### ETSITS 136 307 V13.13.0 (2021-05)



#### LTE;

Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements on User Equipments (UEs) supporting a release-independent frequency band (3GPP TS 36.307 version 13.13.0 Release 13)





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#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - APE 7112B Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° w061004871

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- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

#### 1 Scope

The present document specifies requirements for Rel-13 UEs supporting release independent features like:

- additional E-UTRA operating frequency bands on top of Rel-13 of TS 36.101 [2] and TS 36.133 [3];
- additional E-UTRA CA configurations (intra-band/inter-band; also for LAA) on top of Rel-13 of TS 36.101 [2] and TS 36.133 [3];
- additional operating bands and/or CA configurations for specific features (like UE category 0, M1, NB1);
- other release independent features (like 4Rx antenna port, high speed scenario, 8Rx antenna port).

#### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) Radio Transmission and Reception".

NOTE: The considered release is given in the text of the present document that uses [2].

[3] 3GPP TS 36.133: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements for Support of Radio Resource Management".

NOTE: The considered release is given in the text of the present document that uses [3].

[4] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio access capabilities".

NOTE: The considered release is given in the text of the present document that uses [4].

[5] Void.

#### 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

release independent: applicable to some frozen releases, starting from a certain release Rel-M

NOTE 1: Normally, a feature is introduced only in the latest open release Rel-N and future releases are based on the previous one so that future releases inherit the requirements of this feature. Introducing a feature "in a release independent way from Rel-M onwards" (M<N) means it was decided by TSG RAN that this feature would be also beneficial in previous, already frozen releases starting with Rel-M until Rel-(N-1). In order to avoid touching TS 36.101 [2] or TS 36.133 [3] of these frozen releases, the corresponding requirements are captured in TS 36.307 via pointers to [2] or [3] of the release in which the feature was introduced.

NOTE 2: Release independent does not mean applicable to all releases.

#### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

| 4Rx | 4 UE receiver antenna ports   |
|-----|-------------------------------|
| CA  | Carrier Aggregation           |
| CRS | Cell-specific Reference Signa |
| CSI | Channel State Indicator       |
| FDD | Frequency Division Duplex     |
| LAA | License-Assisted Access       |
| RRC | Radio Resource Control        |
| RRM | Radio Resource Management     |
| TDD | Time Division Duplex          |
| UE  | User Equipment                |

#### 3.3 Symbols

For the purposes of the present document, the following symbols apply:

| N | Release in which a feature is introduced into TS 36.101 [2] or TS 36.133 [3]      |
|---|---|
| M | Release from which onwards (including release M) a feature is release independent |

#### 3A Release independent features

#### 3A.0 General

TSG-RAN has agreed for certain features (see the following clauses) to introduce them in a "release independent way".

This means for each feature:

- it is "introduced" in a release N, i.e. TS 36.101 [2] and TS 36.133 [3] of release N define certain UE requirements for this feature; the feature is indicated in the tables of the following clauses;
- it is "release independent" starting from a release M (M<N); M for the given feature is provided in the tables of the following clauses;
- UEs supporting this feature have to fulfill additional requirements in release M or higher which are specified in one or more Annexes of TS 36.307 of release N; the applicable Annexes for a given feature are provided in the tables of the following clauses.

The applicable UE Categories are specified in TS 36.306 [4] according to the release to which the UE conforms.

#### 3A.1 Additional E-UTRA operating bands

Requirements for a Rel-13 UE for additional E-UTRA operating bands compared to TS 36.101 Rel-13 [2] are introduced via this clause.

Table 3A.1-1: E-UTRA operating bands and UE power class

| Feature   | Duplex-<br>mode | Release<br>independent<br>from | Requirements to be<br>fulfilled<br>(see TS 36.307 of the<br>release in which the band<br>was introduced) |
|---|-----------------|--------------------------------|--|
| Operating bands, band number <= 64, Power Class 3 | FDD, TDD        | Rel-8                          | Table B.2.1-1, Table B.4.1-1   |
| Operating bands, band number > 64, Power Class 3  | FDD, TDD        | Rel-9                          | Table B.2.1-1, Table B.4.1-1   |
| Operating bands, NS-value > 32                    | FDD, TDD        | Rel-10                         | Table B.2.1-1, Table B.4.1-1   |
| Asymmetric operating bands, Power Class 3         | FDD             | Rel-10                         | Table B.2.1-1, Table B.4.1-1   |
| Operating bands, band number <= 64, Power Class 1 | FDD             | Rel-10                         | Table B.2.1-1, Table B.4.1-1   |
| Operating bands, Power Class 2                    | TDD             | Rel-10                         | Table B.2.1-1, Table B.4.1-1   |

For example, Band 19 was introduced in the Release 9 specifications. In order to implement a UE conforming to Release 8 but supporting Band 19, it is necessary for the UE to additionally conform to some parts of the Release 9 specifications (see corresponding Annexes of TS 36.307 Rel-9 which will point to the requirements in the Rel-9 of TS 36.101 [2] or TS 36.133 [3] to be fulfilled), such as the radio frequency and radio resource management requirements for the Band 19.

#### Additional E-UTRA CA configurations 3A.2

Requirements for a Rel-13 UE for additional E-UTRA CA configurations compared to TS 36.101 Rel-13 [2] are introduced via this clause.

Table 3A.2-1: Intra-band contiguous CA configurations and UE CA power class

| Feature   | DL/UL | CA BW<br>Class | Duplex-<br>mode | Release<br>independent<br>from | requirements to be fulfilled<br>(see 36.307 of the REL in which<br>the CA configuration and the<br>power class were introduced) |  |  |
|---|-------|----------------|-----------------|--------------------------------|---|--|--|
|   |       | В              | FDD             | Rel-10                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1  |  |  |
|   |       | C              | FDD, TDD        | Rel-10                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1  |  |  |
| Intro hand continuous   | DL .  | DL             | D               | TDD                            | Rel-10  | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1 |  |
| Intra-band contiguous CA configurations, power class 3  |       | Е              | TDD             | Rel-11                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1  |  |  |
| power class 3   |       | F              | TDD             | Rel-12                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1  |  |  |
|   |       | В              | FDD             | Rel-10                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1  |  |  |
|   |       | C, D           | FDD, TDD        | Rel-10                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1  |  |  |
| Intra-band contiguous<br>CA configurations,<br>power class 2  | UL    | С              | TDD             | Rel-10                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1  |  |  |
| NOTE1: The duplex mode "FDD, TDD" refers to a CA configuration composed by only FDD bands or only TDD |       |                |                 |                                |   |  |  |

bands, respectively.

Table 3A.2-2: Inter-band CA configurations

| Feature        | DL/UL | number<br>of<br>bands | number<br>of CCs | CA BW<br>Classes | Duplex-<br>mode | Release<br>independent<br>from | requirements to be<br>fulfilled<br>(see 36.307 of the<br>REL in which the<br>CA configuration<br>was introduced) |  |          |        |  |
|----------------|-------|-----------------------|------------------|------------------|-----------------|--------------------------------|--|--|----------|--------|--|
|                |       |                       | 2-4              | A, B, C          | FDD, TDD        | Rel-10                         | Table B.2.4-1, Table B.3.2-1, Table B.4.3-1 or Table B.4.4-1   |  |          |        |  |
|                |       | 2                     | 2-5              | D, E             | FDD, TDD        | Rel-11                         | Table B.2.4-1, Table B.3.2-1, Table B.4.3-1 or Table B.4.4-1   |  |          |        |  |
|                |       |                       | 2-5              | A, B, C,<br>D, E | FDD and<br>TDD  | Rel-12                         | Table B.2.4-1, Table B.3.2-1, Table B.4.3-1 or Table B.4.4-1   |  |          |        |  |
|                |       | 3                     | 3                | А                | FDD, TDD        | Rel-10                         | Table B.2.4-1, Table B.3.2-1, Table B.4.3-1 or Table B.4.4-1   |  |          |        |  |
|                |       |                       | 3                | 3-5              | B, C, D         | FDD, TDD                       | Rel-11   | Table B.2.4-1, Table B.3.2-1, Table B.4.3-1 or Table B.4.4-1 |          |        |  |
| Inter-band CA  |       |                       | 3                | А                | FDD and<br>TDD  | Rel-12                         | Table B.2.4-1, Table B.3.2-1, Table B.4.3-1 or Table B.4.4-1   |  |          |        |  |
| configurations |       |                       | 4                | 4-5              | A C             | FDD, TDD                       | Rel-11   | Table B.2.4-1, Table B.3.2-1, Table B.4.3-1 or Table B.4.4-1 |          |        |  |
|                |       | 4                     | 4-5              | A, C             | FDD and<br>TDD  | Rel-12                         | Table B.2.4-1, Table B.3.2-1, Table B.4.3-1 or Table B.4.4-1   |  |          |        |  |
|                |       |                       |                  |                  |                 | _                              | 5  |  | FDD, TDD | Rel-12 | Table B.2.4-1, Table B.3.2-1, Table B.4.3-1 or Table B.4.4-1 |
|                |       | 5                     | 5                | А                | FDD and<br>TDD  | Rel-12                         | Table B.2.4-1, Table B.3.2-1, Table B.4.3-1 or Table B.4.4-1   |  |          |        |  |
|                | UL    |                       | 2-4              | A, C             | FDD, TDD        | Rel-11                         | Table B.2.4-1, Table B.3.2-1, Table B.4.3-1 or Table B.4.4-1   |  |          |        |  |
|                |       | 2                     | 2-3              | A, C             | FDD and<br>TDD  | Rel-12                         | Table B.2.4-1, Table B.3.2-1, Table B.4.3-1 or Table B.4.4-1   |  |          |        |  |

NOTE1: The duplex mode "FDD, TDD" refers to a CA configuration composed by only FDD bands or only TDD bands, respectively. The duplex mode "FDD and TDD" refers to a CA configuration including both FDD and TDD bands.

NOTE2: CA configurations involving downlink only operation in Band 46 are release independent from Rel-13 onwards (LAA was introduced in Rel-13). The 10 MHz channel bandwidth for Band 46 was introduced in TS 36.101 Rel-14 [2] and can be implemented in a release independent way from Rel-13.

For example, CA configuration CA\_1A-19A was introduced in the Release 11 specifications. In order to implement a UE conforming to Release 10 but supporting the CA configuration CA\_1A-19A, it is necessary for the UE to additionally conform to some parts of the Release 11 specifications (see corresponding Annexes of TS 36.307 Rel-11 which will point to the requirements in the Rel-11 of TS 36.101 [2] or TS 36.133 [3] to be fulfilled), such as the radio frequency and radio resource management requirements for the CA configuration CA\_1A-19A.

Table 3A.2-3: Intra-band non-contiguous CA configurations

| Feature                                     | DL/UL | number<br>of sub-<br>blocks | number<br>of CCs | CA BW<br>Classes | Duplex-<br>mode | Release<br>independent<br>from | requirements to be fulfilled (see 36.307 of the REL in which the CA configuration was introduced) |
|---|-------|-----------------------------|------------------|------------------|-----------------|--------------------------------|---|
|   | Z.    | 2                           | 2-5              | A, C, D          | FDD, TDD        | Rel-11                         | Table B.2.3-1,<br>Table B.3.2-1,<br>Table B.4.5-1   |
| Intra-band non-contiguous CA configurations | DL    | 3                           | 3-5              | A, C             | FDD, TDD        | Rel-11                         | Table B.2.3-1,<br>Table B.3.2-1,<br>Table B.4.5-1   |
|   | UL    | 2                           | 2                | А                | FDD             | Rel-11                         | Table B.2.3-1,<br>Table B.3.2-1,<br>Table B.4.5-1   |

NOTE 1: The duplex mode "FDD, TDD" refers to a CA configuration composed by only FDD bands or only TDD bands, respectively.

## 3A.3 Additional operating bands and/or CA configurations for specific features

For a specific feature introduced in an earlier release, it may be decided in a later release to apply this specific feature in a release independent way for additional operating bands and/or CA configurations. For a Rel-13 UE corresponding requirements are then introduced via this clause.

Table 3A.3-1: Operating bands for specific features

| Feature                               | Release<br>independent<br>from | Requirements to be<br>fulfilled<br>(see 36.307 of the REL<br>when the feature was<br>introduced) | Further information   |
|---------------------------------------|--------------------------------|--|---|
| Operating bands for UE category 0     | Rel-12                         | Table B.2.9-1, Table B.3.5-1, Table B.4.10-1   | Rel-14 WI LC_MTC_LTE_cat0_B25_B26-Core introduced RF, RRM, demodulation and CSI requirements for bands 25 and 26, see Table B.2.9-1, Table B.3.5-1, Table B.4.10-1  |
| Operating bands for<br>UE category M1 | Rel-13                         | Table B.2.10-1, Table B.3.6-1, Table B.4.11-1  | Rel-14 WI LTE_MTCe2_L1_cat1_B25_B40-Core introduced RF, RRM, demodulation and CSI requirements for bands 25 and 40, see Table B.2.10-1, Table B.3.6-1, Table B.4.11-1. Rel-15 WI LTE_bands_R15_M1_NB1-Core introduced RF, RRM, demodulation and CSI requirements for bands 14 and 71, see Table B.2.10-1, Table B.3.6-1, Table B.4.11-1.  |
| Operating bands for UE category NB1   | Rel-13                         | Table B.2.8-1, Table B.3.7-1, Table B.4.9-1  | Rel-14 WI NB_IOT_R14_bands introduced RF, RRM and demodulation requirements for bands 11, 21, 25, 31, 70, see Table B.2.8-1, Table B.3.7-1, Table B.4.9-1. Rel-15 WI LTE_bands_R15_M1_NB1-Core introduced RF, RRM and demodulation for bands 4, 14 and 71 see Table B.2.8-1, Table B.3.7-1, Table B.4.9-1. Rel-16 WI LTE_bands_R16_M1_NB1 introduced RF, RRM, demodulation for band 65, see Table B.2.8-1, Table B.3.7-1, Table B.4.9-1. Rel-17 WI LTE_bands_R17_M1_M2_NB1_NB2 introduced RF, RRM, demodulation for band 24, see Table B.2.8-1, Table B.3.7-1, Table B.4.9-1. |

Table 3A.3-2: CA configurations for specific features

| Feature | Release<br>independent<br>from | Requirements to be<br>fulfilled<br>(see 36.307 of the REL<br>when the feature was<br>introduced) | Further information |
|---------|--------------------------------|--|---------------------|
|         |                                |  |                     |

### 3A.4 Other release independent features

This clause covers requirements for a Rel-13 UE coming from all other release independent features that are not covered under clause 3A.1, 3A.2 and 3A.3, e.g. generic baseband requirements or requirements that are not band/CA configuration specific.

Table 3A.4-1: Additional requirements of other release independent features

| Feature   | Release<br>independent<br>from | Requirements to be<br>fulfilled<br>(see 36.307 of the REL<br>when the feature was<br>introduced) | Further information  |
|---|--------------------------------|--|--|
| RF and performance requirements for 4Rx UEs               | Rel-10                         | Table C.1-1, Table C.2-1 for single carrier and Table C.1-2, Table C.2-2 for CA                  | REL-13 WI LTE_4Rx_AP_DL introduced: - single carrier RF requirements for bands 1, 2, 3, 7, 20, 39, 41, 42: see Table C.1-1 - CA RF requirements for CA_3A-42A and other 1UL CA configurations (see TS 36.101 REL-13 [2] Table 7.3.1A-0a NOTE 20): see Table C.1-2 - single carrier performance requirements for demodulation and CSI: see Table C.2-1 REL-14 WI LTE_4Rx_AP_DL_bands introduced: - single carrier RF requirements for band 35, 40: see Table C.1-1 - CA RF requirements for some further 1UL CA configurations (see TS 36.101 REL-14 [2]): see Table C.1-2 REL-14 WI LTE_4Rx_AP_DL_CA introduced: - CA RF requirements for some 2DL/2UL CA configurations (see TS 36.101 REL-14 [2]): see Table C.1-2 - CA performance requirements for demodulation/SDR and CSI: see Table C2-2 REL-15 WI LTE_4Rx_AP_DL_bands_R15 introduced: - single carrier RF requirements for band 4, 34, 43, 66: see Table C.1-1 - CA RF requirements for some further 1UL CA configurations (see TS 36.101 REL-15 [2]): see Table C.1-2 |
| RF and<br>performance<br>requirements for<br>8Rx UEs      | Rel-13                         | Table E.1-1, Table E.2-1<br>for single carrier and<br>Table E.1-2, Table E.2-2<br>for CA         | REL-15 WI LTE_8Rx_AP_DL introduced: - single carrier RF requirements for band 41, 42,43: see Table E.1-1 - CA RF requirements for CA_41C, CA_42C and CA_41A-42A CA configurations (see TS 36.101 REL-15 [2]): see Table E.1-2 - single carrier performance requirements for demodulation and CSI: see Table E.2-1 - CA performance requirements for demodulation/SDR: see Table E.2-2  |
| RRM and demodulation requirements for high speed scenario | Rel-13 (NOTE 1)                | Table D.1-1, Table D.2-1   | Rel-14 WI LTE_high_speed introduced band independent RRM and demodulation requirements. see Table D.1-1, Table D.2-1   |

NOTE 1: Rel-13 UEs supporting the high speed scenario are assumed to read the Rel-14 high speed scenario information, which is broadcast to all UEs.

#### 4 - 292 Void

## Annex A (informative): Frequency arrangement for overlapping operating bands

The following information is provided in order to assist a UE derive the DL EARFCN and UL EARFCN in a multi-band environment, in which multiple overlapping operating bands may be indicated in the fields *freqBandIndicator* and *multiBandInfoList* of SIB1.

The overlapping bands, independent of release, which may be indicated in a cell are shown in Table A-1 for applicable E-UTRA bands. The DL EARFCN and UL EARFCN are derived according to TS 36.101 Rel-13 [2].

Table A-1: Overlapping bands (multi-band environments) for each E-UTRA band

| E-UTRA<br>Operating<br>Band | Overlapping E-UTRA operating bands | Duplex<br>Mode |
|-----------------------------|------------------------------------|----------------|
| 2                           | 25                                 | FDD            |
| 3                           | 9                                  | FDD            |
| 4                           | 10, 66                             | FDD            |
| 5                           | 18, 19, 26                         | FDD            |
| 9                           | 3                                  | FDD            |
| 10                          | 4, 66                              | FDD            |
| 12                          | 17                                 | FDD            |
| 17                          | 12                                 | FDD            |
| 18                          | 5, 26, 27                          | FDD            |
| 19                          | 5, 26                              | FDD            |
| 25                          | 2                                  | FDD            |
| 26                          | 5, 18, 19, 27                      | FDD            |
| 27                          | 18, 26                             | FDD            |
| 33                          | 39                                 | TDD            |
| 38                          | 41                                 | TDD            |
| 39                          | 33                                 | TDD            |
| 41                          | 38                                 | TDD            |
| 66                          | 4, 10                              | FDD            |

### Annex B (normative): Common Requirements for bands or CA

### B.1 Purpose of annex

The purpose of Annex B is to group the requirements that are common for several bands or CA configurations in this specification and use the common tables as references.

### B.2 Common RRM requirements

### B.2.1 Common RRM requirements for a release independent band

The requirements and test cases listed in Table B.2.1-1 are specified in TS 36.133 Rel-13 [3].

Table B.2.1-1: Common RRM requirements for a release independent band

| Section / Clause | Description                                       |
|------------------|---|
| 4 Note 1         | E-UTRAN RRC_IDLE state mobility                   |
| 5                | E-UTRAN RRC_CONNECTED state mobility              |
| 6 Note 2         | RRC Connection Mobility Control                   |
| 7 Note 3         | Timing and signalling characteristics             |
| 8 Note 4         | UE Measurements Procedures in RRC_CONNECTED State |
| 9 Note 5         | Measurements performance requirements for UE      |
| A.4 Note 1       | E-UTRAN RRC_IDLE state                            |
| A.5              | E-UTRAN RRC CONNECTED Mode Mobility               |
| A.6 Note 2       | RRC Connection Control                            |
| A.7 Note 3       | Timing and Signalling Characteristics             |
| A.8 Note 4       | UE Measurements Procedures                        |
| A.9 Note 5       | Measurement Performance Requirements              |

- NOTE 1: All requirements and the corresponding test cases shall apply, except:
  - for supporting the corresponding band in Rel-9 and below: clause 4.3 (Minimization of Drive Tests).
- NOTE 2: All requirements and the corresponding test cases shall apply, except:
  - for supporting the corresponding band in Rel-8: clauses 6.3 (RRC Connection Release with Redirection), 6.4 (CSG Proximity Indication for E-UTRAN and UTRAN).
- NOTE 3: All requirements and corresponding test cases shall apply, except those defined in sections 7.4 and 7.5
- NOTE 4: All requirements and corresponding test cases shall apply, except:
  - for supporting the corresponding band in Rel-8: clauses 8.1.2.5 (E-UTRAN OTDOA Intra-Frequency RSTD Measurements), 8.1.2.6 (E-UTRAN Inter-Frequency OTDOA Measurements), 8.1.2.7 (E-UTRAN E-CID Measurements).
- NOTE 5: All requirements and corresponding test cases shall apply, except:
  - for supporting the corresponding band in Rel-8: clauses 9.1.9 (UE Rx–Tx time difference), 9.1.10 (Reference Signal Time Difference).
  - for supporting the corresponding band in Rel-11 or below: the RSRP absolute accuracy requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-70dBm is ±6dB.
  - for supporting the corresponding band in Rel-11 or below: the interfrequency RSRP relative accuracy requirement under normal conditions in table 9.1.3.2-1 is ±6dB.
- NOTE 6: In addition to the exceptions above, all requirements and test cases in this table shall apply, except those defined for:
  - carrier aggregation;
  - for supporting the corresponding band in Rel-9 or below: measurements under time-domain measurement resource restriction without CRS assistance information;
  - for supporting the corresponding band in Rel-10 or below: measurements under time-domain measurement resource restriction with CRS assistance information;
  - for supporting the corresponding band in Rel-11 or below: requirements introduced in Rel-12.

## B.2.2 Common RRM requirements for an intra-band contiguous CA configuration

The requirements and test cases listed in Table B.2.2-1 are specified in TS 36.133 Rel-13 [3].

Table B.2.2-1: Common RRM requirements for a release independent single-band CA configuration

| Section / Clause   | Description   |
|--|---|
| 7.1  | UE transmit timing  |
| 7.7  | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation                            |
| 7.8  | Interruptions with Carrier Aggregation  |
| 8.2  | Capabilities for Support of Event Triggering and Reporting Criteria                               |
| 8.3  | Measurements for E-UTRA carrier aggregation   |
| 8.4  | OTDOA RSTD Measurements for E-UTRAN carrier aggregation   |
| 9.1.11 Note 3  | Carrier aggregation measurement accuracy  |
| 9.1.12   | Reference Signal Time Difference (RSTD) Measurement Accuracy Requirements for Carrier Aggregation |
| A.7  | Timing and Signalling Characteristics   |
| A.8  | UE Measurements Procedures  |
| A.9 Note 3   | Measurement Performance Requirements  |
| NOTE 1: Only requirements and test cases defined for intra-band contiguous carrier aggregation shall apply.  NOTE 2: In addition to the exceptions above, all requirements and test cases in this table shall apply, except:  - for supporting the corresponding band in Rel-11 or below: requirements introduced in Rel-12.  NOTE 3: For supporting the corresponding band in Rel-11 or below: the RSRP absolute accuracy requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-70dBm is ±6dB.  - For supporting the corresponding band in Rel-11 or below: the interfrequency RSRP relative accuracy requirement under normal conditions in table 9.1.3.2-1 is ±6dB. |   |

#### B.2.3 Common RRM requirements for an intra-band noncontiguous CA with single uplink configuration

The requirements and test cases listed in Table B.2.3-1 are specified in [3] TS 36.133 Rel-13.

Table B.2.3-1: Common RRM requirements for a release independent single-band CA configuration

| Section / Clause | Description   |
|------------------|---|
| 7.1              | UE transmit timing  |
| 7.7              | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation                            |
| 7.8              | Interruptions with Carrier Aggregation  |
| 8.2              | Capabilities for Support of Event Triggering and Reporting Criteria                               |
| 8.3              | Measurements for E-UTRA carrier aggregation   |
| 8.4              | OTDOA RSTD Measurements for E-UTRAN carrier aggregation   |
| 9.1.11 Note 3    | Carrier aggregation measurement accuracy  |
| 9.1.12           | Reference Signal Time Difference (RSTD) Measurement Accuracy Requirements for Carrier Aggregation |
| A.7              | Timing and Signalling Characteristics   |
| A.8              | UE Measurements Procedures  |
| A.9 Note 3       | Measurement Performance Requirements  |

NOTE 1: Only requirements and test cases defined for intra-band non-contiguous carrier aggregation with single uplink shall apply.

NOTE 2: In addition to the exceptions above, all requirements and test cases in this table shall apply, except:

- for supporting the corresponding band in Rel-11 or below: requirements introduced in Rel-12.

NOTE 3: - For supporting the corresponding band in Rel-11 or below: the RSRP absolute accuracy requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-70dBm is ±6dB

- for supporting the corresponding band in Rel-11 or below: the interfrequency RSRP relative accuracy requirement under normal conditions in table 9.1.3.2-1 is ±6dB.

## B.2.4 Common RRM requirements for an inter-band CA with single uplink configuration

The requirements and test cases listed in Table B.2.4-1 are specified in TS 36.133 Rel-13 [3].

Table B.2.4-1: Common RRM requirements for a release independent band-combination CA configuration

| Section / Clause   | Description   |
|--|---|
| 7.1  | UE transmit timing  |
| 7.7  | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation                            |
| 7.8  | Interruptions with Carrier Aggregation  |
| 8.2  | Capabilities for Support of Event Triggering and Reporting Criteria                               |
| 8.3  | Measurements for E-UTRA carrier aggregation   |
| 8.4  | OTDOA RSTD Measurements for E-UTRAN carrier aggregation   |
| 9.1.11 Note 3  | Carrier aggregation measurement accuracy  |
| 9.1.12   | Reference Signal Time Difference (RSTD) Measurement Accuracy Requirements for Carrier Aggregation |
| A.7  | Timing and Signalling Characteristics   |
| A.8  | UE Measurements Procedures  |
| A.9 Note 3   | Measurement Performance Requirements  |
| <ul> <li>NOTE 1: Only requirements and test cases defined for inter-band with single uplink carrier aggregation shall apply.</li> <li>NOTE 2: In addition to the exceptions above, all requirements and test cases in this table shall apply, except:         <ul> <li>for supporting the corresponding band in Rel-11 or below: requirements introduced in Rel-12.</li> </ul> </li> </ul> |   |

- NOTE 3: For supporting the corresponding band in Rel-11 or below: the RSRP absolute accuracy requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-
  - for supporting the corresponding band in Rel-11 or below: the interfrequency RSRP relative accuracy requirement under normal conditions in table 9.1.3.2-1 is ±6dB.

## B.2.5 Common RRM requirements for an inter-band CA with dual uplink configuration

The requirements and test cases listed in Table B.2.5-1 are specified in TS 36.133 Rel-13 [3].

Table B.2.5-1: Common RRM requirements for a release independent band-combination CA configuration with dual uplink

| Section / Clause                     | Description  |  |
|--------------------------------------|--|--|
| 7.1                                  | UE transmit timing   |  |
| 7.7                                  | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation   |  |
| 7.8                                  | Interruptions with Carrier Aggregation   |  |
| 7.17                                 | Maximum Transmission Timing Difference in Dual Connectivity  |  |
| 8.2                                  | Capabilities for Support of Event Triggering and Reporting Criteria  |  |
| 8.3                                  | Measurements for E-UTRA carrier aggregation  |  |
| 8.4                                  | OTDOA RSTD Measurements for E-UTRAN carrier aggregation  |  |
| 9.1.11 Note 3                        | Carrier aggregation measurement accuracy   |  |
| 9.1.12                               | Reference Signal Time Difference (RSTD) Measurement Accuracy   |  |
|                                      | Requirements for Carrier Aggregation   |  |
| A.7                                  | Timing and Signalling Characteristics  |  |
| A.8                                  | UE Measurements Procedures   |  |
| A.9 Note 3                           | Measurement Performance Requirements   |  |
| NOTE 1: Only requirements apply.     | and test cases defined for inter-band with dual uplink carrier aggregation shall   |  |
| NOTE 2: In addition to the e         |  |  |
| NOTE 3: - For supporting             | E 3: - For supporting the corresponding band in Rel-11 or below: the RSRP absolute accuracy requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤- |  |
| <ul> <li>for supporting t</li> </ul> | <ul> <li>for supporting the corresponding band in Rel-11 or below: the interfrequency RSRP relative accuracy requirement under normal conditions in table 9.1.3.2-1 is ±6dB.</li> </ul>          |  |

#### B.2.6 Common RRM requirements for an intra-band noncontiguous CA with dual uplink configuration

The requirements and test cases listed in Table B.2.6-1 are specified in TS 36.133 Rel-13 [3].

Table B.2.6-1: Common RRM requirements for a release independent single-band CA configuration with dual uplink

| Section / Clause     | Description  |  |
|----------------------|--|--|
| 7.1                  | UE transmit timing   |  |
| 7.7                  | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation                         |  |
| 7.8                  | Interruptions with Carrier Aggregation   |  |
| 7.17                 | Maximum Transmission Timing Difference in Dual Connectivity                                    |  |
| 8.2                  | Capabilities for Support of Event Triggering and Reporting Criteria                            |  |
| 8.3                  | Measurements for E-UTRA carrier aggregation  |  |
| 8.4                  | OTDOA RSTD Measurements for E-UTRAN carrier aggregation  |  |
| 9.1.11 Note 3        | Carrier aggregation measurement accuracy   |  |
| 9.1.12               | Reference Signal Time Difference (RSTD) Measurement Accuracy                                   |  |
|                      | Requirements for Carrier Aggregation   |  |
| A.7                  | Timing and Signalling Characteristics  |  |
| A.8                  | UE Measurements Procedures   |  |
| A.9 Note 3           | Measurement Performance Requirements   |  |
|                      | and test cases defined for intra-band non-contiguous carrier aggregation with                  |  |
| dual uplinks shall a |  |  |
|                      | exceptions above, all requirements and test cases in this table shall apply, except:           |  |
|                      | - for supporting the corresponding band in Rel-11 or below: requirements introduced in Rel-12. |  |
|                      | the corresponding band in Rel-11 or below: the RSRP absolute accuracy                          |  |
|                      | normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-             |  |
| 70dBm is ±6dB.       | ha a second distribution of the Dall AA and a larger than interference on DODD and affine      |  |
|                      | he corresponding band in Rel-11 or below: the interfrequency RSRP relative                     |  |
| accuracy requirement | ent under normal conditions in table 9.1.3.2-1 is ±6dB.  |  |

## B.2.7 Common RRM requirements for an inter-band CA with three uplink configuration

The requirements and test cases listed in Table B.2.7-1 are specified in [3] TS 36.133 Rel-13.

Section / Clause

Table B.2.7-1: Common RRM requirements for a release independent band-combination CA configuration with three uplink

| 7.1                                  | UE transmit timing  |
|--------------------------------------|---|
| 7.7                                  | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation              |
| 7.8                                  | Interruptions with Carrier Aggregation  |
| 7.17                                 | Maximum Transmission Timing Difference in Dual Connectivity                         |
| 8.2                                  | Capabilities for Support of Event Triggering and Reporting Criteria                 |
| 8.3                                  | Measurements for E-UTRA carrier aggregation   |
| 8.4                                  | OTDOA RSTD Measurements for E-UTRAN carrier aggregation                             |
| 9.1.11 Note 3                        | Carrier aggregation measurement accuracy  |
| 9.1.12                               | Reference Signal Time Difference (RSTD) Measurement Accuracy                        |
|                                      | Requirements for Carrier Aggregation  |
|                                      | defined for three uplink carrier aggregation shall apply. There are no test cases   |
|                                      | e uplink carrier aggregation configuration.   |
| NOTE 2: In addition to the ex        | xceptions above, all requirements and test cases in this table shall apply, except: |
| <ul> <li>for supporting t</li> </ul> | the corresponding band in Rel-11 or below: requirements introduced in Rel-12.       |
| NOTE 3: - For supporting             | the corresponding band in Rel-11 or below: the RSRP absolute accuracy               |
| requirement under                    | normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-  |
| 70dBm is ±6dB.                       |   |
| - for supporting the                 | he corresponding band in Rel-11 or below: the interfrequency RSRP relative          |
|                                      | ent under normal conditions in table 9.1.3.2-1 is ±6dB.                             |

Description

- B.2.8 Void
- B.2.9 Void
- B.2.10 Void
- B.3 Common UE performance requirements
- B.3.1 Void
- B.3.2 Common UE performance requirements and tests for different CA configurations and combination sets

The requirements and test cases listed in Table B.3.2-1 are specified in TS 36.101 Rel-13 [2].

Table B.3.2-1: Common UE performance requirements and tests for release independent CA configurations and combination sets

| Section / Clause          | Description   |
|---------------------------|---|
| 8.2.1.1.1                 | Single-antenna port performance (FDD)   |
| 8.2.2.1.1                 | Single-antenna port performance (TDD)   |
| 8.2.3.1.1                 | Single-antenna port performance (TDD-FDD CA)  |
| 8.2.1.3.1                 | Open-loop spatial multiplexing performance - Minimum Requirement 2 Tx Antenna Port (FDD)  |
| 8.2.2.3.1                 | Open-loop spatial multiplexing performance - Minimum Requirement 2 Tx Antenna Port (TDD)  |
| 8.2.3.3.1                 | Open-loop spatial multiplexing performance - Minimum Requirement 2 Tx Antenna Port (TDD-FDD CA)                                       |
| 8.2.1.3.1A                | Open-loop spatial multiplexing performance - Soft buffer management test (FDD)  |
| 8.2.2.3.1A                | Open-loop spatial multiplexing performance - Soft buffer management test (TDD)  |
| 8.2.3.3.1A                | Open-loop spatial multiplexing performance - Soft buffer management test (TDD-FDD CA)   |
| 8.2.1.4.3                 | Closed-loop spatial multiplexing performance - Minimum Requirement Multi-Layer Spatial Multiplexing 4 Tx Antenna Port (FDD)           |
| 8.2.2.4.3                 | Closed-loop spatial multiplexing performance - Minimum Requirement Multi-Layer Spatial Multiplexing 4 Tx Antenna Port (TDD)           |
| 8.2.3.4.3                 | Closed-loop spatial multiplexing performance - Minimum Requirement Multi-Layer Spatial Multiplexing 4 Tx Antenna Port (TDD-FDD CA)    |
| 8.2.1.7                   | Carrier aggregation with power imbalance (FDD)  |
| 8.2.1.8                   | Intra-band non-contiguous carrier aggregation with timing offset (FDD)  |
| 8.2.2.7                   | Carrier aggregation with power imbalance (TDD)  |
| 8.7.1                     | Sustained downlink data rate provided by lower layers (FDD)   |
| 8.7.2                     | Sustained downlink data rate provided by lower layers (TDD)   |
| 8.7.5                     | Sustained downlink data rate provided by lower layers (TDD-FDD CA)  |
| 9.6.1.1                   | Additional requirements for carrier aggregation - Periodic reporting on multiple cells (Cell Specific Reference symbols) (FDD)        |
| 9.6.1.2                   | Additional requirements for carrier aggregation - Periodic reporting on multiple cells (Cell Specific Reference symbols) (TDD)        |
| 9.6.1.3                   | Additional requirements for carrier aggregation - Periodic reporting on multiple cells (Cell Specific Reference symbols) (TDD-FDD CA) |
| Section 8.1.2.3 and       |   |
| NOTE 2: The test coverage | for different number of component carriers is defined in 8.1.2.4.   |

B.3.3 Void

B.3.4 Void

B.3.5 Void

B.3.6 Void

### B.4 Common UE RF requirements

### B.4.1 Common UE RF requirements for a release independent band

The requirements and test cases listed in Table B.4.1-1 are specified in TS 36.101 Rel-13 [2].

Table B.4.1-1: Common UE RF requirements for a release independent band

| Section / Clause | Description                        |
|------------------|------------------------------------|
| 5.5              | Operating bands                    |
| 5.6              | Channel bandwidth                  |
| 5.7              | Channel arrangement                |
| 6.2              | Transmit power                     |
| 6.3              | Output power dynamics              |
| 6.5              | Transmit signal quality            |
| 6.6              | Output RF spectrum emissions       |
| 6.7              | Transmit intermodulation           |
| 7.3              | Reference sensitivity power level  |
| 7.4              | Maximum input level                |
| 7.5              | Adjacent Channel Selectivity (ACS) |
| 7.6              | Blocking characteristics           |
| 7.7              | Spurious response                  |
| 7.8              | Intermodulation characteristics    |
| 7.9              | RX spurious emissions              |

## B.4.2 Common UE RF requirements for an intra-band contiguous CA configuration

The requirements and test cases listed in Table B.4.2-1 are specified in TS 36.101 Rel-13 [2].

Table B.4.2-1: Common UE RF requirements for a release independent intra-band contiguous CA configuration

| Section / Clause | Description   |
|------------------|---|
| 5.5A             | Operating bands for CA  |
| 5.6A             | Channel bandwidths per operating band for CA                    |
| 5.7.1A           | Channel spacing for CA  |
| 5.7.2A           | Channel raster for CA   |
| 5.7.4A           | TX–RX frequency separation for CA                               |
| 6.2.2A           | UE maximum output power for CA                                  |
| 6.2.3A           | UE maximum output power for modulation/channel bandwidth for CA |
| 6.2.4A           | UE maximum output power with additional requirements for CA     |
| 6.2.5A           | Configured transmitted power for CA                             |
| 6.3.2A           | UE Minimum utput power for CA                                   |
| 6.3.3A           | UE Trasnsmit OFF power for CA                                   |
| 6.3.4A           | ON/OFF time mask for CA   |
| 6.3.5A           | Power control for CA  |
| 6.5.1A           | Frequency error for CA  |
| 6.5.2A           | Transmit modulation quality for CA                              |
| 6.6.1A           | Occupied bandwidth for CA                                       |
| 6.6.2.1A         | Spectrum emission mask for CA                                   |
| 6.6.2.2A         | Additional Spectrum Emission mask for CA                        |
| 6.6.2.3.2A       | UTRA ACLR for CA  |
| 6.6.2.3.3A       | E-UTRA ACLR for CA  |
| 6.6.3.1A         | Minimum requirements for CA                                     |
| 6.6.3.2A         | Spurious emission band UE co-existence for CA                   |
| 6.6.3.3A         | Additional spurious emissions for CA                            |
| 6.7.1A           | Minimum requirement for CA                                      |
| 7.3.1A           | Reference sensitivity for CA                                    |
| 7.4.1A           | Maximum input level for CA                                      |
| 7.5.1A           | Adjacent Channel Selectivity (ACS) for CA                       |
| 7.6.1.1A         | In-band blocking for CA   |
| 7.6.2.1A         | Out-of-band blocking for CA                                     |
| 7.6.3.1A         | Narrow band blocking for CA                                     |
| 7.7.1A           | Spurious response for CA  |
| 7.8.1A           | Wideband intermodulation for CA                                 |
| 7.10.1A          | Receiver response for CA  |
|                  |   |

## B.4.3 Common UE RF requirements for an single uplink interband CA configuration

The requirements and test cases listed in Table B.4.3-1 are specified in TS 36.101 Rel-13 [2].

Table B.4.3-1: Common UE RF requirements for a release independent inter-band CA configuration

| Section / Clause | Description   |
|------------------|---|
| 5.5A             | Operating bands for CA  |
| 5.6A.1           | Channel bandwidths per operating band for CA                    |
| 5.7.2A           | Channel raster for CA   |
| 6.2.2A           | UE maximum output power for CA                                  |
| 6.2.3A           | UE maximum output power for modulation/channel bandwidth for CA |
| 6.2.5            | Configured transmitted power                                    |
| 7.3.1A           | Reference sensitivity for CA                                    |
| 7.4.1A           | Maximum input level for CA                                      |
| 7.5.1A           | Adjacent Channel Selectivity (ACS) for CA                       |
| 7.6.1.1A         | In-band blocking for CA   |
| 7.6.2.1A         | Out-of-band blocking for CA                                     |
| 7.6.3.1A         | Narrow band blocking for CA                                     |
| 7.7.1A           | Spurious response for CA  |
| 7.8.1A           | Wideband intermodulation for CA                                 |

# B.4.4 Common UE RF requirements for an inter-band CA configuration including an operating band without uplink band

The requirements and test cases listed in Table B.4.4-1 are specified in TS 36.101 Rel-13 [2].

Table B.4.4-1: Common UE RF requirements for a release independent inter-band CA configuration including an operating band without uplink band

| Section / Clause | Description   |
|------------------|---|
| 5.5              | Operating bands   |
| 5.5A             | Operating bands for CA  |
| 5.6A.1           | Channel bandwidths per operating band for CA                    |
| 5.7              | Channel arrangement   |
| 6.2.2A           | UE maximum output power for CA                                  |
| 6.2.3A           | UE maximum output power for modulation/channel bandwidth for CA |
| 6.2.5            | Configured transmitted power                                    |
| 7.3.1A           | Reference sensitivity for CA                                    |
| 7.4.1A           | Maximum input level for CA                                      |
| 7.5.1A           | Adjacent Channel Selectivity (ACS) for CA                       |
| 7.6.1.1A         | In-band blocking for CA   |
| 7.6.2.1A         | Out-of-band blocking for CA                                     |
| 7.6.3.1A         | Narrow band blocking for CA                                     |
| 7.7.1A           | Spurious response for CA  |
| 7.8.1A           | Wideband intermodulation for CA                                 |

## B.4.5 Common UE RF requirements for a single uplink intra-band non-contiguous CA configuration

The requirements and test cases listed in Table B.4.5-1 are specified in TS 36.101 Rel-N [2].

Table B.4.5-1: Common UE RF requirements for a release independent single uplink intra-band noncontiguous CA configuration

| Section / Clause | Description   |
|------------------|---|
| 5.5A             | Operating bands for CA  |
| 5.6A1            | Channel bandwidths per operating band for CA                    |
| 5.7.2A           | Channel raster for CA   |
| 6.2.2A           | UE maximum output power for CA                                  |
| 6.2.3A           | UE maximum output power for modulation/channel bandwidth for CA |
| 7.3.1A           | Reference sensitivity for CA                                    |
| 7.4.1A           | Maximum input level for CA                                      |
| 7.5.1A           | Adjacent Channel Selectivity (ACS) for CA                       |
| 7.6.1.1A         | In-band blocking for CA   |
| 7.6.2.1A         | Out-of-band blocking for CA                                     |
| 7.6.3.1A         | Narrow band blocking for CA                                     |
| 7.7.1A           | Spurious response for CA  |
| 7.8.1A           | Wideband intermodulation for CA                                 |

## B.4.6 Common UE RF requirements for Dual uplink inter-band CA configuration

The requirements and test cases listed in Table B.4.6-1 are specified in TS 36.101 Rel-13 [2].

Table B.4.6-1: Common UE RF requirements for a release independent dual uplink inter-band CA configuration

| Section / Clause | Description                                   |  |
|------------------|---|--|
| 5.6A.1           | Channel bandwidths per operating band for CA  |  |
| 6.2.2A           | UE maximum output power for CA                |  |
| 6.2.5A           | Configured transmitted Power for CA           |  |
| 6.3.2A           | UE Minimum output power for CA                |  |
| 6.3.3A           | UE Transmit OFF power for CA                  |  |
| 6.3.4A           | ON/OFF time mask for CA                       |  |
| 6.3.5A           | Power control for CA                          |  |
| 6.5.1A           | Frequency error for CA                        |  |
| 6.5.2A           | Transmit modulation quality for CA            |  |
| 6.6.1A           | Occupied bandwidth for CA                     |  |
| 6.6.2.1A         | Spectrum emission mask for CA                 |  |
| 6.6.2.3          | Adjacent Channel Leakage Ratio                |  |
| 6.6.3.1A         | Spurious Emission for CA                      |  |
| 6.6.3.2A         | Spurious emission band UE co-existence for CA |  |
| 6.7.1A           | Transmit intermodulation for CA               |  |
| 7.3.1A           | Reference sensitivity for CA                  |  |
| 7.6.2.1A         | Out-of-band blocking for CA                   |  |
| 7.7.1A           | Spurious response for CA                      |  |

## B.4.7 Common UE RF requirements for dual uplink intra-band non-contiguous CA configuration

The requirements and test cases listed in Table B.4.7-1 are specified in TS 36.101 Rel-13 [2].

Table B.4.7-1: Common UE RF requirements for a release independent dual uplink intra-band noncontiguous CA configuration

| Section / Clause | Description   |
|------------------|---|
| 5.6A.1           | Channel bandwidths per operating band for CA                      |
| 6.2.2A           | UE maximum output power for CA                                    |
| 6.2.3A           | UE Maximum Output power for modulation / channel bandwidth for CA |
| 6.2.5A           | Configured transmitted Power for CA                               |
| 6.3.2A           | UE Minimum output power for CA                                    |
| 6.3.3A           | UE Transmit OFF power for CA                                      |
| 6.3.4A           | ON/OFF time mask for CA   |
| 6.3.5A           | Power control for CA  |
| 6.5.1A           | Frequency error for CA  |
| 6.5.2A           | Transmit modulation quality for CA                                |
| 6.6.1A           | Occupied bandwidth for CA   |
| 6.6.2.1A         | Spectrum emission mask for CA                                     |
| 6.6.2.3          | Adjacent Channel Leakage Ratio                                    |
| 6.6.3.1A         | Spurious Emission for CA  |
| 6.6.3.2A         | Spurious emission band UE co-existence for CA                     |
| 7.3.1A           | Reference sensitivity for CA                                      |
| 7.6.2.1A         | Out-of-band blocking for CA                                       |
| 7.7.1A           | Spurious response for CA  |

## B.4.8 Common UE RF requirements for three uplink inter-band CA configuration

The requirements and test cases listed in Table B.4.8-1 are specified in TS 36.101 Rel-13 [2].

Table B.4.8-1: Common UE RF requirements for a release independent three uplink inter-band CA configuration

| Section / Clause | Description                                   |
|------------------|---|
| 5.6A.1           | Channel bandwidths per operating band for CA  |
| 6.2.2A           | UE maximum output power for CA                |
| 6.2.5A           | Configured transmitted Power for CA           |
| 6.3.2A           | UE Minimum output power for CA                |
| 6.3.3A           | UE Transmit OFF power for CA                  |
| 6.3.4A           | ON/OFF time mask for CA                       |
| 6.3.5A           | Power control for CA                          |
| 6.5.1A           | Frequency error for CA                        |
| 6.5.2A           | Transmit modulation quality for CA            |
| 6.6.1A           | Occupied bandwidth for CA                     |
| 6.6.2.1A         | Spectrum emission mask for CA                 |
| 6.6.2.3          | Adjacent Channel Leakage Ratio                |
| 6.6.3.1A         | Spurious Emission for CA                      |
| 6.6.3.2A         | Spurious emission band UE co-existence for CA |
| 6.7.1A           | Transmit intermodulation for CA               |
| 7.3.1A           | Reference sensitivity for CA                  |
| 7.6.2.1A         | Out-of-band blocking for CA                   |
| 7.7.1A           | Spurious response for CA                      |

- B.4.9 Void
- B.4.10 Void
- B.4.11 Void

### Annex C (normative): Common Requirements for 4Rx

### C.1 Common UE RF requirements

The requirements and test cases listed in Table C.1-1 are specified in TS 36.101 Rel-13 [2].

Table C.1-1: RF requirements for 4Rx for single band

| Section / Clause | Description                       |
|------------------|-----------------------------------|
| 7.3              | Reference sensitivity power level |
| 7.4              | Maximum input level               |
| 7.5              | Adjacent channel selectivity      |
| 7.6              | Blocking characteristics          |
| 7.7              | Spurious response                 |
| 7.8              | Intermodulation characteristics   |
| 7.9              | Spurious emissions                |

The requirements and test cases listed in Table C.1-2 are specified in TS 36.101Rel-13 [2].

Table C.1-2: RF requirements for 4Rx for CA

| Section / Clause | Description                         |
|------------------|-------------------------------------|
| 6.2.5A           | Configured maximum output power     |
| 7.3.1A           | Reference sensitivity for CA        |
| 7.4.1A           | Maximum input level for CA          |
| 7.5.1A           | Adjacent Channel Selectivity for CA |
| 7.6.1.1A         | In-band blocking for CA             |
| 7.6.2.1A         | Out-of-band blocking for CA         |
| 7.6.3.1A         | Narrow band blocking for CA         |
| 7.7.1A           | Spurious response for CA            |
| 7.8.1A           | Wideband intermodulation for CA     |

### C.2 Common UE demodulation and CSI requirements

The requirements and test cases listed in Table C.2-1 are specified in TS 36.101 Rel-13 [2].

Table C.2-1: UE Demodulation and CSI requirements for 4Rx for single band

| Section / Clause | Description              |
|------------------|--------------------------|
| 8.10.1 (NOTE)    | PDSCH                    |
| 8.10.2           | PDCCH/PCFICH             |
| 8.10.3           | PHICH                    |
| 8.10.4           | ePDCCH                   |
| 9.9              | CSI reporting for 4Rx UE |

The requirements and test cases listed in Table C.2-2 are specified in TS 36.101 Rel-13 [2].

Table C.2-2: CSI requirements for 4Rx CA/DC

| Section / Clause | Description             |
|------------------|-------------------------|
| 9.1.1.4.2        | CSI CA tests for 4Rx UE |

Annex D (informative) : (Void)

### Annex E (normative): Common Requirements for 8Rx

#### E.1 Common UE RF requirements

The requirements and test cases listed in Table E.1-1 are specified in TS 36.101 [2].

Table E.1-1: RF requirements for 8Rx

| Section / Clause | Description                       |
|------------------|-----------------------------------|
| 7.3              | Reference sensitivity power level |

The requirements and test cases listed in Table E.1-2 are specified in TS 36.101 [2].

Table E.1-2: RF requirements for 8Rx for CA

| Section / Clause | Description                  |
|------------------|------------------------------|
| 7.3.1A           | Reference sensitivity for CA |

#### E.2 Common UE demodulation and CSI requirements

The requirements and test cases listed in Table E.2-1 and Table E.2-2 are specified in TS 36.101 [2].

Table E.2-1: UE Demodulation and CSI requirements for 8Rx for single band

| Section / Clause | Description              |
|------------------|--------------------------|
| 8.14.1           | PDSCH                    |
| 9.12             | CSI reporting for 8Rx UE |

Table E.2-2: UE Demodulation and CSI requirements for 8Rx CA/DC

| Section / Clause | Description                  |
|------------------|------------------------------|
| 8.14.2           | Demodulation of PDSCH CA     |
| 8.7.17           | SDR of TDD CA (8 layer MIMO) |

# Annex F (informative): Change history

**Table C.1: Change History** 

| Date               | Meeting        | TDoc                   | CR           | Rev | Cat | Subject/Comment  | New<br>version   |
|--------------------|----------------|------------------------|--------------|-----|-----|--|------------------|
| 11-2009            | RP#46          | RP-091141              |              |     |     | TS36.307 V0.1.0 approved by RAN (Originally in R4-095022)  | 0.1.0            |
| 02-2010            | R4#54          | R4-100419              |              |     |     | For release 9 version, replace sections 4 to 6 as 'Void' and add a new void section as section 7.        | 0.2.0            |
| 03-2010            |                | RP-100162              |              |     |     | TS36.307 v1.0.0 for approval   | 1.0.0            |
| 03-2010            |                | RP-100162              |              |     |     | Approved by RAN  | 9.0.0            |
| 09-2010            | RP-49          | RP-100927              | 2            |     |     | CR LTE_TDD_2600_US spectrum band definition additions to TS 36.307 V900                                  | 9.1.0            |
|                    |                |                        |              |     |     | Correction of section numbering  | 9.1.1            |
| 12-2010            |                | RP-101356              |              |     |     | Band 42 and 43 parameters for UMTS/LTE 3500 (TDD) for TS 36.307  | 9.2.0            |
| 12-2010            | RP-50          | RP-101361              | 005          |     |     | Introduction of L-band in TS 36.307  | 9.2.0            |
| 12-2010            | RP-50          | RP-101344              | 016          |     |     | CR creating the rel-10 of the 36.307 specification   | 9.3.0            |
| 12-2010            | RP-50          | RP-101356              | 012          |     |     | Band 42 and 43 parameters for UMTS/LTE 3500 (TDD) for TS 36.307  | 9.3.0            |
| 12-2010            | RP-50          |                        |              |     |     | Raised to Rel-10 with no technical change  | 10.0.0           |
| 01-2011            |                |                        |              |     |     | Correction to history table  | 10.0.1           |
| 06-2011            |                |                        | 015          |     |     | Add Expanded 1900 MHz Band (Band 25) in 36.307   | 10.1.0           |
| 06-2011            | RP-52          |                        | 022          |     |     | Add 2GHz S-Band (Band 23) in 36.307 (Rel 10)   | 10.1.0           |
| 09-2011            | RP-53          | RP-111255              |              |     |     | Add Band 22 for LTE/UMTS 3500 (FDD) to TS 36.307   | 10.2.0           |
| 03-2012            | RP-55          |                        | 029          |     |     | Introduction of Band 26/XXVI to TS 36.307  | 11.0.0           |
| 2012-06            | RP-56          |                        | 043          |     |     | Introduction of CA_1A-19A to TS 36.307   | 11.1.0           |
| 2012-06            | RP-56          |                        |              |     |     | Introduction of APAC700(FDD) into TS 36.307 Rel-11   | 11.1.0           |
| 2012-06            | RP-56          |                        |              |     |     | Introduction of APAC700(TDD) into TS 36.307 Rel-11   | 11.1.0           |
| 2012-06            | RP-56          | RP-120791              | 057          |     |     | Introduction of e850_LB (Band 27) to TS 36.307   | 11.1.0           |
| 2012-09<br>2012-09 | RP-57<br>RP-57 |                        | 059<br>070r1 |     |     | Introduction of CA_1A-21A to TS 36.307  Relation between EARFCN for overlapping bands with multiple FBI  | 11.2.0<br>11.2.0 |
|                    |                |                        |              |     |     | indication   |                  |
| 2012-09            | RP-57          |                        | 072          |     |     | 36.307 CR for LTE_CA_B7  | 11.2.0           |
| 2012-09            | RP-57          |                        | 073          |     |     | TS 36.307 CR for CA_38   | 11.2.0           |
| 2012-09            | RP-57          |                        | 074          |     |     | Introduction of CA_B7_B20 in 36.307  | 11.2.0           |
| 2012-09            | RP-57          |                        | 075          |     |     | Introduction of CA band combination Band3 + Band5 to TS 36.307   | 11.2.0           |
| 2012-09            | RP-57          | RP-121331              | 076          |     |     | Introduction of CA_3A-20A to TS 36.307   | 11.2.0           |
| 2012-09            | RP-57          |                        | 077          |     |     | Add requirements for inter-band CA of B_1-18 in TS36.307   | 11.2.0           |
| 2012-09            |                |                        | 078          |     |     | Introduction of CA_8_20 RF requirements into TS36.307  | 11.2.0           |
| 2012-09            | RP-57          |                        | 079          |     |     | Introduction of CA_B3_B7 in 36.307   | 11.2.0           |
| 2012-12<br>2012-12 | RP-58<br>RP-58 | RP-121890<br>RP-121889 | 086<br>088   |     |     | Introduction of CA_4A-5A into 36.307 Introduction of CA band combination Band4 + Band13 to TS 36.307     | 11.3.0<br>11.3.0 |
| 2012-12            | RP-58          | RP-121896              | 091          |     |     | (Rel-11) Introduction of Band 5 + Band 17 inter-band CA configuration into 36.307                        | 11.3.0           |
| 2012-12            | RP-58          | RP-121884              | 092          |     |     | Introduction of CA_3A-8A to TS 36.307  | 11.3.0           |
| 2012-12            | RP-58          |                        | 093          |     |     | Introduction of CA_B5_B12 in 36.307  | 11.3.0           |
| 2012-12            | RP-58          |                        | 095          |     |     | Introduction of CA_4-12 into TS 36.307 (Rel-11)  | 11.3.0           |
| 2012-12            |                | RP-121882              |              |     |     | [Rel-11] Introduction of inter-band CA_11-18 into TS36.307   | 11.3.0           |
| 2012-12            | RP-58          | RP-121861              |              |     |     | Release-independent implementation of carrier aggregation configuration CA_4-7                           | 11.3.0           |
| 2012-12            | RP-58          | RP-121901              | 101          |     |     | Introduction of Band 29  | 11.3.0           |
| 2012-12            | RP-58          | RP-121718              |              |     |     | Introduction of CA band combination Band2 + Band17 to TS 36.307 (Rel-11)                                 |                  |
| 2012-12            | RP-58          | RP-121720              | 0104         |     |     | Introduction of CA band combination Band4 + Band17 to TS 36.307 (Rel-11)                                 | 11.3.0           |
| 2013-06            | RP-60          | RP-130771              | 108          |     |     | Introduction of CA 1+8 into TS36.307(Rel-12)   | 12.0.0           |
| 2013-06            | RP-60          | RP-130782              |              |     |     | Introduction of LTE Advanced inter-band Carrier Aggregation of Band 3 and Band 28 to TS 36.307 Rel-12    | 12.0.0           |
| 2013-06            | RP-60          | RP-130785              | 114          |     |     | Introduction of LTE Advanced inter-band Carrier Aggregation of Band 23 and Band 29 to TS 36.307 (Rel-12) | 12.0.0           |
| 2013-06            | RP-60          | RP-130779              | 117          |     |     | Introduction of LTE Advanced inter-band Carrier Aggregation of Band 3 and Band 26 to TS 36.307 (Rel-12)  | 12.0.0           |
| 2013-06            | RP-60          | RP-130777              | 120          |     |     | Introduction of CA_3A-19A to TS 36.307   | 12.0.0           |
| 2013-06            |                | RP-130783              |              |     |     | Introduction of CA_19A-21A to TS 36.307  | 12.0.0           |
| 2013-06            |                | RP-130775              |              |     |     | Introduction of CA_2A-13A to TS 36.307   | 12.0.0           |
| 2013-06            | RP-60          | RP-130791              |              |     |     | Introduction of Band 30  | 12.0.0           |
| 2013-06            | RP-60          | RP-130790              | 143          |     |     | Introduction of LTE 450 into TS 36.307 R12   | 12.0.0           |
| 2013-06            | RP-60          | RP-130787              | 150          |     |     | Introduction of CA_4A-4A into 36.307 Rel-12  | 12.0.0           |
| 09-2013            | RP-61          | RP-131300              |              |     |     | 36.307 CR for LTE_CA_C_B3 (Rel-12)   | 12.1.0           |
| 09-2013            | RP-61          | RP-131296              |              |     |     | [Rel-12] Add requirements for CA_1A-26A into TS36.307  | 12.1.0           |
| 09-2013            | RP-61          |                        | 163          |     |     | Introduction of CA_2A-4A to TS 36.307  | 12.1.0           |
| 09-2013            | RP-61          | RP-131298              |              |     |     | Introduction of inter-band CA Band 2+5   | 12.1.0           |
|                    |                |                        |              |     |     |  | 12.2.0           |
| 12-2013            | RP-62          | RP-131965              | 1/3          |     |     | Introduction of CA_23A-23A to TS 36.307 Introduction of CA band combination Band2 + Band12 to TS 36.307  | 12.2.0           |

| 12-2013            | RP-62          | RP-131954              | 181    | Introduction of CA band combination Band12 + Band25 to TS 36.307   | 12.2.0                     |
|--------------------|----------------|------------------------|--------|--|----------------------------|
| 12-2013            | RP-62          | RP-131959              | 184    | Introduction of LTE_CA_C_B27 to 36.307 (Rel-12)  | 12.2.0                     |
| 12-2013            | RP-62          |                        | 192    | Introduction of CA_23B to TS 36.307  | 12.2.0                     |
| 12-2013            | RP-62          | RP-131961              | 194    | Introduction of Intra-band non-contiguous CA in band 3 to TS 36.307  | 12.2.0                     |
| 12-2013            | RP-62          | RP-131950              | 200    |  | 12.2.0                     |
| 12-2013            | RP-62          | RP-131967              | 201r1  | Introducing 'General' clause with note referring to note in clause 4.4 in TS36.101, editorial corrections and modifications to Forward and Scope clauses | 12.2.0                     |
| 12-2013            | RP-62          | RP-131948              | 204    | Introduction of CA band combination B5 + B7 to TS 36.307 R12   | 12.2.0                     |
| 12-2013            | RP-62          | RP-131952              | 207    | Introduction of CA band combination B7 + B28 to TS 36.307  | 12.2.0                     |
| 12-2013            | RP-62          |                        | 211    | Correction to release independent specification  | 12.2.0                     |
| 12-2013            | RP-62          | RP-131925              |        | UE performance requirements in release independent specification for CA  | 12.2.0                     |
| 12-2013            | RP-62          | RP-131963              |        | Introduction of CA_7A-7A to TS 36.307 Rel-12   | 12.2.0                     |
| 03-2014            | RP-63          | RP-140371              | 235    | Release independence of Band 14 HPUE   | 12.3.0                     |
| 03-2014            | RP-63          | RP-140386              | 227    | Introduction of CA band combination Band 3 and Band 27 to TS 36.307  | 12.3.0                     |
| 03-2014            | RP-63          | RP-140389              | 245r1  | Correction to release independent specification  | 12.3.0                     |
| 03-2014            | RP-63          | RP-140388              |        | Introduction of CA_39C to TS 36.307  | 12.3.0                     |
| 03-2014            | RP-63          | RP-140387              | 197r1  | Introduction of CA_39A-41A to TS 36.307  | 12.3.0                     |
| 06-2014            | RP-64          | RP-140911              | 259    | Introduction of CA band combination Band 1 and Band 5 to TS 36.307   | 12.4.0                     |
| 06-2014            | RP-64          | RP-140918              | 300    | Correction of Common RRM requirements for CA in release independent specification (Rel-12)   | 12.4.0                     |
| 06-2014            | RP-64          | RP-140926              |        | Introduction of Band 20+32 CA  | 12.4.0                     |
| 06-2014            | RP-64          | RP-140931              | 265    | Introduction of CA 1+11 to 36.307 (Rel-12)   | 12.4.0                     |
| 06-2014            | RP-64          | RP-140933              |        | Introduction of CA band combination Band 4 and Band 27 to TS 36.307  | 12.4.0                     |
| 06-2014            | RP-64          | RP-140938              |        | Introduction of CA_2A-2A to TS 36.307 Rel-12   | 12.4.0                     |
| 06-2014<br>06-2014 | RP-64<br>RP-64 | RP-140940<br>RP-140942 |        | Introduction of LTE_CA_NC_B42 into 36.307 Introduction of CA band combination Band 3 and Band 27 to TS   | 12.4.0<br>12.4.0           |
| 06-2014            | RP-64          | RP-140942              | 340    | 36.307 Introduction of CA band combination Band 1 and Band 20 to TS 36.307   | 12.4.0                     |
| 06-2014            | RP-64          | RP-140943              | 347    | Introduction of CA band combination CA_41D into TS 36.307 (Rel-12)   | 12.4.0                     |
| 09-2014            | RP-65          | RP-141110              | 0388r1 | [Rel-12] Introduction of inter-band CA_18-28 into TS36.307   | 12.5.0                     |
| 09-2014            | RP-65          | RP-141200              |        | Introduction of CA_B1_B3_B19 into TS 36.307 (Rel-12)   | 12.5.0                     |
| 09-2014            | RP-65          | RP-141205              | 0363r1 | Introduction of CA_B1_B3 into TS 36.307 (Rel-12)   | 12.5.0                     |
| 09-2014            | RP-65          | RP-141332              |        | Introduction of CA_1A-7A into 36.307 (Rel -12)   | 12.5.0                     |
| 09-2014            | RP-65          | RP-141340              |        | Introduction of CA_B1_B5_B7 into TS 36.307 (Rel-12)  | 12.5.0                     |
| 09-2014            | RP-65          | RP-141467              | 0432   | Introduction of 3 DL CA for Band 1+7+20  | 12.5.0                     |
| 09-2014<br>09-2014 | RP-65<br>RP-65 | RP-141527<br>RP-141551 |        | CR for 36.307 on CA UE performance requirement in Rel-12<br>Introduction of CA 8+11 to 36.307 (Rel-12)   | 12.5.0<br>12.5.0           |
| 09-2014            | RP-65          | RP-141551              |        | Introduction of CA 8411 to 36.307 (Ref-12)   | 12.5.0                     |
| 09-2014            | RP-65          | RP-141553              |        | Introduction of a new bandwidth combination set for CA_25A-25A into 36.307   | 12.5.0                     |
| 09-2014            | RP-65          | RP-141554              | 418r1  | Introduction of requirements for 2DL inter-band carrier aggregation (FDD) and 2DL fallback   | 12.5.0                     |
| 09-2014            | RP-65          | RP-141554              | 421    | Introduction of requirements for 3DL inter-band carrier aggregation including Band 30  | 12.5.0                     |
| 09-2014            | RP-65          | RP-141555              | 384    | Introduction of 3 Band Carrier Aggregation of Band 1,Band 3 and Band 5 to TS 36.307(Rel.12)  | 12.5.0                     |
| 09-2014            | RP-65          | RP-141556              | 357r1  | Introduction of 3 Band Carrier Aggregation (3DL/1UL) of Band 1,<br>Band 3 and Band 8 to TS 36.307  | 12.5.0                     |
| 09-2014            | RP-65          | RP-141558              | 402    | Introduction of CA band combination Band 1, Band 3 and Band 20 to TS 36.307  | 12.5.0                     |
| 09-2014            | RP-65          | RP-141560              | 352    | Introduction of new CA_40C bandwidth combination set into 36.307   | 12.5.0                     |
| 09-2014            | RP-65          |                        | 354    | CR to 36.307 Rel-12: Introduction of CA_41C-41A and CA_41A-41C   | 12.5.0                     |
| 12-2014            | RP-66          | RP-142142              | 440    | UE RF requirements in the release independent spec   | 12.6.0                     |
| 12-2014            | RP-66          | RP-142188              |        | Revision of common RRM requirements for release independent specification  | 12.6.0                     |
| 12-2014            | RP-66          |                        | 448    | [Rel-12] Introduction of inter-band CA_1-28 into TS36.307  | 12.6.0                     |
| 12-2014            | RP-66          | RP-142189              | 455    | CR for TR 36.307: LTE_CA_B5_B13  | 12.6.0                     |
| 40 0044            | RP-66          | RP-142190              |        | Introduction of additional band combinations for 3DL inter-band CA   | 12.6.0                     |
| 12-2014            | RP-67          | RP-150387              | 463    | R4-73AH-0113: Correction of UE RF requirements for dual uplik to   | 12.7.0                     |
| 03-2015            |                |                        |        | TS 36.307 Rel-12   |                            |
| 03-2015<br>03-2015 | RP-67          | RP-150392              | 468    | CR for 36.307 on CA UE performance requirement in Rel-12   | 12.7.0                     |
| 03-2015            |                |                        | 469    |  | 12.7.0<br>12.7.0<br>13.0.0 |

| Se-2015   RP-68   RP-15098E   49912   Release independence CR for 2DL inter-band CA Rel-13   13.0.0   Se-2015   RP-68   RP-150974   506r1   Release independence CR for 3DL inter-band CA Rel-13   13.0.0   Se-2015   RP-68   RP-150974   506r1   Release independence CR for 3DL inter-band CA Rel-13   13.0.0   Se-2015   RP-68   RP-150975   509   Introduction of non-contiguous Carrier Aggregation (CA) in Band 42   13.0.0   Se-2015   RP-68   RP-151006   514   Introduction of CA 42D to TS 36.307   13.1.0   Se-2015   RP-69   RP-151501   Se20r1   Introduction of Inter-band CA so TS 36.307   13.1.0   Se-2015   RP-69   RP-151501   Se20r1   Introduction of Inter-band CA so TS 36.307   13.1.0   Se-2015   RP-69   RP-151501   Se20r1   Introduction of CA 7.4-40   Inter-band CA so TS 36.307   13.1.0   Se-2015   RP-69   RP-151499   S638   Rel-13 3DL combinations   Secondary of CA 7.4-40   Secondary of | 05-2015 | RP-68  | RP-150958  | 461r1 |   |   | Introduction of dual uplink CA into 36.307                             | 13.0.0  |
|--|---------|--------|------------|-------|---|---|--|---------|
| Se-2015   RP-88   RP-150972   5091   |         |        |            |       |   |   |  |         |
| 19-2015   RP-68   RP-150975   5091   Release independence CR for 4DL inter-band CA Rel-13   13.0   13.0   13.0   15.2   15.0   |         |        |            |       |   |   |  |         |
| Introduction of non-configuous Carrier Aggregation (CA) in Band 42   13.0  |         |        |            |       |   |   |  |         |
| De-2015   RP-80   RP-151006   De-2015   RP-90   RP-151503   De-2015   RP-90   RP-151501   De-2015   RP-90   RP-151201   De-2015   RP-90   RP-151201   De-2015   RP-70   RP-152150   De-2015   RP-70   RP-152150   De-2015   RP-70   RP-152150   De-2015   RP-70   RP-152160   De-2 |         |        |            |       |   |   | Introduction of non-contiguous Carrier Aggregation (CA) in Band 42     |         |
|  | 05-2015 | RP-68  | RP-151006  | 514   |   |   |  | 13.0.0  |
| 99-2015   RP-69   RP-151999   0538   Rel-13   Introduction of dual uplink CA into 36.307   13.1.0  |         |        |            |       |   |   |  |         |
| 99-2015   RP-69   RP-151910   9543   |         |        |            |       |   |   |  |         |
| 199-2015   RP-69   RP-151201   9543       Introduction of CA_7A-40A and CA_7A-40C to TS 36:307 R13   13.0  |         |        |            |       |   |   |  |         |
| 10.2015  |         |        |            |       |   |   |  |         |
| Release independent requirements for CA. 42E. (Rel-13).   13.2.0   |         | 111 00 | 111 101201 | 0010  |   |   |  |         |
| 12:2015   RP-70   RP-152160   0649   Introduction of 4DL NC CA in band42 in 36:307   13:20   13:20   12:2015   RP-70   RP-152157   0561   Introducing B20 + B67 CA into TS 36:307   13:20   13:20   12:2015   RP-70   RP-152167   0580   Introducin of Band 65   13:20   Introducin of Band 65   Introducin of Band 66   Introducin of Band 67   Introducin  |         | RP-70  | RP-152158  | 0543a |   |   |  |         |
| 122015   RP-70   RP-152167   (5661   Introducing B20 + B67 CA Into TS 36.307   13.2.0  |         |        |            |       |   |   |  |         |
| 122015   RP-70   RP-152189   0562   Introduction of intra-band CA 8B to TS 36.307   13.2.0   |         |        |            |       |   |   |  |         |
| Introduction of Band 65   13.2.0   |         |        |            |       |   |   |  |         |
| Introduction of intra-band CA 5B to TS 36.307   13.2.0   |         |        |            |       |   |   |  |         |
| Introduction of intra-band NC CA, 54-54, 10 TS 36.307   13.2.0   |         |        |            |       |   |   |  |         |
| 12-2015   RP-70   RP-152163   0596   Introduction of 3DL/JUL Inter-band CA in TS36:307   33.2.0  |         |        |            |       |   |   |  |         |
| 12-2015   RP-70   RP-152163   G998     Introduction of 5DL/IUL CA combinations into TS 36.307 (Rel-13)   13.2.0  |         |        |            |       |   |   |  |         |
| 12-2015   RP-70   RP-152162   0604   Introduction of finished 4DL inter-band CAs to TS 36.307   13.20  |         |        |            |       | - | - |  |         |
| 12-2015   RP-70   RP-152156   6016   Re-13 2DL combinations   13.2.0   |         |        |            |       |   |   |  |         |
| 12-2015   RP-70   RP-152161   0620   Rel-13 2DL combinations   13.20   |         |        |            |       |   |   |  |         |
| 12-2015   RP-70   RP-152161   0620   Rel-13 3DL combinations   13.2.0  |         |        |            |       |   |   |  |         |
| 12-2015   RP-70   RP-152172   0628   Introduction of Band 66   13.2.0  |         |        |            |       |   |   |  |         |
| 12-2015   RP-70   RP-152159   0632   Introduction of intra-band non-contiguous CA in Band 41 for 4DL   13.2.0   12-2015   RP-70   RP-152165   0634   Introduction of 2 UL and 3 DL mixed inter/intra cases without MSD   13.2.0    |         |        |            |       |   |   |  |         |
| 12-2015   RP-70   RP-152165   0634   Introduction of 2 UL and 3 DL mixed inter/intra cases without MSD   13.2.0 into 36.307 Rel-13   Introduction of 2 UL and 3 DL mixed inter/intra cases without MSD   13.2.0 into 36.307 Rel-13   RP-161481   0642   B   Introduction of completed R13 4DL inter-band CA's to TS 36.307   13.3.0   13.3.0   30/2016   RP-71   RP-160482   0651   B   Introduction of SDL/IUL CA combinations into TS 36.307 (Rel-13)   13.3.0   30/2016   RP-71   RP-160483   0647   B   Introduction of SDL/IUL CA combinations into TS 36.307 (Rel-13)   13.3.0   30/2016   RP-72   RP-161142   0682   1   F   CR TS 36.307 REL-13   13.4.0   36.307   RP-161142   0681   1   F   Correction of RRM multiple uplink requirements and test cases in 36.307   36.3 |         |        |            |       |   |   |  |         |
| Into 36.307 Rel-13   Introduction of completed R13 4DL inter-band CA's to TS 36.307   Introduction of completed R13 4DL inter-band CA's to TS 36.307   Introduction of completed R13 4DL inter-band CA's to TS 36.307   Introduction of SDL/1UL CA combinations into TS 36.307 (Rel-13)   Introduction of SDL/1UL CA combinations into TS 36.307 (Rel-13)   Introduction of SDL/1UL CA combinations into TS 36.307 (Rel-13)   Introduction of SDL/1UL CA combinations into TS 36.307 (Rel-13)   Introduction of SDL/1UL CA combinations into TS 36.307 (Rel-13)   Introduction of SDL/1UL CA combinations into TS 36.307 (Rel-13)   Introduction of SDL/1UL CA combinations into TS 36.307 (Rel-13)   Introduction of SDL/1UL CA combinations into TS 36.307 (Rel-13)   Introduction of SDL/1UL CA combinations into TS 36.307   Introduction of SDL/1UL CA into TS 36.307   Introduction of ADL CA into TS 36.307 |         |        |            |       |   |   |  |         |
| 03/2016   RP-71   RP-160481   0642   B   Introduction of completed R13 4DL inter-band CA's to TS 36.307   13.3.0   | 12-2015 | RP-70  | RP-152165  | 0634  |   |   |  | 13.2.0  |
| 03/2016   RP-71   RP-160482   0651   B   Introduction of SDL/1UL CA combinations into TS 36.307 (Rel-13)   13.3.0  | 03/2016 | RP-71  | RP-160480  | 0655  |   | В | Rel-13 3DL combinations  | 13.3.0  |
| 13.30   13.3 | 03/2016 | RP-71  | RP-160481  | 0642  |   | В | Introduction of completed R13 4DL inter-band CA's to TS 36.307         | 13.3.0  |
| 2016/06   RP-72   RP-161142   0682   1   F   CR TS 36.307 REL-13   13.4.0  | 03/2016 | RP-71  | RP-160482  | 0651  |   | В |  | 13.3.0  |
| 2016/06   RP-72   RP-161142   0691   1   F   Correction of RRM multiple uplink requirements and test cases in 36.307   36.307   RP-161628   0693   A   Release 13 36.307   CAT A CR to make Band 41 power class 2   13.5.0   RP-73   RP-161613   0705   B   CR for 4Rx requirements for release independent in Rel-13   13.5.0   12/2016   RP-74   RP-162387   0706   1   B   Introduction of B46 DL 10 MHz release independent feature   13.6.0   12/2016   RP-74   RP-162498   0710   1   A   Addition of CA bandwidth Class F   13.6.0   12/2016   RP-74   RP-162498   0715   2   A   Correction UE category applicability   13.6.0   12/2016   RP-74   RP-162490   0718   1   B   Introduction of new bands for NB-IoT in 36.307   13.6.0   12/2016   RP-74   RP-162490   0720   F   Addition of UE category 0 and M1 to release independence   13.6.0    | 03/2016 | RP-71  | RP-160483  | 0647  |   | В | Introduction of Band 68  | 13.3.0  |
| 2016/06   RP-72   RP-161142   0691   1   F   Correction of RRM multiple uplink requirements and test cases in 36.307   36.307   RP-161628   0693   A   Release 13 36.307   CAT A CR to make Band 41 power class 2   13.5.0   RP-73   RP-161613   0705   B   CR for 4Rx requirements for release independent in Rel-13   13.5.0   12/2016   RP-74   RP-162387   0706   1   B   Introduction of B46 DL 10 MHz release independent feature   13.6.0   12/2016   RP-74   RP-162498   0710   1   A   Addition of CA bandwidth Class F   13.6.0   12/2016   RP-74   RP-162498   0715   2   A   Correction UE category applicability   13.6.0   12/2016   RP-74   RP-162490   0718   1   B   Introduction of new bands for NB-IoT in 36.307   13.6.0   12/2016   RP-74   RP-162490   0720   F   Addition of UE category 0 and M1 to release independence   13.6.0    | 2016/06 | RP-72  | RP-161142  | 0682  | 1 | F | CR TS 36.307 REL-13  | 13.4.0  |
| RP-73  | 2016/06 |        |            | 0691  | 1 | F |  | 13.4.0  |
| 09/2016         RP-73         RP-161613         0705         B         CR for 4Rx requirements for release independent in Rel-13         13.5.0           12/2016         RP-74         RP-162498         0706         1         B         Introduction of B46 DL 10 MHz release independent feature         13.6.0           12/2016         RP-74         RP-162499         0715         2         A         Addition of CA bandwidth Class F         13.6.0           12/2016         RP-74         RP-162499         0715         2         A         Correction UE category applicability         13.6.0           12/2016         RP-74         RP-162497         0718         1         B         Introduction of new bands for NB-IoT in 36.307         13.6.0           12/2016         RP-74         RP-162390         0720         F         Addition of UE category 0 and M1 to release independence specification         13.6.0           09/2017         RP-76         RP-171291         0748         1         F         Cleanup of TS 36.307         13.7.0           09/2017         RP-77         RP-171943         4353         F         CR for adding NB-IoT performance requirements in 36.307 in Rel-13         13.8.0           09/2017         RP-77         RP-171993         4356         F         CR for adding  | 09/2016 | RP-73  | RP-161628  | 0693  |   | Α |  | 13.5.0  |
| 12/2016         RP-74         RP-162387         0706         1         B         Introduction of B46 DL 10 MHz release independent feature         13.6.0           12/2016         RP-74         RP-162498         0710         1         A         Addition of CA bandwidth Class F         13.6.0           12/2016         RP-74         RP-162459         0715         2         A         Correction UE category applicability         13.6.0           12/2016         RP-74         RP-162407         0718         1         B         Introduction of new bands for NB-IoT in 36.307         13.6.0           12/2016         RP-74         RP-162390         0720         F         Addition of UE category 0 and M1 to release independence specification         13.6.0           06/2017         RP-76         RP-171291         0748         1         F         Cleanup of TS 36.307         13.7.0           09/2017         RP-77         RP-171943         4353         F         CR for adding NB-IoT performance requirements in 36.307 in Rel-13         13.8.0           09/2017         RP-77         RP-171973         4356         F         CR for adding overlapping band B66 in 36.307 in Rel-13         13.8.0           2018-03         RAN#79         RP-180284         4369         A         Additional LTE   | 09/2016 | RP-73  | RP-161613  | 0705  |   | В |  | 13.5.0  |
| 12/2016         RP-74         RP-162498         0710         1         A         Addition of CA bandwidth Class F         13.6.0           12/2016         RP-74         RP-162459         0715         2         A         Correction UE category applicability         13.6.0           12/2016         RP-74         RP-162407         0718         1         B         Introduction of new bands for NB-IoT in 36.307         13.6.0           12/2016         RP-74         RP-162390         0720         F         Addition of UE category 0 and M1 to release independence specification         13.6.0           06/2017         RP-76         RP-171291         0748         1         F         Cleanup of TS 36.307         13.7.0           09/2017         RP-77         RP-171943         4353         F         CR for adding NB-IoT performance requirements in 36.307 in Rel-13         13.8.0           09/2017         RP-77         RP-171973         4356         F         CR for adding overlapping band B66 in 36.307 in Rel-13         13.8.0           2018-03         RAN#79         RP-180288         4360         B         Addition of missing features for UE category M1 and/or NB1 in Rel-15         13.8.0           2018-06         RAN#80         RP-181103         4374         B         Introduction of 4UL CA   |         |        |            |       | 1 |   |  |         |
| 12/2016         RP-74         RP-162459         0715         2         A         Correction UE category applicability         13.6.0           12/2016         RP-74         RP-162407         0718         1         B         Introduction of new bands for NB-IoT in 36.307         13.6.0           12/2016         RP-74         RP-162390         0720         F         Addition of UE category 0 and M1 to release independence specification         13.6.0           06/2017         RP-76         RP-171291         0748         1         F         Cleanup of TS 36.307         13.7.0           09/2017         RP-77         RP-171943         4353         F         Cleanup of TS 36.307         13.8.0           09/2017         RP-77         RP-171973         4356         F         CR for adding NB-IoT performance requirements in 36.307 in Rel-13         13.8.0           09/2017         RP-77         RP-171973         4356         F         CR for adding overlapping band B66 in 36.307 in Rel-13         13.8.0           2018-03         RAN#79         RP-180288         4369         A         Additional LTE bands for UE category M1 and/or NB1 in Rel-15         13.8.0           2018-06         RAN#80         RP-181113         4379         B         Introduction of 4UL CA into TS36.307         13.10.0   |         |        |            |       | 1 |   |  |         |
| 12/2016         RP-74         RP-162407         0718         1         B         Introduction of new bands for NB-IoT in 36.307         13.6.0           12/2016         RP-74         RP-162390         0720         F         Addition of UE category 0 and M1 to release independence specification         13.6.0           06/2017         RP-76         RP-171291         0748         1         F         Cleanup of TS 36.307         13.7.0           09/2017         RP-77         RP-171943         4353         F         CR for adding NB-IoT performance requirements in 36.307 in Rel-13         13.8.0           09/2017         RP-77         RP-171973         4356         F         CR for adding overlapping band B66 in 36.307 in Rel-13         13.8.0           09/2017         RP-77         RP-172044         4360         B         Additional LTE bands for UE category M1 and/or NB1 in Rel-15         13.8.0           2018-03         RAN#79         RP-180288         4369         A         Addition of missing features for TS 36.307 REL-13         13.9.0           2018-06         RAN#80         RP-181113         4379         B         Introduction of 4UL CA into TS36.307         13.10.0           2018-06         RAN#80         RP-181097         4386         1         A         TS 36.307 big CR for introduct  |         |        |            |       | 2 |   |  |         |
| 12/2016         RP-74         RP-162390         0720         F         Addition of UE category 0 and M1 to release independence specification         13.6.0           06/2017         RP-76         RP-171291         0748         1         F         Cleanup of TS 36.307         13.7.0           09/2017         RP-77         RP-171943         4353         F         CR for adding NB-IoT performance requirements in 36.307 in Rel-13         13.8.0           09/2017         RP-77         RP-171973         4356         F         CR for adding overlapping band B66 in 36.307 in Rel-13         13.8.0           09/2017         RP-77         RP-172044         4360         B         Additional LTE bands for UE category M1 and/or NB1 in Rel-15         13.8.0           2018-03         RAN#79         RP-180288         4369         A         Addition of missing features for TS 36.307 REL-13         13.9.0           2018-03         RAN#80         RP-181076         4374         B         Introduction of 4UL CA into TS36.307         13.9.0           2018-06         RAN#80         RP-181097         4386         1         A         TS 36.307 big CR for introduction new band support for 4Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181087         4398         B         Introduction of 3U   |         |        |            |       | 1 |   |  |         |
| 06/2017         RP-76         RP-171291         0748         1         F         Cleanup of TS 36.307         13.7.0           09/2017         RP-77         RP-171943         4353         F         CR for adding NB-IoT performance requirements in 36.307 in Rel-13         13.8.0           09/2017         RP-77         RP-171973         4356         F         CR for adding overlapping band B66 in 36.307 in Rel-13         13.8.0           09/2017         RP-77         RP-172044         4360         B         Additional LTE bands for UE category M1 and/or NB1 in Rel-15         13.8.0           2018-03         RAN#79         RP-180288         4369         A         Addition of missing features for TS 36.307 REL-13         13.9.0           2018-03         RAN#79         RP-180276         4374         B         Introduction of 4UL CA into TS36.307         13.9.0           2018-06         RAN#80         RP-181103         4379         B         TS 36.307 Rel-13         13.10.0           2018-06         RAN#80         RP-181087         4386         1         A         TS 36.307 big CR for introduction new band support for 4Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181087         4398         B         Introduction of 3UL CA into TS36.307         13.10.0   |         |        |            |       |   |   | Addition of UE category 0 and M1 to release independence specification |         |
| 09/2017         RP-77         RP-171943         4353         F         CR for adding NB-IoT performance requirements in 36.307 in Rel-13         13.8.0           09/2017         RP-77         RP-171973         4356         F         CR for adding overlapping band B66 in 36.307 in Rel-13         13.8.0           09/2017         RP-77         RP-172044         4360         B         Additional LTE bands for UE category M1 and/or NB1 in Rel-15         13.8.0           2018-03         RAN#79         RP-180288         4369         A         Addition of missing features for TS 36.307 REL-13         13.9.0           2018-03         RAN#79         RP-180276         4374         B         Introduction of 4UL CA into TS36.307         13.9.0           2018-06         RAN#80         RP-181113         4379         B         TS 36.307 Rel-13         13.10.0           2018-06         RAN#80         RP-181097         4386         1         A         TS 36.307 big CR for introduction new band support for 4Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181087         4396         B         Introduction of 3UL CA into TS36.307         13.10.0           2018-06         RAN#80         RP-181095         4398         B         Introduction of 3UL CA into TS36.307         13.10.0   | 06/2017 | RP-76  | RP-171291  | 0748  | 1 | F | Cleanup of TS 36.307   | 13.7.0  |
| 09/2017         RP-77         RP-172044         4360         B         Additional LTE bands for UE category M1 and/or NB1 in Rel-15         13.8.0           2018-03         RAN#79         RP-180288         4369         A         Addition of missing features for TS 36.307 REL-13         13.9.0           2018-03         RAN#79         RP-180276         4374         B         Introduction of 4UL CA into TS36.307         13.9.0           2018-06         RAN#80         RP-181113         4379         B         TS 36.307 Rel-13         13.10.0           2018-06         RAN#80         RP-181097         4386         1         A         TS 36.307 big CR for introduction new band support for 4Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181087         4396         B         TS 36.307 big CR for introduction new band support for 8Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181095         4398         B         Introduction of 3UL CA into TS36.307         13.10.0           2018-12         RAN#81         RP-182377         4407         1         B         CR of adding B65 for NB1         13.11.0           2019-06         RAN#84         RP-191266         4412         F         Addition of 8Rx release independent features in TS 36.307 (Rel-13)<   | 09/2017 | RP-77  | RP-171943  | 4353  |   | F | CR for adding NB-IoT performance requirements in 36.307 in Rel-        | 13.8.0  |
| 09/2017         RP-77         RP-172044         4360         B         Additional LTE bands for UE category M1 and/or NB1 in Rel-15         13.8.0           2018-03         RAN#79         RP-180288         4369         A         Addition of missing features for TS 36.307 REL-13         13.9.0           2018-03         RAN#79         RP-180276         4374         B         Introduction of 4UL CA into TS36.307         13.9.0           2018-06         RAN#80         RP-181113         4379         B         TS 36.307 Rel-13         13.10.0           2018-06         RAN#80         RP-181097         4386         1         A         TS 36.307 big CR for introduction new band support for 4Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181087         4396         B         TS 36.307 big CR for introduction new band support for 8Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181095         4398         B         Introduction of 3UL CA into TS36.307         13.10.0           2018-12         RAN#81         RP-182377         4407         1         B         CR of adding B65 for NB1         13.11.0           2019-06         RAN#84         RP-191266         4412         F         Addition of 8Rx release independent features in TS 36.307 (Rel-13)<   | 09/2017 | RP-77  | RP-171973  | 4356  |   | F |  | 13.8.0  |
| 2018-03         RAN#79         RP-180288         4369         A         Addition of missing features for TS 36.307 REL-13         13.9.0           2018-03         RAN#79         RP-180276         4374         B         Introduction of 4UL CA into TS36.307         13.9.0           2018-06         RAN#80         RP-181113         4379         B         TS 36.307 Rel-13         13.10.0           2018-06         RAN#80         RP-181097         4386         1         A         TS 36.307 big CR for introduction new band support for 4Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181087         4396         B         TS 36.307 big CR for introduction new band support for 8Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181095         4398         B         Introduction of 3UL CA into TS36.307         13.10.0           2018-12         RAN#81         RP-182377         4407         1         B         CR of adding B65 for NB1         13.11.0           2019-06         RAN#84         RP-191266         4412         F         Addition of 8Rx release independent features in TS 36.307 (Rel-13)         13.12.0   |         |        |            |       |   |   |  |         |
| 2018-03         RAN#79         RP-180276         4374         B         Introduction of 4UL CA into TS36.307         13.9.0           2018-06         RAN#80         RP-181113         4379         B         TS 36.307 Rel-13         13.10.0           2018-06         RAN#80         RP-181097         4386         1         A         TS 36.307 big CR for introduction new band support for 4Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181087         4396         B         TS 36.307 big CR for introduction new band support for 8Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181095         4398         B         Introduction of 3UL CA into TS36.307         13.10.0           2018-12         RAN#81         RP-182377         4407         1         B         CR of adding B65 for NB1         13.11.0           2019-06         RAN#84         RP-191266         4412         F         Addition of 8Rx release independent features in TS 36.307 (Rel-13)         13.12.0  |         |        |            |       |   |   |  |         |
| 2018-06         RAN#80         RP-181113         4379         B         TS 36.307 Rel-13         13.10.0           2018-06         RAN#80         RP-181097         4386         1         A         TS 36.307 big CR for introduction new band support for 4Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181087         4396         B         TS 36.307 big CR for introduction new band support for 8Rx antenna ports R13         13.10.0           2018-06         RAN#80         RP-181095         4398         B         Introduction of 3UL CA into TS36.307         13.10.0           2018-12         RAN#81         RP-182377         4407         1         B         CR of adding B65 for NB1         13.11.0           2019-06         RAN#84         RP-191266         4412         F         Addition of 8Rx release independent features in TS 36.307 (Rel-13)         13.12.0  |         |        |            |       |   |   |  |         |
| 2018-06       RAN#80       RP-181097       4386       1       A       TS 36.307 big CR for introduction new band support for 4Rx antenna ports R13       13.10.0         2018-06       RAN#80       RP-181087       4396       B       TS 36.307 big CR for introduction new band support for 8Rx antenna ports R13       13.10.0         2018-06       RAN#80       RP-181095       4398       B       Introduction of 3UL CA into TS36.307       13.10.0         2018-12       RAN#81       RP-182377       4407       1       B       CR of adding B65 for NB1       13.11.0         2019-06       RAN#84       RP-191266       4412       F       Addition of 8Rx release independent features in TS 36.307 (Rel-13)       13.12.0   |         |        |            |       |   |   |  |         |
| 2018-06       RAN#80       RP-181087       4396       B       TS 36.307 big CR for introduction new band support for 8Rx antenna ports R13       13.10.0         2018-06       RAN#80       RP-181095       4398       B       Introduction of 3UL CA into TS36.307       13.10.0         2018-12       RAN#81       RP-182377       4407       1       B       CR of adding B65 for NB1       13.11.0         2019-06       RAN#84       RP-191266       4412       F       Addition of 8Rx release independent features in TS 36.307 (Rel-13)       13.12.0  |         |        |            |       | 1 |   | TS 36.307 big CR for introduction new band support for 4Rx             |         |
| 2018-06       RAN#80       RP-181095       4398       B       Introduction of 3UL CA into TS36.307       13.10.0         2018-12       RAN#81       RP-182377       4407       1       B       CR of adding B65 for NB1       13.11.0         2019-06       RAN#84       RP-191266       4412       F       Addition of 8Rx release independent features in TS 36.307 (Rel-13)       13.12.0   | 2018-06 | RAN#80 | RP-181087  | 4396  |   | В | TS 36.307 big CR for introduction new band support for 8Rx             | 13.10.0 |
| 2018-12         RAN#81         RP-182377         4407         1         B         CR of adding B65 for NB1         13.11.0           2019-06         RAN#84         RP-191266         4412         F         Addition of 8Rx release independent features in TS 36.307 (Rel-13)         13.12.0  | 2018-06 | RAN#80 | RP-181095  | 4398  |   | В |  | 13.10.0 |
| 2019-06 RAN#84 RP-191266 4412 F Addition of 8Rx release independent features in TS 36.307 (Rel-13) 13.12.0   |         |        |            |       | 1 |   |  |         |
|  |         |        |            |       | l |   |  |         |
|  | 2021-03 |        |            |       | 1 | В | CR of adding LTE B24 for UE category NB1 in R17                        | 13.13.0 |

### History

|          | Document history |             |  |  |  |
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| V13.3.0  | April 2016       | Publication |  |  |  |
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