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Foreword

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1 Scope

The present document is a technical specification of the services provided by the physical layer of E-UTRA to upper layers.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
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- [1] 3GPP TS 41.101: "Technical Specifications and Technical Reports for a GERAN-based 3GPP system".

Support Team note: The reference above is not used in the present document.

[2] 3GPP TR 21 912 (V3.1.0): "Example 2, using fixed text".

Support Team note: The reference above is invalid (there is no such spec) and not used in the present document.

[3] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[4] 3GPP TR 25.913: "Requirements for Evolved UTRA (E-UTRA) and Evolved UTRAN (E-UTRAN)".

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[5] 3GPP TR 25.814, Physical aspects for Evolved UTRA

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[6] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRAN); Overall description; Stage 2".

Support Team note: The reference above is not used in the present document.

[7] 3GPP TS 36.201: 'Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer; General description'.

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[8] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical channels and modulation".

[9] 3GPP TS 36.212: "Evolved Universal Terrestrial Radio Access (E-UTRA); Multiplexing and channel coding".

[10] 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures".

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[11] 3GPP TS 36.214: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer; Measurements".

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[12] 3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access

Control (MAC) protocol specification".

[13] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE)

radio access capabilities".

[14] 3GPP TS 23.303: "Technical Specification Group Services and System Aspects; Proximity-based

services (ProSe)".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [3] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [3].

Carrier frequency: center frequency of the cell.

Frequency layer: set of cells with the same carrier frequency.

Sidelink: UE to UE interface for ProSe Direct Communication and ProSe Direct Discovery. The sidelink corresponds to the PC5 interface as defined in TS 23.303 [14].

Timing Advance Group: See the definition in [12].

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [3] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [3].

For the purposes of the present document, the following abbreviations apply:

ACK Acknowledgement

ACLR Adjacent Channel Leakage Ratio

aGW Access Gateway
AM Acknowledge Mode
ARQ Automatic Repeat Request

AS Access Stratum

BCCH Broadcast Control Channel

BCH Broadcast Channel

C/I Carrier-to-Interference Power Ratio
CAZAC Constant Amplitude Zero Auto-Correlation

CG Cell Group

CMAS Commercial Mobile Altert System
CMC Connection Mobility Control

CP Cyclic Prefix C-plane Control Plane

CRC Cyclic Redundancy Check
CSI Channel State Information

DC Dual Connectivity

DCCH Dedicated Control Channel

DL Downlink

DRX Discontinuous Reception
DTCH Dedicated Traffic Channel

DTX Discontinuous Transmission

eNB E-UTRAN NodeB

eIMTA Enhanced Interference Management and Traffic Adaptation

EPC Evolved Packet Core

EPDCCH Enhanced physical downlink control channel

E-UTRA Evolved UTRA E-UTRAN Evolved UTRAN

FDD Frequency Division Duplex
FDM Frequency Division Multiplexing
GERAN GSM EDGE Radio Access Network
GNSS Global Navigation Satellite System
GSM Global System for Mobile communication

HARQ Hybrid ARQ HO Handover

HSDPA High Speed Downlink Packet Access ICIC Inter-Cell Interference Coordination

IP Internet Protocol
LB Load Balancing
LCR Low Chip Rate
LTE Long Term Evolution
MAC Medium Access Control

MBMS Multimedia Broadcast Multicast Service

MBSFN Multimedia Broadcast multicast service Single Frequency Network

MCCH Multicast Control Channel
MCS Modulation and Coding Scheme
MIMO Multiple Input Multiple Output
MME Mobility Management Entity
MTCH Multicast Traffic Channel
NACK Negative Acknowledgement

NAS Non-Access Stratum

OFDM Orthogonal Frequency Division Multiplexing
OFDMA Orthogonal Frequency Division Multiple Access

PA Power Amplifier

PAPR Peak-to-Average Power Ratio PBCH Physical broadcast channel PCCH Paging Control Channel

PDCCH Physical downlink control channel
PDCP Packet Data Convergence Protocol
PDSCH Physical downlink shared channel

PDU Packet Data Unit PHY Physical layer

PLMN Public Land Mobile Network
PMCH Physical multicast channel
PRACH Physical random access channel
PRB Physical Resource Block
ProSe Proximity based Services

PSBCH Physical Sidelink Broadcast CHannel

PSC Packet Scheduling

PSCCH Physical Sidelink Control Channel

PSCell Primary SCell

PSDCH Physical Sidelink Discovery Channel
PSSCH Physical Sidelink Shared CHannel
PUCCH Physical uplink control channel
PUSCH Physical uplink shared channel
QAM Quadrature Amplitude Modulation

QoS Quality of Service
RAC Radio Admission Control
RACH Random Access Channel
RAT Radio Access Technology

RB Radio Bearer

RBC Radio Bearer Control RF Radio Frequency RLC Radio Link Control
RNL Radio Network Layer
ROHC Robust Header Compression
RRC Radio Resource Control
RRM Radio Resource Management

RU Resource Unit S1-C S1-Control plane S1-U S1-User plane

SAE System Architecture Evolution

SAP Service Access Point

SBCCH Sidelink Broadcast Control CHannel

SC-FDMA Single Carrier – Frequency Division Multiple Access

SCell Secondary Cell

SCH Synchronization Channel SDMA Spatial Division Multiple Access

SDU Service Data Unit

SL-BCH Sidelink Broadcast Channel
SL-DCH Sidelink Discovery Channel
SL-SCH Sidelink Shared Channel
SRS Sounding Reference Symbol
STCH Sidelink Traffic Channel

TA Tracking Area

TAG Timing Advance Group

TB Transport Block

TCP Transmission Control Protocol

TDD Time Division Duplex
TM Transparent Mode
TNL Transport Network Layer
TTI Transmission Time Interval

UE User Equipment

UL Uplink

UM Un-acknowledge Mode

UMTS Universal Mobile Telecommunication System

UPE User Plane Entity
U-plane User plane

UTRA Universal Terrestrial Radio Access

UTRAN Universal Terrestrial Radio Access Network

VRB Virtual Resource Block X2-C X2-Control plane X2-U X2-User plane

4 Interfaces to the physical layer

4.1 Interface to MAC

4.2 Interface to RRC

5 Services and functions of the physical layer

5.1 General

The physical layer offers data transport services to higher layers.

The access to these services is through the use of transport channels via the MAC sub-layer.

A transport block is defined as the data delivered by MAC layer to the physical layer and vice versa. Transport blocks are delivered once every TTI.

5.2 Overview of L1 functions

The physical layer offers data transport services to higher layers. The access to these services is through the use of a transport channel via the MAC sub-layer. The physical layer is expected to perform the following functions in order to provide the data transport service:

- Error detection on the transport channel and indication to higher layers
- FEC encoding/decoding of the transport channel
- Hybrid ARQ soft-combining
- Rate matching of the coded transport channel to physical channels
- Mapping of the coded transport channel onto physical channels
- Power weighting of physical channels
- Modulation and demodulation of physical channels
- Frequency and time synchronisation
- Radio characteristics measurements and indication to higher layers
- Multiple Input Multiple Output (MIMO) antenna processing
- Transmit Diversity (TX diversity)
- Beamforming
- RF processing. (Note: RF processing aspects are specified in the TS 36.100)

L1 functions are modelled for each transport channel in subclauses 6.1, 6.2 and 6.3.

5.3 L1 interactions with MAC retransmission functionality

6 Model of physical layer of the UE

The E-UTRA physical-layer model captures those characteristics of the E-UTRA physical-layer that are relevant from the point-of-view of higher layers. More specifically, the physical-layer model captures:

- The structure of higher-layer data being passed down to or up from the physical layer;
- The means by which higher layers can configure the physical layer;
- The different indications (error indications, channel-quality indications, etc.) that are provided by the physical layer to higher layers;
- Other (non-transport-channel-based) higher-layer peer-to-peer signalling supported by the physical layer.

6.1 Uplink model

6.1.1 Uplink Shared Channel

The physical-layer model for Uplink Shared Channel transmission is described based on the corresponding physical-layer-processing chain, see Figure 6.1.1-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue. It should be noted that, in case PUSCH, the

scheduling decision is fully done at the network side. The uplink transmission control in the UE then configures the uplink physical-layer processing, based on uplink transport-format and resource-assignment information received on the downlink.

- Higher-layer data passed to/from the physical layer
- One transport block of dynamic size delivered to the physical layer once every TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- Physical layer model support of HARQ: in case of Incremental Redundancy, the corresponding Layer 2 Hybrid-ARQ process controls what redundancy version is to be used for the physical layer transmission for each TTI.
- Interleaving
- No control of interleaving by higher layers.
- Data modulation
- Modulation scheme is decided by MAC Scheduler (QPSK, 16QAM and 64QAM).
- Mapping to physical resource
- L2-controlled resource assignment.
- Multi-antenna processing
- MAC Scheduler partly configures mapping from assigned resource blocks to the available number of antenna ports.
- Support of L1 control signalling
- Transmission of ACK/NAK and CSI feedback related to DL data transmission

The model of Figure 6.1.1-1 also captures

- Transport via physical layer of Hybrid-ARQ related information associated with the PUSCH, to the peer HARQ process at the transmitter side;
- Transport via physical layer of corresponding HARQ acknowledgements to PUSCH transmitter side.

If a UE is configured with one or more SCells, the physical-layer-processing chain in Figure 6.1.1-1 is repeated for every UL Serving Cell.

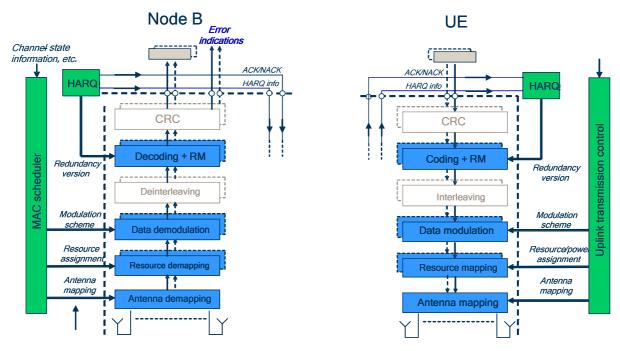


Figure 6.1.1-1: Physical-layer model for UL-SCH transmission

6.1.2 Random-access Channel

6.2 Downlink model

6.2.1 Downlink-Shared Channel

The physical-layer model for Downlink Shared Channel transmission model is described based on the corresponding PDSCH physical-layer-processing chain, see Figure 6.2.1-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue on the figure.

- Higher-layer data passed to/from the physical layer
- N (up to two) transport blocks of dynamic size delivered to the physical layer once every TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- Physical layer model support of HARQ: in case of Incremental Redundancy, the corresponding Layer 2 Hybrid-ARQ process controls what redundancy version is to be used for the physical layer transmission for each TTI.
- Data modulation
- Modulation scheme is decided by MAC Scheduler (QPSK, 16QAM and 64 QAM).

Multi-antenna processing

- MAC Scheduler partly configures mapping from modulated code words (for each stream) to the available number of antenna ports.
- Mapping to physical resource

- L2-controlled resource assignment.
- Support of L1 control signalling
- Transmission of scheduler related control signals.
- Support for Hybrid-ARQ-related signalling

The model of Figure 6.2.1-1 also captures:

- Transport via physical layer of Hybrid-ARQ related information associated with the PDSCH, to the peer HARQ process at the receiver side;
- Transport via physical layer of corresponding HARQ acknowledgements to PDSCH transmitter side.

If a UE is configured with one or more SCells, the physical-layer-processing chain in Figure 6.2.1-1 is repeated for every DL Serving Cell.

NOTE: The signalling of transport-format and resource-allocation is not captured in the physical-layer model. At the transmitter side, this information can be directly derived from the configuration of the physical layer. The physical layer then transports this information over the radio interface to its peer physical layer, presumably multiplexed in one way or another with the HARQ-related information. On the receiver side, this information is, in contrast to the HARQ-related information, used directly within the physical layer for PDSCH demodulation, decoding etc., without passing through higher layers.

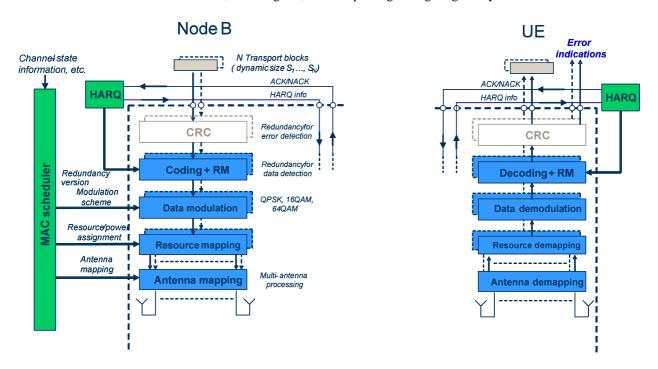


Figure 6.2.1-1: Physical-layer model for DL-SCH transmission

6.2.2 Broadcast Channel

The physical-layer model for BCH transmission is characterized by a fixed pre-defined transport format. The TTI (repetition rate) of the BCH is 40 ms. The BCH physical-layer model is described based on the corresponding BCH physical-layer-processing chain, see Figure 6.2.2-1:

- Higher-layer data passed to/from the physical layer
- A single (fixed-size) transport block per TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.

FEC and rate matching

- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- No BCH Hybrid ARQ, i.e. no higher-layer control of redundancy version.

- Data modulation

- Fixed modulation scheme (QPSK), i.e. no higher-layer control.

- Mapping to physical resource

- Fixed pre-determined transport format and resource allocation, i.e. no higher-layer control.

- Multi-antenna processing

- Fixed pre-determined processing, i.e. no higher-layer control.

Support for Hybrid-ARQ-related signalling

- No Hybrid ARQ.

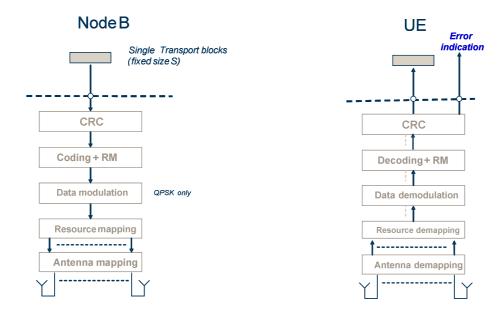


Figure 6.2.2-1: Physical-layer model for BCH transmission

6.2.3 Paging Channel

The physical-layer model for PCH transmission is described based on the corresponding PCH physical-layer-processing chain, see Figure 6.2.3-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue on the figure.

- Higher-layer data passed to/from the physical layer

- A single transport block per TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.

- FEC and rate matching

- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- No PCH Hybrid ARQ, i.e. no higher-layer control of redundancy version.

- Data modulation
- Modulation scheme is decided by MAC Scheduler.
- Mapping to physical resource
- L2 controlled resource assignment;
- Possible support of dynamic transport format and resource allocation.
- Multi-antenna processing
- MAC Scheduler partly configures mapping from assigned resource blocks to the available number of antenna ports.
- Support for Hybrid-ARQ-related signalling

No Hybrid ARQ.

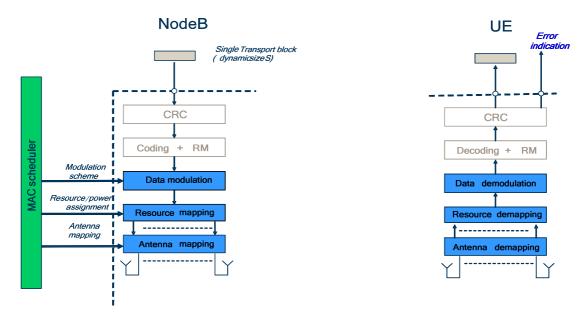


Figure 6.2.3-1: Physical-layer model for PCH transmission

6.2.4 Multicast Channel

The physical-layer model for MCH transmission is characterized by the support for multi-cell reception at the UE (a.k.a. "MBSFN" transmission). This implies that only semi-static configuration of the MCH transport format and resource assignment is possible. The MCH physical-layer model is described based on the corresponding MCH physical-layer-processing chain, see Figure 6.2.4-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue.

- Higher-layer data passed to/from the physical layer
- One transport block delivered to physical layer once every TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- No MCH Hybrid ARQ, i.e. no higher-layer control of redundancy version.
- Data modulation

- Modulation scheme is configured by RRC layer.
- Mapping to physical resource
- L2 controlled semi–static resource assignment.
- Multi-antenna processing
- MAC Scheduler partly configures mapping from assigned resource blocks (for each stream) to the available number of antenna ports.
- Support for Hybrid-ARQ-related signalling
- No Hybrid ARQ.

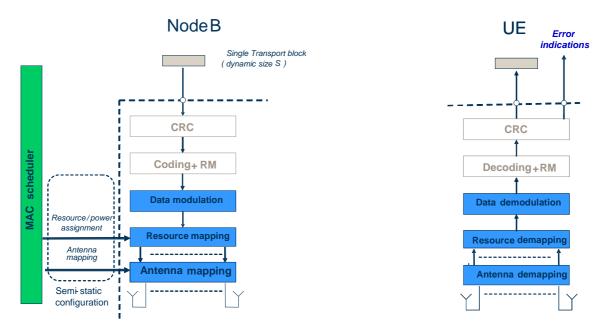


Figure 6.2.4-1: Physical-layer model for MCH transmission

6.3 Sidelink model

6.3.1 Sidelink Broadcast Channel

The physical-layer model for Sidelink Broadcast Channel transmission is characterized by a fixed pre-defined transport format. The TTI (repetition rate) of the SL-BCH is 40ms if a UE is configured to transmit on SL-BCH. The SL-BCH physical-layer model is described based on the corresponding SL-BCH physical-layer-processing chain, see Figure 6.3.1-1.

- Higher-layer data passed to/from the physical layer
- A single (fixed-size) transport block per TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- No SL-BCH Hybrid ARQ, i.e. no higher-layer control of redundancy version.

- Data modulation
- Fixed modulation scheme (QPSK), i.e. no higher-layer control.
- Mapping to physical resource
- Fixed pre-determined transport format i.e. no higher-layer control.
- RRC controlled semi-static resource assignment.
- Multi-antenna processing
- Single antenna port is used.
- Support for Hybrid-ARQ-related signalling
- No Hybrid ARQ.

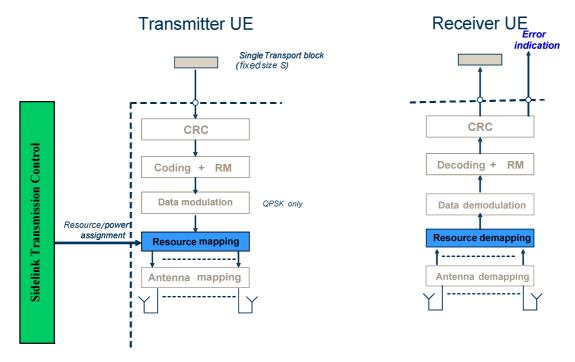


Figure 6.3.1-1: Physical-layer model for SL-BCH transmission

6.3.2 Sidelink Discovery Channel

The physical-layer model for Sidelink Discovery Channel transmission is characterized by a fixed pre-defined transport format. The SL-DCH physical-layer model is described based on the corresponding SL-DCH physical-layer-processing chain, see Figure 6.3.2-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue. It should be noted that, in case scheduled resource allocation of SL-DCH, the scheduling decision is fully done by network side. The sidelink transmission control in the UE configures the sidelink physical-layer processing, based on sidelink transport-format and resource-assignment information received on the downlink. In case UE autonomous resource selection of SL-DCH, the scheduling decision is done by UE side. The sidelink transmission control in the UE configures the sidelink physical-layer processing, based on pre-defined sidelink transport-format and UE randomly selected resource-assignment.

- Higher-layer data passed to/from the physical layer
- A single (fixed-size) transport block per TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layer.

FEC and rate matching

- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- Support for soft combining, but no support for ACK/NACK feedback.
- Data modulation
- Fixed modulation scheme (QPSK), i.e. no higher-layer control.
- Mapping to physical resource
- RRC controlled semi-static resource assignment;
- Multi-antenna processing
- Single antenna port is used.

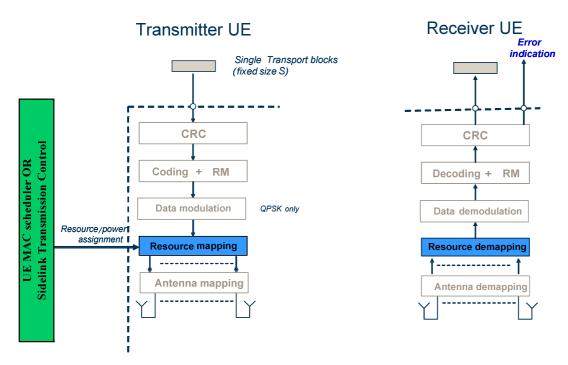


Figure 6.3.2-1: Physical-layer model for SL-DCH transmission

6.3.3 Sidelink Shared Channel

The physical-layer model for Sidelink Shared Channel transmission is described based on the corresponding SL-SCH physical-layer-processing chain, see Figure 6.3.3-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue on the figure. It should be noted that, in case of scheduled resource allocation, the SL-SCH scheduling decision is done by network side. The sidelink transmission control in the UE configures the sidelink physical-layer processing, based on sidelink transport-format and resource-assignment information received on the downlink. In case of UE autonomous resource selection, the SL-SCH scheduling decision is done by UE side, and The MAC scheduler in the UE configures the sidelink physical-layer processing, based on the sidelink transport-format autonomously decided by the UE and randomly selected resource-assignment.

- Higher-layer data passed to/from the physical layer
- One transport block of dynamic size delivered to the physical layer once every TTI.
- CRC and transport-block-error indication

- Transport-block-error indication delivered to higher layers.

- FEC and rate matching

- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- Support for soft combining, but no support for ACK/NACK feedback.

- Data modulation

- For scheduled resource allocation, modulation scheme is decided by higher layer signaling from eNB.
- For UE autonomous resource selection, modulation scheme is decided by MAC scheduler (QPSK, 16QAM) in transmitter UE.

- Mapping to physical resource

- L2-controlled resource assignment.

- Multi-antenna processing

- Single antenna port is used.

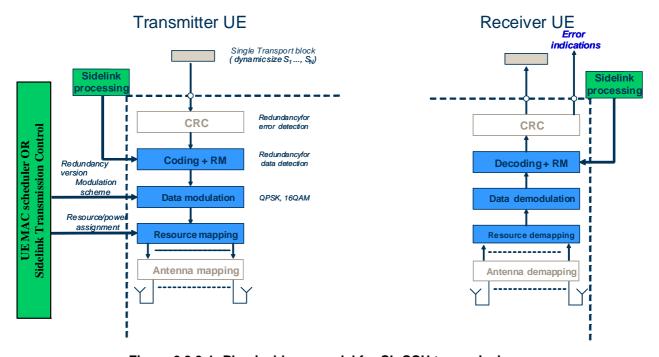


Figure 6.3.3-1: Physical-layer model for SL-SCH transmission

7 Void

8 Parallel transmission of simultaneous Physical Channels and SRS

This clause describes the requirements from the UE to send and receive on multiple Physical and Transport Channels and SRS simultaneously depending on the service capabilities and requirements.

8.1 **Uplink**

The table 8.1-1 describes the possible combinations of physical channels that can be sent in parallel in the uplink within the same subframe.

Table 8.1-1: Uplink

	Physical	Transport	Mandatory dependent	Comment
	Channel	Channel	on UE radio access	
	Combination	Combination	capabilities	
1	q x PUSCH	UL-SCH	Mandatory	Note 1, Note 2
2	k x PRACH	RACH	Mandatory	Note 4
3	k x PUCCH	N/A	Mandatory	CSI and Scheduling Requests are provided to Layer 2. Note 4
4	q x PUSCH + k x PUCCH	UL-SCH	Mandatory for UEs supporting simultaneous transmission of PUSCH and PUCCH	Note1, Note 2, Note 4
5	k x PRACH +(q-k) x PUSCH	RACH UL-SCH	Mandatory for UEs supporting multiple TAGs	Note 1, Note 2, Note 3, Note 4
6	k x PRACH + k x PUCCH	RACH	Mandatory for UEs supporting multiple TAGs	Note 3, Note 4
7	k x PRACH +(q-k) x PUSCH + k x PUCCH	RACH UL-SCH	Mandatory for UEs supporting simultaneous transmission of PUSCH and PUCCH and multiple TAGs	Note 1, Note 2, Note 3, Note 4

One PUSCH per UL CC. Note 1:

Note 2: q is the number of UL CCs supported by the UE. q = 1 implies non-CA capable UE.

Note 3:

PRACH and PUSCH/PUCCH are from cells in different Timing Advance Groups [12]. k is the number of CGs supported by the UE. k = 1 implies non-DC capable UE. k = 2 implies DC capable UE, Note 4:

and one PUCCH and one PRACH per CG.

The table 8.1-2 describes the possible combinations of SRS and physical channels that can be sent in parallel in uplink in the last symbol within the same subframe by one UE.

Table 8.1-2: Uplink in combinations with SRS

	Physical Channel and SRS Combination	Transport Channel Combination	Mandatory dependent on UE radio access capabilities	Comment
1	q x SRS	N/A	Mandatory	Note 2, Note 4
2	k x PRACH +(q-k) x SRS	RACH	Mandatory for UEs supporting multiple TAGs	Note 2, Note 3, Note 4, Note 7
3	n x PUSCH + (q-n) x SRS	UL-SCH	Mandatory for UEs supporting multiple TAGs	Note 1, Note 2, Note 4, Note 5, Note 6
4	<i>k</i> x PUCCH + <i>(q-k)</i> x SRS	N/A	Mandatory for UEs supporting multiple TAGs	Note 2, Note 4, Note 6, Note 7
5	n x PUSCH + k x PUCCH + (q-n) x SRS	UL-SCH	Mandatory for UEs supporting simultaneous transmission of PUSCH and PUCCH and multiple TAGs	Note 1, Note 2, Note 4, Note 5, Note 6, Note 7
6	k x PRACH + n x PUSCH + (q-n-k) x SRS	RACH UL-SCH	Mandatory for UEs supporting multiple TAGs	Note 1, Note 2, Note 3, Note 4, Note 5, Note 6, Note 7
7	k x PRACH + k x PUCCH + (q-2 x k) x SRS	RACH	Mandatory for UEs supporting multiple TAGs	Note 2, Note 3, Note 4, Note 6, Note 7
8	k x PRACH + n x PUSCH + k x PUCCH + (q-n-k) x SRS	RACH UL-SCH	Mandatory for UEs supporting simultaneous transmission of PUSCH and PUCCH and multiple TAGs	Note 1, Note 2, Note 3, Note 4, Note 5, Note 6, Note 7

Note 1: One PUSCH per UL CC.

Note 2: q is the number of UL CCs supported by the UE. q = 1 implies non-CA capable UE.

Note 3: PRACH and PUSCH/PUCCH/SRS are from cells in different TAGs [12].

Note 4: One SRS per UL CC.

Note 5: n = 1, 2, ..., q-k.

Note 6: If UE is not configured with multiple TAGs, then SRS and PUSCH/PUCCH are not transmitted in parallel; otherwise, if UE is configured with multiple TAGs, then SRS and PUSCH/PUCCH are transmitted in parallel from different serving cells of the same TAG or different TAGs.

Note 7: k is the number of CGs supported by the UE. k = 1 implies non-DC capable UE. k = 2 implies DC capable UE, and one PUCCH and one PRACH per CG.

8.2 Downlink

The table describes the possible combinations of physical channels that can be received in parallel in the downlink in the same subframe by one UE. In one subframe, the UE shall be able to receive all TBs according to the indication on PDCCH.

Table 8.2-1: Downlink "Reception Types"

"Reception Type"	Physical Channel(s)	Monitored RNTI	Associated Transport Channel
А	PBCH	N/A	ВСН
В	PDCCH+PDSCH	SI-RNTI	DL-SCH
С	PDCCH+PDSCH	P-RNTI	PCH
D	PDCCH+PDSCH	RA-RNTI (Note 3)	DL-SCH
		Temporary C-RNTI (Note 3) (Note 4)	DL-SCH
	(PDCCH/EPDCCH) +PDSCH	C-RNTI and Semi-Persistent Scheduling C-RNTI	DL-SCH
D1	(PDCCH/EPDCCH) +PDSCH	C-RNTI	DL-SCH
	(Note 9)		
E	PDCCH/EPDCCH (Note 1)	C-RNTI	N/A
F	PDCCH	Temporary C-RNTI (Note 5)	UL-SCH
	PDCCH/EPDCCH	C-RNTI and Semi-Persistent Scheduling C-RNTI	UL-SCH
F1	PDCCH/EPDCCH	C-RNTI	UL-SCH
	(Note 9)		
G	PDCCH	TPC-PUCCH-RNTI	N/A
Н	PDCCH	TPC-PUSCH-RNTI	N/A
I	PDCCH/EPDCCH	Semi-Persistent Scheduling C-RNTI (Note 6)	N/A
J	PDCCH/EPDCCH	Semi-Persistent Scheduling C-RNTI (Note 7)	N/A
K	PDCCH	M-RNTI (Note 8)	N/A
L	PMCH	N/A (Note 8)	MCH
М	PDCCH	elMTA-RNTI	N/A

Note 1: PDCCH or EPDCCH is used to convey PDCCH order for Random Access.

Note 2: Void.

Note 3: RA-RNTI and Temporary C-RNTI are mutually exclusive and only applicable during Random Access procedure.

Note 4: Temporary C-RNTI is only applicable when no valid C-RNTI is available.

Note 5: Temporary C-RNTI is only applicable during contention-based Random Access procedure.

Note 6: Semi-Persistent Scheduling C-RNTI is used for DL Semi-Persistent Scheduling release.

Note 7: Semi-Persistent Scheduling C-RNTI is used for UL Semi-Persistent Scheduling release.

Note 8: In MBSFN subframes only

Note 9: DL-SCH reception corresponding to D1, and UL-SCH transmission corresponding to F1, are only applicable to SCells.

Table 8.2-2: Downlink "Reception Type" Combinations

	PCell	PSCell	SCell	Non-serving cell			
1. RRC_IDLE			T	T			
1.1 All UEs	A + B + C + D						
		ation for Random Access	procedure is only require	ed, related to D.			
1.2 UEs supporting MBMS	K+L						
2. RRC_CONNECTED		.	Τ	Τ			
2.1 All UEs	A + B + (D or E or G or I) + (F or H or J) + M	A + (D or E or G or I) + (F or H or J) + M	(E or D1) + F1				
	Remarks: Combination EPDCCH.	involving EPDCCH is op	otional and required only	for UE supporting			
2.2 UEs supporting FS2	A + B + (D or E or G or I) + (F or H or J) + F + M	A + (D or E or G or I) + (F or H or J) + F + M	(E or D1) + F1				
	same subframe for UL- Remarks: Combination	SCH in two different upling	PDCCHs or EPDCCHs nk subframes. optional and required of				
	EPDCCH.		T	T			
2.3 UEs supporting MBMS	((E or G or I) + L + K) or (A + B + D) + (F or H or J) + M	((E or G or I) + L + K) or (A + B + D) + (F or H or J) + M	(E + L + K) or (D1 + B) + F1	(A + B) or (L + K)			
	Remarks: Combination involving EPDCCH is optional and required only for UE supporting EPDCCH.						
	Remarks: The combination is the requirement when MBMS reception is on PCell and/or any other cell. r is the number of DL CCs on which the UE supports MBMS reception according to the MBMSInterestIndication. The number of L and the number of K $\leq r$.						
	Remarks: It is not required to simultaneously receive EPDCCH and PMCH on the same cell.						
2.4 MBMS UEs supporting FS2	((E or G or I) + L + K) or (A + B + D) + 1x(F or H or J) + F + M	((E or G or I) + L + K) or (A + B + D) + 1x(F or H or J) + F + M	(E + L + K) or (D1 + B) + F1	(A + B) or (L + K)			
	Remarks: For TDD UL/DL configuration 0, two PDCCHs or EPDCCHs can be received in the same subframe for UL-SCH in two different uplink subframes.						
	Remarks: The combination is the requirement when MBMS reception is on PCell and/or any other cell. r is the number of DL CCs on which the UE supports MBMS reception according to the MBMSInterestIndication. The number of L and the number of K $\leq r$.						
	Remarks: Combination involving EPDCCH is optional and required only for UE supporting EPDCCH.						
	Remarks: It is not requ	ired to simultaneously re	ceive EPDCCH and PMC	H on the same cell.			
2.5 UEs supporting ETWS and CMAS	A + B + C + (D or E or G or I) + (F or H or J) + M	A + (D or E or G or I) + (F or H or J) + M	(E or D1) + F1				
	Remarks: Combination involving EPDCCH is optional and required only for UE supporting EPDCCH.						
2.6 ETWS and CMAS UEs supporting FS2	A + B + C + (D or E or G or I) + (F or H or J) + F + M	A + (D or E or G or I) + (F or H or J) + F + M	(E or D1) + F1				
	Remarks: For TDD UL/DL configuration 0, two PDCCHs or EPDCCHs can be received in the same subframe for UL-SCH in two different uplink subframes.						
	Remarks: Combination involving EPDCCH is optional and required only for UE supporting EPDCCH.						
supported by number of F	the UE. For UE not sup 1 is $\leq 2x(q-1)$. $q = p = 1$ ir	porting FS2, the number mplies non-CA capable U	of D1 is \leq (<i>p</i> -1). <i>q</i> is the r of F1 is \leq (<i>q</i> -1). For UE s JE. Only 1xE is possible	supporting FS2, the			
NOTE: The UE is or NOTE: If a UE indicates	ells. 1xM is included if UE supports elMTA. nly required to receive one PDSCH, pertaining to D or D1, per DL CC. ating category 0 is scheduled with PDSCH transmissions exceeding its processing capability as TS 36.306 [13], the prioritization between these PDSCH transmissions is up to the UE						

implementation.

NOTE: Any subset of the combinations specified in table 8.2-2 is also supported.

8.3 Sidelink

The table 8.3-1 describes the possible combinations of physical channels that can be sent in parallel from UE perspective in the sidelink within the same subframe. Table 8.3-2 describes the possible combinations of physical channels that can be received in parallel from UE perspective in the sidelink within the same subframe.

Table 8.3-1: Sidelink transmission

	Physical	Transport	Mandatory dependent	Comment
	Channel	Channel	on UE radio access	
	Combination	Combination	capabilities	
1	PSDCH	SL-DCH	Mandatory for UE	The UE supporting sidelink direct discovery
			supporting sidelink	transmits sidelink direct discovery messages
			direct discovery	on the camped cell (idle) or PCell (connected).
2	PSBCH	SL-BCH	Mandatory for UE	The UE supporting sidelink direct
			supporting sidelink	communication transmits
			direct communication	MasterInformationBlock-SL messages in
				PSBCH on one preconfigured frequency.
3	PSSCH	SL-SCH	Mandatory for UE	The UE supporting sidelink direct
			supporting sidelink	communication transmits sidelink data in
			direct communication	PSSCH on one preconfigured frequency.
4	PSCCH	N/A	Mandatory for UE	The UE supporting sidelink direct
			supporting sidelink	communication transmits sidelink control
			direct communication	information in PSCCH on one preconfigured
				frequency.

NOTE: Depending on the UE capability, the UE may be able to perform simultaneous Uplink and Sidelink transmissions. If the UE is unable to perform simultaneous Uplink and Sidelink transmissions, the UE

prioritises the Uplink transmissions.

NOTE: Depending on the UE capability, the UE may be able to perform simultaneous sidelink direct communication transmissions (PSBCH or PSSCH or PSCCH) and sidelink direct discovery transmission (PSDCH). If the UE is unable to perform simultaneous transmission of sidelink direct communication and discovery, the UE prioritises sidelink direct communication transmissions.

Table 8.3-2: Sidelink reception

	Physical Channel Combination	Transport Channel Combination	Mandatory dependent on UE radio access capabilities	Comment
1	PSDCH	SL-DCH	Mandatory for UE supporting sidelink direct discovery	
2	PSBCH	SL-BCH	Mandatory for UE supporting sidelink direct communication	
3	PSSCH	SL-SCH	Mandatory for UE supporting sidelink direct communication	
4	PSCCH	N/A	Mandatory for UE supporting sidelink direct communication	

NOTE: For sidelink direct communication, the UE shall be able to perform simultaneous Downlink and sidelink direct communication reception. For sidelink direct discovery, depending on the UE capability, the UE may be able to perform simultaneous Downlink and sidelink direct discovery receptions. If the UE is unable to perform simultaneous Downlink and sidelink direct discovery receptions, the UE prioritises the Downlink receptions.

NOTE: If the configured resources for reception of sidelink direct communication and sidelink direct discovery are

overlapped, the UE prioritises sidelink direct communication reception.

9 Measurements provided by the physical layer

9.1 Model of physical layer measurements

9.2 UE Measurements

UE measurement: **Reference signal received power (RSRP)**: Reference signal received power (RSRP) is determined for a considered cell as the linear average over the power contributions (in [W]) of the resource elements that carry cell-specific reference signals within the considered measurement frequency bandwidth. For RSRP determination the cell-specific reference signals R_0 and if available R_1 according to [8] can be used.

If receiver diversity is in use by the UE, the reported value shall be in accordance with [11].

UE measurement: **Sidelink Reference Signal Received Power (S-RSRP)**: Sidelink Reference Signal Received Power (S-RSRP) is defined as the linear average over the power contributions (in [W]) of the resource elements that carry demodulation reference signals associated with PSBCH, within the central 6 PRBs of the applicable subframes.

If receiver diversity is in use by the UE, the reported value shall be in accordance with [11].

UE measurement: **Reference Signal Received Quality (RSRQ)**: Reference Signal Received Quality (RSRQ) is defined as the ratio $N \times RSRP$ / (E-UTRA carrier RSSI), where N is the number of RB"s of the E-UTRA carrier RSSI measurement bandwidth. The measurements in the numerator and denominator shall be made over the same set of resource blocks.

E-UTRA Carrier Received Signal Strength Indicator (RSSI), comprises the linear average of the total received power (in [W]) observed only in OFDM symbols containing reference symbols for antenna port 0, in the measurement bandwidth, over *N* number of resource blocks by the UE from all sources, including co-channel serving and non-serving cells, adjacent channel interference, thermal noise etc.

If receiver diversity is in use by the UE, the reported value shall be in accordance with [11].

UE measurement: **UTRA CPICH RSCP**: Received Signal Code Power, the received power on one code measured on the Primary CPICH.

UE measurement: **UTRA FDD carrier RSSI**: received wide band power, including thermal noise and noise generated in the receiver, within the bandwidth defined by the receiver pulse shaping filter.

UE measurement: **UTRA FDD CPICH Ec/No**: received energy per chip divided by the power density in the band. The CPICH Ec/No is identical to CPICH RSCP/UTRA Carrier RSSI. Measurement is performed on the Primary CPICH.

UE measurement: **GSM carrier RSSI**: Received Signal Strength Indicator, the wide-band received power within the relevant channel bandwidth. Measurement is performed on a GSM BCCH carrier.

UE measurement: **UTRA TDD carrier RSSI**: The received wide band power, including thermal noise and noise generated in the receiver, within the bandwidth defined by the receiver pulse shaping filter, for TDD within a specified timeslot.

UE measurement: **UTRA TDD P-CCPCH RSCP**: Received Signal Code Power, the received power on P-CCPCH of a neighbour UTRA TDD cell.

9.3 E-UTRAN Measurements

The detailed E-UTRAN measurements definition is provided in [9]:

eNode B measurement: **DL RS TX power**: Downlink reference signal transmit power is determined for a considered cell as the linear average over the power contributions (in [W]) of the resource elements that carry cell-specific reference signals which are transmitted by the eNode B within its operating system bandwidth.

For DL RS TX power determination the cell-specific reference signals R_0 and if available R_1 according to [8] can be used.

The reference point for the DL RS TX power measurement shall be the TX antenna connector.

Annex A (informative): Change history

					Change history		
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
11/2006	RP-34	RP-060795	-		First version: presented at TSG-RAN #34 and TSG-RAN WG2 #56	-	0.0.0
					(11/2006)		
05/2007	RP-36	RP-xyztu			Update including physical layer modelling: submitted at TSG-RAN WG2 #58 (05/2006)	0.0.0	0.0.1
06/2007	RP-37	R2-072502			Update including physical Services and functions of the Physical Layer: presented and TSG-RAN WG2 #58bis (06/2006)	0.0.1	0.0.2
06/2007	RP-37	R2-072931			Update after presentation at TSG-RAN WG2 #58bis : physical channel channel terminology used	0.0.2	0.1.0
09/2007	RP-37	RP-070686			Removal of editor"s notes. Presented at TSG-RAN #37 for information	0.1.0	1.0.0
10/2007	R2-59bis	R2-074579			Agreements in RAN1 LS received at RAN2#59 have to be implemented in the specification (by RAN2#59bis): Parallel reception of Physical Broadcast Channel (PBCH) and DL-SCH in the same TTI is feasible; 2 new measurements were introduced for LTE, UE measurement "Reference Signal Received Quality (RSRQ)" and eNode B measurement "DL RS TX power".	1.0.1	1.0.2
10/2007	R2-59bis	R2-074584			Removal of incorrect Parallel reception of physical channels	1.0.2	1.0.3
11/2007	RP-38	RP-070914			Submission to RAN for RAN#38 approval	1.0.3	2.0.0
12/2007	RP-38	-			Apprpved at TSG RAN-38 and placed under change control	2.0.0	8.0.0
03/2009	RP-43	RP-090124		-	Proposed CR on Parallel reception in LTE	8.0.0	8.1.0
	RP-43	RP-090124		-	Correction of out-of-date information	8.0.0	8.1.0
06/2009	RP-44	RP-090509		1	Correction of MBMS	8.1.0	8.2.0
	RP-44	RP-090509		-	Downlink reception types	8.1.0	8.2.0
	RP-44	RP-090509		-	Simultaneous reception of transport channels in the LTE	8.1.0	8.2.0
	RP-44	RP-090509		-	Clarification on the parallel receptions for PDSCHs	8.1.0	8.2.0
12/2009	RP-46	RP-091341		-	Addition of MBMS reception types	8.2.0	9.0.0
	RP-46	RP-091346	0012	-	Remove FFSs from RAN2 specifications	8.2.0	9.0.0
	RP-46	RP-091345	0014	-	Proposed CR to 36.302 on Introduction of CMAS	8.2.0	9.0.0
03/2010	RP-47	RP-100308	0019	1	Correction to RSRP and RSRQ definition with Receiver Diversity to align with TS 36.214	9.0.0	9.1.0
06/2010	RP-48	RP-100556	0020	-	Correction to RSRQ definition to align with TS 36.214	9.1.0	9.2.0
12/2010	RP-50	RP-101226		3	Introduction of CA to TS36.302	9.2.0	10.0.0
03/2011	RP-51	RP-110289	0022	1	Correction to parallel reception and transmission for CA	10.0.0	10.1.0
	RP-51	RP-110270		-	Corrections to TS36.302 on MBMS	10.0.0	
	RP-51	RP-110289		-	Update and correction to TS36.302 for CA	10.0.0	
06/2011	RP-52	RP-110839	0028	-	DL Assignment in MBSFN Subframe	10.1.0	10.2.0
12/2011	RP-54	RP-111716		-	Corrections to channel model		10.3.0
03/2012	RP-55	RP-120326		1	Correction to the combination of physical uplink channels	10.3.0	
09/2012	RP-57	RP-121350		-	Introduction of parallel PRACH and PUSCH/PUCCH/SRS transmission		11.0.0
12/2012	RP-58	RP-121951	0036	-	Correction to parallel PRACH, SRS and PUSCH/PUCCH transmission	11.0.0	11.1.0
	RP-58	RP-121956	0037	-	Introduction of EPDCCH in TS 36.302	11.0.0	11.1.0
03/2013	RP-59	RP-130245	0041	-	Correction to parallel SRS and PUSCH/PUCCH transmission	11.1.0	
06/2013	RP-60	RP-130808	0043	-	Clarification on EPDCCH reception in MBSFN subframes		11.3.0
	RP-60	RP-130808		-	Correction on downlink reception type combinations for UEs supporting multiple TAGs		11.3.0
	RP-60	RP-130808	0045	-	Downlink Reception Type Combinations for MBMS capable UE	11.2.0	11.3.0
09/2013	RP-61	RP-131311		-	Miscellaneous correction to 36.302		11.4.0
03/2014	RP-63	RP-140355		-	MBMS reception on any configured or configurable SCell	11.4.0	
06/2014	RP-64	RP-140884		-	Introduction of the Downlink Reception Types for TDD eIMTA	11.5.0	
	RP-64	RP-140892		-	Correction on simultaneous DL physical channels for idle UE		12.0.0
09/2014	RP-65	RP-141506		1	Updates for low complexity UEs, and the improvements for the representation of the reception requirements		12.1.0
12/2014	RP-66	RP-142135	0056	-	Introduction of dual connectivity	12.1.0	12.2.0
03/2015	RP-67	RP-150376	0059	-	Removal of unnecessary requirement to receive MIB on SCell	12.2.0	12.3.0
06/2015	RP-68	RP-150921	0060	-	Introduction of ProSe	12.3.0	12.4.0

History

	Document history				
V12.1.0	September 2014	Publication			
V12.2.0	February 2015	Publication			
V12.3.0	April 2015	Publication			
V12.4.0	July 2015	Publication			