delivery Report. This recipient information shall correspond to the originator information of the message that triggered this Delivery Report. (Note 2)
Recipient Address	Oc	This field holds the address of the recipient of the SM. This is typically an E.164 number or a short-code. Multiple addresses may be carried if additional information is available, e.g. short-code, IMSI, E.164 number, long/short code of the SCS/AS, or external identifier for Device Trigger.
Recipient Received Address	Oc	This field holds the original, unmodified address of the recipient of the SM, as received by the SMS node, in case address manipulation (such as number plan corrections) have been applied in the SMS node. This is typically an E.164 number or a short-code. Multiple addresses may be carried if additional information is available, e.g. short-code, IMSI, or E.164 number.
Recipient SCCP Address	Oc	This field holds the SCCP called address used by the SMS node to onward deliver the SM. Only present if SMSIP is not used for the outward connection.
SM Destination Interface	Ом	This is a structured field containing information describing the interface on which the SM is to be delivered (i.e. the next hop). In case the charging event is for person to application messaging or for application to application messaging (see clause 5.1.1) this field holds the identification of the application. (See also Note 3)
SM Protocol Id	Oc	This field holds the TP-PROTOCOL-ID (TP-PID) as defined in TS 23.040 [7]. This field relates to the recipient when charging MT SMS messages as specified in TS 32.240 [2].
SMSC Address	Ом	This field holds the address of the SMSC to which the originating or terminating SM is directed to.
SM Data Coding Scheme	Ом	This field holds the data coding scheme used within the SM. The information to populate this field is obtained from TP-DCS header.
SM Message Type	Ом	This field identifies the message that triggered the generation of charging information.
SM Originator Interface	Ом	This is a structured field containing information describing the interface on which the SM was received by the SMS node (i.e. the previous hop) In case the charging event is for application to person messaging or for application to application messaging (see clause 5.1.1) this field holds the identification of the application. (See also Note 3)
SM Protocol Id	Oc	This field holds the TP-PROTOCOL-ID (TP-PID) as defined in TS 23.040 [7]. This field relates to the originator when charging MO SMS messages as specified in TS 32.240 [2].
SM Reply Path Requested	Oc	This field carries an indication of whether a reply SM to an original SM shall follow the same path as identified by the TP-Reply-Path (TP-RP) flag.
SM User Data Header	Oc	This field carries the user data header extracted from the user data of the SM. The user data header (TP-UDH) is specified in TS 23.040 [7]
SM Status	Oc	This field holds the information from the TP-Status field in a Status-Report TPDU. This information is only applicable to delivery report charging procedures or where ECUR is employed.
SM Discharge Time	Oc	This field holds the time associated with the event being reported in the SM Status field. This information is only applicable to delivery report charging procedures.

Number of Messages Sent	Oc	Indicates the number of SMSs sent by the IMS application or the total number of short messages when this SM is part of concatenated short message, if applicable.
SM Service Type	Oc	This field indicates the type of SM service that caused the charging interaction. It is only applicable for SM supplementary service procedures.
SM Sequence Number	Oc	This field holds the sequence number of this SM within the concatenated short message when applicable. This field is present only in case of concatenated short message. This field is only for offline charging.
SMS result	С	The field holds the result of the attempted SM transaction, if unsuccessful. This field is only for offline charging.
SM Device Trigger Indicator	Oc	This field holds indication on the device trigger submission to SMS-SC: request, replace or recall.
SM Device Trigger information	Oc	This field holds the set of information related to SMS transaction for Device Trigger.
MTC IWF Address	Oc	This field holds the MTC IWF address which originated the device trigger.
SM DT Reference Number	Oc	This field holds the Reference Number related to the device trigger request, if available.
SM Serving Node	Oc	This field holds the serving node identity, i.e. SGSN/MME/MSC identity serving the UE, as received from MTC-IWF, if available.
SM DT Validity Period	Oc	This field holds the validity period of the device trigger request, if available.
SM DT Priority Indication	Oc	This field holds the priority of the device trigger request, if available.
SMS Application Port ID	Oc	This field holds the Application Port ID of the triggering application for the device trigger request, if available.
MTC IWF Address	Oc	This field holds the MTC IWF address used by the SMS-SC for the Mobile Originating message transfer to the SCS/AS.
SMS Application Port ID	Oc	This field holds the Application Port ID of the Mobile Originating message handled by the SMS-SC.
External Identifier	Ом	This field holds the External Identifier associated to the sender of the Mobile Originated short message, if available.

- NOTE 1: The case of multi-destinations of SMS refers to SMS and Internet Electronic Mail interworking as specified in clause 3.8 of TS 23.040 [7].
- NOTE 2: Implementations vary as to the originator address that is presented to an end user for a Delivery Report. Typically the originator address either identifies the SMS node that generated the Delivery Report or the originator address of a Delivery Report identifies the recipient of the original message that triggered this Report. It is expected that the charging event contains the information presented to the end user.
- NOTE 3: There is a distinction between short numbers (as conveyed in originator and/or recipient address fields) and the identification of SM applications (as carried in SM Originator Interface and/or SM Destination Interface). Short numbers are used by end users to address a service of an applications. Multiple short numbers may map to one application capable of multiple services. The identification of an application is how an application is know to the operator.

# 6.3.1A Detailed message format for offline charging

This clause specifies the charging data that are sent by the SMS Node in the Charging Data Request, with [Event] Operation Type.

When a particular field is not supported, this field is marked with "-".

Table 6.3.1A.1 illustrates the basic structure of the supported fields in Service Information of the *Charging Data Request* message for SMS offline charging.

	Node Type		SM	S-C		IP-SM	-GW
Information	CDR Type	SC-	SC-	SC-	SC-	ISM-SMO	ISM-SMT
Information		SMO	SMT	DVT-T4	SMO-T4		
Element	Supported Operation	E	E	E	E	E	E
Session Identifier	Types	E	E	E	E	E	E
Originator Host		E	E	E	E	E	E
		Ē	E	E			E
Originator Realm					E	E	
Destination Domain		E	E	E	E	E	E
Operation Type		E	E	E	E	E	E
Operation Number		E	Е	E	E	E	E
Operation Identifier		E	E	E	E	E	E
User Name		Е	E	E	E	Ш	E
Destination Host		E	E	E	E	E	E
Operation Interval		-	-	-	-	-	-
Origination State		Е	E	E	E	E	E
Origination Timestar	mp	E	E	E	E	E	E
Proxy Information	iip	E	E	E	E	E	E
Route Information		E	E	E	E	E	E
Operation Token		E E	E	E	E	E	E
	n with MMS, PS, IMS and	i sivis info	ormation	1			
SMS Node		-	-	-	E	-	-
SM Client Address		E	E	E	E	E	E
Originator SCCP Ad	ldress	E	E	-	E	E	E
Originator Received	Address	E	Е	-	E	E	E
Recipient Info		E	E	E	E	E	E
SMSC Address		-	-	-	-	-	-
SM Data Coding Sc	heme	E	Е	-	E	E	E
SM Message Type		E	E	E	Ē	E	E
SM Originator Interfa	200	-	E	-	-	-	E
SM Protocol Id	ace	E	-	-	E	E	-
	upstod	E	E	-	E	E	E
SM Reply Path Req				-			
SM User Data Head	ler	E	E	-	E	E	E
SM Status		-	E	-	E	-	E
SM Discharge Time		-	E	-	-	-	E
Number of Message	es Sent	E	E	-	-	E	E
SM Service Type		-	-	-	-	-	-
SMS result		Е	E	E	E	E	E
SM Sequence Numb	ber	E	E	-	-	E	E
SM Device Trigger I	ndicator	-	-	E	E	-	-
SM Device Trigger in		-	E	E	E	-	E
Originator Address		E	E	E	E	E	E
Submission Time		E	E	E	E	E	E
Priority		-	E		-	 -	E
Message Id		E	E	-	E	E	E
				-			E
Message Size		<u> </u>	E	-	E	E	
Message Class		E	E	-	E	E	E
Delivery Report Reg	uested	E	E	-	E	E	E
PDP Address		-	-	-	-	E	E
3GPP User Location	E	E	-	E	E	E	
3GPP RAT Type	E	E	-	E	E	E	
MS Time Zone		Е	E	-	E	E	E
User Equipment Info	)	Е	Е	-	E	E	E
User Session Id	-	-	-	-	E	E	
Number Portability r	-	-	-	-	E	E	
Carrier Select routin	-	-	-	-	E	E	
MTC IWF Address	y mornation		-				
		-	-		E	-	-
OMO Asself of D							
SMS Application Po External Identifier	rt ID	-	-	-	E E	-	-

# 6.3.2 Formal parameter description

### 6.3.2.1 SMS charging information for CDRs

The detailed definitions, abstract syntax and encoding of the SMS CDR parameters are specified in TS 32.298 [3].

### 6.3.2.2 SMS charging information for charging events

The detailed charging event parameter definitions are specified in TS 32.299 [50].

# 6.3.3 Detailed message format for online charging

The Operation types are listed in the following order: I [initial]/ T [terminate]/E [event]. Therefore, when all Operation types are possible it is marked as ITE. If only some Operation types are allowed for a node, only the appropriate letters are used (i.e. IT or E) as indicated in the table heading. The omission of an Operation type for a particular field is marked with "-" (i.e. I-E). Also, when an entire field is not allowed in a node the entire cell is marked as "-".

Table 6.3.3.1 illustrates the basic structure of the supported fields in the Debit / Reserve Units Request for SMS, T4 Device Triggering, and for MO-SMS via T4 submission, online charging.

### Table 6.3.3.1: Supported fields in Debit / Reserve Units Request message

Information Element	Service Type	SMS	T4 Device Triggering	MO-SMS T4
	Supported Operation Types	I/T/E	E	I/T
Session Identifier		ITE	E	IT
Originator Host		ITE	E	IT
Originator Domain		ITE	E	IT
Destination Domain		ITE	E	IT
Operation Identifier		ITE	E	IT
Operation Token		ITE	E	IT
Operation Type		ITE	E	IT
Operation Number		ITE	E E	IT
Destination Host User Name		ITE ITE	E	IT IT
		ITE		
Origination State Origination Timestamp		ITE	- E	IT IT
Subscriber Identifier		ITE	E	IT
Termination Cause		-T-	<u>_</u>	-T
Requested Action		E	E	-
Multiple Operation		ITE	E	IT
Multiple Unit Operation		ITE	E	IT
Subscriber Equipment N	umber	ITE	-	IT
Proxy Information	under	ITE	E	IT
Route Information		ITE	E	IT
Service Information		ITE	E	IT
	h MMS, PS, IMS and SMS Info			11
SMS Node	II MINIS, FS, INIS and SMS IIIO	ITE	-	IT
SM Client Address		ITE	E	IT
Originator Info		ITE	E	IT
Recipient Info		ITE	E	IT
SMSC Address		ITE		11
SM Data Coding Scheme		ITE	-	- IT
SM Message Type	5	ITE	E	IT
SM Originator Interface		ITE		-
SM Protocol Id		ITE	-	IT
SM Reply Path Requeste	2d	ITE		IT
SM User Data Header	,u	ITE	-	IT
SM Status		ITE	-	IT
SM Discharge Time		ITE	-	-
Number of Messages Se	nt	ITE	-	-
SM Service Type		ITE	-	-
SMS result		-	E	IT
SM Sequence Number		-	-	-
SM Device Trigger Indica	ator	ITE	E	-
SM Device Trigger inform		ITE	E	-
Originator Address		ITE		IT
Submission Time		ITE	E	IT
Priority		ITE	-	IT
Message Id		ITE	-	IT
Message Size		ITE	-	IT
Message Class		ITE	-	IT
Delivery Report Request	ed	ITE	-	IT
PDP Address	~~	ITE	-	-
3GPP User Location Info	)	ITE	-	IT
3GPP RAT Type		ITE	-	IT
MS Time Zone		ITE	-	IT
User Equipment Info		-	-	-
User Session Id		ITE	-	-
Number Portability routin	g information	ITE	-	-
Carrier Select routing info		ITE	-	-
MTC IWF Address		-	-	IT
SMS Application Port ID		-	-	IT

# 6.4 Bindings for SMS charging

This clause describes the mapping between the Service Information fields, AVPs and CDR parameters for SMS charging.

Table 6.4.1 describes the mapping of the Information Element, AVP and CDR parameter of SC-SMO and SC-SMT CDRs in SMS charging.

CDR parameter	Information Element	AVP
	Service Information	Service-Information
	SMS Information	SMS-Information
-	SMS Node	SMS-Node
SMS Node Address	SM Client Address	Client-Address
Originator SCCP Address	Originator SCCP Address	Originator-SCCP-Address
Originator Received Address	Originator Received Address	Originator-Received-Address
Recipient Info	Recipient Info	Recipient-Info
Recipient IMSI	Recipient Address	Recipient-Address
Recipient MSISDN	Recipient Address	Recipient-Address
Recipient Other Address	Recipient Address	Recipient-Address
Recipient Received Address	Recipient Received Address	Recipient-Received-Address
Recipient SCCP Address	Recipient SCCP Address	Recipient-SCCP-Address
SM Destination Interface	SM Destination Interface	Destination-Interface
SM Recipient Protocol Id	SM Protocol Id	SM-Protocol-Id
-	SMSC Address	SMSC-Address
SM Data Coding Scheme	SM Data Coding Scheme	Data-Coding-Scheme
SM Message Type	SM Message Type	SM-Message-Type
SM Originator Interface	SM Originator Interface	Originator-Interface
SM Originator Protocol Id	SM Protocol Id	SM-Protocol-Id
SM Reply Path Requested	SM Reply Path Requested	Reply-Path-Requested
SM User Data Header	SM User Data Header	SM-User-Data-Header
SM Status	SM Status	SM-Status
SM Discharge Time	SM Discharge Time	SM-Discharge-Time
SM Total Number	Number of Messages Sent	Number-of-Messages-Sent
	SM Service Type	SM-Service-Type
SMS result	SMS result	SMS-result
SM Sequence Number	SM Sequence Number	SM-Sequence-Number
SM Device Trigger Indicator	SM Device Trigger Indicator	SM-Device-Trigger-Indicator
SM Device Trigger information	SM Device Trigger information	SM-Device-Trigger-information
MTC IWF Address	MTC IWF Address	MTC-IWF-Address
SM DT Reference Number	SM DT Reference Number	Reference-Number
SM Serving Node SM DT Validity Period	SM Serving Node SM DT Validity Period	Serving-Node Validity-Time
SM DT Priority Indication	SM DT Priority Indication	Priority-Indication
SMS Application Port ID	SMS Application Port ID	Application-Port-Identifier
MTC IWF Address	MTC IWF Address	MTC-IWF-Address
SMS Application Port ID	SMS Application Port ID	Application-Port-Identifier
External Identifier	External Identifier	External-Identifier
-	MMS Information	MMS-Information
Originator IMSI	Originator Address	Originator-Address
Originator MSISDN	Originator Address	Originator-Address
Originator Other Address	Originator Address	Originator-Address
Event Time stamp	Submission Time	Submission-Time
Submission Time	Submission Time	Submission-Time
SM Priority	Priority	Priority
Message Reference	Message Id	Message-ID
Message size	Message Size	Message-Size
Message Class	Message Class	Message-Class
SM Delivery Report Requested	Delivery Report Requested	Delivery-Report-Requested
-	PS Information	PS-Information
PDP Address	PDP Address	PDP-Address
User Location Info	3GPP User Location Info	3GPP-User-Location-Info
RAT Type	3GPP RAT Type	3GPP-RAT-Type
UE Time Zone	MS Time Zone	3GPP-MS-Time-Zone
Served IMEI	User Equipment Info	User-Equipment-Info
Subscriber Equipment Number	User Equipment Info	User-Equipment-Info
-	IMS Information	IMS-Information
User Session Id	User Session Id	User-Session-Id
Number Portability routing	Number Portability routing	Number-Portability-routing-
information	information	information
Carrier Select routing	Carrier Select routing	Carrier-Select-routing-
information	information	information

 Table 6.4.1: Bindings of CDR parameter, Information Element and AVP

# 6.5 Definition of the SMS converged charging information

# 6.5.1 General

The Charging Information parameter used for SMS converged charging is provided in the following sub-clauses.

# 6.5.2 Definition of SMS charging information

SMS specific charging information used for SMS converged charging is provided within the SMS charging Information.

Information Element	Category	Description
Originator Info	OM	This field is a grouped field and holds information on originator of
	OM	the SMS
Originator SUPI	OM	This field holds the SUPI of the originator of the SMS, if available.
		This field is present if different from subscriber identifier field.
Originator GPSI	Oc	This field holds the GPSI of the originator of the SMS, if available.
Originator Other Address		This field holds the address of the originator of the SMS, when
	Ом	different from SUPI and GPSI, if available: e.g. email, short code.
		This field may have multiple occurences.
Originator Received Address	Oc	Described in table 6.3.1.2.1
Originator SCCP Address	Oc	Described in table 6.3.1.2.1
SM Originator Interface	Ом	Described in table 6.3.1.2.1
SM Originator Protocol Id	Oc	Described in table 6.3.1.2.1: SM Protocol Id information element
Recipient Info	Oc	Described in table 6.3.1.2.1
Recipient SUPI	OM	This field holds the SUPI of the recipient of the SMS, if available.
		This field is present if different from subscriber identifier field.
Recipient GPSI	Oc	This field holds the GPSI of the recipient of the SMS, if available.
Recipient Other Address	Oc	This field holds the address of the recipient of the SMS, when
		different from SUPI and GPSI, if available: e.g. email, short code.
		This field may have multiple occurences
Recipient Received Address	Oc	Described in table 6.3.1.2.1
Recipient SCCP Address	Oc	Described in table 6.3.1.2.1
SM Destination Interface	Ом	Described in table 6.3.1.2.1
SM Recipient Protocol Id	Oc	Described in table 6.3.1.2.1: SM Protocol Id information element.
User Equipment Info	Oc	This field holds the identification of the terminal (i.e. PEI, MAC
		Address) used by the UE the SMS transaction, if available.
Roamer In Out	Oc	This field holds an indication of the UE is an in-bound roamer. This
		field is present only if UE is identified as a roamer.
User Location Info	Oc	Described in table 6.3.1.2.1
UE Time Zone	Oc	Described in table 6.3.1.2.1
RAT Type	Oc	Described in table 6.3.1.2.1
SMSC Address	Ом	Described in table 6.3.1.2.1
SM Data Coding Scheme	Ом	Described in table 6.3.1.2.1
SM Message Type	Ом	Described in table 6.3.1.2.1
SM Reply Path Requested	Oc	Described in table 6.3.1.2.1
SM User Data Header	Oc	Described in table 6.3.1.2.1
SM Status	Oc	Described in table 6.3.1.2.1
SM Discharge Time	Oc	Described in table 6.3.1.2.1
Number of Messages Sent	Oc	Described in table 6.3.1.2.1
SM Service Type	Oc	Described in table 6.3.1.2.1
SM Sequence Number	Oc	Described in table 6.3.1.2.1
SMS result	С	Described in table 6.3.1.2.1
Submission Time	Oc	Described in table 6.3.1.2.1
SM Priority	Oc	Described in table 6.3.1.2.1
Message Reference	OM	Described in table 6.3.1.2.1
Message Size	Ом	Described in table 6.3.1.2.1
Message Class	OM	Described in table 6.3.1.2.1
Delivery Report Requested	Oc	Described in table 6.3.1.2.1

#### Table 6.5.2.1: Structure of SMS Charging information

# 6.5.3 Detailed message format for converged charging

The following clause specifies per Operation Type the charging data that are sent by SMS Node for 5G SMS converged charging.

The Operation Types are listed in the following order: I (Initial)/T (Termination)/E (Event). Therefore, when all Operation Types are possible it is marked as ITE. If only some Operation Types are allowed for a node, only the

appropriate letters are used (i.e. IT or E) as indicated in the table heading. The omission of an Operation Type for a particular field is marked with "-" (i.e. I-E). Also, when an entire field is not allowed in a node the entire cell is marked as "-".

Table 6.5.3.1 defines the basic structure of the supported fields in the *Charging Data Request* message for SMS converged charging.

Information Element	Node Type	SMSF	
	Supported Operation Types	ITE	
Session Identifier		ITE	
Subscriber Identifier		ITE	
NF Consumer Identification		ITE	
Invocation Timestamp		ITE	
Invocation Sequence Number		ITE	
Retransmission Indicator		-	
One-time Event		E	
One-time Event Type		E	
Service Specification Information	า	ITE	
Notify URI		-	
Triggers		-	
Multiple Unit Usage		ITE	]
Rating Group			IT-
Requested Unit		İ	
Used Unit Container			IT-
SMS Charging Information			
Originator Info		ITE	
Recipient Info		ITE	
User Equipment Info		ITE	
Roamer In Out		ITE	
User Location Info		ITE	
UE Time Zone		ITE	
RAT Туре		ITE	
SMSC Address		ITE	
SM Data Coding Scheme		ITE	
SM Message Type		ITE	
SM Reply Path Requested		ITE	
SM User Data Header		ITE	
SM Status		ITE	
SM Discharge Time		ITE	
Number of Messages Sent		ITE	
SM Service Type		ITE	
SM Sequence Number		ITE	1
SMS result	ITE	1	
Submission Time	ITE	1	
SMPriority		ITE	1
Message Reference		ITE	1
Message Size		ITE	1
Message Class		ITE	1
Delivery Report Requested		ITE	1

Table 6.5.3.1: Supported fields in Charging Data Request message

Table 6.5.3.2 defines the basic structure of the supported fields in the *Charging Data Response* message for SMS converged charging.

#### Table 6.5.3.2: Supported fields in Charging Data Response message

Information Element	Node Type	SMSF
	Supported Operation Types	ITE
Session Identifier		ITE
Invocation Timestamp		ITE
Invocation Result		ITE
Invocation Sequence Number		ITE
Session Failover		
Triggers		-
Multiple Unit information		I-E
Result Code		
Rating Group		
Granted Unit		
Validity Time		

## 6.5.4 Formal SMS converged charging parameter description

### 6.5.4.1 SMS charging CHF CDR parameters

The detailed definitions, abstract syntax and encoding of the SMS charging CHF CDR parameters are specified in TS 32.298 [51].

### 6.5.4.2 SMS charging resources attributes

The detailed definitions of resources attributes used for SMS charging are specified in TS 32.291 [58].

# 6.6 Bindings for SMS converged charging

This mapping between the Information Elements, resource attributes and CHF CDR parameters for SMS converged charging is described in clause 7 of TS 32.291 [58].

# Annex A (informative): Bibliography

This Annex is a placeholder for documents which are not explicitly cited in this specification.

# Annex B (informative): Change history

					Change history			
Date	TSG #	TSG Doc.	CR	Re v	Subject/Comment	C at	Old	New
Sep 2007	SP-37	SP-070620			Submitted to TSG SA#37 for Information		1.0.0	
Dec 2007	SP-38	SP-070748			Submitted to TSG SA#38 for Approval		2.0.0	8.0.0
Dec 2007					editHelp: the figures are now visible in normal view		8.0.0	8.0.1
	SP-39	SP-080074	000 1		Correction on Service Information for SMS Charging	F	8.0.1	8.1.0
Jun 2008	SP-40	SP-080330	000 2		Support of Number Portability and Carrier Selection in SMS Online Charging	В	8.1.0	8.2.0
Sep 2008	SP-41	SP-081216	000 3		Multiple SMS destination – Alignment with TS 23.040	С	8.2.0	8.3.0
Sep 2008	SP-41	SP-081224	000 4		Introduce Online Charging from SMS-SC into 3GPP TS 32.274	В	8.2.0	8.3.0
Dec 2008	SP-42	SP-080706	000 5		Correction on Multiple Unit Operation category	F	8.3.0	8.4.0
Dec 2008	SP-42	SP-080706	000 6		d SMS-SC as SMS node type		8.3.0	8.4.0
Dec 2008	SP-42	SP-080706	-		Additional Address Info for SMS charging	В	8.3.0	8.4.0
Dec 2008	SP-42	SP-080706	000 8		Add charging of SMS services to 32.274	В	8.3.0	8.4.0
	SP-43	SP-090045	000 9		SMS IE structure alignment	F	8.4.0	8.5.0
Jun 2009	SP-44	SP-090293	001 0		Clarification of "Termination charge" and application addressing	F	8.5.0	8.6.0
Sep 2009	SP-45	SP-090536	001 1		IE usage for Delivery Reports and Application Identifiers	F	8.6.0	8.7.0
Dec 2009	-	-	-	-	Update to Rel-9 version (MCC)	-	8.7.0	9.0.0
Mar 2011	-	-	-	-	Update to Rel-10 version (MCC)	-	9.0.0	10.0.0
Sep- 2012	SP-57	SP-120575	001 4	-	Addition of MS Timezone for NetLoc	В	10.0.0	11.0.0
Mar 2013	SP-59	SP-130055	001 7	1	Introduction of SMS-SC Offline Charging Architecture	В	11.0.0	12.0.0
Mar 2013					Editorial changes on CDR tables (MCC)		12.0.0	12.0.1
Jun-2013	SP-60	SP-130274	001 8	1	Introduction SMS Offline charging principles and flows	В	12.0.1	12.1.0
Dec- 2013	SP-62	SP-130628	002 0	1	Introduce Charging Data Request/Response in flow description	В	12.1.0	12.2.0
			002 1	-	Introduction of offline charging Diameter error cases	В		
			002 2	1	Introduction of Rf message content	В		
Mar- 2014	SP-63	SP-140045	002 4	1	Introduction of information for SMS offline Charging	В	12.2.0	12.3.0
			002 7	-	SCS Identity identified as Originator for Device Triggering	В		
			002 8	1	Introduction of new SC-SMO and SC-SMT CDRs description	В		
2014-07	-	-	-	<u> -</u>	Rapporteur/MCC: General editorial changes and clean-up.	-	12.3.0	12.3.1
2014-09	SP-65	SP-140564	002 9	1	Corrections for alignment between charging specifications	F	12.3.1	12.4.0
2014-12	SP-66	SP-140805	003 0	-	Corrections on definition for parameter category	F	12.4.0	12.5.0
2015-06	SP-68	SP-150327	003 1	-	Correction on category for MSISDN from Om to Oc for SMS CDRs	F	12.5.0	12.6.0
2016-01				+	Update to Rel-13 (MCC)	-	12.6.0	13.0.0

						Change history	
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2016-09	SA#73	SP-160622	0032	1	F	Correction on use of Multiple Operation and Multiple Unit Operation for IEC	13.1.0
2016-12	SA#74	SP-160847	0033	1	F	Correction SMS Delivery handling	14.0.0
2017-03	SA#75	SP-170138	0034	1	D	Remove reference to RFC 3588	14.1.0
2017-03	SA#75	SP-170135	0035	1	В	Introduce charging principle for MSISDN-less MO-SMS via T4	14.1.0
2017-03	SA#75	SP-170135	0036	-	В	Introduce Message flows offline charging for MSISDN-less MO-SMS via T4	14.1.0
2017-03	SA#75	SP-170135	0037	1	В	Remove Editor's Note in clause 5.2.3, 5.2.4, 5.2.5, and 6.1.2	14.1.0
2017-06	SA#76	SP-170500	0038	1	В	Improve Message flows offline charging for SMS delivery	14.2.0
2017-06	SA#76	SP-170500	0039	1	В	Introduce additional Message flows offline charging for Device Triggering	14.2.0
2017-06	SA#76	SP-170500	0040	1	В	Introduce Message flows online charging for Device Triggering	14.2.0
2017-06	SA#76	SP-170500	0041	1	В	Introduce Message flows online charging for MSISDN-less MO-SMS via T4	14.2.0
2017-06	SA#76	SP-170500	0046	1	В	Introduce new CDR for Device Triggering	14.2.0
2017-06	SA#76	SP-170500	0047	-	В	Clarify the chargeable events for SMS via T4	14.2.0
2017-06	SA#76	SP-170500	0048	-	В	Remove T4 Device Trigger dedicated fields from SC-SMO and SC-SMT CDRs	14.2.0
2017-06	SA#76	SP-170500	0049	1	В	Introduce Device Trigger and SMS MO via T4 in SMS information	14.2.0
2017-06	SA#76	SP-170500	0050	-	В	Introduce new CDR for SMS MO via T4	14.2.0
2018-06	-	-	-	-	-	Update to Rel-15 version (MCC)	15.0.0
2018-12	SA#82	SP-181052	0053	1	В	Addition of Converged Charging	15.1.0
2018-12	SA#82	SP-181052	0054	1	В	Introduction of SMSF as a new Node for SMS charging	15.1.0
2018-12	SA#82	SP-181052	0056	1	В	Introduction of CHF CDR generation for SMSF	15.1.0
2018-12	SA#82	SP-181052	0057	2	В	Introduction of Message content charging SMSF	15.1.0
2018-12	SA#82	SP-181052	0058	2	В	Introduction of CHF CDR description for SMSF	15.1.0
2018-12	SA#82	SP-181052	0059	3	В	Introduction of SMS information converged charging	15.1.0
2018-12	SA#82	SP-181052	0060	-	В	Introduction of 5GS for SMS charging via Ro Rf	15.1.0
2018-12	SA#82	SP-181052	0061	1	В	Introduction of offline charging for IP-SM-GW architecture and flows	15.1.0
2018-12	SA#82	SP-181052	0062	1	В	Introduction of offline charging for IP-SM-GW CDRs	15.1.0
2018-12	SA#82	SP-181052	0063	1	В	Introduction of Detailed message format	15.1.0
2018-12	SA#82	SP-181052	0064	-	В	Introduction of clauses on formal description and binding	15.1.0
2018-12	SA#82	SP-181052	0680	1	В	Addition of SMS Charging to CHF CDR	15.1.0
2019-03	SA#83	SP-190117	0065	-	F	Correction of NF Consumer Information	15.2.0
2019-03	SA#83	SP-190117	0066	-	F	Correction of category Invocation result	15.2.0
2019-12	SA#86	SP-191163	0067	1	F	Addition of Post Event Charging flows	15.3.0
2019-12	SA#86	SP-191163	0068	-	F	Correction of message content for Post Event Charging	15.3.0
2019-12	SA#86	SP-191160	0069	-	F	Correction of Service Specification Information	15.3.0
2019-12	SA#86	SP-191163	0070	1	F	Clarify CHF selection via NRF and roaming	15.3.0
2019-12	SA#86	SP-191163	0071	1	F	Correction of Multiple Unit Information	15.3.0
2020-07	-	-	-	-	-	Update to Rel-16 version (MCC)	16.0.0
2020-09	SA#89e	SP-200813	0076	-	F	Add Retransmission IE - non applicable	16.1.0
2020-12	SA#90e	SP-201051	0077	-	F	Correction on Triggers field for converged charging	16.2.0
2020-12	SA#90e	SP-201051	0079	1	F	Correction of flows for IEC, ECUR and PEC	16.2.0

# History

Document history		
V16.0.0	August 2020	Publication
V16.1.0	November 2020	Publication
V16.2.0	January 2021	Publication