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Technical Specification

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Universal Mobile Telecommunications System (UMTS);
LTE;
Telecommunication management;
Self-Organizing Networks (SON)
Policy Network Resource Model (NRM)
Integration Reference Point (IRP);
Information Service (IS)
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Foreword

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Foreword

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Introduction

The present document is part of a TS-family covering the 3rd Generation Partnership Project Technical Specification Group Services and System Aspects, Telecommunication management; as identified below:

- 32.521: Self-Organizing Networks (SON) Policy Network Resource Model (NRM) Integration Reference Point (IRP): Requirements
- 32.522: Self-Organizing Networks (SON) Policy Network Resource Model (NRM) Integration Reference Point (IRP): Information Service (IS)**
- 32.526: Self-Organizing Networks (SON) Policy Network Resource Model (NRM) Integration Reference Point (IRP): Solution Set (SS) definitions

1 Scope

The present document is part of an Integration Reference Point (IRP) named Self Organizing Networks (SON) Policy Network Resource Model (NRM) IRP, through which an IRP Agent can communicate management information to one or several IRP Managers concerning SON policies. The SON policy NRM IRP comprises a set of specifications defining Requirements, a protocol neutral Information Service and one or more Solution Set(s).

The present document specifies the protocol neutral SON policy NRM IRP: Information Service (IS).

In order to access the information defined by this NRM, an Interface IRP such as the "Basic CM IRP" is needed (3GPP TS 32.602 [11]). However, which Interface IRP is applicable is outside the scope of the present document.

The present document also contains stage 2 descriptions for those functionalities for the Self-Optimization OAM and Energy Saving Management.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TS 32.101: "Telecommunication management; Principles and high level requirements".
- [2] 3GPP TS 32.102: "Telecommunication management; Architecture".
- [3] 3GPP TS 32.150: "Telecommunication management; Integration Reference Point (IRP) Concept and definitions".
- [4] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [5] 3GPP TS 32.521: "Telecommunication management; Self-Organizing Networks (SON) Policy Network Resource Model (NRM) Integration Reference Point (IRP): Requirements".
- [6] 3GPP TS 36.331: "Technical Specification Group Radio Access Network; Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC); Protocol specification".
- [7] 3GPP TS 36.423: "Technical Specification Group Radio Access Network; Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 Application Protocol (X2AP)".
- [8] 3GPP TS 32.425: "Technical Specification Group Services and System Aspects; Telecommunication management; Performance Management (PM); Performance measurements; Evolved Universal Terrestrial Radio Access Network (E-UTRAN)".
- [9] 3GPP TS 32.622: "Telecommunication management; Configuration Management (CM); Generic network resources Integration Reference Point (IRP): Network Resource Model (NRM)".
- [10] 3GPP TS 32.762: "Telecommunication management; Configuration Management (CM); Evolved Universal Terrestrial Radio Access Network (E-UTRAN) network resources Integration Reference Point (IRP): Network Resource Model (NRM)".
- [11] 3GPP TS 32.602: "Telecommunication management; Configuration Management (CM); Basic CM Integration Reference Point (IRP) Information Service (IS)".

- [12] 3GPP TS 36.413: "Technical Specification Group Radio Access Network; Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 Application Protocol (S1AP)".
- [13] 3GPP TS 36.314: "Evolved Universal Terrestrial Radio Access (E-UTRA); Layer 2 - Measurements".
- [14] 3GPP TS 36.300: " Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2 ".
- [15] 3GPP TS 37.320: "Universal Terrestrial Radio Access (UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRA); Radio measurement collection for Minimization of Drive Tests (MDT); Overall description; Stage 2".

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TS 32.101 [1], TS 32.102 [2], TS 32.150 [3] and TR 21.905 [4] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TS 32.521 [5], TS 32.101 [1], TS 32.102 [2] and TR 21.905 [4], in that order.

Target: See 3GPP TS 32.521 [5].

Trigger condition: See 3GPP TS 32.521 [5].

Hand-Over parameter Optimisation: See clause 4.3 of this document and Mobility Robustness Optimisation (MRO) are synonyms (see TS 36.300 [14]).

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [4], TS 32.521 [5] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [4] and TS 32.521 [5].

CAC	Composite Available Capacity
CDF	Cumulative Distribution Function
EM	Element Manager
eNodeB, eNB	evolved NodeB
E-UTRAN	Evolved Universal Terrestrial Radio Access Network
HO	Handover
HOO	HandOver parameter Optimization
ICIC	Inter Cell Interference Coordination
IOC	Information Object Class
LB	Load Balancing
LBO	Load Balancing Optimization
MRO	Mobility Robustness Optimisation
NM	Network Manager
NRM	Network Resource Model
OAM	Operation Administration Maintenance
RO	RACH Optimization
SON	Self Organizing Networks
UE	User Equipment

4 SON Policy and Optimization Function Definitions

4.1 Monitoring and Management Operations for Self-Optimization

4.1.1 Monitoring and Management Function

4.1.1.1 Usage of Itf-N

For specifically defined Itf-N NRM Interface see clause 5.

4.2 Load Balancing Optimization Function

4.2.1 Objective and Targets

The objective of LB Optimization is to cope with undesired traffic load distribution and to minimize the number of handovers and redirections needed to achieve the load balancing. One of the following targets or the combination of the following targets shall be used. The specific target value or values shall be configured by operators. Operators should assign weights for targets being used.

Targets drawn from the following table can be configured for LBO:

Target Name	Definition	Legal Values
RRC connection establishments failure rate related to load	The number of Failed RRC connection establishments related to load/ The total number of Attempted RRC connection establishments. The target is met if the actual rate is smaller than the target value.	Integer [0..100] in unit percentage
E-RAB setup failure rate related to load	The number of E-RAB setup failure related to load/ The total number of attempted E-RAB setup For E-RAB setup failure related to load, the causes 'Reduce load in serving cell' and 'Radio resources not available' defined in TS 36.413 [12] could be used. The target is met if the actual rate is smaller than the target value.	Integer [0..100] in unit percentage
RRC Connection Abnormal Release Rate Related to Load	The number of abnormal RRC connection release related to load/ The total number of RRC connection release. The target is met if the actual rate is smaller than the target value.	Integer [0..100] in unit percentage
E-RAB Abnormal Release Rate Related to Load	The number of E-RAB abnormal release related to load/ The total number of E-RAB release For E-RAB setup failure related to load, the causes 'Reduce load in serving cell' and 'Radio resources not available' defined in TS 36.413 [12] could be used. The target is met if the actual rate is smaller than the target value.	Integer [0..100] in unit percentage
Rate of failures related to handover	(the number of failure events related to handover) / (the total number of handover events) The target is met if the actual rate is smaller than the target value.	Integer [0..100] in unit percentage

For the following targets out of the above table, the target values depend on the composite available capacity range in the cell and are defined separately for uplink and downlink. For these tuples can be configured, indicating the capacity ranges together with the target value valid in that range.

RRC connection establishments failure rate related to load,

E-RAB setup failure rate related to load,

RRC Connection Abnormal Release Rate Related to Load,

E-RAB Abnormal Release Rate Related to Load

For the following targets shall be identical with the corresponding targets defined in Handover Optimization.

Rate of failures related to handover

4.2.2 Parameters To Be Optimized

To reach load optimization target, LBO may optimize some mobility settings (HO and/or idle mobility configuration) defined in TS 36.331 [6].

4.2.3 Optimization Method

4.2.3.1 Problem Detection

The problem detection is out of scope of this specification.

4.2.3.2 Problem Solution

The problem solution is out of scope of this specification.

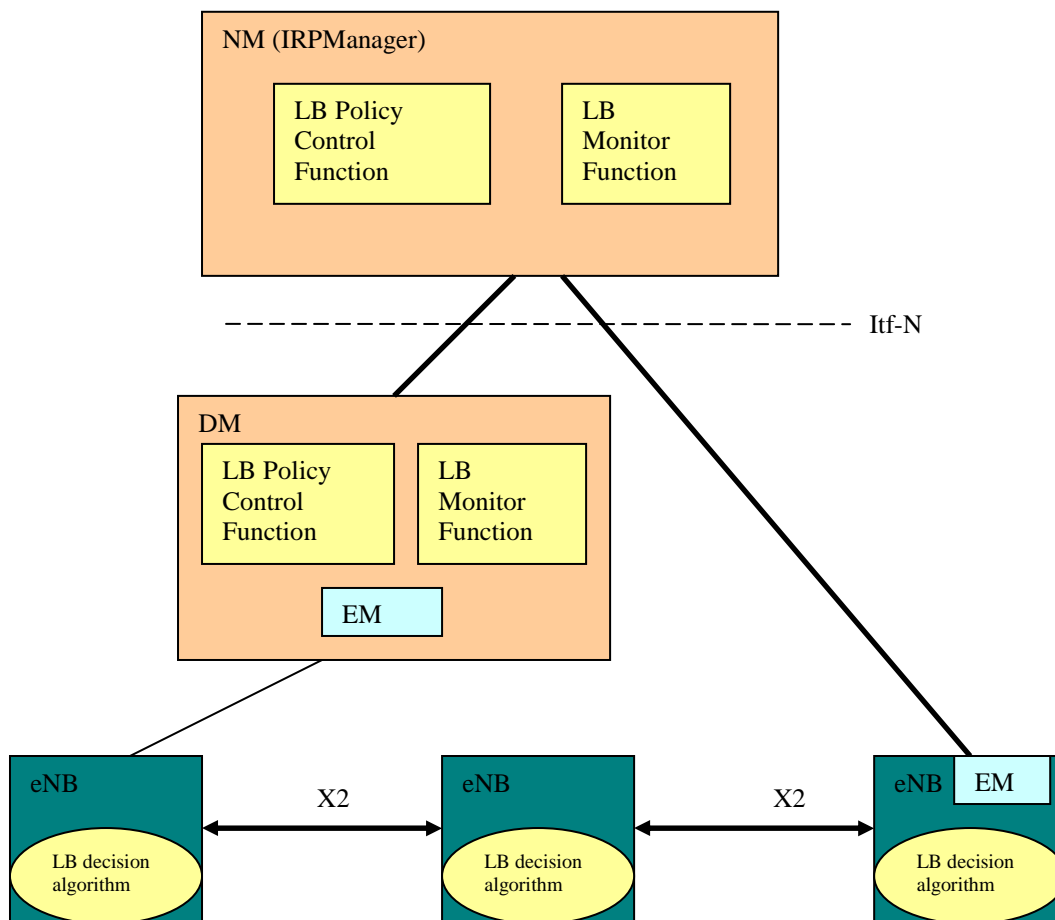
4.2.4 Architecture

4.2.4.1 Definition of Logical Functions

LB Monitor Function: This function is used for monitoring the load balance optimization (e.g. Monitoring related performance counters or alarms).

LB Policy control function: This function is used for configuring the load balance optimization policies.

4.2.4.2 Location of Logical Functions



For Load Balancing, the SON LB decision algorithm is located in eNB. The detailed SON functionalities in eNB are out of scope of this specification.

4.2.5 PM

IRPManager may collect Load balancing related performance measurements. Performance Measurements related with Load balancing are captured in the table below:

Performance measurement name	Description	Related targets
The number of Failed RRC connection establishments related to load	Refer to 3GPP TS 32.425 [8] Failed RRC connection establishments	RRC connection establishments failure rate related to load
The total number of Attempted RRC connection establishments	Refer to 3GPP TS 32.425 [8] Attempted RRC connection establishments	RRC connection establishments failure rate related to load
The number of E-RAB setup failure related to load	Refer to 3GPP TS 32.425 [8] Number of initial SAE Bearers failed to setup	E-RAB setup failure rate related to load
The total number of attempted E-RAB setup	Refer to 3GPP TS 32.425 [8] Number of initial SAE Bearers attempted to setup	E-RAB setup failure rate related to load
The number of abnormal RRC connection release related to load	Number of UE CONTEXT Release Request initiated by eNodeB	RRC Connection Abnormal Release Rate Related to Load
The total number of RRC connection release	Number of Successful UE Context Release	RRC Connection Abnormal Release Rate Related to Load
The number of E-RAB abnormal release related to load	Refer to 3GPP TS 32.425 [8] Number of SAE Bearers requested to release initiated by eNodeB per cause	E-RAB Abnormal Release Rate Related to Load
The total number of E-RAB release	Refer to 3GPP TS 32.425 [8] Number of SAE Bearers successfully released	E-RAB Abnormal Release Rate Related to Load
the number of failure events related to handover	Refer to 4.3.5	Rate of failures related to handover
the total number of handover events	Refer to 4.3.5	Rate of failures related to handover

NOTE: The monitoring of performance measurements will make use of existing PM IRP.

4.3 Handover (HO) Parameter Optimization Function

4.3.1 Objective and Targets

For intra-LTE, one of the following targets or the combination of the following targets shall be used. The specific target value shall be configured by operators. Operators should assign weights for targets being used.

Target Name	Definition	Legal Values
Rate of failures related to handover	(the number of failure events related to handover) / (the total number of handover events) The target is met if the actual rate is smaller than the target value.	Integer [0..100] in unit percentage

The objective of minimizing the number of unnecessary handovers shall always be pursued in case the other target/s configured by the operator is/are achieved. This objective may not need configuration of a target value.

4.3.2 Parameters To Be Optimized

The tables below summarise the handover parameters in TS 36.331 [6].

Table 4.3.2-1. Handover parameters that may be optimized for intra-frequency and inter-frequency handovers

Event	Summary	Tunable parameters
A3	Neighbour becomes offset better than serving	Ofn, Ofs, Ocn, Ocs, Hys, Off, timeToTrigger
A4	Neighbour becomes better than threshold	Ofn, Ocn, Hys, Thresh, Off, timeToTrigger
A5	Serving becomes worse than threshold1 and neighbour becomes better than threshold2	Ofn, Ocn, Hys, Thresh1, Thresh2, Off, timeToTrigger

Table 4.3.2-2. Handover parameters that may be optimised for inter RAT handover

Event	Summary	Tunable parameters
B1	Inter RAT Neighbour becomes better than threshold	Ofn, Hys, Thresh, timeToTrigger
B2	Serving becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2	Ofn, Hys, Thresh1, Thresh2, timeToTrigger

4.3.3 Optimization Method

4.3.3.1 Problem Detection

HO Parameter Optimization Function shall focus on detecting the problem scenarios described in TS 32.521 [5]; namely: too early handovers, too late handovers and inefficient use of NW resources due to HOs. For more information about these scenarios see TS 32.521 [5] section 6.1.3.

The following inputs may be used for the identification of the problem scenarios:

- Event capture and analysis
- UE measurements
- Performance measurements

In event capture and analysis, the eNodeB exploits event information associated with a UE context, such as evidence of previous handovers (UE History, see TS 36.423 [7]) and HO failure details (such as in which cell the handover failed and where the UE re-established the connection).

UE measurements are sent within UE measurement reports and they may indicate whether HOs are too early or too late.

HO-related performance measurements (PMs) collected at the source and / or target eNB can be useful in detecting HO-related issues on the cell level. Since the impact of incorrect HO parameter setting will also be on the cell-level, PMs can provide useful information that can be used to detect and resolve HO-related issues due to incorrect parameter settings.

4.3.3.2 Problem Solution

HO Parameter Optimization Function will aim at optimizing the HO parameters listed in Section 4.3.2 in such way to mitigate the problem scenarios discussed in Section 4.3.3.1. The optimization algorithms will not be specified. The exact set of HO parameters that may be adjusted by the algorithms is dictated by the choice of triggered HO measurements made by the RRM entity in an eNodeB.

4.3.4 Architecture

4.3.4.1 Definition of Logical Functions

HO Parameter Optimization Monitor Function: This function is used for monitoring the handover parameter optimization (e.g. monitoring related performance counters or alarms).

HO Parameter Optimization Policy Control Function: This function is used for configuring the handover parameter optimization policies.

4.3.4.2 Location of Logical Functions

For HandOver (HO) parameter optimization there are several options for the location of the SON algorithm:

1. The SON algorithm is located in the eNB(s).
2. The SON algorithm is located in the EM, the parameter changes are executed in the eNBs.

An example for the first option is shown in figure 4.3.4.2:

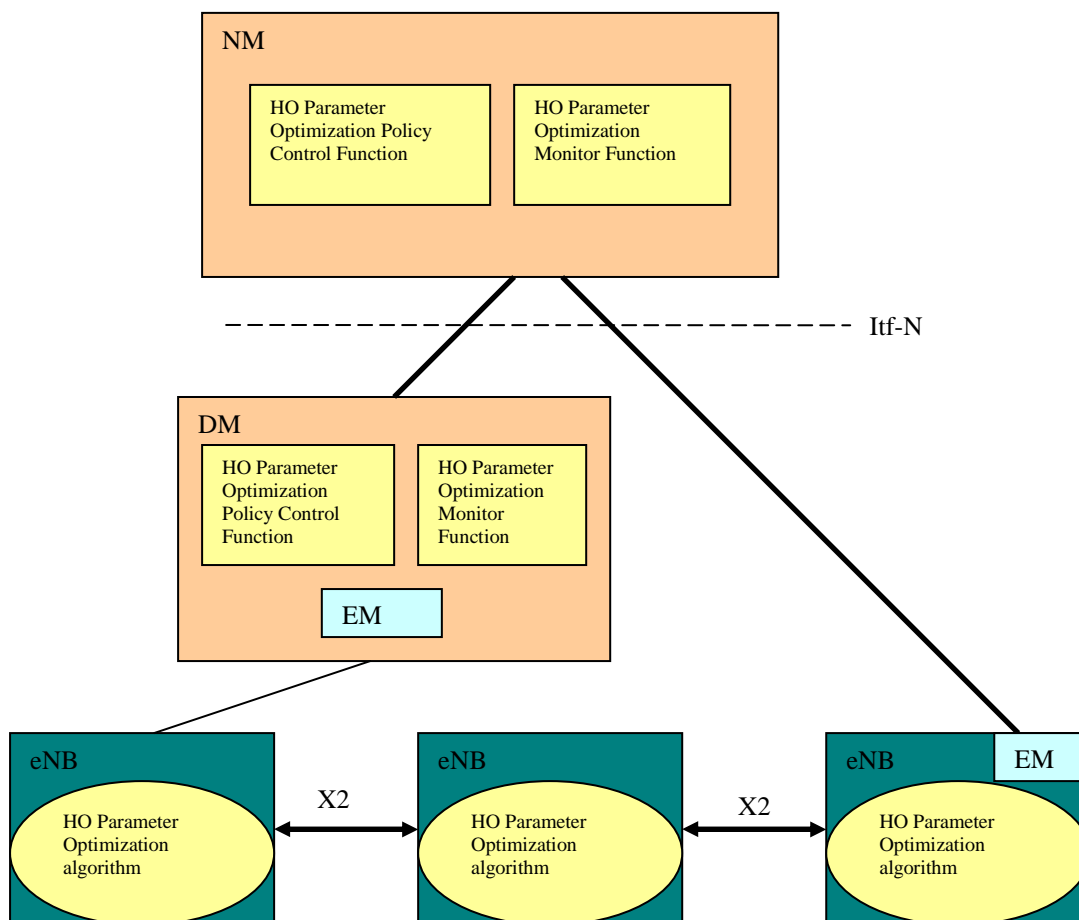


Figure 4.3.4.2: Example when the SON algorithm is located in the eNB(s)

The detailed SON functionalities in eNB are out of scope of this specification.

4.3.5 PM

IRPManager shall collect HO-related performance measurements from the source and / or target eNB which can be useful in detecting HO-related issues on the cell level. The following input can be used for the identification of the problem scenarios specified:

The number of RLF event happened within an interval after handover success.

The number of unnecessary handovers to another RAT without RLF.

Performance Measurements related to handover failure are captured in the table below.

The Performance Measurements are for outgoing handovers. Further, they should be available on a cell relation basis.

Performance measurement name	Description	Related targets
Number of handover events	Includes successful handovers plus all identified failures	Rate of failures related to handover
Number of HO failures	All failure cases	Rate of failures related to handover
Number of too early HO failures	Too early HO failure cases	Rate of failures related to handover
Number of too late HO failures	Too late HO failure cases	Rate of failures related to handover
Number of HO failures to wrong cell	HO failures to wrong cell	Rate of failures related to handover
Number of unnecessary HOs to another RAT	Unnecessary HOs to each of different RATs	

NOTE: The monitoring of performance measurements will make use of existing PM IRP.

4.4 Interference Control Function

4.5 Capacity and Coverage Optimization Function

4.5.1 Objective and Targets

The objective of capacity and coverage optimization is to provide optimal coverage and capacity for the radio network. A tradeoff between capacity and coverage needs to be considered.

The detailed target(s) FFS.

4.5.2 Parameters to be optimized

To reach capacity and coverage optimization targets, the following parameters may be optimized:

- Downlink transmit power
- Antenna tilt
- Antenna azimuth

4.5.3 Optimization Method

4.5.3.1 Problem Detection

The main symptoms of capacity and coverage optimization problems (see TS 37.320 [15]) are:

Coverage hole: A coverage hole is an area where the pilot signal strength is below a threshold which is required by a UE to access the network, or the SINRs of both serving and neighbor cells is below a level needed to maintain the basic service. Coverage holes are usually caused by physical obstructions such as new buildings, hills, or by unsuitable antenna parameters, or just inadequate RF planning. UE in coverage hole will suffer from call drop and radio link failure. Typical phenomenon of coverage hole is either HO failure happens frequently and cannot be optimized by HO parameter optimization or call drop happens frequently and cannot be rescued by RRC re-establishment.

Weak coverage: Weak coverage occurs when the pilot signal strength or the SNR (or SINR) of serving cell is below the level needed to maintain a planned performance requirement (e.g. cell edge bit-rate).

Pilot pollution: In areas where coverage of different cells overlap a lot, interference levels are high, power levels are high, energy consumption is high and cell performance may be low. Typically in this situation UEs may experience high SNR to more than one cell and high interference levels.

Overshoot coverage: Overshoot occurs when coverage of a cell reaches far beyond what is planned. It can occur as an 'island' of coverage in the interior of another cell, which may not be a direct neighbor. Reasons for overshoot may be reflections in buildings or across open water, lakes etc. UEs in this area may suffer call drops or high interference.

DL and UL channel coverage mismatch: DL channel coverage is larger than UL channel coverage is one typical scenario of DL and UL channel coverage mismatch. The UE will suffer UL problems when it moves into the mismatch area.

In a realistic network, these symptoms may be tolerated to a certain level. These symptoms may indicate a real problem when combined with other factors such as frequency of symptoms, duration of symptoms, or affected population.

The following inputs may be used for the identification of the problem scenarios:

- UE measurements
- Performance measurements
- Alarms, other monitoring information e.g. trace data

UE measurements are sent within UE measurement reports and they may indicate the capacity and coverage problem.

Capacity and coverage related performance measurements collected at the source and / or target eNB can be useful in detecting capacity and coverage related issues on the cell level. Minimizing Driver Test (MDT) or HO-related performance measurements may be used also in detecting capacity and coverage related issues on the cell level.

Alarms, other monitoring information e.g. trace data can be correlated to get an unambiguous indication of capacity and coverage problem.

4.5.3.2 Problem Solution

Capacity and coverage optimization function will aim at optimizing the parameters listed in Section 4.5.2 in such way to mitigate the problem scenarios discussed in Section 4.5.3.1.

4.5.4 Architecture

4.5.4.1 Definition of Logical Functions

CCO Monitor Function: This function is used for monitoring the capacity and coverage optimization (e.g. monitoring related performance counters, UE measurements or alarms).

CCO Policy Control Function: This function is used for configuring the capacity and coverage optimization policies.

4.5.4.2 Location of Logical Functions

For capacity and coverage optimization (CCO), there are several options for the location of the centralized CCO SON algorithm:

1. The CCO SON algorithm is located in the DM. The capacity and coverage optimization decision is made by the DM centralized CCO algorithm.
2. The CCO SON algorithm is located in the NM. The capacity and coverage optimization decision is made by the NM centralized CCO algorithm.

An example for the first option is shown in figure 4.5.4.2:

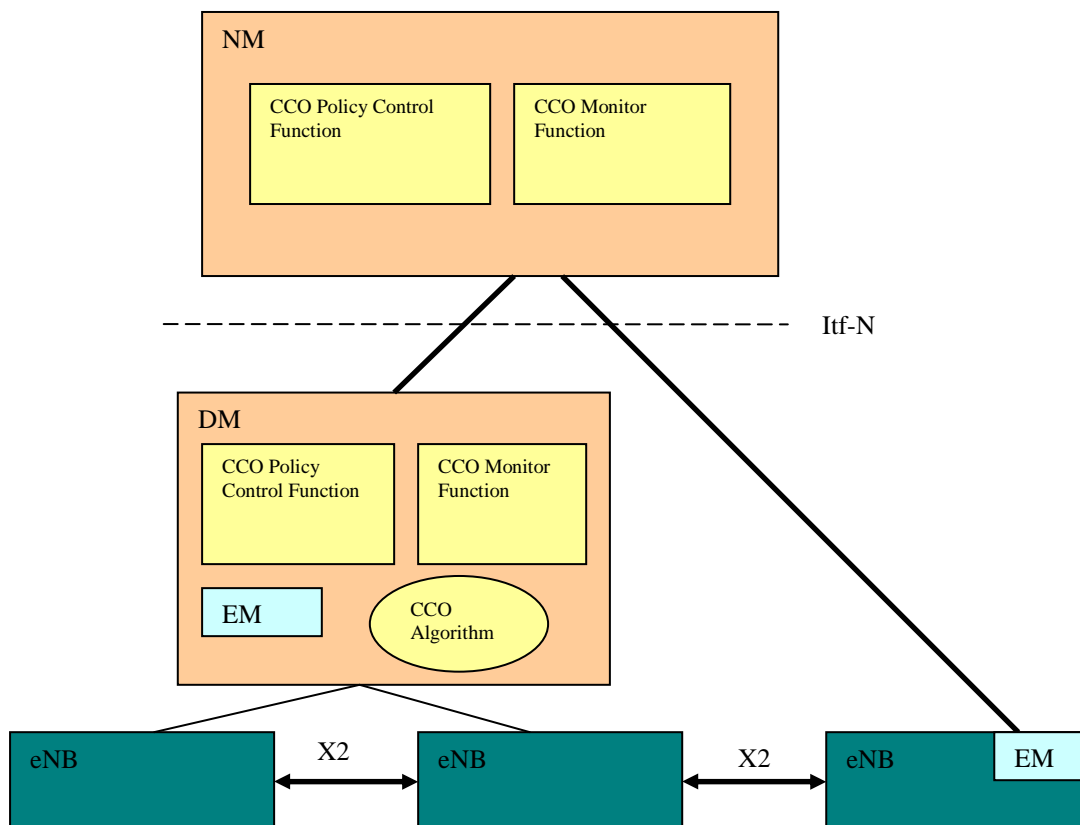


Figure 4.5.4.2: Example when the CCO SON algorithm is located in DM

The detailed CCO SON algorithm in OAM (NM centralized or EM centralized) is out of scope of this specification.

4.5.5 PM

The IRP Agent shall support a capability allowing the IRP Manager to collect CCO related performance measurements to know the situation of coverage or interference which may then trigger corresponding optimization procedures. Performance measurements related with CCO are captured in the table below:

Performance measurement name	Description	Comment
Maximum carrier transmit power	Refer to 3GPP TS 32.425 [8] Maximum value of the total carrier power transmitted in a cell.	
Mean carrier transmit power	Refer to 3GPP TS 32.425 [8] Mean value of the total carrier power transmitted in a cell.	

4.6 RACH Optimization Function

4.6.1 Objective and Targets

The objective of RACH Optimization is to automatically set several parameters related to the performance of RACH. One of the following targets shall be used. The specific target value shall be configured by operators.

Target Name	Definition	Legal Values
Access Probability, <i>AP</i>	The probability that the UE has access after a certain random access attempt number. The target is met if the actual access probability is higher than the target probability value.	CDF of access attempts. See section 5.5.1
Access Delay Probability, <i>ADP</i>	The probability distribution of Access Delay expected to be experienced by UEs accessing the RACH Channel. The target is met if the actual access probability is higher than the target probability value.	CDF of delays. See section 5.5.1

4.6.2 Parameters to be optimized

To achieve RACH optimization target, RACH optimization function may optimize several parameters defined in TS 36.300 [14] section 22.4.3.

4.6.3 Optimization Method

4.6.3.1 Problem Detection

The problem detection is out of scope of this specification since the RACH optimization entity resides in the eNB.

4.6.3.2 Problem Solution

The problem solution is out of scope of this specification since the RACH optimization entity resides in the eNB.

4.6.4 PM

The IRPAgent shall support a capability allowing the IRPManager to collect RACH optimization related performance measurements. Performance measurements related with RACH optimization are captured in the table below:

Performance measurement name	Description	Related targets
Distribution of RACH preambles sent	Refer to 3GPP TS 32.425 [8] Cumulative Distribution of RACH preambles sent by UE	Access Probability, AP
Distribution of RACH access delay	Refer to 3GPP TS 32.425 [8] Cumulative Distribution of RACH Access Delay	Access Delay Probability, ADP

4.7 SON coordination

4.7.1 Introduction

There may be conflicts or dependencies between SON functions; SON coordination means preventing or resolving conflicts or negative influences between SON functions to make SON functions comply with operator's policy.

4.7.2 Coordination between SON functions below Itf-N and non-SON CM operations over itf-N

4.7.2.1 Description

Conflict may arise between non-SON CM operation via Itf-N and the SON functions (in particularly self-optimization function) below Itf-N in the following scenario.

The network parameters can be changed by the non-SON CM operations via Itf-N, meanwhile, the SON functions below Itf-N may also require changing the parameters. If these parameters are some (same or associated) parameters of some

(same or associated) nodes which will be changed by the non-SON CM operations and the SON functions below Itf-N, conflict arises. (Conflict see clause 4 of 32.521[5])

For example, the non-SON CM operation via Itf-N may try to reduce the CIO (cell individual offset) parameter, it makes the HO trigger between cells (e.g., HO from cell A to cell B) become more difficult. But in the meanwhile, the SON function MRO may try to increase the CIO parameter, it makes the HO easier. In this case, if the conflict is not coordinated, the parameter may be modified twice. Then the failed rate related with handover may rise or ping-pong handover may arise between the two cells.

4.7.2.2 Prevention

In a real network, it is possible that non-SON CM operations via Itf-N and several SON functions below Itf-N are running at the same time, and they may try to change the same or associated parameters during a short time period. So coordination is needed to prevent this kind of conflicts.

4.7.2.3 Resolution

Refer to common coordination solutions part.

4.7.3 Coordination between different SON functions

Note: The coordination between different SON functions should be decided case by case.

4.7.3.1 Coordination between Cell Outage Compensation and Energy Saving Management

4.7.3.1.1 Description

A conflict could arise between energy saving and cell outage compensation in the following scenario.

One or more candidate cells are configured to possibly take coverage of the original cell. The original cell is in energySaving state or is about to enter energySaving state. One or more candidate cells go into outage with the consequence that coverage of the original cell can not be provided any more.

4.7.3.1.2 Prevention

Prevention is hardly possible, except making the cells as outage proof as possible. But cell outages can happen even to the most stable cell in a network.

4.7.3.1.3 Resolution

If the original cell is in energySaving state, it shall leave energySaving state.

If the original cell is about to enter energySaving state, it shall not go into energySaving state until candidate cell outage is recovered and candidate cell is able to provide the coverage.

The original cell may go into the energySaving state after the candidate cell outage is recovered and coverage of the original cell can be taken over by candidate cell again.

4.7.3.2 Coordination among Cell Outage Compensation, Capacity and Coverage Optimization, and Energy Saving Management

4.7.3.2.1 Description

Capacity and Coverage Optimization (CCO), Cell Outage Compensation (COC) and Energy Saving Management (ESM) may require changes to the coverage and/or capacity of one or more cells during the same time period, which could lead to the following issue:

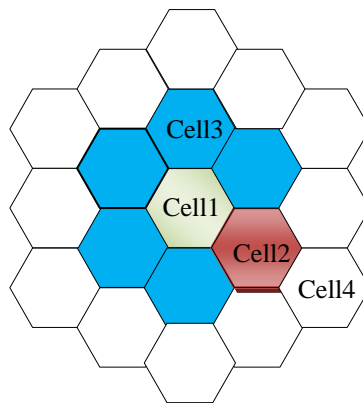


Figure 4.7.3.2 Coordination among COC, CCO, and ESM

Figure 4.7.3.2 is a typical scenario for the coordination among COC, CCO and ESM.

Cell 1 is detected in outage, COC will try to compensate the outage Cell 1 by reconfiguring the RF configuration of some compensation candidate cells, e.g., TX power, antenna tilt and antenna azimuth of Cell 2 and Cell 3.

Before the outage Cell 1 is compensated, CCO may detect the degrading of coverage related KPI (e.g., success rate of RRC connection establishments, cell throughput) of Cell 1 and its neighbour cells (Cell 2 and other blue cells) and make a conclusion that there is a coverage problem in this KPI degraded area.

Meanwhile, ESM is operating on Cell 2 to compensate the coverage of its neighboring cell (Cell 4) which is going into energySaving state.

From the time point at which the outage Cell 1 is detected until Cell 1 has been compensated by Cell 2 and Cell 3, during this period, if there is no coordination among COC, CCO and ESM, there will be possibly different settings for adjusting TX power, antenna tilt and antenna azimuth of Cell 2 for COC, CCO or ESM purposes respectively. It's most likely that the adjustment from COC, ESM and optimization from CCO may conflict in the common affected outage compensation candidate cell(s) (Cell 2 in the above example).

It is also possible that ESM is operating on Cell 2 to compensate the coverage of Cell 4 that is in energySaving state, while COC detects that Cell 1 has outage, and requests Cell 2 to compensate the coverage of Cell 1. COC and ESM need to be coordinated to determine if this request can be accepted.

After the outage cell comes back to normal, the COC exits the coordination scenario, while CCO and ESM continue to work and need to be well coordinated. For example, CCO may adjust the antenna tilt of Cell 2 in a downward direction to improve the coverage signal quality, but ESM may adjust the antenna tilt of Cell 2 in an opposite direction to enlarge its coverage area for purpose of ES compensation. Therefore, coordination should be continued between CCO and ESM to resolve the possible configuration conflict on Cell 2.

Other conflict scenarios could be:

Cell A is compensating to provide coverage for Cell B that is in energySaving state. COC detects that Cell A has outage. Since Cell A is not able to provide the coverage for Cell B any more, Cell B needs to be covered by another cell, or to deactivate energy saving.

Cell A is in energySaving state. COC detects Cell B has outage, and requests Cell A to compensate the coverage of Cell B. Cell A may need to terminate energy saving in order to compensate the coverage of Cell B.

Cell A which is compensating Cell B in outage shall not go into energySaving state as long as its compensation for Cell B is needed.

4.7.3.2.2 Prevention

Prevention is hardly possible.

4.7.3.2.3 Resolution

Refer to common coordination solutions part.

4.7.4 General SON coordination solutions

4.7.4.1 Overview

If coordination between multiple SON functions is necessary, a SON Coordination Function will be responsible for preventing or resolving conflicts.

The SON Coordination Function is a logical function, which means it can be implemented as a separate function entity or as part of SON function.

When the SON Coordination Function is implemented as a separate function entity, all the SON functions send the necessary information to the SON Coordination Function, the SON Coordination Function coordinate these SON functions as a centralized control point. This centralized coordination approach fulfills the requirements of SON coordination in a large area scope, for example, the coordination between NM centralized SON functions and distributed SON functions.

In some other situations, coordination is only needed in a smaller area, for example, the coordination between distributed SON functions inside one domain. Then the SON Coordination Function can be implemented as part of each SON function. The necessary coordination information can be inter-exchanged between each SON functions to achieve coordination as well.

The SON Coordination Function may reside above or below Itf-N. Figure 4.7.4.1 shows an example of a SON Coordination Function, which is a separate function entity, above Itf-N.

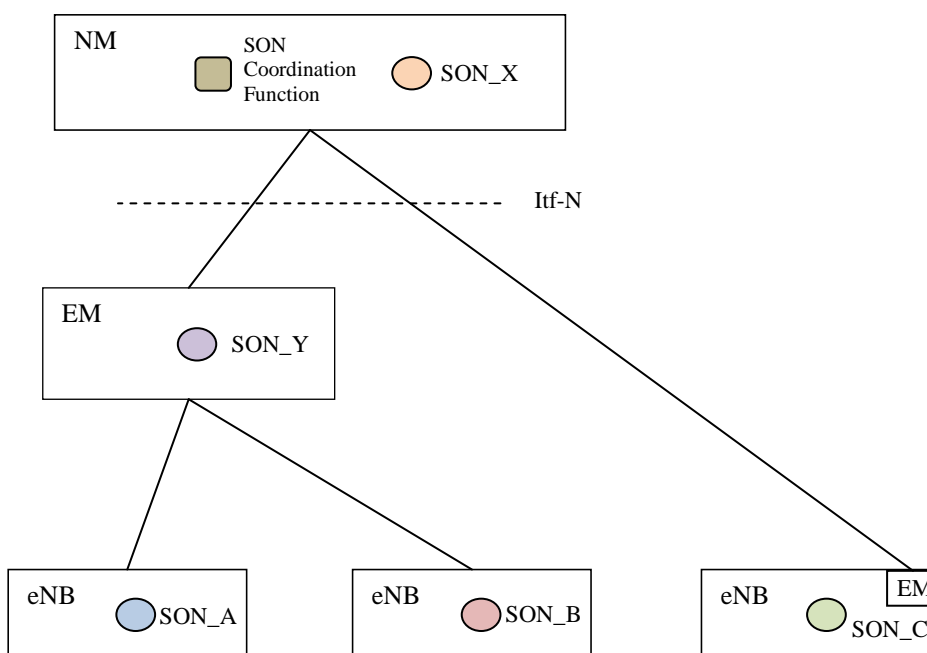


Figure 4.7.4.1: Example of SON Coordination entity located in NM

The SON Coordination Function may be responsible for conflict prevention, conflict resolution, or both in parallel.

4.7.4.2 Conflict prevention

To prevent conflicts between the SON functions, the SON functions may ask the SON Coordination function for permission before changing some specific configuration parameters.

As a basis for decisions, the SON Coordination Function will typically use

One or some of the following inputs received from the SON function(s)

- Which SON functions are modifying configuration parameters (including information about vendor, release etc.)
- Configuration parameters intended to be changed and/or their existing and proposed new values
- The time duration how long the configuration parameter should not be interfered with ('impact time')
- The state of SON functions
- The SON targets which are the justification for the configuration change.
- Possible impact of a parameter change on other objects ('impact area')
- The state of certain managed objects
- Possible impact of the parameter change on Key Performance Indicators
- Priority of SON functions
- SON coordination policies

The SON Coordination Function sends the decision back to the requesting SON function; the decision may be confirmation or rejection of the SON executing request, or other actions like configuration of specific parameters with specific value.

After SON function executes action, the SON Coordination Function is then informed about the result (successful/unsuccessful, parameters changes) of the executed SON action.

The SON Coordination Function may prevent parameter changes by one or more SON functions for a specified time period after the same parameter has been changed by another SON function.

The SON Coordination Function may inform a SON Function of a managed object state change which may impact calculation of KPIs.

4.7.4.3 Conflict resolution

The SON Coordination Function should detect conflicts and attempt to resolve the conflicts.

To detect conflicts, the SON Coordination Function will typically analyse one or some of the following types of data

- Key Performance Indicators
- Measurements which indicate if SON functions are meeting their targets
- Unacceptable oscillations in configuration parameters

To resolve conflicts, the SON Configuration Function will typically use the one or some of following methods

- Enabling/disabling/suspending certain SON functions
- Stopping/suspending/modifying certain SON actions
- Modifying certain configuration parameters

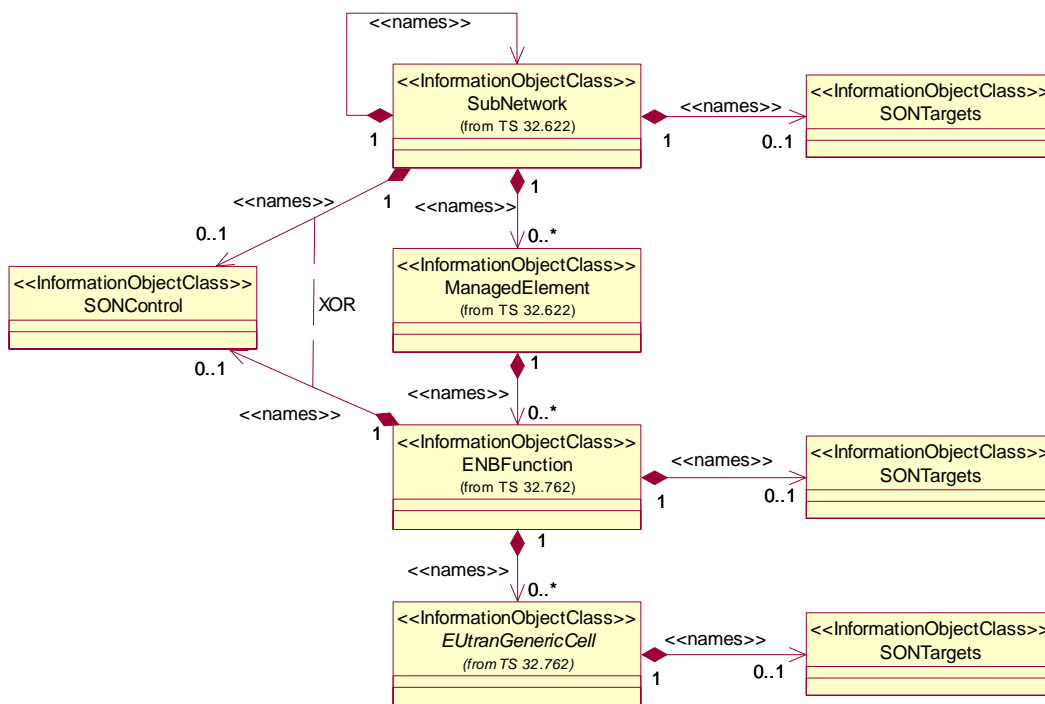
5 Information Object Classes (IOCs)

5.1 Information entities imported and local labels

Label reference	Local label
3GPP TS 32.622 [9], IOC, Top	Top
3GPP TS 32.622 [9], IOC, SubNetwork	SubNetwork
3GPP TS 32.762 [10], IOC, ENBFunction	ENBFunction
3GPP TS 32.762 [10], IOC, EUTranRelation	EUTranRelation
3GPP TS 32.762 [10], IOC, EUTranGenericCell	EUTranGenericCell

5.2 Class diagram

5.2.1 Attributes and relationships



NOTE 1: IOC SONControl shall be instantiated whenever one or more IOC SONTargets are instantiated.

Figure 5.2.1-1: Cell view of SON Policy NRM

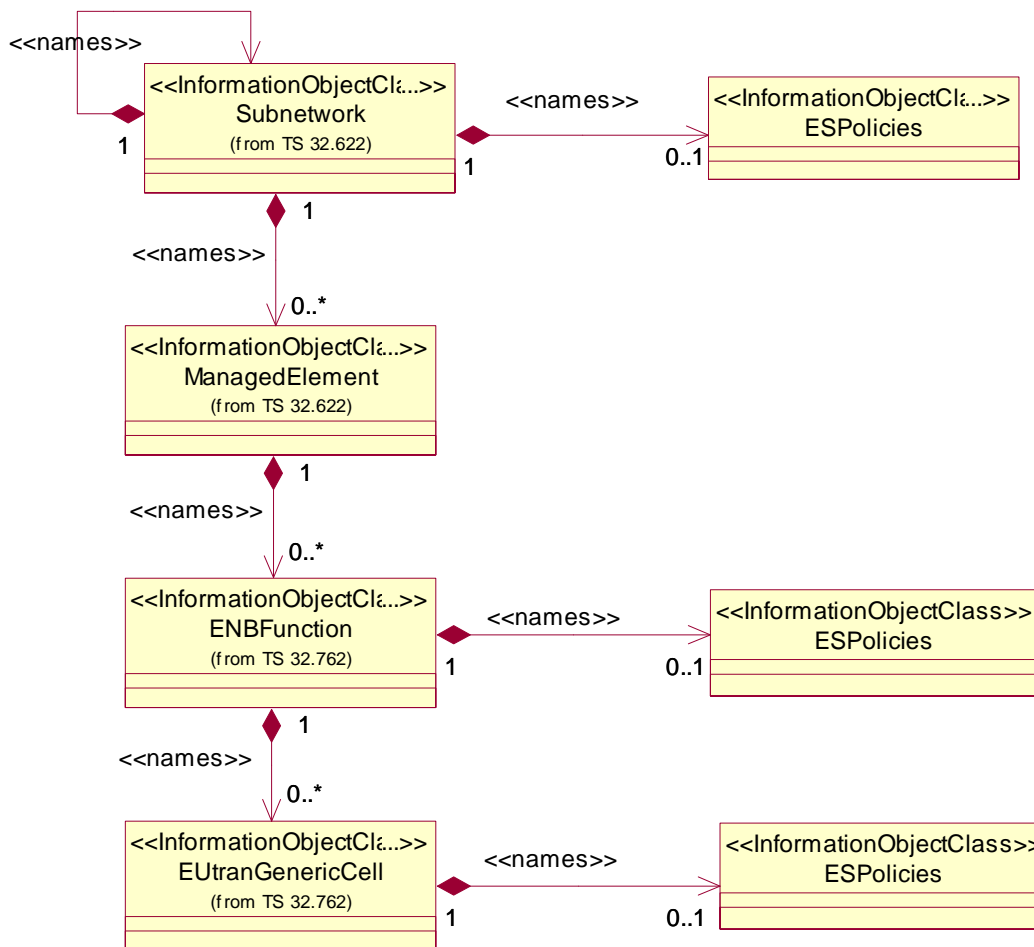


Figure 5.2.1-2: ES Policies NRM IOCs (Containment Relationship)

NOTE 2: Also IOC SONControl is used for ES purposes – see clause 5.3.2.2 – but is not shown in Figure 5.2.1-2 to avoid the impression that there would an additional instance of this IOC be needed for ES.

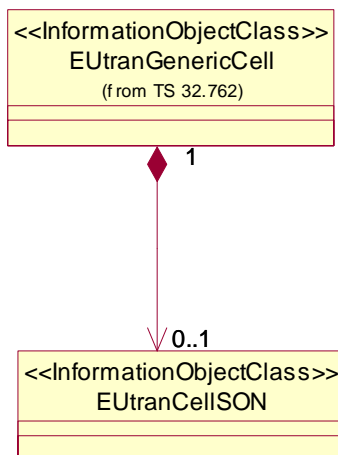


Figure 5.2.1-3: IOCs to control SON on cell level (Containment Relationship)

5.2.2 Inheritance

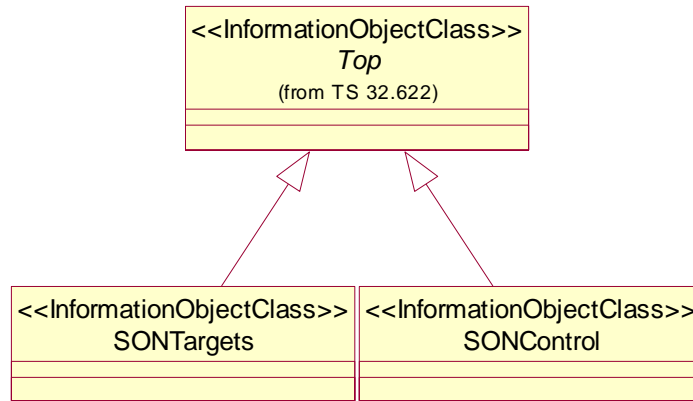


Figure 5.2.2-1: SON Policy NRM Inheritance Hierarchy

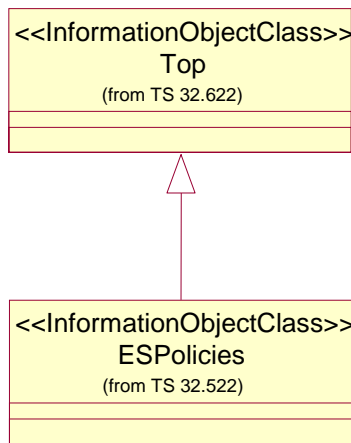


Figure 5.2.2-2: ES Polices NRM IOCs (Inheritance Relationship)

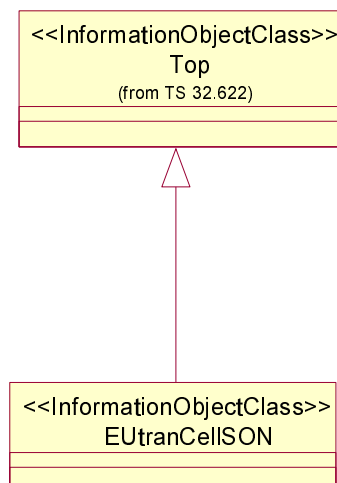


Figure 5.2.2-3: Inheritance for IOC to control SON on cell level

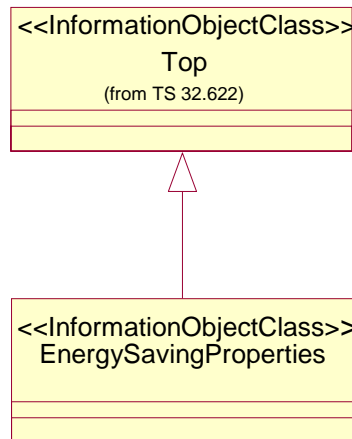


Figure 5.2.2-4: Energy saving properties NRM IOCs (Inheritance Relationship)

5.3 Information Object Class (IOC) definitions

5.3.1 SONTargets

5.3.1.1 Definition

This IOC represents targets for SON functions and their relative weights.

Target hierarchy rule:

An NRM IOC instance X may name-contain an IOC SONTargets instance T. The rule states that:

- If X name-contains a SONTargets instance T, then T is applicable to X.
- If X and all its superior instances do not name-contain any SONTargets instance, then no SONTargets instance is applicable to X.
- If X does not name-contain any SONTargets instance, but one or more of X's superior instances name-contain a SONTargets instance, then the SONTargets instance of the superior instance closest to X, in X's naming tree, is applicable to X.

5.3.1.2 Attributes

Attribute name	Support Qualifier	Read Qualifier	Write Qualifier
hoFailureRate	O *)	M	M
rrcConnectionEstablishmentFailureRateCharacteristic	O *)	M	M
rrcConnectionAbnormalReleaseRateCharacteristic	O *)	M	M
eRabSetupFailureRateCharacteristic	O *)	M	M
eRabAbnormalReleaseRateCharacteristic	O *)	M	M
rachOptAccessProbability	CM **)	M	M
rachOptAccessDelayProbability	CM **)	M	M

*) Note 1: At least one of the attributes shall be supported.

***) Note 2: Only one of these attributes shall be present.

5.3.1.3 Attribute constraints

Name	Definition
rachOptAccessProbability CM Support Qualifier	RACH Optimization is supported and Access Probability is supported as target.
rachOptAccessDelayProbability CM Support Qualifier	RACH Optimization is supported and Access Delay Probability is supported as target.

5.3.1.4 Notifications

The common notifications defined in subclause 5.6.1 are valid for this IOC, without exceptions or additions.

5.3.2 SONControl

5.3.2.1 Definition

This IOC represents the possibility to switch on or off SON functions. This is provided for Handover optimization, Load Balancing optimization, Energy Saving and Cell Outage Compensation. For other SON functions like Coverage and Capacity optimization, RACH optimization etc. this is FFS.

5.3.2.2 Attributes

Attribute name	Support Qualifier	Read Qualifier	Write Qualifier
hooSwitch	CM	M	M
lboSwitch	CM	M	M
esSwitch	CM	M	M
roSwitch	CM	M	M
cocSwitch	CM	M	M

5.3.2.3 Attribute constraints

Name	Definition
hooSwitch CM Support Qualifier	Handover (HO) parameter Optimization function is supported.
lboSwitch CM Support Qualifier	Load Balancing Optimization function is supported.
esSwitch Support Qualifier	The condition is 'Distributed ESM architecture is supported'.
roSwitch CM Support Qualifier	RACH Optimization is supported.
cocSwitch Support Qualifier	The condition is 'CoC is supported'. Only allowed to be present, if SONControl is contained in subnetwork IOC instance.

5.3.2.4 Notifications

The common notifications defined in subclause 5.6.1 are valid for this IOC, without exceptions or additions.

5.3.3 ESPolicies

5.3.3.1 Definition

This IOC represents the energy saving policies information. This object class is valid in a distributed ES architecture or in an EM-centralized ES architecture.

5.3.3.2 Attributes

Attribute name	Support Qualifier	Read Qualifier	Write Qualifier
esActivationOriginalCellLoadParameters	CM	M	M
esActivationCandidateCellsLoadParameters	CM	M	M
esDeactivationCandidateCellsLoadParameters	CM	M	M
esNotAllowedTimePeriod	O	M	M

5.3.3.3 Attribute constraints

Name	Definition
esActivationOriginalCellLoadParameters	The condition is "Intra-RAT ESM is supported AND the cell acts as an original cell".
esActivationCandidateCellsLoadParameters	The condition is "Intra-RAT ESM is supported AND the cell acts as a candidate cell".
esDeactivationCandidateCellsLoadParameters	The condition is "Intra-RAT ESM is supported AND the cell acts as a candidate cell".

5.3.3.4 Notifications

The common notifications defined in subclause 5.6.1 are valid for this IOC, without exceptions or additions

5.3.4 EUTRANCellSON

5.3.4.1 Definition

This IOC represents the parameters for control of SON functions on E-UTRAN cell level.

5.3.4.2 Attributes

Attribute name	Support Qualifier	Read Qualifier	Write Qualifier
maximumDeviationHoTrigger	CM	M	M
minimumTimeBetweenHoTriggerChange	CM	M	M

5.3.4.3 Attribute constraints

Name	Definition
maximumDeviationHoTrigger Support Qualifier	The condition is "HOO function is supported".
minimumTimeBetweenHoTriggerChange Support Qualifier	The condition is "HOO function is supported".

5.3.4.4 Notifications

The common notifications defined in subclause 5.6.1 are valid for this IOC, without exceptions or additions.

5.3.5 Void

5.3.6 EnergySavingProperties

5.3.6.1 Definition

This IOC represents the energy saving properties of a network element supporting Energy Saving Management functionality.

5.3.6.2 Attributes

Attribute name	Support Qualifier	Read Qualifier	Write Qualifier
energySavingState	M	M	-
energySavingControl	CM	M	M

5.3.6.3 Attribute constraints

Name	Definition
energySavingControl CM Support Qualifier	The condition is "ESM functionality supports and uses centralized architecture".

5.3.6.4 Notifications

The common notifications defined in subclause 5.6.1 are valid for this IOC. Notification notifyAttributeValueChange shall be supported for attribute energySavingState.

5.4 Information relationship definitions

None.

5.5 Information attribute definitions

5.5.1 Definition and legal values

Table 5.5.1.1 defines the attributes that are present in the Information Object Classes (IOCs) of the present document.

Table 5.5.1.1: Attributes definitions and legal values

Attribute Name	Definition	Legal Values
cocSwitch	This attribute allows the operator to enable/disable the COC functionality.	Enumerated {on, off}
energySavingControl	This attribute allows the IRPManager to initiate energy saving activation or deactivation. Its value can not be changed by the IRPAgent.	Enumerated {toBeEnergySaving, toBeNotEnergySaving}.
energySavingState	Specifies the status regarding the energy saving in the cell. If the value of energySavingControl is toBeEnergySaving, then it shall be tried to achieve the value isEnergySaving for the energySavingState. If the value of energySavingControl is toBeNotEnergySaving, then it shall be tried to achieve the value isNotEnergySaving for the energySavingState.	Enumerated {isNotEnergySaving, isEnergySaving}.

<p>eRabAbnormalReleaseRateCharacteristic</p>	<p>The target is on the number of E-RAB abnormal release related to load divided by the total number of attempted E-RAB setups.</p> <p>This attribute allows to define for a value the composite available capacity (CAC) range in which the target is valid. For this, it contains one characteristic dependent on Uplink CAC, one for Downlink CAC: eRabAbnormalReleaseRateCharacteristicDownlink and eRabAbnormalReleaseRateCharacteristicUplink. At least one of these characteristics must be present. Together with the characteristic its targetWeight as a SON target is defined as part of this attribute. The characteristics have the following structure: eRabAbnormalReleaseRateCharacteristicDownlink: List of one or more entries, each consisting of: lowerEndOfCacRange, upperEndOfCacRange, eRabAbnormalReleaseRateTarget eRabAbnormalReleaseRateCharacteristicUplink: List of one or more entries, each consisting of: lowerEndOfCacRange, upperEndOfCacRange, eRabAbnormalReleaseRateTarget</p> <p>Remark: Formula for composite available capacity: Available Capacity = Cell Capacity Class Value * Capacity Value For definition of Cell Capacity Class Value and Capacity Value see TS 36.331 [6]. These definitions lead to a value range of a composite available capacity from 0..10000. 36.423 [7] has cell capacity class value as optional parameter in case of intra-LTE load balancing. If cell capacity class value is not present, than 36.423 assumes that bandwidth should be used instead to assess the capacity.</p> <p>This target is suitable for LBO.</p>	<p>lowerEndOfCacRange and upperEndOfCacRange: Integer 0..10000</p> <p>eRabAbnormalReleaseRateTarget: Integer 0..100 (representing a percentage)</p> <p>targetWeight: Integer 1..N. The higher the number the higher the weight.</p>
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eRabSetupFailureRateCharacteristic	<p>The target is on the number of E-RAB setup failures related to load divided by the total number of attempted E-RAB setups.</p> <p>For E-RAB setup failure related to load the causes 'Reduce load in serving cell' and 'Radio resources not available' defined in TS 36.413 are used.</p> <p>This attribute allows to define for a value the composite available capacity (CAC) range in which the target is valid. For this, it contains one characteristic dependent on Uplink CAC, one for Downlink CAC:</p> <p>eRabSetupFailureRateCharacteristicDownlink and eRabSetupFailureRateCharacteristicUplink.</p> <p>At least one of these characteristics must be present. Together with the characteristic its targetWeight as a SON target is defined as part of this attribute. The characteristics have the following structure:</p> <p>eRabSetupFailureRateCharacteristicDownlink:</p> <p>List of one or more entries, each consisting of:</p> <ul style="list-style-type: none"> LowerEndOfCacRange, UpperEndOfCacRange, eRabSetupFailureRateTarget <p>eRabSetupFailureRateCharacteristicUplink:</p> <p>List of one or more entries, each consisting of:</p> <ul style="list-style-type: none"> LowerEndOfCacRange, UpperEndOfCacRange, eRabSetupFailureRateTarget <p>For CAC see eRabAbnormalReleaseRateCharacteristic</p> <p>This target is suitable for LBO.</p>	<p>lowerEndOfCacRange and upperEndOfCacRange and targetWeight:</p> <p>See eRabAbnormalReleaseRateCharacteristic</p> <p>eRabSetupFailureRateTarget: Integer 0..100 (representing a percentage)</p>
esActivationOriginalCellLoadParameters	<p>This attribute is relevant, if the cell acts as an original cell.</p> <p>This attribute indicates the traffic load threshold and the time duration, which are used by distributed ES algorithms to allow a cell to enter the energySaving state. The time duration indicates how long the load needs to have been below the threshold.</p>	<p>Threshold: Integer 0..100 (Percentage of PRB usage, see 3GPP TS 36.314 [13])</p> <p>TimeDuration: Integer (in unit of seconds)</p>
esActivationCandidateCellsLoadParameters	<p>This attribute is relevant, if the cell acts as a candidate cell.</p> <p>This attribute indicates the traffic load threshold and the time duration, which are used by distributed ES algorithms level to allow a n "original" cell to enter the energySaving state. Threshold and duration are applied to the candidate cell(s) which will provides coverage backup of an original cell when it is in the energySaving state. The threshold applies in the same way for a candidate cell, no matter for which original cell it will provide backup coverage.</p> <p>The time duration indicates how long the traffic in the candidate cell needs to have been below the threshold before any original cells which will be provided backup coverage by the candidate cell enters energy saving state.</p>	<p>Threshold: Integer 0..100 (Percentage of PRB usage (see 3GPP TS 36.314 [13]))</p> <p>TimeDuration: Integer (in unit of seconds)</p>

esDeactivationCandidateCellsLoadParameters	<p>This attribute is relevant, if the cell acts as a candidate cell.</p> <p>This attribute indicates the traffic load threshold and the time duration which is used by distributed ES algorithms to allow a cell to leave the energySaving state. Threshold and time duration are applied to the candidate cell when it provides coverage backup for the cell in energySaving state. The threshold applies in the same way for a candidate cell, no matter for which original cell it provides backup coverage.</p> <p>The time duration indicates how long the traffic in the candidate cell needs to have been above the threshold to wake up one or more original cells which have been provided backup coverage by the candidate cell.</p>	<p>Threshold: Integer 0..100 (Percentage of PRB usage (see 3GPP TS 36.314 [13]))</p> <p>TimeDuration: Integer (in unit of seconds)</p>
esNotAllowedTimePeriod	<p>This attribute indicates a list of time periods during which inter-RAT energy saving is not allowed.</p> <p>Time period is valid on the specified day and time of every week.</p>	<p>The legal values are as follows:</p> <p>startTime and endTime: All values that indicate valid UTC time. endTime should be later than startTime.</p> <p>periodOfDay: structure of startTime and endTime.</p> <p>daysOfWeekList: list of weekday. weekday: Monday, Tuesday, ... Sunday.</p> <p>List of time periods: { { daysOfWeek daysOfWeekList, periodOfDay dailyPeriod } }</p>
esSwitch	This attribute determines whether the energy saving function is enabled or disabled.	On, off
hoFailureRate	<p>This indicates the assigned HOO target of the number of failure events related to handover divided by the total number of handover events, together with its targetWeight.</p> <p>This target is suitable for HOO or LBO.</p>	A set of two numbers: the first indicates a percentage, the second a targetWeight (see eRabAbnormalReleaseRateCharacteristic).
hooSwitch	This attribute determines whether the Handover parameter Optimization Function is activated or deactivated.	On, off
lboSwitch	This attribute determines whether the Load Balancing Optimization Function is activated or deactivated.	On, off
maximumDeviationHoTrigger	This parameter allows the IRPManager to define the maximum allowed absolute deviation of the cell pair specific part of Handover Trigger (as defined in [14] (§22.4.1.4), from the default point of operation	Integer (+1..+96) Unit: 0.5 dB
minimumTimeBetweenHoTriggerChange	This parameter defines the minimum allowed time interval between two changes of the Handover Trigger performed by MRO.	Integer (0..1440) Unit: Minutes

rachOptAccessDelayProbability	<p>This is a list of target Access Delay probability (AD_P) for the RACH optimization function.</p> <p>Each instance AD_P of the list is the target time before the UE gets access on the random access channel, for the P percent of the successful RACH Access attempts with lowest access delay, over an unspecified sampling period.</p> <p>This target is suitable for RO.</p>	<p>Each element of the list, AD_{P_n}, is a pair (a, b) where a is the targetProbability (in %) and b is the access delay (in milliseconds).</p> <p>The legal values for a are 25, 50, 75, 90. The legal values for b are 10 to 560.</p> <p>If AD_{P_x}'s a is larger than that of AD_{P_y}, then AD_{P_x}'s b must be larger than that of AD_{P_y}.</p> <p>The number of elements specified is 4. The number of elements supported is vendor specific. The choice of supported values for a and b is vendor-specific.</p>
rachOptAccessProbability	<p>This is a list of target Access Probability (AP_n) for the RACH optimization function.</p> <p>Each instance AP_n of the list is the probability that the UE gets access on the random access channel within n number of attempts, over an unspecified sampling period.</p> <p>This target is suitable for RO.</p>	<p>Each element of the list, AP_n, is a pair (a, n) where a is the targetProbability (in %) and n is the access attempt number.</p> <p>The legal values for a are 25, 50, 75, 90. The legal values for n are 1 to 200.</p> <p>If AP_x's a is larger than that of AP_y, then AP_x's n must be larger than that of AP_y.</p> <p>The number of elements specified is 4. The number of elements supported is vendor specific. The choice of supported values for a and n is vendor-specific.</p>
roSwitch	<p>This attribute determines whether the RACH Optimization function is activated or deactivated.</p>	<p>On, off</p>

<p>rrcConnectionAbnormalReleaseRateCharacteristic</p>	<p>The target is on the number of abnormal RRC connection releases related to load divided by the total number of RRC connection releases.</p> <p>This attribute allows to define for a value the composite available capacity (CAC) range in which the target is valid. For this, it contains one characteristic dependent on Uplink CAC, one for Downlink CAC: rrcConnectionAbnormalReleaseRateCharacteristicDownlink and rrcConnectionAbnormalReleaseRateCharacteristicUplink.</p> <p>At least one of these characteristics must be present. Together with the characteristic its targetWeight as a SON target is defined as part of this attribute. The characteristics have the following structure: rrcConnectionAbnormalReleaseRateCharacteristicDownlink: List of one or more entries, each consisting of: lowerEndOfCacRange, upperEndOfCacRange, rrcConnectionAbnormalReleaseRateTarget</p> <p>rrcConnectionAbnormalReleaseRateCharacteristicUplink: List of one or more entries, each consisting of: lowerEndOfCacRange, upperEndOfCacRange, rrcConnectionAbnormalReleaseRateTarget</p> <p>For CAC see eRabAbnormalReleaseRateCharacteristic</p> <p>This target is suitable for LBO.</p>	<p>lowerEndOfCacRange and upperEndOfCacRange and targetWeight: See eRabAbnormalReleaseRateCharacteristic</p> <p>rrcConnectionAbnormalReleaseRateTarget: Integer 0..100 (representing a percentage)</p>
<p>rrcConnectionEstablishmentFailureRateCharacteristic</p>	<p>The target is on the number of RRC connection establishment failures related to load divided by the total number of attempted RRC connection establishments.</p> <p>This attribute allows to define for a value the composite available capacity (CAC) range in which the target is valid. For this, it contains one characteristic dependent on Uplink CAC, one for Downlink CAC: rrcConnectionEstablishmentFailureRateCharacteristicDownlink and rrcConnectionEstablishmentFailureRateCharacteristicUplink.</p> <p>At least one of these characteristics must be present. Together with the characteristic its targetWeight as a SON target is defined as part of this attribute. The characteristics have the following structure: rrcConnectionEstablishmentFailureRateCharacteristicDownlink: List of one or more entries, each consisting of: lowerEndOfCacRange, upperEndOfCacRange, rrcConnectionEstablishmentFailureRateTarget</p> <p>rrcConnectionEstablishmentFailureRateCharacteristicUplink: List of one or more entries, each consisting of: lowerEndOfCacRange, upperEndOfCacRange, rrcConnectionEstablishmentFailureRateTarget</p> <p>For CAC see eRabAbnormalReleaseRateCharacteristic</p> <p>This target is suitable for LBO.</p>	<p>lowerEndOfCacRange and upperEndOfCacRange and targetWeight: See eRabAbnormalReleaseRateCharacteristic</p> <p>rrcConnectionEstablishmentFailureRateTarget: Integer 0..100 (representing a percentage)</p>

5.5.2 Constraints

None.

5.6 Common Notifications

5.6.1 Configuration notifications

Name	Qualifier	Notes
notifyAttributeValueChange	O	
notifyObjectCreation	O	
notifyObjectDeletion	O	

Annex A (informative): Target Achievement Evaluation

To evaluate the result of the optimization the target achievement needs to be evaluated. This can be done by calculating the Total Target Achievement as follows.

The Total Target Achievement is the sum of the products of the individual target achievement (difference between target and performance) and the individual targetWeights:

$$\begin{aligned} \text{Total Target Achievement} = & \\ & \text{Sum}_{i=1..n} [(\text{minTarget}_i - \text{performance}_i) \times \text{weight}_i] \\ & + \text{Sum}_{j=1..n} [\text{performance}_j - \text{maxTarget}_j] \times \text{weight}_j] \end{aligned}$$

where minTarget is a target to be minimized and maxTarget is a target to be maximized.

For targets with a substructure (like *Characteristic, see §5.5.1) the above formula is applied to each individual substructure target.

The higher the Total Target Achievement, the better is the result of the optimization.

Annex B (informative): Examples of how to use general SON coordination solutions

Examples of how to use general SON coordination solutions are as below:

- Coordination of COC and CCO using priority of SON functions

To prevent conflicting setting as illustrated in clause 4.7.3.2.1, the cell(s) which may need to be coordinated between COC and CCO, i.e., the impact area information, should be known by the SON Coordination Function. By comparing the priorities of COC and CCO, SON Coordination Function will decide to do the high-priority action and suspend the low-priority action on the coordinated cell(s). The priorities of COC and CCO can be preset by operator to SON Coordination Function, or SON Coordination Function inquires the default priority of COC and CCO to each of them.

The cell which needs to be coordinated between COC and CCO is:

- The cell in which there is coverage or capacity problem and CCO action is needed, meanwhile the cell is outage or compensating or going to compensate for outage; or
- The cell which is located in the CCO optimization analysis area for other cell which has coverage or capacity problem, meanwhile the cell is outage or compensating or going to compensating for outage;

After the high-priority SON function executes action, the SON Coordination Function is informed about the result (successful/unsuccessful, parameters changes) of the executed high-priority SON action. Then, SON Coordination Function will analyse the latest network situation to decide how to deal with the suspended low-priority SON action, for example, resuming or rejecting.

- Coordination of COC, CCO and ESM using priority of SON functions

To prevent conflicting adjustment from COC, ESM and optimization from CCO in the common affected cell(s) (e.g., Cell 2 in the Figure 4.7.3.2), the cell(s) which may need to be coordinated among COC, CCO and ESM, i.e., the impact area information, should be known by the SON Coordination Function. By comparing the priorities of COC, CCO and ESM, SON Coordination Function will decide to do the highest-priority action and suspend the low-priority actions on the coordinated cell(s). The priorities of COC, CCO and ESM can be preset by operator to SON Coordination Function, or SON Coordination Function inquires the default priority of COC, CCO and ESM to each of them.

The cell which needs to be coordinated among COC, CCO and ESM is:

- The cell in which there is coverage or capacity problem and CCO action is needed, meanwhile the cell is outage or compensating or going to compensate for outage or ES; or
- The cell which is located in the CCO optimization analysis area for other cell which has coverage or capacity problem, meanwhile the cell is outage or compensating or going to compensate for outage or ES;

After the highest-priority SON function executes action, the SON Coordination Function is informed about the result (successful/unsuccessful, parameters changes) of the executed highest-priority SON action. Then, SON Coordination Function will analyse the latest network situation to decide how to deal with the suspended low-priority SON actions, for example, resuming or rejecting.

Annex C(informative): Change history

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2010-03	SA#47	SP-100053	--	--	Presentation to SA for Information and Approval	--	1.0.0
2010-03	--	--	--	--	Publication of SA approved version	1.0.0	9.0.0
2010-09	SA#49	SP-100491	001	--	Remove targets based on not supported by measurements	9.0.0	9.1.0
2010-12	SA#50	SP-100858	003	1	Correcting the support qualifiers of SONControl attributes	9.1.0	9.2.0
2010-12	SA#50	SP-100749	005	-	Disambiguate and correct the description of SON Targets hierarchy	9.1.0	9.2.0
2010-12	SA#50	SP-100833	002	3	Allow EM centralized architecture for Hand-over parameter optimization - Align with 32.500	9.2.0	10.0.0
2010-12	SA#50	SP-100866	004	3	Adding NRM for Energy Saving Management policies and ESM switch	9.2.0	10.0.0
2011-01	--	--	--	-	Correction of misimplementation of CR in clause 5.3.2.3 and CR history correction.	10.0.0	10.0.1
2011-03	SA#51	SP-110098	010	2	Introducing RACH optimization management	10.0.1	10.1.0
2011-03	SA#51	SP-110098	011	-	Rapporteur clean-up for editorial errors	10.0.1	10.1.0
2011-03	SA#51	SP-110100	013	3	Use ESPolicies also for EM centralized architecture	10.0.1	10.1.0
2011-03	SA#51	SP-110100	014	-	Correct cardinality of ESPolicies	10.0.1	10.1.0
2011-03	SA#51				Add a new attribute into SONControl object class to switch on/off Cell Outage Compensation - Align with 32.541	10.0.1	10.1.0
2011-06	SA#52	SP-110284	018	1	Finalization of Rel-10 SON functionalities	10.1.0	10.2.0
2011-06	SA#52	SP-110284	066	2	Add parameters to control Mobility Robustness Optimization	10.1.0	10.2.0
2011-09	SA#53	SP-110634	066a	1	Add PM for Inter-RAT MRO	10.2.0	11.0.0
2011-12	SA#54	SP-110718	068	1	Adding definition of SON coordination	11.0.0	11.1.0
2011-12	SA#54	SP-110721	071	1	Correction MRO management of HO Trigger	11.0.0	11.1.0
2012-06	SA#56	SP-120364	078	1	Adding coordination use case among CCO, COC and ESM	11.1.0	11.2.0
2012-06	SA#56	SP-120358	087	--	Remove the EUTRANRelationSON in the UML	11.1.0	11.2.0
2012-06	SA#56	SP-120364	088	1	Add SON Coordination use case between SON functions below ltf-N and CM operations over ltf-N	11.1.0	11.2.0
2012-06	SA#56	SP-120364	091	2	Common SON coordination solution	11.1.0	11.2.0
2012-06	SA#56	SP-120371	092	1	Add time period configuration to allow inter-RAT energy saving	11.1.0	11.2.0
2012-09	SA#57	SP-120645	096	2	Remove Inter-RAT ES restriction for esNotAllowedTimePeriod	11.2.0	11.3.0
2012-09	SA#57	SP-120645	098	2	Correct candidateCellLoadParameters	11.2.0	11.3.0
2012-09	SA#57	SP-120573	103	--	Add support for Inter-RAT Energy Saving Management	11.2.0	11.3.0
2012-09	SA#57	SP-120567	105	-	Move SON coordination concept description to TS 32.521	11.2.0	11.3.0
2012-09	SA#57	SP-120567	107	1	Coordination between ES and cell compensation	11.2.0	11.3.0
2012-09	SA#57	SP-120567	108	3	Change description of Coordination between SON functions below ltf-N and CM operations over ltf-N	11.2.0	11.3.0
2012-09	SA#57	SP-120567	109	2	Enhancement of general SON coordination solutions	11.2.0	11.3.0
2012-09	SA#57	SP-120567	110	2	Add examples of how to use general SON coordination solutions	11.2.0	11.3.0

History

Document history		
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