# ETSI TS 136 307 V16.2.0 (2020-07)



LTE;

Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements on User Equipments (UEs) supporting a release-independent frequency band (3GPP TS 36.307 version 16.2.0 Release 16)



Reference RTS/TSGR-0436307vg20

Keywords

LTE

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Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

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### Contents

Intelle	ectual Property Rights	2
Legal	Notice	2
Moda	l verbs terminology	2
Forew	ord	5
1	Scope	6
2	References	6
3 3.1 3.2 3.3	Definitions and abbreviations Definitions Abbreviations Symbols	6 7 7
3A 3A.0	Release independent features	
3A.1 3A.2 3A.3 3A.4	Additional E-UTRA operating bands Additional E-UTRA CA configurations Additional operating bands and/or CA configurations for specific features Other release independent features	7 8 .11
4 – 29	2 Void	14
Anne	x A (informative) : Frequency arrangement for overlapping operating bands	.15
Anne	x B (normative): Common Requirements for bands or CA	.16
B.1	Purpose of annex	
B.2	Common RRM requirements	.16
B.2.1	Common RRM requirements for a release independent band	. 16
B.2.2	Common RRM requirements for an intra-band contiguous CA configuration	
B.2.3	Common RRM requirements for an intra-band non-contiguous CA with single uplink configuration	
B.2.4 B.2.5	Common RRM requirements for an inter-band CA with single uplink configuration Common RRM requirements for an inter-band CA with dual uplink configuration	
B.2.6	Common RRM requirements for an intra-band non-contiguous CA with dual uplink configuration	
B.2.7	Common RRM requirements for an inter-band CA with three uplink configuration	
B.2.8	Common RRM requirements for operating bands for UE category NB1	
B.2.9	Common RRM requirements for operating bands for UE category 0	. 21
B.2.10		
B.2.11		
B.2.12		23
B.2.13 B.2.14		
B.2.15		
B.3 B.3.1	Common UE performance requirements	
B.3.2	Common UE performance requirements and tests for different CA configurations and combination	
B.3.3	sets Void	
B.3.4	Void	
B.3.5	Common UE performance requirements and tests for operating bands for UE category 0	
B.3.6	Common UE performance requirements and tests for operating bands for UE category M1	
B.3.7	Common UE performance requirements and tests for operating bands for UE category NB1 and NB2	27
B.3.8	Common UE performance requirements and tests for operating bands for UE category 1bis	
B.4	Common UE RF requirements	
B.4.1 B.4.2	Common UE RF requirements for a release independent band Common UE RF requirements for an intra-band contiguous CA configuration	
	common of requirements for an intra band contiguous of configuration and and	-0

B.4.3	3 Common UE RF requirements for an single uplink inter-	band CA configuration29
B.4.4	4 Common UE RF requirements for an inter-band CA conf	iguration including an operating band without
	uplink band	
B.4.5		
B.4.6		
B.4.7	1 1	
B.4.8	1 1	
B.4.9	1 1 5	
B.4.10		
<b>B.4.11</b>		
B.4.12		
B.4.13	1 1	
B.4.14	14 Common UE RF requirements for operating bands for U	E category 1bis
Anne	nex C (normative): Common Requirements for 4	Rx
C.1	Common UE RF requirements	
C.2	Common UE demodulation and CSI requirements	
Anne		performance enhancements for high 
D.1	Common RRM requirements for performance enhance	ments for high speed scenario39
D.2	Common UE demodulation requirements for performant scenario	
Anne	nex E (normative): Common Requirements for 8	8Rx40
E.1 C	Common UE RF requirements	
E.2	Common UE demodulation and CSI requirements	
Anne	nex F (informative): Change history	41
Histor	tory	

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- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
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### 1 Scope

The present document specifies requirements for Rel-16 UEs supporting release independent features like:

- additional E-UTRA operating frequency bands on top of Rel-16 of TS 36.101 [2] and TS 36.133 [3];
- additional E-UTRA CA configurations (intra-band/inter-band) on top of Rel-16 of TS 36.101 [2] and TS 36.133 [3];
- additional operating bands and/or CA configurations for specific features (like UE category 0, M1, NB1);
- other release independent features (like 4Rx antenna port, high speed scenario, 8Rx antenna port).

### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) Radio Transmission and Reception".

NOTE: The considered release is given in the text of the present document that uses [2].

- [3] 3GPP TS 36.133: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements for Support of Radio Resource Management".
- [4] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio access capabilities".

NOTE: The considered release is given in the text of the present document that uses [4].

[5] Void

### 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

release independent: applicable to some frozen releases, starting from a certain release Rel-M

NOTE 1: Normally, a feature is introduced only in the latest open release Rel-N and future releases are based on the previous one so that future releases inherit the requirements of this feature. Introducing a feature "in a release independent way from Rel-M onwards" (M<N) means it was decided by TSG RAN that this feature would be also beneficial in previous, already frozen releases starting with Rel-M until Rel-(N-1). In order to avoid touching TS 36.101 [2] or TS 36.133 [3] of these frozen releases, the corresponding requirements are captured in TS 36.307 via pointers to [2] or [3] of the release in which the feature was introduced.

NOTE 2: Release independent does not mean applicable to all releases.

#### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

4Rx	4 UE receiver antenna ports
CA	Carrier Aggregation
CRS	Cell-specific Reference Signal
CSI	Channel State Indicator
FDD	Frequency Division Duplex
LAA	License-Assisted Access
RRC	Radio Resource Control
RRM	Radio Resource Management
SDR	Sustained Data Rate
TDD	Time Division Duplex
UE	User Equipment

#### 3.3 Symbols

For the purposes of the present document, the following symbols apply:

Ν	Release in which a feature is introduced into TS 36.101 [2] or TS 36.133 [3]
М	Release from which onwards (including release M) a feature is release independent

### 3A Release independent features

#### 3A.0 General

TSG-RAN has agreed for certain features (see the following clauses) to introduce them in a "release independent way".

This means for each feature:

- it is "introduced" in a release N, i.e. TS 36.101 [2] and TS 36.133 [3] of release N define certain UE requirements for this feature; the feature is indicated in the tables of the following clauses;
- it is "release independent" starting from a release M (M<N); M for the given feature is provided in the tables of the following clauses;
- UEs supporting this feature have to fulfill additional requirements in release M or higher which are specified in one or more Annexes of TS 36.307 of release N; the applicable Annexes for a given feature are provided in the tables of the following clauses.

The applicable UE Categories are specified in TS 36.306 [4] according to the release to which the UE conforms.

#### 3A.1 Additional E-UTRA operating bands

Requirements for a Rel-16 UE for additional E-UTRA operating bands compared to TS 36.101 Rel-16 [2] are introduced via this clause.

Feature	Duplex- mode	Release independent from	Requirements to be fulfilled (see TS 36.307 of the release in which the band was introduced)
Operating bands, band number <= 64, Power Class 3	FDD, TDD	Rel-8	Table B.2.1-1, Table B.4.1-1
Operating bands, band number > 64, Power Class 3	FDD, TDD	Rel-9	Table B.2.1-1, Table B.4.1-1
Operating bands, NS-value > 32	FDD, TDD	Rel-10	Table B.2.1-1, Table B.4.1-1
Asymmetric operating bands, Power Class 3	FDD	Rel-10	Table B.2.1-1, Table B.4.1-1
Operating bands, band number <= 64, Power Class 1	FDD	Rel-10	Table B.2.1-1, Table B.4.1-1
Operating bands, Power Class 2	TDD	Rel-10	Table B.2.1-1, Table B.4.1-1

Table 3A.1-1: E-UTRA operating bands and UE power class

For example, Band 19 was introduced in the Release 9 specifications. In order to implement a UE conforming to Release 8 but supporting Band 19, it is necessary for the UE to additionally conform to some parts of the Release 9 specifications (see corresponding Annexes of TS 36.307 Rel-9 which will point to the requirements in the Rel-9 of TS 36.101 [2] or TS 36.133 [3] to be fulfilled), such as the radio frequency and radio resource management requirements for the Band 19.

### 3A.2 Additional E-UTRA CA configurations

Requirements for a Rel-16 UE for additional E-UTRA CA configurations compared to TS 36.101 Rel-16 [2] are introduced via this clause.

Feature	DL/UL	CA BW Class	Duplex- mode	Release independent from	requirements to be fulfilled (see 36.307 of the REL in which the CA configuration and the power class were introduced)	
		В	FDD	Rel-10	Table B.2.2-1, Table B.3.2-1, Table B.4.2-1	
		С	FDD, TDD	Rel-10	Table B.2.2-1, Table B.3.2-1, Table B.4.2-1	
	DL	D	TDD	Rel-10	Table B.2.2-1, Table B.3.2-1, Table B.4.2-1	
Intra-band contiguous CA configurations, power class 3		E	TDD	Rel-11	Table B.2.2-1, Table B.3.2-1, Table B.4.2-1	
power class 5		F	TDD	Rel-12	Table B.2.2-1, Table B.3.2-1, Table B.4.2-1	
		В	FDD	Rel-10	Table B.2.2-1, Table B.3.2-1, Table B.4.2-1	
	UL	C, D	FDD, TDD	Rel-10	Table B.2.2-1, Table B.3.2-1, Table B.4.2-1	
Intra-band contiguous CA configurations, power class 2	UL	С	TDD	Rel-10	Table B.2.2-1, Table B.3.2-1, Table B.4.2-1	
NOTE1: The duplex mode "FDD, TDD" refers to a CA configuration composed by only FDD bands or only TDD bands, respectively.						

#### Table 3A.2-1: Intra-band contiguous CA configurations and UE CA power class

Table 3A.2-2: Inter-band CAconfigurations

#### 3GPP TS 36.307 version 16.2.0 Release 16

10

Feature	DL/UL	number of bands	number of CCs	CA BW Classes	Duplex- mode	Release independent from	requirements to be fulfilled (see 36.307 of the REL in which the CA configuration was introduced)
			2-4	A, B, C	FDD, TDD	Rel-10	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
			2-5	D, E	FDD, TDD	Rel-11	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
		2	2-5	A, B, C, D, E	FDD and TDD	Rel-12	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
			6-7	A, C, D,	FDD, TDD	Rel-14	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
			6-7	E, F	FDD and TDD	Rel-14	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
			3	А	FDD, TDD	Rel-10	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
			3-5	B, C, D	FDD, TDD	Rel-11	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
		3	3	A	FDD and TDD	Rel-12	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
	DL	4	6-7	A, C, D,	FDD, TDD	Rel-14	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
Inter-band CA			6-7	E, F	FDD and TDD	Rel-14	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
configurations			4-5	A, C	FDD, TDD	Rel-11	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
			4-5		FDD and TDD	Rel-12	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
			6-7	A, C, D,	FDD, TDD	Rel-14	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
			6-7	E	FDD and TDD	Rel-14	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
		5	5	A	FDD, TDD	Rel-12	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
			5		FDD and TDD	Rel-12	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
			6-7	A, C, D	FDD, TDD	Rel-14	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
			6-7	, 0, 0	FDD and TDD	Rel-14	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
	UL	2	2-4	A, C	FDD, TDD	Rel-11	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1
			2-3	A, C	FDD and TDD	Rel-12	Table B.2.4-1, Table B.3.2-1, Table B.4.3- 1 or Table B.4.4-1

NOTE1: The duplex mode "FDD, TDD" refers to a CA configuration composed by only FDD bands or only TDD bands, respectively. The duplex mode "FDD and TDD" refers to a CA configuration including both FDD and TDD bands.
 NOTE2: CA configurations involving downlink only operation in Band 46 are release independent from Rel-13 onwards (LAA was introduced in Rel-13). The 10 MHz channel bandwidth for Band 46 was introduced in TS 36.101 Rel-14 [2] and can be implemented in a release independent way from Rel-13.

For example, CA configuration CA\_1A-19A was introduced in the Release 11 specifications. In order to implement a UE conforming to Release 10 but supporting the CA configuration CA\_1A-19A, it is necessary for the UE to additionally conform to some parts of the Release 11 specifications (see corresponding Annexes of TS 36.307 Rel-11 which will point to the requirements in the Rel-11 of TS 36.101 [2] or TS 36.133 [3] to be fulfilled), such as the radio frequency and radio resource management requirements for the CA configuration CA\_1A-19A.

Feature	DL/UL	number of sub- blocks	number of CCs	CA BW Classes	Duplex- mode	Release independent from	requirements to be fulfilled (see 36.307 of the REL in which the CA configuration was introduced)	
		2	2-5	A, C, D	FDD, TDD	Rel-11	Table B.2.3-1, Table B.3.2-1, Table B.4.5-1	
Intra-band non- contiguous CA configurations	DL	3	3-5	A, C	FDD, TDD	Rel-11	Table B.2.3-1, Table B.3.2-1, Table B.4.5-1	
	UL	2	2	A	FDD	Rel-11	Table B.2.3-1, Table B.3.2-1, Table B.4.5-1	

Table 3A.2-3: Intra-band non-contiguous CA configurations

# 3A.3 Additional operating bands and/or CA configurations for specific features

For a specific feature introduced in an earlier release, it may be decided in a later release to apply this specific feature in a release independent way for additional operating bands and/or CA configurations. For a Rel-16 UE corresponding requirements are then introduced via this clause.

Feature	Release independent from	Requirements to be fulfilled (see 36.307 of the REL when the feature was introduced)	Further information
Operating bands for UE category 0	Rel-12	Table B.2.9-1, Table B.3.5- 1, Table B.4.10-1	Rel-14 WI LC_MTC_LTE_cat0_B25_B26-Core introduced RF, RRM, demodulation and CSI requirements for bands 25 and 26, see Table B.2.9-1, Table B.3.5-1, Table B.4.10-1
Operating bands for UE category M1	Rel-13	Table B.2.10-1, Table B.3.6-1, Table B.4.11-1	Rel-14 WI LTE_MTCe2_L1_cat1_B25_B40-Core introduced RF, RRM, demodulation and CSI requirements for bands 25 and 40, see Table B.2.10-1, Table B.3.6-1, Table B.4.11-1. Rel-15 WI LTE_bands_R15_M1_NB1-Core introduced RF, RRM, demodulation and CSI requirements for bands 14 and 71, see Table B.2.10-1, Table B.3.6-1, Table B.4.11-1.
Operating bands for HD-FDD UE category M1, Power class 2	Rel-13	Table B.2.10-1, Table B.3.6-1, Table B.4.11-1	Rel-16 WI LTE_PC2_B31_B72 introduced power class 2 feature for bands 31 and 72.
Operating bands for UE category M2	Rel-14	Table B.2.11-1, Table B.4.11-1	Rel-15 WI LTE_bands_R15_M2_NB2-Core introduced RF and RRM requirements for bands 14 and 71, see Table B.2.11-1, Table B.4.11-1.
Operating bands for HD-FDD UE category M2, Power class 2	Rel-14	Table B.2.11-1, Table B.4.11-1	Rel-16 WI LTE_PC2_B31_B72 introduced power class 2 feature for bands 31 and 72.
Operating bands for (FDD) UE category NB1	Rel-13	Table B.2.8-1, Table B.3.7- 1, Table B.4.9-1	Rel-14 WI NB_IOT_R14_bands introduced RF, RRM and demodulation requirements for bands 11, 21, 25, 31, 70, see Table B.2.8-1, Table B.3.7- 1, Table B.4.9-1. Rel-15 WI LTE_bands_R15_M1_NB1-Core introduced RF, RRM and demodulation for bands 4, 14 and 71 see Table B.2.8-1, Table B.3.7-1, Table B.4.9-1. Rel-16 WI LTE_bands_R16_M1_NB1 introduced RF, RRM, demodulation for band 65, see Table B.2.8-1, Table B.3.7-1, Table B.4.9-1.
Operating bands (FDD) for UE category NB2	Rel-14	Table B.2.12-1, Table 3.7- 1, Table B.4.9-1	Rel-15 WI LTE_bands_R15_M2_NB2-Core introduced RF, RRM and demodulation requirements for bands 4, 14 and 71, see Table B.2.12-1, Table 3.7-1, Table B.4.9-1. Rel-16 WI LTE_bands_R16_M2_NB2 introduced RF, RRM, demodulation for band 65, see Table B.2.12-1, Table B.3.7-1, Table B.4.9-1.
Operating bands (TDD) for UE category NB1 and NB2	Rel-15	Table B.2.12-1, Table 3.7- 1, Table B.4.9-1	Rel-16 WI LTE_bands_R16_M1_NB1 and Rel-16 WI LTE_bands_R16_M2_NB2 introduced RF, RRM, demodulation for band 42 and band 43, see Table B.2.12-1, Table B.3.7-1, Table B.4.9-1.
Operating bands for UE category 1bis	Rel-13	Table B.2.15-1, Table B.3.8-1, Table B.4.14-1	Rel-16 RF, RRM, demodulation and CSI requirements for band 34 and band 40, see Table B.2.15-1, Table B.3.8-1, Table B.4.14-1.

Table 3A.3-1: Operating bands for specific features

Feature	Release independent from	Requirements to be fulfilled (see 36.307 of the REL when the feature was introduced)	Further information
Operating bands for V2X communication with con-current operation	Rel-14	Table B.2.13-1, Table B.4.12-1	Rel-15 WI V2X new band combinations (V2X_5A- 47A, V2X_20A-47A, V2X_34A-47A, V2X_28A- 47A, V2X_71A-47A) introduced and should be satisfied for the RF and RRM requirements in Table B.2.13-1, Table B.4.12-1
Operating band for V2X communication with multi-carrier at Band 47	Rel-14	Table B.2.13-1, Table B.4.12-1	In Rel-15 WI for eV2X, introduce intra-band multi- carrier V2X_47C and V2X_47C1 and should be satisfied for the RF and RRM requirements in Table B.2.13-1, Table B.4.12-1

Table 3A.3-2: CA configurations for specific features

### 3A.4 Other release independent features

This clause covers requirements for a Rel-16 UE coming from all other release independent features that are not covered under clause 3A.1, 3A.2 and 3A.3, e.g. generic baseband requirements or requirements that are not band/CA configuration specific.

	Release	Requirements to be fulfilled	Further information
Feature	independent from	(see 36.307 of the REL when the feature was introduced)	
RF and performance requirements for 4Rx UEs	Rel-10	Table C.1-1, Table C.2-1 for single carrier and Table C.1-2, Table C.2-2 for CA	REL-13 WI LTE_4Rx_AP_DL introduced: - single carrier RF requirements for bands 1, 2, 3, 7, 20, 39, 41, 42: see Table C.1-1 - CA RF requirements for CA_3A-42A and other 1UL CA configurations (see TS 36.101 REL-13 [2] Table 7.3.1A-0a NOTE 20): see Table C.1-2 - single carrier performance requirements for demodulation and CSI: see Table C.2-1 REL-14 WI LTE_4Rx_AP_DL_bands introduced: - single carrier RF requirements for band 35, 40: see Table C.1-1 - CA RF requirements for some further 1UL CA configurations (see TS 36.101 REL-14 [2]): see Table C.1-2 REL-14 WI LTE_4Rx_AP_DL_CA introduced: - CA RF requirements for some 2DL/2UL CA configurations (see TS 36.101 REL-14 [2]): see Table C.1-2 - CA performance requirements for demodulation/SDR and CSI: see Table C2-2 REL-15 WI LTE_4Rx_AP_DL_bands_R15 introduced: - single carrier RF requirements for band 4, 34, 43, 66: see Table C.1-1 - CA RF requirements for some further 1UL CA configurations (see TS 36.101 REL-15 [2]): see Table C.1-2
RF and performance requirements for 8Rx UEs	Rel-13	Table E.1-1, Table E.2-1 for single carrier and Table E.1-2, Table E.2-2 for CA	REL-15 WI LTE_8Rx_AP_DL introduced: - single carrier RF requirements for band 41, 42,43: see Table E.1-1 - CA RF requirements for CA_41C, CA_42C and CA_41A-42A CA configurations (see TS 36.101 REL-15 [2]): see Table E.1-2 - single carrier performance requirements for demodulation and CSI: see Table E.2-1 - CA performance requirements for demodulation/SDR: see Table E.2-2
RRM and demodulation requirements for high speed scenario		Table D.1-1, Table D.2-1	Rel-14 WI LTE_high_speed introduced band independent RRM and demodulation requirements. see Table D.1-1, Table D.2-1
	supporting the hig oadcast to all UEs		d to read the Rel-14 high speed scenario information,

 Table 3A.4-1: Additional requirements of other release independent features

### 4-292 Void

### Annex A (informative) : Frequency arrangement for overlapping operating bands

The following information is provided in order to assist a UE derive the DL EARFCN and UL EARFCN in a multiband environment, in which multiple overlapping operating bands may be indicated in the fields *freqBandIndicator* and *multiBandInfoList* of SIB1.

The overlapping bands, independent of release, which may be indicated in a cell are shown in Table A-1 for applicable E-UTRA bands. The DL EARFCN and UL EARFCN are derived according to TS 36.101 Rel-16 [2].

E-UTRA Operating Band	Overlapping E-UTRA operating bands	Duplex Mode
2	25	FDD
3	9	FDD
4	10, 66	FDD
5	18, 19, 26	FDD
9	3	FDD
10	4, 66	FDD
12	17	FDD
17	12	FDD
18	5, 26, 27	FDD
19	5, 26	FDD
25	2	FDD
26	5, 18, 19, 27	FDD
27	18, 26	FDD
33	39	TDD
38	41	TDD
39	33	TDD
41	38	TDD
66	4, 10	FDD

### Annex B (normative): Common Requirements for bands or CA

### B.1 Purpose of annex

The purpose of Annex B is to group the requirements that are common for several bands or CA configurations in this specification and use the common tables as references.

### B.2 Common RRM requirements

# B.2.1 Common RRM requirements for a release independent band

The requirements and test cases listed in Table B.2.1-1 are specified in TS 36.133 Rel-16 [3].

Clause	Description
4 Note 1	E-UTRAN RRC_IDLE state mobility
5	E-UTRAN RRC_CONNECTED state mobility
6 Note 2	RRC Connection Mobility Control
7 Note 3	Timing and signalling characteristics
8 Note 4	UE Measurements Procedures in RRC_CONNECTED State
9 Note 5	Measurements performance requirements for UE
A.4 Note 1	E-UTRAN RRC_IDLE state
A.5	E-UTRAN RRC CONNECTED Mode Mobility
A.6 Note 2	RRC Connection Control
A.7 Note 3	Timing and Signalling Characteristics
A.8 Note 4	UE Measurements Procedures
A.9 Note 5	Measurement Performance Requirements
<ul> <li>NOTE 1: All requirements and the corresponding test cases shall apply, except: <ul> <li>for supporting the corresponding band in Rel-9 and below: clause 4.3 (Minimization of Drive Tests).</li> </ul> </li> <li>NOTE 2: All requirements and the corresponding test cases shall apply, except: <ul> <li>for supporting the corresponding band in Rel-8: clauses 6.3 (RRC Connection Release with Redirection), 6.4 (CSG Proximity Indication for E-UTRAN and UTRAN).</li> </ul> </li> <li>NOTE 3: All requirements and corresponding test cases shall apply, except those defined in clauses 7.4 and 7.5.</li> <li>NOTE 4: All requirements and corresponding test cases shall apply, except: <ul> <li>for supporting the corresponding test cases shall apply, except:</li> <li>for supporting the corresponding band in Rel-8: clauses 8.1.2.5 (E-UTRAN OTDOA Intra-Frequency RSTD Measurements), 8.1.2.6 (E-UTRAN Inter-Frequency OTDOA Measurements), 8.1.2.7 (E-UTRAN E-CID Measurements).</li> </ul> </li> <li>NOTE 5: All requirements and corresponding test cases shall apply, except: <ul> <li>for supporting the corresponding test cases shall apply, except:</li> <li>for supporting the corresponding band in Rel-8: clauses 8.1.2.5 (E-UTRAN OTDOA Intra-Frequency RSTD Measurements).</li> </ul> </li> <li>NOTE 5: All requirements and corresponding test cases shall apply, except: <ul> <li>for supporting the corresponding test cases shall apply, except:</li> <li>for supporting the corresponding test cases shall apply, except:</li> <li>for supporting the corresponding test cases shall apply, except:</li> <li>for supporting the corresponding test cases shall apply, except:</li> <li>for supporting the corresponding test cases shall apply, except:</li> <li>for supporting the corresponding test cases shall apply, except:</li> <li>for supporting the corresponding test cases shall apply, except:</li> </ul> </li> </ul>	
<ul> <li>9.1.10 (Reference Signal Time Difference).</li> <li>for supporting the corresponding band in Rel-11 or below: the RSRP absolute accuracy requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-70dBm is ±6dB.</li> <li>for supporting the corresponding band in Rel-11 or below: the interfrequency RSRP relative accuracy requirement under normal conditions in table 9.1.3.2-1 is ±6dB.</li> <li>NOTE 6: In addition to the exceptions above, all requirements and test cases in this table shall apply, except those defined for: <ul> <li>carrier aggregation;</li> <li>for supporting the corresponding band in Rel-9 or below: measurements under time-domain measurement resource restriction without CRS assistance information;</li> <li>for supporting the corresponding band in Rel-10 or below: measurements under time-domain measurement resource restriction with CRS assistance information;</li> <li>for supporting the corresponding band in Rel-11 or below: measurements under time-domain measurement resource restriction with CRS assistance information;</li> </ul></li></ul>	

 Table B.2.1-1: Common RRM requirements for a release independent band

# B.2.2 Common RRM requirements for an intra-band contiguous CA configuration

The requirements and test cases listed in Table B.2.2-1 are specified in TS 36.133 Rel-16 [3].

Clause	Description
7.1	UE transmit timing
7.7	SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation
7.8	Interruptions with Carrier Aggregation
8.2	Capabilities for Support of Event Triggering and Reporting Criteria
8.3	Measurements for E-UTRA carrier aggregation
8.4	OTDOA RSTD Measurements for E-UTRAN carrier aggregation
9.1.11 Note 3	Carrier aggregation measurement accuracy
9.1.12	Reference Signal Time Difference (RSTD) Measurement Accuracy Requirements for Carrier Aggregation
A.7	Timing and Signalling Characteristics
A.8	UE Measurements Procedures
A.9 Note 3	Measurement Performance Requirements
<ul> <li>NOTE 1: Only requirements and test cases defined for intra-band contiguous carrier aggregation shall apply.</li> <li>NOTE 2: In addition to the exceptions above, all requirements and test cases in this table shall apply, except: <ul> <li>for supporting the corresponding band in Rel-11 or below: requirements introduced in Rel-12.</li> <li>NOTE 3: For supporting the corresponding band in Rel-11 or below: the RSRP absolute accuracy requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when los-70dBm is ±6dB.</li> <li>For supporting the corresponding band in Rel-11 or below: the interfrequency RSRP relative accuracy requirement under normal conditions in table 9.1.3.2-1 is ±6dB.</li> </ul> </li> </ul>	

Table B.2.2-1: Common RRM requirements for a release independent single-band CA configuration

### B.2.3 Common RRM requirements for an intra-band noncontiguous CA with single uplink configuration

The requirements and test cases listed in Table B.2.3-1 are specified in TS 36.133 Rel-16 [3].

Table B.2.3-1: Common RRM requirements for a release independent single-band CA configuration

Clause	Description
7.1	UE transmit timing
7.7	SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation
7.8	Interruptions with Carrier Aggregation
8.2	Capabilities for Support of Event Triggering and Reporting Criteria
8.3	Measurements for E-UTRA carrier aggregation
8.4	OTDOA RSTD Measurements for E-UTRAN carrier aggregation
9.1.11 Note 3	Carrier aggregation measurement accuracy
9.1.12	Reference Signal Time Difference (RSTD) Measurement Accuracy Requirements for Carrier Aggregation
A.7	Timing and Signalling Characteristics
A.8	UE Measurements Procedures
A.9 Note 3	Measurement Performance Requirements
<ul> <li>NOTE 1: Only requirements and test cases defined for intra-band non-contiguous carrier aggregation with single uplink shall apply.</li> <li>NOTE 2: In addition to the exceptions above, all requirements and test cases in this table shall apply, except:</li> <li>for supporting the corresponding band in Rel-11 or below: requirements introduced in Rel-12.</li> <li>NOTE 3: For supporting the corresponding band in Rel-11 or below: the RSRP absolute accuracy</li> </ul>	
requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤- 70dBm is ±6dB - for supporting the corresponding band in Rel-11 or below: the interfrequency RSRP relative accuracy requirement under normal conditions in table 9.1.3.2-1 is ±6dB.	

# B.2.4 Common RRM requirements for an inter-band CA with single uplink configuration

The requirements and test cases listed in Table B.2.4-1 are specified in TS 36.133 Rel-16 [3].

## Table B.2.4-1: Common RRM requirements for a release independent band-combination CA configuration

Clause	Description	
7.1	UE transmit timing	
7.7	SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation	
7.8	Interruptions with Carrier Aggregation	
8.2	Capabilities for Support of Event Triggering and Reporting Criteria	
8.3	Measurements for E-UTRA carrier aggregation	
8.4	OTDOA RSTD Measurements for E-UTRAN carrier aggregation	
9.1.11 Note 3	Carrier aggregation measurement accuracy	
9.1.12	Reference Signal Time Difference (RSTD) Measurement Accuracy Requirements for Carrier Aggregation	
A.7	Timing and Signalling Characteristics	
A.8	UE Measurements Procedures	
A.9 Note 3	Measurement Performance Requirements	
apply.	and test cases defined for inter-band with single uplink carrier aggregation shall	
	xceptions above, all requirements and test cases in this table shall apply, except: he corresponding band in Rel-11 or below: requirements introduced in Rel-12.	
NOTE 3: - For supporting requirement under 70dBm is ±6dB.	OTE 3: - For supporting the corresponding band in Rel-11 or below: the RSRP absolute accuracy requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤- 70dBm is ±6dB.	
	he corresponding band in Rel-11 or below: the interfrequency RSRP relative ent under normal conditions in table 9.1.3.2-1 is ±6dB.	

# B.2.5 Common RRM requirements for an inter-band CA with dual uplink configuration

The requirements and test cases listed in Table B.2.5-1 are specified in TS 36.133 Rel-16 [3].

Clause	Description	
7.1	UE transmit timing	
7.7	SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation	
7.8	Interruptions with Carrier Aggregation	
7.17	Maximum Transmission Timing Difference in Dual Connectivity	
8.2	Capabilities for Support of Event Triggering and Reporting Criteria	
8.3	Measurements for E-UTRA carrier aggregation	
8.4	OTDOA RSTD Measurements for E-UTRAN carrier aggregation	
9.1.11 Note 3	Carrier aggregation measurement accuracy	
9.1.12	Reference Signal Time Difference (RSTD) Measurement Accuracy Requirements for Carrier Aggregation	
A.7	Timing and Signalling Characteristics	
A.8	UE Measurements Procedures	
A.9 Note 3	Measurement Performance Requirements	
NOTE 1: Only requirements apply.	and test cases defined for inter-band with dual uplink carrier aggregation shall	
<ul> <li>for supporting t</li> </ul>	<ul> <li>E 2: In addition to the exceptions above, all requirements and test cases in this table shall apply, except:</li> <li>for supporting the corresponding band in Rel-11 or below: requirements introduced in Rel-12.</li> </ul>	
requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤- 70dBm is ±6dB. - for supporting the corresponding band in Rel-11 or below: the interfrequency RSRP relative accuracy requirement under normal conditions in table 9.1.3.2-1 is ±6dB.		

## Table B.2.5-1: Common RRM requirements for a release independent band-combination CA configuration with dual uplink

### B.2.6 Common RRM requirements for an intra-band noncontiguous CA with dual uplink configuration

The requirements and test cases listed in Table B.2.6-1 are specified in TS 36.133 Rel-16 [3].

Table B.2.6-1: Common RRM requirements for a release independent single-band CA configuration
with dual uplink

Clause	Description
7.1	UE transmit timing
7.7	SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation
7.8	Interruptions with Carrier Aggregation
7.17	Maximum Transmission Timing Difference in Dual Connectivity
8.2	Capabilities for Support of Event Triggering and Reporting Criteria
8.3	Measurements for E-UTRA carrier aggregation
8.4	OTDOA RSTD Measurements for E-UTRAN carrier aggregation
9.1.11 Note 3	Carrier aggregation measurement accuracy
9.1.12	Reference Signal Time Difference (RSTD) Measurement Accuracy Requirements for Carrier Aggregation
A.7	Timing and Signalling Characteristics
A.8	UE Measurements Procedures
A.9 Note 3	Measurement Performance Requirements
NOTE 1: Only requirements dual uplinks shall a	and test cases defined for intra-band non-contiguous carrier aggregation with pply.
- for supporting t NOTE 3: - For supporting	Acceptions above, all requirements and test cases in this table shall apply, except: he corresponding band in Rel-11 or below: requirements introduced in Rel-12. the corresponding band in Rel-11 or below: the RSRP absolute accuracy
requirement under 70dBm is ±6dB.	normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-
<ul> <li>for supporting the corresponding band in Rel-11 or below: the interfrequency RSRP relative accuracy requirement under normal conditions in table 9.1.3.2-1 is ±6dB.</li> </ul>	

# B.2.7 Common RRM requirements for an inter-band CA with three uplink configuration

The requirements and test cases listed in Table B.2.7-1 are specified in TS 36.133 Rel-16 [3].

## Table B.2.7-1: Common RRM requirements for a release independent band-combination CA configuration with three uplink

Clause	Description	
7.1	UE transmit timing	
7.7	SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation	
7.8	Interruptions with Carrier Aggregation	
7.17	Maximum Transmission Timing Difference in Dual Connectivity	
8.2	Capabilities for Support of Event Triggering and Reporting Criteria	
8.3	Measurements for E-UTRA carrier aggregation	
8.4	OTDOA RSTD Measurements for E-UTRAN carrier aggregation	
9.1.11 Note 3	Carrier aggregation measurement accuracy	
9.1.12	Reference Signal Time Difference (RSTD) Measurement Accuracy Requirements for Carrier Aggregation	
	nents defined for three uplink carrier aggregation shall apply. There are no test cases a three uplink carrier aggregation configuration.	
NOTE 3: - For supporting the corresponding band in Rel-11 or below: the RSRP absolute accuracy requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-70dBm is ±6dB.		
	rting the corresponding band in Rel-11 or below: the interfrequency RSRP relative uirement under normal conditions in table 9.1.3.2-1 is ±6dB.	

# B.2.8 Common RRM requirements for operating bands for UE category NB1

The requirements and test cases listed in Table B.2.8-1 are specified in TS 36.133 Rel-16 [3].

Clause	Description
4.6	Cell Selection and Reselection Requirements for UE category NB1
6.6	Random Access for UE category NB1
7.23	Radio Link Monitoring for category NB1 UE
8.14	Measurements for UE category NB1
9.1.22	Measurement accuracy for UE Category NB1
9.1.23	Power Headroom for UE category NB1
NOTE 1: Only requirements and test cases defined for UE category NB1 shall apply.	

Table B.2.8-1: Common RRM requirements for release independent operating bands for UE category NB1

# B.2.9 Common RRM requirements for operating bands for UE category 0

The requirements and test cases listed in Table B.2.9-1 are specified in TS 36.133 Rel-16 [3].

Clause	Description
7.11	Radio Link Monitoring for UE category 0
8.5	Measurements for UE category 0
9.1.13	Measurement accuracy for UE category 0

## Table B.2.9-1: Common RRM requirements for release independent operating bands for a UE category 0

# B.2.10 Common RRM requirements for operating bands for UE category M1

The requirements and test cases listed in Table B.2.10-1 are specified in TS 36.133 Rel-16 [3].

Clause	Description
4.7	Cell Selection and Re-selection Requirements for UE category M1
5.5	E-UTRAN Handover for cat.M1 UEs in CEModeA
5.6	E-UTRAN Handover for cat.M1 UEs in CEModeB
6.2.3	Random Access Requirements for cat.M1 UEs
6.7	RRC Re-establishment for cat.M1 UEs
6.8	RRC Connection Release with Redirection for Cat-M1 UEs
7.19	Radio Link Monitoring for UE Category M1
7.24	UE transmit timing for category M1
7.27	UE timer accuracy for category M1
7.28	Timing Advance for Category M1
8.13	Measurements for UE category M1
9.1.21	Measurement accuracy for UE category M1

## Table B.2.10-1: Common RRM requirements for release independent operating bands for a UE category M1

# B.2.11 Common RRM requirements for operating bands for UE category M2

The requirements and test cases listed in Table B.2.11-1 are specified in TS 36.133 Rel-16 [3].

Clause	Description
4.7	Cell Selection and Re-selection Requirements
5.5	E-UTRAN Handover in CEModeA
5.6	E-UTRAN Handover in CEModeB
6.2.3	Random Access Requirements
6.7	RRC Re-establishment
6.8	RRC Connection Release with Redirection
7.19	Radio Link Monitoring
7.26	UE transmit timing for category M2
7.27	UE timer accuracy
7.28	Timing Advance
8.13.2.1 and 8.13.3.1	E-UTRAN intra frequency measurement requirements
8.13.2.6 and 8.13.3.5	E-UTRAN inter frequency measurement requirements
8.13.2.7 and 8.13.3.6	UE measurement capability
8.13.2.5.1, 8.13.2.5.2,	E-UTRAN E-CID measurements requirements
8.13.2.5.3, 8.13.2.5.4, 8.13.2.5.5, 8.13.2.5.6 and	
8.13.3.4	
8.16	Measurements for UE Category M2
9.1.21	Measurement accuracy
9.1.25	Measurement accuracy for UE category M2

## Table B.2.11-1: Common RRM requirements for release independent operating bands for a UE category M2

# B.2.12 Common RRM requirements for operating bands for UE category NB2

The requirements and test cases listed in Table B.2.12-1 are specified in TS 36.133 Rel-16 [3].

Clause	Description
4.6.1 and 4.6.2	Cell selection and re-selection requirements
4.8	UE Positioning measurement in idle state
6.5	RRC Re-establishment requirements
6.6	Random access requirements
6.9	RRC connection redirection to non-anchor carrier requirements
7.20	UE transmit timing requirements
7.21	UE timer accuracy requirements
7.22	Timing advance requirements
7.23	Radio link monitoring requirements
8.14	UE RRC_CONNECTED state measurement requirement
9.1.22	UE measurement accuracy requirements
9.1.23	Power headroom requirements
NOTE 1: Only requirem	ents and test cases defined for UE category NB2 shall apply.

## Table B.2.12-1: Common RRM requirements for release independent operating bands for UE category NB2

### B.2.13 Common RRM requirements for operating bands for LTEbased V2X Communication

The requirements and test cases listed in Table B.2.13-1 are specified in TS 36.133 Rel-16 [3].

Clause	Description
13.2	UE Transmit Timing
13.3	Initiation/Cease of SLSS Transmissions
13.4	Selection / Reselection of V2X Synchronization Reference Source
13.5	Autonomous Resource Selection/Reselection measurements
13.6	Congestion Control measurements
13.7	Interruption
13.8	Reliability of GNSS signal

## Table B.2.13-1: Common RRM requirements for release independent operating bands for LTE-based V2X communication

# B.2.14 Common RRM requirements for an inter-band CA with four uplink configuration

The requirements and test cases listed in Table B.2.14-1 are specified in TS 36.133 Rel-16 [3].

# Table B.2.14-1: Common RRM requirements for a release independent band-combination CA configuration with four uplink

Clause	Description	
7.1	UE transmit timing	
7.7	SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation	
7.8	Interruptions with Carrier Aggregation	
7.17	Maximum Transmission Timing Difference in Dual Connectivity	
8.2	Capabilities for Support of Event Triggering and Reporting Criteria	
8.3	Measurements for E-UTRA carrier aggregation	
8.4	OTDOA RSTD Measurements for E-UTRAN carrier aggregation	
9.1.11 Note 3	Carrier aggregation measurement accuracy	
9.1.12	Reference Signal Time Difference (RSTD) Measurement Accuracy Requirements for Carrier Aggregation	
defined with a for NOTE 2: In addition to the	<ul> <li>NOTE 1: Only requirements defined for four uplink carrier aggregation shall apply. There are no test cases defined with a four uplink carrier aggregation configuration.</li> <li>NOTE 2: In addition to the exceptions above, all requirements and test cases in this table shall apply, except:</li> </ul>	
NOTE 3: For supporting t requirement unc	<ul> <li>for supporting the corresponding band in Rel-11 or below: requirements introduced in Rel-12.</li> <li>For supporting the corresponding band in Rel-11 or below: the RSRP absolute accuracy requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-70dBm is ±6dB.</li> </ul>	
	For supporting the corresponding band in Rel-11 or below: the interfrequency RSRP relative accuracy requirement under normal conditions in table 9.1.3.2-1 is $\pm 6$ dB.	

# B.2.15 Common RRM requirements for operating bands for UE category 1bis

The requirements and test cases listed in Table B.2.15-1 are specified in TS 36.133 Rel-16 [3].

Clause	Description
8.1.2.5.3, 8.1.2.5.4	Intra-Frequency OTDOA Measurements for UE Category 1bis
8.1.2.6.5, 8.1.2.6.6, 8.1.2.6.7, 8.1.2.6.8	Inter-Frequency OTDOA Measurements for UE Category 1bis
9.1.2.7, 9.1.2.8	Intra-frequency RSRP Accuracy Requirements for UE Category 1bis
9.1.3.1, 9.1.3.4	Intra-frequency RSRP Accuracy Requirements for UE Category 1bis
9.1.5.5	Intra-frequency RSRQ Accuracy Requirements for UE Category 1bis
9.1.6.5, 9.1.6.6	Inter-frequency RSRQ Accuracy Requirements for UE Category 1bis
9.1.10.5, 9.1.10.6	Reference Signal Time Difference (RSTD) for UE Category 1bis

 Table B.2.15-1: Common RRM requirements for release independent operating bands for a UE category 1bis

## B.3 Common UE performance requirements

- B.3.1 Void
- B.3.2 Common UE performance requirements and tests for different CA configurations and combination sets

The requirements and test cases listed in Table B.3.2-1 are specified in TS 36.101 Rel-16 [2].

Clause	Description
8.2.1.1.1	Single-antenna port performance (FDD)
8.2.2.1.1	Single-antenna port performance (TDD)
8.2.3.1.1	Single-antenna port performance (TDD-FDD CA)
8.2.1.3.1	Open-loop spatial multiplexing performance - Minimum Requirement 2 Tx Antenna Port (FDD)
8.2.2.3.1	Open-loop spatial multiplexing performance - Minimum Requirement 2 Tx Antenna Port (TDD)
8.2.3.3.1	Open-loop spatial multiplexing performance - Minimum Requirement 2 Tx Antenna Port (TDD-FDD CA)
8.2.1.3.1A	Open-loop spatial multiplexing performance - Soft buffer management test (FDD)
8.2.2.3.1A	Open-loop spatial multiplexing performance - Soft buffer management test (TDD)
8.2.3.3.1A	Open-loop spatial multiplexing performance - Soft buffer management test (TDD-FDI CA)
8.2.1.4.3	Closed-loop spatial multiplexing performance - Minimum Requirement Multi-Layer Spatial Multiplexing 4 Tx Antenna Port (FDD)
8.2.2.4.3	Closed-loop spatial multiplexing performance - Minimum Requirement Multi-Layer Spatial Multiplexing 4 Tx Antenna Port (TDD)
8.2.3.4.3	Closed-loop spatial multiplexing performance - Minimum Requirement Multi-Layer Spatial Multiplexing 4 Tx Antenna Port (TDD-FDD CA)
8.2.1.7	Carrier aggregation with power imbalance (FDD)
8.2.1.8	Intra-band non-contiguous carrier aggregation with timing offset (FDD)
8.2.2.7	Carrier aggregation with power imbalance (TDD)
8.7.1	Sustained downlink data rate provided by lower layers (FDD)
8.7.2	Sustained downlink data rate provided by lower layers (TDD)
8.7.5	Sustained downlink data rate provided by lower layers (TDD-FDD CA)
8.7.12.1	Sustained downlink data rate provided by lower layers (FDD CA in licensed bands)
8.7.12.2	Sustained downlink data rate provided by lower layers (TDD CA in licensed bands)
8.7.12.3	Sustained downlink data rate provided by lower layers (TDD-FDD CA in licensed bands)
9.6.1.1	Additional requirements for carrier aggregation - Periodic reporting on multiple cells (Cell Specific Reference symbols) (FDD)
9.6.1.2	Additional requirements for carrier aggregation - Periodic reporting on multiple cells (Cell Specific Reference symbols) (TDD)
9.6.1.3	Additional requirements for carrier aggregation - Periodic reporting on multiple cells (Cell Specific Reference symbols) (TDD-FDD CA)

## Table B.3.2-1: Common UE performance requirements and tests for different CA configurations and combination sets

NOTE 2: The test coverage for different number of component carriers is defined in 8.1.2.4.

#### B.3.3 Void

B.3.4 Void

# B.3.5 Common UE performance requirements and tests for operating bands for UE category 0

The requirements and test cases listed in Table B.3.5-1 are specified in TS 36.101 Rel-16 [2].

### Table B.3.5-1: Common UE performance requirements and tests for release independent operating bands for UE category 0

Clause	Description
8.9	Demodulation (single receiver antenna)
9.7	CSI reporting (Single receiver antenna)

# B.3.6 Common UE performance requirements and tests for operating bands for UE category M1

The requirements and test cases listed in Table B.3.6-1 are specified in TS 36.101 Rel-16 [2].

## Table B.3.6-1: Common UE performance requirements and tests for release independent operating bands for UE category M1

Clause	Description
8.11	Demodulation (UE supporting coverage enhancement)
9.8	CSI reporting (UE supporting coverage enhancement)

#### B.3.7 Common UE performance requirements and tests for operating bands for UE category NB1 and NB2

The requirements and test cases listed in Table B.3.7-1 are specified in TS 36.101 Rel-16 [2].

#### Table B.3.7-1: Common UE performance requirements and tests for release independent operating bands for UE category NB1 and NB2

Clause	Description
8.12	Demodulation of Narrowband IoT

#### B.3.8 Common UE performance requirements and tests for operating bands for UE category 1bis

The requirements and test cases listed in Table B.3.8-1 are specified in TS 36.101 Rel-16 [2].

# Table B.3.8-1: Common UE performance requirements and tests for release independent operating bands for UE category 1bis

Clause	Description
9.7.1.3, 9.7.1.4, 9.7.2.3, 9.7.2.4	CSI reporting (Single receiver antenna)

### B.4 Common UE RF requirements

# B.4.1 Common UE RF requirements for a release independent band

The requirements and test cases listed in Table B.4.1-1 are specified in TS 36.101 Rel-16 [2].

Clause	Description
5.5	Operating bands
5.6	Channel bandwidth
5.7	Channel arrangement
6.2	Transmit power
6.3	Output power dynamics
6.5	Transmit signal quality
6.6	Output RF spectrum emissions
6.7	Transmit intermodulation
7.3	Reference sensitivity power level
7.4	Maximum input level
7.5	Adjacent Channel Selectivity (ACS)
7.6	Blocking characteristics
7.7	Spurious response
7.8	Intermodulation characteristics
7.9	RX spurious emissions

Table B.4.1-1: Common UE RF requirements for a release independent band

# B.4.2 Common UE RF requirements for an intra-band contiguous CA configuration

The requirements and test cases listed in Table B.4.2-1 are specified in TS 36.101 Rel-16 [2].

Clause	Description
5.5A	Operating bands for CA
5.6A	Channel bandwidths per operating band for CA
5.7.1A	Channel spacing for CA
5.7.2A	Channel raster for CA
5.7.4A	TX–RX frequency separation for CA
6.2.2A	UE maximum output power for CA
6.2.3A	UE maximum output power for modulation/channel bandwidth for CA
6.2.4A	UE maximum output power with additional requirements for CA
6.2.5A	Configured transmitted power for CA
6.3.2A	UE Minimum utput power for CA
6.3.3A	UE Trasnsmit OFF power for CA
6.3.4A	ON/OFF time mask for CA
6.3.5A	Power control for CA
6.5.1A	Frequency error for CA
6.5.2A	Transmit modulation quality for CA
6.6.1A	Occupied bandwidth for CA
6.6.2.1A	Spectrum emission mask for CA
6.6.2.2A	Additional Spectrum Emission mask for CA
6.6.2.3.2A	UTRA ACLR for CA
6.6.2.3.3A	E-UTRA ACLR for CA
6.6.3.1A	Minimum requirements for CA
6.6.3.2A	Spurious emission band UE co-existence for CA
6.6.3.3A	Additional spurious emissions for CA
6.7.1A	Minimum requirement for CA
7.3.1A	Reference sensitivity for CA
7.4.1A	Maximum input level for CA
7.5.1A	Adjacent Channel Selectivity (ACS) for CA
7.6.1.1A	In-band blocking for CA
7.6.2.1A	Out-of-band blocking for CA
7.6.3.1A	Narrow band blocking for CA
7.7.1A	Spurious response for CA
7.8.1A	Wideband intermodulation for CA
7.10.1A	Receiver response for CA

# Table B.4.2-1: Common UE RF requirements for a release independent intra-band contiguous CA configuration

### B.4.3 Common UE RF requirements for an single uplink interband CA configuration

The requirements and test cases listed in Table B.4.3-1 are specified in TS 36.101 Rel-16 [2].

Clause	Description
5.5A	Operating bands for CA
5.6A.1	Channel bandwidths per operating band for CA
5.7.2A	Channel raster for CA
6.2.2A	UE maximum output power for CA
6.2.3A	UE maximum output power for modulation/channel bandwidth for CA
6.2.5	Configured transmitted power
7.3.1A	Reference sensitivity for CA
7.4.1A	Maximum input level for CA
7.5.1A	Adjacent Channel Selectivity (ACS) for CA
7.6.1.1A	In-band blocking for CA
7.6.2.1A	Out-of-band blocking for CA
7.6.3.1A	Narrow band blocking for CA
7.7.1A	Spurious response for CA
7.8.1A	Wideband intermodulation for CA

Table B.4.3-1: Common UE RF requirements for a release independent inter-band CA configuration

# B.4.4 Common UE RF requirements for an inter-band CA configuration including an operating band without uplink band

The requirements and test cases listed in Table B.4.4-1 are specified in TS 36.101 Rel-16 [2].

## Table B.4.4-1: Common UE RF requirements for a release independent inter-band CA configuration including an operating band without uplink band

Clause	Description
5.5	Operating bands
5.5A	Operating bands for CA
5.6A.1	Channel bandwidths per operating band for CA
5.7	Channel arrangement
6.2.2A	UE maximum output power for CA
6.2.3A	UE maximum output power for modulation/channel bandwidth for CA
6.2.5	Configured transmitted power
7.3.1A	Reference sensitivity for CA
7.4.1A	Maximum input level for CA
7.5.1A	Adjacent Channel Selectivity (ACS) for CA
7.6.1.1A	In-band blocking for CA
7.6.2.1A	Out-of-band blocking for CA
7.6.3.1A	Narrow band blocking for CA
7.7.1A	Spurious response for CA
7.8.1A	Wideband intermodulation for CA

# B.4.5 Common UE RF requirements for a single uplink intra-band non-contiguous CA configuration

The requirements and test cases listed in Table B.4.5-1 are specified in TS 36.101 Rel-16 [2].

Clause	Description
5.5A	Operating bands for CA
5.6A1	Channel bandwidths per operating band for CA
5.7.2A	Channel raster for CA
6.2.2A	UE maximum output power for CA
6.2.3A	UE maximum output power for modulation/channel bandwidth for CA
7.3.1A	Reference sensitivity for CA
7.4.1A	Maximum input level for CA
7.5.1A	Adjacent Channel Selectivity (ACS) for CA
7.6.1.1A	In-band blocking for CA
7.6.2.1A	Out-of-band blocking for CA
7.6.3.1A	Narrow band blocking for CA
7.7.1A	Spurious response for CA
7.8.1A	Wideband intermodulation for CA

#### Table B.4.5-1: Common UE RF requirements for a release independent single uplink intra-band noncontiguous CA configuration

# B.4.6 Common UE RF requirements for dual uplink inter-band CA configuration

The requirements and test cases listed in Table B.4.6-1 are specified in TS 36.101 Rel-16 [2].

## Table B.4.6-1: Common UE RF requirements for a release independent dual uplink inter-band CA configuration

Clause	Description	
5.6A.1	Channel bandwidths per operating band for CA	
6.2.2A	UE maximum output power for CA	
6.2.5A	Configured transmitted Power for CA	
6.3.2A	UE Minimum output power for CA	
6.3.3A	UE Transmit OFF power for CA	
6.3.4A	ON/OFF time mask for CA	
6.3.5A	Power control for CA	
6.5.1A	Frequency error for CA	
6.5.2A	Transmit modulation quality for CA	
6.6.1A	Occupied bandwidth for CA	
6.6.2.1A	Spectrum emission mask for CA	
6.6.2.3	Adjacent Channel Leakage Ratio	
6.6.3.1A	Spurious Emission for CA	
6.6.3.2A	Spurious emission band UE co-existence for CA	
6.7.1A	Transmit intermodulation for CA	
7.3.1A	Reference sensitivity for CA	
7.6.2.1A	Out-of-band blocking for CA	
7.7.1A	Spurious response for CA	

# B.4.7 Common UE RF requirements for dual uplink intra-band non-contiguous CA configuration

The requirements and test cases listed in Table B.4.7-1 are specified in TS 36.101 Rel-16 [2].

Channel bandwidths per operating band for CA UE maximum output power for CA UE Maximum Output power for modulation / channel bandwidth for Configured transmitted Power for CA
UE Maximum Output power for modulation / channel bandwidth for Configured transmitted Power for CA
Configured transmitted Power for CA
UE Minimum output power for CA
UE Transmit OFF power for CA
ON/OFF time mask for CA
Power control for CA
Frequency error for CA
Transmit modulation quality for CA
Occupied bandwidth for CA
Spectrum emission mask for CA
Adjacent Channel Leakage Ratio
Spurious Emission for CA
Spurious emission band UE co-existence for CA
Reference sensitivity for CA
Out-of-band blocking for CA
Spurious response for CA

#### Table B.4.7-1: Common UE RF requirements for a release independent dual uplink intra-band noncontiguous CA configuration

# B.4.8 Common UE RF requirements for three uplink inter-band CA configuration

The requirements and test cases listed in Table B.4.8-1 are specified in TS 36.101 Rel-16 [2].

# Table B.4.8-1: Common UE RF requirements for a release independent three uplink inter-band CA configuration

Clause	Description	
5.6A.1	Channel bandwidths per operating band for CA	
6.2.2A	UE maximum output power for CA	
6.2.5A	Configured transmitted Power for CA	
6.3.2A	UE Minimum output power for CA	
6.3.3A	UE Transmit OFF power for CA	
6.3.4A	ON/OFF time mask for CA	
6.3.5A	Power control for CA	
6.5.1A	Frequency error for CA	
6.5.2A	Transmit modulation quality for CA	
6.6.1A	Occupied bandwidth for CA	
6.6.2.1A	Spectrum emission mask for CA	
6.6.2.3	Adjacent Channel Leakage Ratio	
6.6.3.1A	Spurious Emission for CA	
6.6.3.2A	Spurious emission band UE co-existence for CA	
6.7.1A	Transmit intermodulation for CA	
7.3.1A	Reference sensitivity for CA	
7.6.2.1A	Out-of-band blocking for CA	
7.7.1A	Spurious response for CA	

# B.4.9 Common UE RF requirements for operating bands for UE category NB1 and NB2

The requirements and test cases listed in Table B.4.9-1 are specified in TS 36.101 Rel-16 [2].

## Table B.4.9-1: Common UE RF requirements for release independent operating bands for UE category NB1

Clause	Description
5.5F	Operating bands for category NB1 and NB2
5.6F	Channel bandwidth for category NB1 and NB2
5.7.1F	Channel spacing for category NB1 and NB2
5.7.2F	Channel raster for category NB1 and NB2
5.7.3F	Carrier frequency and EARFCN for category NB1 and NB2
5.7.4F	TX–RX frequency separation for category NB1 and NB2
6.2.2F	UE maximum output power for category NB1 and NB2
6.2.3F	UE maximum output power for category NB1 and NB2
6.2.5F	Configured transmitted Power for category NB1 and NB2
6.3.2F	UE Minimum output power for category NB1 and NB2
6.3.3F	Transmit OFF power for category NB1 and NB2
6.3.4F	ON/OFF time mask for category NB1 and NB2
6.3.5F	Power Control for category NB1 and NB2
6.5.1F	Frequency error for UE category NB1 and NB2
6.5.2F	Transmit modulation quality for Category NB1 and NB2
6.6.1F	Occupied bandwidth for category NB1 and NB2
6.6.2F	Out of band emission for category NB1 and NB2
6.6.3F	Spurious emission for category NB1 and NB2
6.7.1F	Transmission intermodulation for category NB1 and NB2
7.3.1F	Reference sensitivity for UE category NB1 and NB2
7.4.1F	Maximum input level for category NB1 and NB2
7.5.1F	Adjacent channel selectivity for category NB1 and NB2
7.6.1.1F	In-band blocking for category NB1 and NB2
7.6.2.1F	Out-of-band blocking for category NB1 and NB2
7.7.1F	Spurious response for category NB1 and NB2
7.8.1F	Intermodulation characteristics for category NB1 and NB2

# B.4.10 Common UE RF requirements for operating bands for UE category 0

The requirements and test cases listed in Table B.4.10-1 are specified in TS 36.101 Rel-16 [2].

## Table B.4.10-1: Common UE RF requirements for release independent operating bands for UE category 0

Clause	Description
5.5E	Operating bands for UE category 0
7.3.1E	Minimum requirements (QPSK) for UE category 0

# B.4.11 Common UE RF requirements for operating bands for UE category M1 and M2

The requirements and test cases listed in Table B.4.11-1 are specified in TS 36.101 Rel-16 [2].

## Table B.4.11-1: Common UE RF requirements for release independent operating bands for UE category M1 and M2

Clause	Description
5.5E	Operating bands for UE category 0, UE category M1 and M2 and UE category 1bis
5.7.4E	TX–RX frequency separation for category M1 and M2
6.2.2E	UE maximum output power for Category M1 and M2 UE
6.2.3E	UE maximum output power for modulation / channel bandwidth for category M1 and M2
6.2.4E	UE maximum output power with additional requirements for category M1 and M2 UE
6.3.5E	Power control for category M1 and M2
6.5.1E	Frequency error for UE category M1 and M2
6.5.2E	Transmit modulation quality for category M1 and M2
6.6.3.2	Spurious emission band UE co-existence
7.3.1E	Minimum requirements (QPSK) for UE category 0, M1, M2 and 1bis
7.5	Adjacent Channel Selectivity (ACS)
7.6.1	In-band blocking
7.6.2	Out-of-band blocking
7.6.3	Narrow band blocking
7.8.1	Wide band intermodulation

### B.4.12 Common UE RF requirements for operating bands for LTEbased V2X operation

The requirements and test cases listed in Table B.4.12-1 are specified in TS 36.101 Rel-16 [2].

Clause	Description
5.5G	Operating bands for V2X Communication
5.6G	Channel bandwidth for V2X Communication
6.2.2G	UE maximum output power for V2X Commincation
6.2.3G	UE maximum output power for modulation / channel bandwidth for V2X Communication
6.2.4G	UE maximum output power with additional requirements for V2X Communication
6.2.5G	Configured transmitted power for V2X Communication
6.3.2G	UE Minimum output power for V2X Communication
6.3.3G	Transmit OFF power for V2X Communication
6.3.4G	ON/OFF time mask for V2X Communication
6.3.5G	Power Control for V2X Communication
6.5.1G	Frequency error for V2X Communication
6.5.2G	Transmit modulation quality for V2X Communication
6.6.3G	Spurious emission for V2X Communication
7.3.1G	REFSENS requirements (QPSK) for V2X communication
7.4.1G	Maximum input level for V2X communication
7.5.1G	Adjacent Channel Selectivity (ACS) for V2X communication
7.6.1.1G	In-band blocking for V2X communication
7.6.2.1G	Out-of-band blocking for V2X communication
7.7.1G	Spurious response for V2X communication
7.8.1G	Intermodulation characteristics for V2X communication
7.10.1G	Receiver image for V2X communication. (It is only appliacable for intra-band multi-carrier V2X operation)

# Table B.4.12-1: Common UE RF requirements for release independent operating bands for V2X operation

# B.4.13 Common UE RF requirements for four uplink inter-band CA configuration

The requirements and test cases listed in Table B.4.13-1 are specified in TS 36.101 Rel-16 [2].

Clause	Description	
5.6A.1	Channel bandwidths per operating band for CA	
6.2.2A	UE maximum output power for CA	
6.2.5A	Configured transmitted Power for CA	
6.3.2A	UE Minimum output power for CA	
6.3.3A	UE Transmit OFF power for CA	
6.3.4A	ON/OFF time mask for CA	
6.3.5A	Power control for CA	
6.5.1A	Frequency error for CA	
6.5.2A	Transmit modulation quality for CA	
6.6.1A	Occupied bandwidth for CA	
6.6.2.1A	Spectrum emission mask for CA	
6.6.2.3	Adjacent Channel Leakage Ratio	
6.6.3.1A	Spurious Emission for CA	
6.6.3.2A	Spurious emission band UE co-existence for CA	
6.7.1A	Transmit intermodulation for CA	
7.3.1A	Reference sensitivity for CA	
7.6.2.1A	Out-of-band blocking for CA	
7.7.1A	Spurious response for CA	

## Table B.4.13-1: Common UE RF requirements for a release independent four uplink inter-band CA configuration

# B.4.14 Common UE RF requirements for operating bands for UE category 1bis

The requirements and test cases listed in Table B.4.14-1 are specified in TS 36.101 Rel-16 [2].

# Table B.4.14-1: Common UE RF requirements for release independent operating bands for UE category 1bis

Clause	Description
5.5E	Operating bands for UE category 1bis
7.3.1E	Minimum requirements (QPSK) for UE category 1bis

### Annex C (normative): Common Requirements for 4Rx

### C.1 Common UE RF requirements

The requirements and test cases listed in Table C.1-1 are specified in TS 36.101 Rel-16 [2].

Clause	Description
7.3	Reference sensitivity power level
7.4	Maximum input level
7.5	Adjacent channel selectivity
7.6	Blocking characteristics
7.7	Spurious response
7.8	Intermodulation characteristics
7.9	Spurious emissions

#### Table C.1-1: RF requirements for 4Rx for single band

The requirements and test cases listed in Table C.1-2 are specified in TS 36.101 Rel-16 [2].

#### Table C.1-2: RF requirements for 4Rx for CA

Clause	Description
6.2.5A	Configured maximum output power
7.3.1A	Reference sensitivity for CA
7.4.1A	Maximum input level for CA
7.5.1A	Adjacent Channel Selectivity for CA
7.6.1.1A	In-band blocking for CA
7.6.2.1A	Out-of-band blocking for CA
7.6.3.1A	Narrow band blocking for CA
7.7.1A	Spurious response for CA
7.8.1A	Wideband intermodulation for CA

### C.2 Common UE demodulation and CSI requirements

The requirements and test cases listed in Table C.2-1 are specified in TS 36.101 Rel-16 [2].

#### Table C.2-1: UE Demodulation and CSI requirements for 4Rx for single band

Clause	Description
8.10.1 (NOTE)	PDSCH
8.10.2	PDCCH/PCFICH
8.10.3	PHICH
8.10.4	ePDCCH
9.9	CSI reporting for 4Rx UE

The requirements and test cases listed in Table C.2-2 are specified in TS 36.101 Rel-16 [2].

Clause	Description
8.13	Demodulation of PDSCH CA
8.7.9	SDR of FDD CA (4 layer MIMO)
8.7.10	SDR of TDD CA (4 layer MIMO)
8.7.11	SDR of TDD-FDD CA (4 layer MIMO)
8.7.13	SDR of FDD DC (4 layer MIMO)
8.7.14	SDR of TDD DC (4 layer MIMO)
8.7.15	SDR of TDD-FDD DC (4 layer MIMO)
9.1.1.4.2	CSI CA tests for 4Rx UE

Table C.2-2: UE Demodulation and CSI requirements for 4Rx CA/DC

### Annex D (normative): Common Requirements for performance enhancements for high speed scenario

# D.1 Common RRM requirements for performance enhancements for high speed scenario

The requirements and test cases listed in Table D.1-1 are specified in TS 36.133 Rel-16 [3].

#### Table D.1-1: RRM requirements for performance enhancements for high speed scenario

Clause	Description
4.2	Cell Re-selection
8.1.2.2	E-UTRAN intra frequency measurements in RRC connected state

# D.2 Common UE demodulation requirements for performance enhancements for high speed scenario

The requirements and test cases listed in Table D.2-1 are specified in TS 36.101 Rel-16 [2].

#### Table D.2-1: UE Demodulation requirements for performance enhancements for high speed scenario

Clause	Description			
8.2.1.9	FDD PDSCH			
8.2.2.9	TDD PDSCH			

### Annex E (normative): Common Requirements for 8Rx

### E.1 Common UE RF requirements

The requirements and test cases listed in Table E.1-1 are specified in TS 36.101 [2].

#### Table E.1-1: RF requirements for 8Rx

Clause	Description
7.3	Reference sensitivity power level

The requirements and test cases listed in Table E.1-2 are specified in TS 36.101 [2].

#### Table E.1-2: RF requirements for 8Rx for CA

Clause	Description
7.3.1A	Reference sensitivity for CA

### E.2 Common UE demodulation and CSI requirements

The requirements and test cases listed in Table E.2-1 and Table E.2-2 are specified in TS 36.101 [2].

#### Table E.2-1: UE Demodulation and CSI requirements for 8Rx for single band

Clause	Description
8.14.1	PDSCH
9.12	CSI reporting for 8Rx UE

#### Table E.2-2: UE Demodulation and CSI requirements for 8Rx CA/DC

Clause	Description
8.14.2	Demodulation of PDSCH CA
8.7.17	SDR of TDD CA (8 layer MIMO)

Annex F (informative): Change history

Table F.1: Change History

Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
11-2009	RP#46	RP-091141				TS36.307 V0.1.0 approved by RAN (Originally in R4-095022)	0.1.0
02-2010	R4#54	R4-100419				For release 9 version, replace sections 4 to 6 as 'Void' and add a new void section as section 7.	0.2.0
03-2010	RP#47	RP-100162				TS36.307 v1.0.0 for approval	1.0.0
03-2010	RP#47	RP-100162				Approved by RAN	9.0.0
09-2010	RP-49	RP-100927	2			CR LTE_TDD_2600_US spectrum band definition additions to TS 36.307 V900	9.1.0
						Correction of section numbering	9.1.1
	RP-50	RP-101356				Band 42 and 43 parameters for UMTS/LTE 3500 (TDD) for TS 36.307	9.2.0
	RP-50		005			Introduction of L-band in TS 36.307	9.2.0
12-2010	RP-50	RP-101344	016			CR creating the rel-10 of the 36.307 specification	9.3.0
12-2010	RP-50	RP-101356	012			Band 42 and 43 parameters for UMTS/LTE 3500 (TDD) for TS 36.307	9.3.0
12-2010	RP-50					Raised to Rel-10 with no technical change	10.0.0
01-2011	DD 50	DD 440004	045			Correction to history table	10.0.1
06-2011 06-2011	RP-52 RP-52	RP-110804 RP-110812				Add Expanded 1900 MHz Band (Band 25) in 36.307 Add 2GHz S-Band (Band 23) in 36.307 (Rel 10)	10.1.0 10.1.0
09-2011	RP-52 RP-53	RP-110612				Add Band 22 for LTE/UMTS 3500 (FDD) to TS 36.307	10.1.0
	RP-55	RP-120305				Introduction of Band 26/XXVI to TS 36.307	11.0.0
	RP-56	RP-120303			<u> </u>	Introduction of CA_1A-19A to TS 36.307	11.1.0
	RP-56	RP-120793			1	Introduction of APAC700(FDD) into TS 36.307 Rel-11	11.1.0
	RP-56	RP-120793			1	Introduction of APAC700(TDD) into TS 36.307 Rel-11	11.1.0
2012-06	RP-56	RP-120791	057			Introduction of e850_LB (Band 27) to TS 36.307	11.1.0
2012-09	RP-57	RP-121335	059			Introduction of CA_1A-21A to TS 36.307	11.2.0
2012-09	RP-57	RP-121295	070r1			Relation between EARFCN for overlapping bands with multiple FBI indication	11.2.0
2012-09	RP-57		072			36.307 CR for LTE_CA_B7	11.2.0
	RP-57	RP-121337				TS 36.307 CR for CA_38	11.2.0
	RP-57	RP-121327				Introduction of CA_B7_B20 in 36.307	11.2.0
	RP-57	RP-121329				Introduction of CA band combination Band3 + Band5 to TS 36.307	11.2.0
2012-09	RP-57		076			Introduction of CA_3A-20A to TS 36.307	11.2.0
2012-09 2012-09	RP-57 RP-57	RP-121334 RP-121333				Add requirements for inter-band CA of B_1-18 in TS36.307 Introduction of CA_8_20 RF requirements into TS36.307	11.2.0 11.2.0
	RP-57	RP-121333				Introduction of CA_6_20 KF requirements into 1336.307	11.2.0
	RP-58	RP-121890				Introduction of CA_4A-5A into 36.307	11.3.0
2012-12	RP-58		088			Introduction of CA band combination Band4 + Band13 to TS 36.307 (Rel-11)	
2012-12	RP-58	RP-121896	091			Introduction of Band 5 + Band 17 inter-band CA configuration into 36.307	11.3.0
2012-12	RP-58	RP-121884	092			Introduction of CA_3A-8A to TS 36.307	11.3.0
	RP-58	RP-121894				Introduction of CA_B5_B12 in 36.307	11.3.0
2012-12	RP-58	RP-121887	095			Introduction of CA_4-12 into TS 36.307 (Rel-11)	11.3.0
2012-12		RP-121882				[Rel-11] Introduction of inter-band CA_11-18 into TS36.307	11.3.0
2012-12	RP-58	RP-121861	099			Release-independent implementation of carrier aggregation configuration CA_4-7	11.3.0
	RP-58		101			Introduction of Band 29	11.3.0
	RP-58	RP-121718				Introduction of CA band combination Band2 + Band17 to TS 36.307 (Rel-11)	
2012-12	RP-58	RP-121720	0104			Introduction of CA band combination Band4 + Band17 to TS 36.307 (Rel-11)	11.3.0
2013-06	RP-60	RP-130771	108			Introduction of CA 1+8 into TS36.307(Rel-12)	12.0.0
2013-06	RP-60	RP-130782	111			Introduction of LTE Advanced inter-band Carrier Aggregation of Band 3 and Band 28 to TS 36.307 Rel-12	12.0.0
2013-06	RP-60	RP-130785	114			Introduction of LTE Advanced inter-band Carrier Aggregation of Band 23 and Band 29 to TS 36.307 (Rel-12)	12.0.0
2013-06	RP-60	RP-130779	117			Introduction of LTE Advanced inter-band Carrier Aggregation of Band 3 and Band 26 to TS 36.307 (Rel-12)	12.0.0
2013-06	RP-60	RP-130777	120	1	1	Introduction of CA_3A-19A to TS 36.307	12.0.0
2013-06		RP-130783		1	1	Introduction of CA_19A-21A to TS 36.307	12.0.0
2013-06	RP-60	RP-130775	131			Introduction of CA_2A-13A to TS 36.307	12.0.0
2013-06	RP-60	RP-130791				Introduction of Band 30	12.0.0
2013-06	RP-60	RP-130790			-	Introduction of LTE 450 into TS 36.307 R12	12.0.0
-	RP-60	RP-130787		<u> </u>	<u> </u>	Introduction of CA_4A-4A into 36.307 Rel-12	12.0.0
09-2013	RP-61	RP-131300		<u> </u>	<u> </u>	36.307 CR for LTE_CA_C_B3 (Rel-12)	12.1.0
09-2013 09-2013	RP-61 RP-61	RP-131296 RP-131297	160 163			[Rel-12] Add requirements for CA_1A-26A into TS36.307 Introduction of CA_2A-4A to TS 36.307	12.1.0 12.1.0
	RP-61 RP-61	RP-131297 RP-131298		+	+	Introduction of CA_2A-4A to 15 36.307	12.1.0
	RP-61	RP-131296 RP-131965				Introduction of Inter-Dand CA Band 2+5	12.1.0
12-2013	RP-62	RP-131905		+	+	Introduction of CA band combination Band2 + Band12 to TS 36.307	

12-2013	RP-62	RP-131954	181	Introduction of CA band combination Band12 + Band25 to TS 36.307	12.2.0
12-2013	RP-62	RP-131959	184	Introduction of LTE_CA_C_B27 to 36.307 (Rel-12)	12.2.0
12-2013		RP-131957		Introduction of CA_23B to TS 36.307	12.2.0
	RP-62	RP-131961	194	Introduction of Intra-band non-contiguous CA in band 3 to TS 36.307	12.2.0
	RP-62	RP-131950		Introduction of CA band combination Band5 + Band25 to TS 36.307	12.2.0
12-2013	RP-62	RP-131967	201r1	Introducing 'General' clause with note referring to note in clause 4.4 in TS36.101, editorial corrections and modifications to Forward and	12.2.0
12-2013		RP-131948	204	Scope clauses Introduction of CA band combination B5 + B7 to TS 36.307 R12	12.2.0
12-2013		RP-131948 RP-131952		Introduction of CA band combination B5 + B7 to TS 36.307 R12 Introduction of CA band combination B7 + B28 to TS 36.307	12.2.0
12-2013		RP-131967		Correction to release independent specification	12.2.0
	RP-62	RP-131925		UE performance requirements in release independent specification for CA	12.2.0
12-2013	RP-62	RP-131963	219	Introduction of CA_7A-7A to TS 36.307 Rel-12	12.2.0
	RP-63	RP-140371		Release independence of Band 14 HPUE	12.3.0
03-2014	RP-63	RP-140386	227	Introduction of CA band combination Band 3 and Band 27 to TS 36.307	12.3.0
03-2014	RP-63	RP-140389	245r1	Correction to release independent specification	12.3.0
03-2014		RP-140388	210r1	Introduction of CA_39C to TS 36.307	12.3.0
03-2014	RP-63	RP-140387		Introduction of CA_39A-41A to TS 36.307	12.3.0
06-2014		RP-140911		Introduction of CA band combination Band 1 and Band 5 to TS 36.307	12.4.0
06-2014		RP-140918	300	Correction of Common RRM requirements for CA in release independent specification (Rel-12)	12.4.0
06-2014		RP-140926		Introduction of Band 20+32 CA	12.4.0
06-2014		RP-140931		Introduction of CA 1+11 to 36.307 (Rel-12)	12.4.0
06-2014		RP-140933	275	Introduction of CA band combination Band 4 and Band 27 to TS 36.307	12.4.0
06-2014		RP-140938	291	Introduction of CA_2A-2A to TS 36.307 Rel-12	12.4.0
06-2014		RP-140940	319	Introduction of LTE_CA_NC_B42 into 36.307	12.4.0
06-2014	RP-64	RP-140942	253	Introduction of CA band combination Band 3 and Band 27 to TS 36.307	12.4.0
06-2014	RP-64	RP-140942	340	Introduction of CA band combination Band 1 and Band 20 to TS 36.307	12.4.0
06-2014	RP-64	RP-140943	347	Introduction of CA band combination CA_41D into TS 36.307 (Rel- 12)	12.4.0
09-2014	RP-65	RP-141110	0388r 1	[Rel-12] Introduction of inter-band CA_18-28 into TS36.307	12.5.0
09-2014	RP-65	RP-141200	0366r 1	Introduction of CA_B1_B3_B19 into TS 36.307 (Rel-12)	12.5.0
09-2014	RP-65	RP-141205	0363r 1	Introduction of CA_B1_B3 into TS 36.307 (Rel-12)	12.5.0
09-2014	RP-65	RP-141332	0429r 1	Introduction of CA_1A-7A into 36.307 (Rel -12)	12.5.0
09-2014	RP-65	RP-141340	0376r 1	Introduction of CA_B1_B5_B7 into TS 36.307 (Rel-12)	12.5.0
09-2014	RP-65	RP-141467	0432	Introduction of 3 DL CA for Band 1+7+20	12.5.0
	RP-65	RP-141527		CR for 36.307 on CA UE performance requirement in Rel-12	12.5.0
	RP-65	RP-141551		Introduction of CA 8+11 to 36.307 (Rel-12)	12.5.0
09-2014	RP-65	RP-141552		Introduction of CA_41A-42A to TS 36.307	12.5.0
09-2014	RP-65	RP-141553		Introduction of a new bandwidth combination set for CA_25A-25A into 36.307	12.5.0
09-2014	RP-65	RP-141554		Introduction of requirements for 2DL inter-band carrier aggregation (FDD) and 2DL fallback	12.5.0
09-2014	RP-65	RP-141554	421	Introduction of requirements for 3DL inter-band carrier aggregation including Band 30	12.5.0
09-2014	RP-65		384	Introduction of 3 Band Carrier Aggregation of Band 1,Band 3 and Band 5 to TS 36.307(Rel.12)	12.5.0
09-2014	RP-65	RP-141556	357r1	Introduction of 3 Band Carrier Aggregation (3DL/1UL) of Band 1,	12.5.0
09-2014	RP-65	RP-141558	402	Band 3 and Band 8 to TS 36.307 Introduction of CA band combination Band 1, Band 3 and Band 20	12.5.0
00.2014	DD 65	DD 141560	252	to TS 36.307	1250
09-2014 09-2014	RP-65 RP-65	RP-141560 RP-141561	352 354	Introduction of new CA_40C bandwidth combination set into 36.307 CR to 36.307 Rel-12: Introduction of CA_41C-41A and CA_41A-	12.5.0 12.5.0
12-2014	RP-66	PD 140140	440	41C	1260
<u>12-2014</u> 12-2014	RP-66	RP-142142 RP-142188		UE RF requirements in the release independent spec Revision of common RRM requirements for release independent specification	12.6.0 12.6.0
12-2014	RP-66	RP-142182	448	[Rel-12] Introduction of inter-band CA_1-28 into TS36.307	12.6.0
	RP-66	RP-142189		CR for TR 36.307: LTE_CA_B5_B13	12.6.0
	RP-66	RP-142190		Introduction of additional band combinations for 3DL inter-band CA	12.6.0

			463			R4-73AH-0113: Correction of UE RF requirements for dual uplik to TS 36.307 Rel-12	12.7.0
	RP-67	RP-150392				CR for 36.307 on CA UE performance requirement in Rel-12	12.7.0
03-2015 05-2015	RP-67 RP-68	RP-150387 RP-151068				Further revision of RSRP requirement for 36.307 release 12 Introduction of CA_3A-40A to TS 36.307 R13	12.7.0 13.0.0
05-2015	RP-68	RP-151070	0513r 1			Introduction of CA_3A-40C to TS 36.307 R13	13.0.0
05-2015	RP-68	RP-150958	-			Introduction of dual uplink CA into 36.307	13.0.0
	RP-68	RP-150968				Release independence CR for 2DL inter-band CA Rel-13	13.0.0
	RP-68	RP-150972				Release independence CR for 3DL inter-band CA Rel-13	13.0.0
	RP-68	RP-150974				Release independence CR for 4DL inter-band CA Rel-13	13.0.0
05-2015	RP-68	RP-150975	509			Introduction of non-contiguous Carrier Aggregation (CA) in Band 42 for 3DL	13.0.0
	RP-68	RP-151006				Introduction of CA_42D to TS 36.307	13.0.0
	RP-69	RP-151501	0520r 1			Introduction of finished 4DL inter-band CAs to TS 36.307	13.1.0
	RP-69	RP-151503				[Rel-13] Introduction of dual uplink CA into 36.307	13.1.0
	RP-69	RP-151499				Rel-13 3DL combinations	13.1.0
09-2015	RP-69	RP-151201	0543			Introduction of CA_7A-40A and CA_7A-40C to TS 36.307 R13	13.1.0
10-2015						Correction of the release in the cover page	13.1.1
	RP-70	RP-152158				Release independent requirements for CA_42E (Rel-13)	13.2.0
	RP-70	RP-152160	0549		<b> </b>	Introduction of 4DL NC CA in band42 in 36.307	13.2.0
	RP-70	RP-152157			<b> </b>	Introducing B20 + B67 CA into TS 36.307	13.2.0
	RP-70	RP-152168			<b> </b>	Introduction of intra-band CA_8B to TS 36.307	13.2.0
	RP-70	RP-152171			<b> </b>	Introduction of Band 65	13.2.0
	RP-70	RP-152167			<b> </b>	Introduction of intra-band CA_5B to TS 36.307	13.2.0
2-2015		RP-152169			<u> </u>	Introduction of intra-band NC CA_5A-5A to TS 36.307	13.2.0
	RP-70	RP-152166				Introduction of 3DL/3UL Inter-band CA in TS36.307	13.2.0
2-2015		RP-152163				Introduction of 5DL/1UL CA combinations into TS 36.307 (Rel-13)	13.2.0
2-2015		RP-152162				Introduction of finished 4DL inter-band CAs to TS 36.307	13.2.0
	RP-70	RP-152173				Introduction of 1447-1467MHz Band into 36.307	13.2.0
2-2015		RP-152156				Rel-13 2DL combinations	13.2.0
	RP-70	RP-152161				Rel-13 3DL combinations	13.2.0
	RP-70	RP-152172				Introduction of Band 66	13.2.0
	RP-70	RP-152159				Introduction of intra-band non-contiguous CA in Band 41 for 4DL	13.2.0
	RP-70	RP-152165				Introduction of 2 UL and 3 DL mixed inter/intra cases without MSD into 36.307 Rel-13	13.2.0
	RP-71	RP-160480			В	Rel-13 3DL combinations	13.3.0
	RP-71	RP-160481			В	Introduction of completed R13 4DL inter-band CA's to TS 36.307	13.3.0
	RP-71	RP-160482			В	Introduction of 5DL/1UL CA combinations into TS 36.307 (Rel-13)	13.3.0
	RP-71	RP-160483			В	Introduction of Band 68	13.3.0
	RP-72	RP-161142	0682	1	F	CR TS 36.307 REL-13	13.4.0
	RP-72	RP-161142	0691	1	F	Correction of RRM multiple uplink requirements and test cases in 36.307	13.4.0
09/2016		RP-161628			A	Release 13 36.307 CAT A CR to make Band 41 power class 2 release independent	13.5.0
	<u>RP-73</u> RP-73	RP-161613 RP-161628		1	B F	CR for 4Rx requirements for release independent in Rel-13 Release 14 36.307 CR to make Band 41 power class 2 release independent	13.5.0 14.0.0
09/2016	RP-73	RP-161617	0703	1	В	Introduction of V2V operating bands in TS36.307 Rel-14	14.0.0
	RP-74	RP-162387		1	A	Introduction of B46 DL 10 MHz release independent feature	14.1.0
	RP-74	RP-162398		1	A	Addition of CA bandwidth Class F	14.1.0
12/2016	RP-74 RP-74	RP-162459 RP-162390	0716	2 1	A A	Correction to UE category applicability Addition of UE category 0 and M1 to release independence	14.1.0 14.1.0
					<u> </u>	specification	
	RP-74	RP-162407	0722	-	A	Introduction of new bands for NB-IoT in 36.307	14.1.0
	RP-75	RP-170559		-	В	CR on 36.307 for V2X multi-carrier operation	14.2.0
	RP-76	RP-171291		1	F	Cleanup of TS 36.307	14.3.0
	RP-77	RP-171943			F	CR for adding NB-IoT performance requirements in 36.307 in Rel- 14	14.4.0
	RP-77	RP-171953			В	CR on TS36.307 in rel-14 for V2X release independents	14.4.0
	RP-77	RP-171973			A	CR for adding overlapping band B66 in 36.307 in Rel-14	14.4.0
	RP-77	RP-172045			В	Additional LTE bands for UE category M1 and/or NB1 in Rel-15	14.4.0
	RP-77	RP-172052		Į	В	Additional LTE bands for UE category M2 and/or NB2 in Rel-14	14.4.0
	RP-77	RP-171953			В	CR on 36.307 on introduction of V2X operating bands in Rel-15	15.0.0
	RP-77	RP-172053		I	В	Additional LTE bands for UE category M2 and/or NB2 in Rel-15	15.0.0
		RP-180288		<u> </u>	A	Addition of missing features for TS 36.307 REL-15	15.1.0
		RP-180276		1	В	Introduction of 4UL CA into TS36.307	15.1.0
	RAN#80 RAN#80	RP-181100 RP-181097	4381 4389	1	B A	TS 36.307 Rel-15 TS 36.307 big CR for introduction new band support for 4Rx	15.2.0 15.2.0
2018-06	KAN#00					antenna ports R15	

2018-06	RAN#80	RP-181110	4394		А	CR for adding LAA SDR tests for release independent R15	15.2.0
2018-06	RAN#80	RP-181095	4400		В	Introduction of 3UL CA into TS36.307	15.2.0
2018-06	RAN#80	RP-181096	4402		А	CR on new V2X band combinations and eV2X feature in TS36.307	15.2.0
						rel-15	
2018-06	RAN#80	RP-181093	4403		В	Introduction of 1UL and more than 5DL CA into 36.307	15.2.0
2018-09	RAN#81	RP-181916	4406	2	А	CR of release independent requirements for LTE Carrier	15.3.0
						Aggregation beyond 5 carriers (TS 36.307 Rel-15)	
2018-12	RAN#82	RP-182377	4409	1	А	CR of adding B65 for NB1	15.4.0
2018-12	RAN#82	RP-182378	4411	1	А	CR of adding B65 for NB2	15.4.0
2019-06	RAN#84	RP-191266	4414		А	CR: Addition of 8Rx performance requirements for release	15.5.0
						independent	
2019-09	RAN#85	RP-192044	4433	2	В	CR of adding LTE B42/B43 for UE category NB2 in R16	15.6.0
2019-09	RAN#85	RP-192045	4427		В	Introduction PC2 for HD-FDD UE category M1 and M2 for TS	16.0.0
						36.307	
2019-12	RAN#86	RP-193044	4439	3	В	CR for REL-16 TS36.307 for adding B40 to UE category 1bis	16.1.0
2020-06	RAN#88	RP-200961	4442		F	Adding UE category NB1 supporting LTE band 42/43	16.2.0
2020-06	RAN#88	RP-200961	4441	1	В	CR for REL-16 TS36.307 for adding B34 to UE category 1bis	16.2.0

## History

Document history					
V16.2.0	July 2020	Publication			