



**Digital cellular telecommunications system (Phase 2+) (GSM);  
Universal Mobile Telecommunications System (UMTS);  
LTE;  
Telecommunication management;  
Performance Management (PM)  
Integration Reference Point (IRP): Requirements  
(3GPP TS 32.411 version 14.0.0 Release 14)**



---

Reference

RTS/TSGS-0532411ve00

---

Keywords

GSM,LTE,UMTS

**ETSI**

650 Route des Lucioles  
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C  
Association à but non lucratif enregistrée à la  
Sous-Préfecture de Grasse (06) N° 7803/88

---

**Important notice**

The present document can be downloaded from:

<http://www.etsi.org/standards-search>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the only prevailing document is the print of the Portable Document Format (PDF) version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<https://portal.etsi.org/TB/ETSI/DeliverableStatus.aspx>

If you find errors in the present document, please send your comment to one of the following services:

<https://portal.etsi.org/People/CommiteeSupportStaff.aspx>

---

**Copyright Notification**

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2017.

All rights reserved.

**DECT™**, **PLUGTESTS™**, **UMTS™** and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.

**3GPP™** and **LTE™** are Trade Marks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

**oneM2M** logo is protected for the benefit of its Members

**GSM®** and the GSM logo are Trade Marks registered and owned by the GSM Association.

---

## Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "*Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards*", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<https://ipr.etsi.org>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

---

## Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

---

## Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

---

# Contents

Intellectual Property Rights .....	2
Foreword.....	2
Modal verbs terminology.....	2
Foreword.....	4
Introduction .....	4
1 Scope .....	5
2 References .....	5
3 Definitions and abbreviations.....	5
3.1 Definitions .....	5
3.2 Abbreviations .....	6
4 PM concept and requirements .....	6
5 Detailed requirements.....	6
5.1 Overall PM concept of Itf-N.....	6
5.2 Management of network performance measurements .....	7
5.3 Management of threshold alarms .....	7
5.4 Management of measurement events .....	7
5.5 Management of measurement files.....	7
6 Overview of IRPs related to PM .....	8
<b>Annex A (informative): Change history .....</b>	<b>9</b>
History .....	10

---

# Foreword

This Technical Specification (TS) has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

---

# Introduction

The present document is part of a TS-family covering the 3<sup>rd</sup> Generation Partnership Project; Technical Specification Group Services and System Aspects; Telecommunication management; as identified below:

- 32.411: "Performance Management (PM) Integration Reference Point (IRP): Requirements".**
- 32.412: "Performance Management (PM) Integration Reference Point (IRP): Information Service (IS)".
- 32.416: "Performance Management (PM) Integration Reference Point (IRP); Solution Set (SS) definitions".

The present document is part of a TS-family which describes the requirements and information model necessary for the Telecommunication Management (TM) of 3G systems. The TM principles and TM architecture are specified in 3GPP TS 32.101 [2] and 3GPP TS 32.102 [3].

A 3G system is composed of a multitude of Network Elements (NE) of various types and, typically, different vendors, which inter-operate in a co-ordinated manner in order to satisfy the network users' communication requirements.

Any evaluation of PLMN-system behaviour will require performance data collected and recorded by its NEs according to a schedule established by the EM.

This aspect of the management environment is termed Performance Management (PM). The purpose of any PM activity is to collect performance related data, which can be used to locate potential problems in the network.

---

# 1 Scope

The present document specifies the overall requirements for the Performance Management Integration Reference Point (PMIRP) as it applies to the Network Elements (NE), Element Manager (EM) and Network Manager (NM).

---

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TS 32.6xy: "Telecommunication management; Configuration Management (CM)".
- [2] 3GPP TS 32.101: "Telecommunication management; Principles and high level requirements".
- [3] 3GPP TS 32.102: "Telecommunication management; Architecture".
- [4] 3GPP TS 32.401: "Telecommunication management; Performance Management (PM); Concept and requirements".
- [5] 3GPP TS 32.111-2 to -5: "Telecommunication management; Fault Management; Parts 2-5: Alarm Integration Reference Point (IRP)".
- [6] 3GPP TS 32.30x: "Telecommunication management; Configuration Management (CM); Notification Integration Reference Point (IRP)".
- [7] 3GPP TS 32.341: "Telecommunication management; File Transfer (FT) Integration Reference Point (IRP): Requirements".
- [8] 3GPP TS 32.412: "Telecommunication management; Performance Management (PM) Integration Reference Point (IRP): Information Service (IS)".
- [9] ITU-T Recommendation Q.822: "Stage 1, Stage 2 and Stage 3 Description for the Q3 Interface – Performance Management (04/94)".

---

## 3 Definitions and abbreviations

### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

**alarm notification:** notification used to inform the recipient about the occurrence of an alarm

**event:** generic term for any type of occurrence within a network entity

A notification or event report may be used to inform one or more OS(s) about the occurrence of the event

**granularity period:** the time between the initiation of two successive gatherings of measurement data

**measurement job:** task for collecting performance measurements

**measurement schedule:** specifies the time frames during which the measurement job will be active. The measurement schedule contains one or several recording intervals

**recording interval:** the time period during which the measurement data is collected within the NE. The length of a recording interval will be a multiple of the granularity period

## 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

CM	Configuration Management
EM	Element Manager
FT	File Transfer
FTIRP	File Transfer Integration Reference Point
FTP	File Transfer Protocol
IRP	Integration Reference Point
IS	Information Service
Itf-N	management Interface between the Network and the Network Manager
NE	Network Element
NM	Network Manager
NRM	Network Resource Model
OS	Operations System
PM	Performance Management
PMIRP	Performance Management Integration Reference Point

---

## 4 PM concept and requirements

Refer to 3GPP TS 32.401 [4].

---

## 5 Detailed requirements

### 5.1 Overall PM concept of Itf-N

An operations system on the network management layer (i.e. the NM) provides performance management services and functions required by the 3G operator on top of the element management layer.

The N interface (Itf-N) may connect the Network Management system either to Element Managers (EMs) or directly to the Network Elements (NEs). This is done by means of Integration Reference Points (IRPs). In the following, the term "subordinate entities" defines either EMs or NEs, which are in charge of supporting the N interface.

This clause describes the properties of an interface enabling a NM to supervise a 3G-telecommunication network including - if necessary - the managing EMs. To provide to the NM the Performance Management capability for the network implies that the NM and the subordinate entities have to agree on the following:

- The identification of the performance measurements for each relevant network resource class, or for instances of a network resource class.
- The identification of the network resource instances whose performance measurements are required by NM.
- The identification of the performance measurement attributes that can serve as a threshold and the identification of the corresponding threshold value(s).
- The identification of the files containing collected performance measurements for retrieval by NM.
- Notification of available files containing collected performance measurements for retrieval by NM.
- The network configuration (due to the fact that measurement results, performance alarms and related state change information are always originated by network resources, see the Configuration Management (CM) NRM IRPs in 3GPP TS 32.6xy [1]). This is, however, not part of the PM functionality.

## 5.2 Management of network performance measurements

The IRPManager shall be able to request the IRPAgent to:

- Collect specific performance measurements on specific network resources. The network resources, whose performance measurements are to be managed or collected, must have been modelled by the 3GPP Network Resource IRP or vendor-specific extended NRM and must be visible via the Bulk or Basic CM IRP.
- Collect the performance measurements in a file. The data format of this file shall be specified in the 3GPP defined set of PM Specifications.
- Emit notification announcing the availability of such file(s).
- Create measurement jobs.
- Suspend, Resume, and Stop running measurement jobs.
- Define measurement job schedule, including the definition of the recording interval(s), job start time and job stop time.
- Stop scheduled measurement jobs.
- Report status of the running and scheduled measurement jobs (as response to corresponding queries from the IRPManager).

It is noted that the IRPAgent can only derive or determine the value of a performance measurement at the end of a granularity period (status inspection and discrete event registration). The IRPAgent may also have to reset the value of a performance measurement at the beginning of a granularity period. The above IRPAgent behaviours are dependent on the nature of the performance measurement types (cumulative counter, status inspection, gauge, and discrete event registration).

## 5.3 Management of threshold alarms

The IRPManager shall be able to request the IRPAgent to:

- Set threshold values to specific performance measurements of specific network resources.
- Emit an alarm notification (including clearing) when:
  - (a) the threshold value(s) have been crossed and not just reached, in a similar fashion as that defined in ITU-T Recommendation Q.822 [9]
  - or
  - (b) the threshold value(s) have been reached.

It is the IRPAgent's choice to support (a) or (b) (but not both). The support is on an IRPAgent system wide basis and is not on a per threshold basis. The IRPAgent's behaviour regarding which approach (i.e., (a) or (b) above) to use, shall be the same for emitting alarms and for clearing alarms.

## 5.4 Management of measurement events

The IRPManager shall be able to:

- Subscribe to notifications that carry threshold alarms and information on the availability of performance measurement data files.

## 5.5 Management of measurement files

The IRPManager shall be able to:

- Manage the transfer of data files containing performance measurement data.
- Request a list of available files, including the specification of filter.



For information:

- The requirements for measurement file management may be satisfied by a separate File Transfer IRP.

---

## 6 Overview of IRPs related to PM

The N interface is built up by a number of IRPs. The basic structure of the IRPs is defined in 3GPP TS 32.101 [2] and 3GPP TS 32.102 [3].

For the purpose of PM the following IRPs are needed:

- Performance Management IRP (PMIRP), i.e. 3GPP TS 32.41x-series.
- Alarm IRP (3GPP TS 32.111-series [5]).
- Notification IRP (3GPP TS 32.30x [6]).
- File Transfer IRP (3GPP TS 32.341 [7]).

For an IRP Agent to be compliant to the PMIRP IS (3GPP TS 32.412 [8]), it shall minimally include the following:

1. The support of all mandatory items defined in the PM IRP IS specification (3GPP TS 32.412 [8] (e.g., mandatory operations defined within a mandatory interface, mandatory notifications defined within a mandatory interface).
2. An FTIRP (3GPP TS 32.341 [7]) that shall have:
  - a. An FTP Server capability.
  - b. The capability to emit `notifyFileReady` and `notifyFilePreparationError`.
  - c. A `listAvailableFiles` operation.

NOTE: Bullet 2 will be replaced with "A compliant FTIRP" if the mandatory operations & notifications in FTIRP IS are finalised and identical to that defined above.

3. A compliant NotificationIRP (3GPP TS 32.30x [6]).
4. A compliant AlarmIRP [5] if the PM IRP Agent PMIRP supports the threshold monitoring capabilities.

It is noted that the NotificationIRP (3GPP TS 32.30x [6]) may not be exclusively used by the PMIRP. It may be shared by other xxxIRP(s) such as BasicCMIRP in the sense that in a single subscribe operation, the IRPManager can subscribe for both PM and CM related notifications. Whether the NotificationIRP (3GPP TS 32.30x [6]) is shared or not is a vendor-specific design choice.

It is noted that the AlarmIRP [5] may not be exclusively used by the PMIRP. Its AlarmList may contain non-PM related alarms, for example. Such usage of AlarmIRP [5] is a vendor-specific design choice.

It is also noted that the FTIRP (3GPP TS 32.341 [7]) may or may not be exclusively used by the PMIRP.

## Annex A (informative): Change history

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
Sep 2002	S_17	SP-020500	--	--	Submitted to TSG SA#17 for Information	1.0.0	
Jun 2003	S_20	SP-030294	--	--	Submitted to TSG SA#20 for Approval	2.0.0	6.0.0
Sep 2003	S_21	SP-030432	001	--	Expansion of the requirements for threshold alarms on bounded variables	6.0.0	6.1.0
Dec 2003	S_22	SP-030649	002	--	Add PM IRP compliance clause	6.1.0	6.2.0
Jun 2004	S_24	SP-040271	003		Clarify threshold alarm trigger condition – Align with 32.401 and ITU-T Q.822	6.2.0	6.3.0
Jun 2007	SA_36	--	--	--	Automatic upgrade to Rel-7 (no CR) at freeze of Rel-7. Deleted reference to CMIP SS, discontinued from R7 onwards.	6.3.0	7.0.0
Mar 2009	SA_43	SP-090207	004	--	Include reference to SOAP Solution Set specification	7.0.0	8.0.0
Dec 2009	-	-	-	-	Update to Rel-9 version (MCC)	8.0.0	9.0.0
2011-03	-	-	-	-	Update to Rel-10 version (MCC)	9.0.0	10.0.0
2012-09	-	-	-	-	Update to Rel-11 version (MCC)	10.0.0	<b>11.0.0</b>
2014-10	-	-	-	-	Update to Rel-12 version (MCC)	11.0.0	<b>12.0.0</b>
2016-01	-	-	-	-	Update to Rel-13 version (MCC)	12.0.0	<b>13.0.0</b>
2017-04	-	-	-	-	Update to Rel-14 version (MCC)	13.0.0	<b>14.0.0</b>

---

# History

<b>Document history</b>		
V14.0.0	April 2017	Publication