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# Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

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- z the third digit is incremented when editorial only changes have been incorporated in the document.

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# 1 Scope

The present document specifies the Radio Resource Control protocol for the radio interface between UE and E-UTRAN as well as for the radio interface between RN and E-UTRAN.

The scope of the present document also includes:

- the radio related information transported in a transparent container between source eNB and target eNB upon inter eNB handover;
- the radio related information transported in a transparent container between a source or target eNB and another system upon inter RAT handover.

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

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- [76] Wi-Fi Alliance® Technical Committee, Hotspot 2.0 Technical Task Group Hotspot 2.0 (Release 2) Technical Specification Version 3.11.
- [77] 3GPP TS 22.101: "Service aspects; Service principles".
- [78] 3GPP TS 23.285: "Technical Specification Group Services and System Aspects; Architecture enhancements for V2X services".
- [79] 3GPP TS 36.307: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements on User Equipments (UEs) supporting a release-independent frequency band".
- [80] Military Standard WGS84 Metric MIL-STD-2401 (11 January 1994): "Military Standard Department of Defence World Geodetic System (WGS)".
- [81] 3GPP TS 37.340: "NR; Multi-connectivity; Overall description; Stage-2".
- [82] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol specification".
- [83] 3GPP TS 38.323: "NR; Packet Data Convergence Protocol (PDCP) Specification".
- [84] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".
- [85] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".
- [86] 3GPP TS 33.501: "Security Architecture and Procedures for 5G System".
- [87] 3GPP TS 38.306: "NR; UE Radio Access Capabilities".
- [88] 3GPP TS 38.213: "NR; Physical layer procedures for control".
- [89] 3GPP TS 38.215: "NR; Physical layer measurements".
- [90] 3GPP TS 26.247: "Transparent end-to-end Packet-switched Streaming Service (PSS); Progressive Download and Dynamic Adaptive Streaming over HTTP (3GP-DASH)".
- [91] 3GPP TS 38.104: "NR; Base Station (BS) radio transmission and reception".

- [92] 3GPP TS 38.304: "NR; User Equipment (UE) procedures in Idle mode and RRC Inactive state".
- [93] Bluetooth Special Interest Group: "Bluetooth Core Specification v5.0", December 2016.
- [94] 3GPP TS 37.213: "Physical layer procedures for shared spectrum channel access".
- [95] 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".
- [96] 3GPP TS 22.261: "Service requirements for the 5G System".
- [97] 3GPP TS 37.324: "Service Data Adaptation Protocol (SDAP) specification".
- [98] ATIS 0700041: "WEA 3.0: Device-Based Geo-Fencing".
- [99] 3GPP TS 26.114: "IP Multimedia Subsystem (IMS); Multimedia Telephony; Media handling and interaction".
- [100] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".
- [101] 3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".

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## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Anchor carrier:** In NB-IoT, a carrier where the UE assumes that NPSS/NSSS/NPBCH/SIB-NB for FDD or NPSS/NSSS/NPBCH for TDD are transmitted.

**Bandwidth Reduced:** Refers to operation in downlink and uplink with a limited channel bandwidth of 6 PRBs.

**CEIL:** Mathematical function used to 'round up' i.e. to the nearest integer having a higher or equal value.

**Cellular IoT EPS Optimisation:** Provides improved support of small data transfer, as defined in TS 24.301 [35].

**Commercial Mobile Alert System:** Public Warning System that delivers *Warning Notifications* provided by *Warning Notification Providers* to CMAS capable UEs.

**Common access barring parameters:** The common access barring parameters refer to the access class barring parameters that are broadcast in *SystemInformationBlockType2* outside the list of PLMN specific parameters (i.e. in *ac-BarringPerPLMN-List*).

**Control plane CIoT EPS optimisation:** Enables support of efficient transport of user data (IP, non-IP or SMS) over control plane via the MME without triggering data radio bearer establishment, as defined in TS 24.301 [35].

**Control plane EDT:** Early Data Transmission used with the Control plane CIoT EPS optimisation.

**CSG member cell:** A cell broadcasting the identity of the selected PLMN, registered PLMN or equivalent PLMN and for which the CSG whitelist of the UE includes an entry comprising cell's CSG ID and the respective PLMN identity.

**Dual Connectivity:** A UE in RRC\_CONNECTED is configured with Dual Connectivity when configured with a Master and a Secondary Cell Group.

**Early Data Transmission:** Allows one uplink data transmission optionally followed by one downlink data transmission during the random access procedure as specified in TS 36.300 [9]. The S1 connection is established or resumed upon reception of the uplink data and may be released or suspended along with the transmission of the downlink data. Early data transmission refers to both CP-EDT and UP-EDT.

**E-UTRA-NR Dual Connectivity:** A form of dual connectivity in which a UE in RRC\_CONNECTED is configured with MCG cells using E-UTRA and SCG cells using NR as defined in TS 37.340 [81].

**EU-Alert:** Public Warning System that delivers Warning Notifications provided by Warning Notification Providers using the same AS mechanisms as defined for CMAS.

**Field:** The individual contents of an information element are referred as fields.

**FLOOR:** Mathematical function used to 'round down' i.e. to the nearest integer having a lower or equal value.

**FR1:** Frequency range 1 as defined in clause 5.1 of TS 38.101-1 [85].

**FR2:** Frequency range 2 as defined in clause 5.1 of TS 38.101-2 [100].

**Information element:** A structural element containing a single or multiple fields is referred as information element.

**Korean Public Alert System (KPAS):** Public Warning System that delivers Warning Notifications provided by Warning Notification Providers using the same AS mechanisms as defined for CMAS.

**Master Cell Group:** For a UE not configured with DC, the MCG comprises all serving cells. For a UE configured with DC, the MCG concerns a subset of the serving cells comprising of the PCell and zero or more secondary cells.

**Mixed Operation Mode:** In NB-IoT FDD, multi-carrier operation where the anchor carrier is in standalone mode while the non-anchor carrier is in inband or guardand mode, and vice versa. See TS 36.300 [9].

**MBMS service:** MBMS bearer service as defined in TS 23.246 [56] (i.e. provided via an MRB or an SC-MRB).

**NB-IoT:** NB-IoT allows access to network services via E-UTRA with a channel bandwidth limited to 200 kHz.

**NB-IoT UE:** A UE that uses NB-IoT.

**NCSG:** Network controlled small gap as defined in TS 36.133 [16].

**NR-E-UTRA Dual Connectivity (NE-DC):** A form of dual connectivity in which a UE in RRC\_CONNECTED is configured with MCG cells using NR and SCG cells using E-UTRA as defined in TS 37.340 [81].

**Non-anchor carrier:** In NB-IoT, a carrier where the UE does not assume that NPSS/NSSS/NPBCH/SIB-NB for FDD or NPSS/NSSS/NPBCH for TDD are transmitted.

**NR Carrier Frequency:** Frequency referring to the position of resource element RE=#0 (subcarrier #0) of resource block RB#10 of the SS block.

**Primary Cell:** The cell, operating on the primary frequency, in which the UE either performs the initial connection establishment procedure or initiates the connection re-establishment procedure, or the cell indicated as the primary cell in the handover procedure.

**Primary Secondary Cell:** The SCG cell in which the UE is instructed to perform random access or initial PUSCH transmission if random access procedure is skipped when performing the SCG change procedure.

**Primary Timing Advance Group:** Timing Advance Group containing the PCell or the PSCell.

**PUCCH SCell:** An SCell configured with PUCCH.

**RLC bearer configuration:** The lower layer part of the radio bearer configuration comprising the RLC and logical channel configurations.

**Secondary Cell:** A cell, operating on a secondary frequency, which may be configured once an RRC connection is established and which may be used to provide additional radio resources. Except for the case of (NG)EN-DC, the PSCell is considered to be an SCell.

**Secondary Cell Group:** For a UE configured with DC, the subset of serving cells not part of the MCG, i.e. comprising of the PSCell and zero or more other secondary cells.

**Secondary Timing Advance Group:** Timing Advance Group neither containing the PCell nor the PSCell. A secondary timing advance group contains at least one cell with configured uplink.

**Serving Cell:** For a UE in RRC\_CONNECTED not configured with CA/ DC there is only one serving cell comprising of the primary cell. For a UE in RRC\_CONNECTED configured with CA/ DC the term 'serving cells' is used to denote the set of one or more cells comprising of the primary cell and all secondary cells.

**Sidelink:** UE to UE interface for sidelink communication, V2X sidelink communication and sidelink discovery. The sidelink corresponds to the PC5 interface as defined in TS 23.303 [68].

**Sidelink communication:** AS functionality enabling ProSe Direct Communication as defined in TS 23.303 [68], between two or more nearby UEs, using E-UTRA technology but not traversing any network node. In this version, the terminology "sidelink communication" without "V2X" prefix only concerns PS unless specifically stated otherwise.

**Sidelink discovery:** AS functionality enabling ProSe Direct Discovery as defined in TS 23.303 [68], using E-UTRA technology but not traversing any network node.

**Sidelink operation:** Includes sidelink communication, V2X sidelink communication and sidelink discovery.

**Split SRB:** in MR-DC, an SRB between the MN and the UE, allowing selection of either the direct path or the path via the SN as well as duplication of RRC PDUs across both paths as defined in TS 37.340 [81].

**Timing Advance Group:** A group of serving cells that is configured by RRC and that, for the cells with an UL configured, use the same timing reference cell and the same Timing Advance value. A Timing Advance Group only includes cells of the same cell group i.e. it either includes MCG cells or SCG cells.

**UE Inactive AS Context:** UE Inactive AS Context is stored when the connection is suspended and restored when the connection is resumed. It includes information as defined in clause 5.3.8.7.

**UE in CE:** Refers to a UE that is capable of using coverage enhancement, and requires coverage enhancement mode to access a cell or is configured in a coverage enhancement mode.

**User plane CIoT EPS optimisation:** Enables support for change from EMM-IDLE mode to EMM-CONNECTED mode without the need for using the Service Request procedure, as defined in TS 24.301 [35].

**User plane EDT:** Early Data Transmission used with the User plane CIoT EPS optimisation.

**V2X Sidelink communication:** AS functionality enabling V2X Communication as defined in TS 23.285 [78], between nearby UEs, using E-UTRA technology but not traversing any network node.

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], TS 36.300 [9] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1] or TS 36.300 [9].

|         |  |
|---------|--|
| 1xRTT   | CDMA2000 1x Radio Transmission Technology                      |
| AB      | Access Barring   |
| ACDC    | Application specific Congestion control for Data Communication |
| ACK     | Acknowledgement  |
| AILC    | Assistance Information bit for Local Cache                     |
| AM      | Acknowledged Mode  |
| ANDSF   | Access Network Discovery and Selection Function                |
| ARQ     | Automatic Repeat Request                                       |
| AS      | Access Stratum   |
| ASN.1   | Abstract Syntax Notation One                                   |
| AUL     | Autonomous Uplink  |
| BCCH    | Broadcast Control Channel                                      |
| BCD     | Binary Coded Decimal   |
| BCH     | Broadcast Channel  |
| BL      | Bandwidth reduced Low complexity                               |
| BLER    | Block Error Rate   |
| BR      | Bandwidth Reduced  |
| BR-BCCH | Bandwidth Reduced Broadcast Control Channel                    |
| CA      | Carrier Aggregation  |
| CBR     | Channel Busy Ratio   |

|            |   |
|------------|---|
| CCCH       | Common Control Channel                                    |
| CCO        | Cell Change Order   |
| CE         | Coverage Enhancement                                      |
| CG         | Cell Group  |
| CIoT       | Cellular IoT  |
| CMAS       | Commercial Mobile Alert Service                           |
| CP         | Control Plane   |
| CP-EDT     | Control Plane EDT   |
| C-RNTI     | Cell RNTI   |
| CRS        | Cell-specific Reference Signal                            |
| CSFB       | CS fallback   |
| CSG        | Closed Subscriber Group                                   |
| CSI        | Channel State Information                                 |
| DC         | Dual Connectivity   |
| DCCH       | Dedicated Control Channel                                 |
| DCI        | Downlink Control Information                              |
| DCN        | Dedicated Core Networks                                   |
| DFN        | Direct Frame Number                                       |
| DL         | Downlink  |
| DL-SCH     | Downlink Shared Channel                                   |
| DRB        | (user) Data Radio Bearer                                  |
| DRX        | Discontinuous Reception                                   |
| DTCH       | Dedicated Traffic Channel                                 |
| EAB        | Extended Access Barring                                   |
| eDRX       | Extended DRX  |
| EDT        | Early Data Transmission                                   |
| EHPLMN     | Equivalent Home Public Land Mobile Network                |
| eIMTA      | Enhanced Interference Management and Traffic Adaptation   |
| ENB        | Evolved Node B  |
| EN-DC      | E-UTRA NR Dual Connectivity with E-UTRAN connected to EPC |
| EPC        | Evolved Packet Core                                       |
| EPDCCH     | Enhanced Physical Downlink Control Channel                |
| EPS        | Evolved Packet System                                     |
| ETWS       | Earthquake and Tsunami Warning System                     |
| E-UTRA     | Evolved Universal Terrestrial Radio Access                |
| E-UTRA/5GC | E-UTRA connected to 5GC                                   |
| E-UTRA/EPC | E-UTRA connected to EPC                                   |
| E-UTRAN    | Evolved Universal Terrestrial Radio Access Network        |
| FDD        | Frequency Division Duplex                                 |
| FFS        | For Further Study   |
| GERAN      | GSM/EDGE Radio Access Network                             |
| GNSS       | Global Navigation Satellite System                        |
| G-RNTI     | Group RNTI  |
| GSM        | Global System for Mobile Communications                   |
| HARQ       | Hybrid Automatic Repeat Request                           |
| HFN        | Hyper Frame Number  |
| HPLMN      | Home Public Land Mobile Network                           |
| HRPD       | CDMA2000 High Rate Packet Data                            |
| HSDN       | High Speed Dedicated Network                              |
| H-SFN      | Hyper SFN   |
| IDC        | In-Device Coexistence                                     |
| IE         | Information element                                       |
| IMEI       | International Mobile Equipment Identity                   |
| IMSI       | International Mobile Subscriber Identity                  |
| IoT        | Internet of Things  |
| ISM        | Industrial, Scientific and Medical                        |
| kB         | Kilobyte (1000 bytes)                                     |
| L1         | Layer 1   |
| L2         | Layer 2   |
| L3         | Layer 3   |
| LAA        | Licensed-Assisted Access                                  |
| LWA        | LTE-WLAN Aggregation                                      |

|           |   |
|-----------|---|
| LWAAP     | LTE-WLAN Aggregation Adaptation Protocol                            |
| LWIP      | LTE-WLAN Radio Level Integration with IPsec Tunnel                  |
| MAC       | Medium Access Control   |
| MBMS      | Multimedia Broadcast Multicast Service                              |
| MBSFN     | Multimedia Broadcast multicast service Single Frequency Network     |
| MCG       | Master Cell Group   |
| MCOT      | Maximum Channel Occupancy Time                                      |
| MCPTT     | Mission Critical Push To Talk                                       |
| MDT       | Minimization of Drive Tests   |
| MIB       | Master Information Block  |
| MO        | Mobile Originating  |
| MPDCCH    | MTC Physical Downlink Control Channel                               |
| MRB       | MBMS Point to Multipoint Radio Bearer                               |
| MR-DC     | Multi-Radio Dual Connectivity                                       |
| MRO       | Mobility Robustness Optimisation                                    |
| MSI       | MCH Scheduling Information  |
| MT        | Mobile Terminating  |
| MTSI      | Multimedia Telephony Service for IMS                                |
| MUST      | MultiUser Superposition Transmission                                |
| N/A       | Not Applicable  |
| NACC      | Network Assisted Cell Change  |
| NAICS     | Network Assisted Interference Cancellation/Suppression              |
| NAS       | Non Access Stratum  |
| NB-IoT    | NarrowBand Internet of Things                                       |
| NE-DC     | NR E-UTRA Dual Connectivity   |
| (NG)EN-DC | E-UTRA NR Dual Connectivity (i.e. covering both EN-DC and NGEN-DC)  |
| NGEN-DC   | E-UTRA NR Dual Connectivity with E-UTRAN connected to 5GC           |
| NPBCH     | Narrowband Physical Broadcast channel                               |
| NPDCCH    | Narrowband Physical Downlink Control channel                        |
| NPDSCH    | Narrowband Physical Downlink Shared channel                         |
| NPRACH    | Narrowband Physical Random Access channel                           |
| NPSS      | Narrowband Primary Synchronization Signal                           |
| NPUSCH    | Narrowband Physical Uplink Shared channel                           |
| NR        | NR Radio Access   |
| NRS       | Narrowband Reference Signal   |
| NSSAI     | Network Slice Selection Assistance Information                      |
| NSSS      | Narrowband Secondary Synchronization Signal                         |
| OS        | OFDM Symbol   |
| P2X       | Pedestrian-to-Everything  |
| PCCH      | Paging Control Channel  |
| PCell     | Primary Cell  |
| PDCCH     | Physical Downlink Control Channel                                   |
| PDCP      | Packet Data Convergence Protocol                                    |
| PDU       | Protocol Data Unit  |
| PLMN      | Public Land Mobile Network  |
| PMK       | Pairwise Master Key   |
| PO        | Paging Occasion   |
| posSIB    | Positioning SIB   |
| ProSe     | Proximity based Services  |
| PS        | Public Safety (in context of sidelink), Packet Switched (otherwise) |
| PSCell    | Primary Secondary Cell  |
| PSK       | Pre-Shared Key  |
| PTAG      | Primary Timing Advance Group  |
| PUCCH     | Physical Uplink Control Channel                                     |
| QCI       | QoS Class Identifier  |
| QoE       | Quality of Experience   |
| QoS       | Quality of Service  |
| RACH      | Random Access CHannel   |
| RAI       | Release Assistance Indication                                       |
| RAT       | Radio Access Technology   |
| RB        | Radio Bearer  |
| RCLWI     | RAN Controlled LTE-WLAN Integration                                 |



|          |  |
|----------|--|
| RLC      | Radio Link Control                                 |
| RMTC     | RSSI Measurement Timing Configuration              |
| RN       | Relay Node   |
| RNA      | RAN-based Notification Area                        |
| RNAU     | RAN-based Notification Area Update                 |
| RNTI     | Radio Network Temporary Identifier                 |
| ROHC     | RObust Header Compression                          |
| RPLMN    | Registered Public Land Mobile Network              |
| RRC      | Radio Resource Control                             |
| RSCP     | Received Signal Code Power                         |
| RSRP     | Reference Signal Received Power                    |
| RSRQ     | Reference Signal Received Quality                  |
| RSS      | Resynchronisation signal                           |
| RSSI     | Received Signal Strength Indicator                 |
| SAE      | System Architecture Evolution                      |
| SAP      | Service Access Point                               |
| SBAS     | Satellite Based Augmentation System                |
| SC       | Sidelink Control                                   |
| SCell    | Secondary Cell                                     |
| SCG      | Secondary Cell Group                               |
| SC-MRB   | Single Cell MRB                                    |
| SC-RNTI  | Single Cell RNTI                                   |
| SD-RSRP  | Sidelink Discovery Reference Signal Received Power |
| SFN      | System Frame Number                                |
| SI       | System Information                                 |
| SIB      | System Information Block                           |
| SI-RNTI  | System Information RNTI                            |
| SL       | Sidelink   |
| SLSS     | Sidelink Synchronisation Signal                    |
| SMC      | Security Mode Control                              |
| SMTC     | SS/PBCH Block Measurement Timing Configuration     |
| SPDCCH   | Short PDCCH  |
| SPS      | Semi-Persistent Scheduling                         |
| SPT      | Short Processing Time                              |
| SPUCCH   | Short PUCCH  |
| SR       | Scheduling Request                                 |
| SRB      | Signalling Radio Bearer                            |
| S-RSRP   | Sidelink Reference Signal Received Power           |
| SSAC     | Service Specific Access Control                    |
| SSTD     | SFN and Subframe Timing Difference                 |
| STAG     | Secondary Timing Advance Group                     |
| S-TMSI   | SAE Temporary Mobile Station Identifier            |
| STTI     | Short TTI  |
| TA       | Tracking Area                                      |
| TAG      | Timing Advance Group                               |
| TDD      | Time Division Duplex                               |
| TDM      | Time Division Multiplexing                         |
| TM       | Transparent Mode                                   |
| TPC-RNTI | Transmit Power Control RNTI                        |
| T-RPT    | Time Resource Pattern of Transmission              |
| TTI      | Transmission Time Interval                         |
| TTT      | Time To Trigger                                    |
| UDC      | Uplink Data Compression                            |
| UE       | User Equipment                                     |
| UICC     | Universal Integrated Circuit Card                  |
| UL       | Uplink   |
| UL-SCH   | Uplink Shared Channel                              |
| UM       | Unacknowledged Mode                                |
| UP       | User Plane   |
| UP-EDT   | User Plane EDT                                     |
| UTC      | Coordinated Universal Time                         |
| UTRAN    | Universal Terrestrial Radio Access Network         |

|       |                                |
|-------|--------------------------------|
| V2X   | Vehicle-to-Everything          |
| VoLTE | Voice over Long Term Evolution |
| WLAN  | Wireless Local Area Network    |
| WT    | WLAN Termination               |
| WUS   | Wake-up Signal                 |

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI.

---

## 4 General

### 4.1 Introduction

In this specification, (parts of) procedures and messages specified for the UE equally apply to the RN for functionality necessary for the RN. There are also (parts of) procedures and messages which are only applicable to the RN in its communication with the E-UTRAN, in which case the specification denotes the RN instead of the UE. Such RN-specific aspects are not applicable to the UE.

This specification covers MR-DC i.e. the case in which the UE is configured with resources belonging to another node using NR RAT. The NR related configuration is performed using NR RRC as specified in TS 38.331 [82].

NB-IoT is a non backward compatible variant of E-UTRAN supporting a reduced set of functionality. In this specification, (parts of) procedures and messages specified for the UE equally apply to the UE in NB-IoT. There are also some features and related procedures and messages that are not supported by UEs in NB-IoT.

In particular, the following features are not supported in NB-IoT and corresponding procedures and messages do not apply to the UE in NB-IoT:

- Connected mode mobility (Handover and measurement reporting);
- Inter-RAT cell reselection or inter-RAT mobility in connected mode;
- E-UTRA connected to 5GC;
- RRC\_INACTIVE;
- CSG;
- Relay Node (RN);
- Carrier Aggregation (CA);
- Dual connectivity (DC);
- Multi-Radio Dual Connectivity (MR-DC);
- PDCP duplication;
- GBR (QoS);
- ACB, EAB, SSAC and ACDC;
- MBMS, except for MBMS via SC-PTM in Idle mode;
- Self-configuration and self-optimisation;
- Measurement logging and reporting for network performance optimisation;
- Public warning systems e.g. CMAS, ETWS and PWS;
- Broadcast of positioning assistance data;
- Real time services (including emergency call);
- CS services and CS fallback;

- In-device coexistence;
- RAN assisted WLAN interworking;
- Network-assisted interference cancellation/suppression;
- Sidelink (including direct communication and direct discovery).

NOTE: In regard to mobility, NB-IoT is a separate RAT from E-UTRAN.

In this specification, there are also (parts of) procedures and messages which are only applicable to UEs in NB-IoT, in which case this is stated explicitly.

This specification is organised as follows:

- clause 4.2 describes the RRC protocol model;
- clause 4.3 specifies the services provided to upper layers as well as the services expected from lower layers;
- clause 4.4 lists the RRC functions;
- clause 5 specifies RRC procedures, including UE state transitions;
- clause 6 specifies the RRC message in a mixed format (i.e. tabular & ASN.1 together);
- clause 7 specifies the variables (including protocol timers and constants) and counters to be used by the UE;
- clause 8 specifies the encoding of the RRC messages;
- clause 9 specifies the specified and default radio configurations;
- clause 10 specifies the RRC messages transferred across network nodes;
- clause 11 specifies the UE capability related constraints and performance requirements.

## 4.2 Architecture

### 4.2.1 UE states and state transitions including inter RAT

A UE is in `RRC_CONNECTED` when an RRC connection has been established or in `RRC_INACTIVE` (if the UE is connected to 5GC) when RRC connection is suspended. If this is not the case, i.e. no RRC connection is established, the UE is in `RRC_IDLE` state. The RRC states can further be characterised as follows:

- **RRC\_IDLE:**
  - A UE specific DRX may be configured by upper layers (not applicable for NB-IoT);
  - UE controlled mobility;
  - The UE:
    - Monitors a Paging channel to detect incoming calls (by CN paging), system information change, for ETWS capable UEs, ETWS notification, and for CMAS capable UEs, CMAS notification;
    - Performs neighbouring cell measurements and cell (re-)selection;
    - Acquires system information;
    - Performs logging of available measurements together with location and time for logged measurement configured UEs;
    - May perform EDT.
- **RRC\_INACTIVE:**
  - A UE specific DRX may be configured by upper layers or by RRC layer;

- A RAN-based notification area is configured by RRC layer;
- The UE stores the UE Inactive AS context;
- The UE:
  - Applies RRC\_IDLE procedures unless specified otherwise;
  - Monitors a Paging channel for CN paging using 5G-S-TMSI and RAN paging using fullI-RNTI;
  - Performs periodic RAN-based notification area update;
  - Performs RAN-based notification area update when moving out of the configured RAN-based notification area.
- **RRC\_CONNECTED:**
  - Transfer of unicast data to/from UE;
  - At lower layers, the UE may be configured with a UE specific DRX;
  - For UEs supporting CA, use of one or more SCells, aggregated with the PCell, for increased bandwidth;
  - For UEs supporting DC, use of one SCG, aggregated with the MCG, for increased bandwidth;
  - For UEs supporting (NG)EN-DC, option to configure one NR SCG in conjunction with the MCG for DRBs and SRBs, for improved performance (SRBs) and increased bandwidth (DRBs);
  - For UEs supporting NE-DC, option to configure one SCG in conjunction with the NR MCG for DRBs and SRBs, for improved performance (SRBs) and increased bandwidth (DRBs);
  - Network controlled mobility, i.e. handover and cell change order with optional network assistance (NACC) to GERAN (not applicable for NB-IoT);
  - The UE:
    - Monitors a Paging channel and/ or System Information Block Type 1 contents to detect system information change, for ETWS capable UEs, ETWS notification, and for CMAS capable UEs, CMAS notification (not applicable for BL UEs, UEs in CE and NB-IoT UEs);
    - Monitors control channels associated with the shared data channel to determine if data is scheduled for it;
    - Provides channel quality and feedback information (not applicable for NB-IoT);
    - Performs neighbouring cell measurements and measurement reporting (not applicable for NB-IoT);
    - Acquires system information (not applicable for BL UEs, UEs in CE and NB-IoT UEs).

NOTE: The term "UE is connected to 5GC" covers the scenarios that the UE is connected to 5GC and the UE is requesting to connect with 5GC.

Figure 4.2.1-1 not only provides an overview of the RRC states in E-UTRA/EPC, but also illustrates the mobility support between E-UTRA/EPC, UTRAN and GERAN.

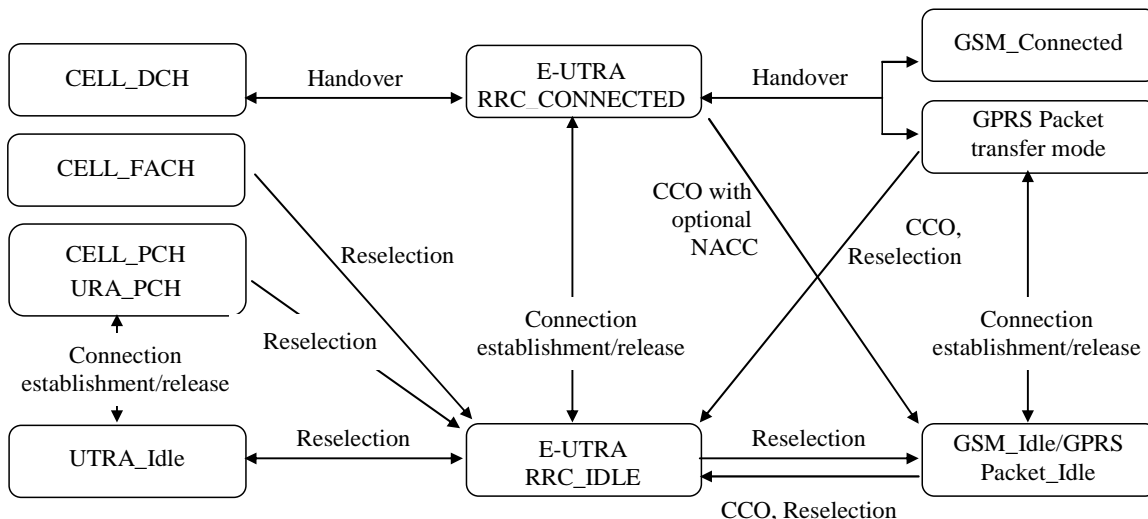


Figure 4.2.1-1: E-UTRA/EPC states and inter RAT mobility procedures, 3GPP

Figure 4.2.1-2 illustrates the mobility support between E-UTRA/EPC, CDMA2000 1xRTT and CDMA2000 HRPD. The details of the CDMA2000 state models are out of the scope of this specification.

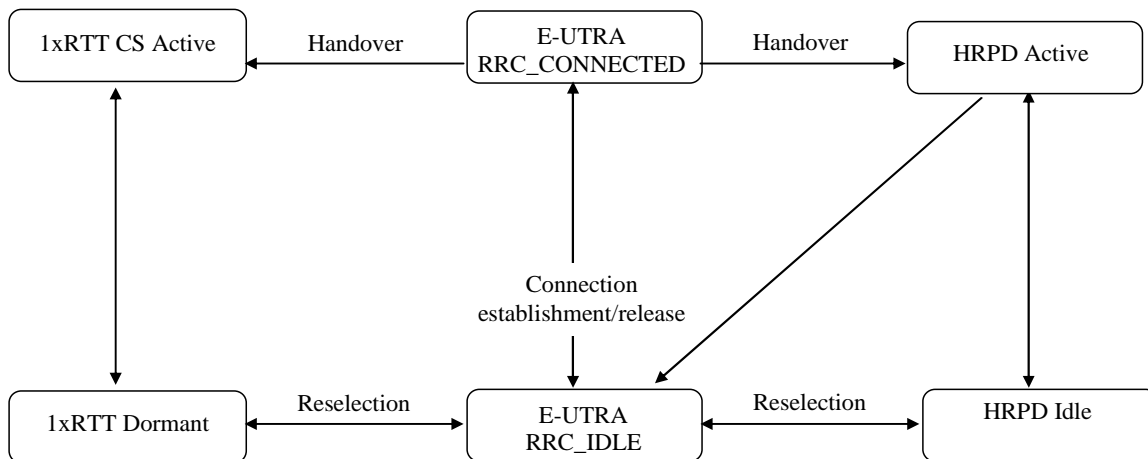
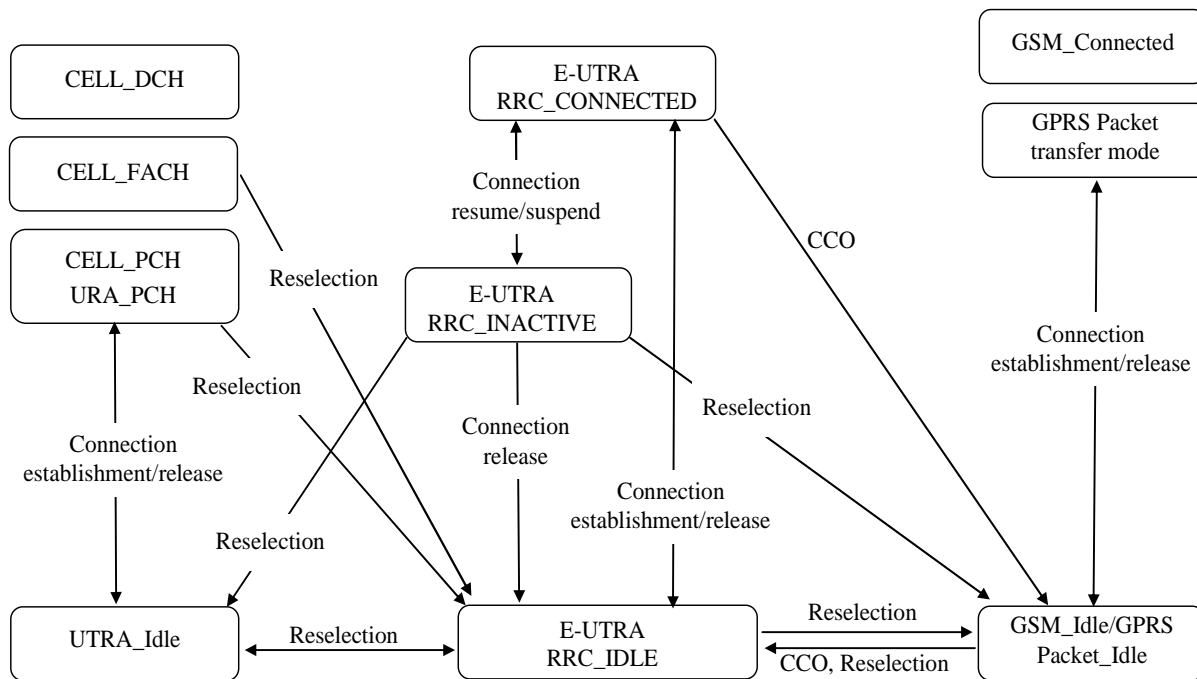


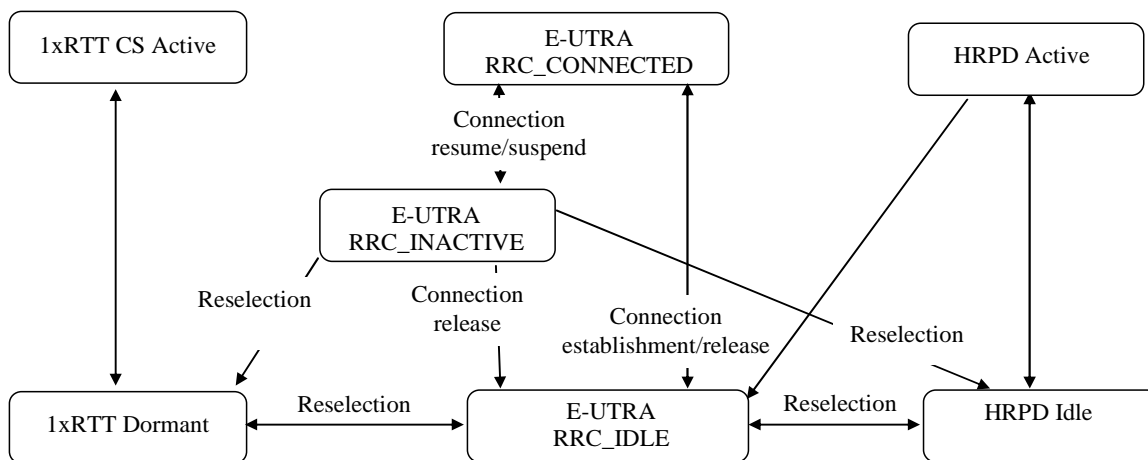
Figure 4.2.1-2: Mobility procedures between E-UTRA/EPC and CDMA2000

Figure 4.2.1-3 not only provides an overview of the RRC states in E-UTRA/5GC, but also illustrates the mobility support between E-UTRA/5GC, UTRAN and GERAN.



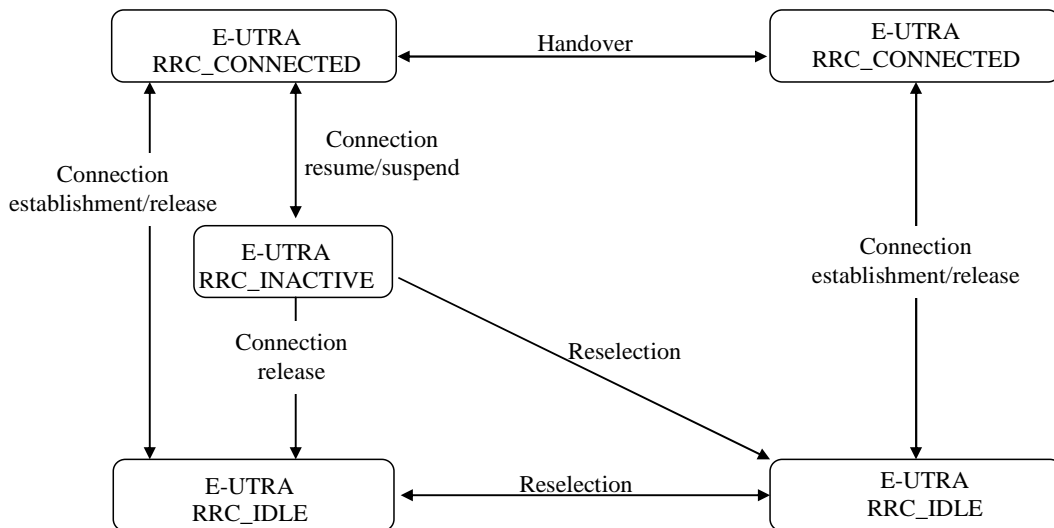
**Figure 4.2.1-3: E-UTRA/5GC states and inter RAT mobility procedures, 3GPP**

Figure 4.2.1-4 illustrates the mobility procedures supported between E-UTRA/5GC, CDMA2000 1xRTT and CDMA2000 HRPD. The details of the CDMA2000 state models are out of the scope of this specification.



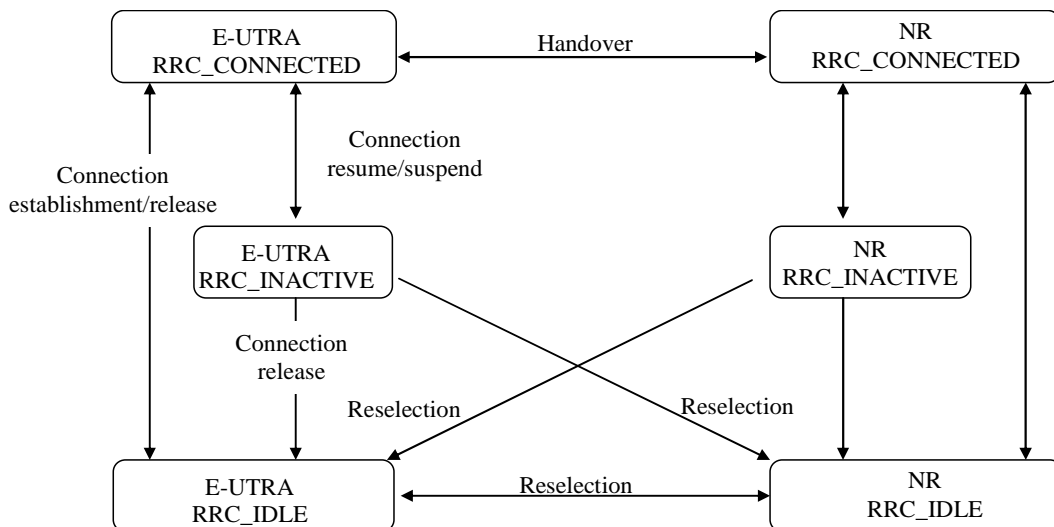
**Figure 4.2.1-4: Mobility procedures between E-UTRA/5GC and CDMA2000**

Figure 4.2.1-5 illustrates the mobility procedures supported between E-UTRA/5GC and E-UTRA/EPC.



**Figure 4.2.1-5: Mobility procedures between E-UTRA/5GC and E-UTRA/EPC**

Figure 4.2.1-6 illustrates the mobility procedures supported between E-UTRA/EPC, E-UTRA/5GC and NR.



**Figure 4.2.1-6: Mobility procedures between E-UTRA/EPC, E-UTRA/5GC and NR**

The inter-RAT handover procedure(s) supports the case of signalling, conversational services, non-conversational services and combinations of these.

In addition to the state transitions shown in figures above, there is support for connection release with redirection information from E-UTRA RRC\_CONNECTED to GERAN, UTRAN, CDMA2000 (HRPD Idle/ 1xRTT Dormant mode) and NR. A UE in RRC\_INACTIVE enters RRC\_IDLE when it enters another RAT or switches to another CN type.

For NB-IoT, mobility between E-UTRA and UTRAN, GERAN and between E-UTRA and CDMA2000 1xRTT and CDMA2000 HRPD is not supported at AS level and hence only the E-UTRA states depicted in Figure 4.2.1-1 are applicable.

## 4.2.2 Signalling radio bearers

"Signalling Radio Bearers" (SRBs) are defined as Radio Bearers (RB) that are used only for the transmission of RRC and NAS messages. More specifically, the following SRBs are defined:

- SRB0 is for RRC messages using the CCCH logical channel;
- SRB1 is for RRC messages (which may include a piggybacked NAS message) as well as for NAS messages prior to the establishment of SRB2, all using DCCH logical channel;
- For NB-IoT, SRB1bis is for RRC messages (which may include a piggybacked NAS message) as well as for NAS messages prior to the activation of security, all using DCCH logical channel;
- SRB2 is for RRC messages which include logged measurement information as well as for NAS messages, all using DCCH logical channel. SRB2 has a lower-priority than SRB1 and is always configured by E-UTRAN after security activation. SRB2 is not applicable for NB-IoT;
- SRB4 is for RRC messages which include application layer measurement reporting information, all using DCCH logical channel. SRB4 can only be configured by E-UTRAN after security activation. SRB4 is not applicable for NB-IoT.

In downlink piggybacking of NAS messages is used only for one dependant (i.e. with joint success/ failure) procedure: bearer establishment/ modification/ release. In uplink NAS message piggybacking is used only for transferring the initial NAS message during connection setup.

NOTE 1: The NAS messages transferred via SRB2 are also contained in RRC messages, which however do not include any RRC protocol control information.

Once security is activated, all RRC messages on SRB1, SRB2 and SRB4, including those containing NAS or non-3GPP messages, are integrity protected and ciphered by PDCP. NAS independently applies integrity protection and ciphering to the NAS messages.

For a UE configured with DC, all RRC messages, regardless of the SRB used and both in downlink and uplink, are transferred via the MCG. In case of EN-DC, after connection establishment NR PDCP may be configured for both SRB1 and SRB2 and if so, these SRBs may be configured as split SRB. In case of NGEN-DC and NE-DC, NR PDCP is always configured. For a split SRB, the UE receives RRC messages via both MCG and NR SCG i.e. handles out of order and duplicate PDUs as specified in TS 38.323 [83]. For a split SRB, the network configures via which cell group(s) the UE sends uplink RRC messages.

NOTE 2: In case of (NG)EN-DC, SRB3 may be configured for the transfer of some NR RRC messages between UE and SgNB via the NR radio interface, see TS 38.331 [82].

An SRB can be configured with PDCP duplication, either by two logical channels within the same CG (CA duplication) or by two logical channels each within a different CG (DC duplication).

## 4.3 Services

### 4.3.1 Services provided to upper layers

The RRC protocol offers the following services to upper layers:

- Broadcast of common control information;
- Broadcast of positioning assistance data;
- Notification of UEs in RRC\_IDLE and RRC\_INACTIVE, e.g. about a terminating call, for ETWS, for CMAS;
- Transfer of dedicated control information, i.e. information for one specific UE.



## 4.3.2 Services expected from lower layers

In brief, the following are the main services that RRC expects from lower layers:

- PDCP: integrity protection and ciphering;
- RLC: reliable and in-sequence transfer of information, without introducing duplicates and with support for segmentation and concatenation.

Further details about the services provided by Packet Data Convergence Protocol layer (e.g. integrity and ciphering) are provided in TS 36.323 [8]. The services provided by Radio Link Control layer (e.g. the RLC modes) are specified in TS 36.322 [7]. Further details about the services provided by Medium Access Control layer (e.g. the logical channels) are provided in TS 36.321 [6]. The services provided by physical layer (e.g. the transport channels) are specified in TS 36.302 [3].

## 4.4 Functions

The RRC protocol includes the following main functions:

- Broadcast of system information:
  - Including NAS common information;
  - Information applicable for UEs in RRC\_IDLE, e.g. cell (re-)selection parameters, neighbouring cell information and information (also) applicable for UEs in RRC\_CONNECTED, e.g. common channel configuration information;
  - Including ETWS notification, CMAS notification (not applicable for NB-IoT);
  - Including positioning assistance data.
- RRC connection control:
  - Paging;
  - Establishment/ modification/ suspension / resumption / release of RRC connection, including e.g. assignment/ modification of UE identity (C-RNTI), establishment/ modification/ suspension/ resumption/ release of SRB1, SRB1bis, SRB2 and SRB4, access class barring;
  - Initial security activation, i.e. initial configuration of AS integrity protection (SRBs) and AS ciphering (SRBs, DRBs);
  - For RNs, configuration of AS integrity protection for DRBs;
  - RRC connection mobility including e.g. intra-frequency and inter-frequency handover, associated security handling, i.e. key/ algorithm change, specification of RRC context information transferred between network nodes;

NOTE 1: In NB-IoT, only key change (but no re-keying) at RRC Connection Resumption and RRC context information transfer are applicable.

- Establishment/ modification/ release of RBs carrying user data (DRBs);
- Radio configuration control including e.g. assignment/ modification of ARQ configuration, HARQ configuration, DRX configuration;
- For RNs, RN-specific radio configuration control for the radio interface between RN and E-UTRAN;
- In case of CA, cell management including e.g. change of PCell, addition/ modification/ release of SCell(s) and addition/modification/release of STAG(s);
- In case of DC, cell management including e.g. change of PSCell, addition/ modification/ release of SCG cell(s) and addition/modification/release of SCG TAG(s);

- In case of (NG)EN-DC, transparent transfer of NR RRC messages (e.g. DL: reconfiguration messages used to add or modify the NR SCG configuration or to (re-)configure measurements; UL: measurement reports and reconfiguration complete messages) and of configurations of radio bearers using NR PDCP;
- QoS control including assignment/ modification of semi-persistent scheduling (SPS) configuration information for DL and UL, assignment/ modification of parameters for UL rate control in the UE, i.e. allocation of a priority and a prioritised bit rate (PBR) for each RB (not applicable for NB-IoT);
- Recovery from radio link failure;
- In case of LWA, RCLWI and LWIP, WLAN mobility set management including e.g. addition/ modification/ release of WLAN(s) from the WLAN mobility set;
- Inter-RAT mobility including e.g. security activation, transfer of RRC context information (not applicable for NB-IoT);
- Measurement configuration and reporting (not applicable for NB-IoT):
  - Establishment/ modification/ release of measurements (e.g. intra-frequency, inter-frequency and inter- RAT measurements);
  - Setup and release of measurement gaps;
  - Measurement reporting;
- Other functions including e.g. transfer of dedicated NAS information and non-3GPP dedicated information, transfer of UE radio access capability information, support for E-UTRAN sharing (multiple PLMN identities);
- Generic protocol error handling;
- Support of self-configuration and self-optimisation (not applicable for NB-IoT);
- Support of measurement logging and reporting for network performance optimisation, as specified in TS 37.320 [60] (not applicable for NB-IoT).

NOTE 2: Random access is specified entirely in the MAC including initial transmission power estimation.

## 4.5 Data available for transmission for NB-IoT

For the purpose of MAC Data Volume and Power Headroom reporting, the NB-IoT UE shall consider the following as data available for transmission in the RRC layer:

- For SDUs to be submitted to lower layers:
  - the SDU itself, if the SDU has not yet been processed by RRC; or
  - the PDU if the SDU has been processed by RRC;
- The data available for transmission in upper layers not submitted to the RRC layer.

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# 5 Procedures

## 5.1 General

### 5.1.1 Introduction

The procedural requirements are structured according to the main functional areas: system information (5.2), connection control (5.3), inter-RAT mobility (5.4) and measurements (5.5). In addition, clause 5.6 covers other aspects e.g. NAS dedicated information transfer, UE capability transfer, clause 5.7 specifies the generic error handling, clause 5.8 covers MBMS (i.e. MBMS service reception via MRB), clause 5.8a covers SC-PTM (i.e. MBMS service reception via SC-MRB), clause 5.9 covers RN-specific procedures and clause 5.10 covers sidelink.

For NB-IoT, only a subset of the above procedural requirements applies: system information (5.2), connection control (5.3), some part of other aspects (5.6), general error handling (5.7), and SC-PTM (5.8a). Clauses inter-RAT mobility (5.4), measurements (5.5), MBMS (5.8), RN procedures (5.9) and Sidelink (5.10) are not applicable in NB-IoT.

## 5.1.2 General requirements

The UE shall:

- 1> process the received messages in order of reception by RRC, i.e. the processing of a message shall be completed before starting the processing of a subsequent message;

NOTE 1: E-UTRAN may initiate a subsequent procedure prior to receiving the UE's response of a previously initiated procedure.

- 1> within a clause execute the steps according to the order specified in the procedural description;
- 1> consider the term 'radio bearer' (RB) to cover SRBs and DRBs but not MRBs or SC-MRBs unless explicitly stated otherwise;
- 1> set the *rrc-TransactionIdentifier* in the response message, if included, to the same value as included in the received RRC message that triggered the response message;
- 1> upon receiving a choice value set to *setup*:
  - 2> apply the corresponding received configuration and start using the associated resources, unless explicitly specified otherwise;

- 1> upon receiving a choice value set to *release*:

- 2> clear the corresponding configuration and stop using the associated resources;

NOTE 1a: Following receipt of choice value set to release, the UE considers the field as if it was never configured.

- 1> upon handover to E-UTRA; or

- 1> upon receiving an *RRCConnectionReconfiguration* message including the *fullConfig*:

- 2> apply the Conditions in the ASN.1 for inclusion of the fields for the DRB/PDCP/RLC setup during the reconfiguration of the DRBs included in the *drb-ToAddModList*;

NOTE 2: At each point in time, the UE keeps a single value for each field except for during handover when the UE temporarily stores the previous configuration so it can revert back upon handover failure. In other words: when the UE reconfigures a field, the existing value is released except for during handover.

NOTE 3: Although not explicitly stated, the UE initially considers all functionality to be deactivated/ released until it is explicitly stated that the functionality is setup/ activated. Correspondingly, the UE initially considers lists to be empty e.g. the list of radio bearers, the list of measurements.

- 1> upon receiving an extension field comprising the entries in addition to the ones carried by the original field (regardless of whether E-UTRAN may signal more entries in total); apply the following generic behaviour if explicitly stated to be applicable:
  - 2> create a combined list by concatenating the additional entries included in the extension field to the original field while maintaining the order among both the original and the additional entries;
  - 2> for the combined list, created according to the previous, apply the same behaviour as defined for the original field;

NOTE 4: A field comprising a list of entries normally includes 'list' in the field name. The typical way to extend (the size of) such a list is to introduce a field comprising the additional entries, which should include 'listExt' in the name of the field/ IE. E.g. *field1List-RAT*, *field1ListExt-RAT*.

- 1> consider the term DC to cover the case of an E-UTRA MCG and SCG; Likewise, MCG covers the case of an E-UTRA MCG, SCG covers the case of an E-UTRA SCG, serving cell covers the case of an E-UTRA serving cell, PDCP covers the case of PDCP defined by E-UTRA specifications;

NOTE 5: In this specification, UE configuration refers to the parameters configured by E-UTRA RRC unless stated otherwise. Likewise, when a procedure is mentioned, this concerns the procedure defined by E-UTRA RRC unless stated otherwise.

### 5.1.3 Requirements for UE in MR-DC

In this specification, the UE considers itself to be configured with;

- EN-DC if and only if it is configured with *nr-SecondaryCellGroupConfig* and it is connected to EPC,
- NGEN-DC if and only if it is configured with *nr-SecondaryCellGroupConfig* and it is connected to 5GC,
- NE-DC if and only if it is configured with *mrdc-SecondaryCellGroup* set to *eutra-SCG* according to TS 38.331[82],
- MR-DC if and only if it is configured with (NG)EN-DC or NE-DC.

NOTE 1: The above deviates from the definition in TS 37.340 [81] (and some other specifications) i.e. according to TS 37.340 [81] a UE that is not configured with an SCG is in MR-DC when one or more bearers are terminated in the secondary node (i.e. using NR PDCP).

NOTE 2: MR-DC includes NR-DC, but that option is not relevant for this specification.

The UE configured with NE-DC only executes a subclause of clause 5 from this specification when the concerned subclause:

- is referenced from a subclause, either in this specification or in TS 38.331 [82], that is executed by the UE; or
- covers actions upon (re-)configuration of field(s), IE(s), UE variable(s) or timer(s) applicable for NE-DC;

When executing a subclause of clause 5 in this specification, the UE also follows the related general requirements as defined in clause 5.1.2 and other subclauses of this specification e.g. message processing delay requirements.

## 5.2 System information

### 5.2.1 Introduction

#### 5.2.1.1 General

System information is divided into the *MasterInformationBlock* (MIB) and a number of *SystemInformationBlocks* (SIBs) and *SystemInformationBlockPos* (posSIBs). The MIB includes a limited number of most essential and most frequently transmitted parameters that are needed to acquire other information from the cell, and is transmitted on BCH. SIBs other than *SystemInformationBlockType1* and posSIBs are carried in *SystemInformation* (SI) messages. The mapping of SIBs and posSIBs to SI messages is flexibly configurable by *schedulingInfoList* and *posSchedulingInfoList*, respectively, included in *SystemInformationBlockType1*, with restrictions that: each SIB is contained only in a single SI message and each SIB and posSIB is contained at most once in that SI message; only SIBs and posSIBs having the same scheduling requirement (periodicity) can be mapped to the same SI message; *SystemInformationBlockType2* is always mapped to the SI message that corresponds to the first entry in the list of SI messages in *schedulingInfoList*. There may be multiple SI messages transmitted with the same periodicity. *SystemInformationBlockType1* and all SI messages are transmitted on DL-SCH.

The Bandwidth reduced Low Complexity (BL) UEs and UEs in Coverage Enhancement (CE) apply Bandwidth Reduced (BR) version of the SIB, posSIB or SI messages. A UE considers itself in enhanced coverage as specified in TS 36.304 [4]. In this and subsequent clauses, anything applicable for a particular SIB, posSIB or SI message equally applies to the corresponding BR version unless explicitly stated otherwise.

For NB-IoT, a reduced set of system information block with similar functionality but different content is defined; the UE applies the NB-IoT (NB) version of the MIB and the SIBs. These are denoted *MasterInformationBlock-NB*, *MasterInformationBlock-TDD-NB* and *SystemInformationBlockTypeX-NB* in this specification. All other system information blocks (without NB suffix) are not applicable to NB-IoT; this is not further stated in the corresponding text.

NOTE 1: The physical layer imposes a limit to the maximum size a SIB can take. When DCI format 1C is used the maximum allowed by the physical layer is 1736 bits (217 bytes) while for format 1A the limit is 2216 bits (277 bytes), see TS 36.212 [22] and TS 36.213 [23]. For BL UEs and UEs in CE, the maximum SIB and SI message size is 936 bits, see TS 36.213 [23]. For NB-IoT, the maximum SIB and SI message size is 680 bits, see TS 36.213 [23].

In addition to broadcasting, E-UTRAN may provide *SystemInformationBlockType1* and/or *SystemInformationBlockType2*, including the same parameter values, via dedicated signalling i.e., within an *RRCConnectionReconfiguration* message.

The UE applies the system information acquisition and change monitoring procedures for the PCell, except when being a BL UE or a UE in CE or a NB-IoT UE in RRC\_CONNECTED mode while T311 is not running. For an SCell, E-UTRAN provides, via dedicated signalling, all system information relevant for operation in RRC\_CONNECTED when adding the SCell. However, a UE that is configured with DC shall acquire the *MasterInformationBlock* of the PCell but use it only to determine the SFN timing of the SCG, which may be different from the MCG. Upon change of the relevant system information of a configured SCell, E-UTRAN releases and subsequently adds the concerned SCell, which may be done with a single *RRCConnectionReconfiguration* message. If the UE is receiving or interested to receive an MBMS service in a cell, the UE shall apply the system information acquisition and change monitoring procedure to acquire parameters relevant for MBMS operation and apply the parameters acquired from system information only for MBMS operation for this cell.

NOTE 2: E-UTRAN may configure via dedicated signalling different parameter values than the ones broadcast in the concerned SCell.

In MBMS-dedicated cell, non-MBSFN subframes are used for providing *MasterInformationBlock-MBMS* (MIB-MBMS) and *SystemInformationBlockType1-MBMS*. SIBs other than *SystemInformationBlockType1-MBMS* are carried in *SystemInformation-MBMS* message which is also provided on non-MBSFN subframes.

An RN configured with an RN subframe configuration does not need to apply the system information acquisition and change monitoring procedures. Upon change of any system information relevant to an RN, E-UTRAN provides the system information blocks containing the relevant system information to an RN configured with an RN subframe configuration via dedicated signalling using the *RNReconfiguration* message. For RNs configured with an RN subframe configuration, the system information contained in this dedicated signalling replaces any corresponding stored system information and takes precedence over any corresponding system information acquired through the system information acquisition procedure. The dedicated system information remains valid until overridden.

NOTE 3: E-UTRAN may configure an RN, via dedicated signalling, with different parameter values than the ones broadcast in the concerned cell.

### 5.2.1.2 Scheduling

The MIB uses a fixed schedule with a periodicity of 40 ms and repetitions made within 40 ms. The first transmission of the MIB is scheduled in subframe #0 of radio frames for which the SFN mod 4 = 0, and repetitions are scheduled in subframe #0 of all other radio frames. For TDD/FDD system with a bandwidth larger than 1.4 MHz that supports BL UEs or UEs in CE, MIB transmission may additionally be repeated in subframe#0 of the same radio frame, and in subframe#9 of the previous radio frame for FDD and subframe #5 of the same radio frame for TDD.

NOTE: The UE may assume the scheduling of MIB repetitions does not change. E-UTRAN may indicate in *MobilityControlInfo* whether optional MIB repetitions are enabled or not.

The MIB-MBMS uses a fixed schedule with a periodicity of 160 ms and repetitions made within 160 ms. The first transmission of the MIB-MBMS is scheduled in subframe #0 of radio frames for which the SFN mod 16 = 0, and repetitions are scheduled in subframe #0 of all other radio frames for which the SFN mod 4 = 0.

The *SystemInformationBlockType1* uses a fixed schedule with a periodicity of 80 ms and repetitions made within 80 ms. The first transmission of *SystemInformationBlockType1* is scheduled in subframe #5 of radio frames for which the SFN mod 8 = 0, and repetitions are scheduled in subframe #5 of all other radio frames for which SFN mod 2 = 0.

For BL UEs or UEs in CE, MIB is applied which may be provided with additional repetitions, while for SIB1 and further SI messages, separate messages are used which are scheduled independently and with content that may differ. The separate instance of SIB1 is named as *SystemInformationBlockType1-BR*. The *SystemInformationBlockType1-BR* uses a schedule with a periodicity of 80ms. TBS for *SystemInformationBlockType1-BR* and the repetitions made within 80ms are indicated via *schedulingInfoSIB1-BR* in MIB or optionally in the *RRCConnectionReconfiguration* message including the *MobilityControlInfo*.

The *SystemInformationBlockType1-MBMS* uses fixed schedule with a periodicity of 160 ms. The first transmission of *SystemInformationBlockType1-MBMS* is scheduled in subframe #0 of radio frames for which the SFN mod 16 = 0, and repetitions are scheduled in subframe #0 of all other radio frames for which SFN mod 8 = 0. Additionally, the *SystemInformationBlockType1-MBMS* and other system informations blocks may be scheduled in additional non-MBSFN subframes indicated in *MasterInformationBlock-MBMS*.

The SI messages are transmitted within periodically occurring time domain windows (referred to as SI-windows) using dynamic scheduling. Each SI message is associated with a SI-window and the SI-windows of different SI messages do not overlap. That is, within one SI-window only the corresponding SI is transmitted. The length of the SI-window is common for all SI messages, and is configurable. Within the SI-window, the corresponding SI message can be transmitted a number of times in any subframe other than MBSFN subframes, uplink subframes in TDD, and subframe #5 of radio frames for which SFN mod 2 = 0. The UE acquires the detailed time-domain scheduling (and other information, e.g. frequency-domain scheduling, used transport format) from decoding SI-RNTI on PDCCH (see TS 36.321 [6]). For a BL UE or a UE in CE, the detailed time/frequency domain scheduling information for the SI messages is provided in *SystemInformationBlockType1-BR*.

For UEs other than BL UE or UEs in CE SI-RNTI is used to address *SystemInformationBlockType1* as well as all SI messages. On MBMS-dedicated cell and on FeMBMS/Unicast-mixed cell, SI-RNTI with value in accordance with TS 36.321 [6] is used to address all SI messages whereas SI-RNTI with value in accordance with TS 36.321 [6] is used to address *SystemInformationBlockType1-MBMS*.

*SystemInformationBlockType1* configures the SI-window length and the transmission periodicity for the SI messages.

### 5.2.1.2a Scheduling for NB-IoT

The *MasterInformationBlock-NB* (MIB-NB) uses a fixed schedule with a periodicity of 640 ms and repetitions made within 640 ms. The first transmission of the MIB-NB is scheduled in subframe #0 of radio frames for which the SFN mod 64 = 0 and repetitions are scheduled in subframe #0 of all other radio frames. The transmissions are arranged in 8 independently decodable blocks of 80 ms duration.

The *MasterInformationBlock-TDD-NB* (MIB-TDD-NB) uses a fixed schedule with a periodicity of 640 ms and repetitions made within 640 ms. The first transmission of the MIB-TDD-NB is scheduled in subframe #9 of radio frames for which the SFN mod 64 = 0 and repetitions are scheduled in subframe #9 of all other radio frames. The transmissions are arranged in 8 independently decodable blocks of 80 ms duration.

The *SystemInformationBlockType1-NB* (SIB1-NB) uses a fixed schedule with a periodicity of 2560 ms.

For FDD, SIB1-NB transmission occurs in subframe #4 of every other frame in 16 continuous frames. The starting frame for the first transmission of the SIB1-NB is derived from the cell PCID and the number of repetitions within the 2560 ms period and repetitions are made, equally spaced, within the 2560 ms period (see TS 36.213 [23]). TBS for *SystemInformationBlockType1-NB* and the repetitions made within the 2560 ms are indicated by *schedulingInfoSIB1* field in the MIB-NB. If *additionalTransmissionSIB1* is set to TRUE in the MIB-NB, additional SIB1-NB transmission occurs in subframe #3 of the same radio frames where SIB1-NB transmission occurs with the same number of repetitions.

For TDD, SIB1-NB transmission on the anchor carrier occurs in either subframe #0 or subframe #4 of every other frame in 16 continuous frames and SIB1-NB transmission on a non-anchor carrier occurs in subframe #0 and next in subframe #5 of every other frame in 16 continuous frames. The starting frame for the first transmission of the SIB1-NB is derived from the cell PCID and the number of repetitions within the 2560 ms period and repetitions are made, equally spaced, within the 2560 ms period (see TS 36.213 [23]). TBS for *SystemInformationBlockType1-NB*, the repetitions made within the 2560 ms, and the subframe index (#0 or #4) are indicated by *schedulingInfoSIB1* field in the MIB-TDD-NB.

The SI messages are transmitted within periodically occurring time domain windows (referred to as SI-windows) using scheduling information provided in *SystemInformationBlockType1-NB*. Each SI message is associated with a SI-window and the SI-windows of different SI messages do not overlap. That is, within one SI-window only the corresponding SI is transmitted. The length of the SI-window is common for all SI messages, and is configurable.

Within the SI-window, the corresponding SI message can be transmitted a number of times over 2 or 8 consecutive NB-IoT downlink subframes depending on TBS. The UE acquires the detailed time/frequency domain scheduling information and other information, e.g. used transport format for the SI messages from *schedulingInfoList* field in *SystemInformationBlockType1-NB*. The UE is not required to accumulate several SI messages in parallel but may need to accumulate a SI message across multiple SI windows, depending on coverage condition.

*SystemInformationBlockType1-NB* configures the SI-window length and the transmission periodicity for all SI messages.

### 5.2.1.3 System information validity and notification of changes

Change of system information (other than for ETWS, CMAS and EAB parameters and other than for AB parameters for NB-IoT) only occurs at specific radio frames, i.e. the concept of a modification period is used. System information may be transmitted a number of times with the same content within a modification period, as defined by its scheduling. The modification period boundaries are defined by SFN values for which  $\text{SFN mod } m = 0$ , where  $m$  is the number of radio frames comprising the modification period. The modification period is configured by system information. If H-SFN is provided in *SystemInformationBlockType1-BR*, modification period boundaries for BL UEs and UEs in CE are defined by SFN values for which  $(\text{H-SFN} * 1024 + \text{SFN}) \text{ mod } m = 0$ . For NB-IoT, H-SFN is always provided and the modification period boundaries are defined by SFN values for which  $(\text{H-SFN} * 1024 + \text{SFN}) \text{ mod } m = 0$ .

To enable system information update notification for RRC\_IDLE UEs configured to use a DRX cycle longer than the modification period, an eDRX acquisition period is defined. The boundaries of the eDRX acquisition period are determined by H-SFN values for which  $\text{H-SFN mod } 256 = 0$ . For NB-IoT, the boundaries of the eDRX acquisition period are determined by H-SFN values for which  $\text{H-SFN mod } 1024 = 0$ .

NOTE 1: If the UE in RRC\_IDLE is configured to use extended DRX cycle, e.g., in the order of several minutes or longer, in case the eNB is reset the UE SFN may not be synchronized to the new eNB SFN. The UE is expected to recover, e.g., acquire MIB within a reasonable time, to avoid repeated paging failures.

When the network changes (some of the) system information, it first notifies the UEs about this change, i.e. this may be done throughout a modification period. In the next modification period, the network transmits the updated system information. During a modification period where ETWS or CMAS transmission is started or stopped, the SI messages carrying the SIBs scheduled in *schedulingInfoListExt* and/or SI messages carrying the posSIBs scheduled in *posSchedulingInfoList* may change, so the UE might not be able to successfully receive those SIBs and/or posSIBs in the remainder of the current modification period and next modification period according to the scheduling information received prior to the change. These general principles are illustrated in figure 5.2.1.3-1, in which different colours indicate different system information. Upon receiving a change notification, the UE not configured to use a DRX cycle that is longer than the modification period acquires the new system information immediately from the start of the next modification period. Upon receiving a change notification applicable to eDRX, a UE in RRC\_IDLE configured to use a DRX cycle that is longer than the modification period acquires the updated system information immediately from the start of the next eDRX acquisition period. The UE applies the previously acquired system information until the UE acquires the new system information. The possible boundaries of modification for *SystemInformationBlockType1-BR* are defined by SFN values for which  $\text{SFN mod } 512 = 0$  except for notification of ETWS/CMAS for which the eNB may change *SystemInformationBlockType1-BR* content at any time. For NB-IoT, the possible boundaries of modification for *SystemInformationBlockType1-NB* are defined by SFN values for which  $(\text{H-SFN} * 1024 + \text{SFN}) \text{ mod } 4096 = 0$ .

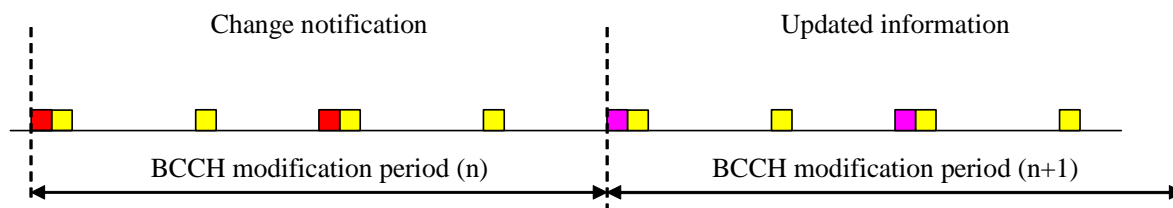


Figure 5.2.1.3-1: Change of system Information

The *Paging* message is used to inform UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about a system information change. If the UE is in RRC\_CONNECTED or is not configured to use a DRX cycle longer than the modification period in RRC\_IDLE, and receives a *Paging* message including the *systemInfoModification*, it knows that the system information will change at the next modification period boundary. A UE in RRC\_IDLE that is configured to use a DRX cycle longer than the modification period, and receives in an eDRX acquisition period at least one *Paging* message including the *systemInfoModification-eDRX*, shall acquire the updated system information at the next eDRX acquisition period boundary. Although the UE may be informed about changes in system information, no further details are provided e.g. regarding which system information will change, except if *systemInfoValueTagSI* is received by BL UEs or UEs in CE.

In RRC\_CONNECTED, BL UEs or UEs in CE or NB-IoT UEs are not required to acquire system information except when T311 is running or upon handover where the UE is only required to acquire the *MasterInformationBlock* in the target PCell. In RRC\_IDLE, E-UTRAN may notify BL UEs or UEs in CE or NB-IoT UEs about SI update, and except for NB-IoT, ETWS and CMAS notification and EAB modification, using Direct Indication information, as specified in 6.6 (or 6.7.5 in NB-IoT) and TS 36.212 [22].

NOTE 2: Upon system information change essential for BL UEs, UEs in CE, or NB-IoT UEs in RRC\_CONNECTED, E-UTRAN may initiate connection release.

*SystemInformationBlockType1* (or *MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB* in NB-IoT) includes a value tag *systemInfoValueTag*, that indicates if a change has occurred in the SI messages. UEs may use *systemInfoValueTag*, e.g. upon return from out of coverage, to verify if the previously stored SI messages are still valid. *MasterInformationBlock* (using *systemInfoUnchanged-BR*) and RSS (if transmitted) may indicate that a change has not occurred in the SIB1-BR and SI messages of the current cell at least over the SI validity time, and the BL UEs or UEs in CE may use *systemInfoUnchanged-BR* or RSS, e.g. upon return from out of coverage, to verify if the previously stored SIB1-BR and SI messages are still valid. Additionally, for other than BL UEs or UEs in CE or NB-IoT UEs, the UE considers stored system information to be invalid after 3 hours from the moment it was successfully confirmed as valid, unless specified otherwise. BL UE or UE in CE considers stored system information to be invalid after 24 hours from the moment it was successfully confirmed as valid, unless the UE is configured by parameter *si-ValidityTime* to consider stored system information to be invalid 3 hours after validity confirmation. NB-IoT UE considers stored system information to be invalid after 24 hours from the moment it was successfully confirmed as valid. If a BL UE, UE in CE or NB-IoT UE in RRC\_CONNECTED state considers the stored system information invalid, the UE shall continue using the stored system information while in RRC\_CONNECTED state in the serving cell.

For BL UEs or UEs in CE or NB-IoT UEs, the change of specific SI message can additionally be indicated by a SI message specific value tag *systemInfoValueTagSI*. If *systemInfoValueTag* included in the *SystemInformationBlockType1-BR* (or *MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB* in NB-IoT) is different from the one of the stored system information and if *systemInfoValueTagSI* is included in the *SystemInformationBlockType1-BR* (or *SystemInformationBlockType1-NB* in NB-IoT) for a specific SI message and is different from the stored one, the UE shall consider this specific SI message to be invalid. If only *systemInfoValueTag* is included and is different from the stored one, the BL UE or UE in CE should consider any stored system information except *SystemInformationBlockType10*, *SystemInformationBlockType11*, *SystemInformationBlockType12* and *SystemInformationBlockType14* to be invalid; the NB-IoT UE should consider any stored system information except *SystemInformationBlockType14-NB* to be invalid.

On MBMS-dedicated cell and on FeMBMS/Unicast-mixed cell, the change of system information and ETWS/CMAS notification is indicated by using Direct Indication FeMBMS defined in 6.6a. The modification periodicity follows MCCH modification periodicity as defined in 5.8.1.3.

E-UTRAN may not update *systemInfoValueTag* upon change of some system information e.g. ETWS information, CMAS information, regularly changing parameters like time information (*SystemInformationBlockType8*, *SystemInformationBlockType16*, *hyperSFN-MSB* in *SystemInformationBlockType1-NB*), EAB and AB parameters, or positioning system information blocks. Similarly, E-UTRAN may not include the *systemInfoModification* within the *Paging* message upon change of some system information.

The UE that is not configured to use a DRX cycle longer than the modification period verifies that stored system information remains valid by either checking *systemInfoValueTag* in *SystemInformationBlockType1* (or *MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB* in NB-IoT) after the modification period boundary, or attempting to find the *systemInfoModification* indication at least *modificationPeriodCoeff* times during the modification period in case no paging is received, in every modification period. If no paging message is received by the UE during a modification period, the UE may assume that no change of system information will occur at the next modification period boundary. If UE in RRC\_CONNECTED, during a modification period, receives one paging message, it may deduce from the presence/ absence of *systemInfoModification* whether a change of system information other than ETWS information, CMAS information and EAB parameters will occur in the next modification period or not.

When the RRC\_IDLE UE is configured with a DRX cycle that is longer than the modification period, and at least one modification period boundary has passed since the UE last verified validity of stored system information, the UE verifies that stored system information remains valid by checking the *systemInfoValueTag* before establishing or resuming an RRC connection.

ETWS and/or CMAS capable UEs in RRC\_CONNECTED, other than BL UEs and UEs in CE, shall attempt to read paging at least once every *defaultPagingCycle* to check whether ETWS and/or CMAS notification is present or not.



#### 5.2.1.4 Indication of ETWS notification

ETWS primary notification and/ or ETWS secondary notification can occur at any point in time. The *Paging* message is used to inform ETWS capable UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about presence of an ETWS primary notification and/ or ETWS secondary notification. If the UE receives a *Paging* message including the *etws-Indication*, it shall start receiving the ETWS primary notification and/ or ETWS secondary notification according to *schedulingInfoList* contained in *SystemInformationBlockType1*. If the UE receives *Paging* message including the *etws-Indication* while it is acquiring ETWS notification(s), the UE shall continue acquiring ETWS notification(s) based on the previously acquired *schedulingInfoList* until it re-acquires *schedulingInfoList* in *SystemInformationBlockType1*.

NOTE: The UE is not required to periodically check *schedulingInfoList* contained in *SystemInformationBlockType1*, but *Paging* message including the *etws-Indication* triggers the UE to re-acquire *schedulingInfoList* contained in *SystemInformationBlockType1* for scheduling changes for *SystemInformationBlockType10* and *SystemInformationBlockType11*. The UE may or may not receive a *Paging* message including the *etws-Indication* and/ or *systemInfoModification* when ETWS is no longer scheduled.

ETWS primary notification is contained in *SystemInformationBlockType10* and ETWS secondary notification is contained in *SystemInformationBlockType11*. Segmentation can be applied for the delivery of a secondary notification. The segmentation is fixed for transmission of a given secondary notification within a cell (i.e. the same segment size for a given segment with the same *messageIdentifier*, *serialNumber* and *warningMessageSegmentNumber*). An ETWS secondary notification corresponds to a single *CB data* IE as defined according to TS 23.041 [37].

#### 5.2.1.5 Indication of CMAS notification

CMAS notification can occur at any point in time. The *Paging* message is used to inform CMAS capable UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about presence of one or more CMAS notifications. If the UE receives a *Paging* message including the *cmas-Indication*, it shall start receiving the CMAS notifications according to *schedulingInfoList* contained in *SystemInformationBlockType1*. If the UE receives *Paging* message including the *cmas-Indication* while it is acquiring CMAS notification(s), the UE shall continue acquiring CMAS notification(s) based on the previously acquired *schedulingInfoList* until it re-acquires *schedulingInfoList* in *SystemInformationBlockType1*.

NOTE: The UE is not required to periodically check *schedulingInfoList* contained in *SystemInformationBlockType1*, but *Paging* message including the *cmas-Indication* triggers the UE to re-acquire *schedulingInfoList* contained in *SystemInformationBlockType1* for scheduling changes for *SystemInformationBlockType12*. The UE may or may not receive a *Paging* message including the *cmas-Indication* and/ or *systemInfoModification* when *SystemInformationBlockType12* is no longer scheduled.

CMAS notification is contained in *SystemInformationBlockType12*. A CMAS notification corresponds to a single *CB data* IE as defined according to TS 23.041 [37]. A CMAS notification may optionally have associated warning area coordinates. Segmentation can be applied for the delivery of a CMAS notification and, if present, the associated warning area coordinates. The segmentation is fixed for transmission of a given CMAS notification and, if present, any associated warning area coordinates within a cell (i.e. the same segment size for a given segment with the same *messageIdentifier*, *serialNumber* and *warningMessageSegmentNumber*). E-UTRAN does not interleave transmissions of CMAS notifications, i.e. all segments of a given CMAS notification transmission are transmitted prior to those of another CMAS notification.

#### 5.2.1.6 Notification of EAB parameters change

Change of EAB parameters can occur at any point in time. The EAB parameters are contained in *SystemInformationBlockType14*. The *Paging* message is used to inform EAB capable UEs in RRC\_IDLE about a change of EAB parameters or that *SystemInformationBlockType14* is no longer scheduled. If the UE receives a *Paging* message including the *eab-ParamModification*, it shall acquire *SystemInformationBlockType14* according to *schedulingInfoList* contained in *SystemInformationBlockType1*. If the UE receives a *Paging* message including the *eab-ParamModification* while it is acquiring *SystemInformationBlockType14*, the UE shall continue acquiring *SystemInformationBlockType14* based on the previously acquired *schedulingInfoList* until it re-acquires *schedulingInfoList* in *SystemInformationBlockType1*.

NOTE: The EAB capable UE is not expected to periodically check *schedulingInfoList* contained in *SystemInformationBlockType1*.

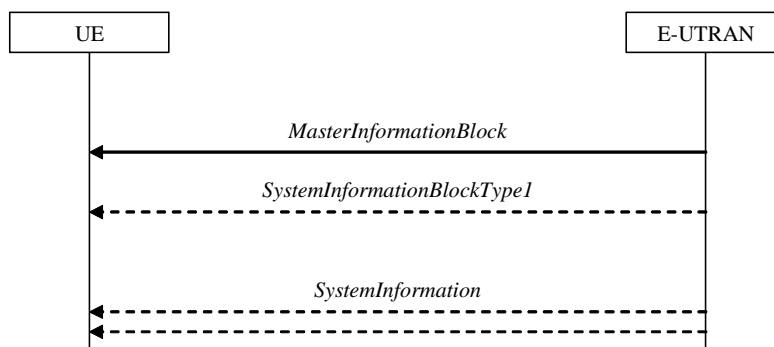
### 5.2.1.7 Access Barring parameters change in NB-IoT

Change of Access Barring (AB) parameters can occur at any point in time. The AB parameters are contained in *SystemInformationBlockType14-NB*. Update of the AB parameters does not impact the *systemInfoValueTag* in the *MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB* or the *systemInfoValueTagSI* in *SystemInformationBlockType1-NB*.

If *SystemInformationBlockType14-NB* is scheduled, a NB-IoT UE is required to acquire *MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB* before initiating RRC connection establishment / resume for all access causes except mobile terminating calls to check *ab-Enabled* indication. If access barring is enabled the UE shall not initiate the RRC connection establishment / resume for all access causes except mobile terminating calls until the UE has acquired the *SystemInformationBlockType14-NB*.

## 5.2.2 System information acquisition

### 5.2.2.1 General



**Figure 5.2.2.1-1: System information acquisition, normal**

The UE applies the system information acquisition procedure to acquire the AS- and NAS- and positioning-system information that is broadcasted by the E-UTRAN. The procedure applies to UEs in RRC\_IDLE and UEs in RRC\_CONNECTED.

For BL UE, UE in CE and NB-IoT UE, specific conditions apply, as specified below.

### 5.2.2.2 Initiation

The UE shall apply the system information acquisition procedure upon selecting (e.g. upon power on) and upon re-selecting a cell, after handover completion, after entering E-UTRA from another RAT, upon return from out of coverage, upon receiving a notification that the system information has changed, upon receiving an indication about the presence of an ETWS notification, upon receiving an indication about the presence of a CMAS notification, upon receiving a notification that the EAB parameters have changed, upon receiving a request from CDMA2000 upper layers, upon receiving a request from positioning upper layers and upon exceeding the maximum validity duration. Unless explicitly stated otherwise in the procedural specification, the system information acquisition procedure overwrites any stored system information, i.e. delta configuration is not applicable for system information and the UE discontinues using a field if it is absent in system information unless explicitly specified otherwise.

In RRC\_CONNECTED, BL UEs and UEs in CE are required to acquire system information when T311 is running or upon handover where the UE is only required to acquire the *MasterInformationBlock* in the target PCell.

**NOTE:** Upon handover, E-UTRAN provides system information required by the UE in RRC\_CONNECTED except MIB with RRC signalling, i.e. *systemInformationBlockType1Dedicated* and *mobilityControlInfo*.

### 5.2.2.3 System information required by the UE

The UE shall:

- 1> ensure having a valid version, as defined below, of (at least) the following system information, also referred to as the 'required' system information:

- 2> if in RRC\_IDLE:
  - 3> if the UE is a NB-IoT UE:
    - 4> the *MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB* and *SystemInformationBlockType1-NB* as well as *SystemInformationBlockType2-NB* through *SystemInformationBlockType5-NB, SystemInformationBlockType22-NB*;
  - 3> else:
    - 4> the *MasterInformationBlock* and *SystemInformationBlockType1* (or *SystemInformationBlockType1-BR* depending on whether the UE is a BL UE or the UE in CE) as well as *SystemInformationBlockType2* through *SystemInformationBlockType8* and *SystemInformationBlockType24* (depending on support of the concerned RATs), *SystemInformationBlockType17* (depending on support of RAN-assisted WLAN interworking when the UE is connected to EPC), *SystemInformationBlockType25* (depending on support of E-UTRA/5GC);
- 2> if in RRC\_INACTIVE:
  - 3> the *MasterInformationBlock* and *SystemInformationBlockType1* as well as *SystemInformationBlockType2* through *SystemInformationBlockType8* (depending on support of the concerned RATs), *SystemInformationBlockType25*;
- 2> if in RRC\_CONNECTED; and
  - 2> the UE is not a BL UE; and
  - 2> the UE is not in CE; and
  - 2> the UE is not a NB-IoT UE:
    - 3> the *MasterInformationBlock, SystemInformationBlockType1* and *SystemInformationBlockType2* as well as *SystemInformationBlockType8* (depending on support of CDMA2000), *SystemInformationBlockType17* (depending on support of RAN-assisted WLAN interworking when the UE is connected to EPC), *SystemInformationBlockType25* (depending on support of E-UTRA/5GC);
  - 2> if in RRC\_CONNECTED and T311 is running; and
  - 2> the UE is a BL UE or the UE is in CE or the UE is a NB-IoT UE;
    - 3> the *MasterInformationBlock* (or *MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB* in NB-IoT), *SystemInformationBlockType1-BR* (or *SystemInformationBlockType1-NB* in NB-IoT) and *SystemInformationBlockType2* (or *SystemInformationBlockType2-NB* in NB-IoT), and for NB-IoT *SystemInformationBlockType22-NB*;
- 1> delete any stored system information after 3 hours or 24 hours from the moment it was confirmed to be valid as defined in 5.2.1.3, unless specified otherwise;
- 1> consider any stored system information except *SystemInformationBlockType10, SystemInformationBlockType11, SystemInformationBlockType12* and *SystemInformationBlockType14* (*SystemInformationBlockType14-NB* in NB-IoT) to be invalid if *systemInfoValueTag* included in the *SystemInformationBlockType1* (*MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB* in NB-IoT) is different from the one of the stored system information and in case of NB-IoT UEs, BL UEs and UEs in CE, *systemInfoValueTagSI* is not broadcasted. Otherwise consider system information validity as defined in 5.2.1.3;

#### 5.2.2.4 System information acquisition by the UE

The UE shall:

- 1> apply the specified BCCH configuration defined in 9.1.1.1 or BR-BCCH configuration defined in 9.1.1.8;
- 1> if the procedure is triggered by a system information change notification:
  - 2> if the UE uses an idle DRX cycle longer than the modification period:

- 3> start acquiring the required system information, as defined in 5.2.2.3, from the next eDRX acquisition period boundary;
  - 2> else
    - 3> start acquiring the required system information, as defined in 5.2.2.3, from the beginning of the modification period following the one in which the change notification was received;
- NOTE 1: The UE continues using the previously received system information until the new system information has been acquired.
- 1> if the UE is in RRC\_IDLE and enters a cell for which the UE does not have stored a valid version of the system information required in RRC\_IDLE, as defined in 5.2.2.3:
    - 2> acquire, using the system information acquisition procedure as defined in 5.2.3, the system information required in RRC\_IDLE, as defined in 5.2.2.3;
  - 1> following successful handover completion to a PCell for which the UE does not have stored a valid version of the system information required in RRC\_CONNECTED, as defined in 5.2.2.3:
    - 2> acquire, using the system information acquisition procedure as defined in 5.2.3, the system information required in RRC\_CONNECTED, as defined in 5.2.2.3;
    - 2> upon acquiring the concerned system information:
      - 3> discard the corresponding radio resource configuration information included in the *radioResourceConfigCommon* previously received in a dedicated message, if any;
  - 1> following a request from CDMA2000 upper layers:
    - 2> acquire *SystemInformationBlockType8*, as defined in 5.2.3;
  - 1> neither initiate the RRC connection establishment/resume procedure nor initiate transmission of the *RRCConnectionReestablishmentRequest* message until the UE has a valid version of the *MasterInformationBlock* (*MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB* in NB-IoT) and *SystemInformationBlockType1* (*SystemInformationBlockType1-NB* in NB-IoT) messages as well as *SystemInformationBlockType2* (*SystemInformationBlockType2-NB* in NB-IoT), and for NB-IoT, *SystemInformationBlockType22-NB*;
  - 1> not initiate the RRC connection establishment/resume procedure subject to EAB until the UE has a valid version of *SystemInformationBlockType14*, if broadcast;
  - 1> if the UE is ETWS capable:
    - 2> upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
      - 3> discard any previously buffered *warningMessageSegment*;
      - 3> clear, if any, the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
    - 2> when the UE acquires *SystemInformationBlockType1* following ETWS indication, upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
      - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType10* is present:
        - 4> if the UE is in CE:
          - 5> start acquiring *SystemInformationBlockType10*;
        - 4> else
          - 5> start acquiring *SystemInformationBlockType10* immediately;
      - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType11* is present:
        - 4> start acquiring *SystemInformationBlockType11* immediately;

NOTE 2: UEs shall start acquiring *SystemInformationBlockType10* and *SystemInformationBlockType11* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.

1> if the UE is CMAS capable:

2> upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:

3> discard any previously buffered *warningMessageSegment*;

3> clear, if any, stored values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType12* associated with the discarded *warningMessageSegment*;

2> when the UE acquires *SystemInformationBlockType1* following CMAS indication, upon entering a cell during RRC\_IDLE, following successful handover and upon connection re-establishment:

3> if *schedulingInfoList* indicates that *SystemInformationBlockType12* is present:

4> acquire *SystemInformationBlockType12*;

NOTE 3: UEs shall start acquiring *SystemInformationBlockType12* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.

1> if the UE is interested to receive MBMS services:

2> if the UE is capable of MBMS reception as specified in 5.8:

3> if *schedulingInfoList* indicates that *SystemInformationBlockType13* is present and the UE does not have stored a valid version of this system information block:

4> acquire *SystemInformationBlockType13*;

3> else if *SystemInformationBlockType13* is present in *SystemInformationBlockType1-MBMS* and the UE does not have stored a valid version of this system information block:

4> acquire *SystemInformationBlockType13* from *SystemInformationBlockType1-MBMS*;

2> if the UE is capable of SC-PTM reception as specified in 5.8a:

3> if *schedulingInfoList* indicates that *SystemInformationBlockType20* (*SystemInformationBlockType20-NB* in NB-IoT) is present and the UE does not have stored a valid version of this system information block:

4> acquire *SystemInformationBlockType20* (*SystemInformationBlockType20-NB* in NB-IoT);

2> if the UE is capable of MBMS Service Continuity:

3> if *schedulingInfoList* indicates that *SystemInformationBlockType15* (*SystemInformationBlockType15-NB* in NB-IoT) is present and the UE does not have stored a valid version of this system information block:

4> acquire *SystemInformationBlockType15* (*SystemInformationBlockType15-NB* in NB-IoT);

1> if the UE is EAB capable:

2> when the UE does not have stored a valid version of *SystemInformationBlockType14* upon entering RRC\_IDLE, or when the UE acquires *SystemInformationBlockType1* following EAB parameters change notification, or upon entering a cell during RRC\_IDLE, or before establishing an RRC connection if using eDRX with DRX cycle longer than the modification period:

3> if *schedulingInfoList* indicates that *SystemInformationBlockType14* is present:

4> start acquiring *SystemInformationBlockType14* immediately;

3> else:

4> discard *SystemInformationBlockType14*, if previously received;

NOTE 4: EAB capable UEs start acquiring *SystemInformationBlockType14* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.

NOTE 5: EAB capable UEs maintain an up to date *SystemInformationBlockType14* in RRC\_IDLE.

- 1> if the UE is capable of sidelink communication and is configured by upper layers to receive or transmit sidelink communication:
  - 2> if the cell used for sidelink communication meets the S-criteria as defined in TS 36.304 [4]; and
  - 2> if *schedulingInfoList* indicates that *SystemInformationBlockType18* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire *SystemInformationBlockType18*;
- 1> if the UE is capable of sidelink discovery and is configured by upper layers to receive or transmit sidelink discovery announcements on the primary frequency:
  - 2> if *schedulingInfoList* of the serving cell/ PCell indicates that *SystemInformationBlockType19* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire *SystemInformationBlockType19*;
- 1> if the UE is capable of sidelink discovery and, for each of the one or more frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19* and for which the UE is configured by upper layers to receive sidelink discovery announcements on:
  - 2> if *SystemInformationBlockType19* of the serving cell/ PCell does not provide the corresponding reception resources; and
  - 2> if *schedulingInfoList* of the cell on the concerned frequency indicates that *SystemInformationBlockType19* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire *SystemInformationBlockType19*;
- 1> if the UE is capable of sidelink discovery and, for each of the one or more frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19* and for which the UE is configured by upper layers to transmit sidelink discovery announcements on:
  - 2> if *SystemInformationBlockType19* of the serving cell/ PCell includes *discTxResourcesInterFreq* which is set to *acquireSI-FromCarrier*; and
  - 2> if *schedulingInfoList* of the cell on the concerned frequency indicates that *SystemInformationBlockType19* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire *SystemInformationBlockType19*;
- 1> if the UE is a NB-IoT UE and if *ab-Enabled* included in *MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB* is set to *TRUE*:
  - 2> not initiate the RRC connection establishment/resume procedure for all access causes except mobile terminating calls until the UE has acquired the *SystemInformationBlockType14-NB*;
- 1> if the UE is capable of V2X sidelink communication and is configured by upper layers to receive or transmit V2X sidelink communication on a frequency:
  - 2> if *schedulingInfoList* on the serving cell/PCell indicates that *SystemInformationBlockType21* is present and the UE does not have stored valid version of this system information block:
    - 3> acquire *SystemInformationBlockType21* from serving cell/PCell;
  - 2> if *schedulingInfoList* on the serving cell/PCell indicates that *SystemInformationBlockType26* is present and the UE does not have stored valid version of this system information block;
    - 3> acquire *SystemInformationBlockType26* from serving cell/PCell;
- 1> if the UE is capable of V2X sidelink communication and is configured by upper layers to receive V2X sidelink communication on a frequency, which is not primary frequency:

- 2> if neither *SystemInformationBlockType21* nor *SystemInformationBlockType26* of the serving cell/ PCell provide reception resource pool for V2X sidelink communication for the concerned frequency; and
- 2> if the cell used for V2X sidelink communication on the concerned frequency meets the S-criteria as defined in TS 36.304 [4]:
  - 3> if *schedulingInfoList* on the concerned frequency indicates that *SystemInformationBlockType21* is present and the UE does not have stored a valid version of this system information block:
    - 4> acquire *SystemInformationBlockType21* from the concerned frequency;
  - 3> if *schedulingInfoList* on the concerned frequency indicates that *SystemInformationBlockType26* is present and the UE does not have stored a valid version of this system information block:
    - 4> acquire *SystemInformationBlockType26* from the concerned frequency;
- 1> if the UE is capable of V2X sidelink communication and is configured by upper layers to transmit V2X sidelink communication on a frequency, which is not primary frequency and is not included in *v2x-InterFreqInfoList* in *SystemInformationBlockType21* nor *SystemInformationBlockType26* of the serving cell/PCell:
  - 2> if the cell used for V2X sidelink communication on the concerned frequency meets the S-criteria as defined in TS 36.304 [4]:
    - 3> if *schedulingInfoList* on the concerned frequency indicates that *SystemInformationBlockType21* is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire *SystemInformationBlockType21* from the concerned frequency;
    - 3> if *schedulingInfoList* on the concerned frequency indicates that *SystemInformationBlockType26* is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire *SystemInformationBlockType26* from the concerned frequency;
- 1> if the NB-IoT UE supports NPRACH resources using preamble format 2:
  - 2> if *schedulingInfoList* indicates that *SystemInformationBlockType23-NB* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire *SystemInformationBlockType23-NB*;
- 1> following a request from positioning upper layers:
  - 2> acquire *SystemInformationBlockPos*, as defined in 5.2.3;

The UE may apply the received SIBs or posSIBs immediately, i.e. the UE does not need to delay using a SIB or posSIB until all SI messages have been received. The UE may delay applying the received SIBs until completing lower layer procedures associated with a received or a UE originated RRC message, e.g. an ongoing random access procedure.

NOTE 6: While attempting to acquire a particular SIB/posSIB, if the UE detects from *schedulingInfoList/posSchedulingInfoList* that it is no longer present, the UE should stop trying to acquire the particular SIB/posSIB.

### 5.2.2.5 Essential system information missing

The UE shall:

- 1> if in RRC\_IDLE, RRC\_INACTIVE or in RRC\_CONNECTED while T311 is running:
  - 2> if the UE is unable to acquire the *MasterInformationBlock (MasterInformationBlock-NB/MasterInformationBlock-TDD-NB* in NB-IoT); or
  - 2> if the UE is neither a BL UE nor in CE nor in NB-IoT and the UE is unable to acquire the *SystemInformationBlockType1*; or
  - 2> if the BL UE or UE in CE is unable to acquire *SystemInformationBlockType1-BR* or *SystemInformationBlockType1-BR* is not scheduled; or

- 2> if the NB-IoT UE is unable to acquire the *SystemInformationBlockType1-NB*:
  - 3> consider the cell as barred in accordance with TS 36.304 [4]; and
  - 3> perform barring as if *intraFreqReselection* is set to *allowed*, and as if the *csg-Indication* is set to *FALSE*;
- 2> else:
  - 3> if the UE is unable to acquire the *SystemInformationBlockType2* (or *SystemInformationBlockType2-NB* in NB-IoT) and for NB-IoT, *SystemInformationBlockType22-NB* if scheduled; or
  - 3> if *SystemInformationBlockType25* is broadcast and if the UE is connected to 5GC and is unable to acquire the *SystemInformationBlockType25*:
    - 4> treat the cell as barred in accordance with TS 36.304 [4];

### 5.2.2.6 Actions upon reception of the *MasterInformationBlock* message

Upon receiving the *MasterInformationBlock* message the UE shall:

- 1> apply the radio resource configuration included in the *phich-Config*;
- 1> if the UE is in RRC\_IDLE or if the UE is in RRC\_CONNECTED while T311 is running:
  - 2> if the UE has no valid system information stored according to 5.2.2.3 for the concerned cell:
    - 3> apply the received value of *dl-Bandwidth* to the *ul-Bandwidth* until *SystemInformationBlockType2* is received;

Upon receiving the *MasterInformationBlock-NB* or *MasterInformationBlock-TDD-NB* message the UE shall:

- 1> apply the radio resource configuration included in accordance with the *operationModeInfo*.

No UE requirements related to the contents of *MasterInformationBlock-MBMS* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.7 Actions upon reception of the *SystemInformationBlockType1* message

Upon receiving the *SystemInformationBlockType1* or *SystemInformationBlockType1-BR* either via broadcast or via dedicated signalling, the UE shall:

- 1> if the upper layers indicate the selected core network type as 5GC:
  - 2> if the *cellAccessRelatedInfoList-5GC* contains an entry with the *plmn-Identity* or *plmn-Index* of the selected PLMN:
    - 3> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding *cellAccessRelatedInfoList-5GC* containing the selected PLMN;
  - 1> else if the *cellAccessRelatedInfoList* contains an entry with the *PLMN-Identity* of the selected PLMN:
    - 2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding *cellAccessRelatedInfoList* containing the selected PLMN;
- 1> if in RRC\_IDLE or in RRC\_CONNECTED while T311 is running; and
- 1> if the UE is a category 0 UE according to TS 36.306 [5]; and
- 1> if *category0Allowed* is not included in *SystemInformationBlockType1*:
  - 2> consider the cell as barred in accordance with TS 36.304 [4];
- 1> if in RRC\_CONNECTED while T311 is not running, and the UE supports multi-band cells as defined by bit 31 in *featureGroupIndicators*:



- 2> disregard the *freqBandIndicator* and *multiBandInfoList*, if received, while in RRC\_CONNECTED;
- 2> forward the *cellIdentity* to upper layers;
- 2> forward the *trackingAreaCode* to upper layers;
- 1> else:
  - 2> if the frequency band indicated in the *freqBandIndicator* is part of the frequency bands supported by the UE and it is not a downlink only band; or
  - 2> if the UE supports *multiBandInfoList*, and if one or more of the frequency bands indicated in the *multiBandInfoList* are part of the frequency bands supported by the UE and they are not downlink only bands:
    - 3> forward the *cellIdentity* to upper layers;
    - 3> forward the *trackingAreaCode* to upper layers;
    - 3> forward the PLMN identity to upper layers;
    - 3> if in RRC\_INACTIVE and the forwarded information does not trigger message transmission by upper layers:
      - 4> if the serving cell does not belong to the configured *ran-NotificationAreaInfo*:
        - 5> initiate an RNA update as specified in 5.3.17.2;
    - 3> forward the *ims-EmergencySupport* to upper layers, if present;
    - 3> forward the *eCallOverIMS-Support* to upper layers, if present;
    - 3> if the UE is capable of 5G NAS:
      - 4> forward the *ims-EmergencySupport5GC* to upper layers, if present;
      - 4> forward the *eCallOverIMS-Support5GC* to upper layers, if present;
    - 3> if, for the frequency band selected by the UE (from *freqBandIndicator* or *multiBandInfoList*), the *freqBandInfo* or the *multiBandInfoList-v10j0* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo* or *multiBandInfoList-v10j0*:
      - 4> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList-v10j0*;
      - 4> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
        - 5> apply the *additionalPmax*;
      - 4> else:
        - 5> apply the *p-Max*;
    - 3> else:
      - 4> apply the *additionalSpectrumEmission* in *SystemInformationBlockType2* and the *p-Max*;
- 2> else:
  - 3> consider the cell as barred in accordance with TS 36.304 [4]; and
  - 3> perform barring as if *intraFreqReselection* is set to *notAllowed*, and as if the *csg-Indication* is set to *FALSE*;

Upon receiving the *SystemInformationBlockType1-NB*, the UE shall:

- 1> if the frequency band indicated in the *freqBandIndicator* is part of the frequency bands supported by the UE; or
- 1> if one or more of the frequency bands indicated in the *multiBandInfoList* are part of the frequency bands supported by the UE:
  - 2> forward the *cellIdentity* to upper layers;
  - 2> forward the *trackingAreaCode* to upper layers;
  - 2> if *attachWithoutPDN-Connectivity* is received for the selected PLMN:
    - 3> forward the *attachWithoutPDN-Connectivity* to upper layers;
  - 2> else
    - 3> indicate to upper layers that *attachWithoutPDN-Connectivity* is not present;
  - 2> if, for the frequency band selected by the UE (from *freqBandIndicator* or *multiBandInfoList*), the *freqBandInfo* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo*:
    - 3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo*;
    - 3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
      - 4> apply the *additionalPmax*;
    - 3> else:
      - 4> apply the *p-Max*;
  - 2> else:
    - 3> apply the *additionalSpectrumEmission* in *SystemInformationBlockType2-NB* and the *p-Max*;
- 1> else:
  - 2> consider the cell as barred in accordance with TS 36.304 [4]; and
  - 2> perform barring as if *intraFreqReselection* is set to *notAllowed*.

No UE requirements related to the contents of *SystemInformationBlockType1-MBMS* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.8 Actions upon reception of *SystemInformation* messages

No UE requirements related to the contents of the *SystemInformation* messages apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.9 Actions upon reception of *SystemInformationBlockType2*

Upon receiving *SystemInformationBlockType2*, the UE shall:

- 1> apply the configuration included in the *radioResourceConfigCommon*;
- 1> if in RRC\_INACTIVE:
  - 2> apply the shortest of the *ran-PagingCycle* (if configured), the (UE specific) paging cycle (if indicated by upper layers), and the *defaultPagingCycle* included in the *radioResourceConfigCommon*;
- 1> else if upper layers indicate that a (UE specific) paging cycle is configured:

2> apply the shortest of the (UE specific) paging cycle and the *defaultPagingCycle* included in the *radioResourceConfigCommon*;

1> if the *mbsfn-SubframeConfigList* is included:

2> consider that DL assignments may occur in the MBSFN subframes indicated in the *mbsfn-SubframeConfigList* under the conditions specified in TS 36.213 [23], clause 7.1;

1> apply the specified PCCH configuration defined in 9.1.1.3;

1> not apply the *timeAlignmentTimerCommon*;

1> if in RRC\_CONNECTED and UE is configured with RLF timers and constants values received within *rlf-TimersAndConstants*:

2> not update its values of the timers and constants in *ue-TimersAndConstants* except for the value of timer T300;

1> if in RRC\_CONNECTED while T311 is not running; and the UE supports multi-band cells as defined by bit 31 in *featureGroupIndicators* or *multipleNS-Pmax*:

2> disregard the *additionalSpectrumEmission* and *ul-CarrierFreq*, if received, while in RRC\_CONNECTED;

1> if *attachWithoutPDN-Connectivity* is received for the selected PLMN:

2> forward *attachWithoutPDN-Connectivity* to upper layers;

1> else:

2> indicate to upper layers that *attachWithoutPDN-Connectivity* is not present;

1> if *cp-CIoT-EPS-Optimisation* is received for the selected PLMN:

2> forward *cp-CIoT-EPS-Optimisation* to upper layers;

1> else:

2> indicate to upper layers that *cp-CIoT-EPS-Optimisation* is not present;

1> if *up-CIoT-EPS-Optimisation* is received for the selected PLMN:

2> forward *up-CIoT-EPS-Optimisation* to upper layers;

1> else:

2> indicate to upper layers that *up-CIoT-EPS-Optimisation* is not present;

1> to upper layers either forward *upperLayerIndication*, if present for the selected PLMN, or otherwise indicate absence of this field;

NOTE: *upperLayerIndication* is an indication to upper layers that the UE has entered a coverage area that offers 5G capabilities.

Upon receiving *SystemInformationBlockType2-NB*, the UE shall:

1> apply the configuration included in the *radioResourceConfigCommon*;

1> apply the *defaultPagingCycle* included in the *radioResourceConfigCommon*;

1> if *SystemInformationBlockType22-NB* is scheduled:

2> read and act on information sent in *SystemInformationBlockType22-NB*;

1> apply the specified PCCH configuration defined in 9.1.1.3.

1> if in RRC\_CONNECTED and UE is configured with RLF timers and constants values received within *rlf-TimersAndConstants*:

- 2> not update its values of the timers and constants in *ue-TimersAndConstants* except for the value of timer T300;

### 5.2.2.10 Actions upon reception of *SystemInformationBlockType3*

Upon receiving *SystemInformationBlockType3*, the UE shall:

- 1> if in RRC\_IDLE, the *redistributionServingInfo* is included and the UE is redistribution capable:
  - 2> perform E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10;
- 1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:
  - 2> if, for the frequency band selected by the UE (from the procedure in clause 5.2.2.7) to represent the serving cell's carrier frequency, the *freqBandInfo* or the *multiBandInfoList-v10j0* is present in *SystemInformationBlockType3* and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo* or *multiBandInfoList-v10j0*:
    - 3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList-v10j0*;
    - 3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
      - 4> apply the *additionalPmax*;
    - 3> else:
      - 4> apply the *p-Max*;
  - 2> else:
    - 3> apply the *p-Max*;

Upon receiving *SystemInformationBlockType3-NB*, the UE shall:

- 1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:
  - 2> if, for the frequency band selected by the UE (from the procedure in clause 5.2.2.7) to represent the serving cell's carrier frequency, the *freqBandInfo* or the *multiBandInfoList* is present in *SystemInformationBlockType3-NB* and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo* or the *multiBandInfoList*:
    - 3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList*;
    - 3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
      - 4> apply the *additionalPmax*;
    - 3> else:
      - 4> apply the *p-Max*;
  - 2> else:
    - 3> apply the *p-Max*;

### 5.2.2.11 Actions upon reception of *SystemInformationBlockType4*

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType4* or *SystemInformationBlockType4-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.12 Actions upon reception of *SystemInformationBlockType5*

Upon receiving *SystemInformationBlockType5*, the UE shall:

- 1> if in RRC\_IDLE, the *redistributionInterFreqInfo* is included and the UE is redistribution capable:
  - 2> perform E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10;
- 1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:
  - 2> if the frequency band selected by the UE to represent a non-serving E UTRA carrier frequency is not a downlink only band:
    - 3> if, for the selected frequency band, the *freqBandInfo* or the *multiBandInfoList-v10j0* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList-v10j0*:
      - 4> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList-v10j0*;
      - 4> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
        - 5> apply the *additionalPmax*;
      - 4> else:
        - 5> apply the *p-Max*;
    - 3> else:
      - 4> apply the *p-Max*;
- 1> if in RRC\_IDLE or RRC\_INACTIVE and UE has stored *VarMeasIdleConfig* and the UE is capable of IDLE mode measurements for CA:
  - 2> if T331 is running and *VarMeasIdleConfig* does not contain *measIdleCarrierListEUTRA* received from the *RRCConnectionRelease* message:
    - 3> if SIB5 includes the *measIdleConfigSIB*:
      - 4> store or replace the *measIdleCarrierListEUTRA* of *measIdleConfigSIB* within *VarMeasIdleConfig*;
    - 3> else:
      - 4> remove the *measIdleCarrierListEUTRA* in *VarMeasIdleConfig*, if stored;
  - 2> perform idle mode measurements as specified in 5.6.20;

Upon receiving *SystemInformationBlockType5-NB*, the UE shall:

- 1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:
  - 2> if, for the frequency band selected by the UE (from *multiBandInfoList*) to represent a non-serving NB-IoT carrier frequency, the *freqBandInfo* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo*:
    - 3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo*;
    - 3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
      - 4> apply the *additionalPmax*;
  - 3> else:
    - 4> apply the *p-Max*;

- 2> else:
- 3> apply the *p-Max*;

### 5.2.2.13 Actions upon reception of *SystemInformationBlockType6*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.14 Actions upon reception of *SystemInformationBlockType7*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.15 Actions upon reception of *SystemInformationBlockType8*

Upon receiving *SystemInformationBlockType8*, the UE shall:

- 1> if *sib8-PerPLMN-List* is included and the UE is capable of network sharing for CDMA2000:
  - 2> apply the CDMA2000 parameters below corresponding to the RPLMN;
- 1> if the *systemTimeInfo* is included:
  - 2> forward the *systemTimeInfo* to CDMA2000 upper layers;
- 1> if the UE is in RRC\_IDLE and if *searchWindowSize* is included:
  - 2> forward the *searchWindowSize* to CDMA2000 upper layers;
- 1> if *parametersHRPD* is included:
  - 2> forward the *preRegistrationInfoHRPD* to CDMA2000 upper layers only if the UE has not received the *preRegistrationInfoHRPD* within an *RRCConnectionReconfiguration* message after entering this cell;
  - 2> if the *cellReselectionParametersHRPD* is included:
    - 3> forward the *neighCellList* to the CDMA2000 upper layers;
- 1> if the *parametersIXRTT* is included:
  - 2> if the *csfb-RegistrationParamIXRTT* is included:
    - 3> forward the *csfb-RegistrationParamIXRTT* to the CDMA2000 upper layers which will use this information to determine if a CS registration/re-registration towards CDMA2000 1xRTT in the EUTRA cell is required;
  - 2> else:
    - 3> indicate to CDMA2000 upper layers that CSFB Registration to CDMA2000 1xRTT is not allowed;
  - 2> if the *longCodeStateIXRTT* is included:
    - 3> forward the *longCodeStateIXRTT* to CDMA2000 upper layers;
  - 2> if the *cellReselectionParametersIXRTT* is included:
    - 3> forward the *neighCellList* to the CDMA2000 upper layers;
  - 2> if the *csfb-SupportForDualRxUEs* is included:
    - 3> forward *csfb-SupportForDualRxUEs* to the CDMA2000 upper layers;
  - 2> else:
    - 3> forward *csfb-SupportForDualRxUEs*, with its value set to *FALSE*, to the CDMA2000 upper layers;

- 2> if *ac-BarringConfigIXRTT* is included:
  - 3> forward *ac-BarringConfigIXRTT* to the CDMA2000 upper layers;
- 2> if the *csfb-DualRxTxSupport* is included:
  - 3> forward *csfb-DualRxTxSupport* to the CDMA2000 upper layers;
- 2> else:
  - 3> forward *csfb-DualRxTxSupport*, with its value set to *FALSE*, to the CDMA2000 upper layers;

#### 5.2.2.16 Actions upon reception of *SystemInformationBlockType9*

Upon receiving *SystemInformationBlockType9*, the UE shall:

- 1> if *hnb-Name* is included, forward the *hnb-Name* to upper layers;

#### 5.2.2.17 Actions upon reception of *SystemInformationBlockType10*

Upon receiving *SystemInformationBlockType10*, the UE shall:

- 1> forward the received *warningType*, *messageIdentifier* and *serialNumber* to upper layers;

#### 5.2.2.18 Actions upon reception of *SystemInformationBlockType11*

Upon receiving *SystemInformationBlockType11*, the UE shall:

- 1> if there is no current value for *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*; or
- 1> if either the received value of *messageIdentifier* or of *serialNumber* or of both are different from the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*:
  - 2> use the received values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11* as the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
  - 2> discard any previously buffered *warningMessageSegment*;
  - 2> if all segments of a warning message have been received:
    - 3> assemble the warning message from the received *warningMessageSegment*;
    - 3> forward the received warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
    - 3> stop reception of *SystemInformationBlockType11*;
    - 3> discard the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
  - 2> else:
    - 3> store the received *warningMessageSegment*;
    - 3> continue reception of *SystemInformationBlockType11*;
- 1> else if all segments of a warning message have been received:
  - 2> assemble the warning message from the received *warningMessageSegment*;
  - 2> forward the received complete warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
  - 2> stop reception of *SystemInformationBlockType11*;
  - 2> discard the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
- 1> else:

- 2> store the received *warningMessageSegment*;
- 2> continue reception of *SystemInformationBlockType11*;

The UE should discard any stored *warningMessageSegment* and the current value of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11* if the complete warning message has not been assembled within a period of 3 hours.

### 5.2.2.19 Actions upon reception of *SystemInformationBlockType12*

Upon receiving *SystemInformationBlockType12*, the UE shall:

- 1> if the *SystemInformationBlockType12* contains a complete warning message and the complete geographical area coordinates (if any):
  - 2> forward the received warning message, *messageIdentifier*, *serialNumber*, *dataCodingScheme* and the geographical area coordinates (if any) to upper layers;
  - 2> continue reception of *SystemInformationBlockType12*;

1> else:

- 2> if the received values of *messageIdentifier* and *serialNumber* are the same (each value is the same) as a pair for which a warning message and the geographical area coordinates (if any) are currently being assembled:
  - 3> store the received *warningMessageSegment*;
  - 3> store the received *warningAreaCoordinatesSegment* (if any);
  - 3> if all segments of a warning message and geographical area coordinates (if any) have been received:
    - 4> assemble the warning message from the received *warningMessageSegment*;
    - 4> assemble the geographical area coordinates from the received *warningAreaCoordinatesSegment* (if any);
    - 4> forward the received warning message, *messageIdentifier*, *serialNumber*, *dataCodingScheme* and geographical area coordinates (if any) to upper layers;
    - 4> stop assembling a warning message and warning area coordinates (if any) for this *messageIdentifier* and *serialNumber* and delete all stored information held for it;
  - 3> continue reception of *SystemInformationBlockType12*;
- 2> else if the received values of *messageIdentifier* and/or *serialNumber* are not the same as any of the pairs for which a warning message is currently being assembled:
  - 3> start assembling a warning message for this *messageIdentifier* and *serialNumber* pair;
  - 3> start assembling the geographical area coordinates (if any) for this *messageIdentifier* and *serialNumber* pair;
  - 3> store the received *warningMessageSegment*;
  - 3> store the received *warningAreaCoordinatesSegment* (if any);
  - 3> continue reception of *SystemInformationBlockType12*;

The UE should discard *warningMessageSegment* and *warningAreaCoordinatesSegment* (if any) and the associated values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType12* if the complete warning message and the warning area coordinates (if any) have not been assembled within a period of 3 hours.

NOTE: The number of warning messages that a UE can re-assemble simultaneously is a function of UE implementation.



#### 5.2.2.20 Actions upon reception of *SystemInformationBlockType13*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.21 Actions upon reception of *SystemInformationBlockType14*

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType14* or *SystemInformationBlockType14-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.22 Actions upon reception of *SystemInformationBlockType15*

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType15* or *SystemInformationBlockType15-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.23 Actions upon reception of *SystemInformationBlockType16*

Upon receiving *SystemInformationBlockType16* with *timeReferenceInfo*, the UE may perform the related actions as specified in clause 5.6.1.3.

#### 5.2.2.24 Actions upon reception of *SystemInformationBlockType17*

Upon receiving *SystemInformationBlockType17*, the UE shall:

- 1> if *wlan-OffloadConfigCommon* corresponding to the RPLMN is included:
  - 2> if the UE is not configured with *rclwi-Configuration* with *command* set to *steerToWLAN*:
    - 3> apply the *wlan-Id-List* corresponding to the RPLMN;
  - 2> if not configured with the *wlan-OffloadConfigDedicated*:
    - 3> apply the *wlan-OffloadConfigCommon* corresponding to the RPLMN;

#### 5.2.2.25 Actions upon reception of *SystemInformationBlockType18*

Upon receiving *SystemInformationBlockType18*, the UE shall:

- 1> if *SystemInformationBlockType18* message includes the *commConfig*:
  - 2> if configured to receive sidelink communication:
    - 3> from the next SC period, as defined by *sc-Period*, use the resource pool indicated by *commRxPool* for sidelink communication monitoring, as specified in 5.10.3;
  - 2> if configured to transmit sidelink communication:
    - 3> from the next SC period, as defined by *sc-Period*, use the resource pool indicated by *commTxPoolNormalCommon*, *commTxPoolNormalCommonExt* or by *commTxPoolExceptional* for sidelink communication transmission, as specified in 5.10.4;

#### 5.2.2.26 Actions upon reception of *SystemInformationBlockType19*

Upon receiving *SystemInformationBlockType19*, the UE shall:

- 1> if *SystemInformationBlockType19* message includes the *discConfig* or *discConfigPS*:
  - 2> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discRxPool*, *discRxResourcesInterFreq* or *discRxPoolPS* for sidelink discovery monitoring, as specified in 5.10.5;

- 2> if *SystemInformationBlockType19* message includes the *discTxPoolCommon* or *discTxPoolPS-Common*; and the UE is in RRC\_IDLE:
  - 3> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discTxPoolCommon* or *discTxPoolPS-Common* for sidelink discovery announcement, as specified in 5.10.6;
- 2> if the *SystemInformationBlockType19* message includes the *discTxPowerInfo*:
  - 3> use the power information included in *discTxPowerInfo* for sidelink discovery transmission on the serving frequency, as specified in TS 36.213 [23];
- 1> if *SystemInformationBlockType19* message includes the *discConfigRelay*:
  - 2> if the *SystemInformationBlockType19* message includes the *txPowerInfo*:
    - 3> use the power information included in *txPowerInfo* for sidelink discovery transmission on the corresponding non-serving frequency, as specified in TS 36.213 [23];

#### 5.2.2.27 Actions upon reception of *SystemInformationBlockType20*

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType20* or *SystemInformationBlockType20-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.28 Actions upon reception of *SystemInformationBlockType21*

Upon receiving *SystemInformationBlockType21*, the UE shall:

- 1> if *SystemInformationBlockType21* message includes *sl-V2X-ConfigCommon*:
  - 2> if configured to receive V2X sidelink communication:
    - 3> use the resource pool indicated by *v2x-CommRxPool* in *sl-V2X-ConfigCommon* for V2X sidelink communication monitoring, as specified in 5.10.12;
  - 2> if configured to transmit V2X sidelink communication:
    - 3> use the resource pool indicated by *v2x-CommTxPoolNormalCommon*, *p2x-CommTxPoolNormalCommon*, *v2x-CommTxPoolNormal*, *p2x-CommTxPoolNormal* or by *v2x-CommTxPoolExceptional* for V2X sidelink communication transmission, as specified in 5.10.13;
    - 3> perform CBR measurement on the transmission resource pool(s) indicated by *v2x-CommTxPoolNormalCommon*, *v2x-CommTxPoolNormal* and *v2x-CommTxPoolExceptional* for V2X sidelink communication transmission, as specified in 5.5.3;

#### 5.2.2.29 Actions upon reception of *SystemInformationBlockType22-NB*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.30 Actions upon reception of *SystemInformationBlockType23-NB*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.31 Actions upon reception of *SystemInformationBlockType24*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.32 Actions upon reception of *SystemInformationBlockType25*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.33 Actions upon reception of *SystemInformationBlockType26*

Upon receiving *SystemInformationBlockType26*, the UE shall:

1> if configured to receive V2X sidelink communication:

2> use the resource pool indicated by *v2x-CommRxPool* for V2X sidelink communication monitoring, as specified in 5.10.12;

1> if configured to transmit V2X sidelink communication:

2> use the resource pool indicated by *v2x-CommTxPoolNormal*, *p2x-CommTxPoolNormal* or by *v2x-CommTxPoolExceptional* for V2X sidelink communication transmission, as specified in 5.10.13;

2> perform CBR measurement on the transmission resource pool(s) indicated by *v2x-CommTxPoolNormal* and *v2x-CommTxPoolExceptional* for V2X sidelink communication transmission, as specified in 5.5.3;

### 5.2.2.34 Actions upon reception of *SystemInformationBlockPos*

No UE requirements related to the contents of the *SystemInformationBlockPos* apply other than those specified elsewhere e.g. within TS 36.355 [54], and/or within the corresponding field descriptions.

## 5.2.3 Acquisition of an SI message

When acquiring an SI message, the UE shall:

1> determine the start of the SI-window for the concerned SI message as follows:

2> if the concerned SI message is configured in the *schedulingInfoList*, *schedulingInfoListExt* (if present) or if the concerned SI message is configured in the *posSchedulingInfoList* and *si-posOffset* is not configured;

3> for the concerned SI message, determine the number  $n$  which corresponds to the order of entry in the concatenated list of SI messages configured by *schedulingInfoList*, *schedulingInfoListExt* (if present) and *posSchedulingInfoList* in *SystemInformationBlockType1*;

3> determine the integer value  $x = (n - 1) * w$ , where  $w$  is the *si-WindowLength*;

3> the SI-window starts at the subframe  $\#a$ , where  $a = x \bmod 10$ , in the radio frame for which  $\text{SFN} \bmod T = \text{FLOOR}(x/10)$ , where  $T$  is the *si-Periodicity* or the *posSI-Periodicity* of the concerned SI message;

2> else if the concerned SI message is configured by the *posSchedulingInfoList* and *si-posOffset* is configured determine the start of the SI-window for the concerned SI message as follows:

3> determine the number  $m$  which corresponds to the number of SI messages with an associated *si-Periodicity* of 8 radio frames (80 ms), configured by *schedulingInfoList* and *schedulingInfoListExt* (if present) in *SystemInformationBlockType1*;

3> for the concerned SI message, determine the number  $n$  which corresponds to the order of entry in the list of SI messages configured by *posSchedulingInfoList* in *SystemInformationBlockType1*;

3> determine the integer value  $x = m * w + (n - 1) * w$ , where  $w$  is the *si-WindowLength*

3> the SI-window starts at the subframe  $\#a$ , where  $a = x \bmod 10$ , in the radio frame for which  $\text{SFN} \bmod T = \text{FLOOR}(x/10) + 8$ , where  $T$  is the *posSI-Periodicity* of the concerned SI message;

NOTE: E-UTRAN should configure an SI-window of 1 ms only if all SIs are scheduled before subframe  $\#5$  in radio frames for which  $\text{SFN} \bmod 2 = 0$ .

- 1> receive DL-SCH using the SI-RNTI from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength*, or until the SI message was received, excluding the following subframes:
  - 2> subframe #5 in radio frames for which  $\text{SFN} \bmod 2 = 0$ ;
  - 2> any MBSFN subframes;
  - 2> any uplink subframes in TDD;
- 1> if the SI message was not received by the end of the SI-window, repeat reception at the next SI-window occasion for the concerned SI message;

### 5.2.3a Acquisition of an SI message by BL UE or UE in CE or a NB-IoT UE

When acquiring an SI message, the BL UE or UE in CE or NB-IoT UE shall:

- 1> determine the start of the SI-window for the concerned SI message as follows:
  - 2> if the concerned SI message is configured in the *schedulingInfoList*, *schedulingInfoListExt* (if present) or if the concerned SI message is configured in the *posSchedulingInfoList* and *si-posOffset* is not configured;
    - 3> for the concerned SI message, determine the number  $n$  which corresponds to the order of entry in the concatenated list of SI messages configured by *schedulingInfoList*, *schedulingInfoListExt* (if present) in *SystemInformationBlockType1-BR* (or *SystemInformationBlockType1-NB* in NB-IoT) and *posSchedulingInfoList* in *SystemInformationBlockType1-BR*;
    - 3> determine the integer value  $x = (n - 1) * w$ , where  $w$  is the *si-WindowLength-BR* (or *si-WindowLength* in NB-IoT);
    - 3> if the UE is a NB-IoT UE:
      - 4> the SI-window starts at the subframe #0 in the radio frame for which  $(\text{H-SFN} * 1024 + \text{SFN}) \bmod T = \text{FLOOR}(x/10) + \text{Offset}$ , where  $T$  is the *si-Periodicity* of the concerned SI message and, Offset is the offset of the start of the SI-Window (*si-RadioFrameOffset*);
      - 3> else:
        - 4> the SI-window starts at the subframe #0 in the radio frame for which  $\text{SFN} \bmod T = \text{FLOOR}(x/10)$ , where  $T$  is the *si-Periodicity* or the *posSI-Periodicity* of the concerned SI message;
    - 2> else if the concerned SI message is configured by the *posSchedulingInfoList* and *si-posOffset* is configured determine the start of the SI-window for the concerned SI message as follows:
      - 3> determine the number  $m$  which corresponds to the number of SI messages with an associated *si-Periodicity* of 8 radio frames (80 ms), configured by *schedulingInfoList* and *schedulingInfoListExt* (if present) in *SystemInformationBlockType1-BR*;
      - 3> for the concerned SI message, determine the number  $n$  which corresponds to the order of entry in the list of SI messages configured by *posSchedulingInfoList* in *SystemInformationBlockType1-BR*;
      - 3> determine the integer value  $x = m * w + (n - 1) * w$ , where  $w$  is the *si-WindowLength-BR*;
      - 3> the SI-window starts at the subframe #0 in the radio frame for which  $\text{SFN} \bmod T = \text{FLOOR}(x/10) + 8$ , where  $T$  is the *posSI-Periodicity* of the concerned SI message;
  - 1> if the UE is a NB-IoT UE:
    - 2> receive and accumulate SI message transmissions on DL-SCH from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength*, starting from the radio frames as provided in *si-RepetitionPattern* and in subframes as provided in *downlinkBitmap*, or until successful decoding of the accumulated SI message transmissions excluding the subframes used for transmission of NPSS, NSSS, *MasterInformationBlock-NB*/*MasterInformationBlock-TDD-NB* and *SystemInformationBlockType1-NB*. If there are not enough subframes for one SI message transmission in the

radio frames as provided in *si-RepetitionPattern*, the UE shall continue to receive the SI message transmission in the radio frames following the radio frame indicated in *si-RepetitionPattern*;

1> else:

2> receive and accumulate SI message transmissions on DL-SCH on narrowband provided by *si-Narrowband*, from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength-BR*, only in radio frames as provided in *si-RepetitionPattern* and subframes as provided in *fdd-DownlinkOrTddSubframeBitmapBR* in *bandwidthReducedAccessRelatedInfo*, or until successful decoding of the accumulated SI message transmissions;

1> if the SI message was not possible to decode from the accumulated SI message transmissions by the end of the SI-window, continue reception and accumulation of SI message transmissions on DL-SCH in the next SI-window occasion for the concerned SI message;

## 5.2.3b Acquisition of an SI message from MBMS-dedicated cell

When acquiring an SI message, the UE shall:

1> determine the start of the SI-window for the concerned SI message as follows:

2> for the concerned SI message, determine the number  $n$  which corresponds to the order of entry in the list of SI messages configured by *schedulingInfoList* in *SystemInformationBlockType1-MBMS*;

2> determine the integer value  $x = (n - 1) * w$ , where  $w$  is the *si-WindowLength*;

2> the SI-window starts always at the subframe  $\#a$ , where  $a = x \bmod 10$ , in the radio frame for which  $\text{SFN} \bmod T = \text{FLOOR}(x/10)$ , where  $T$  is the *si-Periodicity* of the concerned SI message;

1> receive DL-SCH using SI-RNTI with value in accordance with 36.321 [6] from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength*, or until the SI message was received, excluding the following subframes:

2> any MBSFN subframes;

1> if the SI message was not received by the end of the SI-window, repeat reception at the next SI-window occasion for the concerned SI message;

## 5.3 Connection control

### 5.3.1 Introduction

#### 5.3.1.1 RRC connection control

RRC connection establishment involves the establishment of SRB1. Except for EDT, E-UTRAN completes RRC connection establishment prior to completing the establishment of the S1 connection, i.e. prior to receiving the UE context information from the EPC. Consequently, AS security is not activated during the initial phase of the RRC connection. During this initial phase of the RRC connection, the E-UTRAN may configure the UE to perform measurement reporting, but the UE only sends the corresponding measurement reports after successful security activation. However, the UE only accepts a handover message when security has been activated.

NOTE 1: In case the serving frequency broadcasts multiple overlapping bands, E-UTRAN can only configure measurements after having obtained the UE capabilities, as the measurement configuration needs to be set according to the band selected by the UE.

Upon receiving the UE context from the EPC, E-UTRAN activates security (both ciphering and integrity protection) using the initial security activation procedure. The RRC messages to activate security (command and successful response) are integrity protected, while ciphering is started only after completion of the procedure. That is, the response to the message used to activate security is not ciphered, while the subsequent messages (e.g. used to establish SRB2 and DRBs) are both integrity protected and ciphered.

After having initiated the initial security activation procedure, E-UTRAN initiates the establishment of SRB2 and DRBs, i.e. E-UTRAN may do this prior to receiving the confirmation of the initial security activation from the UE. In any case, E-UTRAN will apply both ciphering and integrity protection for the RRC connection reconfiguration messages used to establish SRB2 and DRBs. E-UTRAN should release the RRC connection if the initial security activation and/ or the radio bearer establishment fails (i.e. security activation and DRB establishment are triggered by a joint S1-procedure, which does not support partial success).

For SRB2 and DRBs, security is always activated from the start, i.e. the E-UTRAN does not establish these bearers prior to activating security.

For some radio configuration fields, a critical extension has been defined. A switch from the original version of the field to the critically extended version is allowed using any connection reconfiguration. The UE reverts to the original version of some critically extended fields upon handover and re-establishment as specified elsewhere in this specification. Otherwise, switching a field from the critically extended version to the original version is only possible using the handover or re-establishment procedure with the full configuration option. This also applies for fields that are critically extended within a release (i.e. original and extended version defined in same release).

After having initiated the initial security activation procedure, E-UTRAN may configure a UE that supports CA, with one or more SCells in addition to the PCell that was initially configured during connection establishment. The PCell is used to provide the security inputs and upper layer system information (i.e. the NAS mobility information e.g. TAI). SCells are used to provide additional downlink and optionally uplink radio resources. When not configured with any kind of DC, all SCells the UE is configured with, if any, are part of the MCG.

When configured with DC, some of the SCells are part of a SCG. In this case, user data carried by a DRB may either be transferred via MCG (i.e. MCG-DRB), via SCG (SCG-DRB) or via both MCG and SCG in DL while E-UTRAN configures the CG used in UL (split DRB). An RRC connection reconfiguration message may be used to change the DRB type from MCG-DRB to SCG-DRB or to split DRB, as well as from SCG-DRB or split DRB to MCG-DRB.

DC employs SCG change, which is a synchronous SCG reconfiguration procedure (i.e. involving RA to the PSCell) including reset/ re-establishment of layer 2 and, if SCG DRBs are configured, refresh of security. The procedure is used in a number of different scenarios e.g. SCG establishment, PSCell change, Key refresh, change of DRB type. The UE performs the SCG change related actions upon receiving an *RRCCConnectionReconfiguration* message including *mobilityControlInfoSCG*, see 5.3.10.10.

In case of MR-DC, the cells of one CG use another RAT, namely NR. The configuration of an NR CG is specified in TS 38.331 [82]. When configured with MR-DC, user data carried by a DRB may either be transferred via MCG, via NR SCG or via both MCG and NR SCG. Also RRC signalling carried by a SRB may either be transferred via MCG or via both MCG and NR SCG. When DRBs and SRBs are configured with transmission via both MCG and SCG, duplication may be used in both DL and UL.

Change to NR PDCP or vice versa, that in case of EN-DC may be done for both SRBs and DRBs, can be performed using an *RRCCConnectionReconfiguration* message including the *mobilityControlInfo* (handover) by release and addition of the concerned RB (for DRBs) or of the concerned PDCP entity (for SRBs). The same *RRCCConnectionReconfiguration* message may be used to make changes regarding the CG(s) used for transmission. For SRB1, change from E-UTRA PDCP to NR PDCP type may, before initial security activation, also be performed using an *RRCCConnectionReconfiguration* message not including the *mobilityControlInfo*.

In case of (NG)EN-DC, there are three types of NR SCG reconfigurations:

- Reconfiguration with sync and key change i.e. a procedure involving RA to the PSCell, including NR MAC reset, re-establishment of NR RLC and NR PDCP and refresh of NR SCG security; and
- Reconfiguration with sync but without key change i.e. a procedure involving RA to the PSCell, including NR MAC reset and NR RLC re-establishment and PDCP data recovery (for AM DRB); and
- Regular NR SCG reconfiguration neither involving refresh of NR SCG security, nor RA to the PSCell, NR MAC reset or NR RLC re-establishment;

The network is only required to use the NR SCG reconfiguration with sync and key change in case the NR SCG security key changes (i.e. handover, change of SNs, S-KgNB refresh). Further details are specified in NR RRC TS 38.331 [82].

NOTE 2: In case of MR-DC, E-UTRA RRC configuration parameters should only affect E-UTRA operation. E.g., *s-Measure* only affects measurements configured by parameters defined in this specification. Should an E-UTRA RRC configuration change require a change of NR RRC configuration, the network should indicate such NR change by NR RRC signalling. E.g. a specific indication is used to trigger RLC re-establishment upon reconfigurations changing the CG(s) used for transmission (in DL or UL) that otherwise would only involve NR RRC signalling.

In this release of the specification, change between DC and MR-DC as well as change between DC and E-UTRA configured with SN terminated DRB without SCG are not supported (i.e. neither the direct reconfiguration nor specific measurement events). Likewise, the direct transition between (NG)EN-DC and NR DC or NE-DC is not supported in this release of the specification.

The release of the RRC connection normally is initiated by E-UTRAN. The procedure may be used to re-direct the UE to an E-UTRA frequency or an inter-RAT carrier frequency. Only in exceptional cases, as specified within this specification, TS 36.300 [9], TS 36.304 [4] or TS 24.301 [35], may the UE abort the RRC connection, i.e. move to RRC\_IDLE without notifying E-UTRAN.

The suspension of the RRC connection is initiated by E-UTRAN. When the RRC connection is suspended, the UE stores the UE AS context and the *resumeIdentity*, and transitions to RRC\_IDLE state. The RRC message to suspend the RRC connection is integrity protected and ciphered. Suspension can only be performed when at least 1 DRB is successfully established.

The resumption of a suspended RRC connection is initiated by upper layers when the UE has a stored UE AS context, RRC connection resume is permitted by E-UTRAN and the UE needs to transit from RRC\_IDLE state to RRC\_CONNECTED state. When the RRC connection is resumed, RRC configures the UE according to the RRC connection resume procedure based on the stored UE AS context and any RRC configuration received from E-UTRAN. The RRC connection resume procedure re-activates security and re-establishes SRB(s) and DRB(s). The request to resume the RRC connection includes the *resumeIdentity*. The request is not ciphered, but protected with a message authentication code.

In response to a request to resume the RRC connection, E-UTRAN may resume the suspended RRC connection, reject the request to resume and instruct the UE to either keep or discard the stored context, or setup a new RRC connection.

In case of CP-EDT, the data are appended in the *RRCEarlyDataRequest* and *RRCEarlyDataComplete* messages, if available, and sent over SRB0. In case of UP-EDT, security is re-activated prior to transmission of RRC message using the *nextHopChainingCount* provided in the *RRCConnectionRelease* message with suspend indication during the preceding suspend procedure and the radio bearers are re-established. The uplink data are transmitted ciphered on DTCH multiplexed with the *RRCConnectionResumeRequest* message on CCCH. In the downlink, the data, if available, are transmitted on DTCH multiplexed with the *RRCConnectionRelease* message on DCCH. In response to a request for EDT, E-UTRAN may also choose to establish or resume the RRC connection.

A UE in RRC\_CONNECTED enters RRC\_INACTIVE when the network indicates RRC connection suspension in *RRCConnectionRelease* message. When entering RRC\_INACTIVE, the UE stores the UE Inactive AS context and any RRC configuration received from the network.

The resumption of an RRC connection from RRC\_INACTIVE is initiated by upper layers when the UE needs to transit from RRC\_INACTIVE state to RRC\_CONNECTED state or by RRC layer for, e.g. RNAU or reception of RAN paging. When the RRC connection is resumed, network configures the UE according to the RRC connection resume procedure based on the stored UE Inactive AS context and any RRC configuration received from the network. The RRC connection resume procedure re-activates security and re-establishes SRB(s) and DRB(s).

In response to a request to resume the RRC connection from RRC\_INACTIVE, the network may resume the suspended RRC connection and UE enters to RRC\_CONNECTED, or reject the request to resume using RRC message without security protection and send UE to RRC\_INACTIVE with wait time, or directly re-suspend the RRC connection and send UE to RRC\_INACTIVE, or directly release the RRC connection and send UE to RRC\_IDLE, or instruct the UE to initiate NAS level recovery.

### 5.3.1.2 Security

AS security comprises of the integrity protection of RRC signalling (SRBs) as well as the ciphering of RRC signalling (SRBs) and user data (DRBs).

RRC handles the configuration of the security parameters which are part of the AS configuration: the integrity protection algorithm, the ciphering algorithm and two parameters, namely the *keyChangeIndicator* and the

*nextHopChainingCount*, which are used by the UE to determine the AS security keys upon handover, connection re-establishment, connection resume and/ or UP-EDT.

The integrity protection algorithm is common for signalling radio bearers SRB1, SRB2 and SRB4. When configured with MCG only, the ciphering algorithm is common for all radio bearers (i.e. SRB1, SRB2, SRB4 and DRBs). Neither integrity protection nor ciphering applies for SRB0.

RRC integrity and ciphering are always activated together, i.e. in one message/ procedure. RRC integrity and ciphering are never de-activated. However, it is possible to switch to a 'NULL' ciphering algorithm (eea0).

The 'NULL' integrity protection algorithm (eia0) is used only for the UE in limited service mode, as specified in TS 33.401 [32]. In case the 'NULL' integrity protection algorithm is used, 'NULL' ciphering algorithm is also used.

NOTE 1: Lower layers discard RRC messages for which the integrity check has failed and indicate the integrity verification check failure to RRC.

The AS applies three different security keys: one for the integrity protection of RRC signalling ( $K_{\text{RRCint}}$ ), one for the ciphering of RRC signalling ( $K_{\text{RRCenc}}$ ) and one for the ciphering of user data ( $K_{\text{UPenc}}$ ). All three AS keys are derived from the  $K_{\text{eNB}}$  key. The  $K_{\text{eNB}}$  is based on the  $K_{\text{ASME}}$  key for E-UTRA/EPC, or  $K_{\text{AMF}}$  for E-UTRA/5GC, which is handled by upper layers.

Upon connection establishment new AS keys are derived. No AS-parameters are exchanged to serve as inputs for the derivation of the new AS keys at connection establishment.

The integrity and ciphering of the RRC message used to perform handover is based on the security configuration used prior to the handover and is performed by the source eNB.

The integrity and ciphering algorithms can only be changed upon handover. The four AS keys ( $K_{\text{eNB}}$ ,  $K_{\text{RRCint}}$ ,  $K_{\text{RRCenc}}$  and  $K_{\text{UPenc}}$ ) change upon every handover, connection re-establishment, connection resume and UP-EDT. The *keyChangeIndicator* is used upon handover and indicates whether the UE should use the keys associated with the  $K_{\text{ASME}}$  key for E-UTRA/EPC, or  $K_{\text{AMF}}$  for E-UTRA/5GC, taken into use with the latest successful NAS SMC procedure. The *nextHopChainingCount* parameter is used upon handover, connection re-establishment, connection resume and UP-EDT by the UE when deriving the new  $K_{\text{eNB}}$  that is used to generate  $K_{\text{RRCint}}$ ,  $K_{\text{RRCenc}}$  and  $K_{\text{UPenc}}$  (see TS 33.401 [32]). An intra cell handover procedure may be used to change the keys in RRC\_CONNECTED.

For each radio bearer an independent counter (COUNT, as specified in TS 36.323 [8] for E-UTRA/EPC, and TS 38.323 [83] for E-UTRA/5GC) is maintained for each direction. For each DRB, the COUNT is used as input for ciphering. For each SRB, the COUNT is used as input for both ciphering and integrity protection. It is not allowed to use the same COUNT value more than once for a given security key. At connection resume the COUNT is reset. As specified in TS 33.401 clause 7.2.9.1 [32], the eNB is responsible for avoiding reuse of the COUNT with the same RB identity and with the same  $K_{\text{eNB}}$ , e.g. due to the transfer of large volumes of data, release and establishment of new RBs, and multiple termination point changes for RLC-UM bearers, multiple termination point changes for RLC-AM bearer with SN terminated PDCP re-establishment (COUNT reset) due to SN only full configuration whilst the key stream inputs (i.e. bearer ID, security key) at MN have not been updated. In order to avoid such re-use, the eNB may e.g. use different RB identities for successive RB establishments, trigger an intra cell handover or by triggering a transition from RRC\_CONNECTED to RRC\_IDLE or RRC\_INACTIVE and then back to RRC\_CONNECTED.

In order to limit the signalling overhead, individual messages/ packets include a short sequence number (PDCP SN, as specified in TS 36.323 [8] for E-UTRA/EPC, and TS 38.323 [83] for E-UTRA/5GC). In addition, an overflow counter mechanism is used: the hyper frame number (TX\_HFN and RX\_HFN, as specified in TS 36.323 [8] for E-UTRA/EPC, and HFN as specified in TS 38.323 [83] for E-UTRA/5GC). The HFN needs to be synchronized between the UE and the eNB.

For each SRB, the value provided by RRC to lower layers to derive the 5-bit BEARER parameter used as input for ciphering and for integrity protection is the value of the corresponding *srb-Identity* with the MSBs padded with zeroes.

With E-UTRA/5GC for a UE not capable of NGEN-DC, the same ciphering algorithm signalled at SMC or handover is used for all radio bearers. Likewise, the same integrity algorithm signalled at SMC or handover is used for all SRBs.

In case of DC, a separate  $K_{\text{eNB}}$  is used for SCG-DRBs (S- $K_{\text{eNB}}$ ). This key is derived from the key used for the MCG ( $K_{\text{eNB}}$ ) and an SCG counter that is used to ensure freshness. To refresh the S- $K_{\text{eNB}}$  e.g. when the COUNT will wrap around, E-UTRAN employs an SCG change, i.e. an *RRCConnectionReconfiguration* message including *mobilityControlInfoSCG*. When performing handover, while at least one SCG-DRB remains configured, both  $K_{\text{eNB}}$  and S- $K_{\text{eNB}}$  are refreshed. In such case E-UTRAN performs handover with SCG change i.e. an



*RRCConnectionReconfiguration* message including both *mobilityControlInfo* and *mobilityControlInfoSCG*. The ciphering algorithm is common for all radio bearers within a CG but may be different between MCG and SCG. The ciphering algorithm for SCG DRBs can only be changed upon SCG change.

In case of (NG)EN-DC or of SN terminated RB without SCG, the network indicates whether the UE shall use either  $K_{eNB}$  or  $S-K_{gNB}$  for a particular DRB. In case of NE-DC, the network indicates whether the UE shall use either  $K_{gNB}$  or  $S-K_{eNB}$  for a particular DRB.  $S-K_{gNB}/S-K_{eNB}$  is derived from  $K_{eNB}/K_{gNB}$  as defined in TS 33.501 [86], uses a different counter (*sk-Counter*) and is used only for DRBs using NR PDCP. Whenever there is a need to refresh  $S-K_{gNB}/S-K_{eNB}$ , e.g. upon change of MN or SN, the NR SCG reconfiguration with sync and key change is used for  $S-K_{gNB}$  refresh (see 5.3.1.1) and the *RRCConnectionReconfiguration* message including *mobilityControlInfoSCG* is used for  $S-K_{eNB}$  refresh (see 5.3.10.10). E-UTRAN provides a UE configured with (NG)EN-DC with an *sk-Counter* even when no DRB is setup using  $S-K_{gNB}$  i.e. to facilitate configuration of SRB3. The same ciphering algorithm as signalled by *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2* as defined in TS 38.331 [82] is used for all radio bearers using the same key (i.e.  $K_{eNB}$  or  $S-K_{gNB}$ ). Likewise, the same integrity algorithm as signalled by *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2* as defined in TS 38.331 [82] is used for all SRBs using the same key. Although NR RRC uses different values for the security algorithms than E-UTRA, the actual algorithms are the same in case of (NG)EN-DC and NE-DC in this version of the specification. Hence, for such algorithms, the security capabilities supported by a UE are consistent across these RATs. For MR-DC, integrity protection is not enabled for DRBs terminated on eNB or when the master node is an ng-eNB.

NOTE 2: The network ensures that different values are used for the SCG counter and for the *sk-Counter* when deriving  $S-K_{gNB}$  and/or  $S-K_{eNB}$  from the same master key.

### 5.3.1.2a RN security

For RNs, AS security follows the procedures in 5.3.1.2. Furthermore, E-UTRAN may configure per DRB whether or not integrity protection is used. The use of integrity protection may be configured only upon DRB establishment and reconfigured only upon handover or upon the first reconfiguration following RRC connection re-establishment.

To provide integrity protection on DRBs between the RN and the E-UTRAN, the  $K_{UPint}$  key is derived from the  $K_{eNB}$  key as described in TS 33.401 [32]. The same integrity protection algorithm used for SRBs also applies to the DRBs. The  $K_{UPint}$  changes at every handover and RRC connection re-establishment and is based on an updated  $K_{eNB}$  which is derived by taking into account the *nextHopChainingCount*. The COUNT value maintained for DRB ciphering is also used for integrity protection, if the integrity protection is configured for the DRB.

### 5.3.1.3 Connected mode mobility

In RRC\_CONNECTED, the network controls UE mobility, i.e. the network decides when the UE shall connect to which E-UTRA cell(s), or inter-RAT cell. For network controlled mobility in RRC\_CONNECTED, the PCell can be changed using an *RRCConnectionReconfiguration* message including the *mobilityControlInfo* (handover), whereas the SCell(s) can be changed using the *RRCConnectionReconfiguration* message either with or without the *mobilityControlInfo*.

In DC, an SCG can be established, reconfigured or released by using an *RRCConnectionReconfiguration* message with or without the *mobilityControlInfo*. In case Random Access to the PCell or initial PUSCH transmission to the PCell if *rach-SkipSCG* is configured is required upon SCG reconfiguration, E-UTRAN employs the SCG change procedure (i.e. an *RRCConnectionReconfiguration* message including the *mobilityControlInfoSCG*). The PCell can only be changed using the SCG change procedure and by release and addition of the PCell.

In (NG)EN-DC, an NR SCG can be established or reconfigured by using an *RRCConnectionReconfiguration* message containing *nr-secondaryCellGroupConfig* and *nr-RadioBearerConfig*. The contents of *nr-secondaryCellGroupConfig* and *nr-RadioBearerConfig*, of other (NG)EN-DC fields as well as the associated procedures are specified in TS 38.331 [82]. In (NG)EN-DC, the PCell can only be changed using the Reconfiguration with sync procedure, with or without MR-DC release and addition.

The network triggers the handover procedure e.g. based on radio conditions, load. To facilitate this, the network may configure the UE to perform measurement reporting (possibly including the configuration of measurement gaps). The network may also initiate handover blindly, i.e. without having received measurement reports from the UE.

Before sending the handover message to the UE, the source eNB prepares one or more target cells. The source eNB selects the target PCell. The source eNB may also provide the target eNB with a list of best cells on each frequency for which measurement information is available, in order of decreasing RSRP. The source eNB may also include available measurement information for the cells provided in the list. The target eNB decides which SCells are configured for use

after handover, which may include cells other than the ones indicated by the source eNB. If an SCG is configured, handover involves either SCG release or either SCG change (in case of DC) or an NR SCG reconfiguration with sync and key change (in case of EN-DC and NGEN-DC). In case the UE was configured with (EN-) DC or NGEN-DC, the target eNB indicates in the handover message whether the UE shall release the entire (NR) SCG configuration. Upon connection re-establishment, the UE releases the entire SCG configuration except for the DRB configuration, while E-UTRAN in the first reconfiguration message following the re-establishment either releases the DRB(s) or reconfigures the DRB(s) to MCG DRB(s).

The target eNB generates the message used to perform the handover, i.e. the message including the AS-configuration to be used in the target cell(s). The source eNB transparently (i.e. does not alter values/ content) forwards the handover message/ information received from the target to the UE. When appropriate, the source eNB may initiate data forwarding for (a subset of) the DRBs.

After receiving the handover message, the UE attempts to access the target PCell at the first available RACH occasion according to Random Access resource selection defined in TS 36.321 [6], i.e. the handover is asynchronous, or at the first available PUSCH occasion if *rach-Skip* is configured. Consequently, when allocating a dedicated preamble for the random access in the target PCell, E-UTRAN shall ensure it is available from the first RACH occasion the UE may use. The first available PUSCH occasion is provided by *ul-ConfigInfo*, if configured, otherwise UE shall monitor the PDCCH of target eNB. Upon successful completion of the handover, the UE sends a message used to confirm the handover.

If the target eNB does not support the release of RRC protocol which the source eNB used to configure the UE, the target eNB may be unable to comprehend the UE configuration provided by the source eNB. In this case, the target eNB should use the full configuration option to reconfigure the UE for Handover and Re-establishment. Full configuration option includes an initialization of the radio configuration, which makes the procedure independent of the configuration used in the source cell(s) with the exception that the security algorithms are continued for the RRC re-establishment.

The same behavior applies in (NG)EN-DC, if upon handover the target eNB is unable to comprehend the MCG part of the UE configuration i.e. the target eNB uses the full configuration option which involves release and configuration of (most of the) MCG and NR SCG configuration. In case of (NG)EN-DC, the target SgNB may be unable to comprehend the NR SCG configuration provided by the source SgNB. In such a case, release and addition may be applied for the NR SCG part of the configuration.

NOTE 1: When using release and addition for the NR SCG configuration during handover or SN change, E-UTRAN includes *drb-ToReleaseList* for the SN terminated RBs. For SN modification case, see TS 37.340 [81].

After the successful completion of handover, PDCP SDUs may be re-transmitted in the target cell(s). This only applies for DRBs using RLC-AM mode and for handovers not involving full configuration option. The further details are specified in TS 36.323 [8]. After the successful completion of handover not involving full configuration option, the SN and the HFN are reset except for the DRBs using RLC-AM mode (for which both SN and HFN continue). For reconfigurations involving the full configuration option, the PDCP entities are newly established (SN and HFN do not continue) for all DRBs irrespective of the RLC mode. The further details are specified in TS 36.323 [8].

One UE behaviour to be performed upon handover is specified, i.e. this is regardless of the handover procedures used within the network (e.g. whether the handover includes X2 or S1 signalling procedures).

The source eNB should, for some time, maintain a context to enable the UE to return in case of handover failure. After having detected handover failure, the UE attempts to resume the RRC connection either in the source PCell or in another cell using the RRC re-establishment procedure. This connection resumption succeeds only if the accessed cell is prepared, i.e. concerns a cell of the source eNB or of another eNB towards which handover preparation has been performed. The cell in which the re-establishment procedure succeeds becomes the PCell while SCells and STAGs, if configured, are released.

Normal measurement and mobility procedures are used to support handover to cells broadcasting a CSG identity. In addition, E-UTRAN may configure the UE to report that it is entering or leaving the proximity of cell(s) included in its CSG whitelist. Furthermore, E-UTRAN may request the UE to provide additional information broadcast by the handover candidate cell e.g. global cell identity, CSG identity, CSG membership status.

NOTE 2: E-UTRAN may use the 'proximity report' to configure measurements as well as to decide whether or not to request additional information broadcast by the handover candidate cell. The additional information is used to verify whether or not the UE is authorised to access the target PCell and may also be needed to identify handover candidate cell (*PCI confusion* i.e. when the physical layer identity that is included in the measurement report does not uniquely identify the cell).

### 5.3.1.4 Connection control in NB-IoT

In NB-IoT, during the RRC connection establishment procedure, SRB1bis is established implicitly with SRB1. SRB1bis uses the logical channel identity defined in 9.1.2a, with the same configuration as SRB1 but no PDCP entity. SRB1bis is used until security is activated. The RRC messages to activate security (command and successful response) are sent over SRB1 being integrity protected and ciphering is started after completion of the procedure. In case of unsuccessful security activation, the failure message is sent over SRB1 and subsequent messages are sent over SRB1bis. Once security is activated, new RRC messages shall be transmitted using SRB1. A NB-IoT UE that only supports the Control Plane CIoT EPS optimisation (see TS 24.301 [35]) only establishes SRB1bis.

A NB-IoT UE only supports 0, 1 or 2 DRBs, depending on its capability. A NB-IoT UE that only supports the Control Plane CIoT EPS optimisation (see TS 24.301 [35]) does not need to support any DRBs and associated procedures.

Table 5.3.1.4-1 lists the procedures that are applicable for NB-IoT. All other procedures are not applicable; this is not further stated in the corresponding procedures.

**Table 5.3.1.4-1: Connection control procedures applicable to a NB-IoT UE**

| Clause | Procedures                                       |
|--------|--|
| 5.3.2  | Paging   |
| 5.3.3  | RRC connection establishment                     |
|        | RRC connection resume (see NOTE)                 |
|        | CP-EDT   |
|        | UP-EDT (see NOTE)                                |
| 5.3.4  | Initial security activation (see NOTE)           |
| 5.3.5  | RRC connection reconfiguration (see NOTE)        |
| 5.3.7  | RRC connection re-establishment                  |
| 5.3.8  | RRC connection release                           |
| 5.3.9  | RRC connection release requested by upper layers |
| 5.3.10 | Radio resource configuration                     |
| 5.3.11 | Radio link failure related actions               |
| 5.3.12 | UE actions upon leaving RRC_CONNECTED            |

NOTE: Not applicable for a UE that only supports the Control Plane CIoT EPS optimisation (see TS 24.301 [35]).

## 5.3.2 Paging

### 5.3.2.1 General



**Figure 5.3.2.1-1: Paging**

The purpose of this procedure is:

- to transmit CN initiated paging information to a UE in RRC\_IDLE or RRC\_INACTIVE and/ or;
- to transmit RAN initiated paging information to a UE in RRC\_INACTIVE and/or;
- to inform UEs in RRC\_IDLE, UEs in RRC\_INACTIVE and UEs in RRC\_CONNECTED other than NB-IoT UEs, BL UEs and UEs in CE, about a system information change and/ or;
- to inform UEs in RRC\_IDLE other than NB-IoT UEs, UEs in RRC\_INACTIVE and UEs in RRC\_CONNECTED other than NB-IoT UEs, BL UEs and UEs in CE, about an ETWS primary notification and/ or ETWS secondary notification and/ or;

- to inform UEs in RRC\_IDLE other than NB-IoT UEs, UEs in RRC\_INACTIVE and UEs in RRC\_CONNECTED other than NB-IoT UEs, BL UEs and UEs in CE, about a CMAS notification and/ or;
- to inform UEs other than NB-IoT UEs in RRC\_IDLE, and other than UEs connected to 5GC about an EAB parameters modification and/ or;
- to inform UEs other than NB-IoT UEs in RRC\_IDLE, and UEs in RRC\_INACTIVE to perform E-UTRAN inter-frequency redistribution procedure.

The paging information of CN initiated paging is provided to upper layers, which in response may initiate RRC connection establishment, e.g. to receive an incoming call.

### 5.3.2.2 Initiation

E-UTRAN initiates the paging procedure by transmitting the *Paging* message at the UE's paging occasion as specified in TS 36.304 [4]. E-UTRAN may address multiple UEs within a *Paging* message by including one *PagingRecord* for each UE. E-UTRAN may also indicate a change of system information, and/ or provide an ETWS notification or a CMAS notification in the *Paging* message.

### 5.3.2.3 Reception of the *Paging* message by the UE

Upon receiving the *Paging* message, the UE shall:

- 1> if in RRC\_IDLE, for each of the *PagingRecord*, if any, included in the *Paging* message:
  - 2> if the *ue-Identity* included in the *PagingRecord* matches one of the UE identities allocated by upper layers:
    - 3> forward the *ue-Identity*, *accessType* (if present) and, except for NB-IoT, the *cn-Domain* to the upper layers;
- 1> if in RRC\_INACTIVE, for each of the *PagingRecord*, if any, included in the *Paging* message:
  - 2> if the *ue-Identity* included in the *PagingRecord* matches the stored *fullI-RNTI*:
    - 3> if UE is configured with one or more access identities equal to 1, 2 or 11-15 applicable in the selected PLMN:
      - 4> initiate RRC connection resume procedure in 5.3.3.2 with cause value set to 'highPriorityAccess';
    - 3> else:
      - 4> initiate the RRC connection resumption procedure according to 5.3.3.2 with cause value set to 'mt-access';
  - 2> else if the *ue-Identity* included in the *PagingRecord* matches one of the UE identities allocated by upper layers:
    - 3> forward the *ue-Identity*, *accessType* (if present) and the *cn-Domain* to the upper layers;
    - 3> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'other';
- 1> if the UE is not configured with a DRX cycle longer than the modification period and the *systemInfoModification* is included; or
- 1> if the UE is configured with a DRX cycle longer than the modification period and the *systemInfoModification-eDRX* is included:
  - 2> re-acquire the required system information using the system information acquisition procedure as specified in 5.2.2;
- 1> if the *etws-Indication* is included and the UE is ETWS capable:
  - 2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary;
  - 2> if the *schedulingInfoList* indicates that *SystemInformationBlockType10* is present:

3> acquire *SystemInformationBlockType10*;

NOTE: If the UE is in CE, it is up to UE implementation when to start acquiring *SystemInformationBlockType10*.

2> if the *schedulingInfoList* indicates that *SystemInformationBlockType11* is present:

3> acquire *SystemInformationBlockType11*;

1> if the *cmas-Indication* is included and the UE is CMAS capable:

2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary as specified in 5.2.1.5;

2> if the *schedulingInfoList* indicates that *SystemInformationBlockType12* is present:

3> acquire *SystemInformationBlockType12*;

1> if in RRC\_IDLE, the *eab-ParamModification* is included and the UE is EAB capable:

2> consider previously stored *SystemInformationBlockType14* as invalid;

2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary as specified in 5.2.1.6;

2> re-acquire *SystemInformationBlockType14* using the system information acquisition procedure as specified in 5.2.2.4;

1> if in RRC\_IDLE, the *redistributionIndication* is included and the UE is redistribution capable:

2> perform E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10;

### 5.3.3 RRC connection establishment

#### 5.3.3.1 General

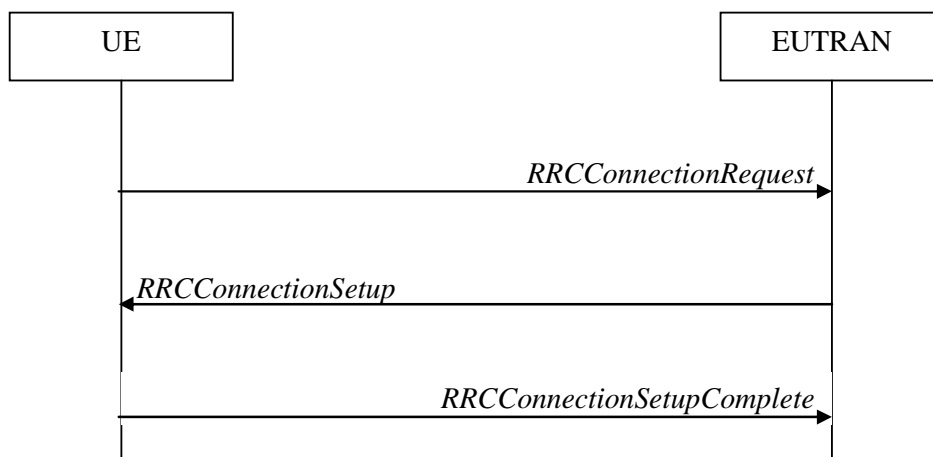


Figure 5.3.3.1-1: RRC connection establishment, successful

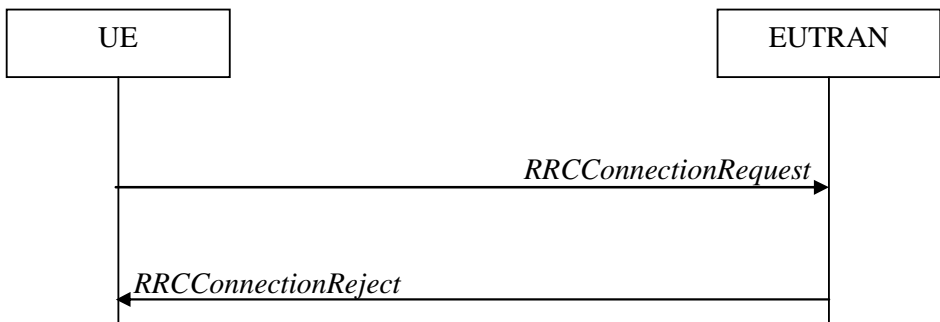


Figure 5.3.3.1-2: RRC connection establishment, network reject

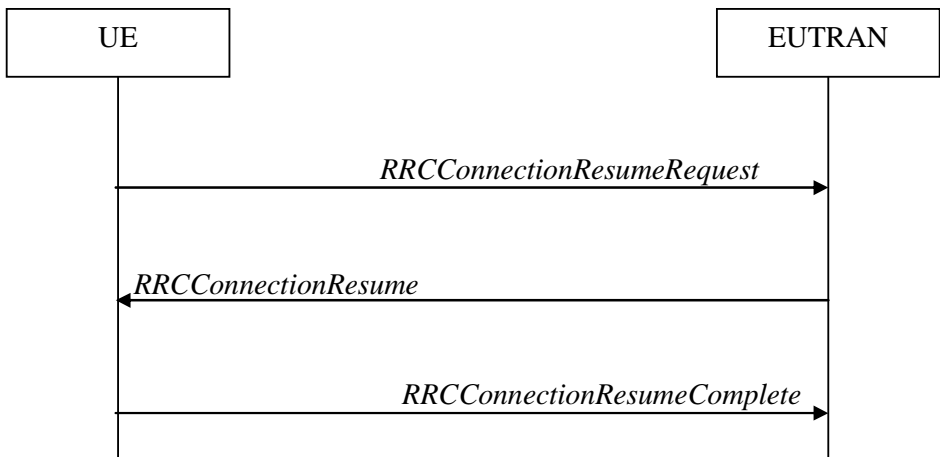


Figure 5.3.3.1-3: RRC connection resume (suspended RRC connection or RRC\_INACTIVE), or UP-EDT fallback to RRC connection resume, successful

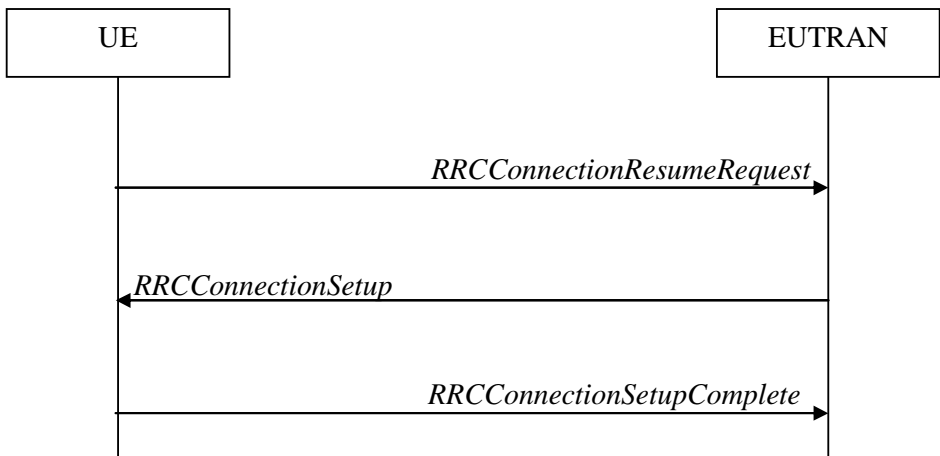


Figure 5.3.3.1-4: RRC connection resume (suspended RRC connection or RRC\_INACTIVE) or UP-EDT fallback to RRC connection establishment, successful

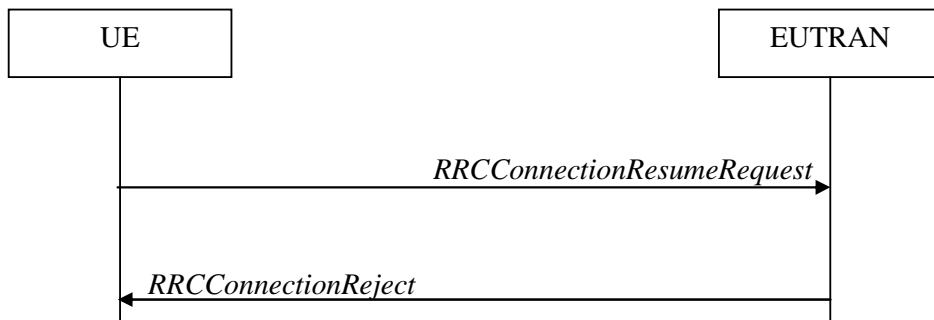


Figure 5.3.3.1-5: RRC connection resume or UP-EDT, network reject (suspended RRC connection or RRC\_INACTIVE) or release (suspended RRC connection)

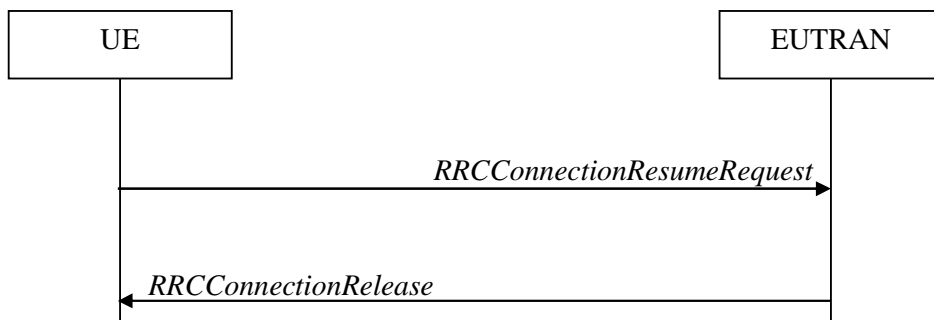


Figure 5.3.3.1-6: RRC connection resume (RRC\_INACTIVE), network release or suspend or UP-EDT, successful

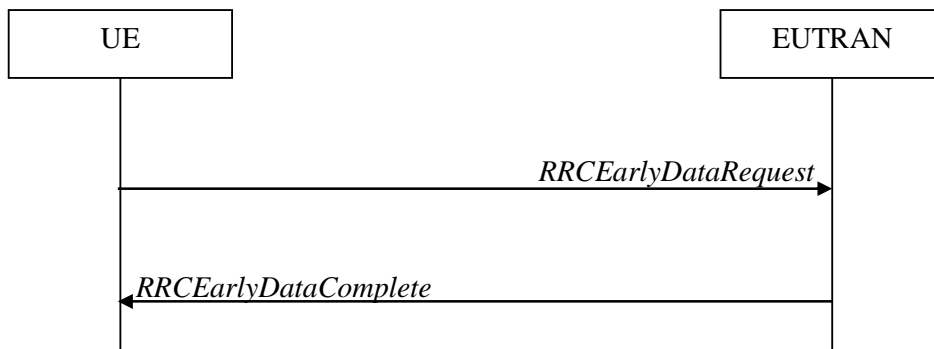


Figure 5.3.3.1-7: CP-EDT, successful

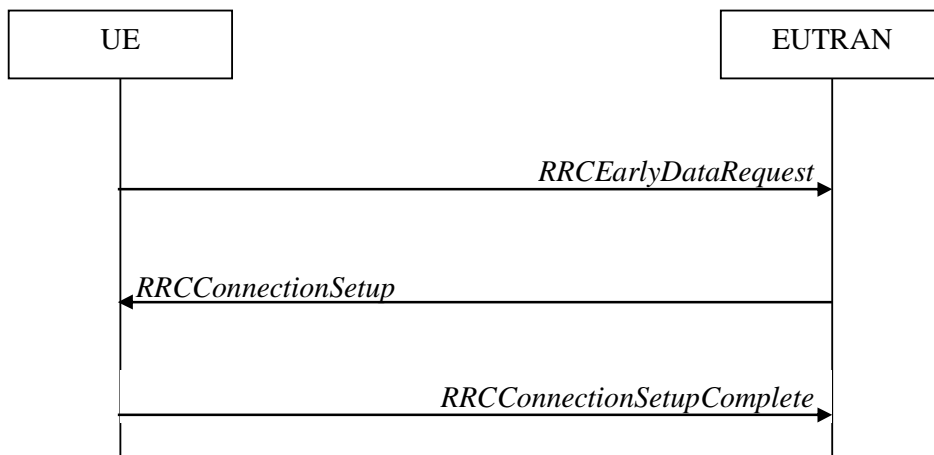
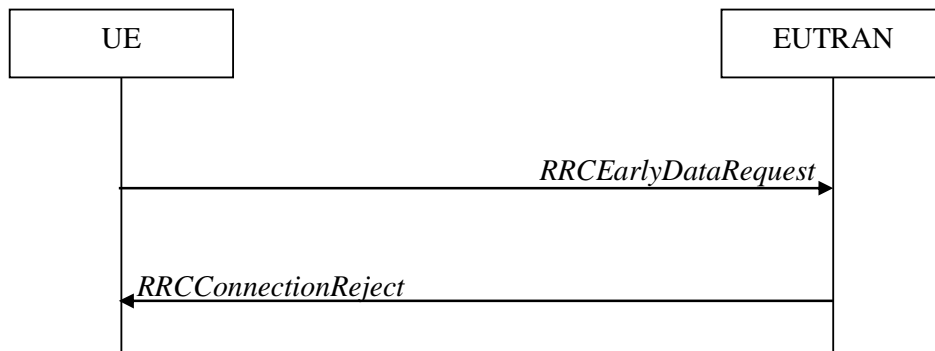


Figure 5.3.3.1-8: CP-EDT fallback to RRC connection establishment, successful



**Figure 5.3.3.1-9: CP-EDT, network reject**

The purpose of this procedure is to establish an RRC connection, to resume a suspended RRC connection, to move the UE from RRC\_INACTIVE to RRC\_CONNECTED or to perform EDT. RRC connection establishment involves SRB1 (and SRB1bis for NB-IoT) establishment. The procedure is also used to transfer the initial NAS dedicated information/message from the UE to E-UTRAN.

E-UTRAN applies the procedure as follows:

- When establishing an RRC connection:
  - to establish SRB1 and, for NB-IoT, SRB1bis;
- When resuming an RRC connection from a suspended RRC connection or from RRC\_INACTIVE:
  - to restore the AS configuration from a stored context including resuming SRB(s) and DRB(s);
- When performing EDT.

### 5.3.3.1a Conditions for establishing RRC Connection for sidelink communication/discovery/ V2X sidelink communication

For sidelink communication an RRC connection is initiated only in the following case:

- 1> if configured by upper layers to transmit non-relay related sidelink communication and related data is available for transmission:
  - 2> if *SystemInformationBlockType18* is broadcast by the cell on which the UE camps; and if the valid version of *SystemInformationBlockType18* does not include *commTxPoolNormalCommon*;
- 1> if configured by upper layers to transmit relay related sidelink communication:
  - 2> if the UE is acting as sidelink relay UE; and if *SystemInformationBlockType18* is broadcast by the cell on which the UE camps; or
  - 2> if the UE has a selected sidelink relay UE; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met and if *SystemInformationBlockType18* is broadcast by the cell on which the UE camps; and if the valid version of *SystemInformationBlockType18* does not include *commTxPoolNormalCommon* or *commTxAllowRelayCommon*;

For V2X sidelink communication an RRC connection is initiated only in the following case:

- 1> if configured by upper layers to transmit non-P2X related V2X sidelink communication and related data is available for transmission:
  - 2> if the frequency on which the UE is configured to transmit non-P2X related V2X sidelink communication concerns the camped frequency; and if *SystemInformationBlockType21* is broadcast by the cell on which the UE camps; and if the valid version of *SystemInformationBlockType21* includes *sl-V2X-ConfigCommon*; and *sl-V2X-ConfigCommon* does not include *v2x-CommTxPoolNormalCommon*; or
  - 2> if the frequency on which the UE is configured to transmit non-P2X related V2X sidelink communication is included in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26*



broadcast by the cell on which the UE camps; and if neither the valid version of *SystemInformationBlockType21* nor that of *SystemInformationBlockType26* includes *v2x-CommTxPoolNormal* for the concerned frequency;

- 1> if configured by upper layers to transmit P2X related V2X sidelink communication and related data is available for transmission:
  - 2> if the frequency on which the UE is configured to transmit P2X related V2X sidelink communication concerns the camped frequency; and if *SystemInformationBlockType21* is broadcast by the cell on which the UE camps; and if the valid version of *SystemInformationBlockType21* includes *sl-V2X-ConfigCommon*; and *sl-V2X-ConfigCommon* does not include *p2x-CommTxPoolNormalCommon*; or
  - 2> if the frequency on which the UE is configured to transmit P2X related V2X sidelink communication is included in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26* broadcast by the cell on which the UE camps; and if neither the valid version of *SystemInformationBlockType21* nor that of *SystemInformationBlockType26* includes *p2x-CommTxPoolNormal* for the concerned frequency;

For sidelink discovery an RRC connection is initiated only in the following case:

- 1> if configured by upper layers to transmit non-PS related sidelink discovery announcements:
  - 2> if the frequency on which the UE is configured to transmit non-PS related sidelink discovery announcements concerns the camped frequency; and *SystemInformationBlockType19* of the cell on which the UE camps does not include *discTxPoolCommon-r12*; or
  - 2> if the frequency on which the UE is configured to transmit non-PS related sidelink discovery announcements is included in *discInterFreqList* in *SystemInformationBlockType19* broadcast by the cell on which the UE camps, with *discTxResourcesInterFreq* included within *discResourcesNonPS* and set to *requestDedicated*;
- 1> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements:
  - 2> if the frequency on which the UE is configured to transmit non-relay PS related sidelink discovery announcements concerns the camped frequency; and *SystemInformationBlockType19* of the cell on which the UE camps includes *discConfigPS* but does not include *discTxPoolPS-Common*; or
  - 2> if the frequency on which the UE is configured to transmit non-relay PS related sidelink discovery announcements (e.g. group member discovery) is included in *discInterFreqList* in *SystemInformationBlockType19* broadcast by the cell on which the UE camps, with *discTxResourcesInterFreq* within *discResourcesPS* included and set to *requestDedicated*;
- 1> if configured by upper layers to transmit relay PS related sidelink discovery announcements:
  - 2> if the UE is acting as sidelink relay UE; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met; or
  - 2> if the UE is selecting a sidelink relay UE / has a selected sidelink relay UE; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
    - 3> if the frequency on which the UE is configured to transmit relay PS related sidelink discovery announcements concerns the camped frequency; and *SystemInformationBlockType19* of the cell on which the UE camps includes *discConfigRelay* and *discConfigPS* but does not include *discTxPoolPS-Common*;

NOTE: Upper layers initiate an RRC connection. The interaction with NAS is left to UE implementation.

### 5.3.3.1b Conditions for initiating EDT

A BL UE, UE in CE or NB-IoT UE can initiate EDT when all of the following conditions are fulfilled:

- 1> for CP-EDT, the upper layers request establishment of an RRC connection, the UE supports CP-EDT, and *SystemInformationBlockType2* (*SystemInformationBlockType2-NB* in NB-IoT) includes *cp-EDT*; or
- 1> for UP-EDT, the upper layers request resumption of an RRC connection, the UE supports UP-EDT, *SystemInformationBlockType2* (*SystemInformationBlockType2-NB* in NB-IoT) includes *up-EDT*, and the UE has

a stored value of the *nextHopChainingCount* provided in the *RRCConnectionRelease* message with suspend indication during the preceding suspend procedure;

- 1> the establishment or resumption request is for mobile originating calls and the establishment cause is *mo-Data* or *mo-ExceptionData* or *delayTolerantAccess*;
- 1> the establishment or resumption request is suitable for EDT as specified in TS 36.300 [9], clause 7.3b.1;
- 1> *SystemInformationBlockType2* (*SystemInformationBlockType2-NB* in NB-IoT) includes *edt-Parameters*;
- 1> the size of the resulting MAC PDU including the total UL data is expected to be smaller than or equal to the TBS signalled in *edt-TBS* as specified in TS 36.321 [6], clause 5.1.1;
- 1> EDT fallback indication has not been received from lower layers for this establishment or resumption procedure;

NOTE 1: Upper layers request or resume an RRC connection. The interaction with NAS is up to UE implementation.

NOTE 2: It is up to UE implementation how the UE determines whether the size of UL data is suitable for EDT.

### 5.3.3.2 Initiation

The UE initiates the procedure when upper layers request establishment or resume of an RRC connection while the UE is in *RRC\_IDLE* or when upper layers request resume of an RRC connection or RRC layer requests resume of an RRC connection for, e.g. RNAU or reception of RAN paging while the UE is in *RRC\_INACTIVE*.

Except for NB-IoT, upon initiation of the procedure, if the UE is connected to EPC, the UE shall:

- 1> if *SystemInformationBlockType2* includes *ac-BarringPerPLMN-List* and the *ac-BarringPerPLMN-List* contains an *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):
  - 2> select the *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
  - 2> in the remainder of this procedure, use the selected *AC-BarringPerPLMN* entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the common access barring parameters included in *SystemInformationBlockType2*;
- 1> else
  - 2> in the remainder of this procedure use the common access barring parameters (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2*;
- 1> if *SystemInformationBlockType2* contains *acdc-BarringPerPLMN-List* and the *acdc-BarringPerPLMN-List* contains an *ACDC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):
  - 2> select the *ACDC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
  - 2> in the remainder of this procedure, use the selected *ACDC-BarringPerPLMN* entry for ACDC barring check (i.e. presence or absence of access barring parameters in this entry) irrespective of the *acdc-BarringForCommon* parameters included in *SystemInformationBlockType2*;
- 1> else:
  - 2> in the remainder of this procedure use the *acdc-BarringForCommon* (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2* for ACDC barring check;
- 1> if upper layers indicate that the RRC connection is subject to EAB (see TS 24.301 [35]):
  - 2> if the result of the EAB check, as specified in 5.3.3.12, is that access to the cell is barred:
    - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that EAB is applicable, upon which the procedure ends;

- 1> if upper layers indicate that the RRC connection is subject to ACDC (see TS 24.301 [35]), *SystemInformationBlockType2* contains *BarringPerACDC-CategoryList*, and *acdc-HPLMNonly* indicates that ACDC is applicable for the UE:
  - 2> if the *BarringPerACDC-CategoryList* contains a *BarringPerACDC-Category* entry corresponding to the ACDC category selected by upper layers:
    - 3> select the *BarringPerACDC-Category* entry corresponding to the ACDC category selected by upper layers;
  - 2> else:
    - 3> select the last *BarringPerACDC-Category* entry in the *BarringPerACDC-CategoryList*;
  - 2> stop timer T308, if running;
  - 2> perform access barring check as specified in 5.3.3.13, using T308 as "Tbarring" and *acdc-BarringConfig* in the *BarringPerACDC-Category* as "ACDC barring parameter";
  - 2> if access to the cell is barred:
    - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring is applicable due to ACDC, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile terminating calls:
  - 2> if timer T302 is running:
    - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile terminating calls is applicable, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for emergency calls:
  - 2> if *SystemInformationBlockType2* includes the *ac-BarringInfo*:
    - 3> if the *ac-BarringForEmergency* is set to *TRUE*:
      - 4> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]:
        - 5> if the *ac-BarringInfo* includes *ac-BarringForMO-Data*, and for all of these valid Access Classes for the UE, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ac-BarringForMO-Data* is set to *one*:
          - 6> consider access to the cell as barred;
        - 4> else:
          - 5> consider access to the cell as barred;
    - 2> if access to the cell is barred:
      - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication, upon which the procedure ends;
  - 1> else if the UE is establishing the RRC connection for mobile originating calls:
    - 2> perform access barring check as specified in 5.3.3.11, using T303 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";
    - 2> if access to the cell is barred:

NOTE 1: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.

- 3> if *SystemInformationBlockType2* includes *ac-BarringForCSFB* or the UE does not support CS fallback:
  - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls is applicable, upon which the procedure ends;
- 3> else (*SystemInformationBlockType2* does not include *ac-BarringForCSFB* and the UE supports CS fallback):
  - 4> if timer T306 is not running, start T306 with the timer value of T303;
  - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls and mobile originating CS fallback is applicable, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating signalling:
  - 2> perform access barring check as specified in 5.3.3.11, using T305 as "Tbarring" and *ac-BarringForMO-Signalling* as "AC barring parameter";
  - 2> if access to the cell is barred:
    - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating signalling is applicable, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating CS fallback:
  - 2> if *SystemInformationBlockType2* includes *ac-BarringForCSFB*:
    - 3> perform access barring check as specified in 5.3.3.11, using T306 as "Tbarring" and *ac-BarringForCSFB* as "AC barring parameter";
    - 3> if access to the cell is barred:
      - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating CS fallback is applicable, due to *ac-BarringForCSFB*, upon which the procedure ends;
  - 2> else:
    - 3> perform access barring check as specified in 5.3.3.11, using T306 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";
    - 3> if access to the cell is barred:
      - 4> if timer T303 is not running, start T303 with the timer value of T306;
      - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating CS fallback and mobile originating calls is applicable, due to *ac-BarringForMO-Data*, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating MMTEL voice, mobile originating MMTEL video, mobile originating SMSoIP or mobile originating SMS:
  - 2> if the UE is establishing the RRC connection for mobile originating MMTEL voice and *SystemInformationBlockType2* includes *ac-BarringSkipForMMTELVoice*; or
  - 2> if the UE is establishing the RRC connection for mobile originating MMTEL video and *SystemInformationBlockType2* includes *ac-BarringSkipForMMTELVideo*; or
  - 2> if the UE is establishing the RRC connection for mobile originating SMSoIP or SMS and *SystemInformationBlockType2* includes *ac-BarringSkipForSMS*:
    - 3> consider access to the cell as not barred;
  - 2> else:

- 3> if *establishmentCause* received from higher layers is set to *mo-Signalling* (including the case that *mo-Signalling* is replaced by *highPriorityAccess* according to TS 24.301 [35] or by *mo-VoiceCall* according to the clause 5.3.3.3):
  - 4> perform access barring check as specified in 5.3.3.11, using T305 as "Tbarring" and *ac-BarringForMO-Signalling* as "AC barring parameter";
  - 4> if access to the cell is barred:
    - 5> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating signalling is applicable, upon which the procedure ends;
- 3> if *establishmentCause* received from higher layers is set to *mo-Data* (including the case that *mo-Data* is replaced by *highPriorityAccess* according to TS 24.301 [35] or by *mo-VoiceCall* according to the clause 5.3.3.3):
  - 4> perform access barring check as specified in 5.3.3.11, using T303 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";
  - 4> if access to the cell is barred:
    - 5> if *SystemInformationBlockType2* includes *ac-BarringForCSFB* or the UE does not support CS fallback:
      - 6> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls is applicable, upon which the procedure ends;
    - 5> else (*SystemInformationBlockType2* does not include *ac-BarringForCSFB* and the UE supports CS fallback):
      - 6> if timer T306 is not running, start T306 with the timer value of T303;
      - 6> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls and mobile originating CS fallback is applicable, upon which the procedure ends;

Upon initiation of the procedure, if the UE is connected to 5GC, the UE shall:

- 1> if the upper layers provide an Access Category and one or more Access Identities upon requesting establishment of an RRC connection:
  - 2> perform the unified access control procedure as specified in 5.3.16 using the Access Category and Access Identities provided by upper layers;
  - 3> if the access attempt is barred, the procedure ends;
- 1> if the resumption of the RRC connection is triggered by response to NG-RAN paging:
  - 2> select '0' as the Access Category;
  - 2> perform the unified access control procedure as specified in 5.3.16 using the selected Access Category and one or more Access Identities provided by upper layers;
  - 3> if the access attempt is barred, the procedure ends;
- 1> else if the resumption of the RRC connection is triggered by upper layers:
  - 2> if the upper layers provide an Access Category and one or more Access Identities:
    - 3> perform the unified access control procedure as specified in 5.3.16 using the Access Category and Access Identities provided by upper layers;
    - 4> if the access attempt is barred, the procedure ends;
  - 2> set the *resumeCause* in accordance with the information received from upper layers;

- 1> else if the resumption of the RRC connection is triggered due to an RNAU:
  - 2> if an emergency service is ongoing:
    - 3> select '2' as the Access Category;
    - 3> set the *resumeCause* to *emergency*;
  - 2> else:
    - 3> select '8' as the Access Category;
  - 2> perform the unified access control procedure as specified in 5.3.16 using the selected Access Category and one or more Access Identities to be applied as specified in TS 24.501 [95];
    - 3> if the access attempt is barred:
      - 4> set the variable *pendingRnaUpdate* to 'TRUE';
      - 4> the procedure ends;

Except for NB-IoT, upon initiating the procedure, if connected to EPC or 5GC, the UE shall:

- 1> if the UE is resuming an RRC connection from a suspended RRC connection or from RRC\_INACTIVE:
  - 2> if the UE was configured with (NG)EN-DC:
    - 3> perform MR-DC release, as specified in TS 38.331 [82], clause 5.3.5.10;
    - 3> release *p-MaxEUTRA*, if configured;
    - 3> release *p-MaxUE-FRI*, if configured;
    - 3> release *tdm-PatternConfig*, if configured;
  - 2> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;
  - 2> release *powerPrefIndicationConfig*, if configured and stop timer T340, if running;
  - 2> release *reportProximityConfig* and clear any associated proximity status reporting timer;
  - 2> release *obtainLocationConfig*, if configured;
  - 2> release *bt-NameListConfig*, if configured;
  - 2> release *wlan-NameListConfig*, if configured;
  - 2> release *idc-Config*, if configured;
  - 2> release *sps-AssistanceInfoReport*, if configured;
  - 2> release *measSubframePatternPCell*, if configured;
  - 2> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);
  - 2> release *naics-Info* for the PCell, if configured;
  - 2> release the LWA configuration, if configured, as described in 5.6.14.3;
  - 2> release the LWIP configuration, if configured, as described in 5.6.17.3;
  - 2> release *bw-PreferenceIndicationTimer*, if configured and stop timer T341, if running;
  - 2> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;
  - 2> release *ailc-BitConfig*, if configured;
  - 2> release *uplinkDataCompression*, if configured;

2> release *overheatingAssistanceConfig*, if configured and stop timer T345, if running;

NOTE 1a: The parameters and configurations are released from the UE Inactive AS context if the UE is resuming an RRC connection from RRC\_INACTIVE.

1> apply the default physical channel configuration as specified in 9.2.4;

1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;

1> apply the default MAC main configuration as specified in 9.2.2;

1> apply the CCCH configuration as specified in 9.1.1.2;

1> apply the *timeAlignmentTimerCommon* included in *SystemInformationBlockType2*;

1> start timer T300;

1> if the UE is resuming an RRC connection from a suspended RRC connection:

2> initiate transmission of the *RRCConnectionResumeRequest* message in accordance with 5.3.3.3a;

1> else if the UE is resuming an RRC connection from RRC\_INACTIVE:

2> set the variable *pendingRnaUpdate* to 'FALSE';

2> initiate transmission of the *RRCConnectionResumeRequest* message in accordance with 5.3.3.3a;

1> else:

2> if stored, discard the UE AS context, UE Inactive AS context and *resumeIdentity*;

2> release *rrc-InactiveConfig*, if configured;

2> if the UE is initiating CP-EDT in accordance with conditions in 5.3.3.1b:

3> initiate transmission of the *RRCEarlyDataRequest* message in accordance with 5.3.3.3b;

2> else:

3> initiate transmission of the *RRCConnectionRequest* message in accordance with 5.3.3.3;

NOTE 2: Upon initiating the connection establishment procedure, the UE is not required to ensure it maintains up to date system information applicable only for UEs in RRC\_IDLE state or UEs in RRC\_INACTIVE. However, the UE needs to perform system information acquisition upon cell re-selection.

For NB-IoT, upon initiation of the procedure, the UE shall:

1> if the UE is establishing or resuming the RRC connection for mobile originating exception data; or

1> if the UE is establishing or resuming the RRC connection for mobile originating data; or

1> if the UE is establishing or resuming the RRC connection for delay tolerant access; or

1> if the UE is establishing or resuming the RRC connection for mobile originating signalling;

2> perform access barring check as specified in 5.3.3.14;

2> if access to the cell is barred:

3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring is applicable, upon which the procedure ends;

1> apply the default physical channel configuration as specified in 9.2.4;

1> apply the default MAC main configuration as specified in 9.2.2;

1> apply the CCCH configuration as specified in 9.1.1.2;

1> start timer T300;

- 1> if the UE is establishing an RRC connection:
  - 2> if stored, discard the UE AS context and *resumeIdentity*;
  - 2> if the UE is initiating CP-EDT in accordance with conditions in 5.3.3.1b:
    - 3> initiate transmission of the *RRCEarlyDataRequest* message in accordance with 5.3.3.3b;
  - 2> else:
    - 3> initiate transmission of the *RRCConnectionRequest* message in accordance with 5.3.3.3;
- 1> else if the UE is resuming an RRC connection:
  - 2> release *schedulingRequestConfig*, if configured;
  - 2> initiate transmission of the *RRCConnectionResumeRequest* message in accordance with 5.3.3.3a;

NOTE 3: Upon initiating the connection establishment or resumption procedure, the UE is not required to ensure it maintains up to date system information applicable only for UEs in RRC\_IDLE state. However, the UE needs to perform system information acquisition upon cell re-selection.

NOTE 4: For EDT, upon initiating the connection establishment or resumption procedure, it is up to UE implementation whether to continue cell re-selection related measurements as well as cell re-selection evaluation and, if the conditions for cell re-selection are fulfilled, whether to perform cell re-selection as specified in 5.3.3.5.

### 5.3.3.3 Actions related to transmission of *RRCConnectionRequest* message

The UE shall set the contents of *RRCConnectionRequest* message as follows:

- 1> if the UE is connected to EPC:
  - 2> set the *ue-Identity* as follows:
    - 3> if upper layers provide an S-TMSI:
      - 4> set the *ue-Identity* to the value received from upper layers;
    - 3> else:
      - 4> draw a random value in the range 0 ..  $2^{40}-1$  and set the *ue-Identity* to this value;

NOTE 1: Upper layers provide the S-TMSI if the UE is registered in the TA of the current cell.

- 2> if the UE supports *mo-VoiceCall* establishment cause and UE is establishing the RRC connection for mobile originating MMTEL voice and *SystemInformationBlockType2* includes *voiceServiceCauseIndication* and the establishment cause received from upper layers is not set to *highPriorityAccess*:
  - 3> set the *establishmentCause* to *mo-VoiceCall*;
- 2> else if the UE supports *mo-VoiceCall* establishment cause for mobile originating MMTEL video and UE is establishing the RRC connection for mobile originating MMTEL video and *SystemInformationBlockType2* includes *videoServiceCauseIndication* and the establishment cause received from upper layers is not set to *highPriorityAccess*:
  - 3> set the *establishmentCause* to *mo-VoiceCall*;
- 2> else:
  - 3> set the *establishmentCause* in accordance with the information received from upper layers;
- 1> if the UE is connected to 5GC:
  - 2> set the *ue-Identity* as follows:
    - 3> if upper layers provide a 5G-S-TMSI:



- 4> set the *ue-Identity* to ng-5G-S-TMSI-Part1;
- 3> else:
  - 4> draw a random value in the range  $0 \dots 2^{40}-1$  and set the *ue-Identity* to this value;
- 2> set the *establishmentCause* in accordance with the information received from upper layers;
- 2> apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1.1 for SRB1;
- 2> use NR PDCP for all subsequent messages received and sent by the UE via SRB1;
- 1> if the UE is a NB-IoT UE:
  - 2> if the UE supports multi-tone transmission, include *multiToneSupport*;
  - 2> if the UE supports multi-carrier operation, include *multiCarrierSupport*;
  - 2> if the UE supports DL channel quality reporting and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:
    - 3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the serving cell as specified in TS 36.133 [16];

NOTE 2: The downlink channel quality measurements may use measurement period T1 or T2, as defined in TS 36.133 [16]. In case period T2 is used the RRC-MAC interactions are left to UE implementation.

- 2> set *earlyContentionResolution* to TRUE;

The UE shall submit the *RRCConnectionRequest* message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.3.5.

### 5.3.3.3a Actions related to transmission of *RRCConnectionResumeRequest* message

If the UE is resuming the RRC connection from a suspended RRC connection, the UE shall set the contents of *RRCConnectionResumeRequest* message as follows:

- 1> if the UE is a NB-IoT UE; or
- 1> if the UE is initiating UP-EDT in accordance with conditions in 5.3.3.1b; or
- 1> if field *useFullResumeID* is signalled in *SystemInformationBlockType2*:
  - 2> set the *resumeID* to the stored *resumeIdentity*;
- 1> else:
  - 2> set the *truncatedResumeID* to include bits in bit position 9 to 20 and 29 to 40 from the left in the stored *resumeIdentity*.
- 1> if the UE supports *mo-VoiceCall* establishment cause and UE is resuming the RRC connection for mobile originating MMTEL voice and *SystemInformationBlockType2* includes *voiceServiceCauseIndication* and the establishment cause received from upper layers is not set to *highPriorityAccess*:
  - 2> set the *resumeCause* to *mo-VoiceCall*;
- 1> else if the UE supports *mo-VoiceCall* establishment cause for mobile originating MMTEL video and UE is resuming the RRC connection for mobile originating MMTEL video and *SystemInformationBlockType2* includes *videoServiceCauseIndication* and the establishment cause received from upper layers is not set to *highPriorityAccess*:
  - 2> set the *resumeCause* to *mo-VoiceCall*;
- 1> else:

- 2> set the *resumeCause* in accordance with the information received from upper layers;
- 1> set the *shortResumeMAC-I* to the 16 least significant bits of the MAC-I calculated:
  - 2> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortResumeMAC-Input* (or *VarShortResumeMAC-Input-NB* in NB-IoT);
  - 2> with the  $K_{\text{RRCint}}$  key and the previously configured integrity protection algorithm; and
  - 2> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;
- 1> if the UE is a NB-IoT UE:
  - 2> if the UE supports DL channel quality reporting and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:
    - 3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the serving cell as specified in TS 36.133 [16];

NOTE 0: The downlink channel quality measurements may use measurement period T1 or T2, as defined in TS 36.133 [16]. In case period T2 is used the RRC-MAC interactions are left to UE implementation.

- 2> set *earlyContentionResolution* to TRUE;
- 1> restore the RRC configuration and security context from the stored UE AS context;
- 1> if the UE is initiating UP-EDT in accordance with conditions in 5.3.3.1b:
  - 2> restore the PDCP state and re-establish PDCP entities for all SRBs and all DRBs;
  - 2> if *drb-ContinueROHC* has been provided in immediately preceding RRC connection release message, and the UE is requesting to resume RRC connection in the same cell:
    - 3> indicate to lower layers that stored UE AS context is used and that *drb-ContinueROHC* is configured;
    - 3> continue the header compression protocol context for the DRBs configured with the header compression protocol;
  - 2> else:
    - 3> indicate to lower layers that stored UE AS context is used;
    - 3> reset the header compression protocol context for the DRBs configured with the header compression protocol;
  - 2> resume all SRBs and all DRBs;
  - 2> derive the  $K_{\text{eNB}}$  key based on the  $K_{\text{ASME}}$  key to which the current  $K_{\text{eNB}}$  is associated, using the stored value of *nextHopChainingCount* received in the *RRCCConnectionRelease* message in the preceding connection, as specified in TS 33.401 [32];
  - 2> derive the  $K_{\text{RRCint}}$  key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];
  - 2> derive the  $K_{\text{RRCenc}}$  key and the  $K_{\text{UPenc}}$  key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];
  - 2> configure lower layers to resume integrity protection using the previously configured algorithm and the  $K_{\text{RRCint}}$  key derived in this clause to all subsequent messages received and sent by the UE;
  - 2> configure lower layers to resume ciphering and to apply the ciphering algorithm and the  $K_{\text{RRCenc}}$  key derived in this clause to all subsequent messages received and sent by the UE;
  - 2> configure lower layers to resume ciphering and to apply the ciphering algorithm and the  $K_{\text{UPenc}}$  key derived in this clause immediately to the user data sent and received by the UE;
  - 2> configure the lower layers to use EDT;

1> else:

2> if SRB1 was configured with NR PDCP:

3> for SRB1, release the NR PDCP entity and establish an E-UTRA PDCP entity with the current (MCG) security configuration;

NOTE 1: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.

2> else:

3> for SRB1, restore the PDCP state and re-establish the PDCP entity;

If the UE is resuming the RRC connection from RRC\_INACTIVE, the UE shall set the contents of *RRConnectionResumeRequest* message as follows:

2> if field *useFullResumeID* is signalled in *SystemInformationBlockType2*:

3> set the *fullI-RNTI* to the stored *fullI-RNTI* value provided in suspend;

2> else:

3> set the *shortI-RNTI* to the stored *shortI-RNTI* value provided in suspend;

2> restore the RRC configuration, RoHC state, the stored QoS flow to DRB mapping rules and the  $K_{eNB}$  and  $K_{RRCint}$  keys from the UE Inactive AS context except physical layer, MAC configuration and NR *pdcp-Config*;

2> set the *shortResumeMAC-I* to the 16 least significant bits of the MAC-I calculated:

3> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortINACTIVE-MAC-Input*;

3> with the  $K_{RRCint}$  key in the UE Inactive AS Context and the previously configured integrity protection algorithm; and

3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

2> derive the  $K_{eNB}$  key based on the current  $K_{eNB}$  or the NH, using the stored *nextHopChainingCount* value, as specified in TS 33.501 [86];

2> derive the  $K_{RRCenc}$  key, the  $K_{RRCint}$  and the  $K_{UPenc}$  key, as specified in TS 33.401 [32];

2> apply the default configuration for SRB1 as specified in 9.2.1.1;

2> apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1 for SRB1;

2> configure lower layers to resume integrity protection for all SRBs except SRB0 using the configured algorithm and the  $K_{RRCint}$  key derived in this clause immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;

2> configure lower layers to resume ciphering for all radio bearers except SRB0 and to apply the configured ciphering algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key derived in this clause, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

Following procedures are applied for both suspended RRC connection and RRC\_INACTIVE:

2> resume SRB1;

NOTE 2: Until successful connection resumption, the default physical layer configuration and the default MAC Main configuration are applied for the transmission of SRB0 and SRB1, and SRB1 is used only for the transfer of *RRConnectionResume* message, and *RRConnectionRelease* message if security has been re-activated.

The UE shall submit the *RRConnectionResumeRequest* message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation.

If the UE is resuming the RRC connection from RRC\_INACTIVE and if lower layers indicate an integrity check failure while T300 is running, the UE shall perform actions specified in 5.3.3.16.

### 5.3.3.3b Actions related to transmission of *RRCEarlyDataRequest* message

The UE shall set the contents of *RRCEarlyDataRequest* message as follows:

- 1> set the *s-TMSI* to the value received from upper layers;
- 1> set the *establishmentCause* in accordance with the information received from upper layers;
- 1> if the UE is a NB-IoT UE:
  - 2> if the UE supports DL channel quality reporting and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:
    - 3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the serving cell as specified in TS 36.133 [16];

NOTE: The downlink channel quality measurements may use measurement period T1 or T2, as defined in TS 36.133 [16]. In case period T2 is used the RRC-MAC interactions are left to UE implementation.

- 1> set the *dedicatedInfoNAS* to include the information received from upper layers;

The UE shall configure the lower layers to use EDT and submit the *RRCEarlyDataRequest* message to the lower layers for transmission.

### 5.3.3.3c UE actions upon receiving EDT fallback indication from lower layers

Upon indication from lower layers that EDT is cancelled, the UE shall:

- 1> start or restart timer T300;
- 1> if the fallback is indicated by lower layers in response to the *RRCEarlyDataRequest*:
  - 2> initiate transmission of *RRCCConnectionRequest* message in accordance with 5.3.3.3;
- 1> else if the fallback is indicated by lower layers in response to the *RRCCConnectionResumeRequest* for EDT and the fallback is not due to the UL grant provided in Random Access Response not being for EDT:
  - 2> perform the actions upon abortion of UP-EDT as specified in 5.3.3.9a;
  - 2> initiate transmission of the *RRCCConnectionResumeRequest* message in accordance with 5.3.3.3a;

NOTE: It is up to UE implementation to avoid data loss due to EDT fallback.

### 5.3.3.4 Reception of the *RRCCConnectionSetup* by the UE

NOTE 1: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6];

The UE shall:

- 1> if the *RRCCConnectionSetup* is received in response to an *RRCCConnectionResumeRequest* from a suspended RRC connection:
  - 2> if the UE has initiated UP-EDT:
    - 3> discard any current AS security context including the  $K_{RRCEnc}$  key, the  $K_{RRCint}$  key, the  $K_{UPint}$  key and the  $K_{UPenc}$  key;
  - 2> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity for all established or suspended RBs, except for SRB0;
  - 2> discard the stored UE AS context and *resumeIdentity*;
  - 2> if stored, discard the stored *nextHopChainingCount*;

- 2> if stored, discard the stored *drb-ContinueROHC*;
- 2> indicate to upper layers fallback of the RRC connection;
- 1> if the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest* from RRC\_INACTIVE:
  - 2> stop T380 if running;
  - 2> discard the stored UE Inactive AS context;
  - 2> release *rrc-InactiveConfig*, if configured;
  - 2> discard any current AS security context including the  $K_{RRCCenc}$  key, the  $K_{RRCint}$  key, the  $K_{UPint}$  key and the  $K_{UPenc}$  key;
  - 2> release radio resources for all established RBs except SRB0, including release of the RLC entities, of the associated PDCP entities and of SDAP entities;
  - 2> release the RRC configuration except for the default L1 parameter values, default MAC main configuration and CCCH;
  - 2> apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1.1 for SRB1;
  - 2> use NR PDCP for all subsequent messages received and sent by the UE via SRB1;
  - 2> indicate to upper layers fallback of the RRC connection;
- 1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;
- 1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControllInfo* or inherited from another RAT;
- 1> if stored, discard the dedicated offset provided by the *redirectedCarrierOffsetDedicated*;
- 1> stop timer T300;
- 1> if T302 is running:
  - 2> stop timer T302;
  - 2> if the UE is connected to 5GC:
    - 3> perform the actions as specified in 5.3.16.4;
- 1> stop timer T303, if running;
- 1> stop timer T305, if running;
- 1> stop timer T306, if running;
- 1> stop timer T308, if running;
- 1> perform the actions as specified in 5.3.3.7;
- 1> stop timer T320, if running;
- 1> stop timer T350, if running;
- 1> perform the actions as specified in 5.6.12.4;
- 1> release *rclwi-Configuration*, if configured, as specified in 5.6.16.2;
- 1> stop timer T360, if running;
- 1> stop timer T322, if running;

- 1> stop timer T331, if running;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> enter RRC\_CONNECTED;
- 1> stop the cell re-selection procedure;
- 1> consider the current cell to be the PCell;
- 1> set the content of *RRCCConnectionSetupComplete* message as follows:
  - 2> if the *RRCCConnectionSetup* is received in response to an *RRCCConnectionResumeRequest*:
    - 3> if upper layers provide an S-TMSI:
      - 4> set the *s-TMSI* to the value received from upper layers;
    - 3> else if upper layers provide a 5G-S-TMSI:
      - 4> set the *ng-5G-S-TMSI-Bits* to *ng-5G-S-TMSI* with the value received from upper layers;
  - 2> else if upper layers provide a 5G-S-TMSI:
    - 3> set the *ng-5G-S-TMSI-Bits* to *ng-5G-S-TMSI-Part2* to the leftmost 8 bits of 5G-S-TMSI received from upper layers;
  - 2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1* (or *SystemInformationBlockType1-NB* in NB-IoT);
  - 2> if upper layers provide the 'Registered MME', include and set the *registeredMME* as follows:
    - 3> if the PLMN identity of the 'Registered MME' is different from the PLMN selected by the upper layers:
      - 4> include the *plmnIdentity* in the *registeredMME* and set it to the value of the PLMN identity in the 'Registered MME' received from upper layers;
    - 3> set the *mmegi* and the *mmec* to the value received from upper layers;
  - 2> if upper layers provided the 'Registered MME':
    - 3> include and set the *gummei-Type* to the value provided by the upper layers;
  - 2> if upper layers provide the 'Registered AMF', include and set the *registeredAMF* as follows:
    - 3> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:
      - 4> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;
    - 3> set the *amf-Identifier* to AMF Identifier of the 'Registered AMF' received from upper layers;
  - 2> if upper layers provided the 'Registered AMF':
    - 3> include and set the *guami-Type* to the value provided by the upper layers;
  - 2> if upper layers provide one or more S-NSSAI (see TS 23.003 [27]):
    - 3> include the *s-NSSAI-list* and set the content to the values provided by the upper layers;
  - 2> if the UE supports CIoT EPS optimisation(s):
    - 3> include *attachWithoutPDN-Connectivity* if received from upper layers;

- 3> include *up-CIoT-EPS-Optimisation* if received from upper layers;
- 3> except for NB-IoT, include *cp-CIoT-EPS-Optimisation* if received from upper layers;
- 2> if connecting as an RN:
  - 3> include the *rn-SubframeConfigReq*;
- 2> if the *RRCCConnectionSetup* is received in response to *RRCEarlyDataRequest*:
  - 3> set the *dedicatedInfoNAS* to a zero-length octet string;
- 2> else:
  - 3> set the *dedicatedInfoNAS* to include the information received from upper layers;
- 2> if the UE is connected to EPC:
  - 3> except for NB-IoT:
    - 4> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
      - 5> include *rlf-InfoAvailable*;
    - 4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
      - 5> include *logMeasAvailableMBSFN*;
    - 4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
      - 5> include *logMeasAvailable*;
      - 5> if Bluetooth measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
        - 6> include *logMeasAvailableBT*;
      - 5> if WLAN measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
        - 6> include *logMeasAvailableWLAN*;
    - 4> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
      - 5> include *connEstFailInfoAvailable*;
    - 4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;
    - 4> if the UE has flight path information available:
      - 5> include *flightPathInfoAvailable*;
  - 3> for NB-IoT:
    - 4> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:
      - 5> set the *measResultServCell* to include the measurements of the serving cell;

NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

- 3> include *dcn-ID* if a DCN-ID value (see TS 23.401 [41]) is received from upper layers;
- 2> except for NB-IoT:
  - 3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:
    - 4> include the *mobilityHistoryAvail*;
  - 3> if the SIB2 contains *idleModeMeasurements*, and the UE has IDLE mode measurement information available in *VarMeasIdleReport*:
    - 4> include the *idleMeasAvailable*;
- 2> if UE needs UL gaps during continuous uplink transmission:
  - 3> include *ue-CE-NeedULGaps*;
- 1> submit the *RRCCConnectionSetupComplete* message to lower layers for transmission;
- 1> the procedure ends.

#### 5.3.3.4a Reception of the *RRCCConnectionResume* by the UE

The UE shall:

- 1> stop timer T300;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> stop T380 if running;
- 1> except if the *RRCCConnectionResume* is received in response to an *RRCCConnectionResumeRequest* for EDT:
  - 2> if resuming an RRC connection from a suspended RRC connection:
    - 3> restore the PDCP state and re-establish PDCP entities for SRB2, if configured with E-UTRA PDCP, and for all DRBs that are configured with E-UTRA PDCP;
    - 3> if *drb-ContinueROHC* is included:
      - 4> indicate to lower layers that stored UE AS context is used and that *drb-ContinueROHC* is configured;
      - 4> continue the header compression protocol context for the DRBs configured with the header compression protocol;
    - 3> else:
      - 4> indicate to lower layers that stored UE AS context is used;
      - 4> reset the header compression protocol context for the DRBs configured with the header compression protocol;
    - 3> discard the stored UE AS context and *resumeIdentity*;
  - 2> else if the *RRCCConnectionResume* message includes the *fullConfig* (for resuming an RRC connection from RRC\_INACTIVE):
    - 3> perform the radio configuration procedure as specified in 5.3.5.8;
  - 2> else (for resuming an RRC connection from RRC\_INACTIVE):



3> restore the physical layer configuration, the MAC configuration, the RLC configuration and the PDCP configuration from the stored UE Inactive AS context;

3> discard the stored UE Inactive AS context;

3> release the *rrc-InactiveConfig*, except *ran-NotificationAreaInfo*;

1> else:

2> discard the stored UE AS context and *resumeIdentity*;

1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;

NOTE 1: When performing the radio resource configuration procedure, for the physical layer configuration and the MAC Main configuration, the restored RRC configuration from the stored UE AS context is used as basis for the reconfiguration.

1> if the received *RRCConnectionResume* message includes the *sk-Counter*:

2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.8;

1> if the received *RRCConnectionResume* message includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionResume* message includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> except if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for EDT:

2> resume SRB2 and all DRBs, if any, including RBs configured with NR PDCP;

1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;

1> if stored, discard the dedicated offset provided by the *redirectedCarrierOffsetDedicated*;

1> if the *RRCConnectionResume* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if the *RRCConnectionResume* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.10.9;

1> if T302 is running:

2> stop timer T302;

2> if the UE is connected to 5GC:

3> perform the actions as specified in 5.3.16.4;

1> stop timer T303, if running;

1> stop timer T305, if running;

1> stop timer T306, if running;

1> stop timer T308, if running;

1> perform the actions as specified in 5.3.3.7;

1> stop timer T320, if running;

1> stop timer T350, if running;

- 1> perform the actions as specified in 5.6.12.4;
- 1> stop timer T360, if running;
- 1> stop timer T322, if running;
- 1> stop timer T331, if running;
- 1> if the *RRCCConnectionResume* is received in response to an *RRCCConnectionResumeRequest* for EDT or an *RRCCConnectionResumeRequest* from RRC\_INACTIVE:
  - 2> ignore the *nextHopChainingCount* value indicated in the *RRCCConnectionResume* message;
- 1> else:
  - 2> if resuming an RRC connection from a suspended RRC connection:
    - 3> update the  $K_{eNB}$  key based on the  $K_{ASME}$  key to which the current  $K_{eNB}$  is associated, using the *nextHopChainingCount* value indicated in the *RRCCConnectionResume* message, as specified in TS 33.401 [32];
    - 3> store the *nextHopChainingCount* value;
    - 3> derive the  $K_{RRCint}$  key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];
    - 3> request lower layers to verify the integrity protection of the *RRCCConnectionResume* message, using the previously configured algorithm and the  $K_{RRCint}$  key;
    - 3> if the integrity protection check of the *RRCCConnectionResume* message fails:
      - 4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;
    - 3> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];
    - 3> configure lower layers to resume integrity protection using the previously configured algorithm and the  $K_{RRCint}$  key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;
    - 3> configure lower layers to resume ciphering and to apply the ciphering algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;
  - 1> enter RRC\_CONNECTED;
  - 1> indicate to upper layers that the suspended RRC connection has been resumed;
  - 1> stop the cell re-selection procedure;
  - 1> consider the current cell to be the PCell;
  - 1> set the content of *RRCCConnectionResumeComplete* message as follows:
    - 2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;
    - 2> set the *dedicatedInfoNAS* to include the information received from upper layers;
    - 2> except for NB-IoT:
      - 3> if resuming an RRC connection from a suspended RRC connection:
        - 4> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

- 5> include *rlf-InfoAvailable*;
- 4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 5> include *logMeasAvailableMBSFN*;
- 4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 5> include *logMeasAvailable*;
  - 5> if Bluetooth measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 6> include *logMeasAvailableBT*;
  - 5> if WLAN measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 6> include *logMeasAvailableWLAN*;
- 4> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
  - 5> include *connEstFailInfoAvailable*;
- 4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;
- 4> if the UE has flight path information available:
  - 5> include *flightPathInfoAvailable*;
- 3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:
  - 4> include *mobilityHistoryAvail*;
- 3> if the SIB2 contains *idleModeMeasurements*, and the UE has IDLE mode measurement information available in *VarMeasIdleReport*:
  - 4> include the *idleMeasAvailable*;
- 2> for NB-IoT:
  - 3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:
    - 4> set the *measResultServCell* to include the measurements of the serving cell;

NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

1> submit the *RRConnectionResumeComplete* message to lower layers for transmission;

1> the procedure ends.

### 5.3.3.4b Reception of the *RRCEarlyDataComplete* by the UE

The UE shall:

- 1> indicate to upper layers that the RRC connection has been established;
- 1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;

- 1> if stored, discard the dedicated offset provided by the *redirectedCarrierOffsetDedicated*;
- 1> stop timer T300;
- 1> stop timer T302, if running;
- 1> stop timer T303, if running;
- 1> stop timer T305, if running;
- 1> stop timer T306, if running;
- 1> stop timer T308, if running;
- 1> perform the actions as specified in 5.3.3.7;
- 1> stop timer T320, if running;
- 1> stop timer T322, if running;
- 1> forward the *dedicatedInfoNAS*, if received, to the upper layers;
- 1> reset MAC and release the MAC configuration;
- 1> if the *RRCEarlyDataComplete* message includes *redirectedCarrierInfo* indicating redirection to *geran*; and
- 1> if upper layers indicate that redirect to GERAN without AS security is not allowed:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;
- 1> if the *RRCEarlyDataComplete* message includes *idleModeMobilityControlInfo*:
  - 2> store the cell reselection priority information provided by the *idleModeMobilityControlInfo*;
  - 2> if the *t320* is included:
    - 3> start timer T320, with the timer value set according to the value of *t320*;
- 1> else:
  - 2> apply the cell reselection priority information broadcast in the system information;
- 1> for NB-IoT, if the *RRCEarlyDataComplete* message includes *redirectedCarrierInfo*:
  - 2> if the *redirectedCarrierOffsetDedicated* is included in the *redirectedCarrierInfo*:
    - 3> store the dedicated offset for the frequency in *redirectedCarrierInfo*;
    - 3> start timer T322, with the timer value set according to the value of *T322* in *redirectedCarrierInfo*;
- 1> if the *extendedWaitTime* is present; and
- 1> if the UE supports delay tolerant access or the UE is a NB-IoT UE:
  - 2> forward the *extendedWaitTime* to upper layers;
- 1> indicate the release of the RRC connection to upper layers together with the release cause 'other', upon which the procedure ends;

### 5.3.3.5 Cell re-selection or cell selection while T300, T302, T303, T305, T306, T308 or T309 is running

The UE shall:

- 1> if cell selection or reselection occurs while T309 or T302 is running and if the UE is connected to 5GC:
  - 2> stop timer T309 for all access categories, if running;

- 2> if in RRC\_INACTIVE and T302 is running:
  - 3> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12 with release cause 'RRC Resume failure';
- 2> else:
  - 3> stop timer T302, if running;
  - 3> perform the actions as specified in 5.3.16.4;
- 1> if in RRC\_INACTIVE:
  - 2> if cell reselection occurs while T300 is running:
    - 3> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12 with release cause 'RRC Resume failure';
  - 1> else if cell reselection occurs while T300, T302, T303, T305, T306, or T308 is running:
    - 2> if timer T302, T303, T305, T306, and/or T308 is running and if the UE is connected to EPC:
      - 3> stop timer T302, T303, T305, T306, and T308, whichever ones were running;
      - 3> perform the actions as specified in 5.3.3.7;
    - 2> if timer T300 is running:
      - 3> stop timer T300;
      - 3> if UE has sent *RRCCConnectionResumeRequest* message and has not received *RRCCConnectionResume* message:
        - 4> reset MAC;
        - 4> if UE has initiated UP-EDT:
          - 5> perform the actions upon abortion of UP-EDT as specified in 5.3.3.9a;
        - 4> else:
          - 5> re-establish RLC for all RBs that are established;
          - 5> suspend SRB1;
      - 3> else:
        - 4> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;
      - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication;

### 5.3.3.6 T300 expiry

The UE shall:

- 1> if timer T300 expires:
  - 2> if UE has sent *RRCCConnectionResumeRequest* message and has not received *RRCCConnectionResume* message:
    - 3> reset MAC;
    - 3> if UE has initiated UP-EDT:
      - 4> perform the actions upon abortion of UP-EDT as specified in 5.3.3.9a;
    - 3> else:

- 4> re-establish RLC for all RBs that are established;
- 4> suspend SRB1;
- 2> else:
  - 3> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;
- 2> if the UE is a NB-IoT UE:
  - 3> if *connEstFailOffset* is included in *SystemInformationBlockType2-NB*:
    - 4> use *connEstFailOffset* for the parameter  $Q_{\text{offset,temp}}$  for the concerned cell when performing cell selection and reselection according to TS 36.304 [4];
  - 3> else:
    - 4> use value of infinity for the parameter  $Q_{\text{offset,temp}}$  for the concerned cell when performing cell selection and reselection according to TS 36.304 [4];

NOTE 0: For NB-IoT, the number of times that the UE detects T300 expiry on the same cell before applying *connEstFailOffset* and the amount of time that the UE applies *connEstFailOffset* before removing the offset from evaluation of the cell is up to UE implementation.

- 2> else if the UE supports RRC Connection Establishment failure temporary  $Q_{\text{offset}}$  and T300 has expired a consecutive *connEstFailCount* times on the same cell for which *txFailParams* is included in *SystemInformationBlockType2*:
  - 3> for a period as indicated by *connEstFailOffsetValidity*:
    - 4> use *connEstFailOffset* for the parameter  $Q_{\text{offset,temp}}$  for the concerned cell when performing cell selection and reselection according to TS 36.304 [4] and TS 25.304 [40];

NOTE 1: When performing cell selection, if no suitable or acceptable cell can be found, it is up to UE implementation whether to stop using *connEstFailOffset* for the parameter  $Q_{\text{offset,temp}}$  during *connEstFailOffsetValidity* for the concerned cell.

- 2> except for NB-IoT, store the following connection establishment failure information in the *VarConnEstFailReport* by setting its fields as follows:
  - 3> clear the information included in *VarConnEstFailReport*, if any;
  - 3> set the *plmn-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;
  - 3> set the *failedCellId* to the global cell identity of the cell where connection establishment failure is detected;
  - 3> set the *measResultFailedCell* to include the RSRP and RSRQ, if available, of the cell where connection establishment failure is detected and based on measurements collected up to the moment the UE detected the failure;
  - 3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell reselection, to include neighbouring cell measurements for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies (GERAN) per RAT and according to the following:
    - 4> for each neighbour cell included, include the optional fields that are available;

NOTE 2: The UE includes the latest results of the available measurements as used for cell reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

- 3> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;
- 3> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

- 3> if detailed location information is available, set the content of the *locationInfo* as follows:
  - 4> include the *locationCoordinates*;
  - 4> include the *horizontalVelocity*, if available;
- 3> set the *numberOfPreamblesSent* to indicate the number of preambles sent by MAC for the failed random access procedure;
- 3> set *contentionDetected* to indicate whether contention resolution was not successful as specified in TS 36.321 [6] for at least one of the transmitted preambles for the failed random access procedure;
- 3> set *maxTxPowerReached* to indicate whether or not the maximum power level was used for the last transmitted preamble, see TS 36.321 [6];
- 2> if in RRC\_INACTIVE:
  - 3> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'RRC Resume failure';
- 2> else inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication, upon which the procedure ends;

The UE may discard the connection establishment failure information, i.e. release the UE variable *VarConnEstFailReport*, 48 hours after the failure is detected, upon power off or upon detach.

### 5.3.3.7 T302, T303, T305, T306, or T308 expiry or stop

If the UE is connected to EPC, the UE shall:

- 1> if timer T302 expires or is stopped:
  - 2> inform upper layers about barring alleviation for mobile terminating access;
- 2> if timer T303 is not running:
  - 3> inform upper layers about barring alleviation for mobile originating calls;
- 2> if timer T305 is not running:
  - 3> inform upper layers about barring alleviation for mobile originating signalling;
- 2> if timer T306 is not running:
  - 3> inform upper layers about barring alleviation for mobile originating CS fallback;
- 2> if timer T308 is not running:
  - 3> inform upper layers about barring alleviation for ACDC;
- 1> if timer T303 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating calls;
- 1> if timer T305 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating signalling;
- 1> if timer T306 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating CS fallback;

- 1> if timer T308 expires or is stopped:
- 2> if timer T302 is not running:
- 3> inform upper layers about barring alleviation for ACDC;

### 5.3.3.8 Reception of the *RRCCConnectionReject* by the UE

The UE shall:

- 1> stop timer T300;
- 1> stop timer T302, if running;
- 1> reset MAC;
- 1> except for NB-IoT, start timer T302, with the timer value set to the *waitTime*;
- 1> if the UE is a NB-IoT UE; or
- 1> if the *extendedWaitTime* is present and the UE supports delay tolerant access:
  - 2> forward the *extendedWaitTime* to upper layers;
- 1> if *deprioritisationReq* is included and the UE supports RRC Connection Reject with deprioritisation:
  - 2> start or restart timer T325 with the timer value set to the *deprioritisationTimer* signalled;
  - 2> store the *deprioritisationReq* until T325 expiry;

NOTE: The UE stores the deprioritisation request irrespective of any cell reselection absolute priority assignments (by dedicated or common signalling) and regardless of RRC connections in E-UTRAN or other RATs unless specified otherwise.

- 1> if the *RRCCConnectionReject* is received in response to an *RRCCConnectionResumeRequest* sent to resume a suspended RRC connection:
  - 2> if the *rrc-SuspendIndication* is not present:
    - 3> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity for all established or suspended RBs;
    - 3> discard the stored UE AS context and *resumeIdentity*;
    - 3> inform upper layers about the failure to resume the RRC connection without suspend indication and that access barring for mobile originating calls, mobile originating signalling, mobile terminating access and except for NB-IoT for mobile originating CS fallback is applicable, upon which the procedure ends;
  - 2> else:
    - 3> if the *RRCCConnectionReject* is received in response to an *RRCCConnectionResumeRequest* for EDT:
      - 4> perform the actions upon abortion of UP-EDT as specified in 5.3.3.9a;
    - 3> else:
      - 4> suspend SRB1;
    - 3> inform upper layers about the failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls, mobile originating signalling, mobile terminating access and except for NB-IoT for mobile originating CS fallback is applicable, upon which the procedure ends;
- 1> else if the *RRCCConnectionReject* is received in response to an *RRCCConnectionResumeRequest* sent while in RRC\_INACTIVE:
  - 2> release the default MAC configuration;



- 2> if *RRCConnectionReject* is received in response to a request from upper layers:
  - 3> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2';
- 2> if *RRCConnectionReject* is received in response to an *RRCConnectionResumeRequest*:
  - 3> if resume is triggered by upper layers:
    - 4> inform upper layers about the failure to resume the RRC connection;
  - 3> if resume is triggered due to an RNA update:
    - 4> set the variable *pendingRnaUpdate* to 'TRUE';
  - 3> discard the current  $K_{eNB}$ ,  $K_{RRCenc}$  key,  $K_{RRCint}$ ,  $K_{UPint}$  key and  $K_{UPenc}$  key;
  - 3> suspend SRB1, upon which the procedure ends;
- 2> The UE shall continue to monitor RAN and CN paging while the timer T302 is running.
- 1> else:
  - 2> release the default MAC configuration;
  - 2> inform upper layers about the failure to establish the RRC connection and that access barring for mobile originating calls, mobile originating signalling, mobile terminating access and except for NB-IoT, for mobile originating CS fallback is applicable, upon which the procedure ends;

### 5.3.3.9 Abortion of RRC connection establishment

If upper layers abort the RRC connection establishment procedure while the UE has not yet entered RRC\_CONNECTED, the UE shall:

- 1> stop timer T300, if running;
- 1> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;

### 5.3.3.9a Abortion of UP-EDT

The UE shall:

- 1> delete the  $K_{eNB}$ ,  $K_{RRCint}$ ,  $K_{RRCenc}$  and  $K_{UPenc}$  keys derived in accordance with 5.3.3.3a;
- 1> re-establish RLC entities for all SRBs and DRBs;
- 1> suspend all SRB(s) and DRB(s) except SRB0;
- 1> configure lower layers to suspend integrity protection and ciphering.

### 5.3.3.10 Handling of SSAC related parameters

Upon request from the upper layers, the UE shall:

- 1> if *SystemInformationBlockType2* includes *ac-BarringPerPLMN-List* and the *ac-BarringPerPLMN-List* contains an *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):
  - 2> select the *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
  - 2> in the remainder of this procedure, use the selected *AC-BarringPerPLMN* entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the common access barring parameters included in *SystemInformationBlockType2*;
- 1> else:

2> in the remainder of this procedure use the common access barring parameters (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2*;

1> set the local variables *BarringFactorForMMTEL-Voice* and *BarringTimeForMMTEL-Voice* as follows:

2> if *ssac-BarringForMMTEL-Voice* is present:

3> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and

NOTE: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.

3> if, for at least one of these Access Classes, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ssac-BarringForMMTEL-Voice* is set to zero:

4> set *BarringFactorForMMTEL-Voice* to one and *BarringTimeForMMTEL-Voice* to zero;

3> else:

4> set *BarringFactorForMMTEL-Voice* and *BarringTimeForMMTEL-Voice* to the value of *ac-BarringFactor* and *ac-BarringTime* included in *ssac-BarringForMMTEL-Voice*, respectively;

2> else set *BarringFactorForMMTEL-Voice* to one and *BarringTimeForMMTEL-Voice* to zero;

1> set the local variables *BarringFactorForMMTEL-Video* and *BarringTimeForMMTEL-Video* as follows:

2> if *ssac-BarringForMMTEL-Video* is present:

3> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and

3> if, for at least one of these Access Classes, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ssac-BarringForMMTEL-Video* is set to zero:

4> set *BarringFactorForMMTEL-Video* to one and *BarringTimeForMMTEL-Video* to zero;

3> else:

4> set *BarringFactorForMMTEL-Video* and *BarringTimeForMMTEL-Video* to the value of *ac-BarringFactor* and *ac-BarringTime* included in *ssac-BarringForMMTEL-Video*, respectively;

2> else set *BarringFactorForMMTEL-Video* to one and *BarringTimeForMMTEL-Video* to zero;

1> forward the variables *BarringFactorForMMTEL-Voice*, *BarringTimeForMMTEL-Voice*, *BarringFactorForMMTEL-Video* and *BarringTimeForMMTEL-Video* to the upper layers;

### 5.3.3.11 Access barring check

1> if timer T302 or "Tbarring" is running:

2> consider access to the cell as barred;

1> else if *SystemInformationBlockType2* includes "AC barring parameter":

2> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and

NOTE: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.

2> for at least one of these valid Access Classes the corresponding bit in the *ac-BarringForSpecialAC* contained in "AC barring parameter" is set to zero:

3> consider access to the cell as not barred;

2> else:

- 3> draw a random number '*rand*' uniformly distributed in the range:  $0 \leq rand < 1$ ;
- 3> if '*rand*' is lower than the value indicated by *ac-BarringFactor* included in "AC barring parameter":
  - 4> consider access to the cell as not barred;
- 3> else:
  - 4> consider access to the cell as barred;
- 1> else:
  - 2> consider access to the cell as not barred;
- 1> if access to the cell is barred and both timers T302 and "Tbarring" are not running:
  - 2> draw a random number '*rand*' that is uniformly distributed in the range  $0 \leq rand < 1$ ;
  - 2> start timer "Tbarring" with the timer value calculated as follows, using the *ac-BarringTime* included in "AC barring parameter":
    - "Tbarring" =  $(0.7 + 0.6 * rand) * ac-BarringTime$ ;

### 5.3.3.12 EAB check

The UE shall:

- 1> if *SystemInformationBlockType14* is present:
  - 2> if *eab-PerRSRP* is included:
    - 3> if the *establishmentCause* received from higher layers is set to a value other than *emergency*; and
    - 3> if the UE has no Access Class, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11] :
      - 4> if *eab-PerRSRP* is set to *thresh0*:
        - 5> consider access to the cell as barred when in enhanced coverage as specified in TS 36.304 [4];
      - 4> else if *eab-PerRSRP* is set to *thresh1*:
        - 5> if the measured RSRP is less than the first entry in *rsrp-ThresholdsPrachInfoList*:
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first CE level are configured;
      - 4> else if *eab-PerRSRP* is set to *thresh2*:
        - 5> if the measured RSRP is less than the second entry in *rsrp-ThresholdsPrachInfoList*:
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first and second CE levels are configured;
      - 4> else if *eab-PerRSRP* is set to *thresh3*:
        - 5> if the measured RSRP is less than the third entry in *rsrp-ThresholdsPrachInfoList*:
          - 6> consider access to the cell as barred;
        - 5> else:

- 6> consider that only the resources indicated for the first, second, and third CE levels are configured;
- 2> if access to the cell is not barred due to *eab-PerRSRP* and *eab-Param* is included:
  - 3> if the *eab-Common* is included in the *eab-Param*:
    - 4> if the UE belongs to the category of UEs as indicated in the *eab-Category* contained in *eab-Common*; and
    - 4> if for the Access Class of the UE, as stored on the USIM and with a value in the range 0..9, the corresponding bit in the *eab-BarringBitmap* contained in *eab-Common* is set to *one*:
      - 5> consider access to the cell as barred;
    - 4> else:
      - 5> consider access to the cell as not barred due to EAB;
  - 3> else (the *eab-PerPLMN-List* is included in the *eab-Param*):
    - 4> select the entry in the *eab-PerPLMN-List* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]);
    - 4> if the *eab-Config* for that PLMN is included:
      - 5> if the UE belongs to the category of UEs as indicated in the *eab-Category* contained in *eab-Config*; and
      - 5> if for the Access Class of the UE, as stored on the USIM and with a value in the range 0..9, the corresponding bit in the *eab-BarringBitmap* contained in *eab-Config* is set to *one*:
        - 6> consider access to the cell as barred;
      - 5> else:
        - 6> consider access to the cell as not barred due to EAB;
    - 4> else:
      - 5> consider access to the cell as not barred due to EAB;
- 1> else:
  - 2> consider access to the cell as not barred due to EAB;

### 5.3.3.13 Access barring check for ACDC

The UE shall:

- 1> if timer T302 is running:
  - 2> consider access to the cell as barred;
- 1> else if *SystemInformationBlockType2* includes "ACDC barring parameter":
  - 2> draw a random number '*rand*' uniformly distributed in the range:  $0 \leq rand < 1$ ;
  - 2> if '*rand*' is lower than the value indicated by *ac-BarringFactor* included in "ACDC barring parameter":
    - 3> consider access to the cell as not barred;
  - 2> else:
    - 3> consider access to the cell as barred;
- 1> else:

- 2> consider access to the cell as not barred;
- 1> if access to the cell is barred and timer T302 is not running:
  - 2> draw a random number '*rand*' that is uniformly distributed in the range  $0 \leq rand < 1$ ;
  - 2> start timer "Tbarring" with the timer value calculated as follows, using the *ac-BarringTime* included in "ACDC barring parameter":
    - "Tbarring" =  $(0.7 + 0.6 * rand) * ac-BarringTime$ .

### 5.3.3.14 Access Barring check for NB-IoT

The UE shall:

- 1> if *ab-Enabled* included in *MasterInformationBlock-NB* / *MasterInformationBlock-TDD-NB* is set to *TRUE* and *SystemInformationBlockType14-NB* is broadcast:
  - 2> if *ab-PerNRSRP* is included:
    - 3> if the *establishmentCause* received from higher layers is set to a value other than *mo-ExceptionData*; and
    - 3> if the UE has no Access Class, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]:
      - 4> if *ab-PerNRSRP* is set to *thresh1*:
        - 5> if the measured RSRP is less than the first entry in *rsrp-ThresholdsPrachInfoList*;
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first NPRACH repetition level are configured;
      - 4> if *ab-PerNRSRP* is set to *thresh2*:
        - 5> if the measured RSRP is less than the second entry in *rsrp-ThresholdsPrachInfoList*;
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first and second NPRACH repetition levels are configured;
  - 2> if access to the cell is not barred due to *ab-PerNRSRP* and *ab-Param* is included:
    - 3> if the *ab-Common* is included in *ab-Param*:
      - 4> if the UE belongs to the category of UEs as indicated in the *ab-Category* contained in *ab-Common*; and
      - 4> if for the Access Class of the UE, as stored on the USIM and with a value in the range 0..9, the corresponding bit in the *ab-BarringBitmap* contained in *ab-Common* is set to *one*:
        - 5> if the *establishmentCause* received from higher layers is set to *mo-ExceptionData* and *ab-BarringForExceptionData* is set to *FALSE* in the *ab-Common*:
          - 6> consider access to the cell as not barred;
      - 5> else:
        - 6> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11] and for at least one of these valid Access Classes for the UE, the corresponding bit in the *ab-BarringForSpecialAC* contained in *ab-Common* is set to *zero*:

NOTE 1: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.

- 7> consider access to the cell as not barred;
- 6> else:
  - 7> consider access to the cell as barred;
- 4> else:
  - 5> consider access to the cell as not barred;
- 3> else (the *ab-PerPLMN-List* is included in the *ab-Param*):
  - 4> select the *ab-PerPLMN* entry in *ab-PerPLMN-List* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]);
  - 4> if the *ab-Config* for that PLMN is included:
    - 5> if the UE belongs to the category of UEs as indicated in the *ab-Category* contained in *ab-Config*; and
    - 5> if for the Access Class of the UE, as stored on the USIM and with a value in the range 0..9, the corresponding bit in the *ab-BarringBitmap* contained in *ab-Config* is set to *one*:
    - 6> if the *establishmentCause* received from higher layers is set to *mo-ExceptionData* and *ab-BarringForExceptionData* is set to *FALSE* in the *ab-Config*:
      - 7> consider access to the cell as not barred;
    - 6> else:
      - 7> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11] and for at least one of these valid Access Classes for the UE, the corresponding bit in the *ab-BarringForSpecialAC* contained in *ab-Config* is set to *zero*:

NOTE 2: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.

- 8> consider access to the cell as not barred;
- 7> else:
  - 8> consider access to the cell as barred;
- 5> else:
  - 6> consider access to the cell as not barred;
- 4> else:
  - 5> consider access to the cell as not barred;
- 1> else:
  - 2> consider access to the cell as not barred;

### 5.3.3.15 Failure to deliver NAS information in RRCConnectionSetupComplete message

The UE shall:

- 1> if the UE is a NB-IoT UE and radio link failure occurs before the successful delivery of *RRCConnectionSetupComplete* message has been confirmed by lower layers:

- 2> inform upper layers about the possible failure to deliver the NAS information contained in the *RRCConnectionSetupComplete* message;

### 5.3.3.16 Integrity check failure from lower layers while T300 is running for UP-EDT or RRC\_INACTIVE

The UE shall:

- 1> upon receiving integrity check failure indication from lower layers concerning SRB1 or SRB2 while T300 is running for UP-EDT:
  - 2> discard the stored UE AS context and *resumeIdentity*;
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';
- 1> upon receiving integrity check failure indication from lower layers while T300 is running and if the UE is resuming the RRC connection from RRC\_INACTIVE:
  - 2> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'RRC Resume failure';

### 5.3.3.17 Inability to comply with *RRCConnectionResume*

The UE shall:

- 1> if the UE is unable to comply with (part of) the configuration included in the *RRCConnectionResume* message;
  - 2> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12 with release cause 'RRC Resume failure'.

NOTE 1: The UE may apply above failure handling also in case the *RRCConnectionResume* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/failure.

## 5.3.4 Initial security activation

### 5.3.4.1 General

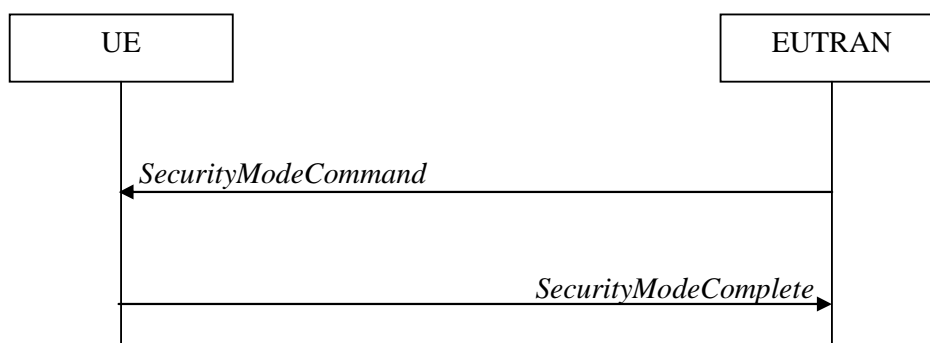
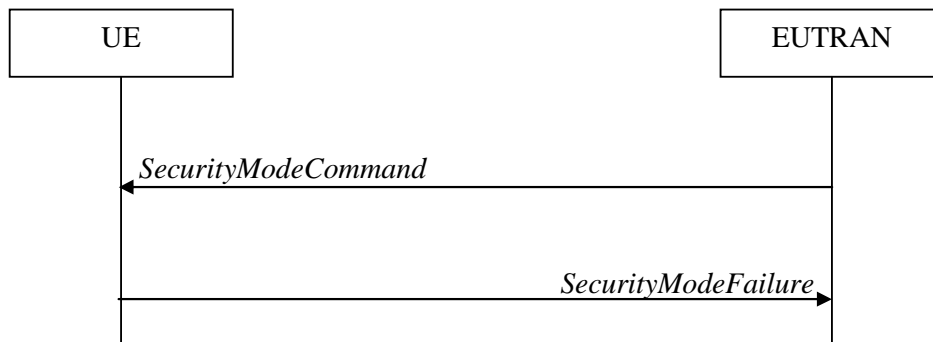


Figure 5.3.4.1-1: Security mode command, successful



**Figure 5.3.4.1-2: Security mode command, failure**

The purpose of this procedure is to activate AS security upon RRC connection establishment.

### 5.3.4.2 Initiation

E-UTRAN initiates the security mode command procedure to a UE in RRC\_CONNECTED. Moreover, E-UTRAN applies the procedure as follows:

- when only SRB1, or for NB-IoT SRB1 and SRB1bis, is established, i.e. prior to establishment of SRB2 and/ or DRBs.

### 5.3.4.3 Reception of the *SecurityModeCommand* by the UE

The UE shall:

- 1> derive the  $K_{eNB}$  key, as specified in TS 33.401 [32] for E-UTRA/EPC, and TS 33.501 [86] for E-UTRA/5GC;
- 1> derive the  $K_{RRCint}$  key associated with the *integrityProtAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
- 1> request lower layers to verify the integrity protection of the *SecurityModeCommand* message, using the algorithm indicated by the *integrityProtAlgorithm* as included in the *SecurityModeCommand* message and the  $K_{RRCint}$  key;
- 1> if the *SecurityModeCommand* message passes the integrity protection check:
  - 2> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the *cipheringAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
  - 2> if connected as an RN:
    - 3> derive the  $K_{UPint}$  key associated with the *integrityProtAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
  - 2> configure lower layers to apply integrity protection using the indicated algorithm and the  $K_{RRCint}$  key immediately, i.e. integrity protection shall be applied to all subsequent messages received and sent by the UE, including the *SecurityModeComplete* message;
  - 2> configure lower layers to apply ciphering using the indicated algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key after completing the procedure, i.e. ciphering shall be applied to all subsequent messages received and sent by the UE, except for the *SecurityModeComplete* message which is sent unciphered;
- 2> if connected as an RN:
  - 3> configure lower layers to apply integrity protection using the indicated algorithm and the  $K_{UPint}$  key, for DRBs that are subsequently configured to apply integrity protection, if any;
- 2> consider AS security to be activated;
- 2> upon RRC connection establishment, if UE does not need UL gaps during continuous uplink transmission:



3> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for *SecurityModeComplete* message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS 36.211 [21];

2> submit the *SecurityModeComplete* message to lower layers for transmission, upon which the procedure ends;

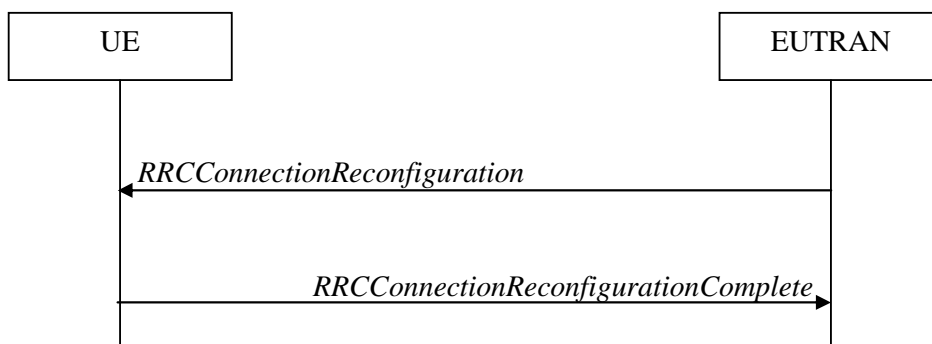
1> else:

2> continue using the configuration used prior to the reception of the *SecurityModeCommand* message, i.e. neither apply integrity protection nor ciphering.

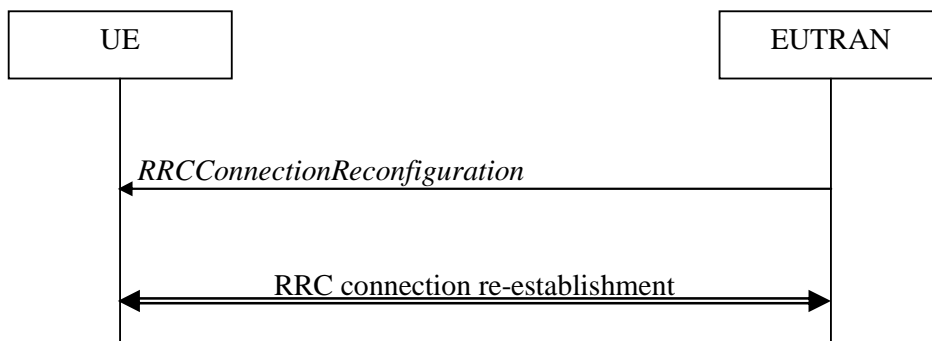
2> submit the *SecurityModeFailure* message to lower layers for transmission, upon which the procedure ends;

## 5.3.5 RRC connection reconfiguration

### 5.3.5.1 General



**Figure 5.3.5.1-1: RRC connection reconfiguration, successful**



**Figure 5.3.5.1-2: RRC connection reconfiguration, failure**

The purpose of this procedure is to modify an RRC connection, e.g. to establish/ modify/ release RBs, to perform handover, to setup/ modify/ release measurements, to add/ modify/ release SCells. As part of the procedure, NAS dedicated information may be transferred from E-UTRAN to the UE.

### 5.3.5.2 Initiation

E-UTRAN may initiate the RRC connection reconfiguration procedure to a UE in RRC\_CONNECTED. E-UTRAN applies the procedure as follows:

- the *mobilityControlInfo* is included only when AS-security has been activated, and SRB2 with at least one DRB are setup and not suspended;
- the establishment of RBs (other than SRB1, that is established during RRC connection establishment) is included only when AS security has been activated;
- the addition of SCells is performed only when AS security has been activated;

### 5.3.5.3 Reception of an *RRCCConnectionReconfiguration* not including the *mobilityControlInfo* by the UE

If the *RRCCConnectionReconfiguration* message does not include the *mobilityControlInfo* and the UE is able to comply with the configuration included in this message, the UE shall:

- 1> if this is the first *RRCCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:
  - 2> re-establish PDCP for SRB2 configured with E-UTRA PDCP entity and for all DRBs that are established and configured with E-UTRA PDCP, if any;
  - 2> re-establish RLC for SRB2 and for all DRBs that are established and configured with E-UTRA RLC, if any;
- 2> if the *RRCCConnectionReconfiguration* message includes the *fullConfig*:
  - 3> perform the radio configuration procedure as specified in 5.3.5.8;
- 2> if the *RRCCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:
  - 3> perform the radio resource configuration procedure as specified in 5.3.10;

NOTE 1: Void

NOTE 2: Void

1> else:

- 2> if the *RRCCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:
  - 3> perform the radio resource configuration procedure as specified in 5.3.10;

NOTE 3: If the *RRCCConnectionReconfiguration* message includes the establishment of radio bearers other than SRB1, the UE may start using these radio bearers immediately, i.e. there is no need to wait for an outstanding acknowledgment of the *SecurityModeComplete* message.

- 1> if the received *RRCCConnectionReconfiguration* includes the *sCellToReleaseList*:
  - 2> perform SCell release as specified in 5.3.10.3a;
- 1> if the received *RRCCConnectionReconfiguration* includes the *sCellToAddModList*:
  - 2> perform SCell addition or modification as specified in 5.3.10.3b;
- 1> if the received *RRCCConnectionReconfiguration* includes the *sCellGroupToReleaseList*:
  - 2> perform SCell group release as specified in 5.3.10.3d;
- 1> if the received *RRCCConnectionReconfiguration* includes the *sCellGroupToAddModList*:
  - 2> perform SCell group addition or modification as specified in 5.3.10.3e;
- 1> if the received *RRCCConnectionReconfiguration* includes the *scg-Configuration*; or
- 1> if the current UE configuration includes one or more split DRBs configured with *pdcp-Config* and the received *RRCCConnectionReconfiguration* includes *radioResourceConfigDedicated* including *drb-ToAddModList*:
  - 2> perform SCG reconfiguration as specified in 5.3.10.10;
- 1> if the received *RRCCConnectionReconfiguration* includes the *nr-Config* and it is set to *release*: or
- 1> if the received *RRCCConnectionReconfiguration* includes *endc-ReleaseAndAdd* and it is set to *TRUE*:
  - 2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;
- 1> if the received *RRCCConnectionReconfiguration* includes the *sk-Counter*:
  - 2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.7;

- 1> if the received *RRCCConnectionReconfiguration* includes the *nr-SecondaryCellGroupConfig*:
  - 2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;
- 1> if the received *RRCCConnectionReconfiguration* includes the *nr-RadioBearerConfig1*:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if the received *RRCCConnectionReconfiguration* includes the *nr-RadioBearerConfig2*:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if this is the first *RRCCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:
  - 2> resume SRB2 and all DRBs that are suspended, if any, including RBs configured with NR PDCP;

NOTE 4: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

NOTE 5: The UE may discard SRB2 messages and data that it receives prior to completing the reconfiguration used to resume these bearers.

- 1> if the received *RRCCConnectionReconfiguration* includes the *systemInformationBlockType1Dedicated*:
  - 2> perform the actions upon reception of the *SystemInformationBlockType1* message as specified in 5.2.2.7;
- 1> if the received *RRCCConnectionReconfiguration* includes the *systemInformationBlockType2Dedicated*:
  - 2> perform the actions upon reception of the *SystemInformationBlockType2* message as specified in 5.2.2.9;
- 1> if the *RRCCConnectionReconfiguration* message includes the *dedicatedInfoNASList*:
  - 2> forward each element of the *dedicatedInfoNASList* to upper layers in the same order as listed;
- 1> if the *RRCCConnectionReconfiguration* message includes the *measConfig*:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 1> if the *RRCCConnectionReconfiguration* message includes the *otherConfig*:
  - 2> perform the other configuration procedure as specified in 5.3.10.9;
- 1> if the *RRCCConnectionReconfiguration* message includes the *sl-DiscConfig* or *sl-CommConfig*:
  - 2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;
- 1> if the *RRCCConnectionReconfiguration* message includes the *sl-V2X-ConfigDedicated*:
  - 2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;
- 1> if the *RRCCConnectionReconfiguration* message includes *wlan-OffloadInfo*:
  - 2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;
- 1> if the *RRCCConnectionReconfiguration* message includes *rclwi-Configuration*:
  - 2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;
- 1> if the *RRCCConnectionReconfiguration* message includes *lwa-Configuration*:
  - 2> perform the LWA configuration procedure as specified in 5.6.14.2;
- 1> if the *RRCCConnectionReconfiguration* message includes *lwip-Configuration*:
  - 2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;

- 1> upon RRC connection establishment, if UE does not need UL gaps during continuous uplink transmission:
  - 2> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for *RRCCConnectionReconfigurationComplete* message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS36.211 [21];
- 1> set the content of *RRCCConnectionReconfigurationComplete* message as follows:
  - 2> if the *RRCCConnectionReconfiguration* message includes *perCC-GapIndicationRequest*:
    - 3> include *perCC-GapIndicationList* and *numFreqEffective*;
  - 2> if the frequencies are configured for reduced measurement performance:
    - 3> include *numFreqEffectiveReduced*;
  - 2> if the received *RRCCConnectionReconfiguration* message included *nr-SecondaryCellGroupConfig*:
    - 3> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;
- 1> if the UE is configured with NE-DC:
  - 2> transfer the *RRCCConnectionReconfigurationComplete* message via SRB1 embedded in NR RRC message *RRCReconfigurationComplete* as specified in TS 38.331 [82];
- 1> else:
  - 2> submit the *RRCCConnectionReconfigurationComplete* message to lower layers for transmission using the new configuration, upon which the procedure ends;

#### 5.3.5.4 Reception of an *RRCCConnectionReconfiguration* including the *mobilityControlInfo* by the UE (handover)

If the *RRCCConnectionReconfiguration* message includes the *mobilityControlInfo* and the UE is able to comply with the configuration included in this message, the UE shall:

- 1> stop timer T310, if running;
  - 1> stop timer T312, if running;
  - 1> start timer T304 with the timer value set to *t304*, as included in the *mobilityControlInfo*;
  - 1> stop timer T370, if running;
  - 1> if the *carrierFreq* is included:
    - 2> consider the target PCell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;
  - 1> else:
    - 2> consider the target PCell to be one on the frequency of the source PCell with a physical cell identity indicated by the *targetPhysCellId*;
  - 1> if T309 is running:
    - 2> stop timer T309 for all access categories;
    - 2> perform the actions as specified in 5.3.16.4.
  - 1> start synchronising to the DL of the target PCell;
- NOTE 1: The UE should perform the handover as soon as possible following the reception of the RRC message triggering the handover, which could be before confirming successful reception (HARQ and ARQ) of this message.
- 1> if BL UE or UE in CE:

2> if *sameSFN-Indication* is not present in *mobilityControlInfo*:

3> acquire the *MasterInformationBlock* in the target PCell;

1> if *makeBeforeBreak* is configured:

2> perform the remainder of this procedure including and following resetting MAC after the UE has stopped the uplink transmission/downlink reception with the source PCell;

NOTE 1a: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source PCell to initiate re-tuning for connection to the target cell, as specified in TS 36.133 [16], if *makeBeforeBreak* is configured.

NOTE 1b: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source SCell(s) after receiving *RRCCConnectionReconfiguration* message.

1> reset MCG MAC and SCG MAC, if configured;

1> release *uplinkDataCompression*, if configured;

1> re-establish PDCP for all RBs configured with *pdcp-config* that are established;

NOTE 2: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

NOTE 2a: At handover the *reestablishPDCP* flag will be set for all RBs configured with NR PDCP in *nr-RadioBearerConfig1* or *nr-RadioBearerConfig2* TS 38.331 [82] which will cause the PDCP entity to be re-established also for these RBs.

1> re-establish MCG RLC and SCG RLC, if configured, for all RBs that are established;

1> for each SCell configured for the UE other than the PSCell:

2> if the received *RRCCConnectionReconfiguration* message includes *sCellState* for the SCell and indicates *activated*:

3> configure lower layers to consider the SCell to be in activated state;

2> else if the received *RRCCConnectionReconfiguration* message includes *sCellState* for the SCell and indicates *dormant*:

3> configure lower layers to consider the SCell to be in dormant state;

2> else:

3> configure lower layers to consider the SCell to be in deactivated state;

1> apply the value of the *newUE-Identity* as the C-RNTI;

1> if the *RRCCConnectionReconfiguration* message includes the *fullConfig*:

2> perform the radio configuration procedure as specified in 5.3.5.8;

1> configure lower layers in accordance with the received *radioResourceConfigCommon*;

1> if the received *RRCCConnectionReconfiguration* message includes the *rach-Skip*:

2> configure lower layers to apply the *rach-Skip* for the target MCG, as specified in TS 36.213 [23] and 36.321 [6];

1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received *mobilityControlInfo*;

1> if the received *RRCCConnectionReconfiguration* includes the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.10.3a;

- 1> if the received *RRCCONNECTIONRECONFIGURATION* includes the *sCellGroupToReleaseList*:
  - 2> perform SCell group release as specified in 5.3.10.3d;
- 1> if the received *RRCCONNECTIONRECONFIGURATION* includes the *scg-Configuration*; or
- 1> if the current UE configuration includes one or more split DRBs and the received *RRCCONNECTIONRECONFIGURATION* includes *radioResourceConfigDedicated* including *drb-ToAddModList*:
  - 2> perform SCG reconfiguration as specified in 5.3.10.10;
- 1> if the *RRCCONNECTIONRECONFIGURATION* message includes the *radioResourceConfigDedicated*:
  - 2> perform the radio resource configuration procedure as specified in 5.3.10;
- 1> if the *securityConfigHO* (without suffix) is included in the *RRCCONNECTIONRECONFIGURATION*:
  - 2> if the *keyChangeIndicator* received in the *securityConfigHO* is set to *TRUE*:
    - 3> update the  $K_{eNB}$  key based on the  $K_{ASME}$  key taken into use with the latest successful NAS SMC procedure, as specified in TS 33.401 [32];
  - 2> else:
    - 3> update the  $K_{eNB}$  key based on the current  $K_{eNB}$  or the NH, using the *nextHopChainingCount* value indicated in the *securityConfigHO*, as specified in TS 33.401 [32];

NOTE 2b: If the UE needs to update the S- $K_{eNB}$  key as specified in 5.3.10.10, the UE updates the S- $K_{eNB}$  after updating the  $K_{eNB}$  key.

- 2> store the *nextHopChainingCount* value;
- 2> if the *securityAlgorithmConfig* is included in the *securityConfigHO*:
  - 3> derive the  $K_{RRCint}$  key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
  - 3> if connected as an RN:
    - 4> derive the  $K_{UPint}$  key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
  - 3> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];
- 2> else:
  - 3> derive the  $K_{RRCint}$  key associated with the current integrity algorithm, as specified in TS 33.401 [32];
  - 3> if connected as an RN:
    - 4> derive the  $K_{UPint}$  key associated with the current integrity algorithm, as specified in TS 33.401 [32];
  - 3> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the current ciphering algorithm, as specified in TS 33.401 [32];
- 2> configure lower layers to apply the integrity protection algorithm and the  $K_{RRCint}$  key, i.e. the integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 2> configure lower layers to apply the ciphering algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> else if the *securityConfigHO-v1530* is included in the *RRCCONNECTIONRECONFIGURATION*:
  - 2> if the *nas-Container* is received:
    - 3> forward the *nas-Container* to upper layers;

- 2> if the *keyChangeIndicator-r15* is received and is set to *TRUE*:
  - 3> update the  $K_{eNB}$  key based on the  $K_{AMF}$  key, as specified in TS 33.501 [86];
- 2> else:
  - 3> update the  $K_{eNB}$  key based on the current  $K_{eNB}$  or the NH, using the received *nextHopChainingCount-r15*, as specified in TS 33.501 [86];
- 2> store the *nextHopChainingCount-r15* value;
- 2> if the *securityAlgorithmConfig-r15* is received:
  - 3> derive the  $K_{RRChint}$  key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
  - 3> derive the  $K_{RRChenc}$  key and the  $K_{UPenc}$  key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];
- 2> else:
  - 3> derive the  $K_{RRChint}$  key associated with the current integrity algorithm, as specified in TS 33.401 [32];
  - 3> derive the  $K_{RRChenc}$  key and the  $K_{UPenc}$  key associated with the current ciphering algorithm, as specified in TS 33.401 [32];
- 1> if the received *RRCCConnectionReconfiguration* includes the *nr-Config* and it is set to *release*; or
- 1> if the received *RRCCConnectionReconfiguration* includes *endc-ReleaseAndAdd* and it is set to *TRUE*:
  - 2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;
- 1> if the received *RRCCConnectionReconfiguration* includes the *sk-Counter*:
  - 2> perform key update procedure as specified in in TS 38.331 [82], clause 5.3.5.7;
- 1> if the received *RRCCConnectionReconfiguration* includes the *nr-SecondaryCellGroupConfig*:
  - 2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3.
- 1> if the received *RRCCConnectionReconfiguration* includes the *nr-RadioBearerConfig1*:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if the received *RRCCConnectionReconfiguration* includes the *nr-RadioBearerConfig2*:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6.
- 1> if connected as an RN:
  - 2> configure lower layers to apply the integrity protection algorithm and the  $K_{UPint}$  key, for current or subsequently established DRBs that are configured to apply integrity protection, if any;
- 1> if the received *RRCCConnectionReconfiguration* includes the *sCellToAddModList*:
  - 2> perform SCell addition or modification as specified in 5.3.10.3b;
- 1> if the received *RRCCConnectionReconfiguration* includes the *sCellGroupToAddModList*:
  - 2> perform SCell group addition or modification as specified in 5.3.10.3e;
- 1> if the received *RRCCConnectionReconfiguration* includes the *systemInformationBlockType1Dedicated*:
  - 2> perform the actions upon reception of the *SystemInformationBlockType1* message as specified in 5.2.2.7;
- 1> perform the measurement related actions as specified in 5.5.6.1;
- 1> if the *RRCCConnectionReconfiguration* message includes the *measConfig*:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;

- 1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 1> release *reportProximityConfig* and clear any associated proximity status reporting timer;
- 1> if the *RRCCConnectionReconfiguration* message includes the *otherConfig*:
  - 2> perform the other configuration procedure as specified in 5.3.10.9;
- 1> if the *RRCCConnectionReconfiguration* message includes the *sl-DiscConfig* or *sl-CommConfig*:
  - 2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;
- 1> if the *RRCCConnectionReconfiguration* message includes *wlan-OffloadInfo*:
  - 2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;
- 1> if *handoverWithoutWT-Change* is not configured:
  - 2> release the LWA configuration, if configured, as described in 5.6.14.3;
- 1> release the LWIP configuration, if configured, as described in 5.6.17.3;
- 1> if the *RRCCConnectionReconfiguration* message includes *rclwi-Configuration*:
  - 2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;
- 1> if the *RRCCConnectionReconfiguration* message includes *lwa-Configuration*:
  - 2> perform the LWA configuration procedure as specified in 5.6.14.2;
- 1> if the *RRCCConnectionReconfiguration* message includes *lwip-Configuration*:
  - 2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;
- 1> if the *RRCCConnectionReconfiguration* message includes the *sl-V2X-ConfigDedicated* or *mobilityControlInfoV2X*:
  - 2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;
- 1> set the content of *RRCCConnectionReconfigurationComplete* message as follows:
  - 2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
    - 3> include *rlf-InfoAvailable*;
  - 2> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:
    - 3> include *logMeasAvailableMBSFN*;
  - 2> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 3> include the *logMeasAvailable*;
    - 3> if Bluetooth measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
      - 4> include *logMeasAvailableBT*;
    - 3> if WLAN measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
      - 4> include *logMeasAvailableWLAN*;
  - 2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:



- 3> include *connEstFailInfoAvailable*;
  - 2> if the *RRCCConnectionReconfiguration* message includes *perCC-GapIndicationRequest*:
    - 3> include *perCC-GapIndicationList* and *numFreqEffective*;
  - 2> if the frequencies are configured for reduced measurement performance:
    - 3> include *numFreqEffectiveReduced*;
  - 2> if the UE has flight path information available:
    - 3> include *flightPathInfoAvailable*;
  - 2> if the received *RRCCConnectionReconfiguration* message included *nr-SecondaryCellGroupConfig*:
    - 3> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;
  - 1> submit the *RRCCConnectionReconfigurationComplete* message to lower layers for transmission;
  - 1> if MAC successfully completes the random access procedure; or
  - 1> if MAC indicates the successful reception of a PDCCH transmission addressed to C-RNTI and if *rach-Skip* is configured:
    - 2> stop timer T304;
    - 2> release *rach-Skip*;
    - 2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;
    - 2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;
- NOTE 3: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.
- 2> if the UE is configured to provide IDC indications:
    - 3> if the UE has initiated the transmission of an *InDeviceCoexIndication* message during the last 1 second preceding reception of the *RRCCConnectionReconfiguration* message including *mobilityControlInfo*:
      - 4> initiate transmission of the *InDeviceCoexIndication* message in accordance with 5.6.9.3;
  - 2> if the UE is configured to provide power preference indications, overheating assistance information, SPS assistance information, delay budget report or maximum bandwidth preference indications:
    - 3> if the UE has initiated the transmission of a *UEAssistanceInformation* message during the last 1 second preceding reception of the *RRCCConnectionReconfiguration* message including *mobilityControlInfo*:
      - 4> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
  - 2> if *SystemInformationBlockType15* is broadcast by the PCell:
    - 3> if the UE has initiated the transmission of a *MBMSInterestIndication* message during the last 1 second preceding reception of the *RRCCConnectionReconfiguration* message including *mobilityControlInfo*:
      - 4> ensure having a valid version of *SystemInformationBlockType15* for the PCell;
      - 4> determine the set of MBMS frequencies of interest in accordance with 5.8.5.3;
      - 4> determine the set of MBMS services of interest in accordance with 5.8.5.3a;
      - 4> initiate transmission of the *MBMSInterestIndication* message in accordance with 5.8.5.4;

- 2> if *SystemInformationBlockType18* is broadcast by the target PCell; and the UE initiated the transmission of a *SidelinkUEInformation* message indicating a change of sidelink communication related parameters relevant in target PCell (i.e. change of *commRxInterestedFreq* or *commTxResourceReq*, *commTxResourceReqUC* if *SystemInformationBlockType18* includes *commTxResourceUC-ReqAllowed* or *commTxResourceInfoReqRelay* if PCell broadcasts *SystemInformationBlockType19* including *discConfigRelay*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or
- 2> if *SystemInformationBlockType19* is broadcast by the target PCell; and the UE initiated the transmission of a *SidelinkUEInformation* message indicating a change of sidelink discovery related parameters relevant in target PCell (i.e. change of *discRxInterest* or *discTxResourceReq*, *discTxResourceReqPS* if *SystemInformationBlockType19* includes *discConfigPS* or *discRxGapReq* or *discTxGapReq* if the UE is configured with *gapRequestsAllowedDedicated* set to *true* or if the UE is not configured with *gapRequestsAllowedDedicated* and *SystemInformationBlockType19* includes *gapRequestsAllowedCommon*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or
- 2> if *SystemInformationBlockType21* is broadcast by the target PCell; and the UE initiated the transmission of a *SidelinkUEInformation* message indicating a change of V2X sidelink communication related parameters relevant in target PCell (i.e. change of *v2x-CommRxInterestedFreqList* or *v2x-CommTxResourceReq*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:
  - 3> initiate transmission of the *SidelinkUEInformation* message in accordance with 5.10.2.3;
- 2> the procedure ends;

NOTE 4: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell, except for BL UEs or UEs in CE when *sameSFN-Indication* is not present in *mobilityControlInfo*.

### 5.3.5.5 Reconfiguration failure

The UE shall:

- 1> if the UE is unable to comply with (part of) the configuration included in the *RRCConnectionReconfiguration* message:
  - 2> continue using the configuration used prior to the reception of *RRCConnectionReconfiguration* message;
  - 2> if the UE is in NE-DC:
    - 3> perform the actions as specified in TS 38.331 [82], clause 5.3.7;
  - 2> else if security has not been activated:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause other;
  - 2> else:
    - 3> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the connection reconfiguration procedure ends;

NOTE 1: The UE may apply above failure handling also in case the *RRCConnectionReconfiguration* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/ failure.

NOTE 3: The compliance also covers the NR configuration carried within octet strings e.g. field *nr-SecondaryCellGroupConfig*. I.e. the failure behaviour defined also applies in case the UE cannot comply with the NR configuration or with the combination of (parts of) the LTE and NR configurations.

### 5.3.5.6 T304 expiry (handover failure)

The UE shall:

1> if T304 expires (handover failure):

NOTE 1: Following T304 expiry any dedicated preamble, if provided within the *rach-ConfigDedicated*, is not available for use by the UE anymore.

2> revert back to the configuration used in the source PCell, excluding the configuration configured by the *physicalConfigDedicated*, the *mac-MainConfig* and the *sps-Config*;

NOTE 1a: In the context above, "the configuration" includes state variables and parameters of each radio bearer. PDCP entities associated with RLC UM and SRB bearers are reset after the successful RRC connection re-establishment procedure according to clause 5.2 in TS 36.323 [8]. In the above, "the configuration" includes the RB configuration using NR PDCP, if configured (i.e. by *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2*).

2> store the following handover failure information in *VarRLF-Report* by setting its fields as follows:

3> clear the information included in *VarRLF-Report*, if any;

3> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

3> set the *measResultLastServCell* to include the RSRP and RSRQ, if available, of the source PCell based on measurements collected up to the moment the UE detected handover failure and in accordance with the following;

4> if the UE includes *rsrqResult*, include the *lastServCellRSRQ-Type*;

3> set the *measResultNeighCells* to include the best measured cells, other than the source PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected handover failure, and set its fields as follows;

4> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;

4> if the UE includes *rsrqResult*, include the *rsrq-Type*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;

4> for each neighbour cell included, include the optional fields that are available;

NOTE 2: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

3> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;

3> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

3> if detailed location information is available, set the content of the *locationInfo* as follows:

4> include the *locationCoordinates*;

4> include the *horizontalVelocity*, if available;

- 3> set the *failedPCellId* to the global cell identity, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;
  - 3> include *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCCONNECTIONRECONFIGURATION* message including *mobilityControlInfo* was received;
  - 3> set the *timeConnFailure* to the elapsed time since reception of the last *RRCCONNECTIONRECONFIGURATION* message including the *mobilityControlInfo*;
  - 3> set the *connectionFailureType* to 'hof';
  - 3> set the *c-RNTI* to the C-RNTI used in the source PCell;
- 2> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the RRC connection reconfiguration procedure ends;

The UE may discard the handover failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the failure is detected, upon power off or upon detach.

NOTE 3: E-UTRAN may retrieve the handover failure information using the UE information procedure with *rf-ReportReq* set to *true*, as specified in 5.6.5.3.

### 5.3.5.7 Void

#### 5.3.5.7a T307 expiry (SCG change failure)

The UE shall:

- 1> if T307 expires:

NOTE 1: Following T307 expiry any dedicated preamble, if provided within the *rach-ConfigDedicatedSCG*, is not available for use by the UE anymore.

- 2> initiate the SCG failure information procedure as specified in 5.6.13 to report SCG change failure;

### 5.3.5.8 Radio Configuration involving full configuration option

The UE shall:

- 1> if the UE is connected to EPC:

- 2> release/ clear all current dedicated radio configurations except for the following:

- the MCG C-RNTI,
- the MCG security configuration,
- the PDCP, RLC, logical channel configurations for the RBs,
- the logged measurement configuration;
- the *serviceType*;

- 1> else if the UE is connected to 5GC:

- 2> release/ clear all current dedicated radio configurations except for the following:

- the MCG C-RNTI,
- the MCG security configuration,
- the configurations (SDAP if configured, PDCP, RLC and logical channel) for the RBs;

NOTE 1: Radio configuration is not just the resource configuration but includes other configurations like *MeasConfig* and *OtherConfig*. In case (NG)EN-DC is configured, this also includes the entire NR SCG configuration. Such NR SCG configuration does not include the DRB configuration as configured by *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2*).

- 1> if the *RRCConnectionReconfiguration* message includes the *measConfigAppLayer* set to *setup* and the *measConfigAppLayer* includes the *serviceType* stored in the current UE configuration:
    - 2> discard the *measConfigAppLayer*;
    - 2> consider the *measConfigAppLayer* as not received;
  - 1> else if a *serviceType* is stored in the current UE configuration:
    - 2> release the stored *serviceType*;
    - 2> inform upper layers to clear the stored application layer measurement configuration;
    - 2> discard received application layer measurement report information from upper layers;
    - 2> consider itself not to be configured to send application layer measurement report;
  - 1> if the *RRCConnectionReconfiguration* message includes the *mobilityControlInfo*:
    - 2> release/ clear all current common radio configurations;
    - 2> use the default values specified in 9.2.5 for timer T310, T311 and constant N310, N311;
  - 1> else:
    - 2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2* (or *SystemInformationBlockType2-NB* in NB-IoT);
  - 1> apply the default physical channel configuration as specified in 9.2.4;
  - 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
  - 1> apply the default MAC main configuration as specified in 9.2.2;
  - 1> if the UE is a NB-IoT UE; or
  - 1> for each *srb-Identity* value included in the *srb-ToAddModList* (SRB reconfiguration):
    - 2> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
    - 2> apply the corresponding default RLC configuration for the SRB specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
    - 2> apply the corresponding default logical channel configuration for the SRB as specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
    - 2> if the corresponding SRB was configured with NR PDCP and the UE is connected to EPC:
      - 3> release the NR PDCP entity and establish it with an E-UTRA PDCP entity and with the current (MCG) security configuration;
- NOTE 1a: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.
- 3> associate the RLC bearer of this SRB with the established PDCP entity;
- NOTE 2: This is to get the SRBs (SRB1 and SRB2 for handover and SRB2 for reconfiguration after reestablishment) to a known state from which the reconfiguration message can do further configuration.
- 2> else if the UE is connected to 5GC:
    - 3> apply the corresponding default PDCP configuration for the SRB as specified in TS 38.331 [82], clause 9.2.1;

1> for each *srb-Identity* value which was configured in the *srb-ToAddModListExt* but is not added in the RRC message configuring the full configuration:

- 2> release the RLC entity or entities;
- 2> release the DCCH logical channel;
- 2> release the PDCP entity;

1> if the UE is connected to EPC:

2> for each *eps-BearerIdentity* value included in the *drb-ToAddModList* or *nr-RadioBearerConfig1* or *nr-RadioBearerConfig2* that is part of the current E-UTRA and NR UE configuration:

- 3> release the E-UTRA or NR PDCP entity;
- 3> release the RLC entity or entities;
- 3> release the DTCH logical channel;
- 3> release the *drb-identity*;

NOTE 3: This will retain the *eps-bearerIdentity* but remove the DRBs including *drb-identity* of these bearers from the current UE configuration and trigger the setup of the DRBs within the AS in clause 5.3.10.3 using the new configuration. The *eps-bearerIdentity* acts as the anchor for associating the released and re-setup DRB. In the AS the DRB re-setup is equivalent with a new DRB setup (including new PDCP and logical channel configurations).

2> for each *eps-BearerIdentity* value that is part of the current E-UTRA and NR UE configuration but not added with same *eps-BearerIdentity* in *drb-ToAddModList* nor in *nr-RadioBearerConfig1* nor in *nr-RadioBearerConfig2*:

- 3> perform DRB release as specified in 5.3.10.2;

1> if the UE is connected to 5GC:

2> for each *pdu-Session* that is part of the current NR UE configuration:

- 3> release the SDAP entity (clause 5.1.2 in TS 37.324 [97]);
- 3> release the NR PDCP entity for each DRB associated to the *pdu-Session*;
- 3> release the RLC entity or entities for each DRB associated to the *pdu-Session*;
- 3> release the DTCH logical channel for each DRB associated to the *pdu-Session*;
- 3> release the *drb-identity* for each DRB associated to the *pdu-Session*;

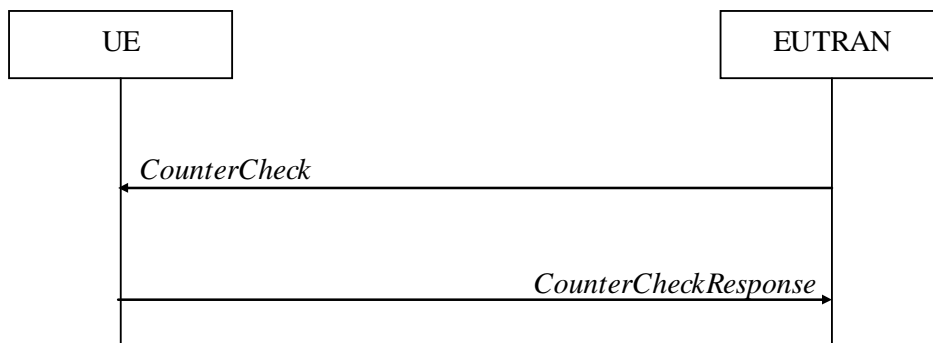
NOTE 4: This will retain the *pdu-Session* but remove the DRBs including *drb-identity* of these bearers from the current NR UE configuration and trigger the setup of the DRBs within the AS in clause 5.3.10.3 using the new configuration. The *pdu-Session* acts as the anchor for associating the released and re-setup DRB. In the AS the DRB re-setup is equivalent with a new DRB setup (including new PDCP and logical channel configurations).

2> for each *pdu-Session* that is part of the current NR UE configuration but not added with same *pdu-Session* in *nr-RadioBearerConfig1* nor in *nr-RadioBearerConfig2*:

- 3> if the procedure was triggered due to handover:
  - 4> indicate the release of the user plane resources for the *pdu-Session* to upper layers after successful handover;
- 3> else:
  - 4> indicate the release of the user plane resources for the *pdu-Session* to upper layers immediately;

## 5.3.6 Counter check

### 5.3.6.1 General



**Figure 5.3.6.1-1: Counter check procedure**

The counter check procedure is used by E-UTRAN to request the UE to verify the amount of data sent/ received on each DRB. More specifically, the UE is requested to check if, for each DRB, the most significant bits of the COUNT match with the values indicated by E-UTRAN.

NOTE: The procedure enables E-UTRAN to detect packet insertion by an intruder (a 'man in the middle').

### 5.3.6.2 Initiation

E-UTRAN initiates the procedure by sending a *CounterCheck* message.

NOTE: E-UTRAN may initiate the procedure when any of the COUNT values reaches a specific value.

### 5.3.6.3 Reception of the *CounterCheck* message by the UE

Upon receiving the *CounterCheck* message, the UE shall:

- 1> for each DRB that is established:
  - 2> if no COUNT exists for a given direction (uplink or downlink) because it is a uni-directional bearer configured only for the other direction:
    - 3> assume the COUNT value to be 0 for the unused direction;
  - 2> if the *drb-Identity* is not included in the *drb-CountMSB-InfoList*:
    - 3> if the DRB is configured with E-UTRA PDCP:
      - 4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of the corresponding COUNT;
    - 3> else if the DRB is configured with NR PDCP:
      - 4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of TX\_NEXT – 1 and RX\_NEXT – 1 (specified in TS 38.323 [83]), respectively;
  - 2> else if, for at least one direction, the most significant bits of the COUNT are different from the value indicated in the *drb-CountMSB-InfoList*:
    - 3> if the DRB is configured with E-UTRA PDCP:
      - 4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of the corresponding COUNT;
    - 3> else if the DRB is configured with NR PDCP:

- 4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of TX\_NEXT – 1 and RX\_NEXT – 1 (specified in TS 38.323 [83]), respectively;
- 1> for each DRB that is included in the *drb-CountMSB-InfoList* in the *CounterCheck* message that is not established:
- 2> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* with the most significant bits set identical to the corresponding values in the *drb-CountMSB-InfoList* and the least significant bits set to zero;
- 1> submit the *CounterCheckResponse* message to lower layers for transmission upon which the procedure ends;

## 5.3.7 RRC connection re-establishment

### 5.3.7.1 General

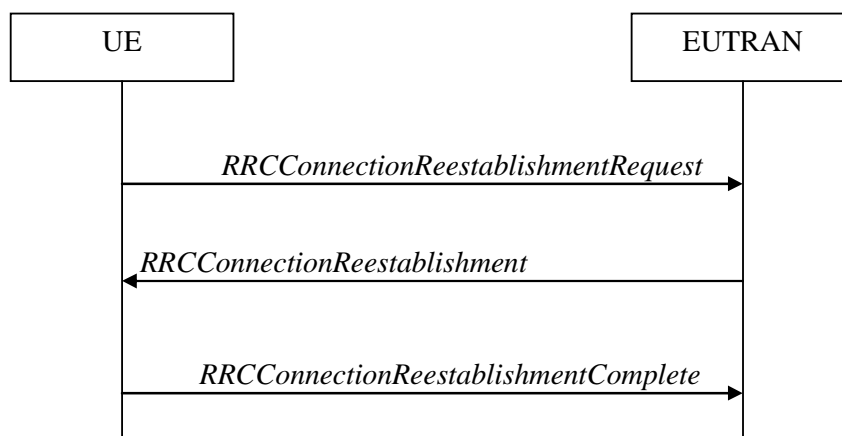


Figure 5.3.7.1-1: RRC connection re-establishment, successful

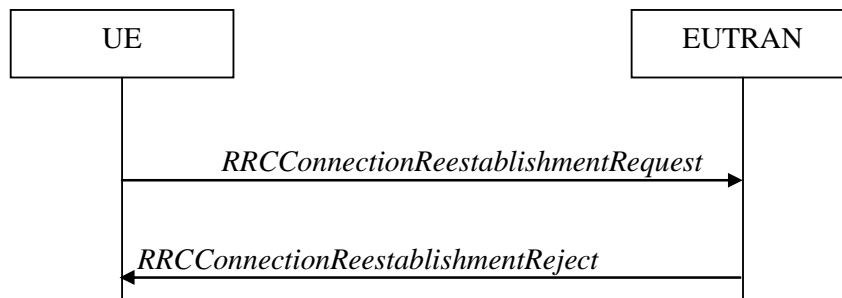


Figure 5.3.7.1-2: RRC connection re-establishment, failure

The purpose of this procedure is to re-establish the RRC connection, which involves the resumption of SRB1 (SRB1bis for a NB-IoT UE for which AS security has not been activated) operation, the re-activation of security (except for a NB-IoT UE for which AS security has not been activated) and the configuration of only the PCell.

Except for a NB-IoT UE for which AS security has not been activated, a UE in RRC\_CONNECTED, for which security has been activated, may initiate the procedure in order to continue the RRC connection. The connection re-establishment succeeds only if the concerned cell is prepared i.e. has a valid UE context. In case E-UTRAN accepts the re-establishment, SRB1 operation resumes while the operation of other radio bearers remains suspended. If AS security has not been activated, the UE does not initiate the procedure but instead moves to RRC\_IDLE directly.

When AS security has not been activated, a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS optimisation in RRC\_CONNECTED may initiate the procedure in order to continue the RRC connection.

E-UTRAN applies the procedure as follows:



- When AS security has been activated:
  - to reconfigure SRB1 and to resume data transfer only for this RB;
  - to re-activate AS security without changing algorithms.
- For a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS optimisation, when AS security has not been activated:
  - to re-establish SRB1bis and to continue data transfer for this RB.

### 5.3.7.2 Initiation

The UE shall only initiate the procedure either when AS security has been activated or for a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS optimisation. The UE initiates the procedure when one of the following conditions is met:

- 1> upon detecting radio link failure, in accordance with 5.3.11; or
- 1> upon handover failure, in accordance with 5.3.5.6; or
- 1> upon mobility from E-UTRA failure, in accordance with 5.4.3.5; or
- 1> except for UP-EDT, upon integrity check failure indication from lower layers concerning SRB1 or SRB2; or
- 1> upon an RRC connection reconfiguration failure, in accordance with 5.3.5.5; or
- 1> upon an RRC connection reconfiguration failure, in accordance with TS38.331 [82], clause 5.3.5.5.

NOTE: For UP-EDT, integrity check failure indication from lower layers is handled in accordance with clause 5.3.3.16.

Upon initiation of the procedure, the UE shall:

- 1> stop timer T310, if running;
- 1> stop timer T312, if running;
- 1> stop timer T313, if running;
- 1> stop timer T307, if running;
- 1> start timer T311;
- 1> stop timer T370, if running;
- 1> release *uplinkDataCompression*, if configured;
- 1> suspend all RBs, including RBs configured with NR PDCP, except SRB0;
- 1> reset MAC;
- 1> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;
- 1> release the SCell group(s), if configured, in accordance with 5.3.10.3d;
- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> except for NB-IoT, for the MCG, apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> for NB-IoT, release *schedulingRequestConfig*, if configured;
- 1> for the MCG, apply the default MAC main configuration as specified in 9.2.2;
- 1> release *powerPrefIndicationConfig*, if configured and stop timer T340, if running;
- 1> release *reportProximityConfig*, if configured and clear any associated proximity status reporting timer;

- 1> release *obtainLocationConfig*, if configured;
- 1> release *idc-Config*, if configured;
- 1> release *sps-AssistanceInfoReport*, if configured;
- 1> release *measSubframePatternPCell*, if configured;
- 1> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);
- 1> if (NG)EN-DC is configured:
  - 2> perform MR-DC release, as specified in TS 38.331[82], clause 5.3.5.10;
  - 2> release *p-MaxEUTRA*, if configured;
  - 2> release *p-MaxUE-FR1*, if configured;
  - 2> release *tdm-PatternConfig*, if configured;
- 1> release *naics-Info* for the PCell, if configured;
- 1> if connected as an RN and configured with an RN subframe configuration:
  - 2> release the RN subframe configuration;
- 1> release the LWA configuration, if configured, as described in 5.6.14.3;
- 1> release the LWIP configuration, if configured, as described in 5.6.17.3;
- 1> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;
- 1> perform cell selection in accordance with the cell selection process as specified in TS 36.304 [4];
- 1> release *bw-PreferenceIndicationTimer*, if configured and stop timer T341, if running;
- 1> release *overheatingAssistanceConfig*, if configured and stop timer T345, if running;
- 1> release *ailc-BitConfig*, if configured;

### 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable E-UTRA cell, the UE shall:

- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> if the UE is connected to 5GC and the selected cell is only connected to EPC; or
- 1> if the UE is connected to EPC and the selected cell is only connected to 5GC:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';
- 1> else:
  - 2> stop timer T311;
  - 2> start timer T301;
  - 2> apply the *timeAlignmentTimerCommon* included in *SystemInformationBlockType2*;
  - 2> if the UE is a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS optimisation and AS security has not been activated; and

2> if *cp-reestablishment* is not included in *SystemInformationBlockType2-NB*:

3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

2> else:

3> initiate transmission of the *RRCConnectionReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

1> if the selected cell is a UTRA cell, and if the UE supports Radio Link Failure Report for Inter-RAT MRO, include *selectedUTRA-CellId* in the *VarRLF-Report* and set it to the physical cell identity and carrier frequency of the selected UTRA cell;

1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

#### 5.3.7.4 Actions related to transmission of *RRCConnectionReestablishmentRequest* message

Except for NB-IoT, if the procedure was initiated due to radio link failure or handover failure, the UE shall:

1> set the *reestablishmentCellId* in the *VarRLF-Report* to the global cell identity of the selected cell;

The UE shall set the contents of *RRCConnectionReestablishmentRequest* message as follows:

1> except for a NB-IoT UE for which AS security has not been activated, set the *ue-Identity* as follows:

2> set the *c-RNTI* to the C-RNTI used in the source PCell (handover and mobility from E-UTRA failure) or used in the PCell in which the trigger for the re-establishment occurred (other cases);

2> set the *physCellId* to the physical cell identity of the source PCell (handover and mobility from E-UTRA failure) or of the PCell in which the trigger for the re-establishment occurred (other cases);

2> set the *shortMAC-I* to the 16 least significant bits of the MAC-I calculated:

3> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortMAC-Input* (or *VarShortMAC-Input-NB* in NB-IoT);

3> with the  $K_{RRCint}$  key and integrity protection algorithm that was used in the source PCell (handover and mobility from E-UTRA failure) or of the PCell in which the trigger for the re-establishment occurred (other cases); and

3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

1> for a NB-IoT UE for which AS security has not been activated, set the *ue-Identity* as follows:

2> request upper layers for calculated ul-NAS-MAC and ul-NAS-Count using the *cellIdentity* indicated in *SystemInformationBlockType1-NB* of the current cell;

2> set the *s-TMSI* to the S-TMSI provided by upper layers;

2> set the *ul-NAS-MAC* to the ul-NAS-MAC value provided by upper layers;

2> set the *ul-NAS-Count* to the ul-NAS-Count value provided by upper layers;

1> set the *reestablishmentCause* as follows:

2> if the re-establishment procedure was initiated due to reconfiguration failure as specified in 5.3.5.5 (the UE is unable to comply with the reconfiguration):

3> set the *reestablishmentCause* to the value *reconfigurationFailure*;

2> else if the re-establishment procedure was initiated due to handover failure as specified in 5.3.5.6 (intra-LTE handover failure) or 5.4.3.5 (inter-RAT mobility from EUTRA failure):

3> set the *reestablishmentCause* to the value *handoverFailure*;

2> else:

3> set the *reestablishmentCause* to the value *otherFailure*;

1> if the UE is a NB-IoT UE:

2> if the UE supports DL channel quality reporting and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:

3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the serving cell as specified in TS 36.133 [16];

NOTE: The downlink channel quality measurements may use measurement period T1 or T2, as defined in TS 36.133 [16]. In case period T2 is used the RRC-MAC interactions are left to UE implementation.

2> set *earlyContentionResolution* to TRUE;

The UE shall submit the *RRCCConnectionReestablishmentRequest* message to lower layers for transmission.

### 5.3.7.5 Reception of the *RRCCConnectionReestablishment* by the UE

NOTE 1: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6];

The UE shall:

1> stop timer T301;

1> consider the current cell to be the PCell;

1> except for a NB-IoT UE for which AS security has not been activated:

2> if SRB1 was configured with NR PDCP and the UE is connected to EPC:

3> for SRB1, release the NR PDCP entity and establish an E-UTRA PDCP entity with the current (MCG) security configuration;

NOTE 1a: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.

2> else:

3> for SRB1, re-establish the PDCP entity;

2> re-establish RLC for SRB1;

2> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;

2> resume SRB1;

NOTE 2: E-UTRAN should not transmit any message on SRB1 prior to receiving the *RRCCConnectionReestablishmentComplete* message.

2> if UE is connected to EPC, update the  $K_{eNB}$  key based on the  $K_{ASME}$  key to which the current  $K_{eNB}$  is associated, using the *nextHopChainingCount* value indicated in the *RRCCConnectionReestablishment* message, as specified in TS 33.401 [32];

2> else if UE is connected to 5GC, update the  $K_{eNB}$  key based on the  $K_{AMF}$  key to which the current  $K_{eNB}$  is associated, using the *nextHopChainingCount* value indicated in the *RRCCConnectionReestablishment* message, as specified in TS 33.501 [86];

2> store the *nextHopChainingCount* value;

- 2> derive the  $K_{RRCint}$  key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];
- 2> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];
- 2> if connected as an RN:
  - 3> derive the  $K_{UPint}$  key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];
- 2> configure lower layers to activate integrity protection using the previously configured algorithm and the  $K_{RRCint}$  key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 2> if connected as an RN:
  - 3> configure lower layers to apply integrity protection using the previously configured algorithm and the  $K_{UPint}$  key, for subsequently resumed or subsequently established DRBs that are configured to apply integrity protection, if any;
- 2> configure lower layers to apply ciphering using the previously configured algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key immediately, i.e., ciphering shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 2> if the UE is not a NB-IoT UE:
  - 3> if the UE is connected to EPC:
    - 4> set the content of *RRCCConnectionReestablishmentComplete* message as follows:
      - 5> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
        - 6> include the *rlf-InfoAvailable*;
      - 5> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:
        - 6> include *logMeasAvailableMBSFN*;
      - 5> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
        - 6> include the *logMeasAvailable*;
        - 6> if Bluetooth measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
          - 7> include the *logMeasAvailableBT*;
        - 6> if WLAN measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
          - 7> include the *logMeasAvailableWLAN*;
        - 6> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
          - 7> include the *connEstFailInfoAvailable*;
      - 5> if the UE has flight path information available:
        - 6> include *flightPathInfoAvailable*;
  - 3> perform the measurement related actions as specified in 5.5.6.1;

- 3> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
  - 2> else:
    - 3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:
      - 4> set the *measResultServCell* to include the measurements of the serving cell;
- NOTE 2a: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
- 2> submit the *RRCConnectionReestablishmentComplete* message to lower layers for transmission;
  - 2> if *SystemInformationBlockType15* is broadcast by the PCell:
    - 3> if the UE has transmitted an *MBMSInterestIndication* message during the last 1 second preceding detection of radio link failure:
      - 4> ensure having a valid version of *SystemInformationBlockType15* for the PCell;
      - 4> determine the set of MBMS frequencies of interest in accordance with 5.8.5.3;
      - 4> determine the set of MBMS services of interest in accordance with 5.8.5.3a;
      - 4> initiate transmission of the *MBMSInterestIndication* message in accordance with 5.8.5.4;
  - 2> if *SystemInformationBlockType18* is broadcast by the PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of sidelink communication related parameters relevant in PCell (i.e. change of *commRxInterestedFreq* or *commTxResourceReq*, *commTxResourceReqUC* if *SystemInformationBlockType18* includes *commTxResourceUC-ReqAllowed* or *commTxResourceInfoReqRelay* if PCell broadcasts *SystemInformationBlockType19* including *discConfigRelay*) during the last 1 second preceding detection of radio link failure; or
  - 2> if *SystemInformationBlockType19* is broadcast by the PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of sidelink discovery related parameters relevant in PCell (i.e. change of *discRxInterest* or *discTxResourceReq*, *discTxResourceReqPS* if *SystemInformationBlockType19* includes *discConfigPS* or *discRxGapReq* or *discTxGapReq* if the UE is configured with *gapRequestsAllowedDedicated* set to *true* or if the UE is not configured with *gapRequestsAllowedDedicated* and *SystemInformationBlockType19* includes *gapRequestsAllowedCommon*) during the last 1 second preceding detection of radio link failure; or
  - 2> if *SystemInformationBlockType21* including *sl-V2X-ConfigCommon* is broadcast by the PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of V2X sidelink communication related parameters relevant in PCell (i.e. change of *v2x-CommRxInterestedFreqList* or *v2x-CommTxResourceReq*) during the last 1 second preceding detection of radio link failure:
    - 3> initiate transmission of the *SidelinkUEInformation* message in accordance with 5.10.2.3;
- 1> for a NB-IoT UE for which AS security has not been activated:
- 2> validate *dl-NAS-MAC*, as specified in TS 33.401 [32];
  - 2> if *dl-NAS-MAC* check fails:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure', upon which the procedure ends;
  - 2> except for a UE that only supports the Control Plane CIoT EPS optimisation:
    - 3> re-establish PDCP for SRB1;
    - 3> re-establish RLC for SRB1;
  - 2> re-establish RLC for SRB1bis;

- 2> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;
- 2> except for a UE that only supports the Control Plane CIoT EPS optimisation:
  - 3> resume SRB1;
- 2> resume SRB1bis;

NOTE 3: E-UTRAN should not transmit any message on SRB1bis prior to receiving the *RRCConnectionReestablishmentComplete* message.

- 2> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:
  - 3> set the *measResultServCell* to include the measurements of the serving cell;

NOTE 4: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

- 2> submit the *RRCConnectionReestablishmentComplete* message to lower layers for transmission;
- 1> the procedure ends;

#### 5.3.7.6 T311 expiry

Upon T311 expiry, the UE shall:

- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

#### 5.3.7.7 T301 expiry or selected cell no longer suitable

The UE shall:

- 1> if timer T301 expires; or
- 1> if the selected cell becomes no longer suitable according to the cell selection criteria as specified in TS 36.304 [4]:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

#### 5.3.7.8 Reception of *RRCConnectionReestablishmentReject* by the UE

Upon receiving the *RRCConnectionReestablishmentReject* message, the UE shall:

- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

## 5.3.8 RRC connection release

### 5.3.8.1 General



**Figure 5.3.8.1-1: RRC connection release, successful**

The purpose of this procedure is:

- to release the RRC connection, which includes the release of the established radio bearers as well as all radio resources; or
- to suspend the RRC connection for both suspended RRC connection or RRC\_INACTIVE, which includes the suspension of the established radio bearers;
- to complete the UP-EDT procedure, which includes the release or suspension of the established radio bearers.

### 5.3.8.2 Initiation

E-UTRAN initiates the RRC connection release procedure to a UE in RRC\_CONNECTED or in RRC\_INACTIVE or to complete UP-EDT.

### 5.3.8.3 Reception of the *RRCConnectionRelease* by the UE

The UE shall:

- 1> except for NB-IoT, BL UEs or UEs in CE, delay the following actions defined in this clause 60 ms from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier;
- 1> for BL UEs or UEs in CE, delay the following actions defined in this clause 1.25 seconds from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier;
- 1> for NB-IoT, delay the following actions defined in this clause 10 seconds from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier.

NOTE: For BL UEs, UEs in CE and NB-IoT, when STATUS reporting, as defined in TS 36.322 [7], has not been triggered and the UE has sent positive HARQ feedback (ACK), as defined in TS 36.321 [6], the lower layers can be considered to have indicated that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged.

- 1> stop T380, if running;
- 1> if the *RRCConnectionRelease* message is received in response to an *RRCConnectionResumeRequest* for EDT:
  - 2> indicate to upper layers that the suspended RRC connection has been resumed;
  - 2> discard the stored UE AS context and *resumeIdentity*;
  - 2> stop timer T300;
  - 2> stop timer T302, if running;
  - 2> stop timer T303, if running;



- 2> stop timer T305, if running;
  - 2> stop timer T306, if running;
  - 2> stop timer T308, if running;
  - 2> perform the actions as specified in 5.3.3.7;
  - 2> stop timer T320, if running;
  - 2> stop timer T322, if running;
- 1> if AS security is not activated and if UE is connected to 5GC:
- 2> ignore any field included in *RRConnectionRelease* message except *waitTime*;
  - 2> perform the actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE as specified in 5.3.12 with the release cause 'other' upon which the procedure ends;
- 1> if the *RRConnectionRelease* message includes *redirectedCarrierInfo* indicating redirection to *geran*; or
- 1> if the *RRConnectionRelease* message includes *idleModeMobilityControlInfo* including *freqPriorityListGERAN*:
- 2> if AS security has not been activated; and
  - 2> if upper layers indicate that redirect to GERAN without AS security is not allowed:
    - 3> ignore the content of the *RRConnectionRelease*;
    - 3> perform the actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE as specified in 5.3.12, with release cause 'other', upon which the procedure ends;
- 1> if AS security has not been activated:
- 2> ignore the content of *redirectedCarrierInfo*, if included and indicating redirection to *nr*;
  - 2> ignore the content of *idleModeMobilityControlInfo*, if included and including *freqPriorityListNR*;
  - 2> if the UE ignores the content of *redirectedCarrierInfo* or of *idleModeMobilityControlInfo*:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;
- 1> if the *RRConnectionRelease* message includes *redirectedCarrierInfo* indicating redirection to *eutra* and if UE is connected to 5GC:
- 2> if *cn-Type* is included:
    - 3> after the cell selection, indicate the available CN Type(s) and the received *cn-Type* to upper layers;
- NOTE 1: Handling the case if the E-UTRA cell selected after the redirection does not support the core network type specified by the *cn-Type*, is up to UE implementation.
- 1> if the *RRConnectionRelease* message includes the *idleModeMobilityControlInfo*:
- 2> store the cell reselection priority information provided by the *idleModeMobilityControlInfo*;
  - 2> if the *t320* is included:
    - 3> start timer T320, with the timer value set according to the value of *t320*;
- 1> else:
- 2> apply the cell reselection priority information broadcast in the system information;
- 1> if the *RRConnectionRelease* message includes the *measIdleConfig*:
- 2> clear *VarMeasIdleConfig* and *VarMeasIdleReport*;

- 2> store the received *measIdleDuration* in *VarMeasIdleConfig*;
- 2> start T331 with the value of *measIdleDuration*;
- 2> if the *measIdleConfig* contains *measIdleCarrierListEUTRA*:
  - 3> store the received *measIdleCarrierListEUTRA* in *VarMeasIdleConfig*;
  - 3> start performing idle mode measurements as specified in 5.6.20;

NOTE 2: If the *measIdleConfig* does not contain *measIdleCarrierListEUTRA*, UE may receive *measIdleCarrierListEUTRA* as specified in 5.2.2.12.

- 1> for NB-IoT, if the *RRCConnectionRelease* message includes the *redirectedCarrierInfo*:
  - 2> if the *redirectedCarrierOffsetDedicated* is included in the *redirectedCarrierInfo*:
    - 3> store the dedicated offset for the frequency in *redirectedCarrierInfo*;
    - 3> start timer T322, with the timer value set according to the value of *T322* in *redirectedCarrierInfo*;
- 1> if the *releaseCause* received in the *RRCConnectionRelease* message indicates *loadBalancingTAURequired*:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'load balancing TAU required';
- 1> else if the *releaseCause* received in the *RRCConnectionRelease* message indicates *cs-FallbackHighPriority*:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'CS Fallback High Priority';
- 1> else:
  - 2> if the *extendedWaitTime* is present; and
  - 2> if the UE supports delay tolerant access or the UE is a NB-IoT UE:
    - 3> forward the *extendedWaitTime* to upper layers;
  - 2> if the *extendedWaitTime-CPdata* is present and the NB-IoT UE only supports the Control Plane CIoT EPS optimisation:
    - 3> forward the *extendedWaitTime-CPdata* to upper layers;
  - 2> if the *releaseCause* received in the *RRCConnectionRelease* message indicates *rrc-Suspend*:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC suspension';
  - 2> else if *rrc-InactiveConfig* is included:
    - 3> perform the actions upon entering RRC\_INACTIVE as specified in 5.3.8.7;
  - 2> else:
    - 3> perform the actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE as specified in 5.3.12, with release cause 'other';

#### 5.3.8.4 T320 expiry

The UE shall:

- 1> if T320 expires:
  - 2> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;

- 2> apply the cell reselection priority information broadcast in the system information;

### 5.3.8.5 T322 expiry or stop

The UE shall:

- 1> if T322 expires or is stopped:
  - 2> discard the *redirectedCarrierOffsetDedicated* provided in *RRCConnectionRelease* message;

### 5.3.8.6 UE actions upon receiving the expiry of *DataInactivityTimer*

Upon receiving the expiry of *DataInactivityTimer* from lower layers while in RRC\_CONNECTED, the UE shall:

- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

### 5.3.8.7 UE actions upon entering RRC\_INACTIVE

Upon entering RRC\_INACTIVE, the UE shall:

- 1> reset MAC and release the default MAC configuration if any;
- 1> stop all timers that are running except T302, T309, T320, T325 and T330;
- 1> re-establish RLC entities for all SRBs and DRBs;
- 1> if the *RRCConnectionRelease* message is including the *waitTime*:
  - 2> start timer T302, with the timer value set according to the *waitTime*;
  - 2> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2';
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> apply the received *rrc-InactiveConfig*;
- 1> if the *RRCConnectionRelease* message was received in response to an *RRCConnectionResumeRequest*:
  - 2> in the stored UE Inactive AS context:
    - 3> replace the  $K_{eNB}$  and  $K_{RRCint}$  keys with the current  $K_{eNB}$  and  $K_{RRCint}$  keys;
    - 3> replace the C-RNTI with the temporary C-RNTI which the UE has used to receive the *RRCConnectionRelease* message;
    - 3> replace the *cellIdentity* with the *cellIdentity* of the PCell at the time the UE has received the *RRCConnectionRelease* message;
    - 3> replace the previously stored physical cell identity with the physical cell identity of the PCell at the time the UE has received the *RRCConnectionRelease* message;
- 1> else:
  - 2> store in the UE Inactive AS Context, the current  $K_{eNB}$  and  $K_{RRCint}$  keys, the ROHC state, the stored QoS flow to DRB mapping rules, the C-RNTI used in the source PCell, the *cellIdentity* and the physical cell identity of the source PCell, and all other parameters configured;
- 1> if the *periodic-RNAU-timer* is included:
  - 2> start timer T380, with the timer value set to the *periodic-RNAU-timer*;

- 1> suspend all SRB(s) and DRB(s), except SRB0;
- 1> indicate PDCP suspend to lower layers of all DRBs;
- 1> indicate the suspension of the RRC connection to upper layers;
- 1> enter RRC\_INACTIVE and perform procedures as specified in TS 36.304 [4], clause 5.2.7;

Upon selecting to an inter-RAT cell or switching to another CN type, the UE shall:

- 1> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'other';

## 5.3.9 RRC connection release requested by upper layers

### 5.3.9.1 General

The purpose of this procedure is to release the RRC connection. Access to the current PCell may be barred as a result of this procedure.

### 5.3.9.2 Initiation

The UE initiates the procedure when upper layers request the release of the RRC connection as specified in TS 24. 301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC. The UE shall not initiate the procedure for power saving purposes.

The UE shall:

- 1> if the upper layers indicate barring of the PCell:
  - 2> treat the PCell used prior to entering RRC\_IDLE as barred according to TS 36.304 [4];
- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';

## 5.3.10 Radio resource configuration

### 5.3.10.0 General

The UE shall:

- 1> if the received *radioResourceConfigDedicated* includes the *srb-ToAddModList*:
  - 2> perform the SRB addition or reconfiguration as specified in 5.3.10.1;
- 1> if the received *radioResourceConfigDedicated* includes the *drb-ToReleaseList*:
  - 2> perform DRB release as specified in 5.3.10.2;
- 1> if the received *radioResourceConfigDedicated* includes the *drb-ToAddModList*:
  - 2> perform DRB addition or reconfiguration as specified in 5.3.10.3;
- 1> if the received *radioResourceConfigDedicated* includes the *mac-MainConfig*:
  - 2> perform MAC main reconfiguration as specified in 5.3.10.4;
- 1> if the received *radioResourceConfigDedicated* includes *sps-Config*:
  - 2> perform SPS reconfiguration according to 5.3.10.5;
- 1> if the received *radioResourceConfigDedicated* includes the *physicalConfigDedicated*:
  - 2> reconfigure the physical channel configuration as specified in 5.3.10.6.
- 1> if the received *radioResourceConfigDedicated* includes the *rlf-TimersAndConstants*:

- 2> reconfigure the values of timers and constants as specified in 5.3.10.7;
- 1> if the received *radioResourceConfigDedicated* includes the *measSubframePatternPCell*:
  - 2> reconfigure the time domain measurement resource restriction for the serving cell as specified in 5.3.10.8;
- 1> if the received *radioResourceConfigDedicated* includes the *naics-Info*:
  - 2> perform NAICS neighbour cell information reconfiguration for the PCell as specified in 5.3.10.13;
- 1> if the received *RadioResourceConfigDedicatedPSCell* includes the *naics-Info*:
  - 2> perform NAICS neighbour cell information reconfiguration for the PSCell as specified in 5.3.10.13;
- 1> if the received *RadioResourceConfigDedicatedSCell-r10* includes the *naics-Info*:
  - 2> perform NAICS neighbour cell information reconfiguration for the SCell as specified in 5.3.10.13;
- 1> if the received *radioResourceConfigDedicated* includes the *srb-ToReleaseList*:
  - 2> perform SRB release as specified in 5.3.10.17;
- 1> if the received *radioResourceConfigDedicated* includes the *schedulingRequestConfig*:
  - 2> perform scheduling request reconfiguration for the SCell as specified in 5.3.10.18.

### 5.3.10.1 SRB addition/ modification

The UE shall:

- 1> if the UE is a NB-IoT UE and SRB1 is not established; or
- 1> for each *srb-Identity* value included in the *srb-ToAddModList* that is not part of the current UE configuration (SRB establishment):
  - 2> if the UE is not a NB-IoT UE that only supports the Control Plane CIoT EPS optimisation:
    - 3> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
    - 3> establish a primary (MCG) RLC entity in accordance with the received *rlc-Config*;
    - 3> establish a primary (MCG) DCCH logical channel in accordance with the received *logicalChannelConfig* and with the logical channel identity set in accordance with 9.1.2;
    - 3> if the same *srb-Identity* is included in NR *srb-ToAddModList*:
      - 4> after processing *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2* if present in the *RRCConnectionReconfiguration* message which triggered the execution of the SRB addition/modification procedure, associate MCG RLC bearer with the NR PDCP entity associated with the same value of *srb-Identity* in the current UE configuration as specified in TS 38.331 [82];
    - 3> else:
      - 4> establish a PDCP entity and configure it with the current (MCG) security configuration, if applicable;
  - 3> if *rlc-BearerConfigSecondary* is received with value *setup*:
    - 4> establish a secondary MCG RLC entity or entities and an associated DCCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
    - 4> configure the E-UTRA PDCP entity to activate duplication with *t-Reordering* set to *infinity*;
- 2> if the UE is a NB-IoT UE:
  - 3> apply the specified configuration defined in 9.1.2 for SRB1bis;

- 3> establish an (MCG) RLC entity in accordance with the received *rlc-Config*;
- 3> establish a (MCG) DCCH logical channel in accordance with the received *logicalChannelConfig* and with the logical channel identity set in accordance with 9.1.2.1a;
- 1> if the UE is a NB-IoT UE and SRB1 is established; or
- 1> for each *srb-Identity* value included in the *srb-ToAddModList* that is part of the current UE configuration (SRB reconfiguration):
  - 2> if *pdcp-verChange* is included (i.e. NR PDCP to E-UTRA PDCP change):
    - 3> establish an (E-UTRA) PDCP entity and configure it with the current (MCG) security configuration;
- NOTE: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.
  - 3> associate the primary RLC bearer of this SRB with the established PDCP entity;
  - 3> release the NR PDCP entity of this SRB;
- 2> reconfigure the primary RLC entity in accordance with the received *rlc-Config*;
- 2> reconfigure the primary DCCH logical channel in accordance with the received *logicalChannelConfig*;
- 2> if *rlc-BearerConfigSecondary* is included with value *release*:
  - 3> release the secondary MCG RLC entity or entities as well as the associated DCCH logical channel;
- 2> if *rlc-BearerConfigSecondary* is received with value *setup*:
  - 3> if the current SRB configuration does not include a secondary RLC bearer:
    - 4> establish a secondary MCG RLC entity or entities and an associated DCCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
    - 4> configure the E-UTRA PDCP entity to activate duplication with *t-Reordering* set to *infinity*;
  - 3> else:
    - 4> reconfigure the secondary MCG RLC entity or entities and the associated DCCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;

### 5.3.10.1a SCG RLC bearer addition or reconfiguration for SRBs

The UE shall:

- 1> for each *srb-Identity* value included in the *srb-ToAddModListSCG* that is not part of the current UE E-UTRA SCG configuration (i.e. SCG RLC bearer establishment):
  - 2> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
  - 2> establish an (SCG) RLC entity in accordance with the received *rlc-Config*;
  - 2> establish a (SCG) DCCH logical channel in accordance with the received *logicalChannelConfig* and with the logical channel identity set in accordance with 9.1.2;
  - 2> if the UE is configured with DC:
    - 3> associate the established SCG RLC bearer and DCCH logical channel with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
    - 3> configure the E-UTRA PDCP entity to activate duplication with *t-Reordering* set to *infinity*;
  - 2> else (i.e. the UE is configured with NE-DC):

- 3> associate the SCG RLC bearer and DCCH logical channel with the NR PDCP entity, i.e. as configured by NR see TS 38.331 [82], identified with the same *srb-Identity* within the current UE configuration;
- 1> for each *srb-Identity* value included in the *srb-ToAddModListSCG* that is part of the current UE SCG configuration (SCG RLC bearer reconfiguration):
  - 2> re-establish the SCG RLC entity, if *reestablishRLC* is included;
  - 2> reconfigure the RLC entity in accordance with the received *rlc-Config*;
  - 2> reconfigure the DCCH logical channel in accordance with the received *logicalChannelConfig*;

### 5.3.10.2 DRB release

The UE shall:

- 1> for each *drb-Identity* value included in the *drb-ToReleaseList* or *drb-ToReleaseListSCG* that is part of the current UE configuration (DRB or RLC bearer release); or
- 1> for each *drb-identity* value that is to be released as the result of full configuration option according to 5.3.5.8:
  - 2> if release of this DRB is result of full configuration option according to 5.3.5.8:
    - 3> release the E-UTRA or NR PDCP entity;
  - 2> else if this DRB is configured with *pdcp-config*:
    - 3> release the E-UTRA PDCP entity;
  - 2> else (release the RLC bearer configuration of MCG or of SCG):
    - 3> re-establish the RLC entity as specified in 36.322 for this DRB;
  - 2> release the RLC entity or entities;
  - 2> release the DTCH logical channel;
  - 2> if the UE is connected to EPC:
    - 3> if the DRB was configured with *pdcp-config* and new DRB is not added with same *eps-BearerIdentity* in *drb-ToAddModList* nor *nr-radioBearerConfig1* nor in *nr-radioBearerConfig2*:
      - 4> if the procedure was triggered due to handover:
        - 5> indicate the release of the DRB and the *eps-BearerIdentity* of the released DRB to upper layers after successful handover;
      - 4> else:
        - 5> indicate the release of the DRB and the *eps-BearerIdentity* of the released DRB to upper layers immediately.

NOTE 1: The UE does not consider the message as erroneous if the *drb-ToReleaseList* includes any *drb-Identity* value that is not part of the current UE configuration.

NOTE 2: The association of *eps-BearerIdentity* to an NR PDCP configuration as defined in TS 38.331 [82] can be included in the same message that releases an DRB associated to the same *eps-BearerIdentity*.

### 5.3.10.3 DRB addition/ modification

The UE shall:

- 1> for each *drb-Identity* value included in the *drb-ToAddModList* that is not part of the current UE configuration (DRB establishment including the case when full configuration option is used):
  - 2> if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWA* set to *TRUE* (i.e. add LWA DRB):

- 3> perform the LWA specific DRB addition or reconfiguration as specified in 5.3.10.3a2;
- 2> if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWIP* (i.e. add LWIP DRB):
  - 3> perform LWIP specific DRB addition or reconfiguration as specified in 5.3.10.3a3;
- 2> else if *drb-ToAddModListSCG* is not received or does not include the *drb-Identity* value (i.e. add MCG DRB or MCG RLC bearer):
  - 3> if *pdcp-Config* is received, establish a PDCP entity and configure it with the current MCG security configuration and in accordance with the received *pdcp-Config*;
  - 3> if *rlc-Config* is received, establish a (primary) MCG RLC entity or entities in accordance with the received *rlc-Config*;
  - 3> if *logicalChannelIdentity* and *logicalChannelConfig* are received, establish a (primary) MCG DTCH logical channel in accordance with the received *logicalChannelIdentity* and the received *logicalChannelConfig*;
  - 3> if *rlc-BearerConfigSecondary* is received with value *setup*:
    - 4> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *drb-Identity* within the current UE configuration;
    - 3> if *pdcp-Config* is not received, after processing *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2* if present in the *RRConnectionReconfiguration* message which triggered the execution of the DRB addition/modification procedure, associate MCG RLC bearer with the NR PDCP entity associated with the same value of *drb-Identity* in the current UE configuration as specified in TS 38.331 [82];
- 2> if a DRB was configured with the same *eps-BearerIdentity* (fullConfig or change to E-UTRA PDCP):
  - 3> associate the established DRB with corresponding included *eps-BearerIdentity*;
- 2> else if the entry of *drb-ToAddModList* includes *pdcp-config* (establishment of bearer with E-UTRA PDCP):
  - 3> indicate the establishment of the DRB(s) and the *eps-BearerIdentity* of the established DRB(s) to upper layers;
- 1> for each *drb-Identity* value included in the *drb-ToAddModList* that is part of the current UE configuration (DRB reconfiguration):
  - 2> if the DRB indicated by *drb-Identity* is an LWA DRB (i.e. LWA to LTE only or reconfigure LWA DRB):
    - 3> perform the LWA specific DRB reconfiguration as specified in 5.3.10.3a2;
  - 2> else if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWA* set to *TRUE* (i.e. LTE only to LWA DRB):
    - 3> perform the LWA specific DRB reconfiguration as specified in 5.3.10.3a2;
  - 2> if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWIP* (i.e. add or reconfigure LWIP DRB):
    - 3> perform LWIP specific DRB addition or reconfiguration as specified in 5.3.10.3a3;
  - 2> if *drb-ToAddModListSCG* is not received or does not include the *drb-Identity* value:
    - 3> if the DRB indicated by *drb-Identity* is an MCG DRB or configured with MCG RLC bearer (reconfigure MCG RLC bearer or reconfigure MCG DRB):
      - 4> if the *pdcp-Config* is included:
        - 5> reconfigure the PDCP entity in accordance with the received *pdcp-Config*;
      - 4> if the *rlc-Config* is included:



- 5> if *reestablishRLC* is received:
  - 6> re-establish the primary RLC entity of this DRB;
  - 6> if the *logicalChannelIdentity* is included and the DRB indicated by *drb-Identity* is configured with MCG RLC bearer (reconfigure logical channel identity of MCG RLC bearer);
  - 7> reconfigure the primary DTCH logical channel identity in accordance with the received *logicalChannelIdentity*;
- 5> reconfigure the primary RLC entity or entities in accordance with the received *rlc-Config*;
- 4> if the *logicalChannelConfig* is included:
  - 5> reconfigure the primary DTCH logical channel in accordance with the received *logicalChannelConfig*;
- 4> if *rlc-BearerConfigSecondary* is included with value *release*:
  - 5> release the secondary MCG RLC entity or entities as well as the associated DTCH logical channel;
- 4> if *rlc-BearerConfigSecondary* is included with value *setup*:
  - 5> if the current DRB configuration does not include a secondary RLC bearer:
    - 6> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *drb-Identity* within the current UE configuration;
  - 5> else:
    - 6> reconfigure the secondary MCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;

NOTE: Removal and addition of DRB with *pdcp-Config* with the same *drb-Identity* in a single *radioResourceConfigDedicated* is not supported. In case *drb-Identity* is removed and added due to handover or re-establishment with the full configuration option, the eNB can use the same value of *drb-Identity*.

### 5.3.10.3a1 DC specific DRB addition or reconfiguration

For the *drb-Identity* value for which this procedure is initiated, the UE shall:

- 1> if *drb-ToAddModListSCG* is received and includes the *drb-Identity* value; and *drb-Identity* value is not part of the current UE configuration (i.e. DC specific DRB establishment):
  - 2> if *drb-ToAddModList* is received and includes the *drb-Identity* value (i.e. add split DRB):
    - 3> establish a PDCP entity and configure it with the current MCG security configuration and in accordance with the *pdcp-Config* included in *drb-ToAddModList*;
    - 3> establish an MCG RLC entity and an MCG DTCH logical channel in accordance with the *rlc-Config*, *logicalChannelIdentity* and *logicalChannelConfig* included in *drb-ToAddModList*;
    - 3> establish an SCG RLC entity and an SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG* included in *drb-ToAddModListSCG*;
  - 2> else (i.e. add SCG DRB):
    - 3> establish a PDCP entity and configure it with the current SCG security configuration and in accordance with the *pdcp-Config* included in *drb-ToAddModListSCG*;
    - 3> establish a primary SCG RLC entity or entities and a primary SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG* included in *drb-ToAddModListSCG*;

- 3> if *rlc-BearerConfigSecondary* is included with value *setup*;
  - 4> establish a secondary SCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
- 2> indicate the establishment of the DRB(s) and the *eps-BearerIdentity* of the established DRB(s) to upper layers;
- 1> else (i.e. DC specific DRB modification; *drb-ToAddModList* and/ or *drb-ToAddModListSCG* received):
  - 2> if the DRB indicated by *drb-Identity* is a split DRB:
    - 3> if *drb-ToAddModList* is received and includes the *drb-Identity* value, while for this entry *drb-TypeChange* is included and set to *toMCG* (i.e. split to MCG):
      - 4> release the SCG RLC entity or entities and the SCG DTCH logical channel(s);
      - 4> reconfigure the PDCP entity in accordance with the *pdcp-Config*, if included in *drb-ToAddModList*;
      - 4> reconfigure the primary MCG RLC entity and/ or the primary MCG DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
      - 4> if *rlc-BearerConfigSecondary* is included with value *setup*;
        - 5> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
    - 3> else (i.e. reconfigure split):
      - 4> reconfigure the PDCP entity in accordance with the *pdcp-Config*, if included in *drb-ToAddModList*;
      - 4> reconfigure the MCG RLC entity and/ or the MCG DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
      - 4> reconfigure the SCG RLC entity and/ or the SCG DTCH logical channel in accordance with the *rlc-ConfigSCG* and *logicalChannelConfigSCG*, if included in *drb-ToAddModListSCG*;
  - 2> if the DRB indicated by *drb-Identity* is an SCG DRB:
    - 3> if *drb-ToAddModList* is received and includes the *drb-Identity* value, while for this entry *drb-TypeChange* is included and set to *toMCG* (i.e. SCG to MCG):
      - 4> reconfigure the PDCP entity with the current MCG security configuration and in accordance with the *pdcp-Config*, if included in *drb-ToAddModList*;
      - 4> reconfigure the SCG RLC entity or entities (both primary and secondary, if configured) and the SCG DTCH logical channel (both primary and secondary, if configured) to be an MCG RLC entity or entities and an MCG DTCH logical channel;
      - 4> reconfigure the primary MCG RLC entity or entities and/ or the primary MCG DTCH logical channel in accordance with the *rlc-Config*, *logicalChannelIdentity* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
      - 4> if *rlc-BearerConfigSecondary* is included with value *release*:
        - 5> release the secondary MCG RLC entity or entities as well as the associated DTCH logical channel;
      - 4> if *rlc-BearerConfigSecondary* is included with value *setup*;
        - 5> if the current DRB configuration does not include a secondary RLC bearer:
          - 6> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;

- 5> else:
  - 6> reconfigure the secondary MCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;
- 3> else (i.e. *drb-ToAddModListSCG* is received and includes the *drb-Identity* value i.e. reconfigure SCG):
  - 4> reconfigure the PDCP entity in accordance with the *pdcp-Config*, if included in *drb-ToAddModListSCG*;
  - 4> reconfigure the primary SCG RLC entity or entities and/ or the primary SCG DTCH logical channel in accordance with the *rlc-ConfigSCG* and *logicalChannelConfigSCG*, if included in *drb-ToAddModListSCG*;
  - 4> if *rlc-BearerConfigSecondary* is included with value *release*:
    - 5> release the secondary SCG RLC entity or entities as well as the associated DTCH logical channel;
  - 4> if *rlc-BearerConfigSecondary* is included with value *setup*:
    - 5> if the current DRB configuration does not include a secondary RLC bearer:
      - 6> establish a secondary SCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
    - 5> else:
      - 6> reconfigure the secondary SCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;
- 2> if the DRB indicated by *drb-Identity* is an MCG DRB:
  - 3> if *drb-ToAddModListSCG* is received and includes the *drb-Identity* value, while for this entry *drb-Type* is included and set to *split* (i.e. MCG to split):
    - 4> reconfigure the PDCP entity in accordance with the *pdcp-Config*, if included in *drb-ToAddModList*;
    - 4> reconfigure the primary MCG RLC entity and/ or the primary MCG DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
    - 4> if *rlc-BearerConfigSecondary* is included with value *release*:
      - 5> release the secondary MCG RLC entity or entities as well as the associated DTCH logical channel;
    - 4> establish an SCG RLC entity and an SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG*, included in *drb-ToAddModListSCG*;
  - 3> else (i.e. *drb-Type* is included and set to *scg* i.e. MCG to SCG):
    - 4> reconfigure the PDCP entity with the current SCG security configuration and in accordance with the *pdcp-Config*, if included in *drb-ToAddModListSCG*;
    - 4> reconfigure the MCG RLC entity or entities (both primary and secondary, if configured) and the MCG DTCH logical channel (both primary and secondary, if configured) to be an SCG RLC entity or entities and an SCG DTCH logical channel;
    - 4> reconfigure the primary SCG RLC entity or entities and/ or the primary SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG*, if included in *drb-ToAddModListSCG*;
    - 4> if *rlc-BearerConfigSecondary* is included with value *release*:
      - 5> release the secondary SCG RLC entity or entities as well as the associated DTCH logical channel;
    - 4> if *rlc-BearerConfigSecondary* is included with value *setup*;

- 5> if the current DRB configuration does not include a secondary RLC bearer:
  - 6> establish a secondary SCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
- 5> else:
  - 6> reconfigure the secondary SCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;

### 5.3.10.3a2 LWA specific DRB addition or reconfiguration

For the *drb-Identity* value for which this procedure is initiated, the UE shall:

- 1> if the *drb-Identity* value is not part of the current UE configuration (i.e. add LWA DRB):
  - 2> establish a PDCP entity and configure it with the current security configuration and in accordance with the *pdcp-Config* included in *drb-ToAddModList*;
  - 2> establish an RLC entity and an DTCH logical channel in accordance with the *rlc-Config*, *logicalChannelIdentity* and *logicalChannelConfig* included in *drb-ToAddModList*;
  - 2> enable data handling for this DRB at the LWAAP entity;
  - 2> if *lwa-WLAN-AC* is configured:
    - 3> apply the received *lwa-WLAN-AC* when performing transmissions of packets for this DRB over WLAN;
  - 2> indicate the establishment of the DRB and the *eps-BearerIdentity* of the established DRB to upper layers;
- 1> else if the DRB indicated by *drb-Identity* is not an LWA DRB (i.e. LTE only to LWA DRB):
  - 2> reconfigure the PDCP entity in accordance with the *pdcp-Config*, if included in *drb-ToAddModList*;
  - 2> reconfigure the RLC entity and/ or the DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
  - 2> enable data handling for this DRB at the LWAAP entity;
  - 2> if *lwa-WLAN-AC* is configured:
    - 3> apply the received *lwa-WLAN-AC* when performing transmissions of packets for this DRB over WLAN;
- 1> else if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWA* set to *FALSE* (i.e. LWA to LTE only DRB):
  - 2> reconfigure the PDCP entity in accordance with the *pdcp-Config*, if included in *drb-ToAddModList*;
  - 2> reconfigure the RLC entity and/ or the DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
  - 2> perform PDCP data recovery as specified in TS 36.323 [8] if bearer is configured with RLC AM;
  - 2> disable data handling for this DRB at the LWAAP entity;
- 1> else (i.e. reconfigure LWA DRB):
  - 2> reconfigure the PDCP entity in accordance with the *pdcp-Config*, if included in *drb-ToAddModList*;
  - 2> reconfigure the RLC entity and/ or the DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
  - 2> if *lwa-WLAN-AC* is configured:
    - 3> apply the received *lwa-WLAN-AC* when performing transmissions of packets for this DRB over WLAN;

### 5.3.10.3a3 LWIP specific DRB addition or reconfiguration

For the *drb-Identity* value for which this procedure is initiated, the UE shall:

- 1> if the *drb-TypeLWIP* is set to *lwip*:
  - 2> indicate to higher layers to use LWIP resources in both UL and DL for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-DL-Aggregation* is set to TRUE:
    - 3> indicate to higher layers to apply decoding of LWIPEP header with GRE sequence number for both LTE and WLAN DL reception for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-DL-Aggregation* is set to FALSE:
    - 3> indicate to higher layers to stop decoding of LWIPEP header with GRE sequence number for both LTE and WLAN DL reception for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-UL-Aggregation* is set to TRUE:
    - 3> indicate to higher layers to insert LWIPEP header with GRE sequence number for both LTE and WLAN UL transmissions for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-UL-Aggregation* is set to FALSE:
    - 3> indicate to higher layers to stop inserting LWIPEP header with GRE sequence number for both LTE and WLAN UL transmissions for the DRB associated with the *drb-Identity*;
- 1> if the *drb-TypeLWIP* is set to *lwip-DL-only*:
  - 2> indicate to higher layers to use LWIP resources in the DL only for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-DL-Aggregation* is set to TRUE:
    - 3> indicate to higher layers to apply decoding of LWIPEP header with GRE sequence number for both LTE and WLAN DL reception for the DRB associated with the *drb-Identity*;
- 1> if the *drb-TypeLWIP* is set to *lwip-UL-only*:
  - 2> indicate to higher layers to use LWIP resources in the UL only for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-UL-Aggregation* is set to TRUE:
    - 3> indicate to higher layers to insert LWIPEP header with GRE sequence number for both LTE and WLAN UL transmissions for the DRB associated with the *drb-Identity*;
- 1> if the *drb-TypeLWIP* is set to *eutran*:
  - 2> indicate to higher layers to stop using LWIP resources for the DRB associated with the *drb-Identity*;

### 5.3.10.3a4 SCG RLC bearer addition or reconfiguration for DRBs in NE-DC

The UE shall:

- 1> for each *drb-Identity* value included in *drb-ToAddModListSCG*:
  - 2> if *drb-Identity* value is not part of the current UE E-UTRA SCG configuration (SCG RLC bearer establishment):
    - 3> establish an SCG RLC entity or entities and an SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG* included in *drb-ToAddModListSCG*;
    - 3> associate the SCG RLC bearer and DTCH logical channel with the NR PDCP entity, i.e. as configured by NR see TS 38.331 [82], identified with the same *drb-Identity* within the current UE configuration;

- 2> else:
  - 3> re-establish the SCG RLC entity of this DRB, if *reestablishRLC* is included in *rlc-Config*;
  - 3> reconfigure the SCG RLC entity or entities and/ or the SCG DTCH logical channel in accordance with the *rlc-ConfigSCG* and *logicalChannelConfigSCG*, if included in *drb-ToAddModListSCG*;

### 5.3.10.3a SCell release

The UE shall:

- 1> if the release is triggered by reception of the *sCellToReleaseList* or the *sCellToReleaseListSCG*:
  - 2> for each *sCellIndex* value included either in the *sCellToReleaseList* or in the *sCellToReleaseListSCG*:
    - 3> if the current UE configuration includes an SCell with value *sCellIndex*:
      - 4> release the SCell;
- 1> if the release is triggered by RRC connection re-establishment; or
- 1> if the release is triggered when the UE is resuming an RRC connection from a suspended RRC connection or from RRC\_INACTIVE as specified in clause 5.3.3.2:
  - 2> release all SCells that are part of the current UE configuration;

### 5.3.10.3b SCell addition/ modification

The UE shall:

- 1> for each *sCellIndex* value included either in the *sCellToAddModList* or in the *sCellToAddModListSCG* that is not part of the current UE configuration (SCell addition):
  - 2> add the SCell, corresponding to the *cellIdentification*, in accordance with the *radioResourceConfigCommonSCell* and *radioResourceConfigDedicatedSCell*, both included either in the *sCellToAddModList* or in the *sCellToAddModListSCG*;
  - 2> if *sCellState* is configured for the SCell and indicates *activated*:
    - 3> configure lower layers to consider the SCell to be in activated state;
  - 2> else if *sCellState* is configured for the SCell and indicates *dormant*:
    - 3> configure lower layers to consider the SCell to be in dormant state;
  - 2> else:
    - 3> configure lower layers to consider the SCell to be in deactivated state;
  - 2> for each *measId* included in the *measIdList* within *VarMeasConfig*:
    - 3> if SCells are not applicable for the associated measurement; and
    - 3> if the concerned SCell is included in *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*:
      - 4> remove the concerned SCell from *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
- 1> for each *sCellIndex* value included either in the *sCellToAddModList* or in the *sCellToAddModListSCG* that is part of the current UE configuration (SCell modification):
  - 2> modify the SCell configuration in accordance with the *radioResourceConfigDedicatedSCell*, included either in the *sCellToAddModList* or in the *sCellToAddModListSCG*;

### 5.3.10.3c PSCell addition or modification

The UE shall:

- 1> if the PSCell is not part of the current UE configuration (i.e. PSCell addition):
  - 2> add the PSCell, corresponding to the *cellIdentification*, in accordance with the received *radioResourceConfigCommonPSCell* and *radioResourceConfigDedicatedPSCell*;
  - 2> configure lower layers to consider the PSCell to be in activated state;
- 1> if the PSCell is part of the current UE configuration (i.e. PSCell modification):
  - 2> modify the PSCell configuration in accordance with the received *radioResourceConfigDedicatedPSCell*;

### 5.3.10.3d SCell group release

The UE shall:

- 1> if the release is triggered by reception of the *sCellGroupToReleaseList*:
  - 2> for each *sCellGroupIndex* value included in the *sCellGroupToReleaseList*:
    - 3> if the current UE configuration includes an SCell with value *sCellGroupIndex*:
      - 4> consider the SCell not to be part of the SCell group indicated by *sCellGroupIndex*;
      - 4> consider the *sCellConfigCommon* of the SCell group to be not applicable for the SCell;
    - 3> release the SCell group;
- 1> if the release is triggered by RRC connection re-establishment:
  - 2> release all SCell groups that are part of the current UE configuration;

### 5.3.10.3e SCell group addition/ modification

The UE shall:

- 1> for each *sCellGroupIndex* value included in the *sCellGroupToAddModList* that is part of the current UE configuration (SCell group modification):
  - 2> for each *sCellIndex* value included in the *sCellToReleaseList* that is part of the SCell group indicated by *sCellGroupIndex* (SCell deletion from SCell group):
    - 3> consider the *sCellConfigCommon* of the SCell group to be not applicable for the SCell;
    - 3> consider the SCell not to be part of the SCell group indicated by *sCellGroupIndex*
  - 2> for each *sCellIndex* value included in the *sCellToAddModList* that is not part of the SCell group indicated by *sCellGroupIndex* (SCell addition to SCell group):
    - 3> consider the SCell to be part of the SCell group indicated by *sCellGroupIndex*;
    - 3> apply the SCell configuration for parameters not already configured as part of the current SCell configuration in accordance with the *sCellConfigCommon* for the SCell group;
- 2> if *sCellConfigCommon* is included (modify the SCell group configuration):
  - 3> for each SCell that is part of the current SCell group indicated by *sCellGroupIndex*:
    - 4> apply the SCell configuration for parameters not already configured as part of the current SCell configuration in accordance with the *sCellConfigCommon* for the SCell group;
- 1> for each *sCellGroupIndex* value included in the *sCellGroupToAddModList* that is not part of the current UE configuration (SCell group addition):

- 2> for each *sCellIndex* value included in the *sCellToAddModList* (SCell addition to the group):
  - 3> consider the SCell to be part of the SCell group indicated by *sCellGroupIndex*
  - 3> apply the SCell configuration for parameters not already configured as part of the current SCell configuration in accordance with the *sCellConfigCommon* for the SCell group;

#### 5.3.10.4 MAC main reconfiguration

Except for NB-IoT, the UE shall:

- 1> if the procedure is triggered to perform SCG MAC main reconfiguration:
  - 2> if SCG MAC is not part of the current UE configuration (i.e. SCG establishment):
    - 3> create an SCG MAC entity;
  - 2> reconfigure the SCG MAC main configuration as specified in the following i.e. assuming it concerns the SCG MAC whenever MAC main configuration is referenced and that it is based on the received *mac-MainConfigSCG* instead of *mac-MainConfig*:
- 1> reconfigure the MAC main configuration in accordance with the received *mac-MainConfig* other than *stag-ToReleaseList* and *stag-ToAddModList*;
- 1> if the received *mac-MainConfig* includes the *stag-ToReleaseList*:
  - 2> for each *STAG-Id* value included in the *stag-ToReleaseList* that is part of the current UE configuration:
    - 3> release the STAG indicated by *STAG-Id*;
- 1> if the received *mac-MainConfig* includes the *stag-ToAddModList*:
  - 2> for each *stag-Id* value included in *stag-ToAddModList* that is not part of the current UE configuration (STAG addition):
    - 3> add the STAG, corresponding to the *stag-Id*, in accordance with the received *timeAlignmentTimerSTAG*;
  - 2> for each *stag-Id* value included in *stag-ToAddModList* that is part of the current UE configuration (STAG modification):
    - 3> reconfigure the STAG, corresponding to the *stag-Id*, in accordance with the received *timeAlignmentTimerSTAG*;

For NB-IoT, the UE shall:

- 1> reconfigure the MAC main configuration in accordance with the received *mac-MainConfig*;

#### 5.3.10.5 Semi-persistent scheduling reconfiguration

The UE shall:

- 1> reconfigure the semi-persistent scheduling in accordance with the received *sps-Config*;

#### 5.3.10.6 Physical channel reconfiguration

Except for NB-IoT, the UE shall:

- 1> if the *antennaInfo-r10* is included in the received *physicalConfigDedicated* and the previous version of this field that was received by the UE was *antennaInfo* (without suffix i.e. the version defined in REL-8):
  - 2> apply the default antenna configuration as specified in 9.2.4;
- 1> if the *cqi-ReportConfig-r10* is included in the received *physicalConfigDedicated* and the previous version of this field that was received by the UE was *cqi-ReportConfig* (without suffix i.e. the version defined in REL-8):
  - 2> apply the default CQI reporting configuration as specified in 9.2.4;



NOTE: Application of the default configuration involves release of all extensions introduced in REL-9 and later.

- 1> reconfigure the physical channel configuration in accordance with the received *physicalConfigDedicated*;
- 1> if the *antennaInfo* is included and set to *explicitValue*:
  - 2> if the configured *transmissionMode* is *tm1*, *tm2*, *tm5*, *tm6* or *tm7*; or
  - 2> if the configured *transmissionMode* is *tm8* and *pmi-RI-Report* is not present; or
  - 2> if the configured *transmissionMode* is *tm9* and *pmi-RI-Report* is not present; or
  - 2> if the configured *transmissionMode* is *tm9* and *pmi-RI-Report* is present and *antennaPortsCount* within *csi-RS* is set to *an1*:
    - 3> release *ri-ConfigIndex* in *cqi-ReportPeriodic*, if previously configured;
- 1> else if the *antennaInfo* is included and set to *defaultValue*:
  - 2> release *ri-ConfigIndex* in *cqi-ReportPeriodic*, if previously configured;
- 1> if the *pusch-EnhancementsConfig* is included in the received *physicalConfigDedicated*, for the associated serving cell:
  - 2> if PUSCH enhancement mode is previously released or not configured and *pusch-EnhancementsConfig* is set to *setup*, or
  - 2> if PUSCH enhancement mode is previously configured and *pusch-EnhancementConfig* is set to *release*:
    - 3> instruct the associated MAC entity to perform partial reset;
- 1> if the procedure was not triggered due to handover and *ce-Mode* is included in the received *physicalConfigDedicated*, for the associated serving cell:
  - 2> if *ce-Mode* is not currently configured and *ce-Mode* is set to *setup*, or
  - 2> if *ce-Mode* is currently configured and *ce-Mode* is set to *release*:
    - 3> instruct the associated MAC entity to perform partial reset;

For NB-IoT, the UE shall:

- 1> if the *carrierConfigDedicated* is not included in the received *physicalConfigDedicated*:
  - 2> if the UE is configured with a carrier configuration previously received in *carrierConfigDedicated*:
    - 3> use the carrier configuration received in *carrierConfigDedicated*;
  - 2> else:
    - 3> use the carrier configuration received in system information for the uplink and downlink carrier used during the random access procedure;
- 1> else:
  - 2> use the carrier configuration received in *carrierConfigDedicated*;
  - 2> start to use the new carrier immediately after the last transport block carrying the RRC message has been acknowledged by the MAC layer, and any subsequent RRC response message sent for the current RRC procedure is therefore sent on the new carrier;
- 1> reconfigure the physical channel configuration in accordance with the received *physicalConfigDedicated*.

### 5.3.10.7 Radio Link Failure Timers and Constants reconfiguration

The UE shall:

- 1> if the received *rlf-TimersAndConstants* is set to *release*:
  - 2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2* (or *SystemInformationBlockType2-NB* in NB-IoT);
- 1> else:
  - 2> reconfigure the value of timers and constants in accordance with received *rlf-TimersAndConstants*;
- 1> if the received *rlf-TimersAndConstantsSCG* is set to *release*:
  - 2> stop timer T313, if running, and
  - 2> release the value of timer *t313* as well as constants *n313* and *n314*;
- 1> else:
  - 2> reconfigure the value of timers and constants in accordance with received *rlf-TimersAndConstantsSCG*;

### 5.3.10.8 Time domain measurement resource restriction for serving cell

The UE shall:

- 1> if the received *measSubframePatternPCell* is set to *release*:
  - 2> release the time domain measurement resource restriction for the PCell, if previously configured;
- 1> else:
  - 2> apply the time domain measurement resource restriction for the PCell in accordance with the received *measSubframePatternPCell*;

### 5.3.10.9 Other configuration

The UE shall:

- 1> if the received *otherConfig* includes the *reportProximityConfig*:
  - 2> if *proximityIndicationEUTRA* is set to *enabled*:
    - 3> consider itself to be configured to provide proximity indications for E-UTRA frequencies in accordance with 5.3.14;
  - 2> else:
    - 3> consider itself not to be configured to provide proximity indications for E-UTRA frequencies;
  - 2> if *proximityIndicationUTRA* is set to *enabled*:
    - 3> consider itself to be configured to provide proximity indications for UTRA frequencies in accordance with 5.3.14;
  - 2> else:
    - 3> consider itself not to be configured to provide proximity indications for UTRA frequencies;
- 1> if the received *otherConfig* includes the *obtainLocation*:
  - 2> attempt to have detailed location information available for any subsequent measurement report;

NOTE 1: The UE is requested to attempt to have valid detailed location information available whenever sending a measurement report for which it is configured to include available detailed location information. The UE may not succeed e.g. because the user manually disabled the GPS hardware, due to no/poor satellite coverage. Further details, e.g. regarding when to activate GNSS, are up to UE implementation.

- 1> if the received *otherConfig* includes the *bt-NameListConfig*:

2> if *bt-NameListConfig* is set to *setup*, attempt to have Bluetooth measurement results available for subsequent measurement report;

1> if the received *otherConfig* includes the *wlan-NameListConfig*:

2> if *wlan-NameListConfig* is set to *setup*, attempt to have WLAN measurement results available for subsequent measurement report;

NOTE 2: The UE is requested to attempt to have valid Bluetooth measurements and WLAN measurements whenever sending a measurement report for which it is configured to include these measurements. The UE may not succeed e.g. because the user manually disabled the WLAN or Bluetooth hardware. Further details, e.g. regarding when to activate WLAN or Bluetooth, are up to UE implementation.

1> if the received *otherConfig* includes the *idc-Config*:

2> if *idc-Indication* is included (i.e. set to *setup*):

3> consider itself to be configured to provide IDC indications in accordance with 5.6.9;

3> if *idc-Indication-UL-CA* is included (i.e. set to *setup*):

4> consider itself to be configured to indicate UL CA related information in IDC indications in accordance with 5.6.9;

3> if *idc-HardwareSharingIndication* is included (i.e. set to *setup*):

4> consider itself to be configured to indicate IDC hardware sharing problem indications in IDC indications in accordance with 5.6.9;

3> if *idc-Indication-MRDC* is included (i.e. set to *setup*):

4> consider itself to be configured to provide IDC indications for MR-DC in accordance with 5.6.9;

2> else:

3> consider itself not to be configured to provide IDC indications;

2> if *autonomousDenialParameters* is included:

3> consider itself to be allowed to deny any transmission in a particular UL subframe if during the number of subframes indicated by *autonomousDenialValidity*, preceeding and including this particular subframe, it autonomously denied fewer UL subframes than indicated by *autonomousDenialSubframes*;

2> else:

3> consider itself not to be allowed to deny any UL transmission;

1> if the received *otherConfig* includes the *powerPrefIndicationConfig*:

2> if *powerPrefIndicationConfig* is set to *setup*:

3> consider itself to be configured to provide power preference indications in accordance with 5.6.10;

2> else:

3> consider itself not to be configured to provide power preference indications;

1> if the received *otherConfig* includes the *sps-AssistanceInfoReport*:

2> if *sps-AssistanceInfoReport* is set to TRUE:

3> consider itself to be configured to provide SPS assistance information in accordance with 5.6.10;

2> else

3> consider itself not to be configured to provide SPS assistance information;

1> if the received *otherConfig* includes the *bw-PreferenceIndicationTimer*:

- 2> consider itself to be configured to provide maximum PDSCH/PUSCH bandwidth preference indication in accordance with 5.6.10;
- 1> else:
  - 2> consider itself not to be configured to provide maximum PDSCH/PUSCH bandwidth indication preference;
- 1> if the received *otherConfig* includes the *delayBudgetReportingConfig*:
  - 2> if *delayBudgetReportingConfig* is set to *setup*:
    - 3> consider itself to be configured to send delay budget reports in accordance with 5.6.10;
  - 2> else:
    - 3> consider itself not to be configured to send delay budget reports and stop timer T342, if running;
- 1> if the received *otherConfig* includes the *overheatingAssistanceConfig*:
  - 2> if *overheatingAssistanceConfig* is set to *setup*:
    - 3> consider itself to be configured to provide overheating assistance information in accordance with 5.6.10;
  - 2> else:
    - 3> consider itself not to be configured to provide overheating assistance information and stop timer T345, if running;
- 1> for BL UEs or UEs in CE, if the received *otherConfig* includes the *rlm-ReportConfig*:
  - 2> if *rlm-ReportConfig* is set to *setup*:
    - 3> consider itself to be configured to detect "early-out-of-sync" and "early-in-sync" RLM events as specified in 5.3.11;
    - 3> if *rlmReportRep-MPDCCH* is set to *setup*:
      - 4> consider itself to be configured to report *rlmReportRep-MPDCCH* in accordance with 5.6.10;
  - 2> else:
    - 3> consider itself not to be configured to detect "early-out-of-sync" and "early-in-sync" RLM events and stop timer T343, timer T344, timer T314 and timer T315 if running;
- 1> if the received *otherConfig* includes the *measConfigAppLayer*:
  - 2> if *measConfigAppLayer* is set to *setup*:
    - 3> forward *measConfigAppLayerContainer* to upper layers considering the *serviceType*;
    - 3> consider itself to be configured to send application layer measurement report in accordance with 5.6.19;
  - 2> else:
    - 3> inform upper layers to clear the stored application layer measurement configuration;
    - 3> discard received application layer measurement report information from upper layers;
    - 3> consider itself not to be configured to send application layer measurement report.
- 1> if the received *otherConfig* includes the *ailc-BitConfig*:
  - 2> if *ailc-BitConfig* is set to TRUE:
    - 3> consider itself to be configured to provide assistance information bit for local cache as specified in TS 36.323 [8], clause 6.2.3;
  - 2> else:

3> consider itself not to be configured to provide assistance information bit for local cache;

### 5.3.10.10 SCG reconfiguration

The UE shall:

1> if *makeBeforeBreakSCG* is configured:

2> stop timer T313, if running;

2> start timer T307 with the timer value set to *t307*, as included in the *mobilityControlInfoSCG*;

2> start synchronising to the DL of the target PSCell, if needed;

2> perform the remainder of this procedure including and following resetting MAC after the UE has stopped the uplink transmission/downlink reception with the source PSCell;

NOTE 0a: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source PSCell to initiate re-tuning for the connection to the target cell, as specified in TS 36.133 [16], if *makeBeforeBreakSCG* is configured.

NOTE 0b: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source SCG SCell(s) after receiving *mobilityControlInfoSCG*.

1> if *scg-Configuration* is received and is set to *release* or includes the *mobilityControlInfoSCG* (i.e. SCG release/change):

2> if *mobilityControlInfo* is not received (i.e. SCG release/ change without HO):

3> reset SCG MAC, if configured;

3> if the UE is not configured with NE-DC:

4> for each *drb-Identity* value that is part of the current UE configuration:

5> if the DRB indicated by *drb-Identity* is an SCG DRB:

6> re-establish the PDCP entity and the SCG RLC entity or entities;

5> if the DRB indicated by *drb-Identity* is a split DRB:

6> perform PDCP data recovery and re-establish the SCG RLC entity;

5> if the DRB indicated by *drb-Identity* is an MCG DRB; and

5> *drb-ToAddModListSCG* is received and includes the *drb-Identity* value, while for this entry *drb-Type* is included and set to *scg* (i.e. MCG to SCG):

6> re-establish the PDCP entity and the MCG RLC entity or entities;

3> configure lower layers to consider the SCG SCell(s), except for the PSCell, to be in deactivated state;

1> if *scg-Configuration* is received and is set to *release*:

2> release the entire SCG configuration, except for the DRB configuration (i.e. as configured by *drb-ToAddModListSCG*);

2> if the current UE configuration includes one or more split or SCG DRBs and the received *RRConnectionReconfiguration* message includes *radioResourceConfigDedicated* including *drb-ToAddModList*:

3> reconfigure the SCG or split DRB by *drb-ToAddModList* as specified in 5.3.10.12;

2> stop timer T313, if running;

2> stop timer T307, if running;

1> else:

- 2> if *scg-ConfigPartMCG* is received and includes the *scg-Counter*:
  - 3> update the  $S\text{-}K_{\text{eNB}}$  key based on the  $K_{\text{eNB}}$  key and using the received *scg-Counter* value, as specified in TS 33.401 [32];
  - 3> derive the  $K_{\text{UPenc}}$  key associated with the *cipheringAlgorithmSCG* included in *mobilityControlInfoSCG* within the received *scg-ConfigPartSCG*, as specified in TS 33.401 [32];
  - 3> configure lower layers to apply the ciphering algorithm and the  $K_{\text{UPenc}}$  key;
- 2> if *scg-ConfigPartSCG* is received and includes the *radioResourceConfigDedicatedSCG*:
  - 3> reconfigure the dedicated radio resource configuration for the SCG as specified in 5.3.10.11;
- 2> if the current UE configuration includes one or more split or SCG DRBs and the received *RRCConnectionReconfiguration* message includes *radioResourceConfigDedicated* including *drb-ToAddModList*:
  - 3> reconfigure the SCG or split DRB by *drb-ToAddModList* as specified in 5.3.10.12;
- 2> if *scg-ConfigPartSCG* is received and includes *measConfigSN*:
  - 3> for *measConfigSN* perform the actions as specified in 5.5.2 for *measConfig* unless explicitly stated otherwise;
- 2> if *scg-ConfigPartSCG* is received and includes the *sCellToReleaseListSCG*:
  - 3> perform SCell release for the SCG as specified in 5.3.10.3a;
- 2> if *scg-ConfigPartSCG* is received and includes the *pSCellToAddMod*:
  - 3> perform PSCell addition or modification as specified in 5.3.10.3c;

NOTE 0: This procedure is also used to release the PSCell e.g. PSCell change, SI change for the PSCell.

- 2> if *scg-ConfigPartSCG* is received and includes the *sCellToAddModListSCG*:
  - 3> perform SCell addition or modification as specified in 5.3.10.3b;
- 2> configure lower layers in accordance with *mobilityControlInfoSCG*, if received;
- 2> if *rach-SkipSCG* is configured:
  - 3> configure lower layers to apply the *rach-SkipSCG* for the target SCG, as specified in TS 36.213 [23] and TS 36.321 [6];
- 2> if *scg-ConfigPartSCG* is received and includes the *mobilityControlInfoSCG* (i.e. SCG change):
  - 3> resume all SCG DRBs and resume SCG transmission for split DRBs, if suspended;
  - 3> stop timer T313, if running;
  - 3> start timer T307 with the timer value set to *t307*, as included in the *mobilityControlInfoSCG*, if *makeBeforeBreakSCG* is not configured;
  - 3> start synchronising to the DL of the target PSCell;
  - 3> initiate the random access procedure on the PSCell, as specified in TS 36.321 [6], if *rach-SkipSCG* is not configured;

NOTE 1: The UE is not required to determine the SFN of the target PSCell by acquiring system information from that cell before performing RACH access in the target PSCell.

- 3> the procedure ends, except that the following actions are performed when MAC successfully completes the random access procedure on the PSCell or when MAC indicates the successful reception of a PDCCH transmission addressed to C-RNTI and if *rach-skipSCG* is configured:

- 4> stop timer T307;
- 4> release *rach-SkipSCG*;
- 4> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PSCell, if any;
- 4> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PSCell (e.g. periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PSCell;

NOTE 2: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

### 5.3.10.11 SCG dedicated resource configuration

The UE shall:

- 1> if the received *radioResourceConfigDedicatedSCG* includes the *srb-ToReleaseListSCG*:
  - 2> for each *srb-Identity* value included in the *srb-ToReleaseListSCG* perform the SCG RLC bearer release as specified in 5.3.10.17;
- 1> if the received *radioResourceConfigDedicatedSCG* includes the *srb-ToAddModListSCG*:
  - 2> for each *srb-Identity* value included in the *srb-ToAddModListSCG* perform the SCG RLC bearer addition or reconfiguration as specified in 5.3.10.1a;
- 1> if the received *radioResourceConfigDedicatedSCG* includes *drb-ToReleaseListSCG*:
  - 2> perform the DRB release as specified in 5.3.10.2;
- 1> if the received *radioResourceConfigDedicatedSCG* includes the *drb-ToAddModListSCG*:
  - 2> if the UE is configured with NE-DC:
    - 3> for each *drb-Identity* value included in the *drb-ToAddModListSCG* perform the SCG RLC bearer addition or reconfiguration for DRBs in NE-DC as specified in 5.3.10.3a4;
  - 2> else:
    - 3> for each *drb-Identity* value included in the *drb-ToAddModListSCG* perform the DC specific DRB addition or reconfiguration as specified in 5.3.10.3a1;
- 1> if the received *radioResourceConfigDedicatedSCG* includes the *mac-MainConfigSCG*:
  - 2> perform the SCG MAC main reconfiguration as specified in 5.3.10.4;
- 1> if the received *radioResourceConfigDedicatedSCG* includes the *rlf-TimersAndConstantsSCG*:
  - 2> reconfigure the values of timers and constants as specified in 5.3.10.7;

### 5.3.10.12 Reconfiguration SCG or split DRB by *drb-ToAddModList*

The UE shall:

- 1> for each split or SCG DRBs that is part of the current configuration:
  - 2> if the corresponding *drb-Identity* value is included in the received *drb-ToAddModList*; and
  - 2> if the corresponding *drb-Identity* value is not included in the received *drb-ToAddModListSCG* (i.e. reconfigure split, split to MCG or SCG to MCG):
    - 3> perform the DC specific DRB addition or reconfiguration as specified in 5.3.10.3a1;

### 5.3.10.13 Neighbour cell information reconfiguration

The UE shall:

- 1> if the received *naics-Info* is set to *release*:
  - 2> instruct lower layer to release all the NAICS neighbour cell information for the concerned cell, if previously configured;
- 1> if the received *naics-Info* includes the *neighCellsToReleaseList-r12*:
  - 2> for each *physCellId-r12* value included in the *neighCellsToReleaseList-r12* that is part of the current NAICS neighbour cell information of the concerned cell:
    - 3> instruct lower layer to release the NAICS neighbour cell information for the concerned cell;
- 1> if the received *naics-Info* includes the *NeighCellsToAddModList-r12*:
  - 2> for each *physCellId-r12* value included in the *neighCellsToAddModList-r12* that is not part of the current NAICS neighbour cell information of the concerned cell:
    - 3> instruct lower layer to add the NAICS neighbour cell information for the concerned cell;
  - 2> for each *physCellId-r12* value included in the *neighCellsToAddModList-r12* that is part of the current NAICS neighbour cell information of the concerned cell:
    - 3> instruct lower layer to modify the NAICS neighbour cell information in accordance with the received *NeighCellsInfo* for the concerned cell;

### 5.3.10.14 Void

### 5.3.10.15 Sidelink dedicated configuration

The UE shall:

- 1> if the *RRCConnectionReconfiguration* message includes the *sl-CommConfig*:
  - 2> if *commTxResources* is included and set to *setup*:
    - 3> from the next SC period use the resources indicated by *commTxResources* for sidelink communication transmission, as specified in 5.10.4;
  - 2> else if *commTxResources* is included and set to *release*:
    - 3> from the next SC period, release the resources allocated for sidelink communication transmission previously configured by *commTxResources*;
- 1> if the *RRCConnectionReconfiguration* message includes the *sl-DiscConfig*:
  - 2> if *discTxResources* is included and set to *setup*:
    - 3> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discTxResources* for sidelink discovery announcement, as specified in 5.10.6;
  - 2> else if *discTxResources* is included and set to *release*:
    - 3> from the next discovery period, as defined by *discPeriod*, release the resources allocated for sidelink discovery announcement previously configured by *discTxResources*;
  - 2> if *discTxResourcesPS* is included and set to *setup*:
    - 3> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discTxResourcesPS* for sidelink discovery announcement, as specified in 5.10.6;
  - 2> else if *discTxResourcesPS* is included and set to *release*:



- 3> from the next discovery period, as defined by *discPeriod*, release the resources allocated for sidelink discovery announcement previously configured by *discTxResourcesPS*;
- 2> if *discTxInterFreqInfo* is included and set to *setup*:
  - 3> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discTxInterFreqInfo* for sidelink discovery announcement, as specified in 5.10.6;
- 2> else if *discTxInterFreqInfo* is included and set to *release*:
  - 3> from the next discovery period, as defined by *discPeriod*, release the resources allocated for sidelink discovery announcement previously configured by *discTxInterFreqInfo*;
- 2> if *discRxGapConfig* is included and set to *setup*:
  - 3> from the next gap period, as defined by *gapPeriod*, use the gaps indicated by *discRxGapConfig* for sidelink discovery monitoring, as specified in 5.10.5;
- 2> else if *discRxGapConfig* is included and set to *release*:
  - 3> from the next gap period, as defined by *gapPeriod*, release the gaps configured for sidelink discovery monitoring previously configured by *discRxGapConfig*;
- 2> if *discTxGapConfig* is included and set to *setup*:
  - 3> from the next gap period, as defined by *gapPeriod*, use the gaps indicated by *discTxGapConfig* for sidelink discovery announcement, as specified in 5.10.6;
- 2> else if *discTxGapConfig* is included and set to *release*:
  - 3> from the next gap period, as defined by *gapPeriod*, release the gaps configured for sidelink discovery announcement previously configured by *discTxGapConfig*;
- 2> if *discSysInfoToReportConfig* is included and set to *setup*:
  - 3> start timer T370 with the timer value set to 60s;
- 2> else if *discSysInfoToReportConfig* is included and set to *release*:
  - 3> stop timer T370 and release *discSysInfoToReportConfig*;

### 5.3.10.15a V2X sidelink Communication dedicated configuration

The UE shall:

- 1> if the *RRCConnectionReconfiguration* message includes the *sl-V2X-ConfigDedicated*:
  - 2> if *commTxResources* is included and set to *setup*:
    - 3> use the resources indicated by *commTxResources* for V2X sidelink communication transmission, as specified in 5.10.13;
    - 3> perform CBR measurement on the transmission resource pool indicated in *commTxResources* for V2X sidelink communication transmission, as specified in 5.5.3;
  - 2> else if *commTxResources* is included and set to *release*:
    - 3> release the resources allocated for V2X sidelink communication transmission previously configured by *commTxResources*;
  - 2> if *v2x-InterFreqInfoList* is included:
    - 3> use the synchronization configuration and resource configuration parameters for V2X sidelink communication on frequencies included in *v2x-InterFreqInfoList*, as specified in 5.10.13;
    - 3> perform CBR measurement on the transmission resource pool indicated in *v2x-InterFreqInfoList* for V2X sidelink communication transmission, as specified in 5.5.3;

- 1> if the *RRCConnectionReconfiguration* message includes the *mobilityControlInfoV2X*:
  - 2> if *v2x-CommRxPool* is included:
    - 3> use the resources indicated by *v2x-CommRxPool* for V2X sidelink communication reception, as specified in 5.10.12;
  - 2> if *v2x-CommTxPoolExceptional* is included:
    - 3> use the resources indicated by *v2x-CommTxPoolExceptional* for V2X sidelink communication transmission, as specified in 5.10.13;
    - 3> perform CBR measurement on the transmission resource pool indicated by *v2x-CommTxPoolExceptional* for V2X sidelink communication transmission, as specified in 5.5.3;

### 5.3.10.16 T370 expiry

The UE shall:

- 1> if T370 expires:
  - 2> release *discSysInfoToReportConfig*;

### 5.3.10.17 SRB release

The UE shall:

- 1> for each *srb-Identity* value included in *srb-ToReleaseList* or in *srb-ToReleaseListSCG* that is part of the current UE configuration:
  - 2> if the SRB configuration does not include an E-UTRA PDCP entity (release the SCG RLC bearer configuration):
    - 3> re-establish the RLC entity as specified in TS 36.322 [7] for this SRB;
    - 3> configure the E-UTRA PDCP entity to deactivate duplication;
  - 2> release the RLC entity or entities;
  - 2> release the DCCH logical channel;
  - 2> if *srb-Identity* value is set to 4, release the PDCP entity;

### 5.3.10.18 Scheduling Request Configuration for NB-IoT

The UE shall:

- 1> apply *sr-WithHARQ-ACK-Config*, if included;
- 1> apply *sr-WithoutHARQ-ACK-Config*, if included;
- 1> apply *sr-SPS-BSR-Config*, if included;

### 5.3.10.19 NE-DC release

The UE shall:

- 1> if NE-DC release is triggered by NR:
  - 2> reset SCG MAC, if configured;
  - 2> for each RLC bearer that is part of the SCG configuration:
    - 3> perform RLC bearer release procedure as specified in 5.3.10.17 (SRBs) and in 5.3.10.2 (DRBs);

- 2> release the measurement configuration;
- 2> release the SCG configuration i.e. release the MAC and physical configuration for each cell that is part of the SCG configuration;
- 2> stop timer T313 for the corresponding PSCell, if running;
- 2> stop timer T307 for the corresponding PSCell, if running.

NOTE: Upon NE-DC release the UE releases all fields configured by the *RRCCConnectionReconfiguration* message.

## 5.3.11 Radio link failure related actions

### 5.3.11.1 Detection of physical layer problems in RRC\_CONNECTED

The UE shall:

- 1> upon receiving N310 consecutive "out-of-sync" indications for the PCell from lower layers while neither T300, T301, T304 nor T311 is running:
  - 2> start timer T310;
- 1> upon receiving N313 consecutive "out-of-sync" indications for the PSCell from lower layers while T307 is not running:
  - 2> start T313;

NOTE: Physical layer monitoring and related autonomous actions do not apply to SCells except for the PSCell.

#### 5.3.11.1a Early detection of physical layer problems in RRC\_CONNECTED

The UE shall:

- 1> upon receiving N310 consecutive "early-out-of-sync" indications for the PCell from lower layers:
  - 2> start timer T314 with the timer value set to the value of T310;

#### 5.3.11.1b Detection of physical layer improvements in RRC\_CONNECTED

The UE shall:

- 1> upon receiving N311 consecutive "early-in-sync" indications for the PCell from lower layers:
  - 2> start timer T315 with the timer value set to the value of T310;

### 5.3.11.2 Recovery of physical layer problems

Upon receiving N311 consecutive "in-sync" indications for the PCell from lower layers while T310 is running, the UE shall:

- 1> stop timer T310;
- 1> stop timer T312, if running;

NOTE 1: In this case, the UE maintains the RRC connection without explicit signalling, i.e. the UE maintains the entire radio resource configuration.

NOTE 2: Periods in time where neither "in-sync" nor "out-of-sync" is reported by layer 1 do not affect the evaluation of the number of consecutive "in-sync" or "out-of-sync" indications.

Upon receiving N314 consecutive "in-sync" indications for the PSCell from lower layers while T313 is running, the UE shall:

1> stop timer T313;

### 5.3.11.2a Recovery of early detection of physical layer problems

Upon receiving N311 consecutive "in-sync" indications for the PCell from lower layers while T314 is running, the UE shall:

1> stop timer T314;

### 5.3.11.2b Cancellation of physical layer improvements in RRC\_CONNECTED

Upon receiving N311 consecutive "in-sync" indications for the PCell from lower layers while T315 is running, the UE shall:

1> stop timer T315;

### 5.3.11.3 Detection of radio link failure

The UE shall:

1> upon T310 expiry; or

1> upon T312 expiry; or

1> upon random access problem indication from MCG MAC while neither T300, T301, T304 nor T311 is running;  
or

1> upon indication from MCG RLC, which is allowed to be send on PCell, that the maximum number of retransmissions has been reached for an SRB or DRB:

2> consider radio link failure to be detected for the MCG i.e. RLF;

2> except for NB-IoT, store the following radio link failure information in the *VarRLF-Report* by setting its fields as follows:

3> clear the information included in *VarRLF-Report*, if any;

3> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

3> set the *measResultLastServCell* to include the RSRP and RSRQ, if available, of the PCell based on measurements collected up to the moment the UE detected radio link failure;

3> set the *measResultNeighCells* to include the best measured cells, other than the PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected radio link failure, and set its fields as follows;

4> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;

4> for each neighbour cell included, include the optional fields that are available;

NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

- 3> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;
- 3> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;
- 3> if detailed location information is available, set the content of the *locationInfo* as follows:
  - 4> include the *locationCoordinates*;
  - 4> include the *horizontalVelocity*, if available;
- 3> set the *failedPCellId* to the global cell identity, if available, and otherwise to the physical cell identity and carrier frequency of the PCell where radio link failure is detected;
- 3> set the *tac-FailedPCell* to the tracking area code, if available, of the PCell where radio link failure is detected;
- 3> if an *RRCCConnectionReconfiguration* message including the *mobilityControlInfo* was received before the connection failure:
  - 4> if the last *RRCCConnectionReconfiguration* message including the *mobilityControlInfo* concerned an intra E-UTRA handover:
    - 5> include the *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCCConnectionReconfiguration* message including *mobilityControlInfo* was received;
    - 5> set the *timeConnFailure* to the elapsed time since reception of the last *RRCCConnectionReconfiguration* message including the *mobilityControlInfo*;
  - 4> if the last *RRCCConnectionReconfiguration* message including the *mobilityControlInfo* concerned a handover to E-UTRA from UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO:
    - 5> include the *previousUTRA-CellId* and set it to the physical cell identity, the carrier frequency and the global cell identity, if available, of the UTRA Cell in which the last *RRCCConnectionReconfiguration* message including *mobilityControlInfo* was received;
    - 5> set the *timeConnFailure* to the elapsed time since reception of the last *RRCCConnectionReconfiguration* message including the *mobilityControlInfo*;
- 3> if the UE supports QCI1 indication in Radio Link Failure Report and has a DRB for which QCI is 1:
  - 4> include the *drb-EstablishedWithQCI-1*;
- 3> set the *connectionFailureType* to *rlf*;
- 3> set the *c-RNTI* to the C-RNTI used in the PCell;
- 3> set the *rlf-Cause* to the trigger for detecting radio link failure;
- 2> if AS security has not been activated:
  - 3> if the UE is a NB-IoT UE:
    - 4> if the UE supports RRC connection re-establishment for the Control Plane CIoT EPS optimisation:
      - 5> initiate the RRC connection re-establishment procedure as specified in 5.3.7;
    - 4> else:
      - 5> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';
  - 3> else:

4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';

2> else:

3> initiate the connection re-establishment procedure as specified in 5.3.7;

In case of DC or NE-DC, the UE shall:

1> upon T313 expiry; or

1> upon random access problem indication from SCG MAC; or

1> upon indication from SCG RLC, which is allowed to be sent on PSCell, that the maximum number of retransmissions has been reached for an SCG, for a split DRB or for a split SRB:

2> consider radio link failure to be detected for the SCG i.e. SCG-RLF;

2> initiate the SCG failure information procedure as specified in 5.6.13 to report SCG radio link failure;

In case of CA PDCP duplication, the UE shall:

1> upon indication from an RLC entity, which is restricted to be sent on SCell only, that the maximum number of retransmissions has been reached:

2> initiate the failure information procedure as specified in 5.6.21 to report RLC failure of type duplication;

The UE may discard the radio link failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the radio link failure is detected, upon power off or upon detach.

### 5.3.11.3a Detection of early-out-of-sync event

The UE shall:

1> upon T314 expiry;

2> consider "early-out-of-sync" event to be detected and initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10;

### 5.3.11.3b Detection of early-in-sync event

The UE shall:

1> upon T315 expiry;

2> consider "early-in-sync" event to be detected and initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10;

## 5.3.12 UE actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE

Upon leaving RRC\_CONNECTED or RRC\_INACTIVE, the UE shall:

1> reset MAC;

1> if leaving RRC\_INACTIVE was not triggered by the reception of *RRCConnectionRelease* including *idleModeMobilityControlInfo*:

2> stop the timer T320, if running;

2> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo*;

1> if entering RRC\_IDLE was triggered by reception of the *RRCConnectionRelease* message including a *waitTime*:

2> start timer T302, with the timer value set according to the *waitTime*;

2> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2';

- 1> else if T302 is running:
  - 2> stop timer T302;
  - 2> if the UE is connected to 5GC:
    - 3> perform the actions as specified in 5.3.16.4;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> stop all timers that are running except T302, T320, T322, T325, T330, T331;
- 1> if leaving RRC\_CONNECTED was triggered by suspension of the RRC:
  - 2> re-establish RLC entities for all SRBs and DRBs, including RBs configured with NR PDCP;
  - 2> store the UE AS Context including the current RRC configuration, the current security context, the PDCP state including ROHC state, C-RNTI used in the source PCell, the *cellIdentity* and the physical cell identity of the source PCell;
  - 2> store the following information provided by E-UTRAN:
    - 3> the *resumeIdentity*;
    - 3> the *nextHopChainingCount*, if present. Otherwise discard any stored *nextHopChainingCount* that does not correspond to stored key  $K_{RRCint}$ ;
    - 3> the *drb-ContinueROHC*, if present. Otherwise discard any stored *drb-ContinueROHC*;
  - 2> suspend all SRB(s) and DRB(s), including RBs configured with NR PDCP, except SRB0;
  - 2> indicate the suspension of the RRC connection to upper layers;
  - 2> configure lower layers to suspend integrity protection and ciphering;

NOTE 1: Except for UP-EDT, ciphering is not applied for the subsequent *RRCConnectionResume* message used to resume the connection and an integrity check is performed by lower layers, but merely upon request from RRC.

- 1> else:
  - 2> upon leaving RRC\_INACTIVE:
    - 3> discard the UE Inactive AS context;
    - 3> release *rrc-InactiveConfig*, if configured;
    - 3> discard the  $K_{eNB}$ , the  $K_{RRCenc}$  key, the  $K_{RRCint}$  and the  $K_{UPenc}$  key;
  - 2> release *rrc-InactiveConfig*, if configured;
  - 2> release all radio resources, including release of the MAC configuration, the RLC entity and the associated PDCP entity and SDAP (if any) for all established RBs;
  - 2> indicate the release of the RRC connection to upper layers together with the release cause;
- 1> if leaving RRC\_CONNECTED was triggered neither by reception of the *MobilityFromEUTRACommand* message nor by selecting an inter-RAT cell while T311 was running; or
- 1> if leaving RRC\_INACTIVE was not triggered by the inter-RAT cell reselection:
  - 2> if timer T350 is configured:
    - 3> start timer T350;

3> apply *rclwi-Configuration* if configured, otherwise apply the *wlan-Id-List* corresponding to the RPLMN included in *SystemInformationBlockType17*;

2> else:

3> release the *wlan-OffloadConfigDedicated*, if received;

3> if the *wlan-OffloadConfigCommon* corresponding to the RPLMN is broadcast by the cell:

4> apply the *wlan-OffloadConfigCommon* corresponding to the RPLMN included in *SystemInformationBlockType17*;

4> apply *steerToWLAN* if configured, otherwise apply the *wlan-Id-List* corresponding to the RPLMN included in *SystemInformationBlockType17*;

2> enter RRC\_IDLE and perform procedures as specified in TS 36.304 [4], clause 5.2.7;

1> else:

2> release the *wlan-OffloadConfigDedicated*, if received;

NOTE 2: BL UEs or UEs in CE verifies validity of SI when released to RRC\_IDLE.

1> release the LWA configuration, if configured, as described in 5.6.14.3;

1> release the LWIP configuration, if configured, as described in 5.6.17.3;

### 5.3.13 UE actions upon PUCCH/ SPUCCH/ SRS release request

Upon receiving a PUCCH release request from lower layers, for an indicated serving cell the UE shall:

1> apply the default physical channel configuration for *cqi-ReportConfig* for the indicated serving cell as specified in 9.2.4 and release *cqi-ReportConfigSCell*, for each SCell that sends HARQ feedback on the indicated serving cell, if any;

1> apply the default physical channel configuration for *schedulingRequestConfig* as specified in 9.2.4, for the concerned CG;

Upon receiving a sPUCCH release request from lower layers, the UE shall:

1> for each serving cell in the UE configuration:

2> apply the value *release* to the field *schedulingRequest-SPUCCH*;

Upon receiving an SRS release request from lower layers, for an indicated serving cell the UE shall:

1> apply the default physical channel configuration for *soundingRS-UL-ConfigDedicated*, as specified in 9.2.4;

NOTE: Upon PUCCH/ SRS release request, the UE does not modify the *soundingRS-UL-ConfigDedicatedAperiodic* i.e. it does not apply the default for this field (release).

#### 5.3.13a UE actions upon SR release request for NB-IoT

Upon receiving a SR release request from lower layers, the UE shall:

1> apply the value *FALSE* for *sr-WithHARQ-ACK-Config* and release *sr-WithHARQ-ACK-Config*, if configured;

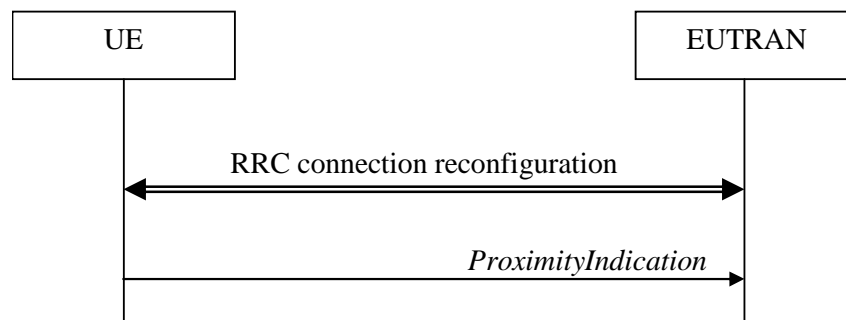
1> apply the value *release* for *sr-WithoutHARQ-ACK-Config* and release *sr-WithoutHARQ-ACK-Config*, if configured;

1> apply the value *release* for *sr-SPS-BSR-Config* and release *sr-SPS-BSR-Config*, if configured;



## 5.3.14 Proximity indication

### 5.3.14.1 General



**Figure 5.3.14.1-1: Proximity indication**

The purpose of this procedure is to indicate that the UE is entering or leaving the proximity of one or more CSG member cells. The detection of proximity is based on an autonomous search function as defined in TS 36.304 [4].

### 5.3.14.2 Initiation

A UE in RRC\_CONNECTED shall:

- 1> if the UE enters the proximity of one or more CSG member cell(s) on an E-UTRA frequency while proximity indication is enabled for such E-UTRA cells; or
- 1> if the UE enters the proximity of one or more CSG member cell(s) on an UTRA frequency while proximity indication is enabled for such UTRA cells; or
- 1> if the UE leaves the proximity of all CSG member cell(s) on an E-UTRA frequency while proximity indication is enabled for such E-UTRA cells; or
- 1> if the UE leaves the proximity of all CSG member cell(s) on an UTRA frequency while proximity indication is enabled for such UTRA cells:
  - 2> if the UE has previously not transmitted a *ProximityIndication* for the RAT and frequency during the current RRC connection, or if more than 5 s has elapsed since the UE has last transmitted a *ProximityIndication* (either entering or leaving) for the RAT and frequency:
    - 3> initiate transmission of the *ProximityIndication* message in accordance with 5.3.14.3;

**NOTE:** In the conditions above, "if the UE enters the proximity of one or more CSG member cell(s)" includes the case of already being in the proximity of such cell(s) at the time proximity indication for the corresponding RAT is enabled.

### 5.3.14.3 Actions related to transmission of *ProximityIndication* message

The UE shall set the contents of *ProximityIndication* message as follows:

- 1> if the UE applies the procedure to report entering the proximity of CSG member cell(s):
  - 2> set *type* to *entering*;
- 1> else if the UE applies the procedure to report leaving the proximity of CSG member cell(s):
  - 2> set *type* to *leaving*;
- 1> if the proximity indication was triggered for one or more CSG member cell(s) on an E-UTRA frequency:
  - 2> set the *carrierFreq* to *eutra* with the value set to the E-ARFCN value of the E-UTRA cell(s) for which proximity indication was triggered;

- 1> else if the proximity indication was triggered for one or more CSG member cell(s) on a UTRA frequency:
  - 2> set the *carrierFreq* to *utra* with the value set to the ARFCN value of the UTRA cell(s) for which proximity indication was triggered;

The UE shall submit the *ProximityIndication* message to lower layers for transmission.

### 5.3.15 Void

## 5.3.16 Unified Access Control

### 5.3.16.1 General

The purpose of this procedure is to perform access barring check for an access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers according to TS 24.501 [95] or the RRC layer.

After a handover resulting in change of PCell in RRC\_CONNECTED the UE shall defer access barring checks until it has obtained valid UAC information (from *SystemInformationBlockType25*) from the target cell if the *SystemInformationBlockType25* is broadcasted.

### 5.3.16.2 Initiation

Upon initiation of the procedure, the UE shall:

- 1> if T309 is running for the Access Category:
  - 2> consider the access attempt as barred;
- 1> else if timer T302 is running and the Access Category is neither '2' nor '0':
  - 2> consider the access attempt as barred;
- 1> else:
  - 2> if the Access Category is '0':
    - 3> consider the access attempt as allowed;
  - 2> else if *SystemInformationBlockType25* is not broadcasted:
    - 3> consider the access attempt as allowed;
  - 2> else:
    - 3> if *SystemInformationBlockType25* includes *uac-BarringPerPLMN-List* and the *uac-BarringPerPLMN-List* contains an *UAC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 24.501 [95]):
      - 4> select the *UAC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
      - 4> in the remainder of this procedure, use the selected *UAC-BarringPerPLMN* entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the *uac-BarringForCommon* included in *SystemInformationBlockType25*;
    - 3> else if *SystemInformationBlockType25* includes *uac-BarringForCommon*:
      - 4> in the remainder of this procedure use the *uac-BarringForCommon* (i.e. presence or absence of these parameters) included in *SystemInformationBlockType25*;
    - 3> else:
      - 4> consider the access attempt as allowed;

- 3> if *uac-BarringForCommon* is applicable or the *uac-AC-BarringListType* indicated that *uac-ExplicitAC-BarringList* is used:
    - 4> if the corresponding *UAC-BarringPerCatList* contains a *UAC-BarringPerCat* entry corresponding to the Access Category:
      - 5> select the *UAC-BarringPerCat* entry;
      - 5> if the *uac-BarringInfoSetList* contain a *UAC-BarringInfoSet* entry corresponding to the *uac-barringInfoSetIndex* in the *UAC-BarringPerCat*:
        - 6> select the *UAC-BarringInfoSet* entry;
        - 6> perform access barring check for the Access Category as specified in 5.3.16.5, using the *UAC-BarringInfoSet* as "UAC barring parameter";
      - 5> else:
        - 6> consider the access attempt as allowed;
    - 4> else:
      - 5> consider the access attempt as allowed;
  - 3> else if the *uac-AC-BarringListType* indicated that *uac-ImplicitAC-BarringList* is indicated:
    - 4> select the *uac-BarringInfoSetIndex* corresponding to the Access Category in the *uac-ImplicitACBarringList*;
    - 4> if the *uac-BarringInfoSetList* contain the *UAC-BarringInfoSet* entry corresponding to the selected *uac-BarringInfoSetIndex*:
      - 5> select the *UAC-BarringInfoSet* entry;
      - 5> perform access barring check for the Access Category as specified in 5.3.16.5, using the *UAC-BarringInfoSet* as "UAC barring parameter";
    - 4> else:
      - 5> consider the access attempt as allowed;
  - 3> else:
    - 4> consider the access attempt as allowed;
- 1> if the access barring check was requested by upper layers:
  - 2> if the access attempt is considered as barred:
    - 3> if timer T302 is running:
      - 4> if timer T309 is running for Access Category '2':
        - 5> inform the upper layer that access barring is applicable for all access categories except categories '0', upon which the procedure ends;
      - 4> else:
        - 5> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2', upon which the procedure ends;
    - 3> else:
      - 4> inform upper layers that the access attempt for the Access Category is barred, upon which the procedure ends;
  - 2> else:

3> inform upper layers that the access attempt for the Access Category is allowed, upon which the procedure ends;

1> else:

2> the procedure ends;

### 5.3.16.3 Void

### 5.3.16.4 T302, T309 expiry or stop (Barring alleviation)

If the UE is connected to 5GC, the UE shall:

1> if timer T302 expires or is stopped:

2> for each Access Category for which T309 is not running:

3> consider the barring for this Access Category to be alleviated;

1> else if timer T309 corresponding to an Access Category other than '2' expires or is stopped, and if timer T302 is not running:

2> consider the barring for this Access Category to be alleviated;

1> else if timer T309 corresponding to the Access Category '2' expires or is stopped:

2> consider the barring for this Access Category to be alleviated;

1> When barring for an access category is considered being alleviated:

2> if the Access Category was informed to upper layers as barred:

3> inform upper layers about barring alleviation for the Access Category;

2> if barring is alleviated for Access Category '8':

3> perform actions specified in 5.3.17;

### 5.3.16.5 Access barring check

The UE shall:

1> if one or more Access Identities are indicated according to TS 24.501 [95], and

1> if for at least one of these Access Identities the corresponding bit in the *uac-BarringForAccessIdentity* contained in "UAC barring parameter" is set to *zero*:

2> consider the access attempt as allowed;

1> else:

2> draw a random number '*rand*' uniformly distributed in the range:  $0 \leq rand < 1$ ;

2> if '*rand*' is lower than the value indicated by *uac-BarringFactor* included in "UAC barring parameter":

3> consider the access attempt as allowed;

2> else:

3> consider the access attempt as barred;

1> if the access attempt is considered as barred:

2> draw a random number '*rand*' that is uniformly distributed in the range  $0 \leq rand < 1$ ;

- 2> start timer T309 for the Access Category with the timer value calculated as follows, using the *uac-BarringTime* included in "UAC barring parameter":

$$"T_{\text{barring}}" = (0.7 + 0.6 * \text{rand}) * \text{uac-BarringTime};$$

## 5.3.17 RAN notification area update

### 5.3.17.1 General

The purpose of this procedure is:

- to notify the network that a UE in RRC\_INACTIVE has re-selected to a cell not belonging to the configured RAN notification area; or
- to periodically notify the network by a UE in RRC\_INACTIVE;

### 5.3.17.2 Initiation

When in RRC\_INACTIVE state, the UE shall:

- 1> if T380 expires, or:
  - 1> if RNA Update is triggered at reception of *SystemInformationBlockType1*, as specified in 5.2.2.7:
    - 2> initiate RRC connection resume procedure in 5.3.3 with cause value set to 'rna-Update';
  - 1> if barring is alleviated for Access Category '8', as specified in 5.3.16.4:
    - 2> if upper layers do not request RRC the resumption of an RRC connection, and
    - 2> if the variable *pendingRnaUpdate* is set to 'TRUE':
      - 3> initiate RRC connection resume procedure in 5.3.3 with cause value set to 'rna-Update';

If the UE in RRC\_INACTIVE state fails to find a suitable cell and camps on the acceptable cell to obtain limited service as defined in TS 36.304 [4], the UE shall:

- 1> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12 with release cause 'other'.

### 5.3.17.3 Inter RAT cell reselection or CN type change

Upon reselecting to an inter-RAT cell or to another CN type, the UE shall:

- 1> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'other'.

## 5.4 Inter-RAT mobility

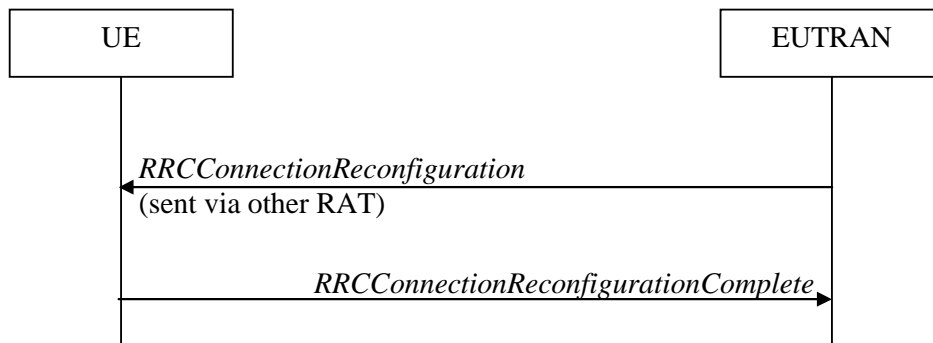
### 5.4.1 Introduction

The general principles of connected mode mobility are described in 5.3.1.3. The general principles of the security handling upon connected mode mobility are described in 5.3.1.2.

For the (network controlled) inter RAT mobility from E-UTRA for a UE in RRC\_CONNECTED, a single procedure is defined that supports both handover, cell change order with optional network assistance (NACC) and enhanced CS fallback to CDMA2000 1xRTT. The same procedure also supports inter-system handover between E-UTRA/EPC and E-UTRA/5GC. In case of mobility to CDMA2000, the eNB decides when to move to the other RAT while the target RAT determines to which cell the UE shall move.

## 5.4.2 Handover to E-UTRA

### 5.4.2.1 General



**Figure 5.4.2.1-1: Handover to E-UTRA, successful**

The purpose of this procedure is to, under the control of the network, transfer a connection between the UE and another Radio Access Network (e.g. GERAN, UTRAN or NR) to E-UTRAN, or transfer a connection between the UE and the E-UTRAN with one type of CN to the E-UTRAN with a different type of CN.

The handover to E-UTRA procedure applies when SRBs, possibly in combination with DRBs, are established in another RAT or in E-UTRA connected to another type of CN. Handover from UTRAN to E-UTRAN applies only after integrity has been activated in UTRAN. Handover to E-UTRA connected to a different type of CN applies only after integrity has been activated in E-UTRAN. Handover from NR to E-UTRAN applies only after integrity has been activated in NR.

### 5.4.2.2 Initiation

The RAN using another RAT or the E-UTRA connected to a different type of CN initiates the handover to E-UTRA procedure, in accordance with the specifications applicable for the other RAT or for the E-UTRA connected to a different type of CN, by sending the *RRCConnectionReconfiguration* message via the radio access technology from which the inter-RAT handover is performed.

E-UTRAN applies the procedure as follows:

- to activate ciphering, possibly using NULL algorithm, if not yet activated in the other RAT or in the E-UTRA connected to a different type of CN;
- to establish SRB1, SRB2 and one or more DRBs, i.e. at least the DRB associated with the default EPS bearer is established if the target CN is EPC and at least one DRB is established if the target CN is 5GC.

### 5.4.2.3 Reception of the *RRCConnectionReconfiguration* by the UE

If the UE is able to comply with the configuration included in the *RRCConnectionReconfiguration* message, the UE shall:

- 1> if the *RRCConnectionReconfiguration* message does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):
  - 2> re-use the source SDAP and PDCP configurations (i.e., current SDAP/PDCP configurations for all RBs from source RAT prior to the reception of the inter-RAT handover *RRCConnectionReconfiguration* message);
- 1> if the *RRCConnectionReconfiguration* message includes the *fullConfig* and the source RAT was E-UTRA (i.e., intra-RAT inter-system handover):
  - 2> except the MCG C-RNTI, release/ clear all current dedicated radio resources and configurations, including all SDAP (if configured), PDCP, RLC, logical channel configurations for the DRBs and the logged measurement configuration (if configured);
  - 2> release/ clear all current common radio configurations;

- 2> for each *srb-Identity* value included in the *srb-ToAddModList* (SRB reconfiguration):
  - 3> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
  - 3> apply the corresponding default RLC configuration for the SRB specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
  - 3> apply the corresponding default logical channel configuration for the SRB as specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
  - 3> if the *handoverType* in *securityConfigHO* is set to *fivegc-ToEPC* (i.e, the UE is connecting to EPC):
    - 4> release the PDCP entity and establish it with an E-UTRA PDCP entity;
  - 3> else if the *handoverType* in *securityConfigHO* is set to *epc-To5GC* (i.e., the UE is connecting to 5GC):
    - 4> release the PDCP entity and establish it with an NR PDCP and apply the corresponding default PDCP configuration for the SRB as specified in TS 38.331 [82], clause 9.2.1;
  - 3> associate the RLC bearer of this SRB with the established PDCP entity;
- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> apply the default MAC main configuration as specified in 9.2.2;
- 1> start timer T304 with the timer value set to *t304*, as included in the *mobilityControlInfo*;
- 1> consider the target PCell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;
- 1> start synchronising to the DL of the target PCell;
- 1> set the C-RNTI to the value of the *newUE-Identity*;
- 1> for the target PCell, apply the downlink bandwidth indicated by the *dl-Bandwidth*;
- 1> for the target PCell, apply the uplink bandwidth indicated by (the absence or presence of) the *ul-Bandwidth*;
- 1> configure lower layers in accordance with the received *radioResourceConfigCommon*;
- 1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received *mobilityControlInfo*;
- 1> perform the radio resource configuration procedure as specified in 5.3.10;
- 1> if the *handoverType* in *securityConfigHO* is set to *fivegc-ToEPC*:
  - 2> indicate to higher layer that the CN has changed from 5GC to EPC;
  - 2> derive the key  $K_{eNB}$  based on the mapped  $K_{ASME}$  key as specified for interworking between EPS and 5GS in TS 33.501 [86];
  - 2> store the *nextHopChainingCount-r15* value;
- 1> else if the *handoverType* in *securityConfigHO* is set to *intra5GC*:
  - 2> if the *keyChangeIndicator-r15* received in the *securityConfigHO* is set to *TRUE*:
    - 3> forward *nas-Container* to the upper layers, if included;
    - 3> update the  $K_{eNB}$  key based on the  $K_{AMF}$  key, as specified in TS 33.501 [86];
  - 2> else:
    - 3> update the  $K_{eNB}$  key based on the current  $K_{eNB}$  or the NH, using the *nextHopChainingCount-r15* value indicated in the *SecurityConfigHO*, as specified in TS 33.501 [86];

- 2> store the *nextHopChainingCount-r15* value;
- 1> else if the *handoverType* in *securityConfigHO* is set to *epc-To5GC*:
  - 2> forward the *nas-Container* to the upper layers
  - 2> derive the  $K_{eNB}$  key, as specified in TS 33.501 [86];
- 1> else:
  - 2> forward the *nas-SecurityParamToEUTRA* to the upper layers;
  - 2> derive the  $K_{eNB}$  key, as specified in TS 33.401 [32];
- 1> derive the  $K_{RRcInt}$  key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
- 1> derive the  $K_{RRcEnc}$  key and the  $K_{UPenc}$  key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];
- 1> if the received *RRCCConnectionReconfiguration* includes the *nr-RadioBearerConfig1*:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if the received *RRCCConnectionReconfiguration* includes the *nr-RadioBearerConfig2*:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6.
- 1> if the *handoverType* in *securityConfigHO* is set to *fivegc-ToEPC* or if the *handoverType-v1530* is not present:
  - 2> configure lower layers to apply the indicated integrity protection algorithm and the  $K_{RRcInt}$  key immediately, i.e. the indicated integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
  - 2> configure lower layers to apply the indicated ciphering algorithm, the  $K_{RRcEnc}$  key and the  $K_{UPenc}$  key immediately, i.e. the indicated ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> if the received *RRCCConnectionReconfiguration* includes the *sCellToAddModList*:
  - 2> perform SCell addition as specified in 5.3.10.3b;
- 1> if the *RRCCConnectionReconfiguration* message includes the *measConfig*:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 1> if the *RRCCConnectionReconfiguration* message includes the *otherConfig*:
  - 2> perform the other configuration procedure as specified in 5.3.10.9;
- 1> if the *RRCCConnectionReconfiguration* message includes *wlan-OffloadInfo*:
  - 2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;
- 1> if the *RRCCConnectionReconfiguration* message includes *rclwi-Configuration*:
  - 2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;
- 1> if the *RRCCConnectionReconfiguration* message includes *lwa-Configuration*:
  - 2> perform the LWA configuration procedure as specified in 5.6.14.2;
- 1> if the *RRCCConnectionReconfiguration* message includes *lwip-Configuration*:
  - 2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;
- 1> set the content of *RRCCConnectionReconfigurationComplete* message as follows:



- 2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
  - 3> include *rlf-InfoAvailable*;
- 2> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:
  - 3> include *logMeasAvailableMBSFN*;
- 2> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 3> include the *logMeasAvailable*;
  - 3> if Bluetooth measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 4> include the *logMeasAvailableBT*;
  - 3> if WLAN measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 4> include the *logMeasAvailableWLAN*;
- 2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
  - 3> include *connEstFailInfoAvailable*;
- 1> submit the *RRCCONNECTIONRECONFIGURATIONCOMPLETE* message to lower layers for transmission using the new configuration;
- 1> if the *RRCCONNECTIONRECONFIGURATION* message does not include *rlf-TimersAndConstants* set to *setup*:
  - 2> use the default values specified in 9.2.5 for timer T310, T311 and constant N310, N311;
- 1> if MAC successfully completes the random access procedure:
  - 2> stop timer T304;
  - 2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;
  - 2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;

NOTE 1: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

- 2> enter E-UTRA RRC\_CONNECTED, upon which the procedure ends;

NOTE 2: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell.

NOTE 3: If the handover is from NR and target CN is 5GC, the delta configuration on PDCP and SDAP can be used for intra-system inter-RAT handover. For other cases, source RAT configuration is not considered when the UE applies the reconfiguration message of target RAT.

#### 5.4.2.4 Reconfiguration failure

The UE shall:

- 1> if the UE is unable to comply with (part of) the configuration included in the *RRCCONNECTIONRECONFIGURATION* message:

- 2> if the source RAT is E-UTRA:
  - 3> perform the actions as specified in 5.3.5.5;
- 2> else:
  - 3> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT;

NOTE 1: The UE may apply above failure handling also in case the *RRCCONNECTIONRECONFIGURATION* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/ failure.

### 5.4.2.5 T304 expiry (handover to E-UTRA failure)

The UE shall:

- 1> upon T304 expiry (handover to E-UTRA failure):
  - 2> if the source RAT is E-UTRA:
    - 3> perform the actions as specified in 5.3.5.6;
  - 2> else:
    - 3> reset MAC;
    - 3> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT;

## 5.4.3 Mobility from E-UTRA

### 5.4.3.1 General



Figure 5.4.3.1-1: Mobility from E-UTRA, successful

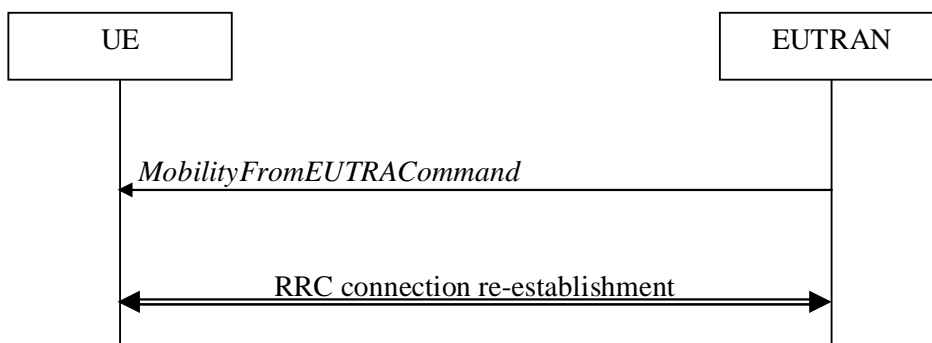


Figure 5.4.3.1-2: Mobility from E-UTRA, failure

The purpose of this procedure is to move a UE in RRC\_CONNECTED to a cell using another Radio Access Technology (RAT), e.g. GERAN, UTRA, CDMA2000 systems, NR, or handover a UE to an E-UTRA cell connected to another type of CN. The mobility from E-UTRA procedure covers the following type of mobility:

- handover, i.e. the *MobilityFromEUTRACommand* message includes radio resources that have been allocated for the UE in the target cell;
- cell change order, i.e. the *MobilityFromEUTRACommand* message may include information facilitating access of and/ or connection establishment in the target cell, e.g. system information. Cell change order is applicable only to GERAN; and
- enhanced CS fallback to CDMA2000 1xRTT, i.e. the *MobilityFromEUTRACommand* message includes radio resources that have been allocated for the UE in the target cell. The enhanced CS fallback to CDMA2000 1xRTT may be combined with concurrent handover or redirection to CDMA2000 HRPD.

NOTE: For the case of dual receiver/transmitter enhanced CS fallback to CDMA2000 1xRTT, the *DLInformationTransfer* message is used instead of the *MobilityFromEUTRACommand* message (see TS 36.300 [9]).

### 5.4.3.2 Initiation

E-UTRAN initiates the mobility from E-UTRA procedure to a UE in RRC\_CONNECTED, possibly in response to a *MeasurementReport* message or in response to reception of CS fallback indication for the UE from MME, by sending a *MobilityFromEUTRACommand* message. E-UTRAN applies the procedure as follows:

- the procedure is initiated only when AS-security has been activated, and SRB2 with at least one DRB are setup and not suspended;

### 5.4.3.3 Reception of the *MobilityFromEUTRACommand* by the UE

The UE shall be able to receive a *MobilityFromEUTRACommand* message and perform a cell change order to GERAN, even if no prior UE measurements have been performed on the target cell.

The UE shall:

- 1> stop timer T310, if running;
- 1> stop timer T312, if running;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> if the *MobilityFromEUTRACommand* message includes the *purpose* set to *handover*:
  - 2> if the *targetRAT-Type* is set to *utra* or *geran*:
    - 3> consider inter-RAT mobility as initiated towards the RAT indicated by the *targetRAT-Type* included in the *MobilityFromEUTRACommand* message;
    - 3> forward the *nas-SecurityParamFromEUTRA* to the upper layers;
    - 3> access the target cell indicated in the inter-RAT message in accordance with the specifications of the target RAT;
  - 3> if the *targetRAT-Type* is set to *geran*:
    - 4> use the contents of *systemInformation*, if provided for PS Handover, as the system information to begin access on the target GERAN cell;

NOTE 1: If there are DRBs for which no radio bearers are established in the target RAT as indicated in the *targetRAT-MessageContainer* in the message, the E-UTRA RRC part of the UE does not indicate the release of the concerned DRBs to the upper layers. Upper layers may derive which bearers are not established from information received from the AS of the target RAT.

NOTE 2: In case of SR-VCC, the DRB to be replaced is specified in TS 23.216 [61].

- 2> else if the *targetRAT-Type* is set to *eutra*:
  - 3> consider inter-system mobility as initiated towards E-UTRA;
  - 3> forward the *nas-SecurityParamFromEUTRA* to the upper layers, if included;
  - 3> access the target cell indicated in the inter-RAT message in accordance with clause 5.4.2.3;
- 2> else if the *targetRAT-Type* is set to *nr*:
  - 3> consider inter-RAT mobility as initiated towards NR;
  - 3> access the target cell indicated in the inter-RAT message in accordance with the specifications in TS 38.331 [82];
- 2> else if the *targetRAT-Type* is set to *cdma2000-1XRTT* or *cdma2000-HRPD*:
  - 3> forward the *targetRAT-Type* and the *targetRAT-MessageContainer* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specifications of the CDMA2000 target-RAT;
- 1> else if the *MobilityFromEUTRACommand* message includes the *purpose* set to *cellChangeOrder*:
  - 2> start timer T304 with the timer value set to *t304*, as included in the *MobilityFromEUTRACommand* message;
  - 2> if the *targetRAT-Type* is set to *geran*:
    - 3> if *networkControlOrder* is included in the *MobilityFromEUTRACommand* message:
      - 4> apply the value as specified in TS 44.060 [36];
    - 3> else:
      - 4> acquire *networkControlOrder* and apply the value as specified in TS 44.060 [36];
    - 3> use the contents of *systemInformation*, if provided, as the system information to begin access on the target GERAN cell;
  - 2> establish the connection to the target cell indicated in the *CellChangeOrder*;

NOTE 3: The criteria for success or failure of the cell change order to GERAN are specified in TS 44.060 [36].

- 1> if the *MobilityFromEUTRACommand* message includes the *purpose* set to *e-CSFB*:
  - 2> if *messageContCDMA2000-1XRTT* is present:
    - 3> forward the *messageContCDMA2000-1XRTT* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specification of the target RAT;
  - 2> if *mobilityCDMA2000-HRPD* is present and is set to *handover*:
    - 3> forward the *messageContCDMA2000-HRPD* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specification of the target RAT;
  - 2> if *mobilityCDMA2000-HRPD* is present and is set to *redirection*:
    - 3> forward the *redirectCarrierCDMA2000-HRPD* to the CDMA2000 upper layers;

NOTE 4: When the CDMA2000 upper layers in the UE receive both the *messageContCDMA2000-1XRTT* and *messageContCDMA2000-HRPD* the UE performs concurrent access to both CDMA2000 1xRTT and CDMA2000 HRPD RAT.

NOTE 5: The UE should perform the handover, the cell change order or enhanced 1xRTT CS fallback as soon as possible following the reception of the RRC message *MobilityFromEUTRACommand*, which could be before confirming successful reception (HARQ and ARQ) of this message.

#### 5.4.3.4 Successful completion of the mobility from E-UTRA

Upon successfully completing the handover, the cell change order or enhanced 1xRTT CS fallback, the UE shall:

- 1> if the *targetRAT-Type* in the received *MobilityFromEUTRACommand* is set to *eutra* (intra-E-UTRA inter-system HO):
  - 2> indicate to the upper layers associated to the source system the release of the RRC connection together with the release cause 'other';
  - 2> the procedure ends;
- 1> else if the UE was connected to 5GC prior to the reception of the *MobilityFromEUTRACommand* and the *targetRAT-Type* in the received *MobilityFromEUTRACommand* is set to *nr*:
  - 2> reset MAC;
  - 2> stop all timers that are running except T325 and T330;
  - 2> release *ran-NotificationAreaInfo*, if stored;
  - 2> release the AS security context including the  $K_{RRCEnc}$  key, the  $K_{RRCint}$ , the  $K_{UPint}$  key and the  $K_{UPenc}$  key, if stored;
  - 2> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity and SDAP entity for all established RBs;

NOTE 1: PDCP and SDAP configured by the source configurations RAT prior to the handover that are reconfigured and re-used by target RAT when delta signalling (i.e., during inter-RAT intra-system handover when *fullConfig* is not present) is used, are not released as part of this procedure.

1> else:

- 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';

NOTE 2: If the UE performs enhanced 1xRTT CS fallback along with concurrent mobility to CDMA2000 HRPD and the connection to either CDMA2000 1xRTT or CDMA2000 HRPD succeeds, then the mobility from E-UTRA is considered successful.

#### 5.4.3.5 Mobility from E-UTRA failure

The UE shall:

- 1> if T304 configured in the *MobilityFromEUTRACommand* message expires (mobility from E-UTRA failure); or
- 1> if the UE does not succeed in establishing the connection to the target radio access technology; or
- 1> if the UE is unable to comply with (part of) the configuration included in the *MobilityFromEUTRACommand* message; or
- 1> if there is a protocol error in the inter RAT information included in the *MobilityFromEUTRACommand* message, causing the UE to fail the procedure according to the specifications applicable for the target RAT (i.e. according to clause 5.3.5.6 if the *targetRAT-Type* in the received *MobilityFromEUTRACommand* is set to *eutra*):
  - 2> stop T304, if running;
  - 2> if the *cs-FallbackIndicator* in the *MobilityFromEUTRACommand* message was set to *TRUE* or *e-CSFB* was present:
    - 3> indicate to upper layers that the CS fallback procedure has failed;
  - 2> revert back to the configuration used in the source PCell, excluding the configuration configured by the *physicalConfigDedicated*, *mac-MainConfig* and *sps-Config*;
  - 2> initiate the connection re-establishment procedure as specified in 5.3.7;

NOTE: For enhanced CS fallback to CDMA2000 1xRTT, the above UE behavior applies only when the UE is attempting the enhanced 1xRTT CS fallback and connection to the target radio access technology fails or if the UE is attempting enhanced 1xRTT CS fallback along with concurrent mobility to CDMA2000 HRPD and connection to both the target radio access technologies fails.

## 5.4.4 Handover from E-UTRA preparation request (CDMA2000)

### 5.4.4.1 General

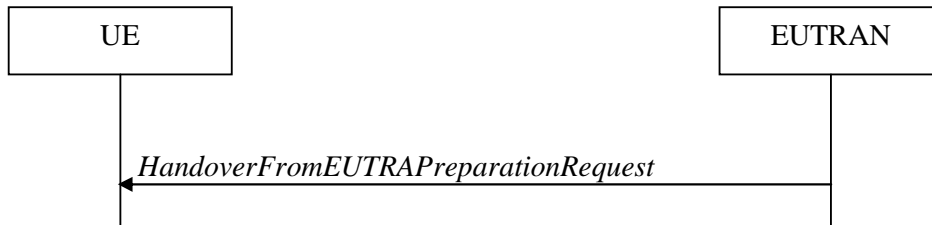


Figure 5.4.4.1-1: Handover from E-UTRA preparation request

The purpose of this procedure is to trigger the UE to prepare for handover or enhanced 1xRTT CS fallback to CDMA2000 by requesting a connection with this network. The UE may use this procedure to concurrently prepare for handover to CDMA2000 HRPD along with preparation for enhanced CS fallback to CDMA2000 1xRTT. This procedure applies to CDMA2000 capable UEs only.

This procedure is also used to trigger the UE which supports dual Rx/Tx enhanced 1xCSFB to redirect its second radio to CDMA2000 1xRTT.

The handover from E-UTRA preparation request procedure applies when signalling radio bearers are established.

### 5.4.4.2 Initiation

E-UTRAN initiates the handover from E-UTRA preparation request procedure to a UE in RRC\_CONNECTED, possibly in response to a *MeasurementReport* message or CS fallback indication for the UE, by sending a *HandoverFromEUTRAPreparationRequest* message. E-UTRAN initiates the procedure only when AS security has been activated.

### 5.4.4.3 Reception of the *HandoverFromEUTRAPreparationRequest* by the UE

Upon reception of the *HandoverFromEUTRAPreparationRequest* message, the UE shall:

- 1> if *dualRxTxRedirectIndicator* is present in the received message:
  - 2> forward *dualRxTxRedirectIndicator* to the CDMA2000 upper layers;
  - 2> forward *redirectCarrierCDMA2000-1XRTT* to the CDMA2000 upper layers, if included;
- 1> else:
  - 2> indicate the request to prepare handover or enhanced 1xRTT CS fallback and forward the *cdma2000-Type* to the CDMA2000 upper layers;
  - 2> if *cdma2000-Type* is set to *type1XRTT*:
    - 3> forward the *rand* and the *mobilityParameters* to the CDMA2000 upper layers;
  - 2> if *concurrPrepCDMA2000-HRPD* is present in the received message:
    - 3> forward *concurrPrepCDMA2000-HRPD* to the CDMA2000 upper layers;
  - 2> else:
    - 3> forward *concurrPrepCDMA2000-HRPD*, with its value set to *FALSE*, to the CDMA2000 upper layers;

## 5.4.5 UL handover preparation transfer (CDMA2000)

### 5.4.5.1 General



**Figure 5.4.5.1-1: UL handover preparation transfer**

The purpose of this procedure is to tunnel the handover related CDMA2000 dedicated information or enhanced 1xRTT CS fallback related CDMA2000 dedicated information from UE to E-UTRAN when requested by the higher layers. The procedure is triggered by the higher layers on receipt of *HandoverFromEUTRAPreparationRequest* message. If preparing for enhanced CS fallback to CDMA2000 1xRTT and handover to CDMA2000 HRPD, the UE sends two consecutive *ULHandoverPreparationTransfer* messages to E-UTRAN, one per addressed CDMA2000 RAT Type. This procedure applies to CDMA2000 capable UEs only.

### 5.4.5.2 Initiation

A UE in RRC\_CONNECTED initiates the UL handover preparation transfer procedure whenever there is a need to transfer handover or enhanced 1xRTT CS fallback related non-3GPP dedicated information. The UE initiates the UL handover preparation transfer procedure by sending the *ULHandoverPreparationTransfer* message.

### 5.4.5.3 Actions related to transmission of the *ULHandoverPreparationTransfer* message

The UE shall set the contents of the *ULHandoverPreparationTransfer* message as follows:

- 1> include the *cdma2000-Type* and the *dedicatedInfo*;
- 1> if the *cdma2000-Type* is set to *type1XRTT*:
  - 2> include the *meid* and set it to the value received from the CDMA2000 upper layers;
- 1> submit the *ULHandoverPreparationTransfer* message to lower layers for transmission, upon which the procedure ends;

### 5.4.5.4 Failure to deliver the *ULHandoverPreparationTransfer* message

The UE shall:

- 1> if the UE is unable to guarantee successful delivery of *ULHandoverPreparationTransfer* messages:
  - 2> inform upper layers about the possible failure to deliver the information contained in the concerned *ULHandoverPreparationTransfer* message;

## 5.4.6 Inter-RAT cell change order to E-UTRAN

### 5.4.6.1 General

The purpose of the inter-RAT cell change order to E-UTRAN procedure is to transfer, under the control of the source radio access technology, a connection between the UE and another radio access technology (e.g. GSM/ GPRS) to E-UTRAN.

### 5.4.6.2 Initiation

The procedure is initiated when a radio access technology other than E-UTRAN, e.g. GSM/GPRS, using procedures specific for that RAT, orders the UE to change to an E-UTRAN cell. In response, upper layers request the establishment of an RRC connection as specified in clause 5.3.3.

NOTE: Within the message used to order the UE to change to an E-UTRAN cell, the source RAT should specify the identity of the target E-UTRAN cell as specified in the specifications for that RAT.

The UE shall:

- 1> upon receiving an *RRCConnectionSetup* message;
- 2> consider the inter-RAT cell change order procedure to have completed successfully;

### 5.4.6.3 UE fails to complete an inter-RAT cell change order

If the inter-RAT cell change order fails the UE shall return to the other radio access technology and proceed as specified in the appropriate specifications for that RAT.

The UE shall:

- 1> upon failure to establish the RRC connection as specified in clause 5.3.3;
- 2> consider the inter-RAT cell change order procedure to have failed;

NOTE: The cell change was network ordered. Therefore, failure to change to the target PCell should not cause the UE to move to UE-controlled cell selection.

## 5.5 Measurements

### 5.5.1 Introduction

The UE reports measurement information in accordance with the measurement configuration as provided by E-UTRAN. E-UTRAN provides the measurement configuration applicable for a UE in RRC\_CONNECTED by means of dedicated signalling, i.e. using the *RRCConnectionReconfiguration* or *RRCConnectionResume* message.

The UE can be requested to perform the following types of measurements:

- Intra-frequency measurements: measurements at the downlink carrier frequency(ies) of the serving cell(s).
- Inter-frequency measurements: measurements at frequencies that differ from any of the downlink carrier frequency(ies) of the serving cell(s).
- Inter-RAT measurements of NR frequencies.
- Inter-RAT measurements of UTRA frequencies.
- Inter-RAT measurements of GERAN frequencies.
- Inter-RAT measurements of CDMA2000 HRPD or CDMA2000 1xRTT or WLAN frequencies.
- CBR measurements.
- Sensing measurements.

The measurement configuration includes the following parameters:

1. **Measurement objects:** The objects on which the UE shall perform the measurements.
  - For intra-frequency and inter-frequency measurements a measurement object is a single E-UTRA carrier frequency. Associated with this carrier frequency, E-UTRAN can configure a list of cell specific offsets, a list of 'blacklisted' cells and a list of 'whitelisted' cells. Blacklisted cells are not considered in event evaluation or measurement reporting.



- For inter-RAT NR measurements a measurement object is a single NR carrier frequency. Associated with this carrier frequency, E-UTRAN can configure a list of 'blacklisted' cells. Blacklisted cells are not considered in event evaluation or measurement reporting.
- For inter-RAT UTRA measurements a measurement object is a set of cells on a single UTRA carrier frequency.
- For inter-RAT GERAN measurements a measurement object is a set of GERAN carrier frequencies.
- For inter-RAT CDMA2000 measurements a measurement object is a set of cells on a single (HRPD or 1xRTT) carrier frequency.
- For inter-RAT WLAN measurements a measurement object is a set of WLAN identifiers and optionally a set of WLAN frequencies.
- For CBR measurements and sensing measurements a measurement object is a set of transmission resource pools for V2X sidelink communication.

NOTE 1: Some measurements using the above mentioned measurement objects, only concern a single cell, e.g. measurements used to report neighbouring cell system information, PCell UE Rx-Tx time difference, or a pair of cells, e.g. SSTD measurements between the PCell and the PSCell.

2. **Reporting configurations:** A list of reporting configurations where each reporting configuration consists of the following:
  - Reporting criterion: The criterion that triggers the UE to send a measurement report. This can either be periodical or a single event description.
  - Reporting format: The quantities that the UE includes in the measurement report and associated information (e.g. number of cells to report).
3. **Measurement identities:** A list of measurement identities where each measurement identity links one measurement object with one reporting configuration. By configuring multiple measurement identities it is possible to link more than one measurement object to the same reporting configuration, as well as to link more than one reporting configuration to the same measurement object. The measurement identity is used as a reference number in the measurement report.
4. **Quantity configurations:** One quantity configuration is configured per RAT type. The quantity configuration defines the measurement quantities and associated filtering used for all event evaluation and related reporting of that measurement type. One filter can be configured per measurement quantity, except for NR where the network may configure up to 2 sets of quantity configurations each comprising per measurement quantity separate filters for cell and RS index measurement results. The quantity configuration set that applies for a given measurement is indicated within the NR measurement object.
5. **Measurement gaps:** Periods that the UE may use to perform measurements, i.e. no (UL, DL) transmissions are scheduled.

E-UTRAN only configures a single measurement object for a given frequency (except for WLAN and except for CBR measurements), i.e. it is not possible to configure two or more measurement objects for the same frequency with different associated parameters, e.g. different offsets and/ or blacklists. E-UTRAN may configure multiple instances of the same event e.g. by configuring two reporting configurations with different thresholds.

The UE maintains a single measurement object list, a single reporting configuration list, and a single measurement identities list. The measurement object list includes measurement objects, that are specified per RAT type, possibly including intra-frequency object(s) (i.e. the object(s) corresponding to the serving frequency(ies)), inter-frequency object(s) and inter-RAT objects. Similarly, the reporting configuration list includes E-UTRA and inter-RAT reporting configurations. Any measurement object can be linked to any reporting configuration of the same RAT type. Some reporting configurations may not be linked to a measurement object. Likewise, some measurement objects may not be linked to a reporting configuration.

The measurement procedures distinguish the following types of cells:

1. The serving cell(s) - these are the PCell and one or more SCells, if configured for a UE supporting CA or DC. Likewise, NR serving cell(s) are the NR PCell, NR PSCell and NR SCells, if the UE is configured with MR-DC.

2. Listed cells - these are cells listed within the measurement object(s) or, for inter-RAT WLAN, the WLANs matching the WLAN identifiers configured in the measurement object or the WLAN the UE is connected to.
3. Detected cells - these are cells that are not listed within the measurement object(s) but are detected by the UE on the carrier frequency(ies) indicated by the measurement object(s) or, for inter-RAT WLAN, the WLANs not included in the *measObjectWLAN* but meeting the triggering requirements.

For E-UTRA, the UE measures and reports on the serving cell(s), listed cells, detected cells, transmission resource pools for V2X sidelink communication, and, for RSSI and channel occupancy measurements, the UE measures and reports on any reception on the indicated frequency. For inter-RAT NR, the UE measures and reports on detected cells and, if configured with MR-DC, on NR serving cell(s). For inter-RAT UTRA, the UE measures and reports on listed cells and optionally on cells that are within a range for which reporting is allowed by E-UTRAN. For inter-RAT GERAN, the UE measures and reports on detected cells. For inter-RAT CDMA2000, the UE measures and reports on listed cells. For inter-RAT WLAN, the UE measures and reports on listed cells.

NOTE 2: For inter-RAT UTRA and CDMA2000, the UE measures and reports also on detected cells for the purpose of SON.

NOTE 3: This specification is based on the assumption that typically CSG cells of home deployment type are not indicated within the neighbour list. Furthermore, the assumption is that for non-home deployments, the physical cell identity is unique within the area of a large macro cell (i.e. as for UTRAN).

Whenever the procedural specification, other than contained in clause 5.5.2, refers to a field it concerns a field included in the *VarMeasConfig* unless explicitly stated otherwise i.e. only the measurement configuration procedure covers the direct UE action related to the received *measConfig*.

## 5.5.2 Measurement configuration

### 5.5.2.1 General

E-UTRAN applies the procedure as follows:

- to ensure that, whenever the UE has a *measConfig*, it includes a *measObject* for each LTE serving frequency;
- to configure at most one measurement identity using a reporting configuration with the *purpose* set to *reportCGI*;
- for E-UTRA serving frequencies, set the EARFCN within the corresponding *measObject* according to the band as used for reception/ transmission;
- to configure at most one measurement identity using a reporting configuration with *ul-DelayConfig*;
- to configure at most one measurement identity using a reporting configuration with *reportSFTD-Meas*;
- to configure at most one *MeasObjectNR* with the same *carrierFreq*;

The UE shall:

- 1> if the received *measConfig* includes the *measObjectToRemoveList*:
  - 2> perform the measurement object removal procedure as specified in 5.5.2.4;
- 1> if the received *measConfig* includes the *measObjectToAddModList*:
  - 2> perform the measurement object addition/ modification procedure as specified in 5.5.2.5;
- 1> if the received *measConfig* includes the *reportConfigToRemoveList*:
  - 2> perform the reporting configuration removal procedure as specified in 5.5.2.6;
- 1> if the received *measConfig* includes the *reportConfigToAddModList*:
  - 2> perform the reporting configuration addition/ modification procedure as specified in 5.5.2.7;
- 1> if the received *measConfig* includes the *quantityConfig*:

- 2> perform the quantity configuration procedure as specified in 5.5.2.8;
- 1> if the received *measConfig* includes the *measIdToRemoveList*:
  - 2> perform the measurement identity removal procedure as specified in 5.5.2.2;
- 1> if the received *measConfig* includes the *measIdToAddModList*:
  - 2> perform the measurement identity addition/ modification procedure as specified in 5.5.2.3;
- 1> if the received *measConfig* includes the *measGapConfig* or *measGapConfigPerCC-List*:
  - 2> perform the measurement gap configuration procedure as specified in 5.5.2.9;
- 1> if the received *measConfig* includes the *measGapConfigDensePRS*:
  - 2> perform the measurement gap configuration procedure for RSTD measurements with dense PRS configuration as specified in 5.5.2.9a;
- 1> if the received *measConfig* includes the *measGapSharingConfig*:
  - 2> perform the measurement gap sharing configuration procedure as specified in 5.5.2.12;
- 1> if the received *measConfig* includes the *s-Measure*:
  - 2> set the parameter *s-Measure* within *VarMeasConfig* to the lowest value of the RSRP ranges indicated by the received value of *s-Measure*;
- 1> if the received *measConfig* includes the *preRegistrationInfoHRPD*:
  - 2> forward the *preRegistrationInfoHRPD* to CDMA2000 upper layers;
- 1> if the received *measConfig* includes the *speedStatePars*:
  - 2> set the parameter *speedStatePars* within *VarMeasConfig* to the received value of *speedStatePars*;
- 1> if the received *measConfig* includes the *allowInterruptions*:
  - 2> set the parameter *allowInterruptions* within *VarMeasConfig* to the received value of *allowInterruptions*;

### 5.5.2.2 Measurement identity removal

The UE shall:

- 1> for each *measId* included in the received *measIdToRemoveList* that is part of the current UE configuration in *VarMeasConfig*:
  - 2> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;
  - 2> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *measIdToRemoveList* includes any *measId* value that is not part of the current UE configuration.

### 5.5.2.2a Measurement identity autonomous removal

The UE shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the associated *reportConfig* concerns an event involving a serving cell while the concerned serving cell is not configured; or

- 2> if the associated *reportConfig* concerns an event involving a WLAN mobility set while the concerned WLAN mobility set is not configured; or
- 2> if the associated *reportConfig* concerns an event involving a transmission resource pool for V2X sidelink communication while the concerned resource pool is not configured; or
- 2> if the associated *reportConfig* concerns an event involving *reportSFTD-Meas* set to *pSCell* while the *nr-Config* is not configured:
  - 3> remove the *measId* from the *measIdList* within the *VarMeasConfig*;
  - 3> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
  - 3> stop the periodical reporting timer if running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE 1: The above UE autonomous removal of *measId*'s applies only for measurement events A1, A2, A6, and also applies for events A3 and A5 if configured for PSCell and W2 and W3 and V1 and V2 and event involving *reportSFTD-Meas* set to *pSCell*, if configured.

NOTE 2: When performed during re-establishment, the UE is only configured with a primary frequency (i.e. the SCell(s) and WLAN mobility set are released, if configured).

### 5.5.2.3 Measurement identity addition/ modification

E-UTRAN applies the procedure as follows:

- configure a *measId* only if the corresponding measurement object, the corresponding reporting configuration and the corresponding quantity configuration, are configured;

The UE shall:

- 1> for each *measId* included in the received *measIdToAddModList*:
  - 2> if an entry with the matching *measId* exists in the *measIdList* within the *VarMeasConfig*:
    - 3> replace the entry with the value received for this *measId*;
  - 2> else:
    - 3> add a new entry for this *measId* within the *VarMeasConfig*;
  - 2> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
  - 2> if the *triggerType* is set to *periodical* and the *purpose* is set to *reportCGI* in the *reportConfig* associated with this *measId*:
    - 3> if the *measObject* associated with this *measId* concerns E-UTRA:
      - 4> if the *si-RequestForHO* is included in the *reportConfig* associated with this *measId*:
        - 5> if the UE is a category 0 UE according to TS 36.306 [5]:
          - 6> start timer T321 with the timer value set to 190 ms for this *measId*;
        - 5> else:
          - 6> start timer T321 with the timer value set to 150 ms for this *measId*;
      - 4> else:
        - 5> start timer T321 with the timer value set to 1 second for this *measId*;
    - 3> else if the *measObject* associated with this *measId* concerns UTRA:

- 4> if the *si-RequestForHO* is included in the *reportConfig* associated with this *measId*:
  - 5> for UTRA FDD, start timer T321 with the timer value set to 2 seconds for this *measId*;
  - 5> for UTRA TDD, start timer T321 with the timer value set to [1 second] for this *measId*;
- 4> else:
  - 5> start timer T321 with the timer value set to 8 seconds for this *measId*;
- 3> else if the *measObject* associated with this *measId* concerns NR:
  - 4> if the *measObject* associated with this *measId* concerns FR1:
    - 5> start timer T321 with the timer value set to 2 seconds for this *measId*;
  - 4> if the *measObject* associated with this *measId* concerns FR2:
    - 5> start timer T321 with the timer value set to 16 seconds for this *measId*;
- 3> else:
  - 4> start timer T321 with the timer value set to 8 seconds for this *measId*;

#### 5.5.2.4 Measurement object removal

The UE shall:

- 1> for each *measObjectId* included in the received *measObjectToRemoveList* that is part of the current UE configuration in *VarMeasConfig*:
  - 2> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;
  - 2> remove all *measId* associated with this *measObjectId* from the *measIdList* within the *VarMeasConfig*, if any;
  - 2> if a *measId* is removed from the *measIdList*:
    - 3> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
    - 3> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *measObjectToRemoveList* includes any *measObjectId* value that is not part of the current UE configuration.

#### 5.5.2.5 Measurement object addition/ modification

The UE shall:

- 1> for each *measObjectId* included in the received *measObjectToAddModList*:
  - 2> if an entry with the matching *measObjectId* exists in the *measObjectList* within the *VarMeasConfig*, for this entry:
    - 3> reconfigure the entry with the value received for this *measObject*, except for the fields *cellsToAddModList*, *blackCellsToAddModList*, *whiteCellsToAddModList*, *altTTT-CellsToAddModList*, *cellsToRemoveList*, *blackCellsToRemoveList*, *whiteCellsToRemoveList*, *altTTT-CellsToRemoveList*, *measSubframePatternConfigNeigh*, *measDS-Config*, *wlan-ToAddModList*, *wlan-ToRemoveList*, *tx-ResourcePoolToRemoveList* and *tx-ResourcePoolToAddList*;
  - 3> if the received *measObject* includes the *cellsToRemoveList*:
    - 4> for each *cellIndex* included in the *cellsToRemoveList*:
      - 5> remove the entry with the matching *cellIndex* from the *cellsToAddModList*;

- 3> if the received *measObject* includes the *cellsToAddModList*:
  - 4> for each *cellIndex* value included in the *cellsToAddModList*:
    - 5> if an entry with the matching *cellIndex* exists in the *cellsToAddModList*:
      - 6> replace the entry with the value received for this *cellIndex*;
    - 5> else:
      - 6> add a new entry for the received *cellIndex* to the *cellsToAddModList*;

- 3> if the received *measObject* includes the *blackCellsToRemoveList*:
  - 4> for each *cellIndex* included in the *blackCellsToRemoveList*:
    - 5> remove the entry with the matching *cellIndex* from the *blackCellsToAddModList*;

NOTE 1: For each *cellIndex* included in the *blackCellsToRemoveList* that concerns overlapping ranges of cells, a cell is removed from the black list of cells only if all cell indexes containing it are removed.

- 3> if the received *measObject* includes the *blackCellsToAddModList*:
  - 4> for each *cellIndex* included in the *blackCellsToAddModList*:
    - 5> if an entry with the matching *cellIndex* is included in the *blackCellsToAddModList*:
      - 6> replace the entry with the value received for this *cellIndex*;
    - 5> else:
      - 6> add a new entry for the received *cellIndex* to the *blackCellsToAddModList*;

- 3> if the received *measObject* includes the *whiteCellsToRemoveList*:
  - 4> for each *cellIndex* included in the *whiteCellsToRemoveList*:
    - 5> remove the entry with the matching *cellIndex* from the *whiteCellsToAddModList*;

NOTE 2: For each *cellIndex* included in the *whiteCellsToRemoveList* that concerns overlapping ranges of cells, a cell is removed from the white list of cells only if all cell indexes containing it are removed.

- 3> if the received *measObject* includes the *whiteCellsToAddModList*:
  - 4> for each *cellIndex* included in the *whiteCellsToAddModList*:
    - 5> if an entry with the matching *cellIndex* is included in the *whiteCellsToAddModList*:
      - 6> replace the entry with the value received for this *cellIndex*;
    - 5> else:
      - 6> add a new entry for the received *cellIndex* to the *whiteCellsToAddModList*;

- 3> if the received *measObject* includes the *altTTT-CellsToRemoveList*:
  - 4> for each *cellIndex* included in the *altTTT-CellsToRemoveList*:
    - 5> remove the entry with the matching *cellIndex* from the *altTTT-CellsToAddModList*;

NOTE 3: For each *cellIndex* included in the *altTTT-CellsToRemoveList* that concerns overlapping ranges of cells, a cell is removed from the list of cells only if all cell indexes containing it are removed.

- 3> if the received *measObject* includes the *altTTT-CellsToAddModList*:
  - 4> for each *cellIndex* value included in the *altTTT-CellsToAddModList*:
    - 5> if an entry with the matching *cellIndex* exists in the *altTTT-CellsToAddModList*:

- 6> replace the entry with the value received for this *cellIndex*;
- 5> else:
  - 6> add a new entry for the received *cellIndex* to the *altTTT-CellsToAddModList*;
- 3> if the received *measObject* includes *measSubframePatternConfigNeigh*:
  - 4> set *measSubframePatternConfigNeigh* within the *VarMeasConfig* to the value of the received field
- 3> if the received *measObject* includes *measDS-Config*:
  - 4> if *measDS-Config* is set to *setup*:
    - 5> if the received *measDS-Config* includes the *measCSI-RS-ToRemoveList*:
      - 6> for each *measCSI-RS-Id* included in the *measCSI-RS-ToRemoveList*:
        - 7> remove the entry with the matching *measCSI-RS-Id* from the *measCSI-RS-ToAddModList*;
      - 5> if the received *measDS-Config* includes the *measCSI-RS-ToAddModList*, for each *measCSI-RS-Id* value included in the *measCSI-RS-ToAddModList*:
        - 6> if an entry with the matching *measCSI-RS-Id* exists in the *measCSI-RS-ToAddModList*:
          - 7> replace the entry with the value received for this *measCSI-RS-Id*;
        - 6> else:
          - 7> add a new entry for the received *measCSI-RS-Id* to the *measCSI-RS-ToAddModList*;
      - 5> set other fields of the *measDS-Config* within the *VarMeasConfig* to the value of the received fields;
      - 5> perform the discovery signals measurement timing configuration procedure as specified in 5.5.2.10;
    - 4> else:
      - 5> release the discovery signals measurement configuration;
  - 3> if the received *measObject* modifies fields other than *cellsForWhichToReportSFTD*:
    - 4> for each *measId* associated with this *measObjectId* in the *measIdList* within the *VarMeasConfig*, if any:
      - 5> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
      - 5> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
  - 3> if the received *measObject* includes the *wlan-ToRemoveList*:
    - 4> for each *WLAN-Identifiers* included in the *wlan-ToRemoveList*:
      - 5> remove the entry with the matching *WLAN-Identifiers* from the *wlan-ToAddModList*;

NOTE 3a: Matching of *WLAN-Identifiers* requires that all WLAN identifier fields should be same.

- 3> if the received *measObject* includes the *wlan-ToAddModList*:
  - 4> for each *WLAN-Identifiers* included in the *wlan-ToAddModList*:
    - 5> add a new entry for the received *WLAN-Identifiers* to the *wlan-ToAddModList*;
- 3> if the received *measObject* includes the *tx-ResourcePoolToRemoveList*:
  - 4> for each transmission resource pool indicated in *tx-ResourcePoolToRemoveList*:

- 5> remove the entry with the matching identity of the transmission resource pool from the *tx-ResourcePoolToAddList*;
- 3> if the received *measObject* includes the *tx-ResourcePoolToAddList*:
  - 4> for each transmission resource pool indicated in *tx-ResourcePoolToAddList*:
    - 5> add a new entry for the received identity of the transmission resource pool to the *tx-ResourcePoolToAddList*;
- 2> else:
  - 3> add a new entry for the received *measObject* to the *measObjectList* within *VarMeasConfig*;

NOTE 4: UE does not need to retain *cellForWhichToReportCGI* in the *measObject* after reporting *cgi-Info*.

### 5.5.2.6 Reporting configuration removal

The UE shall:

- 1> for each *reportConfigId* included in the received *reportConfigToRemoveList* that is part of the current UE configuration in *VarMeasConfig*:
  - 2> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;
  - 2> remove all *measId* associated with the *reportConfigId* from the *measIdList* within the *VarMeasConfig*, if any;
  - 2> if a *measId* is removed from the *measIdList*:
    - 3> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
    - 3> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *reportConfigToRemoveList* includes any *reportConfigId* value that is not part of the current UE configuration.

### 5.5.2.7 Reporting configuration addition/ modification

The UE shall:

- 1> for each *reportConfigId* included in the received *reportConfigToAddModList*:
  - 2> if an entry with the matching *reportConfigId* exists in the *reportConfigList* within the *VarMeasConfig*, for this entry:
    - 3> reconfigure the entry with the value received for this *reportConfig*;
    - 3> for each *measId* associated with this *reportConfigId* included in the *measIdList* within the *VarMeasConfig*, if any:
      - 4> remove the measurement reporting entry for this *measId* from in *VarMeasReportList*, if included;
      - 4> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
  - 2> else:
    - 3> add a new entry for the received *reportConfig* to the *reportConfigList* within the *VarMeasConfig*;

### 5.5.2.8 Quantity configuration

The UE shall:

- 1> for each RAT for which the received *quantityConfig* includes parameter(s):



- 2> set the corresponding parameter(s) in *quantityConfig* within *VarMeasConfig* to the value of the received *quantityConfig* parameter(s);
- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

### 5.5.2.9 Measurement gap configuration

The UE shall:

- 1> if *measGapConfig* is set to *setup*:
  - 2> if a measurement gap configuration *measGapConfig* or *measGapConfigPerCC-List* is already setup, release the measurement gap configuration;
  - 2> if the *gapOffset* in *measGapConfig* indicates a non-uniform gap pattern:
    - 3> setup the measurement gap configuration indicated by the *measGapConfig* in accordance with the received *gapOffset*, i.e., the first subframe of the first gap of each non-uniform gap pattern occurs at an SFN and subframe meeting the following condition (SFN and subframe of MCG cells):
 
$$\text{SFN mod } T = \text{FLOOR}(\text{gapOffset}/10);$$

$$\text{subframe} = \text{gapOffset mod } 10;$$
 with  $T = \text{LMGRP}/10$  as defined in TS 36.133 [16];
  - 3> else:
    - 3> setup the measurement gap configuration indicated by the *measGapConfig* in accordance with the received *gapOffset*, i.e., the first subframe of each gap occurs at an SFN and subframe meeting the following condition (SFN and subframe of MCG cells):
 
$$\text{SFN mod } T = \text{FLOOR}(\text{gapOffset}/10);$$

$$\text{subframe} = \text{gapOffset mod } 10;$$
 with  $T = \text{MGRP}/10$  as defined in TS 36.133 [16];
- 2> if (NG)EN-DC is configured:
  - 3> if the UE is configured with *fr1-Gap* set to *TRUE*:
    - 4> apply the gap configuration for LTE serving cells and for NR serving cells on FR1;
  - 3> else:
    - 4> apply the gap configuration for all LTE and NR serving cells;
- 2> if *mgta* is set to *TRUE*, apply a timing advance value of 0.5ms to the gap occurrences calculated above according to TS 38.133 [84];

NOTE 1: The UE applies a single gap, which timing is relative to the MCG cells, even when configured with DC. In case of (NG)EN-DC, the UE may either be configured with a single (common) gap or with two separate gaps i.e. a first one for FR1 (configured by E-UTRA RRC) and a second one for FR2 (configured by NR RRC).

- 1> else if *measGapConfig* is set to *release*:
  - 2> release the measurement gap configuration *measGapConfig*;
- 1> if *measGapConfigPerCC-List* is set to *setup*:

- 2> if a measurement gap configuration *measGapConfig* is already setup, release *measGapConfig*;
- 2> if *measGapConfigToRemoveList* is included:
  - 3> for each *ServCellIndex* included in the *measGapConfigToRemoveList*:
    - 4> release *measGapConfigCC* for the serving cell indicated by *servCellId*;
- 2> if *measGapConfigToAddModList* is included:
  - 3> for each *ServCellIndex* included in the *measGapConfigToAddModList*:
    - 4> store *measGapConfigCC* for the serving cell indicated by *servCellId*;
- 2> for each serving cell with stored *measGapConfigCC* indicating a non-uniform gap pattern, setup the measurement gap configuration indicated by the *measGapConfigCC* in accordance with the received *gapOffset*, i.e., the first subframe of the first gap of each non-uniform gap pattern occurs at an SFN and subframe meeting the following condition (SFN and subframe of MCG cells):
 
$$\text{SFN mod } T = \text{FLOOR}(\text{gapOffset}/10);$$

$$\text{subframe} = \text{gapOffset mod } 10;$$
 with  $T = \text{LMGRP}/10$  as defined in TS 36.133 [16];
- 2> for each serving cell with stored *measGapConfigCC* not indicating a non-uniform gap pattern, setup the measurement gap configuration indicated by the *measGapConfigCC* in accordance with the received *gapOffset*, i.e., the first subframe of each gap occurs at an SFN and subframe meeting the following condition (SFN and subframe of MCG cells):
 
$$\text{SFN mod } T = \text{FLOOR}(\text{gapOffset}/10);$$

$$\text{subframe} = \text{gapOffset mod } 10;$$
 with  $T = \text{MGRP}/10$  as defined in TS 36.133 [16];

NOTE 2: The UE applies gap timing relative to the MCG cells, even when configured with DC.

- 1> else (*measGapConfigPerCC-List* is set to *release*):
  - 2> release the measurement gap configuration *measGapConfigPerCC-List*;

NOTE 3: When a SCell is released, the UE is not required to apply a per CC measurement gap configuration associated to the SCell.

### 5.5.2.9a Measurement gap configuration for RSTD measurements with dense PRS configuration

The UE shall:

- 1> if *measGapConfigDensePRS* is set to *setup*:
  - 2> setup the measurement gap configuration indicated by the *measGapConfigDensePRS* in accordance with the received *gapOffsetDensePRS*, i.e., the first subframe of each gap occurs at an SFN and subframe meeting the following condition:
 
$$\text{SFN mod } T = \text{FLOOR}(\text{gapOffsetDensePRS}/10);$$

$$\text{subframe} = \text{gapOffsetDensePRS mod } 10;$$
 with  $T = \text{MGRP}/10$  as defined in TS 36.133 [16];

### 5.5.2.10 Discovery signals measurement timing configuration

The UE shall setup the discovery signals measurement timing configuration (DMTC) in accordance with the received *dmtc-PeriodOffset*, i.e., the first subframe of each DMTC occasion occurs at an SFN and subframe of the PCell meeting the following condition:

$$\text{SFN mod } T = \text{FLOOR}(\textit{dmtc-Offset}/10);$$

$$\text{subframe} = \textit{dmtc-Offset} \bmod 10;$$

with  $T = \textit{dmtc-Periodicity}/10$ ;

On the concerned frequency, the UE shall not consider discovery signals transmission in subframes outside the DMTC occasion for measurements including RRM measurements.

### 5.5.2.11 RSSI measurement timing configuration

The UE shall setup the RSSI measurement timing configuraton (RMTC) in accordance with the received *rmtc-Period*, *rmtc-SubframeOffset* if configured otherwise determined by the UE randomly, i.e. the first symbol of each RMTC occasion occurs at first symbol of an SFN and subframe of the PCell meeting the following condition:

$$\text{SFN mod } T = \text{FLOOR}(\textit{rmtc-SubframeOffset}/10);$$

$$\text{subframe} = \textit{rmtc-SubframeOffset} \bmod 10;$$

with  $T = \textit{rmtc-Period}/10$ ;

On the concerned frequency, the UE shall not consider RSSI measurements outside the configured RMTC occasion which lasts for *measDuration* for RSSI and channel occupancy measurements.

### 5.5.2.12 Measurement gap sharing configuration

The UE shall:

- 1> if *measGapSharingConfig* is set to *setup*:
  - 2> if a measurement gap sharing configuration is already setup, release the measurement gap sharing configuration;
  - 2> setup the measurement gap sharing configuration indicated by the *measGapSharingConfig* in accordance with the received *measGapSharingScheme* as defined in TS 36.133 [16];

NOTE: In case of (NG)EN-DC, the UE may either be configured with a single (common) gap sharing or with two separate gap sharing configurations, i.e. a first one for FR1 (configured by E-UTRA RRC) and a second one for FR2 (configured by NR RRC). For the case of per FR gap configuration, the gap sharing configured here (i.e. E-UTRA RRC) is applicable only for FR1 gap.

1> else:

- 2> release the measurement gap sharing configuration;

### 5.5.2.13 NR measurement timing configuration

The UE shall setup the first SS/PBCH block measurement timing configuration (SMTC) in accordance with the received *periodicityAndOffset* (providing *Periodicity* and *Offset* value for the following condition) in the *MTC-SSB-NR* configuration i.e., the first subframe of each SMTC occasion occurs at an SFN and subframe of the PCell meeting the following condition:

$$\text{SFN mod } T = \text{FLOOR}(\textit{Offset}/10);$$

if the *Periodicity* is larger than *sf5*:

$$\text{subframe} = \textit{Offset} \bmod 10;$$

else;

subframe = *Offset* or (*Offset* +5);

with  $T = \text{CEIL}(\text{Periodicity}/10)$ .

On the concerned frequency, the UE shall not consider SS/PBCH block transmission in subframes outside the SMTC occasion which lasts for *ssb-Duration* for measurements including RRM measurements except for SFTD measurement (see TS 36.133 [16], clause 8.1.2.4.25.2 and 8.1.2.4.26.1).

## 5.5.3 Performing measurements

### 5.5.3.1 General

For all measurements, except for UE Rx–Tx time difference measurements, RSSI, UL PDCP Packet Delay per QCI measurement, channel occupancy measurements, CBR measurement, sensing measurement and except for WLAN measurements of Band, Carrier Info, Available Admission Capacity, Backhaul Bandwidth, Channel Utilization, and Station Count, the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria or for measurement reporting. When performing measurements on NR carriers, the UE derives the cell quality as specified in 5.5.3.3 and the beam quality as specified in 5.5.3.4.

The UE shall:

- 1> whenever the UE has a *measConfig*, perform RSRP and RSRQ measurements for each serving cell as follows:
  - 2> for the PCell, apply the time domain measurement resource restriction in accordance with *measSubframePatternPCell*, if configured;
  - 2> if the UE supports CRS based discovery signals measurement:
    - 3> for each SCell in deactivated state, apply the discovery signals measurement timing configuration in accordance with *measDS-Config*, if configured within the *measObject* corresponding to the frequency of the SCell;
  - 1> if the UE has a *measConfig* with *rs-sinr-Config* configured, perform RS-SINR (as indicated in the associated *reportConfig*) measurements as follows:
    - 2> perform the corresponding measurements on the frequency indicated in the associated *measObject* using available idle periods or using autonomous gaps as necessary;
  - 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
    - 2> if the *purpose* for the associated *reportConfig* is set to *reportCGI*:
      - 3> if the RAT indicated in the associated *measObject* is not NR:
        - 4> if *si-RequestForHO* is configured for the associated *reportConfig*:
          - 5> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using autonomous gaps as necessary;
        - 4> else:
          - 5> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods or using autonomous gaps as necessary;
      - 3> else:
        - 4> perform the corresponding measurements on the NR frequency indicated in the associated *measObject* using available idle periods;

NOTE 1: If autonomous gaps are used to perform measurements, the UE is allowed to temporarily abort communication with all serving cell(s), i.e. create autonomous gaps to perform the corresponding measurements within the limits specified in TS 36.133 [16]. Otherwise, the UE only supports the measurements with the purpose set to *reportCGI* only if E-UTRAN has provided sufficient idle periods.

- 3> try to acquire the global cell identity of the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* by acquiring the relevant system information from the concerned cell;
- 3> if an entry in the *cellAccessRelatedInfoList* includes the selected PLMN, acquire the relevant system information from the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is an E-UTRAN cell:
  - 4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;
  - 4> try to acquire the *trackingAreaCode* in the concerned cell;
  - 4> try to acquire the list of additional PLMN Identities, as included in the *plmn-IdentityList*, if multiple PLMN identities are broadcast in the concerned cell;
  - 4> if *cellAccessRelatedInfoList* is included, use *trackingAreaCode* and *plmn-IdentityList* from the entry of *cellAccessRelatedInfoList* containing the selected PLMN;
  - 4> if the *includeMultiBandInfo* is configured:
    - 5> try to acquire the *freqBandIndicator* in the *SystemInformationBlockType1* of the concerned cell;
    - 5> try to acquire the list of additional frequency band indicators, as included in the *multiBandInfoList*, if multiple frequency band indicators are included in the *SystemInformationBlockType1* of the concerned cell;
    - 5> try to acquire the *freqBandIndicatorPriority*, if the *freqBandIndicatorPriority* is included in the *SystemInformationBlockType1* of the concerned cell;
  - 4> if *cellAccessRelatedInfoList-5GC* is broadcast in the concerned cell and the UE is E-UTRA/5GC capable:
    - 5> try to acquire the *cellAccessRelatedInfoList-5GC*;

NOTE 2: The 'primary' PLMN is part of the global cell identity.

- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a UTRAN cell:
  - 4> try to acquire the LAC, the RAC and the list of additional PLMN Identities, if multiple PLMN identities are broadcast in the concerned cell;
  - 4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a GERAN cell:
  - 4> try to acquire the RAC in the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is *typeHRPD*:
  - 4> try to acquire the Sector ID in the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is *type1XRTT*:
  - 4> try to acquire the BASE ID, SID and NID in the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *MeasObject* is an NR cell:
  - 4> if the indicated cell is broadcasting *SIB1* (see TS 38.213 [88], clause 13):
    - 5> try to acquire the *plmn-IdentityInfoList* including *plmn-IdentityList*, *trackingAreaCode* (if available), *ran-AreaCode* (if available) and *cellIdentity* for each entry of the *plmn-IdentityInfoList*;

- 5> try to acquire the *frequencyBandList*, if multiple frequency bands are broadcasted in the concerned cell;
- 2> if the *ul-DelayConfig* is configured for the associated *reportConfig*:
  - 3> ignore the *measObject*;
  - 3> configure the PDCP layer to perform UL PDCP Packet Delay per QCI measurement;
- 2> else:
  - 3> if a measurement gap configuration is setup; or
  - 3> if the UE does not require measurement gaps to perform the concerned measurements:
    - 4> if *s-Measure* is not configured; or
    - 4> if the UE is not in NE-DC and the PCell RSRP, after layer 3 filtering, is lower than *s-Measure*; or
    - 4> if the UE is in NE-DC and the PSCell RSRP, after layer 3 filtering, is lower than *s-Measure*; or
    - 4> if the associated *measObject* concerns NR; or
    - 4> if *measDS-Config* is configured in the associated *measObject*:
      - 5> if the UE supports CSI-RS based discovery signals measurement; and
      - 5> if the *eventId* in the associated *reportConfig* is set to *eventC1* or *eventC2*, or if *reportStrongestCSI-RSs* is set to *true* in the associated *reportConfig*:
        - 6> perform the corresponding measurements of CSI-RS resources on the frequency indicated in the concerned *measObject*, applying the discovery signals measurement timing configuration in accordance with *measDS-Config* in the concerned *measObject*;
        - 6> if *reportCRS-Meas* is set to *true* in the associated *reportConfig*, perform the corresponding measurements of neighbouring cells on the frequencies indicated in the concerned *measObject* as follows:
          - 7> for neighbouring cells on the primary frequency, apply the time domain measurement resource restriction in accordance with *measSubframePatternConfigNeigh*, if configured in the concerned *measObject*;
          - 7> apply the discovery signals measurement timing configuration in accordance with *measDS-Config* in the concerned *measObject*;
    - 5> else:
      - 6> perform the corresponding measurements of neighbouring cells on the frequencies and RATs indicated in the concerned *measObject* as follows:
        - 7> for neighbouring cells on the primary frequency, apply the time domain measurement resource restriction in accordance with *measSubframePatternConfigNeigh*, if configured in the concerned *measObject*;
        - 7> if the UE supports CRS based discovery signals measurement, apply the discovery signals measurement timing configuration in accordance with *measDS-Config*, if configured in the concerned *measObject*;
  - 4> if the *ue-RxTxTimeDiffPeriodical* is configured in the associated *reportConfig*:
    - 5> perform the UE Rx–Tx time difference measurements on the PCell;
  - 4> if the *reportSSTD-Meas* is set to *true* or *pSCell* in the associated *reportConfig*:
    - 5> perform SSTD measurements between the PCell and the PSCell;
  - 4> if the *reportSFTD-Meas* is set to *pSCell* in the associated *reportConfig*:

- 5> perform SFTD measurements between the PCell and the NR PSCell;
- 4> if the *reportSFTD-Meas* is set to *neighborCells* in the associated *reportConfig*:
  - 5> perform SFTD measurements between the PCell and NR cell(s) on the frequency indicated in the associated *measObject*;
- 4> if the *measRSSI-ReportConfig* is configured in the associated *reportConfig*:
  - 5> perform the RSSI and channel occupancy measurements on the frequency indicated in the associated *measObject*;
- 2> perform the evaluation of reporting criteria as specified in 5.5.4;

The UE capable of CBR measurement when configured to transmit non-P2X related V2X sidelink communication shall:

- 1> if in coverage on the frequency used for V2X sidelink communication transmission as defined in TS 36.304 [4], clause 11.4; or
- 1> if the concerned frequency is included in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration* or in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26*:
  - 2> if the UE is in RRC\_IDLE:
    - 3> if the concerned frequency is the camped frequency:
      - 4> perform CBR measurement on the pools in *v2x-CommTxPoolNormalCommon* and *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21*;
    - 3> else if *v2x-CommTxPoolNormal* or *v2x-CommTxPoolExceptional* is included in *v2x-InterFreqInfoList* for the concerned frequency within *SystemInformationBlockType21* or *SystemInformationBlockType26*:
      - 4> perform CBR measurement on pools in *v2x-CommTxPoolNormal* and *v2x-CommTxPoolExceptional* in *v2x-InterFreqInfoList* for the concerned frequency in *SystemInformationBlockType21* or *SystemInformationBlockType26*;
    - 3> else if the concerned frequency broadcasts *SystemInformationBlockType21*:
      - 4> perform CBR measurement on pools in *v2x-CommTxPoolNormalCommon* and *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21* broadcast on the concerned frequency;
  - 2> if the UE is in RRC\_CONNECTED:
    - 3> if *tx-ResourcePoolToAddList* is included in *VarMeasConfig*:
      - 4> perform CBR measurements on each resource pool indicated in *tx-ResourcePoolToAddList*;
    - 3> if the concerned frequency is the PCell's frequency:
      - 4> perform CBR measurement on the pools in *v2x-CommTxPoolNormalDedicated* or *v2x-SchedulingPool* if included in *RRCConnectionReconfiguration*, *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21* for the concerned frequency and *v2x-CommTxPoolExceptional* if included in *mobilityControlInfoV2X*;
    - 3> else if *v2x-CommTxPoolNormal*, *v2x-SchedulingPool* or *v2x-CommTxPoolExceptional* is included in *v2x-InterFreqInfoList* for the concerned frequency within *RRCConnectionReconfiguration*:
      - 4> perform CBR measurement on pools in *v2x-CommTxPoolNormal*, *v2x-SchedulingPool*, and *v2x-CommTxPoolExceptional* if included in *v2x-InterFreqInfoList* for the concerned frequency in *RRCConnectionReconfiguration*;
    - 3> else if the concerned frequency broadcasts *SystemInformationBlockType21*:
      - 4> perform CBR measurement on pools in *v2x-CommTxPoolNormalCommon* and *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21* for the concerned frequency;

1> else:

- 2> perform CBR measurement on pools in *v2x-CommTxPoolList* in *SL-V2X-Preconfiguration* for the concerned frequency;

The UE capable of sensing measurement, with *commTxResources* set to *scheduled*, shall:

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

- 2> if *measSensing-Config* is configured in the associated *measObject*
- 3> perform the sensing measurement in accordance with TS 36.213 [23] on the pools of *v2x-SchedulingPool* and also indicated in *tx-ResourcePoolToAddList* in the associated *measObject*, using *sensingSubchannelNumber*, *sensingPeriodicity*, *sensingReselectionCounter* and *sensingPriority*.

NOTE 3: The *s-Measure* defines when the UE is required to perform measurements. The UE is however allowed to perform measurements also when the PCell RSRP (or PSCell RSRP, if the UE is in NE-DC) exceeds *s-Measure*, e.g., to measure cells broadcasting a CSG identity following use of the autonomous search function as defined in TS 36.304 [4].

NOTE 4: The UE may not perform the WLAN measurements it is configured with e.g. due to connection to another WLAN based on user preferences as specified in TS 23.402 [75] or due to turning off WLAN.

### 5.5.3.2 Layer 3 filtering

The UE shall:

1> for each measurement quantity that the UE performs measurements according to 5.5.3.1:

NOTE 1: This does not include quantities configured solely for UE Rx-Tx time difference, SSTD measurements and RSSI, channel occupancy measurements, WLAN measurements of Band, Carrier Info, Available Admission Capacity, Backhaul Bandwidth, Channel Utilization, and Station Count, CBR measurement, sensing measurement and UL PDCP Packet Delay per QCI measurement i.e. for those types of measurements the UE ignores the *triggerQuantity* and *reportQuantity*.

- 2> filter the measured result, before using for evaluation of reporting criteria or for measurement reporting, by the following formula:

$$F_n = (1 - a) \cdot F_{n-1} + a \cdot M_n$$

where

$M_n$  is the latest received measurement result from the physical layer;

$F_n$  is the updated filtered measurement result, that is used for evaluation of reporting criteria or for measurement reporting;

$F_{n-1}$  is the old filtered measurement result, where  $F_0$  is set to  $M_1$  when the first measurement result from the physical layer is received; and

except for NR,  $a = 1/2^{(k/4)}$ , where  $k$  is the *filterCoefficient* for the corresponding measurement quantity received by the *quantityConfig*; for NR,  $a = 1/2^{(k_i/4)}$ , where  $k_i$  is the *filterCoefficient* for the corresponding measurement quantity of the  $i$ :th *QuantityConfigNR* in *quantityConfigNRList*, and  $i$  is indicated by *quantityConfigSet* in *MeasObjectNR*;

- 2> adapt the filter such that the time characteristics of the filter are preserved at different input rates, observing that the *filterCoefficient*  $k$  assumes a sample rate equal to 200 ms;

NOTE 2: If  $k$  is set to 0, no layer 3 filtering is applicable.

NOTE 3: The filtering is performed in the same domain as used for evaluation of reporting criteria or for measurement reporting, i.e., logarithmic filtering for logarithmic measurements.

NOTE 4: The filter input rate is implementation dependent, to fulfil the performance requirements set in TS 36.133 [16]. For further details about the physical layer measurements, see TS 36.133 [16].



### 5.5.3.3 Derivation of NR cell quality

The UE shall:

- 1> if the associated *measObject* includes *maxRS-IndexCellQual*; and
- 1> if there are multiple detected NR-SS beams associated to the cell; and
- 1> if *threshRS-Index* is configured and if for more than one of the NR-SS beams the measured result exceeds this threshold:
  - 2> consider the cell quality to be the linear average of the power values of the, up to *maxRS-IndexCellQual*, best of the detected NR-SS beams exceeding *threshRS-Index*;
- 1> else:
  - 2> consider the cell quality to be the measurement result of the detected NR-SS beam, associated to the cell, with the highest measurement result;

### 5.5.3.4 Derivation of NR beam quality

The UE shall:

- 1> consider the beam quality to be the value resulting after layer 3 filtering, as specified in 5.5.3.2, of the measurement results of the concerned beam, where each result is averaged as described in TS 38.215 [89];

## 5.5.4 Measurement report triggering

### 5.5.4.1 General

If security has been activated successfully, the UE shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the corresponding *reportConfig* includes a purpose set to *reportStrongestCellsForSON*:
    - 3> consider any neighbouring cell detected on the associated frequency to be applicable;
  - 2> else if the corresponding *reportConfig* includes a purpose set to *reportCGI*:
    - 3> consider any neighbouring cell detected on the associated frequency/ set of frequencies (GERAN) which has a physical cell identity matching the value of the *cellForWhichToReportCGI* included in the corresponding *measObject* within the *VarMeasConfig* to be applicable;
  - 2> else:
    - 3> if the corresponding *measObject* concerns E-UTRA:
      - 4> if the *ue-RxTxTimeDiffPeriodical* is configured in the corresponding *reportConfig*:
        - 5> consider only the PCell to be applicable;
      - 4> else if the *reportSSTD-Meas* is set to *true* in the corresponding *reportConfig*:
        - 5> consider the PCell to be applicable;
      - 4> else if the *eventA1* or *eventA2* is configured in the corresponding *reportConfig*:
        - 5> consider only the serving cell to be applicable;
      - 4> else if *eventC1* or *eventC2* is configured in the corresponding *reportConfig*; or if *reportStrongestCSI-RSs* is set to *true* in the corresponding *reportConfig*:

- 5> consider a CSI-RS resource on the associated frequency to be applicable when the concerned CSI-RS resource is included in the *measCSI-RS-ToAddModList* defined within the *VarMeasConfig* for this *measId*;
  - 4> else if *measRSSI-ReportConfig* is configured in the corresponding *reportConfig*:
    - 5> consider the resource indicated by the *rmtc-Config* on the associated frequency to be applicable;
  - 4> else:
    - 5> if *useWhiteCellList* is set to *TRUE*:
      - 6> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is included in the *whiteCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;
    - 5> else:
      - 6> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;
    - 5> for events involving a serving cell on one frequency and neighbours on another frequency, consider the serving cell on the other frequency as a neighbouring cell;
  - 4> if the corresponding *reportConfig* includes *alternativeTimeToTrigger* and if the UE supports *alternativeTimeToTrigger*:
    - 5> use the value of *alternativeTimeToTrigger* as the time to trigger instead of the value of *timeToTrigger* in the corresponding *reportConfig* for cells included in the *altTTT-CellsToAddModList* of the corresponding *measObject*;
  - 3> else if the corresponding *measObject* concerns UTRA or CDMA2000:
    - 4> consider a neighbouring cell on the associated frequency to be applicable when the concerned cell is included in the *cellsToAddModList* defined within the *VarMeasConfig* for this *measId* (i.e. the cell is included in the white-list);
- NOTE 0: The UE may also consider a neighbouring cell on the associated UTRA frequency to be applicable when the concerned cell is included in the *csg-allowedReportingCells* within the *VarMeasConfig* for this *measId*, if configured in the corresponding *measObjectUTRA* (i.e. the cell is included in the range of physical cell identities for which reporting is allowed).
- 3> else if the corresponding *measObject* concerns GERAN:
    - 4> consider a neighbouring cell on the associated set of frequencies to be applicable when the concerned cell matches the *ncc-Permitted* defined within the *VarMeasConfig* for this *measId*;
  - 3> else if the corresponding *measObject* concerns WLAN:
    - 4> consider a WLAN on the associated set of frequencies, as indicated by *carrierFreq* or on all WLAN frequencies when *carrierFreq* is not present, to be applicable if the WLAN matches all WLAN identifiers of at least one entry within *wlan-Id-List* for this *measId*;
  - 3> else if the corresponding *measObject* concerns NR:
    - 4> if the *reportSFTD-Meas* is set to *pSCell* in the corresponding *reportConfigInterRAT*:
      - 5> consider the PSCell to be applicable;
    - 4> else if the *reportSFTD-Meas* is set to *neighborCells* in the corresponding *reportConfigInterRAT*:
      - 5> if *cellsForWhichToReportSFTD* is configured in the corresponding *measObjectNR*:
        - 6> consider any neighbouring NR cell on the associated frequency that is included in *cellsForWhichToReportSFTD* to be applicable;

- 5> else:
  - 6> consider up to 3 strongest neighbouring NR cells detected on the associated frequency to be applicable when the concerned cells are not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;
- 4> else:
  - 5> if the *eventB1* or *eventB2* is configured in the corresponding *reportConfig*:
    - 6> consider a serving cell, if any, on the associated NR frequency as neighbouring cell;
  - 5> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;
- 2> if *tx-ResourcePoolToAddList* is configured in the *measObject*, and if the corresponding *reportConfig* includes a purpose set to *sidelink* or includes *eventV1* or *eventV2*:
  - 3> consider the transmission resource pools indicated by the *tx-ResourcePoolToAddList* defined within the *VarMeasConfig* for this *measId* to be applicable;
- 2> if the corresponding *reportConfig* includes a purpose set to *reportLocation*:
  - 3> consider only the PCell to be applicable;
- 2> if the *triggerType* is set to *event*, and if the corresponding *reportConfig* does not include *numberOfTriggeringCells*, and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include a measurement reporting entry for this *measId* (a first cell triggers the event):
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if the UE supports T312 and if *useT312* is set to *true* for this event and if T310 is running:
    - 4> if T312 is not running:
      - 5> start timer T312 with the value configured in the corresponding *measObject*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event*, and if the corresponding *reportConfig* does not include *numberOfTriggeringCells*, and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells not included in the *cellsTriggeredList* for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent cell triggers the event):
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if the UE supports T312 and if *useT312* is set to *true* for this event and if T310 is running:
    - 4> if T312 is not running:
      - 5> start timer T312 with the value configured in the corresponding *measObject*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;

- 2> if the *triggerType* is set to *event* and if the corresponding *reportConfig* includes *numberOfTriggeringCells*, and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*:
  - 3> If the *VarMeasReportList* does not include a measurement reporting entry for this *measId* (a first cell triggers the event):
    - 4> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> If the number of cell(s) in the *cellsTriggeredList* is larger than or equal to *numberOfTriggeringCells*:
    - 4> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> else:
    - 4> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
    - 4> If the number of cell(s) in the *cellsTriggeredList* is larger than or equal to *numberOfTriggeringCells*:
      - 5> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event* and if the leaving condition applicable for this event is fulfilled for one or more of the cells included in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements after layer 3 filtering taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:
  - 3> remove the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if *reportOnLeave* is set to *TRUE* for the corresponding reporting configuration or if *a6-ReportOnLeave* is set to *TRUE* or if *a4-a5-ReportOnLeave* is set to *TRUE* for the corresponding reporting configuration:
    - 4> initiate the measurement reporting procedure, as specified in 5.5.5;
  - 3> if the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:
    - 4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;
    - 4> stop the periodical reporting timer for this *measId*, if running;
- 2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable CSI-RS resources for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include a measurement reporting entry for this *measId* (i.e. a first CSI-RS resource triggers the event):
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> include the concerned CSI-RS resource(s) in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable CSI-RS resources not included in the *csi-RS-TriggeredList* for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (i.e. a subsequent CSI-RS resource triggers the event):

- 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> include the concerned CSI-RS resource(s) in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event* and if the leaving condition applicable for this event is fulfilled for one or more of the CSI-RS resources included in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements after layer 3 filtering taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:
- 3> remove the concerned CSI-RS resource(s) in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if *c1-ReportOnLeave* is set to *TRUE* for the corresponding reporting configuration or if *c2-ReportOnLeave* is set to *TRUE* for the corresponding reporting configuration:
    - 4> initiate the measurement reporting procedure, as specified in 5.5.5;
  - 3> if the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:
    - 4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;
    - 4> stop the periodical reporting timer for this *measId*, if running;
- 2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable transmission resource pools for all measurements taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include an measurement reporting entry for this *measId* (a first transmission resource pool triggers the event):
- 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> include the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable transmission resource pools not included in the *poolsTriggeredList* for all measurements taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent transmission resource pool triggers the event):
- 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> include the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event* and if the leaving condition applicable for this event is fulfilled for one or more applicable transmission resource pools included in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:
- 3> remove the concerned transmission resource pool(s) from the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:
    - 4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;
    - 4> stop the periodical reporting timer for this *measId*, if running;

- 2> if the *triggerType* is set to *event* and if the *eventId* is set to *eventH1* or *eventH2* and if the entering condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled during *timeToTrigger* defined within the *VarMeasConfig* for this event:
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if *measRSSI-ReportConfig* is included and if a (first) measurement result is available:
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> initiate the measurement reporting procedure as specified in 5.5.5 immediately when RSSI sample values are reported by the physical layer after the first L1 measurement duration;
- 2> else if the *purpose* is included and set to *reportStrongestCells*, *reportStrongestCellsForSON*, *reportLocation*, *sidelink* or *sensing* and if a (first) measurement result is available:
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> if the *purpose* is set to *reportStrongestCells* and *reportStrongestCSI-RSs* is set to *FALSE*:
    - 4> if the *triggerType* is set to *periodical* and the corresponding *reportConfig* includes the *ul-DelayConfig*:
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after a first measurement result is provided by lower layers;
    - 4> else if the corresponding measurement object concerns WLAN:
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the PCell and for the applicable WLAN(s);
    - 4> else if the *reportAmount* exceeds 1:
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the PCell;
    - 4> else (i.e. the *reportAmount* is equal to 1):
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the PCell and for the strongest cell among the applicable cells, or becomes available for the pair of PCell and the PSCell in case of SSTD measurements, or becomes available for each requested pair of PCell and NR cell or the maximal measurement reporting delay as specified in TS 36.133 [16], clause 8.17.2.3 in case of SFTD measurements;
  - 3> if the *purpose* is set to *reportLocation*, *sidelink* or *sensing*:
    - 4> if the *purpose* is set to *reportLocation*:
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after both the quantity to be reported for the PCell and the location information become available;
    - 4> else if the *purpose* is set to *sidelink*:
      - 5> initiate the measurement reporting procedure as specified in 5.5.5 immediately after both the quantity to be reported for the PCell and the CBR measurement result become available;
    - 4> else if the *purpose* is set to *sensing*:

- 5> initiate the measurement reporting procedure as specified in 5.5.5 immediately after both the quantity to be reported for the PCell and the sensing measurement result become available;
  - 3> else if the *purpose* is not set to *reportStrongestCells* or *reportStrongestCSI-RSs* is set to *true*:
    - 4> initiate the measurement reporting procedure, as specified in 5.5.5, when it has determined the strongest cells on the associated frequency;
  - 2> upon expiry of the periodical reporting timer for this *measId*:
    - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
  - 2> if the *purpose* is included and set to *reportCGI*:
    - 3> if the UE acquired the information needed to set all fields of *cgi-Info* for the requested cell; or
    - 3> if the UE detects that the requested NR cell is not transmitting *SIB1*:
      - 4> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
      - 4> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
      - 4> stop timer T321;
      - 4> initiate the measurement reporting procedure, as specified in 5.5.5;
  - 2> upon expiry of the T321 for this *measId*:
    - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
    - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
    - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- NOTE 2: The UE does not stop the periodical reporting with *triggerType* set to *event* or to *periodical* while the corresponding measurement is not performed due to the PCell RSRP (or PSCell RSRP, if the UE is in NE-DC) being equal to or better than *s-Measure* or due to the measurement gap not being setup.
- NOTE 3: If the UE is configured with DRX, the UE may delay the measurement reporting for event triggered and periodical triggered measurements until the Active Time, which is defined in TS 36.321 [6].

#### 5.5.4.2 Event A1 (Serving becomes better than threshold)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A1-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A1-2, as specified below, is fulfilled;
- 1> for this measurement, consider the primary or secondary cell that is configured on the frequency indicated in the associated *measObjectEUTRA* to be the serving cell;

Inequality A1-1 (Entering condition)

$$Ms - Hys > Thresh$$

Inequality A1-2 (Leaving condition)

$$Ms + Hys < Thresh$$

The variables in the formula are defined as follows:

***Ms*** is the measurement result of the serving cell, not taking into account any offsets.

***Hys*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

**Thresh** is the threshold parameter for this event (i.e. *a1-Threshold* as defined within *reportConfigEUTRA* for this event).

**Ms** is expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

**Hys** is expressed in dB.

**Thresh** is expressed in the same unit as **Ms**.

#### 5.5.4.3 Event A2 (Serving becomes worse than threshold)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A2-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A2-2, as specified below, is fulfilled;
- 1> for this measurement, consider the primary or secondary cell that is configured on the frequency indicated in the associated *measObjectEUTRA* to be the serving cell;

Inequality A2-1 (Entering condition)

$$Ms + Hys < Thresh$$

Inequality A2-2 (Leaving condition)

$$Ms - Hys > Thresh$$

The variables in the formula are defined as follows:

**Ms** is the measurement result of the serving cell, not taking into account any offsets.

**Hys** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

**Thresh** is the threshold parameter for this event (i.e. *a2-Threshold* as defined within *reportConfigEUTRA* for this event).

**Ms** is expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

**Hys** is expressed in dB.

**Thresh** is expressed in the same unit as **Ms**.

#### 5.5.4.4 Event A3 (Neighbour becomes offset better than PCell/ PSCell)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A3-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A3-2, as specified below, is fulfilled;
- 1> if *usePSCell* of the corresponding *reportConfig* is set to *true*:
  - 2> use the PSCell for *Mp*, *Ofp* and *Ocp*;
- 1> else:
  - 2> use the PCell for *Mp*, *Ofp* and *Ocp*;

NOTE The cell(s) that triggers the event is on the frequency indicated in the associated *measObject* which may be different from the frequency used by the PCell/ PSCell.

Inequality A3-1 (Entering condition)

$$Mn + Ofn + Ocn - Hys > Mp + Ofp + Ocp + Off$$

Inequality A3-2 (Leaving condition)



$$Mn + Ofn + Ocn + Hys < Mp + Ofp + Ocp + Off$$

The variables in the formula are defined as follows:

**Mn** is the measurement result of the neighbouring cell, not taking into account any offsets.

**Ofn** is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

**Ocn** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

**Mp** is the measurement result of the PCell/ PSCell, not taking into account any offsets.

**Ofp** is the frequency specific offset of the frequency of the PCell/ PSCell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the PCell/ PSCell).

**Ocp** is the cell specific offset of the PCell/ PSCell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the PCell/ PSCell), and is set to zero if not configured for the PCell/ PSCell.

**Hys** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

**Off** is the offset parameter for this event (i.e. *a3-Offset* as defined within *reportConfigEUTRA* for this event).

**Mn, Mp** are expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

**Ofn, Ocn, Ofp, Ocp, Hys, Off** are expressed in dB.

#### 5.5.4.5 Event A4 (Neighbour becomes better than threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition A4-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition A4-2, as specified below, is fulfilled;

Inequality A4-1 (Entering condition)

$$Mn + Ofn + Ocn - Hys > Thresh$$

Inequality A4-2 (Leaving condition)

$$Mn + Ofn + Ocn + Hys < Thresh$$

The variables in the formula are defined as follows:

**Mn** is the measurement result of the neighbouring cell, not taking into account any offsets.

**Ofn** is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

**Ocn** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

**Hys** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

**Thresh** is the threshold parameter for this event (i.e. *a4-Threshold* as defined within *reportConfigEUTRA* for this event).

**Mn** is expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

**Ofn, Ocn, Hys** are expressed in dB.

**Thresh** is expressed in the same unit as **Mn**.

### 5.5.4.6 Event A5 (PCell/ PSCell becomes worse than threshold1 and neighbour becomes better than threshold2)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when both condition A5-1 and condition A5-2, as specified below, are fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A5-3 or condition A5-4, i.e. at least one of the two, as specified below, is fulfilled;
- 1> if *usePSCell* of the corresponding *reportConfig* is set to *true*:
  - 2> use the PSCell for *Mp*;
- 1> else:
  - 2> use the PCell for *Mp*;

NOTE: The cell(s) that triggers the event is on the frequency indicated in the associated *measObject* which may be different from the frequency used by the PCell/ PSCell.

Inequality A5-1 (Entering condition 1)

$$M_p + H_{ys} < Thresh1$$

Inequality A5-2 (Entering condition 2)

$$M_n + O_{fn} + O_{cn} - H_{ys} > Thresh2$$

Inequality A5-3 (Leaving condition 1)

$$M_p - H_{ys} > Thresh1$$

Inequality A5-4 (Leaving condition 2)

$$M_n + O_{fn} + O_{cn} + H_{ys} < Thresh2$$

The variables in the formula are defined as follows:

***M<sub>p</sub>*** is the measurement result of the PCell/ PSCell, not taking into account any offsets.

***M<sub>n</sub>*** is the measurement result of the neighbouring cell, not taking into account any offsets.

***O<sub>fn</sub>*** is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

***O<sub>cn</sub>*** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

***H<sub>ys</sub>*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

***Thresh1*** is the threshold parameter for this event (i.e. *a5-Threshold1* as defined within *reportConfigEUTRA* for this event).

***Thresh2*** is the threshold parameter for this event (i.e. *a5-Threshold2* as defined within *reportConfigEUTRA* for this event).

***M<sub>n</sub>*, *M<sub>p</sub>*** are expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

***O<sub>fn</sub>*, *O<sub>cn</sub>*, *H<sub>ys</sub>*** are expressed in dB.

***Thresh1*** is expressed in the same unit as ***M<sub>p</sub>***.

***Thresh2*** is expressed in the same unit as ***M<sub>n</sub>***.

### 5.5.4.6a Event A6 (Neighbour becomes offset better than SCell)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A6-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A6-2, as specified below, is fulfilled;
- 1> for this measurement, consider the (secondary) cell that is configured on the frequency indicated in the associated *measObjectEUTRA* to be the serving cell;

NOTE: The neighbour(s) is on the same frequency as the SCell i.e. both are on the frequency indicated in the associated *measObject*.

Inequality A6-1 (Entering condition)

$$Mn + Ocn - Hys > Ms + Ocs + Off$$

Inequality A6-2 (Leaving condition)

$$Mn + Ocn + Hys < Ms + Ocs + Off$$

The variables in the formula are defined as follows:

***Mn*** is the measurement result of the neighbouring cell, not taking into account any offsets.

***Ocn*** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

***Ms*** is the measurement result of the serving cell, not taking into account any offsets.

***Ocs*** is the cell specific offset of the serving cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the serving frequency), and is set to zero if not configured for the serving cell.

***Hys*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

***Off*** is the offset parameter for this event (i.e. *a6-Offset* as defined within *reportConfigEUTRA* for this event).

***Mn***, ***Ms*** are expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

***Ocn***, ***Ocs***, ***Hys***, ***Off*** are expressed in dB.

### 5.5.4.7 Event B1 (Inter RAT neighbour becomes better than threshold)

The UE shall:

- 1> for UTRA and CDMA2000, only trigger the event for cells included in the corresponding measurement object;
- 1> consider the entering condition for this event to be satisfied when condition B1-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition B1-2, as specified below, is fulfilled;

Inequality B1-1 (Entering condition)

$$Mn + Ofn - Hys > Thresh$$

Inequality B1-2 (Leaving condition)

$$Mn + Ofn + Hys < Thresh$$

The variables in the formula are defined as follows:

***Mn*** is the measurement result of the inter-RAT neighbour cell, not taking into account any offsets. For CDMA 2000 measurement result, *pilotStrength* is divided by -2.

***Ofn*** is the frequency specific offset of the frequency of the inter-RAT neighbour cell (i.e. *offsetFreq* as defined within the *measObject* corresponding to the frequency of the neighbour inter-RAT cell).

**Hys** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigInterRAT* for this event).

**Thresh** is the threshold parameter for this event (i.e. *b1-Threshold* as defined within *reportConfigInterRAT* for this event). For CDMA2000, *b1-Threshold* is divided by -2.

**Mn** is expressed in dBm or in dB, depending on the measurement quantity of the inter-RAT neighbour cell.

**Ofn, Hys** are expressed in dB.

**Thresh** is expressed in the same unit as **Mn**.

#### 5.5.4.8 Event B2 (PCell becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2)

The UE shall:

- 1> for UTRA and CDMA2000, only trigger the event for cells included in the corresponding measurement object;
- 1> consider the entering condition for this event to be satisfied when both condition B2-1 and condition B2-2, as specified below, are fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition B2-3 or condition B2-4, i.e. at least one of the two, as specified below, is fulfilled;

Inequality B2-1 (Entering condition 1)

$$M_p + H_{ys} < Thresh_1$$

Inequality B2-2 (Entering condition 2)

$$M_n + Of_n - H_{ys} > Thresh_2$$

Inequality B2-3 (Leaving condition 1)

$$M_p - H_{ys} > Thresh_1$$

Inequality B2-4 (Leaving condition 2)

$$M_n + Of_n + H_{ys} < Thresh_2$$

The variables in the formula are defined as follows:

**Mp** is the measurement result of the PCell, not taking into account any offsets.

**Mn** is the measurement result of the inter-RAT neighbour cell, not taking into account any offsets. For CDMA2000 measurement result, *pilotStrength* is divided by -2.

**Ofn** is the frequency specific offset of the frequency of the inter-RAT neighbour cell (i.e. *offsetFreq* as defined within the *measObject* corresponding to the frequency of the inter-RAT neighbour cell).

**Hys** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigInterRAT* for this event).

**Thresh1** is the threshold parameter for this event (i.e. *b2-Threshold1* as defined within *reportConfigInterRAT* for this event).

**Thresh2** is the threshold parameter for this event (i.e. *b2-Threshold2* as defined within *reportConfigInterRAT* for this event). For CDMA2000, *b2-Threshold2* is divided by -2.

**Mp** is expressed in dBm in case of RSRP, or in dB in case of RSRQ.

**Mn** is expressed in dBm or dB, depending on the measurement quantity of the inter-RAT neighbour cell.

**Ofn, Hys** are expressed in dB.

**Thresh1** is expressed in the same unit as **Mp**.

**Thresh2** is expressed in the same unit as **Mn**.

#### 5.5.4.9 Event C1 (CSI-RS resource becomes better than threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition C1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition C1-2, as specified below, is fulfilled;

Inequality C1-1 (Entering condition)

$$Mcr + Ocr - Hys > Thresh$$

Inequality C1-2 (Leaving condition)

$$Mcr + Ocr + Hys < Thresh$$

The variables in the formula are defined as follows:

**Mcr** is the measurement result of the CSI-RS resource, not taking into account any offsets.

**Ocr** is the CSI-RS specific offset (i.e. *csi-RS-IndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the CSI-RS resource), and set to zero if not configured for the CSI-RS resource.

**Hys** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

**Thresh** is the threshold parameter for this event (i.e. *c1-Threshold* as defined within *reportConfigEUTRA* for this event).

**Mcr**, **Thresh** are expressed in dBm.

**Ocr**, **Hys** are expressed in dB.

#### 5.5.4.10 Event C2 (CSI-RS resource becomes offset better than reference CSI-RS resource)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition C2-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition C2-2, as specified below, is fulfilled;

NOTE: The CSI-RS resource(s) that triggers the event is on the same frequency as the reference CSI-RS resource, i.e. both are on the frequency indicated in the associated *measObject*.

Inequality C2-1 (Entering condition)

$$Mcr + Ocr - Hys > Mref + Oref + Off$$

Inequality C2-2 (Leaving condition)

$$Mcr + Ocr + Hys < Mref + Oref + Off$$

The variables in the formula are defined as follows:

**Mcr** is the measurement result of the CSI-RS resource, not taking into account any offsets.

**Ocr** is the CSI-RS specific offset of the CSI-RS resource (i.e. *csi-RS-IndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the CSI-RS resource), and set to zero if not configured for the CSI-RS resource.

**Mref** is the measurement result of the reference CSI-RS resource (i.e. *c2-RefCSI-RS* as defined within *reportConfigEUTRA* for this event), not taking into account any offsets.

**Oref** is the CSI-RS specific offset of the reference CSI-RS resource (i.e. *csi-RS-IndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the reference CSI-RS resource), and is set to zero if not configured for the reference CSI-RS resource.

**Hys** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

**Off** is the offset parameter for this event (i.e. *c2-Offset* as defined within *reportConfigEUTRA* for this event).

**Mcr**, **Mref** are expressed in dBm.

**Ocr**, **Oref**, **Hys**, **Off** are expressed in dB.

#### 5.5.4.11 Event W1 (WLAN becomes better than a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when *wlan-MobilitySet* within *VarWLAN-MobilityConfig* does not contain any entries and condition W1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition W1-2, as specified below, is fulfilled;

Inequality W1-1 (Entering condition)

$$Mn - Hys > Thresh$$

Inequality W1-2 (Leaving condition)

$$Mn + Hys < Thresh$$

The variables in the formula are defined as follows:

**Mn** is the measurement result of WLAN(s) configured in the measurement object, not taking into account any offsets.

**Hys** is the hysteresis parameter for this event.

**Thresh** is the threshold parameter for this event (i.e. *w1-Threshold* as defined within *reportConfigInterRAT* for this event).

**Mn** is expressed in dBm.

**Hys** is expressed in dB.

**Thresh** is expressed in the same unit as **Mn**.

#### 5.5.4.12 Event W2 (All WLAN inside WLAN mobility set becomes worse than threshold1 and a WLAN outside WLAN mobility set becomes better than threshold2)

The UE shall:

1> consider the entering condition for this event to be satisfied when both conditions W2-1 and W2-2 as specified below are fulfilled;

1> consider the leaving condition for this event to be satisfied when condition W2-3 or condition W2-4, i.e. at least one of the two, as specified below is fulfilled;

Inequality W2-1 (Entering condition 1)

$$Ms + Hys < Thresh1$$

Inequality W2-2 (Entering condition 2)

$$Mn - Hys > Thresh2$$

Inequality W2-3 (Leaving condition 1)

$$Ms - Hys > Thresh1$$

Inequality W2-4 (Leaving condition 2)

$$Mn + Hys < Thresh2$$

The variables in the formula are defined as follows:

***Ms*** is the measurement result of WLAN(s) which matches all WLAN identifiers of at least one entry within *wlan-MobilitySet* in *VarWLAN-MobilityConfig*, not taking into account any offsets.

***Mn*** is the measurement result of WLAN(s) configured in the measurement object which does not match all WLAN identifiers of any entry within *wlan-MobilitySet* in *VarWLAN-MobilityConfig*, not taking into account any offsets.

***Hys*** is the hysteresis parameter for this event.

***Thresh1*** is the threshold parameter for this event (i.e. *w2-Threshold1* as defined within *reportConfigInterRAT* for this event).

***Thresh2*** is the threshold parameter for this event (i.e. *w2-Threshold2* as defined within *reportConfigInterRAT* for this event).

***Mn***, ***Ms*** are expressed in dBm.

***Hys*** is expressed in dB.

***Thresh1*** is expressed in the same unit as ***Ms***.

***Thresh2*** is expressed in the same unit as ***Mn***.

#### 5.5.4.13 Event W3 (All WLAN inside WLAN mobility set becomes worse than a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition W3-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition W3-2, as specified below, is fulfilled;

Inequality W3-1 (Entering condition)

$$Ms + Hys < Thresh$$

Inequality W3-2 (Leaving condition)

$$Ms - Hys > Thresh$$

The variables in the formula are defined as follows:

***Ms*** is the measurement result of WLAN(s) which matches all WLAN identifiers of at least one entry within *wlan-MobilitySet* in *VarWLAN-MobilityConfig*, not taking into account any offsets.

***Hys*** is the hysteresis parameter for this event.

***Thresh*** is the threshold parameter for this event (i.e. *w3-Threshold* as defined within *reportConfigInterRAT* for this event).

***Ms*** is expressed in dBm.

***Hys*** is expressed in dB.

***Thresh*** is expressed in the same unit as ***Ms***.

#### 5.5.4.14 Event V1 (The channel busy ratio is above a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition V1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition V1-2, as specified below, is fulfilled;

Inequality V1-1 (Entering condition)

$$Ms - Hys > Thresh$$

Inequality V1-2 (Leaving condition)

$$Ms + Hys < Thresh$$

The variables in the formula are defined as follows:

***Ms*** is the measurement result of channel busy ratio of the transmission resource pool, not taking into account any offsets.

***Hys*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

***Thresh*** is the threshold parameter for this event (i.e. *v1-Threshold* as defined within *ReportConfigEUTRA*).

***Ms*** is expressed in decimal from 0 to 1 in steps of 0.01.

***Hys*** is expressed is in the same unit as ***Ms***.

***Thresh*** is expressed in the same unit as ***Ms***.

#### 5.5.4.15 Event V2 (The channel busy ratio is below a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition V2-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition V2-2, as specified below, is fulfilled;

Inequality V2-1 (Entering condition)

$$Ms + Hys < Thresh$$

Inequality V2-2 (Leaving condition)

$$Ms - Hys > Thresh$$

The variables in the formula are defined as follows:

***Ms*** is the measurement result of channel busy ratio of the transmission resource pool, not taking into account any offsets.

***Hys*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

***Thresh*** is the threshold parameter for this event (i.e. *v2-Threshold* as defined within *ReportConfigEUTRA*).

***Ms*** is expressed in decimal from 0 to 1 in steps of 0.01.

***Hys*** is expressed is in the same unit as ***Ms***.

***Thresh*** is expressed in the same unit as ***Ms***.

#### 5.5.4.16 Event H1 (The Aerial UE height is above a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition H1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition H1-2, as specified below, is fulfilled;

Inequality H1-1 (Entering condition)



$$Ms - Hys > Thresh + Offset$$

Inequality H1-2 (Leaving condition)

$$Ms + Hys < Thresh + Offset$$

The variables in the formula are defined as follows:

***Ms*** is the Aerial UE height, not taking into account any offsets.

***Hys*** is the hysteresis parameter (i.e. *h1-Hysteresis* as defined within *ReportConfigEUTRA*) for this event.

***Thresh*** is the reference threshold parameter for this event given in *MeasConfig*(i.e. *heightThreshRef* as defined within *MeasConfig*).

***Offset*** is the offset value to *heightThreshRef* to obtain the absolute threshold for this event. (i.e. *h1-ThresholdOffset* as defined within *ReportConfigEUTRA*)

***Ms*** is expressed in meters.

***Thresh*** is expressed in the same unit as ***Ms***.

#### 5.5.4.17 Event H2 (The Aerial UE height is below a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition H2-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition H2-2, as specified below, is fulfilled;

Inequality H2-1 (Entering condition)

$$Ms + Hys < Thresh + Offset$$

Inequality H2-2 (Leaving condition)

$$Ms - Hys > Thresh + Offset$$

The variables in the formula are defined as follows:

***Ms*** is the Aerial UE height, not taking into account any offsets.

***Hys*** is the hysteresis parameter (i.e. *h2-Hysteresis* as defined within *ReportConfigEUTRA*) for this event.

***Thresh*** is the reference threshold parameter for this event given in *MeasConfig*(i.e. *heightThreshRef* as defined within *MeasConfig*).

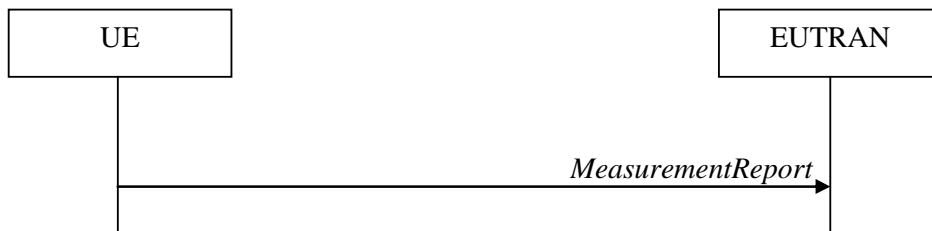
***Offset*** is the offset value to *heightThreshRef* to obtain the absolute threshold for this event. (i.e. *h2-ThresholdOffset* as defined within *ReportConfigEUTRA*)

***Ms*** is expressed in meters.

***Thresh*** is expressed in the same unit as ***Ms***.

## 5.5.5 Measurement reporting

### 5.5.5.1 General



**Figure 5.5.5.1-1: Measurement reporting**

The purpose of this procedure is to transfer measurement results from the UE to E-UTRAN. The UE shall initiate this procedure only after successful security activation.

For the *measId* for which the measurement reporting procedure was triggered, the UE shall set the *measResults* within the *MeasurementReport* message as follows:

- 1> set the *measId* to the measurement identity that triggered the measurement reporting;
- 1> set the *measResultPCell* to include the quantities of the PCell;
- 1> set the *measResultServFreqList* to include for each E-UTRA SCell that is configured, if any, within *measResultSCell* the quantities of the concerned SCell, if available according to performance requirements in TS 36.133 [16], except if *purpose* for the *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *reportLocation*;
- 1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:
  - 2> for each E-UTRA serving frequency for which *measObjectId* is referenced in the *measIdList*, other than the frequency corresponding with the *measId* that triggered the measurement reporting:
    - 3> set the *measResultServFreqList* to include within *measResultBestNeighCell* the *physCellId* and the quantities of the best non-serving cell, based on RSRP, on the concerned serving frequency;
- 1> if the *triggerType* is set to *event*; and if the corresponding *measObject* concerns NR; and if *eventId* is set to *eventB1-NR* or *eventB2-NR*; or
- 1> if the *triggerType* is set to *event*; and if *eventId* is set to *eventA3* or *eventA4* or *eventA5*:
  - 2> if (NG)EN-DC is configured, and if *purpose* for the *reportConfig* or *reportConfigInterRAT* associated with the *measId* that triggered the measurement reporting is set to a value other than *reportLocation* or if *purpose* is not configured:
    - 3> set the *measResultServFreqListNR* to include for each NR serving frequency that the UE is configured to measure according to TS 38.331 [82], if any, the following:
      - 4> set *measResultSCell* to include the available results of the NR serving cell, as specified in 5.5.5.2;
      - 4> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas* and if *eventId* is set to *eventA3* or *eventA4* or *eventA5*:
        - 5> set *measResultBestNeighCell* to include the available results, as specified in 5.5.5.2, of the non-serving cell with the highest sorting quantity determined as specified in 5.5.5.3;
    - 3> for each (serving or neighbouring) cell for which the UE reports results according to the previous, additionally include available beam results according to the following:
      - 4> if *maxReportRS-Index* is configured, set *measResultRS-IndexList* to include available results, as specified in 5.5.5.2, of up to *maxReportRS-Index* beams, ordered based on the quantity determined as specified in 5.5.5.3;

- 1> if there is at least one applicable neighbouring cell to report:
  - 2> set the *measResultNeighCells* to include the best neighbouring cells up to *maxReportCells* in accordance with the following:
    - 3> if the *triggerType* is set to *event*:
      - 4> include the cells included in the *cellsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;
    - 3> else:
      - 4> include the applicable cells for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;

NOTE 1: The reliability of the report (i.e. the certainty it contains the strongest cells on the concerned frequency) depends on the measurement configuration i.e. the *reportInterval*. The related performance requirements are specified in TS 36.133 [16].

- 3> for each cell that is included in the *measResultNeighCells*, include the *physCellId*;
- 3> if the *triggerType* is set to *event*; or the *purpose* is set to *reportStrongestCells* or to *reportStrongestCellsForSON*:
  - 4> for each included cell, include the layer 3 filtered measured results in accordance with the *reportConfig* for this *measId*, ordered as follows:
    - 5> if the *measObject* associated with this *measId* concerns E-UTRA:
      - 6> set the *measResult* to include the quantity(ies) indicated in the *reportQuantity* within the concerned *reportConfig*;
      - 6> sort the included cells in order of decreasing *triggerQuantity*, i.e. the best cell is included first;
    - 5> if the *measObject* associated with this *measId* concerns NR:
      - 6> set the *measResultCell* to include the quantity(ies) indicated in the *reportQuantityCellNR* within the concerned *reportConfig*;
      - 6> if *maxReportRS-Index* and *reportQuantityRS-IndexNR* are configured, set *measResultRS-IndexList* to include the result of the best beam if *threshRS-Index* is included in the *VarMeasConfig* for the corresponding *measObject*, and the remaining beams whose quantity is above *threshRS-Index*, up to *maxReportRS-Index* beams in total:
        - 7> order beams based on the sorting quantity determined as specified in 5.5.5.3;
        - 7> for each included beam:
          - 8> include *ssbIndex*;
          - 8> if *reportRS-IndexResultsNR* is set to TRUE, for each quantity indicated, include the corresponding measurement result in *measResultSSB-Index* for each *ssb-Index*;
      - 6> sort the included cells in order of decreasing sorting quantity determined as specified in 5.5.5.3;
    - 5> if the *measObject* associated with this *measId* concerns UTRA FDD and if *ReportConfigInterRAT* includes the *reportQuantityUTRA-FDD*:
      - 6> set the *measResult* to include the quantities indicated by the *reportQuantityUTRA-FDD* in order of decreasing *measQuantityUTRA-FDD* within the *quantityConfig*, i.e. the best cell is included first;
    - 5> if the *measObject* associated with this *measId* concerns UTRA FDD and if *ReportConfigInterRAT* does not include the *reportQuantityUTRA-FDD*; or
    - 5> if the *measObject* associated with this *measId* concerns UTRA TDD, GERAN or CDMA2000:

- 6> set the *measResult* to the quantity as configured for the concerned RAT within the *quantityConfig* in order of either decreasing quantity for UTRA and GERAN or increasing quantity for CDMA2000 *pilotStrength*, i.e. the best cell is included first;
- 3> else if the *purpose* is set to *reportCGI* and the corresponding *measObject* concerns a RAT other than NR:
  - 4> if the mandatory present fields of the *cgi-Info* for the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* have been obtained:
    - 5> if the *includeMultiBandInfo* is configured:
      - 6> include the *freqBandIndicator*;
      - 6> if the cell broadcasts the *multiBandInfoList*, include the *multiBandInfoList*;
      - 6> if the cell broadcasts the *freqBandIndicatorPriority*, include the *freqBandIndicatorPriority*;
    - 5> if the cell broadcasts a CSG identity:
      - 6> include the *csg-Identity*;
      - 6> include the *csg-MemberStatus* and set it to *member* if the cell is a CSG member cell;
    - 5> if the *si-RequestForHO* is configured within the *reportConfig* associated with this *measId*:
      - 6> include the *cgi-Info* containing all the fields other than the *plmn-IdentityList* that have been successfully acquired;
      - 6> include, within the *cgi-Info*, the field *plmn-IdentityList* in accordance with the following:
        - 7> if the cell is a CSG member cell, determine the subset of the PLMN identities, starting from the second entry of PLMN identities in the broadcast information, that meet the following conditions:
          - a) equal to the RPLMN or an EPLMN; and
          - b) the CSG whitelist of the UE includes an entry comprising of the concerned PLMN identity and the CSG identity broadcast by the cell;
        - 7> if the subset of PLMN identities determined according to the previous includes at least one PLMN identity, include the *plmn-IdentityList* and set it to include this subset of the PLMN identities;
        - 7> if the cell is a CSG member cell, include the *primaryPLMN-Suitable* if the primary PLMN meets conditions a) and b) specified above;
        - 7> if the cell does not broadcast *csg-Identity* and the UE is capable of reporting the *plmn-IdentityList* from cells not broadcasting *csg-Identity*:
          - 8> include in the *plmn-IdentityList* the list of identities starting from the second entry of PLMN identities in the broadcast information;
    - 5> else:
      - 6> include the *cgi-Info* containing all the fields that have been successfully acquired and in accordance with the following:
        - 7> include in the *plmn-IdentityList* the list of identities starting from the second entry of PLMN Identities in the broadcast information;
  - 4> if the *cellAccessRelatedInfoList-5GC* has been acquired:
    - 5> include *cgi-Info-5GC*;

NOTE 1a: The UE may include the *cgi-Info-5GC* even when the N1 mode is disabled.

- 3> else if the *purpose* is set to *reportCGI* and the corresponding *measObject* concerns NR RAT:

- 4> if the Cell information of *cgi-Info* for the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* has been obtained:
    - 5> include *plmn-IdentityInfoList* including *plmn-IdentityList*, *trackingAreaCode* (if available), *ran-AreaCode* (if available) and *cellIdentity* for each entry of the *plmn-IdentityInfoList*;
    - 5> include *frequencyBandList* if broadcasted;
  - 4> else if MIB associated with the concerned *measObject* indicates that SIB1 is not broadcast:
    - 5> include the *noSIB1* field;
  - 1> for the cells included according to the previous (i.e. covering the PCell, the SCells, the best non-serving cells on serving frequencies as well as neighbouring EUTRA cells) include results according to the extended RSRQ if corresponding results are available according to the associated performance requirements defined in TS 36.133 [16];
  - 1> if there is at least one applicable CSI-RS resource to report:
    - 2> set the *measResultCSI-RS-List* to include the best CSI-RS resources up to *maxReportCells* in accordance with the following:
      - 3> if the *triggerType* is set to *event*:
        - 4> include the CSI-RS resources included in the *csi-RS-TriggeredList* as defined within the *VarMeasReportList* for this *measId*;
      - 3> else:
        - 4> include the applicable CSI-RS resources for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;
- NOTE 2: The reliability of the report (i.e. the certainty it contains the strongest CSI-RS resources on the concerned frequency) depends on the measurement configuration i.e. the *reportInterval*. The related performance requirements are specified in TS 36.133 [16].
- 3> for each CSI-RS resource that is included in the *measResultCSI-RS-List*:
    - 4> include the *measCSI-RS-Id*;
    - 4> include the layer 3 filtered measured results in accordance with the *reportConfig* for this *measId*, ordered as follow:
      - 5> set the *csi-RSRP-Result* to include the quantity indicated in the *reportQuantity* within the concerned *reportConfig* in order of decreasing *triggerQuantityCSI-RS*, i.e. the best CSI-RS resource is included first;
    - 4> if *reportCRS-Meas* is set to *true* within the associated *reportConfig*, and the cell indicated by *physCellId* of this CSI-RS resource is not a serving cell:
      - 5> set the *measResultNeighCells* to include the cell indicated by *physCellId* of this CSI-RS resource, and include the *physCellId*;
      - 5> set the *rsrpResult* to include the RSRP of the concerned cell, if available according to performance requirements in TS 36.133 [16];
      - 5> set the *rsrqResult* to include the RSRQ of the concerned cell, if available according to performance requirements in TS 36.133 [16];
  - 1> if the *ue-RxTxTimeDiffPeriodical* is configured within the corresponding *reportConfig* for this *measId*:
    - 2> set the *ue-RxTxTimeDiffResult* to the measurement result provided by lower layers;
    - 2> set the *currentSFN*;
  - 1> if the *measRSSI-ReportConfig* is configured within the corresponding *reportConfig* for this *measId*:

- 2> set the *rsi-Result* to the average of sample value(s) provided by lower layers in the *reportInterval*;
- 2> set the *channelOccupancy* to the rounded percentage of sample values which are beyond to the *channelOccupancyThreshold* within all the sample values in the *reportInterval*;
- 1> if uplink PDCP delay results are available:
  - 2> set the *ul-PDCP-DelayResultList* to include the uplink PDCP delay results available;
- 1> if the *includeLocationInfo* is configured in the corresponding *reportConfig* for this *measId* or if *purpose* for the *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *reportLocation*; and detailed location information that has not been reported is available, set the content of the *locationInfo* as follows:
  - 2> include the *locationCoordinates*;
  - 2> if available, include the *gnss-TOD-msec*, except if *purpose* for the *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *reportLocation*;
  - 2> include the *verticalVelocityInfo*, if available;
- 1> if the *includeWLAN-Meas* is configured in the corresponding *reportConfig* for this *measId*, set the *measResults* as follows:
  - 2> if available, include the *logMeasResultListWLAN*, in order of decreasing RSSI for WLAN APs;
- 1> if the *includeBT-Meas* is configured in the corresponding *reportConfig* for this *measId*, set the *measResults* as follows:
  - 2> if available, include the *logMeasResultListBT*, in order of decreasing RSSI for Bluetooth beacons;
- 1> if the *reportSSTD-Meas* is set to *true* or *pSCell* within the corresponding *reportConfig* for this *measId*:
  - 2> set the *measResultSSTD* to the measurement results provided by lower layers;
- 1> if the *reportSFTD-Meas* is set to *neighborCells* or *pSCell* within the corresponding *reportConfigInterRAT* for this *measId*, for each applicable cell for which results are available:
  - 2> set *sn-OffsetResult* and *frameBoundaryOffsetResult* to the measurement results provided by lower layers;
  - 2> if the *ss-rsrp* in the *reportQuantityCellNR* is set to *TRUE* within the corresponding *reportConfigInterRAT* for this *measId*:
    - 3> include *rsrpResult* set to the RSRP of the concerned cell;
- 1> if there is at least one applicable transmission resource pool to report:
  - 2> set the *measResultListCBR* to include the CBR measurement results in accordance with the following:
    - 3> if the *triggerType* is set to *event*:
      - 4> include the transmission resource pools included in the *poolsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;
    - 3> else:
      - 4> include the applicable transmission resource pools for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;
  - 3> for each transmission resource pool to be reported:
    - 4> set the *poolIdentity* to the *poolReportId* of this transmission resource pool;
    - 4> if *adjacencyPSCCH-PSSCH* is set to *TRUE* for this transmission resource pool:
      - 5> set the *cbr-PSSCH* to the CBR measurement result on PSSCH and PSCCH of this transmission resource pool provided by lower layers;

- 4> else:
  - 5> set the *cbr-PSSCH* to the CBR measurement result on PSSCH of this transmission resource pool provided by lower layers if available;
  - 5> set the *cbr-PSCCH* to the CBR measurement result on PSCCH of this transmission resource pool provided by lower layers if available;
- 2> set the *measResultSensing* to include the sensing measurement results in accordance with the following:
  - 3> include the applicable transmission resource pools for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;
  - 3> for each transmission resource pool to be reported:
    - 4> set the *sensingResult* to the sensing measurement results provided by the lower layers;
- 1> if the *triggerType* is set to *event*; and if *eventId* is set to *eventH1* or *eventH2*:
  - 2> set the *heightUE* to include the altitude of the UE;
- 1> increment the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* by 1;
- 1> stop the periodical reporting timer, if running;
- 1> if the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* is less than the *reportAmount* as defined within the corresponding *reportConfig* for this *measId*:
  - 2> start the periodical reporting timer with the value of *reportInterval* as defined within the corresponding *reportConfig* for this *measId*;
- 1> else:
  - 2> if the *triggerType* is set to *periodical*:
    - 3> remove the entry within the *VarMeasReportList* for this *measId*;
    - 3> remove this *measId* from the *measIdList* within *VarMeasConfig*;
- 1> if the measured results are for CDMA2000 HRPD:
  - 2> set the *preRegistrationStatusHRPD* to the UE's CDMA2000 upper layer's HRPD *preRegistrationStatus*;
- 1> if the measured results are for CDMA2000 1xRTT:
  - 2> set the *preRegistrationStatusHRPD* to *FALSE*;
- 1> if the measured results are for WLAN:
  - 2> set the *measResultListWLAN* to include the quantities within the *quantityConfigWLAN* for up to *maxReportCells* WLAN(s), determined according to the following:
    - 3> include WLAN the UE is connected to, if any;
    - 3> if *reportAnyWLAN* is set to *TRUE*:
      - 4> consider WLAN with any WLAN identifiers to be applicable for measurement reporting;
    - 3> else:
      - 4> consider only WLANs which do not match all WLAN identifiers of any entry within *wlan-MobilitySet* in *VarWLAN-MobilityConfig* to be applicable for measurement reporting;
    - 3> include applicable WLAN in order of decreasing WLAN RSSI, i.e. the best WLAN is included first;
  - 2> for each included WLAN:
    - 3> set *wlan-Identifiers* to include all WLAN identifiers that can be acquired for the WLAN measured;

- 3> set *connectedWLAN* to *TRUE* if the UE is connected to the WLAN measured;
- 3> if *reportQuantityWLAN* exists within the *ReportConfigInterRAT* within the *VarMeasConfig* for this *measId*:
  - 4> if *bandRequestWLAN* is set to *TRUE*:
    - 5> set *bandWLAN* to include WLAN band of the WLAN measured;
  - 4> if *carrierInfoRequestWLAN* is set to *TRUE*:
    - 5> set *carrierInfoWLAN* to include WLAN carrier information of the WLAN measured if it can be acquired;
  - 4> if *availableAdmissionCapacityRequestWLAN* is set to *TRUE*:
    - 5> set the *measResult* to include *availableAdmissionCapacityWLAN* if it can be acquired;
  - 4> if *backhaulDL-BandwidthRequestWLAN* is set to *TRUE*:
    - 5> set the *measResult* to include *backhaulDL-BandwidthWLAN* if it can be acquired;
  - 4> if *backhaulUL-BandwidthRequestWLAN* is set to *TRUE*:
    - 5> set the *measResult* to include *backhaulUL-BandwidthWLAN* if it can be acquired;
  - 4> if *channelUtilizationRequestWLAN* is set to *TRUE*:
    - 5> set the *measResult* to include *channelUtilizationWLAN* if it can be acquired;
  - 4> if *stationCountRequestWLAN* is set to *TRUE*:
    - 5> set the *measResult* to include *stationCountWLAN* if it can be acquired;
- 1> if the UE is configured with NE-DC:
  - 2> submit the *MeasurementReport* message via SRB1 embedded in NR RRC message *ULInformationTransferMRDC* as specified in TS 38.331 [82].
- 1> else:
  - 2> submit the *MeasurementReport* message to lower layers for transmission, upon which the procedure ends;

### 5.5.5.2 Determination of available NR measurement results

When configured to report measurement results of the serving and the best neighbouring cells on NR serving frequencies, the UE shall consider NR measurement results to be available as follows:

- 1> only SSB based results are available and only if configured to measure these for the concerned serving frequency;
- 1> for the serving cell:
  - 2> include cell quantities RSRP and RSRQ while SINR is included if the UE is configured to measure this quantity on an NR frequency, possibly different from the concerned serving frequency, but only if configured by NR *measConfig*;
  - 2> include beam results and beam quantities if the UE is configured to measure these on an NR frequency, possibly different from the concerned serving frequency, but only if configured by NR *measConfig*;
- 1> for a neighbouring cell:
  - 2> include cell quantities, beam results and beam quantities if the UE is configured to measure these on an NR frequency, possibly different from the concerned serving frequency, but only if configured by NR *measConfig*.
- 1> filter available results according to the applicable field in NR *quantityConfig*;



### 5.5.5.3 Selection of NR sorting quality

When configured to report the best cells or beams, the UE shall determine the quantity that is used to order and select as follows:

- 1> for cells on the frequency associated with the *measId* that triggered the measurement reporting, if the *reportTrigger* is set to *event*, consider the quantity used in *bN-ThresholdYNR* to be the sorting quantity;
- 1> for other cases, determine the sorting quantity as follows:
  - 2> consider the following quantities as candidate sorting quantities:
    - 3> for cells on the frequency associated with the *measId* that triggered the measurement reporting (for a *triggerType* set to *periodical*):
      - 4> the quantities defined by *reportQuantityCellNR*, when used for sorting cells;
      - 4> the quantities defined by *reportQuantityRS-IndexNR*, when used for sorting beams;
    - 3> for cells, serving or non-serving (i.e. within *reportAddNeighMeas*), on NR serving frequencies other than the one associated with the *measId* triggering reporting:
      - 4> the available quantities of available NR measurement results as specified in 5.5.5.2;
  - 2> if there is a single candidate sorting quantity;
    - 3> consider the concerned quantity to be the sorting quantity;
  - 2> else:
    - 3> if RSRP is one of the candidate sorting quantities;
      - 4> consider RSRP to be the sorting quantity;
    - 3> else:
      - 4> consider RSRQ to be the sorting quantity;

## 5.5.6 Measurement related actions

### 5.5.6.1 Actions upon handover and re-establishment

E-UTRAN applies the handover procedure as follows:

- when performing the handover procedure, as specified in 5.3.5.4, ensure that a *measObjectId* corresponding to each handover target serving frequency is configured as a result of the procedures described in this clause and in 5.3.5.4;
- when changing the band while the physical frequency remains unchanged, E-UTRAN releases the *measObject* corresponding to the source frequency and adds a *measObject* corresponding to the target frequency (i.e. it does not reconfigure the *measObject*);

E-UTRAN applies the re-establishment procedure as follows:

- when performing the connection re-establishment procedure, as specified in 5.3.7, ensure that a *measObjectId* corresponding each target serving frequency is configured as a result of the procedure described in this clause and the subsequent connection reconfiguration procedure immediately following the re-establishment procedure;
- in the first reconfiguration following the re-establishment when changing the band while the physical frequency remains unchanged, E-UTRAN releases the *measObject* corresponding to the source frequency and adds a *measObject* corresponding to the target frequency (i.e. it does not reconfigure the *measObject*);

The UE shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

- 2> if the *triggerType* is set to *periodical*:
  - 3> remove this *measId* from the *measIdList* within *VarMeasConfig*:
- 1> if the procedure was triggered due to a handover or successful re-establishment and the procedure involves a change of primary frequency, update the *measId* values in the *measIdList* within *VarMeasConfig* as follows:
  - 2> if a *measObjectId* value corresponding to the target primary frequency exists in the *measObjectList* within *VarMeasConfig*:
    - 3> for each *measId* value in the *measIdList*:
      - 4> if the *measId* value is linked to the *measObjectId* value corresponding to the source primary frequency:
        - 5> link this *measId* value to the *measObjectId* value corresponding to the target primary frequency;
      - 4> else if the *measId* value is linked to the *measObjectId* value corresponding to the target primary frequency:
        - 5> link this *measId* value to the *measObjectId* value corresponding to the source primary frequency;
  - 2> else:
    - 3> remove all *measId* values that are linked to the *measObjectId* value corresponding to the source primary frequency;
- 1> remove all measurement reporting entries within *VarMeasReportList*;
- 1> stop the periodical reporting timer or timer T321, whichever one is running, as well as associated information (e.g. *timeToTrigger*) for all *measId*;
- 1> release the measurement gaps (configured by E-UTRA RRC), if activated;

NOTE 1: If the UE requires measurement gaps to perform inter-frequency or inter-RAT measurements, the UE resumes the inter-frequency and inter-RAT measurements after the E-UTRAN has setup the measurement gaps.

NOTE 2: In this procedure, the UE may or may not release the *measGapSharingConfig*.

### 5.5.6.2 Speed dependant scaling of measurement related parameters

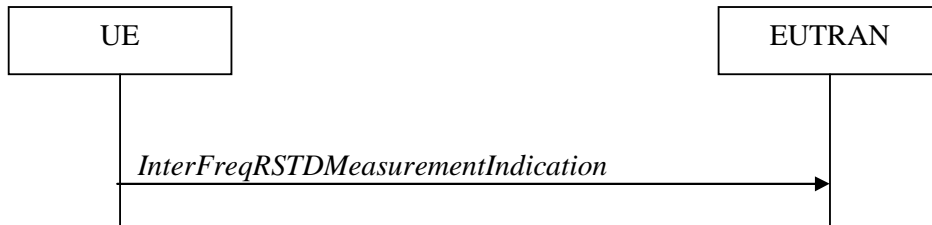
The UE shall adjust the value of the following parameter configured by the E-UTRAN depending on the UE speed: *timeToTrigger*. The UE shall apply 3 different levels, which are selected as follows:

The UE shall:

- 1> perform mobility state detection using the mobility state detection as specified in TS 36.304 [4] with the following modifications:
  - 2> counting handovers instead of cell reselections;
  - 2> applying the parameter applicable for RRC\_CONNECTED as included in *speedStatePars* within *VarMeasConfig*;
- 1> if high mobility state is detected:
  - 2> use the *timeToTrigger* value multiplied by *sf-High* within *VarMeasConfig*;
- 1> else if medium mobility state is detected:
  - 2> use the *timeToTrigger* value multiplied by *sf-Medium* within *VarMeasConfig*;
- 1> else:
  - 2> no scaling is applied;

## 5.5.7 Inter-frequency RSTD measurement indication

### 5.5.7.1 General



**Figure 5.5.7.1-1: Inter-frequency RSTD measurement indication**

The purpose of this procedure is to indicate to the network that the UE is going to start/stop OTDOA inter-frequency RSTD measurements which require measurement gaps as specified in TS 36.133 [16], clause 8.1.2.6. The procedure is also used to indicate to the network that the UE is going to start/stop OTDOA intra-frequency RSTD measurements which require measurement gaps. This procedure is also used to indicate to the network the measurement gap that the category M1 or M2 UE prefers to perform RSTD measurements with dense PRS configuration, as specified in TS 36.133 [16], Table 8.1.2.1-3.

NOTE: It is a network decision to configure the measurement gap.

### 5.5.7.2 Initiation

The UE shall:

- 1> if and only if upper layers indicate to start performing inter-frequency RSTD measurements and the UE requires measurement gaps for these measurements while measurement gaps are either not configured or not sufficient:
  - 2> initiate the procedure to indicate start;

NOTE 1: The UE verifies the measurement gap situation only upon receiving the indication from upper layers. If at this point in time sufficient gaps are available, the UE does not initiate the procedure. Unless it receives a new indication from upper layers, the UE is only allowed to further repeat the procedure in the same PCell once per frequency if the provided measurement gaps are insufficient.

- 1> if and only if upper layers indicate to stop performing inter-frequency RSTD measurements:
  - 2> initiate the procedure to indicate stop;

NOTE 2: The UE may initiate the procedure to indicate stop even if it did not previously initiate the procedure to indicate start.

### 5.5.7.3 Actions related to transmission of *InterFreqRSTDMeasurementIndication* message

The UE shall set the contents of *InterFreqRSTDMeasurementIndication* message as follows:

- 1> if the procedure is initiated to indicate start or stop of inter-frequency RSTD measurements:
  - 2> set the *rstd-InterFreqIndication* as follows:
    - 3> if the procedure is initiated to indicate start of inter-frequency RSTD measurements:
      - 4> set the *rstd-InterFreqInfoList* according to the information received from upper layers;
      - 4> for category M1 or M2 UE, if the procedure is initiated to indicate the measurement gap that the UE prefers to perform RSTD measurements with dense PRS configuration:
        - 5> set the *measPRS-Offset-r15* according to the UE preference;

- 3> else if the procedure is initiated to indicate stop of inter-frequency RSTD measurements:
  - 4> set the *rstd-InterFreqIndication* to the value *stop*;
- 1> else:
  - 2> set the *rstd-InterFreqIndication* as follows:
    - 3> if the procedure is initiated to indicate start of intra-frequency RSTD measurements:
      - 4> set the *carrierFreq* in the *rstd-InterFreqInfoList* to the carrier frequency of the serving cell;
      - 4> for category M1 or M2 UE, if the procedure is initiated to indicate the measurement gap that the UE prefers to perform RSTD measurements with dense PRS configuration:
        - 5> set the *measPRS-Offset-r15* according to the UE preference;
    - 3> else if the procedure is initiated to indicate stop of intra-frequency RSTD measurements:
      - 4> set the *rstd-InterFreqIndication* to the value *stop*;
- 1> submit the *InterFreqRSTDMeasurementIndication* message to lower layers for transmission, upon which the procedure ends;

## 5.6 Other

### 5.6.0 General

For NB-IoT, only a subset of the procedures described in this clause apply.

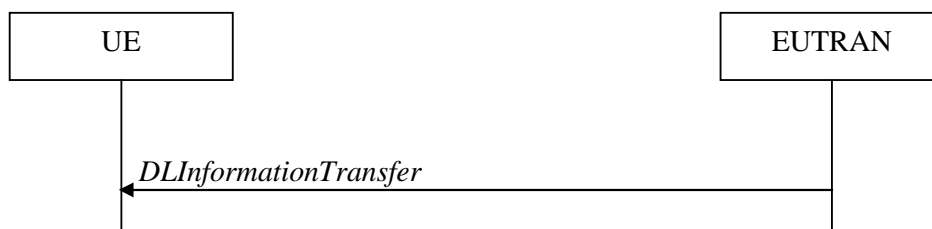
Table 5.6.0-1 specifies the procedures that are applicable to NB-IoT. All other procedures are not applicable to NB-IoT; this is not further stated in the corresponding procedures.

**Table 5.6.0-1: "Other" Procedures applicable to a NB-IoT UE**

| Clause | Procedures              |
|--------|-------------------------|
| 5.6.1  | DL information transfer |
| 5.6.2  | UL information transfer |
| 5.6.3  | UE Capability transfer  |

### 5.6.1 DL information transfer

#### 5.6.1.1 General



**Figure 5.6.1.1-1: DL information transfer**

The purpose of this procedure is to transfer NAS, (tunnelled) non-3GPP dedicated information or time reference information from E-UTRAN to a UE in RRC\_CONNECTED.

### 5.6.1.2 Initiation

E-UTRAN initiates the DL information transfer procedure whenever there is a need to transfer NAS, non-3GPP dedicated information or time reference information. E-UTRAN initiates the DL information transfer procedure by sending the *DLInformationTransfer* message.

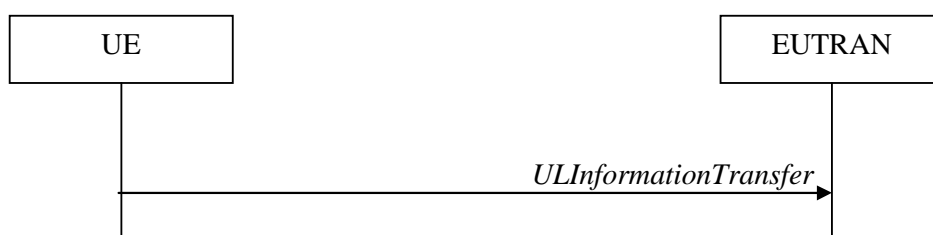
### 5.6.1.3 Reception of the *DLInformationTransfer* by the UE

Upon receiving *DLInformationTransfer* message, the UE shall:

- 1> if the UE is a NB-IoT UE; or
- 1> if the *dedicatedInfoType* is present and set to *dedicatedInfoNAS*:
  - 2> forward the *dedicatedInfoNAS* to the NAS upper layers.
- 1> if the *dedicatedInfoType* is present and set to *dedicatedInfoCDMA2000-1XRTT* or to *dedicatedInfoCDMA2000-HRPD*:
  - 2> forward the *dedicatedInfoCDMA2000* to the CDMA2000 upper layers;
- 1> if *timeReferenceInfo* is included:
  - 2> calculate the time reference based on the included *time*, *timeInfoType* and *referenceSFN* in *timeReferenceInfo*;
  - 2> calculate the inaccuracy of the time reference based on the *uncertainty* and other implementation-related inaccuracies, if *uncertainty* is included in *timeReferenceInfo*;
  - 2> inform upper layers of the time reference and, if *uncertainty* is included in *timeReferenceInfo*, of the inaccuracy of the time reference.

## 5.6.2 UL information transfer

### 5.6.2.1 General



**Figure 5.6.2.1-1: UL information transfer**

The purpose of this procedure is to transfer NAS or (tunnelled) non-3GPP dedicated information from the UE to E-UTRAN.

### 5.6.2.2 Initiation

A UE in RRC\_CONNECTED initiates the UL information transfer procedure whenever there is a need to transfer NAS or non-3GPP dedicated information, except at RRC connection establishment or resume in which case the NAS information is piggybacked to the *RRCConnectionSetupComplete* or *RRCConnectionResumeComplete* message correspondingly. The UE initiates the UL information transfer procedure by sending the *ULInformationTransfer* message. When CDMA2000 information has to be transferred, the UE shall initiate the procedure only if SRB2 is established.

### 5.6.2.3 Actions related to transmission of *ULInformationTransfer* message

The UE shall set the contents of the *ULInformationTransfer* message as follows:

- 1> if there is a need to transfer NAS information:
  - 2> if the UE is a NB-IoT UE:
    - 3> set the *dedicatedInfoNAS* to include the information received from upper layers;
    - 2> else, set the *dedicatedInfoType* to include the *dedicatedInfoNAS*;
- 1> if there is a need to transfer CDMA2000 1XRTT information:
  - 2> set the *dedicatedInfoType* to include the *dedicatedInfoCDMA2000-1XRTT*;
- 1> if there is a need to transfer CDMA2000 HRPD information:
  - 2> set the *dedicatedInfoType* to include the *dedicatedInfoCDMA2000-HRPD*;
- 1> upon RRC connection establishment, if UE supports the Control Plane CIoT EPS optimisation and UE does not need UL gaps during continuous uplink transmission:
  - 2> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for *ULInformationTransfer* message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS 36.211 [21];
- 1> submit the *ULInformationTransfer* message to lower layers for transmission, upon which the procedure ends;

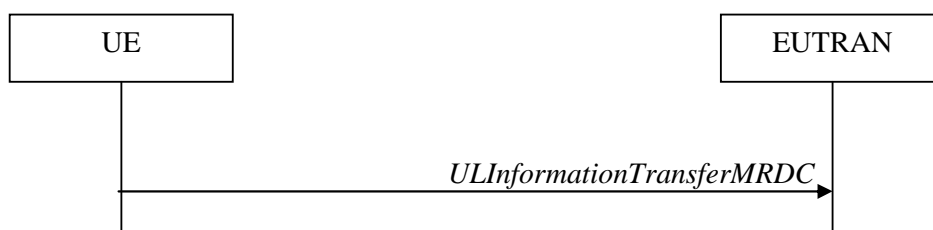
#### 5.6.2.4 Failure to deliver *ULInformationTransfer* message

The UE shall:

- 1> if the UE is a NB-IoT UE, AS security is not started and radio link failure occurs before the successful delivery of *ULInformationTransfer* messages has been confirmed by lower layers; or
- 1> if mobility (i.e. handover, RRC connection re-establishment) occurs before the successful delivery of *ULInformationTransfer* messages has been confirmed by lower layers:
- 2> inform upper layers about the possible failure to deliver the information contained in the concerned *ULInformationTransfer* messages;

### 5.6.2a UL information transfer for MR-DC

#### 5.6.2a.1 General



**Figure 5.6.2a.1-1: UL information transfer MR-DC**

The purpose of this procedure is to transfer from the UE to E-UTRAN MR-DC dedicated information e.g. the NR RRC Measurement Report message.

#### 5.6.2a.2 Initiation

A UE in RRC\_CONNECTED initiates the UL information transfer procedure whenever there is a need to transfer MR DC dedicated information as specified in TS 38.331 [82]. I.e. the procedure is not used during an RRC connection reconfiguration involving NR connection reconfiguration, in which case the MR DC information is piggybacked to the *RRCConnectionReconfigurationComplete* message.

### 5.6.2a.3 Actions related to transmission of *ULInformationTransferMRDC* message

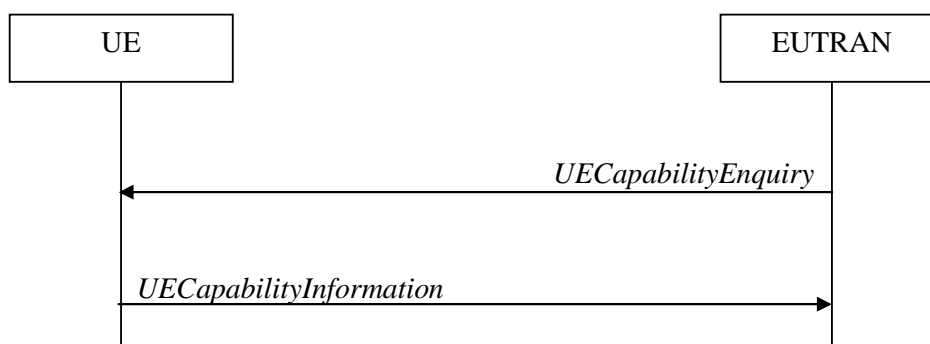
The UE shall set the contents of the *ULInformationTransferMRDC* message as follows:

- 1> if there is a need to transfer MR DC dedicated information:
  - 2> set the *ul-DCCH-MessageNR* to include the MR DC dedicated information to be transferred;
- 1> submit the *ULInformationTransferMRDC* message to lower layers for transmission, upon which the procedure ends;

### 5.6.2a.4 Void

## 5.6.3 UE capability transfer

### 5.6.3.1 General



**Figure 5.6.3.1-1: UE capability transfer**

The purpose of this procedure is to transfer UE radio access capability information from the UE to E-UTRAN.

If the UE has changed its E-UTRAN radio access capabilities, the UE shall request higher layers to initiate the necessary NAS procedures (see TS 23.401 [41]) that would result in the update of UE radio access capabilities using a new RRC connection.

**NOTE:** Change of the UE's GERAN UE radio capabilities in RRC\_IDLE is supported by use of Tracking Area Update.

### 5.6.3.2 Initiation

E-UTRAN initiates the procedure to a UE in RRC\_CONNECTED when it needs (additional) UE radio access capability information. Except if the UE is using Control plane CIoT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS security activation and E-UTRAN does not forward capabilities that were retrieved before AS security activation to the CN.

### 5.6.3.3 Reception of the *UECapabilityEnquiry* by the UE

The UE shall:

- 1> for NB-IoT, set the contents of *UECapabilityInformation* message as follows:
  - 2> include the UE Radio Access Capability Parameters within the *ue-Capability*;
  - 2> include *ue-RadioPagingInfo*;
  - 2> submit the *UECapabilityInformation* message to lower layers for transmission, upon which the procedure ends;
- 1> else, set the contents of *UECapabilityInformation* message as follows:

- 2> if the *ue-CapabilityRequest* includes *eutra*:
- 3> include the *UE-EUTRA-Capability* within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *eutra*;
- 3> if the UE supports FDD and TDD:
- 4> set all fields of *UECapabilityInformation*, except field *fdd-Add-UE-EUTRA-Capabilities* and *tdd-Add-UE-EUTRA-Capabilities* (including their sub-fields), to include the values applicable for both FDD and TDD (i.e. functionality supported by both modes);
- 4> if (some of) the UE capability fields have a different value for FDD and TDD:
- 5> if for FDD, the UE supports additional functionality compared to what is indicated by the previous fields of *UECapabilityInformation*:
- 6> include field *fdd-Add-UE-EUTRA-Capabilities* and set it to include fields reflecting the additional functionality applicable for FDD;
- 5> if for TDD, the UE supports additional functionality compared to what is indicated by the previous fields of *UECapabilityInformation*:
- 6> include field *tdd-Add-UE-EUTRA-Capabilities* and set it to include fields reflecting the additional functionality applicable for TDD;

NOTE 1: The UE includes fields of *XDD-Add-UE-EUTRA-Capabilities* in accordance with the following:

- The field is included only if one or more of its sub-fields (or bits in the feature group indicators string) has a value that is different compared to the value signalled elsewhere within *UE-EUTRA-Capability*; (this value signalled elsewhere is also referred to as the *Common value*, that is supported for both XDD modes)
  - For the fields that are included in *XDD-Add-UE-EUTRA-Capabilities*, the UE sets:
    - the sub-fields (or bits in the feature group indicators string) that are not allowed to be different to the same value as the *Common value*;
    - the sub-fields (or bits in the feature group indicators string) that are allowed to be different to a value indicating at least the same functionality as indicated by the *Common value*;
- 3> else (UE supports single xDD mode):
- 4> set all fields of *UECapabilityInformation*, except field *fdd-Add-UE-EUTRA-Capabilities* and *tdd-Add-UE-EUTRA-Capabilities* (including their sub-fields), to include the values applicable for the xDD mode supported by the UE;
- 3> compile a list of band combinations, candidate for inclusion in the *UECapabilityInformation* message, comprising of band combinations supported by the UE according to the following priority order (i.e. listed in order of decreasing priority):
- 4> include all non-CA bands, regardless of whether UE supports carrier aggregation, only:
- if the UE includes *ue-Category-v1020* (i.e. indicating category 6 to 8); or
  - if for at least one of the non-CA bands, the UE supports more MIMO layers with TM9 and TM10 than implied by the UE category; or
  - if the UE supports TM10 with one or more CSI processes; or
  - if the UE supports 1024QAM in DL;
- 4> if the *UECapabilityEnquiry* message includes *requestedFrequencyBands* and UE supports *requestedFrequencyBands*:
- 5> include all 2DL+1UL CA band combinations, only consisting of bands included in *requestedFrequencyBands*;
- 5> include all other CA band combinations, only consisting of bands included in *requestedFrequencyBands*, and prioritized in the order of *requestedFrequencyBands*, (i.e. first



include remaining band combinations containing the first-listed band, then include remaining band combinations containing the second-listed band, and so on);

- 4> else (no requested frequency bands):
  - 5> include all 2DL+1UL CA band combinations;
  - 5> include all other CA band combinations;
- 4> if UE supports *maximumCCsRetrieval* and if the *UECapabilityEnquiry* message includes the *requestedMaxCCsDL* and the *requestedMaxCCsUL* (i.e. both UL and DL maximums are given):
  - 5> remove from the list of candidates the band combinations for which the number of CCs in DL exceeds the value indicated in the *requestedMaxCCsDL* or for which the number of CCs in UL exceeds the value indicated in the *requestedMaxCCsUL*;
  - 5> indicate in *requestedCCsUL* the same value as received in *requestedMaxCCsUL*;
  - 5> indicate in *requestedCCsDL* the same value as received in *requestedMaxCCsDL*;
- 4> else if UE supports *maximumCCsRetrieval* and if the *UECapabilityEnquiry* message includes the *requestedMaxCCsDL* (i.e. only DL maximum limit is given):
  - 5> remove from the list of candidates the band combinations for which the number of CCs in DL exceeds the value indicated in the *requestedMaxCCsDL*;
  - 5> indicate value in *requestedCCsDL* the same value as received in *requestedMaxCCsDL*;
- 4> else if UE supports *maximumCCsRetrieval* and if the *UECapabilityEnquiry* message includes the *requestedMaxCCsUL* (i.e. only UL maximum limit is given):
  - 5> remove from the list of candidates the band combinations for which the number of CCs in UL exceeds the value indicated in the *requestedMaxCCsUL*;
  - 5> indicate in *requestedCCsUL* the same value as received in *requestedMaxCCsUL*;
- 4> if the UE supports *reducedIntNonContComb* and the *UECapabilityEnquiry* message includes *requestReducedIntNonContComb*:
  - 5> set *reducedIntNonContCombRequested* to true;
  - 5> remove from the list of candidates the intra-band non-contiguous CA band combinations which support is implied by another intra-band non-contiguous CA band combination included in the list of candidates as specified in TS 36.306 [5], clause 4.3.5.21:
- 4> if the UE supports *requestReducedFormat* and UE supports *skipFallbackCombinations* and *UECapabilityEnquiry* message includes *requestSkipFallbackComb*:
  - 5> set *skipFallbackCombRequested* to true;
  - 5> for each band combination included in the list of candidates (including 2DL+1UL CA band combinations), starting with the ones with the lowest number of DL and UL carriers, that concerns a fallback band combination of another band combination included in the list of candidates as specified in TS 36.306 [5]:
    - 6> remove the band combination from the list of candidates;
    - 6> include *differentFallbackSupported* in the band combination included in the list of candidates whose fallback concerns the removed band combination, if its capabilities differ from the removed band combination;
- 4> if the UE supports *requestReducedFormat* and *diffFallbackCombReport*, and *UECapabilityEnquiry* message includes *requestDiffFallbackCombList*:
  - 5> if the UE does not support *skipFallbackCombinations* or *UECapabilityEnquiry* message does not include *requestSkipFallbackComb*:

- 6> remove all band combination from the list of candidates;
- 5> for each CA band combination indicated in *requestDiffFallbackCombList*:
  - 6> include the CA band combination, if not already in the list of candidates;
  - 6> include the fallback combinations for which the supported UE capabilities are different from the capability of the CA band combination;
- 5> include CA band combinations indicated in *requestDiffFallbackCombList* into *requestedDiffFallbackCombList*;
- 3> if the *UECapabilityEnquiry* message includes *requestReducedFormat* and UE supports *requestReducedFormat*:
  - 4> include in *supportedBandCombinationReduced* as many as possible of the band combinations included in the list of candidates, including the non-CA combinations, determined according to the rules and priority order defined above;
- 3> else:
  - 4> if the *UECapabilityEnquiry* message includes *requestedFrequencyBands* and UE supports *requestedFrequencyBands*:
    - 5> include in *supportedBandCombination* as many as possible of the band combinations included in the list of candidates, including the non-CA combinations and up to 5DL+5UL CA band combinations, determined according to the rules and priority order defined above;
    - 5> include in *supportedBandCombinationAdd* as many as possible of the remaining band combinations included in the list of candidates, (i.e. the candidates not included in *supportedBandCombination*), up to 5DL+5UL CA band combinations, determined according to the rules and priority order defined above;
  - 4> else:
    - 5> include in *supportedBandCombination* as many as possible of the band combinations included in the list of candidates, including the non-CA combinations and up to 5DL+5UL CA band combinations, determined according to the rules defined above;
    - 5> if it is not possible to include in *supportedBandCombination* all the band combinations to be included according to the above, selection of the subset of band combinations to be included is left up to UE implementation;
- 3> indicate in *requestedBands* the same bands and in the same order as included in *requestedFrequencyBands*, if received;
- 3> if the UE is a category 0, M1 or M2 UE, or supports any UE capability information in *ue-RadioPagingInfo*, according to TS 36.306 [5]:
  - 4> include *ue-RadioPagingInfo* and set the fields according to TS 36.306 [5];
- 3> if the UE supports (NG)EN-DC or NE-DC and if *requestedFreqBandsNR-MRDC* is included in the request:
  - 4> include into *featureSetsEUTRA* the feature sets that are applicable for the received *requestedFreqBandsNR-MRDC* and *requestedCapabilityCommon* as specified in TS 38.331 [82], clause 5.6.1.4.

NOTE 2: The network must include the *requestedFreqBandsNR-MRDC* in order to obtain feature sets for E-UTRA and MR-DC.

NOTE 3: Even if the network requests (only) capabilities for *eutra*, it may include NR band numbers in the *requestedFreqBandsNR-MRDC* in order to ensure that the UE includes all necessary feature sets (i.e. E-UTRA and NR) needed for subsequently requested *eutra-nr* capabilities.

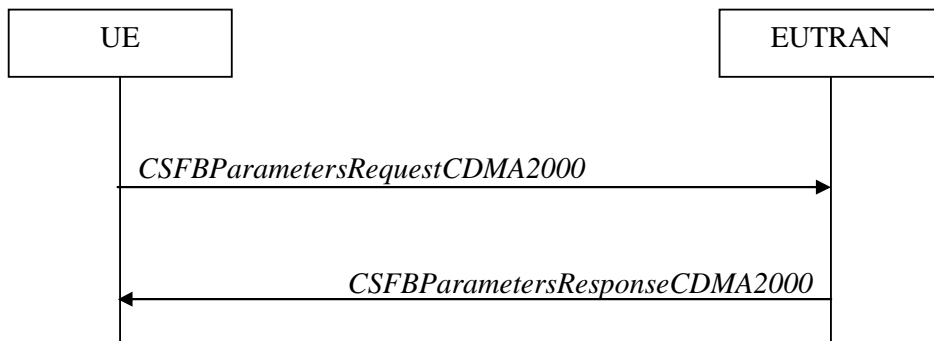
- 3> if the *UECapabilityEnquiry* message includes *requestSTTI-SPT-Capability* and if the UE supports short TTI and/or SPT (i.e., *sTTI-SPT-Supported*):
  - 4> for each band combination the UE included in a field of the *UECapabilityInformation* message in accordance with the previous:
    - 5> if the UE supports short TTI, include the short TTI capabilities for each of the band combinations using the *stti-SPT-BandParameters*;
    - 5> if the UE supports SPT, include the SPT capabilities for each of the band combinations using the *stti-SPT-BandParameters*;

NOTE 4: The UE may have to add/repeat the band combinations to the list of band combinations included earlier, to include short TTI capabilities and/or SPT capabilities.

- 2> if the *ue-CapabilityRequest* includes *geran-cs* and if the UE supports GERAN CS domain:
  - 3> include the UE radio access capabilities for GERAN CS within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *geran-cs*;
- 2> if the *ue-CapabilityRequest* includes *geran-ps* and if the UE supports GERAN PS domain:
  - 3> include the UE radio access capabilities for GERAN PS within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *geran-ps*;
- 2> if the *ue-CapabilityRequest* includes *utra* and if the UE supports UTRA:
  - 3> include the UE radio access capabilities for UTRA within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *utra*;
- 2> if the *ue-CapabilityRequest* includes *cdma2000-1XRTT* and if the UE supports CDMA2000 1xRTT:
  - 3> include the UE radio access capabilities for CDMA2000 within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *cdma2000-1XRTT*;
- 2> if the *ue-CapabilityRequest* includes *nr* and if the UE supports NR:
  - 3> include the UE radio access capabilities for NR within a *ue-CapabilityRAT-Container*, with the *rat-Type* set to *nr*;
  - 3> include band combinations and feature sets as specified in TS 38.331 [82], clause 5.6.1.4, considering the included *requestedFreqBandsNR-MRDC*, *requestedCapabilityNR*, the *eutra-nr-only* flag and *requestedCapabilityCommon* (if present);
- 2> if the *ue-CapabilityRequest* includes *eutra-nr* and if the UE supports (NG)EN-DC or NE-DC:
  - 3> include the UE radio access capabilities for EUTRA-NR within a *ue-CapabilityRAT-Container*, with the *rat-Type* set to *eutra-nr*;
  - 3> include band combinations as specified in TS 38.331 [82], clause 5.6.1.4, considering the included *requestedFreqBandsNR-MRDC*, *requestedCapabilityNR* (if present) and *requestedCapabilityCommon* (if included);
- 1> submit the *UECapabilityInformation* message to lower layers for transmission, upon which the procedure ends;

## 5.6.4 CSFB to 1x Parameter transfer

### 5.6.4.1 General



**Figure 5.6.4.1-1: CSFB to 1x Parameter transfer**

The purpose of this procedure is to transfer the CDMA2000 1xRTT parameters required to register the UE in the CDMA2000 1xRTT network for CSFB support.

### 5.6.4.2 Initiation

A UE in RRC\_CONNECTED initiates the CSFB to 1x parameter transfer procedure upon request from the CDMA2000 upper layers. The UE initiates the CSFB to 1x parameter transfer procedure by sending the *CSFBParametersRequestCDMA2000* message.

### 5.6.4.3 Actions related to transmission of *CSFBParametersRequestCDMA2000* message

The UE shall:

- 1> submit the *CSFBParametersRequestCDMA2000* message to lower layers for transmission using the current configuration;

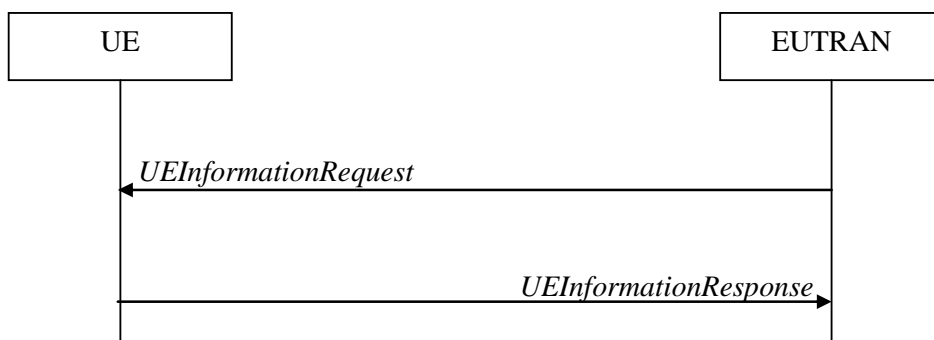
### 5.6.4.4 Reception of the *CSFBParametersResponseCDMA2000* message

Upon reception of the *CSFBParametersResponseCDMA2000* message, the UE shall:

- 1> forward the *rand* and the *mobilityParameters* to the CDMA2000 1xRTT upper layers;

## 5.6.5 UE Information

### 5.6.5.1 General



**Figure 5.6.5.1-1: UE information procedure**

The UE information procedure is used by E-UTRAN to request the UE to report information.

### 5.6.5.2 Initiation

E-UTRAN initiates the procedure by sending the *UEInformationRequest* message. E-UTRAN should initiate this procedure only after successful security activation.

### 5.6.5.3 Reception of the *UEInformationRequest* message

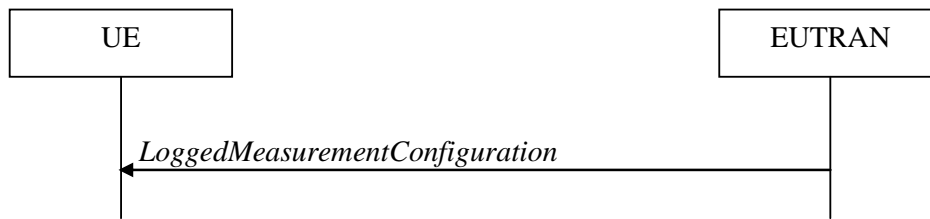
Upon receiving the *UEInformationRequest* message, the UE shall, only after successful security activation:

- 1> if *rach-ReportReq* is set to *true*, set the contents of the *rach-Report* in the *UEInformationResponse* message as follows:
  - 2> set the *numberOfPreamblesSent* to indicate the number of preambles sent by MAC for the last successfully completed random access procedure;
  - 2> if contention resolution was not successful as specified in TS 36.321 [6] for at least one of the transmitted preambles for the last successfully completed random access procedure:
    - 3> set the *contentionDetected* to *true*;
  - 2> else:
    - 3> set the *contentionDetected* to *false*;
- 1> if *rlf-ReportReq* is set to *true* and the UE has radio link failure information or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
  - 2> set *timeSinceFailure* in *VarRLF-Report* to the time that elapsed since the last radio link or handover failure in E-UTRA;
  - 2> set the *rlf-Report* in the *UEInformationResponse* message to the value of *rlf-Report* in *VarRLF-Report*;
  - 2> discard the *rlf-Report* from *VarRLF-Report* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> if *connEstFailReportReq* is set to *true* and the UE has connection establishment failure information in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
  - 2> set *timeSinceFailure* in *VarConnEstFailReport* to the time that elapsed since the last connection establishment failure in E-UTRA;
  - 2> set the *connEstFailReport* in the *UEInformationResponse* message to the value of *connEstFailReport* in *VarConnEstFailReport*;
  - 2> discard the *connEstFailReport* from *VarConnEstFailReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> if the *logMeasReportReq* is present and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 2> if *VarLogMeasReport* includes one or more logged measurement entries, set the contents of the *logMeasReport* in the *UEInformationResponse* message as follows:
    - 3> include the *absoluteTimeStamp* and set it to the value of *absoluteTimeInfo* in the *VarLogMeasReport*;
    - 3> include the *traceReference* and set it to the value of *traceReference* in the *VarLogMeasReport*;
    - 3> include the *traceRecordingSessionRef* and set it to the value of *traceRecordingSessionRef* in the *VarLogMeasReport*;
    - 3> include the *tce-Id* and set it to the value of *tce-Id* in the *VarLogMeasReport*;
    - 3> include the *logMeasInfoList* and set it to include one or more entries from the *VarLogMeasReport* starting from the entries logged first, and for each entry of the *logMeasInfoList* that is included, include all information stored in the corresponding *logMeasInfoList* entry in *VarLogMeasReport*;

- 3> if the *VarLogMeasReport* includes one or more additional logged measurement entries that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:
  - 4> include the *logMeasAvailable*;
  - 4> if *logMeasResultListBT* is included in one or more of the additional logged measurement entries in *VarLogMeasReport* that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:
    - 5> include the *logMeasAvailableBT*;
  - 4> if *logMeasResultListWLAN* is included in one or more of the additional logged measurement entries in *VarLogMeasReport* that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:
    - 5> include the *logMeasAvailableWLAN*;
- 1> if *mobilityHistoryReportReq* is set to *true*:
  - 2> include the *mobilityHistoryReport* and set it to include entries from *VarMobilityHistoryReport*;
  - 2> include in the *mobilityHistoryReport* an entry for the current cell, possibly after removing the oldest entry if required, and set its fields as follows:
    - 3> set *visitedCellId* to the global cell identity of the current cell;
    - 3> set field *timeSpent* to the time spent in the current cell;
- 1> if the *idleModeMeasurementReq* is included in the *UEInformationRequest* and UE has stored *VarMeasIdleReport*:
  - 2> set the *measResultListIdle* in the *UEInformationResponse* message to the value of *measReportIdle* in the *VarMeasIdleReport*;
  - 2> discard the *VarMeasIdleReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> if *flightPathInfoReq* field is present and the UE has flight path information available:
  - 2> include the *flightPathInfoReport* and set it to include the list of waypoints along the flight path;
  - 2> if the *includeTimeStamp* is set to *TRUE*:
    - 3> set the field *timeStamp* to the time when UE intends to arrive to each waypoint if this information is available at the UE;
- 1> if the *logMeasReport* is included in the *UEInformationResponse*:
  - 2> submit the *UEInformationResponse* message to lower layers for transmission via SRB2;
  - 2> discard the logged measurement entries included in the *logMeasInfoList* from *VarLogMeasReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> else:
  - 2> submit the *UEInformationResponse* message to lower layers for transmission via SRB1;

## 5.6.6 Logged Measurement Configuration

### 5.6.6.1 General



**Figure 5.6.6.1-1: Logged measurement configuration**

The purpose of this procedure is to configure the UE to perform logging of measurement results while in RRC\_IDLE and to perform logging of measurement results for MBSFN in both RRC\_IDLE and RRC\_CONNECTED. The procedure applies to logged measurements capable UEs that are in RRC\_CONNECTED.

NOTE: E-UTRAN may retrieve stored logged measurement information by means of the UE information procedure.

### 5.6.6.2 Initiation

E-UTRAN initiates the logged measurement configuration procedure to UE in RRC\_CONNECTED by sending the *LoggedMeasurementConfiguration* message.

### 5.6.6.3 Reception of the *LoggedMeasurementConfiguration* by the UE

Upon receiving the *LoggedMeasurementConfiguration* message the UE shall:

- 1> discard the logged measurement configuration as well as the logged measurement information as specified in 5.6.7;
- 1> store the received *loggingDuration*, *loggingInterval* and *areaConfiguration*, if included, in *VarLogMeasConfig*;
- 1> if the *LoggedMeasurementConfiguration* message includes *plmn-IdentityList*:
  - 2> set *plmn-IdentityList* in *VarLogMeasReport* to include the RPLMN as well as the PLMNs included in *plmn-IdentityList*;
- 1> else:
  - 2> set *plmn-IdentityList* in *VarLogMeasReport* to include the RPLMN;
- 1> store the received *absoluteTimeInfo*, *traceReference*, *traceRecordingSessionRef* and *tce-Id* in *VarLogMeasReport*;
- 1> store the received *targetMBSFN-AreaList*, if included, in *VarLogMeasConfig*;
- 1> store the received *bt-NameList*, if included, in *VarLogMeasConfig*;
- 1> store the received *wlan-NameList*, if included, in *VarLogMeasConfig*;
- 1> start timer T330 with the timer value set to the *loggingDuration*;

### 5.6.6.4 T330 expiry

Upon expiry of T330 the UE shall:

- 1> release *VarLogMeasConfig*;

The UE is allowed to discard stored logged measurements, i.e. to release *VarLogMeasReport*, 48 hours after T330 expiry.

## 5.6.7 Release of Logged Measurement Configuration

### 5.6.7.1 General

The purpose of this procedure is to release the logged measurement configuration as well as the logged measurement information.

### 5.6.7.2 Initiation

The UE shall initiate the procedure upon receiving a logged measurement configuration in another RAT. The UE shall also initiate the procedure upon power off or detach.

The UE shall:

- 1> stop timer T330, if running;
- 1> if stored, discard the logged measurement configuration as well as the logged measurement information, i.e. release the UE variables *VarLogMeasConfig* and *VarLogMeasReport*;

## 5.6.8 Measurements logging

### 5.6.8.1 General

This procedure specifies the logging of available measurements by a UE in RRC\_IDLE that has a logged measurement configuration and the logging of available measurements by a UE in both RRC\_IDLE and RRC\_CONNECTED if *targetMBSFN-AreaList* is included in *VarLogMeasConfig*.

### 5.6.8.2 Initiation

While T330 is running, the UE shall:

- 1> if measurement logging is suspended:
  - 2> if during the last logging interval the IDC problems detected by the UE is resolved, resume measurement logging;
- 1> if not suspended, perform the logging in accordance with the following:
  - 2> if *targetMBSFN-AreaList* is included in *VarLogMeasConfig*:
    - 3> if the UE is camping normally on an E-UTRA cell or is connected to E-UTRA; and
    - 3> if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*; and
    - 3> if the PCell (in RRC\_CONNECTED) or cell where the UE is camping (in RRC\_IDLE) is part of the area indicated by *areaConfiguration* if configured in *VarLogMeasConfig*;
    - 4> for MBSFN areas, indicated in *targetMBSFN-AreaList*, from which the UE is receiving MBMS service:
      - 5> perform MBSFN measurements in accordance with the performance requirements as specified in TS 36.133 [16];

NOTE 1: When configured to perform MBSFN measurement logging by *targetMBSFN-AreaList*, the UE is not required to receive additional MBSFN subframes, i.e. logging is based on the subframes corresponding to the MBMS services the UE is receiving.

- 5> perform logging at regular time intervals as defined by the *loggingInterval* in *VarLogMeasConfig*, but only for those intervals for which MBSFN measurement results are available as specified in TS 36.133 [16];

- 2> else:



- 3> if the UE is in *any cell selection* state (as specified in TS 36.304 [4]):
  - 4> perform the logging at regular time intervals, as defined by the *loggingInterval* in *VarLogMeasConfig*;
- 3> else if the UE is camping normally on an E-UTRA cell and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and, if the cell is part of the area indicated by *areaConfiguration* if configured in *VarLogMeasConfig*:
  - 4> perform the logging at regular time intervals, as defined by the *loggingInterval* in *VarLogMeasConfig*;
- 2> when adding a logged measurement entry in *VarLogMeasReport*, include the fields in accordance with the following:
  - 3> if the UE detected IDC problems during the last logging interval:
    - 4> if *measResultServCell* in *VarLogMeasReport* is not empty:
      - 5> include *inDeviceCoexDetected*;
      - 5> suspend measurement logging from the next logging interval;
    - 4> else:
      - 5> suspend measurement logging;

NOTE 1A: The UE may detect the start of IDC problems as early as Phase 1 as described in clause 23.4 of TS 36.300 [9].

- 3> set the *relativeTimeStamp* to indicate the elapsed time since the moment at which the logged measurement configuration was received;
- 3> if detailed location information became available during the last logging interval, set the content of the *locationInfo* as follows:
  - 4> include the *locationCoordinates*;
- 3> if *wlan-NameList* is included in *VarLogMeasConfig*:
  - 4> if detailed WLAN measurements are available:
    - 5> include *logMeasResultListWLAN*, in order of decreasing RSSI for WLAN APs;
- 3> if *bt-NameList* is included in *VarLogMeasConfig*:
  - 4> if detailed Bluetooth measurements are available:
    - 5> include *logMeasResultListBT*, in order of decreasing RSSI for Bluetooth beacons;
- 3> if *targetMBSFN-AreaList* is included in *VarLogMeasConfig*:
  - 4> for each MBSFN area, for which the mandatory measurements result fields became available during the last logging interval:
    - 5> set the *rsrpResultMBSFN*, *rsrqResultMBSFN* to include measurement results that became available during the last logging interval;
    - 5> include the fields *signallingBLER-Result* or *dataBLER-MCH-ResultList* if the concerned BLER results are available,
    - 5> set the *mbsfn-AreaId* and *carrierFrequency* to indicate the MBSFN area in which the UE is receiving MBSFN transmission;
  - 4> if in RRC\_CONNECTED:
    - 5> set the *servCellIdentity* to indicate global cell identity of the PCell;
    - 5> set the *measResultServCell* to include the layer 3 filtered measured results of the PCell;

- 5> if available, set the *measResultNeighCells* to include the layer 3 filtered measured results of SCell(s) and neighbouring cell(s) measurements that became available during the last logging interval, in order of decreasing RSRP, for at most the following number of cells: 6 intra-frequency and 3 inter-frequency cells per frequency and according to the following:
  - 6> for each cell included, include the optional fields that are available;
- 5> if available, optionally set the *measResultNeighCells* to include the layer 3 filtered measured results of neighbouring cell(s) measurements that became available during the last logging interval, in order of decreasing RSCP(UTRA)/RSSI(GERAN)/PilotStrength(cdma2000), for at most the following number of cells: 3 inter-RAT cells per frequency (UTRA, cdma2000)/set of frequencies (GERAN), and according to the following:
  - 6> for each cell included, include the optional fields that are available;
- 4> if in RRC\_IDLE:
  - 5> set the *servCellIdentity* to indicate global cell identity of the serving cell;
  - 5> set the *measResultServCell* to include the quantities of the serving cell;
  - 5> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements that became available during the last logging interval for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency and according to the following:
    - 6> for each neighbour cell included, include the optional fields that are available;
  - 5> if available, optionally set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements that became available during the last logging interval, for at most the following number of cells: 3 inter-RAT cells per frequency (UTRA, cdma2000)/set of frequencies (GERAN), and according to the following:
    - 6> for each cell included, include the optional fields that are available;
- 4> for the cells included according to the previous (i.e. covering previous and current serving cells as well as neighbouring EUTRA cells) include results according to the extended RSRQ if corresponding results are available according to the associated performance requirements defined in TS 36.133 [16];
- 4> for the cells included according to the previous (i.e. covering previous and current serving cells as well as neighbouring EUTRA cells) include RSRQ type if the result was based on measurements using a wider band or using all OFDM symbols;

NOTE 2: The UE includes the latest results in accordance with the performance requirements as specified in TS 36.133 [16]. E.g. RSRP and RSRQ results are available only if the UE has a sufficient number of results/ receives a sufficient number of subframes during the logging interval.

- 3> else:
  - 4> if the UE is in *any cell selection* state (as specified in TS 36.304 [4]):
    - 5> set *anyCellSelectionDetected* to indicate the detection of no suitable or no acceptable cell found;
    - 5> set the *servCellIdentity* to indicate global cell identity of the last logged cell that the UE was camping on;
    - 5> set the *measResultServCell* to include the quantities of the last logged cell the UE was camping on;
  - 4> else:
    - 5> set the *servCellIdentity* to indicate global cell identity of the cell the UE is camping on;
    - 5> set the *measResultServCell* to include the quantities of the cell the UE is camping on;
  - 4> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements that became available during the last logging

interval for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies (GERAN) per RAT and according to the following:

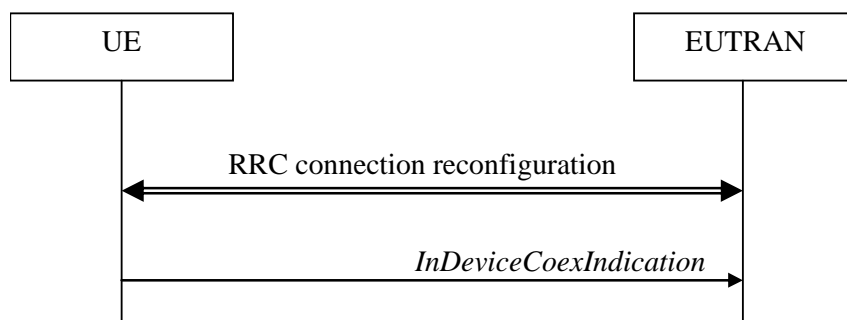
- 5> for each neighbour cell included, include the optional fields that are available;
- 4> for the cells included according to the previous (i.e. covering previous and current serving cells as well as neighbouring EUTRA cells) include results according to the extended RSRQ if corresponding results are available according to the associated performance requirements defined in TS 36.133 [16];
- 4> for the cells included according to the previous (i.e. covering previous and current serving cells as well as neighbouring EUTRA cells) include RSRQ type if the result was based on measurements using a wider band or using all OFDM symbols;

NOTE 3: The UE includes the latest results of the available measurements as used for cell reselection evaluation in RRC\_IDLE or as used for evaluation of reporting criteria or for measurement reporting according to 5.5.3 in RRC\_CONNECTED, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

- 2> when the memory reserved for the logged measurement information becomes full, stop timer T330 and perform the same actions as performed upon expiry of T330, as specified in 5.6.6.4;

## 5.6.9 In-device coexistence indication

### 5.6.9.1 General



**Figure 5.6.9.1-1: In-device coexistence indication**

The purpose of this procedure is to inform E-UTRAN about (a change of) the In-Device Coexistence (IDC) problems experienced by the UE in RRC\_CONNECTED, as described in TS 36.300 [9], and to provide the E-UTRAN with information in order to resolve them.

### 5.6.9.2 Initiation

A UE capable of providing IDC indications may initiate the procedure when it is configured to provide IDC indications and upon change of IDC problem information.

Upon initiating the procedure, the UE shall:

- 1> if configured to provide IDC indications:
  - 2> if the UE did not transmit an *InDeviceCoexIndication* message since it was configured to provide IDC indications:
    - 3> if on one or more frequencies for which a *measObjectEUTRA* is configured, the UE is experiencing IDC problems that it cannot solve by itself; or
    - 3> if configured to provide IDC indications for UL CA; and if on one or more supported UL CA combination comprising of carrier frequencies for which a measurement object is configured, the UE is experiencing IDC problems that it cannot solve by itself; or

- 3> if configured to provide IDC indications for MR-DC, and if on one or more supported MR-DC combination comprising of at least one E-UTRA carrier frequency for which a measurement object is configured and at least one NR carrier frequency included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself:
  - 4> initiate transmission of the *InDeviceCoexIndication* message in accordance with 5.6.9.3;
- 2> else:
  - 3> if the set of frequencies, for which a *measObjectEUTRA* is configured and on which the UE is experiencing IDC problems that it cannot solve by itself, is different from the set indicated in the last transmitted *InDeviceCoexIndication* message; or
  - 3> if for one or more of the frequencies in the previously reported set of frequencies, the *interferenceDirection* is different from the value indicated in the last transmitted *InDeviceCoexIndication* message; or
  - 3> if the TDM assistance information is different from the assistance information included in the last transmitted *InDeviceCoexIndication* message; or
  - 3> if configured to provide IDC indications for UL CA; and if the *victimSystemType* is different from the value indicated in the last transmitted *InDeviceCoexIndication* message; or
  - 3> if configured to provide IDC indications for UL CA; and if the set of supported UL CA combinations on which the UE is experiencing IDC problems that it cannot solve by itself and that the UE includes in *affectedCarrierFreqCombList* according to 5.6.9.3, is different from the set indicated in the last transmitted *InDeviceCoexIndication* message; or
  - 3> if configured to provide IDC indications for MR-DC, and if the *victimSystemType* is different from the value indicated in the last transmitted *InDeviceCoexIndication* message; or
  - 3> if configured to provide IDC indications for MR-DC, for one or more of the frequencies in the previously reported set of frequencies, if *interferenceDirectionMRDC* is different from the value indicated in the last transmitted *InDeviceCoexIndication* message; or
  - 3> if configured to provide IDC indications for MR-DC, and if the set of supported MR-DC combinations on which the UE is experiencing IDC problems that it cannot solve by itself and that the UE includes in *affectedCarrierFreqCombInfoListMRDC* according to 5.6.9.3, is different from the set indicated in the last transmitted *InDeviceCoexIndication* message:
    - 4> initiate transmission of the *InDeviceCoexIndication* message in accordance with 5.6.9.3;

NOTE 1: The term "IDC problems" refers to interference issues applicable across several subframes/slots where not necessarily all the subframes/slots are affected.

NOTE 2: For the frequencies on which a serving cell or serving cells is configured that is activated, IDC problems consist of interference issues that the UE cannot solve by itself, during either active data exchange or upcoming data activity which is expected in up to a few hundred milliseconds.  
 For frequencies on which a SCell or SCells is configured that is deactivated, reporting IDC problems indicates an anticipation that the activation of the SCell or SCells would result in interference issues that the UE would not be able to solve by itself.  
 For a non-serving frequency, reporting IDC problems indicates an anticipation that if the non-serving frequency or frequencies became a serving frequency or serving frequencies then this would result in interference issues that the UE would not be able to solve by itself.

### 5.6.9.3 Actions related to transmission of *InDeviceCoexIndication* message

The UE shall set the contents of the *InDeviceCoexIndication* message as follows:

- 1> if there is at least one E-UTRA carrier frequency, for which a measurement object is configured, that is affected by IDC problems:
  - 2> include the field *affectedCarrierFreqList* with an entry for each affected E-UTRA carrier frequency for which a measurement object is configured;

- 2> for each E-UTRA carrier frequency included in the field *affectedCarrierFreqList*, include *interferenceDirection* and set it accordingly;
- 2> include Time Domain Multiplexing (TDM) based assistance information, unless *idc-HardwareSharingIndication* is configured and the UE has no Time Domain Multiplexing based assistance information that could be used to resolve the IDC problems:
  - 3> if the UE has DRX related assistance information that could be used to resolve the IDC problems:
    - 4> include *drx-CycleLength*, *drx-Offset* and *drx-ActiveTime*;
  - 3> else (the UE has desired subframe reservation patterns related assistance information that could be used to resolve the IDC problems):
    - 4> include *idc-SubframePatternList*;
  - 3> use the MCG as timing reference if TDM based assistance information regarding the SCG is included;
- 1> if the UE is configured to provide UL CA information and there is a supported UL CA combination comprising of carrier frequencies for which a measurement object is configured, that is affected by IDC problems:
  - 2> include *victimSystemType* in *ul-CA-AssistanceInfo*;
  - 2> if the UE sets *victimSystemType* to *wlan* or *Bluetooth*:
    - 3> include *affectedCarrierFreqCombList* in *ul-CA-AssistanceInfo* with an entry for each supported UL CA combination comprising of carrier frequencies for which a measurement object is configured, that is affected by IDC problems;
  - 2> else:
    - 3> optionally include *affectedCarrierFreqCombList* in *ul-CA-AssistanceInfo* with an entry for each supported UL CA combination comprising of carrier frequencies for which a measurement object is configured, that is affected by IDC problems;
- 1> if *idc-HardwareSharingIndication* is configured, and there is at least one E-UTRA carrier frequency, for which a measurement object is configured, the UE is experiencing hardware sharing problems that it cannot solve by itself:
  - 2> include the *hardwareSharingProblem* and set it accordingly;
- 1> if the UE is configured to provide IDC indications for MR-DC and there is a supported MR-DC band combination comprising of at least one E-UTRA carrier frequency for which a measurement object is configured and at least one NR carrier frequency included in *candidateServingFreqListNR*, that is affected by IDC problems; and
- 1> if the IDC problem does not only concern the E-UTRA band combination as the UE already included in *affectedCarrierFreqCombList*:
  - 2> for each entry of *affectedCarrierFreqCombInfoListMRDC* in *mrdc-AssistanceInfo*;
  - 3> include *victimSystemType*;
  - 3> include *interferenceDirectionMRDC*;
  - 3> if the UE sets *victimSystemType* to *wlan* or *Bluetooth*:
    - 4> include a set of at least one NR carrier frequency included in *candidateServingFreqListNR* and optionally one or more E-UTRA carrier frequency for which a measurement object is configured, that is affected by IDC problems;
  - 3> else:
    - 4> optionally include a set of at least one NR carrier frequency included in *candidateServingFreqListNR* and optionally one or more E-UTRA carrier frequency for which a measurement object is configured, that is affected by IDC problems;

NOTE 1: When sending an *InDeviceCoexIndication* message to inform E-UTRAN the IDC problems, the UE includes all assistance information (rather than providing e.g. the changed part(s) of the assistance information).

NOTE 2: Upon not anymore experiencing a particular IDC problem that the UE previously reported, the UE provides an IDC indication with the modified contents of the *InDeviceCoexIndication* message (e.g. by an empty message).

The UE shall submit the *InDeviceCoexIndication* message to lower layers for transmission.

## 5.6.10 UE Assistance Information

### 5.6.10.1 General

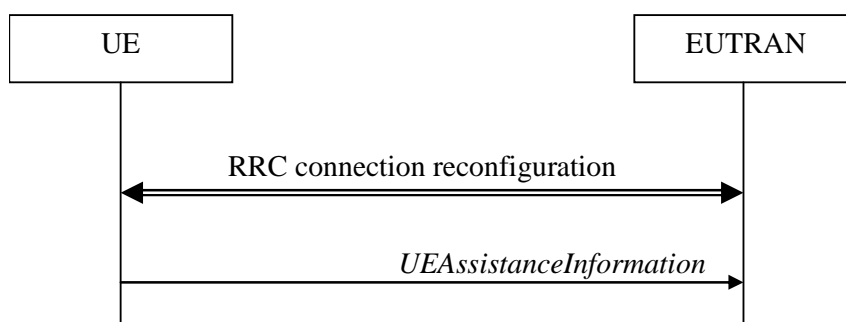


Figure 5.6.10.1-1: UE Assistance Information

The purpose of this procedure is to inform E-UTRAN of the UE's power saving preference and SPS assistance information, maximum PDSCH/PUSCH bandwidth configuration preference, overheating assistance information, or the UE's delay budget report carrying desired increment/decrement in the Uu air interface delay or connected mode DRX cycle length and for BL UEs or UEs in CE of the RLM event ("early-out-of-sync" or "early-in-sync") and RLM information. Upon configuring the UE to provide power preference indications E-UTRAN may consider that the UE does not prefer a configuration primarily optimised for power saving until the UE explicitly indicates otherwise.

### 5.6.10.2 Initiation

A UE capable of providing power preference indications in RRC\_CONNECTED may initiate the procedure in several cases including upon being configured to provide power preference indications and upon change of power preference. A UE capable of providing SPS assistance information in RRC\_CONNECTED may initiate the procedure in several cases including upon being configured to provide SPS assistance information and upon change of SPS assistance information.

A UE capable of providing delay budget report in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide delay budget report and upon change of delay budget preference.

A UE capable of CE mode and providing maximum PDSCH/PUSCH bandwidth preference in RRC\_CONNECTED may initiate the procedure upon being configured to provide maximum PDSCH/PUSCH bandwidth preference and/or upon change of maximum PDSCH/PUSCH bandwidth preference.

A UE capable of providing overheating assistance information in RRC\_CONNECTED may initiate the procedure if it was configured to do so, upon detecting internal overheating, or upon detecting that it is no longer experiencing an overheating condition.

Upon initiating the procedure, the UE shall:

- 1> if configured to provide power preference indications:
  - 2> if the UE did not transmit a *UEAssistanceInformation* message with *powerPrefIndication* since it was configured to provide power preference indications; or
  - 2> if the current power preference is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T340 is not running;

- 3> start or restart timer T340 with the timer value set to the *powerPrefIndicationTimer*, if the UE does not prefer a configuration primarily optimised for power saving;
  - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if configured to provide maximum PDSCH/PUSCH bandwidth preference:
- 2> if the UE did not transmit a *UEAssistanceInformation* message with *bw-Preference* since it was configured to provide maximum PDSCH/PUSCH bandwidth preference; or
  - 2> if the current maximum PDSCH/PUSCH bandwidth preference is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T341 is not running:
    - 3> start timer T341 with the timer value set to the *bw-PreferenceIndicationTimer*;
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if configured to provide SPS assistance information:
- 2> if the UE did not transmit a *UEAssistanceInformation* message with *sps-AssistanceInformation* since it was configured to provide SPS assistance information; or
  - 2> if the current SPS assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message:
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if configured to report RLM events:
- 2> if "early-out-of-sync" event has been detected (T314 has expired) and T343 is not running:
    - 3> start timer T343 with the timer value set to the *rlmReportTimer*;
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
  - 2> if "early-in-sync" event has been detected (T315 has expired) and T344 is not running:
    - 3> start timer T344 with the timer value set to the *rlmReportTimer*;
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if configured to provide delay budget report:
- 2> if the UE did not transmit a *UEAssistanceInformation* message with *delayBudgetReport* since it was configured to provide delay budget report; or
  - 2> if the current delay budget is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T342 is not running:
    - 3> start or restart timer T342 with the timer value set to the *delayBudgetReportingProhibitTimer*;
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if configured to provide overheating assistance information:
- 2> if the overheating condition has been detected and T345 is not running; or
  - 2> if the current overheating assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T345 is not running:
    - 3> start timer T345 with the timer value set to the *overheatingIndicationProhibitTimer*;
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

### 5.6.10.3 Actions related to transmission of *UEAssistanceInformation* message

The UE shall set the contents of the *UEAssistanceInformation* message for power preference indications:

- 1> if configured to provide power preference indication and if the UE prefers a configuration primarily optimised for power saving:
  - 2> set *powerPrefIndication* to *lowPowerConsumption*;
- 1> else if configured to provide power preference indication:
  - 2> set *powerPrefIndication* to *normal*;

The UE shall set the contents of the *UEAssistanceInformation* message for SPS assistance information:

- 1> if configured to provide SPS assistance information:
  - 2> if there is any traffic for V2X sidelink communication which needs to report SPS assistance information:
    - 3> include *trafficPatternInfoListSL* in the *UEAssistanceInformation* message;
  - 2> if there is any traffic for uplink communication which needs to report SPS assistance information:
    - 3> include *trafficPatternInfoListUL* in the *UEAssistanceInformation* message;

The UE shall set the contents of the *UEAssistanceInformation* message for bandwidth preference indications:

- 1> set *bw-Preference* to its preferred configuration;

The UE shall set the contents of the *UEAssistanceInformation* message for delay budget report:

- 1> if configured to provide delay budget report:
  - 2> if the UE prefers an adjustment in the connected mode DRX cycle length:
    - 3> set *delayBudgetReport* to *type1* according to a desired value;
  - 2> else if the UE prefers coverage enhancement configuration change:
    - 3> set *delayBudgetReport* to *type2* according to a desired value;

The UE shall set the contents of the *UEAssistanceInformation* message for the RLM report:

- 1> if configured to provide RLM report:
  - 2> if T314 has expired:
    - 3> set *rlm-event* to *earlyOutOfSync*;
  - 2> if T315 has expired:
    - 3> set *rlm-event* to *earlyInSync*;
    - 3> if configured to report *rlmReportRep-MPDCCH*:
      - 4> set *excessRep-MPDCCH* to the value indicated by lower layers;

The UE shall set the contents of the *UEAssistanceInformation* message for overheating assistance indication:

- 1> if configured to provide overheating assistance indication:
  - 2> if the UE experiences internal overheating:
    - 3> if the UE prefers to temporarily reduce its DL category and UL category:
      - 4> include *reducedUE-Category* in the *OverheatingAssistance* IE;
      - 4> set *reducedUE-CategoryDL* to the number to which the UE prefers to temporarily reduce its DL category;
      - 4> set *reducedUE-CategoryUL* to the number to which the UE prefers to temporarily reduce its UL category;



- 3> if the UE prefers to temporarily reduce the number of maximum secondary component carriers:
  - 4> include *reducedMaxCCs* in the *OverheatingAssistance* IE;
  - 4> set *reducedCCsDL* to the number of maximum SCells the UE prefers to be temporarily configured in downlink;
  - 4> set *reducedCCsUL* to the number of maximum SCells the UE prefers to be temporarily configured in uplink;
- 2> else (if the UE no longer experiences an overheating condition):
  - 3> do not include *reducedUE-Category* and *reducedMaxCCs* in *OverheatingAssistance* IE;

The UE shall submit the *UEAssistanceInformation* message to lower layers for transmission.

NOTE 1: It is up to UE implementation when and how to trigger SPS assistance information.

NOTE 2: It is up to UE implementation to set the content of *trafficPatternInfoListSL* and *trafficPatternInfoListUL*.

NOTE 3: Traffic patterns for different Destination Layer 2 IDs are provided in different entries in *trafficPatternInfoListSL*.

NOTE 4: Although not recommended, UE may start or restart the following timers whenever it sends the *UEAssistanceInformation* message (i.e. even if the message was not triggered for the concerned feature): T340, T341, T342, T343, T344 and T345.

## 5.6.11 Mobility history information

### 5.6.11.1 General

This procedure specifies how the mobility history information is stored by the UE, covering RRC\_CONNECTED and RRC\_IDLE.

### 5.6.11.2 Initiation

If the UE supports storage of mobility history information, the UE shall:

- 1> Upon change of cell, consisting of PCell in RRC\_CONNECTED or serving cell in RRC\_IDLE, to another E-UTRA or inter-RAT cell or when entering out of service:
  - 2> include an entry in variable *VarMobilityHistoryReport* possibly after removing the oldest entry, if necessary, according to following:
    - 3> if the global cell identity of the previous PCell/ serving cell is available:
      - 4> include the global cell identity of that cell in the field *visitedCellId* of the entry;
    - 3> else:
      - 4> include the physical cell identity and carrier frequency of that cell in the field *visitedCellId* of the entry;
    - 3> set the field *timeSpent* of the entry as the time spent in the previous PCell/ serving cell;
  - 1> upon entering E-UTRA (in RRC\_CONNECTED or RRC\_IDLE) while previously out of service and/ or using another RAT:
    - 2> include an entry in variable *VarMobilityHistoryReport* possibly after removing the oldest entry, if necessary, according to following:
      - 3> set the field *timeSpent* of the entry as the time spent outside E-UTRA;

## 5.6.12 RAN-assisted WLAN interworking

### 5.6.12.1 General

The purpose of this procedure is to facilitate access network selection and traffic steering between E-UTRAN and WLAN.

If required by upper layers (see TS 24.312 [66]), the UE shall provide an up-to-date set of the applicable parameters provided by *wlan-OffloadConfigCommon* or *wlan-OffloadConfigDedicated* to upper layers, and inform upper layers when no parameters are configured. The parameter set from either *wlan-OffloadConfigCommon* or *wlan-OffloadConfigDedicated* is selected as specified in clauses 5.2.2.24, 5.3.12, 5.6.12.2 and 5.6.12.4.

### 5.6.12.2 Dedicated WLAN offload configuration

The UE shall:

- 1> if the received *wlan-OffloadInfo* is set to *release*:
  - 2> release *wlan-OffloadConfigDedicated* and *t350*;
- 2> if the *wlan-OffloadConfigCommon* corresponding to the RPLMN is broadcast by the cell:
  - 3> apply the *wlan-OffloadConfigCommon* corresponding to the RPLMN included in *SystemInformationBlockType17*;
- 1> else:
  - 2> apply the received *wlan-OffloadConfigDedicated*;

### 5.6.12.3 WLAN offload RAN evaluation

The UE shall:

- 1> if the UE is configured with either *wlan-OffloadConfigCommon* or *wlan-OffloadConfigDedicated*; and
- 1> if the UE is in RRC\_IDLE or none of *rclwi-Configuration*, *lwa-Configuration* and *lwip-Configuration* is configured:
  - 2> provide measurement results required for the evaluation of the network selection and traffic steering rules as defined in TS 24.312 [66] to upper layers;
  - 2> evaluate the network selection and traffic steering rules as defined in TS 36.304 [4] using WLAN identifiers as indicated in other clauses (either provided in *steerToWLAN* included in *rclwi-Configuration* or in *wlan-Id-List* included in *SystemInformationBlockType17*);

### 5.6.12.4 T350 expiry or stop

The UE shall:

- 1> if T350 expires or is stopped:
  - 2> release the *wlan-OffloadConfigDedicated* and *t350*;
  - 2> release *rclwi-Configuration* if configured;
- 2> if the *wlan-OffloadConfigCommon* corresponding to the RPLMN is broadcast by the cell:
  - 3> apply the *wlan-OffloadConfigCommon* and the *wlan-Id-List* corresponding to the RPLMN included in *SystemInformationBlockType17*;

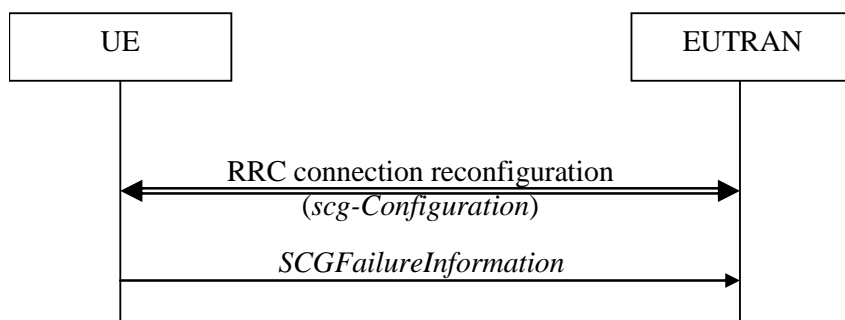
### 5.6.12.5 Cell selection/ re-selection while T350 is running

The UE shall:

- 1> if, while T350 is running, the UE selects/ reselects a cell which is not the PCell when the *wlan-OffloadDedicated* was configured:
- 2> stop timer T350;
- 2> perform the actions as specified in 5.6.12.4;

## 5.6.13 SCG failure information

### 5.6.13.1 General



**Figure 5.6.13.1-1: SCG failure information**

The purpose of this procedure is to inform E-UTRAN about an SCG failure the UE has experienced i.e. SCG radio link failure, SCG change failure.

### 5.6.13.2 Initiation

A UE initiates the procedure to report SCG failures when SCG transmission is not suspended and when one of the following conditions is met:

- 1> upon detecting radio link failure for the SCG, in accordance with 5.3.11; or
- 1> upon SCG change failure, in accordance with 5.3.5.7a; or
- 1> upon stopping uplink transmission towards the PSCell due to exceeding the maximum uplink transmission timing difference when *powerControlMode* is configured to 1, in accordance with clause 7.17.2 of TS 36.133 [29].

In case of DC, upon initiating the procedure, the UE shall:

- 1> suspend all SCG DRBs and suspend SCG transmission for split DRBs;
- 1> reset SCG-MAC;
- 1> stop T307;
- 1> if the UE is configured with NE-DC:
  - 2> initiate transmission of the *SCGFailureInformationEUTRA* message via the NR MCG as specified in TS 38.331 [82], clause 5.7.3a;
- 1> else:
  - 2> initiate transmission of the *SCGFailureInformation* message in accordance with 5.6.13.3;

### 5.6.13.3 Actions related to transmission of *SCGFailureInformation* message

The UE shall set the contents of the *SCGFailureInformation* message as follows:

- 1> if the UE initiates transmission of the *SCGFailureInformation* message to provide SCG radio link failure information:

- 2> include *failureType* and set it to the trigger for detecting SCG radio link failure;
- 1> else if the UE initiates transmission of the *SCGFailureInformation* message to provide SCG change failure information:
  - 2> include *failureType* and set it to *scg-ChangeFailure*;
- 1> else if the UE initiates transmission of the *SCGFailureInformation* message due to exceeding maximum uplink transmission timing difference:
  - 2> include *failureType* and set it to *maxUL-TimingDiff*;
- 1> set the *measResultServFreqList* to include for each E-UTRA SCG cell that is configured, if any, within *measResultSCell* the quantities of the concerned SCell, if available according to performance requirements in TS 36.133 [16];
- 1> for each E-UTRA SCG serving frequency included in *measResultServFreqList*, include within *measResultBestNeighCell* the *physCellId* and the quantities of the best non-serving cell, based on RSRP, on the concerned serving frequency;
- 1> set the *measResultNeighCells* to include the best measured cells on non-serving E-UTRA frequencies, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected the failure, and set its fields as follows:
  - 2> if the UE was configured to perform measurements for one or more non-serving EUTRA frequencies and measurement results are available, include the *measResultListEUTRA*;
  - 2> for each neighbour cell included, include the optional fields that are available;

NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

The UE shall submit the *SCGFailureInformation* message to lower layers for transmission.

#### 5.6.13.4 Failure type determination in NE-DC

The UE shall:

- 1> if SCG failure is due to T313 expiry:
  - 2> consider the *failureType* to be *t313-Expiry*;
- 1> else if SCG failure is due to indication from SCG MAC that a random access problem was detected:
  - 2> consider the *failureType* to be *randomAccessProblem*;
- 1> else if SCG failure is due to indication from SCG RLC that the maximum number of retransmissions was reached:
  - 2> consider the *failureType* to be *rlc-MaxNumRetx*;
- 1> else if SCG failure is due to SCG change failure:
  - 2> consider the *failureType* to be *scg-ChangeFailure*;

#### 5.6.13.5 Setting the contents of *MeasResultSCG-FailureMRDC*

The UE shall:

- 1> set the contents of the *MeasResultSCG-FailureMRDC* as follows:
  - 2> for each *measObjectEUTRA* for which a *measId* is configured and for which measurement results are available;
  - 3> include an entry in *measResultsFreqListEUTRA*;

- 3> if a serving cell is associated with the *MeasObjectEUTRA*:
  - 4> set *measResultServingCell* to include the available quantities of the concerned cell and in accordance with the performance requirements in TS 36.133 [16];
- 3> set the *measResultNeighCellList* to include the best measured cells, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected the failure, and set its fields as follows;
  - 4> ordering the cells with sorting as follows:
    - 5> using RSRP if RSRP measurement results are available, otherwise using RSRQ if RSRQ measurement results are available, otherwise using SINR;
  - 4> for each neighbour cell included:
    - 5> include the optional fields for which measurement results are available;

NOTE: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

## 5.6.13a NR SCG failure information

### 5.6.13a.1 General

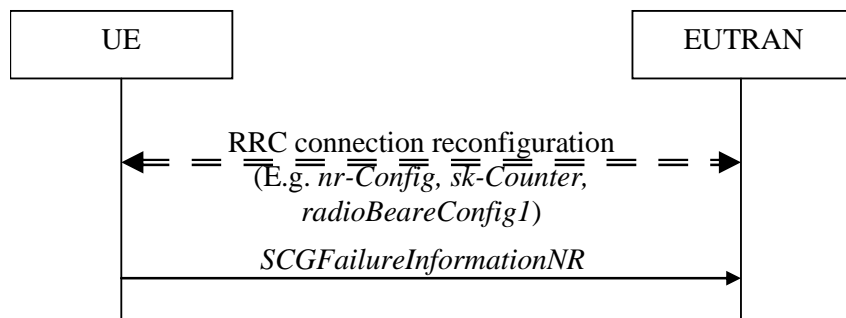


Figure 5.6.13a.1-1: NR SCG failure information

The purpose of this procedure is to inform E-UTRAN about an SCG failure the UE has experienced (e.g. SCG radio link failure, failure to successfully complete an SCG reconfiguration with sync), as specified in TS 38.331 [82], clause 5.7.3.2.

### 5.6.13a.2 Initiation

A UE initiates the procedure to report NR SCG failures when NR SCG transmission is not suspended and in accordance with TS 38.331 [82], clause 5.7.3.2. Actions the UE shall perform upon initiating the procedure, other than related to the transmission of the *SCGFailureInformationNR* message are specified in TS 38.331 [82], clause 5.7.3.2.

### 5.6.13a.3 Actions related to transmission of *SCGFailureInformationNR* message

The UE shall set the contents of the *SCGFailureInformationNR* message as follows:

- 1> include *failureType* within *failureReportSCG-NR* and set it to indicate the SCG failure in accordance with TS 38.331 [82], clause 5.7.3.3;
- 1> include and set *measResultSCG* in accordance with TS 38.331 [82], clause 5.7.3.4;
- 1> for each NR frequency the UE is configured to measure by *measConfig* for which measurement results are available:

- 2> set the *measResultFreqListNR* to include the best measured cells, ordered such that the best cell is listed first using RSRP to order if RSRP measurement results are available for cells on this frequency, otherwise using RSRQ to order if RSRQ measurement results are available for cells on this frequency, otherwise using SINR to order, and based on measurements collected up to the moment the UE detected the failure, and for each cell that is included, include the optional fields that are available;

NOTE: Field *measResultSCG* is used to report available results for NR frequencies the UE is configured to measure by NR RRC signalling.

The UE shall submit the *SCGFailureInformationNR* message to lower layers for transmission.

## 5.6.14 LTE-WLAN Aggregation

### 5.6.14.1 Introduction

E-UTRAN can configure the UE to connect to a WLAN and configure bearers for LWA (referred to as LWA DRBs). The UE uses the WLAN parameters received from E-UTRAN in performing WLAN measurements. The UE also performs WLAN connection management as described in 5.6.15 while LWA is configured.

### 5.6.14.2 Reception of LWA configuration

Upon reception of LWA configuration, the UE shall:

- 1> if the received *lwa-Configuration* is set to *release*:
  - 2> release the LWA configuration as described in 5.6.14.3;
- 1> else:
  - 2> if the received *lwa-Config* includes *lwa-WT-Counter*:
    - 3> determine the S-K<sub>WT</sub> key based on the K<sub>eNB</sub> key and received *lwa-WT-Counter* value, as specified in TS 33.401 [32];
    - 3> forward the S-K<sub>WT</sub> key to upper layers to be used as a PMK or PSK for WLAN authentication;
  - 2> if the received *lwa-Config* includes *lwa-MobilityConfig*:
    - 3> if the received *lwa-MobilityConfig* includes *wlan-ToReleaseList*:
      - 4> for each *WLAN-Identifiers* included in *wlan-ToReleaseList*:
        - 5> remove the *WLAN-Identifiers* if already part of the current *wlan-MobilitySet* in *VarWLAN-MobilityConfig*;
    - 3> if the received *lwa-MobilityConfig* includes *wlan-ToAddList*:
      - 4> for each *WLAN-Identifiers* included in *wlan-ToAddList*:
        - 5> add the *WLAN-Identifiers* to the current *wlan-MobilitySet* in *VarWLAN-MobilityConfig*;
    - 3> if the received *lwa-MobilityConfig* includes *associationTimer*:
      - 4> start or restart timer T351 with the timer value set to the *associationTimer*;
    - 3> if the received *lwa-MobilityConfig* includes *successReportRequested*:
      - 4> set *successReportRequested* in *VarWLAN-MobilityConfig* to the value of *successReportRequested*;
    - 3> if the received *lwa-MobilityConfig* includes *wlan-SuspendConfig*:
      - 4> set the field(s) in *wlan-SuspendConfig* within *VarWLAN-MobilityConfig* to the value(s) of field(s) included in *wlan-SuspendConfig*;
  - 2> start WLAN Status Monitoring as described in 5.6.15.4;

### 5.6.14.3 Release of LWA configuration

To release the LWA configuration, the UE shall:

- 1> for each LWA DRB that is part of the current UE configuration:
  - 2> disable data handling for this DRB at the LWAAP entity;
  - 2> perform PDCP data recovery as specified in TS 36.323 [8];
- 1> delete any existing values in *VarWLAN-MobilityConfig* and *VarWLAN-Status*;
- 1> stop timer T351, if running;
- 1> stop WLAN status monitoring and WLAN connection attempts for LWA;
- 1> indicate the release of LWA configuration, if configured, to upper layers;

## 5.6.15 WLAN connection management

### 5.6.15.1 Introduction

WLAN connection management procedures in this clause are triggered as specified in other clauses where the UE is using a WLAN connection for LWA, RCLWI or LWIP.

The UE stores the current WLAN mobility set, which is a set of one or more WLAN identifier(s) (e.g. BSSID, SSID, HESSID) in *wlan-MobilitySet* in *VarWLAN-MobilityConfig*. This WLAN mobility set can be configured and updated by the eNB. A WLAN is considered to be inside the WLAN mobility set if its identifiers match all WLAN identifiers of at least one entry in *wlan-MobilitySet* and outside the WLAN mobility set otherwise. When the UE receives a new or updated WLAN mobility set, it initiates connection to a WLAN inside the WLAN mobility set, if not already connected to such a WLAN, and starts WLAN status monitoring as described in 5.6.15.4. The UE can perform WLAN mobility within the WLAN mobility set (connect or reconnect to a WLAN inside the WLAN mobility set) without any signalling to E-UTRAN.

The UE reports the WLAN connection status information to E-UTRAN as described in 5.6.15.2. The information in this report is based on the monitoring of WLAN connection as described in 5.6.15.4.

### 5.6.15.2 WLAN connection status reporting

#### 5.6.15.2.1 General



**Figure 5.6.15.2.1-1: WLAN connection status reporting**

The purpose of this procedure is to inform E-UTRAN about the status of WLAN connection for LWA, RCLWI, or LWIP.

#### 5.6.15.2.2 Initiation

The UE in RRC\_CONNECTED initiates the WLAN status reporting procedure when:

- 1> it connects successfully to a WLAN inside WLAN mobility set while T351 is running after a WLAN mobility set change; or

- 1> after a *lwa-WT-Counter* update or after a *lwip-Counter* update (if success report is requested by the eNB); or
- 1> its connection or connection attempts to all WLAN(s) inside WLAN mobility set fails in accordance with WLAN Status Monitoring described in 5.6.15.4; or
- 1> T351 expires; or
- 1> its WLAN connection to all WLAN(s) inside WLAN mobility set becomes temporarily unavailable; or
- 1> its WLAN connection to a WLAN inside the WLAN mobility set is successfully established after its previous WLAN Connection Status Report indicating WLAN temporary suspension;

Upon initiating the procedure, the UE shall:

- 1> initiate transmission of the *WLANConnectionStatusReport* message in accordance with 5.6.15.2.3;

#### 5.6.15.2.3 Actions related to transmission of *WLANConnectionStatusReport* message

The UE shall set the contents of the *WLANConnectionStatusReport* message as follows:

- 1> set *wlan-status* to *status* in *VarWLAN-Status*;
- 1> submit the *WLANConnectionStatusReport* message to lower layers for transmission, upon which the procedure ends;

#### 5.6.15.3 T351 Expiry (WLAN connection attempt timeout)

Upon T351 expiry, the UE shall:

- 1> set the *status* in *VarWLAN-Status* to *failureTimeout*;
- 1> perform WLAN connection status reporting procedure in 5.6.15.2;
- 1> stop WLAN status monitoring and WLAN connection attempts;

#### 5.6.15.4 WLAN status monitoring

To perform WLAN status monitoring, the UE shall:

- 1> if UE is not configured with *rclwi-Configuration* and WLAN connection to a WLAN inside the WLAN mobility set is successfully established or maintained after a WLAN mobility set configuration update, after a *lwa-WT-Counter* update or after a *lwip-Counter* update:
  - 2> set the *status* in *VarWLAN-Status* to *successfulAssociation*;
  - 2> stop timer T351, if running;
  - 2> if *successReportRequested* in *VarWLAN-MobilityConfig* is set to *TRUE*:
    - 3> perform WLAN Connection Status Reporting procedure in 5.6.15.2;
- 1> if WLAN connection or connection attempts to all WLAN(s) inside WLAN mobility set fails:
  - 2> if the failure is due to WLAN radio link issues:
    - 3> set the *status* in *VarWLAN-Status* to *failureWlanRadioLink*;
  - 2> else if the failure is due to UE internal problems related to WLAN:
    - 3> set the *status* in *VarWLAN-Status* to *failureWlanUnavailable*;

NOTE 1: The UE internal problems related to WLAN include connection to another WLAN based on user preferences or turning off WLAN connection or connection rejection from WLAN or other WLAN problems.

- 3> remove all WLAN related measurement reporting entries within *VarMeasReportList*;



- 2> stop timer T351, if running;
- 2> perform WLAN Connection Status Reporting procedure in 5.6.15.2;
- 2> if the UE is configured with *rclwi-Configuration*:
  - 3> release *rclwi-Configuration* and inform upper layers of a move-traffic-from-WLAN indication (see TS 24.302 [74]);
- 2> stop WLAN Status Monitoring and WLAN connection attempts;
- 1> if *wlan-SuspendResumeAllowed* in *wlan-SuspendConfig* within *VarWLAN-MobilityConfig* is set to *TRUE*:
  - 2> if WLAN connection to all WLAN(s) inside WLAN mobility set becomes temporarily unavailable:
    - 3> set the *status* in *VarWLAN-Status* to *suspended*;
    - 3> if *wlan-SuspendTriggersStatusReport* in *wlan-SuspendConfig* within *VarWLAN-MobilityConfig* is set to *TRUE*:
      - 4> trigger PDCP Status Report as specified in TS 36.323 [8];
    - 3> perform WLAN Connection Status Reporting procedure in 5.6.15.2;
  - 2> if the *status* in *VarWLAN-Status* in the last WLAN Connection Status Report by this UE was *suspended* and WLAN connection to a WLAN inside the WLAN mobility set is successfully established:
    - 3> set the *status* in *VarWLAN-Status* to *resumed*;
    - 3> perform WLAN Connection Status Reporting procedure in 5.6.15.2;

## 5.6.16 RAN controlled LTE-WLAN interworking

### 5.6.16.1 General

The purpose of this procedure is to perform RAN-controlled LTE-WLAN interworking (RCLWI) i.e. control access network selection and traffic steering between E-UTRAN and WLAN.

### 5.6.16.2 WLAN traffic steering command

The UE shall:

- 1> if the received *rclwi-Configuration* is set to *setup*:
  - 2> if the *command* is set to *steerToWLAN*:
    - 3> inform the upper layers of a move-traffic-to-WLAN indication along with the WLAN identifier lists in *steerToWLAN* (see TS 24.302 [74]);
    - 3> store *steerToWLAN* in *wlan-MobilitySet* in *VarWLAN-MobilityConfig*;
    - 3> perform the WLAN status monitoring procedure as specified in 5.6.15.4 using *steerToWLAN* as the WLAN mobility set;
  - 2> else:
    - 3> inform the upper layers of a move-traffic-from-WLAN indication (see TS 24.302 [74]);
    - 3> clear *wlan-MobilitySet* in *VarWLAN-MobilityConfig*;
    - 3> stop performing the WLAN status monitoring procedure as specified in 5.6.15.4;
    - 3> delete any existing values in *VarWLAN-Status*;
- 1> else (the *rclwi-Configuration* is released):

- 2> clear *wlan-MobilitySet* in *VarWLAN-MobilityConfig*;
- 2> stop performing the WLAN status monitoring procedure as specified in 5.6.15.4;
- 2> delete any existing values in *VarWLAN-Status*;
- 2> inform the upper layers of release of the *rclwi-Configuration*.

## 5.6.17 LTE-WLAN aggregation with IPsec tunnel

### 5.6.17.1 General

The WLAN resources that are used over the LWIP tunnel as described in TS 36.300 [9] established as part of LWIP procedures are referred to as 'LWIP resources'. The purpose of this clause is to specify procedures to indicate to higher layers to initiate the establishment/ release of the LWIP tunnel over WLAN and to indicate which DRB(s) shall use the LWIP resources.

### 5.6.17.2 LWIP reconfiguration

The UE shall:

- 1> if the received *lwip-Configuration* is set to *release*:
  - 2> release the LWIP configuration, if configured, as described in 5.6.17.3;
- 1> else:
  - 2> if *lwip-MobilityConfig* is included:
    - 3> if the received *lwip-MobilityConfig* includes *wlan-ToReleaseList*:
      - 4> for each *WLAN-Identifiers* included in *wlan-ToReleaseList*:
        - 5> remove the *WLAN-Identifiers* if already part of the current *wlan-MobilitySet* in *VarWLAN-MobilityConfig*;
    - 3> if the received *lwip-MobilityConfig* includes *wlan-ToAddList*:
      - 4> for each *WLAN-Identifiers* included in *wlan-ToAddList*:
        - 5> add the *WLAN-Identifiers* to the current *wlan-MobilitySet* in *VarWLAN-MobilityConfig*;
    - 3> if the received *lwip-MobilityConfig* includes *associationTimer*:
      - 4> start timer T351 with the timer value set according to the value of *associationTimer*;
    - 3> if the received *lwip-MobilityConfig* includes *successReportRequested*:
      - 4> set *successReportRequested* in *VarWLAN-MobilityConfig* to the value of *successReportRequested*;
  - 2> if *tunnelConfigLWIP* is included:
    - 3> indicate to higher layers to configure the LWIP tunnel according to the received *tunnelConfigLWIP*, as specified in TS 33.401 [32];
    - 3> if *lwip-Counter* is included:
      - 4> determine the LWIP-PSK based on the  $K_{eNB}$  key and received *lwip-Counter* value, as specified in TS 33.401 [32];
      - 4> forward the LWIP-PSK to upper layers for LWIP tunnel establishment;
  - 2> start WLAN Status Monitoring as described in 5.6.15.4;

### 5.6.17.3 LWIP release

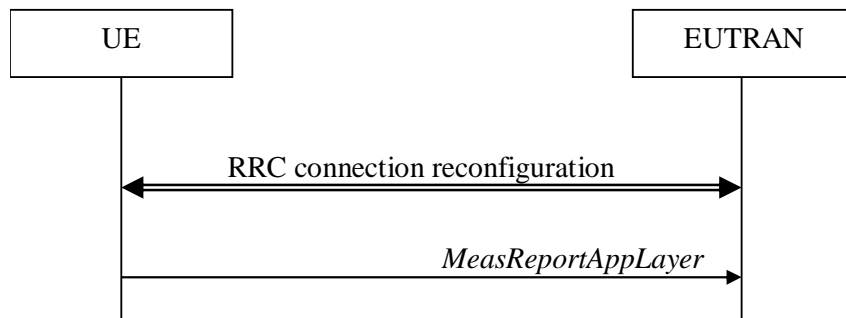
The UE shall:

- 1> delete any existing values in *VarWLAN-MobilityConfig* and *VarWLAN-Status*;
- 1> stop timer T351, if running;
- 1> release the *lwip-Configuration*;
- 1> indicate to higher layers to stop all DRBs from using the LWIP resources;
- 1> indicate to higher layers to release the LWIP tunnel, as specified in TS 33.401 [32];
- 1> stop WLAN status monitoring and WLAN connection attempts for LWIP;

### 5.6.18 Void

### 5.6.19 Application layer measurement reporting

#### 5.6.19.1 General



**Figure 5.6.19.1-1: Application layer measurement reporting**

The purpose of this procedure is to inform E-UTRAN about application layer measurement report.

#### 5.6.19.2 Initiation

A UE capable of application layer measurement reporting in RRC\_CONNECTED may initiate the procedure when configured with application layer measurement, i.e. when *measConfigAppLayer* has been configured by E-UTRAN.

Upon initiating the procedure, the UE shall:

- 1> if configured with application layer measurement, and SRB4 is configured, and the UE has received application layer measurement report information from upper layers:
  - 2> set the *measReportAppLayerContainer* in the *MeasReportAppLayer* message to the value of the application layer measurement report information;
  - 2> set the *serviceType* in the *MeasReportAppLayer* message to the type of the application layer measurement report information;
  - 2> submit the *MeasReportAppLayer* message to lower layers for transmission via SRB4.

## 5.6.20 IDLE Mode Measurements

### 5.6.20.1 General

This procedure specifies the measurements done by a UE in RRC\_IDLE or RRC\_INACTIVE when it has an IDLE mode measurement configuration and the storage of the available measurements by a UE in RRC\_IDLE, RRC\_INACTIVE and RRC\_CONNECTED.

### 5.6.20.2 Initiation

While T331 is running, the UE shall:

- 1> perform the measurements in accordance with the following:
  - 2> for each entry in *measIdleCarrierListEUTRA* within *VarMeasIdleConfig*:
    - 3> if UE supports carrier aggregation between serving carrier and the carrier frequency and bandwidth indicated by *carrierFreq* and *allowedMeasBandwidth* within the corresponding entry;
    - 4> perform measurements in the carrier frequency and bandwidth indicated by *carrierFreq* and *allowedMeasBandwidth* within the corresponding entry;

NOTE 1: The fields *s-NonIntraSearch* in *SystemInformationBlockType3* do not affect the UE measurement procedures in IDLE mode. How the UE performs measurements in IDLE mode is up to UE implementation as long as the requirements in TS 36.133 [16] are met for measurement reporting. UE is not required to perform idle measurements if the SIB2 does not contain *idleModeMeasurements*.

- 4> if the *measCellList* is included:
  - 5> consider the serving cell and cells identified by each entry within the *measCellList* to be applicable for idle mode measurement reporting;
- 4> else:
  - 5> consider the serving cell and up to *maxCellMeasIdle* strongest identified cells whose RSRP/RSRQ measurement results are above the value(s) provided in *qualityThreshold* (if any) to be applicable for idle mode measurement reporting;
- 4> store measurement results for cells applicable for idle mode measurement reporting within the *VarMeasIdleReport*;

NOTE 2: How the UE prioritizes which frequencies to measure or report (in case it is configured with more frequencies than it can measure or report) is left to UE implementation.

- 3> else:
  - 4> do not consider the carrier frequency to be applicable for idle mode measurement reporting;
- 1> if *validityArea* is configured in *VarMeasIdleConfig* and UE reselects to a serving cell whose physical cell identity does not match any entry in *validityArea* for the corresponding carrier frequency:
  - 2> stop T331;

### 5.6.20.3 T331 expiry or stop

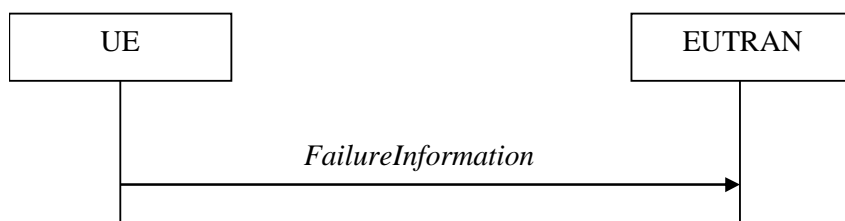
The UE shall:

- 1> if T331 expires or is stopped:
  - 2> release the *VarMeasIdleConfig*;

NOTE: It is up to UE implementation whether to continue IDLE mode measurements according to SIB5 configuration after T331 has expired or stopped.

## 5.6.21 Failure information

### 5.6.21.1 General



**Figure 5.6.21.1-1: Failure information**

The purpose of this procedure is to inform E-UTRAN about a failure that the UE has experienced.

### 5.6.21.2 Initiation

A UE initiates the procedure to report failures when one of the following conditions is met:

- 1> upon detecting RLC failure, in accordance with 5.3.11.

Upon initiating the procedure, the UE shall:

- 1> initiate transmission of the *FailureInformation* message in accordance with 5.6.21.3;

### 5.6.21.3 Actions related to transmission of *FailureInformation* message

When initiating the procedure according to 5.6.21.2, the UE shall set the contents of the *FailureInformation* message as follows:

- 1> if the procedure is initiated to report RLC failure:
  - 2> set *logicalChannelIdentity* to the logical channel identity of the RLC entity;
  - 2> set *cellGroupIndication* to the cell group where the RLC entity is located;
  - 2> set *failureType* to the type of failure that has been detected;

The UE shall submit the *FailureInformation* message to lower layers for transmission.

## 5.7 Generic error handling

### 5.7.1 General

The generic error handling defined in the subsequent clauses applies unless explicitly specified otherwise e.g. within the procedure specific error handling.

The UE shall consider a value as not comprehended when it is set:

- to an extended value that is not defined in the version of the transfer syntax supported by the UE.
- to a spare or reserved value unless the specification defines specific behaviour that the UE shall apply upon receiving the concerned spare/ reserved value.

The UE shall consider a field as not comprehended when it is defined:

- as spare or reserved unless the specification defines specific behaviour that the UE shall apply upon receiving the concerned spare/ reserved field.

## 5.7.2 ASN.1 violation or encoding error

The UE shall:

- 1> when receiving an RRC message on the BCCH, BR-BCCH, PCCH, CCCH, MCCH, SC-MCCH or SBCCH for which the abstract syntax is invalid, as specified in ITU-T X.680 (07/2002) [13]:
  - 2> ignore the message;

NOTE: This clause applies in case one or more fields is set to a value, other than a spare, reserved or extended value, not defined in this version of the transfer syntax. E.g. in the case the UE receives value 12 for a field defined as INTEGER (1..11). In cases like this, it may not be possible to reliably detect which field is in the error hence the error handling is at the message level.

## 5.7.3 Field set to a not comprehended value

The UE shall, when receiving an RRC message on any logical channel:

- 1> if the message includes a field that has a value that the UE does not comprehend:
  - 2> if a default value is defined for this field:
    - 3> treat the message while using the default value defined for this field;
  - 2> else if the concerned field is optional:
    - 3> treat the message as if the field were absent and in accordance with the need code for absence of the concerned field;
  - 2> else:
    - 3> treat the message as if the field were absent and in accordance with clause 5.7.4;

## 5.7.4 Mandatory field missing

The UE shall:

- 1> if the message includes a field that is mandatory to include in the message (e.g. because conditions for mandatory presence are fulfilled) and that field is absent or treated as absent:
  - 2> if the RRC message was received on DCCH or CCCH:
    - 3> ignore the message;
  - 2> else:
    - 3> if the field concerns a (sub-field of) an entry of a list (i.e. a SEQUENCE OF):
      - 4> treat the list as if the entry including the missing or not comprehended field was not present;
    - 3> else if the field concerns a sub-field of another field, referred to as the 'parent' field i.e. the field that is one nesting level up compared to the erroneous field:
      - 4> consider the 'parent' field to be set to a not comprehended value;
      - 4> apply the generic error handling to the subsequent 'parent' field(s), until reaching the top nesting level i.e. the message level;
    - 3> else (field at message level):
      - 4> ignore the message;

NOTE 1: The error handling defined in these clauses implies that the UE ignores a message with the message type or version set to a not comprehended value.

NOTE 2: The nested error handling for messages received on logical channels other than DCCH and CCCH applies for errors in extensions also, even for errors that can be regarded as invalid E-UTRAN operation e.g. E-UTRAN not observing conditional presence.

The following ASN.1 further clarifies the levels applicable in case of nested error handling for errors in extension fields.

```
-- /example/ ASN1START
-- Example with extension addition group
ItemInfoList ::= SEQUENCE (SIZE (1..max)) OF ItemInfo
ItemInfo ::= SEQUENCE {
  itemIdentity      INTEGER (1..max),
  field1            Field1,
  field2            Field2                OPTIONAL,      -- Need ON
  ...
  [[ field3-r9      Field3-r9            OPTIONAL,      -- Cond Cond1
    field4-r9      Field4-r9            OPTIONAL        -- Need ON
  ]]
}
-- Example with traditional non-critical extension (empty sequence)
BroadcastInfoBlock1 ::= SEQUENCE {
  itemIdentity      INTEGER (1..max),
  field1            Field1,
  field2            Field2                OPTIONAL,      -- Need ON
  nonCriticalExtension BroadcastInfoBlock1-v940-IEs OPTIONAL
}
BroadcastInfoBlock1-v940-IEs ::= SEQUENCE {
  field3-r9      Field3-r9            OPTIONAL,      -- Cond Cond1
  field4-r9      Field4-r9            OPTIONAL,      -- Need ON
  nonCriticalExtension SEQUENCE {}      OPTIONAL        -- Need OP
}
-- ASN1STOP
```

The UE shall, apply the following principles regarding the levels applicable in case of nested error handling:

- an extension addition group is not regarded as a level on its own. E.g. in the ASN.1 extract in the previous, a error regarding the conditionality of *field3* would result in the entire *itemInfo* entry to be ignored (rather than just the extension addition group containing *field3* and *field4*)
- a traditional *nonCriticalExtension* is not regarded as a level on its own. E.g. in the ASN.1 extract in the previous, a error regarding the conditionality of *field3* would result in the entire *BroadcastInfoBlock1* to be ignored (rather than just the non critical extension containing *field3* and *field4*).

## 5.7.5 Not comprehended field

The UE shall, when receiving an RRC message on any logical channel:

- 1> if the message includes a field that the UE does not comprehend:
- 2> treat the rest of the message as if the field was absent;

NOTE: This clause does not apply to the case of an extension to the value range of a field. Such cases are addressed instead by the requirements in clause 5.7.3.

## 5.8 MBMS

### 5.8.1 Introduction

#### 5.8.1.1 General

In general the control information relevant only for UEs supporting MBMS is separated as much as possible from unicast control information. Most of the MBMS control information is provided on a logical channel specific for MBMS common control information: the MCCH. E-UTRA employs one MCCH logical channel per MBSFN area. In case the network configures multiple MBSFN areas, the UE acquires the MBMS control information from the MCCHs that are configured to identify if services it is interested to receive are ongoing. The action applicable when the UE is unable to simultaneously receive MBMS and unicast services is up to UE implementation. In this release of the specification, an MBMS capable UE is only required to support reception of a single MBMS service at a time, and reception of more than one MBMS service (also possibly on more than one MBSFN area) in parallel is left for UE implementation. The MCCH carries the *MBSFNAreaConfiguration* message, which indicates the MBMS sessions that are ongoing as well as the (corresponding) radio resource configuration. The MCCH may also carry the *MBMSCountingRequest* message, when E-UTRAN wishes to count the number of UEs in RRC\_CONNECTED that are receiving or interested to receive one or more specific MBMS services.

A limited amount of MBMS control information is provided on the BCCH. This primarily concerns the information needed to acquire the MCCH(s). This information is carried by means of a single MBMS specific *SystemInformationBlock: SystemInformationBlockType13*. An MBSFN area is identified solely by the *mbsfn-AreaId* in *SystemInformationBlockType13*. At mobility, the UE considers that the MBSFN area is continuous when the source cell and the target cell broadcast the same value in the *mbsfn-AreaId*.

#### 5.8.1.2 Scheduling

The MCCH information is transmitted periodically, using a configurable repetition period. Scheduling information is not provided for MCCH i.e. both the time domain scheduling as well as the lower layer configuration are semi-statically configured, as defined within *SystemInformationBlockType13*.

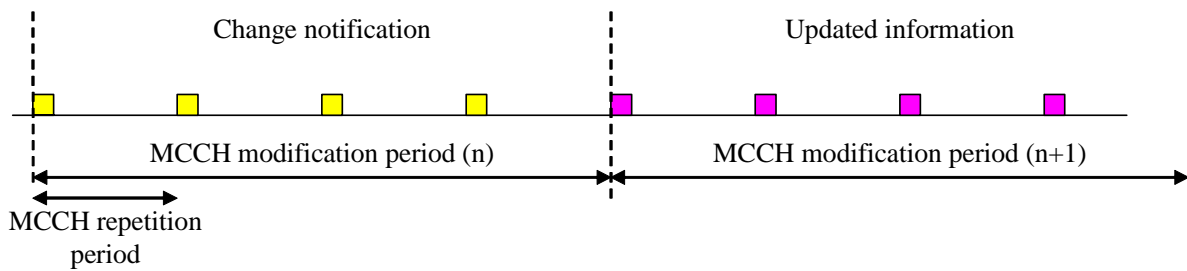
For MBMS user data, which is carried by the MTCH logical channel, E-UTRAN periodically provides MCH scheduling information (MSI) at lower layers (MAC). This MCH information only concerns the time domain scheduling i.e. the frequency domain scheduling and the lower layer configuration are semi-statically configured. The periodicity of the MSI is configurable and defined by the MCH scheduling period.

#### 5.8.1.3 MCCH information validity and notification of changes

Change of MCCH information only occurs at specific radio frames, i.e. the concept of a modification period is used. Within a modification period, the same MCCH information may be transmitted a number of times, as defined by its scheduling (which is based on a repetition period). The modification period boundaries are defined by SFN values for which  $\text{SFN mod } m = 0$ , where  $m$  is the number of radio frames comprising the modification period. The modification period is configured by means of *SystemInformationBlockType13*.

When the network changes (some of) the MCCH information, it notifies the UEs about the change during a first modification period. In the next modification period, the network transmits the updated MCCH information. These general principles are illustrated in figure 5.8.1.3-1, in which different colours indicate different MCCH information. Upon receiving a change notification, a UE interested to receive MBMS services acquires the new MCCH information immediately from the start of the next modification period. The UE applies the previously acquired MCCH information until the UE acquires the new MCCH information.





**Figure 5.8.1.3-1: Change of MCCH Information**

Indication of an MBMS specific RNTI, the M-RNTI (see TS 36.321 [6]), on PDCCH is used to inform UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about an MCCH information change. When receiving an MCCH information change notification, the UE knows that the MCCH information will change at the next modification period boundary. The notification on PDCCH indicates which of the MCCHs will change, which is done by means of an 8-bit bitmap. Within this bitmap, the bit at the position indicated by the field *notificationIndicator* is used to indicate changes for that MBSFN area: if the bit is set to "1", the corresponding MCCH will change. No further details are provided e.g. regarding which MCCH information will change. The MCCH information change notification is used to inform the UE about a change of MCCH information upon session start or about the start of MBMS counting.

The MCCH information change notifications on PDCCH are transmitted periodically and are carried on MBSFN subframes only except on MBMS-dedicated cell or FeMBMS/Unicast-mixed cell where the MCCH information change is provided on non-MBSFN subframes. These MCCH information change notification occasions are common for all MCCHs that are configured, and configurable by parameters included in *SystemInformationBlockType13*: a repetition coefficient, a radio frame offset and a subframe index. These common notification occasions are based on the MCCH with the shortest modification period.

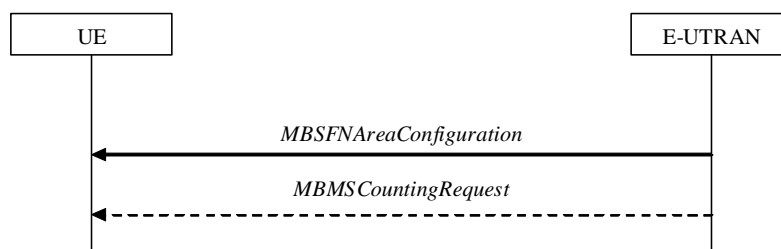
NOTE 1: E-UTRAN may modify the MBMS configuration information provided on MCCH at the same time as updating the MBMS configuration information carried on BCCH i.e. at a coinciding BCCH and MCCH modification period. Upon detecting that a new MCCH is configured on BCCH, a UE interested to receive one or more MBMS services should acquire the MCCH, unless it knows that the services it is interested in are not provided by the corresponding MBSFN area.

A UE that is receiving an MBMS service via MRB shall acquire the MCCH information from the start of each modification period. A UE interested to receive MBMS from a carrier on which *dl-Bandwidth* included in *MasterInformationBlock* is set to *n6* shall acquire the MCCH information at least once every MCCH modification period. A UE that is not receiving an MBMS service via MRB, as well as UEs that are receiving an MBMS service via MRB but potentially interested to receive other services not started yet in another MBSFN area from a carrier on which *dl-Bandwidth* included in *MasterInformationBlock* is other than *n6*, shall verify that the stored MCCH information remains valid by attempting to find the MCCH information change notification at least *notificationRepetitionCoeff* times during the modification period of the applicable MCCH(s), if no MCCH information change notification is received.

NOTE 2: In case the UE is aware which MCCH(s) E-UTRAN uses for the service(s) it is interested to receive, the UE may only need to monitor change notifications for a subset of the MCCHs that are configured, referred to as the 'applicable MCCH(s)' in the above.

## 5.8.2 MCCH information acquisition

### 5.8.2.1 General



**Figure 5.8.2.1-1: MCCH information acquisition**

The UE applies the MCCH information acquisition procedure to acquire the MBMS control information that is broadcasted by the E-UTRAN. The procedure applies to MBMS capable UEs that are in RRC\_IDLE or in RRC\_CONNECTED.

### 5.8.2.2 Initiation

A UE interested to receive MBMS services shall apply the MCCH information acquisition procedure upon entering the corresponding MBSFN area (e.g. upon power on, following UE mobility) and upon receiving a notification that the MCCH information has changed. A UE that is receiving an MBMS service shall apply the MCCH information acquisition procedure to acquire the MCCH, that corresponds with the service that is being received, at the start of each modification period.

Unless explicitly stated otherwise in the procedural specification, the MCCH information acquisition procedure overwrites any stored MCCH information, i.e. delta configuration is not applicable for MCCH information and the UE discontinues using a field if it is absent in MCCH information unless explicitly specified otherwise.

### 5.8.2.3 MCCH information acquisition by the UE

An MBMS capable UE shall:

- 1> if the procedure is triggered by an MCCH information change notification:
  - 2> start acquiring the *MBSFNAreaConfiguration* message and the *MBMSCountingRequest* message if present, from the beginning of the modification period following the one in which the change notification was received;

NOTE 1: The UE continues using the previously received MCCH information until the new MCCH information has been acquired.

- 1> if the UE enters an MBSFN area:
  - 2> acquire the *MBSFNAreaConfiguration* message and the *MBMSCountingRequest* message if present, at the next repetition period;
- 1> if the UE is receiving an MBMS service:
  - 2> start acquiring the *MBSFNAreaConfiguration* message and the *MBMSCountingRequest* message if present, that both concern the MBSFN area of the service that is being received, from the beginning of each modification period;

### 5.8.2.4 Actions upon reception of the *MBSFNAreaConfiguration* message

No UE requirements related to the contents of this *MBSFNAreaConfiguration* apply other than those specified elsewhere e.g. within procedures using the concerned system information, the corresponding field descriptions.

### 5.8.2.5 Actions upon reception of the *MBMSCountingRequest* message

Upon receiving *MBMSCountingRequest* message, the UE shall perform the MBMS Counting procedure as specified in 5.8.4.

## 5.8.3 MBMS PTM radio bearer configuration

### 5.8.3.1 General

The MBMS PTM radio bearer configuration procedure is used by the UE to configure RLC, MAC and the physical layer upon starting and/or stopping to receive an MRB. The procedure applies to UEs interested to receive one or more MBMS services.

NOTE: In case the UE is unable to receive an MBMS service due to capability limitations, upper layers may take appropriate action e.g. terminate a lower priority unicast service.

### 5.8.3.2 Initiation

The UE applies the MRB establishment procedure to start receiving a session of a service it has an interest in. The procedure may be initiated e.g. upon start of the MBMS session, upon (re-)entry of the corresponding MBSFN service area, upon becoming interested in the MBMS service, upon removal of UE capability limitations inhibiting reception of the concerned service.

The UE applies the MRB release procedure to stop receiving a session. The procedure may be initiated e.g. upon stop of the MBMS session, upon leaving the corresponding MBSFN service area, upon losing interest in the MBMS service, when capability limitations start inhibiting reception of the concerned service.

### 5.8.3.3 MRB establishment

Upon MRB establishment, the UE shall:

- 1> establish an RLC entity in accordance with the configuration specified in 9.1.1.4;
- 1> configure an MTCH logical channel in accordance with the received *logicalChannelIdentity*, applicable for the MRB, as included in the *MBSFNAreaConfiguration* message;
- 1> configure the physical layer in accordance with the *pmch-Config*, applicable for the MRB, as included in the *MBSFNAreaConfiguration* message;
- 1> inform upper layers about the establishment of the MRB by indicating the corresponding *tmgi* and *sessionId*;

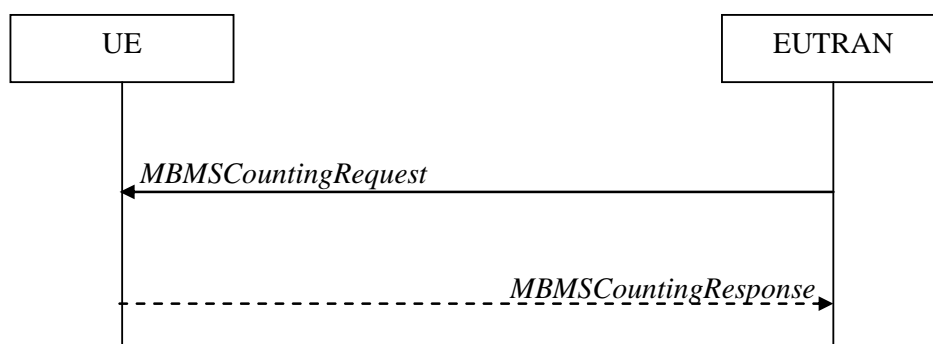
### 5.8.3.4 MRB release

Upon MRB release, the UE shall:

- 1> release the RLC entity as well as the related MAC and physical layer configuration;
- 1> inform upper layers about the release of the MRB by indicating the corresponding *tmgi* and *sessionId*;

## 5.8.4 MBMS Counting Procedure

### 5.8.4.1 General



**Figure 5.8.4.1-1: MBMS Counting procedure**

The MBMS Counting procedure is used by the E-UTRAN to count the number of RRC\_CONNECTED mode UEs which are receiving via an MRB or interested to receive via an MRB the specified MBMS services.

The UE determines interest in an MBMS service, that is identified by the TMGI, by interaction with upper layers.

### 5.8.4.2 Initiation

E-UTRAN initiates the procedure by sending an *MBMSCountingRequest* message.

### 5.8.4.3 Reception of the *MBMSCountingRequest* message by the UE

Upon receiving the *MBMSCountingRequest* message, the UE in RRC\_CONNECTED mode shall:

- 1> if the *SystemInformationBlockType1*, that provided the scheduling information for the *systemInformationBlockType13* that included the configuration of the MCCH via which the *MBMSCountingRequest* message was received, contained the identity of the Registered PLMN; and
- 1> if the UE is receiving via an MRB or interested to receive via an MRB at least one of the services in the received *countingRequestList*:
  - 2> if more than one entry is included in the *mbsfn-AreaInfoList* received in the *SystemInformationBlockType13* that included the configuration of the MCCH via which the *MBMSCountingRequest* message was received:
    - 3> include the *mbsfn-AreaIndex* in the *MBMSCountingResponse* message and set it to the index of the entry in the *mbsfn-AreaInfoList* within the received *SystemInformationBlockType13* that corresponds with the MBSFN area used to transfer the received *MBMSCountingRequest* message;
  - 2> for each MBMS service included in the received *countingRequestList*:
    - 3> if the UE is receiving via an MRB or interested to receive via an MRB this MBMS service:
      - 4> include an entry in the *countingResponseList* within the *MBMSCountingResponse* message with *countingResponseService* set it to the index of the entry in the *countingRequestList* within the received *MBMSCountingRequest* that corresponds with the MBMS service the UE is receiving or interested to receive;
  - 2> submit the *MBMSCountingResponse* message to lower layers for transmission upon which the procedure ends;

NOTE 1: UEs that are receiving an MBMS User Service, as specified in TS 23.246 [56], by means of a Unicast Bearer Service, as specified in TS 26.346 [57], (i.e. via a DRB), but are interested to receive the concerned MBMS User Service, as specified in TS 23.246 [56], via an MBMS Bearer Service (i.e. via an MRB), respond to the counting request.

NOTE 2: If ciphering is used at upper layers, the UE does not respond to the counting request if it can not decipher the MBMS service for which counting is performed (see TS 22.146 [62], clause 5.3).

NOTE 3: The UE treats the *MBMSCountingRequest* messages received in each modification period independently. In the unlikely case E-UTRAN would repeat an *MBMSCountingRequest* (i.e. including the same services) in a subsequent modification period, the UE responds again. The UE provides at most one *MBMSCountingResponse* message to multiple transmission attempts of an *MBMSCountingRequest* messages in a given modification period.

## 5.8.5 MBMS interest indication

### 5.8.5.1 General

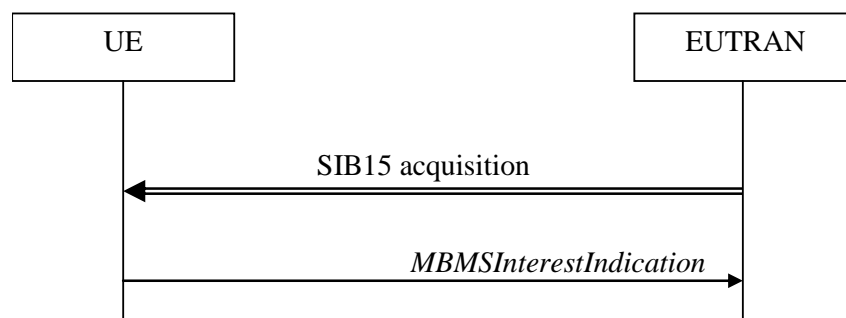


Figure 5.8.5.1-1: MBMS interest indication

The purpose of this procedure is to inform E-UTRAN that the UE is receiving or is interested to receive MBMS service(s) via an MRB or SC-MRB, and if so, to inform E-UTRAN about the priority of MBMS versus unicast reception or MBMS service(s) reception in receive only mode.

### 5.8.5.2 Initiation

An MBMS or SC-PTM capable UE in RRC\_CONNECTED may initiate the procedure in several cases including upon successful connection establishment, upon entering or leaving the service area, upon session start or stop, upon change of interest, upon change of priority between MBMS reception and unicast reception, upon change to a PCell broadcasting *SystemInformationBlockType15*, upon starting and stopping of MBMS service(s) in receive only mode, upon change of receive only mode frequency, bandwidth or subcarrier spacing of MBMS service(s) in receive only mode.

Upon initiating the procedure, the UE shall:

- 1> if *SystemInformationBlockType15* is broadcast by the PCell; or
  - 1> if *mbms-ROM-ServiceIndication* is received in *SystemInformationBlockType2* from PCell:
    - 2> ensure having a valid version of *SystemInformationBlockType15* for the PCell, if present;
    - 2> if the UE did not transmit an *MBMSInterestIndication* message since last entering RRC\_CONNECTED state; or
    - 2> if since the last time the UE transmitted an *MBMSInterestIndication* message, the UE connected to a PCell neither broadcasting *SystemInformationBlockType15* nor including *mbms-ROM-ServiceIndication* in *SystemInformationBlockType2*:
      - 3> if the set of MBMS frequencies of interest, determined in accordance with 5.8.5.3, is not empty:
        - 4> initiate transmission of the *MBMSInterestIndication* message in accordance with 5.8.5.4;
      - 2> else:
        - 3> if the set of MBMS frequencies of interest, determined in accordance with 5.8.5.3, has changed since the last transmission of the *MBMSInterestIndication* message; or
        - 3> if at least one of the subcarrier spacing or bandwidth parameter of receive only mode MBMS frequency of interest, determined in accordance with 5.8.5.3, has changed since the last transmission of the *MBMSInterestIndication* message; or
        - 3> if the prioritisation of reception of all indicated MBMS frequencies compared to reception of any of the established unicast bearers has changed since the last transmission of the *MBMSInterestIndication* message:
          - 4> initiate transmission of the *MBMSInterestIndication* message in accordance with 5.8.5.4;
- NOTE: The UE may send an *MBMSInterestIndication* even when it is able to receive the MBMS services it is interested in i.e. to avoid that the network allocates a configuration inhibiting MBMS reception.
- 3> else if *SystemInformationBlockType20* is broadcast by the PCell:
    - 4> if since the last time the UE transmitted an *MBMSInterestIndication* message, the UE connected to a PCell not broadcasting *SystemInformationBlockType20*; or
    - 4> if the set of MBMS services of interest determined in accordance with 5.8.5.3a is different from *mbms-Services* included in the last transmission of the *MBMSInterestIndication* message;
      - 5> initiate the transmission of the *MBMSInterestIndication* message in accordance with 5.8.5.4.

### 5.8.5.3 Determine MBMS frequencies of interest

The UE shall:

- 1> consider a frequency to be part of the MBMS frequencies of interest if the following conditions are met:

- 2> at least one MBMS session the UE is receiving or interested to receive via an MRB or SC-MRB is ongoing or about to start; and

NOTE 1: The UE may determine whether the session is ongoing from the start and stop time indicated in the User Service Description (USD), see TS 36.300 [9] or TS 26.346 [57].

- 2> for at least one of these MBMS sessions either *SystemInformationBlockType15* acquired from the PCell includes for the concerned frequency one or more MBMS SAIs as indicated in the USD for this session or this session is in receive only mode; and

NOTE 2: The UE considers a frequency to be part of the MBMS frequencies of interest even though E-UTRAN may (temporarily) not employ an MRB or SC-MRB for the concerned session. I.e. the UE does not verify if the session is indicated on (SC-)MCCH

NOTE 3: The UE considers the frequencies of interest independently of any synchronization state, e.g. TS 36.300 [9], Annex J.1.

- 2> the UE is capable of simultaneously receiving MRBs and/or is capable of simultaneously receiving SC-MRBs on the set of MBMS frequencies of interest, regardless of whether a serving cell is configured on each of these frequencies or not; and

- 2> the *supportedBandCombination* the UE included in *UE-EUTRA-Capability* contains at least one band combination including the set of MBMS frequencies of interest;

NOTE 4: Indicating a frequency implies that the UE supports *SystemInformationBlockType13* or *SystemInformationBlockType20* acquisition for the concerned frequency i.e. the indication should be independent of whether a serving cell is configured on that frequency.

NOTE 5: When evaluating which frequencies it can receive simultaneously, the UE does not take into account the serving frequencies that are currently configured i.e. it only considers MBMS frequencies it is interested to receive.

NOTE 6: The set of MBMS frequencies of interest includes at most one frequency for a given physical frequency. The UE only considers a physical frequency to be part of the MBMS frequencies of interest if it supports at least one of the bands indicated for this physical frequency in *SystemInformationBlockType1* (for serving frequency) or *SystemInformationBlockType15* (for neighbouring frequencies). In this case, E-UTRAN may assume the UE supports MBMS reception on any of the bands supported by the UE (i.e. according to *supportedBandCombination*).

### 5.8.5.3a Determine MBMS services of interest

The UE shall:

- 1> consider a MBMS service to be part of the MBMS services of interest if the following conditions are met:
  - 2> the UE is SC-PTM capable; and
  - 2> the UE is receiving or interested to receive this service via an SC-MRB; and
  - 2> one session of this service is ongoing or about to start; and
  - 2> one or more MBMS SAIs in the USD for this service is included in *SystemInformationBlockType15* acquired from the PCell for a frequency belonging to the set of MBMS frequencies of interest, determined according to 5.8.5.3.

### 5.8.5.4 Actions related to transmission of *MBMSInterestIndication* message

The UE shall set the contents of the *MBMSInterestIndication* message as follows:

- 1> if the set of MBMS frequencies of interest, determined in accordance with 5.8.5.3, is not empty:
  - 2> include *mbms-FreqList* and set it to include the MBMS frequencies of interest sorted by decreasing order of interest, using the EARFCN corresponding with *freqBandIndicator* included in *SystemInformationBlockType1* (for serving frequency), if applicable, and the EARFCN(s) as included in *SystemInformationBlockType15* (for neighbouring frequencies);

NOTE 1: The EARFCN included in *mbms-FreqList* is merely used to indicate a physical frequency the UE is interested to receive i.e. the UE may not support the band corresponding to the included EARFCN (but it does support at least one of the bands indicated in system information for the concerned physical frequency).

- 2> include *mbms-Priority* if the UE prioritises reception of all indicated MBMS frequencies above reception of any of the unicast bearers;
- 2> if *SystemInformationBlockType20* is broadcast by the PCell:
  - 3> include *mbms-Services* and set it to indicate the set of MBMS services of interest determined in accordance with 5.8.5.3a;

NOTE 2: If the UE prioritises MBMS reception and unicast data cannot be supported because of congestion on the MBMS carrier(s), E-UTRAN may initiate release of unicast bearers. It is up to E-UTRAN implementation whether all bearers or only GBR bearers are released. E-UTRAN does not initiate re-establishment of the released unicast bearers upon alleviation of the congestion.

- 1> if the UE is receiving MBMS service(s) in receive only mode:
  - 2> if the *supportedBandCombination* the UE included in *UE-EUTRA-Capability* contains at least one band combination including the *mbms-ROM-Freq*:
  - 3> include *mbms-ROM-Freq*, *mbms-ROM-SubcarrierSpacing* and *mbms-Bandwidth*;

NOTE 3: The EARFCN included in *mbms-ROM-Freq* is used to indicate a physical frequency the UE is interested to receive MBMS service(s) in receive only mode and is determined based on UE implementation.

The UE shall submit the *MBMSInterestIndication* message to lower layers for transmission.

## 5.8a SC-PTM

### 5.8a.1 Introduction

#### 5.8a.1.1 General

SC-PTM control information is provided on a specific logical channel: the SC-MCCH. The SC-MCCH carries the *SCPTMConfiguration* message which indicates the MBMS sessions that are ongoing as well as the (corresponding) information on when each session may be scheduled, i.e. scheduling period, scheduling window and start offset. The *SCPTMConfiguration* message also provides information about the neighbour cells transmitting the MBMS sessions which are ongoing on the current cell. In this release of the specification, an SC-PTM capable UE is only required to support reception of a single MBMS service at a time, and reception of more than one MBMS service in parallel is left for UE implementation.

A limited amount of SC-PTM control information is provided on the BCCH or BR-BCCH. This primarily concerns the information needed to acquire the SC-MCCH.

NOTE: For BL UEs and UEs in CE, SC-MCCH transmission uses a 1.4 MHz channel bandwidth and a maximum TBS of 936 bits, see TS 36.213 [23]. For NB-IoT UEs, the maximum TBS for SC-MCCH transmission is 680 bits, see TS 36.213 [23].

#### 5.8a.1.2 SC-MCCH scheduling

The SC-MCCH information (i.e. information transmitted in messages sent over SC-MCCH) is transmitted periodically, using a configurable repetition period. SC-MCCH transmissions (and the associated radio resources and MCS) are indicated on PDCCH.

#### 5.8a.1.3 SC-MCCH information validity and notification of changes

Change of SC-MCCH information only occurs at specific radio frames, i.e. the concept of a modification period is used. Within a modification period, the same SC-MCCH information may be transmitted a number of times, as defined by its

scheduling (which is based on a repetition period). The modification period boundaries are defined by SFN values for which  $\text{SFN} \bmod m = 0$ , where  $m$  is the number of radio frames comprising the modification period. The modification period is configured by means of *SystemInformationBlockType20* (*SystemInformationBlockType20-NB* in NB-IoT). If H-SFN is provided in *SystemInformationBlockType1-BR*, modification period boundaries for BL UEs or UEs in CE are defined by SFN values for which  $(\text{H-SFN} * 1024 + \text{SFN}) \bmod m = 0$ . The modification period boundaries for NB-IoT UEs are defined by SFN values for which  $(\text{H-SFN} * 1024 + \text{SFN}) \bmod m = 0$ .

When the network changes (some of) the SC-MCCH information, it notifies the UEs, other than BL UEs, UEs in CE or NB-IoT UEs, about the change in the first subframe which can be used for SC-MCCH transmission in a repetition period. LSB bit in 8-bit bitmap when set to '1' indicates the change in SC-MCCH. Upon receiving a change notification, a UE interested to receive MBMS services transmitted using SC-PTM acquires the new SC-MCCH information starting from the same subframe. The UE applies the previously acquired SC-MCCH information until the UE acquires the new SC-MCCH information.

When the network changes (some of) the SC-MCCH information for start of new MBMS service(s) transmitted using SC-PTM, it notifies BL UEs, UEs in CE or NB-IoT UEs about the change in every PDCCH which schedules the first SC-MCCH in a repetition period in the current modification period. The notification is transmitted with 1 bit. The bit, when set to '1', indicates the start of new MBMS service(s), see TS 36.212 [22], clauses 5.3.3.1.14 and 6.4.3.3. Upon receiving a change notification, a BL UE, UE in CE or NB-IoT UE interested to receive MBMS services transmitted using SC-PTM acquires the new SC-MCCH information scheduled by the PDCCH. The BL UE, UE in CE or NB-IoT UE applies the previously acquired SC-MCCH information until the BL UE, UE in CE or NB-IoT UE acquires the new SC-MCCH information.

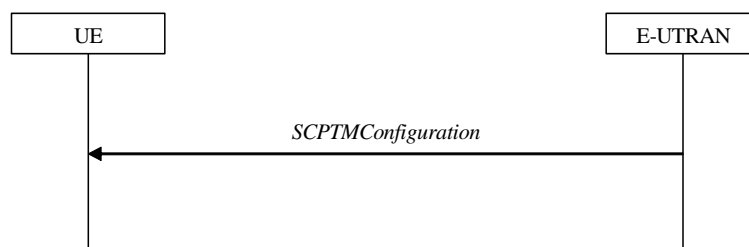
When the network changes SC-MTCH specific information e.g. start of new MBMS service(s) transmitted using SC-PTM or change of ongoing MBMS service(s) transmitted using SC-PTM, it notifies the BL UEs, UEs in CE or NB-IoT UEs in the PDCCH which schedules the SC-MTCH in the current modification period. The notification is transmitted with a 2 bit bitmap. The LSB in the 2-bit bitmap, when set to '1', indicates the change of the on-going MBMS service and the MSB in the 2-bit bitmap, when set to '1', indicates the start of new MBMS service(s), see TS 36.212 [22], clauses 5.3.3.1.12, 5.3.3.1.13 and 6.4.3.2. In the case the network changes an on-going SC-MTCH transmission in the next modification period, it notifies the BL UEs, UEs in CE or NB-IoT UEs in the PDCCH which schedules this SC-MTCH in the current modification period. In the case the network starts new MBMS service(s) transmitted using SC-PTM, the network notifies the UEs which have on-going SC-MTCH in the PDCCH scheduling each of the SC-MTCH. Upon receiving such notification, a BL UE, UE in CE or NB-IoT UE acquires the new SC-MCCH information at the start of the next modification period. The BL UE, UE in CE or NB-IoT UE applies the previously acquired SC-MCCH information until the BL UE, UE in CE or NB-IoT UE acquires the new SC-MCCH information.

#### 5.8a.1.4 Procedures

The SC-PTM capable UE receiving or interested to receive MBMS service(s) via SC-MRB applies SC-PTM procedures described in 5.8a and, except for NB-IoT UE, the MBMS interest indication procedure as specified in 5.8.5.

### 5.8a.2 SC-MCCH information acquisition

#### 5.8a.2.1 General



**Figure 5.8a.2.1-1: SC-MCCH information acquisition**

The UE applies the SC-MCCH information acquisition procedure to acquire the SC-PTM control information that is broadcast by the E-UTRAN. The procedure applies to SC-PTM capable UEs that are in RRC\_IDLE except for BL UEs, UEs in CE and NB-IoT UEs, performing EDT procedure. This procedure also applies to SC-PTM capable UEs that are in RRC\_CONNECTED except for BL UEs, UEs in CE or NB-IoT UEs.



### 5.8a.2.2 Initiation

A UE interested to receive MBMS services via SC-MRB shall apply the SC-MCCH information acquisition procedure upon entering the cell broadcasting *SystemInformationBlockType20* (*SystemInformationBlockType20-NB* in NB-IoT) (e.g. upon power on, following UE mobility) and upon receiving a notification that the SC-MCCH information has changed. A UE, except for BL UE, UE in CE or NB-IoT UE, that is receiving an MBMS service via SC-MRB shall apply the SC-MCCH information acquisition procedure to acquire the SC-MCCH information that corresponds with the service that is being received, at the start of each modification period. The BL UE, UE in CE or NB-IoT UE that is receiving an MBMS service via SC-MRB shall apply the SC-MCCH information acquisition procedure upon receiving a notification that the SC-MCCH information that corresponds with the service that is being received is about to be changed. The BL UE, UE in CE or NB-IoT UE that is receiving an MBMS service via SC-MRB may apply the SC-MCCH information acquisition procedure upon receiving a notification that the SC-MCCH information is about to be changed due to start of a new service.

Unless explicitly stated otherwise in the procedural specification, the SC-MCCH information acquisition procedure overwrites any stored SC-MCCH information, i.e. delta configuration is not applicable for SC-MCCH information and the UE discontinues using a field if it is absent in SC-MCCH information unless explicitly specified otherwise.

### 5.8a.2.3 SC-MCCH information acquisition by the UE

A SC-PTM capable UE shall:

- 1> if the procedure is triggered by an SC-MCCH information change notification and the UE has no ongoing MBMS service:
  - 2> except for a BL UE, UE in CE or NB-IoT UE, start acquiring the *SCPTMConfiguration* message from the subframe in which the change notification was received;
  - 2> for a BL UE, UE in CE or NB-IoT UE, acquire the *SCPTMConfiguration* message scheduled by the PDCCH in which the change notification was received;

NOTE 1: The UE continues using the previously received SC-MCCH information until the new SC-MCCH information has been acquired.

- 1> if the UE enters a cell broadcasting *SystemInformationBlockType20* (*SystemInformationBlockType20-NB* in NB-IoT):
  - 2> acquire the *SCPTMConfiguration* message at the next repetition period;
- 1> if the UE is receiving an MBMS service via an SC-MRB:
  - 2> except for BL UE, UE in CE or NB-IoT UE, start acquiring the *SCPTMConfiguration* message from the beginning of each modification period;
  - 2> a BL UE, UE in CE or NB-IoT UE shall start acquiring the *SCPTMConfiguration* message at the start of the next modification period upon receiving a notification that the SC-MCCH information that corresponds with the service that is being received is about to be changed;
  - 2> a BL UE, UE in CE or NB-IoT UE may start acquiring the *SCPTMConfiguration* message at the start of the next modification period upon receiving a notification that the SC-MCCH information is about to be changed due to start of a new service;

### 5.8a.2.4 Actions upon reception of the *SCPTMConfiguration* message

No UE requirements related to the contents of this *SCPTMConfiguration* apply other than those specified elsewhere e.g. within procedures using the concerned system information, the corresponding field descriptions.

## 5.8a.3 SC-PTM radio bearer configuration

### 5.8a.3.1 General

The SC-PTM radio bearer configuration procedure is used by the UE to configure RLC, MAC and the physical layer upon starting and/or stopping to receive an SC-MRB transmitted on SC-MTCH. The procedure applies to SC-PTM capable UEs that are in RRC\_IDLE and to SC-PTM capable UEs that are not BL UEs, UEs in CE or NB-IoT UEs in RRC\_CONNECTED, and are interested to receive one or more MBMS services via SC-MRB.

NOTE: In case the UE is unable to receive an MBMS service via an SC-MRB due to capability limitations, upper layers may take appropriate action e.g. terminate a lower priority unicast service.

### 5.8a.3.2 Initiation

The UE applies the SC-MRB establishment procedure to start receiving a session of a MBMS service it has an interest in. The procedure may be initiated e.g. upon start of the MBMS session, upon entering a cell providing via SC-MRB a MBMS service in which the UE has interest, upon becoming interested in the MBMS service, upon removal of UE capability limitations inhibiting reception of the concerned service.

The UE applies the SC-MRB release procedure to stop receiving a session. The procedure may be initiated e.g. upon stop of the MBMS session, upon leaving the cell where a SC-MRB is established, upon losing interest in the MBMS service, when capability limitations start inhibiting reception of the concerned service.

### 5.8a.3.3 SC-MRB establishment

Upon SC-MRB establishment, the UE shall:

- 1> establish an RLC entity in accordance with the configuration specified in 9.1.1.7;
- 1> configure a SC-MTCH logical channel applicable for the SC-MRB and instruct MAC to receive DL-SCH on the cell where the *SCPTMConfiguration* message was received for the MBMS service for which the SC-MRB is established and using *g-RNTI* and *sc-mtch-SchedulingInfo* (if included) in this message for this MBMS service;
- 1> configure the physical layer in accordance with the *sc-mtch-InfoList*, applicable for the SC-MRB, as included in the *SCPTMConfiguration* message;
- 1> inform upper layers about the establishment of the SC-MRB by indicating the corresponding *tmgi* and *sessionId*;

### 5.8a.3.4 SC-MRB release

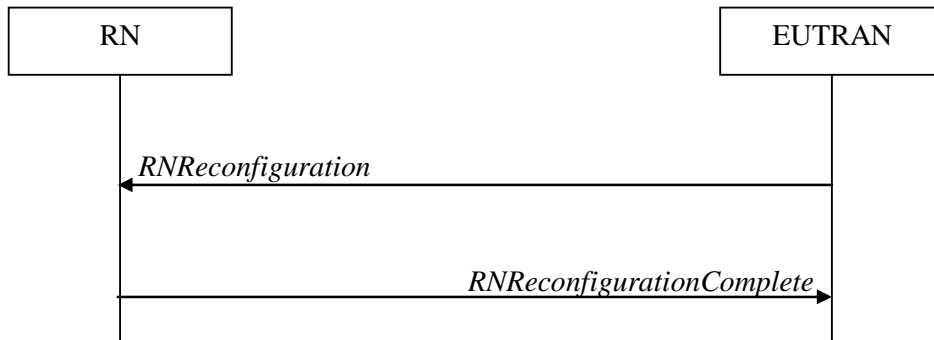
Upon SC-MRB release, the UE shall:

- 1> release the RLC entity as well as the related MAC and physical layer configuration;
- 1> inform upper layers about the release of the SC-MRB by indicating the corresponding *tmgi* and *sessionId*;

## 5.9 RN procedures

### 5.9.1 RN reconfiguration

#### 5.9.1.1 General



**Figure 5.9.1.1-1: RN reconfiguration**

The purpose of this procedure is to configure/reconfigure the RN subframe configuration and/or to update the system information relevant for the RN in RRC\_CONNECTED.

#### 5.9.1.2 Initiation

E-UTRAN may initiate the RN reconfiguration procedure to an RN in RRC\_CONNECTED when AS security has been activated.

#### 5.9.1.3 Reception of the *RNReconfiguration* by the RN

The RN shall:

- 1> if the *rn-SystemInfo* is included:
  - 2> if the *systemInformationBlockType1* is included:
    - 3> act upon the received *SystemInformationBlockType1* as specified in 5.2.2.7;
  - 2> if the *SystemInformationBlockType2* is included:
    - 3> act upon the received *SystemInformationBlockType2* as specified in 5.2.2.9;
- 1> if the *rn-SubframeConfig* is included:
  - 2> reconfigure lower layers in accordance with the received *subframeConfigPatternFDD* or *subframeConfigPatternTDD*;
  - 2> if the *rpdcch-Config* is included:
    - 3> reconfigure lower layers in accordance with the received *rpdcch-Config*;
- 1> submit the *RNReconfigurationComplete* message to lower layers for transmission, upon which the procedure ends;

## 5.10 Sidelink

### 5.10.1 Introduction

The sidelink communication and associated synchronisation resource configuration applies for the frequency at which it was received/ acquired. Moreover, for a UE configured with one or more SCells, the sidelink communication and

associated synchronisation resource configuration provided by dedicated signalling applies for the PCell/ the primary frequency. The sidelink discovery and associated synchronisation resource configuration applies for the frequency at which it was received/ acquired or the indicated frequency in the configuration. For a UE configured with one or more SCells, the sidelink discovery and associated synchronisation resource configuration provided by dedicated signalling applies for the PCell/ the primary frequency / any other indicated frequency.

NOTE 1: Upper layers configure the UE to receive or transmit sidelink communication on a specific frequency, to monitor or transmit non-PS related sidelink discovery announcements on one or more frequencies or to monitor or transmit PS related sidelink discovery announcements on a specific frequency, but only if the UE is authorised to perform these particular ProSe related sidelink activities.

NOTE 2: It is up to UE implementation which actions to take (e.g. termination of unicast services, detach) when it is unable to perform the desired sidelink activities, e.g. due to UE capability limitations.

Sidelink communication consists of one-to-many and one-to-one sidelink communication. One-to-many sidelink communication consists of relay related and non-relay related one-to-many sidelink communication. One-to-one sidelink communication consists of relay related and non-relay related one-to-one sidelink communication. In relay related one-to-one sidelink communication the communicating parties consist of one sidelink relay UE and one sidelink remote UE.

Sidelink discovery consists of public safety related (PS related) and non-PS related sidelink discovery. PS related sidelink discovery consists of relay related and non-relay related PS related sidelink discovery. Upper layers indicate to RRC whether a particular sidelink announcement is PS related or non-PS related.

Upper layers indicate to RRC whether a particular sidelink procedure is V2X related or not.

The specification covers the use of UE to network sidelink relays by specifying the additional requirements that apply for a sidelink relay UE and a sidelink remote UE. I.e. for such UEs the regular sidelink UE requirements equally apply unless explicitly stated otherwise.

### 5.10.1a Conditions for sidelink communication operation

When it is specified that the UE shall perform sidelink communication operation only if the conditions defined in this clause are met, the UE shall perform sidelink communication operation only if:

- 1> if the UE's serving cell is suitable (RRC\_IDLE or RRC\_CONNECTED); and if either the selected cell on the frequency used for sidelink communication operation belongs to the registered or equivalent PLMN as specified in TS 24.334 [69] or the UE is out of coverage on the frequency used for sidelink communication operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE is camped on a serving cell (RRC\_IDLE) on which it fulfils the conditions to support sidelink communication in limited service state as specified in TS 23.303 [68], clause 4.5.6; and if either the serving cell is on the frequency used for sidelink communication operation or the UE is out of coverage on the frequency used for sidelink communication operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE has no serving cell (RRC\_IDLE);

### 5.10.1b Conditions for PS related sidelink discovery operation

When it is specified that the UE shall perform PS related sidelink discovery operation only if the conditions defined in this clause are met, the UE shall perform PS related sidelink discovery operation only if:

- 1> if the UE's serving cell is suitable (RRC\_IDLE or RRC\_CONNECTED); and if either the selected cell on the frequency used for PS related sidelink discovery operation belongs to the registered or other PLMN as specified in TS 24.334 [69] or the UE is out of coverage on the frequency used for PS related sidelink discovery operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE is camped on a serving cell (RRC\_IDLE) on which it fulfils the conditions to support sidelink discovery in limited service state as specified in TS 23.303 [68], clause 4.5.6; and if either the serving cell is on the frequency used for PS related sidelink discovery operation or the UE is out of coverage on the frequency used for PS related sidelink discovery operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE has no serving cell (RRC\_IDLE);

### 5.10.1c Conditions for non-PS related sidelink discovery operation

When it is specified that the UE shall perform non-PS related sidelink discovery operation only if the conditions defined in this clause are met, the UE shall perform non-PS related sidelink discovery operation only if:

- 1> if the UE's serving cell (RRC\_IDLE) or PCell (RRC\_CONNECTED) is suitable; and if the selected cell on the frequency used for non-PS related sidelink discovery operation belongs to the registered or other PLMN as specified in TS 24.334 [69].

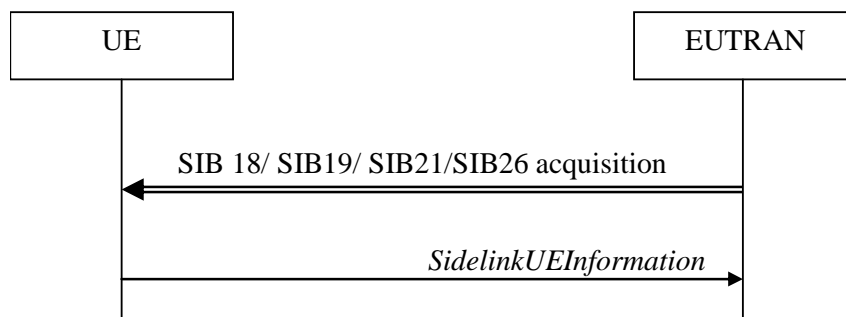
### 5.10.1d Conditions for V2X sidelink communication operation

When it is specified that the UE shall perform V2X sidelink communication operation only if the conditions defined in this clause are met, the UE shall perform V2X sidelink communication operation only if:

- 1> if the UE's serving cell is suitable (RRC\_IDLE or RRC\_CONNECTED); and if either the selected cell on the frequency used for V2X sidelink communication operation belongs to the registered or equivalent PLMN as specified in TS 24.334 [69] or the UE is out of coverage on the frequency used for V2X sidelink communication operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE's serving cell (for RRC\_IDLE or RRC\_CONNECTED) fulfils the conditions to support V2X sidelink communication in limited service state as specified in TS 23.285 [78], clause 4.4.8; and if either the serving cell is on the frequency used for V2X sidelink communication operation or the UE is out of coverage on the frequency used for V2X sidelink communication operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE has no serving cell (RRC\_IDLE);

## 5.10.2 Sidelink UE information

### 5.10.2.1 General



**Figure 5.10.2-1: Sidelink UE information**

The purpose of this procedure is to inform E-UTRAN that the UE is interested or no longer interested to receive sidelink communication or discovery, to receive V2X sidelink communication, as well as to request assignment or release of transmission resources for sidelink communication or discovery announcements or V2X sidelink communication or sidelink discovery gaps, to report parameters related to sidelink discovery from system information of inter-frequency/PLMN cells and to report the synchronization reference used by the UE for V2X sidelink communication.

### 5.10.2.2 Initiation

A UE capable of sidelink communication or V2X sidelink communication or sidelink discovery that is in RRC\_CONNECTED may initiate the procedure to indicate it is (interested in) receiving sidelink communication or V2X sidelink communication or sidelink discovery in several cases including upon successful connection establishment, upon change of interest, upon change to a PCell broadcasting *SystemInformationBlockType18* or *SystemInformationBlockType19* or *SystemInformationBlockType21* including *sl-V2X-ConfigCommon*. A UE capable of sidelink communication or V2X sidelink communication or sidelink discovery may initiate the procedure to request assignment of dedicated resources for the concerned sidelink communication transmission or discovery announcements or V2X sidelink communication transmission or to request sidelink discovery gaps for sidelink discovery transmission

or sidelink discovery reception and a UE capable of inter-frequency/PLMN sidelink discovery parameter reporting may initiate the procedure to report parameters related to sidelink discovery from system information of inter-frequency/PLMN cells.

NOTE 1: A UE in RRC\_IDLE that is configured to transmit sidelink communication / V2X sidelink communication / sidelink discovery announcements, while *SystemInformationBlockType18*/*SystemInformationBlockType19*/*SystemInformationBlockType21* including *sl-V2X-ConfigCommon* or *SystemInformationBlockType26* does not include the resources for transmission (in normal conditions), initiates connection establishment in accordance with 5.3.3.1a.

Upon initiating the procedure, the UE shall:

- 1> if *SystemInformationBlockType18* is broadcast by the PCell:
  - 2> ensure having a valid version of *SystemInformationBlockType18* for the PCell;
- 2> if configured by upper layers to receive sidelink communication:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18*; or

NOTE 2: After handover/ re-establishment from a source PCell not broadcasting *SystemInformationBlockType18* the UE repeats the same interest information that it provided previously as such a source PCell may not forward the interest information.

- 3> if the last transmission of the *SidelinkUEInformation* message did not include *commRxInterestedFreq*; or if the frequency configured by upper layers to receive sidelink communication on has changed since the last transmission of the *SidelinkUEInformation* message:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the sidelink communication reception frequency of interest in accordance with 5.10.2.3;
- 2> else:
  - 3> if the last transmission of the *SidelinkUEInformation* message included *commRxInterestedFreq*:
    - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it is no longer interested in sidelink communication reception in accordance with 5.10.2.3;
  - 2> if configured by upper layers to transmit non-relay related one-to-many sidelink communication:
    - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
    - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18*; or
    - 3> if the last transmission of the *SidelinkUEInformation* message did not include *commTxResourceReq*; or if the information carried by the *commTxResourceReq* has changed since the last transmission of the *SidelinkUEInformation* message:
      - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the non-relay related one-to-many sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;
  - 2> else:
    - 3> if the last transmission of the *SidelinkUEInformation* message included *commTxResourceReq*:
      - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires non-relay related one-to-many sidelink communication transmission resources in accordance with 5.10.2.3;
  - 2> if configured by upper layer to transmit relay related one-to-many sidelink communication:
    - 3> if the UE did not transmit a *SidelinkUEInformation* message since entering RRC\_CONNECTED state; or

- 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18*, connected to a PCell not broadcasting *SystemInformationBlockType19* or broadcasting *SystemInformationBlockType19* not including *discConfigRelay*; or
- 3> if the last transmission of *SidelinkUEInformation* message did not include *commTxResourceReqRelay*; or if the information carried by the *commTxResourceReqRelay* has changed since the last transmission of the *SidelinkUEInformation* message:
  - 4> if the UE is acting as sidelink relay UE:
    - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the relay related one-to-many sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;
- 2> else:
  - 3> if the last transmission of the *SidelinkUEInformation* message included *commTxResourceReqRelay*:
    - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires relay related one-to-many sidelink communication transmission resources in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit non-relay related one-to-one sidelink communication:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18* or connected to a PCell broadcasting *SystemInformationBlockType18* not including *commTxResourceUC-ReqAllowed*; or
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include *commTxResourceReqUC*; or if the information carried by the *commTxResourceReqUC* has changed since the last transmission of the *SidelinkUEInformation* message:
    - 4> if *commTxResourceUC-ReqAllowed* is included in *SystemInformationBlockType18*:
      - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the non-relay related one-to-one sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;
- 2> else:
  - 3> if the last transmission of the *SidelinkUEInformation* message included *commTxResourceReqUC*:
    - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires non-relay related one-to-one sidelink communication transmission resources in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit relay related one-to-one sidelink communication:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18*, connected to a PCell not broadcasting *SystemInformationBlockType19* or broadcasting *SystemInformationBlockType19* not including *discConfigRelay*; or
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include *commTxResourceReqRelayUC*; or if the information carried by the *commTxResourceReqRelayUC* has changed since the last transmission of the *SidelinkUEInformation* message:
    - 4> if the UE is acting as sidelink relay UE; or

- 4> if the UE has a selected sidelink relay UE; and if *SystemInformationBlockType19* is broadcast by the PCell and includes *discConfigRelay*; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met;
  - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the relay related one-to-one sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;
- 2> else:
  - 3> if the last transmission of the *SidelinkUEInformation* message included *commTxResourceReqRelayUC*:
    - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires relay related one-to-one sidelink communication transmission resources in accordance with 5.10.2.3;
- 1> if *SystemInformationBlockType19* is broadcast by the PCell:
  - 2> ensure having a valid version of *SystemInformationBlockType19* for the PCell;
  - 2> if configured by upper layers to receive sidelink discovery announcements on a serving frequency or on one or more frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19* of the PCell:
    - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
    - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType19*; or
    - 3> if the last transmission of the *SidelinkUEInformation* message did not include *discRxInterest*:
      - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it is interested in sidelink discovery reception in accordance with 5.10.2.3;
  - 2> else:
    - 3> if the last transmission of the *SidelinkUEInformation* message included *discRxInterest*:
      - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it is no longer interested in sidelink discovery reception in accordance with 5.10.2.3;
  - 2> if the UE is configured by upper layers to transmit non-PS related sidelink discovery announcements on the primary frequency or on one or more frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19* of the PCell, with *discTxResourcesInterFreq* included within *discResourcesNonPS* and not set to *noTxOnCarrier*:
    - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
    - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType19* or connected to a PCell broadcasting *SystemInformationBlockType19* not including *discTxResourcesInterFreq* within *discResourcesNonPS* or *discTxResourcesInterFreq* did not include all frequencies for which the UE will request resources; or
    - 3> if the last transmission of the *SidelinkUEInformation* message did not include *discTxResourceReq*; or if the non-PS related sidelink discovery announcement resources required by the UE have changed (i.e. resulting in a change of *discTxResourceReq*) since the last transmission of the *SidelinkUEInformation* message:
      - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the non-PS related sidelink discovery announcement resources required by the UE in accordance with 5.10.2.3;
  - 2> else:
    - 3> if the last transmission of the *SidelinkUEInformation* message included *discTxResourceReq*:



- 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires non-PS related sidelink discovery announcement resources in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit PS related sidelink discovery announcements on the primary frequency or, in case of non-relay PS related sidelink discovery announcements, on a frequency included in *discInterFreqList*, if included in *SystemInformationBlockType19*, with *discTxResourcesInterFreq* included within *discResourcesPS* and not set to *noTxOnCarrier*:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType19*, connected to a PCell broadcasting *SystemInformationBlockType19* not including *discConfigPS*, or in case of non-relay PS related transmission: (connected to a PCell broadcasting *SystemInformationBlockType19* not including *discTxResourcesInterFreq* within *discResourcesPS* or for which *discTxResourcesInterFreq* did not include all frequencies for which the UE will request resources), or in case of relay related PS sidelink discovery announcements: (connected to a PCell broadcasting *SystemInformationBlockType19* not including *discConfigRelay*) sidelink; or
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include *discTxResourceReqPS*; or if the PS related sidelink discovery announcement resources required by the UE have changed (i.e. resulting in a change of *discTxResourceReqPS*) since the last transmission of the *SidelinkUEInformation* message:
    - 4> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements; or
    - 4> if the UE is acting as sidelink relay UE; and if *SystemInformationBlockType19* includes *discConfigRelay*; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met; or
    - 4> if the UE is selecting a sidelink relay UE / has a selected sidelink relay UE; and if *SystemInformationBlockType19* includes *discConfigRelay*; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
      - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the PS related sidelink discovery announcement resources required by the UE in accordance with 5.10.2.3;
- 2> else:
  - 3> if the last transmission of the *SidelinkUEInformation* message included *discTxResourceReqPS*:
    - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires PS related sidelink discovery announcement resources in accordance with 5.10.2.3;
- 2> if configured by upper layers to monitor or transmit sidelink discovery announcements; and if the UE requires sidelink discovery gaps, to perform such actions:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType19* or connected to a PCell broadcasting *SystemInformationBlockType19* not including *gapRequestsAllowedCommon* while at the same time the UE was not configured with *gapRequestsAllowedDedicated*; or
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include the gaps required to monitor or transmit the sidelink discovery announcements (i.e. UE requiring gaps to monitor discovery announcements while *discRxGapReq* was not included or UE requiring gaps to transmit discovery announcements while *discTxGapReq* was not included); or if the sidelink discovery gaps required by the UE have changed (i.e. resulting in a change of *discRxGapReq* or *discTxGapReq*) since the last transmission of the *SidelinkUEInformation* message:
    - 4> if the UE is configured with *gapRequestsAllowedDedicated* set to *true*; or
    - 4> if the UE is not configured with *gapRequestsAllowedDedicated* and *gapRequestsAllowedCommon* is included in *SystemInformationBlockType19*:

- 5> initiate transmission of the *SidelinkUEInformation* message to indicate the sidelink discovery gaps required by the UE in accordance with 5.10.2.3;
- 2> else:
  - 3> if the last transmission of the *SidelinkUEInformation* message included *discTxGapReq* or *discRxGapReq*:
    - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires sidelink discovery gaps in accordance with 5.10.2.3;
  - 2> if the UE acquired the relevant parameters from the system information of one or more cells on a carrier included in the *discSysInfoToReportConfig* and T370 is running:
    - 3> if the UE has configured lower layers to transmit or monitor the sidelink discovery announcements on those cells:
      - 4> initiate transmission of the *SidelinkUEInformation* message to report the acquired system information parameters and stop T370;
- 1> if *SystemInformationBlockType21* including *sl-V2X-ConfigCommon* is broadcast by the PCell:
  - 2> ensure having a valid version of *SystemInformationBlockType21* and *SystemInformationBlockType26*, if broadcast, for the PCell;
  - 2> if configured by upper layers to receive V2X sidelink communication on a primary frequency or on one or more frequencies included in *v2x-InterFreqInfoList*, if included in *SystemInformationBlockType21* or *SystemInformationBlockType26* of the PCell:
    - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
    - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType21* including *sl-V2X-ConfigCommon*; or
    - 3> if the last transmission of the *SidelinkUEInformation* message did not include *v2x-CommRxInterestedFreqList*; or if the frequency(ies) configured by upper layers to receive V2X sidelink communication on has changed since the last transmission of the *SidelinkUEInformation* message:
      - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the V2X sidelink communication reception frequency(ies) of interest in accordance with 5.10.2.3;
  - 2> else:
    - 3> if the last transmission of the *SidelinkUEInformation* message included *v2x-CommRxInterestedFreqList*:
      - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it is no longer interested in V2X sidelink communication reception in accordance with 5.10.2.3;
  - 2> if configured by upper layers to transmit V2X sidelink communication on a primary frequency or on one or more frequencies included in *v2x-InterFreqInfoList*, if included in *SystemInformationBlockType21* or *SystemInformationBlockType26* of the PCell:
    - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
    - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType21* including *sl-V2X-ConfigCommon*; or
    - 3> if the last transmission of the *SidelinkUEInformation* message did not include *v2x-CommTxResourceReq*; or if the information carried by the *v2x-CommTxResourceReq* has changed since the last transmission of the *SidelinkUEInformation* message:
      - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the V2X sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;
- 2> else:

- 3> if the last transmission of the *SidelinkUEInformation* message included *v2x-CommTxResourceReq*:
- 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires V2X sidelink communication transmission resources in accordance with 5.10.2.3;

### 5.10.2.3 Actions related to transmission of *SidelinkUEInformation* message

The UE shall set the contents of the *SidelinkUEInformation* message as follows:

- 1> if the UE initiates the procedure to indicate it is (no more) interested to receive sidelink communication or discovery or receive V2X sidelink communication or to request (configuration/ release) of sidelink communication or V2X sidelink communication or sidelink discovery transmission resources (i.e. UE includes all concerned information, irrespective of what triggered the procedure):
- 2> if *SystemInformationBlockType18* is broadcast by the PCell:
  - 3> if configured by upper layers to receive sidelink communication:
    - 4> include *commRxInterestedFreq* and set it to the sidelink communication frequency;
  - 3> if configured by upper layers to transmit non-relay related one-to-many sidelink communication:
    - 4> include *commTxResourceReq* and set its fields as follows:
      - 5> set *carrierFreq* to indicate the sidelink communication frequency i.e. the same value as indicated in *commRxInterestedFreq* if included;
      - 5> set *destinationInfoList* to include the non-relay related one-to-many sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
  - 3> if configured by upper layers to transmit non-relay related one-to-one sidelink communication; and
  - 3> if *commTxResourceUC-ReqAllowed* is included in *SystemInformationBlockType18*:
    - 4> include *commTxResourceReqUC* and set its fields as follows:
      - 5> set *carrierFreq* to indicate the one-to-one sidelink communication frequency i.e. the same value as indicated in *commRxInterestedFreq* if included;
      - 5> set *destinationInfoList* to include the non-relay related one-to-one sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
  - 3> if configured by upper layers to transmit relay related one-to-one sidelink communication; and
  - 3> if *SystemInformationBlockType19* is broadcast by the PCell including *discConfigRelay*; and
  - 3> if the UE is acting as sidelink relay UE; or if the UE has a selected sidelink relay UE; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
    - 4> include *commTxResourceReqRelayUC* and set its fields as follows:
      - 5> set *destinationInfoList* to include the one-to-one sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
    - 4> include *ue-Type* and set it to *relayUE* if the UE is acting as sidelink relay UE and to *remoteUE* otherwise;
  - 3> if configured by upper layers to transmit relay related one-to-many sidelink communication; and
  - 3> if *SystemInformationBlockType19* is broadcast by the PCell including *discConfigRelay*; and
  - 3> if the UE is acting as sidelink relay UE:
    - 4> include *commTxResourceReqRelay* and set its fields as follows:
      - 5> set *destinationInfoList* to include the one-to-many sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;

- 4> include *ue-Type* and set it to *relayUE*;
- 2> if *SystemInformationBlockType19* is broadcast by the PCell:
  - 3> if configured by upper layers to receive sidelink discovery announcements on a serving frequency or one or more frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19*:
    - 4> include *discRxInterest*;
  - 3> if the UE is configured by upper layers to transmit non-PS related sidelink discovery announcements:
    - 4> for each frequency on which the UE is configured to transmit non-PS related sidelink discovery announcements that concerns the primary frequency or that is included in *discInterFreqList* with *discTxResourcesInterFreq* included within *discResourcesNonPS* and not set to *noTxOnCarrier*:
      - 5> for the first frequency, include *discTxResourceReq* and set it to indicate the number of discovery messages for sidelink discovery announcement(s) for which it requests E-UTRAN to assign dedicated resources as well as the concerned frequency, if different from the primary;
      - 5> for any additional frequency, include *discTxResourceReqAddFreq* and set it to indicate the number of discovery messages for sidelink discovery announcement(s) for which it requests E-UTRAN to assign dedicated resources as well as the concerned frequency;
  - 3> if configured by upper layers to transmit PS related sidelink discovery announcements; and
  - 3> if the frequency on which the UE is configured to transmit PS related sidelink discovery announcements either concerns the primary frequency or, in case of non-relay PS related sidelink discovery announcements, is included in *discInterFreqList* with *discTxResources InterFreq* included within *discResourcesPS* and not set to *noTxOnCarrier*:
    - 4> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements and *SystemInformationBlockType19* includes *discConfigPS*; or
    - 4> if the UE is acting as sidelink relay UE; and if *SystemInformationBlockType19* includes *discConfigRelay*; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met; or
    - 4> if the UE is selecting a sidelink relay UE / has a selected sidelink relay UE; and if *SystemInformationBlockType19* includes *discConfigRelay*; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
      - 5> include *discTxResourceReqPS* and set it to indicate the number of discovery messages for PS related sidelink discovery announcement(s) for which it requests E-UTRAN to assign dedicated resources as well as the concerned frequency, if different from the primary;
- 2> if *SystemInformationBlockType21* is broadcast by the PCell and *SystemInformationBlockType21* includes *sl-V2X-ConfigCommon*:
  - 3> if configured by upper layers to receive V2X sidelink communication:
    - 4> include *v2x-CommRxInterestedFreqList* and set it to the frequency(ies) for V2X sidelink communication reception;
  - 3> if configured by upper layers to transmit V2X sidelink communication:
    - 4> if configured by upper layers to transmit P2X related V2X sidelink communication:
      - 5> include *p2x-CommTxType* set to *true*;
    - 4> include *v2x-CommTxResourceReq* and set its fields as follows for each frequency on which the UE is configured for V2X sidelink communication transmission:
      - 5> set *carrierFreqCommTx* to indicate the frequency for V2X sidelink communication transmission;
      - 5> set *v2x-TypeTxSync* to the current synchronization reference type used on the associated *carrierFreqCommTx* for V2X sidelink communication transmission;

- 5> set *v2x-DestinationInfoList* to include the V2X sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
- 1> else if the UE initiates the procedure to request sidelink discovery transmission and/ or reception gaps:
  - 2> if the UE is configured with *gapRequestsAllowedDedicated* set to *true*; or
  - 2> if the UE is not configured with *gapRequestsAllowedDedicated* and *gapRequestsAllowedCommon* is included in *SystemInformationBlockType19*:
  - 3> if the UE requires sidelink discovery gaps to monitor the sidelink discovery announcements the UE is configured to monitor by upper layers:
    - 4> include *discRxGapReq* and set it to indicate, for each frequency that either concerns the primary frequency or is included in *discInterFreqList* on which the UE is configured to monitor sidelink discovery announcements and for which it requires sidelink discovery gaps to do so, the gap pattern(s) as well as the concerned frequency, if different from the primary;
  - 3> if the UE requires sidelink discovery gaps to transmit the sidelink discovery announcements the UE is configured to transmit by upper layers:
    - 4> include *discTxGapReq* and set it to indicate, for each frequency that either concerns the primary or is included in *discInterFreqList* on which the UE is configured to transmit sidelink discovery announcements and for which it requires sidelink discovery gaps to do so, the gap pattern(s) as well as the concerned frequency, if different from the primary;
- 1> else if the UE initiates the procedure to report the system information parameters related to sidelink discovery of carriers other than the primary:
  - 2> include *discSysInfoReportFreqList* and set it to report the system information parameter acquired from the cells on those carriers;

The UE shall submit the *SidelinkUEInformation* message to lower layers for transmission.

### 5.10.3 Sidelink communication monitoring

A UE capable of sidelink communication that is configured by upper layers to receive sidelink communication shall:

- 1> if the conditions for sidelink communication operation as defined in 5.10.1a are met:
    - 2> if in coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4:
    - 3> if the cell chosen for sidelink communication reception broadcasts *SystemInformationBlockType18* including *commRxPool*:
      - 4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated by *commRxPool*;
- NOTE 1: If *commRxPool* includes one or more entries including *rxParametersNCell*, the UE may only monitor such entries if the associated PSS/SSS or SLSSIDs is detected. When monitoring such pool(s), the UE applies the timing of the concerned PSS/SSS or SLSS.
- 2> else (i.e. out of coverage on the sidelink carrier):
    - 3> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources that were preconfigured (i.e. *preconfigComm* in *SL-Preconfiguration* defined in 9.3);

NOTE 2: The UE may monitor in accordance with the timing of the selected SyncRef UE, or if the UE does not have a selected SyncRef UE, based on the UE's own timing.

## 5.10.4 Sidelink communication transmission

A UE capable of sidelink communication that is configured by upper layers to transmit non-relay related sidelink communication and has related data to be transmitted or a UE capable of relay related sidelink communication that is configured by upper layers to transmit relay related sidelink communications and satisfies the conditions for relay related sidelink communication specified in this clause shall:

- 1> if the conditions for sidelink communication operation as defined in 5.10.1a are met:
  - 2> if in coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4:
    - 3> if the UE is in RRC\_CONNECTED and uses the PCell for sidelink communication:
      - 4> if the UE is configured, by the current PCell/ the PCell in which physical layer problems or radio link failure was detected, with *commTxResources* set to *scheduled*:
        - 5> if T310 or T311 is running; and if the PCell at which the UE detected physical layer problems or radio link failure broadcasts *SystemInformationBlockType18* including *commTxPoolExceptional*; or
        - 5> if T301 is running and the cell on which the UE initiated connection re-establishment broadcasts *SystemInformationBlockType18* including *commTxPoolExceptional*:
          - 6> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources indicated by the first entry in *commTxPoolExceptional*;
        - 5> else:
          - 6> configure lower layers to request E-UTRAN to assign transmission resources for sidelink communication;
      - 4> else if the UE is configured with *commTxPoolNormalDedicated* or *commTxPoolNormalDedicatedExt*:
        - 5> if *priorityList* is included for the entries of *commTxPoolNormalDedicated* or *commTxPoolNormalDedicatedExt*:
          - 6> configure lower layers to transmit the sidelink control information and the corresponding data using the one or more pools of resources indicated by *commTxPoolNormalDedicated* or *commTxPoolNormalDedicatedExt* i.e. indicate all entries of this field to lower layers;
        - 5> else:
          - 6> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources indicated by the first entry in *commTxPoolNormalDedicated*;
    - 3> else (i.e. sidelink communication in RRC\_IDLE or on cell other than PCell in RRC\_CONNECTED):
      - 4> if the cell chosen for sidelink communication transmission broadcasts *SystemInformationBlockType18*:
        - 5> if *SystemInformationBlockType18* includes *commTxPoolNormalCommon*:
          - 6> if *priorityList* is included for the entries of *commTxPoolNormalCommon* or *commTxPoolNormalCommonExt*:
            - 7> configure lower layers to transmit the sidelink control information and the corresponding data using the one or more pools of resources indicated by *commTxPoolNormalCommon* and/or *commTxPoolNormalCommonExt* i.e. indicate all entries of these fields to lower layers;
          - 6> else:
            - 7> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources indicated by the first entry in *commTxPoolNormalCommon*;

- 5> else if *SystemInformationBlockType18* includes *commTxPoolExceptional*:
  - 6> from the moment the UE initiates connection establishment until receiving an *RRCCONNECTIONRECONFIGURATION* including *sl-CommConfig* or until receiving an *RRCCONNECTIONRELEASE* or an *RRCCONNECTIONREJECT*;
  - 7> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources indicated by the first entry in *commTxPoolExceptional*;
- 2> else (i.e. out of coverage on sidelink carrier):
  - 3> if *priorityList* is included for the entries of *preconfigComm* in *SL-Preconfiguration* defined in 9.3:
    - 4> configure lower layers to transmit the sidelink control information and the corresponding data using the one or more pools of resources indicated *preconfigComm* i.e. indicate all entries of this field to lower layers and in accordance with the timing of the selected SyncRef UE, or if the UE does not have a selected SyncRef UE, based on the UEs own timing;
  - 3> else:
    - 4> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources that were preconfigured i.e. indicated by the first entry in *preconfigComm* in *SL-Preconfiguration* defined in 9.3 and in accordance with the timing of the selected SyncRef UE, or if the UE does not have a selected SyncRef UE, based on the UEs own timing;

The conditions for relay related sidelink communication are as follows:

- 1> if the transmission concerns sidelink relay communication; and the UE is capable of sidelink relay or sidelink remote operation:
- 2> if the UE is in RRC\_IDLE; and if the UE has a selected sidelink relay UE: configure lower layers to transmit the sidelink control information and the corresponding data using the resources, as specified previously in this clause, only if the following condition is met:
  - 3> if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met; and if the UE configured lower layers with a pool of resources included in *SystemInformationBlockType18* (i.e. *commTxPoolNormalCommon*, *commTxPoolNormalCommonExt* or *commTxPoolExceptional*); and *commTxAllowRelayCommon* is included in *SystemInformationBlockType18*;
- 2> if the UE is in RRC\_CONNECTED: configure lower layers to transmit the sidelink control information and the corresponding data using the resources, as specified previously in this clause, only if the following condition is met:
  - 3> if the UE configured lower layers with resources provided by dedicated signalling (i.e. *commTxResources*); and the UE is configured with *commTxAllowRelayDedicated* set to *true*;

## 5.10.5 Sidelink discovery monitoring

A UE capable of non-PS related sidelink discovery that is configured by upper layers to monitor non-PS related sidelink discovery announcements shall:

- 1> for each frequency the UE is configured to monitor non-PS related sidelink discovery announcements on, prioritising the frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19*:
- 2> if the PCell or the cell the UE is camping on indicates the pool of resources to monitor sidelink discovery announcements on by *discRxResourcesInterFreq* in *discResourcesNonPS* within *discInterFreqList* in *SystemInformationBlockType19*:
- 3> configure lower layers to monitor sidelink discovery announcements using the pool of resources indicated by *discRxResourcesInterFreq* in *discResourcesNonPS* within *SystemInformationBlockType19*;
- 2> else if the cell used for sidelink discovery monitoring broadcasts *SystemInformationBlockType19*:

- 3> configure lower layers to monitor sidelink discovery announcements using the pool of resources indicated by *discRxPool* in *SystemInformationBlockType19*;
- 2> if the UE is configured with *discRxGapConfig* and requires sidelink discovery gaps to monitor sidelink discovery announcements on the concerned frequency;
  - 3> configure lower layers to monitor the concerned frequency using the sidelink discovery gaps indicated by *discRxGapConfig*;
- 2> else:
  - 3> configure lower layers to monitor the concerned frequency without affecting normal operation;

A UE capable of PS related sidelink discovery that is configured by upper layers to monitor PS related sidelink discovery announcements shall:

- 1> if out of coverage on the frequency, as defined in TS 36.304 [4], clause 11.4:
  - 2> configure lower layers to monitor sidelink discovery announcements using the pool of resources that were preconfigured (i.e. indicated by *discRxPoolList* within *preconfigDisc* in *SL-Preconfiguration* defined in 9.3);
- 1> else if configured by upper layers to monitor non-relay PS related discovery announcements; and if the PCell or the cell the UE is camping on indicates a pool of resources to monitor sidelink discovery announcements on by *discRxResourcesInterFreq* in *discResourcesPS* within *discInterFreqList* in *SystemInformationBlockType19*:
  - 2> configure lower layers to monitor sidelink discovery announcements using the pool of resources indicated by *discRxResourcesInterFreq* in *discResourcesPS* in *SystemInformationBlockType19*;
- 1> else if configured by upper layers to monitor PS related sidelink discovery announcements; and if the cell used for sidelink discovery monitoring broadcasts *SystemInformationBlockType19*:
  - 2> configure lower layers to monitor sidelink discovery announcements using the pool of resources indicated by *discRxPoolPS* in *SystemInformationBlockType19*;
- 1> if the UE is configured with *discRxGapConfig* and requires sidelink discovery gaps to monitor sidelink discovery announcements on the concerned frequency;
  - 2> configure lower layers to monitor the concerned frequency using the sidelink discovery gaps indicated by *discRxGapConfig*;
- 1> else:
  - 2> configure lower layers to monitor the concerned frequency without affecting normal operation;

NOTE 1: The requirement not to affect normal UE operation also applies for the acquisition of sidelink discovery related system and synchronisation information from inter-frequency cells.

NOTE 2: The UE is not required to monitor all pools simultaneously.

NOTE 3: It is up to UE implementation to decide whether a cell is sufficiently good to be used to monitor sidelink discovery announcements.

NOTE 4: If *discRxPool*, *discRxPoolPS* or *discRxResourcesInterFreq* includes one or more entries including *rxParameters*, the UE may only monitor such entries if the associated SLSSIDs are detected. When monitoring such pool(s) the UE applies the timing of the corresponding SLSS.

## 5.10.6 Sidelink discovery announcement

A UE capable of non-PS related sidelink discovery that is configured by upper layers to transmit non-PS related sidelink discovery announcements shall, for each frequency the UE is configured to transmit such announcements on:

NOTE: In case the configured resources are insufficient it is up to UE implementation to decide which sidelink discovery announcements to transmit.

- 1> if the frequency used to transmit sidelink discovery announcements concerns the serving frequency (RRC\_IDLE) or primary frequency (RRC\_CONNECTED):



- 2> if the UE's serving cell (RRC\_IDLE) or PCell (RRC\_CONNECTED) is suitable as defined in TS 36.304 [4]:
  - 3> if the UE is in RRC\_CONNECTED (i.e. PCell is used for sidelink discovery announcement):
    - 4> if the UE is configured with *discTxResources* set to *scheduled*:
      - 5> configure lower layers to transmit the sidelink discovery announcement using the assigned resources indicated by *scheduled* in *discTxResources*;
    - 4> else if the UE is configured with *discTxPoolDedicated* (i.e. *discTxResources* set to *ue-Selected*):
      - 5> select an entry of the list of resource pool entries in *discTxPoolDedicated* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
  - 3> else if T300 is not running (i.e. UE in RRC\_IDLE, announcing via serving cell):
    - 4> if *SystemInformationBlockType19* of the serving cell includes *discTxPoolCommon*:
      - 5> select an entry of the list of resource pool entries in *discTxPoolCommon* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> else if, for the frequency used to transmit sidelink discovery announcements on, the UE is configured with dedicated resources (i.e. with *discTxResources-r12*, if *discTxCarrierFreq* is included in *discTxInterFreqInfo*, or with *discTxResources* within *discTxInfoInterFreqListAdd* in *discTxInterFreqInfo*); and the conditions for non-PS related sidelink discovery operation as defined in 5.10.1c are met:
  - 2> if the UE is configured with *discTxResources* set to *scheduled*:
    - 3> configure lower layers to transmit the sidelink discovery announcement using the assigned resources indicated by *scheduled* in *discTxResources*;
  - 2> else if the UE is configured with *discTxResources* set to *ue-Selected*:
    - 3> select an entry of the list of resource pool entries in *ue-Selected* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> else if the frequency used to transmit sidelink discovery announcements on is included in *discInterFreqList* within *SystemInformationBlockType19* of the serving cell/ PCell, and *discTxResourcesInterFreq* within *discResourcesNonPS* in the corresponding entry of *discInterFreqList* is set to *discTxPoolCommon* (i.e. serving cell/ PCell broadcasts pool of resources) and the conditions for non-PS related sidelink discovery operation as defined in 5.10.1c are met; or
  - 1> else if *discTxPoolCommon* is included in *SystemInformationBlockType19* acquired from cell selected on the sidelink discovery announcement frequency; and the conditions for non-PS related sidelink discovery operation as defined in 5.10.1c are met:
    - 2> select an entry of the list of resource pool entries in *discTxPoolCommon* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> if the UE is configured with *discTxGapConfig* and requires sidelink discovery gaps to transmit sidelink discovery announcements on the concerned frequency;
  - 2> configure lower layers to transmit on the concerned frequency using the sidelink discovery gaps indicated by *discTxGapConfig*,
- 1> else:
  - 2> configure lower layers to transmit on the concerned frequency without affecting normal operation;

A UE capable of PS related sidelink discovery that is configured by upper layers to transmit PS related sidelink discovery announcements shall:

- 1> if out of coverage on the frequency used to transmit PS related sidelink discovery announcements as defined in TS 36.304 [4], clause 11.4, and the conditions for PS-related sidelink discovery operation as defined in 5.10.1b are met:
  - 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements; or

- 2> if the UE is selecting a sidelink relay UE/ has a selected sidelink relay UE:
  - 3> configure lower layers to transmit sidelink discovery announcements using the pool of resources that were preconfigured and in accordance with the following;
    - 4> randomly select, using a uniform distribution, an entry of *preconfigDisc* in *SL-Preconfiguration* defined in 9.3;
    - 4> using the timing of the selected SyncRef UE, or if the UE does not have a selected SyncRef UE, based on the UEs own timing;
- 1> else if the frequency used to transmit sidelink discovery announcements concerns the serving frequency (RRC\_IDLE) or primary frequency (RRC\_CONNECTED) and the conditions for PS related sidelink discovery operation as defined in 5.10.1b are met:
  - 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements; or
  - 2> if the UE is acting as sidelink relay UE; and if the UE is in RRC\_IDLE; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met; or
  - 2> if the UE is acting as sidelink relay UE; and if the UE is in RRC\_CONNECTED; or
  - 2> if the UE is selecting a sidelink relay UE / has a selected sidelink relay UE; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
    - 3> if the UE is configured with *discTxPoolPS-Dedicated*; or
    - 3> if the UE is in RRC\_IDLE; and if *discTxPoolPS-Common* is included in *SystemInformationBlockType19*:
      - 4> select an entry of the list of resource pool entries and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
    - 3> else if the UE is configured with *discTxResourcesPS* set to *scheduled*:
      - 4> configure lower layers to transmit the sidelink discovery announcement using the assigned resources indicated by *scheduled* in *discTxResourcesPS*;
- 1> else if, for the frequency used to transmit sidelink discovery announcements on, the UE is configured with dedicated resources (i.e. with *discTxResourcesPS* in *discTxInterFreqInfo* within *sl-DiscConfig*); and the conditions for PS related sidelink discovery operation as defined in 5.10.1b are met:
  - 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements:
    - 3> if the UE is configured with *discTxResourcesPS* set to *scheduled*:
      - 4> configure lower layers to transmit the sidelink discovery announcement using the assigned resources indicated by *scheduled* in *discTxResourcesPS*;
    - 3> else if the UE is configured with *discTxResourcesPS* set to *ue-Selected*:
      - 4> select an entry of the list of resource pool entries in *ue-Selected* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
  - 1> else if the frequency used to transmit sidelink discovery announcements on is included in *discInterFreqList* within *SystemInformationBlockType19* of the serving cell/ PCell, while *discTxResourcesInterFreq* within *discResourcesPS* in the corresponding entry of *discInterFreqList* is set to *discTxPoolCommon* (i.e. serving cell/ PCell broadcasts pool of resources) and the conditions for PS related sidelink discovery operation as defined in 5.10.1b are met:
    - 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements:
      - 3> select an entry of the list of resource pool entries in *discTxPoolCommon* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
  - 1> else if *discTxPoolPS-Common* is included in *SystemInformationBlockType19* acquired from cell selected on the sidelink discovery announcement frequency; and the conditions for PS related sidelink discovery operation as defined in 5.10.1b are met:

- 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements:
  - 3> select an entry of the list of resource pool entries in *discTxPoolPS-Common* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> if the UE is configured with *discTxGapConfig* and requires gaps to transmit sidelink discovery announcements on the concerned frequency;
  - 2> configure lower layers to transmit on the concerned frequency using the gaps indicated by *discTxGapConfig*,
- 1> else:
  - 2> configure lower layers to transmit on the concerned frequency without affecting normal operation;

### 5.10.6a Sidelink discovery announcement pool selection

A UE that is configured with a list of resource pool entries for sidelink discovery announcement transmission (i.e. by *SL-DiscTxPoolList*) shall:

- 1> if *poolSelection* is set to *rsrpBased*:
  - 2> select a pool from the list of pools the UE is configured with for which the RSRP measurement of the reference cell selected as defined in 5.10.6b, after applying the layer 3 filter defined by *quantityConfig* as specified in 5.5.3.2, is in-between *threshLow* and *threshHigh*;
- 1> else:
  - 2> randomly select, using a uniform distribution, a pool from the list of pools the UE is configured with;
- 1> configure lower layers to transmit the sidelink discovery announcement using the selected pool of resources;

NOTE 1: When performing resource pool selection based on RSRP, the UE uses the latest results of the available measurements used for cell reselection evaluation in RRC\_IDLE/ for measurement report triggering evaluation in RRC\_CONNECTED, which are performed in accordance with the performance requirements specified in TS 36.133 [16].

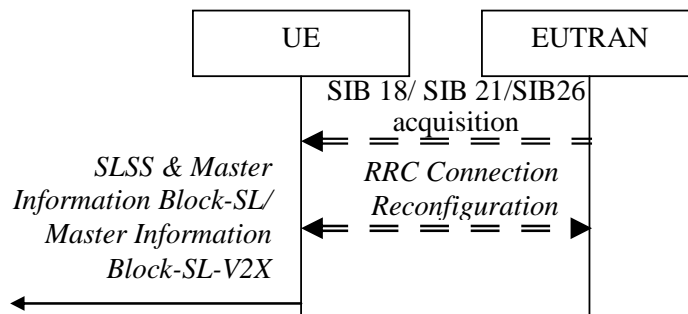
### 5.10.6b Sidelink discovery announcement reference carrier selection

A UE capable of sidelink discovery that is configured by upper layers to transmit sidelink discovery announcements shall:

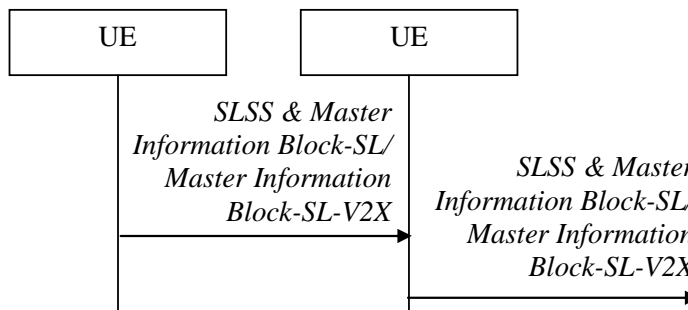
- 1> for each frequency the UE is transmitting sidelink discovery announcements on, select a cell to be used as reference for synchronisation and DL measurements in accordance with the following:
  - 2> if the frequency concerns the primary frequency:
    - 3> use the PCell as reference;
  - 2> else if the frequency concerns a secondary frequency:
    - 3> use the concerned SCell as reference;
  - 2> else if the UE is configured with *discTxRefCarrierDedicated* for the frequency:
    - 3> use the cell indicated by this field as reference;
  - 2> else if the UE is configured with *refCarrierCommon* for the frequency:
    - 3> use the serving cell (RRC\_IDLE)/ PCell (RRC\_CONNECTED) as reference;
  - 2> else:
    - 3> use the DL frequency paired with the one used to transmit sidelink discovery announcements on as reference;

### 5.10.7 Sidelink synchronisation information transmission

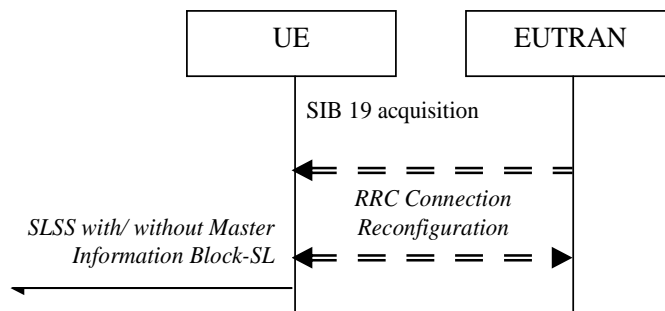
#### 5.10.7.1 General



**Figure 5.10.7.1-1: Synchronisation information transmission for sidelink communication or V2X sidelink communication, in (partial) coverage**



**Figure 5.10.7.1-2: Synchronisation information transmission for sidelink communication or V2X sidelink communication / sidelink discovery, out of coverage**



**Figure 5.10.7.1-3: Synchronisation information transmission for sidelink discovery, in (partial) coverage**

The purpose of this procedure is to provide synchronisation information to a UE. For sidelink discovery, the synchronisation information concerns a Sidelink Synchronisation Signal (SLSS) and, in case of PS related discovery, also timing information and some additional configuration parameters (i.e. the *MasterInformationBlock-SL* message), while for sidelink communication or V2X sidelink communication it concerns an SLSS and the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message. A UE transmits synchronisation information either when E-UTRAN configures it to do so by dedicated signalling (i.e. network based), or when not configured by dedicated signalling (i.e. UE based) and E-UTRAN broadcasts (in coverage) or pre-configures a threshold (out of coverage).

The synchronisation information transmitted by the UE may be derived from information/ signals received from E-UTRAN (in coverage) or received from a UE acting as synchronisation reference for the transmitting UE or received from GNSS. In the remainder, the UE acting as synchronisation reference is referred to as SyncRef UE.

### 5.10.7.2 Initiation

A UE capable of SLSS transmission shall, when transmitting sidelink discovery announcements in accordance with 5.10.6 and when the following conditions are met:

- 1> if in coverage on the frequency used for sidelink discovery, as defined in TS 36.304 [4], clause 11.4:
  - 2> if in RRC\_CONNECTED; and if *networkControlledSyncTx* is configured and set to *on*; or
  - 2> if *networkControlledSyncTx* is not configured; and *syncTxThreshIC* is included in *SystemInformationBlockType19*; and the RSRP measurement of the reference cell, selected as defined in 5.10.6b, is below the value of *syncTxThreshIC*;
  - 3> if the sidelink discovery announcements are not PS related; or if *syncTxPeriodic* is not included:
    - 4> transmit SLSS on the frequency used for sidelink discovery in accordance with 5.10.7.3 and TS 36.211 [21];
  - 3> else:
    - 4> transmit SLSS on the frequency used for sidelink discovery in accordance with 5.10.7.3 and TS 36.211 [21];
    - 4> transmit the *MasterInformationBlock-SL* message on the frequency used for sidelink discovery, in the same subframe as SLSS, and in accordance with 5.10.7.4;
- 1> else (i.e. out of coverage, PS):
  - 2> if *syncTxThreshOoC* is included in the preconfigured sidelink parameters (i.e. *SL-Preconfiguration* defined in 9.3); and the UE has not selected SyncRef UE or the S-RSRP measurement result of the selected SyncRef UE is below the value of *syncTxThreshOoC*;
  - 3> transmit SLSS on the frequency used for sidelink discovery in accordance with 5.10.7.3 and TS 36.211 [21];
  - 3> transmit the *MasterInformationBlock-SL* message on the frequency used for sidelink discovery, in the same subframe as SLSS, and in accordance with 5.10.7.4;

A UE capable of sidelink communication that is configured by upper layers to transmit sidelink communication shall, irrespective of whether or not it has data to transmit:

- 1> if the conditions for sidelink communication operation as defined in 5.10.1a are met:
  - 2> if in RRC\_CONNECTED; and if *networkControlledSyncTx* is configured and set to *on*:
    - 3> transmit SLSS in accordance with 5.10.7.3 and TS 36.211 [21];
    - 3> transmit the *MasterInformationBlock-SL* message, in the same subframe as SLSS, and in accordance with 5.10.7.4;

A UE shall, when transmitting sidelink communication in accordance with 5.10.4 and when the following conditions are met:

- 1> if in coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4:
  - 2> if the UE is in RRC\_CONNECTED; and *networkControlledSyncTx* is not configured; and *syncTxThreshIC* is included in *SystemInformationBlockType18*; and the RSRP measurement of the cell chosen for sidelink communication transmission is below the value of *syncTxThreshIC*; or
  - 2> if the UE is in RRC\_IDLE; and *syncTxThreshIC* is included in *SystemInformationBlockType18*; and the RSRP measurement of the cell chosen for sidelink communication transmission is below the value of *syncTxThreshIC*;
  - 3> transmit SLSS in accordance with 5.10.7.3 and TS 36.211 [21];
  - 3> transmit the *MasterInformationBlock-SL* message, in the same subframe as SLSS, and in accordance with 5.10.7.4;

1> else (i.e. out of coverage):

- 2> if *syncTxThreshOoC* is included in the preconfigured sidelink parameters (i.e. *SL-Preconfiguration* defined in 9.3); and the UE has no selected SyncRef UE or the S-RSRP measurement result of the selected SyncRef UE is below the value of *syncTxThreshOoC*:
  - 3> transmit SLSS in accordance with 5.10.7.3 and TS 36.211 [21];
  - 3> transmit the *MasterInformationBlock-SL* message, in the same subframe as SLSS, and in accordance with 5.10.7.4;

A UE capable of V2X sidelink communication and SLSS/PSBCH transmission shall, when transmitting non-P2X related V2X sidelink communication in accordance with 5.10.13, and if the conditions for V2X sidelink communication operation as defined in 5.10.1d are met and when the following conditions are met:

- 1> if in coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4; and has selected GNSS or the cell as synchronization reference as defined in 5.10.13.3; or
- 1> if out of coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4, and the frequency used to transmit V2X sidelink communication is included in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration* or in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26* of the serving cell/ PCell; and has selected GNSS or the cell as synchronization reference as defined in 5.10.13.3:
  - 2> if *syncFreqList* is not included in *RRCConnectionReconfiguration* nor in *SystemInformationBlockType26*; or
  - 2> if *syncFreqList* is included in *RRCConnectionReconfiguration* or in *SystemInformationBlockType26*; and if none of the frequency(ies) selected as specified in TS 36.321 [6] is included in the *syncFreqList* or the concerned frequency is selected as the synchronisation carrier frequency in accordance with 5.10.8a; or
  - 2> if *syncFreqList* and *slss-TxMultiFreq* are included in *RRCConnectionReconfiguration* or in *SystemInformationBlockType26*; and if the UE has selected a frequency other than the concerned frequency as the synchronisation carrier frequency; and if *slss-TxDisabled* corresponding to the concerned frequency is not configured in *RRCConnectionReconfiguration*; and if the concerned frequency has been selected for V2X sidelink communication transmission as specified in TS 36.321 [6] and is included in *syncFreqList*; and if UE is capable of SLSS/PSBCH transmission on the concerned frequency:
    - 3> if in RRC\_CONNECTED; and if *networkControlledSyncTx* is configured and set to *on*; or
    - 3> if *networkControlledSyncTx* is not configured; and for the concerned frequency *syncTxThreshIC* is configured; and the RSRP measurement of the reference cell, selected as defined in 5.10.13.3, for V2X sidelink communication transmission is below the value of *syncTxThreshIC*:
      - 4> transmit SLSS on the frequency used for V2X sidelink communication in accordance with 5.10.7.3 and TS 36.211 [21];
      - 4> transmit the *MasterInformationBlock-SL-V2X* message on the frequency used for V2X sidelink communication, in the same subframe as SLSS, and in accordance with 5.10.7.4;

1> else:

- 2> for the frequency used for V2X sidelink communication, if *syncOffsetIndicators* is included in *SL-V2X-Preconfiguration*:
  - 3> If *syncFreqList* is not included in *SL-V2X-Preconfiguration*; or
  - 3> if *syncFreqList* is included in *SL-V2X-Preconfiguration*, and if none of the frequency(ies) selected as specified in TS 36.321 [6] is included in the *syncFreqList* or the concerned frequency is selected as the synchronisation carrier frequency in accordance with 5.10.8a; or
  - 3> if *syncFreqList* and *slss-TxMultiFreq* are included in *SL-V2X-Preconfiguration*, and if the UE has selected a frequency other than the concerned frequency as the synchronisation carrier frequency; and if *slss-TxDisabled* corresponding to the concerned frequency is not configured in *SL-V2X-Preconfiguration*; and if the concerned frequency has been selected for V2X sidelink communication transmission as specified in TS 36.321 [6] and included in *syncFreqList*; and if the UE is capable of SLSS/PSBCH transmission on the frequency:

- 4> if *syncTxThreshOoC* is included in *SL-V2X-Preconfiguration*; and the UE is not directly synchronized to GNSS, and the UE has no selected SyncRef UE or the S-RSRP measurement result of the selected SyncRef UE is below the value of *syncTxThreshOoC*; or
- 4> if the UE selects GNSS as the synchronization reference source:
  - 5> transmit SLSS in accordance with 5.10.7.3 and TS 36.211 [21];
  - 5> transmit the *MasterInformationBlock-SL-V2X* message, in the same subframe as SLSS, and in accordance with 5.10.7.4;

NOTE 1: In the case of limited transmission capabilities on multiple carrier frequencies, when the UE is configured with *syncFreqList*, whether to transmit SLSS/PSBCH on a frequency, which is selected for V2X sidelink communication transmission as specified in TS 36.321 [6] and is other than the synchronisation carrier frequency, is up to UE implementation.

### 5.10.7.3 Transmission of SLSS

The UE shall select the SLSSID and the subframe in which to transmit SLSS as follows:

- 1> if triggered by sidelink discovery announcement and in coverage on the frequency used for sidelink discovery, as defined in TS 36.304 [4], clause 11.4:
  - 2> select the SLSSID included in the entry of *discSyncConfig* included in the received *SystemInformationBlockType19*, that includes *txParameters*;
  - 2> use *syncOffsetIndicator* corresponding to the selected SLSSID;
  - 2> for each pool used for the transmission of discovery announcements (each corresponding to the selected SLSSID):
    - 3> if a subframe indicated by *syncOffsetIndicator* corresponds to the first subframe of the discovery transmission pool;
      - 4> if *discTxGapConfig* is configured and includes the concerned subframe; or the subframe is not used for regular uplink transmission:
        - 5> select the concerned subframe;
      - 3> else:
        - 4> if *discTxGapConfig* is configured and includes the concerned subframe; or the subframe is not used for regular uplink transmission:
          - 5> select the subframe indicated by *syncOffsetIndicator* that precedes and which, in time domain, is nearest to the first subframe of the discovery transmission pool;
      - 3> if the sidelink discovery announcements concern PS; and if *syncTxPeriodic* is included:
        - 4> additionally select each subframe that periodically occurs 40 subframes after the selected subframe;
  - 1> if triggered by sidelink communication and in coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4:
    - 2> select the SLSSID included in the entry of *commSyncConfig* that is included in the received *SystemInformationBlockType18* and includes *txParameters*;
    - 2> use *syncOffsetIndicator* corresponding to the selected SLSSID;
    - 2> if in RRC\_CONNECTED; and if *networkControlledSyncTx* is configured and set to *on*:
      - 3> select the subframe(s) indicated by *syncOffsetIndicator*;
    - 2> else (when transmitting communication):

- 3> select the subframe(s) indicated by *syncOffsetIndicator* within the SC period in which the UE intends to transmit sidelink control information or data;
- 1> if triggered by V2X sidelink communication and in coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4; or
  - 1> if triggered by V2X sidelink communication, and out of coverage on the frequency used for V2X sidelink communication, and the concerned frequency is included in *v2x-InterFreqInfoList* in *RRCCONNECTIONRECONFIGURATION* or in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* of the serving cell/ PCell;
    - 2> if the UE has selected GNSS as synchronization reference in accordance with 5.10.8.2:
      - 3> select SLSSID 0;
      - 3> use *syncOffsetIndicator* included in the entry of *v2x-SyncConfig* corresponding to the concerned frequency in *v2x-InterFreqInfoList* or within *SystemInformationBlockType21*, that includes *txParameters* and *gnss-Sync*;
      - 3> select the subframe(s) indicated by *syncOffsetIndicator*;
    - 2> if the UE has selected a cell as synchronization reference in accordance with 5.10.8.2:
      - 3> select the SLSSID included in the entry of *v2x-SyncConfig* configured for the concerned frequency in *v2x-InterFreqInfoList* or within *SystemInformationBlockType21*, that includes *txParameters* and does not include *gnss-Sync*;
      - 3> use *syncOffsetIndicator* corresponding to the selected SLSSID;
      - 3> select the subframe(s) indicated by *syncOffsetIndicator*;
  - 1> else if triggered by V2X sidelink communication and the UE has GNSS as the synchronization reference:
    - 2> select SLSSID 0;
    - 2> if *syncOffsetIndicator3* is configured for the frequency used for V2X sidelink communication in *SL-V2X-Preconfiguration*:
      - 3> select the subframe(s) indicated by *syncOffsetIndicator3*;
    - 2> else:
      - 3> select the subframe(s) indicated by *syncOffsetIndicator1*;
  - 1> else:
    - 2> select the synchronisation reference UE (i.e. SyncRef UE) as defined in 5.10.8;
    - 2> if the UE has a selected SyncRef UE and *inCoverage* in the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message received from this UE is set to *TRUE*; or
    - 2> if the UE has a selected SyncRef UE and *inCoverage* in the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message received from this UE is set to *FALSE* while the SLSS from this UE is part of the set defined for out of coverage, see TS 36.211 [21]:
      - 3> select the same SLSSID as the SLSSID of the selected SyncRef UE;
      - 3> select the subframe in which to transmit the SLSS according to the *syncOffsetIndicator1* or *syncOffsetIndicator2* included in the preconfigured sidelink parameters (i.e. *preconfigSync* in *SL-Preconfiguration* or *v2x-CommPreconfigSync* in *SL-V2X-Preconfiguration* defined in 9.3) corresponding to the concerned frequency, such that the subframe timing is different from the SLSS of the selected SyncRef UE;
    - 2> else if the UE has a selected SyncRef UE and the SLSS from this UE was transmitted on the subframe indicated by *syncOffsetIndicator3* that is included in the *syncOffsetIndicators* in *SL-V2X-Preconfiguration*, and is corresponding to the frequency used for V2X sidelink communication:



- 3> select SLSSID 169;
- 3> select the subframe(s) indicated by *syncOffsetIndicator2*;
- 2> else if the UE has a selected SyncRef UE:
  - 3> select the SLSSID from the set defined for out of coverage having an index that is 168 more than the index of the SLSSID of the selected SyncRef UE, see TS 36.211 [21];
  - 3> select the subframe in which to transmit the SLSS according to *syncOffsetIndicator1* or *syncOffsetIndicator2* included in the preconfigured sidelink parameters (i.e. *preconfigSync* in *SL-Preconfiguration* or *v2x-CommPreconfigSync* in *SL-V2X-Preconfiguration* defined in 9.3), such that the subframe timing is different from the SLSS of the selected SyncRef UE;
- 2> else (i.e. no SyncRef UE selected):
  - 3> if triggered by V2X sidelink communication, randomly select, using a uniform distribution, an SLSSID from the set of sequences defined for out of coverage except SLSSID 168 and 169, see TS 36.211 [21];
  - 3> else, randomly select, using a uniform distribution, an SLSSID from the set of sequences defined for out of coverage, see TS 36.211 [21];
  - 3> select the subframe in which to transmit the SLSS according to the *syncOffsetIndicator1* or *syncOffsetIndicator2* (arbitrary selection between these) included in the preconfigured sidelink parameters (i.e. *preconfigSync* in *SL-Preconfiguration* or *v2x-CommPreconfigSync* in *SL-V2X-Preconfiguration* defined in 9.3);

#### 5.10.7.4 Transmission of *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message

The UE shall set the contents of the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message as follows:

- 1> if in coverage on the frequency used for the sidelink operation that triggered this procedure as defined in TS 36.304 [4], clause 11.4:
  - 2> set *inCoverage* to *TRUE*;
  - 2> set *sl-Bandwidth* to the value of *ul-Bandwidth* as included in the received *SystemInformationBlockType2* of the cell chosen for the concerned sidelink operation;
  - 2> if *tdd-Config* is included in the received *SystemInformationBlockType1*:
    - 3> set *subframeAssignmentSL* to the value representing the same meaning as of *subframeAssignment* that is included in *tdd-Config* in the received *SystemInformationBlockType1*;
  - 2> else:
    - 3> set *subframeAssignmentSL* to *none*;
  - 2> if triggered by sidelink communication; and if *syncInfoReserved* is included in an entry of *commSyncConfig* from the received *SystemInformationBlockType18*:
    - 3> set *reserved* to the value of *syncInfoReserved* in the received *SystemInformationBlockType18*;
  - 2> if triggered by sidelink discovery; and if *syncInfoReserved* is included in an entry of *discSyncConfig* from the received *SystemInformationBlockType19*:
    - 3> set *reserved* to the value of *syncInfoReserved* in the received *SystemInformationBlockType19*;
  - 2> if triggered by V2X sidelink communication; and if *syncInfoReserved* is included in an entry of *v2x-SyncConfig* from the received *SystemInformationBlockType21* or *SystemInformationBlockType26*:
    - 3> set *reserved* to the value of *syncInfoReserved* in the received *SystemInformationBlockType21* or *SystemInformationBlockType26*;

- 2> else:
  - 3> set all bits in *reserved* to 0;
- 1> else if out of coverage on the frequency used for V2X sidelink communication as defined in TS 36.304 [4], clause 11.4; and the concerned frequency is included in *v2x-InterFreqInfoList* in *RRCCConnectionReconfiguration* or in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26* of the serving cell/ PCell:
  - 2> set *inCoverage* to *TRUE*;
  - 2> set *sl-Bandwidth* to the value of the corresponding field included in *v2x-InterFreqInfoList*;
  - 2> set *subframeAssignmentSL* and *reserved* to the value of the corresponding field included in the preconfigured sidelink parameters (i.e. *v2x-CommPreconfigGeneral* in *SL-V2X-Preconfiguration* defined in 9.3);
- 1> else if out of coverage on the frequency used for V2X sidelink communication as defined in TS 36.304 [4], clause 11.4; and the UE selects GNSS timing as the synchronization reference source and *syncOffsetIndicator3* is not included in *SL-V2X-Preconfiguration*:
  - 2> set *inCoverage* to *TRUE*;
  - 2> set *sl-Bandwidth*, *subframeAssignmentSL* and *reserved* to the value of the corresponding field included in the preconfigured sidelink parameters (i.e. *v2x-CommPreconfigGeneral* in *SL-V2X-Preconfiguration* defined in 9.3);
- 1> else if the UE has a selected SyncRef UE (as defined in 5.10.8) and if the SyncRef UE is selected on the concern frequency:
  - 2> set *inCoverage* to *FALSE*;
  - 2> set *sl-Bandwidth*, *subframeAssignmentSL* and *reserved* to the value of the corresponding field included in the received *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X*;
- 1> else:
  - 2> set *inCoverage* to *FALSE*;
  - 2> set *sl-Bandwidth*, *subframeAssignmentSL* and *reserved* to the value of the corresponding field included in the preconfigured sidelink parameters (i.e. *preconfigGeneral* in *SL-Preconfiguration* or *v2x-CommPreconfigGeneral* in *SL-V2X-Preconfiguration* defined in 9.3);
- 1> set *directFrameNumber* and *directSubframeNumber* according to the subframe used to transmit the SLSS, as specified in 5.10.7.3;
- 1> submit the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message to lower layers for transmission upon which the procedure ends;

### 5.10.7.5 Void

## 5.10.8 Sidelink synchronisation reference

### 5.10.8.1 General

The purpose of this procedure is to select a synchronisation reference and used a.o. when transmitting sidelink communication, V2X sidelink communication, sidelink discovery or synchronisation information.

### 5.10.8.2 Selection and reselection of synchronisation reference

The UE shall:

- 1> if triggered by V2X sidelink communication, and in coverage on the frequency for V2X sidelink communication; or

- 1> if triggered by V2X sidelink communication, and out of coverage on the frequency for V2X sidelink communication, and the frequency used to transmit V2X sidelink communication is included in *v2x-InterFreqInfoList* in *RRCCONNECTIONRECONFIGURATION* or in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26* of the serving cell/ PCell:
  - 2> if *syncFreqList* is not included in *RRCCONNECTIONRECONFIGURATION* nor in *SystemInformationBlockType26*; or
  - 2> if *syncFreqList* is included in *RRCCONNECTIONRECONFIGURATION* or in *SystemInformationBlockType26*, and none of the frequency(ies) selected as specified in TS 36.321 [6] is included in the *syncFreqList*; or
  - 2> if *syncFreqList* is included in *RRCCONNECTIONRECONFIGURATION* or in *SystemInformationBlockType26*, and no synchronisation carrier frequency is selected as specified in 5.10.8a:
    - 3> if *typeTxSync* is configured for the concerned frequency and set to *enb*:
      - 4> select a cell as the synchronization reference source as defined in 5.10.13.3;
    - 3> else if *typeTxSync* for the concerned frequency is not configured or is set to *gnss*, and GNSS is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
      - 4> select GNSS as the synchronization reference source;
    - 3> else (i.e., there is no GNSS which is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]):
      - 4> search SLSSID=0 on the concerned frequency to detect candidate SLSS, in accordance with TS 36.133 [16];
      - 4> when evaluating the detected SLSS, apply layer 3 filtering as specified in 5.5.3.2 using the preconfigured *filterCoefficient* as defined in 9.3, before using the S-RSRP measurement results;
      - 4> if the S-RSRP of the SyncRef UE identified by the detected SLSS exceeds the minimum requirement defined in TS 36.133 [16]:
        - 5> select the SyncRef UE;
      - 4> else (i.e., no SLSSID=0 detected):
        - 5> select a cell as the synchronization reference source as defined in 5.10.13.3;
  - 2> if *syncFreqList* is included in *RRCCONNECTIONRECONFIGURATION* or in *SystemInformationBlockType26*, and the UE has selected a synchronisation carrier frequency as specified in 5.10.8a:
    - 3> consider the synchronisation reference source (i.e. eNB, GNSS or SyncRef UE) that is selected on the synchronisation carrier frequency as the synchronization reference;- 1> else, if triggered by V2X sidelink communication, and out of coverage on the frequency for V2X sidelink communication, and for the frequency used for V2X sidelink communication, if *syncPriority* in *SL-V2X-Preconfiguration* is set to *gnss* and GNSS is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
  - 2> select GNSS as the synchronization reference source;
- 1> else, for the frequency used for sidelink communication, V2X sidelink communication or sidelink discovery, if out of coverage on that frequency as defined in TS 36.304 [4], clause 11.4:
  - 2> if triggered by sidelink communication or sidelink discovery; or
  - 2> if triggered by V2X sidelink communication, and *syncFreqList* is not included in *SL-V2X-Preconfiguration*; or
  - 2> if triggered by V2X sidelink communication, and *syncFreqList* is included in *SL-V2X-Preconfiguration*, and none of the frequency(ies) selected as specified in TS 36.321 [6] is included in the *syncFreqList*; or
  - 2> if triggered by V2X sidelink communication, and *syncFreqList* is included in *SL-V2X-Preconfiguration*, and no synchronisation carrier frequency is selected as specified in 5.10.8a:
    - 3> perform a full search (i.e. covering all subframes and all possible SLSSIDs) to detect candidate SLSS, in accordance with TS 36.133 [16]

- 3> when evaluating the one or more detected SLSSIDs, apply layer 3 filtering as specified in 5.5.3.2 using the preconfigured *filterCoefficient* as defined in 9.3, before using the S-RSRP measurement results;
- 3> if the UE has selected a SyncRef UE:
  - 4> if the S-RSRP of the strongest candidate SyncRef UE exceeds the minimum requirement TS 36.133 [16] by *syncRefMinHyst* and the strongest candidate SyncRef UE belongs to the same priority group as the current SyncRef UE and the S-RSRP of the strongest candidate SyncRef UE exceeds the S-RSRP of the current SyncRef UE by *syncRefDiffHyst*; or
  - 4> if the S-RSRP of the candidate SyncRef UE exceeds the minimum requirement TS 36.133 [16] by *syncRefMinHyst* and the candidate SyncRef UE belongs to a higher priority group than the current SyncRef UE; or
  - 4> if GNSS becomes reliable in accordance with TS 36.101 [42] and TS 36.133 [16], and GNSS belongs to a higher priority group than the current SyncRef UE; or
  - 4> if the S-RSRP of the current SyncRef UE is less than the minimum requirement defined in TS 36.133 [16]:
    - 5> consider no SyncRef UE to be selected;
- 3> if the UE has selected GNSS as the synchronization reference for V2X sidelink communication:
  - 4> if the S-RSRP of the candidate SyncRef UE exceeds the minimum requirement defined in TS 36.133 [16] by *syncRefMinHyst* and the candidate SyncRef UE belongs to a higher priority group than GNSS; or
  - 4> if GNSS becomes not reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
    - 5> consider GNSS not to be selected;
- 3> if the UE has not selected a SyncRef UE and has not selected GNSS as synchronization reference source:
  - 4> if not concerning V2X sidelink communication, and if the UE detects one or more SLSSIDs for which the S-RSRP exceeds the minimum requirement defined in TS 36.133 [16] by *syncRefMinHyst* and for which the UE received the corresponding *MasterInformationBlock-SL* message (candidate SyncRef UEs), select a SyncRef UE according to the following priority group order:
    - 5> UEs of which *inCoverage*, included in the *MasterInformationBlock-SL* message received from this UE, is set to *TRUE*, starting with the UE with the highest S-RSRP result (priority group 1);
    - 5> UEs of which SLSSID is part of the set defined for in coverage, starting with the UE with the highest S-RSRP result (priority group 2);
    - 5> Other UEs, starting with the UE with the highest S-RSRP result (priority group 3);
  - 4> for V2X sidelink communication, if the UE detects one or more SLSSIDs for which the S-RSRP exceeds the minimum requirement defined in TS 36.133 [16] by *syncRefMinHyst* and for which the UE received the corresponding *MasterInformationBlock-SL-V2X* message (candidate SyncRef UEs), or if the UE detects GNSS that is reliable in accordance with TS 36.101 [42] and TS 36.133 [16], select a synchronization reference according to the following priority group order:
    - 5> if *syncPriority* corresponding to the concerned frequency in *SL-V2X-Preconfiguration* is set to *enb*:
      - 6> UEs of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, starting with the UE with the highest S-RSRP result (priority group 1);
      - 6> UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 2);
      - 6> GNSS that is reliable in accordance with TS 36.101 [42] and TS 36.133 [16] (priority group 3);

- 6> UEs of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, or of which SLSSID is 0 and SLSS is transmitted on subframes indicated by *syncOffsetIndicator3*, starting with the UE with the highest S-RSRP result (priority group 4);
  - 6> UEs of which SLSSID is 0 and is not transmitted on subframes indicated by *syncOffsetIndicator3*, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 5);
  - 6> UEs of which SLSSID is 169, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 5);
  - 6> Other UEs, starting with the UE with the highest S-RSRP result (priority group 6);
- 5> if *syncPriority* corresponding to the concerned frequency in *SL-V2X-Preconfiguration* is set to *gnss*:
- 6> GNSS that is reliable in accordance with TS 36.101 [42] and TS 36.133 [16] (priority group 1);
  - 6> UEs of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, starting with the UE with the highest S-RSRP result (priority group 2);
  - 6> UEs of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, or of which SLSSID is 0 and SLSS is transmitted on subframes indicated by *syncOffsetIndicator3*, starting with the UE with the highest S-RSRP result (priority group 2);
  - 6> UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 3);
  - 6> UEs of which SLSSID is 0 and is not transmitted on subframes indicated by *syncOffsetIndicator3*, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 3);
  - 6> UEs of which SLSSID is 169, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 3);
  - 6> Other UEs, starting with the UE with the highest S-RSRP result (priority group 4);
- 2> if triggered by V2X sidelink communication, and *syncFreqList* is included in *SL-V2X-Preconfiguration*, and the UE has selected a synchronisation carrier frequency as specified in 5.10.8a;
- 3> consider the synchronisation reference source (i.e. eNB, GNSS or SyncRef UE) that selected on the synchronisation carrier frequency as the synchronization reference;

### 5.10.8a Selection and reselection of synchronisation carrier frequency

For the frequency(ies) which are in coverage for the UE as defined in TS 36.304 [4], clause 11.4 and which have been selected for V2X sidelink communication as specified in TS 36.321 [6], and/or for the frequency(ies) which are out of coverage for the UE and included in *v2x-InterFreqInfoList* within *RRCCONNECTIONRECONFIGURATION* or *SystemInformationBlockType21* or *SystemInformationBlockType26* of the serving cell/ PCell and which have been selected for V2X sidelink communication as specified in TS 36.321 [6], the UE capable of V2X sidelink communication and synchronisation carrier frequency selection shall:

- 1> if *syncFreqList* is included in *RRCCONNECTIONRECONFIGURATION* or in *SystemInformationBlockType26*, and includes at least one of the concerned frequency(ies):
- 2> if no synchronisation carrier frequency is selected:

- 3> If *typeTxSync* is configured for the concerned frequency(ies) and set to *enb*; or
- 3> if *typeTxSync* for the concerned frequency(ies) is not configured or is set to *gnss*, and GNSS is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
  - 4> select one frequency from the concerned frequency(ies) which are included in *syncFreqList* as the synchronisation carrier frequency.
- 3> else (i.e., there is no GNSS which is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]):
  - 4> select the synchronisation reference source(s) on the concerned frequency(ies) which are included in *syncFreqList* according to 5.10.8.2:
  - 4> if SyncRef UE(s) with SLSSID=0 is detected on at least one frequency from the concerned frequency(ies):
    - 5> select one frequency from the concerned frequency(ies) with the SyncRef UE(s) with SLSSID=0 detected as the synchronisation carrier frequency;
  - 4> else (i.e., no SLSSID=0 detected and UE selects a cell as the synchronisation reference source):
    - 5> select one frequency from the concerned frequencies which are included in *syncFreqList* as the synchronisation carrier frequency;
- 2> else (i.e. the synchronisation carrier frequency is selected):
  - 3> if the UE selects GNSS as the synchronisation reference source, and GNSS is unreliable in accordance with TS 36.101 [42] and TS 36.133 [16]; or
  - 3> if the UE selects a cell as the synchronisation reference source, and the cell cannot fulfil the S criterion in accordance with TS 36.304 [4]; or
  - 3> if the UE selects a SyncRef UE and the S-RSRP of the current SyncRef UE is less than the minimum requirement defined in TS 36.133 [16]; or
  - 3> if the synchronisation carrier frequency is not selected for V2X sidelink communication as specified in TS 36.321 [6]:
    - 4> consider no synchronisation carrier frequency is selected;

For the frequency(ies) which are out of coverage for the UE and not included in *v2x-InterFreqInfoList* within *RRCCONNECTIONRECONFIGURATION* nor *SystemInformationBlockType21* nor *SystemInformationBlockType26* of the serving cell/ PCell and which have been selected for V2X sidelink carrier communication as specified in TS 36.321 [6], the UE capable of V2X sidelink communication and selection of synchronisation carrier frequency selection shall:

- 1> if *syncFreqList* is included in *SL-V2X-Preconfiguration*, and at least one of the concerned frequency(ies) is included in *syncFreqList*:
- 2> if no synchronisation carrier frequency is selected:
  - 3> if *syncPriority* in *SL-V2X-Preconfiguration* is set to *gnss* and GNSS is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
    - 4> select one frequency from the concerned frequency(ies) which are included in *syncFreqList* as the synchronisation carrier frequency.
  - 3> else:
    - 4> select the synchronisation reference source(s) on the concerned frequency(ies) which are included in *SyncFreqList* according to 5.10.8.2;
    - 4> select the frequency with the highest synchronisation reference source priority as the synchronisation carrier frequency, according to the following priority group order:
      - 5> if *syncPriority* corresponding to the concerned frequency(ies) in *SL-V2X-Preconfiguration* is set to *enb*:

- 6> the frequency(ies) with SyncRef UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE* (priority group 1);
- 6> the frequency(ies) with SyncRef UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 2);
- 6> the frequency(ies) using GNSS as synchronisation reference source (priority group 3);
- 6> the frequency(ies) with SyncRef UE of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, or of which SLSSID is 0 and SLSS is transmitted on subframes indicated by *syncOffsetIndicator3* (priority group 4);
- 6> the frequency(ies) with SyncRef UE of which SLSSID is 0 and is not transmitted on subframes indicated by *syncOffsetIndicator3*, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 5);
- 6> the frequency(ies) with SyncRef UE of which SLSSID is 169, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 5);
- 6> the frequency(ies) with other SyncRef UE (priority group 6);
- 5> if *syncPriority* corresponding to the concerned frequency(ies) in *SL-V2X-Preconfiguration* is set to *gnss*:
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE* (priority group 1);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, or of which SLSSID is 0 and SLSS is transmitted on subframes indicated by *syncOffsetIndicator3* (priority group 1);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 2);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is 0 and is not transmitted on subframes indicated by *syncOffsetIndicator3*, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 2);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is 169, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 2);
  - 6> the frequency(ies) with other SyncRef UE (priority group 3);
- 2> else (i.e. the synchronisation carrier frequency is selected):
  - 3> if the UE selects GNSS as the synchronisation reference source, and GNSS is unreliable in accordance with TS 36.101 [42] and TS 36.133 [16]; or
  - 3> if the UE selects a SyncRef UE and the S-RSRP of the current SyncRef UE is less than the minimum requirement defined in TS 36.133 [16]; or
  - 3> if the synchronisation carrier frequency is not selected for V2X sidelink communication as specified in TS 36.321 [6]:
    - 4> consider no synchronisation carrier frequency is selected;

NOTE 1: If more than one selected carrier frequencies satisfy the condition as the synchronisation carrier frequency for V2X sidelink communication, how to select one synchronisation carrier frequency is up to UE implementation.

NOTE 2: All concerned carrier frequency(ies) have the same *typeTxSync* and *syncPriority* configured.

## 5.10.9 Sidelink common control information

### 5.10.9.1 General

The sidelink common control information is carried by a single message, the *MasterInformationBlock-SL* (MIB-SL) message for sidelink discovery and sidelink communication or the *MasterInformationBlock-SL-V2X* (MIB-SL-V2X) message for V2X sidelink communication. The MIB-SL or MIB-SL-V2X includes timing information as well as some configuration parameters and is transmitted via SL-BCH.

The MIB-SL for sidelink discovery and sidelink communication uses a fixed schedule with a periodicity of 40 ms without repetitions. In particular, the MIB-SL is scheduled in subframes indicated by *syncOffsetIndicator-r12* i.e. for which  $(10 \cdot \text{DFN} + \text{subframe number}) \bmod 40 = \text{syncOffsetIndicator-r12}$ .

The MIB-SL-V2X for V2X sidelink communication uses a fixed schedule with a periodicity of 160 ms without repetitions. In particular, the MIB-SL-V2X is scheduled in subframes indicated by *SL-OffsetIndicatorSync* i.e. for which  $(10 \cdot \text{DFN} + \text{subframe number}) \bmod 160 = \text{SL-OffsetIndicatorSync}$ .

The sidelink common control information may change at any transmission i.e. neither a modification period nor a change notification mechanism is used.

A UE configured to receive or transmit sidelink communication or PS related sidelink discovery shall:

- 1> if the UE has a selected SyncRef UE, as specified in 5.10.8.2:
  - 2> ensure having a valid version of the *MasterInformationBlock-SL* message of that SyncRefUE;

A UE configured to receive or transmit V2X sidelink communication shall:

- 1> if the UE has a selected SyncRef UE, as specified in 5.10.8.2:
  - 2> ensure having a valid version of the *MasterInformationBlock-SL-V2X* message of that SyncRefUE;

### 5.10.9.2 Actions related to reception of *MasterInformationBlock-SL/ MasterInformationBlock-SL-V2X* message

Upon receiving *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X*, the UE shall:

- 1> apply the values of *sl-Bandwidth*, *subframeAssignmentSL*, *directFrameNumber* and *directSubframeNumber* included in the received *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message;

## 5.10.10 Sidelink relay UE operation

### 5.10.10.1 General

This procedure is used by a UE supporting sidelink relay UE operation and involves evaluation of the AS-layer conditions that need to be met in order for upper layers to configure a sidelink relay UE to receive/ transmit relay related PS sidelink discovery/ relay related sidelink communication. The AS-layer conditions merely comprise of being configured with radio resources that can be used for transmission.

A UE that fulfils the criteria specified in 5.10.10.2 and 5.10.10.3 and that is configured by higher layers accordingly is acting as a sidelink relay UE.



### 5.10.10.2 AS-conditions for relay related sidelink communication transmission by sidelink relay UE

A UE capable of sidelink relay UE operation shall inform upper layers that it is configured with radio resources that can be used for relay related sidelink communication transmission if the following conditions are met:

- 1> if in RRC\_CONNECTED; and if the UE is configured with *commTxResources*; and the UE is configured with *commTxAllowRelayDedicated* set to *true*;

### 5.10.10.3 AS-conditions for relay PS related sidelink discovery transmission by sidelink relay UE

A UE capable of sidelink relay UE operation shall inform upper layers that it is configured with radio resources that can be used for relay PS related sidelink discovery transmission if the following conditions are met:

- 1> if in RRC\_IDLE; and if the UE's serving cell is suitable as defined in TS 36.304 [4]; and if *SystemInformationBlockType19* includes *discConfigPS* including *discTxPoolPS-Common* and *discConfigRelay*; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met;
- 1> else if in RRC\_CONNECTED; and if *discTxResourcesPS* is configured;

### 5.10.10.4 Sidelink relay UE threshold conditions

A UE capable of sidelink relay UE operation shall:

- 1> if the threshold conditions specified in this clause were not met:
  - 2> if neither *threshHigh* nor *threshLow* is included in *relayUE-Config* within *SystemInformationBlockType19*:
    - 3> consider the threshold conditions to be met (entry);
  - 2> else if *threshHigh* is not included in *relayUE-Config* within *SystemInformationBlockType19*; or the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshHigh* by *hystMax* (also included within *relayUE-Config*); and
  - 2> if *threshLow* is not included in *relayUE-Config* within *SystemInformationBlockType19*; or the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshLow* by *hystMin* (also included within *relayUE-Config*):
    - 3> consider the threshold conditions to be met (entry);
- 1> else:
  - 2> if *threshHigh* is included in *relayUE-Config* within *SystemInformationBlockType19*; and the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshHigh* (also included within *relayUE-Config*); or
  - 2> if *threshLow* is included in *relayUE-Config* within *SystemInformationBlockType19*; and the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshLow* (also included within *relayUE-Config*);
    - 3> consider the threshold conditions not to be met (leave);

## 5.10.11 Sidelink remote UE operation

### 5.10.11.1 General

This procedure is used by a UE supporting sidelink remote UE operation and involves evaluation of the AS-layer conditions that need to be met in order for upper layers to configure a sidelink remote UE to receive/ transmit relay related sidelink PS discovery/ relay related sidelink communication. The AS-layer conditions merely comprise of being configured with radio resources that can be used for transmission, as well as whether or not having a selected sidelink relay UE.

### 5.10.11.2 AS-conditions for relay related sidelink communication transmission by sidelink remote UE

A UE capable of sidelink remote UE operation shall inform upper layers whether it is configured with radio resources that can be used for relay related sidelink communication transmission if the following conditions are met:

- 1> if the UE is out of coverage; and is preconfigured with *SL-Preconfiguration* including *discTxPoolList* and *preconfigRelay*;
- 1> else if in RRC\_IDLE; and if the UE's serving cell is suitable as defined in TS 36.304 [4]; and if *SystemInformationBlockType18* includes *commTxPoolNormalCommon* and *commTxAllowRelayCommon*; and if *SystemInformationBlockType19* includes *discConfigRelay*; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met;
- 1> else if in RRC\_CONNECTED; and if the UE is configured with *commTxResources*; and the UE is configured with *commTxAllowRelayDedicated* set to *true*;

### 5.10.11.3 AS-conditions for relay PS related sidelink discovery transmission by sidelink remote UE

A UE capable of sidelink remote UE operation shall inform upper layers whether it is configured with radio resources that can be used for relay PS related sidelink discovery transmission if the following conditions are met:

- 1> if the UE is out of coverage; and is preconfigured with *SL-Preconfiguration* including *discTxPoolList* and *preconfigRelay*;
- 1> else if in RRC\_IDLE; and if the UE's serving cell is suitable as defined in TS 36.304 [4]; and if *SystemInformationBlockType19* includes *discConfigPS* including *discTxPoolPS-Common* and *discConfigRelay*; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met;
- 1> else if in RRC\_CONNECTED; and if *discTxResourcesPS* is configured;

### 5.10.11.4 Selection and reselection of sidelink relay UE

A UE capable of sidelink remote UE operation that is configured by upper layers to search for a sidelink relay UE shall:

- 1> if out of coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4; or
- 1> if the serving frequency is used for sidelink communication and the RSRP measurement of the cell on which the UE camps (RRC\_IDLE)/ the PCell (RRC\_CONNECTED) is below *threshHigh* within *remoteUE-Config* :
  - 2> search for candidate sidelink relay UEs, in accordance with TS 36.133 [16]
  - 2> when evaluating the one or more detected sidelink relay UEs, apply layer 3 filtering as specified in 5.5.3.2 across measurements that concern the same ProSe Relay UE ID and using the *filterCoefficient* in *SystemInformationBlockType19* (in coverage) or the preconfigured *filterCoefficient* as defined in 9.3(out of coverage), before using the SD-RSRP measurement results;

NOTE 1: The details of the interaction with upper layers are up to UE implementation.

- 2> if the UE does not have a selected sidelink relay UE:
  - 3> select a candidate sidelink relay UE which SD-RSRP exceeds *q-RxLevMin* included in either *reselectionInfoIC* (in coverage) or *reselectionInfoOoC* (out of coverage) by *minHyst*;
  - 2> else if SD-RSRP of the currently selected sidelink relay UE is below *q-RxLevMin* included in either *reselectionInfoIC* (in coverage) or *reselectionInfoOoC* (out of coverage); or if upper layers indicate not to use the currently selected sidelink relay: (i.e. sidelink relay UE reselection):
    - 3> select a candidate sidelink relay UE which SD-RSRP exceeds *q-RxLevMin* included in either *reselectionInfoIC* (in coverage) or *reselectionInfoOoC* (out of coverage) by *minHyst*;
  - 2> else if the UE did not detect any candidate sidelink relay UE which SD-RSRP exceeds *q-RxLevMin* included in either *reselectionInfoIC* (in coverage) or *reselectionInfoOoC* (out of coverage) by *minHyst*:

3> consider no sidelink relay UE to be selected;

NOTE 2: The UE may perform sidelink relay UE reselection in a manner resulting in selection of the sidelink relay UE, amongst all candidate sidelink relay UEs meeting higher layer criteria, that has the best radio link quality. Further details, including interaction with upper layers, are up to UE implementation.

### 5.10.11.5 Sidelink remote UE threshold conditions

A UE capable of sidelink remote UE operation shall:

1> if the threshold conditions specified in this clause were not met:

2> if *threshHigh* is not included in *remoteUE-Config* within *SystemInformationBlockType19*; or

2> if *threshHigh* is included in *remoteUE-Config* within *SystemInformationBlockType19*; and the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshHigh* by *hystMax* (also included within *remoteUE-Config*):

3> consider the threshold conditions to be met (entry);

1> else:

2> if *threshHigh* is included in *remoteUE-Config* within *SystemInformationBlockType19*; and the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshHigh* (also included within *remoteUE-Config*):

3> consider the threshold conditions not to be met (leave);

### 5.10.12 V2X sidelink communication monitoring

A UE capable of V2X sidelink communication that is configured by upper layers to receive V2X sidelink communication shall:

1> if the conditions for sidelink operation as defined in 5.10.1d are met:

2> if in coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4:

3> if the frequency used to receive V2X sidelink communication is included in *v2x-InterFreqInfoList* within *RRConnectionReconfiguration* or in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26* of the serving cell/Pcell, and *v2x-CommRxPool* is included in *SL-V2X-InterFreqUE-Config* within *v2x-UE-ConfigList* in the entry of *v2x-InterFreqInfoList* for the concerned frequency:

4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated in *v2x-CommRxPool*;

3> else:

4> if the cell chosen for V2X sidelink communication reception broadcasts *SystemInformationBlockType21* including *v2x-CommRxPool* in *sl-V2X-ConfigCommon* or,

4> if the UE is configured with *v2x-CommRxPool* included in *mobilityControlInfoV2X* in *RRConnectionReconfiguration*:

5> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated in *v2x-CommRxPool*;

2> else (i.e. out of coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4):

3> if the frequency used to receive V2X sidelink communication is included in *v2x-InterFreqInfoList* within *RRConnectionReconfiguration* or in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26* of the serving cell/PCell, and *v2x-CommRxPool* is included in *SL-V2X-*

*InterFreqUE-Config* within *v2x-UE-ConfigList* in the entry of *v2x-InterFreqInfoList* for the concerned frequency:

- 4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated in *v2x-CommRxPool*;
- 3> else:
  - 4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources that were preconfigured (i.e. *v2x-CommRxPoolList* in *SL-V2X-Preconfiguration* defined in 9.3);

## 5.10.13 V2X sidelink communication transmission

### 5.10.13.1 Transmission of V2X sidelink communication

A UE capable of V2X sidelink communication that is configured by upper layers to transmit V2X sidelink communication and has related data to be transmitted shall:

- 1> if the conditions for sidelink operation as defined in 5.10.1d are met:
  - 2> if in coverage on the frequency used for V2X sidelink communication as defined in TS 36.304 [4], clause 11.4; or
  - 2> if the frequency used to transmit V2X sidelink communication is included in *v2x-InterFreqInfoList* in *RRCCONNECTIONRECONFIGURATION* or in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26*:
  - 3> if the UE is in *RRC\_CONNECTED* and uses the PCell or the frequency included in *v2x-InterFreqInfoList* in *RRCCONNECTIONRECONFIGURATION* for V2X sidelink communication:
    - 4> if the UE is configured, by the current PCell with *commTxResources* set to *scheduled*:
      - 5> if T310 or T311 is running; and if the PCell at which the UE detected physical layer problems or radio link failure broadcasts *SystemInformationBlockType21* including *v2x-CommTxPoolExceptional* in *sl-V2X-ConfigCommon*, or *v2x-CommTxPoolExceptional* is included in *v2x-InterFreqInfoList* for the concerned frequency in *SystemInformationBlockType21* or *SystemInformationBlockType26* or *RRCCONNECTIONRECONFIGURATION*; or
      - 5> if T301 is running and the cell on which the UE initiated connection re-establishment broadcasts *SystemInformationBlockType21* including *v2x-CommTxPoolExceptional* in *sl-V2X-ConfigCommon*, or *v2x-CommTxPoolExceptional* is included in *v2x-InterFreqInfoList* for the concerned frequency in *SystemInformationBlockType21* or *SystemInformationBlockType26*; or
      - 5> if T304 is running and the UE is configured with *v2x-CommTxPoolExceptional* included in *mobilityControlInfoV2X* in *RRCCONNECTIONRECONFIGURATION* or in *v2x-InterFreqInfoList* for the concerned frequency in *RRCCONNECTIONRECONFIGURATION*:
        - 6> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection using the pool of resources indicated by *v2x-CommTxPoolExceptional* as defined in TS 36.321 [6];
    - 5> else:
      - 6> configure lower layers to request E-UTRAN to assign transmission resources for V2X sidelink communication;
  - 4> else if the UE is configured with *v2x-CommTxPoolNormalDedicated* or *v2x-CommTxPoolNormal* or *p2x-CommTxPoolNormal* in the entry of *v2x-InterFreqInfoList* for the concerned frequency in *sl-V2X-ConfigDedicated* in *RRCCONNECTIONRECONFIGURATION*:
    - 5> if the UE is configured to transmit non-P2X related V2X sidelink communication and a result of sensing on the resources configured in *v2x-CommTxPoolNormalDedicated* or *v2x-*

*CommTxPoolNormal* in the entry of *v2x-InterFreqInfoList* for the concerned frequency in *RRCConnectionReconfiguration* is not available in accordance with TS 36.213 [23]; or

- 5> if the UE is configured to transmit P2X related V2X sidelink communication and selects to use partial sensing according to 5.10.13.1a, and a result of partial sensing on the resources configured in *v2x-CommTxPoolNormalDedicated* or *p2x-CommTxPoolNormal* in the entry of *v2x-InterFreqInfoList* for the concerned frequency in *RRCConnectionReconfiguration* is not available in accordance with TS 36.213 [23]:
    - 6> if *v2x-CommTxPoolExceptional* is included in *mobilityControlInfoV2X* in *RRCConnectionReconfiguration* (i.e., handover case); or
    - 6> if *v2x-CommTxPoolExceptional* is included in the entry of *v2x-InterFreqInfoList* for the concerned frequency in *RRCConnectionReconfiguration*; or
    - 6> if the PCell broadcasts *SystemInformationBlockType21* including *v2x-CommTxPoolExceptional* in *sl-V2X-ConfigCommon* or *v2x-CommTxPoolExceptional* in *v2x-InterFreqInfoList* for the concerned frequency or broadcasts *SystemInformationBlockType26* including *v2x-CommTxPoolExceptional* in *v2x-InterFreqInfoList* for the concerned frequency:
      - 7> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection using the pool of resources indicated by *v2x-CommTxPoolExceptional* as defined in TS 36.321 [6];
  - 5> else if the UE is configured to transmit P2X related V2X sidelink communication:
    - 6> select a resource pool according to 5.10.13.2;
    - 6> perform P2X related V2X sidelink communication according to 5.10.13.1a;
  - 5> else if the UE is configured to transmit non-P2X related V2X sidelink communication:
    - 6> configure lower layers to transmit the sidelink control information and the corresponding data based on sensing (as defined in TS 36.321 [6] and TS 36.213 [23]) using one of the resource pools indicated by *v2x-commTxPoolNormalDedicated* or *v2x-CommTxPoolNormal* in the entry of *v2x-InterFreqInfoList* for the concerned frequency, which is selected according to 5.10.13.2;
- 3> else:
- 4> if the cell chosen for V2X sidelink communication transmission broadcasts *SystemInformationBlockType21* or *SystemInformationBlockType26*:
    - 5> if the UE is configured to transmit non-P2X related V2X sidelink communication, and if *SystemInformationBlockType21* includes *v2x-CommTxPoolNormalCommon* or *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency, or *SystemInformationBlockType26* includes *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency, and if a result of sensing on the resources configured in *v2x-CommTxPoolNormalCommon* or *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency is available in accordance with TS 36.213 [23]:
      - 6> configure lower layers to transmit the sidelink control information and the corresponding data based on sensing (as defined in TS 36.321 [6] and TS 36.213 [23]) using one of the resource pools indicated by *v2x-CommTxPoolNormalCommon* or *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency, which is selected according to 5.10.13.2;
    - 5> else if the UE is configured to transmit P2X related V2X sidelink communication, and if *SystemInformationBlockType21* includes *p2x-CommTxPoolNormalCommon* or *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency, or *SystemInformationBlockType26* includes *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency, and if the UE selects to use random selection according to 5.10.13.1a, or selects to use partial sensing according to 5.10.13.1a and a result of partial sensing on the resources configured in *p2x-CommTxPoolNormalCommon* or *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency is available in accordance with TS 36.213 [23]:

- 6> select a resource pool from *p2x-CommTxPoolNormalCommon* or *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency according to 5.10.13.2, but ignoring *zoneConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26*;
- 6> perform P2X related V2X sidelink communication according to 5.10.13.1a;
- 5> else if *SystemInformationBlockType21* includes *v2x-CommTxPoolExceptional* in *sl-V2X-ConfigCommon* or *v2x-CommTxPoolExceptional* in *v2x-InterFreqInfoList* for the concerned frequency, or *SystemInformationBlockType26* includes *v2x-CommTxPoolExceptional* in *v2x-InterFreqInfoList* for the concerned frequency:
  - 6> from the moment the UE initiates connection establishment until receiving an *RRCCONNECTIONRECONFIGURATION* including *sl-V2X-ConfigDedicated*, or until receiving an *RRCCONNECTIONRELEASE* or an *RRCCONNECTIONREJECT*; or
  - 6> if the UE is in RRC\_IDLE and a result of sensing on the resources configured in *v2x-CommTxPoolNormalCommon* or *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency in *SystemInformationBlockType21* or *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency in *SystemInformationBlockType26* is not available in accordance with TS 36.213 [23]; or
  - 6> if the UE is in RRC\_IDLE and UE selects to use partial sensing according to 5.10.13.1a and a result of partial sensing on the resources configured in *p2x-CommTxPoolNormalCommon* or *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency in *SystemInformationBlockType21* or *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency in *SystemInformationBlockType26* is not available in accordance with TS 36.213 [23]:
    - 7> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection (as defined in TS 36.321 [6]) using the pool of resources indicated in *v2x-CommTxPoolExceptional*;
- 2> else:
  - 3> configure lower layers to transmit the sidelink control information and the corresponding data based on sensing (as defined in TS 36.321 [6] and TS 36.213 [23]) using one of the resource pools indicated by *v2x-CommTxPoolList* in *SL-V2X-Preconfiguration* in case of non-P2X related V2X sidelink communication, which is selected according to 5.10.13.2, or using one of the resource pools indicated by *p2x-CommTxPoolList* in *SL-V2X-Preconfiguration* in case of P2X related V2X sidelink communication, which is selected according to 5.10.13.2, and in accordance with the timing of the selected reference as defined in 5.10.8;

The UE capable of non-P2X related V2X sidelink communication that is configured by upper layers to transmit V2X sidelink communication shall perform sensing on all pools of resources which may be used for transmission of the sidelink control information and the corresponding data. The pools of resources are indicated by *SL-V2X-Preconfiguration*, *v2x-CommTxPoolNormalCommon*, *v2x-CommTxPoolNormalDedicated* in *sl-V2X-ConfigDedicated*, or *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency, as configured above.

### 5.10.13.1a Transmission of P2X related V2X sidelink communication

A UE configured to transmit P2X related V2X sidelink communication shall:

- 1> if *partialSensing* is included and *randomSelection* is not included in *resourceSelectionConfigP2X* of the pool selected; or
- 1> if both *partialSensing* and *randomSelection* are included in *resourceSelectionConfigP2X* of the pool selected, and the UE selects to use partial sensing:
  - 2> configure lower layers to transmit the sidelink control information and the corresponding data based on partial sensing (as defined in TS 36.321 [6] and TS 36.213 [23]) using the selected resource pool, if the UE supports partial sensing;
- 1> if *partialSensing* is not included and *randomSelection* is included in *resourceSelectionConfigP2X* of the pool selected.

- 2> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection (as defined in TS 36.321 [6] and TS 36.213 [23]) using the selected resource pool;
- 1> if both *partialSensing* and *randomSelection* is included in *resourceSelectionConfigP2X* of the pool selected, and the UE selects to use random selection:
  - 2> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection using the selected resource pool and indicates to lower layers that transmissions of multiple MAC PDUs are allowed (as defined in TS 36.321 [6] and TS 36.213 [23]).

NOTE: If both *partialSensing* and *randomSelection* is included in *resourceSelectionConfigP2X* of the pool selected, the selection between partial sensing and random selection is left to UE implementation.

### 5.10.13.2 V2X sidelink communication transmission pool selection

For a frequency used for V2X sidelink communication, if *zoneConfig* is not ignored as specified in 5.10.13.1, the UE configured by upper layers for V2X sidelink communication shall only use the pool which corresponds to geographical coordinates of the UE, if *zoneConfig* is included in *SystemInformationBlockType21* or *SystemInformationBlockType26* of the serving cell (RRC\_IDLE)/ PCell (RRC\_CONNECTED) or in *RRCCConnectionReconfiguration* for the concerned frequency, and the UE is configured to use resource pools provided by RRC signalling for the concerned frequency; or if *zoneConfig* is included in *SL-V2X-Preconfiguration* for the concerned frequency, and the UE is configured to use resource pools in *SL-V2X-Preconfiguration* for the frequency, according to 5.10.13.1. The UE shall only use the pool which is associated with the synchronization reference source selected in accordance with 5.10.8.2.

- 1> if the UE is configured to transmit on *p2x-CommTxPoolNormalCommon* or on *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* in *SystemInformationBlockType21* or on *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* in *SystemInformationBlockType26* according to 5.10.13.1; or
- 1> if the UE is configured to transmit on *p2x-CommTxPoolList-r14* in *SL-V2X-Preconfiguration* according to 5.10.13.1; or
- 1> if *zoneConfig* is not included in *SystemInformationBlockType21* and the UE is configured to transmit on *v2x-CommTxPoolNormalCommon* or *v2x-CommTxPoolNormalDedicated*; or
- 1> if *zoneConfig* is included in *SystemInformationBlockType21* and the UE is configured to transmit on *v2x-CommTxPoolNormalDedicated* for P2X related V2X sidelink communication and *zoneID* is not included in *v2x-CommTxPoolNormalDedicated*; or
- 1> if *zoneConfig* is not included in the entry of *v2x-InterFreqInfoList* for the concerned frequency and the UE is configured to transmit on *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* or *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* in *RRCCConnectionReconfiguration*; or
- 1> if *zoneConfig* is included in the entry of *v2x-InterFreqInfoList* for the concerned frequency and the UE is configured to transmit on *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* in *RRCCConnectionReconfiguration* and *zoneID* is not included in *p2x-CommTxPoolNormal*; or
- 1> if *zoneConfig* is not included in *SL-V2X-Preconfiguration* for the concerned frequency and the UE is configured to transmit on *v2x-CommTxPoolList* in *SL-V2X-Preconfiguration* for the concerned frequency:
  - 2> select a pool associated with the synchronization reference source selected in accordance with 5.10.8.2;

NOTE 0: If multiple pools are associated with the selected synchronization reference source, it is up to UE implementation which resource pool is selected for V2X sidelink communication transmission.

- 1> if *zoneConfig* is included in *SystemInformationBlockType21* and the UE is configured to transmit on *v2x-CommTxPoolNormalCommon* or *v2x-CommTxPoolNormalDedicated* for non-P2X related V2X sidelink communication; or
- 1> if *zoneConfig* is included in *SystemInformationBlockType21* and the UE is configured to transmit on *v2x-CommTxPoolNormalDedicated* for P2X related V2X sidelink communication and *zoneID* is included in *v2x-CommTxPoolNormalDedicated*; or
- 1> if *zoneConfig* is included in the entry of *v2x-InterFreqInfoList* for the concerned frequency and if the UE is configured to transmit on *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* or is configured to transmit on *p2x-*

*CommTxPoolNormal* in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration* and *zoneID* is included in *p2x-CommTxPoolNormal*; or

- 1> if *zoneConfig* is included in *SL-V2X-Preconfiguration* for the concerned frequency and the UE is configured to transmit on *v2x-CommTxPoolList* in *SL-V2X-Preconfiguration* for the concerned frequency:
- 2> select the pool configured with *zoneID* equal to the zone identity determined below and associated with the synchronization reference source selected in accordance with 5.10.8.2;

The UE shall determine an identity of the zone (i.e. *Zone\_id*) in which it is located using the following formulae, if *zoneConfig* is included in *SystemInformationBlockType21* or *SystemInformationBlockType26* or in *SL-V2X-Preconfiguration*:

$$x_1 = \text{FLOOR} (x / L) \text{ Mod } N_x;$$

$$y_1 = \text{FLOOR} (y / W) \text{ Mod } N_y;$$

$$\text{Zone\_id} = y_1 * N_x + x_1.$$

The parameters in the formulae are defined as follows:

*L* is the value of *zoneLength* included in *zoneConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26* or in *SL-V2X-Preconfiguration*;

*W* is the value of *zoneWidth* included in *zoneConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26* or in *SL-V2X-Preconfiguration*;

*N<sub>x</sub>* is the value of *zoneIdLongiMod* included in *zoneConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26* or in *SL-V2X-Preconfiguration*;

*N<sub>y</sub>* is the value of *zoneIdLatiMod* included in *zoneConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26* or in *SL-V2X-Preconfiguration*;

*x* is the geodesic distance in longitude between UE's current location and geographical coordinates (0, 0) according to WGS84 model [80] and it is expressed in meters;

*y* is the geodesic distance in latitude between UE's current location and geographical coordinates (0, 0) according to WGS84 model [80] and it is expressed in meters.

The UE shall select a pool of resources which includes a *zoneID* equals to the *Zone\_id* calculated according to above mentioned formulae and indicated by *v2x-CommTxPoolNormalDedicated*, *v2x-CommTxPoolNormalCommon*, *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* or *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration*, or *v2x-CommTxPoolList* according to 5.10.13.1.

NOTE 1: The UE uses its latest geographical coordinates to perform resource pool selection.

NOTE 2: If geographical coordinates are not available and zone specific TX resource pools are configured for the concerned frequency, it is up to UE implementation which resource pool is selected for V2X sidelink communication transmission.

### 5.10.13.3 V2X sidelink communication transmission reference cell selection

A UE capable of V2X sidelink communication that is configured by upper layers to transmit V2X sidelink communication shall:

- 1> for each frequency used to transmit V2X sidelink communication, select a cell to be used as reference for synchronisation and DL measurements in accordance with the following:
  - 2> if the frequency concerns the primary frequency:
    - 3> use the PCell (RRC\_CONNECTED) or the serving cell (RRC\_IDLE) as reference;
  - 2> else if the frequency concerns a secondary frequency:
    - 3> use the concerned SCell as reference;



- 2> else if the UE is in coverage of the concerned frequency:
- 3> use the DL frequency paired with the one used to transmit V2X sidelink communication as reference;
- 2> else (i.e., out of coverage on the concerned frequency):
- 3> use the PCell (RRC\_CONNECTED) or the serving cell (RRC\_IDLE) as reference, if needed;

### 5.10.14 DFN derivation from GNSS

When the UE selects GNSS as the synchronization reference source, the DFN used for V2X sidelink communication is derived from the current UTC time, by the following formulae:

$$DFN = \text{FLOOR}(0.1 * (T_{\text{current}} - T_{\text{ref}} - \text{offsetDFN})) \bmod 1024$$

$$\text{SubframeNumber} = \text{FLOOR}(T_{\text{current}} - T_{\text{ref}} - \text{offsetDFN}) \bmod 10$$

Where:

***T<sub>current</sub>*** is the current UTC time that obtained from GNSS. This value is expressed in milliseconds;

***T<sub>ref</sub>*** is the reference UTC time 00:00:00 on Gregorian calendar date 1 January, 1900 (midnight between Thursday, December 31, 1899 and Friday, January 1, 1900). This value is expressed in milliseconds;

***offsetDFN*** is the value *offsetDFN* if configured, otherwise it is zero. This value is expressed in milliseconds.

NOTE: In case of leap second change event, how V2X UE obtains the scheduled time of leap second change to adjust *T<sub>current</sub>* correspondingly is left to UE implementation. How V2X UE handles the sudden discontinuity of DFN is left to UE implementation.

## 6 Protocol data units, formats and parameters (tabular & ASN.1)

### 6.1 General

The contents of each RRC message is specified in clause 6.2 using ASN.1 to specify the message syntax and using tables when needed to provide further detailed information about the fields specified in the message syntax. The syntax of the information elements that are defined as stand-alone abstract types is further specified in a similar manner in clause 6.3.

The need for fields to be present in a message or an abstract type, i.e., the ASN.1 fields that are specified as OPTIONAL in the abstract notation (ASN.1), is specified by means of comment text tags attached to the OPTIONAL statement in the abstract syntax. All comment text tags are available for use in the downlink direction only. The meaning of each tag is specified in table 6.1-1.

**Table 6.1-1: Meaning of abbreviations used to specify the need for fields to be present**

| Abbreviation  | Meaning  |
|---|--|
| Cond <i>conditionTag</i><br>(Used in downlink only) | <i>Conditionally present</i><br>A field for which the need is specified by means of conditions. For each <i>conditionTag</i> , the need is specified in a tabular form following the ASN.1 segment. In case, according to the conditions, a field is not present, the UE takes no action and where applicable shall continue to use the existing value (and/ or the associated functionality) unless explicitly stated otherwise (e.g. in the conditional presence table or in the description of the field itself). |
| Need OP<br>(Used in downlink only)                  | <i>Optionally present</i><br>A field that is optional to signal. For downlink messages, the UE is not required to take any special action on absence of the field beyond what is specified in the procedural text or the field description table following the ASN.1 segment. The UE behaviour on absence should be captured either in the procedural text or in the field description.  |

| Abbreviation                       | Meaning   |
|------------------------------------|---|
| Need ON<br>(Used in downlink only) | <i>Optionally present, No action</i><br>A field that is optional to signal. If the message is received by the UE, and in case the field is absent, the UE takes no action and where applicable shall continue to use the existing value (and/ or the associated functionality). |
| Need OR<br>(Used in downlink only) | <i>Optionally present, Release</i><br>A field that is optional to signal. If the message is received by the UE, and in case the field is absent, the UE shall discontinue/ stop using/ delete any existing value (and/ or the associated functionality).                        |

Any field with Need ON in system information shall be interpreted as Need OR.

Need codes may not be specified for a parent extension field/ extension group, used in downlink, which includes one or more child extension fields. Upon absence of such a parent extension field/ extension group, the UE shall:

- For each individual child extension field, including extensions that are mandatory to include in the optional group, act in accordance with the need code that is defined for the extension;
- Apply this behaviour not only for child extension fields included directly within the optional parent extension field/ extension group, but also for extension fields defined at further nesting levels as long as for none of the fields in-between the concerned extension field and the parent extension field a need code is specified;

NOTE 1: The above applies for groups of non critical extensions using double brackets (referred to as extension groups), as well as non-critical extensions at the end of a message or at the end of a structure contained in a BIT STRING or OCTET STRING (referred to as parent extension fields).

Need codes, conditions and ASN.1 defaults specified for a particular (child) field only apply in case the (parent) field including the particular field is present. This rule does not apply for optional parent extension fields/ extension groups without need codes,

NOTE 2: The previous rule implies that E-UTRAN has to include such a parent extension field to release a child field that is either:

- Optional with need OR, or
- Conditional while the UE releases the child field when absent.

The handling of need codes as specified in the previous is illustrated by means of an example, as shown in the following ASN.1.

```
-- /example/ ASN1START
RRCMessage-r8-IEs ::= SEQUENCE {
    field1          InformationElement1,
    field2          InformationElement2          OPTIONAL,    -- Need ON
    nonCriticalExtension RRCMessage-v8a0-IEs    OPTIONAL
}

RRCMessage-v8a0-IEs ::= SEQUENCE {
    field3          InformationElement3          OPTIONAL,    -- Need ON
    nonCriticalExtension RRCMessage-v940-IEs    OPTIONAL
}

RRCMessage-v940-IEs ::= SEQUENCE {
    field4          InformationElement4          OPTIONAL,    -- Need OR
    nonCriticalExtension SEQUENCE {}          OPTIONAL
}

InformationElement1 ::= SEQUENCE {
    field11         InformationElement11         OPTIONAL,    -- Need ON
    field12         InformationElement12         OPTIONAL,    -- Need OR
    ...,
    [[ field13         InformationElement13         OPTIONAL,    -- Need OR
    field14         InformationElement14         OPTIONAL,    -- Need ON
    ]]
}

InformationElement2 ::= SEQUENCE {
```

```

    field21                               InformationElement11          OPTIONAL,  -- Need OR
    ...
}
-- ASN1STOP

```

The handling of need codes as specified in the previous implies that:

- if *field2* in *RRCMessage-r8-IEs* is absent, the UE does not modify *field21*;
- if *field2* in *RRCMessage-r8-IEs* is present but does not include *field21*, the UE releases *field21*;
- if the extension group containing *field13* is absent, the UE releases *field13* and does not modify *field14*;
- if *nonCriticalExtension* defined by IE *RRCMessage-v8a0-IEs* is absent, the UE does not modify *field3* and releases *field4*;

In the ASN.1 of this specification, the first bit of a bit string refers to the leftmost bit, unless stated otherwise.

## 6.2 RRC messages

NOTE: The messages included in this clause reflect the current status of the discussions. Additional messages may be included at a later stage.

### 6.2.1 General message structure

#### – *EUTRA-RRC-Definitions*

This ASN.1 segment is the start of the E-UTRA RRC PDU definitions.

```

-- ASN1START
EUTRA-RRC-Definitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
-- ASN1STOP

```

#### – *BCCH-BCH-Message*

The *BCCH-BCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel.

```

-- ASN1START
BCCH-BCH-Message ::= SEQUENCE {
    message                BCCH-BCH-MessageType
}
BCCH-BCH-MessageType ::=
                                MasterInformationBlock
-- ASN1STOP

```

#### – *BCCH-BCH-Message-MBMS*

The *BCCH-BCH-Message-MBMS* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel in an MBMS-dedicated cell.

```

-- ASN1START
BCCH-BCH-Message-MBMS ::= SEQUENCE {
    message                BCCH-BCH-MessageType-MBMS-r14
}

```

```

BCCH-BCH-MessageType-MBMS-r14 ::= MasterInformationBlock-MBMS-r14
-- ASN1STOP

```

## – *BCCH-DL-SCH-Message*

The *BCCH-DL-SCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BCCH logical channel.

```

-- ASN1START
BCCH-DL-SCH-Message ::= SEQUENCE {
    message          BCCH-DL-SCH-MessageType
}
BCCH-DL-SCH-MessageType ::= CHOICE {
    c1              CHOICE {
        systemInformation          SystemInformation,
        systemInformationBlockType1 SystemInformationBlockType1
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP

```

## – *BCCH-DL-SCH-Message-BR*

The *BCCH-DL-SCH-Message-BR* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BR-BCCH logical channel.

```

-- ASN1START
BCCH-DL-SCH-Message-BR ::= SEQUENCE {
    message          BCCH-DL-SCH-MessageType-BR-r13
}
BCCH-DL-SCH-MessageType-BR-r13 ::= CHOICE {
    c1              CHOICE {
        systemInformation-BR-r13      SystemInformation-BR-r13,
        systemInformationBlockType1-BR-r13 SystemInformationBlockType1-BR-r13
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP

```

## – *BCCH-DL-SCH-Message-MBMS*

The *BCCH-DL-SCH-Message-MBMS* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BCCH logical channel in an MBMS-dedicated cell.

```

-- ASN1START
BCCH-DL-SCH-Message-MBMS ::= SEQUENCE {
    message          BCCH-DL-SCH-MessageType-MBMS-r14
}
BCCH-DL-SCH-MessageType-MBMS-r14 ::= CHOICE {
    c1              CHOICE {
        systemInformation-MBMS-r14      SystemInformation-MBMS-r14,
        systemInformationBlockType1-MBMS-r14 SystemInformationBlockType1-MBMS-r14
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP

```

## – *MCCH-Message*

The *MCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the MCCH logical channel.

```
-- ASN1START
MCCH-Message ::= SEQUENCE {
    message          MCCH-MessageType
}

MCCH-MessageType ::= CHOICE {
    c1              CHOICE {
        mbsfnAreaConfiguration-r9      MBSFNAreaConfiguration-r9
    },
    later          CHOICE {
        c2              CHOICE {
            mbmsCountingRequest-r10      MBMSCountingRequest-r10
        },
        messageClassExtension          SEQUENCE {}
    }
}
-- ASN1STOP
```

## – *PCCH-Message*

The *PCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the PCCH logical channel.

```
-- ASN1START
PCCH-Message ::= SEQUENCE {
    message          PCCH-MessageType
}

PCCH-MessageType ::= CHOICE {
    c1              CHOICE {
        paging                      Paging
    },
    messageClassExtension          SEQUENCE {}
}
-- ASN1STOP
```

## – *DL-CCCH-Message*

The *DL-CCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the downlink CCCH logical channel.

```
-- ASN1START
DL-CCCH-Message ::= SEQUENCE {
    message          DL-CCCH-MessageType
}

DL-CCCH-MessageType ::= CHOICE {
    c1              CHOICE {
        rrcConnectionReestablishment          RRCConnectionReestablishment,
        rrcConnectionReestablishmentReject    RRCConnectionReestablishmentReject,
        rrcConnectionReject                   RRCConnectionReject,
        rrcConnectionSetup                    RRCConnectionSetup
    },
    messageClassExtension          CHOICE {
        c2              CHOICE {
            rrcEarlyDataComplete-r15          RRCEarlyDataComplete-r15,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        messageClassExtensionFuture-r15      SEQUENCE {}
    }
}
-- ASN1STOP
```

```
-- ASN1STOP
```

## DL-DCCH-Message

The *DL-DCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE or from the E-UTRAN to the RN on the downlink DCCH logical channel.

```
-- ASN1START
DL-DCCH-Message ::= SEQUENCE {
    message          DL-DCCH-MessageType
}
DL-DCCH-MessageType ::= CHOICE {
    c1              CHOICE {
        csfbParametersResponseCDMA2000          CSFBParametersResponseCDMA2000,
        dlInformationTransfer                    DLInformationTransfer,
        handoverFromEUTRAPreparationRequest     HandoverFromEUTRAPreparationRequest,
        mobilityFromEUTRACCommand              MobilityFromEUTRACCommand,
        rrcConnectionReconfiguration           RRCConnectionReconfiguration,
        rrcConnectionRelease                   RRCConnectionRelease,
        securityModeCommand                    SecurityModeCommand,
        ueCapabilityEnquiry                    UECapabilityEnquiry,
        counterCheck                            CounterCheck,
        ueInformationRequest-r9                 UEInformationRequest-r9,
        loggedMeasurementConfiguration-r10      LoggedMeasurementConfiguration-r10,
        rnReconfiguration-r10                   RNReconfiguration-r10,
        rrcConnectionResume-r13                RRCConnectionResume-r13,
        spare3 NULL, spare2 NULL, spare1 NULL
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

## UL-CCCH-Message

The *UL-CCCH-Message* class is the set of RRC messages that may be sent from the UE to the E-UTRAN on the uplink CCCH logical channel.

```
-- ASN1START
UL-CCCH-Message ::= SEQUENCE {
    message          UL-CCCH-MessageType
}
UL-CCCH-MessageType ::= CHOICE {
    c1              CHOICE {
        rrcConnectionReestablishmentRequest     RRCConnectionReestablishmentRequest,
        rrcConnectionRequest                    RRCConnectionRequest
    },
    messageClassExtension CHOICE {
        c2              CHOICE {
            rrcConnectionResumeRequest-r13      RRCConnectionResumeRequest-r13
        },
        messageClassExtensionFuture-r13 CHOICE {
            c3              CHOICE {
                rrcEarlyDataRequest-r15         RRCEarlyDataRequest-r15,
                spare3 NULL, spare2 NULL, spare1 NULL
            },
            messageClassExtensionFuture-r15     SEQUENCE {}
        }
    }
}
-- ASN1STOP
```

## – *UL-DCCH-Message*

The *UL-DCCH-Message* class is the set of RRC messages that may be sent from the UE to the E-UTRAN or from the RN to the E-UTRAN on the uplink DCCH logical channel.

```

-- ASN1START
UL-DCCH-Message ::= SEQUENCE {
    message          UL-DCCH-MessageType
}

UL-DCCH-MessageType ::= CHOICE {
    c1                CHOICE {
        csfbParametersRequestCDMA2000          CSFBParametersRequestCDMA2000,
        measurementReport                      MeasurementReport,
        rrcConnectionReconfigurationComplete   RRCConnectionReconfigurationComplete,
        rrcConnectionReestablishmentComplete   RRCConnectionReestablishmentComplete,
        rrcConnectionSetupComplete            RRCConnectionSetupComplete,
        securityModeComplete                  SecurityModeComplete,
        securityModeFailure                   SecurityModeFailure,
        ueCapabilityInformation                UECapabilityInformation,
        ulHandoverPreparationTransfer          ULHandoverPreparationTransfer,
        ulInformationTransfer                  ULInformationTransfer,
        counterCheckResponse                   CounterCheckResponse,
        ueInformationResponse-r9              UEInformationResponse-r9,
        proximityIndication-r9                 ProximityIndication-r9,
        rnReconfigurationComplete-r10         RNReconfigurationComplete-r10,
        mbmsCountingResponse-r10             MBMSCountingResponse-r10,
        interFreqRSTDMeasurementIndication-r10 InterFreqRSTDMeasurementIndication-r10
    },
    messageClassExtension CHOICE {
        c2                CHOICE {
            ueAssistanceInformation-r11          UEAssistanceInformation-r11,
            inDeviceCoexIndication-r11          InDeviceCoexIndication-r11,
            mbmsInterestIndication-r11          MBMSInterestIndication-r11,
            scgFailureInformation-r12           SCGFailureInformation-r12,
            sidelinkUEInformation-r12           SidelinkUEInformation-r12,
            wlanConnectionStatusReport-r13      WLANConnectionStatusReport-r13,
            rrcConnectionResumeComplete-r13     RRCConnectionResumeComplete-r13,
            ulInformationTransferMRDC-r15        ULInformationTransferMRDC-r15,
            scgFailureInformationNR-r15         SCGFailureInformationNR-r15,
            measReportAppLayer-r15              MeasReportAppLayer-r15,
            failureInformation-r15              FailureInformation-r15,
            spare5 NULL, spare4 NULL, spare3 NULL, spare2 NULL, spare1 NULL
        },
        messageClassExtensionFuture-r11 SEQUENCE {}
    }
}
-- ASN1STOP

```

## – *SC-MCCH-Message*

The *SC-MCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the SC-MCCH logical channel.

```

-- ASN1START
SC-MCCH-Message-r13 ::= SEQUENCE {
    message          SC-MCCH-MessageType-r13
}

SC-MCCH-MessageType-r13 ::= CHOICE {
    c1                CHOICE {
        scptmConfiguration-r13                SCPTMConfiguration-r13
    },
    messageClassExtension CHOICE {
        c2                CHOICE {
            scptmConfiguration-BR-r14          SCPTMConfiguration-BR-r14,
            spare NULL
        },
        messageClassExtensionFuture-r14 SEQUENCE {}
    }
}

```

```
}
-- ASN1STOP
```

## 6.2.2 Message definitions

### – CounterCheck

The *CounterCheck* message is used by the E-UTRAN to indicate the current COUNT MSB values associated to each DRB and to request the UE to compare these to its COUNT MSB values and to report the comparison results to E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### **CounterCheck message**

```
-- ASN1START
CounterCheck ::= SEQUENCE {
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  criticalExtensions CHOICE {
    c1 CHOICE {
      counterCheck-r8 CounterCheck-r8-IEs,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture SEQUENCE {}
  }
}

CounterCheck-r8-IEs ::= SEQUENCE {
  drb-CountMSB-InfoList DRB-CountMSB-InfoList,
  nonCriticalExtension CounterCheck-v8a0-IEs OPTIONAL
}

CounterCheck-v8a0-IEs ::= SEQUENCE {
  lateNonCriticalExtension OCTET STRING OPTIONAL,
  nonCriticalExtension CounterCheck-v1530-IEs OPTIONAL
}

CounterCheck-v1530-IEs ::= SEQUENCE {
  drb-CountMSB-InfoListExt-r15 DRB-CountMSB-InfoListExt-r15 OPTIONAL, -- Need ON
  nonCriticalExtension SEQUENCE {} OPTIONAL
}

DRB-CountMSB-InfoList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-CountMSB-Info

DRB-CountMSB-InfoListExt-r15 ::= SEQUENCE (SIZE (1..maxDRBExt-r15)) OF DRB-CountMSB-Info

DRB-CountMSB-Info ::= SEQUENCE {
  drb-Identity DRB-Identity,
  countMSB-Uplink INTEGER(0..33554431),
  countMSB-Downlink INTEGER(0..33554431)
}
-- ASN1STOP
```



| <b>CounterCheck field descriptions</b>  |
|---|
| <p><b>count-MSB-Downlink</b><br/>If configured with E-UTRA PDCP, it indicates the value of 25 MSBs from downlink COUNT associated to this DRB. If configured with NR PDCP, it indicates the value of 25 MSBs from RX_NEXT – 1 (specified in TS 38.323 [83]) associated to this DRB.</p> |
| <p><b>count-MSB-Uplink</b><br/>If configured with E-UTRA PDCP, it indicates the value of 25 MSBs from uplink COUNT associated to this DRB. If configured with NR PDCP, it indicates the value of 25 MSBs from TX_NEXT – 1 (specified in TS 38.323 [83]) associated to this DRB.</p>     |
| <p><b>drb-CountMSB-InfoList</b><br/>Indicates the MSBs of the COUNT values of the DRBs.</p>   |

## – CounterCheckResponse

The *CounterCheckResponse* message is used by the UE to respond to a *CounterCheck* message.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### **CounterCheckResponse message**

```
-- ASN1START
CounterCheckResponse ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        counterCheckResponse-r8   CounterCheckResponse-r8-IEs,
        criticalExtensionsFuture   SEQUENCE {}
    }
}

CounterCheckResponse-r8-IEs ::= SEQUENCE {
    drb-CountInfoList             DRB-CountInfoList,
    nonCriticalExtension           CounterCheckResponse-v8a0-IEs      OPTIONAL
}

CounterCheckResponse-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension       OCTET STRING                  OPTIONAL,
    nonCriticalExtension           CounterCheckResponse-v1530-IEs  OPTIONAL
}

CounterCheckResponse-v1530-IEs ::= SEQUENCE {
    drb-CountInfoListExt-r15      DRB-CountInfoListExt-r15      OPTIONAL,
    nonCriticalExtension           SEQUENCE {}                    OPTIONAL
}

DRB-CountInfoList ::= SEQUENCE (SIZE (0..maxDRB)) OF DRB-CountInfo

DRB-CountInfoListExt-r15 ::= SEQUENCE (SIZE (1..maxDRBExt-r15)) OF DRB-CountInfo

DRB-CountInfo ::= SEQUENCE {
    drb-Identity                  DRB-Identity,
    count-Uplink                  INTEGER(0..4294967295),
    count-Downlink                 INTEGER(0..4294967295)
}
-- ASN1STOP
```

| <b>CounterCheckResponse field descriptions</b>  |
|---|
| <b>count-Downlink</b><br>If configured with E-UTRA PDCP, it indicates the value of downlink COUNT associated to this DRB. If configured with NR PDCP, it indicates the value of RX_NEXT – 1 (specified in TS 38.323 [83]) associated to this DRB. |
| <b>count-Uplink</b><br>If configured with E-UTRA PDCP, it indicates the value of uplink COUNT associated to this DRB. If configured with NR PDCP, it indicates the value of TX_NEXT – 1 (specified in TS 38.323 [83]) associated to this DRB.     |
| <b>drb-CountInfoList</b><br>Indicates the COUNT values of the DRBs.   |

## – CSFBParametersRequestCDMA2000

The *CSFBParametersRequestCDMA2000* message is used by the UE to obtain the CDMA2000 1xRTT Parameters from the network. The UE needs these parameters to generate the CDMA2000 1xRTT Registration message used to register with the CDMA2000 1xRTT Network which is required to support CSFB to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### **CSFBParametersRequestCDMA2000 message**

```
-- ASN1START
CSFBParametersRequestCDMA2000 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        csfbParametersRequestCDMA2000-r8    CSFBParametersRequestCDMA2000-r8-IEs,
        criticalExtensionsFuture            SEQUENCE {}
    }
}
CSFBParametersRequestCDMA2000-r8-IEs ::= SEQUENCE {
    nonCriticalExtension          CSFBParametersRequestCDMA2000-v8a0-IEs OPTIONAL
}
CSFBParametersRequestCDMA2000-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING                               OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                               OPTIONAL
}
-- ASN1STOP
```

## – CSFBParametersResponseCDMA2000

The *CSFBParametersResponseCDMA2000* message is used to provide the CDMA2000 1xRTT Parameters to the UE so the UE can register with the CDMA2000 1xRTT Network to support CSFB to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### **CSFBParametersResponseCDMA2000 message**

```
-- ASN1START
CSFBParametersResponseCDMA2000 ::= SEQUENCE {
    rrc-TransactionIdentifier    RRC-TransactionIdentifier,
    criticalExtensions          CHOICE {
        csfbParametersResponseCDMA2000-r8    CSFBParametersResponseCDMA2000-r8-IEs,
    }
}
-- ASN1STOP
```

```

        criticalExtensionsFuture          SEQUENCE {}
    }
}

CSFBParametersResponseCDMA2000-r8-IEs ::= SEQUENCE {
    rand                                RAND-CDMA2000,
    mobilityParameters                 MobilityParametersCDMA2000,
    nonCriticalExtension                CSFBParametersResponseCDMA2000-v8a0-IEs OPTIONAL
}

CSFBParametersResponseCDMA2000-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension            OCTET STRING                OPTIONAL,
    nonCriticalExtension                SEQUENCE {}                    OPTIONAL
}

-- ASN1STOP

```

## – *DLInformationTransfer*

The *DLInformationTransfer* message is used for the downlink transfer of NAS, non-3GPP dedicated information or time reference information.

**NOTE:** The UE may use the time reference information provided in the *timeReferenceInfo* IE for numerous purposes, possibly involving upper layers e.g. to synchronise the UE clock.

Signalling radio bearer: SRB2 or SRB1. If only *timeReferenceInfo* is included in the message, SRB1 is used.

Otherwise, SRB1 is used only if SRB2 not established yet, and if SRB2 is suspended, E-UTRAN does not send this message until SRB2 is resumed.

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### *DLInformationTransfer* message

```

-- ASN1START

DLInformationTransfer ::= SEQUENCE {
    rrc-TransactionIdentifier          RRC-TransactionIdentifier,
    criticalExtensions                 CHOICE {
        cl                             CHOICE {
            dlInformationTransfer-r8    DLInformationTransfer-r8-IEs,
            dlInformationTransfer-r15   DLInformationTransfer-r15-IEs,
            spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture        SEQUENCE {}
    }
}

DLInformationTransfer-r8-IEs ::= SEQUENCE {
    dedicatedInfoType                 CHOICE {
        dedicatedInfoNAS               DedicatedInfoNAS,
        dedicatedInfoCDMA2000-1XRTP    DedicatedInfoCDMA2000,
        dedicatedInfoCDMA2000-HRPD     DedicatedInfoCDMA2000
    },
    nonCriticalExtension                DLInformationTransfer-v8a0-IEs    OPTIONAL
}

DLInformationTransfer-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension            OCTET STRING                OPTIONAL,
    nonCriticalExtension                SEQUENCE {}                    OPTIONAL
}

DLInformationTransfer-r15-IEs ::= SEQUENCE {
    dedicatedInfoType-r15              CHOICE {
        dedicatedInfoNAS               DedicatedInfoNAS,
        dedicatedInfoCDMA2000-1XRTP    DedicatedInfoCDMA2000,
        dedicatedInfoCDMA2000-HRPD     DedicatedInfoCDMA2000
    }
    timeReferenceInfo-r15              TimeReferenceInfo-r15      OPTIONAL, -- Need ON
    nonCriticalExtension                DLInformationTransfer-v8a0-IEs    OPTIONAL
}
-- ASN1STOP

```

```
}
-- ASN1STOP
```

## – *FailureInformation*

The *FailureInformation* message is used to provide information regarding failures detected by the UE, e.g. radio link failure for one of the RLC entities configured with PDCP duplication.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***FailureInformation message***

```
-- ASN1START
FailureInformation-r15 ::= SEQUENCE {
    failedLogicalChannelInfo-r15 FailedLogicalChannelInfo-r15 OPTIONAL
    -- nonCriticalExtension is removed in this version as OPTIONAL was missing
}
FailedLogicalChannelInfo-r15 ::= SEQUENCE {
    failedLogicalChannelIdentity-r15 SEQUENCE {
        cellGroupIndication-r15 ENUMERATED {mn, sn},
        logicalChannelIdentity-r15 INTEGER (1..10) OPTIONAL,
        logicalChannelIdentityExt-r15 INTEGER (32..38) OPTIONAL
    },
    failureType ENUMERATED {duplication, spare3, spare2, spare1}
}
-- ASN1STOP
```

### ***FailureInformation field descriptions***

|   |   |
|---|---|
| <b><i>cellGroupIndication</i></b>                               | This field indicates the cell group (MCG, SCG) of the RLC entity for which the PDCP duplication failure occurred.   |
| <b><i>failureType</i></b>                                       | This field indicates the type of failure reported. Value <i>duplication</i> indicates that a radio link failure for one of the RLC entities configured with PDCP duplication has been detected. |
| <b><i>logicalChannelIdentity, logicalChannelIdentityExt</i></b> | This field indicates the logical channel identity of the RLC entity for which the PDCP duplication failure occurred.  |

## – *HandoverFromEUTRAPreparationRequest (CDMA2000)*

The *HandoverFromEUTRAPreparationRequest* message is used to trigger the handover preparation procedure with a CDMA2000 RAT. This message is also used to trigger a tunneled preparation procedure with a CDMA2000 1xRTT RAT to obtain traffic channel resources for the enhanced CS fallback to CDMA2000 1xRTT, which may also involve a concurrent preparation for handover to CDMA2000 HRPD. Also, this message is used to trigger the dual Rx/Tx redirection procedure with a CDMA2000 1xRTT RAT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***HandoverFromEUTRAPreparationRequest message***

```
-- ASN1START
```

```

HandoverFromEUTRAPreparationRequest ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                        CHOICE {
            handoverFromEUTRAPreparationRequest-r8      HandoverFromEUTRAPreparationRequest-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture      SEQUENCE {}
    }
}

HandoverFromEUTRAPreparationRequest-r8-IEs ::= SEQUENCE {
    cdma2000-Type                  CDMA2000-Type,
    rand                           RAND-CDMA2000          OPTIONAL, -- Cond cdma2000-Type
    mobilityParameters             MobilityParametersCDMA2000 OPTIONAL, -- Cond cdma2000-Type
    nonCriticalExtension            HandoverFromEUTRAPreparationRequest-v890-IEs OPTIONAL
}

HandoverFromEUTRAPreparationRequest-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension        OCTET STRING          OPTIONAL,
    nonCriticalExtension            HandoverFromEUTRAPreparationRequest-v920-IEs OPTIONAL
}

HandoverFromEUTRAPreparationRequest-v920-IEs ::= SEQUENCE {
    concurrPrepCDMA2000-HRPD-r9     BOOLEAN              OPTIONAL, -- Cond cdma2000-Type
    nonCriticalExtension            HandoverFromEUTRAPreparationRequest-v1020-IEs OPTIONAL
}

HandoverFromEUTRAPreparationRequest-v1020-IEs ::= SEQUENCE {
    dualRxTxRedirectIndicator-r10    ENUMERATED {true}    OPTIONAL, -- Cond cdma2000-1XRTT
    redirectCarrierCDMA2000-1XRTT-r10 CarrierFreqCDMA2000 OPTIONAL, -- Cond dualRxTxRedirect
    nonCriticalExtension            SEQUENCE {}              OPTIONAL
}

-- ASN1STOP

```

#### **HandoverFromEUTRAPreparationRequest field descriptions**

##### **concurrPrepCDMA2000-HRPD**

Value TRUE indicates that upper layers should initiate concurrent preparation for handover to CDMA2000 HRPD in addition to preparation for enhanced CS fallback to CDMA2000 1xRTT.

##### **dualRxTxRedirectIndicator**

Value TRUE indicates that the second radio of the dual Rx/Tx UE is being redirected to CDMA2000 1xRTT, as specified in TS 23.272 [51].

##### **redirectCarrierCDMA2000-1XRTT**

Used to indicate the CDMA2000 1xRTT carrier frequency where the UE is being redirected to.

| Conditional presence    | Explanation   |
|-------------------------|---|
| <i>cdma2000-1XRTT</i>   | The field is optionally present, need ON, if the <i>cdma2000-Type</i> = <i>type1XRTT</i> ; otherwise it is not present. |
| <i>cdma2000-Type</i>    | The field is mandatory present if the <i>cdma2000-Type</i> = <i>type1XRTT</i> ; otherwise it is not present.            |
| <i>dualRxTxRedirect</i> | The field is optionally present, need ON, if <i>dualRxTxRedirectIndicator</i> is present; otherwise it is not present.  |

– *InDeviceCoexIndication*

The *InDeviceCoexIndication* message is used to inform E-UTRAN about IDC problems which can not be solved by the UE itself, as well as to provide information that may assist E-UTRAN when resolving these problems.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

***InDeviceCoexIndication* message**

```
-- ASN1START

InDeviceCoexIndication-r11 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        cl                     CHOICE {
            inDeviceCoexIndication-r11 InDeviceCoexIndication-r11-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

InDeviceCoexIndication-r11-IEs ::= SEQUENCE {
    affectedCarrierFreqList-r11 AffectedCarrierFreqList-r11 OPTIONAL,
    tdm-AssistanceInfo-r11      TDM-AssistanceInfo-r11   OPTIONAL,
    lateNonCriticalExtension     OCTET STRING             OPTIONAL,
    nonCriticalExtension         InDeviceCoexIndication-v11d0-IEs OPTIONAL
}

InDeviceCoexIndication-v11d0-IEs ::= SEQUENCE {
    ul-CA-AssistanceInfo-r11 SEQUENCE {
        affectedCarrierFreqCombList-r11 AffectedCarrierFreqCombList-r11 OPTIONAL,
        victimSystemType-r11           VictimSystemType-r11
    }
    nonCriticalExtension InDeviceCoexIndication-v1310-IEs OPTIONAL
}

InDeviceCoexIndication-v1310-IEs ::= SEQUENCE {
    affectedCarrierFreqList-v1310 AffectedCarrierFreqList-v1310 OPTIONAL,
    affectedCarrierFreqCombList-r13 AffectedCarrierFreqCombList-r13 OPTIONAL,
    nonCriticalExtension           InDeviceCoexIndication-v1360-IEs OPTIONAL
}

InDeviceCoexIndication-v1360-IEs ::= SEQUENCE {
    hardwareSharingProblem-r13 ENUMERATED {true} OPTIONAL,
    nonCriticalExtension       InDeviceCoexIndication-v1530-IEs OPTIONAL
}

InDeviceCoexIndication-v1530-IEs ::= SEQUENCE {
    mrdc-AssistanceInfo-r15 MRDC-AssistanceInfo-r15 OPTIONAL,
    nonCriticalExtension     SEQUENCE {}              OPTIONAL
}

AffectedCarrierFreqList-r11 ::= SEQUENCE (SIZE (1..maxFreqIDC-r11)) OF AffectedCarrierFreq-r11

AffectedCarrierFreqList-v1310 ::= SEQUENCE (SIZE (1..maxFreqIDC-r11)) OF AffectedCarrierFreq-v1310

AffectedCarrierFreq-r11 ::= SEQUENCE {
    carrierFreq-r11 MeasObjectId,
    interferenceDirection-r11 ENUMERATED {eutra, other, both, spare}
}

AffectedCarrierFreq-v1310 ::= SEQUENCE {
    carrierFreq-v1310 MeasObjectId-v1310 OPTIONAL
}

AffectedCarrierFreqCombList-r11 ::= SEQUENCE (SIZE (1..maxCombIDC-r11)) OF AffectedCarrierFreqComb-r11

AffectedCarrierFreqCombList-r13 ::= SEQUENCE (SIZE (1..maxCombIDC-r11)) OF AffectedCarrierFreqComb-r13

-- ASN1END
```

```

AffectedCarrierFreqComb-r11 ::= SEQUENCE (SIZE (2..maxServCell-r10)) OF MeasObjectId
AffectedCarrierFreqComb-r13 ::= SEQUENCE (SIZE (2..maxServCell-r13)) OF MeasObjectId-r13

TDM-AssistanceInfo-r11 ::= CHOICE {
    drx-AssistanceInfo-r11          SEQUENCE {
        drx-CycleLength-r11        ENUMERATED {sf40, sf64, sf80, sf128, sf160,
                                           sf256, spare2, spare1},
        drx-Offset-r11             INTEGER (0..255) OPTIONAL,
        drx-ActiveTime-r11         ENUMERATED {sf20, sf30, sf40, sf60, sf80,
                                           sf100, spare2, spare1}
    },
    idc-SubframePatternList-r11    IDC-SubframePatternList-r11,
    ...
}

IDC-SubframePatternList-r11 ::= SEQUENCE (SIZE (1..maxSubframePatternIDC-r11)) OF IDC-
SubframePattern-r11

IDC-SubframePattern-r11 ::= CHOICE {
    subframePatternFDD-r11          BIT STRING (SIZE (4)),
    subframePatternTDD-r11         CHOICE {
        subframeConfig0-r11        BIT STRING (SIZE (70)),
        subframeConfig1-5-r11     BIT STRING (SIZE (10)),
        subframeConfig6-r11       BIT STRING (SIZE (60))
    },
    ...
}

VictimSystemType-r11 ::= SEQUENCE {
    gps-r11                        ENUMERATED {true}                OPTIONAL,
    glonass-r11                    ENUMERATED {true}                OPTIONAL,
    bds-r11                        ENUMERATED {true}                OPTIONAL,
    galileo-r11                    ENUMERATED {true}                OPTIONAL,
    wlan-r11                       ENUMERATED {true}                OPTIONAL,
    bluetooth-r11                  ENUMERATED {true}                OPTIONAL
}

MRDC-AssistanceInfo-r15 ::= SEQUENCE {
    affectedCarrierFreqCombInfoListMRDC-r15 SEQUENCE (SIZE (1..maxCombIDC-r11)) OF
AffectedCarrierFreqCombInfoMRDC-r15,
    ...
}

AffectedCarrierFreqCombInfoMRDC-r15 ::= SEQUENCE {
    victimSystemType-r15           VictimSystemType-r11,
    interferenceDirectionMRDC-r15  ENUMERATED {eutra-nr, nr, other, eutra-nr-other,
nr-other, spare3, spare2, spare1},
    affectedCarrierFreqCombMRDC-r15 SEQUENCE {
        affectedCarrierFreqCombEUTRA-r15 AffectedCarrierFreqComb-r15 OPTIONAL,
        affectedCarrierFreqCombNR-r15    AffectedCarrierFreqCombNR-r15
    }
    OPTIONAL
}

AffectedCarrierFreqComb-r15 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasObjectId-r13

AffectedCarrierFreqCombNR-r15 ::= SEQUENCE (SIZE (1..maxServCellNR-r15)) OF ARFCN-ValueNR-r15

-- ASN1STOP

```

| <b>InDeviceCoexIndication field descriptions</b>   |
|--|
| <p><b>AffectedCarrierFreq</b><br/>If <i>carrierFreq-v1310</i> is included, <i>carrierFreq-r11</i> is ignored by eNB.</p>   |
| <p><b>affectedCarrierFreqCombList</b><br/>Indicates a list of E-UTRA carrier frequencies that are affected by IDC problems due to Inter-Modulation Distortion and harmonics from E-UTRA when configured with UL CA. <i>affectedCarrierFreqCombList-r13</i> is used when more than 5 serving cells are configured or affected combinations contain <i>MeasObjectId</i> larger than 32. If <i>affectedCarrierFreqCombList-r13</i> is included, <i>affectedCarrierFreqCombList-r11</i> shall not be included.</p>   |
| <p><b>affectedCarrierFreqCombMRDC</b><br/>Indicates a set of at least one NR carrier frequency and optionally one or more E-UTRA carrier frequency that is affected by IDC problems due to Inter-Modulation Distortion and harmonics when configured with MR-DC.</p>   |
| <p><b>affectedCarrierFreqList</b><br/>List of E-UTRA carrier frequencies affected by IDC problems. If E-UTRAN includes <i>affectedCarrierFreqList-v1310</i> it includes the same number of entries, and listed in the same order, as in <i>affectedCarrierFreqList-r11</i>.</p>  |
| <p><b>drx-ActiveTime</b><br/>Indicates the desired active time that the E-UTRAN is recommended to configure. Value in number of subframes. Value <i>sf20</i> corresponds to 20 subframes, <i>sf30</i> corresponds to 30 subframes and so on.</p>   |
| <p><b>drx-CycleLength</b><br/>Indicates the desired DRX cycle length that the E-UTRAN is recommended to configure. Value in number of subframes. Value <i>sf40</i> corresponds to 40 subframes, <i>sf64</i> corresponds to 64 subframes and so on.</p>   |
| <p><b>drx-Offset</b><br/>Indicates the desired DRX starting offset that the E-UTRAN is recommended to configure. The UE shall set the value of <i>drx-Offset</i> smaller than the value of <i>drx-CycleLength</i>. The starting frame and subframe satisfy the relation: <math>[(SFN * 10) + \text{subframe number}] \text{ modulo } (drx-CycleLength) = drx-Offset</math>.</p>  |
| <p><b>hardwareSharingProblem</b><br/>Indicates whether the UE has hardware sharing problems that the UE cannot solve by itself. The field is present (i.e. value <i>true</i>), if the UE has such hardware sharing problems. Otherwise the field is absent.</p>  |
| <p><b>idc-SubframePatternList</b><br/>A list of one or more subframe patterns indicating which HARQ process E-UTRAN is requested to abstain from using. Value 0 indicates that E-UTRAN is requested to abstain from using the subframe. For FDD, the radio frame in which the pattern starts (i.e. the radio frame in which the first/leftmost bit of the <i>subframePatternFDD</i> corresponds to subframe #0) occurs when <math>SFN \bmod 2 = 0</math>. For TDD, the first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying <math>SFN \bmod x = 0</math>, where <i>x</i> is the size of the bit string divided by 10. The UE shall indicate a subframe pattern that follows HARQ time line, as specified in TS 36.213 [23], i.e. if a subframe is set to 1 in the subframe pattern, also the corresponding subframes carrying the potential UL grant, as specified in TS 36.213 [23], clause 8.0, the UL HARQ retransmission, as specified in TS 36.213 [23], clause 8.0, and the DL/UL HARQ feedback, as specified in TS 36.213 [23], clauses 7.3, 8.3 and 9.1.2, shall be set to 1.</p> |
| <p><b>interferenceDirection</b><br/>Indicates the direction of IDC interference. Value <i>eutra</i> indicates that only E-UTRA is victim of IDC interference, value <i>other</i> indicates that only another radio is victim of IDC interference and value <i>both</i> indicates that both E-UTRA and another radio are victims of IDC interference. The other radio refers to either the ISM radio or GNSS (see TR 36.816 [63]).</p>  |
| <p><b>interferenceDirectionMRDC</b><br/>Indicates the direction of IDC interference. Value <i>eutra-nr</i> indicates E-UTRA and NR is victim, value <i>nr</i> indicates NR, value <i>other</i> indicates other radio system and so on. The other radio refers to either the ISM radio or GNSS (see TR 36.816 [63]).</p>  |
| <p><b>victimSystemType</b><br/>Indicate the list of victim system types to which IDC interference is caused from E-UTRA when configured with UL CA or from E-UTRA and NR when configured with MR-DC. Value <i>gps</i>, <i>glonass</i>, <i>bds</i> and <i>galileo</i> indicates the type of GNSS. Value <i>wlan</i> indicates WLAN and value <i>bluetooth</i> indicates Bluetooth.</p>  |

### – InterFreqRSTDMeasurementIndication

The *InterFreqRSTDMeasurementIndication* message is used to indicate that the UE is going to either start or stop OTDOA inter-frequency RSTD measurement which requires measurement gaps as specified in TS 36.133 [16], clause 8.1.2.6. The *InterFreqRSTDMeasurementIndication* message is also used to indicate to the network that the UE is going to start/stop OTDOA intra-frequency RSTD measurements which require measurement gaps. The *InterFreqRSTDMeasurementIndication* message is also used to indicate to the network the measurement gap that the category M1 or M2 UE prefers to perform RSTD measurements with dense PRS configuration, as specified in TS 36.133 [16], Table 8.1.2.1-3.



Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***InterFreqRSTDMeasurementIndication message***

```

-- ASN1START
InterFreqRSTDMeasurementIndication-r10 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                     CHOICE {
            interFreqRSTDMeasurementIndication-r10 InterFreqRSTDMeasurementIndication-r10-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

InterFreqRSTDMeasurementIndication-r10-IEs ::= SEQUENCE {
    rstd-InterFreqIndication-r10 CHOICE {
        start SEQUENCE {
            rstd-InterFreqInfoList-r10 RSTD-InterFreqInfoList-r10
        },
        stop NULL
    },
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}

RSTD-InterFreqInfoList-r10 ::= SEQUENCE (SIZE(1..maxRSTD-Freq-r10)) OF RSTD-InterFreqInfo-r10

RSTD-InterFreqInfo-r10 ::= SEQUENCE {
    carrierFreq-r10 ARFCN-ValueEUTRA,
    measPRS-Offset-r10 INTEGER (0..39),
    ...
    [[ carrierFreq-v1090 ARFCN-ValueEUTRA-v9e0 OPTIONAL
    ]],
    [[ measPRS-Offset-r15 CHOICE {
        rstd0-r15 INTEGER (0..79),
        rstd1-r15 INTEGER (0..159),
        rstd2-r15 INTEGER (0..319),
        rstd3-r15 INTEGER (0..639),
        rstd4-r15 INTEGER (0..1279),
        rstd5-r15 INTEGER (0..159),
        rstd6-r15 INTEGER (0..319),
        rstd7-r15 INTEGER (0..639),
        rstd8-r15 INTEGER (0..1279),
        rstd9-r15 INTEGER (0..319),
        rstd10-r15 INTEGER (0..639),
        rstd11-r15 INTEGER (0..1279),
        rstd12-r15 INTEGER (0..319),
        rstd13-r15 INTEGER (0..639),
        rstd14-r15 INTEGER (0..1279),
        rstd15-r15 INTEGER (0..639),
        rstd16-r15 INTEGER (0..1279),
        rstd17-r15 INTEGER (0..639),
        rstd18-r15 INTEGER (0..1279),
        rstd19-r15 INTEGER (0..639),
        rstd20-r15 INTEGER (0..1279)
    }
    ]],
}
-- ASN1STOP

```

| <i>InterFreqRSTDMeasurementIndication</i> field descriptions   |
|--|
| <p><b>carrierFreq</b><br/>The EARFCN value of the carrier received from upper layers for which the UE needs to perform the inter-frequency RSTD measurements. If the UE includes <i>carrierFreq-v1090</i>, it shall set <i>carrierFreq-r10</i> to <i>maxEARFCN</i>. In case the UE starts intra-frequency RSTD measurements the <i>carrierFreq</i> indicates the carrier frequency of the serving cell.</p>  |
| <p><b>measPRS-Offset</b><br/>Indicates the requested gap offset for performing inter-frequency or intra-frequency RSTD measurements. It is the smallest subframe offset from the beginning of subframe 0 of SFN=0 of the serving cell of the requested gap for measuring PRS positioning occasions in the carrier frequency <i>carrierFreq</i> for which the UE needs to perform the inter-frequency or intra-frequency RSTD measurements. The PRS positioning occasion information is received from upper layers. The value of <i>measPRS-Offset-r10</i> is obtained by mapping the starting subframe of the PRS positioning occasion in the measured cell onto the corresponding subframe in the serving cell and is calculated as the serving cell's number of subframes from SFN=0 mod 40.<br/>If <i>measPRS-Offset-r15</i> is included, the field further indicates the requested gap pattern that the category M1 or M2 UE prefers to perform RSTD measurements with dense PRS configuration, as specified in TS 36.133 [16], Table 8.1.2.1-3, where value <i>rstd0</i> corresponds to Gap Pattern Id <i>rstd0</i>, value <i>rstd1</i> corresponds to Gap Pattern Id <i>rstd1</i> and so on. The value of <i>measPRS-Offset-r15</i> is obtained by mapping the starting subframe of the PRS positioning occasion in the measured cell onto the corresponding subframe in the serving cell and is calculated as the serving cell's number of subframes from SFN=0 mod MGRP corresponding to the requested Gap pattern Id. If <i>measPRS-Offset-r15</i> is included, <i>measPRS-Offset-r10</i> is ignored.<br/>The UE shall take into account any additional time required by the UE to start PRS measurements on the other carrier when it does this mapping for determining the <i>measPRS-Offset</i>.<br/>NOTE: Figure 6.2.2-1 illustrates the <i>measPRS-Offset</i> field.</p> |
| <p><b>rstd-InterFreqIndication</b><br/>Indicates the inter-frequency or intra-frequency RSTD measurement action, i.e. the UE is going to start or stop inter-frequency or intra-frequency RSTD measurement.</p>  |

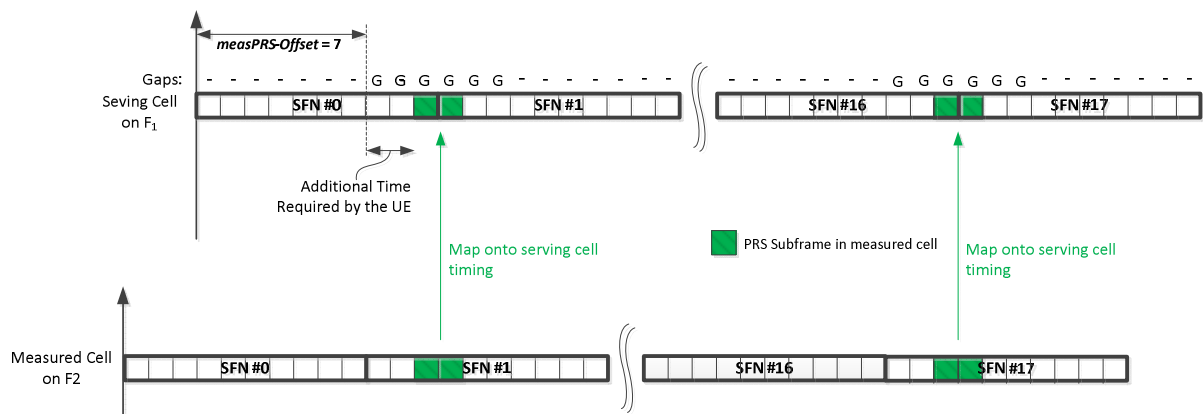


Figure 6.2.2-1 (informative): Exemplary calculation of *measPRS-Offset* field.

– *LoggedMeasurementConfiguration*

The *LoggedMeasurementConfiguration* message is used by E-UTRAN to configure the UE to perform logging of measurement results while in RRC\_IDLE or to perform logging of measurement results for MBSFN while in both RRC\_IDLE and RRC\_CONNECTED. It is used to transfer the logged measurement configuration for network performance optimisation, see TS 37.320 [60].

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

**LoggedMeasurementConfiguration message**

```

-- ASN1START
LoggedMeasurementConfiguration-r10 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                      CHOICE {
            loggedMeasurementConfiguration-r10    LoggedMeasurementConfiguration-r10-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}

LoggedMeasurementConfiguration-r10-IEs ::= SEQUENCE {
    traceReference-r10          TraceReference-r10,
    traceRecordingSessionRef-r10 OCTET STRING (SIZE (2)),
    tce-Id-r10                  OCTET STRING (SIZE (1)),
    absoluteTimeInfo-r10       AbsoluteTimeInfo-r10,
    areaConfiguration-r10      AreaConfiguration-r10          OPTIONAL, -- Need OR
    loggingDuration-r10        LoggingDuration-r10,
    loggingInterval-r10        LoggingInterval-r10,
    nonCriticalExtension        LoggedMeasurementConfiguration-v1080-IEs    OPTIONAL
}

LoggedMeasurementConfiguration-v1080-IEs ::= SEQUENCE {
    lateNonCriticalExtension-r10 OCTET STRING          OPTIONAL,
    nonCriticalExtension          LoggedMeasurementConfiguration-v1130-IEs    OPTIONAL
}

LoggedMeasurementConfiguration-v1130-IEs ::= SEQUENCE {
    plmn-IdentityList-r11        PLMN-IdentityList3-r11    OPTIONAL, -- Need OR
    areaConfiguration-v1130      AreaConfiguration-v1130    OPTIONAL, -- Need OR
    nonCriticalExtension          LoggedMeasurementConfiguration-v1250-IEs    OPTIONAL
}

LoggedMeasurementConfiguration-v1250-IEs ::= SEQUENCE {
    targetMBSFN-AreaList-r12     TargetMBSFN-AreaList-r12    OPTIONAL, -- Need OP
    nonCriticalExtension          LoggedMeasurementConfiguration-v1530-IEs
    OPTIONAL
}

LoggedMeasurementConfiguration-v1530-IEs ::= SEQUENCE {
    bt-NameList-r15              BT-NameList-r15          OPTIONAL, --Need OR
    wlan-NameList-r15            WLAN-NameList-r15        OPTIONAL, --Need OR
    nonCriticalExtension          SEQUENCE {}                OPTIONAL
}

TargetMBSFN-AreaList-r12 ::= SEQUENCE (SIZE (0..maxMBSFN-Area)) OF TargetMBSFN-Area-r12

TargetMBSFN-Area-r12 ::= SEQUENCE {
    mbsfn-AreaId-r12             MBSFN-AreaId-r12          OPTIONAL, -- Need OR
    carrierFreq-r12              ARFCN-ValueEUTRA-r9,
    ...
}
-- ASN1STOP

```

| <b>LoggedMeasurementConfiguration field descriptions</b>  |
|---|
| <b>absoluteTimeInfo</b><br>Indicates the absolute time in the current cell.   |
| <b>areaConfiguration</b><br>Used to restrict the area in which the UE performs measurement logging to cells broadcasting either one of the included cell identities or one of the included tracking area codes/ identities.   |
| <b>plmn-IdentityList</b><br>Indicates a set of PLMNs defining when the UE performs measurement logging as well as the associated status indication and information retrieval i.e. the UE performs these actions when the RPLMN is part of this set of PLMNs.  |
| <b>targetMBSFN-AreaList</b><br>Used to indicate logging of MBSFN measurements and further restrict the area and frequencies for which the UE performs measurement logging for MBSFN. If both MBSFN area id and carrier frequency are present, a specific MBSFN area is indicated. If only carrier frequency is present, all MBSFN areas on that carrier frequency are indicated. If there is no entry in the list, any MBSFN area is indicated. |
| <b>tce-Id</b><br>Parameter Trace Collection Entity Id: See TS 32.422 [58].  |
| <b>traceRecordingSessionRef</b><br>Parameter Trace Recording Session Reference: See TS 32.422 [58]  |

### – *MasterInformationBlock*

The *MasterInformationBlock* includes the system information transmitted on BCH.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### **MasterInformationBlock**

```

-- ASN1START
MasterInformationBlock ::=          SEQUENCE {
    dl-Bandwidth                    ENUMERATED {
                                    n6, n15, n25, n50, n75, n100},
    phich-Config                    PHICH-Config,
    systemFrameNumber               BIT STRING (SIZE (8)),
    schedulingInfoSIB1-BR-r13       INTEGER (0..31),
    systemInfoUnchanged-BR-r15     BOOLEAN,
    spare                           BIT STRING (SIZE (4))
}
-- ASN1STOP

```

| <b>MasterInformationBlock field descriptions</b>  |
|---|
| <b>dl-Bandwidth</b><br>Parameter: transmission bandwidth configuration, $N_{RB}$ in downlink, see TS 36.101 [42], table 5.6-1. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.  |
| <b>phich-Config</b><br>Specifies the PHICH configuration. If the UE is a BL UE or UE in CE, it shall ignore this field.   |
| <b>schedulingInfoSIB1-BR</b><br>Indicates the index to the tables that define <i>SystemInformationBlockType1-BR</i> scheduling information. The tables are specified in TS 36.213 [23], Table 7.1.6-1 and Table 7.1.7.2.7-1. Value 0 means that <i>SystemInformationBlockType1-BR</i> is not scheduled.   |
| <b>systemFrameNumber</b><br>Defines the 8 most significant bits of the SFN. As indicated in TS 36.211 [21], 6.6.1, the 2 least significant bits of the SFN are acquired implicitly in the P-BCH decoding, i.e. timing of 40ms P-BCH TTI indicates 2 least significant bits (within 40ms P-BCH TTI, the first radio frame: 00, the second radio frame: 01, the third radio frame: 10, the last radio frame: 11). One value applies for all serving cells of a Cell Group (i.e. MCG or SCG). The associated functionality is common (i.e. not performed independently for each cell). |
| <b>systemInfoUnchanged-BR</b><br>Value TRUE indicates that no change has occurred in the SIB1-BR and SI messages at least over the SI validity time.  |

## – *MasterInformationBlock-MBMS*

The *MasterInformationBlock-MBMS* includes the system information transmitted on BCH.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### **MasterInformationBlock-MBMS**

```
-- ASN1START
MasterInformationBlock-MBMS-r14 ::= SEQUENCE {
    dl-Bandwidth-MBMS-r14          ENUMERATED {
                                   n6, n15, n25, n50, n75, n100},
    systemFrameNumber-r14        BIT STRING (SIZE (6)),
    additionalNonMBSFNSubframes-r14 INTEGER (0..3),
    spare                         BIT STRING (SIZE (13))
}
-- ASN1STOP
```

#### **MasterInformationBlock-MBMS field descriptions**

##### **additionalNonMBSFNSubframes**

Configures additional non-MBSFN subframes where *SystemInformationBlockType1-MBMS* and *SystemInformation-MBMS* may be transmitted. Value 0, 1, 2, 3 mean zero, one, two, three additional non-MBSFN subframes are configured after each subframe which has PBCH.

##### **dl-Bandwidth-MBMS**

Parameter: transmission bandwidth configuration,  $N_{RB}$  in downlink, see TS 36.101 [42], table 5.6-1. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.

##### **systemFrameNumber**

Defines the 6 most significant bits of the SFN of the MBMS-dedicated cell. As indicated in TS 36.211 [21], clause 6.6.1, the 4 least significant bits of the SFN are acquired implicitly in the P-BCH decoding, i.e. timing of 160ms P-BCH TTI indicates 4 least significant bits (within 160ms P-BCH TTI, the first radio frame: 0000, the fifth radio frame: 0100, the ninth radio frame: 1000, the thirteenth radio frame: 1100).

## – *MBMSCountingRequest*

The *MBMSCountingRequest* message is used by E-UTRAN to count the UEs that are receiving or interested to receive specific MBMS services.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: MCCH

Direction: E-UTRAN to UE

### **MBMSCountingRequest message**

```
-- ASN1START
MBMSCountingRequest-r10 ::= SEQUENCE {
    countingRequestList-r10      CountingRequestList-r10,
    lateNonCriticalExtension     OCTET STRING                      OPTIONAL,
    nonCriticalExtension         SEQUENCE {                                OPTIONAL
}

CountingRequestList-r10 ::= SEQUENCE (SIZE (1..maxServiceCount)) OF CountingRequestInfo-r10

CountingRequestInfo-r10 ::= SEQUENCE {
    tmgi-r10                     TMGI-r9,
    ...
}
```

```
}
-- ASN1STOP
```

## – MBMSCountingResponse

The *MBMSCountingResponse* message is used by the UE to respond to an *MBMSCountingRequest* message.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### MBMSCountingResponse message

```
-- ASN1START
MBMSCountingResponse-r10 ::= SEQUENCE {
  criticalExtensions          CHOICE {
    c1                       CHOICE {
      countingResponse-r10  MBMSCountingResponse-r10-IEs,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture SEQUENCE {}
  }
}

MBMSCountingResponse-r10-IEs ::= SEQUENCE {
  mbsfn-AreaIndex-r10      INTEGER (0..maxMBSFN-Area-1)          OPTIONAL,
  countingResponseList-r10 CountingResponseList-r10          OPTIONAL,
  lateNonCriticalExtension OCTET STRING                      OPTIONAL,
  nonCriticalExtension     SEQUENCE {}                        OPTIONAL
}

CountingResponseList-r10 ::= SEQUENCE (SIZE (1..maxServiceCount)) OF CountingResponseInfo-r10

CountingResponseInfo-r10 ::= SEQUENCE {
  countingResponseService-r10 INTEGER (0..maxServiceCount-1),
  ...
}
-- ASN1STOP
```

#### MBMSCountingResponse field descriptions

##### **countingResponseList**

List of MBMS services which the UE is receiving or interested to receive. Value 0 for field *countingResponseService* corresponds to the first entry in *countingRequestList* within *MBMSCountingRequest*, value 1 corresponds to the second entry in this list and so on.

##### **mbsfn-AreaIndex**

Index of the entry in field *mbsfn-AreaInfoList* within *SystemInformationBlockType13*. Value 0 corresponds to the first entry in *mbsfn-AreaInfoList* within *SystemInformationBlockType13*, value 1 corresponds to the second entry in this list and so on.

– **MBMSInterestIndication**

The *MBMSInterestIndication* message is used to inform E-UTRAN that the UE is receiving/ interested to receive or no longer receiving/ interested to receive MBMS via an MRB or SC-MRB including MBMS service(s) in receive only mode.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

**MBMSInterestIndication message**

```
-- ASN1START
MBMSInterestIndication-r11 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                     CHOICE {
            interestIndication-r11 MBMSInterestIndication-r11-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

MBMSInterestIndication-r11-IEs ::= SEQUENCE {
    mbms-FreqList-r11          CarrierFreqListMBMS-r11          OPTIONAL,
    mbms-Priority-r11          ENUMERATED {true}                OPTIONAL,
    lateNonCriticalExtension   OCTET STRING                  OPTIONAL,
    nonCriticalExtension       MBMSInterestIndication-v1310-IEs OPTIONAL
}

MBMSInterestIndication-v1310-IEs ::= SEQUENCE {
    mbms-Services-r13          MBMS-ServiceList-r13            OPTIONAL,
    nonCriticalExtension       MBMSInterestIndication-v1540-IEs OPTIONAL
}

MBMSInterestIndication-v1540-IEs ::= SEQUENCE {
    mbms-ROM-InfoList-r15     SEQUENCE (SIZE(1..maxMBMS-ServiceListPerUE-r13)) OF MBMS-ROM-
    Info-r15                  OPTIONAL,
    nonCriticalExtension       SEQUENCE {}                      OPTIONAL
}

MBMS-ROM-Info-r15 ::= SEQUENCE {
    mbms-ROM-Freq-r15         ARFCN-ValueEUTRA-r9,
    mbms-ROM-SubcarrierSpacing-r15 ENUMERATED {kHz15, kHz7dot5, kHz1dot25},
    mbms-Bandwidth-r15       ENUMERATED {n6, n15, n25, n50, n75, n100}
}
-- ASN1STOP
```

| <b>MBMSInterestIndication field descriptions</b>   |
|--|
| <p><b>mbms-Bandwidth</b><br/>Indicates the UE received MBMS service frequency bandwidth configuration, <math>N_{RB}</math> in downlink, see TS 36.101 [42], table 5.6-1. <math>n_6</math> corresponds to 6 resource blocks, <math>n_{15}</math> to 15 resource blocks and so on.</p>                               |
| <p><b>mbms-FreqList</b><br/>List of MBMS frequencies on which the UE is receiving or interested to receive MBMS via an MRB or SC-MRB.</p>  |
| <p><b>mbms-Priority</b><br/>Indicates whether the UE prioritises MBMS reception above unicast reception. The field is present (i.e. value <i>true</i>), if the UE prioritises reception of all listed MBMS frequencies above reception of any of the unicast bearers. Otherwise the field is absent.</p>           |
| <p><b>mbms-ROM-Freq</b><br/>The value indicates the carrier frequency used by the UE to receive MBMS service(s) in receive only mode.</p>  |
| <p><b>mbms-ROM-InfoList</b><br/>List of receive only mode MBMS service(s) related parameters which the UE is receiving or interested to receive.</p>   |
| <p><b>mbms-ROM-SubcarrierSpacing</b><br/>The value indicates subcarrier spacing for MBSFN subframes received by UE in receive only mode and kHz15 refers to 15kHz, kHz7dot5 refers to 7.5kHz subcarrier spacing and kHz1dot25 refers to 1.25 kHz subcarrier spacing as defined in TS 36.211 [21], clause 6.12.</p> |

## – MBSFNAreaConfiguration

The *MBSFNAreaConfiguration* message contains the MBMS control information applicable for an MBSFN area. For each MBSFN area included in *SystemInformationBlockType13* E-UTRAN configures an MCCH (i.e. the MCCH identifies the MBSFN area) and signals the *MBSFNAreaConfiguration* message.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: MCCH

Direction: E-UTRAN to UE

### MBSFNAreaConfiguration message

```
-- ASN1START
MBSFNAreaConfiguration-r9 ::= SEQUENCE {
    commonSF-Alloc-r9          CommonSF-AllocPatternList-r9,
    commonSF-AllocPeriod-r9   ENUMERATED {
        rf4, rf8, rf16, rf32, rf64, rf128, rf256},
    pmch-InfoList-r9          PMCH-InfoList-r9,
    nonCriticalExtension       MBSFNAreaConfiguration-v930-IEs OPTIONAL
}

MBSFNAreaConfiguration-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension   OCTET STRING                OPTIONAL,
    nonCriticalExtension       MBSFNAreaConfiguration-v1250-IEs OPTIONAL
}

MBSFNAreaConfiguration-v1250-IEs ::= SEQUENCE {
    pmch-InfoListExt-r12      PMCH-InfoListExt-r12                OPTIONAL, -- Need OR
    nonCriticalExtension       MBSFNAreaConfiguration-v1430-IEs OPTIONAL
}

MBSFNAreaConfiguration-v1430-IEs ::= SEQUENCE {
    commonSF-Alloc-r14        CommonSF-AllocPatternList-r14,
    nonCriticalExtension       SEQUENCE {}                OPTIONAL
}

CommonSF-AllocPatternList-r9 ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-SubframeConfig

CommonSF-AllocPatternList-r14 ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-SubframeConfig-v1430
-- ASN1STOP
```



| <b>MBSFNAreaConfiguration field descriptions</b>  |
|---|
| <p><b>commonSF-Alloc</b><br/>Indicates the subframes allocated to the MBSFN area. E-UTRAN always sets this field to cover at least the subframes configured by <i>SystemInformationBlockType13</i> for this MCCH, regardless of whether any MBMS sessions are ongoing.</p>  |
| <p><b>commonSF-AllocPeriod</b><br/>Indicates the period during which resources corresponding with field <i>commonSF-Alloc</i> are divided between the (P)MCH that are configured for this MBSFN area. The subframe allocation patterns, as defined by <i>commonSF-Alloc</i>, repeat continuously during this period. Value rf4 corresponds to 4 radio frames, rf8 corresponds to 8 radio frames and so on. The <i>commonSF-AllocPeriod</i> starts in the radio frames for which: <math>SFN \bmod commonSF-AllocPeriod = 0</math>.</p> |
| <p><b>pmch-InfoList</b><br/>EUTRAN may include <i>pmch-InfoListExt</i> even if <i>pmch-InfoList</i> does not include <i>maxPMCH-PerMBSFN</i> entries. EUTRAN configures at most <i>maxPMCH-PerMBSFN</i> entries i.e. across <i>pmch-InfoList</i> and <i>pmch-InfoListExt</i>.</p>   |

## – *MeasReportAppLayer*

The *MeasReportAppLayer* message is used for sending application layer measurement report.

Signalling radio bearer: SRB4

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***MeasReportAppLayer* message**

```

-- ASN1START
MeasReportAppLayer-r15 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        measReportAppLayer-r15      MeasReportAppLayer-r15-IEs,
        criticalExtensionsFuture     SEQUENCE {}
    }
}

MeasReportAppLayer-r15-IEs ::= SEQUENCE {
    measReportAppLayerContainer-r15 OCTET STRING (SIZE(1..8000)) OPTIONAL,
    serviceType-r15                 ENUMERATED {qoe, qoemtsi, spare6, spare5, spare4, spare3,
    spare2, spare1} OPTIONAL,
    nonCriticalExtension             MeasReportAppLayer-v1590-IEs OPTIONAL
}

MeasReportAppLayer-v1590-IEs ::= SEQUENCE {
    lateNonCriticalExtension        OCTET STRING OPTIONAL,
    nonCriticalExtension            SEQUENCE {} OPTIONAL
}
-- ASN1STOP

```

| <b><i>MeasReportAppLayer</i> field descriptions</b>   |
|---|
| <p><b><i>measReportAppLayerContainer</i></b><br/>The field contains container of application layer measurements, see Annex L (normative) in TS 26.247 [90] and clause 16.5 in TS 26.114 [99].</p>   |
| <p><b><i>serviceType</i></b><br/>Indicates the type of application layer measurement. Value qoe indicates Quality of Experience Measurement Collection for streaming services, value qoemtsi indicates Quality of Experience Measurement Collection for MTSI.</p> |

## – *MeasurementReport*

The *MeasurementReport* message is used for the indication of measurement results.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### **MeasurementReport message**

```

-- ASN1START
MeasurementReport ::=
    SEQUENCE {
        criticalExtensions
            CHOICE {
                c1
                    CHOICE {
                        measurementReport-r8
                            MeasurementReport-r8-IEs,
                        spare7 NULL,
                        spare6 NULL, spare5 NULL, spare4 NULL,
                        spare3 NULL, spare2 NULL, spare1 NULL
                    },
                criticalExtensionsFuture
                    SEQUENCE {}
            }
    }

MeasurementReport-r8-IEs ::=
    SEQUENCE {
        measResults
            MeasResults,
        nonCriticalExtension
            MeasurementReport-v8a0-IEs
    }

MeasurementReport-v8a0-IEs ::= SEQUENCE {
        lateNonCriticalExtension
            OCTET STRING
        nonCriticalExtension
            SEQUENCE {}
    }

-- ASN1STOP

```

### **MobilityFromEUTRACommand**

The *MobilityFromEUTRACommand* message is used to command handover or a cell change from E-UTRA to another RAT (3GPP or non-3GPP), or enhanced CS fallback to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### **MobilityFromEUTRACommand message**

```

-- ASN1START
MobilityFromEUTRACommand ::=
    SEQUENCE {
        rrc-TransactionIdentifier
            RRC-TransactionIdentifier,
        criticalExtensions
            CHOICE {
                c1
                    CHOICE {
                        mobilityFromEUTRACommand-r8
                            MobilityFromEUTRACommand-r8-IEs,
                        mobilityFromEUTRACommand-r9
                            MobilityFromEUTRACommand-r9-IEs,
                        spare2 NULL, spare1
                            NULL
                    },
                criticalExtensionsFuture
                    SEQUENCE {}
            }
    }

MobilityFromEUTRACommand-r8-IEs ::= SEQUENCE {
        cs-FallbackIndicator
            BOOLEAN,
        purpose
            CHOICE {
                handover
                    Handover,
                cellChangeOrder
                    CellChangeOrder
            },
        nonCriticalExtension
            MobilityFromEUTRACommand-v8a0-IEs
    }

-- ASN1STOP

```

```

}
MobilityFromEUTRACCommand-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING          OPTIONAL,
    nonCriticalExtension          MobilityFromEUTRACCommand-v8d0-IEs  OPTIONAL
}
MobilityFromEUTRACCommand-v8d0-IEs ::= SEQUENCE {
    bandIndicator                BandIndicatorGERAN          OPTIONAL,  -- Cond GERAN
    nonCriticalExtension          SEQUENCE {}                  OPTIONAL
}
MobilityFromEUTRACCommand-r9-IEs ::= SEQUENCE {
    cs-FallbackIndicator          BOOLEAN,
    purpose                       CHOICE {
        handover                  Handover,
        cellChangeOrder           CellChangeOrder,
        e-CSFB-r9                 E-CSFB-r9,
        ...
    },
    nonCriticalExtension          MobilityFromEUTRACCommand-v930-IEs  OPTIONAL
}
MobilityFromEUTRACCommand-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING          OPTIONAL,
    nonCriticalExtension          MobilityFromEUTRACCommand-v960-IEs  OPTIONAL
}
MobilityFromEUTRACCommand-v960-IEs ::= SEQUENCE {
    bandIndicator                BandIndicatorGERAN          OPTIONAL,  -- Cond GERAN
    nonCriticalExtension          MobilityFromEUTRACCommand-v1530-IEs  OPTIONAL
}
MobilityFromEUTRACCommand-v1530-IEs ::= SEQUENCE {
    smtc-r15                     MTC-SSB-NR-r15          OPTIONAL,  -- Need OP
    nonCriticalExtension          SEQUENCE {}                  OPTIONAL
}
Handover ::= SEQUENCE {
    targetRAT-Type               ENUMERATED {
        utra, geran, cdma2000-1XRTT, cdma2000-HRPD,
        nr, eutra, spare2, spare1, ...},
    targetRAT-MessageContainer    OCTET STRING,
    nas-SecurityParamFromEUTRA    OCTET STRING (SIZE (1)) OPTIONAL,  -- Cond UTRAGERANEP
    systemInformation             SI-OrPSI-GERAN          OPTIONAL  -- Cond PSHO
}
CellChangeOrder ::= SEQUENCE {
    t304                          ENUMERATED {
        ms100, ms200, ms500, ms1000,
        ms2000, ms4000, ms8000, ms10000-v1310},
    targetRAT-Type               CHOICE {
        geran                     SEQUENCE {
            physCellId             PhysCellIdGERAN,
            carrierFreq            CarrierFreqGERAN,
            networkControlOrder    BIT STRING (SIZE (2))          OPTIONAL,  -- Need OP
            systemInformation       SI-OrPSI-GERAN          OPTIONAL  -- Need OP
        },
        ...
    }
}
SI-OrPSI-GERAN ::= CHOICE {
    si                            SystemInfoListGERAN,
    psi                           SystemInfoListGERAN
}
E-CSFB-r9 ::= SEQUENCE {
    messageContCDMA2000-1XRTT-r9  OCTET STRING          OPTIONAL,  -- Need ON
    mobilityCDMA2000-HRPD-r9      ENUMERATED {
        handover, redirection
    }
    messageContCDMA2000-HRPD-r9  OCTET STRING          OPTIONAL,  -- Need OP
    redirectCarrierCDMA2000-HRPD-r9 CarrierFreqCDMA2000  OPTIONAL  -- Cond concRedir
}
-- ASN1STOP

```

| <b>MobilityFromEUTRACommand field descriptions</b> |  |
|--|--|
| <b>bandIndicator</b>                               | Indicates how to interpret the ARFCN of the BCCH carrier.  |
| <b>carrierFreq</b>                                 | contains the carrier frequency of the target GERAN cell.   |
| <b>cs-FallbackIndicator</b>                        | Value <i>true</i> indicates that the CS fallback procedure to UTRAN or GERAN is triggered.   |
| <b>messageContCDMA2000-1XRTT</b>                   | This field contains a message specified in CDMA2000 1xRTT standard that either tells the UE to move to specific 1xRTT target cell(s) or indicates a failure to allocate resources for the enhanced CS fallback to CDMA2000 1xRTT.  |
| <b>messageContCDMA2000-HRPD</b>                    | This field contains a message specified in CDMA2000 HRPD standard that either tells the UE to move to specific HRPD target cell(s) or indicates a failure to allocate resources for the handover to CDMA2000 HRPD.   |
| <b>mobilityCDMA2000-HRPD</b>                       | This field indicates whether or not mobility to CDMA2000 HRPD is to be performed by the UE and it also indicates the type of mobility to CDMA2000 HRPD that is to be performed; If this field is not present the UE shall perform only the enhanced CS fallback to CDMA2000 1xRTT.   |
| <b>nas-SecurityParamFromEUTRA</b>                  | If the <i>targetRAT-Type</i> is set to "eutra" and the source CN is 5GC, this field is used to deliver the key synchronisation and key freshness for the Key freshness for the 5GS to EPS handovers as specified in TS 33.501 [86] and the content of the parameter is defined in TS 24.501 [95]. Otherwise, this field is used to deliver the key synchronisation and Key freshness for the E-UTRAN to UTRAN handovers as specified in TS 33.401 [32] and the content of the parameter is defined in TS24.301 [35]. |
| <b>networkControlOrder</b>                         | Parameter NETWORK_CONTROL_ORDER in TS 44.060 [36].   |
| <b>purpose</b>                                     | Indicates which type of mobility procedure the UE is requested to perform. EUTRAN always applies value <i>e-CSFB</i> in case of enhanced CS fallback to CDMA2000 (e.g. also when that procedure results in handover to CDMA2000 1XRTT only, in handover to CDMA2000 HRPD only or in redirection to CDMA2000 HRPD only),  |
| <b>redirectCarrierCDMA2000-HRPD</b>                | The <i>redirectCarrierCDMA2000-HRPD</i> indicates a CDMA2000 carrier frequency and is used to redirect the UE to a HRPD carrier frequency.   |
| <b>smtc</b>  | The SSB periodicity/offset/duration configuration of target cell for inter-RAT handover to NR. It is based on timing reference of EUTRA PCell. If the field is absent, the UE uses the SMTC in the <i>measObjectNR</i> having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message.  |
| <b>SystemInfoListGERAN</b>                         | If <i>purpose = CellChangeOrder</i> and if the field is not present, the UE has to acquire SI/PSI from the GERAN cell.   |
| <b>t304</b>  | Timer T304 as described in clause 7.3. Value <i>ms100</i> corresponds with 100 ms, <i>ms200</i> corresponds with 200 ms and so on. EUTRAN includes extended value <i>ms10000-v1310</i> only when UE supports CE.   |
| <b>targetRAT-Type</b>                              | Indicates the target RAT type.   |
| <b>targetRAT-MessageContainer</b>                  | The field contains a message specified in another standard, as indicated by the <i>targetRAT-Type</i> , and carries information about the target cell identifier(s) and radio parameters relevant for the target radio access technology.<br>NOTE 1.<br><br>A complete message is included, as specified in the other standard.  |

| Conditional presence | Explanation  |
|----------------------|--|
| <i>conchO</i>        | The field is mandatory present if the <i>mobilityCDMA2000-HRPD</i> is set to "handover"; otherwise the field is optional present, need ON.   |
| <i>concRedir</i>     | The field is mandatory present if the <i>mobilityCDMA2000-HRPD</i> is set to "redirection"; otherwise the field is not present.  |
| GERAN                | The field should be present if the <i>purpose</i> is set to "handover" and the <i>targetRAT-Type</i> is set to "geran"; otherwise the field is not present   |
| PSHO                 | The field is mandatory present in case of PS handover toward GERAN; otherwise the field is optionally present, but not used by the UE  |
| UTRAGERANEPCC        | The field is mandatory present if the <i>targetRAT-Type</i> is set to "utra" or "geran" or if the <i>targetRAT-Type</i> is set to "eutra" and the source CN is 5GC; otherwise the field is not present |

NOTE 1: The correspondence between the value of the *targetRAT-Type*, the standard to apply and the message contained within the *targetRAT-MessageContainer* is shown in the table below:

| targetRAT-Type | Standard to apply  | targetRAT-MessageContainer          |
|----------------|--|-------------------------------------|
| cdma2000-1XRTT | C.S0001 or later, C.S0007 or later, C.S0008 or later               |                                     |
| cdma2000-HRPD  | C.S0024 or later   |                                     |
| eutra          | TS 36.331 (clause 5.4.2)   | <i>RRCConnectionReconfiguration</i> |
| geran          | GSM TS 04.18, version 8.5.0 or later, or TS 44.018 (clause 9.1.15) | HANDOVER COMMAND                    |
|                | TS 44.060, version 6.13.0 or later (clause 11.2.43)                | PS HANDOVER COMMAND                 |
|                | TS 44.060, version 7.6.0 or later (clause 11.2.46)                 | DTM HANDOVER COMMAND                |
| nr             | TS 38.331 (clause 6.2.2)   | RRCReconfiguration                  |
| utra           | TS 25.331 (clause 10.2.16a)  | HANDOVER TO UTRAN COMMAND           |

## Paging

The *Paging* message is used for the notification of one or more UEs.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: PCCH

Direction: E-UTRAN to UE

### Paging message

```
-- ASN1START
Paging ::= SEQUENCE {
    pagingRecordList          PagingRecordList          OPTIONAL, -- Need ON
    systemInfoModification    ENUMERATED {true}          OPTIONAL, -- Need ON
    etws-Indication           ENUMERATED {true}          OPTIONAL, -- Need ON
    nonCriticalExtension       Paging-v890-IEs              OPTIONAL
}

Paging-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension   OCTET STRING          OPTIONAL,
    nonCriticalExtension       Paging-v920-IEs        OPTIONAL
}

Paging-v920-IEs ::= SEQUENCE {
    cmas-Indication-r9        ENUMERATED {true}      OPTIONAL, -- Need ON
    nonCriticalExtension       Paging-v1130-IEs       OPTIONAL
}

Paging-v1130-IEs ::= SEQUENCE {
    eab-ParamModification-r11  ENUMERATED {true}      OPTIONAL, -- Need ON
    nonCriticalExtension       Paging-v1310-IEs       OPTIONAL
}

Paging-v1310-IEs ::= SEQUENCE {
    redistributionIndication-r13  ENUMERATED {true}      OPTIONAL, -- Need ON
    systemInfoModification-eDRX-r13  ENUMERATED {true}      OPTIONAL, -- Need ON
    nonCriticalExtension          Paging-v1530-IEs       OPTIONAL
}

Paging-v1530-IEs ::= SEQUENCE {
    accessType                 ENUMERATED {non3GPP}    OPTIONAL, -- Need ON
    nonCriticalExtension        SEQUENCE {}              OPTIONAL
}

PagingRecordList ::= SEQUENCE (SIZE (1..maxPageRec)) OF PagingRecord

PagingRecord ::= SEQUENCE {
    ue-Identity                PagingUE-Identity,
    cn-Domain                  ENUMERATED {ps, cs},
    ...
}
```

```

PagingUE-Identity ::= CHOICE {
    s-TMSI          S-TMSI,
    imsi           IMSI,
    ...,
    ng-5G-S-TMSI-r15 NG-5G-S-TMSI-r15,
    fullI-RNTI-r15  I-RNTI-r15
}

IMSI ::= SEQUENCE (SIZE (6..21)) OF IMSI-Digit

IMSI-Digit ::= INTEGER (0..9)

-- ASN1STOP

```

### **Paging field descriptions**

|                                    |  |
|------------------------------------|--|
| <b>accessType</b>                  | It indicates whether Paging is originated due to the PDU sessions from the non-3GPP access when E-UTRA is connected to 5GC.  |
| <b>cmas-Indication</b>             | If present: indication of a CMAS notification.   |
| <b>cn-Domain</b>                   | Indicates the origin of paging.  |
| <b>eab-ParamModification</b>       | If present: indication of an EAB parameters (SIB14) modification.  |
| <b>etws-Indication</b>             | If present: indication of an ETWS primary notification and/ or ETWS secondary notification.  |
| <b>imsi</b>                        | The International Mobile Subscriber Identity, a globally unique permanent subscriber identity, see TS 23.003 [27]. The first element contains the first IMSI digit, the second element contains the second IMSI digit and so on. |
| <b>redistributionIndication</b>    | If present: indication to trigger E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10.   |
| <b>systemInfoModification</b>      | If present: indication of a BCCH modification other than SIB10, SIB11, SIB12 and SIB14. This indication does not apply to UEs using eDRX cycle longer than the BCCH modification period.   |
| <b>systemInfoModification-eDRX</b> | If present: indication of a BCCH modification other than SIB10, SIB11, SIB12 and SIB14. This indication applies only to UEs using eDRX cycle longer than the BCCH modification period.   |
| <b>ue-Identity</b>                 | Provides the NAS identity of the UE that is being paged. The IMSI is not applicable for E-UTRA/5GC.  |

### **ProximityIndication**

The *ProximityIndication* message is used to indicate that the UE is entering or leaving the proximity of one or more CSG member cell(s).

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### **ProximityIndication message**

```

-- ASN1START
ProximityIndication-r9 ::= SEQUENCE {
    criticalExtensions CHOICE {
        c1 CHOICE {
            proximityIndication-r9 ProximityIndication-r9-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

```

```

ProximityIndication-r9-IEs ::= SEQUENCE {
  type-r9                               ENUMERATED {entering, leaving},
  carrierFreq-r9                         CHOICE {
    eutra-r9                             ARFCN-ValueEUTRA,
    utra-r9                               ARFCN-ValueUTRA,
    ... ,
    eutra2-v9e0                           ARFCN-ValueEUTRA-v9e0
  },
  nonCriticalExtension                   ProximityIndication-v930-IEs
  OPTIONAL
}

ProximityIndication-v930-IEs ::= SEQUENCE {
  lateNonCriticalExtension                OCTET STRING                               OPTIONAL,
  nonCriticalExtension                    SEQUENCE {}                               OPTIONAL
}

-- ASN1STOP

```

#### **ProximityIndication field descriptions**

##### **carrierFreq**

Indicates the RAT and frequency of the CSG member cell(s), for which the proximity indication is sent. For E-UTRA and UTRA frequencies, the UE shall set the ARFCN according to a band it previously considered suitable for accessing (one of) the CSG member cell(s), for which the proximity indication is sent.

##### **type**

Used to indicate whether the UE is entering or leaving the proximity of CSG member cell(s).

## – *RNReconfiguration*

The *RNReconfiguration* is a command to modify the RN subframe configuration and/or to convey changed system information.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to RN

### **RNReconfiguration message**

```

-- ASN1START
RNReconfiguration-r10 ::= SEQUENCE {
  rrc-TransactionIdentifier              RRC-TransactionIdentifier,
  criticalExtensions                     CHOICE {
    c1                                   CHOICE {
      rnReconfiguration-r10             RNReconfiguration-r10-IEs,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture             SEQUENCE {}
  }
}

RNReconfiguration-r10-IEs ::= SEQUENCE {
  rn-SystemInfo-r10                     RN-SystemInfo-r10                OPTIONAL, -- Need ON
  rn-SubframeConfig-r10                 RN-SubframeConfig-r10          OPTIONAL, -- Need ON
  lateNonCriticalExtension               OCTET STRING                   OPTIONAL,
  nonCriticalExtension                   SEQUENCE {}                   OPTIONAL
}

RN-SystemInfo-r10 ::= SEQUENCE {
  systemInformationBlockType1-r10       OCTET STRING (CONTAINING SystemInformationBlockType1)
  OPTIONAL, -- Need ON
  systemInformationBlockType2-r10       SystemInformationBlockType2   OPTIONAL, -- Need ON
  ...
}

-- ASN1STOP

```

## – *RNReconfigurationComplete*

The *RNReconfigurationComplete* message is used to confirm the successful completion of an RN reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: RN to E-UTRAN

### *RNReconfigurationComplete message*

```
-- ASN1START
RNReconfigurationComplete-r10 ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                       CHOICE{
            rnReconfigurationComplete-r10      RNReconfigurationComplete-r10-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

RNReconfigurationComplete-r10-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING          OPTIONAL,
    nonCriticalExtension          SEQUENCE {}             OPTIONAL
}
-- ASN1STOP
```

## – *RRCConnectionReconfiguration*

The *RRCConnectionReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, radio resource configuration (including RBs, MAC main configuration and physical channel configuration) including any associated dedicated NAS information and security configuration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### *RRCConnectionReconfiguration message*

```
-- ASN1START
RRCConnectionReconfiguration ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                       CHOICE{
            rrcConnectionReconfiguration-r8      RRCConnectionReconfiguration-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

RRCConnectionReconfiguration-r8-IEs ::= SEQUENCE {
    measConfig                    MeasConfig          OPTIONAL, -- Need ON
    mobilityControlInfo           MobilityControlInfo  OPTIONAL, -- Cond HO
    dedicatedInfoNASList         SEQUENCE (SIZE(1..maxDRB)) OF
                                DedicatedInfoNAS      OPTIONAL, -- Cond nonHO
}
-- ASN1STOP
```



```

    radioResourceConfigDedicated      RadioResourceConfigDedicated  OPTIONAL, -- Cond HO-toEUTRA
    securityConfigHO                  SecurityConfigHO                OPTIONAL, -- Cond HO-toEPC
    nonCriticalExtension                RRCCConnectionReconfiguration-v890-IEs  OPTIONAL
  }
RRCCConnectionReconfiguration-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension          OCTET STRING (CONTAINING RRCCConnectionReconfiguration-v8m0-
IEs)  OPTIONAL,
    nonCriticalExtension                RRCCConnectionReconfiguration-v920-IEs  OPTIONAL
}

-- Late non-critical extensions:
RRCCConnectionReconfiguration-v8m0-IEs ::= SEQUENCE {
    -- Following field is only for pre REL-10 late non-critical extensions
    lateNonCriticalExtension          OCTET STRING  OPTIONAL,
    nonCriticalExtension                RRCCConnectionReconfiguration-v10i0-IEs  OPTIONAL
}

RRCCConnectionReconfiguration-v10i0-IEs ::= SEQUENCE {
    antennaInfoDedicatedPCell-v10i0  AntennaInfoDedicated-v10i0  OPTIONAL, -- Need ON
    nonCriticalExtension                RRCCConnectionReconfiguration-v10i0-IEs  OPTIONAL
}

RRCCConnectionReconfiguration-v10i0-IEs ::= SEQUENCE {
    mobilityControlInfo-v10i0          MobilityControlInfo-v10i0  OPTIONAL,
    sCellToAddModList-v10i0            SCellToAddModList-v10i0  OPTIONAL, -- Need ON
    -- Following field is only for late non-critical extensions from REL-10 to REL-11
    lateNonCriticalExtension          OCTET STRING  OPTIONAL,
    nonCriticalExtension                RRCCConnectionReconfiguration-v12f0-IEs  OPTIONAL
}

RRCCConnectionReconfiguration-v12f0-IEs ::= SEQUENCE {
    scg-Configuration-v12f0            SCG-Configuration-v12f0  OPTIONAL, -- Cond nonFullConfig
    -- Following field is only for late non-critical extensions from REL-12
    lateNonCriticalExtension          OCTET STRING  OPTIONAL,
    nonCriticalExtension                RRCCConnectionReconfiguration-v1370-IEs  OPTIONAL
}

RRCCConnectionReconfiguration-v1370-IEs ::= SEQUENCE {
    radioResourceConfigDedicated-v1370  RadioResourceConfigDedicated-v1370  OPTIONAL, -- Need ON
    sCellToAddModListExt-v1370          SCellToAddModListExt-v1370  OPTIONAL, -- Need ON
    nonCriticalExtension                RRCCConnectionReconfiguration-v13c0-IEs  OPTIONAL
}

RRCCConnectionReconfiguration-v13c0-IEs ::= SEQUENCE {
    radioResourceConfigDedicated-v13c0  RadioResourceConfigDedicated-v13c0  OPTIONAL, -- Need ON
    sCellToAddModList-v13c0            SCellToAddModList-v13c0  OPTIONAL, -- Need ON
    sCellToAddModListExt-v13c0          SCellToAddModListExt-v13c0  OPTIONAL, -- Need ON
    scg-Configuration-v13c0            SCG-Configuration-v13c0  OPTIONAL, -- Need ON
    -- Following field is only for late non-critical extensions from REL-13 onwards
    nonCriticalExtension                SEQUENCE {}  OPTIONAL
}

-- Regular non-critical extensions:
RRCCConnectionReconfiguration-v920-IEs ::= SEQUENCE {
    otherConfig-r9                      OtherConfig-r9  OPTIONAL, -- Need ON
    fullConfig-r9                       ENUMERATED {true}  OPTIONAL, -- Cond HO-Reestab
    nonCriticalExtension                RRCCConnectionReconfiguration-v1020-IEs  OPTIONAL
}

RRCCConnectionReconfiguration-v1020-IEs ::= SEQUENCE {
    sCellToReleaseList-r10              SCellToReleaseList-r10  OPTIONAL, -- Need ON
    sCellToAddModList-r10               SCellToAddModList-r10  OPTIONAL, -- Need ON
    nonCriticalExtension                RRCCConnectionReconfiguration-v1130-IEs  OPTIONAL
}

RRCCConnectionReconfiguration-v1130-IEs ::= SEQUENCE {
    systemInformationBlockType1Dedicated-r11  OCTET STRING (CONTAINING
SystemInformationBlockType1)
    OPTIONAL, -- Need ON
    nonCriticalExtension                RRCCConnectionReconfiguration-v1250-IEs  OPTIONAL
}

RRCCConnectionReconfiguration-v1250-IEs ::= SEQUENCE {
    wlan-OffloadInfo-r12                CHOICE {
        release  NULL,
        setup    SEQUENCE {
            wlan-OffloadConfigDedicated-r12  WLAN-OffloadConfig-r12,

```

```

        t350-r12
            ENUMERATED {min5, min10, min20, min30, min60,
            min120, min180, spare1} OPTIONAL -- Need OR
        }
    }
    OPTIONAL, -- Need ON
    scg-Configuration-r12 SCG-Configuration-r12 OPTIONAL, -- Cond
nonFullConfig
    sl-SyncTxControl-r12 SL-SyncTxControl-r12 OPTIONAL, -- Need ON
    sl-DiscConfig-r12 SL-DiscConfig-r12 OPTIONAL, -- Need ON
    sl-CommConfig-r12 SL-CommConfig-r12 OPTIONAL, -- Need ON
    nonCriticalExtension RRCCONNECTIONRECONFIGURATION-V1310-IES OPTIONAL
}

RRCCONNECTIONRECONFIGURATION-V1310-IES ::= SEQUENCE {
    sCellToReleaseListExt-r13 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON
    sCellToAddModListExt-r13 SCellToAddModListExt-r13 OPTIONAL, -- Need ON
    lwa-Configuration-r13 LWA-Configuration-r13 OPTIONAL, -- Need ON
    lwip-Configuration-r13 LWIP-Configuration-r13 OPTIONAL, -- Need ON
    rclwi-Configuration-r13 RCLWI-Configuration-r13 OPTIONAL, -- Need ON
    nonCriticalExtension RRCCONNECTIONRECONFIGURATION-V1430-IES
OPTIONAL
}

RRCCONNECTIONRECONFIGURATION-V1430-IES ::= SEQUENCE {
    sl-V2X-ConfigDedicated-r14 SL-V2X-ConfigDedicated-r14 OPTIONAL, -- Need ON
    sCellToAddModListExt-v1430 SCellToAddModListExt-v1430 OPTIONAL, -- Need ON
    perCC-GapIndicationRequest-r14 ENUMERATED{true} OPTIONAL, -- Need ON
    systemInformationBlockType2Dedicated-r14 OCTET STRING (CONTAINING
SystemInformationBlockType2) OPTIONAL, -- Cond nonHO
    nonCriticalExtension RRCCONNECTIONRECONFIGURATION-V1510-IES OPTIONAL
}

RRCCONNECTIONRECONFIGURATION-V1510-IES ::= SEQUENCE {
    nr-Config-r15 CHOICE {
        release NULL,
        setup SEQUENCE {
            endc-ReleaseAndAdd-r15 BOOLEAN,
            nr-SecondaryCellGroupConfig-r15 OCTET STRING OPTIONAL, -- Need ON
            p-MaxEUTRA-r15 P-Max OPTIONAL, -- Need ON
        }
    }
    OPTIONAL, -- Need ON
    sk-Counter-r15 INTEGER (0..65535) OPTIONAL, -- Need ON
    nr-RadioBearerConfig1-r15 OCTET STRING OPTIONAL, -- Need ON
    nr-RadioBearerConfig2-r15 OCTET STRING OPTIONAL, -- Need ON
    tdm-PatternConfig-r15 TDM-PatternConfig-r15 OPTIONAL, -- Cond FDD-PCell
    nonCriticalExtension RRCCONNECTIONRECONFIGURATION-V1530-IES OPTIONAL
}

RRCCONNECTIONRECONFIGURATION-V1530-IES ::= SEQUENCE {
    securityConfigHO-v1530 SecurityConfigHO-v1530 OPTIONAL, -- Cond HO-5GC
    sCellGroupToReleaseList-r15 SCellGroupToReleaseList-r15 OPTIONAL, -- Need ON
    sCellGroupToAddModList-r15 SCellGroupToAddModList-r15 OPTIONAL, -- Need ON
    dedicatedInfoNASList-r15 SEQUENCE (SIZE(1..maxDRB-r15)) OF
DedicatedInfoNAS OPTIONAL, -- Cond nonHO
    p-MaxUE-FR1-r15 P-Max OPTIONAL, -- Need OR
    smtc-r15 MTC-SSB-NR-r15 OPTIONAL, -- Need OP
    nonCriticalExtension SEQUENCE {}
OPTIONAL
}

SL-SyncTxControl-r12 ::= SEQUENCE {
    networkControlledSyncTx-r12 ENUMERATED {on, off} OPTIONAL -- Need OP
}

PSCellToAddMod-r12 ::= SEQUENCE {
    sCellIndex-r12 SCellIndex-r10,
    cellIdentification-r12 SEQUENCE {
        physCellId-r12 PhysCellId,
        dl-CarrierFreq-r12 ARFCN-ValueEUTRA-r9
    }
    OPTIONAL, -- Cond SCellAdd
    radioResourceConfigCommonPSCell-r12 RadioResourceConfigCommonPSCell-r12 OPTIONAL, -- Cond
SCellAdd
    radioResourceConfigDedicatedPSCell-r12 RadioResourceConfigDedicatedPSCell-r12 OPTIONAL, --
Cond SCellAdd2
    ...,
    [[ antennaInfoDedicatedPSCell-v1280 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON
]],
    [[ sCellIndex-r13 SCellIndex-r13 OPTIONAL -- Need ON
]],

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[[ radioResourceConfigDedicatedPSCell-v1370    RadioResourceConfigDedicatedPSCell-v1370
OPTIONAL    -- Need ON
]],
[[ radioResourceConfigDedicatedPSCell-v13c0    RadioResourceConfigDedicatedPSCell-v13c0
OPTIONAL    -- Need ON
]]
}

PSCellToAddMod-v12f0 ::= SEQUENCE {
    radioResourceConfigCommonPSCell-r12    RadioResourceConfigCommonPSCell-v12f0    OPTIONAL
}

PSCellToAddMod-v1440 ::= SEQUENCE {
    radioResourceConfigCommonPSCell-r14    RadioResourceConfigCommonPSCell-v1440    OPTIONAL
}

PowerCoordinationInfo-r12 ::= SEQUENCE {
    p-MeNB-r12    INTEGER (1..16),
    p-SeNB-r12    INTEGER (1..16),
    powerControlMode-r12    INTEGER (1..2)
}

SCellToAddModList-r10 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-r10
SCellToAddModList-v1010 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-v1010
SCellToAddModList-v13c0 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-v13c0
SCellToAddModListExt-r13 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-r13
SCellToAddModListExt-v1370 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-v1370
SCellToAddModListExt-v13c0 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddMod-v13c0
SCellToAddModListExt-v1430 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-v1430
SCellGroupToAddModList-r15 ::= SEQUENCE (SIZE (1..maxSCellGroups-r15)) OF SCellGroupToAddMod-r15

SCellToAddMod-r10 ::= SEQUENCE {
    sCellIndex-r10    SCellIndex-r10,
    cellIdentification-r10    SEQUENCE {
        physCellId-r10    PhysCellId,
        dl-CarrierFreq-r10    ARFCN-ValueEUTRA
    }
    radioResourceConfigCommonSCell-r10    RadioResourceConfigCommonSCell-r10    OPTIONAL,    -- Cond SCellAdd
}
SCellAdd
Cond SCellAdd2
    radioResourceConfigDedicatedSCell-r10    RadioResourceConfigDedicatedSCell-r10    OPTIONAL,    --
...
[[ dl-CarrierFreq-v1090    ARFCN-ValueEUTRA-v9e0    OPTIONAL    -- Cond EARFCN-max
]],
[[ antennaInfoDedicatedSCell-v10i0    AntennaInfoDedicated-v10i0    OPTIONAL    -- Need ON
]],
[[ srs-SwitchFromServCellIndex-r14    INTEGER (0..31)    OPTIONAL    -- Need ON
]],
[[ sCellState-r15    ENUMERATED {activated, dormant}    OPTIONAL    -- Need ON
]]
}

SCellToAddMod-v1010 ::= SEQUENCE {
    radioResourceConfigCommonSCell-v1010    RadioResourceConfigCommonSCell-v1010    OPTIONAL
}

SCellToAddMod-v13c0 ::= SEQUENCE {
    radioResourceConfigDedicatedSCell-v13c0    RadioResourceConfigDedicatedSCell-v13c0    OPTIONAL
}

SCellToAddModExt-r13 ::= SEQUENCE {
    sCellIndex-r13    SCellIndex-r13,
    cellIdentification-r13    SEQUENCE {
        physCellId-r13    PhysCellId,
        dl-CarrierFreq-r13    ARFCN-ValueEUTRA-r9
    }
    radioResourceConfigCommonSCell-r13    RadioResourceConfigCommonSCell-r10    OPTIONAL,    -- Cond SCellAdd
}
SCellAdd
Cond SCellAdd2
    radioResourceConfigDedicatedSCell-r13    RadioResourceConfigDedicatedSCell-r10    OPTIONAL,    --
antennaInfoDedicatedSCell-r13    AntennaInfoDedicated-v10i0    OPTIONAL    -- Need ON

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}
SCellToAddModExt-v1370 ::= SEQUENCE {
    radioResourceConfigCommonSCell-v1370 RadioResourceConfigCommonSCell-v1010 OPTIONAL
}
SCellToAddModExt-v1430 ::= SEQUENCE {
    srs-SwitchFromServCellIndex-r14 INTEGER (0..31) OPTIONAL, -- Need ON
    ...
    [[ sCellState-r15 ENUMERATED {activated, dormant} OPTIONAL -- Need ON
    ]]
}
SCellGroupToAddMod-r15 ::= SEQUENCE {
    sCellGroupIndex-r15 SCellGroupIndex-r15,
    sCellConfigCommon-r15 SCellConfigCommon-r15 OPTIONAL, -- Need ON
    sCellToReleaseList-r15 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON
    sCellToAddModList-r15 SCellToAddModListExt-r13 OPTIONAL -- Need ON
}
SCellToReleaseList-r10 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellIndex-r10
SCellToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellIndex-r13
SCellGroupToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxSCellGroups-r15)) OF SCellGroupIndex-
r15
SCellGroupIndex-r15 ::= INTEGER (1..maxSCellGroups-r15)
SCellConfigCommon-r15 ::= SEQUENCE {
    radioResourceConfigCommonSCell-r15 RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Need
ON
    radioResourceConfigDedicatedSCell-r15 RadioResourceConfigDedicatedSCell-r10 OPTIONAL, -- Need
ON
    antennaInfoDedicatedSCell-r15 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON
}
SCG-Configuration-r12 ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        scg-ConfigPartMCG-r12 SEQUENCE {
            scg-Counter-r12 INTEGER (0..65535) OPTIONAL, -- Need ON
            powerCoordinationInfo-r12 PowerCoordinationInfo-r12 OPTIONAL, -- Need ON
            ...
        }
        scg-ConfigPartSCG-r12 SCG-ConfigPartSCG-r12 OPTIONAL, -- Need ON
    }
}
SCG-Configuration-v12f0 ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        scg-ConfigPartSCG-v12f0 SCG-ConfigPartSCG-v12f0 OPTIONAL -- Need ON
    }
}
SCG-Configuration-v13c0 ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        scg-ConfigPartSCG-v13c0 SCG-ConfigPartSCG-v13c0 OPTIONAL -- Need ON
    }
}
SCG-ConfigPartSCG-r12 ::= SEQUENCE {
    radioResourceConfigDedicatedSCG-r12 RadioResourceConfigDedicatedSCG-r12 OPTIONAL, -- Need ON
    sCellToReleaseListSCG-r12 SCellToReleaseList-r10 OPTIONAL, -- Need ON
    pSCellToAddMod-r12 PSCellToAddMod-r12 OPTIONAL, -- Need ON
    sCellToAddModListSCG-r12 SCellToAddModList-r10 OPTIONAL, -- Need ON
    mobilityControlInfoSCG-r12 MobilityControlInfoSCG-r12 OPTIONAL, -- Need ON
    ...
    [[
    sCellToReleaseListSCG-Ext-r13 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON
    sCellToAddModListSCG-Ext-r13 SCellToAddModListExt-r13 OPTIONAL -- Need ON
    ]],
    [[
    sCellToAddModListSCG-Ext-v1370 SCellToAddModListExt-v1370 OPTIONAL -- Need ON
    ]],
    [[

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    pSCellToAddMod-v1440          PSCellToAddMod-v1440          OPTIONAL    -- Need ON
  ]],
  [[ sCellGroupToReleaseListSCG-r15  SCellGroupToReleaseList-r15  OPTIONAL,   -- Need ON
    sCellGroupToAddModListSCG-r15    SCellGroupToAddModList-r15    OPTIONAL    -- Need ON
  ]],
  [[ -- NE-DC addition for setup/ modification and release SN configured measurements
    measConfigSN-r15                MeasConfig                    OPTIONAL,   -- Need ON
    -- NE-DC additions concerning DRBs/ SRBs are within RadioResourceConfigDedicatedSCG
    tdm-PatternConfigNE-DC-r15       TDM-PatternConfig-r15         OPTIONAL    -- Cond FDD-
PSCell
  ]],
  [[ p-MaxEUTRA-r15                  P-Max                          OPTIONAL    -- Need ON
  ]]
}

SCG-ConfigPartSCG-v12f0 ::=      SEQUENCE {
  pSCellToAddMod-v12f0          PSCellToAddMod-v12f0          OPTIONAL,   -- Need ON
  sCellToAddModListSCG-v12f0    SCellToAddModList-v1010       OPTIONAL    -- Need ON
}

SCG-ConfigPartSCG-v13c0 ::=      SEQUENCE {
  sCellToAddModListSCG-v13c0    SCellToAddModList-v13c0       OPTIONAL,   -- Need ON
  sCellToAddModListSCG-Ext-v13c0 SCellToAddModListExt-v13c0    OPTIONAL    -- Need ON
}

SecurityConfigHO ::=             SEQUENCE {
  handoverType                   CHOICE {
    intraLTE                       SEQUENCE {
      securityAlgorithmConfig      SecurityAlgorithmConfig        OPTIONAL,   -- Cond
fullConfig
      keyChangeIndicator            BOOLEAN,
      nextHopChainingCount          NextHopChainingCount
    },
    interRAT                       SEQUENCE {
      securityAlgorithmConfig        SecurityAlgorithmConfig,
      nas-SecurityParamToEUTRA      OCTET STRING (SIZE(6))
    }
  },
  ...
}

SecurityConfigHO-v1530 ::=        SEQUENCE {
  handoverType-v1530             CHOICE {
    intra5GC                       SEQUENCE {
      securityAlgorithmConfig-r15    SecurityAlgorithmConfig        OPTIONAL,   -- Cond HO-
toEUTRA
      keyChangeIndicator-r15         BOOLEAN,
      nextHopChainingCount-r15      NextHopChainingCount,
      nas-Container-r15              OCTET STRING    OPTIONAL    -- Need ON
    },
    fivegc-ToEPC                   SEQUENCE {
      securityAlgorithmConfig-r15    SecurityAlgorithmConfig,
      nextHopChainingCount-r15      NextHopChainingCount
    },
    epc-To5GC                       SEQUENCE {
      securityAlgorithmConfig-r15    SecurityAlgorithmConfig,
      nas-Container-r15              OCTET STRING
    }
  },
  ...
}

TDM-PatternConfig-r15 ::=        CHOICE {
  release                          NULL,
  setup                             SEQUENCE {
    subframeAssignment-r15          SubframeAssignment-r15,
    harq-Offset-r15                 INTEGER (0..9)
  }
}

-- ASN1STOP

```

| <b><i>RRCConnectionReconfiguration</i> field descriptions</b>  |
|--|
| <p><b><i>dedicatedInfoNASList</i></b><br/>This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list. If <i>dedicatedInfoNASList-r15</i> is present, UE shall ignore the <i>dedicatedInfoNASList</i> (without suffix).</p>   |
| <p><b><i>endc-ReleaseAndAdd</i></b><br/>A one-shot field indicating whether the UE simultaneously releases and adds all the NR SCG related configuration within <i>nr-Config</i>, i.e. the configuration set by the NR <i>RRCReconfiguration</i> message (e.g. <i>secondaryCellGroup</i>, <i>SRB3</i> and <i>measConfig</i>).</p>  |
| <p><b><i>fullConfig</i></b><br/>Indicates the full configuration option is applicable for the RRC Connection Reconfiguration message for intra-system intra-RAT handover. For inter-RAT handover from NR to E-UTRA, <i>fullConfig</i> indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. This field is absent when the <i>RRCConnectionReconfiguration</i> message is generated by the E-UTRA SCG.</p>  |
| <p><b><i>harq-Offset</i></b><br/>Indicates a HARQ subframe offset that is applied to the subframes designated as UL in the associated subframe assignment, see TS 36.213 [23].</p>   |
| <p><b><i>keyChangeIndicator</i></b><br/>If UE is connected to EPC, true is used only in an intra-cell handover when a <math>K_{eNB}</math> key is derived from a <math>K_{ASME}</math> key taken into use through the latest successful NAS SMC procedure, as described in TS 33.401 [32] for <math>K_{eNB}</math> re-keying. false is used in an intra-LTE handover when the new <math>K_{eNB}</math> key is obtained from the current <math>K_{eNB}</math> key or from the NH as described in TS 33.401 [32].<br/>If UE is connected to 5GC, with <i>keyChangeIndicator-r15</i>, true is used in an intra-cell handover when a <math>K_{eNB}</math> key is derived from a <math>K_{AMF}</math> key taken into use through the latest successful NAS SMC procedure, as described in TS 33.501 [86] for <math>K_{eNB}</math> re-keying.<br/>False is used for intra-system handover when the new <math>K_{eNB}</math> key is obtained from the current <math>K_{eNB}</math> key or from the NH as described in TS 33.501 [86]. True is also used in NG based handover procedure with <math>K_{AMF}</math> change, when a <math>K_{eNB}</math> key is derived from the new <math>K_{AMF}</math> key as described in TS 33.501 [86].</p> |
| <p><b><i>lwa-Configuration</i></b><br/>This field is used to provide parameters for LWA configuration. E-UTRAN does not simultaneously configure LWA with DC, LWIP or RCLWI for a UE.</p>  |
| <p><b><i>lwip-Configuration</i></b><br/>This field is used to provide parameters for LWIP configuration. E-UTRAN does not simultaneously configure LWIP with DC, LWA or RCLWI for a UE.</p>  |
| <p><b><i>measConfig</i></b><br/>Measurements that E-UTRAN may configure when the UE is not configured with NE-DC.</p>  |
| <p><b><i>measConfigSN</i></b><br/>Measurements that E-UTRAN may configure when the UE is configured with NE-DC and for which reports are carried within an NR RRC message.</p>   |
| <p><b><i>nas-Container</i></b><br/>This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although, if included, it affects activation of AS- security after handover within E-UTRA/5GC. The content is defined in TS 24.501 [95]. In case of NG based handover, the content of <i>nas-Container</i> is the Intra N1 mode NAS transparent container IE. In case of inter-system handover to from 5GS to EPS, the content of <i>NAS-Container</i> is the S1 mode to N1 mode NAS transparent container IE.</p>  |
| <p><b><i>nas-securityParamToEUTRA</i></b><br/>This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although, if included, it affects activation of AS- security after inter-RAT handover to E-UTRA/EPC or inter-system handover to E-UTRA/EPC. The content is defined in TS 24.301 [35]. This field is not used for handover from 5GC.</p>  |
| <p><b><i>networkControlledSyncTx</i></b><br/>This field indicates whether the UE shall transmit synchronisation information (i.e. become synchronisation source). Value <i>On</i> indicates the UE to transmit synchronisation information while value <i>Off</i> indicates the UE to not transmit such information.</p>   |
| <p><b><i>nextHopChainingCount</i></b><br/>Parameter NCC: See TS 33.401 [32] if UE is connected to EPC, else see 33.501 [86] if UE is connected to 5GC.</p>   |
| <p><b><i>nr-Config</i></b><br/>Includes the NR related configurations. This field is used to configure (NG)EN-DC configuration, possibly in conjunction with fields <i>sk-Counter</i> and <i>nr-RadioBearerConfig1/2</i>. NOTE 1.</p>  |
| <p><b><i>nr-RadioBearerConfig1, nr-RadioBearerConfig2</i></b><br/>Includes the NR <i>RadioBearerConfig</i> IE as specified in TS 38.331 [82]. The field includes the configuration of RBs configured with NR PDCP.</p>   |
| <p><b><i>nr-SecondaryCellGroupConfig</i></b><br/>Includes the NR <i>RRCReconfiguration</i> message as specified in TS 38.331 [82]. In this version of the specification, the NR RRC message only includes fields <i>secondaryCellGroup</i> and/ or <i>measConfig</i>. If <i>nr-SecondaryCellGroupConfig</i> is configured, the network always includes this field upon MN handover to initiate an NR SCG reconfiguration with sync and key change.</p>   |

| <b>RRCConnectionReconfiguration field descriptions</b>  |
|---|
| <p><b>perCC-GapIndicationRequest</b><br/>Indicates that UE shall include <i>perCC-GapIndicationList</i> and <i>numFreqEffective</i> in the <i>RRCConnectionReconfigurationComplete</i> message. <i>numFreqEffectiveReduced</i> may also be included if frequencies are configured for reduced measurement performance.</p>  |
| <p><b>p-MaxEUTRA</b><br/>Indicates the maximum power available for LTE.</p>   |
| <p><b>p-MaxUE-FR1</b><br/>The maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1) across all cell groups. The maximum transmit power that the UE may use may be additionally limited on cell- or cell-group level. The field is optionally present, if (NG)EN-DC (nr-Config-r15) has been configured. It is absent otherwise.</p>   |
| <p><b>p-MeNB</b><br/>Indicates the guaranteed power for the MeNB, as specified in TS 36.213 [23]. The value N corresponds to N-1 in TS 36.213 [23].</p>   |
| <p><b>powerControlMode</b><br/>Indicates the power control mode used in DC. Value 1 corresponds to DC power control mode 1 and value 2 indicates DC power control mode 2, as specified in TS 36.213 [23].</p>   |
| <p><b>p-SeNB</b><br/>Indicates the guaranteed power for the SeNB as specified in TS 36.213 [23], Table 5.1.4.2-1. The value N corresponds to N-1 in TS 36.213 [23].</p>   |
| <p><b>rclwi-Configuration</b><br/>WLAN traffic steering command as specified in 5.6.16.2. E-UTRAN does not simultaneously configure RCLWI with DC, LWA or LWIP for a UE.</p>  |
| <p><b>sCellConfigCommon</b><br/>Indicates the common configuration for the SCell group.</p>   |
| <p><b>sCellGroupIndex</b><br/>Indicates the identity of SCell groups for which a common configuration is provided.</p>  |
| <p><b>sCellIndex</b><br/>The <i>sCellIndex</i> is unique within the scope of the UE. In case of DC, an SCG cell can not use the same value as used for an MCG cell. For <i>pSCellToAddMod</i>, if <i>sCellIndex-r13</i> is present the UE shall ignore <i>sCellIndex-r12</i>.</p>   |
| <p><b>sCellGroupToAddModList, sCellGroupToAddModListSCG</b><br/>Indicates the SCell group to be added or modified. E-UTRAN only configures at most 4 SCell groups per UE over all cell groups. SCell groups can only be configured for LTE SCells, and all SCells in an SCell group must belong to the same cell group.</p>   |
| <p><b>sCellGroupToReleaseList</b><br/>Indicates the SCell group to be released.</p>   |
| <p><b>sCellState</b><br/>A one-shot field that indicates whether the SCell shall be considered to be in activated or dormant state upon SCell configuration.</p>  |
| <p><b>sCellToAddModList, sCellToAddModListExt</b><br/>Indicates the SCell to be added or modified. E-UTRAN uses field <i>sCellToAddModList-r10</i> to add or modify SCells (with <i>sCellIndex-r10</i>) for a UE that does not support carrier aggregation with more than 5 component carriers. If E-UTRAN includes <i>sCellToAddModListExt-v1430</i> it includes the same number of entries, and listed in the same order, as in <i>sCellToAddModListExt-r13</i>. If E-UTRAN includes <i>sCellToAddModList-v1010</i> it includes the same number of entries, and listed in the same order, as in <i>sCellToAddModList-r10</i>. If E-UTRAN includes <i>sCellToAddModListExt-v1370</i> it includes the same number of entries, and listed in the same order, as in <i>sCellToAddModListExt-r13</i>. If E-UTRAN includes <i>sCellToAddModListExt-v13c0</i> it includes the same number of entries, and listed in the same order, as in <i>sCellToAddModListExt-r13</i>.</p> |
| <p><b>sCellToAddModListSCG, sCellToAddModListSCG-Ext</b><br/>Indicates the SCG cell to be added or modified. The field is used for SCG cells other than the PSCell (which is added/modified by field <i>pSCellToAddMod</i>). E-UTRAN uses field <i>sCellToAddModListSCG-r12</i> to add or modify SCells (with <i>sCellIndex-r10</i>) for a UE that does not support carrier aggregation with more than 5 component carriers. If E-UTRAN includes <i>sCellToAddModListSCG-v1010</i> it includes the same number of entries, and listed in the same order, as in <i>sCellToAddModListSCG-r12</i>. If E-UTRAN includes <i>sCellToAddModListSCG-Ext-v1370</i> it includes the same number of entries, and listed in the same order, as in <i>sCellToAddModListSCG-Ext-r13</i>. If E-UTRAN includes <i>sCellToAddModListSCG-Ext-v13c0</i> it includes the same number of entries, and listed in the same order, as in <i>sCellToAddModListSCG-Ext-r13</i>.</p>                 |
| <p><b>sCellToReleaseList, sCellToReleaseListExt</b><br/>Indicates the SCell to be released. E-UTRAN uses field <i>sCellToReleaseList-r10</i> to release SCells for a UE that does not support carrier aggregation with more than 5 component carriers.</p>  |
| <p><b>sCellToReleaseListSCG, sCellToReleaseListSCG-Ext</b><br/>Indicates the SCG cell to be released. The field is also used to release the PSCell e.g. upon change of PSCell, upon system information change for the PSCell. E-UTRAN uses field <i>sCellToReleaseListSCG-r12</i> to release SCells for a UE that does not support carrier aggregation with more than 5 component carriers.</p>   |
| <p><b>scg-Configuration</b><br/>Covers the SCG configuration as used in case of DC and NE-DC. When the UE is configured with NE-DC, E-UTRAN neither applies value release nor configures <i>scg-ConfigPartMCG</i>.</p>  |

| <b>RRCConnectionReconfiguration field descriptions</b>  |
|---|
| <p><b>scg-Counter</b><br/>A counter used upon initial configuration of SCG security as well as upon refresh of S-K<sub>eNB</sub>. E-UTRAN includes the field upon SCG change when one or more SCG DRBs are configured. Otherwise E-UTRAN does not include the field.</p>  |
| <p><b>securityConfigHO</b><br/>This field contains the parameters required to update the security keys at handover. If E-UTRAN includes the <i>securityConfigHO</i> (i.e., without suffix), the choice <i>intraLTE</i> is used for handover within E-UTRA/EPC while the choice <i>interRAT</i> is used for handover from GERAN or UTRAN to E-UTRA/EPC. If E-UTRAN includes the <i>securityConfigHO-v1530</i> (i.e., with suffix), the choice <i>intra5GC</i> is used for handover from NR or E-UTRA/5GC to E-UTRA/5GC while the choice <i>fivegc-ToEPC</i> is used for inter-system handover from NR or E-UTRA/5GC to E-UTRA/EPC and the choice <i>epc-To5GC</i> is used for inter-system handover from E-UTRA/EPC to E-UTRA/5GC.</p> |
| <p><b>sk-Counter</b><br/>A one-shot counter used upon initial configuration of S-K<sub>gNB</sub> as well as upon refresh of S-K<sub>gNB</sub>. E-UTRAN always provides this field either upon initial configuration of an NR SCG, or upon configuration of the first (SN terminated) RB using S-K<sub>gNB</sub>, whichever happens first.</p>   |
| <p><b>sl-V2X-ConfigDedicated</b><br/>Indicates sidelink configuration for non-P2X related V2X sidelink communication as well as P2X related V2X sidelink communication.</p>   |
| <p><b>smtc</b><br/>The SSB periodicity/offset/duration configuration of target cell for NR PSCell addition and SN change. It is based on timing reference of EUTRA PCell. NOTE 2.<br/>If the field is absent, the UE uses the SMTC in the <i>measObjectNR</i> having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message.</p>  |
| <p><b>srs-SwitchFromServCellIndex</b><br/>Indicates the serving cell whose UL transmission may be interrupted during SRS transmission on a PUSCH-less cell. During SRS transmission on a PUSCH-less cell, the UE may temporarily suspend the UL transmission on a serving cell with PUSCH in the same CG to allow the PUSCH-less cell to transmit SRS. The PUSCH-less cell is always a TDD cell but the serving cell with PUSCH may be either a FDD or TDD cell.</p>  |
| <p><b>subframeAssignment</b><br/>Indicates DL/UL subframe configuration where sa0 points to Configuration 0, sa1 to Configuration 1 etc. as specified in TS 36.211 [21], table 4.2-2.</p>   |
| <p><b>systemInformationBlockType1Dedicated</b><br/>This field is used to transfer <i>SystemInformationBlockType1</i> or <i>SystemInformationBlockType1-BR</i> to the UE.</p>  |
| <p><b>systemInformationBlockType2Dedicated</b><br/>This field is used to transfer BR version of <i>SystemInformationBlockType2</i> to BL UEs or UEs in CE or <i>SystemInformationBlockType2</i> to non-BL UEs.</p>  |
| <p><b>t350</b><br/>Timer T350 as described in clause 7.3. Value <i>minN</i> corresponds to N minutes.</p>   |
| <p><b>tdm-PatternConfig</b><br/>UL/DL reference configuration indicating the time during which a UE configured with (NG)EN-DC is allowed to transmit. This field is used when power control or IMD issues require single UL transmission as specified in TS 38.101-3 [101] and TS 38.213 [88].</p>  |
| <p><b>tdm-PatternConfigNE-DC</b><br/>UL/DL reference configuration indicating the time during which a UE configured with NE-DC is allowed to transmit. This field is used when power control or IMD issues require single UL transmission as specified in TS 38.101-3 [101] and TS 38.213 [88].</p>   |



| Conditional presence | Explanation   |
|----------------------|---|
| <i>EARFCN-max</i>    | The field is mandatory present if <i>dl-CarrierFreq-r10</i> is included and set to <i>maxEARFCN</i> . Otherwise the field is not present.   |
| <i>FDD-PCell</i>     | This field is optionally present, need ON, for a FDD PCell if there is no SCell with configured uplink. Otherwise, the field is not present.  |
| <i>FDD-PSCell</i>    | This field is optionally present, need ON, for a FDD PSCell if there is no SCell with configured uplink. Otherwise, the field is not present.   |
| <i>fullConfig</i>    | This field is mandatory present for handover within E-UTRA when the <i>fullConfig</i> is included; otherwise it is optionally present, Need OP.   |
| <i>HO</i>            | The field is mandatory present in case of handover within E-UTRA or to E-UTRA; otherwise the field is not present.  |
| <i>HO-Reestab</i>    | The field is mandatory present in case of inter-system handover within E-UTRA or handover from NR to E-UTRA/EPC; it is optionally present, need ON, in case of intra-system handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment; or for intra-system handover from NR to E-UTRA, otherwise the field is not present. |
| <i>HO-5GC</i>        | The field is mandatory present in case of handover within E-UTRA/5GC, handover to E-UTRA/5GC, handover from NR to E-UTRA/EPC, or handover from E-UTRA/5GC to E-UTRA/EPC, otherwise the field is not present.  |
| <i>HO-toEPC</i>      | The field is mandatory present in case of handover within E-UTRA/EPC or to E-UTRA/EPC, except handover from NR or E-UTRA/5GC, otherwise the field is not present.   |
| <i>HO-toEUTRA</i>    | The field is mandatory present in case of handover to E-UTRA or for reconfigurations when <i>fullConfig</i> is included; otherwise the field is optionally present, need ON.  |
| <i>nonFullConfig</i> | The field is not present when the <i>fullConfig</i> is included or in case of handover to E-UTRA; otherwise it is optional present, need ON.  |
| <i>nonHO</i>         | The field is not present in case of handover within E-UTRA or to E-UTRA; otherwise it is optional present, need ON.   |
| <i>SCellAdd</i>      | The field is mandatory present upon SCell addition; otherwise it is not present.  |
| <i>SCellAdd2</i>     | The field is mandatory present upon SCell addition; otherwise it is optionally present, need ON.  |

NOTE 1: Fields *sk-Counter* and *nr-RadioBearerConfig1/2* are placed outside *nr-Config*, as these may be configured while the UE is not configured with (NG)EN-DC.

NOTE 2: It is not specified whether the timing reference for the SMTC configuration is the source EUTRA PCell or the target EUTRA PCell in case the NR PSCell addition or SN change takes place simultaneously with handover. As a consequence, explicit SMTC configuration is only supported when the source EUTRA PCell and the target EUTRA PCell of the handover are SFN/subframe-synchronized.

## – *RRCCConnectionReconfigurationComplete*

The *RRCCConnectionReconfigurationComplete* message is used to confirm the successful completion of an RRC connection reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### *RRCCConnectionReconfigurationComplete* message

```
-- ASN1START
RRCCConnectionReconfigurationComplete ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        rrcConnectionReconfigurationComplete-r8
        RRCConnectionReconfigurationComplete-r8-IEs,
    }
    criticalExtensionsFuture      SEQUENCE {}
}

RRCCConnectionReconfigurationComplete-r8-IEs ::= SEQUENCE {
    nonCriticalExtension          RRCConnectionReconfigurationComplete-v8a0-IEs OPTIONAL
}
```

```

}
RRCConnectionReconfigurationComplete-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING                OPTIONAL,
    nonCriticalExtension          RRCConnectionReconfigurationComplete-v1020-IEs OPTIONAL
}
RRCConnectionReconfigurationComplete-v1020-IEs ::= SEQUENCE {
    rlf-InfoAvailable-r10        ENUMERATED {true}            OPTIONAL,
    logMeasAvailable-r10        ENUMERATED {true}            OPTIONAL,
    nonCriticalExtension          RRCConnectionReconfigurationComplete-v1130-IEs OPTIONAL
}
RRCConnectionReconfigurationComplete-v1130-IEs ::= SEQUENCE {
    connEstFailInfoAvailable-r11 ENUMERATED {true}            OPTIONAL,
    nonCriticalExtension          RRCConnectionReconfigurationComplete-v1250-IEs OPTIONAL
}
RRCConnectionReconfigurationComplete-v1250-IEs ::= SEQUENCE {
    logMeasAvailableMBSFN-r12    ENUMERATED {true}            OPTIONAL,
    nonCriticalExtension          RRCConnectionReconfigurationComplete-v1430-IEs
    OPTIONAL
}
RRCConnectionReconfigurationComplete-v1430-IEs ::= SEQUENCE {
    perCC-GapIndicationList-r14  PerCC-GapIndicationList-r14  OPTIONAL,
    numFreqEffective-r14         INTEGER (1..12)                OPTIONAL,
    numFreqEffectiveReduced-r14  INTEGER (1..12)                OPTIONAL,
    nonCriticalExtension          RRCConnectionReconfigurationComplete-v1510-IEs
    OPTIONAL
}
RRCConnectionReconfigurationComplete-v1510-IEs ::= SEQUENCE {
    scg-ConfigResponseNR-r15     OCTET STRING                OPTIONAL,
    nonCriticalExtension          RRCConnectionReconfigurationComplete-v1530-IEs
    OPTIONAL
}
RRCConnectionReconfigurationComplete-v1530-IEs ::= SEQUENCE {
    logMeasAvailableBT-r15       ENUMERATED {true}            OPTIONAL,
    logMeasAvailableWLAN-r15     ENUMERATED {true}            OPTIONAL,
    flightPathInfoAvailable-r15  ENUMERATED {true}            OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                       OPTIONAL
}
-- ASN1STOP

```

#### ***RRCConnectionReconfigurationComplete* field descriptions**

##### ***numFreqEffective***

This field is used to indicate the number of effective frequencies that a UE measures in series according to TS 36.133 [16]. Simultaneous measurement in parallel on multiple frequencies can be equivalent to a single effective frequency. The frequencies configured for reduced measurement performance should not be included.

##### ***numFreqEffectiveReduced***

This field is used to indicate the number of effective frequencies that a UE measures in series according to TS 36.133 [16] for frequencies configured for reduced measurement performance. Simultaneous measurement in parallel on multiple frequencies can be equivalent to a single effective frequency.

##### ***perCC-GapIndicationList***

This field is used to indicate per CC measurement gap preference by the UE.

##### ***scg-ConfigResponseNR***

Includes the NR *RRCReconfigurationComplete* message as defined in TS 38.331 [82].

#### ***RRCConnectionReestablishment***

The *RRCConnectionReestablishment* message is used to re-establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### ***RRCConnectionReestablishment message***

```
-- ASN1START
RRCConnectionReestablishment ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                        CHOICE {
            rrcConnectionReestablishment-r8      RRCConnectionReestablishment-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4      NULL,
            spare3 NULL, spare2 NULL, spare1      NULL
        },
        criticalExtensionsFuture      SEQUENCE {}
    }
}

RRCConnectionReestablishment-r8-IEs ::= SEQUENCE {
    radioResourceConfigDedicated      RadioResourceConfigDedicated,
    nextHopChainingCount              NextHopChainingCount,
    nonCriticalExtension                RRCConnectionReestablishment-v8a0-IEs OPTIONAL
}

RRCConnectionReestablishment-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension            OCTET STRING OPTIONAL,
    nonCriticalExtension                SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

### ***RRCConnectionReestablishmentComplete***

The *RRCConnectionReestablishmentComplete* message is used to confirm the successful completion of an RRC connection re-establishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***RRCConnectionReestablishmentComplete message***

```
-- ASN1START
RRCConnectionReestablishmentComplete ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        rrcConnectionReestablishmentComplete-r8      RRCConnectionReestablishmentComplete-r8-IEs,
        criticalExtensionsFuture      SEQUENCE {}
    }
}

RRCConnectionReestablishmentComplete-r8-IEs ::= SEQUENCE {
    nonCriticalExtension            RRCConnectionReestablishmentComplete-v920-IEs OPTIONAL
}

RRCConnectionReestablishmentComplete-v920-IEs ::= SEQUENCE {
    rlf-InfoAvailable-r9            ENUMERATED {true} OPTIONAL,
    nonCriticalExtension            RRCConnectionReestablishmentComplete-v8a0-IEs OPTIONAL
}
-- ASN1STOP
```

```

RRCCConnectionReestablishmentComplete-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING                OPTIONAL,
    nonCriticalExtension          RRCCConnectionReestablishmentComplete-v1020-IEs  OPTIONAL
}

RRCCConnectionReestablishmentComplete-v1020-IEs ::= SEQUENCE {
    logMeasAvailable-r10         ENUMERATED {true}          OPTIONAL,
    nonCriticalExtension          RRCCConnectionReestablishmentComplete-v1130-IEs  OPTIONAL
}

RRCCConnectionReestablishmentComplete-v1130-IEs ::= SEQUENCE {
    connEstFailInfoAvailable-r11 ENUMERATED {true}          OPTIONAL,
    nonCriticalExtension          RRCCConnectionReestablishmentComplete-v1250-IEs  OPTIONAL
}

RRCCConnectionReestablishmentComplete-v1250-IEs ::= SEQUENCE {
    logMeasAvailableMBSFN-r12    ENUMERATED {true}          OPTIONAL,
    nonCriticalExtension          RRCCConnectionReestablishmentComplete-v1530-IEs  OPTIONAL
}

RRCCConnectionReestablishmentComplete-v1530-IEs ::= SEQUENCE {
    logMeasAvailableBT-r15       ENUMERATED {true}          OPTIONAL,
    logMeasAvailableWLAN-r15     ENUMERATED {true}          OPTIONAL,
    flightPathInfoAvailable-r15  ENUMERATED {true}          OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                       OPTIONAL
}

-- ASN1STOP

```

#### ***RRCCConnectionReestablishmentComplete* field descriptions**

##### ***rif-InfoAvailable***

This field is used to indicate the availability of radio link failure or handover failure related measurements

#### – ***RRCCConnectionReestablishmentReject***

The *RRCCConnectionReestablishmentReject* message is used to indicate the rejection of an RRC connection re-establishment request.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

#### ***RRCCConnectionReestablishmentReject* message**

```

-- ASN1START

RRCCConnectionReestablishmentReject ::= SEQUENCE {
    criticalExtensions          CHOICE {
        rrcConnectionReestablishmentReject-r8
        criticalExtensionsFuture SEQUENCE {}
    }
}

RRCCConnectionReestablishmentReject-r8-IEs ::= SEQUENCE {
    nonCriticalExtension        RRCCConnectionReestablishmentReject-v8a0-IEs  OPTIONAL
}

RRCCConnectionReestablishmentReject-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension    OCTET STRING                OPTIONAL,
    nonCriticalExtension        SEQUENCE {}                       OPTIONAL
}

-- ASN1STOP

```

## – *RRCCConnectionReestablishmentRequest*

The *RRCCConnectionReestablishmentRequest* message is used to request the reestablishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

### *RRCCConnectionReestablishmentRequest* message

```
-- ASN1START
RRCCConnectionReestablishmentRequest ::= SEQUENCE {
    criticalExtensions          CHOICE {
        rrcConnectionReestablishmentRequest-r8
        criticalExtensionsFuture SEQUENCE {}
    }
}

RRCCConnectionReestablishmentRequest-r8-IEs ::= SEQUENCE {
    ue-Identity           ReestabUE-Identity,
    reestablishmentCause ReestablishmentCause,
    spare                 BIT STRING (SIZE (2))
}

ReestabUE-Identity ::= SEQUENCE {
    c-RNTI           C-RNTI,
    physCellId      PhysCellId,
    shortMAC-I      ShortMAC-I
}

ReestablishmentCause ::= ENUMERATED {
    reconfigurationFailure, handoverFailure,
    otherFailure, spare1
}
-- ASN1STOP
```

#### *RRCCConnectionReestablishmentRequest* field descriptions

***physCellId***

The Physical Cell Identity of the PCell the UE was connected to prior to the failure.

***reestablishmentCause***

Indicates the failure cause that triggered the re-establishment procedure. eNB is not expected to reject a *RRCCConnectionReestablishmentRequest* due to unknown cause value being used by the UE.

***ue-Identity***

UE identity included to retrieve UE context and to facilitate contention resolution by lower layers.

## – *RRCCConnectionReject*

The *RRCCConnectionReject* message is used to reject the RRC connection establishment or to reject the EDT procedure.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### *RRCCConnectionReject* message

```
-- ASN1START
RRCCConnectionReject ::= SEQUENCE {
```

```

criticalExtensions          CHOICE {
  c1                        CHOICE {
    rrcConnectionReject-r8  RRCCConnectionReject-r8-IEs,
    spare3 NULL, spare2 NULL, spare1 NULL
  },
  criticalExtensionsFuture  SEQUENCE {}
}
}

RRCCConnectionReject-r8-IEs ::= SEQUENCE {
  waitTime                INTEGER (1..16),
  nonCriticalExtension    RRCCConnectionReject-v8a0-IEs    OPTIONAL
}

RRCCConnectionReject-v8a0-IEs ::= SEQUENCE {
  lateNonCriticalExtension OCTET STRING                    OPTIONAL,
  nonCriticalExtension    RRCCConnectionReject-v1020-IEs  OPTIONAL
}

RRCCConnectionReject-v1020-IEs ::= SEQUENCE {
  extendedWaitTime-r10    INTEGER (1..1800)                OPTIONAL, -- Need ON
  nonCriticalExtension    RRCCConnectionReject-v1130-IEs  OPTIONAL
}

RRCCConnectionReject-v1130-IEs ::= SEQUENCE {
  deprioritisationReq-r11 SEQUENCE {
    deprioritisationType-r11  ENUMERATED {frequency, e-utra},
    deprioritisationTimer-r11 ENUMERATED {min5, min10, min15, min30}
  }
  nonCriticalExtension    RRCCConnectionReject-v1320-IEs  OPTIONAL, -- Need ON
  OPTIONAL
}

RRCCConnectionReject-v1320-IEs ::= SEQUENCE {
  rrc-SuspendIndication-r13 ENUMERATED {true}              OPTIONAL, -- Need ON
  nonCriticalExtension    SEQUENCE {}                      OPTIONAL
}

-- ASN1STOP

```

#### ***RRCCConnectionReject* field descriptions**

***deprioritisationReq***

Indicates whether the current frequency or RAT is to be de-prioritised. The UE shall be able to store a deprioritisation request for up to 8 frequencies (applicable when receiving another frequency specific deprioritisation request before T325 expiry).

***deprioritisationTimer***

Indicates the period for which either the current carrier frequency or E-UTRA is deprioritised. Value *minN* corresponds to N minutes.

***extendedWaitTime***

Value in seconds for the wait time for Delay Tolerant access requests.

***rrc-SuspendIndication***

If present, this field indicates that the UE should remain suspended and not release its stored context.

***waitTime***

Wait time value in seconds.

#### ***RRCCConnectionRelease***

The *RRCCConnectionRelease* message is used to command the release of an RRC connection, or to complete an UP-EDT procedure.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***RRCCONNECTIONRELEASE message***

```

-- ASN1START
RRCCONNECTIONRELEASE ::= SEQUENCE {
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  criticalExtensions CHOICE {
    c1 CHOICE {
      rrcConnectionRelease-r8 RRCConnectionRelease-r8-IEs,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture SEQUENCE {}
  }
}

RRCCONNECTIONRELEASE-r8-IEs ::= SEQUENCE {
  releaseCause ReleaseCause,
  redirectedCarrierInfo RedirectedCarrierInfo OPTIONAL, -- Need ON
  idleModeMobilityControlInfo IdleModeMobilityControlInfo OPTIONAL, -- Need OP
  nonCriticalExtension RRCCONNECTIONRELEASE-v890-IEs OPTIONAL
}

RRCCONNECTIONRELEASE-v890-IEs ::= SEQUENCE {
  lateNonCriticalExtension OCTET STRING (CONTAINING RRCCONNECTIONRELEASE-v9e0-IEs)
  OPTIONAL,
  nonCriticalExtension RRCCONNECTIONRELEASE-v920-IEs OPTIONAL
}

-- Late non critical extensions
RRCCONNECTIONRELEASE-v9e0-IEs ::= SEQUENCE {
  redirectedCarrierInfo-v9e0 RedirectedCarrierInfo-v9e0 OPTIONAL, -- Cond
  NoRedirect-r8
  idleModeMobilityControlInfo-v9e0 IdleModeMobilityControlInfo-v9e0 OPTIONAL, -- Cond
  IdleInfoEUTRA
  nonCriticalExtension SEQUENCE {} OPTIONAL
}

-- Regular non critical extensions
RRCCONNECTIONRELEASE-v920-IEs ::= SEQUENCE {
  cellInfoList-r9 CHOICE {
    geran-r9 CellInfoListGERAN-r9,
    utra-FDD-r9 CellInfoListUTRA-FDD-r9,
    utra-TDD-r9 CellInfoListUTRA-TDD-r9,
    ...,
    utra-TDD-r10 CellInfoListUTRA-TDD-r10
  }
  OPTIONAL, -- Cond Redirection
  nonCriticalExtension RRCCONNECTIONRELEASE-v1020-IEs OPTIONAL
}

RRCCONNECTIONRELEASE-v1020-IEs ::= SEQUENCE {
  extendedWaitTime-r10 INTEGER (1..1800) OPTIONAL, -- Need ON
  nonCriticalExtension RRCCONNECTIONRELEASE-v1320-IEs OPTIONAL
}

RRCCONNECTIONRELEASE-v1320-IEs ::= SEQUENCE {
  resumeIdentity-r13 ResumeIdentity-r13 OPTIONAL, -- Need OR
  nonCriticalExtension RRCCONNECTIONRELEASE-v1530-IEs OPTIONAL
}

RRCCONNECTIONRELEASE-v1530-IEs ::= SEQUENCE {
  drb-ContinueROHC-r15 ENUMERATED {true} OPTIONAL, -- Cond UP-EDT
  nextHopChainingCount-r15 NextHopChainingCount OPTIONAL, -- Cond UP-EDT
  measIdleConfig-r15 MeasIdleConfigDedicated-r15 OPTIONAL, -- Need ON
  rrc-InactiveConfig-r15 RRC-InactiveConfig-r15 OPTIONAL, -- Need OR
  cn-Type-r15 ENUMERATED {epc,fivegc} OPTIONAL, -- Need OR
  nonCriticalExtension RRCCONNECTIONRELEASE-v1540-IEs OPTIONAL
}

RRCCONNECTIONRELEASE-v1540-IEs ::= SEQUENCE {

```

```

    waitTime                INTEGER (1..16)                OPTIONAL, -- Cond 5GC
    nonCriticalExtension     RRCCConnectionRelease-v15b0-IEs  OPTIONAL
}

RRCCConnectionRelease-v15b0-IEs ::= SEQUENCE {
    noLastCellUpdate-r15    ENUMERATED {true}            OPTIONAL, -- Need OP
    nonCriticalExtension     SEQUENCE {}                  OPTIONAL
}

ReleaseCause ::=          ENUMERATED {loadBalancingTArequired,
                                     other, cs-FallbackHighPriority-v1020, rrc-Suspend-v1320}

RedirectedCarrierInfo ::= CHOICE {
    eutra                    ARFCN-ValueEUTRA,
    geran                    CarrierFreqsGERAN,
    utra-FDD                 ARFCN-ValueUTRA,
    utra-TDD                 ARFCN-ValueUTRA,
    cdma2000-HRPD            CarrierFreqCDMA2000,
    cdma2000-1xRTT          CarrierFreqCDMA2000,
    ...,
    utra-TDD-r10            CarrierFreqListUTRA-TDD-r10,
    nr-r15                   CarrierInfoNR-r15
}

RedirectedCarrierInfo-v9e0 ::= SEQUENCE {
    eutra-v9e0              ARFCN-ValueEUTRA-v9e0
}

RRC-InactiveConfig-r15 ::= SEQUENCE {
    fullI-RNTI-r15         I-RNTI-r15,
    shortI-RNTI-r15       ShortI-RNTI-r15,
    ran-PagingCycle-r15    ENUMERATED { rf32, rf64, rf128, rf256}  OPTIONAL, --Need
OR
    ran-NotificationAreaInfo-r15 RAN-NotificationAreaInfo-r15  OPTIONAL, --Need ON
    periodic-RNAU-timer-r15     ENUMERATED {min5, min10, min20, min30, min60,
                                             min120, min360, min720}  OPTIONAL, --Need OR
    nextHopChainingCount-r15    NextHopChainingCount  OPTIONAL, --Cond INACTIVE
    dummy                       SEQUENCE{}  OPTIONAL
}

RAN-NotificationAreaInfo-r15 ::= CHOICE {
    cellList                PLMN-RAN-AreaCellList-r15,
    ran-AreaConfigList      PLMN-RAN-AreaConfigList-r15
}

PLMN-RAN-AreaCellList-r15 ::= SEQUENCE (SIZE (1..maxPLMN-r15)) OF PLMN-RAN-AreaCell-r15

PLMN-RAN-AreaCell-r15 ::= SEQUENCE {
    plmn-Identity-r15       PLMN-Identity  OPTIONAL,
    ran-AreaCells-r15       SEQUENCE (SIZE (1..32)) OF CellIdentity
}

PLMN-RAN-AreaConfigList-r15 ::= SEQUENCE (SIZE (1..maxPLMN-r15)) OF PLMN-RAN-AreaConfig-r15

PLMN-RAN-AreaConfig-r15 ::= SEQUENCE {
    plmn-Identity-r15       PLMN-Identity  OPTIONAL,
    ran-Area-r15            SEQUENCE (SIZE (1..16)) OF RAN-AreaConfig-r15
}

RAN-AreaConfig-r15 ::= SEQUENCE {
    trackingAreaCode-5GC-r15 TrackingAreaCode-5GC-r15,
    ran-AreaCodeList-r15    SEQUENCE (SIZE (1..32)) OF RAN-AreaCode-r15  OPTIONAL --Need OR
}

CarrierFreqListUTRA-TDD-r10 ::= SEQUENCE (SIZE (1..maxFreqUTRA-TDD-r10)) OF ARFCN-ValueUTRA

IdleModeMobilityControlInfo ::= SEQUENCE {
    freqPriorityListEUTRA    FreqPriorityListEUTRA  OPTIONAL, -- Need ON
    freqPriorityListGERAN    FreqsPriorityListGERAN  OPTIONAL, -- Need ON
    freqPriorityListUTRA-FDD FreqPriorityListUTRA-FDD  OPTIONAL, -- Need ON
    freqPriorityListUTRA-TDD FreqPriorityListUTRA-TDD  OPTIONAL, -- Need ON
    bandClassPriorityListHRPD BandClassPriorityListHRPD  OPTIONAL, -- Need ON
    bandClassPriorityListLXRTT BandClassPriorityListLXRTT  OPTIONAL, -- Need ON
    t320                    ENUMERATED {
        min5, min10, min20, min30, min60, min120, min180,
        spare1}  OPTIONAL, -- Need OR
    ...
}

```



```

    [[ freqPriorityListExtEUTRA-r12          FreqPriorityListExtEUTRA-r12          OPTIONAL          --
Need ON
    ]],
    [[ freqPriorityListEUTRA-v1310          FreqPriorityListEUTRA-v1310          OPTIONAL,         --
Need ON
    freqPriorityListExtEUTRA-v1310          FreqPriorityListExtEUTRA-v1310          OPTIONAL          --
Need ON
    ]],
    [[ freqPriorityListNR-r15                FreqPriorityListNR-r15                OPTIONAL          -- Need ON
    ]]
}

IdleModeMobilityControlInfo-v9e0 ::= SEQUENCE {
    freqPriorityListEUTRA-v9e0          SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-v9e0
}

FreqPriorityListEUTRA ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA

FreqPriorityListExtEUTRA-r12 ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-r12

FreqPriorityListEUTRA-v1310 ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-v1310

FreqPriorityListExtEUTRA-v1310 ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-v1310

FreqPriorityEUTRA ::= SEQUENCE {
    carrierFreq                        ARFCN-ValueEUTRA,
    cellReselectionPriority            CellReselectionPriority
}

FreqPriorityEUTRA-v9e0 ::= SEQUENCE {
    carrierFreq-v9e0                  ARFCN-ValueEUTRA-v9e0          OPTIONAL          -- Cond EARFCN-max
}

FreqPriorityEUTRA-r12 ::= SEQUENCE {
    carrierFreq-r12                   ARFCN-ValueEUTRA-r9,
    cellReselectionPriority-r12       CellReselectionPriority
}

FreqPriorityEUTRA-v1310 ::= SEQUENCE {
    cellReselectionSubPriority-r13    CellReselectionSubPriority-r13    OPTIONAL          --
Need ON
}

FreqPriorityListNR-r15 ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityNR-r15

FreqPriorityNR-r15 ::= SEQUENCE {
    carrierFreq-r15                   ARFCN-ValueNR-r15,
    cellReselectionPriority-r15       CellReselectionPriority,
    cellReselectionSubPriority-r15    CellReselectionSubPriority-r13    OPTIONAL          -- Need
OR
}

FreqsPriorityListGERAN ::= SEQUENCE (SIZE (1..maxGNFG)) OF FreqsPriorityGERAN

FreqsPriorityGERAN ::= SEQUENCE {
    carrierFreqs                      CarrierFreqsGERAN,
    cellReselectionPriority            CellReselectionPriority
}

FreqPriorityListUTRA-FDD ::= SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF FreqPriorityUTRA-FDD

FreqPriorityUTRA-FDD ::= SEQUENCE {
    carrierFreq                       ARFCN-ValueUTRA,
    cellReselectionPriority            CellReselectionPriority
}

FreqPriorityListUTRA-TDD ::= SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF FreqPriorityUTRA-TDD

FreqPriorityUTRA-TDD ::= SEQUENCE {
    carrierFreq                       ARFCN-ValueUTRA,
    cellReselectionPriority            CellReselectionPriority
}

BandClassPriorityListHRPD ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassPriorityHRPD

BandClassPriorityHRPD ::= SEQUENCE {
    bandClass                         BandclassCDMA2000,
    cellReselectionPriority            CellReselectionPriority
}

```

```

}
BandClassPriorityList1XRTT ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassPriority1XRTT
BandClassPriority1XRTT ::=
    SEQUENCE {
        bandClass          BandclassCDMA2000,
        cellReselectionPriority CellReselectionPriority
    }
CellInfoListGERAN-r9 ::= SEQUENCE (SIZE (1..maxCellInfoGERAN-r9)) OF CellInfoGERAN-r9
CellInfoGERAN-r9 ::= SEQUENCE {
    physCellId-r9          PhysCellIdGERAN,
    carrierFreq-r9         CarrierFreqGERAN,
    systemInformation-r9   SystemInfoListGERAN
}
CarrierInfoNR-r15 ::= SEQUENCE {
    carrierFreq-r15        ARFCN-ValueNR-r15,
    subcarrierSpacingSSB-r15 ENUMERATED {kHz15, kHz30, kHz120, kHz240},
    smtc-r15               MTC-SSB-NR-r15          OPTIONAL      -- Need OP
}
CellInfoListUTRA-FDD-r9 ::= SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-FDD-r9
CellInfoUTRA-FDD-r9 ::= SEQUENCE {
    physCellId-r9          PhysCellIdUTRA-FDD,
    ultra-BCCH-Container-r9 OCTET STRING
}
CellInfoListUTRA-TDD-r9 ::= SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-TDD-r9
CellInfoUTRA-TDD-r9 ::= SEQUENCE {
    physCellId-r9          PhysCellIdUTRA-TDD,
    ultra-BCCH-Container-r9 OCTET STRING
}
CellInfoListUTRA-TDD-r10 ::= SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-TDD-r10
CellInfoUTRA-TDD-r10 ::= SEQUENCE {
    physCellId-r10         PhysCellIdUTRA-TDD,
    carrierFreq-r10        ARFCN-ValueUTRA,
    ultra-BCCH-Container-r10 OCTET STRING
}
-- ASN1STOP

```

| <b>RRCConnectionRelease field descriptions</b>   |
|--|
| <p><b>carrierFreq or bandClass</b><br/>The carrier frequency (UTRA, E-UTRA, and NR) and band class (HRPD and 1xRTT) for which the associated cellReselectionPriority is applied. For NR, the <i>ARFCN-ValueNR</i> corresponds to a GSCN value as specified in TS 38.101 [85].</p>  |
| <p><b>carrierFreqs</b><br/>The list of GERAN carrier frequencies organised into one group of GERAN carrier frequencies.</p>  |
| <p><b>cellInfoList</b><br/>Used to provide system information of one or more cells on the redirected inter-RAT carrier frequency. The system information can be used if, upon redirection, the UE selects an inter-RAT cell indicated by the <i>physCellId</i> and <i>carrierFreq</i> (GERAN and UTRA TDD) or by the <i>physCellId</i> (other RATs). The choice shall match the <i>redirectedCarrierInfo</i>. In particular, E-UTRAN only applies value <i>utra-TDD-r10</i> in case <i>redirectedCarrierInfo</i> is set to <i>utra-TDD-r10</i>.</p>  |
| <p><b>cellList</b><br/>Indicates a list of cells configured as RAN area. For each element, in the absence of <i>plmn-Identity</i> the UE considers the registered PLMN. Total number of cells across all PLMNs does not exceed 32.</p>   |
| <p><b>cn-Type</b><br/>The <i>cn-Type</i> is used to indicate that the UE is redirected from 5GC to EPC or 5GC when <i>redirectedCarrierInfo</i> indicates E-UTRA frequency.</p>  |
| <p><b>drb-ContinueROHC</b><br/>This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues when UE initiates UP-EDT in the same cell, while absence indicates that the header compression protocol context is reset.</p>  |
| <p><b>dummy</b><br/>This field is not used in the specification. If received it shall be ignored by the UE.</p>  |
| <p><b>extendedWaitTime</b><br/>Value in seconds for the wait time for Delay Tolerant access requests.</p>  |
| <p><b>freqPriorityListX</b><br/>Provides a cell reselection priority for each frequency, by means of separate lists for each RAT (including E-UTRA). The UE shall be able to store at least 3 occurrences of <i>FreqsPriorityGERAN</i>. If E-UTRAN includes <i>freqPriorityListEUTRA-v9e0</i> and/or <i>freqPriorityListEUTRA-v1310</i> it includes the same number of entries, and listed in the same order, as in <i>freqPriorityListEUTRA</i> (i.e. without suffix). Field <i>freqPriorityListExt</i> includes additional neighbouring inter-frequencies, i.e. extending the size of the inter-frequency carrier list using the general principles specified in 5.1.2. EUTRAN only includes <i>freqPriorityListExtEUTRA</i> if <i>freqPriorityListEUTRA</i> (i.e. without suffix) includes <i>maxFreq</i> entries. If E-UTRAN includes <i>freqPriorityListExtEUTRA-v1310</i> it includes the same number of entries, and listed in the same order, as in <i>freqPriorityListExtEUTRA-r12</i>.</p> |
| <p><b>idleModeMobilityControllInfo</b><br/>Provides dedicated cell reselection priorities. Used for cell reselection as specified in TS 36.304 [4]. For E-UTRA and UTRA frequencies, a UE that supports multi-band cells for the concerned RAT considers the dedicated priorities to be common for all overlapping bands (i.e. regardless of the ARFCN that is used).</p>  |
| <p><b>measIdleConfig</b><br/>Indicates a one-shot measurement configuration to be stored and used by the UE while in RRC_IDLE or RRC_INACTIVE.</p>   |
| <p><b>noLastCellUpdate</b><br/>Presence of the field indicates that the last used cell for WUS shall not be updated.</p>   |
| <p><b>periodic-RNAU-timer</b><br/>Refers to the timer that triggers the periodic RNAU procedure in UE. Value min5 corresponds to 5 minutes, value min10 corresponds to 10 minutes and so on.</p>   |
| <p><b>ran-Area</b><br/>Indicates whether TA code(s) or RAN area code(s) are used for the RAN notification area. The network uses only TA code(s) or RAN area code(s) to configure a UE. Total number of TACs across all PLMNs does not exceed 16. Total number of RAN-AreaCode across all PLMNs does not exceed 32.</p>  |
| <p><b>ran-NotificationAreaInfo</b><br/>Network ensures that the UE in RRC_INACTIVE always has a valid <i>ran-NotificationAreaInfo</i>.</p>   |
| <p><b>ranAreaConfigList</b><br/>Indicates a list of RAN area codes or RA code(s) as RAN area. For each element, in the absence of <i>plmn-Identity</i> the UE considers the registered PLMN.</p>   |
| <p><b>ran-pagingCycle</b><br/>Refers to the UE specific cycle for RAN-initiated paging. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on.</p>  |
| <p><b>redirectedCarrierInfo</b><br/>The <i>redirectedCarrierInfo</i> indicates a carrier frequency (downlink for FDD) and is used to redirect the UE to an E-UTRA or an inter-RAT carrier frequency, by means of the cell selection upon leaving RRC_CONNECTED as specified in TS 36.304 [4]. The value <i>geran</i> can only be included after successful security activation when UE is connected to 5GC.</p>  |

| <b><i>RRCConnectionRelease</i> field descriptions</b>  |
|--|
| <p><b>releaseCause</b><br/>The <i>releaseCause</i> is used to indicate the reason for releasing the RRC Connection. The cause value <i>cs-FallbackHighPriority</i> is only applicable when <i>redirectedCarrierInfo</i> is present with the value set to <i>utra-FDD</i>, <i>utra-TDD</i> or <i>utra-TDD-r10</i>. E-UTRAN should not set the <i>releaseCause</i> to <i>loadBalancingTAURequired</i> or to <i>cs-FallbackHighPriority</i> if the <i>extendedWaitTime</i> is present. The network should not set the <i>releaseCause</i> to <i>loadBalancingTAURequired</i> if the UE is connected to 5GC.</p> |
| <p><b>rrc-InactiveConfig</b><br/>Indicates configuration for the RRC_INACTIVE state. The network does not configure this field when the UE is redirected to an inter-RAT carrier frequency.</p>  |
| <p><b>smtc</b><br/>The SSB periodicity/offset/duration configuration of the redirected target NR frequency. It is based on the timing reference of EUTRAN PCell. If the field is absent, the UE uses the SMTC configured in the <i>measObjectNR</i> having the same SSB frequency and subcarrier spacing</p>   |
| <p><b>subcarrierSpacingSSB</b><br/>Indicate subcarrier spacing of SSB of redirected target NR frequency. Only the values 15 or 30 (FR1), 120 kHz or 240 kHz (FR2) are applicable.</p>  |
| <p><b>systemInformation</b><br/>Container for system information of the GERAN cell i.e. one or more System Information (SI) messages as defined in TS 44.018 [45], table 9.1.1.</p>  |
| <p><b>t320</b><br/>Timer T320 as described in clause 7.3. Value minN corresponds to N minutes.</p>   |
| <p><b>utra-BCCH-Container</b><br/>Contains System Information Container message as defined in TS 25.331 [19].</p>  |
| <p><b>waitTime</b><br/>Wait time value in seconds.</p>   |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>5GC</i>                  | The field is optionally present, Need ON, if the UE is connected to 5GC; otherwise the field is not present.   |
| <i>EARFCN-max</i>           | The field is mandatory present if the corresponding <i>carrierFreq</i> (i.e. without suffix) is set to <i>maxEARFCN</i> . Otherwise the field is not present.  |
| <i>IdleInfoEUTRA</i>        | The field is optionally present, Need OP, if the <i>IdleModeMobilityControlInfo</i> (i.e. without suffix) is included and includes <i>freqPriorityListEUTRA</i> ; otherwise the field is not present.              |
| <i>INACTIVE</i>             | The field is mandatory present in this release.  |
| <i>NoRedirect-r8</i>        | The field is optionally present, Need OP, if the <i>redirectedCarrierInfo</i> (i.e. without suffix) is not included; otherwise the field is not present.   |
| <i>Redirection</i>          | The field is optionally present, Need ON, if the <i>redirectedCarrierInfo</i> is included and set to <i>geran</i> , <i>utra-FDD</i> , <i>utra-TDD</i> or <i>utra-TDD-r10</i> ; otherwise the field is not present. |
| <i>UP-EDT</i>               | The field is optionally present, Need ON, if the UE supports UP-EDT and <i>releaseCause</i> is set to <i>rrc-Suspend</i> ; otherwise the field is not present.   |

## – *RRCConnectionRequest*

The *RRCConnectionRequest* message is used to request the establishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

### ***RRCConnectionRequest* message**

```

-- ASN1START
RRCConnectionRequest ::=
    SEQUENCE {
        criticalExtensions      CHOICE {
            rrcConnectionRequest-r8          RRCConnectionRequest-r8-IEs,
            rrcConnectionRequest-r15        RRCConnectionRequest-5GC-r15-IEs
        }
    }
RRCConnectionRequest-r8-IEs ::= SEQUENCE {

```

```

    ue-Identity                InitialUE-Identity,
    establishmentCause         EstablishmentCause,
    spare                       BIT STRING (SIZE (1))
}

RRCConnectionRequest-5GC-r15-IEs ::= SEQUENCE {
    ue-Identity-r15            InitialUE-Identity-5GC-r15,
    establishmentCause-r15    EstablishmentCause-5GC-r15,
    spare                       BIT STRING (SIZE (1))
}

InitialUE-Identity ::= CHOICE {
    s-TMSI                     S-TMSI,
    randomValue                 BIT STRING (SIZE (40))
}

InitialUE-Identity-5GC-r15 ::= CHOICE {
    ng-5G-S-TMSI-Part1        BIT STRING (SIZE (40)),
    randomValue                 BIT STRING (SIZE (40))
}

EstablishmentCause ::= ENUMERATED {
    emergency, highPriorityAccess, mt-Access, mo-Signalling,
    mo-Data, delayTolerantAccess-v1020, mo-VoiceCall-v1280,
    spare1}

EstablishmentCause-5GC-r15 ::= ENUMERATED {
    emergency, highPriorityAccess, mt-Access, mo-Signalling,
    mo-Data, mo-VoiceCall, spare2, spare1}

-- ASN1STOP

```

#### ***RRCConnectionRequest* field descriptions**

##### ***establishmentCause***

Provides the establishment cause for the RRC connection request as provided by the upper layers. W.r.t. the cause value names: *highPriorityAccess* concerns AC11..AC15, 'mt' stands for 'Mobile Terminating' and 'mo' for 'Mobile Originating'. eNB is not expected to reject a *RRCConnectionRequest* due to unknown cause value being used by the UE. The cause value of *delayTolerantAccess* is not used for E-UTRA/5GC in this release.

##### ***randomValue***

Integer value in the range 0 to  $2^{40} - 1$ .

##### ***ng-5G-S-TMSI-Part1***

The rightmost 40 bits of 5G-S-TMSI.

##### ***ue-Identity***

UE identity included to facilitate contention resolution by lower layers.

## ***RRCConnectionResume***

The *RRCConnectionResume* message is used to resume the suspended RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### ***RRCConnectionResume* message**

```

-- ASN1START
RRCConnectionResume-r13 ::= SEQUENCE {
    rrc-TransactionIdentifier    RRC-TransactionIdentifier,
    criticalExtensions            CHOICE {
        c1                       CHOICE {
            rrcConnectionResume-r13    RRCConnectionResume-r13-IEs,
            spare3                     NULL,
            spare2                     NULL,
            spare1                     NULL
        }
    },
}

```

```

        criticalExtensionsFuture      SEQUENCE {}
    }
}
RRCConnectionResume-r13-IEs ::= SEQUENCE {
    radioResourceConfigDedicated-r13  RadioResourceConfigDedicated  OPTIONAL, -- Need ON
    nextHopChainingCount-r13          NextHopChainingCount,          OPTIONAL, -- Need ON
    measConfig-r13                    MeasConfig                    OPTIONAL, -- Need ON
    antennaInfoDedicatedPCell-r13    AntennaInfoDedicated-v10i0    OPTIONAL, -- Need ON
    drb-ContinueROHC-r13              ENUMERATED {true}              OPTIONAL, -- Need OP
    lateNonCriticalExtension           OCTET STRING                   OPTIONAL,
    nonCriticalExtension               RRCConnectionResume-v1430-IEs OPTIONAL
}
RRCConnectionResume-v1430-IEs ::= SEQUENCE {
    otherConfig-r14                   OtherConfig-r9                 OPTIONAL, -- Need ON
    nonCriticalExtension               RRCConnectionResume-v1510-IEs OPTIONAL
}
RRCConnectionResume-v1510-IEs ::= SEQUENCE {
    sk-Counter-r15                    INTEGER (0..65535)              OPTIONAL, -- Need ON
    nr-RadioBearerConfig1-r15         OCTET STRING                   OPTIONAL, -- Need ON
    nr-RadioBearerConfig2-r15         OCTET STRING                   OPTIONAL, -- Need ON
    nonCriticalExtension               RRCConnectionResume-v1530-IEs OPTIONAL
}
RRCConnectionResume-v1530-IEs ::= SEQUENCE {
    fullConfig-r15                    ENUMERATED {true}              OPTIONAL, -- Need ON
    nonCriticalExtension               SEQUENCE {}                   OPTIONAL
}
-- ASN1STOP

```

#### ***RRCConnectionResume* field descriptions**

##### ***drb-ContinueROHC***

This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with E-UTRA PDCP and the header compression protocol. Presence of the field indicates that the header compression protocol context continues while absence indicates that the header compression protocol context is reset.

##### ***fullConfig***

Indicates that the full configuration option is applicable for the *RRCConnectionResume* message.

##### ***nr-RadioBearerConfig1, nr-RadioBearerConfig2***

Includes the NR *RadioBearerConfig* IE as specified in TS 38.331 [82]. The field includes the configuration of RBs configured with NR PDCP.

##### ***sk-Counter***

A one-shot counter used upon initial configuration of S-K<sub>gNB</sub> as well as upon refresh of S-K<sub>gNB</sub>. E-UTRAN provides this field when the UE is configured with an (SN-terminated) RB using S-K<sub>gNB</sub>.

## – *RRCConnectionResumeComplete*

The *RRCConnectionResumeComplete* message is used to confirm the successful completion of an RRC connection resumption.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### ***RRCConnectionResumeComplete* message**

```

-- ASN1START
RRCConnectionResumeComplete-r13 ::= SEQUENCE {
    rrc-TransactionIdentifier          RRC-TransactionIdentifier,
    criticalExtensions                 CHOICE {
        rrcConnectionResumeComplete-r13  RRCConnectionResumeComplete-r13-IEs,
        criticalExtensionsFuture          SEQUENCE {}
    }
}

```

```

}
RRCConnectionResumeComplete-r13-IEs ::= SEQUENCE {
    selectedPLMN-Identity-r13          INTEGER (1..maxPLMN-r11)          OPTIONAL,
    dedicatedInfoNAS-r13              DedicatedInfoNAS                OPTIONAL,
    rlf-InfoAvailable-r13             ENUMERATED {true}              OPTIONAL,
    logMeasAvailable-r13              ENUMERATED {true}              OPTIONAL,
    connEstFailInfoAvailable-r13     ENUMERATED {true}              OPTIONAL,
    mobilityState-r13                 ENUMERATED {normal, medium, high, spare} OPTIONAL,
    mobilityHistoryAvail-r13          ENUMERATED {true}              OPTIONAL,
    logMeasAvailableMBSFN-r13        ENUMERATED {true}              OPTIONAL,
    lateNonCriticalExtension          OCTET STRING                    OPTIONAL,
    nonCriticalExtension              RRCConnectionResumeComplete-v1530-IEs OPTIONAL
}

RRCConnectionResumeComplete-v1530-IEs ::= SEQUENCE {
    logMeasAvailableBT-r15            ENUMERATED {true}              OPTIONAL,
    logMeasAvailableWLAN-r15         ENUMERATED {true}              OPTIONAL,
    idleMeasAvailable-r15            ENUMERATED {true}              OPTIONAL,
    flightPathInfoAvailable-r15      ENUMERATED {true}              OPTIONAL,
    nonCriticalExtension              SEQUENCE {}                    OPTIONAL
}

-- ASN1STOP

```

#### **RRCConnectionResumeComplete field descriptions**

##### **idleMeasAvailable**

Indication that the UE has idle mode measurement report available.

##### **selectedPLMN-Identity**

Index of the PLMN selected by the UE from the *plmn-IdentityList* fields included in SIB1. 1 if the 1st PLMN is selected from the 1st *plmn-IdentityList* included in SIB1, 2 if the 2nd PLMN is selected from the same *plmn-IdentityList*, or when no more PLMN are present within the same *plmn-IdentityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on. The *selectedPLMN-Identity* is referred to the PLMN list for 5GC if the UE is in RRC\_INACTIVE state.

### – RRCConnectionResumeRequest

The *RRCConnectionResumeRequest* message is used to request the resumption of a suspended RRC connection or to perform UP-EDT.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

#### **RRCConnectionResumeRequest message**

```

-- ASN1START

RRCConnectionResumeRequest-r13 ::= SEQUENCE {
    criticalExtensions                CHOICE {
        rrcConnectionResumeRequest-r13  RRCConnectionResumeRequest-r13-IEs,
        rrcConnectionResumeRequest-r15  RRCConnectionResumeRequest-5GC-r15-IEs
    }
}

RRCConnectionResumeRequest-r13-IEs ::= SEQUENCE {
    resumeIdentity-r13                CHOICE {
        resumeID-r13                  ResumeIdentity-r13,
        truncatedResumeID-r13         BIT STRING (SIZE (24))
    },
    shortResumeMAC-I-r13              BIT STRING (SIZE (16)),
    resumeCause-r13                   ResumeCause,
    spare                              BIT STRING (SIZE (1))
}

RRCConnectionResumeRequest-5GC-r15-IEs ::= SEQUENCE {
    resumeIdentity-r15                CHOICE {

```

```

        fullI-RNTI-r15                I-RNTI-r15,
        shortI-RNTI-r15              ShortI-RNTI-r15
    },
    shortResumeMAC-I-r15             BIT STRING (SIZE (16)),
    resumeCause-r15                  ResumeCause-r15,
    spare                             BIT STRING (SIZE (1))
}

ResumeCause ::=
    ENUMERATED {
        emergency, highPriorityAccess, mt-Access, mo-Signalling,
        mo-Data, delayTolerantAccess-v1020, mo-VoiceCall-v1280, spare1
    }

ResumeCause-r15 ::=
    ENUMERATED {
        emergency, highPriorityAccess, mt-Access, mo-Signalling,
        mo-Data, rna-Update, mo-VoiceCall, spare1
    }

-- ASN1STOP

```

#### ***RRCCConnectionResumeRequest* field descriptions**

##### ***resumeCause***

Provides the resume cause for the RRC connection resume request as provided by the upper layers. The network is not expected to reject a *RRCCConnectionResumeRequest* due to unknown cause value being used by the UE.

##### ***resumeldentity***

UE identity to facilitate UE context retrieval at eNB

##### ***shortResumeMAC-I***

Authentication token to facilitate UE authentication at eNB

## ***RRCCConnectionSetup***

The *RRCCConnectionSetup* message is used to establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### ***RRCCConnectionSetup* message**

```

-- ASN1START

RRCCConnectionSetup ::=
    SEQUENCE {
        rrc-TransactionIdentifier      RRC-TransactionIdentifier,
        criticalExtensions              CHOICE {
            c1                          CHOICE {
                rrcConnectionSetup-r8  RRCConnectionSetup-r8-IEs,
                spare7 NULL,
                spare6 NULL, spare5 NULL, spare4 NULL,
                spare3 NULL, spare2 NULL, spare1 NULL
            },
            criticalExtensionsFuture    SEQUENCE {}
        }
    }

RRCCConnectionSetup-r8-IEs ::=
    SEQUENCE {
        radioResourceConfigDedicated  RadioResourceConfigDedicated,
        nonCriticalExtension            RRCCConnectionSetup-v8a0-IEs      OPTIONAL
    }

RRCCConnectionSetup-v8a0-IEs ::= SEQUENCE {
        lateNonCriticalExtension        OCTET STRING                OPTIONAL,
        nonCriticalExtension            SEQUENCE {}                  OPTIONAL
    }

-- ASN1STOP

```



– *RRCConnectionSetupComplete*

The *RRCConnectionSetupComplete* message is used to confirm the successful completion of an RRC connection establishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

***RRCConnectionSetupComplete message***

```
-- ASN1START

RRCConnectionSetupComplete ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                        CHOICE {
            rrcConnectionSetupComplete-r8      RRCConnectionSetupComplete-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture      SEQUENCE {}
    }
}

RRCConnectionSetupComplete-r8-IEs ::= SEQUENCE {
    selectedPLMN-Identity          INTEGER (1..maxPLMN-r11),
    registeredMME                  OPTIONAL,
    dedicatedInfoNAS              DedicatedInfoNAS,
    nonCriticalExtension          RRCConnectionSetupComplete-v8a0-IEs OPTIONAL
}

RRCConnectionSetupComplete-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING OPTIONAL,
    nonCriticalExtension          RRCConnectionSetupComplete-v1020-IEs OPTIONAL
}

RRCConnectionSetupComplete-v1020-IEs ::= SEQUENCE {
    gummei-Type-r10              ENUMERATED {native, mapped} OPTIONAL,
    rlf-InfoAvailable-r10        ENUMERATED {true} OPTIONAL,
    logMeasAvailable-r10         ENUMERATED {true} OPTIONAL,
    rn-SubframeConfigReq-r10     ENUMERATED {required, notRequired} OPTIONAL,
    nonCriticalExtension          RRCConnectionSetupComplete-v1130-IEs OPTIONAL
}

RRCConnectionSetupComplete-v1130-IEs ::= SEQUENCE {
    connEstFailInfoAvailable-r11  ENUMERATED {true} OPTIONAL,
    nonCriticalExtension          RRCConnectionSetupComplete-v1250-IEs OPTIONAL
}

RRCConnectionSetupComplete-v1250-IEs ::= SEQUENCE {
    mobilityState-r12            ENUMERATED {normal, medium, high, spare} OPTIONAL,
    mobilityHistoryAvail-r12     ENUMERATED {true} OPTIONAL,
    logMeasAvailableMBSFN-r12   ENUMERATED {true} OPTIONAL,
    nonCriticalExtension          RRCConnectionSetupComplete-v1320-IEs OPTIONAL
}

RRCConnectionSetupComplete-v1320-IEs ::= SEQUENCE {
    ce-ModeB-r13                ENUMERATED {supported} OPTIONAL,
    s-TMSI-r13                   S-TMSI OPTIONAL,
    attachWithoutPDN-Connectivity-r13  ENUMERATED {true} OPTIONAL,
    up-CIoT-EPS-Optimisation-r13  ENUMERATED {true} OPTIONAL,
    cp-CIoT-EPS-Optimisation-r13  ENUMERATED {true} OPTIONAL,
    nonCriticalExtension          RRCConnectionSetupComplete-v1330-IEs OPTIONAL
}

RRCConnectionSetupComplete-v1330-IEs ::= SEQUENCE {
    ue-CE-NeedULGaps-r13        ENUMERATED {true} OPTIONAL,
    nonCriticalExtension          RRCConnectionSetupComplete-v1430-IEs OPTIONAL
}

RRCConnectionSetupComplete-v1430-IEs ::= SEQUENCE {
    dcn-ID-r14                   INTEGER (0..65535) OPTIONAL,

```

```

    nonCriticalExtension                RRCCConnectionSetupComplete-v1530-IEs    OPTIONAL
  }
RRCCConnectionSetupComplete-v1530-IEs ::= SEQUENCE {
    logMeasAvailableBT-r15             ENUMERATED {true}                  OPTIONAL,
    logMeasAvailableWLAN-r15          ENUMERATED {true}                  OPTIONAL,
    idleMeasAvailable-r15             ENUMERATED {true}                  OPTIONAL,
    flightPathInfoAvailable-r15       ENUMERATED {true}                  OPTIONAL,
    connectTo5GC-r15                  ENUMERATED {true}                  OPTIONAL,
    registeredAMF-r15                  RegisteredAMF-r15                  OPTIONAL,
    s-NSSAI-list-r15                   SEQUENCE (SIZE (1..maxNrofS-NSSAI-r15)) OF S-NSSAI-r15
OPTIONAL,
    ng-5G-S-TMSI-Bits-r15              CHOICE {
        ng-5G-S-TMSI-r15                NG-5G-S-TMSI-r15,
        ng-5G-S-TMSI-Part2-r15         BIT STRING (SIZE (8))
    }
    nonCriticalExtension                RRCCConnectionSetupComplete-v1540-IEs    OPTIONAL
  }

RRCCConnectionSetupComplete-v1540-IEs ::= SEQUENCE {
    gummei-Type-v1540                  ENUMERATED {mappedFrom5G-v1540}    OPTIONAL,
    guami-Type-r15                     ENUMERATED {native, mapped}        OPTIONAL,
    nonCriticalExtension                SEQUENCE {}                          OPTIONAL
  }

RegisteredMME ::=
    plmn-Identity                       PLMN-Identity                       OPTIONAL,
    mmegi                               BIT STRING (SIZE (16)),
    mmec                                MMEC
  }

RegisteredAMF-r15 ::=
    plmn-Identity-r15                  PLMN-Identity                       OPTIONAL,
    amf-Identififier-r15               AMF-Identififier-r15
  }
-- ASN1STOP

```

| <b><i>RRCConnectionSetupComplete</i> field descriptions</b>   |
|---|
| <p><b><i>attachWithoutPDN-Connectivity</i></b><br/>This field is used to indicate that the UE performs an Attach without PDN connectivity procedure, as indicated by the upper layers and specified in TS 24.301 [35].</p>  |
| <p><b><i>cp-CIoT-EPS-Optimisation</i></b><br/>This field is included when the UE supports the Control plane CiOT EPS Optimisation, as indicated by the upper layers, see TS 24.301 [35].</p>  |
| <p><b><i>ce-ModeB</i></b><br/>Indicates whether the UE supports operation in CE mode B, as specified in TS 36.306 [5].</p>  |
| <p><b><i>connectTo5GC</i></b><br/>This field is not used in the specification. It shall not be sent by the UE.</p>  |
| <p><b><i>dcn-ID</i></b><br/>The Dedicated Core Network Identity, see TS 23.401 [41].</p>  |
| <p><b><i>guami-Type</i></b><br/>This field is used to indicate whether the GUAMI included is native (derived from native 5G-GUTI) or mapped (from EPS, derived from EPS GUTI) as specified in TS 24.501 [95].</p>   |
| <p><b><i>gummei-Type</i></b><br/>This field is used to indicate whether the GUMMEI included is native (assigned by EPC) or mapped. The value native indicates the GUMMEI is native, mapped indicates the GUMMEI is mapped from 2G/3G identifiers, and mappedFrom5G indicates the GUMMEI is mapped from 5G identifiers. A UE that sets <i>gummei-Type-v1540</i> to mappedFrom5G shall also include <i>gummei-Type-r10</i> and set it to native.</p>  |
| <p><b><i>idleMeasAvailable</i></b><br/>Indication that the UE has idle mode measurement report available.</p>   |
| <p><b><i>mmegi</i></b><br/>Provides the Group Identity of the registered MME within the PLMN, as provided by upper layers, see TS 23.003 [27].</p>  |
| <p><b><i>mobilityState</i></b><br/>This field indicates the UE mobility state (as defined in TS 36.304 [4], clause 5.2.4.3) just prior to UE going into RRC_CONNECTED state. The UE indicates the value of <i>medium</i> and <i>high</i> when being in Medium-mobility and High-mobility states respectively. Otherwise the UE indicates the value <i>normal</i>.</p>   |
| <p><b><i>ng-5G-S-TMSI-Part2</i></b><br/>The leftmost 8 bits of 5G-S-TMSI.</p>   |
| <p><b><i>registeredAMF</i></b><br/>This field is used to transfer the GUAMI of the AMF where the UE is registered, as provided by upper layers, see TS 23.003 [27].</p>   |
| <p><b><i>registeredMME</i></b><br/>This field is used to transfer the GUMMEI of the MME where the UE is registered, as provided by upper layers.</p>  |
| <p><b><i>rn-SubframeConfigReq</i></b><br/>If present, this field indicates that the connection establishment is for an RN and whether a subframe configuration is requested or not.</p>   |
| <p><b><i>selectedPLMN-Identity</i></b><br/>Index of the PLMN selected by the UE from the <i>plmn-IdentityList</i> fields included in SIB1. 1 if the 1st PLMN is selected from the 1st <i>plmn-IdentityList</i> included in SIB1, 2 if the 2nd PLMN is selected from the same <i>plmn-IdentityList</i>, or when no more PLMN are present within the same <i>plmn-IdentityList</i>, then the PLMN listed 1st in the subsequent <i>plmn-IdentityList</i> within the same SIB1 and so on.</p> |
| <p><b><i>s-NSSAI-List</i></b><br/>This field is a list of S-NSSAI as indicated by the upper layers. The UE can report up to eight S-NSSAI per NSSAI, see TS 23.003 [27].</p>  |
| <p><b><i>ue-CE-NeedULGaps</i></b><br/>Indicates whether the UE needs uplink gaps during continuous uplink transmission in FDD as specified in TS 36.211 [21] and TS 36.306 [5].</p>   |
| <p><b><i>up-CIoT-EPS-Optimisation</i></b><br/>This field is included when the UE supports the User plane CiOT EPS Optimisation, as indicated by the upper layers, see TS 24.301 [35].</p>   |

### – *RRCEarlyDataComplete*

The *RRCEarlyDataComplete* message is used to confirm the successful completion of the CP-EDT procedure.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### **RRCEarlyDataComplete message**

```

-- ASN1START
RRCEarlyDataComplete-r15 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        rrcEarlyDataComplete-r15 RRCEarlyDataComplete-r15-IEs,
        criticalExtensionsFuture SEQUENCE {}
    }
}

RRCEarlyDataComplete-r15-IEs ::= SEQUENCE {
    dedicatedInfoNAS-r15          DedicatedInfoNAS          OPTIONAL, -- Need ON
    extendedWaitTime-r15         INTEGER (1..1800)          OPTIONAL, -- Need ON
    idleModeMobilityControlInfo-r15 IdleModeMobilityControlInfo OPTIONAL, -- Need OP
    idleModeMobilityControlInfoExt-r15 IdleModeMobilityControlInfo-v9e0 OPTIONAL, -- Cond
IdleInfoEUTRA
    redirectedCarrierInfo-r15     RedirectedCarrierInfo-r15-IEs OPTIONAL, -- Need ON
    nonCriticalExtension          RRCEarlyDataComplete-v1590-IEs OPTIONAL
}

RRCEarlyDataComplete-v1590-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING          OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                OPTIONAL
}

RedirectedCarrierInfo-r15-IEs ::= CHOICE {
    eutra          ARFCN-ValueEUTRA-r9,
    geran          CarrierFreqsGERAN,
    utra-FDD       ARFCN-ValueUTRA,
    cdma2000-HRPD  CarrierFreqCDMA2000,
    cdma2000-1xRTT CarrierFreqCDMA2000,
    utra-TDD       CarrierFreqListUTRA-TDD-r10
}
-- ASN1STOP

```

#### **RRCEarlyDataComplete field descriptions**

##### ***extendedWaitTime***

Value in seconds for the wait time for Delay Tolerant access requests.

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>IdleInfoEUTRA</i>        | The field is optionally present, Need OP, if the <i>IdleModeMobilityControlInfo-r15</i> is included and includes <i>freqPriorityListEUTRA</i> ; otherwise the field is not present. |

### **RRCEarlyDataRequest**

The *RRCEarlyDataRequest* message is used to initiate CP-EDT.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

### **RRCEarlyDataRequest message**

```

-- ASN1START

```

```

RRCEarlyDataRequest-r15 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        rrcEarlyDataRequest-r15  RRCEarlyDataRequest-r15-IEs,
        criticalExtensionsFuture  SEQUENCE {}
    }
}

RRCEarlyDataRequest-r15-IEs ::= SEQUENCE {
    s-TMSI-r15          S-TMSI,
    establishmentCause-r15  ENUMERATED {mo-Data, delayTolerantAccess},
    dedicatedInfoNAS-r15  DedicatedInfoNAS,
    nonCriticalExtension  RRCEarlyDataRequest-v1590-IEs  OPTIONAL
}

RRCEarlyDataRequest-v1590-IEs ::= SEQUENCE {
    lateNonCriticalExtension  OCTET STRING  OPTIONAL,
    nonCriticalExtension      SEQUENCE {}  OPTIONAL
}

-- ASN1STOP

```

#### **RRCEarlyDataRequest field descriptions**

##### **establishmentCause**

Provides the establishment cause for the RRC Early Data Request as provided by the upper layers. W.r.t. the cause value names: 'mo' stands for 'Mobile Originating'. eNB is not expected to reject a *RRCEarlyDataRequest* due to unknown cause value being used by the UE.

### – *SCGFailureInformation*

The *SCGFailureInformation* message is used to provide information regarding E-UTRA SCG failures detected by the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### **SCGFailureInformation message**

```

-- ASN1START
SCGFailureInformation-r12 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                      CHOICE {
            scgFailureInformation-r12  SCGFailureInformation-r12-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture  SEQUENCE {}
    }
}

SCGFailureInformation-r12-IEs ::= SEQUENCE {
    failureReportSCG-r12  FailureReportSCG-r12  OPTIONAL,
    nonCriticalExtension  SCGFailureInformation-v12d0a-IEs  OPTIONAL
}

SCGFailureInformation-v12d0a-IEs ::= SEQUENCE {
    lateNonCriticalExtension  OCTET STRING (CONTAINING SCGFailureInformation-v12d0b-IEs)  OPTIONAL,
    nonCriticalExtension      SEQUENCE {}  OPTIONAL
}

-- Late non-critical extensions:
SCGFailureInformation-v12d0b-IEs ::= SEQUENCE {
    failureReportSCG-v12d0  FailureReportSCG-v12d0  OPTIONAL,
    nonCriticalExtension    SEQUENCE {}  OPTIONAL
}

-- Regular non-critical extensions:

```

```

FailureReportSCG-r12 ::= SEQUENCE {
  failureType-r12          ENUMERATED {t313-Expiry, randomAccessProblem,
                                     rlc-MaxNumRetx, scg-ChangeFailure },
  measResultServFreqList-r12 MeasResultServFreqList-r10      OPTIONAL,
  measResultNeighCells-r12  MeasResultList2EUTRA-r9          OPTIONAL,
  ...,
  [[ failureType-v1290      ENUMERATED {maxUL-TimingDiff-v1290} OPTIONAL
  ]],
  [[ measResultServFreqListExt-r13 MeasResultServFreqListExt-r13  OPTIONAL
  ]]
}

FailureReportSCG-v12d0 ::= SEQUENCE {
  measResultNeighCells-v12d0 MeasResultList2EUTRA-v9e0      OPTIONAL
}

-- ASN1STOP

```

## – SCGFailureInformationNR

The *SCGFailureInformationNR* message is used to provide information regarding NR SCG failures detected by the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### SCGFailureInformationNR message

```

-- ASN1START

SCGFailureInformationNR-r15 ::= SEQUENCE {
  criticalExtensions      CHOICE {
    c1                    CHOICE {
      scgFailureInformationNR-r15 SCGFailureInformationNR-r15-IEs,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture SEQUENCE {}
  }
}

SCGFailureInformationNR-r15-IEs ::= SEQUENCE {
  failureReportSCG-NR-r15 FailureReportSCG-NR-r15      OPTIONAL,
  nonCriticalExtension     SCGFailureInformationNR-v1590-IEs OPTIONAL
}

SCGFailureInformationNR-v1590-IEs ::= SEQUENCE {
  lateNonCriticalExtension OCTET STRING                OPTIONAL,
  nonCriticalExtension     SEQUENCE {}                 OPTIONAL
}

FailureReportSCG-NR-r15 ::= SEQUENCE {
  failureType-r15          ENUMERATED {
    t310-Expiry, randomAccessProblem,
    rlc-MaxNumRetx,
    synchReconfigFailureSCG, scg-reconfigFailure,
    srb3-IntegrityFailure},
  measResultFreqListNR-r15 MeasResultFreqListFailNR-r15  OPTIONAL,
  measResultSCG-r15        OCTET STRING                  OPTIONAL,
  ...
}

MeasResultFreqListFailNR-r15 ::= SEQUENCE (SIZE (1..maxFreqNR-r15)) OF MeasResultFreqFailNR-r15

MeasResultFreqFailNR-r15 ::= SEQUENCE {
  carrierFreq-r15          ARFCN-ValueNR-r15,
  measResultCellList-r15  MeasResultCellListNR-r15      OPTIONAL,
  ...
}

-- ASN1STOP

```

| <b>SCGFailureInformationNR field descriptions</b>  |
|--|
| <p><b>measResultFreqListNR</b><br/>The field contains available results of measurements on NR frequencies the UE is configured to measure by <i>measConfig</i>.</p>  |
| <p><b>measResultSCG</b><br/>Includes the NR <i>MeasResultSCG-Failure</i> IE as specified in TS 38.331 [82]. The field contains available results of measurements on NR frequencies the UE is configured to measure by the NR RRCConfiguration message.</p> |

## – SCPTMConfiguration

The *SCPTMConfiguration* message contains the control information applicable for MBMS services transmitted via SC-MRB.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: SC-MCCH

Direction: E-UTRAN to UE

### **SCPTMConfiguration message**

```

-- ASN1START
SCPTMConfiguration-r13 ::= SEQUENCE {
    sc-mtch-InfoList-r13          SC-MTCH-InfoList-r13,
    sctm-NeighbourCellList-r13   SCPTM-NeighbourCellList-r13   OPTIONAL, -- Need OP
    lateNonCriticalExtension      OCTET STRING                       OPTIONAL,
    nonCriticalExtension          SCPTMConfiguration-v1340          OPTIONAL
}
SCPTMConfiguration-v1340 ::= SEQUENCE {
    p-b-r13                      INTEGER (0..3)                OPTIONAL, -- Need ON
    nonCriticalExtension          SEQUENCE {}                     OPTIONAL
}
-- ASN1STOP

```

| <b>SCPTMConfiguration field descriptions</b>  |
|---|
| <p><b>sc-mtch-InfoList</b><br/>Provides the configuration of each SC-MTCH in the current cell.</p>  |
| <p><b>sctm-NeighbourCellList</b><br/>List of neighbour cells providing MBMS services via SC-MRB. When absent, the UE shall assume that MBMS services listed in the <i>SCPTMConfiguration</i> message are not provided via SC-MRB in any neighbour cell.</p> |
| <p><i>p-b</i><br/>Parameter: <math>P_B</math> for the PDSCH scrambled by G-RNTI, see TS 36.213 [23], Table 5.2-1.</p>   |

## – SCPTMConfiguration-BR

The *SCPTMConfiguration-BR* message contains the control information applicable for MBMS services transmitted via SC-MRB for BL UEs or UEs in CE.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: SC-MCCH

Direction: E-UTRAN to UE

### **SCPTMConfiguration-BR message**

```

-- ASN1START
SCPTMConfiguration-BR-r14 ::= SEQUENCE {
    sc-mtch-InfoList-r14          SC-MTCH-InfoList-BR-r14,
    scptm-NeighbourCellList-r14 SCPTM-NeighbourCellList-r13    OPTIONAL, -- Need OP
    p-b-r14                      INTEGER (0..3)              OPTIONAL, -- Need OR
    lateNonCriticalExtension      OCTET STRING                OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                  OPTIONAL
}
-- ASN1STOP

```

#### **SCPTMConfiguration-BR field descriptions**

##### **p-b**

Parameter:  $P_b$  for the PDSCH scrambled by G-RNTI, see TS 36.213 [23], Table 5.2-1.

##### **sc-mtch-InfoList**

Provides the configuration of each SC-MTCH in the current cell for BL UEs or UEs in CE.

##### **scptm-NeighbourCellList**

List of neighbour cells providing MBMS services via SC-MRB. When absent, the BL UE or UE in CE shall assume that MBMS services listed in the *SCPTMConfiguration-BR* message are not provided via SC-MRB in any neighbour cell.

## SecurityModeCommand

The *SecurityModeCommand* message is used to command the activation of AS security.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### **SecurityModeCommand message**

```

-- ASN1START
SecurityModeCommand ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions              CHOICE {
        cl                         CHOICE {
            securityModeCommand-r8 SecurityModeCommand-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture    SEQUENCE {}
    }
}

SecurityModeCommand-r8-IEs ::= SEQUENCE {
    securityConfigSMC              SecurityConfigSMC,
    nonCriticalExtension            SecurityModeCommand-v8a0-IEs    OPTIONAL
}

SecurityModeCommand-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension        OCTET STRING                OPTIONAL,
    nonCriticalExtension            SEQUENCE {}                  OPTIONAL
}

SecurityConfigSMC ::= SEQUENCE {
    securityAlgorithmConfig         SecurityAlgorithmConfig,
    ...
}
-- ASN1STOP

```

## SecurityModeComplete

The *SecurityModeComplete* message is used to confirm the successful completion of a security mode command.



Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***SecurityModeComplete message***

```
-- ASN1START
SecurityModeComplete ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        securityModeComplete-r8   SecurityModeComplete-r8-IEs,
        criticalExtensionsFuture   SEQUENCE {}
    }
}

SecurityModeComplete-r8-IEs ::= SEQUENCE {
    nonCriticalExtension           SecurityModeComplete-v8a0-IEs      OPTIONAL
}

SecurityModeComplete-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension       OCTET STRING                OPTIONAL,
    nonCriticalExtension           SEQUENCE {}                  OPTIONAL
}
-- ASN1STOP
```

### ***SecurityModeFailure***

The *SecurityModeFailure* message is used to indicate an unsuccessful completion of a security mode command.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***SecurityModeFailure message***

```
-- ASN1START
SecurityModeFailure ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        securityModeFailure-r8   SecurityModeFailure-r8-IEs,
        criticalExtensionsFuture   SEQUENCE {}
    }
}

SecurityModeFailure-r8-IEs ::= SEQUENCE {
    nonCriticalExtension           SecurityModeFailure-v8a0-IEs      OPTIONAL
}

SecurityModeFailure-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension       OCTET STRING                OPTIONAL,
    nonCriticalExtension           SEQUENCE {}                  OPTIONAL
}
-- ASN1STOP
```

### ***SidelinkUEInformation***

The *SidelinkUEInformation* message is used for the indication of sidelink information to the eNB.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### SidelinkUEInformation message

```
-- ASN1START
SidelinkUEInformation-r12 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                      CHOICE {
            sidelinkUEInformation-r12  SidelinkUEInformation-r12,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture      SEQUENCE {}
    }
}

SidelinkUEInformation-r12-IEs ::= SEQUENCE {
    commRxInterestedFreq-r12      ARFCN-ValueEUTRA-r9          OPTIONAL,
    commTxResourceReq-r12        SL-CommTxResourceReq-r12      OPTIONAL,
    discRxInterest-r12           ENUMERATED {true}              OPTIONAL,
    discTxResourceReq-r12        INTEGER (1..63)                 OPTIONAL,
    lateNonCriticalExtension      OCTET STRING                  OPTIONAL,
    nonCriticalExtension          SidelinkUEInformation-v1310-IEs OPTIONAL
}

SidelinkUEInformation-v1310-IEs ::= SEQUENCE {
    commTxResourceReqUC-r13       SL-CommTxResourceReq-r12      OPTIONAL,
    commTxResourceInfoReqRelay-r13 SEQUENCE {
        commTxResourceReqRelay-r13  SL-CommTxResourceReq-r12      OPTIONAL,
        commTxResourceReqRelayUC-r13 SL-CommTxResourceReq-r12      OPTIONAL,
        ue-Type-r13                  ENUMERATED {relayUE, remoteUE}
    } OPTIONAL,
    discTxResourceReq-v1310       SEQUENCE {
        carrierFreqDiscTx-r13        INTEGER (1..maxFreq)          OPTIONAL,
        discTxResourceReqAddFreq-r13  SL-DiscTxResourceReqPerFreqList-r13 OPTIONAL
    } OPTIONAL,
    discTxResourceReqPS-r13       SL-DiscTxResourceReq-r13      OPTIONAL,
    discRxGapReq-r13              SL-GapRequest-r13           OPTIONAL,
    discTxGapReq-r13              SL-GapRequest-r13           OPTIONAL,
    discSysInfoReportFreqList-r13  SL-DiscSysInfoReportFreqList-r13 OPTIONAL,
    nonCriticalExtension          SidelinkUEInformation-v1430-IEs OPTIONAL
}

SidelinkUEInformation-v1430-IEs ::= SEQUENCE {
    v2x-CommRxInterestedFreqList-r14 SL-V2X-CommFreqList-r14      OPTIONAL,
    p2x-CommTxType-r14              ENUMERATED {true}              OPTIONAL,
    v2x-CommTxResourceReq-r14       SL-V2X-CommTxFreqList-r14      OPTIONAL,
    nonCriticalExtension             SidelinkUEInformation-v1530-IEs OPTIONAL
}

SidelinkUEInformation-v1530-IEs ::= SEQUENCE {
    reliabilityInfoListSL-r15        SL-ReliabilityList-r15      OPTIONAL,
    nonCriticalExtension              SEQUENCE {}                    OPTIONAL
}

SL-CommTxResourceReq-r12 ::= SEQUENCE {
    carrierFreq-r12                  ARFCN-ValueEUTRA-r9          OPTIONAL,
    destinationInfoList-r12          SL-DestinationInfoList-r12
}

SL-DiscTxResourceReqPerFreqList-r13 ::= SEQUENCE (SIZE (1..maxFreq)) OF SL-DiscTxResourceReq-r13

SL-DiscTxResourceReq-r13 ::= SEQUENCE {
    carrierFreqDiscTx-r13            INTEGER (1..maxFreq)          OPTIONAL,
    discTxResourceReq-r13            INTEGER (1..63)
}

SL-DestinationInfoList-r12 ::= SEQUENCE (SIZE (1..maxSL-Dest-r12)) OF SL-DestinationIdentity-r12

SL-DestinationIdentity-r12 ::= BIT STRING (SIZE (24))
-- ASN1END
```

```
SL-DiscSysInfoReportFreqList-r13 ::= SEQUENCE (SIZE (1..maxSL-DiscSysInfoReportFreq-r13)) OF SL-
DiscSysInfoReport-r13

SL-V2X-CommFreqList-r14 ::= SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF INTEGER (0..maxFreqV2X-1-r14)

SL-V2X-CommTxFreqList-r14 ::= SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF SL-V2X-CommTxResourceReq-r14

SL-V2X-CommTxResourceReq-r14 ::= SEQUENCE {
    carrierFreqCommTx-r14          INTEGER (0..maxFreqV2X-1-r14)          OPTIONAL,
    v2x-TypeTxSync-r14            SL-TypeTxSync-r14                    OPTIONAL,
    v2x-DestinationInfoList-r14   SL-DestinationInfoList-r12          OPTIONAL
}

-- ASN1STOP
```

| <b>SidelinkUEInformation field descriptions</b>   |
|---|
| <p><b>carrierFreqCommTx</b><br/>Indicates the index of the frequency on which the UE is interested to transmit V2X sidelink communication. The value 1 corresponds to the frequency of first entry in <i>v2x-InterFreqInfoList</i> broadcast in SIB21, the value 2 corresponds to the frequency of second entry in <i>v2x-InterFreqInfoList</i> broadcast in SIB21 and so on. If SIB26 is broadcast and the number of entries included in <i>v2x-InterFreqInfoList</i> of SIB21 is N, the value N+1 corresponds to the frequency of the first entry which is included in <i>v2x-InterFreqInfoList</i> broadcast in SIB26 and has a frequency not included in SIB21, the value N+2 corresponds to the frequency of the second entry which is included in <i>v2x-InterFreqInfoList</i> broadcast in SIB26 and has a frequency not included in SIB21, and so on. The value 0 corresponds the PCell's frequency.</p>                    |
| <p><b>carrierFreqDiscTx</b><br/>Indicates the frequency by the index of the entry in field <i>discInterFreqList</i> within <i>SystemInformationBlockType19</i>. Value 1 corresponds to the first entry in <i>discInterFreqList</i> within <i>SystemInformationBlockType19</i>, value 2 corresponds to the second entry in this list and so on.</p>  |
| <p><b>commRxInterestedFreq</b><br/>Indicates the frequency on which the UE is interested to receive sidelink communication.</p>   |
| <p><b>commTxResourceReq</b><br/>Indicates the frequency on which the UE is interested to transmit non-relay related sidelink communication as well as the one-to-many sidelink communication transmission destination(s) for which the UE requests E-UTRAN to assign dedicated resources. NOTE 1.</p>   |
| <p><b>commTxResourceReqRelay</b><br/>Indicates the relay related one-to-many sidelink communication transmission destination(s) for which the sidelink relay UE requests E-UTRAN to assign dedicated resources.</p>   |
| <p><b>commTxResourceReqRelayUC</b><br/>Indicates the relay related one-to-one sidelink communication transmission destination(s) for which the sidelink relay UE or sidelink remote UE requests E-UTRAN to assign dedicated resources i.e. either contains the unicast destination identity of the sidelink relay UE or of the sidelink remote UE.</p>  |
| <p><b>commTxResourceReqUC</b><br/>Indicates the frequency on which the UE is interested to transmit non-relay related one-to-one sidelink communication as well as the sidelink communication transmission destination(s) for which the UE requests E-UTRAN to assign dedicated resources. NOTE 1.</p>  |
| <p><b>destinationInfoList</b><br/>Indicates the destination(s) for relay or non-relay related one-to-one or one-to-many sidelink communication. For one-to-one sidelink communication the destination is identified by the ProSe UE ID for unicast communication, while for one-to-many the destination it is identified by the ProSe Layer-2 Group ID as specified in TS 23.303 [68].</p>  |
| <p><b>discRxInterest</b><br/>Indicates that the UE is interested to monitor sidelink discovery announcements.</p>   |
| <p><b>discSysInfoReportFreqList</b><br/>Indicates, for one or more frequencies, a list of sidelink discovery related parameters acquired from system information of cells on configured inter-frequency carriers.</p>   |
| <p><b>discTxResourceReq</b><br/>Indicates the number of separate discovery message(s) the UE wants to transmit every discovery period. This field concerns the resources the UE requires every discovery period for transmitting sidelink discovery announcement(s).</p>  |
| <p><b>discTxResourceReqAddFreq</b><br/>Indicates, for any frequencies in addition to the one covered by <i>discTxResourceReq</i>, the number of separate discovery message(s) the UE wants to transmit every discovery period. This field concerns the resources the UE requires every discovery period for transmitting sidelink discovery announcement(s).</p>  |
| <p><b>discTxResourceReqPS</b><br/>Indicates the number of separate PS related discovery message(s) the UE wants to transmit every discovery period. This field concerns the resources the UE requires every discovery period for transmitting PS related sidelink discovery announcement(s).</p>  |
| <p><b>p2x-CommTxType</b><br/>Indicates that the requested transmission resource pool is for P2X related V2X sidelink communication.</p>   |
| <p><b>reliabilityInfoListSL</b><br/>Indicates the reliability(ies) (i.e., PPPRs as specified in TS 36.300 [9]), associated with the reported traffic to be transmitted for V2X sidelink communication.</p>  |
| <p><b>v2x-CommRxInterestedFreqList</b><br/>Indicates the index(es) of the frequency(ies) on which the UE is interested to receive V2X sidelink communication. The value 1 corresponds to the frequency of first entry in <i>v2x-InterFreqInfoList</i> broadcast in SIB21, the value 2 corresponds to the frequency of second entry in <i>v2x-InterFreqInfoList</i> broadcast in SIB21 and so on. If SIB26 is broadcast and the number of entries included in <i>v2x-InterFreqInfoList</i> of SIB21 is N, the value N+1 corresponds to the frequency of the first entry which is included in <i>v2x-InterFreqInfoList</i> broadcast in SIB26 and has a frequency not included in SIB21, the value N+2 corresponds to the frequency of the second entry which is included in <i>v2x-InterFreqInfoList</i> broadcast in SIB26 and has a frequency not included in SIB21, and so on. The value 0 corresponds the PCell's frequency.</p> |
| <p><b>v2x-DestinationInfoList</b><br/>Indicates the destination(s) for V2X sidelink communication.</p>  |
| <p><b>v2x-TypeTxSync</b><br/>Indicates the synchronization reference used by the UE.</p>  |

NOTE 1: When configuring *commTxResourceReq*, *commTxResourceReqUC*, *commTxResourceReqRelay* and *commTxResourceReqRelayUC*, E-UTRAN configures at most *maxSL-Dest-r12* destinations in total (i.e. as included in the four fields together).

## – SystemInformation

The *SystemInformation* message is used to convey one or more System Information Blocks or Positioning System Information Blocks. All the SIBs or posSIBs included are transmitted with the same periodicity. *SystemInformation-BR* and *SystemInformation-MBMS* use the same structure as *SystemInformation*.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channels: BCCH and BR-BCCH

Direction: E-UTRAN to UE

### SystemInformation message

```
-- ASN1START
SystemInformation-BR-r13 ::= SystemInformation
SystemInformation-MBMS-r14 ::= SystemInformation

SystemInformation ::=
    SEQUENCE {
        criticalExtensions          CHOICE {
            systemInformation-r8      SystemInformation-r8-IEs,
            criticalExtensionsFuture-r15 CHOICE {
                posSystemInformation-r15 PosSystemInformation-r15-IEs,
                criticalExtensionsFuture SEQUENCE {}
            }
        }
    }
}
SystemInformation-r8-IEs ::= SEQUENCE {
    sib-TypeAndInfo SEQUENCE (SIZE (1..maxSIB)) OF CHOICE {
        sib2      SystemInformationBlockType2,
        sib3      SystemInformationBlockType3,
        sib4      SystemInformationBlockType4,
        sib5      SystemInformationBlockType5,
        sib6      SystemInformationBlockType6,
        sib7      SystemInformationBlockType7,
        sib8      SystemInformationBlockType8,
        sib9      SystemInformationBlockType9,
        sib10     SystemInformationBlockType10,
        sib11     SystemInformationBlockType11,
        ...,
        sib12-v920 SystemInformationBlockType12-r9,
        sib13-v920 SystemInformationBlockType13-r9,
        sib14-v1130 SystemInformationBlockType14-r11,
        sib15-v1130 SystemInformationBlockType15-r11,
        sib16-v1130 SystemInformationBlockType16-r11,
        sib17-v1250 SystemInformationBlockType17-r12,
        sib18-v1250 SystemInformationBlockType18-r12,
        sib19-v1250 SystemInformationBlockType19-r12,
        sib20-v1310 SystemInformationBlockType20-r13,
        sib21-v1430 SystemInformationBlockType21-r14,
        sib24-v1530 SystemInformationBlockType24-r15,
        sib25-v1530 SystemInformationBlockType25-r15,
        sib26-v1530 SystemInformationBlockType26-r15
    },
    nonCriticalExtension SystemInformation-v8a0-IEs OPTIONAL
}
SystemInformation-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}
-- ASN1END
```

```

PosSystemInformation-r15-IEs ::= SEQUENCE {
  posSIB-TypeAndInfo-r15          SEQUENCE (SIZE (1..maxSIB)) OF CHOICE {
    posSib1-1-r15                 SystemInformationBlockPos-r15,
    posSib1-2-r15                 SystemInformationBlockPos-r15,
    posSib1-3-r15                 SystemInformationBlockPos-r15,
    posSib1-4-r15                 SystemInformationBlockPos-r15,
    posSib1-5-r15                 SystemInformationBlockPos-r15,
    posSib1-6-r15                 SystemInformationBlockPos-r15,
    posSib1-7-r15                 SystemInformationBlockPos-r15,
    posSib2-1-r15                 SystemInformationBlockPos-r15,
    posSib2-2-r15                 SystemInformationBlockPos-r15,
    posSib2-3-r15                 SystemInformationBlockPos-r15,
    posSib2-4-r15                 SystemInformationBlockPos-r15,
    posSib2-5-r15                 SystemInformationBlockPos-r15,
    posSib2-6-r15                 SystemInformationBlockPos-r15,
    posSib2-7-r15                 SystemInformationBlockPos-r15,
    posSib2-8-r15                 SystemInformationBlockPos-r15,
    posSib2-9-r15                 SystemInformationBlockPos-r15,
    posSib2-10-r15                SystemInformationBlockPos-r15,
    posSib2-11-r15                SystemInformationBlockPos-r15,
    posSib2-12-r15                SystemInformationBlockPos-r15,
    posSib2-13-r15                SystemInformationBlockPos-r15,
    posSib2-14-r15                SystemInformationBlockPos-r15,
    posSib2-15-r15                SystemInformationBlockPos-r15,
    posSib2-16-r15                SystemInformationBlockPos-r15,
    posSib2-17-r15                SystemInformationBlockPos-r15,
    posSib2-18-r15                SystemInformationBlockPos-r15,
    posSib2-19-r15                SystemInformationBlockPos-r15,
    posSib3-1-r15                 SystemInformationBlockPos-r15,
    ...
  },
  lateNonCriticalExtension        OCTET STRING                OPTIONAL,
  nonCriticalExtension            SEQUENCE {}                 OPTIONAL
}
-- ASN1STOP

```

## – SystemInformationBlockType1

*SystemInformationBlockType1* contains information relevant when evaluating if a UE is allowed to access a cell and defines the scheduling of other system information. *SystemInformationBlockType1-BR* uses the same structure as *SystemInformationBlockType1*.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channels: BCCH and BR-BCCH

Direction: E-UTRAN to UE

### SystemInformationBlockType1 message

```

-- ASN1START
SystemInformationBlockType1-BR-r13 ::= SystemInformationBlockType1

SystemInformationBlockType1 ::= SEQUENCE {
  cellAccessRelatedInfo          SEQUENCE {
    plmn-IdentityList            PLMN-IdentityList,
    trackingAreaCode             TrackingAreaCode,
    cellIdentity                  CellIdentity,
    cellBarred                    ENUMERATED {barred, notBarred},
    intraFreqReselection         ENUMERATED {allowed, notAllowed},
    csg-Indication                BOOLEAN,
    csg-Identity                  CSG-Identity                OPTIONAL    -- Need OR
  },
  cellSelectionInfo              SEQUENCE {
    q-RxLevMin                    Q-RxLevMin,
    q-RxLevMinOffset              INTEGER (1..8)                OPTIONAL    -- Need OP
  },
  p-Max                          P-Max                            OPTIONAL,    -- Need OP
}
-- ASN1STOP

```

```

    freqBandIndicator          FreqBandIndicator,
    schedulingInfoList         SchedulingInfoList,
    tdd-Config                 TDD-Config                OPTIONAL,    -- Cond TDD
    si-WindowLength           ENUMERATED {
                                ms1, ms2, ms5, ms10, ms15, ms20,
                                ms40},
    systemInfoValueTag         INTEGER (0..31),
    nonCriticalExtension       SystemInformationBlockType1-v890-IEs    OPTIONAL
}

SystemInformationBlockType1-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension   OCTET STRING (CONTAINING SystemInformationBlockType1-v8h0-
IEs)                OPTIONAL,
    nonCriticalExtension       SystemInformationBlockType1-v920-IEs    OPTIONAL
}

-- Late non critical extensions
SystemInformationBlockType1-v8h0-IEs ::= SEQUENCE {
    multiBandInfoList         MultiBandInfoList                OPTIONAL,    -- Need OR
    nonCriticalExtension       SystemInformationBlockType1-v9e0-IEs    OPTIONAL
}

SystemInformationBlockType1-v9e0-IEs ::= SEQUENCE {
    freqBandIndicator-v9e0     FreqBandIndicator-v9e0        OPTIONAL,    -- Cond FBI-max
    multiBandInfoList-v9e0     MultiBandInfoList-v9e0        OPTIONAL,    -- Cond mFBI-max
    nonCriticalExtension       SystemInformationBlockType1-v10j0-IEs    OPTIONAL
}

SystemInformationBlockType1-v10j0-IEs ::= SEQUENCE {
    freqBandInfo-r10           NS-PmaxList-r10                OPTIONAL,    -- Need OR
    multiBandInfoList-v10j0    MultiBandInfoList-v10j0     OPTIONAL,    -- Need OR
    nonCriticalExtension       SystemInformationBlockType1-v10l0-IEs
    OPTIONAL
}

SystemInformationBlockType1-v10l0-IEs ::= SEQUENCE {
    freqBandInfo-v10l0         NS-PmaxList-v10l0            OPTIONAL,    -- Need OR
    multiBandInfoList-v10l0    MultiBandInfoList-v10l0     OPTIONAL,    -- Need OR
    nonCriticalExtension       SystemInformationBlockType1-v10x0-IEs    OPTIONAL
}

SystemInformationBlockType1-v10x0-IEs ::= SEQUENCE {
    -- This field is only for late non-critical extensions from Rel-10 or Rel-11 onwards
    lateNonCriticalExtension   OCTET STRING                OPTIONAL,
    nonCriticalExtension       SystemInformationBlockType1-v12j0-IEs    OPTIONAL
}

SystemInformationBlockType1-v12j0-IEs ::= SEQUENCE {
    schedulingInfoList-v12j0    SchedulingInfoList-v12j0    OPTIONAL,    -- Need OR
    schedulingInfoListExt-r12   SchedulingInfoListExt-r12   OPTIONAL,    -- Need OR
    nonCriticalExtension       SystemInformationBlockType1-v15g0-IEs    OPTIONAL
}

SystemInformationBlockType1-v15g0-IEs ::= SEQUENCE {
    bandwidthReducedAccessRelatedInfo-v15g0 SEQUENCE {
        posSchedulingInfoList-BR-r15   SchedulingInfoList-BR-r13
    }
    nonCriticalExtension       SEQUENCE {}                OPTIONAL
}

-- Regular non critical extensions
SystemInformationBlockType1-v920-IEs ::= SEQUENCE {
    ims-EmergencySupport-r9     ENUMERATED {true}          OPTIONAL,    -- Need OR
    cellSelectionInfo-v920      CellSelectionInfo-v920     OPTIONAL,    -- Cond RSRQ
    nonCriticalExtension       SystemInformationBlockType1-v1130-IEs    OPTIONAL
}

SystemInformationBlockType1-v1130-IEs ::= SEQUENCE {
    tdd-Config-v1130           TDD-Config-v1130            OPTIONAL,    -- Cond TDD-OR
    cellSelectionInfo-v1130     CellSelectionInfo-v1130     OPTIONAL,    -- Cond WB-RSRQ
    nonCriticalExtension       SystemInformationBlockType1-v1250-IEs    OPTIONAL
}

SystemInformationBlockType1-v1250-IEs ::= SEQUENCE {
    cellAccessRelatedInfo-v1250 SEQUENCE {
        category0Allowed-r12           ENUMERATED {true}          OPTIONAL    -- Need OP
    },
    cellSelectionInfo-v1250        CellSelectionInfo-v1250     OPTIONAL,    -- Cond RSRQ2

```

```

    freqBandIndicatorPriority-r12      ENUMERATED {true}          OPTIONAL, -- Cond mFBI
    nonCriticalExtension                SystemInformationBlockType1-v1310-IEs OPTIONAL
}

SystemInformationBlockType1-v1310-IEs ::= SEQUENCE {
    hyperSFN-r13                       BIT STRING (SIZE (10))    OPTIONAL, -- Need OR
    eDRX-Allowed-r13                   ENUMERATED {true}        OPTIONAL, -- Need OR
    cellSelectionInfoCE-r13            CellSelectionInfoCE-r13  OPTIONAL, -- Need OP
    bandwidthReducedAccessRelatedInfo-r13 SEQUENCE {
        si-WindowLength-BR-r13         ENUMERATED {
            ms20, ms40, ms60, ms80, ms120,
            ms160, ms200, spare},
        si-RepetitionPattern-r13       ENUMERATED {everyRF, every2ndRF, every4thRF,
            every8thRF},
        schedulingInfoList-BR-r13      SchedulingInfoList-BR-r13 OPTIONAL, -- Cond SI-
    }
BR
    fdd-DownlinkOrTddSubframeBitmapBR-r13 CHOICE {
        subframePattern10-r13          BIT STRING (SIZE (10)),
        subframePattern40-r13          BIT STRING (SIZE (40))
    } OPTIONAL, -- Need OP
    fdd-UplinkSubframeBitmapBR-r13     BIT STRING (SIZE (10))    OPTIONAL, -- Need OP
    startSymbolBR-r13                  INTEGER (1..4),
    si-HoppingConfigCommon-r13         ENUMERATED {on,off},
    si-ValidityTime-r13                ENUMERATED {true}        OPTIONAL, -- Need OP
    systemInfoValueTagList-r13         SystemInfoValueTagList-r13 OPTIONAL
    } OPTIONAL, -- Cond BW-reduced
    nonCriticalExtension                SystemInformationBlockType1-v1320-IEs OPTIONAL
}

SystemInformationBlockType1-v1320-IEs ::= SEQUENCE {
    freqHoppingParametersDL-r13        SEQUENCE {
        mpdcch-pdsch-HoppingNB-r13     ENUMERATED {nb2, nb4}    OPTIONAL, -- Need OR
        interval-DLHoppingConfigCommonModeA-r13 CHOICE {
            interval-FDD-r13            ENUMERATED {int1, int2, int4, int8},
            interval-TDD-r13           ENUMERATED {int1, int5, int10, int20}
        } OPTIONAL, -- Need OR
        interval-DLHoppingConfigCommonModeB-r13 CHOICE {
            interval-FDD-r13            ENUMERATED {int2, int4, int8, int16},
            interval-TDD-r13           ENUMERATED {int5, int10, int20, int40}
        } OPTIONAL, -- Need OR
        mpdcch-pdsch-HoppingOffset-r13  INTEGER (1..maxAvailNarrowBands-r13) OPTIONAL
    }
Need OR
    } OPTIONAL, -- Cond Hopping
    nonCriticalExtension                SystemInformationBlockType1-v1350-IEs
    OPTIONAL
}

SystemInformationBlockType1-v1350-IEs ::= SEQUENCE {
    cellSelectionInfoCE1-r13           CellSelectionInfoCE1-r13 OPTIONAL, -- Need OP
    nonCriticalExtension                SystemInformationBlockType1-v1360-IEs
    OPTIONAL
}

SystemInformationBlockType1-v1360-IEs ::= SEQUENCE {
    cellSelectionInfoCE1-v1360         CellSelectionInfoCE1-v1360 OPTIONAL, -- Cond
QrxlevminCE1
    nonCriticalExtension                SystemInformationBlockType1-v1430-IEs
    OPTIONAL
}

SystemInformationBlockType1-v1430-IEs ::= SEQUENCE {
    eCallOverIMS-Support-r14           ENUMERATED {true}        OPTIONAL, -- Need OR
    tdd-Config-v1430                  TDD-Config-v1430        OPTIONAL, -- Cond TDD-OR
    cellAccessRelatedInfoList-r14     SEQUENCE (SIZE (1..maxPLMN-1-r14)) OF
        CellAccessRelatedInfo-r14     OPTIONAL, -- Need OR
    nonCriticalExtension                SystemInformationBlockType1-v1450-IEs
    OPTIONAL
}

SystemInformationBlockType1-v1450-IEs ::= SEQUENCE {
    tdd-Config-v1450                  TDD-Config-v1450        OPTIONAL, -- Cond TDD-OR
    nonCriticalExtension                SystemInformationBlockType1-v1530-IEs
    OPTIONAL
}

SystemInformationBlockType1-v1530-IEs ::= SEQUENCE {
    hsdn-Cell-r15                     ENUMERATED {true}        OPTIONAL, -- Need OR
    cellSelectionInfoCE-v1530         CellSelectionInfoCE-v1530 OPTIONAL, -- Need OP
    crs-IntfMitigConfig-r15           CHOICE {

```



```

        crs-IntfMitigEnabled          NULL,
        crs-IntfMitigNumPRBs        ENUMERATED {n6, n24}
    } OPTIONAL, -- Need OR
    cellBarred-CRS-r15                ENUMERATED {barred, notBarred},
    plmn-IdentityList-v1530           PLMN-IdentityList-v1530 OPTIONAL, -- Need OR
    posSchedulingInfoList-r15        PosSchedulingInfoList-r15 OPTIONAL, -- Need OR
    cellAccessRelatedInfo-5GC-r15    SEQUENCE {
        cellBarred-5GC-r15            ENUMERATED {barred, notBarred},
        cellBarred-5GC-CRS-r15       ENUMERATED {barred, notBarred},
        cellAccessRelatedInfoList-5GC-r15 SEQUENCE (SIZE (1..maxPLMN-r11)) OF
            CellAccessRelatedInfo-5GC-r15
    } OPTIONAL, -- Need OP
    ims-EmergencySupport5GC-r15      ENUMERATED {true} OPTIONAL, -- Need OR
    eCallOverIMS-Support5GC-r15     ENUMERATED {true} OPTIONAL, -- Need OR
    nonCriticalExtension              SystemInformationBlockType1-v1540-IEs OPTIONAL
}

SystemInformationBlockType1-v1540-IEs ::= SEQUENCE {
    si-posOffset-r15                ENUMERATED {true} OPTIONAL, -- Need ON
    nonCriticalExtension              SEQUENCE {} OPTIONAL
}

PLMN-IdentityList ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo

PLMN-IdentityInfo ::= SEQUENCE {
    plmn-Identity                    PLMN-Identity,
    cellReservedForOperatorUse        ENUMERATED {reserved, notReserved}
}

PLMN-IdentityList-v1530 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-v1530

PLMN-IdentityInfo-v1530 ::= SEQUENCE {
    cellReservedForOperatorUse-CRS-r15 ENUMERATED {reserved, notReserved}
}

PLMN-IdentityList-r15 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-r15

PLMN-IdentityInfo-r15 ::= SEQUENCE {
    plmn-Identity-5GC-r15            CHOICE {
        plmn-Identity-r15            PLMN-Identity,
        plmn-Index-r15               INTEGER (1..maxPLMN-r11)
    },
    cellReservedForOperatorUse-r15    ENUMERATED {reserved, notReserved},
    cellReservedForOperatorUse-CRS-r15 ENUMERATED {reserved, notReserved}
}

SchedulingInfoList ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo

SchedulingInfoList-v12j0 ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo-v12j0

SchedulingInfoListExt-r12 ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfoExt-r12

SchedulingInfo ::= SEQUENCE {
    si-Periodicity                    SI-Periodicity-r12,
    sib-MappingInfo                    SIB-MappingInfo
}

SchedulingInfo-v12j0 ::= SEQUENCE {
    sib-MappingInfo-v12j0              SIB-MappingInfo-v12j0 OPTIONAL -- Need OR
}

SchedulingInfoExt-r12 ::= SEQUENCE {
    si-Periodicity-r12                SI-Periodicity-r12,
    sib-MappingInfo-r12                SIB-MappingInfo-v12j0
}

SchedulingInfoList-BR-r13 ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo-BR-r13

SchedulingInfo-BR-r13 ::= SEQUENCE {
    si-Narrowband-r13                 INTEGER (1..maxAvailNarrowBands-r13),
    si-TBS-r13                        ENUMERATED {b152, b208, b256, b328, b408, b504, b600, b712, b808, b936}
}

SIB-MappingInfo ::= SEQUENCE (SIZE (0..maxSIB-1)) OF SIB-Type

SIB-MappingInfo-v12j0 ::= SEQUENCE (SIZE (1..maxSIB-1)) OF SIB-Type-v12j0

SIB-Type ::= ENUMERATED {

```

```

        sibType3, sibType4, sibType5, sibType6,
        sibType7, sibType8, sibType9, sibType10,
        sibType11, sibType12-v920, sibType13-v920,
        sibType14-v1130, sibType15-v1130,
        sibType16-v1130, sibType17-v1250, sibType18-v1250,
        ..., sibType19-v1250, sibType20-v1310, sibType21-v1430,
        sibType24-v1530, sibType25-v1530, sibType26-v1530}

SIB-Type-v12j0 ::=      ENUMERATED {
        sibType19-v1250, sibType20-v1310, sibType21-v1430,
        sibType24-v1530, sibType25-v1530, sibType26-v1530,
        spare10, spare9, spare8, spare7, spare6,
        spare5, spare4, spare3, spare2, spare1, ...}

SI-Periodicity-r12 ::=      ENUMERATED {rf8, rf16, rf32, rf64, rf128, rf256, rf512}

SystemInfoValueTagList-r13 ::=      SEQUENCE (SIZE (1..maxSI-Message)) OF SystemInfoValueTagSI-r13

SystemInfoValueTagSI-r13 ::=      INTEGER (0..3)

CellSelectionInfo-v920 ::=      SEQUENCE {
        q-QualMin-r9                Q-QualMin-r9,
        q-QualMinOffset-r9          INTEGER (1..8)                OPTIONAL    -- Need OP
}

CellSelectionInfo-v1130 ::=      SEQUENCE {
        q-QualMinWB-r11             Q-QualMin-r9
}

CellSelectionInfo-v1250 ::=      SEQUENCE {
        q-QualMinRSRQ-OnAllSymbols-r12  Q-QualMin-r9
}

CellAccessRelatedInfo-r14 ::=      SEQUENCE {
        plmn-IdentityList-r14        PLMN-IdentityList,
        trackingAreaCode-r14         TrackingAreaCode,
        cellIdentity-r14             CellIdentity
}

CellAccessRelatedInfo-5GC-r15 ::=      SEQUENCE {
        plmn-IdentityList-r15        PLMN-IdentityList-r15,
        ran-AreaCode-r15             RAN-AreaCode-r15 OPTIONAL,    -- Need OR
        trackingAreaCode-5GC-r15     TrackingAreaCode-5GC-r15,
        cellIdentity-5GC-r15         CellIdentity-5GC-r15
}

CellIdentity-5GC-r15 ::= CHOICE{
        cellIdentity-r15             CellIdentity,
        cellId-Index-r15             INTEGER (1..maxPLMN-r11)
}

PosSchedulingInfoList-r15 ::= SEQUENCE (SIZE (1..maxSI-Message)) OF PosSchedulingInfo-r15

PosSchedulingInfo-r15 ::= SEQUENCE {
        posSI-Periodicity-r15        ENUMERATED {rf8, rf16, rf32, rf64, rf128, rf256, rf512},
        posSIB-MappingInfo-r15      PosSIB-MappingInfo-r15
}

PosSIB-MappingInfo-r15 ::= SEQUENCE (SIZE (1..maxSIB)) OF PosSIB-Type-r15

PosSIB-Type-r15 ::= SEQUENCE {
        encrypted-r15                ENUMERATED { true }                OPTIONAL,    -- Need OP
        gnss-id-r15                  GNSS-ID-r15                        OPTIONAL,    -- Need OP
        sbas-id-r15                  SBAS-ID-r15                        OPTIONAL,    -- Need OP
        posSibType-r15               ENUMERATED {
                posSibType1-1,
                posSibType1-2,
                posSibType1-3,
                posSibType1-4,
                posSibType1-5,
                posSibType1-6,
                posSibType1-7,
                posSibType2-1,
                posSibType2-2,
                posSibType2-3,
                posSibType2-4,
                posSibType2-5,
                posSibType2-6,
                posSibType2-7,

```

```
posSibType2-8,  
posSibType2-9,  
posSibType2-10,  
posSibType2-11,  
posSibType2-12,  
posSibType2-13,  
posSibType2-14,  
posSibType2-15,  
posSibType2-16,  
posSibType2-17,  
posSibType2-18,  
posSibType2-19,  
posSibType3-1,  
...},  
...  
}  
-- ASN1STOP
```

| <b>SystemInformationBlockType1 field descriptions</b>  |
|--|
| <p><b>bandwidthReducedAccessRelatedInfo</b><br/>Access related information for BL UEs and UEs in CE. NOTE 3.</p>   |
| <p><b>category0Allowed</b><br/>The presence of this field indicates category 0 UEs are allowed to access the cell.</p>   |
| <p><b>cellAccessRelatedInfoList</b><br/>This field contains a list allowing signalling of access related information per PLMN. One PLMN can be included in only one entry of this list. NOTE 4.</p>  |
| <p><b>cellAccessRelatedInfoList-5GC</b><br/>This field contains a PLMN list and a list allowing signalling of access related information per PLMN for PLMNs that provides connectivity to 5GC. One PLMN can be included in only one entry of this list. NOTE4</p>  |
| <p><b>cellBarred, cellBarred-CRS</b><br/>barred means the cell is barred, as defined in TS 36.304 [4].</p>   |
| <p><b>cellBarred-5GC, cellBarred-5GC-CRS</b><br/>barred means the cell is barred for connectivity to 5GC, as defined in TS 36.304 [4].</p>   |
| <p><b>cellIdentity</b><br/>Indicates the cell identity. NOTE 2.</p>  |
| <p><b>cellId-Index</b><br/>The index of the cell ID in the PLMN lists for EPC, indicates UE the corresponding cell ID is used for 5GC. Value 1 indicates the cell ID of the 1st PLMN list for EPC in the SIB1. Value 2 indicates the cell ID of the 2nd PLMN list for EPC, and so on.</p>  |
| <p><b>cellReservedForOperatorUse, cellReservedForOperatorUse-CRS</b><br/>As defined in TS 36.304 [4].</p>  |
| <p><b>cellSelectionInfoCE</b><br/>Cell selection information for BL UEs and UEs in CE. If absent, coverage enhancement S criteria is not applicable. NOTE 3.</p>   |
| <p><b>cellSelectionInfoCE1</b><br/>Cell selection information for BL UEs and UEs in CE supporting CE Mode B. E-UTRAN includes this IE only if <i>cellSelectionInfoCE</i> is present in <i>SystemInformationBlockType1-BR</i>. NOTE 3.</p>  |
| <p><b>crs-IntfMitigConfig</b><br/><i>crs-IntfMitigEnabled</i> indicates CRS interference mitigation is enabled for the cell, as specified in TS 36.133 [16], clause 3.6.1.1. For BL UEs supporting <i>ce-CRS-IntfMitig</i>, presence of <i>crs-IntfMitigNumPRBs</i> indicates CRS interference mitigation is enabled in the cell, as specified in TS 36.133 [16], clauses 3.6.1.2 and 3.6.1.3, and the value of <i>crs-IntfMitigNumPRBs</i> indicates number of PRBs, i.e. 6 or 24 PRBs, for CRS transmission in the central cell BW when CRS interference mitigation is enabled. For UEs not supporting this feature, the behaviour is undefined if this field is configured and the field <i>cellBarred</i> in <i>SystemInformationBlockType1</i> (<i>SystemInformationBlockType1-BR</i> for BL UEs or UEs in CE) is set to <i>notbarred</i>.</p>  |
| <p><b>csg-Identity</b><br/>Identity of the Closed Subscriber Group the cell belongs to.</p>  |
| <p><b>csg-Indication</b><br/>If set to TRUE the UE is only allowed to access the cell if it is a CSG member cell, if selected during manual CSG selection or to obtain limited service, see TS 36.304 [4].</p>   |
| <p><b>eCallOverIMS-Support</b><br/>Indicates whether the cell supports eCall over IMS services via EPC for UEs as defined in TS 23.401 [41]. If absent, eCall over IMS via EPC is not supported by the network in the cell. NOTE 2.</p>  |
| <p><b>eCallOverIMS-Support5GC</b><br/>Indicates whether the cell supports eCall over IMS services via 5GC as defined in TS 23.401 [41]. If absent, eCall over IMS via 5GC is not supported by the network in the cell. NOTE 2.</p>   |
| <p><b>eDRX-Allowed</b><br/>The presence of this field indicates if idle mode extended DRX is allowed in the cell. The UE shall stop using extended DRX in idle mode if <i>eDRX-Allowed</i> is not present.</p>   |
| <p><b>encrypted</b><br/>The presence of this field indicates that the <i>posSibType</i> is encrypted as specified in TS 36.355 [54].</p>   |
| <p><b>fdd-DownlinkOrTddSubframeBitmapBR</b><br/>The set of valid subframes for FDD downlink or TDD transmissions, see TS 36.213 [23].<br/>If this field is present, <i>SystemInformationBlockType1-BR-r13</i> is transmitted in <i>RRCCONNECTIONRECONFIGURATION</i>, and if <i>RRCCONNECTIONRECONFIGURATION</i> does not include <i>systemInformationBlockType2Dedicated</i>, UE may assume the valid subframes in <i>fdd-DownlinkOrTddSubframeBitmapBR</i> are not indicated as MBSFN subframes. If this field is not present, the set of valid subframes is the set of non-MBSFN subframes as indicated by <i>mbsfn-SubframeConfigList</i>. If neither this field nor <i>mbsfn-SubframeConfigList</i> is present, all subframes are considered as valid subframes for FDD downlink transmission, all DL subframes according to the uplink-downlink configuration (see TS 36.211 [21]) are considered as valid subframes for TDD DL transmission, and all UL subframes according to the uplink-downlink configuration (see TS 36.211 [21]) are considered as valid subframes for TDD UL transmission.<br/>The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying <math>SFN \bmod x = 0</math>, where <math>x</math> is the size of the bit string divided by 10. Value 0 in the bitmap indicates that the corresponding subframe is invalid for transmission. Value 1 in the bitmap indicates that the corresponding subframe is valid for transmission.</p> |

| <b>SystemInformationBlockType1 field descriptions</b>  |
|--|
| <p><b><i>fdd-UplinkSubframeBitmapBR</i></b><br/>The set of valid subframes for FDD uplink transmissions for BL UEs, see TS 36.213 [23].<br/>If the field is not present, then UE considers all uplink subframes as valid subframes for FDD uplink transmissions. The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying <math>\text{SFN mod } x = 0</math>, where <math>x</math> is the size of the bit string divided by 10. Value 0 in the bitmap indicates that the corresponding subframe is invalid for transmission. Value 1 in the bitmap indicates that the corresponding subframe is valid for transmission.</p>   |
| <p><b><i>freqBandIndicatorPriority</i></b><br/>If the field is present and supported by the UE, the UE shall prioritize the frequency bands in the <i>multiBandInfoList</i> field in decreasing priority order. Only if the UE does not support any of the frequency band in <i>multiBandInfoList</i>, the UE shall use the value in <i>freqBandIndicator</i> field. Otherwise, the UE applies frequency band according to the rules defined in <i>multiBandInfoList</i>. NOTE 2.</p>  |
| <p><b><i>freqBandInfo</i></b><br/>A list of <i>additionalPmax</i> and <i>additionalSpectrumEmission</i> values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, for the frequency band in <i>freqBandIndicator</i>. If E-UTRAN includes <i>freqBandInfo-v10I0</i> it includes the same number of entries, and listed in the same order, as in <i>freqBandInfo-r10</i>.</p>  |
| <p><b><i>freqHoppingParametersDL</i></b><br/>Downlink frequency hopping parameters for BR versions of SI messages, MPDCCH/PDSCH of paging, MPDCCH/PDSCH of RAR/Msg4 and unicast MPDCCH/PDSCH. If not present, the UE is not configured downlink frequency hopping.</p>   |
| <p><b><i>gnss-ID</i></b><br/>The presence of this field indicates that the <i>posSibType</i> is for a specific GNSS.</p>   |
| <p><b><i>hsdn-Cell</i></b><br/>This field indicates this is a HSDN cell as specified in TS 36.304 [4].</p>   |
| <p><b><i>hyperSFN</i></b><br/>Indicates hyper SFN which increments by one when the SFN wraps around.</p>   |
| <p><b><i>ims-EmergencySupport</i></b><br/>Indicates whether the cell supports IMS emergency bearer services via EPC for UEs in limited service mode. If absent, IMS emergency call via EPC is not supported by the network in the cell for UEs in limited service mode. NOTE 2.</p>  |
| <p><b><i>ims-EmergencySupport5GC</i></b><br/>Indicates whether the cell supports IMS emergency bearer services for UEs in limited service mode via 5GC. If absent, IMS emergency call via 5GC is not supported by the network in the cell for UEs in limited service mode. NOTE 2.</p>   |
| <p><b><i>intraFreqReselection</i></b><br/>Used to control cell reselection to intra-frequency cells when the highest ranked cell is barred, or treated as barred by the UE, as specified in TS 36.304 [4]. NOTE 2.</p>   |
| <p><b><i>multiBandInfoList</i></b><br/>A list of additional frequency band indicators, as defined in TS 36.101 [42], table 5.5-1, that the cell belongs to. If the UE supports the frequency band in the <i>freqBandIndicator</i> field it shall apply that frequency band. Otherwise, the UE shall apply the first listed band which it supports in the <i>multiBandInfoList</i> field. If E-UTRAN includes <i>multiBandInfoList-v9e0</i> it includes the same number of entries, and listed in the same order, as in <i>multiBandInfoList</i> (i.e. without suffix). See Annex D for more descriptions. The UE shall ignore the rule defined in this field description if <i>freqBandIndicatorPriority</i> is present and supported by the UE.</p> |
| <p><b><i>multiBandInfoList-v10j0</i></b><br/>A list of <i>additionalPmax</i> and <i>additionalSpectrumEmission</i> values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, for the frequency bands in <i>multiBandInfoList</i> (i.e. without suffix) and <i>multiBandInfoList-v9e0</i>. If E-UTRAN includes <i>multiBandInfoList-v10j0</i>, it includes the same number of entries, and listed in the same order, as in <i>multiBandInfoList</i> (i.e. without suffix). If E-UTRAN includes <i>multiBandInfoList-v10I0</i> it includes the same number of entries, and listed in the same order, as in <i>multiBandInfoList-v10j0</i>.</p>                |
| <p><b><i>plmn-IdentityList</i></b><br/>List of PLMN identities. The first listed <i>PLMN-Identity</i> is the primary PLMN. If <i>plmn-IdentityList-v1530</i> is included, E-UTRAN includes the same number of entries, and listed in the same order, as in <i>plmn-IdentityList</i> (without suffix). NOTE 2.</p>  |
| <p><b><i>plmn-Index</i></b><br/>Index of the PLMN in the <i>plmn-IdentityList</i> fields included in SIB1 for EPC, indicating the same PLMN ID is connected to 5GC. Value 1 indicates the 1st PLMN in the 1st <i>plmn-IdentityList</i> included in SIB1, value 2 indicates the 2nd PLMN in the same <i>plmn-IdentityList</i>, or when no more PLMNs are present within the same <i>plmn-IdentityList</i>, then the PLMN listed 1st in the subsequent <i>plmn-IdentityList</i> within the same SIB1 and so on. NOTE 6.</p>  |
| <p><b><i>p-Max</i></b><br/>Value applicable for the cell. If absent the UE applies the maximum power according to its capability as specified in TS 36.101 [42], clause 6.2.2. NOTE 2.</p>   |
| <p><b><i>posSchedulingInfoList-BR</i></b><br/>Indicates additional scheduling information of positioning SI messages for BL UEs and UEs in CE. E-UTRAN always includes this field if <i>posSchedulingInfoList-r15</i> is included in <i>SystemInformationBlockType1-BR</i>, and includes the same number of entries, and listed in the same order, as in <i>posSchedulingInfoList-r15</i>.</p>   |

| <b>SystemInformationBlockType1 field descriptions</b>   |
|---|
| <p><b>posSIB-MappingInfo</b><br/>List of the posSIBs mapped to this <i>SystemInformation</i> message.</p>   |
| <p><b>posSibType</b><br/>The positioning SIB type is defined in TS 36.355 [54].</p>   |
| <p><b>q-QualMin</b><br/>Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4]. If <i>cellSelectionInfo-v920</i> is not present, the UE applies the (default) value of negative infinity for Q<sub>qualmin</sub>. NOTE 1.</p>   |
| <p><b>q-QualMinRSRQ-OnAllSymbols</b><br/>If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols in accordance with TS 36.214 [48]. NOTE 1.</p>   |
| <p><b>q-QualMinOffset</b><br/>Parameter "Q<sub>qualminoffset</sub>" in TS 36.304 [4]. Actual value Q<sub>qualminoffset</sub> = field value [dB]. If <i>cellSelectionInfo-v920</i> is not present or the field is not present, the UE applies the (default) value of 0 dB for Q<sub>qualminoffset</sub>. Affects the minimum required quality level in the cell.</p>   |
| <p><b>q-QualMinWB</b><br/>If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, use a wider bandwidth in accordance with TS 36.133 [16]. NOTE 1.</p>   |
| <p><b>q-RxLevMinOffset</b><br/>Parameter Q<sub>rxlevminoffset</sub> in TS 36.304 [4]. Actual value Q<sub>rxlevminoffset</sub> = field value * 2 [dB]. If absent, the UE applies the (default) value of 0 dB for Q<sub>rxlevminoffset</sub>. Affects the minimum required Rx level in the cell.</p>  |
| <p><b>sbas-ID</b><br/>The presence of this field indicates that the <i>posSibType</i> is for a specific SBAS.</p>   |
| <p><b>schedulingInfoList</b><br/>Indicates scheduling information of SI messages. The <i>schedulingInfoList-v12j0</i> (if present) provides additional SIBs mapped into the SI message scheduled via <i>schedulingInfoList</i> (without suffix). If E-UTRAN includes <i>schedulingInfoList-v12j0</i>, it includes the same number of entries, and listed in the same order, as in <i>schedulingInfoList</i> (without suffix).</p>   |
| <p><b>schedulingInfoListExt</b><br/>Indicates scheduling information of additional SI messages. The UE concatenates the entries of <i>schedulingInfoListExt</i> to the entries in <i>schedulingInfoList</i>, according to the general concatenation principles for list extension as defined in 5.1.2. If the <i>schedulingInfoListExt</i> is present, E-UTRAN ensures that the total number of entries of this field plus <i>schedulingInfoList</i> (without suffix) shall not exceed the value of <i>maxSI-Message</i>.</p>   |
| <p><b>sib-MappingInfo</b><br/>List of the SIBs mapped to this <i>SystemInformation</i> message. There is no mapping information of SIB2; it is always present in the first <i>SystemInformation</i> message listed in the <i>schedulingInfoList</i> (without suffix) list. If present, <i>sib-MappingInfo-v12j0</i> indicates one or more additional SIBs mapped to the concerned SI message listed in the <i>schedulingInfoList</i> (without suffix) list. If <i>schedulingInfoList-v12j0</i> or <i>schedulingInfoListExt-r12</i> is present, E-UTRAN does not include any value indicating SIB of type 19 or higher in <i>sib-MappingInfo</i> (without suffix). If <i>schedulingInfoList-v12j0</i> is present, E-UTRAN ensures that the total number of entries of this field plus <i>sib-MappingInfo</i> (without suffix) shall not exceed the value of <i>maxSIB-1</i>.</p> |
| <p><b>si-HoppingConfigCommon</b><br/>Frequency hopping activation/deactivation for BR versions of SI messages and MPDCCH/PDSCH of paging.</p>   |
| <p><b>si-Narrowband</b><br/>This field indicates the index of a narrowband used to broadcast the SI message towards BL UEs and UEs in CE, see TS 36.211 [21], clause 6.4.1 and TS 36.213 [23], clause 7.1.6. Field values (1..<i>maxAvailNarrowBands-r13</i>) correspond to narrowband indices (0..<i>maxAvailNarrowBands-r13-1</i>) as specified in TS 36.211 [21].</p>  |
| <p><b>si-RepetitionPattern</b><br/>Indicates the radio frames within the SI window used for SI message transmission. Value <i>everyRF</i> corresponds to every radio frame, value <i>every2ndRF</i> corresponds to every 2 radio frames, and so on. The first transmission of the SI message is transmitted from the first radio frame of the SI window.</p>  |
| <p><b>si-Periodicity, posSI-Periodicity</b><br/>Periodicity of the SI-message in radio frames, such that <i>rf8</i> denotes 8 radio frames, <i>rf16</i> denotes 16 radio frames, and so on. If the <i>si-posOffset</i> is configured, the <i>posSI-Periodicity</i> of <i>rf8</i> cannot be used.</p>  |
| <p><b>si-posOffset</b><br/>This field, if present and set to <i>true</i> indicates that the SI messages in <i>PosSchedulingInfoList</i> are scheduled with an offset of 8 radio frames compared to SI messages in <i>SchedulingInfoList</i>. <i>si-posOffset</i> may be present only if the shortest configured SI message periodicity for SI messages in <i>SchedulingInfoList</i> is 80ms.</p>  |
| <p><b>si-TBS</b><br/>This field indicates the transport block size information used to broadcast the SI message towards BL UEs and UEs in CE, see TS 36.213 [23], Table 7.1.7.2.1-1, for a 6 PRB bandwidth and a QPSK modulation.</p>   |
| <p><b>schedulingInfoList-BR</b><br/>Indicates additional scheduling information of SI messages for BL UEs and UEs in CE. It includes the same number of entries, and listed in the same order, as in <i>schedulingInfoList</i> (without suffix).</p>  |
| <p><b>si-ValidityTime</b><br/>Indicates system information validity timer. If set to TRUE, the timer is set to 3h, otherwise the timer is set to 24h.</p>   |

| <b>SystemInformationBlockType1 field descriptions</b>  |
|--|
| <p><b>si-WindowLength, si-WindowLength-BR</b><br/>Common SI scheduling window for all SIs. Unit in milliseconds, where ms1 denotes 1 millisecond, ms2 denotes 2 milliseconds and so on. In case <i>si-WindowLength-BR-r13</i> is present and the UE is a BL UE or a UE in CE, the UE shall use <i>si-WindowLength-BR-r13</i> and ignore the original field <i>si-WindowLength</i> (without suffix). UEs other than BL UEs or UEs in CE shall ignore the extension field <i>si-WindowLength-BR-r13</i>.</p> |
| <p><b>startSymbolBR</b><br/>For BL UEs and UEs in CE, indicates the OFDM starting symbol for any MPDCCH, PDSCH scheduled on the same cell except the PDSCH carrying <i>SystemInformationBlockType1-BR</i>, see TS 36.213 [23]. Values 1, 2, and 3 are applicable for <i>dl-Bandwidth</i> greater than 10 resource blocks. Values 2, 3, and 4 are applicable otherwise.</p>   |
| <p><b>systemInfoValueTagList</b><br/>Indicates SI message specific value tags for BL UEs and UEs in CE. It includes the same number of entries, and listed in the same order, as in <i>schedulingInfoList</i> (without suffix).</p>  |
| <p><b>systemInfoValueTagSI</b><br/>SI message specific value tag as specified in clause 5.2.1.3. Common for all SIBs within the SI message other than MIB, SIB1, SIB10, SIB11, SIB12 and SIB14.</p>  |
| <p><b>systemInfoValueTag</b><br/>Common for all SIBs other than MIB, MIB-MBMS, SIB1, SIB1-MBMS, SIB10, SIB11, SIB12 and SIB14. Change of MIB, MIB-MBMS, SIB1 and SIB1-MBMS is detected by acquisition of the corresponding message.</p>  |
| <p><b>tdd-Config</b><br/>Specifies the TDD specific physical channel configurations. NOTE 2.</p>   |
| <p><b>trackingAreaCode/trackingAreaCode-5GC</b><br/>A <i>trackingAreaCode</i> that is common for all the PLMNs listed. NOTE2. NOTE 5.</p>  |

NOTE 1: The value the UE applies for parameter "Q<sub>qualmin</sub>" in TS 36.304 [4] depends on the *q-QualMin* fields signalled by E-UTRAN and supported by the UE. In case multiple candidate options are available, the UE shall select the highest priority candidate option according to the priority order indicated by the following table (top row is highest priority).

| <b>q-QualMinRSRQ-OnAllSymbols</b> | <b>q-QualMinWB</b> | <b>Value of parameter "Q<sub>qualmin</sub>" in TS 36.304 [4]</b> |
|-----------------------------------|--------------------|--|
| Included                          | Included           | $q-QualMinRSRQ-OnAllSymbols - (q-QualMin - q-QualMinWB)$         |
| Included                          | Not included       | $q-QualMinRSRQ-OnAllSymbols$                                     |
| Not included                      | Included           | $q-QualMinWB$  |
| Not included                      | Not included       | $q-QualMin$  |

NOTE 2: E-UTRAN sets this field to the same value for all instances of SIB1 message that are broadcasted within the same cell.

NOTE 3: E-UTRAN configures this field only in the BR version of SIB1 message.

NOTE 4: E-UTRAN configures at most 6 EPC PLMNs in total (i.e. across all the PLMN lists except for PLMN lists in *cellAccessRelatedInfoList-5GC* in SIB1). E-UTRAN configures at most 6 5GC PLMNs in total (i.e. across all the PLMN lists in *cellAccessRelatedInfoList-5GC* in SIB1).

NOTE 5: E-UTRAN configures only one value for this parameter per PLMN.

NOTE 6: E-UTRAN configures *plmn-Index* only if the *cellBarred* is set to *notBarred*.

| Conditional presence | Explanation  |
|----------------------|--|
| <i>BW-reduced</i>    | The field is optional present, Need OR, if <i>schedulingInfoSIB1-BR</i> in MIB is set to a value greater than 0. Otherwise the field is not present.   |
| <i>FBI-max</i>       | The field is mandatory present if <i>freqBandIndicator</i> (i.e. without suffix) is set to <i>maxFBI</i> . Otherwise the field is not present.   |
| <i>mFBI</i>          | The field is optional present, Need OR, if <i>multiBandInfoList</i> is present. Otherwise the field is not present.  |
| <i>mFBI-max</i>      | The field is mandatory present if one or more entries in <i>multiBandInfoList</i> (i.e. without suffix, introduced in -v8h0) is set to <i>maxFBI</i> . Otherwise the field is not present.                         |
| <i>RSRQ</i>          | The field is mandatory present if SIB3 is being broadcast and <i>threshServingLowQ</i> is present in SIB3; otherwise optionally present, Need OP.  |
| <i>RSRQ2</i>         | The field is mandatory present if <i>q-QualMinRSRQ-OnAllSymbols</i> is present in SIB3; otherwise it is not present and the UE shall delete any existing value for this field.                                     |
| <i>Hopping</i>       | The field is mandatory present if <i>si-HoppingConfigCommon</i> field is broadcasted and set to <i>on</i> . Otherwise the field is optionally present, need OP.  |
| <i>QrxlevminCE1</i>  | The field is optionally present, Need OR, if <i>q-RxLevMinCE1-r13</i> is set below -140 dBm. Otherwise the field is not present.   |
| <i>TDD</i>           | This field is mandatory present for TDD; it is not present for FDD and the UE shall delete any existing value for this field.  |
| <i>TDD-OR</i>        | The field is optional present for TDD, need OR; it is not present for FDD.   |
| <i>WB-RSRQ</i>       | The field is optionally present, need OP if the measurement bandwidth indicated by <i>allowedMeasBandwidth</i> in <i>systemInformationBlockType3</i> is 50 resource blocks or larger; otherwise it is not present. |
| <i>SI-BR</i>         | The field is mandatory present if <i>schedulingInfoSIB1-BR</i> is included in MIB with a value greater than 0. Otherwise the field is not present.   |

### – *SystemInformationBlockType1-MBMS*

*SystemInformationBlockType1-MBMS* contains information relevant for receiving service from MBMS-dedicated cell and defines the scheduling of other system information.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channels: BCCH

Direction: E-UTRAN to UE

### *SystemInformationBlockType1-MBMS message*

```
-- ASN1START
SystemInformationBlockType1-MBMS-r14 ::= SEQUENCE {
    cellAccessRelatedInfo-r14 SEQUENCE {
        plmn-IdentityList-r14 PLMN-IdentityList-MBMS-r14,
        trackingAreaCode-r14 TrackingAreaCode,
        cellIdentity-r14 CellIdentity
    },
    freqBandIndicator-r14 FreqBandIndicator-r11,
    multiBandInfoList-r14 MultiBandInfoList-r11 OPTIONAL, -- Need OR
    schedulingInfoList-MBMS-r14 SchedulingInfoList-MBMS-r14,
    si-WindowLength-r14 ENUMERATED {
        ms1, ms2, ms5, ms10, ms15, ms20, ms40, ms80},
    systemInfoValueTag-r14 INTEGER (0..31),
    nonMBSFN-SubframeConfig-r14 NonMBSFN-SubframeConfig-r14 OPTIONAL, --Need OR
    pdsch-ConfigCommon-r14 PDSCH-ConfigCommon,
    systemInformationBlockType13-r14 SystemInformationBlockType13-r9 OPTIONAL, --Need OR
    cellAccessRelatedInfoList-r14 SEQUENCE (SIZE (1..maxPLMN-1-r14)) OF
        CellAccessRelatedInfo-r14 OPTIONAL, -- Need OR
    nonCriticalExtension SEQUENCE {} OPTIONAL
}
PLMN-IdentityList-MBMS-r14 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-Identity
SchedulingInfoList-MBMS-r14 ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo-MBMS-r14
SchedulingInfo-MBMS-r14 ::= SEQUENCE {
    si-Periodicity-r14 ENUMERATED {
```



```

        sib-MappingInfo-r14          rf16, rf32, rf64, rf128, rf256, rf512},
    }                               SIB-MappingInfo-MBMS-r14

SIB-MappingInfo-MBMS-r14 ::= SEQUENCE (SIZE (0..maxSIB-1)) OF SIB-Type-MBMS-r14

SIB-Type-MBMS-r14 ::=
    ENUMERATED {
        sibType10, sibType11, sibType12-v920, sibType13-v920,
        sibType15-v1130, sibType16-v1130, ...}

NonMBSFN-SubframeConfig-r14 ::=
    SEQUENCE {
        radioFrameAllocationPeriod-r14  ENUMERATED {rf4, rf8, rf16, rf32, rf64, rf128, rf512},
        radioFrameAllocationOffset-r14  INTEGER (0..7),
        subframeAllocation-r14          BIT STRING (SIZE(9))
    }

-- ASN1STOP

```

| <b>SystemInformationBlockType1-MBMS field descriptions</b>   |
|--|
| <p><b>cellAccessRelatedInfoList</b><br/>This field contains a list allowing signalling of access related information per PLMN. One PLMN can be included in only one entry of this list. NOTE 2.</p>  |
| <p><b>cellIdentity</b><br/>Indicates the cell identity. NOTE 1.</p>  |
| <p><b>freqBandIndicator</b><br/>A list of as defined in TS 36.101 [42], table 6.2.4-1, for the frequency band in <i>freqBandIndicator</i>.</p>   |
| <p><b>multiBandInfoList</b><br/>A list of additional frequency band indicators, as defined in TS 36.101 [42], table 5.5-1, that the cell belongs to. If the UE supports the frequency band in the <i>freqBandIndicator</i> field it shall apply that frequency band. Otherwise, the UE shall apply the first listed band which it supports in the <i>multiBandInfoList</i> field.</p>  |
| <p><b>nonMBSFN-SubframeConfig</b><br/>Defines the non-MBSFN subframes within the radio frame allocation period defined by the <i>radioFrameAllocationPeriod</i> and the <i>radioFrameAllocationOffset</i>.</p>   |
| <p><b>plmn-IdentityList</b><br/>List of PLMN identities. The first listed <i>PLMN-Identity</i> is the primary PLMN. NOTE 1.</p>  |
| <p><b>radioFrameAllocationPeriod, radioFrameAllocationOffset</b><br/>Radio-frames that contain non-MBSFN subframes occur when equation <math>SFN \bmod radioFrameAllocationPeriod = radioFrameAllocationOffset</math> is satisfied. Value rf4 for <i>radioFrameAllocationPeriod</i> denotes 4 radio frames, rf8 denotes 8 radio frames, and so on.</p>   |
| <p><b>schedulingInfoList-MBMS</b><br/>Indicates additional scheduling information of SI messages on MBMS-dedicated cell.</p>   |
| <p><b>sib-MappingInfo</b><br/>List of the SIBs mapped to this <i>SystemInformation</i> message.</p>  |
| <p><b>si-Periodicity</b><br/>Periodicity of the SI-message in radio frames, such that rf16 denotes 16 radio frames, rf32 denotes 32 radio frames, and so on.</p>   |
| <p><b>si-WindowLength</b><br/>Common SI scheduling window for all SIs. Unit in milliseconds, where ms1 denotes 1 millisecond, ms2 denotes 2 milliseconds and so on.</p>  |
| <p><b>subframeAllocation</b><br/>Defines the subframes that are allocated for non-MBSFN within the radio frame allocation period defined by the <i>radioFrameAllocationPeriod</i> and the <i>radioFrameAllocationOffset</i>. "0" denotes that the corresponding subframe is a MBSFN subframe. "1" denotes that the corresponding subframe is a non-MBSFN subframe. If E-UTRAN configures a value other than "0" for <i>additionalNonMBSFNSubframes</i> within <i>MasterInformationBlock-MBMS</i>, <i>subframeAllocation</i> configuration should also indicate subframes pointed out by <i>additionalNonMBSFNSubframes</i> as non-MBSFN subframes.</p> |
| <p><b>systemInformationBlockType13</b><br/>E-UTRAN does not configure this field if <i>schedulingInfoList-MBMS</i> indicates that <i>SystemInformationBlockType13</i> is present.</p>  |
| <p><b>systemInfoValueTag</b><br/>Common for all SIBs other than MIB, SIB1, SIB10, SIB11, SIB12 and SIB14. Change of MIB and SIB1 is detected by acquisition of the corresponding message.</p>  |
| <p><b>trackingAreaCode</b><br/>A <i>trackingAreaCode</i> that is common for all the PLMNs listed. NOTE 1.</p>  |

NOTE 1: E-UTRAN sets this field to the same value for all instances of SIB1-MBMS message that are broadcasted within the same cell.

## UEAssistanceInformation

The *UEAssistanceInformation* message is used for the indication of UE assistance information to the eNB.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### UEAssistanceInformation message

```

-- ASN1START
UEAssistanceInformation-r11 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        cl                     CHOICE {
            ueAssistanceInformation-r11    UEAssistanceInformation-r11-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}

UEAssistanceInformation-r11-IEs ::= SEQUENCE {
    powerPrefIndication-r11    ENUMERATED {normal, lowPowerConsumption}    OPTIONAL,
    lateNonCriticalExtension    OCTET STRING                                OPTIONAL,
    nonCriticalExtension        UEAssistanceInformation-v1430-IEs          OPTIONAL
}

UEAssistanceInformation-v1430-IEs ::= SEQUENCE {
    bw-Preference-r14          BW-Preference-r14                            OPTIONAL,
    sps-AssistanceInformation-r14    SEQUENCE {
        trafficPatternInfoListSL-r14    TrafficPatternInfoList-r14        OPTIONAL,
        trafficPatternInfoListUL-r14    TrafficPatternInfoList-r14        OPTIONAL
    }
    OPTIONAL,
    rlm-Report-r14             SEQUENCE {
        rlm-Event-r14             ENUMERATED {earlyOutOfSync, earlyInSync},
        excessRep-MPDCCH-r14      ENUMERATED {excessRep1, excessRep2}    OPTIONAL
    }
    OPTIONAL,
    delayBudgetReport-r14      DelayBudgetReport-r14            OPTIONAL,
    nonCriticalExtension        UEAssistanceInformation-v1450-IEs          OPTIONAL
}

UEAssistanceInformation-v1450-IEs ::= SEQUENCE {
    overheatingAssistance-r14    OverheatingAssistance-r14        OPTIONAL,
    nonCriticalExtension        UEAssistanceInformation-v1530-IEs          OPTIONAL
}

UEAssistanceInformation-v1530-IEs ::= SEQUENCE {
    sps-AssistanceInformation-v1530    SEQUENCE {
        trafficPatternInfoListSL-v1530    TrafficPatternInfoList-v1530
    }
    OPTIONAL,
    nonCriticalExtension        SEQUENCE {}                                OPTIONAL
}

BW-Preference-r14 ::= SEQUENCE {
    dl-Preference-r14    ENUMERATED {mhz1dot4, mhz5, mhz20 }    OPTIONAL,
    ul-Preference-r14    ENUMERATED {mhz1dot4, mhz5}            OPTIONAL
}

TrafficPatternInfoList-r14 ::= SEQUENCE (SIZE (1..maxTrafficPattern-r14)) OF TrafficPatternInfo-r14

TrafficPatternInfo-r14 ::= SEQUENCE {
    trafficPeriodicity-r14    ENUMERATED {
        sf20, sf50, sf100, sf200, sf300, sf400, sf500,
        sf600, sf700, sf800, sf900, sf1000},
    timingOffset-r14          INTEGER (0..10239),
    priorityInfoSL-r14        SL-Priority-r13                    OPTIONAL,
    logicalChannelIdentityUL-r14    INTEGER (3..10)                OPTIONAL,
    messageSize-r14           BIT STRING (SIZE (6))
}

```

```
TrafficPatternInfoList-v1530 ::= SEQUENCE (SIZE (1..maxTrafficPattern-r14)) OF TrafficPatternInfo-
v1530

TrafficPatternInfo-v1530 ::= SEQUENCE {
    trafficDestination-r15          SL-DestinationIdentity-r12          OPTIONAL,
    reliabilityInfoSL-r15          SL-Reliability-r15                  OPTIONAL
}

DelayBudgetReport-r14 ::= CHOICE {
    type1                          ENUMERATED {
                                    msMinus1280, msMinus640, msMinus320, msMinus160,
                                    msMinus80, msMinus60, msMinus40, msMinus20, ms0, ms20,
                                    ms40, ms60, ms80, ms160, ms320, ms640, ms1280},
    type2                          ENUMERATED {
                                    msMinus192, msMinus168, msMinus144, msMinus120,
                                    msMinus96, msMinus72, msMinus48, msMinus24, ms0, ms24,
                                    ms48, ms72, ms96, ms120, ms144, ms168, ms192}
}

OverheatingAssistance-r14 ::= SEQUENCE {
    reducedUE-Category             SEQUENCE {
        reducedUE-CategoryDL      INTEGER (0..19),
        reducedUE-CategoryUL      INTEGER (0..21)
    } OPTIONAL,
    reducedMaxCCs                 SEQUENCE {
        reducedCCsDL              INTEGER (0..31),
        reducedCCsUL              INTEGER (0..31)
    } OPTIONAL
}

-- ASN1STOP
```

| <b>UEAssistanceInformation field descriptions</b>  |
|--|
| <p><b>delayBudgetReport</b><br/>Indicates the UE-preferred adjustment to connected mode DRX or coverage enhancement configuration.</p>   |
| <p><b>dl-Preference</b><br/>Indicates UE's preference on configuration of maximum PDSCH bandwidth. The value mhz1dot4 corresponds to CE mode usage in 1.4MHz bandwidth, mhz5 corresponds to CE mode usage in 5MHz bandwidth, and mhz20 corresponds to CE mode usage in 20MHz bandwidth or normal coverage.</p>   |
| <p><b>excessRep-MPDCCH</b><br/>Indicates the excess number of repetitions on MPDCCH. Value excessRep1 and excessRep2 indicate the excess number of repetitions defined in TS 36.133 [16].</p>  |
| <p><b>logicalChannelIdentityUL</b><br/>Indicates the logical channel identity associated with the reported traffic pattern in the uplink logical channel.</p>  |
| <p><b>messageSize</b><br/>Indicates the maximum TB size based on the observed traffic pattern. The value refers to the index of TS 36.321 [6], table 6.1.3.1-1.</p>  |
| <p><b>powerPrefIndication</b><br/>Value <i>lowPowerConsumption</i> indicates the UE prefers a configuration that is primarily optimised for power saving. Otherwise the value is set to <i>normal</i>.</p>   |
| <p><b>priorityInfoSL</b><br/>Indicates the traffic priority (i.e., PPPP) associated with the reported traffic pattern for V2X sidelink communication.</p>  |
| <p><b>reducedCCsDL</b><br/>Indicates the UE's preference on reduced configuration corresponding to the maximum number of downlink SCells indicated by the field, to address overheating. This maximum number includes both SCells of E-UTRA and PSCell/SCells of NR in (NG)EN-DC.</p>  |
| <p><b>reducedCCsUL</b><br/>Indicates the UE's preference on reduced configuration corresponding to the maximum number of uplink SCells indicated by the field, to address overheating. This maximum number includes both SCells of E-UTRA and PSCell/SCells of NR in (NG)EN-DC.</p>  |
| <p><b>reducedUE-CategoryDL, reducedUE-CategoryUL</b><br/>Indicates that UE prefers a configuration corresponding to the reduced UE category, to address overheating. The reduced UE DL category and reduced UE UL category should be indicated according to supported combinations for UE UL and DL Categories, see TS 36.306 [5], Table 4.1A-6.</p>                   |
| <p><b>reliabilityInfoSL</b><br/>Indicates the traffic reliability (i.e., PPRP) associated with the reported traffic pattern for V2X sidelink communication.</p>  |
| <p><b>rlm-Event</b><br/>This field provides the RLM event ("early-out-of-sync" or "early-in-sync").</p>  |
| <p><b>rlm-Report</b><br/>This field provides the RLM report for BL UEs and UEs in CE.</p>  |
| <p><b>sps-AssistanceInformation</b><br/>Indicates the UE assistance information to assist E-UTRAN to configure SPS.</p>  |
| <p><b>timingOffset</b><br/>This field indicates the estimated timing for a packet arrival in a SL/UL logical channel. Specifically, the value indicates the timing offset with respect to subframe#0 of SFN#0 in milliseconds.</p>   |
| <p><b>trafficDestination</b><br/>Indicates the destination associated with the reported traffic pattern for V2X sidelink communication.</p>  |
| <p><b>trafficPatternInfoListSL</b><br/>This field provides the traffic characteristics of sidelink logical channel(s) that are setup for V2X sidelink communication. If <i>trafficPatternInfoListSL-v1530</i> is included, it includes the same number of entries, and listed in the same order, as in <i>trafficPatternInfoListSL-r14</i>.</p>                        |
| <p><b>trafficPatternInfoListUL</b><br/>This field provides the traffic characteristics of uplink logical channel(s).</p>   |
| <p><b>trafficPeriodicity</b><br/>This field indicates the estimated data arrival periodicity in a SL/UL logical channel. Value sf20 corresponds to 20 ms, sf50 corresponds to 50 ms and so on.</p>   |
| <p><b>type1</b><br/>Indicates the preferred amount of increment/decrement to the connected mode DRX cycle length with respect to the current configuration. Value in number of milliseconds. Value ms40 corresponds to 40 milliseconds, msMinus40 corresponds to -40 milliseconds and so on.</p>   |
| <p><b>type2</b><br/>Indicates the preferred amount of increment/decrement to the coverage enhancement configuration with respect to the current configuration so that the Uu air interface delay changes by the indicated amount. Value in number of milliseconds. Value ms24 corresponds to 24 milliseconds, msMinus24 corresponds to -24 milliseconds and so on.</p> |
| <p><b>ul-Preference</b><br/>Indicates UE's preference on configuration of maximum PUSCH bandwidth. The value mhz1dot4 corresponds to CE mode usage in 1.4MHz bandwidth, and mhz5 corresponds to CE mode usage in 5MHz bandwidth.</p>   |

– *UECapabilityEnquiry*

The *UECapabilityEnquiry* message is used to request the transfer of UE radio access capabilities for E-UTRA as well as for other RATs.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

***UECapabilityEnquiry* message**

```
-- ASN1START
UECapabilityEnquiry ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        c1 CHOICE {
            ueCapabilityEnquiry-r8 UECapabilityEnquiry-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

UECapabilityEnquiry-r8-IEs ::= SEQUENCE {
    ue-CapabilityRequest UE-CapabilityRequest,
    nonCriticalExtension UECapabilityEnquiry-v8a0-IEs OPTIONAL
}

UECapabilityEnquiry-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension UECapabilityEnquiry-v1180-IEs OPTIONAL
}

UECapabilityEnquiry-v1180-IEs ::= SEQUENCE {
    requestedFrequencyBands-r11 SEQUENCE (SIZE (1..16)) OF FreqBandIndicator-r11
    OPTIONAL,
    nonCriticalExtension UECapabilityEnquiry-v1310-IEs OPTIONAL
}

UECapabilityEnquiry-v1310-IEs ::= SEQUENCE {
    requestReducedFormat-r13 ENUMERATED {true} OPTIONAL, -- Need ON
    requestSkipFallbackComb-r13 ENUMERATED {true} OPTIONAL, -- Need ON
    requestedMaxCCsDL-r13 INTEGER (2..32) OPTIONAL, -- Need ON
    requestedMaxCCsUL-r13 INTEGER (2..32) OPTIONAL, -- Need ON
    requestReducedIntNonContComb-r13 ENUMERATED {true} OPTIONAL, -- Need ON
    nonCriticalExtension UECapabilityEnquiry-v1430-IEs OPTIONAL
}

UECapabilityEnquiry-v1430-IEs ::= SEQUENCE {
    requestDiffFallbackCombList-r14 BandCombinationList-r14 OPTIONAL, -- Need ON
    nonCriticalExtension UECapabilityEnquiry-v1510-IEs OPTIONAL
}

UECapabilityEnquiry-v1510-IEs ::= SEQUENCE {
    requestedFreqBandsNR-MRDC-r15 OCTET STRING OPTIONAL,
    nonCriticalExtension UECapabilityEnquiry-v1530-IEs OPTIONAL
}

UECapabilityEnquiry-v1530-IEs ::= SEQUENCE {
    requestSTTI-SPT-Capability-r15 ENUMERATED {true} OPTIONAL,
    eutra-nr-only-r15 ENUMERATED {true} OPTIONAL,
    nonCriticalExtension UECapabilityEnquiry-v1550-IEs OPTIONAL
}

UECapabilityEnquiry-v1550-IEs ::= SEQUENCE {
    requestedCapabilityNR-r15 OCTET STRING OPTIONAL,
    nonCriticalExtension UECapabilityEnquiry-v1560-IEs OPTIONAL
}

UECapabilityEnquiry-v1560-IEs ::= SEQUENCE {
    requestedCapabilityCommon-r15 OCTET STRING OPTIONAL,

```

```

    nonCriticalExtension          SEQUENCE {}          OPTIONAL
}
UE-CapabilityRequest ::=
    SEQUENCE (SIZE (1..maxRAT-Capabilities)) OF RAT-Type
-- ASN1STOP

```

| <b>UECapabilityEnquiry field descriptions</b>  |
|--|
| <p><b>utra-nr-only</b><br/>Indicates that the UE is requested to provide UE capabilities related to (NG)EN-DC only as specified in TS38.331 [82].</p>  |
| <p><b>requestDiffFallbackCombList</b><br/>List of CA band combinations for which the UE is requested to provide different capabilities for their fallback band combinations in conjunction with the capabilities supported for the CA band combinations in this list. The UE shall exclude fallback band combinations for which their supported UE capabilities are the same as the CA band combination indicated in this list.</p>  |
| <p><b>requestReducedFormat</b><br/>Indicates that the UE is requested to provide supported CA band combinations in the <i>supportedBandCombinationReduced-r13</i> instead of the <i>supportedBandCombination-r10</i>. The E-UTRAN includes this field if <i>requestSkipFallbackComb</i> or <i>requestDiffFallbackCombList</i> is included in the message.</p>  |
| <p><b>requestSkipFallbackComb</b><br/>Indicates that the UE shall explicitly exclude fallback CA band combinations in capability signalling.</p>   |
| <p><b>ue-CapabilityRequest</b><br/>List of the RATs for which the UE is requested to transfer the UE radio access capabilities i.e. E-UTRA, UTRA, GERAN-CS, GERAN-PS, CDMA2000. A separate <i>RAT-Type</i> value applies for some EUTRA-NR capabilities that are transferred by a separate UE capability container, used in case of MRDC.</p>  |
| <p><b>requestedFrequencyBands</b><br/>List of frequency bands for which the UE is requested to provide supported CA band combinations and non CA bands.</p>  |
| <p><b>requestedFreqBandsNR-MRDC</b><br/>Interpreted as <i>FreqBandList</i> IE as specified in TS 38.331 [82]. It concerns a list of NR and/ or E-UTRA frequency bands for which the UE is requested to provide its supported NR CA and/or MR-DC band combinations (i.e. within the UE capability containers for NR and MR-DC, as requested by E-UTRAN) and feature sets corresponding to the MR-DC band combinations (i.e. within the UE capability containers for LTE and NR, as requested by E-UTRAN).</p> |
| <p><b>requestedCapabilityCommon</b><br/>Contains the filter common for all requested MR-DC related capability containers as defined by <i>UE-CapabilityRequestFilterCommon</i> IE in TS 38.331 [82].</p>   |
| <p><b>requestedCapabilityNR</b><br/>Interpreted as <i>UE-CapabilityRequestFilterNR</i> IE as specified in TS 38.331 [82], in which the field <i>frequencyBandListFilter</i> is omitted.</p>  |
| <p><b>requestedMaxCCsDL, requestedMaxCCsUL</b><br/>Indicates the maximum number of CCs for which the UE is requested to provide supported CA band combinations and non-CA bands.</p>   |
| <p><b>requestReducedIntNonContComb</b><br/>Indicates that the UE shall explicitly exclude supported intra-band non-contiguous CA band combinations other than included in capability signalling as specified in TS 36.306 [5], clause 4.3.5.21.</p>  |
| <p><b>requestSTTI-SPT-Capability</b><br/>Indicates that the UE is requested to provide its supported short TTI and SPT capabilities in capability signalling.</p>  |

## UECapabilityInformation

The *UECapabilityInformation* message is used to transfer of UE radio access capabilities requested by the E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### UECapabilityInformation message

```

-- ASN1START
UECapabilityInformation ::=
    SEQUENCE {
        rrc-TransactionIdentifier    RRC-TransactionIdentifier,
        criticalExtensions           CHOICE {

```

```

        cl
        ueCapabilityInformation-r8          CHOICE {
            ueCapabilityInformation-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}

UECapabilityInformation-r8-IEs ::= SEQUENCE {
    ue-CapabilityRAT-ContainerList      UE-CapabilityRAT-ContainerList,
    nonCriticalExtension                UECapabilityInformation-v8a0-IEs    OPTIONAL
}

UECapabilityInformation-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension            OCTET STRING                      OPTIONAL,
    nonCriticalExtension                UECapabilityInformation-v1250-IEs    OPTIONAL
}

UECapabilityInformation-v1250-IEs ::= SEQUENCE {
    ue-RadioPagingInfo-r12             UE-RadioPagingInfo-r12          OPTIONAL,
    nonCriticalExtension                SEQUENCE {}                    OPTIONAL
}

-- ASN1STOP

```

#### **UECapabilityInformation field descriptions**

##### **ue-RadioPagingInfo**

This field contains UE capability information used for paging.

### **UEInformationRequest**

The *UEInformationRequest* is the command used by E-UTRAN to retrieve information from the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### **UEInformationRequest message**

```

-- ASN1START

UEInformationRequest-r9 ::= SEQUENCE {
    rrc-TransactionIdentifier          RRC-TransactionIdentifier,
    criticalExtensions                  CHOICE {
        cl
        ueInformationRequest-r9        UEInformationRequest-r9-IEs,
        spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture          SEQUENCE {}
}

UEInformationRequest-r9-IEs ::= SEQUENCE {
    rach-ReportReq-r9                BOOLEAN,
    rlf-ReportReq-r9                 BOOLEAN,
    nonCriticalExtension                UEInformationRequest-v930-IEs    OPTIONAL
}

UEInformationRequest-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension            OCTET STRING                      OPTIONAL,
    nonCriticalExtension                UEInformationRequest-v1020-IEs    OPTIONAL
}

UEInformationRequest-v1020-IEs ::= SEQUENCE {
    logMeasReportReq-r10              ENUMERATED {true}                OPTIONAL, -- Need ON
    nonCriticalExtension                UEInformationRequest-v1130-IEs    OPTIONAL
}

-- ASN1STOP

```

```

}
UEInformationRequest-v1130-IEs ::= SEQUENCE {
    connEstFailReportReq-r11      ENUMERATED {true}          OPTIONAL,  -- Need ON
    nonCriticalExtension           UEInformationRequest-v1250-IEs  OPTIONAL
}
UEInformationRequest-v1250-IEs ::= SEQUENCE {
    mobilityHistoryReportReq-r12  ENUMERATED {true}          OPTIONAL,  -- Need ON
    nonCriticalExtension           UEInformationRequest-v1530-IEs  OPTIONAL
}
UEInformationRequest-v1530-IEs ::= SEQUENCE {
    idleModeMeasurementReq-r15    ENUMERATED {true}          OPTIONAL,  -- Need ON
    flightPathInfoReq-r15         FlightPathInfoReportConfig-r15  OPTIONAL,  -- Need ON
    nonCriticalExtension           SEQUENCE {}                    OPTIONAL
}
-- ASN1STOP

```

### ***UEInformationRequest* field descriptions**

#### ***rach-ReportReq***

This field is used to indicate whether the UE shall report information about the random access procedure.

## – *UEInformationResponse*

The *UEInformationResponse* message is used by the UE to transfer the information requested by the E-UTRAN.

Signalling radio bearer: SRB1 or SRB2 (when logged measurement information is included)

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***UEInformationResponse* message**

```

-- ASN1START
UEInformationResponse-r9 ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                         CHOICE {
            ueInformationResponse-r9      UEInformationResponse-r9-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture        SEQUENCE {}
    }
}
UEInformationResponse-r9-IEs ::= SEQUENCE {
    rach-Report-r9                SEQUENCE {
        numberOfPreamblesSent-r9        NumberOfPreamblesSent-r11,
        contentionDetected-r9           BOOLEAN
    }
    rlf-Report-r9                 RLF-Report-r9          OPTIONAL,
    nonCriticalExtension           UEInformationResponse-v930-IEs  OPTIONAL
}
-- Late non critical extensions
UEInformationResponse-v9e0-IEs ::= SEQUENCE {
    rlf-Report-v9e0               RLF-Report-v9e0          OPTIONAL,
    nonCriticalExtension           SEQUENCE {}                OPTIONAL
}
-- Regular non critical extensions
UEInformationResponse-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension       OCTET STRING (CONTAINING UEInformationResponse-v9e0-IEs)
    nonCriticalExtension           UEInformationResponse-v1020-IEs  OPTIONAL
}

```



```

UEInformationResponse-v1020-IEs ::= SEQUENCE {
  logMeasReport-r10          LogMeasReport-r10          OPTIONAL,
  nonCriticalExtension        UEInformationResponse-v1130-IEs OPTIONAL
}

UEInformationResponse-v1130-IEs ::= SEQUENCE {
  connEstFailReport-r11      ConnEstFailReport-r11      OPTIONAL,
  nonCriticalExtension        UEInformationResponse-v1250-IEs OPTIONAL
}

UEInformationResponse-v1250-IEs ::= SEQUENCE {
  mobilityHistoryReport-r12  MobilityHistoryReport-r12  OPTIONAL,
  nonCriticalExtension        UEInformationResponse-v1530-IEs OPTIONAL
}

UEInformationResponse-v1530-IEs ::= SEQUENCE {
  measResultListIdle-r15     MeasResultListIdle-r15     OPTIONAL,
  flightPathInfoReport-r15   FlightPathInfoReport-r15   OPTIONAL,
  nonCriticalExtension        SEQUENCE {}                  OPTIONAL
}

RLF-Report-r9 ::=
  SEQUENCE {
    measResultLastServCell-r9 SEQUENCE {
      rsrpResult-r9          RSRP-Range,
      rsrqResult-r9          RSRQ-Range
    },
    measResultNeighCells-r9 SEQUENCE {
      measResultListEUTRA-r9 MeasResultList2EUTRA-r9  OPTIONAL,
      measResultListUTRA-r9  MeasResultList2UTRA-r9   OPTIONAL,
      measResultListGERAN-r9 MeasResultListGERAN      OPTIONAL,
      measResultsCDMA2000-r9 MeasResultList2CDMA2000-r9 OPTIONAL
    } OPTIONAL,
    ...,
    [[ locationInfo-r10      LocationInfo-r10      OPTIONAL,
      failedPCellId-r10     CHOICE {
        cellGlobalId-r10   CellGlobalIdEUTRA,
        pci-arfcn-r10      SEQUENCE {
          physCellId-r10   PhysCellId,
          carrierFreq-r10  ARFCN-ValueEUTRA
        }
      } OPTIONAL,
      reestablishmentCellId-r10 CellGlobalIdEUTRA  OPTIONAL,
      timeConnFailure-r10      INTEGER (0..1023)    OPTIONAL,
      connectionFailureType-r10 ENUMERATED {rlf, hof} OPTIONAL,
      previousPCellId-r10     CellGlobalIdEUTRA  OPTIONAL
    ]],
    [[ failedPCellId-v1090 SEQUENCE {
      carrierFreq-v1090     ARFCN-ValueEUTRA-v9e0
    } OPTIONAL
    ]],
    [[ basicFields-r11     SEQUENCE {
      c-RNTI-r11           C-RNTI,
      rlf-Cause-r11        ENUMERATED {
        t310-Expiry, randomAccessProblem,
        rlc-MaxNumRetx, t312-Expiry-r12},
      timeSinceFailure-r11 TimeSinceFailure-r11
    } OPTIONAL,
      previousUTRA-CellId-r11 SEQUENCE {
        carrierFreq-r11     ARFCN-ValueUTRA,
        physCellId-r11      CHOICE {
          fdd-r11           PhysCellIdUTRA-FDD,
          tdd-r11           PhysCellIdUTRA-TDD
        },
        cellGlobalId-r11    CellGlobalIdUTRA
      } OPTIONAL,
      selectedUTRA-CellId-r11 SEQUENCE {
        carrierFreq-r11     ARFCN-ValueUTRA,
        physCellId-r11      CHOICE {
          fdd-r11           PhysCellIdUTRA-FDD,
          tdd-r11           PhysCellIdUTRA-TDD
        }
      } OPTIONAL
    ]],
    [[ failedPCellId-v1250 SEQUENCE {
      tac-FailedPCell-r12  TrackingAreaCode
    } OPTIONAL,
      measResultLastServCell-v1250 RSRQ-Range-v1250
    ]],
  }

```

```

        lastServCellRSRQ-Type-r12          RSRQ-Type-r12          OPTIONAL,
        measResultListEUTRA-v1250        MeasResultList2EUTRA-v1250  OPTIONAL
    ]],
    [[ drb-EstablishedWithQCI-1-r13      ENUMERATED {qcil}      OPTIONAL
    ]],
    [[ measResultLastServCell-v1360      RSRP-Range-v1360      OPTIONAL
    ]],
    [[ logMeasResultListBT-r15           LogMeasResultListBT-r15  OPTIONAL,
       logMeasResultListWLAN-r15        LogMeasResultListWLAN-r15  OPTIONAL
    ]]
}

RLF-Report-v9e0 ::= SEQUENCE {
    measResultListEUTRA-v9e0            MeasResultList2EUTRA-v9e0
}

MeasResultList2EUTRA-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-r9
MeasResultList2EUTRA-v9e0 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-v9e0
MeasResultList2EUTRA-v1250 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-v1250

MeasResult2EUTRA-r9 ::= SEQUENCE {
    carrierFreq-r9                      ARFCN-ValueEUTRA,
    measResultList-r9                    MeasResultListEUTRA
}

MeasResult2EUTRA-v9e0 ::= SEQUENCE {
    carrierFreq-v9e0                      ARFCN-ValueEUTRA-v9e0  OPTIONAL
}

MeasResult2EUTRA-v1250 ::= SEQUENCE {
    rsrq-Type-r12                          RSRQ-Type-r12          OPTIONAL
}

MeasResultList2UTRA-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2UTRA-r9
MeasResult2UTRA-r9 ::= SEQUENCE {
    carrierFreq-r9                          ARFCN-ValueUTRA,
    measResultList-r9                        MeasResultListUTRA
}

MeasResultList2CDMA2000-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2CDMA2000-r9
MeasResult2CDMA2000-r9 ::= SEQUENCE {
    carrierFreq-r9                          CarrierFreqCDMA2000,
    measResultList-r9                        MeasResultsCDMA2000
}

LogMeasReport-r10 ::= SEQUENCE {
    absoluteTimeStamp-r10                    AbsoluteTimeInfo-r10,
    traceReference-r10                       TraceReference-r10,
    traceRecordingSessionRef-r10             OCTET STRING (SIZE (2)),
    tce-Id-r10                               OCTET STRING (SIZE (1)),
    logMeasInfoList-r10                      LogMeasInfoList-r10,
    logMeasAvailable-r10                     ENUMERATED {true}      OPTIONAL,
    ...,
    [[ logMeasAvailableBT-r15                 ENUMERATED {true}      OPTIONAL,
       logMeasAvailableWLAN-r15              ENUMERATED {true}      OPTIONAL
    ]]
}

LogMeasInfoList-r10 ::= SEQUENCE (SIZE (1..maxLogMeasReport-r10)) OF LogMeasInfo-r10
LogMeasInfo-r10 ::= SEQUENCE {
    locationInfo-r10                          LocationInfo-r10        OPTIONAL,
    relativeTimeStamp-r10                      INTEGER (0..7200),
    servCellIdentity-r10                       CellGlobalIdEUTRA,
    measResultServCell-r10                     SEQUENCE {
        rsrpResult-r10                          RSRP-Range,
        rsrqResult-r10                          RSRQ-Range
    },
    measResultNeighCells-r10                   SEQUENCE {
        measResultListEUTRA-r10                 MeasResultList2EUTRA-r9  OPTIONAL,
        measResultListUTRA-r10                 MeasResultList2UTRA-r9  OPTIONAL,
        measResultListGERAN-r10                MeasResultList2GERAN-r10  OPTIONAL,
        measResultListCDMA2000-r10             MeasResultList2CDMA2000-r9  OPTIONAL
    }
    OPTIONAL,
}

```

```

...
[[ measResultListEUTRA-v1090           MeasResultList2EUTRA-v9e0   OPTIONAL
]],
[[ measResultListMBSFN-r12           MeasResultListMBSFN-r12    OPTIONAL,
  measResultServCell-v1250          RSRQ-Range-v1250           OPTIONAL,
  servCellRSRQ-Type-r12             RSRQ-Type-r12              OPTIONAL,
  measResultListEUTRA-v1250         MeasResultList2EUTRA-v1250 OPTIONAL
]],
[[ inDeviceCoexDetected-r13          ENUMERATED {true}          OPTIONAL
]],
[[ measResultServCell-v1360          RSRP-Range-v1360           OPTIONAL
]],
[[ logMeasResultListBT-r15           LogMeasResultListBT-r15    OPTIONAL,
  logMeasResultListWLAN-r15         LogMeasResultListWLAN-r15  OPTIONAL
]],
[[ anyCellSelectionDetected-r15      ENUMERATED {true}          OPTIONAL
]]
}

MeasResultListMBSFN-r12 ::=          SEQUENCE (SIZE (1..maxMBSFN-Area)) OF MeasResultMBSFN-r12

MeasResultMBSFN-r12 ::=              SEQUENCE {
  mbsfn-Area-r12                     SEQUENCE {
    mbsfn-AreaId-r12                 MBSFN-AreaId-r12,
    carrierFreq-r12                  ARFCN-ValueEUTRA-r9
  },
  rsrpResultMBSFN-r12                RSRP-Range,
  rsrqResultMBSFN-r12                MBSFN-RSRQ-Range-r12,
  signallingBLER-Result-r12          BLER-Result-r12            OPTIONAL,
  dataBLER-MCH-ResultList-r12        DataBLER-MCH-ResultList-r12 OPTIONAL,
  ...
}

DataBLER-MCH-ResultList-r12 ::=      SEQUENCE (SIZE (1..maxPMCH-PerMBSFN)) OF DataBLER-MCH-Result-r12

DataBLER-MCH-Result-r12 ::=          SEQUENCE {
  mch-Index-r12                      INTEGER (1..maxPMCH-PerMBSFN),
  dataBLER-Result-r12                BLER-Result-r12
}

BLER-Result-r12 ::=                  SEQUENCE {
  bler-r12                            BLER-Range-r12,
  blocksReceived-r12                 SEQUENCE {
    n-r12                             BIT STRING (SIZE (3)),
    m-r12                             BIT STRING (SIZE (8))
  }
}

BLER-Range-r12 ::=                   INTEGER(0..31)

MeasResultList2GERAN-r10 ::=         SEQUENCE (SIZE (1..maxCellListGERAN)) OF MeasResultListGERAN

ConnEstFailReport-r11 ::=            SEQUENCE {
  failedCellId-r11                   CellGlobalIdEUTRA,
  locationInfo-r11                    LocationInfo-r10            OPTIONAL,
  measResultFailedCell-r11            SEQUENCE {
    rsrpResult-r11                    RSRP-Range,
    rsrqResult-r11                    RSRQ-Range                  OPTIONAL
  },
  measResultNeighCells-r11            SEQUENCE {
    measResultListEUTRA-r11           MeasResultList2EUTRA-r9    OPTIONAL,
    measResultListUTRA-r11            MeasResultList2UTRA-r9    OPTIONAL,
    measResultListGERAN-r11           MeasResultListGERAN        OPTIONAL,
    measResultsCDMA2000-r11           MeasResultList2CDMA2000-r9 OPTIONAL
  } OPTIONAL,
  numberOfPreamblesSent-r11           NumberOfPreamblesSent-r11,
  contentionDetected-r11              BOOLEAN,
  maxTxPowerReached-r11               BOOLEAN,
  timeSinceFailure-r11                TimeSinceFailure-r11,
  measResultListEUTRA-v1130           MeasResultList2EUTRA-v9e0  OPTIONAL,
  ...
  [[ measResultFailedCell-v1250       RSRQ-Range-v1250           OPTIONAL,
    failedCellRSRQ-Type-r12           RSRQ-Type-r12              OPTIONAL,
    measResultListEUTRA-v1250         MeasResultList2EUTRA-v1250 OPTIONAL
  ]],
  [[ measResultFailedCell-v1360       RSRP-Range-v1360           OPTIONAL
  ]],
  [[ logMeasResultListBT-r15          LogMeasResultListBT-r15    OPTIONAL,

```

```

    logMeasResultListWLAN-r15      LogMeasResultListWLAN-r15      OPTIONAL
  ]]
}
NumberOfPreamblesSent-r11 ::=      INTEGER (1..200)
TimeSinceFailure-r11 ::=          INTEGER (0..172800)
MobilityHistoryReport-r12 ::=      VisitedCellInfoList-r12
FlightPathInfoReport-r15 ::=      SEQUENCE {
  flightPath-r15 SEQUENCE (SIZE (1..maxWayPoint-r15)) OF WayPointLocation-r15  OPTIONAL,
  dummy          SEQUENCE {}                                                    OPTIONAL
}
WayPointLocation-r15 ::=          SEQUENCE {
  wayPointLocation-r15            LocationInfo-r10,
  timeStamp-r15                  AbsoluteTimeInfo-r10  OPTIONAL
}
-- ASN1STOP

```

| <b>UEInformationResponse field descriptions</b>   |
|---|
| <p><b>absoluteTimeStamp</b><br/>Indicates the absolute time when the logged measurement configuration logging is provided, as indicated by E-UTRAN within <i>absoluteTimeInfo</i>.</p>  |
| <p><b>anyCellSelectionDetected</b><br/>This field is used to indicate the detection of <i>any cell selection</i> state, as defined in TS 36.304 [4]. The UE sets this field when performing the logging of measurement results in RRC_IDLE and there is no suitable cell or no acceptable cell.</p>   |
| <p><b>bler</b><br/>Indicates the measured BLER value. The coding of BLER value is defined in TS 36.133 [16].</p>  |
| <p><b>blocksReceived</b><br/>Indicates total number of MCH blocks, which were received by the UE and used for the corresponding BLER calculation, within the measurement period as defined in TS 36.133 [16].</p>   |
| <p><b>carrierFreq</b><br/>In case the UE includes <i>carrierFreq-v9e0</i> and/ or <i>carrierFreq-v1090</i>, the UE shall set the corresponding entry of <i>carrierFreq-r9</i> and/ or <i>carrierFreq-r10</i> respectively to <i>maxEARFCN</i>. For E-UTRA and UTRA frequencies, the UE sets the ARFCN according to the band used when obtaining the concerned measurement results.</p>  |
| <p><b>connectionFailureType</b><br/>This field is used to indicate whether the connection failure is due to radio link failure or handover failure.</p>   |
| <p><b>contentionDetected</b><br/>This field is used to indicate that contention was detected for at least one of the transmitted preambles, see TS 36.321 [6].</p>  |
| <p><b>c-RNTI</b><br/>This field indicates the C-RNTI used in the PCell upon detecting radio link failure or the C-RNTI used in the source PCell upon handover failure.</p>  |
| <p><b>dataBLER-MCH-ResultList</b><br/>Includes a BLER result per MCH on subframes using <i>dataMCS</i>, with the applicable MCH(s) listed in the same order as in <i>pmch-InfoList</i> within <i>MBSFNAreaConfiguration</i>.</p>  |
| <p><b>drb-EstablishedWithQCI-1</b><br/>This field is used to indicate the radio link failure occurred while a bearer with QCI value equal to 1 was configured, see TS 24.301 [35].</p>  |
| <p><b>dummy</b><br/>This field is not used in the specification. It shall not be sent by the UE.</p>  |
| <p><b>failedCellId</b><br/>This field is used to indicate the cell in which connection establishment failed.</p>  |
| <p><b>failedPCellId</b><br/>This field is used to indicate the PCell in which RLF is detected or the target PCell of the failed handover. The UE sets the EARFCN according to the band used for transmission/ reception when the failure occurred.</p>  |
| <p><b>inDeviceCoexDetected</b><br/>Indicates that measurement logging is suspended due to IDC problem detection.</p>  |
| <p><b>logMeasResultListBT</b><br/>This field refers to the Bluetooth measurement results.</p>   |
| <p><b>logMeasResultListWLAN</b><br/>This field refers to the WLAN measurement results.</p>  |
| <p><b>maxTxPowerReached</b><br/>This field is used to indicate whether or not the maximum power level was used for the last transmitted preamble, see TS 36.321 [6].</p>  |
| <p><b>mch-Index</b><br/>Indicates the MCH by referring to the entry as listed in <i>pmch-InfoList</i> within <i>MBSFNAreaConfiguration</i>.</p>   |
| <p><b>measResultFailedCell</b><br/>This field refers to the last measurement results taken in the cell, where connection establishment failure happened. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, <i>measResultFailedCell-v1360</i> is reported if the measured RSRP is less than -140 dBm.</p>   |
| <p><b>measResultLastServCell</b><br/>This field refers to the last measurement results taken in the PCell, where radio link failure or handover failure happened. For BL UEs or UEs in CE, when operating in CE Mode B, <i>measResultLastServCell-v1360</i> is reported if the measured RSRP is less than -140 dBm.</p>   |
| <p><b>measResultListEUTRA</b><br/>If <i>measResultListEUTRA-v9e0</i>, <i>measResultListEUTRA-v1090</i> or <i>measResultListEUTRA-v1130</i> is included, the UE shall include the same number of entries, and listed in the same order, as in <i>measResultListEUTRA-r9</i>, <i>measResultListEUTRA-r10</i> and/ or <i>measResultListEUTRA-r11</i> respectively.</p>   |
| <p><b>measResultListEUTRA-v1250</b><br/>If included in <i>RLF-Report-r9</i> the UE shall include the same number of entries, and listed in the same order, as in <i>measResultListEUTRA-r9</i>;<br/>If included in <i>LogMeasInfo-r10</i> the UE shall include the same number of entries, and listed in the same order, as in <i>measResultListEUTRA-r10</i>;<br/>If included in <i>ConnEstFailReport-r11</i> the UE shall include the same number of entries, and listed in the same order, as in <i>measResultListEUTRA-r11</i>;</p> |

| <b>UEInformationResponse field descriptions</b> |  |
|---|--|
| <b>measResultListIdle</b>                       | This field indicates the measurement results done during IDLE mode at network request.   |
| <b>measResultServCell</b>                       | This field refers to the log measurement results taken in the Serving cell. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, <i>measResultServCell-v1360</i> is reported if the measured RSRP is less than -140 dBm.       |
| <b>mobilityHistoryReport</b>                    | This field is used to indicate the time of stay in 16 most recently visited E-UTRA cells or of stay out of E-UTRA.   |
| <b>numberOfPreamblesSent</b>                    | This field is used to indicate the number of RACH preambles that were transmitted. Corresponds to parameter PREAMBLE_TRANSMISSION_COUNTER in TS 36.321 [6].  |
| <b>previousPCellId</b>                          | This field is used to indicate the source PCell of the last handover (source PCell when the last <i>RRCCONNECTIONRECONFIGURATION</i> message including <i>mobilityControlInfo</i> was received).   |
| <b>previousUTRA-CellId</b>                      | This field is used to indicate the source UTRA cell of the last successful handover to E-UTRAN, when RLF occurred at the target PCell. The UE sets the ARFCN according to the band used for transmission/ reception on the concerned cell.               |
| <b>reestablishmentCellId</b>                    | This field is used to indicate the cell in which the re-establishment attempt was made after connection failure.   |
| <b>relativeTimeStamp</b>                        | Indicates the time of logging measurement results, measured relative to the <i>absoluteTimeStamp</i> . Value in seconds.   |
| <b>rlf-Cause</b>                                | This field is used to indicate the cause of the last radio link failure that was detected. In case of handover failure information reporting (i.e., the <i>connectionFailureType</i> is set to 'hof'), the UE is allowed to set this field to any value. |
| <b>selectedUTRA-CellId</b>                      | This field is used to indicate the UTRA cell that the UE selects after RLF is detected, while T311 is running. The UE sets the ARFCN according to the band selected for transmission/ reception on the concerned cell.                                   |
| <b>signallingBLER-Result</b>                    | Includes a BLER result of MBSFN subframes using <i>signallingMCS</i> .   |
| <b>tac-FailedPCell</b>                          | This field is used to indicate the Tracking Area Code of the PCell in which RLF is detected.   |
| <b>tce-Id</b>                                   | Parameter Trace Collection Entity Id: See TS 32.422 [58].  |
| <b>timeConnFailure</b>                          | This field is used to indicate the time elapsed since the last HO initialization until connection failure. Actual value = field value * 100ms. The maximum value 1023 means 102.3s or longer.  |
| <b>timeSinceFailure</b>                         | This field is used to indicate the time that elapsed since the connection (establishment) failure. Value in seconds. The maximum value 172800 means 172800s or longer.   |
| <b>timeStamp</b>                                | Includes time stamps for the waypoints that describe planned locations for the UE.   |
| <b>traceRecordingSessionRef</b>                 | Parameter Trace Recording Session Reference: See TS 32.422 [58].   |
| <b>wayPointLocation</b>                         | Includes location coordinates for a UE for Aerial UE operation. The waypoints describe planned locations for the UE.   |

## – *ULHandoverPreparationTransfer (CDMA2000)*

The *ULHandoverPreparationTransfer* message is used for the uplink transfer of handover related CDMA2000 information when requested by the higher layers.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***ULHandoverPreparationTransfer message***

```
-- ASN1START
```

```
ULHandoverPreparationTransfer ::= SEQUENCE {
```

```

criticalExtensions CHOICE {
  c1 CHOICE {
    ulHandoverPreparationTransfer-r8 ULHandoverPreparationTransfer-r8-IEs,
    spare3 NULL, spare2 NULL, spare1 NULL
  },
  criticalExtensionsFuture SEQUENCE {}
}
}

ULHandoverPreparationTransfer-r8-IEs ::= SEQUENCE {
  cdma2000-Type CDMA2000-Type,
  meid BIT STRING (SIZE (56)) OPTIONAL,
  dedicatedInfo DedicatedInfoCDMA2000,
  nonCriticalExtension ULHandoverPreparationTransfer-v8a0-IEs OPTIONAL
}

ULHandoverPreparationTransfer-v8a0-IEs ::= SEQUENCE {
  lateNonCriticalExtension OCTET STRING OPTIONAL,
  nonCriticalExtension SEQUENCE {} OPTIONAL
}

-- ASN1STOP

```

| <i>ULHandoverPreparationTransfer</i> field descriptions                                       |
|---|
| <b>meid</b><br>The 56 bit mobile identification number provided by the CDMA2000 Upper layers. |

## – *ULInformationTransfer*

The *ULInformationTransfer* message is used for the uplink transfer of NAS or non-3GPP dedicated information.

Signalling radio bearer: SRB2 or SRB1 (only if SRB2 not established yet). If SRB2 is suspended, the UE does not send this message until SRB2 is resumed

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### *ULInformationTransfer* message

```

-- ASN1START
ULInformationTransfer ::= SEQUENCE {
  criticalExtensions CHOICE {
    c1 CHOICE {
      ulInformationTransfer-r8 ULInformationTransfer-r8-IEs,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture SEQUENCE {}
  }
}

ULInformationTransfer-r8-IEs ::= SEQUENCE {
  dedicatedInfoType CHOICE {
    dedicatedInfoNAS DedicatedInfoNAS,
    dedicatedInfoCDMA2000-1XRTT DedicatedInfoCDMA2000,
    dedicatedInfoCDMA2000-HRPD DedicatedInfoCDMA2000
  },
  nonCriticalExtension ULInformationTransfer-v8a0-IEs OPTIONAL
}

ULInformationTransfer-v8a0-IEs ::= SEQUENCE {
  lateNonCriticalExtension OCTET STRING OPTIONAL,
  nonCriticalExtension SEQUENCE {} OPTIONAL
}

-- ASN1STOP

```

## – *ULInformationTransferMRDC*

The *ULInformationTransferMRDC* message is used for the uplink transfer of MR DC information (i.e. for the case the SCG employs another RAT e.g. for transferring the NR RRC Measurement Report message).

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***ULInformationTransferMRDC message***

```
-- ASN1START
ULInformationTransferMRDC-r15 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        cl                      CHOICE {
            ulInformationTransferMRDC-r15          ULInformationTransferMRDC-r15-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}

ULInformationTransferMRDC-r15-IEs ::= SEQUENCE {
    ul-DCCH-MessageNR-r15          OCTET STRING          OPTIONAL,
    lateNonCriticalExtension          OCTET STRING          OPTIONAL,
    nonCriticalExtension          SEQUENCE {}          OPTIONAL
}
-- ASN1STOP
```

### ***ULInformationTransferMRDC field descriptions***

#### ***ul-DCCH-MessageNR***

Includes the *UL-DCCH-Message* as defined in TS 38.331 [82]. In this version of the specification, the field is only used to transfer the NR RRC *MeasurementReport* and the NR RRC *FailureInformation* messages.

## – *WLANConnectionStatusReport*

The *WLANConnectionStatusReport* message is used to inform the successful connection to WLAN or failure of the WLAN connection or connection attempt(s).

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***WLANConnectionStatusReport message***

```
-- ASN1START
WLANConnectionStatusReport-r13 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        cl                      CHOICE {
            wlanConnectionStatusReport-r13          WLANConnectionStatusReport-r13-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}

WLANConnectionStatusReport-r13-IEs ::= SEQUENCE {
```



```

wlan-Status-r13          WLAN-Status-r13,
lateNonCriticalExtension OCTET STRING          OPTIONAL,
nonCriticalExtension     WLANConnectionStatusReport-v1430-IEs OPTIONAL
}

WLANConnectionStatusReport-v1430-IEs ::= SEQUENCE {
wlan-Status-v1430       WLAN-Status-v1430,
nonCriticalExtension    SEQUENCE {}          OPTIONAL
}

-- ASN1STOP

```

#### **WLANConnectionStatusReport field descriptions**

##### **wlan-Status**

Indicates the connection status to WLAN and the cause of failures. If the *wlan-Status-v1430* is included, E-UTRAN ignores the *wlan-Status-r13*.

## 6.3 RRC information elements

### 6.3.1 System information blocks

#### – *SystemInformationBlockPos*

The IE *SystemInformationBlockPos* contains positioning assistance data as defined in TS 36.355 [54].

#### **SystemInformationBlockPos information element**

```

-- ASN1START

SystemInformationBlockPos-r15 ::= SEQUENCE {
assistanceDataSIB-Element-r15    OCTET STRING,
lateNonCriticalExtension          OCTET STRING          OPTIONAL,
...
}

-- ASN1STOP

```

#### **SystemInformationBlockPos field descriptions**

##### **assistanceDataSIB-Element**

Parameter *AssistanceDataSIBElement* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

#### – *SystemInformationBlockType2*

The IE *SystemInformationBlockType2* contains radio resource configuration information that is common for all UEs.

NOTE: UE timers and constants related to functionality for which parameters are provided in another SIB are included in the corresponding SIB.

#### **SystemInformationBlockType2 information element**

```

-- ASN1START

SystemInformationBlockType2 ::= SEQUENCE {
ac-BarringInfo          SEQUENCE {
ac-BarringForEmergency  BOOLEAN,
ac-BarringForMO-Signalling AC-BarringConfig          OPTIONAL, -- Need OP
ac-BarringForMO-Data    AC-BarringConfig          OPTIONAL, -- Need OP
}
radioResourceConfigCommon RadioResourceConfigCommonSIB,
ue-TimersAndConstants    UE-TimersAndConstants,
freqInfo                 SEQUENCE {
ul-CarrierFreq          ARFCN-ValueEUTRA          OPTIONAL, -- Need OP
ul-Bandwidth            ENUMERATED {n6, n15, n25, n50, n75, n100}
}
}

```

```

        additionalSpectrumEmission          AdditionalSpectrumEmission          OPTIONAL,  -- Need OP
    },
    mbsfn-SubframeConfigList                MBSFN-SubframeConfigList            OPTIONAL,  -- Need OR
    timeAlignmentTimerCommon                TimeAlignmentTimer,
    ...,
    lateNonCriticalExtension                 OCTET STRING (CONTAINING SystemInformationBlockType2-v8h0-IEs)
        OPTIONAL,
    [[ ssac-BarringForMMTEL-Voice-r9         AC-BarringConfig                    OPTIONAL,  -- Need OP
      ssac-BarringForMMTEL-Video-r9         AC-BarringConfig                    OPTIONAL,  -- Need OP
    ]],
    [[ ac-BarringForCSFB-r10                 AC-BarringConfig                    OPTIONAL,  -- Need OP
    ]],
    [[ ac-BarringSkipForMMTELVoice-r12       ENUMERATED {true}                  OPTIONAL,  -- Need OP
      ac-BarringSkipForMMTELVideo-r12       ENUMERATED {true}                  OPTIONAL,  -- Need OP
      ac-BarringSkipForSMS-r12              ENUMERATED {true}                  OPTIONAL,  -- Need OP
      ac-BarringPerPLMN-List-r12            AC-BarringPerPLMN-List-r12        OPTIONAL,  -- Need OP
    ]],
    [[ voiceServiceCauseIndication-r12       ENUMERATED {true}                  OPTIONAL,  -- Need OP
    ]],
    [[ acdc-BarringForCommon-r13             ACDC-BarringForCommon-r13          OPTIONAL,  -- Need OP
      acdc-BarringPerPLMN-List-r13          ACDC-BarringPerPLMN-List-r13        OPTIONAL,  -- Need OP
    ]],
    [[
        udt-RestrictingForCommon-r13         UDT-Restricting-r13                OPTIONAL,  -- Need OR
        udt-RestrictingPerPLMN-List-r13     UDT-RestrictingPerPLMN-List-r13    OPTIONAL,  -- Need OR
        cIoT-EPS-OptimisationInfo-r13       CIOT-EPS-OptimisationInfo-r13      OPTIONAL,  -- Need OP
        useFullResumeID-r13                 ENUMERATED {true}                  OPTIONAL,  -- Need OP
    ]],
    [[ unicastFreqHoppingInd-r13             ENUMERATED {true}                  OPTIONAL,  -- Need OP
    ]],
    [[ mbsfn-SubframeConfigList-v1430        MBSFN-SubframeConfigList-v1430     OPTIONAL,  -- Need OP
      videoServiceCauseIndication-r14       ENUMERATED {true}                  OPTIONAL,  -- Need OP
    ]],
    [[ plmn-InfoList-r15                     PLMN-InfoList-r15                  OPTIONAL,  -- Need OP
    ]],
    [[ cp-EDT-r15                             ENUMERATED {true}                  OPTIONAL,  -- Need OR
      up-EDT-r15                             ENUMERATED {true}                  OPTIONAL,  -- Need OR
      idleModeMeasurements-r15              ENUMERATED {true}                  OPTIONAL,  -- Need OR
      reducedCP-LatencyEnabled-r15          ENUMERATED {true}                  OPTIONAL,  -- Need OR
    ]],
    [[ mbms-ROM-ServiceIndication-r15        ENUMERATED {true}                  OPTIONAL,  -- Need OR
    ]],
}

SystemInformationBlockType2-v8h0-IEs ::= SEQUENCE {
    multiBandInfoList                      SEQUENCE (SIZE (1..maxMultiBands)) OF AdditionalSpectrumEmission
    OPTIONAL,  -- Need OR
    nonCriticalExtension                    SystemInformationBlockType2-v9e0-IEs  OPTIONAL
}

SystemInformationBlockType2-v9e0-IEs ::= SEQUENCE {
    ul-CarrierFreq-v9e0                     ARFCN-ValueEUTRA-v9e0                OPTIONAL,  -- Cond ul-FreqMax
    nonCriticalExtension                    SystemInformationBlockType2-v9i0-IEs
    OPTIONAL
}

SystemInformationBlockType2-v9i0-IEs ::= SEQUENCE {
-- Following field is for any non-critical extensions from REL-9
    nonCriticalExtension                    OCTET STRING (CONTAINING SystemInformationBlockType2-v10m0-IEs)
        OPTIONAL,
    dummy                                   SEQUENCE {}                          OPTIONAL
}

SystemInformationBlockType2-v10m0-IEs ::= SEQUENCE {
    freqInfo-v1010                          SEQUENCE {
        additionalSpectrumEmission-v1010    AdditionalSpectrumEmission-v1010
        OPTIONAL,
    }
    multiBandInfoList-v1010                 SEQUENCE (SIZE (1..maxMultiBands)) OF
        AdditionalSpectrumEmission-v1010    OPTIONAL,
    nonCriticalExtension                    SystemInformationBlockType2-v10n0-IEs  OPTIONAL
}

SystemInformationBlockType2-v10n0-IEs ::= SEQUENCE {
-- Following field is for non-critical extensions up-to REL-12
    lateNonCriticalExtension                OCTET STRING                          OPTIONAL,
    nonCriticalExtension                    SystemInformationBlockType2-v13c0-IEs  OPTIONAL
}

```

```

SystemInformationBlockType2-v13c0-IEs ::= SEQUENCE {
    uplinkPowerControlCommon-v13c0 UplinkPowerControlCommon-v1310          OPTIONAL,    -- Need OR
    -- Following field is for non-critical extensions from REL-13
    nonCriticalExtension              SEQUENCE {}                          OPTIONAL
}

AC-BarringConfig ::= SEQUENCE {
    ac-BarringFactor                  ENUMERATED {
        p00, p05, p10, p15, p20, p25, p30, p40,
        p50, p60, p70, p75, p80, p85, p90, p95},
    ac-BarringTime                    ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512},
    ac-BarringForSpecialAC            BIT STRING (SIZE(5))
}

MBSFN-SubframeConfigList ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-SubframeConfig

MBSFN-SubframeConfigList-v1430 ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-SubframeConfig-v1430

AC-BarringPerPLMN-List-r12 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF AC-BarringPerPLMN-r12

AC-BarringPerPLMN-r12 ::= SEQUENCE {
    plmn-IdentityIndex-r12            INTEGER (1..maxPLMN-r11),
    ac-BarringInfo-r12               SEQUENCE {
        ac-BarringForEmergency-r12   BOOLEAN,
        ac-BarringForMO-Signalling-r12 AC-BarringConfig    OPTIONAL,    -- Need OP
        ac-BarringForMO-Data-r12     AC-BarringConfig    OPTIONAL,    -- Need OP
    }
    ac-BarringSkipForMMTELVoice-r12  ENUMERATED {true}    OPTIONAL,    -- Need OP
    ac-BarringSkipForMMTELVideo-r12  ENUMERATED {true}    OPTIONAL,    -- Need OP
    ac-BarringSkipForSMS-r12         ENUMERATED {true}    OPTIONAL,    -- Need OP
    ac-BarringForCSFB-r12            AC-BarringConfig    OPTIONAL,    -- Need OP
    ssac-BarringForMMTEL-Voice-r12   AC-BarringConfig    OPTIONAL,    -- Need OP
    ssac-BarringForMMTEL-Video-r12   AC-BarringConfig    OPTIONAL,    -- Need OP
}

ACDC-BarringForCommon-r13 ::= SEQUENCE {
    acdc-HPLMNOnly-r13               BOOLEAN,
    barringPerACDC-CategoryList-r13   BarringPerACDC-CategoryList-r13
}

ACDC-BarringPerPLMN-List-r13 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF ACDC-BarringPerPLMN-r13

ACDC-BarringPerPLMN-r13 ::= SEQUENCE {
    plmn-IdentityIndex-r13            INTEGER (1..maxPLMN-r11),
    acdc-OnlyForHPLMN-r13            BOOLEAN,
    barringPerACDC-CategoryList-r13   BarringPerACDC-CategoryList-r13
}

BarringPerACDC-CategoryList-r13 ::= SEQUENCE (SIZE (1..maxACDC-Cat-r13)) OF BarringPerACDC-Category-r13

BarringPerACDC-Category-r13 ::= SEQUENCE {
    acdc-Category-r13                INTEGER (1..maxACDC-Cat-r13),
    acdc-BarringConfig-r13           SEQUENCE {
        ac-BarringFactor-r13         ENUMERATED {
            p00, p05, p10, p15, p20, p25, p30, p40,
            p50, p60, p70, p75, p80, p85, p90, p95},
        ac-BarringTime-r13          ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512}
    }
    ac-BarringTime-r13               OPTIONAL    -- Need OP
}

UDT-Restricting-r13 ::= SEQUENCE {
    udt-Restricting-r13              ENUMERATED {true}    OPTIONAL,    --Need OR
    udt-RestrictingTime-r13          ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512}
OPTIONAL --Need OR
}

UDT-RestrictingPerPLMN-List-r13 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF UDT-RestrictingPerPLMN-r13

UDT-RestrictingPerPLMN-r13 ::= SEQUENCE {
    plmn-IdentityIndex-r13            INTEGER (1..maxPLMN-r11),
    udt-Restricting-r13              UDT-Restricting-r13    OPTIONAL    --Need OR
}

CIOT-EPS-OptimisationInfo-r13 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF CIOT-OptimisationPLMN-r13

```

```
CIoT-OptimisationPLMN-r13 ::= SEQUENCE {
    up-CIoT-EPS-Optimisation-r13      ENUMERATED {true}      OPTIONAL,  -- Need OP
    cp-CIoT-EPS-Optimisation-r13      ENUMERATED {true}      OPTIONAL,  -- Need OP
    attachWithoutPDN-Connectivity-r13 ENUMERATED {true}      OPTIONAL  -- Need OP
}

PLMN-InfoList-r15 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-Info-r15

PLMN-Info-r15 ::= SEQUENCE {
    upperLayerIndication-r15          ENUMERATED {true}      OPTIONAL  -- Need OR
}

-- ASN1STOP
```

| <b>SystemInformationBlockType2 field descriptions</b>  |
|--|
| <p><b>ac-BarringFactor</b><br/>If the random number drawn by the UE is lower than this value, access is allowed. Otherwise the access is barred. The values are interpreted in the range [0,1): p00 = 0, p05 = 0.05, p10 = 0.10, ..., p95 = 0.95. Values other than p00 can only be set if all bits of the corresponding <i>ac-BarringForSpecialAC</i> are set to 0.</p>   |
| <p><b>ac-BarringForCSFB</b><br/>Access class barring for mobile originating CS fallback.</p>   |
| <p><b>ac-BarringForEmergency</b><br/>Access class barring for AC 10.</p>   |
| <p><b>ac-BarringForMO-Data</b><br/>Access class barring for mobile originating calls.</p>  |
| <p><b>ac-BarringForMO-Signalling</b><br/>Access class barring for mobile originating signalling.</p>   |
| <p><b>ac-BarringForSpecialAC</b><br/>Access class barring for AC 11-15. The first/ leftmost bit is for AC 11, the second bit is for AC 12, and so on.</p>  |
| <p><b>ac-BarringTime</b><br/>Mean access barring time value in seconds.</p>  |
| <p><b>acdc-BarringConfig</b><br/>Barring configuration for an ACDC category. If the field is absent, access to the cell is considered as not barred for the ACDC category in accordance with clause 5.3.3.13.</p>  |
| <p><b>acdc-Category</b><br/>Indicates the ACDC category as defined in TS 24.105 [72].</p>  |
| <p><b>acdc-OnlyForHPLMN</b><br/>Indicates whether ACDC is applicable for UEs not in their HPLMN for the corresponding PLMN. <i>TRUE</i> indicates that ACDC is applicable only for UEs in their HPLMN for the corresponding PLMN. <i>FALSE</i> indicates that ACDC is applicable for both UEs in their HPLMN and UEs not in their HPLMN for the corresponding PLMN.</p>  |
| <p><b>additionalSpectrumEmission</b><br/>The UE requirements related to IE <i>AdditionalSpectrumEmission</i> are defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs. NOTE 1.</p>   |
| <p><b>attachWithoutPDN-Connectivity</b><br/>If present, the field indicates that attach without PDN connectivity as specified in TS 24.301 [35] is supported for this PLMN.</p>  |
| <p><b>barringPerACDC-CategoryList</b><br/>A list of barring information per ACDC category according to the order defined in TS 22.011 [10]. The first entry in the list corresponds to the highest ACDC category of which applications are the least restricted in access attempts at a cell, the second entry in the list corresponds to the ACDC category of which applications are restricted more than applications of the highest ACDC category in access attempts at a cell, and so on. The last entry in the list corresponds to the lowest ACDC category of which applications are the most restricted in access attempts at a cell.</p> |
| <p><b>clot-EPS-OptimisationInfo</b><br/>A list of IoT EPS related parameters. Value 1 indicates parameters for the PLMN listed 1st in the 1st <i>plmn-IdentityList</i> included in SIB1. Value 2 indicates parameters for the PLMN listed 2nd in the same <i>plmn-IdentityList</i>, or when no more PLMN are present within the same <i>plmn-IdentityList</i>, then the value indicates parameters for PLMN listed 1st in the subsequent <i>plmn-IdentityList</i> within the same SIB1 and so on. NOTE 1.</p>  |
| <p><b>cp-CIoT-EPS-Optimisation</b><br/>This field indicates if the UE is allowed to establish the connection with Control plane IoT EPS Optimisation, see TS 24.301 [35].</p>  |
| <p><b>cp-EDT</b><br/>This field indicates whether the UE is allowed to initiate CP-EDT, see 5.3.3.1b.</p>  |
| <p><b>dummy</b><br/>This field is not used in the specification. If received it shall be ignored by the UE.</p>  |
| <p><b>idleModeMeasurements</b><br/>This field indicates that the eNB can process indication of IDLE mode measurements from UE.</p>   |
| <p><b>mbsfn-SubframeConfigList</b><br/>Defines the subframes that are reserved for MBSFN in downlink.<br/>NOTE 1. If the cell is a FeMBMS/Unicast mixed cell, EUTRAN includes <i>mbsfn-SubframeConfigList-v1430</i>. If a FeMBMS/Unicast mixed cell does not use sub-frames #4 or #9 as MBSFN sub-frames, <i>mbsfn-SubframeConfigList-v1430</i> is still included and indicates all sub-frames as non-MBSFN sub-frames.</p>  |
| <p><b>multiBandInfoList</b><br/>A list of <i>AdditionalSpectrumEmission</i> i.e. one for each additional frequency band included in <i>multiBandInfoList</i> in <i>SystemInformationBlockType1</i>, listed in the same order. If E-UTRAN includes <i>multiBandInfoList-v1010</i> it includes the same number of entries, and listed in the same order, as in <i>multiBandInfoList</i>.</p>   |
| <p><b>plmn-IdentityIndex</b><br/>Index of the PLMN across the <i>plmn-IdentityList</i> fields included in SIB1. Value 1 indicates the PLMN listed 1st in the 1st <i>plmn-IdentityList</i> included in SIB1. Value 2 indicates the PLMN listed 2nd in the same <i>plmn-IdentityList</i>, or when no more PLMN are present within the same <i>plmn-IdentityList</i>, then the PLMN listed 1st in the subsequent <i>plmn-IdentityList</i> within the same SIB1 and so on. NOTE 1.</p>   |

| <b>SystemInformationBlockType2 field descriptions</b> |  |
|---|--|
| <b>plmn-InfoList</b>                                  | If E-UTRAN includes this field, it includes the same number of entries, and listed in the same order as PLMNs across the plmn-IdentityList fields included in SIB1. I.e. the first entry corresponds to the first entry of the combined list that results from concatenating the entries included in the second to the original plmn-IdentityList field.   |
| <b>reducedCP-LatencyEnabled</b>                       | If present, reduced control plane latency is enabled. UEs supporting reduced CP latency transmit Msg3 according to $k_1 \geq 5$ timing as specified in TS 36.213 [23] when transmitting <i>RRCCConnectionResumeRequest</i> in Msg3.  |
| <b>mbms-ROM-ServiceIndication</b>                     | This field indicates whether the UE is allowed to send <i>MBMSInterestIndication</i> message for the purpose of indicating receive only mode MBMS service parameters.  |
| <b>ssac-BarringForMMTEL-Video</b>                     | Service specific access class barring for MMTEL video originating calls.   |
| <b>ssac-BarringForMMTEL-Voice</b>                     | Service specific access class barring for MMTEL voice originating calls.   |
| <b>udt-Restricting</b>                                | Value TRUE indicates that the UE should indicate to the higher layers to restrict unattended data traffic TS 22.101 [77] irrespective of the UE being in RRC_IDLE or RRC_CONNECTED. The UE shall not indicate to the higher layers if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11].                     |
| <b>udt-RestrictingTime</b>                            | If present and when the <i>udt-Restricting</i> changes from TRUE, the UE runs a timer for a period equal to $\text{rand} * \text{udt-RestrictingTime}$ , where rand is a random number drawn that is uniformly distributed in the range $0 \leq \text{rand} < 1$ value in seconds. The timer stops if <i>udt-Restricting</i> changes to TRUE. Upon timer expiry, the UE indicates to the higher layers that the restriction is alleviated. |
| <b>unicastFreqHoppingInd</b>                          | This field indicates if the UE is allowed to indicate support of frequency hopping for unicast MPDCCH/PDSCH/PUSCH as described in TS 36.321 [6]. This field is included only in the BR version of SI message carrying <i>SystemInformationBlockType2</i> .   |
| <b>ul-Bandwidth</b>                                   | Parameter: transmission bandwidth configuration, $N_{RB}$ , in uplink, see TS 36.101 [42], table 5.6-1. Value n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on. If for FDD this parameter is absent, the uplink bandwidth is equal to the downlink bandwidth. For TDD this parameter is absent and it is equal to the downlink bandwidth. NOTE 1.  |
| <b>ul-CarrierFreq</b>                                 | For FDD: If absent, the (default) value determined from the default TX-RX frequency separation defined in TS 36.101 [42], table 5.7.3-1, applies.<br>For TDD: This parameter is absent and it is equal to the downlink frequency. NOTE 1.  |
| <b>up-CIoT-EPS-Optimisation</b>                       | This field indicates if the UE is allowed to resume the connection with User plane CiOT EPS Optimisation, see TS 24.301 [35].  |
| <b>up-EDT</b>   | This field indicates whether the UE is allowed to initiate UP-EDT, see 5.3.3.1b.   |
| <b>upperLayerIndication</b>                           | Indication to be provided to upper layers.   |
| <b>useFullResumeID</b>                                | This field indicates if the UE indicates full resume ID of 40 bits in <i>RRCCConnectionResumeRequest</i> .   |
| <b>videoServiceCauseIndication</b>                    | Indicates whether the UE is requested to use the establishment cause <i>mo-VoiceCall</i> for mobile originating MMTEL video calls.   |
| <b>voiceServiceCauseIndication</b>                    | Indicates whether UE is requested to use the establishment cause <i>mo-VoiceCall</i> for mobile originating MMTEL voice calls.   |

| Conditional presence | Explanation  |
|----------------------|--|
| <i>ul-FreqMax</i>    | The field is mandatory present if <i>ul-CarrierFreq</i> (i.e. without suffix) is present and set to <i>maxEARFCN</i> . Otherwise the field is not present. |

NOTE 1: E-UTRAN sets this field to the same value for all instances of SI message that are broadcasted within the same cell.

### SystemInformationBlockType3

The IE *SystemInformationBlockType3* contains cell re-selection information common for intra-frequency, inter-frequency and/ or inter-RAT cell re-selection (i.e. applicable for more than one type of cell re-selection but not necessarily all) as well as intra-frequency cell re-selection information other than neighbouring cell related.

#### SystemInformationBlockType3 information element

```

-- ASN1START
SystemInformationBlockType3 ::= SEQUENCE {
  cellReselectionInfoCommon SEQUENCE {
    q-Hyst ENUMERATED {
      dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
      dB12, dB14, dB16, dB18, dB20, dB22, dB24},
    speedStateReselectionPars SEQUENCE {
      mobilityStateParameters SEQUENCE {
        q-HystSF SEQUENCE {
          sf-Medium ENUMERATED {
            dB-6, dB-4, dB-2, dB0},
          sf-High ENUMERATED {
            dB-6, dB-4, dB-2, dB0}
        }
      }
    }
  },
  cellReselectionServingFreqInfo SEQUENCE {
    s-NonIntraSearch ReselectionThreshold OPTIONAL, -- Need OP
    threshServingLow ReselectionThreshold,
    cellReselectionPriority CellReselectionPriority
  },
  intraFreqCellReselectionInfo SEQUENCE {
    q-RxLevMin Q-RxLevMin,
    p-Max P-Max OPTIONAL, -- Need OP
    s-IntraSearch ReselectionThreshold OPTIONAL, -- Need OP
    allowedMeasBandwidth AllowedMeasBandwidth OPTIONAL, -- Need OP
    presenceAntennaPort1 PresenceAntennaPort1,
    neighCellConfig NeighCellConfig,
    t-ReselectionEUTRA T-Reselection,
    t-ReselectionEUTRA-SF SpeedStateScaleFactors OPTIONAL, -- Need OP
  },
  ...,
  lateNonCriticalExtension OCTET STRING (CONTAINING SystemInformationBlockType3-
v10j0-IEs) OPTIONAL,
  [[ s-IntraSearch-v920 SEQUENCE {
    s-IntraSearchP-r9 ReselectionThreshold,
    s-IntraSearchQ-r9 ReselectionThresholdQ-r9
  } OPTIONAL, -- Need OP
  s-NonIntraSearch-v920 SEQUENCE {
    s-NonIntraSearchP-r9 ReselectionThreshold,
    s-NonIntraSearchQ-r9 ReselectionThresholdQ-r9
  } OPTIONAL, -- Need OP
  q-QualMin-r9 Q-QualMin-r9 OPTIONAL, -- Need OP
  threshServingLowQ-r9 ReselectionThresholdQ-r9 OPTIONAL, -- Need OP
  ]],
  [[ q-QualMinWB-r11 Q-QualMin-r9 OPTIONAL -- Cond WB-RSRQ
  ]],
  [[ q-QualMinRSRQ-OnAllSymbols-r12 Q-QualMin-r9 OPTIONAL --
Cond RSRQ
  ]],
  [[ cellReselectionServingFreqInfo-v1310 CellReselectionServingFreqInfo-v1310 OPTIONAL, --
Need OP
  redistributionServingInfo-r13 RedistributionServingInfo-r13 OPTIONAL, --Need OR
  cellSelectionInfoCE-r13 CellSelectionInfoCE-r13 OPTIONAL, -- Need
OP
  t-ReselectionEUTRA-CE-r13 T-ReselectionEUTRA-CE-r13 OPTIONAL -- Need
OP
  ]],
  [[ cellSelectionInfoCE1-r13 CellSelectionInfoCE1-r13 OPTIONAL -- Need OP
  ]],
  [[ cellSelectionInfoCE1-v1360 CellSelectionInfoCE1-v1360 OPTIONAL -- Cond
QrxlevminCE1
  ]],
  [[ cellReselectionInfoCommon-v1460 CellReselectionInfoCommon-v1460 OPTIONAL -- Need OR
  ]],
  [[ cellReselectionInfoHSDN-r15 CellReselectionInfoHSDN-r15 OPTIONAL, -- Need OR
  ]],

```

```

OP      cellSelectionInfoCE-v1530          CellSelectionInfoCE-v1530          OPTIONAL,          -- Need
      crs-IntfMitigNeighCellsCE-r15      ENUMERATED {enabled}              OPTIONAL          -- Need OP
  ]]
}

RedistributionServingInfo-r13 ::= SEQUENCE {
  redistributionFactorServing-r13      INTEGER(0..10),
  redistributionFactorCell-r13         ENUMERATED{true}                  OPTIONAL,          --Need OP
  t360-r13                             ENUMERATED {min4, min8, min16, min32,infinity,
                                     spare3,spare2,spare1},
  redistrOnPagingOnly-r13             ENUMERATED {true}                OPTIONAL          --Need OP
}

CellReselectionServingFreqInfo-v1310 ::= SEQUENCE {
  cellReselectionSubPriority-r13      CellReselectionSubPriority-r13
}

-- Late non critical extensions
SystemInformationBlockType3-v10j0-IEs ::= SEQUENCE {
  freqBandInfo-r10                   NS-PmaxList-r10                  OPTIONAL,          -- Need OR
  multiBandInfoList-v10j0           MultiBandInfoList-v10j0         OPTIONAL,          -- Need OR
  nonCriticalExtension                SystemInformationBlockType3-v10l0-IEs
  OPTIONAL
}

SystemInformationBlockType3-v10l0-IEs ::= SEQUENCE {
  freqBandInfo-v10l0                 NS-PmaxList-v10l0                OPTIONAL,          -- Need OR
  multiBandInfoList-v10l0           MultiBandInfoList-v10l0         OPTIONAL,          -- Need OR
  nonCriticalExtension                SEQUENCE {}                      OPTIONAL
}

CellReselectionInfoCommon-v1460 ::= SEQUENCE {
  s-SearchDeltaP-r14                 ENUMERATED {dB6, dB9, dB12, dB15}
}

CellReselectionInfoHSDN-r15 ::= SEQUENCE {
  cellEquivalentSize-r15              INTEGER(2..16)
}

-- ASN1STOP

```



| <b>SystemInformationBlockType3 field descriptions</b>  |
|--|
| <p><b>allowedMeasBandwidth</b><br/>If absent, the value corresponding to the downlink bandwidth indicated by the <i>dl-Bandwidth</i> included in <i>MasterInformationBlock</i> applies.</p>  |
| <p><b>cellEquivalentSize</b><br/>The number of cell count used for mobility state estimation for this cell as specified in TS 36.304 [4].</p>  |
| <p><b>cellSelectionInfoCE</b><br/>Parameters included in coverage enhancement S criteria for BL UEs and UEs in CE, applicable for intra-frequency neighbour cells. If absent, coverage enhancement S criteria is not applicable.</p>   |
| <p><b>cellSelectionInfoCE1</b><br/>Parameters included in coverage enhancement S criteria for BL UEs and UEs in CE supporting CE Mode B, applicable for intra-frequency neighbour cells. E-UTRAN includes this IE only if <i>cellSelectionInfoCE</i> in SIB3 is present.</p>   |
| <p><b>cellReselectionInfoCommon</b><br/>Cell re-selection information common for cells.</p>  |
| <p><b>cellReselectionServingFreqInfo</b><br/>Information common for Cell re-selection to inter-frequency and inter-RAT cells.</p>  |
| <p><b>crs-IntfMitigNeighCellsCE</b><br/>For BL UEs supporting <i>ce-CRS-IntfMitig</i>, this field indicates CRS interference mitigation, as specified in TS 36.133 [16], clause 3.6.1.2 and 3.6.1.3, is enabled in any of the intra-frequency neighbour cells, and the UE shall perform intra-frequency neighbour cell RRM measurements in the center 6 PRBs.</p>  |
| <p><b>freqBandInfo</b><br/>A list of <i>additionalPmax</i> and <i>additionalSpectrumEmission</i> values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, applicable for the intra-frequency neighbouring E-UTRA cells if the UE selects the frequency band from <i>freqBandIndicator</i> in <i>SystemInformationBlockType1</i>. If E-UTRAN includes <i>freqBandInfo-v1010</i> it includes the same number of entries, and listed in the same order, as in <i>freqBandInfo-r10</i>.</p>  |
| <p><b>intraFreqcellReselectionInfo</b><br/>Cell re-selection information common for intra-frequency cells.</p>   |
| <p><b>multiBandInfoList-v10j0</b><br/>A list of <i>additionalPmax</i> and <i>additionalSpectrumEmission</i> values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, applicable for the intra-frequency neighbouring E-UTRA cells if the UE selects the frequency bands in <i>multiBandInfoList</i> (i.e. without suffix) or <i>multiBandInfoList-v9e0</i> in <i>SystemInformationBlockType1</i>. If E-UTRAN includes <i>multiBandInfoList-v10j0</i>, it includes the same number of entries, and listed in the same order, as in <i>multiBandInfoList</i> (i.e. without suffix) in <i>SystemInformationBlockType1</i>. If E-UTRAN includes <i>multiBandInfoList-v1010</i> it includes the same number of entries, and listed in the same order, as in <i>multiBandInfoList-v10j0</i>.</p> |
| <p><b>p-Max</b><br/>Value applicable for the intra-frequency neighbouring E-UTRA cells. If absent the UE applies the maximum power according to its capability as specified in TS 36.101 [42], clause 6.2.2.</p>   |
| <p><b>redistrOnPagingOnly</b><br/>If this field is present and the UE is redistribution capable, the UE shall only wait for the paging message to trigger E-UTRAN inter-frequency redistribution procedure as specified in clause 5.2.4.10 of TS 36.304 [4].</p>   |
| <p><b>q-Hyst</b><br/>Parameter <math>Q_{hyst}</math> in TS 36.304 [4], Value in dB. Value dB1 corresponds to 1 dB, dB2 corresponds to 2 dB and so on.</p>  |
| <p><b>q-HystSF</b><br/>Parameter "Speed dependent ScalingFactor for <math>Q_{hyst}</math>" in TS 36.304 [4]. The sf-Medium and sf-High concern the additional hysteresis to be applied, in Medium and High Mobility state respectively, to <math>Q_{hyst}</math> as defined in TS 36.304 [4]. In dB. Value dB-6 corresponds to -6dB, dB-4 corresponds to -4dB and so on.</p>   |
| <p><b>q-QualMin</b><br/>Parameter "<math>Q_{qualmin}</math>" in TS 36.304 [4], applicable for intra-frequency neighbour cells. If the field is not present, the UE applies the (default) value of negative infinity for <math>Q_{qualmin}</math>. NOTE 1.</p>  |
| <p><b>q-QualMinRSRQ-OnAllSymbols</b><br/>If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols in accordance with TS 36.214 [48]. NOTE 1.</p>  |
| <p><b>q-QualMinWB</b><br/>If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, use a wider bandwidth in accordance with TS 36.133 [16]. NOTE 1.</p>  |
| <p><b>q-RxLevMin</b><br/>Parameter "<math>Q_{rxlevmin}</math>" in TS 36.304 [4], applicable for intra-frequency neighbour cells.</p>   |
| <p><b>redistributionFactorCell</b><br/>If <i>redistributionFactorCell</i> is present, <i>redistributionFactorServing</i> is only applicable for the serving cell otherwise it is applicable for serving frequency</p>  |
| <p><b>redistributionFactorServing</b><br/>Parameter <i>redistributionFactorServing</i> in TS 36.304 [4].</p>   |

| <b>SystemInformationBlockType3 field descriptions</b>  |
|--|
| <p><b>s-IntraSearch</b><br/>Parameter "S<sub>IntraSearchP</sub>" in TS 36.304 [4]. If the field <i>s-IntraSearchP</i> is present, the UE applies the value of <i>s-IntraSearchP</i> instead. Otherwise if neither <i>s-IntraSearch</i> nor <i>s-IntraSearchP</i> is present, the UE applies the (default) value of infinity for S<sub>IntraSearchP</sub>.</p>                      |
| <p><b>s-IntraSearchP</b><br/>Parameter "S<sub>IntraSearchP</sub>" in TS 36.304 [4]. See descriptions under <i>s-IntraSearch</i>.</p>   |
| <p><b>s-IntraSearchQ</b><br/>Parameter "S<sub>IntraSearchQ</sub>" in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of 0 dB for S<sub>IntraSearchQ</sub>.</p>  |
| <p><b>s-NonIntraSearch</b><br/>Parameter "S<sub>nonIntraSearchP</sub>" in TS 36.304 [4]. If the field <i>s-NonIntraSearchP</i> is present, the UE applies the value of <i>s-NonIntraSearchP</i> instead. Otherwise if neither <i>s-NonIntraSearch</i> nor <i>s-NonIntraSearchP</i> is present, the UE applies the (default) value of infinity for S<sub>nonIntraSearchP</sub>.</p> |
| <p><b>s-NonIntraSearchP</b><br/>Parameter "S<sub>nonIntraSearchP</sub>" in TS 36.304 [4]. See descriptions under <i>s-NonIntraSearch</i>.</p>  |
| <p><b>s-NonIntraSearchQ</b><br/>Parameter "S<sub>nonIntraSearchQ</sub>" in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of 0 dB for S<sub>nonIntraSearchQ</sub>.</p>   |
| <p><b>s-SearchDeltaP</b><br/>Parameter "S<sub>SearchDeltaP</sub>" in TS 36.304 [4]. This parameter is only applicable for UEs supporting relaxed monitoring as specified in TS 36.306 [5]. Value dB6 corresponds to 6 dB, dB9 corresponds to 9 dB and so on.</p>   |
| <p><b>speedStateReselectionPars</b><br/>Speed dependent reselection parameters, see TS 36.304 [4]. If this field is absent, i.e. <i>mobilityStateParameters</i> is also not present, UE behaviour is specified in TS 36.304 [4].</p>   |
| <p><b>t360</b><br/>Parameter "T360" in TS 36.304 [4]. Value <i>min4</i> corresponds to 4 minutes, value <i>min8</i> corresponds to 8 minutes, and so on.</p>   |
| <p><b>threshServingLow</b><br/>Parameter "Thresh<sub>Serving,LowP</sub>" in TS 36.304 [4].</p>   |
| <p><b>threshServingLowQ</b><br/>Parameter "Thresh<sub>Serving,LowQ</sub>" in TS 36.304 [4].</p>  |
| <p><b>t-ReselectionEUTRA</b><br/>Parameter "T<sub>reselectionEUTRA</sub>" in TS 36.304 [4].</p>  |
| <p><b>t-ReselectionEUTRA-SF</b><br/>Parameter "Speed dependent ScalingFactor for T<sub>reselectionEUTRA</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].</p>  |

NOTE 1: The value the UE applies for parameter "Q<sub>qualmin</sub>" in TS 36.304 [4] depends on the *q-QualMin* fields signalled by E-UTRAN and supported by the UE. In case multiple candidate options are available, the UE shall select the highest priority candidate option according to the priority order indicated by the following table (top row is highest priority).

| q-QualMinRSRQ-OnAllSymbols | q-QualMinWB  | Value of parameter "Q <sub>qualmin</sub> " in TS 36.304 [4]                   |
|----------------------------|--------------|---|
| Included                   | Included     | $q\text{-QualMinRSRQ-OnAllSymbols} - (q\text{-QualMin} - q\text{-QualMinWB})$ |
| Included                   | Not included | $q\text{-QualMinRSRQ-OnAllSymbols}$   |
| Not included               | Included     | $q\text{-QualMinWB}$  |
| Not included               | Not included | $q\text{-QualMin}$  |

| Conditional presence | Explanation  |
|----------------------|--|
| <i>QrxlevminCE1</i>  | The field is optionally present, Need OR, if <i>q-RxLevMinCE1-r13</i> is set below -140 dBm. Otherwise the field is not present.   |
| <i>RSRQ</i>          | The field is optionally present, Need OR, if <i>threshServingLowQ</i> is present in SIB3; otherwise it is not present.   |
| <i>WB-RSRQ</i>       | The field is optionally present, need OP if the measurement bandwidth indicated by <i>allowedMeasBandwidth</i> is 50 resource blocks or larger; otherwise it is not present. |

## – SystemInformationBlockType4

The IE *SystemInformationBlockType4* contains neighbouring cell related information relevant only for intra-frequency cell re-selection. The IE includes cells with specific re-selection parameters as well as blacklisted cells.

**SystemInformationBlockType4 information element**

```

-- ASN1START
SystemInformationBlockType4 ::= SEQUENCE {
    intraFreqNeighCellList      IntraFreqNeighCellList      OPTIONAL, -- Need OR
    intraFreqBlackCellList     IntraFreqBlackCellList     OPTIONAL, -- Need OR
    csg-PhysCellIdRange        PhysCellIdRange          OPTIONAL, -- Cond CSG
    ...,
    lateNonCriticalExtension    OCTET STRING              OPTIONAL,
    [[ intraFreqNeighHSDN-CellList-r15 IntraFreqNeighHSDN-CellList-r15 OPTIONAL -- Need OR
    ]]
}

IntraFreqNeighCellList ::= SEQUENCE (SIZE (1..maxCellIntra)) OF IntraFreqNeighCellInfo

IntraFreqNeighHSDN-CellList-r15 ::= SEQUENCE (SIZE (1..maxCellIntra)) OF PhysCellIdRange

IntraFreqNeighCellInfo ::= SEQUENCE {
    physCellId                PhysCellId,
    q-OffsetCell               Q-OffsetRange,
    ...
}

IntraFreqBlackCellList ::= SEQUENCE (SIZE (1..maxCellBlack)) OF PhysCellIdRange
-- ASN1STOP

```

**SystemInformationBlockType4 field descriptions**

|                                    |  |
|------------------------------------|--|
| <b>csg-PhysCellIdRange</b>         | Set of physical cell identities reserved for CSG cells on the frequency on which this field was received. The received <i>csg-PhysCellIdRange</i> applies if less than 24 hours has elapsed since it was received and the UE is camped on a cell of the same primary PLMN where this field was received. The 3 hour validity restriction (clause 5.2.1.3) does not apply to this field. The UE shall not apply any stored <i>csg-PhysCellIdRange</i> when it is in <i>any cell selection</i> state defined in TS 36.304 [4]. |
| <b>intraFreqBlackCellList</b>      | List of blacklisted intra-frequency neighbouring cells.  |
| <b>intraFreqNeighCellList</b>      | List of intra-frequency neighbouring cells with specific cell re-selection parameters.   |
| <b>intraFreqNeighHSDN-CellList</b> | List of intra-frequency neighbouring HSDN cells as specified in TS 36.304 [4].   |
| <b>q-OffsetCell</b>                | Parameter "Qoffset <sub>s,n</sub> " in TS 36.304 [4].  |

| Conditional presence | Explanation  |
|----------------------|--|
| CSG                  | This field is optional, need OP, for non-CSG cells, and mandatory for CSG cells. |

**SystemInformationBlockType5**

The IE *SystemInformationBlockType5* contains information relevant for inter-frequency cell re-selection (i.e. information about other E-UTRA frequencies and inter-frequency neighbouring cells relevant for cell re-selection) and the idle mode measurement configuration in RRC\_IDLE or RRC\_INACTIVE. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

**SystemInformationBlockType5 information element**

```

-- ASN1START
SystemInformationBlockType5 ::= SEQUENCE {
    interFreqCarrierFreqList    InterFreqCarrierFreqList,
    ...,
    lateNonCriticalExtension    OCTET STRING (CONTAINING SystemInformationBlockType5-
v8h0-IEs)                      OPTIONAL,
    [[ interFreqCarrierFreqList-v1250 InterFreqCarrierFreqList-v1250      OPTIONAL, -- Need OR
    interFreqCarrierFreqListExt-r12 InterFreqCarrierFreqListExt-r12      OPTIONAL -- Need OR
    ]],
    [[ interFreqCarrierFreqListExt-v1280 InterFreqCarrierFreqListExt-v1280  OPTIONAL -- Need
OR

```

```

    ]],
    [[ interFreqCarrierFreqList-v1310      InterFreqCarrierFreqList-v1310      OPTIONAL,  -- Need
OR      interFreqCarrierFreqListExt-v1310  InterFreqCarrierFreqListExt-v1310  OPTIONAL  -- Need
OR
    ]],
    [[ interFreqCarrierFreqList-v1350      InterFreqCarrierFreqList-v1350      OPTIONAL,  -- Need OR
interFreqCarrierFreqListExt-v1350      InterFreqCarrierFreqListExt-v1350  OPTIONAL  -- Need OR
    ]],
    [[ interFreqCarrierFreqListExt-v1360    InterFreqCarrierFreqListExt-v1360    OPTIONAL  -- Need
OR
    ]],
    [[ scptm-FreqOffset-r14                 INTEGER (1..8)                       OPTIONAL  -- Need OP
    ]],
    [[ interFreqCarrierFreqList-v1530      InterFreqCarrierFreqList-v1530      OPTIONAL,  -- Need
OR      interFreqCarrierFreqListExt-v1530  InterFreqCarrierFreqListExt-v1530  OPTIONAL,  -- Need
OR
    measIdleConfigSIB-r15                 MeasIdleConfigSIB-r15               OPTIONAL  -- Need OR
    ]]
}

-- Late non critical extensions
SystemInformationBlockType5-v8h0-IEs ::= SEQUENCE {
    interFreqCarrierFreqList-v8h0 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v8h0
    OPTIONAL,  -- Need OP
    nonCriticalExtension           SystemInformationBlockType5-v9e0-IEs
    OPTIONAL
}

SystemInformationBlockType5-v9e0-IEs ::= SEQUENCE {
    interFreqCarrierFreqList-v9e0 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v9e0
    OPTIONAL,  -- Need OR
    nonCriticalExtension           SystemInformationBlockType5-v10j0-IEs  OPTIONAL
}

SystemInformationBlockType5-v10j0-IEs ::= SEQUENCE {
    interFreqCarrierFreqList-v10j0 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v10j0
    OPTIONAL,  -- Need OR
    nonCriticalExtension           SystemInformationBlockType5-v10l0-IEs  OPTIONAL
}

SystemInformationBlockType5-v10l0-IEs ::= SEQUENCE {
    interFreqCarrierFreqList-v10l0 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v10l0
    OPTIONAL,  -- Need OR
    nonCriticalExtension           SystemInformationBlockType5-v13a0-IEs  OPTIONAL
}

SystemInformationBlockType5-v13a0-IEs ::= SEQUENCE {
    -- Late non critical extensions from REL-10 upto REL-12
    lateNonCriticalExtension       OCTET STRING                       OPTIONAL,  -- Need OR
    interFreqCarrierFreqList-v13a0 InterFreqCarrierFreqList-v13a0  OPTIONAL,  -- Need OR
    -- Late non critical extensions from REL-13
    nonCriticalExtension           SEQUENCE {}                       OPTIONAL
}

InterFreqCarrierFreqList ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo

InterFreqCarrierFreqList-v1250 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1250

InterFreqCarrierFreqList-v1310 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1310

InterFreqCarrierFreqList-v1350 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1350

InterFreqCarrierFreqList-v13a0 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1360

InterFreqCarrierFreqList-v1530 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1530

InterFreqCarrierFreqListExt-r12 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-r12

InterFreqCarrierFreqListExt-v1280 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
v10j0

InterFreqCarrierFreqListExt-v1310 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
v1310

InterFreqCarrierFreqListExt-v1350 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
v1350

```

```

InterFreqCarrierFreqListExt-v1360 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
v1360
InterFreqCarrierFreqListExt-v1530 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
v1530
InterFreqCarrierFreqInfo ::= SEQUENCE {
  dl-CarrierFreq ARFCN-ValueEUTRA,
  q-RxLevMin Q-RxLevMin,
  p-Max P-Max OPTIONAL, -- Need OP
  t-ReselectionEUTRA T-Reselection,
  t-ReselectionEUTRA-SF SpeedStateScaleFactors OPTIONAL, -- Need OP
  threshX-High ReselectionThreshold,
  threshX-Low ReselectionThreshold,
  allowedMeasBandwidth AllowedMeasBandwidth,
  presenceAntennaPort1 PresenceAntennaPort1,
  cellReselectionPriority CellReselectionPriority OPTIONAL, -- Need OP
  neighCellConfig NeighCellConfig,
  q-OffsetFreq Q-OffsetRange DEFAULT dB0,
  interFreqNeighCellList InterFreqNeighCellList OPTIONAL, -- Need OR
  interFreqBlackCellList InterFreqBlackCellList OPTIONAL, -- Need OR
  ...,
  [[ q-QualMin-r9 Q-QualMin-r9 OPTIONAL, -- Need OP
    threshX-Q-r9 SEQUENCE {
      threshX-HighQ-r9 ReselectionThresholdQ-r9,
      threshX-LowQ-r9 ReselectionThresholdQ-r9
    } OPTIONAL -- Cond RSRQ
  ]],
  [[ q-QualMinWB-r11 Q-QualMin-r9 OPTIONAL -- Cond WB-RSRQ
  ]]
}
InterFreqCarrierFreqInfo-v8h0 ::= SEQUENCE {
  multiBandInfoList MultiBandInfoList OPTIONAL -- Need OR
}
InterFreqCarrierFreqInfo-v9e0 ::= SEQUENCE {
  dl-CarrierFreq-v9e0 ARFCN-ValueEUTRA-v9e0 OPTIONAL, -- Cond dl-FreqMax
  multiBandInfoList-v9e0 MultiBandInfoList-v9e0 OPTIONAL -- Need OR
}
InterFreqCarrierFreqInfo-v10j0 ::= SEQUENCE {
  freqBandInfo-r10 NS-PmaxList-r10 OPTIONAL, -- Need OR
  multiBandInfoList-v10j0 MultiBandInfoList-v10j0 OPTIONAL -- Need OR
}
InterFreqCarrierFreqInfo-v10l0 ::= SEQUENCE {
  freqBandInfo-v10l0 NS-PmaxList-v10l0 OPTIONAL, -- Need OR
  multiBandInfoList-v10l0 MultiBandInfoList-v10l0 OPTIONAL -- Need OR
}
InterFreqCarrierFreqInfo-v1250 ::= SEQUENCE {
  reducedMeasPerformance-r12 ENUMERATED {true} OPTIONAL, -- Need OP
  q-QualMinRSRQ-OnAllSymbols-r12 Q-QualMin-r9 OPTIONAL -- Cond RSRQ2
}
InterFreqCarrierFreqInfo-r12 ::= SEQUENCE {
  dl-CarrierFreq-r12 ARFCN-ValueEUTRA-r9,
  q-RxLevMin-r12 Q-RxLevMin,
  p-Max-r12 P-Max OPTIONAL, -- Need OP
  t-ReselectionEUTRA-r12 T-Reselection,
  t-ReselectionEUTRA-SF-r12 SpeedStateScaleFactors OPTIONAL, -- Need OP
  threshX-High-r12 ReselectionThreshold,
  threshX-Low-r12 ReselectionThreshold,
  allowedMeasBandwidth-r12 AllowedMeasBandwidth,
  presenceAntennaPort1-r12 PresenceAntennaPort1,
  cellReselectionPriority-r12 CellReselectionPriority OPTIONAL, -- Need OP
  neighCellConfig-r12 NeighCellConfig,
  q-OffsetFreq-r12 Q-OffsetRange DEFAULT dB0,
  interFreqNeighCellList-r12 InterFreqNeighCellList OPTIONAL, -- Need OR
  interFreqBlackCellList-r12 InterFreqBlackCellList OPTIONAL, -- Need OR
  q-QualMin-r12 Q-QualMin-r9 OPTIONAL, -- Need OP
  threshX-Q-r12 SEQUENCE {
    threshX-HighQ-r12 ReselectionThresholdQ-r9,
    threshX-LowQ-r12 ReselectionThresholdQ-r9
  } OPTIONAL, -- Cond RSRQ
  q-QualMinWB-r12 Q-QualMin-r9 OPTIONAL, -- Cond WB-RSRQ
}

```

```

multiBandInfoList-r12      MultiBandInfoList-r11      OPTIONAL,  -- Need OR
reducedMeasPerformance-r12  ENUMERATED {true}          OPTIONAL,  -- Need OP
q-QualMinRSRQ-OnAllSymbols-r12  Q-QualMin-r9              OPTIONAL,  -- Cond RSRQ2
...
}

InterFreqCarrierFreqInfo-v1310 ::= SEQUENCE {
  cellReselectionSubPriority-r13      CellReselectionSubPriority-r13      OPTIONAL,  -- Need
OP
  redistributionInterFreqInfo-r13     RedistributionInterFreqInfo-r13     OPTIONAL, --Need OP
  cellSelectionInfoCE-r13             CellSelectionInfoCE-r13             OPTIONAL,  -- Need OP
  t-ReselectionEUTRA-CE-r13          T-ReselectionEUTRA-CE-r13          OPTIONAL,  -- Need OP
}

InterFreqCarrierFreqInfo-v1350 ::= SEQUENCE {
  cellSelectionInfoCE1-r13            CellSelectionInfoCE1-r13            OPTIONAL  -- Need OP
}

InterFreqCarrierFreqInfo-v1360 ::= SEQUENCE {
  cellSelectionInfoCE1-v1360          CellSelectionInfoCE1-v1360          OPTIONAL  -- Cond QrxlevminCE1
}

InterFreqCarrierFreqInfo-v1530 ::= SEQUENCE {
  hsdn-Indication-r15                BOOLEAN,
  interFreqNeighHSDN-CellList-r15     InterFreqNeighHSDN-CellList-r15     OPTIONAL,  -- Need OR
  cellSelectionInfoCE-v1530           CellSelectionInfoCE-v1530           OPTIONAL,  -- Need OP
}

InterFreqNeighCellList ::= SEQUENCE (SIZE (1..maxCellInter)) OF InterFreqNeighCellInfo
InterFreqNeighHSDN-CellList-r15 ::= SEQUENCE (SIZE (1..maxCellInter)) OF PhysCellIdRange
InterFreqNeighCellInfo ::= SEQUENCE {
  physCellId                          PhysCellId,
  q-OffsetCell                          Q-OffsetRange
}

InterFreqBlackCellList ::= SEQUENCE (SIZE (1..maxCellBlack)) OF PhysCellIdRange

RedistributionInterFreqInfo-r13 ::= SEQUENCE {
  redistributionFactorFreq-r13         RedistributionFactor-r13             OPTIONAL,  --Need OP
  redistributionNeighCellList-r13      RedistributionNeighCellList-r13     OPTIONAL  --Need
OP
}

RedistributionNeighCellList-r13 ::= SEQUENCE (SIZE (1..maxCellInter)) OF RedistributionNeighCell-r13

RedistributionNeighCell-r13 ::= SEQUENCE {
  physCellId-r13                       PhysCellId,
  redistributionFactorCell-r13          RedistributionFactor-r13
}

RedistributionFactor-r13 ::= INTEGER(1..10)

-- ASN1STOP

```

| <b>SystemInformationBlockType5 field descriptions</b>   |
|---|
| <p><b>cellSelectionInfoCE</b><br/>Parameters included in coverage enhancement S criteria for BL UEs and UEs in CE, applicable for inter-frequency neighbour cells. If absent, coverage enhancement S criteria is not applicable.</p>  |
| <p><b>cellSelectionInfoCE1</b><br/>Parameters included in coverage enhancement S criteria for BL UEs and UEs in CE supporting CE Mode B. E-UTRAN includes this IE only in an entry of <i>InterFreqCarrierFreqList-v1350</i> or <i>InterFreqCarrierFreqListExt-v1350</i> if <i>cellSelectionInfoCE</i> is present in the corresponding entry of <i>InterFreqCarrierFreqList-v1310</i> or <i>InterFreqCarrierFreqListExt-v1310</i> is present.</p>  |
| <p><b>freqBandInfo</b><br/>A list of <i>additionalPmax</i> and <i>additionalSpectrumEmission</i> values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, for the frequency band represented by <i>dl-CarrierFreq</i> for which cell reselection parameters are common. If E-UTRAN includes <i>freqBandInfo-v1010</i> it includes the same number of entries, and listed in the same order, as in <i>freqBandInfo-r10</i>.</p>  |
| <p><b>hsdn-Indication</b><br/>Indicates whether there are deployed HSDN cells or not on the the DL carrier frequency indicated by <i>dl-CarrierFreq-r12</i>.</p>  |
| <p><b>interFreqBlackCellList</b><br/>List of blacklisted inter-frequency neighbouring cells.</p>  |
| <p><b>interFreqCarrierFreqList</b><br/>List of neighbouring inter-frequencies. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the E-ARFCN used to indicate this. If E-UTRAN includes <i>interFreqCarrierFreqList-v8h0</i>, <i>interFreqCarrierFreqList-v9e0</i>, <i>InterFreqCarrierFreqList-v1250</i>, <i>InterFreqCarrierFreqList-v1310</i>, <i>InterFreqCarrierFreqList-v1350</i>, <i>InterFreqCarrierFreqList-v13a0</i> and/or <i>InterFreqCarrierFreqList-v1530</i>, it includes the same number of entries, and listed in the same order, as in <i>interFreqCarrierFreqList</i> (i.e. without suffix). See Annex D for more descriptions.</p>   |
| <p><b>interFreqCarrierFreqListExt</b><br/>List of additional neighbouring inter-frequencies, i.e. extending the size of the inter-frequency carrier list using the general principles specified in 5.1.2. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the E-ARFCN used to indicate this. EUTRAN may include <i>interFreqCarrierFreqListExt</i> even if <i>interFreqCarrierFreqList</i> (i.e without suffix) does not include <i>maxFreq</i> entries. If E-UTRAN includes <i>InterFreqCarrierFreqListExt-v1310</i>, <i>InterFreqCarrierFreqListExt-v1350</i>, <i>InterFreqCarrierFreqListExt-v1360</i> and/or <i>InterFreqCarrierFreqListExt-v1530</i>, it includes the same number of entries, and listed in the same order, as in <i>interFreqCarrierFreqListExt-r12</i>.</p> |
| <p><b>interFreqNeighCellList</b><br/>List of inter-frequency neighbouring cells with specific cell re-selection parameters.</p>   |
| <p><b>interFreqNeighHSDN-CellList</b><br/>List of inter-frequency neighbouring HSDN cells as specified in TS 36.304 [4].</p>  |
| <p><b>multiBandInfoList</b><br/>Indicates the list of frequency bands in addition to the band represented by <i>dl-CarrierFreq</i> for which cell reselection parameters are common. E-UTRAN indicates at most <i>maxMultiBands</i> frequency bands (i.e. the total number of entries across both <i>multiBandInfoList</i> and <i>multiBandInfoList-v9e0</i> is below this limit).</p>  |
| <p><b>multiBandInfoList-v10j0</b><br/>A list of <i>additionalPmax</i> and <i>additionalSpectrumEmission</i> values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, for the frequency bands in <i>multiBandInfoList</i> (i.e. without suffix) and <i>multiBandInfoList-v9e0</i>. If E-UTRAN includes <i>multiBandInfoList-v10j0</i>, it includes the same number of entries, and listed in the same order, as in <i>multiBandInfoList</i> (i.e. without suffix). If E-UTRAN includes <i>multiBandInfoList-v1010</i> it includes the same number of entries, and listed in the same order, as in <i>multiBandInfoList-v10j0</i>.</p>  |
| <p><b>p-Max</b><br/>Value applicable for the neighbouring E-UTRA cells on this carrier frequency. If absent the UE applies the maximum power according to its capability as specified in TS 36.101 [42], clause 6.2.2.</p>  |
| <p><b>q-OffsetCell</b><br/>Parameter "Qoffset<sub>s,n</sub>" in TS 36.304 [4].</p>  |
| <p><b>q-OffsetFreq</b><br/>Parameter "Qoffset<sub>frequency</sub>" in TS 36.304 [4].</p>  |
| <p><b>q-QualMin</b><br/>Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of negative infinity for Q<sub>qualmin</sub>. NOTE 1.</p>   |
| <p><b>q-QualMinRSRQ-OnAllSymbols</b><br/>If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols in accordance with TS 36.214 [48]. NOTE 1.</p>   |
| <p><b>q-QualMinWB</b><br/>If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, use a wider bandwidth in accordance with TS 36.133 [16]. NOTE 1.</p>   |
| <p><b>redistributionFactorFreq</b><br/>Parameter <i>redistributionFactorFreq</i> in TS 36.304 [4].</p>  |

| <b>SystemInformationBlockType5 field descriptions</b>  |
|--|
| <b>redistributionFactorCell</b><br>Parameter <i>redistributionFactorCell</i> in TS 36.304 [4].   |
| <b>reducedMeasPerformance</b><br>Value <i>TRUE</i> indicates that the neighbouring inter-frequency is configured for reduced measurement performance, see TS 36.133 [16]. If the field is not included, the neighbouring inter-frequency is configured for normal measurement performance, see TS 36.133 [16]. |
| <b>sctm-FreqOffset</b><br>Parameter <i>QoffsetSCPTM</i> in TS 36.304 [4]. Actual value $Qoffset_{SCPTM} = \text{field value} * 2$ [dB]. If the field is not present, the UE uses infinite dBs for the SC-PTM frequency offset with cell ranking as specified in TS 36.304 [4].                                 |
| <b>threshX-High</b><br>Parameter " <i>Thresh<sub>X, HighP</sub></i> " in TS 36.304 [4].  |
| <b>threshX-HighQ</b><br>Parameter " <i>Thresh<sub>X, HighQ</sub></i> " in TS 36.304 [4].   |
| <b>threshX-Low</b><br>Parameter " <i>Thresh<sub>X, LowP</sub></i> " in TS 36.304 [4].  |
| <b>threshX-LowQ</b><br>Parameter " <i>Thresh<sub>X, LowQ</sub></i> " in TS 36.304 [4].   |
| <b>t-ReselectionEUTRA</b><br>Parameter " <i>TreselectionEUTRA</i> " in TS 36.304 [4].  |
| <b>t-ReselectionEUTRA-SF</b><br>Parameter " <i>Speed dependent ScalingFactor for TreselectionEUTRA</i> " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].  |

NOTE 1: The value the UE applies for parameter "*Q<sub>qualmin</sub>*" in TS 36.304 [4] depends on the *q-QualMin* fields signalled by E-UTRAN and supported by the UE. In case multiple candidate options are available, the UE shall select the highest priority candidate option according to the priority order indicated by the following table (top row is highest priority).

| <b>q-QualMinRSRQ-OnAllSymbols</b> | <b>q-QualMinWB</b> | <b>Value of parameter "<i>Q<sub>qualmin</sub></i>" in TS 36.304 [4]</b>       |
|-----------------------------------|--------------------|---|
| Included                          | Included           | $q\text{-QualMinRSRQ-OnAllSymbols} - (q\text{-QualMin} - q\text{-QualMinWB})$ |
| Included                          | Not included       | $q\text{-QualMinRSRQ-OnAllSymbols}$   |
| Not included                      | Included           | $q\text{-QualMinWB}$  |
| Not included                      | Not included       | $q\text{-QualMin}$  |

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>dl-FreqMax</i>           | The field is mandatory present if, for the corresponding entry in <i>InterFreqCarrierFreqList</i> (i.e. without suffix), <i>dl-CarrierFreq</i> (i.e. without suffix) is set to <i>maxEARFCN</i> . Otherwise the field is not present. |
| <i>QrxlevminCE1</i>         | The field is optionally present, Need OR, if <i>q-RxLevMinCE1-r13</i> is set below -140 dBm. Otherwise the field is not present.  |
| <i>RSRQ</i>                 | The field is mandatory present if <i>threshServingLowQ</i> is present in <i>systemInformationBlockType3</i> ; otherwise it is not present.  |
| <i>RSRQ2</i>                | The field is mandatory present for all EUTRA carriers listed in SIB5 if <i>q-QualMinRSRQ-OnAllSymbols</i> is present in SIB3; otherwise it is not present and the UE shall delete any existing value for this field.                  |
| <i>WB-RSRQ</i>              | The field is optionally present, need OP if the measurement bandwidth indicated by <i>allowedMeasBandwidth</i> is 50 resource blocks or larger; otherwise it is not present.  |

## SystemInformationBlockType6

The IE *SystemInformationBlockType6* contains information relevant only for inter-RAT cell re-selection i.e. information about UTRA frequencies and UTRA neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency.

### SystemInformationBlockType6 information element

```
-- ASN1START
SystemInformationBlockType6 ::= SEQUENCE {
    carrierFreqListUTRA-FDD      CarrierFreqListUTRA-FDD      OPTIONAL,      -- Need OR
    carrierFreqListUTRA-TDD      CarrierFreqListUTRA-TDD      OPTIONAL,      -- Need OR
    t-ReselectionUTRA            T-Reselection,
```



```

t-ReselectionUTRA-SF          SpeedStateScaleFactors          OPTIONAL,          -- Need OP
...,
lateNonCriticalExtension      OCTET STRING          (CONTAINING SystemInformationBlockType6-
v8h0-IEs)                    OPTIONAL,
[[ carrierFreqListUTRA-FDD-v1250 SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF
CarrierFreqInfoUTRA-v1250     OPTIONAL,          -- Cond UTRA-FDD
carrierFreqListUTRA-TDD-v1250 SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF
CarrierFreqInfoUTRA-v1250     OPTIONAL,          -- Cond UTRA-TDD
carrierFreqListUTRA-FDD-Ext-r12 CarrierFreqListUTRA-FDD-Ext-r12 OPTIONAL,          -- Cond UTRA-FDD
carrierFreqListUTRA-TDD-Ext-r12 CarrierFreqListUTRA-TDD-Ext-r12 OPTIONAL          -- Cond
UTRA-TDD
]]
}

SystemInformationBlockType6-v8h0-IEs ::= SEQUENCE {
carrierFreqListUTRA-FDD-v8h0 SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF CarrierFreqInfoUTRA-
FDD-v8h0 OPTIONAL,          -- Cond UTRA-FDD
nonCriticalExtension          SEQUENCE {}          OPTIONAL
}

CarrierFreqInfoUTRA-v1250 ::= SEQUENCE {
reducedMeasPerformance-r12    ENUMERATED {true}          OPTIONAL          -- Need OP
}

CarrierFreqListUTRA-FDD ::= SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF CarrierFreqUTRA-FDD

CarrierFreqUTRA-FDD ::= SEQUENCE {
carrierFreq                    ARFCN-ValueUTRA,
cellReselectionPriority        CellReselectionPriority          OPTIONAL,          -- Need OP
threshX-High                   ReselectionThreshold,
threshX-Low                    ReselectionThreshold,
q-RxLevMin                    INTEGER (-60..-13),
p-MaxUTRA                     INTEGER (-50..33),
q-QualMin                     INTEGER (-24..0),
...,
[[ threshX-Q-r9                SEQUENCE {
threshX-HighQ-r9              ReselectionThresholdQ-r9,
threshX-LowQ-r9              ReselectionThresholdQ-r9
}
]]
}

CarrierFreqInfoUTRA-FDD-v8h0 ::= SEQUENCE {
multiBandInfoList             SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator-
UTRA-FDD                      OPTIONAL          -- Need OR
}

CarrierFreqListUTRA-FDD-Ext-r12 ::= SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF
CarrierFreqUTRA-FDD-Ext-r12

CarrierFreqUTRA-FDD-Ext-r12 ::= SEQUENCE {
carrierFreq-r12               ARFCN-ValueUTRA,
cellReselectionPriority-r12    CellReselectionPriority          OPTIONAL,          -- Need OP
threshX-High-r12              ReselectionThreshold,
threshX-Low-r12               ReselectionThreshold,
q-RxLevMin-r12               INTEGER (-60..-13),
p-MaxUTRA-r12                INTEGER (-50..33),
q-QualMin-r12                INTEGER (-24..0),
threshX-Q-r12                SEQUENCE {
threshX-HighQ-r12            ReselectionThresholdQ-r9,
threshX-LowQ-r12            ReselectionThresholdQ-r9
}
}
OPTIONAL,          -- Cond RSRQ
multiBandInfoList-r12        SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator-
UTRA-FDD                      OPTIONAL,          -- Need OR
reducedMeasPerformance-r12    ENUMERATED {true}          OPTIONAL,          -- Need OP
...
}

CarrierFreqListUTRA-TDD ::= SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF CarrierFreqUTRA-TDD

CarrierFreqUTRA-TDD ::= SEQUENCE {
carrierFreq                    ARFCN-ValueUTRA,
cellReselectionPriority        CellReselectionPriority          OPTIONAL,          -- Need OP
threshX-High                   ReselectionThreshold,
threshX-Low                    ReselectionThreshold,
q-RxLevMin                    INTEGER (-60..-13),
p-MaxUTRA                     INTEGER (-50..33),
...
}

```

```

}
CarrierFreqListUTRA-TDD-Ext-r12 ::= SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF
    CarrierFreqUTRA-TDD-r12
CarrierFreqUTRA-TDD-r12 ::= SEQUENCE {
    carrierFreq-r12                ARFCN-ValueUTRA,
    cellReselectionPriority-r12    CellReselectionPriority        OPTIONAL,    -- Need OP
    threshX-High-r12              ReselectionThreshold,
    threshX-Low-r12              ReselectionThreshold,
    q-RxLevMin-r12               INTEGER (-60..-13),
    p-MaxUTRA-r12                INTEGER (-50..33),
    reducedMeasPerformance-r12   ENUMERATED {true}                OPTIONAL,    -- Need OP
    ...
}
FreqBandIndicator-UTRA-FDD ::=
    INTEGER (1..86)
-- ASN1STOP

```

### SystemInformationBlockType6 field descriptions

|                                    |   |
|------------------------------------|---|
| <b>carrierFreqListUTRA-FDD</b>     | List of carrier frequencies of UTRA FDD. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the ARFCN used to indicate this. If E-UTRAN includes <i>carrierFreqListUTRA-FDD-v8h0</i> and/or <i>carrierFreqListUTRA-FDD-v1250</i> , it includes the same number of entries, and listed in the same order, as in <i>carrierFreqListUTRA-FDD</i> (i.e. without suffix). See Annex D for more descriptions. |
| <b>carrierFreqListUTRA-FDD-Ext</b> | List of additional carrier frequencies of UTRA FDD. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the ARFCN used to indicate this. EUTRAN may include <i>carrierFreqListUTRA-FDD-Ext</i> even if <i>carrierFreqListUTRA-FDD</i> (i.e without suffix) does not include <i>maxUTRA-FDD-Carrier</i> entries.  |
| <b>carrierFreqListUTRA-TDD</b>     | List of carrier frequencies of UTRA TDD. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the ARFCN used to indicate this. If E-UTRAN includes <i>carrierFreqListUTRA-TDD-v1250</i> , it includes the same number of entries, and listed in the same order, as in <i>carrierFreqListUTRA-TDD</i> (i.e. without suffix).   |
| <b>carrierFreqListUTRA-TDD-Ext</b> | List of additional carrier frequencies of UTRA TDD. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the ARFCN used to indicate this. EUTRAN may include <i>carrierFreqListUTRA-TDD-Ext</i> even if <i>carrierFreqListUTRA-TDD</i> (i.e without suffix) does not include <i>maxUTRA-TDD-Carrier</i> entries.  |
| <b>multiBandInfoList</b>           | Indicates the list of frequency bands in addition to the band represented by carrierFreq in the <i>CarrierFreqUTRA-FDD</i> for which UTRA cell reselection parameters are common.   |
| <b>p-MaxUTRA</b>                   | The maximum allowed transmission power on the (uplink) carrier frequency, see TS 25.304 [40]. In dBm  |
| <b>q-QualMin</b>                   | Parameter "Q <sub>qualmin</sub> " in TS 25.304 [40]. Actual value = field value [dB].   |
| <b>q-RxLevMin</b>                  | Parameter "Q <sub>rxlevmin</sub> " in TS 25.304 [40]. Actual value = field value * 2+1 [dBm].   |
| <b>reducedMeasPerformance</b>      | Value <i>TRUE</i> indicates that the UTRA carrier frequency is configured for reduced measurement performance, see TS 36.133 [16]. If the field is not included, the UTRA carrier frequency is configured for normal measurement performance, see TS 36.133 [16].   |
| <b>t-ReselectionUTRA</b>           | Parameter "T <sub>reselctionUTRAN</sub> " in TS 36.304 [4].   |
| <b>t-ReselectionUTRA-SF</b>        | Parameter "Speed dependent ScalingFactor for T <sub>reselctionUTRA</sub> " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].   |
| <b>threshX-High</b>                | Parameter "Thresh <sub>X, HighP</sub> " in TS 36.304 [4].   |
| <b>threshX-HighQ</b>               | Parameter "Thresh <sub>X, HighQ</sub> " in TS 36.304 [4].   |
| <b>threshX-Low</b>                 | Parameter "Thresh <sub>X, LowP</sub> " in TS 36.304 [4].  |
| <b>threshX-LowQ</b>                | Parameter "Thresh <sub>X, LowQ</sub> " in TS 36.304 [4].  |

| Conditional presence | Explanation  |
|----------------------|--|
| RSRQ                 | The field is mandatory present if the <i>threshServingLowQ</i> is present in <i>systemInformationBlockType3</i> ; otherwise it is not present. |
| UTRA-FDD             | The field is optionally present, need OR, if the <i>carrierFreqListUTRA-FDD</i> is present. Otherwise it is not present.                       |
| UTRA-TDD             | The field is optionally present, need OR, if the <i>carrierFreqListUTRA-TDD</i> is present. Otherwise it is not present.                       |

## – SystemInformationBlockType7

The IE *SystemInformationBlockType7* contains information relevant only for inter-RAT cell re-selection i.e. information about GERAN frequencies relevant for cell re-selection. The IE includes cell re-selection parameters for each frequency.

### SystemInformationBlockType7 information element

```
-- ASN1START
SystemInformationBlockType7 ::= SEQUENCE {
    t-ReselectionGERAN          T-Reselection,
    t-ReselectionGERAN-SF      SpeedStateScaleFactors          OPTIONAL, -- Need OR
    carrierFreqsInfoList       CarrierFreqsInfoListGERAN          OPTIONAL, -- Need OR
    ...,
    lateNonCriticalExtension    OCTET STRING                      OPTIONAL
}
CarrierFreqsInfoListGERAN ::= SEQUENCE (SIZE (1..maxGNFG)) OF CarrierFreqsInfoGERAN
CarrierFreqsInfoGERAN ::= SEQUENCE {
    carrierFreqs                CarrierFreqsGERAN,
    commonInfo                   SEQUENCE {
        cellReselectionPriority  CellReselectionPriority          OPTIONAL, -- Need OP
        ncc-Permitted            BIT STRING (SIZE (8)),
        q-RxLevMin               INTEGER (0..45),
        p-MaxGERAN               INTEGER (0..39)                  OPTIONAL, -- Need OP
        threshX-High             ReselectionThreshold,
        threshX-Low              ReselectionThreshold
    },
    ...
}
-- ASN1STOP
```

| <b>SystemInformationBlockType7 field descriptions</b> |  |
|---|--|
| <b>carrierFreqs</b>                                   | The list of GERAN carrier frequencies organised into one group of GERAN carrier frequencies.   |
| <b>carrierFreqsInfoList</b>                           | Provides a list of neighbouring GERAN carrier frequencies, which may be monitored for neighbouring GERAN cells. The GERAN carrier frequencies are organised in groups and the cell reselection parameters are provided per group of GERAN carrier frequencies.                 |
| <b>commonInfo</b>                                     | Defines the set of cell reselection parameters for the group of GERAN carrier frequencies.   |
| <b>ncc-Permitted</b>                                  | Field encoded as a bit map, where bit N is set to "0" if a BCCH carrier with NCC = N-1 is not permitted for monitoring and set to "1" if the BCCH carrier with NCC = N-1 is permitted for monitoring; N = 1 to 8; bit 1 of the bitmap is the leading bit of the bit string.    |
| <b>p-MaxGERAN</b>                                     | Maximum allowed transmission power for GERAN on an uplink carrier frequency, see TS 45.008 [28]. Value in dBm. Applicable for the neighbouring GERAN cells on this carrier frequency. If <i>pmaxGERAN</i> is absent, the maximum power according to the UE capability is used. |
| <b>q-RxLevMin</b>                                     | Parameter " $Q_{rxlevmin}$ " in TS 36.304 [4], minimum required RX level in the GSM cell. The actual value of $Q_{rxlevmin}$ in dBm = (field value * 2) – 115.   |
| <b>threshX-High</b>                                   | Parameter " $Thresh_{X, HighP}$ " in TS 36.304 [4].  |
| <b>threshX-Low</b>                                    | Parameter " $Thresh_{X, LowP}$ " in TS 36.304 [4].   |
| <b>t-ReselectionGERAN</b>                             | Parameter " $Treselection_{GERAN}$ " in TS 36.304 [4].   |
| <b>t-ReselectionGERAN-SF</b>                          | Parameter "Speed dependent ScalingFactor for $Treselection_{GERAN}$ " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].   |

## SystemInformationBlockType8

The IE *SystemInformationBlockType8* contains information relevant only for inter-RAT cell re-selection i.e. information about CDMA2000 frequencies and CDMA2000 neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

### SystemInformationBlockType8 information element

```
-- ASN1START

SystemInformationBlockType8 ::= SEQUENCE {
    systemTimeInfo          SystemTimeInfoCDMA2000          OPTIONAL, -- Need OR
    searchWindowSize        INTEGER (0..15)                OPTIONAL, -- Need OR
    parametersHRPD          SEQUENCE {
        preRegistrationInfoHRPD      PreRegistrationInfoHRPD,
        cellReselectionParametersHRPD CellReselectionParametersCDMA2000 OPTIONAL -- Need OR
    }
    parameters1XRTT        SEQUENCE {
        csfb-RegistrationParam1XRTT  CSFB-RegistrationParam1XRTT  OPTIONAL, -- Need OP
        longCodeState1XRTT          BIT STRING (SIZE (42))          OPTIONAL, -- Need OR
        cellReselectionParameters1XRTT CellReselectionParametersCDMA2000 OPTIONAL -- Need OR
    }
    ...,
    lateNonCriticalExtension OCTET STRING                  OPTIONAL,
    [[ csfb-SupportForDualRxUEs-r9   BOOLEAN              OPTIONAL, -- Need OR
       cellReselectionParametersHRPD-v920 CellReselectionParametersCDMA2000-v920 OPTIONAL, --
Cond NCL-HRPD
    cellReselectionParameters1XRTT-v920 CellReselectionParametersCDMA2000-v920 OPTIONAL, --
Cond NCL-1XRTT
    csfb-RegistrationParam1XRTT-v920   CSFB-RegistrationParam1XRTT-v920   OPTIONAL, --
Cond REG-1XRTT
    ac-BarringConfig1XRTT-r9           AC-BarringConfig1XRTT-r9     OPTIONAL -- Cond REG-
1XRTT
    ]],
    [[ csfb-DualRxTxSupport-r10       ENUMERATED {true}          OPTIONAL -- Cond REG-
1XRTT
    ]],
    [[ sib8-PerPLMN-List-r11          SIB8-PerPLMN-List-r11     OPTIONAL -- Need OR
    ]]]
}
```

```

CellReselectionParametersCDMA2000 ::= SEQUENCE {
    bandClassList          BandClassListCDMA2000,
    neighCellList          NeighCellListCDMA2000,
    t-ReselectionCDMA2000 T-Reselection,
    t-ReselectionCDMA2000-SF SpeedStateScaleFactors          OPTIONAL -- Need OP
}

CellReselectionParametersCDMA2000-r11 ::= SEQUENCE {
    bandClassList          BandClassListCDMA2000,
    neighCellList-r11      SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000-r11,
    t-ReselectionCDMA2000 T-Reselection,
    t-ReselectionCDMA2000-SF SpeedStateScaleFactors          OPTIONAL -- Need OP
}

CellReselectionParametersCDMA2000-v920 ::= SEQUENCE {
    neighCellList-v920      NeighCellListCDMA2000-v920
}

NeighCellListCDMA2000 ::= SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000

NeighCellCDMA2000 ::= SEQUENCE {
    bandClass          BandclassCDMA2000,
    neighCellsPerFreqList NeighCellsPerBandclassListCDMA2000
}

NeighCellCDMA2000-r11 ::= SEQUENCE {
    bandClass          BandclassCDMA2000,
    neighFreqInfoList-r11 SEQUENCE (SIZE (1..16)) OF NeighCellsPerBandclassCDMA2000-r11
}

NeighCellsPerBandclassListCDMA2000 ::= SEQUENCE (SIZE (1..16)) OF NeighCellsPerBandclassCDMA2000

NeighCellsPerBandclassCDMA2000 ::= SEQUENCE {
    arfcn          ARFCN-ValueCDMA2000,
    physCellIdList PhysCellIdListCDMA2000
}

NeighCellsPerBandclassCDMA2000-r11 ::= SEQUENCE {
    arfcn          ARFCN-ValueCDMA2000,
    physCellIdList-r11 SEQUENCE (SIZE (1..40)) OF PhysCellIdCDMA2000
}

NeighCellListCDMA2000-v920 ::= SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000-v920

NeighCellCDMA2000-v920 ::= SEQUENCE {
    neighCellsPerFreqList-v920 NeighCellsPerBandclassListCDMA2000-v920
}

NeighCellsPerBandclassListCDMA2000-v920 ::= SEQUENCE (SIZE (1..16)) OF NeighCellsPerBandclassCDMA2000-v920

NeighCellsPerBandclassCDMA2000-v920 ::= SEQUENCE {
    physCellIdList-v920      PhysCellIdListCDMA2000-v920
}

PhysCellIdListCDMA2000 ::= SEQUENCE (SIZE (1..16)) OF PhysCellIdCDMA2000

PhysCellIdListCDMA2000-v920 ::= SEQUENCE (SIZE (0..24)) OF PhysCellIdCDMA2000

BandClassListCDMA2000 ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassInfoCDMA2000

BandClassInfoCDMA2000 ::= SEQUENCE {
    bandClass          BandclassCDMA2000,
    cellReselectionPriority CellReselectionPriority          OPTIONAL, -- Need OP
    threshX-High      INTEGER (0..63),
    threshX-Low      INTEGER (0..63),
    ...
}

AC-BarringConfig1XRTT-r9 ::= SEQUENCE {
    ac-Barring0to9-r9      INTEGER (0..63),
    ac-Barring10-r9      INTEGER (0..7),
    ac-Barring11-r9      INTEGER (0..7),
    ac-Barring12-r9      INTEGER (0..7),
    ac-Barring13-r9      INTEGER (0..7),
    ac-Barring14-r9      INTEGER (0..7),
}

```

```

ac-BarringI5-r9          INTEGER (0..7),
ac-BarringMsg-r9        INTEGER (0..7),
ac-BarringReg-r9        INTEGER (0..7),
ac-BarringEmg-r9        INTEGER (0..7)
}

SIB8-PerPLMN-List-r11 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF SIB8-PerPLMN-r11

SIB8-PerPLMN-r11 ::= SEQUENCE {
  plmn-Identity-r11      INTEGER (1..maxPLMN-r11),
  parametersCDMA2000-r11 CHOICE {
    explicitValue        ParametersCDMA2000-r11,
    defaultValue         NULL
  }
}

ParametersCDMA2000-r11 ::= SEQUENCE {
  systemTimeInfo-r11    CHOICE {
    explicitValue        SystemTimeInfoCDMA2000,
    defaultValue         NULL
  } OPTIONAL, -- Need OR
  searchWindowSize-r11 INTEGER (0..15),
  parametersHRPD-r11    SEQUENCE {
    preRegistrationInfoHRPD-r11 PreRegistrationInfoHRPD,
    cellReselectionParametersHRPD-r11 CellReselectionParametersCDMA2000-r11 OPTIONAL -- Need
OR
  } OPTIONAL, -- Need OR
  parameterslXRTT-r11  SEQUENCE {
    csfb-RegistrationParamlXRTT-r11 CSFB-RegistrationParamlXRTT OPTIONAL, -- Need OP
    csfb-RegistrationParamlXRTT-Ext-r11 CSFB-RegistrationParamlXRTT-v920 OPTIONAL, -- Cond
REG-lXRTT-PerPLMN
  longCodeState1XRTT-r11 BIT STRING (SIZE (42)) OPTIONAL, -- Cond PerPLMN-LC
  cellReselectionParameters1XRTT-r11 CellReselectionParametersCDMA2000-r11 OPTIONAL, --
Need OR
  ac-BarringConfig1XRTT-r11 AC-BarringConfig1XRTT-r9 OPTIONAL, -- Cond
REG-lXRTT-PerPLMN
  csfb-SupportForDualRxUEs-r11 BOOLEAN OPTIONAL, -- Need OR
  csfb-DualRxTxSupport-r11 ENUMERATED {true} OPTIONAL -- Cond REG-lXRTT-
PerPLMN
  } OPTIONAL, -- Need OR
  ...
}
-- ASN1STOP

```

### SystemInformationBlockType8 field descriptions

#### **ac-BarringConfig1XRTT**

Contains the access class barring parameters the UE uses to calculate the access class barring factor, see C.S0097 [53].

#### **ac-Barring0to9**

Parameter used for calculating the access class barring factor for access overload classes 0 through 9. It is the parameter "PSIST" in C.S0004 [34] for access overload classes 0 through 9.

#### **ac-BarringEmg**

Parameter used for calculating the access class barring factor for emergency calls and emergency message transmissions for access overload classes 0 through 9. It is the parameter "PSIST\_EMG" in C.S0004 [34].

#### **ac-BarringMsg**

Parameter used for modifying the access class barring factor for message transmissions. It is the parameter "MSG\_PSIST" in C.S0004 [34].

#### **ac-BarringN**

Parameter used for calculating the access class barring factor for access overload class N (N = 10 to 15). It is the parameter "PSIST" in C.S0004 [34] for access overload class N.

#### **ac-BarringReg**

Parameter used for modifying the access class barring factor for autonomous registrations. It is the parameter "REG\_PSIST" in C.S0004 [34].

#### **bandClass**

Identifies the Frequency Band in which the Carrier can be found. Details can be found in C.S0057 [24, Table 1.5].

#### **bandClassList**

List of CDMA2000 frequency bands.

| <b>SystemInformationBlockType8 field descriptions</b>   |
|---|
| <p><b>cellReselectionParameters1XRTT</b><br/>Cell reselection parameters applicable only to CDMA2000 1xRTT system.</p>  |
| <p><b>cellReselectionParameters1XRTT-Ext</b><br/>Cell reselection parameters applicable for cell reselection to CDMA2000 1XRTT system.</p>  |
| <p><b>cellReselectionParameters1XRTT-v920</b><br/>Cell reselection parameters applicable for cell reselection to CDMA2000 1XRTT system. The field is not present if <i>cellReselectionParameters1XRTT</i> is not present; otherwise it is optionally present.</p>   |
| <p><b>cellReselectionParametersHRPD</b><br/>Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system</p>   |
| <p><b>cellReselectionParametersHRPD-Ext</b><br/>Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system.</p>  |
| <p><b>cellReselectionParametersHRPD-v920</b><br/>Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system. The field is not present if <i>cellReselectionParametersHRPD</i> is not present; otherwise it is optionally present.</p>  |
| <p><b>csfb-DualRxTxSupport</b><br/>Value TRUE indicates that the network supports dual Rx/Tx enhanced 1xCSFB, which enables UEs capable of dual Rx/Tx enhanced 1xCSFB to switch off their 1xRTT receiver/transmitter while camped in E-UTRAN [51].</p>  |
| <p><b>csfb-RegistrationParam1XRTT</b><br/>Contains the parameters the UE will use to determine if it should perform a CDMA2000 1xRTT Registration/Re-Registration. This field is included if either CSFB or enhanced CS fallback to CDMA2000 1xRTT is supported.</p>  |
| <p><b>csfb-SupportForDualRxUEs</b><br/>Value TRUE indicates that the network supports dual Rx CSFB [51].</p>  |
| <p><b>longCodeState1XRTT</b><br/>The state of long code generation registers in CDMA2000 1XRTT system as defined in C.S0002 [12], clause 1.3, at <math>\lceil t/10 \rceil \times 10 + 320</math> ms, where <math>t</math> equals to the <i>cdma-SystemTime</i>. This field is required for reporting CGI for 1xRTT, SRVCC handover and enhanced CS fallback to CDMA2000 1xRTT operation. Otherwise this IE is not needed. This field is excluded when estimating changes in system information, i.e. changes of <i>longCodeState1XRTT</i> should neither result in system information change notifications nor in a modification of <i>systemInfoValue Tag</i> in SIB1.</p> |
| <p><b>neighCellList</b><br/>List of CDMA2000 neighbouring cells. The total number of neighbouring cells in <i>neighCellList</i> for each RAT (1XRTT or HRPD) is limited to 32.</p>  |
| <p><b>neighCellList-v920</b><br/>Extended List of CDMA2000 neighbouring cells. The combined total number of CDMA2000 neighbouring cells in both <i>neighCellList</i> and <i>neighCellList-v920</i> is limited to 32 for HRPD and 40 for 1xRTT.</p>  |
| <p><b>neighCellsPerFreqList</b><br/>List of carrier frequencies and neighbour cell ids in each frequency within a CDMA2000 Band, see C.S0002 [12] or C.S0024 [26].</p>  |
| <p><b>neighCellsPerFreqList-v920</b><br/>Extended list of neighbour cell ids, in the same CDMA2000 Frequency Band as the corresponding instance in "NeighCellListCDMA2000".</p>   |
| <p><b>parameters1XRTT</b><br/>Parameters applicable for interworking with CDMA2000 1XRTT system.</p>  |
| <p><b>parametersCDMA2000</b><br/>Provides the corresponding SIB8 parameters for the CDMA2000 network associated with the PLMN indicated in <i>plmn-Identity</i>. A choice is used to indicate whether for this PLMN the parameters are signalled explicitly or set to the (default) values common for all PLMNs i.e. the values not included in <i>sib8-PerPLMN-List</i>.</p>   |
| <p><b>parametersHRPD</b><br/>Parameters applicable only for interworking with CDMA2000 HRPD systems.</p>  |
| <p><b>physCellIdList</b><br/>Identifies the list of CDMA2000 cell ids, see C.S0002 [12] or C.S0024 [26].</p>  |
| <p><b>physCellIdList-v920</b><br/>Extended list of CDMA2000 cell ids, in the same CDMA2000 ARFCN as the corresponding instance in "NeighCellsPerBandclassCDMA2000".</p>   |

| <b>SystemInformationBlockType8 field descriptions</b>  |
|--|
| <p><b>plmn-Identity</b><br/>Indicates the PLMN associated with this CDMA2000 network. Value 1 indicates the PLMN listed 1st in the 1st <i>plmn-IdentityList</i> included in SIB1, value 2 indicates the PLMN listed 2nd in the same <i>plmn-IdentityList</i>, or when no more PLMN are present within the same <i>plmn_identityList</i>, then the PLMN listed 1st in the subsequent <i>plmn-IdentityList</i> within the same SIB1 and so on. A PLMN which identity is not indicated in the <i>sib8-PerPLMN-List</i>, does not support inter-working with CDMA2000.</p>   |
| <p><b>preRegistrationInfoHRPD</b><br/>The CDMA2000 HRPD Pre-Registration Information tells the UE if it should pre-register with the CDMA2000 HRPD network and identifies the Pre-registration zone to the UE.</p>   |
| <p><b>searchWindowSize</b><br/>The search window size is a CDMA2000 parameter to be used to assist in searching for the neighbouring pilots. For values see C.S0005 [25], Table 2.6.6.2.1-1, and C.S0024 [26], Table 8.7.6.2-4. This field is required for a UE with <i>rx-ConfigHRPD= single</i> and/ or <i>rx-Config1XRTT= single</i> to perform handover, cell re-selection, UE measurement based redirection and enhanced 1xRTT CS fallback from E-UTRAN to CDMA2000 according to this specification and TS 36.304 [4].</p>  |
| <p><b>sib8-PerPLMN-List</b><br/>This field provides the values for the interworking CDMA2000 networks corresponding, if any, to the UE's RPLMN.</p>  |
| <p><b>systemTimeInfo</b><br/>Information on CDMA2000 system time. This field is required for a UE with <i>rx-ConfigHRPD= single</i> and/ or <i>rx-Config1XRTT= single</i> to perform handover, cell re-selection, UE measurement based redirection and enhanced 1xRTT CS fallback from E-UTRAN to CDMA2000 according to this specification and TS 36.304 [4]. This field is excluded when estimating changes in system information, i.e. changes of <i>systemTimeInfo</i> should neither result in system information change notifications nor in a modification of <i>systemInfoValueTag</i> in SIB1.<br/>For the field included in <i>ParametersCDMA2000</i>, a choice is used to indicate whether for this PLMN the parameters are signalled explicitly or set to the (default) value common for all PLMNs i.e. the value not included in <i>sib8-PerPLMN-List</i>.</p> |
| <p><b>threshX-High</b><br/>Parameter "Thresh<sub>X, HighP</sub>" in TS 36.304 [4]. This specifies the high threshold used in reselection towards this CDMA2000 band class expressed as an unsigned binary number equal to FLOOR (-2 x 10 x log<sub>10</sub> E<sub>c</sub>/I<sub>0</sub>) in units of 0.5 dB, as defined in C.S0005 [25].</p>   |
| <p><b>threshX-Low</b><br/>Parameter "Thresh<sub>X, LowP</sub>" in TS 36.304 [4]. This specifies the low threshold used in reselection towards this CDMA2000 band class expressed as an unsigned binary number equal to FLOOR (-2 x 10 x log<sub>10</sub> E<sub>c</sub>/I<sub>0</sub>) in units of 0.5 dB, as defined in C.S0005 [25].</p>  |
| <p><b>t-ReselectionCDMA2000</b><br/>Parameter "Treselction<sub>CDMA_HRPD</sub>" or "Treselction<sub>CDMA_1XRTT</sub>" in TS 36.304 [4].</p>  |
| <p><b>t-ReselectionCDMA2000-SF</b><br/>Parameter "Speed dependent ScalingFactor for Treselction<sub>CDMA-HRPD</sub>" or Treselction<sub>CDMA-1XRTT</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].</p>   |

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>NCL-1XRTT</i>            | The field is optional present, need OR, if <i>cellReselectionParameters1xRTT</i> is present; otherwise it is not present.   |
| <i>NCL-HRPD</i>             | The field is optional present, need OR, if <i>cellReselectionParametersHRPD</i> is present; otherwise it is not present.  |
| <i>PerPLMN-LC</i>           | The field is optional present, need OR, when <i>systemTimeInfo</i> is included in <i>SIB8PerPLMN</i> for this CDMA2000 network; otherwise it is not present.            |
| <i>REG-1XRTT</i>            | The field is optional present, need OR, if <i>csfb-RegistrationParam1XRTT</i> is present; otherwise it is not present.  |
| <i>REG-1XRTT-PerPLMN</i>    | The field is optional present, need OR, if <i>csfb-RegistrationParam1XRTT</i> is included in <i>SIB8PerPLMN</i> for this CDMA2000 network; otherwise it is not present. |

## SystemInformationBlockType9

The IE *SystemInformationBlockType9* contains a home eNB name (HNB Name).

### SystemInformationBlockType9 information element

```
-- ASN1START
SystemInformationBlockType9 ::= SEQUENCE {
    hnb-Name          OCTET STRING (SIZE(1..48))    OPTIONAL,  -- Need OR
    ...
}
```



```

    lateNonCriticalExtension          OCTET STRING          OPTIONAL
  }
-- ASN1STOP

```

#### **SystemInformationBlockType9 field descriptions**

##### **hnb-Name**

Carries the name of the home eNB, coded in UTF-8 with variable number of bytes per character, see TS 22.011 [10].

## – SystemInformationBlockType10

The IE *SystemInformationBlockType10* contains an ETWS primary notification.

#### **SystemInformationBlockType10 information element**

```

-- ASN1START
SystemInformationBlockType10 ::= SEQUENCE {
    messageIdentifier          BIT STRING (SIZE (16)),
    serialNumber              BIT STRING (SIZE (16)),
    warningType               OCTET STRING (SIZE (2)),
    dummy                    OCTET STRING (SIZE (50))    OPTIONAL,    -- Need OP
    ...,
    lateNonCriticalExtension  OCTET STRING              OPTIONAL
}
-- ASN1STOP

```

#### **SystemInformationBlockType10 field descriptions**

##### **messageIdentifier**

Identifies the source and type of ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.44) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.1, while the trailing bit contains bit 0 of the second octet of the same equivalent IE.

##### **serialNumber**

Identifies variations of an ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.45), contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.2, while the trailing bit contains bit 0 of the second octet of the same equivalent IE.

##### **dummy**

This field is not used in the specification. If received it shall be ignored by the UE.

##### **warningType**

Identifies the warning type of the ETWS primary notification and provides information on emergency user alert and UE popup. The first octet (which is equivalent to the first octet of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.50) contains the first octet of the equivalent IE defined in and encoded according to TS 23.041 [37], clause 9.3.24, and so on.

## – SystemInformationBlockType11

The IE *SystemInformationBlockType11* contains an ETWS secondary notification.

#### **SystemInformationBlockType11 information element**

```

-- ASN1START
SystemInformationBlockType11 ::= SEQUENCE {
    messageIdentifier          BIT STRING (SIZE (16)),
    serialNumber              BIT STRING (SIZE (16)),
    warningMessageSegmentType ENUMERATED {notLastSegment, lastSegment},
    warningMessageSegmentNumber INTEGER (0..63),
    warningMessageSegment     OCTET STRING,
    dataCodingScheme          OCTET STRING (SIZE (1))    OPTIONAL,    -- Cond Segment1
    ...,
    lateNonCriticalExtension  OCTET STRING              OPTIONAL
}

```

```
}
-- ASN1STOP
```

#### SystemInformationBlockType11 field descriptions

##### **dataCodingScheme**

Identifies the alphabet/coding and the language applied variations of an ETWS notification. The octet (which is equivalent to the octet of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.52), contains the octet of the equivalent IE defined in TS 23.041 [37], clause 9.4.3.2.3, and encoded according to TS 23.038 [38].

##### **messageIdentifier**

Identifies the source and type of ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.44), contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.1, while the trailing bit contains bit 0 of second octet of the same equivalent IE.

##### **serialNumber**

Identifies variations of an ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.45) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.2, while the trailing bit contains bit 0 of second octet of the same equivalent IE.

##### **warningMessageSegment**

Carries a segment of the *Warning Message Contents* IE defined in TS 36.413 [39], clause 9.2.1.53. The first octet of the *Warning Message Contents* IE is equivalent to the first octet of the *CB data* IE defined in and encoded according to TS 23.041 [37], clause 9.4.2.2.5, and so on.

##### **warningMessageSegmentNumber**

Segment number of the ETWS warning message segment contained in the SIB. A segment number of zero corresponds to the first segment, one corresponds to the second segment, and so on.

##### **warningMessageSegmentType**

Indicates whether the included ETWS warning message segment is the last segment or not.

| Conditional presence | Explanation  |
|----------------------|--|
| <i>Segment1</i>      | The field is mandatory present in the first segment of SIB11, otherwise it is not present. |

## SystemInformationBlockType12

The IE *SystemInformationBlockType12* contains a CMAS notification.

#### SystemInformationBlockType12 information element

```
-- ASN1START
SystemInformationBlockType12-r9 ::= SEQUENCE {
    messageIdentifier-r9          BIT STRING (SIZE (16)),
    serialNumber-r9              BIT STRING (SIZE (16)),
    warningMessageSegmentType-r9 ENUMERATED {notLastSegment, lastSegment},
    warningMessageSegmentNumber-r9 INTEGER (0..63),
    warningMessageSegment-r9     OCTET STRING,
    dataCodingScheme-r9          OCTET STRING (SIZE (1))    OPTIONAL,  -- Cond Segment1
    lateNonCriticalExtension      OCTET STRING              OPTIONAL,
    . . .
    [[ warningAreaCoordinatesSegment-r15 OCTET STRING    OPTIONAL  -- Need OR
    ]]
}
-- ASN1STOP
```

#### SystemInformationBlockType12 field descriptions

##### **dataCodingScheme**

Identifies the alphabet/coding and the language applied variations of a CMAS notification. The octet (which is equivalent to the octet of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.52), contains the octet of the equivalent IE defined in TS 23.041 [37], clause 9.4.3.2.3, and encoded according to TS 23.038 [38].

| <b>SystemInformationBlockType12 field descriptions</b>   |
|--|
| <p><b>messageIdentifier</b><br/>Identifies the source and type of CMAS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.44) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.1, while the trailing bit contains bit 0 of second octet of the same equivalent IE.</p> |
| <p><b>serialNumber</b><br/>Identifies variations of a CMAS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.45), contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.2, while the trailing bit contains bit 0 of second octet of the same equivalent IE.</p>            |
| <p><b>warningAreaCoordinatesSegment</b><br/>If present, carries a segment, with one or more octets, of the geographical area where the CMAS warning message is valid as defined in [98]. The first octet of the first <i>warningAreaCoordinatesSegment</i> is equivalent to the first octet of Warning Area Coordinates IE defined in and encoded according to TS 23.041 [37] and so on.</p>                                     |
| <p><b>warningMessageSegment</b><br/>Carries a segment, with one or more octets, of the <i>Warning Message Contents</i> IE defined in TS 36.413 [39]. The first octet of the <i>Warning Message Contents</i> IE is equivalent to the first octet of the <i>CB data</i> IE defined in and encoded according to TS 23.041 [37], clause 9.4.2.2.5, and so on.</p>  |
| <p><b>warningMessageSegmentNumber</b><br/>Segment number of the CMAS warning message segment contained in the SIB. A segment number of zero corresponds to the first segment, one corresponds to the second segment, and so on. If warning area coordinates are provided for the warning message, then this field applies to both warning message segment and warning area coordinates segment.</p>                              |
| <p><b>warningMessageSegmentType</b><br/>Indicates whether the included CMAS warning message segment is the last segment or not. If warning area coordinates are provided for the warning message, then this field applies to both warning message segment and warning area coordinates segment.</p>  |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>Segment1</i>             | The field is mandatory present in the first segment of SIB12, otherwise it is not present. |

## – SystemInformationBlockType13

The IE *SystemInformationBlockType13* contains the information required to acquire the MBMS control information associated with one or more MBSFN areas.

### SystemInformationBlockType13 information element

```

-- ASN1START
SystemInformationBlockType13-r9 ::= SEQUENCE {
    mbsfn-AreaInfoList-r9          MBSFN-AreaInfoList-r9,
    notificationConfig-r9         MBMS-NotificationConfig-r9,
    lateNonCriticalExtension       OCTET STRING                OPTIONAL,
    . . .
    [[
    notificationConfig-v1430       MBMS-NotificationConfig-v1430    OPTIONAL
    ]]
}
-- ASN1STOP

```

| <b>SystemInformationBlockType13 field descriptions</b>   |
|--|
| <p><b>notificationConfig</b><br/>Indicates the MBMS notification related configuration parameters. The UE shall ignore this field when <i>dl-Bandwidth</i> included in <i>MasterInformationBlock</i> is set to n6.</p> |

## – SystemInformationBlockType14

The IE *SystemInformationBlockType14* contains the EAB parameters.

**SystemInformationBlockType14 information element**

```

-- ASN1START
SystemInformationBlockType14-r11 ::= SEQUENCE {
    eab-Param-r11 CHOICE {
        eab-Common-r11 EAB-Config-r11,
        eab-PerPLMN-List-r11 SEQUENCE (SIZE (1..maxPLMN-r11)) OF EAB-ConfigPLMN-
r11
    } OPTIONAL, -- Need OR
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    ...
    [[ eab-PerRSRP-r15 ENUMERATED {thresh0, thresh1, thresh2, thresh3} OPTIONAL --
Need OR
]]
}

EAB-ConfigPLMN-r11 ::= SEQUENCE {
    eab-Config-r11 EAB-Config-r11 OPTIONAL -- Need OR
}

EAB-Config-r11 ::= SEQUENCE {
    eab-Category-r11 ENUMERATED {a, b, c},
    eab-BarringBitmap-r11 BIT STRING (SIZE (10))
}
-- ASN1STOP

```

**SystemInformationBlockType14 field descriptions****eab-BarringBitmap**

Extended access class barring for AC 0-9. The first/ leftmost bit is for AC 0, the second bit is for AC 1, and so on.

**eab-Category**

Indicates the category of UEs for which EAB applies. Value *a* corresponds to all UEs, value *b* corresponds to the UEs that are neither in their HPLMN nor in a PLMN that is equivalent to it, and value *c* corresponds to the UEs that are neither in the PLMN listed as most preferred PLMN of the country where the UEs are roaming in the operator-defined PLMN selector list on the USIM, nor in their HPLMN nor in a PLMN that is equivalent to their HPLMN, see TS 22.011 [10].

**eab-Common**

The EAB parameters applicable for all PLMN(s).

**eab-PerPLMN-List**

The EAB parameters per PLMN, listed in the same order as the PLMN(s) listed across the *plmn-IdentityList* fields in *SystemInformationBlockType1*.

**eab-PerRSRP**

Access barring per RSRP. Value *thresh0* means access to the cell is barred when in enhanced coverage as specified in TS 36.304 [4] and does not apply to UEs satisfying S criteria for normal coverage. Value *thresh1* is compared to the first entry configured in *rsrp-ThresholdsPrachInfoList*, value *thresh2* is compared to the second entry configured in *rsrp-ThresholdsPrachInfoList* and so on.

**SystemInformationBlockType15**

The IE *SystemInformationBlockType15* contains the MBMS Service Area Identities (SAI) of the current and/ or neighbouring carrier frequencies.

**SystemInformationBlockType15 information element**

```

-- ASN1START
SystemInformationBlockType15-r11 ::= SEQUENCE {
    mbms-SAI-IntraFreq-r11 MBMS-SAI-List-r11 OPTIONAL, -- Need OR
    mbms-SAI-InterFreqList-r11 MBMS-SAI-InterFreqList-r11 OPTIONAL, -- Need OR
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    ...
    [[ mbms-SAI-InterFreqList-v1140 MBMS-SAI-InterFreqList-v1140 OPTIONAL -- Cond
InterFreq
]],
    [[ mbms-IntraFreqCarrierType-r14 MBMS-CarrierType-r14 OPTIONAL, -- Need OR
    mbms-InterFreqCarrierTypeList-r14 MBMS-InterFreqCarrierTypeList-r14 OPTIONAL -- Need
OR
]]

```

```

}
MBMS-SAI-List-r11 ::= SEQUENCE (SIZE (1..maxSAI-MBMS-r11)) OF MBMS-SAI-r11
MBMS-SAI-r11 ::= INTEGER (0..65535)
MBMS-SAI-InterFreqList-r11 ::= SEQUENCE (SIZE (1..maxFreq)) OF MBMS-SAI-InterFreq-r11
MBMS-SAI-InterFreqList-v1140 ::= SEQUENCE (SIZE (1..maxFreq)) OF MBMS-SAI-InterFreq-v1140
MBMS-SAI-InterFreq-r11 ::= SEQUENCE {
    dl-CarrierFreq-r11 ARFCN-ValueEUTRA-r9,
    mbms-SAI-List-r11 MBMS-SAI-List-r11
}
MBMS-SAI-InterFreq-v1140 ::= SEQUENCE {
    multiBandInfoList-r11 MultiBandInfoList-r11 OPTIONAL -- Need OR
}
MBMS-InterFreqCarrierTypeList-r14 ::= SEQUENCE (SIZE (1..maxFreq)) OF MBMS-CarrierType-r14
MBMS-CarrierType-r14 ::= SEQUENCE {
    carrierType-r14 ENUMERATED {mbms, fembmsMixed, fembmsDedicated},
    frameOffset-r14 INTEGER (0..3) OPTIONAL -- Need OR
}
-- ASN1STOP

```

#### SystemInformationBlockType15 field descriptions

|                                      |  |
|--------------------------------------|--|
| <b>carrierType</b>                   | Indicates whether the carrier is pre-Rel-14 MBMS carrier ( <i>mbms</i> ) or FeMBMS/Unicast mixed carrier ( <i>fembmsMixed</i> ) or MBMS-dedicated carrier ( <i>fembmsDedicated</i> ).  |
| <b>frameOffset</b>                   | For MBMS-dedicated carrier, the <i>frameOffset</i> gives the radio frame which contains PBCH by SFN mod 4 = <i>frameOffset</i> .   |
| <b>mbms-InterFreqCarrierTypeList</b> | Indicates whether this is an FeMBMS carrier. The field is included only if <i>mbms-SAI-InterFreqList-r11</i> is included. The number of entries is the same in both fields and carrier type relates to the frequency indicated in <i>mbms-SAI-InterFreqList-r11</i> in the corresponding entry index.  |
| <b>mbms-IntraFreqCarrierType</b>     | Contains indication whether the carrier is pre-Rel-14 MBMS carrier, FeMBMS/Unicast mixed carrier or MBMS-dedicated carrier.  |
| <b>mbms-SAI-InterFreqList</b>        | Contains a list of neighboring frequencies including additional bands, if any, that provide MBMS services and the corresponding MBMS SAIs.   |
| <b>mbms-SAI-IntraFreq</b>            | Contains the list of MBMS SAIs for the current frequency. A duplicate MBMS SAI indicates that this and all following SAIs are not offered by this cell but only by neighbour cells on the current frequency. For MBMS service continuity, the UE shall use all MBMS SAIs listed in <i>mbms-SAI-IntraFreq</i> to derive the MBMS frequencies of interest. |
| <b>mbms-SAI-List</b>                 | Contains a list of MBMS SAIs for a specific frequency.   |
| <b>multiBandInfoList</b>             | A list of additional frequency bands applicable for the cells participating in the MBSFN transmission.   |

| Conditional presence | Explanation   |
|----------------------|---|
| <i>InterFreq</i>     | The field is optionally present, need OR, if the <i>mbms-SAI-InterFreqList-r11</i> is present. Otherwise it is not present. |

#### SystemInformationBlockType16

The IE *SystemInformationBlockType16* contains information related to GPS time and Coordinated Universal Time (UTC). The UE may use the parameters provided in this system information block to obtain the UTC, the GPS and the local time.

NOTE: The UE may use the time information for numerous purposes, possibly involving upper layers e.g. to assist GPS initialisation, to synchronise the UE clock (a.o. to determine MBMS session start/ stop).

**SystemInformationBlockType16 information element**

```

-- ASN1START
SystemInformationBlockType16-r11 ::=
    SEQUENCE {
        timeInfo-r11
            SEQUENCE {
                timeInfoUTC-r11
                    INTEGER (0..549755813887),
                dayLightSavingTime-r11
                    BIT STRING (SIZE (2))
                    OPTIONAL, -- Need OR
                leapSeconds-r11
                    INTEGER (-127..128)
                    OPTIONAL, -- Need OR
                localTimeOffset-r11
                    INTEGER (-63..64)
                    OPTIONAL, -- Need OR
            }
        lateNonCriticalExtension
            OCTET STRING
            OPTIONAL,
        ...
        [[ timeReferenceInfo-r15
            TimeReferenceInfo-r15
            OPTIONAL
            -- Need OR
        ]]
    }
-- ASN1STOP

```

**SystemInformationBlockType16 field descriptions****dayLightSavingTime**

It indicates if and how daylight saving time (DST) is applied to obtain the local time. The semantics is the same as the semantics of the *Daylight Saving Time* IE in TS 24.301 [35] and TS 24.008 [49]. The first/leftmost bit of the bit string contains the b2 of octet 3, i.e. the value part of the *Daylight Saving Time* IE, and the second bit of the bit string contains b1 of octet 3.

**leapSeconds**

Number of leap seconds offset between GPS Time and UTC. UTC and GPS time are related i.e. GPS time - *leapSeconds* = UTC time.

**localTimeOffset**

Offset between UTC and local time in units of 15 minutes. Actual value = field value \* 15 minutes. Local time of the day is calculated as UTC time + *localTimeOffset*.

**timeInfoUTC**

Coordinated Universal Time corresponding to the SFN boundary at or immediately after the ending boundary of the SI-window in which *SystemInformationBlockType16* is transmitted. The field counts the number of UTC seconds in 10 ms units since 00:00:00 on Gregorian calendar date 1 January, 1900 (midnight between Sunday, December 31, 1899 and Monday, January 1, 1900). NOTE 1.

This field is excluded when estimating changes in system information, i.e. changes of *timeInfoUTC* should neither result in system information change notifications nor in a modification of *systemInfoValueTag* in SIB1.

NOTE 1: The UE may use this field together with the *leapSeconds* field to obtain GPS time as follows: GPS Time (in seconds) = *timeInfoUTC* (in seconds) - 2,524,953,600 (seconds) + *leapSeconds*, where 2,524,953,600 is the number of seconds between 00:00:00 on Gregorian calendar date 1 January, 1900 and 00:00:00 on Gregorian calendar date 6 January, 1980 (start of GPS time).

**SystemInformationBlockType17**

The IE *SystemInformationBlockType17* contains information relevant for traffic steering between E-UTRAN and WLAN.

**SystemInformationBlockType17 information element**

```

-- ASN1START
SystemInformationBlockType17-r12 ::=
    SEQUENCE {
        wlan-OffloadInfoPerPLMN-List-r12
            SEQUENCE (SIZE (1..maxPLMN-r11)) OF
            WLAN-OffloadInfoPerPLMN-r12
            OPTIONAL, -- Need OR
        lateNonCriticalExtension
            OCTET STRING
            OPTIONAL,
        ...
    }
WLAN-OffloadInfoPerPLMN-r12 ::=
    SEQUENCE {
        wlan-OffloadConfigCommon-r12
            WLAN-OffloadConfig-r12
            OPTIONAL, -- Need OR
        wlan-Id-List-r12
            WLAN-Id-List-r12
            OPTIONAL, -- Need OR
        ...
    }
WLAN-Id-List-r12 ::=
    SEQUENCE (SIZE (1..maxWLAN-Id-r12)) OF WLAN-Identifiers-r12
WLAN-Identifiers-r12 ::=
    SEQUENCE {

```

```

    ssid-r12                OCTET STRING (SIZE (1..32))    OPTIONAL, -- Need OR
    bssid-r12               OCTET STRING (SIZE (6))        OPTIONAL, -- Need OR
    hessid-r12              OCTET STRING (SIZE (6))        OPTIONAL, -- Need OR
    ...
}
-- ASN1STOP

```

#### **SystemInformationBlockType17 field descriptions**

**bssid**

Basic Service Set Identifier (BSSID) defined in IEEE 802.11-2012 [67].

**hessid**

Homogenous Extended Service Set Identifier (HESSID) defined in IEEE 802.11-2012 [67].

**ssid**

Service Set Identifier (SSID) defined in IEEE 802.11-2012 [67].

**wlan-OffloadInfoPerPLMN-List**The WLAN offload configuration per PLMN includes the same number of entries, listed in the same order as the PLMN(s) listed across the *plmn-IdentityList* fields in *SystemInformationBlockType1*.

### – **SystemInformationBlockType18**

The IE *SystemInformationBlockType18* indicates E-UTRAN supports the sidelink UE information procedure and may contain sidelink communication related resource configuration information.

#### **SystemInformationBlockType18 information element**

```

-- ASN1START
SystemInformationBlockType18-r12 ::= SEQUENCE {
    commConfig-r12                SEQUENCE {
        commRxPool-r12            SL-CommRxPoolList-r12,
        commTxPoolNormalCommon-r12 SL-CommTxPoolList-r12        OPTIONAL, -- Need OR
        commTxPoolExceptional-r12 SL-CommTxPoolList-r12        OPTIONAL, -- Need OR
        commSyncConfig-r12       SL-SyncConfigList-r12          OPTIONAL, -- Need OR
    }
    lateNonCriticalExtension      OCTET STRING                    OPTIONAL,
    ...
    [[ commTxPoolNormalCommonExt-r13 SL-CommTxPoolListExt-r13   OPTIONAL, -- Need OR
       commTxResourceUC-ReqAllowed-r13 ENUMERATED {true}        OPTIONAL, -- Need OR
       commTxAllowRelayCommon-r13   ENUMERATED {true}          OPTIONAL, -- Need OR
    ]]
}
-- ASN1STOP

```

| <b>SystemInformationBlockType18 field descriptions</b>   |
|--|
| <p><b>commRxPool</b><br/>Indicates the resources by which the UE is allowed to receive sidelink communication while in RRC_IDLE and while in RRC_CONNECTED.</p>  |
| <p><b>commSyncConfig</b><br/>Indicates the configuration by which the UE is allowed to receive and transmit synchronisation information. E-UTRAN configures <i>commSyncConfig</i> including <i>txParameters</i> when configuring UEs by dedicated signalling to transmit synchronisation information.</p>  |
| <p><b>commTxAllowRelayCommon</b><br/>Indicates whether the UE is allowed to transmit relay related sidelink communication data using the transmission pools included in <i>SystemInformationBlockType18</i> i.e. either via <i>commTxPoolNormalCommon</i>, <i>commTxPoolNormalCommonExt</i> or via <i>commTxPoolExceptional</i>.</p>   |
| <p><b>commTxPoolExceptional</b><br/>Indicates the resources by which the UE is allowed to transmit sidelink communication in exceptional conditions, as specified in 5.10.4.</p>   |
| <p><b>commTxPoolNormalCommon</b><br/>Indicates the resources by which the UE is allowed to transmit sidelink communication while in RRC_IDLE or when in RRC_CONNECTED while transmitting sidelink via a frequency other than the primary.</p>  |
| <p><b>commTxPoolNormalCommonExt</b><br/>Indicates transmission resource pool(s) in addition to the pool(s) indicated by field <i>commTxPoolNormalCommon</i>, by which the UE is allowed to transmit sidelink communication while in RRC_IDLE or when in RRC_CONNECTED while transmitting sidelink via a frequency other than the primary. E-UTRAN configures <i>commTxPoolNormalCommonExt</i> only when it configures <i>commTxPoolNormalCommon</i>.</p> |
| <p><b>commTxResourceUC-ReqAllowed</b><br/>Indicates whether the UE is allowed to request transmission pools for non-relay related one-to-one sidelink communication.</p>   |

## SystemInformationBlockType19

The IE *SystemInformationBlockType19* indicates E-UTRAN supports the sidelink UE information procedure and may contain sidelink discovery related resource configuration information.

### SystemInformationBlockType19 information element

```

-- ASN1START
SystemInformationBlockType19-r12 ::= SEQUENCE {
    discConfig-r12          SEQUENCE {
        discRxPool-r12      SL-DiscRxPoolList-r12,
        discTxPoolCommon-r12 SL-DiscTxPoolList-r12          OPTIONAL, -- Need OR
        discTxPowerInfo-r12 SL-DiscTxPowerInfoList-r12     OPTIONAL, -- Cond Tx
        discSyncConfig-r12  SL-SyncConfigList-r12          OPTIONAL, -- Need OR
    }
    discInterFreqList-r12  SL-CarrierFreqInfoList-r12     OPTIONAL, -- Need OR
    lateNonCriticalExtension OCTET STRING                OPTIONAL,
    ...
    [[ discConfig-v1310    SEQUENCE {
        discInterFreqList-v1310 SL-CarrierFreqInfoList-v1310 OPTIONAL, -- Need OR
        gapRequestsAllowedCommon ENUMERATED {true}          OPTIONAL, -- Need OR
    }
    discConfigRelay-r13    SEQUENCE {
        relayUE-Config-r13     SL-DiscConfigRelayUE-r13,
        remoteUE-Config-r13    SL-DiscConfigRemoteUE-r13
    }
    discConfigPS-13        SEQUENCE {
        discRxPoolPS-r13       SL-DiscRxPoolList-r12,
        discTxPoolPS-Common-r13 SL-DiscTxPoolList-r12          OPTIONAL, -- Need OR
    }
    ] ]
}

SL-CarrierFreqInfoList-r12 ::= SEQUENCE (SIZE (1..maxFreq)) OF SL-CarrierFreqInfo-r12

SL-CarrierFreqInfoList-v1310 ::= SEQUENCE (SIZE (1..maxFreq)) OF SL-CarrierFreqInfo-v1310

SL-CarrierFreqInfo-r12 ::= SEQUENCE {
    carrierFreq-r12      ARFCN-ValueEUTRA-r9,
    plmn-IdentityList-r12 PLMN-IdentityList4-r12          OPTIONAL, -- Need OP
}

SL-DiscConfigRelayUE-r13 ::= SEQUENCE {

```



```

    threshHigh-r13          RSRP-RangeSL4-r13          OPTIONAL,  -- Need OR
    threshLow-r13           RSRP-RangeSL4-r13          OPTIONAL,  -- Need OR
    hystMax-r13             ENUMERATED {dB0, dB3, dB6, dB9, dB12, dB12, dB12, dB12}  OPTIONAL,  -- Cond
ThreshHigh
    hystMin-r13             ENUMERATED {dB0, dB3, dB6, dB9, dB12}  OPTIONAL    -- Cond ThreshLow
}

SL-DiscConfigRemoteUE-r13 ::= SEQUENCE {
    threshHigh-r13          RSRP-RangeSL4-r13          OPTIONAL,  -- Need OR
    hystMax-r13             ENUMERATED {dB0, dB3, dB6, dB9, dB12}  OPTIONAL,  -- Cond ThreshHigh
    reselectionInfoIC-r13   ReselectionInfoRelay-r13
}

ReselectionInfoRelay-r13 ::= SEQUENCE {
    q-RxLevMin-r13          Q-RxLevMin,
    -- Note that the mapping of individual values may be different for PC5, but the granularity/
    -- number of values is same as for Uu
    filterCoefficient-r13   FilterCoefficient,
    minHyst-r13              ENUMERATED {dB0, dB3,
                                      dB6, dB9, dB12, dB12, dB12}  OPTIONAL    -- Need OR
}

SL-CarrierFreqInfo-v1310 ::= SEQUENCE {
    discResourcesNonPS-r13   SL-ResourcesInterFreq-r13          OPTIONAL,  -- Need OR
    discResourcesPS-r13      SL-ResourcesInterFreq-r13          OPTIONAL,  -- Need OR
    discConfigOther-r13      SL-DiscConfigOtherInterFreq-r13    OPTIONAL,  -- Need OR
    ...
}

PLMN-IdentityList4-r12 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo2-r12

PLMN-IdentityInfo2-r12 ::= CHOICE {
    plmn-Index-r12          INTEGER (1..maxPLMN-r11),
    plmnIdentity-r12        PLMN-Identity
}

SL-DiscTxResourcesInterFreq-r13 ::= CHOICE {
    acquireSI-FromCarrier-r13  NULL,
    discTxPoolCommon-r13       SL-DiscTxPoolList-r12,
    requestDedicated-r13       NULL,
    noTxOnCarrier-r13          NULL
}

SL-DiscConfigOtherInterFreq-r13 ::= SEQUENCE {
    txPowerInfo-r13          SL-DiscTxPowerInfoList-r12          OPTIONAL,  -- Cond Tx
    refCarrierCommon-r13     ENUMERATED {pCell}                  OPTIONAL,  -- Need OR
    discSyncConfig-r13       SL-SyncConfigListNFFreq-r13         OPTIONAL,  -- Need OR
    discCellSelectionInfo-r13 CellSelectionInfoNFFreq-r13        OPTIONAL,  -- Need OR
}

SL-ResourcesInterFreq-r13 ::= SEQUENCE {
    discRxResourcesInterFreq-r13 SL-DiscRxPoolList-r12          OPTIONAL,  -- Need OR
    discTxResourcesInterFreq-r13 SL-DiscTxResourcesInterFreq-r13  OPTIONAL,  -- Need OR
}

-- ASN1STOP

```

| <b>SystemInformationBlockType19 field descriptions</b> |  |
|--|--|
| <b>discCellSelectionInfo</b>                           | Parameters that may be used by the UE to select/ reselect a cell on the concerned non serving frequency. If absent, the UE acquires the information from the target cell on the concerned frequency. See TS 36.304 [4], clause 11.4.   |
| <b>discInterFreqList</b>                               | Indicates the neighbouring frequencies on which sidelink discovery announcement is supported. May also provide further information i.e. reception resource pool and/ or transmission resource pool, or an indication how resources could be obtained.  |
| <b>discRxPool</b>                                      | Indicates the resources by which the UE is allowed to receive non-PS related sidelink discovery announcements while in RRC_IDLE and while in RRC_CONNECTED.  |
| <b>discRxPoolPS</b>                                    | Indicates the resources by which the UE is allowed to receive PS related sidelink discovery announcements while in RRC_IDLE and while in RRC_CONNECTED.  |
| <b>discRxResourcesInterFreq</b>                        | Indicates the resource pool configuration for receiving discovery announcements on a carrier frequency.  |
| <b>discSyncConfig</b>                                  | Indicates the configuration by which the UE is allowed to receive and transmit synchronisation information. E-UTRAN configures <i>discSyncConfig</i> including <i>txParameters</i> when configuring UEs by dedicated signalling to transmit synchronisation information.   |
| <b>discTxPoolCommon</b>                                | Indicates the resources by which the UE is allowed to transmit non-PS related sidelink discovery announcements while in RRC_IDLE.  |
| <b>discTxPoolPS-Common</b>                             | Indicates the resources by which the UE is allowed to transmit PS related sidelink discovery announcements while in RRC_IDLE.  |
| <b>discTxResourcesInterFreq</b>                        | For the concerned frequency, either provides the UE with a pool of sidelink discovery announcement transmission resources the UE is allowed to use while in RRC_IDLE, or indicates whether such transmission is allowed, and if so how the UE may obtain the required resources. Value <i>noTxOnCarrier</i> indicates that the UE is not allowed to transmit sidelink discovery announcements on the concerned frequency. Value <i>acquireSI-FromCarrier</i> indicates that the required resources are to be obtained by autonomously acquiring SIB19 and other relevant SIBs from the concerned frequency. Value <i>requestDedicated</i> indicates, that for the concerned carrier, the required sidelink discovery resources are to be obtained by means of a dedicated resource request using the <i>SidelinkUEInformation</i> message. |
| <b>plmn-IdentityList</b>                               | List of PLMN identities for the neighbouring frequency indicated by <i>carrierFreq</i> . Absence of the field indicates the same PLMN identities as listed across the <i>plmn-IdentityList</i> fields (without suffix) in <i>SystemInformationBlockType1</i> .   |
| <b>plmn-Index</b>                                      | Index of the corresponding entry across the <i>plmn-IdentityList</i> fields (without suffix) within <i>SystemInformationBlockType1</i> .   |
| <b>refCarrierCommon</b>                                | Indicates if the PCell (RRC_CONNECTED)/ serving cell (RRC_IDLE) is to be used as reference for DL measurements and synchronization, instead of the DL frequency paired with the one used to transmit sidelink discovery announcements on, see TS 36.213 [23], clause 14.3.1.   |
| <b>reselectionInfoC</b>                                | Includes the parameters used by the UE when selecting/ reselecting a sidelink relay UE.  |
| <b>SL-CarrierFreqInfoList-v1310</b>                    | If included, the UE shall include the same number of entries, and listed in the same order, as in <i>SL-CarrierFreqInfoList-r12</i> .  |
| <b>threshHigh, threshLow (relayUE)</b>                 | Indicates when a sidelink remote UE or sidelink relay UE that is in network coverage may use the broadcast PS related sidelink discovery Tx resource pool, if broadcast, or request Tx resources by dedicated signalling otherwise. For remote UEs, this parameter is used similarly for relay related sidelink communication.   |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>ThreshHigh</i>           | The field is mandatory present if <i>threshHigh</i> is included in the corresponding IE. Otherwise the field is not present and UE shall delete any existing value for this field. |
| <i>ThreshLow</i>            | The field is mandatory present if <i>threshLow</i> is included. Otherwise the field is not present UE shall delete any existing value for this field.                              |
| <i>Tx</i>                   | The field is mandatory present if <i>discTxPoolCommon</i> is included. Otherwise the field is optional present, need OR.   |

## SystemInformationBlockType20

The IE *SystemInformationBlockType20* contains the information required to acquire the control information associated transmission of MBMS using SC-PTM.

### SystemInformationBlockType20 information element

```

-- ASN1START
SystemInformationBlockType20-r13 ::= SEQUENCE {
    sc-mcch-RepetitionPeriod-r13      ENUMERATED {rf2, rf4, rf8, rf16, rf32, rf64, rf128, rf256},
    sc-mcch-Offset-r13                INTEGER (0..10),
    sc-mcch-FirstSubframe-r13         INTEGER (0..9),
    sc-mcch-duration-r13              INTEGER (2..9) OPTIONAL,
    sc-mcch-ModificationPeriod-r13    ENUMERATED {rf2, rf4, rf8, rf16, rf32, rf64, rf128, rf256,
                                                rf512, rf1024, r2048, rf4096, rf8192, rf16384, rf32768,
                                                rf65536},
    lateNonCriticalExtension           OCTET STRING OPTIONAL,
    . . .
    [[ br-BCCH-Config-r14             SEQUENCE {
        dummy                          ENUMERATED {rf1},
        dummy2                         ENUMERATED {rf1},
        mpdcch-Narrowband-SC-MCCH-r14  INTEGER (1..maxAvailNarrowBands-r13),
        mpdcch-NumRepetition-SC-MCCH-r14  ENUMERATED {r1, r2, r4, r8, r16,
                                                    r32, r64, r128, r256},

        mpdcch-StartSF-SC-MCCH-r14     CHOICE {
            fdd-r14                     ENUMERATED {v1, v1dot5, v2, v2dot5, v4,
                                                    v5, v8, v10},
            tdd-r14                     ENUMERATED {v1, v2, v4, v5, v8, v10, v20}
        },
        mpdcch-PDSCH-HoppingConfig-SC-MCCH-r14  ENUMERATED {off, ce-ModeA, ce-ModeB},
        sc-mcch-CarrierFreq-r14        ARFCN-ValueEUTRA-r9,
        sc-mcch-Offset-BR-r14          INTEGER (0..10),
        sc-mcch-RepetitionPeriod-BR-r14  ENUMERATED {rf32, rf128, rf512, rf1024,
                                                    rf2048, rf4096, rf8192, rf16384},
        sc-mcch-ModificationPeriod-BR-r14  ENUMERATED { rf32, rf128, rf256, rf512, rf1024,
                                                    rf2048, rf4096, rf8192, rf16384, rf32768,
                                                    rf65536, rf131072, rf262144, rf524288,
                                                    rf1048576}
    }
    sc-mcch-SchedulingInfo-r14        SC-MCCH-SchedulingInfo-r14 OPTIONAL, -- Need OR
    pdsch-maxNumRepetitionCEmodeA-SC-MTCH-r14  ENUMERATED { r16, r32 } OPTIONAL, -- Need OR
    pdsch-maxNumRepetitionCEmodeB-SC-MTCH-r14  ENUMERATED {
        r192, r256, r384, r512, r768, r1024,
        r1536, r2048} OPTIONAL -- Need OR
    ]],
    [[ sc-mcch-RepetitionPeriod-v1470  ENUMERATED {rf1} OPTIONAL, -- Need OR
        sc-mcch-ModificationPeriod-v1470  ENUMERATED {rf1} OPTIONAL -- Need OR
    ]]
}

SC-MCCH-SchedulingInfo-r14 ::= SEQUENCE {
    onDurationTimerSCPTM-r14          ENUMERATED {psf10, psf20, psf100, psf300,
                                                psf500, psf1000, psf1200, psf1600},
    drx-InactivityTimerSCPTM-r14     ENUMERATED {psf0, psf1, psf2, psf4, psf8, psf16,
                                                psf32, psf64, psf128, psf256, ps512,
                                                psf1024, psf2048, psf4096, psf8192, psf16384},
    schedulingPeriodStartOffsetSCPTM-r14  CHOICE {
        sf10                          INTEGER(0..9),
        sf20                          INTEGER(0..19),
        sf32                          INTEGER(0..31),
        sf40                          INTEGER(0..39),
        sf64                          INTEGER(0..63),
        sf80                          INTEGER(0..79),
        sf128                         INTEGER(0..127),
        sf160                         INTEGER(0..159),
        sf256                         INTEGER(0..255),
        sf320                         INTEGER(0..319),
        sf512                         INTEGER(0..511),
        sf640                         INTEGER(0..639),
        sf1024                       INTEGER(0..1023),
        sf2048                       INTEGER(0..2047),
        sf4096                       INTEGER(0..4095),
        sf8192                       INTEGER(0..8191)
    },
}

```

```
} ...  
-- ASN1STOP
```

| <b>SystemInformationBlockType20 field descriptions</b>   |
|--|
| <p><b>br-BCCH-Config-r14</b><br/>The field is present if <i>SystemInformationBlockType20</i> is sent on BR-BCCH. Otherwise the field is absent.</p>  |
| <p><b>dummy</b><br/>This field is not used in the specification. If received it shall be ignored by the UE.</p>  |
| <p><b>drx-InactivityTimerSCPTM</b><br/>Timer for listening to SC-MCCH scheduling in TS 36.321 [6]. Value in number of MPDCCH sub-frames. Value psf0 corresponds to 0 MPDCCH sub-frame, psf1 corresponds to 1 MPDCCH sub-frame and so on.</p>   |
| <p><b>mpdcch-Narrowband-SC-MCCH</b><br/>Narrowband for MPDCCH for SC-MCCH, see TS 36.213 [23].</p>   |
| <p><b>mpdcch-NumRepetitions-SC-MCCH</b><br/>The maximum number of MPDCCH repetitions the UE needs to monitor for SC-MCCH, see TS 36.213 [23].</p>  |
| <p><b>mpdcch-StartSF-SC-MCCH</b><br/>Configuration of the starting subframes of the MPDCCH search space for SC-MCCH, see TS 36.213 [23].</p>   |
| <p><b>mpdcch-PDSCH-HoppingConfig-SC-MCCH</b><br/>Frequency hopping configuration for MPDCCH/PDSCH for SC-MCCH, see TS 36.213 [23].</p>   |
| <p><b>onDurationTimerSCPTM</b><br/>Indicates the duration in subframes during which SC-MCCH may be scheduled in MPDCCH sub-frames, see TS 36.321 [6].</p>  |
| <p><b>pdsch-maxNumRepetitionCEmodeA-SC-MTCH</b><br/>Maximum value to indicate the set of PDSCH repetition numbers for SC-MTCH to UEs in CE mode A, see TS 36.213 [23].</p>   |
| <p><b>pdsch-maxNumRepetitionCEmodeB-SC-MTCH</b><br/>Maximum value to indicate the set of PDSCH repetition numbers for SC-MTCH CE to UEs in mode B, see TS 36.213 [23].</p>   |
| <p><b>schedulingPeriodStartOffsetSCPTM</b><br/><i>SCPTM-SchedulingCycle</i> and <i>SCPTM-SchedulingOffset</i> in TS 36.321 [6]. The value of <i>SCPTM-SchedulingCycle</i> is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of <i>SCPTM-SchedulingOffset</i> is in number of sub-frames.</p>   |
| <p><b>sc-mcch-CarrierFreq</b><br/>Downlink carrier used for all multicast SC-MCCH transmissions.</p>   |
| <p><b>sc-mcch-duration</b><br/>Indicates, starting from the subframe indicated by <i>sc-mcch-FirstSubframe</i>, the duration in subframes during which SC-MCCH may be scheduled in PDCCH sub-frames, see TS 36.321 [6]. Absence of this IE means that SC-MCCH is only scheduled in the subframe indicated by <i>sc-mcch-FirstSubframe</i>.</p>   |
| <p><b>sc-mcch-ModificationPeriod</b><br/>Defines periodically appearing boundaries, i.e. radio frames for which <math>\text{SFN mod } sc\text{-}mcch\text{-}ModificationPeriod = 0</math>. The contents of different transmissions of SC-MCCH information can only be different if there is at least one such boundary in-between them. Value rf2 corresponds to 2 radio frames, value rf4 corresponds to 4 radio frames and so on. In case <i>sc-mcch-ModificationPeriod-v1470</i> is configured, the UE shall ignore the configuration of <i>sc-mcch-ModificationPeriod-r13</i>.</p>   |
| <p><b>sc-mcch-ModificationPeriod-BR</b><br/>Defines periodically appearing boundaries for BL UE or UE in CE, i.e. radio frames for which <math>(\text{H-SFN} \cdot 1024 + \text{SFN}) \text{ mod } sc\text{-}mcch\text{-}ModificationPeriod\text{-}BR = 0</math> if hyperSFN is present in <i>SystemInformationBlockType1-BR</i> or radio frames for which <math>\text{SFN mod } sc\text{-}mcch\text{-}ModificationPeriod\text{-}BR = 0</math> otherwise. The contents of different transmissions of SC-MCCH information can only be different if there is at least one such boundary in-between them. Value rf32 corresponds to 32 radio frames, value rf128 corresponds to 128 radio frames and so on.</p> |
| <p><b>sc-mcch-FirstSubframe</b><br/>Indicates the first subframe in which SC-MCCH is scheduled</p>   |
| <p><b>sc-mcch-Offset</b><br/>Indicates, together with the <i>sc-mcch-RepetitionPeriod</i>, the radio frames in which SC-MCCH is scheduled i.e. SC-MCCH is scheduled in radio frames for which: <math>\text{SFN mod } sc\text{-}mcch\text{-}RepetitionPeriod = sc\text{-}mcch\text{-}Offset</math>.</p>   |
| <p><b>sc-mcch-Offset-BR</b><br/>Indicates, together with the <i>sc-mcch-RepetitionPeriod-BR</i>, the boundary of the SC-MCCH repetition period for BL UE or UE in CE: <math>(\text{H-SFN} \cdot 1024 + \text{SFN}) \text{ mod } sc\text{-}mcch\text{-}RepetitionPeriod\text{-}BR = sc\text{-}mcch\text{-}Offset\text{-}BR</math> if hyperSFN is present in <i>SystemInformationBlockType1-BR</i> or radio frames for which <math>(\text{SFN mod } sc\text{-}mcch\text{-}RepetitionPeriod\text{-}BR) = sc\text{-}mcch\text{-}Offset\text{-}BR</math> otherwise.</p>   |
| <p><b>sc-mcch-RepetitionPeriod</b><br/>Defines the interval between transmissions of SC-MCCH information, in radio frames. Value rf2 corresponds to 2 radio frames, rf4 corresponds to 4 radio frames and so on. In case <i>sc-mcch-RepetitionPeriod-v1470</i> is configured, the UE shall ignore the configuration of <i>sc-mcch-RepetitionPeriod-r13</i>.</p>  |
| <p><b>sc-mcch-RepetitionPeriod-BR</b><br/>Defines the interval between transmissions of SC-MCCH information for BL UE or UE in CE, in radio frames. Value rf32 corresponds to 32 radio frames, rf128 corresponds to 128 radio frames and so on.</p>  |
| <p><b>sc-mcch-SchedulingInfo</b><br/>DRX information for the SC-MCCH. If this field is absent, DRX is not used for SC-MCCH reception.</p>  |

– *SystemInformationBlockType21*

The IE *SystemInformationBlockType21* contains V2X sidelink communication configuration.

***SystemInformationBlockType21* information element**

```
-- ASN1START
SystemInformationBlockType21-r14 ::= SEQUENCE {
  sl-V2X-ConfigCommon-r14          SL-V2X-ConfigCommon-r14          OPTIONAL,  -- Need OR
  lateNonCriticalExtension         OCTET STRING                 OPTIONAL,
  ...
}

SL-V2X-ConfigCommon-r14 ::= SEQUENCE {
  v2x-CommRxPool-r14              SL-CommRxPoolListV2X-r14          OPTIONAL,  -- Need OR
  v2x-CommTxPoolNormalCommon-r14  SL-CommTxPoolListV2X-r14          OPTIONAL,  -- Need OR
  p2x-CommTxPoolNormalCommon-r14  SL-CommTxPoolListV2X-r14          OPTIONAL,  -- Need OR
  v2x-CommTxPoolExceptional-r14   SL-CommResourcePoolV2X-r14        OPTIONAL,  -- Need OR
  v2x-SyncConfig-r14              SL-SyncConfigListV2X-r14          OPTIONAL,  -- Need OR
  v2x-InterFreqInfoList-r14       SL-InterFreqInfoListV2X-r14       OPTIONAL,  -- Need OR
  v2x-ResourceSelectionConfig-r14  SL-CommTxPoolSensingConfig-r14    OPTIONAL,  -- Need OR
  zoneConfig-r14                  SL-ZoneConfig-r14                 OPTIONAL,  -- Need OR
  typeTxSync-r14                  SL-TypeTxSync-r14                 OPTIONAL,  -- Need OR
  thresSL-TxPrioritization-r14     SL-Priority-r13                    OPTIONAL,  -- Need OR
  anchorCarrierFreqList-r14        SL-AnchorCarrierFreqList-V2X-r14  OPTIONAL,  -- Need OR
  offsetDFN-r14                   INTEGER (0..1000)                  OPTIONAL,  -- Need OR
  cbr-CommonTxConfigList-r14       SL-CBR-CommonTxConfigList-r14     OPTIONAL,  -- Need OR
}

-- ASN1STOP
```

| <b>SystemInformationBlockType21 field descriptions</b> |  |
|--|--|
| <b>anchorCarrierFreqList</b>                           | Indicates carrier frequencies which may include inter-carrier resource configuration for V2X sidelink communication.   |
| <b>cbr-CommonTxConfigList</b>                          | Indicates the common list of CBR ranges and the list of PSSCH transmissions parameter configurations available to configure congestion control to the UE for V2X sidelink communication.   |
| <b>offsetDFN</b>                                       | Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference for the PCell. Value 0 corresponds to 0 milliseconds, value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on.                             |
| <b>p2x-CommTxPoolNormalCommon</b>                      | Indicates the resources by which the UE is allowed to transmit P2X related V2X sidelink communication. <i>zoneID</i> is not configured in the pools in this field.   |
| <b>thresSL-TxPrioritization</b>                        | Indicates the threshold used to determine whether SL V2X transmission is prioritized over uplink transmission if they overlap in time (see TS 36.321 [6]). This value shall overwrite <i>thresSL-TxPrioritization</i> configured in <i>SL-V2X-Preconfiguration</i> if any.               |
| <b>typeTxSync</b>                                      | Indicates the prioritized synchronization type (i.e. eNB or GNSS) for performing V2X sidelink communication on the carrier frequency on which this field is broadcast.   |
| <b>v2x-CommRxPool</b>                                  | Indicates the resources by which the UE is allowed to receive V2X sidelink communication while in RRC_IDLE and RRC_CONNECTED.  |
| <b>v2x-CommTxPoolExceptional</b>                       | Indicates the resources by which the UE is allowed to transmit V2X sidelink communication in exceptional conditions, as specified in 5.10.13.  |
| <b>v2x-CommTxPoolNormalCommon</b>                      | Indicates the resources by which the UE is allowed to transmit non-P2X related V2X sidelink communication when in RRC_IDLE or when in RRC_CONNECTED while transmitting V2X sidelink communication via a frequency other than the primary. E-UTRAN configures one resource pool per zone. |
| <b>v2x-InterFreqInfoList</b>                           | Indicates synchronization and resource allocation configurations of neighboring frequencies for V2X sidelink communication.  |
| <b>v2x-ResourceSelectionConfig</b>                     | Indicates V2X sidelink communication configurations used for UE autonomous resource selection.   |
| <b>v2x-SyncConfig</b>                                  | Indicates the configuration by which the UE is allowed to receive and transmit synchronisation information for V2X sidelink communication. E-UTRAN configures <i>v2x-SyncConfig</i> including <i>txParameters</i> when configuring UEs to transmit synchronisation information.          |
| <b>zoneConfig</b>                                      | Indicates zone configurations used for V2X sidelink communication in 5.10.13.2.  |

## – SystemInformationBlockType24

The IE *SystemInformationBlockType24* contains information relevant only for inter-RAT cell re-selection i.e. information about NR frequencies and NR neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency.

### SystemInformationBlockType24 information element

```
-- ASN1START
SystemInformationBlockType24-r15 ::= SEQUENCE {
    carrierFreqListNR-r15          CarrierFreqListNR-r15          OPTIONAL, -- Need OR
    t-ReselectionNR-r15           T-Reselection,
    t-ReselectionNR-SF-r15        SpeedStateScaleFactors        OPTIONAL, -- Need OR
    lateNonCriticalExtension       OCTET STRING                    OPTIONAL,
    ...
}

CarrierFreqListNR-r15 ::= SEQUENCE (SIZE (1..maxFreq)) OF CarrierFreqNR-r15

CarrierFreqNR-r15 ::= SEQUENCE {
    carrierFreq-r15               ARFCN-ValueNR-r15,
    multiBandInfoList-r15         MultiFrequencyBandListNR-r15  OPTIONAL, -- Need OR
    multiBandInfoListSUL-r15     MultiFrequencyBandListNR-r15  OPTIONAL, -- Need OR
    measTimingConfig-r15         MTC-SSB-NR-r15                OPTIONAL, -- Need OR
    subcarrierSpacingSSB-r15     ENUMERATED {kHz15, kHz30, kHz120, kHz240},
}
```

```

ss-RSSI-Measurement-r15          SS-RSSI-Measurement-r15          OPTIONAL,          -- Cond RSRQ2
cellReselectionPriority-r15      CellReselectionPriority          OPTIONAL,          -- Need OP
cellReselectionSubPriority-r15  CellReselectionSubPriority-r13  OPTIONAL,          -- Need OR
threshX-High-r15                ReselectionThreshold,
threshX-Low-r15                 ReselectionThreshold,
threshX-Q-r15                    SEQUENCE {
    threshX-HighQ-r15            ReselectionThresholdQ-r9,
    threshX-LowQ-r15            ReselectionThresholdQ-r9
}
q-RxLevMin-r15                  INTEGER (-70..-22),
q-RxLevMinSUL-r15              INTEGER (-70..-22)              OPTIONAL,          -- Need OR
p-MaxNR-r15                     P-MaxNR-r15,
ns-PmaxListNR-r15              NS-PmaxListNR-r15              OPTIONAL,          -- Need OR
q-QualMin-r15                   INTEGER (-43..-12)              OPTIONAL,          -- Need OP
deriveSSB-IndexFromCell-r15    BOOLEAN,
maxRS-IndexCellQual-r15        MaxRS-IndexCellQualNR-r15      OPTIONAL,          -- Need OR
threshRS-Index-r15              ThresholdListNR-r15            OPTIONAL,          -- Need OR
...
[[ multiBandNsPmaxListNR-v1550  MultiBandNsPmaxListNR-1-v1550  OPTIONAL,          -- Need OR
   multiBandNsPmaxListNR-SUL-v1550 MultiBandNsPmaxListNR-v1550    OPTIONAL,          -- Need OR
   ssb-ToMeasure-r15            SSB-ToMeasure-r15              OPTIONAL,          -- Need OR
]]
}

MultiBandNsPmaxListNR-1-v1550 ::= SEQUENCE (SIZE (1..maxMultiBandsNR-1-r15)) OF NS-PmaxListNR-r15
MultiBandNsPmaxListNR-v1550 ::= SEQUENCE (SIZE (1..maxMultiBandsNR-r15)) OF NS-PmaxListNR-r15
-- ASN1STOP

```



| <b>SystemInformationBlockType24 field descriptions</b>   |
|--|
| <p><b>carrierFreqListNR</b><br/>List of carrier frequencies of NR carriers. These frequencies correspond to GSCN values as specified in TS 38.101 [85].</p>  |
| <p><b>cellReselectionPriority</b><br/>The field concerns the absolute priority of the concerned carrier frequency as used by the cell reselection procedure. Corresponds with parameter "priority" in TS 36.304 [4].</p>   |
| <p><b>deriveSSB-IndexFromCell</b><br/>The field indicates whether the UE may use, to derive the SSB index of a cell on the indicated SSB frequency and subcarrier spacing, the timing of any detected cell with the same SSB frequency and subcarrier spacing. If this field is set to TRUE, the UE assumes SFN and frame boundary alignment across cells on the same NR carrier frequency as specified in TS 36.133 [16].</p> |
| <p><b>maxRS-IndexCellQual</b><br/>Number of SS blocks to average for cell measurement derivation. Corresponds to the parameter <i>nrofSS-BlocksToAverage</i> in TS 38.304 [92].</p>  |
| <p><b>measTimingConfig</b><br/>Used to configure measurement timing configurations, i.e., timing occasions at which the UE measures SSBs. If the field is absent, the UE assumes that SSB periodicity is 5ms in this frequency.</p>  |
| <p><b>multiBandInfoList</b><br/>Indicates the list of frequency bands for which the NR cell reselection parameters apply. The UE shall select the first listed band which it supports in the <i>multiBandInfoList</i> field to represent the NR neighbour carrier frequency. The network always includes this field.</p>   |
| <p><b>multiBandInfoListSUL</b><br/>Indicates the list of frequency bands for which the NR cell reselection parameters apply. The UE shall select the first listed band which it supports in the <i>multiBandInfoListSUL</i> field to represent the NR neighbour carrier frequency.</p>   |
| <p><b>multiBandNsPmaxListNR</b><br/>Indicates the <i>NS-PmaxListNR</i> configuration for the NR frequency band(s) listed in <i>multiBandInfoList</i>. The first entry corresponds to the second listed band in <i>multiBandInfoList</i>, and second entry corresponds to the third listed band in <i>multiBandInfoList</i>, and so on.</p>   |
| <p><b>multiBandNsPmaxListNR-SUL</b><br/>Indicates the <i>NS-PmaxListNR</i> configuration for the NR SUL frequency band(s) listed in <i>multiBandInfoListSUL</i>. The first entry corresponds to the first listed band in <i>multiBandInfoListSUL</i>, and second entry corresponds to the second listed band in <i>multiBandInfoListSUL</i>, and so on.</p>  |
| <p><b>ns-PmaxListNR</b><br/>Indicates a list of <i>additionalPmax</i> and <i>additionalSpectrumEmission</i>, corresponds to the first listed band in the <i>multiBandInfoList</i>.</p>   |
| <p><b>p-MaxNR</b><br/>Indicates the maximum power for NR (see TS 38.104 [91]).</p>   |
| <p><b>q-QualMin</b><br/>Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4], applicable for NR neighbour cells. If the field is not present, the UE applies the (default) value of negative infinity for Q<sub>qualmin</sub>. The actual value Q<sub>qualmin</sub> = field value [dB].</p>  |
| <p><b>q-RxLevMin</b><br/>Parameter "Q<sub>rxlevmin</sub>" in TS 38.304 [92], applicable for NR neighbour cells. The actual value Q<sub>rxlevmin</sub> = field value * 2 [dBm].</p>   |
| <p><b>q-RxLevMinSUL</b><br/>Parameter "Q<sub>rxlevmin</sub>" in TS 38.304 [92], applicable for NR neighbouring cells. The actual value Q<sub>rxlevmin</sub> = field value * 2 [dBm].</p>   |
| <p><b>ssb-ToMeasure</b><br/>The set of SS blocks to be measured within the SMTC measurement duration (see TS 38.215 [89]). When the field is absent the UE measures on all SS-blocks.</p>  |
| <p><b>ss-RSSI-Measurements</b><br/>Indicates the SSB-based RSSI measurement configuration. If the field is absent, the UE behaviour is defined in TS 38.215 [89], clause 5.1.3.</p>  |
| <p><b>threshRS-Index</b><br/>List of thresholds for consolidation of L1 measurements per RS index. Corresponds to the parameter <i>absThreshSS-BlocksConsolidation</i> in TS 38.304 [92].</p>  |
| <p><b>threshX-High</b><br/>Parameter "Thresh<sub>X, HighP</sub>" in TS 36.304 [4].</p>   |
| <p><b>threshX-HighQ</b><br/>Parameter "Thresh<sub>X, HighQ</sub>" in TS 36.304 [4].</p>  |
| <p><b>threshX-Low</b><br/>Parameter "Thresh<sub>X, LowP</sub>" in TS 36.304 [4].</p>   |
| <p><b>threshX-LowQ</b><br/>Parameter "Thresh<sub>X, LowQ</sub>" in TS 36.304 [4].</p>  |
| <p><b>t-ReselectionNR</b><br/>Parameter "TresselectionNR" in TS 36.304 [4].</p>  |

| <b>SystemInformationBlockType24 field descriptions</b>   |  |
|--|--|
| <b>t-ReselectionNR-SF</b>  |  |
| Parameter "Speed dependent ScalingFactor for Treselection <sub>NR</sub> " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4]. |  |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>RSRQ</i>                 | The field is mandatory present if the <i>threshServingLowQ</i> is present in <i>systemInformationBlockType3</i> ; otherwise it is not present. |
| <i>RSRQ2</i>                | The field is optional Need OP if the <i>threshServingLowQ</i> is present in <i>systemInformationBlockType3</i> ; otherwise it is not present.  |

## – SystemInformationBlockType25

The IE *SystemInformationBlockType25* contains the UAC parameters.

### SystemInformationBlockType25 information element

```
-- ASN1START

SystemInformationBlockType25-r15 ::= SEQUENCE {
    uac-BarringForCommon-r15          UAC-BarringPerCatList-r15          OPTIONAL,  --
Need OP
    uac-BarringPerPLMN-List-r15      UAC-BarringPerPLMN-List-r15      OPTIONAL,  -- Need
OP
    uac-BarringInfoSetList-r15      UAC-BarringInfoSetList-r15,
    uac-AC1-SelectAssistInfo-r15    CHOICE {
        plmnCommon-r15              UAC-AC1-SelectAssistInfo-r15,
        individualPLMNList-r15      SEQUENCE (SIZE (2..maxPLMN-r11)) OF UAC-AC1-SelectAssistInfo-r15
    } OPTIONAL,  -- Need OR
    lateNonCriticalExtension         OCTET STRING                      OPTIONAL,
    ...
}

UAC-BarringPerPLMN-List-r15 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF UAC-BarringPerPLMN-r15

UAC-BarringPerPLMN-r15 ::= SEQUENCE {
    plmn-IdentityIndex-r15          INTEGER (1..maxPLMN-r11),
    uac-AC-BarringListType-r15     CHOICE {
        uac-ImplicitAC-BarringList-r15 SEQUENCE (SIZE(maxAccessCat-1-r15)) OF UAC-
BarringInfoSetIndex-r15,
        uac-ExplicitAC-BarringList-r15 UAC-BarringPerCatList-r15
    } OPTIONAL  -- Need OR
}

UAC-BarringPerCatList-r15 ::= SEQUENCE (SIZE (1..maxAccessCat-1-r15)) OF UAC-BarringPerCat-r15

UAC-BarringPerCat-r15 ::= SEQUENCE {
    accessCategory-r15              INTEGER (1..maxAccessCat-1-r15),
    uac-barringInfoSetIndex-r15     UAC-BarringInfoSetIndex-r15
}

UAC-BarringInfoSetIndex-r15 ::= INTEGER (1..maxBarringInfoSet-r15)
UAC-BarringInfoSetList-r15 ::= SEQUENCE (SIZE (1..maxBarringInfoSet-r15)) OF UAC-BarringInfoSet-
r15

UAC-BarringInfoSet-r15 ::= SEQUENCE {
    uac-BarringFactor-r15           ENUMERATED {
        p00, p05, p10, p15, p20, p25, p30, p40,
        p50, p60, p70, p75, p80, p85, p90, p95},
    uac-BarringTime-r15             ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512},
    uac-BarringForAccessIdentity-r15 BIT STRING (SIZE(7))
}

UAC-AC1-SelectAssistInfo-r15 ::= ENUMERATED {a, b, c}

-- ASN1STOP
```

| <b>SystemInformationBlockType25 field descriptions</b>   |
|--|
| <b>accessCategory</b><br>The Access Category according to TS 22.261 [96].  |
| <b>uac-AC-BarringListType</b><br>Access control parameters for each access category valid only for a specific PLMN. UE behaviour upon absence of this field is specified in clause 5.3.16.2.   |
| <b>uac-AC1-SelectAssistInfo</b><br>Information used to determine whether Access Category 1 applies to the UE, as defined in TS 22.261 [96]. The field is forwarded to upper layers, if present.  |
| <b>uac-BarringFactor</b><br>Represents the probability that access attempt would be allowed during access barring check.   |
| <b>uac-BarringForAccessIdentity</b><br>Indicates whether access attempt is allowed for each Access Identity. The leftmost bit, bit 0 in the bit string corresponds to Access Identity 1, bit 1 in the bit string corresponds to Access Identity 2, bit 2 in the bit string corresponds to Access Identity 11, bit 3 in the bit string corresponds to Access Identity 12 and so on. Value 0 means that access attempt is allowed for the corresponding access identity. |
| <b>uac-BarringForCommon</b><br>Common access control parameters for each access category. Common values are used for all PLMNs, unless overwritten by the PLMN specific configuration provided in <i>uac-BarringPerPLMN-List</i> . The parameters are specified by providing an index to the set of configurations ( <i>uac-BarringInfoSetList</i> ). UE behaviour upon absence of this field is specified in clause 5.3.16.2.   |
| <b>uac-barringInfoSetIndex</b><br>Index of the entry in field <i>uac-BarringInfoSetList</i> . Value 1 corresponds to the first entry in <i>uac-BarringInfoSetList</i> , value 2 corresponds to the second entry in this list and so on. An index value referring to an entry not included in <i>uac-BarringInfoSetList</i> indicates no barring.   |
| <b>uac-BarringInfoSetList</b><br>List of access control parameter sets. Each access category can be configured with access parameters corresponding to a particular set by <i>uac-barringInfoSetIndex</i> . Association of an access category with an index that has no corresponding entry in the <i>uac-BarringInfoSetList</i> is valid configuration and indicates no barring.  |
| <b>uac-BarringPerPLMN-List</b><br>Access control parameters for each access category valid only for a specific PLMN.   |
| <b>uac-BarringTime</b><br>The average time in seconds before a new access attempt is to be performed after an access attempt was barred at access barring check for the same access category, see 5.3.16.5.  |

## – SystemInformationBlockType26

The IE *SystemInformationBlockType26* contains V2X sidelink communication configurations which can be used jointly with those included in *SystemInformationBlockType21*.

### SystemInformationBlockType26 information element

```

-- ASN1START
SystemInformationBlockType26-r15 ::= SEQUENCE {
    v2x-InterFreqInfoList-r15          SL-InterFreqInfoListV2X-r14          OPTIONAL, -- Need OR
    cbr-pssch-TxConfigList-r15        SL-CBR-PPPP-TxConfigList-r15      OPTIONAL, -- Need OR
    v2x-PacketDuplicationConfig-r15   SL-V2X-PacketDuplicationConfig-r15 OPTIONAL, -- Need OR
    syncFreqList-r15                 SL-V2X-SyncFreqList-r15          OPTIONAL, -- Need OR
    slss-TxMultiFreq-r15              ENUMERATED{true}                 OPTIONAL, -- Need OR
    v2x-FreqSelectionConfigList-r15   SL-V2X-FreqSelectionConfigList-r15 OPTIONAL, -- Need OR
    threshS-RSSI-CBR-r15              INTEGER (0..45)                  OPTIONAL, -- Need OR
    . . .
    lateNonCriticalExtension           OCTET STRING                      OPTIONAL
}
-- ASN1STOP

```

| <b>SystemInformationBlockType26 field descriptions</b>   |
|--|
| <p><b><i>cbr-pssch-TxConfigList</i></b><br/>Indicates the mapping between PPPPs, CBR ranges by using indexes of the entry in <i>cbr-RangeCommonConfigList</i> included in SIB21, and PSSCH transmission parameters and CR limit by using indexes of the entry in <i>sl-CBR-PSSCH-TxConfigList</i> included in SIB21. The configurations in this field apply to all the resource pools on all the carrier frequencies included in SIB26 for V2X sidelink communication transmission. The <i>mcs-PSSCH-RangeList-r15</i> included in this field also applies to all the resource pools on all the carrier frequencies included in SIB21 for V2X sidelink communication transmission.</p> |
| <p><b><i>slss-TxMultiFreq</i></b><br/>Value TRUE indicates the UE transmits SLSS on multiple carrier frequencies for V2X sidelink communication. If this field is absent, the UE transmits SLSS only on the synchronisation carrier frequency.</p>   |
| <p><b><i>syncFreqList</i></b><br/>Indicates a list of candidate carrier frequencies that can be used for the synchronisation of V2X sidelink communication.</p>  |
| <p><b><i>threshS-RSSI-CBR</i></b><br/>Indicates the S-RSSI threshold for determining the contribution of a sub-channel to the CBR measurement, as specified in TS 36.214 [48]. Value 0 corresponds to -112 dBm, value 1 to -110 dBm, value n to (-112 + n*2) dBm, and so on. If included, the <i>threshS-RSSI-CBR</i> in <i>SL-CommResourcePoolV2X</i> in SIB26 is absent.</p>   |
| <p><b><i>v2x-FreqSelectionConfigList</i></b><br/>Indicates the configuration information for the carrier selection for V2X sidelink communication transmission on the carrier frequency where the field is broadcast.</p>  |
| <p><b><i>v2x-PacketDuplicationConfig</i></b><br/>Indicates the configuration information for sidelink packet duplication for V2X sidelink communication.</p>   |
| <p><b><i>v2x-InterFreqInfoList</i></b><br/>If this field includes a carrier frequency which is included in SIB21 and some configuration(s) for that carrier are already included in SIB21, the corresponding configuration(s) for that carrier frequency are not included in this field.</p>   |

## 6.3.2 Radio resource control information elements

### – *AntennaInfo*

The IE *AntennaInfoCommon* and the *AntennaInfoDedicated* are used to specify the common and the UE specific antenna configuration respectively.

#### **AntennaInfo information elements**

```
-- ASN1START
AntennaInfoCommon ::=
    SEQUENCE {
        antennaPortsCount
            ENUMERATED {an1, an2, an4, spare1}
    }

AntennaInfoDedicated ::=
    SEQUENCE {
        transmissionMode
            ENUMERATED {
                tm1, tm2, tm3, tm4, tm5, tm6,
                tm7, tm8-v920},
        codebookSubsetRestriction
            CHOICE {
                n2TxAntenna-tm3
                    BIT STRING (SIZE (2)),
                n4TxAntenna-tm3
                    BIT STRING (SIZE (4)),
                n2TxAntenna-tm4
                    BIT STRING (SIZE (6)),
                n4TxAntenna-tm4
                    BIT STRING (SIZE (64)),
                n2TxAntenna-tm5
                    BIT STRING (SIZE (4)),
                n4TxAntenna-tm5
                    BIT STRING (SIZE (16)),
                n2TxAntenna-tm6
                    BIT STRING (SIZE (4)),
                n4TxAntenna-tm6
                    BIT STRING (SIZE (16))
            }
            OPTIONAL,
            -- Cond TM
        ue-TransmitAntennaSelection
            CHOICE{
                release
                    NULL,
                setup
                    ENUMERATED {closedLoop, openLoop}
            }
    }

AntennaInfoDedicated-v920 ::=
    SEQUENCE {
        codebookSubsetRestriction-v920
            CHOICE {
                n2TxAntenna-tm8-r9
                    BIT STRING (SIZE (6)),
                n4TxAntenna-tm8-r9
                    BIT STRING (SIZE (32))
            }
            OPTIONAL
            -- Cond TM8
    }
-- ASN1END
```

```

AntennaInfoDedicated-r10 ::= SEQUENCE {
  transmissionMode-r10          ENUMERATED {
    tm1, tm2, tm3, tm4, tm5, tm6, tm7, tm8-v920,
    tm9-v1020, tm10-v1130, spare6, spare5, spare4,
    spare3, spare2, spare1},
  codebookSubsetRestriction-r10 BIT STRING OPTIONAL, -- Cond TMX
  ue-TransmitAntennaSelection CHOICE {
    release NULL,
    setup   ENUMERATED {closedLoop, openLoop}
  }
}

AntennaInfoDedicated-v10i0 ::= SEQUENCE {
  maxLayersMIMO-r10          ENUMERATED {twoLayers, fourLayers, eightLayers} OPTIONAL --
Need OR
}

AntennaInfoDedicated-v1250 ::= SEQUENCE {
  alternativeCodebookEnabledFor4TX-r12 BOOLEAN
}

AntennaInfoDedicated-v1430 ::= SEQUENCE {
  ce-UE-TxAntennaSelection-config-r14          ENUMERATED {on} OPTIONAL -- Need OR
}

AntennaInfoDedicatedSTTI-r15 ::= CHOICE {
  release NULL,
  setup   SEQUENCE {
    transmissionModeDL-MBSFN-r15          ENUMERATED {tm9, tm10} OPTIONAL, -- Need OR
    transmissionModeDL-nonMBSFN-r15      ENUMERATED {tm1, tm2, tm3, tm4, tm6, tm8, tm9,
    tm10} OPTIONAL, -- Need OR
    codebookSubsetRestriction CHOICE {
      n2TxAntenna-tm3-r15          BIT STRING (SIZE (2)),
      n4TxAntenna-tm3-r15          BIT STRING (SIZE (4)),
      n2TxAntenna-tm4-r15          BIT STRING (SIZE (6)),
      n4TxAntenna-tm4-r15          BIT STRING (SIZE (64)),
      n2TxAntenna-tm5-r15          BIT STRING (SIZE (4)),
      n4TxAntenna-tm5-r15          BIT STRING (SIZE (16)),
      n2TxAntenna-tm6-r15          BIT STRING (SIZE (4)),
      n4TxAntenna-tm6-r15          BIT STRING (SIZE (16)),
      n2TxAntenna-tm8-r15          BIT STRING (SIZE (6)),
      n4TxAntenna-tm8-r15          BIT STRING (SIZE (64)),
      n2TxAntenna-tm9and10-r15     BIT STRING (SIZE (6)),
      n4TxAntenna-tm9and10-r15     BIT STRING (SIZE (96)),
      n8TxAntenna-tm9and10-r15     BIT STRING (SIZE (109))
    } OPTIONAL, -- Cond TM
    maxLayersMIMO-STTI-r15          ENUMERATED {twoLayers, fourLayers} OPTIONAL, -- Need OR
    slotSubslotPDSCH-TxDiv-2Layer-r15 BOOLEAN,
    slotSubslotPDSCH-TxDiv-4Layer-r15 BOOLEAN
  }
}

AntennaInfoDedicated-v1530 ::= CHOICE {
  release NULL,
  setup   CHOICE {
    ue-TxAntennaSelection-SRS-1T4R-Config-r15          NULL,
    ue-TxAntennaSelection-SRS-2T4R-NrOfPairs-r15      ENUMERATED {two, three}
  }
}

-- ASN1STOP

```

| <b>AntennaInfo field descriptions</b>   |
|---|
| <p><b>alternativeCodebookEnabledFor4TX</b><br/>Indicates whether code book in TS 36.213 [23] Table 7.2.4-0A to Table 7.2.4-0D is being used for deriving CSI feedback and reporting. E-UTRAN only configures the field if the UE is configured with a) <i>tm8</i> with 4 CRS ports, <i>tm9</i> or <i>tm10</i> with 4 CSI-RS ports and b) PMI/RI reporting.</p>  |
| <p><b>antennaPortsCount</b><br/>Parameter represents the number of cell specific antenna ports where an1 corresponds to 1, an2 to 2 antenna ports etc. see TS 36.211 [21], clause 6.2.1.</p>  |
| <p><b>ce-ue-TxAntennaSelection-config</b><br/>Configuration of UL closed-loop transmit antenna selection for non-BL UE in CE Mode A, see TS 36.212 [22].</p>  |
| <p><b>codebookSubsetRestriction</b><br/>Parameter: <i>codebookSubsetRestriction</i>, see TS 36.213 [23], clause 7.2 and TS 36.211 [21], clause 6.3.4.2.3. The number of bits in the <i>codebookSubsetRestriction</i> for applicable transmission modes is defined in TS 36.213 [23], Table 7.2-1b. If the UE is configured with <i>transmissionMode</i> <i>tm8</i>, E-UTRAN configures the field <i>codebookSubsetRestriction</i> if PMI/RI reporting is configured. If the UE is configured with <i>transmissionMode</i> <i>tm9</i>, E-UTRAN configures the field <i>codebookSubsetRestriction</i> if PMI/RI reporting is configured and if the number of CSI-RS ports is greater than 1. E-UTRAN does not configure the field <i>codebookSubsetRestriction</i> in other cases where the UE is configured with <i>transmissionMode</i> <i>tm8</i> or <i>tm9</i>. Furthermore, E-UTRAN does not configure the field <i>codebookSubsetRestriction</i> if the UE is configured with <i>eMIMO-Type</i> unless it is set to <i>beamformed</i>, <i>alternativeCodebookEnabledBeamformed</i> is set to <i>FALSE</i> and <i>csi-RS-ConfigNZPIdListExt</i> is not configured.</p> |
| <p><b>maxLayersMIMO</b><br/>Indicates the maximum number of layers for spatial multiplexing used to determine the rank indication bit width and Kc determination of the soft buffer size for the corresponding serving cell according to TS 36.212 [22]. EUTRAN configures this field only when <i>transmissionMode</i> is set to <i>tm3</i>, <i>tm4</i>, <i>tm9</i> or <i>tm10</i> for the corresponding serving cell. When configuring the field for a serving cell which <i>transmissionMode</i> is set to <i>tm3</i> or <i>tm4</i>, EUTRAN only configures value <i>fourLayers</i>: For a serving cell which <i>transmissionMode</i> is set to <i>tm9</i> or <i>tm10</i>, EUTRAN only configures the field only if <i>intraBandContiguousCC-InfoList</i> or <i>FeatureSetDL-PerCC</i> is indicated for the band and the band combination of the corresponding serving cell or the UE supports <i>maxLayersMIMO-Indication</i>.</p>  |
| <p><b>maxLayersMIMO-STTI</b><br/>Indicates the maximum number of layers, for each serving cell, to be used when determining if the shifted DMRS pattern is applicable TS 36.211 [21], clause 6.10.3.2.</p>  |
| <p><b>slotSubslotPDSCH-TxDiv-2Layer, slotSubslotPDSCH-TxDiv-4Layer</b><br/>Indicates the table to be used in case of dynamic TX diversity fallback for TM9 and 10 for up to 2-layer/4-layer slot or subslot PDSCH operation, see TS 36.212 [22], clause 5.3.3.1.22.</p>   |
| <p><b>transmissionMode</b><br/>Points to one of Transmission modes defined in TS 36.213 [23], clause 7.1, where <i>tm1</i> refers to transmission mode 1, <i>tm2</i> to transmission mode 2 etc.</p>  |
| <p><b>transmissionModeDL-MBSFN</b><br/>Indicates, for MBSFN, the transmission mode as defined in TS 36.213 [23], clause 7.1, where <i>tm1</i> refers to transmission mode 1, <i>tm2</i> to transmission mode 2 etc for slot or subslot operation. In case of FDD, TM8 is not applicable.</p>  |
| <p><b>transmissionModeDL-nonMBSFN</b><br/>Indicates, for non-MBSFN, the transmission mode as defined in TS 36.213 [23], clause 7.1, where <i>tm1</i> refers to transmission mode 1, <i>tm2</i> to transmission mode 2 etc. for slot or subslot operation. In case of FDD, TM8 is not applicable.</p>  |
| <p><b>ue-TransmitAntennaSelection</b><br/>For value <i>setup</i>, the field indicates whether UE transmit antenna selection control is closed-loop or open-loop as described in TS 36.213 [23], clause 8.7.</p>   |
| <p><b>ue-TxAntennaSelection-SRS-1T4R-Config</b><br/>Configuration of UL closed-loop transmit antenna selection for UE to select one antenna among four antennas to transmit SRS for the corresponding serving cell as described in TS 36.213 [23]. When <i>ue-TxAntennaSelection-SRS-1T4R-Config</i> and <i>ue-TransmitAntennaSelection</i> are configured simultaneously for a given serving cell, the UE selects one of the first two antennas for PUSCH transmission and selects one antenna among four antennas at each SRS instance for SRS transmission for the corresponding serving cell as described in TS 36.213 [23].</p>  |
| <p><b>ue-TxAntennaSelection-SRS-2T4R-NrOfPairs</b><br/>Presence of the field indicates configuration of UL closed-loop transmit antenna selection for UE to select two antennas among four antennas to transmit SRS simultaneously for the corresponding serving cell as described in TS 36.213 [23]. Further, the field indicates the number of antenna pairs to select from for SRS transmission for a given serving cell as described in TS 36.213 [23]. Value two indicates the UE to select one antenna pair between two antenna pairs to transmit SRS simultaneously at each SRS instance for the corresponding serving cell. Value three indicates the UE to select one antenna pair among three antenna pairs to transmit SRS simultaneously at each SRS instance for the corresponding serving cell. EUTRAN does not simultaneously configure <i>ue-TransmitAntennaSelection</i> and <i>ue-TxAntennaSelection-SRS-2T4R-NrOfPairs</i> for a given serving cell.</p>   |

| Conditional presence | Explanation  |
|----------------------|--|
| <i>TM</i>            | The field is mandatory present if the <i>transmissionMode</i> is set to <i>tm3</i> , <i>tm4</i> , <i>tm5</i> or <i>tm6</i> . Otherwise the field is not present and the UE shall delete any existing value for this field.   |
| <i>TM8</i>           | The field is optional present, need OR, if <i>AntennaInfoDedicated</i> is included and <i>transmissionMode</i> is set to <i>tm8</i> . If <i>AntennaInfoDedicated</i> is included and <i>transmissionMode</i> is set to a value other than <i>tm8</i> , the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present. |
| <i>TMX</i>           | The field is mandatory present if the <i>transmissionMode-r10</i> is set to <i>tm3</i> , <i>tm4</i> , <i>tm5</i> or <i>tm6</i> . The field is optionally present, need OR, if the <i>transmissionMode-r10</i> is set to <i>tm8</i> or <i>tm9</i> . Otherwise the field is not present and the UE shall delete any existing value for this field.                               |

## – AntennaInfoUL

The IE *AntennaInfoUL* is used to specify the UL antenna configuration.

### AntennaInfoUL information elements

```
-- ASN1START
AntennaInfoUL-r10 ::= SEQUENCE {
    transmissionModeUL-r10      ENUMERATED {tm1, tm2, spare6, spare5,
                                             spare4, spare3, spare2, spare1} OPTIONAL, --
Need OR
    fourAntennaPortActivated-r10  ENUMERATED {setup}          OPTIONAL          -- Need OR
}
AntennaInfoUL-STTI-r15 ::= SEQUENCE {
    transmissionModeUL-STTI-r15  ENUMERATED {tm1, tm2}          OPTIONAL          -- Need OR
}
-- ASN1STOP
```

### AntennaInfoUL field descriptions

#### **fourAntennaPortActivated**

Parameter indicates if four antenna ports are used. See TS 36.213 [23], clause 8.2. E-UTRAN optionally configures *fourAntennaPortActivated* only if *transmissionModeUL* is set to *tm2*.

#### **transmissionModeUL**

Points to one of UL Transmission modes defined in TS 36.213 [23], clause 8.0, where *tm1* refers to transmission mode 1, *tm2* to transmission mode 2 etc.

#### **transmissionModeUL-STTI**

Indicates the UL transmission mode as defined in TS 36.213 [23], clause 8.0, where *tm1* refers to transmission mode 1 and *tm2* to transmission mode 2 for slot or subslot operation.

## – AUL-Config

The IE *AUL-Config* is used to specify the autonomous uplink configuration.

### AUL-Config information element

```
-- ASN1START
AUL-Config-r15 ::= CHOICE {
    release      NULL,
    setup       SEQUENCE {
        aul-CRNTI-r15          C-RNTI,
        aul-Subframes-r15     BIT STRING (SIZE (40)),
        aul-HARQ-Processes-r15 INTEGER (1..16),
        transmissionModeUL-AUL-r15  ENUMERATED {tm1,tm2},
        aul-StartingFullBW-InsideMCOT-r15  BIT STRING (SIZE (5)),
        aul-StartingFullBW-OutsideMCOT-r15  BIT STRING (SIZE (7)),
        aul-StartingPartialBW-InsideMCOT-r15  ENUMERATED {o34, o43, o52, o61, oOS1},
        aul-StartingPartialBW-OutsideMCOT-r15  ENUMERATED {o16, o25, o34, o43, o52, o61, oOS1},
        aul-RetransmissionTimer-r15  ENUMERATED {psf4, psf5, psf6, psf8, psf10, psf12,
        psf20, psf28, psf37, psf44, psf68, psf84, psf100,
        psf116, psf132, psf164, psf324},
        endingSymbolAUL-r15  INTEGER(12..13),
        subframeOffsetCOT-Sharing-r15  INTEGER(2..4),
    }
}
```

```

    contentionWindowSizeTimer-r15      ENUMERATED {n0, n5, n10}
  }
}
-- ASN1STOP

```

### AUL-Config field descriptions

|  |
|--|
| <p><b>aul-CRNTI</b><br/>AUL C-RNTI, see TS 36.321 [6].</p>   |
| <p><b>aul-HARQ-Processes</b><br/>This field indicates which HARQ process IDs are configured for AUL operation as described in TS 36.321 [6]. In case tm1 is configured for the <i>transmissionModeUL-AUL</i> the number of configured HARQ processes equals to field value. In case tm2 is configured for the <i>transmissionModeUL-AUL</i> the number of configured HARQ processes equals to double of the field value. The largest value of the HARQ process ID is equal to the number of configured HARQ processes - 1.</p>   |
| <p><b>aul-RetransmissionTimer</b><br/>This timer is used to restrict both new transmission and retransmission for the same HARQ process for AUL operation as described in TS 36.321 [6]. Value psf4 corresponds to 4 PDCCH subframes etc.</p>  |
| <p><b>aul-StartingFullBW-InsideMCOT</b><br/>This field indicates the AUL-specific set of PUSCH starting offset values for the AUL transmission inside of eNB obtained MCOT when a UE configured with AUL configuration is allocated to occupy the full channel bandwidth as described in TS 36.213 [23], clause 8.0. The first/leftmost bit corresponds to value 34, second bit corresponds to value 43, third bit corresponds to value 52, fourth bit corresponds to value 61 and last bit corresponds to value OS#1.</p>   |
| <p><b>aul-StartingFullBW-OutsideMCOT</b><br/>This field indicates the AUL-specific set of PUSCH starting offset values for the AUL transmission outside of eNB obtained MCOT when a UE configured with AUL configuration is allocated to occupy the full channel bandwidth as described in TS 36.213 [23], clause 8.0. The first/leftmost bit corresponds to value 16, second bit corresponds to value 25, third bit corresponds to value 34, fourth bit corresponds to value 43, fifth bit corresponds to value 52, sixth bit corresponds to value 61 and last bit corresponds to value OS#1.</p> |
| <p><b>aul-StartingPartialBW-InsideMCOT</b><br/>This field indicates the exact AUL-specific PUSCH starting offset value for the AUL transmission inside of eNB obtained MCOT when a UE configured with AUL configuration is allocated to occupy partial channel bandwidth as described in TS 36.213 [23], clause 8.0. The value o34 corresponds to 34, and the value o43 corresponds to 43 and so on.</p>   |
| <p><b>aul-StartingPartialBW-OutsideMCOT</b><br/>This field indicates the exact AUL-specific PUSCH starting offset value for the AUL transmission outside of eNB obtained MCOT when a UE configured with AUL configuration is allocated to occupy partial channel bandwidth as described in TS 36.213 [23], clause 8.0. The value o16 corresponds to 16, the value o25 corresponds to 25 and so on.</p>   |
| <p><b>aul-Subframes</b><br/>This field indicates which subframes are allowed for AUL operation as described in TS 36.321 [6]. The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod 4 = 0. Value 0 in the bitmap indicates that the corresponding subframe is not allowed for AUL. Value 1 in the bitmap indicates that the corresponding subframe is allowed for AUL.</p>   |
| <p><b>contentionWindowSizeTimer</b><br/>This field indicates contention window size adjustment timer as described in TS 37.213 [94], clause 4.2.2. The value n0 corresponds to 0ms, value n5 corresponds to 5ms, value n10 corresponds to 10ms. The value is set to n0 or n5 if the absence of other technologies on the same carrier cannot be guaranteed. The value is set to n0 or n10 if the absence of other technologies on the same carrier can be guaranteed.</p>  |
| <p><b>endingSymbolAUL</b><br/>This field indicates PUSCH ending symbol of the last AUL subframe in an AUL burst as described in TS 36.211 [21], clause 4.1.3.</p>  |
| <p><b>subframeOffsetCOT-Sharing</b><br/>This field is COT sharing indication parameter X indicating if subframe n+X is an applicable subframe for UL to DL sharing as described in TS 37.213 [94], clause 4.1.3.</p>   |
| <p><b>transmissionModeUL-AUL</b><br/>This field indicates which UL transmission mode is used for AUL as described in TS 36.213 [23], clause 8.0, where tm1 refers to transmission mode 1, tm2 to transmission mode 2.</p>  |

### CQI-ReportAperiodic

The IE *CQI-ReportAperiodic* is used to specify the aperiodic CQI reporting configuration.

### CQI-ReportAperiodic information elements

```
-- ASN1START
```



```

CQI-ReportAperiodic-r10 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    cqi-ReportModeAperiodic-r10  CQI-ReportModeAperiodic,
    aperiodicCSI-Trigger-r10     SEQUENCE {
      trigger1-r10                BIT STRING (SIZE (8)),
      trigger2-r10                BIT STRING (SIZE (8))
    }
  }
}
OPTIONAL -- Need OR

CQI-ReportAperiodic-v1250 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    aperiodicCSI-Trigger-v1250   SEQUENCE {
      trigger-SubframeSetIndicator-r12  ENUMERATED {s1, s2},
      trigger1-SubframeSetIndicator-r12  BIT STRING (SIZE (8)),
      trigger2-SubframeSetIndicator-r12  BIT STRING (SIZE (8))
    }
  }
}

CQI-ReportAperiodic-v1310 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    aperiodicCSI-Trigger-v1310   SEQUENCE {
      trigger1-r13                BIT STRING (SIZE (32)),
      trigger2-r13                BIT STRING (SIZE (32)),
      trigger3-r13                BIT STRING (SIZE (32)),
      trigger4-r13                BIT STRING (SIZE (32)),
      trigger5-r13                BIT STRING (SIZE (32)),
      trigger6-r13                BIT STRING (SIZE (32))
    }
    aperiodicCSI-Trigger2-r13    CHOICE {
      release      NULL,
      setup       SEQUENCE {
        trigger1-SubframeSetIndicator-r13  BIT STRING (SIZE (32)),
        trigger2-SubframeSetIndicator-r13  BIT STRING (SIZE (32)),
        trigger3-SubframeSetIndicator-r13  BIT STRING (SIZE (32)),
        trigger4-SubframeSetIndicator-r13  BIT STRING (SIZE (32)),
        trigger5-SubframeSetIndicator-r13  BIT STRING (SIZE (32)),
        trigger6-SubframeSetIndicator-r13  BIT STRING (SIZE (32))
      }
    }
  }
}
OPTIONAL -- Need ON

CQI-ReportAperiodicProc-r11 ::= SEQUENCE {
  cqi-ReportModeAperiodic-r11  CQI-ReportModeAperiodic,
  trigger01-r11                 BOOLEAN,
  trigger10-r11                 BOOLEAN,
  trigger11-r11                 BOOLEAN
}

CQI-ReportAperiodicProc-v1310 ::= SEQUENCE {
  trigger001-r13                BOOLEAN,
  trigger010-r13                BOOLEAN,
  trigger011-r13                BOOLEAN,
  trigger100-r13                BOOLEAN,
  trigger101-r13                BOOLEAN,
  trigger110-r13                BOOLEAN,
  trigger111-r13                BOOLEAN
}

CQI-ReportAperiodicHybrid-r14 ::= SEQUENCE {
  triggers-r14                  CHOICE {
    oneBit-r14                   SEQUENCE {
      trigger1-Indicator-r14      BIT STRING (SIZE (8))
    },
    twoBit-r14                   SEQUENCE {
      trigger01-Indicator-r14     BIT STRING (SIZE (8)),
      trigger10-Indicator-r14     BIT STRING (SIZE (8)),
      trigger11-Indicator-r14     BIT STRING (SIZE (8))
    },
    threeBit-r14                 SEQUENCE {
      trigger001-Indicator-r14    BIT STRING (SIZE (32)),
      trigger010-Indicator-r14    BIT STRING (SIZE (32)),
      trigger011-Indicator-r14    BIT STRING (SIZE (32))
    }
  }
}

```

```
        trigger100-Indicator-r14      BIT STRING (SIZE (32)) ,
        trigger101-Indicator-r14      BIT STRING (SIZE (32)),
        trigger110-Indicator-r14      BIT STRING (SIZE (32)),
        trigger111-Indicator-r14      BIT STRING (SIZE (32))
    }
}
OPTIONAL -- Need OR
}
CQI-ReportModeAperiodic ::=          ENUMERATED {
    rm12, rm20, rm22, rm30, rm31,
    rm32-v1250, rm10-v1310, rm11-v1310
}
-- ASN1STOP
```

| <b>CQI-ReportAperiodic field descriptions</b>   |
|---|
| <p><b>aperiodicCSI-Trigger</b><br/>Indicates for which serving cell(s) the aperiodic CSI report is triggered when one or more SCells are configured. <i>trigger1-r10</i> corresponds to the CSI request field 10 while <i>trigger1-r13</i> corresponds to the CSI request field 010, <i>trigger2-r10</i> corresponds to the CSI request field 11 while <i>trigger2-r13</i> corresponds to the CSI request field 011, <i>trigger3-r13</i> corresponds to the CSI request field 100, see TS 36.213 [23], table 7.2.1-1A and table 7.2.1-1D, and so on. The leftmost bit, bit 0 in the bit string corresponds to the cell with <i>ServCellIndex</i>=0 and bit 1 in the bit string corresponds to the cell with <i>ServCellIndex</i>=1 etc. Each bit has either value 0 (means no aperiodic CSI report is triggered) or value 1 (means the aperiodic CSI report is triggered). At most 5 bits can be set to value 1 in the bit string in <i>aperiodicCSI-Trigger-r10</i> and in <i>aperiodicCSI-Trigger-v1250</i> and at most 32 bits can be set to value 1 in the bit string in <i>aperiodicCSI-Trigger-v1310</i>. E-UTRAN configures value 1 only for cells configured with <i>transmissionMode</i> set in range <i>tm1</i> to <i>tm9</i>. One value applies for all serving cells configured with <i>transmissionMode</i> set in range <i>tm1</i> to <i>tm9</i> and belonging to the same PUCCH group (the associated functionality is common i.e. not performed independently for each cell).</p> |
| <p><b>trigger-SubframeSetIndicator</b><br/>For a serving cell configured with <i>csi-MeasSubframeSets-r12</i>, indicates for which CSI subframe set the aperiodic CSI report is triggered for the serving cell if the aperiodic CSI is triggered by the CSI request field 01 or 001, see TS 36.213 [23], table 7.2.1-1C or table 7.2.1-1E. Value <i>s1</i> corresponds to CSI subframe set 1 and value <i>s2</i> corresponds to CSI subframe set 2.</p>   |
| <p><b>trigger001</b><br/>Indicates whether or not reporting for this CSI-process or reporting for this CSI-process corresponding to a CSI subframe set is triggered by CSI request field set to 001, for a CSI request applicable for the serving cell on the same frequency as the CSI process, see TS 36.213 [23], table 7.2.1-1D and 7.2.1-E.</p>  |
| <p><b>trigger001-IndicatorN..trigger111-IndicatorN</b><br/>Indicates for which eMIMO-Type the aperiodic CSI report is triggered (the corresponding CSI process, CSI subframe set)-pair(s) and/or a serving cell) as applicable, See TS 36.213 [23], table 7.2.1-1A, 7.2.1-1B, and 7.2.1-1C.</p>   |
| <p><b>trigger01</b><br/>Indicates whether or not reporting for this CSI-process or reporting for this CSI-process corresponding to a CSI subframe set is triggered by CSI request field set to 01, for a CSI request applicable for the serving cell on the same frequency as the CSI process, see TS 36.213 [23], table 7.2.1-1D and 7.2.1-1E.</p>   |
| <p><b>trigger010, trigger011, trigger100, trigger101, Trigger110, Trigger111</b><br/>Indicates whether or not reporting for this CSI-process or reporting for this CSI-process corresponding to a CSI subframe set is triggered by CSI request field set to 010, 011, 100, 101, 110 or 111, see TS 36.213 [23], table 7.2.1-1D and 7.2.1-1E.</p>  |
| <p><b>trigger10, trigger11</b><br/>Indicates whether or not reporting for this CSI-process or reporting for this CSI-process corresponding to a CSI subframe set is triggered by CSI request field set to 10 or 11, see TS 36.213 [23], table 7.2.1-1B. EUTRAN configures at most 5 CSI processes, across all serving frequencies within each CG, to be triggered by a CSI request field set to value 10. The same restriction applies for value 11. In case E-UTRAN simultaneously triggers CSI requests for more than 5 CSI processes some limitations apply, see TS 36.213 [23].</p>   |
| <p><b>trigger1-SubframeSetIndicator</b><br/>If signalled in the <i>aperiodicCSI-Trigger-v1250</i>, indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 10, see TS 36.213 [23], table 7.2.1-1C, or by the CSI request field 010, see TS 36.213 [23], table 7.2.1-1E. The leftmost bit, bit 0 in the bit string corresponds to the cell with <i>ServCellIndex</i>=0 and bit 1 in the bit string corresponds to the cell with <i>ServCellIndex</i>=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).</p>  |
| <p><b>trigger2-SubframeSetIndicator</b><br/>If signalled in the <i>aperiodicCSI-Trigger-v1250</i>, indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 11, see TS 36.213 [23], table 7.2.1-1C, or by the CSI request field 011, see TS 36.213 [23], table 7.2.1-1E. The leftmost bit, bit 0 in the bit string corresponds to the cell with <i>ServCellIndex</i>=0 and bit 1 in the bit string corresponds to the cell with <i>ServCellIndex</i>=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).</p>  |
| <p><b>trigger3-SubframeSetIndicator</b><br/>Indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 100, see TS 36.213 [23], table 7.2.1-1E. The leftmost bit, bit 0 in the bit string corresponds to the cell with <i>ServCellIndex</i>=0 and bit 1 in the bit string corresponds to the cell with <i>ServCellIndex</i>=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).</p>   |
| <p><b>trigger4-SubframeSetIndicator</b><br/>Indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 101, see TS 36.213 [23], table 7.2.1-1E. The leftmost bit, bit 0 in the bit string corresponds to the cell with <i>ServCellIndex</i>=0 and bit 1 in the bit string corresponds to the cell with <i>ServCellIndex</i>=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).</p>   |

**CQI-ReportAperiodic field descriptions****trigger5-SubframeSetIndicator**

Indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 110, see TS 36.213 [23], table 7.2.1-1E. The leftmost bit, bit 0 in the bit string corresponds to the cell with *ServCellIndex*=0 and bit 1 in the bit string corresponds to the cell with *ServCellIndex* =1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

**trigger6-SubframeSetIndicator**

Indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 111, see TS 36.213 [23], table 7.2.1-1E. The leftmost bit, bit 0 in the bit string corresponds to the cell with *ServCellIndex*=0 and bit 1 in the bit string corresponds to the cell with *ServCellIndex* =1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

**CQI-ReportBoth**

The IE *CQI-ReportBoth* is used to specify the CQI reporting configuration common to both periodic and aperiodic configurations.

**CQI-ReportBoth information elements**

```
-- ASN1START
CQI-ReportBoth-r11 ::=          SEQUENCE {
  csi-IM-ConfigToReleaseList-r11  CSI-IM-ConfigToReleaseList-r11  OPTIONAL,  -- Need ON
  csi-IM-ConfigToAddModList-r11   CSI-IM-ConfigToAddModList-r11   OPTIONAL,  -- Need ON
  csi-ProcessToReleaseList-r11     CSI-ProcessToReleaseList-r11     OPTIONAL,  -- Need ON
  csi-ProcessToAddModList-r11      CSI-ProcessToAddModList-r11      OPTIONAL,  -- Need ON
}

CQI-ReportBoth-v1250 ::=       SEQUENCE {
  csi-IM-ConfigToReleaseListExt-r12 CSI-IM-ConfigId-v1250  OPTIONAL,  -- Need ON
  csi-IM-ConfigToAddModListExt-r12  CSI-IM-ConfigExt-r12   OPTIONAL,  -- Need ON
}

CQI-ReportBoth-v1310 ::=       SEQUENCE {
  csi-IM-ConfigToReleaseListExt-r13 CSI-IM-ConfigToReleaseListExt-r13  OPTIONAL,  -- Need ON
  csi-IM-ConfigToAddModListExt-r13  CSI-IM-ConfigToReleaseListExt-r13  OPTIONAL,  -- Need ON
}

CSI-IM-ConfigToAddModList-r11 ::= SEQUENCE (SIZE (1..maxCSI-IM-r11)) OF CSI-IM-Config-r11
CSI-IM-ConfigToAddModListExt-r13 ::= SEQUENCE (SIZE (1..maxCSI-IM-v1310)) OF CSI-IM-ConfigExt-r12
CSI-IM-ConfigToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxCSI-IM-r11)) OF CSI-IM-ConfigId-r11
CSI-IM-ConfigToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxCSI-IM-v1310)) OF CSI-IM-ConfigId-
v1310
CSI-ProcessToAddModList-r11 ::= SEQUENCE (SIZE (1..maxCSI-Proc-r11)) OF CSI-Process-r11
CSI-ProcessToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxCSI-Proc-r11)) OF CSI-ProcessId-r11
CQI-ReportBothProc-r11 ::= SEQUENCE {
  ri-Ref-CSI-ProcessId-r11          CSI-ProcessId-r11          OPTIONAL,  -- Need OR
  pmi-RI-Report-r11                 ENUMERATED {setup}         OPTIONAL,  -- Need OR
}
-- ASN1STOP
```

| <b>CQI-ReportBoth field descriptions</b>   |
|--|
| <p><b>csi-IM-ConfigToAddModList</b><br/>For a serving frequency E-UTRAN configures one or more <i>CSI-IM-Config</i> only when transmission mode 10 is configured for the serving cell on this carrier frequency.</p>   |
| <p><b>csi-ProcessToAddModList</b><br/>For a serving frequency E-UTRAN configures one or more <i>CSI-Process</i> only when transmission mode 10 is configured for the serving cell on this carrier frequency.</p>   |
| <p><b>cqi-ReportModeAperiodic</b><br/>Parameter: <i>reporting mode</i>. Value <i>rm12</i> corresponds to Mode 1-2, <i>rm20</i> corresponds to Mode 2-0, <i>rm22</i> corresponds to Mode 2-2 etc. PUSCH reporting modes are described in TS 36.213 [23], clause 7.2.1. The UE shall ignore <i>cqi-ReportModeAperiodic-r10</i> when transmission mode 10 is configured for the serving cell on this carrier frequency. The UE shall ignore <i>cqi-ReportModeAperiodic-r10</i> configured for the PCell/ PSCell when the transmission bandwidth of the PCell/PSCell in downlink is 6 resource blocks.</p> |
| <p><b>pmi-RI-Report</b><br/>See TS 36.213 [23], clause 7.2. The presence of this field means PMI/RI reporting is configured; otherwise the PMI/RI reporting is not configured. EUTRAN configures this field only when <i>transmissionMode</i> is set to <i>tm8</i>, <i>tm9</i> or <i>tm10</i>. The UE shall ignore <i>pmi-RI-Report-r9/ pmi-RI-Report-r10</i> when transmission mode 10 is configured for the serving cell on this carrier frequency.</p>  |
| <p><b>ri-Ref-CSI-ProcessId</b><br/>CSI process whose RI value the UE inherits when reporting RI, in the same subframe, for CSI reporting. E-UTRAN ensures that the CSI process that inherits the RI value is configured in accordance with the conditions specified in TS 36.213 [23], clauses 7.2.1 and 7.2.2.</p>  |

## CQI-ReportConfig

The IE *CQI-ReportConfig* is used to specify the CQI reporting configuration.

### CQI-ReportConfig information elements

```

-- ASN1START
CQI-ReportConfig ::= SEQUENCE {
    cqi-ReportModeAperiodic CQI-ReportModeAperiodic OPTIONAL, -- Need OR
    nomPDSCH-RS-EPRE-Offset INTEGER (-1..6),
    cqi-ReportPeriodic CQI-ReportPeriodic OPTIONAL -- Need ON
}

CQI-ReportConfig-v920 ::= SEQUENCE {
    cqi-Mask-r9 ENUMERATED {setup} OPTIONAL, -- Cond cqi-Setup
    pmi-RI-Report-r9 ENUMERATED {setup} OPTIONAL -- Cond PMIRI
}

CQI-ReportConfig-r10 ::= SEQUENCE {
    cqi-ReportAperiodic-r10 CQI-ReportAperiodic-r10 OPTIONAL, -- Need ON
    nomPDSCH-RS-EPRE-Offset INTEGER (-1..6),
    cqi-ReportPeriodic-r10 CQI-ReportPeriodic-r10 OPTIONAL, -- Need ON
    pmi-RI-Report-r9 ENUMERATED {setup} OPTIONAL, -- Cond
    PMIRIPCell
    csi-SubframePatternConfig-r10 CHOICE {
        release NULL,
        setup SEQUENCE {
            csi-MeasSubframeSet1-r10 MeasSubframePattern-r10,
            csi-MeasSubframeSet2-r10 MeasSubframePattern-r10
        }
    }
} OPTIONAL -- Need ON

CQI-ReportConfig-v1130 ::= SEQUENCE {
    cqi-ReportPeriodic-v1130 CQI-ReportPeriodic-v1130,
    cqi-ReportBoth-r11 CQI-ReportBoth-r11
}

CQI-ReportConfig-v1250 ::= SEQUENCE {
    csi-SubframePatternConfig-r12 CHOICE {
        release NULL,
        setup SEQUENCE {
            csi-MeasSubframeSets-r12 BIT STRING (SIZE (10))
        }
    }
} OPTIONAL, -- Need ON
cqi-ReportBoth-v1250 CQI-ReportBoth-v1250 OPTIONAL, -- Need ON
cqi-ReportAperiodic-v1250 CQI-ReportAperiodic-v1250 OPTIONAL, -- Need ON
altCQI-Table-r12 ENUMERATED {

```

```

    allSubframes, csi-SubframeSet1,
    csi-SubframeSet2, spare1} OPTIONAL -- Need OP
}
CQI-ReportConfig-v1310 ::= SEQUENCE {
    cqi-ReportBoth-v1310 CQI-ReportBoth-v1310 OPTIONAL, -- Need ON
    cqi-ReportAperiodic-v1310 CQI-ReportAperiodic-v1310 OPTIONAL, -- Need ON
    cqi-ReportPeriodic-v1310 CQI-ReportPeriodic-v1310 OPTIONAL, -- Need ON
}
CQI-ReportConfig-v1320 ::= SEQUENCE {
    cqi-ReportPeriodic-v1320 CQI-ReportPeriodic-v1320 OPTIONAL -- Need ON
}
CQI-ReportConfig-v1430 ::= SEQUENCE {
    cqi-ReportAperiodicHybrid-r14 CQI-ReportAperiodicHybrid-r14 OPTIONAL -- Need ON
}
CQI-ReportConfig-v1530 ::= SEQUENCE {
    altCQI-Table-1024QAM-r15 ENUMERATED {
        allSubframes, csi-SubframeSet1,
        csi-SubframeSet2, spare1} OPTIONAL -- Need OP
}
CQI-ReportConfig-r15 ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        cqi-ReportConfig-r10 CQI-ReportConfig-r10 OPTIONAL, -- Need ON
        cqi-ReportConfig-v1130 CQI-ReportConfig-v1130 OPTIONAL, -- Need ON
        cqi-ReportConfigPCell-v1250 CQI-ReportConfig-v1250 OPTIONAL, -- Need ON
        cqi-ReportConfig-v1310 CQI-ReportConfig-v1310 OPTIONAL, -- Need ON
        cqi-ReportConfig-v1320 CQI-ReportConfig-v1320 OPTIONAL, -- Need ON
        cqi-ReportConfig-v1430 CQI-ReportConfig-v1430 OPTIONAL, -- Need ON
        altCQI-Table-1024QAM-r15 ENUMERATED {allSubframes, csi-SubframeSet1,
        csi-SubframeSet2, spare1} OPTIONAL -- Need OP
    }
}
CQI-ReportConfigSCell-r10 ::= SEQUENCE {
    cqi-ReportModeAperiodic-r10 CQI-ReportModeAperiodic OPTIONAL, -- Need OR
    nomPDSCH-RS-EPRE-Offset-r10 INTEGER (-1..6),
    cqi-ReportPeriodicSCell-r10 CQI-ReportPeriodic-r10 OPTIONAL, -- Need ON
    pmi-RI-Report-r10 ENUMERATED {setup} OPTIONAL -- Cond
}
PMIRISCell
}
CQI-ReportConfigSCell-r15 ::= SEQUENCE {
    cqi-ReportPeriodicSCell-r15 CQI-ReportPeriodicSCell-r15 OPTIONAL, -- Need ON
    altCQI-Table-1024QAM-r15 ENUMERATED {allSubframes, csi-SubframeSet1,
    csi-SubframeSet2, spare1} OPTIONAL -- Need
}
OP
}
-- ASN1STOP

```

| <b>CQI-ReportConfig field descriptions</b>  |
|---|
| <p><b>altCQI-Table, altCQI-Table-1024QAM</b><br/>Indicates the applicability of the alternative CQI table (i.e. Table 7.2.3-2 and Table 7.2.3-4 in TS 36.213 [23]) for both aperiodic and periodic CSI reporting for the concerned serving cell. Value <i>allSubframes</i> means the alternative CQI table applies to all the subframes and CSI processes, if configured, and value <i>csi-SubframeSet1</i> means the alternative CQI table applies to CSI subframe set1, and value <i>csi-SubframeSet2</i> means the alternative CQI table applies to CSI subframe set2. EUTRAN sets the value to <i>csi-SubframeSet1</i> or <i>csi-SubframeSet2</i> only if <i>transmissionMode</i> is set in range <i>tm1</i> to <i>tm9</i> and <i>csi-SubframePatternConfig-r10</i> is configured for the concerned serving cell and different CQI tables apply to the two CSI subframe sets; otherwise EUTRAN sets the value to <i>allSubframes</i>. EUTRAN does not configure <i>altCQI-Table-r12</i> in <i>CQI-ReportConfig-v1250</i> and <i>altCQI-Table-1024QAM-r15</i> in <i>CQI-ReportConfig-v1530</i> or in <i>CQI-ReportConfigSCell-r15</i> in the same serving cell simultaneously. If <i>altCQI-Table-r12</i> and <i>altCQI-Table-1024QAM-r15</i> are absent, the UE shall use Table 7.2.3-1 in TS 36.213 [23] for all subframes and CSI processes, if configured.</p> |
| <p><b>cqi-Mask</b><br/>Limits CQI/PMI/PTI/RI reports to the on-duration period of the DRX cycle, see TS 36.321 [6]. One value applies for all CSI processes and all serving cells (the associated functionality is common i.e. not performed independently for each cell).</p>  |
| <p><b>cqi-ReportAperiodic</b><br/>E-UTRAN does not configure <i>CQI-ReportAperiodic</i> when transmission mode 10 is configured for all serving cells. E-UTRAN configures <i>cqi-ReportAperiodic-v1250</i> only if <i>cqi-ReportAperiodic-r10</i> and <i>csi-MeasSubframeSets-r12</i> are configured. E-UTRAN configures <i>cqi-ReportAperiodic-v1310</i> only if <i>cqi-ReportAperiodic-r10</i> is configured.</p>   |
| <p><b>cqi-ReportModeAperiodic</b><br/>Parameter: <i>reporting mode</i>. Value <i>rm12</i> corresponds to Mode 1-2, <i>rm20</i> corresponds to Mode 2-0, <i>rm22</i> corresponds to Mode 2-2 etc. PUSCH reporting modes are described in TS 36.213 [23], clause 7.2.1. The UE shall ignore <i>cqi-ReportModeAperiodic-r10</i> when transmission mode 10 is configured for the serving cell on this carrier frequency. The UE shall ignore <i>cqi-ReportModeAperiodic-r10</i> configured for the PCell/ PSCell when the transmission bandwidth of the PCell/PSCell in downlink is 6 resource blocks.</p>  |
| <p><b>cqi-ReportPeriodic</b><br/>E-UTRAN does not configure <i>CQI-ReportPeriodic</i> for sTTI within <i>CQI-ReportConfig</i>.</p>  |
| <p><b>csi-MeasSubframeSets</b><br/>Indicates the two CSI subframe sets. Value 0 means the subframe belongs to CSI subframe set 1 and value 1 means the subframe belongs to CSI subframe set 2. CSI subframe set 1 refers to <math>C_{CSI,0}</math> in TS 36.213 [23], clause 7.2, and CSI subframe set 2 refers to <math>C_{CSI,1}</math> in TS 36.213 [23], clause 7.2. EUTRAN does not configure <i>csi-MeasSubframeSet1-r10</i> and <i>csi-MeasSubframeSet2-r10</i> if either <i>csi-MeasSubframeSets-r12</i> for PCell or <i>eimta-MainConfigPCell-r12</i> is configured.</p>   |
| <p><b>csi-MeasSubframeSet1, csi-MeasSubframeSet2</b><br/>Indicates the CSI measurement subframe sets. <i>csi-MeasSubframeSet1</i> refers to <math>C_{CSI,0}</math> in TS 36.213 [23], clause 7.2 and <i>csi-MeasSubframeSet2</i> refers to <math>C_{CSI,1}</math> in TS 36.213 [23], clause 7.2. E-UTRAN only configures the two CSI measurement subframe sets for the PCell.</p>   |
| <p><b>nomPDSCH-RS-EPRE-Offset</b><br/>Parameter: <math>\Delta_{offset}</math> see TS 36.213 [23], clause 7.2.3. Actual value = field value * 2 [dB].</p>  |
| <p><b>pmi-RI-Report</b><br/>See TS 36.213 [23], clause 7.2. The presence of this field means PMI/RI reporting is configured; otherwise the PMI/RI reporting is not configured. EUTRAN configures this field only when <i>transmissionMode</i> is set to <i>tm8</i>, <i>tm9</i> or <i>tm10</i>. The UE shall ignore <i>pmi-RI-Report-r9</i>/ <i>pmi-RI-Report-r10</i> when transmission mode 10 is configured for the serving cell on this carrier frequency.</p>  |

| Conditional presence | Explanation   |
|----------------------|---|
| <i>cqi-Setup</i>     | This field is not present for an Scell except for the PSCell, while it is conditionally present for the PCell and the PSCell according to the following. The field is optional present, need OR, if the <i>cqi-ReportPeriodic</i> in the <i>cqi-ReportConfig</i> is set to <i>setup</i> . If the field <i>cqi-ReportPeriodic</i> is present and set to <i>release</i> , the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present.   |
| <i>PMIRI</i>         | The field is optional present, need OR, if <i>cqi-ReportPeriodic</i> is included and set to <i>setup</i> , or <i>cqi-ReportModeAperiodic</i> is included. If the field <i>cqi-ReportPeriodic</i> is present and set to <i>release</i> and <i>cqi-ReportModeAperiodic</i> is absent, the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present.   |
| <i>PMIRIPCell</i>    | The field is optional present, need OR, if <i>cqi-ReportPeriodic</i> is included in the <i>CQI-ReportConfig-r10</i> and set to <i>setup</i> , or <i>cqi-ReportAperiodic</i> is included in the <i>CQI-ReportConfig-r10</i> and set to <i>setup</i> . If the field <i>cqi-ReportPeriodic</i> is present in the <i>CQI-ReportConfig-r10</i> and set to <i>release</i> and <i>cqi-ReportAperiodic</i> is included in the <i>CQI-ReportConfig-r10</i> and set to <i>release</i> , the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present. |
| <i>PMIRISCell</i>    | The field is optional present, need OR, if <i>cqi-ReportPeriodicSCell</i> is included and set to <i>setup</i> , or <i>cqi-ReportModeAperiodic-r10</i> is included in the <i>CQI-ReportConfigSCell</i> . If the field <i>cqi-ReportPeriodicSCell</i> is present and set to <i>release</i> and <i>cqi-ReportModeAperiodic-r10</i> is absent in the <i>CQI-ReportConfigSCell</i> , the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present.   |

## – CQI-ReportPeriodic

The IE *CQI-ReportPeriodic* is used to specify the periodic CQI reporting configuration elements.

### CQI-ReportPeriodic information elements

```
-- ASN1START
CQI-ReportPeriodic ::= CHOICE {
  release          NULL,
  setup            SEQUENCE {
    cqi-PUCCH-ResourceIndex    INTEGER (0..1185),
    cqi-pmi-ConfigIndex        INTEGER (0..1023),
    cqi-FormatIndicatorPeriodic CHOICE {
      widebandCQI              NULL,
      subbandCQI               SEQUENCE {
        k                       INTEGER (1..4)
      }
    },
    ri-ConfigIndex            INTEGER (0..1023)    OPTIONAL,      -- Need OR
    simultaneousAckNackAndCQI BOOLEAN
  }
}

CQI-ReportPeriodic-r10 ::= CHOICE {
  release          NULL,
  setup            SEQUENCE {
    cqi-PUCCH-ResourceIndex-r10    INTEGER (0..1184),
    cqi-PUCCH-ResourceIndexP1-r10  INTEGER (0..1184)    OPTIONAL,      -- Need OR
    cqi-pmi-ConfigIndex            INTEGER (0..1023),
    cqi-FormatIndicatorPeriodic-r10 CHOICE {
      widebandCQI-r10              SEQUENCE {
        csi-ReportMode-r10         ENUMERATED {submode1, submode2}    OPTIONAL  -- Need OR
      },
      subbandCQI-r10               SEQUENCE {
        k                           INTEGER (1..4),
        periodicityFactor-r10       ENUMERATED {n2, n4}
      }
    },
    ri-ConfigIndex                INTEGER (0..1023)    OPTIONAL,      -- Need OR
    simultaneousAckNackAndCQI     BOOLEAN,
    cqi-Mask-r9                    ENUMERATED {setup}    OPTIONAL,      -- Need OR
    csi-ConfigIndex-r10            CHOICE {
      release                      NULL,
      setup                        SEQUENCE {
        cqi-pmi-ConfigIndex2-r10    INTEGER (0..1023),
        ri-ConfigIndex2-r10         INTEGER (0..1023)    OPTIONAL  -- Need OR
      }
    }
  }
}
-- ASN1END
```



```

}

CQI-ReportPeriodic-v1130 ::= SEQUENCE {
    simultaneousAckNackAndCQI-Format3-r11    ENUMERATED {setup}    OPTIONAL,    -- Need OR
    cqi-ReportPeriodicProcExtToReleaseList-r11 CQI-ReportPeriodicProcExtToReleaseList-r11
    OPTIONAL,    -- Need ON
    cqi-ReportPeriodicProcExtToAddModList-r11 CQI-ReportPeriodicProcExtToAddModList-r11    OPTIONAL
    -- Need ON
}

CQI-ReportPeriodic-v1310 ::= SEQUENCE {
    cri-ReportConfig-r13    CRI-ReportConfig-r13    OPTIONAL,    -- Need OR
    simultaneousAckNackAndCQI-Format4-Format5-r13    ENUMERATED {setup}    OPTIONAL-- Need OR
}

CQI-ReportPeriodic-v1320 ::= SEQUENCE {
    periodicityFactorWB-r13    ENUMERATED {n2, n4}    OPTIONAL    -- Need OR
}

CQI-ReportPeriodicSCell-r15 ::= CHOICE {
    release    NULL,
    setup    SEQUENCE {
        cqi-pmi-ConfigIndexDormant-r15    INTEGER (0..1023),
        ri-ConfigIndexDormant-r15    INTEGER (0..1023)    OPTIONAL,    -- Need OR
        csi-SubframePatternDormant-r15    CHOICE {
            release    NULL,
            setup    SEQUENCE {
                csi-MeasSubframeSet1-r15    MeasSubframePattern-r10,
                csi-MeasSubframeSet2-r15    MeasSubframePattern-r10
            }
        }
        OPTIONAL,    -- Need ON
        cqi-FormatIndicatorDormant-r15    CHOICE {
            widebandCQI-r15    SEQUENCE {
                csi-ReportMode-r15    ENUMERATED {submode1, submode2}    OPTIONAL    -- Need OR
            },
            subbandCQI-r15    SEQUENCE {
                k-r15    INTEGER (1..4),
                periodicityFactor-r15    ENUMERATED {n2, n4}
            }
        }
    }
    OPTIONAL    -- Need OR
}

CQI-ReportPeriodicProcExtToAddModList-r11 ::= SEQUENCE (SIZE (1..maxCQI-ProcExt-r11)) OF CQI-ReportPeriodicProcExt-r11

CQI-ReportPeriodicProcExtToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxCQI-ProcExt-r11)) OF CQI-ReportPeriodicProcExtId-r11

CQI-ReportPeriodicProcExt-r11 ::= SEQUENCE {
    cqi-ReportPeriodicProcExtId-r11    CQI-ReportPeriodicProcExtId-r11,
    cqi-pmi-ConfigIndex-r11    INTEGER (0..1023),
    cqi-FormatIndicatorPeriodic-r11    CHOICE {
        widebandCQI-r11    SEQUENCE {
            csi-ReportMode-r11    ENUMERATED {submode1, submode2}    OPTIONAL    -- Need OR
        },
        subbandCQI-r11    SEQUENCE {
            k    INTEGER (1..4),
            periodicityFactor-r11    ENUMERATED {n2, n4}
        }
    },
    ri-ConfigIndex-r11    INTEGER (0..1023)    OPTIONAL,    -- Need OR
    csi-ConfigIndex-r11    CHOICE {
        release    NULL,
        setup    SEQUENCE {
            cqi-pmi-ConfigIndex2-r11    INTEGER (0..1023),
            ri-ConfigIndex2-r11    INTEGER (0..1023)    OPTIONAL    -- Need OR
        }
    }
    OPTIONAL,    -- Need ON
    ...,
    [[ cri-ReportConfig-r13    CRI-ReportConfig-r13    OPTIONAL    -- Need ON
    ]],
    [[ periodicityFactorWB-r13    ENUMERATED {n2, n4}    OPTIONAL    -- Need ON
    ]]
}

CQI-ShortConfigSCell-r15 ::= CHOICE {

```

```

release      NULL,
setup
  cqi-pmi-ConfigIndexShort-r15  INTEGER (0..1023),
  ri-ConfigIndexShort-r15      INTEGER (0..1023)      OPTIONAL,      -- Need OR
  cqi-FormatIndicatorShort-r15  CHOICE {
    widebandCQI-Short-r15      SEQUENCE {
      csi-ReportModeShort-r15  ENUMERATED {submode1, submode2} OPTIONAL      -- Need OR
    },
    subbandCQI-Short-r15      SEQUENCE {
      k-r15                    INTEGER (1..4),
      periodicityFactor-r15    ENUMERATED {n2, n4}
    }
  }
}
OPTIONAL      -- Need OR
}

CRI-ReportConfig-r13 ::=
  CHOICE {
    release
  setup
    cri-ConfigIndex-r13      CRI-ConfigIndex-r13,
    cri-ConfigIndex2-r13    CRI-ConfigIndex-r13 OPTIONAL      -- Need OR
  }
}

CRI-ConfigIndex-r13 ::=
  INTEGER (0..1023)

-- ASN1STOP

```

| <b>CQI-ReportPeriodic field descriptions</b>  |
|---|
| <p><b>cqi-FormatIndicatorPeriodic</b><br/>Parameter: <i>PUCCH CQI Feedback Type</i>, see TS 36.213 [23], table 7.2.2-1. Depending on transmissionMode, reporting mode is implicitly given from the table.</p>   |
| <p><b>cqi-Mask</b><br/>Limits CQI/PMI/PTI/RI reports to the on-duration period of the DRX cycle, see TS 36.321 [6]. One value applies for all CSI processes and all serving cells (the associated functionality is common i.e. not performed independently for each cell).</p>  |
| <p><b>cqi-pmi-ConfigIndex</b><br/>Parameter: <i>CQI/PMI Periodicity and Offset Configuration Index <math>I_{CQI/PMI}</math></i>, see TS 36.213 [23], tables 7.2.2-1A and 7.2.2-1C. If subframe patterns for CSI (CQI/PMI/PTI/RI) reporting are configured (i.e. <i>csi-SubframePatternConfig</i> is configured), the parameter applies to the subframe pattern corresponding to <i>csi-MeasSubframeSet1</i> or corresponding to the CSI subframe set 1 indicated by <i>csi-MeasSubframeSets-r12</i>.</p>  |
| <p><b>cqi-pmi-ConfigIndex2</b><br/>Parameter: <i>CQI/PMI Periodicity and Offset Configuration Index <math>I_{CQI/PMI}</math></i>, see TS 36.213 [23], tables 7.2.2-1A and 7.2.2-1C. The parameter applies to the subframe pattern corresponding to <i>csi-MeasSubframeSet2</i> or corresponding to the CSI subframe set 2 indicated by <i>csi-MeasSubframeSets-r12</i>.</p>   |
| <p><b>cqi-PUCCH-ResourceIndex, cqi-PUCCH-ResourceIndexP1</b><br/>Parameter <math>n_{PUCCH}^{(2,p)}</math> for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 7.2. E-UTRAN does not apply value 1185. One value applies for all CSI processes.</p>   |
| <p><b>cqi-ReportAperiodic</b><br/>E-UTRAN does not configure <i>CQI-ReportAperiodic</i> when transmission mode 10 is configured for all serving cells. E-UTRAN configures <i>cqi-ReportAperiodic-v1250</i> only if <i>cqi-ReportAperiodic-r10</i> and <i>csi-MeasSubframeSets-r12</i> are configured. E-UTRAN configures <i>cqi-ReportAperiodic-v1310</i> only if <i>cqi-ReportAperiodic-r10</i> is configured.</p>   |
| <p><b>cqi-ReportModeAperiodic</b><br/>Parameter: <i>reporting mode</i>. Value rm12 corresponds to Mode 1-2, rm20 corresponds to Mode 2-0, rm22 corresponds to Mode 2-2 etc. PUSCH reporting modes are described in TS 36.213 [23], clause 7.2.1. The UE shall ignore <i>cqi-ReportModeAperiodic-r10</i> when transmission mode 10 is configured for the serving cell on this carrier frequency. The UE shall ignore <i>cqi-ReportModeAperiodic-r10</i> configured for the PCell/ PSCell when the transmission bandwidth of the PCell/PSCell in downlink is 6 resource blocks.</p> |
| <p><b>CQI-ReportPeriodicProcExt</b><br/>A set of periodic CQI related parameters for which E-UTRAN may configure different values for each CSI process. For a serving frequency E-UTRAN configures one or more <i>CQI-ReportPeriodicProcExt</i> only when transmission mode 10 is configured for the serving cell on this carrier frequency.</p>  |
| <p><b>cri-ConfigIndex</b><br/>Parameter: <i>cri-ConfigIndex <math>I_{CRI}</math></i> see TS 36.213 [23]. The parameter applies to the subframe pattern corresponding to <i>csi-MeasSubframeSet1</i>. EUTRAN configures the field if subframe patterns for CSI (CQI/PMI/PTI/RI/CRI) reporting are configured (i.e. <i>csi-SubframePatternConfig</i> is configured).</p>  |
| <p><b>cri-ConfigIndex2</b><br/>Parameter: <i>cri-ConfigIndex <math>I_{CRI}</math></i> see TS 36.213 [23]. The parameter applies to the subframe pattern corresponding to <i>csi-MeasSubframeSet2</i> or corresponding to the CSI subframe set 2 indicated by <i>csi-MeasSubframeSets</i>. E-UTRAN configures <i>cri-ConfigIndex2</i> only if <i>cri-ConfigIndex</i> is configured.</p>  |
| <p><b>cri-ReportConfig</b><br/>E-UTRAN configures the field only if the UE is configured with <i>eMIMO-Type</i> set to "beamformed" and if multiple references to RS configuration using non-zero power transmission are configured (i.e. if <i>csi-RS-ConfigNZPIdListExt</i> is configured).</p>   |
| <p><b>csi-ConfigIndex</b><br/>E-UTRAN configures <i>csi-ConfigIndex</i> only for PCell and only if <i>csi-SubframePatternConfig</i> is configured. The UE shall release <i>csi-ConfigIndex</i> if <i>csi-SubframePatternConfig</i> is released.</p>   |
| <p><b>csi-ProcessToAddModList</b><br/>For a serving frequency E-UTRAN configures one or more <i>CSI-Process</i> only when transmission mode 10 is configured for the serving cell on this carrier frequency.</p>  |
| <p><b>csi-ReportMode</b><br/>Parameter: <i>PUCCH_format1-1_CSI_reporting_mode</i>, see TS 36.213 [23], clause 7.2.2.</p>  |
| <p><b>K</b><br/>Parameter: K, see TS 36.213 [23], clause 7.2.2.</p>   |
| <p><b>nomPDSCH-RS-EPRE-Offset</b><br/>Parameter: <math>\Delta_{offset}</math> see TS 36.213 [23], clause 7.2.3. Actual value = field value * 2 [dB].</p>  |
| <p><b>periodicityFactor, periodicityFactorWB</b><br/>Parameter: <math>H'</math>, see TS 36.213 [23], clause 7.2.2. EUTRAN configures field <i>periodicityFactorWB</i> only when the UE is configured with <i>eMIMO-Type</i> set to <i>nonPrecoded</i> and with <i>cqi-FormatIndicatorPeriodic</i> set to <i>widebandCQI</i>.</p>  |
| <p><b>ri-ConfigIndex</b><br/>Parameter: <i>RI Config Index <math>I_{RI}</math></i>, see TS 36.213 [23], clause 7.2.2-1B. If subframe patterns for CSI (CQI/PMI/PTI/RI/CRI) reporting are configured (i.e. <i>csi-SubframePatternConfig</i> is configured), the parameter applies to the subframe pattern corresponding to <i>csi-MeasSubframeSet1</i>.</p>  |

| <b>CQI-ReportPeriodic field descriptions</b>  |
|---|
| <p><b><i>ri-ConfigIndex2</i></b><br/> Parameter: <i>RI Config Index I<sub>RI</sub></i>, see TS 36.213 [23], clause 7.2.2-1B. The parameter applies to the subframe pattern corresponding to <i>csi-MeasSubframeSet2</i> or corresponding to the CSI subframe set 2 indicated by <i>csi-MeasSubframeSets-r12</i>. E-UTRAN configures <i>ri-ConfigIndex2</i> only if <i>ri-ConfigIndex</i> is configured.</p>   |
| <p><b><i>simultaneousAckNackAndCQI</i></b><br/> Parameter: <i>Simultaneous-AN-and-CQI</i>, see TS 36.213 [23], clause 10.1. TRUE indicates that simultaneous transmission of ACK/NACK and CQI is allowed. One value applies for all CSI processes. For SCells except for the PSCell and PUCCH SCell this field is not applicable and the UE shall ignore the value.</p>   |
| <p><b><i>simultaneousAckNackAndCQI-Format3</i></b><br/> Indicates that the UE shall perform simultaneous transmission of HARQ A/N and periodic CQI report multiplexing on PUCCH format 3, see TS 36.213 [23], clauses 7.2 and 10.1.1. E-UTRAN configures this information only when <i>pucch-Format</i> is set to <i>format3</i>. One value applies for all CSI processes. For SCells except for the PSCell and PUCCH SCell this field is not applicable and the UE shall ignore the value.</p>                               |
| <p><b><i>simultaneousAckNackAndCQI-Format4-Format5</i></b><br/> Indicates that the UE shall perform simultaneous transmission of HARQ A/N and periodic CSI report multiplexing on PUCCH format 4 and format 5, see TS 36.213 [23], clause 10.1.1. E-UTRAN configures this information only when <i>pucch-Format</i> is set to <i>format4</i> or <i>format5</i>. One value applies for all CSI processes. For SCells except for the PSCell and PUCCH SCell this field is not applicable and the UE shall ignore the value.</p> |

### – *CQI-ReportPeriodicProcExtId*

The IE *CQI-ReportPeriodicProcExtId* is used to identify a periodic CQI reporting configuration that E-UTRAN may configure in addition to the configuration specified by the IE *CQI-ReportPeriodic-r10*. These additional configurations are specified by the IE *CQI-ReportPeriodicProcExt-r11*. The identity is unique within the scope of a carrier frequency.

#### ***CQI-ReportPeriodicProcExtId* information elements**

```
-- ASN1START
CQI-ReportPeriodicProcExtId-r11 ::= INTEGER (1..maxCQI-ProcExt-r11)
-- ASN1STOP
```

### – *CrossCarrierSchedulingConfig*

The IE *CrossCarrierSchedulingConfig* is used to specify the configuration when the cross carrier scheduling is used in a cell.

#### ***CrossCarrierSchedulingConfig* information elements**

```
-- ASN1START
CrossCarrierSchedulingConfig-r10 ::= SEQUENCE {
  schedulingCellInfo-r10 CHOICE {
    own-r10 SEQUENCE { -- No cross carrier
      scheduling
        cif-Presence-r10 BOOLEAN
      },
    other-r10 SEQUENCE { -- Cross carrier
      scheduling
        schedulingCellId-r10 ServCellIndex-r10,
        pdsch-Start-r10 INTEGER (1..4)
      }
    }
}

CrossCarrierSchedulingConfig-r13 ::= SEQUENCE {
  schedulingCellInfo-r13 CHOICE {
    own-r13 SEQUENCE { -- No cross carrier
      scheduling
        cif-Presence-r13 BOOLEAN
      },
    other-r13 SEQUENCE { -- Cross carrier scheduling
      schedulingCellId-r13 ServCellIndex-r13,
      pdsch-Start-r13 INTEGER (1..4),
      cif-InSchedulingCell-r13 INTEGER (1..7)
    }
  }
}
```

```

}
}
CrossCarrierSchedulingConfigLAA-UL-r14 ::= SEQUENCE {
    schedulingCellId-r14          ServCellIndex-r13,
    cif-InSchedulingCell-r14     INTEGER (1..7)
}
-- ASN1STOP

```

#### **CrossCarrierSchedulingConfig field descriptions**

##### **cif-Presence**

The field is used to indicate whether carrier indicator field is present (value TRUE) or not (value FALSE) in PDCCH/EPDCCH DCI formats, see TS 36.212 [22], clause 5.3.3.1.

##### **cif-InSchedulingCell**

The field indicates the CIF value used in the scheduling cell to indicate this cell, see TS 36.212 [22], clause 5.3.3.1. In case of carrier indicator field is present, the CIF value is 0.

##### **pdsch-Start**

The starting OFDM symbol of PDSCH for the concerned SCell, see TS 36.213 [23], clause 7.1.6.4. Values 1, 2, 3 are applicable when *dl-Bandwidth* for the concerned SCell is greater than 10 resource blocks, values 2, 3, 4 are applicable when *dl-Bandwidth* for the concerned SCell is less than or equal to 10 resource blocks, see TS 36.211 [21], Tables 6 and 7-1.

##### **schedulingCellId**

Indicates which cell signals the downlink allocations and uplink grants, if applicable, for the concerned SCell. In case the UE is configured with DC, the scheduling cell is part of the same cell group (i.e. MCG or SCG) as the scheduled cell. In case the UE is configured with *crossCarrierSchedulingConfigLAA-UL*, *schedulingCellId* indicated in *crossCarrierSchedulingConfigLAA-UL* only indicates which cell signals the uplink grants.

## – CSI-IM-Config

The IE *CSI-IM-Config* is the CSI Interference Measurement (IM) configuration that E-UTRAN may configure on a serving frequency, see TS 36.213 [23], clause 7.2.6.

#### **CSI-IM-Config information elements**

```

-- ASN1START

CSI-IM-Config-r11 ::= SEQUENCE {
    csi-IM-ConfigId-r11      CSI-IM-ConfigId-r11,
    resourceConfig-r11      INTEGER (0..31),
    subframeConfig-r11      INTEGER (0..154),
    ...
    [[ interferenceMeasRestriction-r13  BOOLEAN  OPTIONAL  -- Need ON
    ]]
}

CSI-IM-ConfigExt-r12 ::= SEQUENCE {
    csi-IM-ConfigId-v1250    CSI-IM-ConfigId-v1250,
    resourceConfig-r12      INTEGER (0..31),
    subframeConfig-r12      INTEGER (0..154),
    ...
    [[ interferenceMeasRestriction-r13  BOOLEAN  OPTIONAL,  -- Need ON
    csi-IM-ConfigId-v1310    CSI-IM-ConfigId-v1310  OPTIONAL  -- Need ON
    ]]
}

-- ASN1STOP

```

#### **CSI-IM-Config field descriptions**

##### **resourceConfig**

Parameter: CSI reference signal configuration, see TS 36.213 [23], clause 7.2.6 and TS 36.211 [21], tables 6.10.5.2-1 and 6.10.5.2-2 for 4 REs.

##### **subframeConfig**

Parameter:  $I_{\text{CSI-RS}}$ , see TS 36.213 [23], clause 7.2.6 and TS 36.211 [21], table 6.10.5.3-1.

## – CSI-IM-ConfigId

The IE *CSI-IM-ConfigId* is used to identify a CSI-IM configuration that is configured by the IE *CSI-IM-Config*. The identity is unique within the scope of a carrier frequency.

### CSI-IM-ConfigId information elements

```
-- ASN1START
CSI-IM-ConfigId-r11 ::=          INTEGER (1..maxCSI-IM-r11)
CSI-IM-ConfigId-r12 ::=          INTEGER (1..maxCSI-IM-r12)
CSI-IM-ConfigId-v1250 ::=        INTEGER (maxCSI-IM-r12)
CSI-IM-ConfigId-v1310 ::=        INTEGER (minCSI-IM-r13..maxCSI-IM-r13)
CSI-IM-ConfigId-r13 ::=          INTEGER (1..maxCSI-IM-r13)
-- ASN1STOP
```

## – CSI-Process

The IE *CSI-Process* is the CSI process configuration that E-UTRAN may configure on a serving frequency.

### CSI-Process information elements

```
-- ASN1START
CSI-Process-r11 ::= SEQUENCE {
  csi-ProcessId-r11          CSI-ProcessId-r11,
  csi-RS-ConfigNZPId-r11    CSI-RS-ConfigNZPId-r11,
  csi-IM-ConfigId-r11       CSI-IM-ConfigId-r11,
  p-C-AndCBSRList-r11      P-C-AndCBSR-Pair-r13a,
  cqi-ReportBothProc-r11    CQI-ReportBothProc-r11          OPTIONAL,      -- Need OR
  cqi-ReportPeriodicProcId-r11  INTEGER (0..maxCQI-ProcExt-r11)  OPTIONAL,      -- Need OR
  cqi-ReportAperiodicProc-r11 CQI-ReportAperiodicProc-r11  OPTIONAL,      -- Need OR
  ...,
  [[ alternativeCodebookEnabledFor4TXProc-r12  ENUMERATED {true}  OPTIONAL,      -- Need ON
    csi-IM-ConfigIdList-r12  CHOICE {
      release                NULL,
      setup                  SEQUENCE (SIZE (1..2)) OF CSI-IM-ConfigId-r12
    }
    OPTIONAL,      -- Need ON
  cqi-ReportAperiodicProc2-r12  CHOICE {
    release                NULL,
    setup                  CQI-ReportAperiodicProc-r11
  }
  OPTIONAL,      -- Need ON
  ]],
  [[ cqi-ReportAperiodicProc-v1310  CHOICE {
    release                NULL,
    setup                  CQI-ReportAperiodicProc-v1310
  }
  OPTIONAL,      -- Need ON
  cqi-ReportAperiodicProc2-v1310  CHOICE {
    release                NULL,
    setup                  CQI-ReportAperiodicProc-v1310
  }
  OPTIONAL,      -- Need ON
  eMIMO-Type-r13              CSI-RS-ConfigEMIMO-r13          OPTIONAL,      -- Need ON
  ]],
  [[ dummy                    CSI-RS-ConfigEMIMO-v1430          OPTIONAL,      -- Need ON
  eMIMO-Hybrid-r14            CSI-RS-ConfigEMIMO-Hybrid-r14      OPTIONAL,      -- Need ON
  advancedCodebookEnabled-r14  BOOLEAN                  OPTIONAL,      -- Need ON
  ]],
  [[ eMIMO-Type-v1480          CSI-RS-ConfigEMIMO-v1480          OPTIONAL,      -- Need ON
  ]],
  [[ feCOMP-CSI-Enabled-v1530  BOOLEAN                  OPTIONAL,      -- Need ON
  eMIMO-Type-v1530            CSI-RS-ConfigEMIMO-v1530          OPTIONAL,      -- Need ON
  ]]
}
-- ASN1STOP
```

| <b>CSI-Process field descriptions</b>   |
|---|
| <p><b>advancedCodebookEnabled</b><br/>Value TRUE indicates that the UE should use the advanced code book defined in TS 36.213 [23]. EUTRAN does not configure the field when the UE is configured with <i>eMIMO-Type</i> is set to <i>beamformed</i>, when the UE is configured with <i>eMIMO-Hybrid</i> or when the UE is configured with <i>semiOpenLoop</i>.</p>   |
| <p><b>alternativeCodebookEnabledFor4TXProc</b><br/>Indicates whether code book in TS 36.213 [23] Table 7.2.4-0A to Table 7.2.4-0D is being used for deriving CSI feedback and reporting for a CSI process. EUTRAN may configure the field only if the number of CSI-RS ports for non-zero power transmission CSI-RS configuration is 4.</p>   |
| <p><b>cqi-ReportAperiodicProc</b><br/>If <i>csi-MeasSubframeSets-r12</i> is configured for the same frequency as the CSI process, <i>cqi-ReportAperiodicProc</i> applies for CSI subframe set 1. If <i>csi-MeasSubframeSet1-r10</i> or <i>csi-MeasSubframeSet2-r10</i> are configured for the same frequency as the CSI process, <i>cqi-ReportAperiodicProc</i> applies for CSI subframe set 1 or CSI subframe set 2. Otherwise, <i>cqi-ReportAperiodicProc</i> applies for all subframes. E-UTRAN configures <i>cqi-ReportAperiodicProc-v1310</i> only if <i>cqi-ReportAperiodicProc-r11</i> is configured</p> |
| <p><b>cqi-ReportAperiodicProc2</b><br/><i>cqi-ReportAperiodicProc2</i> is configured only if <i>csi-MeasSubframeSets-r12</i> is configured for the same frequency as the CSI process. <i>cqi-ReportAperiodicProc2</i> is for CSI subframe set 2. E-UTRAN shall set <i>cqi-ReportModeAperiodic-r11</i> in <i>cqi-ReportAperiodicProc2</i> the same as in <i>cqi-ReportAperiodicProc</i>. E-UTRAN configures <i>cqi-ReportAperiodicProc2-v1310</i> only if <i>cqi-ReportAperiodicProc2-r12</i> is configured.</p>   |
| <p><b>cqi-ReportBothProc</b><br/>Includes CQI configuration parameters applicable for both aperiodic and periodic CSI reporting, for which CSI process specific values may be configured. E-UTRAN configures the field if and only if <i>cqi-ReportPeriodicProcId</i> is included and/ or if <i>cqi-ReportAperiodicProc</i> is included.</p>  |
| <p><b>cqi-ReportPeriodicProcId</b><br/>Refers to a periodic CQI reporting configuration that is configured for the same frequency as the CSI process. Value 0 refers to the set of parameters defined by the REL-10 CQI reporting configuration fields, while the other values refer to the additional configurations E-UTRAN assigns by <i>CQI-ReportPeriodicProcExt-r11</i> (and as covered by <i>CQI-ReportPeriodicProcExtId</i>).</p>   |
| <p><b>csi-IM-ConfigId</b><br/>Refers to a CSI-IM configuration that is configured for the same frequency as the CSI process. If <i>csi-IM-ConfigId-v1250</i> or <i>csi-IM-ConfigId-v1310</i> is configured, the UE only considers this extension (i.e., UE ignores <i>csi-IM-ConfigId-r11</i> and <i>csi-IM-ConfigId-r12</i>).</p>  |
| <p><b>csi-IM-ConfigIdList</b><br/>Refers to one or two CSI-IM configurations that are configured for the same frequency as the CSI process. <i>csi-IM-ConfigIdList</i> can include 2 entries only if <i>csi-MeasSubframeSets-r12</i> is configured for the same frequency as the CSI process.</p>   |
| <p><b>csi-RS-ConfigNZPId</b><br/>Refers to a CSI RS configuration using non-zero power transmission that is configured for the same frequency as the CSI process.</p>   |
| <p><b>dummy</b><br/>This field is not used in the specification. If received it shall be ignored by the UE.</p>   |
| <p><b>eMIMO-Type</b><br/>Parameter: <i>eMIMO-Type</i>, see TS 36.213 [23], TS 36.211 [21]. If <i>eMIMO-Type</i> is set to <i>nonPrecoded</i>, the codebooks used for deriving CSI feedback are in TS 36.213 [23], Table 7.2.4-10 to Table 7.2.4-17. Choice values <i>nonPrecoded</i> and <i>beamformed</i> correspond to 'CLASS A' and 'CLASS B' respectively, see TS 36.212 [22] and TS 36.213 [23].</p>   |
| <p><b>feCOMP-CSI-Enabled</b><br/>Parameter: <i>FeCoMPCSIEnabled</i>, see TS 36.213 [23], clause 7.1.10. Refers to CSI feedback based on FeCoMP. E-UTRAN only configures the field when the UE is configured with <i>eMIMO-Type-r13</i> set to <i>beamformed</i> with two NZP CSI-RS resources using the IE <i>CSI-RS-ConfigBeamformed-r13</i> which contains the two NZP CSI-RS resources configured with <i>csi-RS-ConfigNZPIdListExt-r13</i>.</p>   |
| <p><b>p-C-AndCBSRList</b><br/>The UE shall ignore <i>p-C-AndCBSRList-r11</i> if configured with <i>eMIMO-Type</i> unless it is set to <i>beamformed</i>, <i>alternativeCodebookEnabledBeamformed</i> (in <i>CSI-RS-ConfigBeamformed</i>) is set to <i>FALSE</i> and <i>csi-RS-ConfigNZPIdListExt</i> is not configured,</p>   |

## – CSI-ProcessId

The IE *CSI-ProcessId* is used to identify a CSI process that is configured by the IE *CSI-Process*. The identity is unique within the scope of a carrier frequency.

### CSI-ProcessId information elements

```
-- ASN1START
```

```
CSI-ProcessId-r11 ::= INTEGER (1..maxCSI-Proc-r11)
```

```
-- ASN1STOP
```

## CSI-RS-Config

The IE *CSI-RS-Config* is used to specify the CSI (Channel-State Information) reference signal configuration.

### CSI-RS-Config information elements

```
-- ASN1START
CSI-RS-Config-r10 ::= SEQUENCE {
  csi-RS-r10 CHOICE {
    release NULL,
    setup SEQUENCE {
      antennaPortsCount-r10 ENUMERATED {an1, an2, an4, an8},
      resourceConfig-r10 INTEGER (0..31),
      subframeConfig-r10 INTEGER (0..154),
      p-C-r10 INTEGER (-8..15)
    }
  }
  zeroTxPowerCSI-RS-r10 ZeroTxPowerCSI-RS-Conf-r12 OPTIONAL, -- Need ON
}

CSI-RS-Config-v1250 ::= SEQUENCE {
  zeroTxPowerCSI-RS2-r12 ZeroTxPowerCSI-RS-Conf-r12 OPTIONAL, -- Need ON
  ds-ZeroTxPowerCSI-RS-r12 CHOICE {
    release NULL,
    setup SEQUENCE {
      zeroTxPowerCSI-RS-List-r12 SEQUENCE (SIZE (1..maxDS-ZTP-CSI-RS-r12)) OF ZeroTxPowerCSI-
RS-r12
    }
  }
} OPTIONAL -- Need ON

CSI-RS-Config-v1310 ::= SEQUENCE {
  eMIMO-Type-r13 CSI-RS-ConfigEMIMO-r13 OPTIONAL -- Need ON
}

CSI-RS-Config-v1430 ::= SEQUENCE {
  dummy CSI-RS-ConfigEMIMO-v1430 OPTIONAL, -- Need ON
  eMIMO-Hybrid-r14 CSI-RS-ConfigEMIMO-Hybrid-r14 OPTIONAL, -- Need ON
  advancedCodebookEnabled-r14 BOOLEAN OPTIONAL -- Need ON
}

CSI-RS-Config-v1480 ::= SEQUENCE {
  eMIMO-Type-v1480 CSI-RS-ConfigEMIMO-v1480 OPTIONAL -- Need ON
}

CSI-RS-Config-v1530 ::= SEQUENCE {
  eMIMO-Type-v1530 CSI-RS-ConfigEMIMO-v1530 OPTIONAL -- Need ON
}

CSI-RS-Config-r15 ::= CHOICE {
  release NULL,
  setup SEQUENCE {
    csi-RS-Config-r10 CSI-RS-Config-r10 OPTIONAL, -- Need ON
    csi-RS-Config-v1250 CSI-RS-Config-v1250 OPTIONAL, -- Need ON
    csi-RS-Config-v1310 CSI-RS-Config-v1310 OPTIONAL, -- Need ON
    csi-RS-Config-v1430 CSI-RS-Config-v1430 OPTIONAL -- Need ON
  }
}

ZeroTxPowerCSI-RS-Conf-r12 ::= CHOICE {
  release NULL,
  setup ZeroTxPowerCSI-RS-r12
}

ZeroTxPowerCSI-RS-r12 ::= SEQUENCE {
  zeroTxPowerResourceConfigList-r12 BIT STRING (SIZE (16)),
  zeroTxPowerSubframeConfig-r12 INTEGER (0..154)
}
-- ASN1STOP
```



| <b>CSI-RS-Config field descriptions</b>   |
|---|
| <p><b>advancedCodebookEnabled</b><br/>Value TRUE indicates that the UE should use the advanced code book defined in TS 36.213 [23]. EUTRAN does not configure the field when the UE is configured with <i>eMIMO-Type</i> is set to <i>beamformed</i>, when the UE is configured with <i>eMIMO-Hybrid</i> or when the UE is configured with <i>semiOpenLoop</i>.</p>                                       |
| <p><b>antennaPortsCount</b><br/>Parameter represents the number of antenna ports used for transmission of CSI reference signals where value an1 corresponds to 1 antenna port, an2 to 2 antenna ports and so on, see TS 36.211 [21], clause 6.10.5.</p>   |
| <p><b>ds-ZeroTxPowerCSI-RS</b><br/>Parameter for additional <i>zeroTxPowerCSI-RS</i> for a serving cell, concerning the CSI-RS included in discovery signals.</p>   |
| <p><b>dummy</b><br/>This field is not used in the specification. If received it shall be ignored by the UE.</p>   |
| <p><b>eMIMO-Type</b><br/>Parameter: <i>eMIMO-Type</i>, see TS 36.213 [23], TS 36.211 [21]. If <i>eMIMO-Type</i> is set to <i>nonPrecoded</i>, the codebooks used for deriving CSI feedback are in TS 36.213 [23], Table 7.2.4-10 to Table 7.2.4-17. Choice values <i>nonPrecoded</i> and <i>beamformed</i> correspond to 'CLASS A' and 'CLASS B' respectively, see TS 36.212 [22] and TS 36.213 [23].</p> |
| <p><b>p-C</b><br/>Parameter: <math>P_c</math>, see TS 36.213 [23], clause 7.2.5. The UE shall ignore <i>p-C-r10</i> if configured with <i>eMIMO-Type</i> unless it is set to <i>beamformed</i>, <i>alternativeCodebookEnabledBeamformed</i> (in <i>CSI-RS-ConfigBeamformed</i>) is set to <i>FALSE</i> and <i>csi-RS-ConfigNZPIdListExt</i> is not configured.</p>  |
| <p><b>resourceConfig</b><br/>Parameter: CSI reference signal configuration, see TS 36.211 [21], tables 6.10.5.2-1 and 6.10.5.2-2.</p>   |
| <p><b>subframeConfig</b><br/>Parameter: <math>I_{\text{CSI-RS}}</math>, see TS 36.211 [21], table 6.10.5.3-1.</p>   |
| <p><b>zeroTxPowerCSI-RS2</b><br/>Parameter for additional <i>zeroTxPowerCSI-RS</i> for a serving cell. E-UTRAN configures the field only if <i>csi-MeasSubframeSets-r12</i> and <i>TM 1 – 9</i> are configured for the serving cell.</p>  |
| <p><b>zeroTxPowerResourceConfigList</b><br/>Parameter: <i>ZeroPowerCSI-RS</i>, see TS 36.213 [23], clause 7.2.7.</p>  |
| <p><b>zeroTxPowerSubframeConfig</b><br/>Parameter: <math>I_{\text{CSI-RS}}</math>, see TS 36.211 [21], table 6.10.5.3-1.</p>  |

## CSI-RS-ConfigBeamformed

The IE *CSI-RS-ConfigBeamformed* is used to specify the beamforming configuration of EBF/ FD-MIMO.

### CSI-RS-ConfigBeamformed information elements

```
-- ASN1START
CSI-RS-ConfigBeamformed-r13 ::= SEQUENCE {
    csi-RS-ConfigNZPIdListExt-r13 SEQUENCE (SIZE (1..7)) OF CSI-RS-ConfigNZPId-r13
    OPTIONAL, -- Need OR
    csi-IM-ConfigIdList-r13 SEQUENCE (SIZE (1..8)) OF CSI-IM-ConfigId-r13
    OPTIONAL, -- Need OR
    p-C-AndCBSR-PerResourceConfigList-r13 SEQUENCE (SIZE (1..8)) OF P-C-AndCBSR-Pair-r13
    OPTIONAL, -- Need OR
    ace-For4Tx-PerResourceConfigList-r13 SEQUENCE (SIZE (1..7)) OF BOOLEAN OPTIONAL, -- Need
OR
    alternativeCodebookEnabledBeamformed-r13 ENUMERATED {true} OPTIONAL, -- Need OR
    channelMeasRestriction-r13 ENUMERATED {on} OPTIONAL -- Need OR
}
CSI-RS-ConfigBeamformed-r14 ::= SEQUENCE {
    csi-RS-ConfigNZPIdListExt-r14 SEQUENCE (SIZE (1..7)) OF CSI-RS-ConfigNZPId-r13
    OPTIONAL, -- Need OR
    csi-IM-ConfigIdList-r14 SEQUENCE (SIZE (1..8)) OF CSI-IM-ConfigId-r13
    OPTIONAL, -- Need OR
    p-C-AndCBSR-PerResourceConfigList-r14 SEQUENCE (SIZE (1..8)) OF P-C-AndCBSR-Pair-r13
    OPTIONAL, -- Need OR
    ace-For4Tx-PerResourceConfigList-r14 SEQUENCE (SIZE (1..7)) OF BOOLEAN OPTIONAL, -- Need
OR
    alternativeCodebookEnabledBeamformed-r14 ENUMERATED {true} OPTIONAL, -- Need OR
    channelMeasRestriction-r14 ENUMERATED {on} OPTIONAL, -- Need OR
    csi-RS-ConfigNZP-ApList-r14 SEQUENCE (SIZE (1..8)) OF CSI-RS-ConfigNZP-r11
    OPTIONAL, -- Need OR
    nzp-ResourceConfigOriginal-v1430 CSI-RS-Config-NZP-v1430 OPTIONAL, -- Need OR
}
```

```

csi-RS-NZP-Activation-r14          CSI-RS-ConfigNZP-Activation-r14 OPTIONAL    -- Need
OR
}
CSI-RS-ConfigBeamformed-v1430 ::= SEQUENCE {
  csi-RS-ConfigNZP-ApList-r14      SEQUENCE (SIZE (1..8)) OF CSI-RS-ConfigNZP-r11
                                     OPTIONAL,    -- Need OR
  nzp-ResourceConfigOriginal-v1430 CSI-RS-Config-NZP-v1430      OPTIONAL,    -- Need OR
  csi-RS-NZP-Activation-r14        CSI-RS-ConfigNZP-Activation-r14 OPTIONAL    -- Need
OR
}
CSI-RS-Config-NZP-v1430 ::= SEQUENCE {
  transmissionComb-r14             NZP-TransmissionComb-r14  OPTIONAL,    -- Need OR
  frequencyDensity-r14            NZP-FrequencyDensity-r14   OPTIONAL,    -- Need OR
}
CSI-RS-ConfigNZP-Activation-r14 ::= SEQUENCE {
  csi-RS-NZP-mode-r14             ENUMERATED {semiPersistent, aperiodic},
  activatedResources-r14          INTEGER (0..4)
}
-- ASN1STOP

```

| <b>CSI-RS-ConfigBeamformed field descriptions</b>   |
|---|
| <p><b>ace-For4Tx-PerResourceConfigList</b><br/>The field indicates the <i>alternativeCodeBookEnabledFor4TX-r12</i> per CSI-RS resource. E-UTRAN configures the field only if <i>csi-RS-ConfigNZPIdListExt</i> is configured.</p>  |
| <p><b>activatedResources</b><br/>The number of activated CSI-RS resources, which concerns a subset of the aperiodic CSI-RS resources (for both semi-persistent and aperiodic mode). E-UTRAN configures at most the minimum between <i>nMaxResource</i> as configured by <i>MIMO-UE-ParametersPerTM-r1430</i> and the number of resources as configured by <i>csi-RS-ConfigNZP-ApList-r14</i>.</p>   |
| <p><b>alternativeCodebookEnabledBeamformed</b><br/>The field indicates whether code book in TS 36.213 [23], Table 7.2.4-18 to Table 7.2.4-20, is being used for deriving CSI feedback and reporting for a CSI process. E-UTRAN configures the field only for a process referring to a single RS configuration using non-zero power transmission (i.e a process for which <i>csi-RS-ConfigNZPIdListExt</i> is not configured). Field <i>alternativeCodebookEnabledBeamformed</i> corresponds to parameter <i>alternativeCodebookEnabledCLASSB_K1</i> in TS 36.212 [22] and TS 36.213 [23].</p>   |
| <p><b>csi-IM-ConfigIdList</b><br/>E-UTRAN configures the field <i>csi-IM-ConfigIdList</i> only if the IE is included in CSI-Process is configured (i.e. when TM10 is configured for the serving cell).</p>  |
| <p><b>CSI-RS-ConfigBeamformed</b><br/>If <i>csi-RS-ConfigNZPIdListExt-r13</i> is configured, E-UTRAN configures the same total number of entries for NZP, <i>csi-IM-ConfigIdList-r13</i> and <i>p-C-AndCBSR-PerResourceConfigList-r13</i>.</p>  |
| <p><b>csi-RS-ConfigNZP-ApList</b><br/>The field is used to configure NZP configurations for aperiodic or semi-persistent CSI RS reporting for which MAC controls activation. EUTRAN configures this field only when the UE is configured to use 2, 4 or and 8 ports CSI-RS, in which case EUTRAN configures the number of entries to be the same as the number of NZP resource configurations. For all these entries the UE shall ignore field <i>subframeConfig</i>. EUTRAN always configures this field together with <i>csi-RS-NZP-Activation</i>. Furthermore, for a given process, E-UTRAN does not simultaneously configure the periodic NZP configuration(s) and NZP CSI RS configurations for aperiodic or semi-persistent reporting.</p> |
| <p><b>csi-RS-ConfigNZP-EMIMO</b><br/>The field is used to configure NZP configurations additional to the one defined by the original NZP configuration as included in <i>CSI-RS-Config/CSI-Process</i> when using 12 and 16 ports CSI-RS.</p>   |
| <p><b>csi-RS-ConfigNZPIdListExt (in CSI-RS-ConfigBeamformed)</b><br/>Indicates the NZP configuration(s) in addition to the original NZP configuration, as defined by <i>csi-RS-Config-r10</i> (TM9) or <i>csi-RS-ConfigNZPId-r11</i> (TM10). I.e. extends the size of the NZP configuration list (originally a single entry i.e. list of size 1) using the general principles specified in 5.1.2.</p>   |
| <p><b>p-C-AndCBSR-PerResourceConfigList</b><br/>E-UTRAN does not configure the field <i>p-C-AndCBSR-PerResourceConfigList</i> if the UE is configured with <i>eMIMO-Type</i> set to <i>beamformed</i>, <i>alternativeCodebookEnabledBeamformed</i> is set to <i>FALSE</i> and <i>csi-RS-ConfigNZPIdListExt</i> is not configured.</p>   |

### – CSI-RS-ConfigEMIMO

The IE *CSI-RS-ConfigEMIMO* is used to specify the CSI (Channel-State Information) reference signal configuration for EBF/ FD-MIMO.

**CSI-RS-ConfigEMIMO information elements**

```

-- ASN1START
CSI-RS-ConfigEMIMO-r13 ::= CHOICE {
  release          NULL,
  setup            CHOICE {
    nonPrecoded-r13  CSI-RS-ConfigNonPrecoded-r13,
    beamformed-r13  CSI-RS-ConfigBeamformed-r13
  }
}

CSI-RS-ConfigEMIMO-v1430 ::= CHOICE {
  release          NULL,
  setup            CHOICE {
    nonPrecoded-v1430 CSI-RS-ConfigNonPrecoded-v1430,
    beamformed-v1430  CSI-RS-ConfigBeamformed-v1430
  }
}

CSI-RS-ConfigEMIMO-v1480 ::= CHOICE {
  release          NULL,
  setup            CHOICE {
    nonPrecoded-v1480 CSI-RS-ConfigNonPrecoded-v1480,
    beamformed-v1480  CSI-RS-ConfigBeamformed-v1430
  }
}

CSI-RS-ConfigEMIMO-v1530 ::= CHOICE {
  release          NULL,
  setup            CHOICE {
    nonPrecoded-v1530 CSI-RS-ConfigNonPrecoded-v1530
  }
}

CSI-RS-ConfigEMIMO2-r14 ::= CHOICE {
  release          NULL,
  setup            CSI-RS-ConfigBeamformed-r14
}

CSI-RS-ConfigEMIMO-Hybrid-r14 ::= CHOICE {
  release          NULL,
  setup            SEQUENCE {
    periodicityOffsetIndex-r14  INTEGER (0..1023)          OPTIONAL,  -- Need OR
    eMIMO-Type2-r14             CSI-RS-ConfigEMIMO2-r14  OPTIONAL  -- Need ON
  }
}

-- ASN1STOP

```

**CSI-RS-ConfigEMIMO field descriptions*****periodicityOffsetIndex***

This parameter is associated with the first EMIMO configuration of the hybrid eMIMO configuration.

***CSI-RS-ConfigNonPrecoded***

The IE *CSI-RS-ConfigNonPrecoded* is used to specify the non-coded EBF/ FD-MIMO configuration.

```

-- ASN1START
CSI-RS-ConfigNonPrecoded-r13 ::= SEQUENCE {
  p-C-AndCBSRList-r13          P-C-AndCBSR-Pair-r13          OPTIONAL,  -- Need OR
  codebookConfigN1-r13         ENUMERATED {n1, n2, n3, n4, n8},
  codebookConfigN2-r13         ENUMERATED {n1, n2, n3, n4, n8},
  codebookOverSamplingRateConfig-01-r13  ENUMERATED {n4, n8}          OPTIONAL,  -- Need OR
  codebookOverSamplingRateConfig-02-r13  ENUMERATED {n4, n8}          OPTIONAL,  -- Need OR
  codebookConfig-r13           INTEGER (1..4),
  csi-IM-ConfigIdList-r13      SEQUENCE (SIZE (1..2)) OF CSI-IM-ConfigId-r13
  OPTIONAL,  -- Need OR
  csi-RS-ConfigNZP-EMIMO-r13    CSI-RS-ConfigNZP-EMIMO-r13  OPTIONAL  -- Need ON
}

```

```

}
CSI-RS-ConfigNonPrecoded-v1430 ::= SEQUENCE {
  csi-RS-ConfigNZN-EMIMO-v1430      CSI-RS-ConfigNZN-EMIMO-v1430  OPTIONAL, -- Need ON
  codebookConfigN1-v1430            ENUMERATED {n5, n6, n7, n10, n12, n14, n16},
  codebookConfigN2-v1430            ENUMERATED {n5, n6, n7},
  nzp-ResourceConfigTM9-Original-v1430  CSI-RS-Config-NZN-v1430
}

CSI-RS-ConfigNonPrecoded-v1480 ::= SEQUENCE {
  csi-RS-ConfigNZN-EMIMO-v1480      CSI-RS-ConfigNZN-EMIMO-v1430  OPTIONAL, -- Need ON
  codebookConfigN1-v1480            ENUMERATED {n5, n6, n7, n10, n12, n14, n16}
  OPTIONAL, -- Need OR
  codebookConfigN2-r1480            ENUMERATED {n5, n6, n7}
  OPTIONAL, -- Need OR
  nzp-ResourceConfigTM9-Original-v1480  CSI-RS-Config-NZN-v1430
}

CSI-RS-ConfigNonPrecoded-v1530 ::= SEQUENCE {
  p-C-AndCBSRList-r15              P-C-AndCBSR-Pair-r15
  OPTIONAL -- Need OR
}

-- ASN1STOP

```

### CSI-RS-ConfigNonPrecoded field descriptions

#### **codebookConfig**

Indicates a sub-set of the codebook entry, see TS 36.213 [23].

#### **codebookConfigNx**

Indicates the number of antenna ports per polarization in dimension x as used for transmission of CSI reference signals. Value n1 corresponds to 1, value n2 corresponds to 2 and so on, see TS 36.213 [23]. E-UTRAN configures the field in accordance with the restrictions as specified in TS 36.213 [23]. If *codebookConfigNx* in *CSI-RS-ConfigNonPrecoded-v1480* is configured, the UE shall ignore the field *codebookConfigNx* in *CSI-RS-ConfigNonPrecoded-r13*.

#### **codebookOverSamplingRateConfig-Ox**

Indicates the spatial over-sampling rate in dimension x as used for transmission of CSI reference signals. Value n4 corresponds to 4 and value n8 corresponds to 8, see TS 36.213 [23].

#### **csi-IM-ConfigId(List)**

E-UTRAN configures the field *csi-IM-ConfigIdList* only if the IE is included in CSI-Process is configured (i.e. when TM10 is configured for the serving cell).

#### **csi-RS-ConfigNZN-EMIMO**

The field is used to configure NZP configurations additional to the one defined by the original NZP configuration as included in *CSI-RS-Config/CSI-Process* when using more than 8 ports CSI-RS as defined in TS 36.211 [21], table 6.10.5-1.

## CSI-RS-ConfigNZN

The IE *CSI-RS-ConfigNZN* is the CSI-RS resource configuration using non-zero power transmission that E-UTRAN may configure on a serving frequency.

### CSI-RS-ConfigNZN information elements

```

-- ASN1START
CSI-RS-ConfigNZN-r11 ::= SEQUENCE {
  csi-RS-ConfigNZNId-r11          CSI-RS-ConfigNZNId-r11,
  antennaPortsCount-r11           ENUMERATED {an1, an2, an4, an8},
  resourceConfig-r11              INTEGER (0..31),
  subframeConfig-r11              INTEGER (0..154),
  scramblingIdentity-r11          INTEGER (0..503),
  qcl-CRS-Info-r11                SEQUENCE {
    qcl-ScramblingIdentity-r11    INTEGER (0..503),
    crs-PortsCount-r11            ENUMERATED {n1, n2, n4, spare1},
    mbsfn-SubframeConfigList-r11 CHOICE {
      release                       NULL,
      setup                         SEQUENCE {
        subframeConfigList         MBSFN-SubframeConfigList
      }
    }
  }
  OPTIONAL -- Need ON
}
OPTIONAL -- Need OR
...
[[ csi-RS-ConfigNZNId-v1310      CSI-RS-ConfigNZNId-v1310
OPTIONAL -- Need ON

```

```

]],
[[ transmissionComb-r14           NZP-TransmissionComb-r14     OPTIONAL,  -- Need OR
   frequencyDensity-r14          NZP-FrequencyDensity-r14     OPTIONAL,  -- Need OR
]],
[[ mbsfn-SubframeConfigList-v1430 CHOICE {
      release                      NULL,
      setup                        SEQUENCE {
         subframeConfigList-v1430  MBSFN-SubframeConfigList-v1430
      }
   }
]]
}

CSI-RS-ConfigNZP-EMIMO-r13 ::= CHOICE {
  release  NULL,
  setup    SEQUENCE {
    nzp-resourceConfigList-r13 SEQUENCE (SIZE (1..2)) OF NZP-ResourceConfig-r13,
    cdmType-r13                ENUMERATED {cdm2, cdm4} OPTIONAL  -- Need OR
  }
}

CSI-RS-ConfigNZP-EMIMO-v1430 ::= SEQUENCE {
  -- All extensions are for Non-Precoded so could be grouped by setup/ release choice
  nzp-resourceConfigListExt-r14 SEQUENCE (SIZE (0..4)) OF NZP-ResourceConfig-r13,
  cdmType-v1430                ENUMERATED {cdm8 }                OPTIONAL  -- Need OR
}

NZP-ResourceConfig-r13 ::= SEQUENCE {
  resourceConfig-r13           ResourceConfig-r13,
  ...,
  [[ transmissionComb-r14      NZP-TransmissionComb-r14     OPTIONAL,  -- Need OR
   frequencyDensity-r14       NZP-FrequencyDensity-r14     OPTIONAL,  -- Need OR
  ]]
}

ResourceConfig-r13 ::=
  INTEGER (0..31)

NZP-TransmissionComb-r14 ::=
  INTEGER (0..2)
NZP-FrequencyDensity-r14 ::=
  ENUMERATED {d1, d2, d3}

-- ASN1STOP

```

### CSI-RS-ConfigNZP field descriptions

|                                 |  |
|---------------------------------|--|
| <b>antennaPortsCount</b>        | Parameter represents the number of antenna ports used for transmission of CSI reference signals where an1 corresponds to 1, an2 to 2 antenna ports etc. see TS 36.211 [21], clause 6.10.5.   |
| <b>cdmType</b>                  | Parameter: <i>CDMType</i> , see TS 36.211 [21], clause 6.10.5.2.   |
| <b>csi-RS-ConfigNZPId</b>       | Refers to a CSI RS configuration using non-zero power transmission that is configured for the same frequency as the CSI process. UE shall ignore <i>CSI-RS-ConfigNZPId-r11</i> if <i>CSI-RS-ConfigNZPId-v1310</i> is signalled.        |
| <b>frequencyDensity</b>         | Indicates the frequency-domain density reduction. E-UTRAN configures the values in accordance with the restrictions specified in TS 36.213 [23].   |
| <b>mbsfn-SubframeConfigList</b> | Indicates the MBSFN configuration for the CSI-RS resources. If <i>qcl-CRS-Info-r11</i> is absent, the field is released.   |
| <b>nzp-resourceConfigList</b>   | Indicate a list of non-zero power transmission CSI-RS resources using parameter <i>resourceConfig</i> .  |
| <b>qcl-CRS-Info</b>             | Indicates CRS antenna ports that is quasi co-located with the CSI-RS antenna ports, see TS 36.213 [23], clause 7.2.5. EUTRAN configures this field if and only if the UE is configured with <i>qcl-Operation</i> set to <i>typeB</i> . |
| <b>resourceConfig</b>           | Parameter: CSI reference signal configuration, see TS 36.211 [21], table 6.10.5.2-1 and 6.10.5.2-2.  |
| <b>subframeConfig</b>           | Parameter: $I_{\text{CSI-RS}}$ , see TS 36.211 [21], table 6.10.5.3-1.   |
| <b>scramblingIdentity</b>       | Parameter: Pseudo-random sequence generator parameter, $n_{\text{ID}}$ , see TS 36.213 [23], clause 7.2.5.   |
| <b>transmissionComb</b>         | Indicates the transmission combining offset. E-UTRAN configures the values in accordance with the restrictions specified in TS 36.213 [23].  |

## – *CSI-RS-ConfigNZPId*

The IE *CSI-RS-ConfigNZPId* is used to identify a CSI-RS resource configuration using non-zero transmission power, as configured by the IE *CSI-RS-ConfigNZP*. The identity is unique within the scope of a carrier frequency.

### **CSI-RS-ConfigNZPId information elements**

```
-- ASN1START
CSI-RS-ConfigNZPId-r11 ::=          INTEGER (1..maxCSI-RS-NZP-r11)
CSI-RS-ConfigNZPId-v1310 ::=      INTEGER (minCSI-RS-NZP-r13..maxCSI-RS-NZP-r13)
CSI-RS-ConfigNZPId-r13 ::=          INTEGER (1..maxCSI-RS-NZP-r13)
-- ASN1STOP
```

## – *CSI-RS-ConfigZP*

The IE *CSI-RS-ConfigZP* is the CSI-RS resource configuration, for which UE assumes zero transmission power, that E-UTRAN may configure on a serving frequency.

### **CSI-RS-ConfigZP information elements**

```
-- ASN1START
CSI-RS-ConfigZP-r11 ::=          SEQUENCE {
    csi-RS-ConfigZPID-r11          CSI-RS-ConfigZPID-r11,
    resourceConfigList-r11        BIT STRING (SIZE (16)),
    subframeConfig-r11            INTEGER (0..154),
    ...
}
CSI-RS-ConfigZP-ApList-r14 ::=   CHOICE {
    release                        NULL,
    setup                          SEQUENCE (SIZE (1..maxCSI-RS-ZP-r11)) OF CSI-RS-ConfigZP-r11
}
-- ASN1STOP
```

### **CSI-RS-ConfigZP field descriptions**

#### **CSI-RS-ConfigZP-ApList**

Indicates the aperiodic zero power CSI-RS present in a given subframe. See 36.213 [23], Table 7.1.9-2. First entry in the list corresponds to aperiodic trigger 00, second entry in the list corresponds to aperiodic trigger 01 and so on.

#### **resourceConfigList**

Parameter: *ZeroPowerCSI-RS*, see TS 36.213 [23], clause 7.2.7.

#### **subframeConfig**

Parameter:  $I_{\text{CSI-RS}}$ , see TS 36.211 [21], table 6.10.5.3-1.

## – *CSI-RS-ConfigZPID*

The IE *CSI-RS-ConfigZPID* is used to identify a CSI-RS resource configuration for which UE assumes zero transmission power, as configured by the IE *CSI-RS-ConfigZP*. The identity is unique within the scope of a carrier frequency.

### **CSI-RS-ConfigZPID information elements**

```
-- ASN1START
CSI-RS-ConfigZPID-r11 ::=          INTEGER (1..maxCSI-RS-ZP-r11)
-- ASN1STOP
```

## – *DataInactivityTimer*

The IE *DataInactivityTimer* is used to control Data inactivity operation. Corresponds to the timer for data inactivity monitoring in TS 36.321 [6]. Value s1 corresponds to 1 second, s2 corresponds to 2 seconds and so on.

### **DataInactivityTimer information element**

```
-- ASN1START
DataInactivityTimer-r14 ::=          ENUMERATED {
                                     s1, s2, s3, s5, s7, s10, s15, s20, s40, s50, s60,
                                     s80, s100, s120, s150, s180}
-- ASN1STOP
```

## – *DMRS-Config*

The IE *DMRS-Config* is the DMRS configuration that E-UTRAN may configure on a serving frequency.

### **DMRS-Config information elements**

```
-- ASN1START
DMRS-Config-r11 ::=          CHOICE {
  release          NULL,
  setup           SEQUENCE {
    scramblingIdentity-r11    INTEGER (0..503),
    scramblingIdentity2-r11   INTEGER (0..503)
  }
}
DMRS-Config-v1310 ::=        SEQUENCE {
  dmrs-tableAlt-r13          ENUMERATED {true}          OPTIONAL  -- Need OR
}
-- ASN1STOP
```

### **DMRS-Config field descriptions**

#### ***scramblingIdentity, scramblingIdentity2***

Parameter:  $n_{ID}^{DMRS,j}$ , see TS 36.211 [21], clause 6.10.3.1.

#### ***dmrs-tableAlt***

The field indicates whether to use an alternative table for DMRS upon PDSCH transmission, see TS 36.213 [23].

## – *DRB-Identity*

The IE *DRB-Identity* is used to identify a DRB used by a UE.

### **DRB-Identity information elements**

```
-- ASN1START
DRB-Identity ::=          INTEGER (1..32)
-- ASN1STOP
```

## – *EPDCCH-Config*

The IE *EPDCCH-Config* specifies the subframes and resource blocks for EPDCCH monitoring that E-UTRAN may configure for a serving cell.

### **EPDCCH-Config information element**

```
-- ASN1START
```

```

EPDCCH-Config-r11 ::= SEQUENCE{
  config-r11 CHOICE {
    release NULL,
    setup SEQUENCE {
      subframePatternConfig-r11 CHOICE {
        release NULL,
        setup SEQUENCE {
          subframePattern-r11 MeasSubframePattern-r10
        }
      }
      startSymbol-r11 INTEGER (1..4) OPTIONAL, -- Need ON
      setConfigToReleaseList-r11 EPDCCH-SetConfigToReleaseList-r11 OPTIONAL, -- Need ON
      setConfigToAddModList-r11 EPDCCH-SetConfigToAddModList-r11 OPTIONAL -- Need ON
    }
  }
}

EPDCCH-SetConfigToAddModList-r11 ::= SEQUENCE (SIZE(1..maxEPDCCH-Set-r11)) OF EPDCCH-SetConfig-r11

EPDCCH-SetConfigToReleaseList-r11 ::= SEQUENCE (SIZE(1..maxEPDCCH-Set-r11)) OF EPDCCH-SetConfigId-
r11

EPDCCH-SetConfig-r11 ::= SEQUENCE {
  setConfigId-r11 EPDCCH-SetConfigId-r11,
  transmissionType-r11 ENUMERATED {localised, distributed},
  resourceBlockAssignment-r11 SEQUENCE{
    numberPRB-Pairs-r11 ENUMERATED {n2, n4, n8},
    resourceBlockAssignment-r11 BIT STRING (SIZE(4..38))
  },
  dmrs-ScramblingSequenceInt-r11 INTEGER (0..503),
  pucch-ResourceStartOffset-r11 INTEGER (0..2047),
  re-MappingQCL-ConfigId-r11 PDSCH-RE-MappingQCL-ConfigId-r11 OPTIONAL, -- Need OR
  ...
  [[ csi-RS-ConfigZPID2-r12 CHOICE {
    release NULL,
    setup CSI-RS-ConfigZPID-r11 OPTIONAL -- Need ON
  }
  ]],
  [[ numberPRB-Pairs-v1310 CHOICE {
    release NULL,
    setup ENUMERATED {n6} OPTIONAL, -- Need ON
  }
  mpdcch-config-r13 CHOICE {
    release NULL,
    setup SEQUENCE {
      csi-NumRepetitionCE-r13 ENUMERATED {sf1, sf2, sf4, sf8, sf16, sf32},
      mpdcch-pdsch-HoppingConfig-r13 ENUMERATED {on,off},
      mpdcch-StartSF-UeSS-r13 CHOICE {
        fdd-r13 ENUMERATED {v1, v1dot5, v2, v2dot5, v4,
          v5, v8, v10},
        tdd-r13 ENUMERATED {v1, v2, v4, v5, v8, v10,
          v20, spare1}
      }
    },
    mpdcch-NumRepetition-r13 ENUMERATED {r1, r2, r4, r8, r16,
      r32, r64, r128, r256},
    mpdcch-Narrowband-r13 INTEGER (1..maxAvailNarrowBands-r13)
  }
  ]]
}

EPDCCH-SetConfigId-r11 ::= INTEGER (0..1)

-- ASN1STOP

```



| <b>EPDCCH-Config field descriptions</b>  |
|--|
| <p><b>csi-NumRepetitionCE</b><br/>Number of subframes for CSI reference resource, see TS 36.213 [23]. Value sf1 corresponds to 1 subframe, sf2 corresponds to 2 subframes and so on.</p>   |
| <p><b>csi-RS-ConfigZPId2</b><br/>Indicates the rate matching parameters in addition to those indicated by <i>re-MappingQCL-ConfigId</i>. E-UTRAN configures this field only when tm10 is configured.</p>   |
| <p><b>dmrs-ScramblingSequenceInt</b><br/>The DMRS scrambling sequence initialization parameter <math>n_{ID,i}^{EPDCCH}</math> or <math>n_{ID,i}^{MPDCCH}</math> defined in TS 36.211 [21], clause 6.10.3A.1.</p>   |
| <p><b>EPDCCH-SetConfig</b><br/>Provides EPDCCH configuration set. See TS 36.213 [23], clause 9.1.4. E-UTRAN configures at least one <i>EPDCCH-SetConfig</i> when <i>EPDCCH-Config</i> is configured. For BL UEs or UEs in CE, EUTRAN does not configure more than one EPDCCH-SetConfig.</p>  |
| <p><b>mpdcch-Narrowband</b><br/>Parameter: <math>n_{NB}</math>, see TS 36.211 [21], clause 6.8B.5. Field values (1..<i>maxAvailNarrowBands-r13</i>) correspond to narrowband indices (0..<i>maxAvailNarrowBands-r13-1</i>) as specified in TS 36.211 [21].</p>   |
| <p><b>mpdcch-NumRepetition</b><br/>Maximum numbers of repetitions for UE-SS for MPDCCH, see TS 36.213 [23].</p>  |
| <p><b>mpdcch-pdsch-HoppingConfig</b><br/>Frequency hopping activation/deactivation for unicast MPDCCH/PDSCH, see TS 36.211 [21]. E-UTRAN does not configure the value <i>on</i> if <i>freqHoppingParametersDL</i> is not present in <i>SystemInformationBlockType1</i>.</p>  |
| <p><b>mpdcch-StartSF-UESS</b><br/>Starting subframe configuration for an MPDCCH UE-specific search space, see TS 36.213 [23]. Value v1 corresponds to 1, value v1dot5 corresponds to 1.5, and so on.</p>   |
| <p><b>numberPRB-Pairs</b><br/>Indicates the number of physical resource-block pairs used for the EPDCCH set. Value n2 corresponds to 2 physical resource-block pairs; n4 corresponds to 4 physical resource-block pairs and so on. Value n8 is not supported if <i>dl-Bandwidth</i> is set to 6 resource blocks. EUTRAN only configures values up to n6 for BL UEs or UEs in CE. Value n6 is only applicable to BL UEs or UEs in CE.</p>   |
| <p><b>pucch-ResourceStartOffset</b><br/>PUCCH format 1a, 1b and 3 resource starting offset for the EPDCCH set. See TS 36.213 [23], clause 10.1.</p>  |
| <p><b>re-MappingQCL-ConfigId</b><br/>Indicates the starting OFDM symbol, the related rate matching parameters and quasi co-location assumption for EPDCCH when the UE is configured with tm10. This field provides the identity of a configured <i>PDSCH-RE-MappingQCL-Config</i>. E-UTRAN configures this field only when tm10 is configured.</p>   |
| <p><b>resourceBlockAssignment</b><br/>Indicates the index to a specific combination of physical resource-block pair for EPDCCH set. See TS 36.213 [23], clause 9.1.4.4. The size of <i>resourceBlockAssignment</i> is specified in TS 36.213 [23], clause 9.1.4.4, and based on <i>numberPRB-Pairs</i> and the signalled value of <i>dl-Bandwidth</i>. If <i>numberPRB-Pairs-v1310</i> field is present, the total number of physical resource-block pairs is 6 and it is composed of one subset of 2 physical resource-block pairs and another subset of 4 physical resource-block pairs, and the <i>resourceBlockAssignment</i> field defines the subset of 2 physical resource-block pairs.</p> |
| <p><b>setConfigId</b><br/>Indicates the identity of the EPDCCH configuration set.</p>  |
| <p><b>startSymbol</b><br/>Indicates the OFDM starting symbol for any EPDCCH and PDSCH scheduled by EPDCCH on the same cell, see TS 36.213 [23], clause 9.1.4.1. If not present, the UE shall release the configuration and shall derive the starting OFDM symbol of EPDCCH and PDSCH scheduled by EPDCCH from PCFICH. Values 1, 2, and 3 are applicable for <i>dl-Bandwidth</i> greater than 10 resource blocks. Values 2, 3, and 4 are applicable otherwise. E-UTRAN does not configure the field for UEs configured with tm10.</p>   |
| <p><b>subframePatternConfig</b><br/>Configures the subframes which the UE shall monitor the UE-specific search space on EPDCCH, except for pre-defined rules in TS 36.213 [23], clause 9.1.4. If the field is not configured when EPDCCH is configured, the UE shall monitor the UE-specific search space on EPDCCH in all subframes except for pre-defined rules in TS 36.213 [23], clause 9.1.4.</p>   |
| <p><b>transmissionType</b><br/>Indicates whether distributed or localized EPDCCH transmission mode is used as defined in TS 36.211 [21], clause 6.8A.1.</p>  |

## – *EIMTA-MainConfig*

The IE *EIMTA-MainConfig* is used to specify the eIMTA-RNTI used for eIMTA and the subframes used for monitoring PDCCH with eIMTA-RNTI. The IE *EIMTA-MainConfigServCell* is used to specify the eIMTA related parameters applicable for the concerned serving cell.

### ***EIMTA-MainConfig* information element**

```
-- ASN1START
EIMTA-MainConfig-r12 ::= CHOICE {
  release      NULL,
  setup        SEQUENCE {
    eimta-RNTI-r12      C-RNTI,
    eimta-CommandPeriodicity-r12  ENUMERATED {sf10, sf20, sf40, sf80},
    eimta-CommandSubframeSet-r12  BIT STRING (SIZE(10))
  }
}

EIMTA-MainConfigServCell-r12 ::= CHOICE {
  release      NULL,
  setup        SEQUENCE {
    eimta-UL-DL-ConfigIndex-r12  INTEGER (1..5),
    eimta-HARQ-ReferenceConfig-r12  ENUMERATED {sa2, sa4, sa5},
    mbsfn-SubframeConfigList-v1250  CHOICE {
      release      NULL,
      setup        SEQUENCE {
        subframeConfigList-r12  MBSFN-SubframeConfigList
      }
    }
  }
}
-- ASN1STOP
```

### ***EIMTA-MainConfig* field descriptions**

#### ***eimta-CommandPeriodicity***

Configures the periodicity to monitor PDCCH with eIMTA-RNTI, see TS 36.213 [23], clause 13.1. Value sf10 corresponds to 10 subframes, sf20 corresponds to 20 subframes and so on.

#### ***eimta-CommandSubframeSet***

Configures the subframe(s) to monitor PDCCH with eIMTA-RNTI within the periodicity configured by *eimta-CommandPeriodicity*. The 10 bits correspond to all subframes in the last radio frame within each periodicity. The left most bit is for subframe 0 and so on. Each bit can be of value 0 or 1. The value of 1 means that the corresponding subframe is configured for monitoring PDCCH with eIMTA-RNTI, and the value of 0 means otherwise. In case of TDD as PCell, only the downlink and the special subframes indicated by the UL/ DL configuration in SIB1 can be configured for monitoring PDCCH with eIMTA-RNTI. In case of FDD as PCell, any of the ten subframes can be configured for monitoring PDCCH with eIMTA-RNTI.

#### ***eimta-HARQ-ReferenceConfig***

Indicates UL/ DL configuration used as the DL HARQ reference configuration for this serving cell. Value sa2 corresponds to Configuration2, sa4 to Configuration4 etc, as specified in TS 36.211 [21], table 4.2-2. E-UTRAN configures the same value for all serving cells residing on same frequency band.

#### ***eimta-UL-DL-ConfigIndex***

Index of *l*, see TS 36.212 [22], clause 5.3.3.1.4. E-UTRAN configures the same value for all serving cells residing on same frequency band.

#### ***mbsfn-SubframeConfigList***

Configure the MBSFN subframes for the UE on this serving cell. An uplink subframe indicated by the DL/UL subframe configuration in SIB1 can be configured as MBSFN subframe.

## – *LogicalChannelConfig*

The IE *LogicalChannelConfig* is used to configure the logical channel parameters.

### ***LogicalChannelConfig* information element**

```
-- ASN1START
LogicalChannelConfig ::= SEQUENCE {
  ul-SpecificParameters  SEQUENCE {
```

```

    priority INTEGER (1..16),
    prioritisedBitRate ENUMERATED {
        kBps0, kBps8, kBps16, kBps32, kBps64, kBps128,
        kBps256, infinity, kBps512-v1020, kBps1024-v1020,
        kBps2048-v1020, spare5, spare4, spare3, spare2,
        spare1},
    bucketSizeDuration ENUMERATED {
        ms50, ms100, ms150, ms300, ms500, ms1000, spare2,
        spare1},
    logicalChannelGroup INTEGER (0..3) OPTIONAL -- Need OR
} OPTIONAL, -- Cond UL
....
[[ logicalChannelSR-Mask-r9 ENUMERATED {setup} OPTIONAL -- Cond SRmask
]],
[[ logicalChannelSR-Prohibit-r12 BOOLEAN OPTIONAL -- Need ON
]],
[[ laa-UL-Allowed-r14 BOOLEAN OPTIONAL, -- Need ON
bitRateQueryProhibitTimer-r14 ENUMERATED {
    s0, s0dot4, s0dot8, s1dot6, s3, s6, s12,
    s30} OPTIONAL --Need OR
]],
[[ allowedTTI-Lengths-r15 CHOICE {
    release NULL,
    setup SEQUENCE {
        shortTTI-r15 BOOLEAN,
        subframeTTI-r15 BOOLEAN
    }
} OPTIONAL, -- Need ON
logicalChannelSR-Restriction-r15 CHOICE {
    release NULL,
    setup ENUMERATED {spucch, pucch}
} OPTIONAL, -- Need ON
channelAccessPriority-r15 CHOICE {
    release NULL,
    setup INTEGER (1..4)
} OPTIONAL, -- Need ON
lch-CellRestriction-r15 BIT STRING (SIZE (maxServCell-r13)) OPTIONAL -- Need ON
]]
}
-- ASN1STOP

```

| <b>LogicalChannelConfig field descriptions</b>  |
|---|
| <p><b>allowedTTI-Lengths</b><br/>Indicates the allowed TTI lengths for the logical channel. If not configured, the UE is allowed to transmit the logical channel using any TTI length.</p>  |
| <p><b>bitRateQueryProhibitTimer</b><br/>The timer is used for bit rate recommendation query in TS 36.321 [6], clause 5.18, in seconds. Value s0 means 0s, s0dot4 means 0.4s and so on.</p>  |
| <p><b>bucketSizeDuration</b><br/>Bucket Size Duration for logical channel prioritization in TS 36.321 [6]. Value in milliseconds. Value ms50 corresponds to 50 ms, ms100 corresponds to 100 ms and so on.</p>   |
| <p><b>channelAccessPriority</b><br/>Indicates the channel access priority class for the logical channel. UE shall select the lowest channel access priority class (i.e. highest signalled value) of the logical channel with MAC SDU multiplexed into the MAC PDU. MAC CEs except padding BSR apply the highest channel access priority class (i.e. lowest signalled value) , as defined in TS 36.300 [9].</p>  |
| <p><b>laa-UL-Allowed</b><br/>Indicates whether the data of a logical channel is allowed to be transmitted via UL of LAA SCells. Value <i>TRUE</i> indicates that the logical channel is allowed to be sent via UL of LAA SCells. Value <i>FALSE</i> indicates that the logical channel is not allowed to be sent via UL of LAA SCells.</p>  |
| <p><b>lch-CellRestriction</b><br/>Indicates cells which are restricted for the logical channel, The bit is set to 1 if the cell is restricted and to 0 if the cell is not restricted, for each cell. The least significant bit corresponds to the serving cell with index 0, the next bit corresponds to the serving cell with index 1, and so on. If the cell is restricted for the logical channel, then data for the logical channel is not allowed to be sent using that cell. If the field is not included, no cells are restricted. See also TS 36.321 [6], clause 5.4.3.1. The restriction is only active when PDCP duplication using CA is activated.</p> |
| <p><b>logicalChannelGroup</b><br/>Mapping of logical channel to logical channel group for BSR reporting in TS 36.321 [6].</p>   |
| <p><b>logicalChannelSR-Mask</b><br/>Controlling SR triggering on a logical channel basis when an uplink grant is configured. See TS 36.321 [6].</p>   |
| <p><b>logicalChannelSR-Prohibit</b><br/>Value <i>TRUE</i> indicates that the <i>logicalChannelSR-ProhibitTimer</i> is enabled for the logical channel. E-UTRAN only (optionally) configures the field (i.e. indicates value <i>TRUE</i>) if <i>logicalChannelSR-ProhibitTimer</i> is configured. See TS 36.321 [6].</p>   |
| <p><b>logicalChannelSR-Restriction</b><br/>Defines the restricted SR configuration for the logical channel. Value <i>spucch</i> indicates that the SR cannot be sent on SPUCCH and value <i>pucch</i> indicates that the SR cannot be sent on PUCCH. If not configured, the UE is allowed to transmit the SR on any SR resource.</p>  |
| <p><b>prioritisedBitRate</b><br/>Prioritized Bit Rate for logical channel prioritization in TS 36.321 [6]. Value in kilobytes/second. Value <i>kBps0</i> corresponds to 0 kB/second, <i>kBps8</i> corresponds to 8 kB/second, <i>kBps16</i> corresponds to 16 kB/second and so on. Infinity is the only applicable value for SRB1 and SRB2</p>  |
| <p><b>priority</b><br/>Logical channel priority in TS 36.321 [6]. Value is an integer.</p>  |
| <p><b>shortTTI, subframeTTI</b><br/>For short TTIs and subframe TTIs respectively: Value <i>TRUE</i> indicates that the UE is allowed to transmit using this TTI length for the logical channel and the value <i>FALSE</i> indicates that the UE is not allowed to transmit using this TTI length for the logical channel. If not configured for a TTI length, then the UE is allowed to transmit this logical channel using this TTI length.</p>   |

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>SRmask</i>               | The field is optionally present if <i>ul-SpecificParameters</i> is present, need OR; otherwise it is not present. |
| <i>UL</i>                   | The field is mandatory present for UL logical channels; otherwise it is not present.                              |

## – LWA-Configuration

The IE *LWA-Configuration* is used to setup/modify/release LTE-WLAN Aggregation.

```
-- ASN1START
LWA-Configuration-r13 ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        lwa-Config-r13  LWA-Config-r13
    }
}
```

```

LWA-Config-r13 ::= SEQUENCE {
  lwa-MobilityConfig-r13      WLAN-MobilityConfig-r13  OPTIONAL,  -- Need ON
  lwa-WT-Counter-r13         INTEGER (0..65535)          OPTIONAL,  -- Need ON
  ...
  [[ wt-MAC-Address-r14      OCTET STRING (SIZE (6)) OPTIONAL  -- Need ON
  ]]
}

-- ASN1STOP

```

#### **LWA-Configuration field descriptions**

##### ***lwa-MobilityConfig***

Indicates the parameters used for WLAN mobility.

##### ***lwa-WT-Counter***

Indicates the parameter used by UE for WLAN authentication.

##### ***wt-MAC-Address***

Indicates the WT MAC address of the WT handling the LWA operation for the UE. The UE uses this MAC address in uplink transmissions to enable routing of LWA uplink data from the AP to the WT. E-UTRAN configures the field only if *ul-LWA-Config-r14* is configured for at least one LWA bearer.

## – *LWIP-Configuration*

The IE *LWIP-Configuration* is used to add, modify or release DRBs that are using LWIP Tunnel.

```

-- ASN1START

LWIP-Configuration-r13 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    lwip-Config-r13  LWIP-Config-r13
  }
}

LWIP-Config-r13 ::= SEQUENCE {
  lwip-MobilityConfig-r13  WLAN-MobilityConfig-r13  OPTIONAL,  -- Need ON
  tunnelConfigLWIP-r13    TunnelConfigLWIP-r13      OPTIONAL,  -- Need ON
  ...
}

-- ASN1STOP

```

#### **LWIP-Configuration field descriptions**

##### ***lwip-MobilityConfig***

Indicates the WLAN mobility set for LWIP.

##### ***tunnelConfigLWIP***

Indicates the parameters used for establishing the LWIP tunnel.

## – *MAC-MainConfig*

The IE *MAC-MainConfig* is used to specify the MAC main configuration for signalling and data radio bearers. All MAC main configuration parameters can be configured independently per Cell Group (i.e. MCG or SCG), unless explicitly specified otherwise.

#### **MAC-MainConfig information element**

```

-- ASN1START

MAC-MainConfig ::= SEQUENCE {
  ul-SCH-Config      SEQUENCE {
    maxHARQ-Tx      ENUMERATED {
      n1, n2, n3, n4, n5, n6, n7, n8,
      n10, n12, n16, n20, n24, n28,
      spare2, spare1}  OPTIONAL,  -- Need ON
    periodicBSR-Timer  PeriodicBSR-Timer-r12  OPTIONAL,  -- Need ON
    retxBSR-Timer      RetxBSR-Timer-r12,
    ttiBundling        BOOLEAN
  }
}

```

```

}
drx-Config                                DRX-Config                                OPTIONAL,  -- Need ON
timeAlignmentTimerDedicated                TimeAlignmentTimer,                       OPTIONAL,  -- Need ON
phr-Config                                CHOICE {
  release                                  NULL,
  setup                                  SEQUENCE {
    periodicPHR-Timer                     ENUMERATED {sf10, sf20, sf50, sf100, sf200,
                                                sf500, sf1000, infinity},
    prohibitPHR-Timer                     ENUMERATED {sf0, sf10, sf20, sf50, sf100,
                                                sf200, sf500, sf1000},
    dl-PathlossChange                     ENUMERATED {dB1, dB3, dB6, infinity}
  }
}
...
[[ sr-ProhibitTimer-r9                     INTEGER (0..7)                             OPTIONAL  -- Need ON
]],
[[ mac-MainConfig-v1020                    SEQUENCE {
  sCellDeactivationTimer-r10              ENUMERATED {
    rf2, rf4, rf8, rf16, rf32, rf64, rf128,
    spare}                                  OPTIONAL,  -- Need OP
  extendedBSR-Sizes-r10                   ENUMERATED {setup}                         OPTIONAL,  -- Need OR
  extendedPHR-r10                         ENUMERATED {setup}                         OPTIONAL,  -- Need OR
}
]],
[[ stag-ToReleaseList-r11                  STAG-ToReleaseList-r11                    OPTIONAL,  -- Need ON
  stag-ToAddModList-r11                   STAG-ToAddModList-r11                    OPTIONAL,  -- Need ON
  drx-Config-v1130                         DRX-Config-v1130                         OPTIONAL,  -- Need ON
]],
[[ e-HARQ-Pattern-r12                      BOOLEAN                                     OPTIONAL,  -- Need ON
  dualConnectivityPHR                     CHOICE {
    release                                  NULL,
    setup                                  SEQUENCE {
      phr-ModeOtherCG-r12                 ENUMERATED {real, virtual}
    }
}
logicalChannelSR-Config-r12               CHOICE {
  release                                  NULL,
  setup                                  SEQUENCE {
    logicalChannelSR-ProhibitTimer-r12    ENUMERATED {sf20, sf40, sf64, sf128, sf512,
sf1024, sf2560, spare1}
  }
}
]],
[[ drx-Config-v1310                        DRX-Config-v1310                         OPTIONAL,  -- Need ON
  extendedPHR2-r13                         BOOLEAN                                     OPTIONAL,  -- Need ON
  eDRX-Config-CycleStartOffset-r13        CHOICE {
    release                                  NULL,
    setup                                  CHOICE {
      sf5120                               INTEGER(0..1),
      sf10240                              INTEGER(0..3)
    }
}
]],
[[ drx-Config-r13                          CHOICE {
  release                                  NULL,
  setup                                  DRX-Config-r13
}
]],
[[ skipUplinkTx-r14                        CHOICE {
  release                                  NULL,
  setup                                  SEQUENCE {
    skipUplinkTxSPS-r14                    ENUMERATED {true}                         OPTIONAL,  -- Need OR
    skipUplinkTxDynamic-r14                ENUMERATED {true}                         OPTIONAL,  -- Need OR
  }
}
dataInactivityTimerConfig-r14             CHOICE {
  release                                  NULL,
  setup                                  SEQUENCE {
    dataInactivityTimer-r14                DataInactivityTimer-r14
  }
}
]],
[[ rai-Activation-r14                       ENUMERATED {true}                         OPTIONAL,  -- Need OR
]],
[[ shortTTI-AndSPT-r15                     CHOICE {
  release                                  NULL,
  setup                                  SEQUENCE {

```

```

drx-Config-r15                DRX-Config-r15                OPTIONAL, -- Need ON
periodicBSR-Timer-r15         ENUMERATED {
                                sf1, sf5, sf10, sf16, sf20, sf32, sf40,
                                sf64, sf80, sf128, sf160, sf320, sf640,
                                sf1280, sf2560, infinity}
                                OPTIONAL, -- Need ON
proc-Timeline-r15             ENUMERATED {nplus4set1, nplus6set1,
                                nplus6set2, nplus8set2 }   OPTIONAL, -- Need ON
ssr-ProhibitTimer-r15         INTEGER (0..7)                OPTIONAL -- Need ON
}
mpdcch-UL-HARQ-ACK-FeedbackConfig-r15  BOOLEAN                OPTIONAL, -- Need ON
dormantStateTimers-r15        CHOICE {
  release                      NULL,
  setup                        SEQUENCE {
    sCellHibernationTimer-r15  ENUMERATED {
                                rf2, rf4, rf8, rf16, rf32, rf64, rf128, spare}
                                OPTIONAL, -- Need OR
    dormantSCellDeactivationTimer-r15  ENUMERATED {
                                rf2, rf4, rf8, rf16, rf32, rf64,
                                rf128, rf320, rf640, rf1280, rf2560,
                                rf5120, rf10240, spare3, spare2, spare1}
                                OPTIONAL -- Need OR
  }
}
]]
}
MAC-MainConfigSCell-r11 ::= SEQUENCE {
  stag-Id-r11                  STAG-Id-r11                OPTIONAL, -- Need OP
  ...
}
DRX-Config ::= CHOICE {
  release                      NULL,
  setup                        SEQUENCE {
    onDurationTimer            ENUMERATED {
                                psf1, psf2, psf3, psf4, psf5, psf6,
                                psf8, psf10, psf20, psf30, psf40,
                                psf50, psf60, psf80, psf100,
                                psf200},
    drx-InactivityTimer        ENUMERATED {
                                psf1, psf2, psf3, psf4, psf5, psf6,
                                psf8, psf10, psf20, psf30, psf40,
                                psf50, psf60, psf80, psf100,
                                psf200, psf300, psf500, psf750,
                                psf1280, psf1920, psf2560, psf0-v1020,
                                spare9, spare8, spare7, spare6,
                                spare5, spare4, spare3, spare2,
                                spare1},
    drx-RetransmissionTimer    ENUMERATED {
                                psf1, psf2, psf4, psf6, psf8, psf16,
                                psf24, psf33},
    longDRX-CycleStartOffset  CHOICE {
      sf10                     INTEGER(0..9),
      sf20                     INTEGER(0..19),
      sf32                     INTEGER(0..31),
      sf40                     INTEGER(0..39),
      sf64                     INTEGER(0..63),
      sf80                     INTEGER(0..79),
      sf128                   INTEGER(0..127),
      sf160                   INTEGER(0..159),
      sf256                   INTEGER(0..255),
      sf320                   INTEGER(0..319),
      sf512                   INTEGER(0..511),
      sf640                   INTEGER(0..639),
      sf1024                  INTEGER(0..1023),
      sf1280                  INTEGER(0..1279),
      sf2048                  INTEGER(0..2047),
      sf2560                  INTEGER(0..2559)
    },
    shortDRX                   SEQUENCE {
      shortDRX-Cycle           ENUMERATED {
                                sf2, sf5, sf8, sf10, sf16, sf20,
                                sf32, sf40, sf64, sf80, sf128, sf160,
                                sf256, sf320, sf512, sf640},
      drxShortCycleTimer       INTEGER (1..16)
    }
  }
}
OPTIONAL -- Need OR
}

```

```

DRX-Config-v1130 ::=
  drx-RetransmissionTimer-v1130
  longDRX-CycleStartOffset-v1130
    sf60-v1130
    sf70-v1130
  }
  shortDRX-Cycle-v1130
}
DRX-Config-v1310 ::=
  longDRX-CycleStartOffset-v1310
  sf60-v1310
}
}
DRX-Config-r13 ::=
  onDurationTimer-v1310
  drx-RetransmissionTimer-v1310
  drx-ULRetransmissionTimer-r13
}
DRX-Config-r15 ::=
  drx-RetransmissionTimerShortTTI-r15
OR
  drx-UL-RetransmissionTimerShortTTI-r15
}
PeriodicBSR-Timer-r12 ::=
RetxBSR-Timer-r12 ::=
STAG-ToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxSTAG-r11)) OF STAG-Id-r11
STAG-ToAddModList-r11 ::= SEQUENCE (SIZE (1..maxSTAG-r11)) OF STAG-ToAddMod-r11
STAG-ToAddMod-r11 ::= SEQUENCE {
  stag-Id-r11          STAG-Id-r11,
  timeAlignmentTimerSTAG-r11  TimeAlignmentTimer,
  ...
}
STAG-Id-r11 ::=
  INTEGER (1..maxSTAG-r11)
-- ASN1STOP

```



| <b>MAC-MainConfig field descriptions</b>  |
|---|
| <p><b>dl-PathlossChange</b><br/>DL Pathloss Change and the change of the required power backoff due to power management (as allowed by P-MPRc, see TS 36.101 [42]) for PHR reporting in TS 36.321 [6]. Value in dB. Value dB1 corresponds to 1 dB, dB3 corresponds to 3 dB and so on. The same value applies for each serving cell (although the associated functionality is performed independently for each cell).</p>  |
| <p><b>dormantSCellDeactivationTimer</b><br/>SCell deactivation timer for UEs supporting dormant state as specified in TS 36.321 [6]. Value in number of radio frames. Value rf4 corresponds to 4 radio frames, value rf8 corresponds to 8 radio frames and so on. E-UTRAN only configures the field if the UE is configured with one or more SCells other than the PSCell and PUCCH SCell. The same value applies for each SCell of a Cell Group (i.e. MCG or SCG) (although the associated functionality is performed independently for each SCell). Field <i>dormantSCellDeactivationTimer</i> does not apply for the PUCCH SCell.</p>                              |
| <p><b>drx-Config</b><br/>Used to configure DRX as specified in TS 36.321 [6]. E-UTRAN configures the values in <i>DRX-Config-v1130</i> only if the UE indicates support for IDC indication. E-UTRAN configures <i>drx-Config-v1130</i>, <i>drx-Config-v1310</i> and <i>drx-Config-r13</i> only if <i>drx-Config</i> (without suffix) is configured. E-UTRAN configures <i>drx-Config-r13</i> only if UE supports CE or if the UE is configured with uplink of an LAA SCell.</p>   |
| <p><b>drx-InactivityTimer</b><br/>Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf0 corresponds to 0 PDCCH sub-frame and behaviour as specified in 7.3.2 applies, value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.</p>  |
| <p><b>drx-RetransmissionTimer</b><br/>Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf0 corresponds to 0 PDCCH sub-frame and behaviour as specified in 7.3.2 applies, value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on. In case <i>drx-RetransmissionTimer-v1130</i> or <i>drx-RetransmissionTimer-v1310</i> is signalled, the UE shall ignore <i>drx-RetransmissionTimer</i> (i.e. without suffix).</p>   |
| <p><b>drx-RetransmissionTimerShortTTI</b><br/>Timer for DRX in TS 36.321 [6]. Value in number of short TTIs when short TTI is configured. Value <i>tii10</i> corresponds to 10 TTIs, value <i>tii20</i> corresponds to 20 TTIs and so on.</p>   |
| <p><b>drx-ULRetransmissionTimer</b><br/>Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf0 corresponds to 0 PDCCH sub-frame and behaviour as specified in 7.3.2 applies, value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.</p>  |
| <p><b>drx-UL-RetransmissionTimerShortTTI</b><br/>Timer for DRX in TS 36.321 [6]. Value in number of short TTIs when short TTI is configured. Value <i>tii0</i> corresponds to 0 TTIs and behaviour as specified in 7.3.2 applies, value <i>tii1</i> corresponds to 1 TTI and so on.</p>   |
| <p><b>drxShortCycleTimer</b><br/>Timer for DRX in TS 36.321 [6]. Value in multiples of shortDRX-Cycle. A value of 1 corresponds to shortDRX-Cycle, a value of 2 corresponds to 2 * shortDRX-Cycle and so on.</p>  |
| <p><b>dualConnectivityPHR</b><br/>Indicates if power headroom shall be reported using Dual Connectivity Power Headroom Report MAC Control Element defined in TS 36.321 [6] (value <i>setup</i>). For both LTE DC and (NG)EN-DC, if PHR functionality is configured, E-UTRAN always configures the value <i>setup</i> for this field and configures <i>phr-Config</i> and <i>dualConnectivityPHR</i>. For LTE DC, E-UTRAN configures the field for both CGs while for (NG)EN-DC, E-UTRAN configures the field only for MCG.</p>  |
| <p><b>e-HARQ-Pattern</b><br/>TRUE indicates that enhanced HARQ pattern for TTI bundling is enabled for FDD. E-UTRAN enables this field only when <i>tiiBundling</i> is set to <i>TRUE</i>.</p>  |
| <p><b>eDRX-Config-CycleStartOffset</b><br/>Indicates <i>longDRX-Cycle</i> and <i>drxStartOffset</i> in TS 36.321 [6]. The value of <i>longDRX-Cycle</i> is in number of sub-frames. The value of <i>drxStartOffset</i>, in number of subframes, is indicated by the value of <i>eDRX-Config-CycleStartOffset</i> multiplied by 2560 plus the offset value configured in <i>longDRX-CycleStartOffset</i>. E-UTRAN only configures value <i>setup</i> when the value in <i>longDRX-CycleStartOffset</i> is sf2560.</p>  |
| <p><b>extendedBSR-Sizes</b><br/>If value <i>setup</i> is configured, the BSR index indicates extended BSR size levels as defined in TS 36.321 [6], Table 6.1.3.1-2.</p>   |
| <p><b>extendedPHR</b><br/>Indicates if power headroom shall be reported using the Extended Power Headroom Report MAC control element defined in TS 36.321 [6] (value <i>setup</i>). E-UTRAN always configures the value <i>setup</i> if more than one and up to eight Serving Cell(s) with uplink is configured and none of the serving cells with uplink configured has a <i>servingCellIndex</i> higher than seven and if PUCCH on SCell is not configured and if dual connectivity is not configured. E-UTRAN configures <i>extendedPHR</i> only if <i>phr-Config</i> is configured. The UE shall release <i>extendedPHR</i> if <i>phr-Config</i> is released.</p> |
| <p><b>extendedPHR2</b><br/>Indicates if power headroom shall be reported using the Extended Power Headeroom Report MAC Control Element defined in TS 36.321 [6] (value <i>setup</i>). E-UTRAN always configures the value <i>setup</i> if any of the serving cells with uplink configured has a <i>servingCellIndex</i> higher than seven in case dual connectivity is not configured or if PUCCH SCell (with any number of serving cells with uplink configured) is configured. E-UTRAN configures <i>extendedPHR2</i> only if <i>phr-Config</i> is configured. The UE shall release <i>extendedPHR2</i> if <i>phr-Config</i> is released.</p>                       |

| <b>MAC-MainConfig field descriptions</b>  |
|---|
| <p><b>logicalChannelSR-ProhibitTimer</b><br/>Timer used to delay the transmission of an SR for logical channels enabled by <i>logicalChannelSR-Prohibit</i>. Value sf20 corresponds to 20 subframes, sf40 corresponds to 40 subframes, and so on. See TS 36.321 [6].</p>  |
| <p><b>longDRX-CycleStartOffset</b><br/><i>longDRX-Cycle</i> and <i>drxStartOffset</i> in TS 36.321 [6] unless <i>eDRX-Config-CycleStartOffset</i> is configured. The value of <i>longDRX-Cycle</i> is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. If <i>shortDRX-Cycle</i> is configured, the value of <i>longDRX-Cycle</i> shall be a multiple of the <i>shortDRX-Cycle</i> value. The value of <i>drxStartOffset</i> value is in number of sub-frames. In case <i>longDRX-CycleStartOffset-v1130</i> is signalled, the UE shall ignore <i>longDRX-CycleStartOffset</i> (i.e. without suffix). In case <i>longDRX-CycleStartOffset-v1310</i> is signalled, the UE shall ignore <i>longDRX-CycleStartOffset</i> (i.e. without suffix).</p> |
| <p><b>maxHARQ-Tx</b><br/>Maximum number of transmissions for UL HARQ in TS 36.321 [6].</p>  |
| <p><b>mpdcch-UL-HARQ-ACK-FeedbackConfig</b><br/>TRUE indicates E-UTRAN may send UL HARQ-ACK feedback or UL grant corresponding to a new transmission for early termination of PUSCH transmission, or positive acknowledgement of completed PUSCH transmissions as specified in TS 36.321 [6] and TS 36.212 [22]. In case of acknowledgement of RRC Connection Release, MPDCCH monitoring is terminated.</p>   |
| <p><b>onDurationTimer</b><br/>Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on. In case <i>onDurationTimer-v1310</i> is signalled, the UE shall ignore <i>onDurationTimer</i> (i.e. without suffix).</p>  |
| <p><b>periodicBSR-Timer</b><br/>Timer for BSR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on.</p>  |
| <p><b>periodicPHR-Timer</b><br/>Timer for PHR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 subframes, sf20 corresponds to 20 subframes and so on.</p>  |
| <p><b>phr-ModeOtherCG</b><br/>Indicates the mode (i.e. <i>real</i> or <i>virtual</i>) used for the PHR of the activated cells that are part of the other Cell Group (i.e. MCG or SCG), when DC is configured.</p>   |
| <p><b>proc-Timeline</b><br/>Minimum processing timeline for short TTI with subslot operation. Value nplus4set1 indicates processing time n+4 for set 1, value nplus6set1 indicates processing time n+6 for set 1, value nplus6set2 indicates processing time n+6 for set and value nplus8set2 indicates processing time n+8 for set 2. See also UE capability <i>min-Proc-TimelineSubslot</i> for sTTI.</p>   |
| <p><b>prohibitPHR-Timer</b><br/>Timer for PHR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf0 corresponds to 0 subframes and behaviour as specified in 7.3.2 applies, sf100 corresponds to 100 subframes and so on.</p>  |
| <p><b>rai-Activation</b><br/>Activation of release assistance indication (RAI) in TS 36.321 [6] for BL UEs.</p>   |
| <p><b>retxBSR-Timer</b><br/>Timer for BSR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf640 corresponds to 640 sub-frames, sf1280 corresponds to 1280 sub-frames and so on.</p>  |
| <p><b>sCellDeactivationTimer</b><br/>SCell deactivation timer in TS 36.321 [6]. Value in number of radio frames. Value rf4 corresponds to 4 radio frames, value rf8 corresponds to 8 radio frames and so on. E-UTRAN only configures the field if the UE is configured with one or more SCells other than the PSCell and PUCCH SCell. If the field is absent, the UE shall delete any existing value for this field and assume the value to be set to <i>infinity</i>. The same value applies for each SCell of a Cell Group (i.e. MCG or SCG) (although the associated functionality is performed independently for each SCell). Field <i>sCellDeactivationTimer</i> does not apply for the PUCCH SCell.</p>   |
| <p><b>sCellHibernationTimer</b><br/>SCell hibernation timer for UEs supporting dormant SCell state as specified in TS 36.321 [6]. Value in number of radio frames. Value rf4 corresponds to 4 radio frames, value rf8 corresponds to 8 radio frames and so on. E-UTRAN only configures the field if the UE is configured with one or more SCells other than the PSCell and PUCCH SCell. The same value applies for each SCell of a Cell Group (i.e. MCG or SCG) (although the associated functionality is performed independently for each SCell). Field <i>sCellHibernationTimer</i> does not apply for the PUCCH SCell.</p>   |
| <p><b>shortDRX-Cycle</b><br/>Short DRX cycle in TS 36.321 [6]. Value in number of sub-frames. Value sf2 corresponds to 2 sub-frames, sf5 corresponds to 5 subframes and so on. In case <i>shortDRX-Cycle-v1130</i> is signalled, the UE shall ignore <i>shortDRX-Cycle</i> (i.e. without suffix). Short DRX cycle is not configured for UEs in CE.</p>  |
| <p><b>skipUplinkTxDynamic</b><br/>If configured, the UE skips UL transmissions for an uplink grant other than a configured uplink grant if no data is available for transmission in the UE buffer as described in TS 36.321 [6].</p>  |

| <b>MAC-MainConfig field descriptions</b>  |
|---|
| <p><b>skipUplinkTxSPS</b><br/>If configured, the UE skips UL transmissions for a configured uplink grant if no data is available for transmission in the UE buffer as described in TS 36.321 [6]. E-UTRAN always configures <i>skipUplinkTxSPS</i> when there is at least one SPS configuration with <i>semiPersistSchedIntervalUL</i> shorter than <i>sf10</i> or when at least one SPS-ConfigUL-STTI is configured for the cell group.</p>  |
| <p><b>sr-ProhibitTimer</b><br/>Timer for SR transmission on PUCCH in TS 36.321 [6]. Value in number of SR period(s) of shortest SR period of any serving cell with PUCCH. Value 0 means that behaviour as specified in 7.3.2 applies. Value 1 corresponds to one SR period, Value 2 corresponds to 2*SR periods and so on. SR period is defined in TS 36.213 [23], table 10.1.5-1.</p>  |
| <p><b>ssr-ProhibitTimer</b><br/>Timer for prohibiting SR transmission on SPUCCH in TS 36.321 [6]. Value in number of SR period(s) of shortest SR period of any serving cell with SPUCCH. Value 0 means that behaviour as specified in 7.3.2 applies. Value 1 corresponds to one SR period, value 2 corresponds to 2 SR periods and so on. SR period is defined in TS 36.213 [23], table 10.1.5-1.</p>   |
| <p><b>stag-Id</b><br/>Indicates the TAG of an SCell, see TS 36.321 [6]. Uniquely identifies the TAG within the scope of a Cell Group (i.e. MCG or SCG). If the field is not configured for an SCell (e.g. absent in <i>MAC-MainConfigSCell</i>), the SCell is part of the PTAG.</p>   |
| <p><b>stag-ToAddModList, stag-ToReleaseList</b><br/>Used to configure one or more STAGs. E-UTRAN ensures that a STAG contains at least one SCell with configured uplink. If, due to SCell release a reconfiguration would result in an 'empty' TAG, E-UTRAN includes release of the concerned TAG.</p>  |
| <p><b>timeAlignmentTimerSTAG</b><br/>Indicates the value of the time alignment timer for an STAG, see TS 36.321 [6].</p>  |
| <p><b>ttiBundling</b><br/>TRUE indicates that TTI bundling TS 36.321 [6] is enabled while FALSE indicates that TTI bundling is disabled. TTI bundling can be enabled for FDD and for TDD for configurations 0, 1 and 6 and additionally for configurations 2 and 3 when <i>symPUSCH-UpPTS-r14</i> is configured. The functionality is performed independently per Cell Group (i.e. MCG or SCG), but E-UTRAN does not configure TTI bundling for the SCG. For a TDD PCell, E-UTRAN does not simultaneously enable TTI bundling and semi-persistent scheduling in this release of specification. Furthermore, for a Cell Group, E-UTRAN does not simultaneously configure TTI bundling and SCells with configured uplink, and E-UTRAN does not simultaneously configure TTI bundling and eIMTA.</p> |

## P-C-AndCBSR

The IE *P-C-AndCBSR* is used to specify the power control and codebook subset restriction configuration.

### P-C-AndCBSR information elements

```

-- ASN1START
P-C-AndCBSR-r11 ::= SEQUENCE {
    p-C-r11                INTEGER (-8..15),
    codebookSubsetRestriction-r11  BIT STRING
}

P-C-AndCBSR-r13 ::= SEQUENCE {
    p-C-r13                INTEGER (-8..15),
    cbsr-Selection-r13     CHOICE {
        nonPrecoded-r13    SEQUENCE {
            codebookSubsetRestriction1-r13  BIT STRING,
            codebookSubsetRestriction2-r13  BIT STRING
        },
        beamformedK1a-r13   SEQUENCE {
            codebookSubsetRestriction3-r13  BIT STRING
        },
        beamformedKN-r13    SEQUENCE {
            codebookSubsetRestriction-r13   BIT STRING
        }
    },
    ...
}

P-C-AndCBSR-r15 ::= SEQUENCE {
    p-C-r15                INTEGER (-8..15),
    codebookSubsetRestriction4-r15  BIT STRING
}

```

```

P-C-AndCBSR-Pair-r13a ::= SEQUENCE (SIZE (1..2)) OF P-C-AndCBSR-r11
P-C-AndCBSR-Pair-r13 ::= SEQUENCE (SIZE (1..2)) OF P-C-AndCBSR-r13
P-C-AndCBSR-Pair-r15 ::= SEQUENCE (SIZE (1..2)) OF P-C-AndCBSR-r15
-- ASN1STOP

```

### P-C-AndCBSR field descriptions

#### cbsr-Selection

Indicates which codebook subset restriction parameter(s) are to be used. E-UTRAN applies values *nonPrecoded* when *eMIMO-Type* is set to *nonPrecoded*. E-UTRAN applies value *beamformedK1a* when *eMIMO-Type* is set to *beamformed*, *alternativeCodebookEnabledBeamformed* is set to *TRUE* and *csi-RS-ConfigNZPIdListExt* is not configured. E-UTRAN applies value *beamformedKN* when *csi-RS-ConfigNZPIdListExt* is configured. E-UTRAN applies value *beamformedKN* when *eMIMO-Type* is set to *beamformed*, *csi-RS-ConfigNZPIdListExt* is not configured and *alternativeCodebookEnabledBeamformed* is set to *FALSE*.

#### codebookSubsetRestriction

Parameter: *codebookSubsetRestriction*, see TS 36.213 [23] and TS 36.211 [21]. The number of bits in the *codebookSubsetRestriction* for applicable transmission modes is defined in TS 36.213 [23].

#### codebookSubsetRestriction1

Parameter: *codebookSubsetRestriction1*, see TS 36.213 [23], Table 7.2-1d. The number of bits in the *codebookSubsetRestriction1* for applicable transmission modes is defined in TS 36.213 [23].

#### codebookSubsetRestriction2

Parameter: *codebookSubsetRestriction2*, see TS 36.213 [23], Table 7.2-1e. The number of bits in the *codebookSubsetRestriction2* for applicable transmission modes is defined in TS 36.213 [23].

#### codebookSubsetRestriction3

Parameter: *codebookSubsetRestriction3*, see TS 36.213 [23], Table 7.2-1f. The UE shall ignore *codebookSubsetRestriction-r11* or *codebookSubsetRestriction-r10* if *codebookSubsetRestriction3-r13* is configured. The number of bits in the *codebookSubsetRestriction3* for applicable transmission modes is defined in TS 36.213 [23].

#### codebookSubsetRestriction4

Parameter: *codebookSubsetRestriction4*, see TS 36.213 [23], Table 7.2. The number of bits in the *codebookSubsetRestriction4* for applicable transmission modes is defined in TS 36.213 [23].

#### p-C

Parameter:  $P_c$ , see TS 36.213 [23], clause 7.2.5.

#### P-C-AndCBSR-Pair

E-UTRAN includes a single entry if the UE is configured with TM9. If the UE is configured with TM10 and E-UTRAN includes 2 entries, this indicates that the subframe patterns configured for CSI (CQI/PMI/PTI/RI/CRI) reporting (i.e. as defined by field *csi-MeasSubframeSet1* and *csi-MeasSubframeSet2*, or as defined by *csi-MeasSubframeSets-r12*) are to be used for this CSI process, while including a single entry indicates that the subframe patterns are not to be used for this CSI process. For a UE configured with TM10, E-UTRAN does not include 2 entries with *csi-MeasSubframeSet1* and *csi-MeasSubframeSet2* for CSI processes concerning a secondary frequency. Furthermore, E-UTRAN includes 2 entries when configuring both *cqi-pmi-ConfigIndex* and *cqi-pmi-ConfigIndex2*.

## PDCCH-ConfigSCell

The IE *PDCCH-ConfigSCell* specifies PDCCH monitoring parameters that E-UTRAN may configure for a serving cell.

### PDCCH-ConfigSCell information element

```

-- ASN1START
PDCCH-ConfigSCell-r13 ::= SEQUENCE {
    skipMonitoringDCI-format0-1A-r13  ENUMERATED {true}          OPTIONAL  -- Need OR
}
PDCCH-ConfigLAA-r14 ::= SEQUENCE {
    maxNumberOfSchedSubframes-Format0B-r14  ENUMERATED {sf2, sf3, sf4}  OPTIONAL,  -- Need OR
    maxNumberOfSchedSubframes-Format4B-r14  ENUMERATED {sf2, sf3, sf4}  OPTIONAL,  -- Need OR
    skipMonitoringDCI-Format0A-r14          ENUMERATED {true}          OPTIONAL,  -- Need OR
    skipMonitoringDCI-Format4A-r14          ENUMERATED {true}          OPTIONAL,  -- Need OR
    pdcch-CandidateReductions-Format0A-r14
        PDCCH-CandidateReductions-r13      OPTIONAL,  -- Need ON
    pdcch-CandidateReductions-Format4A-r14
        PDCCH-CandidateReductionsLAA-UL-r14  OPTIONAL,  -- Need ON
    pdcch-CandidateReductions-Format0B-r14
        PDCCH-CandidateReductionsLAA-UL-r14  OPTIONAL,  -- Need ON
    pdcch-CandidateReductions-Format4B-r14

```

```

}
PDCCH-CandidateReductionsLAA-UL-r14 OPTIONAL -- Need ON
}
PDCCH-CandidateReductionValue-r13 ::= ENUMERATED {n0, n33, n66, n100}
PDCCH-CandidateReductionValue-r14 ::= ENUMERATED {n0, n50, n100, n150}
PDCCH-CandidateReductions-r13 ::= CHOICE {
  release NULL,
  setup SEQUENCE {
    pdcch-candidateReductionAL1-r13 PDCCH-CandidateReductionValue-r13,
    pdcch-candidateReductionAL2-r13 PDCCH-CandidateReductionValue-r13,
    pdcch-candidateReductionAL3-r13 PDCCH-CandidateReductionValue-r13,
    pdcch-candidateReductionAL4-r13 PDCCH-CandidateReductionValue-r13,
    pdcch-candidateReductionAL5-r13 PDCCH-CandidateReductionValue-r13
  }
}
PDCCH-CandidateReductionsLAA-UL-r14 ::= CHOICE {
  release NULL,
  setup SEQUENCE {
    pdcch-candidateReductionAL1-r14 PDCCH-CandidateReductionValue-r13,
    pdcch-candidateReductionAL2-r14 PDCCH-CandidateReductionValue-r13,
    pdcch-candidateReductionAL3-r14 PDCCH-CandidateReductionValue-r14,
    pdcch-candidateReductionAL4-r14 PDCCH-CandidateReductionValue-r14,
    pdcch-candidateReductionAL5-r14 PDCCH-CandidateReductionValue-r14
  }
}
-- ASN1STOP

```

#### PDCCH-ConfigSCell field descriptions

|   |   |
|---|---|
| <b>maxNumberOfSchedSubframes-Format0B</b> | Indicates maximum number of schedulable subframes for DCI format 0B as specified in TS 36.213 [23]. Value sf2 corresponds to 2 subframes, value sf3 corresponds to 3 subframes and so on.   |
| <b>maxNumberOfSchedSubframes-Format4B</b> | Indicates maximum number of schedulable subframes for DCI format 4B as specified in TS 36.213 [23]. Value sf2 corresponds to 2 subframes, value sf3 corresponds to 3 subframes and so on.   |
| <b>skipMonitoringDCI-format0-1A</b>       | Indicates whether the UE is configured to omit monitoring DCI format 0/1A, see TS 36.213 [23], clause 9.1.1.  |
| <b>skipMonitoringDCI-Format0A</b>         | Indicates whether the UE is configured to omit monitoring DCI format 0A as specified in TS 36.213 [23].   |
| <b>skipMonitoringDCI-Format4A</b>         | Indicates whether the UE is configured to omit monitoring DCI format 4A as specified in TS 36.213 [23].   |
| <b>pdccch-candidateReductionALx</b>       | Indicates reduced (E)PDCCH monitoring requirements on UE specific search space of the x-th aggregation level, see TS 36.213 [23], clause 9.1.1. Value n0 corresponds to 0%, value n33 corresponds to 33% and so on.   |
| <b>pdccch-CandidateReductions-Formatx</b> | Indicates number of blind detections on UE specific search space for each aggregation layer as specified in TS 36.213 [23]. The field can only be present when the UE is configured with uplink of an LAA SCell. If <i>pdccch-CandidateReductions-Formatx</i> is not configured, <i>pdccch-CandidateReductions-r13</i> applies to the corresponding DCIs (if configured). |

## – PDCP-Config

The IE *PDCP-Config* is used to set the configurable PDCP parameters for data radio bearers.

#### PDCP-Config information element

```

-- ASN1START
PDCP-Config ::= SEQUENCE {
  discardTimer ENUMERATED {
    ms50, ms100, ms150, ms300, ms500,
    ms750, ms1500, infinity
  } OPTIONAL, -- Cond Setup
  rlc-AM SEQUENCE {
    statusReportRequired BOOLEAN
  } OPTIONAL, -- Cond Rlc-AM
  rlc-UM SEQUENCE {

```

```

    pdcpc-SN-Size          ENUMERATED {len7bits, len12bits}
    }
    headerCompression     CHOICE {
    notUsed                NULL,
    rohc                   SEQUENCE {
        maxCID             INTEGER (1..16383)          DEFAULT 15,
        profiles           SEQUENCE {
            profile0x0001  BOOLEAN,
            profile0x0002  BOOLEAN,
            profile0x0003  BOOLEAN,
            profile0x0004  BOOLEAN,
            profile0x0006  BOOLEAN,
            profile0x0101  BOOLEAN,
            profile0x0102  BOOLEAN,
            profile0x0103  BOOLEAN,
            profile0x0104  BOOLEAN
        },
        ...
    }
    },
    ...,
    [[ rn-IntegrityProtection-r10  ENUMERATED {enabled}  OPTIONAL  -- Cond RN
    ]],
    [[ pdcpc-SN-Size-v1130          ENUMERATED {len15bits}  OPTIONAL  -- Cond Rlc-AM2
    ]],
    [[ ul-DataSplitDRB-ViaSCG-r12  BOOLEAN  OPTIONAL,  -- Need ON
    t-Reordering-r12              ENUMERATED {
        ms0, ms20, ms40, ms60, ms80, ms100, ms120, ms140,
        ms160, ms180, ms200, ms220, ms240, ms260, ms280, ms300,
        ms500, ms750, spare14, spare13, spare12, spare11, spare10,
        spare9, spare8, spare7, spare6, spare5, spare4, spare3,
        spare2, spare1}  OPTIONAL  -- Cond SetupS
    ]],
    [[ ul-DataSplitThreshold-r13   CHOICE {
        release              NULL,
        setup                ENUMERATED {
            b0, b100, b200, b400, b800, b1600, b3200, b6400, b12800,
            b25600, b51200, b102400, b204800, b409600, b819200,
            spare1}
        }  OPTIONAL,  -- Need ON
    pdcpc-SN-Size-v1310          ENUMERATED {len18bits}  OPTIONAL,  -- Cond Rlc-AM3
    statusFeedback-r13          CHOICE {
        release              NULL,
        setup                SEQUENCE {
            statusPDU-TypeForPolling-r13  ENUMERATED {type1, type2}  OPTIONAL,  --
Need ON
            statusPDU-Periodicity-Type1-r13  ENUMERATED {
                ms5, ms10, ms20, ms30, ms40, ms50, ms60, ms70, ms80, ms90,
                ms100, ms150, ms200, ms300, ms500, ms1000, ms2000, ms5000,
                ms10000, ms20000, ms50000}  OPTIONAL,  -- Need ON
            statusPDU-Periodicity-Type2-r13  ENUMERATED {
                ms5, ms10, ms20, ms30, ms40, ms50, ms60, ms70, ms80, ms90,
                ms100, ms150, ms200, ms300, ms500, ms1000, ms2000, ms5000,
                ms10000, ms20000, ms50000}  OPTIONAL,  -- Need ON
            statusPDU-Periodicity-Offset-r13  ENUMERATED {
                ms1, ms2, ms5, ms10, ms25, ms50, ms100, ms250, ms500,
                ms2500, ms5000, ms25000}  OPTIONAL  -- Need ON
            }
        }  OPTIONAL  -- Need ON
    ]],
    [[ ul-LWA-Config-r14          CHOICE {
        release              NULL,
        setup                SEQUENCE {
            ul-LWA-DRB-ViaWLAN-r14  BOOLEAN,
            ul-LWA-DataSplitThreshold-r14  ENUMERATED {
                b0, b100, b200, b400, b800, b1600, b3200, b6400,
                b12800, b25600, b51200, b102400, b204800, b409600,
                b819200 }  OPTIONAL  -- Need OR
            }
        }  OPTIONAL,  -- Need ON
    uplinkOnlyHeaderCompression-r14  CHOICE {
    notUsed-r14                NULL,
    rohc-r14                   SEQUENCE {
        maxCID-r14            INTEGER (1..16383)          DEFAULT 15,
        profiles-r14          SEQUENCE {
            profile0x0006-r14  BOOLEAN
        },
        ...
    }

```

```
    }
  }
  OPTIONAL -- Need ON
}
[[
uplinkDataCompression-r15 SEQUENCE {
  bufferSize-r15      ENUMERATED {kbyte2, kbyte4, kbyte8, spare1},
  dictionary-r15      ENUMERATED {sip-SDP, operator} OPTIONAL, -- Need OR
  ...
}
pdcp-DuplicationConfig-r15 CHOICE {
  release      NULL,
  setup        SEQUENCE {
    pdcp-Duplication-r15      ENUMERATED {configured, activated}
  }
}
OPTIONAL -- Need ON
]]
}
-- ASN1STOP
```

| <b>PDCP-Config field descriptions</b>  |
|--|
| <p><b>bufferSize</b><br/>Indicates the buffer size applied for UDC specified in TS 36.323 [8]. Value <i>kbyte2</i> means 2048 bytes, <i>kbyte4</i> means 4096 bytes and so on. E-UTRAN does not reconfigure <i>bufferSize</i> for a DRB except for handover cases.</p>   |
| <p><b>dictionary</b><br/>Indicates which pre-defined dictionary is used for UDC as specified in TS 36.323 [8]. The value <i>sip-SDP</i> means that UE shall prefill the buffer with standard dictionary for SIP and SDP defined in TS 36.323 [8], and the value <i>operator</i> means that UE shall prefill the buffer with operator-defined dictionary.</p>   |
| <p><b>discardTimer</b><br/>Indicates the discard timer value specified in TS 36.323 [8]. Value in milliseconds. Value <i>ms50</i> means 50 ms, <i>ms100</i> means 100 ms and so on.</p>  |
| <p><b>headerCompression</b><br/>E-UTRAN does not reconfigure header compression for an MCG DRB except for upon handover and upon the first reconfiguration after RRC connection re-establishment, and without any <i>drb-ContinueROHC</i>. E-UTRAN does not reconfigure header compression for a SCG DRB except for upon SCG change involving PDCP re-establishment. E-UTRAN does not configure header compression while <i>t-Reordering</i> is configured. E-UTRAN only configures this field when neither <i>uplinkOnlyHeaderCompression</i> nor <i>uplinkDataCompression</i> is configured.<br/>If <i>headerCompression</i> is configured, the UE shall apply the configured ROHC profile(s) in both uplink and downlink.</p> |
| <p><b>maxCID</b><br/>Indicates the value of the MAX_CID parameter as specified in TS 36.323 [8]. The total value of MAX_CIDs across all bearers for the UE should be less than or equal to the value of <i>maxNumberROHC-ContextSessions</i> parameter as indicated by the UE.</p>   |
| <p><b>pdcp-Duplication</b><br/>Parameter for configuring PDCP duplication as specified in TS 36.323 [8]. Value <i>configured</i> indicates that PDCP duplication is configured but initially deactivated and value <i>activated</i> indicates that PDCP duplication is configured and activated upon configuration. For EN-DC, E-UTRAN configures PDCP duplication for MCG DRB only if PDCP duplication is not configured for any split DRB.</p>   |
| <p><b>pdcp-SN-Size</b><br/>Indicates the PDCP Sequence Number length in bits. For RLC UM: value <i>len7bits</i> means that the 7-bit PDCP SN format is used and <i>len12bits</i> means that the 12-bit PDCP SN format is used. For RLC AM: value <i>len15bits</i> means that the 15-bit PDCP SN format is used, value <i>len18bits</i> means that the 18-bit PDCP SN format is used, otherwise if the field is not included upon setup of the PDCP entity 12-bit PDCP SN format is used, as specified in TS 36.323 [8].</p>  |
| <p><b>profiles</b><br/>The profiles used by both compressor and decompressor in both UE and E-UTRAN. The field indicates which of the ROHC profiles specified in TS 36.323 [8] are supported, i.e. value <i>true</i> indicates that the profile is supported. Profile 0x0000 shall always be supported when the use of ROHC is configured. If support of two ROHC profile identifiers with the same 8 LSB's is signalled, only the profile corresponding to the highest value shall be applied.</p>  |
| <p><b>statusFeedback</b><br/>Indicates whether the UE shall send PDCP Status Report periodically or by E-UTRAN polling as specified in TS 36.323 [8]. E-UTRAN configures this field only for LWA DRB.</p>  |
| <p><b>statusPDU-TypeForPolling</b><br/>Indicates the PDCP Control PDU option when it is triggered by E-UTRAN polling. Value <i>type1</i> indicates using the legacy PDCP Control PDU for PDCP status reporting and value <i>type2</i> indicates using the LWA specific PDCP Control PDU for LWA status reporting as specified in TS 36.323 [8].</p>  |
| <p><b>statusPDU-Periodicity-Type1</b><br/>Indicates the value of the PDCP Status reporting periodicity for <i>type1</i> Status PDU, as specified in TS 36.323 [8]. Value in milliseconds. Value <i>ms5</i> means 5 ms, <i>ms10</i> means 10 ms and so on.</p>  |
| <p><b>statusPDU-Periodicity-Type2</b><br/>Indicates the value of the PDCP Status reporting periodicity for <i>type2</i> Status PDU, as specified in TS 36.323 [8]. Value in milliseconds. Value <i>ms5</i> means 5 ms, <i>ms10</i> means 10 ms and so on.</p>  |
| <p><b>statusPDU-Periodicity-Offset</b><br/>Indicates the value of the offset for <i>type2</i> Status PDU periodicity, as specified in TS 36.323 [8]. Value in milliseconds. Value <i>ms1</i> means 1 ms, <i>ms2</i> means 2 ms and so on.</p>  |
| <p><b>t-Reordering</b><br/>Indicates the value of the reordering timer, as specified in TS 36.323 [8]. Value in milliseconds. Value <i>ms0</i> means 0 ms and behaviour as specified in 7.3.2 applies, <i>ms20</i> means 20 ms and so on.</p>  |
| <p><b>rn-IntegrityProtection</b><br/>Indicates that integrity protection or verification shall be applied for all subsequent packets received and sent by the RN on the DRB.</p>   |
| <p><b>statusReportRequired</b><br/>Indicates whether or not the UE shall send a PDCP Status Report upon re-establishment of the PDCP entity and upon PDCP data recovery as specified in TS 36.323 [8].</p>   |
| <p><b>ul-DataSplitDRB-ViaSCG</b><br/>Indicates whether the UE shall send PDCP PDUs via SCG as specified in TS 36.323 [8]. E-UTRAN only configures the field (i.e. indicates value <i>TRUE</i>) for split DRBs. For PDCP duplication, if this field is set to <i>TRUE</i>, the primary RLC entity is SCG RLC entity and the secondary RLC entity is MCG RLC entity. If this field is not configured or set to <i>FALSE</i>, the primary RLC entity is MCG RLC entity and the secondary RLC entity is SCG RLC entity.</p>  |



| <b>PDCP-Config field descriptions</b>   |
|---|
| <p><b>ul-DataSplitThreshold</b><br/>Indicates the threshold value for uplink data split operation specified in TS 36.323 [8]. Value b100 means 100 Bytes, b200 means 200 Bytes and so on. E-UTRAN only configures this field for split DRBs.</p>  |
| <p><b>ul-LWA-DRB-ViaWLAN</b><br/>Indicates whether the UE shall send PDCP PDUs via the LWAAP entity as specified in TS 36.323 [8]. E-UTRAN only configures this field (i.e. indicates value <i>TRUE</i>) for LWA DRBs.</p>  |
| <p><b>ul-LWA-DataSplitThreshold</b><br/>Indicates the threshold value for uplink data split operation as specified in TS 36.323 [8]. Value b0 means 0 Bytes, b100 means 100 Bytes and so on. E-UTRAN only configures this field for LWA DRBs.</p>   |
| <p><b>uplinkDataCompression</b><br/>Indicates the UDC configuration that the UE shall apply. E-UTRAN does not configure <i>uplinkDataCompression</i> for a DRB, if <i>headerCompression</i> or <i>uplinkOnlyHeaderCompression</i> is already configured for the DRB. E-UTRAN does not configure <i>uplinkDataCompression</i> for the split and LWA DRBs. The maximum number of DRBs where <i>uplinkDataCompression</i> can be applied is two. In this version of the specification, for existing DRBs, E-UTRAN can only configure <i>uplinkDataCompression</i> via handover procedure.</p>                |
| <p><b>uplinkOnlyHeaderCompression</b><br/>Indicates the ROHC configuration that the UE shall apply uplink-only ROHC operations, see TS 36.323 [8]. E-UTRAN only configures this field when <i>headerCompression</i> is not configured.<br/>E-UTRAN does not reconfigure header compression for an MCG DRB except for upon handover and upon the first reconfiguration after RRC connection re-establishment. E-UTRAN does not reconfigure header compression for a SCG DRB except for upon SCG change involving PDCP re-establishment. For split and LWA DRBs E-UTRAN configures only <i>notUsed</i>.</p> |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>Rlc-AM</i>               | The field is mandatory present upon setup of a PDCP entity for a radio bearer configured with RLC AM. The field is optional, need ON, in case of reconfiguration of a PDCP entity at handover, at the first reconfiguration after RRC re-establishment or at SCG change involving PDCP re-establishment or PDCP data recovery for a radio bearer configured with RLC AM. Otherwise the field is not present.   |
| <i>Rlc-AM2</i>              | The field is optionally present, need OP, upon setup of a PDCP entity for a radio bearer configured with RLC AM. Otherwise the field is not present.   |
| <i>Rlc-AM3</i>              | The field is optionally present, need OP, upon setup of a PDCP entity for a radio bearer configured with RLC AM, if <i>pdcp-SN-Size-v1130</i> is absent. Otherwise the field is not present.   |
| <i>Rlc-AM4</i>              | The field is optionally present, need ON, upon setup of a PDCP entity for a radio bearer configured with RLC AM. The field is optional, need OP, in case of reconfiguration of a PDCP entity at handover. Otherwise the field is not present and the UE shall continue to use the existing value.  |
| <i>Rlc-UM</i>               | The field is mandatory present upon setup of a PDCP entity for a radio bearer configured with RLC UM. It is optionally present, Need ON, upon handover within E-UTRA, upon the first reconfiguration after re-establishment and upon SCG change involving PDCP re-establishment. Otherwise the field is not present.   |
| <i>RN</i>                   | The field is optionally present when signalled to the RN, need OR. Otherwise the field is not present.   |
| <i>Setup</i>                | The field is mandatory present in case of radio bearer setup. Otherwise the field is optionally present, need ON.  |
| <i>SetupS</i>               | The field is mandatory present in case of setup of or reconfiguration to a split DRB or LWA DRB as well as in case of setup of reconfiguration to a DRB associated with at least one RLC entity configured with <i>rlc-OutOfOrderDelivery</i> . The field is optionally present upon reconfiguration of a split DRB or LWA DRB or upon DRB type change from split to MCG DRB or from LWA to LTE only as well as upon reconfiguration of a DRB associated with at least one RLC entity configured with <i>rlc-OutOfOrderDelivery</i> , need ON. Otherwise the field is not present. |

## – PDSCH-Config

The IE *PDSCH-ConfigCommon* and the IE *PDSCH-ConfigDedicated* are used to specify the common and the UE specific PDSCH configuration respectively.

### **PDSCH-Config information element**

-- ASN1START

```

PDSCH-ConfigCommon ::= SEQUENCE {
  referenceSignalPower      INTEGER (-60..50),
  p-b                       INTEGER (0..3)
}

PDSCH-ConfigCommon-v1310 ::= SEQUENCE {
  pdsch-maxNumRepetitionCEmodeA-r13  ENUMERATED {
    r16, r32 }                                OPTIONAL,  -- Need OR
  pdsch-maxNumRepetitionCEmodeB-r13  ENUMERATED {
    r192, r256, r384, r512, r768, r1024,
    r1536, r2048 }                            OPTIONAL  -- Need OR
}

PDSCH-ConfigDedicated ::= SEQUENCE {
  p-a                       ENUMERATED {
    dB-6, dB-4dot77, dB-3, dB-1dot77,
    dB0, dB1, dB2, dB3}
}

PDSCH-ConfigDedicated-v1130 ::= SEQUENCE {
  dmrs-ConfigPDSCH-r11     DMRS-Config-r11                OPTIONAL,  -- Need ON
  qcl-Operation             ENUMERATED {typeA, typeB}        OPTIONAL,  -- Need OR
  re-MappingQCLConfigToReleaseList-r11  RE-MappingQCLConfigToReleaseList-r11  OPTIONAL,  --
Need ON
  re-MappingQCLConfigToAddModList-r11   RE-MappingQCLConfigToAddModList-r11   OPTIONAL  --
Need ON
}

PDSCH-ConfigDedicated-v1280 ::= SEQUENCE {
  tbsIndexAlt-r12          ENUMERATED {a26, a33}            OPTIONAL  -- Need OR
}

PDSCH-ConfigDedicated-v1310 ::= SEQUENCE {
  dmrs-ConfigPDSCH-v1310  DMRS-Config-v1310              OPTIONAL  -- Need ON
}

PDSCH-ConfigDedicated-v1430 ::= SEQUENCE {
  ce-PDSCH-MaxBandwidth-r14  ENUMERATED {bw5, bw20}          OPTIONAL,  -- Need OP
  ce-PDSCH-TenProcesses-r14  ENUMERATED {on}              OPTIONAL,  -- Need OR
  ce-HARQ-AckBundling-r14    ENUMERATED {on}             OPTIONAL,  -- Need OR
  ce-SchedulingEnhancement-r14  ENUMERATED {range1, range2}  OPTIONAL,  -- Need OR
  tbsIndexAlt2-r14          ENUMERATED {b33}              OPTIONAL  -- Need OR
}

PDSCH-ConfigDedicated-v1530 ::= SEQUENCE {
  qcl-Operation-v1530        ENUMERATED {typeC}            OPTIONAL,  -- Need OR
  tbs-IndexAlt3-r15         ENUMERATED {a37}              OPTIONAL,  -- Need OR
  ce-CQI-AlternativeTableConfig-r15  ENUMERATED {on}      OPTIONAL,  -- Need OR
  ce-PDSCH-64QAM-Config-r15  ENUMERATED {on}            OPTIONAL,  -- Need OR
  ce-PDSCH-FlexibleStartPRB-AllocConfig-r15  ENUMERATED {on}  OPTIONAL,  -- Need OR
  altMCS-TableScalingConfig-r15  ENUMERATED {oDot5, oDot625, oDot75, oDot875}  OPTIONAL  --
Need OR
}

PDSCH-ConfigDedicatedSCell-v1430 ::= SEQUENCE {
  tbsIndexAlt2-r14         ENUMERATED {b33}              OPTIONAL  -- Need OR
}

RE-MappingQCLConfigToAddModList-r11 ::= SEQUENCE (SIZE (1..maxRE-MapQCL-r11)) OF PDSCH-RE-
MappingQCL-Config-r11

RE-MappingQCLConfigToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxRE-MapQCL-r11)) OF PDSCH-RE-
MappingQCL-ConfigId-r11

PDSCH-RE-MappingQCL-Config-r11 ::= SEQUENCE {
  pdsch-RE-MappingQCL-ConfigId-r11  PDSCH-RE-MappingQCL-ConfigId-r11,
  optionalSetOfFields-r11           SEQUENCE {
    crs-PortsCount-r11             ENUMERATED {n1, n2, n4, spare1},
    crs-FreqShift-r11             INTEGER (0..5),
    mbsfn-SubframeConfigList-r11  CHOICE {
      release                       NULL,
      setup                       SEQUENCE {
        subframeConfigList        MBSFN-SubframeConfigList
      }
    }
  }
  pdsch-Start-r11                  ENUMERATED {reserved, n1, n2, n3, n4, assigned}
}
OPTIONAL,  -- Need ON
}
OPTIONAL,  -- Need OP
csi-RS-ConfigZPID-r11             CSI-RS-ConfigZPID-r11,

```

```

qcl-CSI-RS-ConfigNZPId-r11          CSI-RS-ConfigNZPId-r11          OPTIONAL,  -- Need OR
...
[[ mbsfn-SubframeConfigList-v1430 CHOICE {
    release          NULL,
    setup           SEQUENCE {
        subframeConfigList-v1430  MBSFN-SubframeConfigList-v1430
    }
}
]],
[[ codewordOneConfig-v1530          CHOICE {
    release          NULL,
    setup           SEQUENCE {
        crs-PortsCount-v1530      ENUMERATED {n1, n2, n4, spare1},
        crs-FreqShift-v1530       INTEGER (0..5),
        mbsfn-SubframeConfigList-v1530  MBSFN-SubframeConfigList OPTIONAL,
        mbsfn-SubframeConfigListExt-v1530 MBSFN-SubframeConfigList-v1430 OPTIONAL,
        pdsch-Start-v1530         ENUMERATED {reserved, n1, n2, n3, n4,
assigned},
        csi-RS-ConfigZPId-v1530    CSI-RS-ConfigZPId-r11,
        qcl-CSI-RS-ConfigNZPId-v1530 CSI-RS-ConfigNZPId-r11 OPTIONAL
    }
}
]],
}
-- ASN1STOP

```

| <b>PDSCH-Config field descriptions</b>  |
|---|
| <p><b>altMCS-TableScalingConfig</b><br/>Presence of the field indicates activation of 6-bit MCS table (i.e., <i>altMCS-Table</i>) for UE indicating support for <i>altMCS-Table</i>, see TS 36.212 [22] and TS 36.213 [23]. The indicated value configures the parameter <i>altMCS-Table-Scaling</i> where value <i>oDot5</i> corresponds to scaling factor 0.5, value <i>oDot625</i> corresponds to scaling factor 0.625 and so on, see TS 36.213 [23].</p>  |
| <p><b>ce-CQI-AlternativeTableConfig</b><br/>Configures the UE supporting alternative CQI table to use the alternative CQI table in CE mode A. See TS 36.213 [23].</p>   |
| <p><b>ce-HARQ-AckBundling</b><br/>Activation of PDSCH HARQ-ACK bundling in half duplex FDD in CE mode A, see TS 36.212 [22] and TS 36.213 [23].</p>   |
| <p><b>ce-PDSCH-64QAM-Config</b><br/>Activation of 64 QAM for non-repeated unicast PDSCH in CE mode A.</p>   |
| <p><b>ce-PDSCH-FlexibleStartPRB-AllocConfig</b><br/>Activation of flexible starting PRB for PDSCH resource allocation in CE mode A or B. E-UTRAN does not configure this field when E-UTRA system bandwidth is 1.4 MHz.</p>   |
| <p><b>ce-PDSCH-MaxBandwidth</b><br/>Maximum PDSCH channel bandwidth in CE mode A and B, see TS 36.212 [22] and TS 36.213 [23]. Value <i>bw5</i> corresponds to 5 MHz, and value <i>bw20</i> corresponds to 20 MHz. If this field is absent, the UE shall release any existing value and set the maximum PDSCH channel bandwidth in CE mode A and B to 1.4 MHz. Parameter: transmission bandwidth configuration, see TS 36.101 [42], table 5.6-1. The max bandwidth can be configured to 5MHz for BL UEs and 5MHz or 20MHz for UEs in CE.</p>  |
| <p><b>ce-PDSCH-TenProcesses</b><br/>Configuration of 10 (instead of 8) DL HARQ processes in FDD in CE mode A, see TS 36.212 [22] and TS 36.213 [23].</p>  |
| <p><b>ce-SchedulingEnhancement</b><br/>Activation of dynamic HARQ-ACK delay for HD-FDD for PDSCH in CE mode A controlled by the DCI, see TS 36.212 [22] and TS 36.213 [23]. Value <i>range1</i> corresponds to the first range of HARQ-ACK delays, and value <i>range2</i> corresponds to second range of HARQ-ACK delays.</p>  |
| <p><b>codewordOneConfig</b><br/>The field corresponds to codeword 1, see TS 36.213 [23], clause 7.1.10. If absent, the UE applies the values from the serving cell configured on the same frequency.</p>  |
| <p><b>mbsfn-SubframeConfigList</b><br/>Indicates the MBSFN configuration for the CSI-RS resources. If <i>optionalSetOfFields</i> is absent, the fields <i>mbsfn-SubframeConfigList-r11</i> and <i>mbsfn-SubframeConfigList-v1430</i> are released.</p>  |
| <p><b>optionalSetOfFields</b><br/>If absent, the UE releases the configuration provided previously, if any, and applies the values from the serving cell configured on the same frequency. If the UE is configured with <i>qcl-Operation-v1530</i>, this field corresponds to codeword 0, see TS 36.213 [23], clause 7.1.10.</p>  |
| <p><b>p-a</b><br/>Parameter: <math>P_A</math>, see TS 36.213 [23], clause 5.2. Value <i>dB-6</i> corresponds to -6 dB, <i>dB-4dot77</i> corresponds to -4.77 dB etc.</p>  |
| <p><b>p-b</b><br/>Parameter: <math>P_B</math>, see TS 36.213 [23], clause Table 5.2-1.</p>  |
| <p><b>pdsch-maxNumRepetitionCEmodeA</b><br/>Maximum value to indicate the set of PDSCH repetition numbers for CE mode A, see TS 36.211 [21] and TS 36.213 [23].</p>   |
| <p><b>pdsch-maxNumRepetitionCEmodeB</b><br/>Maximum value to indicate the set of PDSCH repetition numbers for CE mode B, see TS 36.211 [21] and TS 36.213 [23].</p>   |
| <p><b>pdsch-Start</b><br/>The starting OFDM symbol of PDSCH for the concerned serving cell, see TS 36.213 [23], clause 7.1.6.4. Values 1, 2, 3 are applicable when <i>dl-Bandwidth</i> for the concerned serving cell is greater than 10 resource blocks, values 2, 3, 4 are applicable when <i>dl-Bandwidth</i> for the concerned serving cell is less than or equal to 10 resource blocks, see TS 36.211 [21], Table 6.7-1. Value <i>n1</i> corresponds to 1, value <i>n2</i> corresponds to 2 and so on. If the field <i>pdsch-Start-v1530</i> is also configured, E-UTRAN ensures that this value is the same as <i>pdsch-Start</i> (i.e., without suffix).</p> |
| <p><b>qcl-CSI-RS-ConfigNZPId</b><br/>Indicates the CSI-RS resource that is quasi co-located with the PDSCH antenna ports, see TS 36.213 [23], clause 7.1.9. E-UTRAN configures this field if and only if the UE is configured with <i>qcl-Operation</i> set to <i>typeB</i> or <i>qcl-Operation-v1530</i> set to <i>typeC</i>. If the UE is configured with <i>qcl-Operation-v1530</i> set to <i>typeC</i>, the field <i>qcl-CSI-RS-ConfigNZPId-r11</i> corresponds to codeword 0, and the field <i>qcl-CSI-RS-ConfigNZPId-v1530</i> corresponds to codeword 1, see TS 36.213 [23], clause 7.1.10..</p>   |
| <p><b>qcl-Operation</b><br/>Indicates the quasi co-location behaviour to be used by the UE, type A, type B, or type C, as described in TS 36.213 [23], clause 7.1.10. In case <i>qcl-Operation-v1530</i> is present, the UE shall ignore the field <i>qcl-Operation</i> (without suffix). E-UTRAN configures <i>qcl-Operation-v1530</i> only when transmission mode 10 is configured for the serving cell on this carrier frequency and QCL type C is configured.</p>   |

| <b>PDSCH-Config field descriptions</b>                                   |   |
|--|---|
| <b>altMCS-TableScalingConfig</b>   | Presence of the field indicates activation of 6-bit MCS table (i.e., <i>altMCS-Table</i> ) for UE indicating support for <i>altMCS-Table</i> , see TS 36.212 [22] and TS 36.213 [23]. The indicated value configures the parameter <i>altMCS-Table-Scaling</i> where value <i>oDot5</i> corresponds to scaling factor 0.5, value <i>oDot625</i> corresponds to scaling factor 0.625 and so on, see TS 36.213 [23].  |
| <b>ce-CQI-AlternativeTableConfig</b>                                     | Configures the UE supporting alternative CQI table to use the alternative CQI table in CE mode A. See TS 36.213 [23].   |
| <b>ce-HARQ-AckBundling</b>   | Activation of PDSCH HARQ-ACK bundling in half duplex FDD in CE mode A, see TS 36.212 [22] and TS 36.213 [23].   |
| <b>ce-PDSCH-64QAM-Config</b>   | Activation of 64 QAM for non-repeated unicast PDSCH in CE mode A.   |
| <b>referenceSignalPower</b>  | Parameter: <i>Reference-signal power</i> , which provides the downlink reference-signal EPRE, see TS 36.213 [23], clause 5.2. The actual value in dBm.  |
| <b>re-MappingQCLConfigToAddModList, re-MappingQCLConfigToReleaseList</b> | For a serving frequency E-UTRAN configures at least one <i>PDSCH-RE-MappingQCL-Config</i> when transmission mode 10 is configured for the serving cell on this carrier frequency. Otherwise it does not configure this field.   |
| <b>tbsIndexAlt</b>   | Indicates the applicability of the alternative TBS index for the $I_{TBS}$ 26 and 33 (see TS 36.213 [23], Table 7.1.7.2.1-1), to all subframes scheduled by DCI format 2C or 2D. Value <i>a26</i> refers to the alternative TBS index $I_{TBS}$ 26A, and value <i>a33</i> refers to the alternative TBS index $I_{TBS}$ 33A. If this field is not configured, the UE shall use $I_{TBS}$ 26 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all subframes instead. If neither this field nor <i>tbsIndexAlt2</i> configures an alternative TBS index for $I_{TBS}$ 33, the UE shall use $I_{TBS}$ 33 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all subframes instead. |
| <b>tbsIndexAlt2</b>  | Indicates the applicability of the alternative TBS index for the $I_{TBS}$ 33 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all subframes. Value <i>b33</i> refers to the alternative TBS index $I_{TBS}$ 33B. If neither this field nor <i>tbsIndexAlt</i> configures an alternative TBS index for $I_{TBS}$ 33, the UE shall use $I_{TBS}$ 33 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all subframes instead.  |
| <b>tbs-IndexAlt3</b>   | Indicates the applicability of the alternative TBS index for the $I_{TBS}$ 37 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all subframes. Value <i>a37</i> refers to the alternative TBS index $I_{TBS}$ 37A.   |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>TypeC</i>                | The field is optional, need ON when <i>qcl-Operation</i> is configured with <i>typeC</i> . Otherwise the field is not present and the UE shall delete any existing value for this field. |

### – *PDSCH-RE-MappingQCL-ConfigId*

The IE *PDSCH-RE-MappingQCL-ConfigId* is used to identify a set of PDSCH parameters related to resource element mapping and quasi co-location, as configured by the IE *PDSCH-RE-MappingQCL-Config*. The identity is unique within the scope of a carrier frequency.

#### ***PDSCH-RE-MappingQCL-ConfigId* information elements**

```
-- ASN1START
PDSCH-RE-MappingQCL-ConfigId-r11 ::=          INTEGER (1..maxRE-MapQCL-r11)
-- ASN1STOP
```

### – *PerCC-GapIndicationList*

The IE *PerCC-GapIndicationList* is used to specify the UE measurement gap preference.

#### ***PerCC-GapIndication* information elements**

```
-- ASN1START
PerCC-GapIndicationList-r14 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF PerCC-GapIndication-r14
PerCC-GapIndication-r14 ::= SEQUENCE {
    servCellId-r14
    ServCellIndex-r13,
```

```

    gapIndication-r14                ENUMERATED {gap, ncs, nogap-noNcs}
  }
-- ASN1STOP

```

#### PerCC-GapIndication field descriptions

##### **servCellId**

This field identifies the serving cell for which the measurement gap preference is provided.

##### **gapIndication**

This field is used to indicate the measurement gap preference per component carrier (serving cell) by the UE both in non-CA and CA configurations. Value *gap* indicates that a measurement gap is needed for the associated *servCellId*, value *nogap-noNcs* indicates that neither a measurement gap nor a ncs is needed for the associated *servCellId*, value *ncs* indicates that ncs is needed for the associated *servCellId*. The UE shall indicate the per CC measurement gap preference consistently for the same non-CA or CA configuration and measurement configuration during the same RRC connection.

## – PHICH-Config

The IE *PHICH-Config* is used to specify the PHICH configuration.

#### PHICH-Config information element

```

-- ASN1START
PHICH-Config ::=
    SEQUENCE {
        phich-Duration    ENUMERATED {normal, extended},
        phich-Resource    ENUMERATED {oneSixth, half, one, two}
    }
-- ASN1STOP

```

#### PHICH-Config field descriptions

##### **phich-Duration**

Parameter: *PHICH-Duration*, see TS 36.211 [21], Table 6.9.3-1.

##### **phich-Resource**

Parameter: *Ng*, see TS 36.211 [21], clause 6.9. Value *oneSixth* corresponds to 1/6, *half* corresponds to 1/2 and so on.

## – PhysicalConfigDedicated

The IE *PhysicalConfigDedicated* is used to specify the UE specific physical channel configuration.

#### PhysicalConfigDedicated information element

```

-- ASN1START
PhysicalConfigDedicated ::=
    SEQUENCE {
        pdsch-ConfigDedicated    PDSCH-ConfigDedicated    OPTIONAL,    -- Need ON
        pucch-ConfigDedicated    PUCCH-ConfigDedicated    OPTIONAL,    -- Need ON
        pusch-ConfigDedicated    PUSCH-ConfigDedicated    OPTIONAL,    -- Need ON
        uplinkPowerControlDedicated    UplinkPowerControlDedicated    OPTIONAL,    -- Need ON
        tpc-PDCCH-ConfigPUCCH    TPC-PDCCH-Config    OPTIONAL,    -- Need ON
        tpc-PDCCH-ConfigPUSCH    TPC-PDCCH-Config    OPTIONAL,    -- Need ON
        cqi-ReportConfig          CQI-ReportConfig          OPTIONAL,    -- Cond CQI-
r8
        soundingRS-UL-ConfigDedicated    SoundingRS-UL-ConfigDedicated    OPTIONAL,    -- Need ON
        antennaInfo
            CHOICE {
                explicitValue    AntennaInfoDedicated,
                defaultValue      NULL
            }
            OPTIONAL,    -- Cond AI-r8
        schedulingRequestConfig    SchedulingRequestConfig    OPTIONAL,    -- Need ON
        . . .
r8    [[ cqi-ReportConfig-v920      CQI-ReportConfig-v920      OPTIONAL,    -- Cond CQI-
        antennaInfo-v920          AntennaInfoDedicated-v920    OPTIONAL    -- Cond AI-
r8
    ]],
r8    [[ antennaInfo-r10          CHOICE {

```

```

        explicitValue-r10      AntennaInfoDedicated-r10,
        defaultValue          NULL
    }
    antennaInfoUL-r10         AntennaInfoUL-r10          OPTIONAL, -- Cond AI-r10
    cif-Presence-r10         BOOLEAN                    OPTIONAL, -- Need ON
    cqi-ReportConfig-r10     CQI-ReportConfig-r10       OPTIONAL, -- Cond CQI-r10
    csi-RS-Config-r10        CSI-RS-Config-r10         OPTIONAL, -- Need ON
    pucch-ConfigDedicated-v1020 PUCCH-ConfigDedicated-v1020 OPTIONAL, -- Need ON
    pusch-ConfigDedicated-v1020 PUSCH-ConfigDedicated-v1020 OPTIONAL, -- Need ON
    schedulingRequestConfig-v1020 SchedulingRequestConfig-v1020 OPTIONAL, -- Need ON
    soundingRS-UL-ConfigDedicated-v1020
        SoundingRS-UL-ConfigDedicated-v1020 OPTIONAL, -- Need ON
    soundingRS-UL-ConfigDedicatedAperiodic-r10
        SoundingRS-UL-ConfigDedicatedAperiodic-r10 OPTIONAL, -- Need ON
    uplinkPowerControlDedicated-v1020
        UplinkPowerControlDedicated-v1020 OPTIONAL -- Need ON
]],
[[ additionalSpectrumEmissionCA-r10 CHOICE {
    release NULL,
    setup SEQUENCE {
        additionalSpectrumEmissionPCell-r10 AdditionalSpectrumEmission
    }
} OPTIONAL -- Need ON
]],
[[ -- DL configuration as well as configuration applicable for DL and UL
csi-RS-ConfigNZPTToReleaseList-r11 CSI-RS-ConfigNZPTToReleaseList-r11 OPTIONAL, -- Need ON
csi-RS-ConfigNZPTToAddModList-r11 CSI-RS-ConfigNZPTToAddModList-r11 OPTIONAL, -- Need ON
csi-RS-ConfigZPTToReleaseList-r11 CSI-RS-ConfigZPTToReleaseList-r11 OPTIONAL, -- Need ON
csi-RS-ConfigZPTToAddModList-r11 CSI-RS-ConfigZPTToAddModList-r11 OPTIONAL, -- Need ON
epdch-Config-r11 EPDCC-Config-r11 OPTIONAL, -- Need ON
pdsch-ConfigDedicated-v1130 PDSCH-ConfigDedicated-v1130 OPTIONAL, -- Need ON
-- UL configuration
cqi-ReportConfig-v1130 CQI-ReportConfig-v1130 OPTIONAL, -- Need ON
pucch-ConfigDedicated-v1130 PUCCH-ConfigDedicated-v1130 OPTIONAL, -- Need ON
pusch-ConfigDedicated-v1130 PUSCH-ConfigDedicated-v1130 OPTIONAL, -- Need ON
uplinkPowerControlDedicated-v1130
    UplinkPowerControlDedicated-v1130 OPTIONAL -- Need ON
]],
[[ antennaInfo-v1250 AntennaInfoDedicated-v1250 OPTIONAL, -- Cond AI-r10
eimta-MainConfig-r12 EIMTA-MainConfig-r12 OPTIONAL, -- Need ON
eimta-MainConfigPCell-r12 EIMTA-MainConfigServCell-r12 OPTIONAL, -- Need ON
pucch-ConfigDedicated-v1250 PUCCH-ConfigDedicated-v1250 OPTIONAL, -- Need ON
cqi-ReportConfigPCell-v1250 CQI-ReportConfig-v1250 OPTIONAL, -- Need ON
uplinkPowerControlDedicated-v1250
    UplinkPowerControlDedicated-v1250 OPTIONAL, -- Need ON
pusch-ConfigDedicated-v1250 PUSCH-ConfigDedicated-v1250 OPTIONAL, -- Need ON
csi-RS-Config-v1250 CSI-RS-Config-v1250 OPTIONAL -- Need ON
]],
[[ pdsch-ConfigDedicated-v1280 PDSCH-ConfigDedicated-v1280 OPTIONAL -- Need ON
]],
[[ pdsch-ConfigDedicated-v1310 PDSCH-ConfigDedicated-v1310 OPTIONAL, -- Need ON
pucch-ConfigDedicated-r13 PUCCH-ConfigDedicated-r13 OPTIONAL, -- Need ON
pusch-ConfigDedicated-r13 PUSCH-ConfigDedicated-r13 OPTIONAL, -- Need ON
pdcc-CandidateReductions-r13
    PDCCH-CandidateReductions-r13 OPTIONAL, -- Need ON
cqi-ReportConfig-v1310 CQI-ReportConfig-v1310 OPTIONAL, -- Need ON
soundingRS-UL-ConfigDedicated-v1310
    SoundingRS-UL-ConfigDedicated-v1310 OPTIONAL, -- Need ON
soundingRS-UL-ConfigDedicatedUpPTsExt-r13
    SoundingRS-UL-ConfigDedicatedUpPTsExt-r13 OPTIONAL, -- Need ON
soundingRS-UL-ConfigDedicatedAperiodic-v1310
    SoundingRS-UL-ConfigDedicatedAperiodic-v1310 OPTIONAL, -- Need ON
soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13
    SoundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13 OPTIONAL, -- Need ON
csi-RS-Config-v1310 CSI-RS-Config-v1310 OPTIONAL, -- Need ON
ce-Mode-r13 CHOICE {
    release NULL,
    setup ENUMERATED {ce-ModeA,ce-ModeB}
} OPTIONAL, -- Need ON
csi-RS-ConfigNZPTToAddModListExt-r13 CSI-RS-ConfigNZPTToAddModListExt-r13 OPTIONAL, -- Need
ON
csi-RS-ConfigNZPTToReleaseListExt-r13 CSI-RS-ConfigNZPTToReleaseListExt-r13 OPTIONAL --
Need ON
]],
[[ cqi-ReportConfig-v1320 CQI-ReportConfig-v1320 OPTIONAL -- Need ON

```

```

]],
[[ typeA-SRS-TPC-PDCCH-Group-r14 CHOICE {
    release NULL,
    setup SEQUENCE (SIZE (1..32)) OF SRS-TPC-PDCCH-Config-r14
} OPTIONAL, -- Need ON
must-Config-r14 CHOICE{
    release NULL,
    setup SEQUENCE {
        k-max-r14 ENUMERATED {11, 13},
        p-a-must-r14 ENUMERATED {
            dB-6, dB-4dot77, dB-3, dB-1dot77,
            dB0, dB1, dB2, dB3} OPTIONAL
        }
} OPTIONAL, -- Need ON
pusch-EnhancementsConfig-r14 PUSCH-EnhancementsConfig-r14 OPTIONAL, -- Need
ON
ce-pdsch-pusch-EnhancementConfig-r14 ENUMERATED {on} OPTIONAL, -- Need OR
antennaInfo-v1430 AntennaInfoDedicated-v1430 OPTIONAL, -- Need ON
pucch-ConfigDedicated-v1430 PUCCH-ConfigDedicated-v1430 OPTIONAL, -- Need ON
pdsch-ConfigDedicated-v1430 PDSCH-ConfigDedicated-v1430 OPTIONAL, -- Need ON
pusch-ConfigDedicated-v1430 PUSCH-ConfigDedicated-v1430 OPTIONAL, -- Need ON
soundingRS-UL-PeriodicConfigDedicatedList-r14 SEQUENCE (SIZE (1..2)) OF
SoundingRS-UL-ConfigDedicated OPTIONAL, -- Cond PeriodicSRSPCell
soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList-r14 SEQUENCE (SIZE (1..4)) OF
SoundingRS-UL-ConfigDedicatedUpPTsExt-r13 OPTIONAL, -- Cond PeriodicSRSExt
soundingRS-UL-AperiodicConfigDedicatedList-r14 SEQUENCE (SIZE (1..2)) OF
SoundingRS-UL-ConfigDedicatedAperiodic-r10 OPTIONAL, -- Cond AperiodicSRS
soundingRS-UL-ConfigDedicatedApUpPTsExtList-r14 SEQUENCE (SIZE (1..4)) OF SoundingRS-UL-
ConfigDedicatedAperiodicUpPTsExt-r13 OPTIONAL, -- Cond AperiodicSRSExt
csi-RS-Config-v1430 CSI-RS-Config-v1430 OPTIONAL, -- Need ON
csi-RS-ConfigZP-ApList-r14 CSI-RS-ConfigZP-ApList-r14 OPTIONAL, -- Need ON
cqi-ReportConfig-v1430 CQI-ReportConfig-v1430 OPTIONAL, -- Need ON
semiOpenLoop-r14 BOOLEAN OPTIONAL, -- Need ON
]],
[[ csi-RS-Config-v1480 CSI-RS-Config-v1480 OPTIONAL -- Need ON
]],
[[ physicalConfigDedicatedSTTI-r15 PhysicalConfigDedicatedSTTI-r15 OPTIONAL,-- Need ON
pdsch-ConfigDedicated-v1530 PDSCH-ConfigDedicated-v1530 OPTIONAL,-- Need ON
pusch-ConfigDedicated-v1530 PUSCH-ConfigDedicated-v1530 OPTIONAL,-- Need ON
cqi-ReportConfig-v1530 CQI-ReportConfig-v1530 OPTIONAL,-- Need ON
antennaInfo-v1530 AntennaInfoDedicated-v1530 OPTIONAL,-- Need ON
csi-RS-Config-v1530 CSI-RS-Config-v1530 OPTIONAL,-- Need ON
uplinkPowerControlDedicated-v1530 UplinkPowerControlDedicated-v1530 OPTIONAL, -- Need ON
semiStaticCFI-Config-r15 CHOICE{
    release NULL,
    setup CHOICE{
        cfi-Config-r15 CFI-Config-r15,
        cfi-PatternConfig-r15 CFI-PatternConfig-r15
    }
} OPTIONAL, -- Need ON
blindPDSCH-Repetition-Config-r15 CHOICE{
    release NULL,
    setup SEQUENCE {
        blindSubframePDSCH-Repetitions-r15 BOOLEAN,
        blindSlotSubslotPDSCH-Repetitions-r15 BOOLEAN,
        maxNumber-SubframePDSCH-Repetitions-r15 ENUMERATED {n4,n6} OPTIONAL, -- Need ON
        maxNumber-SlotSubslotPDSCH-Repetitions-r15 ENUMERATED {n4,n6} OPTIONAL, --
Need ON
        rv-SubframePDSCH-Repetitions-r15 ENUMERATED {dlrvseq1, dlrvseq2} OPTIONAL, --
Need ON
        rv-SlotsublotPDSCH-Repetitions-r15 ENUMERATED {dlrvseq1, dlrvseq2} OPTIONAL, --
Need ON
        numberOfProcesses-SubframePDSCH-Repetitions-r15 INTEGER(1..16) OPTIONAL, -- Need ON
        numberOfProcesses-SlotSubslotPDSCH-Repetitions-r15 INTEGER(1..16) OPTIONAL, --
Need ON
        mcs-restrictionSubframePDSCH-Repetitions-r15 ENUMERATED {n0, n1} OPTIONAL, --
Need ON
        mcs-restrictionSlotSubslotPDSCH-Repetitions-r15 ENUMERATED {n0, n1} OPTIONAL -- Need
ON
    }
} OPTIONAL -- Need ON
]],
[[ spucch-Config-v1550 SPUCCH-Config-v1550 OPTIONAL -- Need ON
]]
}
PhysicalConfigDedicated-v1370 ::= SEQUENCE {

```





```

crossCarrierSchedulingConfig-r13
    CrossCarrierSchedulingConfig-r13    OPTIONAL,    -- Cond Cross-Carrier-Config
pdccch-ConfigSCell-r13                PDCCCH-ConfigSCell-r13    OPTIONAL,    -- Need ON
cqi-ReportConfig-v1310                CQI-ReportConfig-v1310   OPTIONAL,    -- Need ON
pdsch-ConfigDedicated-v1310          PDSCH-ConfigDedicated-v1310 OPTIONAL,    -- Need ON
soundingRS-UL-ConfigDedicated-v1310
    SoundingRS-UL-ConfigDedicated-v1310    OPTIONAL,    -- Need ON
soundingRS-UL-ConfigDedicatedUpPTsExt-r13
    SoundingRS-UL-ConfigDedicatedUpPTsExt-r13    OPTIONAL,    -- Need ON
soundingRS-UL-ConfigDedicatedAperiodic-v1310
    SoundingRS-UL-ConfigDedicatedAperiodic-v1310    OPTIONAL,    -- Need ON
soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13
    SoundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13    OPTIONAL,    -- Need ON
csi-RS-Config-v1310                  CSI-RS-Config-v1310     OPTIONAL,    -- Need ON
laa-SCellConfiguration-r13           LAA-SCellConfiguration-r13    OPTIONAL,    -- Need ON
csi-RS-ConfigNZPTToAddModListExt-r13    CSI-RS-ConfigNZPTToAddModListExt-r13    OPTIONAL,    -- Need
ON
csi-RS-ConfigNZPToreleaseListExt-r13    CSI-RS-ConfigNZPToreleaseListExt-r13    OPTIONAL    --
Need ON
]],
[[ cqi-ReportConfig-v1320                CQI-ReportConfig-v1320   OPTIONAL    -- Need ON
]],
[[ laa-SCellConfiguration-v1430          LAA-SCellConfiguration-v1430
                                     OPTIONAL,    -- Need ON
typeB-SRS-TPC-PDCCH-Config-r14        SRS-TPC-PDCCH-Config-r14    OPTIONAL,    -- Need ON

uplinkPUSCH-LessPowerControlDedicated-v1430    UplinkPUSCH-LessPowerControlDedicated-v1430
OPTIONAL,    -- Need ON
soundingRS-UL-PeriodicConfigDedicatedList-r14
SoundingRS-UL-ConfigDedicated          OPTIONAL,    -- Cond PeriodicSRS
soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList-r14
(1..4) OF SoundingRS-UL-ConfigDedicatedUpPTsExt-r13
                                     SEQUENCE (SIZE
                                     OPTIONAL,    -- Cond
PeriodicSRSExt
soundingRS-UL-AperiodicConfigDedicatedList-r14
SoundingRS-AperiodicSet-r14            OPTIONAL,    -- Cond AperiodicSRS
soundingRS-UL-ConfigDedicatedApUpPTsExtList-r14
SoundingRS-AperiodicSetUpPTsExt-r14   OPTIONAL,    -- Cond AperiodicSRSExt
must-Config-r14                        CHOICE{
    release                               NULL,
    setup                                SEQUENCE {
        k-max-r14                        ENUMERATED {11, 13},
        p-a-must-r14                    ENUMERATED {
            dB-6, dB-4dot77, dB-3, dB-1dot77,
            dB0, dB1, dB2, dB3} OPTIONAL    -- Need ON
        }
    }
    OPTIONAL,    -- Need ON
pusch-ConfigDedicated-v1430            PUSCH-ConfigDedicatedSCell-v1430    OPTIONAL,    -- Need
ON
csi-RS-Config-v1430                    CSI-RS-Config-v1430     OPTIONAL,    -- Need ON
csi-RS-ConfigZP-ApList-r14             CSI-RS-ConfigZP-ApList-r14    OPTIONAL,    -- Need
ON
cqi-ReportConfig-v1430                CQI-ReportConfig-v1430   OPTIONAL,    -- Need ON
semiOpenLoop-r14                       BOOLEAN                   OPTIONAL,    -- Need ON
pdsch-ConfigDedicatedSCell-v1430      PDSCH-ConfigDedicatedSCell-v1430    OPTIONAL
-- Need ON
]],
[[ csi-RS-Config-v1480                CSI-RS-Config-v1480     OPTIONAL    -- Need ON
]],
[[ physicalConfigDedicatedSTTI-r15     PhysicalConfigDedicatedSTTI-r15    OPTIONAL,    -- Need ON
pdsch-ConfigDedicated-v1530          PDSCH-ConfigDedicated-v1530    OPTIONAL,    -- Need ON
dummy                                 CQI-ReportConfig-v1530     OPTIONAL,    -- Need ON
cqi-ReportConfigSCell-r15             CQI-ReportConfigSCell-r15    OPTIONAL,    -- Need ON
cqi-ShortConfigSCell-r15             CQI-ShortConfigSCell-r15    OPTIONAL,    -- Need ON
csi-RS-Config-v1530                  CSI-RS-Config-v1530     OPTIONAL,    -- Need ON
uplinkPowerControlDedicatedSCell-v1530
    UplinkPowerControlDedicated-v1530    OPTIONAL,    -- Need ON
laa-SCellConfiguration-v1530          LAA-SCellConfiguration-v1530    OPTIONAL,    -- Need ON
pusch-ConfigDedicated-v1530          PUSCH-ConfigDedicatedSCell-v1530    OPTIONAL,    -- Cond
AUL
semiStaticCFI-Config-r15              CHOICE{
    release                               NULL,
    setup                                CHOICE{
        cfi-Config-r15                  CFI-Config-r15,
        cfi-PatternConfig-r15          CFI-PatternConfig-r15
    }
}
                                     OPTIONAL,    -- Need ON
blindPDSCH-Repetition-Config-r15     CHOICE{
    release                               NULL,

```

```

        setup                SEQUENCE {
            blindSubframePDSCH-Repetitions-r15    BOOLEAN,
            blindSlotSubslotPDSCH-Repetitions-r15  BOOLEAN,
            maxNumber-SubframePDSCH-Repetitions-r15  ENUMERATED {n4,n6}  OPTIONAL, -- Need ON
            maxNumber-SlotSubslotPDSCH-Repetitions-r15  ENUMERATED {n4,n6}  OPTIONAL, --
Need ON
            rv-SubframePDSCH-Repetitions-r15    ENUMERATED {dlrvseq1, dlrvseq2}  OPTIONAL, --
Need ON
            rv-SlotsublotPDSCH-Repetitions-r15  ENUMERATED {dlrvseq1, dlrvseq2}  OPTIONAL, --
Need ON
            numberOfProcesses-SubframePDSCH-Repetitions-r15  INTEGER(1..16)  OPTIONAL, -- Need ON
            numberOfProcesses-SlotSubslotPDSCH-Repetitions-r15  INTEGER(1..16)  OPTIONAL, --
Need ON
            mcs-restrictionSubframePDSCH-Repetitions-r15  ENUMERATED {n0, n1}  OPTIONAL, --
Need ON
            mcs-restrictionSlotSubslotPDSCH-Repetitions-r15  ENUMERATED {n0, n1}  OPTIONAL -- Need
ON
        }
    }
    ]],
    [[ spucch-Config-v1550                SPUCCH-Config-v1550                OPTIONAL -- Need ON
    ]]
}

PhysicalConfigDedicatedSCell-v1370 ::= SEQUENCE {
    pucch-SCell-v1370                CHOICE{
        release                NULL,
        setup                SEQUENCE {
            pucch-ConfigDedicated-v1370    PUCCH-ConfigDedicated-v1370    OPTIONAL -- Cond
PUCCH-Format4or5
        }
    }
}

PhysicalConfigDedicatedSCell-v13c0 ::= SEQUENCE {
    pucch-SCell-v13c0                CHOICE{
        release                NULL,
        setup                SEQUENCE {
            pucch-ConfigDedicated-v13c0    PUCCH-ConfigDedicated-v13c0
        }
    }
}

CFI-Config-r15 ::= SEQUENCE {
    cfi-SubframeNonMBSFN-r15    INTEGER (1..4)                OPTIONAL, -- Need ON
    cfi-SlotSubslotNonMBSFN-r15  INTEGER (1..3)                OPTIONAL, -- Need ON
    cfi-SubframeMBSFN-r15    INTEGER (1..2)                OPTIONAL, -- Need ON
    cfi-SlotSubslotMBSFN-r15  INTEGER (1..2)                OPTIONAL, -- Need ON
}

CFI-PatternConfig-r15 ::= SEQUENCE {
    cfi-PatternSubframe-r15    SEQUENCE (SIZE(10)) OF INTEGER (1..4)  OPTIONAL, -- Need ON
    cfi-PatternSlotSubslot-r15  SEQUENCE (SIZE(10)) OF INTEGER (1..3)  OPTIONAL, -- Need ON
}

LAA-SCellConfiguration-r13 ::= SEQUENCE {
    subframeStartPosition-r13    ENUMERATED {s0, s07},
    laa-SCellSubframeConfig-r13  BIT STRING (SIZE(8))
}

LAA-SCellConfiguration-v1430 ::= SEQUENCE {
    crossCarrierSchedulingConfig-UL-r14  CHOICE {
        release                NULL,
        setup                SEQUENCE {
            crossCarrierSchedulingConfigLAA-UL-r14    CrossCarrierSchedulingConfigLAA-UL-r14
        }
    }
    lbt-Config-r14                LBT-Config-r14                OPTIONAL, -- Cond Cross-Carrier-ConfigUL
    pdcch-ConfigLAA-r14            PDCCH-ConfigLAA-r14  OPTIONAL, -- Need ON
    absenceOfAnyOtherTechnology-r14    ENUMERATED {true}    OPTIONAL, -- Need OR
    soundingRS-UL-ConfigDedicatedAperiodic-v1430
        SoundingRS-UL-ConfigDedicatedAperiodic-v1430    OPTIONAL -- Need ON
}

LAA-SCellConfiguration-v1530 ::= SEQUENCE {
    aul-Config-r15                AUL-Config-r15                OPTIONAL, -- Need ON
    pusch-ModeConfigLAA-r15        PUSCH-ModeConfigLAA-r15  OPTIONAL -- Need OR
}

```

```

PUSCH-ModeConfigLAA-r15 ::= SEQUENCE {
    laa-PUSCH-Mode1 BOOLEAN,
    laa-PUSCH-Mode2 BOOLEAN,
    laa-PUSCH-Mode3 BOOLEAN
}

LBT-Config-r14 ::= CHOICE{
    maxEnergyDetectionThreshold-r14 INTEGER(-85..-52),
    energyDetectionThresholdOffset-r14 INTEGER(-13..20)
}

CSI-RS-ConfigNZPToAddModList-r11 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-r11)) OF CSI-RS-ConfigNZP-r11

CSI-RS-ConfigNZPToAddModListExt-r13 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-v1310)) OF CSI-RS-ConfigNZP-r11

CSI-RS-ConfigNZPToAddModList-r15 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-r13)) OF CSI-RS-ConfigNZP-r11

CSI-RS-ConfigNZPToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-r11)) OF CSI-RS-ConfigNZPId-r11

CSI-RS-ConfigNZPToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-v1310)) OF CSI-RS-ConfigNZPId-v1310

CSI-RS-ConfigNZPToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-r13)) OF CSI-RS-ConfigNZPId-r13

CSI-RS-ConfigZPToAddModList-r11 ::= SEQUENCE (SIZE (1..maxCSI-RS-ZP-r11)) OF CSI-RS-ConfigZP-r11

CSI-RS-ConfigZPToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxCSI-RS-ZP-r11)) OF CSI-RS-ConfigZPId-r11

PhysicalConfigDedicatedSTTI-r15 ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        antennaInfoDedicatedSTTI-r15 AntennaInfoDedicatedSTTI-r15 OPTIONAL, -- Need ON
        antennaInfoUL-STTI-r15 AntennaInfoUL-STTI-r15 OPTIONAL, -- Need ON
        pucch-ConfigDedicated-v1530 PUCCH-ConfigDedicated-v1530 OPTIONAL, -- Need ON
        schedulingRequestConfig-v1530 SchedulingRequestConfig-v1530 OPTIONAL, -- Need ON
        uplinkPowerControlDedicatedSTTI-r15 UplinkPowerControlDedicatedSTTI-r15 OPTIONAL, -- Need ON
        cqi-ReportConfig-r15 CQI-ReportConfig-r15 OPTIONAL, -- Need ON
        csi-RS-Config-r15 CSI-RS-Config-r15 OPTIONAL, -- Need ON
        csi-RS-ConfigNZPToReleaseList-r15 CSI-RS-ConfigNZPToReleaseList-r15 OPTIONAL, -- Need ON
        csi-RS-ConfigNZPToAddModList-r15 CSI-RS-ConfigNZPToAddModList-r15 OPTIONAL, -- Need ON
        csi-RS-ConfigZPToReleaseList-r15 CSI-RS-ConfigZPToReleaseList-r11 OPTIONAL, -- Need ON
        csi-RS-ConfigZPToAddModList-r11 CSI-RS-ConfigZPToAddModList-r11 OPTIONAL, -- Need ON
        csi-RS-ConfigZP-ApList-r15 CSI-RS-ConfigZP-ApList-r14 OPTIONAL, -- Need ON
        eimta-MainConfig-r12 EIMTA-MainConfig-r12 OPTIONAL, -- Need ON
        eimta-MainConfigServCell-r15 EIMTA-MainConfigServCell-r12 OPTIONAL, -- Need ON
        semiOpenLoopSTTI-r15 BOOLEAN,
        slotOrSubslotPDSCH-Config-r15 SlotOrSubslotPDSCH-Config-r15 OPTIONAL, -- Need ON
        slotOrSubslotPUSCH-Config-r15 SlotOrSubslotPUSCH-Config-r15 OPTIONAL, -- Need ON
        spdcch-Config-r15 SPDCCH-Config-r15 OPTIONAL, -- Need ON
        spucch-Config-r15 SPUCCH-Config-r15 OPTIONAL, -- Need ON
        srs-DCI7-TriggeringConfig-r15 BOOLEAN,
        shortProcessingTime-r15 BOOLEAN,
        shortTTI-r15 ShortTTI-r15 OPTIONAL -- Need ON
    }
}

SoundingRS-AperiodicSet-r14 ::= SEQUENCE{
    srs-CC-SetIndexList-r14 SEQUENCE (SIZE (1..4)) OF SRS-CC-SetIndex-r14 OPTIONAL, -- Cond SRS-Trigger-TypeA
    soundingRS-UL-ConfigDedicatedAperiodic-r14 SoundingRS-UL-ConfigDedicatedAperiodic-r10
}

SoundingRS-AperiodicSetUpPTsExt-r14 ::= SEQUENCE{
    srs-CC-SetIndexList-r14 SEQUENCE (SIZE (1..4)) OF SRS-CC-SetIndex-r14 OPTIONAL, -- Cond SRS-Trigger-TypeA
    soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r14 SoundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13
}

```

```
}  
ShortTTI-r15 ::=                               SEQUENCE {  
  dl-STTI-Length-r15                          ShortTTI-Length-r15    OPTIONAL,  -- Need OR  
  ul-STTI-Length-r15                          ShortTTI-Length-r15    OPTIONAL,  -- Need OR  
}  
ShortTTI-Length-r15 ::=                       ENUMERATED {slot, subslot}  
-- ASN1STOP
```

| <b>PhysicalConfigDedicated field descriptions</b>   |
|---|
| <p><b>absenceOfAnyOtherTechnology</b><br/>Presence of this field indicates absence on a long term basis (e.g. by level of regulation) of any other technology sharing the carrier; absence of this field indicates the potential presence of any other technology sharing the carrier, as specified in TS 37.213 [94].</p>  |
| <p><b>additionalSpectrumEmissionPCell</b><br/>E-UTRAN does not configure this field in this release of the specification.</p>   |
| <p><b>antennaInfo</b><br/>A choice is used to indicate whether the <i>antennaInfo</i> is signalled explicitly or set to the default antenna configuration as specified in clause 9.2.4.</p>   |
| <p><b>blindSlotSubslotPDSCH-Repetitions</b><br/>Enables HARQ-less/blind slot or subslot PDSCH repetitions for a UE in a given cell, i.e. back to back slot/subslot PDSCH transmissions for the same transport block. The number of slot/subslot PDSCH transmissions is indicated in the DCI.</p>  |
| <p><b>blindSubframePDSCH-Repetitions</b><br/>Enables HARQ-less/blind subframe PDSCH repetitions for a UE in a given cell, i.e. back to back PDSCH transmissions for the same transport block. The number of PDSCH transmissions is indicated in the DCI.</p>  |
| <p><b>ce-Mode</b><br/>Indicates the CE mode as specified in TS 36.213 [23].</p>   |
| <p><b>ce-pdsch-pusch-Enhancement-Config</b><br/>Activation of new numbers of repetitions for PUSCH and modulation restrictions for PDSCH/PUSCH in CE mode A, see TS 36.212 [22] and TS 36.213 [23].</p>   |
| <p><b>cfi-SlotSubslotMBSFN</b><br/>Indicates the semi-static control format indicator for slot/subslot operation in MBSFN subframes.</p>  |
| <p><b>cfi-SlotSubslotNonMBSFN</b><br/>Indicates the semi-static control format indicator for slot/subslot operation in non-MBSFN subframes.</p>   |
| <p><b>cfi-SubframeMBSFN</b><br/>Indicates the semi-static control format indicator for subframe operation in MBSFN subframes.</p>   |
| <p><b>cfi-SubframeNonMBSFN</b><br/>Indicates the semi-static control format indicator for subframe operation in non-MBSFN subframes.</p>  |
| <p><b>cqi-ShortConfigSCell</b><br/>Indicates whether the CSI (CQI/PMI/RI/PTI/CRI) reporting resource configured by <i>cqi-ShortConfigSCell</i> is available upon receiving the SCell activation command for this SCell. E-UTRAN only configures this field when transmission mode 1-8 is configured for the serving cell on this carrier frequency.</p>   |
| <p><b>csi-RS-Config</b><br/>For a serving frequency E-UTRAN does not configure <i>csi-RS-Config</i> (includes <i>zeroTxPowerCSI-RS</i>) when transmission mode 10 is configured for the serving cell on this carrier frequency.</p>   |
| <p><b>csi-RS-ConfigNZPToAddModList</b><br/>For a serving frequency E-UTRAN configures one or more <i>CSI-RS-ConfigNZP</i> only when transmission mode 9 or 10 is configured for the serving cell on this carrier frequency. For a serving frequency, EUTRAN configures a maximum number of <i>CSI-RS-ConfigNZP</i> in accordance with transmission mode (including CSI processes), eMIMO (including class) and associated UE capabilities (e.g. k-Max, n-MaxList).</p>  |
| <p><b>csi-RS-ConfigZP-ApList</b><br/>The aperiodic ZP CSI-RS for PDSCH rate matching. The field <i>subframeConfig</i> is applicable to semi-persistent CSI RS reporting. In other cases, the UE shall ignore field <i>subframeConfig</i>.</p>   |
| <p><b>csi-RS-ConfigZPToAddModList</b><br/>For a serving frequency E-UTRAN configures one or more <i>CSI-RS-ConfigZP</i> only when transmission mode 10 is configured for the serving cell on this carrier frequency.</p>  |
| <p><b>dl-STTI-Length, ul-STTI-Length</b><br/>Indicates the DL and UL short TTI lengths. Value slot corresponds to 7 OFDM symbols and value subslot corresponds to 2 or 3 OFDM symbols. E-UTRAN configures the same value for all serving cells sending PUCCH feedback on the same cell. If one SCell is configured with short TTI in the group of cells configured to send PUCCH on the same cell, the cell carrying PUCCH shall be configured with short TTI. E-UTRAN can configure different value of <i>dl-STTI-Length</i> and <i>ul-STTI-Length</i> for serving cells sending PUCCH feedback on different cells. E-UTRAN does not configure the combination {slot,subslot} for {DL,UL}.</p> |
| <p><b>dummy</b><br/>This field is not used in the specification. If received it shall be ignored by the UE.</p>   |
| <p><b>eimta-MainConfigPCell, eimta-MainConfigSCell</b><br/>If E-UTRAN configures <i>eimta-MainConfigPCell</i> or <i>eimta-MainConfigSCell</i> for one serving cell in a frequency band, E-UTRAN configures <i>eimta-MainConfigPCell</i> or <i>eimta-MainConfigSCell</i> for all serving cells residing on the frequency band. E-UTRAN configures <i>eimta-MainConfigPCell</i> or <i>eimta-MainConfigSCell</i> only if <i>eimta-MainConfig</i> is configured.</p>  |
| <p><b>energyDetectionThresholdOffset</b><br/>Indicates the offset to the default maximum energy detection threshold value. Unit in dB. Value -13 corresponds to -13dB, value -12 corresponds to -12dB, and so on (i.e. in steps of 1dB) as specified in TS 37.213 [94].</p>   |
| <p><b>epdcch-Config</b><br/>indicates the <i>EPDCCH-Config</i> for the cell. E-UTRAN does not configure <i>EPDCCH-Config</i> for an SCell that is configured with value <i>other</i> for <i>schedulingCellInfo</i> in <i>CrossCarrierSchedulingConfig</i>.</p>  |

| <b>PhysicalConfigDedicated field descriptions</b>   |
|---|
| <p><b>k-max</b><br/>Indicates the maximum number of interfering spatial layers signaled in the assistance information for MUST. Value I1 corresponds to 1 layer, Value I3 corresponds to 3 layers.</p>  |
| <p><b>laa-PUSCH-Mode1, laa-PUSCH-Mode2, laa-PUSCH-Mode3</b><br/>Indicates whether LAA PUSCH mode 1, 2 and/or 3 is configured as specified in TS 36.212 [22], clause 5.3.3.1.</p>  |
| <p><b>laa-SCellSubframeConfig</b><br/>A bit-map indicating LAA SCell subframe configuration, "1" denotes that the corresponding subframe is allocated as MBSFN subframe. The bitmap is interpreted as follows:<br/>Starting from the first/leftmost bit in the bitmap, the allocation applies to subframes #1, #2, #3, #4, #6, #7, #8, and #9.</p>  |
| <p><b>maxEnergyDetectionThreshold</b><br/>Indicates the absolute maximum energy detection threshold value. Unit in dBm. Value -85 corresponds to -85 dBm, value -84 corresponds to -84 dBm, and so on (i.e. in steps of 1dBm) as specified in TS 36.213 [23]. If the field is not configured, the UE shall use a default maximum energy detection threshold value as specified in TS 37.213 [94].</p> |
| <p><b>maxNumber-SlotSubslotPDSCH-Repetitions</b><br/>Indicates the maximum number of PDSCH transmissions for slot or subslot PDSCH repetitions.</p>   |
| <p><b>maxNumber-SubframePDSCH-Repetitions</b><br/>Indicates the maximum number of PDSCH transmissions for subframe PDSCH repetitions.</p>   |
| <p><b>mcs-restrictionSlotSubslotPDSCH-Repetitions</b><br/>Indicates the MCS restriction in terms of number of non-addressable MSB in the MCS bit-field for slot or subslot PDSCH repetition applicable when <math>k &gt; 1</math>.</p>  |
| <p><b>mcs-restrictionSubframePDSCH-Repetitions</b><br/>Indicates MCS restriction in terms of number of non-addressable MSB in the MCS bit-field for subframe PDSCH repetition applicable when <math>k &gt; 1</math>.</p>  |
| <p><b>numberOfProcesses-SlotSubslotPDSCH-Repetitions</b><br/>Indicates the number of HARQ processes for slot/subslot PDSCH repetition applicable when <math>k &gt; 1</math> configured per serving cell.</p>  |
| <p><b>numberOfProcesses-SubframePDSCH-Repetitions</b><br/>Indicates the number of HARQ processes for subframe PDSCH repetition applicable when <math>k &gt; 1</math> configured per serving cell.</p>   |
| <p><b>p-a-must</b><br/>Parameter: <math>P_A</math>, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.</p>   |
| <p><b>pdsch-ConfigDedicated-v1130</b><br/>For a serving frequency, E-UTRAN configures <i>pdsch-ConfigDedicated-v1130</i> only when transmission mode 10 is configured for the serving cell on this carrier frequency.</p>   |
| <p><b>pdsch-ConfigDedicated-v1280</b><br/>For a serving frequency, E-UTRAN configures <i>pdsch-ConfigDedicated-v1280</i> only when transmission mode 9 or 10 is configured for the serving cell on this carrier frequency.</p>  |
| <p><b>pucch-Cell</b><br/>If present, PUCCH feedback of this SCell is sent on the PUCCH SCell. If absent, PUCCH feedback of this SCell is sent on PCell or PSCell, or if the cell concerns the PUCCH SCell, on the concerned cell. If this field is not modified upon change of PUCCH SCell, the UE shall always send the PUCCH feedback of the concerned SCell using the configured PUCCH SCell.</p>  |
| <p><b>pucch-ConfigDedicated</b><br/>E-UTRAN configures <i>pucch-ConfigDedicated-r13</i> only if <i>pucch-ConfigDedicated</i> (i.e., without suffix) is not configured. UE shall ignore <i>pucch-ConfigDedicated-v1020</i> when <i>pucch-ConfigDedicated-r13</i> is configured.</p>  |
| <p><b>pucch-SCell</b><br/>If present, the concerned SCell is the PUCCH SCell. E-UTRAN only configures this field upon SCell addition i.e. this field is only released when the SCell is released. The field is not applicable for an LAA SCell in this release.</p>   |
| <p><b>pusch-ConfigDedicated-r13</b><br/>E-UTRAN configures <i>pusch-ConfigDedicated-r13</i> only if <i>pusch-ConfigDedicated</i> is not configured.</p>   |
| <p><b>pusch-ConfigDedicated-v1250</b><br/>E-UTRAN configures <i>pusch-ConfigDedicated-v1250</i> only if <i>tpc-SubframeSet</i> is configured.</p>   |
| <p><b>pusch-EnhancementsConfig</b><br/>Indicates that the UE shall transmit in the PUSCH enhancement mode if <i>pusch-EnhancementsConfig</i> is set to <i>setup</i>, see TS 36.211 [21] and TS 36.213 [23].</p>   |
| <p><b>rv-SlotsubslotPDSCH-Repetitions</b><br/>Indicates the RV cycling sequence for slot or subslot PDSCH repetition. Value <i>dlrvseq1</i> = {0, 0, 0, 0} and value <i>dlrvseq2</i> = {0, 2, 3, 1}.</p>  |
| <p><b>rv-SubframePDSCH-Repetitions</b><br/>Indicates the RV cycling sequence for subframe PDSCH repetition. Value <i>dlrvseq1</i> = {0, 0, 0, 0} and value <i>dlrvseq2</i> = {0, 2, 3, 1}.</p>  |
| <p><b>semiOpenLoop, semiOpenLoopSTTI</b><br/>Value TRUE indicates that semi-open-loop transmission is used for deriving CSI reporting and corresponding PDSCH transmission (DMRS).</p>  |

| <b>PhysicalConfigDedicated field descriptions</b>  |
|--|
| <p><b>shortProcessingTime</b><br/>Indicates whether short processing time is configured as specific in TS 36.321 [6]. An SCell can only be configured with short processing if the cell carrying PUCCH for that SCell is configured with short processing time.</p>  |
| <p><b>soundingRS-UL-PeriodicConfigDedicatedList</b><br/>Indicates periodic soundingRS configuration except for the extension sounding symbols of the UpPTs subframe. E-UTRAN configures this field in <i>PhysicalConfigDedicated</i> only for the UE indicating support of <i>ce-SRS-Enhancement-r14</i> or <i>ce-SRS-EnhancementWithoutComb4-r14</i>. E-UTRAN configures this field in <i>PhysicalConfigDedicatedSCell-r10</i> only for the UE indicating support of <i>srs-UpPTS-6sym-r14</i>.</p>   |
| <p><b>soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList</b><br/>Indicates periodic soundingRS configuration in extension sounding symbols of the UpPTs subframe. E-UTRAN configures this field in <i>PhysicalConfigDedicated</i> only for the UE indicating support of <i>ce-SRS-Enhancement-r14</i> or <i>ce-SRS-EnhancementWithoutComb4-r14</i>. E-UTRAN configures this field in <i>PhysicalConfigDedicatedSCell-r10</i> only for the UE indicating support of <i>srs-UpPTS-6sym-r14</i>.</p>       |
| <p><b>soundingRS-UL-AperiodicConfigDedicatedList</b><br/>Indicates aperiodic soundingRS configuration except for the extension sounding symbols of the UpPTs subframe. E-UTRAN configures this field in <i>PhysicalConfigDedicated</i> only for the UE indicating support of <i>ce-SRS-Enhancement-r14</i> or <i>ce-SRS-EnhancementWithoutComb4-r14</i>. E-UTRAN configures this field in <i>PhysicalConfigDedicatedSCell-r10</i> only for the UE indicating support of <i>srs-UpPTS-6sym-r14</i>.</p> |
| <p><b>soundingRS-UL-DedicatedApUpPTsExtList</b><br/>Indicates aperiodic soundingRS configuration in extension sounding symbols of the UpPTs subframe. E-UTRAN configures this field in <i>PhysicalConfigDedicated</i> only for the UE indicating support of <i>ce-SRS-Enhancement-r14</i> or <i>ce-SRS-EnhancementWithoutComb4-r14</i>. E-UTRAN configures this field in <i>PhysicalConfigDedicatedSCell-r10</i> only for the UE indicating support of <i>srs-UpPTS-6sym-r14</i>.</p>                  |
| <p><b>srs-CC-SetIndexList</b><br/>Indicates the <i>srs-CC-SetIndex</i> list which the <i>soundingRS-UL-ConfigDedicatedAperiodic</i> and <i>soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt</i> belongs to.</p>  |
| <p><b>srs-DCI7-TriggeringConfig</b><br/>Indicates whether SRS triggering via DCI7 is configured.</p>   |
| <p><b>subframeStartPosition</b><br/>Indicates possible starting positions of transmission in the first subframe of the DL transmission burst, see TS 36.211 [21]. Value <i>s0</i> means the starting position is subframe boundary, <i>s07</i> means the starting position is either subframe boundary or slot boundary.</p>   |
| <p><b>tpc-PDCCH-ConfigPUCCH</b><br/>PDCCH configuration for power control of PUCCH using format 3/3A, see TS 36.212 [22].</p>  |
| <p><b>tpc-PDCCH-ConfigPUSCH</b><br/>PDCCH configuration for power control of PUSCH using format 3/3A, see TS 36.212 [22].</p>  |
| <p><b>typeA-SRS-TPC-PDCCH-Group</b><br/>Indicates Type A trigger configuration for SRS transmission on a PUSCH-less SCell. E-UTRAN configures the UE with either <i>typeA-SRS-TPC-PDCCH-Group</i> or <i>typeB-SRS-TPC-PDCCH-Group</i>, if any.</p>   |
| <p><b>uplinkPowerControlDedicated</b><br/>E-UTRAN configures <i>uplinkPowerControlDedicated-v1130</i> only if <i>uplinkPowerControlDedicated</i> (without suffix) is configured.</p>   |
| <p><b>uplinkPowerControlDedicatedSCell</b><br/>E-UTRAN configures <i>uplinkPowerControlDedicatedSCell-v1130</i> only if <i>uplinkPowerControlDedicatedSCell-r10</i> is configured for this serving cell.</p>   |



| Conditional presence          | Explanation   |
|-------------------------------|---|
| <i>AI-r8</i>                  | The field is optionally present, need ON, if <i>antennaInfoDedicated-r10</i> is absent. Otherwise the field is not present  |
| <i>AI-r10</i>                 | The field is optionally present, need ON, if <i>antennaInfoDedicated</i> is absent. Otherwise the field is not present  |
| <i>AperiodicSRS</i>           | If <i>soundingRS-UL-ConfigDedicatedAperiodic-r10</i> is absent, the field is optional, Need ON. Otherwise the field is not present and the UE shall delete any existing value for this field.   |
| <i>AperiodicSRSExt</i>        | If <i>soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13</i> is absent, the field is optional, Need ON. Otherwise the field is not present and the UE shall delete any existing value for this field.   |
| <i>AUL</i>                    | The field is optionally present, need ON, if <i>aul-config-r15</i> is present. Otherwise the field is not present.  |
| <i>CommonUL</i>               | The field is mandatory present if <i>ul-Configuration of RadioResourceConfigCommonSCell-r10</i> is present; otherwise it is optional, need ON.  |
| <i>CQI-r8</i>                 | The field is optionally present, need ON, if <i>cqi-ReportConfig-r10</i> is absent. Otherwise the field is not present  |
| <i>CQI-r10</i>                | The field is optionally present, need ON, if <i>cqi-ReportConfig</i> is absent. Otherwise the field is not present  |
| <i>Cross-Carrier-Config</i>   | The field is optionally present, need ON, if <i>crossCarrierSchedulingConfig-r10</i> is absent. Otherwise the field is not present  |
| <i>Cross-Carrier-ConfigUL</i> | The field is optionally present, need ON, if <i>crossCarrierSchedulingConfig-r10</i> and <i>crossCarrierSchedulingConfig-r13</i> are absent or <i>schedulingCellInfo</i> is set to 'own'. Otherwise the field is not present.   |
| <i>PeriodicSRS</i>            | If <i>soundingRS-UL-ConfigDedicated-r10</i> is absent, the field is optional, Need ON. Otherwise the field is not present and the UE shall delete any existing value for this field.  |
| <i>PeriodicSRSPCell</i>       | If <i>soundingRS-UL-ConfigDedicated</i> is absent, the field is optional, Need ON. Otherwise the field is not present and the UE shall delete any existing value for this field.  |
| <i>PeriodicSRSExt</i>         | If <i>soundingRS-UL-ConfigDedicatedUpPTsExt-r13</i> is absent, the field is optional, Need ON. Otherwise the field is not present and the UE shall delete any existing value for this field.  |
| <i>PUCCH-Format4or5</i>       | The field is mandatory present with <i>pucch-Format-v1370</i> set to <i>setup</i> if <i>pucch-ConfigDedicated-r13</i> is configured and <i>pucch-ConfigDedicated-r13</i> indicates PUCCH format 4 or PUCCH format 5; otherwise it is not present and the UE shall delete any existing value for this field. |
| <i>PUCCH-SCell1</i>           | The field is optionally present, need OR, for SCell not configured with <i>pucch-configDedicated-r13</i> . Otherwise it is not present.   |
| <i>PUSCH-SCell</i>            | The field is optionally present, need ON, if <i>pusch-ConfigDedicatedSCell-r10</i> and <i>pusch-ConfigDedicated-v1130</i> are absent. Otherwise the field is not present  |
| <i>PUSCH-SCell1</i>           | The field is optionally present, need ON, for SCell not configured with <i>pucch-configDedicated-r13</i> . Otherwise it is not present.   |
| <i>SCellAdd</i>               | The field is mandatory present if <i>cellIdentification</i> is present; otherwise it is optional, need ON.  |
| <i>SRS-Trigger-TypeA</i>      | The field is mandatory present if <i>typeA-SRS-TPC-PDCCH-Group-r14</i> is present. Otherwise the field is not present and the UE shall delete any existing value for this field.  |

NOTE 1: During handover, the UE performs a MAC reset, which involves reverting to the default CQI/ SRS/ SR configuration in accordance with clause 5.3.13 and TS 36.321 [6], clauses 5.9 and 5.2. Hence, for these parts of the dedicated radio resource configuration, the default configuration (rather than the configuration used in the source PCell) is used as the basis for the delta signalling that is included in the message used to perform handover.

NOTE 2: Since delta signalling is not supported for the common SCell configuration, E-UTRAN can only add or release the uplink of an SCell by releasing and adding the concerned SCell.

## *P-Max*

The IE *P-Max* is used to limit the UE's uplink transmission power on a carrier frequency and is used to calculate the parameter *Pcompensation* defined in TS 36.304 [4]. Corresponds to parameter  $P_{EMAX}$  or  $P_{EMAX,c}$  in TS 36.101 [42]. The UE transmit power on one serving cell shall not exceed the configured maximum UE output power of the serving cell determined by this value as specified in TS 36.101 [42], clauses 6.2.5 or 6.2.5A, or, when transmitting sidelink discovery announcements within the coverage of the concerned cell, as specified in TS 36.101 [42], clause 6.2.5D.

### *P-Max* information element

-- ASN1START

```
P-Max ::= INTEGER (-30..33)
-- ASN1STOP
```

## PRACH-Config

The IE *PRACH-ConfigSIB* and IE *PRACH-Config* are used to specify the PRACH configuration in the system information and in the mobility control information, respectively.

### PRACH-Config information elements

```
-- ASN1START
PRACH-ConfigSIB ::= SEQUENCE {
    rootSequenceIndex      INTEGER (0..837),
    prach-ConfigInfo       PRACH-ConfigInfo
}

PRACH-ConfigSIB-v1310 ::= SEQUENCE {
    rsrp-ThresholdsPrachInfoList-r13  RSRP-ThresholdsPrachInfoList-r13,
    mpdcch-startSF-CSS-RA-r13         CHOICE {
        fdd-r13                        ENUMERATED {v1, v1dot5, v2, v2dot5, v4, v5, v8,
                                                v10},
        tdd-r13                        ENUMERATED {v1, v2, v4, v5, v8, v10, v20, spare}
    }
    prach-HoppingOffset-r13           INTEGER (0..94)
    prach-ParametersListCE-r13       PRACH-ParametersListCE-r13
}
OPTIONAL, -- Cond MP
OPTIONAL, -- Need OR

PRACH-ConfigSIB-v1530 ::= SEQUENCE {
    edt-PRACH-ParametersListCE-r15    SEQUENCE (SIZE(1..maxCE-Level-r13)) OF EDT-PRACH-
ParametersCE-r15
}

PRACH-Config ::= SEQUENCE {
    rootSequenceIndex      INTEGER (0..837),
    prach-ConfigInfo       PRACH-ConfigInfo
}
OPTIONAL -- Need ON

PRACH-Config-v1310 ::= SEQUENCE {
    rsrp-ThresholdsPrachInfoList-r13  RSRP-ThresholdsPrachInfoList-r13
    mpdcch-startSF-CSS-RA-r13         CHOICE {
        fdd-r13                        ENUMERATED {v1, v1dot5, v2, v2dot5, v4, v5, v8,
                                                v10},
        tdd-r13                        ENUMERATED {v1, v2, v4, v5, v8, v10, v20, spare}
    }
    prach-HoppingOffset-r13           INTEGER (0..94)
    prach-ParametersListCE-r13       PRACH-ParametersListCE-r13
    initial-CE-level-r13             INTEGER (0..3)
}
OPTIONAL, --
Cond MP
OPTIONAL, -- Cond MP
OPTIONAL, -- Need OR
OPTIONAL, -- Cond MP
OPTIONAL -- Need OR

PRACH-Config-v1430 ::= SEQUENCE {
    rootSequenceIndexHighSpeed-r14    INTEGER (0..837),
    zeroCorrelationZoneConfigHighSpeed-r14  INTEGER (0..12),
    prach-ConfigIndexHighSpeed-r14       INTEGER (0..63),
    prach-FreqOffsetHighSpeed-r14       INTEGER (0..94)
}

PRACH-ConfigSCell-r10 ::= SEQUENCE {
    prach-ConfigIndex-r10              INTEGER (0..63)
}

PRACH-ConfigInfo ::= SEQUENCE {
    prach-ConfigIndex                INTEGER (0..63),
    highSpeedFlag                    BOOLEAN,
    zeroCorrelationZoneConfig        INTEGER (0..15),
    prach-FreqOffset                 INTEGER (0..94)
}

PRACH-ParametersListCE-r13 ::= SEQUENCE (SIZE(1..maxCE-Level-r13)) OF PRACH-ParametersCE-r13

PRACH-ParametersCE-r13 ::= SEQUENCE {
    prach-ConfigIndex-r13             INTEGER (0..63),
```

```

prach-FreqOffset-r13                INTEGER (0..94),
prach-StartingSubframe-r13          ENUMERATED {sf2, sf4, sf8, sf16, sf32, sf64, sf128,
                                             sf256} OPTIONAL, -- Need OP
maxNumPreambleAttemptCE-r13        ENUMERATED {n3, n4, n5, n6, n7, n8, n10} OPTIONAL, -- Need OP
numRepetitionPerPreambleAttempt-r13 ENUMERATED {n1,n2,n4,n8,n16,n32,n64,n128},
mpdcch-NarrowbandsToMonitor-r13    SEQUENCE (SIZE(1..2)) OF
                                     INTEGER (1..maxAvailNarrowBands-r13),
mpdcch-NumRepetition-RA-r13        ENUMERATED {r1, r2, r4, r8, r16,
                                             r32, r64, r128, r256},
prach-HoppingConfig-r13            ENUMERATED {on,off}
}

EDT-PRACH-ParametersCE-r15 ::= SEQUENCE {
    edt-PRACH-ParametersCE-r15 SEQUENCE {
        prach-ConfigIndex-r15    INTEGER (0..63),
        prach-FreqOffset-r15     INTEGER (0..94),
        prach-StartingSubframe-r15 ENUMERATED {sf2, sf4, sf8, sf16, sf32, sf64, sf128,
sf256} OPTIONAL, -- Need OP
        mpdcch-NarrowbandsToMonitor-r15 SEQUENCE (SIZE(1..2)) OF INTEGER
(1..maxAvailNarrowBands-r13)
    } OPTIONAL -- Need OR
}

RSRP-ThresholdsPrachInfoList-r13 ::= SEQUENCE (SIZE(1..3)) OF RSRP-Range
-- ASN1STOP

```

| <b>PRACH-Config field descriptions</b>  |
|---|
| <p><b>edt-PRACH-ParametersListCE</b><br/>Configures PRACH parameters for each CE level applicable to a UE performing EDT. If included, the number of entries is same as number of entries in <i>prach-ParametersListCE</i>. The first entry in the list is the PRACH parameters for CE level 0, the second entry in the list is the PRACH parameters for CE level 1, and so on. The parameters <i>maxNumPreambleAttemptCE</i>, <i>numRepetitionPerPreambleAttempt</i>, <i>mpdcch-NumRepetition-RA</i>, <i>prach-HoppingConfig</i> included in <i>prach-ParametersListCE</i> for CE level X are also applicable for EDT.</p> |
| <p><b>initial-CE-level</b><br/>Indicates initial PRACH CE level at random access, see TS 36.321 [6]. If not configured, UE selects PRACH CE level based on measured RSRP level, see TS 36.321 [6].</p>  |
| <p><b>highSpeedFlag</b><br/>Parameter: High-speed-flag, see TS 36.211 [21], clause 5.7.2]. TRUE corresponds to Restricted set and FALSE to Unrestricted set.</p>  |
| <p><b>maxNumPreambleAttemptCE</b><br/>Maximum number of preamble transmission attempts per CE level. See TS 36.321 [6].<br/>If the field is absent, the UE shall use the default value n3.</p>  |
| <p><b>mpdcch-NarrowbandsToMonitor</b><br/>Narrowbands to monitor for MPDCCH for RAR, see TS 36.213 [23], clause 6.2. Field values (1..<i>maxAvailNarrowBands-r13</i>) correspond to narrowband indices (0..<i>maxAvailNarrowBands-r13-1</i>) as specified in TS 36.211 [21].</p>  |
| <p><b>mpdcch-NumRepetition-RA</b><br/>Maximum number of repetitions for MPDCCH common search space (CSS) for RAR, Msg3 and Msg4, see TS 36.211 [21].</p>  |
| <p><b>mpdcch-startSF-CSS-RA</b><br/>Starting subframe configuration for MPDCCH common search space (CSS), including RAR, Msg3 retransmission, PDSCH with contention resolution and PDSCH with CCCH MAC SDU, see TS 36.211 [21] and TS 36.213 [23]. Value v1 corresponds to 1, value v1dot5 corresponds to 1.5, and so on.</p>   |
| <p><b>numRepetitionPerPreambleAttempt</b><br/>Number of PRACH repetitions per attempt for each CE level, See TS 36.211 [21].</p>  |
| <p><b>prach-ConfigIndex</b><br/>Parameter: <i>prach-ConfigurationIndex</i>, see TS 36.211 [21], clause 5.7.1.</p>   |
| <p><b>prach-ConfigIndexHighSpeed</b><br/>Parameter: <i>prach-ConfigurationIndexHighSpeed</i>, see TS 36.211 [21], clause 5.7.1. If this field is present, the UE shall ignore <i>prach-ConfigIndex</i>.</p>   |
| <p><b>prach-FreqOffset</b><br/>Parameter: <i>prach-FrequencyOffset</i>, see TS 36.211 [21], clause 5.7.1. For TDD the value range is dependent on the value of <i>prach-ConfigIndex</i>.</p>  |
| <p><b>prach-FreqOffsetHighSpeed</b><br/>Parameter: <i>prach-FrequencyOffsetHighSpeed</i>, see TS 36.211 [21], clause 5.7.1. For TDD the value range is dependent on the value of <i>prach-ConfigIndexHighSpeed</i>. If this field is present, the UE shall ignore <i>prach-FreqOffset</i>.</p>  |
| <p><b>prach-HoppingConfig</b><br/>Coverage level specific frequency hopping configuration for PRACH.</p>  |
| <p><b>prach-HoppingOffset</b><br/>Parameter: PRACH frequency hopping offset, expressed as a number of resource blocks, see TS 36.211 [21], clause 5.7.1.</p>  |
| <p><b>prach-ParametersListCE</b><br/>Configures PRACH parameters for each CE level. The first entry in the list is the PRACH parameters of CE level 0, the second entry in the list is the PRACH parameters of CE level 1, and so on.</p>   |
| <p><b>prach-StartingSubframe</b><br/>PRACH starting subframe periodicity, expressed in number of subframes available for preamble transmission (PRACH opportunities), see TS 36.211 [21]. Value sf2 corresponds to 2 subframes, sf4 corresponds to 4 subframes and so on. EUTRAN configures the PRACH starting subframe periodicity larger than or equal to the number of PRACH repetitions per attempt for each CE level (<i>numRepetitionPerPreambleAttempt</i>).<br/>If the field is absent, the value is determined implicitly in TS 36.211 [21], clause 5.7.1.</p>   |
| <p><b>rootSequenceIndex</b><br/>Parameter: <i>RACH_ROOT_SEQUENCE</i>, see TS 36.211 [21], clause 5.7.1.</p>   |
| <p><b>rootSequenceIndexHighSpeed</b><br/>The field indicates starting logical root sequence index used to derive the 64 random access preambles based on restricted set type B in high speed scenario, see TS 36.211 [21], clause 5.7.2. If this field is present, the UE shall generate random access preambles based on restricted set type B and ignore <i>rootSequenceIndex</i>.</p>  |

| <b>PRACH-Config field descriptions</b>  |
|---|
| <p><b><i>rsrp-ThresholdsPrachInfoList</i></b><br/> The criterion for BL UEs and UEs in CE to select PRACH resource set. Up to 3 RSRP threshold values are signalled to determine the CE level for PRACH, see TS 36.213 [23]. The first element corresponds to RSRP threshold 1, the second element corresponds to RSRP threshold 2 and so on, see TS 36.321 [6]. The UE shall ignore this field if only one CE level, i.e. CE level 0, is configured in <i>prach-ParametersListCE</i>. The number of RSRP thresholds present in <i>rsrp-ThresholdsPrachInfoList</i> is equal to the number of CE levels configured in <i>prach-ParametersListCE</i> minus one. A UE that supports <i>powerClass-14dBm</i> shall correct the RSRP threshold values before applying them as follows: RSRP threshold = Signalled RSRP threshold - min{0, (14-min(23, P-Max))} where P-Max is the value of <i>p-Max</i> field in <i>SystemInformationBlockType1-BR</i>.</p> |
| <p><b><i>zeroCorrelationZoneConfig</i></b><br/> Parameter: Ncs configuration, see TS 36.211 [21], clause 5.7.2: table 5.7.2-2, for preamble format 0..3 and TS 36.211 [21], clause 5.7.2: table 5.7.2-3, for preamble format 4.</p>   |
| <p><b><i>zeroCorrelationZoneConfigHighSpeed</i></b><br/> The field indicates Ncs configuration for the restricted set type B in high speed scenario, see TS 36.211 [21], clause 5.7.2. If this field is present, the UE shall generate random access preambles based on restricted set type B and ignore <i>zeroCorrelationZoneConfig</i>.</p>  |

| <b>Conditional presence</b> | <b>Explanation</b>              |
|-----------------------------|---------------------------------|
| <i>MP</i>                   | The field is mandatory present. |

## – *PresenceAntennaPort1*

The IE *PresenceAntennaPort1* is used to indicate whether all the neighbouring cells use Antenna Port 1. When set to *TRUE*, the UE may assume that at least two cell-specific antenna ports are used in all neighbouring cells.

### ***PresenceAntennaPort1* information element**

```
-- ASN1START
PresenceAntennaPort1 ::=          BOOLEAN
-- ASN1STOP
```

## – *PUCCH-Config*

The IE *PUCCH-ConfigCommon* and IE *PUCCH-ConfigDedicated* are used to specify the common and the UE specific PUCCH configuration respectively.

### ***PUCCH-Config* information elements**

```
-- ASN1START
PUCCH-ConfigCommon ::=          SEQUENCE {
    deltaPUCCH-Shift              ENUMERATED {ds1, ds2, ds3},
    nRB-CQI                       INTEGER (0..98),
    nCS-AN                        INTEGER (0..7),
    n1PUCCH-AN                    INTEGER (0..2047)
}

PUCCH-ConfigCommon-v1310 ::=    SEQUENCE {
    n1PUCCH-AN-InfoList-r13       N1PUCCH-AN-InfoList-r13    OPTIONAL,  -- Need OR
    pucch-NumRepetitionCE-Msg4-Level0-r13  ENUMERATED {n1, n2, n4, n8}    OPTIONAL,  -- Need OR
    pucch-NumRepetitionCE-Msg4-Level1-r13  ENUMERATED {n1, n2, n4, n8}    OPTIONAL,  -- Need OR
    pucch-NumRepetitionCE-Msg4-Level2-r13  ENUMERATED {n4, n8, n16, n32}  OPTIONAL,  -- Need OR
    pucch-NumRepetitionCE-Msg4-Level3-r13  ENUMERATED {n4, n8, n16, n32}  OPTIONAL,  -- Need OR
}

PUCCH-ConfigCommon-v1430 ::=    SEQUENCE {
    pucch-NumRepetitionCE-Msg4-Level3-r14  ENUMERATED {n64, n128}        OPTIONAL  -- Need OR
}

PUCCH-ConfigDedicated ::=       SEQUENCE {
    ackNackRepetition             CHOICE {
        release                    NULL,

```

```

        setup                                SEQUENCE {
            repetitionFactor                  ENUMERATED {n2, n4, n6, spare1},
            n1PUCCH-AN-Rep                    INTEGER (0..2047)
        },
        tdd-AckNackFeedbackMode              ENUMERATED {bundling, multiplexing} OPTIONAL -- Cond TDD
    }

PUCCH-ConfigDedicated-v1020 ::= SEQUENCE {
    pucch-Format-r10                        CHOICE {
        format3-r10                          PUCCH-Format3-Conf-r13,
        channelSelection-r10                  SEQUENCE {
            n1PUCCH-AN-CS-r10                CHOICE {
                release                        NULL,
                setup                          SEQUENCE {
                    n1PUCCH-AN-CS-List-r10    SEQUENCE (SIZE (1..2)) OF N1PUCCH-AN-CS-r10
                }
            }
        }
    }
    twoAntennaPortActivatedPUCCH-Format1alb-r10  ENUMERATED {true} OPTIONAL -- Need OR
    simultaneousPUCCH-PUSCH-r10                ENUMERATED {true} OPTIONAL -- Need OR
    n1PUCCH-AN-RepP1-r10                        INTEGER (0..2047) OPTIONAL -- Need OR
}

PUCCH-ConfigDedicated-v1130 ::= SEQUENCE {
    n1PUCCH-AN-CS-v1130                      CHOICE {
        release                                NULL,
        setup                                  SEQUENCE {
            n1PUCCH-AN-CS-ListP1-r11          SEQUENCE (SIZE (2..4)) OF INTEGER (0..2047)
        }
    }
    nPUCCH-Param-r11                          CHOICE {
        release                                NULL,
        setup                                  SEQUENCE {
            nPUCCH-Identity-r11              INTEGER (0..503),
            n1PUCCH-AN-r11                    INTEGER (0..2047)
        }
    }
}
OPTIONAL -- Need ON

PUCCH-ConfigDedicated-v1250 ::= SEQUENCE {
    nkaPUCCH-Param-r12                       CHOICE {
        release                                NULL,
        setup                                  SEQUENCE {
            nkaPUCCH-AN-r12                  INTEGER (0..2047)
        }
    }
}

PUCCH-ConfigDedicated-r13 ::= SEQUENCE {
--Release 8
    ackNackRepetition-r13                    CHOICE{
        release                                NULL,
        setup                                  SEQUENCE {
            repetitionFactor-r13              ENUMERATED {n2, n4, n6, spare1},
            n1PUCCH-AN-Rep-r13                INTEGER (0..2047)
        }
    },
    tdd-AckNackFeedbackMode-r13              ENUMERATED {bundling, multiplexing} OPTIONAL, -- Cond TDD
--Release 10
    pucch-Format-r13                         CHOICE {
        format3-r13                           SEQUENCE {
            n3PUCCH-AN-List-r13 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549) OPTIONAL, -- Need ON
            twoAntennaPortActivatedPUCCH-Format3-r13 CHOICE {
                release                        NULL,
                setup                          SEQUENCE {
                    n3PUCCH-AN-ListP1-r13 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
                }
            }
        }
    }
    channelSelection-r13                      SEQUENCE {
        n1PUCCH-AN-CS-r13                     CHOICE {
            release                            NULL,
            setup                              SEQUENCE {
                n1PUCCH-AN-CS-List-r13        SEQUENCE (SIZE (1..2)) OF N1PUCCH-AN-CS-r10,
                dummy1                        SEQUENCE (SIZE (2..4)) OF INTEGER (0..2047)
            }
        }
    }
}

```

```

    }
    },
    format4-r13 SEQUENCE {
        format4-resourceConfiguration-r13 SEQUENCE (SIZE (4)) OF Format4-resource-r13,
        format4-MultiCSI-resourceConfiguration-r13 SEQUENCE (SIZE (1..2)) OF Format4-resource-
r13 OPTIONAL -- Need OR
    },
    format5-r13 SEQUENCE {
        format5-resourceConfiguration-r13 SEQUENCE (SIZE (4)) OF Format5-resource-r13,
        format5-MultiCSI-resourceConfiguration-r13 Format5-resource-r13 OPTIONAL -- Need OR
    }
}
twoAntennaPortActivatedPUCCH-Format1alb-r13 ENUMERATED {true} OPTIONAL, -- Need OR
simultaneousPUSCH-PUSCH-r13 ENUMERATED {true} OPTIONAL, -- Need OR
n1PUCCH-AN-RepP1-r13 INTEGER (0..2047) OPTIONAL, -- Need OR
--Release 11
nPUCCH-Param-r13 CHOICE {
    release NULL,
    setup SEQUENCE {
        nPUCCH-Identity-r13 INTEGER (0..503),
        n1PUCCH-AN-r13 INTEGER (0..2047)
    }
}
OPTIONAL, -- Need ON
--Release 12
nkaPUCCH-Param-r13 CHOICE {
    release NULL,
    setup SEQUENCE {
        nkaPUCCH-AN-r13 INTEGER (0..2047)
    }
}
OPTIONAL, -- Need ON
--Release 13
spatialBundlingPUCCH-r13 BOOLEAN,
spatialBundlingPUSCH-r13 BOOLEAN,
harq-TimingTDD-r13 BOOLEAN,
codebookSizeDetermination-r13 ENUMERATED {dai,cc} OPTIONAL, -- Need OR
maximumPayloadCoderate-r13 INTEGER (0..7) OPTIONAL, -- Need OR
pucch-NumRepetitionCE-r13 CHOICE {
    release NULL,
    setup CHOICE {
        modeA SEQUENCE {
            pucch-NumRepetitionCE-format1-r13 ENUMERATED {r1, r2, r4, r8},
            pucch-NumRepetitionCE-format2-r13 ENUMERATED {r1, r2, r4, r8}
        },
        modeB SEQUENCE {
            pucch-NumRepetitionCE-format1-r13 ENUMERATED {r4, r8, r16, r32},
            pucch-NumRepetitionCE-format2-r13 ENUMERATED {r4, r8, r16, r32}
        }
    }
}
OPTIONAL --Need ON
}
PUCCH-ConfigDedicated-v1370 ::= SEQUENCE {
    pucch-Format-v1370 CHOICE {
        release NULL,
        setup PUCCH-Format3-Conf-r13
    }
}
PUCCH-ConfigDedicated-v13c0 ::= SEQUENCE {
    channelSelection-v13c0 SEQUENCE {
        n1PUCCH-AN-CS-v13c0 CHOICE {
            release NULL,
            setup SEQUENCE {
                n1PUCCH-AN-CS-ListP1-v13c0 SEQUENCE (SIZE (2..4)) OF INTEGER (0..2047)
            }
        }
    }
}
PUCCH-Format3-Conf-r13 ::= SEQUENCE {
    n3PUCCH-AN-List-r13 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549) OPTIONAL, -- Need ON
    twoAntennaPortActivatedPUCCH-Format3-r13 CHOICE {
        release NULL,
        setup SEQUENCE {
            n3PUCCH-AN-ListP1-r13 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
        }
    }
}
OPTIONAL -- Need ON
}

```

```
PUCCH-ConfigDedicated-v1430 ::= SEQUENCE {
  pucch-NumRepetitionCE-format1-r14    ENUMERATED {r64,r128}    OPTIONAL  -- Need OR
}

PUCCH-ConfigDedicated-v1530 ::= SEQUENCE {
  n1PUCCH-AN-SPT-r15                    INTEGER (0..2047)        OPTIONAL,  -- Need OR
  codebooksizeDeterminationSTTI-r15     ENUMERATED {dai,cc}    OPTIONAL  -- Need OR
}

Format4-resource-r13 ::= SEQUENCE {
  startingPRB-format4-r13                INTEGER (0..109),
  numberOfPRB-format4-r13                INTEGER (0..7)
}

Format5-resource-r13 ::= SEQUENCE {
  startingPRB-format5-r13                INTEGER (0..109),
  cdm-index-format5-r13                  INTEGER (0..1)
}

N1PUCCH-AN-CS-r10 ::= SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)

N1PUCCH-AN-InfoList-r13 ::= SEQUENCE (SIZE(1..maxCE-Level-r13)) OF INTEGER (0..2047)

-- ASN1STOP
```



| <b>PUCCH-Config field descriptions</b>  |
|---|
| <p><b>ackNackRepetition</b><br/>Parameter indicates whether ACK/NACK repetition is configured, see TS 36.213 [23], clause 10.1.</p>   |
| <p><b>cdm-index-format5</b><br/>Parameter <math>n_{oc}</math> see TS 36.211 [21], clause 5.4.2c, for determining PUCCH resource(s) of PUCCH format 5.</p>   |
| <p><b>codebooksizeDetermination, codebooksizeDeterminationSTTI</b><br/>Parameter indicates whether HARQ codebook size is determined with downlink assignment indicator based solution or number of configured CCs, see TS 36.212 [22], clauses 5.2.2.6, 5.2.3.1 and 5.3.3.1.2, and TS 36.213 [23], clauses 10.1.2.2.3, 10.1.3.2.3, 10.1.3.2.3.1, 10.1.3.2.3.2 and 10.1.3.2.4.</p>   |
| <p><b>deltaPUCCH-Shift</b><br/>Parameter: <math>\Delta_{\text{shift}}^{\text{PUCCH}}</math>, see TS 36.211 [21], clause 5.4.1, where ds1 corresponds to value 1, ds2 corresponds to value 2 etc.</p>  |
| <p><b>dummy1</b><br/>This field is not used in the specification. If received it shall be ignored by the UE.</p>  |
| <p><b>harq-TimingTDD</b><br/>Parameter indicates for a TDD SCell when aggregated with a TDD PCell of different UL/DL configurations whether deriving the HARQ timing for such a cell is done in the same way as the DL HARQ timing of an FDD SCell with a TDD PCell, see TS 36.213 [23], clause 10.2.</p>   |
| <p><b>maximumPayloadCoderate</b><br/>Maximum payload or code rate for multi P-CSI on each PUCCH resource, see TS 36.213 [23], clause 10.1.1.</p>  |
| <p><b>n1PUCCH-AN</b><br/>Parameter: <math>N_{\text{PUCCH}}^{(1)}</math>, see TS 36.213 [23], clause 10.1.<br/><math>n1\text{PUCCH-AN-r11}</math> indicates UE-specific PUCCH AN resource offset, see TS 36.213 [23], clause 10.1.</p>   |
| <p><b>n1PUCCH-AN-CS-List</b><br/>Parameter: <math>n_{\text{PUCCH},j}^{(1)}</math> for antenna port <math>p_0</math> for PUCCH format 1b with channel selection, see TS 36.213 [23], clauses 10.1.2.2.1 and 10.1.3.2.1.</p>  |
| <p><b>n1PUCCH-AN-CS-ListP1</b><br/>Parameter: <math>n_{\text{PUCCH},j}^{(1,\tilde{p}_1)}</math> for antenna port <math>p_1</math> for PUCCH format 1b with channel selection, see TS 36.213 [23], clause 10.1. E-UTRAN configures this field only when <i>pucch-Format</i> is set to <i>channelSelection</i>.</p>   |
| <p><b>n1PUCCH-AN-Rep, n1PUCCH-AN-RepP1</b><br/>Parameter: <math>n_{\text{PUCCH},\text{ANRep}}^{(1,p)}</math> for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.</p>   |
| <p><b>n3PUCCH-AN-List, n3PUCCH-AN-ListP1</b><br/>Parameter: <math>n_{\text{PUCCH}}^{(3,p)}</math> for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.</p>  |
| <p><b>n1PUCCH-AN-SPT</b><br/>Parameter: <math>N_{\text{PUCCH}}^{(1)}</math>, see TS 36.213 [23], clause 10.1. Indicates UE-specific PUCCH AN resource offset for short processing time.</p>   |
| <p><b>nCS-An</b><br/>Parameter: <math>N_{\text{CS}}^{(1)}</math> see TS 36.211 [21], clause 5.4.</p>  |
| <p><b>nkaPUCCH-AN</b><br/>Parameter: <math>N_{\text{PUCCH}}^{K_A}</math>, see TS 36.213 [23], clause 10.1.3.<br/><math>nka\text{PUCCH-AN-r12}</math> indicates PUCCH format 1a/1b starting offset for the subframe set <math>K^A</math>, see TS 36.213 [23], clause 10.1.3. E-UTRAN configures <i>nkaPUCCH-AN</i> only if <i>eimta-MainConfig</i> is configured.</p>  |
| <p><b>nPUCCH-Identity</b><br/>Parameter: <math>n_{\text{ID}}^{\text{PUCCH}}</math>, see TS 36.211 [21], clause 5.5.1.5.</p>   |
| <p><b>nRB-CQI</b><br/>Parameter: <math>N_{\text{RB}}^{(2)}</math>, see TS 36.211 [21], clause 5.4.</p>  |
| <p><b>numberOfPRB-format4</b><br/>Parameter <math>n_{\text{PUCCH}}^{(4)}</math> see TS 36.213 [23], Table 10.1.1-2, for determining PUCCH resource(s) of PUCCH format 4.</p>  |
| <p><b>n1PUCCH-AN-InfoList</b><br/>Starting offsets of the PUCCH resource(s) indicated by SIB1-BR. The first entry in the list is the starting offset of the PUCCH resource(s) of CE level 0, the second entry in the list is the starting offset of the PUCCH resource(s) of CE level 1, and so on. If E-UTRAN includes <i>n1PUCCH-AN-InfoList</i>, it includes the same number of entries as in <i>prach-ParametersListCE</i>. See TS 36.213 [23].</p> |

| <b>PUCCH-Config field descriptions</b>  |  |
|---|--|
| <b><i>pucch-Format</i></b>  | Parameter indicates one of the PUCCH formats for transmission of HARQ-ACK, see TS 36.213 [23], clause 10.1. For TDD, if the UE is configured with PCell only, the <i>channelSelection</i> indicates the transmission of HARQ-ACK multiplexing as defined in Tables 10.1.3-5, 10.1.3-6, and 10.1.3-7 in TS 36.213 [23] for PUCCH, and in 7.3 in TS 36.213 [23] for PUSCH. E-UTRAN only configures <i>pucch-Format-v1370</i> when <i>pucch-Format-r13</i> is configured and set to <i>format4</i> or <i>format5</i> .  |
| <b><i>pucch-NumRepetitionCE</i></b>   | Number of PUCCH repetitions for PUCCH format 1/1a and for PUCCH format 2/2a/2b for CE modes A and B, see TS 36.211 [21] and TS 36.213 [23]. The UE shall ignore <i>pucch-NumRepetitionCE-format2-r13</i> , if received, for CE mode B in this release of specification. For UE in CE mode B supporting extended PUCCH repetition, if <i>pucch-NumRepetitionCE-format1-r14</i> is included then the UE shall ignore <i>pucch-NumRepetitionCE-format1-r13</i> .  |
| <b><i>pucch-NumRepetitionCE-Msg4-Level0</i>, <i>pucch-NumRepetitionCE-Msg4-Level1</i>, <i>pucch-NumRepetitionCE-Msg4-Level2</i>, <i>pucch-NumRepetitionCE-Msg4-Level3</i></b> | Number of repetitions for PUCCH carrying HARQ response to PDSCH containing Msg4 for PRACH CE levels 0, 1, 2 and 3, see TS 36.211 [21] and TS 36.213 [23]. Value n1 corresponds to 1 repetition, value n2 corresponds to 2 repetitions, and so on. For BL UEs or non-BL UEs in enhanced coverage supporting extended PUCCH repetition, if <i>pucch-NumRepetitionCE-Msg4-Level3-r14</i> is included then the UE shall ignore <i>pucch-NumRepetitionCE-Msg4-Level3-r13</i> .  |
| <b><i>repetitionFactor</i></b>  | Parameter $N_{\text{ANRep}}$ see TS 36.213 [23], clause 10.1, where n2 corresponds to repetition factor 2, n4 to 4.  |
| <b><i>simultaneousPUCCH-PUSCH</i></b>   | Parameter indicates whether simultaneous PUCCH and PUSCH or simultaneous SPUCCH and SlotOrSubslotPUSCH transmissions are configured, see TS 36.213 [23], clauses 10.1 and 5.1.1. E-UTRAN configures this field for the PCell, only when the <i>nonContiguousUL-RA-WithinCC-Info</i> is set to <i>supported</i> in the band on which PCell is configured. Likewise, E-UTRAN configures this field for the PSCell, only when the <i>nonContiguousUL-RA-WithinCC-Info</i> is set to <i>supported</i> in the band on which PSCell is configured. Likewise, E-UTRAN configures this field for the PUCCH SCell, only when the <i>nonContiguousUL-RA-WithinCC-Info</i> is set to <i>supported</i> in the band on which PUCCH SCell is configured. |
| <b><i>spatialBundlingPUCCH</i></b>  | Parameter indicates whether spatial bundling is enabled or not for PUCCH, see TS 36.212 [22], clause 5.2.3.1.  |
| <b><i>spatialBundlingPUSCH</i></b>  | Parameter indicates whether spatial bundling is enabled or not for PUSCH, see see TS 36.212 [22], clause 5.2.2.6.  |
| <b><i>startingPRB-format4</i></b>   | Parameter $n_{\text{PUCCH}}^{(4)}$ see TS 36.211 [21], clause 5.4.3 for determining PUCCH resource(s) of PUCCH format 4.   |
| <b><i>startingPRB-format5</i></b>   | Parameter $n_{\text{PUCCH}}^{(5)}$ see TS 36.211 [21], clause 5.4.3 for determining PUCCH resource(s) of PUCCH format 5.   |
| <b><i>tdd-AckNackFeedbackMode</i></b>   | Parameter indicates one of the TDD ACK/NACK feedback modes used, see TS 36.213 [23], clauses 7.3 and 10.1.3. The value <i>bundling</i> corresponds to use of ACK/NACK bundling whereas, the value <i>multiplexing</i> corresponds to ACK/NACK multiplexing as defined in Tables 10.1.3-2, 10.1.3-3, and 10.1.3-4 in TS 36.213 [23]. The same value applies to both ACK/NACK feedback modes on PUCCH as well as on PUSCH.   |
| <b><i>twoAntennaPortActivatedPUCCH-Format1a1b</i></b>   | Indicates whether two antenna ports are configured for PUCCH format 1a/1b for HARQ-ACK, see TS 36.213 [23], clause 10.1. The field also applies for PUCCH format 1a/1b transmission when <i>format3</i> is configured, see TS 36.213 [23], clauses 10.1.2.2.2 and 10.1.3.2.2.  |
| <b><i>twoAntennaPortActivatedPUCCH-Format3</i></b>  | Indicates whether two antenna ports are configured for PUCCH format 3 for HARQ-ACK, see TS 36.213 [23], clause 10.1.   |

| Conditional presence | Explanation   |
|----------------------|---|
| <i>TDD</i>           | The field is mandatory present for TDD if the <i>pucch-Format</i> is not present. If the <i>pucch-Format</i> is present, the field is not present and the UE shall delete any existing value for this field. It is not present for FDD and the UE shall delete any existing value for this field. |

## – PUSCH-Config

The IE *PUSCH-ConfigCommon* is used to specify the common PUSCH configuration and the reference signal configuration for PUSCH and PUCCH. The IE *PUSCH-ConfigDedicated* is used to specify the UE specific PUSCH configuration.

**PUSCH-Config** information element

```

-- ASN1START
PUSCH-ConfigCommon ::= SEQUENCE {
    pusch-ConfigBasic SEQUENCE {
        n-SB INTEGER (1..4),
        hoppingMode ENUMERATED {interSubFrame, intraAndInterSubFrame},
        pusch-HoppingOffset INTEGER (0..98),
        enable64QAM BOOLEAN
    },
    ul-ReferenceSignalsPUSCH UL-ReferenceSignalsPUSCH
}

PUSCH-ConfigCommon-v1270 ::= SEQUENCE {
    enable64QAM-v1270 ENUMERATED {true}
}

PUSCH-ConfigCommon-v1310 ::= SEQUENCE {
    pusch-maxNumRepetitionCEmodeA-r13 ENUMERATED {
        r8, r16, r32 } OPTIONAL, -- Need OR
    pusch-maxNumRepetitionCEmodeB-r13 ENUMERATED {
        r192, r256, r384, r512, r768, r1024,
        r1536, r2048 } OPTIONAL, -- Need OR
    pusch-HoppingOffset-v1310 INTEGER (1..maxAvailNarrowBands-r13) OPTIONAL -- Need OR
}

PUSCH-ConfigDedicated ::= SEQUENCE {
    betaOffset-ACK-Index INTEGER (0..15),
    betaOffset-RI-Index INTEGER (0..15),
    betaOffset-CQI-Index INTEGER (0..15)
}

PUSCH-ConfigDedicated-v1020 ::= SEQUENCE {
    betaOffsetMC-r10 SEQUENCE {
        betaOffset-ACK-Index-MC-r10 INTEGER (0..15),
        betaOffset-RI-Index-MC-r10 INTEGER (0..15),
        betaOffset-CQI-Index-MC-r10 INTEGER (0..15)
    } OPTIONAL, -- Need OR
    groupHoppingDisabled-r10 ENUMERATED {true} OPTIONAL, -- Need OR
    dmrs-WithOCC-Activated-r10 ENUMERATED {true} OPTIONAL -- Need OR
}

PUSCH-ConfigDedicated-v1130 ::= SEQUENCE {
    pusch-DMRS-r11 CHOICE {
        release NULL,
        setup SEQUENCE {
            nPUSCH-Identity-r11 INTEGER (0..509),
            nDMRS-CSH-Identity-r11 INTEGER (0..509)
        }
    }
}

PUSCH-ConfigDedicated-v1250 ::= SEQUENCE {
    uciOnPUSCH CHOICE {
        release NULL,
        setup SEQUENCE {
            betaOffset-ACK-Index-SubframeSet2-r12 INTEGER (0..15),
            betaOffset-RI-Index-SubframeSet2-r12 INTEGER (0..15),
            betaOffset-CQI-Index-SubframeSet2-r12 INTEGER (0..15),
            betaOffsetMC-r12 SEQUENCE {
                betaOffset-ACK-Index-MC-SubframeSet2-r12 INTEGER (0..15),
                betaOffset-RI-Index-MC-SubframeSet2-r12 INTEGER (0..15),
                betaOffset-CQI-Index-MC-SubframeSet2-r12 INTEGER (0..15)
            } OPTIONAL -- Need OR
        }
    }
}

PUSCH-ConfigDedicated-r13 ::= SEQUENCE {
    betaOffset-ACK-Index-r13 INTEGER (0..15),
    betaOffset2-ACK-Index-r13 INTEGER (0..15) OPTIONAL, -- Need OR
    betaOffset-RI-Index-r13 INTEGER (0..15),
    betaOffset-CQI-Index-r13 INTEGER (0..15),
    betaOffsetMC-r13 SEQUENCE {
        betaOffset-ACK-Index-MC-r13 INTEGER (0..15),
        betaOffset2-ACK-Index-MC-r13 INTEGER (0..15) OPTIONAL, -- Need OR
        betaOffset-RI-Index-MC-r13 INTEGER (0..15),
    }
}

```

```

        betaOffset-CQI-Index-MC-r13                INTEGER (0..15)
    }
    groupHoppingDisabled-r13                       ENUMERATED {true}                OPTIONAL, -- Need OR
    dmrs-WithOCC-Activated-r13                     ENUMERATED {true}                OPTIONAL, -- Need OR
    pusch-DMRS-r11                                 CHOICE {
        release                                    NULL,
        setup                                     SEQUENCE {
            nPUSCH-Identity-r13                   INTEGER (0..509),
            nDMRS-CSH-Identity-r13                INTEGER (0..509)
        }
    }
}
uciOnPUSCH                                       CHOICE {
    release                                       NULL,
    setup                                       SEQUENCE {
        betaOffset-ACK-Index-SubframeSet2-r13    INTEGER (0..15),
        betaOffset2-ACK-Index-SubframeSet2-r13   INTEGER (0..15) OPTIONAL, -- Need OR
        betaOffset-RI-Index-SubframeSet2-r13     INTEGER (0..15),
        betaOffset-CQI-Index-SubframeSet2-r13    INTEGER (0..15),
        betaOffsetMC-r12                         SEQUENCE {
            betaOffset-ACK-Index-MC-SubframeSet2-r13  INTEGER (0..15),
            betaOffset2-ACK-Index-MC-SubframeSet2-r13  INTEGER (0..15) OPTIONAL, -- Need OR
            betaOffset-RI-Index-MC-SubframeSet2-r13   INTEGER (0..15),
            betaOffset-CQI-Index-MC-SubframeSet2-r13   INTEGER (0..15)
        }
    }
}
}
pusch-HoppingConfig-r13                         ENUMERATED {on}                  OPTIONAL, -- Need ON
}

PUSCH-ConfigDedicated-v1430 ::= SEQUENCE {
    ce-PUSCH-NB-MaxTBS-r14                       ENUMERATED {on}                  OPTIONAL, -- Need OR
    ce-PUSCH-MaxBandwidth-r14                    ENUMERATED {bw5}                 OPTIONAL, -- Need OR
    tdd-PUSCH-UpPTS-r14                          TDD-PUSCH-UpPTS-r14             OPTIONAL, -- Need ON
    ul-DMRS-IFDMA-r14                             BOOLEAN,
    enable256QAM-r14                              Enable256QAM-r14                 OPTIONAL -- Need ON
}

PUSCH-ConfigDedicated-v1530 ::= SEQUENCE {
    ce-PUSCH-FlexibleStartPRB-AllocConfig-r15    CHOICE {
        release                                    NULL,
        setup                                     SEQUENCE {
            offsetCE-ModeB-r15                     INTEGER (-1..3)                 OPTIONAL -- Cond CE-ModeB
        }
    },
    ce-PUSCH-SubPRB-Config-r15                   CHOICE {
        release                                    NULL,
        setup                                     SEQUENCE {
            locationCE-ModeB-r15                     INTEGER (0..5)                 OPTIONAL, -- Cond CE-ModeB
            sixToneCyclicShift-r15                   INTEGER (0..3),
            threeToneCyclicShift-r15                 INTEGER (0..2)
        }
    }
}
OPTIONAL -- Need ON
}

PUSCH-ConfigDedicatedSCell-r10 ::= SEQUENCE {
    groupHoppingDisabled-r10                       ENUMERATED {true}                OPTIONAL, -- Need OR
    dmrs-WithOCC-Activated-r10                     ENUMERATED {true}                OPTIONAL, -- Need OR
}

PUSCH-ConfigDedicatedSCell-v1430 ::= SEQUENCE {
    enable256QAM-r14                              Enable256QAM-r14                 OPTIONAL -- Need OR
}

PUSCH-ConfigDedicatedScell-v1530 ::= SEQUENCE {
    uci-OnPUSCH-r15                                CHOICE {
        release                                    NULL,
        setup                                     SEQUENCE {
            betaOffsetAUL-r15                       INTEGER (0..15)
        }
    }
}

TDD-PUSCH-UpPTS-r14 ::= CHOICE {
    release                                       NULL,
    setup                                       SEQUENCE {
        symPUSCH-UpPTS-r14                         ENUMERATED {sym1, sym2, sym3, sym4, sym5, sym6}
                                                    OPTIONAL, -- Need ON
        dmrs-LessUpPTS-Config-r14                  ENUMERATED {true}                OPTIONAL -- Need OR
    }
}

```

```

}
}
Enable256QAM-r14 ::= CHOICE {
  release NULL,
  setup CHOICE {
    tpc-SubframeSet-Configured-r14 SEQUENCE {
      subframeSet1-DCI-Format0-r14 BOOLEAN,
      subframeSet1-DCI-Format4-r14 BOOLEAN,
      subframeSet2-DCI-Format0-r14 BOOLEAN,
      subframeSet2-DCI-Format4-r14 BOOLEAN
    },
    tpc-SubframeSet-NotConfigured-r14 SEQUENCE {
      dci-Format0-r14 BOOLEAN,
      dci-Format4-r14 BOOLEAN
    }
  }
}

PUSCH-EnhancementsConfig-r14 ::= CHOICE {
  release NULL,
  setup SEQUENCE {
    pusch-HoppingOffsetPUSCH-Enh-r14 INTEGER (1..100) OPTIONAL, -- Need ON
    interval-ULHoppingPUSCH-Enh-r14 CHOICE {
      interval-FDD-PUSCH-Enh-r14 ENUMERATED {int1, int2, int4, int8},
      interval-TDD-PUSCH-Enh-r14 ENUMERATED {int1, int5, int10, int20}
    }
  }
}

UL-ReferenceSignalsPUSCH ::= SEQUENCE {
  groupHoppingEnabled BOOLEAN,
  groupAssignmentPUSCH INTEGER (0..29),
  sequenceHoppingEnabled BOOLEAN,
  cyclicShift INTEGER (0..7)
}

-- ASN1STOP

```

| <b>PUSCH-Config field descriptions</b>  |
|---|
| <p><b><i>betaOffset-ACK-Index, betaOffset2-ACK-Index, betaOffset-ACK-Index-MC, betaOffset2-ACK-Index-MC</i></b></p> <p>Parameter: <math>I_{offset}^{HARQ-ACK}</math>, <math>I_{offset,X}^{HARQ-ACK}</math>, <math>I_{offset,MC}^{HARQ-ACK}</math> and <math>I_{offset,MC,X}^{HARQ-ACK}</math>, for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-1. <i>betaOffset-ACK-Index</i> and <i>betaOffset2-ACK-Index</i> are used for single-codeword and <i>betaOffset-ACK-Index-MC</i> and <i>betaOffset2-ACK-Index-MC</i> are used for multiple-codeword. If <i>betaOffset2-ACK-Index</i> is configured; <i>betaOffset-ACK-Index</i> is used when up to 22 HARQ-ACK bits are transmitted otherwise <i>betaOffset2-ACK-Index</i> is used. If <i>betaOffset-ACK2-Index-MC</i> is configured; <i>betaOffset-ACK-Index-MC</i> is used when up to 22 HARQ-ACK bits are transmitted otherwise <i>betaOffset2-ACK-Index-MC</i> is used. One value applies for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and not configured with uplink power control subframe sets. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).</p>   |
| <p><b><i>betaOffset-ACK-Index-SubframeSet2, betaOffset2-ACK-Index-SubframeSet2, betaOffset-ACK-Index-MC-SubframeSet2, betaOffset2-ACK-Index-MC-SubframeSet2</i></b></p> <p>Parameter: <math>I_{offset,set2}^{HARQ-ACK}</math>, <math>I_{offset,set2,X}^{HARQ-ACK}</math>, <math>I_{offset,MC,set2}^{HARQ-ACK}</math> and <math>I_{offset,MC,set2,X}^{HARQ-ACK}</math> respectively, see TS 36.213 [23], Table 8.6.3-1. <i>betaOffset-ACK-Index-SubframeSet2</i> and <i>betaOffset2-ACK-Index-SubframeSet2</i> are used for single-codeword, <i>betaOffset-ACK-Index-MC-SubframeSet2</i>, <i>betaOffset2-ACK-Index-MC-SubframeSet2</i> are used for multiple-codeword. If <i>betaOffset2-ACK-Index-SubframeSet2</i> is configured; <i>betaOffset-ACK-Index-SubframeSet2</i> is used when up to 22 HARQ-ACK bits are transmitted otherwise <i>betaOffset2-ACK-Index-SubframeSet2</i> is used. If <i>betaOffset2-ACK-Index-MC-SubframeSet2</i> is configured; <i>betaOffset-ACK-Index-MC-SubframeSet2</i> is used when up to 22 HARQ-ACK bits are transmitted otherwise <i>betaOffset2-ACK-Index-MC-SubframeSet2</i> is used. One value applies for subframe set 2 of all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell configured with uplink power control subframe sets).</p> |
| <p><b><i>betaOffsetAUL</i></b></p> <p>Parameter: <math>\beta_{offset}^{AUL-UCI}</math> see TS 36.213 [23], clause 8.6.3.</p>  |
| <p><b><i>betaOffset-CQI-Index, betaOffset-CQI-Index-MC</i></b></p> <p>Parameter: <math>I_{offset}^{CQI}</math>, for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-3. One value applies for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and not configured with uplink power control subframe sets. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).</p>  |
| <p><b><i>betaOffset-CQI-Index-SubframeSet2, betaOffset-CQI-Index-MC-SubframeSet2</i></b></p> <p>Parameter: <math>I_{offset}^{CQI}</math>, for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-3. One value applies for subframe set 2 of all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell configured with uplink power control subframe sets).</p>   |
| <p><b><i>betaOffset-RI-Index, betaOffset-RI-Index-MC</i></b></p> <p>Parameter: <math>I_{offset}^{RI}</math>, for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-2. One value applies for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and not configured with uplink power control subframe sets. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).</p>   |
| <p><b><i>betaOffset-RI-Index-SubframeSet2, betaOffset-RI-Index-MC-SubframeSet2</i></b></p> <p>Parameter: <math>I_{offset}^{RI}</math>, for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-2. One value applies for subframe set 2 of all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell configured with uplink power control subframe sets).</p>  |
| <p><b><i>ce-PUSCH-FlexibleStartPRB-AllocConfig</i></b></p> <p>Activation of flexible starting PRB for PUSCH resource allocation in CE mode A or B. <i>offsetCE-ModeB</i> indicates starting PRB offset when flexible starting PRB for PUSCH resource allocation in CE mode B is enabled. See TS 36.212 [22] and TS 36.213 [23]. E-UTRAN does not configure this field when E-UTRA system bandwidth is 1.4 MHz.</p>  |

| <b>PUSCH-Config field descriptions</b>  |
|---|
| <p><b>ce-PUSCH-MaxBandwidth</b><br/>Maximum PUSCH channel bandwidth in CE mode A, see TS 36.212 [22] and TS 36.213 [23]. Value bw5 corresponds to 5 MHz. If this field is not configured, the maximum PUSCH channel bandwidth in CE mode A set to 1.4 MHz. The maximum PUSCH channel bandwidth in CE mode B is 1.4 MHz regardless of the setting of this parameter.<br/>Parameter: transmission bandwidth configuration, see TS 36.101 [42], table 5.6-1.</p>   |
| <p><b>ce-PUSCH-NB-MaxTBS</b><br/>Activation of 2984 bits maximum PUSCH TBS in 1.4 MHz in CE mode A, see TS 36.212 [22] and TS 36.213 [23].</p>  |
| <p><b>ce-PUSCH-SubPRB-Config</b><br/>Activation of PUSCH sub-PRB allocation in CE mode A or B, see TS 36.211 [21], TS 36.212 [22] and TS 36.213 [23].</p>   |
| <p><b>cyclicShift</b><br/>Parameters: <i>cyclicShift</i>, see TS 36.211 [21], Table 5.5.2.1.1-2.</p>  |
| <p><b>dmrs-LessUpPTS-Config</b><br/>Indicates the UE not to transmit DMRS for PUSCH in UpPTS, see TS36.211 [21], clause 5.5.2.1.2.</p>  |
| <p><b>dmrs-WithOCC-Activated</b><br/>Parameter: <i>Activate-DMRS-with OCC</i>, see TS 36.211 [21], clause 5.5.2.1.</p>  |
| <p><b>enable256QAM</b><br/>See TS 36.213 [23], clause 8.6.1. If <i>enable256QAM</i> is included and if uplink power control subframe sets are configured by <i>tpc-SubframeSet</i>, the field indicates (if set to TRUE) per uplink power control subframe set and DCI format 0/0A/0B and 4/4A/4B that 256QAM is allowed for UE UL categories as indicated in TS 36.306 [5], Table 4.1A-2, while FALSE indicates that 256 QAM is not allowed. If <i>enable256QAM</i> is included and if uplink power control subframe sets are not configured by <i>tpc-SubframeSet</i>, the field indicates (if set to TRUE) per DCI format 0/0A/0B and 4/4A/4B that 256QAM is allowed for UE UL categories as indicated in TS 36.306 [5], Table 4.1A-2, while FALSE indicates that 256 QAM is not allowed.</p>  |
| <p><b>enable64QAM</b><br/>See TS 36.213 [23], clause 8.6.1. If <i>enable64QAM</i> (without suffix) is set to TRUE, it indicates that 64QAM is allowed for UE categories 5 and 8 indicated in <i>ue-Category</i> and UL categories indicated in <i>ue-CategoryUL</i> which support UL 64QAM and can fallback to category 5 or 8, see TS 36.306 [5], Table 4.1A-2 and Table 4.1A-6, while FALSE indicates that 64QAM is not allowed. If <i>enable64QAM-v1270</i> is set to TRUE, it indicates that 64QAM is allowed for UL categories indicated in <i>ue-CategoryUL</i> which support UL 64QAM but cannot fallback category 5 or 8, see TS 36.306 [5], Table 4.1A-2 and Table 4.1A-6. E-UTRAN configures <i>enable64QAM-v1270</i> only when <i>enable64QAM</i> (without suffix) is set to TRUE.</p> |
| <p><b>interval-ULHoppingPUSCH-Enh</b><br/>Number of consecutive absolute subframes over which PUSCH stays at the same PRBs before hopping to other PRBs. For <i>interval-FDD-PUSCH-Enh</i>, int1 corresponds to 1 subframe, int2 corresponds to 2 subframes, and so on. For <i>interval-TDD-PUSCH-Enh</i>, int1 corresponds to 1 subframe, int5 corresponds to 5 subframes, and so on. See TS 36.211 [21], clause 5.3.4.</p>  |
| <p><b>groupAssignmentPUSCH</b><br/>Parameter: <math>\Delta SS</math> See TS 36.211 [21], clause 5.5.1.3.</p>  |
| <p><b>groupHoppingDisabled</b><br/>Parameter: <i>Disable-sequence-group-hopping</i>, see TS 36.211 [21], clause 5.5.1.3.</p>  |
| <p><b>groupHoppingEnabled</b><br/>Parameter: <i>Group-hopping-enabled</i>, see TS 36.211 [21], clause 5.5.1.3.</p>  |
| <p><b>hoppingMode</b><br/>Parameter: <i>Hopping-mode</i>, see TS 36.211 [21], clause 5.3.4.</p>   |
| <p><b>locationCE-ModeB</b><br/>PRB location within the narrowband when PUSCH sub-PRB allocation is enabled in CE mode B.</p>  |
| <p><b>nDMRS-CSH-Identity</b><br/>Parameter: <math>N_{ID}^{csh\_DMRS}</math>, see TS 36.211 [21], clause 5.5.2.1.1.</p>  |
| <p><b>nPUSCH-Identity</b><br/>Parameter: <math>n_{ID}^{PUSCH}</math>, see TS 36.211 [21], clause 5.5.1.5.</p>   |
| <p><b>n-SB</b><br/>Parameter: <math>N_{sb}</math> see TS 36.211 [21], clause 5.3.4.</p>   |
| <p><b>pusch-HoppingConfig</b><br/>For BL UEs and UEs in CE, frequency hopping activation/deactivation for unicast PUSCH, see TS 36.211 [21]</p>   |
| <p><b>pusch-hoppingOffset</b><br/>Except for BL UEs and UEs in CE, parameter: <math>N_{RB}^{HO}</math>, see TS 36.211 [21], clause 5.3.4. For BL UEs and UEs in CE, the <i>pusch-hoppingOffset-v1310</i> indicates the parameter <math>f_{NB,hop}^{PUSCH}</math>, see TS 36.211 [21], clause 5.3.4. . In case <i>pusch-hoppingOffset-v1310</i> is signalled, the BL UEs and UEs in CE shall ignore <i>pusch-hoppingOffset</i> (i.e. without suffix).</p>  |
| <p><b>pusch-HoppingOffsetPUSCH-Enh</b><br/>Indicates the frequency domain hopping offset between PRBs for PUSCH in frequency hopping, see TS 36.211 [21], clause 5.3.4. Value 1 corresponds to 1 PRB, value 2 corresponds to 2 PRBs, and so on.</p>   |

| <b>PUSCH-Config field descriptions</b>          |  |
|---|--|
| <b>pusch-maxNumRepetitionCEmodeA</b>            | Maximum value to indicate the set of PUSCH repetition numbers for CE mode A, see TS 36.211 [21] and TS 36.213 [23]. E-UTRAN does not configure value r8. If the field is not configured, the UE shall apply the default value as defined in TS 36.213 [23], clause 8.0.  |
| <b>pusch-maxNumRepetitionCEmodeB</b>            | Maximum value to indicate the set of PUSCH repetition numbers for CE mode B, see TS 36.211 [21] and TS 36.213 [23].  |
| <b>sequenceHoppingEnabled</b>                   | Parameter: <i>Sequence-hopping-enabled</i> , see TS 36.211 [21], clause 5.5.1.4.   |
| <b>sixToneCyclicShift, threeToneCyclicShift</b> | Cyclic shift for PUSCH reference signal sequence of six/three subcarriers in CE mode A or B.   |
| <b>symPUSCH-UpPTS</b>                           | Indicates the number of data symbols that configured for PUSCH transmission in UpPTS. Values <i>sym2</i> , <i>sym3</i> , <i>sym4</i> , <i>sym5</i> and <i>sym6</i> can be used for normal cyclic prefix, if <i>dmrsLess-UpPTS</i> is set to <i>true</i> , otherwise, values <i>sym2</i> , <i>sym3</i> , <i>sym4</i> , <i>sym5</i> can be used for normal cyclic prefix and values <i>sym1</i> , <i>sym2</i> , <i>sym3</i> and <i>sym4</i> can be used for extended cyclic prefix, see TS 36.213 [23], clause 8.6.2 and TS 36.211 [21], clause 5.3.4. |
| <b>ul-DMRS-IFDMA</b>                            | Value <i>TRUE</i> indicates that the UE is configured with enhanced UL DMRS.   |
| <b>ul-ReferenceSignalsPUSCH</b>                 | Used to specify parameters needed for the transmission on PUSCH (or PUCCH).  |

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>CE-ModeB</i>             | The field is optionally present, need ON, for CE Mode B. Otherwise, the field is not present. |

## RACH-ConfigCommon

The IE *RACH-ConfigCommon* is used to specify the generic random access parameters.

### RACH-ConfigCommon information element

```

-- ASN1START
RACH-ConfigCommon ::= SEQUENCE {
  preambleInfo SEQUENCE {
    numberOfRA-Preambles ENUMERATED {
      n4, n8, n12, n16, n20, n24, n28,
      n32, n36, n40, n44, n48, n52, n56,
      n60, n64},
    preamblesGroupAConfig SEQUENCE {
      sizeOfRA-PreamblesGroupA ENUMERATED {
        n4, n8, n12, n16, n20, n24, n28,
        n32, n36, n40, n44, n48, n52, n56,
        n60},
      messageSizeGroupA ENUMERATED {b56, b144, b208, b256},
      messagePowerOffsetGroupB ENUMERATED {
        minusinfinity, dB0, dB5, dB8, dB10, dB12,
        dB15, dB18},
      ...
    } OPTIONAL -- Need OP
  },
  powerRampingParameters PowerRampingParameters,
  ra-SupervisionInfo SEQUENCE {
    preambleTransMax PreambleTransMax,
    ra-ResponseWindowSize ENUMERATED {
      sf2, sf3, sf4, sf5, sf6, sf7,
      sf8, sf10},
    mac-ContentionResolutionTimer ENUMERATED {
      sf8, sf16, sf24, sf32, sf40, sf48,
      sf56, sf64}
  },
  maxHARQ-Msg3Tx INTEGER (1..8),
  ...
  [[ preambleTransMax-CE-r13 PreambleTransMax OPTIONAL -- Need OR
    rach-CE-LevelInfoList-r13 RACH-CE-LevelInfoList-r13 OPTIONAL -- Need OR
  ]],
  [[ edt-SmallTBS-Subset-r15 ENUMERATED {true} OPTIONAL -- Cond
EDT-OR
]]

```



```

}
RACH-ConfigCommon-v1250 ::= SEQUENCE {
    txFailParams-r12 SEQUENCE {
        connEstFailCount-r12 ENUMERATED {n1, n2, n3, n4},
        connEstFailOffsetValidity-r12 ENUMERATED {s30, s60, s120, s240,
            s300, s420, s600, s900},
        connEstFailOffset-r12 INTEGER (0..15) OPTIONAL -- Need OP
    }
}

RACH-ConfigCommonSCell-r11 ::= SEQUENCE {
    powerRampingParameters-r11 PowerRampingParameters,
    ra-SupervisionInfo-r11 SEQUENCE {
        preambleTransMax-r11 PreambleTransMax
    },
    ...
}

RACH-CE-LevelInfoList-r13 ::= SEQUENCE (SIZE (1..maxCE-Level-r13)) OF RACH-CE-LevelInfo-r13

RACH-CE-LevelInfo-r13 ::= SEQUENCE {
    preambleMappingInfo-r13 SEQUENCE {
        firstPreamble-r13 INTEGER(0..63),
        lastPreamble-r13 INTEGER(0..63)
    },
    ra-ResponseWindowSize-r13 ENUMERATED {sf20, sf50, sf80, sf120, sf180,
        sf240, sf320, sf400},

    mac-ContentionResolutionTimer-r13 ENUMERATED {sf80, sf100, sf120,
        sf160, sf200, sf240, sf480, sf960},
    rar-HoppingConfig-r13 ENUMERATED {on,off},
    ...
    [[ edt-Parameters-r15 SEQUENCE {
        edt-LastPreamble-r15 INTEGER(0..63),
        edt-SmallTBS-Enabled-r15 BOOLEAN,
        edt-TBS-r15 ENUMERATED {b328, b408, b504, b600, b712,
            b808, b936, b1000or456},
        mac-ContentionResolutionTimer-r15 ENUMERATED {sf240, sf480, sf960,
            sf1920, sf3840, sf5760, sf7680, sf10240}
    } OPTIONAL -- Need OP
    ]] OPTIONAL -- Cond EDT
}

PowerRampingParameters ::= SEQUENCE {
    powerRampingStep ENUMERATED {dB0, dB2, dB4, dB6},
    preambleInitialReceivedTargetPower ENUMERATED {
        dBm-120, dBm-118, dBm-116, dBm-114, dBm-112,
        dBm-110, dBm-108, dBm-106, dBm-104, dBm-102,
        dBm-100, dBm-98, dBm-96, dBm-94,
        dBm-92, dBm-90}
}

PreambleTransMax ::= ENUMERATED {
    n3, n4, n5, n6, n7, n8, n10, n20, n50,
    n100, n200}

-- ASN1STOP

```

| <b>RACH-ConfigCommon field descriptions</b>  |
|--|
| <p><b>connEstFailCount</b><br/>Number of times that the UE detects T300 expiry on the same cell before applying <i>connEstFailOffset</i>.</p>  |
| <p><b>connEstFailOffset</b><br/>Parameter "Qoffset<sub>temp</sub>" in TS 36.304 [4]. If the field is not present the value of infinity shall be used for "Qoffset<sub>temp</sub>".</p>   |
| <p><b>connEstFailOffsetValidity</b><br/>Amount of time that the UE applies <i>connEstFailOffset</i> before removing the offset from evaluation of the cell. Value s30 corresponds to 30 seconds, s60 corresponds to 60 seconds, and so on.</p>   |
| <p><b>edt-LastPreamble</b><br/>Provides the mapping of preambles to groups for each CE level for EDT, as specified in TS 36.321 [6]. For the concerned CE level, if PRACH resources configured by <i>edt-PRACH-ParametersCE-r15</i> are different from the PRACH resources configured by <i>PRACH-ParametersCE-r13</i> for all CE levels and <i>edt-PRACH-ParametersCE-r15</i> for all other CE levels, the preambles for EDT are the preambles <i>firstPreamble-r13</i> to <i>edt-LastPreamble-r15</i>, otherwise the preambles for EDT are the preambles <i>lastPreamble-r13+1</i> to <i>edt-LastPreamble-r15</i>.</p> |
| <p><b>edt-SmallTBS-Enabled</b><br/>Value TRUE indicates UE performing EDT is allowed to select TBS smaller than <i>edt-TBS</i> for Msg3 in the corresponding CE level, as specified in TS 36.213 [23].</p>   |
| <p><b>edt-SmallTBS-Subset</b><br/>Presence indicates only two of the TBS values can be used according to <i>edt-TBS</i> corresponding to the CE level, as specified in TS 36.213 [23]. When the field is not present, any of the TBS values according to <i>edt-TBS</i> corresponding to the CE level can be used. This field is applicable for a CE level only when <i>edt-SmallTBS-Enabled</i> is included for the corresponding CE level.</p>   |
| <p><b>edt-TBS</b><br/>Largest TBS for Msg3 for a CE level applicable to a UE performing EDT. Value in bits. Value b328 corresponds to 328 bits, b408 corresponds to 408 bits and so on. Additionally, value b1000or456 corresponds to 1000 bits for CE levels 0 and 1, and 456 bits for CE levels 2 and 3. See TS 36.213 [23].</p>   |
| <p><b>mac-ContentionResolutionTimer</b><br/>Timer for contention resolution in TS 36.321 [6]. Value in subframes. Value sf8 corresponds to 8 subframes, sf16 corresponds to 16 subframes and so on. <i>mac-ContentionResolutionTimer-r15</i> is only applicable for EDT. UE performing EDT shall use <i>mac-ContentionResolutionTimer-r15</i>, if present.</p>   |
| <p><b>maxHARQ-Msg3Tx</b><br/>Maximum number of Msg3 HARQ transmissions in TS 36.321 [6], used for contention based random access. Value is an integer.</p>   |
| <p><b>messagePowerOffsetGroupB</b><br/>Threshold for preamble selection in TS 36.321 [6]. Value in dB. Value minusinfinity corresponds to -infinity. Value dB0 corresponds to 0 dB, dB5 corresponds to 5 dB and so on.</p>   |
| <p><b>messageSizeGroupA</b><br/>Threshold for preamble selection in TS 36.321 [6]. Value in bits. Value b56 corresponds to 56 bits, b144 corresponds to 144 bits and so on.</p>  |
| <p><b>numberOfRA-Preambles</b><br/>Number of non-dedicated random access preambles in TS 36.321 [6]. Value is an integer. Value n4 corresponds to 4, n8 corresponds to 8 and so on.</p>  |
| <p><b>powerRampingStep</b><br/>Power ramping factor in TS 36.321 [6]. Value in dB. Value dB0 corresponds to 0 dB, dB2 corresponds to 2 dB and so on.</p>   |
| <p><b>preambleInitialReceivedTargetPower</b><br/>Initial preamble power in TS 36.321 [6]. Value in dBm. Value dBm-120 corresponds to -120 dBm, dBm-118 corresponds to -118 dBm and so on.</p>  |
| <p><b>preambleMappingInfo</b><br/>Provides the mapping of preambles to groups for each CE level, except for EDT, as specified in TS 36.321 [6]. When random access preambles group B is used, <i>firstPreamble-r13</i> is set to 0 and <i>lastPreamble-r13</i> is set to <i>numberOfRA-Preambles-1</i>.</p>  |
| <p><b>preamblesGroupAConfig</b><br/>Provides the configuration for preamble grouping in TS 36.321 [6]. If the field is not signalled, the size of the random access preambles group A, as specified in TS 36.321 [6], is equal to <i>numberOfRA-Preambles</i>.</p>   |
| <p><b>preambleTransMax, preambleTransMax-CE</b><br/>Maximum number of preamble transmission in TS 36.321 [6]. Value is an integer. Value n3 corresponds to 3, n4 corresponds to 4 and so on.</p>   |
| <p><b>rach-CE-LevelInfoList</b><br/>Provides RACH information for each coverage level. The first entry in the list contains RACH information of CE level 0, the second entry in the list contains RACH information of CE level 1, and so on. If E-UTRAN includes <i>rach-CE-LevelInfoList</i>, it includes the same number of entries as in <i>prach-ParametersListCE</i>.</p>   |
| <p><b>ra-ResponseWindowSize</b><br/>Duration of the RA response window in TS 36.321 [6]. Value in subframes. Value sf2 corresponds to 2 subframes, sf3 corresponds to 3 subframes and so on. The same value applies for each serving cell (although the associated functionality is performed independently for each cell).</p>  |

| RACH-ConfigCommon field descriptions |  |
|--------------------------------------|--|
| <b>connEstFailCount</b>              | Number of times that the UE detects T300 expiry on the same cell before applying <i>connEstFailOffset</i> .                                  |
| <b>rar-HoppingConfig</b>             | Frequency hopping activation/deactivation for RAR/Msg3/Msg4 for a CE level, see TS 36.211 [21].  |
| <b>sizeOfRA-PreamblesGroupA</b>      | Size of the random access preambles group A in TS 36.321 [6]. Value is an integer. Value n4 corresponds to 4, n8 corresponds to 8 and so on. |

| Conditional presence | Explanation   |
|----------------------|---|
| EDT                  | The field is mandatory present if <i>cp-EDT</i> or <i>up-EDT</i> in <i>SystemInformationBlockType2</i> is present; otherwise the field is not present and the UE shall delete any existing value for this field.            |
| EDT-OR               | The field is optionally present, Need OR, if <i>cp-EDT</i> or <i>up-EDT</i> in <i>SystemInformationBlockType2</i> is present; otherwise the field is not present and the UE shall delete any existing value for this field. |

## – RACH-ConfigDedicated

The IE *RACH-ConfigDedicated* is used to specify the dedicated random access parameters.

### RACH-ConfigDedicated information element

```
-- ASN1START
RACH-ConfigDedicated ::= SEQUENCE {
    ra-PreambleIndex          INTEGER (0..63),
    ra-PRACH-MaskIndex        INTEGER (0..15)
}
-- ASN1STOP
```

| RACH-ConfigDedicated field descriptions |   |
|---|---|
| <b>ra-PRACH-MaskIndex</b>               | Explicitly signalled PRACH Mask Index for RA Resource selection in TS 36.321 [6].       |
| <b>ra-PreambleIndex</b>                 | Explicitly signalled Random Access Preamble for RA Resource selection in TS 36.321 [6]. |

## – RadioResourceConfigCommon

The IE *RadioResourceConfigCommonSIB* and IE *RadioResourceConfigCommon* are used to specify common radio resource configurations in the system information and in the mobility control information, respectively, e.g., the random access parameters and the static physical layer parameters.

### RadioResourceConfigCommon information element

```
-- ASN1START
RadioResourceConfigCommonSIB ::= SEQUENCE {
    rach-ConfigCommon          RACH-ConfigCommon,
    bch-Config                  BCCH-Config,
    pcc-Config                  PCCH-Config,
    prach-Config                PRACH-ConfigSIB,
    pdsch-ConfigCommon          PDSCH-ConfigCommon,
    pusch-ConfigCommon          PUSCH-ConfigCommon,
    pucch-ConfigCommon          PUCCH-ConfigCommon,
    soundingRS-UL-ConfigCommon SoundingRS-UL-ConfigCommon,
    uplinkPowerControlCommon    UplinkPowerControlCommon,
    ul-CyclicPrefixLength      UL-CyclicPrefixLength,
    . . .
    [[ uplinkPowerControlCommon-v1020 UplinkPowerControlCommon-v1020 OPTIONAL -- Need OR
    ]],
    [[ rach-ConfigCommon-v1250        RACH-ConfigCommon-v1250        OPTIONAL -- Need OR
    ]],
    [[ pusch-ConfigCommon-v1270        PUSCH-ConfigCommon-v1270        OPTIONAL -- Need OR
    ]]
```

```

]],
[[ bcch-Config-v1310 BCCH-Config-v1310 OPTIONAL, -- Need OR
   pcch-Config-v1310 PCCH-Config-v1310 OPTIONAL, -- Need OR
   freqHoppingParameters-r13 FreqHoppingParameters-r13 OPTIONAL, -- Need OR
   pdsch-ConfigCommon-v1310 PDSCH-ConfigCommon-v1310 OPTIONAL, -- Need OR
   pusch-ConfigCommon-v1310 PUSCH-ConfigCommon-v1310 OPTIONAL, -- Need OR
   prach-ConfigCommon-v1310 PRACH-ConfigSIB-v1310 OPTIONAL, -- Need OR
   pucch-ConfigCommon-v1310 PUCCH-ConfigCommon-v1310 OPTIONAL, -- Need OR
]],
[[ highSpeedConfig-r14 HighSpeedConfig-r14 OPTIONAL, -- Need OR
   prach-Config-v1430 PRACH-Config-v1430 OPTIONAL, -- Need OR
   pucch-ConfigCommon-v1430 PUCCH-ConfigCommon-v1430 OPTIONAL, -- Need OR
]],
[[ prach-Config-v1530 PRACH-ConfigSIB-v1530 OPTIONAL, -- Cond EDT
   ce-RSS-Config-r15 RSS-Config-r15 OPTIONAL, -- Need OR
   wus-Config-r15 WUS-Config-r15 OPTIONAL, -- Need OR
   highSpeedConfig-v1530 HighSpeedConfig-v1530 OPTIONAL, -- Need OR
]],
[[ uplinkPowerControlCommon-v1540 UplinkPowerControlCommon-v1530 OPTIONAL, -- Need OR
]],
[[ wus-Config-v1560 WUS-Config-v1560 OPTIONAL, -- Need OR
]]
}

RadioResourceConfigCommon ::= SEQUENCE {
  rach-ConfigCommon RACH-ConfigCommon OPTIONAL, -- Need ON
  prach-Config PRACH-Config,
  pdsch-ConfigCommon PDSCH-ConfigCommon OPTIONAL, -- Need ON
  pusch-ConfigCommon PUSCH-ConfigCommon,
  phich-Config PHICH-Config OPTIONAL, -- Need ON
  pucch-ConfigCommon PUCCH-ConfigCommon OPTIONAL, -- Need ON
  soundingRS-UL-ConfigCommon SoundingRS-UL-ConfigCommon OPTIONAL, -- Need ON
  uplinkPowerControlCommon UplinkPowerControlCommon OPTIONAL, -- Need ON
  antennaInfoCommon AntennaInfoCommon OPTIONAL, -- Need ON
  p-Max P-Max OPTIONAL, -- Need OP
  tdd-Config TDD-Config OPTIONAL, -- Cond TDD
  ul-CyclicPrefixLength UL-CyclicPrefixLength,
  ...,
  [[ uplinkPowerControlCommon-v1020 UplinkPowerControlCommon-v1020 OPTIONAL, -- Need ON
  ]],
  [[ tdd-Config-v1130 TDD-Config-v1130 OPTIONAL, -- Cond TDD3
  ]],
  [[ pusch-ConfigCommon-v1270 PUSCH-ConfigCommon-v1270 OPTIONAL, -- Need OR
  ]],
  [[
    prach-Config-v1310 PRACH-Config-v1310 OPTIONAL, -- Need ON
    freqHoppingParameters-r13 FreqHoppingParameters-r13 OPTIONAL, -- Need ON
    pdsch-ConfigCommon-v1310 PDSCH-ConfigCommon-v1310 OPTIONAL, -- Need ON
    pucch-ConfigCommon-v1310 PUCCH-ConfigCommon-v1310 OPTIONAL, -- Need ON
    pusch-ConfigCommon-v1310 PUSCH-ConfigCommon-v1310 OPTIONAL, -- Need ON
    uplinkPowerControlCommon-v1310 UplinkPowerControlCommon-v1310 OPTIONAL, -- Need ON
  ]],
  [[ highSpeedConfig-r14 HighSpeedConfig-r14 OPTIONAL, -- Need OR
    prach-Config-v1430 PRACH-Config-v1430 OPTIONAL, -- Need OR
    pucch-ConfigCommon-v1430 PUCCH-ConfigCommon-v1430 OPTIONAL, -- Need OR
    tdd-Config-v1430 TDD-Config-v1430 OPTIONAL, -- Cond TDD3
  ]],
  [[
    tdd-Config-v1450 TDD-Config-v1450 OPTIONAL, -- Cond TDD3
  ]],
  [[ uplinkPowerControlCommon-v1530 UplinkPowerControlCommon-v1530 OPTIONAL, -- Need ON
    highSpeedConfig-v1530 HighSpeedConfig-v1530 OPTIONAL, -- Need OR
  ]]]
}

RadioResourceConfigCommonPSCell-r12 ::= SEQUENCE {
  basicFields-r12 RadioResourceConfigCommonSCell-r10,
  pucch-ConfigCommon-r12 PUCCH-ConfigCommon,
  rach-ConfigCommon-r12 RACH-ConfigCommon,
  uplinkPowerControlCommonPSCell-r12 UplinkPowerControlCommonPSCell-r12,
  ...,
  [[ uplinkPowerControlCommonPSCell-v1310
      UplinkPowerControlCommon-v1310 OPTIONAL, -- Need ON
  ]],
  [[ uplinkPowerControlCommonPSCell-v1530
      UplinkPowerControlCommon-v1530 OPTIONAL, -- Need ON
  ]]]
}

```

```

RadioResourceConfigCommonPSCell-v12f0 ::= SEQUENCE {
    basicFields-v12f0          RadioResourceConfigCommonSCell-v1010
}

RadioResourceConfigCommonPSCell-v1440 ::= SEQUENCE {
    basicFields-v1440          RadioResourceConfigCommonSCell-v1440
}

RadioResourceConfigCommonSCell-r10 ::= SEQUENCE {
    -- DL configuration as well as configuration applicable for DL and UL
    nonUL-Configuration-r10    SEQUENCE {
        -- 1: Cell characteristics
        dl-Bandwidth-r10        ENUMERATED {n6, n15, n25, n50, n75, n100},
        -- 2: Physical configuration, general
        antennaInfoCommon-r10  AntennaInfoCommon,
        mbsfn-SubframeConfigList-r10 MBSFN-SubframeConfigList OPTIONAL, -- Need OR
        -- 3: Physical configuration, control
        phich-Config-r10        PHICH-Config,
        -- 4: Physical configuration, physical channels
        pdsch-ConfigCommon-r10 PDSCH-ConfigCommon,
        tdd-Config-r10          TDD-Config OPTIONAL -- Cond
    }
TDDSCell
},
-- UL configuration
ul-Configuration-r10          SEQUENCE {
    ul-FreqInfo-r10            SEQUENCE {
        ul-CarrierFreq-r10     ARFCN-ValueEUTRA OPTIONAL, -- Need OP
        ul-Bandwidth-r10       ENUMERATED {n6, n15,
                                             n25, n50, n75, n100} OPTIONAL, -- Need OP
        additionalSpectrumEmissionSCell-r10 AdditionalSpectrumEmission
    },
    p-Max-r10                  P-Max OPTIONAL, -- Need OP
    uplinkPowerControlCommonSCell-r10 UplinkPowerControlCommonSCell-r10,
    -- A special version of IE UplinkPowerControlCommon may be introduced
    -- 3: Physical configuration, control
    soundingRS-UL-ConfigCommon-r10 SoundingRS-UL-ConfigCommon,
    ul-CyclicPrefixLength-r10      UL-CyclicPrefixLength,
    -- 4: Physical configuration, physical channels
    prach-ConfigSCell-r10          PRACH-ConfigSCell-r10 OPTIONAL, -- Cond TDD-
OR-NoR11
    pusch-ConfigCommon-r10          PUSCH-ConfigCommon
},
OPTIONAL, -- Need OR
...,
[[ ul-CarrierFreq-v1090            ARFCN-ValueEUTRA-v9e0 OPTIONAL -- Need OP
]],
[[ rach-ConfigCommonSCell-r11      RACH-ConfigCommonSCell-r11 OPTIONAL, -- Cond
ULSCell
prach-ConfigSCell-r11              PRACH-Config
tdd-Config-v1130                   TDD-Config-v1130
uplinkPowerControlCommonSCell-v1130 UplinkPowerControlCommonSCell-v1130
]],
OPTIONAL -- Cond UL
[[ pusch-ConfigCommon-v1270        PUSCH-ConfigCommon-v1270 OPTIONAL -- Need OR
]],
[[ pucch-ConfigCommon-r13          PUCCH-ConfigCommon OPTIONAL, -- Cond UL
uplinkPowerControlCommonSCell-v1310 UplinkPowerControlCommonSCell-v1310
]],
OPTIONAL -- Cond UL
[[ highSpeedConfigSCell-r14        HighSpeedConfigSCell-r14 OPTIONAL, -- Need OR
prach-Config-v1430                 PRACH-Config-v1430
OPTIONAL, -- Cond UL
ul-Configuration-r14              SEQUENCE {
    ul-FreqInfo-r14              SEQUENCE {
        ul-CarrierFreq-r14        ARFCN-ValueEUTRA-r9 OPTIONAL, -- Need OP
        ul-Bandwidth-r14          ENUMERATED {n6, n15,
                                             n25, n50, n75, n100} OPTIONAL, -- Need OP
        additionalSpectrumEmissionSCell-r14 AdditionalSpectrumEmission
    },
    p-Max-r14                      P-Max OPTIONAL, -- Need OP
    soundingRS-UL-ConfigCommon-r14 SoundingRS-UL-ConfigCommon,
    ul-CyclicPrefixLength-r14      UL-CyclicPrefixLength,
    prach-ConfigSCell-r14          PRACH-ConfigSCell-r10 OPTIONAL, -- Cond TDD-
OR-NoR11
    uplinkPowerControlCommonPUSCH-LessCell-v1430
UplinkPowerControlCommonPUSCH-LessCell-v1430
OPTIONAL -- Need OR
}},
OPTIONAL, -- Cond UL
harq-ReferenceConfig-r14           ENUMERATED {sa2,sa4,sa5} OPTIONAL, -- Need
OR

```

```

soundingRS-FlexibleTiming-r14          ENUMERATED {true}          OPTIONAL          -- Need OR
]],
[[ mbsfn-SubframeConfigList-v1430      MBSFN-SubframeConfigList-v1430  OPTIONAL          -- Need ON
]],
[[ uplinkPowerControlCommonSCell-v1530 UplinkPowerControlCommon-v1530  OPTIONAL          -- Need ON
]]
}

RadioResourceConfigCommonSCell-v1010 ::= SEQUENCE {
-- UL configuration
ul-Configuration-v1010                  SEQUENCE {
    additionalSpectrumEmissionSCell-v1010  AdditionalSpectrumEmission-v1010
}
}

RadioResourceConfigCommonSCell-v1440 ::= SEQUENCE {
ul-Configuration-v1440                  SEQUENCE {
    ul-FreqInfo-v1440                      SEQUENCE {
        additionalSpectrumEmissionSCell-v1440  AdditionalSpectrumEmission-v1010
    }
}
}

BCCH-Config ::= SEQUENCE {
    modificationPeriodCoeff              ENUMERATED {n2, n4, n8, n16}
}

BCCH-Config-v1310 ::= SEQUENCE {
    modificationPeriodCoeff-v1310        ENUMERATED {n64}
}

FreqHoppingParameters-r13 ::= SEQUENCE {
    dummy                                ENUMERATED {nb2, nb4}          OPTIONAL,
    dummy2                                CHOICE {
        interval-FDD-r13                  ENUMERATED {int1, int2, int4, int8},
        interval-TDD-r13                  ENUMERATED {int1, int5, int10, int20}
    } OPTIONAL,
    dummy3                                CHOICE {
        interval-FDD-r13                  ENUMERATED {int2, int4, int8, int16},
        interval-TDD-r13                  ENUMERATED {int5, int10, int20, int40}
    } OPTIONAL,
    interval-ULHoppingConfigCommonModeA-r13 CHOICE {
        interval-FDD-r13                  ENUMERATED {int1, int2, int4, int8},
        interval-TDD-r13                  ENUMERATED {int1, int5, int10, int20}
    } OPTIONAL, -- Cond MP-A
    interval-ULHoppingConfigCommonModeB-r13 CHOICE {
        interval-FDD-r13                  ENUMERATED {int2, int4, int8, int16},
        interval-TDD-r13                  ENUMERATED {int5, int10, int20, int40}
    } OPTIONAL, -- Cond MP-B
    dummy4                                INTEGER (1..maxAvailNarrowBands-r13)  OPTIONAL
}

PCCH-Config ::= SEQUENCE {
    defaultPagingCycle                  ENUMERATED {
        rf32, rf64, rf128, rf256},
    nB                                    ENUMERATED {
        fourT, twoT, oneT, halfT, quarterT, oneEighthT,
        oneSixteenthT, oneThirtySecondT}
}

PCCH-Config-v1310 ::= SEQUENCE {
    paging-narrowBands-r13              INTEGER (1..maxAvailNarrowBands-r13),
    mpdcch-NumRepetition-Paging-r13    ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128, r256},
    nB-v1310                             ENUMERATED {one64thT, one128thT, one256thT}
} OPTIONAL -- Need OR

UL-CyclicPrefixLength ::= ENUMERATED {len1, len2}

HighSpeedConfig-r14 ::= SEQUENCE {
    highSpeedEnhancedMeasFlag-r14      ENUMERATED {true}          OPTIONAL, -- Need OR
    highSpeedEnhancedDemodulationFlag-r14  ENUMERATED {true}          OPTIONAL -- Need OR
}

HighSpeedConfig-v1530 ::= SEQUENCE {
    highSpeedMeasGapCE-ModeA-r15       ENUMERATED {true}
}

```

```
HighSpeedConfigSCell-r14 ::= SEQUENCE {  
    highSpeedEnhancedDemodulationFlag-r14 ENUMERATED {true} OPTIONAL -- Need OR  
}  
-- ASN1STOP
```

| <b>RadioResourceConfigCommon field descriptions</b>   |
|---|
| <p><b>additionalSpectrumEmissionSCell</b><br/>The UE requirements related to <i>additionalSpectrumEmissionSCell</i> are defined in TS 36.101 [42]. E-UTRAN configures the same value in <i>additionalSpectrumEmissionSCell</i> for all SCell(s) of the same band with UL configured. The <i>additionalSpectrumEmissionSCell</i> is applicable for all serving cells (including PCell) of the same band with UL configured.</p>  |
| <p><b>defaultPagingCycle</b><br/>Default paging cycle, used to derive 'T' in TS 36.304 [4]. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on.</p>   |
| <p><b>dummy</b><br/>This field is not used in the specification. If received it shall be ignored by the UE.</p>   |
| <p><b>harq-ReferenceConfig</b><br/>Indicates UL/ DL configuration used as the DL HARQ reference configuration for this serving cell. Value sa2 corresponds to Configuration2, sa4 to Configuration4 etc, as specified in TS 36.211 [21], table 4.2-2. E-UTRAN configures the same value for all serving cells residing on same frequency band.</p>  |
| <p><b>highSpeedEnhancedMeasFlag</b><br/>If the field is present, the UE shall apply the high speed measurement enhancements as specified in TS 36.133 [16].</p>   |
| <p><b>highSpeedEnhancedDemodulationFlag</b><br/>If the field is present, the UE shall apply the advanced receiver in SFN scenario as specified in TS 36.101 [6].</p>  |
| <p><b>highSpeedMeasGapCE-ModeA</b><br/>If the field is present, the UE in CE mode A shall apply the measurement gap sharing table associated with high-velocity scenario for measurements, as specified in TS 36.133 [16].</p>  |
| <p><b>interval-DLHoppingConfigCommonModeX</b><br/>Number of consecutive absolute subframes over which MPDCCH or PDSCH for CE mode X stays at the same narrowband before hopping to another narrowband. For interval-FDD, int1 corresponds to 1 subframe, int2 corresponds to 2 subframes, and so on. For interval-TDD, int1 corresponds to 1 subframe, int5 corresponds to 5 subframes, and so on.</p>  |
| <p><b>interval-ULHoppingConfigCommonModeX</b><br/>Number of consecutive absolute subframes over which PUCCH or PUSCH for CE mode X stays at the same narrowband before hopping to another narrowband. For interval-FDD, int1 corresponds to 1 subframe, int2 corresponds to 2 subframes, and so on. For interval-TDD, int1 corresponds to 1 subframe, int5 corresponds to 5 subframes, and so on.</p>   |
| <p><b>modificationPeriodCoeff</b><br/>Actual modification period, expressed in number of radio frames = <math>modificationPeriodCoeff * defaultPagingCycle</math>. n2 corresponds to value 2, n4 corresponds to value 4, n8 corresponds to value 8, n16 corresponds to value 16, and n64 corresponds to value 64.</p>   |
| <p><b>mpdcch-NumRepetition-Paging</b><br/>Maximum number of repetitions for MPDCCH common search space (CSS) for paging, see TS 36.211 [21].</p>  |
| <p><b>mpdcch-pdsch-HoppingOffset</b><br/>Parameter: <math>f_{DL, hop}^{DL}</math>, see TS 36.211 [21], clause 6.4.1.</p>  |
| <p><b>mpdcch-pdsch-HoppingNB</b><br/>The number of narrowbands for MPDCCH/PDSCH frequency hopping. Value nb2 corresponds to 2 narrowbands and value nb4 corresponds to 4 narrowbands.</p>   |
| <p><b>nB</b><br/>Parameter: nB is used as one of parameters to derive the Paging Frame and Paging Occasion according to TS 36.304 [4]. Value in multiples of 'T' as defined in TS 36.304 [4]. A value of fourT corresponds to 4 * T, a value of twoT corresponds to 2 * T and so on. In case <i>nB-v1310</i> is signalled, the UE shall ignore <i>nB</i> (i.e. without suffix). EUTRAN configures <i>nB-v1310</i> only in the BR version of SI message.</p>   |
| <p><b>paging-narrowBands</b><br/>Number of narrowbands used for paging, see TS 36.304 [4], TS 36.212 [22] and TS 36.213 [23].</p>   |
| <p><b>p-Max</b><br/>Pmax to be used in the target cell. If absent, for the band used in the target cell, the UE applies the maximum power according to its capability as specified in 36.101 [42], clause 6.2.2. In case the UE is configured with uplink intra-band contiguous CA and the UE indicates <i>ue-CA-PowerClass-N</i> in that band combination, then the <i>p-Max</i> in <i>RadioResourceConfigCommonSCell</i> for that SCell, if present, also applies for that band combination whenever that SCell is activated.</p> |
| <p><b>prach-ConfigSCell</b><br/>Indicates a PRACH configuration for an SCell. The field is not applicable for an LAA SCell in this release.</p>   |
| <p><b>rach-ConfigCommonSCell</b><br/>Indicates a RACH configuration for an SCell. The field is not applicable for an LAA SCell in this release.</p>   |
| <p><b>soundingRS-FlexibleTiming</b><br/>Indicates the SRS flexible timing (if configured) for aperiodic SRS triggered by DL grant. If the SRS transmission is collided with ACK/NACK, postpone once to the next configured SRS transmission opportunity.</p>  |



| <b>RadioResourceConfigCommon field descriptions</b>  |
|--|
| <p><b>additionalSpectrumEmissionSCell</b><br/>The UE requirements related to <i>additionalSpectrumEmissionSCell</i> are defined in TS 36.101 [42]. E-UTRAN configures the same value in <i>additionalSpectrumEmissionSCell</i> for all SCell(s) of the same band with UL configured. The <i>additionalSpectrumEmissionSCell</i> is applicable for all serving cells (including PCell) of the same band with UL configured.</p>           |
| <p><b>ul-Bandwidth</b><br/>Parameter: transmission bandwidth configuration, <math>N_{RB}</math>, in uplink, see TS 36.101 [42], table 5.6-1. Value <math>n_6</math> corresponds to 6 resource blocks, <math>n_{15}</math> to 15 resource blocks and so on. If for FDD this parameter is absent, the uplink bandwidth is equal to the downlink bandwidth. For TDD this parameter is absent and it is equal to the downlink bandwidth.</p> |
| <p><b>ul-CarrierFreq</b><br/>For FDD: If absent, the (default) value determined from the default TX-RX frequency separation defined in TS 36.101 [42], table 5.7.3-1, applies.<br/>For TDD: This parameter is absent and it is equal to the downlink frequency.</p>  |
| <p><b>ul-CyclicPrefixLength</b><br/>Parameter: Uplink cyclic prefix length see TS 36.211 [21], clause 5.2.1, where <math>len_1</math> corresponds to normal cyclic prefix and <math>len_2</math> corresponds to extended cyclic prefix.</p>  |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>EDT</i>                  | The field is optionally present, Need OR, if <i>edt-Parameters</i> is present; otherwise the field is not present and the UE shall delete any existing value for this field.   |
| <i>MP-A</i>                 | The field is mandatory present for CE mode A. Otherwise the field is optional, Need OR.  |
| <i>MP-B</i>                 | The field is mandatory present for CE mode B. Otherwise the field is optional, Need OR.  |
| <i>TDD</i>                  | The field is optional for TDD, Need ON; it is not present for FDD and the UE shall delete any existing value for this field.   |
| <i>TDD2</i>                 | If <i>tdd-Config-r10</i> is present, the field is optional, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.   |
| <i>TDD3</i>                 | If <i>tdd-Config</i> is present, the field is optional, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.   |
| <i>TDD-OR-NoR11</i>         | If <i>prach-ConfigSCell-r11</i> is absent, the field is optional for TDD, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.   |
| <i>TDDSCell</i>             | This field is mandatory present for TDD; it is not present for FDD and LAA SCell, and the UE shall delete any existing value for this field.   |
| <i>UL</i>                   | If the SCell is part of the STAG or concerns the PCell or PUCCH SCell and if <i>ul-Configuration</i> is included, the field is optional, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.  |
| <i>ULSCell</i>              | For the PCell (IE is included in <i>RadioResourceConfigCommonPSCell</i> ) the field is absent. Otherwise, if the SCell is part of the STAG and if <i>ul-Configuration</i> is included, the field is optional, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field. |
| <i>ULSRS</i>                | If <i>ul-Configuration-r10</i> is absent, the field is optional, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.  |

### RadioResourceConfigDedicated

The IE *RadioResourceConfigDedicated* is used to setup/modify/release RBs, to modify the MAC main configuration, to modify the SPS configuration and to modify dedicated physical configuration.

#### RadioResourceConfigDedicated information element

```

-- ASN1START
RadioResourceConfigDedicated ::= SEQUENCE {
    srb-ToAddModList          SRB-ToAddModList          OPTIONAL,    -- Cond HO-Conn
    drb-ToAddModList          DRB-ToAddModList          OPTIONAL,    -- Cond HO-
toEUTRA
    drb-ToReleaseList         DRB-ToReleaseList         OPTIONAL,    -- Need ON
    mac-MainConfig            CHOICE {
        explicitValue         MAC-MainConfig,
        defaultValue          NULL
    } OPTIONAL,    -- Cond HO-
toEUTRA2
    sps-Config                SPS-Config                OPTIONAL,    -- Need ON
    physicalConfigDedicated   PhysicalConfigDedicated OPTIONAL,    -- Need ON
    ...

```

```

[[ rlf-TimersAndConstants-r9          RLF-TimersAndConstants-r9          OPTIONAL  -- Need ON
]],
[[ measSubframePatternPCell-r10      MeasSubframePatternPCell-r10      OPTIONAL  -- Need ON
]],
[[ neighCellsCRS-Info-r11            NeighCellsCRS-Info-r11            OPTIONAL  -- Need ON
]],
[[ naics-Info-r12                     NAICS-AssistanceInfo-r12          OPTIONAL  -- Need ON
]],
[[ neighCellsCRS-Info-r13            NeighCellsCRS-Info-r13            OPTIONAL, -- Cond
CRSIM
  rlf-TimersAndConstants-r13          RLF-TimersAndConstants-r13          OPTIONAL  -- Need ON
]],
[[ sps-Config-v1430                   SPS-Config-v1430                   OPTIONAL  -- Cond SPS
]],
[[ srb-ToAddModListExt-r15            SRB-ToAddModListExt-r15            OPTIONAL, -- Need ON
  srb-ToReleaseListExt-r15           INTEGER (4)                         OPTIONAL, -- Need ON
  sps-Config-v1530                    SPS-Config-v1530                    OPTIONAL, -- Need ON

  crs-IntfMitigConfig-r15 CHOICE {
    release NULL,
    setup CHOICE {
      crs-IntfMitigEnabled NULL,
      crs-IntfMitigNumPRBs ENUMERATED {n6, n24}
    }
  }
  neighCellsCRS-Info-r15            NeighCellsCRS-Info-r15            OPTIONAL, -- Need ON
  drb-ToAddModList-r15              DRB-ToAddModList-r15              OPTIONAL, -- Need ON
  drb-ToReleaseList-r15             DRB-ToReleaseList-r15             OPTIONAL, -- Need ON
  dummy SEQUENCE (SIZE (1..2)) OF INTEGER (1..2) OPTIONAL  --
Need ON
]],
[[ sps-Config-v1540                   SPS-Config-v1540                   OPTIONAL  -- Need ON
]]
}

RadioResourceConfigDedicated-v1370 ::= SEQUENCE {
  physicalConfigDedicated-v1370      PhysicalConfigDedicated-v1370      OPTIONAL  -- Need ON
}

RadioResourceConfigDedicated-v13c0 ::= SEQUENCE {
  physicalConfigDedicated-v13c0      PhysicalConfigDedicated-v13c0
}

RadioResourceConfigDedicatedPSCell-r12 ::= SEQUENCE {
  -- UE specific configuration extensions applicable for an PSCell
  physicalConfigDedicatedPSCell-r12  PhysicalConfigDedicated             OPTIONAL, -- Need ON
  sps-Config-r12                      SPS-Config                          OPTIONAL, -- Need ON
  naics-Info-r12                      NAICS-AssistanceInfo-r12           OPTIONAL, -- Need ON
  ...,
  [[ neighCellsCRS-InfoPSCell-r13     NeighCellsCRS-Info-r13             OPTIONAL  -- Need ON
  ]],
  [[ sps-Config-v1430                  SPS-Config-v1430                   OPTIONAL  -- Cond SPS2
  ]],
  [[ sps-Config-v1530                  SPS-Config-v1530                   OPTIONAL, -- Need ON
    crs-IntfMitigEnabled-r15          BOOLEAN                            OPTIONAL, -- Need ON
    neighCellsCRS-Info-r15           NeighCellsCRS-Info-r15             OPTIONAL, -- Need ON
  ]],
  [[ sps-Config-v1540                  SPS-Config-v1540                   OPTIONAL  -- Need ON
  ]]
}

RadioResourceConfigDedicatedPSCell-v1370 ::= SEQUENCE {
  physicalConfigDedicatedPSCell-v1370 PhysicalConfigDedicated-v1370      OPTIONAL  -- Need ON
}

RadioResourceConfigDedicatedPSCell-v13c0 ::= SEQUENCE {
  physicalConfigDedicatedPSCell-v13c0 PhysicalConfigDedicated-v13c0
}

RadioResourceConfigDedicatedSCG-r12 ::= SEQUENCE {
  drb-ToAddModListSCG-r12            DRB-ToAddModListSCG-r12            OPTIONAL, -- Need ON
  mac-MainConfigSCG-r12              MAC-MainConfig                     OPTIONAL, -- Need ON
  rlf-TimersAndConstantsSCG-r12      RLF-TimersAndConstantsSCG-r12      OPTIONAL, -- Need ON
  ...,
  [[ drb-ToAddModListSCG-r15          DRB-ToAddModListSCG-r15            OPTIONAL  -- Need ON
  ]],
  [[ srb-ToAddModListSCG-r15          SRB-ToAddModList                    OPTIONAL, -- Need ON
  ]]

```

```

ON      srb-ToReleaseListSCG-r15          SRB-ToReleaseList-r15          OPTIONAL  -- Need
]] ,
[[ -- NE-DC additions for release of RLC bearer config for DRBs
   drb-ToReleaseListSCG-r15          DRB-ToReleaseList-r15          OPTIONAL  -- Need ON
]]
}

RadioResourceConfigDedicatedSCell-r10 ::= SEQUENCE {
-- UE specific configuration extensions applicable for an SCell
physicalConfigDedicatedSCell-r10    PhysicalConfigDedicatedSCell-r10    OPTIONAL,  -- Need
ON
... ,
[[ mac-MainConfigSCell-r11          MAC-MainConfigSCell-r11          OPTIONAL  -- Cond SCellAdd
]],
[[ naics-Info-r12                  NAICS-AssistanceInfo-r12          OPTIONAL  -- Need ON
]],
[[ neighCellsCRS-InfoSCell-r13      NeighCellsCRS-Info-r13          OPTIONAL  -- Need ON
]],
[[ physicalConfigDedicatedSCell-v1370 PhysicalConfigDedicatedSCell-v1370 OPTIONAL  -- Need
ON
]],
[[ crs-IntfMitigEnabled-r15          BOOLEAN                          OPTIONAL,  -- Need ON
   neighCellsCRS-Info-r15          NeighCellsCRS-Info-r15          OPTIONAL,  -- Need ON
   sps-Config-v1530                SPS-Config-v1530                OPTIONAL,  -- Need ON
]]
}

RadioResourceConfigDedicatedSCell-v13c0 ::= SEQUENCE {
   physicalConfigDedicatedSCell-v13c0 PhysicalConfigDedicatedSCell-v13c0
}

SRB-ToAddModList ::= SEQUENCE (SIZE (1..2)) OF SRB-ToAddMod

SRB-ToAddModListExt-r15 ::= SEQUENCE (SIZE (1)) OF SRB-ToAddMod

SRB-ToAddMod ::= SEQUENCE {
   srb-Identity                      INTEGER (1..2),
   rlc-Config                        CHOICE {
       explicitValue                 RLC-Config,
       defaultValue                  NULL
   } OPTIONAL, -- Cond Setup
   logicalChannelConfig              CHOICE {
       explicitValue                 LogicalChannelConfig,
       defaultValue                  NULL
   } OPTIONAL, -- Cond Setup
   ... ,
[[ pdcp-verChange-r15                ENUMERATED {true}              OPTIONAL,  -- Cond NR-PDCP
   rlc-Config-v1530                  RLC-Config-v1530              OPTIONAL,  -- Need ON
   rlc-BearerConfigSecondary-r15     RLC-BearerConfig-r15         OPTIONAL,  -- Need ON
   srb-Identity-v1530                INTEGER (4)                   OPTIONAL,  -- Need ON
]],
[[ rlc-Config-v1560                  RLC-Config-v1560              OPTIONAL,  -- Need ON
]]
}

DRB-ToAddModList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddMod
DRB-ToAddModList-r15 ::= SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-ToAddMod

DRB-ToAddModListSCG-r12 ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddModSCG-r12
DRB-ToAddModListSCG-r15 ::= SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-ToAddModSCG-r12

DRB-ToAddMod ::= SEQUENCE {
   eps-BearerIdentity                INTEGER (0..15)                OPTIONAL,  -- Cond DRB-Setup
   drb-Identity                      DRB-Identity,
   pdcp-Config                       PDCP-Config                  OPTIONAL,  -- Cond PDCP
   rlc-Config                        RLC-Config                      OPTIONAL,  -- Cond SetupM
   logicalChannelIdentity             INTEGER (3..10)                OPTIONAL,  -- Cond DRB-SetupM
   logicalChannelConfig              LogicalChannelConfig          OPTIONAL,  -- Cond SetupM
   ... ,
[[ drb-TypeChange-r12                ENUMERATED {toMCG}            OPTIONAL,  -- Need OP
   rlc-Config-v1250                  RLC-Config-v1250              OPTIONAL,  -- Need ON
]],
[[ rlc-Config-v1310                  RLC-Config-v1310              OPTIONAL,  -- Need ON
   drb-TypeLWA-r13                  BOOLEAN                          OPTIONAL,  -- Need ON
   drb-TypeLWIP-r13                 ENUMERATED {lwip, lwip-DL-only,
   lwip-UL-only, eutran}          OPTIONAL,  -- Need ON
]]
],

```

```

[[ rlc-Config-v1430                RLC-Config-v1430                OPTIONAL,    -- Need ON
   lwip-UL-Aggregation-r14         BOOLEAN                          OPTIONAL,    -- Cond LWIP
   lwip-DL-Aggregation-r14         BOOLEAN                          OPTIONAL,    -- Cond LWIP
   lwa-WLAN-AC-r14                 ENUMERATED {ac-bk, ac-be, ac-vi, ac-vo} OPTIONAL    -- Cond UL-LWA
]],
[[ rlc-Config-v1510                RLC-Config-v1510                OPTIONAL,    -- Need ON
]],
[[ rlc-Config-v1530                RLC-Config-v1530                OPTIONAL,    -- Need ON
   rlc-BearerConfigSecondary-r15   RLC-BearerConfig-r15           OPTIONAL,    -- Need ON
   logicalChannelIdentity-r15     INTEGER (32..38)                OPTIONAL,    -- Need ON
]]
}

DRB-ToAddModSCG-r12 ::= SEQUENCE {
  drb-Identity-r12                DRB-Identity,
  drb-Type-r12                    CHOICE {
    split-r12                      NULL,
    scg-r12                        SEQUENCE {
      eps-BearerIdentity-r12       INTEGER (0..15) OPTIONAL,  -- Cond DRB-Setup
      pdcp-Config-r12             PDCP-Config              OPTIONAL,  -- Cond PDCP-S
    }
  }
  rlc-ConfigSCG-r12              RLC-Config                    OPTIONAL,  -- Cond SetupS2
  rlc-Config-v1250               RLC-Config-v1250              OPTIONAL,  -- Cond SetupS
  logicalChannelIdentitySCG-r12  INTEGER (3..10)              OPTIONAL,  -- Cond DRB-SetupS
  logicalChannelConfigSCG-r12    LogicalChannelConfig          OPTIONAL,  -- Cond SetupS
  ...,
  [[ rlc-Config-v1430            RLC-Config-v1430            OPTIONAL,  -- Need ON
  ]],
  [[ logicalChannelIdentitySCG-r15  INTEGER (32..38)            OPTIONAL,  -- Need ON
   rlc-Config-v1530              RLC-Config-v1530          OPTIONAL,  -- Need ON
   rlc-BearerConfigSecondary-r15  RLC-BearerConfig-r15      OPTIONAL,  -- Need ON
  ]],
  [[ rlc-Config-v1560            RLC-Config-v1560            OPTIONAL,  -- Need ON
  ]]
}

DRB-ToReleaseList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-Identity
DRB-ToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-Identity

SRB-ToReleaseList-r15 ::= SEQUENCE (SIZE (1..2)) OF INTEGER (1..2)

MeasSubframePatternPCell-r10 ::= CHOICE {
  release                          NULL,
  setup                            MeasSubframePattern-r10
}

NeighCellsCRS-Info-r11 ::= CHOICE {
  release                          NULL,
  setup                            CRS-AssistanceInfoList-r11
}

CRS-AssistanceInfoList-r11 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r11

CRS-AssistanceInfo-r11 ::= SEQUENCE {
  physCellId-r11                  PhysCellId,
  antennaPortsCount-r11           ENUMERATED {an1, an2, an4, spare1},
  mbsfn-SubframeConfigList-r11   MBSFN-SubframeConfigList,
  ...,
  [[ mbsfn-SubframeConfigList-v1430 MBSFN-SubframeConfigList-v1430    OPTIONAL,  -- Need ON
  ]]
}

NeighCellsCRS-Info-r13 ::= CHOICE {
  release                          NULL,
  setup                            CRS-AssistanceInfoList-r13
}

CRS-AssistanceInfoList-r13 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r13

CRS-AssistanceInfo-r13 ::= SEQUENCE {
  physCellId-r13                  PhysCellId,
  antennaPortsCount-r13           ENUMERATED {an1, an2, an4, spare1},
  mbsfn-SubframeConfigList-r13   MBSFN-SubframeConfigList    OPTIONAL,  -- Need ON
  ...,
  [[ mbsfn-SubframeConfigList-v1430 MBSFN-SubframeConfigList-v1430    OPTIONAL,  -- Need ON
  ]]
}

```

```

NeighCellsCRS-Info-r15 ::= CHOICE {
    release          NULL,
    setup           CRS-AssistanceInfoList-r15
}

CRS-AssistanceInfoList-r15 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r15

CRS-AssistanceInfo-r15 ::= SEQUENCE {
    physCellId-r15          PhysCellId,
    crs-IntfMitigEnabled-r15  ENUMERATED {enabled}          OPTIONAL  -- Need ON
}

NAICS-AssistanceInfo-r12 ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        neighCellsToReleaseList-r12  NeighCellsToReleaseList-r12  OPTIONAL,  -- Need ON
        neighCellsToAddModList-r12   NeighCellsToAddModList-r12  OPTIONAL,  -- Need ON
        servCellp-a-r12              P-a                          OPTIONAL  -- Need ON
    }
}

NeighCellsToReleaseList-r12 ::= SEQUENCE (SIZE (1..maxNeighCell-r12)) OF PhysCellId

NeighCellsToAddModList-r12 ::= SEQUENCE (SIZE (1..maxNeighCell-r12)) OF NeighCellsInfo-r12

NeighCellsInfo-r12 ::= SEQUENCE {
    physCellId-r12          PhysCellId,
    p-b-r12                INTEGER (0..3),
    crs-PortsCount-r12     ENUMERATED {n1, n2, n4, spare},
    mbsfn-SubframeConfig-r12 MBSFN-SubframeConfigList          OPTIONAL,  -- Need ON
    p-aList-r12            SEQUENCE (SIZE (1..maxP-a-PerNeighCell-r12)) OF P-a,
    transmissionModeList-r12 BIT STRING (SIZE(8)),
    resAllocGranularity-r12 INTEGER (1..4),
    ...
}

P-a ::= ENUMERATED { dB-6, dB-4dot77, dB-3, dB-1dot77, dB0, dB1, dB2, dB3}

RLC-BearerConfig-r15 ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        rlc-Config-r15          RLC-Config-r15          OPTIONAL,  -- Need ON
        logicalChannelIdentityConfig-r15 CHOICE {
            logicalChannelIdentity-r15          INTEGER (1..10),
            logicalChannelIdentityExt-r15       INTEGER (32..38)
        },
        logicalChannelConfig-r15          LogicalChannelConfig          OPTIONAL  -- Need ON
    }
}

-- ASN1STOP

```

| <b>RadioResourceConfigDedicated field descriptions</b>   |
|--|
| <p><b>crs-IntfMitigConfig</b><br/> <i>crs-IntfMitigEnabled-r15</i> indicates CRS interference mitigation is enabled for the cell, as specified in TS 36.133 [16], clause 3.6.1.1. For BL UEs supporting <i>ce-CRS-IntfMitig</i>, presence of this field indicates CRS interference mitigation is enabled in the cell, as specified in TS 36.133 [16], clauses 3.6.1.2 and 3.6.1.3, and the value <i>crs-IntfMitigNumPRBs</i> indicates number of PRBs, i.e. 6 or 24 PRBs, for CRS transmission in the central cell BW when CRS interference mitigation is enabled. For UEs not supporting this feature, the behaviour is undefined if this field is configured and the field <i>cellBarred</i> in <i>SystemInformationBlockType1</i> (<i>SystemInformationBlockType1-BR</i> for BL UEs or UEs in CE) is set to <i>notbarred</i>.</p> |
| <p><b>crs-PortsCount</b><br/> Parameter represents the number of antenna ports for cell-specific reference signal used by the signaled neighboring cell where <i>n1</i> corresponds to 1 antenna port, <i>n2</i> to 2 antenna ports etc. see TS 36.211 [21], clause 6.10.1.</p>  |
| <p><b>drb-Identity</b><br/> In case of DC, the DRB identity is unique within the scope of the UE i.e. an SCG DRB can not use the same value as used for an MCG or split DRB. For a split DRB the same identity is used for the MCG- and SCG parts of the configuration.</p>  |
| <p><b>drb-ToAddModList</b><br/> When <i>drb-ToAddModList-r15</i> is configured, UE shall ignore the <i>drb-ToAddModList</i> (without suffix).</p>  |
| <p><b>drb-ToAddModListSCG</b><br/> When an SCG is configured, E-UTRAN configures at least one SCG or split DRB. When <i>drb-ToAddModListSCG-r15</i> is configured, UE shall ignore the <i>drb-ToAddModListSCG</i> (without suffix). When NE-DC is configured, this field indicates the SCG RLC bearers to be (re-)configured.</p>  |
| <p><b>drb-ToReleaseList</b><br/> When <i>drb-ToReleaseList-r15</i> is configured, UE shall ignore the <i>drb-ToReleaseList</i> (without suffix).</p>   |
| <p><b>drb-ToReleaseListSCG</b><br/> When NE-DC is configured, this field indicates the SCG RLC bearers to be released.</p>   |
| <p><b>drb-Type</b><br/> This field indicates whether the DRB is split or SCG DRB. E-UTRAN does not configure split and SCG DRBs simultaneously for the UE.</p>   |
| <p><b>drb-TypeChange</b><br/> Indicates that a split/SCG DRB is reconfigured to an MCG DRB (i.e. E-UTRAN only signals the field in case the DRB type changes).</p>   |
| <p><b>drb-TypeLWA</b><br/> Indicates whether a DRB is (re)configured as an LWA DRB or an LWA DRB is reconfigured not to use WLAN resources. NOTE 1</p>   |
| <p><b>drb-TypeLWIP</b><br/> Indicates whether a DRB is (re)configured to use LWIP Tunnel in UL and DL (value <i>lwip</i>), DL only (value <i>lwip-DL-only</i>), UL only (value <i>lwip-UL-only</i>) or not to use LWIP Tunnel (value <i>eutran</i>).</p>   |
| <p><b>dummy</b><br/> This field is not used in the specification. If received it shall be ignored by the UE.</p>   |
| <p><b>logicalChannelConfig</b><br/> For SRBs a choice is used to indicate whether the logical channel configuration is signalled explicitly or set to the default logical channel configuration for SRB1 as specified in 9.2.1.1 or for SRB2 as specified in 9.2.1.2.</p>  |
| <p><b>logicalChannelIdentity, LogicalChannelIdentityExt</b><br/> The logical channel identity for both UL and DL. Value 4 is not configured for DRBs if SRB4 is configured. When <i>logicalChannelIdentity-r15</i> is signalled, UE shall ignore contents of <i>logicalChannelIdentity</i> (without suffix).</p>   |
| <p><b>logicalChannelIdentitySCG</b><br/> The logical channel identity for both UL and DL. When <i>logicalChannelIdentitySCG-r15</i> is signalled, UE shall ignore contents of <i>logicalChannelIdentitySCG</i> (without suffix).</p>   |
| <p><b>lwa-WLAN-AC</b><br/> For LWA bearers, indicates the corresponding WLAN access category for uplink. AC-BK (value <i>ac-bk</i>) corresponds to Background access category, AC-BE (value <i>ac-be</i>) corresponds to Best Effort access category, AC-VI (value <i>ac-vi</i>) corresponds to Video access category and AC-VO (value <i>ac-vo</i>) corresponds to Voice access category as defined by IEEE 802.11-2012 [67]. If <i>lwa-WLAN-AC</i> is not configured, it is left up to UE to decide which IEEE 802.11 AC value to use when performing transmissions of packets for this DRB over WLAN in the uplink.</p>   |
| <p><b>lwip-DL-Aggregation, lwip-UL-Aggregation</b><br/> Indicates whether LWIP is configured to utilize LWIP aggregation in DL or UL.</p>  |
| <p><b>mac-MainConfig</b><br/> Although the ASN.1 includes a choice that is used to indicate whether the <i>mac-MainConfig</i> is signalled explicitly or set to the default MAC main configuration as specified in 9.2.2, EUTRAN does not apply "defaultValue".</p>  |
| <p><b>mbsfn-SubframeConfig</b><br/> Defines the MBSFN subframe configuration used by the signaled neighboring cell. If absent, UE assumes no MBSFN configuration for the neighboring cell.</p>   |
| <p><b>measSubframePatternPCell</b><br/> Time domain measurement resource restriction pattern for the PCell measurements (RSRP, RSRQ and the radio link monitoring).</p>  |

| <b>RadioResourceConfigDedicated field descriptions</b>   |
|--|
| <p><b>neighCellsCRS-Info, neighCellsCRS-InfoSCell, neighCellsCRS-InfoPSCell</b><br/>This field contains assistance information used by the UE to mitigate interference from CRS while performing RRM/RLM/CSI measurement or data demodulation or DL control channel demodulation. When the received CRS assistance information is for a cell with CRS non-colliding with that of the CRS of the cell to measure, the UE may use the CRS assistance information to mitigate CRS interference. When the received CRS assistance information is for a cell with CRS colliding with that of the CRS of the cell to measure, the UE may use the CRS assistance information to mitigate CRS interference RRM/RLM (as specified in TS 36.133 [16]) and for CSI (as specified in TS 36.101 [42]) on the subframes indicated by <i>measSubframePatternPCell</i>, <i>measSubframePatternConfigNeigh</i>, <i>csi-MeasSubframeSet1</i> if configured, and the CSI subframe set 1 if <i>csi-MeasSubframeSets-r12</i> is configured. The UE may use CRS assistance information to mitigate CRS interference from the cells in the <i>CRS-AssistanceInfoList</i> for the demodulation purpose or DL control channel demodulation as specified in TS 36.101 [42]. E-UTRAN does not configure <i>neighCellsCRS-Info-r11</i> or <i>neighCellsCRS-Info-r13</i> if <i>eimta-MainConfigPCell-r12</i> is configured.</p> |
| <p><b>neighCellsToAddModList</b><br/>This field contains assistance information used by the UE to cancel and suppress interference of a neighbouring cell. If this field is present for a neighbouring cell, the UE assumes that the transmission parameters listed in the sub-fields are used by the neighbouring cell. If this field is present for a neighbouring cell, the UE assumes the neighbour cell is subframe and SFN synchronized to the serving cell, has the same system bandwidth, UL/DL and special subframe configuration, and cyclic prefix length as the serving cell.</p>  |
| <p><b>p-aList</b><br/>Indicates the restricted subset of power offset for QPSK, 16QAM, and 64QAM PDSCH transmissions for the neighbouring cell by using the parameter <math>P_A</math>, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.</p>  |
| <p><b>p-b</b><br/>Parameter: <math>P_B</math>, indicates the cell-specific ratio used by the signaled neighboring cell, see TS 36.213 [23], Table 5.2-1.</p>   |
| <p><b>pdcp-verChange</b><br/>Indicates that the PDCP version of the SRB is changed from NR PDCP to E-UTRA PDCP. Network only configures this version change for during handover, resume and first reconfiguration after re-establishment. E-UTRAN does not include this field when <i>SRB-ToAddMod</i> is included in <i>srb-ToAddModListSCG</i>.</p>  |
| <p><b>physicalConfigDedicated</b><br/>The default dedicated physical configuration is specified in 9.2.4.</p>  |
| <p><b>resAllocGranularity</b><br/>Indicates the resource allocation and precoding granularity in PRB pair level of the signaled neighboring cell, see TS 36.213 [23], clause 7.1.6.</p>  |
| <p><b>rlc-BearerConfigSecondary</b><br/>The configuration of a secondary RLC bearer within the same Cell Group as may e.g. be used in case of PDCP duplication using CA. The configuration comprises a (secondary) RLC entity, a logical channel identity and a logical channel configuration. E-UTRAN may configure this for SRB1, SRB2 and DRBs. For SRBs, E-UTRAN only configures the field for MCG (i.e. if included in <i>radioResourceConfigDedicated</i>. E-UTRAN configures the same RLC mode (AM/UM) as used for the original RLC entity. The primary RLC entity is configured by <i>RLC-Config</i>.</p>  |
| <p><b>rlc-Config</b><br/>For SRBs a choice is used to indicate whether the RLC configuration is signalled explicitly or set to the values defined in the default RLC configuration for SRB1 in 9.2.1.1 or for SRB2 in 9.2.1.2. RLC AM is the only applicable RLC mode for SRB1 and SRB2. E-UTRAN does not reconfigure the RLC mode of DRBs except when a full configuration option is used, and may reconfigure the RLC SN field size and the AM RLC LI field size only upon handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment or upon SCG Change for SCG and split DRBs.</p>   |
| <p><b>servCellp-a</b><br/>Indicates the power offset for QPSK C-RNTI based PDSCH transmissions used by the serving cell, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.</p>   |
| <p><b>sps-Config</b><br/>The default SPS configuration is specified in 9.2.3. Except for handover or releasing SPS for MCG, E-UTRAN does not reconfigure <i>sps-Config</i> for MCG when there is a configured downlink assignment or a configured uplink grant for MCG (see TS 36.321 [6]). Except for SCG change or releasing SPS for SCG, E-UTRAN does not reconfigure <i>sps-Config</i> for SCG when there is a configured downlink assignment or a configured uplink grant for SCG (see TS 36.321 [6]). In one serving cell, <i>sps-Config-v1530</i> is not present simultaneously with either <i>sps-Config</i> (without suffix) or <i>sps-Config-r12</i>.</p>  |
| <p><b>srb-Identity</b><br/>Value 1 is applicable for SRB1 only. Value 2 is applicable for SRB2 only. Value 4 is applicable for SRB4 only, if configured. For a split SRB the same identity is used for the MCG and NR SCG RLC bearer configurations. If <i>srb-Identity-v1530</i> is received, the UE shall ignore <i>srb-Identity</i> (i.e. without suffix).</p>  |
| <p><b>srb-Identity-v1530</b><br/>E-UTRAN does not include this field when <i>SRB-ToAddMod</i> is included in <i>srb-ToAddModListSCG</i>.</p>   |
| <p><b>srb-ToAddModListExt</b><br/>The field is to configure SRB4.</p>  |
| <p><b>srb-ToAddModList</b><br/>E-UTRAN configures the same RAT type (i.e. E-UTRA or NR) for PDCP configuration of SRB1 and SRB2.</p>   |

**RadioResourceConfigDedicated field descriptions****transmissionModeList**

Indicates a subset of transmission mode 1, 2, 3, 4, 6, 8, 9, 10, for the signaled neighboring cell for which *NeighCellsInfo* applies. When TM10 is signaled, other signaled transmission parameters in *NeighCellsInfo* are not applicable to up to 8 layer transmission scheme of TM10. E-UTRAN may indicate TM9 when TM10 with QCL type A and DMRS scrambling with  $n_{ID}^{(i)} = N_{ID}^{cell}$  in TS 36.211 [21], clause 6.10.3.1, is used in the signalled neighbour cell and TM9 or TM10 with QCL type A and DMRS scrambling with  $n_{ID}^{(i)} = N_{ID}^{cell}$  in TS 36.211 [21], clause 6.10.3.1, is used in the serving cell. UE behaviour with NAICS when TM10 is used is only defined when QCL type A and DMRS scrambling with  $n_{ID}^{(i)} = N_{ID}^{cell}$  in TS 36.211 [21], clause 6.10.3.1, is used for the serving cell and all signalled neighbour cells. The first/ leftmost bit is for transmission mode 1, the second bit is for transmission mode 2, and so on.

NOTE 1: It is up to eNB to ensure that the field indicating LWA bearer type is set to FALSE when LWA bearer is no longer used (e.g. during handover or re-establishment where LWA configuration is released).



| Conditional presence | Explanation   |
|----------------------|---|
| CRSIM                | The field is optionally present, need ON, if <i>neighCellsCRS-Info-r11</i> is not present; otherwise it is not present.   |
| <i>DRB-Setup</i>     | The field is mandatory present if the corresponding DRB is being set up and the UE is connected to EPC; otherwise it is not present.  |
| <i>DRB-SetupM</i>    | The field is: <ul style="list-style-type: none"> <li>- mandatory present: <ul style="list-style-type: none"> <li>- for the UE without SCG: upon setup of MCG DRB;</li> <li>- for E-UTRA DC, upon setup of MCG or split DRB;</li> <li>- for (NG)EN-DC: <ul style="list-style-type: none"> <li>- upon setup of MCG RLC bearer;</li> </ul> </li> </ul> </li> <li>- optionally present, Need ON: <ul style="list-style-type: none"> <li>- for E-UTRA DC, upon change from SCG to MCG DRB;</li> <li>- for (NG)EN-DC: <ul style="list-style-type: none"> <li>- upon change of <i>keyToUse</i>, as defined in TS 38.331 [82], for a DRB configured with an MCG RLC bearer;</li> <li>- when configured with MCG RLC bearer, upon change of S-K<sub>gNB</sub> without handover;</li> </ul> </li> </ul> </li> <li>- not present otherwise.</li> </ul>   |
| <i>DRB-SetupS</i>    | The field is: <ul style="list-style-type: none"> <li>- mandatory present: <ul style="list-style-type: none"> <li>- for E-UTRA DC: <ul style="list-style-type: none"> <li>- upon setup of SCG or split DRB;</li> <li>- upon change from MCG to split DRB;</li> </ul> </li> <li>- for NE-DC: <ul style="list-style-type: none"> <li>- upon setup of SCG RLC bearer;</li> </ul> </li> </ul> </li> <li>- optionally present, Need ON: <ul style="list-style-type: none"> <li>- for E-UTRA DC, upon change from MCG to SCG DRB;</li> <li>- for NE-DC, upon change of <i>keyToUse</i>, as defined in TS 38.331 [82], for a DRB configured with an SCG RLC bearer;</li> </ul> </li> <li>- not present otherwise.</li> </ul>  |
| <i>HO-Conn</i>       | The field is mandatory present in case of handover to E-UTRA or when the <i>fullConfig</i> is included in the <i>RRCCConnectionReconfiguration</i> message or in case of RRC connection establishment (excluding <i>RRCCConnectionResume</i> ); otherwise the field is optionally present, need ON. Upon connection establishment/ re-establishment only SRB1 is applicable (excluding <i>RRCCConnectionResume</i> ).   |
| <i>HO-toEUTRA</i>    | The field is mandatory present <ul style="list-style-type: none"> <li>- in case of handover to E-UTRA or</li> <li>- when the <i>fullConfig</i> is included in the <i>RRCCConnectionReconfiguration</i> message with the configuration for at least one MCG bearer or split data bearer;</li> </ul> In case of RRC connection establishment (excluding <i>RRCCConnectionResume</i> ); and RRC connection re-establishment the field is not present; otherwise the field is optionally present, need ON.  |
| <i>HO-toEUTRA2</i>   | The field is mandatory present in case of handover to E-UTRA or when the <i>fullConfig</i> is included in the <i>RRCCConnectionReconfiguration</i> message; otherwise the field is optionally present, need ON.   |
| <i>LWIP</i>          | The field is optionally present, Need ON, if <i>drb-TypeLWIP-r13</i> is configured and not set to eutran; otherwise it is not present and the UE shall delete any existing value for this field.  |
| <i>NR-PDCP</i>       | The field is optional present, Need ON, when the SRB is configured with NR-PDCP prior to reception of this reconfiguration message. Otherwise it is not present.  |
| <i>PDCP</i>          | The field is mandatory present: <ul style="list-style-type: none"> <li>- when connected to E-UTRA/EPC: <ul style="list-style-type: none"> <li>- for the bearers configured with E-UTRA PDCP, if the corresponding DRB is being setup;</li> </ul> </li> </ul> the field is optionally present, need ON: : <ul style="list-style-type: none"> <li>- when connected to E-UTRA/EPC: <ul style="list-style-type: none"> <li>- for the bearers configured with E-UTRA PDCP, upon reconfiguration of the corresponding split DRB or LWA DRB, upon the corresponding DRB type change from split to MCG bearer, upon the corresponding DRB type change from MCG to split bearer or LWA bearer, upon the corresponding DRB type change from LWA to LTE only bearer, upon handover within E-UTRA and upon the first reconfiguration after re-establishment but in all these cases only when <i>fullConfig</i> is not included in the <i>RRCCConnectionReconfiguration</i> message;</li> </ul> </li> </ul> otherwise it is not present. |
| <i>PDCP-S</i>        | The field is mandatory present if the corresponding DRB is being setup; the field is optionally present, need ON, upon SCG change; otherwise it is not present.   |
| <i>RLC-Setup</i>     | This field is optionally present if the corresponding DRB is being setup, need ON; otherwise it is not present.   |

| Conditional presence | Explanation   |
|----------------------|---|
| <i>SCellAdd</i>      | The field is optionally present, need ON, upon SCell addition; otherwise it is not present.   |
| <i>Setup</i>         | The field is mandatory present if the corresponding SRB/DRB is being setup; otherwise the field is optionally present, need ON.   |
| <i>SetupM</i>        | The field is mandatory present upon setup of an MCG or split DRB, or upon setup of MCG RLC bearer; otherwise the field is optionally present, need ON.  |
| <i>SetupS</i>        | The field is mandatory present: <ul style="list-style-type: none"> <li>- for E-UTRA DC: <ul style="list-style-type: none"> <li>- upon setup of an SCG or split DRB,</li> <li>- upon change from MCG to split DRB;</li> </ul> </li> <li>- for NE-DC, upon setup of SCG RLC bearer;</li> </ul> otherwise the field is optionally present, need ON.  |
| <i>SetupS2</i>       | The field is: <ul style="list-style-type: none"> <li>- mandatory present: <ul style="list-style-type: none"> <li>- for E-UTRA DC: <ul style="list-style-type: none"> <li>- upon setup of an SCG or split DRB, as well as upon change from MCG to split or SCG DRB.</li> </ul> </li> </ul> </li> <li>- optionally present, need ON: <ul style="list-style-type: none"> <li>- for E-UTRA DC: <ul style="list-style-type: none"> <li>- for an SCG DRB</li> </ul> </li> </ul> </li> </ul> otherwise the field is not present. |
| <i>SPS</i>           | The field is optionally present, need ON, if sps-Config (without suffix) is not configured; otherwise it is not present.  |
| <i>SPS2</i>          | The field is optionally present, need ON, if sps-Config-r12 is not configured; otherwise it is not present.   |
| <i>UL-LWA</i>        | The field is optionally present, need ON if <i>ul-LWA-Config-r14</i> is present. Otherwise the field is not present.  |

### – *RCLWI-Configuration*

The IE *RCLWI-Configuration* is used to add, modify or release the RCLWI configuration.

```
-- ASN1START
RCLWI-Configuration-r13 ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        rclwi-Config-r13
    }
}

RCLWI-Config-r13 ::= SEQUENCE {
    command          CHOICE {
        steerToWLAN-r13          SEQUENCE {
            mobilityConfig-r13
        },
        steerToLTE-r13          NULL
    },
    ...
}
-- ASN1STOP
```

### – *RLC-Config*

The IE *RLC-Config* is used to specify the RLC configuration of SRBs and DRBs.

#### ***RLC-Config* information element**

```
-- ASN1START
RLC-Config ::= CHOICE {
    am          SEQUENCE {
        ul-AM-RLC          UL-AM-RLC,
        dl-AM-RLC          DL-AM-RLC
    },
    um-Bi-Directional SEQUENCE {
        ul-UM-RLC          UL-UM-RLC,

```

```

        dl-UM-RLC                DL-UM-RLC
    },
    um-Uni-Directional-UL        SEQUENCE {
        ul-UM-RLC                UL-UM-RLC
    },
    um-Uni-Directional-DL        SEQUENCE {
        dl-UM-RLC                DL-UM-RLC
    },
    ...
}

RLC-Config-v1250 ::=            SEQUENCE {
    ul-extended-RLC-LI-Field-r12  BOOLEAN,
    dl-extended-RLC-LI-Field-r12  BOOLEAN
}

RLC-Config-v1310 ::=            SEQUENCE {
    ul-extended-RLC-AM-SN-r13      BOOLEAN,
    dl-extended-RLC-AM-SN-r13      BOOLEAN,
    pollPDU-v1310                  OPTIONAL  -- Need OR
}

RLC-Config-v1430 ::=            CHOICE {
    release                          NULL,
    setup                            SEQUENCE {
        pollByte-r14
    }
}

RLC-Config-v1510 ::=            SEQUENCE {
    reestablishRLC-r15              ENUMERATED {true}
}

RLC-Config-v1530 ::=            CHOICE {
    release                          NULL,
    setup                            SEQUENCE {
        rlc-OutOfOrderDelivery-r15  ENUMERATED {true}
    }
}

RLC-Config-r15 ::=              SEQUENCE {
    mode-r15                         CHOICE {
        am-r15                       SEQUENCE {
            ul-AM-RLC-r15             UL-AM-RLC-r15,
            dl-AM-RLC-r15             DL-AM-RLC-r15
        },
        um-Bi-Directional-r15        SEQUENCE {
            ul-UM-RLC-r15             UL-UM-RLC,
            dl-UM-RLC-r15             DL-UM-RLC-r15
        },
        um-Uni-Directional-UL-r15    SEQUENCE {
            ul-UM-RLC-r15             UL-UM-RLC
        },
        um-Uni-Directional-DL-r15    SEQUENCE {
            dl-UM-RLC-r15             DL-UM-RLC-r15
        }
    },
    reestablishRLC-r15              ENUMERATED {true}                OPTIONAL,  -- Need ON
    rlc-OutOfOrderDelivery-r15      ENUMERATED {true}                OPTIONAL,  -- Need ON
    ...
}

UL-AM-RLC ::=                    SEQUENCE {
    t-PollRetransmit                T-PollRetransmit,
    pollPDU                          PollPDU,
    pollByte                          PollByte,
    maxRetxThreshold                 ENUMERATED {
        t1, t2, t3, t4, t6, t8, t16, t32}
}

UL-AM-RLC-r15 ::=                SEQUENCE {
    t-PollRetransmit-r15            T-PollRetransmit,
    pollPDU-r15                      PollPDU-r15,
    pollByte-r15                     PollByte-r14,
    maxRetxThreshold-r15             ENUMERATED {
        t1, t2, t3, t4, t6, t8, t16, t32},
    extended-RLC-LI-Field-r15        BOOLEAN
}

```

```

DL-AM-RLC ::=
    t-Reordering
    t-StatusProhibit
}
SEQUENCE {
    T-Reordering,
    T-StatusProhibit
}

DL-AM-RLC-r15 ::=
    t-Reordering-r15
    t-StatusProhibit-r15
    extended-RLC-LI-Field-r15
}
SEQUENCE {
    T-Reordering,
    T-StatusProhibit,
    BOOLEAN
}

UL-UM-RLC ::=
    sn-FieldLength
}
SEQUENCE {
    SN-FieldLength
}

DL-UM-RLC ::=
    sn-FieldLength
    t-Reordering
}
SEQUENCE {
    SN-FieldLength,
    T-Reordering
}

DL-UM-RLC-r15 ::=
    sn-FieldLength-r15
    t-Reordering-r15
}
SEQUENCE {
    SN-FieldLength-r15,
    T-Reordering
}

SN-FieldLength ::=
ENUMERATED {size5, size10}

SN-FieldLength-r15 ::=
ENUMERATED {size5, size10, size16-r15}

T-PollRetransmit ::=
ENUMERATED {
    ms5, ms10, ms15, ms20, ms25, ms30, ms35,
    ms40, ms45, ms50, ms55, ms60, ms65, ms70,
    ms75, ms80, ms85, ms90, ms95, ms100, ms105,
    ms110, ms115, ms120, ms125, ms130, ms135,
    ms140, ms145, ms150, ms155, ms160, ms165,
    ms170, ms175, ms180, ms185, ms190, ms195,
    ms200, ms205, ms210, ms215, ms220, ms225,
    ms230, ms235, ms240, ms245, ms250, ms300,
    ms350, ms400, ms450, ms500, ms800-v1310,
    ms1000-v1310, ms2000-v1310, ms4000-v1310,
    spare5, spare4, spare3, spare2, spare1}

PollPDU ::=
ENUMERATED {
    p4, p8, p16, p32, p64, p128, p256, pInfinity}

PollPDU-v1310 ::=
ENUMERATED {
    p512, p1024, p2048, p4096, p6144, p8192, p12288, p16384}

PollPDU-r15 ::=
ENUMERATED {
    p4, p8, p16, p32, p64, p128, p256, p512, p1024,
    p2048-r15, p4096-r15, p6144-r15, p8192-r15,
    p12288-r15, p16384-r15, pInfinity}

PollByte ::=
ENUMERATED {
    kB25, kB50, kB75, kB100, kB125, kB250, kB375,
    kB500, kB750, kB1000, kB1250, kB1500, kB2000,
    kB3000, kBinfinity, spare1}

PollByte-r14 ::=
ENUMERATED {
    kB1, kB2, kB5, kB8, kB10, kB15, kB3500,
    kB4000, kB4500, kB5000, kB5500, kB6000, kB6500,
    kB7000, kB7500, kB8000, kB9000, kB10000, kB11000, kB12000,
    kB13000, kB14000, kB15000, kB16000, kB17000, kB18000,
    kB19000, kB20000, kB25000, kB30000, kB35000, kB40000}

T-Reordering ::=
ENUMERATED {
    ms0, ms5, ms10, ms15, ms20, ms25, ms30, ms35,
    ms40, ms45, ms50, ms55, ms60, ms65, ms70,
    ms75, ms80, ms85, ms90, ms95, ms100, ms110,
    ms120, ms130, ms140, ms150, ms160, ms170,
    ms180, ms190, ms200, ms1600-v1310}

T-StatusProhibit ::=
ENUMERATED {
    ms0, ms5, ms10, ms15, ms20, ms25, ms30, ms35,
    ms40, ms45, ms50, ms55, ms60, ms65, ms70,
    ms75, ms80, ms85, ms90, ms95, ms100, ms105,
    ms110, ms115, ms120, ms125, ms130, ms135,

```

```

ms140, ms145, ms150, ms155, ms160, ms165,
ms170, ms175, ms180, ms185, ms190, ms195,
ms200, ms205, ms210, ms215, ms220, ms225,
ms230, ms235, ms240, ms245, ms250, ms300,
ms350, ms400, ms450, ms500, ms800-v1310,
ms1000-v1310, ms1200-v1310, ms1600-v1310, ms2000-v1310,
ms2400-v1310, spare2,
    spare1}
-- ASN1STOP

```

| <b>RLC-Config field descriptions</b>   |
|--|
| <p><b>dl-extended-RLC-LI-Field, ul-extended-RLC-LI-Field</b><br/>Indicates the RLC LI field size. Value <i>TRUE</i> means that 15 bit LI length shall be used, otherwise 11 bit LI length shall be used; see TS 36.322 [7]. E-UTRAN enables this field only when <i>RLC-Config</i> (without suffix) is set to <i>am</i>.</p>   |
| <p><b>maxRetxThreshold</b><br/>Parameter for RLC AM in TS 36.322 [7]. Value t1 corresponds to 1 retransmission, t2 to 2 retransmissions and so on.</p>   |
| <p><b>reestablishRLC</b><br/>Indicates that RLC shall be re-established. For a UE configured with (NG)EN-DC, E-UTRAN may include this field for the (primary) RLC entity of an MCG RLC bearer of a DRB (used upon change from SN terminated split to MN terminated MCG RLC bearer). For a UE configured with NE-DC, E-UTRAN may include this field for the (primary) RLC entity of an SCG RLC bearer of a DRB or of an SRB (used upon key refresh for MN terminated split RB).</p> |
| <p><b>pollByte</b><br/>Parameter for RLC AM in TS 36.322 [7]. Value kB25 corresponds to 25 kBytes, kB50 to 50 kBytes and so on. kBInfinity corresponds to an infinite amount of kBytes. In case <i>pollByte-r14</i> is signalled, the UE shall ignore <i>pollByte</i> (i.e. without suffix).</p>   |
| <p><b>pollPDU</b><br/>Parameter for RLC AM in TS 36.322 [7]. Value p4 corresponds to 4 PDUs, p8 to 8 PDUs and so on. plnfinity corresponds to an infinite number of PDUs. In case <i>pollPDU-r13</i> is signalled, the UE shall ignore <i>pollPDU</i> (i.e. without suffix). E-UTRAN enables <i>pollPDU-v1310</i> field only when <i>RLC-Config</i> (without suffix) is set to <i>am</i>.</p>  |
| <p><b>rlc-OutOfOrderDelivery</b><br/>Indicates that out-of-order delivery from RLC to PDCP is configured for this RLC entity as specified in TS 36.322 [7].</p>  |
| <p><b>sn-FieldLength</b><br/>Indicates the UM RLC SN field size, see TS 36.322 [7], in bits. Value size5 means 5 bits, size10 means 10 bits.</p>   |
| <p><b>t-PollRetransmit</b><br/>Timer for RLC AM in TS 36.322 [7], in milliseconds. Value ms5 means 5ms, ms10 means 10ms and so on. EUTRAN configures values msX-v1310 (with suffix) only if UE supports CE.</p>  |
| <p><b>t-Reordering</b><br/>Timer for reordering in TS 36.322 [7], in milliseconds. Value ms0 means 0ms and behaviour as specified in 7.3.2 applies, ms5 means 5ms and so on.</p>   |
| <p><b>t-StatusProhibit</b><br/>Timer for status reporting in TS 36.322 [7], in milliseconds. Value ms0 means 0ms and behaviour as specified in 7.3.2 applies, ms5 means 5ms and so on. EUTRAN configures values msX-v1310 (with suffix) only if UE supports operation in CE.</p>   |
| <p><b>ul-extended-RLC-AM-SN, dl-extended-RLC-AM-SN</b><br/>Indicates whether or not the UE shall use the extended SN and SO length for AM bearer. Value <i>TRUE</i> means that 16 bit SN length and 16 bit SO length shall be used, otherwise 10 bit SN length and 15 bit SO length shall be used; see TS 36.322 [7].</p>  |

## – RLF-TimersAndConstants

The IE *RLF-TimersAndConstants* contains UE specific timers and constants applicable for UEs in RRC\_CONNECTED.

### **RLF-TimersAndConstants information element**

```

-- ASN1START
RLF-TimersAndConstants-r9 ::= CHOICE {
    release
    setup
        t301-r9
        t310-r9
        n310-r9
    CHOICE {
        NULL,
        SEQUENCE {
            ENUMERATED {
                ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
                ms2000},
            ENUMERATED {
                ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
            ENUMERATED {
                n1, n2, n3, n4, n6, n8, n10, n20},

```

```

        t311-r9          ENUMERATED {
                        ms1000, ms3000, ms5000, ms10000, ms15000,
                        ms20000, ms30000},
        n311-r9          ENUMERATED {
                        n1, n2, n3, n4, n5, n6, n8, n10},
        ...
    }
}

RLF-TimersAndConstants-r13 ::=
    CHOICE {
        release
        setup
            t301-v1310    ENUMERATED {
                        ms2500, ms3000, ms3500, ms4000, ms5000,
                        ms6000, ms8000, ms10000},
            ...
            [[ t310-v1330    ENUMERATED {ms4000, ms6000} OPTIONAL    -- Need ON
            ]]
    }

RLF-TimersAndConstantsSCG-r12 ::=
    CHOICE {
        release
        setup
            t313-r12      ENUMERATED {
                        ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
            n313-r12      ENUMERATED {
                        n1, n2, n3, n4, n6, n8, n10, n20},
            n314-r12      ENUMERATED {
                        n1, n2, n3, n4, n5, n6, n8, n10},
            ...
    }
}

-- ASN1STOP

```

#### **RLF-TimersAndConstants field descriptions**

##### **n3xy**

Constants are described in clause 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.

##### **t3xy**

Timers are described in clause 7.3. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on.

E-UTRAN configures *RLF-TimersAndConstants-r13* only if UE supports *ce-ModeB*. UE shall use the extended values *t3xy-v1310* and *t3xy-v1330*, if present, and ignore the values signaled by *t3xy-r9*.

## – *RN-SubframeConfig*

The IE *RN-SubframeConfig* is used to specify the subframe configuration for an RN.

#### **RN-SubframeConfig information element**

```

-- ASN1START
RN-SubframeConfig-r10 ::=
    SEQUENCE {
        subframeConfigPattern-r10    CHOICE {
            subframeConfigPatternFDD-r10    BIT STRING (SIZE(8)),
            subframeConfigPatternTDD-r10    INTEGER (0..31)
        }
        rpdccch-Config-r10            SEQUENCE {
            resourceAllocationType-r10    ENUMERATED {type0, type1, type2Localized, type2Distributed,
            spare4, spare3, spare2, spare1},
            resourceBlockAssignment-r10    CHOICE {
                type01-r10                CHOICE {
                    nrb6-r10                BIT STRING (SIZE(6)),
                    nrb15-r10               BIT STRING (SIZE(8)),
                    nrb25-r10               BIT STRING (SIZE(13)),
                    nrb50-r10               BIT STRING (SIZE(17)),
                    nrb75-r10               BIT STRING (SIZE(19)),
                    nrb100-r10              BIT STRING (SIZE(25))
                },
                type2-r10                  CHOICE {
                    nrb6-r10                BIT STRING (SIZE(5)),
                    nrb15-r10               BIT STRING (SIZE(7)),
                    nrb25-r10               BIT STRING (SIZE(9)),

```

```

        nrb50-r10                BIT STRING (SIZE(11)),
        nrb75-r10                BIT STRING (SIZE(12)),
        nrb100-r10               BIT STRING (SIZE(13))
    },
    ...
},
demodulationRS-r10              CHOICE {
    interleaving-r10             ENUMERATED {crs},
    noInterleaving-r10          ENUMERATED {crs, dmrs}
},
pdsch-Start-r10                INTEGER (1..3),
pucch-Config-r10               CHOICE {
    tdd                          CHOICE {
        channelSelectionMultiplexingBundling SEQUENCE {
            n1PUCCH-AN-List-r10             SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
        },
        fallbackForFormat3                 SEQUENCE {
            n1PUCCH-AN-P0-r10              INTEGER (0..2047),
            n1PUCCH-AN-P1-r10              INTEGER (0..2047)                OPTIONAL    -- Need OR
        }
    },
    fdd                                SEQUENCE {
        n1PUCCH-AN-P0-r10                  INTEGER (0..2047),
        n1PUCCH-AN-P1-r10                  INTEGER (0..2047)                OPTIONAL    -- Need OR
    }
},
...
}
...
OPTIONAL,    -- Need ON
}
-- ASN1STOP

```

### RN-SubframeConfig field descriptions

#### demodulationRS

Indicates which reference signals are used for R-PDCCH demodulation according to TS 36.216 [55], clause 7.4.1. Value interleaving corresponds to cross-interleaving and value noInterleaving corresponds to no cross-interleaving according to TS 36.216 [55], clauses 7.4.2 and 7.4.3.

#### n1PUCCH-AN-List

Parameter:  $n_{\text{PUCCH},i}^{(1)}$ , see TS 36.216, [55], clause 7.5.1. This parameter is only applicable for TDD. Configures PUCCH HARQ-ACK resources if the RN is configured to use HARQ-ACK channel selection, HARQ-ACK multiplexing or HARQ-ACK bundling.

#### n1PUCCH-AN-P0, n1PUCCH-AN-P1

Parameter:  $n_{\text{PUCCH}}^{(1,p)}$ , for antenna port P0 and for antenna port P1 respectively, see TS 36.216, [55], clause 7.5.1, for FDD and [55], clause 7.5.2 for TDD.

#### pdsch-Start

Parameter: *DL-StartSymbol*, see TS 36.216 [55], Table 5.4-1.

#### resourceAllocationType

Represents the resource allocation used: type 0, type 1 or type 2 according to TS 36.213 [23], clause 7.1.6. Value type0 corresponds to type 0, value type1 corresponds to type 1, value type2Localized corresponds to type 2 with localized virtual resource blocks and type2Distributed corresponds to type 2 with distributed virtual resource blocks.

#### resourceBlockAssignment

Indicates the resource block assignment bits according to TS 36.213 [23], clause 7.1.6. Value type01 corresponds to type 0 and type 1, and the value type2 corresponds to type 2. Value nrb6 corresponds to a downlink system bandwidth of 6 resource blocks, value nrb15 corresponds to a downlink system bandwidth of 15 resource blocks, and so on.

#### subframeConfigPatternFDD

Parameter: *SubframeConfigurationFDD*, see TS 36.216 [55], Table 5.2-1. Defines the DL subframe configuration for eNB-to-RN transmission, i.e. those subframes in which the eNB may indicate downlink assignments for the RN. The radio frame in which the pattern starts (i.e. the radio frame in which the first bit of the *subframeConfigPatternFDD* corresponds to subframe #0) occurs when  $\text{SFN mod } 4 = 0$ .

#### subframeConfigPatternTDD

Parameter: *SubframeConfigurationTDD*, see TS 36.216 [55], Table 5.2-2. Defines the DL and UL subframe configuration for eNB-RN transmission.

## – RSS-Config

The IE *RSS-Config* is used to specify the RSS configuration, see TS 36.211 [21].

### RSS-Config information element

```
-- ASN1START
RSS-Config-r15 ::=          SEQUENCE {
    duration-r15             ENUMERATED {sf8, sf16, sf32, sf40},
    freqLocation-r15        INTEGER (0..98),
    periodicity-r15         ENUMERATED {ms160, ms320, ms640, ms1280},
    powerBoost-r15          ENUMERATED {dB0, dB3, dB4dot8, dB6},
    timeOffset-r15         INTEGER (0..31)
}
-- ASN1STOP
```

#### RSS-Config field descriptions

##### **duration**

Duration of RSS in subframes. Value sf8 corresponds to 8 subframes, value sf16 corresponds to 16 subframes and so on.

##### **freqLocation**

Frequency location (lowest PRB number) of RSS.

##### **periodicity**

Periodicity of RSS. Value ms160 corresponds to 160 ms, value ms320 corresponds to 320 ms and so on.

##### **powerBoost**

Power offset of RSS relative to CRS in dB. Value dB0 corresponds to 0 dB, value dB3 corresponds to 3 dB, value dB4dot8 corresponds to 4.8 dB and so on.

##### **timeOffset**

Time offset of RSS in frames. The actual value of time offset is based on the value of *periodicity*, as follows:

For *periodicity* 160 ms, only value range 0 to 15 are applicable. Actual value = *timeOffset* \* 1 frame.

For *periodicity* 320 ms, actual value = *timeOffset* \* 1 frame.

For *periodicity* 640 ms, actual value = *timeOffset* \* 2 frames.

For *periodicity* 1280 ms, actual value = *timeOffset* \* 4 frames.

## – SchedulingRequestConfig

The IE *SchedulingRequestConfig* is used to specify the Scheduling Request related parameters

### SchedulingRequestConfig information element

```
-- ASN1START
SchedulingRequestConfig ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        sr-PUCCH-ResourceIndex    INTEGER (0..2047),
        sr-ConfigIndex           INTEGER (0..157),
        dsr-TransMax              ENUMERATED {
            n4, n8, n16, n32, n64, spare3, spare2, spare1
        }
    }
}

SchedulingRequestConfig-v1020 ::= SEQUENCE {
    sr-PUCCH-ResourceIndexP1-r10    INTEGER (0..2047)    OPTIONAL    -- Need OR
}

SchedulingRequestConfigSCell-r13 ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        sr-PUCCH-ResourceIndex-r13    INTEGER (0..2047),
        sr-PUCCH-ResourceIndexP1-r13  INTEGER (0..2047)    OPTIONAL,    -- Need OR
        sr-ConfigIndex-r13           INTEGER (0..157),
        dsr-TransMax-r13             ENUMERATED {
            n4, n8, n16, n32, n64, spare3, spare2, spare1
        }
    }
}
-- ASN1STOP
```



```

SchedulingRequestConfig-v1530 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    sr-SlotSPUCCH-IndexFH-r15      INTEGER (0..1319)      OPTIONAL, -- Need OR
    sr-SlotSPUCCH-IndexNoFH-r15    INTEGER (0..3959)      OPTIONAL, -- Need OR
    sr-SubslotSPUCCH-ResourceList-r15 SR-SubslotSPUCCH-ResourceList-r15 OPTIONAL, -- Need OR
    sr-ConfigIndexSlot-r15         INTEGER (0..36)          OPTIONAL, -- Need OR
    sr-ConfigIndexSubslot-r15      INTEGER (0..122)        OPTIONAL, -- Need OR
    dssr-TransMax-r15              ENUMERATED {
                                   n4, n8, n16, n32, n64, spare3, spare2, spare1}
  }
}

SR-SubslotSPUCCH-ResourceList-r15 ::= SEQUENCE (SIZE(1..4)) OF INTEGER (0..1319)

-- ASN1STOP

```

#### **SchedulingRequestConfig field descriptions**

##### **dssr-TransMax**

Parameter for SR transmission in TS 36.321 [6], clause 5.4.4. The value n4 corresponds to 4 transmissions, n8 corresponds to 8 transmissions and so on. EUTRAN configures the same value for all serving cells for which this field is configured.

##### **dssr-TransMax**

Parameter for SPUCCH SR transmission in TS 36.321 [6], clause 5.4.4. EUTRAN configures the same value for all serving cells for which this field is configured.

##### **sr-ConfigIndex, sr-ConfigIndexSlot, sr-ConfigIndexSubslot**

Parameter  $I_{SR}$ . See TS 36.213 [23], clause 10.1. The values 156 and 157 are not applicable for Release 8.

##### **sr-PUCCH-ResourceIndex, sr-PUCCH-ResourceIndexP1**

Parameter:  $n_{PUCCH,SRI}^{(1,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1. EUTRAN configures *sr-PUCCH-ResourceIndexP1* only if *sr-PUCCHResourceIndex* is configured.

##### **sr-SlotSPUCCH-IndexFH**

Resource configuration for SR using slot-SPUCCH when frequency hopping is enabled, see TS 36.213 [23], clause 10.1.5.

##### **sr-SlotSPUCCH-IndexNoFH**

Resource configuration for SR using slot-SPUCCH when frequency hopping is disabled, see TS 36.213 [23], clause 10.1.5.

##### **sr-SubslotSPUCCH-ResourceList**

Resource configuration for SR using subslot-SPUCCH, see TS 36.213 [23], clause 10.1.5.

## – SlotOrSubslotPDSCH-Config

The IE *SlotOrSubslotPDSCH-Config* is used to specify the UE specific PDSCH configuration when sTTI is used.

#### **SlotOrSubslotPDSCH-Config information element**

```

-- ASN1START

SlotOrSubslotPDSCH-Config-r15 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    altCQI-TableSTTI-r15      ENUMERATED {
                                   allSubframes, csi-SubframeSet1,
                                   csi-SubframeSet2, spare1}          OPTIONAL, -- Need OR
    altCQI-Table1024QAM-STTI-r15 ENUMERATED {
                                   allSubframes, csi-SubframeSet1,
                                   csi-SubframeSet2, spare1}          OPTIONAL, -- Need OR
    resourceAllocation-r15    ENUMERATED {
                                   resourceAllocationType0, resourceAllocationType2}  OPTIONAL, -- Need OR
    tbsIndexAlt-STTI-r15      ENUMERATED {a33}          OPTIONAL, -- Need OR
    tbsIndexAlt2-STTI-r15     ENUMERATED {b33}          OPTIONAL, -- Need OR
    tbsIndexAlt3-STTI-r15     ENUMERATED {a37}          OPTIONAL, -- Need OR
    ...
  }
}

-- ASN1STOP

```

| <b>SlotOrSubslotPDSCH-Config field descriptions</b>   |
|---|
| <p><b>altCQI-TableSTTI, altCQI-Table1024QAM-STTI</b><br/>Indicates the applicability of the alternative CQI table (i.e. Table 7.2.3-2 and Table 7.2.3-4 in TS 36.213 [23]) for aperiodic CSI reporting for slot or subslot PDSCH for the concerned serving cell. Value <i>allSubframes</i> means the alternative CQI table applies to all the subframes and CSI processes, if configured, and value <i>csi-SubframeSet1</i> means the alternative CQI table applies to CSI subframe set1, and value <i>csi-SubframeSet2</i> means the alternative CQI table applies to CSI subframe set2. EUTRAN sets the value to <i>csi-SubframeSet1</i> or <i>csi-SubframeSet2</i> only if transmissionMode is set in range <i>tm1</i> to <i>tm9</i> and csi-SubframePatternConfig-r10 is configured for the concerned serving cell and different CQI tables apply to the two CSI subframe sets; otherwise EUTRAN sets the value to <i>allSubframes</i>. EUTRAN does not configure the same value for altCQI-TableSTTI-r15 and altCQI-Table-1024QAM-STTI-r15 in SlotOrSubslotPDSCH-Config-r15. EUTRAN does not configure altCQI-Table-1024QAM-STTI-r15 if the value of altCQI-TableSTTI-r15 is set to <i>allSubframes</i>. EUTRAN does not configure altCQI-TableSTTI-r15 if the value of altCQI-Table-1024QAM-STTI-r15 is set to <i>allSubframes</i>. If both altCQI-TableSTTI-r15 and altCQI-Table-1024QAM-STTI-r15 are absent, the UE shall use Table 7.2.3-1 in TS 36.213 [23] for all subframes and CSI processes, if configured.</p> |
| <p><b>resourceAllocation</b><br/>Parameter indicates resource allocation type for slot-PDSCH or subslot-PDSCH.</p>  |
| <p><b>tbsIndexAlt-STTI</b><br/>Indicates the applicability of the alternative TBS index for the <math>t_{BS}</math> 33 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all slots/subslots scheduled by DCI format 7-1F and 7-1G. Value <i>a33</i> refers to the alternative TBS index <math>t_{BS}</math> 33A. If neither this field nor <i>tbsIndexAlt2-STTI</i> configures an alternative TBS index for <math>t_{BS}</math> 33, the UE shall use <math>t_{BS}</math> 33 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all slots/subslots instead.</p>   |
| <p><b>tbsIndexAlt2-STTI</b><br/>Indicates the applicability of the alternative TBS index for the <math>t_{BS}</math> 33 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all slots/subslots scheduled by DCI format 7-1B/7-1C/7-1D. Value <i>b33</i> refers to the alternative TBS index <math>t_{BS}</math> 33B. If neither this field nor <i>tbsIndexAlt-STTI</i> configures an alternative TBS index for <math>t_{BS}</math> 33, the UE shall use <math>t_{BS}</math> 33 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all slots/subslots instead.</p>  |
| <p><b>tbsIndexAlt3-STTI</b><br/>Indicates the applicability of the alternative TBS index for the <math>t_{BS}</math> 37 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all slots/subslots scheduled by DCI format 7-1F/7-1G. Value <i>a37</i> refers to the alternative TBS index <math>t_{BS}</math> 37A. If this field does not configure an alternative TBS index for <math>t_{BS}</math> 37, the UE shall use <math>t_{BS}</math> 37 specified in TS 36.213 [23], Table 7.1.7.2.1-1 for all slots/subslots instead.</p>   |

### SlotOrSubslotPUSCH-Config

The IE *SlotOrSubslotPUSCH-Config* is used to specify the UE specific PUSCH configuration when sTTI is used.

#### SlotOrSubslotPUSCH-Config information element

```

-- ASN1START
SlotOrSubslotPUSCH-Config-r15 ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        betaOffsetSlot-ACK-Index-r15      INTEGER(0..15)      OPTIONAL, -- Need OR
        betaOffset2Slot-ACK-Index-r15    INTEGER(0..15)    OPTIONAL, -- Need OR
        betaOffsetSubslot-ACK-Index-r15  SEQUENCE (SIZE(1..2)) OF INTEGER(0..15) OPTIONAL, --
Need OR
        betaOffset2Subslot-ACK-Index-r15  SEQUENCE (SIZE(1..2)) OF INTEGER(0..15) OPTIONAL, --
Need OR
        betaOffsetSlot-RI-Index-r15      INTEGER(0..15)    OPTIONAL, -- Need OR
        betaOffsetSubslot-RI-Index-r15   SEQUENCE (SIZE(1..2)) OF INTEGER(0..15) OPTIONAL, --
Need OR
        betaOffsetSlot-CQI-Index-r15     INTEGER(0..15)    OPTIONAL, -- Need OR
        betaOffsetSubslot-CQI-Index-r15  INTEGER(0..15)    OPTIONAL, -- Need OR
        enable256QAM-SlotOrSubslot-r15  Enable256QAM-r14 OPTIONAL, -- Need ON
        resourceAllocationOffset-r15     INTEGER (1..2)    OPTIONAL, -- Need OR
        ul-DMRS-IFDMA-SlotOrSubslot-r15 BOOLEAN,
        ...
    }
}
-- ASN1STOP

```

| <b>SlotOrSubslotPUSCH-Config field descriptions</b>  |
|--|
| <p><b><i>betaOffsetSlot-ACK-Index, betaOffsetSubslot-ACK-Index, betaOffset2Slot-ACK-Index, betaOffset2Subslot-ACK-Index</i></b></p> <p>Parameter: <math>I_{offset}^{HARQ-ACK}</math> and <math>I_{offset,X}^{HARQ-ACK}</math> for single-codeword, see TS 36.213 [23], Table 8.6.3-1. If <i>betaOffset2Slot-ACK-Index/betaOffset2Subslot-ACK-Index</i> is configured; <i>betaOffsetSlot-ACK-Index/betaOffsetSubslot-ACK-Index</i> is used when up to 22 HARQ-ACK bits are transmitted otherwise <i>betaOffset2Slot-ACK-Index/betaOffset2Subslot-ACK-Index</i> is used. The values apply for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send SPUCCH on the same cell in case SPUCCH SCell is configured) and not configured with uplink power control subframe sets. It is indicated in DCI format 7-0A/7-0B which of the two values taken by <i>betaOffsetSubslot-ACK-Index-r15/betaOffset2Subslot-ACK-Index-r15/ betaOffsetSubslot-RI-Index-r15</i> to use. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).</p> |
| <p><b><i>betaOffsetSlot-RI-Index, betaOffsetSubslot-RI-Index</i></b></p> <p>Parameter: <math>I_{offset}^{RI}</math>, for single codeword, see TS 36.213 [23], Table 8.6.3-2. One value applies for subframe set 2 of all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send SPUCCH on the same cell in case SPUCCH SCell is configured) and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell configured with uplink power control subframe sets).</p>  |
| <p><b><i>betaOffsetSlot-CQI-Index, betaOffsetSubslot-CQI-Index</i></b></p> <p>Parameter: <math>I_{offset}^{CQI}</math>, for single codeword, see TS 36.213 [23], Table 8.6.3-3. One value applies for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send SPUCCH on the same cell in case SPUCCH SCell is configured) and not configured with uplink power control subframe sets. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).</p>  |
| <p><b><i>enable256QAM-SlotOrSubslot</i></b></p> <p>Indicates that 256QAM for slot or subslot is enabled, see TS 36.213 [23], clause 8.6.1.</p>   |
| <p><b><i>resourceAllocationOffset</i></b></p> <p>Indicates an RB resource allocation offset of 1 or 2 PRBs for slot-PUSCH or subslot-PUSCH. When the field is absent, the UE assumes no offset is used (i.e. offset = 0).</p>  |
| <p><b><i>ul-DMRS-IFDMA-SlotOrSubslot</i></b></p> <p>Value <i>TRUE</i> indicates that the UE is configured with enhanced UL DMRS.</p>   |

## SoundingRS-UL-Config

The IE *SoundingRS-UL-Config* is used to specify the uplink Sounding RS configuration for periodic and aperiodic sounding.

### SoundingRS-UL-Config information element

```

-- ASN1START
SoundingRS-UL-ConfigCommon ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    srs-BandwidthConfig      ENUMERATED {bw0, bw1, bw2, bw3, bw4, bw5, bw6, bw7},
    srs-SubframeConfig       ENUMERATED {
      sc0, sc1, sc2, sc3, sc4, sc5, sc6, sc7,
      sc8, sc9, sc10, sc11, sc12, sc13, sc14, sc15},
    ackNackSRS-SimultaneousTransmission BOOLEAN,
    srs-MaxUpPts             ENUMERATED {true} OPTIONAL -- Cond TDD
  }
}

SoundingRS-UL-ConfigDedicated ::= CHOICE{
  release      NULL,
  setup       SEQUENCE {
    srs-Bandwidth      ENUMERATED {bw0, bw1, bw2, bw3},
    srs-HoppingBandwidth  ENUMERATED {hbw0, hbw1, hbw2, hbw3},
    freqDomainPosition  INTEGER (0..23),
    duration            BOOLEAN,
    srs-ConfigIndex     INTEGER (0..1023),
    transmissionComb    INTEGER (0..1),
    cyclicShift         ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
  }
}

```

```

SoundingRS-UL-ConfigDedicated-v1020 ::= SEQUENCE {
  srs-AntennaPort-r10          SRS-AntennaPort
}

SoundingRS-UL-ConfigDedicated-v1310 ::= CHOICE{
  release                       NULL,
  setup                         SEQUENCE {
    transmissionComb-v1310     INTEGER (2..3)          OPTIONAL, -- Need OR
    cyclicShift-v1310         ENUMERATED {cs8, cs9, cs10, cs11}  OPTIONAL, -- Need
OR
    transmissionCombNum-r13    ENUMERATED {n2, n4}          OPTIONAL -- Need OR
  }
}

SoundingRS-UL-ConfigDedicatedUpPTsExt-r13 ::= CHOICE{
  release                       NULL,
  setup                         SEQUENCE {
    srs-UpPtsAdd-r13           ENUMERATED {sym2, sym4},
    srs-Bandwidth-r13         ENUMERATED {bw0, bw1, bw2, bw3},
    srs-HoppingBandwidth-r13  ENUMERATED {hbw0, hbw1, hbw2, hbw3},
    freqDomainPosition-r13    INTEGER (0..23),
    duration-r13              BOOLEAN,
    srs-ConfigIndex-r13       INTEGER (0..1023),
    transmissionComb-r13      INTEGER (0..3),
    cyclicShift-r13           ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7,
                                         cs8, cs9, cs10, cs11},
    srs-AntennaPort-r13       SRS-AntennaPort,
    transmissionCombNum-r13    ENUMERATED {n2, n4}
  }
}

SoundingRS-UL-ConfigDedicatedAperiodic-r10 ::= CHOICE{
  release                       NULL,
  setup                         SEQUENCE {
    srs-ConfigIndexAp-r10      INTEGER (0..31),
    srs-ConfigApDCI-Format4-r10 SEQUENCE (SIZE (1..3)) OF SRS-ConfigAp-r10  OPTIONAL,--
Need ON
    srs-ActivateAp-r10        CHOICE {
      release                 NULL,
      setup                   SEQUENCE {
        srs-ConfigApDCI-Format0-r10  SRS-ConfigAp-r10,
        srs-ConfigApDCI-Format1a2b2c-r10 SRS-ConfigAp-r10,
        ...
      }
    }
  }
}
OPTIONAL -- Need ON

SoundingRS-UL-ConfigDedicatedAperiodic-v1310 ::= CHOICE{
  release                       NULL,
  setup                         SEQUENCE {
    srs-ConfigApDCI-Format4-v1310 SEQUENCE (SIZE (1..3)) OF SRS-ConfigAp-v1310
OPTIONAL,--Need ON
    srs-ActivateAp-v1310        CHOICE {
      release                 NULL,
      setup                   SEQUENCE {
        srs-ConfigApDCI-Format0-v1310  SRS-ConfigAp-v1310  OPTIONAL, -- Need ON
        srs-ConfigApDCI-Format1a2b2c-v1310 SRS-ConfigAp-v1310  OPTIONAL -- Need ON
      }
    }
  }
}
OPTIONAL -- Need ON

SoundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13 ::= CHOICE{
  release                       NULL,
  setup                         SEQUENCE {
    srs-UpPtsAdd-r13           ENUMERATED {sym2, sym4},
    srs-ConfigIndexAp-r13      INTEGER (0..31),
    srs-ConfigApDCI-Format4-r13 SEQUENCE (SIZE (1..3)) OF SRS-ConfigAp-r13  OPTIONAL,--
Need ON
    srs-ActivateAp-r13        CHOICE {
      release                 NULL,
      setup                   SEQUENCE {
        srs-ConfigApDCI-Format0-r13  SRS-ConfigAp-r13,
        srs-ConfigApDCI-Format1a2b2c-r13 SRS-ConfigAp-r13
      }
    }
  }
}
OPTIONAL -- Need ON

```

```

}
SoundingRS-UL-ConfigDedicatedAperiodic-v1430 ::= CHOICE{
  release          NULL,
  setup           SEQUENCE {
    srs-SubframeIndication-r14  INTEGER (1..4) OPTIONAL  -- Need ON
  }
}

SRS-ConfigAp-r10 ::= SEQUENCE {
  srs-AntennaPortAp-r10          SRS-AntennaPort,
  srs-BandwidthAp-r10            ENUMERATED {bw0, bw1, bw2, bw3},
  freqDomainPositionAp-r10       INTEGER (0..23),
  transmissionCombAp-r10         INTEGER (0..1),
  cyclicShiftAp-r10              ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
}

SRS-ConfigAp-v1310 ::= SEQUENCE {
  transmissionCombAp-v1310       INTEGER (2..3) OPTIONAL,  -- Need OR
  cyclicShiftAp-v1310            ENUMERATED {cs8, cs9, cs10, cs11} OPTIONAL,  -- Need OR
  transmissionCombNum-r13        ENUMERATED {n2, n4} OPTIONAL  -- Need OR
}

SRS-ConfigAp-r13 ::= SEQUENCE {
  srs-AntennaPortAp-r13          SRS-AntennaPort,
  srs-BandwidthAp-r13            ENUMERATED {bw0, bw1, bw2, bw3},
  freqDomainPositionAp-r13       INTEGER (0..23),
  transmissionCombAp-r13         INTEGER (0..3),
  cyclicShiftAp-r13              ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7,
                                             cs8, cs9, cs10, cs11},
  transmissionCombNum-r13        ENUMERATED {n2, n4}
}

SRS-AntennaPort ::= ENUMERATED {an1, an2, an4, spare1}
-- ASN1STOP

```

| <b>SoundingRS-UL-Config field descriptions</b>  |  |
|---|--|
| <b>ackNackSRS-SimultaneousTransmission</b>  |  |
| Parameter: <i>Simultaneous-AN-and-SRS</i> , see TS 36.213 [23], clause 8.2. For SCells without PUCCH configured, this field is not applicable and the UE shall ignore the value.  |  |
| <b>cyclicShift, cyclicShiftAp</b>   |  |
| Parameter: $n_{\text{SRS}}$ for periodic and aperiodic sounding reference signal transmission respectively except for an LAA SCell. See TS 36.211 [21], clause 5.5.3.1, where $cs_0$ corresponds to 0 etc.  |  |
| <b>duration</b>   |  |
| Parameter: Duration for periodic sounding reference signal transmission except for an LAA SCell. See TS 36.213 [21], clause 8.2. FALSE corresponds to "single" and value TRUE to "indefinite".  |  |
| <b>freqDomainPosition, freqDomainPositionAp</b>   |  |
| Parameter: $n_{\text{RRC}}$ for periodic and aperiodic sounding reference signal transmission respectively, see TS 36.211 [21], clause 5.5.3.2.   |  |
| <b>srs-AntennaPort, srs-AntennaPortAp</b>   |  |
| Indicates the number of antenna ports used for periodic and aperiodic sounding reference signal transmission respectively, see TS 36.211 [21], clause 5.5.3. UE shall release <i>srs-AntennaPort</i> if <i>SoundingRS-UL-ConfigDedicated</i> is released.   |  |
| <b>srs-Bandwidth, srs-BandwidthAp</b>   |  |
| Parameter: $B_{\text{SRS}}$ for periodic and aperiodic sounding reference signal transmission respectively, see TS 36.211 [21], tables 5.5.3.2-1, 5.5.3.2-2, 5.5.3.2-3 and 5.5.3.2-4. For LAA SCell only $bw_0$ is applied.   |  |
| <b>srs-BandwidthConfig</b>  |  |
| Parameter: SRS Bandwidth Configuration. See TS 36.211, [21], tables 5.5.3.2-1, 5.5.3.2-2, 5.5.3.2-3 and 5.5.3.2-4. Actual configuration depends on UL bandwidth. $bw_0$ corresponds to value 0, $bw_1$ to value 1 and so on.  |  |
| <b>srs-ConfigApDCI-Format0 / srs-ConfigApDCI-Format1a2b2c / srs-ConfigApDCI-Format4</b>   |  |
| Parameters indicate the resource configurations for aperiodic sounding reference signal transmissions triggered by DCI formats 0, 1A, 2B, 2C, 4. See TS 36.213 [23], clause 8.2.  |  |
| <b>srs-ConfigIndex, srs-ConfigIndexAp</b>   |  |
| Parameter: $I_{\text{SRS}}$ for periodic and aperiodic sounding reference signal transmission respectively except for an LAA SCell. See TS 36.213 [23], tables 8.2-1 and 8.2-2, for periodic and TS 36.213 [23], tables 8.2-4 and 8.2-5, for aperiodic SRS transmission.  |  |
| <b>srs-HoppingBandwidth</b>   |  |
| Parameter: SRS hopping bandwidth $b_{\text{hop}} \in \{0,1,2,3\}$ for periodic sounding reference signal transmission except for an LAA SCell, see TS 36.211 [21], clause 5.5.3.2, where $hbw_0$ corresponds to value 0, $hbw_1$ to value 1 and so on.  |  |
| <b>srs-MaxUpPts</b>   |  |
| Parameter: $srsMaxUpPts$ , see TS 36.211 [21], clause 5.5.3.2. If this field is present, reconfiguration of $m_{\text{SRS},0}^{\text{max}}$ applies for UpPts, otherwise reconfiguration does not apply.  |  |
| <b>srs-SubframeConfig</b>   |  |
| Parameter: SRS SubframeConfiguration except for an LAA SCell. See TS 36.211, [21], table 5.5.3.3-1, applies for FDD whereas TS 36.211 [21], table 5.5.3.3-2, applies for TDD. $sc_0$ corresponds to value 0, $sc_1$ corresponds to value 1 and so on.   |  |
| <b>srs-SubframeIndication</b>   |  |
| Parameter: SRS subframe indication in SRS parameter set configuration for aperiodic sounding reference signal transmission on an LAA SCell configured with uplink, see TS 36.213 [23].  |  |
| <b>srs-UpPtsAdd</b>   |  |
| The field only applies for TDD and frame structure type 3, see TS 36.211 [21]. If E-UTRAN configures both <i>soundingRS-UL-ConfigDedicatedUpPTsExt</i> and <i>soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt</i> <i>srs-UpPtsAdd</i> in both fields is set to the same value. If E-UTRAN configures <i>soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList-r14</i> with a number of <i>soundingRS-UL-ConfigDedicatedUpPTsExt</i> and/or <i>soundingRS-UL-AperiodicConfigDedicatedList-r14</i> with a number of <i>soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt</i> , <i>srs-UpPtsAdd</i> in all fields are set to the same value. |  |
| <b>transmissionComb, transmissionCombAp</b>   |  |
| Parameter: $\bar{k}_{\text{TC}} \in \{0..3\}$ for periodic and aperiodic sounding reference signal transmission respectively, see TS 36.211 [21], clause 5.5.3.2.   |  |

| Conditional presence | Explanation   |
|----------------------|---|
| TDD                  | This field is optional present for TDD, need OR; it is not present for FDD and the UE shall delete any existing value for this field. |

## – SPDCCH-Config

The IE SPDCCH-Config is used to specify the UE specific SPDCCH configuration.

**SPDCCH-Config information element**

```

-- ASN1START
SPDCCH-Config-r15 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    spdcch-L1-ReuseIndication-r15  ENUMERATED {n0,n1,n2}  OPTIONAL, -- Need OR
    spdcch-SetConfig-r15           SPDCCH-Set-r15             OPTIONAL -- Need OR
  }
}

SPDCCH-Set-r15 ::= SEQUENCE (SIZE (1..4)) OF SPDCCH-Elements-r15

SPDCCH-Elements-r15 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    spdcch-SetConfigId-r15          INTEGER (0..3)          OPTIONAL, -- Need OR
    spdcch-SetReferenceSig-r15      ENUMERATED {crs, dmrs}    OPTIONAL, -- Need OR
    transmissionType-r15           ENUMERATED {localised, distributed} OPTIONAL, -- Need OR
    spdcch-NoOfSymbols-r15         INTEGER (1..2)          OPTIONAL, -- Need OR
    dmrs-ScramblingSequenceInt-r15  INTEGER (0..503)        OPTIONAL, -- Need OR
    dci7-CandidatesPerAL-PDCCH-r15  SEQUENCE (SIZE(1..4)) OF
                                     DCI7-Candidates-r15 OPTIONAL, -- Need OR
    dci7-CandidateSetsPerAL-SPDCCH-r15 SEQUENCE (SIZE(1..2)) OF
                                     DCI7-CandidatesPerAL-SPDCCH-r15 OPTIONAL, -- Need OR
    resourceBlockAssignment-r15     SEQUENCE{
      numberRB-InFreq-domain-r15    INTEGER (2..100),
      resourceBlockAssignment-r15    BIT STRING (SIZE(98))
    }
                                     OPTIONAL, -- Need OR
    subslotApplicability-r15        BIT STRING (SIZE(5))    OPTIONAL, -- Need OR
    al-StartingPointSPDCCH-r15     SEQUENCE (SIZE(1..4)) OF
                                     INTEGER(0..49)          OPTIONAL, -- Need OR
    subframeType-r15               ENUMERATED {mbsfn, nonmbsfn, all} OPTIONAL, -- Need OR
    rateMatchingMode-r15           ENUMERATED {m1, m2, m3, m4}  OPTIONAL, -- Need OR
    ...
  }
}

DCI7-Candidates-r15 ::= INTEGER (0..6)
DCI7-CandidatesPerAL-SPDCCH-r15 ::= SEQUENCE (SIZE(1..4)) OF DCI7-Candidates-r15

-- ASN1STOP

```

| <b>SPDCCH-Config field descriptions</b>   |
|---|
| <p><b>al-StartingPointSPDCCH</b><br/>Indicates the starting SCCE index for an aggregation level, see TS 36.213 [23], clause 9.1.6.</p>  |
| <p><b>dci7-Candidates</b><br/>Number of candidates in each aggregation level for DCI format 7. The number of PDCCH/SPDCCH candidate(s) M_DCI format 7^(L) at aggregation level L for monitoring DCI format 7 in PDCCH and SPDCCH region shall conform to the following restriction:</p> <ul style="list-style-type: none"> <li>less than or equal to 2 for aggregation level 4 and 8,</li> <li>less than or equal to 6 for aggregation level 1 and 2</li> </ul>   |
| <p><b>dci7-CandidatesPerAL-SPDCCH</b><br/>SPDCCH candidates configured per aggregation level in SPDCCH region</p>   |
| <p><b>dmrs-ScramblingSeqSPDCCH</b><br/>The DMRS scrambling sequence initialization parameter <math>n_{ID,i}^{SPDCCH}</math> defined in TS 36.211 [21], clause 6.10.3A.1.</p>  |
| <p><b>numberRB-InFreq-domain</b><br/>Indicates the number of resource-blocks in the frequency domain used for the SPDCCH set. There is no restriction on the number of RBs in the frequency domain that can be configured to an SPDCCH resource set (up to 100), but at least two need to be configured to contain at least one SCCE. The granularity of resource block allocation in frequency domain for configuring an SPDCCH resource set is one in case spdcch-SetReferenceSig-r15 is set to crs. The granularity of resource block allocation for configuring an SPDCCH resource set is two in case sPDCCH-SetReferenceSig-r15 is set to dmrs.</p>  |
| <p><b>rateMatchingMode</b><br/>Indicates, per resource-set, the mode of SPDCCH rate-matching operation</p> <ul style="list-style-type: none"> <li>Mode 1: UE rate-matches only around the DCI format 7 scheduling the slot or subslot PDSCH (if transmitted in the SPDCCH resource-set), otherwise no rate-matching is performed for the RB set.</li> <li>Mode 2: UE rate-matches around the whole SPDCCH resource set</li> <li>Mode 3: UE rate-matches around the whole SPDCCH resource set if DCI format 7 scheduling the slot or subslot PDSCH is found in the resource-set, otherwise no rate-matching is performed for the RB set.</li> <li>Mode 4: UE rate-matches around the whole SPDCCH resource set if DCI format 7 scheduling the slot or subslot PDSCH is not found in the resource-set, otherwise UE rate-matches only around the DCI format 7 scheduling the slot or subslot PDSCH (if transmitted in the SPDCCH resource-set)</li> </ul> <p>If the DCI format 7 scheduling the slot or subslot PDSCH is found on a candidate belonging to two SPDCCH resource sets, the DCI format 7 is assumed to be found in both resource sets.</p> |
| <p><b>resourceBlockAssignment</b><br/>Indicates the index to a specific combination of physical resource block in frequency for SPDCCH set, see TS 36.213 [23], clause 9.1.4.4. The value range is dependent on the combinatorial number defined in 36.213 [23], clause 9.1.4.4 with the assumption of no limitation in the number of RBs in frequency domain configured by the set.</p>  |
| <p><b>spdcch-NoOfSymbols</b><br/>Indicates the number of OFDM symbols that the CRS based SPDCCH is mapped over.</p>   |
| <p><b>spdcch-L1-ReuseIndication</b><br/>For the up to two resource sets configured with the same <i>subframeType</i> applicability, the <i>SPDCCH-L1-ReuseIndication</i> defines the allowed combinations for the two resource sets: {1,1}, {2,0} or {0,2} corresponding to the values n0, n1 and n2 respectively. In case one resource set is configured, the allowed combinations are {2, 0} or {0,2} corresponding to n1 or n2. EUTRAN does not configure n0 in case one resource set is configured.</p>   |
| <p><b>spdcch-SetConfigId</b><br/>Indicates the ID of the SPDCCH set configured in <i>SPDCCH-Elements</i>. Maximum two sets can be configured for MBSFN and two for non-MBSFN.</p>   |
| <p><b>spdcch-SetReferenceSig</b><br/>Indicates CRS or DMRS based SPDCCH set.</p>  |
| <p><b>subframeType</b><br/>Indicates applicable subframe type(s) for the SPDCCH set. CRS-based SPDCCH is only applied to non-MBSFN subframe.</p>  |
| <p><b>subslotApplicability</b><br/>Indicates the set of subslots within the subframe where SPDCCH candidate set per aggregation levels applies, see <i>DCI7-CandidateSetsPerAL-SPDCCH</i>. The bitmap applies to the 5 DL subslot indices in a DL subframe. The first element in the sequence <i>DCI7-CandidateSetsPerAL-SPDCCH</i> applies to the indicated <i>subslotApplicability</i>. The second element in the sequence (if present) applies to the complement of the <i>subslotApplicability</i>.</p>   |
| <p><b>transmissionType</b><br/>Indicates whether distributed or localized SPDCCH transmission mode is used as defined in TS 36.211 [21], clause 6.8A.1.</p>   |

## – SPS-Config

The IE *SPS-Config* is used to specify the semi-persistent scheduling configuration.



**SPS-Config information element**

```

-- ASN1START
SPS-Config ::= SEQUENCE {
    semiPersistSchedC-RNTI          C-RNTI          OPTIONAL,      -- Need OR
    sps-ConfigDL                    SPS-ConfigDL        OPTIONAL,      -- Need ON
    sps-ConfigUL                     SPS-ConfigUL        OPTIONAL,      -- Need ON
}

SPS-Config-v1430 ::= SEQUENCE {
    ul-SPS-V-RNTI-r14              C-RNTI          OPTIONAL,      -- Need OR
    sl-SPS-V-RNTI-r14              C-RNTI          OPTIONAL,      -- Need OR
    sps-ConfigUL-ToAddModList-r14   SPS-ConfigUL-ToAddModList-r14  OPTIONAL,      -- Need ON
    sps-ConfigUL-ToReleaseList-r14  SPS-ConfigUL-ToReleaseList-r14  OPTIONAL,      -- Need ON
    sps-ConfigSL-ToAddModList-r14   SPS-ConfigSL-ToAddModList-r14   OPTIONAL,      -- Need ON
    sps-ConfigSL-ToReleaseList-r14  SPS-ConfigSL-ToReleaseList-r14  OPTIONAL,      -- Need ON
}

SPS-ConfigUL-ToAddModList-r14 ::= SEQUENCE (SIZE (1..maxConfigSPS-r14)) OF SPS-ConfigUL
SPS-ConfigUL-ToReleaseList-r14 ::= SEQUENCE (SIZE (1..maxConfigSPS-r14)) OF SPS-ConfigIndex-r14
SPS-ConfigSL-ToAddModList-r14 ::= SEQUENCE (SIZE (1..maxConfigSPS-r14)) OF SPS-ConfigSL-r14
SPS-ConfigSL-ToReleaseList-r14 ::= SEQUENCE (SIZE (1..maxConfigSPS-r14)) OF SPS-ConfigIndex-r14

SPS-Config-v1530 ::= SEQUENCE {
    semiPersistSchedC-RNTI-r15      C-RNTI          OPTIONAL,      -- Need OR
    sps-ConfigDL-r15                SPS-ConfigDL        OPTIONAL,      -- Need ON
    sps-ConfigUL-STTI-ToAddModList-r15  SPS-ConfigUL-STTI-ToAddModList-r15  OPTIONAL,      -- Need ON
    sps-ConfigUL-STTI-ToReleaseList-r15  SPS-ConfigUL-STTI-ToReleaseList-r15  OPTIONAL,      -- Need ON
    sps-ConfigUL-ToAddModList-r15     SPS-ConfigUL-ToAddModList-r15     OPTIONAL,      -- Need ON
    sps-ConfigUL-ToReleaseList-r15     SPS-ConfigUL-ToReleaseList-r15     OPTIONAL,      -- Need ON
}

SPS-Config-v1540 ::= SEQUENCE {
    sps-ConfigDL-STTI-r15            SPS-ConfigDL-STTI-r15            OPTIONAL,      -- Need OR
}

SPS-ConfigUL-STTI-ToAddModList-r15 ::= SEQUENCE (SIZE (1..maxConfigSPS-r15)) OF SPS-ConfigUL-STTI-r15
SPS-ConfigUL-STTI-ToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxConfigSPS-r15)) OF SPS-ConfigIndex-r15
SPS-ConfigUL-ToAddModList-r15 ::= SEQUENCE (SIZE (1..maxConfigSPS-r15)) OF SPS-ConfigUL
SPS-ConfigUL-ToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxConfigSPS-r15)) OF SPS-ConfigIndex-r15

SPS-ConfigDL ::= CHOICE {
    release                          NULL,
    setup                            SEQUENCE {
        semiPersistSchedIntervalDL  ENUMERATED {
            sf10, sf20, sf32, sf40, sf64, sf80,
            sf128, sf160, sf320, sf640, spare6,
            spare5, spare4, spare3, spare2,
            spare1},
        numberOfConfSPS-Processes    INTEGER (1..8),
        n1PUCCH-AN-PersistentList    N1PUCCH-AN-PersistentList,
        ...,
        [[ twoAntennaPortActivated-r10 CHOICE {
            release                    NULL,
            setup                        SEQUENCE {
                n1PUCCH-AN-PersistentListPl-r10 N1PUCCH-AN-PersistentList
            }
        }
        ]],
    }
}

SPS-ConfigUL ::= CHOICE {
    release                          NULL,
    setup                            SEQUENCE {
        semiPersistSchedIntervalUL   ENUMERATED {
            sf10, sf20, sf32, sf40, sf64, sf80,
            sf128, sf160, sf320, sf640, sf1-v1430,
            sf2-v1430, sf3-v1430, sf4-v1430, sf5-v1430,
            spare1},
    }
}

```

```

implicitReleaseAfter      ENUMERATED {e2, e3, e4, e8},
p0-Persistent             SEQUENCE {
  p0-NominalPUSCH-Persistent  INTEGER (-126..24),
  p0-UE-PUSCH-Persistent     INTEGER (-8..7)
}
  OPTIONAL, -- Need OP
twoIntervalsConfig       ENUMERATED {true}
  OPTIONAL, -- Cond TDD
...
[[ p0-PersistentSubframeSet2-r12 CHOICE {
  release      NULL,
  setup        SEQUENCE {
    p0-NominalPUSCH-PersistentSubframeSet2-r12  INTEGER (-126..24),
    p0-UE-PUSCH-PersistentSubframeSet2-r12     INTEGER (-8..7)
  }
}
  OPTIONAL -- Need ON
]],
[[ numberOfConfULSPS-Processes-r13  INTEGER (1..8)  OPTIONAL -- Need OR
]],
[[ fixedRV-NonAdaptive-r14          ENUMERATED {true}  OPTIONAL, -- Need OR
   sps-ConfigIndex-r14              SPS-ConfigIndex-r14  OPTIONAL, -- Need OR
   semiPersistSchedIntervalUL-v1430 ENUMERATED {
     sf50, sf100, sf200, sf300, sf400, sf500,
     sf600, sf700, sf800, sf900, sf1000, spare5,
     spare4, spare3, spare2, spare1} OPTIONAL -- Need OR
  ]],
[[ cyclicShiftSPS-r15              ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
  OPTIONAL, -- Need ON
   harq-ProcID-Offset-r15          INTEGER (0..7)  OPTIONAL, -- Need ON
   rv-SPS-UL-Repetitions-r15      ENUMERATED {ulrvseq1, ulrvseq2, ulrvseq3} OPTIONAL,
-- Need ON
   tpc-PDCCH-ConfigPUSCH-SPS-r15  TPC-PDCCH-Config  OPTIONAL, -- Need ON
   totalNumberPUSCH-SPS-UL-Repetitions-r15  ENUMERATED {n2,n3,n4,n6}  OPTIONAL, -- Need ON
   sps-ConfigIndex-r15            SPS-ConfigIndex-r15  OPTIONAL -- Cond SPS
  ]],
}
}

SPS-ConfigSL-r14 ::= SEQUENCE {
  sps-ConfigIndex-r14      SPS-ConfigIndex-r14,
  semiPersistSchedIntervalSL-r14  ENUMERATED {
    sf20, sf50, sf100, sf200, sf300, sf400,
    sf500, sf600, sf700, sf800, sf900, sf1000,
    spare4, spare3, spare2, spare1}
}

SPS-ConfigIndex-r14 ::= INTEGER (1..maxConfigSPS-r14)
SPS-ConfigIndex-r15 ::= INTEGER (1..maxConfigSPS-r15)
N1PUCCH-AN-PersistentList ::= SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
N1SPUCCH-AN-PersistentList-r15 ::= SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
SPS-ConfigDL-STTI-r15 ::= CHOICE{
  release      NULL,
  setup        SEQUENCE {
    semiPersistSchedIntervalDL-STTI-r15  ENUMERATED {
      sTTI1, sTTI2, sTTI3, sTTI4, sTTI6, sTTI8, sTTI12,
      sTTI16,
      sTTI20, sTTI40, sTTI60, sTTI80, sTTI120, sTTI240,
      spare2, spare1},
    numberOfConfSPS-Processes-STTI-r15  INTEGER (1..12),
    twoAntennaPortActivated-r15        CHOICE {
      release      NULL,
      setup        SEQUENCE {
        n1SPUCCH-AN-PersistentListP1-r15  N1SPUCCH-AN-PersistentList-r15
      }
    }
  }
  OPTIONAL, -- Need ON
  sTTI-StartTimeDL-r15                INTEGER (0..5),
  tpc-PDCCH-ConfigPUCCH-SPS-r15      TPC-PDCCH-Config  OPTIONAL, -- Need ON
  ...
}
}

SPS-ConfigUL-STTI-r15 ::= CHOICE {
  release      NULL,
  setup        SEQUENCE {
    semiPersistSchedIntervalUL-STTI-r15  ENUMERATED {

```

```

sTTI16,
    sTTI1, sTTI2, sTTI3, sTTI4, sTTI6, sTTI8, sTTI12,
    sTTI20, sTTI40, sTTI60, sTTI80, sTTI120, sTTI240,
    spare2, spare1},
    implicitReleaseAfter      ENUMERATED {e2, e3, e4, e8},
    p0-Persistent-r15         SEQUENCE {
        p0-NominalSPUSCH-Persistent-r15  INTEGER (-126..24),
        p0-UE-SPUSCH-Persistent-r15     INTEGER (-8..7)
    }
    twoIntervalsConfig-r15   ENUMERATED {true}
    p0-PersistentSubframeSet2-r15 CHOICE {
        release              NULL,
        setup                SEQUENCE {
            p0-NominalSPUSCH-PersistentSubframeSet2-r15  INTEGER (-126..24),
            p0-UE-SPUSCH-PersistentSubframeSet2-r15     INTEGER (-8..7)
        }
    }
    numberOfConfUL-SPS-Processes-STTI-r15  INTEGER (1..12)
    sTTI-StartTimeUL-r15                   INTEGER (0..5),
    tpc-PDCCH-ConfigPUSCH-SPS-r15         TPC-PDCCH-Config
    cyclicShiftSPS-sTTI-r15               ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
    ifdma-Config-SPS-r15                   BOOLEAN
    harq-ProcID-offset-r15                 INTEGER (0..15)
    rv-SPS-STTI-UL-Repetitions-r15        ENUMERATED {ulrvseq1, ulrvseq2, ulrvseq3}
Need ON
    sps-ConfigIndex-r15                    SPS-ConfigIndex-r15
    tbs-scalingFactorSubslotSPS-UL-Repetitions-r15  ENUMERATED {n6, n12}
Need ON
    totalNumberPUSCH-SPS-STTI-UL-Repetitions-r15  ENUMERATED {n2,n3,n4,n6}
Need ON
    ...
}
-- ASN1STOP

```

| <b>SPS-Config field descriptions</b>   |
|--|
| <p><b><i>cyclicShiftSPS, cyclicShiftSPS-sTTI,</i></b><br/>Indicates the cyclic shift <math>n_{\text{DMRS}}^{(2)}</math> to be used for the UE-specific reference signal in case of UL SPS, see TS 36.211 [5] clause 5.2.1.1.</p>   |
| <p><b><i>fixedRV-NonAdaptive</i></b><br/>If this field is present and <i>skipUplinkTxSPS</i> is configured, non-adaptive retransmissions on configured uplink grant uses redundancy version 0, otherwise the redundancy version for each retransmission is updated based on the sequence of redundancy versions as described in TS 36.321 [6].</p>   |
| <p><b><i>harq-ProclD-offset</i></b><br/>If configured, this field indicates the offset used in deriving the HARQ process IDs, see TS 36.321 [6], clause 5.4.1.</p>   |
| <p><b><i>lfdma-Config-SPS</i></b><br/>Indicated <math>\varpi</math> to be used for the UE-specific reference signal in case of UL SPS see TS 36.211 [5], clause 5.2,1.1.</p>   |
| <p><b><i>implicitReleaseAfter</i></b><br/>Number of empty transmissions before implicit release, see TS 36.321 [6], clause 5.10.2. Value e2 corresponds to 2 transmissions, e3 corresponds to 3 transmissions and so on. If <i>skipUplinkTxSPS</i> is configured, the UE shall ignore this field.</p>  |
| <p><b><i>n1PUCCH-AN-PersistentList, n1PUCCH-AN-PersistentListP1</i></b><br/>List of parameter: <math>n_{\text{PUCCH}}^{(1,p)}</math> for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1. Field <i>n1-PUCCH-AN-PersistentListP1</i> is applicable only if the <i>twoAntennaPortActivatedPUCCH-Format1a1b</i> in <i>PUCCH-ConfigDedicated-v1020</i> is set to <i>true</i>. Otherwise the field is not configured.</p>   |
| <p><b><i>numberOfConfSPS-Processes</i></b><br/>The number of configured HARQ processes for downlink Semi-Persistent Scheduling, see TS 36.321 [6].</p>   |
| <p><b><i>numberOfConfSPS-Processes-sTTI</i></b><br/>The number of configured HARQ processes for downlink Semi-Persistent Scheduling for sTTI in DL, see TS 36.321 [6].</p>   |
| <p><b><i>numberOfConfUISPS-Processes</i></b><br/>The number of configured HARQ processes for uplink Semi-Persistent Scheduling, see TS 36.321 [6]. E-UTRAN always configures this field for asynchronous UL HARQ. Otherwise it does not configure this field.</p>  |
| <p><b><i>numberOfConfUL-SPS-Processes-sTTI</i></b><br/>The number of configured HARQ processes for uplink Semi-Persistent Scheduling for sTTI in UL, see TS 36.321 [6]. E-UTRAN always configures this field for asynchronous UL HARQ. Otherwise it does not configure this field.</p>   |
| <p><b><i>p0-NominalPUSCH-Persistent, p0-NominalSPUSCH-Persistent</i></b><br/>Parameter: <math>P_{\text{O\_NOMINAL\_PUSCH}}(0)</math>. See TS 36.213 [23], clause 5.1.1.1, unit dBm step 1. This field is applicable for persistent scheduling, only. If choice setup is used and <i>p0-Persistent</i> is absent, apply the value of <i>p0-NominalPUSCH</i> for <i>p0-NominalPUSCH-Persistent</i>. If uplink power control subframe sets are configured by <i>tpc-SubframeSet</i>, this field applies for uplink power control subframe set 1.</p>  |
| <p><b><i>p0-NominalPUSCH-PersistentSubframeSet2, p0-NominalSPUSCH-PersistentSubframeSet2</i></b><br/>Parameter: <math>P_{\text{O\_NOMINAL\_PUSCH}}(0)</math>. See TS 36.213 [23], clause 5.1.1.1, unit dBm step 1. This field is applicable for persistent scheduling, only. If <i>p0-PersistentSubframeSet2-r12</i> is not configured, apply the value of <i>p0-NominalPUSCH-SubframeSet2-r12</i> for <i>p0-NominalPUSCH-PersistentSubframeSet2</i>. E-UTRAN configures this field only if uplink power control subframe sets are configured by <i>tpc-SubframeSet</i>, in which case this field applies for uplink power control subframe set 2.</p> |
| <p><b><i>p0-UE-PUSCH-Persistent</i></b><br/>Parameter: <math>P_{\text{O\_UE\_PUSCH}}(0)</math>. See TS 36.213 [23], clause 5.1.1.1, unit dB. This field is applicable for persistent scheduling, only. If choice setup is used and <i>p0-Persistent</i> is absent, apply the value of <i>p0-UE-PUSCH</i> for <i>p0-UE-PUSCH-Persistent</i>. If uplink power control subframe sets are configured by <i>tpc-SubframeSet</i>, this field applies for uplink power control subframe set 1.</p>  |
| <p><b><i>p0-UE-PUSCH-PersistentSubframeSet2</i></b><br/>Parameter: <math>P_{\text{O\_UE\_PUSCH}}(0)</math>. See TS 36.213 [23], clause 5.1.1.1, unit dB. This field is applicable for persistent scheduling, only. If <i>p0-PersistentSubframeSet2-r12</i> is not configured, apply the value of <i>p0-UE-PUSCH-SubframeSet2</i> for <i>p0-UE-PUSCH-PersistentSubframeSet2</i>. E-UTRAN configures this field only if uplink power control subframe sets are configured by <i>tpc-SubframeSet</i>, in which case this field applies for uplink power control subframe set 2.</p>   |
| <p><b><i>rv-SPS-sTTI-UL-Repetitions</i></b><br/>Indicates the RV sequence of slot or subslot PUSCH for slot or subslot UL SPS repetitions. Value <i>ulrvseq1</i>= {0, 0, 0, 0, 0}, value <i>ulrvseq2</i>= {0, 2, 3, 1, 0, 2} and value <i>ulrvseq3</i>= {0, 3, 0, 3, 0, 3}.</p>  |
| <p><b><i>rv-SPS-UL-Repetitions</i></b><br/>Indicates the RV sequence of PUSCH for subframe UL SPS repetitions. Value <i>ulrvseq1</i>= {0, 0, 0, 0, 0, 0}, value <i>ulrvseq2</i>= {0, 2, 3, 1, 0, 2} and value <i>ulrvseq3</i>= {0, 3, 0, 3, 0, 3}.</p>   |
| <p><b><i>semiPersistSchedC-RNTI</i></b><br/>Semi-persistent Scheduling C-RNTI, see TS 36.321 [6]. If <i>sps-Config</i> is present for more than one cells in the same cell group, <i>semiPersistSchedC-RNTI</i> is present in only one <i>sps-Config</i>.</p>  |

| <b>SPS-Config field descriptions</b>   |
|--|
| <p><b><i>semiPersistSchedIntervalDL</i></b><br/>Semi-persistent scheduling interval in downlink, see TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. For TDD, the UE shall round this parameter down to the nearest integer (of 10 sub-frames), e.g. sf10 corresponds to 10 sub-frames, sf32 corresponds to 30 sub-frames, sf128 corresponds to 120 sub-frames.</p>  |
| <p><b><i>semiPersistSchedIntervalDL-STTI</i></b><br/>Semi-persistent scheduling interval for sTTI in downlink, see TS 36.321 [6]. Value in number of sTTI. Value sTTI1 corresponds to a spacing of 1 sTTI interval, sTTI2 corresponds to 2 spacings of sTTI intervals and so on, e.g. sTTI1 equal to sub-slot of 2 symbols or 3 symbols when the type of 2OS sTTI is configured, or e.g. sTTI1 equal to slot of 7 symbols when type of 7OS sTTI is configured. SPS for sTTI is not supported for TDD.</p>  |
| <p><b><i>semiPersistSchedIntervalSL</i></b><br/>Semi-persistent scheduling interval in sidelink, see TS 36.321 [6]. Value in number of sub-frames. Value sf20 corresponds to 20 sub-frames, sf50 corresponds to 50 sub-frames and so on.</p>   |
| <p><b><i>semiPersistSchedIntervalUL</i></b><br/>Semi-persistent scheduling interval in uplink, see TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. For TDD, when the configured Semi-persistent scheduling interval is greater than or equal to 10 sub-frames, the UE shall round this parameter down to the nearest integer (of 10 sub-frames), e.g. sf10 corresponds to 10 sub-frames, sf32 corresponds to 30 sub-frames, sf128 corresponds to 120 sub-frames. If <i>semiPersistSchedIntervalUL-v1430</i> is configured, the UE only considers this extension (and ignores <i>semiPersistSchedIntervalUL</i> i.e. without suffix).</p> |
| <p><b><i>semiPersistSchedIntervalUL-STTI</i></b><br/>Semi-persistent scheduling interval for sTTI in uplink, see TS 36.321 [6]. Value in number of sTTI. Value sTTI1 corresponds to a spacing of 1 sTTI interval, sTTI2 corresponds to 2 spacings of sTTI intervals and so on, e.g. sTTI1 equal to sub-slot of 2 symbols or 3 symbols when the type of 2OS sTTI is configured, or e.g. sTTI1 equal to slot of 7 symbols when type of 7OS sTTI is configured. SPS for sTTI is not supported for TDD.</p>  |
| <p><b><i>sl-SPS-V-RNTI</i></b><br/>SL Semi-Persistent Scheduling V-RNTI for V2X sidelink communication, see TS 36.321 [6].</p>   |
| <p><b><i>sps-ConfigIndex</i></b><br/>Indicates the index of one of multiple SL/UL SPS configurations.</p>  |
| <p><b><i>sps-ConfigDL-STTI</i></b><br/>If <i>sps-ConfigDL-sTTI-r15</i> is signalled, the UE ignores <i>sps-ConfigDL</i>.</p>   |
| <p><b><i>sps-ConfigSL-ToAddModList</i></b><br/>Indicates the SL SPS configurations to be added or modified, identified by <i>SPS-ConfigIndex</i>.</p>  |
| <p><b><i>sps-ConfigSL-ToReleaseList</i></b><br/>Indicates the SL SPS configurations to be released, identified by <i>SPS-ConfigIndex</i>.</p>  |
| <p><b><i>sps-ConfigUL-STTI-ToAddModList</i></b><br/>Indicates the UL sTTI SPS configurations to be added or modified, identified by <i>SPS-ConfigIndex</i>. If this list includes more than one entry, E-UTRAN includes <i>totalNumberPUSCH-SPS-STTI-UL-Repetitions</i> in each entry.</p>   |
| <p><b><i>sps-ConfigUL-STTI-ToReleaseList</i></b><br/>Indicates the UL sTTI SPS configurations to be released, identified by <i>SPS-ConfigIndex</i>.</p>  |
| <p><b><i>sps-ConfigUL-ToAddModList</i></b><br/>Indicates the UL SPS configurations to be added or modified, identified by <i>SPS-ConfigIndex</i>. If this list includes more than one entry, E-UTRAN includes <i>totalNumberPUSCH-SPS-UL-Repetitions</i> in each entry.</p>  |
| <p><b><i>sps-ConfigUL-ToReleaseList</i></b><br/>Indicates the UL SPS configurations to be released, identified by <i>SPS-ConfigIndex</i>.</p>  |
| <p><b><i>sTTI-StartTimeDL</i></b><br/>Indicates the DL sTTI index start offset for SPS (re-)initialization, see TS 36.321 [6].</p>   |
| <p><b><i>sTTI-StartTimeUL</i></b><br/>Indicates the UL sTTI index start offset for SPS (re-)initialization, see TS 36.321 [6].</p>   |
| <p><b><i>tbs-scalingFactorSubslotSPS-UL-Repetitions</i></b><br/>Indicates the TBS scaling factor of subslot PUSCH for UL SPS repetitions. Value n6 corresponds to 1/6 and value n12 corresponds to 1/12.</p>   |
| <p><b><i>totalNumberPUSCH-SPS-STTI-UL-Repetitions</i></b><br/>Indicates the total number of UL transmissions for slot or subslot UL SPS repetitions. If the UE is configured with UL SPS and the configured number of SPS PUSCH transmissions <math>k &gt; 1</math>, simultaneous transmission of PUSCH and PUCCH is not configured.</p>   |
| <p><b><i>totalNumberPUSCH-SPS-UL-Repetitions</i></b><br/>Indicates the total number of UL transmissions for subframe UL SPS repetitions. If the UE is configured with UL SPS and the configured number of SPS PUSCH transmissions <math>k &gt; 1</math>, simultaneous transmission of PUSCH and PUCCH is not configured.</p>   |
| <p><b><i>tpc-PDCCH-ConfigPUCCH-SPS</i></b><br/>PDCCH configuration for power control of slot/subslot-PUCCH using format 3/3A, see TS 36.212 [22], when <i>SPS-ConfigDL-STTI</i> is configured.</p>   |

| <b>SPS-Config field descriptions</b>    |   |
|---|---|
| <b><i>tpc-PDCCH-ConfigPUSCH-SPS</i></b> | PDCCH configuration for power control of slot/subslot-PUSCH using format 3/3A, see TS 36.212 [22], when <i>SPS-ConfigUL-STTI</i> is configured. If a UE is configured with multiple UL SPS configurations in a serving cell, the same TPC index for DCI format 3/3A applies to all the UL SPS configurations in the serving cell. |
| <b><i>twoIntervalsConfig</i></b>        | Trigger of two-intervals-Semi-Persistent Scheduling in uplink. See TS 36.321 [6], clause 5.10. If this field is present and the configured Semi-persistent scheduling interval greater than or equal to 10 sub-frames, two-intervals-SPS is enabled for uplink. Otherwise, two-intervals-SPS is disabled.                         |
| <b><i>ul-SPS-V-RNTI</i></b>             | UL Semi-Persistent Scheduling V-RNTI for UEs capable of multiple uplink SPS configurations and which support V2X communication, see TS 36.321 [6].  |

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>TDD</i>                  | This field is optional present for TDD, need OR; it is not present for FDD and the UE shall delete any existing value for this field. |
| <i>SPS</i>                  | This field is optional present if <i>sps-ConfigIndex-r14</i> is not configured, need OR; otherwise it is not present.                 |

## – *SPUCCH-Config*

The IE *SPUCCH-Config* is used to specify the UE specific SPUCCH configuration.

### ***SPUCCH-Config* information element**

```
-- ASN1START

SPUCCH-Config-r15 ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        spucch-Set-r15          SPUCCH-Set-r15          OPTIONAL, -- Need ON
        twoAntennaPortActivatedSPUCCH-Format1alb-r15  ENUMERATED {true}  OPTIONAL, -- Need OR
        dummy                 SEQUENCE {
            n3SPUCCH-AN-List-r15  SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
        }
    }
}

SPUCCH-Config-v1550 ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        twoAntennaPortActivatedSPUCCH-Format3-v1550  SEQUENCE {
            n3SPUCCH-AN-List-v1550  SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
        }
    }
}

SPUCCH-Set-r15 ::= SEQUENCE (SIZE (1..4)) OF SPUCCH-Elements-r15

SPUCCH-Elements-r15 ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        n1SubslotSPUCCH-AN-List-r15  SEQUENCE (SIZE(1..4)) OF INTEGER (0..1319) OPTIONAL, -- Need
OR
        n1SlotSPUCCH-FH-AN-List-r15  INTEGER (0..1319)          OPTIONAL, -- Need OR
        n1SlotSPUCCH-NoFH-AN-List-r15  INTEGER (0..3959)          OPTIONAL, -- Need OR
        n3SPUCCH-AN-List-r15          INTEGER (0..549)          OPTIONAL, -- Need OR
        n4SPUCCHslot-Resource-r15     SEQUENCE (SIZE(1..2)) OF N4SPUCCH-Resource-r15  OPTIONAL, --
Need OR
        n4SPUCCHSubslot-Resource-r15  SEQUENCE (SIZE(1..2)) OF N4SPUCCH-Resource-r15  OPTIONAL, --
Need OR
        n4maxCoderateSlotPUCCH-r15    INTEGER (0..7)          OPTIONAL, -- Need OR
        n4maxCoderateSubslotPUCCH-r15  INTEGER (0..7)          OPTIONAL, -- Need OR
        n4maxCoderateMultiResourceSlotPUCCH-r15  INTEGER (0..7)          OPTIONAL, -- Need OR
        n4maxCoderateMultiResourceSubslotPUCCH-r15  INTEGER (0..7)          OPTIONAL, -- Need OR
    }
}

N4SPUCCH-Resource-r15 ::= SEQUENCE {
    n4startingPRB-r15  INTEGER (0..109),
```

```

n4numberOfPRB-r15                INTEGER (0..7)
}
-- ASN1STOP

```

### SPUCCH-Config field descriptions

|   |
|---|
| <b>dummy</b>  |
| This field is not used in the specification. If received it shall be ignored by the UE.   |
| <b>n1SlotSPUCCH-FH-AN-List</b>  |
| Resource configuration for slot-SPUCCH format 1 when frequency hopping is enabled. Parameter: $n_{SPUCCH}^{(1,p)}$ for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.   |
| <b>n1SlotSPUCCH-NoFH-AN-List</b>  |
| Resource configuration for slot-SPUCCH format 1 when frequency hopping is disabled. Parameter: $n_{SPUCCH}^{(3,p)}$ for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.  |
| <b>n1SubslotSPUCCH-AN-List</b>  |
| Resource configuration for subslot-SPUCCH format 1. Parameter: $n_{SPUCCH}^{(1,p)}$ for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.  |
| <b>n3SPUCCH-AN-List</b>   |
| Resource index for slot-SPUCCH format 3: $n_{SPUCCH}^{(3,p)}$ , see TS 36.213 [23], clause 10.1.  |
| <b>n4maxCoderateSlotPUCCH, n4maxCoderateSubslotPUCCH</b>  |
| Indicates the maximum coding rate for slot-PUCCH and subslot-PUCCH format 4 transmission.   |
| <b>n4maxCoderateMultiResourceSlotPUCCH, n4maxCoderateMultiResourceSubslotPUCCH</b>  |
| Indicates the maximum coding rate for slot-PUCCH and subslot-PUCCH format 4 transmission in case of multiple resource configuration.  |
| <b>n4numberOfPRB, n4numberOfPRBSubslot</b>  |
| Parameter $n_{SPUCCH}^{(4)}$ see TS 36.213 [23], Table 10.1.1-2 for determining SPUCCH resource(s) of SPUCCH format 4.  |
| <b>n4startingPRB</b>  |
| Parameter $n_{SPUCCH}^{(4)}$ see TS 36.211 [21], clause 5.4A.3 for determining SPUCCH resource(s) of SPUCCH format 4.   |
| <b>twoAntennaPortActivatedSPUCCH-Format1a1b</b>   |
| Indicates whether two antenna ports are configured for SPUCCH format 1a/1b for HARQ-ACK, see TS 36.213 [23], clause 10.1. The field also applies for SPUCCH format 1a/1b transmission when <i>format3</i> is configured, see TS 36.213 [23], clauses 10.1.2.2.2 and 10.1.3.2.2. |
| <b>twoAntennaPortActivatedSPUCCH-Format3</b>  |
| Indicates whether two antenna ports are configured for SPUCCH format 3 for HARQ-ACK, see TS 36.213 [23], clause 10.1.   |

### SRS-TPC-PDCCH-Config

The IE *SRS-TPC-PDCCH-Config* is used to specify the RNTIs and indexes for A-SRS trigger and TPC according to TS 36.212 [22].

### SRS-TPC-PDCCH-Config information element

```

-- ASN1START
SRS-TPC-PDCCH-Config-r14 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    srs-TPC-RNTI-r14          BIT STRING (SIZE (16)),
    startingBitOfFormat3B-r14 INTEGER (0..31),
    fieldTypeFormat3B-r14    INTEGER (1..4),
    srs-CC-SetIndexlist-r14  SEQUENCE (SIZE(1..4)) OF SRS-CC-SetIndex-r14
  }
  OPTIONAL -- Cond SRS-Trigger-TypeA
}
SRS-CC-SetIndex-r14 ::= SEQUENCE {
  cc-SetIndex-r14          INTEGER (0..3),
  cc-IndexInOneCC-Set-r14 INTEGER (0..7)
}
-- ASN1STOP

```

| <b>SRS-TPC-PDCCH-Config field descriptions</b> |  |
|--|--|
| <b>cc-IndexInOneCC-Set</b>                     | Indicates the CC index in one CC set for Type A associated with the group DCI with SRS request field (optional) and TPC commands for a PUSCH-less SCell  |
| <b>cc-SetIndex</b>                             | Indicates the CC set index for Type A associated with the group DCI with SRS request field (optional) and TPC commands for a PUSCH-less SCell.   |
| <b>fieldTypeFormat3B</b>                       | The type of a field within the group DCI with SRS request fields (optional) and TPC commands for a PUSCH-less SCell, which indicates how many bits in the field are for SRS request (0 or 1/2) and how many bits in the field are for TPC (1 or 2). Note that for Type A, there is a common SRS request field for all SCells in the set, but each SCell has its own TPC command bits. See TS 36.212 [22], clause 5.3.3.1.7A. EUTRAN configures this field with the same value for all PUSCH-less SCells. |
| <b>srs-CC-SetIndexlist</b>                     | Indicates the index of the <i>SRS-TPC-PDCCH-Config</i> for Type A trigger by the group DCI with SRS request field (optional) and TPC commands for a PUSCH-less SCell. Each set may contain at most 8 CCs.  |
| <b>srs-TPC-RNTI</b>                            | RNTI for SRS trigger and power control using DCI format 3B, see TS 36.212 [22], clause 5.1.3.1.  |
| <b>startingBitOfFormat3B</b>                   | The starting bit position of a block within the group DCI with SRS request fields (optional) and TPC commands for a PUSCH-less SCell.  |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>SRS-Trigger-TypeA</i>    | The field is mandatory present if <i>typeA-SRS-TPC-PDCCH-Group-r14</i> is present. Otherwise the field is not present and the UE shall delete any existing value for this field. |

## *TDD-Config*

The IE *TDD-Config* is used to specify the TDD specific physical channel configuration.

### **TDD-Config information element**

```

-- ASN1START
TDD-Config ::=
    SEQUENCE {
        subframeAssignment          ENUMERATED {
            sa0, sa1, sa2, sa3, sa4, sa5, sa6},
        specialSubframePatterns    ENUMERATED {
            ssp0, ssp1, ssp2, ssp3, ssp4, ssp5, ssp6, ssp7,
            ssp8}
    }

TDD-Config-v1130 ::=
    SEQUENCE {
        specialSubframePatterns-v1130  ENUMERATED {ssp7, ssp9}
    }

TDD-Config-v1430 ::=
    SEQUENCE {
        specialSubframePatterns-v1430  ENUMERATED {ssp10}
    }

TDD-Config-v1450 ::=
    SEQUENCE {
        specialSubframePatterns-v1450  ENUMERATED {ssp10-CRS-LessDwPTS}
    }

TDD-ConfigSL-r12 ::=
    SEQUENCE {
        subframeAssignmentSL-r12      ENUMERATED {
            none, sa0, sa1, sa2, sa3, sa4, sa5, sa6}
    }

SubframeAssignment-r15 ::=
    ENUMERATED {sa0, sa1, sa2, sa3, sa4, sa5, sa6}
-- ASN1STOP

```



| <b>TDD-Config field descriptions</b>  |
|---|
| <p><b>specialSubframePatterns</b><br/>Indicates Configuration as in TS 36.211 [21], table 4.2-1, where <i>ssp0</i> points to Configuration 0, <i>ssp1</i> to Configuration 1 etc. Value <i>ssp7</i> points to Configuration 7 for extended cyclic prefix, value <i>ssp9</i> points to Configuration 9 for normal cyclic prefix and value <i>ssp10</i> points to Configuration 10 for normal cyclic prefix. Value <i>ssp10-CRS-LessDwPTS</i> corresponds to <i>ssp10</i> without CRS transmission on the 5th symbol of DwPTS. E-UTRAN signals <i>ssp7</i> only when setting <i>specialSubframePatterns</i> (without suffix i.e. the version defined in REL-8) to <i>ssp4</i>. E-UTRAN signals value <i>ssp9</i> only when setting <i>specialSubframePatterns</i> (without suffix) to <i>ssp5</i>. E-UTRAN signals value <i>ssp10</i> or <i>ssp10-CRS-LessDwPTS</i> only when setting <i>specialSubframePatterns</i> (without suffix) to <i>ssp0</i> or <i>ssp5</i>. If <i>specialSubframePatterns-v1130</i>, <i>specialSubframePatterns-v1430</i>, or <i>specialSubframePatterns-v1450</i> is present, the UE shall ignore <i>specialSubframePatterns</i> (without suffix). If <i>specialSubframePatterns-v1430</i> or <i>specialSubframePatterns-v1450</i> is present, the UE shall ignore <i>specialSubframePatterns-v1130</i>. E-UTRAN does not simultaneously configure <i>TDD-Config-v1430</i> and <i>TDD-Config-v1450</i>.</p> |
| <p><b>subframeAssignment</b><br/>Indicates DL/UL subframe configuration where <i>sa0</i> points to Configuration 0, <i>sa1</i> to Configuration 1 etc. as specified in TS 36.211 [21], table 4.2-2. E-UTRAN configures the same value for serving cells residing on same frequency band.</p>  |
| <p><b>subframeAssignmentSL</b><br/>Indicates UL/ DL subframe configuration where <i>sa0</i> points to Configuration 0, <i>sa1</i> to Configuration 1 etc. as specified in TS 36.211 [21], table 4.2-2. The value <i>none</i> means that no TDD specific physical channel configuration is applicable (i.e. the carrier on which <i>MasterInformationBlock-SL</i> is transmitted is an FDD UL carrier or the carrier on which <i>MasterInformationBlock-SL-V2X</i> is transmitted is a carrier for V2X sidelink communication).</p>  |

## – *TimeAlignmentTimer*

The IE *TimeAlignmentTimer* is used to control how long the UE considers the serving cells belonging to the associated TAG to be uplink time aligned. Corresponds to the Timer for time alignment in TS 36.321 [6]. Value in number of sub-frames. Value *sf500* corresponds to 500 sub-frames, *sf750* corresponds to 750 sub-frames and so on.

### ***TimeAlignmentTimer* information element**

```
-- ASN1START
TimeAlignmentTimer ::=
    ENUMERATED {
        sf500, sf750, sf1280, sf1920, sf2560, sf5120,
        sf10240, infinity}
-- ASN1STOP
```

## – *TimeReferenceInfo*

### ***TimeReferenceInfo* information elements**

```
-- ASN1START
TimeReferenceInfo-r15 ::=
    SEQUENCE {
        time-r15                ReferenceTime-r15,
        uncertainty-r15         INTEGER (0..12)                OPTIONAL, -- Need OR
        timeInfoType-r15       ENUMERATED {localClock}           OPTIONAL, -- Need OR
        referenceSFN-r15       INTEGER (0..1023)                 OPTIONAL, -- Cond TimeRef
    }
ReferenceTime-r15 ::=
    SEQUENCE {
        refDays-r15            INTEGER (0..72999),
        refSeconds-r15         INTEGER (0..86399),
        refMilliSeconds-r15    INTEGER (0..999),
        refQuarterMicroSeconds-r15 INTEGER (0..3999)
    }
-- ASN1STOP
```

| <i>TimeReferenceInfo</i> field descriptions |  |
|---|--|
| <b>referenceSFN</b>                         | <p>This field indicates the reference SFN for time reference information. The <i>time</i> field indicates the time at the ending boundary of the SFN indicated by <i>referenceSFN</i>. The UE considers the frame indicated by the <i>referenceSFN</i> nearest to the frame where the field is received.</p> <p>If the <i>time</i> field is included in <i>SystemInformationBlockType16</i> and the <i>referenceSFN</i> field is not included, the <i>time</i> field indicates the time at the SFN boundary at or immediately after the ending boundary of the SI-window in which <i>SystemInformationBlockType16</i> is transmitted.</p>  |
| <b>time, timeInfoType</b>                   | <p>This field indicates time reference with 0.25 us granularity. The indicated time is referenced at the network, i.e., without compensating for RF propagation delay. The indicated time in 0.25 us unit from the origin is <math>refDays * 86400 * 1000 * 4000 + refSeconds * 1000 * 4000 + refMilliSeconds * 4000 + refQuarterMicroSeconds</math>. The <i>refDays</i> field specifies the sequential number of days (with day count starting at 0) from the origin of the <i>time</i> field. If <i>timeInfoType</i> is not included, the origin of the <i>time</i> field is 00:00:00 on Gregorian calendar date 6 January, 1980 (start of GPS time). If <i>timeInfoType</i> is set to <i>localClock</i>, the interpretation of the origin of the <i>time</i> is unspecified and left up to upper layers.</p> <p>If <i>time</i> field is included in <i>SystemInformationBlockType16</i>, this field is excluded when estimating changes in system information, i.e. changes of <i>time</i> should neither result in system information change notifications nor in a modification of <i>systemInfoValueTag</i> in SIB1.</p> |
| <b>uncertainty</b>                          | <p>This field indicates the number of LSBs which may be inaccurate in the <i>refQuarterMicroSeconds</i> field. If <i>uncertainty</i> is absent, the uncertainty of <i>refQuarterMicroSeconds</i> is not specified.</p>   |

| Conditional presence | Explanation   |
|----------------------|---|
| <i>TimeRef</i>       | The field is mandatory present if <i>TimeReferenceInfo</i> is included in <i>DLInformationTransfer</i> message; otherwise the field is not present. |

## – TPC-PDCCH-Config

The IE *TPC-PDCCH-Config* is used to specify the RNTIs and indexes for PUCCH and PUSCH power control according to TS 36.212 [22]. The power control function can either be setup or released with the IE.

### TPC-PDCCH-Config information element

```
-- ASN1START
TPC-PDCCH-Config ::=
    CHOICE {
        release
        setup
            tpc-RNTI
            tpc-Index
    }
TPC-PDCCH-ConfigSCell-r13 ::=
    CHOICE {
        release
        setup
            tpc-Index-PUCCH-SCell-r13
    }
TPC-Index ::=
    CHOICE {
        indexOfFormat3
        indexOfFormat3A
    }
-- ASN1STOP
```

| <b>TPC-PDCCH-Config field descriptions</b>   |
|--|
| <b><i>indexOfFormat3</i></b><br>Index of N when DCI format 3 is used. See TS 36.212 [22], clause 5.3.3.1.6.  |
| <b><i>IndexOfFormat3A</i></b><br>Index of M when DCI format 3A is used. See TS 36.212 [22], clause 5.3.3.1.7.  |
| <b><i>tpc-Index</i></b><br>Index of N or M, see TS 36.212 [22], clauses 5.3.3.1.6 and 5.3.3.1.7, where N or M is dependent on the used DCI format (i.e. format 3 or 3a).             |
| <b><i>tpc-Index-PUCCH-SCell</i></b><br>Index of N or M, see TS 36.212 [22], clauses 5.3.3.1.6 and 5.3.3.1.7, where N or M is dependent on the used DCI format (i.e. format 3 or 3a). |
| <b><i>tpc-RNTI</i></b><br>RNTI for power control using DCI format 3/3A, see TS 36.212 [22].  |

## – *TunnelConfigLWIP*

The IE *TunnelConfigLWIP* is used to setup/release LWIP Tunnel.

```
-- ASN1START
TunnelConfigLWIP-r13 ::= SEQUENCE {
    ip-Address-r13          IP-Address-r13,
    ike-Identity-r13       IKE-Identity-r13,
    ...,
    [[ lwip-Counter-r13    INTEGER (0..65535)      OPTIONAL    -- Cond LWIP-Setup
    ]]
}

IKE-Identity-r13 ::= SEQUENCE {
    idI-r13                OCTET STRING
}

IP-Address-r13 ::= CHOICE {
    ipv4-r13               BIT STRING (SIZE (32)),
    ipv6-r13               BIT STRING (SIZE (128))
}
-- ASN1STOP
```

| <b>TunnelConfigLWIP field descriptions</b>  |
|---|
| <b><i>ip-Address</i></b><br>Parameter indicates the LWIP-SeGW IP Address to be used by the UE for initiating LWIP Tunnel establishment [32].                        |
| <b><i>ike-Identity</i></b><br>Parameter indicates the IKE Identity elements (IDi) to be used in IKE Authentication Procedures [32].                                 |
| <b><i>lwip-Counter</i></b><br>Indicates the parameter used by UE for computing the security keys used in LWIP tunnel establishment, as specified in TS 33.401 [32]. |

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>LWIP-Setup</i>           | The field is mandatory present upon setup of LWIP tunnel. Otherwise the field is optional, Need ON. |

## – *UplinkPowerControl*

The IE *UplinkPowerControlCommon* and IE *UplinkPowerControlDedicated* are used to specify parameters for uplink power control in the system information and in the dedicated signalling, respectively.

### **UplinkPowerControl information elements**

```
-- ASN1START
UplinkPowerControlCommon ::= SEQUENCE {
    p0-NominalPUSCH        INTEGER (-126..24),
    alpha                  Alpha-r12,

```

```

    p0-NominalPUCCH                INTEGER (-127..-96),
    deltaFList-PUCCH                DeltaFList-PUCCH,
    deltaPreambleMsg3               INTEGER (-1..6)
}

UplinkPowerControlCommon-v1020 ::= SEQUENCE {
    deltaF-PUCCH-Format3-r10        ENUMERATED {deltaF-1, deltaF0, deltaF1, deltaF2,
                                                deltaF3, deltaF4, deltaF5, deltaF6},
    deltaF-PUCCH-Format1bCS-r10     ENUMERATED {deltaF1, deltaF2, spare2, spare1}
}

UplinkPowerControlCommon-v1310 ::= SEQUENCE {
    deltaF-PUCCH-Format4-r13        ENUMERATED {deltaF16, deltaF15, deltaF14, deltaF13, deltaF12,
                                                deltaF11, deltaF10, spare1}          OPTIONAL, -- Need OR
    deltaF-PUCCH-Format5-13        ENUMERATED {deltaF13, deltaF12, deltaF11, deltaF10, deltaF9,
                                                deltaF8, deltaF7, spare1}          OPTIONAL, -- Need OR
}

UplinkPowerControlCommon-v1530 ::= SEQUENCE {
    deltaFList-SPUCCH-r15           DeltaFList-SPUCCH-r15
}

UplinkPowerControlCommonPSCell-r12 ::= SEQUENCE {
-- For uplink power control the additional/ missing fields are signalled (compared to SCell)
    deltaF-PUCCH-Format3-r12        ENUMERATED {deltaF-1, deltaF0, deltaF1, deltaF2,
                                                deltaF3, deltaF4, deltaF5, deltaF6},
    deltaF-PUCCH-Format1bCS-r12     ENUMERATED {deltaF1, deltaF2, spare2, spare1},
    p0-NominalPUCCH-r12             INTEGER (-127..-96),
    deltaFList-PUCCH-r12            DeltaFList-PUCCH
}

UplinkPowerControlCommonSCell-r10 ::= SEQUENCE {
    p0-NominalPUSCH-r10             INTEGER (-126..24),
    alpha-r10                       Alpha-r12
}

UplinkPowerControlCommonSCell-v1130 ::= SEQUENCE {
    deltaPreambleMsg3-r11           INTEGER (-1..6)
}

UplinkPowerControlCommonSCell-v1310 ::= SEQUENCE {
-- For uplink power control the additional/ missing fields are signalled (compared to SCell)
    p0-NominalPUCCH                INTEGER (-127..-96),
    deltaFList-PUCCH                DeltaFList-PUCCH,
    deltaF-PUCCH-Format3-r12        ENUMERATED {deltaF-1, deltaF0, deltaF1,
                                                deltaF2, deltaF3, deltaF4, deltaF5,
                                                deltaF6}          OPTIONAL, -- Need OR
    deltaF-PUCCH-Format1bCS-r12     ENUMERATED {deltaF1, deltaF2,
                                                spare2, spare1}          OPTIONAL, -- Need OR
    deltaF-PUCCH-Format4-r13        ENUMERATED {deltaF16, deltaF15, deltaF14,
                                                deltaF13, deltaF12, deltaF11, deltaF10,
                                                spare1}          OPTIONAL, -- Need OR
    deltaF-PUCCH-Format5-13        ENUMERATED { deltaF13, deltaF12, deltaF11,
                                                deltaF10, deltaF9, deltaF8, deltaF7,
                                                spare1}          OPTIONAL, -- Need OR
}

UplinkPowerControlCommonPUSCH-LessCell-v1430 ::= SEQUENCE {
    p0-Nominal-PeriodicSRS-r14     INTEGER (-126..24)          OPTIONAL, -- Need OR
    p0-Nominal-AperiodicSRS-r14    INTEGER (-126..24)          OPTIONAL, -- Need OR
    alpha-SRS-r14                  Alpha-r12                  OPTIONAL, -- Need OR
}

UplinkPowerControlDedicated ::= SEQUENCE {
    p0-UE-PUSCH                     INTEGER (-8..7),
    deltaMCS-Enabled                 ENUMERATED {en0, en1},
    accumulationEnabled              BOOLEAN,
    p0-UE-PUCCH                     INTEGER (-8..7),
    pSRS-Offset                     INTEGER (0..15),
    filterCoefficient                FilterCoefficient          DEFAULT fc4
}

UplinkPowerControlDedicated-v1020 ::= SEQUENCE {
    deltaTxD-OffsetListPUCCH-r10    DeltaTxD-OffsetListPUCCH-r10  OPTIONAL, -- Need OR
    pSRS-OffsetAp-r10               INTEGER (0..15)              OPTIONAL, -- Need OR
}

```

```

UplinkPowerControlDedicated-v1130 ::= SEQUENCE {
  pSRS-Offset-v1130          INTEGER (16..31)          OPTIONAL, -- Need OR
  pSRS-OffsetAp-v1130       INTEGER (16..31)          OPTIONAL, -- Need OR
  deltaTxD-OffsetListPUCCH-v1130 DeltaTxD-OffsetListPUCCH-v1130 OPTIONAL -- Need OR
}

UplinkPowerControlDedicated-v1250 ::= SEQUENCE {
  set2PowerControlParameter CHOICE {
    release          NULL,
    setup            SEQUENCE {
      tpc-SubframeSet-r12          BIT STRING (SIZE(10)),
      p0-NominalPUSCH-SubframeSet2-r12 INTEGER (-126..24),
      alpha-SubframeSet2-r12      Alpha-r12,
      p0-UE-PUSCH-SubframeSet2-r12 INTEGER (-8..7)
    }
  }
}

UplinkPowerControlDedicated-v1530 ::= SEQUENCE {
  alpha-UE-r15          Alpha-r12          OPTIONAL, -- Need OR
  p0-UE-PUSCH-r15      INTEGER (-16..15)   OPTIONAL -- Need OR
}

UplinkPowerControlDedicatedSTTI-r15 ::= SEQUENCE {
  accumulationEnabledSTTI-r15    BOOLEAN,
  deltaTxD-OffsetListSPUCCH-r15  DeltaTxD-OffsetListSPUCCH-r15  OPTIONAL, -- Need OR
  uplinkPower-CSIPayload         BOOLEAN
}

UplinkPUSCH-LessPowerControlDedicated-v1430 ::= SEQUENCE {
  p0-UE-PeriodicSRS-r14          INTEGER (-8..7)          OPTIONAL, -- Need OR
  p0-UE-AperiodicSRS-r14         INTEGER (-8..7)          OPTIONAL, -- Need OR
  accumulationEnabled-r14         BOOLEAN
}

UplinkPowerControlDedicatedSCell-r10 ::= SEQUENCE {
  p0-UE-PUSCH-r10          INTEGER (-8..7),
  deltaMCS-Enabled-r10     ENUMERATED {en0, en1},
  accumulationEnabled-r10  BOOLEAN,
  pSRS-Offset-r10          INTEGER (0..15),
  pSRS-OffsetAp-r10        INTEGER (0..15)          OPTIONAL, -- Need OR
  filterCoefficient-r10    FilterCoefficient        DEFAULT fc4,
  pathlossReferenceLinking-r10 ENUMERATED {pCell, sCell}
}

UplinkPowerControlDedicatedSCell-v1310 ::= SEQUENCE {
  --Release 8
  p0-UE-PUCCH              INTEGER (-8..7),
  --Release 10
  deltaTxD-OffsetListPUCCH-r10 DeltaTxD-OffsetListPUCCH-r10  OPTIONAL -- Need OR
}

Alpha-r12 ::= ENUMERATED {a10, a104, a105, a106, a107, a108, a109, a11}

DeltaFList-PUCCH ::= SEQUENCE {
  deltaF-PUCCH-Format1      ENUMERATED {deltaF-2, deltaF0, deltaF2},
  deltaF-PUCCH-Format1b     ENUMERATED {deltaF1, deltaF3, deltaF5},
  deltaF-PUCCH-Format2      ENUMERATED {deltaF-2, deltaF0, deltaF1, deltaF2},
  deltaF-PUCCH-Format2a     ENUMERATED {deltaF-2, deltaF0, deltaF2},
  deltaF-PUCCH-Format2b     ENUMERATED {deltaF-2, deltaF0, deltaF2}
}

DeltaFList-SPUCCH-r15 ::= CHOICE {
  release          NULL,
  setup            SEQUENCE {
    deltaF-slotSPUCCH-Format1-r15  ENUMERATED {deltaF-1, deltaF0, deltaF1, deltaF2,
      deltaF3, deltaF4, deltaF5, deltaF6} OPTIONAL, --Need OR
    deltaF-slotSPUCCH-Format1a-r15  ENUMERATED {deltaF1, deltaF2, deltaF3, deltaF4,
      deltaF5, deltaF6, deltaF7, deltaF8} OPTIONAL, --Need OR
    deltaF-slotSPUCCH-Format1b-r15  ENUMERATED {deltaF3, deltaF4, deltaF5, deltaF6,
      deltaF7, deltaF8, deltaF9, deltaF10} OPTIONAL, --Need OR
    deltaF-slotSPUCCH-Format3-r15  ENUMERATED {deltaF4, deltaF5, deltaF6, deltaF7,
      deltaF8, deltaF9, deltaF10, deltaF11} OPTIONAL, --Need OR
    deltaF-slotSPUCCH-RM-Format4-r15  ENUMERATED {deltaF13, deltaF14, deltaF15, deltaF16,
      deltaF17, deltaF18, deltaF19, deltaF20} OPTIONAL,
  }
  --Need OR
  deltaF-slotSPUCCH-TBCC-Format4-r15  ENUMERATED {deltaF10, deltaF11, deltaF12, deltaF13,
    deltaF14, deltaF15, deltaF16, deltaF17} OPTIONAL,
}

```

```
--Need OR
  deltaF-subslotSPUCCH-Format1and1a-r15  ENUMERATED {deltaF5, deltaF6, deltaF7, deltaF8,
                                                    deltaF9, deltaF10, deltaF11, deltaF12} OPTIONAL,
--Need OR
  deltaF-subslotSPUCCH-Format1b-r15      ENUMERATED {deltaF6, deltaF7, deltaF8, deltaF9,
                                                    deltaF10, deltaF11, deltaF12, deltaF13} OPTIONAL,
--Need OR
  deltaF-subslotSPUCCH-RM-Format4-r15    ENUMERATED {deltaF15, deltaF16, deltaF17, deltaF18,
                                                    deltaF19, deltaF20, deltaF21, deltaF22} OPTIONAL,
--Need OR
  deltaF-subslotSPUCCH-TBCC-Format4-r15  ENUMERATED {deltaF10, deltaF11, deltaF12, deltaF13,
                                                    deltaF14, deltaF15, deltaF16, deltaF17} OPTIONAL,
--Need OR
  ...
}

DeltaTxD-OffsetListPUCCH-r10 ::= SEQUENCE {
  deltaTxD-OffsetPUCCH-Format1-r10      ENUMERATED {dB0, dB-2},
  deltaTxD-OffsetPUCCH-Format1a1b-r10   ENUMERATED {dB0, dB-2},
  deltaTxD-OffsetPUCCH-Format22a2b-r10  ENUMERATED {dB0, dB-2},
  deltaTxD-OffsetPUCCH-Format3-r10      ENUMERATED {dB0, dB-2},
  ...
}

DeltaTxD-OffsetListPUCCH-v1130 ::= SEQUENCE {
  deltaTxD-OffsetPUCCH-Format1bCS-r11   ENUMERATED {dB0, dB-1}
}

DeltaTxD-OffsetListSPUCCH-r15 ::= SEQUENCE {
  deltaTxD-OffsetSPUCCH-Format1-r15     ENUMERATED {dB0, dB-2},
  deltaTxD-OffsetSPUCCH-Format1a-r15    ENUMERATED {dB0, dB-2},
  deltaTxD-OffsetSPUCCH-Format1b-r15    ENUMERATED {dB0, dB-2},
  deltaTxD-OffsetSPUCCH-Format3-r15     ENUMERATED {dB0, dB-2},
  ...
}

-- ASN1STOP
```

| <b>UplinkPowerControl field descriptions</b>   |
|--|
| <p><b>accumulationEnabled, accumulationEnabledSTTI</b><br/>Parameter: Accumulation-enabled, see TS 36.213 [23], clauses 5.1.1.1 and 5.1.3.1. TRUE corresponds to "enabled" whereas FALSE corresponds to "disabled".</p>  |
| <p><b>alpha</b><br/>Parameter: <math>\alpha</math> See TS 36.213 [23], clause 5.1.1.1, where al0 corresponds to 0, al04 corresponds to value 0.4, al05 to 0.5, al06 to 0.6, al07 to 0.7, al08 to 0.8, al09 to 0.9 and al1 corresponds to 1. This field applies for uplink power control subframe set 1 if uplink power control subframe sets are configured by <i>tpc-SubframeSet</i>.</p>   |
| <p><b>alpha-SRS</b><br/>Parameter: <math>\alpha_{SRS}</math>. See TS 36.213 [23], clause 5.1.3.1, where al0 corresponds to 0, al04 corresponds to value 0.4, al05 to 0.5, al06 to 0.6, al07 to 0.7, al08 to 0.8, al09 to 0.9 and al1 corresponds to 1. This field applies for SRS power control on a PUSCH-less SCell.</p>   |
| <p><b>alpha-SubframeSet2</b><br/>Parameter: <math>\alpha</math>. See TS 36.213 [23], clause 5.1.1.1, where al0 corresponds to 0, al04 corresponds to value 0.4, al05 to 0.5, al06 to 0.6, al07 to 0.7, al08 to 0.8, al09 to 0.9 and al1 corresponds to 1. This field applies for uplink power control subframe set 2 if uplink power control subframe sets are configured by <i>tpc-SubframeSet</i>.</p>   |
| <p><b>alpha-UE</b><br/>Parameter: <math>\alpha_{UE}</math> See TS 36.213 [23], clause 5.1.1.1, where al0 corresponds to 0, al04 corresponds to value 0.4, al05 to 0.5, al06 to 0.6, al07 to 0.7, al08 to 0.8, al09 to 0.9 and al1 corresponds to 1.</p>  |
| <p><b>deltaF-PUCCH-FormatX</b><br/>Parameter: <math>\Delta_{F\_PUCCH}(F)</math> for the PUCCH formats 1, 1b, 2, 2a, 2b, 3, 4, 5 and 1b with channel selection. See TS 36.213 [23], clause 5.1.2, where deltaF-2 corresponds to -2 dB, deltaF0 corresponds to 0 dB and so on.</p>   |
| <p><b>deltaF-PUCCH-FormatX, deltaF-slotSPUCCH-FormatX, deltaF-subslotSPUCCH-FormatX</b><br/>Parameter: <math>\Delta_{F\_PUCCH}(F)</math> for the SPUCCH formats 1, 1a, 1b, 3 and 4. See TS 36.213 [23], clause 5.1.2 where deltaF-2 corresponds to -2 dB, deltaF0 corresponds to 0 dB and so on. In case both an A and a B configuration exist, configuration A is used in case SPUCCH carries <math>\leq 22</math> HARQ-ACK bits, and B otherwise.</p>              |
| <p><b>deltaMCS-Enabled</b><br/>Parameter: <math>K_s</math> See TS 36.213 [23], clause 5.1.1.1. en0 corresponds to value 0 corresponding to state "disabled". en1 corresponds to value 1.25 corresponding to "enabled".</p>   |
| <p><b>deltaPreambleMsg3</b><br/>Parameter: <math>\Delta_{PREAMBLE\_Msg3}</math> see TS 36.213 [23], clause 5.1.1.1. Actual value = field value * 2 [dB].</p>   |
| <p><b>deltaTxD-OffsetPUCCH-FormatX</b><br/>Parameter: <math>\Delta_{TxD}(F')</math> for the PUCCH formats 1, 1a/1b, 1b with channel selection, 2/2a/2b and 3 when two antenna ports are configured for PUCCH transmission. See TS 36.213 [23], clause 5.1.2.1, where dB0 corresponds to 0 dB, dB-1 corresponds to -1 dB, dB-2 corresponds to -2 dB. EUTRAN configures the field <i>deltaTxD-OffsetPUCCH-Format1bCS-r11</i> for the PCell and/or the PSCell only.</p> |
| <p><b>deltaTxD-OffsetSPUCCH-FormatX</b><br/>Parameter: <math>\Delta_{TxD}(F')</math> for the SPUCCH formats 1, 1a/1b, 1b with channel selection and 3 when two antenna ports are configured for SPUCCH transmission. See TS 36.213 [23], clause 5.1.2.1 where dB0 corresponds to 0 dB, dB-1 corresponds to -1 dB, dB-2 corresponds to -2 dB.</p>   |
| <p><b>filterCoefficient</b><br/>Specifies the filtering coefficient for RSRP measurements used to calculate path loss, as specified in TS 36.213 [23], clause 5.1.1.1. The same filtering mechanism applies as for <i>quantityConfig</i> described in 5.5.3.2.</p>   |
| <p><b>p0-Nominal-AperiodicSRS</b><br/>Parameter: <math>P_{O\_NOMINAL\_SRS,c}(m)</math> where <math>m=1</math>. See TS 36.213 [23], clause 5.1.3.1, unit dBm.</p>   |
| <p><b>p0-Nominal-PeriodicSRS</b><br/>Parameter: <math>P_{O\_NOMINAL\_SRS,c}(m)</math> where <math>m=0</math>. See TS 36.213 [23], clause 5.1.3.1, unit dBm.</p>  |
| <p><b>p0-NominalPUCCH</b><br/>Parameter: <math>P_{O\_NOMINAL\_PUCCH}</math> See TS 36.213 [23], clause 5.1.2.1, unit dBm.</p>  |
| <p><b>p0-NominalPUSCH</b><br/>Parameter: <math>P_{O\_NOMINAL\_PUSCH}(1)</math> See TS 36.213 [23], clause 5.1.1.1, unit dBm. This field is applicable for non-persistent scheduling only. This field applies for uplink power control subframe set 1 if uplink power control subframe sets are configured by <i>tpc-SubframeSet</i>.</p>   |
| <p><b>p0-NominalPUSCH-SubframeSet2</b><br/>Parameter: <math>P_{O\_NOMINAL\_PUSCH}(1)</math>. See TS 36.213 [23], clause 5.1.1.1, unit dBm. This field is applicable for non-persistent scheduling only. This field applies for uplink power control subframe set 2 if uplink power control subframe sets are configured by <i>tpc-SubframeSet</i>.</p>   |
| <p><b>p0-UE-AperiodicSRS</b><br/>Parameter: <math>P_{O\_UE\_SRS,c}(m)</math> where <math>m=1</math>. See TS 36.213 [23], clause 5.1.3.1, unit dB.</p>  |

| <b>UplinkPowerControl field descriptions</b>   |
|--|
| <p><b>p0-UE-PeriodicSRS</b><br/>Parameter: <math>P_{O\_UE\_SRS,c}(m)</math> where <math>m=0</math>. See TS 36.213 [23], clause 5.1.3.1, unit dB.</p>   |
| <p><b>p0-UE-PUCCH</b><br/>Parameter: <math>P_{O\_UE\_PUCCH}</math> See TS 36.213 [23], clause 5.1.2.1. Unit dB</p>   |
| <p><b>p0-UE-PUSCH</b><br/>Parameter: <math>P_{O\_UE\_PUSCH}(1)</math> See TS 36.213 [23], clause 5.1.1.1, unit dB. This field is applicable for non-persistent scheduling, only. This field applies for uplink power control subframe set 1 if uplink power control subframe sets are configured by <i>tpc-SubframeSet</i>. If <i>p0-UE-PUSCH-r15</i> is included, the UE ignores <i>p0-UE-PUSCH</i> (i.e., without suffix).</p>   |
| <p><b>p0-UE-PUSCH-SubframeSet2</b><br/>Parameter: <math>P_{O\_UE\_PUSCH}(1)</math> See TS 36.213 [23], clause 5.1.1.1, unit dB. This field is applicable for non-persistent scheduling, only. This field applies for uplink power control subframe set 2 if uplink power control subframe sets are configured by <i>tpc-SubframeSet</i>.</p>   |
| <p><b>pathlossReferenceLinking</b><br/>Indicates whether the UE shall apply as pathloss reference either the downlink of the PCell or of the SCell that corresponds with this uplink (i.e. according to the <i>cellIdentification</i> within the field <i>sCellToAddMod</i>). For SCells part of an STAG E-UTRAN sets the value to sCell.</p>  |
| <p><b>pSRS-Offset, pSRS-OffsetAp</b><br/>Parameter: <math>P_{SRS\_OFFSET}</math> for periodic and aperiodic sounding reference signal transmission respectively. See TS 36.213 [23], clause 5.1.3.1. For <math>K_s=1.25</math>, the actual parameter value is <i>pSRS-Offset</i> value – 3. For <math>K_s=0</math>, the actual parameter value is <math>-10.5 + 1.5 * pSRS-Offset</math> value.<br/>If <i>pSRS-Offset-v1130</i> is included, the UE ignores <i>pSRS-Offset</i> (i.e., without suffix). Likewise, if <i>pSRS-OffsetAp-v1130</i> is included, the UE ignores <i>pSRS-OffsetAp-r10</i>. For <math>K_s=0</math>, E-UTRAN does not set values larger than 26.</p> |
| <p><b>tpc-SubframeSet</b><br/>Indicates the uplink subframes (including UpPTS in special subframes) of the uplink power control subframe sets. Value 0 means the subframe belongs to uplink power control subframe set 1, and value 1 means the subframe belongs to uplink power control subframe set 2.</p>   |
| <p><b>uplinkPower-CSIPayload</b><br/><i>TRUE</i> indicates that the UE shall derive BPRES based on the actual value of <i>O_CQI</i> for slot/subslot-PUSCH, whereas <i>FALSE</i> indicates that the largest value of <i>O_CQI</i> across all RI values shall be used for the derivation of BPRES for slot/subslot-PUSCH.</p>   |

### – WLAN-Id-List

The IE *WLAN-Id-List* is used to list WLAN(s) for configuration of WLAN measurements and WLAN mobility set.

```
-- ASN1START
WLAN-Id-List-r13 ::= SEQUENCE (SIZE (1..maxWLAN-Id-r13)) OF WLAN-Identifiers-r12
-- ASN1STOP
```

### – WLAN-MobilityConfig

The IE *WLAN-MobilityConfig* is used for configuration of WLAN mobility set and WLAN Status Reporting. E-UTRAN configures at least one WLAN identifier in the *WLAN-MobilityConfig*.

```
-- ASN1START
WLAN-MobilityConfig-r13 ::= SEQUENCE {
  wlan-ToReleaseList-r13          WLAN-Id-List-r13          OPTIONAL,  -- Need ON
  wlan-ToAddList-r13             WLAN-Id-List-r13          OPTIONAL,  -- Need ON
  associationTimer-r13           ENUMERATED {s10, s30,
                                             s60, s120, s240}
  successReportRequested-r13     ENUMERATED {true}           OPTIONAL,  -- Need OR
  . . .
  [[ wlan-SuspendConfig-r14      WLAN-SuspendConfig-r14     OPTIONAL  -- Need ON
  ]]
}
-- ASN1STOP
```



| <b>WLAN-MobilityConfig field descriptions</b>  |
|--|
| <p><b>associationTimer</b><br/>Indicates the maximum time for connection to WLAN before connection failure reporting is initiated. Value <i>s10</i> means 10 seconds, value <i>s30</i> means 30 seconds and so on. E-UTRAN includes <i>associationTimer</i> only upon change in WLAN mobility set, <i>lwa-WT-Counter</i> or <i>lwip-Counter</i>.</p> |
| <p><b>successReportRequested</b><br/>Indicates whether the UE shall report successful connection to WLAN. Applicable to LWA and LWIP.</p>  |
| <p><b>wlan-ToAddList</b><br/>Indicates the WLAN identifiers to be added to the WLAN mobility set.</p>  |
| <p><b>wlan-ToReleaseList</b><br/>Indicates the WLAN identifiers to be removed from the WLAN mobility set.</p>  |

## – WUS-Config

The IE *WUS-Config* is used to specify the WUS configuration. For the UEs supporting WUS, E-UTRAN uses WUS to indicate that the UE shall attempt to receive paging in that cell, see TS 36.304 [4].

### WUS-Config information element

```

-- ASN1START
WUS-Config-r15 ::= SEQUENCE {
    maxDurationFactor-r15      ENUMERATED {one32th, one16th, one8th, one4th},
    numPOs-r15                 ENUMERATED {n1, n2, n4, spare1} DEFAULT n1,
    freqLocation-r15           ENUMERATED {n0, n2, n4, spare1},
    timeOffsetDRX-r15         ENUMERATED {ms40, ms80, ms160, ms240},
    timeOffset-eDRX-Short-r15 ENUMERATED {ms40, ms80, ms160, ms240},
    timeOffset-eDRX-Long-r15  ENUMERATED {ms1000, ms2000} OPTIONAL -- Need OP
}
WUS-Config-v1560 ::= SEQUENCE {
    powerBoost-r15            ENUMERATED {db0, db1dot8, db3, db4dot8}
}
-- ASN1STOP

```

| <b>WUS-Config field descriptions</b>  |
|---|
| <p><b>freqLocation</b><br/>Frequency location of WUS within paging narrowband for BL UEs and UEs in CE. Value <i>n0</i> corresponds to WUS in the 1st and 2nd PRB, value <i>n2</i> represents the 3rd and 4th PRB, and value <i>n4</i> represents the 5th and 6th PRB.</p>  |
| <p><b>maxDurationFactor</b><br/>Maximum WUS duration, expressed as a ratio of <math>R_{max}</math> associated with Type 1-CSS, see TS 36.211 [21]. Value <i>one32th</i> corresponds to <math>R_{max} * 1/32</math>, value <i>one16th</i> corresponds to <math>R_{max} * 1/16</math> and so on.<br/>The value <math>L_{MWUS_{max}}</math> in TS 36.213 [23] considered by the UE is : <math>maxDuration = \text{Max}(\text{signalled value} * R_{max}, 1)</math> where <math>R_{max}</math> is the value of <i>mpdcch-NumRepetitionPaging</i> for the carrier.</p> |
| <p><b>numPOs</b><br/>Number of consecutive Paging Occasions (PO) mapped to one WUS, applicable to UEs configured to use extended DRX, see TS 36.304 [4]. Value <i>n1</i> corresponds to 1 PO, value <i>n2</i> corresponds to 2 POs and so on.</p>   |
| <p><b>powerBoost</b><br/>Power offset of WUS relative to CRS in dB, see TS 36.213 [23] clause 5.2. Value <i>db0</i> corresponds to 0dB, value <i>db1dot8</i> corresponds to 1.8dB, and so on.</p>   |
| <p><b>timeOffsetDRX</b><br/>Minimum time gap in milliseconds from the end of the configured maximum WUS duration to the first associated PO, see TS 36.211 [21]. Value <i>ms40</i> corresponds to 40 ms, value <i>ms80</i> corresponds to 80 ms and so on.</p>  |
| <p><b>timeOffset-eDRX-Short</b><br/>When eDRX is used, the short non-zero gap in milliseconds from the end of the configured maximum WUS duration to the associated PO, see TS 36.211 [21]. Value <i>ms40</i> corresponds to 40 ms, value <i>ms80</i> corresponds to 80 ms and so on.<br/>E-UTRAN configures <i>timeOffset-eDRX-Short</i> to a value longer than or equal to <i>timeOffsetDRX</i>.</p>  |
| <p><b>timeOffset-eDRX-Long</b><br/>When eDRX is used, the long non-zero gap in milliseconds from the end of the configured maximum WUS duration to the associated PO, see TS 36.211 [21]. Value <i>ms1000</i> corresponds to 1000 ms and value <i>ms2000</i> corresponds to 2000 ms.<br/>If the field is absent, UE uses <i>timeOffset-eDRX-Short</i> for monitoring WUS.</p>   |

### 6.3.3 Security control information elements

#### – *NextHopChainingCount*

The IE *NextHopChainingCount* is used to update the  $K_{\text{cNB}}$  key and corresponds to parameter NCC: See TS 33.401 [32], clause 7.2.8.4.

#### ***NextHopChainingCount* information element**

```
-- ASN1START
NextHopChainingCount ::=                INTEGER (0..7)
-- ASN1STOP
```

#### – *SecurityAlgorithmConfig*

The IE *SecurityAlgorithmConfig* is used to configure AS integrity protection algorithm (SRBs) and AS ciphering algorithm (SRBs and DRBs). For RNs, the IE *SecurityAlgorithmConfig* is also used to configure AS integrity protection algorithm for DRBs between the RN and the E-UTRAN.

#### ***SecurityAlgorithmConfig* information element**

```
-- ASN1START
SecurityAlgorithmConfig ::=             SEQUENCE {
  cipheringAlgorithm                    CipheringAlgorithm-r12,
  integrityProtAlgorithm                 ENUMERATED {
    eia0-v920, eia1, eia2, eia3-v1130, spare4, spare3,
    spare2, spare1, ...}
}
CipheringAlgorithm-r12 ::=              ENUMERATED {
  eea0, eea1, eea2, eea3-v1130, spare4, spare3,
  spare2, spare1, ...}
-- ASN1STOP
```

#### ***SecurityAlgorithmConfig* field descriptions**

##### ***cipheringAlgorithm***

Indicates the ciphering algorithm to be used for SRBs and DRBs, as specified in TS 33.401 [32], clause 5.1.3.2.

##### ***integrityProtAlgorithm***

Indicates the integrity protection algorithm to be used for SRBs, as specified in TS 33.401 [32], clause 5.1.4.2. For RNs, also indicates the integrity protection algorithm to be used for integrity protection-enabled DRB(s).

#### – *ShortMAC-I*

The IE *ShortMAC-I* is used to identify and verify the UE at RRC connection re-establishment. The 16 least significant bits of the MAC-I calculated using the security configuration of the source PCell, as specified in 5.3.7.4.

#### ***ShortMAC-I* information element**

```
-- ASN1START
ShortMAC-I ::=                          BIT STRING (SIZE (16))
-- ASN1STOP
```

## 6.3.4 Mobility control information elements

### – *AdditionalSpectrumEmission*

If an extension is signalled using the extended value range (as defined by IE *AdditionalSpectrumEmission-v1010*), the corresponding original field, using the value range as defined by IE *AdditionalSpectrumEmission* i.e. without suffix) shall be set to value 32, if signalled. UE supporting an LTE band assigned NS values larger than 32 as defined in TS 36.101 [42], clause 6.2.4, needs to support extension signalling (as defined by IE *AdditionalSpectrumEmission-v1010*).

#### ***AdditionalSpectrumEmission* information element**

```
-- ASN1START
AdditionalSpectrumEmission ::=      INTEGER (1..32)
AdditionalSpectrumEmission-v1010 ::=  INTEGER (33..288)
-- ASN1STOP
```

### – *AdditionalSpectrumEmissionNR*

The IE *AdditionalSpectrumEmissionNR* is used to indicate NR emission requirements to be fulfilled by the UE (see TS 38.101-1 [85], clause 6.5.3.3, and TS 38.101-2 [100], clause 6.5.3.2 and TS 38.101-3 [101], clause 6.5B.2)

#### ***AdditionalSpectrumEmissionNR* information element**

```
-- ASN1START
AdditionalSpectrumEmissionNR-r15 ::=  INTEGER (0..7)
-- ASN1STOP
```

### – *ARFCN-ValueCDMA2000*

The IE *ARFCN-ValueCDMA2000* used to indicate the CDMA2000 carrier frequency within a CDMA2000 band, see C.S0002 [12].

#### ***ARFCN-ValueCDMA2000* information element**

```
-- ASN1START
ARFCN-ValueCDMA2000 ::=      INTEGER (0..2047)
-- ASN1STOP
```

### – *ARFCN-ValueEUTRA*

The IE *ARFCN-ValueEUTRA* is used to indicate the ARFCN applicable for a downlink, uplink or bi-directional (TDD) E-UTRA carrier frequency, as defined in TS 36.101 [42]. If an extension is signalled using the extended value range (as defined by IE *ARFCN-ValueEUTRA-v9e0*), the UE shall only consider this extension (and hence ignore the corresponding original field, using the value range as defined by IE *ARFCN-ValueEUTRA* i.e. without suffix, if signalled). In dedicated signalling, E-UTRAN only provides an EARFCN corresponding to an E-UTRA band supported by the UE.

#### ***ARFCN-ValueEUTRA* information element**

```
-- ASN1START
ARFCN-ValueEUTRA ::=      INTEGER (0..maxEARFCN)
ARFCN-ValueEUTRA-v9e0 ::=  INTEGER (maxEARFCN-Plus1..maxEARFCN2)
ARFCN-ValueEUTRA-r9 ::=    INTEGER (0..maxEARFCN2)
```

```
-- ASN1STOP
```

NOTE: For fields using the original value range, as defined by IE *ARFCN-ValueEUTRA* i.e. without suffix, value *maxEARFCN* indicates that the E-UTRA carrier frequency is indicated by means of an extension. In such a case, UEs not supporting the extension consider the field to be set to a not supported value.

## – *ARFCN-ValueGERAN*

The IE *ARFCN-ValueGERAN* is used to specify the ARFCN value applicable for a GERAN BCCH carrier frequency, see TS 45.005 [20].

### ***ARFCN-ValueGERAN* information element**

```
-- ASN1START
ARFCN-ValueGERAN ::=          INTEGER (0..1023)
-- ASN1STOP
```

## – *ARFCN-ValueNR*

The IE *ARFCN-ValueNR* is used to indicate the ARFCN applicable for a downlink, uplink or bi-directional (TDD) NR carrier frequency, as defined in TS 38.101 [85].

### ***ARFCN-ValueNR* information element**

```
-- ASN1START
ARFCN-ValueNR-r15 ::=          INTEGER (0..3279165)
-- ASN1STOP
```

## – *ARFCN-ValueUTRA*

The IE *ARFCN-ValueUTRA* is used to indicate the ARFCN applicable for a downlink (Nd, FDD) or bi-directional (Nt, TDD) UTRA carrier frequency, as defined in TS 25.331 [19].

### ***ARFCN-ValueUTRA* information element**

```
-- ASN1START
ARFCN-ValueUTRA ::=          INTEGER (0..16383)
-- ASN1STOP
```

## – *BandclassCDMA2000*

The IE *BandclassCDMA2000* is used to define the CDMA2000 band in which the CDMA2000 carrier frequency can be found, as defined in C.S0057 [24], table 1.5-1.

### ***BandclassCDMA2000* information element**

```
-- ASN1START
BandclassCDMA2000 ::=          ENUMERATED {
                                bc0, bc1, bc2, bc3, bc4, bc5, bc6, bc7, bc8,
                                bc9, bc10, bc11, bc12, bc13, bc14, bc15, bc16,
                                bc17, bc18-v9a0, bc19-v9a0, bc20-v9a0, bc21-v9a0,
                                spare10, spare9, spare8, spare7, spare6, spare5, spare4,
                                spare3, spare2, spare1, ...}
-- ASN1STOP
```

```
-- ASN1STOP
```

## – *BandIndicatorGERAN*

The IE *BandIndicatorGERAN* indicates how to interpret an associated GERAN carrier ARFCN, see TS 45.005 [20]. More specifically, the IE indicates the GERAN frequency band in case the ARFCN value can concern either a DCS 1800 or a PCS 1900 carrier frequency. For ARFCN values not associated with one of these bands, the indicator has no meaning.

### ***BandIndicatorGERAN* information element**

```
-- ASN1START
BandIndicatorGERAN ::=          ENUMERATED {dcs1800, pcs1900}
-- ASN1STOP
```

## – *CarrierFreqCDMA2000*

The IE *CarrierFreqCDMA2000* used to provide the CDMA2000 carrier information.

### ***CarrierFreqCDMA2000* information element**

```
-- ASN1START
CarrierFreqCDMA2000 ::=          SEQUENCE {
    bandClass          BandclassCDMA2000,
    arfcn              ARFCN-ValueCDMA2000
}
-- ASN1STOP
```

## – *CarrierFreqGERAN*

The IE *CarrierFreqGERAN* is used to provide an unambiguous carrier frequency description of a GERAN cell.

### ***CarrierFreqGERAN* information element**

```
-- ASN1START
CarrierFreqGERAN ::=          SEQUENCE {
    arfcn              ARFCN-ValueGERAN,
    bandIndicator      BandIndicatorGERAN
}
-- ASN1STOP
```

#### ***CarrierFreqGERAN* field descriptions**

|                             |   |
|-----------------------------|---|
| <b><i>arfcn</i></b>         | GERAN ARFCN of BCCH carrier.                              |
| <b><i>bandIndicator</i></b> | Indicates how to interpret the ARFCN of the BCCH carrier. |

## – *CarrierFreqsGERAN*

The IE *CarrierFreqListGERAN* is used to provide one or more GERAN ARFCN values, as defined in TS 45.005 [43], which represents a list of GERAN BCCH carrier frequencies.

### ***CarrierFreqsGERAN* information element**

```
-- ASN1START
```

```

CarrierFreqsGERAN ::= SEQUENCE {
  startingARFCN ARFCN-ValueGERAN,
  bandIndicator BandIndicatorGERAN,
  followingARFCNs CHOICE {
    explicitListOfARFCNs ExplicitListOfARFCNs,
    equallySpacedARFCNs SEQUENCE {
      arfcn-Spacing INTEGER (1..8),
      numberOfFollowingARFCNs INTEGER (0..31)
    },
    variableBitMapOfARFCNs OCTET STRING (SIZE (1..16))
  }
}

ExplicitListOfARFCNs ::= SEQUENCE (SIZE (0..31)) OF ARFCN-ValueGERAN
-- ASN1STOP

```

#### CarrierFreqsGERAN field descriptions

##### **arfcn-Spacing**

Space, d, between a set of equally spaced ARFCN values.

##### **bandIndicator**

Indicates how to interpret the ARFCN of the BCCH carrier.

##### **explicitListOfARFCNs**

The remaining ARFCN values in the set are explicitly listed one by one.

##### **followingARFCNs**

Field containing a representation of the remaining ARFCN values in the set.

##### **numberOfFollowingARFCNs**

The number, n, of the remaining equally spaced ARFCN values in the set. The complete set of (n+1) ARFCN values is defined as: {s, ((s + d) mod 1024), ((s + 2\*d) mod 1024) ... ((s + n\*d) mod 1024)}.

##### **startingARFCN**

The first ARFCN value, s, in the set.

##### **variableBitMapOfARFCNs**

Bitmap field representing the remaining ARFCN values in the set. The leading bit of the first octet in the bitmap corresponds to the ARFCN = ((s + 1) mod 1024), the next bit to the ARFCN = ((s + 2) mod 1024), and so on. If the bitmap consists of N octets, the trailing bit of octet N corresponds to ARFCN = ((s + 8\*N) mod 1024). The complete set of ARFCN values consists of ARFCN = s and the ARFCN values, where the corresponding bit in the bitmap is set to "1".

## CarrierFreqListMBMS

The IE *CarrierFreqListMBMS* is used to indicate the E-UTRA ARFCN values of the one or more MBMS frequencies the UE is interested to receive.

#### CarrierFreqListMBMS information element

```

-- ASN1START
CarrierFreqListMBMS-r11 ::= SEQUENCE (SIZE (1..maxFreqMBMS-r11)) OF ARFCN-ValueEUTRA-r9
-- ASN1STOP

```

## CDMA2000-Type

The IE *CDMA2000-Type* is used to describe the type of CDMA2000 network.

#### CDMA2000-Type information element

```

-- ASN1START
CDMA2000-Type ::= ENUMERATED {type1XRTT, typeHRPD}
-- ASN1STOP

```

## – *CellIdentity*

The IE *CellIdentity* is used to unambiguously identify a cell within a PLMN.

### **CellIdentity information element**

```
-- ASN1START
CellIdentity ::=          BIT STRING (SIZE (28))
-- ASN1STOP
```

## – *CellIndexList*

The IE *CellIndexList* concerns a list of cell indices, which may be used for different purposes.

### **CellIndexList information element**

```
-- ASN1START
CellIndexList ::=        SEQUENCE (SIZE (1..maxCellMeas)) OF CellIndex
CellIndex ::=            INTEGER (1..maxCellMeas)
-- ASN1STOP
```

## – *CellReselectionPriority*

The IE *CellReselectionPriority* concerns the absolute priority of the concerned carrier frequency/ set of frequencies (GERAN)/ bandclass (CDMA2000), as used by the cell reselection procedure. Corresponds with parameter "priority" in TS 36.304 [4]. Value 0 means: lowest priority. The UE behaviour for the case the field is absent, if applicable, is specified in TS 36.304 [4].

### **CellReselectionPriority information element**

```
-- ASN1START
CellReselectionPriority ::=          INTEGER (0..7)
-- ASN1STOP
```

## – *CellSelectionInfoCE*

The IE *CellSelectionInfoCE* contains cell selection information for CE. The *q-RxLevMinCE* corresponds to parameter  $Q_{rxlevmin\_CE}$  in TS 36.304 [4]. The *q-QualMinRSRQ-CE* corresponds to parameter  $Q_{qualmin\_CE}$  in TS 36.304 [4]. If *q-QualMinRSRQ-CE* is not present, the UE applies the (default) value of negative infinity for  $Q_{qualmin}$ .

### **CellSelectionInfoCE information element**

```
-- ASN1START
CellSelectionInfoCE-r13 ::=          SEQUENCE {
    q-RxLevMinCE-r13                Q-RxLevMin,
    q-QualMinRSRQ-CE-r13            Q-QualMin-r9          OPTIONAL  -- Need OR
}
CellSelectionInfoCE-v1530 ::=        SEQUENCE {
    powerClass14dBm-Offset-r15      ENUMERATED {dB-6, dB-3, dB3, dB6, dB9, dB12}
}
-- ASN1STOP
```

**CellSelectionInfoCE field descriptions****powerClass14dBm-Offset**

Parameter "Poffset" in TS 36.304 [4], only applicable for UE supporting *powerClass-14dBm*. Value in dB. Value dB-6 corresponds to -6 dB, dB-3 corresponds to -3 dB and so on. E-UTRAN configures this field only if *cellSelectionInfoCE-r13* is configured. If the field is absent, the UE applies the (default) value of 0 dB for "Poffset" in TS 36.304 [4].

**CellSelectionInfoCE1**

The IE *CellSelectionInfoCE1* contains cell selection information for BL UEs or UEs in CE supporting CE Mode B. The *q-RxLevMinCE1* corresponds to parameter  $Q_{rxlevmin\_CE1}$  in TS 36.304 [4]. If *delta-RxLevMinCE1* is not included, actual value  $Q_{rxlevmin\_CE1} = q-RxLevMinCE1 * 2$  [dBm]. If *delta-RxLevMinCE1* is included, the actual value  $Q_{rxlevmin\_CE1} = (q-RxLevMinCE1 + delta-RxLevMinCE1) * 2$  [dBm]. The *q-QualMinRSRQ-CE1* corresponds to parameter  $Q_{qualmin\_CE1}$  in TS 36.304 [4]. If *q-QualMinRSRQ-CE1* is not present, the UE applies the (default) value of negative infinity for  $Q_{qualmin}$ .

**CellSelectionInfoCE1 information element**

```
-- ASN1START
CellSelectionInfoCE1-r13 ::=          SEQUENCE {
    q-RxLevMinCE1-r13                Q-RxLevMin,
    q-QualMinRSRQ-CE1-r13            Q-QualMin-r9                OPTIONAL    -- Need OR
}
CellSelectionInfoCE1-v1360 ::=      SEQUENCE {
    delta-RxLevMinCE1-v1360          INTEGER (-8..-1)
}
-- ASN1STOP
```

**CellReselectionSubPriority**

The IE *CellReselectionSubPriority* indicates a fractional value to be added to the value of *cellReselectionPriority* to obtain the absolute priority of the concerned carrier frequency for E-UTRA and NR. Value *oDot2* corresponds to 0.2, *oDot4* corresponds to 0.4 and so on.

**CellReselectionSubPriority information element**

```
-- ASN1START
CellReselectionSubPriority-r13 ::=    ENUMERATED {oDot2, oDot4, oDot6, oDot8}
-- ASN1STOP
```

**CSFB-RegistrationParam1XRTT**

The IE *CSFB-RegistrationParam1XRTT* is used to indicate whether or not the UE shall perform a CDMA2000 1xRTT pre-registration if the UE does not have a valid / current pre-registration.

```
-- ASN1START
CSFB-RegistrationParam1XRTT ::=      SEQUENCE {
    sid                               BIT STRING (SIZE (15)),
    nid                               BIT STRING (SIZE (16)),
    multipleSID                       BOOLEAN,
    multipleNID                       BOOLEAN,
    homeReg                           BOOLEAN,
    foreignSIDReg                     BOOLEAN,
    foreignNIDReg                     BOOLEAN,
    parameterReg                      BOOLEAN,
    powerUpReg                        BOOLEAN,
    registrationPeriod                BIT STRING (SIZE (7)),
    registrationZone                  BIT STRING (SIZE (12)),
    totalZone                         BIT STRING (SIZE (3)),
    zoneTimer                         BIT STRING (SIZE (3))
}
-- ASN1STOP
```



```

CSFB-RegistrationParam1XRTT-v920 ::= SEQUENCE {
    powerDownReg-r9      ENUMERATED {true}
}
-- ASN1STOP

```

#### CSFB-RegistrationParam1XRTT field descriptions

|                           |  |
|---------------------------|--|
| <b>foreignNIDReg</b>      | The CDMA2000 1xRTT NID roamer registration indicator.  |
| <b>foreignSIDReg</b>      | The CDMA2000 1xRTT SID roamer registration indicator.  |
| <b>homeReg</b>            | The CDMA2000 1xRTT Home registration indicator.  |
| <b>multipleNID</b>        | The CDMA2000 1xRTT Multiple NID storage indicator.   |
| <b>multipleSID</b>        | The CDMA2000 1xRTT Multiple SID storage indicator.   |
| <b>nid</b>                | Used along with the <i>sid</i> as a pair to control when the UE should Register or Re-Register with the CDMA2000 1xRTT network.  |
| <b>parameterReg</b>       | The CDMA2000 1xRTT Parameter-change registration indicator.  |
| <b>powerDownReg</b>       | The CDMA2000 1xRTT Power-down registration indicator. If set to TRUE, the UE that has a valid / current CDMA2000 1xRTT pre-registration will perform a CDMA2000 1xRTT power down registration when it is switched off. |
| <b>powerUpReg</b>         | The CDMA2000 1xRTT Power-up registration indicator.  |
| <b>registrationPeriod</b> | The CDMA2000 1xRTT Registration period.  |
| <b>registrationZone</b>   | The CDMA2000 1xRTT Registration zone.  |
| <b>sid</b>                | Used along with the <i>nid</i> as a pair to control when the UE should Register or Re-Register with the CDMA2000 1xRTT network.  |
| <b>totalZone</b>          | The CDMA2000 1xRTT Number of registration zones to be retained.  |
| <b>zoneTimer</b>          | The CDMA2000 1xRTT Zone timer length.  |

### CellGlobalIdEUTRA

The IE *CellGlobalIdEUTRA* specifies the Evolved Cell Global Identifier (ECGI), the globally unique identity of a cell in E-UTRA.

#### CellGlobalIdEUTRA information element

```

-- ASN1START
CellGlobalIdEUTRA ::= SEQUENCE {
    plmn-Identity      PLMN-Identity,
    cellIdentity       CellIdentity
}
-- ASN1STOP

```

#### CellGlobalIdEUTRA field descriptions

|                      |  |
|----------------------|--|
| <b>cellIdentity</b>  | Identity of the cell within the context of the PLMN.   |
| <b>plmn-Identity</b> | Identifies the PLMN of the cell as given by the first PLMN entry in the <i>plmn-IdentityList</i> in <i>SystemInformationBlockType1</i> . |

– *CellGlobalIdUTRA*

The IE *CellGlobalIdUTRA* specifies the global UTRAN Cell Identifier, the globally unique identity of a cell in UTRA.

**CellGlobalIdUTRA information element**

```
-- ASN1START
CellGlobalIdUTRA ::=
    plmn-Identity          SEQUENCE {
    cellIdentity           PLMN-Identity,
                        BIT STRING (SIZE (28))
    }
-- ASN1STOP
```

**CellGlobalIdUTRA field descriptions**

**cellIdentity**

UTRA Cell Identifier which is unique within the context of the identified PLMN as defined in TS 25.331 [19].

**plmn-Identity**

Identifies the PLMN of the cell as given by the common PLMN broadcast in the MIB, as defined in TS 25.331 [19].

– *CellGlobalIdGERAN*

The IE *CellGlobalIdGERAN* specifies the Cell Global Identification (CGI), the globally unique identity of a cell in GERAN.

**CellGlobalIdGERAN information element**

```
-- ASN1START
CellGlobalIdGERAN ::=
    plmn-Identity          SEQUENCE {
    locationAreaCode      PLMN-Identity,
                        BIT STRING (SIZE (16)),
    cellIdentity          BIT STRING (SIZE (16))
    }
-- ASN1STOP
```

**CellGlobalIdGERAN field descriptions**

**cellIdentity**

Cell Identifier which is unique within the context of the GERAN location area as defined in TS 23.003 [27].

**locationAreaCode**

A fixed length code identifying the location area within a PLMN as defined in TS 23.003 [27].

**plmn-Identity**

Identifies the PLMN of the cell, as defined in TS 23.003 [27].

– *CellGlobalIdCDMA2000*

The IE *CellGlobalIdCDMA2000* specifies the Cell Global Identification (CGI), the globally unique identity of a cell in CDMA2000.

**CellGlobalIdCDMA2000 information element**

```
-- ASN1START
CellGlobalIdCDMA2000 ::=
    cellGlobalId1XRTT     CHOICE {
    cellGlobalIdHRPD      BIT STRING (SIZE (47)),
                        BIT STRING (SIZE (128))
    }
-- ASN1STOP
```

| <b>CellGlobalIdCDMA2000 field descriptions</b>  |
|---|
| <p><b><i>cellGlobalId1XRTT</i></b><br/> Unique identifier for a CDMA2000 1xRTT cell, corresponds to BASEID, SID and NID parameters (in that order) defined in C.S0005 [25].</p> |
| <p><b><i>cellGlobalIdHRPD</i></b><br/> Unique identifier for a CDMA2000 HRPD cell, corresponds to SECTOR ID parameter defined in C.S0024 [26], clause 14.9.</p>                 |

## – *CellSelectionInfoNFreq*

The IE *CellSelectionInfoNFreq* includes the parameters used for cell selection on a neighbouring frequency, see TS 36.304 [4].

### ***CellSelectionInfoNFreq* information element**

```
-- ASN1START
CellSelectionInfoNFreq-r13 ::= SEQUENCE {
  -- Cell selection information as in SIB1
  q-RxLevMin-r13           Q-RxLevMin,
  q-RxLevMinOffset        INTEGER (1..8)           OPTIONAL, -- Need OP
  -- Cell re-selection information as in SIB3
  q-Hyst-r13              ENUMERATED {
    dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
    dB12, dB14, dB16, dB18, dB20, dB22, dB24},
  q-RxLevMinReselection-r13  Q-RxLevMin,
  t-ReselectionEUTRA-r13    T-Reselection
}
-- ASN1STOP
```

## – *CSG-Identity*

The IE *CSG-Identity* is used to identify a Closed Subscriber Group.

### ***CSG-Identity* information element**

```
-- ASN1START
CSG-Identity ::=
  BIT STRING (SIZE (27))
-- ASN1STOP
```

## – *FreqBandIndicator*

The IE *FreqBandIndicator* indicates the E-UTRA operating band as defined in TS 36.101 [42], table 5.5-1. If an extension is signalled using the extended value range (as defined by IE *FreqBandIndicator-v9e0*), the UE shall only consider this extension (and hence ignore the corresponding original field, using the value range as defined by IE *FreqBandIndicator* i.e. without suffix, if signalled).

### ***FreqBandIndicator* information element**

```
-- ASN1START
FreqBandIndicator ::=
  INTEGER (1..maxFBI)
FreqBandIndicator-v9e0 ::=
  INTEGER (maxFBI-Plus1..maxFBI2)
FreqBandIndicator-r11 ::=
  INTEGER (1..maxFBI2)
-- ASN1STOP
```

NOTE: For fields using the original value range, as defined by IE *FreqBandIndicator* i.e. without suffix, value *maxFBI* indicates that the frequency band is indicated by means of an extension. In such a case, UEs not supporting the extension consider the field to be set to a not supported value.

## – *FreqBandIndicatorNR*

The IE *FreqBandIndicatorNR* indicates the NR operating band as defined in TS 38.101 [85].

### *FreqBandIndicatorNR* information element

```
-- ASN1START
FreqBandIndicatorNR-r15 ::=          INTEGER (1..maxFBI-NR-r15)
-- ASN1STOP
```

## – *MobilityControlInfo*

The IE *MobilityControlInfo* includes parameters relevant for network controlled mobility to/within E-UTRA.

### *MobilityControlInfo* information element

```
-- ASN1START
MobilityControlInfo ::=          SEQUENCE {
  targetPhysCellId                PhysCellId,
  carrierFreq                      CarrierFreqEUTRA                OPTIONAL,  -- Cond HO-
toEUTRA2
  carrierBandwidth                 CarrierBandwidthEUTRA                OPTIONAL,  -- Cond HO-
toEUTRA
  additionalSpectrumEmission       AdditionalSpectrumEmission                OPTIONAL,  -- Cond HO-
toEUTRA
  t304                             ENUMERATED {
                                     ms50, ms100, ms150, ms200, ms500, ms1000,
                                     ms2000, ms10000-v1310},
  newUE-Identity                   C-RNTI,
  radioResourceConfigCommon        RadioResourceConfigCommon,
  rach-ConfigDedicated              RACH-ConfigDedicated                OPTIONAL,  -- Need OP
  ...
  [[ carrierFreq-v9e0                CarrierFreqEUTRA-v9e0                OPTIONAL  -- Need ON
  ]],
  [[ drb-ContinueROHC-r11             ENUMERATED {true}                   OPTIONAL  -- Cond HO
  ]],
  [[ mobilityControlInfoV2X-r14       MobilityControlInfoV2X-r14           OPTIONAL,  -- Need ON
  handoverWithoutWT-Change-r14       ENUMERATED {keepLWA-Config, sendEndMarker} OPTIONAL,  --
Cond HO
  makeBeforeBreak-r14                ENUMERATED {true}                   OPTIONAL,  -- Need OR
  rach-Skip-r14                       RACH-Skip-r14                       OPTIONAL,  -- Need OR
  sameSFN-Indication-r14              ENUMERATED {true}                   OPTIONAL  -- Cond HO-
SFNsyncd
  ]],
  [[
  mib-RepetitionStatus-r14            BOOLEAN                               OPTIONAL,  -- Need OR
  schedulingInfoSIB1-BR-r14           INTEGER (0..31)                     OPTIONAL  -- Cond HO-
SFNsyncd
  ]]
}

MobilityControlInfo-v1010 ::=          SEQUENCE {
  additionalSpectrumEmission-v1010    AdditionalSpectrumEmission-v1010    OPTIONAL  -- Need ON
}

MobilityControlInfoSCG-r12 ::=          SEQUENCE {
  t307-r12                             ENUMERATED {
                                     ms50, ms100, ms150, ms200, ms500, ms1000,
                                     ms2000, spare1},
  ue-IdentitySCG-r12                 C-RNTI                               OPTIONAL,  -- Cond SCGEst
  rach-ConfigDedicated-r12            RACH-ConfigDedicated                OPTIONAL,  -- Need OP
  cipheringAlgorithmSCG-r12           CipheringAlgorithm-r12               OPTIONAL,  -- Need ON
  ...
}
```

```

[[ makeBeforeBreakSCG-r14      ENUMERATED {true}      OPTIONAL,  -- Need OR
   rach-SkipSCG-r14           RACH-Skip-r14         OPTIONAL   -- Need OR
]]
}

MobilityControlInfoV2X-r14 ::= SEQUENCE {
  v2x-CommTxPoolExceptional-r14  SL-CommResourcePoolV2X-r14  OPTIONAL,  -- Need OR
  v2x-CommRxPool-r14             SL-CommRxPoolListV2X-r14   OPTIONAL,  -- Need OR
  v2x-CommSyncConfig-r14         SL-SyncConfigListV2X-r14   OPTIONAL,  -- Need OR
  cbr-MobilityTxConfigList-r14   SL-CBR-CommonTxConfigList-r14  OPTIONAL   -- Need OR
}

CarrierBandwidthEUTRA ::=
  dl-Bandwidth          SEQUENCE {
                        ENUMERATED {
                          n6, n15, n25, n50, n75, n100, spare10,
                          spare9, spare8, spare7, spare6, spare5,
                          spare4, spare3, spare2, spare1},
                        ul-Bandwidth          ENUMERATED {
                          n6, n15, n25, n50, n75, n100, spare10,
                          spare9, spare8, spare7, spare6, spare5,
                          spare4, spare3, spare2, spare1} OPTIONAL -- Need OP
}

CarrierFreqEUTRA ::=
  dl-CarrierFreq        SEQUENCE {
                        ARFCN-ValueEUTRA,
                        ul-CarrierFreq        ARFCN-ValueEUTRA          OPTIONAL   -- Cond FDD
}

CarrierFreqEUTRA-v9e0 ::=
  dl-CarrierFreq-v9e0   SEQUENCE {
                        ARFCN-ValueEUTRA-r9,
                        ul-CarrierFreq-v9e0   ARFCN-ValueEUTRA-r9     OPTIONAL   -- Cond FDD
}

RACH-Skip-r14 ::=
  targetTA-r14          SEQUENCE {
                        CHOICE {
                          ta0-r14           NULL,
                          mcg-PTAG-r14      NULL,
                          scg-PTAG-r14      NULL,
                          mcg-STAG-r14      STAG-Id-r11,
                          scg-STAG-r14      STAG-Id-r11
                        },
  ul-ConfigInfo-r14    SEQUENCE {
                        numberOfConfUL-Processes-r14  INTEGER (1..8),
                        ul-SchedInterval-r14           ENUMERATED {sf2, sf5, sf10},
                        ul-StartSubframe-r14           INTEGER (0..9),
                        ul-Grant-r14                   BIT STRING (SIZE (16))
}
}
OPTIONAL   -- Need OR

-- ASN1STOP

```

| <b>MobilityControlInfo field descriptions</b>  |
|--|
| <p><b>additionalSpectrumEmission</b><br/>For a UE with no SCells configured for UL in the same band as the PCell, the UE shall apply the value for the PCell instead of the corresponding value from <i>SystemInformationBlockType2</i> or <i>SystemInformationBlockType1</i>. For a UE with SCell(s) configured for UL in the same band as the PCell, the UE shall, in case all SCells configured for UL in that band are released after handover completion, apply the value for the PCell instead of the corresponding value from <i>SystemInformationBlockType2</i> or <i>SystemInformationBlockType1</i>. The UE requirements related to IE <i>AdditionalSpectrumEmission</i> are defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs.</p> |
| <p><b>carrierBandwidth</b><br/>Provides the parameters <i>Downlink bandwidth</i>, and <i>Uplink bandwidth</i>, see TS 36.101 [42].</p>   |
| <p><b>carrierFreq</b><br/>Provides the EARFCN to be used by the UE in the target cell.</p>   |
| <p><b>cbr-MobilityTxConfigList</b><br/>Indicates the list of CBR ranges and the list of PSSCH transmission parameter configurations available to configure congestion control to the UE for V2X sidelink communication during handover.</p>  |
| <p><b>cipheringAlgorithmSCG</b><br/>Indicates the ciphering algorithm to be used for SCG DRBs. E-UTRAN includes the field upon SCG change when one or more SCG DRBs are configured. Otherwise E-UTRAN does not include the field.</p>  |
| <p><b>dl-Bandwidth</b><br/>Parameter: <i>Downlink bandwidth</i>, see TS 36.101 [42].</p>   |
| <p><b>drb-ContinueROHC</b><br/>This field indicates whether to continue or reset, for this handover, the header compression protocol context for the RLC UM bearers configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues while absence indicates that the header compression protocol context is reset. E-UTRAN includes the field only in case of a handover within the same eNB.</p>  |
| <p><b>handoverWithoutWT-Change</b><br/>Indicates whether UE performs handover where LWA configuration is retained with the same WT If sendEndMarker is configured, the LWA end-marker for PDCP key change indication is used as defined in [8]. If value keepLWA-Config is configured, LWA end marker is not used and UE shall only retain the LWA configuration.</p>  |
| <p><b>makeBeforeBreak</b><br/>Indicates that the UE shall continue uplink transmission/ downlink reception with the source cell(s) before performing the first transmission through PRACH to the target intra-frequency PCell, or performing initial PUSCH transmission to the target intra-frequency PCell while <i>rach-Skip</i> is configured.</p>  |
| <p><b>makeBeforeBreakSCG</b><br/>Indicates that the UE shall continue uplink transmission/ downlink reception with the source cell(s) before performing the first transmission through PRACH to the target intra-frequency PSCell, or performing initial PUSCH transmission to the target intra-frequency PSCell while <i>rach-SkipSCG</i> is configured.</p>  |
| <p><b>mib-RepetitionStatus</b><br/>Indicates whether additional MIB repetition is enabled in the target cell or not. Value TRUE indicates additional MIB repetition is enabled in the target cell. Value FALSE indicates additional MIB repetition is not enabled in the target cell. The absence of this field indicates additional MIB repetition may or may not be enabled in the target cell. See 5.2.1.2 and TS 36.211 [21], clause 6.4.1. This field is applicable to BL UE or UE in CE.</p>   |
| <p><b>mobilityControlInfoV2X</b><br/>Indicates the sidelink configurations of the target cell for V2X sidelink communication during handover.</p>  |
| <p><b>numberOfConfUL-Processes</b><br/>The number of configured HARQ processes for preallocated uplink grant, see TS 36.321 [6], clause 5.20. This field is applicable if a UE is configured with asynchronous HARQ, otherwise it shall be ignored.</p>  |
| <p><b>rach-ConfigDedicated</b><br/>The dedicated random access parameters. If absent the UE applies contention based random access as specified in TS 36.321 [6].</p>  |
| <p><b>rach-Skip</b><br/>This field indicates whether random access procedure for the target PCell is skipped.</p>  |
| <p><b>rach-SkipSCG</b><br/>This field indicates whether random access procedure for the target PSCell is skipped.</p>  |
| <p><b>sameSFN-Indication</b><br/>This field indicates that the target cell has the same SFN as the source cell and that the BL UE or UE in CE is not required to acquire <i>MasterInformationBlock</i> in the target PCell during handover to obtain the SFN of the target cell, as specified in clause 5.3.5.4.</p>   |
| <p><b>schedulingInfoSIB1-BR</b><br/>Indicates the index to the tables that define <i>SystemInformationBlockType1-BR</i> scheduling information. The tables are specified in TS 36.213 [23], Table 7.1.6-1 and Table 7.1.7.2.7-1. Value 0 means <i>SystemInformationBlockType1-BR</i> is not scheduled. If absent when <i>sameSFN-Indication</i> is present, UE assumes that <i>SystemInformationBlockType1-BR</i> scheduling information in target cell may be different from source cell.</p>   |
| <p><b>t304</b><br/>Timer T304 as described in clause 7.3. ms50 corresponds with 50 ms, ms100 corresponds with 100 ms and so on. EUTRAN includes extended value <i>ms10000-v1310</i> only when UE supports CE.</p>  |

| <b>MobilityControlInfo field descriptions</b> |   |
|---|---|
| <b>additionalSpectrumEmission</b>             | For a UE with no SCells configured for UL in the same band as the PCell, the UE shall apply the value for the PCell instead of the corresponding value from <i>SystemInformationBlockType2</i> or <i>SystemInformationBlockType1</i> . For a UE with SCell(s) configured for UL in the same band as the PCell, the UE shall, in case all SCells configured for UL in that band are released after handover completion, apply the value for the PCell instead of the corresponding value from <i>SystemInformationBlockType2</i> or <i>SystemInformationBlockType1</i> . The UE requirements related to IE <i>AdditionalSpectrumEmission</i> are defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs. |
| <b>t307</b>                                   | Timer T307 as described in clause 7.3. ms50 corresponds with 50 ms, ms100 corresponds with 100 ms and so on.  |
| <b>targetTA</b>                               | This field refers to the timing adjustment indication, see TS 36.213 [23], indicating the $N_{TA}$ value which the UE shall use for the target PTAG of handover or the target PSTAG of SCG change. <i>ta0</i> corresponds to $N_{TA}=0$ . <i>mcg-PTAG</i> corresponds to the latest $N_{TA}$ value of the PTAG associated with MCG. <i>scg-PTAG</i> corresponds to the latest $N_{TA}$ value of the PTAG associated with SCG. <i>mcg-STAG</i> corresponds to the latest $N_{TA}$ value of a MCG STAG indicated by the STAG-Id. <i>scg-STAG</i> corresponds to the latest $N_{TA}$ value of a SCG STAG indicated by the STAG-Id.   |
| <b>ul-Bandwidth</b>                           | Parameter: <i>Uplink bandwidth</i> , see TS 36.101 [42], table 5.6-1. For TDD, the parameter is absent and it is equal to downlink bandwidth. If absent for FDD, apply the same value as applies for the downlink bandwidth.  |
| <b>ul-Grant</b>                               | Indicates the resources of the target PCell/PSCell to be used for the uplink transmission of PUSCH [23], clause 8.8.  |
| <b>ul-SchedInterval</b>                       | Indicates the scheduling interval in uplink, see TS 36.321 [6], clause 5.20. Value in number of sub-frames. Value sf2 corresponds to 2 subframes, sf5 corresponds to 5 subframes and so on.   |
| <b>ul-StartSubframe</b>                       | Indicates the subframe in which the UE may initiate the uplink transmission, see TS 36.321 [6], clause 5.20. Value 0 corresponds to subframe number 0, 1 corresponds to subframe number 1 and so on. The subframe indicating a valid uplink grant according to the calculation of UL grant configured by <i>ul-StartSubframe</i> and <i>ul-SchedInterval</i> , see TS 36.321 [6], clause 5.20, is the same across all radio frames.   |
| <b>v2x-CommRxPool</b>                         | Indicates reception pools for receiving V2X sidelink communication during handover.   |
| <b>v2x-CommSyncConfig</b>                     | Indicates synchronization configurations for performing V2X sidelink communication during handover.   |
| <b>v2x-CommTxPoolExceptional</b>              | Indicates the transmission resources by which the UE is allowed to transmit V2X sidelink communication during handover.   |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>FDD</i>                  | The field is mandatory with default value (the default duplex distance defined for the concerned band, as specified in TS 36.101 [42]) in case of "FDD"; otherwise the field is not present. |
| <i>HO</i>                   | This field is optionally present, need OP, in case of handover within E-UTRA when the <i>fullConfig</i> is not included; otherwise the field is not present.                                 |
| <i>HO-SFNsynced</i>         | This field is optionally present, need OP, in case of source E-UTRA and target E-UTRA cells are SFN synchronised.  |
| <i>HO-toEUTRA</i>           | The field is mandatory present in case of inter-RAT handover to E-UTRA; otherwise the field is optionally present, need ON.  |
| <i>HO-toEUTRA2</i>          | The field is absent if <i>carrierFreq-v9e0</i> is present. Otherwise it is mandatory present in case of inter-RAT handover to E-UTRA and optionally present, need ON, in all other cases.    |
| <i>SCGEst</i>               | This field is mandatory present in case of SCG establishment; otherwise the field is optionally present, need ON.  |

## – *MobilityParametersCDMA2000 (1xRTT)*

The *MobilityParametersCDMA2000* contains the parameters provided to the UE for handover and (enhanced) CSFB to 1xRTT support, as defined in C.S0097 [53].

### ***MobilityParametersCDMA2000* information element**

```
-- ASN1START
MobilityParametersCDMA2000 ::= OCTET STRING
```

```
-- ASN1STOP
```

## – *MobilityStateParameters*

The IE *MobilityStateParameters* contains parameters to determine UE mobility state.

### ***MobilityStateParameters* information element**

```
-- ASN1START
MobilityStateParameters ::= SEQUENCE {
    t-Evaluation      ENUMERATED {
                        s30, s60, s120, s180, s240, spare3, spare2, spare1},
    t-HystNormal      ENUMERATED {
                        s30, s60, s120, s180, s240, spare3, spare2, spare1},
    n-CellChangeMedium INTEGER (1..16),
    n-CellChangeHigh  INTEGER (1..16)
}
-- ASN1STOP
```

### ***MobilityStateParameters* field descriptions**

|                                  |  |
|----------------------------------|--|
| <b><i>n-CellChangeHigh</i></b>   | The number of cell changes to enter high mobility state. Corresponds to N <sub>CR_H</sub> in TS 36.304 [4].  |
| <b><i>n-CellChangeMedium</i></b> | The number of cell changes to enter medium mobility state. Corresponds to N <sub>CR_M</sub> in TS 36.304 [4].  |
| <b><i>t-Evaluation</i></b>       | The duration for evaluating criteria to enter mobility states. Corresponds to T <sub>CRmax</sub> in TS 36.304 [4]. Value in seconds, s30 corresponds to 30 s and so on.                      |
| <b><i>t-HystNormal</i></b>       | The additional duration for evaluating criteria to enter normal mobility state. Corresponds to T <sub>CRmaxHyst</sub> in TS 36.304 [4]. Value in seconds, s30 corresponds to 30 s and so on. |

## – *MultiBandInfoList*

### ***MultiBandInfoList* information element**

```
-- ASN1START
MultiBandInfoList ::= SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator
MultiBandInfoList-v9e0 ::= SEQUENCE (SIZE (1..maxMultiBands)) OF MultiBandInfo-v9e0
MultiBandInfoList-v10j0 ::= SEQUENCE (SIZE (1..maxMultiBands)) OF NS-PmaxList-r10
MultiBandInfoList-v10l0 ::= SEQUENCE (SIZE (1..maxMultiBands)) OF NS-PmaxList-v10l0
MultiBandInfoList-r11 ::= SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator-r11
MultiBandInfo-v9e0 ::= SEQUENCE {
    freqBandIndicator-v9e0 FreqBandIndicator-v9e0 OPTIONAL -- Need OP
}
-- ASN1STOP
```

## – *MultiFrequencyBandListNR*

The IE *MultiFrequencyBandListNR* is used to configure a list of one or multiple NR frequency bands.

### ***MultiFrequencyBandListNR* information element**

```
-- ASN1START
MultiFrequencyBandListNR-r15 ::= SEQUENCE (SIZE (1..maxMultiBandsNR-r15)) OF
FreqBandIndicatorNR-r15
```



```
-- ASN1STOP
```

## – *NS-PmaxList*

The IE *NS-PmaxList* concerns a list of *additionalPmax* and *additionalSpectrumEmission*, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, for a given frequency band. E-UTRAN does not include the same value of *additionalSpectrumEmission* in *SystemInformationBlockType2* within this list.

### ***NS-PmaxList* information element**

```
-- ASN1START
NS-PmaxList-r10 ::=          SEQUENCE (SIZE (1..maxNS-Pmax-r10)) OF NS-PmaxValue-r10
NS-PmaxList-v1010 ::=       SEQUENCE (SIZE (1..maxNS-Pmax-r10)) OF NS-PmaxValue-v1010
NS-PmaxValue-r10 ::=        SEQUENCE {
    additionalPmax-r10          P-Max                               OPTIONAL,    -- Need OP
    additionalSpectrumEmission  AdditionalSpectrumEmission
}
NS-PmaxValue-v1010 ::=      SEQUENCE {
    additionalSpectrumEmission-v1010  AdditionalSpectrumEmission-v1010  OPTIONAL    -- Need OP
}
-- ASN1STOP
```

## – *NS-PmaxListNR*

The IE *NS-PmaxListNR* concerns a list of *additionalPmax* and *additionalSpectrumEmission*, as defined in TS 38.101-1 [85], clause 6 and TS 38.101-2 [100], clause 6 for a given frequency band.

### ***NS-PmaxListNR* information element**

```
-- ASN1START
NS-PmaxListNR-r15 ::=          SEQUENCE (SIZE (1..8)) OF NS-PmaxValueNR-r15
NS-PmaxValueNR-r15 ::=        SEQUENCE {
    additionalPmaxNR-r15        P-MaxNR-r15                               OPTIONAL,    -- Need ON
    additionalSpectrumEmissionNR-r15  AdditionalSpectrumEmissionNR-r15
}
-- ASN1STOP
```

## – *PhysCellId*

The IE *PhysCellId* is used to indicate the physical layer identity of the cell, as defined in TS 36.211 [21].

### ***PhysCellId* information element**

```
-- ASN1START
PhysCellId ::=                INTEGER (0..503)
-- ASN1STOP
```

## – *PhysCellIdRange*

The IE *PhysCellIdRange* is used to encode either a single or a range of physical cell identities. The range is encoded by using a *start* value and by indicating the number of consecutive physical cell identities (including *start*) in the range. For fields comprising multiple occurrences of *PhysCellIdRange*, E-UTRAN may configure overlapping ranges of physical cell identities.

### *PhysCellIdRange* information element

```
-- ASN1START
PhysCellIdRange ::=
    SEQUENCE {
        start
        range
    }
    ENUMERATED {
        n4, n8, n12, n16, n24, n32, n48, n64, n84,
        n96, n128, n168, n252, n504, spare2,
        spare1}
    OPTIONAL -- Need OP
-- ASN1STOP
```

#### *PhysCellIdRange* field descriptions

##### **range**

Indicates the number of physical cell identities in the range (including *start*). Value n4 corresponds with 4, n8 corresponds with 8 and so on. The UE shall apply value 1 in case the field is absent, in which case only the physical cell identity value indicated by *start* applies.

##### **start**

Indicates the lowest physical cell identity in the range.

## – *PhysCellIdRangeUTRA-FDDList*

The IE *PhysCellIdRangeUTRA-FDDList* is used to encode one or more of *PhysCellIdRangeUTRA-FDD*. While the IE *PhysCellIdRangeUTRA-FDD* is used to encode either a single physical layer identity or a range of physical layer identities, i.e. primary scrambling codes. Each range is encoded by using a *start* value and by indicating the number of consecutive physical cell identities (including *start*) in the range.

### *PhysCellIdRangeUTRA-FDDList* information element

```
-- ASN1START
PhysCellIdRangeUTRA-FDDList-r9 ::=
    SEQUENCE (SIZE (1..maxPhysCellIdRange-r9)) OF
    PhysCellIdRangeUTRA-FDD-r9
PhysCellIdRangeUTRA-FDD-r9 ::=
    SEQUENCE {
        start-r9
        range-r9
    }
    INTEGER (2..512)
    OPTIONAL -- Need OP
-- ASN1STOP
```

#### *PhysCellIdRangeUTRA-FDDList* field descriptions

##### **range**

Indicates the number of primary scrambling codes in the range (including *start*). The UE shall apply value 1 in case the field is absent, in which case only the primary scrambling code value indicated by *start* applies.

##### **start**

Indicates the lowest primary scrambling code in the range.

## – *PhysCellIdCDMA2000*

The IE *PhysCellIdCDMA2000* identifies the PNOffset that represents the "Physical cell identity" in CDMA2000.

### *PhysCellIdCDMA2000* information element

```
-- ASN1START
```

```
PhysCellIdCDMA2000 ::=          INTEGER (0..maxPNOffset)
-- ASN1STOP
```

### – *PhysCellIdGERAN*

The IE *PhysCellIdGERAN* contains the Base Station Identity Code (BSIC).

#### ***PhysCellIdGERAN* information element**

```
-- ASN1START
PhysCellIdGERAN ::=          SEQUENCE {
    networkColourCode          BIT STRING (SIZE (3)),
    baseStationColourCode      BIT STRING (SIZE (3))
}
-- ASN1STOP
```

#### ***PhysCellIdGERAN* field descriptions**

##### ***baseStationColourCode***

Base station Colour Code as defined in TS 23.003 [27].

##### ***networkColourCode***

Network Colour Code as defined in TS 23.003 [27].

### – *PhysCellIdNR*

The IE *PhysCellIdNR* indicates the physical layer identity (PCI) of an NR cell.

#### ***PhysCellIdNR* information element**

```
-- ASN1START
PhysCellIdNR-r15 ::=          INTEGER (0..1007)
-- ASN1STOP
```

### – *PhysCellIdUTRA-FDD*

The IE *PhysCellIdUTRA-FDD* is used to indicate the physical layer identity of the cell, i.e. the primary scrambling code, as defined in TS 25.331 [19].

#### ***PhysCellIdUTRA-FDD* information element**

```
-- ASN1START
PhysCellIdUTRA-FDD ::=          INTEGER (0..511)
-- ASN1STOP
```

### – *PhysCellIdUTRA-TDD*

The IE *PhysCellIdUTRA-TDD* is used to indicate the physical layer identity of the cell, i.e. the cell parameters ID (TDD), as specified in TS 25.331 [19]. Also corresponds to the Initial Cell Parameter Assignment in TS 25.223 [46].

#### ***PhysCellIdUTRA-TDD* information element**

```
-- ASN1START
PhysCellIdUTRA-TDD ::=          INTEGER (0..127)
-- ASN1STOP
```

```
-- ASN1STOP
```

## – *PLMN-Identity*

The IE *PLMN-Identity* identifies a Public Land Mobile Network. Further information regarding how to set the IE are specified in TS 23.003 [27].

### *PLMN-Identity* information element

```
-- ASN1START
PLMN-Identity ::= SEQUENCE {
    mcc          MCC          OPTIONAL,      -- Cond MCC
    mnc          MNC
}
MCC ::= SEQUENCE (SIZE (3)) OF MCC-MNC-Digit
MNC ::= SEQUENCE (SIZE (2..3)) OF MCC-MNC-Digit
MCC-MNC-Digit ::= INTEGER (0..9)
-- ASN1STOP
```

### *PLMN-Identity* field descriptions

#### ***mcc***

The first element contains the first MCC digit, the second element the second MCC digit and so on. If the field is absent, it takes the same value as the *mcc* of the immediately preceding IE *PLMN-Identity*. See TS 23.003 [27].

#### ***mnc***

The first element contains the first MNC digit, the second element the second MNC digit and so on. See TS 23.003 [27].

| Conditional presence | Explanation   |
|----------------------|---|
| MCC                  | This IE is mandatory when <i>PLMN-Identity</i> is included in <i>CellGlobalIdEUTRA</i> , in <i>CellGlobalIdUTRA</i> , in <i>CellGlobalIdGERAN</i> or in <i>RegisteredMME</i> . This IE is also mandatory in the first occurrence of the IE <i>PLMN-Identity</i> within the IE <i>PLMN-IdentityList</i> . Otherwise it is optional, need OP. |

## – *PLMN-IdentityList3*

Includes a list of PLMN identities.

### *PLMN-IdentityList3* information element

```
-- ASN1START
PLMN-IdentityList3-r11 ::= SEQUENCE (SIZE (1..16)) OF PLMN-Identity
-- ASN1STOP
```

## – *PmaxNR*

The IE *PmaxNR* concerns a list of *additionalPmax* and *additionalSpectrumEmission*, as defined in TS 38.101 [85], table 6.2.3-1 for a given frequency band.

### *PmaxNR* information element

```
-- ASN1START
P-MaxNR-r15 ::= INTEGER (-30..33)
```

```
-- ASN1STOP
```

### PreRegistrationInfoHRPD

```
-- ASN1START
```

```
PreRegistrationInfoHRPD ::= SEQUENCE {
    preRegistrationAllowed          BOOLEAN,
    preRegistrationZoneId          PreRegistrationZoneIdHRPD OPTIONAL, -- cond PreRegAllowed
    secondaryPreRegistrationZoneIdList SecondaryPreRegistrationZoneIdListHRPD OPTIONAL -- Need OR
}
```

```
SecondaryPreRegistrationZoneIdListHRPD ::= SEQUENCE (SIZE (1..2)) OF PreRegistrationZoneIdHRPD
```

```
PreRegistrationZoneIdHRPD ::= INTEGER (0..255)
```

```
-- ASN1STOP
```

#### PreRegistrationInfoHRPD field descriptions

##### **preRegistrationAllowed**

TRUE indicates that a UE shall perform a CDMA2000 HRPD pre-registration if the UE does not have a valid / current pre-registration. FALSE indicates that the UE is not allowed to perform CDMA2000 HRPD pre-registration in the current cell.

##### **preRegistrationZoneId**

ColorCode (see C.S0024 [26], C.S0087 [44]) of the CDMA2000 Reference Cell corresponding to the HRPD sector under the HRPD AN that is configured for this LTE cell. It is used to control when the UE should register or re-register.

##### **secondaryPreRegistrationZoneIdList**

List of SecondaryColorCodes (see C.S0024 [26], C.S0087 [44]) of the CDMA2000 Reference Cell corresponding to the HRPD sector under the HRPD AN that is configured for this LTE cell. They are used to control when the UE should re-register.

| Conditional presence | Explanation  |
|----------------------|--|
| <i>PreRegAllowed</i> | The field is mandatory in case the <i>preRegistrationAllowed</i> is set to <i>true</i> . Otherwise the field is not present and the UE shall delete any existing value for this field. |

### Q-QualMin

The IE *Q-QualMin* is used to indicate for cell selection/ re-selection the required minimum received RSRQ level in the (E-UTRA) cell. Corresponds to parameter  $Q_{qualmin}$  in TS 36.304 [4]. Actual value  $Q_{qualmin} = \text{field value [dB]}$ .

#### Q-QualMin information element

```
-- ASN1START
```

```
Q-QualMin-r9 ::= INTEGER (-34..-3)
```

```
-- ASN1STOP
```

### Q-RxLevMin

The IE *Q-RxLevMin* is used to indicate for cell selection/ re-selection the required minimum received RSRP level in the (E-UTRA) cell. Corresponds to parameter  $Q_{rxlevmin}$  in TS 36.304 [4]. Actual value  $Q_{rxlevmin} = \text{field value} * 2 \text{ [dBm]}$ .

#### Q-RxLevMin information element

```
-- ASN1START
```

```
Q-RxLevMin ::= INTEGER (-70..-22)
```

```
-- ASN1STOP
```

## – *Q-OffsetRange*

The IE *Q-OffsetRange* is used to indicate a cell, CSI-RS resource or frequency specific offset to be applied when evaluating candidates for cell re-selection or when evaluating triggering conditions for measurement reporting. The value in dB. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.

### ***Q-OffsetRange* information element**

```
-- ASN1START
Q-OffsetRange ::=
    ENUMERATED {
        dB-24, dB-22, dB-20, dB-18, dB-16, dB-14,
        dB-12, dB-10, dB-8, dB-6, dB-5, dB-4, dB-3,
        dB-2, dB-1, dB0, dB1, dB2, dB3, dB4, dB5,
        dB6, dB8, dB10, dB12, dB14, dB16, dB18,
        dB20, dB22, dB24}
-- ASN1STOP
```

## – *Q-OffsetRangeInterRAT*

The IE *Q-OffsetRangeInterRAT* is used to indicate a frequency specific offset to be applied when evaluating triggering conditions for measurement reporting. The value in dB.

### ***Q-OffsetRangeInterRAT* information element**

```
-- ASN1START
Q-OffsetRangeInterRAT ::=
    INTEGER (-15..15)
-- ASN1STOP
```

## – *ReselectionThreshold*

The IE *ReselectionThreshold* is used to indicate an Rx level threshold for cell reselection. Actual value of threshold = field value \* 2 [dB].

### ***ReselectionThreshold* information element**

```
-- ASN1START
ReselectionThreshold ::=
    INTEGER (0..31)
-- ASN1STOP
```

## – *ReselectionThresholdQ*

The IE *ReselectionThresholdQ* is used to indicate a quality level threshold for cell reselection. Actual value of threshold = field value [dB].

### ***ReselectionThresholdQ* information element**

```
-- ASN1START
ReselectionThresholdQ-r9 ::=
    INTEGER (0..31)
-- ASN1STOP
```

## – *SCellIndex*

The IE *SCellIndex* concerns a short identity, used to identify an SCell.

**SCellIndex information element**

```

-- ASN1START
SCellIndex-r10 ::=                INTEGER (1..7)
SCellIndex-r13 ::=                INTEGER (1..31)
-- ASN1STOP

```

– **ServCellIndex**

The IE *ServCellIndex* concerns a short identity, used to identify a serving cell (i.e. the PCell or an SCell). Value 0 applies for the PCell, while the *SCellIndex* that has previously been assigned applies for SCells.

**ServCellIndex information element**

```

-- ASN1START
ServCellIndex-r10 ::=              INTEGER (0..7)
ServCellIndex-r13 ::=              INTEGER (0..31)
-- ASN1STOP

```

– **SpeedStateScaleFactors**

The IE *SpeedStateScaleFactors* concerns factors, to be applied when the UE is in medium or high speed state, used for scaling a mobility control related parameter.

**SpeedStateScaleFactors information element**

```

-- ASN1START
SpeedStateScaleFactors ::=        SEQUENCE {
    sf-Medium                      ENUMERATED {oDot25, oDot5, oDot75, lDot0},
    sf-High                        ENUMERATED {oDot25, oDot5, oDot75, lDot0}
}
-- ASN1STOP

```

**SpeedStateScaleFactors field descriptions****sf-High**

The concerned mobility control related parameter is multiplied with this factor if the UE is in High Mobility state as defined in TS 36.304 [4]. Value oDot25 corresponds to 0.25, oDot5 corresponds to 0.5, oDot75 corresponds to 0.75 and so on.

**sf-Medium**

The concerned mobility control related parameter is multiplied with this factor if the UE is in Medium Mobility state as defined in TS 36.304 [4]. Value oDot25 corresponds to 0.25, oDot5 corresponds to 0.5, oDot75 corresponds to 0.75 and so on.

– **SystemInfoListGERAN**

The IE *SystemInfoListGERAN* contains system information of a GERAN cell.

**SystemInfoListGERAN information element**

```

-- ASN1START
SystemInfoListGERAN ::=          SEQUENCE (SIZE (1..maxGERAN-SI)) OF
                                OCTET STRING (SIZE (1..23))
-- ASN1STOP

```

| <b>SystemInfoListGERAN field descriptions</b>  |
|--|
| <p><b>SystemInfoListGERAN</b><br/>                     Each OCTET STRING contains one System Information (SI) message as defined in TS 44.018 [45], table 9.1.1, excluding the L2 Pseudo Length, the RR management Protocol Discriminator and the Skip Indicator or a complete Packet System Information (PSI) message as defined in TS 44.060 [36], table 11.2.1.</p> |

– **SystemTimeInfoCDMA2000**

The IE *SystemTimeInfoCDMA2000* informs the UE about the absolute time in the current cell. The UE uses this absolute time knowledge to derive the CDMA2000 Physical cell identity, expressed as PNOffset, of neighbour CDMA2000 cells.

NOTE: The UE needs the CDMA2000 system time with a certain level of accuracy for performing measurements as well as for communicating with the CDMA2000 network (HRPD or 1xRTT).

**SystemTimeInfoCDMA2000 information element**

```

-- ASN1START
SystemTimeInfoCDMA2000 ::= SEQUENCE {
    cdma-EUTRA-Synchronisation    BOOLEAN,
    cdma-SystemTime               CHOICE {
        synchronousSystemTime     BIT STRING (SIZE (39)),
        asynchronousSystemTime    BIT STRING (SIZE (49))
    }
}
-- ASN1STOP
    
```

| <b>SystemTimeInfoCDMA2000 field descriptions</b>  |
|---|
| <p><b>asynchronousSystemTime</b><br/>                     The CDMA2000 system time corresponding to the SFN boundary at or after the ending boundary of the SI-Window in which <i>SystemInformationBlockType8</i> is transmitted. E-UTRAN includes this field if the E-UTRA frame boundary is not aligned to the start of CDMA2000 system time. This field size is 49 bits and the unit is 8 CDMA chips based on 1.2288 Mcps.</p> |
| <p><b>cdma-EUTRA-Synchronisation</b><br/>                     TRUE indicates that there is no drift in the timing between E-UTRA and CDMA2000. FALSE indicates that the timing between E-UTRA and CDMA2000 can drift. NOTE 1</p>  |
| <p><b>synchronousSystemTime</b><br/>                     CDMA2000 system time corresponding to the SFN boundary at or after the ending boundary of the SI-window in which <i>SystemInformationBlockType8</i> is transmitted. E-UTRAN includes this field if the E-UTRA frame boundary is aligned to the start of CDMA2000 system time. This field size is 39 bits and the unit is 10 ms based on a 1.2288 Mcps chip rate.</p>     |

NOTE 1: The following table shows the recommended combinations of the *cdma-EUTRA-Synchronisation* field and the choice of *cdma-SystemTime* included by E-UTRAN for FDD and TDD:

| FDD/TDD | <i>cdma-EUTRA-Synchronisation</i> | <i>synchronousSystemTime</i> | <i>asynchronousSystemTime</i> |
|---------|-----------------------------------|------------------------------|-------------------------------|
| FDD     | FALSE                             | Not Recommended              | Recommended                   |
| FDD     | TRUE                              | Recommended                  | Recommended                   |
| TDD     | FALSE                             | Not Recommended              | Recommended                   |
| TDD     | TRUE                              | Recommended                  | Recommended                   |

– **ThresholdNR**

The IE *ThresholdNR* and IE *ThresholdListNR* contain thresholds for NR related inter-RAT measurements.

**ThresholdNR information element**

```

-- ASN1START
    
```



```

ThresholdNR-r15 ::= CHOICE{
  nr-RSRP-r15          RSRP-RangeNR-r15,
  nr-RSRQ-r15         RSRQ-RangeNR-r15,
  nr-SINR-r15         RS-SINR-RangeNR-r15
}

ThresholdListNR-r15 ::= SEQUENCE{
  nr-RSRP-r15          RSRP-RangeNR-r15          OPTIONAL, -- Need OR
  nr-RSRQ-r15         RSRQ-RangeNR-r15          OPTIONAL, -- Need OR
  nr-SINR-r15         RS-SINR-RangeNR-r15       OPTIONAL  -- Need OR
}

-- ASN1STOP

```

### – *TrackingAreaCode*

The IE *TrackingAreaCode* is used to identify a tracking area within the scope of a PLMN, see TS 24.301 [35].

#### ***TrackingAreaCode* information element**

```

-- ASN1START
TrackingAreaCode ::= BIT STRING (SIZE (16))
TrackingAreaCode-5GC-r15 ::= BIT STRING (SIZE (24))
-- ASN1STOP

```

### – *T-Reselection*

The IE *T-Reselection* concerns the cell reselection timer  $T_{reselction_{RAT}}$  for E-UTRA, UTRA, GERAN or CDMA2000. Value in seconds. For value 0, behaviour as specified in 7.3.2 applies.

#### ***T-Reselection* information element**

```

-- ASN1START
T-Reselection ::= INTEGER (0..7)
-- ASN1STOP

```

### – *T-ReselectionEUTRA-CE*

The IE *T-ReselectionEUTRA-CE* concerns the cell reselection timer  $T_{reselction_{EUTRA\_CE}}$  as specified in TS 36.304 [4]. Value in seconds. For value 0, behaviour as specified in 7.3.2 applies.

#### ***T-ReselectionEUTRA-CE* information element**

```

-- ASN1START
T-ReselectionEUTRA-CE-r13 ::= INTEGER (0..15)
-- ASN1STOP

```

## 6.3.5 Measurement information elements

### – *AllowedMeasBandwidth*

The IE *AllowedMeasBandwidth* is used to indicate the maximum allowed measurement bandwidth on a carrier frequency as defined by the parameter Transmission Bandwidth Configuration "N<sub>RB</sub>" TS 36.104 [47]. The values mbw6, mbw15, mbw25, mbw50, mbw75, mbw100 indicate 6, 15, 25, 50, 75 and 100 resource blocks respectively.

**AllowedMeasBandwidth information element**

```
-- ASN1START
AllowedMeasBandwidth ::=          ENUMERATED {mbw6, mbw15, mbw25, mbw50, mbw75, mbw100}
-- ASN1STOP
```

**BT-NameList**

The IE *BT-NameList* is used to indicate the names of the Bluetooth beacon which the UE is configured to measure.

**BT-NameList information element**

```
-- ASN1START
BT-NameListConfig-r15 ::=          CHOICE{
    release          NULL,
    setup           BT-NameList-r15
}
BT-NameList-r15 ::=          SEQUENCE (SIZE (1..maxBT-Name-r15)) OF BT-Name-r15
BT-Name-r15 ::=          OCTET STRING (SIZE (1..248))
-- ASN1STOP
```

**BT-NameList field descriptions****bt-Name**

If configured, the UE only performs Bluetooth measurements according to the names identified. For each name, it refers to LOCAL NAME defined in Bluetooth specification [93].

**CSI-RSRP-Range**

The IE *CSI-RSRP-Range* specifies the value range used in CSI-RSRP measurements and thresholds. Integer value for CSI-RSRP measurements according to mapping table in TS 36.133 [16].

**CSI-RSRP-Range information element**

```
-- ASN1START
CSI-RSRP-Range-r12 ::=          INTEGER(0..97)
-- ASN1STOP
```

**Hysteresis**

The IE *Hysteresis* is a parameter used within the entry and leave condition of an event triggered reporting condition. The actual value is field value \* 0.5 dB, except if included in *reportConfigEUTRA* and associated to *eventV1* or *eventV2*. If included in *reportConfigEUTRA* and associated to *eventV1* or *eventV2*, the actual value is field value divided by 100.

**Hysteresis information element**

```
-- ASN1START
Hysteresis ::=          INTEGER (0..30)
-- ASN1STOP
```

## – LocationInfo

The IE *LocationInfo* is used to transfer detailed location information available at the UE to correlate measurements and UE position information.

### LocationInfo information element

```

-- ASN1START
LocationInfo-r10 ::= SEQUENCE {
    locationCoordinates-r10 CHOICE {
        ellipsoid-Point-r10 OCTET STRING,
        ellipsoidPointWithAltitude-r10 OCTET STRING,
        ...,
        ellipsoidPointWithUncertaintyCircle-r11 OCTET STRING,
        ellipsoidPointWithUncertaintyEllipse-r11 OCTET STRING,
        ellipsoidPointWithAltitudeAndUncertaintyEllipsoid-r11 OCTET STRING,
        ellipsoidArc-r11 OCTET STRING,
        polygon-r11 OCTET STRING
    },
    horizontalVelocity-r10 OCTET STRING OPTIONAL,
    gnss-TOD-msec-r10 OCTET STRING OPTIONAL,
    ...,
    [[ verticalVelocityInfo-r15 CHOICE {
        verticalVelocity-r15 OCTET STRING,
        verticalVelocityAndUncertainty-r15 OCTET STRING
    }
    OPTIONAL
    ]]
}
-- ASN1STOP

```

#### LocationInfo field descriptions

|   |
|---|
| <b>ellipsoidArc</b><br>Parameter <i>EllipsoidArc</i> defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.  |
| <b>ellipsoid-Point</b><br>Parameter <i>Ellipsoid-Point</i> defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.  |
| <b>ellipsoidPointWithAltitude</b><br>Parameter <i>EllipsoidPointWithAltitude</i> defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.  |
| <b>ellipsoidPointWithAltitudeAndUncertaintyEllipsoid</b><br>Parameter <i>EllipsoidPointWithAltitudeAndUncertaintyEllipsoid</i> defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.                              |
| <b>ellipsoidPointWithUncertaintyCircle</b><br>Parameter <i>Ellipsoid-PointWithUncertaintyCircle</i> defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.   |
| <b>ellipsoidPointWithUncertaintyEllipse</b><br>Parameter <i>EllipsoidPointWithUncertaintyEllipse</i> defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.  |
| <b>gnss-TOD-msec</b><br>Parameter <i>Gnss-TOD-msec</i> defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.  |
| <b>horizontalVelocity</b><br>Parameter <i>HorizontalVelocity</i> defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.  |
| <b>polygon</b><br>Parameter <i>Polygon</i> defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.  |
| <b>verticalVelocityAndUncertainty</b><br>Parameter <i>verticalVelocityAndUncertainty</i> corresponds to <i>horizontalWithVerticalVelocityAndUncertainty</i> defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit. |
| <b>verticalVelocity</b><br>Parameter <i>verticalVelocity</i> corresponds to <i>horizontalWithVerticalVelocity</i> defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.   |

– *LogMeasResultListBT*

The IE *LogMeasResultListBT* covers measured results for Bluetooth.

**LogMeasResultListBT information element**

```
-- ASN1START
LogMeasResultListBT-r15 ::= SEQUENCE (SIZE (1..maxBT-IdReport-r15)) OF LogMeasResultBT-r15
LogMeasResultBT-r15 ::= SEQUENCE {
    bt-Addr-r15          BIT STRING (SIZE (48)),
    rssi-BT-r15          INTEGER (-128..127)          OPTIONAL,
    ...
}
-- ASN1STOP
```

**LogMeasResultListBT field descriptions**

**bt-Addr**

This field indicates the Bluetooth public address of the Bluetooth beacon as defined in TS 36.355 [54].

**rssi-BT**

This field provides the beacon received signal strength indicator (RSSI) in dBm as defined in TS 36.355 [54].

– *LogMeasResultListWLAN*

The IE *LogMeasResultListWLAN* covers measured results for WLAN.

**LogMeasResultListWLAN information element**

```
-- ASN1START
LogMeasResultListWLAN-r15 ::= SEQUENCE (SIZE (1..maxWLAN-Id-Report-r14)) OF LogMeasResultWLAN-r15
LogMeasResultWLAN-r15 ::= SEQUENCE {
    wlan-Identifiers-r15  WLAN-Identifiers-r12,
    rssiWLAN-r15          WLAN-RSSI-Range-r13          OPTIONAL,
    rtt-WLAN-r15          WLAN-RTT-r15                  OPTIONAL,
    ...
}
-- ASN1STOP
```

**LogMeasResultListWLAN field descriptions**

**rssiWLAN**

Measured WLAN RSSI result in dBm.

**rtt-WLAN**

This field provides the measured round trip time between the target device and WLAN AP and optionally the accuracy expressed as the standard deviation of the delay. Units for each of these are 1000ns, 100ns, 10ns, 1ns, and 0.1ns as defined in TS 36.355 [54].

**wlan-Identifiers**

Indicates the WLAN parameters used for identification of the WLAN for which the measurement results are applicable.

– *MaxRS-IndexCellQualNR*

The IE *MaxRS-IndexCellQualNR* indicates the maximum number of RS indices to be considered/ averaged to derive the cell quality for RRM.

**MaxRS-IndexCellQualNR information element**

```
-- ASN1START
MaxRS-IndexCellQualNR-r15 ::= INTEGER (1..maxRS-IndexCellQual-r15)
```

```
-- ASN1STOP
```

## – MBSFN-RSRQ-Range

The IE *MBSFN-RSRQ-Range* specifies the value range used in MBSFN RSRQ measurements. Integer value for MBSFN RSRQ measurements according to mapping table in TS 36.133 [16].

### **MBSFN-RSRQ-Range information element**

```
-- ASN1START
MBSFN-RSRQ-Range-r12 ::=
-- ASN1STOP
```

## – MeasConfig

The IE *MeasConfig* specifies measurements to be performed by the UE, and covers intra-frequency, inter-frequency and inter-RAT mobility as well as configuration of measurement gaps.

### **MeasConfig information element**

```
-- ASN1START
MeasConfig ::=
-- Measurement objects
measObjectToRemoveList      MeasObjectToRemoveList      OPTIONAL, -- Need ON
measObjectToAddModList      MeasObjectToAddModList      OPTIONAL, -- Need ON
-- Reporting configurations
reportConfigToRemoveList     ReportConfigToRemoveList     OPTIONAL, -- Need ON
reportConfigToAddModList     ReportConfigToAddModList     OPTIONAL, -- Need ON
-- Measurement identities
measIdToRemoveList          MeasIdToRemoveList          OPTIONAL, -- Need ON
measIdToAddModList          MeasIdToAddModList          OPTIONAL, -- Need ON
-- Other parameters
quantityConfig              QuantityConfig              OPTIONAL, -- Need ON
measGapConfig               MeasGapConfig              OPTIONAL, -- Need ON
s-Measure                   RSRP-Range                 OPTIONAL, -- Need ON
preRegistrationInfoHRPD     PreRegistrationInfoHRPD     OPTIONAL, -- Need OP
speedStatePars              CHOICE {
    release                  NULL,
    setup                    SEQUENCE {
        mobilityStateParameters  MobilityStateParameters,
        timeToTrigger-SF        SpeedStateScaleFactors
    }
}
--
...
[[ measObjectToAddModList-v9e0      MeasObjectToAddModList-v9e0      OPTIONAL -- Need ON
]],
[[ allowInterruptions-r11           BOOLEAN                            OPTIONAL -- Need ON
]],
[[ measScaleFactor-r12              CHOICE {
    release                  NULL,
    setup                    MeasScaleFactor-r12
}
-- Need ON
measIdToRemoveListExt-r12          MeasIdToRemoveListExt-r12          OPTIONAL, -- Need ON
measIdToAddModListExt-r12         MeasIdToAddModListExt-r12         OPTIONAL, -- Need ON
measRSRQ-OnAllSymbols-r12         BOOLEAN                            OPTIONAL -- Need ON
]],
[[
measObjectToRemoveListExt-r13     MeasObjectToRemoveListExt-r13     OPTIONAL, -- Need ON
measObjectToAddModListExt-r13     MeasObjectToAddModListExt-r13     OPTIONAL, -- Need ON
measIdToAddModList-v1310          MeasIdToAddModList-v1310          OPTIONAL, -- Need ON
measIdToAddModListExt-v1310       MeasIdToAddModListExt-v1310       OPTIONAL, -- Need ON
]],
[[ measGapConfigPerCC-List-r14      MeasGapConfigPerCC-List-r14      OPTIONAL, -- Need ON
measGapSharingConfig-r14          MeasGapSharingConfig-r14          OPTIONAL, -- Need ON
]],
[[ fr1-Gap-r15                      BOOLEAN                            OPTIONAL, -- Need ON
mgta-r15                          BOOLEAN                            OPTIONAL -- Need ON
]],
[[ measGapConfigDensePRS-r15        MeasGapConfigDensePRS-r15        OPTIONAL, -- Need ON
```

```

        heightThreshRef-r15 CHOICE {
            release          NULL,
            setup            INTEGER (0..31)
        }
    ]]
}

MeasIdToRemoveList ::= SEQUENCE (SIZE (1..maxMeasId)) OF MeasId
MeasIdToRemoveListExt-r12 ::= SEQUENCE (SIZE (1..maxMeasId)) OF MeasId-v1250
MeasObjectToRemoveList ::= SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectId
MeasObjectToRemoveListExt-r13 ::= SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectId-v1310
ReportConfigToRemoveList ::= SEQUENCE (SIZE (1..maxReportConfigId)) OF ReportConfigId
-- ASN1STOP

```

### **MeasConfig field descriptions**

#### **allowInterruptions**

Value TRUE indicates that the UE is allowed to cause interruptions to serving cells when performing measurements of deactivated SCell carriers for *measCycleSCell* of less than 640ms, as specified in TS 36.133 [16]. E-UTRAN enables this field only when an SCell is configured.

#### **fr1-Gap**

Indicates whether the gap is only applicable for measurements on FR1. E-UTRAN only sets this field to *TRUE* when the UE is configured with (NG)EN-DC.

#### **heightThreshRef**

Reference height threshold for *eventH1* and *eventH2* in *reportConfig*. Value 0 refers to -420m, value 1 refers to -120m, and so on until value 30 refers to 8880m. The actual value is height in meters relative to sea level. Value 31 is reserved.

#### **measGapConfig**

Used to setup and release measurement gaps. E-UTRAN includes either *measGapConfig* or *measGapConfigPerCC-List*, if any.

#### **measGapConfigDensePRS**

Used to setup and release additional measurement gap pattern with dense PRS configuration as specified in TS 36.133 [16], Table 8.1.2.1-3. E-UTRAN configures this field only when UE indicates the preference of measurement gap configuration for dense PRS, i.e., *measPRS-Offset-r15*.

#### **measGapConfigPerCC-List**

Used to setup and release serving cell sepecific measurement gaps. E-UTRAN includes either *measGapConfig* or *measGapConfigPerCC-List*, if any.

#### **measGapSharingConfig**

Used to setup and release measurement gap sharing for intra- and inter-frequency measurement as specified in TS 36.133 [16].

#### **measIdToAddModList**

List of measurement identities. Field *measIdToAddModListExt* includes additional measurement identities i.e. extends the size of the measurement identity list using the general principles specified in 5.1.2. If E-UTRAN includes *measIdToAddModList-v1310* it includes the same number of entries, and listed in the same order, as in *measIdToAddModList* (i.e. without suffix). If E-UTRAN includes *measIdToAddModListExt-v1310*, it includes the same number of entries, and listed in the same order, as in *measIdToAddModListExt-r12*.

#### **measIdToRemoveList**

List of measurement identities to remove. Field *measIdToRemoveListExt* includes additional measurement identities i.e. extends the size of the measurement identity list using the general principles specified in 5.1.2.

#### **measObjectToAddModList**

If E-UTRAN includes *measObjectToAddModList-v9e0* it includes the same number of entries, and listed in the same order, as in *measObjectToAddModList* (i.e. without suffix). Field *measObjectToAddModListExt* includes additional measurement object identities i.e. extends the size of the measurement object identity list using the general principles specified in 5.1.2.

#### **measObjectToRemoveList**

List of measurement objects to remove. Field *measObjectToRemoveListExt* includes additional measurement object identities i.e. extends the size of the measurement object identity list using the general principles specified in 5.1.2.

#### **measRSRQ-OnAllSymbols**

Value *TRUE* indicates that the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols in accordance with TS 36.214 [48]. If *widebandRSRQ-Meas* is enabled for the frequency in *MeasObjectEUTRA*, the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols with wider bandwidth for concerned frequency in accordance with TS 36.214 [48].

#### **measScaleFactor**

Even if *reducedMeasPerformance* is not included in any *measObjectEUTRA* or *measObjectUTRA*, E-UTRAN may configure this field. The UE behavior is specified in TS 36.133 [16].

| <b>MeasConfig field descriptions</b>  |
|---|
| <p><b>mgta</b><br/>Indicates whether a timing advance value of 0.5 ms is applicable to the measurement gap configuration provided by E-UTRAN according to TS 38.133 [84]. E-UTRAN sets <i>mgta</i> to TRUE only when the UE is configured to perform NR measurements.</p>           |
| <p><b>preRegistrationInfoHRPD</b><br/>The CDMA2000 HRPD Pre-Registration Information tells the UE if it should pre-register with the CDMA2000 HRPD network and identifies the Pre-registration zone to the UE.</p>  |
| <p><b>reportConfigToRemoveList</b><br/>List of measurement reporting configurations to remove.</p>  |
| <p><b>s-Measure</b><br/>PCell (or PSCell, if the UE is in NE-DC) quality threshold controlling whether or not the UE is required to perform measurements of intra-frequency, inter-frequency and inter-RAT neighbouring cells. Value "0" indicates to disable <i>s-Measure</i>.</p> |
| <p><b>timeToTrigger-SF</b><br/>The <i>timeToTrigger</i> in <i>ReportConfigEUTRA</i> and in <i>ReportConfigInterRAT</i> are multiplied with the scaling factor applicable for the UE's speed state.</p>  |

## – MeasDS-Config

The IE *MeasDS-Config* specifies information applicable for discovery signals measurement.

### MeasDS-Config information elements

```

-- ASN1START
MeasDS-Config-r12 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    dmtc-PeriodOffset-r12 CHOICE {
      ms40-r12      INTEGER(0..39),
      ms80-r12      INTEGER(0..79),
      ms160-r12     INTEGER(0..159),
      ...
    },
    ds-OccasionDuration-r12 CHOICE {
      durationFDD-r12  INTEGER(1..maxDS-Duration-r12),
      durationTDD-r12  INTEGER(2..maxDS-Duration-r12)
    },
    measCSI-RS-ToRemoveList-r12 MeasCSI-RS-ToRemoveList-r12 OPTIONAL, -- Need ON
    measCSI-RS-ToAddModList-r12 MeasCSI-RS-ToAddModList-r12 OPTIONAL, -- Need ON
    ...
  }
}

MeasCSI-RS-ToRemoveList-r12 ::= SEQUENCE (SIZE (1..maxCSI-RS-Meas-r12)) OF MeasCSI-RS-Id-r12
MeasCSI-RS-ToAddModList-r12 ::= SEQUENCE (SIZE (1..maxCSI-RS-Meas-r12)) OF MeasCSI-RS-Config-r12
MeasCSI-RS-Id-r12 ::= INTEGER (1..maxCSI-RS-Meas-r12)
MeasCSI-RS-Config-r12 ::= SEQUENCE {
  measCSI-RS-Id-r12      MeasCSI-RS-Id-r12,
  physCellId-r12        INTEGER (0..503),
  scramblingIdentity-r12 INTEGER (0..503),
  resourceConfig-r12    INTEGER (0..31),
  subframeOffset-r12    INTEGER (0..4),
  csi-RS-IndividualOffset-r12 Q-OffsetRange,
  ...
}
-- ASN1STOP

```

| <b>MeasDS-Config field descriptions</b>  |
|--|
| <p><b>csi-RS-IndividualOffset</b><br/>CSI-RS individual offset applicable to a specific CSI-RS resource. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.</p>   |
| <p><b>dmTC-PeriodOffset</b><br/>Indicates the discovery signals measurement timing configuration (DMTC) periodicity (<i>dmTC-Periodicity</i>) and offset (<i>dmTC-Offset</i>) for this frequency. For DMTC periodicity, value ms40 corresponds to 40ms, ms80 corresponds to 80ms and so on. The value of DMTC offset is in number of subframe(s). The duration of a DMTC occasion is 6ms.</p>  |
| <p><b>ds-OccasionDuration</b><br/>Indicates the duration of discovery signal occasion for this frequency. Discovery signal occasion duration is common for all cells transmitting discovery signals on one frequency. If the <i>carrierFreq</i> in the measurement object is on an unlicensed band as specified in [42], the UE shall ignore the field <i>ds-OccasionDuration</i> for the carrier frequency and apply a value 1 instead.</p> |
| <p><b>measCSI-RS-ToAddModList</b><br/>List of CSI-RS resources to add/ modify in the CSI-RS resource list for discovery signals measurement.</p>   |
| <p><b>measCSI-RS-ToRemoveList</b><br/>List of CSI-RS resources to remove from the CSI-RS resource list for discovery signals measurement.</p>  |
| <p><b>physCellId</b><br/>Indicates the physical cell identity where UE may assume that the CSI-RS and the PSS/SSS/CRS corresponding to the indicated physical cell identity are quasi co-located with respect to average delay and doppler shift.</p>  |
| <p><b>resourceConfig</b><br/>Parameter: CSI reference signal configuration, see TS 36.211 [21], tables 6.10.5.2-1 and 6.10.5.2-2. If the <i>carrierFreq</i> in the measurement object is on an unlicensed band as specified in TS 36.101 [42], E-UTRAN does not configure the values {0, 4, 5, 9, 10, 11, 18, 19}.</p>   |
| <p><b>scramblingIdentity</b><br/>Parameter: Pseudo-random sequence generator parameter, <math>n_{ID}</math>, see TS 36.213 [23], clause 7.2.5.</p>   |
| <p><b>subframeOffset</b><br/>Indicates the subframe offset between SSS of the cell indicated by <i>physCellId</i> and the CSI-RS resource in a discovery signal occasion. The field <i>subframeOffset</i> is set to values 0 if the <i>carrierFreq</i> in the measurement object is on an unlicensed band as specified in TS 36.101 [42].</p>  |

## – MeasGapConfig

The IE *MeasGapConfig* specifies the measurement gap configuration and controls setup/ release of measurement gaps.

### MeasGapConfig information element

```

-- ASN1START
MeasGapConfig ::=
    CHOICE {
        release          NULL,
        setup            SEQUENCE {
            gapOffset   CHOICE {
                gp0      INTEGER (0..39),
                gp1      INTEGER (0..79),
                ...,
                gp2-r14  INTEGER (0..39),
                gp3-r14  INTEGER (0..79),
                gp-ncsg0-r14  INTEGER (0..39),
                gp-ncsg1-r14  INTEGER (0..79),
                gp-ncsg2-r14  INTEGER (0..39),
                gp-ncsg3-r14  INTEGER (0..79),
                gp-nonUniform1-r14  INTEGER (0..1279),
                gp-nonUniform2-r14  INTEGER (0..2559),
                gp-nonUniform3-r14  INTEGER (0..5119),
                gp-nonUniform4-r14  INTEGER (0..10239),
                gp4-r15    INTEGER (0..19),
                gp5-r15    INTEGER (0..159),
                gp6-r15    INTEGER (0..19),
                gp7-r15    INTEGER (0..39),
                gp8-r15    INTEGER (0..79),
                gp9-r15    INTEGER (0..159),
                gp10-r15   INTEGER (0..19),
                gp11-r15   INTEGER (0..159)
            }
        }
    }
-- ASN1END

```



```
-- ASN1STOP
```

### **MeasGapConfig field descriptions**

#### **gapOffset**

Value *gapOffset* of *gp0* corresponds to gap offset of Gap Pattern Id "0" with MGRP = 40ms, *gapOffset* of *gp1* corresponds to gap offset of Gap Pattern Id "1" with MGRP = 80ms, *gapOffset* of *gp2* corresponds to gap offset of Gap Pattern Id "2" with MGRP = 40ms and MGL = 3ms, *gapOffset* of *gp3* Gap Pattern Id "3" with MGRP = 80ms and MGL = 3ms, *gapOffset* of *gp-ncsg0* corresponds to gap offset of NCSG Pattern Id "0" with VIRP = 40ms and ML = 4ms, *gapOffset* of *gp-ncsg1* corresponds to gap offset of NCSG Pattern Id "1" with VIRP = 80ms and ML = 4ms, *gapOffset* of *gp-ncsg2* corresponds to gap offset of NCSG Pattern Id "2" with VIRP = 40ms and ML = 3ms, *gapOffset* of *gp-ncsg3* corresponds to gap offset of NCSG Pattern Id "3" with VIRP = 80ms and ML = 3ms. *gapOffset* of *gp-nonUniform1* corresponds to gap offset of non uniform gap pattern Id "1" with LMGRP = 1280ms, *gapOffset* of *gp-nonUniform2* corresponds to gap offset of non uniform gap pattern Id "2" with LMGRP = 2560ms, *gapOffset* of *gp-nonUniform3* corresponds to gap offset of non uniform gap pattern Id "3" with LMGRP = 5120ms, *gapOffset* of *gp-nonUniform4* corresponds to gap offset of non uniform gap pattern Id "4" with LMGRP = 10240ms. Also used to specify the measurement gap pattern to be applied, as defined in TS 36.133 [16]. For Gap Patterns (including non-uniform gap patterns, but excluding NCSG patterns), E-UTRAN includes the same *gapOffset* value (gap pattern id and gap offset) for all serving cells that are configured with a Gap Pattern. For NCSG Patterns, E-UTRAN includes *gapOffset* value indicating VIRP and gap offset consistent with the Gap Pattern configuration (MGRP and gap offset). Value *gapOffset* of *gp4*, *gp5*, ..., *gp11* are corresponding to gap pattern with Gap Pattern ID 4, 5, ..11 respectively, see TS 38.133 [84], Table 9.1.2-1. Value *gp4*, *gp5*, ..., *gp11* can be applied for (NG)EN-DC, see TS 38.133 [84], Table 9.1.2-2.

#### **servCellId**

Identifies the serving cell for which measurement gap configuration is provided (setup) or deleted (release).

## **MeasGapConfigDensePRS**

The IE *MeasGapConfigDensePRS* specifies the additional measurement gap pattern configuration for RSTD measurements with dense PRS configuration, see TS 36.133 [16], Table 8.1.2.1-3. Measurement gaps are configured according to applicability rules specified in 36.133 [16], Table 8.1.2.1-3.

### **MeasGapConfigDensePRS information element**

```
-- ASN1START
MeasGapConfigDensePRS-r15 ::= CHOICE {
  release          NULL,
  setup           SEQUENCE {
    gapOffsetDensePRS-r15 CHOICE {
      rstd0-r15          INTEGER (0..79),
      rstd1-r15          INTEGER (0..159),
      rstd2-r15          INTEGER (0..319),
      rstd3-r15          INTEGER (0..639),
      rstd4-r15          INTEGER (0..1279),
      rstd5-r15          INTEGER (0..159),
      rstd6-r15          INTEGER (0..319),
      rstd7-r15          INTEGER (0..639),
      rstd8-r15          INTEGER (0..1279),
      rstd9-r15          INTEGER (0..319),
      rstd10-r15         INTEGER (0..639),
      rstd11-r15         INTEGER (0..1279),
      rstd12-r15         INTEGER (0..319),
      rstd13-r15         INTEGER (0..639),
      rstd14-r15         INTEGER (0..1279),
      rstd15-r15         INTEGER (0..639),
      rstd16-r15         INTEGER (0..1279),
      rstd17-r15         INTEGER (0..639),
      rstd18-r15         INTEGER (0..1279),
      rstd19-r15         INTEGER (0..639),
      rstd20-r15         INTEGER (0..1279),
      ...
    }
  }
}
-- ASN1STOP
```

**MeasGapConfigDensePRS field descriptions****gapOffsetDensePRS**

Indicates the gap offset for performing RSTD measurements with dense PRS configurations as specified in 5.5.2.9a corresponding to measurement gap pattern ID specified in TS 36.133 [16].

**MeasGapConfigPerCC-List**

The IE *MeasGapConfigPerCC-List* specifies the measurement gap configuration and controls setup/ release of measurement gaps.

**MeasGapConfigPerCC-List information element**

```
-- ASN1START
MeasGapConfigPerCC-List-r14 ::= CHOICE {
  release          NULL,
  setup           SEQUENCE {
    measGapConfigToRemoveList-r14  MeasGapConfigToRemoveList-r14  OPTIONAL,  -- Need ON
    measGapConfigToAddModList-r14  MeasGapConfigToAddModList-r14  OPTIONAL  -- Need ON
  }
}

MeasGapConfigToRemoveList-r14 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF ServCellIndex-r13
MeasGapConfigToAddModList-r14 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasGapConfigPerCC-r14

MeasGapConfigPerCC-r14 ::= SEQUENCE {
  servCellId-r14      ServCellIndex-r13,
  measGapConfig-r14  MeasGapConfig
}
-- ASN1STOP
```

**MeasGapConfigPerCC-List field descriptions****measGapConfigToAddModList**

List of serving cells and corresponding serving cell specific measurement gap configuration to add /modify.

**measGapConfigToRemoveList**

List of serving cells for which measurement gap configuration is removed.

**MeasGapSharingConfig**

The IE *MeasGapSharingConfig* specifies the measurement gap sharing scheme and controls setup/ release of measurement gap sharing.

**MeasGapSharingConfig information element**

```
-- ASN1START
MeasGapSharingConfig-r14 ::= CHOICE {
  release          NULL,
  setup           SEQUENCE {
    measGapSharingScheme-r14  ENUMERATED {scheme00, scheme01, scheme10, scheme11}
  }
}
-- ASN1STOP
```

**MeasGapSharingConfig field descriptions****measGapSharingScheme**

Indicates the measurement gaps sharing scheme for BL UEs in CE mode A and CE mode B and for (NG)EN-DC (for the measurement gap configured by E-UTRAN). For BL UEs, see TS 36.133 [16], Table 8.13.2.1.1.1-2 and Table 8.13.3.1.1.1-3. For (NG)EN-DC, see TS 36.133 [16], Table 8.17.1.1-1. Value *scheme00* corresponds to "00", value *scheme01* corresponds to "01", and so on.

## – *MeasId*

The IE *MeasId* is used to identify a measurement configuration, i.e., linking of a measurement object and a reporting configuration.

### ***MeasId* information element**

```
-- ASN1START
MeasId ::= INTEGER (1..maxMeasId)
MeasId-v1250 ::= INTEGER (maxMeasId-Plus1..maxMeasId-r12)
-- ASN1STOP
```

## – *MeasIdleConfig*

The IE *MeasIdleConfig* is used to convey information to UE about measurements requested to be done while in RRC\_IDLE or RRC\_INACTIVE.

### ***MeasIdleConfig* information element**

```
-- ASN1START
MeasIdleConfigSIB-r15 ::= SEQUENCE {
    measIdleCarrierListEUTRA-r15 EUTRA-CarrierList-r15,
    ...
}
MeasIdleConfigDedicated-r15 ::= SEQUENCE {
    measIdleCarrierListEUTRA-r15 EUTRA-CarrierList-r15 OPTIONAL, -- Need OR
    measIdleDuration-r15 ENUMERATED {sec10, sec30, sec60, sec120,
    sec180, sec240, sec300, spare},
    ...
}
EUTRA-CarrierList-r15 ::= SEQUENCE (SIZE (1..maxFreqIdle-r15)) OF MeasIdleCarrierEUTRA-r15
MeasIdleCarrierEUTRA-r15 ::= SEQUENCE {
    carrierFreq-r15 ARFCN-ValueEUTRA-r9,
    allowedMeasBandwidth-r15 AllowedMeasBandwidth,
    validityArea-r15 CellList-r15 OPTIONAL, -- Need OR
    measCellList-r15 CellList-r15 OPTIONAL, -- Need OR
    reportQuantities ENUMERATED {rsrp, rsrq, both},
    qualityThreshold-r15 SEQUENCE {
        idleRSRP-Threshold-r15 RSRP-Range OPTIONAL, -- Need OR
        idleRSRQ-Threshold-r15 RSRQ-Range-r13 OPTIONAL, -- Need OR
    }
    ...
}
CellList-r15 ::= SEQUENCE (SIZE (1..maxCellMeasIdle-r15)) OF PhysCellIdRange
-- ASN1STOP
```

| <b>MeasIdleConfig field descriptions</b>  |
|---|
| <p><b>allowedMeasBandwidth</b><br/>If absent, the value corresponding to the downlink bandwidth indicated by the <i>dl-Bandwidth</i> included in <i>MasterInformationBlock</i> of serving cell applies.</p>   |
| <p><b>carrierFreq</b><br/>Indicates the E-UTRA carrier frequency to be used for measurements during RRC_IDLE or RRC_INACTIVE mode.</p>  |
| <p><b>measIdleCarrierListEUTRA</b><br/>Indicates the E-UTRA carriers to be measured during RRC_IDLE or RRC_INACTIVE mode.</p>   |
| <p><b>measIdleDuration</b><br/>Indicates the duration for performing measurements during RRC_IDLE or RRC_INACTIVE mode for measurements assigned via <i>RRCConnectionRelease</i>. Value <i>sec10</i> correspond to 10 seconds, value <i>sec30</i> to 30 seconds and so on.</p>  |
| <p><b>qualityThreshold</b><br/>Indicates the quality thresholds for reporting the measured cells for IDLE mode measurements. If absent, PCell and up to <i>maxCellMeasIdle</i> strongest identified cells are considered for idle mode measurement reporting.</p>   |
| <p><b>reportQuantities</b><br/>Indicates which measurement quantities UE is requested to report in the idle mode measurement report. In this version of the specification, E-UTRAN always configures the value <i>'both'</i>.</p>   |
| <p><b>measCellList</b><br/>Indicates the list of cells which the UE is requested to measure and report for IDLE mode measurements.</p>  |
| <p><b>validityArea</b><br/>Indicates the list of cells within which UE is requested to do IDLE mode measurements. If the UE reselects to a cell whose physical cell identity does not match any entry in <i>validityArea</i> for the corresponding carrier frequency, the measurements are no longer required. E-UTRAN configures this field only in <i>RRCConnectionRelease</i>.</p> |

### – *MeasIdToAddModList*

The IE *MeasIdToAddModList* concerns a list of measurement identities to add or modify, with for each entry the *measId*, the associated *measObjectId* and the associated *reportConfigId*. Field *measIdToAddModListExt* includes additional measurement identities i.e. extends the size of the measurement identity list using the general principles specified in 5.1.2.

#### **MeasIdToAddModList information element**

```

-- ASN1START
MeasIdToAddModList ::= SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddMod
MeasIdToAddModList-v1310 ::= SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddMod-v1310
MeasIdToAddModListExt-r12 ::= SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddModExt-r12
MeasIdToAddModListExt-v1310 ::= SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddMod-v1310

MeasIdToAddMod ::= SEQUENCE {
    measId MeasId,
    measObjectId MeasObjectId,
    reportConfigId ReportConfigId
}

MeasIdToAddModExt-r12 ::= SEQUENCE {
    measId-v1250 MeasId-v1250,
    measObjectId-r12 MeasObjectId,
    reportConfigId-r12 ReportConfigId
}

MeasIdToAddMod-v1310 ::= SEQUENCE {
    measObjectId-v1310 MeasObjectId-v1310 OPTIONAL
}
-- ASN1STOP

```

| <b>MeasIdToAddModList field descriptions</b>  |
|---|
| <p><b>measObjectId</b><br/>If the <i>measObjectId-v1310</i> is included, the <i>measObjectId</i> or <i>measObjectId-r12</i> is ignored by the UE.</p> |

– *MeasObjectCDMA2000*

The IE *MeasObjectCDMA2000* specifies information applicable for inter-RAT CDMA2000 neighbouring cells.

***MeasObjectCDMA2000* information element**

```
-- ASN1START
MeasObjectCDMA2000 ::= SEQUENCE {
    cdma2000-Type          CDMA2000-Type,
    carrierFreq           CarrierFreqCDMA2000,
    searchWindowSize     INTEGER (0..15)                OPTIONAL,    -- Need ON
    offsetFreq           Q-OffsetRangeInterRAT         DEFAULT 0,
    cellsToRemoveList    CellIndexList                 OPTIONAL,    -- Need ON
    cellsToAddModList    CellsToAddModListCDMA2000     OPTIONAL,    -- Need ON
    cellForWhichToReportCGI PhysCellIdCDMA2000          OPTIONAL,    -- Need ON
    ...
}

CellsToAddModListCDMA2000 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModCDMA2000

CellsToAddModCDMA2000 ::= SEQUENCE {
    cellIndex            INTEGER (1..maxCellMeas),
    physCellId          PhysCellIdCDMA2000
}
-- ASN1STOP
```

***MeasObjectCDMA2000* field descriptions**

|                          |  |
|--------------------------|--|
| <b>carrierInfo</b>       | Identifies CDMA2000 carrier frequency for which this configuration is valid.                       |
| <b>cdma2000-Type</b>     | The type of CDMA2000 network: CDMA2000 1xRTT or CDMA2000 HRPD.                                     |
| <b>cellIndex</b>         | Entry index in the neighbouring cell list.   |
| <b>cellsToAddModList</b> | List of cells to add/ modify in the neighbouring cell list.  |
| <b>cellsToRemoveList</b> | List of cells to remove from the neighbouring cell list.   |
| <b>physCellId</b>        | CDMA2000 Physical cell identity of a cell in neighbouring cell list expressed as PNOffset.         |
| <b>searchWindowSize</b>  | Provides the search window size to be used by the UE for the neighbouring pilot, see C.S0005 [25]. |

– *MeasObjectEUTRA*

The IE *MeasObjectEUTRA* specifies information applicable for intra-frequency or inter-frequency E-UTRA cells.

***MeasObjectEUTRA* information element**

```
-- ASN1START
MeasObjectEUTRA ::= SEQUENCE {
    carrierFreq           ARFCN-ValueEUTRA,
    allowedMeasBandwidth AllowedMeasBandwidth,
    presenceAntennaPort1 PresenceAntennaPort1,
    neighCellConfig      NeighCellConfig,
    offsetFreq           Q-OffsetRange                DEFAULT dB0,
    -- Cell list
    cellsToRemoveList    CellIndexList                 OPTIONAL,    -- Need ON
    cellsToAddModList    CellsToAddModList             OPTIONAL,    -- Need ON
    -- Black list
    blackCellsToRemoveList CellIndexList                 OPTIONAL,    -- Need ON
    blackCellsToAddModList BlackCellsToAddModList     OPTIONAL,    -- Need ON
    cellForWhichToReportCGI PhysCellId                OPTIONAL,    -- Need ON
    ...
    [[measCycleSCell-r10 MeasCycleSCell-r10            OPTIONAL,    -- Need ON
     measSubframePatternConfigNeigh-r10 MeasSubframePatternConfigNeigh-r10 OPTIONAL
     -- Need ON
    ]],
}
```

```

[[widebandRSRQ-Meas-r11
]],
[[
  altTTT-CellsToRemoveList-r12      CellIndexList          OPTIONAL,      -- Need ON
  altTTT-CellsToAddModList-r12     AltTTT-CellsToAddModList-r12  OPTIONAL,      -- Need ON
  t3l2-r12                          CHOICE {
    release                        NULL,
    setup                          ENUMERATED {ms0, ms50, ms100, ms200,
                                          ms300, ms400, ms500, ms1000}
  }
  reducedMeasPerformance-r12       BOOLEAN                OPTIONAL,      -- Need ON
  measDS-Config-r12                MeasDS-Config-r12      OPTIONAL      -- Need ON
]],
[[
  whiteCellsToRemoveList-r13       CellIndexList          OPTIONAL,      -- Need ON
  whiteCellsToAddModList-r13       WhiteCellsToAddModList-r13  OPTIONAL,      -- Need ON
  rmtc-Config-r13                  RMTc-Config-r13       OPTIONAL,      -- Need ON
  carrierFreq-r13                  ARFCN-ValueEUTRA-v9e0  OPTIONAL      -- Need ON
]],
[[
  tx-ResourcePoolToRemoveList-r14  Tx-ResourcePoolMeasList-r14  OPTIONAL,      -- Need ON
  tx-ResourcePoolToAddList-r14     Tx-ResourcePoolMeasList-r14  OPTIONAL,      -- Need ON
  fembms-MixedCarrier-r14         BOOLEAN                OPTIONAL      -- Need ON
]],
[[
  measSensing-Config-r15           MeasSensing-Config-r15  OPTIONAL      -- Need ON
]]
}

MeasObjectEUTRA-v9e0 ::=
SEQUENCE {
  carrierFreq-v9e0
  ARFCN-ValueEUTRA-v9e0
}

CellsToAddModList ::=
SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddMod

CellsToAddMod ::= SEQUENCE {
  cellIndex          INTEGER (1..maxCellMeas),
  physCellId         PhysCellId,
  cellIndividualOffset Q-OffsetRange
}

BlackCellsToAddModList ::=
SEQUENCE (SIZE (1..maxCellMeas)) OF BlackCellsToAddMod

BlackCellsToAddMod ::= SEQUENCE {
  cellIndex          INTEGER (1..maxCellMeas),
  physCellIdRange   PhysCellIdRange
}

MeasCycleSCell-r10 ::=
ENUMERATED {sf160, sf256, sf320, sf512,
            sf640, sf1024, sf1280, spare1}

MeasSubframePatternConfigNeigh-r10 ::= CHOICE {
  release          NULL,
  setup           SEQUENCE {
    measSubframePatternNeigh-r10  MeasSubframePattern-r10,
    measSubframeCellList-r10     MeasSubframeCellList-r10  OPTIONAL  -- Cond
  }
  always
}

MeasSubframeCellList-r10 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF PhysCellIdRange

AltTTT-CellsToAddModList-r12 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF AltTTT-CellsToAddMod-r12

AltTTT-CellsToAddMod-r12 ::= SEQUENCE {
  cellIndex-r12          INTEGER (1..maxCellMeas),
  physCellIdRange-r12   PhysCellIdRange
}

WhiteCellsToAddModList-r13 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF WhiteCellsToAddMod-r13

WhiteCellsToAddMod-r13 ::= SEQUENCE {
  cellIndex-r13          INTEGER (1..maxCellMeas),
  physCellIdRange-r13   PhysCellIdRange
}

RMTc-Config-r13 ::= CHOICE {
  release          NULL,
  setup           SEQUENCE {

```

```
    rmtc-Period-r13          ENUMERATED {ms40, ms80, ms160, ms320, ms640},
    rmtc-SubframeOffset-r13  INTEGER(0..639) OPTIONAL, -- Need ON
    measDuration-r13        ENUMERATED {sym1, sym14, sym28, sym42, sym70},
    ...
  }
}

Tx-ResourcePoolMeasList-r14 ::= SEQUENCE (SIZE (1..maxSL-PoolToMeasure-r14)) OF SL-V2X-
TxPoolReportIdentity-r14

-- ASN1STOP
```

| <b>MeasObjectEUTRA field descriptions</b>   |
|---|
| <p><b>altTTT-CellsToAddModList</b><br/>List of cells to add/ modify in the cell list for which the alternative time to trigger specified by <i>alternativeTimeToTrigger</i> in <i>reportConfigEUTRA</i>, if configured, applies.</p>  |
| <p><b>altTTT-CellsToRemoveList</b><br/>List of cells to remove from the list of cells for alternative time to trigger.</p>  |
| <p><b>blackCellsToAddModList</b><br/>List of cells to add/ modify in the black list of cells.</p>   |
| <p><b>blackCellsToRemoveList</b><br/>List of cells to remove from the black list of cells.</p>  |
| <p><b>carrierFreq</b><br/>Identifies E-UTRA carrier frequency for which this configuration is valid. E-UTRAN does not configure more than one measurement object for the same physical frequency regardless of the E-ARFCN used to indicate this. CarrierFreq-r13 is included only when the extension list <i>measObjectToAddModListExt-r13</i> is used. If <i>carrierFreq-r13</i> is present, <i>carrierFreq</i> (i.e., without suffix) shall be set to value <i>maxEARFCN</i>.</p>  |
| <p><b>cellIndex</b><br/>Entry index in the cell list. An entry may concern a range of cells, in which case this value applies to the entire range.</p>  |
| <p><b>cellIndividualOffset</b><br/>Cell individual offset applicable to a specific cell. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.</p>  |
| <p><b>cellsToAddModList</b><br/>List of cells to add/ modify in the cell list.</p>  |
| <p><b>cellsToRemoveList</b><br/>List of cells to remove from the cell list.</p>   |
| <p><b>fembms-MixedCarrier</b><br/>If this field is set to <i>TRUE</i>, the cells on the carrier frequency indicated by the <i>measObject</i> are FeMBMS/Unicast-mixed cells.</p>  |
| <p><b>measCycleSCell</b><br/>The parameter is used only when an SCell is configured on the frequency indicated by the <i>measObject</i> and is in deactivated state, see TS 36.133 [16], clause 8.3.3. E-UTRAN configures the parameter whenever an SCell is configured on the frequency indicated by the <i>measObject</i>, but the field may also be signalled when an SCell is not configured. Value <i>sf160</i> corresponds to 160 sub-frames, <i>sf256</i> corresponds to 256 sub-frames and so on.</p>                                     |
| <p><b>measDS-Config</b><br/>Parameters applicable to discovery signals measurement on the carrier frequency indicated by <i>carrierFreq</i>.</p>  |
| <p><b>measDuration</b><br/>Number of consecutive symbols for which the Physical Layer reports samples of RSSI, see TS 36.214 [48]. Value <i>sym1</i> corresponds to one symbol, <i>sym14</i> corresponds to 14 symbols, and so on.</p>  |
| <p><b>measSubframeCellList</b><br/>List of cells for which <i>measSubframePatternNeigh</i> is applied.</p>  |
| <p><b>measSubframePatternNeigh</b><br/>Time domain measurement resource restriction pattern applicable to neighbour cell RSRP and RSRQ measurements on the carrier frequency indicated by <i>carrierFreq</i>. For cells in <i>measSubframeCellList</i> the UE shall assume that the subframes indicated by <i>measSubframePatternNeigh</i> are non-MBSFN subframes, and have the same special subframe configuration as PCell.</p>  |
| <p><b>offsetFreq</b><br/>Offset value applicable to the carrier frequency. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.</p>  |
| <p><b>physCellId</b><br/>Physical cell identity of a cell in the cell list.</p>   |
| <p><b>physCellIdRange</b><br/>Physical cell identity or a range of physical cell identities.</p>  |
| <p><b>reducedMeasPerformance</b><br/>If set to <i>TRUE</i>, the EUTRA carrier frequency is configured for reduced measurement performance, otherwise it is configured for normal measurement performance, see TS 36.133 [16].</p>   |
| <p><b>rmtc-Config</b><br/>Parameters applicable to RSSI and channel occupancy measurement on the carrier frequency indicated by <i>carrierFreq</i>.</p>   |
| <p><b>rmtc-Period</b><br/>Indicates the RSSI measurement timing configuration (RMTTC) periodicity for this frequency. Value <i>ms40</i> corresponds to 40 ms periodicity, <i>ms80</i> corresponds to 80 ms periodicity and so on, see TS 36.214 [48].</p>   |
| <p><b>rmtc-SubframeOffset</b><br/>Indicates the RSSI measurement timing configuration (RMTTC) subframe offset for this frequency. The value of <i>rmtc-SubframeOffset</i> should be smaller than the value of <i>rmtc-Period</i>, see TS 36.214 [48]. For inter-frequency measurements, this field is optional present and if it is not configured, the UE chooses a random value as <i>rmtc-SubframeOffset</i> for <i>measDuration</i> which shall be selected to be between 0 and the configured <i>rmtc-Period</i> with equal probability.</p> |



| <b>MeasObjectEUTRA field descriptions</b>   |
|---|
| <b>t312</b><br>The value of timer T312. Value ms0 represents 0 ms, ms50 represents 50 ms and so on.   |
| <b>tx-ResourcePoolToAddList</b><br>List of transmission pools identities to be added to the list of pools configured for CBR measurements and for which <i>poolReportId</i> is included in <i>SL-V2X-ConfigDedicated</i> , <i>SystemInformationBlockType21</i> or <i>SystemInformationBlockType26</i> .                 |
| <b>tx-ResourcePoolToRemoveList</b><br>List of transmission resource pools identities to be removed from the list of pools configured for CBR measurements and for which <i>poolReportId</i> is included in <i>SL-V2X-ConfigDedicated</i> , <i>SystemInformationBlockType21</i> or <i>SystemInformationBlockType26</i> . |
| <b>widebandRSRQ-Meas</b><br>If this field is set to TRUE, the UE shall, when performing RSRQ measurements, use a wider bandwidth in accordance with TS 36.133 [16].   |
| <b>whiteCellsToAddModList</b><br>List of cells to add/modify in the white list of cells.  |
| <b>whiteCellsToRemoveList</b><br>List of cells to remove from the white list of cells.  |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>always</i>               | The field is mandatory present.  |
| <i>WB-RSRQ</i>              | The field is optionally present, need ON, if the measurement bandwidth indicated by <i>allowedMeasBandwidth</i> is 50 resource blocks or larger; otherwise it is not present and the UE shall delete any existing value for this field, if configured. |

## – MeasObjectGERAN

The IE *MeasObjectGERAN* specifies information applicable for inter-RAT GERAN neighbouring frequencies.

### **MeasObjectGERAN information element**

```
-- ASN1START
MeasObjectGERAN ::=
    SEQUENCE {
        carrierFreqs          CarrierFreqsGERAN,
        offsetFreq            Q-OffsetRangeInterRAT
        ncc-Permitted         BIT STRING(SIZE (8))          DEFAULT '11111111'B,
        cellForWhichToReportCGI PhysCellIdGERAN           OPTIONAL, -- Need ON
        ...
    }
-- ASN1STOP
```

| <b>MeasObjectGERAN field descriptions</b>   |
|---|
| <b>ncc-Permitted</b><br>Field encoded as a bit map, where bit N is set to "0" if a BCCH carrier with NCC = N-1 is not permitted for monitoring and set to "1" if a BCCH carrier with NCC = N-1 is permitted for monitoring; N = 1 to 8; bit 1 of the bitmap is the leading bit of the bit string. |
| <b>carrierFreqs</b><br>If E-UTRAN includes <i>cellForWhichToReportCGI</i> , it includes only one GERAN ARFCN value in <i>carrierFreqs</i> .   |

## – MeasObjectId

The IE *MeasObjectId* used to identify a measurement object configuration.

### **MeasObjectId information element**

```
-- ASN1START
MeasObjectId ::=
    INTEGER (1..maxObjectId)
MeasObjectId-v1310 ::=
    INTEGER (maxObjectId-Plus1-r13..maxObjectId-r13)
MeasObjectId-r13 ::=
    INTEGER (1..maxObjectId-r13)
```

```
-- ASN1STOP
```

## MeasObjectNR

The IE *MeasObjectNR* specifies information applicable for inter-RAT NR neighbouring cells.

### MeasObjectNR information element

```
-- ASN1START
MeasObjectNR-r15 ::=
    SEQUENCE {
        carrierFreq-r15          ARFCN-ValueNR-r15,
        rs-ConfigSSB-r15         RS-ConfigSSB-NR-r15,
        threshRS-Index-r15      ThresholdListNR-r15          OPTIONAL,      -- Need OR
        maxRS-IndexCellQual-r15 MaxRS-IndexCellQualNR-r15    OPTIONAL,      -- Need OR
        offsetFreq-r15          Q-OffsetRangeInterRAT        DEFAULT 0,
        blackCellsToRemoveList-r15 CellIndexList          OPTIONAL,      -- Need ON
        blackCellsToAddModList-r15 CellsToAddModListNR-r15    OPTIONAL,      -- Need ON
        quantityConfigSet-r15    INTEGER (1..maxQuantSetsNR-r15),
        cellsForWhichToReportSFTD-r15 SEQUENCE (SIZE (1..maxCellsSFTD)) OF PhysCellIdNR-r15
        OPTIONAL,      -- Need OR
        ...
        [[ cellForWhichToReportCGI-r15 PhysCellIdNR-r15          OPTIONAL,      -- Need ON
          deriveSSB-IndexFromCell-r15 BOOLEAN                    OPTIONAL,      -- Need ON
          ss-RSSI-Measurement-r15     SS-RSSI-Measurement-r15    OPTIONAL,      -- Need ON
          bandNR-r15                  CHOICE {
              release                NULL,
              setup                   FreqBandIndicatorNR-r15
          }
        ]]
    }

RS-ConfigSSB-NR-r15 ::=
    SEQUENCE {
        measTimingConfig-r15      MTC-SSB-NR-r15,
        subcarrierSpacingSSB-r15  ENUMERATED {kHz15, kHz30, kHz120, kHz240},
        ...
        [[ ssb-ToMeasure-r15       CHOICE {
              release              NULL,
              setup                 SSB-ToMeasure-r15
          }
        ]]
    }
    OPTIONAL      -- Need ON

CellsToAddModListNR-r15 ::=
    SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModNR-r15

CellsToAddModNR-r15 ::=
    SEQUENCE {
        cellIndex-r15             INTEGER (1..maxCellMeas),
        physCellId-r15            PhysCellIdNR-r15
    }

-- ASN1STOP
```

| <b><i>MeasObjectNR</i> field descriptions</b>   |
|---|
| <p><b><i>bandNR</i></b><br/>Indicates the frequency band of the NR carrier frequency configured in this <i>MeasObjectNR</i>. This field is always set to setup when the network configures measurements with this <i>MeasObjectNR</i>.</p>  |
| <p><b><i>carrierFreq</i></b><br/>Identifies the SSB frequency to be measured. E-UTRAN does not configure more than one measurement object for the same SSB frequency.</p>   |
| <p><b><i>deriveSSB-IndexFromCell</i></b><br/>The field indicates whether the UE may use, to derive the SSB index of a cell on the indicated SSB frequency and subcarrier spacing, the timing of the NR serving cell with the same SSB frequency and subcarrier spacing if configured. Otherwise, the field indicates whether the UE may use the timing of any detected cell with the same SSB frequency and subcarrier spacing.</p> |
| <p><b><i>quantityConfigSet</i></b><br/>Indicates the n-th element of <i>quantityConfigNRLList</i> provided in <i>MeasConfig</i>.</p>  |
| <p><b><i>rs-ConfigSSB</i></b><br/>Indicates the SSB configuration for measuring the set of SS blocks within the SMTC measurement duration.</p>  |
| <p><b><i>threshRS-Index</i></b><br/>List of thresholds for consolidation of L1 measurements per RS index.</p>   |

### – *MeasObjectToAddModList*

The IE *MeasObjectToAddModList* concerns a list of measurement objects to add or modify

#### ***MeasObjectToAddModList* information element**

```

-- ASN1START
MeasObjectToAddModList ::= SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectToAddMod
MeasObjectToAddModListExt-r13 ::= SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectToAddModExt-r13
MeasObjectToAddModList-v9e0 ::= SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectToAddMod-v9e0
MeasObjectToAddMod ::= SEQUENCE {
    measObjectId          MeasObjectId,
    measObject            CHOICE {
        measObjectEUTRA      MeasObjectEUTRA,
        measObjectUTRA       MeasObjectUTRA,
        measObjectGERAN      MeasObjectGERAN,
        measObjectCDMA2000   MeasObjectCDMA2000,
        . . . ,
        measObjectWLAN-r13   MeasObjectWLAN-r13,
        measObjectNR-r15    MeasObjectNR-r15
    }
}

MeasObjectToAddModExt-r13 ::= SEQUENCE {
    measObjectId-r13      MeasObjectId-v1310,
    measObject-r13       CHOICE {
        measObjectEUTRA-r13  MeasObjectEUTRA,
        measObjectUTRA-r13   MeasObjectUTRA,
        measObjectGERAN-r13  MeasObjectGERAN,
        measObjectCDMA2000-r13 MeasObjectCDMA2000,
        . . . ,
        measObjectWLAN-v1320  MeasObjectWLAN-r13,
        measObjectNR-r15     MeasObjectNR-r15
    }
}

MeasObjectToAddMod-v9e0 ::= SEQUENCE {
    measObjectEUTRA-v9e0  MeasObjectEUTRA-v9e0  OPTIONAL  -- Cond eutra
}
-- ASN1STOP

```

| Conditional presence | Explanation  |
|----------------------|--|
| <i>eutra</i>         | The field is optional present, need OR, if for the corresponding entry in <i>MeasObjectToAddModList</i> or <i>MeasObjectToAddModListExt-r13</i> field <i>measObject</i> is set to <i>measObjectEUTRA</i> and its sub-field <i>carrierFreq</i> is set to <i>maxEARFCN</i> . Otherwise the field is not present and the UE shall delete any existing value for this field. |

## – *MeasObjectUTRA*

The IE *MeasObjectUTRA* specifies information applicable for inter-RAT UTRA neighbouring cells.

### *MeasObjectUTRA* information element

```
-- ASN1START
MeasObjectUTRA ::=
    SEQUENCE {
        carrierFreq          ARFCN-ValueUTRA,
        offsetFreq           Q-OffsetRangeInterRAT          DEFAULT 0,
        cellsToRemoveList    CellIndexList                OPTIONAL,           -- Need ON
        cellsToAddModList    CHOICE {
            cellsToAddModListUTRA-FDD    CellsToAddModListUTRA-FDD,
            cellsToAddModListUTRA-TDD    CellsToAddModListUTRA-TDD
        }                OPTIONAL,           -- Need ON
        cellForWhichToReportCGI CHOICE {
            utra-FDD                    PhysCellIdUTRA-FDD,
            utra-TDD                    PhysCellIdUTRA-TDD
        }                OPTIONAL,           -- Need ON
        ...,
        [[ csg-allowedReportingCells-v930    CSG-AllowedReportingCells-r9    OPTIONAL           --
Need ON
        ]],
        [[ reducedMeasPerformance-r12        BOOLEAN                OPTIONAL           -- Need ON
        ]]
    }

CellsToAddModListUTRA-FDD ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModUTRA-FDD

CellsToAddModUTRA-FDD ::= SEQUENCE {
    cellIndex                INTEGER (1..maxCellMeas),
    physCellId              PhysCellIdUTRA-FDD
}

CellsToAddModListUTRA-TDD ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModUTRA-TDD

CellsToAddModUTRA-TDD ::= SEQUENCE {
    cellIndex                INTEGER (1..maxCellMeas),
    physCellId              PhysCellIdUTRA-TDD
}

CSG-AllowedReportingCells-r9 ::= SEQUENCE {
    physCellIdRangeUTRA-FDDList-r9    PhysCellIdRangeUTRA-FDDList-r9    OPTIONAL           -- Need OR
}
-- ASN1STOP
```

| <b>MeasObjectUTRA field descriptions</b>  |
|---|
| <b>carrierFreq</b><br>Identifies UTRA carrier frequency for which this configuration is valid. E-UTRAN does not configure more than one measurement object for the same physical frequency regardless of the ARFCN used to indicate this. |
| <b>cellIndex</b><br>Entry index in the neighbouring cell list.  |
| <b>cellsToAddModListUTRA-FDD</b><br>List of UTRA FDD cells to add/ modify in the neighbouring cell list.  |
| <b>cellsToAddModListUTRA-TDD</b><br>List of UTRA TDD cells to add/modify in the neighbouring cell list.   |
| <b>cellsToRemoveList</b><br>List of cells to remove from the neighbouring cell list.  |
| <b>csg-allowedReportingCells</b><br>One or more ranges of physical cell identities for which UTRA-FDD reporting is allowed.   |
| <b>reducedMeasPerformance</b><br>If set to <i>TRUE</i> the UTRA carrier frequency is configured for reduced measurement performance, otherwise it is configured for normal measurement performance, see TS 36.133 [16].                   |

## – *MeasObjectWLAN*

The IE *MeasObjectWLAN* specifies information applicable for inter-RAT WLAN measurements. E-UTRAN configures at least one WLAN identifier in the *MeasObjectWLAN*.

```
-- ASN1START
MeasObjectWLAN-r13 ::= SEQUENCE {
    carrierFreq-r13          CHOICE {
        bandIndicatorListWLAN-r13  SEQUENCE (SIZE (1..maxWLAN-Bands-r13)) OF WLAN-
BandIndicator-r13,
        carrierInfoListWLAN-r13    SEQUENCE (SIZE (1..maxWLAN-CarrierInfo-r13)) OF WLAN-
CarrierInfo-r13
    } OPTIONAL, -- Need ON
    wlan-ToAddModList-r13        WLAN-Id-List-r13          OPTIONAL, -- Need ON
    wlan-ToRemoveList-r13       WLAN-Id-List-r13          OPTIONAL, -- Need ON
    ...
}
WLAN-BandIndicator-r13 ::= ENUMERATED {band2dot4, band5, band60-v1430, spare5, spare4, spare3,
spare2, spare1, ...}
-- ASN1STOP
```

| <b>MeasObjectWLAN field descriptions</b>   |
|--|
| <b>bandIndicatorListWLAN</b><br>Includes the list of WLAN bands. Value band2dot4 indicates the 2.4GHz band, value band5 indicates the 5GHz band and value band60 indicates the 60GHz band. |
| <b>carrierInfoListWLAN</b><br>Includes the list of WLAN carrier information for the measurement object.  |
| <b>wlan-ToAddModList</b><br>Includes the list of WLAN identifiers to be added to the measurement configuration.  |
| <b>wlan-ToRemoveList</b><br>Includes the list of WLAN identifiers to be removed from the measurement configuration.  |

## – *MeasResults*

The IE *MeasResults* covers measured results for intra-frequency, inter-frequency and inter- RAT mobility and for idle mode measurements in RRC\_IDLE or RRC\_INACTIVE.

### **MeasResults information element**

```
-- ASN1START
MeasResults ::= SEQUENCE {
    measId          MeasId,
    measResultPCell SEQUENCE {
        rsrpResult RSRP-Range,
```

```

    rsrqResult                RSRQ-Range
  },
  measResultNeighCells        CHOICE {
    measResultListEUTRA        MeasResultListEUTRA,
    measResultListUTRA         MeasResultListUTRA,
    measResultListGERAN        MeasResultListGERAN,
    measResultsCDMA2000        MeasResultsCDMA2000,
    . . . ,
    measResultNeighCellListNR-r15 MeasResultCellListNR-r15
  }
  . . . ,
  [[ measResultForECID-r9      MeasResultForECID-r9      OPTIONAL
  ]],
  [[ locationInfo-r10         LocationInfo-r10         OPTIONAL,
  measResultServFreqList-r10 MeasResultServFreqList-r10 OPTIONAL
  ]],
  [[ measId-v1250             MeasId-v1250             OPTIONAL,
  measResultPCell-v1250      RSRQ-Range-v1250         OPTIONAL,
  measResultCSI-RS-List-r12  MeasResultCSI-RS-List-r12 OPTIONAL
  ]],
  [[ measResultForRSSI-r13     MeasResultForRSSI-r13     OPTIONAL,
  measResultServFreqListExt-r13 MeasResultServFreqListExt-r13 OPTIONAL,
  measResultSSTD-r13         MeasResultSSTD-r13         OPTIONAL,
  measResultPCell-v1310      SEQUENCE {
    rs-sinr-Result-r13       RS-SINR-Range-r13
  }
  ul-PDCP-DelayResultList-r13 UL-PDCP-DelayResultList-r13 OPTIONAL,
  measResultListWLAN-r13     MeasResultListWLAN-r13     OPTIONAL
  ]],
  [[ measResultPCell-v1360    RSRP-Range-v1360    OPTIONAL
  ]],
  [[ measResultListCBR-r14     MeasResultListCBR-r14     OPTIONAL,
  measResultListWLAN-r14     MeasResultListWLAN-r14     OPTIONAL
  ]],
  [[ measResultServFreqListNR-r15 MeasResultServFreqListNR-r15 OPTIONAL,
  measResultCellListSFTD-r15 MeasResultCellListSFTD-r15 OPTIONAL
  ]],
  [[ logMeasResultListBT-r15   LogMeasResultListBT-r15   OPTIONAL,
  logMeasResultListWLAN-r15   LogMeasResultListWLAN-r15 OPTIONAL,
  measResultSensing-r15       MeasResultSensing-r15     OPTIONAL,
  heightUE-r15               INTEGER (-400..8880)     OPTIONAL
  ]],
  ]],
}

MeasResultListEUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultEUTRA

MeasResultEUTRA ::= SEQUENCE {
  physCellId                PhysCellId,
  cgi-Info                   SEQUENCE {
    cellGlobalId             CellGlobalIdEUTRA,
    trackingAreaCode         TrackingAreaCode,
    plmn-IdentityList        PLMN-IdentityList2
  }
  measResult                 SEQUENCE {
    rsrpResult               RSRP-Range
    rsrqResult               RSRQ-Range
    . . . ,
    [[ additionalSI-Info-r9   AdditionalSI-Info-r9   OPTIONAL
    ]],
    [[ primaryPLMN-Suitable-r12 ENUMERATED {true}
    measResult-v1250         RSRQ-Range-v1250
    ]],
    [[ rs-sinr-Result-r13     RS-SINR-Range-r13
    cgi-Info-v1310           SEQUENCE {
      freqBandIndicator-r13   FreqBandIndicator-r11   OPTIONAL,
      multiBandInfoList-r13   MultiBandInfoList-r11   OPTIONAL,
      freqBandIndicatorPriority-r13 ENUMERATED {true}
    }
    ]],
    [[
    measResult-v1360         RSRP-Range-v1360
    ]],
    [[
    cgi-Info-5GC-r15        SEQUENCE (SIZE (1..maxPLMN-r11)) OF CellAccessRelatedInfo-5GC-
  ]],
  ]],
}

```

```

MeasResultListIdle-r15 ::= SEQUENCE (SIZE (1..maxIdleMeasCarriers-r15)) OF MeasResultIdle-r15

MeasResultIdle-r15 ::= SEQUENCE {
    measResultServingCell-r15          SEQUENCE {
        rsrpResult-r15                RSRP-Range,
        rsrqResult-r15                RSRQ-Range-r13
    },
    measResultNeighCells-r15          CHOICE {
        measResultIdleListEUTRA-r15   MeasResultIdleListEUTRA-r15,
        ...
    }
    ...
}

MeasResultIdleListEUTRA-r15 ::= SEQUENCE (SIZE (1..maxCellMeasIdle-r15)) OF MeasResultIdleEUTRA-r15

MeasResultIdleEUTRA-r15 ::= SEQUENCE {
    carrierFreq-r15                  ARFCN-ValueEUTRA-r9,
    physCellId-r15                   PhysCellId,
    measResult-r15                    SEQUENCE {
        rsrpResult-r15                RSRP-Range,
        rsrqResult-r15                RSRQ-Range-r13
    },
    ...
}

MeasResultServFreqListNR-r15 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasResultServFreqNR-r15

MeasResultServFreqNR-r15 ::= SEQUENCE {
    carrierFreq-r15                  ARFCN-ValueNR-r15,
    measResultSCell-r15              MeasResultCellNR-r15          OPTIONAL,
    measResultBestNeighCell-r15     MeasResultCellNR-r15          OPTIONAL,
    ...
}

MeasResultCellListNR-r15 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCellNR-r15

MeasResultCellNR-r15 ::= SEQUENCE {
    pci-r15                          PhysCellIdNR-r15,
    measResultCell-r15                MeasResultNR-r15,
    measResultRS-IndexList-r15       MeasResultSSB-IndexList-r15    OPTIONAL,
    ...
    [[ cgi-Info-r15                   CGI-InfoNR-r15             OPTIONAL
    ]]
}

MeasResultNR-r15 ::= SEQUENCE {
    rsrpResult-r15                    RSRP-RangeNR-r15          OPTIONAL,
    rsrqResult-r15                    RSRQ-RangeNR-r15          OPTIONAL,
    rs-sinr-Result-r15                RS-SINR-RangeNR-r15     OPTIONAL,
    ...
}

MeasResultSSB-IndexList-r15 ::= SEQUENCE (SIZE (1..maxRS-IndexReport-r15)) OF MeasResultSSB-Index-r15

MeasResultSSB-Index-r15 ::= SEQUENCE {
    ssb-Index-r15                     RS-IndexNR-r15,
    measResultSSB-Index-r15           MeasResultNR-r15          OPTIONAL,
    ...
}

MeasResultServFreqList-r10 ::= SEQUENCE (SIZE (1..maxServCell-r10)) OF MeasResultServFreq-r10

MeasResultServFreqListExt-r13 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasResultServFreq-r13

MeasResultServFreq-r10 ::= SEQUENCE {
    servFreqId-r10                    ServCellIndex-r10,
    measResultSCell-r10                SEQUENCE {
        rsrpResultSCell-r10           RSRP-Range,
        rsrqResultSCell-r10           RSRQ-Range
    }
    ...
}
    measResultBestNeighCell-r10       SEQUENCE {
        physCellId-r10                PhysCellId,
        rsrpResultNCell-r10           RSRP-Range,
        rsrqResultNCell-r10           RSRQ-Range
    }
    ...
}

```

```

...
[[ measResultSCell-v1250          RSRQ-Range-v1250  OPTIONAL,
   measResultBestNeighCell-v1250 RSRQ-Range-v1250  OPTIONAL
]],
[[ measResultSCell-v1310          SEQUENCE {
   rs-sinr-Result-r13             RS-SINR-Range-r13
   } OPTIONAL,
   measResultBestNeighCell-v1310 SEQUENCE {
   rs-sinr-Result-r13             RS-SINR-Range-r13
   } OPTIONAL
]]
}

MeasResultServFreq-r13 ::= SEQUENCE {
   servFreqId-r13                ServCellIndex-r13,
   measResultSCell-r13           SEQUENCE {
   rsrpResultSCell-r13           RSRP-Range,
   rsrqResultSCell-r13           RSRQ-Range-r13,
   rs-sinr-Result-r13           RS-SINR-Range-r13  OPTIONAL
   } OPTIONAL,
   measResultBestNeighCell-r13 SEQUENCE {
   physCellId-r13                PhysCellId,
   rsrpResultNCell-r13           RSRP-Range,
   rsrqResultNCell-r13           RSRQ-Range-r13,
   rs-sinr-Result-r13           RS-SINR-Range-r13  OPTIONAL
   } OPTIONAL,
   ...
[[ measResultBestNeighCell-v1360 SEQUENCE {
   rsrpResultNCell-v1360         RSRP-Range-v1360
   } OPTIONAL
]]
}

MeasResultCSI-RS-List-r12 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCSI-RS-r12

MeasResultCSI-RS-r12 ::= SEQUENCE {
   measCSI-RS-Id-r12             MeasCSI-RS-Id-r12,
   csi-RSRP-Result-r12           CSI-RSRP-Range-r12,
   ...
}

MeasResultListUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultUTRA

MeasResultUTRA ::= SEQUENCE {
   physCellId                    CHOICE {
   fdd                            PhysCellIdUTRA-FDD,
   tdd                            PhysCellIdUTRA-TDD
   },
   cgi-Info                      SEQUENCE {
   cellGlobalId                  CellGlobalIdUTRA,
   locationAreaCode              BIT STRING (SIZE (16))  OPTIONAL,
   routingAreaCode               BIT STRING (SIZE (8))   OPTIONAL,
   plmn-IdentityList             PLMN-IdentityList2  OPTIONAL
   } OPTIONAL,
   measResult                    SEQUENCE {
   utra-RSCP                     INTEGER (-5..91)  OPTIONAL,
   utra-EcN0                     INTEGER (0..49)   OPTIONAL,
   ...
[[ additionalSI-Info-r9           AdditionalSI-Info-r9  OPTIONAL
]],
[[ primaryPLMN-Suitable-r12     ENUMERATED {true}  OPTIONAL
]]
}

MeasResultListGERAN ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultGERAN

MeasResultGERAN ::= SEQUENCE {
   carrierFreq                   CarrierFreqGERAN,
   physCellId                   PhysCellIdGERAN,
   cgi-Info                      SEQUENCE {
   cellGlobalId                  CellGlobalIdGERAN,
   routingAreaCode               BIT STRING (SIZE (8))  OPTIONAL
   } OPTIONAL,
   measResult                    SEQUENCE {
   rssi                          INTEGER (0..63),
   ...
}

```



```

}
MeasResultsCDMA2000 ::= SEQUENCE {
    preRegistrationStatusHRPD          BOOLEAN,
    measResultListCDMA2000             MeasResultListCDMA2000
}
MeasResultListCDMA2000 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCDMA2000
MeasResultCDMA2000 ::= SEQUENCE {
    physCellId                         PhysCellIdCDMA2000,
    cgi-Info                            CellGlobalIdCDMA2000          OPTIONAL,
    measResult                           SEQUENCE {
        pilotPnPhase                    INTEGER (0..32767)          OPTIONAL,
        pilotStrength                    INTEGER (0..63),
        ...
    }
}
MeasResultListWLAN-r13 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultWLAN-r13
MeasResultListWLAN-r14 ::= SEQUENCE (SIZE (1..maxWLAN-Id-Report-r14)) OF MeasResultWLAN-r13
MeasResultWLAN-r13 ::= SEQUENCE {
    wlan-Identifiers-r13                WLAN-Identifiers-r12,
    carrierInfoWLAN-r13                 WLAN-CarrierInfo-r13    OPTIONAL,
    bandWLAN-r13                        WLAN-BandIndicator-r13  OPTIONAL,
    rssiWLAN-r13                        WLAN-RSSI-Range-r13,
    availableAdmissionCapacityWLAN-r13  INTEGER (0..31250)     OPTIONAL,
    backhaulDL-BandwidthWLAN-r13        WLAN-backhaulRate-r12  OPTIONAL,
    backhaulUL-BandwidthWLAN-r13        WLAN-backhaulRate-r12  OPTIONAL,
    channelUtilizationWLAN-r13          INTEGER (0..255)       OPTIONAL,
    stationCountWLAN-r13                INTEGER (0..65535)     OPTIONAL,
    connectedWLAN-r13                   ENUMERATED {true}      OPTIONAL,
    ...
}
MeasResultListCBR-r14 ::= SEQUENCE (SIZE (1..maxCBR-Report-r14)) OF MeasResultCBR-r14
MeasResultCBR-r14 ::= SEQUENCE {
    poolIdentity-r14                    SL-V2X-TxPoolReportIdentity-r14,
    cbr-PSSCH-r14                       SL-CBR-r14,
    cbr-PSCCH-r14                       SL-CBR-r14              OPTIONAL
}
MeasResultSensing-r15 ::= SEQUENCE {
    sl-SubframeRef-r15                  INTEGER (0..10239),
    sensingResult-r15                   SEQUENCE (SIZE (0..400)) OF SensingResult-r15
}
SensingResult-r15 ::= SEQUENCE {
    resourceIndex-r15                    INTEGER (1..2000)
}
MeasResultForECID-r9 ::= SEQUENCE {
    ue-RxTxTimeDiffResult-r9            INTEGER (0..4095),
    currentSFN-r9                        BIT STRING (SIZE (10))
}
PLMN-IdentityList2 ::= SEQUENCE (SIZE (1..5)) OF PLMN-Identity
AdditionalSI-Info-r9 ::= SEQUENCE {
    csg-MemberStatus-r9                 ENUMERATED {member}    OPTIONAL,
    csg-Identity-r9                      CSG-Identity           OPTIONAL
}
MeasResultForRSSI-r13 ::= SEQUENCE {
    rssi-Result-r13                      RSSI-Range-r13,
    channelOccupancy-r13                 INTEGER (0..100),
    ...
}
UL-PDCP-DelayResultList-r13 ::= SEQUENCE (SIZE (1..maxQCI-r13)) OF UL-PDCP-DelayResult-r13
UL-PDCP-DelayResult-r13 ::= SEQUENCE {
    qci-Id-r13                           ENUMERATED {qci1, qci2, qci3, qci4, spare4, spare3, spare2, spare1},
    excessDelay-r13                       INTEGER (0..31),
}

```

```
    ...
  }
CGI-InfoNR-r15 ::= SEQUENCE {
  plmn-IdentityInfoList-r15      PLMN-IdentityInfoListNR-r15      OPTIONAL,
  frequencyBandList-r15         MultiFrequencyBandListNR-r15      OPTIONAL,
  noSIB1-r15                     SEQUENCE {
    ssb-SubcarrierOffset-r15      INTEGER (0..15),
    pdcch-ConfigSIB1-r15          INTEGER (0..255)
  }
  ...
  }
CellIdentityNR-r15 ::= BIT STRING (SIZE (36))
PLMN-IdentityListNR-r15 ::= SEQUENCE (SIZE (1..maxPLMN-NR-r15)) OF PLMN-Identity
PLMN-IdentityInfoListNR-r15 ::= SEQUENCE (SIZE (1..maxPLMN-NR-r15)) OF PLMN-IdentityInfoNR-r15
PLMN-IdentityInfoNR-r15 ::= SEQUENCE {
  plmn-IdentityList-r15          PLMN-IdentityListNR-r15,
  trackingAreaCode-r15           TrackingAreaCodeNR-r15      OPTIONAL,
  ran-AreaCode-r15              RAN-AreaCode-r15          OPTIONAL,
  cellIdentity-r15              CellIdentityNR-r15
  }
TrackingAreaCodeNR-r15 ::= BIT STRING (SIZE (24))
-- ASN1STOP
```

| <b>MeasResults field descriptions</b>   |
|---|
| <b>availableAdmissionCapacityWLAN</b><br>Indicates the available admission capacity of WLAN as defined in IEEE 802.11-2012 [67].  |
| <b>backhaulDL-BandwidthWLAN</b><br>Indicates the backhaul available downlink bandwidth of WLAN, equal to Downlink Speed times Downlink Load defined in Wi-Fi Alliance Hotspot 2.0 [76].   |
| <b>backhaulUL-BandwidthWLAN</b><br>Indicates the backhaul available uplink bandwidth of WLAN, equal to Uplink Speed times Uplink Load defined in Wi-Fi Alliance Hotspot 2.0 [76].   |
| <b>bandWLAN</b><br>Indicates the WLAN band.   |
| <b>carrierFreq</b><br>Indicates the carrier frequency. Within <i>MeasResultIdleListEUTRA-r15</i> , UE only includes measurements with the same carrier frequency.   |
| <b>carrierInfoWLAN</b><br>Indicates the WLAN channel information.   |
| <b>cbr-PSSCH</b><br>Indicates the CBR measurement results on the PSSCH of the pool indicated by <i>poolIdentity</i> . If <i>adjacencyPSCCH-PSSCH</i> is set to <i>TRUE</i> for the pool indicated by <i>poolIdentity</i> , this field indicates the CBR measurement of both the PSSCH and PSCCH resources which are measured together.  |
| <b>cbr-PSCCH</b><br>Indicates the CBR measurement results on the PSCCH of the pool indicated by <i>poolIdentity</i> . This field is only included if <i>adjacencyPSCCH-PSSCH</i> is set to <i>FALSE</i> for the pool indicated by <i>poolIdentity</i> .   |
| <b>channelOccupancy</b><br>Indicates the percentage of samples when the RSSI was above the configured <i>channelOccupancyThreshold</i> for the associated <i>reportConfig</i> .   |
| <b>channelUtilizationWLAN</b><br>Indicates WLAN channel utilization as defined in IEEE 802.11-2012 [67].  |
| <b>connectedWLAN</b><br>Indicates whether the UE is connected to the WLAN for which the measurement results are applicable.   |
| <b>csg-MemberStatus</b><br>Indicates whether or not the UE is a member of the CSG of the neighbour cell.  |
| <b>currentSFN</b><br>Indicates the current system frame number when receiving the UE Rx-Tx time difference measurement results from lower layer.  |
| <b>excessDelay</b><br>Indicates excess queueing delay ratio in UL, according to excess delay ratio measurement report mapping table, as defined in TS 36.314 [71], Table 4.2.1.1.1-1.   |
| <b>heightUE</b><br>Indicates height of the UE in meters relative to the sea level. Value 0 corresponds to sea level (i.e., negative value indicates depth of the UE below sea level). Value -400 corresponds to -400 m, value -399 corresponds to -399 m and so on.   |
| <b>locationAreaCode</b><br>A fixed length code identifying the location area within a PLMN, as defined in TS 23.003 [27].   |
| <b>measId</b><br>Identifies the measurement identity for which the reporting is being performed. If the <i>measId-v1250</i> is included, the <i>measId</i> (i.e. without a suffix) is ignored by eNB.   |
| <b>measResult</b><br>Measured result of an E-UTRA cell;<br>Measured result of a UTRA cell;<br>Measured result of a GERAN cell or frequency;<br>Measured result of a CDMA2000 cell;<br>Measured result of a WLAN;<br>Measured result of UE Rx-Tx time difference;<br>Measured result of UE SFN, radio frame and subframe timing difference; or<br>Measured result of RSSI and channel occupancy. |
| <b>measResultCSI-RS-List</b><br>Measured results of the CSI-RS resources in discovery signals measurement.  |
| <b>measResultListCDMA2000</b><br>List of measured results for the maximum number of reported best cells for a CDMA2000 measurement identity.  |
| <b>measResultListEUTRA</b><br>List of measured results for the maximum number of reported best cells for an E-UTRA measurement identity. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, <i>measResult-v1360</i> is reported if the measured RSRP is less than -140 dBm.   |
| <b>measResultListGERAN</b><br>List of measured results for the maximum number of reported best cells or frequencies for a GERAN measurement identity.   |

| <b>MeasResults</b> field descriptions  |
|--|
| <p><b>measResultListSFTD</b><br/>List of measured SFTD results for the reported cells for a NR measurement identity.</p>   |
| <p><b>measResultListUTRA</b><br/>List of measured results for the maximum number of reported best cells for a UTRA measurement identity.</p>   |
| <p><b>measResultListWLAN</b><br/>List of measured results for the maximum number of reported best WLAN outside the WLAN mobility set and connected WLAN, if any, for a WLAN measurement identity.</p>  |
| <p><b>measResultPCell</b><br/>Measured result of the PCell. For BL UEs or UEs in CE, when operating in CE Mode B, <i>measResultPCell-v1360</i> is reported if the measured RSRP is less than -140 dBm.</p>   |
| <p><b>measResultsCDMA2000</b><br/>Contains the CDMA2000 HRPD pre-registration status and the list of CDMA2000 measurements.</p>  |
| <p><b>MeasResultServFreqList</b><br/>Measured results of the serving frequencies: the measurement result of each SCell, if any, and of the best neighbouring cell on each serving frequency. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, <i>measResultBestNeighCell-v1360</i> is reported if the measured RSRP is less than -140 dBm.</p> |
| <p><b>measResultServingCell</b><br/>Measured results of the serving cell (i.e., PCell) from IDLE mode measurements.</p>  |
| <p><b>noSIB1</b><br/>Contains <i>ssb-SubcarrierOffset</i> and <i>pdccch-ConfigSIB1</i> fields acquired by the UE from MIB of the cell for which report CGI procedure was requested by the network in case SIB1 was not broadcast by the cell.</p>  |
| <p><b>pilotPnPhase</b><br/>Indicates the arrival time of a CDMA2000 pilot, measured relative to the UE's time reference in units of PN chips, see C.S0005 [25]. This information is used in either SRVCC handover or enhanced 1xRTT CS fallback procedure to CDMA2000 1xRTT.</p>   |
| <p><b>pilotStrength</b><br/>CDMA2000 Pilot Strength, the ratio of pilot power to total power in the signal bandwidth of a CDMA2000 Forward Channel. See C.S0005 [25] for CDMA2000 1xRTT and C.S0024 [26] for CDMA2000 HRPD.</p>  |
| <p><b>poolIdentity</b><br/>The identity of the transmission resource pool which is corresponding to the <i>poolReportId</i> configured in a resource pool for V2X sidelink communication.</p>  |
| <p><b>plmn-IdentityList</b><br/>The list of PLMN Identity read from broadcast information when the multiple PLMN Identities are broadcast.</p>   |
| <p><b>preRegistrationStatusHRPD</b><br/>Set to TRUE if the UE is currently pre-registered with CDMA2000 HRPD. Otherwise set to FALSE. This can be ignored by the eNB for CDMA2000 1xRTT.</p>   |

| <b>MeasResults field descriptions</b>   |
|---|
| <b>qci-Id</b><br>Indicates QCI value for which <i>excessDelay</i> is provided, according to TS 36.314 [71].   |
| <b>resourceIndex</b><br>Indicates the available resource candidates within the [T1, T2] window as specified in TS 36.213 [23]. clause 14.1.1.6. Value 1 indicates the resource candidate on the subframe indicated by <i>sl-SubframeRef</i> , from subchannel 0 to <i>sensingSubchannelNumber-1</i> . Value 2 indicates the resource candidate on the first subframe following the subframe indicated by <i>sl-SubframeRef</i> , from subchannel 0 to <i>sensingSubchannelNumber-1</i> (Value 101 indicates the resource candidate on the subframe indicated by <i>sl-SubframeRef</i> , from subchannel 1 to <i>sensingSubchannelNumber</i> , if the <i>numSubchannel</i> of the resource pool is larger than <i>sensingSubchannelNumber</i> ) and so on. |
| <b>routingAreaCode</b><br>The RAC identity read from broadcast information, as defined in TS 23.003 [27].   |
| <b>rsrpResult</b><br>Measured RSRP result of an E-UTRA cell.<br>The <i>rsrpResult</i> is only reported if configured by the eNB.  |
| <b>rsrqResult</b><br>Measured RSRQ result of an E-UTRA cell.<br>The <i>rsrqResult</i> is only reported if configured by the eNB.  |
| <b>rssi</b><br>GERAN Carrier RSSI. RXLEV is mapped to a value between 0 and 63, TS 45.008 [28]. When mapping the RXLEV value to the RSSI bit string, the first/leftmost bit of the bit string contains the most significant bit.  |
| <b>rssi-Result</b><br>Measured RSSI result in dBm.  |
| <b>rs-sinr-Result</b><br>Measured RS-SINR result of an E-UTRA or NR cell. The <i>rs-sinr-Result</i> is only reported if configured by the eNB.  |
| <b>rssiWLAN</b><br>Measured WLAN RSSI result in dBm.  |
| <b>sl-SubframeRef</b><br>Indicates the subframe corresponding to n+T1 used to obtain the sensing measurement results (see TS 36.213 [23]). Specifically, the value indicates the timing offset with respect to subframe#0 of DFN#0 in milliseconds.   |
| <b>stationCountWLAN</b><br>Indicates the total number stations currently associated with this WLAN as defined in IEEE 802.11-2012 [67].   |
| <b>ue-RxTxTimeDiffResult</b><br>UE Rx-Tx time difference measurement result of the PCell, provided by lower layers. If <i>ue-RxTxTimeDiffPeriodicalTDD-r13</i> is set to <i>TRUE</i> , the measurement mapping is according to EUTRAN TDD UE Rx-Tx time difference report mapping in TS 36.133 [16] and measurement result includes <i>N<sub>T<sub>A</sub>offset</sub></i> , else the measurement mapping is according to EUTRAN FDD UE Rx-Tx time difference report mapping in TS 36.133 [16].   |
| <b>utra-EcNo</b><br>According to CPICH_Ec/No in TS 25.133 [29] for FDD. Fourteen spare values. The field is not present for TDD.  |
| <b>utra-RSCP</b><br>According to CPICH_RSCP in TS 25.133 [29] for FDD and P-CCPCH_RSCP in TS 25.123 [30] for TDD. Thirty-one spare values.  |
| <b>wlan-Identifiers</b><br>Indicates the WLAN parameters used for identification of the WLAN for which the measurement results are applicable.  |

## – *MeasResultCellSFTD*

The IE *MeasResultCellSFTD* consists of SFN and radio frame boundary difference between the PCell and an NR cell as specified in TS 38.215 [89] and TS 38.133 [84].

### **MeasResultCellSFTD information element**

```

-- ASN1START
MeasResultCellListSFTD-r15 ::= SEQUENCE (SIZE (1..maxCellsSFTD)) OF MeasResultCellSFTD-r15
MeasResultCellSFTD-r15 ::= SEQUENCE {
    physCellId-r15 PhysCellIdNR-r15,
    sfn-OffsetResult-r15 INTEGER (0..1023),
    frameBoundaryOffsetResult-r15 INTEGER (-30720..30719),
    rsrpResult-r15 RSRP-RangeNR-r15 OPTIONAL
}
-- ASN1STOP

```

| <b>MeasResultCellSFTD field descriptions</b>   |
|--|
| <b>physCellId</b><br>Indicates the physical layer identity (PCI) of an NR cell.  |
| <b>sfn-OffsetResult</b><br>Indicates the SFN difference between the PCell and the NR cell as an integer value according to TS 38.215 [89].                     |
| <b>frameBoundaryOffsetResult</b><br>Indicates the frame boundary difference between the PCell and the NR cell as an integer value according to TS 38.215 [89]. |
| <b>rsrpResult</b><br>Measured RSRP result of an NR cell.   |

## – **MeasResultSCG-FailureMRDC**

The IE *MeasResultSCG-FailureMRDC* is used to provide measurement information concerning E-UTRA measurements upon SCG failure detected by a UE configured with NE-DC.

### **MeasResultSCG-FailureMRDC information element**

```

-- ASN1START
MeasResultSCG-FailureMRDC-r15 ::= SEQUENCE {
    measResultFreqListEUTRA-r15    MeasResultList3EUTRA-r15,
    ...
}
MeasResultList3EUTRA-r15 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult3EUTRA-r15
MeasResult3EUTRA-r15 ::= SEQUENCE {
    carrierFreq-r15                ARFCN-ValueEUTRA-r9,
    measResultServingCell-r15      MeasResultEUTRA                OPTIONAL,
    measResultNeighCellList-r15    MeasResultListEUTRA          OPTIONAL,
    ...
}
-- ASN1STOP

```

## – **MeasResultSSTD**

The IE *MeasResultSSTD* consists of SFN, radio frame and subframe boundary difference between the PCell and the PSCell as specified in TS 36.214 [48] and TS 36.133 [16].

### **MeasResultSSTD information element**

```

-- ASN1START
MeasResultSSTD-r13 ::= SEQUENCE {
    sfn-OffsetResult-r13          INTEGER (0..1023),
    frameBoundaryOffsetResult-r13 INTEGER (-5..4),
    subframeBoundaryOffsetResult-r13 INTEGER (0..127)
}
-- ASN1STOP

```

| <b>MeasResultSSTD field descriptions</b>   |
|--|
| <b>sfn-OffsetResult</b><br>Indicates the SFN difference between the PCell and the PSCell as an integer value according to TS 36.214 [48].  |
| <b>frameBoundaryOffsetResult</b><br>Indicates the frame boundary difference between the PCell and the PSCell as an integer value according to TS 36.214 [48].                            |
| <b>subframeBoundaryOffsetResult</b><br>Indicates the subframe boundary difference between the PCell and the PSCell as an integer value according to the mapping table in TS 36.133 [16]. |

– *MeasScaleFactor*

The IE *MeasScaleFactor* specifies the factor for scaling the measurement performance requirements in TS 36.133 [16].

***MeasScaleFactor* information element**

```
-- ASN1START
MeasScaleFactor-r12 ::=          ENUMERATED {sf-EUTRA-cf1, sf-EUTRA-cf2}
-- ASN1STOP
```

NOTE: If the *reducedMeasPerformance* is not included in any *measObjectEUTRA* or *measObjectUTRA* and the *measScaleFactor* is included in the *measConfig*, E-UTRAN can configure any of the values for the *measScaleFactor* as specified in TS 36.133 [16].

– *MeasSensing-Config*

The IE *MeasSensing-Config* specifies the input factors for sensing measurement as specified in TS 36.213 [23].

***MeasSensing-Config* information element**

```
-- ASN1START
MeasSensing-Config-r15 ::=      SEQUENCE {
    sensingSubchannelNumber-r15   INTEGER (1..20),
    sensingPeriodicity-r15       ENUMERATED {ms20, ms50, ms100, ms200,
                                             ms300, ms400, ms500, ms600,
                                             ms700, ms800, ms900, ms1000},
    sensingReselectionCounter-r15 INTEGER (5..75),
    sensingPriority-r15          INTEGER (1..8)
}
-- ASN1STOP
```

***MeasSensing-Config* field descriptions**

***sensingReselectionCounter***

Indicate the value of SL\_RESOURCE\_RESELECTION\_COUNTER, which is used to derive  $C_{resel}$ , as specified in TS 36.213 [23], clause 14.1.1.6.

***sensingSubchannelNumber***

Indicate the number of sub-channels, i.e., parameter  $L_{subCH}$ , as specified in TS 36.213 [23], clause 14.1.1.6.

***sensingPeriodicity***

Indicate the resource reservation interval, i.e., parameter  $P_{rsvp\_TX}$ , as specified in TS 36.213 [23], clause 14.1.1.6.

***sensingPriority***

Indicate the priority, i.e., parameter  $prio_{TX}$  as specified in TS 36.213 [23], clause 14.1.1.6.

– *MTC-SSB-NR*

The IE *MTC-SSB-NR* specifies the SS/PBCH block measurement timing configuration (SMTC) applicable for SSB based NR measurements i.e. the time occasions for performing these measurements, see 5.5.2.13.

***MTC-SSB-NR* information elements**

```
-- ASN1START
MTC-SSB-NR-r15 ::= SEQUENCE {
    periodicityAndOffset-r15    CHOICE {
        sf5-r15                 INTEGER (0..4),
        sf10-r15                INTEGER (0..9),
        sf20-r15                INTEGER (0..19),
        sf40-r15                INTEGER (0..39),
    }
}
-- ASN1STOP
```

```

        sf80-r15                INTEGER (0..79),
        sf160-r15              INTEGER (0..159)
    },
    ssb-Duration-r15           ENUMERATED {sf1, sf2, sf3, sf4, sf5 }
}
-- ASN1STOP

```

## – QuantityConfig

The IE *QuantityConfig* specifies the measurement quantities and layer 3 filtering coefficients for E-UTRA and inter-RAT measurements.

### QuantityConfig information element

```

-- ASN1START
QuantityConfig ::= SEQUENCE {
    quantityConfigEUTRA      QuantityConfigEUTRA      OPTIONAL, -- Need ON
    quantityConfigUTRA      QuantityConfigUTRA      OPTIONAL, -- Need ON
    quantityConfigGERAN     QuantityConfigGERAN     OPTIONAL, -- Need ON
    quantityConfigCDMA2000  QuantityConfigCDMA2000  OPTIONAL, -- Need ON
    ...,
    [[ quantityConfigUTRA-v1020  QuantityConfigUTRA-v1020  OPTIONAL -- Need ON
    ]],
    [[ quantityConfigEUTRA-v1250  QuantityConfigEUTRA-v1250  OPTIONAL -- Need ON
    ]],
    [[ quantityConfigEUTRA-v1310  QuantityConfigEUTRA-v1310  OPTIONAL, -- Need ON
    quantityConfigWLAN-r13      QuantityConfigWLAN-r13      OPTIONAL -- Need ON
    ]],
    [[ quantityConfigNRLList-r15  QuantityConfigNRLList-r15  OPTIONAL -- Need ON
    ]]
}

QuantityConfigEUTRA ::= SEQUENCE {
    filterCoefficientRSRP      FilterCoefficient      DEFAULT fc4,
    filterCoefficientRSRQ      FilterCoefficient      DEFAULT fc4
}

QuantityConfigEUTRA-v1250 ::= SEQUENCE {
    filterCoefficientCSI-RSRP-r12  FilterCoefficient      OPTIONAL -- Need
OR
}

QuantityConfigEUTRA-v1310 ::= SEQUENCE {
    filterCoefficientRS-SINR-r13  FilterCoefficient      DEFAULT fc4
}

QuantityConfigUTRA ::= SEQUENCE {
    measQuantityUTRA-FDD          ENUMERATED {cpich-RSCP, cpich-EcN0},
    measQuantityUTRA-TDD          ENUMERATED {pccpch-RSCP},
    filterCoefficient            FilterCoefficient      DEFAULT fc4
}

QuantityConfigUTRA-v1020 ::= SEQUENCE {
    filterCoefficient2-FDD-r10     FilterCoefficient      DEFAULT fc4
}

QuantityConfigGERAN ::= SEQUENCE {
    measQuantityGERAN            ENUMERATED {rssi},
    filterCoefficient            FilterCoefficient      DEFAULT fc2
}

QuantityConfigCDMA2000 ::= SEQUENCE {
    measQuantityCDMA2000         ENUMERATED {pilotStrength, pilotPnPhaseAndPilotStrength}
}

QuantityConfigNRLList-r15 ::= SEQUENCE (SIZE (1..maxQuantSetsNR-r15)) OF QuantityConfigNR-r15

QuantityConfigNR-r15 ::= SEQUENCE {
    measQuantityCellNR-r15       QuantityConfigRS-NR-r15,
    measQuantityRS-IndexNR-r15  QuantityConfigRS-NR-r15      OPTIONAL
}

QuantityConfigRS-NR-r15 ::= SEQUENCE {

```



```

    filterCoeff-RSRP-r15          FilterCoefficient          DEFAULT fc4,
    filterCoeff-RSRQ-r15          FilterCoefficient          DEFAULT fc4,
    filterCoefficient-SINR-r13     FilterCoefficient          DEFAULT fc4
  }
QuantityConfigWLAN-r13 ::=      SEQUENCE {
    measQuantityWLAN-r13          ENUMERATED {rssiWLAN},
    filterCoefficient-r13         FilterCoefficient          DEFAULT fc4
  }
-- ASN1STOP

```

| <b>QuantityConfig field descriptions</b>  |
|---|
| <b>filterCoefficient2-FDD</b><br>Specifies the filtering coefficient used for the UTRAN FDD measurement quantity, which is not included in <i>measQuantityUTRA-FDD</i> , when <i>reportQuantityUTRA-FDD</i> is present in <i>ReportConfigInterRAT</i> . |
| <b>filterCoefficientCSI-RSRP</b><br>Specifies the filtering coefficient used for CSI-RSRP.  |
| <b>filterCoefficientRSRP</b><br>Specifies the filtering coefficient used for RSRP.  |
| <b>filterCoefficientRSRQ</b><br>Specifies the filtering coefficient used for RSRQ.  |
| <b>filterCoefficientRS-SINR</b><br>Specifies the filtering coefficient used for RS-SINR.  |
| <b>measQuantityCDMA2000</b><br>Measurement quantity used for CDMA2000 measurements. <i>pilotPnPhaseAndPilotStrength</i> is only applicable for <i>MeasObjectCDMA2000</i> of <i>cdma2000-Type = type1XRTT</i> .  |
| <b>measQuantityRS-IndexNR</b><br>Specifies L3 filter configurations for measurement results of an NR RS index for a particular RS Type (e.g. SS/PBCH block) and the configurable measurement quantities (e.g. RSRP, RSRQ and SINR).                     |
| <b>measQuantityGERAN</b><br>Measurement quantity used for GERAN measurements.   |
| <b>measQuantityCellINR</b><br>Specifies L3 filter configurations for measurement results of an NR cell for a particular RS Type (e.g. SS/PBCH block) and the configurable measurement quantities (e.g. RSRP, RSRQ and SINR).                            |
| <b>measQuantityUTRA</b><br>Measurement quantity used for UTRA measurements.   |
| <b>measQuantityWLAN</b><br>Measurement quantity used for WLAN measurements.   |
| <b>quantityConfigCDMA2000</b><br>Specifies quantity configurations for CDMA2000 measurements.   |
| <b>quantityConfigEUTRA</b><br>Specifies filter configurations for E-UTRA measurements.  |
| <b>quantityConfigGERAN</b><br>Specifies quantity and filter configurations for GERAN measurements.  |
| <b>quantityConfigUTRA</b><br>Specifies quantity and filter configurations for UTRA measurements. Field <i>quantityConfigUTRA-v1020</i> is applicable only when <i>reportQuantityUTRA-FDD</i> is configured.   |
| <b>quantityConfigWLAN</b><br>Specifies quantity and filter configurations for WLAN measurements.  |

## – *ReportConfigEUTRA*

The IE *ReportConfigEUTRA* specifies criteria for triggering of an E-UTRA measurement reporting event. The E-UTRA measurement reporting events concerning CRS are labelled *AN* with *N* equal to 1, 2 and so on.

- Event A1: Serving becomes better than absolute threshold;
- Event A2: Serving becomes worse than absolute threshold;
- Event A3: Neighbour becomes amount of offset better than PCell/ PSCell;
- Event A4: Neighbour becomes better than absolute threshold;
- Event A5: PCell/ PSCell becomes worse than absolute threshold1 AND Neighbour becomes better than another absolute threshold2;
- Event A6: Neighbour becomes amount of offset better than SCell.

The E-UTRA measurement reporting events concerning CSI-RS are labelled CN with N equal to 1 and 2.

- Event C1: CSI-RS resource becomes better than absolute threshold;
- Event C2: CSI-RS resource becomes amount of offset better than reference CSI-RS resource.

The E-UTRA measurement reporting events concerning CBR are labelled VN with N equal to 1 and 2.

- Event V1: CBR becomes larger than absolute threshold;
- Event V2: CBR becomes smaller than absolute threshold.

The E-UTRA reporting events concerning Aerial UE height are labelled HN with N equal to 1 and 2.

- Event H1: Aerial UE height becomes higher than absolute threshold;
- Event H2: Aerial UE height becomes lower than absolute threshold.

### **ReportConfigEUTRA information element**

```
-- ASN1START
ReportConfigEUTRA ::=
  triggerType
  event
  eventId
    eventA1
      a1-Threshold
    },
    eventA2
      a2-Threshold
    },
    eventA3
      a3-Offset
      reportOnLeave
    },
    eventA4
      a4-Threshold
    },
    eventA5
      a5-Threshold1
      a5-Threshold2
    },
    ...,
    eventA6-r10
      a6-Offset-r10
      a6-ReportOnLeave-r10
    },
    eventC1-r12
      c1-Threshold-r12
      c1-ReportOnLeave-r12
    },
    eventC2-r12
      c2-RefCSI-RS-r12
      c2-Offset-r12
      c2-ReportOnLeave-r12
    },
    eventV1-r14
      v1-Threshold-r14
    },
    eventV2-r14
  SEQUENCE {
    CHOICE {
      SEQUENCE {
        CHOICE {
          SEQUENCE {
            ThresholdEUTRA
          }
          SEQUENCE {
            ThresholdEUTRA
          }
          SEQUENCE {
            INTEGER (-30..30),
            BOOLEAN
          }
          SEQUENCE {
            ThresholdEUTRA
          }
          SEQUENCE {
            ThresholdEUTRA,
            ThresholdEUTRA
          }
          SEQUENCE {
            INTEGER (-30..30),
            BOOLEAN
          }
          SEQUENCE {
            ThresholdEUTRA-v1250,
            BOOLEAN
          }
          SEQUENCE {
            MeasCSI-RS-Id-r12,
            INTEGER (-30..30),
            BOOLEAN
          }
          SEQUENCE {
            SL-CBR-r14
          }
          SEQUENCE {
```

```

        v2-Threshold-r14          SL-CBR-r14
    },
    eventH1-r15                  SEQUENCE {
        h1-ThresholdOffset-r15   INTEGER (0..300),
        h1-Hysteresis-r15       INTEGER (1..16)
    },
    eventH2-r15                  SEQUENCE {
        h2-ThresholdOffset-r15   INTEGER (0..300),
        h2-Hysteresis-r15       INTEGER (1..16)
    }
},
hysteresis                      Hysteresis,
timeToTrigger                   TimeToTrigger
},
periodical                      SEQUENCE {
    purpose                      ENUMERATED {
        reportStrongestCells, reportCGI
    }
},
triggerQuantity                 ENUMERATED {rsrp, rsrq},
reportQuantity                  ENUMERATED {sameAsTriggerQuantity, both},
maxReportCells                 INTEGER (1..maxCellReport),
reportInterval                  ReportInterval,
reportAmount                    ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},
...
[[ si-RequestForHO-r9           ENUMERATED {setup}          OPTIONAL, -- Cond reportCGI
   ue-RxTxTimeDiffPeriodical-r9 ENUMERATED {setup}          OPTIONAL, -- Need OR
]],
[[ includeLocationInfo-r10      ENUMERATED {true}          OPTIONAL, -- Need OR
   reportAddNeighMeas-r10      ENUMERATED {setup}        OPTIONAL, -- Need OR
]],
[[ alternativeTimeToTrigger-r12 CHOICE {
    release                      NULL,
    setup                        TimeToTrigger
}
   useT312-r12                   BOOLEAN                    OPTIONAL, -- Need ON
   usePSCell-r12                 BOOLEAN                    OPTIONAL, -- Need ON
   aN-Threshold1-v1250           RSRQ-RangeConfig-r12    OPTIONAL, -- Need ON
   a5-Threshold2-v1250           RSRQ-RangeConfig-r12    OPTIONAL, -- Need ON
   reportStrongestCSI-RSs-r12    BOOLEAN                    OPTIONAL, -- Need ON
   reportCRS-Meas-r12            BOOLEAN                    OPTIONAL, -- Need ON
   triggerQuantityCSI-RS-r12     BOOLEAN                    OPTIONAL, -- Need ON
]],
[[ reportSSTD-Meas-r13           BOOLEAN                    OPTIONAL, -- Need ON
   rs-sinr-Config-r13           CHOICE {
    release                      NULL,
    setup                        SEQUENCE {
        triggerQuantity-v1310    ENUMERATED {sinr}          OPTIONAL, -- Need ON
        aN-Threshold1-r13        RS-SINR-Range-r13         OPTIONAL, -- Need ON
        a5-Threshold2-r13        RS-SINR-Range-r13         OPTIONAL, -- Need ON
        reportQuantity-v1310     ENUMERATED {rsrpANDsinr, rsrqANDsinr, all}
    }
}
   useWhiteCellList-r13          BOOLEAN                    OPTIONAL, -- Need ON
   measRSSI-ReportConfig-r13     MeasRSSI-ReportConfig-r13 OPTIONAL, -- Need ON
   includeMultiBandInfo-r13     ENUMERATED {true}        OPTIONAL, -- Cond
reportCGI
   ul-DelayConfig-r13           UL-DelayConfig-r13        OPTIONAL, -- Need ON
]],
[[ ue-RxTxTimeDiffPeriodicalTDD-r13 BOOLEAN                    OPTIONAL, -- Need ON
]],
[[
   purpose-v1430                ENUMERATED {reportLocation, sidelink, spare2, spare1}
                                OPTIONAL, -- Need ON
]],
[[
   maxReportRS-Index-r15        INTEGER (0..maxRS-IndexReport-r15) OPTIONAL, -- Need ON
]],
[[ includeBT-Meas-r15           BT-NameListConfig-r15    OPTIONAL, -- Need ON
   includeWLAN-Meas-r15         WLAN-NameListConfig-r15 OPTIONAL, -- Need
ON
   purpose-r15                  ENUMERATED {sensing}            OPTIONAL, -- Need ON
   numberOfTriggeringCells-r15  INTEGER (2..maxCellReport) OPTIONAL, -- Cond a3a4a5
   a4-a5-ReportOnLeave-r15       BOOLEAN                    OPTIONAL, -- Cond a4a5
]],
}
RSRQ-RangeConfig-r12 ::= CHOICE {

```

```
    release          NULL,
    setup            RSRQ-Range-v1250
  }

ThresholdEUTRA ::= CHOICE {
    threshold-RSRP   RSRP-Range,
    threshold-RSRQ   RSRQ-Range
  }

ThresholdEUTRA-v1250 ::= CSI-RSRP-Range-r12

MeasRSSI-ReportConfig-r13 ::= SEQUENCE {
    channelOccupancyThreshold-r13
    RSSI-Range-r13           OPTIONAL -- Need OR
  }

-- ASN1STOP
```

| <b>ReportConfigEUTRA field descriptions</b>   |
|---|
| <p><b>a3-Offset/ a6-Offset/ c2-Offset</b><br/>Offset value to be used in EUTRA measurement report triggering condition for event a3/ a6/ c2. The actual value is field value * 0.5 dB.</p>  |
| <p><b>alternativeTimeToTrigger</b><br/>Indicates the time to trigger applicable for cells specified in <i>altTTT-CellsToAddModList</i> of the associated measurement object, if configured</p>  |
| <p><b>aN-ThresholdM/ cN-ThresholdM</b><br/>Threshold to be used in EUTRA measurement report triggering condition for event number aN/ cN. If multiple thresholds are defined for event number aN/ cN, the thresholds are differentiated by M. E-UTRAN configures <i>aN-Threshold1</i> only for events A1, A2, A4, A5 and <i>a5-Threshold2</i> only for event A5.</p>  |
| <p><b>c1-ReportOnLeave/ c2-ReportOnLeave</b><br/>Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a CSI-RS resource in <i>csi-RS-TriggeredList</i>, as specified in 5.5.4.1.</p>  |
| <p><b>c2-RefCSI-RS</b><br/>Identity of the CSI-RS resource from the <i>measCSI-RS-ToAddModList</i> of the associated <i>measObject</i>, to be used as the reference CSI-RS resource in EUTRA measurement report triggering condition for event c2.</p>  |
| <p><b>channelOccupancyThreshold</b><br/>RSSI threshold which is used for channel occupancy evaluation.</p>  |
| <p><b>eventId</b><br/>Choice of E-UTRA event triggered reporting criteria. EUTRAN may set this field to <i>eventC1</i> or <i>eventC2</i> only if <i>measDS-Config</i> is configured in the associated <i>measObject</i> with one or more CSI-RS resources. The <i>eventC1</i> and <i>eventC2</i> are not applicable for the <i>eventId</i> if RS-SINR is configured as <i>triggerQuantity</i> or <i>reportQuantity</i>.</p>   |
| <p><b>h1-Hysteresis, h2-Hysteresis</b><br/>This parameter is used within the entry and leave condition of an event triggered reporting condition for event H1 and event H2. The actual value is field value. If this field is configured UE shall ignore parameter <i>hysteresis</i>.</p>   |
| <p><b>h1-ThresholdOffset, h2-ThresholdOffset</b><br/>An offset value to <i>heightThreshRef</i> to obtain the threshold to be used in EUTRA height report triggering condition for event H1 and event H2. The value for h1-ThresholdOffset and h2-ThresholdOffset is expressed in meters such that granularity is 2meters. Value 0 corresponds to offset value 0m, value 1 corresponds to offset value 2m, value 2 correspond to offset value 4m, and so on.</p>   |
| <p><b>includeMultiBandInfo</b><br/>If this field is present, the UE shall acquire and include multi band information in the measurement report.</p>   |
| <p><b>maxReportCells</b><br/>Max number of cells, excluding the serving cell, to include in the measurement report concerning CRS, and max number of CSI-RS resources to include in the measurement report concerning CSI-RS.</p>   |
| <p><b>measRSSI-ReportConfig</b><br/>If this field is present, the UE shall perform measurement reporting for RSSI and channel occupancy and ignore the <i>triggerQuantity</i>, <i>reportQuantity</i> and <i>maxReportCells</i> fields. E-UTRAN only sets this field to <i>true</i> when setting <i>triggerType</i> to <i>periodical</i> and <i>purpose</i> to <i>reportStrongestCells</i>.</p>  |
| <p><b>numberOfTriggeringCells</b><br/>Indicates the number of cells detected that are required to fulfill an event for a measurement report to be triggered. This field is set only for the events concerning neighbor cells, i.e. <i>eventA3</i>, <i>eventA4</i>, <i>eventA5</i>.</p>  |
| <p><b>reportAmount</b><br/>Number of measurement reports applicable for <i>triggerType event</i> as well as for <i>triggerType periodical</i>. In case <i>purpose</i> is set to <i>reportCGI</i> or <i>reportSSTD-Meas</i> is set to <i>true</i>, only value 1 applies.</p>   |
| <p><b>reportCRS-Meas</b><br/>If this field is set to <i>TRUE</i> the UE shall include <i>rsrp</i>, <i>rsrq</i> together with <i>csi-rsrp</i> in the measurement report, if possible.</p>  |
| <p><b>reportOnLeave/ a6-ReportOnLeave/ a4-a5-ReportOnLeave</b><br/>Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in <i>cellsTriggeredList</i>, as specified in 5.5.4.1.</p>   |
| <p><b>reportQuantity</b><br/>The quantities to be included in the measurement report. The value <i>both</i> means that both the <i>rsrp</i> and <i>rsrq</i> quantities are to be included in the measurement report. The value <i>rsrpANDsinr</i> and <i>rsrqANDsinr</i> mean that both <i>rsrp</i> and <i>rs-sinr</i> quantities, and both <i>rsrq</i> and <i>rs-sinr</i> quantities are to be included respectively in the measurement report. The value <i>all</i> means that <i>rsrp</i>, <i>rsrq</i> and <i>rs-sinr</i> are to be included in the measurement report. In case <i>triggerQuantityCSI-RS</i> is set to <i>TRUE</i>, only value <i>sameAsTriggerQuantity</i> applies. If <i>reportQuantity-v1310</i> is configured, the UE only considers this extension (and ignores <i>reportQuantity</i> i.e. without suffix).</p> |
| <p><b>reportSSTD-Meas</b><br/>If this field is set to <i>true</i>, the UE shall measure SSTD between the PCell and the PSCell as specified in TS 36.214 [48] and ignore the <i>triggerQuantity</i>, <i>reportQuantity</i> and <i>maxReportCells</i> fields. E-UTRAN only sets this field to <i>true</i> when setting <i>triggerType</i> to <i>periodical</i> and <i>purpose</i> to <i>reportStrongestCells</i>.</p>   |
| <p><b>reportStrongestCSI-RSs</b><br/>Indicates that periodical CSI-RS measurement report is performed. EUTRAN configures value <i>TRUE</i> only if <i>measDS-Config</i> is configured in the associated <i>measObject</i> with one or more CSI-RS resources.</p>  |

| <b>ReportConfigEUTRA field descriptions</b> |  |
|---|--|
| <b>si-RequestForHO</b>                      | The field applies to the <i>reportCGI</i> functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the neighbour cell, applies a different value for T321, and includes different fields in the measurement report.  |
| <b>ThresholdEUTRA</b>                       | For RSRP: RSRP based threshold for event evaluation. The actual value is field value – 140 dBm.<br>For RSRQ: RSRQ based threshold for event evaluation. The actual value is (field value – 40)/2 dB.<br>For RS-SINR: RS-SINR based threshold for event evaluation. The actual value is (field value -46)/2 dB.<br>For CSI-RSRP: CSI-RSRP based threshold for event evaluation. The actual value is field value – 140 dBm.<br>EUTRAN configures the same threshold quantity for all the thresholds of an event.   |
| <b>timeToTrigger</b>                        | Time during which specific criteria for the event needs to be met in order to trigger a measurement report.  |
| <b>triggerQuantity</b>                      | The quantity used to evaluate the triggering condition for the event concerning CRS. EUTRAN sets the value according to the quantity of the <i>ThresholdEUTRA</i> for this event. The values <i>rsrp</i> , <i>rsrq</i> and <i>sinr</i> correspond to Reference Signal Received Power (RSRP), Reference Signal Received Quality (RSRQ) and Reference Signal Signal to Noise and Interference Ratio (RS-SINR), see TS 36.214 [48]. If <i>triggerQuantity-v1310</i> is configured, the UE only considers this extension (and ignores <i>triggerQuantity</i> i.e. without suffix). |
| <b>triggerQuantityCSI-RS</b>                | The quantity used to evaluate the triggering condition for the event concerning CSI-RS. The value <i>TRUE</i> corresponds to CSI Reference Signal Received Power (CSI-RSRP), see TS 36.214 [48]. E-UTRAN configures value <i>TRUE</i> if and only if the measurement reporting event concerns CSI-RS.  |
| <b>ue-RxTxTimeDiffPeriodical</b>            | If this field is present, the UE shall perform UE Rx-Tx time difference measurement reporting and ignore the fields <i>triggerQuantity</i> , <i>reportQuantity</i> and <i>maxReportCells</i> . If the field is present, the only applicable values for the corresponding <i>triggerType</i> and <i>purpose</i> are periodical and reportStrongestCells respectively.   |
| <b>ue-RxTxTimeDiffPeriodicalTDD</b>         | If this field is set to <i>TRUE</i> , the UE shall perform UE Rx-Tx time difference measurement reporting according to EUTRAN TDD UE Rx-Tx time difference report mapping in TS 36.133 [16]. If the field is configured, the <i>ue-RxTxTimeDiffPeriodical</i> shall be configured. The field is applicable for TDD only.   |
| <b>usePSCell</b>                            | If this field is set to <i>TRUE</i> the UE shall use the PSCell instead of the PCell. E-UTRAN configures value <i>TRUE</i> only for events A3 and A5, see 5.5.4.4 and 5.5.4.6.   |
| <b>useT312</b>                              | If value <i>TRUE</i> is configured, the UE shall use the timer T312 with the value <i>t312</i> as specified in the corresponding <i>measObject</i> . If the corresponding <i>measObject</i> does not include the timer T312 then the timer T312 is considered as not configured. E-UTRAN configures value <i>TRUE</i> only if <i>triggerType</i> is set to <i>event</i> .  |
| <b>useWhiteCellList</b>                     | Indicates whether only the cells included in the white-list of the associated <i>measObject</i> are applicable as specified in 5.5.4.1. E-UTRAN does not configure the field for events A1, A2, C1 and C2.   |
| <b>ul-DelayConfig</b>                       | If the field is present, E-UTRAN configures UL PDCP Packet Delay per QCI measurement and the UE shall ignore the fields <i>triggerQuantity</i> and <i>maxReportCells</i> . The applicable values for the corresponding <i>triggerType</i> and <i>reportInterval</i> are <i>periodical</i> and (one of the) <i>ms1024</i> , <i>ms2048</i> , <i>ms5120</i> or <i>ms10240</i> respectively. The <i>reportInterval</i> indicates the periodicity for performing and reporting of UL PDCP Delay per QCI measurement as specified in TS 36.314 [71].                                 |

| Conditional presence | Explanation   |
|----------------------|---|
| <i>reportCGI</i>     | The field is optional, need OR, in case <i>purpose</i> is included and set to <i>reportCGI</i> ; otherwise the field is not present and the UE shall delete any existing value for this field.                        |
| <i>a3a4a5</i>        | This field is optional, need OR, in case <i>eventId</i> is set to <i>eventA3</i> or <i>eventA4</i> or <i>eventA5</i> ; otherwise, this field is not present and the UE shall delete any existing value of this field. |
| <i>a4a5</i>          | This field is optional, need OR, in case <i>eventId</i> is set to <i>eventA4</i> or <i>eventA5</i> ; otherwise, this field is not present and the UE shall delete any existing value of this field.                   |

## – ReportConfigId

The IE *ReportConfigId* is used to identify a measurement reporting configuration.

### ReportConfigId information element

```
-- ASN1START
ReportConfigId ::= INTEGER (1..maxReportConfigId)
```

```
-- ASN1STOP
```

## – *ReportConfigInterRAT*

The IE *ReportConfigInterRAT* specifies criteria for triggering of an inter-RAT measurement reporting event. The inter-RAT measurement reporting events for NR, UTRAN, GERAN and CDMA2000 are labelled *BN* with *N* equal to 1, 2 and so on. The inter-RAT measurement reporting events for WLAN are labelled *WN* with *N* equal to 1, 2 and so on.

- Event B1: Neighbour becomes better than absolute threshold;
- Event B2: PCell becomes worse than absolute threshold1 AND Neighbour becomes better than another absolute threshold2.
- Event W1: WLAN becomes better than a threshold;
- Event W2: All WLAN inside WLAN mobility set become worse than a threshold1 and a WLAN outside WLAN mobility set becomes better than a threshold2;
- Event W3: All WLAN inside WLAN mobility set become worse than a threshold.

The b1 and b2 event thresholds for CDMA2000 are the CDMA2000 pilot detection thresholds are expressed as an unsigned binary number equal to  $[-2 \times 10 \log_{10} E_c/I_0]$  in units of 0.5dB, see C.S0005 [25] for details.

### *ReportConfigInterRAT* information element

```
-- ASN1START
ReportConfigInterRAT ::=
  triggerType
  event
  eventId
    eventB1
      b1-Threshold
        b1-ThresholdUTRA
        b1-ThresholdGERAN
        b1-ThresholdCDMA2000
      },
    eventB2
      b2-Threshold1
      b2-Threshold2
        b2-Threshold2UTRA
        b2-Threshold2GERAN
        b2-Threshold2CDMA2000
      },
    ...,
    eventW1-r13
      w1-Threshold-r13
    },
    eventW2-r13
      w2-Threshold1-r13
      w2-Threshold2-r13
    },
    eventW3-r13
      w3-Threshold-r13
    },
    eventB1-NR-r15
      b1-ThresholdNR-r15
      reportOnLeave-r15
    },
    eventB2-NR-r15
      b2-Threshold1-r15
      b2-Threshold2NR-r15
      reportOnLeave-r15
  },
  hysteresis
  timeToTrigger
},
periodical
purpose
```

```

        reportStrongestCells,
        reportStrongestCellsForSON,
        reportCGI}
    }
},
maxReportCells                INTEGER (1..maxCellReport),
reportInterval                ReportInterval,
reportAmount                  ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},
...
[[ si-RequestForHO-r9          ENUMERATED {setup}          OPTIONAL  -- Cond reportCGI
]],
[[ reportQuantityUTRA-FDD-r10  ENUMERATED {both}          OPTIONAL  -- Need OR
]],
[[ includeLocationInfo-r11     BOOLEAN                  OPTIONAL  -- Need ON
]],
[[ b2-Threshold1-v1250        CHOICE {
    release                    NULL,
    setup                      RSRQ-Range-v1250
}                            OPTIONAL  -- Need ON
]],
[[ reportQuantityWLAN-r13      ReportQuantityWLAN-r13  OPTIONAL  -- Need ON
]],
[[ reportAnyWLAN-r14          BOOLEAN                  OPTIONAL  -- Need ON
]],
[[ reportQuantityCellNR-r15    ReportQuantityNR-r15    OPTIONAL,  -- Need ON
maxReportRS-Index-r15        INTEGER (0..maxRS-IndexReport-r15)  OPTIONAL,  -- Need ON
reportQuantityRS-IndexNR-r15 ReportQuantityNR-r15    OPTIONAL,  -- Need ON
reportRS-IndexResultsNR      BOOLEAN                  OPTIONAL,  -- Need ON
reportSFTD-Meas-r15          ENUMERATED {pSCell, neighborCells}  OPTIONAL  -- Need ON
]]
}

ThresholdUTRA ::=
    CHOICE{
        ultra-RSCP              INTEGER (-5..91),
        ultra-EcN0              INTEGER (0..49)
    }

ThresholdGERAN ::=
    INTEGER (0..63)

ThresholdCDMA2000 ::=
    INTEGER (0..63)

ReportQuantityNR-r15 ::=
    SEQUENCE {
        ss-rsrp                 BOOLEAN,
        ss-rsrq                 BOOLEAN,
        ss-sinr                 BOOLEAN
    }

ReportQuantityWLAN-r13 ::=
    SEQUENCE {
        bandRequestWLAN-r13     ENUMERATED {true}    OPTIONAL,  -- Need OR
        carrierInfoRequestWLAN-r13  ENUMERATED {true}    OPTIONAL,  -- Need OR
        availableAdmissionCapacityRequestWLAN-r13  ENUMERATED {true}    OPTIONAL,  -- Need OR
        backhaulDL-BandwidthRequestWLAN-r13  ENUMERATED {true}    OPTIONAL,  -- Need OR
        backhaulUL-BandwidthRequestWLAN-r13  ENUMERATED {true}    OPTIONAL,  -- Need OR
        channelUtilizationRequestWLAN-r13  ENUMERATED {true}    OPTIONAL,  -- Need OR
        stationCountRequestWLAN-r13  ENUMERATED {true}    OPTIONAL,  -- Need OR
        ...
    }

-- ASN1STOP

```



| <b>ReportConfigInterRAT field descriptions</b>  |
|---|
| <p><b>availableAdmissionCapacityRequestWLAN</b><br/>The value true indicates that the UE shall include, if available, WLAN Available Admission Capacity in measurement reports.</p>   |
| <p><b>backhaulDL-BandwidthRequestWLAN</b><br/>The value true indicates that the UE shall include, if available, WLAN Backhaul Downlink Bandwidth in measurement reports.</p>  |
| <p><b>backhaulUL-BandwidthRequestWLAN</b><br/>The value true indicates that the UE shall include, if available, WLAN Backhaul Uplink Bandwidth in measurement reports.</p>  |
| <p><b>bandRequestWLAN</b><br/>The value true indicates that the UE shall include WLAN band in measurement reports.</p>  |
| <p><b>bN-ThresholdM</b><br/>Threshold to be used in inter RAT measurement report triggering condition for event number bN. If multiple thresholds are defined for event number bN, the thresholds are differentiated by M.</p>  |
| <p><b>carrierInfoRequestWLAN</b><br/>The value true indicates that the UE shall include, if available, WLAN Carrier Information in measurement reports.</p>   |
| <p><b>channelUtilizationRequest-WLAN</b><br/>The value true indicates that the UE shall include, if available, WLAN Channel Utilization in measurement reports.</p>   |
| <p><b>eventId</b><br/>Choice of inter-RAT event triggered reporting criteria.</p>   |
| <p><b>maxReportCells</b><br/>Max number of cells, excluding the serving cell, to include in the measurement report. In case <i>purpose</i> is set to <i>reportStrongestCellsForSON</i> only value 1 applies. For inter-RAT WLAN, it is the maximum number of WLANs to include in the measurement report.</p>  |
| <p><b>maxReportRS-Index</b><br/>Max number of RS indices to include in the measurement report. E-UTRAN configures value 0 only if it sets <i>reportRS-IndexResultsNR</i> to <i>FALSE</i>.</p>   |
| <p><b>Purpose</b><br/><i>reportStrongestCellsForSON</i> applies only in case <i>reportConfig</i> is linked to a <i>measObject</i> set to <i>measObjectUTRA</i> or <i>measObjectCDMA2000</i>.</p>  |
| <p><b>reportAmount</b><br/>Number of measurement reports applicable for <i>triggerType event</i> as well as for <i>triggerType periodical</i>. In case <i>purpose</i> is set to <i>reportCGI</i> or <i>reportStrongestCellsForSON</i> only value 1 applies. In case <i>reportSFTD-Meas</i> is configured, only value 1 applies.</p>   |
| <p><b>reportAnyWLAN</b><br/>Indicates UE to report any WLAN AP meeting the triggering requirements, even if it is not included in the corresponding <i>MeasObjectWLAN</i>.</p>  |
| <p><b>reportOnLeave</b><br/>Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in <i>cellsTriggeredList</i>, as specified in 5.5.4.1.</p>  |
| <p><b>reportQuantityUTRA-FDD</b><br/>The quantities to be included in the UTRA measurement report. The value <i>both</i> means that both the cpich RSCP and cpich EcN0 quantities are to be included in the measurement report.</p>   |
| <p><b>reportRS-IndexResultsNR</b><br/>Indicates whether or not the UE shall report beam measurement result of NR in the measurement report.</p>   |
| <p><b>reportSFTD-Meas</b><br/>If this field is set to <i>pSCell</i>, the UE shall measure SFTD between the PCell and the PSCell as specified in TS 38.215 [89], in this case, the frequency of PSCell is configured in the corresponding <i>measObjectNR</i>. If the field is set to <i>neighborCells</i>, the UE shall measure SFTD between the PCell and the NR cells included in <i>cellsForWhichToReportSFTD</i> (if configured in the corresponding <i>measObjectNR</i>) or between the PCell and up to 3 strongest detected NR cells (if <i>cellsForWhichToReportSFTD</i> is not configured in the corresponding <i>measObjectNR</i>), as specified in TS 38.215 [89]. E-UTRAN only includes this field when setting <i>triggerType</i> to <i>periodical</i> and <i>purpose</i> to <i>reportStrongestCells</i>. If included, the UE shall ignore the <i>maxReportCells</i> field.</p> |
| <p><b>si-RequestForHO</b><br/>The field applies to the <i>reportCGI</i> functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the neighbour cell, applies a different value for T321, and includes different fields in the measurement report. EUTRAN does not configure the field if <i>reportConfig</i> is linked to a <i>measObject</i> set to <i>measObjectNR</i>.</p>   |
| <p><b>ss-rsrp</b><br/>Indicates whether or not the UE shall report SS-RSRP quantity of NR.</p>  |
| <p><b>ss-rsrq</b><br/>Indicates whether or not the UE shall report SS-RSRQ quantity of NR.</p>  |
| <p><b>ss-sinr</b><br/>Indicates whether or not the UE shall report SS-SINR quantity of NR.</p>  |
| <p><b>stationCountRequestWLAN</b><br/>The value true indicates that the UE shall include, if available, WLAN Station Count in measurement reports.</p>  |

| <b>ReportConfigInterRAT field descriptions</b>   |
|--|
| <p><b>availableAdmissionCapacityRequestWLAN</b><br/>The value true indicates that the UE shall include, if available, WLAN Available Admission Capacity in measurement reports.</p>  |
| <p><b>backhaulDL-BandwidthRequestWLAN</b><br/>The value true indicates that the UE shall include, if available, WLAN Backhaul Downlink Bandwidth in measurement reports.</p>   |
| <p><b>backhaulUL-BandwidthRequestWLAN</b><br/>The value true indicates that the UE shall include, if available, WLAN Backhaul Uplink Bandwidth in measurement reports.</p>   |
| <p><b>bandRequestWLAN</b><br/>The value true indicates that the UE shall include WLAN band in measurement reports.</p>   |
| <p><b>b1-ThresholdGERAN, b2-Threshold2GERAN</b><br/>The actual value is field value – 110 dBm.</p>   |
| <p><b>b1-ThresholdUTRA, b2-Threshold2UTRA</b><br/><i>utra-RSCP</i> corresponds to CPICH_RSCP in TS 25.133 [29] for FDD and P-CCPCH_RSCP in TS 25.123 [30] for TDD. <i>utra-EcN0</i> corresponds to CPICH_Ec/No in TS 25.133 [29] for FDD, and is not applicable for TDD.<br/>For <i>utra-RSCP</i>: The actual value is field value – 115 dBm.<br/>For <i>utra-EcN0</i>: The actual value is (field value – 49)/2 dB.</p> |
| <p><b>timeToTrigger</b><br/>Time during which specific criteria for the event needs to be met in order to trigger a measurement report.</p>  |
| <p><b>triggerType</b><br/>E-UTRAN does not configure the value <i>periodical</i> in case <i>reportConfig</i> is linked to a <i>measObject</i> set to <i>measObjectWLAN</i>.</p>  |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>reportCGI</i>            | The field is optional, need OR, in case <i>purpose</i> is included and set to <i>reportCGI</i> ; otherwise the field is not present and the UE shall delete any existing value for this field. |

## – *ReportConfigToAddModList*

The IE *ReportConfigToAddModList* concerns a list of reporting configurations to add or modify

### **ReportConfigToAddModList information element**

```

-- ASN1START
ReportConfigToAddModList ::=          SEQUENCE (SIZE (1..maxReportConfigId)) OF ReportConfigToAddMod
ReportConfigToAddMod ::=          SEQUENCE {
    reportConfigId                    ReportConfigId,
    reportConfig                       CHOICE {
        reportConfigEUTRA              ReportConfigEUTRA,
        reportConfigInterRAT           ReportConfigInterRAT
    }
}
-- ASN1STOP

```

## – *ReportInterval*

The *ReportInterval* indicates the interval between periodical reports. The *ReportInterval* is applicable if the UE performs periodical reporting (i.e. when *reportAmount* exceeds 1), for *triggerType event* as well as for *triggerType periodical*. Value ms120 corresponds with 120 ms, ms240 corresponds with 240 ms and so on, while value min1 corresponds with 1 min, min6 corresponds with 6 min and so on.

### **ReportInterval information element**

```

-- ASN1START
ReportInterval ::=          ENUMERATED {
    ms120, ms240, ms480, ms640, ms1024, ms2048, ms5120, ms10240,
    min1, min6, min12, min30, min60, spare3, spare2, spare1}
-- ASN1STOP

```

```
-- ASN1STOP
```

## – *RS-IndexNR*

The IE *RS-IndexNR* is used to identify an NR Reference Signal.

### ***RS-IndexNR* information element**

```
-- ASN1START
RS-IndexNR-r15 ::=          INTEGER (0..maxRS-Index-1-r15)
-- ASN1STOP
```

## – *RSRP-Range*

The IE *RSRP-Range* specifies the value range used in RSRP measurements and thresholds. Integer value for RSRP measurements according to mapping table in TS 36.133 [16]. A given field using *RSRP-Range-v1360* shall only be signalled if the corresponding original field (using *RSRP-Range* i.e. without suffix) is set to value 0.

### ***RSRP-Range* information element**

```
-- ASN1START
RSRP-Range ::=              INTEGER(0..97)
RSRP-Range-v1360 ::=       INTEGER(-17..-1)
RSRP-RangeSL-r12 ::=       INTEGER(0..13)
RSRP-RangeSL2-r12 ::=      INTEGER(0..7)
RSRP-RangeSL3-r12 ::=      INTEGER(0..11)
RSRP-RangeSL4-r13 ::=      INTEGER(0..49)
-- ASN1STOP
```

### ***RSRP-Range* field descriptions**

#### ***RSRP-Range***

For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *RSRP-Range-v1360* (i.e., with suffix) is reported if the measured RSRP is less than -140 dBm.

#### ***RSRP-RangeSL***

Value 0 corresponds to -infinity, value 1 to -115dBm, value 2 to -110dBm, and so on (i.e. in steps of 5dBm) until value 12, which corresponds to -60dBm, while value 13 corresponds to +infinity.

#### ***RSRP-RangeSL2***

Value 0 corresponds to -infinity, value 1 to -110dBm, value 2 to -100dBm, and so on (i.e. in steps of 10dBm) until value 6, which corresponds to -60dBm, while value 7 corresponds to +infinity.

#### ***RSRP-RangeSL3***

Value 0 corresponds to -110dBm, value 1 to -105dBm, value 2 to -100dBm, and so on (i.e. in steps of 5dBm) until value 10, which corresponds to -60dBm, while value 11 corresponds to +infinity.

#### ***RSRP-RangeSL4***

Indicates the range for SD-RSRP. Value 0 corresponds to -130dBm, value 1 to -128dBm, value 2 to -126dBm, and so on (i.e. in steps of 2dBm) until value 48, which corresponds to -34dBm, while value 49 corresponds to +infinity.

## – *RSRP-RangeNR*

The IE *RSRP-RangeNR* specifies the value range used in RSRP measurements and thresholds. For RSRP measurements, integer value is according to mapping table in TS 38.133 [84]. For thresholds, the actual value is (field value – 156) dBm, except for field value 127, in which case the actual value is infinity.

**RSRP-RangeNR information element**

```
-- ASN1START
RSRP-RangeNR-r15 ::= INTEGER (0..127)
-- ASN1STOP
```

– **RSRQ-Range**

The IE *RSRQ-Range* specifies the value range used in RSRQ measurements and thresholds. Integer value for RSRQ measurements is according to mapping table in TS 36.133 [16]. A given field using *RSRQ-Range-v1250* shall only be signalled if the corresponding original field (using *RSRQ-Range* i.e. without suffix) is set to value 0 or 34. Only a UE indicating support of *extendedRSRQ-LowerRange-r12* or *rsrq-OnAllSymbols-r12* may report *RSRQ-Range-v1250*, and this may be done without explicit configuration from the E-UTRAN. If received, the UE shall use the value indicated by the *RSRQ-Range-v1250* and ignore the value signalled by *RSRQ-Range* (without the suffix). *RSRQ-Range-r13* covers the original range and extended *RSRQ-Range-v1250*. *RSRQ-Range-r13* may be signalled without the corresponding original field and without any requirements for indicated support of *extendedRSRQ-LowerRange-r12* or *rsrq-OnAllSymbols-r12*.

**RSRQ-Range information element**

```
-- ASN1START
RSRQ-Range ::= INTEGER(0..34)
RSRQ-Range-v1250 ::= INTEGER(-30..46)
RSRQ-Range-r13 ::= INTEGER(-30..46)
-- ASN1STOP
```

– **RSRQ-RangeNR**

The IE *RSRQ-RangeNR* specifies the value range used in RSRQ measurements and thresholds. For RSRQ measurements, integer value is according to mapping table in TS 38.133 [84]. For thresholds, the actual value is (field value – 87) / 2 dB.

**RSRQ-RangeNR information element**

```
-- ASN1START
RSRQ-RangeNR-r15 ::= INTEGER (0..127)
-- ASN1STOP
```

– **RSRQ-Type**

The IE *RSRQ-Type* specifies the RSRQ value type used in RSRQ measurements, see TS 36.214 [48].

**RSRQ-Type information element**

```
-- ASN1START
RSRQ-Type-r12 ::= SEQUENCE {
    allSymbols-r12    BOOLEAN,
    wideBand-r12     BOOLEAN
}
-- ASN1STOP
```

| <i>RSRQ-Type</i> field descriptions   |
|---|
| <b><i>allSymbols</i></b><br>Value TRUE indicates use of all OFDM symbols when performing RSRQ measurements. |
| <b><i>wideBand</i></b><br>Value TRUE indicates use of a wider bandwidth when performing RSRQ measurements.  |

### – *RS-SINR-Range*

The IE *RS-SINR-Range* specifies the value range used in RS-SINR measurements and thresholds. Integer value for RS-SINR measurements is according to mapping table in TS 36.133 [16].

#### ***RS-SINR-Range* information element**

```
-- ASN1START
RS-SINR-Range-r13 ::=                INTEGER(0..127)
-- ASN1STOP
```

### – *RS-SINR-RangeNR*

The IE *RS-SINR-RangeNR* specifies the value range used in RS-SINR measurements and thresholds. For RS-SINR measurements, integer value is according to mapping table in TS 38.133 [84]. For thresholds, the actual value is (field value – 46) / 2 dB.

#### ***RS-SINR-RangeNR* information element**

```
-- ASN1START
RS-SINR-RangeNR-r15 ::=              INTEGER (0..127)
-- ASN1STOP
```

### – *RSSI-Range-r13*

The IE *RSSI-Range* specifies the value range used in RSSI measurements and thresholds. Integer value for RSSI measurements is according to mapping table in TS 36.133 [16].

#### ***RSSI-Range* information element**

```
-- ASN1START
RSSI-Range-r13 ::=                   INTEGER(0..76)
-- ASN1STOP
```

### – *SS-RSSI-Measurement*

The IE *SS-RSSI-Measurement* specifies the configuration of NR SSB based RSSI measurements.

#### ***SS-RSSI-Measurement* information element**

```
-- ASN1START
SS-RSSI-Measurement-r15 ::=          SEQUENCE {
    measurementSlots-r15              BIT STRING (SIZE(1..80)),
    endSymbol-r15                     INTEGER(0..3)
}
-- ASN1STOP
```

```
-- ASN1STOP
```

### SS-RSSI-Measurement field descriptions

#### **endSymbol**

Within a slot that is configured for RSSI measurements (see measurementSlots) the UE measures the RSSI from symbol 0 to symbol endSymbol. This field identifies the entry in Table 5.1.33-1 in TS 36.214 which determines the actual end symbol.

#### **measurementSlots**

Indicates the slots in which the UE can perform NR RSSI measurements. The length of the BIT STRING is equal to the number of slots in the configured SMTC window (determined by the ssb-duration and by the subcarrierSpacingSSB). The first (left-most / most significant) bit in the bitmap corresponds to the first slot in the SMTC window, the second bit in the bitmap corresponds to the second slot in the SMTC window, and so on. The UE measures in slots for which the corresponding bit in the bitmap is set to 1.

## SSB-ToMeasure

The IE *SSB-ToMeasure* is used to configure a pattern of SSBs.

### SSB-ToMeasure information element

```
-- ASN1START
SSB-ToMeasure-r15 ::= CHOICE {
    shortBitmap-r15      BIT STRING (SIZE (4)),
    mediumBitmap-r15    BIT STRING (SIZE (8)),
    longBitmap-r15      BIT STRING (SIZE (64))
}
-- ASN1STOP
```

### SSB-ToMeasure field descriptions

#### **longBitmap**

Bitmap when maximum number of SS/PBCH blocks per half frame equals to 64 as defined in TS 38.213 [88], clause 4.1.

#### **mediumBitmap**

Bitmap when maximum number of SS/PBCH blocks per half frame equals to 8 as defined in TS 38.213 [88], clause 4.1.

#### **shortBitmap**

Bitmap when maximum number of SS/PBCH blocks per half frame equals to 4 as defined in TS 38.213 [88], clause 4.1.

## TimeToTrigger

The IE *TimeToTrigger* specifies the value range used for time to trigger parameter, which concerns the time during which specific criteria for the event needs to be met in order to trigger a measurement report. Value ms0 corresponds to 0 ms and behaviour as specified in 7.3.2 applies, ms40 corresponds to 40 ms, and so on.

### TimeToTrigger information element

```
-- ASN1START
TimeToTrigger ::= ENUMERATED {
    ms0, ms40, ms64, ms80, ms100, ms128, ms160, ms256,
    ms320, ms480, ms512, ms640, ms1024, ms1280, ms2560,
    ms5120}
-- ASN1STOP
```

## UL-DelayConfig

The IE *UL-DelayConfig* IE specifies the configuration of the UL PDCP Packet Delay per QCI measurement specified in TS 36.314 [71].

**UL-DelayConfig information element**

```

-- ASN1START
UL-DelayConfig-r13 ::=
    CHOICE {
        release      NULL,
        setup        SEQUENCE {
            delayThreshold-r13  ENUMERATED {
                ms30, ms40, ms50, ms60, ms70, ms80,
                ms90, ms100, ms150, ms300, ms500, ms750, spare4,
                spare3, spare2, spare1}
            }
        }
-- ASN1STOP

```

**UL-DelayConfig field descriptions****delayThreshold**

Indicates the delay threshold value used by UE to provide results of UL PDCP Packet Delay per QCI measurement as specified in TS 36.314 [71]. Value in milliseconds. Value ms30 means 30 ms and so on.

**WLAN-CarrierInfo**

The IE *WLAN-CarrierInfo* is used to identify the WLAN frequency band information, as specified in Annex E in [67].

**WLAN-CarrierInfo information element**

```

-- ASN1START
WLAN-CarrierInfo-r13 ::=
    SEQUENCE {
        operatingClass-r13  INTEGER (0..255)          OPTIONAL, -- Need ON
        countryCode-r13     ENUMERATED {unitedStates, europe, japan, global, ...}
        channelNumbers-r13  WLAN-ChannelList-r13     OPTIONAL, -- Need ON
        ...
    }
WLAN-ChannelList-r13 ::= SEQUENCE (SIZE (1..maxWLAN-Channels-r13)) OF WLAN-Channel-r13
WLAN-Channel-r13 ::= INTEGER(0..255)
-- ASN1STOP

```

**WLAN-CarrierInfo field descriptions****channelNumbers**

Indicates the WLAN channels as defined in IEEE 802.11-2012 [67]. Value 0 is not used.

**countryCode**

Indicates the country code of WLAN as defined in IEEE 802.11-2012 [67].

**operatingClass**

Indicates the Operating Class of WLAN as defined in IEEE 802.11-2012 [67].

**WLAN-NameList**

The IE *WLAN-NameList* is used to indicate the names of the WLAN AP for which the UE is configured to measure.

**WLAN-NameList information element**

```

-- ASN1START
WLAN-NameListConfig-r15 ::=
    CHOICE{
        release      NULL,
        setup        WLAN-NameList-r15
    }
WLAN-NameList-r15 ::= SEQUENCE (SIZE (1..maxWLAN-Name-r15)) OF WLAN-Name-r15
WLAN-Name-r15 ::= OCTET STRING (SIZE (1..32))
-- ASN1STOP

```

```
-- ASN1STOP
```

#### **WLAN-NameList field descriptions**

##### **WLAN-Name**

If configured, the UE only performs WLAN measurements according to the names identified. For each name, it refers to Service Set Identifier (SSID) defined in IEEE 802.11-2012 [67].

## – **WLAN-RSSI-Range**

The IE *WLAN-RSSI-Range* specifies the value range used in WLAN RSSI measurements and thresholds. Integer value for WLAN RSSI measurements is according to mapping table in TS 36.133 [16]. Value 0 corresponds to -infinity, value 1 to -100dBm, value 2 to -99dBm, and so on (i.e. in steps of 1dBm) until value 140, which corresponds to 39dBm, while value 141 corresponds to +infinity.

#### **WLAN-RSSI-Range information element**

```
-- ASN1START
WLAN-RSSI-Range-r13 ::=
                                INTEGER(0..141)
-- ASN1STOP
```

## – **WLAN-RTT**

The IE *WLAN-RTT* covers the measured round trip time between the target device and WLAN AP and optionally the accuracy expressed as the standard deviation of the delay.

#### **WLAN-RTT information element**

```
-- ASN1START
WLAN-RTT-r15 ::= SEQUENCE {
    rttValue-r15                INTEGER (0..16777215),
    rttUnits-r15                ENUMERATED { microseconds,
    hundredsofnanoseconds,
    tensofnanoseconds,
    nanoseconds,
    tenthssofnanoseconds,
    ...},
    rttAccuracy-r15             INTEGER (0..255)                OPTIONAL,
    ...
}
-- ASN1STOP
```

#### **WLAN-RTT field descriptions**

##### **rttValue**

This field specifies the Round Trip Time (RTT) measurement between the target device and WLAN AP in units given by the field rttUnits as defined in TS 36.355 [54].

##### **rttUnits**

This field specifies the Units for the fields rttValue and rttAccuracy. The available Units are 1000ns, 100ns, 10ns, 1ns, and 0.1ns as defined in TS 36.355 [54].

##### **rttAccuracy**

This field provides the estimated accuracy of the provided rttValue expressed as the standard deviation in units given by the field rttUnits as defined in TS 36.355 [54].

## – **WLAN-Status**

The IE *WLAN-Status* indicates the current status of WLAN connection. The values are set as described in clause 5.6.15.2 and 5.6.15.4.



**WLAN-Status information element**

```

-- ASN1START
WLAN-Status-r13 ::=      ENUMERATED {successfulAssociation, failureWlanRadioLink,
failureWlanUnavailable, failureTimeout}
WLAN-Status-v1430 ::=   ENUMERATED {suspended, resumed}
-- ASN1STOP

```

**WLAN-SuspendConfig**

The IE *WLAN-SuspendConfig* is used for configuration of WLAN suspend/resume functionality.

```

-- ASN1START
WLAN-SuspendConfig-r14 ::= SEQUENCE {
    wlan-SuspendResumeAllowed-r14      BOOLEAN      OPTIONAL,    -- Need ON
    wlan-SuspendTriggersStatusReport-r14  BOOLEAN      OPTIONAL    -- Need ON
}
-- ASN1STOP

```

**WLAN-SuspendConfig field descriptions****wlan-SuspendResumeAllowed**

Indicates whether the UE is allowed to use suspend-resume mechanism, i.e., to indicate WLAN being temporarily unavailable and WLAN being available again after temporary unavailability.

**wlan-SuspendTriggersStatusReport**

Indicates whether the UE shall trigger PDCP status report as defined in TS 36.323 [8] when WLAN is temporarily unavailable and UE reports this status.

**6.3.6 Other information elements****AbsoluteTimeInfo**

The IE *AbsoluteTimeInfo* indicates an absolute time in a format YY-MM-DD HH:MM:SS and using BCD encoding. The first/ leftmost bit of the bit string contains the most significant bit of the most significant digit of the year and so on.

**AbsoluteTimeInfo information element**

```

-- ASN1START
AbsoluteTimeInfo-r10 ::=      BIT STRING (SIZE (48))
-- ASN1STOP

```

**AMF-Identifier**

The IE *AMF-Identifier* (AMFI) comprises of an AMF Region ID, an AMF Set ID and an AMF Pointer as specified in 23.003 [27], clause 2.10.1.

**AMF-Identifier information element**

```

-- ASN1START
AMF-Identifier-r15 ::=      BIT STRING (SIZE (24))
-- ASN1STOP

```

## – *AreaConfiguration*

The *AreaConfiguration* indicates area for which UE is requested to perform measurement logging. If not configured, measurement logging is not restricted to specific cells or tracking areas but applies as long as the RPLMN is contained in *plmn-IdentityList* stored in *VarLogMeasReport*.

### **AreaConfiguration information element**

```
-- ASN1START
AreaConfiguration-r10 ::= CHOICE {
    cellGlobalIdList-r10      CellGlobalIdList-r10,
    trackingAreaCodeList-r10  TrackingAreaCodeList-r10
}
AreaConfiguration-v1130 ::= SEQUENCE {
    trackingAreaCodeList-v1130  TrackingAreaCodeList-v1130
}
CellGlobalIdList-r10 ::= SEQUENCE (SIZE (1..32)) OF CellGlobalIdEUTRA
TrackingAreaCodeList-r10 ::= SEQUENCE (SIZE (1..8)) OF TrackingAreaCode
TrackingAreaCodeList-v1130 ::= SEQUENCE {
    plmn-Identity-perTAC-List-r11 SEQUENCE (SIZE (1..8)) OF PLMN-Identity
}
-- ASN1STOP
```

### **AreaConfiguration field descriptions**

#### ***plmn-Identity-perTAC-List***

Includes the PLMN identity for each of the TA codes included in *trackingAreaCodeList*. The PLMN identity listed first in *plmn-Identity-perTAC-List* corresponds with the TA code listed first in *trackingAreaCodeList* and so on.

## – *BandCombinationList*

The IE *BandCombinationList* contains a list of CA band combinations.

### **BandCombinationList information element**

```
-- ASN1START
BandCombinationList-r14 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF BandCombination-r14
BandCombination-r14 ::= SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandIndication-r14
BandIndication-r14 ::= SEQUENCE {
    bandEUTRA-r14          FreqBandIndicator-r11,
    ca-BandwidthClassDL-r14 CA-BandwidthClass-r10,
    ca-BandwidthClassUL-r14 CA-BandwidthClass-r10          OPTIONAL
}
-- ASN1STOP
```

## – *C-RNTI*

The IE *C-RNTI* identifies a UE having a RRC connection within a cell.

### **C-RNTI information element**

```
-- ASN1START
C-RNTI ::= BIT STRING (SIZE (16))
-- ASN1STOP
```

– *DedicatedInfoCDMA2000*

The *DedicatedInfoCDMA2000* is used to transfer UE specific CDMA2000 information between the network and the UE. The RRC layer is transparent for this information.

***DedicatedInfoCDMA2000* information element**

```
-- ASN1START
DedicatedInfoCDMA2000 ::= OCTET STRING
-- ASN1STOP
```

– *DedicatedInfoNAS*

The IE *DedicatedInfoNAS* is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this information.

***DedicatedInfoNAS* information element**

```
-- ASN1START
DedicatedInfoNAS ::= OCTET STRING
-- ASN1STOP
```

– *FilterCoefficient*

The IE *FilterCoefficient* specifies the measurement filtering coefficient. Value *fc0* corresponds to  $k = 0$ , *fc1* corresponds to  $k = 1$ , and so on.

***FilterCoefficient* information element**

```
-- ASN1START
FilterCoefficient ::= ENUMERATED {
    fc0, fc1, fc2, fc3, fc4, fc5,
    fc6, fc7, fc8, fc9, fc11, fc13,
    fc15, fc17, fc19, spare1, ...}
-- ASN1STOP
```

– *FlightPathInfoReportConfig*

The IE *FlightPathInfoReportConfig* specifies flight path information report configuration.

***FlightPathInfoReportConfig* information element**

```
-- ASN1START
FlightPathInfoReportConfig-r15 ::= SEQUENCE {
    maxWayPointNumber-r15 INTEGER (1..maxWayPoint-r15),
    includeTimeStamp-r15 ENUMERATED {true} OPTIONAL
}
-- ASN1STOP
```

| <i>FlightPathInfoReportConfig</i> field descriptions   |
|--|
| <b><i>maxWayPointNumber</i></b><br>Indicates the maximum number of way points UE can include in the flight path information report if this information is available at the UE.           |
| <b><i>includeTimeStamp</i></b><br>Indicates whether time stamp of each way point can be reported in the flight path information report if time stamp information is available at the UE. |

## – *GNSS-ID*

The IE *GNSS-ID* is used to indicate a specific GNSS (see also TS 36.355 [54]).

### ***GNSS-ID* information element**

```
-- ASN1START
GNSS-ID-r15 ::= SEQUENCE {
    gnss-id-r15      ENUMERATED{gps, sbas, qzss, galileo, glonass, bds, ...},
    ...
}
-- ASN1STOP
```

## – *I-RNTI*

The *I-RNTI* IE is used to identify the suspended UE context of a UE in RRC\_INACTIVE.

### ***I-RNTI* information element**

```
-- ASN1START
I-RNTI-r15 ::= BIT STRING (SIZE(40))
-- ASN1STOP
```

## – *LoggingDuration*

The *LoggingDuration* indicates the duration for which UE is requested to perform measurement logging. Value min10 corresponds to 10 minutes, value min20 corresponds to 20 minutes and so on.

### ***LoggingDuration* information element**

```
-- ASN1START
LoggingDuration-r10 ::= ENUMERATED {
    min10, min20, min40, min60, min90, min120, spare2, spare1}
-- ASN1STOP
```

## – *LoggingInterval*

The *LoggingInterval* indicates the periodicity for logging measurement results. Value ms1280 corresponds to 1.28s, value ms2560 corresponds to 2.56s and so on.

### ***LoggingInterval* information element**

```
-- ASN1START
LoggingInterval-r10 ::= ENUMERATED {
    ms1280, ms2560, ms5120, ms10240, ms20480,
    ms30720, ms40960, ms61440}
-- ASN1STOP
```

## – *MeasSubframePattern*

The IE *MeasSubframePattern* is used to specify a subframe pattern. The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying  $\text{SFN mod } x = 0$ , where SFN is that of PCell and  $x$  is the size of the bit string divided by 10. "1" denotes that the corresponding subframe is used.

### ***MeasSubframePattern* information element**

```
-- ASN1START
MeasSubframePattern-r10 ::= CHOICE {
  subframePatternFDD-r10      BIT STRING (SIZE (40)),
  subframePatternTDD-r10     CHOICE {
    subframeConfig1-5-r10    BIT STRING (SIZE (20)),
    subframeConfig0-r10     BIT STRING (SIZE (70)),
    subframeConfig6-r10     BIT STRING (SIZE (60)),
    ...
  },
  ...
}
-- ASN1STOP
```

## – *MMEC*

The IE *MMEC* identifies an MME within the scope of an MME Group within a PLMN, see TS 23.003 [27].

### ***MMEC* information element**

```
-- ASN1START
MMEC ::= BIT STRING (SIZE (8))
-- ASN1STOP
```

## – *NeighCellConfig*

The IE *NeighCellConfig* is used to provide the information related to MBSFN and TDD UL/DL configuration of neighbour cells.

### ***NeighCellConfig* information element**

```
-- ASN1START
NeighCellConfig ::= BIT STRING (SIZE (2))
-- ASN1STOP
```

#### ***NeighCellConfig* field descriptions**

##### ***neighCellConfig***

Provides information related to MBSFN and TDD UL/DL configuration of neighbour cells of this frequency  
00: Not all neighbour cells have the same MBSFN subframe allocation as the serving cell on this frequency, if configured, and as the PCell otherwise

10: The MBSFN subframe allocations of all neighbour cells are identical to or subsets of that in the serving cell on this frequency, if configured, and of that in the PCell otherwise

01: No MBSFN subframes are present in all neighbour cells

11: Different UL/DL allocation in neighbouring cells for TDD compared to the serving cell on this frequency, if configured, and compared to the PCell otherwise

For TDD, 00, 10 and 01 are only used for same UL/DL allocation in neighbouring cells compared to the serving cell on this frequency, if configured, and compared to the PCell otherwise.

## – NG-5G-S-TMSI

The IE *NG-5G-S-TMSI* contains a 5G S-Temporary Mobile Subscriber Identity, a temporary UE identity provided by the AMF which uniquely identifies the UE within the tracking area, see TS 23.003 [27].

### NG-5G-S-TMSI information element

```
-- ASN1START
NG-5G-S-TMSI-r15 ::= BIT STRING (SIZE (48))
-- ASN1STOP
```

## – OtherConfig

The IE *OtherConfig* contains configuration related to other configuration.

### OtherConfig information element

```
-- ASN1START
OtherConfig-r9 ::= SEQUENCE {
  reportProximityConfig-r9          ReportProximityConfig-r9          OPTIONAL,  -- Need ON
  . . .
  [[ idc-Config-r11                  IDC-Config-r11                  OPTIONAL,  -- Need ON
    powerPrefIndicationConfig-r11    PowerPrefIndicationConfig-r11    OPTIONAL,  -- Need ON
    obtainLocationConfig-r11         ObtainLocationConfig-r11         OPTIONAL,  -- Need ON
  ]],
  [[ bw-PreferenceIndicationTimer-r14 ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20,
                                                    s30, s60, s90, s120, s300, s600, spare3,
                                                    spare2, spare1}          OPTIONAL,  -- Need OR
    sps-AssistanceInfoReport-r14      BOOLEAN                          OPTIONAL,  -- Need ON
    delayBudgetReportingConfig-r14    CHOICE{
      release                          NULL,
      setup                            SEQUENCE{
        delayBudgetReportingProhibitTimer-r14  ENUMERATED {
                                                    s0, s0dot4, s0dot8,
                                                    s1dot6, s3, s6, s12, s30}
        }
      }
      OPTIONAL,  -- Need ON
    rlm-ReportConfig-r14              CHOICE {
      release                          NULL,
      setup                            SEQUENCE{
        rlmReportTimer-r14              ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20, s30,
                                                    s60, s90, s120, s300, s600, spare3, spare2, spare1},
        rlmReportRep-MPDCCH-r14        ENUMERATED {setup}          OPTIONAL,  -- Need OR
      }
    }
  ]],
  [[ overheatingAssistanceConfig-r14 CHOICE{
    release                          NULL,
    setup                            SEQUENCE{
      overheatingIndicationProhibitTimer-r14  ENUMERATED {s0, s0dot5, s1, s2, s5, s10,
                                                    s20, s30, s60, s90, s120, s300, s600,
                                                    spare3, spare2, spare1}
    }
  }
  ]],
  [[ measConfigAppLayer-r15          CHOICE{
    release                          NULL,
    setup                            SEQUENCE{
      measConfigAppLayerContainer-r15    OCTET STRING (SIZE(1..1000)),
      serviceType-r15                  ENUMERATED {qoe, qoemtsi, spare6, spare5,
    }
  }
  ]],
  spare4, spare3, spare2, spare1}
  ]],
  ON
  ]],
  airc-Config-r15                    BOOLEAN                          OPTIONAL,  -- Need ON
  bt-NameListConfig-r15              BT-NameListConfig-r15          OPTIONAL,  --Need ON
  wlan-NameListConfig-r15            WLAN-NameListConfig-r15      OPTIONAL,  --Need
}
-- ASN1STOP
```

```

IDC-Config-r11 ::= SEQUENCE {
  idc-Indication-r11          ENUMERATED {setup}          OPTIONAL,  -- Need OR
  autonomousDenialParameters-r11 SEQUENCE {
    autonomousDenialSubframes-r11  ENUMERATED {n2, n5, n10, n15,
                                                n20, n30, spare2, spare1},
    autonomousDenialValidity-r11   ENUMERATED {
                                                sf200, sf500, sf1000, sf2000,
                                                spare4, spare3, spare2, spare1}
  }
  OPTIONAL,  -- Need OR
  ...,
  [[ idc-Indication-UL-CA-r11      ENUMERATED {setup}      OPTIONAL  -- Cond idc-Ind
  ]],
  [[ idc-HardwareSharingIndication-r13  ENUMERATED {setup}  OPTIONAL  -- Need OR
  ]],
  [[ idc-Indication-MRDC-r15        CHOICE{
    release          NULL,
    setup            SEQUENCE{
      CandidateServingFreqListNR-r15
    }
  }
  OPTIONAL  -- Cond idc-Ind
  ]]
}

ObtainLocationConfig-r11 ::= SEQUENCE {
  obtainLocation-r11          ENUMERATED {setup}          OPTIONAL  -- Need OR
}

PowerPrefIndicationConfig-r11 ::= CHOICE{
  release          NULL,
  setup            SEQUENCE{
    powerPrefIndicationTimer-r11  ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20,
                                                s30, s60, s90, s120, s300, s600, spare3,
                                                spare2, spare1}
  }
}

ReportProximityConfig-r9 ::= SEQUENCE {
  proximityIndicationEUTRA-r9    ENUMERATED {enabled}      OPTIONAL,  -- Need OR
  proximityIndicationUTRA-r9     ENUMERATED {enabled}      OPTIONAL  -- Need OR
}

CandidateServingFreqListNR-r15 ::= SEQUENCE (SIZE (1..maxFreqIDC-r11)) OF ARFCN-ValueNR-r15

-- ASN1STOP

```

| <b>OtherConfig field descriptions</b>  |
|--|
| <p><b><i>aillc-BitConfig</i></b><br/>Indicates whether the UE is allowed to provide assistance information bit for local cache. If configured, the UE shall only apply to a DRB configured with 12-bit PDCP SN format as specified in TS 36.323 [8].</p>   |
| <p><b><i>autonomousDenialSubframes</i></b><br/>Indicates the maximum number of the UL subframes for which the UE is allowed to deny any UL transmission. Value n2 corresponds to 2 subframes, n5 to 5 subframes and so on. E-UTRAN does not configure autonomous denial for frequencies on which SCG cells are configured.</p>                                       |
| <p><b><i>autonomousDenialValidity</i></b><br/>Indicates the validity period over which the UL autonomous denial subframes shall be counted. Value sf200 corresponds to 200 subframes, sf500 corresponds to 500 subframes and so on.</p>  |
| <p><b><i>bt-NameListConfig</i></b><br/>Configuration for the UE to report measurements from specific Bluetooth beacons. E-UTRAN configures the field only if <i>includeBT-Meas</i> is configured for one or more measurements.</p>   |
| <p><b><i>bw-PreferenceIndicationTimer</i></b><br/>Prohibit timer for bandwidth preference indication reporting. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on.</p>  |
| <p><b><i>CandidateServingFreqListNR</i></b><br/>Indicates for each candidate NR serving cells, the center frequency around which UE is requested to report IDC issues for MR-DC.</p>   |
| <p><b><i>delayBudgetReportingProhibitTimer</i></b><br/>Prohibit timer for delay budget reporting. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot4 means prohibit timer is set to 0.4 second, and so on.</p>   |
| <p><b><i>idc-HardwareSharingIndication</i></b><br/>The field is used to indicate whether the UE is allowed indicate in <i>InDeviceCoexIndication</i> that the cause of the problems are due to hardware sharing, and whether the UE is allowed to omit the TDM assistance information.</p>   |
| <p><b><i>idc-Indication</i></b><br/>The field is used to indicate whether the UE is configured to initiate transmission of the <i>InDeviceCoexIndication</i> message to the network.</p>   |
| <p><b><i>idc-Indication-MRDC</i></b><br/>The field is used to indicate whether the UE is configured to provide IDC indications for MR-DC using the <i>InDeviceCoexIndication</i> message.</p>  |
| <p><b><i>idc-Indication-UL-CA</i></b><br/>The field is used to indicate whether the UE is configured to provide IDC indications for UL CA using the <i>InDeviceCoexIndication</i> message.</p>   |
| <p><b><i>measConfigAppLayerContainer</i></b><br/>The field contains configuration of application layer measurements, see Annex L (normative) in TS 26.247 [90] and clause 16.5 in TS 26.114 [99]. The maximum number of configurations of application layer measurements that a UE supports is one regardless of <i>serviceType</i>.</p>                             |
| <p><b><i>serviceType</i></b><br/>Indicates the type of application layer measurement. Value qoe indicates Quality of Experience Measurement Collection for streaming services, value qoemtsi indicates Enhanced Quality of Experience Measurement Collection for MTSI.</p>   |
| <p><b><i>obtainLocation</i></b><br/>Requests the UE to attempt to have detailed location information available using GNSS. E-UTRAN configures the field only if <i>includeLocationInfo</i> is configured for one or more measurements.</p>   |
| <p><b><i>overheatingAssistanceConfig</i></b><br/>Configuration for the UE to report assistance information to inform the eNB about UE detected internal overheating.</p>   |
| <p><b><i>overheatingIndicationProhibitTimer</i></b><br/>Prohibit timer for overheating assistance information reporting. Value in seconds. Value s0 means prohibit timer is set to 0 seconds, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on.</p>  |
| <p><b><i>powerPrefIndicationTimer</i></b><br/>Prohibit timer for Power Preference Indication reporting. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on.</p>  |
| <p><b><i>reportProximityConfig</i></b><br/>Indicates, for each of the applicable RATs (EUTRA, UTRA), whether or not proximity indication is enabled for CSG member cell(s) of the concerned RAT. Note.</p>   |
| <p><b><i>rlmReportTimer</i></b><br/>Prohibit timer for RLM event reporting, i.e. "early-out-of-sync" and "early-in-sync" event reporting, as specified in clause 5.6.10. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on.</p> |
| <p><b><i>rlmReportRep-MPDCCH</i></b><br/>The field is used to indicate whether the UE is configured to report excess repetitions on MPDCCH.</p>  |



| <b>OtherConfig field descriptions</b>  |
|--|
| <p><b><i>ailc-BitConfig</i></b><br/>Indicates whether the UE is allowed to provide assistance information bit for local cache. If configured, the UE shall only apply to a DRB configured with 12-bit PDCP SN format as specified in TS 36.323 [8].</p>  |
| <p><b><i>autonomousDenialSubframes</i></b><br/>Indicates the maximum number of the UL subframes for which the UE is allowed to deny any UL transmission. Value n2 corresponds to 2 subframes, n5 to 5 subframes and so on. E-UTRAN does not configure autonomous denial for frequencies on which SCG cells are configured.</p> |
| <p><b><i>autonomousDenialValidity</i></b><br/>Indicates the validity period over which the UL autonomous denial subframes shall be counted. Value sf200 corresponds to 200 subframes, sf500 corresponds to 500 subframes and so on.</p>  |
| <p><b><i>bt-NameListConfig</i></b><br/>Configuration for the UE to report measurements from specific Bluetooth beacons. E-UTRAN configures the field only if <i>includeBT-Meas</i> is configured for one or more measurements.</p>   |
| <p><b><i>sps-AssistanceInfoReport</i></b><br/>Value TRUE indicates that the UE is allowed to report SPS-AssistanceInformation.</p>   |
| <p><b><i>wlan-NameListConfig</i></b><br/>Configuration for the UE to report measurements from specific WLAN APs. E-UTRAN configures the field only if <i>includeWLAN-Meas</i> is configured for one or more measurements.</p>  |

NOTE: Enabling/ disabling of proximity indication includes enabling/ disabling of the related functionality e.g. autonomous search in connected mode.

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>idc-Ind</i>              | The field is optionally present if <i>idc-Indication</i> is present, need OR. Otherwise the field is not present. |

## – *RAN-AreaCode*

The *RAN-AreaCode* IE indicates RAN area code of the cell.

### ***RAN-AreaCode* information element**

```
-- ASN1START
RAN-AreaCode-r15 ::= INTEGER (0..255)
-- ASN1STOP
```

## – *RAND-CDMA2000 (1xRTT)*

The *RAND-CDMA2000* concerns a random value, generated by the eNB, to be passed to the CDMA2000 upper layers.

### ***RAND-CDMA2000* information element**

```
-- ASN1START
RAND-CDMA2000 ::= BIT STRING (SIZE (32))
-- ASN1STOP
```

## – *RAT-Type*

The IE *RAT-Type* is used to indicate the radio access technology (RAT), including E-UTRA, of the requested/ transferred UE capabilities. A separate value applies for some EUTRA-NR capabilities that are transferred by a separate UE capability container, used in case of MR-DC.

### ***RAT-Type* information element**

```
-- ASN1START
RAT-Type ::= ENUMERATED {
```

```

eutra, utra, geran-cs, geran-ps, cdma2000-1XRTT,
nr, eutra-nr, spare1, ...}

```

```
-- ASN1STOP
```

## – *ResumeIdentity*

The IE *ResumeIdentity* is used to identify the suspended UE context

### ***ResumeIdentity information element***

```

-- ASN1START
ResumeIdentity-r13 ::=                BIT STRING (SIZE(40))
-- ASN1STOP

```

## – *RRC-TransactionIdentifier*

The IE *RRC-TransactionIdentifier* is used, together with the message type, for the identification of an RRC procedure (transaction).

### ***RRC-TransactionIdentifier information element***

```

-- ASN1START
RRC-TransactionIdentifier ::=        INTEGER (0..3)
-- ASN1STOP

```

## – *SBAS-ID*

The IE *SBAS-ID* is used to indicate a specific SBAS (see also TS 36.355 [54]).

### ***SBAS-ID information element***

```

-- ASN1START
SBAS-ID-r15 ::= SEQUENCE {
  sbas-id-r15          ENUMERATED { waas, egnos, msas, gagan, ...},
  ...
}
-- ASN1STOP

```

## – *ShortI-RNTI*

The *ShortI-RNTI* IE is used to identify the suspended UE context of a UE in RRC\_INACTIVE using fewer bits compared to *I-RNTI*.

### ***ShortI-RNTI information element***

```

-- ASN1START
ShortI-RNTI-r15 ::=                BIT STRING (SIZE(24))
-- ASN1STOP

```

## – *S-NSSAI*

The IE *S-NSSAI* identifies a Network Slice end to end and comprises a slice/service type and a slice differentiator, see TS 23.003 [27].

**S-NSSAI information element**

```

-- ASN1START
S-NSSAI-r15 ::= CHOICE {
    sst BIT STRING (SIZE (8)),
    sst-SD BIT STRING (SIZE (32))
}
-- ASN1STOP

```

**S-NSSAI field descriptions****sst**

Indicates the S-NSSAI consists of Slice/Service Type, see TS 23.003 [27].

**sst-SD**

Indicates the S-NSSAI consists of Slice/Service Type and Slice Differentiator, see TS 23.003 [27].

**S-TMSI**

The IE *S-TMSI* contains an S-Temporary Mobile Subscriber Identity, a temporary UE identity provided by the EPC which uniquely identifies the UE within the tracking area, see TS 23.003 [27].

**S-TMSI information element**

```

-- ASN1START
S-TMSI ::= SEQUENCE {
    mmec MMEC,
    m-TMSI BIT STRING (SIZE (32))
}
-- ASN1STOP

```

**S-TMSI field descriptions****m-TMSI**

The first/leftmost bit of the bit string contains the most significant bit of the M-TMSI.

**TraceReference**

The *TraceReference* contains parameter Trace Reference as defined in TS 32.422 [58].

**TraceReference information element**

```

-- ASN1START
TraceReference-r10 ::= SEQUENCE {
    plmn-identity-r10 PLMN-Identity,
    traceId-r10 OCTET STRING (SIZE (3))
}
-- ASN1STOP

```

**UE-CapabilityRAT-ContainerList**

The IE *UE-CapabilityRAT-ContainerList* contains list of containers, one for each RAT for which UE capabilities are transferred, if any.

**UE-CapabilityRAT-ContainerList information element**

```

-- ASN1START
UE-CapabilityRAT-ContainerList ::= SEQUENCE (SIZE (0..maxRAT-Capabilities)) OF UE-CapabilityRAT-Container

```

```

UE-CapabilityRAT-Container ::= SEQUENCE {
    rat-Type          RAT-Type,
    ueCapabilityRAT-Container  OCTET STRING
}
-- ASN1STOP

```

### UECapabilityRAT-ContainerList field descriptions

#### ueCapabilityRAT-Container

Container for the UE capabilities of the indicated RAT. The encoding is defined in the specification of each RAT:

For E-UTRA: the encoding of UE capabilities is defined in IE *UE-EUTRA-Capability*.

For UTRA: the octet string contains the INTER RAT HANDOVER INFO message defined in TS 25.331 [19].

For GERAN CS: the octet string contains the concatenated string of the Mobile Station Classmark 2 and Mobile Station Classmark 3. The first 5 octets correspond to Mobile Station Classmark 2 and the following octets correspond to Mobile Station Classmark 3. The Mobile Station Classmark 2 is formatted as 'TLV' and is coded in the same way as the *Mobile Station Classmark 2* information element in TS 24.008 [49]. The first octet is the *Mobile station classmark 2 IEI* and its value shall be set to 33H. The second octet is the *Length of mobile station classmark 2* and its value shall be set to 3. The octet 3 contains the first octet of the value part of the *Mobile Station Classmark 2* information element, the octet 4 contains the second octet of the value part of the *Mobile Station Classmark 2* information element and so on. For each of these octets, the first/ leftmost/ most significant bit of the octet contains b8 of the corresponding octet of the Mobile Station Classmark 2. The Mobile Station Classmark 3 is formatted as 'V' and is coded in the same way as the value part in the *Mobile station classmark 3* information element in TS 24.008 [49]. The sixth octet of this octet string contains octet 1 of the value part of *Mobile station classmark 3*, the seventh of octet of this octet string contains octet 2 of the value part of *Mobile station classmark 3* and so on. Note.

For GERAN PS: the encoding of UE capabilities is formatted as 'V' and is coded in the same way as the value part in the *MS Radio Access Capability* information element in TS 24.008 [49].

For CDMA2000-1XRTT: the octet string contains the A21 Mobile Subscription Information and the encoding of this is defined in A.S0008 [33]. The A21 Mobile Subscription Information contains the supported CDMA2000 1xRTT band class and band sub-class information.

For NR: The octet string contains the IE *UE-NR-Capability* as defined in TS 38.331 [82].

For EUTRA-NR: The octet string contains the IE *UE-MRDC-Capability* as defined in TS 38.331 [82].

NOTE: The value part is specified by means of CSN.1, which encoding results in a bit string, to which final padding may be appended up to the next octet boundary TS 24.008 [49]. The first/ leftmost bit of the CSN.1 bit string is placed in the first/ leftmost/ most significant bit of the first octet. This continues until the last bit of the CSN.1 bit string, which is placed in the last/ rightmost/ least significant bit of the last octet.

### UE-EUTRA-Capability

The IE *UE-EUTRA-Capability* is used to convey the E-UTRA UE Radio Access Capability Parameters, see TS 36.306 [5], and the Feature Group Indicators for mandatory features (defined in Annexes B.1 and C.1) to the network. The IE *UE-EUTRA-Capability* is transferred in E-UTRA or in another RAT.

NOTE 0: For (UE capability specific) guidelines on the use of keyword OPTIONAL, see Annex A.3.5.

### UE-EUTRA-Capability information element

```

-- ASN1START
UE-EUTRA-Capability ::= SEQUENCE {
    accessStratumRelease  AccessStratumRelease,
    ue-Category           INTEGER (1..5),
    pdcp-Parameters      PDCP-Parameters,
    phyLayerParameters    PhyLayerParameters,
    rf-Parameters        RF-Parameters,
    measParameters       MeasParameters,
    featureGroupIndicators BIT STRING (SIZE (32)) OPTIONAL,
    interRAT-Parameters  SEQUENCE {
        utraFDD           IRAT-ParametersUTRA-FDD OPTIONAL,
        utraTDD128        IRAT-ParametersUTRA-TDD128 OPTIONAL,
        utraTDD384        IRAT-ParametersUTRA-TDD384 OPTIONAL,
        utraTDD768        IRAT-ParametersUTRA-TDD768 OPTIONAL,
        geran             IRAT-ParametersGERAN OPTIONAL,
        cdma2000-HRPD     IRAT-ParametersCDMA2000-HRPD OPTIONAL,
        cdma2000-1xRTT    IRAT-ParametersCDMA2000-1XRTT OPTIONAL
    },
    nonCriticalExtension  UE-EUTRA-Capability-v920-IEs OPTIONAL
}
-- ASN1STOP

```

```

}

-- Late non critical extensions
UE-EUTRA-Capability-v9a0-IEs ::= SEQUENCE {
  featureGroupIndRel9Add-r9          BIT STRING (SIZE (32))          OPTIONAL,
  fdd-Add-UE-EUTRA-Capabilities-r9   UE-EUTRA-CapabilityAddXDD-Mode-r9  OPTIONAL,
  tdd-Add-UE-EUTRA-Capabilities-r9   UE-EUTRA-CapabilityAddXDD-Mode-r9  OPTIONAL,
  nonCriticalExtension                UE-EUTRA-Capability-v9c0-IEs        OPTIONAL
}

UE-EUTRA-Capability-v9c0-IEs ::= SEQUENCE {
  interRAT-ParametersUTRA-v9c0       IRAT-ParametersUTRA-v9c0           OPTIONAL,
  nonCriticalExtension                UE-EUTRA-Capability-v9d0-IEs      OPTIONAL
}

UE-EUTRA-Capability-v9d0-IEs ::= SEQUENCE {
  phyLayerParameters-v9d0            PhyLayerParameters-v9d0           OPTIONAL,
  nonCriticalExtension                UE-EUTRA-Capability-v9e0-IEs      OPTIONAL
}

UE-EUTRA-Capability-v9e0-IEs ::= SEQUENCE {
  rf-Parameters-v9e0                 RF-Parameters-v9e0                OPTIONAL,
  nonCriticalExtension                UE-EUTRA-Capability-v9h0-IEs      OPTIONAL
}

UE-EUTRA-Capability-v9h0-IEs ::= SEQUENCE {
  interRAT-ParametersUTRA-v9h0       IRAT-ParametersUTRA-v9h0           OPTIONAL,
  -- Following field is only to be used for late REL-9 extensions
  lateNonCriticalExtension            OCTET STRING                       OPTIONAL,
  nonCriticalExtension                UE-EUTRA-Capability-v10c0-IEs     OPTIONAL
}

UE-EUTRA-Capability-v10c0-IEs ::= SEQUENCE {
  otdoa-PositioningCapabilities-r10   OTDOA-PositioningCapabilities-r10  OPTIONAL,
  nonCriticalExtension                UE-EUTRA-Capability-v10f0-IEs     OPTIONAL
}

UE-EUTRA-Capability-v10f0-IEs ::= SEQUENCE {
  rf-Parameters-v10f0                RF-Parameters-v10f0                OPTIONAL,
  nonCriticalExtension                UE-EUTRA-Capability-v10i0-IEs     OPTIONAL
}

UE-EUTRA-Capability-v10i0-IEs ::= SEQUENCE {
  rf-Parameters-v10i0                RF-Parameters-v10i0                OPTIONAL,
  -- Following field is only to be used for late REL-10 extensions
  lateNonCriticalExtension            OCTET STRING (CONTAINING UE-EUTRA-Capability-v10j0-IEs)
  OPTIONAL,
  nonCriticalExtension                UE-EUTRA-Capability-v11d0-IEs     OPTIONAL
}

UE-EUTRA-Capability-v10j0-IEs ::= SEQUENCE {
  rf-Parameters-v10j0                RF-Parameters-v10j0                OPTIONAL,
  nonCriticalExtension                SEQUENCE {}                       OPTIONAL
}

UE-EUTRA-Capability-v11d0-IEs ::= SEQUENCE {
  rf-Parameters-v11d0                RF-Parameters-v11d0                OPTIONAL,
  otherParameters-v11d0              Other-Parameters-v11d0             OPTIONAL,
  nonCriticalExtension                UE-EUTRA-Capability-v11x0-IEs     OPTIONAL
}

UE-EUTRA-Capability-v11x0-IEs ::= SEQUENCE {
  -- Following field is only to be used for late REL-11 extensions
  lateNonCriticalExtension            OCTET STRING                       OPTIONAL,
  nonCriticalExtension                UE-EUTRA-Capability-v12b0-IEs     OPTIONAL
}

UE-EUTRA-Capability-v12b0-IEs ::= SEQUENCE {
  rf-Parameters-v12b0                RF-Parameters-v12b0                OPTIONAL,
  nonCriticalExtension                UE-EUTRA-Capability-v12x0-IEs     OPTIONAL
}

UE-EUTRA-Capability-v12x0-IEs ::= SEQUENCE {
  -- Following field is only to be used for late REL-12 extensions
  lateNonCriticalExtension            OCTET STRING                       OPTIONAL,
  nonCriticalExtension                UE-EUTRA-Capability-v1370-IEs     OPTIONAL
}

```

```

UE-EUTRA-Capability-v1370-IEs ::= SEQUENCE {
  ce-Parameters-v1370          CE-Parameters-v1370          OPTIONAL,
  fdd-Add-UE-EUTRA-Capabilities-v1370 UE-EUTRA-CapabilityAddXDD-Mode-v1370  OPTIONAL,
  tdd-Add-UE-EUTRA-Capabilities-v1370 UE-EUTRA-CapabilityAddXDD-Mode-v1370  OPTIONAL,
  nonCriticalExtension          UE-EUTRA-Capability-v1380-IEs  OPTIONAL
}

UE-EUTRA-Capability-v1380-IEs ::= SEQUENCE {
  rf-Parameters-v1380          RF-Parameters-v1380          OPTIONAL,
  ce-Parameters-v1380          CE-Parameters-v1380,
  fdd-Add-UE-EUTRA-Capabilities-v1380 UE-EUTRA-CapabilityAddXDD-Mode-v1380,
  tdd-Add-UE-EUTRA-Capabilities-v1380 UE-EUTRA-CapabilityAddXDD-Mode-v1380,
  nonCriticalExtension          UE-EUTRA-Capability-v1390-IEs  OPTIONAL
}

UE-EUTRA-Capability-v1390-IEs ::= SEQUENCE {
  rf-Parameters-v1390          RF-Parameters-v1390          OPTIONAL,
  nonCriticalExtension          UE-EUTRA-Capability-v13e0a-IEs  OPTIONAL
}

UE-EUTRA-Capability-v13e0a-IEs ::= SEQUENCE {
  lateNonCriticalExtension      OCTET STRING (CONTAINING UE-EUTRA-Capability-v13e0b-IEs)
  OPTIONAL,
  nonCriticalExtension          UE-EUTRA-Capability-v1470-IEs  OPTIONAL
}

UE-EUTRA-Capability-v13e0b-IEs ::= SEQUENCE {
  phyLayerParameters-v13e0      PhyLayerParameters-v13e0,
  -- Following field is only to be used for late REL-13 extensions
  nonCriticalExtension          SEQUENCE {}                      OPTIONAL
}

UE-EUTRA-Capability-v1470-IEs ::= SEQUENCE {
  mbms-Parameters-v1470        MBMS-Parameters-v1470          OPTIONAL,
  phyLayerParameters-v1470      PhyLayerParameters-v1470      OPTIONAL,
  rf-Parameters-v1470          RF-Parameters-v1470          OPTIONAL,
  nonCriticalExtension          UE-EUTRA-Capability-v14a0-IEs  OPTIONAL
}

UE-EUTRA-Capability-v14a0-IEs ::= SEQUENCE {
  phyLayerParameters-v14a0      PhyLayerParameters-v14a0,
  -- Following field is only to be used for late REL-14 extensions
  nonCriticalExtension          UE-EUTRA-Capability-v14b0-IEs  OPTIONAL
}

UE-EUTRA-Capability-v14b0-IEs ::= SEQUENCE {
  rf-Parameters-v14b0          RF-Parameters-v14b0          OPTIONAL,
  nonCriticalExtension          SEQUENCE {}                      OPTIONAL
}

-- Regular non critical extensions
UE-EUTRA-Capability-v920-IEs ::= SEQUENCE {
  phyLayerParameters-v920      PhyLayerParameters-v920,
  interRAT-ParametersGERAN-v920 IRAT-ParametersGERAN-v920,
  interRAT-ParametersUTRA-v920  IRAT-ParametersUTRA-v920    OPTIONAL,
  interRAT-ParametersCDMA2000-v920 IRAT-ParametersCDMA2000-1XR TT-v920  OPTIONAL,
  deviceType-r9                ENUMERATED {noBenFromBatConsumpOpt}  OPTIONAL,
  csg-ProximityIndicationParameters-r9 CSG-ProximityIndicationParameters-r9,
  neighCellSI-AcquisitionParameters-r9 NeighCellSI-AcquisitionParameters-r9,
  son-Parameters-r9            SON-Parameters-r9,
  nonCriticalExtension          UE-EUTRA-Capability-v940-IEs  OPTIONAL
}

UE-EUTRA-Capability-v940-IEs ::= SEQUENCE {
  lateNonCriticalExtension      OCTET STRING (CONTAINING UE-EUTRA-Capability-v9a0-IEs)
  OPTIONAL,
  nonCriticalExtension          UE-EUTRA-Capability-v1020-IEs  OPTIONAL
}

UE-EUTRA-Capability-v1020-IEs ::= SEQUENCE {
  ue-Category-v1020            INTEGER (6..8)                      OPTIONAL,
  phyLayerParameters-v1020      PhyLayerParameters-v1020      OPTIONAL,
  rf-Parameters-v1020          RF-Parameters-v1020          OPTIONAL,
  measParameters-v1020         MeasParameters-v1020          OPTIONAL,
  featureGroupIndRel10-r10     BIT STRING (SIZE (32))          OPTIONAL,
  interRAT-ParametersCDMA2000-v1020 IRAT-ParametersCDMA2000-1XR TT-v1020  OPTIONAL,
  ue-BasedNetwPerfMeasParameters-r10 UE-BasedNetwPerfMeasParameters-r10  OPTIONAL,
  interRAT-ParametersUTRA-TDD-v1020 IRAT-ParametersUTRA-TDD-v1020  OPTIONAL,

```

|  |                                      |           |
|--|--------------------------------------|-----------|
| nonCriticalExtension                         | UE-EUTRA-Capability-v1060-IEs        | OPTIONAL  |
| }  |                                      |           |
| UE-EUTRA-Capability-v1060-IEs ::= SEQUENCE { |                                      |           |
| fdd-Add-UE-EUTRA-Capabilities-v1060          | UE-EUTRA-CapabilityAddXDD-Mode-v1060 | OPTIONAL, |
| tdd-Add-UE-EUTRA-Capabilities-v1060          | UE-EUTRA-CapabilityAddXDD-Mode-v1060 | OPTIONAL, |
| rf-Parameters-v1060                          | RF-Parameters-v1060                  | OPTIONAL, |
| nonCriticalExtension                         | UE-EUTRA-Capability-v1090-IEs        | OPTIONAL  |
| }  |                                      |           |
| UE-EUTRA-Capability-v1090-IEs ::= SEQUENCE { |                                      |           |
| rf-Parameters-v1090                          | RF-Parameters-v1090                  | OPTIONAL, |
| nonCriticalExtension                         | UE-EUTRA-Capability-v1130-IEs        | OPTIONAL  |
| }  |                                      |           |
| UE-EUTRA-Capability-v1130-IEs ::= SEQUENCE { |                                      |           |
| pdcP-Parameters-v1130                        | PDCP-Parameters-v1130,               |           |
| phyLayerParameters-v1130                     | PhyLayerParameters-v1130             | OPTIONAL, |
| rf-Parameters-v1130                          | RF-Parameters-v1130,                 |           |
| measParameters-v1130                         | MeasParameters-v1130,                |           |
| interRAT-ParametersCDMA2000-v1130            | IRAT-ParametersCDMA2000-v1130,       |           |
| otherParameters-r11                          | Other-Parameters-r11,                |           |
| fdd-Add-UE-EUTRA-Capabilities-v1130          | UE-EUTRA-CapabilityAddXDD-Mode-v1130 | OPTIONAL, |
| tdd-Add-UE-EUTRA-Capabilities-v1130          | UE-EUTRA-CapabilityAddXDD-Mode-v1130 | OPTIONAL, |
| nonCriticalExtension                         | UE-EUTRA-Capability-v1170-IEs        | OPTIONAL  |
| }  |                                      |           |
| UE-EUTRA-Capability-v1170-IEs ::= SEQUENCE { |                                      |           |
| phyLayerParameters-v1170                     | PhyLayerParameters-v1170             | OPTIONAL, |
| ue-Category-v1170                            | INTEGER (9..10)                      | OPTIONAL, |
| nonCriticalExtension                         | UE-EUTRA-Capability-v1180-IEs        | OPTIONAL  |
| }  |                                      |           |
| UE-EUTRA-Capability-v1180-IEs ::= SEQUENCE { |                                      |           |
| rf-Parameters-v1180                          | RF-Parameters-v1180                  | OPTIONAL, |
| mbms-Parameters-r11                          | MBMS-Parameters-r11                  | OPTIONAL, |
| fdd-Add-UE-EUTRA-Capabilities-v1180          | UE-EUTRA-CapabilityAddXDD-Mode-v1180 | OPTIONAL, |
| tdd-Add-UE-EUTRA-Capabilities-v1180          | UE-EUTRA-CapabilityAddXDD-Mode-v1180 | OPTIONAL, |
| nonCriticalExtension                         | UE-EUTRA-Capability-v11a0-IEs        | OPTIONAL  |
| }  |                                      |           |
| UE-EUTRA-Capability-v11a0-IEs ::= SEQUENCE { |                                      |           |
| ue-Category-v11a0                            | INTEGER (11..12)                     | OPTIONAL, |
| measParameters-v11a0                         | MeasParameters-v11a0                 | OPTIONAL, |
| nonCriticalExtension                         | UE-EUTRA-Capability-v1250-IEs        | OPTIONAL  |
| }  |                                      |           |
| UE-EUTRA-Capability-v1250-IEs ::= SEQUENCE { |                                      |           |
| phyLayerParameters-v1250                     | PhyLayerParameters-v1250             | OPTIONAL, |
| rf-Parameters-v1250                          | RF-Parameters-v1250                  | OPTIONAL, |
| rlc-Parameters-r12                           | RLC-Parameters-r12                   | OPTIONAL, |
| ue-BasedNetwPerfMeasParameters-v1250         | UE-BasedNetwPerfMeasParameters-v1250 | OPTIONAL, |
| ue-CategoryDL-r12                            | INTEGER (0..14)                      | OPTIONAL, |
| ue-CategoryUL-r12                            | INTEGER (0..13)                      | OPTIONAL, |
| wlan-IW-Parameters-r12                       | WLAN-IW-Parameters-r12               | OPTIONAL, |
| measParameters-v1250                         | MeasParameters-v1250                 | OPTIONAL, |
| dc-Parameters-r12                            | DC-Parameters-r12                    | OPTIONAL, |
| mbms-Parameters-v1250                        | MBMS-Parameters-v1250                | OPTIONAL, |
| mac-Parameters-r12                           | MAC-Parameters-r12                   | OPTIONAL, |
| fdd-Add-UE-EUTRA-Capabilities-v1250          | UE-EUTRA-CapabilityAddXDD-Mode-v1250 | OPTIONAL, |
| tdd-Add-UE-EUTRA-Capabilities-v1250          | UE-EUTRA-CapabilityAddXDD-Mode-v1250 | OPTIONAL, |
| sl-Parameters-r12                            | SL-Parameters-r12                    | OPTIONAL, |
| nonCriticalExtension                         | UE-EUTRA-Capability-v1260-IEs        | OPTIONAL  |
| }  |                                      |           |
| UE-EUTRA-Capability-v1260-IEs ::= SEQUENCE { |                                      |           |
| ue-CategoryDL-v1260                          | INTEGER (15..16)                     | OPTIONAL, |
| nonCriticalExtension                         | UE-EUTRA-Capability-v1270-IEs        | OPTIONAL  |
| }  |                                      |           |
| UE-EUTRA-Capability-v1270-IEs ::= SEQUENCE { |                                      |           |
| rf-Parameters-v1270                          | RF-Parameters-v1270                  | OPTIONAL, |
| nonCriticalExtension                         | UE-EUTRA-Capability-v1280-IEs        | OPTIONAL  |
| }  |                                      |           |
| UE-EUTRA-Capability-v1280-IEs ::= SEQUENCE { |                                      |           |
| phyLayerParameters-v1280                     | PhyLayerParameters-v1280             | OPTIONAL, |
| nonCriticalExtension                         | UE-EUTRA-Capability-v1310-IEs        | OPTIONAL  |

```

}
UE-EUTRA-Capability-v1310-IEs ::= SEQUENCE {
  ue-CategoryDL-v1310          ENUMERATED {n17, m1}          OPTIONAL,
  ue-CategoryUL-v1310         ENUMERATED {n14, m1}          OPTIONAL,
  pdcp-Parameters-v1310       PDCP-Parameters-v1310,
  rlc-Parameters-v1310        RLC-Parameters-v1310,
  mac-Parameters-v1310        MAC-Parameters-v1310          OPTIONAL,
  phyLayerParameters-v1310    PhyLayerParameters-v1310     OPTIONAL,
  rf-Parameters-v1310         RF-Parameters-v1310          OPTIONAL,
  measParameters-v1310        MeasParameters-v1310          OPTIONAL,
  dc-Parameters-v1310         DC-Parameters-v1310          OPTIONAL,
  sl-Parameters-v1310         SL-Parameters-v1310          OPTIONAL,
  scptm-Parameters-r13        SCPTM-Parameters-r13         OPTIONAL,
  ce-Parameters-r13           CE-Parameters-r13            OPTIONAL,
  interRAT-ParametersWLAN-r13 IRAT-ParametersWLAN-r13,
  laa-Parameters-r13          LAA-Parameters-r13          OPTIONAL,
  lwa-Parameters-r13          LWA-Parameters-r13          OPTIONAL,
  wlan-IW-Parameters-v1310    WLAN-IW-Parameters-v1310,
  lwip-Parameters-r13         LWIP-Parameters-r13,
  fdd-Add-UE-EUTRA-Capabilities-v1310 UE-EUTRA-CapabilityAddXDD-Mode-v1310 OPTIONAL,
  tdd-Add-UE-EUTRA-Capabilities-v1310 UE-EUTRA-CapabilityAddXDD-Mode-v1310 OPTIONAL,
  nonCriticalExtension         UE-EUTRA-Capability-v1320-IEs OPTIONAL
}

UE-EUTRA-Capability-v1320-IEs ::= SEQUENCE {
  ce-Parameters-v1320         CE-Parameters-v1320          OPTIONAL,
  phyLayerParameters-v1320    PhyLayerParameters-v1320     OPTIONAL,
  rf-Parameters-v1320         RF-Parameters-v1320          OPTIONAL,
  fdd-Add-UE-EUTRA-Capabilities-v1320 UE-EUTRA-CapabilityAddXDD-Mode-v1320 OPTIONAL,
  tdd-Add-UE-EUTRA-Capabilities-v1320 UE-EUTRA-CapabilityAddXDD-Mode-v1320 OPTIONAL,
  nonCriticalExtension         UE-EUTRA-Capability-v1330-IEs OPTIONAL
}

UE-EUTRA-Capability-v1330-IEs ::= SEQUENCE {
  ue-CategoryDL-v1330         INTEGER (18..19)             OPTIONAL,
  phyLayerParameters-v1330    PhyLayerParameters-v1330     OPTIONAL,
  ue-CE-NeedULGaps-r13        ENUMERATED {true}           OPTIONAL,
  nonCriticalExtension         UE-EUTRA-Capability-v1340-IEs OPTIONAL
}

UE-EUTRA-Capability-v1340-IEs ::= SEQUENCE {
  ue-CategoryUL-v1340         INTEGER (15)                 OPTIONAL,
  nonCriticalExtension         UE-EUTRA-Capability-v1350-IEs OPTIONAL
}

UE-EUTRA-Capability-v1350-IEs ::= SEQUENCE {
  ue-CategoryDL-v1350         ENUMERATED {oneBis}          OPTIONAL,
  ue-CategoryUL-v1350         ENUMERATED {oneBis}          OPTIONAL,
  ce-Parameters-v1350        CE-Parameters-v1350,
  nonCriticalExtension         UE-EUTRA-Capability-v1360-IEs OPTIONAL
}

UE-EUTRA-Capability-v1360-IEs ::= SEQUENCE {
  other-Parameters-v1360      Other-Parameters-v1360      OPTIONAL,
  nonCriticalExtension         UE-EUTRA-Capability-v1430-IEs OPTIONAL
}

UE-EUTRA-Capability-v1430-IEs ::= SEQUENCE {
  phyLayerParameters-v1430    PhyLayerParameters-v1430,
  ue-CategoryDL-v1430         ENUMERATED {m2}              OPTIONAL,
  ue-CategoryUL-v1430         ENUMERATED {n16, n17, n18, n19, n20, m2} OPTIONAL,
  ue-CategoryUL-v1430b        ENUMERATED {n21}            OPTIONAL,
  mac-Parameters-v1430        MAC-Parameters-v1430          OPTIONAL,
  measParameters-v1430        MeasParameters-v1430          OPTIONAL,
  pdcp-Parameters-v1430       PDCP-Parameters-v1430        OPTIONAL,
  rlc-Parameters-v1430        RLC-Parameters-v1430,
  rf-Parameters-v1430         RF-Parameters-v1430          OPTIONAL,
  laa-Parameters-v1430        LAA-Parameters-v1430          OPTIONAL,
  lwa-Parameters-v1430        LWA-Parameters-v1430          OPTIONAL,
  lwip-Parameters-v1430       LWIP-Parameters-v1430        OPTIONAL,
  otherParameters-v1430       Other-Parameters-v1430,
  mmtel-Parameters-r14        MMTEL-Parameters-r14         OPTIONAL,
  mobilityParameters-r14      MobilityParameters-r14        OPTIONAL,
  ce-Parameters-v1430         CE-Parameters-v1430,
  fdd-Add-UE-EUTRA-Capabilities-v1430 UE-EUTRA-CapabilityAddXDD-Mode-v1430 OPTIONAL,
  tdd-Add-UE-EUTRA-Capabilities-v1430 UE-EUTRA-CapabilityAddXDD-Mode-v1430 OPTIONAL,
  mbms-Parameters-v1430       MBMS-Parameters-v1430        OPTIONAL
}

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sl-Parameters-v1430 SL-Parameters-v1430 OPTIONAL,
ue-BasedNetwPerfMeasParameters-v1430 UE-BasedNetwPerfMeasParameters-v1430 OPTIONAL,
highSpeedEnhParameters-r14 HighSpeedEnhParameters-r14 OPTIONAL,
nonCriticalExtension UE-EUTRA-Capability-v1440-IEs OPTIONAL
}

UE-EUTRA-Capability-v1440-IEs ::= SEQUENCE {
  lwa-Parameters-v1440 LWA-Parameters-v1440,
  mac-Parameters-v1440 MAC-Parameters-v1440,
  nonCriticalExtension UE-EUTRA-Capability-v1450-IEs OPTIONAL
}

UE-EUTRA-Capability-v1450-IEs ::= SEQUENCE {
  phyLayerParameters-v1450 PhyLayerParameters-v1450 OPTIONAL,
  rf-Parameters-v1450 RF-Parameters-v1450 OPTIONAL,
  otherParameters-v1450 OtherParameters-v1450,
  ue-CategoryDL-v1450 INTEGER (20) OPTIONAL,
  nonCriticalExtension UE-EUTRA-Capability-v1460-IEs OPTIONAL
}

UE-EUTRA-Capability-v1460-IEs ::= SEQUENCE {
  ue-CategoryDL-v1460 INTEGER (21) OPTIONAL,
  otherParameters-v1460 Other-Parameters-v1460,
  nonCriticalExtension UE-EUTRA-Capability-v1510-IEs OPTIONAL
}

UE-EUTRA-Capability-v1510-IEs ::= SEQUENCE {
  irat-ParametersNR-r15 IRAT-ParametersNR-r15 OPTIONAL,
  featureSetsEUTRA-r15 FeatureSetsEUTRA-r15 OPTIONAL,
  pdcp-ParametersNR-r15 PDCP-ParametersNR-r15 OPTIONAL,
  fdd-Add-UE-EUTRA-Capabilities-v1510 UE-EUTRA-CapabilityAddXDD-Mode-v1510 OPTIONAL,
  tdd-Add-UE-EUTRA-Capabilities-v1510 UE-EUTRA-CapabilityAddXDD-Mode-v1510 OPTIONAL,
  nonCriticalExtension UE-EUTRA-Capability-v1520-IEs OPTIONAL
}

UE-EUTRA-Capability-v1520-IEs ::= SEQUENCE {
  measParameters-v1520 MeasParameters-v1520,
  nonCriticalExtension UE-EUTRA-Capability-v1530-IEs OPTIONAL
}

UE-EUTRA-Capability-v1530-IEs ::= SEQUENCE {
  measParameters-v1530 MeasParameters-v1530 OPTIONAL,
  otherParameters-v1530 Other-Parameters-v1530 OPTIONAL,
  neighCellSI-AcquisitionParameters-v1530 NeighCellSI-AcquisitionParameters-v1530 OPTIONAL,
  mac-Parameters-v1530 MAC-Parameters-v1530 OPTIONAL,
  phyLayerParameters-v1530 PhyLayerParameters-v1530 OPTIONAL,
  rf-Parameters-v1530 RF-Parameters-v1530 OPTIONAL,
  pdcp-Parameters-v1530 PDCP-Parameters-v1530 OPTIONAL,
  ue-CategoryDL-v1530 INTEGER (22..26) OPTIONAL,
  ue-BasedNetwPerfMeasParameters-v1530 UE-BasedNetwPerfMeasParameters-v1530 OPTIONAL,
  rlc-Parameters-v1530 RLC-Parameters-v1530 OPTIONAL,
  sl-Parameters-v1530 SL-Parameters-v1530 OPTIONAL,
  extendedNumberOfDRBs-r15 ENUMERATED {supported} OPTIONAL,
  reducedCP-Latency-r15 ENUMERATED {supported} OPTIONAL,
  laa-Parameters-v1530 LAA-Parameters-v1530 OPTIONAL,
  ue-CategoryUL-v1530 INTEGER (22..26) OPTIONAL,
  fdd-Add-UE-EUTRA-Capabilities-v1530 UE-EUTRA-CapabilityAddXDD-Mode-v1530 OPTIONAL,
  tdd-Add-UE-EUTRA-Capabilities-v1530 UE-EUTRA-CapabilityAddXDD-Mode-v1530 OPTIONAL,
  nonCriticalExtension UE-EUTRA-Capability-v1540-IEs OPTIONAL
}

UE-EUTRA-Capability-v1540-IEs ::= SEQUENCE {
  phyLayerParameters-v1540 PhyLayerParameters-v1540 OPTIONAL,
  otherParameters-v1540 Other-Parameters-v1540,
  fdd-Add-UE-EUTRA-Capabilities-v1540 UE-EUTRA-CapabilityAddXDD-Mode-v1540 OPTIONAL,
  tdd-Add-UE-EUTRA-Capabilities-v1540 UE-EUTRA-CapabilityAddXDD-Mode-v1540 OPTIONAL,
  sl-Parameters-v1540 SL-Parameters-v1540 OPTIONAL,
  irat-ParametersNR-v1540 IRAT-ParametersNR-v1540 OPTIONAL,
  nonCriticalExtension UE-EUTRA-Capability-v1550-IEs OPTIONAL
}

UE-EUTRA-Capability-v1550-IEs ::= SEQUENCE {
  neighCellSI-AcquisitionParameters-v1550 NeighCellSI-AcquisitionParameters-v1550 OPTIONAL,
  phyLayerParameters-v1550 PhyLayerParameters-v1550,
  mac-Parameters-v1550 MAC-Parameters-v1550,
  fdd-Add-UE-EUTRA-Capabilities-v1550 UE-EUTRA-CapabilityAddXDD-Mode-v1550,
  tdd-Add-UE-EUTRA-Capabilities-v1550 UE-EUTRA-CapabilityAddXDD-Mode-v1550,
  nonCriticalExtension UE-EUTRA-Capability-v1560-IEs OPTIONAL
}

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}
UE-EUTRA-Capability-v1560-IEs ::= SEQUENCE {
  pdcp-ParametersNR-v1560          PDCP-ParametersNR-v1560,
  irat-ParametersNR-v1560          IRAT-ParametersNR-v1560,
  appliedCapabilityFilterCommon-r15 OCTET STRING                               OPTIONAL,
  fdd-Add-UE-EUTRA-Capabilities-v1560 UE-EUTRA-CapabilityAddXDD-Mode-v1560,
  tdd-Add-UE-EUTRA-Capabilities-v1560 UE-EUTRA-CapabilityAddXDD-Mode-v1560,
  nonCriticalExtension              UE-EUTRA-Capability-v1570-IEs             OPTIONAL
}
UE-EUTRA-Capability-v1570-IEs ::= SEQUENCE {
  rf-Parameters-v1570              RF-Parameters-v1570                       OPTIONAL,
  irat-ParametersNR-v1570          IRAT-ParametersNR-v1570             OPTIONAL,
  nonCriticalExtension              UE-EUTRA-Capability-v15a0-IEs         OPTIONAL
}
UE-EUTRA-Capability-v15a0-IEs ::= SEQUENCE {
  neighCellSI-AcquisitionParameters-v15a0 NeighCellSI-AcquisitionParameters-v15a0,
  eutra-5GC-Parameters-r15              EUTRA-5GC-Parameters-r15           OPTIONAL,
  fdd-Add-UE-EUTRA-Capabilities-v15a0    UE-EUTRA-CapabilityAddXDD-Mode-v15a0  OPTIONAL,
  tdd-Add-UE-EUTRA-Capabilities-v15a0    UE-EUTRA-CapabilityAddXDD-Mode-v15a0  OPTIONAL,
  nonCriticalExtension                    SEQUENCE {}                       OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-r9 ::= SEQUENCE {
  phyLayerParameters-r9              PhyLayerParameters                 OPTIONAL,
  featureGroupIndicators-r9          BIT STRING (SIZE (32))             OPTIONAL,
  featureGroupIndRel9Add-r9          BIT STRING (SIZE (32))             OPTIONAL,
  interRAT-ParametersGERAN-r9        IRAT-ParametersGERAN               OPTIONAL,
  interRAT-ParametersUTRA-r9         IRAT-ParametersUTRA-v920           OPTIONAL,
  interRAT-ParametersCDMA2000-r9     IRAT-ParametersCDMA2000-1XRTP-v920  OPTIONAL,
  neighCellSI-AcquisitionParameters-r9 NeighCellSI-AcquisitionParameters-r9  OPTIONAL,
  ...
}
UE-EUTRA-CapabilityAddXDD-Mode-v1060 ::= SEQUENCE {
  phyLayerParameters-v1060           PhyLayerParameters-v1020           OPTIONAL,
  featureGroupIndRel10-v1060        BIT STRING (SIZE (32))             OPTIONAL,
  interRAT-ParametersCDMA2000-v1060  IRAT-ParametersCDMA2000-1XRTP-v1020  OPTIONAL,
  interRAT-ParametersUTRA-TDD-v1060  IRAT-ParametersUTRA-TDD-v1020      OPTIONAL,
  ...
  [[ otdoa-PositioningCapabilities-r10 OTDOA-PositioningCapabilities-r10  OPTIONAL
  ]]
}
UE-EUTRA-CapabilityAddXDD-Mode-v1130 ::= SEQUENCE {
  phyLayerParameters-v1130           PhyLayerParameters-v1130           OPTIONAL,
  measParameters-v1130               MeasParameters-v1130               OPTIONAL,
  otherParameters-r11                Other-Parameters-r11               OPTIONAL,
  ...
}
UE-EUTRA-CapabilityAddXDD-Mode-v1180 ::= SEQUENCE {
  mbms-Parameters-r11                MBMS-Parameters-r11
}
UE-EUTRA-CapabilityAddXDD-Mode-v1250 ::= SEQUENCE {
  phyLayerParameters-v1250           PhyLayerParameters-v1250           OPTIONAL,
  measParameters-v1250               MeasParameters-v1250               OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1310 ::= SEQUENCE {
  phyLayerParameters-v1310           PhyLayerParameters-v1310           OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1320 ::= SEQUENCE {
  phyLayerParameters-v1320           PhyLayerParameters-v1320           OPTIONAL,
  scptm-Parameters-r13               SCPTM-Parameters-r13              OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1370 ::= SEQUENCE {
  ce-Parameters-v1370                CE-Parameters-v1370                OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1380 ::= SEQUENCE {
  ce-Parameters-v1380                CE-Parameters-v1380
}

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UE-EUTRA-CapabilityAddXDD-Mode-v1430 ::= SEQUENCE {
    phyLayerParameters-v1430 PhyLayerParameters-v1430 OPTIONAL,
    mmTel-Parameters-r14 MMTEL-Parameters-r14 OPTIONAL
}

UE-EUTRA-CapabilityAddXDD-Mode-v1510 ::= SEQUENCE {
    pdcp-ParametersNR-r15 PDCP-ParametersNR-r15 OPTIONAL
}

UE-EUTRA-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {
    neighCellSI-AcquisitionParameters-v1530 NeighCellSI-AcquisitionParameters-v1530 OPTIONAL,
    reducedCP-Latency-r15 ENUMERATED {supported} OPTIONAL
}

UE-EUTRA-CapabilityAddXDD-Mode-v1540 ::= SEQUENCE {
    eutra-5GC-Parameters-r15 EUTRA-5GC-Parameters-r15 OPTIONAL,
    irat-ParametersNR-v1540 IRAT-ParametersNR-v1540 OPTIONAL
}

UE-EUTRA-CapabilityAddXDD-Mode-v1550 ::= SEQUENCE {
    neighCellSI-AcquisitionParameters-v1550 NeighCellSI-AcquisitionParameters-v1550 OPTIONAL
}

UE-EUTRA-CapabilityAddXDD-Mode-v1560 ::= SEQUENCE {
    pdcp-ParametersNR-v1560 PDCP-ParametersNR-v1560
}

UE-EUTRA-CapabilityAddXDD-Mode-v15a0 ::= SEQUENCE {
    phyLayerParameters-v1530 PhyLayerParameters-v1530 OPTIONAL,
    phyLayerParameters-v1540 PhyLayerParameters-v1540 OPTIONAL,
    phyLayerParameters-v1550 PhyLayerParameters-v1550 OPTIONAL,
    neighCellSI-AcquisitionParameters-v15a0 NeighCellSI-AcquisitionParameters-v15a0
}

AccessStratumRelease ::= ENUMERATED {
    rel8, rel9, rel10, rel11, rel12, rel13,
    rel14, rel15, ...}

FeatureSetsEUTRA-r15 ::= SEQUENCE {
    featureSetsDL-r15 SEQUENCE (SIZE (1..maxFeatureSets-r15)) OF FeatureSetDL-r15
    OPTIONAL,
    featureSetsDL-PerCC-r15 SEQUENCE (SIZE (1..maxPerCC-FeatureSets-r15)) OF FeatureSetDL-PerCC-
r15 OPTIONAL,
    featureSetsUL-r15 SEQUENCE (SIZE (1..maxFeatureSets-r15)) OF FeatureSetUL-r15
    OPTIONAL,
    featureSetsUL-PerCC-r15 SEQUENCE (SIZE (1..maxPerCC-FeatureSets-r15)) OF FeatureSetUL-PerCC-
r15 OPTIONAL,
    ...,
    [[ featureSetsDL-v1550 SEQUENCE (SIZE (1..maxFeatureSets-r15)) OF FeatureSetDL-v1550
    OPTIONAL
    ]]
}

MobilityParameters-r14 ::= SEQUENCE {
    makeBeforeBreak-r14 ENUMERATED {supported} OPTIONAL,
    rach-Less-r14 ENUMERATED {supported} OPTIONAL
}

DC-Parameters-r12 ::= SEQUENCE {
    drb-TypeSplit-r12 ENUMERATED {supported} OPTIONAL,
    drb-TypeSCG-r12 ENUMERATED {supported} OPTIONAL
}

DC-Parameters-v1310 ::= SEQUENCE {
    pdcp-TransferSplitUL-r13 ENUMERATED {supported} OPTIONAL,
    ue-SSTD-Meas-r13 ENUMERATED {supported} OPTIONAL
}

MAC-Parameters-r12 ::= SEQUENCE {
    logicalChannelSR-ProhibitTimer-r12 ENUMERATED {supported} OPTIONAL,
    longDRX-Command-r12 ENUMERATED {supported} OPTIONAL
}

MAC-Parameters-v1310 ::= SEQUENCE {
    extendedMAC-LengthField-r13 ENUMERATED {supported} OPTIONAL,
    extendedLongDRX-r13 ENUMERATED {supported} OPTIONAL
}

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}
MAC-Parameters-v1430 ::=
    shortSPS-IntervalFDD-r14      ENUMERATED {supported}          OPTIONAL,
    shortSPS-IntervalTDD-r14     ENUMERATED {supported}          OPTIONAL,
    skipUplinkDynamic-r14        ENUMERATED {supported}          OPTIONAL,
    skipUplinkSPS-r14            ENUMERATED {supported}          OPTIONAL,
    multipleUplinkSPS-r14        ENUMERATED {supported}          OPTIONAL,
    dataInactMon-r14              ENUMERATED {supported}          OPTIONAL
}

MAC-Parameters-v1440 ::=
    rai-Support-r14              ENUMERATED {supported}          OPTIONAL
}

MAC-Parameters-v1530 ::=
    min-Proc-TimelineSubslot-r15 SEQUENCE (SIZE(1..3)) OF ProcessingTimelineSet-r15 OPTIONAL,
    skipSubframeProcessing-r15    SkipSubframeProcessing-r15          OPTIONAL,
    earlyData-UP-r15              ENUMERATED {supported}          OPTIONAL,
    dormantSCellState-r15         ENUMERATED {supported}          OPTIONAL,
    directSCellActivation-r15     ENUMERATED {supported}          OPTIONAL,
    directSCellHibernation-r15   ENUMERATED {supported}          OPTIONAL,
    extendedLCID-Duplication-r15 ENUMERATED {supported}          OPTIONAL,
    sps-ServingCell-r15          ENUMERATED {supported}          OPTIONAL
}

MAC-Parameters-v1550 ::=
    eLCID-Support-r15            ENUMERATED {supported}          OPTIONAL
}

ProcessingTimelineSet-r15 ::=
    ENUMERATED {set1, set2}

RLC-Parameters-r12 ::=
    extended-RLC-LI-Field-r12    ENUMERATED {supported}
}

RLC-Parameters-v1310 ::=
    extendedRLC-SN-SO-Field-r13  ENUMERATED {supported}          OPTIONAL
}

RLC-Parameters-v1430 ::=
    extendedPollByte-r14         ENUMERATED {supported}          OPTIONAL
}

RLC-Parameters-v1530 ::=
    flexibleUM-AM-Combinations-r15 ENUMERATED {supported}          OPTIONAL,
    rlc-AM-Ooo-Delivery-r15       ENUMERATED {supported}          OPTIONAL,
    rlc-UM-Ooo-Delivery-r15      ENUMERATED {supported}          OPTIONAL
}

PDCP-Parameters ::=
    supportedROHC-Profiles        ROHC-ProfileSupportList-r15,
    maxNumberROHC-ContextSessions ENUMERATED {
        cs2, cs4, cs8, cs12, cs16, cs24, cs32,
        cs48, cs64, cs128, cs256, cs512, cs1024,
        cs16384, spare2, spare1}          DEFAULT cs16,
    ...
}

PDCP-Parameters-v1130 ::=
    pdcp-SN-Extension-r11        ENUMERATED {supported}          OPTIONAL,
    supportRohcContextContinue-r11 ENUMERATED {supported}          OPTIONAL
}

PDCP-Parameters-v1310 ::=
    pdcp-SN-Extension-18bits-r13 ENUMERATED {supported}          OPTIONAL
}

PDCP-Parameters-v1430 ::=
    supportedUplinkOnlyROHC-Profiles-r14 SEQUENCE {
        profile0x0006-r14          BOOLEAN
    },
    maxNumberROHC-ContextSessions-r14    ENUMERATED {
        cs2, cs4, cs8, cs12, cs16, cs24, cs32,
        cs48, cs64, cs128, cs256, cs512, cs1024,
        cs16384, spare2, spare1}          DEFAULT cs16
}

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|   |                                      |  |           |
|---|--------------------------------------|--|-----------|
| PDCP-Parameters-v1530 ::=               | SEQUENCE {                           |  |           |
| supportedUDC-r15                        | SupportedUDC-r15                     |  | OPTIONAL, |
| pdcP-Duplication-r15                    | ENUMERATED {supported}               |  | OPTIONAL  |
| }                                       |                                      |  |           |
| SupportedUDC-r15 ::=                    | SEQUENCE {                           |  |           |
| supportedStandardDic-r15                | ENUMERATED {supported}               |  | OPTIONAL, |
| supportedOperatorDic-r15                | SupportedOperatorDic-r15             |  | OPTIONAL  |
| }                                       |                                      |  |           |
| SupportedOperatorDic-r15 ::=            | SEQUENCE {                           |  |           |
| versionOfDictionary-r15                 | INTEGER (0..15),                     |  |           |
| associatedPLMN-ID-r15                   | PLMN-Identity                        |  |           |
| }                                       |                                      |  |           |
| PhyLayerParameters ::=                  | SEQUENCE {                           |  |           |
| ue-TxAntennaSelectionSupported          | BOOLEAN,                             |  |           |
| ue-SpecificRefSigsSupported             | BOOLEAN                              |  |           |
| }                                       |                                      |  |           |
| PhyLayerParameters-v920 ::=             | SEQUENCE {                           |  |           |
| enhancedDualLayerFDD-r9                 | ENUMERATED {supported}               |  | OPTIONAL, |
| enhancedDualLayerTDD-r9                 | ENUMERATED {supported}               |  | OPTIONAL  |
| }                                       |                                      |  |           |
| PhyLayerParameters-v9d0 ::=             | SEQUENCE {                           |  |           |
| tm5-FDD-r9                              | ENUMERATED {supported}               |  | OPTIONAL, |
| tm5-TDD-r9                              | ENUMERATED {supported}               |  | OPTIONAL  |
| }                                       |                                      |  |           |
| PhyLayerParameters-v1020 ::=            | SEQUENCE {                           |  |           |
| twoAntennaPortsForPUCCH-r10             | ENUMERATED {supported}               |  | OPTIONAL, |
| tm9-With-8Tx-FDD-r10                    | ENUMERATED {supported}               |  | OPTIONAL, |
| pmi-Disabling-r10                       | ENUMERATED {supported}               |  | OPTIONAL, |
| crossCarrierScheduling-r10              | ENUMERATED {supported}               |  | OPTIONAL, |
| simultaneousPUCCH-PUSCH-r10             | ENUMERATED {supported}               |  | OPTIONAL, |
| multiClusterPUSCH-WithinCC-r10          | ENUMERATED {supported}               |  | OPTIONAL, |
| nonContiguousUL-RA-WithinCC-List-r10    | NonContiguousUL-RA-WithinCC-List-r10 |  | OPTIONAL  |
| }                                       |                                      |  |           |
| PhyLayerParameters-v1130 ::=            | SEQUENCE {                           |  |           |
| crs-InterfHandl-r11                     | ENUMERATED {supported}               |  | OPTIONAL, |
| ePDCCH-r11                              | ENUMERATED {supported}               |  | OPTIONAL, |
| multiACK-CSI-Reporting-r11              | ENUMERATED {supported}               |  | OPTIONAL, |
| ss-CCH-InterfHandl-r11                  | ENUMERATED {supported}               |  | OPTIONAL, |
| tdd-SpecialSubframe-r11                 | ENUMERATED {supported}               |  | OPTIONAL, |
| txDiv-PUCCH1b-ChSelect-r11              | ENUMERATED {supported}               |  | OPTIONAL, |
| ul-CoMP-r11                             | ENUMERATED {supported}               |  | OPTIONAL  |
| }                                       |                                      |  |           |
| PhyLayerParameters-v1170 ::=            | SEQUENCE {                           |  |           |
| interBandTDD-CA-WithDifferentConfig-r11 | BIT STRING (SIZE (2))                |  | OPTIONAL  |
| }                                       |                                      |  |           |
| PhyLayerParameters-v1250 ::=            | SEQUENCE {                           |  |           |
| e-HARQ-Pattern-FDD-r12                  | ENUMERATED {supported}               |  | OPTIONAL, |
| enhanced-4TxCodebook-r12                | ENUMERATED {supported}               |  | OPTIONAL, |
| tdd-FDD-CA-PCellDuplex-r12              | BIT STRING (SIZE (2))                |  | OPTIONAL, |
| phy-TDD-ReConfig-TDD-PCell-r12          | ENUMERATED {supported}               |  | OPTIONAL, |
| phy-TDD-ReConfig-FDD-PCell-r12          | ENUMERATED {supported}               |  | OPTIONAL, |
| pusch-FeedbackMode-r12                  | ENUMERATED {supported}               |  | OPTIONAL, |
| pusch-SRS-PowerControl-SubframeSet-r12  | ENUMERATED {supported}               |  | OPTIONAL, |
| csi-SubframeSet-r12                     | ENUMERATED {supported}               |  | OPTIONAL, |
| noResourceRestrictionForTTIBundling-r12 | ENUMERATED {supported}               |  | OPTIONAL, |
| discoverySignalsInDeactSCell-r12        | ENUMERATED {supported}               |  | OPTIONAL, |
| naics-Capability-List-r12               | NAICS-Capability-List-r12            |  | OPTIONAL  |
| }                                       |                                      |  |           |
| PhyLayerParameters-v1280 ::=            | SEQUENCE {                           |  |           |
| alternativeTBS-Indices-r12              | ENUMERATED {supported}               |  | OPTIONAL  |
| }                                       |                                      |  |           |
| PhyLayerParameters-v1310 ::=            | SEQUENCE {                           |  |           |
| aperiodicCSI-Reporting-r13              | BIT STRING (SIZE (2))                |  | OPTIONAL, |
| codebook-HARQ-ACK-r13                   | BIT STRING (SIZE (2))                |  | OPTIONAL, |
| crossCarrierScheduling-B5C-r13          | ENUMERATED {supported}               |  | OPTIONAL, |
| fdd-HARQ-TimingTDD-r13                  | ENUMERATED {supported}               |  | OPTIONAL, |
| maxNumberUpdatedCSI-Proc-r13            | INTEGER (5..32)                      |  | OPTIONAL, |

|   |  |           |
|---|--|-----------|
| pucch-Format4-r13                             | ENUMERATED {supported}                       | OPTIONAL, |
| pucch-Format5-r13                             | ENUMERATED {supported}                       | OPTIONAL, |
| pucch-SCell-r13                               | ENUMERATED {supported}                       | OPTIONAL, |
| spatialBundling-HARQ-ACK-r13                  | ENUMERATED {supported}                       | OPTIONAL, |
| supportedBlindDecoding-r13                    | SEQUENCE {                                   |           |
| maxNumberDecoding-r13                         | INTEGER(1..32)                               | OPTIONAL, |
| pdccch-CandidateReductions-r13                | ENUMERATED {supported}                       | OPTIONAL, |
| skipMonitoringDCI-Format0-1A-r13              | ENUMERATED {supported}                       | OPTIONAL, |
| }   |  | OPTIONAL, |
| uci-PUSCH-Ext-r13                             | ENUMERATED {supported}                       | OPTIONAL, |
| crs-InterfMitigationTM10-r13                  | ENUMERATED {supported}                       | OPTIONAL, |
| pdsch-CollisionHandling-r13                   | ENUMERATED {supported}                       | OPTIONAL  |
| }   |  |           |
| PhyLayerParameters-v1320 ::=                  | SEQUENCE {                                   |           |
| mimo-UE-Parameters-r13                        | MIMO-UE-Parameters-r13                       | OPTIONAL  |
| }   |  |           |
| PhyLayerParameters-v1330 ::=                  | SEQUENCE {                                   |           |
| cch-InterfMitigation-RefRecTypeA-r13          | ENUMERATED {supported}                       | OPTIONAL, |
| cch-InterfMitigation-RefRecTypeB-r13          | ENUMERATED {supported}                       | OPTIONAL, |
| cch-InterfMitigation-MaxNumCCs-r13            | INTEGER (1..maxServCell-r13)                 | OPTIONAL, |
| crs-InterfMitigationTM1toTM9-r13              | INTEGER (1..maxServCell-r13)                 | OPTIONAL  |
| }   |  |           |
| PhyLayerParameters-v13e0 ::=                  | SEQUENCE {                                   |           |
| mimo-UE-Parameters-v13e0                      | MIMO-UE-Parameters-v13e0                     |           |
| }   |  |           |
| PhyLayerParameters-v1430 ::=                  | SEQUENCE {                                   |           |
| ce-PUSCH-NB-MaxTBS-r14                        | ENUMERATED {supported}                       | OPTIONAL, |
| ce-PDSCH-PUSCH-MaxBandwidth-r14               | ENUMERATED {bw5, bw20}                       | OPTIONAL, |
| ce-HARQ-AckBundling-r14                       | ENUMERATED {supported}                       | OPTIONAL, |
| ce-PDSCH-TenProcesses-r14                     | ENUMERATED {supported}                       | OPTIONAL, |
| ce-RetuningSymbols-r14                        | ENUMERATED {n0, n1}                          | OPTIONAL, |
| ce-PDSCH-PUSCH-Enhancement-r14                | ENUMERATED {supported}                       | OPTIONAL, |
| ce-SchedulingEnhancement-r14                  | ENUMERATED {supported}                       | OPTIONAL, |
| ce-SRS-Enhancement-r14                        | ENUMERATED {supported}                       | OPTIONAL, |
| ce-PUCCH-Enhancement-r14                      | ENUMERATED {supported}                       | OPTIONAL, |
| ce-ClosedLoopTxAntennaSelection-r14           | ENUMERATED {supported}                       | OPTIONAL, |
| tdd-SpecialSubframe-r14                       | ENUMERATED {supported}                       | OPTIONAL, |
| tdd-TTI-Bundling-r14                          | ENUMERATED {supported}                       | OPTIONAL, |
| dmrs-LessUpPTS-r14                            | ENUMERATED {supported}                       | OPTIONAL, |
| mimo-UE-Parameters-v1430                      | MIMO-UE-Parameters-v1430                     | OPTIONAL, |
| alternativeTBS-Index-r14                      | ENUMERATED {supported}                       | OPTIONAL, |
| feMBMS-Unicast-Parameters-r14                 | FeMBMS-Unicast-Parameters-r14                | OPTIONAL  |
| }   |  |           |
| PhyLayerParameters-v1450 ::=                  | SEQUENCE {                                   |           |
| ce-SRS-EnhancementWithoutComb4-r14            | ENUMERATED {supported}                       | OPTIONAL, |
| crs-LessDwPTS-r14                             | ENUMERATED {supported}                       | OPTIONAL} |
| PhyLayerParameters-v1470 ::=                  | SEQUENCE {                                   |           |
| mimo-UE-Parameters-v1470                      | MIMO-UE-Parameters-v1470                     | OPTIONAL, |
| srs-UpPTS-6sym-r14                            | ENUMERATED {supported}                       | OPTIONAL  |
| }   |  |           |
| PhyLayerParameters-v14a0 ::=                  | SEQUENCE {                                   |           |
| ssp10-TDD-Only-r14                            | ENUMERATED {supported}                       | OPTIONAL  |
| }   |  |           |
| PhyLayerParameters-v1530 ::=                  | SEQUENCE {                                   |           |
| stti-SPT-Capabilities-r15                     | SEQUENCE {                                   |           |
| aperiodicCsi-ReportingSTTI-r15                | ENUMERATED {supported}                       | OPTIONAL, |
| dmrs-BasedSPDCCH-MBSFN-r15                    | ENUMERATED {supported}                       | OPTIONAL, |
| dmrs-BasedSPDCCH-nonMBSFN-r15                 | ENUMERATED {supported}                       | OPTIONAL, |
| dmrs-PositionPattern-r15                      | ENUMERATED {supported}                       | OPTIONAL, |
| dmrs-SharingSubslotPDSCH-r15                  | ENUMERATED {supported}                       | OPTIONAL, |
| dmrs-RepetitionSubslotPDSCH-r15               | ENUMERATED {supported}                       | OPTIONAL, |
| epdcch-SPT-differentCells-r15                 | ENUMERATED {supported}                       | OPTIONAL, |
| epdcch-STTI-differentCells-r15                | ENUMERATED {supported}                       | OPTIONAL, |
| maxLayersSlotOrSubslotPUSCH-r15               | ENUMERATED {oneLayer, twoLayers, fourLayers} |           |
| OPTIONAL,                                     |  |           |
| maxNumberUpdatedCSI-Proc-SPT-r15              | INTEGER(5..32)                               | OPTIONAL, |
| maxNumberUpdatedCSI-Proc-STTI-Comb77-r15      | INTEGER(1..32)                               | OPTIONAL, |
| maxNumberUpdatedCSI-Proc-STTI-Comb27-r15      | INTEGER(1..32)                               | OPTIONAL, |
| maxNumberUpdatedCSI-Proc-STTI-Comb22-Set1-r15 | INTEGER(1..32)                               | OPTIONAL, |
| maxNumberUpdatedCSI-Proc-STTI-Comb22-Set2-r15 | INTEGER(1..32)                               | OPTIONAL, |

|   |  |           |
|---|--|-----------|
| mimo-UE-ParametersSTTI-r15              | MIMO-UE-Parameters-r13                     | OPTIONAL, |
| mimo-UE-ParametersSTTI-v1530            | MIMO-UE-Parameters-v1430                   | OPTIONAL, |
| numberOfBlindDecodesUSS-r15             | INTEGER(4..32)                             | OPTIONAL, |
| pdsch-SlotSubslotPDSCH-Decoding-r15     | ENUMERATED {supported}                     | OPTIONAL, |
| powerUCI-SlotPUSCH                      | ENUMERATED {supported}                     | OPTIONAL, |
| powerUCI-SubslotPUSCH                   | ENUMERATED {supported}                     | OPTIONAL, |
| slotPDSCH-TxDiv-TM9and10                | ENUMERATED {supported}                     | OPTIONAL, |
| subslotPDSCH-TxDiv-TM9and10             | ENUMERATED {supported}                     | OPTIONAL, |
| spdcch-differentRS-types-r15            | ENUMERATED {supported}                     | OPTIONAL, |
| srs-DCI7-TriggeringFS2-r15              | ENUMERATED {supported}                     | OPTIONAL, |
| sps-cyclicShift-r15                     | ENUMERATED {supported}                     | OPTIONAL, |
| spdcch-Reuse-r15                        | ENUMERATED {supported}                     | OPTIONAL, |
| sps-STTI-r15                            | ENUMERATED {slot, subslot, slotAndSubslot} | OPTIONAL, |
| tm8-slotPDSCH-r15                       | ENUMERATED {supported}                     | OPTIONAL, |
| tm9-slotSubslot-r15                     | ENUMERATED {supported}                     | OPTIONAL, |
| tm9-slotSubslotMBSFN-r15                | ENUMERATED {supported}                     | OPTIONAL, |
| tm10-slotSubslot-r15                    | ENUMERATED {supported}                     | OPTIONAL, |
| tm10-slotSubslotMBSFN-r15               | ENUMERATED {supported}                     | OPTIONAL, |
| txDiv-SPUCCH-r15                        | ENUMERATED {supported}                     | OPTIONAL, |
| ul-AsyncHarqSharingDiff-TTI-Lengths-r15 | ENUMERATED {supported}                     | OPTIONAL, |
| } ce-Capabilities-r15                   | SEQUENCE {                                 | OPTIONAL, |
| ce-CRS-IntfMitig-r15                    | ENUMERATED {supported}                     | OPTIONAL, |
| ce-CQI-AlternativeTable-r15             | ENUMERATED {supported}                     | OPTIONAL, |
| ce-PDSCH-FlexibleStartPRB-CE-ModeA-r15  | ENUMERATED {supported}                     | OPTIONAL, |
| ce-PDSCH-FlexibleStartPRB-CE-ModeB-r15  | ENUMERATED {supported}                     | OPTIONAL, |
| ce-PDSCH-64QAM-r15                      | ENUMERATED {supported}                     | OPTIONAL, |
| ce-PUSCH-FlexibleStartPRB-CE-ModeA-r15  | ENUMERATED {supported}                     | OPTIONAL, |
| ce-PUSCH-FlexibleStartPRB-CE-ModeB-r15  | ENUMERATED {supported}                     | OPTIONAL, |
| ce-PUSCH-SubPRB-Allocation-r15          | ENUMERATED {supported}                     | OPTIONAL, |
| ce-UL-HARQ-ACK-Feedback-r15             | ENUMERATED {supported}                     | OPTIONAL, |
| } shortCQI-ForSCellActivation-r15       | ENUMERATED {supported}                     | OPTIONAL, |
| mimo-CBSR-AdvancedCSI-r15               | ENUMERATED {supported}                     | OPTIONAL, |
| crs-IntfMitig-r15                       | ENUMERATED {supported}                     | OPTIONAL, |
| ul-PowerControlEnhancements-r15         | ENUMERATED {supported}                     | OPTIONAL, |
| urlc-Capabilities-r15                   | SEQUENCE {                                 | OPTIONAL, |
| pdsch-RepSubframe-r15                   | ENUMERATED {supported}                     | OPTIONAL, |
| pdsch-RepSlot-r15                       | ENUMERATED {supported}                     | OPTIONAL, |
| pdsch-RepSubslot-r15                    | ENUMERATED {supported}                     | OPTIONAL, |
| pusch-SPS-MultiConfigSubframe-r15       | INTEGER(0..6)                              | OPTIONAL, |
| pusch-SPS-MaxConfigSubframe-r15         | INTEGER(0..31)                             | OPTIONAL, |
| pusch-SPS-MultiConfigSlot-r15           | INTEGER(0..6)                              | OPTIONAL, |
| pusch-SPS-MaxConfigSlot-r15             | INTEGER(0..31)                             | OPTIONAL, |
| pusch-SPS-MultiConfigSubslot-r15        | INTEGER(0..6)                              | OPTIONAL, |
| pusch-SPS-MaxConfigSubslot-r15          | INTEGER(0..31)                             | OPTIONAL, |
| pusch-SPS-SlotRepPCell-r15              | ENUMERATED {supported}                     | OPTIONAL, |
| pusch-SPS-SlotRepPSCell-r15             | ENUMERATED {supported}                     | OPTIONAL, |
| pusch-SPS-SlotRepSCell-r15              | ENUMERATED {supported}                     | OPTIONAL, |
| pusch-SPS-SubframeRepPCell-r15          | ENUMERATED {supported}                     | OPTIONAL, |
| pusch-SPS-SubframeRepPSCell-r15         | ENUMERATED {supported}                     | OPTIONAL, |
| pusch-SPS-SubframeRepSCell-r15          | ENUMERATED {supported}                     | OPTIONAL, |
| pusch-SPS-SubslotRepPCell-r15           | ENUMERATED {supported}                     | OPTIONAL, |
| pusch-SPS-SubslotRepPSCell-r15          | ENUMERATED {supported}                     | OPTIONAL, |
| pusch-SPS-SubslotRepSCell-r15           | ENUMERATED {supported}                     | OPTIONAL, |
| semiStaticCFI-r15                       | ENUMERATED {supported}                     | OPTIONAL, |
| semiStaticCFI-Pattern-r15               | ENUMERATED {supported}                     | OPTIONAL, |
| } altMCS-Table-r15                      | ENUMERATED {supported}                     | OPTIONAL, |
| } PhyLayerParameters-v1540 ::=          | SEQUENCE {                                 |           |
| stti-SPT-Capabilities-v1540             | SEQUENCE {                                 |           |
| slotPDSCH-TxDiv-TM8-r15                 | ENUMERATED {supported}                     |           |
| } crs-IM-TM1-toTM9-OneRX-Port-v1540     | ENUMERATED {supported}                     | OPTIONAL, |
| cch-IM-RefRecTypeA-OneRX-Port-v1540     | ENUMERATED {supported}                     | OPTIONAL, |
| } PhyLayerParameters-v1550 ::=          | SEQUENCE {                                 |           |
| dmrs-OverheadReduction-r15              | ENUMERATED {supported}                     | OPTIONAL, |
| } MIMO-UE-Parameters-r13 ::=            | SEQUENCE {                                 |           |
| parametersTM9-r13                       | MIMO-UE-ParametersPerTM-r13                | OPTIONAL, |
| parametersTM10-r13                      | MIMO-UE-ParametersPerTM-r13                | OPTIONAL, |
| srs-EnhancementsTDD-r13                 | ENUMERATED {supported}                     | OPTIONAL, |

|  |  |           |
|--|--|-----------|
| srs-Enhancements-r13                     | ENUMERATED {supported}                   | OPTIONAL, |
| interferenceMeasRestriction-r13          | ENUMERATED {supported}                   | OPTIONAL  |
| }  |  |           |
| MIMO-UE-Parameters-v13e0 ::=             | SEQUENCE {                               |           |
| mimo-WeightedLayersCapabilities-r13      | MIMO-WeightedLayersCapabilities-r13      | OPTIONAL  |
| }  |  |           |
| MIMO-UE-Parameters-v1430 ::=             | SEQUENCE {                               |           |
| parametersTM9-v1430                      | MIMO-UE-ParametersPerTM-v1430            | OPTIONAL, |
| parametersTM10-v1430                     | MIMO-UE-ParametersPerTM-v1430            | OPTIONAL  |
| }  |  |           |
| MIMO-UE-Parameters-v1470 ::=             | SEQUENCE {                               |           |
| parametersTM9-v1470                      | MIMO-UE-ParametersPerTM-v1470,           |           |
| parametersTM10-v1470                     | MIMO-UE-ParametersPerTM-v1470            |           |
| }  |  |           |
| MIMO-UE-ParametersPerTM-r13 ::=          | SEQUENCE {                               |           |
| nonPrecoded-r13                          | MIMO-NonPrecodedCapabilities-r13         | OPTIONAL, |
| beamformed-r13                           | MIMO-UE-BeamformedCapabilities-r13       | OPTIONAL, |
| channelMeasRestriction-r13               | ENUMERATED {supported}                   | OPTIONAL, |
| dmrs-Enhancements-r13                    | ENUMERATED {supported}                   | OPTIONAL, |
| csi-RS-EnhancementsTDD-r13               | ENUMERATED {supported}                   | OPTIONAL  |
| }  |  |           |
| MIMO-UE-ParametersPerTM-v1430 ::=        | SEQUENCE {                               |           |
| nzp-CSI-RS-AperiodicInfo-r14             | SEQUENCE {                               |           |
| nMaxProc-r14                             | INTEGER(5..32),                          |           |
| nMaxResource-r14                         | ENUMERATED {n1, n2, n4, n8}              |           |
| }  |  | OPTIONAL, |
| nzp-CSI-RS-PeriodicInfo-r14              | SEQUENCE {                               |           |
| nMaxResource-r14                         | ENUMERATED {n1, n2, n4, n8}              |           |
| }  |  | OPTIONAL, |
| zp-CSI-RS-AperiodicInfo-r14              | ENUMERATED {supported}                   | OPTIONAL, |
| ul-dmrs-Enhancements-r14                 | ENUMERATED {supported}                   | OPTIONAL, |
| densityReductionNP-r14                   | ENUMERATED {supported}                   | OPTIONAL, |
| densityReductionBF-r14                   | ENUMERATED {supported}                   | OPTIONAL, |
| hybridCSI-r14                            | ENUMERATED {supported}                   | OPTIONAL, |
| semiOL-r14                               | ENUMERATED {supported}                   | OPTIONAL, |
| csi-ReportingNP-r14                      | ENUMERATED {supported}                   | OPTIONAL, |
| csi-ReportingAdvanced-r14                | ENUMERATED {supported}                   | OPTIONAL  |
| }  |  |           |
| MIMO-UE-ParametersPerTM-v1470 ::=        | SEQUENCE {                               |           |
| csi-ReportingAdvancedMaxPorts-r14        | ENUMERATED {n8, n12, n16, n20, n24, n28} | OPTIONAL  |
| }  |  |           |
| MIMO-CA-ParametersPerBoBC-r13 ::=        | SEQUENCE {                               |           |
| parametersTM9-r13                        | MIMO-CA-ParametersPerBoBCPerTM-r13       | OPTIONAL, |
| parametersTM10-r13                       | MIMO-CA-ParametersPerBoBCPerTM-r13       | OPTIONAL  |
| }  |  |           |
| MIMO-CA-ParametersPerBoBC-r15 ::=        | SEQUENCE {                               |           |
| parametersTM9-r15                        | MIMO-CA-ParametersPerBoBCPerTM-r15       | OPTIONAL, |
| parametersTM10-r15                       | MIMO-CA-ParametersPerBoBCPerTM-r15       | OPTIONAL  |
| }  |  |           |
| MIMO-CA-ParametersPerBoBC-v1430 ::=      | SEQUENCE {                               |           |
| parametersTM9-v1430                      | MIMO-CA-ParametersPerBoBCPerTM-v1430     | OPTIONAL, |
| parametersTM10-v1430                     | MIMO-CA-ParametersPerBoBCPerTM-v1430     | OPTIONAL  |
| }  |  |           |
| MIMO-CA-ParametersPerBoBC-v1470 ::=      | SEQUENCE {                               |           |
| parametersTM9-v1470                      | MIMO-CA-ParametersPerBoBCPerTM-v1470,    |           |
| parametersTM10-v1470                     | MIMO-CA-ParametersPerBoBCPerTM-v1470     |           |
| }  |  |           |
| MIMO-CA-ParametersPerBoBCPerTM-r13 ::=   | SEQUENCE {                               |           |
| nonPrecoded-r13                          | MIMO-NonPrecodedCapabilities-r13         | OPTIONAL, |
| beamformed-r13                           | MIMO-BeamformedCapabilityList-r13        | OPTIONAL, |
| dmrs-Enhancements-r13                    | ENUMERATED {different}                   | OPTIONAL  |
| }  |  |           |
| MIMO-CA-ParametersPerBoBCPerTM-v1430 ::= | SEQUENCE {                               |           |
| csi-ReportingNP-r14                      | ENUMERATED {different}                   | OPTIONAL, |
| csi-ReportingAdvanced-r14                | ENUMERATED {different}                   | OPTIONAL  |
| }  |  |           |



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MIMO-CA-ParametersPerBoBCPerTM-v1470 ::= SEQUENCE {
    csi-ReportingAdvancedMaxPorts-r14    ENUMERATED {n8, n12, n16, n20, n24, n28}    OPTIONAL
}

MIMO-CA-ParametersPerBoBCPerTM-r15 ::= SEQUENCE {
    nonPrecoded-r13                      MIMO-NonPrecodedCapabilities-r13    OPTIONAL,
    beamformed-r13                       MIMO-BeamformedCapabilityList-r13  OPTIONAL,
    dmrs-Enhancements-r13                ENUMERATED {different}              OPTIONAL,
    csi-ReportingNP-r14                  ENUMERATED {different}              OPTIONAL,
    csi-ReportingAdvanced-r14            ENUMERATED {different}              OPTIONAL
}

MIMO-NonPrecodedCapabilities-r13 ::= SEQUENCE {
    config1-r13                          ENUMERATED {supported}              OPTIONAL,
    config2-r13                          ENUMERATED {supported}              OPTIONAL,
    config3-r13                          ENUMERATED {supported}              OPTIONAL,
    config4-r13                          ENUMERATED {supported}              OPTIONAL
}

MIMO-UE-BeamformedCapabilities-r13 ::= SEQUENCE {
    altCodebook-r13                      ENUMERATED {supported}              OPTIONAL,
    mimo-BeamformedCapabilities-r13      MIMO-BeamformedCapabilityList-r13
}

MIMO-BeamformedCapabilityList-r13 ::= SEQUENCE (SIZE (1..maxCSI-Proc-r11)) OF MIMO-
BeamformedCapabilities-r13

MIMO-BeamformedCapabilities-r13 ::= SEQUENCE {
    k-Max-r13                            INTEGER (1..8),
    n-MaxList-r13                        BIT STRING (SIZE (1..7))            OPTIONAL
}

MIMO-WeightedLayersCapabilities-r13 ::= SEQUENCE {
    relWeightTwoLayers-r13    ENUMERATED {v1, v1dot25, v1dot5, v1dot75, v2, v2dot5, v3, v4},
    relWeightFourLayers-r13  ENUMERATED {v1, v1dot25, v1dot5, v1dot75, v2, v2dot5, v3, v4}
    OPTIONAL,
    relWeightEightLayers-r13  ENUMERATED {v1, v1dot25, v1dot5, v1dot75, v2, v2dot5, v3, v4}
    OPTIONAL,
    totalWeightedLayers-r13  INTEGER (2..128)
}

NonContiguousUL-RA-WithinCC-List-r10 ::= SEQUENCE (SIZE (1..maxBands)) OF NonContiguousUL-RA-
WithinCC-r10

NonContiguousUL-RA-WithinCC-r10 ::= SEQUENCE {
    nonContiguousUL-RA-WithinCC-Info-r10    ENUMERATED {supported}            OPTIONAL
}

RF-Parameters ::= SEQUENCE {
    supportedBandListEUTRA                SupportedBandListEUTRA
}

RF-Parameters-v9e0 ::= SEQUENCE {
    supportedBandListEUTRA-v9e0            SupportedBandListEUTRA-v9e0        OPTIONAL
}

RF-Parameters-v1020 ::= SEQUENCE {
    supportedBandCombination-r10           SupportedBandCombination-r10
}

RF-Parameters-v1060 ::= SEQUENCE {
    supportedBandCombinationExt-r10        SupportedBandCombinationExt-r10
}

RF-Parameters-v1090 ::= SEQUENCE {
    supportedBandCombination-v1090        SupportedBandCombination-v1090    OPTIONAL
}

RF-Parameters-v10f0 ::= SEQUENCE {
    modifiedMPR-Behavior-r10              BIT STRING (SIZE (32))            OPTIONAL
}

RF-Parameters-v10i0 ::= SEQUENCE {
    supportedBandCombination-v10i0        SupportedBandCombination-v10i0    OPTIONAL
}

RF-Parameters-v10j0 ::= SEQUENCE {

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|                                       |  |           |
|---------------------------------------|--|-----------|
| multiNS-Pmax-r10                      | ENUMERATED {supported}                                 | OPTIONAL  |
| }                                     |  |           |
| RF-Parameters-v1130 ::=               | SEQUENCE {   |           |
| supportedBandCombination-v1130        | SupportedBandCombination-v1130                         | OPTIONAL  |
| }                                     |  |           |
| RF-Parameters-v1180 ::=               | SEQUENCE {   |           |
| freqBandRetrieval-r11                 | ENUMERATED {supported}                                 | OPTIONAL, |
| requestedBands-r11                    | SEQUENCE (SIZE (1..maxBands)) OF FreqBandIndicator-r11 |           |
| supportedBandCombinationAdd-r11       | SupportedBandCombinationAdd-r11                        | OPTIONAL  |
| }                                     |  |           |
| RF-Parameters-v11d0 ::=               | SEQUENCE {   |           |
| supportedBandCombinationAdd-v11d0     | SupportedBandCombinationAdd-v11d0                      | OPTIONAL  |
| }                                     |  |           |
| RF-Parameters-v1250 ::=               | SEQUENCE {   |           |
| supportedBandListEUTRA-v1250          | SupportedBandListEUTRA-v1250                           | OPTIONAL, |
| supportedBandCombination-v1250        | SupportedBandCombination-v1250                         | OPTIONAL, |
| supportedBandCombinationAdd-v1250     | SupportedBandCombinationAdd-v1250                      | OPTIONAL, |
| freqBandPriorityAdjustment-r12        | ENUMERATED {supported}                                 | OPTIONAL  |
| }                                     |  |           |
| RF-Parameters-v1270 ::=               | SEQUENCE {   |           |
| supportedBandCombination-v1270        | SupportedBandCombination-v1270                         | OPTIONAL, |
| supportedBandCombinationAdd-v1270     | SupportedBandCombinationAdd-v1270                      | OPTIONAL  |
| }                                     |  |           |
| RF-Parameters-v1310 ::=               | SEQUENCE {   |           |
| eNB-RequestedParameters-r13           | SEQUENCE {   |           |
| reducedIntNonContCombRequested-r13    | ENUMERATED {true}                                      | OPTIONAL, |
| requestedCCsDL-r13                    | INTEGER (2..32)  | OPTIONAL, |
| requestedCCsUL-r13                    | INTEGER (2..32)  | OPTIONAL, |
| skipFallbackCombRequested-r13         | ENUMERATED {true}                                      | OPTIONAL, |
| }                                     |  |           |
| maximumCCsRetrieval-r13               | ENUMERATED {supported}                                 | OPTIONAL, |
| skipFallbackCombinations-r13          | ENUMERATED {supported}                                 | OPTIONAL, |
| reducedIntNonContComb-r13             | ENUMERATED {supported}                                 | OPTIONAL, |
| supportedBandListEUTRA-v1310          | SupportedBandListEUTRA-v1310                           | OPTIONAL, |
| supportedBandCombinationReduced-r13   | SupportedBandCombinationReduced-r13                    | OPTIONAL  |
| }                                     |  |           |
| RF-Parameters-v1320 ::=               | SEQUENCE {   |           |
| supportedBandListEUTRA-v1320          | SupportedBandListEUTRA-v1320                           | OPTIONAL, |
| supportedBandCombination-v1320        | SupportedBandCombination-v1320                         | OPTIONAL, |
| supportedBandCombinationAdd-v1320     | SupportedBandCombinationAdd-v1320                      | OPTIONAL, |
| supportedBandCombinationReduced-v1320 | SupportedBandCombinationReduced-v1320                  | OPTIONAL  |
| }                                     |  |           |
| RF-Parameters-v1380 ::=               | SEQUENCE {   |           |
| supportedBandCombination-v1380        | SupportedBandCombination-v1380                         | OPTIONAL, |
| supportedBandCombinationAdd-v1380     | SupportedBandCombinationAdd-v1380                      | OPTIONAL, |
| supportedBandCombinationReduced-v1380 | SupportedBandCombinationReduced-v1380                  | OPTIONAL  |
| }                                     |  |           |
| RF-Parameters-v1390 ::=               | SEQUENCE {   |           |
| supportedBandCombination-v1390        | SupportedBandCombination-v1390                         | OPTIONAL, |
| supportedBandCombinationAdd-v1390     | SupportedBandCombinationAdd-v1390                      | OPTIONAL, |
| supportedBandCombinationReduced-v1390 | SupportedBandCombinationReduced-v1390                  | OPTIONAL  |
| }                                     |  |           |
| RF-Parameters-v12b0 ::=               | SEQUENCE {   |           |
| maxLayersMIMO-Indication-r12          | ENUMERATED {supported}                                 | OPTIONAL  |
| }                                     |  |           |
| RF-Parameters-v1430 ::=               | SEQUENCE {   |           |
| supportedBandCombination-v1430        | SupportedBandCombination-v1430                         | OPTIONAL, |
| supportedBandCombinationAdd-v1430     | SupportedBandCombinationAdd-v1430                      | OPTIONAL, |
| supportedBandCombinationReduced-v1430 | SupportedBandCombinationReduced-v1430                  | OPTIONAL, |
| eNB-RequestedParameters-v1430         | SEQUENCE {   |           |
| requestedDiffFallbackCombList-r14     | BandCombinationList-r14                                |           |
| }                                     |  |           |
| diffFallbackCombReport-r14            | ENUMERATED {supported}                                 | OPTIONAL, |
| }                                     |  |           |
| RF-Parameters-v1450 ::=               | SEQUENCE {   |           |

```

supportedBandCombination-v1450 SupportedBandCombination-v1450 OPTIONAL,
supportedBandCombinationAdd-v1450 SupportedBandCombinationAdd-v1450 OPTIONAL,
supportedBandCombinationReduced-v1450 SupportedBandCombinationReduced-v1450 OPTIONAL
}

RF-Parameters-v1470 ::= SEQUENCE {
supportedBandCombination-v1470 SupportedBandCombination-v1470 OPTIONAL,
supportedBandCombinationAdd-v1470 SupportedBandCombinationAdd-v1470 OPTIONAL,
supportedBandCombinationReduced-v1470 SupportedBandCombinationReduced-v1470 OPTIONAL
}

RF-Parameters-v14b0 ::= SEQUENCE {
supportedBandCombination-v14b0 SupportedBandCombination-v14b0 OPTIONAL,
supportedBandCombinationAdd-v14b0 SupportedBandCombinationAdd-v14b0 OPTIONAL,
supportedBandCombinationReduced-v14b0 SupportedBandCombinationReduced-v14b0 OPTIONAL
}

RF-Parameters-v1530 ::= SEQUENCE {
sTTI-SPT-Supported-r15 ENUMERATED {supported} OPTIONAL,
supportedBandCombination-v1530 SupportedBandCombination-v1530 OPTIONAL,
supportedBandCombinationAdd-v1530 SupportedBandCombinationAdd-v1530 OPTIONAL,
supportedBandCombinationReduced-v1530 SupportedBandCombinationReduced-v1530 OPTIONAL,
powerClass-14dBm-r15 ENUMERATED {supported} OPTIONAL
}

RF-Parameters-v1570 ::= SEQUENCE {
dl-1024QAM-ScalingFactor-r15 ENUMERATED {v1, v1dot2, v1dot25},
dl-1024QAM-TotalWeightedLayers-r15 INTEGER (0..10)
}

SkipSubframeProcessing-r15 ::= SEQUENCE {
skipProcessingDL-Slot-r15 INTEGER (0..3) OPTIONAL,
skipProcessingDL-SubSlot-r15 INTEGER (0..3) OPTIONAL,
skipProcessingUL-Slot-r15 INTEGER (0..3) OPTIONAL,
skipProcessingUL-SubSlot-r15 INTEGER (0..3) OPTIONAL
}

SPT-Parameters-r15 ::= SEQUENCE {
frameStructureType-SPT-r15 BIT STRING (SIZE (3)) OPTIONAL,
maxNumberCCs-SPT-r15 INTEGER (1..32) OPTIONAL
}

STTI-SPT-BandParameters-r15 ::= SEQUENCE {
dl-1024QAM-Slot-r15 ENUMERATED {supported} OPTIONAL,
dl-1024QAM-SubslotTA-1-r15 ENUMERATED {supported} OPTIONAL,
dl-1024QAM-SubslotTA-2-r15 ENUMERATED {supported} OPTIONAL,
simultaneousTx-differentTx-duration-r15 ENUMERATED {supported} OPTIONAL,
sTTI-CA-MIMO-ParametersDL-r15 CA-MIMO-ParametersDL-r15 OPTIONAL,
sTTI-CA-MIMO-ParametersUL-r15 CA-MIMO-ParametersUL-r15,
sTTI-FD-MIMO-Coexistence ENUMERATED {supported} OPTIONAL,
sTTI-MIMO-CA-ParametersPerBoBCs-r15 MIMO-CA-ParametersPerBoBC-r13 OPTIONAL,
sTTI-MIMO-CA-ParametersPerBoBCs-v1530 MIMO-CA-ParametersPerBoBC-v1430 OPTIONAL,
sTTI-SupportedCombinations-r15 STTI-SupportedCombinations-r15 OPTIONAL,
sTTI-SupportedCSI-Proc-r15 ENUMERATED {n1, n3, n4} OPTIONAL,
ul-256QAM-Slot-r15 ENUMERATED {supported} OPTIONAL,
ul-256QAM-Subslot-r15 ENUMERATED {supported} OPTIONAL,
...
}

STTI-SupportedCombinations-r15 ::= SEQUENCE {
combination-22-r15 DL-UL-CCs-r15 OPTIONAL,
combination-77-r15 DL-UL-CCs-r15 OPTIONAL,
combination-27-r15 DL-UL-CCs-r15 OPTIONAL,
combination-22-27-r15 SEQUENCE (SIZE (1..2)) OF DL-UL-CCs-r15 OPTIONAL,
combination-77-22-r15 SEQUENCE (SIZE (1..2)) OF DL-UL-CCs-r15 OPTIONAL,
combination-77-27-r15 SEQUENCE (SIZE (1..2)) OF DL-UL-CCs-r15 OPTIONAL
}

DL-UL-CCs-r15 ::= SEQUENCE {
maxNumberDL-CCs-r15 INTEGER (1..32) OPTIONAL,
maxNumberUL-CCs-r15 INTEGER (1..32) OPTIONAL
}

SupportedBandCombination-r10 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF BandCombinationParameters-r10

SupportedBandCombinationExt-r10 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF BandCombinationParametersExt-r10

```

SupportedBandCombination-v1090 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v1090

SupportedBandCombination-v10i0 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v10i0

SupportedBandCombination-v1130 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v1130

SupportedBandCombination-v1250 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v1250

SupportedBandCombination-v1270 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v1270

SupportedBandCombination-v1320 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v1320

SupportedBandCombination-v1380 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v1380

SupportedBandCombination-v1390 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v1390

SupportedBandCombination-v1430 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v1430

SupportedBandCombination-v1450 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v1450

SupportedBandCombination-v1470 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v1470

SupportedBandCombination-v14b0 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v14b0

SupportedBandCombination-v1530 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF  
BandCombinationParameters-v1530

SupportedBandCombinationAdd-r11 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF  
BandCombinationParameters-r11

SupportedBandCombinationAdd-v11d0 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF  
BandCombinationParameters-v10i0

SupportedBandCombinationAdd-v1250 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF  
BandCombinationParameters-v1250

SupportedBandCombinationAdd-v1270 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF  
BandCombinationParameters-v1270

SupportedBandCombinationAdd-v1320 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF  
BandCombinationParameters-v1320

SupportedBandCombinationAdd-v1380 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF  
BandCombinationParameters-v1380

SupportedBandCombinationAdd-v1390 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF  
BandCombinationParameters-v1390

SupportedBandCombinationAdd-v1430 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF  
BandCombinationParameters-v1430

SupportedBandCombinationAdd-v1450 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF  
BandCombinationParameters-v1450

SupportedBandCombinationAdd-v1470 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF  
BandCombinationParameters-v1470

SupportedBandCombinationAdd-v14b0 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF  
BandCombinationParameters-v14b0

SupportedBandCombinationAdd-v1530 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF  
BandCombinationParameters-v1530

SupportedBandCombinationReduced-r13 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF  
BandCombinationParameters-r13

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SupportedBandCombinationReduced-v1320 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1320

SupportedBandCombinationReduced-v1380 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1380

SupportedBandCombinationReduced-v1390 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1390

SupportedBandCombinationReduced-v1430 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1430

SupportedBandCombinationReduced-v1450 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1450

SupportedBandCombinationReduced-v1470 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1470

SupportedBandCombinationReduced-v14b0 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v14b0

SupportedBandCombinationReduced-v1530 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1530

BandCombinationParameters-r10 ::= SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-
r10

BandCombinationParametersExt-r10 ::= SEQUENCE {
    supportedBandwidthCombinationSet-r10    SupportedBandwidthCombinationSet-r10    OPTIONAL
}

BandCombinationParameters-v1090 ::= SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-
v1090

BandCombinationParameters-v10i0 ::= SEQUENCE {
    bandParameterList-v10i0                SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
    BandParameters-v10i0                    OPTIONAL
}

BandCombinationParameters-v1130 ::= SEQUENCE {
    multipleTimingAdvance-r11              ENUMERATED {supported}                      OPTIONAL,
    simultaneousRx-Tx-r11                  ENUMERATED {supported}                      OPTIONAL,
    bandParameterList-r11                  SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-
v1130    OPTIONAL,
    ...
}

BandCombinationParameters-r11 ::= SEQUENCE {
    bandParameterList-r11                  SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
    BandParameters-r11,
    supportedBandwidthCombinationSet-r11    SupportedBandwidthCombinationSet-r10    OPTIONAL,
    multipleTimingAdvance-r11              ENUMERATED {supported}                      OPTIONAL,
    simultaneousRx-Tx-r11                  ENUMERATED {supported}                      OPTIONAL,
    bandInfoEUTRA-r11                      BandInfoEUTRA,
    ...
}

BandCombinationParameters-v1250 ::= SEQUENCE {
    dc-Support-r12                         SEQUENCE {
        asynchronous-r12                    ENUMERATED {supported}                      OPTIONAL,
        supportedCellGrouping-r12          CHOICE {
            threeEntries-r12                BIT STRING (SIZE(3)),
            fourEntries-r12                 BIT STRING (SIZE(7)),
            fiveEntries-r12                  BIT STRING (SIZE(15))
        }
    }
    supportedNAICS-2CRS-AP-r12             BIT STRING (SIZE (1..maxNAICS-Entries-r12)) OPTIONAL,
    commSupportedBandsPerBC-r12            BIT STRING (SIZE (1..maxBands))             OPTIONAL,
    ...
}

BandCombinationParameters-v1270 ::= SEQUENCE {
    bandParameterList-v1270                SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
    BandParameters-v1270                    OPTIONAL
}

BandCombinationParameters-r13 ::= SEQUENCE {

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differentFallbackSupported-r13 ENUMERATED {true} OPTIONAL,
bandParameterList-r13 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-
r13,
supportedBandwidthCombinationSet-r13 SupportedBandwidthCombinationSet-r10 OPTIONAL,
multipleTimingAdvance-r13 ENUMERATED {supported} OPTIONAL,
simultaneousRx-Tx-r13 ENUMERATED {supported} OPTIONAL,
bandInfoEUTRA-r13 BandInfoEUTRA,
dc-Support-r13 SEQUENCE {
asynchronous-r13 ENUMERATED {supported} OPTIONAL,
supportedCellGrouping-r13 CHOICE {
threeEntries-r13 BIT STRING (SIZE(3)),
fourEntries-r13 BIT STRING (SIZE(7)),
fiveEntries-r13 BIT STRING (SIZE(15))
}
}
supportedNAICS-2CRS-AP-r13 BIT STRING (SIZE (1..maxNAICS-Entries-r12)) OPTIONAL,
commSupportedBandsPerBC-r13 BIT STRING (SIZE (1..maxBands)) OPTIONAL
}

BandCombinationParameters-v1320 ::= SEQUENCE {
bandParameterList-v1320 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1320 OPTIONAL,
additionalRx-Tx-PerformanceReq-r13 ENUMERATED {supported} OPTIONAL
}

BandCombinationParameters-v1380 ::= SEQUENCE {
bandParameterList-v1380 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1380 OPTIONAL
}

BandCombinationParameters-v1390 ::= SEQUENCE {
ue-CA-PowerClass-N-r13 ENUMERATED {class2} OPTIONAL
}

BandCombinationParameters-v1430 ::= SEQUENCE {
bandParameterList-v1430 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1430 OPTIONAL,
v2x-SupportedTxBandCombListPerBC-r14 BIT STRING (SIZE (1..maxBandComb-r13))
OPTIONAL,
v2x-SupportedRxBandCombListPerBC-r14 BIT STRING (SIZE (1..maxBandComb-r13))
OPTIONAL
}

BandCombinationParameters-v1450 ::= SEQUENCE {
bandParameterList-v1450 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1450
}

BandCombinationParameters-v1470 ::= SEQUENCE {
bandParameterList-v1470 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1470 OPTIONAL,
srs-MaxSimultaneousCCs-r14 INTEGER (1..31) OPTIONAL
}

BandCombinationParameters-v14b0 ::= SEQUENCE {
bandParameterList-v14b0 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v14b0
}

BandCombinationParameters-v1530 ::= SEQUENCE {
bandParameterList-v1530 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1530 OPTIONAL,
spt-Parameters-r15 SPT-Parameters-r15 OPTIONAL
}
-- If an additional band combination parameter is defined, which is supported for MR-DC,
-- it shall be defined in the IE CA-ParametersEUTRA in TS 38.331 [82].

SupportedBandwidthCombinationSet-r10 ::= BIT STRING (SIZE (1..maxBandwidthCombSet-r10))

BandParameters-r10 ::= SEQUENCE {
bandEUTRA-r10 FreqBandIndicator,
bandParametersUL-r10 BandParametersUL-r10 OPTIONAL,
bandParametersDL-r10 BandParametersDL-r10 OPTIONAL
}

BandParameters-v1090 ::= SEQUENCE {
bandEUTRA-v1090 FreqBandIndicator-v9e0 OPTIONAL,
...

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}
BandParameters-v10i0 ::= SEQUENCE {
    bandParametersDL-v10i0 SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-ParametersDL-
v10i0
}
BandParameters-v1130 ::= SEQUENCE {
    supportedCSI-Proc-r11 ENUMERATED {n1, n3, n4}
}
BandParameters-r11 ::= SEQUENCE {
    bandEUTRA-r11 FreqBandIndicator-r11,
    bandParametersUL-r11 BandParametersUL-r10 OPTIONAL,
    bandParametersDL-r11 BandParametersDL-r10 OPTIONAL,
    supportedCSI-Proc-r11 ENUMERATED {n1, n3, n4} OPTIONAL
}
BandParameters-v1270 ::= SEQUENCE {
    bandParametersDL-v1270 SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-
ParametersDL-v1270
}
BandParameters-r13 ::= SEQUENCE {
    bandEUTRA-r13 FreqBandIndicator-r11,
    bandParametersUL-r13 BandParametersUL-r13 OPTIONAL,
    bandParametersDL-r13 BandParametersDL-r13 OPTIONAL,
    supportedCSI-Proc-r13 ENUMERATED {n1, n3, n4} OPTIONAL
}
BandParameters-v1320 ::= SEQUENCE {
    bandParametersDL-v1320 MIMO-CA-ParametersPerBoBC-r13
}
BandParameters-v1380 ::= SEQUENCE {
    txAntennaSwitchDL-r13 INTEGER (1..32) OPTIONAL,
    txAntennaSwitchUL-r13 INTEGER (1..32) OPTIONAL
}
BandParameters-v1430 ::= SEQUENCE {
    bandParametersDL-v1430 MIMO-CA-ParametersPerBoBC-v1430 OPTIONAL,
    ul-256QAM-r14 ENUMERATED {supported} OPTIONAL,
    ul-256QAM-perCC-InfoList-r14 SEQUENCE (SIZE (2..maxServCell-r13)) OF UL-256QAM-perCC-
Info-r14 OPTIONAL,
    srs-CapabilityPerBandPairList-r14 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
SRS-CapabilityPerBandPair-r14 OPTIONAL
}
BandParameters-v1450 ::= SEQUENCE {
    must-CapabilityPerBand-r14 MUST-Parameters-r14 OPTIONAL
}
BandParameters-v1470 ::= SEQUENCE {
    bandParametersDL-v1470 MIMO-CA-ParametersPerBoBC-v1470 OPTIONAL
}
BandParameters-v14b0 ::= SEQUENCE {
    srs-CapabilityPerBandPairList-v14b0 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
SRS-CapabilityPerBandPair-v14b0 OPTIONAL
}
BandParameters-v1530 ::= SEQUENCE {
    ue-TxAntennaSelection-SRS-1T4R-r15 ENUMERATED {supported} OPTIONAL,
    ue-TxAntennaSelection-SRS-2T4R-2Pairs-r15 ENUMERATED {supported} OPTIONAL,
    ue-TxAntennaSelection-SRS-2T4R-3Pairs-r15 ENUMERATED {supported} OPTIONAL,
    dl-1024QAM-r15 ENUMERATED {supported} OPTIONAL,
    qcl-TypeC-Operation-r15 ENUMERATED {supported} OPTIONAL,
    qcl-CRI-BasedCSI-Reporting-r15 ENUMERATED {supported} OPTIONAL,
    stti-SPT-BandParameters-r15 STTI-SPT-BandParameters-r15 OPTIONAL
}
V2X-BandParameters-r14 ::= SEQUENCE {
    v2x-FreqBandEUTRA-r14 FreqBandIndicator-r11,
    bandParametersTxSL-r14 BandParametersTxSL-r14 OPTIONAL,
    bandParametersRxSL-r14 BandParametersRxSL-r14 OPTIONAL
}
V2X-BandParameters-v1530 ::= SEQUENCE {

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v2x-EnhancedHighReception-r15          ENUMERATED {supported}          OPTIONAL
}

BandParametersTxSL-r14 ::= SEQUENCE {
  v2x-BandwidthClassTxSL-r14          V2X-BandwidthClassSL-r14,
  v2x-eNB-Scheduled-r14              ENUMERATED {supported}          OPTIONAL,
  v2x-HighPower-r14                  ENUMERATED {supported}          OPTIONAL
}

BandParametersRxSL-r14 ::= SEQUENCE {
  v2x-BandwidthClassRxSL-r14          V2X-BandwidthClassSL-r14,
  v2x-HighReception-r14              ENUMERATED {supported}          OPTIONAL
}

V2X-BandwidthClassSL-r14 ::= SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF V2X-BandwidthClass-r14

UL-256QAM-perCC-Info-r14 ::= SEQUENCE {
  ul-256QAM-perCC-r14                ENUMERATED {supported}          OPTIONAL
}

FeatureSetDL-r15 ::= SEQUENCE {
  mimo-CA-ParametersPerBoBC-r15      MIMO-CA-ParametersPerBoBC-r15      OPTIONAL,
  featureSetPerCC-ListDL-r15         SEQUENCE (SIZE (1..maxServCell-r13)) OF FeatureSetDL-PerCC-Id-r15
}

FeatureSetDL-v1550 ::= SEQUENCE {
  dl-1024QAM-r15                    ENUMERATED {supported}          OPTIONAL
}

FeatureSetDL-PerCC-r15 ::= SEQUENCE {
  fourLayerTM3-TM4-r15               ENUMERATED {supported}          OPTIONAL,
  supportedMIMO-CapabilityDL-MRDC-r15 MIMO-CapabilityDL-r10          OPTIONAL,
  supportedCSI-Proc-r15              ENUMERATED {n1, n3, n4}          OPTIONAL
}

FeatureSetUL-r15 ::= SEQUENCE {
  featureSetPerCC-ListUL-r15         SEQUENCE (SIZE(1..maxServCell-r13)) OF FeatureSetUL-PerCC-Id-r15
}

FeatureSetUL-PerCC-r15 ::= SEQUENCE {
  supportedMIMO-CapabilityUL-r15      MIMO-CapabilityUL-r10          OPTIONAL,
  ul-256QAM-r15                     ENUMERATED {supported}          OPTIONAL
}

FeatureSetDL-PerCC-Id-r15 ::= INTEGER (0..maxPerCC-FeatureSets-r15)

FeatureSetUL-PerCC-Id-r15 ::= INTEGER (0..maxPerCC-FeatureSets-r15)

BandParametersUL-r10 ::= SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-ParametersUL-r10

BandParametersUL-r13 ::= CA-MIMO-ParametersUL-r10

CA-MIMO-ParametersUL-r10 ::= SEQUENCE {
  ca-BandwidthClassUL-r10            CA-BandwidthClass-r10,
  supportedMIMO-CapabilityUL-r10     MIMO-CapabilityUL-r10          OPTIONAL
}

CA-MIMO-ParametersUL-r15 ::= SEQUENCE {
  supportedMIMO-CapabilityUL-r15     MIMO-CapabilityUL-r10          OPTIONAL
}

BandParametersDL-r10 ::= SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-ParametersDL-r10

BandParametersDL-r13 ::= CA-MIMO-ParametersDL-r13

CA-MIMO-ParametersDL-r10 ::= SEQUENCE {
  ca-BandwidthClassDL-r10            CA-BandwidthClass-r10,
  supportedMIMO-CapabilityDL-r10     MIMO-CapabilityDL-r10          OPTIONAL
}

CA-MIMO-ParametersDL-v10i0 ::= SEQUENCE {
  fourLayerTM3-TM4-r10              ENUMERATED {supported}          OPTIONAL
}

CA-MIMO-ParametersDL-v1270 ::= SEQUENCE {
  intraBandContiguousCC-InfoList-r12 SEQUENCE (SIZE (1..maxServCell-r10)) OF
  IntraBandContiguousCC-Info-r12
}

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CA-MIMO-ParametersDL-r13 ::= SEQUENCE {
    ca-BandwidthClassDL-r13          CA-BandwidthClass-r10,
    supportedMIMO-CapabilityDL-r13   MIMO-CapabilityDL-r10          OPTIONAL,
    fourLayerTM3-TM4-r13             ENUMERATED {supported}          OPTIONAL,
    intraBandContiguousCC-InfoList-r13 SEQUENCE (SIZE (1..maxServCell-r13)) OF
IntraBandContiguousCC-Info-r12
}

CA-MIMO-ParametersDL-r15 ::= SEQUENCE {
    supportedMIMO-CapabilityDL-r15   MIMO-CapabilityDL-r10          OPTIONAL,
    fourLayerTM3-TM4-r15             ENUMERATED {supported}          OPTIONAL,
    intraBandContiguousCC-InfoList-r15 SEQUENCE (SIZE (1..maxServCell-r13)) OF
    IntraBandContiguousCC-Info-r12   OPTIONAL
}

IntraBandContiguousCC-Info-r12 ::= SEQUENCE {
    fourLayerTM3-TM4-perCC-r12       ENUMERATED {supported}          OPTIONAL,
    supportedMIMO-CapabilityDL-r12   MIMO-CapabilityDL-r10          OPTIONAL,
    supportedCSI-Proc-r12             ENUMERATED {n1, n3, n4}          OPTIONAL
}

CA-BandwidthClass-r10 ::= ENUMERATED {a, b, c, d, e, f, ...}

V2X-BandwidthClass-r14 ::= ENUMERATED {a, b, c, d, e, f, ..., c1-v1530}

MIMO-CapabilityUL-r10 ::= ENUMERATED {twoLayers, fourLayers}

MIMO-CapabilityDL-r10 ::= ENUMERATED {twoLayers, fourLayers, eightLayers}

MUST-Parameters-r14 ::= SEQUENCE {
    must-TM234-UpTo2Tx-r14           ENUMERATED {supported}          OPTIONAL,
    must-TM89-UpToOneInterferingLayer-r14 ENUMERATED {supported}          OPTIONAL,
    must-TM10-UpToOneInterferingLayer-r14 ENUMERATED {supported}          OPTIONAL,
    must-TM89-UpToThreeInterferingLayers-r14 ENUMERATED {supported}          OPTIONAL,
    must-TM10-UpToThreeInterferingLayers-r14 ENUMERATED {supported}          OPTIONAL
}

SupportedBandListEUTRA ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA

SupportedBandListEUTRA-v9e0 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA-v9e0

SupportedBandListEUTRA-v1250 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA-v1250

SupportedBandListEUTRA-v1310 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA-v1310

SupportedBandListEUTRA-v1320 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA-v1320

SupportedBandEUTRA ::= SEQUENCE {
    bandEUTRA                        FreqBandIndicator,
    halfDuplex                        BOOLEAN
}

SupportedBandEUTRA-v9e0 ::= SEQUENCE {
    bandEUTRA-v9e0                   FreqBandIndicator-v9e0          OPTIONAL
}

SupportedBandEUTRA-v1250 ::= SEQUENCE {
    dl-256QAM-r12                    ENUMERATED {supported}          OPTIONAL,
    ul-64QAM-r12                     ENUMERATED {supported}          OPTIONAL
}

SupportedBandEUTRA-v1310 ::= SEQUENCE {
    ue-PowerClass-5-r13              ENUMERATED {supported}          OPTIONAL
}

SupportedBandEUTRA-v1320 ::= SEQUENCE {
    intraFreq-CE-NeedForGaps-r13     ENUMERATED {supported}          OPTIONAL,
    ue-PowerClass-N-r13              ENUMERATED {class1, class2, class4} OPTIONAL
}

MeasParameters ::= SEQUENCE {
    bandListEUTRA                    BandListEUTRA
}

MeasParameters-v1020 ::= SEQUENCE {
    bandCombinationListEUTRA-r10     BandCombinationListEUTRA-r10
}

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MeasParameters-v1130 ::= SEQUENCE {
    rsrqMeasWideband-r11      ENUMERATED {supported}          OPTIONAL
}

MeasParameters-v11a0 ::= SEQUENCE {
    benefitsFromInterruption-r11  ENUMERATED {true}          OPTIONAL
}

MeasParameters-v1250 ::= SEQUENCE {
    timerT312-r12              ENUMERATED {supported}          OPTIONAL,
    alternativeTimeToTrigger-r12  ENUMERATED {supported}          OPTIONAL,
    incMonEUTRA-r12            ENUMERATED {supported}          OPTIONAL,
    incMonUTRA-r12             ENUMERATED {supported}          OPTIONAL,
    extendedMaxMeasId-r12       ENUMERATED {supported}          OPTIONAL,
    extendedRSRQ-LowerRange-r12  ENUMERATED {supported}          OPTIONAL,
    rsrq-OnAllSymbols-r12        ENUMERATED {supported}          OPTIONAL,
    crs-DiscoverySignalsMeas-r12  ENUMERATED {supported}          OPTIONAL,
    csi-RS-DiscoverySignalsMeas-r12  ENUMERATED {supported}          OPTIONAL
}

MeasParameters-v1310 ::= SEQUENCE {
    rs-SINR-Meas-r13           ENUMERATED {supported}          OPTIONAL,
    whiteCellList-r13          ENUMERATED {supported}          OPTIONAL,
    extendedMaxObjectId-r13     ENUMERATED {supported}          OPTIONAL,
    ul-PDCP-Delay-r13          ENUMERATED {supported}          OPTIONAL,
    extendedFreqPriorities-r13  ENUMERATED {supported}          OPTIONAL,
    multiBandInfoReport-r13     ENUMERATED {supported}          OPTIONAL,
    rssi-AndChannelOccupancyReporting-r13  ENUMERATED {supported}          OPTIONAL
}

MeasParameters-v1430 ::= SEQUENCE {
    ceMeasurements-r14         ENUMERATED {supported}          OPTIONAL,
    ncsG-r14                   ENUMERATED {supported}          OPTIONAL,
    shortMeasurementGap-r14     ENUMERATED {supported}          OPTIONAL,
    perServingCellMeasurementGap-r14  ENUMERATED {supported}          OPTIONAL,
    nonUniformGap-r14          ENUMERATED {supported}          OPTIONAL
}

MeasParameters-v1520 ::= SEQUENCE {
    measGapPatterns-r15        BIT STRING (SIZE (8))          OPTIONAL
}

MeasParameters-v1530 ::= SEQUENCE {
    qoe-MeasReport-r15         ENUMERATED {supported}          OPTIONAL,
    qoe-MTSI-MeasReport-r15    ENUMERATED {supported}          OPTIONAL,
    ca-IdleModeMeasurements-r15  ENUMERATED {supported}          OPTIONAL,
    ca-IdleModeValidityArea-r15  ENUMERATED {supported}          OPTIONAL,
    heightMeas-r15             ENUMERATED {supported}          OPTIONAL,
    multipleCellsMeasExtension-r15  ENUMERATED {supported}          OPTIONAL
}

BandListEUTRA ::= SEQUENCE (SIZE (1..maxBands)) OF BandInfoEUTRA

BandCombinationListEUTRA-r10 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF BandInfoEUTRA

BandInfoEUTRA ::= SEQUENCE {
    interFreqBandList          InterFreqBandList,
    interRAT-BandList          InterRAT-BandList          OPTIONAL
}

InterFreqBandList ::= SEQUENCE (SIZE (1..maxBands)) OF InterFreqBandInfo

InterFreqBandInfo ::= SEQUENCE {
    interFreqNeedForGaps       BOOLEAN
}

InterRAT-BandList ::= SEQUENCE (SIZE (1..maxBands)) OF InterRAT-BandInfo

InterRAT-BandInfo ::= SEQUENCE {
    interRAT-NeedForGaps       BOOLEAN
}

IRAT-ParametersNR-r15 ::= SEQUENCE {
    en-DC-r15                  ENUMERATED {supported}          OPTIONAL,
    eventB2-r15                ENUMERATED {supported}          OPTIONAL,
    supportedBandListEN-DC-r15  SupportedBandListNR-r15          OPTIONAL
}

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IRAT-ParametersNR-v1540 ::= SEQUENCE {
    eutra-5GC-HO-ToNR-FDD-FR1-r15      ENUMERATED {supported}          OPTIONAL,
    eutra-5GC-HO-ToNR-TDD-FR1-r15     ENUMERATED {supported}          OPTIONAL,
    eutra-5GC-HO-ToNR-FDD-FR2-r15     ENUMERATED {supported}          OPTIONAL,
    eutra-5GC-HO-ToNR-TDD-FR2-r15     ENUMERATED {supported}          OPTIONAL,
    eutra-EPC-HO-ToNR-FDD-FR1-r15     ENUMERATED {supported}          OPTIONAL,
    eutra-EPC-HO-ToNR-TDD-FR1-r15     ENUMERATED {supported}          OPTIONAL,
    eutra-EPC-HO-ToNR-FDD-FR2-r15     ENUMERATED {supported}          OPTIONAL,
    eutra-EPC-HO-ToNR-TDD-FR2-r15     ENUMERATED {supported}          OPTIONAL,
    ims-VoiceOverNR-FR1-r15           ENUMERATED {supported}          OPTIONAL,
    ims-VoiceOverNR-FR2-r15           ENUMERATED {supported}          OPTIONAL,
    sa-NR-r15                          ENUMERATED {supported}          OPTIONAL,
    supportedBandListNR-SA-r15         SupportedBandListNR-r15        OPTIONAL
}

IRAT-ParametersNR-v1560 ::= SEQUENCE {
    ng-EN-DC-r15                       ENUMERATED {supported}          OPTIONAL
}

IRAT-ParametersNR-v1570 ::= SEQUENCE {
    ss-SINR-Meas-NR-FR1-r15           ENUMERATED {supported}          OPTIONAL,
    ss-SINR-Meas-NR-FR2-r15           ENUMERATED {supported}          OPTIONAL
}

EUTRA-5GC-Parameters-r15 ::= SEQUENCE {
    eutra-5GC-r15                      ENUMERATED {supported}          OPTIONAL,
    eutra-EPC-HO-EUTRA-5GC-r15        ENUMERATED {supported}          OPTIONAL,
    ho-EUTRA-5GC-FDD-TDD-r15          ENUMERATED {supported}          OPTIONAL,
    ho-InterfreqEUTRA-5GC-r15        ENUMERATED {supported}          OPTIONAL,
    ims-VoiceOverMCG-BearerEUTRA-5GC-r15  ENUMERATED {supported}          OPTIONAL,
    inactiveState-r15                 ENUMERATED {supported}          OPTIONAL,
    reflectiveQoS-r15                 ENUMERATED {supported}          OPTIONAL
}

PDCP-ParametersNR-r15 ::= SEQUENCE {
    rohc-Profiles-r15                 ROHC-ProfileSupportList-r15,
    rohc-ContextMaxSessions-r15       ENUMERATED {
        cs2, cs4, cs8, cs12, cs16, cs24, cs32,
        cs48, cs64, cs128, cs256, cs512, cs1024,
        cs16384, spare2, spare1}    DEFAULT cs16,
    rohc-ProfilesUL-Only-r15         SEQUENCE {
        profile0x0006-r15           BOOLEAN
    },
    rohc-ContextContinue-r15          ENUMERATED {supported}          OPTIONAL,
    outOfOrderDelivery-r15           ENUMERATED {supported}          OPTIONAL,
    sn-SizeLo-r15                    ENUMERATED {supported}          OPTIONAL,
    ims-VoiceOverNR-PDCP-MCG-Bearer-r15  ENUMERATED {supported}          OPTIONAL,
    ims-VoiceOverNR-PDCP-SCG-Bearer-r15  ENUMERATED {supported}          OPTIONAL
}

PDCP-ParametersNR-v1560 ::= SEQUENCE {
    ims-VoNR-PDCP-SCG-NGENDC-r15     ENUMERATED {supported}          OPTIONAL
}

ROHC-ProfileSupportList-r15 ::= SEQUENCE {
    profile0x0001-r15                 BOOLEAN,
    profile0x0002-r15                 BOOLEAN,
    profile0x0003-r15                 BOOLEAN,
    profile0x0004-r15                 BOOLEAN,
    profile0x0006-r15                 BOOLEAN,
    profile0x0101-r15                 BOOLEAN,
    profile0x0102-r15                 BOOLEAN,
    profile0x0103-r15                 BOOLEAN,
    profile0x0104-r15                 BOOLEAN
}

SupportedBandListNR-r15 ::= SEQUENCE (SIZE (1..maxBandsNR-r15)) OF SupportedBandNR-r15

SupportedBandNR-r15 ::= SEQUENCE {
    bandNR-r15                        FreqBandIndicatorNR-r15
}

IRAT-ParametersUTRA-FDD ::= SEQUENCE {
    supportedBandListUTRA-FDD         SupportedBandListUTRA-FDD
}

IRAT-ParametersUTRA-v920 ::= SEQUENCE {
    e-RedirectionUTRA-r9              ENUMERATED {supported}
}

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}
IRAT-ParametersUTRA-v9c0 ::= SEQUENCE {
    voiceOverPS-HS-UTRA-FDD-r9          ENUMERATED {supported}      OPTIONAL,
    voiceOverPS-HS-UTRA-TDD128-r9      ENUMERATED {supported}      OPTIONAL,
    srvcc-FromUTRA-FDD-ToUTRA-FDD-r9   ENUMERATED {supported}      OPTIONAL,
    srvcc-FromUTRA-FDD-ToGERAN-r9      ENUMERATED {supported}      OPTIONAL,
    srvcc-FromUTRA-TDD128-ToUTRA-TDD128-r9  ENUMERATED {supported}      OPTIONAL,
    srvcc-FromUTRA-TDD128-ToGERAN-r9    ENUMERATED {supported}      OPTIONAL
}
IRAT-ParametersUTRA-v9h0 ::= SEQUENCE {
    mfb1-UTRA-r9                        ENUMERATED {supported}
}
SupportedBandListUTRA-FDD ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-FDD
SupportedBandUTRA-FDD ::= ENUMERATED {
    bandI, bandII, bandIII, bandIV, bandV, bandVI,
    bandVII, bandVIII, bandIX, bandX, bandXI,
    bandXII, bandXIII, bandXIV, bandXV, bandXVI, ...,
    bandXVII-8a0, bandXVIII-8a0, bandXIX-8a0, bandXX-8a0,
    bandXXI-8a0, bandXXII-8a0, bandXXIII-8a0, bandXXIV-8a0,
    bandXXV-8a0, bandXXVI-8a0, bandXXVII-8a0, bandXXVIII-8a0,
    bandXXIX-8a0, bandXXX-8a0, bandXXXI-8a0, bandXXXII-8a0}
IRAT-ParametersUTRA-TDD128 ::= SEQUENCE {
    supportedBandListUTRA-TDD128      SupportedBandListUTRA-TDD128
}
SupportedBandListUTRA-TDD128 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD128
SupportedBandUTRA-TDD128 ::= ENUMERATED {
    a, b, c, d, e, f, g, h, i, j, k, l, m, n,
    o, p, ...}
IRAT-ParametersUTRA-TDD384 ::= SEQUENCE {
    supportedBandListUTRA-TDD384      SupportedBandListUTRA-TDD384
}
SupportedBandListUTRA-TDD384 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD384
SupportedBandUTRA-TDD384 ::= ENUMERATED {
    a, b, c, d, e, f, g, h, i, j, k, l, m, n,
    o, p, ...}
IRAT-ParametersUTRA-TDD768 ::= SEQUENCE {
    supportedBandListUTRA-TDD768      SupportedBandListUTRA-TDD768
}
SupportedBandListUTRA-TDD768 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD768
SupportedBandUTRA-TDD768 ::= ENUMERATED {
    a, b, c, d, e, f, g, h, i, j, k, l, m, n,
    o, p, ...}
IRAT-ParametersUTRA-TDD-v1020 ::= SEQUENCE {
    e-RedirectionUTRA-TDD-r10        ENUMERATED {supported}
}
IRAT-ParametersGERAN ::= SEQUENCE {
    supportedBandListGERAN            SupportedBandListGERAN,
    interRAT-PS-HO-ToGERAN           BOOLEAN
}
IRAT-ParametersGERAN-v920 ::= SEQUENCE {
    dtm-r9                            ENUMERATED {supported}      OPTIONAL,
    e-RedirectionGERAN-r9            ENUMERATED {supported}      OPTIONAL
}
SupportedBandListGERAN ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandGERAN
SupportedBandGERAN ::= ENUMERATED {
    gsm450, gsm480, gsm710, gsm750, gsm810, gsm850,
    gsm900P, gsm900E, gsm900R, gsm1800, gsm1900,
    spare5, spare4, spare3, spare2, spare1, ...}
IRAT-ParametersCDMA2000-HRPD ::= SEQUENCE {

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supportedBandListHRPD SupportedBandListHRPD,
tx-ConfigHRPD      ENUMERATED {single, dual},
rx-ConfigHRPD      ENUMERATED {single, dual}
}

SupportedBandListHRPD ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandclassCDMA2000

IRAT-ParametersCDMA2000-1XRTT ::= SEQUENCE {
supportedBandList1XRTT SupportedBandList1XRTT,
tx-Config1XRTT         ENUMERATED {single, dual},
rx-Config1XRTT         ENUMERATED {single, dual}
}

IRAT-ParametersCDMA2000-1XRTT-v920 ::= SEQUENCE {
e-CSFB-1XRTT-r9        ENUMERATED {supported},
e-CSFB-ConcPS-Mob1XRTT-r9 ENUMERATED {supported} OPTIONAL
}

IRAT-ParametersCDMA2000-1XRTT-v1020 ::= SEQUENCE {
e-CSFB-dual-1XRTT-r10  ENUMERATED {supported}
}

IRAT-ParametersCDMA2000-v1130 ::= SEQUENCE {
cdma2000-NW-Sharing-r11 ENUMERATED {supported} OPTIONAL
}

SupportedBandList1XRTT ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandclassCDMA2000

IRAT-ParametersWLAN-r13 ::= SEQUENCE {
supportedBandListWLAN-r13 SEQUENCE (SIZE (1..maxWLAN-Bands-r13)) OF WLAN-BandIndicator-r13
OPTIONAL
}

CSG-ProximityIndicationParameters-r9 ::= SEQUENCE {
intraFreqProximityIndication-r9 ENUMERATED {supported} OPTIONAL,
interFreqProximityIndication-r9 ENUMERATED {supported} OPTIONAL,
utran-ProximityIndication-r9     ENUMERATED {supported} OPTIONAL
}

NeighCellSI-AcquisitionParameters-r9 ::= SEQUENCE {
intraFreqSI-AcquisitionForHO-r9 ENUMERATED {supported} OPTIONAL,
interFreqSI-AcquisitionForHO-r9 ENUMERATED {supported} OPTIONAL,
utran-SI-AcquisitionForHO-r9     ENUMERATED {supported} OPTIONAL
}

NeighCellSI-AcquisitionParameters-v1530 ::= SEQUENCE {
reportCGI-NR-EN-DC-r15          ENUMERATED {supported} OPTIONAL,
reportCGI-NR-NoEN-DC-r15       ENUMERATED {supported} OPTIONAL
}

NeighCellSI-AcquisitionParameters-v1550 ::= SEQUENCE {
utra-CGI-Reporting-ENDC-r15     ENUMERATED {supported} OPTIONAL,
utra-GERAN-CGI-Reporting-ENDC-r15 ENUMERATED {supported} OPTIONAL
}

NeighCellSI-AcquisitionParameters-v15a0 ::= SEQUENCE {
utra-CGI-Reporting-NEDC-r15     ENUMERATED {supported} OPTIONAL
}

SON-Parameters-r9 ::= SEQUENCE {
rach-Report-r9                 ENUMERATED {supported} OPTIONAL
}

UE-BasedNetwPerfMeasParameters-r10 ::= SEQUENCE {
loggedMeasurementsIdle-r10      ENUMERATED {supported} OPTIONAL,
standaloneGNSS-Location-r10     ENUMERATED {supported} OPTIONAL
}

UE-BasedNetwPerfMeasParameters-v1250 ::= SEQUENCE {
loggedMBSFNMeasurements-r12     ENUMERATED {supported}
}

UE-BasedNetwPerfMeasParameters-v1430 ::= SEQUENCE {
locationReport-r14              ENUMERATED {supported} OPTIONAL
}

UE-BasedNetwPerfMeasParameters-v1530 ::= SEQUENCE {
loggedMeasBT-r15                ENUMERATED {supported} OPTIONAL,

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loggedMeasWLAN-r15          ENUMERATED {supported}      OPTIONAL,
immMeasBT-r15              ENUMERATED {supported}      OPTIONAL,
immMeasWLAN-r15           ENUMERATED {supported}      OPTIONAL
}

OTDOA-PositioningCapabilities-r10 ::= SEQUENCE {
  otdoa-UE-Assisted-r10      ENUMERATED {supported},
  interFreqRSTD-Measurement-r10  ENUMERATED {supported}      OPTIONAL
}

Other-Parameters-r11 ::= SEQUENCE {
  inDeviceCoexInd-r11        ENUMERATED {supported}      OPTIONAL,
  powerPrefInd-r11          ENUMERATED {supported}      OPTIONAL,
  ue-Rx-TxTimeDiffMeasurements-r11  ENUMERATED {supported}      OPTIONAL
}

Other-Parameters-v11d0 ::= SEQUENCE {
  inDeviceCoexInd-UL-CA-r11  ENUMERATED {supported}      OPTIONAL
}

Other-Parameters-v1360 ::= SEQUENCE {
  inDeviceCoexInd-HardwareSharingInd-r13  ENUMERATED {supported}      OPTIONAL
}

Other-Parameters-v1430 ::= SEQUENCE {
  bwPrefInd-r14              ENUMERATED {supported}      OPTIONAL,
  rlm-ReportSupport-r14      ENUMERATED {supported}      OPTIONAL
}

OtherParameters-v1450 ::= SEQUENCE {
  overheatingInd-r14         ENUMERATED {supported}      OPTIONAL
}

Other-Parameters-v1460 ::= SEQUENCE {
  nonCSG-SI-Reporting-r14    ENUMERATED {supported}      OPTIONAL
}

Other-Parameters-v1530 ::= SEQUENCE {
  assistInfoBitForLC-r15     ENUMERATED {supported}      OPTIONAL,
  timeReferenceProvision-r15  ENUMERATED {supported}      OPTIONAL,
  flightPathPlan-r15         ENUMERATED {supported}      OPTIONAL
}

Other-Parameters-v1540 ::= SEQUENCE {
  inDeviceCoexInd-ENDC-r15   ENUMERATED {supported}      OPTIONAL
}

MBMS-Parameters-r11 ::= SEQUENCE {
  mbms-SCell-r11             ENUMERATED {supported}      OPTIONAL,
  mbms-NonServingCell-r11    ENUMERATED {supported}      OPTIONAL
}

MBMS-Parameters-v1250 ::= SEQUENCE {
  mbms-AsyncDC-r12          ENUMERATED {supported}      OPTIONAL
}

MBMS-Parameters-v1430 ::= SEQUENCE {
  fembmsDedicatedCell-r14    ENUMERATED {supported}      OPTIONAL,
  fembmsMixedCell-r14        ENUMERATED {supported}      OPTIONAL,
  subcarrierSpacingMBMS-khz7dot5-r14  ENUMERATED {supported}      OPTIONAL,
  subcarrierSpacingMBMS-khz1dot25-r14  ENUMERATED {supported}      OPTIONAL
}

MBMS-Parameters-v1470 ::= SEQUENCE {
  mbms-MaxBW-r14             CHOICE {
    implicitValue             NULL,
    explicitValue             INTEGER(2..20)
  },
  mbms-ScalingFactor1dot25-r14  ENUMERATED {n3, n6, n9, n12}      OPTIONAL,
  mbms-ScalingFactor7dot5-r14   ENUMERATED {n1, n2, n3, n4}      OPTIONAL
}

FeMBMS-Unicast-Parameters-r14 ::= SEQUENCE {
  unicast-fembmsMixedSCell-r14  ENUMERATED {supported}      OPTIONAL,
  emptyUnicastRegion-r14        ENUMERATED {supported}      OPTIONAL
}

SCPTM-Parameters-r13 ::= SEQUENCE {

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|                                       |                                     |           |
|---------------------------------------|-------------------------------------|-----------|
| scptm-ParallelReception-r13           | ENUMERATED {supported}              | OPTIONAL, |
| scptm-SCell-r13                       | ENUMERATED {supported}              | OPTIONAL, |
| scptm-NonServingCell-r13              | ENUMERATED {supported}              | OPTIONAL, |
| scptm-AsyncDC-r13                     | ENUMERATED {supported}              | OPTIONAL  |
| }                                     |                                     |           |
| CE-Parameters-r13 ::= SEQUENCE {      |                                     |           |
| ce-ModeA-r13                          | ENUMERATED {supported}              | OPTIONAL, |
| ce-ModeB-r13                          | ENUMERATED {supported}              | OPTIONAL  |
| }                                     |                                     |           |
| CE-Parameters-v1320 ::= SEQUENCE {    |                                     |           |
| intraFreqA3-CE-ModeA-r13              | ENUMERATED {supported}              | OPTIONAL, |
| intraFreqA3-CE-ModeB-r13              | ENUMERATED {supported}              | OPTIONAL, |
| intraFreqHO-CE-ModeA-r13              | ENUMERATED {supported}              | OPTIONAL, |
| intraFreqHO-CE-ModeB-r13              | ENUMERATED {supported}              | OPTIONAL  |
| }                                     |                                     |           |
| CE-Parameters-v1350 ::= SEQUENCE {    |                                     |           |
| unicastFrequencyHopping-r13           | ENUMERATED {supported}              | OPTIONAL  |
| }                                     |                                     |           |
| CE-Parameters-v1370 ::= SEQUENCE {    |                                     |           |
| tm9-CE-ModeA-r13                      | ENUMERATED {supported}              | OPTIONAL, |
| tm9-CE-ModeB-r13                      | ENUMERATED {supported}              | OPTIONAL  |
| }                                     |                                     |           |
| CE-Parameters-v1380 ::= SEQUENCE {    |                                     |           |
| tm6-CE-ModeA-r13                      | ENUMERATED {supported}              | OPTIONAL  |
| }                                     |                                     |           |
| CE-Parameters-v1430 ::= SEQUENCE {    |                                     |           |
| ce-SwitchWithoutHO-r14                | ENUMERATED {supported}              | OPTIONAL  |
| }                                     |                                     |           |
| LAA-Parameters-r13 ::= SEQUENCE {     |                                     |           |
| crossCarrierSchedulingLAA-DL-r13      | ENUMERATED {supported}              | OPTIONAL, |
| csi-RS-DRS-RRM-MeasurementsLAA-r13    | ENUMERATED {supported}              | OPTIONAL, |
| downlinkLAA-r13                       | ENUMERATED {supported}              | OPTIONAL, |
| endingDwPTS-r13                       | ENUMERATED {supported}              | OPTIONAL, |
| secondSlotStartingPosition-r13        | ENUMERATED {supported}              | OPTIONAL, |
| tm9-LAA-r13                           | ENUMERATED {supported}              | OPTIONAL, |
| tm10-LAA-r13                          | ENUMERATED {supported}              | OPTIONAL  |
| }                                     |                                     |           |
| LAA-Parameters-v1430 ::= SEQUENCE {   |                                     |           |
| crossCarrierSchedulingLAA-UL-r14      | ENUMERATED {supported}              | OPTIONAL, |
| uplinkLAA-r14                         | ENUMERATED {supported}              | OPTIONAL, |
| twoStepSchedulingTimingInfo-r14       | ENUMERATED {nPlus1, nPlus2, nPlus3} | OPTIONAL, |
| uss-BlindDecodingAdjustment-r14       | ENUMERATED {supported}              | OPTIONAL, |
| uss-BlindDecodingReduction-r14        | ENUMERATED {supported}              | OPTIONAL, |
| outOfSequenceGrantHandling-r14        | ENUMERATED {supported}              | OPTIONAL  |
| }                                     |                                     |           |
| LAA-Parameters-v1530 ::= SEQUENCE {   |                                     |           |
| aul-r15                               | ENUMERATED {supported}              | OPTIONAL, |
| laa-PUSCH-Mode1-r15                   | ENUMERATED {supported}              | OPTIONAL, |
| laa-PUSCH-Mode2-r15                   | ENUMERATED {supported}              | OPTIONAL, |
| laa-PUSCH-Mode3-r15                   | ENUMERATED {supported}              | OPTIONAL  |
| }                                     |                                     |           |
| WLAN-IW-Parameters-r12 ::= SEQUENCE { |                                     |           |
| wlan-IW-RAN-Rules-r12                 | ENUMERATED {supported}              | OPTIONAL, |
| wlan-IW-ANDSF-Policies-r12            | ENUMERATED {supported}              | OPTIONAL  |
| }                                     |                                     |           |
| LWA-Parameters-r13 ::= SEQUENCE {     |                                     |           |
| lwa-r13                               | ENUMERATED {supported}              | OPTIONAL, |
| lwa-SplitBearer-r13                   | ENUMERATED {supported}              | OPTIONAL, |
| wlan-MAC-Address-r13                  | OCTET STRING (SIZE (6))             | OPTIONAL, |
| lwa-BufferSize-r13                    | ENUMERATED {supported}              | OPTIONAL  |
| }                                     |                                     |           |
| LWA-Parameters-v1430 ::= SEQUENCE {   |                                     |           |
| lwa-HO-WithoutWT-Change-r14           | ENUMERATED {supported}              | OPTIONAL, |
| lwa-UL-r14                            | ENUMERATED {supported}              | OPTIONAL, |
| wlan-PeriodicMeas-r14                 | ENUMERATED {supported}              | OPTIONAL, |
| wlan-ReportAnyWLAN-r14                | ENUMERATED {supported}              | OPTIONAL, |

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    wlan-SupportedDataRate-r14          INTEGER (1..2048)          OPTIONAL
  }
LWA-Parameters-v1440 ::=              SEQUENCE {
    lwa-RLC-UM-r14                      ENUMERATED {supported}    OPTIONAL
  }
WLAN-IW-Parameters-v1310 ::=         SEQUENCE {
    rclwi-r13                            ENUMERATED {supported}    OPTIONAL
  }
LWIP-Parameters-r13 ::=              SEQUENCE {
    lwip-r13                              ENUMERATED {supported}    OPTIONAL
  }
LWIP-Parameters-v1430 ::=            SEQUENCE {
    lwip-Aggregation-DL-r14              ENUMERATED {supported}    OPTIONAL,
    lwip-Aggregation-UL-r14             ENUMERATED {supported}    OPTIONAL
  }
NAICS-Capability-List-r12 ::= SEQUENCE (SIZE (1..maxNAICS-Entries-r12)) OF NAICS-Capability-Entry-
r12
NAICS-Capability-Entry-r12 ::= SEQUENCE {
    numberOfNAICS-CapableCC-r12          INTEGER(1..5),
    numberOfAggregatedPRB-r12           ENUMERATED {
        n50, n75, n100, n125, n150, n175,
        n200, n225, n250, n275, n300, n350,
        n400, n450, n500, spare},
    ...
  }
SL-Parameters-r12 ::=                SEQUENCE {
    commSimultaneousTx-r12               ENUMERATED {supported}    OPTIONAL,
    commSupportedBands-r12               FreqBandIndicatorListEUTRA-r12 OPTIONAL,
    discSupportedBands-r12               SupportedBandInfoList-r12  OPTIONAL,
    discScheduledResourceAlloc-r12       ENUMERATED {supported}    OPTIONAL,
    disc-UE-SelectedResourceAlloc-r12    ENUMERATED {supported}    OPTIONAL,
    disc-SLSS-r12                        ENUMERATED {supported}    OPTIONAL,
    discSupportedProc-r12                ENUMERATED {n50, n400}    OPTIONAL
  }
SL-Parameters-v1310 ::=              SEQUENCE {
    discSysInfoReporting-r13             ENUMERATED {supported}    OPTIONAL,
    commMultipleTx-r13                   ENUMERATED {supported}    OPTIONAL,
    discInterFreqTx-r13                 ENUMERATED {supported}    OPTIONAL,
    discPeriodicSLSS-r13                 ENUMERATED {supported}    OPTIONAL
  }
SL-Parameters-v1430 ::=              SEQUENCE {
    zoneBasedPoolSelection-r14           ENUMERATED {supported}    OPTIONAL,
    ue-AutonomousWithFullSensing-r14     ENUMERATED {supported}    OPTIONAL,
    ue-AutonomousWithPartialSensing-r14  ENUMERATED {supported}    OPTIONAL,
    sl-CongestionControl-r14             ENUMERATED {supported}    OPTIONAL,
    v2x-TxWithShortResvInterval-r14      ENUMERATED {supported}    OPTIONAL,
    v2x-numberTxRxTiming-r14            INTEGER(1..16)            OPTIONAL,
    v2x-nonAdjacentPSCCH-PSSCH-r14       ENUMERATED {supported}    OPTIONAL,
    slss-TxRx-r14                        ENUMERATED {supported}    OPTIONAL,
    v2x-SupportedBandCombinationList-r14  V2X-SupportedBandCombination-r14 OPTIONAL
  }
SL-Parameters-v1530 ::=              SEQUENCE {
    slss-SupportedTxFreq-r15             ENUMERATED {single, multiple} OPTIONAL,
    sl-64QAM-Tx-r15                      ENUMERATED {supported}    OPTIONAL,
    sl-TxDiversity-r15                   ENUMERATED {supported}    OPTIONAL,
    ue-CategorySL-r15                    UE-CategorySL-r15        OPTIONAL,
    v2x-SupportedBandCombinationList-v1530 V2X-SupportedBandCombination-v1530 OPTIONAL
  }
SL-Parameters-v1540 ::=              SEQUENCE {
    sl-64QAM-Rx-r15                      ENUMERATED {supported}    OPTIONAL,
    sl-RateMatchingTBSScaling-r15        ENUMERATED {supported}    OPTIONAL,
    sl-LowT2min-r15                      ENUMERATED {supported}    OPTIONAL,
    v2x-SensingReportingMode3-r15        ENUMERATED {supported}    OPTIONAL
  }
UE-CategorySL-r15 ::=                SEQUENCE {

```



```

    ue-CategorySL-C-TX-r15          INTEGER(1..5),
    ue-CategorySL-C-RX-r15         INTEGER(1..4)
}

V2X-SupportedBandCombination-r14 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF V2X-
BandCombinationParameters-r14

V2X-SupportedBandCombination-v1530 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF V2X-
BandCombinationParameters-v1530

V2X-BandCombinationParameters-r14 ::= SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF V2X-
BandParameters-r14

V2X-BandCombinationParameters-v1530 ::= SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF V2X-
BandParameters-v1530

SupportedBandInfoList-r12 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandInfo-r12

SupportedBandInfo-r12 ::= SEQUENCE {
    support-r12          ENUMERATED {supported} OPTIONAL
}

FreqBandIndicatorListEUTRA-r12 ::= SEQUENCE (SIZE (1..maxBands)) OF FreqBandIndicator-r11

MMTEL-Parameters-r14 ::= SEQUENCE {
    delayBudgetReporting-r14          ENUMERATED {supported} OPTIONAL,
    pusch-Enhancements-r14           ENUMERATED {supported} OPTIONAL,
    recommendedBitRate-r14           ENUMERATED {supported} OPTIONAL,
    recommendedBitRateQuery-r14      ENUMERATED {supported} OPTIONAL
}

SRS-CapabilityPerBandPair-r14 ::= SEQUENCE {
    retuningInfo          SEQUENCE {
        rf-RetuningTimeDL-r14          ENUMERATED {n0, n0dot5, n1, n1dot5, n2, n2dot5, n3,
n3dot5, n4, n4dot5, n5, n5dot5, n6, n6dot5,
n7, spare1} OPTIONAL,
        rf-RetuningTimeUL-r14         ENUMERATED {n0, n0dot5, n1, n1dot5, n2, n2dot5, n3,
n3dot5, n4, n4dot5, n5, n5dot5, n6, n6dot5,
n7, spare1} OPTIONAL
    }
}

SRS-CapabilityPerBandPair-v14b0 ::= SEQUENCE {
    srs-FlexibleTiming-r14           ENUMERATED {supported} OPTIONAL,
    srs-HARQ-ReferenceConfig-r14     ENUMERATED {supported} OPTIONAL
}

HighSpeedEnhParameters-r14 ::= SEQUENCE {
    measurementEnhancements-r14      ENUMERATED {supported} OPTIONAL,
    demodulationEnhancements-r14     ENUMERATED {supported} OPTIONAL,
    prach-Enhancements-r14           ENUMERATED {supported} OPTIONAL
}

-- ASN1STOP

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| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>accessStratumRelease</b><br>Set to rel15 in this version of the specification. NOTE 7.   | -                            |
| <b>additionalRx-Tx-PerformanceReq</b><br>Indicates whether the UE supports the additional Rx and Tx performance requirement for a given band combination as specified in TS 36.101 [42].  | -                            |
| <b>alternativeTBS-Indices</b><br>Indicates whether the UE supports alternative TBS indices $I_{TBS}$ 26A and 33A as specified in TS 36.213 [23].  | -                            |
| <b>alternativeTBS-Index</b><br>Indicates whether the UE supports alternative TBS index $I_{TBS}$ 33B as specified in TS 36.213 [23].  | No                           |
| <b>alternativeTimeToTrigger</b><br>Indicates whether the UE supports alternativeTimeToTrigger.  | No                           |
| <b>altMCS-Table</b><br>Indicates whether the UE supports the 6-bit MCS table as specified in TS 36.212 [22] and TS 36.213 [23].   | Yes                          |
| <b>aperiodicCSI-Reporting</b><br>Indicates whether the UE supports aperiodic CSI reporting with 3 bits of the CSI request field size as specified in TS 36.213 [23], clause 7.2.1 and/or aperiodic CSI reporting mode 1-0 and mode 1-1 as specified in TS 36.213 [23], clause 7.2.1. The first bit is set to "1" if the UE supports the aperiodic CSI reporting with 3 bits of the CSI request field size. The second bit is set to "1" if the UE supports the aperiodic CSI reporting mode 1-0 and mode 1-1. | No                           |
| <b>aperiodicCsi-ReportingSTTI</b><br>Indicates whether the UE supports aperiodic CSI reporting for short TTI as specified in TS 36.213 [23], clause 7.2.1.  | Yes                          |
| <b>appliedCapabilityFilterCommon</b><br>Contains the filter, applied by the UE, common for all MR-DC related capability containers that are requested and as defined by <i>UE-CapabilityRequestFilterCommon</i> IE in TS 38.331 [82].   | -                            |
| <b>assistInfoBitForLC</b><br>Indicates whether the UE supports assistance information bit for local cache.  | -                            |
| <b>aul</b><br>Indicates whether the UE supports AUL as specified in TS 36.321 [6].  | -                            |
| <b>bandCombinationListEUTRA</b><br>One entry corresponding to each supported band combination listed in the same order as in <i>supportedBandCombination</i> .  | -                            |
| <b>BandCombinationParameters-v1090, BandCombinationParameters-v10i0, BandCombinationParameters-v1270</b><br>If included, the UE shall include the same number of entries, and listed in the same order, as in <i>BandCombinationParameters-r10</i> .  | -                            |
| <b>BandCombinationParameters-v1130</b><br>The field is applicable to each supported CA bandwidth class combination (i.e. CA configuration in TS 36.101 [42], clause 5.6A.1) indicated in the corresponding band combination. If included, the UE shall include the same number of entries, and listed in the same order, as in <i>BandCombinationParameters-r10</i> .   | -                            |
| <b>bandEUTRA</b><br>E-UTRA band as defined in TS 36.101 [42]. In case the UE includes <i>bandEUTRA-v9e0</i> or <i>bandEUTRA-v1090</i> , the UE shall set the corresponding entry of <i>bandEUTRA</i> (i.e. without suffix) or <i>bandEUTRA-r10</i> respectively to <i>maxFBI</i> .  | -                            |
| <b>bandListEUTRA</b><br>One entry corresponding to each supported E-UTRA band listed in the same order as in <i>supportedBandListEUTRA</i> .  | -                            |
| <b>bandParameterList-v1380</b><br>If included, the UE shall include the same number of entries listed in the same order as the band entries in the corresponding band combination.  | -                            |
| <b>bandParametersUL, bandParametersDL</b><br>Indicates the supported parameters for the band. Each of <i>CA-MIMO-ParametersUL</i> and <i>CA-MIMO-ParametersDL</i> can be included only once for one band in a single band combination entry.  | -                            |
| <b>beamformed (in MIMO-CA-ParametersPerBoBCPerTM)</b><br>If signalled, the field indicates for a particular transmission mode, the UE capabilities concerning beamformed EBF/ FD-MIMO operation (class B) applicable for the concerned band combination.  | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>beamformed (in MIMO-UE-ParametersPerTM)</b><br>Indicates for a particular transmission mode, the UE capabilities concerning beamformed EBF/<br>FD-MIMO operation (class B) applicable for band combinations for which the concerned<br>capabilities are not signalled.   | Yes                          |
| <b>benefitsFromInterruption</b><br>Indicates whether the UE power consumption would benefit from being allowed to cause<br>interruptions to serving cells when performing measurements of deactivated SCell carriers for<br><i>measCycleSCell</i> of less than 640ms, as specified in TS 36.133 [16].   | No                           |
| <b>bwPrefInd</b><br>Indicates whether the UE supports maximum PDSCH/PUSCH bandwidth preference<br>indication.   | -                            |
| <b>ca-BandwidthClass</b><br>The CA bandwidth class supported by the UE as defined in TS 36.101 [42], Table 5.6A-1.<br>The UE explicitly includes all the supported CA bandwidth class combinations in the band<br>combination signalling. Support for one CA bandwidth class does not implicitly indicate support<br>for another CA bandwidth class.  | -                            |
| <b>ca-IdleModeMeasurements</b><br>Indicates whether UE supports reporting measurements performed during RRC_IDLE.   | -                            |
| <b>ca-IdleModeValidityArea</b><br>Indicates whether UE supports validity area for IDLE measurements during RRC_IDLE.  | -                            |
| <b>cch-IM-RefRecTypeA-OneRX-Port</b><br>This field defines whether the DL Category 1bis or the DL Category M2 UE supports Type A<br>downlink control channel interference mitigation (CCH-IM) receiver "LMMSE-IRC + CRS-IC" for<br>PDCCH/PCFICH/PHICH/EPDCCH receive processing (Enhanced downlink control channel<br>performance requirements Type A in TS 36.101 [6]).  | No                           |
| <b>cch-InterfMitigation-RefRecTypeA, cch-InterfMitigation-RefRecTypeB, cch-<br/>InterfMitigation-MaxNumCCs</b><br>The field <i>cch-InterfMitigation-RefRecTypeA</i> defines whether the UE supports Type A downlink<br>control channel interference mitigation (CCH-IM) receiver "LMMSE-IRC + CRS-IC" for<br>PDCCH/PCFICH/PHICH/EPDCCH receive processing (Enhanced downlink control channel<br>performance requirements Type A in the TS 36.101 [6]). The field <i>cch-InterfMitigation-<br/>RefRecTypeB</i> defines whether the UE supports Type B downlink CCH-IM receiver "E-LMMSE-<br>IRC + CRS-IC" for PDCCH/PCFICH/PHICH receive processing in synchronous networks<br>(Enhanced downlink control channel performance requirements Type B in the TS 36.101 [6]).<br>The UE supporting the capability defined by <i>cch-InterfMitigation-RefRecTypeB-r13</i> shall also<br>support the capability defined by <i>cch-InterfMitigation-RefRecTypeA-r13</i> .<br><br>If the UE sets one or more of the fields <i>cch-InterfMitigation-RefRecTypeA</i> and <i>cch-<br/>InterfMitigation-RefRecTypeB</i> to "supported", the UE shall include the parameter <i>cch-<br/>InterfMitigation-MaxNumCCs</i> to indicate that the UE supports CCH-IM on at least one arbitrary<br>downlink CC for up to <i>cch-InterfMitigation-MaxNumCCs</i> downlink CC CA configuration. The UE<br>shall not include the parameter <i>cch-InterfMitigation-MaxNumCCs</i> if neither <i>cch-InterfMitigation-<br/>RefRecTypeA</i> nor <i>cch-InterfMitigation-RefRecTypeB</i> is present. The UE may not perform<br>CCH-IM on more than 1 DL CCs. For example, the UE sets " <i>cch-InterfMitigation-MaxNumCCs</i><br>= 3" to indicate that UE supports CCH-IM on at least one DL CC for supported non-CA, 2DL<br>CA and 3DL CA configurations. For CA scenarios, the CCH-IM is guaranteed to be supported<br>on at least one arbitrary component carrier. | -                            |
| <b>cdma2000-NW-Sharing</b><br>Indicates whether the UE supports network sharing for CDMA2000.   | -                            |
| <b>ce-ClosedLoopTxAntennaSelection</b><br>Indicates whether the UE supports UL closed-loop Tx antenna selection in CE mode A, as<br>specified in TS 36.212 [22].  | Yes                          |
| <b>ce-CQI-AlternativeTable</b><br>Indicates whether the UE supports alternative CQI table in CE mode A. See TS 36.213 [22].   | Yes                          |
| <b>ce-CRS-IntfMitig</b><br>Indicates whether UE supports CRS interference mitigation, i.e., value <i>supported</i> indicates UE<br>does not rely on the CRS outside certain PRBs and subframes as defined in TS 36.133 [16],<br>clauses 3.6.1.2 and 3.6.1.3, and TS 36.213 [23] when operating in coverage enhancement<br>mode.   | Yes                          |
| <b>ce-HARQ-AckBundling</b><br>Indicates whether the UE supports HARQ-ACK bundling in half duplex FDD in CE mode A, as<br>specified in TS 36.212 [22] and TS 36.213 [23].  | -                            |
| <b>ce-ModeA, ce-ModeB</b><br>Indicates whether the UE supports operation in CE mode A and/or B, as specified in TS 36.211<br>[21] and TS 36.213 [23].   | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>ceMeasurements</b><br>Indicates whether the UE supports intra-frequency RSRQ measurements and inter-frequency RSRP and RSRQ measurements in RRC_CONNECTED, as specified in TS 36.133 [16] and TS 36.304 [4].   | -                            |
| <b>ce-PDSCH-64QAM</b><br>Indicates whether the UE supports 64QAM for non-repeated unicast PDSCH in CE mode A.   | Yes                          |
| <b>ce-PDSCH-FlexibleStartPRB-CE-ModeA, ce-PDSCH-FlexibleStartPRB-CE-ModeB, ce-PUSCH-FlexibleStartPRB-CE-ModeA, ce-PUSCH-FlexibleStartPRB-CE-ModeB</b><br>This field indicates whether UE supports flexible starting PRB for PDSCH/PUSCH when operating in coverage enhancement mode A/B, as specified in TS 36.211 [21] and TS 36.213 [22].   | Yes                          |
| <b>ce-PDSCH-PUSCH-Enhancement</b><br>Indicates whether the UE supports new numbers of repetitions for PUSCH and modulation restrictions for PDSCH/PUSCH in CE mode A as specified in TS 36.212 [22] and TS 36.213 [23].   | No                           |
| <b>ce-PDSCH-PUSCH-MaxBandwidth</b><br>Indicates the maximum supported PDSCH/PUSCH channel bandwidth in CE mode A and B, as specified in TS 36.212 [22] and TS 36.213 [23]. Value bw5 corresponds to 5 MHz and value bw20 corresponds to 20 MHz. If the field is absent the maximum PDSCH/PUSCH channel bandwidth in CE mode A and B is 1.4 MHz. If the setting of this parameter is 20 MHz, the max supported PUSCH channel bandwidth in CE mode A is 5 MHz. The maximum PUSCH channel bandwidth in CE mode B is 1.4 MHz regardless of the setting of this parameter. Parameter: transmission bandwidth configuration, see TS 36.101 [42], table 5.6-1. | Yes                          |
| <b>ce-PDSCH-TenProcesses</b><br>Indicates whether the UE supports 10 DL HARQ processes in FDD in CE mode A.   | Yes                          |
| <b>ce-PUCCH-Enhancement</b><br>Indicates whether the UE supports repetition levels 64 and 128 for PUCCH in CE Mode B, as specified in TS 36.211 [21] and in TS 36.213 [23].   | No                           |
| <b>ce-PUSCH-NB-MaxTBS</b><br>Indicates whether the UE supports 2984 bits max UL TBS in 1.4 MHz in CE mode A operation, as specified in TS 36.212 [22] and TS 36.213 [23].   | Yes                          |
| <b>ce-PUSCH-SubPRB-Allocation</b><br>Indicates whether the UE supports sub-PRB resource allocation for PUSCH in CE mode A or B, as specified in TS 36.211 [21], TS 36.212 [22] and TS 36.213 [23].  | Yes                          |
| <b>ce-RetuningSymbols</b><br>Indicates the number of retuning symbols in CE mode A and B as specified in TS 36.211 [21]. Value n0 corresponds to 0 retuning symbols and value n1 corresponds to 1 retuning symbol. If the field is absent the number of retuning symbols in CE mode A and B is 2.   | No                           |
| <b>ce-SchedulingEnhancement</b><br>Indicates whether the UE supports dynamic HARQ-ACK delay for HD-FDD in CE mode A as specified in TS 36.212 [22] and TS 36.213 [23].  | No                           |
| <b>ce-SRS-Enhancement</b><br>Indicates whether the UE supports SRS coverage enhancement in TDD with support of SRS combs 2 and 4 as specified in TS 36.213 [23]. This field can be included only if <i>ce-SRS-EnhancementWithoutComb4</i> is not included.  | Yes                          |
| <b>ce-SRS-EnhancementWithoutComb4</b><br>Indicates whether the UE supports SRS coverage enhancement in TDD with support of SRS comb 2 but without support of SRS comb 4 as specified in TS 36.213 [23]. This field can be included only if <i>ce-SRS-Enhancement</i> is not included.   | -                            |
| <b>ce-SwitchWithoutHO</b><br>Indicates whether the UE supports switching between normal mode and enhanced coverage mode without handover.   | -                            |
| <b>ce-UL-HARQ-ACK-Feedback</b><br>This field indicates whether UE supports uplink HARQ ACK feedback when operating in coverage enhancement, as specified in TS36.213 [22].  | Yes                          |
| <b>channelMeasRestriction</b><br>Indicates for a particular transmission mode whether the UE supports channel measurement restriction.  | Yes                          |
| <b>codebook-HARQ-ACK</b><br>Indicates whether the UE supports determining HARQ ACK codebook size based on the DAI-based solution and/or the number of configured CCs. The first bit is set to "1" if the UE supports the DAI-based codebook size determination. The second bit is set to "1" if the UE supports the codebook determination based on the number of configured CCs.   | No                           |

| <b>UE-EUTRA-Capability field descriptions</b>  | <b>FDD/<br/>TDD<br/>diff</b> |
|--|------------------------------|
| <b>commMultipleTx</b><br>Indicates whether the UE supports multiple transmissions of sidelink communication to different destinations in one SC period. If <i>commMultipleTx-r13</i> is set to supported then the UE support 8 transmitting sidelink processes.  | -                            |
| <b>commSimultaneousTx</b><br>Indicates whether the UE supports simultaneous transmission of EUTRA and sidelink communication (on different carriers) in all bands for which the UE indicated sidelink support in a band combination (using <i>commSupportedBandsPerBC</i> ).   | -                            |
| <b>commSupportedBands</b><br>Indicates the bands on which the UE supports sidelink communication, by an independent list of bands i.e. separate from the list of supported E-UTRA band, as indicated in <i>supportedBandListEUTRA</i> .  | -                            |
| <b>commSupportedBandsPerBC</b><br>Indicates, for a particular band combination, the bands on which the UE supports simultaneous reception of EUTRA and sidelink communication. If the UE indicates support simultaneous transmission (using <i>commSimultaneousTx</i> ), it also indicates, for a particular band combination, the bands on which the UE supports simultaneous transmission of EUTRA and sidelink communication. The first bit refers to the first band included in <i>commSupportedBands</i> , with value 1 indicating sidelink is supported.   | -                            |
| <b>configN (in MIMO-CA-ParametersPerBoBCPerTM)</b><br>If signalled, the field indicates for a particular transmission mode whether the UE supports non-precoded EBF/ FD-MIMO (class A) related configuration N for the concerned band combination.   | -                            |
| <b>configN (in MIMO-UE-ParametersPerTM)</b><br>Indicates for a particular transmission mode whether the UE supports non-precoded EBF/ FD-MIMO (class A) related configuration N for band combinations for which the concerned capabilities are not signalled.  | Yes                          |
| <b>crossCarrierScheduling</b>  | Yes                          |
| <b>crossCarrierScheduling-B5C</b><br>Indicates whether the UE supports cross carrier scheduling beyond 5 DL CCs.   | No                           |
| <b>crossCarrierSchedulingLAA-DL</b><br>Indicates whether the UE supports cross-carrier scheduling from a licensed carrier for LAA cell(s) for downlink. This field can be included only if <i>downlinkLAA</i> is included.   | -                            |
| <b>crossCarrierSchedulingLAA-UL</b><br>Indicates whether the UE supports cross-carrier scheduling from a licensed carrier for LAA cell(s) for uplink. This field can be included only if <i>uplinkLAA</i> is included.   | -                            |
| <b>crs-DiscoverySignalsMeas</b><br>Indicates whether the UE supports CRS based discovery signals measurement, and PDSCH/EPDCCH RE mapping with zero power CSI-RS configured for discovery signals.   | Yes                          |
| <b>crs-IM-TM1-toTM9-OneRX-Port</b><br>Indicates whether the DL Category 1bis UE or the DL Category M2 UE supports CRS interference mitigation (IM) while operating in the following transmission modes (TM): TM 1, TM 2, ..., TM 8 and TM 9.   | No                           |
| <b>crs-InterfHandl</b><br>Indicates whether the UE supports CRS interference handling.   | Yes                          |
| <b>crs-InterfMitigationTM10</b><br>The field defines whether the UE supports CRS interference mitigation in transmission mode 10. The UE supporting the <i>crs-InterfMitigationTM10</i> capability shall also support the <i>crs-InterfHandl</i> capability.   | No                           |
| <b>crs-InterfMitigationTM1toTM9</b><br>Indicates whether the UE supports CRS interference mitigation (IM) while operating in the following transmission modes (TM): TM 1, TM 2, ..., TM 8 and TM 9. The UE shall not include the field if it does not support CRS IM in TMs 1-9. If the field is present, the UE supports CRS-IM on at least one arbitrary downlink CC for up to <i>crs-InterfMitigationTM1toTM9-r13</i> downlink CC CA configuration. The UE signals <i>crs-InterfMitigationTM1toTM9-r13</i> value to indicate the maximum <i>crs-InterfMitigationTM1toTM9-r13</i> downlink CC CA configuration where UE may apply CRS IM. For example, the UE sets " <i>crs-InterfMitigationTM1toTM9-r13</i> = 3" to indicate that the UE supports CRS-IM on at least one DL CC for supported non-CA, 2DL CA and 3DL CA configurations. The UE supporting the <i>crs-InterfMitigationTM1toTM9-r13</i> capability shall also support the <i>crs-InterfHandl-r11</i> capability. | -                            |
| <b>crs-IntfMitig</b><br>Indicate whether the UE supports CRS interference mitigation as specified in TS 36.133 [16], clause 3.6.1.1.   | Yes                          |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>crs-LessDwPTS</b><br>Indicates whether the UE supports TDD special subframe configuration 10 without CRS transmission on the 5th symbol of DwPTS, i.e. <i>ssp10-CRS-LessDwPTS</i> , as specified in TS 36.211 [17].  | -                            |
| <b>csi-ReportingAdvanced, csi-ReportingAdvancedMaxPorts (in MIMO-CA-ParametersPerBoBCPerTM)</b><br>If signalled, the field indicates that for a particular transmission mode, the maximum number of CSI-RS ports supported by the UE for advanced CSI reporting is different in the concerned band of band combination than the value indicated by the field <i>csi-ReportingAdvanced</i> or <i>csi-ReportingAdvancedMaxPorts</i> in <i>MIMO-UE-ParametersPerTM</i> . The UE shall not include both <i>csi-ReportingAdvanced</i> and <i>csi-ReportingAdvancedMaxPorts</i> for a particular transmission mode in the concerned band of band combination.   | -                            |
| <b>csi-ReportingAdvanced (in MIMO-UE-ParametersPerTM)</b><br>Indicates for a particular transmission mode the maximum number of CSI-RS ports supported by the UE for advanced CSI reporting. The field <i>csi-ReportingAdvanced</i> indicates 32 CSI-RS ports. The UE shall not include both <i>csi-ReportingAdvanced</i> and <i>csi-ReportingAdvancedMaxPorts</i> for a particular transmission mode.  | Yes                          |
| <b>csi-ReportingAdvancedMaxPorts (in MIMO-UE-ParametersPerTM)</b><br>Indicates for a particular transmission mode the maximum number of CSI-RS ports supported by the UE for advanced CSI reporting. The field <i>csi-ReportingAdvancedMaxPorts</i> indicates 8, 12, 16, 20, 24 or 28 CSI-RS ports. The UE shall not include both <i>csi-ReportingAdvanced</i> and <i>csi-ReportingAdvancedMaxPorts</i> for a particular transmission mode.   | -                            |
| <b>csi-ReportingNP (in MIMO-CA-ParametersPerBoBCPerTM)</b><br>If signalled, value <i>different</i> indicates that for a particular transmission mode, the CSI reporting on non-precoded CSI-RS with 20, 24, 28 or 32 antenna ports for the concerned band of band combination is different than the value indicated by field <i>csi-ReportingNP</i> in <i>MIMO-UE-ParametersPerTM</i> .   | -                            |
| <b>csi-ReportingNP (in MIMO-UE-ParametersPerTM)</b><br>Indicates for a particular transmission mode whether the UE supports CSI reporting on non-precoded CSI-RS with 20, 24, 28, or 32 antenna ports for band combinations for which the concerned capabilities are not signalled in <i>MIMO-CA-ParametersPerBoBCPerTM</i> , and the FD-MIMO processing capability condition as described in NOTE 8 is satisfied.  | Yes                          |
| <b>csi-RS-DiscoverySignalsMeas</b><br>Indicates whether the UE supports CSI-RS based discovery signals measurement. If this field is included, the UE shall also include <i>crs-DiscoverySignalsMeas</i> .  | Yes                          |
| <b>csi-RS-DRS-RRM-MeasurementsLAA</b><br>Indicates whether the UE supports performing RRM measurements on LAA cell(s) based on CSI-RS-based DRS. This field can be included only if <i>downlinkLAA</i> is included.   | -                            |
| <b>csi-RS-EnhancementsTDD</b><br>Indicates for a particular transmission mode whether the UE supports CSI-RS enhancements applicable for TDD.   | Yes                          |
| <b>csi-SubframeSet</b><br>Indicates whether the UE supports REL-12 DL CSI subframe set configuration, REL-12 DL CSI subframe set dependent CSI measurement/feedback, configuration of up to 2 CSI-IM resources for a CSI process with no more than 4 CSI-IM resources for all CSI processes of one frequency if the UE supports tm10, configuration of two ZP-CSI-RS for tm1 to tm9, PDSCH RE mapping with two ZP-CSI-RS configurations, and EPDCCH RE mapping with two ZP-CSI-RS configurations if the UE supports EPDCCH. This field is only applicable for UEs supporting TDD.   | Yes                          |
| <b>dataInactMon</b><br>Indicates whether the UE supports the data inactivity monitoring as specified in TS 36.321 [6].  | -                            |
| <b>dc-Support</b><br>Including this field indicates that the UE supports synchronous DC and power control mode 1. Including this field for a band combination entry comprising of single band entry indicates that the UE supports intra-band contiguous DC. Including this field for a band combination entry comprising of two or more band entries, indicates that the UE supports DC for these bands and that the serving cells corresponding to a band entry shall belong to one cell group (i.e. MCG or SCG). Including field <i>asynchronous</i> indicates that the UE supports asynchronous DC and power control mode 2. Including this field for a TDD/FDD band combination indicates that the UE supports TDD/FDD DC for this band combination. | -                            |
| <b>delayBudgetReporting</b><br>Indicates whether the UE supports delay budget reporting.  | No                           |
| <b>demodulationEnhancements</b><br>This field defines whether the UE supports advanced receiver in SFN scenario as specified in TS 36.101 [42].   | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>densityReductionNP, densityReductionBF</b><br>Indicates whether the UE supports CSI-RS density reduction with values 1, 1/2 and 1/3 for non-precoded CSI-RS and beamformed CSI-RS respectively.  | Yes                          |
| <b>deviceType</b><br>UE may set the value to " <i>noBenFromBatConsumpOpt</i> " when it does not foresee to particularly benefit from NW-based battery consumption optimisation. Absence of this value means that the device does benefit from NW-based battery consumption optimisation.  | -                            |
| <b>diffFallbackCombReport</b><br>Indicates that the UE supports reporting of UE radio access capabilities for the CA band combinations asked by the eNB as well as, if any, reporting of different UE radio access capabilities for their fallback band combination as specified in TS 36.306 [5]. The UE does not report fallback combinations if their UE radio access capabilities are the same as the ones for the CA band combination asked by the eNB.                                | -                            |
| <b>differentFallbackSupported</b><br>Indicates that the UE supports different capabilities for at least one fallback case of this band combination.   | -                            |
| <b>directSCellActivation</b><br>Indicates whether the UE supports having an SCell configured in activated SCell state.  | -                            |
| <b>directSCellHibernation</b><br>Indicates whether the UE supports having an SCell configured in dormant SCell state.   | -                            |
| <b>discInterFreqTx</b><br>Indicates whether the UE support sidelink discovery announcements either a) on the primary frequency only or b) on other frequencies also, regardless of the UE configuration (e.g. CA, DC). The UE may set <i>discInterFreqTx</i> to supported when having a separate transmitter or if it can request sidelink discovery transmission gaps.   | -                            |
| <b>discoverySignalsInDeactSCell</b><br>Indicates whether the UE supports the behaviour on DL signals and physical channels when SCell is deactivated and discovery signals measurement is configured as specified in TS 36.211 [21], clause 6.11A. This field is included only if UE supports carrier aggregation and includes <i>crs-DiscoverySignalsMeas</i> .  | Yes                          |
| <b>discPeriodicSLSS</b><br>Indicates whether the UE supports periodic (i.e. not just one time before sidelink discovery announcement) Sidelink Synchronization Signal (SLSS) transmission and reception for sidelink discovery.   | -                            |
| <b>discScheduledResourceAlloc</b><br>Indicates whether the UE supports transmission of discovery announcements based on network scheduled resource allocation.  | -                            |
| <b>disc-UE-SelectedResourceAlloc</b><br>Indicates whether the UE supports transmission of discovery announcements based on UE autonomous resource selection.  | -                            |
| <b>disc-SLSS</b><br>Indicates whether the UE supports Sidelink Synchronization Signal (SLSS) transmission and reception for sidelink discovery.   | -                            |
| <b>discSupportedBands</b><br>Indicates the bands on which the UE supports sidelink discovery. One entry corresponding to each supported E-UTRA band, listed in the same order as in <i>supportedBandListEUTRA</i> .   | -                            |
| <b>discSupportedProc</b><br>Indicates the number of processes supported by the UE for sidelink discovery.   | -                            |
| <b>discSysInfoReporting</b><br>Indicates whether the UE supports reporting of system information for inter-frequency/PLMN sidelink discovery.   | -                            |
| <b>dl-256QAM</b><br>Indicates whether the UE supports 256QAM in DL on the band.   | -                            |
| <b>dl-1024QAM</b><br>Indicates whether the UE supports 1024QAM in DL on the band or on the band within the band combination. When <i>dl-1024QAM-ScalingFactor</i> and <i>dl-1024QAM-TotalWeightedLayers</i> are included, the UE supports 1024QAM in a set of CCs in a band combination if the CCs belong to bands indicated to support 1024QAM in that band combination and the 1024QAM processing capability condition as specified in equation 4.3.5.31-1 in TS 36.306 [5] is satisfied. | -                            |
| <b>dl-1024QAM-ScalingFactor</b><br>Indicates scaling factor for processing a CC configured with 1024QAM with respect to a CC not configured with 1024QAM as described in 4.3.5.31 in TS 36.306 [5]. Value <i>v1</i> indicates 1, value <i>v1dot2</i> indicates 1.2 and value <i>v1dot25</i> indicates 1.25.   | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>dl-1024QAM-TotalWeightedLayers</b><br>Indicates total number of weighted layers the UE can process for 1024QAM as described in 4.3.5.31 in TS 36.306 [5]. Actual value = (10 + indicated value x 2), i.e., value 0 indicates 10 layers, value 1 indicates 12 layers and so on.   | -                            |
| <b>dl-1024QAM-Slot</b><br>Indicates whether the UE supports 1024QAM in DL on the band for slot TTI operation.   | -                            |
| <b>dl-1024QAM-SubslotTA-1</b><br>Indicates whether the UE supports 1024QAM in DL on the band for subslot TTI operation with TA set 1.   | -                            |
| <b>dl-1024QAM-SubslotTA-2</b><br>Indicates whether the UE supports 1024QAM in DL on the band for subslot TTI operation with TA set 2, dmrsBasedSPDCCH-nonMBSFN  | -                            |
| <b>dmrs-BasedSPDCCH-MBSFN</b><br>Indicates whether the UE supports sDCI monitoring in DMRS based SPDCCH for MBSFN subframe. If UE supports this, it also provides the corresponding DMRS based SPDCCH capability in <i>min-Proc-TimelineSubslot</i> .   | Yes                          |
| <b>dmrs-BasedSPDCCH-nonMBSFN</b><br>Indicates whether the UE supports sDCI monitoring in DMRS based SPDCCH for non-MBSFN subframe. If UE supports this, it also provides the corresponding DMRS based SPDCCH capability in <i>min-Proc-TimelineSubslot</i> .  | Yes                          |
| <b>dmrs-Enhancements (in MIMO-CA-ParametersPerBoBCPerTM)</b><br>If signalled, the field indicates for a particular transmission mode, that for the concerned band combination the DMRS enhancements are different than the value indicated by field <i>dmrs-Enhancements</i> in <i>MIMO-UE-ParametersPerTM</i> .                                      | -                            |
| <b>dmrs-Enhancements (in MIMO-UE-ParametersPerTM)</b><br>Indicates for a particular transmission mode whether the UE supports DMRS enhancements for the indicated transmission mode.  | Yes                          |
| <b>dmrs-LessUpPTS</b><br>Indicates whether the UE supports not to transmit DMRS for PUSCH in UpPTS.   | No                           |
| <b>dmrs-OverheadReduction</b><br>Indicates whether the UE supports OCC4 for rank 3 and 4 transmission as specified in clause 5.3.3.1.5C of TS 36.212 [22].  | Yes                          |
| <b>dmrs-PositionPattern</b><br>Indicates whether the UE supports uplink DMRS position pattern 'D D D' in subslot #5 with application of the 1/6 as the TBS scaling factor.  | Yes                          |
| <b>dmrs-RepetitionSubslotPDSCH</b><br>Indicates whether the UE supports back-to-back 3/4-layer DMRS reception in two consecutive subslots across subframe boundary for subslot-PDSCH.   | Yes                          |
| <b>dmrs-SharingSubslotPDSCH</b><br>Indicates whether the UE supports DMRS sharing in two consecutive subslots across subframe boundary for subslot-PDSCH.   | Yes                          |
| <b>dormantSCellState</b><br>Indicates whether UE supports Dormant SCell state (i.e. SCell state with CQI and RRM measurement reporting but no PDCCH monitoring).  | -                            |
| <b>downlinkLAA</b><br>Presence of the field indicates that the UE supports downlink LAA operation including identification of downlink transmissions on LAA cell(s) for full downlink subframes, decoding of common downlink control signalling on LAA cell(s), CSI feedback for LAA cell(s), RRM measurements on LAA cell(s) based on CRS-based DRS. | -                            |
| <b>drb-TypeSCG</b><br>Indicates whether the UE supports SCG bearer.   | -                            |
| <b>drb-TypeSplit</b><br>Indicates whether the UE supports split bearer except for PDCP data transfer in UL.   | -                            |
| <b>dtm</b><br>Indicates whether the UE supports DTM in GERAN.   | -                            |
| <b>earlyData-UP</b><br>Indicates whether the UE supports UP-EDT.  | -                            |
| <b>e-CSFB-1XRTT</b><br>Indicates whether the UE supports enhanced CS fallback to CDMA2000 1xRTT or not.   | Yes                          |
| <b>e-CSFB-ConcPS-Mob1XRTT</b><br>Indicates whether the UE supports concurrent enhanced CS fallback to CDMA2000 1xRTT and PS handover/ redirection to CDMA2000 HRPD.   | Yes                          |



| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>e-CSFB-dual-1XRTT</b><br>Indicates whether the UE supports enhanced CS fallback to CDMA2000 1xRTT for dual Rx/Tx configuration. This bit can only be set to supported if <i>tx-Config1XRTT</i> and <i>rx-Config1XRTT</i> are both set to dual.   | Yes                          |
| <b>e-HARQ-Pattern-FDD</b><br>Indicates whether the UE supports enhanced HARQ pattern for TTI bundling operation for FDD.  | Yes                          |
| <b>eLCID-Support</b><br>Indicates whether the UE supports LCID "10000" and MAC PDU subheader containing the eLCID field as described in TS 36.321 [6].  | -                            |
| <b>emptyUnicastRegion</b><br>Indicates whether the UE supports unicast reception in subframes with empty unicast control region as described in TS 36.213 [23] clause 12. This field can be included only if <i>unicast-fembmsMixedSCell</i> and <i>crossCarrierScheduling</i> are included.              | No                           |
| <b>en-DC</b><br>Indicates whether the UE supports EN-DC.  | -                            |
| <b>endingDwPTS</b><br>Indicates whether the UE supports reception ending with a subframe occupied for a DwPTS-duration as described in TS 36.211 [21] and TS 36.213 [23]. This field can be included only if <i>downlinkLAA</i> is included.  | -                            |
| <b>Enhanced-4TxCodebook</b><br>Indicates whether the UE supports enhanced 4Tx codebook.   | No                           |
| <b>enhancedDualLayerTDD</b><br>Indicates whether the UE supports enhanced dual layer (PDSCH transmission mode 8) for TDD or not.  | -                            |
| <b>ePDCCH</b><br>Indicates whether the UE can receive DCI on UE specific search space on Enhanced PDCCH.  | Yes                          |
| <b>epdcch-SPT-differentCells</b><br>Indicates whether the UE supports EPDCCH and short processing time on different serving cells.  | Yes                          |
| <b>epdcch-STTI-differentCells</b><br>Indicates whether the UE supports EPDCCH and sTTI on different serving cells.  | Yes                          |
| <b>e-RedirectionUTRA</b>  | Yes                          |
| <b>e-RedirectionUTRA-TDD</b><br>Indicates whether the UE supports enhanced redirection to UTRA TDD to multiple carrier frequencies both with and without using related SIB provided by <i>RRCCConnectionRelease</i> or not.   | Yes                          |
| <b>eutra-5GC</b><br>Indicates whether the UE supports E-UTRA/5GC.   | Yes                          |
| <b>eutra-5GC-HO-ToNR-FDD-FR1</b><br>Indicates whether the UE supports handover from E-UTRA/5GC to NR FDD FR1.   | Yes                          |
| <b>eutra-5GC-HO-ToNR-TDD-FR1</b><br>Indicates whether the UE supports handover from E-UTRA/5GC to NR TDD FR1.   | Yes                          |
| <b>eutra-5GC-HO-ToNR-FDD-FR2</b><br>Indicates whether the UE supports handover from E-UTRA/5GC to NR FDD FR2.   | Yes                          |
| <b>eutra-5GC-HO-ToNR-TDD-FR2</b><br>Indicates whether the UE supports handover from E-UTRA/5GC to NR TDD FR2.   | Yes                          |
| <b>eutra-CGI-Reporting-ENDC</b><br>Indicates whether the UE supports Intra-RAT report CGI procedure when it is configured with (NG) EN-DC wherein either MN and SN have different DRX cycles, or on-duration configured by MN does not contain on-duration configured by SN if their DRX cycles are same. | Yes                          |
| <b>eutra-CGI-Reporting-NEDC</b><br>Indicates whether the UE supports acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell and reporting the acquired information to the network when the NE-DC is configured                                    | Yes                          |
| <b>eutra-EPC-HO-ToNR-FDD-FR1</b><br>Indicates whether the UE supports handover from E-UTRA/EPC to NR FDD FR1.   | Yes                          |
| <b>eutra-EPC-HO-ToNR-TDD-FR1</b><br>Indicates whether the UE supports handover from E-UTRA/EPC to NR TDD FR1.   | Yes                          |
| <b>eutra-EPC-HO-ToNR-FDD-FR2</b><br>Indicates whether the UE supports handover from E-UTRA/EPC to NR FDD FR2.   | Yes                          |
| <b>eutra-EPC-HO-ToNR-TDD-FR2</b><br>Indicates whether the UE supports handover from E-UTRA/EPC to NR TDD FR2.   | Yes                          |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>eutra-EPC-HO-EUTRA-5GC</b><br>Indicates whether the UE supports handover between E-UTRA/EPC and E-UTRA/5GC.  | Yes                          |
| <b>eventB2</b><br>Indicates whether the UE supports event B2. A UE supporting NR SA operation shall set this bit to <i>supported</i> .  | -                            |
| <b>extendedFreqPriorities</b><br>Indicates whether the UE supports extended E-UTRA frequency priorities indicated by <i>cellReselectionSubPriority</i> field. A UE supporting NR SA operation shall set this bit to <i>supported</i> .  | -                            |
| <b>extendedLCID-Duplication</b><br>Indicates whether the UE supports use of extended LCIDs 32-38 for PDCP duplication.  | -                            |
| <b>extendedLongDRX</b><br>Indicates whether the UE supports extended long DRX cycle values of 5.12s and 10.24s in RRC_CONNECTED.  | -                            |
| <b>extendedMAC-LengthField</b><br>Indicates whether the UE supports the MAC header with L field of size 16 bits as specified in TS 36.321 [6], clause 6.2.1.  | -                            |
| <b>extendedMaxMeasId</b><br>Indicates whether the UE supports extended number of measurement identities as defined by <i>maxMeasId-r12</i> .  | No                           |
| <b>extendedMaxObjectld</b><br>Indicates whether the UE supports extended number of measurement object identities as defined by <i>maxObjectld-r13</i> .   | No                           |
| <b>extendedNumberOfDRBs</b><br>Indicates whether the UE supports up to 15 DRBs. The UE shall support any combination of RLC AM and RLC UM entities for the configured DRBs.   | -                            |
| <b>extendedPollByte</b><br>Indicates whether the UE supports extended pollByte values as defined by <i>pollByte-r14</i> .   | -                            |
| <b>extended-RLC-LI-Field</b><br>Indicates whether the UE supports 15 bit RLC length indicator.  | -                            |
| <b>extendedRLC-SN-SO-Field</b><br>Indicates whether the UE supports 16 bits of RLC sequence number and segmentation offset.   | -                            |
| <b>extendedRSRQ-LowerRange</b><br>Indicates whether the UE supports the extended RSRQ lower value range from -34dB to -19.5dB in measurement configuration and reporting as specified in TS 36.133 [16].  | No                           |
| <b>fdd-HARQ-TimingTDD</b><br>Indicates whether UE supports FDD HARQ timing for TDD SCell when configured with TDD PCell.  | Yes                          |
| <b>featureGroupIndicators, featureGroupIndRel9Add, featureGroupIndRel10</b><br>The definitions of the bits in the bit string are described in Annex B.1 (for <i>featureGroupIndicators</i> and <i>featureGroupIndRel9Add</i> ) and in Annex C.1 (for <i>featureGroupIndRel10</i> ).   | Yes                          |
| <b>featureSetsDL-PerCC</b><br>In MR-DC, indicates a set of features that the UE supports on one component carrier in a bandwidth class for a band in a given band combination. The UE shall hence include at least as many <i>FeatureSetDL-PerCC-Id</i> in this list as the number of carriers it supports according to the <i>ca-bandwidthClassDL</i> , except if indicating additional functionality by reducing the number of <i>FeatureSetDownlinkPerCC-Id</i> in the feature set. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the <i>FeatureSetDL-PerCC-Id</i> in this list. | -                            |
| <b>FeatureSetDL-PerCC-Id</b><br>In MR-DC, indicates the index position of the <i>FeatureSetDL-PerCC-r15</i> in the <i>featureSetsDL-PerCC-r15</i> list. Value 0 corresponds to the first element in the list, value 1 corresponds to the second element in the list, and so on. Value 32 is not used.   | -                            |
| <b>featureSetsUL-PerCC</b><br>In MR-DC, indicates a set of features that the UE supports on one component carrier in a bandwidth class for a band in a given band combination. The UE shall hence include at least as many <i>FeatureSetUL-PerCC-Id</i> in this list as the number of carriers it supports according to the <i>ca-bandwidthClassUL</i> , except if indicating additional functionality by reducing the number of <i>FeatureSetDownlinkPerCC-Id</i> in the feature set. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the <i>FeatureSetUL-PerCC-Id</i> in this list. | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>FeatureSetUL-PerCC-Id</b><br>In MR-DC, indicates the index position of the <i>FeatureSetUL-PerCC-r15</i> in the <i>featureSetsUL-PerCC-r15</i> list. Value 0 corresponds to the first element in the list, value 1 corresponds to the second element in the list, and so on. Value 32 is not used. | -                            |
| <b>fembmsMixedCell</b><br>Indicates whether the UE in RRC_CONNECTED supports MBMS reception with 15 kHz subcarrier spacings via MBSFN from FeMBMS/Unicast mixed cells on a frequency indicated in an <i>MBMSInterestIndication</i> message.   | -                            |
| <b>fembmsDedicatedCell</b><br>Indicates whether the UE in RRC_CONNECTED supports MBMS reception with 15 kHz subcarrier spacings via MBSFN from MBMS-dedicated cells on a frequency indicated in an <i>MBMSInterestIndication</i> message.   | -                            |
| <b>flexibleUM-AM-Combinations</b><br>Indicates whether the UE supports any combination of RLC UM and RLC AM bearers as long as the total number of bearers is at most 8, regardless of what FGI20 indicates.  | -                            |
| <b>flightPathPlan</b><br>Indicates whether UE supports reporting of flight path plan information.   | -                            |
| <b>fourLayerTM3-TM4</b><br>Indicates whether the UE supports 4-layer spatial multiplexing for TM3 and TM4.  | -                            |
| <b>fourLayerTM3-TM4 (in FeatureSetDL-PerCC)</b><br>Indicates whether the UE supports 4-layer spatial multiplexing for TM3 and TM4 for MR-DC within the indicated feature set. If this field is absent, UE supports two layer MIMO for TM3/TM4.  | -                            |
| <b>fourLayerTM3-TM4-perCC</b><br>Indicates whether the UE supports 4-layer spatial multiplexing for TM3 and TM4 for the component carrier.  | -                            |
| <b>frameStructureType-SPT</b><br>This field indicates the supported FS-type(s) for short processing time. The UE capability is reported per band combination. The reported FS-type(s) apply to the reported <i>maxNumberCCs-SPT-r15</i> for the given band combination.                               | -                            |
| <b>freqBandPriorityAdjustment</b><br>Indicates whether the UE supports the prioritization of frequency bands in <i>multiBandInfoList</i> over the band in <i>freqBandIndicator</i> as defined by <i>freqBandIndicatorPriority-r12</i> .   | -                            |
| <b>freqBandRetrieval</b><br>Indicates whether the UE supports reception of <i>requestedFrequencyBands</i> .   | -                            |
| <b>halfDuplex</b><br>If <i>halfDuplex</i> is set to true, only half duplex operation is supported for the band, otherwise full duplex operation is supported.   | -                            |
| <b>heightMeas</b><br>Indicates whether UE supports the measurement events H1/H2.  | -                            |
| <b>ho-EUTRA-5GC-FDD-TDD</b><br>Indicates whether the UE supports handover between E-UTRA/5GC FDD and E-UTRA/5GC TDD.  | No                           |
| <b>ho-InterfreqEUTRA-5GC</b><br>Indicates whether the UE supports inter frequency handover within E-UTRA/5GC.   | Yes                          |
| <b>hybridCSI</b><br>Indicates whether the UE supports hybrid CSI transmission as described in TS 36.213 [23].   | Yes                          |
| <b>immMeasBT</b><br>Indicates whether the UE supports Bluetooth measurements in RRC connected mode.   | -                            |
| <b>immMeasWLAN</b><br>Indicates whether the UE supports WLAN measurements in RRC connected mode.  | -                            |
| <b>ims-VoiceOverMCG-BearerEUTRA-5GC</b><br>Indicates whether the UE supports IMS voice over NR PDCP for MCG bearer for E-UTRA/5GC.  | No                           |
| <b>ims-VoiceOverNR-FR1</b><br>Indicates whether the UE supports IMS voice over NR FR1.  | No                           |
| <b>ims-VoiceOverNR-FR2</b><br>Indicates whether the UE supports IMS voice over NR FR2.  | No                           |
| <b>ims-VoiceOverNR-PDCP-MCG-Bearer</b><br>Indicates whether the UE supports IMS voice over NR PDCP with only MCG RLC bearer.  | Yes                          |
| <b>ims-VoiceOverNR-PDCP-SCG-Bearer</b><br>Indicates whether the UE supports IMS voice over NR PDCP with only SCG RLC bearer when configured with EN-DC.   | Yes                          |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>ims-VoNR-PDCP-SCG-NGENDC</b><br>Indicates whether the UE supports IMS voice over NR PDCP with only SCG RLC bearer when configured with NGEN-DC.  | Yes                          |
| <b>inactiveState</b><br>Indicates whether the UE supports RRC_INACTIVE.   | No                           |
| <b>incMonEUTRA</b><br>Indicates whether the UE supports increased number of E-UTRA carrier monitoring in RRC_IDLE and RRC_CONNECTED, as specified in TS 36.133 [16].  | No                           |
| <b>incMonUTRA</b><br>Indicates whether the UE supports increased number of UTRA carrier monitoring in RRC_IDLE and RRC_CONNECTED, as specified in TS 36.133 [16].   | No                           |
| <b>inDeviceCoexInd</b><br>Indicates whether the UE supports in-device coexistence indication as well as autonomous denial functionality.  | Yes                          |
| <b>inDeviceCoexInd-ENDC</b><br>Indicates whether the UE supports in-device coexistence indication for (NG)EN-DC operation. This field can be included only if <i>inDeviceCoexInd</i> is included. The UE supports <i>inDeviceCoexInd-ENDC</i> in the same duplexing modes as it supports <i>inDeviceCoexInd</i> .   | -                            |
| <b>inDeviceCoexInd-HardwareSharingInd</b><br>Indicates whether the UE supports indicating hardware sharing problems when sending the <i>InDeviceCoexIndication</i> , as well as omitting the TDM assistance information. A UE that supports hardware sharing indication shall also indicate support of LAA operation.   | -                            |
| <b>inDeviceCoexInd-UL-CA</b><br>Indicates whether the UE supports UL CA related in-device coexistence indication. This field can be included only if <i>inDeviceCoexInd</i> is included. The UE supports <i>inDeviceCoexInd-UL-CA</i> in the same duplexing modes as it supports <i>inDeviceCoexInd</i> .   | -                            |
| <b>interBandTDD-CA-WithDifferentConfig</b><br>Indicates whether the UE supports inter-band TDD carrier aggregation with different UL/DL configuration combinations. The first bit indicates UE supports the configuration combination of SCell DL subframes are a subset of PCell and PSCell by SIB1 configuration and the configuration combination of SCell DL subframes are a superset of PCell and PSCell by SIB1 configuration; the second bit indicates UE supports the configuration combination of SCell DL subframes are neither superset nor subset of PCell and PSCell by SIB1 configuration. This field is included only if UE supports inter-band TDD carrier aggregation. | -                            |
| <b>interferenceMeasRestriction</b><br>Indicates whether the UE supports interference measurement restriction.   | Yes                          |
| <b>interFreqBandList</b><br>One entry corresponding to each supported E-UTRA band listed in the same order as in <i>supportedBandListEUTRA</i> .  | -                            |
| <b>interFreqNeedForGaps</b><br>Indicates need for measurement gaps when operating on the E-UTRA band given by the entry in <i>bandListEUTRA</i> or on the E-UTRA band combination given by the entry in <i>bandCombinationListEUTRA</i> and measuring on the E-UTRA band given by the entry in <i>interFreqBandList</i> .   | -                            |
| <b>interFreqProximityIndication</b><br>Indicates whether the UE supports proximity indication for inter-frequency E-UTRAN CSG member cells.   | -                            |
| <b>interFreqRSTD-Measurement</b><br>Indicates whether the UE supports inter-frequency RSTD measurements for OTDOA positioning, as specified in TS 36.355 [54].  | Yes                          |
| <b>interFreqSI-AcquisitionForHO</b><br>Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring inter-frequency cell.   | Yes                          |
| <b>interRAT-BandList</b><br>One entry corresponding to each supported band of another RAT listed in the same order as in the <i>interRAT-Parameters</i> . The NR bands reported in <i>SupportedBandListNR</i> are excluded from this list.  | -                            |
| <b>interRAT-NeedForGaps</b><br>Indicates need for DL measurement gaps when operating on the E-UTRA band given by the entry in <i>bandListEUTRA</i> or on the E-UTRA band combination given by the entry in <i>bandCombinationListEUTRA</i> and measuring on the inter-RAT band given by the entry in the <i>interRAT-BandList</i> .   | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>  | <b>FDD/<br/>TDD<br/>diff</b> |
|--|------------------------------|
| <b>interRAT-ParametersWLAN</b><br>Indicates whether the UE supports WLAN measurements configured by <i>MeasObjectWLAN</i> with corresponding quantity and report configuration in the supported WLAN bands.  | -                            |
| <b>interRAT-PS-HO-ToGERAN</b><br>Indicates whether the UE supports inter-RAT PS handover to GERAN or not.  | Yes                          |
| <b>intraBandContiguousCC-InfoList</b><br>Indicates, per serving carrier of which the corresponding bandwidth class includes multiple serving carriers (i.e. bandwidth class B, C, D and so on), the maximum number of supported layers for spatial multiplexing in DL and the maximum number of CSI processes supported. The number of entries is equal to the number of component carriers in the corresponding bandwidth class. The UE shall support the setting indicated in each entry of the list regardless of the order of entries in the list. The UE shall include the field only if it supports 4-layer spatial multiplexing in transmission mode 3/4 for a subset of component carriers in the corresponding bandwidth class, or if the maximum number of supported layers for at least one component carrier is higher than <i>supportedMIMO-CapabilityDL-r10</i> in the corresponding bandwidth class, or if the number of CSI processes for at least one component carrier is higher than <i>supportedCSI-Proc-r11</i> in the corresponding band. This field may also be included for bandwidth class A but in such a case without including any sub-fields in <i>IntraBandContiguousCC-Info-r12</i> (see NOTE 6). | -                            |
| <b>intraFreqA3-CE-ModeA</b><br>Indicates whether the UE when operating in CE Mode A supports <i>eventA3</i> for intra-frequency neighbouring cells.  | -                            |
| <b>intraFreqA3-CE-ModeB</b><br>Indicates whether the UE when operating in CE Mode B supports <i>eventA3</i> for intra-frequency neighbouring cells.  | -                            |
| <b>intraFreq-CE-NeedForGaps</b><br>Indicates need for measurement gaps when operating in CE on the E-UTRA band given by the entry in <i>supportedBandListEUTRA</i> .   | -                            |
| <b>intraFreqHO-CE-ModeA</b><br>Indicates whether the UE when operating in CE Mode A supports intra-frequency handover.   | -                            |
| <b>intraFreqHO-CE-ModeB</b><br>Indicates whether the UE when operating in CE Mode B supports intra-frequency handover.   | -                            |
| <b>intraFreqProximityIndication</b><br>Indicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG member cells.  | -                            |
| <b>intraFreqSI-AcquisitionForHO</b><br>Indicates whether the UE supports, upon configuration of <i>si-RequestForHO</i> by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring intra-frequency cell.   | Yes                          |
| <b>k-Max (in MIMO-CA-ParametersPerBoBCPerTM)</b><br>If signalled, the field indicates for a particular transmission mode the maximum number of NZP CSI RS resource configurations supported within a CSI process applicable for the concerned band combination.  | No                           |
| <b>k-Max (in MIMO-UE-ParametersPerTM)</b><br>Indicates for a particular transmission mode the maximum number of NZP CSI RS resource configurations supported within a CSI process applicable for band combinations for which the concerned capabilities are not signalled.   | Yes                          |
| <b>laa-PUSCH-Mode1</b><br>Indicates whether the UE supports LAA PUSCH mode 1 as defined in TS 36.213 [23].   | -                            |
| <b>laa-PUSCH-Mode2</b><br>Indicates whether the UE supports LAA PUSCH mode 2 as defined in TS 36.213 [23].   | -                            |
| <b>laa-PUSCH-Mode3</b><br>Indicates whether the UE supports LAA PUSCH mode 3 as defined in TS 36.213 [23].   | -                            |
| <b>locationReport</b><br>Indicates whether the UE supports reporting of its geographical location information to eNB.  | -                            |
| <b>loggedMBSFNMeasurements</b><br>Indicates whether the UE supports logged measurements for MBSFN. A UE indicating support for logged measurements for MBSFN shall also indicate support for logged measurements in Idle mode.   | -                            |
| <b>loggedMeasBT</b><br>Indicates whether the UE supports Bluetooth measurements in RRC idle mode.  | -                            |
| <b>loggedMeasurementsIdle</b><br>Indicates whether the UE supports logged measurements in Idle mode.   | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>loggedMeasWLAN</b><br>Indicates whether the UE supports WLAN measurements in RRC idle mode.  | -                            |
| <b>logicalChannelSR-ProhibitTimer</b><br>Indicates whether the UE supports the <i>logicalChannelSR-ProhibitTimer</i> as defined in TS 36.321 [6].   | -                            |
| <b>longDRX-Command</b><br>Indicates whether the UE supports Long DRX Command MAC Control Element.   | -                            |
| <b>lwa</b><br>Indicates whether the UE supports LTE-WLAN Aggregation (LWA). The UE which supports LWA shall also indicate support of <i>interRAT-ParametersWLAN-r13</i> .   | -                            |
| <b>lwa-BufferSize</b><br>Indicates whether the UE supports the layer 2 buffer sizes for "with support for split bearers" as defined in Table 4.1-3 and 4.1A-3 of TS 36.306 [5] for LWA.   | -                            |
| <b>lwa-HO-WithoutWT-Change</b><br>Indicates whether the UE supports handover where LWA configuration is retained without WT change and using LWA end-marker for PDCP key change indication for LWA operation.   | -                            |
| <b>lwa-RLC-UM</b><br>Indicates whether the UE supports RLC UM for LWA bearer.   | -                            |
| <b>lwa-SplitBearer</b><br>Indicates whether the UE supports the split LWA bearer (as defined in TS 36.300 [9]).   | -                            |
| <b>lwa-UL</b><br>Indicates whether the UE supports UL transmission over WLAN for LWA bearer.  | -                            |
| <b>lwip</b><br>Indicates whether the UE supports LTE/WLAN Radio Level Integration with IPsec Tunnel (LWIP). The UE which supports LWIP shall also indicate support of <i>interRAT-ParametersWLAN-r13</i> .  | -                            |
| <b>lwip-Aggregation-DL, lwip-Aggregation-UL</b><br>Indicates whether the UE supports aggregation of LTE and WLAN over DL/UL LWIP. The UE that indicates support of LWIP aggregation over DL or UL shall also indicate support of <i>lwip</i> .  | -                            |
| <b>makeBeforeBreak</b><br>Indicates whether the UE supports intra-frequency Make-Before-Break handover, and whether the UE which indicates <i>dc-Parameters</i> supports intra-frequency Make-Before-Break SeNB change, as defined in TS 36.300 [9].  | -                            |
| <b>maximumCCsRetrieval</b><br>Indicates whether UE supports reception of <i>requestedMaxCCsDL</i> and <i>requestedMaxCCsUL</i> .  | -                            |
| <b>maxLayersMIMO-Indication</b><br>Indicates whether the UE supports the network configuration of <i>maxLayersMIMO</i> . If the UE supports <i>fourLayerTM3-TM4</i> or <i>intraBandContiguousCC-InfoList</i> or <i>FeatureSetDL-PerCC</i> for MR-DC, UE supports the configuration of <i>maxLayersMIMO</i> for these cases regardless of indicating <i>maxLayersMIMO-Indication</i> .   | -                            |
| <b>maxLayersSlotOrSubslotPUSCH</b><br>Indicates the maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  | Yes                          |
| <b>maxNumberCCs-SPT</b><br>Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) <i>frameStructureType-SPT-r15</i> supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short processing time.   | -                            |
| <b>maxNumberDL-CCs, maxNumberUL-CCs</b><br>Indicates for each TTI combination "sTTI-SupportedCombinations", the maximum number of supported DL CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI.   | -                            |
| <b>maxNumberDecoding</b><br>Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value * 32. Only values 5 to 32 can be used in this version of the specification.  | No                           |
| <b>maxNumberROHC-ContextSessions</b><br>Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in <i>supportedROHC-Profiles</i> . If the UE indicates both <i>maxNumberROHC-ContextSessions</i> and <i>maxNumberROHC-ContextSessions-r14</i> , same value shall be indicated. | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>maxNumberUpdatedCSI-Proc, maxNumberUpdatedCSI-Proc-SPT</b><br>Indicates the maximum number of CSI processes to be updated across CCs.  | No                           |
| <b>maxNumberUpdatedCSI-Proc-STTI-Comb77, maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set1, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set2</b><br>Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is applicable for {slot, slot}, Comb27 for {subslot, slot}, Comb22-Set1 for {subslot, subslot} processing timeline set 1 and the Comb22-Set2 for {subslot, subslot} processing timeline set 2.  |                              |
| <b>mbms-AsyncDC</b><br>Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a frequency indicated in an <i>MBMSInterestIndication</i> message, where (according to <i>supportedBandCombination</i> ) the carriers that are or can be configured as serving cells in the MCG and the SCG are not synchronized. If this field is included, the UE shall also include <i>mbms-SCell</i> and <i>mbms-NonServingCell</i> . The field indicates that the UE supports the feature for xDD if <i>mbms-SCell</i> and <i>mbms-NonServingCell</i> are supported for xDD.                               | -                            |
| <b>mbms-MaxBW</b><br>Indicates maximum supported bandwidth (T) for MBMS reception, see TS 36.213 [23], clause 11.1. If the value is set to <i>implicitValue</i> , the corresponding value of T is calculated as specified in TS 36.213 [23], clause 11.1. If the value is set to <i>explicitValue</i> , the actual value of T = <i>explicitValue</i> * 40 MHz.  | -                            |
| <b>mbms-NonServingCell</b><br>Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a frequency indicated in an <i>MBMSInterestIndication</i> message, where (according to <i>supportedBandCombination</i> and to network synchronization properties) a serving cell may be additionally configured. If this field is included, the UE shall also include the <i>mbms-SCell</i> field.   | Yes                          |
| <b>mbms-ScalingFactor1dot25, mbms-ScalingFactor7dot5</b><br>Indicates parameter $A^{(1.25 / A^{7.5})}$ , i.e., scaling factor for processing one unit of bandwidth corresponding to subcarrier spacing of 1.25 kHz / 7.5 kHz, with respect to one unit of bandwidth corresponding to subcarrier spacing of 15 kHz. See TS 36.213 [23], clause 11.1. This field is included only if <i>subcarrierSpacingMBMS-khz1dot25 / subcarrierSpacingMBMS-khz7dot5</i> is included. This field shall be included if <i>mbms-MaxBW</i> and <i>subcarrierSpacingMBMS-khz1dot25 / subcarrierSpacingMBMS-khz7dot5</i> are included. | -                            |
| <b>mbms-SCell</b><br>Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a frequency indicated in an <i>MBMSInterestIndication</i> message, when an SCell is configured on that frequency (regardless of whether the SCell is activated or deactivated).   | Yes                          |
| <b>measurementEnhancements</b><br>This field defines whether UE supports measurement enhancements in high speed scenario as specified in TS 36.133 [16].  | -                            |
| <b>measGapPatterns</b><br>Indicates whether the UE that supports NR supports gap patterns 4 to 11 in LTE standalone as specified in TS 36.133 [16], and for independent measurement gap configuration on FR1 and per-UE gap in (NG)EN-DC as specified in TS 38.133 [84]. The first/ leftmost bit covers pattern 4, and so on. Value 1 indicates that the UE supports the concerned gap pattern.   | -                            |
| <b>mbi-UTRA</b><br>It indicates if the UE supports the signalling requirements of multiple radio frequency bands in a UTRA FDD cell, as defined in TS 25.307 [65].  | -                            |
| <b>MIMO-BeamformedCapabilityList</b><br>A list of pairs of {k-Max, n-MaxList} values with the n <sup>th</sup> entry indicating the values that the UE supports for each CSI process in case n CSI processes would be configured.  | No                           |
| <b>MIMO-CapabilityDL</b><br>The number of supported layers for spatial multiplexing in DL. The field may be absent for category 0 and category 1 UE in which case the number of supported layers is 1.  | -                            |
| <b>MIMO-CapabilityUL</b><br>The number of supported layers for spatial multiplexing in UL. Absence of the field means that the number of supported layers is 1.   | -                            |
| <b>MIMO-CA-ParametersPerBoBC</b><br>A set of MIMO parameters provided per band of a band combination. In case a subfield is absent, the concerned capabilities are the same as indicated at the per UE level (i.e. by MIMO-UE-ParametersPerTM).   | -                            |
| <b>mimo-CBSR-AdvancedCSI</b><br>Indicates whether UE supports CBSR for advanced CSI reporting with and without amplitude restriction as defined in TS 36.213 [23], clause 7.2.  | Yes                          |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <p><b>min-Proc-TimelineSubslot</b><br/>Minimum processing timeline for subslot operation. The minimum processing timeline can belong to one of two sets of associated processing and maximum TA operation. The sets supported can be different for 1os CRS-based SPDCCH, 2os CRS-based SPDCCH and DMRS-based SPDCCH. The sequence applies to:</p> <ol style="list-style-type: none"> <li>1. 1os CRS based SPDCCH</li> <li>2. 2os CRS based SPDCCH</li> <li>3. DMRS based SPDCCH</li> </ol>  | -                            |
| <p><b>modifiedMPR-Behavior</b><br/>Field encoded as a bit map, where at least one bit N is set to "1" if UE supports modified MPR/A-MPR behaviour N, see TS 36.101 [42]. All remaining bits of the field are set to "0". The leading / leftmost bit (bit 0) corresponds to modified MPR/A-MPR behaviour 0, the next bit corresponds to modified MPR/A-MPR behaviour 1 and so on.<br/>Absence of this field means that UE does not support any modified MPR/A-MPR behaviour.</p>   | -                            |
| <p><b>multiACK-CSI-reporting</b><br/>Indicates whether the UE supports multi-cell HARQ ACK and periodic CSI reporting and SR on PUCCH format 3.</p>   | Yes                          |
| <p><b>multiBandInfoReport</b><br/>Indicates whether the UE supports the acquisition and reporting of multi band information for <i>reportCGI</i>.</p>   | -                            |
| <p><b>multiClusterPUSCH-WithinCC</b></p>  | Yes                          |
| <p><b>multiNS-Pmax</b><br/>Indicates whether the UE supports the mechanisms defined for cells broadcasting <i>NS-PmaxList</i>.</p>  | -                            |
| <p><b>multipleCellsMeasExtension</b><br/>Indicates whether the UE supports <i>numberOfTriggeringCells</i> in the report configuration.</p>  | -                            |
| <p><b>multipleTimingAdvance</b><br/>Indicates whether the UE supports multiple timing advances for each band combination listed in <i>supportedBandCombination</i>. If the band combination comprised of more than one band entry (i.e., inter-band or intra-band non-contiguous band combination), the field indicates that the same or different timing advances on different band entries are supported. If the band combination comprised of one band entry (i.e., intra-band contiguous band combination), the field indicates that the same or different timing advances across component carriers of the band entry are supported.</p> | -                            |
| <p><b>multipleUplinkSPS</b><br/>Indicates whether the UE supports multiple uplink SPS and reporting SPS assistance information. A UE indicating <i>multipleUplinkSPS</i> shall also support V2X communication via Uu, as defined in TS 36.300 [9].</p>  | -                            |
| <p><b>must-CapabilityPerBand</b><br/>Indicates that UE supports MUST, as specified in 36.212 [22], clause 5.3.3.1, on the band in the band combination.</p>   | -                            |
| <p><b>must-TM234-UpTo2Tx-r14</b><br/>Indicates that the UE supports MUST operation for TM2/3/4 using up to 2Tx.</p>   | -                            |
| <p><b>must-TM89-UpToOneInterferingLayer-r14</b><br/>Indicates that the UE supports MUST operation for TM8/9 with assistance information for up to 1 interfering layer.</p>  | -                            |
| <p><b>must-TM89-UpToThreeInterferingLayers-r14</b><br/>Indicates that the UE supports MUST operation for TM8/9 with assistance information for up to 3 interfering layers.</p>  | -                            |
| <p><b>must-TM10-UpToOneInterferingLayer-r14</b><br/>Indicates that the UE supports MUST operation for TM10 with assistance information for up to 1 interfering layer.</p>   | -                            |
| <p><b>must-TM10-UpToThreeInterferingLayers-r14</b><br/>Indicates that the UE supports MUST operation for TM10 with assistance information for up to 3 interfering layers.</p>   | -                            |



| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <p><b>naics-Capability-List</b><br/>Indicates that UE supports NAICS, i.e. receiving assistance information from serving cell and using it to cancel or suppress interference of neighbouring cell(s) for at least one band combination. If not present, UE does not support NAICS for any band combination. The field <i>numberOfNAICS-CapableCC</i> indicates the number of component carriers where the NAICS processing is supported and the field <i>numberOfAggregatedPRB</i> indicates the maximum aggregated bandwidth across these of component carriers (expressed as a number of PRBs) with the restriction that NAICS is only supported over the full carrier bandwidth. The UE shall indicate the combination of {<i>numberOfNAICS-CapableCC</i>, <i>numberOfNAICS-CapableCC</i>} for every supported <i>numberOfNAICS-CapableCC</i>, e.g. if a UE supports {x CC, y PRBs} and {x-n CC, y-m PRBs} where <math>n \geq 1</math> and <math>m \geq 0</math>, the UE shall indicate both.</p> <ul style="list-style-type: none"> <li>- For <i>numberOfNAICS-CapableCC</i> = 1, UE signals one value for <i>numberOfAggregatedPRB</i> from the range {50, 75, 100};</li> <li>- For <i>numberOfNAICS-CapableCC</i> = 2, UE signals one value for <i>numberOfAggregatedPRB</i> from the range {50, 75, 100, 125, 150, 175, 200};</li> <li>- For <i>numberOfNAICS-CapableCC</i> = 3, UE signals one value for <i>numberOfAggregatedPRB</i> from the range {50, 75, 100, 125, 150, 175, 200, 225, 250, 275, 300};</li> <li>- For <i>numberOfNAICS-CapableCC</i> = 4, UE signals one value for <i>numberOfAggregatedPRB</i> from the range {50, 100, 150, 200, 250, 300, 350, 400};</li> <li>- For <i>numberOfNAICS-CapableCC</i> = 5, UE signals one value for <i>numberOfAggregatedPRB</i> from the range {50, 100, 150, 200, 250, 300, 350, 400, 450, 500}.</li> </ul> | No                           |
| <p><b>nscg</b><br/>Indicates whether the UE supports measurement NCSG Pattern Id 0, 1, 2 and 3, as specified in TS 36.133 [16]. If this field is included and the UE supports asynchronous DC, the UE shall support NCSG Pattern Id 0, 1, 2 and 3. If this field is included but the UE does not support asynchronous DC, only NCSG Pattern Id 0 and 1 shall be supported</p>   | No                           |
| <p><b>ng-EN-DC</b><br/>Indicates whether the UE supports NGEN-DC.</p>   | -                            |
| <p><b>n-MaxList (in MIMO-UE-ParametersPerTM)</b><br/>Indicates for a particular transmission mode the maximum number of NZP CSI RS ports supported within a CSI process applicable for band combinations for which the concerned capabilities are not signalled. For <i>k-Max</i> values exceeding 1, the UE shall include the field and signal <i>k-Max</i> minus 1 bits. The first bit indicates <i>n-Max2</i>, with value 0 indicating 8 and value 1 indicating 16. The second bit indicates <i>n-Max3</i>, with value 0 indicating 8 and value 1 indicating 16. The third bit indicates <i>n-Max4</i>, with value 0 indicating 8 and value 1 indicating 32. The fourth bit indicates <i>n-Max5</i>, with value 0 indicating 16 and value 1 indicating 32. The fifth bit indicates <i>n-Max6</i>, with value 0 indicating 16 and value 1 indicating 32. The sixth bit indicates <i>n-Max7</i>, with value 0 indicating 16 and value 1 indicating 32. The seventh bit indicates <i>n-Max8</i>, with value 0 indicating 16 and value 1 indicating 64.</p>  | Yes                          |
| <p><b>n-MaxList (in MIMO-CA-ParametersPerBoBCPerTM)</b><br/>If signalled, the field indicates for a particular transmission mode the maximum number of NZP CSI RS ports supported within a CSI process applicable for band the concerned combination. Further details are as indicated for <i>n-MaxList</i> in <i>MIMO-UE-ParametersPerTM</i>.</p>  | No                           |
| <p><b>NonContiguousUL-RA-WithinCC-List</b><br/>One entry corresponding to each supported E-UTRA band listed in the same order as in <i>supportedBandListEUTRA</i>.</p>  | No                           |
| <p><b>nonPrecoded (in MIMO-UE-ParametersPerTM)</b><br/>Indicates for a particular transmission mode the UE capabilities concerning non-precoded EBF/ FD-MIMO operation (class A) for band combinations for which the concerned capabilities are not signalled in <i>MIMO-CA-ParametersPerBoBCPerTM</i>, and the FD-MIMO processing capability condition as described in NOTE 8 is satisfied.</p>  | Yes                          |
| <p><b>nonPrecoded (in MIMO-CA-ParametersPerBoBCPerTM)</b><br/>If signalled, the field indicates for a particular transmission mode, the UE capabilities concerning non-precoded EBF/ FD-MIMO operation (class A) applicable for the concerned band combination.</p>   | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>  | <b>FDD/<br/>TDD<br/>diff</b> |
|--|------------------------------|
| <b>nonUniformGap</b><br>Indicates whether the UE supports measurement non uniform Pattern Id 1, 2, 3 and 4 in LTE standalone as specified in TS 36.133 [16].   | No                           |
| <b>noResourceRestrictionForTTIBundling</b><br>Indicate whether the UE supports TTI bundling operation without resource allocation restriction.   | No                           |
| <b>nonCSG-SI-Reporting</b><br>Indicates whether UE will report PLMN list from non-CSG cells.   | -                            |
| <b>numberOfBlindDecodesUSS</b><br>Indicates the maximum number of blind decodes in UE specific search space in one subframe for CCs configured with sTTI operation supported by the UE. The number of blind decodes supported by the UE is the field value X*68. Field value ranges from 4 to 32.  | Yes                          |
| <b>nzp-CSI-RS-AperiodicInfo</b><br>Indicates whether the UE supports aperiodic NZP-CSI-RS transmission for the indicated transmission mode.  | Yes                          |
| <b>nzp-CSI-RS-PeriodicInfo</b><br>Indicates whether the UE supports periodic NZP-CSI-RS transmission for the indicated transmission mode.  | Yes                          |
| <b>otdoa-UE-Assisted</b><br>Indicates whether the UE supports UE-assisted OTDOA positioning, as specified in TS 36.355 [54].   | Yes                          |
| <b>outOfOrderDelivery</b><br>Same as "outOfOrderDelivery" defined in TS 38.306 [87].   | No                           |
| <b>outOfSequenceGrantHandling</b><br>Indicates whether the UE supports PUSCH transmissions with out of sequence UL grants as defined in TS 36.213 [22]. This field can be included only if uplinkLAA is included.  | -                            |
| <b>overheatingInd</b><br>Indicates whether the UE supports overheating assistance information.   | No                           |
| <b>pdccch-CandidateReductions</b><br>Indicates whether the UE supports PDCCH candidate reduction on UE specific search space as specified in TS 36.213 [23], clause 9.1.1.   | No                           |
| <b>pdcp-Duplication</b><br>Indicates whether the UE supports PDCP duplication.   | -                            |
| <b>pdcp-SN-Extension</b><br>Indicates whether the UE supports 15 bit length of PDCP sequence number.   | -                            |
| <b>pdcp-SN-Extension-18bits</b><br>Indicates whether the UE supports 18 bit length of PDCP sequence number.  | -                            |
| <b>pdcp-TransferSplitUL</b><br>Indicates whether the UE supports PDCP data transfer split in UL for the <i>drb-TypeSplit</i> as specified in TS 36.323 [8].  | -                            |
| <b>pdsch-CollisionHandling</b><br>Indicates whether the UE supports PDSCH collision handling as specified in TS 36.213 [23].   | No                           |
| <b>pdsch-RepSubframe</b><br>Indicates whether the UE supports subframe PDSCH repetition.   | Yes                          |
| <b>pdsch-RepSlot</b><br>Indicates whether the UE supports slot PDSCH repetition.   | Yes                          |
| <b>pdsch-RepSubslot</b><br>Indicates whether the UE supports subslot PDSCH repetition. This field is only applicable for UEs supporting FDD.   | -                            |
| <b>pdsch-SlotSubslotPDSCH-Decoding</b><br>Indicates whether the UE supports decoding of PDSCH and slot-PDSCH/subslot-PDSCH assigned with C-RNTI/SPS C-RNTI in the same subframe for a given carrier.   | Yes                          |
| <b>perServingCellMeasurementGap</b><br>Indicates whether the UE supports per serving cell measurement gap indication, as specified in TS 36.133 [16].  | -                            |
| <b>phy-TDD-ReConfig-FDD-PCell</b><br>Indicates whether the UE supports TDD UL/DL reconfiguration for TDD serving cell(s) via monitoring PDCCH with eIMTA-RNTI on a FDD PCell, and HARQ feedback according to UL and DL HARQ reference configurations. This bit can only be set to supported only if the UE supports FDD PCell and <i>phy-TDD-ReConfig-TDD-PCell</i> is set to supported. | No                           |
| <b>phy-TDD-ReConfig-TDD-PCell</b><br>Indicates whether the UE supports TDD UL/DL reconfiguration for TDD serving cell(s) via monitoring PDCCH with eIMTA-RNTI on a TDD PCell, and HARQ feedback according to UL and DL HARQ reference configurations, and PUCCH format 3.  | Yes                          |
| <b>pmi-Disabling</b>   | Yes                          |

| <b>UE-EUTRA-Capability field descriptions</b>  | <b>FDD/<br/>TDD<br/>diff</b> |
|--|------------------------------|
| <b>powerClass-14dBm</b><br>Indicates whether the UE supports power class 14 dBm when operating in CE mode A or B for all the bands that are supported by the UE, as specified in TS 36.101 [42].   | -                            |
| <b>powerPrefInd</b><br>Indicates whether the UE supports power preference indication.  | No                           |
| <b>powerUCI-SlotPUSCH, powerUCI-SubslotPUSCH</b><br>Indicates whether the UE supports BPRES derivation based on the actual derived O_CQI. The parameter <i>uplinkPower-CSIPayload</i> configures the UE to derive BPRES based on either the actual value of O_CQI or the largest value of O_CQI across all RI values. If the UE does not support the capability, the UE will derive BPRES based on the largest value of O_CQI across all RI values.  | Yes                          |
| <b>prach-Enhancements</b><br>This field defines whether the UE supports random access preambles generated from restricted set type B in high speed scenario as specified in TS 36.211 [21].  | -                            |
| <b>processingTimelineSet</b><br>Indicates, for each SPDCCH configuration, support for a set of TA values. Each set consists of two different processing timelines and associated maximum TA. Set 1 indicates support for n+4 and n+6 and set 2 indicates support for n+6 and n+8, see TS 36.211 [21], clause 8.1. The minimum processing timeline to use, out of the two options for a given set is configured by parameter <i>proc-Timeline</i> . Support of Set 1 implicitly means support of Set 2. | -                            |
| <b>pucch-Format4</b><br>Indicates whether the UE supports PUCCH format 4.  | Yes                          |
| <b>pucch-Format5</b><br>Indicates whether the UE supports PUCCH format 5.  | Yes                          |
| <b>pucch-SCell</b><br>Indicates whether the UE supports PUCCH on SCell.  | No                           |
| <b>pusch-Enhancements</b><br>Indicates whether the UE supports the PUSCH enhancement mode as specified in TS 36.211 [21] and TS 36.213 [23].   | Yes                          |
| <b>pusch-FeedbackMode</b><br>Indicates whether the UE supports PUSCH feedback mode 3-2.  | No                           |
| <b>pusch-SPS-MaxConfigSlot</b><br>Indicates the max number of SPS configurations across all cells for slot PUSCH.  | Yes                          |
| <b>pusch-SPS-MultiConfigSlot</b><br>Indicates the number of multiple SPS configurations of slot PUSCH for each serving cell.   | Yes                          |
| <b>pusch-SPS-MaxConfigSubframe</b><br>Indicates the max number of SPS configurations across all cells for subframe PUSCH.  | Yes                          |
| <b>pusch-SPS-MultiConfigSubframe</b><br>Indicates the number of multiple SPS configurations of subframe PUSCH for each serving cell.   | Yes                          |
| <b>pusch-SPS-MaxConfigSubslot</b><br>Indicates the max number of SPS configurations across all cells for subslot PUSCH.  | -                            |
| <b>pusch-SPS-MultiConfigSubslot</b><br>Indicates the number of multiple SPS configurations of subslot PUSCH for each serving cell. This field is only applicable for UEs supporting FDD.   | -                            |
| <b>pusch-SPS-SlotRepPCell</b><br>Indicates whether the UE supports SPS repetition for slot PUSCH for PCell.  | Yes                          |
| <b>pusch-SPS-SlotRepPSCell</b><br>Indicates whether the UE supports SPS repetition for slot PUSCH for PSCell.  | Yes                          |
| <b>pusch-SPS-SlotRepSCell</b><br>Indicates whether the UE supports SPS repetition for slot PUSCH for serving cells other than SpCell.  | Yes                          |
| <b>pusch-SPS-SubframeRepPCell</b><br>Indicates whether the UE supports SPS repetition for subframe PUSCH for PCell.  | Yes                          |
| <b>pusch-SPS-SubframeRepPSCell</b><br>Indicates whether the UE supports SPS repetition for subframe PUSCH for PSCell.  | Yes                          |
| <b>pusch-SPS-SubframeRepSCell</b><br>Indicates whether the UE supports SPS repetition for subframe PUSCH for serving cells other than SpCell.  | Yes                          |
| <b>pusch-SPS-SubslotRepPCell</b><br>Indicates whether the UE supports SPS repetition for subslot PUSCH for PCell. This field is only applicable for UEs supporting FDD.  | -                            |
| <b>pusch-SPS-SubslotRepPSCell</b><br>Indicates whether the UE supports SPS repetition for subslot PUSCH for PSCell. This field is only applicable for UEs supporting FDD.  | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>pusch-SPS-SubslotRepSCell</b><br>Indicates whether the UE supports SPS repetition for subslot PUSCH for serving cells other than SpCell. This field is only applicable for UEs supporting FDD.   | -                            |
| <b>pusch-SRS-PowerControl-SubframeSet</b><br>Indicates whether the UE supports subframe set dependent UL power control for PUSCH and SRS. This field is only applicable for UEs supporting TDD.   | Yes                          |
| <b>qcl-CRI-BasedCSI-Reporting</b><br>Indicates whether the UE supports CRI based CSI feedback for the FeCoMP feature as specified in TS 36.213 [23], clause 7.1.10.   | -                            |
| <b>qcl-TypeC-Operation</b><br>The UE uses this field to indicate the support of all of the following three features: QCL Type-C operation for FeCoMP, the capability to support separate PDSCH RE mapping for different PDSCH CWs in non-coherent joint transmission and the capability to support handling new DMRS port to MIMO layer mapping for the CWs, as specified in TS 36.213 [23], clause 7.1.10.                                     | -                            |
| <b>qoe-MeasReport</b><br>Indicates whether the UE supports QoE Measurement Collection for streaming services.   | -                            |
| <b>qoe-MTSI-MeasReport</b><br>Indicates whether the UE supports QoE Measurement Collection for MTSI services.   | -                            |
| <b>rach-Less</b><br>Indicates whether the UE supports RACH-less handover, and whether the UE which indicates <i>dc-Parameters</i> supports RACH-less SeNB change, as defined in TS 36.300 [9].  | -                            |
| <b>rach-Report</b><br>Indicates whether the UE supports delivery of <i>rach-Report</i> .  | -                            |
| <b>rai-Support</b><br>Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6] for BL UEs.   | No                           |
| <b>rclwi</b><br>Indicates whether the UE supports RCLWI, i.e. reception of <i>rclwi-Configuration</i> . The UE which supports RCLWI shall also indicate support of <i>interRAT-ParametersWLAN-r13</i> . The UE which supports RCLWI and <i>wlan-IW-RAN-Rules</i> shall also support applying WLAN identifiers received in <i>rclwi-Configuration</i> for the access network selection and traffic steering rules when in RRC_IDLE.              | -                            |
| <b>recommendedBitRate</b><br>Indicates whether the UE supports the bit rate recommendation message from the eNB to the UE as specified in TS 36.321 [6], clause 6.1.3.13.   | No                           |
| <b>recommendedBitRateQuery</b><br>Indicates whether the UE supports the bit rate recommendation query message from the UE to the eNB as specified in TS 36.321 [6], clause 6.1.3.13. If this field is included, the UE shall also include the <i>recommendedBitRate</i> field.  | No                           |
| <b>reducedCP-Latency</b><br>Indicates whether the UE supports reduced CP latency.   | Yes                          |
| <b>reducedIntNonContComb</b><br>Indicates whether the UE supports receiving <i>requestReducedIntNonContComb</i> that requests the UE to exclude supported intra-band non-contiguous CA band combinations other than included in capability signalling as specified in TS 36.306 [5], clause 4.3.5.21.   | -                            |
| <b>reducedIntNonContCombRequested</b><br>Indicates that the UE excluded supported intra-band non-contiguous CA band combinations other than included in capability signalling as specified in TS 36.306 [5], clause 4.3.5.21.   | -                            |
| <b>reflectiveQoS</b><br>Indicates whether the UE supports AS reflective QoS.  | No                           |
| <b>relWeightTwoLayers/ relWeightFourLayers/ relWeightEightLayers</b><br>Indicates relative weight of processing FD-MIMO with 2/ 4/ 8 layers with respect to non-FD-MIMO with the same number of layers, see NOTE 8. Value v1 corresponds to relative weight of 1, value v1dot25 corresponds to relative weight of 1.25 and so on. This field can be included only if the UE supports the corresponding number of layers (i.e., 2/ 4/ 8 layers). | -                            |
| <b>reportCGI-NR-EN-DC</b><br>Indicates whether the UE supports Inter-RAT report CGI procedure towards NR cell when it is configured with (NG)EN-DC.   | Yes                          |
| <b>reportCGI-NR-NoEN-DC</b><br>Indicates whether the UE supports Inter-RAT report CGI procedure towards NR cell when it is not configured with (NG)EN-DC.   | Yes                          |

| <b>UE-EUTRA-Capability field descriptions</b>  | <b>FDD/<br/>TDD<br/>diff</b> |
|--|------------------------------|
| <p><b>srs-CapabilityPerBandPairList</b><br/>Indicates, for a particular pair of bands, the SRS carrier switching parameters when switching between the band pair to transmit SRS on a PUSCH-less SCell as specified in TS 36.212 [22] and TS 36.213 [23]. If included, the UE shall include a number of entries as indicated in the following, and listed in the same order, as in <i>bandParameterList</i> for the concerned band combination:</p> <ul style="list-style-type: none"> <li>- For the first band, the UE shall include the same number of entries as in <i>bandParameterList</i> i.e. first entry corresponds to first band in <i>bandParameterList</i> and so on,</li> <li>- For the second band, the UE shall include one entry less i.e. first entry corresponds to the second band in <i>bandParameterList</i> and so on</li> <li>- And so on.</li> </ul> | -                            |
| <p><b>requestedBands</b><br/>Indicates the frequency bands requested by E-UTRAN.</p>   | -                            |
| <p><b>requestedCCsDL, requestedCCsUL</b><br/>Indicates the maximum number of CCs requested by E-UTRAN.</p>   | -                            |
| <p><b>requestedDiffFallbackCombList</b><br/>Indicates the CA band combinations for which report of different UE capabilities is requested by E-UTRAN.</p>  | -                            |
| <p><b>rf-RetuningTimeDL</b><br/>Indicates the interruption time on DL reception within a band pair during the RF retuning for switching between the band pair to transmit SRS on a PUSCH-less SCell. n0 represents 0 OFDM symbols, n0dot5 represents 0.5 OFDM symbols, n1 represents 1 OFDM symbol and so on. This field is mandatory present if switching between the band pair is supported.</p>   | -                            |
| <p><b>rf-RetuningTimeUL</b><br/>Indicates the interruption time on UL transmission within a band pair during the RF retuning for switching between the band pair to transmit SRS on a PUSCH-less SCell. n0 represents 0 OFDM symbols, n0dot5 represents 0.5 OFDM symbols, n1 represents 1 OFDM symbol and so on. This field is mandatory present if switching between the band pair is supported.</p>  | -                            |
| <p><b>rlc-AM-Ooo-Delivery</b><br/>Indicates whether the UE supports out-of-order delivery from RLC to PDCP for RLC AM.</p>   | -                            |
| <p><b>rlc-UM-Ooo-Delivery</b><br/>Indicates whether the UE supports out-of-order delivery from RLC to PDCP for RLC UM.</p>   | -                            |
| <p><b>rlm-ReportSupport</b><br/>Indicates whether the UE supports RLM event and information reporting.</p>   | -                            |
| <p><b>rohc-ContextContinue</b><br/>Same as "<i>continueROHC-Context</i>" defined in TS 38.306 [87].</p>  | No                           |
| <p><b>rohc-ContextMaxSessions</b><br/>Same as "<i>maxNumberROHC-ContextSessions</i>" defined in TS 38.306 [87].</p>  | No                           |
| <p><b>rohc-Profiles</b><br/>Same as "<i>supportedROHC-Profiles</i>" defined in TS 38.306 [87].</p>   | No                           |
| <p><b>rohc-ProfilesUL-Only</b><br/>Same as "<i>uplinkOnlyROHC-Profiles</i>" defined in TS 38.306 [87].</p>   | No                           |
| <p><b>rsrqMeasWideband</b><br/>Indicates whether the UE can perform RSRQ measurements with wider bandwidth.</p>  | Yes                          |
| <p><b>rsrq-OnAllSymbols</b><br/>Indicates whether the UE can perform RSRQ measurement on all OFDM symbols and also support the extended RSRQ upper value range from -3dB to 2.5dB in measurement configuration and reporting as specified in TS 36.133 [16].</p>   | No                           |
| <p><b>rs-SINR-Meas</b><br/>Indicates whether the UE can perform RS-SINR measurements in RRC_CONNECTED as specified in TS 36.214 [48].</p>  | -                            |
| <p><b>rssI-AndChannelOccupancyReporting</b><br/>Indicates whether the UE supports performing measurements and reporting of RSSI and channel occupancy. This field can be included only if <i>downlinkLAA</i> is included.</p>  | -                            |
| <p><b>sa-NR</b><br/>Indicates whether the UE supports standalone NR as specified in TS 38.331 [82].</p>  | No                           |
| <p><b>scptm-AsyncDC</b><br/>Indicates whether the UE in RRC_CONNECTED supports MBMS reception via SC-MRB on a frequency indicated in an <i>MBMSInterestIndication</i> message, where (according to <i>supportedBandCombination</i>) the carriers that are or can be configured as serving cells in the MCG and the SCG are not synchronized. If this field is included, the UE shall also include <i>scptm-SCell</i> and <i>scptm-NonServingCell</i>.</p>  | Yes                          |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>sctm-NonServingCell</b><br>Indicates whether the UE in RRC_CONNECTED supports MBMS reception via SC-MRB on a frequency indicated in an <i>MBMSInterestIndication</i> message, where (according to <i>supportedBandCombination</i> and to network synchronization properties) a serving cell may be additionally configured. If this field is included, the UE shall also include the <i>sctm-SCell</i> field.        | Yes                          |
| <b>sctm-Parameters</b><br>Presence of the field indicates that the UE supports SC-PTM reception as specified in TS 36.306 [5].  | Yes                          |
| <b>sctm-SCell</b><br>Indicates whether the UE in RRC_CONNECTED supports MBMS reception via SC-MRB on a frequency indicated in an <i>MBMSInterestIndication</i> message, when an SCell is configured on that frequency (regardless of whether the SCell is activated or deactivated).  | Yes                          |
| <b>sctm-ParallelReception</b><br>Indicates whether the UE in RRC_CONNECTED supports parallel reception in the same subframe of DL-SCH transport blocks transmitted using C-RNTI/Semi-Persistent Scheduling C-RNTI and using SC-RNTI/G-RNTI as specified in TS 36.306 [5].   | Yes                          |
| <b>secondSlotStartingPosition</b><br>Indicates whether the UE supports reception of subframes with second slot starting position as described in TS 36.211 [21] and TS 36.213 [23]. This field can be included only if <i>downlinkLAA</i> is included.  | -                            |
| <b>semiOL</b><br>Indicates whether the UE supports semi-open-loop transmission for the indicated transmission mode.   | Yes                          |
| <b>semiStaticCFI</b><br>Indicates whether the UE supports the semi-static configuration of CFI for subframe/slot/sub-slot operation.  | Yes                          |
| <b>semiStaticCFI-Pattern</b><br>Indicates whether the UE supports the semi-static configuration of CFI pattern for subframe/slot/sub-slot operation. This field is only applicable for UEs supporting TDD.  | -                            |
| <b>shortCQI-ForSCellActivation</b><br>Indicates whether the UE supports additional CQI reporting periodicity after SCell activation.  | Yes                          |
| <b>shortMeasurementGap</b><br>Indicates whether the UE supports shorter measurement gap length (i.e. <i>gp2</i> and <i>gp3</i> ) in LTE standalone as specified in TS 36.133 [16], and for independent measurement gap configuration on FR1 and per-UE gap in (NG)EN-DC as specified in TS38.133 [84].  | No                           |
| <b>shortSPS-IntervalFDD</b><br>Indicates whether the UE supports uplink SPS intervals shorter than 10 subframes in FDD mode.  | -                            |
| <b>shortSPS-IntervalTDD</b><br>Indicates whether the UE supports uplink SPS intervals shorter than 10 subframes in TDD mode.  | -                            |
| <b>simultaneousPUCCH-PUSCH</b><br>Indicates whether the UE supports simultaneous transmission of PUSCH/PUCCH and SlotOrSubslotPUSCH/SPUCCH (if supported).  | Yes                          |
| <b>simultaneousRx-Tx</b><br>Indicates whether the UE supports simultaneous reception and transmission on different bands for each band combination listed in <i>supportedBandCombination</i> . This field is only applicable for inter-band TDD band combinations. A UE indicating support of <i>simultaneousRx-Tx</i> and <i>dc-Support-r12</i> shall support different UL/DL configurations between PCell and PSCell. | -                            |
| <b>simultaneousTx-DifferentTx-Duration</b><br>Indicates whether the UE supports simultaneous transmission of different transmission durations over different carriers. The different transmission durations can be of subframe, slot or subslot duration.   | -                            |
| <b>skipFallbackCombinations</b><br>Indicates whether UE supports receiving <i>requestSkipFallbackComb</i> that requests UE to exclude fallback band combinations from capability signalling.  | -                            |
| <b>skipFallbackCombRequested</b><br>Indicates whether <i>requestSkipFallbackComb</i> is requested by E-UTRAN.   | -                            |
| <b>skipMonitoringDCI-Format0-1A</b><br>Indicates whether UE supports blind decoding reduction on UE specific search space by not monitoring DCI Format 0 and 1A as specified in TS 36.213 [23], clause 9.1.1.   | No                           |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <p><b>skipSubframeProcessing</b><br/>This field defines whether the UE supports aborting reception of PDSCH if the UE receives slot-PDSCH/subslot-PDSCH during an ongoing PDSCH reception and instead starts receiving the slot-PDSCH/subslot-PDSCH, as well as whether the UE supports aborting a PUSCH transmission if the UE gets a grant for a slot-PUSCH/ subslot-PUSCH transmission that overlaps with a grant received for a PUSCH transmission. The capability indicates the number of subframes that the UE may drop prior to the subframe in which it prioritizes the processing of slot/subslot PDSCH/PUSCH as described in TS 36.213 [23], clauses 7.1 and 8.0. Separate capability for UL and DL and per sTTI length in each direction: <i>skipProcessingDL-Slot</i>, <i>skipProcessingDL-Subslot</i>, <i>skipProcessingUL-Slot</i> and <i>skipProcessingUL-Subslot</i>.</p> | -                            |
| <p><b>skipUplinkDynamic</b><br/>Indicates whether the UE supports skipping of UL transmission for an uplink grant indicated on PDCCH if no data is available for transmission as described in TS 36.321 [6].</p>  | -                            |
| <p><b>skipUplinkSPS</b><br/>Indicates whether the UE supports skipping of UL transmission for a configured uplink grant if no data is available for transmission as described in TS 36.321 [6].</p>   | -                            |
| <p><b>sl-64QAM-Rx</b><br/>Indicates whether the UE supports 64QAM for the reception of V2X sidelink communication.</p>  | -                            |
| <p><b>sl-64QAM-Tx</b><br/>Indicates whether the UE supports 64QAM for the transmission of V2X sidelink communication.</p>   | -                            |
| <p><b>sl-CongestionControl</b><br/>Indicates whether the UE supports Channel Busy Ratio measurement and reporting of Channel Busy Ratio measurement results to eNB for V2X sidelink communication.</p>  | -                            |
| <p><b>sl-LowT2min</b><br/>Indicates whether the UE supports 10ms as minimum value of T2 for resource selection procedure of V2X sidelink communication.</p>   | -                            |
| <p><b>sl-RateMatchingTBSScaling</b><br/>Indicates whether the UE supports rate matching and TBS scaling for V2X sidelink communication.</p>   | -                            |
| <p><b>slotPDSCH-TxDiv-TM8</b><br/>Indicates whether the UE supports TX diversity transmission using ports 7 and 8 for TM8 for slot PDSCH.</p>   | -                            |
| <p><b>slotPDSCH-TxDiv-TM9and10</b><br/>Indicates whether the UE supports TX diversity transmission using ports 7 and 8 for TM9/10 for slot PDSCH.</p>   | Yes                          |
| <p><b>slss-SupportedTxFreq</b><br/>Indicates whether the UE supports the SLSS transmission on single carrier or on multiple carriers in the case of sidelink carrier aggregation.</p>   | -                            |
| <p><b>slss-TxRx</b><br/>Indicates whether the UE supports SLSS/PSBCH transmission and reception in UE autonomous resource selection mode and eNB scheduled mode in a band for V2X sidelink communication.</p>   | -                            |
| <p><b>sl-TxDiversity</b><br/>Indicates whether the UE supports transmit diversity for V2X sidelink communication. See TS 36.101 [42].</p>   | -                            |
| <p><b>sn-SizeLo</b><br/>Same as "shortSN" defined in TS 38.306 [87].</p>  | No                           |
| <p><b>spatialBundling-HARQ-ACK</b><br/>Indicates whether UE supports HARQ-ACK spatial bundling on PUCCH or PUSCH as specified in TS 36.213 [23], clauses 7.3.1 and 7.3.2.</p>   | No                           |
| <p><b>spdcch-differentRS-types</b><br/>Indicates whether the UE supports monitoring of sPDCCH on RB sets with different RS types within a TTI.</p>  | Yes                          |
| <p><b>spdcch-Reuse</b><br/>Indicates whether the UE supports L1 based sPDCCH reuse.</p>   | Yes                          |
| <p><b>sps-CyclicShift</b><br/>Indicates whether the UE supports RRC configuration of cyclic shift for DMRS for UL SPS using 1ms TTI.</p>  | Yes                          |
| <p><b>sps-ServingCell</b><br/>Indicates whether the UE supports multiple UL/DL SPS configurations simultaneously active on different serving cells as specified in TS 36.321 [6].</p>   | -                            |
| <p><b>sps-STTI</b><br/>Indicates whether the UE supports SPS in DL and/or UL for slot or subslot based PDSCH and PUSCH, respectively.</p>   | Yes                          |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>srs-DCI7-TriggeringFS2</b><br>Indicates whether the UE supports SRS triggering via DCI format 7 for FS2.   | -                            |
| <b>srs-Enhancements</b><br>Indicates whether the UE supports SRS enhancements.  | Yes                          |
| <b>srs-EnhancementsTDD</b><br>Indicates whether the UE supports TDD specific SRS enhancements.  | Yes                          |
| <b>srs-FlexibleTiming</b><br>Indicates whether the UE supports configuration of <i>soundingRS-FlexibleTiming-r14</i> for the corresponding band pair. For a TDD-TDD band pair, UE shall include at least one of <i>srs-FlexibleTiming</i> and/or <i>srs-HARQ-ReferenceConfig</i> when <i>rf-RetuningTimeDL</i> or <i>rf-RetuningTimeUL</i> corresponding to the band pair is larger than 1 OFDM symbol.   | -                            |
| <b>srs-HARQ-ReferenceConfig</b><br>Indicates whether the UE supports configuration of <i>harq-ReferenceConfig-r14</i> for the corresponding band pair. For a TDD-TDD band pair, UE shall include at least one of <i>srs-FlexibleTiming</i> and/or <i>srs-HARQ-ReferenceConfig</i> when <i>rf-RetuningTimeDL</i> or <i>rf-RetuningTimeUL</i> corresponding to the band pair is larger than 1 OFDM symbol.  | -                            |
| <b>srs-MaxSimultaneousCCs</b><br>Indicates the maximum number of simultaneously configurable target CCs for SRS switching (i.e., CCs for which <i>srs-SwitchFromServCellIndex</i> is configured) supported by the UE.   | -                            |
| <b>srs-UpPTS-6sym</b><br>Indicates whether the UE supports up to 6-symbol SRS in UpPTS.   | -                            |
| <b>srvcc-FromUTRA-FDD-ToGERAN</b><br>Indicates whether UE supports SRVCC handover from UTRA FDD PS HS to GERAN CS.  | -                            |
| <b>srvcc-FromUTRA-FDD-ToUTRA-FDD</b><br>Indicates whether UE supports SRVCC handover from UTRA FDD PS HS to UTRA FDD CS.  | -                            |
| <b>srvcc-FromUTRA-TDD128-ToGERAN</b><br>Indicates whether UE supports SRVCC handover from UTRA TDD 1.28Mcps PS HS to GERAN CS.  | -                            |
| <b>srvcc-FromUTRA-TDD128-ToUTRA-TDD128</b><br>Indicates whether UE supports SRVCC handover from UTRA TDD 1.28Mcps PS HS to UTRA TDD 1.28Mcps CS.  | -                            |
| <b>ss-CCH-InterfHandl</b><br>Indicates whether the UE supports synchronisation signal and common channel interference handling.   | Yes                          |
| <b>ss-SINR-Meas-NR-FR1, ss-SINR-Meas-NR-FR2</b><br>Indicates whether the UE can perform NR SS-SINR measurement for a frequency range (i.e. FR1 or FR2) as specified in TS 38.215 [89].  | -                            |
| <b>ssp10-TDD-Only</b><br>Indicates the UE supports special subframe configuration 10 when operating only in TDD carriers (i.e., not in TDD/FDD CA or TDD/FS3 CA). A UE including this field shall not include <i>tdd-SpecialSubframe-r14</i> .  | -                            |
| <b>standaloneGNSS-Location</b><br>Indicates whether the UE is equipped with a standalone GNSS receiver that may be used to provide detailed location information in RRC measurement report and logged measurements.   | -                            |
| <b>sTTI-SPT-Supported</b><br>Indicates whether the UE supports the features STTI and/or SPT. If the UE supports STTI and/or SPT features, the UE shall report the field <i>sTTI-SPT-Supported</i> set to <i>supported</i> in capability signalling, irrespective of whether <i>requestSTTI-SPT-Capability</i> field is present or not.  | -                            |
| <b>sTTI-FD-MIMO-Coexistence</b><br>Indicates whether the UE supports CSI feedback for more than 8 NZP CSI-RS ports on subframe based PUSCH in any serving cell and supporting STTI in any serving cell.   | -                            |
| <b>sTTI-SupportedCombinations</b><br>Indicates the different combinations of short TTI lengths, see field description for <i>dl-STTI-Length</i> and <i>ul-STTI-Length</i> , that the UE supports in a single PUCCH group or in two PUCCH groups. A short TTI length combination is reported for DL first followed by UL. In case of two PUCCH groups the support for the primary PUCCH group is indicated first.  | -                            |
| <b>subcarrierSpacingMBMS-khz7dot5, subcarrierSpacingMBMS-khz1dot25</b><br>Indicates the supported subcarrier spacings for MBSFN subframes in addition to 15 kHz subcarrier spacing. <i>subcarrierSpacingMBMS-khz1dot25</i> and <i>subcarrierSpacingMBMS-khz7dot5</i> indicates that the UE supports 1.25 and 7.5 kHz respectively for MBSFN subframes as described in TS 36.211 [21], clause 6.12. This field is included only if <i>fembmsMixedCell</i> or <i>fembmsDedicatedCell</i> is included. | -                            |



| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>subslotPDSCH-TxDiv-TM9and10</b><br>Indicates whether the UE supports TX diversity transmission using ports 7 and 8 for TM9/10 for subslot PDSCH.   | Yes                          |
| <b>supportedBandCombination</b><br>Includes the supported CA band combinations, if any, and may include all the supported non-CA bands.   | -                            |
| <b>supportedBandCombinationAdd-r11</b><br>Includes additional supported CA band combinations in case maximum number of CA band combinations of <i>supportedBandCombination</i> is exceeded.   | -                            |
| <b>SupportedBandCombinationAdd-v11d0, SupportedBandCombinationAdd-v1250, SupportedBandCombinationAdd-v1270, SupportedBandCombinationAdd-v1320, SupportedBandCombinationAdd-v1380, SupportedBandCombinationAdd-v1390, SupportedBandCombinationAdd-v1430, SupportedBandCombinationAdd-v1450, SupportedBandCombinationAdd-v1470, SupportedBandCombinationAdd-v14b0, SupportedBandCombinationAdd-v1530</b><br>If included, the UE shall include the same number of entries, and listed in the same order, as in <i>SupportedBandCombinationAdd-r11</i> .  | -                            |
| <b>SupportedBandCombinationExt, SupportedBandCombination-v1090, SupportedBandCombination-v10i0, SupportedBandCombination-v1130, SupportedBandCombination-v1250, SupportedBandCombination-v1270, SupportedBandCombination-v1320, SupportedBandCombination-v1380, SupportedBandCombination-v1390, SupportedBandCombination-v1430, SupportedBandCombination-v1450, SupportedBandCombination-v1470, SupportedBandCombination-v14b0, SupportedBandCombination-v1530</b><br>If included, the UE shall include the same number of entries, and listed in the same order, as in <i>supportedBandCombination-r10</i> . | -                            |
| <b>supportedBandCombinationReduced</b><br>Includes the supported CA band combinations, and may include the fallback CA combinations specified in TS 36.101 [42], clause 4.3A. This field also indicates whether the UE supports reception of <i>requestReducedFormat</i> .  | -                            |
| <b>SupportedBandCombinationReduced-v1320, SupportedBandCombinationReduced-v1380, SupportedBandCombinationReduced-v1390, SupportedBandCombinationReduced-v1430, SupportedBandCombinationReduced-v1450, SupportedBandCombinationReduced-v1470, SupportedBandCombinationReduced-v14b0, SupportedBandCombinationReduced-v1530</b><br>If included, the UE shall include the same number of entries, and listed in the same order, as in <i>supportedBandCombinationReduced-r13</i> .   | -                            |
| <b>SupportedBandGERAN</b><br>GERAN band as defined in TS 45.005 [20].   | No                           |
| <b>SupportedBandList1XRTT</b><br>One entry corresponding to each supported CDMA2000 1xRTT band class.   | -                            |
| <b>SupportedBandListEUTRA</b><br>Includes the supported E-UTRA bands. This field shall include all bands which are indicated in <i>BandCombinationParameters</i> .  | -                            |
| <b>SupportedBandListEUTRA-v9e0, SupportedBandListEUTRA-v1250, SupportedBandListEUTRA-v1310, SupportedBandListEUTRA-v1320</b><br>If included, the UE shall include the same number of entries, and listed in the same order, as in <i>supportedBandListEUTRA</i> (i.e. without suffix).  | -                            |
| <b>SupportedBandListGERAN</b>   | No                           |
| <b>SupportedBandListHRPD</b><br>One entry corresponding to each supported CDMA2000 HRPD band class.   | -                            |
| <b>SupportedBandListNR-SA</b><br>Includes the NR bands supported by the UE in NR-SA (for handover and redirection). The field is included in case the UE supports NR SA as specified in TS 38.331 [32] and not otherwise. The presence of this field also indicates that the UE can perform both NR SS-RSRP and SS-RSRQ measurement in the included NR band(s) as specified in TS 38.215 [89].  | No                           |
| <b>supportedBandListEN-DC</b><br>Includes the NR bands supported by the UE in (NG)EN-DC. The field is included in case the parameter <i>en-DC</i> or <i>ng-EN-DC</i> is present and set to <i>supported</i> and not otherwise. The presence of this field also indicates that the UE can perform both NR SS-RSRP and SS-RSRQ measurement in the included NR band(s) as specified in TS 38.215 [89].   | -                            |
| <b>supportedBandListWLAN</b><br>Indicates the supported WLAN bands by the UE.   | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>SupportedBandUTRA-FDD</b><br>UTRA band as defined in TS 25.101 [17].   | -                            |
| <b>SupportedBandUTRA-TDD128</b><br>UTRA band as defined in TS 25.102 [18].  | -                            |
| <b>SupportedBandUTRA-TDD384</b><br>UTRA band as defined in TS 25.102 [18].  | -                            |
| <b>SupportedBandUTRA-TDD768</b><br>UTRA band as defined in TS 25.102 [18].  | -                            |
| <b>supportedBandwidthCombinationSet</b><br>The <i>supportedBandwidthCombinationSet</i> indicated for a band combination is applicable to all bandwidth classes indicated by the UE in this band combination.<br>Field encoded as a bit map, where bit N is set to "1" if UE support Bandwidth Combination Set N for this band combination, see 36.101 [42]. The leading / leftmost bit (bit 0) corresponds to the Bandwidth Combination Set 0, the next bit corresponds to the Bandwidth Combination Set 1 and so on. The UE shall neither include the field for a non-CA band combination, nor for a CA band combination for which the UE only supports Bandwidth Combination Set 0.   | -                            |
| <b>supportedCellGrouping</b><br>This field indicates for which mapping of serving cells to cell groups (i.e. MCG or SCG) the UE supports asynchronous DC. This field is only present for a band combination with more than two but less than six band entries where the UE supports asynchronous DC. If this field is not present but asynchronous operation is supported, the UE supports all possible mappings of serving cells to cell groups for the band combination. The bitmap size is selected based on the number of entries in the combinations, i.e., in case of three entries, the bitmap corresponding to <i>threeEntries</i> is selected and so on.<br>A bit in the bit string set to 1 indicates that the UE supports asynchronous DC for the cell grouping option represented by the concerned bit position. Each bit position represents a different cell grouping option, as illustrated by a table, see NOTE 5. A cell grouping option is represented by a number of bits, each representing a particular band entry in the band combination with the left-most bit referring to the band listed first in the band combination, etc. Value 0 indicates that the carriers of the corresponding band entry are mapped to a first cell group, while value 1 indicates that the carriers of the corresponding band entry are mapped to a second cell group.<br>It is noted that the mapping table does not include entries with all bits set to the same value (0 or 1) as this does not represent a DC scenario (i.e. indicating that the UE supports that all carriers of the corresponding band entry are in one cell group). | -                            |
| <b>supportedCSI-Proc, sTTI-SupportedCSI-Proc</b><br>Indicates the maximum number of CSI processes supported on a component carrier within a band. Value n1 corresponds to 1 CSI process, value n3 corresponds to 3 CSI processes, and value n4 corresponds to 4 CSI processes. If this field is included, the UE shall include the same number of entries listed in the same order as in <i>BandParameters/STTI-SPT-BandParameters</i> . If the UE supports at least 1 CSI process on any component carrier, then the UE shall include this field in all bands in all band combinations.  | -                            |
| <b>supportedCSI-Proc (in FeatureSetDL-PerCC)</b><br>In MR-DC, indicates the number of CSI processes for the component carrier in the corresponding bandwidth class. If the UE supports at least 1 CSI process, then the UE shall include this field.  | -                            |
| <b>supportedMIMO-CapabilityDL-MRDC (in FeatureSetDL-PerCC)</b><br>In MR-DC, indicates the maximum number of supported layers in TM9/10 for the component carrier in the corresponding bandwidth class.  | -                            |
| <b>supportedNAICS-2CRS-AP</b><br>If included, the UE supports NAICS for the band combination. The UE shall include a bitmap of the same length, and in the same order, as in <i>naics-Capability-List</i> , to indicate 2 CRS AP NAICS capability of the band combination. The first/ leftmost bit points to the first entry of <i>naics-Capability-List</i> , the second bit points to the second entry of <i>naics-Capability-List</i> , and so on.<br>For band combinations with a single component carrier, UE is only allowed to indicate $\{numberOfNAICS-CapableCC, numberOfAggregatedPRB\} = \{1, 100\}$ if NAICS is supported.   | -                            |
| <b>supportedOperatorDic</b><br>Indicates whether the UE supports operator defined dictionary. If UE supports operator defined dictionary, the UE shall report <i>versionOfDictionary</i> and <i>associatedPLMN-ID</i> of the stored operator defined dictionary. This parameter is not required to be present if the UE is in VPLMN. In this release of the specification, UE can only support one operator defined dictionary. The <i>associatedPLMN-ID</i> is only associated to the operator defined dictionary which has no relationship with UE's HPLMN ID.  | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>  | <b>FDD/<br/>TDD<br/>diff</b> |
|--|------------------------------|
| <b>supportRohcContextContinue</b><br>Indicates whether the UE supports ROHC context continuation operation where the UE does not reset the current ROHC context upon handover.   | -                            |
| <b>supportedROHC-Profiles</b><br>Indicates the ROHC profiles that UE supports in both uplink and downlink.   | -                            |
| <b>supportedUplinkOnlyROHC-Profiles</b><br>Indicates the ROHC profiles that UE supports in uplink and not in downlink, see TS 36.323 [8]   | -                            |
| <b>supportedStandardDic</b><br>Indicates whether the UE supports standard dictionary for SIP and SDP as specified in TS 36.323 [8].  | -                            |
| <b>supportedUDC</b><br>Indicates whether the UE supports UL data compression, see TS 36.323 [8].   | -                            |
| <b>tdd-SpecialSubframe</b><br>Indicates whether the UE supports TDD special subframe defined in TS 36.211 [21]. A UE shall indicate <i>tdd-SpecialSubframe-r11</i> if it supports the TDD special subframes ssp7 and ssp9. A UE shall indicate <i>tdd-SpecialSubframe-r14</i> if it supports the TDD special subframe ssp10, except when <i>ssp10-TDD-Only-r14</i> is included.  | Yes                          |
| <b>tdd-FDD-CA-PCellDuplex</b><br>The presence of this field indicates that the UE supports TDD/FDD CA in any supported band combination including at least one FDD band with <i>bandParametersUL</i> and at least one TDD band with <i>bandParametersUL</i> . The first bit is set to "1" if UE supports the TDD PCell. The second bit is set to "1" if UE supports FDD PCell. This field is included only if the UE supports band combination including at least one FDD band with <i>bandParametersUL</i> and at least one TDD band with <i>bandParametersUL</i> . If this field is included, the UE shall set at least one of the bits as "1". If this field is included with DC, then it is applicable within a CG, and the presence of this field indicates the capability of the UE to support TDD/FDD CA with at least one FDD band and at least one TDD band in the same CG, with the value indicating the support for TDD/FDD PCell (PSCell). | No                           |
| <b>tdd-TTI-Bundling</b><br>The presence of this field indicates whether the UE supporting TDD special subframe configuration 10 also supports TTI bundling for TDD configuration 2 and 3 when PUSCH transmission in UpPTS is configured, see TS 36.213 [23], clause 8.0. If this field is present, the <i>tdd-SpecialSubframe-r14</i> or <i>ssp10-TDD-Only-r14</i> shall be present.   | Yes                          |
| <b>timeReferenceProvision</b><br>Indicates whether the UE supports provision of time reference in <i>DLInformationTransfer</i> message.  | -                            |
| <b>timerT312</b><br>Indicates whether the UE supports T312.  | No                           |
| <b>tm5-FDD</b><br>Indicates whether the UE supports the PDSCH transmission mode 5 in FDD.  | -                            |
| <b>tm5-TDD</b><br>Indicates whether the UE supports the PDSCH transmission mode 5 in TDD.  | -                            |
| <b>tm6-CE-ModeA</b><br>Indicates whether the UE supports tm6 operation in CE mode A, see TS 36.213 [23], clause 7.2.3. This field can be included only if <i>ce-ModeA</i> is included.   | Yes                          |
| <b>tm8-slotPDSCH</b><br>Indicates whether the UE supports configuration and decoding of TM8 for slot PDSCH in TDD.   | -                            |
| <b>tm9-CE-ModeA</b><br>Indicates whether the UE supports tm9 operation in CE mode A, see TS 36.213 [23], clause 7.2.3. This field can be included only if <i>ce-ModeA</i> is included.   | Yes                          |
| <b>tm9-CE-ModeB</b><br>Indicates whether the UE supports tm9 operation in CE mode B, see TS 36.213 [23], clause 7.2.3. This field can be included only if <i>ce-ModeB</i> is included.   | Yes                          |
| <b>tm9-LAA</b><br>Indicates whether the UE supports tm9 operation on LAA cell(s). This field can be included only if <i>downlinkLAA</i> is included.   | -                            |
| <b>tm9-slotSubslot</b><br>Indicates whether the UE supports configuration and decoding of TM9 for slot and/or subslot PDSCH for non-MBSFN.   | Yes                          |
| <b>tm9-slotSubslotMBSFN</b><br>Indicates whether the UE supports configuration and decoding of TM9 for slot and/or subslot PDSCH for MBSFN.  | Yes                          |

| <b>UE-EUTRA-Capability field descriptions</b>  | <b>FDD/<br/>TDD<br/>diff</b> |
|--|------------------------------|
| <b>tm9-With-8Tx-FDD</b><br>Indicates whether the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports for FDD when not operating in CE mode.  | Yes                          |
| <b>tm10-LAA</b><br>Indicates whether the UE supports tm10 operation on LAA cell(s). This field can be included only if <i>downlinkLAA</i> is included.   | -                            |
| <b>tm10-slotSubslot</b><br>Indicates whether the UE supports configuration and decoding of TM10 for slot and/or subslot PDSCH for non-MBSFN.   | Yes                          |
| <b>tm10-slotSubslotMBSFN</b><br>Indicates whether the UE supports configuration and decoding of TM10 for slot and/or subslot PDSCH for MBSFN.  | Yes                          |
| <b>totalWeightedLayers</b><br>Indicates total number of weighted layers the UE can process for FD-MIMO. See NOTE 8.  | -                            |
| <b>twoAntennaPortsForPUCCH</b>   | No                           |
| <b>twoStepSchedulingTimingInfo</b><br>Presence of this field indicates that the UE supports uplink scheduling using PUSCH trigger A and PUSCH trigger B (as defined in TS 36.213 [23]).<br>This field also indicates the timing between the PUSCH trigger B and the earliest time the UE supports performing the associated UL transmission. For reception of PUSCH trigger B in subframe N, value <i>nPlus1</i> indicates that the UE supports performing the UL transmission in subframe N+1, value <i>nPlus2</i> indicates that the UE supports performing the UL transmission in subframe N+2, and so on.<br>This field can be included only if <i>uplinkLAA</i> is included.  | -                            |
| <b>txAntennaSwitchDL, txAntennaSwitchUL</b><br>The presence of <i>txAntennaSwitchUL</i> indicates the UE supports transmit antenna selection for this UL band in the band combination as described in TS 36.213 [23], clauses 8.2 and 8.7. The field <i>txAntennaSwitchDL</i> indicates the entry number of the first-listed band with UL in the band combination that affects this DL. The field <i>txAntennaSwitchUL</i> indicates the entry number of the first-listed band with UL in the band combination that switches together with this UL. Value 1 means first entry, value 2 means second entry and so on. All DL and UL that switch together indicate the same entry number.<br>For the case of carrier switching, the antenna switching capability for the target carrier configuration is indicated as follows:<br>For UE configured with a set of component carriers belonging to a band combination $C_{baseline} = \{b_1(1), \dots, b_x(1), \dots, b_y(0), \dots\}$ , where "1/0" denotes whether the corresponding band has an uplink, if a component carrier in $b_x$ is to be switched to a component carrier in $b_y$ (according to <i>srs-SwitchFromServCellIndex</i> ), the antenna switching capability is derived based on band combination $C_{target} = \{b_1(1), \dots, b_x(0), \dots, b_y(1), \dots\}$ . | -                            |
| <b>txDiv-PUCCH1b-ChSelect</b><br>Indicates whether the UE supports transmit diversity for PUCCH format 1b with channel selection.  | Yes                          |
| <b>txDiv-SPUCCH</b><br>Indicates whether the UE supports Tx diversity on SPUCCH format 1/1a/1b/3.  | Yes                          |
| <b>uci-PUSCH-Ext</b><br>Indicates whether the UE supports an extension of UCI delivering more than 22 HARQ-ACK bits on PUSCH as specified in TS 36.212 [22], clause 5.2.2.6 and TS 36.213 [23], clause 8.6.3.  | No                           |
| <b>ue-AutonomousWithFullSensing</b><br>Indicates whether the UE supports transmitting PSCCH/PSSCH using UE autonomous resource selection mode with full sensing (i.e., continuous channel monitoring) for V2X sidelink communication and the UE supports maximum transmit power associated with Power class 3 V2X UE, see TS 36.101 [42].  | -                            |
| <b>ue-AutonomousWithPartialSensing</b><br>Indicates whether the UE supports transmitting PSCCH/PSSCH using UE autonomous resource selection mode with partial sensing (i.e., channel monitoring in a limited set of subframes) for V2X sidelink communication and the UE supports maximum transmit power associated with Power class 3 V2X UE, see TS 36.101 [42].   | -                            |
| <b>ue-Category</b><br>UE category as defined in TS 36.306 [5]. Set to values 1 to 12 in this version of the specification.   | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>ue-CategoryDL</b><br>UE DL category as defined in TS 36.306 [5]. Value <i>n17</i> corresponds to UE category 17, value <i>m1</i> corresponds to UE category M1, value <i>oneBis</i> corresponds to UE category 1bis, value <i>m2</i> corresponds to UE category M2. For ASN.1 compatibility, a UE indicating DL category 0, <i>m1</i> or <i>m2</i> shall also indicate any of the categories (1..5) in <i>ue-Category</i> (without suffix), which is ignored by the eNB, a UE indicating UE category oneBis shall also indicate UE category 1 in <i>ue-Category</i> (without suffix), and a UE indicating UE category <i>m2</i> shall also indicate UE category <i>m1</i> . The field <i>ue-CategoryDL</i> is set to values 0, <i>m1</i> , <i>oneBis</i> , <i>m2</i> , 4, 6, 7, 9 to 16, <i>n17</i> , 18, 19, 20, 21, 22, 23, 24, 25, 26 in this version of the specification. | -                            |
| <b>ue-CategorySL-C-TX</b><br>UE SL category for V2X transmission as defined in TS 36.306 [5]. Set to values 1 to 5 in this version of the specification.  | -                            |
| <b>ue-CategorySL-C-RX</b><br>UE SL category for V2X reception as defined in TS 36.306 [5]. Set to values 1 to 4 in this version of the specification.   | -                            |
| <b>ue-CategoryUL</b><br>UE UL category as defined in TS 36.306 [5]. Value <i>n14</i> corresponds to UE category 14, value <i>n16</i> corresponds to UE category 16 and so on. Value <i>m1</i> corresponds to UE category M1, value <i>m2</i> corresponds to UE category M2, value <i>oneBis</i> corresponds to UE category 1bis. The field <i>ue-CategoryUL</i> is set to values <i>m1</i> , <i>m2</i> , 0, <i>oneBis</i> , 3, 5, 7, 8, 13, <i>n14</i> , 15, <i>n16</i> to <i>n21</i> or 22 to 26 in this version of the specification.   | -                            |
| <b>ue-CA-PowerClass-N</b><br>Indicates whether the UE supports UE power class N in the E-UTRA band combination, see TS 36.101 [42] and TS 36.307 [78]. If <i>ue-CA-PowerClass-N</i> is not included, UE supports the default UE power class in the E-UTRA band combination, see TS 36.101 [42].   | -                            |
| <b>ue-CE-NeedULGaps</b><br>Indicates whether the UE needs uplink gaps during continuous uplink transmission in FDD as specified in TS 36.211 [21] and TS 36.306 [5].  | -                            |
| <b>ue-PowerClass-N, ue-PowerClass-5</b><br>Indicates whether the UE supports UE power class 1, 2, 4 or 5 in the E-UTRA band, see TS 36.101 [42] and TS 36.307 [79]. UE includes either <i>ue-PowerClass-N</i> or <i>ue-PowerClass-5</i> . If neither <i>ue-PowerClass-N</i> nor <i>ue-PowerClass-5</i> is included, UE supports the default UE power class in the E-UTRA band, see TS 36.101 [42].  | -                            |
| <b>ue-Rx-TxTimeDiffMeasurements</b><br>Indicates whether the UE supports Rx - Tx time difference measurements.  | No                           |
| <b>ue-SpecificRefSigsSupported</b>  | No                           |
| <b>ue-SSTD-Meas</b><br>Indicates whether the UE supports SSTD measurements between the PCell and the PSCell as specified in TS 36.214 [48] and TS 36.133 [16].  | -                            |
| <b>ue-TxAntennaSelectionSupported</b><br>Except for the supported band combinations for which <i>bandParameterList-v1380</i> is included, TRUE indicates that the UE is capable of supporting UE transmit antenna selection such that all the supported bands in the band combination are affected by transmit antenna switching, as described in TS 36.213 [23], clause 8.7. E-UTRAN ignores this field for band combinations for which <i>bandParameterList-v1380</i> is included.  | Yes                          |
| <b>ue-TxAntennaSelection-SRS-1T4R</b><br>Indicates whether the UE supports selecting one antenna among four antennas to transmit SRS for the corresponding band of the band combination as described in TS 36.213 [23].   | -                            |
| <b>ue-TxAntennaSelection-SRS-2T4R-2Pairs</b><br>Indicates whether the UE supports selecting one antenna pair between two antenna pairs to transmit SRS simultaneously for the corresponding band of the band combination as described in TS 36.213 [23].  | -                            |
| <b>ue-TxAntennaSelection-SRS-2T4R-3Pairs</b><br>Indicates whether the UE supports selecting one antenna pair among three antenna pairs to transmit SRS simultaneously for the corresponding band of the band combination as described in TS 36.213 [23].  | -                            |
| <b>ul-64QAM</b><br>Indicates whether the UE supports 64QAM in UL on the band. This field is only present when the field <i>ue-CategoryUL</i> indicates UL UE category that supports UL 64QAM, see TS 36.306 [5], Table 4.1A-2. If the field is present for one band, the field shall be present for all bands including downlink only bands.  | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>   | <b>FDD/<br/>TDD<br/>diff</b> |
|---|------------------------------|
| <b>ul-256QAM</b><br>Indicates whether the UE supports 256QAM in UL on the band in the band combination. This field is only present when the field <i>ue-CategoryUL</i> indicates UL UE category that supports 256QAM in UL, see TS 36.306 [5], Table 4.1A-2. The UE includes this field only if the field <i>ul-256QAM-perCC-InfoList</i> is not included.  | -                            |
| <b>ul-256QAM (in FeatureSetUL-PerCC)</b><br>Indicates whether the UE supports 256QAM in UL for MR-DC within the indicated feature set. This field is only present when the field <i>ue-CategoryUL</i> indicates UL UE category that supports 256QAM in UL, see TS 36.306 [5], Table 4.1A-2.   | -                            |
| <b>ul-256QAM-perCC-InfoList</b><br>Indicates, per serving carrier of which the corresponding bandwidth class includes multiple serving carriers (i.e. bandwidth class B, C, D and so on), whether the UE supports 256QAM in the band combination. The number of entries is equal to the number of component carriers in the corresponding bandwidth class. The UE shall support the setting indicated in each entry of the list regardless of the order of entries in the list. This field is only present when the field <i>ue-CategoryUL</i> indicates UL UE category that supports 256QAM in UL, see TS 36.306 [5], Table 4.1A-2. The UE includes this field only if the field <i>ul-256QAM</i> is not included. | -                            |
| <b>ul-256QAM-Slot</b><br>Indicates whether the UE supports 256QAM in UL for slot TTI operation on the band.   | -                            |
| <b>ul-256QAM-Subslot</b><br>Indicates whether the UE supports 256QAM in UL for subslot TTI operation on the band.   | -                            |
| <b>ul-AsyncHARQSharingDiff-TTI-Lengths</b><br>Indicates whether the UE supports UL asynchronous HARQ sharing between different TTI lengths for an UL serving cell.  | Yes                          |
| <b>ul-CoMP</b><br>Indicates whether the UE supports UL Coordinated Multi-Point operation.   | No                           |
| <b>ul-dmrs-Enhancements</b><br>Indicates whether the UE supports UL DMRS enhancements as defined in TS 36.211 [21], clause 6.10.3A.   | Yes                          |
| <b>ul-PDCP-Delay</b><br>Indicates whether the UE supports UL PDCP Packet Delay per QCI measurement as specified in TS 36.314 [71].  | -                            |
| <b>ul-powerControlEnhancements</b><br>Indicates whether UE supports UplinkPowerControlDedicated.  | Yes                          |
| <b>uplinkLAA</b><br>Presence of the field indicates that the UE supports uplink LAA operation.  | -                            |
| <b>uss-BlindDecodingAdjustment</b><br>Indicates whether the UE supports blind decoding adjustment on UE specific search space as defined in TS 36.213 [22]. This field can be included only if uplinkLAA is included.   | -                            |
| <b>uss-BlindDecodingReduction</b><br>Indicates whether the UE supports blind decoding reduction on UE specific search space by not monitoring DCI format 0A/0B/4A/4B as defined in TS 36.213 [22]. This field can be included only if uplinkLAA is included.  | -                            |
| <b>unicastFrequencyHopping</b><br>Indicates whether the UE supports frequency hopping for unicast MPDCCH/PDSCH (configured by <i>mpdcch-pdsch-HoppingConfig</i> ) and unicast PUSCH (configured by <i>pusch-HoppingConfig</i> ).  | -                            |
| <b>unicast-fembmsMixedSCell</b><br>Indicates whether the UE supports unicast reception from FeMBMS/Unicast mixed cell. This field is included only if UE supports carrier aggregation.  | No                           |
| <b>utra-GERAN-CGI-Reporting-ENDC</b><br>Indicates whether the UE supports Inter-RAT report CGI procedure towards GERAN/UTRA cell when it is configured with (NG)EN-DC wherein either MN and SN have different DRX cycles, or on-duration configured by MN does not contain on-duration configured by SN if their DRX cycles are same.   | Yes                          |
| <b>utran-ProximityIndication</b><br>Indicates whether the UE supports proximity indication for UTRAN CSG member cells.  | -                            |
| <b>utran-SI-AcquisitionForHO</b><br>Indicates whether the UE supports, upon configuration of <i>si-RequestForHO</i> by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring UMTS cell.  | Yes                          |

| <b>UE-EUTRA-Capability field descriptions</b>  | <b>FDD/<br/>TDD<br/>diff</b> |
|--|------------------------------|
| <b>v2x-BandwidthClassTxSL, v2x-BandwidthClassRxSL</b><br>The bandwidth class for V2X sidelink transmission and reception supported by the UE as defined in TS 36.101 [42], Table 5.6G.1-3.<br>The UE explicitly includes all the supported bandwidth class combinations for V2X sidelink transmission or reception in the band combination signalling. Support for one bandwidth class does not implicitly indicate support for another bandwidth class.   | -                            |
| <b>v2x-eNB-Scheduled</b><br>Indicates whether the UE supports transmitting PSCCH/PSSCH using dynamic scheduling, SPS in eNB scheduled mode for V2X sidelink communication, reporting SPS assistance information and the UE supports maximum transmit power associated with Power class 3 V2X UE, see TS 36.101 [42] in a band.   | -                            |
| <b>v2x-EnhancedHighReception</b><br>Indicates whether the UE supports reception of 30 PSCCH in a subframe and decoding of 204 RBs per subframe counting both PSCCH and PSSCH in a band for V2X sidelink communication.   | -                            |
| <b>v2x-HighPower</b><br>Indicates whether the UE supports maximum transmit power associated with Power class 2 V2X UE for V2X sidelink transmission in a band, see TS 36.101 [42].   | -                            |
| <b>v2x-HighReception</b><br>Indicates whether the UE supports reception of 20 PSCCH in a subframe and decoding of 136 RBs per subframe counting both PSCCH and PSSCH in a band for V2X sidelink communication.   | -                            |
| <b>v2x-nonAdjacentPSCCH-PSSCH</b><br>Indicates whether the UE supports transmission and reception in the configuration of non-adjacent PSCCH and PSSCH for V2X sidelink communication.   | -                            |
| <b>v2x-numberTxRxTiming</b><br>Indicates the number of multiple reference TX/RX timings counted over all the configured sidelink carriers for V2X sidelink communication.  | -                            |
| <b>v2x-SensingReportingMode3</b><br>Indicates whether the UE supports sensing measurements and reporting of measurement results in eNB scheduled mode for V2X sidelink communication.  | -                            |
| <b>v2x-SupportedBandCombinationList</b><br>Indicates the supported band combination list on which the UE supports simultaneous transmission and/or reception of V2X sidelink communication.  | -                            |
| <b>v2x-SupportedTxBandCombListPerBC, v2x-SupportedRxBandCombListPerBC</b><br>Indicates, for a particular band combination of EUTRA, the supported band combination list among <i>v2x-SupportedBandCombinationList</i> on which the UE supports simultaneous transmission or reception of EUTRA and V2X sidelink communication respectively. The first bit refers to the first entry of <i>v2x-SupportedBandCombinationList</i> , with value 1 indicating V2X sidelink transmission/reception is supported. | -                            |
| <b>v2x-TxWithShortResvInterval</b><br>Indicates whether the UE supports 20 ms and 50 ms resource reservation periods for UE autonomous resource selection and eNB scheduled resource allocation for V2X sidelink communication.  | -                            |
| <b>voiceOverPS-HS-UTRA-FDD</b><br>Indicates whether UE supports IMS voice according to GSMA IR.58 profile in UTRA FDD.   | -                            |
| <b>voiceOverPS-HS-UTRA-TDD128</b><br>Indicates whether UE supports IMS voice in UTRA TDD 1.28Mcps.   | -                            |
| <b>whiteCellList</b><br>Indicates whether the UE supports EUTRA white cell listing to limit the set of cells applicable for measurements.  | -                            |
| <b>wlan-IW-RAN-Rules</b><br>Indicates whether the UE supports RAN-assisted WLAN interworking based on access network selection and traffic steering rules.   | -                            |
| <b>wlan-IW-ANDSF-Policies</b><br>Indicates whether the UE supports RAN-assisted WLAN interworking based on ANDSF policies.   | -                            |
| <b>wlan-MAC-Address</b><br>Indicates the WLAN MAC address of this UE.  | -                            |
| <b>wlan-PeriodicMeas</b><br>Indicates whether the UE supports periodic reporting of WLAN measurements.   | -                            |
| <b>wlan-ReportAnyWLAN</b><br>Indicates whether the UE supports reporting of WLANs not listed in the <i>measObjectWLAN</i> .  | -                            |

| <b>UE-EUTRA-Capability field descriptions</b>  | <b>FDD/<br/>TDD<br/>diff</b> |
|--|------------------------------|
| <b>wlan-SupportedDataRate</b><br>Indicates the maximum WLAN data rate supported by the UE over all LWA bearers. Actual value of supported data rate is field value * 10 Mbps (i.e., value 1 corresponds to 10 Mbps, value 2 corresponds to 20 Mbps and so on). | -                            |
| <b>zp-CSI-RS-AperiodicInfo</b><br>Indicates whether the UE supports aperiodic ZP-CSI-RS transmission for the indicated transmission mode.  | Yes                          |

NOTE 1: The IE *UE-EUTRA-Capability* does not include AS security capability information, since these are the same as the security capabilities that are signalled by NAS. Consequently, AS need not provide "man-in-the-middle" protection for the security capabilities.

NOTE 2: The column FDD/ TDD diff indicates if the UE is allowed to signal, as part of the additional capabilities for an XDD mode i.e. within *UE-EUTRA-CapabilityAddXDD-Mode-xNM*, a different value compared to the value signalled elsewhere within *UE-EUTRA-Capability* (i.e. the common value, supported for both XDD modes). A '-' is used to indicate that it is not possible to signal different values (used for fields for which the field description is provided for other reasons). Annex E specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a capability for which it indicates support within the capability signalling.

NOTE 2a: From REL-15 onwards, the UE is not allowed to signal different values for FDD and TDD unless yes is indicated in column FDD/ TDD diff (i.e. no need to introduce field description solely for the purpose of indicate no).

NOTE 3: The *BandCombinationParameters* for the same band combination can be included more than once.

NOTE 4: UE CA and measurement capabilities indicate the combinations of frequencies that can be configured as serving frequencies.

NOTE 5: The grouping of the cells to the first and second cell group, as indicated by *supportedCellGrouping*, is shown in the table below. The leading / leftmost bit of *supportedCellGrouping* corresponds to the Bit String Position 1.

| <b>Nr of Band Entries:</b>   | 5   | 4    | 3   |
|------------------------------|---|------|-----|
| <b>Length of Bit-String:</b> | 15  | 7    | 3   |
| <b>Bit String Position</b>   | <b>Cell grouping option (0= first cell group, 1= second cell group)</b> |      |     |
| 1                            | 00001   | 0001 | 001 |
| 2                            | 00010   | 0010 | 010 |
| 3                            | 00011   | 0011 | 011 |
| 4                            | 00100   | 0100 |     |
| 5                            | 00101   | 0101 |     |
| 6                            | 00110   | 0110 |     |
| 7                            | 00111   | 0111 |     |
| 8                            | 01000   |      |     |
| 9                            | 01001   |      |     |
| 10                           | 01010   |      |     |
| 11                           | 01011   |      |     |
| 12                           | 01100   |      |     |
| 13                           | 01101   |      |     |
| 14                           | 01110   |      |     |
| 15                           | 01111   |      |     |



NOTE 6: UE includes the *intraBandContiguousCC-InfoList-r12* also for bandwidth class A because of the presence conditions in *BandCombinationParameters-v1270*. For example, if UE supports CA\_1A\_41D band combination, if UE includes the field *intraBandContiguousCC-InfoList-r12* for band 41, the UE includes *intraBandContiguousCC-InfoList-r12* also for band 1.

NOTE 6a: For multiple *BandParameters* entries with the same *bandEUTRA* and same *ca-BandwidthClassDL* in a supported band combination, the UE capabilities indicated by *BandParameters* are agnostic to the order in which they are indicated in the *bandParameterList*, under the condition that the set of the capabilities indicated for the concerned *bandEUTRA* (e.g. *bandParametersDL* and *bandParametersUL*) are used together, and the concerned *BandParameters* correspond to the *supportedBandwithCombinationSet* for which set of channel bandwidths for carrier(s) is the same among sub-blocks, as defined in TS 36.101 [42], Table 5.6A.1-3, Table 5.6A.1-4, Table 5.6A.1-5.

NOTE 7: For a UE that indicates release X in field *accessStratumRelease* but supports a feature specified in release X+ N (i.e. early UE implementation), the ASN.1 comprehension requirement are specified in Annex F.

NOTE 8: For a UE that does not include *mimo-WeightedLayersCapabilities-r13*, or for the case with no CC configured with FD-MIMO, the FD-MIMO processing capability condition is not applicable (i.e. considered as satisfied). For a UE that includes *mimo-WeightedLayersCapabilities-r13*, the FD-MIMO processing capability condition is satisfied if the equation 4.3.28.13-1 in TS 36.306 [5] is satisfied.

## – UE-RadioPagingInfo

The *UE-RadioPagingInfo* IE contains UE capability information needed for paging.

### UE-RadioPagingInfo information element

```
-- ASN1START
UE-RadioPagingInfo-r12 ::=
  ue-Category-v1250          SEQUENCE {
    INTEGER (0)              OPTIONAL,
    . . .
    [[ ue-CategoryDL-v1310   ENUMERATED {m1}      OPTIONAL,
      ce-ModeA-r13           ENUMERATED {true}     OPTIONAL,
      ce-ModeB-r13           ENUMERATED {true}     OPTIONAL
    ]],
    [[ wakeUpSignal-r15      ENUMERATED {true}     OPTIONAL,
      wakeUpSignal-TDD-r15   ENUMERATED {true}     OPTIONAL,
      wakeUpSignalMinGap-eDRX-r15  ENUMERATED {ms40, ms240, ms1000, ms2000}
    OPTIONAL,
      wakeUpSignalMinGap-eDRX-TDD-r15  ENUMERATED {ms40, ms240, ms1000, ms2000}  OPTIONAL
    ]],
  ]
}
-- ASN1STOP
```

#### UE-RadioPagingInfo field descriptions

##### **ce-ModeA, ce-ModeB**

Indicates whether the UE supports operation in CE mode A and/or B, as specified in TS 36.211 [21] and TS 36.213 [23].

##### **ue-Category, ue-CategoryDL**

UE category as defined in TS 36.306 [5]. A category M2 UE shall always include the field *ue-CategoryDL-v1310* in this version of the specification.

##### **wakeUpSignal, wakeUpSignal-TDD**

Indicates whether the UE supports WUS for paging, as specified in TS 36.213 [22] and TS 36.304 [4]. If this field is included, the minimum gap between WUS and associated PO for DRX is fixed as 40 ms.

##### **wakeUpSignalMinGap-eDRX, wakeUpSignalMinGap-eDRX-TDD**

Indicates the minimum gap the UE supports between WUS and associated PO for eDRX as specified in TS 36.213 [22] and TS 36.304 [4]. Value ms40 corresponds to 40 ms, ms240 corresponds to 240 ms and so on. If this field is included, the UE shall also indicate support of WUS for paging.

*UE-TimersAndConstants*

The IE *UE-TimersAndConstants* contains timers and constants used by the UE in either RRC\_CONNECTED or RRC\_IDLE.

**UE-TimersAndConstants information element**

```

-- ASN1START
UE-TimersAndConstants ::= SEQUENCE {
    t300 ENUMERATED {
        ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
        ms2000},
    t301 ENUMERATED {
        ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
        ms2000},
    t310 ENUMERATED {
        ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
    n310 ENUMERATED {
        n1, n2, n3, n4, n6, n8, n10, n20},
    t311 ENUMERATED {
        ms1000, ms3000, ms5000, ms10000, ms15000,
        ms20000, ms30000},
    n311 ENUMERATED {
        n1, n2, n3, n4, n5, n6, n8, n10},
    ...,
    [[ t300-v1310 ENUMERATED {
        ms2500, ms3000, ms3500, ms4000, ms5000, ms6000, ms8000,
        ms10000} OPTIONAL, -- Need OR
        t301-v1310 ENUMERATED {
        ms2500, ms3000, ms3500, ms4000, ms5000, ms6000, ms8000,
        ms10000} OPTIONAL -- Need OR
    ]],
    [[ t310-v1330 ENUMERATED {ms4000, ms6000}
        OPTIONAL -- Need OR
    ]],
    [[ t300-r15 ENUMERATED {ms4000, ms6000, ms8000, ms10000, ms15000,
        ms25000, ms40000, ms60000} OPTIONAL -- Cond EDT
    ]]
}
-- ASN1STOP
    
```

| <b>UE-TimersAndConstants field descriptions</b> |   |
|---|---|
| <b>n3xy</b>                                     | Constants are described in clause 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.  |
| <b>t3xy</b>                                     | Timers are described in clause 7.3. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on. EUTRAN includes an extended value <i>t3xy-v1310</i> and <i>t3xy-v1330</i> only in the Bandwidth Reduced (BR) version of the SIB. UEs that support Coverage Enhancement (CE) mode B shall use the extended values <i>t3xy-v1310</i> and <i>t3xy-v1330</i> , if present, and ignore the value signaled by <i>t3xy</i> (without the suffix). <i>t300-r15</i> is only applicable for EDT. UE performing EDT shall use <i>t300-r15</i> , if present. |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>EDT</i>                  | The field is optionally present, Need OR, if <i>edt-Parameters</i> is present in SIB2; otherwise the field is not present and the UE shall delete any existing value for this field. |

*VisitedCellInfoList*

The IE *VisitedCellInfoList* includes the mobility history information of maximum of 16 most recently visited cells or time spent outside E-UTRA. The most recently visited cell is stored first in the list. The list includes cells visited in RRC\_IDLE and RRC\_CONNECTED states.

**VisitedCellInfoList information element**

```

-- ASN1START
VisitedCellInfoList-r12 ::= SEQUENCE (SIZE (1..maxCellHistory-r12)) OF VisitedCellInfo-r12
    
```

```

VisitedCellInfo-r12 ::= SEQUENCE {
    visitedCellId-r12 CHOICE {
        cellGlobalId-r12 CellGlobalIdEUTRA,
        pci-arfcn-r12 SEQUENCE {
            physCellId-r12 PhysCellId,
            carrierFreq-r12 ARFCN-ValueEUTRA-r9
        }
    }
    timeSpent-r12 INTEGER (0..4095), OPTIONAL,
    ...
}
-- ASN1STOP

```

#### **VisitedCellInfoList field descriptions**

##### **timeSpent**

This field indicates the duration of stay in the cell or outside E-UTRA approximated to the closest second. If the duration of stay exceeds 4095s, the UE shall set it to 4095s.

## – WLAN-OffloadConfig

The IE *WLAN-OffloadConfig* includes information for traffic steering between E-UTRAN and WLAN. The fields are applicable to both RAN-assisted WLAN interworking based on access network selection and traffic steering rules and RAN-assisted WLAN interworking based on ANDSF policies unless stated otherwise in the field description.

#### **WLAN-OffloadConfig information element**

```

-- ASN1START
WLAN-OffloadConfig-r12 ::= SEQUENCE {
    thresholdRSRP-r12 SEQUENCE {
        thresholdRSRP-Low-r12 RSRP-Range,
        thresholdRSRP-High-r12 RSRP-Range
    }
    thresholdRSRQ-r12 SEQUENCE {
        thresholdRSRQ-Low-r12 RSRQ-Range,
        thresholdRSRQ-High-r12 RSRQ-Range
    }
    thresholdRSRQ-OnAllSymbolsWithWB-r12 SEQUENCE {
        thresholdRSRQ-OnAllSymbolsWithWB-Low-r12 RSRQ-Range,
        thresholdRSRQ-OnAllSymbolsWithWB-High-r12 RSRQ-Range
    }
    thresholdRSRQ-OnAllSymbols-r12 SEQUENCE {
        thresholdRSRQ-OnAllSymbolsLow-r12 RSRQ-Range,
        thresholdRSRQ-OnAllSymbolsHigh-r12 RSRQ-Range
    }
    thresholdRSRQ-WB-r12 SEQUENCE {
        thresholdRSRQ-WB-Low-r12 RSRQ-Range,
        thresholdRSRQ-WB-High-r12 RSRQ-Range
    }
    thresholdChannelUtilization-r12 SEQUENCE {
        thresholdChannelUtilizationLow-r12 INTEGER (0..255),
        thresholdChannelUtilizationHigh-r12 INTEGER (0..255)
    }
    thresholdBackhaul-Bandwidth-r12 SEQUENCE {
        thresholdBackhaulDL-BandwidthLow-r12 WLAN-backhaulRate-r12,
        thresholdBackhaulDL-BandwidthHigh-r12 WLAN-backhaulRate-r12,
        thresholdBackhaulUL-BandwidthLow-r12 WLAN-backhaulRate-r12,
        thresholdBackhaulUL-BandwidthHigh-r12 WLAN-backhaulRate-r12
    }
    thresholdWLAN-RSSI-r12 SEQUENCE {
        thresholdWLAN-RSSI-Low-r12 INTEGER (0..255),
        thresholdWLAN-RSSI-High-r12 INTEGER (0..255)
    }
    offloadPreferenceIndicator-r12 BIT STRING (SIZE (16))
    t-SteeringWLAN-r12 T-Reselection
    ...
}
WLAN-backhaulRate-r12 ::= ENUMERATED
{r0, r4, r8, r16, r32, r64, r128, r256, r512,

```

r1024, r2048, r4096, r8192, r16384, r32768, r65536, r131072, r262144, r524288, r1048576, r2097152, r4194304, r8388608, r16777216, r33554432, r67108864, r134217728, r268435456, r536870912, r1073741824, r2147483648, r4294967296}

-- ASN1STOP

| <b>WLAN-OffloadConfig field descriptions</b>   |
|--|
| <p><b>offloadPreferenceIndicator</b><br/>Indicates the offload preference indicator. Parameter: OPI in TS 24.312 [66]. Only applicable to RAN-assisted WLAN interworking based on ANDSF policies.</p>  |
| <p><b>thresholdBackhaulDLBandwidth-High</b><br/>Indicates the backhaul available downlink bandwidth threshold used by the UE for traffic steering to WLAN. Parameter: ThreshBackhRateDLWLAN, High in TS 36.304 [4]. Value in kilobits/second. Value rN corresponds to N kbps.</p>  |
| <p><b>thresholdBackhaulDLBandwidth-Low</b><br/>Indicates the backhaul available downlink bandwidth threshold used by the UE for traffic steering to E-UTRAN. Parameter: ThreshBackhRateDLWLAN, Low in TS 36.304 [4]. Value in kilobits/second. Value rN corresponds to N kbps.</p>   |
| <p><b>thresholdBackhaulULBandwidth-High</b><br/>Indicates the backhaul available uplink bandwidth threshold used by the UE for traffic steering to WLAN. Parameter: ThreshBackhRateULWLAN, High in TS 36.304 [4]. Value in kilobits/second. Value rN corresponds to N kbps.</p>  |
| <p><b>thresholdBackhaulULBandwidth-Low</b><br/>Indicates the backhaul available uplink bandwidth threshold used by the UE for traffic steering to E-UTRAN. Parameter: ThreshBackhRateULWLAN, Low in TS 36.304 [4]. Value in kilobits/second. Value rN corresponds to N kbps.</p>   |
| <p><b>thresholdChannelUtilization-High</b><br/>Indicates the WLAN channel utilization (BSS load) threshold used by the UE for traffic steering to E-UTRAN. Parameter: ThreshChUtilWLAN, High in TS 36.304 [4].</p>   |
| <p><b>thresholdChannelUtilization-Low</b><br/>Indicates the WLAN channel utilization (BSS load) threshold used by the UE for traffic steering to WLAN. Parameter: ThreshChUtilWLAN, Low in TS 36.304 [4].</p>  |
| <p><b>thresholdRSRP-High</b><br/>Indicates the RSRP threshold (in dBm) used by the UE for traffic steering to E-UTRAN. Parameter: ThreshServingOffloadWLAN, HighP in TS 36.304 [4].</p>  |
| <p><b>thresholdRSRP-Low</b><br/>Indicates the RSRP threshold (in dBm) used by the UE for traffic steering to WLAN. Parameter: ThreshServingOffloadWLAN, LowP in TS 36.304 [4].</p>   |
| <p><b>thresholdRSRQ-High, thresholdRSRQ-OnAllSymbolsHigh, thresholdRSRQ-WB-High, thresholdRSRQ-OnAllSymbolsWithWB-High</b><br/>Indicates the RSRQ threshold (in dB) used by the UE for traffic steering to E-UTRAN. Parameter: ThreshServingOffloadWLAN, HighQ in TS 36.304 [4]. The UE shall only apply one of threshold values of <i>thresholdRSRQ-OnAllSymbolsWithWB-High</i>, <i>thresholdRSRQ-OnAllSymbolsHigh</i>, <i>thresholdRSRQ-WB-High</i> and <i>thresholdRSRQ-High</i> as present in <i>wlan-OffloadConfigCommon</i> and forward this to upper layer. NOTE 1.</p> |
| <p><b>thresholdRSRQ-Low, thresholdRSRQ-OnAllSymbolsLow, thresholdRSRQ-WB-Low, thresholdRSRQ-OnAllSymbolsWithWB-Low</b><br/>Indicates the RSRQ threshold (in dB) used by the UE for traffic steering to WLAN. Parameter: ThreshServingOffloadWLAN, LowQ in TS 36.304 [4].<br/>The UE shall only apply one of threshold values of <i>thresholdRSRQ-OnAllSymbolsWithWB-Low</i>, <i>thresholdRSRQ-OnAllSymbolsLow</i>, <i>thresholdRSRQ-WB-Low</i> and <i>thresholdRSRQ-Low</i> as present in <i>wlan-OffloadConfigCommon</i> and forward this to upper layer. NOTE 1.</p>         |
| <p><b>thresholdWLAN-RSSI-High</b><br/>Indicates the WLAN RSSI threshold used by the UE for traffic steering to WLAN. Parameter: ThreshWLANRSSI, High in TS 36.304 [4]. Value 0 corresponds to -128dBm, 1 corresponds to -127dBm and so on.</p>   |
| <p><b>thresholdWLAN-RSSI-Low</b><br/>Indicates the WLAN RSSI threshold used by the UE for traffic steering to E-UTRAN. Parameter: ThreshWLANRSSI, Low in TS 36.304 [4]. Value 0 corresponds to -128dBm, 1 corresponds to -127dBm and so on.</p>  |
| <p><b>t-SteeringWLAN</b><br/>Indicates the timer value during which the rules should be fulfilled before starting traffic steering between E-UTRAN and WLAN. Parameter: TsteeringWLAN in TS 36.304 [4]. Only applicable to RAN-assisted WLAN interworking based on access network selection and traffic steering rules.</p>  |

NOTE 1: Within SIB17, E-UTRAN includes the fields corresponding to same RSRQ types as included in SIB1. E.g. if E-UTRAN includes *q-QualMinRSRQ-OnAllSymbols* in SIB1 it also includes *thresholdRSRQ-OnAllSymbols* in SIB17. Within the *RRCCConnectionReconfiguration* message E-UTRAN only includes *thresholdRSRQ*, setting the value according to the RSRQ type used for E-UTRAN. The UE shall apply the RSRQ fields (RSRQ threshold, high and low) corresponding to one RSRQ type i.e. the same as it applies for E-UTRAN.

## 6.3.7 MBMS information elements

### – *MBMS-NotificationConfig*

The IE *MBMS-NotificationConfig* specifies the MBMS notification related configuration parameters, that are applicable for all MBSFN areas.

#### **MBMS-NotificationConfig information element**

```
-- ASN1START
MBMS-NotificationConfig-r9 ::=
    SEQUENCE {
        notificationRepetitionCoeff-r9    ENUMERATED {n2, n4},
        notificationOffset-r9            INTEGER (0..10),
        notificationSF-Index-r9          INTEGER (1..6)
    }
MBMS-NotificationConfig-v1430 ::=
    SEQUENCE {
        notificationSF-Index-v1430        INTEGER (7..10)
    }
-- ASN1STOP
```

#### **MBMS-NotificationConfig field descriptions**

##### **notificationOffset**

Indicates, together with the *notificationRepetitionCoeff*, the radio frames in which the MCCH information change notification is scheduled i.e. the MCCH information change notification is scheduled in radio frames for which: SFN mod notification repetition period = *notificationOffset*.

##### **notificationRepetitionCoeff**

Actual change notification repetition period common for all MCCHs that are configured= shortest modification period/ *notificationRepetitionCoeff*. The 'shortest modification period' corresponds with the lowest value of *mcch-ModificationPeriod* of all MCCHs that are configured. Value n2 corresponds to coefficient 2, and so on.

##### **notificationSF-Index**

Indicates the subframe used to transmit MCCH change notifications on PDCCH. FDD: Value 1, 2, 3, 4, 5 and 6 correspond with subframe #1, #2, #3 #6, #7, and #8 respectively. Value 7, 8, 9 and 10 correspond with subframe #0, #4, #5 and #9 respectively. If *notificationSF-Index-v1430* is included, UE ignores *notificationSF-Index-r9*. TDD: Value 1, 2, 3, 4, and 5 correspond with subframe #3, #4, #7, #8, and #9 respectively.

### – *MBMS-ServiceList*

The IE *MBMS-ServiceList* provides the list of MBMS services which the UE is receiving or interested to receive.

#### **MBMS-ServiceList information element**

```
-- ASN1START
MBMS-ServiceList-r13 ::=
    SEQUENCE (SIZE (0..maxMBMS-ServiceListPerUE-r13)) OF MBMS-
    ServiceInfo-r13
MBMS-ServiceInfo-r13 ::=
    SEQUENCE {
        tmgi-r13
    }
-- ASN1STOP
```

### – *MBSFN-Areald*

The IE *MBSFN-Areald* identifies an MBSFN area by means of a locally unique value at lower layers i.e. it concerns parameter  $N_{ID}^{MBSFN}$  in TS 36.211 [21], clause 6.10.2.1.

#### **MBSFN-Areald information element**

```
-- ASN1START
```

```
MBSFN-AreaId-r12 ::=
    INTEGER (0..255)
-- ASN1STOP
```

## – MBSFN-AreaInfoList

The IE *MBSFN-AreaInfoList* contains the information required to acquire the MBMS control information associated with one or more MBSFN areas.

### **MBSFN-AreaInfoList information element**

```
-- ASN1START
MBSFN-AreaInfoList-r9 ::=
    SEQUENCE (SIZE(1..maxMBSFN-Area)) OF MBSFN-AreaInfo-r9
MBSFN-AreaInfo-r9 ::=
    SEQUENCE {
        mbsfn-AreaId-r9          MBSFN-AreaId-r12,
        non-MBSFNregionLength    ENUMERATED {s1, s2},
        notificationIndicator-r9  INTEGER (0..7),
        mcch-Config-r9           SEQUENCE {
            mcch-RepetitionPeriod-r9    ENUMERATED {rf32, rf64, rf128, rf256},
            mcch-Offset-r9              INTEGER (0..10),
            mcch-ModificationPeriod-r9  ENUMERATED {rf512, rf1024},
            sf-AllocInfo-r9             BIT STRING (SIZE(6)),
            signallingMCS-r9           ENUMERATED {n2, n7, n13, n19}
        },
        ...,
        [[ mcch-Config-r14          SEQUENCE {
            mcch-RepetitionPeriod-v1430  ENUMERATED {rf1, rf2, rf4, rf8,
                rf16 } OPTIONAL, -- Need OR
            mcch-ModificationPeriod-v1430  ENUMERATED {rf1, rf2, rf4, rf8, rf16, rf32, rf64, rf128,
                rf256, spare7} OPTIONAL -- Need OR
        } OPTIONAL -- Need OR
        ]],
        subcarrierSpacingMBMS-r14    ENUMERATED {kHz7dot5, kHz1dot25} OPTIONAL -- Need OR
    ]]
}
-- ASN1STOP
```

| <b>MBSFN-AreaInfoList field descriptions</b> |  |
|--|--|
| <b><i>mcch-ModificationPeriod</i></b>        | Defines periodically appearing boundaries, i.e. radio frames for which $SFN \bmod mcch-ModificationPeriod = 0$ . The contents of different transmissions of MCCH information can only be different if there is at least one such boundary in-between them. In case <i>mcch-ModificationPeriod-v1430</i> is configured, the UE shall ignore the <i>mcch-ModificationPeriod-r9</i> .   |
| <b><i>mcch-Offset</i></b>                    | Indicates, together with the <i>mcch-RepetitionPeriod</i> , the radio frames in which MCCH is scheduled i.e. MCCH is scheduled in radio frames for which: $SFN \bmod mcch-RepetitionPeriod = mcch-Offset$ .  |
| <b><i>mcch-RepetitionPeriod</i></b>          | Defines the interval between transmissions of MCCH information, in radio frames, Value <i>rf32</i> corresponds to 32 radio frames, <i>rf64</i> corresponds to 64 radio frames and so on. In case <i>mcch-RepetitionPeriod-v1430</i> is configured, the UE shall ignore the <i>mcch-RepetitionPeriod-r9</i> .   |
| <b><i>non-MBSFNregionLength</i></b>          | Indicates how many symbols from the beginning of the subframe constitute the non-MBSFN region. This value applies in all subframes of the MBSFN area used for PMCH transmissions as indicated in the MSI. The values <i>s1</i> and <i>s2</i> correspond with 1 and 2 symbols, respectively: see TS 36.211 [21], Table 6.7-1.   |
| <b><i>notificationIndicator</i></b>          | Indicates which PDCCH bit is used to notify the UE about change of the MCCH applicable for this MBSFN area. Value 0 corresponds with the least significant bit as defined in TS 36.212 [22], clause 5.3.3.1 and so on.   |
| <b><i>sf-AllocInfo</i></b>                   | Indicates the subframes of the radio frames indicated by the <i>mcch-RepetitionPeriod</i> and the <i>mcch-Offset</i> , that may carry MCCH. Value "1" indicates that the corresponding subframe is allocated. The following mapping applies:<br>FDD: The first/ leftmost bit defines the allocation for subframe #1 of the radio frame indicated by <i>mcch-RepetitionPeriod</i> and <i>mcch-Offset</i> , the second bit for #2, the third bit for #3, the fourth bit for #6, the fifth bit for #7 and the sixth bit for #8.<br>TDD: The first/leftmost bit defines the allocation for subframe #3 of the radio frame indicated by <i>mcch-RepetitionPeriod</i> and <i>mcch-Offset</i> , the second bit for #4, third bit for #7, fourth bit for #8, fifth bit for #9. Uplink subframes are not allocated. The last bit is not used. |
| <b><i>signallingMCS</i></b>                  | Indicates the MCS applicable for the subframes indicated by the field <i>sf-AllocInfo</i> and for each (P)MCH that is configured for this MBSFN area, for the first subframe allocated to the (P)MCH within each MCH scheduling period (which may contain the MCH scheduling information provided by MAC). Value <i>n2</i> corresponds with the value 2 for parameter $I_{MCS}$ in TS 36.213 [23], Table 7.1.7.1-1, and so on.   |
| <b><i>subcarrierSpacingMBMS</i></b>          | The value indicates subcarrier spacing for MBSFN subframes and <i>kHz7dot5</i> refers to 7.5kHz subcarrier spacing and <i>kHz1dot25</i> refers to 1.25 kHz subcarrier spacing as defined in TS 36.211 [21], clause 6.12. These subframes do not have non-MBSFN region. If <i>subcarrierSpacingMBMS</i> is present, then <i>non-MBSFNregionLength</i> shall be ignored. EUTRAN configures parameter <i>subcarrierSpacingMBMS</i> only when the MBSFN subframes have subcarrier spacing other than 15kHz.  |

## – MBSFN-SubframeConfig

The IE *MBSFN-SubframeConfig* defines subframes that are reserved for MBSFN in downlink.

### MBSFN-SubframeConfig information element

```

-- ASN1START
MBSFN-SubframeConfig ::=
    SEQUENCE {
        radioframeAllocationPeriod      ENUMERATED {n1, n2, n4, n8, n16, n32},
        radioframeAllocationOffset      INTEGER (0..7),
        subframeAllocation              CHOICE {
            oneFrame                    BIT STRING (SIZE(6)),
            fourFrames                  BIT STRING (SIZE(24))
        }
    }

MBSFN-SubframeConfig-v1430 ::=
    SEQUENCE {
        subframeAllocation-v1430       CHOICE {
            oneFrame-v1430              BIT STRING (SIZE(2)),
            fourFrames-v1430            BIT STRING (SIZE(8))
        }
    }
-- ASN1STOP

```

| <b>MBSFN-SubframeConfig field descriptions</b>   |
|--|
| <p><b>fourFrames</b><br/>A bit-map indicating MBSFN subframe allocation in four consecutive radio frames, "1" denotes that the corresponding subframe is allocated for MBSFN. The bitmap is interpreted as follows:<br/>FDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation applies to subframes #1, #2, #3, #6, #7, and #8 in the sequence of the four radio-frames.<br/>TDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation applies to subframes #3, #4, #7, #8, and #9 in the sequence of the four radio-frames. The last four bits are not used. E-UTRAN allocates uplink subframes only if <i>eimta-MainConfig</i> is configured.</p> |
| <p><b>fourFrames-v1430</b><br/>A bit-map indicating MBSFN subframe allocation in four consecutive radio frames, "1" denotes that the corresponding subframe is allocated for MBSFN. The bitmap is interpreted as follows:<br/>FDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation applies to subframes #4 and #9 in the sequence of the four radio-frames.</p>   |
| <p><b>oneFrame</b><br/>"1" denotes that the corresponding subframe is allocated for MBSFN. The following mapping applies:<br/>FDD: The first/leftmost bit defines the MBSFN allocation for subframe #1, the second bit for #2, third bit for #3, fourth bit for #6, fifth bit for #7, sixth bit for #8.<br/>TDD: The first/leftmost bit defines the allocation for subframe #3, the second bit for #4, third bit for #7, fourth bit for #8, fifth bit for #9. E-UTRAN allocates uplink subframes only if <i>eimta-MainConfig</i> is configured. The last bit is not used.</p>  |
| <p><b>oneFrame-v1430</b><br/>"1" denotes that the corresponding subframe is allocated for MBSFN. The following mapping applies:<br/>FDD: The first/leftmost bit defines the MBSFN allocation for subframe #4 and the second bit for #9.</p>  |
| <p><b>radioFrameAllocationPeriod, radioFrameAllocationOffset</b><br/>Radio-frames that contain MBSFN subframes occur when equation <math>SFN \bmod radioFrameAllocationPeriod = radioFrameAllocationOffset</math> is satisfied. Value <math>n1</math> for <i>radioFrameAllocationPeriod</i> denotes value 1, <math>n2</math> denotes value 2, and so on. When <i>fourFrames</i> is used for <i>subframeAllocation</i>, the equation defines the first radio frame referred to in the description below. Values <math>n1</math> and <math>n2</math> are not applicable when <i>fourFrames</i> is used.</p>  |
| <p><b>subframeAllocation</b><br/>Defines the subframes that are allocated for MBSFN within the radio frame allocation period defined by the <i>radioFrameAllocationPeriod</i> and the <i>radioFrameAllocationOffset</i>.</p>   |

## – PMCH-InfoList

The IE *PMCH-InfoList* specifies configuration of all PMCHs of an MBSFN area, while IE *PMCH-InfoListExt* includes additional PMCHs, i.e. extends the PMCH list using the general principles specified in 5.1.2. The information provided for an individual PMCH includes the configuration parameters of the sessions that are carried by the concerned PMCH. For all PMCH that E-UTRAN includes in *PMCH-InfoList*, the list of ongoing sessions has at least one entry.

### PMCH-InfoList information element

```
-- ASN1START
PMCH-InfoList-r9 ::= SEQUENCE (SIZE (0..maxPMCH-PerMBSFN)) OF PMCH-Info-r9
PMCH-InfoListExt-r12 ::= SEQUENCE (SIZE (0..maxPMCH-PerMBSFN)) OF PMCH-InfoExt-r12
PMCH-Info-r9 ::= SEQUENCE {
    pmch-Config-r9          PMCH-Config-r9,
    mbms-SessionInfoList-r9 MBMS-SessionInfoList-r9,
    ...
}
PMCH-InfoExt-r12 ::= SEQUENCE {
    pmch-Config-r12        PMCH-Config-r12,
    mbms-SessionInfoList-r12 MBMS-SessionInfoList-r9,
    ...
}
MBMS-SessionInfoList-r9 ::= SEQUENCE (SIZE (0..maxSessionPerPMCH)) OF MBMS-SessionInfo-r9
MBMS-SessionInfo-r9 ::= SEQUENCE {
    tmgi-r9                TMGI-r9,
    sessionId-r9            OCTET STRING (SIZE (1)) OPTIONAL, -- Need OR
    logicalChannelIdentity-r9 INTEGER (0..maxSessionPerPMCH-1),
    ...
}
PMCH-Config-r9 ::= SEQUENCE {
```



```

sf-AllocEnd-r9          INTEGER (0..1535),
dataMCS-r9              INTEGER (0..28),
mch-SchedulingPeriod-r9 ENUMERATED {
    rf8, rf16, rf32, rf64, rf128, rf256, rf512, rf1024},
...
}

PMCH-Config-r12 ::=
    sf-AllocEnd-r12      INTEGER (0..1535),
    dataMCS-r12          CHOICE {
        normal-r12       INTEGER (0..28),
        higherOrder-r12  INTEGER (0..27)
    },
    mch-SchedulingPeriod-r12 ENUMERATED {
        rf4, rf8, rf16, rf32, rf64, rf128, rf256, rf512, rf1024},
    ...,
    [[ mch-SchedulingPeriod-v1430 ENUMERATED {rf1, rf2} OPTIONAL -- Need OR
    ]]
}

TMGI-r9 ::=
    plmn-Id-r9           CHOICE {
        plmn-Index-r9    INTEGER (1..maxPLMN-r11),
        explicitValue-r9 PLMN-Identity
    },
    serviceId-r9         OCTET STRING (SIZE (3))
}

-- ASN1STOP

```

#### PMCH-InfoList field descriptions

##### **dataMCS**

Indicates the value for parameter  $I_{MCS}$  in TS 36.213 [23], which defines the MCS applicable for the subframes of this (P)MCH as indicated by the field *commonSF-Alloc*. Value *normal* corresponds to Table 7.1.7.1-1 and value *higherOrder* corresponds to Table 7.1.7.1-1A. The MCS does however neither apply to the subframes that may carry MCCH i.e. the subframes indicated by the field *sf-AllocInfo* within *SystemInformationBlockType13* nor for the first subframe allocated to this (P)MCH within each MCH scheduling period (which may contain the MCH scheduling information provided by MAC).

##### **mch-SchedulingPeriod**

Indicates the MCH scheduling period i.e. the periodicity used for providing MCH scheduling information at lower layers (MAC) applicable for an MCH. Value *rf8* corresponds to 8 radio frames, *rf16* corresponds to 16 radio frames and so on. The *mch-SchedulingPeriod* starts in the radio frames for which:  $SFN \bmod mch-SchedulingPeriod = 0$ . E-UTRAN configures *mch-SchedulingPeriod* of the (P)MCH listed first in *PMCH-InfoList* to be smaller than or equal to *mcch-RepetitionPeriod*. In case *mch-SchedulingPeriod-v1430* is configured, the UE shall ignore *mch-SchedulingPeriod-r12*.

##### **plmn-Index**

Index of the entry across the *plmn-IdentityList* fields within *SystemInformationBlockType1*.

##### **sessionId**

Indicates the optional MBMS Session Identity, which together with TMGI identifies a transmission or a possible retransmission of a specific MBMS session: see TS 29.061 [51], clauses 20.5, 17.7.11, and 17.7.15. The field is included whenever upper layers have assigned a session identity i.e. one is available for the MBMS session in E-UTRAN.

##### **serviceId**

Uniquely identifies the identity of an MBMS service within a PLMN. The field contains octet 3- 5 of the IE Temporary Mobile Group Identity (TMGI) as defined in TS 24.008 [49]. The first octet contains the third octet of the TMGI, the second octet contains the fourth octet of the TMGI and so on.

##### **sf-AllocEnd**

Indicates the last subframe allocated to this (P)MCH within a period identified by field *commonSF-AllocPeriod*. The subframes allocated to (P)MCH corresponding with the  $n^{\text{th}}$  entry in *pmch-InfoList* are the subsequent subframes starting from either the next subframe after the subframe identified by *sf-AllocEnd* of the  $(n-1)^{\text{th}}$  listed (P)MCH or, for  $n=1$ , the first subframe defined by field *commonSF-Alloc*, through the subframe identified by *sf-AllocEnd* of the  $n^{\text{th}}$  listed (P)MCH. Value 0 corresponds with the first subframe defined by field *commonSF-Alloc*.

## 6.3.7a SC-PTM information elements

### – SC-MTCH-InfoList

The IE *SC-MTCH-InfoList* provides the list of ongoing MBMS sessions transmitted via SC-MRB and for each MBMS session, the associated G-RNTI and scheduling information.

#### SC-MTCH-InfoList information element

```

-- ASN1START
SC-MTCH-InfoList-r13 ::=          SEQUENCE (SIZE (0..maxSC-MTCH-r13)) OF SC-MTCH-Info-r13
SC-MTCH-Info-r13 ::=            SEQUENCE {
  mbmsSessionInfo-r13          MBMSSessionInfo-r13,
  g-RNTI-r13                   BIT STRING(SIZE(16)),
  sc-mtch-schedulingInfo-r13   SC-MTCH-SchedulingInfo-r13          OPTIONAL, -- Need
OP
  sc-mtch-neighbourCell-r13    BIT STRING (SIZE(maxNeighCell-SCPTM-r13))  OPTIONAL, --
Need OP
  ...,
  [[ p-a-r13                   ENUMERATED {
                                dB-6, dB-4dot77, dB-3, dB-1dot77,
                                dB0, dB1, dB2, dB3}          OPTIONAL  -- Need ON
  ]]
}
MBMSSessionInfo-r13 ::=        SEQUENCE {
  tmgi-r13                     TMGI-r9,
  sessionId-r13                OCTET STRING (SIZE (1))          OPTIONAL  -- Need OR
}
SC-MTCH-SchedulingInfo-r13 ::= SEQUENCE {
  onDurationTimerSCPTM-r13     ENUMERATED {
                                psf1, psf2, psf3, psf4, psf5, psf6,
                                psf8, psf10, psf20, psf30, psf40,
                                psf50, psf60, psf80, psf100,
                                psf200},
  drx-InactivityTimerSCPTM-r13 ENUMERATED {
                                psf0, psf1, psf2, psf4, psf8,
                                psf10, psf20, psf40,
                                psf80, psf160, ps320,
                                psf640, psf960,
                                psf1280, psf1920, psf2560},
  schedulingPeriodStartOffsetSCPTM-r13 CHOICE {
    sf10                        INTEGER(0..9),
    sf20                        INTEGER(0..19),
    sf32                        INTEGER(0..31),
    sf40                        INTEGER(0..39),
    sf64                        INTEGER(0..63),
    sf80                        INTEGER(0..79),
    sf128                       INTEGER(0..127),
    sf160                       INTEGER(0..159),
    sf256                       INTEGER(0..255),
    sf320                       INTEGER(0..319),
    sf512                       INTEGER(0..511),
    sf640                       INTEGER(0..639),
    sf1024                      INTEGER(0..1023),
    sf2048                      INTEGER(0..2048),
    sf4096                      INTEGER(0..4096),
    sf8192                      INTEGER(0..8192)
  },
  ...
}
-- ASN1STOP

```

| <b>SC-MTCH-InfoList field descriptions</b>   |
|--|
| <b>drx-InactivityTimerSCPTM</b><br>Timer for SC-MTCH in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf0 corresponds to 0 PDCCH sub-frame and behaviour as specified in 7.3.2 applies, psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.  |
| <b>g-RNTI</b><br>G-RNTI used to scramble the scheduling and transmission of a SC-MTCH.   |
| <b>mbmsSessionInfo</b><br>Indicates the ongoing MBMS session in a SC-MTCH.   |
| <b>onDurationTimerSCPTM</b><br>Timer for SC-MTCH reception in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.   |
| <b>p-a</b><br>Parameter: $P_A''$ , for the SC-MTCH per G-RNTI, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.   |
| <b>schedulingPeriodStartOffsetSCPTM</b><br><i>SCPTM-SchedulingCycle</i> and <i>SCPTM-SchedulingOffset</i> in TS 36.321 [6]. The value of <i>SCPTM-SchedulingCycle</i> is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of <i>SCPTM-SchedulingOffset</i> is in number of sub-frames. The E-UTRAN does not configure a maximum value 2048 for sf2048, 4096 for sf4096 or 8192 for sf8192.                                       |
| <b>sc-mtch-neighbourCell</b><br>Indicates neighbour cells which also provide this service on SC-MTCH. The first bit is set to 1 if the service is provided on SC-MTCH in the first cell in <i>scptmNeighbourCellList</i> , otherwise it is set to 0. The second bit is set to 1 if the service is provided on SC-MTCH in the second cell in <i>scptmNeighbourCellList</i> , and so on. If this field is absent, the UE shall assume that this service is not available on SC-MTCH in any neighbour cell. |
| <b>sc-mtch-schedulingInfo</b><br>DRX information for the SC-MTCH. If this field is absent, the SC-MTCH may be scheduled in any subframe.   |

## SC-MTCH-InfoList-BR

The IE *SC-MTCH-InfoList-BR* provides the list of ongoing MBMS sessions transmitted via SC-MRB and for each MBMS session, the associated G-RNTI and scheduling information.

### SC-MTCH-InfoList-BR information element

```

-- ASN1START
SC-MTCH-InfoList-BR-r14 ::= SEQUENCE (SIZE (0..maxSC-MTCH-BR-r14)) OF SC-MTCH-Info-BR-r14

SC-MTCH-Info-BR-r14 ::= SEQUENCE {
    sc-mtch-CarrierFreq-r14 ARFCN-ValueEUTRA-r9,
    mbmsSessionInfo-r14 MBMSSessionInfo-r13,
    g-RNTI-r14 BIT STRING(SIZE(16)),
    sc-mtch-schedulingInfo-r14 SC-MTCH-SchedulingInfo-BR-r14 OPTIONAL, --
Need OP
    sc-mtch-neighbourCell-r14 BIT STRING (SIZE(maxNeighCell-SCPTM-r13)) OPTIONAL, --
Need OP
    mpdcch-Narrowband-SC-MTCH-r14 INTEGER (1..maxAvailNarrowBands-r13),
    mpdcch-NumRepetition-SC-MTCH-r14 ENUMERATED {r1, r2, r4, r8, r16,
    r32, r64, r128, r256},
    mpdcch-StartSF-SC-MTCH-r14 CHOICE {
        fdd-r14 ENUMERATED {v1, v1dot5, v2, v2dot5, v4,
        v5, v8, v10},
        tdd-r14 ENUMERATED {v1, v2, v4, v5, v8, v10,
        v20}
    },
    mpdcch-PDSCH-HoppingConfig-SC-MTCH-r14 ENUMERATED {on, off},
    mpdcch-PDSCH-CEmodeConfig-SC-MTCH-r14 ENUMERATED {ce-ModeA, ce-ModeB},
    mpdcch-PDSCH-MaxBandwidth-SC-MTCH-r14 ENUMERATED {bw1dot4, bw5},
    mpdcch-Offset-SC-MTCH-r14 ENUMERATED {zero, oneEighth, oneQuarter,
    threeEighth, oneHalf, fiveEighth,
    threeQuarter, sevenEighth},

    p-a-r14 ENUMERATED { dB-6, dB-4dot77, dB-3,
    dB-1dot77, dB0, dB1, dB2,
    dB3} OPTIONAL,-- Need OR
    ...
}

SC-MTCH-SchedulingInfo-BR-r14 ::= SEQUENCE {

```

```
onDurationTimerSCPTM-r14      ENUMERATED {
                                psf300, psf400, psf500, psf600,
                                psf800, psf1000, psf1200, psf1600},
drx-InactivityTimerSCPTM-r14  ENUMERATED {
                                psf0, psf1, psf2, psf4, psf8, psf16,
                                psf32, psf64, psf128, psf256, ps512,
                                psf1024, psf2048, psf4096, psf8192, psf16384},
schedulingPeriodStartOffsetSCPTM-r14 CHOICE {
  sf10      INTEGER(0..9),
  sf20      INTEGER(0..19),
  sf32      INTEGER(0..31),
  sf40      INTEGER(0..39),
  sf64      INTEGER(0..63),
  sf80      INTEGER(0..79),
  sf128     INTEGER(0..127),
  sf160     INTEGER(0..159),
  sf256     INTEGER(0..255),
  sf320     INTEGER(0..319),
  sf512     INTEGER(0..511),
  sf640     INTEGER(0..639),
  sf1024    INTEGER(0..1023),
  sf2048    INTEGER(0..2047),
  sf4096    INTEGER(0..4095),
  sf8192    INTEGER(0..8191)
},
...
}
-- ASN1STOP
```

| <b>SC-MTCH-InfoList-BR field descriptions</b>  |
|--|
| <b>drx-InactivityTimerSCPTM</b><br>Timer for SC-MTCH in TS 36.321 [6]. Value in number of MPDCCH sub-frames. Value psf0 corresponds to 0 MPDCCH sub-frame and behaviour as specified in 7.3.2 applies, psf1 corresponds to 1 MPDCCH sub-frame, psf2 corresponds to 2 MPDCCH sub-frames and so on.  |
| <b>g-RNTI</b><br>G-RNTI used to scramble the scheduling and transmission of a SC-MTCH  |
| <b>mbmsSessionInfo</b><br>Indicates the ongoing MBMS session in a SC-MTCH.   |
| <b>mpdcch-Narrowband-SC-MTCH</b><br>Narrowband for MPDCCH for SC-MTCH, see TS 36.213 [23].   |
| <b>mpdcch-NumRepetitions-SC-MTCH</b><br>The maximum number of MPDCCH repetitions the UE needs to monitor for SC-MTCH, see TS 36.213 [23].  |
| <b>mpdcch-Offset-SC-MTCH</b><br>Fractional period offset of starting subframes for MPDCCH search space for SC-MTCH, see TS 36.213 [23].  |
| <b>mpdcch-PDSCH-CEmodeConfig-SC-MTCH</b><br>Coverage enhancement mode configuration for MPDCCH/PDSCH for SC-MTCH, see TS 36.213 [23].  |
| <b>mpdcch-PDSCH-HoppingConfig-SC-MTCH</b><br>Frequency hopping configuration for MPDCCH/PDSCH for SC-MTCH, see TS 36.213 [23].   |
| <b>mpdcch-PDSCH-MaxBandwidth-SC-MTCH</b><br>Maximum PDSCH channel bandwidth for SC-MTCH, see TS 36.213 [23]. Value <i>bw1dot4</i> corresponds to 1.4 MHz channel bandwidth and value <i>bw5</i> corresponds to 5 MHz channel bandwidth. Corresponding maximum TBS are specified in TS 36.213 [23], clause 7.1.7.2.   |
| <b>mpdcch-StartSF-SC-MTCH</b><br>Starting subframes configuration of the MPDCCH search space for SC-MTCH, see TS 36.213 [23].  |
| <b>onDurationTimerSCPTM</b><br>Timer for SC-MTCH reception in TS 36.321 [6]. Value in number of MPDCCH sub-frames. Value psf300 corresponds to 300 MPDCCH sub-frames, psf400 corresponds to 400 MPDCCH sub-frames and so on.   |
| <b>schedulingPeriodStartOffsetSCPTM</b><br><i>SCPTM-SchedulingCycle</i> and <i>SCPTM-SchedulingOffset</i> in TS 36.321 [6]. The value of <i>SCPTM-SchedulingCycle</i> is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of <i>SCPTM-SchedulingOffset</i> is in number of sub-frames.   |
| <b>sc-mtch-CarrierFreq</b><br>Downlink carrier used for multicast SC-MTCH transmissions.   |
| <b>sc-mtch-neighbourCell</b><br>Indicates neighbour cells which also provide this service on SC-MTCH. The first bit is set to 1 if the service is provided on SC-MTCH in the first cell in <i>sctpMNeighbourCellList</i> , otherwise it is set to 0. The second bit is set to 1 if the service is provided on SC-MTCH in the second cell in <i>sctpMNeighbourCellList</i> , and so on. If this field is absent, the UE shall assume that this service is not available on SC-MTCH in any neighbour cell. |
| <b>sc-mtch-schedulingInfo</b><br>DRX information for the SC-MTCH. If this field is absent, DRX is not used for SC-MTCH reception.  |
| <b>p-a</b><br>Parameter: $P_A^r$ for the SC-MTCH per G-RNTI, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.   |

### – SCPTM-NeighbourCellList

The IE *SCPTM-NeighbourCellList* indicates a list of neighbour cells where ongoing MBMS sessions provided via SC-MRB in the current cells are also provided.

```
-- ASN1START
SCPTM-NeighbourCellList-r13 ::= SEQUENCE (SIZE (1..maxNeighCell-SCPTM-r13)) OF PCI-ARFCN-r13
PCI-ARFCN-r13 ::= SEQUENCE {
    physCellId-r13          PhysCellId,
    carrierFreq-r13        ARFCN-ValueEUTRA-r9    OPTIONAL
}
-- ASN1STOP
```

**SCPTM-NeighbourCellList field description****carrierFreq**

Indicates the frequency of the neighbour cell indicated by *physCellId*. Absence of the IE means that the neighbour cell is on the same frequency as the current cell.

## 6.3.8 Sidelink information elements

### – *SL-AnchorCarrierFreqList-V2X*

The IE *SL-AnchorCarrierFreqList-V2X* specifies the SL V2X anchor frequencies i.e. frequencies that include inter-carrier resource configuration for V2X sidelink communication.

#### ***SL-AnchorCarrierFreqList-V2X* information element**

```
-- ASN1START
SL-AnchorCarrierFreqList-V2X-r14 ::= SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF ARFCN-ValueEUTRA-r9
-- ASN1STOP
```

### – *SL-CBR-CommonTxConfigList*

The IE *SL-CBR-CommonTxConfigList* indicates the list of PSSCH transmission parameters (such as MCS, sub-channel number, retransmission number, CR limit) in *sl-CBR-PSSCH-TxConfigList*, and the list of CBR ranges in *cbr-RangeCommonConfigList*, to configure congestion control to the UE for V2X sidelink communication.

#### ***SL-CBR-CommonTxConfigList* information element**

```
-- ASN1START
SL-CBR-CommonTxConfigList-r14 ::= SEQUENCE {
  cbr-RangeCommonConfigList-r14 SEQUENCE (SIZE (1..maxSL-V2X-CBRConfig-r14)) OF SL-CBR-Levels-
  Config-r14,
  sl-CBR-PSSCH-TxConfigList-r14 SEQUENCE (SIZE (1..maxSL-V2X-TxConfig-r14)) OF SL-CBR-PSSCH-
  TxConfig-r14
}
SL-CBR-Levels-Config-r14 ::= SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF SL-CBR-r14
SL-CBR-PSSCH-TxConfig-r14 ::= SEQUENCE {
  cr-Limit-r14 INTEGER(0..10000),
  tx-Parameters-r14 SL-PSSCH-TxParameters-r14
}
SL-CBR-r14 ::= INTEGER(0..100)
-- ASN1STOP
```

| <b>SL-CBR-CommonTxConfigList field descriptions</b>   |
|---|
| <p><b>cbr-RangeCommonConfigList</b><br/>Indicates the list of CBR ranges. Each entry of the list indicates in <i>SL-CBR-Levels-Config</i> the upper bound of the CBR range for the respective entry. The upper bounds of the CBR ranges are configured in ascending order for consecutive entries of <i>cbr-RangeCommonConfigList</i>. For the first entry of <i>cbr-RangeCommonConfigList</i> the lower bound of the CBR range is 0.</p> |
| <p><b>cr-Limit</b><br/>Indicates the maximum limit on the occupancy ratio. Value 0 corresponds to 0, value 1 to 0.0001, value 2 to 0.0002, and so on (i.e. in steps of 0.0001) until value 10000, which corresponds to 1.</p>   |
| <p><b>sl-CBR-PSSCH-TxConfigList</b><br/>Indicates the list of available PSSCH transmission parameters (such as MCS, sub-channel number, retransmission number and CR limit) configurations.</p>   |
| <p><b>SL-CBR</b><br/>Value 0 corresponds to 0, value 1 to 0.01, value 2 to 0.02, and so on.</p>   |
| <p><b>tx-Parameters</b><br/>Indicates PSSCH transmission parameters.</p>  |

### – SL-CBR-PPPP-TxConfigList

The IE *SL-CBR-PPPP-TxConfigList* indicates the mapping between PSSCH transmission parameter (such as MCS, PRB number, retransmission number, CR limit) sets by using the indexes of the configurations provided in *sl-CBR-PSSCH-TxConfigList*, CBR ranges by an index to the entry of the CBR range configuration in *cbr-RangeCommonConfigList*, and PPPP ranges. It also indicates the default PSSCH transmission parameters to be used when CBR measurement results are not available.

#### **SL-CBR-PPPP-TxConfigList information element**

```

-- ASN1START
SL-CBR-PPPP-TxConfigList-r14 ::= SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxConfigIndex-r14

SL-PPPP-TxConfigIndex-r14 ::= SEQUENCE {
    priorityThreshold-r14          SL-Priority-r13,
    defaultTxConfigIndex-r14      INTEGER(0..maxCBR-Level-1-r14),
    cbr-ConfigIndex-r14           INTEGER(0..maxSL-V2X-CBRConfig-1-r14),
    tx-ConfigIndexList-r14        SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF Tx-ConfigIndex-r14
}

Tx-ConfigIndex-r14 ::= INTEGER(0..maxSL-V2X-TxConfig-1-r14)

SL-CBR-PPPP-TxConfigList-v1530 ::= SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxConfigIndex-v1530

SL-PPPP-TxConfigIndex-v1530 ::= SEQUENCE {
    mcs-PSSCH-RangeList-r15      SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF MCS-PSSCH-Range-r15
                                OPTIONAL                                --Need OR
}

MCS-PSSCH-Range-r15 ::= SEQUENCE{
    minMCS-PSSCH-r15             INTEGER (0..31),
    maxMCS-PSSCH-r15             INTEGER (0..31)
}

SL-CBR-PPPP-TxConfigList-r15 ::= SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxConfigIndex-r15

SL-PPPP-TxConfigIndex-r15 ::= SEQUENCE {
    priorityThreshold-r15        SL-Priority-r13,
    defaultTxConfigIndex-r15     INTEGER(0..maxCBR-Level-1-r14),
    cbr-ConfigIndex-r15         INTEGER(0..maxSL-V2X-CBRConfig-1-r14),
    tx-ConfigIndexList-r15      SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF Tx-ConfigIndex-r14,
    mcs-PSSCH-RangeList-r15     SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF MCS-PSSCH-Range-r15
}
-- ASN1STOP

```

| <b>SL-CBR-PPPP-TxConfigList field descriptions</b>   |
|--|
| <p><b>cbr-ConfigIndex</b><br/>Indicates the CBR ranges to be used by an index to the entry of the CBR range configuration in <i>cbr-RangeCommonConfigList</i>.</p>   |
| <p><b>defaultTxConfigIndex</b><br/>Indicates the PSSCH transmission parameters to be used by the UEs which do not have available CBR measurement results, by means of an index to the corresponding entry in <i>tx-ConfigIndexList</i>. Value 0 indicates the first entry in <i>tx-ConfigIndexList</i>. The field is ignored if the UE has available CBR measurement results.</p>  |
| <p><b>mcs-PSSCH-RangeList</b><br/>If included, this field applies to the PPPP(s) indicated by the <i>priorityThreshold</i> and each entry in this field sequentially corresponds to each CBR range indicated by <i>cbr-ConfigIndex</i>.</p>  |
| <p><b>minMCS-PSSCH, maxMCS-PSSCH</b><br/>Indicates the minimum and maximum MCS values which correspond to both the MCS table in Table 8.6.1-1 and Table 14.1.1-2 in TS 36.213 [23] used for transmission on PSSCH.</p>   |
| <p><b>priorityThreshold</b><br/>Indicates the upper bound of PPPP range which is associated with the configurations in <i>cbr-ConfigIndex</i> and in <i>tx-ConfigIndexList</i>. The upper bounds of the PPPP ranges are configured in ascending order for consecutive entries of <i>SL-PPPP-TxConfigIndex</i> in <i>SL-CBR-PPPP-TxConfigList</i>. For the first entry of <i>SL-PPPP-TxConfigIndex</i>, the lower bound of the PPPP range is 1.</p> |
| <p><b>SL-CBR-PPPP-TxConfigList-v1530</b><br/>If included, E-UTRAN shall include the same number of entries, and listed in the same order, as in <i>SL-CBR-PPPP-TxConfigList-r14</i>.</p>   |
| <p><b>tx-ConfigIndexList</b><br/>Indicates the list of the PSSCH transmission parameters and CR limit by the indexes to the entries of the configurations in <i>sl-CBR-PSSCH-TxConfigList</i>. Each index in <i>tx-ConfigIndexList</i> sequentially maps to each CBR range indicated by <i>cbr-ConfigIndex</i>.</p>  |

## – SL-CommConfig

The IE *SL-CommConfig* specifies the dedicated configuration information for sidelink communication. In particular it concerns the transmission resource configuration for sidelink communication on the primary frequency.

### SL-CommConfig information element

```

-- ASN1START
SL-CommConfig-r12 ::= SEQUENCE {
    commTxResources-r12 CHOICE {
        release NULL,
        setup CHOICE {
            scheduled-r12 SEQUENCE {
                sl-RNTI-r12 C-RNTI,
                mac-MainConfig-r12 MAC-MainConfigSL-r12,
                sc-CommTxConfig-r12 SL-CommResourcePool-r12,
                mcs-r12 INTEGER (0..28) OPTIONAL -- Need OP
            },
            ue-Selected-r12 SEQUENCE {
                -- Pool for normal usage
                commTxPoolNormalDedicated-r12 SEQUENCE {
                    poolToReleaseList-r12 SL-TxPoolToReleaseList-r12 OPTIONAL, -- Need
ON
                    poolToAddModList-r12 SL-CommTxPoolToAddModList-r12 OPTIONAL -- Need
ON
                }
            }
        }
    }
    ...
    [[ commTxResources-v1310 CHOICE {
        release NULL,
        setup CHOICE {
            scheduled-v1310 SEQUENCE {
                logicalChGroupInfoList-r13 LogicalChGroupInfoList-r13,
                multipleTx-r13 BOOLEAN
            },
            ue-Selected-v1310 SEQUENCE {
                commTxPoolNormalDedicatedExt-r13 SEQUENCE {
                    poolToReleaseListExt-r13 SL-TxPoolToReleaseListExt-r13 OPTIONAL,
-- Need ON
                    poolToAddModListExt-r13 SL-CommTxPoolToAddModListExt-r13
OPTIONAL -- Need ON
                }
            }
        }
    ] ]

```



```

    }
  }
}
commTxAllowRelayDedicated-r13      BOOLEAN          OPTIONAL      OPTIONAL,  -- Need ON
                                   -- Need ON
]]
}

LogicalChGroupInfoList-r13 ::=      SEQUENCE (SIZE (1..maxLCG-r13)) OF SL-PriorityList-r13

SL-CommTxPoolToAddModList-r12 ::=   SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-
CommTxPoolToAddMod-r12

SL-CommTxPoolToAddModListExt-r13 ::= SEQUENCE (SIZE (1..maxSL-TxPool-v1310)) OF SL-
CommTxPoolToAddModExt-r13

SL-CommTxPoolToAddMod-r12 ::=       SEQUENCE {
  poolIdentity-r12                    SL-TxPoolIdentity-r12,
  pool-r12                             SL-CommResourcePool-r12
}

SL-CommTxPoolToAddModExt-r13 ::=    SEQUENCE {
  poolIdentity-v1310                  SL-TxPoolIdentity-v1310,
  pool-r13                             SL-CommResourcePool-r12
}

MAC-MainConfigSL-r12 ::=            SEQUENCE {
  periodic-BSR-TimerSL                PeriodicBSR-Timer-r12      OPTIONAL,  -- Need ON
  retx-BSR-TimerSL                    RetxBsr-Timer-r12
}

-- ASN1STOP

```

#### **SL-CommConfig field descriptions**

##### ***commTxAllowRelayDedicated***

Indicates whether the UE is allowed to transmit relay related sidelink communication using the configured dedicated transmission resources i.e. either via scheduled or via UE selected resources.

##### ***commTxPoolNormalDedicated***

Indicates a pool of transmission resources the UE is allowed to use while in RRC\_CONNECTED.

##### ***logicalChGroupInfoList***

Indicates for each logical channel group the list of associated priorities, used as specified in TS 36.321 [6], in order of increasing logical channel group identity.

##### ***mcs***

Indicates the MCS as defined in TS 36.212 [23], clause 14.2.1. If not configured, the selection of MCS is up to UE implementation.

##### ***multipleTx***

Indicates whether the UE should perform multiple transmissions to different destinations in one SC period in accordance with TS 36.321 [6], clause 5.14.1.1. Value TRUE indicates that multiple transmissions should be performed.

##### ***sc-CommTxConfig***

Indicates a pool of resources for SC when E-UTRAN schedules Tx resources (i.e. when indices included in DCI format 5 indicate the actual data resources to be used as specified in TS 36.212 [22], clause 5.3.3.1.9).

##### ***scheduled***

Indicates the configuration for the case E-UTRAN schedules the transmission resources based on sidelink specific BSR from the UE.

##### ***ue-Selected***

Indicates the configuration for the case the UE selects the transmission resources from a pool of resources configured by E-UTRAN.

## – *SL-CommResourcePool*

The IE *SL-CommResourcePool* and *SL-CommResourcePoolV2X* specifies the configuration information for an individual pool of resources for sidelink communication and V2X sidelink communication respectively. The IE covers the configuration of both the sidelink control information and the data.

### **SL-CommResourcePool information element**

```
-- ASN1START
```

```

SL-CommTxPoolList-r12 ::= SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-CommResourcePool-r12
SL-CommTxPoolListExt-r13 ::= SEQUENCE (SIZE (1..maxSL-TxPool-v1310)) OF SL-CommResourcePool-r12
SL-CommTxPoolListV2X-r14 ::= SEQUENCE (SIZE (1..maxSL-V2X-TxPool-r14)) OF SL-CommResourcePoolV2X-r14
SL-CommRxPoolList-r12 ::= SEQUENCE (SIZE (1..maxSL-RxPool-r12)) OF SL-CommResourcePool-r12
SL-CommRxPoolListV2X-r14 ::= SEQUENCE (SIZE (1..maxSL-V2X-RxPool-r14)) OF SL-CommResourcePoolV2X-r14
SL-CommResourcePool-r12 ::= SEQUENCE {
    sc-CP-Len-r12 SL-CP-Len-r12,
    sc-Period-r12 SL-PeriodComm-r12,
    sc-TF-ResourceConfig-r12 SL-TF-ResourceConfig-r12,
    data-CP-Len-r12 SL-CP-Len-r12,
    dataHoppingConfig-r12 SL-HoppingConfigComm-r12,
    ue-SelectedResourceConfig-r12 SEQUENCE {
        data-TF-ResourceConfig-r12 SL-TF-ResourceConfig-r12,
        trpt-Subset-r12 SL-TRPT-Subset-r12 OPTIONAL -- Need OP
    }
    rxParametersNCell-r12 SEQUENCE {
        tdd-Config-r12 TDD-Config OPTIONAL, -- Need OP
        syncConfigIndex-r12 INTEGER (0..15) OPTIONAL, -- Need OR
    }
    txParameters-r12 SEQUENCE {
        sc-TxParameters-r12 SL-TxParameters-r12,
        dataTxParameters-r12 SL-TxParameters-r12
    } OPTIONAL, -- Cond Tx
    ...,
    [[ priorityList-r13 SL-PriorityList-r13 OPTIONAL -- Cond Tx
    ]]
}

SL-CommResourcePoolV2X-r14 ::= SEQUENCE {
    sl-OffsetIndicator-r14 SL-OffsetIndicator-r12 OPTIONAL, -- Need OR
    sl-Subframe-r14 SubframeBitmapSL-r14,
    adjacencyPSCCH-PSSCH-r14 BOOLEAN,
    sizeSubchannel-r14 ENUMERATED {
        n4, n5, n6, n8, n9, n10, n12, n15, n16, n18, n20, n25, n30,
        n48, n50, n72, n75, n96, n100, spare13, spare12, spare11,
        spare10, spare9, spare8, spare7, spare6, spare5, spare4,
        spare3, spare2, spare1},
    numSubchannel-r14 ENUMERATED {n1, n3, n5, n8, n10, n15, n20, spare1},
    startRB-Subchannel-r14 INTEGER (0..99),
    startRB-PSCCH-Pool-r14 INTEGER (0..99) OPTIONAL, -- Need OR
    rxParametersNCell-r14 SEQUENCE {
        tdd-Config-r14 TDD-Config OPTIONAL, -- Need OP
        syncConfigIndex-r14 INTEGER (0..15)
    } OPTIONAL, -- Need OR
    dataTxParameters-r14 SL-TxParameters-r12 OPTIONAL, -- Cond Tx
    zoneID-r14 INTEGER (0..7) OPTIONAL, -- Need OR
    threshS-RSSI-CBR-r14 INTEGER (0..45) OPTIONAL, -- Need OR
    poolReportId-r14 SL-V2X-TxPoolReportIdentity-r14 OPTIONAL, -- Need OR
    cbr-pssch-TxConfigList-r14 SL-CBR-PPPP-TxConfigList-r14 OPTIONAL, -- Need OR
    resourceSelectionConfigP2X-r14 SL-P2X-ResourceSelectionConfig-r14 OPTIONAL, -- Cond P2X
    syncAllowed-r14 SL-SyncAllowed-r14 OPTIONAL, -- Need OR
    restrictResourceReservationPeriod-r14 SL-RestrictResourceReservationPeriodList-r14
    OPTIONAL, -- Need OR
    ...,
    [[ sl-MinT2ValueList-r15 SL-MinT2ValueList-r15 OPTIONAL, -- Need OR
    cbr-pssch-TxConfigList-v1530 SL-CBR-PPPP-TxConfigList-v1530 OPTIONAL, -- Need OR
    ]]
}

SL-TRPT-Subset-r12 ::= BIT STRING (SIZE (3..5))

SL-V2X-TxPoolReportIdentity-r14 ::= INTEGER (1..maxSL-PoolToMeasure-r14)

SL-MinT2ValueList-r15 ::= SEQUENCE (SIZE (1..maxSL-Prio-r13)) OF SL-MinT2Value-r15

SL-MinT2Value-r15 ::= SEQUENCE {
    priorityList-r15 SL-PriorityList-r13,
    minT2Value-r15 INTEGER (10..20)
}

```

-- ASN1STOP

| <b>SL-CommResourcePool field descriptions</b>   |
|---|
| <p><b>adjacencyPSSCH-PSSCH</b><br/>Indicates whether a UE shall always transmit PSSCH and PSSCH in adjacent RBs (indicated by TRUE) or in non-adjacent RBs (indicated by FALSE) (see TS 36.213 [23]).</p>   |
| <p><b>cbr-pssch-TxConfigList</b><br/>Indicates the mapping between PPPPs, CBR ranges by using indexes of the entry in cbr-RangeCommonConfigList, and PSSCH transmission parameters and CR limit by using indexes of the entry in sl-CBR-PSSCH-TxConfigList. If SL-CommResourcePoolV2X is included in MobilityControlInfoV2X, it refers to cbr-MobilityTxConfigList for cbr-RangeCommonConfigList and sl-CBR-PSSCH-TxConfigList. If SL-CommResourcePoolV2X is included in SL-V2X-ConfigDedicated, it refers to cbr-DedicatedTxConfigList for cbr-RangeCommonConfigList and sl-CBR-PSSCH-TxConfigList. Otherwise, it refers to cbr-CommonTxConfigList included in the SystemInformationBlockType21 of the serving cell / PCell for cbr-RangeCommonConfigList and sl-CBR-PSSCH-TxConfigList.</p> |
| <p><b>minT2Value</b><br/>Indicates the minimum value of T2 that applies to the PPPP(s), as specified in TS 36.300 [9], included in priorityList.</p>  |
| <p><b>numSubchannel</b><br/>indicates the number of subchannels in the corresponding resource pool (see TS 36.213 [23]).</p>  |
| <p><b>poolReportId</b><br/>The identity of the transmission resource pool used for CBR measurement reporting, which is corresponding to the poolIdentity reported in measResultListCBR. This field is only present in the transmission pools configured in RRCConnectionReconfiguration and v2x-CommTxPoolExceptional, p2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormal in SystemInformationBlockType21 or SystemInformationBlockType26. Otherwise, the field is absent.</p>  |
| <p><b>resourceSelectionConfigP2X</b><br/>Indicates the allowed resource selection mechanism(s), i.e. partial sensing and/or random selection, for P2X related V2X sidelink communication.</p>   |
| <p><b>restrictResourceReservationPeriod</b><br/>If configured, the field restrictResourceReservationPeriod configured in v2x-ResourceSelectionConfig shall be ignored for transmission on this pool.</p>  |
| <p><b>sc-Period</b><br/>Indicates the period over which resources are allocated in a cell for SC and over which scheduled and UE selected data transmissions occur, see PSSCH period in TS 36.213 [23]. Value in number of subframes. Value sf40 corresponds to 40 subframes, sf80 corresponds to 80 subframes and so on. E-UTRAN configures values sf40, sf80, sf160 and sf320 for FDD and for TDD config 1 to 5, values sf70, sf140 and sf280 for TDD config 0, and finally values sf60, sf120 and sf240 for TDD config 6.</p>  |
| <p><b>sizeSubchannel</b><br/>Indicates the number of PRBs of each subchannel in the corresponding resource pool (see TS 36.213 [23]). The value n5 denotes 5 PRBs; n6 denotes 6 PRBs and so on. E-UTRAN configures values n5, n6, n10, n15, n20, n25, n50, n75 and n100 in the case of adjacencyPSSCH-PSSCH set to TRUE; otherwise, E-UTRAN configures values n4, n5, n6, n8, n9, n10, n12, n15, n16, n18, n20, n30, n48, n72 and n96 in the case of adjacencyPSSCH-PSSCH set to FALSE,</p>   |
| <p><b>sl-minT2ValueList</b><br/>Indicates a list of minimum value sets for the parameter T2 which is used for UE autonomous resource selection in this resource pool (see TS 36.213 [23]).</p>  |
| <p><b>sl-OffsetIndicator</b><br/>Indicates the offset of the first subframe of a resource pool, i.e., the starting subframe of the repeating bitmap sl-Subframe, within a SFN cycle. If absent, the resource pool starts from first subframe of SFN=0. This field is not applicable to V2X sidelink communication.</p>  |
| <p><b>sl-Subframe</b><br/>Indicates the bitmap of the resource pool, which is defined by repeating the bitmap within a SFN cycle (see TS 36.213 [23]).</p>  |
| <p><b>startRB-PSSCH-Pool</b><br/>Indicates the lowest RB index of the PSSCH pool (see TS 36.213 [23]). This field is absent when a pool is (pre)configured such that a UE always transmits SC and data in adjacent RBs in the same subframe.</p>  |
| <p><b>startRB-Subchannel</b><br/>Indicates the lowest RB index of the subchannel with the lowest index (see TS 36.213 [23]).</p>  |
| <p><b>syncAllowed</b><br/>Indicates the allowed synchronization reference(s) which is (are) allowed to use the configured resource pool.</p>  |
| <p><b>syncConfigIndex</b><br/>Indicates the synchronisation configuration that is associated with a reception pool, by means of an index to the corresponding entry of commSyncConfig in SystemInformationBlockType18 for sidelink communication, or by means of an index to the corresponding entry of v2x-SyncConfig in SystemInformationBlockType21 or SystemInformationBlockType26 for V2X sidelink communication.</p>  |
| <p><b>tdd-Config</b><br/>TDD configuration associated with the reception pool of the cell indicated by syncConfigIndex. Absence of the field indicates that the duplex mode is FDD and no TDD specific physical channel configuration is applicable.</p>  |
| <p><b>threshS-RSSI-CBR</b><br/>Indicates the S-RSSI threshold for determining the contribution of a sub-channel to the CBR measurement, as specified in TS 36.214 [48]. Value 0 corresponds to -112 dBm, value 1 to -110 dBm, value n to (-112 + n*2) dBm, and so on.</p>   |

| <b>SL-CommResourcePool field descriptions</b>   |
|---|
| <p><b>adjacencyPSSCH-PSSCH</b><br/>Indicates whether a UE shall always transmit PSSCH and PSSCH in adjacent RBs (indicated by TRUE) or in non-adjacent RBs (indicated by FALSE) (see TS 36.213 [23]).</p>   |
| <p><b>trpt-Subset</b><br/>Indicates the subset of T-RPT available (see TS 36.213 [23], clause 14.1.1.1). Consists of a bitmap which is used to indicate the set of available 'k' values to be used for sidelink communication (see TS 36.213 [23], clause 14.1.1.3). If T-RPT subset configuration is not signaled/ preconfigured then UE assumes the whole T-RPT set is available.</p> |
| <p><b>zoneID</b><br/>Indicates the zone ID for which the UE shall use this resource pool as described in 5.10.13.2. The field is absent in <i>v2x-CommTxPoolExceptional</i>, <i>p2x-CommTxPoolNormalCommon</i>, <i>p2x-CommTxPoolNormal</i> and <i>v2x-CommRxPool</i> in SIB21, in SIB26 or in <i>mobilityControlInfoV2X</i>.</p>   |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>Tx</i>                   | The field is mandatory present when included in <i>commTxPoolNormalDedicated</i> , <i>commTxPoolNormalDedicatedExt</i> , <i>commTxPoolNormalCommon</i> , <i>commTxPoolNormalCommonExt</i> , <i>commTxPoolExceptional</i> , <i>sc-CommTxConfig</i> , <i>v2x-CommTxPoolNormalCommon</i> , <i>v2x-CommTxPoolExceptional</i> , <i>v2x-CommTxPoolNormalDedicated</i> , <i>p2x-CommTxPoolNormalCommon</i> or <i>v2x-CommTxPoolNormal</i> and <i>p2x-CommTxPoolNormal</i> in <i>v2x-InterFreqInfoList</i> . Otherwise the field is not present. |
| <i>P2X</i>                  | The field is mandatory present when included in <i>p2x-CommTxPoolNormalCommon</i> , <i>v2x-CommTxPoolNormalDedicated</i> in <i>sl-V2X-ConfigDedicated</i> for P2X related V2X sidelink communication or <i>p2x-CommTxPoolNormal</i> in <i>v2x-InterFreqInfoList</i> . Otherwise the field is not present.  |

### – SL-CommTxPoolSensingConfig

The IE *SL-CommTxPoolSensingConfig* specifies V2X sidelink communication configurations used for UE autonomous resource selection.

#### **SL-CommTxPoolSensingConfig information element**

```

-- ASN1START
SL-CommTxPoolSensingConfig-r14 ::= SEQUENCE {
    pssch-TxConfigList-r14          SL-PSSCH-TxConfigList-r14,
    thresPSSCH-RSRP-List-r14       SL-ThresPSSCH-RSRP-List-r14,
    restrictResourceReservationPeriod-r14  SL-RestrictResourceReservationPeriodList-r14
    OPTIONAL, -- Need OR
    probResourceKeep-r14           ENUMERATED {v0, v0dot2, v0dot4, v0dot6, v0dot8,
                                                spare3, spare2, spare1},
    p2x-SensingConfig-r14          SEQUENCE {
        minNumCandidateSF-r14      INTEGER (1..13),
        gapCandidateSensing-r14    BIT STRING (SIZE (10))
    }
    OPTIONAL, -- Need OR
    sl-ReselectAfter-r14          ENUMERATED {n1, n2, n3, n4, n5, n6, n7, n8, n9,
                                                spare7, spare6, spare5, spare4, spare3, spare2,
                                                spare1}
    OPTIONAL -- Need OR
}
-- ASN1STOP

```

| <b><i>SL-CommTxPoolSensingConfig</i> field descriptions</b>  |
|--|
| <b><i>gapCandidateSensing</i></b><br>Indicates which subframe should be sensed when a certain subframe is considered as a candidate resource (see TS 36.213 [23]).   |
| <b><i>minNumCandidateSF</i></b><br>Indicates the minimum number of subframes that are included in the possible candidate resources.  |
| <b><i>p2x-SensingConfig</i></b><br>Indicates the sensing configuration for P2X related V2X sidelink communication transmission only.   |
| <b><i>probResourceKeep</i></b><br>Indicates the probability with which the UE keeps the current resource when the resource reselection counter reaches zero for sensing based UE autonomous resource selection (see TS 36.321 [6]).  |
| <b><i>pssch-TxConfigList</i></b><br>Indicates PSSCH TX parameters such as MCS, PRB number, retransmission number, associated to different UE absolute speeds and different synchronization reference types for UE autonomous resource selection (see TS 36.213 [23]).  |
| <b><i>restrictResourceReservationPeriod</i></b><br>Indicates which values are allowed for the signalling of the resource reservation period in PSCCH.  |
| <b><i>sl-ReselectAfter</i></b><br>Indicates the number of consecutive skipped transmissions before triggering resource reselection for V2X sidelink communication (see TS 36.321 [6]).   |
| <b><i>thresPSSCH-RSRP-List</i></b><br>Indicates a list of 64 thresholds, and the threshold should be selected based on the priority in the decoded SCI and the priority in the SCI to be transmitted (see TS 36.213 [23]). A resource is excluded if it is indicated or reserved by a decoded SCI and PSSCH RSRP in the associated data resource is above a threshold. |

### – *SL-CP-Len*

The IE *SL-CP-Len* indicates the cyclic prefix length, see TS 36.211 [21].

#### ***SL-CP-Len* information element**

```
-- ASN1START
SL-CP-Len-r12 ::=          ENUMERATED {normal, extended}
-- ASN1STOP
```

### – *SL-DiscConfig*

The IE *SL-DiscConfig* specifies the dedicated configuration information for sidelink discovery.

#### ***SL-DiscConfig* information element**

```
-- ASN1START
SL-DiscConfig-r12 ::=
    discTxResources-r12
        release
        setup
            scheduled-r12
                discTxConfig-r12
                discTF-IndexList-r12
                discHoppingConfig-r12
            },
    ue-Selected-r12
        discTxPoolDedicated-r12
        poolToReleaseList-r12
        poolToAddModList-r12
    }
}
OPTIONAL -- Need ON
OPTIONAL -- Need ON
OPTIONAL -- Need ON
[[ discTF-IndexList-v1260
    release
    CHOICE {
        NULL,
```

```

        setup
        discTF-IndexList-r12b          SEQUENCE {
            SL-TF-IndexPairList-r12b
        }
    },
    ],
    [[ discTxResourcesPS-r13          CHOICE {
        release                        NULL,
        setup                          CHOICE {
            scheduled-r13              SL-DiscTxConfigScheduled-r13,
            ue-Selected-r13            SEQUENCE {
                discTxPoolPS-Dedicated-r13  SL-DiscTxPoolDedicated-r13
            }
        }
    }
    ]],
    discTxInterFreqInfo-r13          CHOICE {
        release                        NULL,
        setup                          SEQUENCE {
            discTxCarrierFreq-r13      ARFCN-ValueEUTRA-r9          OPTIONAL, -- Need
OR
            discTxRefCarrierDedicated-r13  SL-DiscTxRefCarrierDedicated-r13  OPTIONAL, --
Need OR
            discTxInfoInterFreqListAdd-r13  SL-DiscTxInfoInterFreqListAdd-r13  OPTIONAL
        }
    }
    ],
    gapRequestsAllowedDedicated-r13  BOOLEAN          OPTIONAL, -- Need ON
    discRxGapConfig-r13              CHOICE {
        release                        NULL,
        setup                          SL-GapConfig-r13
    }
    ],
    discTxGapConfig-r13              CHOICE {
        release                        NULL,
        setup                          SL-GapConfig-r13
    }
    ],
    discSysInfoToReportConfig-r13    CHOICE {
        release                        NULL,
        setup                          SL-DiscSysInfoToReportFreqList-r13
    }
    ],
    ]],
}

SL-DiscSysInfoToReportFreqList-r13 ::= SEQUENCE (SIZE (1..maxFreq)) OF ARFCN-ValueEUTRA-r9

SL-DiscTxInfoInterFreqListAdd-r13 ::= SEQUENCE {
    discTxFreqToAddModList-r13      SEQUENCE (SIZE (1..maxFreq)) OF SL-
DiscTxResourceInfoPerFreq-r13  OPTIONAL, -- Need ON
    discTxFreqToReleaseList-r13    SEQUENCE (SIZE (1..maxFreq)) OF ARFCN-ValueEUTRA-r9
    OPTIONAL, -- Need ON
    ...
}

SL-DiscTxResourceInfoPerFreq-r13 ::= SEQUENCE {
    discTxCarrierFreq-r13          ARFCN-ValueEUTRA-r9,
    discTxResources-r13            SL-DiscTxResource-r13  OPTIONAL, -- Need OR
    discTxResourcesPS-r13         SL-DiscTxResource-r13  OPTIONAL, -- Need OR
    discTxRefCarrierDedicated-r13 SL-DiscTxRefCarrierDedicated-r13  OPTIONAL, -- Need
OR
    discCellSelectionInfo-r13      CellSelectionInfoNFreq-r13  OPTIONAL, --
Need OR
    ...
}

SL-DiscTxResource-r13 ::=
    CHOICE {
        release                    NULL,
        setup                      CHOICE {
            scheduled-r13          SL-DiscTxConfigScheduled-r13,
            ue-Selected-r13        SL-DiscTxPoolDedicated-r13
        }
    }

SL-DiscTxPoolToAddModList-r12 ::= SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-
DiscTxPoolToAddMod-r12

SL-DiscTxPoolToAddMod-r12 ::= SEQUENCE {
    poolIdentity-r12              SL-TxPoolIdentity-r12,
    pool-r12                      SL-DiscResourcePool-r12
}

```

```

SL-DiscTxConfigScheduled-r13 ::= SEQUENCE {
    discTxConfig-r13          SL-DiscResourcePool-r12 OPTIONAL, -- Need ON
    discTF-IndexList-r13     SL-TF-IndexPairList-r12b  OPTIONAL, -- Need ON
    discHoppingConfig-r13   SL-HoppingConfigDisc-r12  OPTIONAL, -- Need ON
    ...
}

SL-DiscTxPoolDedicated-r13 ::= SEQUENCE {
    poolToReleaseList-r13   SL-TxPoolToReleaseList-r12 OPTIONAL, -- Need ON
    poolToAddModList-r13   SL-DiscTxPoolToAddModList-r12 OPTIONAL -- Need ON
}

SL-TF-IndexPairList-r12 ::= SEQUENCE (SIZE (1..maxSL-TF-IndexPair-r12)) OF SL-TF-IndexPair-r12

SL-TF-IndexPair-r12 ::= SEQUENCE {
    discSF-Index-r12       INTEGER (1..200)          OPTIONAL, -- Need ON
    discPRB-Index-r12     INTEGER (1..50)           OPTIONAL  -- Need ON
}

SL-TF-IndexPairList-r12b ::= SEQUENCE (SIZE (1..maxSL-TF-IndexPair-r12)) OF SL-TF-IndexPair-r12b

SL-TF-IndexPair-r12b ::= SEQUENCE {
    discSF-Index-r12b     INTEGER (0..209)          OPTIONAL, -- Need ON
    discPRB-Index-r12b   INTEGER (0..49)           OPTIONAL  -- Need ON
}

SL-DiscTxRefCarrierDedicated-r13 ::= CHOICE {
    pCell                 NULL,
    sCell                 SCellIndex-r10
}
-- ASN1STOP

```

#### **SL-DiscConfig field descriptions**

##### **discCellSelectionInfo**

Parameters that may be used by the UE to select/ reselect a cell on the concerned non serving frequency. If absent, the UE acquires the information from the target cell on the concerned frequency. See TS 36.304 [4], clause 11.4.

##### **discSysInfoToReportConfig**

Indicates the request to start a *SidelinkUEInformation* procedure for reporting system information acquired during an inter-frequency discovery procedure.

##### **discTF-IndexList**

Indicates a list of time-frequency resource indices pair where each pair of indices corresponds to one discovery message. E-UTRAN only configures *discTF-IndexList-r12b* when configuring the UE with scheduled SL discovery Tx resources. When receiving *discTF-IndexList-r12b*, the UE shall only consider this field (and hence ignore *discTF-IndexList-r12*, if included or previously configured).

##### **discTxConfig**

Indicates the resources configuration used when E-UTRAN schedules Tx resources (i.e. the fields *discSF-Index* and *discPRB-Index* indicate the actual resources to be used).

##### **discTxInterFreqInfo**

Indicates frequency applicable for the resources indicated by *discTxResources-r12* (i.e. original resource field may cover first inter-frequency), and possibly resource allocations on additional frequencies as may be indicated by field *discTxInfoInterFreqListAdd*.

##### **discTxRefCarrierDedicated**

Indicates if the PCell or an SCell is to be used as reference for DL measurements and synchronization, instead of the DL frequency paired with the one used to transmit sidelink discovery announcements on, see TS 36.213 [23], clause 14.3.1.

##### **discTxResources**

Indicates the resources assigned to the UE for discovery announcements, which can either be a pool from which the UE may select or a set of resources specifically assigned for use by the UE.

##### **discTxResourcesPS**

Indicates the resources assigned to the UE for PS discovery announcements, which can either be a pool from which the UE may select or a set of resources specifically assigned for use by the UE.

##### **SL-TF-IndexPair**

A pair of indices, one for the time domain and one for the frequency domain, indicating the start of resources within the pool covered by *discTxConfig*, see TS 36.211 [21], clause 9.5.6 for one discovery message. The upper limits of *discSF-Index* and *discPRB-Index* are defined in TS 36.213 [23], clause 14.3.1.



## – *SL-DiscResourcePool*

The IE *SL-DiscResourcePool* specifies the configuration information for an individual pool of resources for sidelink discovery.

### *SL-DiscResourcePool* information element

```

-- ASN1START
SL-DiscTxPoolList-r12 ::= SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-DiscResourcePool-r12
SL-DiscRxPoolList-r12 ::= SEQUENCE (SIZE (1..maxSL-RxPool-r12)) OF SL-DiscResourcePool-r12
SL-DiscResourcePool-r12 ::= SEQUENCE {
  cp-Len-r12 SL-CP-Len-r12,
  discPeriod-r12 ENUMERATED {rf32, rf64, rf128,
    rf256, rf512, rf1024, rf16-v1310, spare},
  numRetx-r12 INTEGER (0..3),
  numRepetition-r12 INTEGER (1..50),
  tf-ResourceConfig-r12 SL-TF-ResourceConfig-r12,
  txParameters-r12 SEQUENCE {
    txParametersGeneral-r12 SL-TxParameters-r12,
    ue-SelectedResourceConfig-r12 SEQUENCE {
      poolSelection-r12 CHOICE {
        rsrpBased-r12 SL-PoolSelectionConfig-r12,
        random-r12 NULL
      },
      txProbability-r12 ENUMERATED {p25, p50, p75, p100}
    }
  }
  OPTIONAL -- Need OR
  OPTIONAL -- Cond Tx
  rxParameters-r12 SEQUENCE {
    tdd-Config-r12 TDD-Config OPTIONAL -- Need OR
    syncConfigIndex-r12 INTEGER (0..15) OPTIONAL -- Need OR
  }
  ...,
  [[ discPeriod-v1310 CHOICE {
    release NULL,
    setup ENUMERATED {rf4, rf6, rf7, rf8,
      rf12, rf14, rf24, rf28}
  }
  OPTIONAL -- Need ON
  rxParamsAddNeighFreq-r13 CHOICE {
    release NULL,
    setup SEQUENCE {
      physCellId-r13 PhysCellIdList-r13
    }
  }
  OPTIONAL -- Need ON
  txParamsAddNeighFreq-r13 CHOICE {
    release NULL,
    setup SEQUENCE {
      physCellId-r13 PhysCellIdList-r13,
      p-Max P-Max OPTIONAL -- Need OP
      tdd-Config-r13 TDD-Config OPTIONAL -- Cond TDD-OR
      tdd-Config-v1130 TDD-Config-v1130 OPTIONAL -- Cond TDD-OR
      freqInfo SEQUENCE {
        ul-CarrierFreq ARFCN-ValueEUTRA OPTIONAL -- Need OP
        ul-Bandwidth ENUMERATED {n6, n15, n25, n50, n75, n100}
          OPTIONAL -- Need OP
      }
      additionalSpectrumEmission AdditionalSpectrumEmission
    },
    referenceSignalPower INTEGER (-60..50),
    syncConfigIndex-r13 INTEGER (0..15) OPTIONAL -- Need OR
  }
  OPTIONAL -- Need ON
  ]],
  [[ txParamsAddNeighFreq-v1370 CHOICE {
    release NULL,
    setup SEQUENCE {
      freqInfo-v1370 SEQUENCE {
        additionalSpectrumEmission-v1370 AdditionalSpectrumEmission-v1010
      }
    }
  }
  OPTIONAL -- Need ON
  ]],
  ]],
  PhysCellIdList-r13 ::= SEQUENCE (SIZE (1..maxSL-DiscCells-r13)) OF PhysCellId

```

```

SL-PoolSelectionConfig-r12 ::= SEQUENCE {
    threshLow-r12          RSRP-RangeSL2-r12,
    threshHigh-r12       RSRP-RangeSL2-r12
}
-- ASN1STOP

```

### SL-DiscResourcePool field descriptions

|                              |   |
|------------------------------|---|
| <b>discPeriod</b>            | Indicates the period over which resources are allocated in a cell for discovery message transmission/reception, see PSDCH period in TS 36.213 [23]. Value in number of radio frames. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on. The extended values apply for PS discovery (not only for sidelink relaying). When broadcasting an extended value, E-UTRAN sets the original field to spare to ensure legacy UEs ignore the concerned pool entry.   |
| <b>numRepetition</b>         | Indicates the number of times <i>subframeBitmap</i> is repeated for mapping to subframes that occurs within a <i>discPeriod</i> . The highest value E-UTRAN uses is value 5 for FDD and TDD configuration 0, value 13 for TDD configuration 1, value 25 for TDD configuration 2, value 17 for TDD configuration 3, value 25 for TDD configuration 4, value 50 for TDD configuration 5 and value 7 for TDD configuration 6. E-UTRAN configures <i>numRepetition</i> and <i>subframeBitmap</i> such that the mapped subframes do not exceed the <i>discPeriod</i> . |
| <b>poolSelection</b>         | Indicates the mechanism for selecting a (transmission) pool when multiple candidates are provided. E-UTRAN configures the same value (i.e. a pool selection method) for all candidate pools within one pool list ( <i>discTxPoolCommon</i> or <i>discTxPoolDedicated</i> ) but the pool selection method in different pool lists may or may not be the same.  |
| <b>syncConfigIndex</b>       | Indicates the synchronisation configuration that is associated with a reception or transmission pool, by means of an index to the corresponding entry of <i>discSyncConfig</i> in <i>SystemInformationBlockType19</i> .   |
| <b>threshLow, threshHigh</b> | Specifies the thresholds used to select a resource pool in RSRP based pool selection. The E-UTRAN should configure <i>threshLow</i> and <i>threshHigh</i> such that the UE selects only one resource pool upon RSRP based pool selection.   |
| <b>txProbability</b>         | Indicates the probability of transmitting announcement in a discovery period when configured with a pool of resources, see TS 36.321 [6].   |

| Conditional presence | Explanation   |
|----------------------|---|
| TDD-OR               | The field is optional present for TDD, need OR; it is not present for FDD.  |
| Tx                   | The field is mandatory present when included in <i>discTxPoolDedicated</i> or <i>discTxPoolCommon</i> . Otherwise the field is not present. |

## SL-DiscSysInfoReport

The IE *SL-DiscSysInfoReport* contains the parameters related to sidelink discovery acquired from system information of inter-frequency cells (including inter-PLMN).

### SL-DiscSysInfoReport information element

```

-- ASN1START
SL-DiscSysInfoReport-r13 ::= SEQUENCE {
    plmn-IdentityList-r13    PLMN-IdentityList          OPTIONAL,
    cellIdentity-13         CellIdentity              OPTIONAL,
    carrierFreqInfo-13     ARFCN-ValueEUTRA-r9         OPTIONAL,
    discRxResources-r13    SL-DiscRxPoolList-r12        OPTIONAL,
    discTxPoolCommon-r13   SL-DiscTxPoolList-r12   OPTIONAL,
    discTxPowerInfo-r13    SL-DiscTxPowerInfoList-r12  OPTIONAL,
    discSyncConfig-r13     SL-SyncConfigNFreq-r13   OPTIONAL,
    discCellSelectionInfo-r13 SEQUENCE {
        q-RxLevMin-r13      Q-RxLevMin,
        q-RxLevMinOffset-r13 INTEGER (1..8)          OPTIONAL
    }
    cellReselectionInfo-r13 SEQUENCE {
        q-Hyst-r13          ENUMERATED {
            dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
            dB12, dB14, dB16, dB18, dB20, dB22, dB24},
        q-RxLevMin-r13     Q-RxLevMin,

```

```

    t-ReselectionEUTRA-r13          T-Reselection
  }                                OPTIONAL,
  tdd-Config-r13                   TDD-Config          OPTIONAL,
  freqInfo-r13                     SEQUENCE {
    ul-CarrierFreq-r13             ARFCN-ValueEUTRA    OPTIONAL,
    ul-Bandwidth-r13              ENUMERATED {n6, n15, n25, n50, n75, n100}
                                   OPTIONAL,
    additionalSpectrumEmission-r13 AdditionalSpectrumEmission OPTIONAL
  }                                OPTIONAL,
  p-Max-r13                         P-Max          OPTIONAL,
  referenceSignalPower-r13          INTEGER (-60..50)  OPTIONAL,
  ...
  [[
  freqInfo-v1370                   SEQUENCE {
    additionalSpectrumEmission-v1370 AdditionalSpectrumEmission-v1010
  }                                OPTIONAL
  ]]
}
-- ASN1STOP

```

#### ***SL-DiscSysInfoReport* field descriptions**

##### ***carrierFreqInfo***

Indicates the frequency of the cell from which the UE acquired the system information relevant for discovery

##### ***cellIdentity***

Indicated the identity of the cell from which the UE acquired the system information relevant for discovery

##### ***plmn-IdentityList***

Indicates the list of PLMN identity of the cell from which the UE acquired the system information relevant for discovery

#### ***SL-DiscTxPowerInfo***

The IE *SL-DiscTxPowerInfo* specifies power control parameters for one or more power classes.

#### ***SL-DiscTxPowerInfo* information element**

```

-- ASN1START
SL-DiscTxPowerInfoList-r12 ::= SEQUENCE (SIZE (maxSL-DiscPowerClass-r12)) OF SL-DiscTxPowerInfo-r12
SL-DiscTxPowerInfo-r12 ::= SEQUENCE {
  discMaxTxPower-r12          P-Max,
  ...
}
-- ASN1STOP

```

#### ***SL-DiscTxPowerInfo* field descriptions**

##### ***discMaxTxPower***

Indicates the P-Max parameter used to calculate the maximum transmit power a UE configured with the concerned range class, see TS 24.333 [70], clause 4.2.11. The first entry in *SL-DiscTxPowerInfoList* corresponds to UE range class 'short', the second entry corresponds to 'medium' and the third entry corresponds to 'long'.

#### ***SL-GapConfig***

The IE *SL-GapConfig* indicates the gaps, requested or assigned, to enable the UE to receive or transmit sidelink discovery, intra or inter frequency (including inter-PLMN).

#### ***SL-GapConfig* information element**

```

-- ASN1START
SL-GapConfig-r13 ::= SEQUENCE {
  gapPatternList-r13          SL-GapPatternList-r13
}
SL-GapPatternList-r13 ::= SEQUENCE (SIZE (1..maxSL-GP-r13)) OF SL-GapPattern-r13

```

```

SL-GapPattern-r13 ::= SEQUENCE {
    gapPeriod-r13      ENUMERATED {sf40, sf60, sf70, sf80, sf120, sf140, sf160,
                                   sf240, sf280, sf320, sf640, sf1280, sf2560, sf5120,
                                   sf10240},
    gapOffset-r12     SL-OffsetIndicator-r12,
    gapSubframeBitmap-r13 BIT STRING (SIZE (1..10240)),
    ...
}
-- ASN1STOP

```

#### SL-GapConfig field descriptions

##### gapOffset

Indicates the offset from the start of SFN 0 to the start of the first *gapPeriod*. If the SFN period is not an integer multiple of *gapPeriod*, no subframes within this period (i.e. from SFN 0 to offset) are considered part of the gap.

##### gapPeriod

Indicates the period by which *gapSubframeBitmap* is repeated.

##### gapSubframeBitmap

Indicates the subframes of one or more individual gaps, not only covering the subframes of the associated discovery resources but also including e.g. re-tuning and synchronisation delays. The UE and E-UTRAN signal bit strings of valid sizes only i.e. sizes equal to or less than *gapPeriod*. Value 1 indicates that the UE is allowed to use the subframe for sidelink discovery.

## SL-GapRequest

The IE *SL-GapRequest* indicates the gaps requested by the UE to receive or transmit sidelink discovery, intra or inter frequency (including inter-PLMN).

#### SL-GapRequest information element

```

-- ASN1START
SL-GapRequest-r13 ::= SEQUENCE (SIZE (1..maxFreq)) OF SL-GapFreqInfo-r13
SL-GapFreqInfo-r13 ::= SEQUENCE {
    carrierFreq-r13 ARFCN-ValueEUTRA-r9 OPTIONAL,
    gapPatternList-r13 SL-GapPatternList-r13
}
-- ASN1STOP

```

## SL-HoppingConfig

The IE *SL-HoppingConfig* indicates the hopping configuration used for sidelink.

#### SL-HoppingConfig information element

```

-- ASN1START
SL-HoppingConfigComm-r12 ::= SEQUENCE {
    hoppingParameter-r12 INTEGER (0..504),
    numSubbands-r12     ENUMERATED {ns1, ns2, ns4},
    rb-Offset-r12       INTEGER (0..110)
}
SL-HoppingConfigDisc-r12 ::= SEQUENCE {
    a-r12 INTEGER (1..200),
    b-r12 INTEGER (1..10),
    c-r12 ENUMERATED {n1, n5}
}
-- ASN1STOP

```

| <b>SL-HoppingConfig field descriptions</b> |  |
|--|--|
| <b>a</b>                                   | Per cell parameter: $N_{PSDCH}^{(1)}$ see TS 36.213 [23], clause 14.3.1.   |
| <b>b</b>                                   | Per UE parameter: $N_{PSDCH}^{(2)}$ see TS 36.213 [23], clause 14.3.1.   |
| <b>c</b>                                   | Per cell parameter: $N_{PSDCH}^{(3)}$ see TS 36.213 [23], clause 14.3.1.   |
| <b>hoppingParameter</b>                    | Affects the hopping performed as specified in TS 36.213 [23], clauses 14.1.1.2 and 14.1.1.4. In case value 504 is received, the value used by the UE is 510. |
| <b>numSubbands</b>                         | Parameter: $N_{sb}$ see TS 36.211 [21], clause 9.3.6.  |
| <b>rb-Offset</b>                           | Parameter: $N_{RB}^{HO}$ , see TS 36.211 [21], clause 9.3.6.   |

## – **SL-InterFreqInfoListV2X**

The IE *SL-InterFreqInfoListV2X* indicates synchronization and resource allocation configurations of the neighboring frequency for V2X sidelink communication.

### **SL-InterFreqInfoListV2X information element**

```

-- ASN1START
SL-InterFreqInfoListV2X-r14 ::= SEQUENCE (SIZE (0..maxFreqV2X-1-r14)) OF SL-InterFreqInfoV2X-r14
SL-InterFreqInfoV2X-r14 ::= SEQUENCE {
    plmn-IdentityList-r14          PLMN-IdentityList          OPTIONAL,          -- Need OP
    v2x-CommCarrierFreq-r14       ARFCN-ValueEUTRA-r9,
    sl-MaxTxPower-r14             P-Max                  OPTIONAL,          -- Need OR
    sl-Bandwidth-r14              ENUMERATED {n6, n15, n25, n50, n75, n100} OPTIONAL,          --
Need OR
    v2x-SchedulingPool-r14        SL-CommResourcePoolV2X-r14  OPTIONAL,          -- Need
OR
    v2x-UE-ConfigList-r14         SL-V2X-UE-ConfigList-r14  OPTIONAL,          -- Need OR
    ...
    [[ additionalSpectrumEmissionV2X-r14 CHOICE {
        additionalSpectrumEmission-r14    AdditionalSpectrumEmission,
        additionalSpectrumEmission-v1440  AdditionalSpectrumEmission-v1010
    }
    OPTIONAL          -- Need ON
    ]],
    [[ v2x-FreqSelectionConfigList-r15 SL-V2X-FreqSelectionConfigList-r15 OPTIONAL          --Need OR
    ]]
}
-- ASN1STOP

```

| <b>SL-InterFreqInfoListV2X field descriptions</b>   |
|---|
| <p><b>plmn-IdentityList</b><br/>Indicates PLMN identities of this frequency for reception of V2X sidelink communication. If this field is not present, the UE considers this frequency for reception of V2X sidelink communication concerns the first PLMN entry in the <i>plmn-IdentityList</i> in <i>SystemInformationBlockType1</i>.</p>   |
| <p><b>sl-MaxTxPower</b><br/>Indicates the maximum transmission power for transmitting V2X sidelink communication on the corresponding frequency.</p>  |
| <p><b>additionalSpectrumEmissionV2X</b><br/>Indicates the <i>additionalSpectrumEmission</i> value defined in TS 36.101 [42], clause 6.2.4, for V2X sidelink communication.</p>  |
| <p><b>v2x-FreqSelectionConfigList</b><br/>Indicates the configuration information for the carrier selection for V2X sidelink communication transmission. The configuration applies to the carrier frequency identified by <i>v2x-CommCarrierFreq</i> (i.e. carrier specific configuration).</p>   |
| <p><b>v2x-SchedulingPool</b><br/>Indicates the resource pool for inter-carrier scheduled resource allocation. This field is configured in RRC dedicated signalling only when <i>scheduled</i> is configured in IE <i>SL-V2X-ConfigDedicated</i>.</p>  |
| <p><b>v2x-UE-ConfigList</b><br/>Indicates the inter-carrier resource configuration. If there is only one entry in the list without <i>physCellId</i> configured, the configuration is applied to the frequency identified by <i>v2x-CommCarrierFreq</i> (i.e. carrier specific configuration); if the entry of this field includes <i>physCellIdList</i>, the configuration is applied to the cell(s) identified by <i>physCellIdList</i> (i.e. cell specific configuration).</p> |

### – SL-V2X-UE-ConfigList

The IE *SL-V2X-UE-ConfigList* indicates inter-frequency resource configuration per-carrier or per-cell.

#### **SL-V2X-UE-ConfigList information element**

```

-- ASN1START
SL-V2X-UE-ConfigList-r14 ::= SEQUENCE (SIZE (1..maxCellIntra)) OF SL-V2X-InterFreqUE-Config-r14
SL-V2X-InterFreqUE-Config-r14 ::= SEQUENCE {
    physCellIdList-r14 PhysCellIdList-r13 OPTIONAL, -- Need OR
    typeTxSync-r14 SL-TypeTxSync-r14 OPTIONAL, -- Need OR
    v2x-SyncConfig-r14 SL-SyncConfigListNFreqV2X-r14 OPTIONAL, -- Need OR
    v2x-CommRxPool-r14 SL-CommRxPoolListV2X-r14 OPTIONAL, -- Need
OR
    v2x-CommTxPoolNormal-r14 SL-CommTxPoolListV2X-r14 OPTIONAL, --
Need OR
    p2x-CommTxPoolNormal-r14 SL-CommTxPoolListV2X-r14 OPTIONAL, --
Need OR
    v2x-CommTxPoolExceptional-r14 SL-CommResourcePoolV2X-r14 OPTIONAL, -- Need OR
    v2x-ResourceSelectionConfig-r14 SL-CommTxPoolSensingConfig-r14 OPTIONAL, -- Need OR
    zoneConfig-r14 SL-ZoneConfig-r14 OPTIONAL, -- Need OR
    offsetDFN-r14 INTEGER (0..1000) OPTIONAL, -- Need OR
    ...
}
-- ASN1STOP

```

| <b>SL-V2X-UE-ConfigList field descriptions</b>  |
|---|
| <p><b>offsetDFN</b><br/>Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference. Value 0 corresponds to 0 milliseconds, value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on.</p>  |
| <p><b>p2x-CommTxPoolNormal</b><br/>Indicates the resources on a carrier frequency by which the UE may transmit P2X related V2X sidelink communication.</p>  |
| <p><b>physCellIdList</b><br/>If configured, the resource configuration is applicable for the cell(s) identified by this field. Otherwise, the resource configuration is for a given carrier frequency.</p>  |
| <p><b>typeTxSync</b><br/>Indicates the prioritized synchronization type (i.e. eNB or GNSS) for performing V2X sidelink communication on a carrier frequency.</p>  |
| <p><b>v2x-CommRxPool</b><br/>Indicates the resources on a carrier frequency by which the UE may receive V2X sidelink communication. This field is absent within <i>v2x-InterFreqInfoList</i> included in <i>RRCConnectionReconfiguration</i> except if received with <i>MobilityControlInfo</i> or <i>MobilityControlInfoV2X</i>.</p> |
| <p><b>v2x-CommTxPoolExceptional</b><br/>Indicates the resources on a carrier frequency by which the UE may transmit V2X sidelink communication in exceptional conditions, as specified in 5.10.13.</p>  |
| <p><b>v2x-CommTxPoolNormal</b><br/>Indicates the resources on a carrier frequency by which the UE may transmit V2X sidelink communication.</p>  |
| <p><b>v2x-SyncConfig</b><br/>Indicates the synchronization configuration used for transmission/reception of SLSS on the given frequency.</p>  |

### – SL-OffsetIndicator

The IE *SL-OffsetIndicator* indicates the offset of the pool of resources relative to SFN 0 of the cell from which it was obtained or, when out of coverage, relative to DFN 0.

#### SL-OffsetIndicator information element

```

-- ASN1START
SL-OffsetIndicator-r12 ::=          CHOICE {
    small-r12                INTEGER (0..319),
    large-r12                 INTEGER (0..10239)
}
SL-OffsetIndicatorSync-r12 ::=     INTEGER (0..39)
SL-OffsetIndicatorSync-v1430 ::=   INTEGER (40..159)
SL-OffsetIndicatorSync-r14 ::=     INTEGER (0..159)
-- ASN1STOP

```

| <b>SL-OffsetIndicator field descriptions</b>   |
|--|
| <p><b>SL-OffsetIndicator</b><br/>In <i>sc-TF-ResourceConfig</i>, it indicates the offset of the first period of pool of resources within a SFN cycle. For <i>data-TF-ResourceConfig</i>, it corresponds to the <i>offsetIndicator</i> as defined in TS 36.213 [23], clause 14.1.3.</p>   |
| <p><b>SL-OffsetIndicatorSync</b><br/>For sidelink discovery and sidelink communication, synchronisation resources are present in those SFN and subframes which satisfy the relation: <math>(SFN * 10 + \text{Subframe Number}) \bmod 40 = SL\text{-OffsetIndicatorSync}</math>. For V2X sidelink communication, synchronisation resources are present in those SFN and subframes which satisfy the relation: <math>(SFN * 10 + \text{Subframe Number}) \bmod 160 = SL\text{-OffsetIndicatorSync}</math>.</p> |

## – *SL-P2X-ResourceSelectionConfig*

The IE *SL-P2X-ResourceSelectionConfig* includes the configuration of resource selection for P2X related V2X sidelink communication. E-UTRAN configures at least one resource selection mechanism.

### **SL-P2X-ResourceSelectionConfig information element**

```
-- ASN1START
SL-P2X-ResourceSelectionConfig-r14 ::= SEQUENCE {
    partialSensing-r14      ENUMERATED {true}      OPTIONAL, -- Need OR
    randomSelection-r14    ENUMERATED {true}      OPTIONAL  -- Need OR
}
-- ASN1STOP
```

#### **SL-P2X-ResourceSelectionConfig field descriptions**

##### ***partialSensing***

Indicates that partial sensing is allowed for UE autonomous resource selection in a resource pool.

##### ***randomSelection***

Indicates that random selection is allowed for UE autonomous resource selection in a resource pool.

## – *SL-PeriodComm*

The IE *SL-PeriodComm* indicates the period over which resources allocated in a cell for sidelink communication.

### **SL-PeriodComm information element**

```
-- ASN1START
SL-PeriodComm-r12 ::= ENUMERATED {sf40, sf60, sf70, sf80, sf120, sf140,
    sf160, sf240, sf280, sf320, spare6, spare5,
    spare4, spare3, spare2, spare}
-- ASN1STOP
```

## – *SL-Priority*

The IE *SL-Priority* indicates the one or more priorities of resource pool used for sidelink communication, or of a logical channel group used in case of scheduled sidelink communication resources, see TS 36.321 [6].

### **SL-Priority information element**

```
-- ASN1START
SL-PriorityList-r13 ::= SEQUENCE (SIZE (1..maxSL-Prio-r13)) OF SL-Priority-r13
SL-Priority-r13 ::= INTEGER (1..8)
-- ASN1STOP
```

## – *SL-PSSCH-TxConfigList*

The IE *SL-PSSCH-TxConfigList* indicates PSSCH transmission parameters. When lower layers select parameters from the range indicated in IE *SL-PSSCH-TxConfigList*, the UE considers both configurations in IE *SL-PSSCH-TxConfigList* and the CBR-dependent configurations represented in IE *SL-CBR-PPPP-TxConfigList*. Only one IE *SL-PSSCH-TxConfig* is provided per *typeTxSync*.

### **SL-PSSCH-TxConfigList information element**

```
-- ASN1START
SL-PSSCH-TxConfigList-r14 ::= SEQUENCE (SIZE (1..maxPSSCH-TxConfig-r14)) OF SL-PSSCH-TxConfig-r14
```



```

SL-PSSCH-TxConfig-r14 ::= SEQUENCE {
    typeTxSync-r14          SL-TypeTxSync-r14          OPTIONAL, -- Need OR
    thresUE-Speed-r14      ENUMERATED {kmph60, kmph80, kmph100, kmph120,
    kmph140, kmph160, kmph180, kmph200},
    parametersAboveThres-r14 SL-PSSCH-TxParameters-r14,
    parametersBelowThres-r14 SL-PSSCH-TxParameters-r14,
    ...,
    [[ parametersAboveThres-v1530 SL-PSSCH-TxParameters-v1530 OPTIONAL, -- Need OR
      parametersBelowThres-v1530 SL-PSSCH-TxParameters-v1530 OPTIONAL -- Need OR
    ]]
}

SL-PSSCH-TxParameters-r14 ::= SEQUENCE {
    minMCS-PSSCH-r14      INTEGER (0..31),
    maxMCS-PSSCH-r14      INTEGER (0..31),
    minSubchannel-NumberPSSCH-r14 INTEGER (1..20),
    maxSubchannel-NumberPSSCH-r14 INTEGER (1..20),
    allowedRetxNumberPSSCH-r14 ENUMERATED {n0, n1, both, spare1},
    maxTxPower-r14        SL-TxPower-r14              OPTIONAL -- Cond CBR
}

SL-PSSCH-TxParameters-v1530 ::= SEQUENCE {
    minMCS-PSSCH-r15      INTEGER (0..31),
    maxMCS-PSSCH-r15      INTEGER (0..31)
}

-- ASN1STOP

```

#### ***SL-PSSCH-TxConfigList* field descriptions**

|  |   |
|--|---|
| <b><i>allowedRetxNumberPSSCH</i></b>                               | Indicates the allowed retransmission number for transmissions on PSSCH (see TS 36.213 [23]). The value n0 indicates no retransmission for a transport block allowed; the value n1 indicates that the UE shall perform one retransmission for a transport block; and the value both indicates that the UE may autonomously select no retransmission or one retransmission for a transport block.   |
| <b><i>maxTxPower</i></b>   | Indicates the maximum transmission power for transmission on PSSCH and PSCCH (see TS 36.213 [23]).  |
| <b><i>minMCS-PSSCH, maxMCS-PSSCH</i></b>                           | Indicates the minimum and maximum MCS values used for transmissions on PSSCH (see TS 36.213 [23]). If included, <i>minMCS-PSSCH-r14</i> and <i>maxMCS-PSSCH-r14</i> correspond to the MCS table in Table 8.6.1-1 with 64QAM indices overridden by 16QAM used for transmission on PSSCH. If included, <i>minMCS-PSSCH-r15</i> and <i>maxMCS-PSSCH-r15</i> correspond to both the MCS table in Table 8.6.1-1 and Table 14.1.1-2 in TS 36.213 [23] used for transmission on PSSCH. |
| <b><i>minSubchannel-NumberPSSCH, maxSubchannel-NumberPSSCH</i></b> | Indicates the minimum and maximum number of sub-channels which may be used for transmissions on PSSCH (see TS 36.213 [23]).   |
| <b><i>thresUE-Speed</i></b>  | Indicates a UE speed threshold.   |
| <b><i>typeTxSync</i></b>   | Indicates the synchronization reference type (see TS 36.213 [23]). For configurations by the eNB, only <i>gnss</i> and <i>enb</i> can be configured; and for pre-configuration, only <i>gnss</i> and <i>ue</i> can be configured. If the field is absent, the configuration is applicable for all synchronization reference types.  |
| <b><i>parametersAboveThres</i></b>                                 | Indicates TX parameters for the UE speed above <i>thresUE-Speed</i> .   |
| <b><i>parametersBelowThres</i></b>                                 | Indicates TX parameters for the UE speed below <i>thresUE-Speed</i> .   |

| Conditional presence | Explanation  |
|----------------------|--|
| CBR                  | The field is optionally present, need OR, in IE <i>SL-CBR-CommonTxConfigList-r14</i> , or in IE <i>SL-CBR-PreconfigTxConfigList-r14</i> . Otherwise the field is not present. Need OR. |

### – *SL-Reliability*

The IE *SL-Reliability* indicates one or more reliabilities of a logical channel group used in case of scheduled sidelink communication resources or traffic reliability(ies) associated with the reported traffic pattern for V2X sidelink communication; see TS 36.321 [6].

**SL-Reliability information element**

```

-- ASN1START
SL-ReliabilityList-r15 ::=      SEQUENCE (SIZE (1..maxSL-Reliability-r15)) OF SL-Reliability-r15
SL-Reliability-r15 ::=        INTEGER (1..8)
-- ASN1STOP

```

**SL-RestrictResourceReservationPeriodList**

The IE *SL-RestrictResourceReservationPeriodList* indicates which values are allowed for the signalling of the resource reservation period in PSCCH for V2X sidelink communication, see TS 36.321 [6].

**SL-RestrictResourceReservationPeriodList information element**

```

-- ASN1START
SL-RestrictResourceReservationPeriodList-r14 ::= SEQUENCE (SIZE (1..maxReservationPeriod-r14)) OF
SL-RestrictResourceReservationPeriod-r14
SL-RestrictResourceReservationPeriod-r14 ::=      ENUMERATED {v0dot2, v0dot5, v1, v2, v3, v4, v5,
v6, v7, v8, v9, v10, spare4, spare3, spare2, spare1}
-- ASN1STOP

```

**SL-RestrictResourceReservationPeriodList field descriptions****SL-RestrictResourceReservationPeriod**

Value *v0dot2* means *SL-RestrictResourceReservationPeriod* is set to 0.2, value *v0dot5* means *SL-RestrictResourceReservationPeriod* is set to 0.5, value *v1* means *SL-RestrictResourceReservationPeriod* is set to 1, and so on. Value *v0dot2* and value *v0dot5* are configured in a pool-specific manner only. E-UTRAN should not set value *v0dot2* and *v0dot5* for transmission pool for P2X related V2X sidelink communication.

**SLSSID**

The IE *SLSSID* identifies a cell and is used by the receiving UE to detect asynchronous neighbouring cells, and by transmitting UEs to extend the synchronisation signals beyond the cell's coverage area.

**SLSSID information element**

```

-- ASN1START
SLSSID-r12 ::=      INTEGER (0..167)
-- ASN1STOP

```

**SL-SyncAllowed**

The IE *SL-SyncAllowed* indicates the allowed the synchronization references for a transmission resource pool for V2X sidelink communication.

**SL-SyncAllowed information element**

```

-- ASN1START
SL-SyncAllowed-r14 ::=      SEQUENCE {
  gnss-Sync-r14      ENUMERATED {true}      OPTIONAL,  -- Need OR
  enb-Sync-r14      ENUMERATED {true}      OPTIONAL,  -- Need OR
  ue-Sync-r14       ENUMERATED {true}      OPTIONAL  -- Need OR
}
-- ASN1STOP

```

| <b>SL-SyncAllowed field descriptions</b>  |
|---|
| <p><b>enb-Sync</b><br/>If configured, the (pre-) configured resources can be used if the UE is directly or indirectly synchronized to eNB (i.e., synchronized to a reference UE which is directly synchronized to eNB).</p>   |
| <p><b>gnss-Sync</b><br/>If configured, the (pre-) configured resources can be used if the UE is directly or indirectly synchronized to GNSS (i.e. synchronized to a reference UE which is directly synchronized to GNSS).</p> |
| <p><b>ue-Sync</b><br/>If configured, the (pre-) configured resources can be used if the UE is synchronized to a reference UE which is synchronized to neither GNSS nor eNB directly or indirectly.</p>                        |

## – SL-SyncConfig

The IE *SL-SyncConfig* specifies the configuration information concerning reception of synchronisation signals from neighbouring cells as well as concerning the transmission of synchronisation signals for sidelink communication and sidelink discovery.

### SL-SyncConfig information element

```

-- ASN1START
SL-SyncConfigList-r12 ::= SEQUENCE (SIZE (1..maxSL-SyncConfig-r12)) OF SL-SyncConfig-r12
SL-SyncConfigListV2X-r14 ::= SEQUENCE (SIZE (1..maxSL-V2X-SyncConfig-r14)) OF SL-SyncConfig-r12
SL-SyncConfig-r12 ::= SEQUENCE {
    syncCP-Len-r12 SL-CP-Len-r12,
    syncOffsetIndicator-r12 SL-OffsetIndicatorSync-r12,
    slssid-r12 SLSSID-r12,
    txParameters-r12 SEQUENCE {
        syncTxParameters-r12 SL-TxParameters-r12,
        syncTxThreshIC-r12 RSRP-RangeSL-r12,
        syncInfoReserved-r12 BIT STRING (SIZE (19)) OPTIONAL -- Need OR
    } OPTIONAL, -- Need OR
    rxParamsNCell-r12 SEQUENCE {
        physCellId-r12 PhysCellId,
        discSyncWindow-r12 ENUMERATED {w1, w2} OPTIONAL, -- Need OR
    }
    ...,
    [[ syncTxPeriodic-r13 ENUMERATED {true} OPTIONAL -- Need OR
    ]],
    [[ syncOffsetIndicator-v1430 SL-OffsetIndicatorSync-v1430 OPTIONAL, -- Need OR
    gnss-Sync-r14 ENUMERATED {true} OPTIONAL -- Need OR
    ]],
    [[ syncOffsetIndicator2-r14 SL-OffsetIndicatorSync-r14 OPTIONAL, -- Need OR
    syncOffsetIndicator3-r14 SL-OffsetIndicatorSync-r14 OPTIONAL -- Need OR
    ]],
    [[ slss-TxDisabled-r15 ENUMERATED {true} OPTIONAL -- Need OR
    ]]
}

SL-SyncConfigListNFreq-r13 ::= SEQUENCE (SIZE (1..maxSL-SyncConfig-r12)) OF SL-SyncConfigNFreq-r13
SL-SyncConfigListNFreqV2X-r14 ::= SEQUENCE (SIZE (1..maxSL-V2X-SyncConfig-r14)) OF SL-SyncConfigNFreq-r13
SL-SyncConfigNFreq-r13 ::= SEQUENCE {
    asyncParameters-r13 SEQUENCE {
        syncCP-Len-r13 SL-CP-Len-r12,
        syncOffsetIndicator-r13 SL-OffsetIndicatorSync-r12,
        slssid-r13 SLSSID-r12
    } OPTIONAL, -- Need OR
    txParameters-r13 SEQUENCE {
        syncTxParameters-r13 SL-TxParameters-r12,
        syncTxThreshIC-r13 RSRP-RangeSL-r12,
        syncInfoReserved-r13 BIT STRING (SIZE (19)) OPTIONAL, -- Need OR
        syncTxPeriodic-r13 ENUMERATED {true} OPTIONAL, -- Need OR
    }
    rxParameters-r13 SEQUENCE {
        discSyncWindow-r13 ENUMERATED {w1, w2} OPTIONAL, -- Need OR
    }
    ...,
}

```

```
[[ syncOffsetIndicator-v1430      SL-OffsetIndicatorSync-v1430  OPTIONAL,  -- Need OR
   gnss-Sync-r14                  ENUMERATED {true}            OPTIONAL  -- Need OR
]],
[[ syncOffsetIndicator2-r14      SL-OffsetIndicatorSync-r14  OPTIONAL,  -- Need OR
   syncOffsetIndicator3-r14      SL-OffsetIndicatorSync-r14  OPTIONAL  -- Need OR
]],
[[ slss-TxDisabled-r15           ENUMERATED {true}            OPTIONAL  -- Need OR
]]
}
-- ASN1STOP
```

| <b>SL-SyncConfig field descriptions</b>   |
|---|
| <p><b>discSyncWindow</b><br/>Indicates the synchronization window over which the UE expects that SLSS or discovery resources indicated by the pool configuration (see TS 36.213 [23], clause 14.4). The value <i>w1</i> denotes 5 milliseconds. The value <i>w2</i> denotes the length corresponding to normal cyclic prefix divided by 2.</p>  |
| <p><b>gnss-Sync</b><br/>if configured, the synchronization configuration is used for SLSS transmission/reception when the UE is synchronized to GNSS, by using <i>slssid=0</i> and ignoring <i>slssid-r12</i> configured. If not configured, the synchronization configuration is used for SLSS transmission/reception when the UE is synchronized to eNB, by using the configured <i>slssid-r12</i>.</p>   |
| <p><b>slss-TxDisabled</b><br/>Value TRUE indicates that the carrier, even though equipped with synchronisation resources, cannot be used as a synchronisation carrier frequency to transmit SLSS or PSBCH.<br/>This parameter cannot be included in <i>SystemInformationBlockType21</i> or <i>SystemInformationBlockType26</i>.</p>   |
| <p><b>syncCP-Len</b><br/>In case of V2X sidelink communications this field is always configured to <i>normal</i>.</p>   |
| <p><b>syncInfoReserved</b><br/>Reserved for future use.</p>   |
| <p><b>syncOffsetIndicator</b><br/>E-UTRAN should ensure <i>syncOffsetIndicator</i> is set to the same value as <i>syncOffsetIndicator1</i> or <i>syncOffsetIndicator2</i> in <i>preconfigSync</i> within <i>SL-Preconfiguration</i>, if configured. If <i>syncOffsetIndicator-v1430</i> is configured, the UE shall ignore the field <i>syncOffsetIndicator-r12</i>. E-UTRAN should ensure <i>syncOffsetIndicator</i> is set to the same value as <i>syncOffsetIndicator1</i> in <i>v2x-CommPreconfigSync</i> within <i>SL-V2X-Preconfiguration</i>, if configured. E-UTRAN should ensure <i>syncOffsetIndicator2</i> is set to the same value as <i>syncOffsetIndicator2</i> in <i>v2x-CommPreconfigSync</i> within <i>SL-V2X-Preconfiguration</i>, if configured. E-UTRAN should ensure <i>syncOffsetIndicator3</i> is set to the same value as <i>syncOffsetIndicator3</i> in <i>v2x-CommPreconfigSync</i> within <i>SL-V2X-Preconfiguration</i>, if configured. E-UTRAN should ensure all values in <i>syncOffsetIndicator</i> are same across all carrier frequencies configured for UEs performing V2X sidelink communication on multiple carrier frequencies. For <i>SL-V2X-Preconfiguration</i>, all values in <i>syncOffsetIndicator</i> should be same across all carrier frequencies configured for UEs performing V2X sidelink communication on multiple carrier frequencies.</p> |
| <p><b>syncTxPeriodic</b><br/>Indicates whether in each discovery period in which UE transmits discovery, the UE transmits SLSS once or periodically (i.e. every 40ms). In the latter case (periodic) the UE also transmits the <i>MasterInformationBlock-SL</i> message alongside. E-UTRAN configures this field only for synchronisation configurations applicable for PS discovery.</p>   |
| <p><b>syncTxThreshIC</b><br/>Indicates the threshold used while in coverage. In case the RSRP measurement of the cell chosen for transmission of sidelink communication/ discovery announcements/ V2X sidelink communication, or of the cell used as reference for DL measurements and synchronization, is below the level indicated by this field, the UE may transmit SLSS (i.e. become synchronisation reference) when performing the corresponding sidelink transmission..</p>  |
| <p><b>txParameters</b><br/>Includes parameters relevant only for transmission. E-UTRAN includes the field in one entry per list, as included in <i>commSyncConfig</i> or <i>discSyncConfig</i>.</p>   |

## – SL-TF-ResourceConfig

The IE *SL-TF-ResourceConfig* specifies a set of time/ frequency resources used for sidelink.

### SL-TF-ResourceConfig information element

```

-- ASN1START
SL-TF-ResourceConfig-r12 ::=          SEQUENCE {
    prb-Num-r12                        INTEGER (1..100),
    prb-Start-r12                      INTEGER (0..99),
    prb-End-r12                        INTEGER (0..99),
    offsetIndicator-r12                 SL-OffsetIndicator-r12,
    subframeBitmap-r12                 SubframeBitmapSL-r12
}

SubframeBitmapSL-r12 ::=              CHOICE {
    bs4-r12                            BIT STRING (SIZE (4)),
    bs8-r12                            BIT STRING (SIZE (8)),
    bs12-r12                           BIT STRING (SIZE (12)),
    bs16-r12                           BIT STRING (SIZE (16)),
    bs30-r12                           BIT STRING (SIZE (30)),
    bs40-r12                           BIT STRING (SIZE (40)),
    bs42-r12                           BIT STRING (SIZE (42))
}

```

```

SubframeBitmapSL-r14 ::= CHOICE {
    bs10-r14          BIT STRING (SIZE (10)),
    bs16-r14          BIT STRING (SIZE (16)),
    bs20-r14          BIT STRING (SIZE (20)),
    bs30-r14          BIT STRING (SIZE (30)),
    bs40-r14          BIT STRING (SIZE (40)),
    bs50-r14          BIT STRING (SIZE (50)),
    bs60-r14          BIT STRING (SIZE (60)),
    bs100-r14         BIT STRING (SIZE (100))
}
-- ASN1STOP

```

#### SL-TF-ResourceConfig field descriptions

##### **prb-Start, prb-End, prb-Num**

Sidelink transmissions on a sub-frame can occur on PRB with index greater than or equal to *prb-Start* and less than *prb-Start + prb-Num*, and on PRB with index greater than *prb-End - prb-Num* and less than or equal to *prb-End*. Even for neighbouring cells, *prb-Start* and *prb-End* are relative to PRB #0 of the cell from which it was obtained. See TS 36.213 [23], clauses 14.1.3, 14.2.3 and 14.3.3.

##### **subframeBitmap**

Indicates the subframe bitmap indicating resources used for sidelink. For sidelink communication, E-UTRAN configures value *bs40* for FDD and the following values for TDD: value *bs42* for configuration0, value *bs16* for configuration1, value *bs8* for configuration2, value *bs12* for configuration3, value *bs8* for configuration4, value *bs4* for configuration5 and value *bs30* for configuration6. For V2X sidelink communication, E-UTRAN configures value *bs16*, *bs20* or *bs100* for FDD or Frame Structure Type 1 as defined in TS 36.211 [21], and the following values for TDD or Frame Structure Type 2 as defined in TS 36.211 [21]: value *bs60* for configuration0, value *bs40* for configuration1, value *bs20* for configuration2, value *bs30* for configuration3, value *bs20* for configuration4, value *bs10* for configuration5 and value *bs50* for configuration6.

#### – SL-TxPower

The IE *SL-TxPower* is used to limit the UE's sidelink transmission power on a carrier frequency. The unit is dBm. Value minusinfinity corresponds to  $-\infty$ .

#### SL-TxPower information element

```

-- ASN1START
SL-TxPower-r14 ::= CHOICE {
    minusinfinity-r14  NULL,
    txPower-r14        INTEGER (-41..31)
}
-- ASN1STOP

```

#### – SL-TypeTxSync

The IE *SL-TypeTxSync* indicates the synchronization reference type.

#### SL-TypeTxSync information element

```

-- ASN1START
SL-TypeTxSync-r14 ::= ENUMERATED {gnss, enb, ue}
-- ASN1STOP

```

#### – SL-ThresPSSCH-RSRP-List

IE *SL-ThresPSSCH-RSRP-List* indicates a threshold used for sensing based UE autonomous resource selection (see TS 36.213 [23]). A resource is excluded if it is indicated or reserved by a decoded SCI and PSSCH RSRP in the associated data resource is above the threshold defined by IE *SL-ThresPSSCH-RSRP-List*.

**SL-ThresPSSCH-RSRP-List information element**

```
-- ASN1START
SL-ThresPSSCH-RSRP-List-r14 ::= SEQUENCE (SIZE (64)) OF SL-ThresPSSCH-RSRP-r14
SL-ThresPSSCH-RSRP-r14 ::= INTEGER (0..66)
-- ASN1STOP
```

**SL-ThresPSSCH-RSRP-List field descriptions****SL-ThresPSSCH-RSRP**

Value 0 corresponds to minus infinity dBm, value 1 corresponds to -128dBm, value 2 corresponds to -126dBm, value n corresponds to  $(-128 + (n-1)*2)$  dBm and so on, value 66 corresponds to infinity dBm.

**SL-TxParameters**

The IE *SL-TxParameters* identifies a set of parameters configured for sidelink transmission, used for communication, discovery and synchronisation.

**SL-TxParameters information element**

```
-- ASN1START
SL-TxParameters-r12 ::= SEQUENCE {
    alpha-r12 Alpha-r12,
    p0-r12 P0-SL-r12
}
P0-SL-r12 ::= INTEGER (-126..31)
-- ASN1STOP
```

**SL-TxParameters field descriptions****alpha**

Parameter(s):  $\alpha_{PSSCH,1}$ ,  $\alpha_{PSSCH,2}$ ,  $\alpha_{PSSCH,3}$ ,  $\alpha_{PSSCH,4}$ ,  $\alpha_{PSCCH,1}$ ,  $\alpha_{PSCCH,2}$ ,  $\alpha_{PSDCH,1}$ ,  $\alpha_{PSSS}$  See TS 36.213 [23], clauses 14.1.1.5, 14.2.1.3, 14.3.1 and 14.4, where al0 corresponds to 0, al04 corresponds to value 0.4, al05 to 0.5, al06 to 0.6, al07 to 0.7, al08 to 0.8, al09 to 0.9 and al1 corresponds to 1. This field applies for sidelink power control.

**p0**

Parameter:  $P_{O\_PSSCH,1}$ ,  $P_{O\_PSSCH,2}$ ,  $P_{O\_PSSCH,3}$ ,  $P_{O\_PSSCH,4}$ ,  $P_{O\_PSCCH,1}$ ,  $P_{O\_PSCCH,2}$ ,  $P_{O\_PSDCH,1}$ ,  $P_{O\_PSSS}$  see TS 36.213 [23], clauses 14.1.1.5, 14.2.1.3, 14.3.1 and 14.4, unit dBm.

**SL-TxPoolIdentity**

The IE *SL-TxPoolIdentity* identifies an individual pool entry configured for sidelink transmission, used for communication and discovery.

**SL-TxPoolIdentity information element**

```
-- ASN1START
SL-TxPoolIdentity-r12 ::= INTEGER (1..maxSL-TxPool-r12)
SL-TxPoolIdentity-v1310 ::= INTEGER (maxSL-TxPool-r12Plus1-r13..maxSL-TxPool-r13)
SL-V2X-TxPoolIdentity-r14 ::= INTEGER (1..maxSL-V2X-TxPool-r14)
-- ASN1STOP
```

– *SL-TxPoolToReleaseList*

The IE *SL-TxPoolToReleaseList* is used to release one or more individual pool entries used for sidelink transmission, for communication and discovery.

***SL-TxPoolToReleaseList* information element**

```
-- ASN1START
SL-TxPoolToReleaseList-r12 ::= SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-TxPoolIdentity-r12
SL-TxPoolToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxSL-TxPool-v1310)) OF SL-TxPoolIdentity-v1310
-- ASN1STOP
```

– *SL-V2X-ConfigDedicated*

The IE *SL-V2X-ConfigDedicated* specifies the dedicated configuration information for V2X sidelink communication.

***SL-V2X-ConfigDedicated* information element**

```
-- ASN1START
SL-V2X-ConfigDedicated-r14 ::= SEQUENCE {
  commTxResources-r14 CHOICE {
    release NULL,
    setup CHOICE {
      scheduled-r14 SEQUENCE {
        sl-V-RNTI-r14 C-RNTI,
        mac-MainConfig-r14 MAC-MainConfigSL-r12,
        v2x-SchedulingPool-r14 SL-CommResourcePoolV2X-r14 OPTIONAL, -- Need ON
        mcs-r14 INTEGER (0..31) OPTIONAL, -- Need OR
        logicalChGroupInfoList-r14 LogicalChGroupInfoList-r13
      },
      ue-Selected-r14 SEQUENCE {
        -- Pool for normal usage
        v2x-CommTxPoolNormalDedicated-r14 SEQUENCE {
          poolToReleaseList-r14 SL-TxPoolToReleaseListV2X-r14 OPTIONAL, -- Need ON
          poolToAddModList-r14 SL-TxPoolToAddModListV2X-r14 OPTIONAL, --
Need ON
          v2x-CommTxPoolSensingConfig-r14 SL-CommTxPoolSensingConfig-r14
OPTIONAL -- Need ON
        }
      }
    }
  },
  v2x-InterFreqInfoList-r14 SL-InterFreqInfoListV2X-r14 OPTIONAL, -- Need ON
  thresSL-TxPrioritization-r14 SL-Priority-r13 OPTIONAL, -- Need
OR
  typeTxSync-r14 SL-TypeTxSync-r14 OPTIONAL, -- Need OR
  cbr-DedicatedTxConfigList-r14 SL-CBR-CommonTxConfigList-r14 OPTIONAL, -- Need OR
  ...,
  [[ commTxResources-v1530 CHOICE {
    release NULL,
    setup CHOICE {
      scheduled-v1530 SEQUENCE {
        logicalChGroupInfoList-v1530 LogicalChGroupInfoList-v1530 OPTIONAL, --
Need OR
        mcs-r15 INTEGER (0..31) OPTIONAL -- Need OR
      },
      ue-Selected-v1530 SEQUENCE {
        v2x-FreqSelectionConfigList-r15 SL-V2X-FreqSelectionConfigList-r15 OPTIONAL --
Need OR
      }
    }
  }
  ],
  v2x-PacketDuplicationConfig-r15 SL-V2X-PacketDuplicationConfig-r15 OPTIONAL, -- Need ON
  syncFreqList-r15 SL-V2X-SyncFreqList-r15 OPTIONAL, -- Need OR
  slss-TxMultiFreq-r15 ENUMERATED {true} OPTIONAL -- Need OR
  ],
  [[
    slss-TxDisabled-r15 ENUMERATED {true} OPTIONAL -- Need OR
```



```

    ]]
  }
LogicalChGroupInfoList-v1530 ::= SEQUENCE (SIZE (1..maxLCG-r13)) OF SL-ReliabilityList-r15
SL-TxPoolToAddModListV2X-r14 ::= SEQUENCE (SIZE (1..maxSL-V2X-TxPool-r14)) OF SL-
TxPoolToAddMod-r14
SL-TxPoolToAddMod-r14 ::= SEQUENCE {
  poolIdentity-r14 SL-V2X-TxPoolIdentity-r14,
  pool-r14 SL-CommResourcePoolV2X-r14
}
SL-TxPoolToReleaseListV2X-r14 ::= SEQUENCE (SIZE (1..maxSL-V2X-TxPool-r14)) OF SL-V2X-
TxPoolIdentity-r14
-- ASN1STOP

```

### SL-V2X-ConfigDedicated field descriptions

#### **cbr-DedicatedTxConfigList**

Indicates the dedicated list of CBR range division and the list of PSSCH TX configurations available to configure congestion control to the UE for V2X sidelink communication.

#### **logicalChGroupInfoList**

Indicates for each logical channel group the list of associated priorities and reliabilities, used as specified in TS 36.321 [6], in order of increasing logical channel group identity. If E-UTRAN includes *logicalChGroupInfoList-v1530*, it includes the same number of entries, and listed in the same order, as in *logicalChGroupInfoList-r14*, and a logical channel group identity of the same entry in *logicalChGroupInfoList-r14* and in *logicalChGroupInfo-v1530* is associated with both the priorities (as in *logicalChGroupInfoList-r14*) and reliabilities (as in *logicalChGroupInfoList-v1530*) of that entry. If *logicalChGroupInfoList-v1530* is not included, this field indicates for each logical channel group the list of associated priorities.

#### **mcs**

Indicates the MCS as defined in TS 36.213 [23], clause 14.2.1. If not configured, the selection of MCS is up to UE implementation. If included, *mcs-r14* corresponds to the MCS table in Table 8.6.1-1 with 64QAM indices overridden by 16QAM used for transmission on PSSCH. If included, *mcs-r15* corresponds to both the MCS table in Table 8.6.1-1 in TS 36.213 [23] and the MCS table supporting 64QAM in Table 14.1.1-2 in TS 36.213 [23] used for transmission on PSSCH. If this field is present, E-UTRAN shall configure both *mcs-r14* and *mcs-r15*.

#### **scheduled**

Indicates the configuration for the case E-UTRAN schedules the transmission resources based on sidelink specific BSR from the UE.

#### **sl-V-RNTI**

Indicates the RNTI used for DCI dynamically scheduling sidelink resources for V2X sidelink communication.

#### **slss-TxDisabled**

Value TRUE indicates that the primary carrier, even though equipped with synchronisation resources, cannot be used as a synchronisation carrier frequency to transmit SLSS or PSBCH.

#### **thresSL-TxPrioritization**

Indicates the threshold used to determine whether SL V2X transmission is prioritized over uplink transmission if they overlap in time (see TS 36.321 [6]). This value shall overwrite *thresSL-TxPrioritization* configured in *SIB21* or *SL-V2X-Preconfiguration* if any.

#### **typeTxSync**

Indicates the prioritized synchronization type (i.e. eNB or GNSS) for performing V2X sidelink communication on PCell.

#### **ue-Selected**

Indicates the configuration for the case the UE selects the transmission resources from a pool of resources configured by E-UTRAN.

#### **v2x-InterFreqInfoList**

Indicates synchronization and resource allocation configurations of other carrier frequencies than the serving carrier frequency for V2X sidelink communication. For inter-carrier scheduled resource allocation, CIF=1 in DCI-5A corresponds to the first entry in this frequency list, CIF=2 corresponds to the second entry, and so on (see TS 36.213 [23]). CIF=0 in DCI-5A corresponds to the frequency where the DCI is received.

#### **v2x-SchedulingPool**

Indicates a pool of resources when E-UTRAN schedules Tx resources for V2X sidelink communications.

## – *SL-V2X-FreqSelectionConfigList*

The IE *SL-V2X-FreqSelectionConfigList* specifies the configuration information for carrier selection for V2X sidelink communication transmission using UE autonomous resource selection.

### ***SL-V2X-FreqSelectionConfigList* information element**

```
-- ASN1START
SL-V2X-FreqSelectionConfigList-r15 ::= SEQUENCE (SIZE (1..8)) OF SL-V2X-FreqSelectionConfig-r15
SL-V2X-FreqSelectionConfig-r15 ::=
    SEQUENCE {
        priorityList-r15           SL-PriorityList-r13,
        threshCBR-FreqReselection-r15  SL-CBR-r14           OPTIONAL,  -- Need OR
        threshCBR-FreqKeeping-r15     SL-CBR-r14           OPTIONAL  -- Need OR
    }
-- ASN1STOP
```

### **SL-V2X-FreqSelectionConfig field descriptions**

#### ***priorityList***

Indicates the list of PPPP(s) which is associated with the configurations in *threshCBR-FreqReselection* and in *threshCBR-FreqKeeping*.

#### ***threshCBR-FreqReselection***

Indicates the CBR threshold to determine whether the carrier frequency can be (re)selected for the transmission of V2X sidelink communication. See TS 36.321 [6].

#### ***threshCBR-FreqKeeping***

Indicates the CBR threshold to determine whether the UE can keep using the carrier which was selected for the transmission of V2X sidelink communication. See TS 36.321 [6].

## – *SL-V2X-PacketDuplicationConfig*

The IE *SL-V2X-PacketDuplicationConfig* specifies the configuration information for sidelink packet duplication for V2X sidelink communication transmission.

### ***SL-V2X-PacketDuplicationConfig* information element**

```
-- ASN1START
SL-V2X-PacketDuplicationConfig-r15 ::= SEQUENCE {
    threshSL-Reliability-r15      SL-Reliability-r15,
    allowedCarrierFreqConfig-r15  SL-PPPR-Dest-CarrierFreqList-r15           OPTIONAL,  -- Need OR
    ...
}
SL-PPPR-Dest-CarrierFreqList-r15 ::= SEQUENCE (SIZE (1..maxSL-Dest-r12)) OF SL-PPPR-Dest-CarrierFreq
SL-PPPR-Dest-CarrierFreq ::= SEQUENCE {
    destinationInfoList-r15      SL-DestinationInfoList-r12           OPTIONAL,  -- Need OR
    allowedCarrierFreqList-r15    SL-AllowedCarrierFreqList-r15           OPTIONAL  -- Need OR
}
SL-AllowedCarrierFreqList-r15 ::= SEQUENCE {
    allowedCarrierFreqSet1        SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF ARFCN-ValueEUTRA-r9,
    allowedCarrierFreqSet2        SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF ARFCN-ValueEUTRA-r9
}
-- ASN1STOP
```

| <b>SL-V2X-PacketDuplicationConfig field descriptions</b>                             |   |
|--|---|
| <b><i>allowedCarrierFreqList, allowedCarrierFreqSet1, allowedCarrierFreqSet2</i></b> | Indicates, for V2X sidelink communication, the set of carrier frequencies applicable for the transmission of the MAC SDUs from the sidelink logical channels whose associated destination are included in <i>destinationInfoList</i> (see TS 36.321 [6]). If present, E-UTRAN shall ensure <i>allowedCarrierFreqSet1</i> and <i>allowedCarrierFreqSet2</i> do not include the same carrier frequency. |
| <b><i>threshSL-Reliability</i></b>   | Indicates the reliability threshold used to determine whether sidelink packet duplication is configured and activated for V2X sidelink communication transmission. See TS 36.323 [8] and TS 36.321 [6].   |

## – **SL-V2X-SyncFreqList**

The IE *SL-V2X-SyncFreqList* specifies the list of candidate synchronisation carrier frequencies used for V2X sidelink communication.

### **SL-V2X-SyncFreqList information element**

```
-- ASN1START
SL-V2X-SyncFreqList-r15 ::= SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF ARFCN-ValueEUTRA-r9
-- ASN1STOP
```

## – **SL-ZoneConfig**

The IE *SL-ZoneConfig* indicates zone configurations used for V2X sidelink communication.

### **SL-ZoneConfig information element**

```
-- ASN1START
SL-ZoneConfig-r14 ::= SEQUENCE {
    zoneLength-r14    ENUMERATED { m5, m10, m20, m50, m100, m200, m500, spare1 },
    zoneWidth-r14     ENUMERATED { m5, m10, m20, m50, m100, m200, m500, spare1 },
    zoneIdLongiMod-r14 INTEGER (1..4),
    zoneIdLatiMod-r14 INTEGER (1..4)
}
-- ASN1STOP
```

| <b>SL-ZoneConfig field descriptions</b> |   |
|---|---|
| <b><i>zoneLength</i></b>                | Indicates the length of each geographic zone. Value m5 corresponds to 5 meters, m10 corresponds to 10 meters and so on. |
| <b><i>zoneWidth</i></b>                 | Indicates the width of each geographic zone. Value m5 corresponds to 5 meters, m10 corresponds to 10 meters and so on.  |
| <b><i>zoneIdLongiMod</i></b>            | Indicates the total number of zones that is configured with respect to longitude.                                       |
| <b><i>zoneIdLatiMod</i></b>             | Indicates the total number of zones that is configured with respect to latitude.  |

## 6.4 RRC multiplicity and type constraint values

### – Multiplicity and type constraint definitions

```
-- ASN1START
maxAccessCat-1-r15    INTEGER ::= 63 -- Maximum number of Access Categories - 1
maxACDC-Cat-r13      INTEGER ::= 16 -- Maximum number of ACDC categories (per PLMN)
maxAvailNarrowBands-r13 INTEGER ::= 16 -- Maximum number of narrowbands
maxBandComb-r10      INTEGER ::= 128 -- Maximum number of band combinations.
```

|                         |                  |  |
|-------------------------|------------------|--|
| maxBandComb-r11         | INTEGER ::= 256  | -- Maximum number of additional band combinations.   |
| maxBandComb-r13         | INTEGER ::= 384  | -- Maximum number of band combinations in Rel-13   |
| maxBands                | INTEGER ::= 64   | -- Maximum number of bands listed in EUTRA UE caps   |
| maxBandsNR-r15          | INTEGER ::= 1024 | -- Maximum number of NR bands listed in EUTRA UE caps  |
| maxBandwidthClass-r10   | INTEGER ::= 16   | -- Maximum number of supported CA BW classes per band  |
| maxBandwidthCombSet-r10 | INTEGER ::= 32   | -- Maximum number of bandwidth combination sets per supported band combination   |
| maxBarringInfoSet-r15   | INTEGER ::= 8    | -- Maximum number of UAC barring information sets  |
| maxBT-IdReport-r15      | INTEGER ::= 32   | -- Maximum number of Bluetooth IDs to report   |
| maxBT-Name-r15          | INTEGER ::= 4    | -- Maximum number of Bluetooth name  |
| maxCBR-Level-r14        | INTEGER ::= 16   | -- Maximum number of CBR levels  |
| maxCBR-Level-l-r14      | INTEGER ::= 15   |  |
| maxCBR-Report-r14       | INTEGER ::= 72   | -- Maximum number of CBR results in a report   |
| maxCDMA-BandClass       | INTEGER ::= 32   | -- Maximum value of the CDMA band classes  |
| maxCE-Level-r13         | INTEGER ::= 4    | -- Maximum number of CE levels   |
| maxCellBlack            | INTEGER ::= 16   | -- Maximum number of blacklisted physical cell identity ranges listed in SIB type 4 and 5                                |
| maxCellHistory-r12      | INTEGER ::= 16   | -- Maximum number of visited EUTRA cells reported  |
| maxCellInfoGERAN-r9     | INTEGER ::= 32   | -- Maximum number of GERAN cells for which system information can be provided as redirection assistance                  |
| maxCellInfoUTRA-r9      | INTEGER ::= 16   | -- Maximum number of UTRA cells for which system information can be provided as redirection assistance                   |
| maxCellMeasIdle-r15     | INTEGER ::= 8    | -- Maximum number of neighbouring inter-frequency cells per carrier measured in IDLE mode                                |
| maxCombIDC-r11          | INTEGER ::= 128  | -- Maximum number of reported UL CA or MR-DC combinations  |
| maxCSI-IM-r11           | INTEGER ::= 3    | -- Maximum number of CSI-IM configurations (per carrier frequency)   |
| maxCSI-IM-r12           | INTEGER ::= 4    | -- Maximum number of CSI-IM configurations (per carrier frequency)   |
| minCSI-IM-r13           | INTEGER ::= 5    | -- Minimum number of CSI IM configurations from which REL-13 extension is used   |
| maxCSI-IM-r13           | INTEGER ::= 24   | -- Maximum number of CSI-IM configurations (per carrier frequency)   |
| maxCSI-IM-v1310         | INTEGER ::= 20   | -- Maximum number of additional CSI-IM configurations (per carrier frequency)  |
| maxCSI-Proc-r11         | INTEGER ::= 4    | -- Maximum number of CSI processes (per carrier frequency)   |
| maxCSI-RS-NZP-r11       | INTEGER ::= 3    | -- Maximum number of CSI RS resource configurations using non-zero Tx power (per carrier frequency)                      |
| minCSI-RS-NZP-r13       | INTEGER ::= 4    | -- Minimum number of CSI RS resource from which REL-13 extension is used   |
| maxCSI-RS-NZP-r13       | INTEGER ::= 24   | -- Maximum number of CSI RS resource configurations using non-zero Tx power (per carrier frequency)                      |
| maxCSI-RS-NZP-v1310     | INTEGER ::= 21   | -- Maximum number of additional CSI RS resource configurations using non-zero Tx power (per carrier frequency)           |
| maxCSI-RS-ZP-r11        | INTEGER ::= 4    | -- Maximum number of CSI RS resource configurations using zero Tx power(per carrier frequency)                           |
| maxCQI-ProcExt-r11      | INTEGER ::= 3    | -- Maximum number of additional periodic CQI configurations (per carrier frequency)                                      |
| maxFreqUTRA-TDD-r10     | INTEGER ::= 6    | -- Maximum number of UTRA TDD carrier frequencies for which system information can be provided as redirection assistance |
| maxCellInter            | INTEGER ::= 16   | -- Maximum number of neighbouring inter-frequency cells listed in SIB type 5   |
| maxCellIntra            | INTEGER ::= 16   | -- Maximum number of neighbouring intra-frequency cells listed in SIB type 4   |
| maxCellListGERAN        | INTEGER ::= 3    | -- Maximum number of lists of GERAN cells  |
| maxCellMeas             | INTEGER ::= 32   | -- Maximum number of entries in each of the cell lists in a measurement object   |
| maxCellReport           | INTEGER ::= 8    | -- Maximum number of reported cells/CSI-RS resources   |
| maxCellsFTD             | INTEGER ::= 3    | -- Maximum number of cells for SFTD reporting  |
| maxConfigSPS-r14        | INTEGER ::= 8    | -- Maximum number of simultaneous SPS configurations   |
| maxConfigSPS-r15        | INTEGER ::= 6    | -- Maximum number of simultaneous SPS configurations configured with SPS C-RNTI  |
| maxCSI-RS-Meas-r12      | INTEGER ::= 96   | -- Maximum number of entries in the CSI-RS list in a measurement object  |
| maxDRB                  | INTEGER ::= 11   | -- Maximum number of Data Radio Bearers  |
| maxDRBExt-r15           | INTEGER ::= 4    | -- Maximum number of additional DRBs   |
| maxDRB-r15              | INTEGER ::= 15   | -- Highest value of extended maximum number of DRBs  |
| maxDS-Duration-r12      | INTEGER ::= 5    | -- Maximum number of subframes in a discovery signals occasion   |

|                              |                    |  |
|------------------------------|--------------------|--|
| maxDS-ZTP-CSI-RS-r12         | INTEGER ::= 5      | -- Maximum number of zero transmission power CSI-RS for<br>-- a serving cell concerning discovery signals                  |
| maxEARFCN                    | INTEGER ::= 65535  | -- Maximum value of EUTRA carrier frequency  |
| maxEARFCN-Plus1              | INTEGER ::= 65536  | -- Lowest value extended EARFCN range  |
| maxEARFCN2                   | INTEGER ::= 262143 | -- Highest value extended EARFCN range   |
| maxEPDCCH-Set-r11            | INTEGER ::= 2      | -- Maximum number of EPDCCH sets   |
| maxFBI                       | INTEGER ::= 64     | -- Maximum value of fequency band indicator  |
| maxFBI-NR-r15                | INTEGER ::= 1024   | -- Highest value FBI range for NR.   |
| maxFBI-Plus1                 | INTEGER ::= 65     | -- Lowest value extended FBI range   |
| maxFBI2                      | INTEGER ::= 256    | -- Highest value extended FBI range  |
| maxFeatureSets-r15           | INTEGER ::= 256    | -- Total number of feature sets (size of pool)   |
| maxPerCC-FeatureSets-r15     | INTEGER ::= 32     | -- Total number of CC-specific feature sets<br>-- (size of the pool)   |
| maxFreq                      | INTEGER ::= 8      | -- Maximum number of carrier frequencies   |
| maxFreqIDC-r11               | INTEGER ::= 32     | -- Maximum number of carrier frequencies that are<br>-- affected by the IDC problems                                       |
| maxFreqIdle-r15              | INTEGER ::= 8      | -- Maximum number of carrier frequencies for<br>-- IDLE mode measurements configured by eNB                                |
| maxFreqMBMS-r11              | INTEGER ::= 5      | -- Maximum number of carrier frequencies for which an<br>-- MBMS capable UE may indicate an interest                       |
| maxFreqNR-r15                | INTEGER ::= 5      | -- Maximum number of NR carrier frequencies for<br>-- which a UE may provide measurement results upon<br>-- NR SCG failure |
| maxFreqV2X-r14               | INTEGER ::= 8      | -- Maximum number of carrier frequencies for which V2X<br>-- sidelink communication can be configured                      |
| maxFreqV2X-1-r14             | INTEGER ::= 7      | -- Highest index of frequencies  |
| maxGERAN-SI                  | INTEGER ::= 10     | -- Maximum number of GERAN SI blocks that can be<br>-- provided as part of NACC information                                |
| maxGNFG                      | INTEGER ::= 16     | -- Maximum number of GERAN neighbour freq groups   |
| maxIdleMeasCarriers-r15      | INTEGER ::= 3      | -- Maximum number of neighbouring inter-<br>-- frequency carriers measured in IDLE mode                                    |
| maxLCG-r13                   | INTEGER ::= 4      | -- Maximum number of logical channel groups  |
| maxLogMeasReport-r10         | INTEGER ::= 520    | -- Maximum number of logged measurement entries<br>-- that can be reported by the UE in one message                        |
| maxMBSFN-Allocations         | INTEGER ::= 8      | -- Maximum number of MBSFN frame allocations with<br>-- different offset   |
| maxMBSFN-Area                | INTEGER ::= 8      |  |
| maxMBSFN-Area-1              | INTEGER ::= 7      |  |
| maxMBMS-ServiceListPerUE-r13 | INTEGER ::= 15     | -- Maximum number of services which the UE can<br>-- include in the MBMS interest indication                               |
| maxMeasId                    | INTEGER ::= 32     |  |
| maxMeasId-Plus1              | INTEGER ::= 33     |  |
| maxMeasId-r12                | INTEGER ::= 64     |  |
| maxMultiBands                | INTEGER ::= 8      | -- Maximum number of additional frequency bands<br>-- that a cell belongs to   |
| maxMultiBandsNR-r15          | INTEGER ::= 32     | -- Maximum number of additional NR frequency bands<br>-- that a cell belongs to  |
| maxMultiBandsNR-1-r15        | INTEGER ::= 31     |  |
| maxNS-Pmax-r10               | INTEGER ::= 8      | -- Maximum number of NS and P-Max values per band  |
| maxNAICS-Entries-r12         | INTEGER ::= 8      | -- Maximum number of supported NAICS combination(s)  |
| maxNeighCell-r12             | INTEGER ::= 8      | -- Maximum number of neighbouring cells in NAICS<br>-- configuration (per carrier frequency)                               |
| maxNeighCell-SCPTM-r13       | INTEGER ::= 8      | -- Maximum number of SCPTM neighbour cells   |
| maxNrofS-NSSAI-r15           | INTEGER ::= 8      | -- Maximum number of S-NSSAI   |
| maxObjectId                  | INTEGER ::= 32     |  |
| maxObjectId-Plus1-r13        | INTEGER ::= 33     |  |
| maxObjectId-r13              | INTEGER ::= 64     |  |
| maxP-a-PerNeighCell-r12      | INTEGER ::= 3      | -- Maximum number of power offsets for a neighbour cell<br>-- in NAICS configuration                                       |
| maxPageRec                   | INTEGER ::= 16     | --   |
| maxPhysCellIdRange-r9        | INTEGER ::= 4      | -- Maximum number of physical cell identity ranges   |
| maxPLMN-r11                  | INTEGER ::= 6      | -- Maximum number of PLMNs   |
| maxPLMN-1-r14                | INTEGER ::= 5      | -- Maximum number of PLMNs minus one   |
| maxPLMN-r15                  | INTEGER ::= 8      | -- Maximum number of PLMNs for RNA configuration   |
| maxPLMN-NR-r15               | INTEGER ::= 12     | -- Maximum number of NR PLMNs  |
| maxPNOffset                  | INTEGER ::= 511    | -- Maximum number of CDMA2000 PNOFFSETS  |
| maxPMCH-PerMBSFN             | INTEGER ::= 15     |  |
| maxPSSCH-TxConfig-r14        | INTEGER ::= 16     | -- Maximum number of PSSCH TX configurations   |
| maxQuantSetsNR-r15           | INTEGER ::= 2      | -- Maximum number of NR quantity configuration sets  |
| maxQCI-r13                   | INTEGER ::= 6      | -- Maximum number of QCIs  |
| maxRAT-Capabilities          | INTEGER ::= 8      | -- Maximum number of interworking RATs (incl EUTRA)  |
| maxRE-MapQCL-r11             | INTEGER ::= 4      | -- Maximum number of PDSCH RE Mapping configurations<br>-- (per carrier frequency)   |
| maxReportConfigId            | INTEGER ::= 32     |  |
| maxReservationPeriod-r14     | INTEGER ::= 16     | -- Maximum number of resource reservation periodicities<br>-- for sidelink V2X communication                               |
| maxRS-Index-r15              | INTEGER ::= 64     | -- Maximum number of RS indices  |
| maxRS-Index-1-r15            | INTEGER ::= 63     | -- Highest value of RS index as used to identify   |

|                                 |                  |   |
|---------------------------------|------------------|---|
| maxRS-IndexCellQual-r15         | INTEGER ::= 16   | -- RS index in RRM reports.<br>-- Maximum number of RS indices averaged to derive cell quality for RRM.                 |
| maxRS-IndexReport-r15           | INTEGER ::= 32   | -- Maximum number of RS indices for RRM.  |
| maxRSTD-Freq-r10                | INTEGER ::= 3    | -- Maximum number of frequency layers for RSTD measurement  |
| maxSAI-MBMS-r11                 | INTEGER ::= 64   | -- Maximum number of MBMS service area identities broadcast per carrier frequency                                       |
| maxSCell-r10                    | INTEGER ::= 4    | -- Maximum number of SCells   |
| maxSCell-r13                    | INTEGER ::= 31   | -- Highest value of extended number range of SCells   |
| maxSCellGroups-r15              | INTEGER ::= 4    | -- Maximum number of SCell common parameter groups  |
| maxSC-MTCH-r13                  | INTEGER ::= 1023 | -- Maximum number of SC-MTCHs in one cell   |
| maxSC-MTCH-BR-r14               | INTEGER ::= 128  | -- Maximum number of SC-MTCHs in one cell for feMTC   |
| maxSL-CommRxPoolNFreq-r13       | INTEGER ::= 32   | -- Maximum number of individual sidelink communication Rx resource pools on neighbouring freq                           |
| maxSL-CommRxPoolPreconf-v1310   | INTEGER ::= 12   | -- Maximum number of additional preconfigured sidelink communication Rx resource pool entries                           |
| maxSL-TxPool-r12Plus1-r13       | INTEGER ::= 5    | -- First additional individual sidelink Tx resource pool  |
| maxSL-TxPool-v1310              | INTEGER ::= 4    | -- Maximum number of additional sidelink Tx resource pool entries   |
| maxSL-TxPool-r13                | INTEGER ::= 8    | -- Maximum number of individual sidelink Tx resource pools  |
| maxSL-CommTxPoolPreconf-v1310   | INTEGER ::= 7    | -- Maximum number of additional preconfigured sidelink Tx resource pool entries   |
| maxSL-Dest-r12                  | INTEGER ::= 16   | -- Maximum number of sidelink destinations  |
| maxSL-DiscCells-r13             | INTEGER ::= 16   | -- Maximum number of cells with similar sidelink configurations   |
| maxSL-DiscPowerClass-r12        | INTEGER ::= 3    | -- Maximum number of sidelink power classes   |
| maxSL-DiscRxPoolPreconf-r13     | INTEGER ::= 16   | -- Maximum number of preconfigured sidelink discovery Rx resource pool entries  |
| maxSL-DiscSysInfoReportFreq-r13 | INTEGER ::= 8    | -- Maximum number of frequencies to include in a SidelinkUEInformation for SI reporting                                 |
| maxSL-DiscTxPoolPreconf-r13     | INTEGER ::= 4    | -- Maximum number of preconfigured sidelink discovery Tx resource pool entries  |
| maxSL-GP-r13                    | INTEGER ::= 8    | -- Maximum number of gap patterns that can be requested for a frequency or assigned                                     |
| maxSL-PoolToMeasure-r14         | INTEGER ::= 72   | -- Maximum number of TX resource pools for CBR measurement and report   |
| maxSL-Prio-r13                  | INTEGER ::= 8    | -- Maximum number of entries in sidelink priority list  |
| maxSL-RxPool-r12                | INTEGER ::= 16   | -- Maximum number of individual sidelink Rx resource pools  |
| maxSL-Reliability-r15           | INTEGER ::= 8    | -- Maximum number of entries in sidelink reliability list   |
| maxSL-SyncConfig-r12            | INTEGER ::= 16   | -- Maximum number of sidelink Sync configurations   |
| maxSL-TF-IndexPair-r12          | INTEGER ::= 64   | -- Maximum number of sidelink Time Freq resource index pairs  |
| maxSL-TxPool-r12                | INTEGER ::= 4    | -- Maximum number of individual sidelink Tx resource pools  |
| maxSL-V2X-RxPool-r14            | INTEGER ::= 16   | -- Maximum number of RX resource pools for V2X sidelink communication   |
| maxSL-V2X-RxPoolPreconf-r14     | INTEGER ::= 16   | -- Maximum number of RX resource pools for V2X sidelink communication   |
| maxSL-V2X-TxPool-r14            | INTEGER ::= 8    | -- Maximum number of TX resource pools for V2X sidelink communication   |
| maxSL-V2X-TxPoolPreconf-r14     | INTEGER ::= 8    | -- Maximum number of TX resource pools for V2X sidelink communication   |
| maxSL-V2X-SyncConfig-r14        | INTEGER ::= 16   | -- Maximum number of sidelink Sync configurations for V2X sidelink communication  |
| maxSL-V2X-CBRConfig-r14         | INTEGER ::= 4    | -- Maximum number of CBR range configurations for V2X sidelink communication congestion control                         |
| maxSL-V2X-CBRConfig-1-r14       | INTEGER ::= 3    |   |
| maxSL-V2X-TxConfig-r14          | INTEGER ::= 64   | -- Maximum number of TX parameter configurations for V2X sidelink communication congestion control                      |
| maxSL-V2X-TxConfig-1-r14        | INTEGER ::= 63   |   |
| maxSL-V2X-CBRConfig2-r14        | INTEGER ::= 8    | -- Maximum number of CBR range configurations in pre-configuration for V2X sidelink communication congestion control    |
| maxSL-V2X-CBRConfig2-1-r14      | INTEGER ::= 7    |   |
| maxSL-V2X-TxConfig2-r14         | INTEGER ::= 128  | -- Maximum number of TX parameter configurations in pre-configuration for V2X sidelink communication congestion control |
| maxSL-V2X-TxConfig2-1-r14       | INTEGER ::= 127  |   |
| maxSTAG-r11                     | INTEGER ::= 3    | -- Maximum number of STAGs  |
| maxServCell-r10                 | INTEGER ::= 5    | -- Maximum number of Serving cells  |
| maxServCell-r13                 | INTEGER ::= 32   | -- Highest value of extended number range of Serving cells  |

```

maxServCellNR-r15          INTEGER ::= 16 -- Maximum number of NR serving cells
maxServiceCount           INTEGER ::= 16 -- Maximum number of MBMS services that can be included
                               -- in an MBMS counting request and response

maxServiceCount-1        INTEGER ::= 15
maxSessionPerPMCH        INTEGER ::= 29
maxSessionPerPMCH-1      INTEGER ::= 28
maxSIB                    INTEGER ::= 32 -- Maximum number of SIBs
maxSIB-1                  INTEGER ::= 31
maxSI-Message            INTEGER ::= 32 -- Maximum number of SI messages
maxSimultaneousBands-r10 INTEGER ::= 64 -- Maximum number of simultaneously aggregated bands
maxSubframePatternIDC-r11 INTEGER ::= 8  -- Maximum number of subframe reservation patterns
                               -- that the UE can simultaneously recommend to the
                               -- E-UTRAN for use.

maxTrafficPattern-r14    INTEGER ::= 8  -- Maximum number of periodical traffic patterns
                               -- that the UE can simultaneously report to the
                               -- E-UTRAN.

maxUTRA-FDD-Carrier      INTEGER ::= 16 -- Maximum number of UTRA FDD carrier frequencies
maxUTRA-TDD-Carrier      INTEGER ::= 16 -- Maximum number of UTRA TDD carrier frequencies
maxWayPoint-r15          INTEGER ::= 20 -- Maximum number of flight path information waypoints
maxWLAN-Id-r12           INTEGER ::= 16 -- Maximum number of WLAN identifiers
maxWLAN-Bands-r13       INTEGER ::= 8  -- Maximum number of WLAN bands
maxWLAN-Id-r13           INTEGER ::= 32 -- Maximum number of WLAN identifiers
maxWLAN-Channels-r13    INTEGER ::= 16 -- maximum number of WLAN channels used in
                               -- WLAN-CarrierInfo
maxWLAN-CarrierInfo-r13  INTEGER ::= 8  -- Maximum number of WLAN Carrier Information
maxWLAN-Id-Report-r14   INTEGER ::= 32 -- Maximum number of WLAN IDs to report
maxWLAN-Name-r15        INTEGER ::= 4  -- Maximum number of WLAN name

```

```
-- ASN1STOP
```

NOTE: The value of maxDRB aligns with SA2.

## – End of EUTRA-RRC-Definitions

```
-- ASN1START
```

```
END
```

```
-- ASN1STOP
```

## 6.5 PC5 RRC messages

NOTE: The messages included in this clause reflect the current status of the discussions. Additional messages may be included at a later stage.

### 6.5.1 General message structure

#### – PC5-RRC-Definitions

This ASN.1 segment is the start of the PC5 RRC PDU definitions.

```

-- ASN1START

PC5-RRC-Definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS
    TDD-ConfigSL-r12
FROM EUTRA-RRC-Definitions;

-- ASN1STOP

```

#### – SBCCH-SL-BCH-Message

The *SBCCH-SL-BCH-Message* class is the set of RRC messages that may be sent from the UE to the UE via SL-BCH on the SBCCH logical channel.

```

-- ASN1START
SBCCH-SL-BCH-Message ::= SEQUENCE {
    message                SBCCH-SL-BCH-MessageType
}
SBCCH-SL-BCH-MessageType ::=
MasterInformationBlock-SL
-- ASN1STOP

```

## – *SBCCH-SL-BCH-Message-V2X*

The *SBCCH-SL-BCH-Message-V2X* class is the set of RRC messages that may be sent from the UE to the UE via SL-BCH on the SBCCH logical channel for V2X sidelink communication.

```

-- ASN1START
SBCCH-SL-BCH-Message-V2X-r14 ::= SEQUENCE {
    message                SBCCH-SL-BCH-MessageType-V2X-r14
}
SBCCH-SL-BCH-MessageType-V2X-r14 ::=
MasterInformationBlock-SL-V2X-r14
-- ASN1STOP

```

## 6.5.2 Message definitions

### – *MasterInformationBlock-SL*

The *MasterInformationBlock-SL* includes the information transmitted by a UE transmitting SLSS, i.e. acting as synchronisation reference, via SL-BCH.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: SBCCH

Direction: UE to UE

#### ***MasterInformationBlock-SL***

```

-- ASN1START
MasterInformationBlock-SL ::=
SEQUENCE {
    sl-Bandwidth-r12        ENUMERATED {
                            n6, n15, n25, n50, n75, n100},
    tdd-ConfigSL-r12       TDD-ConfigSL-r12,
    directFrameNumber-r12  BIT STRING (SIZE (10)),
    directSubframeNumber-r12 INTEGER (0..9),
    inCoverage-r12         BOOLEAN,
    reserved-r12           BIT STRING (SIZE (19))
}
-- ASN1STOP

```



| <b>MasterInformationBlock-SL field descriptions</b>   |
|---|
| <p><b>directFrameNumber</b><br/>Indicates the frame number in which SLSS and SL-BCH are transmitted. The subframe in the frame corresponding to <i>directFrameNumber</i> is indicated by <i>directSubframeNumber</i>.</p> |
| <p><b>inCoverage</b><br/>Value <i>TRUE</i> indicates that the UE transmitting the <i>MasterInformationBlock-SL</i> is in E-UTRAN coverage.</p>  |
| <p><b>sl-Bandwidth</b><br/>Parameter: transmission bandwidth configuration. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.</p>   |

## – *MasterInformationBlock-SL-V2X*

The *MasterInformationBlock-SL-V2X* includes the information transmitted by a UE transmitting SLSS, i.e. acting as synchronisation reference, via SL-BCH for V2X sidelink communication.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: SBCCH

Direction: UE to UE

### **MasterInformationBlock-SL-V2X**

```

-- ASN1START
MasterInformationBlock-SL-V2X-r14 ::= SEQUENCE {
    sl-Bandwidth-r14          ENUMERATED {
        n6, n15, n25, n50, n75, n100},
    tdd-ConfigSL-r14        TDD-ConfigSL-r12,
    directFrameNumber-r14   BIT STRING (SIZE (10)),
    directSubframeNumber-r14 INTEGER (0..9),
    inCoverage-r14          BOOLEAN,
    reserved-r14            BIT STRING (SIZE (27))
}
-- ASN1STOP

```

| <b>MasterInformationBlock-SL-V2X field descriptions</b>  |
|--|
| <p><b>directFrameNumber</b><br/>Indicates the frame number in which SLSS and SL-BCH for V2X sidelink communication are transmitted. The subframe in the frame corresponding to <i>directFrameNumber</i> is indicated by <i>directSubframeNumber</i>.</p> |
| <p><b>inCoverage</b><br/>Value <i>TRUE</i> indicates that the UE transmitting the <i>MasterInformationBlock-SL-V2X</i> for V2X sidelink communication is in E-UTRAN coverage.</p>  |
| <p><b>sl-Bandwidth</b><br/>Parameter: transmission bandwidth configuration. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.</p>  |

## – End of *PC5-RRC-Definitions*

```

-- ASN1START
END
-- ASN1STOP

```

## 6.6 Direct Indication Information

Direct Indication information is transmitted on MPDCCH using P-RNTI but without associated *Paging* message. Table 6.6-1 defines the Direct Indication information, see TS 36.212 [22], clause 5.3.3.1.14.

When bit *n* is set to 1, UE shall behave as if the corresponding field is set in the *Paging* message, see 5.3.2.3. Bit 1 is the least significant bit.

**Table 6.6-1: Direct Indication information**

| Bit     | Direct Indication information                     |
|---------|---|
| 1       | <i>systemInfoModification</i>                     |
| 2       | <i>etws-Indication</i>                            |
| 3       | <i>emas-Indication</i>                            |
| 4       | <i>eab-ParamModification</i>                      |
| 5       | <i>systemInfoModification-eDRX</i>                |
| 6, 7, 8 | Not used, and shall be ignored by UE if received. |

## 6.6a Direct Indication FeMBMS

On MBMS-dedicated cell and on FeMBMS/Unicast-mixed cell, a Direct Indication FeMBMS is transmitted on PDCCH together with 8-bit MCCH change notification using M-RNTI, see TS 36.212 [22], clause 5.3.3.1.4. Table 6.6a-1 defines the Direct Indication FeMBMS.

When the first bit is set to 1, UE shall behave as if *systemInfoModification* field is set in the *Paging* message and when the second bit is set to 1, UE shall behave as if both *etws-Indication* and *emas-Indication* are set in the *Paging* message, see 5.3.2.3. Bit 1 is the least significant bit.

**Table 6.6a-1: Direct Indication FeMBMS**

| Bit | Direct Indication FeMBMS                          |
|-----|---|
| 1   | <i>systemInfoModification</i>                     |
| 2   | <i>etws-Indication</i> and <i>emas-Indication</i> |

## 6.7 NB-IoT RRC messages

### 6.7.1 General NB-IoT message structure

```
-- ASN1START
NBIOT-RRC-Definitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    RRConnectionReestablishmentReject,
    SecurityModeCommand,
    SecurityModeComplete,
    SecurityModeFailure,
    AdditionalSpectrumEmission,
    ARFCN-ValueEUTRA-r9,
    CellIdentity,
    C-RNTI,
    DedicatedInfoNAS,
    DRB-Identity,
    InitialUE-Identity,
    IntraFreqBlackCellList,
    IntraFreqNeighCellList,
    maxBands,
    maxCellBlack,
    maxCellInter,
```

```

maxCellIntra,
maxFBI2,
maxFreq,
maxMultiBands,
maxPageRec,
maxPLMN-r11,
maxSAI-MBMS-r11,
maxSIB,
maxSIB-1,
MBMS-SAI-r11,
MBMS-SAI-List-r11,
MBMSSessionInfo-r13,
NextHopChainingCount,
PagingUE-Identity,
PLMN-Identity,
P-Max,
PowerRampingParameters,
PreambleTransMax,
PhysCellId,
Q-OffsetRange,
Q-QualMin-r9,
Q-RxLevMin,
ReestabUE-Identity,
RegisteredMME,
ReselectionThreshold,
ResumeIdentity-r13,
RRC-TransactionIdentifier,
RSRP-Range,
ShortMAC-I,
S-TMSI,
SystemInformationBlockType16-r11,
SystemInfoValueTagSI-r13,
T-Reordering,
TimeAlignmentTimer,
TMGI-r9,
TrackingAreaCode,
DataInactivityTimer-r14

```

```
FROM EUTRA-RRC-Definitions;
```

```
-- ASN1STOP
```

## – *BCCH-BCH-Message-NB*

The *BCCH-BCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel in FDD.

```

-- ASN1START
BCCH-BCH-Message-NB ::= SEQUENCE {
    message                BCCH-BCH-MessageType-NB
}

BCCH-BCH-MessageType-NB ::= MasterInformationBlock-NB

-- ASN1STOP

```

## – *BCCH-BCH-Message-TDD-NB*

The *BCCH-BCH-Message-TDD-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel in TDD.

```

-- ASN1START
BCCH-BCH-Message-TDD-NB ::= SEQUENCE {
    message                BCCH-BCH-MessageType-TDD-NB-r15
}

BCCH-BCH-MessageType-TDD-NB-r15 ::= MasterInformationBlock-TDD-NB-r15

```

```
-- ASN1STOP
```

## – *BCCH-DL-SCH-Message-NB*

The *BCCH-DL-SCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BCCH logical channel.

```
-- ASN1START
BCCH-DL-SCH-Message-NB ::= SEQUENCE {
    message          BCCH-DL-SCH-MessageType-NB
}
BCCH-DL-SCH-MessageType-NB ::= CHOICE {
    c1              CHOICE {
        systemInformation-r13          SystemInformation-NB,
        systemInformationBlockType1-r13 SystemInformationBlockType1-NB
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

## – *PCCH-Message-NB*

The *PCCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the PCCH logical channel.

```
-- ASN1START
PCCH-Message-NB ::= SEQUENCE {
    message          PCCH-MessageType-NB
}
PCCH-MessageType-NB ::= CHOICE {
    c1              CHOICE {
        paging-r13          Paging-NB
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

## – *DL-CCCH-Message-NB*

The *DL-CCCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the downlink CCCH logical channel.

```
-- ASN1START
DL-CCCH-Message-NB ::= SEQUENCE {
    message          DL-CCCH-MessageType-NB
}
DL-CCCH-MessageType-NB ::= CHOICE {
    c1              CHOICE {
        rrcConnectionReestablishment-r13          RRCConnectionReestablishment-NB,
        rrcConnectionReestablishmentReject-r13   RRCConnectionReestablishmentReject,
        rrcConnectionReject-r13                  RRCConnectionReject-NB,
        rrcConnectionSetup-r13                   RRCConnectionSetup-NB,
        rrcEarlyDataComplete-r15                 RRCEarlyDataComplete-NB-r15,
        spare3 NULL, spare2 NULL, spare1 NULL
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

## – *DL-DCCH-Message-NB*

The *DL-DCCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the downlink DCCH logical channel.

```
-- ASN1START
DL-DCCH-Message-NB ::= SEQUENCE {
    message                DL-DCCH-MessageType-NB
}

DL-DCCH-MessageType-NB ::= CHOICE {
    c1                    CHOICE {
        dlInformationTransfer-r13                DLInformationTransfer-NB,
        rrcConnectionReconfiguration-r13        RRCConnectionReconfiguration-NB,
        rrcConnectionRelease-r13                RRCConnectionRelease-NB,
        securityModeCommand-r13                SecurityModeCommand,
        ueCapabilityEnquiry-r13                 UECapabilityEnquiry-NB,
        rrcConnectionResume-r13                 RRCConnectionResume-NB,
        spare2 NULL, spare1 NULL
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

## – *UL-CCCH-Message-NB*

The *UL-CCCH-Message-NB* class is the set of RRC messages that may be sent from the UE to the E-UTRAN on the uplink CCCH logical channel.

```
-- ASN1START
UL-CCCH-Message-NB ::= SEQUENCE {
    message                UL-CCCH-MessageType-NB
}

UL-CCCH-MessageType-NB ::= CHOICE {
    c1                    CHOICE {
        rrcConnectionReestablishmentRequest-r13 RRCConnectionReestablishmentRequest-NB,
        rrcConnectionRequest-r13                RRCConnectionRequest-NB,
        rrcConnectionResumeRequest-r13          RRCConnectionResumeRequest-NB,
        rrcEarlyDataRequest-r15                 RRCEarlyDataRequest-NB-r15
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

## – *SC-MCCH-Message-NB*

The *SC-MCCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the NB-IoT UE on the SC-MCCH logical channel.

```
-- ASN1START
SC-MCCH-Message-NB ::= SEQUENCE {
    message                SC-MCCH-MessageType-NB
}

SC-MCCH-MessageType-NB ::= CHOICE {
    c1                    CHOICE {
        scptmConfiguration-r14                SCPTMConfiguration-NB-r14
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

## – *UL-DCCH-Message-NB*

The *UL-DCCH-Message-NB* class is the set of RRC messages that may be sent from the UE to the E-UTRAN on the uplink DCCH logical channel.

```
-- ASN1START
UL-DCCH-Message-NB ::= SEQUENCE {
    message          UL-DCCH-MessageType-NB
}

UL-DCCH-MessageType-NB ::= CHOICE {
    c1              CHOICE {
        rrcConnectionReconfigurationComplete-r13    RRCConnectionReconfigurationComplete-NB,
        rrcConnectionReestablishmentComplete-r13    RRCConnectionReestablishmentComplete-NB,
        rrcConnectionSetupComplete-r13              RRCConnectionSetupComplete-NB,
        securityModeComplete-r13                   SecurityModeComplete,
        securityModeFailure-r13                     SecurityModeFailure,
        ueCapabilityInformation-r13                  UECapabilityInformation-NB,
        ulInformationTransfer-r13                    ULInformationTransfer-NB,
        rrcConnectionResumeComplete-r13             RRCConnectionResumeComplete-NB,
        spare8 NULL, spare7 NULL,
        spare6 NULL, spare5 NULL, spare4 NULL,
        spare3 NULL, spare2 NULL, spare1 NULL
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

## 6.7.2 NB-IoT Message definitions

### – *DLInformationTransfer-NB*

The *DLInformationTransfer-NB* message is used for the downlink transfer of NAS dedicated information.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### ***DLInformationTransfer-NB* message**

```
-- ASN1START
DLInformationTransfer-NB ::= SEQUENCE {
    rrc-TransactionIdentifier    RRC-TransactionIdentifier,
    criticalExtensions           CHOICE {
        c1                      CHOICE {
            dlInformationTransfer-r13    DLInformationTransfer-NB-r13-IEs,
            spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

DLInformationTransfer-NB-r13-IEs ::= SEQUENCE {
    dedicatedInfoNAS-r13    DedicatedInfoNAS,
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension     SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

– *MasterInformationBlock-NB*

The *MasterInformationBlock-NB* includes the system information transmitted on BCH in FDD.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

***MasterInformationBlock-NB***

```
-- ASN1START
MasterInformationBlock-NB ::= SEQUENCE {
    systemFrameNumber-MSB-r13 BIT STRING (SIZE (4)),
    hyperSFN-LSB-r13          BIT STRING (SIZE (2)),
    schedulingInfoSIB1-r13    INTEGER (0..15),
    systemInfoValueTag-r13    INTEGER (0..31),
    ab-Enabled-r13            BOOLEAN,
    operationModeInfo-r13     CHOICE {
        inband-SamePCI-r13      Inband-SamePCI-NB-r13,
        inband-DifferentPCI-r13 Inband-DifferentPCI-NB-r13,
        guardband-r13          Guardband-NB-r13,
        standalone-r13         Standalone-NB-r13
    },
    additionalTransmissionSIB1-r15 BOOLEAN,
    spare                       BIT STRING (SIZE (10))
}

Guardband-NB-r13 ::= SEQUENCE {
    rasterOffset-r13 ChannelRasterOffset-NB-r13,
    spare           BIT STRING (SIZE (3))
}

Inband-SamePCI-NB-r13 ::= SEQUENCE {
    eutra-CRS-SequenceInfo-r13 INTEGER (0..31)
}

Inband-DifferentPCI-NB-r13 ::= SEQUENCE {
    eutra-NumCRS-Ports-r13 ENUMERATED {same, four},
    rasterOffset-r13      ChannelRasterOffset-NB-r13,
    spare                 BIT STRING (SIZE (2))
}

Standalone-NB-r13 ::= SEQUENCE {
    spare BIT STRING (SIZE (5))
}
-- ASN1STOP
```

| <b>MasterInformationBlock-NB field descriptions</b>   |
|---|
| <p><b>ab-Enabled</b><br/>Value TRUE indicates that access barring is enabled.</p>   |
| <p><b>additionalTransmissionSIB1</b><br/>Value TRUE indicates that additional SIB1-NB transmissions are present. See TS 36.211 [21] and TS 36.213 [23]. E-UTRAN only configures <i>additionalTransmissionSIB1</i> to <i>TRUE</i> if <i>schedulingInfoSIB1</i> indicates that the number of NPDSCH repetitions is 16, see TS 36.213 [23], Table 16.4.1.3-3.</p>  |
| <p><b>utra-CRS-SequencelInfo</b><br/>Information of the carrier containing NPSS/NSSS/NPBCH.<br/>Each value is associated with an E-UTRA PRB index as an offset from the middle of the LTE system sorted out by channel raster offset. See TS 36.211[21] and TS 36.213 [23].</p>   |
| <p><b>utra-NumCRS-Ports</b><br/>Number of E-UTRA CRS antenna ports, either the same number of ports as NRS or 4 antenna ports. See TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].</p>  |
| <p><b>hyperSFN-LSB</b><br/>Indicates the 2 least significant bits of hyper SFN. The remaining bits are present in <i>SystemInformationBlockType1-NB</i>.</p>  |
| <p><b>operationModeInfo</b><br/>Deployment scenario (in-band/guard-band/standalone) and related information. See TS 36.211 [21] and TS 36.213 [23].<br/><i>Inband-SamePCI</i> indicates an in-band deployment and that the NB-IoT and LTE cell share the same physical cell id and have the same number of NRS and CRS ports.<br/><i>Inband-DifferentPCI</i> indicates an in-band deployment and that the NB-IoT and LTE cell have different physical cell id.<br/><i>guardband</i> indicates a guard-band deployment.<br/><i>standalone</i> indicates a standalone deployment.</p> |
| <p><b>schedulingInfoSIB1</b><br/>This field contains an index to a table specified in TS 36.213 [23], Table 16.4.1.3-3, that defines <i>SystemInformationBlockType1-NB</i> scheduling information.</p>  |
| <p><b>systemFrameNumber-MSB</b><br/>Defines the 4 most significant bits of the SFN. As indicated in TS 36.211 [21], the 6 least significant bits of the SFN are acquired implicitly by decoding the NPBCH.</p>  |
| <p><b>systemInfoValueTag</b><br/>Common for all SIBs other than MIB-NB, SIB14-NB and SIB16-NB.</p>  |

### – *MasterInformationBlock-TDD-NB*

The *MasterInformationBlock-TDD-NB* includes the system information transmitted on BCH in TDD.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### **MasterInformationBlock-TDD-NB**

```

-- ASN1START
MasterInformationBlock-TDD-NB-r15 ::= SEQUENCE {
    systemFrameNumber-MSB-r15          BIT STRING (SIZE (4)),
    hyperSFN-LSB-r15                   BIT STRING (SIZE (2)),
    schedulingInfoSIB1-r15              INTEGER (0..15),
    systemInfoValueTag-r15              INTEGER (0..31),
    ab-Enabled-r15                       BOOLEAN,
    operationModeInfo-r15                CHOICE {
        inband-SamePCI-r15                Inband-SamePCI-TDD-NB-r15,
        inband-DifferentPCI-r15           Inband-DifferentPCI-TDD-NB-r15,
        guardband-r15                     GuardbandTDD-NB-r15,
        standalone-r15                    StandaloneTDD-NB-r15
    },
    sib1-CarrierInfo-r15                 ENUMERATED {anchor, non-anchor},
    spare                                BIT STRING (SIZE (9))
}

GuardbandTDD-NB-r15 ::= SEQUENCE {
    rasterOffset-r15                     ChannelRasterOffset-NB-r13,
    sib-GuardbandInfo-r15                CHOICE {

```



```

        sib-GuardbandAnchor-r15          SIB-GuardbandAnchorTDD-NB-r15,
        sib-GuardbandGuardband-r15      SIB-GuardbandGuardbandTDD-NB-r15,
        sib-GuardbandInbandSamePCI-r15  SIB-GuardbandInbandSamePCI-TDD-NB-r15,
        sib-GuardbandInbandDiffPCI-r15  SIB-GuardbandInbandDiffPCI-TDD-NB-r15
    },
    eutra-Bandwidth-r15                  ENUMERATED {bw5or10, bw15or20}
}

Inband-SamePCI-TDD-NB-r15 ::=          SEQUENCE {
    eutra-CRS-SequenceInfo-r15         INTEGER (0..31),
    sib-InbandLocation-r15             ENUMERATED {lower, higher}
}

Inband-DifferentPCI-TDD-NB-r15 ::=    SEQUENCE {
    eutra-NumCRS-Ports-r15             ENUMERATED {same, four},
    rasterOffset-r15                   ChannelRasterOffset-NB-r13,
    sib-InbandLocation-r15             ENUMERATED {lower, higher},
    spare                               BIT STRING (SIZE (2))
}

StandaloneTDD-NB-r15 ::=              SEQUENCE {
    sib-StandaloneLocation-r15         ENUMERATED {lower, higher},
    spare                               BIT STRING (SIZE (5))
}

SIB-GuardbandAnchorTDD-NB-r15 ::=    SEQUENCE {
    spare                               BIT STRING (SIZE (1))
}

SIB-GuardbandGuardbandTDD-NB-r15 ::= SEQUENCE {
    sib-GuardbandGuardbandLocation-r15 ENUMERATED {same, opposite}
}

SIB-GuardbandInbandSamePCI-TDD-NB-r15 ::= SEQUENCE {
    spare                               BIT STRING (SIZE (1))
}

SIB-GuardbandInbandDiffPCI-TDD-NB-r15 ::= SEQUENCE {
    sib-EUTRA-NumCRS-Ports-r15        ENUMERATED {same, four}
}

-- ASN1STOP

```

| <b>MasterInformationBlock-TDD-NB field descriptions</b>   |
|---|
| <p><b>ab-Enabled</b><br/>Value TRUE indicates that access barring is enabled.</p>   |
| <p><b>utra-Bandwidth</b><br/>EUTRA system bandwidth. Value <i>bw5or10</i> corresponds to bandwidth 5 or 10 MHz, value <i>bw15or20</i> corresponds to bandwidth 15 or 20 MHz.<br/>If the value of <i>utra-Bandwidth</i> is <i>bw5or10</i> and <i>rasterOffset</i> is set to <i>khz7dot5</i> or <i>khz-7dot5</i>, the E-UTRA system bandwidth is 5 MHz.<br/>If the value of <i>utra-Bandwidth</i> is <i>bw5or10</i> and <i>rasterOffset</i> is set to <i>khz2dot5</i> or <i>khz-2dot5</i>, the E-UTRA system bandwidth is 10 MHz.<br/>If the value of <i>utra-Bandwidth</i> is <i>bw15or20</i> and <i>rasterOffset</i> is set to <i>khz7dot5</i> or <i>khz-7dot5</i>, the E-UTRA system bandwidth is 15 MHz.<br/>If the value of <i>utra-Bandwidth</i> is <i>bw15or20</i> and <i>rasterOffset</i> is set to <i>khz2dot5</i> or <i>khz-2dot5</i>, the E-UTRA system bandwidth is 20 MHz.<br/>When the E-UTRA system bandwidth is 5 MHz or 15 MHz, if the value of <i>sib-GuardbandInfo</i> is <i>sib-GuardbandInbandSamePCI</i> or <i>sib-GuardbandInbandDiffPCI</i>, the offset between the anchor carrier and the non-anchor carrier used for SIB1 and/or SI transmission is 45 kHz.</p> |
| <p><b>utra-CRS-SequenceInfo</b><br/>Information of the carrier containing NPSS/NSSS/NPBCH.<br/>Each value is associated with an E-UTRA PRB index as an offset from the middle of the LTE system sorted out by channel raster offset. See TS 36.211 [21] and TS 36.213 [23].</p>   |
| <p><b>utra-NumCRS-Ports, sib-utra-NumCRS-Ports</b><br/>Number of E-UTRA CRS antenna ports, either the same number of ports as NRS or 4 antenna ports. See TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].</p>   |
| <p><b>hyperSFN-LSB</b><br/>Indicates the 2 least significant bits of hyper SFN. The remaining bits are present in <i>SystemInformationBlockType1-NB</i>.</p>  |
| <p><b>operationModelInfo</b><br/>Deployment scenario (in-band/guard-band/standalone) and related information. See TS 36.211 [21] and TS 36.213 [23].<br/><i>Inband-SamePCI</i> indicates an in-band deployment and that the NB-IoT and LTE cell share the same physical cell id and have the same number of NRS and CRS ports.<br/><i>Inband-DifferentPCI</i> indicates an in-band deployment and that the NB-IoT and LTE cell have different physical cell id.<br/><i>guardband</i> indicates a guard-band deployment.<br/><i>standalone</i> indicates a standalone deployment.<br/>When <i>operationmodelInfo</i> is set to <i>guardband</i>, if <i>rasterOffset</i> is set to <i>khz-7dot5</i> or <i>khz-2dot5</i>, the guardband anchor carrier is at the higher edge of the LTE carrier. If <i>rasterOffset</i> is set to <i>khz7dot5</i> or <i>khz2dot5</i>, the guardband anchor carrier is at the lower edge of the LTE carrier</p>   |
| <p><b>schedulingInfoSIB1</b><br/>This field contains an index to a table specified in TS 36.213 [23], Table 16.4.1.3-5 or Table 16.4.1.3-7 when <i>sib1-CarrierInfo</i> is set to <i>anchor</i> or to <i>non-anchor</i> respectively, that defines <i>SystemInformationBlockType1-NB</i> scheduling information.<br/>If <i>sib1-CarrierInfo</i> is set to <i>non-anchor</i>, E-UTRAN configures a value between 0 and 7.</p>  |
| <p><b>sib-GuardbandGuardbandLocation</b><br/>Location of the non-anchor carrier used for SIB1 and/or SI transmission when <i>operationmodelInfo</i> is set to <i>guardband</i> and the non-anchor carrier is in guardband. See TS 36.213 [23].<br/>Value <i>same</i> corresponds to the carrier adjacent to the anchor carrier on the outer side of the guardband, value <i>opposite</i> corresponds to the carrier closest to the edge of the LTE carrier in the opposite guardband.</p>   |
| <p><b>sib-GuardbandInfo</b><br/>Information of the carrier used for SIB1 and/or SI transmission when <i>operationmodelInfo</i> is set to <i>guardband</i>. See TS 36.213 [23].<br/><i>sib-GuardbandAnchor</i> indicates the anchor carrier.<br/><i>sib-GuardbandGuardband</i> indicates a non-anchor carrier in guardband mode.<br/><i>sib-GuardbandInbandSamePCI</i> or <i>sib-GuardbandInbandDiffPCI</i> indicates a non-anchor carrier in inband mode, and at the edge of the LTE carrier and on the same side as the anchor carrier.</p>  |
| <p><b>sib-InbandLocation</b><br/>Location of the non-anchor carrier used for SIB1 and/or SI transmission when <i>operationmodelInfo</i> is set to <i>inband-SamePCI</i> or <i>inband-DifferentPCI</i>, and <i>sib1-CarrierInfo</i> value and/or <i>tdt-SI-CarrierInfo</i> in SIB1-NB is set to <i>non-anchor</i>. See TS 36.213 [23].<br/>Value <i>lower</i> corresponds to the lower adjacent carrier relative to the anchor carrier and value <i>higher</i> corresponds to the higher adjacent carrier relative to the anchor carrier.<br/>If both <i>sib1-CarrierInfo</i> value and <i>tdt-SI-CarrierInfo</i> value in SIB1-NB are set to <i>anchor</i>, the UE ignores <i>sib-InbandLocation</i>.</p>   |

| <b>MasterInformationBlock-TDD-NB field descriptions</b>  |
|--|
| <p><b>sib-StandaloneLocation</b><br/>Location of the non-anchor carrier used for SIB1 and/or SI transmission when <i>operationmodeInfo</i> is set to <i>standalone</i>, and <i>sib1-CarrierInfo</i> value and/or <i>tdt-SI-CarrierInfo</i> in SIB1-NB is set to <i>non-anchor</i>. See TS 36.213 [23]. Value <i>lower</i> corresponds to the lower adjacent carrier relative to the anchor carrier and value <i>higher</i> corresponds to the higher adjacent carrier relative to the anchor carrier. If both <i>sib1-CarrierInfo</i> value and <i>tdt-SI-CarrierInfo</i> value in SIB1-NB are set to <i>anchor</i>, the UE ignores <i>sib-StandaloneLocation</i>.</p> |
| <p><b>sib1-CarrierInfo</b><br/>Carrier used for SIB1 transmission. See TS 36.213 [23], clause 16.4.1.3. Value <i>anchor</i> corresponds to anchor carrier, value <i>non-anchor</i> corresponds to non-anchor carrier.</p>  |
| <p><b>systemFrameNumber-MSB</b><br/>Defines the 4 most significant bits of the SFN. As indicated in TS 36.211 [21], the 6 least significant bits of the SFN are acquired implicitly by decoding the NPBCH.</p>   |
| <p><b>systemInfo Value Tag</b><br/>Common for all SIBs other than MIB-NB, SIB14-NB and SIB16-NB.</p>   |

## Paging-NB

The *Paging-NB* message is used for the notification of one or more UEs.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: PCCH

Direction: E-UTRAN to UE

### Paging-NB message

```

-- ASN1START
Paging-NB ::=
    SEQUENCE {
        pagingRecordList-r13          PagingRecordList-NB-r13          OPTIONAL, -- Need ON
        systemInfoModification-r13    ENUMERATED {true}              OPTIONAL, -- Need ON
        systemInfoModification-eDRX-r13  ENUMERATED {true}              OPTIONAL, -- Need ON
        nonCriticalExtension           SEQUENCE {}                    OPTIONAL
    }
PagingRecordList-NB-r13 ::=
    SEQUENCE (SIZE (1..maxPageRec)) OF PagingRecord-NB-r13
PagingRecord-NB-r13 ::=
    SEQUENCE {
        ue-Identity-r13
        ...
    }
-- ASN1STOP

```

| <b>Paging-NB field descriptions</b>  |
|--|
| <p><b>systemInfoModification</b><br/>If present: indication of a BCCH modification other than for <i>SystemInformationBlockType14-NB</i> (SIB14-NB) and <i>SystemInformationBlockType16-NB</i> (SIB16-NB). This indication does not apply to UEs using eDRX cycle longer than the BCCH modification period.</p>    |
| <p><b>systemInfoModification-eDRX</b><br/>If present: indication of a BCCH modification other than for <i>SystemInformationBlockType14-NB</i> (SIB14-NB) and <i>SystemInformationBlockType16-NB</i> (SIB16-NB). This indication applies only to UEs using eDRX cycle longer than the BCCH modification period.</p> |
| <p><b>ue-Identity</b><br/>Provides the NAS identity of the UE that is being paged.</p>   |

– **RRCConnectionReconfiguration-NB**

The *RRCConnectionReconfiguration-NB* message is the command to modify an RRC connection. It may convey information for resource configuration (including RBs, MAC main configuration and physical channel configuration) including any associated dedicated NAS information.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

**RRCConnectionReconfiguration-NB message**

```
-- ASN1START
RRCConnectionReconfiguration-NB ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                       CHOICE {
            rrcConnectionReconfiguration-r13  RRCConnectionReconfiguration-NB-r13-IEs,
            spare1 NULL
        },
        criticalExtensionsFuture  SEQUENCE {}
    }
}

RRCConnectionReconfiguration-NB-r13-IEs ::= SEQUENCE {
    dedicatedInfoNASList-r13      SEQUENCE (SIZE(1..maxDRB-NB-r13)) OF
                                     DedicatedInfoNAS OPTIONAL, -- Need ON
    radioResourceConfigDedicated-r13  RadioResourceConfigDedicated-NB-r13 OPTIONAL, -- Need ON
    fullConfig-r13                 ENUMERATED {true} OPTIONAL, -- Cond
    Reestab
        lateNonCriticalExtension      OCTET STRING OPTIONAL,
        nonCriticalExtension          SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

| <b>RRCConnectionReconfiguration-NB field descriptions</b> |   |
|---|---|
| <b>dedicatedInfoNASList</b>                               | This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list. |
| <b>fullConfig</b>   | Indicates the full configuration option is applicable for the RRC Connection Reconfiguration message.   |

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>Reestab</i>              | This field is optionally present, need ON upon the first reconfiguration after RRC connection re-establishment; otherwise the field is not present. |

– **RRCConnectionReconfigurationComplete-NB**

The *RRCConnectionReconfigurationComplete-NB* message is used to confirm the successful completion of an RRC connection reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***RRCConnectionReconfigurationComplete-NB* message**

```
-- ASN1START
RRCConnectionReconfigurationComplete-NB ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        rrcConnectionReconfigurationComplete-r13  RRCConnectionReconfigurationComplete-NB-r13-IEs,
        criticalExtensionsFuture                 SEQUENCE {}
    }
}

RRCConnectionReconfigurationComplete-NB-r13-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING          OPTIONAL,
    nonCriticalExtension          SEQUENCE {}           OPTIONAL
}
-- ASN1STOP
```

### ***RRCConnectionReestablishment-NB***

The *RRCConnectionReestablishment-NB* message is used to re-establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### ***RRCConnectionReestablishment-NB* message**

```
-- ASN1START
RRCConnectionReestablishment-NB ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                           CHOICE {
            rrcConnectionReestablishment-r13  RRCConnectionReestablishment-NB-r13-IEs,
            spare1 NULL
        },
        criticalExtensionsFuture         SEQUENCE {}
    }
}

RRCConnectionReestablishment-NB-r13-IEs ::= SEQUENCE {
    radioResourceConfigDedicated-r13  RadioResourceConfigDedicated-NB-r13,
    nextHopChainingCount-r13          NextHopChainingCount,
    lateNonCriticalExtension          OCTET STRING          OPTIONAL,
    nonCriticalExtension              RRCConnectionReestablishment-NB-v1430-IEs  OPTIONAL
}

RRCConnectionReestablishment-NB-v1430-IEs ::= SEQUENCE {
    dl-NAS-MAC                       BIT STRING (SIZE (16))  OPTIONAL,  -- Cond Reestablish-CP
    nonCriticalExtension              SEQUENCE {}           OPTIONAL
}
-- ASN1STOP
```

| <b><i>RRCCConnectionReestablishment-NB</i> field descriptions</b> |  |
|---|--|
| <b><i>dl-NAS-MAC</i></b>  | Downlink authentication token, see TS 33.401 [32]. If this field is present, the UE shall ignore the field <i>nextHopChainingCount</i> . |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>Reestablish-CP</i>       | This field is mandatory present for NB-IoT UE using the Control Plane Clot EPS optimisation; otherwise the field is not present. |

## – ***RRCCConnectionReestablishmentComplete-NB***

The *RRCCConnectionReestablishmentComplete-NB* message is used to confirm the successful completion of an RRC connection re-establishment.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***RRCCConnectionReestablishmentComplete-NB* message**

```
-- ASN1START
RRCCConnectionReestablishmentComplete-NB ::= SEQUENCE {
    rrc-TransactionIdentifier          RRC-TransactionIdentifier,
    criticalExtensions                 CHOICE {
        rrcConnectionReestablishmentComplete-r13  RRCCConnectionReestablishmentComplete-NB-r13-IEs,
        criticalExtensionsFuture                  SEQUENCE {}
    }
}

RRCCConnectionReestablishmentComplete-NB-r13-IEs ::= SEQUENCE {
    lateNonCriticalExtension          OCTET STRING OPTIONAL,
    nonCriticalExtension              RRCCConnectionReestablishmentComplete-NB-v1470-IEs OPTIONAL
}

RRCCConnectionReestablishmentComplete-NB-v1470-IEs ::= SEQUENCE {
    measResultServCell-r14           MeasResultServCell-NB-r14 OPTIONAL,
    nonCriticalExtension              SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

| <b><i>RRCCConnectionReestablishmentComplete-NB</i> field descriptions</b> |  |
|---|--|
| <b><i>measResultServCell</i></b>  | This field refers to the last idle mode measurement results taken of the serving cell. |

## – ***RRCCConnectionReestablishmentRequest-NB***

The *RRCCConnectionReestablishmentRequest-NB* message is used to request the reestablishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

### ***RRCCConnectionReestablishmentRequest-NB* message**

```
-- ASN1START
```

```

RRCConnectionReestablishmentRequest-NB ::= SEQUENCE {
    criticalExtensions          CHOICE {
        rrcConnectionReestablishmentRequest-r13
        later                   CHOICE {
            rrcConnectionReestablishmentRequest-r14
            criticalExtensionsFuture SEQUENCE {}
        }
    }
}

RRCConnectionReestablishmentRequest-NB-r13-IEs ::= SEQUENCE {
    ue-Identity-r13          ReestabUE-Identity,
    reestablishmentCause-r13 ReestablishmentCause-NB-r13,
    cqi-NPDCCH-r14          CQI-NPDCCH-NB-r14,
    earlyContentionResolution-r14 BOOLEAN,
    spare                   BIT STRING (SIZE (20))
}

RRCConnectionReestablishmentRequest-NB-r14-IEs ::= SEQUENCE {
    ue-Identity-r14          ReestabUE-Identity-CP-NB-r14,
    reestablishmentCause-r14 ReestablishmentCause-NB-r13,
    cqi-NPDCCH-r14          CQI-NPDCCH-Short-NB-r14,
    earlyContentionResolution-r14 BOOLEAN,
    spare                   BIT STRING (SIZE (1))
}

ReestablishmentCause-NB-r13 ::= ENUMERATED {
    reconfigurationFailure, otherFailure,
    spare2, spare1}

ReestabUE-Identity-CP-NB-r14 ::= SEQUENCE {
    s-TMSI-r14          S-TMSI,
    ul-NAS-MAC-r14      BIT STRING (SIZE (16)),
    ul-NAS-Count-r14    BIT STRING (SIZE (5))
}

-- ASN1STOP

```

#### ***RRCConnectionReestablishmentRequest-NB* field descriptions**

##### ***earlyContentionResolution***

Value TRUE indicates UE supports MAC PDU containing the UE contention resolution identity MAC control element without RRC response message. This field is always set to TRUE in this version of the specification.

##### ***reestablishmentCause***

Indicates the failure cause that triggered the re-establishment procedure.

eNB is not expected to reject a *RRCConnectionReestablishmentRequest* due to unknown cause value being used by the UE.

##### ***ue-Identity***

UE identity included to retrieve UE context and to facilitate contention resolution by lower layers.

##### ***ul-NAS-Count***

For description of this field see TS 33.401 [32].

##### ***ul-NAS-MAC***

For description of this field see TS 33.401 [32].

#### ***RRCConnectionReject-NB***

The *RRCConnectionReject-NB* message is used to reject the RRC connection establishment or RRC connection resume or to reject the EDT procedure.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### ***RRConnectionReject-NB* message**

```
-- ASN1START
RRConnectionReject-NB ::= SEQUENCE {
  criticalExtensions      CHOICE {
    c1                    CHOICE {
      rrcConnectionReject-r13  RRConnectionReject-NB-r13-IEs,
      spare1 NULL
    },
    criticalExtensionsFuture  SEQUENCE {}
  }
}

RRConnectionReject-NB-r13-IEs ::= SEQUENCE {
  extendedWaitTime-r13      INTEGER (1..1800),
  rrc-SuspendIndication-r13  ENUMERATED {true}          OPTIONAL, -- Need ON
  lateNonCriticalExtension  OCTET STRING          OPTIONAL,
  nonCriticalExtension       SEQUENCE {}          OPTIONAL
}
-- ASN1STOP
```

#### ***RRConnectionReject-NB* field descriptions**

##### ***extendedWaitTime***

Value in seconds.

##### ***rrc-SuspendIndication***

If present, this field indicates that the UE should remain suspended and not release its stored context.

### ***RRConnectionRelease-NB***

The *RRConnectionRelease-NB* message is used to command the release of an RRC connection, or to complete an UP-EDT procedure.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***RRConnectionRelease-NB* message**

```
-- ASN1START
RRConnectionRelease-NB ::= SEQUENCE {
  rrc-TransactionIdentifier  RRC-TransactionIdentifier,
  criticalExtensions          CHOICE {
    c1                        CHOICE {
      rrcConnectionRelease-r13  RRConnectionRelease-NB-r13-IEs,
      spare1 NULL
    },
    criticalExtensionsFuture  SEQUENCE {}
  }
}

RRConnectionRelease-NB-r13-IEs ::= SEQUENCE {
  releaseCause-r13          ReleaseCause-NB-r13,
  resumeIdentity-r13        ResumeIdentity-r13          OPTIONAL, -- Need OR
  extendedWaitTime-r13     INTEGER (1..1800)          OPTIONAL, -- Need ON
  redirectedCarrierInfo-r13 RedirectedCarrierInfo-NB-r13  OPTIONAL, -- Need ON
}
```



```

    lateNonCriticalExtension      OCTET STRING          OPTIONAL,
    nonCriticalExtension          RRCCConnectionRelease-NB-v1430-IEs  OPTIONAL
}
RRCCConnectionRelease-NB-v1430-IEs ::= SEQUENCE {
    redirectedCarrierInfo-v1430  RedirectedCarrierInfo-NB-v1430  OPTIONAL,  -- Cond
Redirection
    extendedWaitTime-CPdata-r14  INTEGER (1..1800)  OPTIONAL,  -- Cond NoExtendedWaitTime
    nonCriticalExtension          RRCCConnectionRelease-NB-v1530-IEs  OPTIONAL
}
RRCCConnectionRelease-NB-v1530-IEs ::= SEQUENCE {
    drb-ContinueROHC-r15         ENUMERATED {true}          OPTIONAL,  -- Cond UP-EDT
    nextHopChainingCount-r15     NextHopChainingCount      OPTIONAL,  -- Cond UP-EDT
    nonCriticalExtension          RRCCConnectionRelease-NB-v1550-IEs  OPTIONAL
}
RRCCConnectionRelease-NB-v1550-IEs ::= SEQUENCE {
    redirectedCarrierInfo-v1550  RedirectedCarrierInfo-NB-v1550  OPTIONAL,  -- Cond
Redirection-TDD
    nonCriticalExtension          RRCCConnectionRelease-NB-v15b0-IEs  OPTIONAL
}
RRCCConnectionRelease-NB-v15b0-IEs ::= SEQUENCE {
    noLastCellUpdate-r15         ENUMERATED {true}          OPTIONAL,  -- Need OP
    nonCriticalExtension          SEQUENCE {}                OPTIONAL
}
ReleaseCause-NB-r13 ::=
ENUMERATED {loadBalancingTArequired, other,
            rrc-Suspend, spare1}
RedirectedCarrierInfo-NB-r13 ::=
CarrierFreq-NB-r13
RedirectedCarrierInfo-NB-v1430 ::= SEQUENCE {
    redirectedCarrierOffsetDedicated-r14  ENUMERATED{
        dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
        dB12, dB14, dB16, dB18, dB20, dB22, dB24, dB26},
    t322-r14  ENUMERATED{
        min5, min10, min20, min30, min60, min120, min180,
        spare1}
}
RedirectedCarrierInfo-NB-v1550 ::=
CarrierFreq-NB-v1550
-- ASN1STOP

```

#### **RRCCConnectionRelease-NB field descriptions**

##### ***drb-ContinueROHC***

This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues when UE initiates UP-EDT in the same cell, while absence indicates that the header compression protocol context is reset.

##### ***extendedWaitTime***

Value in seconds.

##### ***extendedWaitTime-CPdata***

Wait time for data transfer using the Control Plane ClOT EPS optimisation. Value in seconds. See TS 24.301 [35].

##### ***noLastCellUpdate***

Presence of the field indicates that the last used cell for WUS shall not be updated.

##### ***redirectedCarrierInfo***

The *redirectedCarrierInfo* indicates a carrier frequency (downlink for FDD) and is used to redirect the UE to a NB-IoT carrier frequency, by means of the cell selection upon leaving RRC\_CONNECTED as specified in TS 36.304 [4].

##### ***redirectedCarrierOffsetDedicated***

Parameter "Qoffsetdedicated<sub>frequency</sub>" in TS 36.304 [4]. For NB-IoT carrier frequencies, a UE that supports multi-band cells considers the *redirectedCarrierOffsetDedicated* to be common for all overlapping bands (i.e. regardless of the EARFCN that is used).

##### ***releaseCause***

The *releaseCause* is used to indicate the reason for releasing the RRC Connection.

E-UTRAN should not set the *releaseCause* to *loadBalancingTARequired* if the *extendedWaitTime* is present.

##### ***t322***

Timer T322 as described in clause 7.3. Value minN corresponds to N minutes.

| Conditional presence      | Explanation  |
|---------------------------|--|
| <i>NoExtendedWaitTime</i> | The field is optionally present, Need ON, if the <i>extendedWaitTime</i> is not included; otherwise the field is not present.                                  |
| <i>Redirection</i>        | The field is optionally present, Need ON, if <i>redirectedCarrierInfo</i> is included; otherwise the field is not present.                                     |
| <i>Redirection-TDD</i>    | The field is optionally present, Need ON, if <i>redirectedCarrierInfo</i> is included in TDD mode. Otherwise, the field is not present.                        |
| <i>UP-EDT</i>             | The field is optionally present, Need ON, if the UE supports UP-EDT and <i>releaseCause</i> is set to <i>rrc-Suspend</i> ; otherwise the field is not present. |

## – *RRCCConnectionRequest-NB*

The *RRCCConnectionRequest-NB* message is used to request the establishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

### *RRCCConnectionRequest-NB* message

```
-- ASN1START
RRCCConnectionRequest-NB ::= SEQUENCE {
    criticalExtensions          CHOICE {
        rrcConnectionRequest-r13  RRCCConnectionRequest-NB-r13-IEs,
        criticalExtensionsFuture   SEQUENCE {}
    }
}

RRCCConnectionRequest-NB-r13-IEs ::= SEQUENCE {
    ue-Identity-r13             InitialUE-Identity,
    establishmentCause-r13     EstablishmentCause-NB-r13,
    multiToneSupport-r13       ENUMERATED {true}           OPTIONAL,
    multiCarrierSupport-r13    ENUMERATED {true}           OPTIONAL,
    earlyContentionResolution-r14 BOOLEAN,
    cqi-NPDCCH-r14             CQI-NPDCCH-NB-r14,
    spare                       BIT STRING (SIZE (17))
}
-- ASN1STOP
```

### *RRCCConnectionRequest-NB* field descriptions

|   |
|---|
| <b><i>earlyContentionResolution</i></b><br>Value TRUE indicates UE supports MAC PDU containing the UE contention resolution identity MAC control element without RRC response message. This field is always set to TRUE in this version of the specification. |
| <b><i>establishmentCause</i></b><br>Provides the establishment cause for the RRC connection request as provided by the upper layers. eNB is not expected to reject a <i>RRCCConnectionRequest</i> due to unknown cause value being used by the UE.            |
| <b><i>multiCarrierSupport</i></b><br>If present, this field indicates that the UE supports multi-carrier operation in the mode, FDD or TDD, used for access.  |
| <b><i>multiToneSupport</i></b><br>If present, this field indicates that the UE supports UL multi-tone transmissions on NPUSCH in the mode, FDD or TDD, used for access.   |
| <b><i>ue-Identity</i></b><br>UE identity included to facilitate contention resolution by lower layers.  |

## – *RRCCConnectionResume-NB*

The *RRCCConnectionResume-NB* message is used to resume the suspended RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***RRConnectionResume-NB* message**

```
-- ASN1START
RRConnectionResume-NB ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                        CHOICE {
            rrcConnectionResume-r13  RRCConnectionResume-NB-r13-IEs,
            spare1                    NULL
        },
        criticalExtensionsFuture     SEQUENCE {}
    }
}

RRConnectionResume-NB-r13-IEs ::= SEQUENCE {
    radioResourceConfigDedicated-r13  RadioResourceConfigDedicated-NB-r13 OPTIONAL, --
Need ON
    nextHopChainingCount-r13          NextHopChainingCount,
    drb-ContinueROHC-r13              ENUMERATED {true}                OPTIONAL, -- Need OP
    lateNonCriticalExtension           OCTET STRING                OPTIONAL,
    nonCriticalExtension               SEQUENCE {}                    OPTIONAL
}
-- ASN1STOP
```

### ***RRConnectionResume-NB* field descriptions**

#### ***drb-ContinueROHC***

This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues while absence indicates that the header compression protocol context is reset.

### ***RRConnectionResumeComplete-NB***

The *RRConnectionResumeComplete-NB* message is used to confirm the successful completion of an RRC connection resumption

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***RRConnectionResumeComplete-NB* message**

```
-- ASN1START
RRConnectionResumeComplete-NB ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        rrcConnectionResumeComplete-r13  RRConnectionResumeComplete-NB-r13-IEs,
        criticalExtensionsFuture         SEQUENCE {}
    }
}

RRConnectionResumeComplete-NB-r13-IEs ::= SEQUENCE {
    selectedPLMN-Identity-r13      INTEGER (1..maxPLMN-r11)  OPTIONAL,
    dedicatedInfoNAS-r13           DedicatedInfoNAS        OPTIONAL,
    lateNonCriticalExtension        OCTET STRING                OPTIONAL,
    nonCriticalExtension            RRConnectionResumeComplete-NB-v1470-IEs  OPTIONAL
}
-- ASN1STOP
```

```

}
RRCConnectionResumeComplete-NB-v1470-IEs ::= SEQUENCE {
    measResultServCell-r14          MeasResultServCell-NB-r14  OPTIONAL,
    nonCriticalExtension             SEQUENCE {}                 OPTIONAL
}
-- ASN1STOP

```

#### ***RRCConnectionResumeComplete-NB* field descriptions**

##### ***measResultServCell***

This field refers to the last idle mode measurement results taken of the serving cell.

##### ***selectedPLMN-Identity***

Index of the PLMN selected by the UE from the *plmn-IdentityList* included in *SystemInformationBlockType1-NB*. 1 if the 1st PLMN is selected from the *plmn-IdentityList* included in SIB1-NB, 2 if the 2nd PLMN is selected from the *plmn-IdentityList* included in SIB1-NB and so on.

### ***RRCConnectionResumeRequest-NB***

The *RRCConnectionResumeRequest-NB* message is used to request the resumption of a suspended RRC connection or to perform UP-EDT.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

#### ***RRCConnectionResumeRequest-NB* message**

```

-- ASN1START
RRCConnectionResumeRequest-NB ::= SEQUENCE {
    criticalExtensions             CHOICE {
        rrcConnectionResumeRequest-r13  RRCConnectionResumeRequest-NB-r13-IEs,
        criticalExtensionsFuture         SEQUENCE {}
    }
}
RRCConnectionResumeRequest-NB-r13-IEs ::= SEQUENCE {
    resumeID-r13                  ResumeIdentity-r13,
    shortResumeMAC-I-r13          ShortMAC-I,
    resumeCause-r13               EstablishmentCause-NB-r13,
    earlyContentionResolution-r14 BOOLEAN,
    cqi-NPDCCH-r14                CQI-NPDCCH-NB-r14,
    spare                          BIT STRING (SIZE (4))
}
-- ASN1STOP

```

#### ***RRCConnectionResumeRequest-NB* field descriptions**

##### ***earlyContentionResolution***

Value TRUE indicates UE supports MAC PDU containing the UE contention resolution identity MAC control element without RRC response message. This field is always set to TRUE in this version of the specification.

##### ***resumeCause***

Provides the resume cause for the RRC connection resume request as provided by the upper layers. eNB is not expected to reject a *RRCConnectionResumeRequest* due to unknown cause value being used by the UE.

##### ***resumeID***

UE identity to facilitate UE context retrieval at eNB.

##### ***shortResumeMAC-I***

Authentication token to facilitate UE authentication at eNB.

## – *RRCConnectionSetup-NB*

The *RRCConnectionSetup-NB* message is used to establish SRB1 and SRB1bis.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### *RRCConnectionSetup-NB* message

```
-- ASN1START
RRCConnectionSetup-NB ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        c1 CHOICE {
            rrcConnectionSetup-r13 RRCConnectionSetup-NB-r13-IEs,
            spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

RRCConnectionSetup-NB-r13-IEs ::= SEQUENCE {
    radioResourceConfigDedicated-r13 RadioResourceConfigDedicated-NB-r13,
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

## – *RRCConnectionSetupComplete-NB*

The *RRCConnectionSetupComplete-NB* message is used to confirm the successful completion of an RRC connection establishment.

Signalling radio bearer: SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### *RRCConnectionSetupComplete-NB* message

```
-- ASN1START
RRCConnectionSetupComplete-NB ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        rrcConnectionSetupComplete-r13 RRCConnectionSetupComplete-NB-r13-IEs,
        criticalExtensionsFuture SEQUENCE {}
    }
}

RRCConnectionSetupComplete-NB-r13-IEs ::= SEQUENCE {
    selectedPLMN-Identity-r13 INTEGER (1..maxPLMN-r11),
    s-TMSI-r13 S-TMSI OPTIONAL,
    registeredMME-r13 RegisteredMME OPTIONAL,
    dedicatedInfoNAS-r13 DedicatedInfoNAS,
    attachWithoutPDN-Connectivity-r13 ENUMERATED {true} OPTIONAL,
    up-CIoT-EPS-Optimisation-r13 ENUMERATED {true} OPTIONAL,
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension RRCConnectionSetupComplete-NB-v1430-IEs OPTIONAL
}
-- ASN1STOP
```

```

RRCConnectionSetupComplete-NB-v1430-IEs ::= SEQUENCE {
    gummei-Type-r14                ENUMERATED { mapped}    OPTIONAL,
    dcn-ID-r14                      INTEGER (0..65535)    OPTIONAL,
    nonCriticalExtension             RRCConnectionSetupComplete-NB-v1470-IEs OPTIONAL
}

RRCConnectionSetupComplete-NB-v1470-IEs ::= SEQUENCE {
    measResultServCell-r14         MeasResultServCell-NB-r14    OPTIONAL,
    nonCriticalExtension             SEQUENCE {}                OPTIONAL
}

-- ASN1STOP

```

#### ***RRCConnectionSetupComplete-NB* field descriptions**

|  |
|--|
| <b><i>attachWithoutPDN-Connectivity</i></b>  |
| This field is used to indicate that the UE performs an Attach without PDN connectivity procedure, as indicated by the upper layers, TS 24.301 [35].  |
| <b><i>dcn-ID</i></b>   |
| The Dedicated Core Network Identity, see TS 23.401 [41].   |
| <b><i>gummei-Type</i></b>  |
| This field is used to indicate that the GUMMEI included is mapped (from 2G/3G identifiers) as indicated by the upper layers, TS 24.301 [35].   |
| <b><i>measResultServCell</i></b>   |
| This field refers to the last idle mode measurement results taken of the serving cell.   |
| <b><i>registeredMME</i></b>  |
| This field is used to transfer the GUMMEI of the MME where the UE is registered, as provided by upper layers.  |
| <b><i>selectedPLMN-Identity</i></b>  |
| Index of the PLMN selected by the UE from the <i>plmn-IdentityList</i> included in <i>SystemInformationBlockType1-NB</i> . 1 if the 1st PLMN is selected from the <i>plmn-IdentityList</i> included in SIB1, 2 if the 2nd PLMN is selected from the <i>plmn-IdentityList</i> included in SIB1 and so on. |
| <b><i>up-CIoT-EPS-Optimisation</i></b>   |
| This field is included when the UE supports S1-U data transfer or the User plane CiOT EPS Optimisation, as indicated by the upper layers, see TS 24.301 [35].  |

#### ***RRCEarlyDataComplete-NB***

The *RRCEarlyDataComplete-NB* message is used to confirm the successful completion of the CP-EDT procedure.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

#### ***RRCEarlyDataComplete-NB* message**

```

-- ASN1START

RRCEarlyDataComplete-NB-r15 ::= SEQUENCE {
    criticalExtensions             CHOICE {
        rrcEarlyDataComplete-r15  RRCEarlyDataComplete-NB-r15-IEs,
        criticalExtensionsFuture   SEQUENCE {}
    }
}

RRCEarlyDataComplete-NB-r15-IEs ::= SEQUENCE {
    dedicatedInfoNAS-r15          DedicatedInfoNAS                OPTIONAL, -- Need ON
    extendedWaitTime-r15         INTEGER (1..1800)                OPTIONAL, -- Need ON
    redirectedCarrierInfo-r15     RedirectedCarrierInfo-NB-r13  OPTIONAL, -- Need ON
    redirectedCarrierInfoExt-r15  RedirectedCarrierInfo-NB-v1430 OPTIONAL, -- Cond
    redirection
    nonCriticalExtension          RRCEarlyDataComplete-NB-v1590-IEs OPTIONAL
}

RRCEarlyDataComplete-NB-v1590-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING                OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                OPTIONAL
}

```

```
}
-- ASN1STOP
```

#### ***RRCEarlyDataComplete-NB* field descriptions**

##### ***extendedWaitTime***

Value in seconds.

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| <i>Redirection</i>          | The field is optionally present, Need ON, if <i>redirectedCarrierInfo</i> is included; otherwise the field is not present. |

#### ***RRCEarlyDataRequest-NB***

The *RRCEarlyDataRequest-NB* message is used to initiate CP-EDT.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

#### ***RRCEarlyDataRequest-NB* message**

```
-- ASN1START
RRCEarlyDataRequest-NB-r15 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        rrcEarlyDataRequest-r15 RRCEarlyDataRequest-NB-r15-IEs,
        criticalExtensionsFuture SEQUENCE {}
    }
}
RRCEarlyDataRequest-NB-r15-IEs ::= SEQUENCE {
    s-TMSI-r15                S-TMSI,
    establishmentCause-r15    ENUMERATED {mo-Data, mo-ExceptionData, delayTolerantAccess,
    spare1},
    cqi-NPDCCH-r15            CQI-NPDCCH-NB-r14                OPTIONAL,
    dedicatedInfoNAS-r15      DedicatedInfoNAS,
    nonCriticalExtension       RRCEarlyDataRequest-NB-v1590-IEs    OPTIONAL
}
RRCEarlyDataRequest-NB-v1590-IEs ::= SEQUENCE {
    lateNonCriticalExtension    OCTET STRING                OPTIONAL,
    nonCriticalExtension        SEQUENCE {}                OPTIONAL
}
-- ASN1STOP
```

#### ***RRCEarlyDataRequest-NB* field descriptions**

##### ***establishmentCause***

Provides the establishment cause for the RRC early data request as provided by the upper layers.  
eNB is not expected to reject a *RRCEarlyDataRequest* due to unknown cause value being used by the UE.

#### ***SCPTMConfiguration-NB***

The *SCPTMConfiguration-NB* message contains the control information applicable for MBMS services transmitted via SC-MRB.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: SC-MCCH

Direction: E-UTRAN to UE

### **SCPTMConfiguration-NB message**

```
-- ASN1START
SCPTMConfiguration-NB-r14 ::= SEQUENCE {
    sc-mtch-InfoList-r14          SC-MTCH-InfoList-NB-r14,
    sctm-NeighbourCellList-r14   SCPTM-NeighbourCellList-NB-r14   OPTIONAL, -- Need OP
    lateNonCriticalExtension      OCTET STRING                       OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                               OPTIONAL
}
-- ASN1STOP
```

#### **SCPTMConfiguration-NB field descriptions**

##### **sc-mtch-InfoList**

Provides the configuration of each SC-MTCH in the current cell.

##### **sctm-NeighbourCellList**

List of neighbour cells providing MBMS services via SC-MRB. When absent, the UE shall assume that MBMS services listed in the *SCPTMConfiguration-NB* message are not provided via SC-MRB in any neighbour cell.

### **SystemInformation-NB**

The *SystemInformation-NB* message is used to convey one or more System Information Blocks. All the SIBs included are transmitted with the same periodicity.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### **SystemInformation-NB message**

```
-- ASN1START
SystemInformation-NB ::= SEQUENCE {
    criticalExtensions          CHOICE {
        systemInformation-r13   SystemInformation-NB-r13-IEs,
        criticalExtensionsFuture SEQUENCE {}
    }
}
SystemInformation-NB-r13-IEs ::= SEQUENCE {
    sib-TypeAndInfo-r13        SEQUENCE (SIZE (1..maxSIB)) OF CHOICE {
        sib2-r13                SystemInformationBlockType2-NB-r13,
        sib3-r13                SystemInformationBlockType3-NB-r13,
        sib4-r13                SystemInformationBlockType4-NB-r13,
        sib5-r13                SystemInformationBlockType5-NB-r13,
        sib14-r13               SystemInformationBlockType14-NB-r13,
        sib16-r13               SystemInformationBlockType16-NB-r13,
        . . . ,
        sib15-v1430             SystemInformationBlockType15-NB-r14,
        sib20-v1430             SystemInformationBlockType20-NB-r14,
        sib22-v1430             SystemInformationBlockType22-NB-r14,
        sib23-v1530             SystemInformationBlockType23-NB-r15
    },
    lateNonCriticalExtension    OCTET STRING                       OPTIONAL,
    nonCriticalExtension        SEQUENCE {}                               OPTIONAL
}
-- ASN1STOP
```



## – SystemInformationBlockType1-NB

The *SystemInformationBlockType1-NB* message contains information relevant when evaluating if a UE is allowed to access a cell and defines the scheduling of other system information.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### SystemInformationBlockType1-NB message

```
-- ASN1START

SystemInformationBlockType1-NB ::= SEQUENCE {
    hyperSFN-MSB-r13          BIT STRING (SIZE (8)),
    cellAccessRelatedInfo-r13 SEQUENCE {
        plmn-IdentityList-r13    PLMN-IdentityList-NB-r13,
        trackingAreaCode-r13     TrackingAreaCode,
        cellIdentity-r13         CellIdentity,
        cellBarred-r13           ENUMERATED {barred, notBarred},
        intraFreqReselection-r13 ENUMERATED {allowed, notAllowed}
    },
    cellSelectionInfo-r13      SEQUENCE {
        q-RxLevMin-r13           Q-RxLevMin,
        q-QualMin-r13            Q-QualMin-r9
    },
    p-Max-r13                  P-Max OPTIONAL, -- Need OP
    freqBandIndicator-r13      FreqBandIndicator-NB-r13,
    freqBandInfo-r13           NS-PmaxList-NB-r13 OPTIONAL, -- Need OR
    multiBandInfoList-r13     MultiBandInfoList-NB-r13 OPTIONAL, -- Need OR
    downlinkBitmap-r13        DL-Bitmap-NB-r13 OPTIONAL, -- Cond SIB1
    eutraControlRegionSize-r13 ENUMERATED {n1, n2, n3} OPTIONAL, -- Cond inband
    nrs-CRS-PowerOffset-r13   ENUMERATED {dB-6, dB-4dot77, dB-3,
        dB-1dot77, dB0, dB1,
        dB1dot23, dB2, dB3,
        dB4, dB4dot23, dB5,
        dB6, dB7, dB8,
        dB9} OPTIONAL, -- Cond inband-SamePCI

    schedulingInfoList-r13     SchedulingInfoList-NB-r13,
    si-WindowLength-r13       ENUMERATED {ms160, ms320, ms480, ms640,
        ms960, ms1280, ms1600, spare1},
    si-RadioFrameOffset-r13    INTEGER (1..15) OPTIONAL, -- Need OP
    systemInfoValueTagList-r13 SystemInfoValueTagList-NB-r13 OPTIONAL, -- Need OR
    lateNonCriticalExtension   OCTET STRING OPTIONAL,
    nonCriticalExtension       SystemInformationBlockType1-NB-v1350 OPTIONAL
}

SystemInformationBlockType1-NB-v1350 ::= SEQUENCE {
    cellSelectionInfo-v1350    CellSelectionInfo-NB-v1350 OPTIONAL, -- Cond Qrxlevmin
    nonCriticalExtension       SystemInformationBlockType1-NB-v1430 OPTIONAL
}

SystemInformationBlockType1-NB-v1430 ::= SEQUENCE {
    cellSelectionInfo-v1430    CellSelectionInfo-NB-v1430 OPTIONAL, -- Need OR
    nonCriticalExtension       SystemInformationBlockType1-NB-v1450
    OPTIONAL
}

SystemInformationBlockType1-NB-v1450 ::= SEQUENCE {
    nrs-CRS-PowerOffset-v1450 ENUMERATED {dB-6, dB-4dot77, dB-3,
        dB-1dot77, dB0, dB1,
        dB1dot23, dB2, dB3,
        dB4, dB4dot23, dB5,
        dB6, dB7, dB8,
        dB9} OPTIONAL, -- Cond inband-SamePCI-

ExceptAnchor
    nonCriticalExtension       SystemInformationBlockType1-NB-v1530
    OPTIONAL
}

SystemInformationBlockType1-NB-v1530 ::= SEQUENCE {
    tdd-Parameters-r15        SEQUENCE {
```

```

        tdd-Config-r15                TDD-Config-NB-r15,
        tdd-SI-CarrierInfo-r15        ENUMERATED {anchor, non-anchor},
        tdd-SI-SubframesBitmap-r15   DL-Bitmap-NB-r13          OPTIONAL    -- Cond TDD-SI-
NonAnchor
    } OPTIONAL,    -- Cond TDD
    schedulingInfoList-v1530          SchedulingInfoList-NB-v1530  OPTIONAL,    -- Need OR
    nonCriticalExtension              SEQUENCE {}                  OPTIONAL
}

PLMN-IdentityList-NB-r13 ::=        SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-NB-r13

PLMN-IdentityInfo-NB-r13 ::=        SEQUENCE {
    plmn-Identity-r13                PLMN-Identity,
    cellReservedForOperatorUse-r13   ENUMERATED {reserved, notReserved},
    attachWithoutPDN-Connectivity-r13 ENUMERATED {true}          OPTIONAL    -- Need OP
}

SchedulingInfoList-NB-r13 ::= SEQUENCE (SIZE (1..maxSI-Message-NB-r13)) OF SchedulingInfo-NB-r13

SchedulingInfoList-NB-v1530 ::= SEQUENCE (SIZE (1..maxSI-Message-NB-r13)) OF SchedulingInfo-NB-v1530

SchedulingInfo-NB-r13 ::=          SEQUENCE {
    si-Periodicity-r13              ENUMERATED {rf64, rf128, rf256, rf512,
                                                    rf1024, rf2048, rf4096, spare},
    si-RepetitionPattern-r13        ENUMERATED {every2ndRF, every4thRF, every8thRF, every16thRF},
    sib-MappingInfo-r13             SIB-MappingInfo-NB-r13,
    si-TB-r13                       ENUMERATED {b56, b120, b208, b256, b328, b440, b552, b680}
}

SchedulingInfo-NB-v1530 ::=        SEQUENCE {
    sib-MappingInfo-v1530           SIB-MappingInfo-NB-v1530    OPTIONAL    -- Need OR
}

SystemInfoValueTagList-NB-r13 ::= SEQUENCE (SIZE (1..maxSI-Message-NB-r13)) OF
    SystemInfoValueTagSI-r13

SIB-MappingInfo-NB-r13 ::=        SEQUENCE (SIZE (0..maxSIB-1)) OF SIB-Type-NB-r13

SIB-MappingInfo-NB-v1530 ::=      SEQUENCE (SIZE (1..8)) OF SIB-Type-NB-v1530

SIB-Type-NB-r13 ::=              ENUMERATED {
    sibType3-NB-r13, sibType4-NB-r13, sibType5-NB-r13,
    sibType14-NB-r13, sibType16-NB-r13, sibType15-NB-r14,
    sibType20-NB-r14, sibType22-NB-r14}

SIB-Type-NB-v1530 ::=            ENUMERATED {
    sibType23-NB-r15, spare7, spare6, spare5,
    spare4, spare3, spare2, spare1}

CellSelectionInfo-NB-v1350 ::=    SEQUENCE {
    delta-RxLevMin-v1350           INTEGER (-8..-1)
}

CellSelectionInfo-NB-v1430 ::=    SEQUENCE {
    powerClass14dBm-Offset-r14     ENUMERATED {dB-6, dB-3, dB3, dB6, dB9, dB12}    OPTIONAL, --
    Need OP
    ce-authorisationOffset-r14     ENUMERATED {dB5, dB10, dB15, dB20, dB25, dB30, dB35}
}

-- ASN1STOP

```

| <b>SystemInformationBlockType1-NB field descriptions</b>   |
|--|
| <p><b>attachWithoutPDN-Connectivity</b><br/>If present, the field indicates that attach without PDN connectivity as specified in TS 24.301 [35] is supported for this PLMN.</p>  |
| <p><b>ce-authorisationOffset</b><br/>Parameter "Qoffset<sub>authorization</sub>" in TS 36.304 [4]. Value in dB. Value dB5 corresponds to 5 dB, dB10 corresponds to 10 dB and so on.<br/>If the field is absent, the value of 0 dB shall be used for "Qoffset<sub>authorization</sub>".</p>   |
| <p><b>cellBarred</b><br/>Barred means the cell is barred, as defined in TS 36.304 [4].</p>   |
| <p><b>cellIdentity</b><br/>Indicates the cell identity.</p>  |
| <p><b>cellReservedForOperatorUse</b><br/>As defined in TS 36.304 [4].</p>  |
| <p><b>cellSelectionInfo</b><br/>Cell selection information as specified in TS 36.304 [4].</p>  |
| <p><b>downlinkBitmap</b><br/>For FDD, NB-IoT downlink subframe configuration for downlink transmission as specified in TS 36.213 [23], clause 16.4.<br/>For TDD, NB-IoT downlink, uplink and special subframes configuration for transmission on the anchor carrier as specified in TS 36.213 [23], clause 16.4. If the bitmap is not present, the UE shall assume that all subframes are valid (except for subframes carrying NPSS/NSSS/NPBCH/SIB1-NB) as specified in TS 36.213 [23], clause 16.4.</p> |
| <p><b>eutraControlRegionSize</b><br/>Indicates the control region size of the E-UTRA cell for the in-band operation mode, see TS 36.213 [23]. Unit is in number of OFDM symbols.</p>   |
| <p><b>freqBandInfo</b><br/>A list of <i>additionalPmax</i> and <i>additionalSpectrumEmission</i> values as defined in TS 36.101 [42], clause 6.2.4F for the frequency band in <i>freqBandIndicator</i>.</p>  |
| <p><b>hyperSFN-MSB</b><br/>Indicates the 8 most significant bits of hyper-SFN. Together with hyperSFN-LSB in MIB-NB, the complete hyper-SFN is built up. hyper-SFN is incremented by one when the SFN wraps around.</p>  |
| <p><b>intraFreqReselection</b><br/>Used to control cell reselection to intra-frequency cells when the highest ranked cell is barred, or treated as barred by the UE, as specified in TS 36.304 [4].</p>  |
| <p><b>multiBandInfoList</b><br/>A list of additional frequency band indicators, <i>additionalPmax</i> and <i>additionalSpectrumEmission</i> values, as defined in TS 36.101 [42], table 5.5-1. If the UE supports the frequency band in the <i>freqBandIndicator</i> IE it shall apply that frequency band. Otherwise, the UE shall apply the first listed band which it supports in the <i>multiBandInfoList</i> IE.</p>  |
| <p><b>nrs-CRS-PowerOffset</b><br/>NRS power offset between NRS and E-UTRA CRS, see TS 36.213 [23], clause 16.2.2. Unit in dB. Default value of 0.</p>  |
| <p><b>plmn-IdentityList</b><br/>List of PLMN identities. The first listed <i>PLMN-Identity</i> is the primary PLMN.</p>  |
| <p><b>powerClass14dBm-Offset</b><br/>Parameter "Poffset" in TS 36.304 [4]. Only applicable for UE supporting <i>powerClassNB-14dBm</i>. Value in dB. Value dB-6 corresponds to -6 dB, dB-3 corresponds to -3 dB and so on. If the field is absent, the UE applies the (default) value of 0 dB for "Poffset" in TS 36.304 [4].</p>  |
| <p><b>p-Max</b><br/>Value applicable for the cell. If absent the UE applies the maximum power according to the UE capability.</p>  |
| <p><b>q-QualMin</b><br/>Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4].</p>  |
| <p><b>q-RxLevMin, delta-RxLevMin</b><br/>Parameter Q<sub>rxlevmin</sub> in TS 36.304 [4]. If <i>delta-RxLevMin</i> is not included, actual value Q<sub>rxlevmin</sub> = <i>q-RxLevMin</i> * 2 [dBm]. If <i>delta-RxLevMin</i> is included, actual value Q<sub>rxlevmin</sub> = (<i>q-RxLevMin</i> + <i>delta-RxLevMin</i>) * 2 [dBm].</p>  |
| <p><b>schedulingInfoList</b><br/>Indicates additional scheduling information of SI messages. The <i>schedulingInfoList-v1530</i> (if present) provides additional SIBs mapped into the SI message scheduled via <i>schedulingInfoList-r13</i>. If E-UTRAN includes <i>schedulingInfoList-v1530</i>, it includes the same number of entries, and listed in the same order, as in <i>schedulingInfoList-r13</i>.</p>   |
| <p><b>si-Periodicity</b><br/>Periodicity of the SI-message in radio frames, such that rf256 denotes 256 radio frames, rf512 denotes 512 radio frames, and so on.</p>   |
| <p><b>si-RadioFrameOffset</b><br/>Offset in number of radio frames to calculate the start of the SI window.<br/>If the field is absent, no offset is applied.</p>  |

| <b>SystemInformationBlockType1-NB field descriptions</b> |   |
|--|---|
| <b>si-RepetitionPattern</b>                              | Indicates the starting radio frames within the SI window used for SI message transmission. Value every2ndRF corresponds to every 2 radio frames, value every4thRF corresponds to every 4 radio frames and so on. The first transmission of the SI message is transmitted from the first radio frame of the SI window.   |
| <b>si-TB</b>   | This field indicates the transport block size in number of bits and the corresponding number of consecutive NB-IoT downlink subframes that are used to broadcast the SI message. Value b56 corresponds to 56 bits, b120 corresponds to 120 bits and so on. TBS of 56 bits and 120 bits are transmitted over 2 sub-frames, other TBS are transmitted over 8 sub-frames, see TS 36.213 [23], Table 16.4.1.5.1-1.  |
| <b>si-WindowLength</b>                                   | Common SI scheduling window for all SIs. Unit in milliseconds, where ms160 denotes 160 milliseconds, ms320 denotes 320 milliseconds and so on.  |
| <b>sib-MappingInfo</b>                                   | List of the SIBs mapped to this <i>SystemInformation</i> message. There is no mapping information of SIB2-NB; it is always present in the first <i>SystemInformation</i> message listed in the <i>schedulingInfoList-r13</i> list. If present, <i>sib-MappingInfo-v1530</i> indicates one or more additional SIBs mapped to the concerned SI message listed in the <i>schedulingInfoList-r13</i> list. If <i>schedulingInfoList-v1530</i> is present, E-UTRAN ensures that the total number of entries of this field plus <i>sib-MappingInfo-r13</i> shall not exceed the value of <i>maxSIB-1</i> .  |
| <b>systemInfoValueTagList</b>                            | Indicates SI message specific value tags. It includes the same number of entries, and listed in the same order, as in <i>SchedulingInfoList</i> .   |
| <b>systemInfoValueTagSI</b>                              | SI message specific value tag as specified in Clause 5.2.1.3. Common for all SIBs within the SI message other than SIB14-NB.  |
| <b>tdd-Config</b>  | Indicates the the TDD specific physical channel configuration.  |
| <b>tdd-SI-CarrierInfo</b>                                | Carrier used for SI message transmission. Value <i>anchor</i> corresponds to anchor carrier, value <i>non-anchor</i> corresponds to non-anchor carrier. See TS 36.213 [23].<br>When <i>tdd-SI-CarrierInfo</i> set to value <i>non-anchor</i> then <i>sib-GuardbandInfo</i> in MIB-TDD-NB (in case of <i>operationmodeInfo</i> is set to <i>guardband</i> ) or <i>sib-InbandLocation</i> in MIB-TDD-NB (in case of <i>operationmodeInfo</i> is set to <i>inband-SamePCI</i> or <i>inband-DifferentPCI</i> ) or <i>sib-StandaloneLocation</i> in MIB-TDD-NB (in case of <i>operationmodeInfo</i> is set to <i>standalone</i> ) defines which non-anchor carrier is used (see MIB-NB-TDD). |
| <b>tdd-SI-SubframesBitmap</b>                            | NB-IoT downlink, uplink and special subframes configuration for transmission on the carrier carrying the SI message as specified in TS 36.213 [23], clause 16.4.  |
| <b>trackingAreaCode</b>                                  | A <i>trackingAreaCode</i> that is common for all the PLMNs listed.  |

| Conditional presence               | Explanation   |
|------------------------------------|---|
| <i>inband</i>                      | In FDD: The field is mandatory present if IE <i>operationModeInfo</i> in MIB-NB is set to <i>inband-SamePCI</i> or <i>inband-DifferentPCI</i> . Otherwise the field is not present.<br>In TDD: The field is mandatory present if:<br>- IE <i>operationModeInfo</i> in MIB-TDD-NB is set to <i>inband-SamePCI</i> or <i>inband-DifferentPCI</i> or<br>- IE <i>operationModeInfo</i> in MIB-TDD-NB is set to <i>guardband</i> and IE <i>sib-GuardbandInfo</i> in MIB-TDD-NB is set to <i>sib-GuardbandInbandSamePCI</i> or <i>sib-GuardbandInbandDiffPCI</i> and IE <i>tdd-SI-CarrierInfo</i> is set to <i>non-anchor</i> |
| <i>inband-SamePCI</i>              | The field is mandatory present, if IE <i>operationModeInfo</i> in MIB-NB is set to <i>inband-SamePCI</i> . Otherwise the field is not present.  |
| <i>inband-SamePCI-ExceptAnchor</i> | The field is optionally present if IE <i>operationModeInfo</i> in MIB-NB is set to a value other than <i>inband-SamePCI</i> , and at least one non-anchor carrier is inband carrier and uses the same PCI as the E-UTRA carrier. Otherwise the field is not present.  |
| <i>Qrxlevmin</i>                   | This field is optionally present, Need OR, if <i>q-RxLevMin</i> is set to the minimum value. Otherwise the field is not present.  |
| <i>SIB1</i>                        | The field is mandatory present if IE <i>additionalTransmissionSIB1</i> in MIB-NB is set to <i>TRUE</i> . Otherwise the field is optionally present, Need OP.  |
| <i>TDD</i>                         | The field is mandatory present for TDD; otherwise the field is not present and the UE shall delete any existing value for this field.   |
| <i>TDD-SI-NonAnchor</i>            | The field is mandatory present for TDD if <i>si-CarrierInfo</i> is set to <i>non-anchor</i> ; otherwise the field is not present and the UE shall delete any existing value for this field.   |

– ***UECapabilityEnquiry-NB***

The *UECapabilityEnquiry-NB* message is used to request the transfer of UE radio access capabilities for NB-IoT.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

***UECapabilityEnquiry-NB* message**

```
-- ASN1START
UECapabilityEnquiry-NB ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                       CHOICE {
            ueCapabilityEnquiry-r13  UECapabilityEnquiry-NB-r13-IEs,
            spare1                   NULL
        },
        criticalExtensionsFuture     SEQUENCE {}
    }
}

UECapabilityEnquiry-NB-r13-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING                OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                  OPTIONAL
}
-- ASN1STOP
```

– ***UECapabilityInformation-NB***

The *UECapabilityInformation-NB* message is used to transfer of UE radio access capabilities requested by the E-UTRAN.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

***UECapabilityInformation-NB* message**

```
-- ASN1START
UECapabilityInformation-NB ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        ueCapabilityInformation-r13  UECapabilityInformation-NB-r13-IEs,
        criticalExtensionsFuture     SEQUENCE {}
    }
}

UECapabilityInformation-NB-r13-IEs ::= SEQUENCE {
    ue-Capability-r13             UE-Capability-NB-r13,
    ue-RadioPagingInfo-r13       UE-RadioPagingInfo-NB-r13,
    lateNonCriticalExtension      OCTET STRING                OPTIONAL,
    nonCriticalExtension          UECapabilityInformation-NB-Ext-r14-IEs
    OPTIONAL
}

UECapabilityInformation-NB-Ext-r14-IEs ::= SEQUENCE {
    ue-Capability-ContainerExt-r14 OCTET STRING (CONTAINING UE-Capability-NB-Ext-r14-IEs),
    nonCriticalExtension          SEQUENCE {}                  OPTIONAL
}
-- ASN1STOP
```

```
-- ASN1STOP
```

#### **UECapabilityInformation-NB field descriptions**

##### **ue-RadioPagingInfo**

This field contains UE capability information used for paging.

### – **ULInformationTransfer-NB**

The *ULInformationTransfer-NB* message is used for the uplink transfer of NAS information.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### **ULInformationTransfer-NB message**

```
-- ASN1START
ULInformationTransfer-NB ::= SEQUENCE {
    criticalExtensions          CHOICE {
        ulInformationTransfer-r13    ULInformationTransfer-NB-r13-IEs,
        criticalExtensionsFuture     SEQUENCE {}
    }
}

ULInformationTransfer-NB-r13-IEs ::= SEQUENCE {
    dedicatedInfoNAS-r13          DedicatedInfoNAS,
    lateNonCriticalExtension      OCTET STRING OPTIONAL,
    nonCriticalExtension          SEQUENCE {}          OPTIONAL
}
-- ASN1STOP
```

## 6.7.3 NB-IoT information elements

### 6.7.3.1 NB-IoT System information blocks

#### – **SystemInformationBlockType2-NB**

The IE *SystemInformationBlockType2-NB* contains radio resource configuration information that is common for all UEs.

NOTE: UE timers and constants related to functionality for which parameters are provided in another SIB are included in the corresponding SIB.

#### **SystemInformationBlockType2-NB information element**

```
-- ASN1START
SystemInformationBlockType2-NB-r13 ::= SEQUENCE {
    radioResourceConfigCommon-r13    RadioResourceConfigCommonSIB-NB-r13,
    ue-TimersAndConstants-r13        UE-TimersAndConstants-NB-r13,
    freqInfo-r13                     SEQUENCE {
        ul-CarrierFreq-r13            CarrierFreq-NB-r13          OPTIONAL,  -- Need OP
        additionalSpectrumEmission-r13 AdditionalSpectrumEmission
    },
    timeAlignmentTimerCommon-r13     TimeAlignmentTimer,
    multiBandInfoList-r13            SEQUENCE (SIZE (1..maxMultiBands)) OF AdditionalSpectrumEmission
    OPTIONAL,  -- Need OR
    lateNonCriticalExtension          OCTET STRING          OPTIONAL,
}
-- ASN1STOP
```

```

    . . . .
    [[ cp-Reestablishment-r14          ENUMERATED {true}          OPTIONAL          -- Need
OP  ]],
    [[ servingCellMeasInfo-r14       ENUMERATED {true}          OPTIONAL,         -- Need
OR  cqi-Reporting-r14                ENUMERATED {true}          OPTIONAL          -- Need
OR  ]],
    [[ enhancedPHR-r15                ENUMERATED {true}          OPTIONAL,         -- Need OR
    freqInfo-v1530                    SEQUENCE {
        tdd-UL-DL-AlignmentOffset-r15 TDD-UL-DL-AlignmentOffset-NB-r15
    } OPTIONAL,         -- Cond TDD
    cp-EDT-r15                          ENUMERATED {true}          OPTIONAL,         -- Need OR
    up-EDT-r15                          ENUMERATED {true}          OPTIONAL          -- Need OR
    ]],
}
-- ASN1STOP

```

| <b>SystemInformationBlockType2-NB field descriptions</b> |   |
|--|---|
| <b>additionalSpectrumEmission</b>                        | The UE requirements related to IE <i>AdditionalSpectrumEmission</i> are defined in TS 36.101 [42], clause 6.2.4F.   |
| <b>cp-EDT</b>  | For FDD: This field indicates whether the UE is allowed to initiate CP-EDT, see 5.3.3.1b.   |
| <b>cp-Reestablishment</b>                                | This field indicates if the NB-IoT UE is allowed to trigger RRC connection re-establishment when AS security has not been activated.  |
| <b>cqi-Reporting</b>                                     | For FDD: This field indicates if downlink channel quality reporting in <i>RRCConnectionReestablishmentRequest-NB</i> , <i>RRCConnectionRequest-NB</i> , <i>RRCConnectionResumeRequest-NB</i> and <i>RRCEarlyDataRequest-NB</i> message is allowed.  |
| <b>enhancedPHR</b>                                       | For FDD: This field indicates if the NB-IoT UE is allowed to report enhanced PHR in MSG3 as specified in TS 36.321 [6].   |
| <b>multiBandInfoList</b>                                 | A list of <i>additionalSpectrumEmission</i> i.e. one for each additional frequency band included in <i>multiBandInfoList</i> in <i>SystemInformationBlockType1-NB</i> , listed in the same order.   |
| <b>servingCellMeasInfo</b>                               | This field indicates if serving cell idle mode measurement reporting in <i>RRCConnectionReestablishmentComplete-NB</i> , <i>RRCConnectionResumeComplete-NB</i> and <i>RRCConnectionSetupComplete-NB</i> is allowed.   |
| <b>tdd-UL-DL-AlignmentOffset</b>                         | Indicates the offset between the UL carrier frequency center with respect to DL carrier frequency center for the anchor carrier.  |
| <b>ul-CarrierFreq</b>                                    | For FDD: Uplink carrier frequency as defined in TS 36.101 [42], clause 5.7.3F. If <i>operationModeInfo</i> in the MIB-NB is set to <i>standalone</i> and the field is absent, the value of the carrier frequency is determined by the TX-RX frequency separation defined in TS 36.101 [42], table 5.7.4-1, and the value of the carrier frequency offset is 0. If <i>operationModeInfo</i> in the MIB-NB is not set to <i>standalone</i> , the field is mandatory present.<br>For TDD: This field is absent and the uplink carrier frequency is same as the downlink frequency. |
| <b>up-EDT</b>  | For FDD: This field indicates whether the UE is allowed to initiate UP-EDT, see 5.3.3.1b.   |

| Conditional presence | Explanation   |
|----------------------|---|
| <i>TDD</i>           | The field is mandatory present for TDD; otherwise the field is not present and the UE shall delete any existing value for this field. |

### SystemInformationBlockType3-NB

The IE *SystemInformationBlockType3-NB* contains cell re-selection information common for intra-frequency, and inter-frequency cell re-selection as well as intra-frequency cell re-selection information other than neighbouring cell related.

#### SystemInformationBlockType3-NB information element

```

-- ASN1START

```

```

SystemInformationBlockType3-NB-r13 ::= SEQUENCE {
  cellReselectionInfoCommon-r13 SEQUENCE {
    q-Hyst-r13 ENUMERATED {
      dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
      dB12, dB14, dB16, dB18, dB20, dB22, dB24
    }
  },
  cellReselectionServingFreqInfo-r13 SEQUENCE {
    s-NonIntraSearch-r13 ReselectionThreshold
  },
  intraFreqCellReselectionInfo-r13 SEQUENCE {
    q-RxLevMin-r13 Q-RxLevMin,
    q-QualMin-r13 Q-QualMin-r9 OPTIONAL, -- Need OP
    p-Max-r13 P-Max OPTIONAL, -- Need OP
    s-IntraSearchP-r13 ReselectionThreshold,
    t-Reselection-r13 T-Reselection-NB-r13
  },
  freqBandInfo-r13 NS-PmaxList-NB-r13 OPTIONAL, -- Need OR
  multiBandInfoList-r13 SEQUENCE (SIZE (1..maxMultiBands)) OF
    NS-PmaxList-NB-r13 OPTIONAL, -- Need OR
  lateNonCriticalExtension OCTET STRING OPTIONAL,
  ...,
  [[ intraFreqCellReselectionInfo-v1350 IntraFreqCellReselectionInfo-NB-v1350 OPTIONAL -- Cond
Qrxlevmin
]],
  OR
  [[ intraFreqCellReselectionInfo-v1360 IntraFreqCellReselectionInfo-NB-v1360 OPTIONAL -- Need
OR
]],
  OR
  [[ intraFreqCellReselectionInfo-v1430 IntraFreqCellReselectionInfo-NB-v1430 OPTIONAL -- Need
OR
]],
  OR
  [[ cellReselectionInfoCommon-v1450 CellReselectionInfoCommon-NB-v1450 OPTIONAL -- Need
OR
]],
  [[ nss-RRM-Config-r15 NSS-RRM-Config-NB-r15 OPTIONAL, -- Need OR
    npbch-RRM-Config-r15 ENUMERATED {enabled} OPTIONAL -- Need OR
  ]]
}

IntraFreqCellReselectionInfo-NB-v1350 ::= SEQUENCE {
  delta-RxLevMin-v1350 INTEGER (-8..-1)
}

IntraFreqCellReselectionInfo-NB-v1360 ::= SEQUENCE {
  s-IntraSearchP-v1360 ReselectionThreshold-NB-v1360
}

IntraFreqCellReselectionInfo-NB-v1430 ::= SEQUENCE {
  powerClass14dBm-Offset-r14 ENUMERATED {dB-6, dB-3, dB3, dB6, dB9, dB12} OPTIONAL, --
Need OP
  ce-AuthorisationOffset-r14 ENUMERATED {dB5, dB10, dB15, dB20, dB25, dB30, dB35} OPTIONAL
-- Need OP
}

CellReselectionInfoCommon-NB-v1450 ::= SEQUENCE {
  s-SearchDeltaP-r14 ENUMERATED {dB6, dB9, dB12, dB15}
}

-- ASN1STOP

```



| <b>SystemInformationBlockType3-NB field descriptions</b>   |
|--|
| <p><b>ce-AuthorisationOffset</b><br/>Parameter "Qoffset<sub>authorization</sub>" in TS 36.304 [4]. Value in dB. Value dB5 corresponds to 5 dB, dB10 corresponds to 10 dB and so on.<br/>If the field is absent, the UE applies the value of <i>ce-authorizationOffset</i> in <i>SystemInformationBlockType1-NB</i>.</p>  |
| <p><b>multiBandInfoList</b><br/>A list of <i>additionalPmax</i> and <i>additionalSpectrumEmission</i> values as defined in TS 36.101 [42], clause 6.2.4F, applicable for the intra-frequency neighbouring NB-IoT cells if the UE selects the frequency band from <i>freqBandIndicator</i> in <i>SystemInformationBlockType1-NB</i>.</p>  |
| <p><b>npbch-RRM-Config</b><br/>For FDD: Configuration for NPBCH-based RRM measurements. See TS 36.214 [24].<br/>If enabled, NPBCH can be used in addition to NRS for RRM measurements for serving cell.</p>  |
| <p><b>nsss-RRM-Config</b><br/>For FDD: Configuration for NSSS-based RRM measurements for the serving cell.</p>   |
| <p><b>powerClass14dBm-Offset</b><br/>Parameter "Poffset" in TS 36.304 [4], only applicable for UE supporting <i>powerClassNB-14dBm</i>. Value in dB. Value dB-6 corresponds to -6 dB, dB-3 corresponds to -3 dB and so on. If the field is absent, the UE applies the (default) value of 0 dB for "Poffset" in TS 36.304 [4].</p>  |
| <p><b>p-Max</b><br/>Value applicable for the intra-frequency neighbouring E-UTRA cells. If absent the UE applies the maximum power according to the UE capability.</p>   |
| <p><b>q-Hyst</b><br/>Parameter <math>Q_{hyst}</math> in TS 36.304 [4], Value in dB. Value dB1 corresponds to 1 dB, dB2 corresponds to 2 dB and so on.</p>  |
| <p><b>q-QualMin</b><br/>Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4], applicable for intra-frequency neighbour cells. If the field is not present, the UE applies the (default) value of negative infinity for <math>Q_{qualmin}</math>.</p>   |
| <p><b>q-RxLevMin, delta-RxLevMin</b><br/>Parameter "Q<sub>rxlevmin</sub>" in TS 36.304 [4], applicable for intra-frequency neighbour cells. If <i>delta-RxLevMin</i> is not included, actual value <math>Q_{rxlevmin} = q-RxLevMin * 2</math> [dBm]. If <i>delta-RxLevMin</i> is included, actual value <math>Q_{rxlevmin} = (q-RxLevMin + delta-RxLevMin) * 2</math> [dBm].</p> |
| <p><b>s-IntraSearchP</b><br/>Parameter "S<sub>intraSearchP</sub>" in TS 36.304 [4].<br/>In case <i>s-IntraSearchP-v1360</i> is included, the UE shall ignore <i>s-IntraSearchP</i> (i.e. without suffix).</p>  |
| <p><b>s-NonIntraSearch</b><br/>Parameter "S<sub>nonIntraSearchP</sub>" in TS 36.304 [4].</p>   |
| <p><b>s-SearchDeltaP</b><br/>Parameter "S<sub>searchDeltaP</sub>" in TS 36.304 [4]. This parameter is only applicable for UEs supporting relaxed monitoring as specified in TS 36.306 [5]. Value dB6 corresponds to 6 dB, dB9 corresponds to 9 dB and so on.</p>   |
| <p><b>t-Reselection</b><br/>Parameter "T<sub>reselectionNB-IoT-Intra</sub>" in TS 36.304 [4].</p>  |

| <b>Conditional presence</b> | <b>Explanation</b>   |
|-----------------------------|--|
| Qrxlevmin                   | This field is optionally present, Need OR, if <i>q-RxLevMin</i> is set to the minimum value. Otherwise the field is not present. |

## SystemInformationBlockType4-NB

The IE *SystemInformationBlockType4-NB* contains neighbouring cell related information relevant only for intra-frequency cell re-selection. The IE includes cells with specific re-selection parameters.

### SystemInformationBlockType4-NB information element

```
-- ASN1START
SystemInformationBlockType4-NB-r13 ::= SEQUENCE {
    intraFreqNeighCellList-r13          IntraFreqNeighCellList  OPTIONAL,  -- Need OR
    intraFreqBlackCellList-r13         IntraFreqBlackCellList  OPTIONAL,  -- Need OR
    lateNonCriticalExtension            OCTET STRING           OPTIONAL,
    . . .
    [[ nsss-RRM-Config-r15              NSSS-RRM-Config-NB-r15  OPTIONAL,  -- Need OR
       intraFreqNeighCellList-v1530    IntraFreqNeighCellList-NB-v1530  OPTIONAL,  -- Need OR
    ]]
}
IntraFreqNeighCellList-NB-v1530 ::= SEQUENCE (SIZE (1..maxCellIntra)) OF IntraFreqNeighCellInfo-NB-v1530
```

```
IntraFreqNeighCellInfo-NB-v1530 ::= SEQUENCE {
  nsss-RRM-Config-r15          Nsss-RRM-Config-NB-r15  OPTIONAL  -- Cond Nsss-RRM
}
-- ASN1STOP
```

#### SystemInformationBlockType4-NB field descriptions

|   |
|---|
| <b><i>intraFreqBlackCellList</i></b>  |
| List of blacklisted intra-frequency neighbouring cells.   |
| <b><i>intraFreqNeighCellList</i></b>  |
| List of intra-frequency neighbouring cells with specific cell re-selection parameters.  |
| <b><i>nsss-RRM-Config</i></b>   |
| For FDD: Configuration for Nsss-based RRM measurements.<br>If <i>intraFreqNeighCellList-NB-v1530</i> is present then for a cell which is included in <i>intraFreqNeighCellList</i> , the UE applies the <i>nsss-RRM-Config</i> configured in the corresponding entry of <i>IntraFreqNeighCellList-NB-v1530</i> . Otherwise, the UE applies the <i>nsss-RRM-Config</i> configured in <i>SystemInformationBlockType4-NB-r13</i> . |

| Conditional presence | Explanation  |
|----------------------|--|
| Nsss-RRM             | This field is optionally present, Need OR, when <i>nsss-RRM-Config</i> is present in <i>SystemInformationBlockType4-NB</i> . Otherwise, the field is not present, and the UE shall delete any existing value for this field. |

#### SystemInformationBlockType5-NB

The IE *SystemInformationBlockType5-NB* contains information relevant only for inter-frequency cell re-selection i.e. information about other NB-IoT frequencies and inter-frequency neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

#### SystemInformationBlockType5-NB information element

```
-- ASN1START
SystemInformationBlockType5-NB-r13 ::= SEQUENCE {
  interFreqCarrierFreqList-r13      InterFreqCarrierFreqList-NB-r13,
  t-Reselection-r13                 T-Reselection-NB-r13,
  lateNonCriticalExtension           OCTET STRING                               OPTIONAL,
  . . .
  [[ scptm-FreqOffset-r14            INTEGER (1..8)                               OPTIONAL  -- Need OP
  ]]
}

InterFreqCarrierFreqList-NB-r13 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-NB-r13

InterFreqCarrierFreqInfo-NB-r13 ::= SEQUENCE {
  dl-CarrierFreq-r13                CarrierFreq-NB-r13,
  q-RxLevMin-r13                     Q-RxLevMin,
  q-QualMin-r13                       Q-QualMin-r9                               OPTIONAL,  -- Need OP
  p-Max-r13                           P-Max                                       OPTIONAL,  -- Need OP
  q-OffsetFreq-r13                   Q-OffsetRange                               DEFAULT dB0,
  interFreqNeighCellList-r13         InterFreqNeighCellList-NB-r13              OPTIONAL,  -- Need OR
  interFreqBlackCellList-r13         InterFreqBlackCellList-NB-r13              OPTIONAL,  -- Need OR
  multiBandInfoList-r13              MultiBandInfoList-NB-r13                  OPTIONAL,  -- Need OR
  . . .
  [[ delta-RxLevMin-v1350            INTEGER (-8..-1)                            OPTIONAL  -- Cond Qrxlevmin
  ]],
  [[ powerClass14dBm-Offset-r14      ENUMERATED {dB-6, dB-3, dB3, dB6, dB9, dB12}
  OPTIONAL,  -- Need OP
  ce-AuthorisationOffset-r14         ENUMERATED {dB5, dB10, dB15, dB20, dB25, dB30, dB35}
  OPTIONAL  -- Need OP
  ]],
  [[ nsss-RRM-Config-r15             Nsss-RRM-Config-NB-r15  OPTIONAL,  -- Need OR
  interFreqNeighCellList-v1530      InterFreqNeighCellList-NB-v1530          OPTIONAL  -- Need OR
  ]],
  [[ dl-CarrierFreq-v1550            CarrierFreq-NB-v1550    OPTIONAL  -- Cond TDD
  ]]
}
-- ASN1STOP
```

```

InterFreqNeighCellList-NB-r13 ::= SEQUENCE (SIZE (1..maxCellInter)) OF PhysCellId
InterFreqNeighCellList-NB-v1530 ::= SEQUENCE (SIZE (1..maxCellInter)) OF InterFreqNeighCellInfo-
NB-v1530
InterFreqNeighCellInfo-NB-v1530 ::= SEQUENCE {
    nsss-RRM-Config-r15 Nsss-RRM-Config-NB-r15 OPTIONAL -- Cond Nsss-RRM
}
InterFreqBlackCellList-NB-r13 ::= SEQUENCE (SIZE (1..maxCellBlack)) OF PhysCellId
-- ASN1STOP

```

### SystemInformationBlockType5-NB field descriptions

|                                   |   |
|-----------------------------------|---|
| <b>ce-AuthorisationOffset</b>     | Parameter "Qoffset <sub>authorization</sub> " in TS 36.304 [4]. Value in dB. Value dB5 corresponds to 5 dB, dB10 corresponds to 10 dB and so on. If the field is absent, the UE applies the value of <i>ce-authorisationOffset</i> in <i>SystemInformationBlockType1-NB</i> .   |
| <b>interFreqBlackCellList</b>     | List of blacklisted inter-frequency neighbouring cells.   |
| <b>interFreqCarrierFreqList</b>   | List of neighbouring inter-frequencies. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the E-ARFCN used to indicate this.   |
| <b>interFreqNeighCellList</b>     | List of inter-frequency neighbouring cells. E-UTRAN may include <i>interFreqNeighCellList</i> when including <i>InterFreqNeighCellList-NB-v1530</i> to provide cell specific Nsss-based measurement configuration. The UE that does not support Nsss-based RRM measurements shall ignore this field in this version of the specification.   |
| <b>multiBandInfoList</b>          | Indicates the list of frequency bands, with the associated <i>additionalPmax</i> and <i>additionalSpectrumEmission</i> values as defined in TS 36.101 [42], clause 6.2.4, in addition to the band represented by <i>dl-CarrierFreq</i> for which cell reselection parameters are common.  |
| <b>nsss-RRM-Config</b>            | For FDD: Configuration for Nsss-based RRM measurements.<br>If <i>InterFreqNeighCellList-NB-v1530</i> is present then for a cell which is included in <i>interFreqNeighCellList</i> , the UE applies the <i>nsss-RRM-Config</i> configured in the corresponding entry of <i>InterFreqNeighCellList-NB-v1530</i> . Otherwise, the UE applies the <i>nsss-RRM-Config</i> configured in <i>InterFreqCarrierFreqInfo</i> . |
| <b>p-Max</b>                      | Value applicable for the neighbouring NB-IoT cells on this carrier frequency. If absent the UE applies the maximum power according to the UE capability.  |
| <b>powerClass14dBm-Offset</b>     | Parameter "Poffset" in TS 36.304 [4], only applicable for UE supporting <i>powerClassNB-14dBm</i> . Value in dB. Value dB-6 corresponds to -6 dB, dB-3 corresponds to -3 dB and so on. If the field is absent, the UE applies the (default) value of 0 dB for "Poffset" in TS 36.304 [4].   |
| <b>q-OffsetFreq</b>               | Parameter "Qoffset <sub>frequency</sub> " in TS 36.304 [4].   |
| <b>q-QualMin</b>                  | Parameter "Q <sub>qualmin</sub> " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of negative infinity for <i>Q<sub>qualmin</sub></i> .   |
| <b>q-RxlevMin, delta-RxLevMin</b> | Parameter "Q <sub>RxLevmin</sub> " in TS 36.304 [4]. If <i>delta-RxLevMin</i> is not included, actual value $Q_{rxlevmin} = q-RxLevMin * 2$ [dBm]. If <i>delta-RxLevMin</i> is included, actual value $Q_{rxlevmin} = (q-RxLevMin + delta-RxLevMin) * 2$ [dBm].   |
| <b>scptm-FreqOffset</b>           | Parameter <i>Qoffset<sub>SCPTM</sub></i> in TS 36.304 [4]. Actual value $Qoffset_{SCPTM} = field\ value * 2$ [dB]. If the field is absent, the UE uses infinite dBs for the SC-PTM frequency offset with cell ranking as specified in TS 36.304 [4].  |
| <b>t-Reselection</b>              | Parameter "T <sub>reselectionNB-IoT_Inter</sub> " in TS 36.304 [4].   |

| Conditional presence | Explanation  |
|----------------------|--|
| <i>Nsss-RRM</i>      | This field is optionally present, Need OR, when <i>nsss-RRM-Config</i> is present in <i>InterFreqCarrierFreqInfo</i> . Otherwise, the field is not present, and the UE shall delete any existing value for this field. |
| <i>Qrxlevmin</i>     | This field is optionally present, Need OR, if <i>q-RxLevMin</i> is set to the minimum value. Otherwise the field is not present.   |
| <i>TDD</i>           | The field is optionally present, Need OR, in TDD. Otherwise, the field is not present.   |

## – SystemInformationBlockType14-NB

The IE *SystemInformationBlockType14-NB* contains the AB parameters.

### SystemInformationBlockType14-NB information element

```
-- ASN1START
SystemInformationBlockType14-NB-r13 ::= SEQUENCE {
  ab-Param-r13          CHOICE {
    ab-Common-r13      AB-Config-NB-r13,
    ab-PerPLMN-List-r13 SEQUENCE (SIZE (1..maxPLMN-r11)) OF AB-ConfigPLMN-NB-r13
  }
  lateNonCriticalExtension OCTET STRING
  ...
  [[ ab-PerNRSRP-r15      ENUMERATED {thresh1, thresh2}  OPTIONAL  -- Need OR
  ]]
}

AB-ConfigPLMN-NB-r13 ::= SEQUENCE {
  ab-Config-r13          AB-Config-NB-r13          OPTIONAL -- Need OR
}

AB-Config-NB-r13 ::= SEQUENCE {
  ab-Category-r13      ENUMERATED {a, b, c},
  ab-BarringBitmap-r13 BIT STRING (SIZE(10)),
  ab-BarringForExceptionData-r13 ENUMERATED {true}  OPTIONAL,  -- Need OP
  ab-BarringForSpecialAC-r13 BIT STRING (SIZE(5))
}
-- ASN1STOP
```

### SystemInformationBlockType14-NB field descriptions

|                                   |  |
|-----------------------------------|--|
| <b>ab-BarringBitmap</b>           | Access class barring for AC 0-9. The first/ leftmost bit is for AC 0, the second bit is for AC 1, and so on.   |
| <b>ab-BarringForExceptionData</b> | Indicates whether ExceptionData is subject to access barring.  |
| <b>ab-BarringForSpecialAC</b>     | Access class barring for AC 11-15. The first/ leftmost bit is for AC 11, the second bit is for AC 12, and so on.   |
| <b>ab-Category</b>                | Indicates the category of UEs for which AB applies. Value <i>a</i> corresponds to all UEs, value <i>b</i> corresponds to the UEs that are neither in their HPLMN nor in a PLMN that is equivalent to it, and value <i>c</i> corresponds to the UEs that are neither in the PLMN listed as most preferred PLMN of the country where the UEs are roaming in the operator-defined PLMN selector list on the USIM, nor in their HPLMN nor in a PLMN that is equivalent to their HPLMN, see TS 22.011 [10]. |
| <b>ab-Common</b>                  | The AB parameters applicable for all PLMN(s).  |
| <b>ab-PerNRSRP</b>                | Access barring per NRSRP. Value <i>thresh1</i> corresponds to the first entry configured in <i>rsrp-ThresholdsPrachInfoList</i> , value <i>thresh2</i> corresponds to the second entry configured in <i>rsrp-ThresholdsPrachInfoList</i> .   |
| <b>ab-PerPLMN-List</b>            | The AB parameters per PLMN, listed in the same order as the PLMN(s) occur in <i>plmn-IdentityList</i> in <i>SystemInformationBlockType1-NB</i> .   |

## – SystemInformationBlockType15-NB

The IE *SystemInformationBlockType15-NB* contains the MBMS Service Area Identities (SAI) of the current and/ or neighbouring carrier frequencies.

### SystemInformationBlockType15-NB information element

```
-- ASN1START
SystemInformationBlockType15-NB-r14 ::= SEQUENCE {
  mbms-SAI-IntraFreq-r14      MBMS-SAI-List-r11          OPTIONAL,  -- Need OR
  mbms-SAI-InterFreqList-r14 MBMS-SAI-InterFreqList-NB-r14 OPTIONAL,  -- Need OR
  lateNonCriticalExtension    OCTET STRING          OPTIONAL,
  ...
}
-- ASN1STOP
```

```

MBMS-SAI-InterFreqList-NB-r14 ::= SEQUENCE (SIZE (1..maxFreq)) OF MBMS-SAI-InterFreq-NB-r14
MBMS-SAI-InterFreq-NB-r14 ::= SEQUENCE {
    dl-CarrierFreq-r14          CarrierFreq-NB-r13,
    mbms-SAI-List-r14          MBMS-SAI-List-r11,
    multiBandInfoList-r14     AdditionalBandInfoList-NB-r14  OPTIONAL  -- Need OR
}
-- ASN1STOP

```

#### SystemInformationBlockType15-NB field descriptions

##### **mbms-SAI-InterFreqList**

Contains a list of neighboring frequencies including additional frequency bands, if any, that provide MBMS services and the corresponding MBMS SAIs.

##### **mbms-SAI-IntraFreq**

Contains the list of MBMS SAIs for the current frequency. A duplicate MBMS SAI indicates that this and all following SAIs are not offered by this cell but only by neighbour cells on the current frequency. For MBMS service continuity, the UE shall use all MBMS SAIs listed in *mbms-SAI-IntraFreq* to derive the MBMS frequencies of interest.

##### **mbms-SAI-List**

Contains a list of MBMS SAIs for a specific frequency.

##### **multiBandInfoList**

A list of additional frequency bands applicable for the cells participating in the SC-PTM transmission.

#### SystemInformationBlockType16-NB

The IE *SystemInformationBlockType16-NB* contains information related to GPS time and Coordinated Universal Time (UTC). The UE may use the parameters provided in this system information block to obtain the UTC, the GPS and the local time.

```

-- ASN1START
SystemInformationBlockType16-NB-r13 ::= SystemInformationBlockType16-r11
-- ASN1STOP

```

#### SystemInformationBlockType20-NB

For FDD, the IE *SystemInformationBlockType20-NB* contains the information required to acquire the control information associated with transmission of MBMS using SC-PTM.

#### SystemInformationBlockType20-NB information element

```

-- ASN1START
SystemInformationBlockType20-NB-r14 ::= SEQUENCE {
    npdcch-SC-MCCH-Config-r14          NPDCCH-SC-MCCH-Config-NB-r14,
    sc-mcch-CarrierConfig-r14          CHOICE {
        dl-CarrierConfig-r14          DL-CarrierConfigCommon-NB-r14,
        dl-CarrierIndex-r14          INTEGER (0..maxNonAnchorCarriers-NB-r14)
    },
    sc-mcch-RepetitionPeriod-r14       ENUMERATED {rf32, rf128, rf512, rf1024,
        rf2048, rf4096, rf8192, rf16384},
    sc-mcch-Offset-r14                 INTEGER (0..10),
    sc-mcch-ModificationPeriod-r14    ENUMERATED { rf32, rf128, rf256, rf512, rf1024,
        rf2048, rf4096, rf8192, rf16384, rf32768,
        rf65536, rf131072, rf262144, rf524288,
        rf1048576, spare1},
    sc-mcch-SchedulingInfo-r14         SC-MCCH-SchedulingInfo-NB-r14  OPTIONAL,  -- Need
OP
    lateNonCriticalExtension           OCTET STRING  OPTIONAL,
    ...
}
NPDCCH-SC-MCCH-Config-NB-r14 ::= SEQUENCE {
    npdcch-NumRepetitions-SC-MCCH-r14  ENUMERATED {r1, r2, r4, r8, r16,
        r32, r64, r128, r256,
        r512, r1024, r2048},

```

```

npdcch-StartSF-SC-MCCH-r14      ENUMERATED {v1dot5, v2, v4, v8,
npdcch-Offset-SC-MCCH-r14      ENUMERATED {zero, oneEighth, oneQuarter,
                                     threeEighth, oneHalf, fiveEighth,
                                     threeQuarter, sevenEighth}
}

SC-MCCH-SchedulingInfo-NB-r14 ::= SEQUENCE {
  onDurationTimerSCPTM-r14      ENUMERATED {
    pp1, pp2, pp3, pp4,
    pp8, pp16, pp32, spare},
  drx-InactivityTimerSCPTM-r14  ENUMERATED {
    pp0, pp1, pp2, pp3,
    pp4, pp8, pp16, pp32},
  schedulingPeriodStartOffsetSCPTM-r14 CHOICE {
    sf10      INTEGER(0..9),
    sf20      INTEGER(0..19),
    sf32      INTEGER(0..31),
    sf40      INTEGER(0..39),
    sf64      INTEGER(0..63),
    sf80      INTEGER(0..79),
    sf128     INTEGER(0..127),
    sf160     INTEGER(0..159),
    sf256     INTEGER(0..255),
    sf320     INTEGER(0..319),
    sf512     INTEGER(0..511),
    sf640     INTEGER(0..639),
    sf1024    INTEGER(0..1023),
    sf2048    INTEGER(0..2047),
    sf4096    INTEGER(0..4095),
    sf8192    INTEGER(0..8191)
  },
  ...
}
-- ASN1STOP

```

| <b>SystemInformationBlockType20-NB field descriptions</b>  |
|--|
| <b>dl-CarrierConfig</b><br>Downlink carrier used for SC-MCCH. E-UTRAN cannot configure a downlink carrier operating in mixed operation mode.   |
| <b>dl-CarrierIndex</b><br>Index to a downlink carrier signalled in system information. Value '0' corresponds to the anchor carrier, value '1' corresponds to the first entry in <i>dl-ConfigList</i> in <i>SystemInformationBlockType22-NB</i> , value '2' corresponds to the second entry in <i>dl-ConfigList</i> and so on.  |
| <b>drx-InactivityTimerSCPTM</b><br>Timer for SC-MCCH reception in TS 36.321 [6]. Value in number of NPDCCH periods. Value pp1 corresponds to 1 NPDCCH period, pp2 corresponds to 2 NPDCCH periods and so on.   |
| <b>npdcch-NumRepetitions-SC-MCCH</b><br>The maximum number of NPDCCH repetitions the UE needs to monitor for SC-MCCH multicast search space, see TS 36.213 [23].   |
| <b>npdcch-Offset-SC-MCCH</b><br>Fractional period offset of starting subframe for NPDCCH multicast search space for SC-MCCH, see TS 36.213 [23].   |
| <b>npdcch-StartSF-SC-MCCH</b><br>Starting subframes configuration of the NPDCCH multicast search space for SC-MCCH, see TS 36.213 [23].  |
| <b>onDurationTimerSCPTM</b><br>Timer for SC-MCCH reception in TS 36.321 [6]. Value in number of NPDCCH periods. Value pp1 corresponds to 1 NPDCCH period, pp2 corresponds to 2 NPDCCH periods and so on.   |
| <b>schedulingPeriodStartOffsetSCPTM</b><br><i>SCPTM-SchedulingCycle</i> and <i>SCPTM-SchedulingOffset</i> in TS 36.321 [6]. The value of <i>SCPTM-SchedulingCycle</i> is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of <i>SCPTM-SchedulingOffset</i> is in number of sub-frames.   |
| <b>sc-mcch-CarrierConfig</b><br>Downlink carrier that is used for SC-MCCH.   |
| <b>sc-mcch-ModificationPeriod</b><br>Defines periodically appearing boundaries, i.e. radio frames for which $(H-SFN * 1024 + SFN) \bmod sc-mcch-ModificationPeriod = 0$ . The contents of different transmissions of SC-MCCH information can only be different if there is at least one such boundary in-between them. Value rf32 corresponds to 32 radio frames, value rf128 corresponds to 128 radio frames and so on. |
| <b>sc-mcch-Offset</b><br>Indicates, together with the <i>sc-mcch-RepetitionPeriod</i> , the boundary of the repetition period: $(H-SFN * 1024 + SFN) \bmod sc-mcch-RepetitionPeriod = sc-mcch-Offset$ .  |
| <b>sc-mcch-RepetitionPeriod</b><br>Defines the interval between transmissions of SC-MCCH information, in radio frames. Value rf32 corresponds to 32 radio frames, rf128 corresponds to 128 radio frames and so on.   |
| <b>sc-mcch-SchedulingInfo</b><br>DRX information for the SC-MCCH. If the field is absent, DRX is not used for SC-MCCH reception.   |

## SystemInformationBlockType22-NB

The IE *SystemInformationBlockType22-NB* contains radio resource configuration for paging and random access procedure on non-anchor carriers.

### SystemInformationBlockType22-NB information element

```

-- ASN1START
SystemInformationBlockType22-NB-r14 ::= SEQUENCE {
    dl-ConfigList-r14          DL-ConfigCommonList-NB-r14  OPTIONAL,  -- Need OR
    ul-ConfigList-r14          UL-ConfigCommonList-NB-r14  OPTIONAL,  -- Need OR
    pagingWeightAnchor-r14     PagingWeight-NB-r14      OPTIONAL,  -- Cond pcch-config
    nprach-ProbabilityAnchorList-r14  NPRACH-ProbabilityAnchorList-NB-r14  OPTIONAL,  -- Cond
nprach-config
    lateNonCriticalExtension    OCTET STRING                OPTIONAL,
    . . .
    [[ mixedOperationModeConfig-r15  SEQUENCE {
        dl-ConfigListMixed-r15      DL-ConfigCommonList-NB-r14  OPTIONAL,  -- Cond dl-
ConfigList
        ul-ConfigListMixed-r15      UL-ConfigCommonList-NB-r14  OPTIONAL,  -- Cond ul-
ConfigList
        pagingDistribution-r15       ENUMERATED {true}          OPTIONAL,  -- Need OR
        nprach-Distribution-r15      ENUMERATED {true}          OPTIONAL,  -- Need OR
    }
    ul-ConfigList-r15          UL-ConfigCommonListTDD-NB-r15  OPTIONAL,  -- Cond TDD
]]
-- ASN1END

```

```

}
DL-ConfigCommonList-NB-r14 ::= SEQUENCE (SIZE (1..maxNonAnchorCarriers-NB-r14)) OF
                                DL-ConfigCommon-NB-r14
UL-ConfigCommonList-NB-r14 ::= SEQUENCE (SIZE (1..maxNonAnchorCarriers-NB-r14)) OF
                                UL-ConfigCommon-NB-r14
UL-ConfigCommonListTDD-NB-r15 ::= SEQUENCE (SIZE (1..maxNonAnchorCarriers-NB-r14)) OF
                                UL-ConfigCommonTDD-NB-r15
DL-ConfigCommon-NB-r14 ::= SEQUENCE {
    dl-CarrierConfig-r14          DL-CarrierConfigCommon-NB-r14,
    pcch-Config-r14              PCCH-Config-NB-r14          OPTIONAL, -- Need OR
    ...,
    [[ wus-Config-r15            WUS-ConfigPerCarrier-NB-r15   OPTIONAL   -- Cond WUS
    ]]
}
PCCH-Config-NB-r14 ::= SEQUENCE {
    npdcch-NumRepetitionPaging-r14  ENUMERATED {
        r1, r2, r4, r8, r16, r32, r64, r128,
        r256, r512, r1024, r2048,
        spare4, spare3, spare2, spare1} OPTIONAL, -- Need OP
    pagingWeight-r14                PagingWeight-NB-r14 DEFAULT w1,
    ...
}
PagingWeight-NB-r14 ::= ENUMERATED {w1, w2, w3, w4, w5, w6, w7, w8,
                                     w9, w10, w11, w12, w13, w14, w15, w16}
UL-ConfigCommon-NB-r14 ::= SEQUENCE {
    ul-CarrierFreq-r14              CarrierFreq-NB-r13,
    nprach-ParametersList-r14       NPRACH-ParametersList-NB-r14   OPTIONAL, -- Need OR
    ...,
    [[ nprach-ParametersListEDT-r15 NPRACH-ParametersList-NB-r14   OPTIONAL -- Cond EDT
    ]]
}
UL-ConfigCommonTDD-NB-r15 ::= SEQUENCE {
    tdd-UL-DL-AlignmentOffset-r15   TDD-UL-DL-AlignmentOffset-NB-r15,
    nprach-ParametersListTDD-r15    NPRACH-ParametersListTDD-NB-r15 OPTIONAL, -- Need OR
    ...
}
NPRACH-ProbabilityAnchorList-NB-r14 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF
                                        NPRACH-ProbabilityAnchor-NB-r14
NPRACH-ProbabilityAnchor-NB-r14 ::= SEQUENCE {
    nprach-ProbabilityAnchor-r14     ENUMERATED {
        zero, oneSixteenth, oneFifteenth, oneFourteenth,
        oneThirteenth, oneTwelfth, oneEleventh, oneTenth,
        oneNinth, oneEighth, oneSeventh, oneSixth,
        oneFifth, oneFourth, oneThird, oneHalf}
    OPTIONAL -- Need OP
}
-- ASN1STOP

```



| <b>SystemInformationBlockType2-NB field descriptions</b>  |
|---|
| <p><b>dl-CarrierConfig</b><br/>For FDD: Provides the configuration of the DL non-anchor carrier.<br/>For TDD: Provides the configuration of the non-anchor carrier.</p>   |
| <p><b>dl-ConfigList, dl-ConfigListMixed</b><br/>For FDD: List of DL non-anchor carriers and associated configuration that can be used for paging and/or random access. E-UTRAN configures DL non-anchor carriers operating in mixed operation mode only in <i>dl-ConfigListMixed</i> and only a UE that supports mixed operation mode uses the carriers in <i>dl-ConfigListMixed</i>. A given carrier is either signalled in the <i>dl-ConfigList</i> or in <i>dl-ConfigListMixed</i>.<br/>If <i>dl-ConfigListMixed</i> is present and at least one of the carriers in <i>dl-ConfigListMixed</i> is configured for paging:<br/> <ul style="list-style-type: none"> <li>- If <i>pagingDistribution</i> is present, the UE supporting mixed operation mode creates a combined list of DL carriers for paging by appending <i>dl-ConfigListMixed</i> to the <i>dl-ConfigList</i> while maintaining the order among <i>dl-ConfigList</i> and <i>dl-ConfigListMixed</i>; the total number of signalled DL non-anchor carriers cannot be more than <i>maxNonAnchorCarriers-NB-r14</i>.</li> <li>- If <i>pagingDistribution</i> is absent, the UE supporting mixed operation mode uses the list of DL carriers for paging provided in <i>dl-ConfigListMixed</i> and considers <i>pagingWeightAnchor</i> being set to w0, i.e. the anchor carrier is not used.</li> </ul> Otherwise, the <i>pagingDistribution</i> field is not applicable and the UE shall ignore the value.<br/>For TDD: List of non-anchor carriers and associated configuration that can be used for paging and/or random access.</p> |
| <p><b>mixedOperationModeConfig</b><br/>For FDD: Provides the configuration of DL and UL non-anchor carriers that can be used for paging and random access by a UE that supports mixed operation mode.<br/>For TDD: This parameter is absent.</p>  |
| <p><b>npdcch-NumRepetitionPaging</b><br/>Maximum number of repetitions for NPDCCH common search space (CSS) for paging, see TS 36.213 [23], clause 16.6.<br/>If the field is absent, the value of <i>npdcch-NumRepetitionPaging</i> configured in <i>SystemInformationBlockType2-NB</i> in IE <i>pcch-Config</i> applies.</p>   |
| <p><b>nprach-Distribution</b><br/>Indicates which UL carriers a UE supporting mixed operation mode uses for random access as defined in description of <i>ul-ConfigList</i>, <i>ul-ConfigListMixed</i>.</p>   |
| <p><b>nprach-ParametersList, nprach-ParametersList-EDT</b><br/>Configure NPRACH parameters for each NPRACH resource on one non-anchor UL carrier. Up to three NPRACH resources can be configured on one non-anchor UL carrier. Each NPRACH resource is associated with a different number of NPRACH repetitions.<br/>NPRACH resources in <i>nprach-ParametersList-EDT</i> are used to initiate EDT. Each NPRACH resource is associated with a maximum TBS signalled in the corresponding entry of <i>edt-TBS-InfoList</i> in <i>SystemInformationBlockType2-NB</i>. E-UTRAN includes the same number of entries, and listed in the same order, as in <i>nprach-ParametersList</i> in <i>SystemInformationBlockType2-NB</i>.</p>   |
| <p><b>nprach-ParametersListTDD</b><br/>For TDD: Configure NPRACH parameters for each NPRACH resource on one non-anchor UL carrier. Up to three NPRACH resources can be configured on one non-anchor UL carrier. Each NPRACH resource is associated with a different number of NPRACH repetitions.<br/>E-UTRAN includes the same number of entries in <i>nprach-ParametersListTDD</i>, and listed in the same order, as in <i>nprach-ParametersListTDD</i> in <i>SystemInformationBlockType2-NB</i>.</p>   |
| <p><b>nprach-ProbabilityAnchor</b><br/>Configure the selection probability for the anchor carrier NPRACH resource, see TS 36.321 [6]. Value zero corresponds to a probability of 0, oneSixteenth corresponds to the probability of 1/16, oneFifteenth corresponds to the probability of 1/15, and so on.<br/>If the field is absent, the selection probability of the anchor carrier NPRACH resource is 1.<br/>All non-anchor carriers NPRACH resources have equal probability between them.<br/>If there is no NPRACH resource defined on the anchor carrier for one repetition level in <i>nprach-ParametersList-EDT</i>, (respectively <i>nprach-ParametersListFmt2</i>, <i>nprach-ParametersListFmt2-EDT</i>), the UE shall use the value 'zero' and ignore the signalled value of <i>nprach-ProbabilityAnchor</i> for this repetition level for the NPRACH resources defined by <i>nprach-ParametersList-EDT</i> (respectively <i>nprach-ParametersListFmt2</i>, <i>nprach-ParametersListFmt2-EDT</i>).</p>  |
| <p><b>nprach-ProbabilityAnchorList</b><br/>Configures the selection probability for each NPRACH resource on the anchor carrier.<br/>E-UTRAN includes the same number of entries, and listed in the same order, as in <i>nprach-ParametersList</i> in <i>SystemInformationBlockType2-NB</i>.</p>   |
| <p><b>pagingDistribution</b><br/>Indicates which DL carriers a UE supporting mixed operation mode monitors for paging as defined in description of <i>dl-ConfigList</i>, <i>dl-ConfigListMixed</i>.</p>   |

|  |
|--|
| <b><i>pagingWeight</i></b>   |
| Weight of the non-anchor paging carrier for uneven paging load distribution across the carriers. Value $w_1$ corresponds to a relative weight of 1, $w_2$ corresponds to a relative weight of 2, and so on.<br>The paging load for a carrier 'i' is equal to $w(i)/W$ where i is equal to 0 for the anchor carrier and equal to the index of the carrier in the <i>dl-ConfigList</i> / <i>dl-ConfigListMixed</i> for a non-anchor carrier, W is the sum of the weights of all paging carriers.<br>To avoid correlation between paging carrier and paging occasion, the weights should be assigned such that: $nB * W \leq 16384$ .   |
| <b><i>pagingWeightAnchor</i></b>   |
| Weight of the anchor carrier for uneven paging load distribution across the carriers. Value $w_1$ corresponds to a relative weight of 1, $w_2$ corresponds to a relative weight of 2, and so on.<br>If the field is absent, the (default) value of $w_0$ is applied, i.e. the anchor carrier is not used for paging.   |
| <b><i>pcch-Config</i></b>  |
| Configure the PCCH parameters for the non-anchor DL carrier.   |
| <b><i>tdd-UL-DL-AlignmentOffset</i></b>  |
| Indicates the offset between the UL carrier frequency center with respect to DL carrier frequency center for the non-anchor carrier.   |
| <b><i>ul-CarrierFreq</i></b>   |
| For FDD: UL carrier frequency of the non-anchor carrier as defined in TS 36.101 [42], clause 5.7.3F.<br>For TDD: This field is absent and the uplink carrier frequency is same as the downlink frequency.  |
| <b><i>ul-ConfigList, ul-ConfigListMixed</i></b>  |
| For FDD: List of UL non-anchor carriers and associated configuration that can be used for random access. E-UTRAN configures UL non-anchor carriers operating in mixed operation mode only in <i>ul-ConfigListMixed</i> and only a UE that supports mixed operation mode uses the carriers in <i>ul-ConfigListMixed</i> . A given carrier is either signalled in the <i>ul-ConfigList</i> or in <i>ul-ConfigListMixed</i> .<br>If <i>ul-ConfigListMixed</i> is present and at least one of the carriers in <i>ul-ConfigListMixed</i> is configured for random access: <ul style="list-style-type: none"> <li>- If <i>nprach-Distribution</i> is present, the UE supporting mixed operation mode creates a combined list of UL carriers for random access by appending <i>ul-ConfigListMixed</i> to the <i>ul-ConfigList</i> while maintaining the order among both <i>ul-ConfigList</i> and <i>ul-ConfigListMixed</i>; the total number of signalled UL non-anchor carriers cannot be more than <i>maxNonAnchorCarriers-NB-r14</i>.</li> <li>- If <i>nprach-Distribution</i> is absent, the UE supporting mixed operation mode uses the list of UL carriers for random access provided in <i>ul-ConfigListMixed</i> and considers <i>nprach-ProbabilityAnchor</i> being set to zero for each NPRACH resource, i.e. the anchor carrier is not used for random access.</li> </ul> Otherwise, the <i>nprach-Distribution</i> field is not applicable and the UE shall ignore the value.<br>For TDD: E-UTRAN configures <i>ul-ConfigList-r15</i> and includes the same number of entries as in <i>dl-ConfigList</i> . The UL carrier frequency of the non-anchor carrier is same as the DL carrier frequency. |
| <b><i>wus-Config</i></b>   |
| For FDD: Carrier specific WUS Configuration.   |

| Conditional presence | Explanation   |
|----------------------|---|
| <i>dl-ConfigList</i> | This field is optionally present, Need OR, if the field <i>dl-ConfigList</i> is present. Otherwise the field is not present.  |
| <i>EDT</i>           | The field is optionally present, Need OR, if <i>edt-Parameters</i> in <i>SystemInformationBlockType2-NB</i> is present; otherwise the field is not present and the UE shall delete any existing value for this field.   |
| <i>pcch-config</i>   | This field is optionally present, Need OP, if the field <i>dl-ConfigList</i> is present and at least one of the carriers in <i>dl-ConfigList</i> is configured for paging. Otherwise the field is not present and only the anchor carrier is used for paging.     |
| <i>nprach-config</i> | This field is mandatory present, if the field <i>ul-ConfigList</i> is present and at least one of the carriers in <i>ul-ConfigList</i> is configured for random access. Otherwise the field is not present and only the anchor carrier is used for random access. |
| <i>TDD</i>           | This field is optionally present, Need OR, for TDD. Otherwise the field is not present.   |
| <i>ul-ConfigList</i> | This field is optionally present, Need OR, if the field <i>ul-ConfigList</i> is present. Otherwise the field is not present.  |
| <i>WUS</i>           | This field is mandatory present, if the field <i>wus-Config</i> is present in <i>SystemInformationBlockType2-NB</i> . Otherwise the field is not present, Need OR.  |

### – SystemInformationBlockType23-NB

For FDD, the IE *SystemInformationBlockType23-NB* contains radio resource configuration for NPRACH resources using preamble format 2 on non-anchor carriers.

#### SystemInformationBlockType23-NB information element

```

-- ASN1START
SystemInformationBlockType23-NB-r15 ::= SEQUENCE {
    ul-ConfigList-v1530                UL-ConfigCommonList-NB-v1530    OPTIONAL,  -- Need OR
    ul-ConfigListMixed-v1530          UL-ConfigCommonList-NB-v1530    OPTIONAL,  -- Need OR
    lateNonCriticalExtension           OCTET STRING                    OPTIONAL,
    ...
}

UL-ConfigCommonList-NB-v1530 ::= SEQUENCE (SIZE (1..maxNonAnchorCarriers-NB-r14)) OF
    UL-ConfigCommon-NB-v1530

UL-ConfigCommon-NB-v1530 ::= SEQUENCE {
    nprach-ParametersListFmt2-r15     NPRACH-ParametersListFmt2-NB-r15  OPTIONAL,  -- Need OR
    nprach-ParametersListFmt2EDT-r15  NPRACH-ParametersListFmt2-NB-r15  OPTIONAL,  -- Cond
    EDT                                ...
}
-- ASN1STOP

```

| <b>SystemInformationBlockType23-NB field descriptions</b>  |  |
|--|--|
| <b>nprach-ParametersListFmt2, nprach-ParametersListFmt2EDT</b>   |  |
| Configures NPRACH parameters for each NPRACH resource format 2 on one UL carrier. Up to three NPRACH resources can be configured on one carrier. Each NPRACH resource is associated with a different number of NPRACH repetitions.   |  |
| E-UTRAN includes the same number of entries, and listed in the same order, as in <i>nprach-ParametersList</i> in <i>SystemInformationBlockType2-NB</i> .   |  |
| The NPRACH resources in <i>nprach-ParametersListFmt2EDT</i> are used to initiate EDT. Each NPRACH resource is associated with a TBS signalled in the corresponding entry of <i>edt-TBS-InfoList</i> .  |  |
| E-UTRAN configures the NPRACH resources format 2 so that they do not overlap in time domain with the NPRACH resources configured in <i>nprach-ParametersList</i> and <i>nprach-ParametersListEDT</i> on the same UL carrier.   |  |
| If there is no NPRACH resource in <i>nprach-ParametersListFmt2</i> (respectively <i>nprach-ParametersListFmt2EDT</i> ) on any UL carrier, including the anchor carrier, for one NPRACH repetition level, the UE uses the NPRACH resources in <i>nprach-ParametersList</i> (respectively <i>nprach-ParametersListEDT</i> ) for this NPRACH repetition level. Otherwise, the UE uses only NPRACH resources in <i>nprach-ParametersListFmt2</i> (respectively <i>nprach-ParametersListFmt2EDT</i> ).  |  |
| If E-UTRAN configures NPRACH resources format 2 in one NPRACH repetition level, the E-UTRAN configures NPRACH resources format 2 in all NPRACH repetition levels upwards.  |  |
| <b>ul-ConfigList, ul-ConfigListMixed</b>   |  |
| <i>ul-ConfigList</i> (respectively <i>ul-ConfigListMixed</i> ) is parallel to <i>ul-ConfigList</i> (respectively <i>ul-ConfigListMixed</i> ) in <i>SystemInformationBlockType22-NB</i> .   |  |
| E-UTRAN includes the same number of entries and in the same order in <i>ul-ConfigList</i> (respectively <i>ul-ConfigListMixed</i> ) in <i>SystemInformationBlockType23-NB</i> as in <i>ul-ConfigList</i> (respectively <i>ul-ConfigListMixed</i> ) in <i>SystemInformationBlockType22-NB</i> . The UE combines each entry in <i>ul-ConfigList</i> (respectively <i>ul-ConfigListMixed</i> ) in <i>SystemInformationBlockType23-NB</i> with the corresponding entry in <i>ul-ConfigList</i> (respectively <i>ul-ConfigListMixed</i> ) in <i>SystemInformationBlockType22-NB</i> . |  |

| Conditional presence | Explanation   |
|----------------------|---|
| <i>EDT</i>           | The field is optionally present, Need OR, if <i>edt-Parameters</i> in <i>SystemInformationBlockType2-NB</i> is present; otherwise the field is not present and the UE shall delete any existing value for this field. |

### 6.7.3.2 NB-IoT Radio resource control information elements

#### – *CarrierConfigDedicated-NB*

The IE *CarrierConfigDedicated-NB* is used to specify a carrier in NB-IoT.

#### **CarrierConfigDedicated-NB information elements**

```

-- ASN1START
CarrierConfigDedicated-NB-r13 ::= SEQUENCE {
    dl-CarrierConfig-r13             DL-CarrierConfigDedicated-NB-r13,
    ul-CarrierConfig-r13             UL-CarrierConfigDedicated-NB-r13
}
-- ASN1STOP

```

```

DL-CarrierConfigDedicated-NB-r13 ::= SEQUENCE {
  dl-CarrierFreq-r13          CarrierFreq-NB-r13,
  downlinkBitmapNonAnchor-r13 CHOICE {
    useNoBitmap-r13          NULL,
    useAnchorBitmap-r13     NULL,
    explicitBitmapConfiguration-r13 DL-Bitmap-NB-r13,
    spare                     NULL
  }
  OPTIONAL, -- Need ON
  dl-GapNonAnchor-r13        CHOICE {
    useNoGap-r13             NULL,
    useAnchorGapConfig-r13  NULL,
    explicitGapConfiguration-r13 DL-GapConfig-NB-r13,
    spare                     NULL
  }
  OPTIONAL, -- Need ON
  inbandCarrierInfo-r13      SEQUENCE {
    samePCI-Indicator-r13    CHOICE {
      samePCI-r13           SEQUENCE {
        indexToMidPRB-r13  INTEGER (-55..54)
      },
      differentPCI-r13      SEQUENCE {
        extra-NumCRS-Ports-r13 ENUMERATED {same, four}
      }
    }
    OPTIONAL, -- Cond anchor-guardband-or-standalone
    extraControlRegionSize-r13 ENUMERATED {n1, n2, n3}
  }
  OPTIONAL, -- Cond non-anchor-inband
  ...,
  [[ nrs-PowerOffsetNonAnchor-v1330 ENUMERATED {dB-12, dB-10, dB-8, dB-6,
    dB-4, dB-2, dB0, dB3}
  ]],
  OPTIONAL -- Need ON
  [[ dl-GapNonAnchor-v1530          DL-GapConfig-NB-v1530  OPTIONAL -- Cond TDD1
  ]],
  [[ dl-CarrierFreq-v1550          CarrierFreq-NB-v1550  OPTIONAL -- Cond TDD1
  ]],
  ]],
  ]],
}

UL-CarrierConfigDedicated-NB-r13 ::= SEQUENCE {
  ul-CarrierFreq-r13          CarrierFreq-NB-r13          OPTIONAL, -- Need OP
  ...,
  [[ tdd-UL-DL-AlignmentOffset-r15 TDD-UL-DL-AlignmentOffset-NB-r15 OPTIONAL --
Cond TDD
  ]],
}

-- ASN1STOP

```

| <b>CarrierConfigDedicated-NB field descriptions</b> |  |
|---|--|
| <b>dl-CarrierConfig</b>                             | Downlink carrier used for all unicast transmissions.   |
| <b>dl-CarrierFreq</b>                               | DL carrier frequency. The downlink carrier is not in a E-UTRA PRB which contains E-UTRA PSS/SSS/PBCH.  |
| <b>dl-GapNonAnchor</b>                              | Downlink transmission gap configuration for the anchor/ non-anchor carrier, see TS 36.211 [21], clause 10.2.3.4. E-UTRAN may configure <i>dl-GapNonAnchor-v1530</i> only if <i>dl-GapNonAnchor-r13</i> is set to <i>explicitGapConfiguration</i> .   |
| <b>downlinkBitmapNonAnchor</b>                      | For FDD: NB-IoT downlink subframe configuration for downlink transmission on the anchor/ non-anchor carrier. See TS 36.213 [23], clause 16.4.<br>For TDD: NB-IoT downlink, uplink and special subframes configuration for transmission on the anchor/ non-anchor carrier. See TS 36.213 [23], clause 16.4. |
| <b>eutraControlRegionSize</b>                       | Indicates the control region size of the E-UTRA cell for the in-band operation mode, see TS 36.213 [23]. Unit is in number of OFDM symbols. If <i>operationModeInfo</i> in MIB-NB is set to <i>inband-SamePCI</i> or <i>inband-DifferentPCI</i> , it should be set to the value broadcast in SIB1-NB.      |
| <b>eutra-NumCRS-Ports</b>                           | Number of E-UTRA CRS antenna ports, either the same number of ports as NRS or 4 antenna ports. See TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].   |
| <b>inbandCarrierInfo</b>                            | Provides the configuration of the anchor/ non-anchor inband carrier. If <i>operationModeInfo</i> is set to <i>standalone</i> in the MIB-NB, E-UTRAN only configures this field if the UE supports mixed operation mode.  |
| <b>indexToMidPRB</b>                                | The PRB index is signaled by offset from the middle of the EUTRA system.   |
| <b>nrs-PowerOffsetNonAnchor</b>                     | Provides the power offset of the downlink narrowband reference-signal EPRE of the anchor/ non-anchor carrier relative to the anchor carrier, unit in dB. Value dB-12 corresponds to -12 dB, dB-10 corresponds to -10 dB and so on. See TS 36.213 [23], clause 16.2.2.                                      |
| <b>samePCI-Indicator</b>                            | This parameter specifies whether the anchor/ non-anchor carrier reuses the same PCI as the EUTRA carrier.  |
| <b>ul-CarrierConfig</b>                             | Uplink anchor/ non-anchor carrier used for all unicast transmissions.  |
| <b>ul-CarrierFreq</b>                               | For FDD: UL carrier frequency as defined in TS 36.101 [42], clause 5.7.3F. If absent, the same TX-RX frequency separation and carrier frequency offset as for the anchor carrier applies.<br>For TDD: This field is absent and the uplink carrier frequency is equal to the downlink frequency.            |

| <b>Conditional presence</b>           | <b>Explanation</b>  |
|---------------------------------------|---|
| <i>non-anchor-inband</i>              | The field is mandatory present if the anchor/ non-anchor carrier is an inband carrier; otherwise it is not present.                                 |
| <i>anchor-guardband-or-standalone</i> | The field is mandatory present if <i>operationModeInfo</i> is set to <i>guardband</i> or <i>standalone</i> in the MIB; otherwise it is not present. |
| <i>TDD</i>                            | The field is mandatory present for TDD; otherwise the field is not present and the UE shall delete any existing value for this field.               |
| <i>TDD1</i>                           | The field is optionally present, Need OR, for TDD; otherwise the field is not present and the UE shall delete any existing value for this field.    |

## CarrierFreq-NB

The IE *CarrierFreq-NB* is used to provide the NB-IoT carrier frequency, as defined in TS 36.101 [42].

### CarrierFreq-NB information elements

```
-- ASN1START
CarrierFreq-NB-r13 ::= SEQUENCE {
    carrierFreq-r13          ARFCN-ValueEUTRA-r9,
    carrierFreqOffset-r13   ENUMERATED {
        v-10, v-9, v-8, v-7, v-6, v-5, v-4, v-3, v-2, v-1, v-0dot5,
        v0, v1, v2, v3, v4, v5, v6, v7, v8, v9
    } OPTIONAL -- Need ON
}

CarrierFreq-NB-v1550 ::= SEQUENCE {
    carrierFreqOffset-v1550 ENUMERATED {v-8dot5, v-4dot5, v3dot5, v7dot5}
}
```

```
}
-- ASN1STOP
```

#### **CarrierFreq-NB field descriptions**

##### **carrierFreq**

Provides the ARFCN applicable for the NB-IoT carrier frequency as defined in TS 36.101 [42], Table 5.7.3-1.

##### **carrierFreqOffset**

Offset of the NB-IoT channel number to EARFCN as defined in TS 36.101 [42], clause 5.7.3F. Value v-10 means -10, v-9 means -9, and so on. E-UTRAN may configure the values v-8dot5, v-4dot5, v3dot5 and v7dot5 only for a carrier in a TDD band.

For TDD, the UE shall use the value signalled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-r13*.

#### **ChannelRasterOffset-NB**

The IE *ChannelRasterOffset-NB* is used to specify the NB-IoT offset from LTE channel raster. Unit in kHz in set { -7.5, -2.5, 2.5, 7.5 } See TS 36.211[21] and TS 36.213 [23].

#### **ChannelRasterOffset-NB information element**

```
-- ASN1START
ChannelRasterOffset-NB-r13 ::= ENUMERATED {khz-7dot5, khz-2dot5, khz2dot5, khz7dot5}
-- ASN1STOP
```

#### **DL-Bitmap-NB**

The IE *DL-Bitmap-NB* is used to specify the set of NB-IoT downlink subframes for downlink transmission.

#### **DL-Bitmap-NB information element**

```
-- ASN1START
DL-Bitmap-NB-r13 ::= CHOICE {
    subframePattern10-r13 BIT STRING (SIZE (10)),
    subframePattern40-r13 BIT STRING (SIZE (40))
}
-- ASN1STOP
```

#### **DL-Bitmap-NB field descriptions**

##### **subframePattern10, subframePattern40**

For FDD: NB-IoT downlink subframe configuration over 10ms or 40ms for inband and 10ms for standalone/guardband.

For TDD: NB-IoT downlink, uplink and special subframes configuration over 10ms or 40ms for inband and 10ms for standalone/guardband.

The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying  $SFN \bmod x = 0$ , where  $x$  is the size of the bit string divided by 10. Value 0 in the bitmap indicates that the corresponding subframe is invalid for transmission. Value 1 in the bitmap indicates that the corresponding subframe is valid for transmission.

#### **DL-CarrierConfigCommon-NB**

The IE *DL-CarrierConfigCommon-NB* is used to specify the common configuration of a DL non-anchor carrier in NB-IoT.

#### **DL-CarrierConfigCommon-NB information elements**

```
-- ASN1START
```

```

DL-CarrierConfigCommon-NB-r14 ::= SEQUENCE {
  dl-CarrierFreq-r14          CarrierFreq-NB-r13,
  downlinkBitmapNonAnchor-r14 CHOICE {
    useNoBitmap-r14          NULL,
    useAnchorBitmap-r14     NULL,
    explicitBitmapConfiguration-r14 DL-Bitmap-NB-r13
  },
  dl-GapNonAnchor-r14        CHOICE {
    useNoGap-r14             NULL,
    useAnchorGapConfig-r14   NULL,
    explicitGapConfiguration-r14 DL-GapConfig-NB-r13
  },
  inbandCarrierInfo-r14      SEQUENCE {
    samePCI-Indicator-r14    CHOICE {
      samePCI-r14           SEQUENCE {
        indexToMidPRB-r14   INTEGER (-55..54)
      },
      differentPCI-r14      SEQUENCE {
        eutra-NumCRS-Ports-r14 ENUMERATED {same, four}
      }
    } OPTIONAL, -- Cond anchor-guardband-or-standalone
    eutraControlRegionSize-r14 ENUMERATED {n1, n2, n3}
  } OPTIONAL, -- Cond non-anchor-inband
  nrs-PowerOffsetNonAnchor-r14 ENUMERATED {dB-12, dB-10, dB-8, dB-6,
                                             dB-4, dB-2, dB0, dB3} DEFAULT dB0,
  ...
  [[ dl-GapNonAnchor-v1530          DL-GapConfig-NB-v1530  OPTIONAL  -- Cond TDD
  ]],
  [[ dl-CarrierFreq-v1550          CarrierFreq-NB-v1550  OPTIONAL  -- Cond TDD
  ]]
}
-- ASN1STOP

```

#### **DL-CarrierConfigCommon-NB field descriptions**

|                                 |   |
|---------------------------------|---|
| <b>dl-CarrierFreq</b>           | DL carrier frequency. The downlink carrier is not in a E-UTRA PRB which contains E-UTRA PSS/SSS/PBCH.   |
| <b>dl-GapNonAnchor</b>          | Downlink transmission gap configuration for the non-anchor carrier, see TS 36.211 [21], clause 10.2.3.4. E-UTRAN may configure <i>dl-GapNonAnchor-v1530</i> only if <i>dl-GapNonAnchor-r14</i> is set to <i>explicitGapConfiguration</i> .  |
| <b>downlinkBitmapNonAnchor</b>  | For FDD: NB-IoT downlink subframe configuration for downlink transmission on the non-anchor carrier. See TS 36.213 [23], clause 16.4.<br>For TDD: NB-IoT downlink, uplink and special subframes configuration for transmission on the anchor/ non-anchor carrier. See TS 36.213 [23], clause 16.4.    |
| <b>eutraControlRegionSize</b>   | Indicates the control region size of the E-UTRA cell for the in-band operation mode, see TS 36.213 [23]. Unit is in number of OFDM symbols. If <i>operationModeInfo</i> in MIB-NB is set to <i>inband-SamePCI</i> or <i>inband-DifferentPCI</i> , it should be set to the value broadcast in SIB1-NB. |
| <b>eutra-NumCRS-Ports</b>       | Number of E-UTRA CRS antenna ports, either the same number of ports as NRS or 4 antenna ports. See TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].  |
| <b>inbandCarrierInfo</b>        | Provides the configuration of a non-anchor inband carrier.  |
| <b>indexToMidPRB</b>            | The PRB index is signaled by offset from the middle of the EUTRA system.  |
| <b>nrs-PowerOffsetNonAnchor</b> | Provides the downlink narrowband reference-signal EPRE offset of the non-anchor carrier relative to the downlink narrowband reference-signal EPRE of the anchor carrier, unit in dB. Value dB-12 corresponds to -12 dB, dB-10 corresponds to -10 dB and so on. See TS 36.213 [23], clause 16.2.2.     |
| <b>samePCI-Indicator</b>        | This parameter specifies whether the non-anchor carrier reuses the same PCI as the EUTRA carrier.   |

| Conditional presence                  | Explanation  |
|---------------------------------------|--|
| <i>non-anchor-inband</i>              | The field is mandatory present if the non-anchor carrier is an inband carrier; otherwise it is not present.  |
| <i>anchor-guardband-or-standalone</i> | The field is mandatory present, if <i>operationModeInfo</i> is set to <i>guardband</i> or <i>standalone</i> in the MIB; otherwise it is not present. |
| <i>TDD</i>                            | The field is optionally present, Need OR, for TDD; otherwise the field is not present and the UE shall delete any existing value for this field.     |

## – *DL-GapConfig-NB*

The IE *DL-GapConfig-NB* is used to specify the downlink gap configuration for NPDCCH and NPDSCH. Downlink gaps apply to all NPDCCH/NPDSCH transmissions except for BCCH.

### ***DL-GapConfig-NB* information element**

```
-- ASN1START

DL-GapConfig-NB-r13 ::= SEQUENCE {
    dl-GapThreshold-r13      ENUMERATED {n32, n64, n128, n256},
    dl-GapPeriodicity-r13   ENUMERATED {sf64, sf128, sf256, sf512},
    dl-GapDurationCoeff-r13 ENUMERATED {oneEighth, oneFourth, threeEighth, oneHalf}
}

DL-GapConfig-NB-v1530 ::= SEQUENCE {
    dl-GapPeriodicity-v1530 ENUMERATED {sf1024}
}

-- ASN1STOP
```

### ***DL-GapConfig-NB* field descriptions**

#### ***dl-GapDurationCoeff***

Coefficient to calculate the gap duration of a DL transmission:  $dl\text{-}GapDurationCoeff * dl\text{-}GapPeriodicity$ , Duration in number of subframes. See TS 36.211 [21], clause 10.2.3.4.

#### ***dl-GapPeriodicity***

Periodicity of a DL transmission gap in number of subframes. See TS 36.211 [21], clause 10.2.3.4.

Value *sf64* corresponds to 64 subframes, value *sf128* corresponds to 128 subframes, value *sf256* corresponds to 256 subframes and so on. E-UTRAN may configure the value *sf64* only in FDD mode and the value *sf1024* only in TDD mode.

The UE shall use the value signalled in *dl-GapPeriodicity-v1530*, if present, and ignore the value signaled in *dl-GapPeriodicity-r13*.

#### ***dl-GapThreshold***

Threshold on the maximum number of repetitions configured for NPDCCH before application of DL transmission gap configuration. See TS 36.211 [21], clause 10.2.3.4.

## – *LogicalChannelConfig-NB*

The IE *LogicalChannelConfig-NB* is used to configure the logical channel parameters.

### ***LogicalChannelConfig-NB* information element**

```
-- ASN1START

LogicalChannelConfig-NB-r13 ::= SEQUENCE {
    priority-r13                INTEGER (1..16)           OPTIONAL,      -- Cond UL
    logicalChannelSR-Prohibit-r13 BOOLEAN                OPTIONAL,      -- Need ON
    ...
}

-- ASN1STOP
```



| <b>LogicalChannelConfig-NB field descriptions</b> |  |
|---|--|
| <b>logicalChannelSR-Prohibit</b>                  | Value <i>TRUE</i> indicates that the <i>logicalChannelSR-ProhibitTimer</i> is enabled for the logical channel. If <i>logicalChannelSR-Prohibit</i> is configured (i.e. indicates value <i>TRUE</i> ), E-UTRAN also configures <i>logicalChannelSR-ProhibitTimer</i> . See TS 36.321 [6]. |
| <b>priority</b>                                   | Logical channel priority in TS 36.321 [6]. Value is an integer.  |

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>UL</i>                   | The field is mandatory present for <i>UL</i> logical channels; otherwise it is not present. |

## – MAC-MainConfig-NB

The IE *MAC-MainConfig-NB* is used to specify the MAC main configuration for signalling and data radio bearers.

### MAC-MainConfig-NB information element

```
-- ASN1START
MAC-MainConfig-NB-r13 ::= SEQUENCE {
  ul-SCH-Config-r13 SEQUENCE {
    periodicBSR-Timer-r13 PeriodicBSR-Timer-NB-r13 OPTIONAL, -- Need ON
    retxBSR-Timer-r13 RetxBSR-Timer-NB-r13
  }
  drx-Config-r13 DRX-Config-NB-r13 OPTIONAL, -- Need ON
  timeAlignmentTimerDedicated-r13 TimeAlignmentTimer,
  logicalChannelSR-Config-r13 CHOICE {
    release NULL,
    setup SEQUENCE {
      logicalChannelSR-ProhibitTimer-r13 ENUMERATED {
        pp2, pp8, pp32, pp128, pp512,
        pp1024, pp2048, spare}
    }
  }
  ...,
  [[ rai-Activation-r14 ENUMERATED {true} OPTIONAL, -- Need OR
    dataInactivityTimerConfig-r14 CHOICE {
      release NULL,
      setup SEQUENCE {
        dataInactivityTimer-r14 DataInactivityTimer-r14
      }
    }
  ]],
  [[ drx-Cycle-v1430 ENUMERATED {
    sf1280, sf2560, sf5120, sf10240} OPTIONAL -- Need ON
  ]],
  [[ ra-CFRA-Config-r14 ENUMERATED {true} OPTIONAL -- Need ON
  ]]
}

PeriodicBSR-Timer-NB-r13 ::= ENUMERATED {
  pp2, pp4, pp8, pp16, pp64, pp128, infinity, spare}

RetxBSR-Timer-NB-r13 ::= ENUMERATED {
  pp4, pp16, pp64, pp128, pp256, pp512, infinity, spare}

DRX-Config-NB-r13 ::= CHOICE {
  release NULL,
  setup SEQUENCE {
    onDurationTimer-r13 ENUMERATED {
      pp1, pp2, pp3, pp4, pp8, pp16, pp32, spare},
    drx-InactivityTimer-r13 ENUMERATED {
      pp0, pp1, pp2, pp3, pp4, pp8, pp16, pp32},
    drx-RetransmissionTimer-r13 ENUMERATED {
      pp0, pp1, pp2, pp4, pp6, pp8, pp16, pp24,
      pp33, spare7, spare6, spare5,
      spare4, spare3, spare2, spare1},
    drx-Cycle-r13 ENUMERATED {
      sf256, sf512, sf1024, sf1536, sf2048, sf3072,
      sf4096, sf4608, sf6144, sf7680, sf8192, sf9216,
      spare4, spare3, spare2, spare1},
    drx-StartOffset-r13 INTEGER (0..255),
```

```

drx-ULRetransmissionTimer-r13      ENUMERATED {
    pp0, pp1, pp2, pp4, pp6, pp8, pp16, pp24,
    pp33, pp40, pp64, pp80, pp96,
    pp112, pp128, pp160, pp320}
}
-- ASN1STOP

```

### MAC-MainConfig-NB field descriptions

|                                       |  |
|---------------------------------------|--|
| <b>drx-Config</b>                     | Used to configure DRX as specified in TS 36.321 [6].   |
| <b>drx-Cycle</b>                      | <i>longDRX-Cycle</i> in TS 36.321 [6]. The value of <i>longDRX-Cycle</i> is in number of sub-frames. Value sf256 corresponds to 256 sub-frames, sf512 corresponds to 512 sub-frames and so on. In case <i>drx-Cycle-v1430</i> is signalled, the UE shall ignore <i>drx-Cycle-r13</i> . |
| <b>drx-StartOffset</b>                | <i>drxStartOffset</i> in TS 36.321 [6]. Value is in number of sub-frames by step of ( <i>drx-cycle</i> / 256).   |
| <b>drx-InactivityTimer</b>            | Timer for DRX in TS 36.321 [6]. Value in number of PDCCH periods. Value pp0 corresponds to 0 PDCCH period and behaviour as specified in 7.3.2 applies, pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on.  |
| <b>drx-RetransmissionTimer</b>        | Timer for DRX in TS 36.321 [6]. Value in number of PDCCH periods. Value pp0 corresponds to 0 PDCCH period and behaviour as specified in 7.3.2 applies, pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on.  |
| <b>drx-ULRetransmissionTimer</b>      | Timer for DRX in TS 36.321 [6]. Value in number of PDCCH periods. Value pp0 corresponds to 0 PDCCH period and behaviour as specified in 7.3.2 applies, value pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on.  |
| <b>logicalChannelSR-ProhibitTimer</b> | Timer used to delay the transmission of an SR. See TS 36.321 [6]. Value in number of PDCCH periods. Value pp2 corresponds to 2 PDCCH periods, pp8 corresponds to 8 PDCCH periods and so on.  |
| <b>periodicBSR-Timer</b>              | Timer for BSR reporting in TS 36.321 [6]. Value in number of PDCCH periods. Value pp2 corresponds to 2 PDCCH periods, pp4 corresponds to 4 PDCCH periods and so on.  |
| <b>ra-CFRA-Config</b>                 | Activation of contention free random access (CFRA), see TS 36.321 [6].   |
| <b>rai-Activation</b>                 | Activation of release assistance indication (RAI) in TS 36.321 [6].  |
| <b>retxBSR-Timer</b>                  | Timer for BSR reporting in TS 36.321 [6]. Value in number of PDCCH periods. Value pp4 corresponds to 4 PDCCH periods, pp16 corresponds to 16 PDCCH periods and so on.  |
| <b>onDurationTimer</b>                | Timer for DRX in TS 36.321 [6]. Value in number of PDCCH periods. Value pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on.   |
| <b>timeAlignmentTimer</b>             | Indicates the value of the time alignment timer, see TS 36.321 [6].  |

### NPDCCH-ConfigDedicated-NB

The IE *NPDCCH-ConfigDedicated-NB* specifies the subframes and resource blocks for NPDCCH monitoring.

### NPDCCH-ConfigDedicated-NB information element

```

-- ASN1START
NPDCCH-ConfigDedicated-NB-r13 ::= SEQUENCE {
    npdcch-NumRepetitions-r13      ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128,
    r256, r512, r1024, r2048,
    spare4, spare3, spare2, spare1},
    npdcch-StartSF-USS-r13        ENUMERATED {v1dot5, v2, v4, v8, v16, v32, v48, v64},
    npdcch-Offset-USS-r13         ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
}

```

```

NPDCCH-ConfigDedicated-NB-v1530 ::= SEQUENCE {
  npdcch-StartSF-USS-v1530      ENUMERATED {v96, v128}
}
-- ASN1STOP

```

#### **NPDCCH-ConfigDedicated-NB field descriptions**

##### **npdcch-NumRepetitions**

Maximum number of repetitions for NPDCCH UE specific search space (USS), see TS 36.213 [23], clause 16.6. UE monitors one set of values (consisting of aggregation level, number of repetitions and number of blind decodes) according to the configured maximum number of repetitions.

##### **npdcch-Offset-USS**

Fractional period offset of starting subframe for NPDCCH UE specific search space (USS), see TS 36.213 [23], clause 16.6.

##### **npdcch-StartSF-USS**

Starting subframe configuration for an NPDCCH UE-specific search space, see TS 36.213 [23], clause 16.6. Value v1dot5 corresponds to 1.5, value 2 corresponds to 2 and so on. E-UTRAN may configure values v1dot5 and v2 only in FDD mode and values v96 and v128 only in TDD mode.

The UE shall use the value signalled in *npdcch-StartSF-USS-v1530*, if present, and ignore the value signalled in *npdcch-StartSF-USS-r13*.

## – NPDSCH-ConfigCommon-NB

The IE *NPDSCH-ConfigCommon-NB* is used to specify the common NPDSCH configuration.

#### **NPDSCH-ConfigCommon-NB information element**

```

-- ASN1START

NPDSCH-ConfigCommon-NB-r13 ::= SEQUENCE {
  nrs-Power-r13      INTEGER (-60..50)
}
-- ASN1STOP

```

#### **NPDSCH-ConfigCommon-NB field descriptions**

##### **nrs-Power**

Provides the downlink narrowband reference-signal EPRE, see TS 36.213 [23], clause 16.2. The actual value in dBm.

## – NPRACH-ConfigSIB-NB

The IE *NPRACH-ConfigSIB-NB* is used to specify the NPRACH configuration for the anchor and non-anchor carriers.

#### **NPRACH-ConfigSIB-NB information elements**

```

-- ASN1START

NPRACH-ConfigSIB-NB-r13 ::= SEQUENCE {
  nprach-CP-Length-r13      ENUMERATED {us66dot7, us266dot7},
  rsrp-ThresholdsPrachInfoList-r13  RSRP-ThresholdsNPRACH-InfoList-NB-r13  OPTIONAL,  -- Need
OR
  nprach-ParametersList-r13  NPRACH-ParametersList-NB-r13
}

NPRACH-ConfigSIB-NB-v1330 ::= SEQUENCE {
  nprach-ParametersList-v1330  NPRACH-ParametersList-NB-v1330
}

NPRACH-ConfigSIB-NB-v1450 ::= SEQUENCE {
  maxNumPreambleAttemptCE-r14  ENUMERATED {n3, n4, n5, n6, n7, n8, n10, spare1}
}

NPRACH-ConfigSIB-NB-v1530 ::= SEQUENCE {
  tdd-Parameters-r15          SEQUENCE {
    nprach-PreambleFormat-r15  ENUMERATED {

```

```

        dummy                                fmt0, fmt1, fmt2, fmt0-a, fmt1-a},
        ENUMERATED {
            n1, n2, n4, n8, n16, n32, n64, n128,
            n256, n512, n1024},
        nprach-ParametersListTDD-r15        NPRACH-ParametersListTDD-NB-r15
    } OPTIONAL, -- Cond TDD
    fmt2-Parameters-r15                     SEQUENCE {
        nprach-ParametersListFmt2-r15      NPRACH-ParametersListFmt2-NB-r15 OPTIONAL, -- Need OR
        nprach-ParametersListFmt2EDT-r15  NPRACH-ParametersListFmt2-NB-r15 OPTIONAL, -- Cond EDT2
    } OPTIONAL, -- Need OR
    edt-Parameters-r15                     SEQUENCE {
        edt-SmallTBS-Subset-r15           ENUMERATED {true} OPTIONAL, -- Need OR
        edt-TBS-InfoList-r15             EDT-TBS-InfoList-NB-r15,
        nprach-ParametersListEDT-r15     NPRACH-ParametersList-NB-r14 OPTIONAL, -- Need OR
    } OPTIONAL, -- Cond EDT1
}

NPRACH-ConfigSIB-NB-v1550 ::= SEQUENCE {
    tdd-Parameters-v1550             SEQUENCE {
        nprach-ParametersListTDD-v1550  NPRACH-ParametersListTDD-NB-v1550
    }
}

NPRACH-ParametersList-NB-r13 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF NPRACH-Parameters-NB-r13

NPRACH-ParametersList-NB-v1330 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF NPRACH-Parameters-NB-v1330

NPRACH-Parameters-NB-r13 ::= SEQUENCE {
    nprach-Periodicity-r13          ENUMERATED {ms40, ms80, ms160, ms240,
        ms320, ms640, ms1280, ms2560},
    nprach-StartTime-r13            ENUMERATED {ms8, ms16, ms32, ms64,
        ms128, ms256, ms512, ms1024},
    nprach-SubcarrierOffset-r13     ENUMERATED {n0, n12, n24, n36, n2, n18, n34, spare1},
    nprach-NumSubcarriers-r13       ENUMERATED {n12, n24, n36, n48},
    nprach-SubcarrierMSG3-RangeStart-r13  ENUMERATED {zero, oneThird, twoThird, one},
    maxNumPreambleAttemptCE-r13    ENUMERATED {n3, n4, n5, n6, n7, n8, n10, spare1},
    numRepetitionsPerPreambleAttempt-r13  ENUMERATED {n1, n2, n4, n8, n16, n32, n64, n128},
    npdcch-NumRepetitions-RA-r13   ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128,
        r256, r512, r1024, r2048,
        spare4, spare3, spare2, spare1},
    npdcch-StartSF-CSS-RA-r13      ENUMERATED {vldot5, v2, v4, v8, v16, v32, v48, v64},
    npdcch-Offset-RA-r13           ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
}

NPRACH-Parameters-NB-v1330 ::= SEQUENCE {
    nprach-NumCBRA-StartSubcarriers-r13  ENUMERATED {n8, n10, n11, n12, n20, n22, n23, n24,
        n32, n34, n35, n36, n40, n44, n46, n48}
}

NPRACH-ParametersList-NB-r14 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF NPRACH-Parameters-NB-r14

NPRACH-Parameters-NB-r14 ::= SEQUENCE {
    nprach-Parameters-r14           SEQUENCE {
        nprach-Periodicity-r14      ENUMERATED {ms40, ms80, ms160, ms240,
            ms320, ms640, ms1280, ms2560}
            OPTIONAL, -- NEED OP
        nprach-StartTime-r14        ENUMERATED {ms8, ms16, ms32, ms64,
            ms128, ms256, ms512, ms1024}
            OPTIONAL, -- NEED OP
        nprach-SubcarrierOffset-r14  ENUMERATED {n0, n12, n24, n36, n2, n18, n34, spare1}
            OPTIONAL, -- NEED OP
        nprach-NumSubcarriers-r14    ENUMERATED {n12, n24, n36, n48}
            OPTIONAL, -- NEED OP
        nprach-SubcarrierMSG3-RangeStart-r14  ENUMERATED {zero, oneThird, twoThird, one}
            OPTIONAL, -- NEED OP
        npdcch-NumRepetitions-RA-r14  ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128,
            r256, r512, r1024, r2048,
            spare4, spare3, spare2, spare1}
            OPTIONAL, -- NEED OP
        npdcch-StartSF-CSS-RA-r14    ENUMERATED {vldot5, v2, v4, v8, v16, v32, v48, v64}
            OPTIONAL, -- NEED OP
        npdcch-Offset-RA-r14         ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
            OPTIONAL, -- NEED OP
        nprach-NumCBRA-StartSubcarriers-r14  ENUMERATED {n8, n10, n11, n12, n20, n22, n23, n24,
            n32, n34, n35, n36, n40, n44, n46, n48}
    }
}

```

```

        npdcch-CarrierIndex-r14                OPTIONAL, -- NEED OP
        INTEGER (1..maxNonAnchorCarriers-NB-r14)
        OPTIONAL, -- Need OP
    }
    } OPTIONAL -- Need OR
}

NPRACH-ParametersListTDD-NB-r15 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF
    NPRACH-ParametersTDD-NB-r15

NPRACH-ParametersTDD-NB-r15 ::= SEQUENCE {
    nprach-Parameters-r15                SEQUENCE {
        nprach-Periodicity-r15          ENUMERATED {ms80, ms160, ms320, ms640,
            ms1280, ms2560, ms5120, ms10240}
            OPTIONAL, -- NEED OP
        nprach-StartTime-r15            ENUMERATED {ms10, ms20, ms40, ms80,
            ms160, ms320, ms640, ms1280,
            ms2560, ms5120, spare6, spare5,
            spare4, spare3, spare2, spare1}
            OPTIONAL, -- NEED OP
        nprach-SubcarrierOffset-r15     ENUMERATED {n0, n12, n24, n36, n2, n18, n34, spare1}
            OPTIONAL, -- NEED OP
        nprach-NumSubcarriers-r15       ENUMERATED {n12, n24, n36, n48}
            OPTIONAL, -- NEED OP
        nprach-SubcarrierMSG3-RangeStart-r15
            ENUMERATED {zero, oneThird, twoThird, one}
            OPTIONAL, -- NEED OP
        npdcch-NumRepetitions-RA-r15    ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128,
            r256, r512, r1024, r2048,
            spare4, spare3, spare2, spare1}
            OPTIONAL, -- NEED OP
        npdcch-StartSF-CSS-RA-r15       ENUMERATED {v4, v8, v16, v32, v48, v64, v96, v128}
            OPTIONAL, -- NEED OP
        npdcch-Offset-RA-r15            ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
            OPTIONAL, -- NEED OP
        nprach-NumCBRA-StartSubcarriers-r15
            ENUMERATED {n8, n10, n11, n12, n20, n22, n23, n24,
            n32, n34, n35, n36, n40, n44, n46, n48}
            OPTIONAL, -- NEED OP
    }
    } OPTIONAL -- Need OR
}

NPRACH-ParametersListTDD-NB-v1550 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF
    NPRACH-ParametersTDD-NB-v1550

NPRACH-ParametersTDD-NB-v1550 ::= SEQUENCE {
    maxNumPreambleAttemptCE-v1550      ENUMERATED {n3, n4, n5, n6, n7, n8, n10, spare1},
    numRepetitionsPerPreambleAttempt-v1550
        ENUMERATED {n1, n2, n4, n8, n16, n32, n64, n128,
            n256, n512, n1024}
}

NPRACH-ParametersListFmt2-NB-r15 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF NPRACH-
ParametersFmt2-NB-r15

NPRACH-ParametersFmt2-NB-r15 ::= SEQUENCE {
    nprach-Parameters-r15                SEQUENCE {
        nprach-Periodicity-r15          ENUMERATED {ms40, ms80, ms160, ms320,
            ms640, ms1280, ms2560, ms5120}
            OPTIONAL, -- NEED OP
        nprach-StartTime-r15            ENUMERATED {ms8, ms16, ms32, ms64,
            ms128, ms256, ms512, ms1024}
            OPTIONAL, -- NEED OP
        nprach-SubcarrierOffset-r15     ENUMERATED {n0, n36, n72, n108, n6, n54, n102, n42,
            n78, n90, n12, n24, n48, n84, n60, n18}
            OPTIONAL, -- NEED OP
        nprach-NumSubcarriers-r15       ENUMERATED {n36, n72, n108, n144}
            OPTIONAL, -- NEED OP
        nprach-SubcarrierMSG3-RangeStart-r15
            ENUMERATED {zero, oneThird, twoThird, one}
            OPTIONAL, -- NEED OP
        npdcch-NumRepetitions-RA-r15    ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128,
            r256, r512, r1024, r2048,
            spare4, spare3, spare2, spare1}
            OPTIONAL, -- NEED OP
        npdcch-StartSF-CSS-RA-r15       ENUMERATED {v1dot5, v2, v4, v8, v16, v32, v48, v64}
            OPTIONAL, -- NEED OP
        npdcch-Offset-RA-r15            ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
            OPTIONAL, -- NEED OP
        nprach-NumCBRA-StartSubcarriers-r15
            ENUMERATED {
                n24, n30, n33, n36, n60, n66, n69, n72,

```

```

n96, n102, n105, n108, n120, n132, n138, n144}
npdcch-CarrierIndex-r15    OPTIONAL,    -- NEED OP
                           INTEGER (1..maxNonAnchorCarriers-NB-r14)
                           OPTIONAL,    -- Need OP
...
}    OPTIONAL    -- Need OR
}

RSRP-ThresholdsNPRACH-InfoList-NB-r13 ::= SEQUENCE (SIZE(1..2)) OF RSRP-Range

EDT-TBS-InfoList-NB-r15 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF EDT-TBS-NB-r15

EDT-TBS-NB-r15 ::= SEQUENCE {
    edt-SmallTBS-Enabled-r15    BOOLEAN,
    edt-TBS-r15                ENUMERATED {b328, b408, b504, b584, b680, b808, b936, b1000}
}

-- ASN1STOP
```

| <b>NPRACH-ConfigSIB-NB field descriptions</b>  |
|--|
| <p><b>dummy</b><br/>This field is not used in the specification. If received it shall be ignored by the UE.</p>  |
| <p><b>edt-SmallTBS-Enabled</b><br/>Value TRUE indicates UE performing EDT is allowed to select TBS smaller than <i>edt-TBS</i> for Msg3 according to the corresponding NPRACH resource, as specified in TS 36.213 [23].</p>  |
| <p><b>edt-SmallTBS-Subset</b><br/>Presence indicates only two of the TBS values can be used according to <i>edt-TBS</i> corresponding to the NPRACH resource, as specified in TS 36.213 [23]. When the field is not present, any of the TBS values according to <i>edt-TBS</i> corresponding to the NPRACH resource can be used. This field is applicable for a NPRACH resource only when <i>edt-SmallTBS-Enabled</i> is included for the corresponding NPRACH resource.</p>   |
| <p><b>edt-TBS</b><br/>Largest TBS for Msg3 for a NPRACH resource applicable to a UE performing EDT. Value in bits. Value b328 corresponds to 328 bits, value b408 corresponds to 408 bits and so on. See TS 36.213 [23].</p>   |
| <p><b>maxNumPreambleAttemptCE</b><br/>Maximum number of preamble transmission attempts per NPRACH resource. See TS 36.321 [6].<br/>If the UE supports enhanced random access power control and <i>maxNumPreambleAttemptCE-r14</i> is included, the UE shall use <i>maxNumPreambleAttemptCE-r14</i> instead of <i>maxNumPreambleAttemptCE-r13</i> for the first entry in <i>nprach-ParametersList</i>.<br/><i>maxNumPreambleAttemptCE-r13</i> applies to FDD and <i>maxNumPreambleAttemptCE-v1550</i> applies to TDD.</p>   |
| <p><b>npdcch-CarrierIndex</b><br/>For FDD: Index of the carrier in the list of DL non anchor carriers. The first entry in the list has index '1', the second entry has index '2' and so on.<br/>If the UE supports mixed operation mode and <i>dl-ConfigListMixed</i> is present in <i>systemInformationBlockType22-NB</i>, the UE creates a combined list of DL carriers for random access by appending <i>dl-ConfigListMixed</i> to the <i>dl-ConfigList</i> while maintaining the order among both <i>dl-ConfigList</i> and <i>dl-ConfigListMixed</i>; only the first <i>maxNonAnchorCarriers-NB-r14</i> DL non-anchor carriers in the concatenated list can be used for random access.<br/>If the field is absent in the entry in <i>nprach-ParametersListEDT</i> in <i>SystemInformationBlockType22-NB</i>, the value of <i>npdcch-CarrierIndex</i> in the corresponding entry of <i>nprach-ParametersList</i> applies, if present. If the field is absent in an entry in <i>nprach-ParametersListFmt2EDT</i> in <i>SystemInformationBlockType23-NB</i>, the value of <i>npdcch-CarrierIndex</i> in the corresponding entry of <i>nprach-ParametersListFmt2</i> applies, if present. Otherwise, the DL anchor carrier is used.<br/>For TDD: This parameter is absent and the same carrier is used in uplink and downlink.</p> |
| <p><b>npdcch-NumRepetitions-RA</b><br/>Maximum number of repetitions for NPDCCH common search space (CSS) for RAR, Msg3 retransmission and Msg4, see TS 36.213 [23], clause 16.6.<br/>See NOTE.</p>  |
| <p><b>npdcch-Offset-RA</b><br/>Fractional period offset of starting subframe for NPDCCH common search space (CSS Type 2), see TS 36.213 [23], clause 16.6.<br/>See NOTE.</p>   |
| <p><b>npdcch-StartSF-CSS-RA</b><br/>Starting subframe configuration for NPDCCH common search space (CSS), including RAR, Msg3 retransmission, and Msg4, see TS 36.213 [23], clause 16.6.<br/>See NOTE.</p>   |
| <p><b>nprach-CP-Length</b><br/>Cyclic prefix length for NPRACH transmission (<math>T_{CP}</math>), see TS 36.211 [21], clause 10.1.6. Value <i>us66dot7</i> corresponds to 66.7 microseconds and value <i>us266dot7</i> corresponds to 266.7 microseconds. If the UE uses a NPRACH resource for preamble format 2, the UE ignores the value signalled in <i>nprach-CP-Length</i> and considers the value to be 800 microseconds.</p>   |
| <p><b>nprach-NumCBRA-StartSubcarriers</b><br/>The number of start subcarriers from which a UE can randomly select a start subcarrier as specified in TS 36.321 [6].<br/>If <i>nprach-Config-v1330</i> is not included in <i>SystemInformationBlockType2-NB</i>, the UE sets the value of <i>nprach-NumCBRA-StartSubcarriers-r13</i> to the value signalled by <i>nprach-NumSubcarriers-r13</i> for the corresponding NPRACH resource.<br/>The start subcarrier indices that the UE is allowed to randomly select from, are given by:<br/><math>nprach-SubcarrierOffset + [0, nprach-NumCBRA-StartSubcarriers - 1]</math>.<br/>See NOTE.</p>  |
| <p><b>nprach-NumSubcarriers</b><br/>Number of sub-carriers in a NPRACH resource, see TS 36.211 [21], clause 10.1.6. In number of subcarriers.<br/>See NOTE.</p>  |

| <b>NPRACH-ConfigSIB-NB field descriptions</b>   |
|---|
| <p><b><i>nprach-ParametersList, nprach-ParametersListEDT</i></b><br/>Configures NPRACH parameters for each NPRACH resource. Up to three PRACH resources can be configured in <i>nprach-ParametersList</i> in a cell. Each NPRACH resource is associated with a different number of NPRACH repetitions.<br/>E-UTRAN includes the same number of entries, and listed in the same order for <i>nprach-ParametersListEDT</i>, as in <i>nprach-ParametersList</i> in <i>SystemInformationBlockType2-NB</i>.<br/>The NPRACH resources in <i>nprach-ParametersListEDT</i> are used to initiate EDT. Each NPRACH resource is associated with a TBS signalled in the corresponding entry of <i>edt-TBS-InfoList</i>.<br/>For TDD: The UE shall use <i>nprach-ParametersListTDD</i> and ignore <i>nprach-ParametersList</i>.</p>  |
| <p><b><i>nprach-ParametersListTDD</i></b><br/>For TDD: Configure NPRACH parameters for each NPRACH. Up to three NPRACH resources can be configured in a cell. Each NPRACH resource is associated with a different number of NPRACH repetitions.</p>   |
| <p><b><i>nprach-ParametersListFmt2, nprach-ParametersListFmt2EDT</i></b><br/>Configures NPRACH parameters for each NPRACH resource format 2. Up to three NPRACH resources can be configured on one carrier. Each NPRACH resource is associated with a different number of NPRACH repetitions.<br/>E-UTRAN includes the same number of entries, and listed in the same order, as in <i>nprach-ParametersList</i> in <i>SystemInformationBlockType2-NB</i>.<br/>The NPRACH resources in <i>nprach-ParametersListFmt2EDT</i> are used to initiate EDT. Each NPRACH resource is associated with a TBS signalled in the corresponding entry of <i>edt-TBS-InfoList</i>.<br/>E-UTRAN configures the NPRACH resources format 2 so that they do not overlap in time domain with the NPRACH resources configured in <i>nprach-ParametersList</i> and <i>nprach-ParametersListEDT</i>.<br/>If there is no NPRACH resource in <i>nprach-ParametersListFmt2</i> (respectively <i>nprach-ParametersListFmt2EDT</i>) on any UL carrier for one NPRACH repetition level, the UE uses the NPRACH resources in <i>nprach-ParametersList</i> (respectively <i>nprach-ParametersListEDT</i>) for this NPRACH repetition level. Otherwise, the UE uses only NPRACH resources in <i>nprach-ParametersListFmt2</i> (respectively <i>nprach-ParametersListFmt2EDT</i>).</p>  |
| <p><b><i>nprach-Periodicity</i></b><br/>Periodicity of a NPRACH resource, see TS 36.211 [21], clause 10.1.6. Unit in millisecond.<br/>See NOTE.</p>   |
| <p><b><i>nprach-PreambleFormat</i></b><br/>TDD: TDD preamble format, see TS 36.211 [21], clause 10.1.6,<br/>Value <i>fmt0</i> corresponds to preamble format 0, value <i>fmt1</i> corresponds to preamble format 1 and so on.</p>   |
| <p><b><i>nprach-StartTime</i></b><br/>Start time of the NPRACH resource in one period, see TS 36.211 [21], clause 10.1.6. Unit in millisecond.<br/>See NOTE.</p>  |
| <p><b><i>nprach-SubcarrierOffset</i></b><br/>Frequency location of the NPRACH resource, see TS 36.211 [21], clause 10.1.6. In number of subcarriers, offset from sub-carrier 0.<br/>See NOTE.</p>   |
| <p><b><i>nprach-SubcarrierMSG3-RangeStart</i></b><br/>Fraction for calculating the starting subcarrier index of the range reserved for indication of UE support for multi-tone Msg3 transmission, within the NPRACH resource, see TS 36.211 [21], clause 10.1.6. Multi-tone Msg3 transmission is not supported for {32, 64, 128} repetitions of NPRACH. For at least one of the NPRACH resources with the number of NPRACH repetitions other than {32, 64, 128}, the value of <i>nprach-SubcarrierMSG3-RangeStart</i> should not be 0.<br/>If <i>nprach-SubcarrierMSG3-RangeStart</i> is equal to zero, no start subcarrier index for the single-tone Msg3 NPRACH is allocated and the start subcarrier indexes for the multi-tone Msg3 NPRACH partition are given by <i>nprach-SubcarrierOffset</i> + [0, <i>nprach-NumCBRA-StartSubcarriers</i> - 1].<br/>If <i>nprach-SubcarrierMSG3-RangeStart</i> is equal to oneThird or twoThird, the start subcarrier indexes for the two partitions are given by:<br/><math>nprach-SubcarrierOffset + [0, \text{floor}(nprach-NumCBRA-StartSubcarriers * nprach-SubcarrierMSG3-RangeStart) - 1]</math><br/>for the single-tone Msg3 NPRACH partition;<br/><math>nprach-SubcarrierOffset + [\text{floor}(nprach-NumCBRA-StartSubcarriers * nprach-SubcarrierMSG3-RangeStart), nprach-NumCBRA-StartSubcarriers - 1]</math><br/>for the multi-tone Msg3 NPRACH partition;<br/>If <i>nprach-SubcarrierMSG3-RangeStart</i> is equal to one, the start subcarrier indexes for the single-tone Msg3 NPRACH are given by <i>nprach-SubcarrierOffset</i> + [0, <i>nprach-NumCBRA-StartSubcarriers</i> - 1] and no start subcarrier index for the multi-tone Msg3 NPRACH partition is allocated.<br/>See NOTE.</p> |
| <p><b><i>numRepetitionsPerPreambleAttempt</i></b><br/>Number of NPRACH repetitions per attempt for each NPRACH resource, See TS 36.211 [21], clause 10.1.6.<br/><i>numRepetitionsPerPreambleAttempt-r13</i> applies to FDD and <i>numRepetitionsPerPreambleAttempt-v1550</i> applies to TDD.</p>  |



**NPRACH-ConfigSIB-NB field descriptions*****rsrp-ThresholdsPrachInfoList***

The criterion for UEs to select a NPRACH resource. Up to 2 RSRP threshold values can be signalled. The first element corresponds to RSRP threshold 1, the second element corresponds to RSRP threshold 2. See TS 36.321 [6]. If absent, there is only one NPRACH resource.

A UE that supports *powerClassNB-14dBm-r14* shall correct the RSRP threshold values before applying them as follows:

RSRP threshold = Signalled RSRP threshold -  $\min\{0, (14 - \min(23, P\text{-Max}))\}$  where P-Max is the value of *p-Max* field in *SystemInformationBlockType1-NB*.

## NOTE:

- If the field is absent in an entry of *nprach-ParametersList* in *SystemInformationBlockType22-NB*, the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.
- If the field is absent in the entry in *nprach-ParametersListEDT*, the value of the same field in the corresponding entry of *nprach-ParametersList* on the same UL carrier applies, if present. Otherwise, the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.
- If the field is absent in an entry of *nprach-ParametersListTDD* in *SystemInformationBlockType22-NB*, the value of the same field in the corresponding entry of *nprach-ParametersListTDD* in *SystemInformationBlockType2-NB* applies. The field is mandatory present in *nprach-ParametersListTDD* in *SystemInformationBlockType2-NB*.
- If the field is absent in an entry of *nprach-ParametersListFmt2* in *SystemInformationBlockType23-NB*, the value of the same field, if present, in the corresponding entry of *nprach-ParametersListFmt2* in *SystemInformationBlockType2-NB* applies. Otherwise the value of the same field, if present, in the corresponding entry of the first occurrence of *nprach-ParametersListFmt2* in the non anchor carrier list applies. Otherwise, the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.
- If the field is absent in an entry of *nprach-ParametersListFmt2* in *SystemInformationBlockType2-NB*, the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.
- If the field is absent in an entry of *nprach-ParametersListFmt2EDT* in *SystemInformationBlockType23-NB*, the value of the same field, if present, in the corresponding entry of *nprach-ParametersListFmt2* on the same UL carrier applies. Otherwise, the value of the same field, if present, in the corresponding entry of *nprach-ParametersListFmt2* in *SystemInformationBlockType2-NB* applies. Otherwise the value of the same field, if present, in the corresponding entry of the first occurrence of *nprach-ParametersListFmt2* in the non anchor carrier list applies. Otherwise, the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.
- If the field is absent in an entry of *nprach-ParametersListFmt2EDT* in *SystemInformationBlockType2-NB*, the value of the same field, if present, in the corresponding entry of *nprach-ParametersListFmt2* in *SystemInformationBlockType2-NB* applies. Otherwise the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.

| Conditional presence | Explanation   |
|----------------------|---|
| <i>EDT1</i>          | The field is mandatory present if <i>cp-EDT</i> or <i>up-EDT</i> in <i>SystemInformationBlockType2-NB</i> is present; otherwise the field is not present and the UE shall delete any existing value for this field. |
| <i>EDT2</i>          | The field is optionally present, Need OR, if <i>edt-Parameters</i> is present; otherwise the field is not present and the UE shall delete any existing value for this field.  |
| <i>TDD</i>           | This field is mandatory present for TDD; otherwise the field is not present and the UE shall delete any existing value for this field.  |

**NPUSCH-Config-NB**

The IE *NPUSCH-ConfigCommon-NB* is used to specify the common NPUSCH configuration. The IE *NPUSCH-ConfigDedicated-NB* is used to specify the UE specific NPUSCH configuration.

**NPUSCH-Config-NB information element**

```

-- ASN1START
NPUSCH-ConfigCommon-NB-r13 ::= SEQUENCE {
  ack-NACK-NumRepetitions-Msg4-r13 SEQUENCE (SIZE(1..maxNPRACH-Resources-NB-r13)) OF
                                     ACK-NACK-NumRepetitions-NB-r13,
  srs-SubframeConfig-r13           ENUMERATED {
                                     sc0, sc1, sc2, sc3, sc4, sc5, sc6, sc7,
                                     sc8, sc9, sc10, sc11, sc12, sc13, sc14, sc15
                                     } OPTIONAL, -- Need OR
  dmrs-Config-r13                  SEQUENCE {
    threeTone-BaseSequence-r13     INTEGER (0..12)          OPTIONAL, -- Need OP
    threeTone-CyclicShift-r13      INTEGER (0..2),
    sixTone-BaseSequence-r13       INTEGER (0..14)          OPTIONAL, -- Need OP
    sixTone-CyclicShift-r13        INTEGER (0..3),
    twelveTone-BaseSequence-r13    INTEGER (0..30)         OPTIONAL, -- Need OP
  } OPTIONAL, -- Need OR
  ul-ReferenceSignalsNPUSCH-r13    UL-ReferenceSignalsNPUSCH-NB-r13
}

UL-ReferenceSignalsNPUSCH-NB-r13 ::= SEQUENCE {
  groupHoppingEnabled-r13          BOOLEAN,
  groupAssignmentNPUSCH-r13        INTEGER (0..29)
}

NPUSCH-ConfigDedicated-NB-r13 ::= SEQUENCE {
  ack-NACK-NumRepetitions-r13      ACK-NACK-NumRepetitions-NB-r13 OPTIONAL, -- Need ON
  npusch-AllSymbols-r13            BOOLEAN          OPTIONAL, -- Cond SRS
  groupHoppingDisabled-r13         ENUMERATED {true}   OPTIONAL, -- Need OR
}

ACK-NACK-NumRepetitions-NB-r13 ::= ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128}

-- ASN1STOP

```

| <b>NPUSCH-Config-NB field descriptions</b> |  |
|--|--|
| <b>ack-NACK-NumRepetitions</b>             | Number of repetitions for the ACK NACK resource unit carrying HARQ response to NPDSCH, see TS 36.213 [23], clause 16.4.2. If this field is absent and no value was configured via dedicated signalling, the value used for reception of Msg4 is used.  |
| <b>ack-NACK-NumRepetitions-Msg4</b>        | Number of repetitions for ACK/NACK HARQ response to NPDSCH containing Msg4 per NPRACH resource, see TS 36.213 [23], clause 16.4.2.   |
| <b>groupAssignmentNPUSCH</b>               | See TS 36.211 [21], clause 10.1.4.1.3.   |
| <b>groupHoppingDisabled</b>                | See TS 36.211 [21], clause 10.1.4.1.3.   |
| <b>groupHoppingEnabled</b>                 | See TS 36.211 [21], clause 10.1.4.1.3.   |
| <b>npusch-AllSymbols</b>                   | If set to TRUE, the UE shall use all NB-IoT symbols for NPUSCH transmission. If set to FALSE, the UE punctures the NPUSCH transmissions in the symbols that collides with SRS. If the field is not present, the UE uses all NB-IoT symbols for NPUSCH transmission. See TS 36.211 [21], clause 10.1.3.6. |
| <b>sixTone-BaseSequence</b>                | The base sequence of DMRS sequence in a cell for 6 tones transmission; see TS 36.211 [21], clause 10.1.4.1.2. If absent, it is given by NB-IoT CellID mod 14. Value 14 is not used.  |
| <b>sixTone-CyclicShift</b>                 | Define 4 cyclic shifts for the 6-tone case, see TS 36.211 [21], clause 10.1.4.1.2.   |
| <b>srs-SubframeConfig</b>                  | SRS SubframeConfiguration. See TS 36.211 [21], table 5.5.3.3-1. Value sc0 corresponds to value 0, sc1 to value 1 and so on.  |
| <b>threeTone-BaseSequence</b>              | The base sequence of DMRS sequence in a cell for 3 tones transmission; see TS 36.211 [21], clause 10.1.4.1.2. If absent, it is given by NB-IoT CellID mod 12. Value 12 is not used.  |
| <b>threeTone-CyclicShift</b>               | Define 3 cyclic shifts for the 3-tone case, see TS 36.211 [21], clause 10.1.4.1.2.   |
| <b>twelveTone-BaseSequence</b>             | The base sequence of DMRS sequence in a cell for 12 tones transmission; see TS 36.211 [21], clause 10.1.4.1.2. If absent, it is given by NB-IoT CellID mod 30. Value 30 is not used.   |
| <b>ul-ReferenceSignalsNPUSCH</b>           | Used to specify parameters needed for the transmission on NPUSCH.  |

| Conditional presence | Explanation   |
|----------------------|---|
| SRS                  | This field is optionally present, need OP, if <i>srs-SubframeConfig</i> is broadcasted. Otherwise, the IE is not present. |

## – PDCP-Config-NB

The IE *PDCP-Config-NB* is used to set the configurable PDCP parameters for data radio bearers.

### PDCP-Config-NB information element

```

-- ASN1START
PDCP-Config-NB-r13 ::= SEQUENCE {
  discardTimer-r13      ENUMERATED {
    ms5120, ms10240, ms20480, ms40960,
    ms81920, infinity, spare2, spare1
  } OPTIONAL, -- Cond Setup
  headerCompression-r13 CHOICE {
    notUsed      NULL,
    rohc         SEQUENCE {
      maxCID-r13      INTEGER (1..16383)           DEFAULT 15,
      profiles-r13    SEQUENCE {
        profile0x0002  BOOLEAN,
        profile0x0003  BOOLEAN,
        profile0x0004  BOOLEAN,
        profile0x0006  BOOLEAN,
        profile0x0102  BOOLEAN,
        profile0x0103  BOOLEAN,
        profile0x0104  BOOLEAN
      }
    }
  }
},

```

```

    }
  },
  ...
}
-- ASN1STOP

```

| <b>PDCP-Config-NB field descriptions</b> |  |
|--|--|
| <b>discardTimer</b>                      | Indicates the discard timer value specified in TS 36.323 [8]. Value in milliseconds. Value ms5120 means 5120 ms, ms10240 means 10240 ms and so on.   |
| <b>headerCompression</b>                 | E-UTRAN does not reconfigure header compression except optionally upon RRC Connection Resumption.  |
| <b>maxCID</b>                            | Indicates the value of the MAX_CID parameter as specified in TS 36.323 [8]. The total value of MAX_CIDs across all bearers for the UE should be less than or equal to the value of <i>maxNumberROHC-ContextSessions</i> parameter as indicated by the UE.  |
| <b>profiles</b>                          | The profiles used by both compressor and decompressor in both UE and E-UTRAN. The field indicates which of the ROHC profiles specified in TS 36.323 [8] are supported, i.e. value <i>true</i> indicates that the profile is supported. Profile 0x0000 shall always be supported when the use of ROHC is configured. If support of two ROHC profile identifiers with the same 8 LSB's is signalled, only the profile corresponding to the highest value shall be applied. |

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>Setup</i>                | The field is mandatory present in case of radio bearer setup. Otherwise the field is optionally present, need ON. |

– **PhysicalConfigDedicated-NB**

The IE *PhysicalConfigDedicated-NB* is used to specify the UE specific physical channel configuration.

**PhysicalConfigDedicated-NB information element**

```

-- ASN1START
PhysicalConfigDedicated-NB-r13 ::= SEQUENCE {
  carrierConfigDedicated-r13      CarrierConfigDedicated-NB-r13      OPTIONAL,  -- Need ON
  npdcch-ConfigDedicated-r13      NPDCCH-ConfigDedicated-NB-r13      OPTIONAL,  -- Need ON
  npusch-ConfigDedicated-r13      NPUSCH-ConfigDedicated-NB-r13      OPTIONAL,  -- Need ON
  uplinkPowerControlDedicated-r13 UplinkPowerControlDedicated-NB-r13 OPTIONAL,  -- Need ON
  ...,
  [[ twoHARQ-ProcessesConfig-r14   ENUMERATED {true}   OPTIONAL  -- Need OR
  ]],
  [[ interferenceRandomisationConfig-r14 ENUMERATED {true}   OPTIONAL  -- Need OR
  ]],
  [[ npdcch-ConfigDedicated-v1530   NPDCCH-ConfigDedicated-NB-v1530   OPTIONAL  -- Cond TDD
  ]],
  [[ additionalTxSIB1-Config-v1540   ENUMERATED {true}   OPTIONAL  -- Cond additionalSIB1
  ]]
}
-- ASN1STOP

```

| <b>PhysicalConfigDedicated-NB field descriptions</b>   |
|--|
| <b>carrierConfigDedicated</b><br>Anchor/ non-anchor carrier used for all unicast transmissions.  |
| <b>interferenceRandomisationConfig</b><br>For FDD: Interference randomisation enabled in connected mode, except for random access procedure in connected mode, see TS 36.211 [21]. For random access in connected mode interference randomisation on non-anchor is used and is not used on anchor carrier, see TS 36.211 [21].<br>For TDD: the parameter is not present. |
| <b>npdcch-ConfigDedicated</b><br>NPDCCH configuration.   |
| <b>npusch-ConfigDedicated</b><br>UL unicast configuration.   |
| <b>twoHARQ-ProcessesConfig</b><br>Activation of two HARQ processes, see TS 36.212 [22] and TS 36.213 [23].   |
| <b>uplink-PowerControlDedicated</b><br>UL power control parameter.   |
| <b>additionalTxSIB1-Config</b><br>Indicates if subframe #3 not containing additional SIB1 transmission is a NB-IoT DL subframe, as specified in TS 36.213 [23], clause 16.4.   |

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>TDD</i>                  | The field is optionally present, Need OR, for TDD; otherwise the field is not present and the UE shall delete any existing value for this field.                  |
| <i>additionalSIB1</i>       | This field is optionally present, Need OR, if <i>additionalTransmissionSIB1</i> is set to TRUE in <i>MasterInformationBlock-NB</i> ; otherwise it is not present. |

## RACH-ConfigCommon-NB

The IE *RACH-ConfigCommon-NB* is used to specify the generic random access parameters.

### RACH-ConfigCommon-NB information element

```
-- ASN1START
RACH-ConfigCommon-NB-r13 ::= SEQUENCE {
    preambleTransMax-CE-r13          PreambleTransMax,
    powerRampingParameters-r13      PowerRampingParameters,
    rach-InfoList-r13                RACH-InfoList-NB-r13,
    connEstFailOffset-r13            INTEGER (0..15)                OPTIONAL,    -- Need OP
    . . .
    [[ powerRampingParameters-v1450  PowerRampingParameters-NB-v1450 OPTIONAL    -- Need OR
    ]],
    [[ rach-InfoList-v1530            RACH-InfoList-NB-v1530  OPTIONAL -- Cond EDT
    ]]
}

RACH-InfoList-NB-r13 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF RACH-Info-NB-r13
RACH-InfoList-NB-v1530 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF RACH-Info-NB-v1530

RACH-Info-NB-r13 ::= SEQUENCE {
    ra-ResponseWindowSize-r13      ENUMERATED {
        pp2, pp3, pp4, pp5, pp6, pp7, pp8, pp10},
    mac-ContentionResolutionTimer-r13  ENUMERATED {
        pp1, pp2, pp3, pp4, pp8, pp16, pp32, pp64}
}

RACH-Info-NB-v1530 ::= SEQUENCE {
    mac-ContentionResolutionTimer-r15  ENUMERATED {
        pp1, pp2, pp3, pp4, pp8, pp16, pp32, pp64}
}

PowerRampingParameters-NB-v1450 ::= SEQUENCE {
    preambleInitialReceivedTargetPower-v1450  ENUMERATED {
        dBm-130, dBm-128, dBm-126, dBm-124, dBm-122,
        dBm-88, dBm-86, dBm-84, dBm-82, dBm-80}
    OPTIONAL,    -- Need OR
    powerRampingParametersCE1-r14          SEQUENCE {
        powerRampingStepCE1-r14            ENUMERATED {dB0, dB2, dB4, dB6},
        preambleInitialReceivedTargetPowerCE1-r14  ENUMERATED {
```

```

} OPTIONAL -- Need OR
}
-- ASN1STOP
    dBm-130, dBm-128, dBm-126, dBm-124, dBm-122,
    dBm-120, dBm-118, dBm-116, dBm-114, dBm-112,
    dBm-110, dBm-108, dBm-106, dBm-104, dBm-102,
    dBm-100, dBm-98, dBm-96, dBm-94, dBm-92,
    dBm-90, dBm-88, dBm-86, dBm-84, dBm-82, dBm-80 }
    
```

| <b>RACH-ConfigCommon-NB field descriptions</b>           |   |
|--|---|
| <b>connEstFailOffset</b>                                 | Parameter "Qoffset <sub>temp</sub> " in TS 36.304 [4]. If the field is not present the value of infinity shall be used for "Qoffset <sub>temp</sub> ".  |
| <b>mac-ContentionResolutionTimer</b>                     | Timer for contention resolution in TS 36.321 [6]. Value in PDCCH periods. Value pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on. <i>mac-ContentionResolutionTimer-r15</i> is only applicable for EDT. UE performing EDT shall use <i>mac-ContentionResolutionTimer-r15</i> , if present.<br>For FDD: The value considered by the UE is: <i>mac-ContentionResolutionTimer</i> = Min (signaled value x PDCCH period, 10.24s).<br>For TDD: The value considered by the UE is: <i>mac-ContentionResolutionTimer</i> = Min (signaled value x PDCCH period, 20.48s).  |
| <b>powerRampingParameters, powerRampingParametersCE1</b> | Power ramping step and preamble initial received target power – same as TS 36.213 [23] and TS 36.321 [6].<br>For FDD, if the UE does not support enhanced random access power control and more than one repetition level is configured in the cell, then the UE transmits NPRACH with max power except for the lowest repetition level. Otherwise, the UE uses NPRACH power ramping.<br>For FDD, if the UE supports enhanced random access power control and <i>powerRampingParameters-v1450</i> is signalled, or for TDD, the UE uses NPRACH power ramping across repetition levels as specified in TS 36.321 [6]. If <i>preambleInitialReceivedTargetPower-v1450</i> is present, the UE shall use <i>preambleInitialReceivedTargetPower-v1450</i> instead of <i>preambleInitialReceivedTargetPower</i> (i.e. without suffix). If <i>powerRampingParametersCE1</i> is present, the UE shall use <i>powerRampingParametersCE1</i> instead of <i>powerRampingParameters</i> for NPRACH power ramping in the second repetition level. |
| <b>preambleTransMax-CE</b>                               | Maximum number of preamble transmission in TS 36.321 [6]. Value is an integer.  |
| <b>ra-ResponseWindowSize</b>                             | Duration of the RA response window in TS 36.321 [6]. Value in PDCCH periods. Value pp2 corresponds to 2 PDDCH periods, pp3 corresponds to 3 PDCCH periods and so on.<br>For FDD: The value considered by the UE is: <i>ra-ResponseWindowSize</i> = Min (signaled value x PDCCH period, 10.24s).<br>For TDD: The value considered by the UE is: <i>ra-ResponseWindowSize</i> = Min (signaled value x PDCCH period, 20.48s).  |

| Conditional presence | Explanation  |
|----------------------|--|
| <i>EDT</i>           | The field is optionally present, Need OR, if <i>edt-Parameters</i> is present; otherwise the field is not present and the UE shall delete any existing value for this field. |

### RadioResourceConfigCommonSIB-NB

The IE *RadioResourceConfigCommonSIB-NB* is used to specify common radio resource configurations in the system information, e.g., the random access parameters and the static physical layer parameters.

#### RadioResourceConfigCommonSIB-NB information element

```

-- ASN1START
RadioResourceConfigCommonSIB-NB-r13 ::= SEQUENCE {
    rach-ConfigCommon-r13          RACH-ConfigCommon-NB-r13,
    bcch-Config-r13                BCCH-Config-NB-r13,
    pcch-Config-r13                PCCH-Config-NB-r13,
    nprach-Config-r13              NPRACH-ConfigSIB-NB-r13,
    npdsch-ConfigCommon-r13        NPDSCH-ConfigCommon-NB-r13,
    npusch-ConfigCommon-r13        NPUSCH-ConfigCommon-NB-r13,
    dl-Gap-r13                     DL-GapConfig-NB-r13          OPTIONAL,      -- Need OP
    uplinkPowerControlCommon-r13    UplinkPowerControlCommon-NB-r13,
    . . . ,
    [[ nprach-Config-v1330          NPRACH-ConfigSIB-NB-v1330  OPTIONAL,      -- Need OR
    ]],
    
```

```

[[ nprach-Config-v1450                NPRACH-ConfigSIB-NB-v1450  OPTIONAL  -- Cond
EnhPowerControl
]],
[[ nprach-Config-v1530                NPRACH-ConfigSIB-NB-v1530  OPTIONAL,  -- Need OR
dl-Gap-v1530                          DL-GapConfig-NB-v1530     OPTIONAL,  -- Cond TDD
wus-Config-r15                         WUS-Config-NB-r15         OPTIONAL   -- Need OR
]],
[[ nprach-Config-v1550                NPRACH-ConfigSIB-NB-v1550  OPTIONAL   -- Cond TDD1
]]
}

BCCH-Config-NB-r13 ::=
modificationPeriodCoeff-r13           SEQUENCE {
                                        ENUMERATED {n16, n32, n64, n128}
}

PCCH-Config-NB-r13 ::=
defaultPagingCycle-r13                 SEQUENCE {
nB-r13                                 ENUMERATED {rf128, rf256, rf512, rf1024},
                                        ENUMERATED {
fourT, twoT, oneT, halfT, quarterT, one8thT,
one16thT, one32ndT, one64thT,
one128thT, one256thT, one512thT, one1024thT,
spare3, spare2, spare1},
npdcch-NumRepetitionPaging-r13       ENUMERATED {
r1, r2, r4, r8, r16, r32, r64, r128,
r256, r512, r1024, r2048,
spare4, spare3, spare2, spare1}
}

-- ASN1STOP

```

#### **RadioResourceConfigCommonSIB-NB field descriptions**

|                                   |  |
|-----------------------------------|--|
| <b>defaultPagingCycle</b>         | Default paging cycle, used to derive 'T' in TS 36.304 [4]. Value rf128 corresponds to 128 radio frames, rf256 corresponds to 256 radio frames and so on.   |
| <b>dl-Gap</b>                     | Downlink transmission gap configuration for the anchor carrier. See TS 36.211 [21], clause 10.2.3.4. If the field is absent, there is no gap.  |
| <b>modificationPeriodCoeff</b>    | Actual modification period, expressed in number of radio frames= <i>modificationPeriodCoeff</i> * <i>defaultPagingCycle</i> . n16 corresponds to value 16, n32 corresponds to value 32, and so on. The BCCH modification period should be larger or equal to 40.96s. |
| <b>nB</b>                         | Parameter: nB is used as one of parameters to derive the Paging Frame and Paging Occasion according to TS 36.304 [4]. Value in multiples of 'T' as defined in TS 36.304 [4]. A value of fourT corresponds to 4 * T, a value of twoT corresponds to 2 * T and so on.  |
| <b>npdcch-NumRepetitionPaging</b> | Maximum number of repetitions for NPDCCH common search space (CSS) for paging, see TS 36.213 [23], clause 16.6.  |
| <b>wus-Config</b>                 | For FDD: WUS Configuration.  |

| Conditional presence   | Explanation  |
|------------------------|--|
| <i>EnhPowerControl</i> | This field is optional present, Need OR, if <i>PowerRampingParameters-NB-v1450</i> is included in SIB2-NB. Otherwise the field is not present.   |
| <i>TDD</i>             | The field is optionally present, Need OR, for TDD; otherwise the field is not present and the UE shall delete any existing value for this field. |
| <i>TDD1</i>            | The field is mandatory present for TDD; otherwise the field is not present and the UE shall delete any existing value for this field.            |

#### **RadioResourceConfigDedicated-NB**

The IE *RadioResourceConfigDedicated-NB* is used to setup/modify/release RBs, to modify the MAC main configuration, and to modify dedicated physical configuration.

#### **RadioResourceConfigDedicated-NB information element**

```
-- ASN1START
```

```

RadioResourceConfigDedicated-NB-r13 ::= SEQUENCE {
  srb-ToAddModList-r13          SRB-ToAddModList-NB-r13          OPTIONAL, -- Need ON
  drb-ToAddModList-r13          DRB-ToAddModList-NB-r13          OPTIONAL, -- Need ON
  drb-ToReleaseList-r13         DRB-ToReleaseList-NB-r13         OPTIONAL, -- Need ON
  mac-MainConfig-r13            CHOICE {
    explicitValue-r13           MAC-MainConfig-NB-r13,
    defaultValue-r13           NULL
  }
  physicalConfigDedicated-r13   PhysicalConfigDedicated-NB-r13 OPTIONAL, -- Need ON
  rlf-TimersAndConstants-r13    RLF-TimersAndConstants-NB-r13 OPTIONAL, -- Need ON
  ...,
  [[ schedulingRequestConfig-r15 SchedulingRequestConfig-NB-r15 OPTIONAL -- Need ON
  ]]
}

SRB-ToAddModList-NB-r13 ::= SEQUENCE (SIZE (1)) OF SRB-ToAddMod-NB-r13

SRB-ToAddMod-NB-r13 ::= SEQUENCE {
  rlc-Config-r13                CHOICE {
    explicitValue                RLC-Config-NB-r13,
    defaultValue                NULL
  }
  logicalChannelConfig-r13      CHOICE {
    explicitValue                LogicalChannelConfig-NB-r13,
    defaultValue                NULL
  }
  ...,
  [[ rlc-Config-v1430            RLC-Config-NB-v1430            OPTIONAL -- Need ON
  ]]
}

DRB-ToAddModList-NB-r13 ::= SEQUENCE (SIZE (1..maxDRB-NB-r13)) OF DRB-ToAddMod-NB-r13

DRB-ToAddMod-NB-r13 ::= SEQUENCE {
  eps-BearerIdentity-r13        INTEGER (0..15)                OPTIONAL, -- Cond DRB-Setup
  drb-Identity-r13              DRB-Identity,
  pdcp-Config-r13              PDCP-Config-NB-r13                OPTIONAL, -- Cond Setup
  rlc-Config-r13               RLC-Config-NB-r13                OPTIONAL, -- Cond Setup
  logicalChannelIdentity-r13    INTEGER (3..10)                OPTIONAL, -- Cond DRB-Setup
  logicalChannelConfig-r13      LogicalChannelConfig-NB-r13    OPTIONAL, -- Cond Setup
  ...,
  [[ rlc-Config-v1430            RLC-Config-NB-v1430            OPTIONAL -- Need ON
  ]]
}

DRB-ToReleaseList-NB-r13 ::= SEQUENCE (SIZE (1..maxDRB-NB-r13)) OF DRB-Identity

-- ASN1STOP

```

#### **RadioResourceConfigDedicated-NB field descriptions**

##### **logicalChannelConfig**

For SRB a choice is used to indicate whether the logical channel configuration is signalled explicitly or set to the default logical channel configuration for SRB1 as specified in 9.2.1.1.

##### **logicalChannelIdentity**

The logical channel identity for both UL and DL for a DRB. Value 3 is not used.

##### **mac-MainConfig**

The default MAC MAIN configuration is specified in 9.2.2.

##### **physicalConfigDedicated**

The default dedicated physical configuration is specified in 9.2.4.

##### **rlc-Config**

For SRBs a choice is used to indicate whether the RLC configuration is signalled explicitly or set to the values defined in the default RLC configuration for SRB1 in 9.2.1.1. RLC AM is the only applicable RLC mode for SRB1 and SRB1bis.

##### **schedulingRequestConfig**

For FDD: Scheduling request configuration.



| Conditional presence | Explanation   |
|----------------------|---|
| <i>DRB-Setup</i>     | The field is mandatory present if the corresponding DRB is being set up; otherwise it is not present.                           |
| <i>Setup</i>         | The field is mandatory present if the corresponding SRB/DRB is being setup; otherwise the field is optionally present, need ON. |

– **RLC-Config-NB**

The IE *RLC-Config-NB* is used to specify the RLC configuration of SRBs and DRBs.

**RLC-Config-NB information element**

```
-- ASN1START
RLC-Config-NB-r13 ::= CHOICE {
  am          SEQUENCE {
    ul-AM-RLC-r13      UL-AM-RLC-NB-r13,
    dl-AM-RLC-r13      DL-AM-RLC-NB-r13
  },
  ...,
  um-Bi-Directional-r15  NULL,
  um-Uni-Directional-UL-r15  NULL,
  um-Uni-Directional-DL-r15  NULL
}

RLC-Config-NB-v1430 ::= SEQUENCE {
  t-Reordering-r14      T-Reordering          OPTIONAL      -- Cond twoHARQ
}

UL-AM-RLC-NB-r13 ::= SEQUENCE {
  t-PollRetransmit-r13  T-PollRetransmit-NB-r13,
  maxRetxThreshold-r13  ENUMERATED {t1, t2, t3, t4, t6, t8, t16, t32}
}

DL-AM-RLC-NB-r13 ::= SEQUENCE {
  enableStatusReportSN-Gap-r13  ENUMERATED {true}  OPTIONAL
}

T-PollRetransmit-NB-r13 ::= ENUMERATED {
  ms250, ms500, ms1000, ms2000, ms3000, ms4000,
  ms6000, ms10000, ms15000, ms25000, ms40000, ms60000,
  ms90000, ms120000, ms180000, ms300000-v1530}

-- ASN1STOP
```

| <b>RLC-Config-NB field descriptions</b> |  |
|---|--|
| <b>enableStatusReportSN-Gap</b>         | Indicates that status reporting due to detection of reception failure is enabled, as specified in TS 36.322 [7].   |
| <b>maxRetxThreshold</b>                 | Parameter for RLC AM in TS 36.322 [7]. Value t1 corresponds to 1 retransmission, t2 to 2 retransmissions and so on.  |
| <b>t-PollRetransmit</b>                 | Timer for RLC AM in TS 36.322 [7], in milliseconds. Value msX means X ms, msY means Y ms and so on. E-UTRAN may configure the value <i>msX-v1530</i> (with suffix) only in TDD mode. |
| <b>t-Reordering</b>                     | Timer for reordering in TS 36.322 [7], in milliseconds.  |

| Conditional presence | Explanation  |
|----------------------|--|
| <i>twoHARQ</i>       | The field is mandatory present if <i>twoHARQ-ProcessesConfig</i> is set to TRUE. Otherwise, the field is not present and, if previously configured, the timer is released. |

– **RLF-TimersAndConstants-NB**

The IE *RLF-TimersAndConstants-NB* contains UE specific timers and constants applicable for UEs in RRC\_CONNECTED.

**RLF-TimersAndConstants-NB information element**

```

-- ASN1START

RLF-TimersAndConstants-NB-r13 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    t301-r13   ENUMERATED {
      ms2500, ms4000, ms6000, ms10000,
      ms15000, ms25000, ms40000, ms60000},
    t310-r13   ENUMERATED {
      ms0, ms200, ms500, ms1000, ms2000, ms4000, ms8000},
    n310-r13   ENUMERATED {
      n1, n2, n3, n4, n6, n8, n10, n20},
    t311-r13   ENUMERATED {
      ms1000, ms3000, ms5000, ms10000, ms15000,
      ms20000, ms30000},
    n311-r13   ENUMERATED {
      n1, n2, n3, n4, n5, n6, n8, n10},
    ...,
    [[ t311-v1350
      ENUMERATED {
        ms40000, ms60000, ms90000, ms120000}
        OPTIONAL -- Need OR
      ]],
    [[ t301-v1530
      ENUMERATED {
        ms80000, ms100000, ms120000}
        OPTIONAL, -- Cond TDD
      ]],
    t311-v1530
      ENUMERATED {
        ms160000, ms200000}
        OPTIONAL -- Cond TDD
      ]],
  }
}
-- ASN1STOP

```

| <b>RLF-TimersAndConstants-NB field descriptions</b> |   |
|---|---|
| <b>n3xy</b>   | Constants are described in clause 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.  |
| <b>t3xy</b>   | Timers are described in clause 7.3. Value ms0 corresponds with 0 ms, ms200 corresponds with 200 ms and so on. The UE shall use the extended values <i>t311-v1350</i> , <i>t301-v1530</i> and <i>t311-v1530</i> , if present, and ignore the value signaled by <i>t311-r13</i> , <i>t301-r13</i> and <i>t311-r13</i> respectively. |

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>TDD</i>                  | The field is optionally present, Need OR, in TDD mode. Otherwise, the field is not present. |

**SchedulingRequestConfig-NB**

The IE *SchedulingRequestConfig-NB* is used to specify the Scheduling Request related parameters.

**SchedulingRequestConfig-NB information element**

```

-- ASN1START

SchedulingRequestConfig-NB-r15 ::= SEQUENCE {
  sr-WithHARQ-ACK-Config-r15   ENUMERATED {true} OPTIONAL,
  sr-WithoutHARQ-ACK-Config-r15 SR-WithoutHARQ-ACK-Config-NB-r15 OPTIONAL, -- Need
ON
  sr-SPS-BSR-Config-r15       SR-SPS-BSR-Config-NB-r15 OPTIONAL, -- Need ON
  ...
}

SR-WithoutHARQ-ACK-Config-NB-r15 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    sr-ProhibitTimer-r15   INTEGER (0..7) OPTIONAL, -- Need ON
    sr-NPRACH-Resource-r15 SR-NPRACH-Resource-NB-r15 OPTIONAL -- Need ON
  }
}

```

```

}
}
SR-NPRACH-Resource-NB-r15 ::= SEQUENCE {
  nprach-CarrierIndex-r15      INTEGER (0..maxNonAnchorCarriers-NB-r14),
  nprach-ResourceIndex-r15    INTEGER (1..maxNPRACH-Resources-NB-r13),
  nprach-SubCarrierIndex-r15  CHOICE {
    nprach-Fmt0Fmt1-r15      INTEGER (0..47),
    nprach-Fmt2-r15          INTEGER (0..143)
  },
  p0-SR-r15                   INTEGER (-126..24),
  alpha-r15                   ENUMERATED {a10, a104, a105, a106, a107, a108, a109, a11}}
SR-SPS-BSR-Config-NB-r15 ::= CHOICE {
  release                      NULL,
  setup                        SEQUENCE {
    semiPersistSchedC-RNTI-r15 C-RNTI,
    semiPersistSchedIntervalUL-r15 ENUMERATED {sf128, sf256, sf512, sf1024,
                                                sf1280, sf2048, sf2560, sf5120}
  }
}
-- ASN1STOP

```

### **SchedulingRequestConfig-NB field descriptions**

#### **alpha**

Parameter:  $\alpha_c$ . Fractional power control parameter for SR without HARQ-ACK. See TS 36.213 [23], clause 16.2.1.2.1, where value *a10* corresponds to 0, value *a104* corresponds to 0.4, value *a105* to 0.5, value *a106* to 0.6, value *a107* to 0.7, value *a108* to 0.8, value *a109* to 0.9 and value *a11* corresponds to 1.

#### **nprach-CarrierIndex**

Index of the carrier in the list of UL non anchor carriers in *SystemInformationBlockType22-NB*. The first entry in the list has index '1', the second entry has index '2' and so on. Value '0' indicates the anchor carrier.

#### **nprach-ResourceIndex**

Index of the NPRACH resource in the list of NPRACH resources in *NPRACH-ParametersList* or *NPRACH-ParametersList-Fmt2* for the UL carrier indicated by *nprach-CarrierIndex*. The first entry in the list has index '1', the second entry has index '2' and so on.

E-UTRAN configures a NPRACH resource in *NPRACH-ParametersList-Fmt2* only to UEs that have reported support of NPRACH resource Format2.

#### **nprach-SubCarrierIndex**

Index of the subcarrier in the NPRACH resource in *NPRACH-ParametersList* or or *NPRACH-ParametersList-Fmt2* for the indicated UL carrier.

E-UTRAN does not configure *nprach-SubcarrierIndex* to a smaller value than *nprach-SubcarrierOffset* + *nprach-NumCBRA-StartSubcarriers* for the indicated NPRACH resource.

#### **p0-SR**

Parameter:  $P_{O\_SR,c}$ . Target power for SR without HARQ-ACK. See TS 36.213 [23], clause 16.2.1.2.1, unit dBm.

#### **semiPersistSchedC-RNTI**

Semi-persistent Scheduling C-RNTI, see TS 36.321 [6].

#### **semiPersistSchedIntervalUL**

Semi-persistent scheduling interval in uplink, see TS 36.321 [6]. Value in number of sub-frames. Value *sf128* corresponds to 128 sub-frames, value *sf256* corresponds to 256 sub-frames and so on.

#### **sr-SPS-BSR-Config**

Activation of SR with SPS BSR, see TS 36.321 [6].

E-UTRAN cannot configure *sr-SPS-BSR* together with *sr-WithoutHARQ-ACK-Config*.

#### **sr-NPRACH-Resource**

NPRACH resource for physical layer SR without HARQ-ACK, see TS 36.211 [21] and TS 36.213 [23].

#### **sr-ProhibitTimer**

Timer for SR transmission on the NPRACH resource for SR in TS 36.321 [6]. Value in number of SR period, where the SR period is equal to the field *nprach-Periodicity* of the NPRACH resource. Value 0 means that behaviour as specified in 7.3.2 applies. Value 1 corresponds to one SR period, Value 2 corresponds to 2\*SR period and so on.

#### **sr-WithHARQ-ACK-Config**

Activation of physical layer SR with HARQ ACK, see TS 36.213 [23].

#### **sr-WithoutHARQ-ACK-Config**

Activation of physical layer SR without HARQ ACK, see TS 36.211 [21] and TS 36.213 [23].

E-UTRAN cannot configure *sr-WithoutHARQ-ACK-Config* together with *sr-SPS-BSR*.

## – TDD-Config-NB

The IE *TDD-Config-NB* is used to specify the TDD specific physical channel configuration.

### TDD-Config information element

```
-- ASN1START
TDD-Config-NB-r15 ::= SEQUENCE {
    subframeAssignment-r15      ENUMERATED {
        sa1, sa2, sa3, sa4, sa5},
    specialSubframePatterns-r15 ENUMERATED {
        ssp0, ssp1, ssp2, ssp3, ssp4, ssp5, ssp6, ssp7,
        ssp8, ssp9, ssp10, ssp10-CRS-LessDwPTS}
}
-- ASN1STOP
```

### TDD-Config field descriptions

#### **specialSubframePatterns**

Indicates Configuration as in TS 36.211 [21], table 4.2-1 where ssp0 points to Configuration 0, ssp1 to Configuration 1 etc. Value *ssp10-CRS-LessDwPTS* corresponds to ssp10 without CRS transmission on the 5th symbol of DwPTS.

#### **subframeAssignment**

Indicates DL/UL subframe configuration where *sa1* points to Configuration 1, *sa2* to Configuration 2 and so on, as specified in TS 36.211 [21], table 4.2-2.

E-UTRAN configures the same value for serving cells residing on same frequency band.

## – TDD-UL-DL-AlignmentOffset-NB

The IE *TDD-UL-DL-AlignmentOffset-NB* is used to specify the offset between the UL carrier frequency center with respect to DL carrier frequency center. This information should be used to calculate the *Mul* value, see TS 36.101 [42].

### TDD-UL-DL-AlignmentOffset-NB information element

```
-- ASN1START
TDD-UL-DL-AlignmentOffset-NB-r15 ::= ENUMERATED { khz-7dot5, khz0, khz7dot5}
-- ASN1STOP
```

## – UplinkPowerControl-NB

The IE *UplinkPowerControlCommon-NB* and IE *UplinkPowerControlDedicated-NB* are used to specify parameters for uplink power control in the system information and in the dedicated signalling, respectively.

### UplinkPowerControl-NB information elements

```
-- ASN1START
UplinkPowerControlCommon-NB-r13 ::= SEQUENCE {
    p0-NominalNPUSCH-r13      INTEGER (-126..24),
    alpha-r13                  ENUMERATED {a10, a104, a105, a106, a107, a108, a109, a11},
    deltaPreambleMsg3-r13     INTEGER (-1..6)
}
UplinkPowerControlDedicated-NB-r13 ::= SEQUENCE {
    p0-UE-NPUSCH-r13          INTEGER (-8..7)
}
-- ASN1STOP
```

| <b>UplinkPowerControl-NB field descriptions</b>  |
|--|
| <p><b>alpha</b><br/>Parameter: <math>\alpha_c(1)</math>. See TS 36.213 [23], clause 16.2.1.1, where al0 corresponds to 0, al04 corresponds to value 0.4, al05 to 0.5, al06 to 0.6, al07 to 0.7, al08 to 0.8, al09 to 0.9 and al1 corresponds to 1.</p> |
| <p><b>deltaPreambleMsg3</b><br/>Parameter: <math>\Delta_{\text{PREAMBLE\_Msg3}}</math>. See TS 36.213 [23], clause 16.2.1.1. Actual value = IE value * 2 [dB].</p>   |
| <p><b>p0-NominalNPUSCH</b><br/>Parameter: <math>P_{\text{O\_NOMINAL\_NPUSCH,c}}(1)</math>. See TS 36.213 [23], clause 16.2.1.1, unit dBm.</p>  |
| <p><b>p0-UE-NPUSCH</b><br/>Parameter: <math>P_{\text{O\_UE\_NPUSCH,c}}(1)</math>. See TS 36.213 [23], clause 16.2.1.1, unit dB.</p>  |

## – **WUS-Config-NB**

The IE *WUS-Config-NB* is used to specify the WUS configuration. For UEs supporting WUS, E-UTRAN uses WUS to indicate that the UE shall attempt to receive paging in that cell, see TS 36.304 [4].

### **WUS-Config-NB information element**

```

-- ASN1START
WUS-Config-NB-r15 ::= SEQUENCE {
    maxDurationFactor-r15          WUS-MaxDurationFactor-NB-r15,
    numPOs-r15                     ENUMERATED {n1, n2, n4}          DEFAULT n1,
    numDRX-CyclesRelaxed-r15       ENUMERATED {n1, n2, n4, n8},
    timeOffsetDRX-r15              ENUMERATED {ms40, ms80, ms160, ms240},
    timeOffset-eDRX-Short-r15      ENUMERATED {ms40, ms80, ms160, ms240},
    timeOffset-eDRX-Long-r15       ENUMERATED {ms1000, ms2000} OPTIONAL, -- Need OP
    ...
}

WUS-ConfigPerCarrier-NB-r15 ::= SEQUENCE {
    maxDurationFactor-r15          WUS-MaxDurationFactor-NB-r15
}

WUS-MaxDurationFactor-NB-r15 ::= ENUMERATED {one128th, one64th, one32th, one16th,
                                             oneEighth, oneQuarter, oneHalf}
-- ASN1STOP

```

| <b>WUS-Config-NB field descriptions</b>  |
|--|
| <p><b>maxDurationFactor</b><br/>Maximum WUS duration, expressed as a ratio of Rmax for Type 1-CSS. Value <i>one128th</i> means <math>R_{max} * 1/128</math>, value <i>one64th</i> means <math>R_{max} * 1/64</math> and so on.<br/>The value <math>L_{NWUS\_max}</math> in TS 36.213 [23] considered by the UE is : <math>maxDuration = \text{Max}(\text{signalled value} * R_{max}, 1)</math> where Rmax is the value of <i>npdcch-NumRepetitionPaging</i> for the carrier.</p> |
| <p><b>numDRX-CyclesRelaxed</b><br/>Maximum number of consecutive DRX cycles during which the UE may use WUS for synchronisation and skip serving cell measurements, see TS 36.133 [16]. Value n1 corresponds to 1 DRX cycle, value n2 corresponds to 2 DRX cycles and so on.</p>   |
| <p><b>numPOs</b><br/>Number of consecutive Paging Occasions (PO) mapped to one Wake Up Signal (WUS), applicable to UEs configured to use extended DRX, see TS 36.304 [4]. Value n1 corresponds to 1 PO and value n2 corresponds to 2 POs and so on.</p>  |
| <p><b>timeOffsetDRX</b><br/>When DRX is used, non-zero gap from the end of the configured maximum WUS duration to the associated PO, see TS 36.304 [4], clause 7.4 and TS 36.211 [21]. In milliseconds. Value <i>ms40</i> corresponds to 40ms, value <i>ms80</i> corresponds to 80 ms and so on.</p>   |
| <p><b>timeOffset-eDRX-Short</b><br/>When eDRX is used, the short non-zero gap from the end of the configured maximum WUS duration to the associated PO, see TS 36.304 [4], clause 7.4 and TS 36.211 [21]. In milliseconds. Value <i>ms40</i> corresponds to 40ms, value <i>ms80</i> corresponds to 80 ms and so on.<br/>E-UTRAN configures <i>timeOffset-eDRX-Short</i> to a value longer than or equal to <i>timeOffsetDRX</i>.</p>   |
| <p><b>timeOffset-eDRX-Long</b><br/>When eDRX is used, the long non-zero gap from the end of the configured maximum WUS duration to the associated PO, see TS 36.304 [4], clause 7.4 and TS 36.211 [21]. In milliseconds. Value <i>ms1000</i> corresponds to 1000 ms, value <i>ms2000</i> corresponds to 2000 ms.</p>   |

### 6.7.3.3 NB-IoT Security control information elements

Void

### 6.7.3.4 NB-IoT Mobility control information elements

#### – *AdditionalBandInfoList-NB*

##### ***AdditionalBandInfoList-NB information element***

```
-- ASN1START
AdditionalBandInfoList-NB-r14 ::= SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator-NB-r13
-- ASN1STOP
```

#### – *FreqBandIndicator-NB*

The IE *FreqBandIndicator-NB* indicates the E-UTRA operating band as defined in TS 36.101 [42], table 5.5-1.

##### ***FreqBandIndicator-NB information element***

```
-- ASN1START
FreqBandIndicator-NB-r13 ::= INTEGER (1..maxFBI2)
-- ASN1STOP
```

#### – *MultiBandInfoList-NB*

##### ***MultiBandInfoList-NB information element***

```
-- ASN1START
```

```

MultiBandInfoList-NB-r13 ::= SEQUENCE (SIZE (1..maxMultiBands)) OF MultiBandInfo-NB-r13
MultiBandInfo-NB-r13 ::= SEQUENCE {
    freqBandIndicator-r13      FreqBandIndicator-NB-r13      OPTIONAL,  -- Need OR
    freqBandInfo-r13          NS-PmaxList-NB-r13          OPTIONAL  -- Need OR
}
-- ASN1STOP

```

### – *NS-PmaxList-NB*

The IE *NS-PmaxList-NB* concerns a list of *additionalPmax* and *additionalSpectrumEmission* as defined in TS 36.101 [42], clause 6.2.4F, for a given frequency band. E-UTRAN does not include the same value of *additionalSpectrumEmission* in *SystemInformationBlockType2-NB* within this list.

#### ***NS-PmaxList-NB* information element**

```

-- ASN1START
NS-PmaxList-NB-r13 ::= SEQUENCE (SIZE (1..maxNS-Pmax-NB-r13)) OF NS-PmaxValue-NB-r13
NS-PmaxValue-NB-r13 ::= SEQUENCE {
    additionalPmax-r13        P-Max                        OPTIONAL,  -- Need OR
    additionalSpectrumEmission-r13  AdditionalSpectrumEmission
}
-- ASN1STOP

```

### – *ReselectionThreshold-NB*

The IE *ReselectionThreshold-NB* is used to indicate an Rx level threshold for cell reselection. Actual value of threshold = field value \* 2 [dB].

#### ***ReselectionThreshold-NB* information element**

```

-- ASN1START
ReselectionThreshold-NB-v1360 ::= INTEGER (32..63)
-- ASN1STOP

```

### – *T-Reselection-NB*

The IE *T-Reselection-NB* concerns the cell reselection timer  $T_{\text{reselction}}_{\text{RAT}}$  for NB-IoT.

Value in seconds. s0 means 0 second and behaviour as specified in 7.3.2 applies, s3 means 3 seconds and so on.

#### ***T-Reselection-NB* information element**

```

-- ASN1START
T-Reselection-NB-r13 ::= ENUMERATED {s0, s3, s6, s9, s12, s15, s18, s21}
-- ASN1STOP

```

## 6.7.3.5 NB-IoT Measurement information elements

### – *CQI-NPDCCH-NB*

The IE *CQI-NPDCCH-NB* represents the downlink channel quality measurement of the NB-IoT carrier where the random access response is received. The codepoints for the CQI-NPDCCH measurements are according to the mapping table in TS 36.133 [16]. The value *noMeasurements* indicates no measurement reporting.

**CQI-NPDCCH-NB information element**

```

-- ASN1START
CQI-NPDCCH-NB-r14 ::= ENUMERATED {
    noMeasurements, candidateRep-A, candidateRep-B, candidateRep-C,
    candidateRep-D, candidateRep-E, candidateRep-F, candidateRep-G,
    candidateRep-H, candidateRep-I, candidateRep-J, candidateRep-K,
    candidateRep-L}
-- ASN1STOP

```

**CQI-NPDCCH-Short-NB**

The IE *CQI-NPDCCH-Short-NB* represents the short version of the downlink channel quality measurement of the NB-IoT carrier where the random access response is received. The codepoints for the CQI-NPDCCH-Short measurements are according to the mapping table in TS 36.133 [16]. The value *noMeasurements* indicates no measurement reporting.

**CQI-NPDCCH-Short-NB information element**

```

-- ASN1START
CQI-NPDCCH-Short-NB-r14 ::= ENUMERATED {
    noMeasurements, candidateRep-1, candidateRep-2, candidateRep-3}
-- ASN1STOP

```

**MeasResultServCell-NB**

The IE *MeasResultServCell-NB* covers the measured results for the serving cell.

**MeasResultServCell-NB information element**

```

-- ASN1START
MeasResultServCell-NB-r14 ::= SEQUENCE {
    nrsrpResult-r14          NRSRP-Range-NB-r14,
    nrsrqResult-r14         NRSRQ-Range-NB-r14
}
-- ASN1STOP

```

**NRSRP-Range-NB**

The IE *NRSRP-Range-NB* specifies the value range used in NRSRP measurements and thresholds. Integer value for NRSRP measurements according to mapping table in TS 36.133 [16], Table 9.1.22.9-1.

**NRSRP-Range-NB information element**

```

-- ASN1START
NRSRP-Range-NB-r14 ::= INTEGER(0..113)
-- ASN1STOP

```

**NRSRQ-Range-NB**

The IE *NRSRQ-Range-NB* specifies the value range used in NRSRQ measurements and thresholds. Integer value for RSRQ measurements is according to mapping table in TS 36.133 [16], Table 9.1.22.14-1. The UE shall not report values 0 and 34.

**NRSRQ-Range-NB information element**

```

-- ASN1START

```



```
NRSRQ-Range-NB-r14 ::= INTEGER(-30..46)
-- ASN1STOP
```

### – NSSS-RRM-Config-NB

The IE *NSSS-RRM-Config-NB* provides the configuration for NSSS-based RRM measurements. See TS 36.133 [16], TS 36.211 [21] and TS 36.214 [48]. The UE only performs NSSS-based RRM measurement on cells for which the configuration has been provided.

#### NSSS-RRM-Config-NB information element

```
-- ASN1START
NSSS-RRM-Config-NB-r15 ::= SEQUENCE {
  nsss-RRM-PowerOffset-r15    ENUMERATED {dB-3, dB0, dB3},
  nsss-NumOccDiffPrecoders-r15  ENUMERATED {n1, n2, n4, n8} OPTIONAL -- Need OP
}
-- ASN1STOP
```

#### NSSS-RRM-Config-NB field descriptions

##### **nsss-RRM-PowerOffset**

NSSS to NRS ratio for the serving cell as specified in TS 36.214 [48]. Value in dB. Value dB-3 corresponds to -3 dB, dB0 corresponds to 0 dB and so on.

##### **nsss-NumOccDiffPrecoders**

Number of consecutive NSSS occasions that use different precoders for NSSS transmission. See TS 36.211 [21]. Value *n1* corresponds to 1 occasion, *n2* corresponds to 2 occasions and so on.

For value *n2*, *n4*, and *n8*, UE may assume for *nsss-NumOccDiffPrecoders* consecutive NSSS occasions, E-UTRAN uses different precoders for NSSS transmission. For value *n1*, UE may assume that E-UTRAN always uses the same precoder.

If the field is absent, the UE makes no assumption on the antenna port(s) used for NSSS.

### 6.7.3.6 NB-IoT Other information elements

#### – EstablishmentCause-NB

The IE *EstablishmentCause-NB* provides the establishment cause for the RRC connection request or the RRC connection resume request as provided by the upper layers.

#### EstablishmentCause-NB information element

```
-- ASN1START
EstablishmentCause-NB-r13 ::= ENUMERATED {
  mt-Access, mo-Signalling, mo-Data, mo-ExceptionData,
  delayTolerantAccess-v1330, spare3, spare2, spare1}
-- ASN1STOP
```

#### – UE-Capability-NB

The IE *UE-Capability-NB* is used to convey the NB-IoT UE Radio Access Capability Parameters, see TS 36.306 [5]. The IE *UE-Capability-NB* is transferred in NB-IoT only.

#### UE-Capability-NB information element

```
-- ASN1START
UE-Capability-NB-r13 ::= SEQUENCE {
  accessStratumRelease-r13    AccessStratumRelease-NB-r13,
  ue-Category-NB-r13          ENUMERATED {nbl} OPTIONAL,
  multipleDRB-r13             ENUMERATED {supported} OPTIONAL,
}
```

|   |   |   |
|---|---|---|
| <p>pdcp-Parameters-r13<br/> phyLayerParameters-r13<br/> rf-Parameters-r13<br/> dummy<br/> }</p>   | <p>PDCP-Parameters-NB-r13<br/> PhyLayerParameters-NB-r13,<br/> RF-Parameters-NB-r13,<br/> SEQUENCE {<br/> }</p>   | <p>OPTIONAL,<br/> OPTIONAL,<br/> OPTIONAL,<br/> OPTIONAL</p>                |
| <p>UE-Capability-NB-Ext-r14-IEs ::=</p> <p>ue-Category-NB-r14<br/> mac-Parameters-r14<br/> phyLayerParameters-v1430<br/> rf-Parameters-v1430<br/> nonCriticalExtension<br/> }</p>   | <p>SEQUENCE {<br/> ENUMERATED {nb2}<br/> MAC-Parameters-NB-r14<br/> PhyLayerParameters-NB-v1430<br/> RF-Parameters-NB-v1430,<br/> UE-Capability-NB-v1440-IEs<br/> }</p>                       | <p>OPTIONAL,<br/> OPTIONAL,<br/> OPTIONAL,<br/> OPTIONAL,<br/> OPTIONAL</p> |
| <p>UE-Capability-NB-v1440-IEs ::=</p> <p>phyLayerParameters-v1440<br/> nonCriticalExtension<br/> }</p>  | <p>SEQUENCE {<br/> PhyLayerParameters-NB-v1440<br/> UE-Capability-NB-v14x0-IEs<br/> }</p>   | <p>OPTIONAL,<br/> OPTIONAL</p>  |
| <p>UE-Capability-NB-v14x0-IEs ::=</p> <p>-- Following field is only to be used for late REL-14 extensions<br/> lateNonCriticalExtension<br/> nonCriticalExtension<br/> }</p>  | <p>SEQUENCE {<br/> OCTET STRING<br/> UE-Capability-NB-v1530-IEs<br/> }</p>  | <p>OPTIONAL,<br/> OPTIONAL</p>  |
| <p>UE-Capability-NB-v1530-IEs ::=</p> <p>earlyData-UP-r15<br/> rlc-Parameters-r15<br/> mac-Parameters-v1530<br/> phyLayerParameters-v1530<br/> tdd-UE-Capability-r15<br/> nonCriticalExtension<br/> }</p>   | <p>SEQUENCE {<br/> ENUMERATED {supported}<br/> RLC-Parameters-NB-r15,<br/> MAC-Parameters-NB-v1530,<br/> PhyLayerParameters-NB-v1530<br/> TDD-UE-Capability-NB-r15<br/> SEQUENCE {<br/> }</p> | <p>OPTIONAL,<br/> OPTIONAL,<br/> OPTIONAL,<br/> OPTIONAL,<br/> OPTIONAL</p> |
| <p>TDD-UE-Capability-NB-r15 ::=</p> <p>ue-Category-NB-r15<br/> phyLayerParametersRel13-r15<br/> phyLayerParametersRel14-r15<br/> phyLayerParameters-v1530<br/> ...<br/> }</p>   | <p>SEQUENCE {<br/> ENUMERATED {nb2}<br/> PhyLayerParameters-NB-r13<br/> PhyLayerParameters-NB-v1430<br/> PhyLayerParameters-NB-v1530<br/> }</p>   | <p>OPTIONAL,<br/> OPTIONAL,<br/> OPTIONAL,<br/> OPTIONAL</p>                |
| <p>AccessStratumRelease-NB-r13 ::=</p> <p>spare1, ...}</p>  | <p>ENUMERATED {rel13, rel14, rel15, spare5, spare4, spare3, spare2,<br/> spare1, ...}</p>   |   |
| <p>PDCP-Parameters-NB-r13 ::= SEQUENCE {</p> <p>supportedROHC-Profiles-r13 SEQUENCE {</p> <p>profile0x0002 BOOLEAN,<br/> profile0x0003 BOOLEAN,<br/> profile0x0004 BOOLEAN,<br/> profile0x0006 BOOLEAN,<br/> profile0x0102 BOOLEAN,<br/> profile0x0103 BOOLEAN,<br/> profile0x0104 BOOLEAN</p> <p>},<br/> maxNumberROHC-ContextSessions-r13 ENUMERATED {cs2, cs4, cs8, cs12} DEFAULT cs2,<br/> ...<br/> }</p> |   |   |
| <p>RLC-Parameters-NB-r15 ::=</p> <p>rlc-UM-r15<br/> }</p>   | <p>SEQUENCE {<br/> ENUMERATED {supported}</p>   | <p>OPTIONAL</p>   |
| <p>MAC-Parameters-NB-r14 ::=</p> <p>dataInactMon-r14<br/> rai-Support-r14<br/> }</p>  | <p>SEQUENCE {<br/> ENUMERATED {supported}<br/> ENUMERATED {supported}</p>   | <p>OPTIONAL,<br/> OPTIONAL</p>  |
| <p>MAC-Parameters-NB-v1530 ::=</p> <p>sr-SPS-BSR-r15<br/> }</p>   | <p>SEQUENCE {<br/> ENUMERATED {supported}</p>   | <p>OPTIONAL</p>   |
| <p>PhyLayerParameters-NB-r13 ::=</p> <p>multiTone-r13<br/> multiCarrier-r13<br/> }</p>  | <p>SEQUENCE {<br/> ENUMERATED {supported}<br/> ENUMERATED {supported}</p>   | <p>OPTIONAL,<br/> OPTIONAL</p>  |
| <p>PhyLayerParameters-NB-v1430 ::=</p> <p>SEQUENCE {</p>  | <p>SEQUENCE {</p>   |   |

```

    multiCarrier-NPRACH-r14          ENUMERATED {supported}          OPTIONAL,
    twoHARQ-Processes-r14           ENUMERATED {supported}          OPTIONAL
  }

PhyLayerParameters-NB-v1440 ::= SEQUENCE {
    interferenceRandomisation-r14    ENUMERATED {supported}          OPTIONAL
}

PhyLayerParameters-NB-v1530 ::= SEQUENCE {
    mixedOperationMode-r15          ENUMERATED {supported}          OPTIONAL,
    sr-WithHARQ-ACK-r15             ENUMERATED {supported}          OPTIONAL,
    sr-WithoutHARQ-ACK-r15         ENUMERATED {supported}          OPTIONAL,
    nprach-Format2-r15              ENUMERATED {supported}          OPTIONAL,
    additionalTransmissionSIB1-r15  ENUMERATED {supported}          OPTIONAL,
    npusch-3dot75kHz-SCS-TDD-r15   ENUMERATED {supported}          OPTIONAL
}

RF-Parameters-NB-r13 ::= SEQUENCE {
    supportedBandList-r13           SupportedBandList-NB-r13,
    multiNS-Pmax-r13                ENUMERATED {supported}          OPTIONAL
}

RF-Parameters-NB-v1430 ::= SEQUENCE {
    powerClassNB-14dBm-r14         ENUMERATED {supported}          OPTIONAL
}

SupportedBandList-NB-r13 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBand-NB-r13

SupportedBand-NB-r13 ::= SEQUENCE {
    band-r13                        FreqBandIndicator-NB-r13,
    powerClassNB-20dBm-r13         ENUMERATED {supported}          OPTIONAL
}

-- ASN1STOP

```

| <b>UE-Capability-NB field descriptions</b>  | <b>FDD/TDD<br/>appl</b> | <b>FDD/TDD<br/>diff</b> |
|---|-------------------------|-------------------------|
| <b>accessStratumRelease</b><br>Set to rel15 in this version of the specification.   | FDD/TDD                 | No                      |
| <b>additionalTransmissionSIB1</b><br>Indicates whether the UE supports additional SIB1 transmission as specified in TS 36.213 [23].   | FDD                     | -                       |
| <b>dataInactMon</b><br>Indicates whether the UE supports the data inactivity monitoring as specified in TS 36.321 [6].  | FDD/TDD                 | No                      |
| <b>dummy</b><br>This field is not used in the specification. It shall not be sent by the UE.  | NA                      | NA                      |
| <b>earlyData-UP</b><br>Indicates whether the UE supports EDT for User plane Clot EPS optimisations, as defined in TS 24.301 [35].   | FDD                     | -                       |
| <b>interferenceRandomisation</b><br>For FDD: Indicates whether the UE supports interference randomisation in connected mode as defined in TS.36.211 [21].   | FDD                     | -                       |
| <b>maxNumberROHC-ContextSessions</b><br>Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in <i>supportedROHC-Profiles</i> . | FDD/TDD                 | No                      |
| <b>mixedOperationMode</b><br>Defines whether the UE supports multi-carrier operation with mixed operation mode, standalone or inband/guardband, between the anchor carrier and the non-anchor carrier for unicast, paging, and random access as specified in TS 36.300 [9].   | FDD                     | -                       |
| <b>multiCarrier</b><br>Defines whether the UE supports multi -carrier operation.  | FDD/TDD                 | Yes                     |
| <b>multicarrier-NPRACH</b><br>Defines whether the UE supports NPRACH on non-anchor carrier as specified in TS 36.321 [6].   | FDD/TDD                 | Yes                     |
| <b>multipleDRB</b><br>Defines whether the UE supports multiple DRBs.  | FDD/TDD                 | No                      |
| <b>multiNS-Pmax</b><br>Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting <i>NS-PmaxList-NB</i> .   | FDD/TDD                 | No                      |
| <b>multiTone</b><br>Defines whether the UE supports UL multi-tone transmissions on NPUSCH.  | FDD/TDD                 | Yes                     |
| <b>nprach-Format2</b><br>Defines whether the UE supports NPRACH resources using preamble format 2.  | FDD                     | -                       |
| <b>npusch-3dot75kHz-SCS-TDD</b><br>Indicates whether the UE supports NPUSCH with 3.75kHz SCS for TDD.   | TDD                     | -                       |
| <b>powerClassNB-14dBm</b><br>Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].<br>If <i>powerClassNB-20dBm</i> is included, the UE shall not include the field <i>powerClassNB-14dBm</i> .   | FDD/TDD                 | No                      |
| <b>powerClassNB-20dBm</b><br>Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither <i>powerClassNB-14dBm</i> nor <i>powerClassNB-20dBm</i> is included, UE supports power class 23 dBm in the NB-IoT band.   | FDD/TDD                 | No                      |
| <b>rai-Support</b><br>Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  | FDD/TDD                 | No                      |
| <b>rlc-UM</b><br>Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  | FDD/TDD                 | No                      |
| <b>supportedBandList</b><br>Includes the supported NB-IoT bands as defined in TS 36.101 [42].   | FDD/TDD                 | No                      |
| <b>sr-SPS-BSR</b><br>Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  | FDD                     | -                       |
| <b>sr-withHARQ-ACK</b><br>Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].   | FDD                     | -                       |
| <b>sr-withoutHARQ-ACK</b><br>Defines whether the UE supports physical layer SR without HARQ ACK as specified in TS 36.211 [21] and TS 36.213 [23].  | FDD                     | -                       |

| <b>UE-Capability-NB field descriptions</b>   | <b>FDD/TDD appl</b> | <b>FDD/TDD diff</b> |
|--|---------------------|---------------------|
| <b>supportedROHC-Profiles</b><br>List of supported ROHC profiles as defined in TS 36.323 [8].  | FDD/TDD             | No                  |
| <b>twoHARQ-Processes</b><br>Defines whether the UE supports two HARQ processes operation in DL and UL as specified in TS 36.212 [22] and TS 36.213 [23].   | FDD/TDD             | Yes                 |
| <b>ue-Category-NB</b><br>UE category as defined in TS 36.306 [5]. Value nb1 corresponds to UE category NB1, value nb2 corresponds to UE category NB2.<br>A UE shall always include the field <i>ue-Category-NB-r13</i> in this version of the specification. | FDD/TDD             | Yes                 |

NOTE 1: The IE *UE-Capability-NB* does not include AS security capability information, since these are the same as the security capabilities that are signalled by NAS. Consequently AS need not provide "man-in-the-middle" protection for the security capabilities.

NOTE 2: The column 'FDD/TDD appl' indicates the applicability to the xDD mode: 'FDD' means applicable to FDD only, 'TDD' means applicable to TDD only and 'FDD/TDD' means applicable to FDD and TDD.

NOTE 3: The column 'FDD/TDD diff' indicates if the UE is allowed to signal a different value for FDD and TDD when the capability applies to both FDD and TDD modes. '-' is used when the capability applies to one mode only, 'No' is used for dual mode capabilities where a common value is signalled for both modes, and 'Yes' is used for dual mode capabilities where a separate value is signalled for each mode. Common capabilities and FDD capabilities are reported in the fields of *UE-Capability-NB* except field *tdd-UE-Capability*. TDD capabilities are reported in *tdd-UE-Capability*.

## – *UE-RadioPagingInfo-NB*

The IE *UE-RadioPagingInfo-NB* contains UE NB-IoT capability information needed for paging.

### ***UE-RadioPagingInfo-NB* information element**

```
-- ASN1START
UE-RadioPagingInfo-NB-r13 ::= SEQUENCE {
    ue-Category-NB-r13      ENUMERATED {nb1}           OPTIONAL,
    ...,
    [[ multiCarrierPaging-r14  ENUMERATED {true}           OPTIONAL
    ]],
    [[ mixedOperationMode-r15  ENUMERATED {supported}       OPTIONAL,
    wakeUpSignal-r15          ENUMERATED {true}             OPTIONAL,
    wakeUpSignalMinGap-eDRX-r15  ENUMERATED {ms40, ms240, ms1000, ms2000}  OPTIONAL,
    multiCarrierPagingTDD-r15  ENUMERATED {true}           OPTIONAL
    ]]
}
-- ASN1STOP
```

| <b>UE-RadioPagingInfo-NB field descriptions</b>   |
|---|
| <p><b>mixedOperationMode</b><br/>Indicates whether the UE supports multi-carrier operation with mixed operation mode, standalone or inband/guardband, between the anchor carrier and non-anchor carrier for unicast, paging, and random access, as specified in TS 36.300 [9].</p>  |
| <p><b>multiCarrierPaging</b><br/>Indicates whether the UE supports paging on non-anchor carriers as defined in TS 36.304 [4].</p>   |
| <p><b>multiCarrierPagingTDD</b><br/>Indicates whether the UE supports paging on non-anchor carriers for TDD as defined in TS 36.304 [4].</p>  |
| <p><b>ue-Category-NB</b><br/>UE NB-IoT category as defined in TS 36.306 [5]. A UE shall always include the field <i>ue-Category-NB-r13</i> in this version of the specification.</p>  |
| <p><b>wakeUpSignal</b><br/>Indicates whether the UE supports WUS for paging in DRX in FDD as specified in TS 36.304 [4]. If this field is included, the minimum gap between WUS and associated PO for DRX is fixed as 40 ms.</p>  |
| <p><b>wakeUpSignalMinGap-eDRX</b><br/>Indicates the minimum gap the UE supports between WUS and associated PO in case of eDRX in FDD, as specified in TS 36.304 [4]. Value <i>ms40</i> corresponds to 40 ms, value <i>ms240</i> corresponds to 240 ms and so on. If this field is included, the UE shall also indicate support for WUS for paging in DRX,</p> |

### – UE-TimersAndConstants-NB

The IE *UE-TimersAndConstants-NB* contains timers and constants used by the UE in either RRC\_CONNECTED or RRC\_IDLE.

#### **UE-TimersAndConstants-NB information element**

```

-- ASN1START
UE-TimersAndConstants-NB-r13 ::= SEQUENCE {
    t300-r13          ENUMERATED {
                        ms2500, ms4000, ms6000, ms10000,
                        ms15000, ms25000, ms40000, ms60000},
    t301-r13          ENUMERATED {
                        ms2500, ms4000, ms6000, ms10000,
                        ms15000, ms25000, ms40000, ms60000},
    t310-r13          ENUMERATED {
                        ms0, ms200, ms500, ms1000, ms2000, ms4000, ms8000},
    n310-r13          ENUMERATED {
                        n1, n2, n3, n4, n6, n8, n10, n20},
    t311-r13          ENUMERATED {
                        ms1000, ms3000, ms5000, ms10000, ms15000,
                        ms20000, ms30000},
    n311-r13          ENUMERATED {
                        n1, n2, n3, n4, n5, n6, n8, n10},
    ...,
    [[ t311-v1350     ENUMERATED {
                        ms40000, ms60000, ms90000, ms120000}
                        OPTIONAL -- Need OR
    ]],
    [[ t300-v1530     ENUMERATED {
                        ms80000, ms100000, ms120000} OPTIONAL, -- Cond TDD
    t301-v1530       ENUMERATED {
                        ms80000, ms100000, ms120000} OPTIONAL, -- Cond TDD
    t311-v1530       ENUMERATED {
                        ms160000, ms200000} OPTIONAL, -- Cond TDD
    t300-r15         ENUMERATED {ms6000, ms10000, ms15000, ms25000, ms40000,
                        ms60000, ms80000, ms120000} OPTIONAL -- Cond EDT
    ]],
}
-- ASN1STOP

```

| <i>UE-TimersAndConstants-NB</i> field descriptions |  |
|--|--|
| <b><i>n3xy</i></b>                                 | Constants are described in clause 7.4. <i>n1</i> corresponds with 1, <i>n2</i> corresponds with 2 and so on.   |
| <b><i>t3xy</i></b>                                 | Timers are described in clause 7.3. Value <i>ms0</i> corresponds with 0 ms, <i>ms200</i> corresponds with 200 ms and so on. The UE shall use the extended values <i>t311-v1350</i> , <i>t300-v1530</i> , <i>t301-v1530</i> and <i>t311-v1530</i> , if present, and ignore the value signaled by <i>t311-r13</i> , <i>t300-r13</i> , <i>t301-r13</i> and <i>t311-r13</i> respectively.<br><i>t300-r15</i> is only applicable for EDT. UE performing EDT shall use <i>t300-r15</i> , if present. |

| Conditional presence | Explanation   |
|----------------------|---|
| <i>EDT</i>           | The field is optionally present, Need OR, if <i>edt-Parameters</i> is present in SIB2-NB; otherwise the field is not present and the UE shall delete any existing value for this field. |
| <i>TDD</i>           | The field is optionally present, Need OR, in TDD mode. Otherwise, the field is not present.   |

### 6.7.3.7 NB-IoT MBMS information elements

Void

#### 6.7.3.7a NB-IoT SC-PTM information elements

##### – *SC-MTCH-InfoList-NB*

The IE *SC-MTCH-InfoList-NB* provides the list of ongoing MBMS sessions transmitted via SC-MRB and for each MBMS session, the associated G-RNTI and scheduling information.

#### ***SC-MTCH-InfoList-NB* information element**

```
-- ASN1START
SC-MTCH-InfoList-NB-r14 ::= SEQUENCE (SIZE (0..maxSC-MTCH-NB-r14)) OF SC-MTCH-Info-NB-r14
SC-MTCH-Info-NB-r14 ::= SEQUENCE {
    sc-mtch-CarrierConfig-r14 CHOICE {
        dl-CarrierConfig-r14 DL-CarrierConfigCommon-NB-r14,
        dl-CarrierIndex-r14 INTEGER (0..maxNonAnchorCarriers-NB-r14)
    },
    mbmsSessionInfo-r14 MBMSSessionInfo-r13,
    g-RNTI-r14 BIT STRING (SIZE(16)),
    sc-mtch-SchedulingInfo-r14 SC-MTCH-SchedulingInfo-NB-r14 OPTIONAL, -- Need OP
    sc-mtch-NeighbourCell-r14 BIT STRING (SIZE(maxNeighCell-SCPTM-NB-r14)) OPTIONAL, --
Need OP
    npdcch-NPDSCH-MaxTBS-SC-MTCH-r14 ENUMERATED {n680, n2536},
    npdcch-NumRepetitions-SC-MTCH-r14 ENUMERATED {r1, r2, r4, r8, r16,
        r32, r64, r128, r256,
        r512, r1024, r2048, spare4,
        spare3, spare2, spare1},
    npdcch-StartSF-SC-MTCH-r14 ENUMERATED {v1dot5, v2, v4, v8,
        v16, v32, v48, v64},
    npdcch-Offset-SC-MTCH-r14 ENUMERATED {zero, oneEighth, oneQuarter,
        threeEighth, oneHalf, fiveEighth,
        threeQuarter, sevenEighth},
    ...
}
SC-MTCH-SchedulingInfo-NB-r14 ::= SEQUENCE {
    onDurationTimerSCPTM-r14 ENUMERATED {
        pp1, pp2, pp3, pp4,
        pp8, pp16, pp32, spare},
    drx-InactivityTimerSCPTM-r14 ENUMERATED {
        pp0, pp1, pp2, pp3,
        pp4, pp8, pp16, pp32},
    schedulingPeriodStartOffsetSCPTM-r14 CHOICE {
        sf10 INTEGER(0..9),
        sf20 INTEGER(0..19),
        sf32 INTEGER(0..31),
        sf40 INTEGER(0..39),
        sf64 INTEGER(0..63),
        sf80 INTEGER(0..79),
```

```

sf128 INTEGER(0..127),
sf160 INTEGER(0..159),
sf256 INTEGER(0..255),
sf320 INTEGER(0..319),
sf512 INTEGER(0..511),
sf640 INTEGER(0..639),
sf1024 INTEGER(0..1023),
sf2048 INTEGER(0..2047),
sf4096 INTEGER(0..4095),
sf8192 INTEGER(0..8191)
},
...
}
-- ASN1STOP

```

### SC-MTCH-InfoList-NB field descriptions

|   |  |
|---|--|
| <b>dl-CarrierConfig</b>                 | Downlink carrier used for SC-MTCH. E-UTRAN cannot configure a downlink carrier operating in mixed operation mode.  |
| <b>dl-CarrierIndex</b>                  | Index to a downlink carrier signalled in system information. Value '0' corresponds to the anchor carrier, value '1' corresponds to the first entry in <i>dl-ConfigList</i> in <i>SystemInformationBlockType22-NB</i> , value '2' corresponds to the second entry in <i>dl-ConfigList</i> and so on.  |
| <b>drx-InactivityTimerSCPTM</b>         | Timer for SC-MTCH reception in TS 36.321 [6]. Value in number of NPDCCH periods. Value pp1 corresponds to 1 NPDCCH period, pp2 corresponds to 2 NPDCCH periods and so on.  |
| <b>g-RNTI</b>                           | G-RNTI used to scramble the scheduling and transmission of a SC-MTCH.  |
| <b>mbmsSessionInfo</b>                  | Indicates the ongoing MBMS session in a SC-MTCH.   |
| <b>npdcch-NPDSCH-MaxTBS-SC-MTCH</b>     | Maximum NPDSCH TBS for the SC-MTCH, see TS 36.213 [23]. Value <i>n680</i> corresponds to 680 bits and value <i>n2536</i> corresponds to 2536 bits.   |
| <b>npdcch-NumRepetition-SC-MTCH</b>     | The maximum number of NPDCCH repetitions the UE needs to monitor for SC-MTCH multicast search space, see TS 36.213 [23].   |
| <b>npdcch-Offset-SC-MTCH</b>            | Fractional period offset of starting subframe for NPDCCH multicast search space for SC-MTCH, see TS 36.213 [23].   |
| <b>npdcch-startSF-SC-MTCH</b>           | Starting subframes configuration of the NPDCCH multicast search space for SC-MTCH, see TS 36.213 [23].   |
| <b>onDurationTimerSCPTM</b>             | Timer for SC-MTCH reception in TS 36.321 [6]. Value in number of NPDCCH periods. Value pp1 corresponds to 1 NPDCCH period, pp2 corresponds to 2 NPDCCH periods and so on.  |
| <b>schedulingPeriodStartOffsetSCPTM</b> | <i>SCPTM-SchedulingCycle</i> and <i>SCPTM-SchedulingOffset</i> in TS 36.321 [6]. The value of <i>SCPTM-SchedulingCycle</i> is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of <i>SCPTM-SchedulingOffset</i> is in number of sub-frames.  |
| <b>sc-mtch-CarrierConfig</b>            | Downlink carrier that is used for SC-MTCH.   |
| <b>sc-mtch-NeighbourCell</b>            | Indicates neighbour cells which also provide this service on SC-MTCH. The first bit is set to 1 if the service is provided on SC-MTCH in the first cell in <i>scptmNeighbourCellList</i> , otherwise it is set to 0. The second bit is set to 1 if the service is provided on SC-MTCH in the second cell in <i>scptmNeighbourCellList</i> , and so on. If this field is absent, the UE shall assume that this service is not available on SC-MTCH in any neighbour cell. |
| <b>sc-mtch-SchedulingInfo</b>           | DRX information for the SC-MTCH.<br>If this field is absent, DRX is not used for the SC-MTCH.  |

### SCPTM-NeighbourCellList-NB

The IE *SCPTM-NeighbourCellList-NB* indicates a list of neighbour cells where ongoing MBMS sessions provided via SC-MRB in the current cells are also provided.

```
-- ASN1START
```



```

SCPTM-NeighbourCellList-NB-r14 ::= SEQUENCE (SIZE (1..maxNeighCell-SCPTM-NB-r14)) OF PCI-ARFCN-NB-r14
PCI-ARFCN-NB-r14 ::= SEQUENCE {
    physCellId-r14 PhysCellId,
    carrierFreq-r14 CarrierFreq-NB-r13 OPTIONAL -- Need OP
}
-- ASN1STOP
    
```

| <i>SCPTM-NeighbourCellList-NB field descriptions</i>  |
|---|
| <b>physCellId</b><br>Physical Cell Identity of the neighbour cell.  |
| <b>carrierFreq</b><br>Carrier frequency of the neighbour cell.<br>Absence of the IE means that the neighbour cell is on the same frequency as the current cell. |

### 6.7.4 NB-IoT RRC multiplicity and type constraint values

#### – Multiplicity and type constraint definitions

```

-- ASN1START
maxNPRACH-Resources-NB-r13 INTEGER ::= 3 -- Maximum number of NPRACH resources for NB-IoT
maxNonAnchorCarriers-NB-r14 INTEGER ::= 15 -- Maximum number of non-anchor carriers for NB-IoT
maxDRB-NB-r13 INTEGER ::= 2 -- Maximum number of Data Radio Bearers for NB-IoT
maxNeighCell-SCPTM-NB-r14 INTEGER ::= 8 -- Maximum number of SCPTM neighbour cells
maxNS-Pmax-NB-r13 INTEGER ::= 4 -- Maximum number of NS and P-Max values per band
maxSC-MTCH-NB-r14 INTEGER ::= 64 -- Maximum number of SC-MTCHs in one cell for NB-IoT
maxSI-Message-NB-r13 INTEGER ::= 8 -- Maximum number of SI messages for NB-IoT
-- ASN1STOP
    
```

#### – End of NBIOT-RRC-Definitions

```

-- ASN1START
END
-- ASN1STOP
    
```

### 6.7.5 Direct Indication Information

Direct Indication information is transmitted on NPDCCH using P-RNTI but without associated *Paging-NB* message. Table 6.7.5-1 defines the Direct Indication information, see TS 36.212 [22], clause 6.4.3.3.

When bit n is set to 1, the UE shall behave as if the corresponding field is set in the *Paging-NB* message, see 5.3.2.3. Bit 1 is the least significant bit.

**Table 6.7.5-1: Direct Indication information**

| Bit                 | Field in <i>Direct Indication information</i>    |
|---------------------|--|
| 1                   | <i>systemInfoModification</i>                    |
| 2                   | <i>systemInfoModification-eDRX</i>               |
| 3, 4, 5,<br>6, 7, 8 | Not used, and shall be ignored by UE if received |

## 7 Variables and constants

### 7.1 UE variables

NOTE: To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

#### – *EUTRA-UE-Variables*

This ASN.1 segment is the start of the E-UTRA UE variable definitions.

```
-- ASN1START
EUTRA-UE-Variables DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
  AbsoluteTimeInfo-r10,
  AreaConfiguration-r10,
  AreaConfiguration-v1130,
  ARFCN-ValueNR-r15,
  BT-NameList-r15,
  CarrierFreqGERAN,
  CellIdentity,
  CellList-r15,
  ConnEstFailReport-r11,
  EUTRA-CarrierList-r15,
  SpeedStateScaleFactors,
  C-RNTI,
  LoggingDuration-r10,
  LoggingInterval-r10,
  LogMeasInfo-r10,
  MeasCSI-RS-Id-r12,
  MeasId,
  MeasId-v1250,
  MeasIdToAddModList,
  MeasIdToAddModListExt-r12,
  MeasIdToAddModList-v1310,
  MeasIdToAddModListExt-v1310,
  MeasObjectToAddModList,
  MeasObjectToAddModList-v9e0,
  MeasObjectToAddModListExt-r13,
  MeasResultListIdle-r15,
  MeasScaleFactor-r12,
  MobilityStateParameters,
  NeighCellConfig,
  PhysCellId,
  PhysCellIdCDMA2000,
  PhysCellIdGERAN,
  PhysCellIdUTRA-FDD,
  PhysCellIdUTRA-TDD,
  PLMN-Identity,
  PLMN-IdentityList3-r11,
  QuantityConfig,
  ReportConfigToAddModList,
  RLF-Report-r9,
  TargetMBSFN-AreaList-r12,
  TraceReference-r10,
  Tx-ResourcePoolMeasList-r14,
  VisitedCellInfoList-r12,
  maxCellMeas,
  maxCSI-RS-Meas-r12,
  maxMeasId,
  maxMeasId-r12,
  maxRS-Index-r15,
  PhysCellIdNR-r15,
  RS-IndexNR-r15,
  UL-DelayConfig-r13,
  WLAN-CarrierInfo-r13,
```

```

WLAN-Identifiers-r12,
WLAN-Id-List-r13,
WLAN-NameList-r15,
WLAN-Status-r13,
WLAN-Status-v1430,
WLAN-SuspendConfig-r14

FROM EUTRA-RRC-Definitions;

-- ASN1STOP

```

## – *VarConnEstFailReport*

The UE variable *VarConnEstFailReport* includes the connection establishment failure information.

### **VarConnEstFailReport UE variable**

```

-- ASN1START

VarConnEstFailReport-r11 ::=          SEQUENCE {
    connEstFailReport-r11              ConnEstFailReport-r11,
    plmn-Identity-r11                  PLMN-Identity
}

-- ASN1STOP

```

## – *VarLogMeasConfig*

The UE variable *VarLogMeasConfig* includes the configuration of the logging of measurements to be performed by the UE while in RRC\_IDLE, covering intra-frequency, inter-frequency, inter-RAT mobility and MBSFN related measurements. If MBSFN logging is configured, the UE performs logging of measurements while in both RRC\_IDLE and RRC\_CONNECTED. Otherwise, the UE performs logging of measurements only while in RRC\_IDLE.

### **VarLogMeasConfig UE variable**

```

-- ASN1START

VarLogMeasConfig-r10 ::=              SEQUENCE {
    areaConfiguration-r10              AreaConfiguration-r10      OPTIONAL,
    loggingDuration-r10                LoggingDuration-r10,
    loggingInterval-r10                LoggingInterval-r10
}

VarLogMeasConfig-r11 ::=              SEQUENCE {
    areaConfiguration-r10              AreaConfiguration-r10      OPTIONAL,
    areaConfiguration-v1130            AreaConfiguration-v1130    OPTIONAL,
    loggingDuration-r10                LoggingDuration-r10,
    loggingInterval-r10                LoggingInterval-r10
}

VarLogMeasConfig-r12 ::=              SEQUENCE {
    areaConfiguration-r10              AreaConfiguration-r10      OPTIONAL,
    areaConfiguration-v1130            AreaConfiguration-v1130    OPTIONAL,
    loggingDuration-r10                LoggingDuration-r10,
    loggingInterval-r10                LoggingInterval-r10,
    targetMBSFN-AreaList-r12           TargetMBSFN-AreaList-r12    OPTIONAL
}

VarLogMeasConfig-r15 ::=              SEQUENCE {
    areaConfiguration-r10              AreaConfiguration-r10      OPTIONAL,
    areaConfiguration-v1130            AreaConfiguration-v1130    OPTIONAL,
    loggingDuration-r10                LoggingDuration-r10,
    loggingInterval-r10                LoggingInterval-r10,
    targetMBSFN-AreaList-r12           TargetMBSFN-AreaList-r12    OPTIONAL,
    bt-NameList-r15                    BT-NameList-r15             OPTIONAL,
    wlan-NameList-r15                  WLAN-NameList-r15          OPTIONAL
}

-- ASN1STOP

```

## – *VarLogMeasReport*

The UE variable *VarLogMeasReport* includes the logged measurements information.

### ***VarLogMeasReport* UE variable**

```
-- ASN1START
VarLogMeasReport-r10 ::=
    SEQUENCE {
        traceReference-r10          TraceReference-r10,
        traceRecordingSessionRef-r10 OCTET STRING (SIZE (2)),
        tce-Id-r10                  OCTET STRING (SIZE (1)),
        plmn-Identity-r10           PLMN-Identity,
        absoluteTimeInfo-r10       AbsoluteTimeInfo-r10,
        logMeasInfoList-r10        LogMeasInfoList2-r10
    }

VarLogMeasReport-r11 ::=
    SEQUENCE {
        traceReference-r10          TraceReference-r10,
        traceRecordingSessionRef-r10 OCTET STRING (SIZE (2)),
        tce-Id-r10                  OCTET STRING (SIZE (1)),
        plmn-IdentityList-r11       PLMN-IdentityList3-r11,
        absoluteTimeInfo-r10       AbsoluteTimeInfo-r10,
        logMeasInfoList-r10        LogMeasInfoList2-r10
    }

LogMeasInfoList2-r10 ::=
    SEQUENCE (SIZE (1..maxLogMeas-r10)) OF LogMeasInfo-r10
-- ASN1STOP
```

## – *VarMeasConfig*

The UE variable *VarMeasConfig* includes the accumulated configuration of the measurements to be performed by the UE, covering intra-frequency, inter-frequency and inter-RAT mobility related measurements.

**NOTE:** The amount of measurement configuration information, which a UE is required to store, is specified in clause 11.1. If the number of frequencies configured for a particular RAT exceeds the minimum performance requirements specified in TS 36.133 [16], it is up to UE implementation which frequencies of that RAT are measured. If the total number of frequencies for all RATs provided to the UE in the measurement configuration exceeds the minimum performance requirements specified in TS 36.133 [16], it is up to UE implementation which frequencies/RATs are measured.

### ***VarMeasConfig* UE variable**

```
-- ASN1START
VarMeasConfig ::=
    SEQUENCE {
        -- Measurement identities
        measIdList                MeasIdToAddModList                OPTIONAL,
        measIdListExt-r12          MeasIdToAddModListExt-r12        OPTIONAL,
        measIdList-v1310           MeasIdToAddModList-v1310         OPTIONAL,
        measIdListExt-v1310       MeasIdToAddModListExt-v1310      OPTIONAL,
        -- Measurement objects
        measObjectList            MeasObjectToAddModList            OPTIONAL,
        measObjectListExt-r13     MeasObjectToAddModListExt-r13    OPTIONAL,
        measObjectList-v9i0       MeasObjectToAddModList-v9e0     OPTIONAL,
        -- Reporting configurations
        reportConfigList          ReportConfigToAddModList          OPTIONAL,
        -- Other parameters
        quantityConfig            QuantityConfig                    OPTIONAL,
        measScaleFactor-r12       MeasScaleFactor-r12              OPTIONAL,
        s-Measure                  INTEGER (-140..-44)                OPTIONAL,
        speedStatePars            CHOICE {
            release                NULL,
            setup                  SEQUENCE {
                mobilityStateParameters MobilityStateParameters,
                timeToTrigger-SF    SpeedStateScaleFactors
            }
        }
        allowInterruptions-r11    BOOLEAN                            OPTIONAL
    }
-- ASN1STOP
```

```
-- ASN1STOP
```

## – *VarMeasIdleConfig*

The UE variable *VarMeasIdleConfig* includes the configuration of the measurements to be performed by the UE while in RRC\_IDLE for E-UTRA inter-frequency measurements. The UE performs logging of these measurements only while in RRC\_IDLE.

### ***VarMeasIdleConfig* UE variable**

```
-- ASN1START
VarMeasIdleConfig-r15 ::= SEQUENCE {
    measIdleCarrierListEUTRA-r15          EUTRA-CarrierList-r15          OPTIONAL,
    measIdleDuration-r15                  ENUMERATED {sec10, sec30, sec60, sec120,
                                                    sec180, sec240, sec300}
}
-- ASN1STOP
```

## – *VarMeasIdleReport*

The UE variable *VarMeasIdleReport* includes the logged measurements information.

### ***VarMeasIdleReport* UE variable**

```
-- ASN1START
VarMeasIdleReport-r15 ::= SEQUENCE {
    measReportIdle-r15                    MeasResultListIdle-r15
}
-- ASN1STOP
```

## – *VarMeasReportList*

The UE variable *VarMeasReportList* includes information about the measurements for which the triggering conditions have been met.

### ***VarMeasReportList* UE variable**

```
-- ASN1START
VarMeasReportList ::= SEQUENCE (SIZE (1..maxMeasId)) OF VarMeasReport
VarMeasReportList-r12 ::= SEQUENCE (SIZE (1..maxMeasId-r12)) OF VarMeasReport

VarMeasReport ::= SEQUENCE {
    -- List of measurement that have been triggered
    measId                      MeasId,
    measId-v1250                 MeasId-v1250          OPTIONAL,
    cellsTriggeredList           CellsTriggeredList    OPTIONAL,
    csi-RS-TriggeredList-r12     CSI-RS-TriggeredList-r12  OPTIONAL,
    poolsTriggeredList-r14       Tx-ResourcePoolMeasList-r14 OPTIONAL,
    numberOfReportsSent          INTEGER
}

CellsTriggeredList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CHOICE {
    physCellIdEUTRA              PhysCellId,
    physCellIdUTRA               CHOICE {
        fdd                      PhysCellIdUTRA-FDD,
        tdd                      PhysCellIdUTRA-TDD
    },
    physCellIdGERAN              SEQUENCE {
        carrierFreq              CarrierFreqGERAN,
        physCellId               PhysCellIdGERAN
    },
    physCellIdCDMA2000           PhysCellIdCDMA2000,
    wlan-Identifiers-r12        WLAN-Identifiers-r12,
}
-- ASN1STOP
```

```

    physCellIdNR-r15          SEQUENCE {
      carrierFreq             ARFCN-ValueNR-r15,
      physCellId              PhysCellIdNR-r15,
      rs-IndexList-r15        SSB-IndexList-r15
    }
  }
  OPTIONAL
}

CSI-RS-TriggeredList-r12 ::= SEQUENCE (SIZE (1..maxCSI-RS-Meas-r12)) OF MeasCSI-RS-Id-r12

SSB-IndexList-r15 ::= SEQUENCE (SIZE (1..maxRS-Index-r15)) OF RS-IndexNR-r15

-- ASN1STOP

```

### – *VarMobilityHistoryReport*

The UE variable *VarMobilityHistoryReport* includes the mobility history information.

```

-- ASN1START
VarMobilityHistoryReport-r12 ::= VisitedCellInfoList-r12
-- ASN1STOP

```

### – *VarPendingRnaUpdate*

The UE variable *VarPendingRnaUpdate* indicates whether there is a pending RNAU procedure or not. The setting of this BOOLEAN variable to TRUE means that there is a pending RANU procedure.

#### ***VarPendingRnaUpdate UE variable***

```

-- ASN1START
VarPendingRnaUpdate-r15 ::= SEQUENCE {
  pendingRnaUpdate          BOOLEAN
}
-- ASN1STOP

```

### – *VarRLF-Report*

The UE variable *VarRLF-Report* includes the radio link failure information or handover failure information.

#### ***VarRLF-Report UE variable***

```

-- ASN1START
VarRLF-Report-r10 ::= SEQUENCE {
  rlf-Report-r10           RLF-Report-r9,
  plmn-Identity-r10        PLMN-Identity
}

VarRLF-Report-r11 ::= SEQUENCE {
  rlf-Report-r10           RLF-Report-r9,
  plmn-IdentityList-r11    PLMN-IdentityList3-r11
}
-- ASN1STOP

```

### – *VarShortINACTIVE-MAC-Input*

The UE variable *VarShortINACTIVE-MAC-Input* specifies the input used to generate the *shortResume-MAC-I* during RRC Connection Resume procedure for RRC\_INACTIVE.

#### ***VarShortINACTIVE-MAC-Input UE variable***

```

-- ASN1START

```

```

VarShortINACTIVE-MAC-Input-r15 ::= SEQUENCE {
    cellIdentity-r15          CellIdentity,
    physCellId-r15           PhysCellId,
    c-RNTI-r15               C-RNTI
}
-- ASN1STOP

```

#### **VarShortINACTIVE-MAC-Input field descriptions**

##### **cellIdentity**

An input variable used to calculate the *shortResumeMAC-I*. Set to CellIdentity included in *cellIdentity* (without suffix) in SIB1 of the current cell.

##### **c-RNTI**

Set to C-RNTI that the UE had in the PCell it was connected to prior to suspension of the RRC connection.

##### **physCellId**

Set to the physical cell identity of the PCell the UE was connected to prior to suspension of the RRC connection.

### – *VarShortMAC-Input*

The UE variable *VarShortMAC-Input* specifies the input used to generate the shortMAC-I.

#### **VarShortMAC-Input UE variable**

```

-- ASN1START
VarShortMAC-Input ::= SEQUENCE {
    cellIdentity          CellIdentity,
    physCellId           PhysCellId,
    c-RNTI               C-RNTI
}
-- ASN1STOP

```

#### **VarShortMAC-Input field descriptions**

##### **cellIdentity**

An input variable used to calculate the *shortMAC-I*. Set to CellIdentity included in *cellIdentity* (without suffix) in SIB1 of the current cell.

##### **c-RNTI**

Set to C-RNTI that the UE had in the PCell it was connected to prior to the failure.

##### **physCellId**

Set to the physical cell identity of the PCell the UE was connected to prior to the failure.

### – *VarShortResumeMAC-Input*

The UE variable *VarShortResumeMAC-Input* specifies the input used to generate the *shortResumeMAC-I* during RRC Connection Resume procedure.

#### **VarShortResumeMAC-Input UE variable**

```

-- ASN1START
VarShortResumeMAC-Input-r13 ::= SEQUENCE {
    cellIdentity-r13          CellIdentity,
    physCellId-r13           PhysCellId,
    c-RNTI-r13               C-RNTI,
    resumeDiscriminator-r13  BIT STRING(SIZE(1))
}
-- ASN1STOP

```

| <b>VarShortResumeMAC-Input field descriptions</b>  |
|--|
| <b>cellIdentity</b><br>An input variable used to calculate the <i>shortResumeMAC-I</i> . Set to CellIdentity included in <i>cellIdentity</i> (without suffix) in SIB1 of the current cell. |
| <b>c-RNTI</b><br>Set to C-RNTI that the UE had in the PCell it was connected to prior to suspension of the RRC connection.   |
| <b>physCellId</b><br>Set to the physical cell identity of the PCell the UE was connected to prior to suspension of the RRC connection.   |
| <b>resumeDiscriminator</b><br>A constant that allows differentiation in the calculation of the MAC-I for <i>shortResumeMAC-I</i><br>The resumeDiscriminator is set to '1'                  |

## – **VarWLAN-MobilityConfig**

The UE variable *VarWLAN-MobilityConfig* includes information about WLAN for access selection and mobility.

### **VarWLAN-MobilityConfig UE variable**

```
-- ASN1START
VarWLAN-MobilityConfig ::=
    SEQUENCE {
        wlan-MobilitySet-r13          WLAN-Id-List-r13          OPTIONAL,
        successReportRequested        ENUMERATED {true}          OPTIONAL,
        wlan-SuspendConfig-r14        WLAN-SuspendConfig-r14    OPTIONAL
    }
-- ASN1STOP
```

| <b>VarWLAN-MobilityConfig field descriptions</b>  |
|---|
| <b>wlan-MobilitySet</b><br>Indicates the WLAN mobility set configured.  |
| <b>successReportRequested</b><br>Indicates whether the UE shall report successful connection to WLAN. Applicable to LWA and LWIP. |

## – **VarWLAN-Status**

The UE variable *VarWLAN-Status* includes information about the status of WLAN connection for LWA, RCLWI or LWIP.

### **VarWLAN-Status UE variable**

```
-- ASN1START
VarWLAN-Status-r13 ::=
    SEQUENCE {
        status-r13          WLAN-Status-r13,
        status-r14          WLAN-Status-v1430  OPTIONAL
    }
-- ASN1STOP
```

| <b>VarWLAN-Status field descriptions</b>   |
|--|
| <b>status</b><br>Indicates the connection status to WLAN and causes for connection failures. |

## – **Multiplicity and type constraint definitions**

This clause includes multiplicity and type constraints applicable (only) for UE variables.

```
-- ASN1START
maxLogMeas-r10          INTEGER ::= 4060 -- Maximum number of logged measurement entries
                        -- that can be stored by the UE
-- ASN1STOP
```



```
-- ASN1STOP
```

– End of *EUTRA-UE-Variables*

```
-- ASN1START
```

```
END
```

```
-- ASN1STOP
```

## 7.1a NB-IoT UE variables

NOTE: To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

– *NB-IoT-UE-Variables*

This ASN.1 segment is the start of the NB-IoT UE variable definitions.

```
-- ASN1START
```

```
NB-IoT-UE-Variables DEFINITIONS AUTOMATIC TAGS ::=
```

```
BEGIN
```

```
IMPORTS
```

```
    VarShortMAC-Input,
```

```
    VarShortResumeMAC-Input-r13
```

```
FROM EUTRA-UE-Variables;
```

```
VarShortMAC-Input-NB-r13 ::= VarShortMAC-Input
```

```
VarShortResumeMAC-Input-NB-r13 ::= VarShortResumeMAC-Input-r13
```

```
-- ASN1STOP
```

– End of *NB-IoT-UE-Variables*

```
-- ASN1START
```

```
END
```

```
-- ASN1STOP
```

## 7.2 Counters

| Counter | Reset | Incremented | When reaching max value |
|---------|-------|-------------|-------------------------|
|         |       |             |                         |

## 7.3 Timers

### 7.3.1 Timers (Informative)

| Timer         | Start  | Stop  | At expiry  |
|---------------|--|---|--|
| T300<br>NOTE1 | Transmission of <i>RRCCONNECTIONREQUEST</i> or <i>RRCCONNECTIONRESUME REQUEST</i> or <i>RRCEARLYDATAREQUEST</i>  | Reception of <i>RRCCONNECTIONSETUP</i> , <i>RRCCONNECTIONREJECT</i> or <i>RRCCONNECTIONRESUME</i> or <i>RRCEARLYDATACOMPLETE</i> or <i>RRCCONNECTIONRELEASE</i> for UP-EDT, cell re-selection and upon abortion of connection establishment by upper layers | Perform the actions as specified in 5.3.3.6  |
| T301<br>NOTE1 | Transmission of <i>RRCCONNECTIONREESTABLISHMENTREQUEST</i>   | Reception of <i>RRCCONNECTIONREESTABLISHMENT</i> or <i>RRCCONNECTIONREESTABLISHMENTREJECT</i> message as well as when the selected cell becomes unsuitable  | Go to RRC_IDLE   |
| T302          | Reception of <i>RRCCONNECTIONREJECT</i> while performing RRC connection establishment or reception of <i>RRCCONNECTIONRELEASE</i> including <i>waitTime</i>                                | Upon entering RRC_CONNECTED and upon cell re-selection, or upon reception of <i>RRCEARLYDATACOMPLETE</i> or <i>RRCCONNECTIONRELEASE</i> for UP-EDT or upon reception of <i>RRCCONNECTIONREJECT</i> message for E-UTRA/5GC.                                  | Inform upper layers about barring alleviation as specified in 5.3.3.7  |
| T303          | Access barred while performing RRC connection establishment for mobile originating calls   | Upon entering RRC_CONNECTED and upon cell re-selection, or upon reception of <i>RRCEARLYDATACOMPLETE</i> or <i>RRCCONNECTIONRELEASE</i> for UP-EDT  | Inform upper layers about barring alleviation as specified in 5.3.3.7  |
| T304          | Reception of <i>RRCCONNECTIONRECONFIGURATION</i> message including the <i>MobilityControlInfo</i> or reception of <i>MobilityFromEUTRACommand</i> message including <i>CellChangeOrder</i> | Criterion for successful completion of handover within E-UTRA, handover to E-UTRA or cell change order is met (the criterion is specified in the target RAT in case of inter-RAT)   | In case of cell change order from E-UTRA or intra E-UTRA handover, initiate the RRC connection re-establishment procedure; In case of handover to E-UTRA, perform the actions defined in the specifications applicable for the source RAT. |
| T305          | Access barred while performing RRC connection establishment for mobile originating signalling  | Upon entering RRC_CONNECTED and upon cell re-selection, or upon reception of <i>RRCEARLYDATACOMPLETE</i> or <i>RRCCONNECTIONRELEASE</i> for UP-EDT  | Inform upper layers about barring alleviation as specified in 5.3.3.7  |
| T306          | Access barred while performing RRC connection establishment for mobile originating CS fallback.  | Upon entering RRC_CONNECTED and upon cell re-selection, or upon reception of <i>RRCEARLYDATACOMPLETE</i> or <i>RRCCONNECTIONRELEASE</i> for UP-EDT  | Inform upper layers about barring alleviation as specified in 5.3.3.7  |
| T307          | Reception of <i>RRCCONNECTIONRECONFIGURATION</i> message including <i>MobilityControlInfoSCG</i>   | Successful completion of random access on the PSCell, upon initiating re-establishment and upon SCG release   | Initiate the SCG failure information procedure as specified in 5.6.13.   |
| T308          | Access barred due to ACDC while performing RRC connection establishment subject to ACDC  | Upon entering RRC_CONNECTED and upon cell re-selection, or upon reception of <i>RRCEARLYDATACOMPLETE</i> or <i>RRCCONNECTIONRELEASE</i> for UP-EDT  | Inform upper layers about barring alleviation for ACDC as specified in 5.3.3.7   |

| Timer                  | Start   | Stop  | At expiry   |
|------------------------|---|---|---|
| T309                   | When access attempt is barred at access barring check for an Access Category. The UE shall maintain one instance of this timer per Access Category.   | Upon entering RRC_CONNECTED, upon cell (re)selection, upon reception of <i>RRCConnectionRelease</i> , upon change of PCell while in RRC_CONNECTED, or upon reception of <i>MobilityFromEUTRACommand</i> .   | Perform the actions as specified in 5.3.16.4.   |
| T310<br>NOTE1<br>NOTE2 | Upon detecting physical layer problems for the PCell i.e. upon receiving N310 consecutive out-of-sync indications from lower layers   | Upon receiving N311 consecutive in-sync indications from lower layers for the PCell, upon triggering the handover procedure and upon initiating the connection re-establishment procedure   | If security is not activated and the UE is not a NB-IoT UE that supports RRC connection re-establishment for the Control Plane ClOT EPS optimisation: go to RRC_IDLE else: initiate the connection re-establishment procedure |
| T311<br>NOTE1          | Upon initiating the RRC connection re-establishment procedure   | Selection of a suitable E-UTRA cell or a cell using another RAT.  | Go to RRC_IDLE  |
| T312<br>NOTE2          | Upon triggering a measurement report for a measurement identity for which T312 has been configured, while T310 is running   | Upon receiving N311 consecutive in-sync indications from lower layers, upon triggering the handover procedure, upon initiating the connection re-establishment procedure, and upon the expiry of T310   | If security is not activated: go to RRC_IDLE else: initiate the connection re-establishment procedure   |
| T313<br>NOTE2          | Upon detecting physical layer problems for the PSCell i.e. upon receiving N313 consecutive out-of-sync indications from lower layers  | Upon receiving N314 consecutive in-sync indications from lower layers for the PSCell, upon initiating the connection re-establishment procedure, upon SCG release and upon receiving <i>RRCConnectionReconfiguration</i> including <i>MobilityControlInfoSCG</i>  | Inform E-UTRAN about the SCG radio link failure by initiating the SCG failure information procedure as specified in 5.6.13.   |
| T320                   | Upon receiving <i>t320</i> or upon cell (re)selection to E-UTRA from another RAT with validity time configured for dedicated priorities (in which case the remaining validity time is applied). | Upon entering RRC_CONNECTED, when PLMN selection is performed on request by NAS, when the UE enters RRC_IDLE from RRC_INACTIVE, or upon cell (re)selection to another RAT (in which case the timer is carried on to the other RAT) , or upon reception of <i>RRCEarlyDataComplete</i> or <i>RRCConnectionRelease</i> for UP-EDT | Discard the cell reselection priority information provided by dedicated signalling.   |
| T321                   | Upon receiving <i>measConfig</i> including a <i>reportConfig</i> with the <i>purpose</i> set to <i>reportCGI</i>  | Upon acquiring the information needed to set all fields of <i>cellGlobalId</i> for the requested cell, upon receiving <i>measConfig</i> that includes removal of the <i>reportConfig</i> with the <i>purpose</i> set to <i>reportCGI</i> and upon detecting that a cell is not broadcasting SIB1.                               | Initiate the measurement reporting procedure, stop performing the related measurements and remove the corresponding <i>measId</i>   |

| Timer         | Start  | Stop   | At expiry   |
|---------------|--|--|---|
| T322<br>NOTE1 | Upon receiving <i>redirectedCarrierOffsetDedicated</i> included in <i>RedirectedCarrierInfo</i>                                  | Upon entering RRC_CONNECTED, when PLMN selection is performed on request by NAS, or upon cell (re)selection to another frequency or RAT, or upon reception of <i>RRCEarlyDataComplete</i> or <i>RRCCConnectionRelease</i> for UP-EDT                     | Release <i>redirectedCarrierOffsetDedicated</i> .   |
| T325          | Timer (re)started upon receiving <i>RRCCConnectionReject</i> message with <i>deprioritisationTimer</i> .                         |  | Stop deprioritisation of all frequencies or E-UTRA signalled by <i>RRCCConnectionReject</i> .   |
| T330          | Upon receiving <i>LoggedMeasurementConfiguration</i> message   | Upon log volume exceeding the suitable UE memory, upon initiating the release of <i>LoggedMeasurementConfiguration</i> procedure   | Perform the actions specified in 5.6.6.4  |
| T331          | Upon receiving <i>RRCCConnectionRelease</i> message including <i>measIdleConfig</i> .  | Upon receiving <i>RRCCConnectionSetup</i> , <i>RRCCConnectionResume</i> or, if <i>validityArea</i> is configured, upon reselecting to cell that does not belong to <i>validityArea</i> .   | Release the stored <i>VarMeasIdleConfig</i> .   |
| T340<br>NOTE2 | Upon transmitting <i>UEAssistanceInformation</i> message with <i>powerPrefIndication</i> set to <i>normal</i>                    | Upon initiating the connection re-establishment procedure  | No action.  |
| T341<br>NOTE2 | Upon transmitting <i>UEAssistanceInformation</i> message with <i>bwPreference</i> .  | Upon resuming an RRC connection or upon initiating the connection re-establishment procedure   | No action.  |
| T342<br>NOTE2 | Upon transmitting <i>UEAssistanceInformation</i> message with <i>delayBudgetReport</i> .   | Upon initiating the connection re-establishment and connection resume procedures   | No action.  |
| T350          | Upon entering RRC_IDLE if <i>t350</i> has been received in <i>wlanOffloadInfo</i> .  | Upon entering RRC_CONNECTED, or upon cell reselection.   | Perform the actions specified in 5.6.12.4.  |
| T351          | Reception of <i>RRCCConnectionReconfiguration</i> message including the association <i>Timer</i> in <i>WLAN-MobilityConfig</i> . | Upon successful connection to WLAN, upon WLAN connection failure, upon leaving RRC_CONNECTED, upon triggering the handover procedure, or upon initiating the connection re-establishment procedure.  | Perform WLAN Connection Status Reporting specified in 5.6.15.2.   |
| T360          | Upon performing the redistribution target selection as specified in TS 36.304 [4].   | Upon entering RRC_CONNECTED, upon receiving a Paging message including <i>redistributionIndication</i> ; upon reselecting a cell not belonging to the redistribution target.   | Stop considering a frequency or cell to be redistribution target, and perform the redistribution target selection if the condition specified in TS 36.304 [4] is met. |
| T370          | Upon receiving <i>SL-DiscConfig</i> including a <i>discSysInfoToReportConfig</i> set to <i>setup</i> .                           | Upon initiating the transmission of <i>SidelinkUEInformation</i> including <i>discSysInfoReportFreqList</i> , upon receiving <i>SL-DiscConfig</i> including <i>discSysInfoToReportConfig</i> set to <i>release</i> , upon handover and re-establishment. | Release <i>discSysInfoToReportConfig</i> .  |

| Timer  | Start  | Stop  | At expiry  |
|--|--|---|--|
| T314<br>NOTE2  | Upon early detecting physical layer problems for the PCell i.e. upon receiving N310 consecutive "early-out-of-sync" indications from lower layers. | Upon receiving N311 consecutive in-sync indications from lower layers for the PCell, upon triggering the handover procedure and upon initiating the connection re-establishment procedure | Initiate the UE Assistance Information procedure to report early detection of physical layer problems in accordance with 5.6.10. |
| T315<br>NOTE2  | Upon detecting physical layer improvements of the PCell i.e. upon receiving N311 consecutive "early-in-sync" indications from lower layers.        | Upon receiving N310 consecutive "early-out-of-sync" indications from lower layers for the PCell.  | Initiate the UE Assistance Information procedure to report detection of physical layer improvements in accordance with 5.6.10.   |
| T343<br>NOTE2  | Upon transmitting <i>UEAssistanceInformation</i> message with <i>RLM-Report</i> including <i>earlyOutOfSync</i> .                                  | Upon initiating the connection re-establishment procedure   | No action.   |
| T344<br>NOTE2  | Upon transmitting <i>UEAssistanceInformation</i> message with <i>RLM-Report</i> including <i>earlyInSync</i> .                                     | Upon initiating the connection re-establishment procedure   | No action.   |
| T345   | Upon transmitting <i>UEAssistanceInformation</i> message with <i>overheatingAssistance</i>   | Upon initiating the connection re-establishment or connection resume procedure  | No action.   |
| T380   | Upon reception of <i>periodic-RNAU-timer</i> in <i>RRCCoordinateRelease</i> .  | Upon reception of <i>RRCCoordinateResume</i> , <i>RRCCoordinateRelease</i> or <i>RRCCoordinateSetup</i> .   | Initiate the RAN notification area update procedure  |
| NOTE1: Only the timers marked with "NOTE1" are applicable to NB-IoT. |  |   |  |
| NOTE2: The behaviour as specified in 7.3.2 applies.                  |  |   |  |

### 7.3.2 Timer handling

When the UE applies zero value for a timer, the timer shall be started and immediately expire unless explicitly stated otherwise.

## 7.4 Constants

| Constant | Usage   |
|----------|---|
| N310     | Maximum number of consecutive "out-of-sync" or "early-out-of-sync" indications for the PCell received from lower layers |
| N311     | Maximum number of consecutive "in-sync" or "early-in-sync" indications for the PCell received from lower layers         |
| N313     | Maximum number of consecutive "out-of-sync" indications for the PSCell received from lower layers                       |
| N314     | Maximum number of consecutive "in-sync" indications for the PSCell received from lower layers                           |

## 8 Protocol data unit abstract syntax

### 8.1 General

The RRC PDU contents in clause 6, clause 9.3.2 and clause 10 are described using abstract syntax notation one (ASN.1) as specified in ITU-T Rec. X.680 [13] and X.681 [14]. Transfer syntax for RRC PDUs is derived from their ASN.1 definitions by use of Packed Encoding Rules, unaligned as specified in ITU-T Rec. X.691 [15].

The following encoding rules apply in addition to what has been specified in X.691:

- When a bit string value is placed in a bit-field as specified in 15.6 to 15.11 in X.691, the leading bit of the bit string value shall be placed in the leading bit of the bit-field, and the trailing bit of the bit string value shall be placed in the trailing bit of the bit-field.

NOTE: The terms 'leading bit' and 'trailing bit' are defined in ITU-T Rec. X.680. When using the 'bstring' notation, the leading bit of the bit string value is on the left, and the trailing bit of the bit string value is on the right.

- When decoding types constrained with the ASN.1 Contents Constraint ("CONTAINING"), automatic decoding of the contained type should not be performed because errors in the decoding of the contained type should not cause the decoding of the entire RRC message PDU to fail. It is recommended that the decoder first decodes the outer PDU type that contains the OCTET STRING or BIT STRING with the Contents Constraint, and then decodes the contained type that is nested within the OCTET STRING or BIT STRING as a separate step.
- When decoding a) RRC message PDUs, b) BIT STRING constrained with a Contents Constraint, or c) OCTET STRING constrained with a Contents Constraint, PER decoders are required to never report an error if there are extraneous zero or non-zero bits at the end of the encoded RRC message PDU, BIT STRING or OCTET STRING.

### 8.2 Structure of encoded RRC messages

An RRC PDU, which is the bit string that is exchanged between peer entities/ across the radio interface contains the basic production as defined in X.691.

RRC PDUs shall be mapped to and from PDCP SDUs (in case of DCCH) or RLC SDUs (in case of PCCH, BCCH, BR-BCCH, CCCH or MCCH) upon transmission and reception as follows:

- when delivering an RRC PDU as a PDCP SDU to the PDCP layer for transmission, the first bit of the RRC PDU shall be represented as the first bit in the PDCP SDU and onwards; and
- when delivering an RRC PDU as an RLC SDU to the RLC layer for transmission, the first bit of the RRC PDU shall be represented as the first bit in the RLC SDU and onwards; and
- upon reception of a PDCP SDU from the PDCP layer, the first bit of the PDCP SDU shall represent the first bit of the RRC PDU and onwards; and
- upon reception of an RLC SDU from the RLC layer, the first bit of the RLC SDU shall represent the first bit of the RRC PDU and onwards.

### 8.3 Basic production

The 'basic production' is obtained by applying UNALIGNED PER to the abstract syntax value (the ASN.1 description) as specified in X.691. It always contains a multiple of 8 bits.

### 8.4 Extension

The following rules apply with respect to the use of protocol extensions:

- A transmitter compliant with this version of the specification shall, unless explicitly indicated otherwise on a PDU type basis, set the extension part empty. Transmitters compliant with a later version may send non-empty extensions;
- A transmitter compliant with this version of the specification shall set spare bits to zero;

## 8.5 Padding

If the encoded RRC message does not fill a transport block, the RRC layer shall add padding bits. This applies to PCCH, BCCH and BR-BCCH.

Padding bits shall be set to 0 and the number of padding bits is a multiple of 8.

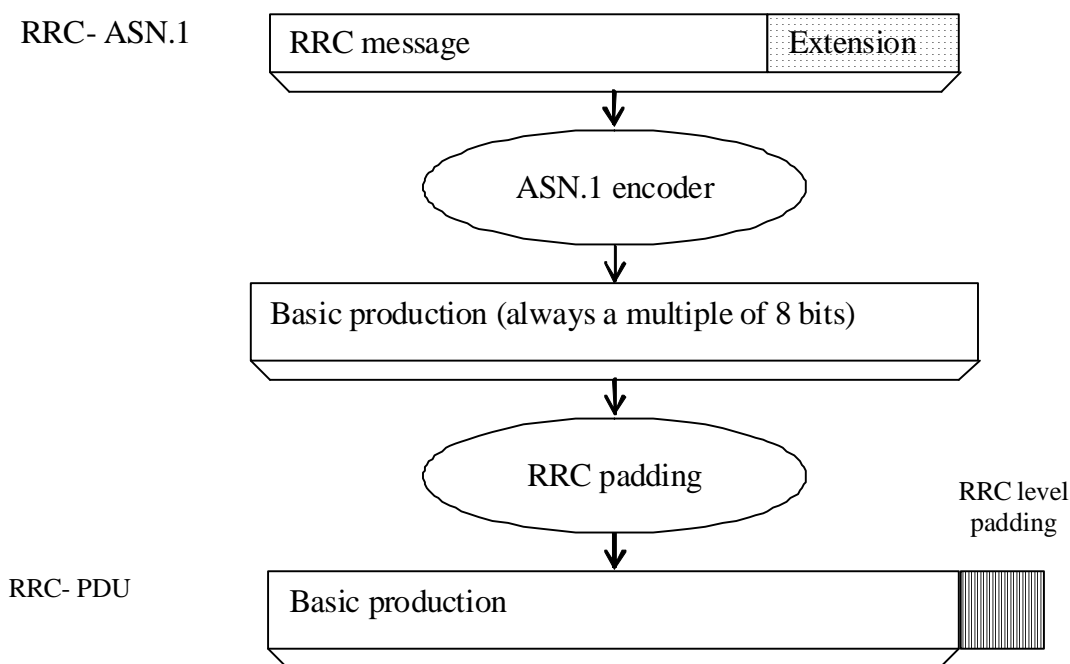


Figure 8.5-1: RRC level padding

# 9 Specified and default radio configurations

Specified and default configurations are configurations of which the details are specified in the standard. Specified configurations are fixed while default configurations can be modified using dedicated signalling.

## 9.1 Specified configurations

### 9.1.1 Logical channel configurations

#### 9.1.1.1 BCCH configuration

Parameters

| Name               | Value | Semantics description | Ver |
|--------------------|-------|-----------------------|-----|
| PDCP configuration | N/A   |                       |     |
| RLC configuration  | TM    |                       |     |
| MAC configuration  | TM    |                       |     |



NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

### 9.1.1.2 CCCH configuration

Parameters

| Name                            | Value    | Semantics description       | Ver  |
|---------------------------------|----------|-----------------------------|------|
| PDCP configuration              | N/A      |                             |      |
| RLC configuration               | TM       |                             |      |
| MAC configuration               |          | Normal MAC headers are used |      |
| Logical channel configuration   |          |                             |      |
| <i>priority</i>                 | 1        | Highest priority            |      |
| <i>prioritisedBitRate</i>       | infinity |                             |      |
| <i>bucketSizeDuration</i>       | N/A      |                             |      |
| <i>logicalChannelGroup</i>      | 0        |                             |      |
| <i>logicalChannelSR-Mask-r9</i> | release  |                             | v920 |

### 9.1.1.3 PCCH configuration

Parameters

| Name               | Value | Semantics description | Ver |
|--------------------|-------|-----------------------|-----|
| PDCP configuration | N/A   |                       |     |
| RLC configuration  | TM    |                       |     |
| MAC configuration  | TM    |                       |     |

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

### 9.1.1.4 MCCH and MTCH configuration

Parameters

| Name                  | Value | Semantics description | Ver |
|-----------------------|-------|-----------------------|-----|
| PDCP configuration    | N/A   |                       |     |
| RLC configuration     | UM    |                       |     |
| <i>sn-FieldLength</i> | size5 |                       |     |
| <i>t-Reordering</i>   | 0     |                       |     |

### 9.1.1.5 SBCCH configuration

Parameters

| Name               | Value | Semantics description | Ver |
|--------------------|-------|-----------------------|-----|
| PDCP configuration | N/A   |                       |     |
| RLC configuration  | TM    |                       |     |
| MAC configuration  | TM    |                       |     |

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

### 9.1.1.6 STCH configuration

Parameters

| Name                          | Value     | Semantics description   | Ver   |
|-------------------------------|-----------|---|-------|
| PDCP configuration            |           |   |       |
| discardTimer                  | Undefined | Up to UE implementation   |       |
| pdcp-SN-Size                  | 16        |   |       |
| maxCID                        | 15        |   |       |
| profiles                      |           |   |       |
| t-Reordering (PDCP)           | Undefined | Only used for V2X sidelink communication. Selected by the receiving UE, up to UE implementation | V1520 |
| RLC configuration             |           | Uni-directional UM RLC UM window size is set to 0   |       |
|                               |           | Uni-directional UM RLC UM window size is set to 0 for sidelink communication                    | v1440 |
| <i>sn-FieldLength</i>         | 5         |   |       |
| logicalChannelIdentity        | Undefined | Selected by the transmitting UE, up to UE implementation  |       |
| Logical channel configuration |           |   |       |
| priority                      | Undefined | Selected by the transmitting UE, up to UE implementation  |       |
| prioritisedBitRate            | Undefined | Selected by the transmitting UE, up to UE implementation  |       |
| bucketSizeDuration            | Undefined | Selected by the transmitting UE, up to UE implementation  |       |
| logicalChannelGroup           | 3         |   |       |
| t-Reordering                  | Undefined | Only used for V2X sidelink communication. Selected by the receiving UE, up to UE implementation | v1440 |
| MAC configuration             |           |   |       |

### 9.1.1.7 SC-MCCH and SC-MTCH configuration

Parameters

| Name                  | Value | Semantics description | Ver |
|-----------------------|-------|-----------------------|-----|
| PDCP configuration    | N/A   |                       |     |
| RLC configuration     | UM    |                       |     |
| <i>sn-FieldLength</i> | size5 |                       |     |
| <i>t-Reordering</i>   | 0     |                       |     |

### 9.1.1.8 BR-BCCH configuration

Parameters

| Name               | Value | Semantics description | Ver |
|--------------------|-------|-----------------------|-----|
| PDCP configuration | N/A   |                       |     |
| RLC configuration  | TM    |                       |     |
| MAC configuration  | TM    |                       |     |

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

## 9.1.2 SRB configurations

### 9.1.2.1 SRB1

Parameters

| Name                          | Value | Semantics description | Ver |
|-------------------------------|-------|-----------------------|-----|
| RLC configuration             |       |                       |     |
| <i>logicalChannelIdentity</i> | 1     |                       |     |

#### 9.1.2.1a SRB1bis

Parameters

| Name                          | Value | Semantics description | Ver |
|-------------------------------|-------|-----------------------|-----|
| RLC configuration             |       |                       |     |
| <i>logicalChannelIdentity</i> | 3     |                       |     |

### 9.1.2.2 SRB2

Parameters

| Name                          | Value | Semantics description | Ver |
|-------------------------------|-------|-----------------------|-----|
| RLC configuration             |       |                       |     |
| <i>logicalChannelIdentity</i> | 2     |                       |     |

### 9.1.2.3 SRB4

Parameters

| Name                          | Value | Semantics description | Ver |
|-------------------------------|-------|-----------------------|-----|
| RLC configuration             |       |                       |     |
| <i>logicalChannelIdentity</i> | 4     |                       |     |

## 9.2 Default radio configurations

The following clauses only list default values for REL-8 parameters included in protocol version v8.5.0. For all fields introduced in a later protocol version, the default value is "released" unless explicitly specified otherwise. If UE is to apply default configuration while it is configured with some critically extended fields, the UE shall apply the original version with only default values. For the following fields, introduced in a protocol version later than v8.5.0, the default corresponds with "value not applicable":

- *codeBookSubsetRestriction-v920*;
- *pmi-RI-Report*;

NOTE 1: Value "N/A" indicates that the UE does not apply a specific value (i.e. upon switching to a default configuration, E-UTRAN can not assume the UE keeps the previously configured value). This implies that E-UTRAN needs to configure a value before invoking the related functionality.

NOTE 2: In general, the signalling should preferably support a "release" option for fields introduced after v8.5.0. The "value not applicable" should be used restrictively, mainly limited to for fields which value is relevant only if another field is set to a value other than its default.

## 9.2.1 SRB configurations

### 9.2.1.1 SRB1

Parameters

| Name                              | Value    | NB-IoT   | Semantics description | Ver |
|-----------------------------------|----------|----------|-----------------------|-----|
| RLC configuration CHOICE          | am       | am       |                       |     |
| <i>ul-RLC-Config</i>              |          |          |                       |     |
| > <i>t-PollRetransmit</i>         | ms45     | ms25000  |                       |     |
| > <i>pollPDU</i>                  | infinity | N/A      |                       |     |
| > <i>pollByte</i>                 | infinity | N/A      |                       |     |
| > <i>maxRetxThreshold</i>         | t4       | t4       |                       |     |
| <i>dl-RLC-Config</i>              |          |          |                       |     |
| > <i>t-Reordering</i>             | ms35     | released |                       |     |
| > <i>t-StatusProhibit</i>         | ms0      | N/A      |                       |     |
| > <i>enableStatusReportSN-Gap</i> | N/A      | disabled |                       |     |
| Logical channel configuration     |          |          |                       |     |
| <i>priority</i>                   | 1        | 1        | Highest priority      |     |
| <i>prioritisedBitRate</i>         | infinity | N/A      |                       |     |
| <i>bucketSizeDuration</i>         | N/A      | N/A      |                       |     |
| <i>logicalChannelGroup</i>        | 0        | N/A      |                       |     |
| <i>logicalChannelSR-Prohibit</i>  | N/A      | TRUE     |                       |     |

### 9.2.1.2 SRB2

Parameters

| Name                      | Value | Semantics description | Ver |
|---------------------------|-------|-----------------------|-----|
| RLC configuration CHOICE  | am    |                       |     |
| <i>ul-RLC-Config</i>      |       |                       |     |
| > <i>t-PollRetransmit</i> | ms45  |                       |     |

| Name                          | Value    | Semantics description | Ver |
|-------------------------------|----------|-----------------------|-----|
| <i>&gt;pollPDU</i>            | infinity |                       |     |
| <i>&gt;pollByte</i>           | infinity |                       |     |
| <i>&gt;maxRetxThreshold</i>   | t4       |                       |     |
| <i>dl-RLC-Config</i>          |          |                       |     |
| <i>&gt;t-Reordering</i>       | ms35     |                       |     |
| <i>&gt;t-StatusProhibit</i>   | ms0      |                       |     |
| Logical channel configuration |          |                       |     |
| <i>priority</i>               | 3        |                       |     |
| <i>prioritisedBitRate</i>     | infinity |                       |     |
| <i>bucketSizeDuration</i>     | N/A      |                       |     |
| <i>logicalChannelGroup</i>    | 0        |                       |     |

## 9.2.2 Default MAC main configuration

Parameters

| Name                     | Value    | NB-IoT   | Semantics description | Ver |
|--------------------------|----------|----------|-----------------------|-----|
| MAC main configuration   |          |          |                       |     |
| <i>maxHARQ-tx</i>        | n5       | N/A      |                       |     |
| <i>periodicBSR-Timer</i> | infinity | pp8      |                       |     |
| <i>retxBSR-Timer</i>     | sf2560   | infinity |                       |     |
| <i>ttiBundling</i>       | FALSE    | N/A      |                       |     |
| <i>drx-Config</i>        | release  | N/A      |                       |     |
| <i>phr-Config</i>        | release  | N/A      |                       |     |

## 9.2.3 Default semi-persistent scheduling configuration

|                         |         |  |  |
|-------------------------|---------|--|--|
| <i>SPS-Config</i>       |         |  |  |
| <i>&gt;sps-ConfigDL</i> | release |  |  |
| <i>&gt;sps-ConfigUL</i> | release |  |  |

## 9.2.4 Default physical channel configuration

Parameters (not applicable for NB-IoT)

| Name                         | Value | Semantics description | Ver |
|------------------------------|-------|-----------------------|-----|
| <i>PDSCH-ConfigDedicated</i> |       |                       |     |
| <i>&gt;p-a</i>               | dB0   |                       |     |

| Name                                 | Value          | Semantics description  | Ver |
|--------------------------------------|----------------|--|-----|
| <i>PUCCH-ConfigDedicated</i>         |                |  |     |
| > <i>tdd-AckNackFeedbackMode</i>     | bundling       | Only valid for TDD mode  |     |
| > <i>ackNackRepetition</i>           | release        |  |     |
| <i>PUSCH-ConfigDedicated</i>         |                |  |     |
| > <i>betaOffset-ACK-Index</i>        | 10             |  |     |
| > <i>betaOffset-RI-Index</i>         | 12             |  |     |
| > <i>betaOffset-CQI-Index</i>        | 15             |  |     |
| <i>UplinkPowerControlDedicated</i>   |                |  |     |
| > <i>p0-UE-PUSCH</i>                 | 0              |  |     |
| > <i>deltaMCS-Enabled</i>            | en0 (disabled) |  |     |
| > <i>accumulationEnabled</i>         | TRUE           |  |     |
| > <i>p0-UE-PUCCH</i>                 | 0              |  |     |
| > <i>pSRS-Offset</i>                 | 7              |  |     |
| > <i>filterCoefficient</i>           | fc4            |  |     |
| <i>tpc-pdcch-ConfigPUCCH</i>         | release        |  |     |
| <i>tpc-pdcch-ConfigPUSCH</i>         | release        |  |     |
| <i>CQI-ReportConfig</i>              |                |  |     |
| > <i>CQI-ReportPeriodic</i>          | release        |  |     |
| > <i>cqi-ReportModeAperiodic</i>     | N/A            |  |     |
| > <i>nomPDSCH-RS-EPRE-Offset</i>     | N/A            |  |     |
| <i>SoundingRS-UL-ConfigDedicated</i> | release        |  |     |
| <i>AntennaInfoDedicated</i>          |                |  |     |
| > <i>transmissionMode</i>            | tm1, tm2       | If the number of PBCH antenna ports is one, tm1 is used as default; otherwise tm2 is used as default |     |
| > <i>codebookSubsetRestriction</i>   | N/A            |  |     |
| > <i>ue-TransmitAntennaSelection</i> | release        |  |     |
| <i>SchedulingRequestConfig</i>       | release        |  |     |

## Parameters applicable for NB-IoT

| Name                             | Value | Semantics description | Ver |
|----------------------------------|-------|-----------------------|-----|
| <i>NPUSCH-ConfigDedicated-NB</i> |       |                       |     |
| > <i>ack-NACK-NumRepetitions</i> | N/A   |                       |     |

| Name  | Value | Semantics description | Ver |
|---|-------|-----------------------|-----|
| <i>&gt;npusch-AllSymbols</i>                                  | TRUE  |                       |     |
| <i>UplinkPowerControlDedicated</i><br><i>&gt;p0-UE-NPUSCH</i> | 0     |                       |     |

## 9.2.5 Default values timers and constants

### Parameters

| Name | Value  | Semantics description | Ver |
|------|--------|-----------------------|-----|
| t310 | ms1000 |                       |     |
| n310 | n1     |                       |     |
| t311 | ms1000 |                       |     |
| n311 | n1     |                       |     |

## 9.3 Sidelink pre-configured parameters

### 9.3.1 Specified parameters

This clause only list parameters which value is specified in the standard.

### Parameters

| Name  | Value | Semantics description | Ver |
|---|-------|-----------------------|-----|
| <i>preconfigSync</i><br><i>&gt;syncTxParameters</i><br><i>&gt;&gt;alpha</i>   | 0     |                       |     |
| <i>preconfigComm</i><br><i>&gt;sc-TxParameters</i><br><i>&gt;&gt;alpha</i><br><i>&gt;dataTxParameters</i><br><i>&gt;&gt;alpha</i> | 0     |                       |     |
| <i>v2x-CommPreconfigSync</i><br><i>&gt;syncTxParameters</i><br><i>&gt;&gt;alpha</i>   | 0     |                       |     |
| <i>v2x-CommTxPoolList, p2x-CommTxPoolList</i><br><i>&gt;dataTxParameters</i><br><i>&gt;&gt;alpha</i>                              | 0     |                       |     |

### 9.3.2 Pre-configurable parameters

This ASN.1 segment is the start of the E-UTRA definitions of pre-configured sidelink parameters.

NOTE 1: Upper layers are assumed to provide a set of pre-configured parameters that are valid at the current UE location if any, see TS 24.334 [69], clause 10.2.

```
-- ASN1START
EUTRA-Sidelink-Preconf DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
```

```

AdditionalSpectrumEmission,
AdditionalSpectrumEmission-v1010,
ARFCN-ValueEUTRA-r9,
FilterCoefficient,
maxCBR-Level-r14,
maxCBR-Level-1-r14,
maxFreq,
maxFreqV2X-r14,
maxSL-TxPool-r12,
maxSL-CommRxPoolPreconf-v1310,
maxSL-CommTxPoolPreconf-v1310,
maxSL-DiscRxPoolPreconf-r13,
maxSL-DiscTxPoolPreconf-r13,
maxSL-V2X-CBRConfig2-r14,
maxSL-V2X-CBRConfig2-1-r14,
maxSL-V2X-RxPoolPreconf-r14,
maxSL-V2X-TxConfig2-r14,
maxSL-V2X-TxConfig2-1-r14,
maxSL-V2X-TxPoolPreconf-r14,
MCS-PSSCH-Range-r15,
P-Max,
ReselectionInfoRelay-r13,
SL-AnchorCarrierFreqList-V2X-r14,
SL-CBR-Levels-Config-r14,
SL-CBR-PSSCH-TxConfig-r14,
SL-CommTxPoolSensingConfig-r14,
SL-CP-Len-r12,
SL-HoppingConfigComm-r12,
SL-OffsetIndicator-r12,
SL-OffsetIndicatorSync-r12,
SL-OffsetIndicatorSync-v1430,
SL-PeriodComm-r12,
RSRP-RangeSL3-r12,
SL-MinT2ValueList-r15,
SL-PriorityList-r13,
SL-TF-ResourceConfig-r12,
SL-TRPT-Subset-r12,
SL-TxParameters-r12,
SL-ZoneConfig-r14,
PO-SL-r12,
TDD-ConfigSL-r12,
SubframeBitmapSL-r14,
SL-P2X-ResourceSelectionConfig-r14,
SL-RestrictResourceReservationPeriodList-r14,
SL-SyncAllowed-r14,
SL-OffsetIndicatorSync-r14,
SL-Priority-r13,
SL-V2X-FreqSelectionConfigList-r15,
SL-V2X-PacketDuplicationConfig-r15,
SL-V2X-SyncFreqList-r15
FROM EUTRA-RRC-Definitions;

-- ASN1STOP

```

## – *SL-Preconfiguration*

The IE *SL-Preconfiguration* includes the sidelink pre-configured parameters.

### ***SL-Preconfiguration* information elements**

```

-- ASN1START
SL-Preconfiguration-r12 ::= SEQUENCE {
    preconfigGeneral-r12          SL-PreconfigGeneral-r12,
    preconfigSync-r12            SL-PreconfigSync-r12,
    preconfigComm-r12            SL-PreconfigCommPoolList4-r12,
    . . . ,
    [ [ preconfigComm-v1310      SEQUENCE {
        commRxPoolList-r13      SL-PreconfigCommRxPoolList-r13,
        commTxPoolList-r13      SL-PreconfigCommTxPoolList-r13      OPTIONAL
    }
    ] ]
    preconfigDisc-r13            SEQUENCE {
        discRxPoolList-r13      SL-PreconfigDiscRxPoolList-r13,
        discTxPoolList-r13      SL-PreconfigDiscTxPoolList-r13      OPTIONAL
    }
}
-- ASN1STOP

```



```

    }
    preconfigRelay-r13                SL-PreconfigRelay-r13                OPTIONAL,
  ]]                                  OPTIONAL
}

SL-PreconfigGeneral-r12 ::= SEQUENCE {
  -- PDCP configuration
  rohc-Profiles-r12                SEQUENCE {
    profile0x0001-r12                BOOLEAN,
    profile0x0002-r12                BOOLEAN,
    profile0x0004-r12                BOOLEAN,
    profile0x0006-r12                BOOLEAN,
    profile0x0101-r12                BOOLEAN,
    profile0x0102-r12                BOOLEAN,
    profile0x0104-r12                BOOLEAN
  },
  -- Physical configuration
  carrierFreq-r12                  ARFCN-ValueEUTRA-r9,
  maxTxPower-r12                   P-Max,
  additionalSpectrumEmission-r12   AdditionalSpectrumEmission,
  sl-bandwidth-r12                  ENUMERATED {n6, n15, n25, n50, n75, n100},
  tdd-ConfigSL-r12                 TDD-ConfigSL-r12,
  reserved-r12                      BIT STRING (SIZE (19)),
  . . .
  [[ additionalSpectrumEmission-v1440 AdditionalSpectrumEmission-v1010 OPTIONAL
  ]]
}

SL-PreconfigSync-r12 ::= SEQUENCE {
  syncCP-Len-r12                   SL-CP-Len-r12,
  syncOffsetIndicator1-r12         SL-OffsetIndicatorSync-r12,
  syncOffsetIndicator2-r12         SL-OffsetIndicatorSync-r12,
  syncTxParameters-r12            PO-SL-r12,
  syncTxThreshOOC-r12             RSRP-RangeSL3-r12,
  filterCoefficient-r12           FilterCoefficient,
  syncRefMinHyst-r12              ENUMERATED {dB0, dB3, dB6, dB9, dB12},
  syncRefDiffHyst-r12             ENUMERATED {dB0, dB3, dB6, dB9, dB12, dBinf},
  . . .
  [[ syncTxPeriodic-r13            ENUMERATED {true}                OPTIONAL
  ]]
}

SL-PreconfigCommPoolList4-r12 ::= SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-PreconfigCommPool-r12

SL-PreconfigCommRxPoolList-r13 ::= SEQUENCE (SIZE (1..maxSL-CommRxPoolPreconf-v1310)) OF SL-PreconfigCommPool-r12

SL-PreconfigCommTxPoolList-r13 ::= SEQUENCE (SIZE (1..maxSL-CommTxPoolPreconf-v1310)) OF SL-PreconfigCommPool-r12

SL-PreconfigCommPool-r12 ::= SEQUENCE {
  -- This IE is same as SL-CommResourcePool with rxParametersNCell absent
  sc-CP-Len-r12                    SL-CP-Len-r12,
  sc-Period-r12                    SL-PeriodComm-r12,
  sc-TF-ResourceConfig-r12         SL-TF-ResourceConfig-r12,
  sc-TxParameters-r12              PO-SL-r12,
  data-CP-Len-r12                  SL-CP-Len-r12,
  data-TF-ResourceConfig-r12       SL-TF-ResourceConfig-r12,
  dataHoppingConfig-r12            SL-HoppingConfigComm-r12,
  dataTxParameters-r12             PO-SL-r12,
  trpt-Subset-r12                  SL-TRPT-Subset-r12,
  . . .
  [[ priorityList-r13               SL-PriorityList-r13        OPTIONAL    -- For Tx
  ]]
}

SL-PreconfigDiscRxPoolList-r13 ::= SEQUENCE (SIZE (1..maxSL-DiscRxPoolPreconf-r13)) OF SL-PreconfigDiscPool-r13

SL-PreconfigDiscTxPoolList-r13 ::= SEQUENCE (SIZE (1..maxSL-DiscTxPoolPreconf-r13)) OF SL-PreconfigDiscPool-r13

SL-PreconfigDiscPool-r13 ::= SEQUENCE {
  -- This IE is same as SL-DiscResourcePool with rxParameters absent
  cp-Len-r13                       SL-CP-Len-r12,
  discPeriod-r13                   ENUMERATED {rf4, rf6, rf7, rf8, rf12, rf14, rf16, rf24, rf28,

```

```

        rf32, rf64, rf128, rf256, rf512, rf1024, spare},
    numRetx-r13                INTEGER (0..3),
    numRepetition-r13          INTEGER (1..50),
    tf-ResourceConfig-r13      SL-TF-ResourceConfig-r12,
    txParameters-r13           SEQUENCE {
        txParametersGeneral-r13 P0-SL-r12,
        txProbability-r13       ENUMERATED {p25, p50, p75, p100}
    }
    }
    ...
}

SL-PreconfigRelay-r13 ::= SEQUENCE {
    reselectionInfoOoC-r13      ReselectionInfoRelay-r13
}

-- ASN1STOP

```

### SL-Preconfiguration field descriptions

#### **carrierFreq**

Indicates the carrier frequency for out of coverage sidelink communication and sidelink discovery. In case of FDD it is uplink carrier frequency and the corresponding downlink frequency can be determined from the default TX-RX frequency separation defined in TS 36.101 [42], table 5.7.3-1.

#### **additionalSpectrumEmission**

The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42], clause 6.2.4. If *additionalSpectrumEmissionExt-r14* is configured, the UE only considers *additionalSpectrumEmissionExt-r14* (and ignores *additionalSpectrumEmission-r12*).

#### **commRxPoolList**

Indicates a list of reception pools for sidelink communication in addition to the resource pools indicated by *preconfigComm*.

#### **commTxPoolList**

Indicates a list of transmission pools for sidelink communication in addition to the first resource pool within *preconfigComm*.

#### **preconfigComm**

Indicates a list of resource pools. The first resource pool in the list is used for both reception and transmission of sidelink communication. The other resource pools, if present, are only used for reception of sidelink communication.

#### **syncRefDiffHyst**

Hysteresis when evaluating a SyncRef UE using relative comparison. Value *dB0* corresponds to 0 dB, *dB3* to 3 dB and so on, value *dBinf* corresponds to infinite dB.

#### **syncRefMinHyst**

Hysteresis when evaluating a SyncRef UE using absolute comparison. Value *dB0* corresponds to 0 dB, *dB3* to 3 dB and so on.

NOTE 1: The network may configure one or more of the reception only resource pools in *preconfigComm* to cover reception from in coverage UEs using scheduled resource allocation. For such a resource pool the network should set all bits of *subframeBitmap* to 1 and *offsetIndicator* to indicate the subframe immediately following the sidelink control information.

NOTE 2: The network should ensure that the resources defined by the first entry in *preconfigComm* (used for transmission by an out of coverage UE) do not overlap with those of the pool(s) covering scheduled transmissions by in coverage UEs. Furthermore, the network should ensure that for none of the entries in *preconfigComm* the resources defined by *sc-TF-ResourceConfig* overlap.

## – SL-V2X-Preconfiguration

The IE *SL-V2X-Preconfiguration* includes the sidelink pre-configured parameters used for V2X sidelink communication.

### SL-V2X-Preconfiguration information elements

```

-- ASN1START

SL-V2X-Preconfiguration-r14 ::= SEQUENCE {
    v2x-PreconfigFreqList-r14      SL-V2X-PreconfigFreqList-r14,
    anchorCarrierFreqList-r14      SL-AnchorCarrierFreqList-V2X-r14          OPTIONAL,
    cbr-PreconfigList-r14          SL-CBR-PreconfigTxConfigList-r14          OPTIONAL,
    ...
    [[ v2x-PacketDuplicationConfig-r15 SL-V2X-PacketDuplicationConfig-r15      OPTIONAL,

```

```

        syncFreqList-r15          SL-V2X-SyncFreqList-r15          OPTIONAL,
        slss-TxMultiFreq-r15      ENUMERATED {true}          OPTIONAL,
        v2x-TxProfileList-r15     SL-V2X-TxProfileList-r15   OPTIONAL
    ]]
}

SL-CBR-PreconfigTxConfigList-r14 ::= SEQUENCE {
    cbr-RangeCommonConfigList-r14 SEQUENCE (SIZE (1..maxSL-V2X-CBRConfig2-r14)) OF SL-CBR-Levels-
Config-r14,
    sl-CBR-PSSCH-TxConfigList-r14 SEQUENCE (SIZE (1..maxSL-V2X-TxConfig2-r14)) OF SL-CBR-PSSCH-
TxConfig-r14
}

SL-V2X-PreconfigFreqList-r14 ::= SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF SL-V2X-PreconfigFreqInfo-
r14

SL-V2X-PreconfigFreqInfo-r14 ::= SEQUENCE {
    v2x-CommPreconfigGeneral-r14 SL-PreconfigGeneral-r12,
    v2x-CommPreconfigSync-r14     SL-PreconfigV2X-Sync-r14          OPTIONAL,
    v2x-CommRxPoolList-r14        SL-PreconfigV2X-RxPoolList-r14,
    v2x-CommTxPoolList-r14        SL-PreconfigV2X-TxPoolList-r14,
    p2x-CommTxPoolList-r14        SL-PreconfigV2X-TxPoolList-r14,
    v2x-ResourceSelectionConfig-r14 SL-CommTxPoolSensingConfig-r14          OPTIONAL,
    zoneConfig-r14                SL-ZoneConfig-r14          OPTIONAL,
    syncPriority-r14               ENUMERATED {gnss, enb},
    threshSL-TxPrioritization-r14 SL-Priority-r13          OPTIONAL,
    offsetDFN-r14                 INTEGER (0..1000)          OPTIONAL,
    . . . ,
    [[ v2x-FreqSelectionConfigList-r15 SL-V2X-FreqSelectionConfigList-r15 OPTIONAL
    ]]
}

SL-PreconfigV2X-RxPoolList-r14 ::= SEQUENCE (SIZE (1..maxSL-V2X-RxPoolPreconf-r14)) OF SL-V2X-
PreconfigCommPool-r14

SL-PreconfigV2X-TxPoolList-r14 ::= SEQUENCE (SIZE (1..maxSL-V2X-TxPoolPreconf-r14)) OF SL-V2X-
PreconfigCommPool-r14

SL-V2X-PreconfigCommPool-r14 ::= SEQUENCE {
-- This IE is same as SL-CommResourcePoolV2X with rxParametersNCell absent
    sl-OffsetIndicator-r14        SL-OffsetIndicator-r12          OPTIONAL,
    sl-Subframe-r14              SubframeBitmapSL-r14,
    adjacencyPSCCH-PSSCH-r14     BOOLEAN,
    sizeSubchannel-r14           ENUMERATED {
        n4, n5, n6, n8, n9, n10, n12, n15, n16, n18, n20, n25, n30,
        n48, n50, n72, n75, n96, n100, spare13, spare12, spare11,
        spare10, spare9, spare8, spare7, spare6, spare5, spare4,
        spare3, spare2, spare1},
    numSubchannel-r14            ENUMERATED {n1, n3, n5, n8, n10, n15, n20, spare1},
    startRB-Subchannel-r14        INTEGER (0..99),
    startRB-PSCCH-Pool-r14        INTEGER (0..99)          OPTIONAL,
    dataTxParameters-r14         PO-SL-r12,
    zoneID-r14                   INTEGER (0..7)          OPTIONAL,
    threshS-RSSI-CBR-r14         INTEGER (0..45)          OPTIONAL,
    cbr-pssch-TxConfigList-r14   SL-CBR-PPPP-TxPreconfigList-r14 OPTIONAL,
    resourceSelectionConfigP2X-r14 SL-P2X-ResourceSelectionConfig-r14 OPTIONAL,
    syncAllowed-r14              SL-SyncAllowed-r14          OPTIONAL,
    restrictResourceReservationPeriod-r14 SL-RestrictResourceReservationPeriodList-r14
OPTIONAL,
    . . . ,
    [[ sl-MinT2ValueList-r15      SL-MinT2ValueList-r15          OPTIONAL,
    cbr-pssch-TxConfigList-v1530 SL-CBR-PPPP-TxPreconfigList-v1530 OPTIONAL
    ]]
}

SL-PreconfigV2X-Sync-r14 ::= SEQUENCE {
    syncOffsetIndicators-r14      SL-V2X-SyncOffsetIndicators-r14,
    syncTxParameters-r14         PO-SL-r12,
    syncTxThreshOoC-r14          RSRP-RangeSL3-r12,
    filterCoefficient-r14        FilterCoefficient,
    syncRefMinHyst-r14           ENUMERATED {dB0, dB3, dB6, dB9, dB12},
    syncRefDiffHyst-r14          ENUMERATED {dB0, dB3, dB6, dB9, dB12, dBinf},
    . . . ,
    [[ slss-TxDisabled-r15        ENUMERATED {true}          OPTIONAL
    ]]
}

SL-V2X-SyncOffsetIndicators-r14 ::= SEQUENCE {

```

```

    syncOffsetIndicator1-r14      SL-OffsetIndicatorSync-r14,
    syncOffsetIndicator2-r14      SL-OffsetIndicatorSync-r14,
    syncOffsetIndicator3-r14      SL-OffsetIndicatorSync-r14      OPTIONAL
}

SL-CBR-PPPP-TxPreconfigList-r14 ::= SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxPreconfigIndex-r14

SL-PPPP-TxPreconfigIndex-r14 ::= SEQUENCE {
    priorityThreshold-r14      SL-Priority-r13,
    defaultTxConfigIndex-r14   INTEGER(0..maxCBR-Level-1-r14),
    cbr-ConfigIndex-r14        INTEGER(0..maxSL-V2X-CBRConfig2-1-r14),
    tx-ConfigIndexList-r14     SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF Tx-PreconfigIndex-r14
}

Tx-PreconfigIndex-r14 ::= INTEGER(0..maxSL-V2X-TxConfig2-1-r14)

SL-CBR-PPPP-TxPreconfigList-v1530 ::= SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxPreconfigIndex-v1530

SL-PPPP-TxPreconfigIndex-v1530 ::= SEQUENCE {
    mcs-PSSCH-Range-r15        SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF MCS-PSSCH-Range-r15
                                OPTIONAL
}

SL-V2X-TxProfileList-r15 ::= SEQUENCE (SIZE (1..256)) OF SL-V2X-TxProfile-r15

SL-V2X-TxProfile-r15 ::= ENUMERATED {
    rel14, rel15, spare6, spare5, spare4,
    spare3, spare2, spare1, ...}

END
-- ASN1STOP

```

| <b>SL-V2X-Preconfiguration field descriptions</b>   |
|---|
| <p><b>adjacencyPSCCH-PSSCH</b><br/>Indicates whether a UE always transmits PSCCH and PSSCH in adjacent RBs (indicated by TRUE) or it may transmit PSCCH and PSSCH in non-adjacent RBs (indicated by FALSE). This parameter appears only when a pool is configured such that a UE transmits PSCCH and the associated PSSCH in the same subframe.</p>   |
| <p><b>anchorCarrierFreqList</b><br/>Indicates carrier frequencies which may include inter-carrier resource configuration for V2X sidelink communication.</p>  |
| <p><b>cbr-PreconfigList</b><br/>Indicates the preconfigured list of CBR ranges and the list of PSSCH transmission configurations available to configure congestion control to the UE for V2X sidelink communication.</p>  |
| <p><b>cbr-pssch-TxConfigList</b><br/>Indicates the mapping between PPPPs, CBR ranges by using indexes of the entry in <i>cbr-RangeCommonConfigList</i> in <i>cbr-PreconfigList</i>, and PSSCH transmission parameters and CR limits by using indexes of the entry in <i>sl-CBR-PSSCH-TxConfigList</i> in <i>cbr-PreconfigList</i>.</p>  |
| <p><b>numSubchannel</b><br/>Indicates the number of subchannels in the corresponding resource pool.</p>   |
| <p><b>offsetDFN</b><br/>Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference. Value 0 corresponds to 0 milliseconds, value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on.</p>  |
| <p><b>resourceSelectionConfigP2X</b><br/>Indicates the allowed resource selection mechanism(s), i.e. partial sensing and/or random selection, for P2X related V2X sidelink communication.</p>   |
| <p><b>restrictResourceReservationPeriod</b><br/>If configured, the field <i>restrictResourceReservationPeriod</i> configured in <i>v2x-ResourceSelectionConfig</i> shall be ignored for transmission on this pool.</p>  |
| <p><b>sizeSubchannel</b><br/>Indicates the number of PRBs of each subchannel in the corresponding resource pool. The value n5 denotes 5 PRBs; n6 denotes 6 PRBs and so on. The values n5, n6, n10, n15, n20, n25, n50, n75 and n100 apply in the case of <i>adjacencyPSCCH-PSSCH</i> set to TRUE; the values n4, n5, n6, n8, n9, n10, n12, n15, n16, n18, n20, n30, n48, n72 and n96 apply in the case of <i>adjacencyPSCCH-PSSCH</i> set to FALSE.</p> |
| <p><b>sl-OffsetIndicator</b><br/>Indicates the offset of the first subframe of a resource pool within a SFN cycle. If absent, the resource pool starts from first subframe of SFN=0. This field is not applicable to V2X sidelink communication.</p>  |
| <p><b>sl-Subframe</b><br/>Indicates the bitmap of the resource pool, which is defined by repeating the bitmap within a SFN cycle (see TS 36.213 [23]).</p>  |
| <p><b>startRB-Subchannel</b><br/>Indicates the lowest RB index of the subchannel with the lowest index.</p>   |
| <p><b>startRB-PSCCH-Pool</b><br/>Indicates the lowest RB index of the PSCCH pool.</p>   |
| <p><b>syncAllowed</b><br/>Indicates the allowed synchronization reference(s) which is (are) allowed to use the pre-configured resource pool.</p>  |
| <p><b>syncPriority</b><br/>Indicates the synchronization priority order. In case the UE does not detect any cell which configures synchronization configuration on the carrier frequency in <i>anchorCarrierFreqList</i>, if this field is set to <i>gnss</i>, the UE shall prioritize GNSS over the UE directly synchronized to eNB; if this field is set to <i>enb</i>, the UE shall prioritize the UE directly synchronized to eNB over GNSS.</p>    |
| <p><b>thresSL-TxPrioritization</b><br/>Indicates the threshold used to determine whether SL V2X transmission is prioritized over uplink transmission if they overlap in time (see TS 36.321 [6]).</p>   |
| <p><b>threshS-RSSI-CBR</b><br/>Indicates the S-RSSI threshold for determining the contribution of a sub-channel to the CBR measurement, as specified in TS 36.214 [48]. Value 0 corresponds to -112 dBm, value 1 to -110 dBm, value n to (-112 + n*2) dBm, and so on.</p>   |
| <p><b>v2x-CommRxPoolList</b><br/>Indicates a list of reception pools for V2X sidelink communication.</p>  |
| <p><b>v2x-CommTxPoolList</b><br/>Indicates a list of transmission pools for V2X sidelink communication.</p>   |
| <p><b>v2x-ResourceSelectionConfig</b><br/>Indicates V2X sidelink communication configurations used for UE autonomous resource selection.</p>  |

| <i>SL-V2X-Preconfiguration</i> field descriptions  |
|--|
| <p><b>v2x-TxProfileList</b><br/>Indicates for each Tx profile the corresponding transmission format, used as specified in TS 36.321 [6], in order of increasing Tx profile pointer identities. For each entry, Value REL14 indicates that the UE shall use Release 14 compatible format (i.e. using MCS table in Table 8.6.1-1 with 64 QAM indices overridden by 16QAM in TS 36.213 [23] and not Rel-15 feature) to transmit the corresponding V2X packet. Value REL15 indicates that the UE shall use Release 15 format (i.e. using rate matching, TBS scaling, MCS table in Table 8.6.1 and, if applicable, the MCS indices supporting 64QAM in Table 8.6.1 and Table 14.1.1-2 in TS 36.213 [23]) to transmit the corresponding V2X packet. If <i>v2x-TxProfileList</i> is not configured by upper layers, the UE shall use Release 14 compatible format to transmit the corresponding V2X packet.</p> |
| <p><b>zoneConfig</b><br/>Indicates zone configurations used for V2X sidelink communication in 5.10.13.2.</p>   |
| <p><b>zoneID</b><br/>Indicates the zone ID for which the UE shall use this resource pool as described in 5.10.13.2. The field is absent in <i>v2x-CommRxPoolList</i> and <i>p2x-CommTxPoolList</i> in <i>SL-V2X-PreconfigFreqInfo</i>.</p>   |

## 10 Radio information related interactions between network nodes

### 10.1 General

This clause specifies RRC messages that are transferred between network nodes. These RRC messages may be transferred to or from the UE via another Radio Access Technology. Consequently, these messages have similar characteristics as the RRC messages that are transferred across the E-UTRA radio interface, i.e. the same transfer syntax and protocol extension mechanisms apply.

### 10.2 Inter-node RRC messages

#### 10.2.1 General

This clause specifies RRC messages that are sent either across the X2- or the S1-interface, either to or from the eNB, i.e. a single 'logical channel' is used for all RRC messages transferred across network nodes. The information could originate from or be destined for another RAT.

#### – *EUTRA-InterNodeDefinitions*

This ASN.1 segment is the start of the E-UTRA inter-node PDU definitions.

```
-- ASN1START
EUTRA-InterNodeDefinitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    AntennaInfoCommon,
    AntennaInfoDedicated-v10i0,
    ARFCN-ValueEUTRA,
    ARFCN-ValueEUTRA-v9e0,
    ARFCN-ValueEUTRA-r9,
    CellIdentity,
    C-RNTI,
    DL-DCCH-Message,
    DRB-Identity,
    DRB-ToReleaseList,
    DRB-ToReleaseList-r15,
    FreqBandIndicator-r11,
    InDeviceCoexIndication-r11,
    LWA-Config-r13,
    MasterInformationBlock,
    maxBands,
```

```

maxFreq,
maxDRB,
maxDRBExt-r15,
maxDRB-r15,
maxSCell-r10,
maxSCell-r13,
maxServCell-r10,
maxServCell-r13,
MBMSInterestIndication-r11,
MeasConfig,
MeasGapConfig,
MeasGapConfigPerCC-List-r14,
MeasResultForRSSI-r13,
MeasResultListWLAN-r13,
OtherConfig-r9,
PhysCellId,
P-Max,
PowerCoordinationInfo-r12,
SidelinkUEInformation-r12,
SL-CommConfig-r12,
SL-DiscConfig-r12,
SubframeAssignment-r15,
RadioResourceConfigDedicated,
RadioResourceConfigDedicated-v13c0,
RadioResourceConfigDedicated-v1370,
RAN-NotificationAreaInfo-r15,
RCLWI-Configuration-r13,
RSRP-Range,
RSRQ-Range,
RSRQ-Range-v1250,
RS-SINR-Range-r13,
SCellToAddModList-r10,
SCellToAddModList-v13c0,
SCellToAddModListExt-r13,
SCellToAddModListExt-v13c0,
SCG-ConfigPartSCG-r12,
SCG-ConfigPartSCG-v12f0,
SCG-ConfigPartSCG-v13c0,
SecurityAlgorithmConfig,
SCellIndex-r10,
SCellIndex-r13,
SCellToReleaseList-r10,
SCellToReleaseListExt-r13,
ServCellIndex-r10,
ServCellIndex-r13,
ShortMAC-I,
MeasResultServFreqListNR-r15,
MeasResultSSTD-r13,
SL-V2X-ConfigDedicated-r14,
SystemInformationBlockType1,
SystemInformationBlockType1-v890-IEs,
SystemInformationBlockType2,
UEAssistanceInformation-r11,
UECapabilityInformation,
UE-CapabilityRAT-ContainerList,
UE-RadioPagingInfo-r12,
WLANConnectionStatusReport-r13,
WLAN-OffloadConfig-r12
FROM EUTRA-RRC-Definitions;
-- ASN1STOP

```

## 10.2.2 Message definitions

### – *HandoverCommand*

This message is used to transfer the handover command generated by the target eNB.

Direction: target eNB to source eNB/ source RAN

#### ***HandoverCommand* message**

```
-- ASN1START
```

```

HandoverCommand ::=
    criticalExtensions
        c1
            handoverCommand-r8
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture
            SEQUENCE {}
    }
}

HandoverCommand-r8-IEs ::=
    handoverCommandMessage
    nonCriticalExtension
        SEQUENCE {}
        OPTIONAL

-- ASN1STOP

```

#### **HandoverCommand field descriptions**

##### **handoverCommandMessage**

Contains the entire DL-DCCH-Message including the *RRCConnectionReconfiguration* message used to perform handover within E-UTRAN or handover to E-UTRAN, generated (entirely) by the target eNB.

NOTE: The source BSC, in case of inter-RAT handover from GERAN to E-UTRAN, expects that the HandoverCommand message includes DL-DCCH-Message only. Thus, criticalExtensionsFuture, spare1-spare7 and nonCriticalExtension should not be used regardless whether the source RAT is E-UTRAN, UTRAN or GERAN.

#### **HandoverPreparationInformation**

This message is used to transfer the E-UTRA RRC information used by the target eNB or target ng-eNB during handover preparation or UE context retrieval, e.g. in case of resume or re-establishment, including UE capability information.

Direction: source eNB/ source RAN to target eNB or target ng-eNB

#### **HandoverPreparationInformation message**

```

-- ASN1START

HandoverPreparationInformation ::= SEQUENCE {
    criticalExtensions
        c1
            handoverPreparationInformation-r8
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture
            SEQUENCE {}
    }
}

HandoverPreparationInformation-r8-IEs ::= SEQUENCE {
    ue-RadioAccessCapabilityInfo
    as-Config
    rrm-Config
    as-Context
    nonCriticalExtension
        UE-CapabilityRAT-ContainerList,
        AS-Config
        RRM-Config
        AS-Context
        HandoverPreparationInformation-v920-IEs
        OPTIONAL,
        OPTIONAL,
        OPTIONAL,
        OPTIONAL
        -- Cond HO
        -- Cond HO
        OPTIONAL
}

HandoverPreparationInformation-v920-IEs ::= SEQUENCE {
    ue-ConfigRelease-r9
        ENUMERATED {
            rel9, rel10, rel11, rel12, v10j0, v11e0,
            v1280, rel13, ..., rel14, rel15
        }
        OPTIONAL,
        -- Cond
}

HO2
    nonCriticalExtension
        HandoverPreparationInformation-v9d0-IEs
        OPTIONAL
}

```



```

HandoverPreparationInformation-v9d0-IEs ::= SEQUENCE {
  lateNonCriticalExtension      OCTET STRING (CONTAINING HandoverPreparationInformation-
v9j0-IEs) OPTIONAL,
  nonCriticalExtension          HandoverPreparationInformation-v9e0-IEs      OPTIONAL
}

-- Late non-critical extensions:
HandoverPreparationInformation-v9j0-IEs ::= SEQUENCE {
  -- Following field is only for pre REL-10 late non-critical extensions
  lateNonCriticalExtension      OCTET STRING                                OPTIONAL,
  nonCriticalExtension          HandoverPreparationInformation-v10j0-IEs      OPTIONAL
}

HandoverPreparationInformation-v10j0-IEs ::= SEQUENCE {
  as-Config-v10j0              AS-Config-v10j0                            OPTIONAL,
  nonCriticalExtension          HandoverPreparationInformation-v10x0-IEs      OPTIONAL
}

HandoverPreparationInformation-v10x0-IEs ::= SEQUENCE {
  -- Following field is only for late non-critical extensions from REL-10 to REL-12
  lateNonCriticalExtension      OCTET STRING                                OPTIONAL,
  nonCriticalExtension          HandoverPreparationInformation-v13c0-IEs      OPTIONAL
}

HandoverPreparationInformation-v13c0-IEs ::= SEQUENCE {
  as-Config-v13c0              AS-Config-v13c0                            OPTIONAL,
  -- Following field is only for late non-critical extensions from REL-13
  nonCriticalExtension          SEQUENCE {}                                OPTIONAL
}

-- Regular non-critical extensions:
HandoverPreparationInformation-v9e0-IEs ::= SEQUENCE {
  as-Config-v9e0               AS-Config-v9e0                            OPTIONAL,   -- Cond HO2
  nonCriticalExtension          HandoverPreparationInformation-v1130-IEs      OPTIONAL
}

HandoverPreparationInformation-v1130-IEs ::= SEQUENCE {
  as-Context-v1130             AS-Context-v1130                            OPTIONAL,   -- Cond HO2
  nonCriticalExtension          HandoverPreparationInformation-v1250-IEs
  OPTIONAL
}

HandoverPreparationInformation-v1250-IEs ::= SEQUENCE {
  ue-SupportedEARFCN-r12      ARFCN-ValueEUTRA-r9                            OPTIONAL,   -- Cond HO3
  as-Config-v1250             AS-Config-v1250                            OPTIONAL,   -- Cond HO2
  nonCriticalExtension          HandoverPreparationInformation-v1320-IEs
  OPTIONAL
}

HandoverPreparationInformation-v1320-IEs ::= SEQUENCE {
  as-Config-v1320             AS-Config-v1320                            OPTIONAL,   -- Cond HO2
  as-Context-v1320           AS-Context-v1320                            OPTIONAL,   -- Cond HO2
  nonCriticalExtension          HandoverPreparationInformation-v1430-IEs
  OPTIONAL
}

HandoverPreparationInformation-v1430-IEs ::= SEQUENCE {
  as-Config-v1430             AS-Config-v1430                            OPTIONAL,   -- Cond HO2
  makeBeforeBreakReq-r14     ENUMERATED {true}                            OPTIONAL,   -- Cond HO2
  nonCriticalExtension          HandoverPreparationInformation-v1530-IEs      OPTIONAL
}

HandoverPreparationInformation-v1530-IEs ::= SEQUENCE {
  ran-NotificationAreaInfo-r15 RAN-NotificationAreaInfo-r15        OPTIONAL,
  nonCriticalExtension          HandoverPreparationInformation-v1540-IEs
  OPTIONAL
}

HandoverPreparationInformation-v1540-IEs ::= SEQUENCE {
  sourceRB-ConfigIntra5GC-r15 OCTET STRING                                OPTIONAL,   --Cond HO4
  nonCriticalExtension          SEQUENCE {}                                OPTIONAL
}

-- ASN1STOP

```

| <b>HandoverPreparationInformation field descriptions</b>   |
|--|
| <p><b>as-Config</b><br/>The radio resource configuration. Applicable in case of intra-E-UTRA handover, resume or re-establishment. If the target receives an incomplete <i>MeasConfig</i> and/or <i>RadioResourceConfigDedicated</i> in the <i>as-Config</i>, the target eNB may decide to apply the full configuration option based on the <i>ue-ConfigRelease</i>.</p>   |
| <p><b>as-Context</b><br/>Local E-UTRAN context required by the target eNB.</p>   |
| <p><b>makeBeforeBreakReq</b><br/>To request the target eNB to add the <i>makeBeforeBreak</i> indication in the <i>mobilityControlInfo</i> in case of intra-frequency handover.</p>   |
| <p><b>rrm-Config</b><br/>Local E-UTRAN context used depending on the target node's implementation, which is mainly used for the RRM purpose. May also be provided at inter-RAT intra-5GC handover from NR.</p>   |
| <p><b>sourceRB-ConfigIntra5GC</b><br/>NR radio bearer config used at intra5GC handover, resume or re-establishment, as defined by <i>RadioBearerConfig</i> IE in TS 38.331 [82].</p>   |
| <p><b>ue-ConfigRelease</b><br/>Indicates the RRC protocol release or version applicable for the current UE configuration. This could be used by target eNB to decide if the full configuration approach should be used. If this field is not present, the target assumes that the current UE configuration is based on the release 8 version of RRC protocol. NOTE 1.</p>  |
| <p><b>ue-RadioAccessCapabilityInfo</b><br/>For E-UTRA radio access capabilities, it is up to E-UTRA how the backward compatibility among <i>supportedBandCombinationReduced</i>, <i>supportedBandCombination</i> and <i>supportedBandCombinationAdd</i> is ensured. If <i>supportedBandCombinationReduced</i> and <i>supportedBandCombination/supportedBandCombinationAdd</i> are included into <i>ueCapabilityRAT-Container</i>, it can be assumed that the value of fields, <i>requestedBands</i>, <i>reducedIntNonContCombRequested</i> and <i>requestedCCsXL</i> are consistent with all supported band combination fields. NOTE 2</p> |
| <p><b>ue-SupportedEARFCN</b><br/>Includes UE supported EARFCN of the handover target E-UTRA cell if the target E-UTRA cell belongs to multiple frequency bands.</p>  |

NOTE 1: The source typically sets the *ue-ConfigRelease* to the release corresponding with the current dedicated radio configuration. The source may however also consider the common radio resource configuration e.g. in case interoperability problems would appear if the UE temporary continues extensions of this part of the configuration in a target PCell not supporting them.

NOTE 2: The following table indicates per source RAT whether RAT capabilities are included or not.

| Source RAT | E-UTRA capabilities | UTRA capabilities                           | GERAN capabilities | MR DC capabilities | NR capabilities |
|------------|---------------------|---|--------------------|--------------------|-----------------|
| UTRAN      | Included            | May be included, ignored by eNB if received | May be included    | Excluded           | Excluded        |
| GERAN CS   | Excluded            | May be included, ignored by eNB if received | Included           | Excluded           | Excluded        |
| GERAN PS   | Excluded            | May be included, ignored by eNB if received | Included           | Excluded           | Excluded        |
| E-UTRAN    | Included            | May be included                             | May be included    | May be included    | May be included |
| NR         | Included            | Excluded                                    | Excluded           | May be included    | May be included |

| Conditional presence | Explanation  |
|----------------------|--|
| <i>HO</i>            | The field is mandatory present in case of handover or UE context retrieval, e.g. in case of resume or re-establishment within E-UTRA; otherwise the field is not present.  |
| <i>HO2</i>           | The field is optional present in case of handover or UE context retrieval, e.g. in case of resume or re-establishment within E-UTRA; otherwise the field is not present.   |
| <i>HO3</i>           | The field is optional present in case of handover from GERAN to E-UTRA, otherwise the field is not present.  |
| <i>HO4</i>           | The field is mandatory present in case of handover or UE context retrieval, e.g. in case of resume or re-establishment within E-UTRA/5GC and optional present in case of handover from NR to E-UTRA/5GC; otherwise the field is not present. |

## – SCG-Config

This message is used to transfer the SCG radio configuration generated by the SeNB.

Direction: Secondary eNB to master eNB

### SCG-Config message

```
-- ASN1START
SCG-Config-r12 ::=
    criticalExtensions
        c1
            scg-Config-r12
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
    criticalExtensionsFuture
        SEQUENCE {}
}

SCG-Config-r12-IEs ::=
    scg-RadioConfig-r12
        SCG-ConfigPartSCG-r12
        OPTIONAL,
    nonCriticalExtension
        SCG-Config-v12i0a-IEs
        OPTIONAL
}

SCG-Config-v12i0a-IEs ::=
    SEQUENCE {
        -- Following field is only for late non-critical extensions from REL-12
        lateNonCriticalExtension
            OCTET STRING (CONTAINING SCG-Config-v12i0b-IEs) OPTIONAL,
        nonCriticalExtension
            SCG-Config-v13c0-IEs
            OPTIONAL
    }

SCG-Config-v12i0b-IEs ::=
    SEQUENCE {
        scg-RadioConfig-v12i0
            SCG-ConfigPartSCG-v12f0
            OPTIONAL,
        nonCriticalExtension
            SEQUENCE {}
            OPTIONAL
    }

SCG-Config-v13c0-IEs ::=
    SEQUENCE {
        scg-RadioConfig-v13c0
            SCG-ConfigPartSCG-v13c0
            OPTIONAL,
        -- Following field is only for late non-critical extensions from REL-13 onwards
        nonCriticalExtension
            SEQUENCE {}
            OPTIONAL
    }
-- ASN1STOP
```

### SCG-Config field descriptions

#### scg-RadioConfig-r12

Includes the change of the dedicated SCG configuration and, upon addition of an SCG cell, the common SCG configuration.

The SeNB only includes a new SCG cell in response to a request from MeNB, but may include release of an SCG cell release or release of the SCG part of an SCG/Split DRB without prior request from MeNB. The SeNB does not use this field to initiate release of the SCG.

### SCG-ConfigInfo

This message is used by MeNB to request the SeNB to perform certain actions e.g. to establish, modify or release an SCG, and it may include additional information e.g. to assist the SeNB with assigning the SCG configuration.

Direction: Master eNB to secondary eNB

### SCG-ConfigInfo message

```
-- ASN1START
SCG-ConfigInfo-r12 ::=
    criticalExtensions
        c1
            scg-ConfigInfo-r12
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
    criticalExtensionsFuture
        SEQUENCE {}
}
-- ASN1STOP
```

```

}
SCG-ConfigInfo-r12-IEs ::= SEQUENCE {
  radioResourceConfigDedMCG-r12 RadioResourceConfigDedicated OPTIONAL,
  sCellToAddModListMCG-r12 SCellToAddModList-r10 OPTIONAL,
  measGapConfig-r12 MeasGapConfig OPTIONAL,
  powerCoordinationInfo-r12 PowerCoordinationInfo-r12 OPTIONAL,
  scg-RadioConfig-r12 SCG-ConfigPartSCG-r12 OPTIONAL,
  eutra-CapabilityInfo-r12 OCTET STRING (CONTAINING UECapabilityInformation) OPTIONAL,
  scg-ConfigRestrictInfo-r12 SCG-ConfigRestrictInfo-r12 OPTIONAL,
  mbmsInterestIndication-r12 OCTET STRING (CONTAINING
    MBMSInterestIndication-r11) OPTIONAL,
  measResultServCellListSCG-r12 MeasResultServCellListSCG-r12 OPTIONAL,
  drb-ToAddModListSCG-r12 DRB-InfoListSCG-r12 OPTIONAL,
  drb-ToReleaseListSCG-r12 DRB-ToReleaseList OPTIONAL,
  sCellToAddModListSCG-r12 SCellToAddModListSCG-r12 OPTIONAL,
  sCellToReleaseListSCG-r12 SCellToReleaseList-r10 OPTIONAL,
  p-Max-r12 P-Max OPTIONAL,
  nonCriticalExtension SCG-ConfigInfo-v1310-IEs OPTIONAL
}
SCG-ConfigInfo-v1310-IEs ::= SEQUENCE {
  measResultSSTD-r13 MeasResultSSTD-r13 OPTIONAL,
  sCellToAddModListMCG-Ext-r13 SCellToAddModListExt-r13 OPTIONAL,
  measResultServCellListSCG-Ext-r13 MeasResultServCellListSCG-Ext-r13 OPTIONAL,
  sCellToAddModListSCG-Ext-r13 SCellToAddModListSCG-Ext-r13 OPTIONAL,
  sCellToReleaseListSCG-Ext-r13 SCellToReleaseListExt-r13 OPTIONAL,
  nonCriticalExtension SCG-ConfigInfo-v1330-IEs OPTIONAL
}
SCG-ConfigInfo-v1330-IEs ::= SEQUENCE {
  measResultListRSSI-SCG-r13 MeasResultListRSSI-SCG-r13 OPTIONAL,
  nonCriticalExtension SCG-ConfigInfo-v1430-IEs OPTIONAL
}
SCG-ConfigInfo-v1430-IEs ::= SEQUENCE {
  makeBeforeBreakSCG-Req-r14 ENUMERATED {true} OPTIONAL,
  measGapConfigPerCC-List MeasGapConfigPerCC-List-r14 OPTIONAL,
  nonCriticalExtension SCG-ConfigInfo-v1530-IEs OPTIONAL
}
SCG-ConfigInfo-v1530-IEs ::= SEQUENCE {
  drb-ToAddModListSCG-r15 DRB-InfoListSCG-r15 OPTIONAL,
  drb-ToReleaseListSCG-r15 DRB-ToReleaseList-r15 OPTIONAL,
  nonCriticalExtension SEQUENCE {} OPTIONAL
}
DRB-InfoListSCG-r12 ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-InfoSCG-r12
DRB-InfoListSCG-r15 ::= SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-InfoSCG-r12
DRB-InfoSCG-r12 ::= SEQUENCE {
  eps-BearerIdentity-r12 INTEGER (0..15) OPTIONAL, -- Cond DRB-Setup
  drb-Identity-r12 DRB-Identity,
  drb-Type-r12 ENUMERATED {split, scg} OPTIONAL, -- Cond DRB-Setup
  ...
}
SCellToAddModListSCG-r12 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF Cell-ToAddMod-r12
SCellToAddModListSCG-Ext-r13 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF Cell-ToAddMod-r12
Cell-ToAddMod-r12 ::= SEQUENCE {
  sCellIndex-r12 SCellIndex-r10,
  cellIdentification-r12 SEQUENCE {
    physCellId-r12 PhysCellId,
    dl-CarrierFreq-r12 ARFCN-ValueEUTRA-r9
  } OPTIONAL, -- Cond SCellAdd
  measResultCellToAdd-r12 SEQUENCE {
    rsrpResult-r12 RSRP-Range,
    rsrqResult-r12 RSRQ-Range
  } OPTIONAL, -- Cond SCellAdd2
  ...,
  [[
    sCellIndex-r13 SCellIndex-r13 OPTIONAL,
    measResultCellToAdd-v1310 SEQUENCE {
      rs-sinr-Result-r13 RS-SINR-Range-r13
    } OPTIONAL -- Cond SCellAdd2
  ]]
}

```

```

MeasResultServCellListSCG-r12 ::= SEQUENCE (SIZE (1..maxServCell-r10)) OF MeasResultServCellSCG-
r12

MeasResultServCellListSCG-Ext-r13 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF
MeasResultServCellSCG-r12

MeasResultServCellSCG-r12 ::= SEQUENCE {
    servCellId-r12 ServCellIndex-r10,
    measResultSCell-r12 SEQUENCE {
        rsrpResultSCell-r12 RSRP-Range,
        rsrqResultSCell-r12 RSRQ-Range
    },
    ...,
    [[
        servCellId-r13 ServCellIndex-r13 OPTIONAL,
        measResultSCell-v1310 SEQUENCE {
            rs-sinr-ResultSCell-r13 RS-SINR-Range-r13
        } OPTIONAL
    ]]
}

MeasResultListRSSI-SCG-r13 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasResultRSSI-SCG-r13

MeasResultRSSI-SCG-r13 ::= SEQUENCE {
    servCellId-r13 ServCellIndex-r13,
    measResultForRSSI-r13 MeasResultForRSSI-r13
}

SCG-ConfigRestrictInfo-r12 ::= SEQUENCE {
    maxSCH-TB-BitsDL-r12 INTEGER (1..100),
    maxSCH-TB-BitsUL-r12 INTEGER (1..100)
}

-- ASN1STOP

```

| <b>SCG-ConfigInfo field descriptions</b>   |
|--|
| <b>drb-ToAddModListSCG</b><br>Includes DRBs the SeNB is requested to establish or modify (DRB type change).  |
| <b>drb-ToReleaseListSCG</b><br>Includes DRBs the SeNB is requested to release.   |
| <b>makeBeforeBreakSCG-Req</b><br>To request the target eNB to add the <i>makeBeforeBreakSCG</i> indication in the <i>mobilityControlInfoSCG</i> in case of intra-frequency SCG change.   |
| <b>maxSCH-TB-BitsXL</b><br>Indicates the maximum DL-SCH/UL-SCH TB bits that may be scheduled in a TTI. Specified as a percentage of the value defined for the applicable UE category.  |
| <b>measGapConfig</b><br>Includes the current measurement gap configuration.  |
| <b>measResultListRSSI-SCG</b><br>Includes RSSI measurement results of SCG (serving) cells  |
| <b>measResultSSTD</b><br>Includes measurement results of UE SFN and Subframe Timing Difference between the PCell and the PSCell.   |
| <b>measResultServCellListSCG</b><br>Includes measurement results of SCG (serving) cells.   |
| <b>radioResourceConfigDedMCG</b><br>Includes the current dedicated MCG radio resource configuration.   |
| <b>sCellIndex</b><br>If sCellIndex-r13 is present, sCellIndex-r12 shall be ignored.  |
| <b>sCellToAddModListMCG, sCellToAddModListMCG-Ext</b><br>Includes the current MCG SCell configuration. Field <i>sCellToAddModListMCG</i> is used to add the first 4 SCells with <i>sCellIndex-r10</i> while <i>sCellToAddModListMCG-Ext</i> is used to add the rest.   |
| <b>sCellToAddModListSCG, sCellToAddModListSCG-Ext</b><br>Includes SCG cells the SeNB is requested to establish. Measurement results may be provided for these cells. Field <i>sCellToAddModListSCG</i> is used to add the first 4 SCells with <i>sCellIndex-r12</i> while <i>sCellToAddModListSCG-Ext</i> is used to add the rest. |
| <b>sCellToReleaseListSCG, sCellToReleaseListSCG-Ext</b><br>Includes SCG cells the SeNB is requested to release.  |
| <b>scg-RadioConfig</b><br>Includes the current dedicated SCG configuration.  |
| <b>scg-ConfigRestrictInfo</b><br>Includes fields for which MeNB explicitly indicates the restriction to be observed by SeNB.   |
| <b>servCellId</b><br>If servCellId-r13 is present, servCellId-r12 shall be ignored.  |
| <b>p-Max</b><br>Cell specific value i.e. as broadcast by PCell.  |

| <b>Conditional presence</b> | <b>Explanation</b>  |
|-----------------------------|---|
| <i>DRB-Setup</i>            | The field is mandatory present in case DRB establishment is requested; otherwise the field is not present.      |
| <i>SCellAdd</i>             | The field is mandatory present in case SCG cell establishment is requested; otherwise the field is not present. |
| <i>SCellAdd2</i>            | The field is optional present in case SCG cell establishment is requested; otherwise the field is not present.  |

### – *UEPagingCoverageInformation*

This message is used to transfer UE paging coverage information, covering both upload to and download from the EPC.

Direction: eNB to/from EPC

#### ***UEPagingCoverageInformation* message**

```
-- ASN1START
UEPagingCoverageInformation ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                      CHOICE {
            uePagingCoverageInformation-r13
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            UEPagingCoverageInformation-r13-IEs,
        }
    }
}
```

```

        spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture          SEQUENCE {}
}
}
UEPagingCoverageInformation-r13-IEs ::= SEQUENCE {
    mpdcch-NumRepetition-r13        INTEGER (1..256)    OPTIONAL,
    nonCriticalExtension             SEQUENCE {}          OPTIONAL
}
-- ASN1STOP

```

#### **UEPagingCoverageInformation field descriptions**

##### **mpdcch-NumRepetition**

Number of repetitions for MPDCCH. The value is an estimate of the required number of repetitions for MPDCCH for paging.

### – *UERadioAccessCapabilityInformation*

This message is used to transfer UE radio access capability information, covering both upload to and download from the EPC.

Direction: eNB to/ from EPC

#### **UERadioAccessCapabilityInformation message**

```

-- ASN1START
UERadioAccessCapabilityInformation ::= SEQUENCE {
    criticalExtensions                CHOICE {
        c1                            CHOICE {
            ueRadioAccessCapabilityInformation-r8
            UERadioAccessCapabilityInformation-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture      SEQUENCE {}
    }
}
UERadioAccessCapabilityInformation-r8-IEs ::= SEQUENCE {
    ue-RadioAccessCapabilityInfo      OCTET STRING (CONTAINING UECapabilityInformation),
    nonCriticalExtension              SEQUENCE {}          OPTIONAL
}
-- ASN1STOP

```

#### **UERadioAccessCapabilityInformation field descriptions**

##### **ue-RadioAccessCapabilityInfo**

Including E-UTRA, GERAN, CDMA2000-1xRTT Bandclass, NR and MR-DC radio access capabilities (separated). UTRA radio access capabilities are not included. For E-UTRA radio access capabilities, it is up to E-UTRA how the backward compatibility among *supportedBandCombinationReduced*, *supportedBandCombination* and *supportedBandCombinationAdd* is ensured. If *supportedBandCombinationReduced* and *supportedBandCombination/supportedBandCombinationAdd* are included into *ueCapabilityRAT-Container*, it can be assumed that the value of fields, *requestedBands*, *reducedIntNonContCombRequested* and *requestedCCsXL* are consistent with all supported band combination fields.

### – *UERadioPagingInformation*

This message is used to transfer radio paging information, covering both upload to and download from the EPC/5GC.

Direction: eNB to/ from EPC/5GC

### **UERadioPagingInformation message**

```
-- ASN1START
UERadioPagingInformation ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                      CHOICE {
            ueRadioPagingInformation-r12          UERadioPagingInformation-r12-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}

UERadioPagingInformation-r12-IEs ::= SEQUENCE {
    ue-RadioPagingInfo-r12          OCTET STRING (CONTAINING UE-RadioPagingInfo-r12),
    nonCriticalExtension          UERadioPagingInformation-v1310-IEs          OPTIONAL
}

UERadioPagingInformation-v1310-IEs ::= SEQUENCE {
    supportedBandListEUTRAForPaging-r13          SEQUENCE (SIZE (1..maxBands)) OF FreqBandIndicator-r11
OPTIONAL,
    nonCriticalExtension          SEQUENCE {}          OPTIONAL
}
-- ASN1STOP
```

#### **UERadioPagingInformation field descriptions**

##### **ue-RadioPagingInfo**

The field is used to transfer UE capability information used for paging. The eNB generates the *ue-RadioPagingInfo* and the contained UE capability information is absent when not supported by the UE.

##### **supportedBandListEUTRAForPaging**

Indicates the UE supported frequency bands which is derived by the eNB from *UE-EUTRA-Capability*.

## 10.3 Inter-node RRC information element definitions

### – AS-Config

The *AS-Config* IE contains information about RRC configuration information in the source eNB which can be utilized by target eNB to determine the need to change the RRC configuration during the handover preparation phase. The information can also be used after the handover is successfully performed or during the RRC connection re-establishment or resume.

#### **AS-Config information element**

```
-- ASN1START
AS-Config ::= SEQUENCE {
    sourceMeasConfig          MeasConfig,
    sourceRadioResourceConfig          RadioResourceConfigDedicated,
    sourceSecurityAlgorithmConfig          SecurityAlgorithmConfig,
    sourceUE-Identity          C-RNTI,
    sourceMasterInformationBlock          MasterInformationBlock,
    sourceSystemInformationBlockType1          SystemInformationBlockType1(WITH COMPONENTS
        {..., nonCriticalExtension ABSENT}),
    sourceSystemInformationBlockType2          SystemInformationBlockType2,
    antennaInfoCommon          AntennaInfoCommon,
    sourceDl-CarrierFreq          ARFCN-ValueEUTRA,
    ...,
    [[ sourceSystemInformationBlockType1Ext          OCTET STRING (CONTAINING
        SystemInformationBlockType1-v890-IEs)          OPTIONAL,
    sourceOtherConfig-r9          OtherConfig-r9
-- sourceOtherConfig-r9 should have been optional. A target eNB compliant with this transfer
-- syntax should support receiving an AS-Config not including this extension addition group
```



```

-- e.g. from a legacy source eNB
]],
[[ sourceSCellConfigList-r10          SCellToAddModList-r10          OPTIONAL
]],
[[ sourceConfigSCG-r12                SCG-Config-r12                OPTIONAL
]],
[[ as-ConfigNR-r15                    AS-ConfigNR-r15                OPTIONAL
]],
[[ as-Config-v1550                    AS-Config-v1550                OPTIONAL
]],
[[ as-ConfigNR-v1570                  AS-ConfigNR-v1570              OPTIONAL
]]
}

AS-Config-v9e0 ::= SEQUENCE {
    sourceDl-CarrierFreq-v9e0          ARFCN-ValueEUTRA-v9e0
}

AS-Config-v10j0 ::= SEQUENCE {
    antennaInfoDedicatedPCell-v10i0    AntennaInfoDedicated-v10i0      OPTIONAL
}

AS-Config-v1250 ::= SEQUENCE {
    sourceWlan-OffloadConfig-r12        WLAN-OffloadConfig-r12          OPTIONAL,
    sourceSL-CommConfig-r12             SL-CommConfig-r12              OPTIONAL,
    sourceSL-DiscConfig-r12             SL-DiscConfig-r12              OPTIONAL
}

AS-Config-v1320 ::= SEQUENCE {
    sourceSCellConfigList-r13           SCellToAddModListExt-r13        OPTIONAL,
    sourceRCLWI-Configuration-r13       RCLWI-Configuration-r13        OPTIONAL
}

AS-Config-v13c0 ::= SEQUENCE {
    radioResourceConfigDedicated-v13c01 RadioResourceConfigDedicated-v1370 OPTIONAL,
    radioResourceConfigDedicated-v13c02 RadioResourceConfigDedicated-v13c0 OPTIONAL,
    sCellToAddModList-v13c0             SCellToAddModList-v13c0         OPTIONAL,
    sCellToAddModListExt-v13c0          SCellToAddModListExt-v13c0     OPTIONAL
}

AS-Config-v1430 ::= SEQUENCE {
    sourceSL-V2X-CommConfig-r14         SL-V2X-ConfigDedicated-r14      OPTIONAL,
    sourceLWA-Config-r14                LWA-Config-r13                  OPTIONAL,
    sourceWLAN-MeasResult-r14           MeasResultListWLAN-r13         OPTIONAL
}

AS-ConfigNR-r15 ::= SEQUENCE {
    sourceRB-ConfigNR-r15               OCTET STRING                     OPTIONAL,
    sourceRB-ConfigSN-NR-r15            OCTET STRING                     OPTIONAL,
    sourceOtherConfigSN-NR-r15          OCTET STRING                     OPTIONAL
}

AS-ConfigNR-v1570 ::= SEQUENCE {
    sourceSCG-ConfiguredNR-r15          ENUMERATED {true}
}

AS-Config-v1550 ::= SEQUENCE {
    tdm-PatternConfig-r15               SEQUENCE {
        subframeAssignment-r15          SubframeAssignment-r15,
        harq-Offset-r15                 INTEGER (0..9)
    }
    p-MaxEUTRA-r15                       P-Max                            OPTIONAL
}

-- ASN1STOP

```

**NOTE:** The *AS-Config* re-uses information elements primarily created to cover the radio interface signalling requirements. Consequently, the information elements may include some parameters that are not relevant for the target eNB e.g. the SFN as included in the *MasterInformationBlock*.

| <b>AS-Config field descriptions</b>   |
|---|
| <b>antennaInfoCommon</b><br>This field provides information about the number of antenna ports in the source PCell.  |
| <b>p-MaxEUTRA</b><br>Indicates the <i>p-MaxEUTRA</i> in the source PCell.   |
| <b>sourceOtherConfigSN-NR</b><br>Other NR config set by SN (cell group, measurements) in case of (NG)EN-DC i.e. as defined by the <i>RRCReconfiguration</i> message in TS 38.331 [82].  |
| <b>sourceRB-ConfigNR</b><br>NR radio bearer config, as defined by <i>RadioBearerConfig</i> IE in TS 38.331 [82]. The field may e.g. be set by MN in case of (NG)EN-DC, by source eNB connected to 5GCN.   |
| <b>sourceRB-ConfigSN-NR</b><br>NR radio bearer config set by SN in case of (NG)EN-DC or of SN terminated RB without SCG, as defined by <i>RadioBearerConfig</i> IE in TS 38.331 [82].   |
| <b>sourceDL-CarrierFreq</b><br>Provides the parameter Downlink EARFCN in the source PCell, see TS 36.101 [42]. If the source eNB provides <i>AS-Config-v9e0</i> , it sets <i>sourceDL-CarrierFreq</i> (i.e. without suffix) to <i>maxEARFCN</i> . |
| <b>sourceLWA-Config</b><br>LWA configuration in the source PCell when handover is triggered.  |
| <b>sourceOtherConfig</b><br>Provides other configuration in the source PCell.   |
| <b>sourceMasterInformationBlock</b><br><i>MasterInformationBlock</i> transmitted in the source PCell.   |
| <b>sourceMeasConfig</b><br>Measurement configuration in the source cell. The measurement configuration for all measurements existing in the source eNB when handover is triggered shall be included. See 10.5.                                    |
| <b>sourceRCLWI-Configuration</b><br>RCLWI Configuration in the source PCell.  |
| <b>sourceSL-CommConfig</b><br>This field covers the sidelink communication configuration.   |
| <b>sourceSL-DiscConfig</b><br>This field covers the sidelink discovery configuration.   |
| <b>sourceRadioResourceConfig</b><br>Radio configuration in the source PCell. The radio resource configuration for all radio bearers existing in the source PCell when handover is triggered shall be included. See 10.5.                          |
| <b>sourceSCellConfigList</b><br>Radio resource configuration (common and dedicated) of the SCells configured in the source eNB.   |
| <b>sourceSCG-ConfiguredNR</b><br>Value <i>true</i> indicates that the UE is configured with NR SCG in source configuration. The field is included only if <i>sourceOtherConfigSN-NR</i> is not included.  |
| <b>sourceSecurityAlgorithmConfig</b><br>This field provides the AS integrity protection (SRBs) and AS ciphering (SRBs and DRBs) algorithm configuration used in the source PCell.   |
| <b>sourceSystemInformationBlockType1</b><br><i>SystemInformationBlockType1</i> (or <i>SystemInformationBlockType1-BR</i> ) transmitted in the source PCell.   |
| <b>sourceSystemInformationBlockType2</b><br><i>SystemInformationBlockType2</i> transmitted in the source PCell.   |
| <b>sourceSL-V2X-CommConfig</b><br>Indicates the V2X sidelink communication related configurations configured in the source eNB.   |
| <b>sourceWLAN-MeasResult</b><br>WLAN measurement results in the source PCell when handover is triggered.  |
| <b>tdm-PatternConfig</b><br>Indicates the TDM pattern configuration in the source PCell.  |

## – AS-Context

The IE *AS-Context* is used to transfer local E-UTRAN context required by the target eNB.

### AS-Context information element

```
-- ASN1START
AS-Context ::=
    SEQUENCE {
        reestablishmentInfo          ReestablishmentInfo          OPTIONAL  -- Cond HO
    }
```

```

AS-Context-v1130 ::= SEQUENCE {
    idc-Indication-r11 OCTET STRING (CONTAINING
        InDeviceCoexIndication-r11) OPTIONAL, -- Cond HO2
    mbmsInterestIndication-r11 OCTET STRING (CONTAINING
        MBMSInterestIndication-r11) OPTIONAL, -- Cond HO2
    powerPrefIndication-r11 OCTET STRING (CONTAINING
        UEAssistanceInformation-r11) OPTIONAL, -- Cond HO2
    ...,
    [[ sidelinkUEInformation-r12 OCTET STRING (CONTAINING
        SidelinkUEInformation-r12) OPTIONAL -- Cond HO2
    ]],
    [[ sourceContextEN-DC-r15 OCTET STRING OPTIONAL -- Cond HO2
    ]],
    [[ selectedbandCombinationInfoEN-DC-v1540 OCTET STRING OPTIONAL -- Cond HO2
    ]]
}

AS-Context-v1320 ::= SEQUENCE {
    wlanConnectionStatusReport-r13 OCTET STRING (CONTAINING
        WLANConnectionStatusReport-r13) OPTIONAL -- Cond HO2
}

-- ASN1STOP
    
```

| <b>AS-Context field descriptions</b>    |   |
|---|---|
| <b>idc-Indication</b>                   | Including information used for handling the IDC problems.   |
| <b>reestablishmentInfo</b>              | Including information needed for the RRC connection re-establishment.   |
| <b>sourceContextEN-DC</b>               | (NG)EN-DC related context information, in particular regarding the UE capability coordination, as defined by the <i>ConfigRestrictInfoSCG</i> IE specified in TS 38.331 [82]. |
| <b>selectedBandCombinationInfoEN-DC</b> | Including the <i>BandCombinationInfoSN</i> IE specified in TS 38.331 [82]. See NOTE 1.  |

| Conditional presence | Explanation   |
|----------------------|---|
| HO                   | The field is mandatory present in case of handover within E-UTRA; otherwise the field is not present. |
| HO2                  | The field is optional present in case of handover within E-UTRA; otherwise the field is not present.  |

NOTE 1: If the field is present, it is used to help target MN to decide appropriate LTE band for SCell frequency measurement in case of inter-MN handover without SN change.

**ReestablishmentInfo**

The *ReestablishmentInfo* IE contains information needed for the RRC connection re-establishment.

**ReestablishmentInfo information element**

```

-- ASN1START

ReestablishmentInfo ::= SEQUENCE {
    sourcePhysCellId PhysCellId,
    targetCellShortMAC-I ShortMAC-I,
    additionalReestabInfoList AdditionalReestabInfoList OPTIONAL,
    ...
}

AdditionalReestabInfoList ::= SEQUENCE ( SIZE (1..maxReestabInfo) ) OF AdditionalReestabInfo

AdditionalReestabInfo ::= SEQUENCE{
    cellIdentity CellIdentity,
    key-eNodeB-Star Key-eNodeB-Star,
    shortMAC-I ShortMAC-I
}

Key-eNodeB-Star ::= BIT STRING (SIZE (256))
    
```

```
-- ASN1STOP
```

| <b>ReestablishmentInfo field descriptions</b>  |
|--|
| <p><b>additionalReestabInfoList</b><br/>Contains a list of shortMAC-I and KeNB* for cells under control of the target eNB, required for potential re-establishment by the UE in these cells to succeed.</p>  |
| <p><b>Key-eNodeB-Star</b><br/>Parameter KeNB*: See TS 33.401 [32], clause 7.2.8.4. If the cell identified by <i>cellIdentity</i> belongs to multiple frequency bands, the source eNB selects the DL-EARFCN for the KeNB* calculation using the same logic as UE uses when selecting the DL-EARFCN in IDLE as defined in clause 6.2.2. This parameter is only used for X2 handover, and for S1 handover, it shall be ignored by target eNB.</p> |
| <p><b>sourcePhyCellId</b><br/>The physical cell identity of the source PCell, used to determine the UE context in the target eNB at re-establishment.</p>  |
| <p><b>targetCellShortMAC-I</b><br/>The ShortMAC-I for the handover target PCell, in order for potential re-establishment to succeed.</p>   |

## – RRM-Config

The *RRM-Config* IE contains information about UE specific RRM information before the handover which can be utilized by target eNB.

### RRM-Config information element

```
-- ASN1START
RRM-Config ::=
  ue-InactiveTime
  SEQUENCE {
    ENUMERATED {
      s1, s2, s3, s5, s7, s10, s15, s20,
      s25, s30, s40, s50, min1, min1s20c, min1s40,
      min2, min2s30, min3, min3s30, min4, min5, min6,
      min7, min8, min9, min10, min12, min14, min17, min20,
      min24, min28, min33, min38, min44, min50, hr1,
      hr1min30, hr2, hr2min30, hr3, hr3min30, hr4, hr5, hr6,
      hr8, hr10, hr13, hr16, hr20, day1, day1hr12, day2,
      day2hr12, day3, day4, day5, day7, day10, day14, day19,
      day24, day30, dayMoreThan30} OPTIONAL,
    ...,
    [[ candidateCellInfoList-r10 CandidateCellInfoList-r10 OPTIONAL
    ]],
    [[ candidateCellInfoListNR-r15 MeasResultServFreqListNR-r15 OPTIONAL
    ]]
  }

CandidateCellInfoList-r10 ::= SEQUENCE (SIZE (1..maxFreq)) OF CandidateCellInfo-r10

CandidateCellInfo-r10 ::= SEQUENCE {
  -- cellIdentification
  physCellId-r10 PhysCellId,
  dl-CarrierFreq-r10 ARFCN-ValueEUTRA,
  -- available measurement results
  rsrpResult-r10 RSRP-Range OPTIONAL,
  rsrqResult-r10 RSRQ-Range OPTIONAL,
  ...,
  [[ dl-CarrierFreq-v1090 ARFCN-ValueEUTRA-v9e0 OPTIONAL
  ]],
  [[ rsrqResult-v1250 RSRQ-Range-v1250 OPTIONAL
  ]],
  [[ rs-sinr-Result-r13 RS-SINR-Range-r13 OPTIONAL
  ]]
}
-- ASN1STOP
```

| <i>RRM-Config</i> field descriptions   |
|--|
| <p><b><i>candidateCellInfoList</i></b><br/>A list of the best cells on each frequency for which measurement information was available, in order of decreasing RSRP.</p>  |
| <p><b><i>candidateCellInfoListNR</i></b><br/>A list of NR cells including serving cells and best neighbour cells on each SSB frequency, for which measurement results were available, and for each cell the best beams.</p>  |
| <p><b><i>dl-CarrierFreq</i></b><br/>The source includes <i>dl-CarrierFreq-v1090</i> if and only if <i>dl-CarrierFreq-r10</i> is set to <i>maxEARFCN</i>.</p>   |
| <p><b><i>ue-InactiveTime</i></b><br/>Duration while UE has not received or transmitted any user data. Thus the timer is still running in case e.g., UE measures the neighbour cells for the HO purpose. Value s1 corresponds to 1 second, s2 corresponds to 2 seconds and so on. Value min1 corresponds to 1 minute, value min1s20 corresponds to 1 minute and 20 seconds, value min1s40 corresponds to 1 minute and 40 seconds and so on. Value hr1 corresponds to 1 hour, hr1min30 corresponds to 1 hour and 30 minutes and so on.</p> |

## 10.4 Inter-node RRC multiplicity and type constraint values

### – Multiplicity and type constraints definitions

```
-- ASN1START
maxReestabInfo          INTEGER ::= 32 -- Maximum number of KeNB* and shortMAC-I forwarded
                        -- at handover for re-establishment preparation
-- ASN1STOP
```

### – End of *EUTRA-InterNodeDefinitions*

```
-- ASN1START
END
-- ASN1STOP
```

## 10.5 Mandatory information in *AS-Config*

The *AS-Config* transferred between source eNB and target-eNB shall include all IEs necessary to describe the AS context. The conditional presence in clause 6 is only applicable for eNB to UE communication.

The "need" or "cond" statements are not applied in case of sending the IEs from source eNB to target eNB. Some fields shall be included regardless of the "need" or "cond" e.g. *discardTimer*. The *AS-Config* re-uses information elements primarily created to cover the radio interface signalling requirements. The information elements may include some parameters that are not relevant for the target eNB e.g. the SFN as included in the *MasterInformationBlock*.

All the fields in the *AS-Config* as defined in 10.3 that are introduced after v9.2.0 and that are optional for eNB to UE communication shall be included, if the functionality is configured, except for the fields *sourceOtherConfigSN-NR* and *sourceRB-ConfigSN-NR* in *AS-ConfigNR*. The fields in the *AS-Config* that are defined before and including v9.2.0 shall be included as specified in the following.

Within the *sourceRadioResourceConfig*, *sourceMeasConfig* and *sourceOtherConfig*, the source eNB shall include fields that are optional for eNB to UE communication, if the functionality is configured unless explicitly specified otherwise in the following:

- in accordance with a condition that is explicitly stated to be applicable; or
- a default value is defined for the concerned field; and the configured value is the same as the default value that is defined; or

- the need of the field is OP and the current UE configuration corresponds with the behaviour defined for absence of the field;

The following fields, if the functionality is configured, are not mandatory for the source eNB to include in the *AS-Config* since delta signalling by the target eNB for these fields is not supported:

- *semiPersistSchedC-RNTI*
- *measGapConfig*

For the measurement configuration, a corresponding operation as 5.5.6.1 and 5.5.2.2a is executed by target eNB.

## 10.6 Inter-node NB-IoT messages

### 10.6.1 General

This clause specifies NB-IoT RRC messages that are sent either across the X2- or the S1-interface, either to or from the eNB, i.e. a single 'logical channel' is used for all NB-IoT RRC messages transferred across network nodes.

#### – *NB-IoT-InterNodeDefinitions*

This ASN.1 segment is the start of the NB-IoT inter-node PDU definitions.

```
-- ASN1START
NBIOT-InterNodeDefinitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    C-RNTI,
    PhysCellId,
    SecurityAlgorithmConfig,
    ShortMAC-I
FROM EUTRA-RRC-Definitions

    AdditionalReestabInfoList
FROM EUTRA-InterNodeDefinitions

    CarrierFreq-NB-r13,
    CarrierFreq-NB-v1550,
    RadioResourceConfigDedicated-NB-r13,
    UECapabilityInformation-NB,
    UE-Capability-NB-r13,
    UE-Capability-NB-Ext-r14-IEs,
    UE-RadioPagingInfo-NB-r13
FROM NBIOT-RRC-Definitions;
-- ASN1STOP
```

### 10.6.2 Message definitions

#### – *HandoverPreparationInformation-NB*

This message is used to transfer the UE context from the eNB where the RRC connection has been suspended and transfer it to the eNB where the RRC Connection has been requested to be resumed.

Direction: source eNB to target eNB

#### ***HandoverPreparationInformation-NB* message**

```
-- ASN1START
HandoverPreparationInformation-NB ::= SEQUENCE {
    criticalExtensions
        CHOICE {
            c1
                CHOICE{
```

```

        handoverPreparationInformation-r13      HandoverPreparationInformation-NB-IEs,
        spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture                    SEQUENCE {}
}
}

HandoverPreparationInformation-NB-IEs ::= SEQUENCE {
    ue-RadioAccessCapabilityInfo-r13          UE-Capability-NB-r13,
    as-Config-r13                             AS-Config-NB,
    rrm-Config-r13                             RRM-Config-NB                OPTIONAL,
    as-Context-r13                             AS-Context-NB                OPTIONAL,
    nonCriticalExtension                       HandoverPreparationInformation-NB-v1380-IEs
    OPTIONAL
}

HandoverPreparationInformation-NB-v1380-IEs ::= SEQUENCE {
    lateNonCriticalExtension                   OCTET STRING                OPTIONAL,
    nonCriticalExtension                       HandoverPreparationInformation-NB-Ext-r14-IEs  OPTIONAL
}

HandoverPreparationInformation-NB-Ext-r14-IEs ::= SEQUENCE {
    ue-RadioAccessCapabilityInfoExt-r14      OCTET STRING (CONTAINING UE-Capability-NB-Ext-r14-IEs)
    OPTIONAL,
    nonCriticalExtension                       SEQUENCE {}                OPTIONAL
}
}
-- ASN1STOP

```

#### **HandoverPreparationInformation-NB field descriptions**

**as-Config**

The radio resource configuration.

**as-Context**

The local E-UTRAN context required by the target eNB.

**rrm-Config**

The local E-UTRAN context used depending on the target node's implementation, which is mainly used for the RRM purpose.

**ue-RadioAccessCapabilityInfo, ue-RadioAccessCapabilityInfoExt**

The NB-IoT UE Radio Access Capability Parameters, see TS 36.306 [5].

### **UEPagingCoverageInformation-NB**

This message is used to transfer UE paging coverage information for NB-IoT, covering both upload to and download from the EPC.

Direction: eNB to/from EPC

#### **UEPagingCoverageInformation-NB message**

```

-- ASN1START

UEPagingCoverageInformation-NB ::= SEQUENCE {
    criticalExtensions                       CHOICE {
        c1                                   CHOICE {
            uePagingCoverageInformation-r13  UEPagingCoverageInformation-NB-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture             SEQUENCE {}
    }
}

UEPagingCoverageInformation-NB-IEs ::= SEQUENCE {
-- the possible value(s) can differ from those sent on Uu
    npdcch-NumRepetitionPaging-r13          INTEGER (1..2048)  OPTIONAL,
    nonCriticalExtension                     SEQUENCE {}      OPTIONAL
}
-- ASN1STOP

```

**UEPagingCoverageInformation-NB field descriptions****npdcch-NumRepetitionPaging**

Number of repetitions for NPDCCH, see TS 36.211 [21]. This value is an estimate of the required number of repetitions for NPDCCH.

– **UERadioAccessCapabilityInformation-NB**

This message is used to transfer UE NB-IoT Radio Access capability information, covering both upload to and download from the EPC.

Direction: eNB to/ from EPC

**UERadioAccessCapabilityInformation-NB message**

```
-- ASN1START
UERadioAccessCapabilityInformation-NB ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                      CHOICE {
            ueRadioAccessCapabilityInformation-r13
            UERadioAccessCapabilityInformation-NB-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

UERadioAccessCapabilityInformation-NB-IEs ::= SEQUENCE {
    ue-RadioAccessCapabilityInfo-r13      OCTET STRING (CONTAINING UE-Capability-NB-r13),
    nonCriticalExtension                  UERadioAccessCapabilityInformation-NB-v1380-IEs
    OPTIONAL
}

UERadioAccessCapabilityInformation-NB-v1380-IEs ::= SEQUENCE {
    lateNonCriticalExtension              OCTET STRING OPTIONAL,
    nonCriticalExtension                  UERadioAccessCapabilityInformation-NB-r14-IEs
    OPTIONAL
}

UERadioAccessCapabilityInformation-NB-r14-IEs ::= SEQUENCE {
    ue-RadioAccessCapabilityInfo-r14      OCTET STRING (CONTAINING UECapabilityInformation-NB)
    OPTIONAL,
    nonCriticalExtension                  SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

**UERadioAccessCapabilityInformation-NB field descriptions****ue-RadioAccessCapabilityInfo**

The NB-IoT UE Radio Access Capability Parameters, see TS 36.306 [5].

– **UERadioPagingInformation-NB**

This message is used to transfer NB-IoT radio paging information, covering both upload to and download from the EPC.

Direction: eNB to/ from EPC

**UERadioPagingInformation-NB message**

```
-- ASN1START
UERadioPagingInformation-NB ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                      CHOICE {
            ueRadioPagingInformation-r13
            UERadioPagingInformation-NB-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },

```



```

        criticalExtensionsFuture          SEQUENCE {}
    }
}
UERadioPagingInformation-NB-IEs ::= SEQUENCE {
    ue-RadioPagingInfo-r13              OCTET STRING (CONTAINING UE-RadioPagingInfo-NB-r13),
    nonCriticalExtension                SEQUENCE {}                                OPTIONAL
}
-- ASN1STOP

```

#### **UERadioPagingInformation-NB field descriptions**

##### **ue-RadioPagingInfo**

The field is used to transfer UE NB-IoT capability information used for paging. The eNB generates the *ue-RadioPagingInfo* and the contained UE capability information is absent when not supported by the UE.

## 10.7 Inter-node NB-IoT RRC information element definitions

### – *AS-Config-NB*

The *AS-Config-NB* IE contains information about NB-IoT RRC configuration information in the source eNB which can be utilized by target eNB.

#### **AS-Config-NB information element**

```

-- ASN1START
AS-Config-NB ::=
    SEQUENCE {
        sourceRadioResourceConfig-r13    RadioResourceConfigDedicated-NB-r13,
        sourceSecurityAlgorithmConfig-r13 SecurityAlgorithmConfig,
        sourceUE-Identity-r13            C-RNTI,
        sourceDL-CarrierFreq-r13        CarrierFreq-NB-r13,
        . . .
        [[ sourceDL-CarrierFreq-v1550    CarrierFreq-NB-v1550    OPTIONAL    -- Cond TDD
        ]]
    }
-- ASN1STOP

```

#### **AS-Config-NB field descriptions**

##### **sourceDL-CarrierFreq**

Provides the parameter Downlink EARFCN in the source PCell, see TS 36.101 [42].

##### **sourceRadioResourceConfig**

Radio configuration in the source PCell. The radio resource configuration for all radio bearers existing in the source PCell shall be included. See 10.9.

##### **sourceSecurityAlgorithmConfig**

This field provides the AS integrity protection (SRBs) and AS ciphering (SRBs and DRBs) algorithm configuration used in the source PCell.

| Conditional presence | Explanation   |
|----------------------|---|
| <i>TDD</i>           | The field is optionally present in case of TDD; otherwise the field is not present. |

### – *AS-Context-NB*

The IE *AS-Context-NB* is used to transfer the UE context required by the target eNB.

#### **AS-Context-NB information element**

```

-- ASN1START
AS-Context-NB ::=
    SEQUENCE {
        reestablishmentInfo-r13          ReestablishmentInfo-NB    OPTIONAL,

```

```

...
}
-- ASN1STOP

```

| <b>AS-Context-NB field descriptions</b>   |
|---|
| <b>reestablishmentInfo</b><br>Including information needed for the RRC connection re-establishment. |

### – *ReestablishmentInfo-NB*

The *ReestablishmentInfo-NB* IE contains information needed for the RRC connection re-establishment.

#### **ReestablishmentInfo-NB information element**

```

-- ASN1START
ReestablishmentInfo-NB ::=          SEQUENCE {
    sourcePhysCellId-r13              PhysCellId,
    targetCellShortMAC-I-r13         ShortMAC-I,
    additionalReestabInfoList-r13    AdditionalReestabInfoList          OPTIONAL,
    ...
}
-- ASN1STOP

```

| <b>ReestablishmentInfo-NB field descriptions</b>  |
|---|
| <b>additionalReestabInfoList</b><br>Contains a list of shortMAC-I and KeNB* for cells under control of the target eNB, required for potential re-establishment by the UE in these cells to succeed. |
| <b>sourcePhysCellId</b><br>The physical cell identity of the source PCell, used to determine the UE context in the target eNB at re-establishment.  |
| <b>targetCellShortMAC-I</b><br>The ShortMAC-I for the target PCell, in order for potential re-establishment to succeed.   |

### – *RRM-Config-NB*

The *RRM-Config-NB* IE contains information about UE specific RRM information which can be utilized by target eNB.

#### **RRM-Config-NB information element**

```

-- ASN1START
RRM-Config-NB ::=
    ue-InactiveTime          SEQUENCE {
                                ENUMERATED {
                                    s1, s2, s3, s5, s7, s10, s15, s20,
                                    s25, s30, s40, s50, min1, min1s20, min1s40,
                                    min2, min2s30, min3, min3s30, min4, min5, min6,
                                    min7, min8, min9, min10, min12, min14, min17, min20,
                                    min24, min28, min33, min38, min44, min50, hr1,
                                    hr1min30, hr2, hr2min30, hr3, hr3min30, hr4, hr5, hr6,
                                    hr8, hr10, hr13, hr16, hr20, day1, day1hr12, day2,
                                    day2hr12, day3, day4, day5, day7, day10, day14, day19,
                                    day24, day30, dayMoreThan30}          OPTIONAL,
                                ...
                            }
-- ASN1STOP

```

**RRM-Config-NB field descriptions*****ue-InactiveTime***

Duration while UE has not received or transmitted any user data. Value s1 corresponds to 1 second, s2 corresponds to 2 seconds and so on. Value min1 corresponds to 1 minute, value min1s20 corresponds to 1 minute and 20 seconds, value min1s40 corresponds to 1 minute and 40 seconds and so on. Value hr1 corresponds to 1 hour, hr1min30 corresponds to 1 hour and 30 minutes and so on.

## 10.8 Inter-node RRC multiplicity and type constraint values

- Multiplicity and type constraints definitions
- End of *NB-IoT-InterNodeDefinitions*

```
-- ASN1START
END
-- ASN1STOP
```

## 10.9 Mandatory information in *AS-Config-NB*

The *AS-Config-NB* transferred between source eNB and target-eNB shall include all IEs necessary to describe the AS context. The conditional presence in clause 6 is only applicable for eNB to UE communication.

The "Need" or "Cond" statements are not applied in case of sending the IEs from source eNB to target eNB. Some information elements shall be included regardless of the "Need" or "Cond" e.g. *discardTimer*. The *AS-Config-NB* re-uses information elements primarily created to cover the radio interface signalling requirements.

Within the *sourceRadioResourceConfig*, the source eNB shall include fields that are optional for eNB to UE communication, if the functionality is configured unless explicitly specified otherwise in the following:

- in accordance with a condition that is explicitly stated to be applicable; or
- a default value is defined for the concerned field; and the configured value is the same as the default value that is defined; or
- the need of the field is OP and the current UE configuration corresponds with the behaviour defined for absence of the field;

---

# 11 UE capability related constraints and performance requirements

## 11.1 UE capability related constraints

The following table lists constraints regarding the UE capabilities that E-UTRAN is assumed to take into account.

| Parameter                             | Description  | Value                   | NB-IoT             |
|---------------------------------------|--|-------------------------|--------------------|
| #DRBs                                 | The number of DRBs that a UE shall support   | 8, 15<br>NOTE2<br>NOTE3 | (0, 1, 2)<br>NOTE1 |
| #RLC-AM                               | The number of RLC AM entities that a UE shall support  | 10, 17                  | (2, 3)<br>NOTE1    |
| #minCellperMeasObject EUTRA           | The minimum number of neighbour cells (excluding black list cells) that a UE shall be able to store within a MeasObjectEUTRA. NOTE.  | 32                      | N/A                |
| #minBlackCellRangesperMeasObjectEUTRA | The minimum number of blacklist cell PCI ranges that a UE shall be able to store within a MeasObjectEUTRA  | 32                      | N/A                |
| #minCellperMeasObject UTRA            | The minimum number of neighbour cells that a UE shall be able to store within a MeasObjectUTRA. NOTE.  | 32                      | N/A                |
| #minCellperMeasObject GERAN           | The minimum number of neighbour cells that a UE shall be able to store within a measObjectGERAN. NOTE.   | 32                      | N/A                |
| #minCellperMeasObject CDMA2000        | The minimum number of neighbour cells that a UE shall be able to store within a measObjectCDMA2000. NOTE.  | 32                      | N/A                |
| #minBlackCellperMeas ObjectNR         | The minimum number of blacklist cells that a UE shall be able to store within a MeasObjectNR   | 32                      | N/A                |
| #minCellTotal                         | The minimum number of neighbour cells (excluding black list cells) that UE shall be able to store in total in all measurement objects configured   | 256                     | N/A                |
| NOTE:                                 | In case of CGI reporting, the limit regarding the cells E-UTRAN can configure includes the cell for which the UE is requested to report CGI i.e. the amount of neighbour cells that can be included is at most (# minCellperMeasObjectRAT - 1), where RAT represents EUTRA/UTRA/GERAN/CDMA2000 respectively. |                         |                    |
| NOTE 1:                               | #DRBs based on UE capability, #RLC-AM =#DRBs + 2.  |                         |                    |
| NOTE 2:                               | '15' applies when the UE supports <i>extendedNumberOfDRBs-r15</i> . For one MAC entity, the maximum number of DRBs configured with PDCP duplication and with RLC entity(ies) associated with this MAC entity is 8.   |                         |                    |
| NOTE 3:                               | The requirement is applicable in EN-DC, NGEN-DC and LTE standalone.  |                         |                    |

## 11.2 Processing delay requirements for RRC procedures

The UE performance requirements for RRC procedures are specified in the following tables, by means of a value N:

N = the number of 1ms subframes from the end of reception of the E-UTRAN -> UE message on the UE physical layer up to when the UE shall be ready for the reception of uplink grant for the UE -> E-UTRAN response message with no access delay other than the TTI-alignment (e.g. excluding delays caused by scheduling, the random access procedure or physical layer synchronisation).

NOTE: No processing delay requirements are specified for RN-specific procedures.

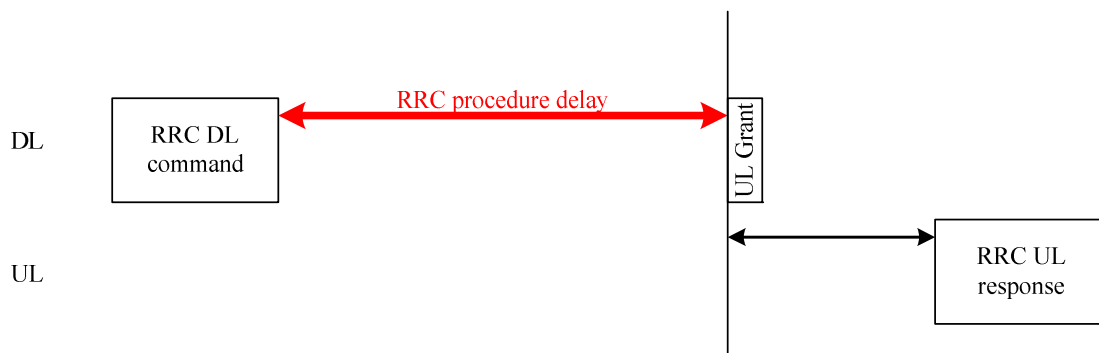


Figure 11.2-1: Illustration of RRC procedure delay

Table 11.2-1: UE performance requirements for RRC procedures for UEs other than NB-IoT UEs

| Procedure title:  | E-UTRAN -> UE   | UE -> E-UTRAN   | N       | Notes   |
|---|---|---|---------|---|
| <b>RRC Connection Control Procedures</b>  |   |   |         |   |
| RRC connection establishment  | <i>RRCCConnectionSetup</i> or <i>RRCCConnectionResume</i> | <i>RRCCConnectionSetupComplete</i> or <i>RRCCConnectionResumeComplete</i> | 15 or 3 | N = 3 applies for the case of reception of <i>RRCCConnectionResume</i> if <i>reducedCP-LatencyEnabled</i> is configured, the UE supports reduced CP latency, and the RRC message only includes MAC and PHY (re-)configurations and does not include (re-)configurations of DRX, SPS, SCells, and MIMO. Further, the UL grant is sent using PDCCH DCI format 0 in common search space. In this scenario, the RRC procedure delay can extend beyond the reception of the UL grant, up to 7 ms.<br><br>For other cases N = 15 applies. |
| RRC connection release  | <i>RRCCConnectionRelease</i>                              |   | NA      |   |
| RRC connection re-configuration (radio resource configuration)  | <i>RRCCConnectionReconfiguration</i>                      | <i>RRCCConnectionReconfigurationComplete</i>                              | 15      |   |
| RRC connection re-configuration (measurement configuration)   | <i>RRCCConnectionReconfiguration</i>                      | <i>RRCCConnectionReconfigurationComplete</i>                              | 15      |   |
| RRC connection re-configuration (intra-LTE mobility)  | <i>RRCCConnectionReconfiguration</i>                      | <i>RRCCConnectionReconfigurationComplete</i>                              | 15      |   |
| RRC connection reconfiguration (SCell addition/release)   | <i>RRCCConnectionReconfiguration</i>                      | <i>RRCCConnectionReconfigurationComplete</i>                              | 20      |   |
| RRC connection reconfiguration (SCG establishment/ release, SCG cell addition/ release)               | <i>RRCCConnectionReconfiguration</i>                      | <i>RRCCConnectionReconfigurationComplete</i>                              | 20      |   |
| RRC connection re-configuration (NR measurement configuration)  | <i>RRCCConnectionReconfiguration</i>                      | <i>RRCCConnectionReconfigurationComplete</i>                              | 15      |   |
| RRC connection reconfiguration (NR SCG establishment/ /modification/release)                          | <i>RRCCConnectionReconfiguration</i>                      | <i>RRCCConnectionReconfigurationComplete</i>                              | 20      |   |
| RRC connection re-configuration (intra-LTE mobility with NR SCG establishment/ /modification/release) | <i>RRCCConnectionReconfiguration</i>                      | <i>RRCCConnectionReconfigurationComplete</i>                              | 20      |   |
| RRC connection re-establishment   | <i>RRCCConnectionReestablishment</i>                      | <i>RRCCConnectionReestablishmentComplete</i>                              | 15      |   |

| Procedure title:   | E-UTRAN -> UE   | UE -> E-UTRAN   | N      | Notes   |
|--|---|---|--------|---|
| Initial security activation  | <i>SecurityModeCommand</i>  | <i>SecurityModeCommandComplete/SecurityModeCommandFailure</i> | 10     |   |
| Initial security activation + RRC connection re-configuration (RB establishment) | <i>SecurityModeCommand, RRCConnectionReconfiguration</i>              | <i>RRCConnectionReconfigurationComplete</i>                   | 20     | The two DL messages are transmitted in the same TTI   |
| EDT  | <i>RRCEarlyDataComplete</i> or <i>RRCConnectionRelease</i> for UP-EDT |   | NA     |   |
| Paging   | <i>Paging</i>   |   | NA     |   |
| <b>Inter RAT mobility</b>  |   |   |        |   |
| Handover to E-UTRA   | <i>RRCConnectionReconfiguration</i> (sent by other RAT)               | <i>RRCConnectionReconfigurationComplete</i>                   | NA     | The performance of this procedure is specified in TS 45.010 [50] in case of handover from GSM and TS 25.133 [29], TS 25.123 [30] in case of handover from UTRA, and TS 38.133 [84] in case of handover from NR. |
| Handover from E-UTRA   | <i>MobilityFromEUTRACommand</i>                                       |   | NA     | The performance of this procedure is specified in TS 36.133 [16]  |
| Handover from E-UTRA to CDMA2000   | <i>HandoverFromEUTRAPreparationRequest</i> (CDMA2000)                 |   | NA     | Used to trigger the handover preparation procedure with a CDMA2000 RAT. The performance of this procedure is specified in TS 36.133 [16]  |
| <b>Measurement procedures</b>  |   |   |        |   |
| Measurement Reporting  |   | <i>MeasurementReport</i>                                      | NA     |   |
| <b>Other procedures</b>  |   |   |        |   |
| UE capability transfer   | <i>UECapabilityEnquiry</i>  | <i>UECapabilityInformation</i>                                | 10/ 80 | N = 80 applies in case the UE has to report at least one of the following UE capabilities.<br>- MR-DC band combinations.<br>- NR band combinations<br>- EUTRA feature sets                                      |
| Counter check  | <i>CounterCheck</i>   | <i>CounterCheckResponse</i>                                   | 10     |   |
| Proximity indication   |   | <i>ProximityIndication</i>                                    | NA     |   |
| UE information   | <i>UEInformationRequest</i>   | <i>UEInformationResponse</i>                                  | 15     |   |
| MBMS counting  | <i>MBMSCountingRequest</i>  | <i>MBMSCountingResponse</i>                                   | NA     |   |
| MBMS interest indication   |   | <i>MBMSInterestIndication</i>                                 | NA     |   |
| In-device coexistence indication   |   | <i>InDeviceCoexIndication</i>                                 | NA     |   |
| UE assistance information  |   | <i>UEAssistanceInformation</i>                                | NA     |   |
| SCG failure information  |   | <i>SCGFailureInformation</i>                                  | NA     |   |
| NR SCG failure information   |   | <i>SCGFailureInformationNR</i>                                | NA     |   |
| Sidelink UE information  |   | <i>SidelinkUEInformation</i>                                  | NA     |   |

| Procedure title:                 | E-UTRAN -> UE | UE -> E-UTRAN                     | N  | Notes |
|----------------------------------|---------------|-----------------------------------|----|-------|
| WLAN Connection Status Reporting |               | <i>WLANConnectionStatusReport</i> | NA |       |

Table 11.2-2: UE performance requirements for RRC procedures for NB-IoT UEs

| Procedure title:   | E-UTRAN -> UE  | UE -> E-UTRAN   | N  | Notes   |
|--|--|---|----|---|
| <b>RRC Connection Control Procedures</b>   |  |   |    |   |
| RRC connection establishment   | <i>RRCCConnectionSetup-NB</i> or <i>RRCCConnectionResume-NB</i>              | <i>RRCCConnectionSetupComplete-NB</i> or <i>RRCCConnectionResumeComplete-NB</i> | 45 |   |
| RRC connection release   | <i>RRCCConnectionRelease-NB</i>  |   | NA |   |
| RRC connection re-configuration (radio resource configuration)                   | <i>RRCCConnectionReconfiguration-NB</i>                                      | <i>RRCCConnectionReconfigurationComplete-NB</i>                                 | 45 |   |
| RRC connection re-establishment  | <i>RRCCConnectionReestablishment-NB</i>                                      | <i>RRCCConnectionReestablishmentComplete-NB</i>                                 | 45 |   |
| Initial security activation  | <i>SecurityModeCommand</i>   | <i>SecurityModeCommandComplete/SecurityModeCommandFailure</i>                   | 35 |   |
| Initial security activation + RRC connection re-configuration (RB establishment) | <i>SecurityModeCommand</i> , <i>RRCCConnectionReconfiguration-NB</i>         | <i>RRCCConnectionReconfigurationComplete-NB</i>                                 | 55 | The two DL messages are transmitted in the same TTI |
| EDT  | <i>RRCEarlyDataComplete-NB</i> or <i>RRCCConnectionRelease-NB</i> for UP-EDT |   | NA |   |
| Paging   | <i>Paging-NB</i>   |   | NA |   |
| <b>Other procedures</b>  |  |   |    |   |
| UE capability transfer   | <i>UECapabilityEnquiry-NB</i>  | <i>UECapabilityInformation-NB</i>   | 35 |   |

## 11.3 Void

---

# Annex A (informative): Guidelines, mainly on use of ASN.1

## A.1 Introduction

The following clauses contain guidelines for the specification of RRC protocol data units (PDUs) with ASN.1.

## A.2 Procedural specification

### A.2.1 General principles

The procedural specification provides an overall high level description regarding the UE behaviour in a particular scenario.

It should be noted that most of the UE behaviour associated with the reception of a particular field is covered by the applicable parts of the PDU specification. The procedural specification may also include specific details of the UE behaviour upon reception of a field, but typically this should be done only for cases that are not easy to capture in the PDU clause e.g. general actions, more complicated actions depending on the value of multiple fields.

Likewise, the procedural specification need not specify the UE requirements regarding the setting of fields within the messages that are sent to E-UTRAN i.e. this may also be covered by the PDU specification.

### A.2.2 More detailed aspects

The following more detailed conventions should be used:

- Bullets:
  - Capitals should be used in the same manner as in other parts of the procedural text i.e. in most cases no capital applies since the bullets are part of the sentence starting with 'The UE shall:'
  - All bullets, including the last one in a clause, should end with a semi-colon i.e. an ';'.
- Conditions
  - Whenever multiple conditions apply, a semi-colon should be used at the end of each conditions with the exception of the last one, i.e. as in 'if cond1; or cond2:'

## A.3 PDU specification

### A.3.1 General principles

#### A.3.1.1 ASN.1 sections

The RRC PDU contents are formally and completely described using abstract syntax notation (ASN.1), see X.680 [13], X.681 (02/2002) [14].

The complete ASN.1 code is divided into a number of ASN.1 sections in the specifications. In order to facilitate the extraction of the complete ASN.1 code from the specification, each ASN.1 section begins with a text paragraph consisting entirely of an *ASN.1 start tag*, which consists of a double hyphen followed by a single space and the text string "ASN1START" (in all upper case letters). Each ASN.1 section ends with a text paragraph consisting entirely of an *ASN.1 stop tag*, which consists of a double hyphen followed by a single space and the text "ASN1STOP" (in all upper case letters):

```
-- ASN1START  
-- ASN1STOP
```



The text paragraphs containing the ASN.1 start and stop tags should not contain any ASN.1 code significant for the complete description of the RRC PDU contents. The complete ASN.1 code may be extracted by copying all the text paragraphs between an ASN.1 start tag and the following ASN.1 stop tag in the order they appear, throughout the specification.

NOTE: A typical procedure for extraction of the complete ASN.1 code consists of a first step where the entire RRC PDU contents description (ultimately the entire specification) is saved into a plain text (ASCII) file format, followed by a second step where the actual extraction takes place, based on the occurrence of the ASN.1 start and stop tags.

### A.3.1.2 ASN.1 identifier naming conventions

The naming of identifiers (i.e., the ASN.1 field and type identifiers) should be based on the following guidelines:

- Message (PDU) identifiers should be ordinary mixed case without hyphenation. These identifiers, e.g., the *RRCConnectionModificationCommand*, should be used for reference in the procedure text. Abbreviated forms of these identifiers should not be used.
- Type identifiers other than PDU identifiers should be ordinary mixed case, with hyphenation used to set off acronyms only where an adjacent letter is a capital, e.g., *EstablishmentCause*, *SelectedPLMN* (not *Selected-PLMN*, since the "d" in "Selected" is lowercase), *InitialUE-Identity* and *MeasSFN-SFN-TimeDifference*.
- Field identifiers shall start with a lowercase letter and use mixed case thereafter, e.g., *establishmentCause*. If a field identifier begins with an acronym (which would normally be in upper case), the entire acronym is lowercase (*plmn-Identity*, not *PLMN-Identity*). The acronym is set off with a hyphen (*ue-Identity*, not *ueIdentity*), in order to facilitate a consistent search pattern with corresponding type identifiers.
- Identifiers that are likely to be keywords of some language, especially widely used languages, such as C++ or Java, should be avoided to the extent possible.
- Identifiers, other than PDU identifiers, longer than 25 characters should be avoided where possible. It is recommended to use abbreviations, which should be done in a consistent manner i.e. use 'Meas' instead of 'Measurement' for all occurrences. Examples of typical abbreviations are given in table A.3.1.2.1-1 below.
- *For future extension:* When an extension is introduced a suffix is added to the identifier of the concerned ASN.1 field and/ or type. A suffix of the form "-rX" is used, with X indicating the release, for ASN.1 fields or types introduced in a later release (i.e. a release later than the original/ first release of the protocol) as well as for ASN.1 fields or types for which a revision is introduced in a later release replacing a previous version, e.g., *Foo-r9* for the Rel-9 version of the ASN.1 type *Foo*. A suffix of the form "-rXb" is used for the first revision of a field that it appears in the same release (X) as the original version of the field, "-rXc" for a second intra-release revision and so on. A suffix of the form "-vXYZ" is used for ASN.1 fields or types that only are an extension of a corresponding earlier field or type (see clause A.4), e.g., *AnElement-v10b0* for the extension of the ASN.1 type *AnElement* introduced in version 10.11.0 of the specification. A number 0...9, 10, 11, etc. is used to represent the first part of the version number, indicating the release of the protocol. Lower case letters a, b, c, etc. are used to represent the second (and third) part of the version number if they are greater than 9. In the procedural specification, in field descriptions as well as in headings suffices are not used, unless there is a clear need to distinguish the extension from the original field.
- More generally, in case there is a need to distinguish different variants of an ASN.1 field or IE, a suffix should be added at the end of the identifiers e.g. *MeasObjectUTRA*, *ConfigCommon*. When there is no particular need to distinguish the fields (e.g. because the field is included in different IEs), a common field identifier name may be used. This may be attractive e.g. in case the procedural specification is the same for the different variants.

**Table A.3.1.2-1: Examples of typical abbreviations used in ASN.1 identifiers**

| Abbreviation | Abbreviated word |
|--------------|------------------|
| Comm         | Communication    |
| Conf         | Confirmation     |
| Config       | Configuration    |
| Disc         | Discovery        |
| DL           | Downlink         |
| Ext          | Extension        |
| Freq         | Frequency        |
| Id           | Identity         |
| Ind          | Indication       |
| Info         | Information      |
| Meas         | Measurement      |
| Neigh        | Neighbour(ing)   |
| Param(s)     | Parameter(s)     |
| Persist      | Persistent       |
| Phys         | Physical         |
| Proc         | Process          |
| Reestab      | Reestablishment  |
| Req          | Request          |
| Rx           | Reception        |
| Sched        | Scheduling       |
| Sync         | Synchronisation  |
| Thresh       | Threshold        |
| Tx/ Transm   | Transmission     |
| UL           | Uplink           |

NOTE: The table A.3.1.2.1-1 is not exhaustive. Additional abbreviations may be used in ASN.1 identifiers when needed.

### A.3.1.3 Text references using ASN.1 identifiers

A text reference into the RRC PDU contents description from other parts of the specification is made using the ASN.1 field or type identifier of the referenced element. The ASN.1 field and type identifiers used in text references should be in the *italic font style*. The "do not check spelling and grammar" attribute in Word should be set. Quotation marks (i.e., " ") should not be used around the ASN.1 field or type identifier.

A reference to an RRC PDU type should be made using the corresponding ASN.1 type identifier followed by the word "message", e.g., a reference to the *RRCCConnectionRelease* message.

A reference to a specific part of an RRC PDU, or to a specific part of any other ASN.1 type, should be made using the corresponding ASN.1 field identifier followed by the word "field", e.g., a reference to the *prioritisedBitRate* field in the example below.

```
-- /example/ ASN1START
LogicalChannelConfig ::=
    ul-SpecificParameters
        priority
        prioritisedBitRate
        bucketSizeDuration
        logicalChannelGroup
    } OPTIONAL
SEQUENCE {
    SEQUENCE {
        Priority,
        PrioritisedBitRate,
        BucketSizeDuration,
        INTEGER (0..3)
    }
}
-- ASN1STOP
```

NOTE: All the ASN.1 start tags in the ASN.1 sections, used as examples in this annex to the specification, are deliberately distorted, in order not to include them when the ASN.1 description of the RRC PDU contents is extracted from the specification.

A reference to a specific type of information element should be made using the corresponding ASN.1 type identifier preceded by the acronym "IE", e.g., a reference to the IE *LogicalChannelConfig* in the example above.

References to a specific type of information element should only be used when those are generic, i.e., without regard to the particular context wherein the specific type of information element is used. If the reference is related to a particular context, e.g., an RRC PDU type (message) wherein the information element is used, the corresponding field identifier in that context should be used in the text reference.

A reference to a specific value of an ASN.1 field should be made using the corresponding ASN.1 value without using quotation marks around the ASN.1 value, e.g., 'if the *status* field is set to value *true*'.

### A.3.2 High-level message structure

Within each logical channel type, the associated RRC PDU (message) types are alternatives within a CHOICE, as shown in the example below.

```
-- /example/ ASN1START
DL-DCCH-Message ::= SEQUENCE {
    message          DL-DCCH-MessageType
}
DL-DCCH-MessageType ::= CHOICE {
    c1               CHOICE {
        dlInformationTransfer          DLInformationTransfer,
        handoverFromEUTRAPreparationRequest  HandoverFromEUTRAPreparationRequest,
        mobilityFromEUTRACCommand        MobilityFromEUTRACCommand,
        rrcConnectionReconfiguration    RRCConnectionReconfiguration,
        rrcConnectionRelease            RRCConnectionRelease,
        securityModeCommand             SecurityModeCommand,
        ueCapabilityEnquiry             UECapabilityEnquiry,
        spare1 NULL
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

A nested two-level CHOICE structure is used, where the alternative PDU types are alternatives within the inner level *c1* CHOICE.

Spare alternatives (i.e., *spare1* in this case) may be included within the *c1* CHOICE to facilitate future extension. The number of such spare alternatives should not extend the total number of alternatives beyond an integer-power-of-two number of alternatives (i.e., eight in this case).

Further extension of the number of alternative PDU types is facilitated using the *messageClassExtension* alternative in the outer level CHOICE.

### A.3.3 Message definition

Each PDU (message) type is specified in an ASN.1 section similar to the one shown in the example below.

```
-- /example/ ASN1START
RRCConnectionReconfiguration ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                       CHOICE {
            rrcConnectionReconfiguration-r8  RRCConnectionReconfiguration-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}
RRCConnectionReconfiguration-r8-IEs ::= SEQUENCE {
    -- Enter the IEs here.
    ...
}
-- ASN1STOP
```

Hooks for *critical* and *non-critical* extension should normally be included in the PDU type specification. How these hooks are used is further described in clause A.4.

Critical extensions are characterised by a redefinition of the PDU contents and need to be governed by a mechanism for protocol version agreement between the encoder and the decoder of the PDU, such that the encoder is prevented from sending a critically extended version of the PDU type, which is not comprehended by the decoder.

Critical extension of a PDU type is facilitated by a two-level CHOICE structure, where the alternative PDU contents are alternatives within the inner level *c1* CHOICE. Spare alternatives (i.e., *spare3* down to *spare1* in this case) may be included within the *c1* CHOICE. The number of spare alternatives to be included in the original PDU specification should be decided case by case, based on the expected rate of critical extension in the future releases of the protocol.

Further critical extension, when the spare alternatives from the original specifications are used up, is facilitated using the *criticalExtensionsFuture* in the outer level CHOICE.

In PDU types where critical extension is not expected in the future releases of the protocol, the inner level *c1* CHOICE and the spare alternatives may be excluded, as shown in the example below.

```
-- /example/ ASN1START
RRCConnectionReconfigurationComplete ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions              CHOICE {
        rrcConnectionReconfigurationComplete-r8
        criticalExtensionsFuture   RRCConnectionReconfigurationComplete-r8-IEs,
    }
}
RRCConnectionReconfigurationComplete-r8-IEs ::= SEQUENCE {
    -- Enter the IEs here. --
    ...
}
-- ASN1STOP
```

Non-critical extensions are characterised by the addition of new information to the original specification of the PDU type. If not comprehended, a non-critical extension may be skipped by the decoder, whilst the decoder is still able to complete the decoding of the comprehended parts of the PDU contents.

Non-critical extensions at locations other than the end of the message or other than at the end of a field contained in a BIT or OCTET STRING are facilitated by use of the ASN.1 extension marker "...". The original specification of a PDU type should normally include the extension marker at the end of the sequence of information elements contained.

Non-critical extensions at the end of the message or at the end of a field that is contained in a BIT or OCTET STRING are facilitated by use of an empty sequence that is marked OPTIONAL e.g. as shown in the following example:

```
-- /example/ ASN1START
RRCMessage-r8-IEs ::=
    SEQUENCE {
        field1          InformationElement1,
        field2          InformationElement2,
        nonCriticalExtension SEQUENCE {} OPTIONAL
    }
-- ASN1STOP
```

The ASN.1 section specifying the contents of a PDU type may be followed by a *field description* table where a further description of, e.g., the semantic properties of the fields may be included. The general format of this table is shown in the example below. The field description table is absent in case there are no fields for which further description needs to be provided e.g. because the PDU does not include any fields, or because an IE is defined for each field while there is nothing specific regarding the use of this IE that needs to be specified.

| <b>%PDU-TypeIdentifier% field descriptions</b>  |
|---|
| <b>%field identifier%</b><br>Field description. |
| <b>%field identifier%</b><br>Field description. |

The field description table has one column. The header row shall contain the ASN.1 type identifier of the PDU type.

The following rows are used to provide field descriptions. Each row shall include a first paragraph with a *field identifier* (in **bold and italic** font style) referring to the part of the PDU to which it applies. The following paragraphs at the same row may include (in regular font style), e.g., semantic description, references to other specifications and/or specification of value units, which are relevant for the particular part of the PDU.

The parts of the PDU contents that do not require a field description shall be omitted from the field description table.

### A.3.4 Information elements

Each IE (information element) type is specified in an ASN.1 section similar to the one shown in the example below.

```
-- /example/ ASN1START

PRACH-ConfigSIB ::=
    rootSequenceIndex
    prach-ConfigInfo
}

PRACH-Config ::=
    rootSequenceIndex
    prach-ConfigInfo
} OPTIONAL -- Need ON

PRACH-ConfigInfo ::=
    prach-ConfigIndex
    highSpeedFlag
    zeroCorrelationZoneConfig
}

-- ASN1STOP
```

IEs should be introduced whenever there are multiple fields for which the same set of values apply. IEs may also be defined for other reasons e.g. to break down a ASN.1 definition in to smaller pieces.

A group of closely related IE type definitions, like the IEs *PRACH-ConfigSIB* and *PRACH-Config* in this example, are preferably placed together in a common ASN.1 section. The IE type identifiers should in this case have a common base, defined as the *generic type identifier*. It may be complemented by a suffix to distinguish the different variants. The "*PRACH-Config*" is the generic type identifier in this example, and the "*SIB*" suffix is added to distinguish the variant. The clause heading and generic references to a group of closely related IEs defined in this way should use the generic type identifier.

The same principle should apply if a new version, or an extension version, of an existing IE is created for *critical* or *non-critical* extension of the protocol (see clause A.4). The new version, or the extension version, of the IE is included in the same ASN.1 section defining the original. A suffix is added to the type identifier, using the naming conventions defined in clause A.3.1.2, indicating the release or version of the where the new version, or extension version, was introduced.

Local IE type definitions, like the IE *PRACH-ConfigInfo* in the example above, may be included in the ASN.1 section and be referenced in the other IE types defined in the same ASN.1 section. The use of locally defined IE types should be encouraged, as a tool to break up large and complex IE type definitions. It can improve the readability of the code. There may also be a benefit for the software implementation of the protocol end-points, as these IE types are typically provided by the ASN.1 compiler as independent data elements, to be used in the software implementation.

An IE type defined in a local context, like the IE *PRACH-ConfigInfo*, should not be referenced directly from other ASN.1 sections in the RRC specification. An IE type which is referenced in more than one ASN.1 section should be defined in a separate clause, with a separate heading and a separate ASN.1 section (possibly as one in a set of closely

related IE types, like the IEs *PRACH-ConfigSIB* and *PRACH-Config* in the example above). Such IE types are also referred to as 'global IEs'.

**NOTE:** Referring to an IE type, that is defined as a local IE type in the context of another ASN.1 section, does not generate an ASN.1 compilation error. Nevertheless, using a locally defined IE type in that way makes the IE type definition difficult to find, as it would not be visible at an outline level of the specification. It should be avoided.

The ASN.1 section specifying the contents of one or more IE types, like in the example above, may be followed by a *field description* table, where a further description of, e.g., the semantic properties of the fields of the information elements may be included. This table may be absent, similar as indicated in clause A.3.3 for the specification of the PDU type. The general format of the *field description* table is the same as shown in clause A.3.3 for the specification of the PDU type.

### A.3.5 Fields with optional presence

A field with optional presence may be declared with the keyword **DEFAULT**. It identifies a default value to be assumed, if the sender does not include a value for that field in the encoding:

```
-- /example/ ASN1START
PreambleInfo ::=
    numberOfRA-Preambles          SEQUENCE {
                                   INTEGER (1..64)          DEFAULT 1,
                                   ...
    }
-- ASN1STOP
```

Alternatively, a field with optional presence may be declared with the keyword **OPTIONAL**. It identifies a field for which a value can be omitted. The omission carries semantics, which is different from any normal value of the field:

```
-- /example/ ASN1START
PRACH-Config ::=
    rootSequenceIndex            SEQUENCE {
                                   INTEGER (0..1023),
                                   PRACH-ConfigInfo          OPTIONAL    -- Need ON
    }
-- ASN1STOP
```

The semantics of an optionally present field, in the case it is omitted, should be indicated at the end of the paragraph including the keyword **OPTIONAL**, using a short comment text with a need statement. The need statement includes the keyword "Need", followed by one of the predefined semantics tags (OP, ON or OR) defined in clause 6.1. If the semantics tag **OP** is used, the semantics of the absent field are further specified either in the field description table following the ASN.1 section, or in procedure text.

The addition of **OPTIONAL** keywords for capability groups is based on the following guideline. If there is more than one field in the lower level IE, then **OPTIONAL** keyword is added at the group level. If there is only one field in the lower level IE, **OPTIONAL** keyword is not added at the group level.

### A.3.6 Fields with conditional presence

A field with conditional presence is declared with the keyword **OPTIONAL**. In addition, a short comment text shall be included at the end of the paragraph including the keyword **OPTIONAL**. The comment text includes the keyword "Cond", followed by a condition tag associated with the field ("UL" in this example):

```
-- /example/ ASN1START
LogicalChannelConfig ::=
    ul-SpecificParameters        SEQUENCE {
                                   SEQUENCE {
                                       priority          INTEGER (0),
                                       ...
                                   }          OPTIONAL          -- Cond UL
    }
-- ASN1STOP
```

When conditionally present fields are included in an ASN.1 section, the field description table after the ASN.1 section shall be followed by a *conditional presence* table. The conditional presence table specifies the conditions for including the fields with conditional presence in the particular ASN.1 section.

| Conditional presence | Explanation   |
|----------------------|---|
| UL                   | <b>Specification of the conditions for including the field associated with the condition tag = "UL". Semantics in case of optional presence under certain conditions may also be specified.</b> |

The conditional presence table has two columns. The first column (heading: "Conditional presence") contains the condition tag (in *italic* font style), which links the fields with a condition tag in the ASN.1 section to an entry in the table. The second column (heading: "Explanation") contains a text specification of the conditions and requirements for the presence of the field. The second column may also include semantics, in case of an optional presence of the field, under certain conditions i.e. using the same predefined tags as defined for optional fields in A.3.5.

Conditional presence should primarily be used when presence of a field depends on the presence and/ or value of other fields within the same message. If the presence of a field depends on whether another feature/ function has been configured, while this function can be configured independently e.g. by another message and/ or at another point in time, the relation is best reflected by means of a statement in the field description table.

If the ASN.1 section does not include any fields with conditional presence, the conditional presence table shall not be included.

Whenever a field is only applicable in specific cases e.g. TDD, use of conditional presence should be considered.

### A.3.7 Guidelines on use of lists with elements of SEQUENCE type

Where an information element has the form of a list (the SEQUENCE OF construct in ASN.1) with the type of the list elements being a SEQUENCE data type, an information element shall be defined for the list elements even if it would not otherwise be needed.

For example, a list of PLMN identities with reservation flags is defined as in the following example:

```
-- /example/ ASN1START
PLMN-IdentityInfoList ::=                SEQUENCE (SIZE (1..6)) OF PLMN-IdentityInfo
PLMN-IdentityInfo ::=                   SEQUENCE {
    plmn-Identity                        PLMN-Identity,
    cellReservedForOperatorUse           ENUMERATED {reserved, notReserved}
}
-- ASN1STOP
```

rather than as in the following (bad) example, which may cause generated code to contain types with unpredictable names:

```
-- /bad example/ ASN1START
PLMN-IdentityList ::=                   SEQUENCE (SIZE (1..6)) OF SEQUENCE {
    plmn-Identity                        PLMN-Identity,
    cellReservedForOperatorUse           ENUMERATED {reserved, notReserved}
}
-- ASN1STOP
```

## A.4 Extension of the PDU specifications

### A.4.1 General principles to ensure compatibility

It is essential that extension of the protocol does not affect interoperability i.e. it is essential that implementations based on different versions of the RRC protocol are able to interoperate. In particular, this requirement applies for the following kind of protocol extensions:

- Introduction of new PDU types (i.e. these should not cause unexpected behaviour or damage).
- Introduction of additional fields in an extensible PDUs (i.e. it should be possible to ignore uncomprehended extensions without affecting the handling of the other parts of the message).
- Introduction of additional values of an extensible field of PDUs. If used, the behaviour upon reception of an uncomprehended value should be defined.

It should be noted that the PDU extension mechanism may depend on the logical channel used to transfer the message e.g. for some PDUs an implementation may be aware of the protocol version of the peer in which case selective ignoring of extensions may not be required.

The non-critical extension mechanism is the primary mechanism for introducing protocol extensions i.e. the critical extension mechanism is used merely when there is a need to introduce a 'clean' message version. Such a need appears when the last message version includes a large number of non-critical extensions, which results in issues like readability, overhead associated with the extension markers. The critical extension mechanism may also be considered when it is complicated to accommodate the extensions by means of non-critical extension mechanisms.

### A.4.2 Critical extension of messages and fields

The mechanisms to critically extend a message are defined in A.3.3. There are both "outer branch" and "inner branch" mechanisms available. The "outer branch" consists of a CHOICE having the name *criticalExtensions*, with two values, *c1* and *criticalExtensionsFuture*. The *criticalExtensionsFuture* branch consists of an empty SEQUENCE, while the *c1* branch contains the "inner branch" mechanism.

The "inner branch" structure is a CHOICE with values of the form "*MessageName-rX-IEs*" (e.g., "*RRCConnectionReconfiguration-r8-IEs*") or "*spareX*", with the spare values having type NULL. The "-rX-IEs" structures contain the *complete* structure of the message IEs for the appropriate release; i.e., the critical extension branch for the Rel-10 version of a message includes all Rel-8 and Rel-9 fields (that are not obviated in the later version), rather than containing only the additional Rel-10 fields.

The following guidelines may be used when deciding which mechanism to introduce for a particular message, i.e. only an 'outer branch', or an 'outer branch' in combination with an 'inner branch' including a certain number of spares:

- For certain messages, e.g. initial uplink messages, messages transmitted on a broadcast channel, critical extension may not be applicable.
- An outer branch may be sufficient for messages not including any fields.
- The number of spares within inner branch should reflect the likelihood that the message will be critically extended in future releases (since each release with a critical extension for the message consumes one of the spare values). The estimation of the critical extension likelihood may be based on the number, size and changeability of the fields included in the message.
- In messages where an inner branch extension mechanism is available, all spare values of the inner branch should be used before any critical extensions are added using the outer branch.

The following example illustrates the use of the critical extension mechanism by showing the ASN.1 of the original and of a later release

```
-- /example/ ASN1START                                -- Original release
RRCMessage ::=                                         SEQUENCE {
  rrc-TransactionIdentifier                             RRC-TransactionIdentifier,
  criticalExtensions                                   CHOICE {
    c1                                                  CHOICE{
```



```

        rrcMessage-r8                RRCMessage-r8-IEs,
        spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture          SEQUENCE {}
}
}
-- ASN1STOP

```

```

-- /example/ ASN1START                -- Later release
RRCMessage ::=                        SEQUENCE {
    rrc-TransactionIdentifier          RRC-TransactionIdentifier,
    criticalExtensions                 CHOICE {
        c1                             CHOICE{
            rrcMessage-r8              RRCMessage-r8-IEs,
            rrcMessage-r10             RRCMessage-r10-IEs,
            rrcMessage-r11             RRCMessage-r11-IEs,
            rrcMessage-r14             RRCMessage-r14-IEs
        },
        later                           CHOICE {
            c2                           CHOICE{
                rrcMessage-r16          RRCMessage-r16-IEs,
                spare7 NULL, spare6 NULL, spare5 NULL, spare4 NULL,
                spare3 NULL, spare2 NULL, spare1 NULL
            },
            criticalExtensionsFuture    SEQUENCE {}
        }
    }
}
-- ASN1STOP

```

It is important to note that critical extensions may also be used at the level of individual fields i.e. a field may be replaced by a critically extended version. When sending the extended version, the original version may also be included (e.g. original field is mandatory, EUTRAN is unaware if UE supports the extended version). In such cases, a UE supporting both versions may be required to ignore the original field. The following example illustrates the use of the critical extension mechanism by showing the ASN.1 of the original and of a later release

```

-- /example/ ASN1START                -- Original release
RRCMessage ::=                        SEQUENCE {
    rrc-TransactionIdentifier          RRC-TransactionIdentifier,
    criticalExtensions                 CHOICE {
        c1                             CHOICE{
            rrcMessage-r8              RRCMessage-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture       SEQUENCE {}
    }
}

RRCMessage-rN-IEs ::= SEQUENCE {
    field1-rN                          ENUMERATED {
        value1, value2, value3, value4} OPTIONAL, -- Need ON
    field2-rN                          InformationElement2-rN      OPTIONAL, -- Need ON
    nonCriticalExtension                RRCConnectionReconfiguration-vMxy-IEs OPTIONAL
}

RRCConnectionReconfiguration-vMxy-IEs ::= SEQUENCE {
    field2-rM                          InformationElement2-rM      OPTIONAL, -- Cond NoField2rN
    nonCriticalExtension                SEQUENCE {}                OPTIONAL
}
-- ASN1STOP

```

| Conditional presence | Explanation   |
|----------------------|---|
| <i>NoField2rN</i>    | The field is optionally present, need ON, if <i>field2-rN</i> is absent. Otherwise the field is not present |

Finally, it is noted that a critical extension may be introduced in the same release as the one in which the original field was introduced e.g. to correct an essential ASN.1 error. In such cases a UE capability may be introduced, to assist E-UTRAN in deciding whether or not to use the critically extension.

### A.4.3 Non-critical extension of messages

#### A.4.3.1 General principles

The mechanisms to extend a message in a non-critical manner are defined in A.3.3. W.r.t. the use of extension markers, the following additional guidelines apply:

- When further non-critical extensions are added to a message that has been critically extended, the inclusion of these non-critical extensions in earlier critical branches of the message should be avoided when possible.
- The extension marker ("...") is the primary non-critical extension mechanism that is used unless a length determinant is not required. Examples of cases where a length determinant is not required:
  - at the end of a message,
  - at the end of a structure contained in a BIT STRING or OCTET STRING
- When an extension marker is available, non-critical extensions are preferably placed at the location (e.g. the IE) where the concerned parameter belongs from a logical/ functional perspective (referred to as the '*default extension location*')
- It is desirable to aggregate extensions of the same release or version of the specification into a group, which should be placed at the lowest possible level.
- In specific cases it may be preferable to place extensions elsewhere (referred to as the '*actual extension location*') e.g. when it is possible to aggregate several extensions in a group. In such a case, the group should be placed at the lowest suitable level in the message.
- In case placement at the default extension location affects earlier critical branches of the message, locating the extension at a following higher level in the message should be considered.
- In case an extension is not placed at the default extension location, an IE should be defined. The IE's ASN.1 definition should be placed in the same ASN.1 section as the default extension location. In case there are intermediate levels in-between the actual and the default extension location, an IE may be defined for each level. Intermediate levels are primarily introduced for readability and overview. Hence intermediate levels need not always be introduced e.g. they may not be needed when the default and the actual extension location are within the same ASN.1 section.

#### A.4.3.2 Further guidelines

Further to the general principles defined in the previous clause, the following additional guidelines apply regarding the use of extension markers:

- Extension markers within SEQUENCE
  - Extension markers are primarily, but not exclusively, introduced at the higher nesting levels
  - Extension markers are introduced for a SEQUENCE comprising several fields as well as for information elements whose extension would result in complex structures without it (e.g. re-introducing another list)
  - Extension markers are introduced to make it possible to maintain important information structures e.g. parameters relevant for one particular RAT
  - Extension markers are also used for size critical messages (i.e. messages on BCCH, BR-BCCH, PCCH and CCCH), although introduced somewhat more carefully
  - The extension fields introduced (or frozen) in a specific version of the specification are grouped together using double brackets.
- Extension markers within ENUMERATED

- Spare values are used until the number of values reaches the next power of 2, while the extension marker caters for extension beyond that limit
- A suffix of the form "vXYZ" is used for the identifier of each new value, e.g. "value-vXYZ".
- Extension markers within CHOICE:
  - Extension markers are introduced when extension is foreseen and when comprehension is not required by the receiver i.e. behaviour is defined for the case where the receiver cannot comprehend the extended value (e.g. ignoring an optional CHOICE field). It should be noted that defining the behaviour of a receiver upon receiving a not comprehended choice value is not required if the sender is aware whether or not the receiver supports the extended value.
  - A suffix of the form "vXYZ" is used for the identifier of each new choice value, e.g. "choice-vXYZ".

Non-critical extensions at the end of a message/ of a field contained in an OCTET or BIT STRING:

- When a nonCriticalExtension is actually used, a "Need" statement should not be provided for the field, which always is a group including at least one extension and a field facilitating further possible extensions. For simplicity, it is recommended not to provide a "Need" statement when the field is not actually used either.

Further, more general, guidelines:

- In case a need statement is not provided for a group, a "Need" statement is provided for all individual extension fields within the group i.e. including for fields that are not marked as OPTIONAL. The latter is to clarify the action upon absence of the whole group.

### A.4.3.3 Typical example of evolution of IE with local extensions

The following example illustrates the use of the extension marker for a number of elementary cases (sequence, enumerated, choice). The example also illustrates how the IE may be revised in case the critical extension mechanism is used.

**NOTE** In case there is a need to support further extensions of release n while the ASN.1 of release (n+1) has been frozen, without requiring the release n receiver to support decoding of release (n+1) extensions, more advanced mechanisms are needed e.g. including multiple extension markers.

```
-- /example/ ASN1START
InformationElement1 ::=          SEQUENCE {
    field1                        ENUMERATED {
                                   value1, value2, value3, value4-v880,
                                   ..., value5-v960 },
    field2                        CHOICE {
        field2a                   BOOLEAN,
        field2b                   InformationElement2b,
        ...,
        field2c-v960              InformationElement2c-r9
    },
    ...,
    [[ field3-r9                  InformationElement3-r9          OPTIONAL          -- Need OR
    ]],
    [[ field3-v9a0                InformationElement3-v9a0        OPTIONAL,          -- Need OR
    field4-r9                     InformationElement4           OPTIONAL          -- Need OR
    ]]
}

InformationElement1-r10 ::=      SEQUENCE {
    field1                        ENUMERATED {
                                   value1, value2, value3, value4-v880,
                                   value5-v960, value6-v1170, spare2, spare1, ...},
    field2                        CHOICE {
        field2a                   BOOLEAN,
        field2b                   InformationElement2b,
        field2c-v960              InformationElement2c-r9,
        ...,
        field2d-v12b0             INTEGER (0..63)
    },
    field3-r9                    InformationElement3-r10         OPTIONAL,          -- Need OR
    field4-r9                    InformationElement4             OPTIONAL,          -- Need OR
    field5-r10                   BOOLEAN,
}
```

```

    field6-r10                InformationElement6-r10                OPTIONAL,    -- Need OR
    ...,
    [[ field3-v1170           InformationElement3-v1170           OPTIONAL    -- Need OR
    ]]
}
-- ASN1STOP

```

Some remarks regarding the extensions of *InformationElement1* as shown in the above example:

- The *InformationElement1* is initially extended with a number of non-critical extensions. In release 10 however, a critical extension is introduced for the message using this IE. Consequently, a new version of the IE *InformationElement1* (i.e. *InformationElement1-r10*) is defined in which the earlier non-critical extensions are incorporated by means of a revision of the original field.
- The *value4-v880* is replacing a spare value defined in the original protocol version for *field1*. Likewise *value6-v1170* replaces *spare3* that was originally defined in the r10 version of *field1*
- Within the critically extended release 10 version of *InformationElement1*, the names of the original fields/ IEs are not changed, unless there is a real need to distinguish them from other fields/ IEs. E.g. the *field1* and *InformationElement4* were defined in the original protocol version (release 8) and hence not tagged. Moreover, the *field3-r9* is introduced in release 9 and not re-tagged; although, the *InformationElement3* is also critically extended and therefore tagged *InformationElement3-r10* in the release 10 version of *InformationElement1*.

#### A.4.3.4 Typical examples of non critical extension at the end of a message

The following example illustrates the use of non-critical extensions at the end of the message or at the end of a field that is contained in a BIT or OCTET STRING i.e. when an empty sequence is used.

```

-- /example/ ASN1START
RRCMessage-r8-IEs ::=          SEQUENCE {
    field1                    InformationElement1,
    field2                    InformationElement2,
    field3                    InformationElement3                OPTIONAL,    -- Need ON
    nonCriticalExtension      RRCMessage-v860-IEs                OPTIONAL
}
RRCMessage-v860-IEs ::=       SEQUENCE {
    field4-v860               InformationElement4                OPTIONAL,    -- Need OP
    field5-v860               BOOLEAN                          OPTIONAL,    -- Cond C54
    nonCriticalExtension      RRCMessage-v940-IEs                OPTIONAL
}
RRCMessage-v940-IEs ::=       SEQUENCE {
    field6-v940               InformationElement6-r9                OPTIONAL,    -- Need OR
    nonCriticalExtensions     SEQUENCE {}                          OPTIONAL
}
-- ASN1STOP

```

Some remarks regarding the extensions shown in the above example:

- The *InformationElement4* is introduced in the original version of the protocol (release 8) and hence no suffix is used.

#### A.4.3.5 Examples of non-critical extensions not placed at the default extension location

The following example illustrates the use of non-critical extensions in case an extension is not placed at the default extension location.

## – *ParentIE-WithEM*

The IE *ParentIE-WithEM* is an example of a high level IE including the extension marker (EM). The root encoding of this IE includes two lower level IEs *ChildIE1-WithoutEM* and *ChildIE2-WithoutEM* which not include the extension marker. Consequently, non-critical extensions of the Child-IEs have to be included at the level of the Parent-IE.

The example illustrates how the two extension IEs *ChildIE1-WithoutEM-vNx0* and *ChildIE2-WithoutEM-vNx0* (both in release N) are used to connect non-critical extensions with a default extension location in the lower level IEs to the actual extension location in this IE.

### ***ParentIE-WithEM* information element**

```
-- /example/ ASN1START
ParentIE-WithEM ::=                               SEQUENCE {
  -- Root encoding, including:
  childIE1-WithoutEM                               ChildIE1-WithoutEM           OPTIONAL,      -- Need ON
  childIE2-WithoutEM                               ChildIE2-WithoutEM           OPTIONAL,      -- Need ON
  . . .
  [[ childIE1-WithoutEM-vNx0                       ChildIE1-WithoutEM-vNx0     OPTIONAL,      -- Need ON
     childIE2-WithoutEM-vNx0                       ChildIE2-WithoutEM-vNx0     OPTIONAL,      -- Need ON
  ]]
}
-- ASN1STOP
```

Some remarks regarding the extensions shown in the above example:

- The fields *childIEx-WithoutEM-vNx0* may not really need to be optional (depends on what is defined at the next lower level).
- In general, especially when there are several nesting levels, fields should be marked as optional only when there is a clear reason.

## – *ChildIE1-WithoutEM*

The IE *ChildIE1-WithoutEM* is an example of a lower level IE, used to control certain radio configurations including a configurable feature which can be setup or released using the local IE *ChIE1-ConfigurableFeature*. The example illustrates how the new field *chIE1-NewField* is added in release N to the configuration of the configurable feature. The example is based on the following assumptions:

- when initially configuring as well as when modifying the new field, the original fields of the configurable feature have to be provided also i.e. as if the extended ones were present within the setup branch of this feature.
- when the configurable feature is released, the new field should be released also.
- when omitting the original fields of the configurable feature the UE continues using the existing values (which is used to optimise the signalling for features that typically continue unchanged upon handover).
- when omitting the new field of the configurable feature the UE releases the existing values and discontinues the associated functionality (which may be used to support release of unsupported functionality upon handover to an eNB supporting an earlier protocol version).

The above assumptions, which affect the use of conditions and need codes, may not always apply. Hence, the example should not be re-used blindly.

### ***ChildIE1-WithoutEM* information elements**

```
-- /example/ ASN1START
ChildIE1-WithoutEM ::=                           SEQUENCE {
  -- Root encoding, including:
  chIE1-ConfigurableFeature                       ChIE1-ConfigurableFeature   OPTIONAL,      -- Need ON
}
-- ASN1STOP
```

```

ChildIE1-WithoutEM-vNx0 ::= SEQUENCE {
    chIE1-ConfigurableFeature-vNx0 ChIE1-ConfigurableFeature-vNx0 OPTIONAL -- Cond ConfigF
}

ChIE1-ConfigurableFeature ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        -- Root encoding
    }
}

ChIE1-ConfigurableFeature-vNx0 ::= SEQUENCE {
    chIE1-NewField-rN INTEGER (0..31)
}

-- ASN1STOP
    
```

| Conditional presence | Explanation   |
|----------------------|---|
| <i>ConfigF</i>       | The field is optional present, need OR, in case of <i>chIE1-ConfigurableFeature</i> is included and set to "setup"; otherwise the field is not present and the UE shall delete any existing value for this field. |

**ChildIE2-WithoutEM**

The IE *ChildIE2-WithoutEM* is an example of a lower level IE, typically used to control certain radio configurations. The example illustrates how the new field *chIE1-NewField* is added in release N to the configuration of the configurable feature.

**ChildIE2-WithoutEM information element**

```

-- /example/ ASN1START

ChildIE2-WithoutEM ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        -- Root encoding
    }
}

ChildIE2-WithoutEM-vNx0 ::= SEQUENCE {
    chIE2-NewField-rN INTEGER (0..31) OPTIONAL -- Cond ConfigF
}

-- ASN1STOP
    
```

| Conditional presence | Explanation   |
|----------------------|---|
| <i>ConfigF</i>       | The field is optional present, need OR, in case of <i>chIE2-ConfigurableFeature</i> is included and set to "setup"; otherwise the field is not present and the UE shall delete any existing value for this field. |

## A.5 Guidelines regarding inclusion of transaction identifiers in RRC messages

The following rules provide guidance on which messages should include a Transaction identifier

- 1: DL messages on CCCH that move UE to RRC\_IDLE should not include the RRC transaction identifier.
- 2: All network initiated DL messages by default should include the RRC transaction identifier.
- 3: All UL messages that are direct response to a DL message with an RRC Transaction identifier should include the RRC Transaction identifier.
- 4: All UL messages that require a direct DL response message should include an RRC transaction identifier.

- 5: All UL messages that are not in response to a DL message nor require a corresponding response from the network should not include the RRC Transaction identifier.

## A.6 Protection of RRC messages (informative)

The following list provides information which messages can be sent (unprotected) prior to security activation and which messages can be sent unprotected after security activation. Those messages indicated "-" in "P" column should never be sent unprotected by eNB or UE. Further requirements are defined in the procedural text.

P...Messages that can be sent (unprotected) prior to security activation

A - I...Messages that can be sent without integrity protection after security activation

A - C...Messages that can be sent unciphered after security activation

NA... Message can never be sent after security activation

| Message  | P | A-I | A-C | Comment  |
|--|---|-----|-----|--|
| CSFBParametersRequestCDMA2000                  | + | -   | -   |  |
| CSFBParametersResponseCDMA2000                 | + | -   | -   |  |
| CounterCheck                                   | - | -   | -   |  |
| CounterCheckResponse                           | - | -   | -   |  |
| DLInformationTransfer                          | + | -   | -   |  |
| FailureInformation                             | - | -   | -   |  |
| HandoverFromEUTRAPreparationRequest (CDMA2000) | - | -   | -   |  |
| InDeviceCoexIndication                         | - | -   | -   |  |
| InterFreqRSTDMeasurementIndication             | - | -   | -   |  |
| LoggedMeasurementsConfiguration                | - | -   | -   |  |
| MasterInformationBlock                         | + | +   | +   |  |
| MasterInformationBlock-MBMS                    | + | +   | +   |  |
| MBMSCountingRequest                            | + | +   | +   |  |
| MBMSCountingResponse                           | - | -   | -   |  |
| MBMSInterestIndication                         | + | -   | -   |  |
| MBSFNAreaConfiguration                         | + | +   | +   |  |
| MeasReportAppLayer                             | - | -   | -   |  |
| MeasurementReport                              | - | -   | -   | Measurement configuration may be sent prior to security activation. But: In order to protect privacy of UEs, MEASUREMENT REPORT is only sent from the UE after successful security activation.   |
| MobilityFromEUTRACommand                       | - | -   | -   |  |
| Paging   | + | +   | +   |  |
| ProximityIndication                            | - | -   | -   |  |
| RNReconfiguration                              | - | -   | -   |  |
| RNReconfigurationComplete                      | - | -   | -   |  |
| RRCCConnectionReconfiguration                  | + | -   | -   | The message shall not be sent unprotected before security activation if it is used to perform handover or to establish SRB2, SRB4 and DRBs   |
| RRCCConnectionReconfigurationComplete          | + | -   | -   | Unprotected, if sent as response to RRCCConnectionReconfiguration which was sent before security activation  |
| RRCCConnectionReestablishment                  | - | +   | +   | This message is not protected by PDCP operation.   |
| RRCCConnectionReestablishmentComplete          | - | -   | -   |  |
| RRCCConnectionReestablishmentReject            | - | +   | +   | One reason to send this may be that the security context has been lost, therefore sent as unprotected.   |
| RRCCConnectionReestablishmentRequest           | - | -   | +   | This message is not protected by PDCP operation. However, a short MAC-I is included.   |
| RRCCConnectionReject                           | + | +   | +   | Except for UP-EDT, A-I and A-C are NA.   |
| RRCCConnectionRelease                          | + | -   | -   | Justification for P: If the RRC connection only for signalling not requiring DRBs or ciphered messages, or the signalling connection has to be released prematurely, this message is sent as unprotected. For UP-EDT, the message is only sent after successful security activation. <i>RRCCConnectionRelease</i> message sent before security activation cannot include <i>rrc-InactiveConfig</i> , <i>redirectedCarrierInfo</i> , <i>idleModeMobilityControlInfo</i> information fields when UE is connected to 5GC. |
| RRCCConnectionRequest                          | + | NA  | NA  |  |



| Message                                  | P | A-I | A-C | Comment   |
|--|---|-----|-----|---|
| RRConnectionResume                       | - | -   | +   | When this message is transmitted, security is activated but suspended. Integrity verification is done after the message received by RRC.<br>For UP-EDT, the message is only sent after successful security activation.<br>For RRC_INACTIVE state, the message is protected with both integrity and ciphering. |
| RRConnectionResumeRequest                | - | -   | +   | This message is not protected by PDCP operation. However, a short MAC-I is included.  |
| RRConnectionResumeComplete               | - | -   | -   |   |
| RRConnectionSetup                        | + | NA  | NA  |   |
| RRConnectionSetupComplete                | + | NA  | NA  |   |
| RRCEarlyDataRequest                      | + | NA  | NA  |   |
| RRCEarlyDataComplete                     | + | NA  | NA  |   |
| SCGFailureInformation                    | - | -   | -   |   |
| SCGFailureInformationNR                  | - | -   | -   |   |
| SCPTMConfiguration                       | + | +   | +   |   |
| SecurityModeCommand                      | + | NA  | NA  | Integrity protection applied, but no ciphering (integrity verification done after the message received by RRC)  |
| SecurityModeComplete                     | - | NA  | NA  | Integrity protection applied, but no ciphering. Ciphering is applied after completing the procedure.  |
| SecurityModeFailure                      | + | NA  | NA  | Neither integrity protection nor ciphering applied.   |
| SidelinkUEInformation                    | + | -   | -   |   |
| SystemInformation                        | + | +   | +   |   |
| SystemInformationBlockType1              | + | +   | +   |   |
| SystemInformationBlockType1-MBMS         | + | +   | +   |   |
| UEAssistanceInformation                  | - | -   | -   |   |
| UECapabilityEnquiry                      | + | -   | -   | Except if the UE is using Control plane CloT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS security activation.   |
| UECapabilityInformation                  | + | -   | -   |   |
| UEInformationRequest                     | - | -   | -   |   |
| UEInformationResponse                    | - | -   | -   | In order to protect privacy of UEs, UEInformationResponse is only sent from the UE after successful security activation   |
| ULHandoverPreparationTransfer (CDMA2000) | - | -   | -   | This message should follow HandoverFromEUTRAPreparationRequest  |
| ULInformationTransfer                    | + | -   | -   |   |
| ULInformationTransferMRDC                | - | -   | -   |   |
| WLANConnectionStatusReport               | - | -   | -   |   |

## A.7 Miscellaneous

The following miscellaneous conventions should be used:

- References: Whenever another specification is referenced, the specification number and optionally the relevant clause, table or figure, should be indicated in addition to the pointer to the References clause e.g. as follows: 'see TS 36.212 [22, 5.3.3.1.6]'.
- UE capabilities: TS 36.306 [5] specifies that E-UTRAN should in general respect the UE's capabilities. Hence there is no need to include statement clarifying that E-UTRAN, when setting the value of a certain configuration field, shall respect the related UE capabilities unless there is a particular need e.g. particularly complicated cases.

## Annex B (normative): Release 8 and 9 AS feature handling

### B.1 Feature group indicators

This annex contains the definitions of the bits in fields *featureGroupIndicators* (in Table B.1-1) and *featureGroupIndRel9Add* (in Table B.1-1a).

In this release of the protocol, the UE shall include the fields *featureGroupIndicators* in the IE *UE-EUTRA-Capability* and *featureGroupIndRel9Add* in the IE *UE-EUTRA-Capability-v9a0*. All the functionalities defined within the field *featureGroupIndicators* defined in Table B.1-1 or Table B.1-1a are mandatory for the UE (with exceptions for category M1 and M2 UEs), if the related capability (frequency band, RAT, SR-VCC or Inter-RAT ANR) is also supported. For a specific indicator, if all functionalities for a feature group listed in Table B.1-1 have been implemented and tested, the UE shall set the indicator as one (1), else (i.e. if any one of the functionalities in a feature group listed in Table B.1-1 or Table B.1-1a, which have not been implemented or tested), the UE shall set the indicator as zero (0).

The UE shall set all indicators that correspond to RATs not supported by the UE as zero (0).

The UE shall set all indicators, which do not have a definition in Table B.1-1 or Table B.1-1a, as zero (0).

If the optional fields *featureGroupIndicators* or *featureGroupIndRel9Add* are not included by a UE of a future release, the network may assume that all features pertaining to the RATs supported by the UE, respectively listed in Table B.1-1 or Table B.1-1a and deployed in the network, have been implemented and tested by the UE.

In Table B.1-1, a 'VoLTE capable UE' corresponds to a UE which is IMS voice capable and a 'MCPTT capable UE' corresponds to a UE which supports MCPTT voice application as defined in TS 23.179 [73].

The indexing in Table B.1-1a starts from index 33, which is the leftmost bit in the field *featureGroupIndRel9Add*.

**Table B.1-1: Definitions of feature group indicators**

| Index of indicator (bit number) | Definition (description of the supported functionality, if indicator set to one)   | Notes  | If indicated "Yes" the feature shall be implemented and successfully tested for this version of the specification | FDD/TDD diff |
|---------------------------------|--|--|---|--------------|
| 1 (leftmost bit)                | <ul style="list-style-type: none"> <li>- Intra-subframe frequency hopping for PUSCH scheduled by UL grant</li> <li>- DCI format 3a (TPC commands for PUCCH and PUSCH with single bit power adjustments)</li> <li>- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-0 – UE selected subband CQI without PMI</li> <li>- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI</li> </ul> | <ul style="list-style-type: none"> <li>- set to 1 by category M1 and M2 UEs that have implemented and successfully tested "Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-0 – UE selected subband CQI without PMI"</li> </ul> |   | Yes          |
| 2                               | <ul style="list-style-type: none"> <li>- Simultaneous CQI and ACK/NACK on PUCCH, i.e. PUCCH format 2a and 2b</li> <li>- Absolute TPC command for PUSCH</li> <li>- Resource allocation type 1 for PDSCH</li> <li>- Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-0 – UE selected subband CQI without PMI</li> <li>- Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI</li> </ul>      | <ul style="list-style-type: none"> <li>- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.</li> </ul>   |   | Yes          |
| 3                               | <ul style="list-style-type: none"> <li>- 5bit RLC UM SN</li> <li>- 7bit PDCP SN</li> </ul>   | <ul style="list-style-type: none"> <li>- can only be set to 1 if the UE has set bit number 7 to 1.</li> </ul>  | Yes, if UE supports VoLTE, MCPTT, or both.  | No           |

|  |  |  |   |  |
|--|--|--|---|--|
|  |  |  | Yes, if UE supports SRVCC to EUTRAN from GERAN. |  |
|--|--|--|---|--|

|    |   |  |   |     |
|----|---|--|---|-----|
| 4  | - Short DRX cycle   | - can only be set to 1 if the UE has set bit number 5 to 1.<br>- not supported by category M1 or M2 UE   |   | Yes |
| 5  | - Long DRX cycle<br>- DRX command MAC control element   |  | Yes   | No  |
| 6  | - Prioritised bit rate  |  | Yes   | No  |
| 7  | - RLC UM  | - can only be set to 0 if the UE does neither support VoLTE nor MCPTT  | Yes, if UE supports VoLTE, MCPTT, or both.<br>Yes, if UE supports SRVCC to EUTRAN from GERAN.       | No  |
| 8  | - EUTRA RRC_CONNECTED to UTRA FDD or UTRA TDD CELL_DCH PS handover, if the UE supports either only UTRAN FDD or only UTRAN TDD<br><br>- EUTRA RRC_CONNECTED to UTRA FDD CELL_DCH PS handover, if the UE supports both UTRAN FDD and UTRAN TDD   | - can only be set to 1 if the UE has set bit number 22 to 1  | Yes (except for category M1 and M2 UEs) for FDD, if UE supports UTRA FDD.                           | Yes |
| 9  | - EUTRA RRC_CONNECTED to GERAN GSM_Dedicated handover   | - related to SR-VCC<br>- can only be set to 1 if the UE has set bit number 23 to 1   | Yes (except for category M1 and M2 UEs), if UE supports SRVCC to EUTRAN from GERAN.                 | Yes |
| 10 | - EUTRA RRC_CONNECTED to GERAN (Packet_) Idle by Cell Change Order<br>- EUTRA RRC_CONNECTED to GERAN (Packet_) Idle by Cell Change Order with NACC (Network Assisted Cell Change)   |  |   | Yes |
| 11 | - EUTRA RRC_CONNECTED to CDMA2000 1xRTT CS Active handover  | - related to SR-VCC<br>- can only be set to 1 if the UE has sets bit number 24 to 1  |   | Yes |
| 12 | - EUTRA RRC_CONNECTED to CDMA2000 HRPD Active handover  | - can only be set to 1 if the UE has set bit number 26 to 1  |   | Yes |
| 13 | - Inter-frequency handover (within FDD or TDD)  | - can only be set to 1 if the UE has set bit number 25 to 1  | Yes (except for category M1 and M2 UEs), unless UE only supports band 13                            | No  |
| 14 | - Measurement reporting event: Event A4 – Neighbour > threshold<br>- Measurement reporting event: Event A5 – Serving < threshold1 & Neighbour > threshold2  |  | Yes (except for category M1 and M2 UEs)   | No  |
| 15 | - Measurement reporting event: Event B1 – Neighbour > threshold for UTRAN FDD or UTRAN TDD, if the UE supports either only UTRAN FDD or only UTRAN TDD and has set bit number 22 to 1<br><br>- Measurement reporting event: Event B1 – Neighbour > threshold for UTRAN FDD or UTRAN TDD, if the UE supports both UTRAN FDD and UTRAN TDD and has set bit number 22 or 39 to 1, respectively<br><br>- Measurement reporting event: Event B1 – Neighbour > threshold for GERAN, 1xRTT or HRPD, if the UE has set bit number 23, 24 or 26 to 1, respectively | - can only be set to 1 if the UE has set at least one of the bit number 22, 23, 24, 26 or 39 to 1.<br>- even if the UE sets bits 41, it shall still set bit 15 to 1 if measurement reporting event B1 is tested for all RATs supported by UE<br>- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0. | Yes for FDD, if UE supports only UTRAN FDD and does not support UTRAN TDD or GERAN or 1xRTT or HRPD | Yes |

|    |   |  |                                      |    |
|----|---|--|--------------------------------------|----|
| 16 | <p>- Intra-frequency periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i></p> <p>- Inter-frequency periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i>, if the UE has set bit number 25 to 1</p> <p>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i> for UTRAN FDD or UTRAN TDD, if the UE supports either only UTRAN FDD or only UTRAN TDD and has set bit number 22 to 1</p> <p>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i> for UTRAN FDD or UTRAN TDD, if the UE supports both UTRAN FDD and UTRAN TDD and has set bit number 22 or 39 to 1, respectively</p> <p>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i> for GERAN, 1xRTT or HRPD, if the UE has set bit number 23, 24 or 26 to 1, respectively.</p> <p>NOTE: Event triggered periodical reporting (i.e., with <i>triggerType</i> set to <i>event</i> and with <i>reportAmount</i> &gt; 1) is a mandatory functionality of event triggered reporting and therefore not the subject of this bit.</p> | - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.   | Yes                                  | No |
| 17 | <p>Intra-frequency ANR features (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including:</p> <p>- Intra-frequency periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i></p> <p>- Intra-frequency periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i>.</p>  | <p>- can only be set to 1 if the UE has set bit number 5 to 1.</p> <p>- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.</p>                   | Yes                                  | No |
| 18 | <p>Inter-frequency ANR features (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including:</p> <p>- Inter-frequency periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i></p> <p>- Inter-frequency periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i></p>   | <p>- can only be set to 1 if the UE has set bit number 5 and bit number 25 to 1.</p> <p>- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.</p> | Yes, unless UE only supports band 13 | No |

|           |   |   |  |            |
|-----------|---|---|--|------------|
| <p>19</p> | <p>Inter-RAT ANR features (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including:</p> <ul style="list-style-type: none"> <li>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i> for GERAN, if the UE has set bit number 23 to 1</li> <li>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i> for UTRAN FDD or UTRAN TDD, if the UE supports either only UTRAN FDD or only UTRAN TDD and has set bit number 22 to 1</li> <li>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i> for UTRAN FDD or UTRAN TDD, if the UE supports both UTRAN FDD and UTRAN TDD and has set bit number 22 or 39 to 1, respectively</li> <li>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i> for 1xRTT or HRPD, if the UE has set bit number 24 or 26 to 1, respectively</li> <li>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i> for UTRAN FDD or UTRAN TDD, if the UE supports either only UTRAN FDD or only UTRAN TDD and has set bit number 22 to 1</li> <li>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i> for UTRAN FDD or UTRAN TDD, if the UE supports both UTRAN FDD and UTRAN TDD and has set bit number 22 or 39 to 1, respectively</li> <li>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i> for GERAN, 1xRTT or HRPD, if the UE has set bit number 23, 24 or 26 to 1, respectively</li> </ul> | <ul style="list-style-type: none"> <li>- can only be set to 1 if the UE has set bit number 5 to 1 and the UE has set at least one of the bit number 22, 23, 24 or 26 to 1.</li> <li>- even if the UE sets bits 33 to 37, it shall still set bit 19 to 1 if inter-RAT ANR features are tested for all RATs for which inter-RAT measurement reporting is indicated as tested</li> </ul> |  | <p>Yes</p> |
|-----------|---|---|--|------------|

|    |  |   |  |     |
|----|--|---|--|-----|
| 20 | <p>If bit number 7 is set to 0:<br/>- SRB1 and SRB2 for DCCH + 8x AM DRB</p> <p>If bit number 7 is set to 1:<br/>- SRB1 and SRB2 for DCCH + 8x AM DRB<br/>- SRB1 and SRB2 for DCCH + 5x AM DRB + 3x UM DRB</p> <p>NOTE: UE which indicate support for a DRB combination also support all subsets of the DRB combination. Therefore, release of DRB(s) never results in an unsupported DRB combination.</p> | <p>- Regardless of what bit number 7 and bit number 20 is set to, UE shall support at least SRB1 and SRB2 for DCCH + 4x AM DRB</p> <p>- Regardless of what bit number 20 is set to, if bit number 7 is set to 1, UE shall support at least SRB1 and SRB2 for DCCH + 4x AM DRB + 1x UM DRB</p> <p>- If <i>flexibleUM-AM-Combinations</i> is included the UE shall support any combination of RLC UM and RLC AM bearers as long as the total number of bearers is at most 8, regardless of what FGI20 indicates</p> | Yes  | No  |
| 21 | <p>- Predefined intra- and inter-subframe frequency hopping for PUSCH with <math>N_{sb} &gt; 1</math></p> <p>- Predefined inter-subframe frequency hopping for PUSCH with <math>N_{sb} &gt; 1</math></p>   | - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.  |  | No  |
| 22 | <p>- UTRAN FDD or UTRAN TDD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode, if the UE supports either only UTRAN FDD or only UTRAN TDD</p> <p>- UTRAN FDD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode, if the UE supports both UTRAN FDD and UTRAN TDD</p>  | - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.  | Yes for FDD, if UE supports UTRA FDD   | Yes |
| 23 | - GERAN measurements, reporting and measurement reporting event B2 in E-UTRA connected mode  | - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.  |  | Yes |
| 24 | - 1xRTT measurements, reporting and measurement reporting event B2 in E-UTRA connected mode  | - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.  | Yes for FDD, if UE supports enhanced 1xRTT CSFB for FDD<br>Yes for TDD, if UE supports enhanced 1xRTT CSFB for TDD | Yes |
| 25 | <p>- Inter-frequency measurements and reporting in E-UTRA connected mode</p> <p>NOTE: The UE setting this bit to 1 and indicating support for FDD and TDD frequency bands in the UE capability signalling implements and is tested for FDD measurements while the UE is in TDD, and for TDD measurements while the UE is in FDD.</p>   | - A category M1 or M2 UE shall set this bit to 1 only if <i>ceMeasurements-r14</i> is supported.  | Yes, unless UE only supports band 13   | No  |

|    |   |  |  |     |
|----|---|--|--|-----|
| 26 | - HRPD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode  | - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.   | Yes for FDD, if UE supports HRPD               | Yes |
| 27 | - EUTRA RRC_CONNECTED to UTRA FDD or UTRA TDD CELL_DCH CS handover, if the UE supports either only UTRAN FDD or only UTRAN TDD<br><br>- EUTRA RRC_CONNECTED to UTRA FDD CELL_DCH CS handover, if the UE supports both UTRAN FDD and UTRAN TDD   | - related to SR-VCC<br>- can only be set to 1 if the UE has set bit number 8 to 1 and supports SR-VCC from EUTRA defined in TS 24.008 [49]<br>- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0. | Yes for FDD, if UE supports VoLTE and UTRA FDD | Yes |
| 28 | - TTI bundling  | - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.   | Yes for FDD                                    | Yes |
| 29 | - Semi-Persistent Scheduling  | - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.   |  | Yes |
| 30 | - Handover between FDD and TDD  | - can only be set to 1 if the UE has set bit number 13 to 1  |  | No  |
| 31 | - Indicates whether the UE supports the mechanisms defined for cells broadcasting multi band information i.e. comprehending <i>multiBandInfoList</i> , disregarding in RRC_CONNECTED the related system information fields and understanding the EARFCN signalling for all bands, that overlap with the bands supported by the UE, and that are defined in the earliest version of TS 36.101 [42] that includes all UE supported bands. |  | Yes  | No  |
| 32 | Undefined   |  |  |     |

NOTE: The column FDD/ TDD diff indicates if the UE is allowed to signal different values for FDD and TDD.



**Table B.1-1a: Definitions of feature group indicators**

| Index of indicator<br>(bit number) | Definition<br>(description of the supported functionality, if indicator set to one)  | Notes   | If indicated "Yes" the feature shall be implemented and successfully tested for this version of the specification | FDD/<br>TDD diff |
|------------------------------------|--|---|---|------------------|
| 33<br>(leftmost bit)               | Inter-RAT ANR features for UTRAN FDD (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including:<br>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i><br>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i> | - can only be set to 1 if the UE has set bit number 5 and bit number 22 to 1. |   | Yes              |
| 34                                 | Inter-RAT ANR features for GERAN (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including:<br>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i><br>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i>           | - can only be set to 1 if the UE has set bit number 5 and bit number 23 to 1. |   | Yes              |
| 35                                 | Inter-RAT ANR features for 1xRTT (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including:<br>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i><br>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i>     | - can only be set to 1 if the UE has set bit number 5 and bit number 24 to 1. |   | Yes              |
| 36                                 | Inter-RAT ANR features for HRPD (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including:<br>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i><br>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i>      | - can only be set to 1 if the UE has set bit number 5 and bit number 26 to 1. |   | Yes              |

|    |  |   |   |     |
|----|--|---|---|-----|
| 37 | Inter-RAT ANR features for UTRAN TDD (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including:<br>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i><br>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i> | - can only be set to 1 if the UE has set bit number 5 and at least one of the bit number 22 (for UEs supporting only UTRA TDD) or the bit number 39 to 1. |   | Yes |
| 38 | - EUTRA RRC_CONNECTED to UTRA TDD CELL_DCH PS handover, if the UE supports both UTRAN FDD and UTRAN TDD  | - can only be set to 1 if the UE has set bit number 39 to 1   |   | Yes |
| 39 | - UTRAN TDD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode, if the UE supports both UTRAN FDD and UTRAN TDD   | - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.  |   | Yes |
| 40 | - EUTRA RRC_CONNECTED to UTRA TDD CELL_DCH CS handover, if the UE supports both UTRAN FDD and UTRAN TDD  | - related to SR-VCC<br>- can only be set to 1 if the UE has set bit number 38 to 1  |   | Yes |
| 41 | Measurement reporting event: Event B1 – Neighbour > threshold for UTRAN FDD, if the UE supports UTRAN FDD and has set bit number 22 to 1   | - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.  | Yes for FDD, unless UE has set bit number 15 to 1 | Yes |
| 42 | - DCI format 3a (TPC commands for PUCCH and PUSCH with single bit power adjustments)   | - If a category M1 or M2UE supports this feature group, this bit shall be set to 1. For a UE of all other categories, this bit shall be set to 0.         |   | Yes |
| 43 | Undefined  |   |   |     |
| 44 | Undefined  |   |   |     |
| 45 | Undefined  |   |   |     |
| 46 | Undefined  |   |   |     |
| 47 | Undefined  |   |   |     |
| 48 | Undefined  |   |   |     |
| 49 | Undefined  |   |   |     |
| 50 | Undefined  |   |   |     |
| 51 | Undefined  |   |   |     |
| 52 | Undefined  |   |   |     |
| 53 | Undefined  |   |   |     |
| 54 | Undefined  |   |   |     |
| 55 | Undefined  |   |   |     |
| 56 | Undefined  |   |   |     |
| 57 | Undefined  |   |   |     |
| 58 | Undefined  |   |   |     |
| 59 | Undefined  |   |   |     |
| 60 | Undefined  |   |   |     |
| 61 | Undefined  |   |   |     |
| 62 | Undefined  |   |   |     |
| 63 | Undefined  |   |   |     |
| 64 | Undefined  |   |   |     |

NOTE: The column FDD/ TDD diff indicates if the UE is allowed to signal different values for FDD and TDD. Annex E specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a feature for which it indicates support within the FGI signalling.

### Clarification for mobility from EUTRAN and inter-frequency handover within EUTRAN

There are several feature groups related to mobility from E-UTRAN and inter-frequency handover within EUTRAN. The description of these features is based on the assumption that we have 5 main "functions" related to mobility from E-UTRAN:

- A. Support of measurements and cell reselection procedure in idle mode
- B. Support of RRC release with redirection procedure in connected mode
- C. Support of Network Assisted Cell Change in connected mode
- D. Support of measurements and reporting in connected mode
- E. Support of handover procedure in connected mode

All functions can be applied for mobility to Inter-frequency to EUTRAN, GERAN, UTRAN, CDMA2000 HRPD and CDMA2000 1xRTT except for function C) which is only applicable for mobility to GERAN. Table B.1-2 below summarises the mobility functions that are supported based on the UE capability signalling (band support) and the setting of the feature group support indicators.

**Table B.1-2: Mobility from E-UTRAN**

| Feature  | GERAN   | UTRAN  | HRPD   | 1xRTT   | EUTRAN  |
|--|---|--|--|---|---|
| A. Measurements and cell reselection procedure in E-UTRA idle mode   | Supported if GERAN band support is indicated  | Supported if UTRAN band support is indicated             | Supported if CDMA2000 HRPD band support is indicated | Supported if CDMA2000 1xRTT band support is indicated | Supported for supported bands                               |
| B. RRC release with blind redirection procedure in E-UTRA connected mode   | Supported if GERAN band support is indicated  | Supported if UTRAN band support is indicated             | Supported if CDMA2000 HRPD band support is indicated | Supported if CDMA2000 1xRTT band support is indicated | Supported for supported bands                               |
| C. Cell Change Order (with or without) Network Assisted Cell Change) in E-UTRA connected mode                              | Group 10  | N.A.   | N.A.   | N.A.  | N.A.  |
| D. Inter-frequency/RAT measurements, reporting and measurement reporting event B2 (for inter-RAT) in E-UTRA connected mode | Group 23  | Group 22/39  | Group 26   | Group 24  | Group 25  |
| E. Inter-frequency/RAT handover procedure in E-UTRA connected mode   | Group 9 (GSM_connected handover)<br>Separate UE capability bit defined in TS 36.306 [5] for PS handover | Group 8/38 (PS handover) or Group 27/40 (SRVCC handover) | Group 12   | Group 11  | Group 13 (within FDD TDD)<br>Group 30 (between FDD and TDD) |

In case measurements and reporting function is not supported by UE, the network may still issue the mobility procedures redirection (B) and CCO (C) in a blind fashion.

## B.2 CSG support

In this release of the protocol, it is mandatory for the UE to support a minimum set of CSG functionality consisting of:

- Identifying whether a cell is CSG or not;
- Ignoring CSG cells in cell selection/reselection.

Additional CSG functionality in AS, i.e. the requirement to detect and camp on CSG cells when the "CSG whitelist" is available or when manual CSG selection is triggered by the user, are related to the corresponding NAS features. This additional AS functionality consists of:

- Manual CSG selection;
- Autonomous CSG search;
- Implicit priority handling for cell reselection with CSG cells.

It is possible that this additional CSG functionality in AS is not supported or tested in early UE implementations.

Note that since the above AS features relate to idle mode operations, the capability support is not signalled to the network. For these reasons, no "feature group indicator" is assigned to this feature to indicate early support in Rel-8.

# Annex C (normative):Release 10 AS feature handling

## C.1 Feature group indicators

This annex contains the definitions of the bits in field *featureGroupIndRel10*.

In this release of the protocol, the UE shall include the field *featureGroupIndRel10* in the IE *UE-EUTRA-Capability-v1020-IEs*. All the functionalities defined within the field *featureGroupIndRel10* defined in Table C.1-1 are mandatory for the UE, if the related capability (spatial multiplexing in UL, PDSCH transmission mode 9, carrier aggregation, handover to EUTRA, or RAT) is also supported. For a specific indicator, if all functionalities for a feature group listed in Table C.1-1 have been implemented and tested, the UE shall set the indicator as one (1), else (i.e. if any one of the functionalities in a feature group listed in Table C.1-1 have not been implemented or tested), the UE shall set the indicator as zero (0).

The UE shall set all indicators that correspond to RATs not supported by the UE as zero (0).

The UE shall set all indicators, which do not have a definition in Table C.1-1, as zero (0).

If the optional field *featureGroupIndRel10* is not included by a UE of a future release, the network may assume that all features, listed in Table C.1-1 and deployed in the network, have been implemented and tested by the UE.

The indexing in Table C.1-1 starts from index 101, which is the leftmost bit in the field *featureGroupIndRel10*.

**Table C.1-1: Definitions of feature group indicators**

| Index of indicator    | Definition<br>(description of the supported functionality, if indicator set to one)   | Notes  | If indicated "Yes" the feature shall be implemented and successfully tested for this version of the specification | FDD/TDD diff |
|-----------------------|---|--|---|--------------|
| 101<br>(leftmost bit) | - DMRS with OCC (orthogonal cover code) and SGH (sequence group hopping) disabling  | - if the UE supports two or more layers for spatial multiplexing in UL, this bit shall be set to 1.<br>- If a category 0 or 1bis UE does not support this feature, this bit shall be set to 0.                     |   | No           |
| 102                   | - Trigger type 1 SRS (aperiodic SRS) transmission (Up to X ports)<br><br>NOTE: X = number of supported layers on given band |  |   | Yes          |
| 103                   | - PDSCH transmission mode 9 when up to 4 CSI reference signal ports are configured and when not operating in CE mode        | - for Category 8 UEs, this bit shall be set to 1.<br>- for Category 11 and higher UEs, this bit shall be set to 1.<br>- for DL Category 11 and higher UEs (except for DL Category 13), this bit shall be set to 1. | Yes for the UE categories listed in the column "Notes"  | Yes          |

|     |  |  |   |     |
|-----|--|--|---|-----|
| 104 | <ul style="list-style-type: none"> <li>- PDSCH transmission mode 9 for TDD when 8 CSI reference signal ports are configured and when not operating in CE mode</li> </ul>   | <ul style="list-style-type: none"> <li>- if the UE does not support TDD, this bit is irrelevant, and shall be set to 0.</li> <li>- this bit is not applicable to FDD (capability signalling exists for FDD for this feature).</li> <li>- for Category 8 UEs, this bit shall be set to 1.</li> <li>- for Category 11 and higher UEs, this bit shall be set to 1.</li> <li>- for DL Category 11 and higher UEs (except for DL Category 13), this bit shall be set to 1.</li> </ul> | Yes for TDD, for the UE categories listed in the column "Notes" | No  |
| 105 | <ul style="list-style-type: none"> <li>- Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-0 – UE selected subband CQI without PMI, when PDSCH transmission mode 9 is configured</li> <li>- Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI, when PDSCH transmission mode 9 and up to 4 CSI reference signal ports are configured</li> </ul> | <ul style="list-style-type: none"> <li>- this bit can be set to 1 only if indices 2 (Table B.1-1) and 103 are set to 1.</li> <li>- For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if index 2 is set to 1 for both FDD and TDD, and index 103 is set to 1 for at least one of FDD and TDD duplex modes.</li> </ul>  |   | Yes |

|     |  |  |  |     |
|-----|--|--|--|-----|
| 106 | - Periodic CQI/PMI/RI/PTI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI, when PDSCH transmission mode 9 and 8 CSI reference signal ports are configured   | - this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if <i>tm9-With-8Tx-FDD-r10</i> is set to 'supported') and if index 2 (Table B.1-1) is set to 1.<br>- For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if at least one of index 104 and <i>tm9-With-8Tx-FDD-r10</i> is set to 1/'supported', and if index 2 is set to 1 for both FDD and TDD. |  | Yes |
| 107 | - Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-0 – UE selected subband CQI without PMI, when PDSCH transmission mode 9 is configured<br>- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI, when PDSCH transmission mode 9 and up to 4 CSI reference signal ports are configured | - this bit can be set to 1 only if indices 1 (Table B.1-1) and 103 are set to 1.<br>- For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if index 1 is set to 1 for both FDD and TDD, and index 103 is set to 1 for at least one of FDD and TDD duplex modes.  |  | Yes |
| 108 | - Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI, when PDSCH transmission mode 9 and 8 CSI reference signal ports are configured  | - this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if <i>tm9-With-8Tx-FDD-r10</i> is set to 'supported') and if index 1 (Table B.1-1) is set to 1.<br>- For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if at least one of index 104 and <i>tm9-With-8Tx-FDD-r10</i> is set to 1/'supported', and if index 1 is set to 1 for both FDD and TDD. |  | Yes |
| 109 | - Periodic CQI/PMI/RI reporting on PUCCH Mode 1-1, submode 1   | - this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if <i>tm9-With-8Tx-FDD-r10</i> is set to 'supported').<br>- For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if at least one of index 104 and <i>tm9-With-8Tx-FDD-r10</i> is set to 1/'supported'.   |  | Yes |



|     |  |  |  |     |
|-----|--|--|--|-----|
| 110 | - Periodic CQI/PMI/RI reporting on PUCCH Mode 1-1, submode 2   | - this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if <i>tm9-With-8Tx-FDD-r10</i> is set to 'supported').<br>- For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if at least one of index 104 and <i>tm9-With-8Tx-FDD-r10</i> is set to 1/'supported'. |  | Yes |
| 111 | - Measurement reporting trigger Event A6   | - this bit can be set to 1 only if the UE supports carrier aggregation.  |  | Yes |
| 112 | - SCell addition within the handover to EUTRA procedure  | - this bit can be set to 1 only if the UE supports carrier aggregation and the handover to EUTRA procedure.  |  | Yes |
| 113 | - Trigger type 0 SRS (periodic SRS) transmission on X Serving Cells<br><br>NOTE: X = number of supported component carriers in a given band combination  | - this bit can be set to 1 only if the UE supports carrier aggregation in UL.  |  | Yes |
| 114 | - Reporting of both UTRA CPICH RSCP and Ec/N0 in a Measurement Report  | - this bit can be set to 1 only if index 22 (Table B.1-1) is set to 1.   |  | No  |
| 115 | - time domain ICIC RLM/RRM measurement subframe restriction for the serving cell<br>- time domain ICIC RRM measurement subframe restriction for neighbour cells<br>- time domain ICIC CSI measurement subframe restriction | - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.   |  | Yes |
| 116 | - Relative transmit phase continuity for spatial multiplexing in UL  | - this bit can be set to 1 only if the UE supports two or more layers for spatial multiplexing in UL.  |  | Yes |
| 117 | Undefined  |  |  |     |
| 118 | Undefined  |  |  |     |
| 119 | Undefined  |  |  |     |
| 120 | Undefined  |  |  |     |
| 121 | Undefined  |  |  |     |
| 122 | Undefined  |  |  |     |
| 123 | Undefined  |  |  |     |
| 124 | Undefined  |  |  |     |
| 125 | Undefined  |  |  |     |
| 126 | Undefined  |  |  |     |
| 127 | Undefined  |  |  |     |
| 128 | Undefined  |  |  |     |
| 129 | Undefined  |  |  |     |
| 130 | Undefined  |  |  |     |
| 131 | Undefined  |  |  |     |
| 132 | Undefined  |  |  |     |

NOTE: The column FDD/ TDD diff indicates if the UE is allowed to signal different values for FDD and TDD. Annex E specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a feature for which it indicates support within the FGI signalling.

## Annex D (informative): Descriptive background information

### D.1 Signalling of Multiple Frequency Band Indicators (Multiple FBI)

#### D.1.1 Mapping between frequency band indicator and multiple frequency band indicator

This clause describes the use of the Multiple Frequency Band Indicator (MFBI) lists and the E-UTRA frequency bands in *SystemInformationBlockType1* by means of an example as shown in Figure D.1.1-1. In this example:

- E-UTRAN cell belongs to band B90 and also bands B6, B7, B91, and B92.
- The *freqBandIndicatorPriority* field is not present in *SystemInformationBlockType1*.
- E-UTRAN uses B64 to indicate the presence of B90 in *freqBandIndicator-v9e0*.
- For the MFBI list of this cell, E-UTRAN uses B64 in *MultiBandInfoList* to indicate the position and priority of the bands in *MultiBandInfoList-v9e0*.
- The UE, after reading *SystemInformationBlockType1*, generates an MFBI list with priority of B91, B6, B92, and B7. If the UE supports the frequency band in the *freqBandIndicator-v9e0* IE it applies that frequency band. Otherwise, the UE applies the first listed band in the MFBI list which it supports.

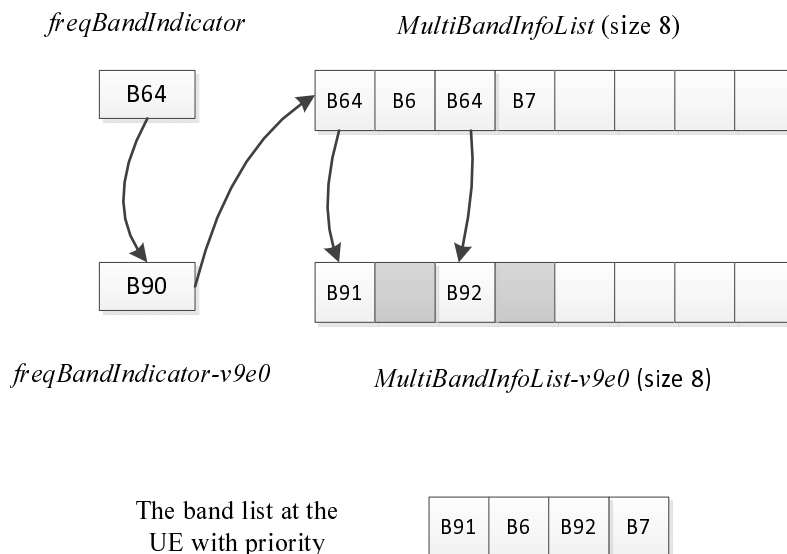


Figure D.1.1-1: Mapping of frequency bands to *MultiBandInfoList*/*MultiBandInfoList-v9e0*

#### D.1.2 Mapping between inter-frequency neighbour list and multiple frequency band indicator

This clause describes the use of the Multiple Frequency Band Indicator (MFBI) lists and the E-UTRA frequencies signalled in *SystemInformationBlockType5* by means of an example as shown in Figure D.1.2-1. In this example:

- E-UTRAN includes 4 frequencies (EARFCNs): the bands associated with f1 and f4 belong to bands lower than 64; the bands associated with f2 and f3 belong to bands larger than 64. The reserved EARFCN value of 65535 is used to indicate the presence of *ARFCN-ValueEUTRA-v9e0*.

- The band associated with f1 has two overlapping bands, B1 and B2 (lower than 64); the band associated with f2 has one overlapping band, B91; the band associated with f3 has four overlapping bands B3, B4, B92, and B93; the band associated with f4 does not have overlapping bands.
- E-UTRAN includes 4 lists in both *interFreqCarrierFreqList-v8h0* and *interFreqCarrierFreqList-v9e0* and ensure the order of the lists is matching. Each list corresponds to one EARFCN and contains up to 8 bands. The first list corresponds to f1, the second list corresponds to f2, and so on. The grey lists mean not including *MultiBandInfoList* or *MultiBandInfoList-v9e0*, i.e. the corresponding EARFCN does not have any overlapping frequency bands in *MultiBandInfoList* or *MultiBandInfoList-v9e0*.

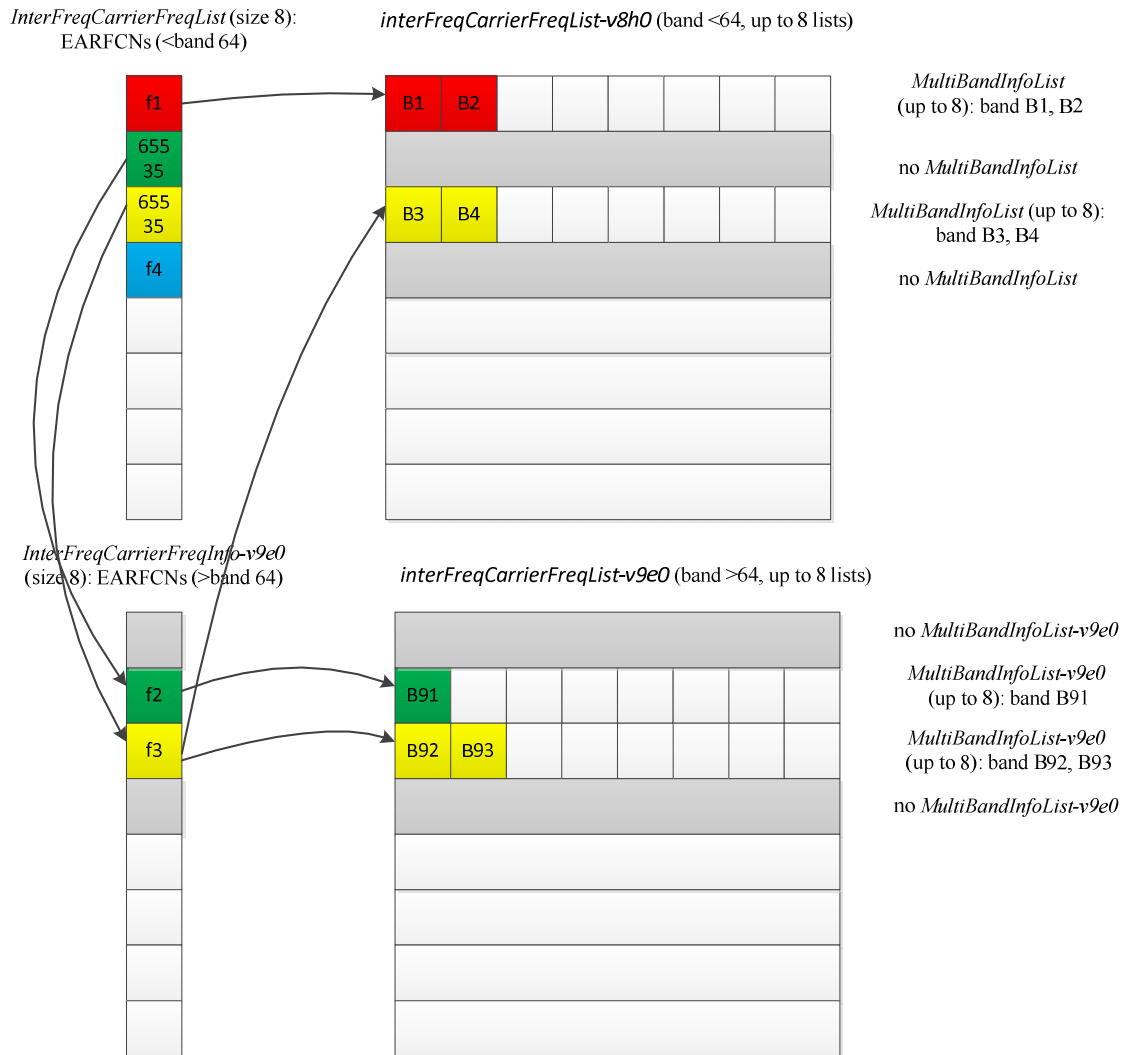


Figure D.1.2-1: Mapping of EARFCNs to MultiBandInfoList/MultiBandInfoList-v9e0

### D.1.3 Mapping between UTRA FDD frequency list and multiple frequency band indicator

This clause describes the use of the Multiple Frequency Band Indicator (MFBI) lists and the UTRA FDD frequencies signalled in *SystemInformationBlockType6* by means of an example as shown in Figure D.1.3-1. In this example:

- E-UTRAN includes 4 UTRA FDD frequencies (UARFCNs).
- The bands associated with f1 and f4 have no overlapping bands. The band associated with f2 has two overlapping bands, B1 and B2. The band associated with f3 has one overlapping band, B3.
- E-UTRAN includes 4 lists in *carrierFreqListUTRA-FDD-v8h0* with the first and fourth entry not including *MultiBandInfoList*.

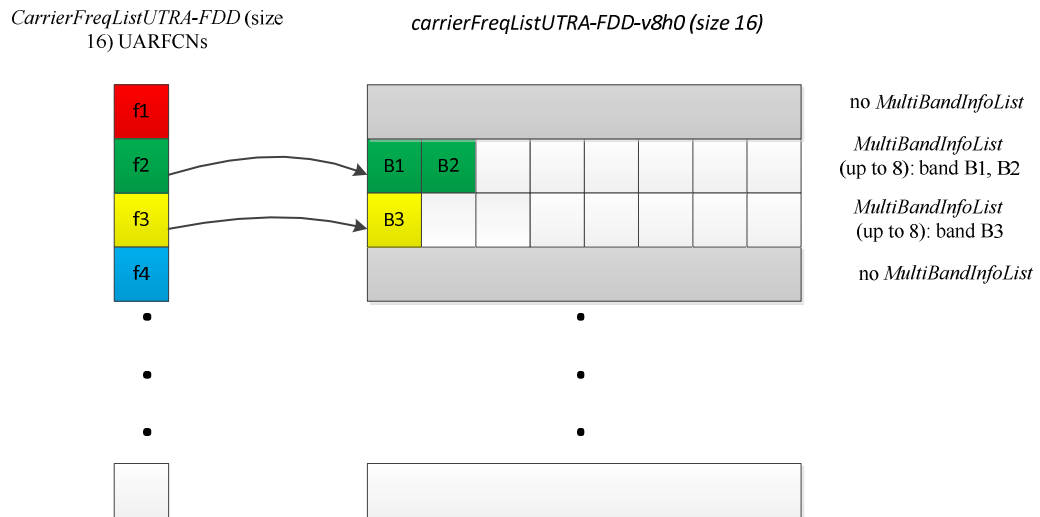


Figure D.1.3-1: Mapping of UARFCNs to *MultiBandInfoList*

## Annex E (normative): TDD/FDD differentiation of FGIs/capabilities in TDD-FDD CA

Annex E specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a feature/capability for which it indicates support within the FGI/capability signalling.

A UE that indicates support for TDD/ FDD CA:

- For the fields for which the UE is allowed to indicate different support for FDD and TDD, the UE shall support the feature on the PCell and/or SCell(s), as specified in tables E-1, E-2 and E-3 in accordance to the following rules:
  - PCell: the UE shall support the feature for the PCell, if the UE indicates support of the feature for the PCell duplex mode;
  - SCell: the UE shall support the feature for SCell(s), if the UE indicates support of the feature for the SCell duplex mode;
  - Per serving cell: the UE shall support the feature for a serving cell if the UE indicates support of the feature for the serving cell's duplex mode;
  - All serving cells: UE shall support the feature if the UE indicates support of the feature for both TDD and FDD duplex modes;
- For the fields where the UE is not allowed to indicate different support for FDD and TDD, the UE shall support the feature for PCell and SCell(s) if the UE indicates support of the feature via the common FGI/capability bit.

**Table E-1: Rel-8/9 FGIs for which FDD/TDD differentiation is allowed (from Annex B)**

| Index of indicator | Classification    |
|--------------------|-------------------|
| 1                  | Per serving cell  |
| 2                  | All serving cells |
| 4                  | All serving cells |
| 8                  | PCell             |
| 9                  | PCell             |
| 10                 | PCell             |
| 11                 | PCell             |
| 12                 | PCell             |
| 15                 | PCell             |
| 19                 | PCell             |
| 22                 | PCell             |
| 23                 | PCell             |
| 24                 | PCell             |
| 26                 | PCell             |
| 27                 | PCell             |
| 28                 | PCell             |
| 29                 | PCell             |
| 33                 | PCell             |
| 34                 | PCell             |
| 35                 | PCell             |
| 36                 | PCell             |
| 37                 | PCell             |
| 38                 | PCell             |
| 39                 | PCell             |
| 40                 | PCell             |
| 41                 | PCell             |

**Table E-2: Rel-10 FGLs for which FDD/TDD differentiation is allowed (from Annex C)**

| Index of indicator | Classification    |
|--------------------|-------------------|
| 102                | Per serving cell  |
| 103                | Per serving cell  |
| 105                | All serving cells |
| 106                | All serving cells |
| 107                | All serving cells |
| 108                | All serving cells |
| 109                | All serving cells |
| 110                | All serving cells |
| 111                | SCell             |
| 112                | PCell             |
| 113                | Per serving cell  |
| 115                | PCell             |
| 116                | Per serving cell  |

**Table E-3: Rel-12 UE-EUTRA capabilities for which FDD/TDD differentiation is allowed**

| UE-EUTRA-Capability            | Classification    |
|--------------------------------|-------------------|
| crossCarrierScheduling         | All serving cells |
| e-CSFB-1XRTT                   | PCell             |
| e-CSFB-ConcPS-Mob1XRTT         | PCell             |
| e-CSFB-dual-1XRTT              | PCell             |
| ePDCCH                         | Per serving cell  |
| e-RedirectionUTRA              | PCell             |
| e-RedirectionUTRA-TDD          | PCell             |
| inDeviceCoexInd                | All serving cells |
| interFreqRSTD-Measurement      | PCell             |
| interFreqSI-AcquisitionForHO   | PCell             |
| interRAT-PS-HO-ToGERAN         | PCell             |
| intraFreqSI-AcquisitionForHO   | PCell             |
| mbms-Scell                     | SCell             |
| mbms-NonServingCell            | SCell             |
| multiACK-CSlreporting          | PCell             |
| multiClusterPUSCH-WithinCC     | Per serving cell  |
| otdoa-UE-Assisted              | PCell             |
| pmi-Disabling                  | Per serving cell  |
| rsrqMeasWideband               | Per serving cell  |
| simultaneousPUCCH-PUSCH        | All serving cells |
| ss-CCH-InterfHandl             | PCell             |
| txDiv-PUCCH1b-ChSelect         | PCell             |
| ue-TxAntennaSelectionSupported | All serving cells |
| utran-SI-AcquisitionForHO      | PCell             |

## Annex F (normative): UE requirements on ASN.1 comprehension

This clause specifies UE requirements regarding the ASN.1 transfer syntax support i.e. the ASN.1 definitions to be comprehended by the UE.

A UE that indicates release X in field *accessStratumRelease* shall comprehend the entire transfer syntax (ASN.1) of release X, in particular at least the first version upon ASN.1 freeze. The UE is however not required to support dedicated signalling related transfer syntax associated with optional features it does not support.

In case a UE that indicates release X in field *accessStratumRelease* supports a feature specified in release X+ N (i.e. early UE implementation) additional requirements apply.

### Critical extensions (dedicated signalling)

If the early implemented feature involves one or more critical extensions (i.e. case of dedicated signalling), the UE shall comprehend the parts of the transfer syntax (ASN.1) of release X+ N that are related to the feature implemented early. This in particular concerns the ASN.1 parts related to configuration of the feature. The UE obviously also has to support the ASN.1 parts related to indicating support of the feature (in UE capabilities).

If configuration of an early implemented feature introduced in release X+ N involves a message or field that has been critically extended, the UE shall support configuration of all features supported by the UE that are associated with sub-fields of this critical extension. Apart from the early implemented feature(s), the UE need however not support functionality beyond what is defined in the release the UE indicates in access stratum release.

Let's consider the example of a UE indicating value X in field *accessStratumRelease* that supports the features associated with fields A1, A3 and A5 of *InformationElementA* (see ASN.1 below). The feature implemented early is associated with field A5, and can only be configured by the -rX+N version of *InformationElementA*. In such case, the UE should support configuration of the features associated with fields A1, A3 and A5 by the -rX+N version of *InformationElementA*. If however one of the features was modified, e.g. the feature associated with *fieldA3*, E-UTRAN should assume the UE only supports the feature according to the release it indicated in field *accessStratumRelease* (X). I.e. UE is neither required to support the additional code-point (*n80-vX+N0*) nor the additional sub-field (*fieldA3a*).

```

InformationElementA-rX ::= SEQUENCE {
    fieldA1-rX      InformationElementA1-rX      OPTIONAL, -- Need ON
    fieldA2-rX      InformationElementA2-rX      OPTIONAL, -- Need OR
    fieldA3-rX      InformationElementA3-rX      OPTIONAL, -- Need OR
}

InformationElementA-rX+N ::= SEQUENCE {
    fieldA1-rX+N    InformationElementA1-rX      OPTIONAL, -- Need ON
    fieldA2-rX+N    InformationElementA2-rX      OPTIONAL, -- Need OR
    fieldA3-rX+N    InformationElementA3-rX+N    OPTIONAL, -- Need OR
    fieldA4-rX+N    InformationElementA4-rX+N    OPTIONAL, -- Need OR
    fieldA5-rX+N    InformationElementA5-rX+N    OPTIONAL, -- Need OR
}

InformationElementA3-rX+N ::= SEQUENCE {
    fieldA1a-rX+N   InformationElementA1a-rX      OPTIONAL, -- Need ON
    fieldA2a-rX+N   ENUMERATED {n10, n20, n40,
                                n80-vX+N0}      OPTIONAL, -- Need OR
    fieldA3a-rX+N   InformationElementA3a-rX+N    OPTIONAL, -- Need OR
}

```

### Non-critical extensions (broadcast signalling)

If the early implemented feature involves one or more non-critical extensions in broadcast signalling (i.e. system information), the UE shall comprehend the parts of the transfer syntax (ASN.1) of release X+ N that are related to the feature implemented early. The SIB(s) containing the release X+ N fields related to the early implemented features may also include other extensions concerning releases from X upto X+N. The UE shall comprehend such intermediate fields (but again is not required to support the functionality associated with these intermediate fields, in case this concerns optional features not supported by the UE).

## Annex G (normative): List of CRs Containing Early Implementable Features and Corrections

This annex lists the Change Requests (CRs) whose changes may be implemented by a UE of an earlier release than which the CR was approved in (i.e. CRs that contain on their coversheets the sentence "Implementation of this CR from Rel-N will not cause interoperability issues").

**Table G-1: List of CRs Containing Early Implementable Features and Corrections**

| <b>TDoc Number (RP-xxxxxx):<br/>CR Title</b>   | <b>CR<br/>Number(s)</b> | <b>CR Revision<br/>Number(s)</b> | <b>Earliest<br/>Implementable<br/>Release</b> | <b>Additional Information</b>   |
|--|-------------------------|----------------------------------|---|---|
| RP-181233: Successful acknowledgement of RRCConnectionRelease for BL and CE UE   | 3324                    | 1                                | Release 13                                    | <i>RRCConnectionRelease</i> message, for which the poll bit is not set, can be considered successfully acknowledged when UE has sent HARQ ACK feedback.   |
| RP-182674: CR for T312 on LTE HetNet mobility  | 3506                    | 5                                | Release 12                                    | Remove T312 in leaving condition for event trigger.   |
| RP-182671: Corrections on paging monitoring and SI acquisition in RRC_CONNECTED for BL UEs and UEs in CE                 | 3647                    | 2                                | Release 13                                    |   |
| RP-190548: Update description of ack-NACK-NumRepetitions   | 3899                    | 2                                | Release 13                                    |   |
| RP-190548: Corrections of NB-IoT Access Barring  | 3900                    | 2                                | Release 13                                    |   |
| RP-191382: SI update notification and access barring in NB-IoT   | 4020                    | 2                                | Release 13                                    |   |
| RP-192195 : Correction on handling of SCell(s) during Make Before Break handover   | 3986                    | 3                                | Release 14                                    |   |
| RP-192940: Stop using redirectedCarrierOffsetDedicated after reselection to another frequency                            | 4144                    | 1                                | Release 14                                    |   |
| RP-200338: Corrections to T312 and Discovery Signals measurement   | 4198                    | 1                                | Release 12                                    |   |
| RP-202780: Corrections to the field descriptions for TDD/FDD capability differentiation, and to nMaxResource value range | 4389                    | 5                                | Release 12                                    | The CR corrects multiple UE capability field descriptions introduced in various releases, the changes are early implementable back to the release in which the corresponding capability was introduced. |

NOTE 1: In case a CR has mirror CR(s), the mirror CR(s) are not listed.

NOTE 2: The Additional Information column briefly describes the content of a CR in cases where the CR title may not be descriptive enough. If the CR title is descriptive enough, then the Additional Information column may be left blank.



## Annex H (informative): Change history

| Change history |       |           |      |     |     |   |             |
|----------------|-------|-----------|------|-----|-----|---|-------------|
| Date           | TSG # | TSG Doc.  | CR   | Rev | Cat | Subject/Comment   | New version |
| 12/2007        | RP-38 | RP-070920 | -    |     |     | Approved at TSG-RAN #38 and placed under Change Control                   | 8.0.0       |
| 03/2008        | RP-39 | RP-080163 | 0001 | 4   |     | CR to 36.331 with Miscellaneous corrections                               | 8.1.0       |
| 03/2008        | RP-39 | RP-080164 | 0002 | 2   |     | CR to 36.331 to convert RRC to agreed ASN.1 format                        | 8.1.0       |
| 05/2008        | RP-40 | RP-080361 | 0003 | 1   |     | CR to 36.331 on Miscellaneous clarifications/ corrections                 | 8.2.0       |
| 09/2008        | RP-41 | RP-080693 | 0005 | -   |     | CR on Miscellaneous corrections and clarifications                        | 8.3.0       |
| 12/2008        | RP-42 | RP-081021 | 0006 | -   |     | Miscellaneous corrections and clarifications                              | 8.4.0       |
| 03/2009        | RP-43 | RP-090131 | 0007 | -   |     | Correction to the Counter Check procedure                                 | 8.5.0       |
|                | RP-43 | RP-090131 | 0008 | -   |     | CR to 36.331-UE Actions on Receiving SIB11                                | 8.5.0       |
|                | RP-43 | RP-090131 | 0009 | 1   |     | Spare usage on BCCH   | 8.5.0       |
|                | RP-43 | RP-090131 | 0010 | -   |     | Issues in handling optional IE upon absence in GERAN NCL                  | 8.5.0       |
|                | RP-43 | RP-090131 | 0011 | -   |     | CR to 36.331 on Removal of useless RLC re-establishment at RB release     | 8.5.0       |
|                | RP-43 | RP-090131 | 0012 | 1   |     | Clarification to RRC level padding at PCCH and BCCH                       | 8.5.0       |
|                | RP-43 | RP-090131 | 0013 | -   |     | Removal of Inter-RAT message  | 8.5.0       |
|                | RP-43 | RP-090131 | 0014 | -   |     | Padding of the SRB-ID for security input                                  | 8.5.0       |
|                | RP-43 | RP-090131 | 0015 | -   |     | Validity of ETWS SIB  | 8.5.0       |
|                | RP-43 | RP-090131 | 0016 | 1   |     | Configuration of the Two-Intervals-SPS                                    | 8.5.0       |
|                | RP-43 | RP-090131 | 0017 | -   |     | Corrections on Scaling Factor Values of Qhyst                             | 8.5.0       |
|                | RP-43 | RP-090131 | 0018 | 1   |     | Optionality of srsMaxUpts   | 8.5.0       |
|                | RP-43 | RP-090131 | 0019 | -   |     | CR for discussion on field name for common and dedicated IE               | 8.5.0       |
|                | RP-43 | RP-090131 | 0020 | -   |     | Corrections to Connected mode mobility                                    | 8.5.0       |
|                | RP-43 | RP-090131 | 0021 | -   |     | Clarification regarding the measurement reporting procedure               | 8.5.0       |
|                | RP-43 | RP-090131 | 0022 | 1   |     | Corrections on s-Measure  | 8.5.0       |
|                | RP-43 | RP-090131 | 0023 | 1   |     | R1 of CR0023 (R2-091029) on combination of SPS and TTI bundling for TDD   | 8.5.0       |
|                | RP-43 | RP-090131 | 0024 | -   |     | L3 filtering for path loss measurements                                   | 8.5.0       |
|                | RP-43 | RP-090131 | 0025 | 1   |     | S-measure handling for reportCGI  | 8.5.0       |
|                | RP-43 | RP-090131 | 0026 | 1   |     | Measurement configuration clean up  | 8.5.0       |
|                | RP-43 | RP-090131 | 0027 | -   |     | Alignment of measurement quantities for UTRA                              | 8.5.0       |
|                | RP-43 | RP-090131 | 0028 | -   |     | CR to 36.331 on L1 parameters ranges alignment                            | 8.5.0       |
|                | RP-43 | RP-090131 | 0029 | -   |     | Default configuration for transmissionMode                                | 8.5.0       |
|                | RP-43 | RP-090131 | 0030 | -   |     | CR to 36.331 on RRC Parameters for MAC, RLC and PDCP                      | 8.5.0       |
|                | RP-43 | RP-090131 | 0031 | 1   |     | CR to 36.331 - Clarification on Configured PRACH Freq Offset              | 8.5.0       |
|                | RP-43 | RP-090131 | 0032 | -   |     | Clarification on TTI bundling configuration                               | 8.5.0       |
|                | RP-43 | RP-090131 | 0033 | 1   |     | Update of R2-091039 on Inter-RAT UE Capability                            | 8.5.0       |
|                | RP-43 | RP-090133 | 0034 | -   |     | Feature Group Support Indicators  | 8.5.0       |
|                | RP-43 | RP-090131 | 0036 | -   |     | Corrections to RLF detection  | 8.5.0       |
|                | RP-43 | RP-090131 | 0037 | -   |     | Indication of Dedicated Priority  | 8.5.0       |
|                | RP-43 | RP-090131 | 0038 | 2   |     | Security Clean up   | 8.5.0       |
|                | RP-43 | RP-090131 | 0039 | -   |     | Correction of TTT value range   | 8.5.0       |
|                | RP-43 | RP-090131 | 0040 | -   |     | Correction on CDMA measurement result IE                                  | 8.5.0       |
|                | RP-43 | RP-090131 | 0041 | 1   |     | Clarification of Measurement Reporting                                    | 8.5.0       |
|                | RP-43 | RP-090131 | 0042 | -   |     | Spare values in DL and UL Bandwidth in MIB and SIB2                       | 8.5.0       |
|                | RP-43 | RP-090131 | 0044 | 1   |     | Clarifications to System Information Block Type 8                         | 8.5.0       |
|                | RP-43 | RP-090131 | 0045 | -   |     | Reception of ETWS secondary notification                                  | 8.5.0       |
|                | RP-43 | RP-090131 | 0046 | 1   |     | Validity time for ETWS message Id and Sequence No                         | 8.5.0       |
|                | RP-43 | RP-090131 | 0047 | -   |     | CR for Timers and constants values used during handover to E-UTRA         | 8.5.0       |
|                | RP-43 | RP-090131 | 0048 | -   |     | Inter-RAT Security Clarification  | 8.5.0       |
|                | RP-43 | RP-090131 | 0049 | -   |     | CR to 36.331 on consistent naming of 1xRTT identifiers                    | 8.5.0       |
|                | RP-43 | RP-090131 | 0050 | -   |     | Capturing RRC behavior regarding NAS local release                        | 8.5.0       |
|                | RP-43 | RP-090131 | 0051 | -   |     | Report CGI before T321 expiry and UE null reporting                       | 8.5.0       |
|                | RP-43 | RP-090131 | 0052 | -   |     | System Information and 3 hour validity                                    | 8.5.0       |
|                | RP-43 | RP-090131 | 0053 | 1   |     | Inter-Node AS Signalling  | 8.5.0       |
|                | RP-43 | RP-090131 | 0054 | -   |     | Set of values for the parameter "messagePowerOffsetGroupB"                | 8.5.0       |
|                | RP-43 | RP-090131 | 0055 | -   |     | CR to paging reception for ETWS capable UEs in RRC_CONNECTED              | 8.5.0       |
|                | RP-43 | RP-090131 | 0056 | 1   |     | CR for CSG related items in 36.331  | 8.5.0       |
|                | RP-43 | RP-090131 | 0057 | 1   |     | SRS common configuration  | 8.5.0       |
|                | RP-43 | RP-090131 | 0058 | -   |     | RRC processing delay  | 8.5.0       |
|                | RP-43 | RP-090131 | 0059 | -   |     | CR for HNB Name   | 8.5.0       |
|                | RP-43 | RP-090131 | 0060 | 3   |     | Handover to EUTRA delta configuration                                     | 8.5.0       |
|                | RP-43 | RP-090131 | 0063 | -   |     | Delivery of Message Identifier and Serial Number to upper layers for ETWS | 8.5.0       |
|                | RP-43 | RP-090131 | 0066 | -   |     | Clarification on the maximum size of cell lists                           | 8.5.0       |
|                | RP-43 | RP-090131 | 0067 | -   |     | Missing RRC messages in 'Protection of RRC messages'                      | 8.5.0       |
|                | RP-43 | RP-090131 | 0069 | 1   |     | Clarification on NAS Security Container                                   | 8.5.0       |
|                | RP-43 | RP-090131 | 0071 | -   |     | Extension of range of CQI/PMI configuration index                         | 8.5.0       |
|                | RP-43 | RP-090131 | 0072 | 1   |     | Access barring alleviation in RRC connection establishment                | 8.5.0       |
|                | RP-43 | RP-090367 | 0077 | 6   |     | Corrections to feature group support indicators                           | 8.5.0       |
|                | RP-43 | RP-090131 | 0078 | -   |     | CR from email discussion to capture DRX and TTT handling                  | 8.5.0       |
|                | RP-43 | RP-090131 | 0079 | 1   |     | Need Code handling on BCCH messages                                       | 8.5.0       |
|                | RP-43 | RP-090131 | 0080 | -   |     | Unification of T300 and T301 and removal of miscellaneous FFSS            | 8.5.0       |

|         |       |           |      |   |  |   |       |
|---------|-------|-----------|------|---|--|---|-------|
|         | RP-43 | RP-090131 | 0084 | 1 |  | Proposed CR modifying the code-point definitions of neighbourCellConfiguration    | 8.5.0 |
|         | RP-43 | RP-090131 | 0087 | 2 |  | Remove Redundant Optionality in SIB8  | 8.5.0 |
|         | RP-43 | RP-090131 | 0089 | - |  | Corrections to the generic error handling   | 8.5.0 |
|         | RP-43 | RP-090131 | 0090 | - |  | Configurability of T301   | 8.5.0 |
|         | RP-43 | RP-090131 | 0091 | 1 |  | Correction related to TTT   | 8.5.0 |
|         | RP-43 | RP-090131 | 0095 | - |  | CR for 36.331 on SPS-config   | 8.5.0 |
|         | RP-43 | RP-090131 | 0096 | 2 |  | CR for Deactivation of periodical measurement                                     | 8.5.0 |
|         | RP-43 | RP-090131 | 0099 | 2 |  | SMC and reconfiguration   | 8.5.0 |
|         | RP-43 | RP-090131 | 0101 | - |  | TDD handover  | 8.5.0 |
|         | RP-43 | RP-090131 | 0102 | - |  | Corrections to system information acquisition                                     | 8.5.0 |
|         | RP-43 | RP-090131 | 0106 | - |  | Some Corrections and Clarifications to 36.331                                     | 8.5.0 |
|         | RP-43 | RP-090131 | 0109 | - |  | Clarification on the Maximum number of ROHC context sessions parameter            | 8.5.0 |
|         | RP-43 | RP-090131 | 0110 | - |  | Transmission of rrm-Config at Inter-RAT Handover                                  | 8.5.0 |
|         | RP-43 | RP-090131 | 0111 | 1 |  | Use of SameRefSignalsInNeighbor parameter   | 8.5.0 |
|         | RP-43 | RP-090131 | 0112 | - |  | Default serving cell offset for measurement event A3                              | 8.5.0 |
|         | RP-43 | RP-090131 | 0114 | - |  | dl-EARFCN missing in HandoverPreparationInformation                               | 8.5.0 |
|         | RP-43 | RP-090131 | 0115 | - |  | Cleanup of references to 36.101   | 8.5.0 |
|         | RP-43 | RP-090131 | 0117 | - |  | Correction to the value range of UE-Categories                                    | 8.5.0 |
|         | RP-43 | RP-090131 | 0122 | 1 |  | Correction on RRC connection re-establishment                                     | 8.5.0 |
|         | RP-43 | RP-090131 | 0124 | - |  | Performing Measurements to report CGI for CDMA2000                                | 8.5.0 |
|         | RP-43 | RP-090131 | 0125 | - |  | CDMA2000-SystemTimeInfo in VarMeasurementConfiguration                            | 8.5.0 |
|         | RP-43 | RP-090131 | 0126 | - |  | UE Capability Information for CDMA2000 1xRTT                                      | 8.5.0 |
|         | RP-43 | RP-090131 | 0127 | - |  | CDMA2000 related editorial changes  | 8.5.0 |
|         | RP-43 | RP-090131 | 0128 | - |  | Draft CR to 36.331 on State mismatch recovery at re-establishment                 | 8.5.0 |
|         | RP-43 | RP-090131 | 0129 | 1 |  | Draft CR to 36.331 on Renaming of AC barring related IEs                          | 8.5.0 |
|         | RP-43 | RP-090131 | 0130 | 2 |  | Draft CR to 36.331 on Inheriting of dedicated priorities at inter-RAT reselection | 8.5.0 |
|         | RP-43 | RP-090131 | 0135 | - |  | Proposed CR to 36.331 Description alignment for paging parameter, nB              | 8.5.0 |
|         | RP-43 | RP-090131 | 0139 | 2 |  | Miscellaneous corrections and clarifications resulting from ASN.1 review          | 8.5.0 |
|         | RP-43 | RP-090131 | 0141 | 1 |  | Correction regarding Redirection Information fo GERAN                             | 8.5.0 |
|         | RP-43 | RP-090131 | 0142 | - |  | Further ASN.1 review related issues   | 8.5.0 |
|         | RP-43 | RP-090131 | 0143 | - |  | Periodic measurements   | 8.5.0 |
|         | RP-43 | RP-090131 | 0144 | 1 |  | Further analysis on code point "OFF" for ri-ConfigIndex                           | 8.5.0 |
|         | RP-43 | RP-090131 | 0145 | 1 |  | Adding and deleting same measurement or configuration in one message              | 8.5.0 |
|         | RP-43 | RP-090131 | 0147 | - |  | Corrections to IE dataCodingScheme in SIB11                                       | 8.5.0 |
|         | RP-43 | RP-090131 | 0148 | - |  | Clarification on Mobility from E-UTRA   | 8.5.0 |
|         | RP-43 | RP-090131 | 0149 | - |  | 36.331 CR related to "not applicable"   | 8.5.0 |
|         | RP-43 | RP-090131 | 0150 | 1 |  | UE radio capability transfer  | 8.5.0 |
|         | RP-43 | RP-090131 | 0151 | - |  | CR to 36.331 on value of CDMA band classes  | 8.5.0 |
|         | RP-43 | RP-090131 | 0152 | - |  | Corrections to DRB modification   | 8.5.0 |
|         | RP-43 | RP-090131 | 0153 | - |  | Correction to presence condition for pdcp-config                                  | 8.5.0 |
|         | RP-43 | RP-090131 | 0155 | - |  | TDD HARQ-ACK feedback mode  | 8.5.0 |
|         | RP-43 | RP-090275 | 0157 | - |  | Corrections regarding use of carrierFreq for CDMA (SIB8) and GERAN (measObject)   | 8.5.0 |
|         | RP-43 | RP-090321 | 0156 | 1 |  | Sending of GERAN SI/PSI information at Inter-RAT Handover                         | 8.5.0 |
|         | RP-43 | RP-090339 | 0158 | - |  | Clarification of CSG support  | 8.5.0 |
| 06/2009 | RP-44 | RP-090516 | 0159 | - |  | Octet alignment of VarShortMAC-Input  | 8.6.0 |
|         | RP-44 | RP-090516 | 0160 | 3 |  | Minor corrections to the feature grouping   | 8.6.0 |
|         | RP-44 | RP-090516 | 0161 | - |  | Security clarification  | 8.6.0 |
|         | RP-44 | RP-090516 | 0162 | 1 |  | Sending of GERAN SI/PSI information at Inter-RAT Handover                         | 8.6.0 |
|         | RP-44 | RP-090516 | 0163 | 1 |  | Correction of UE measurement model  | 8.6.0 |
|         | RP-44 | RP-090516 | 0164 | - |  | Restricting the reconfiguration of UM RLC SN field size                           | 8.6.0 |
|         | RP-44 | RP-090516 | 0165 | 1 |  | 36.331 CR on Clarification on cell change order from GERAN to E-UTRAN             | 8.6.0 |
|         | RP-44 | RP-090516 | 0166 | - |  | 36.331 CR - Handling of expired TAT and failed D-SR                               | 8.6.0 |
|         | RP-44 | RP-090516 | 0167 | 1 |  | Proposed CR to 36.331 Clarification on mandatory information in AS-Config         | 8.6.0 |
|         | RP-44 | RP-090516 | 0168 | 2 |  | Miscellaneous small corrections   | 8.6.0 |
|         | RP-44 | RP-090516 | 0173 | - |  | Clarification on the basis of delta signalling                                    | 8.6.0 |
|         | RP-44 | RP-090516 | 0177 | - |  | CR on Alignment of CCCH and DCCH handling of missing mandatory field              | 8.6.0 |
|         | RP-44 | RP-090516 | 0180 | 2 |  | Handling of Measurement Context During HO Preparation                             | 8.6.0 |
|         | RP-44 | RP-090516 | 0181 | - |  | Clarification of key-eNodeB-Star in AdditionalReestabInfo                         | 8.6.0 |
|         | RP-44 | RP-090516 | 0182 | 1 |  | UE Capability Transfer  | 8.6.0 |
|         | RP-44 | RP-090516 | 0186 | 1 |  | Clarification regarding mobility from E-UTRA in-between SMC and SRB2/DRB setup    | 8.6.0 |
|         | RP-44 | RP-090516 | 0188 | 1 |  | Correction and completion of specification conventions                            | 8.6.0 |
|         | RP-44 | RP-090516 | 0195 | 2 |  | RB combination in feature group indicator   | 8.6.0 |
|         | RP-44 | RP-090516 | 0196 | 1 |  | CR for need code for fields in mobilityControllInfo                               | 8.6.0 |
|         | RP-44 | RP-090497 | 0197 | - |  | Alignment of pusch-HoppingOffset with 36.211                                      | 8.6.0 |
|         | RP-44 | RP-090570 | 0198 | - |  | Explicit srb-Identity values for SRB1 and SRB2                                    | 8.6.0 |
|         | RP-44 | RP-090516 | 0199 | - |  | Removing use of defaultValue for mac-MainConfig                                   | 8.6.0 |
| 09/2009 | RP-45 | RP-090906 | 0200 | - |  | Proposed update of the feature grouping   | 8.7.0 |

|         |       |           |      |   |  |       |
|---------|-------|-----------|------|---|--|-------|
|         | RP-45 | RP-090906 | 0201 | - | Clarification on measurement object configuration for serving frequency            | 8.7.0 |
|         | RP-45 | RP-090906 | 0202 | - | Correction regarding SRVCC   | 8.7.0 |
|         | RP-45 | RP-090906 | 0203 | - | Indication of DRB Release during HO  | 8.7.0 |
|         | RP-45 | RP-090906 | 0204 | 1 | Correction regarding application of dedicated resource configuration upon handover | 8.7.0 |
|         | RP-45 | RP-090906 | 0205 | - | REL-9 protocol extensions in RRC   | 8.7.0 |
|         | RP-45 | RP-090906 | 0206 | - | In-order delivery of NAS PDUs at RRC connection reconfiguration                    | 8.7.0 |
|         | RP-45 | RP-090906 | 0207 | - | Correction on Threshold of Measurement Event                                       | 8.7.0 |
|         | RP-45 | RP-090906 | 0210 | - | Clarification on dedicated resource of RA procedure                                | 8.7.0 |
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|         | RP-45 | RP-090915 | 0218 | - | Security threat with duplicate detection for ETWS                                  | 8.7.0 |
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| 09/2009 | RP-45 | RP-090934 | 0220 | 1 | Introduction of Per-QCI radio link failure timers (option 1)                       | 9.0.0 |
|         | RP-45 | RP-090926 | 0222 | - | Null integrity protection algorithm  | 9.0.0 |
|         | RP-45 | RP-090926 | 0223 | - | Emergency Support Indicator in BCCH  | 9.0.0 |
|         | RP-45 | RP-090934 | 0230 | 2 | CR to 36.331 for Enhanced CSFB to 1xRTT with concurrent PS handover                | 9.0.0 |
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| 12/2009 | RP-46 | RP-091346 | 0253 | 1 | (Rel-9)-clarification on the description of redirectedCarrierInfo                  | 9.1.0 |
|         | RP-46 | RP-091346 | 0254 | 1 | Adding references to RRC processing delay for inter-RAT mobility messages          | 9.1.0 |
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|         | RP-47 | RP-100308 | 0335 | - | Clarification on radio resource configuration in handover to E-UTRA procedure      | 9.2.0 |
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|         | RP-47 | RP-100308 | 0337 | - | Correction to field descriptions of UE-EUTRA-Capability                            | 9.2.0 |
|         | RP-47 | RP-100305 | 0338 | - | Correction to MBMS scheduling terminology  | 9.2.0 |
|         | RP-47 | RP-100308 | 0339 | - | Corrections to SIB8  | 9.2.0 |
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|         | RP-47 | RP-100308 | 0341 | 1 | CR to 36.331 for 1xRTT pre-registration information in SIB8                        | 9.2.0 |
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|         | RP-47 | RP-100308 | 0379 | 1 |  | Miscellaneous corrections from REL-9 ASN.1 review                             | 9.2.0 |
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|         | RP-47 | RP-100245 | 0411 | - |  | Correction/ alignment of REL-9 UE capability signalling                       | 9.2.0 |
| 06/2010 | RP-48 | RP-100553 | 0412 | - |  | Clarification for mapping between warning message and CB-data                 | 9.3.0 |
|         | RP-48 | RP-100556 | 0413 | - |  | Clarification of radio link failure related actions                           | 9.3.0 |
|         | RP-48 | RP-100554 | 0414 | - |  | Clarification on UE actions upon leaving RRC_CONNECTED                        | 9.3.0 |
|         | RP-48 | RP-100553 | 0415 | - |  | Correction on CMAS system information   | 9.3.0 |
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|         | RP-48 | RP-100556 | 0419 | 1 |  | Miscellaneous small corrections and clarifications                            | 9.3.0 |
|         | RP-48 | RP-100551 | 0420 | - |  | Prohibit timer for proximity indication                                       | 9.3.0 |
|         | RP-48 | RP-100556 | 0421 | - |  | RLF report for MRO correction   | 9.3.0 |
|         | RP-48 | RP-100546 | 0423 | 1 |  | Missing UTRA bands in IRAT-ParametersUTRA-FDD                                 | 9.3.0 |
|         | RP-48 | RP-100556 | 0424 | - |  | Correction on handling of dedicated RLF timers                                | 9.3.0 |
|         | RP-48 | RP-100556 | 0431 | 1 |  | Protection of RRC messages  | 9.3.0 |
|         | RP-48 | RP-100556 | 0433 | - |  | Handling missing Essential system information                                 | 9.3.0 |
|         | RP-48 | RP-100551 | 0434 | 1 |  | Clarification on UMTS CSG detected cell reporting in LTE                      | 9.3.0 |
|         | RP-48 | RP-100556 | 0436 | - |  | Introducing provisions for late corrections                                   | 9.3.0 |
|         | RP-48 | RP-100556 | 0437 | - |  | Clarification regarding / alignment of REL-9 UE capabilities                  | 9.3.0 |
| 09/2010 | RP-49 | RP-100845 | 0440 | - |  | Correction to 3GPP2 reference for interworking with cdma2000 1x               | 9.4.0 |
|         | RP-49 | RP-100851 | 0441 | - |  | Clarification on UL handover preparation transfer                             | 9.4.0 |
|         | RP-49 | RP-100851 | 0442 | 1 |  | Clarifications regarding fullConfiguration                                    | 9.4.0 |
|         | RP-49 | RP-100851 | 0443 | - |  | Clarifications regarding handover to E-UTRAN                                  | 9.4.0 |
|         | RP-49 | RP-100854 | 0444 | - |  | Correction on the table of conditionally mandatory Release 9 features         | 9.4.0 |
|         | RP-49 | RP-100851 | 0445 | - |  | Corrections to TS36.331 on MeasConfig IE                                      | 9.4.0 |
|         | RP-49 | RP-100853 | 0446 | 2 |  | CR to 36.331 on clarification for MBMS PTM RBs                                | 9.4.0 |
|         | RP-49 | RP-100851 | 0447 | - |  | Introduction of late corrections container for E-UTRA UE capabilities         | 9.4.0 |
|         | RP-49 | RP-100851 | 0448 | - |  | Renaming of containers for late non-critical extensions                       | 9.4.0 |
|         | RP-49 | RP-100851 | 0452 | - |  | Clarifications Regarding Redirection from LTE                                 | 9.4.0 |
|         | RP-49 | RP-100845 | 0456 | - |  | Description of multi-user MIMO functionality in feature group indicator table | 9.4.0 |
|         | RP-49 | RP-100845 | 0458 | - |  | Correct the PEMAX_H to PEMAX  | 9.4.0 |
|         | RP-49 | RP-100851 | 0460 | - |  | Clarification for feature group indicator bit 11                              | 9.4.0 |
|         | RP-49 | RP-100851 | 0465 | 1 |  | Clarification of FGI setting for inter-RAT features not supported by the UE   | 9.4.0 |
|         | RP-49 | RP-101008 | 0475 | 1 |  | FGI settings in Rel-9   | 9.4.0 |
| 12/2010 | RP-50 | RP-101197 | 0483 | - |  | Clarification on Meaning of FGI Bits  | 9.5.0 |
|         | RP-50 | RP-101197 | 0485 | - |  | Clarification regarding reconfiguration of the quantityConfig                 | 9.5.0 |

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|         | RP-50 | RP-101210 | 0493 | - |  | The field descriptions of MeasObjectEUTRA   | 9.5.0  |
|         | RP-50 | RP-101197 | 0498 | 1 |  | Clarification of FGI settings non ANR periodical measurement reporting                    | 9.5.0  |
|         | RP-50 | RP-101209 | 0500 | - |  | Corrections to RLF Report   | 9.5.0  |
|         | RP-50 | RP-101206 | 0519 | 1 |  | T321 timer fix  | 9.5.0  |
|         | RP-50 | RP-101197 | 0524 | - |  | Restriction of AC barring parameter setting   | 9.5.0  |
|         | RP-50 | RP-101210 | 0525 | - |  | Removal of SEQUENCE OF SEQUENCE in UEInformationResponse                                  | 9.5.0  |
|         | RP-50 | RP-101197 | 0526 | 1 |  | Clarification regarding default configuration value N/A                                   | 9.5.0  |
|         | RP-50 | RP-101431 | 0532 | - |  | Splitting FGI bit 3   | 9.5.0  |
|         | RP-50 | RP-101183 | 0476 | 4 |  | 36.331 CR on Introduction of Minimization of Drive Tests                                  | 10.0.0 |
|         | RP-50 | RP-101293 | 0477 | 4 |  | AC-Barring for Mobile Originating CSFB call   | 10.0.0 |
|         | RP-50 | RP-101214 | 0478 | - |  | Addition of UE-EUTRA-Capability descriptions  | 10.0.0 |
|         | RP-50 | RP-101214 | 0481 | - |  | Clarification on Default Configuration for CQI-ReportConfig                               | 10.0.0 |
|         | RP-50 | RP-101215 | 0487 | - |  | CR to 36.331 adding e1xCSFB support for dual Rx/Tx UE                                     | 10.0.0 |
|         | RP-50 | RP-101227 | 0488 | 1 |  | Introduction of Carrier Aggregation and UL/ DL MIMO                                       | 10.0.0 |
|         | RP-50 | RP-101228 | 0489 | 1 |  | Introduction of relays in RRC   | 10.0.0 |
|         | RP-50 | RP-101214 | 0490 | 1 |  | Priority indication for CSFB with re-direction  | 10.0.0 |
|         | RP-50 | RP-101214 | 0491 | - |  | SIB Size Limitations  | 10.0.0 |
|         | RP-50 | RP-101214 | 0513 | - |  | Combined Quantity Report for IRAT measurement of UTRAN                                    | 10.0.0 |
|         | RP-50 | RP-101214 | 0527 | 1 |  | UE power saving and Local release   | 10.0.0 |
|         | RP-50 | RP-101429 | 0530 | 1 |  | Inclusion of new UE categories in Rel-10  | 10.0.0 |
| 03/2011 | RP-51 | RP-110282 | 0533 | - |  | 36331_CRxxx_Protection of Logged Measurements Configuration                               | 10.1.0 |
|         | RP-51 | RP-110294 | 0534 | 1 |  | Stage-3 CR for MBMS enhancement   | 10.1.0 |
|         | RP-51 | RP-110282 | 0535 | - |  | Clean up MDT-related text   | 10.1.0 |
|         | RP-51 | RP-110282 | 0536 | - |  | Clear MDT configuration and logs when the UE is not registered                            | 10.1.0 |
|         | RP-51 | RP-110280 | 0537 | - |  | Correction to the field description of nB   | 10.1.0 |
|         | RP-51 | RP-110289 | 0538 | - |  | CR on impact on UP with remove&add approach_2   | 10.1.0 |
|         | RP-51 | RP-110282 | 0539 | - |  | CR to 36.331 on corrections for MDT   | 10.1.0 |
|         | RP-51 | RP-110290 | 0543 | - |  | Introduction of CA/MIMO capability signalling and measurement capability signalling in CA | 10.1.0 |
|         | RP-51 | RP-110282 | 0544 | - |  | MDT PDU related clarifications  | 10.1.0 |
|         | RP-51 | RP-110282 | 0545 | - |  | Correction on release of logged measurement configuration while in another RAT            | 10.1.0 |
|         | RP-51 | RP-110289 | 0546 | - |  | Miscellaneous Corrections for CA Running RRC CR   | 10.1.0 |
|         | RP-51 | RP-110280 | 0547 | 1 |  | Miscellaneous small clarifications and corrections  | 10.1.0 |
|         | RP-51 | RP-110293 | 0548 | 4 |  | Necessary changes for RLF reporting enhancements  | 10.1.0 |
|         | RP-51 | RP-110282 | 0549 | 1 |  | Memory size for logged measurements capable UE  | 10.1.0 |
|         | RP-51 | RP-110289 | 0550 | - |  | Parameters confusion of non-CA and CA configurations                                      | 10.1.0 |
|         | RP-51 | RP-110272 | 0553 | - |  | Presence condition for cellSelectionInfo-v920 in SIB1                                     | 10.1.0 |
|         | RP-51 | RP-110282 | 0554 | 1 |  | Removal of MDT configuration at T330 expiry   | 10.1.0 |
|         | RP-51 | RP-110289 | 0556 | 1 |  | Signalling aspects of existing LTE-A parameters   | 10.1.0 |
|         | RP-51 | RP-110280 | 0557 | 1 |  | Some Corrections on measurement   | 10.1.0 |
|         | RP-51 | RP-110291 | 0558 | - |  | Stored system information for RNs   | 10.1.0 |
|         | RP-51 | RP-110291 | 0559 | - |  | Support of Integrity Protection for Relay   | 10.1.0 |
|         | RP-51 | RP-110290 | 0561 | 2 |  | Updates of L1 parameters for CA and UL/DL MIMO  | 10.1.0 |
|         | RP-51 | RP-110291 | 0571 | 1 |  | Note for Dedicated SIB for RNs  | 10.1.0 |
|         | RP-51 | RP-110272 | 0579 | - |  | Correction to cs-fallbackIndicator field description                                      | 10.1.0 |
|         | RP-51 | RP-110289 | 0580 | - |  | Clarification to the default configuration of sCellDeactivationTimer                      | 10.1.0 |
|         | RP-51 | RP-110289 | 0581 | - |  | Miscellaneous corrections to TS 36.331 on Carrier Aggregation                             | 10.1.0 |
|         | RP-51 | RP-110280 | 0584 | - |  | Correction of configuration description in SIB2   | 10.1.0 |
|         | RP-51 | RP-110265 | 0587 | - |  | Clarification of band indicator in handover from E-UTRAN to GERAN                         | 10.1.0 |
|         | RP-51 | RP-110285 | 0588 | 1 |  | 36331_CRxxxx Support of Delay Tolerant access requests                                    | 10.1.0 |
|         | RP-51 | RP-110292 | 0590 | - |  | Update of R2-110807 on CSI measurement resource restriction for time domain ICIC          | 10.1.0 |
|         | RP-51 | RP-110292 | 0591 | - |  | Update of R2-110821 on RRM/RLM resource restriction for time domain ICIC                  | 10.1.0 |
|         | RP-51 | RP-110290 | 0592 | - |  | Corrections on UE capability related parameters   | 10.1.0 |
|         | RP-51 | RP-110282 | 0596 | - |  | Validity time for location information in Immediate MDT                                   | 10.1.0 |
|         | RP-51 | RP-110280 | 0597 | - |  | CR to 36.331 adding UE capability indicator for dual Rx/Tx e1xCSFB                        | 10.1.0 |
|         | RP-51 | RP-110289 | 0598 | - |  | Miscellaneous corrections to CA   | 10.1.0 |
|         | RP-51 | RP-110280 | 0599 | - |  | Further correction to combined measurement report of UTRAN                                | 10.1.0 |
|         | RP-51 | RP-110280 | 0600 | - |  | Correction to the reference of ETWS   | 10.1.0 |
|         | RP-51 | RP-110269 | 0602 | 1 |  | Introduction of OTDOA inter-freq RSTD measurement indication procedure                    | 10.1.0 |
|         | RP-51 | RP-110280 | 0603 | - |  | Correction of use of RRCConnectionReestablishment message for contention resolution       | 10.1.0 |
|         | RP-51 | RP-110282 | 0604 | - |  | CR to 36.331 on MDT neighbour cell measurements logging                                   | 10.1.0 |
|         | RP-51 | RP-110272 | 0609 | - |  | Minor ASN.1 corrections for the UEInformationResponse message                             | 10.1.0 |
|         | RP-51 | RP-110280 | 0613 | - |  | Clarification regarding dedicated RLF timers and constants                                | 10.1.0 |
|         | RP-51 | RP-110282 | 0615 | - |  | Release of Logged Measurement Configuration   | 10.1.0 |
|         | RP-51 | RP-110280 | 0616 | - |  | Some corrections on TS 36.331   | 10.1.0 |
|         | RP-51 | RP-110280 | 0623 | - |  | AC barring procedure clean up   | 10.1.0 |
|         | RP-51 | RP-110282 | 0624 | - |  | Counter proposal to R2-110826 on UE capabilities for MDT                                  | 10.1.0 |
|         | RP-51 | RP-110280 | 0628 | 1 |  | UE information report for RACH  | 10.1.0 |

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|         | RP-51 | RP-110282 | 0632 | 1 |  | Trace configuration parameters for Logged MDT   | 10.1.0 |
|         | RP-51 | RP-110282 | 0635 | - |  | Clarification on stop condition for timer T3330   | 10.1.0 |
|         | RP-51 | RP-110282 | 0637 | - |  | User consent for MDT  | 10.1.0 |
|         | RP-51 | RP-110280 | 0638 | - |  | Correction on the range of CQI resource index   | 10.1.0 |
|         | RP-51 | RP-110272 | 0640 | 1 |  | Small corrections to ETWS & CMAS system information                                       | 10.1.0 |
|         | RP-51 | RP-110290 | 0641 | 1 |  | UE capability signaling structure w.r.t carrier aggregation, MIMO and measurement gap     | 10.1.0 |
|         | RP-51 | RP-110289 | 0642 | 1 |  | Normal PHR and the multiple uplink carriers   | 10.1.0 |
|         | RP-51 | RP-110280 | 0643 | 1 |  | Corrections to TS36.331 on SIB2 handling  | 10.1.0 |
|         | RP-51 | RP-110280 | 0644 | 1 |  | Adding a Power Management indication in PHR   | 10.1.0 |
|         | RP-51 | RP-110289 | 0646 | 1 |  | Clarification for CA and TTI bundling in RRC  | 10.1.0 |
|         | RP-51 | RP-110443 | 0648 | 1 |  | Updates to FGI settings   | 10.1.0 |
| 06/2011 | RP-52 | RP-110836 | 0651 | - |  | Add MBMS counting procedure to processing delay requirement for RRC procedure clause 11.2 | 10.2.0 |
|         | RP-52 | RP-110830 | 0653 | - |  | Add pre Rel-10 procedures to processing delay requirement for RRC procedure clause 11.2   | 10.2.0 |
|         | RP-52 | RP-110847 | 0654 | 1 |  | Addition of a specific reference for physical configuration fields                        | 10.2.0 |
|         | RP-52 | RP-110839 | 0656 | - |  | Clarification of inter-frequency RSTD measurement indication procedure                    | 10.2.0 |
|         | RP-52 | RP-110830 | 0658 | - |  | Clarification of optionality of UE features without capability                            | 10.2.0 |
|         | RP-52 | RP-110839 | 0660 | - |  | Clarification on the definition of maxCellBlack   | 10.2.0 |
|         | RP-52 | RP-110839 | 0661 | - |  | Clarification on upper layer requested connection release                                 | 10.2.0 |
|         | RP-52 | RP-110850 | 0662 | 3 |  | Clarification regarding eCIC measurements   | 10.2.0 |
|         | RP-52 | RP-110839 | 0663 | - |  | CR for s-measure handling   | 10.2.0 |
|         | RP-52 | RP-110851 | 0664 | 1 |  | CR on clarification of RLF Report in Carrier Aggregation                                  | 10.2.0 |
|         | RP-52 | RP-110830 | 0669 | - |  | FGI bit for handover between LTE FDD/TDD  | 10.2.0 |
|         | RP-52 | RP-110847 | 0670 | 2 |  | Further updates on L1 parameters  | 10.2.0 |
|         | RP-52 | RP-110839 | 0671 | 2 |  | General error handling for extension fields   | 10.2.0 |
|         | RP-52 | RP-110851 | 0672 | 2 |  | Additional information for RLF report   | 10.2.0 |
|         | RP-52 | RP-110843 | 0673 | - |  | Introduction of TCE ID for logged MDT   | 10.2.0 |
|         | RP-52 | RP-110670 | 0674 | 4 |  | Miscellaneous corrections (related to review in preparation for ASN.1 freeze)             | 10.2.0 |
|         | RP-52 | RP-110843 | 0675 | - |  | PLMN check for MDT logging  | 10.2.0 |
|         | RP-52 | RP-110839 | 0677 | - |  | UE actions upon leaving RRC_CONNECTED   | 10.2.0 |
|         | RP-52 | RP-110847 | 0678 | - |  | Clarification on bandEUTRA-r10 and supportedBandListEUTRA                                 | 10.2.0 |
|         | RP-52 | RP-110837 | 0679 | - |  | Updated value range for the Extended Wait Timer   | 10.2.0 |
|         | RP-52 | RP-110839 | 0680 | 1 |  | Value range of DRX-InactivityTimer  | 10.2.0 |
|         | RP-52 | RP-110828 | 0693 | 1 |  | Correction for SR-VCC and QCI usage   | 10.2.0 |
|         | RP-52 | RP-110847 | 0694 | - |  | Restructuring of CQI-ReportConfig-r10   | 10.2.0 |
|         | RP-52 | RP-110839 | 0695 | 2 |  | Correction on DL allocations in MBSFN subframes   | 10.2.0 |
|         | RP-52 | RP-110850 | 0700 | - |  | Reference SFN for MeasSubframePattern   | 10.2.0 |
|         | RP-52 | RP-110846 | 0701 | - |  | Clarifications to CA related field descriptions   | 10.2.0 |
|         | RP-52 | RP-110847 | 0702 | - |  | Corrections to codebookSubsetRestriction and SRS parameters                               | 10.2.0 |
|         | RP-52 | RP-110834 | 0704 | - |  | Corrections to the handling of ri-ConfigIndex for TM9                                     | 10.2.0 |
|         | RP-52 | RP-110715 | 0710 | 2 |  | UE capabilities for Rel-10 LTE features with eCIC measurement restrictions as FGI (Alt.1) | 10.2.0 |
|         | RP-52 | RP-110839 | 0713 | - |  | CR to 36.331 on redirected ultra-TDD carrier frequency                                    | 10.2.0 |
|         | RP-52 | RP-110839 | 0714 | - |  | Explicit AS signalling for mapped PTMSI/GUTI  | 10.2.0 |
|         | RP-52 | RP-110847 | 0718 | - |  | Counter proposal for Updates of mandatory information in AS-Config                        | 10.2.0 |
|         | RP-52 | RP-110839 | 0719 | - |  | CR for Reconfiguration of discardTimer in PDCP-Config                                     | 10.2.0 |
|         | RP-52 | RP-110847 | 0723 | - |  | On the missing multiplicity of UE capability parameters                                   | 10.2.0 |
|         | RP-52 | RP-110830 | 0735 | - |  | Radio frame alignment of CSA and MSP  | 10.2.0 |
|         | RP-52 | RP-110847 | 0740 | - |  | Reconfiguration involving critically extended IEs (using fullFieldConfig i.e. option 2)   | 10.2.0 |
|         | RP-52 | RP-110839 | 0744 | - |  | Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell   | 10.2.0 |
|         | RP-52 | RP-110839 | 0746 | 1 |  | Increase of prioritisedBitRate  | 10.2.0 |
|         | RP-52 | RP-110847 | 0747 | - |  | CA and MIMO Capabilities in LTE Rel-10  | 10.2.0 |
| 09/2011 | RP-53 | RP-111297 | 0752 | - |  | TS36.331 Correction   | 10.3.0 |
|         | RP-53 | RP-111297 | 0754 | - |  | maxNumberROHC-ContextSessions when no ROHC profile is supported                           | 10.3.0 |
|         | RP-53 | RP-111280 | 0757 | - |  | Correction to Subframe Allocation End in PMCH-Info  | 10.3.0 |
|         | RP-53 | RP-111288 | 0761 | - |  | Correction on PUCCH configuration for Un interface  | 10.3.0 |
|         | RP-53 | RP-111297 | 0762 | - |  | Miscellaneous corrections to 36.331   | 10.3.0 |
|         | RP-53 | RP-111278 | 0764 | 2 |  | 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing       | 10.3.0 |
|         | RP-53 | RP-111283 | 0770 | 2 |  | AdditionalSpectrumEmissions in CA   | 10.3.0 |
|         | RP-53 | RP-111297 | 0773 | - |  | CR to 36.331 on Small correction of PHR parameter   | 10.3.0 |
|         | RP-53 | RP-111283 | 0775 | 2 |  | Clarifications to P-max on CA   | 10.3.0 |
|         | RP-53 | RP-111280 | 0784 | - |  | Clarification on for which subframes signalling MCS applies                               | 10.3.0 |
|         | RP-53 | RP-111283 | 0792 | - |  | Corrections in RRC  | 10.3.0 |
|         | RP-53 | RP-111297 | 0793 | - |  | Replace the tables with exception list in 10.5 AS-Config                                  | 10.3.0 |
|         | RP-53 | RP-111297 | 0796 | - |  | Corrections to the field descriptions   | 10.3.0 |
|         | RP-53 | RP-111283 | 0798 | - |  | Configuration of simultaneous PUCCH&PUSCH   | 10.3.0 |
|         | RP-53 | RP-111297 | 0806 | - |  | Corrections to release of csi-SubframePatternConfig and cqi-Mask                          | 10.3.0 |

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|         | RP-53 | RP-111272 | 0810 | - |  | GERAN SI format for cell change order&PS handover& enhanced redirection to GERAN                  | 10.3.0 |
|         | RP-53 | RP-111283 | 0811 | - |  | Corrections to PUCCH-Config field descriptions  | 10.3.0 |
| 12/2011 | RP-54 | RP-111711 | 0812 | 1 |  | Clarification of PCI range for CSG cells  | 10.4.0 |
|         | RP-54 | RP-111716 | 0813 | - |  | Clarifications to Default Radio Configurations  | 10.4.0 |
|         | RP-54 | RP-111716 | 0814 | 1 |  | Corrections to enhancedDualLayerTDD   | 10.4.0 |
|         | RP-54 | RP-111716 | 0815 | - |  | Miscellaneous small corrections   | 10.4.0 |
|         | RP-54 | RP-111716 | 0816 | 1 |  | Correction on notation of SRS transmission comb   | 10.4.0 |
|         | RP-54 | RP-111706 | 0823 | 1 |  | 36.331 CR SPS reconfiguration   | 10.4.0 |
|         | RP-54 | RP-111716 | 0827 | 2 |  | Clarification of list sizes in measurement configuration stored by UE                             | 10.4.0 |
|         | RP-54 | RP-111706 | 0835 | - |  | Clarification of the event B1 and ANR related FGI bits  | 10.4.0 |
|         | RP-54 | RP-111714 | 0840 | 1 |  | Clarification on MBSFN and measurement resource restrictions                                      | 10.4.0 |
|         | RP-54 | RP-111706 | 0845 | - |  | Clarification on parallel message transmission upon connection re-establishment                   | 10.4.0 |
| 03/2012 | RP-55 | RP-120326 | 0855 | 1 |  | Limiting MBMS counting responses to within the PLMN   | 10.5.0 |
|         | RP-55 | RP-120321 | 0857 | - |  | CR to 36.331 on cdma2000 band classes and references  | 10.5.0 |
|         | RP-55 | RP-120326 | 0862 | 1 |  | Clarification on MBSFN and measurement resource restrictions                                      | 10.5.0 |
|         | RP-55 | RP-120325 | 0871 | - |  | On SIB10/11 Reception Timing  | 10.5.0 |
|         | RP-55 | RP-120326 | 0875 | 1 |  | Clarification on MBMS counting for uncipherable services  | 10.5.0 |
|         | RP-55 | RP-120325 | 0876 | - |  | Minor correction regarding limited service access on non-CSG-member cell                          | 10.5.0 |
|         | RP-55 | RP-120326 | 0894 | - |  | Time to keep RLF Reporting logs   | 10.5.0 |
|         | RP-55 | RP-120356 | 0895 | 1 |  | Introducing means to signal different FDD/TDD Capabilities/FGIs for Dual-xDD UE                   | 10.5.0 |
|         | RP-55 | RP-120321 | 0899 | - |  | Clarification on SRB2 resumption upon connection re-establishment (parallel message transmission) | 10.5.0 |
|         | RP-55 | RP-120321 | 0900 | 1 |  | Duplicated ASN.1 naming correction  | 10.5.0 |
| 06/2012 | RP-56 | RP-120805 | 0909 | - |  | SPS Reconfiguration   | 10.6.0 |
|         | RP-56 | RP-120805 | 0912 | 1 |  | Change in Scheduling Information for ETWS   | 10.6.0 |
|         | RP-56 | RP-120807 | 0914 | - |  | Clarification of mch-SchedulingPeriod configuration   | 10.6.0 |
|         | RP-56 | RP-120808 | 0916 | 1 |  | Change in Scheduling Information for CMAS   | 10.6.0 |
|         | RP-56 | RP-120814 | 0919 | 1 |  | Introducing means to signal different REL-10 FDD/TDD Capabilities/FGIs for Dual-xDD UE            | 10.6.0 |
|         | RP-56 | RP-120812 | 0920 | 1 |  | Clarification on setting of dedicated NS value for CA by E-UTRAN                                  | 10.6.0 |
|         | RP-56 | RP-120808 | 0931 | - |  | T321 value for UTRA SI acquisition  | 10.6.0 |
|         | RP-56 | RP-120813 | 0957 | 1 |  | Korean Public Alert System (KPAS) in relation to CMAS   | 10.6.0 |
|         | RP-56 | RP-120812 | 0969 | 1 |  | Introduction of supported bandwidth combinations for CA   | 10.6.0 |
|         | RP-56 | RP-120734 | 0970 | 1 |  | Introduction of multiple frequency band indicator   | 10.6.0 |
|         | RP-56 | RP-120825 | 0934 | - |  | Introduction of a new security algorithm ZUC  | 11.0.0 |
|         | RP-56 | RP-120813 | 0973 | 1 |  | EU-Alert in relation to CMAS  | 11.0.0 |
| 09/2012 | RP-57 | RP-121371 | 0982 | 1 |  | Introduction of EAB   | 11.1.0 |
|         | RP-57 | RP-121381 | 0990 | - |  | Additional special subframe configuration related correction                                      | 11.1.0 |
|         | RP-57 | RP-121423 | 1000 | 4 |  | 36.331 CR introducing In-Device Coexistence (IDC)   | 11.1.0 |
|         | RP-57 | RP-121359 | 1008 | - |  | Voice support Capabilities  | 11.1.0 |
|         | RP-57 | RP-121361 | 1013 | - |  | Differentiating UTRAN modes in FGIs   | 11.1.0 |
|         | RP-57 | RP-121368 | 1022 | 1 |  | Introduction of absolute priority based measurements and reselection in CELL_FACH State in 36.331 | 11.1.0 |
|         | RP-57 | RP-121370 | 1024 | - |  | Introducing MDT enhancements for REL-11   | 11.1.0 |
|         | RP-57 | RP-121349 | 1025 | 2 |  | Introducing Carrier aggregation enhancements for REL-11   | 11.1.0 |
|         | RP-57 | RP-121375 | 1026 | - |  | Introducing MBMS enhancements for REL-11  | 11.1.0 |
|         | RP-57 | RP-121376 | 1052 | 2 |  | Signaling support for CRS interference management in eICIC  | 11.1.0 |
|         | RP-57 | RP-121395 | 1055 | - |  | CR on scell measurement cycle   | 11.1.0 |
|         | RP-57 | RP-121395 | 1056 | - |  | CR on measurement report  | 11.1.0 |
|         | RP-57 | RP-121378 | 1057 | 3 |  | Introduction of 'Power preference indication'   | 11.1.0 |
|         | RP-57 | RP-121280 | 1060 | - |  | Correction for PUCCH/SRS Release  | 11.1.0 |
| 12/2012 | RP-58 | RP-121933 | 1063 | - |  | Correction related to differentiating UTRAN modes in FGIs   | 11.2.0 |
|         | RP-58 | RP-121936 | 1065 | - |  | Processing delay for RRCConnectionReconfiguration   | 11.2.0 |
|         | RP-58 | RP-121953 | 1066 | 2 |  | Addition of the stage-3 agreements on IDC   | 11.2.0 |
|         | RP-58 | RP-121951 | 1067 | 3 |  | Carrier Aggregation Enhancement RAN1 parameters   | 11.2.0 |
|         | RP-58 | RP-121957 | 1068 | 1 |  | Clarification of SR period  | 11.2.0 |
|         | RP-58 | RP-121957 | 1069 | 1 |  | Clarification on HandoverCommand message  | 11.2.0 |
|         | RP-58 | RP-121957 | 1070 | - |  | Clarification on mobility related issues  | 11.2.0 |
|         | RP-58 | RP-121946 | 1071 | 1 |  | Correction of the signaling for Uncertainty and Confidence  | 11.2.0 |
|         | RP-58 | RP-121940 | 1072 | 2 |  | Corrections to MBMS Service Continuity  | 11.2.0 |
|         | RP-58 | RP-121940 | 1073 | - |  | CR to 36.331 on SIB15 acquisition   | 11.2.0 |
|         | RP-58 | RP-121957 | 1074 | 1 |  | Handling of 1xCsFB failure  | 11.2.0 |
|         | RP-58 | RP-121957 | 1075 | - |  | Miscellaneous corrections   | 11.2.0 |
|         | RP-58 | RP-121958 | 1076 | 1 |  | RAN overload control using RRC connection Rejection   | 11.2.0 |
|         | RP-58 | RP-121954 | 1077 | - |  | RRC support for CoMP in UL  | 11.2.0 |
|         | RP-58 | RP-121951 | 1078 | - |  | Some clarification to Carrier aggregation enhancements  | 11.2.0 |
|         | RP-58 | RP-121939 | 1079 | 1 |  | Validity of EAB SIB and acquisition of SIB1   | 11.2.0 |
|         | RP-58 | RP-121922 | 1085 | - |  | Clarification for Multiple Frequency Band Indicators feature                                      | 11.2.0 |
|         | RP-58 | RP-121924 | 1089 | 1 |  | Moving the TM5 capability   | 11.2.0 |



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|         | RP-58 | RP-121959 | 1093 | 1 |  | CR to 36.331 on introducing ROHC context continue for intra-ENB handover             | 11.2.0 |
|         | RP-58 | RP-121946 | 1100 | - |  | Correction on MDT multi-PLMN support   | 11.2.0 |
|         | RP-58 | RP-121953 | 1102 | - |  | Clarification and alignment of handling of other configuration                       | 11.2.0 |
|         | RP-58 | RP-121970 | 1103 | 6 |  | Introducing support for Coordinated Multi-Point (CoMP) operation                     | 11.2.0 |
|         | RP-58 | RP-121922 | 1105 | 2 |  | Introducing further UE aspects regarding multi band cells                            | 11.2.0 |
|         | RP-58 | RP-121947 | 1120 | - |  | CR to 36.331 on additional information in RLF report for inter-RAT MRO               | 11.2.0 |
|         | RP-58 | RP-121952 | 1125 | 1 |  | Correction on Power preference indication  | 11.2.0 |
|         | RP-58 | RP-121950 | 1127 | 1 |  | SIB1 provisioning via dedicated signalling   | 11.2.0 |
|         | RP-58 | RP-121936 | 1128 | 2 |  | Measurement reporting of Scells  | 11.2.0 |
|         | RP-58 | RP-121956 | 1129 | 1 |  | Introduction of EPDCCH parameters in TS 36.331                                       | 11.2.0 |
|         | RP-58 | RP-121961 | 1130 | 2 |  | Introduction of Rel-11 UE capabilities   | 11.2.0 |
|         | RP-58 | RP-121958 | 1131 | - |  | Introduction of wideband RSRQ measurements   | 11.2.0 |
|         | RP-58 | RP-121958 | 1146 | - |  | Introduction of network sharing for CDMA2000 inter-working                           | 11.2.0 |
|         | RP-58 | RP-121960 | 1157 | - |  | Broadcast of Time Info by Using a New SIB  | 11.2.0 |
|         | RP-58 | RP-121957 | 1175 | - |  | GERAN measurement object at ANR  | 11.2.0 |
| 03/2013 | RP-59 | RP-130246 | 1182 | 2 |  | Miscellaneous corrections from review preceeding ASN.1 freeze                        | 11.3.0 |
|         | RP-59 | RP-130243 | 1186 | 2 |  | DL COMP capability related correction  | 11.3.0 |
|         | RP-59 | RP-130231 | 1193 | 1 |  | Mandatory supporting of B1 measurement to UMTS FDD (FGI bit 15)                      | 11.3.0 |
|         | RP-59 | RP-130241 | 1197 | - |  | Clarification on MBMS Service Continuity   | 11.3.0 |
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|         | RP-59 | RP-130247 | 1210 | - |  | Corrections on definition of CSG member cell   | 11.3.0 |
|         | RP-59 | RP-130237 | 1211 | - |  | Extension of FBI and EARFCN  | 11.3.0 |
|         | RP-59 | RP-130228 | 1220 | - |  | Invalidation of ETWS with security feature   | 11.3.0 |
|         | RP-59 | RP-130225 | 1224 | - |  | Invalid measurement configuration with different (E)ARFCN                            | 11.3.0 |
|         | RP-59 | RP-130241 | 1231 | 2 |  | PPI and IDC indication upon handover   | 11.3.0 |
|         | RP-59 | RP-130227 | 1235 | 1 |  | Correcting further UE aspects regarding multi band cells                             | 11.3.0 |
|         | RP-59 | RP-130248 | 1236 | 1 |  | Behaviour in case of excessive dedicated priority information                        | 11.3.0 |
|         | RP-59 | RP-130225 | 1241 | - |  | Clarification on EARFCN signalling in Mobility control info                          | 11.3.0 |
|         | RP-59 | RP-130241 | 1244 | - |  | IDC-SubframePattern length for FDD   | 11.3.0 |
|         | RP-59 | RP-130249 | 1252 | - |  | Introduction of wideband RSRQ measurements in RRC_IDLE                               | 11.3.0 |
|         | RP-59 | RP-130240 | 1255 | - |  | Optional support of RLF report for inter-RAT MRO                                     | 11.3.0 |
|         | RP-59 | RP-130233 | 1258 | 2 |  | The presence of bandcombination for non-CA capable UEs                               | 11.3.0 |
|         | RP-59 | RP-130248 | 1259 | - |  | Correction for event A5  | 11.3.0 |
|         | RP-59 | RP-130332 | 1265 | - |  | Mandating the settings of FGI bit 14, 27 and 28 to true                              | 11.3.0 |
| 06/2013 | RP-60 | RP-130805 | 1267 | - |  | Clarification on the redirection to UTRA-TDD frequency in case of CSFB High Priority | 11.4.0 |
|         | RP-60 | RP-130804 | 1269 | 1 |  | Correction of wrong reference  | 11.4.0 |
|         | RP-60 | RP-130809 | 1270 | - |  | Clarification to support of deprioritisation feature                                 | 11.4.0 |
|         | RP-60 | RP-130809 | 1271 | - |  | Clarification on KASME key usage   | 11.4.0 |
|         | RP-60 | RP-130808 | 1272 | - |  | Correction on multi-TA capability  | 11.4.0 |
|         | RP-60 | RP-130808 | 1273 | - |  | MBMS interest indication upon handover/ re-establishment                             | 11.4.0 |
|         | RP-60 | RP-130808 | 1274 | - |  | Conditions RI reference inheriting CSI process (DL CoMP)                             | 11.4.0 |
|         | RP-60 | RP-130808 | 1275 | - |  | Clarification on NZP CSI-RS resource configuration for UE supporting 1 CSI process   | 11.4.0 |
|         | RP-60 | RP-130808 | 1276 | - |  | Corrections to field description of pdsch-Start-r11                                  | 11.4.0 |
|         | RP-60 | RP-130809 | 1277 | - |  | Need code corrections in Rel-11 RRC  | 11.4.0 |
|         | RP-60 | RP-130808 | 1278 | - |  | Miscellaneous small corrections  | 11.4.0 |
|         | RP-60 | RP-130809 | 1279 | 1 |  | FDD/TDD diff column correction for FGI31   | 11.4.0 |
|         | RP-60 | RP-130804 | 1282 | - |  | measCycleSCell upon SCell configuration  | 11.4.0 |
|         | RP-60 | RP-130809 | 1294 | - |  | Clarification on RRC Connection Reconfiguration with Critical Extension              | 11.4.0 |
|         | RP-60 | RP-130802 | 1298 | - |  | Security key generation in case of MFBI  | 11.4.0 |
|         | RP-60 | RP-130804 | 1303 | 1 |  | Clarification on inclusion of non-CA band combinations                               | 11.4.0 |
|         | RP-60 | RP-130809 | 1308 | - |  | CR on ROHC parameter configuration in Rel-11 RRC                                     | 11.4.0 |
|         | RP-60 | RP-130804 | 1315 | 2 |  | Clarification on UE CA capability  | 11.4.0 |
|         | RP-60 | RP-130809 | 1321 | - |  | Updating 3GPP2 specification references  | 11.4.0 |
|         | RP-60 | RP-130805 | 1323 | - |  | Clarification on the configuration of the extended PHR                               | 11.4.0 |
|         | RP-60 | RP-130805 | 1324 | - |  | Clarifications on SystemTimeInfoCDMA2000 IE  | 11.4.0 |
|         | RP-60 | RP-130808 | 1325 | - |  | MFBI impact on MBMS service continuity   | 11.4.0 |
|         | RP-60 | RP-130819 | 1329 | 1 |  | MFBI aspects for dedicated signalling  | 11.4.0 |
| 09/2013 | RP-61 | RP-131311 | 1335 | - |  | Clarification on PhysCellIdRange   | 11.5.0 |
|         | RP-61 | RP-131311 | 1339 | 1 |  | Correction on the first subframe of the measurement gap                              | 11.5.0 |
|         | RP-61 | RP-131319 | 1340 | 1 |  | Correction for MFBI in SIB15 and SIB6  | 11.5.0 |
|         | RP-61 | RP-131319 | 1343 | - |  | Clarification of MFBI impact on MBMS service continuity                              | 11.5.0 |
|         | RP-61 | RP-131238 | 1344 | 2 |  | Clarification of UE action for otherwise in conditions                               | 11.5.0 |
|         | RP-61 | RP-131311 | 1348 | - |  | Corrections to the 3GPP2 specification references in 36.331                          | 11.5.0 |
|         | RP-61 | RP-131318 | 1353 | - |  | Clarifications regarding the usage of "rlf-Cause" in case of handover failure        | 11.5.0 |
| 12/2013 | RP-62 | RP-131986 | 1366 | - |  | Introduction of capability bit for UTRA MFBI   | 11.6.0 |
|         | RP-62 | RP-131984 | 1368 | 1 |  | Addition of inter-frequency RSTD measurement capability indicator for OTDOA          | 11.6.0 |
|         | RP-62 | RP-131989 | 1370 | - |  | Clarification on supportedBand   | 11.6.0 |
|         | RP-62 | RP-132003 | 1371 | - |  | Capturing mandatory/optional agreements on Rel-11 UE features                        | 11.6.0 |
|         | RP-62 | RP-131995 | 1372 | - |  | Clarification on otherwise behaviour   | 11.6.0 |

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|         | RP-62 | RP-131995 | 1373 | - |  | Corrections of the 3GPP2 references in TS 36.331   | 11.6.0 |
|         | RP-62 | RP-131991 | 1374 | - |  | measResultLastServCell for SON-HOF report  | 11.6.0 |
|         | RP-62 | RP-131729 | 1375 | 1 |  | Clarification to timeInfoUTC field in SIB16  | 11.6.0 |
|         | RP-62 | RP-131991 | 1389 | - |  | Clarification on eRedirection to UMTS TDD with multiple UMTS TDD frequencies                                   | 11.6.0 |
|         | RP-62 | RP-131995 | 1390 | - |  | Delta signalling for critical extension  | 11.6.0 |
|         | RP-62 | RP-132005 | 1391 | - |  | Capability signalling for CSI processes  | 11.6.0 |
|         | RP-62 | RP-131991 | 1395 | 1 |  | Clarifications on Measurement  | 11.6.0 |
|         | RP-62 | RP-131984 | 1397 | - |  | Correction to InterFreqRSTDMeasurementIndication field descriptions  | 11.6.0 |
|         | RP-62 | RP-131984 | 1404 | - |  | Correction of Inter-frequency RSTD indication for multiple frequencies   | 11.6.0 |
|         | RP-62 | RP-131993 | 1405 | 1 |  | Enabling SRVCC from GERAN without forwarding UE-EUTRA-Capability   | 11.6.0 |
|         | RP-62 | RP-131995 | 1409 | 1 |  | System information and change monitoring procedure   | 11.6.0 |
|         | RP-62 | RP-131991 | 1410 | 1 |  | Correction on presence of codebookSubsetRestriction-r10  | 11.6.0 |
|         | RP-62 | RP-131998 | 1376 | - |  | Introducing UE support for inbound mobility to a shared CSG cell   | 12.0.0 |
|         | RP-62 | RP-132002 | 1378 | 2 |  | Introduction of support of further DL MIMO enhancement   | 12.0.0 |
|         | RP-62 | RP-131988 | 1379 | - |  | CR for SSAC in CONNECTED   | 12.0.0 |
|         | RP-62 | RP-132002 | 1406 | - |  | Update of CMAS reference to E-UTRAN specific clauses in TS23.041   | 12.0.0 |
| 03/2014 | RP-63 | RP-140359 | 1424 | 1 |  | CR on introduction of Cell-specific time-to-trigger  | 12.1.0 |
|         | RP-63 | RP-140346 | 1435 | - |  | UE autonomous modification of cellsTriggered upon serving cell addition/release                                | 12.1.0 |
|         | RP-63 | RP-140359 | 1436 | 1 |  | Introduction of T312   | 12.1.0 |
|         | RP-63 | RP-140362 | 1439 | 1 |  | Introduction of UE-supported EARFCN list in handover preparation information for MFBI                          | 12.1.0 |
|         | RP-63 | RP-140352 | 1442 | - |  | Correction of Connection Establishment Failure Report  | 12.1.0 |
|         | RP-63 | RP-140356 | 1450 | 1 |  | Clarification on the presence of TDD special subframe  | 12.1.0 |
|         | RP-63 | RP-140359 | 1453 | - |  | Introduction of UE mobility history reporting (option 2)   | 12.1.0 |
|         | RP-63 | RP-140340 | 1455 | 1 |  | Clarification regarding need codes, conditions and ASN.1 defaults for extension fields                         | 12.1.0 |
|         | RP-63 | RP-140340 | 1456 | - |  | ASN.1 issue with inter-node signalling (AS-Config)   | 12.1.0 |
|         | RP-63 | RP-140357 | 1457 | 1 |  | Clarification for the SIB occurrence in a single SI message  | 12.1.0 |
|         | RP-63 | RP-140364 | 1462 | - |  | New UE categories for DL 450Mbps class   | 12.1.0 |
|         | RP-63 | RP-140354 | 1463 | - |  | IoT indication for inter-band TDD CA with different UL/DL configuration  | 12.1.0 |
| 06/2014 | RP-64 | RP-140869 | 1471 | - |  | Removal of comment line from EUTRA-UE-Variables imports  | 12.2.0 |
|         | RP-64 | RP-140871 | 1475 | - |  | Correction on measObjectList in VarMeasConfig  | 12.2.0 |
|         | RP-64 | RP-140879 | 1477 | - |  | Minor correction inbound mobility to shared CSG cell   | 12.2.0 |
|         | RP-64 | RP-140873 | 1478 | - |  | Clarificaton on precedence of SCell SI provided dedicately   | 12.2.0 |
|         | RP-64 | RP-140887 | 1479 | - |  | Support of the enhancement for TTI bundling for FDD  | 12.2.0 |
|         | RP-64 | RP-140885 | 1490 | - |  | Corrections on timer T312  | 12.2.0 |
|         | RP-64 | RP-140885 | 1486 | - |  | Correction to the description of physCellIdRange in MeasObjectEUTRA  | 12.2.0 |
|         | RP-64 | RP-140885 | 1506 | - |  | Corrections to UE mobility history information   | 12.2.0 |
|         | RP-64 | RP-140873 | 1489 | - |  | ACK/NACK feedback mode on PUSCH  | 12.2.0 |
|         | RP-64 | RP-140878 | 1556 | - |  | SIB15 enhancement for service availability information   | 12.2.0 |
|         | RP-64 | RP-140888 | 1557 | - |  | Introduction of FDD/TDD CA UE capability   | 12.2.0 |
|         | RP-64 | RP-140871 | 1545 | - |  | Clarification of E-UTRA MFBI signalling  | 12.2.0 |
|         | RP-64 | RP-140892 | 1520 | 1 |  | Extended RLC LI field  | 12.2.0 |
|         | RP-64 | RP-140873 | 1517 | 1 |  | Network-requested CA Band Combination Capability Signalling  | 12.2.0 |
|         | RP-64 | RP-140873 | 1554 | 1 |  | Allowing TDD/FDD split for FGI111 and FGI112   | 12.2.0 |
|         | RP-64 | RP-140871 | 1551 | 1 |  | Inter-RAT ANR capability signalling in FGI33 when UE supports UTRA TDD only                                    | 12.2.0 |
|         | RP-64 | RP-140884 | 1495 | 1 |  | Introduction of TDD eIMTA  | 12.2.0 |
|         | RP-64 | RP-140885 | 1499 | 1 |  | Minor Corrections to T312  | 12.2.0 |
|         | RP-64 | RP-140892 | 1510 | 1 |  | Introduction of RRC Connection Establishment failure temporary Qoffset handling                                | 12.2.0 |
|         | RP-64 | RP-140849 | 1555 | 2 |  | Introduction of UE capability for eMBMS reception on SCell and Non-Serving Cell                                | 12.2.0 |
| 09/2014 | RP-65 | RP-141494 | 1632 | - |  | FDD&TDD split for CA   | 12.3.0 |
|         | RP-65 | RP-141505 | 1599 | - |  | UE capabilities for Hetnet mobility in TS 36.331   | 12.3.0 |
|         | RP-65 | RP-141499 | 1584 | - |  | Introduction of UE eIMTA capabilities  | 12.3.0 |
|         | RP-65 | RP-141511 | 1567 | - |  | Corrections to extended RLC LI field   | 12.3.0 |
|         | RP-65 | RP-141511 | 1603 | - |  | TAI reporting of last serving cell   | 12.3.0 |
|         | RP-65 | RP-141498 | 1630 | 1 |  | Correction to Network-requested CA Band Combination Capability Signalling                                      | 12.3.0 |
|         | RP-65 | RP-141496 | 1577 | 1 |  | Clarification on double indication of SAI in SIB15   | 12.3.0 |
|         | RP-65 | RP-141496 | 1597 | - |  | Clarification on MBMSCountingResponse  | 12.3.0 |
|         | RP-65 | RP-141496 | 1623 | - |  | Clarification on the setting of SupportedBandCombination-v1130   | 12.3.0 |
|         | RP-65 | RP-141489 | 1574 | 1 |  | Correction of E-UTRAN UE capabilities description in HandoverPreparationInformation message field descriptions | 12.3.0 |
|         | RP-65 | RP-141507 | 1570 | - |  | Introducing MBSFN measurement by extension of logged measurements  | 12.3.0 |
|         | RP-65 | RP-141510 | 1572 | 1 |  | Introduction of ACB skip for MMTEL voice/video and SMS   | 12.3.0 |
|         | RP-65 | RP-141496 | 1615 | 1 |  | Clarification on determining MBMS frequencies of interest in MBMSInterestIndication                            | 12.3.0 |
|         | RP-65 | RP-141506 | 1579 | 1 |  | Introduction of signaling support for low complexity UEs   | 12.3.0 |
|         | RP-65 | RP-141499 | 1601 | 1 |  | Rel-12 ASN.1 correction  | 12.3.0 |
|         | RP-65 | RP-141511 | 1560 | 1 |  | Introduction of shorter MCH scheduling period  | 12.3.0 |

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|         | RP-65 | RP-141493 | 1611 | - |  | Clarification for time-domain resource restriction pattern applicable to neighbour cell RSRQ measurements                  | 12.3.0 |
|         | RP-65 | RP-141511 | 1559 | 2 |  | Correction to stop condition for "Chiba offset"  | 12.3.0 |
|         | RP-65 | RP-141115 | 1636 | - |  | Mandating the FGI bit 31 to true   | 12.3.0 |
|         | RP-65 | RP-141618 | 1566 | 2 |  | Connected mode procedures and RRC signaling of WLAN/3GPP Radio Interworking for LTE  | 12.3.0 |
| 12/2014 | RP-66 | RP-142122 | 1643 | - |  | Clarification on WLAN interworking   | 12.4.0 |
|         | RP-66 | RP-142122 | 1644 | - |  | Correction on handling of dedicated parameters during re-establishment   | 12.4.0 |
|         | RP-66 | RP-142122 | 1645 | - |  | Corrections to WLAN/3GPP Radio Interworking for LTE  | 12.4.0 |
|         | RP-66 | RP-142122 | 1646 | - |  | Reduction of possible values for WLAN backhaul rate thresholds in LTE  | 12.4.0 |
|         | RP-66 | RP-142140 | 1648 | - |  | PDCP SN size change during HO for RLC-UM mode bearers  | 12.4.0 |
|         | RP-66 | RP-142124 | 1651 | - |  | Support of TTI bundling without resource allocation restriction for LTE coverage enhancements for Rel-12                   | 12.4.0 |
|         | RP-66 | RP-142123 | 1652 | - |  | Corrections to eMTC capabilities   | 12.4.0 |
|         | RP-66 | RP-142140 | 1653 | - |  | ACB, ACB-skip, CSFB and SSAC signalling per PLMN   | 12.4.0 |
|         | RP-66 | RP-142122 | 1642 | 1 |  | Minor corrections regarding WLAN interworking  | 12.4.0 |
|         | RP-66 | RP-142115 | 1659 | - |  | Correction of remaining TBD for Rel-10 FGIs  | 12.4.0 |
|         | RP-66 | RP-142117 | 1663 | - |  | New UE categories for DL 600Mbps   | 12.4.0 |
|         | RP-66 | RP-142135 | 1687 | - |  | Introduction of Dual Connectivity  | 12.4.0 |
|         | RP-66 | RP-142140 | 1697 | 1 |  | Prohibit timer for SR  | 12.4.0 |
|         | RP-66 | RP-142133 | 1666 | - |  | Support of 256QAM in TS 36.331 (per band 256QAM capability report)   | 12.4.0 |
|         | RP-66 | RP-142128 | 1690 | 1 |  | Introduction of increased number of frequencies to monitor   | 12.4.0 |
|         | RP-66 | RP-142140 | 1696 | 2 |  | Introduction of extended RSRQ value range and new RSRQ definition  | 12.4.0 |
|         | RP-66 | RP-142115 | 1650 | 1 |  | Introduction of signalling for serving cell interruptions  | 12.4.0 |
|         | RP-66 | RP-142123 | 1655 | - |  | Correction for p0-Persistent-SubframeSet2 Handling   | 12.4.0 |
|         | RP-66 | RP-142134 | 1681 | - |  | Introduction of missing Rel-12 UE capabilities   | 12.4.0 |
|         | RP-66 | RP-142140 | 1647 | 1 |  | Extended RLC LI field correction   | 12.4.0 |
|         | RP-66 | RP-142140 | 1656 | - |  | Outstanding Need OP for non-critical extension removal   | 12.4.0 |
|         | RP-66 | RP-142140 | 1669 | 2 |  | Clarification on statusReportRequired handling   | 12.4.0 |
|         | RP-66 | RP-142131 | 1698 | 2 |  | MCH BLER and RSRQ update for MBSFN MDT   | 12.4.0 |
|         | RP-66 | RP-142130 | 1699 | 1 |  | Optionality support of UE mandatory features for Category 0 UEs  | 12.4.0 |
|         | RP-66 | RP-142123 | 1661 | - |  | Further Clarifications on eMTC and eCIC  | 12.4.0 |
|         | RP-66 | RP-142113 | 1686 | 1 |  | UE capability for modified MPR behavior  | 12.4.0 |
|         | RP-66 | RP-142132 | 1664 | 1 |  | Support of Discovery Signals measurement in TS 36.331  | 12.4.0 |
|         | RP-66 | RP-142139 | 1670 | 2 |  | RRC Parameters for NAICS   | 12.4.0 |
|         | RP-66 | RP-141979 | 1700 | - |  | UE capability signaling for WLAN/3GPP radio interworking   | 12.4.0 |
|         | RP-66 | -         | -    | - |  | MCC editorial update   | 12.4.1 |
| 03/2015 | RP-67 | RP-150373 | 1737 | - |  | Clarification on the setting of measScaleFactor without reducedMeasPerformance   | 12.5.0 |
|         | RP-67 | RP-150371 | 1747 | - |  | Clarification on Measurement Configuration handling  | 12.5.0 |
|         | RP-67 | RP-150371 | 1765 | - |  | Clarification to usage of field deltaTxD-OffsetPUCCH-Format1bCS-r11 in dedicated uplink power control parameter signalling | 12.5.0 |
|         | RP-67 | RP-150370 | 1751 | - |  | Clarification on CSI measurement subframe set  | 12.5.0 |
|         | RP-67 | RP-150368 | 1795 | - |  | The absence of supportedMIMO-CapabilityUL-r10  | 12.5.0 |
|         | RP-67 | RP-150370 | 1798 | - |  | Presence of codebookSubsetRestriction  | 12.5.0 |
|         | RP-67 | RP-150377 | 1768 | 2 |  | Miscellaneous changes resulting from review for REL-12 ASN.1 freeze  | 12.5.0 |
|         | RP-67 | RP-150374 | 1770 | 2 |  | Introduction of ProSe  | 12.5.0 |
| 06/2015 | RP-68 | RP-150921 | 1800 | - |  | Correction field description of networkControlledSyncTx  | 12.6.0 |
|         | RP-68 | RP-150921 | 1801 | - |  | Clarification on SCG reconfiguration   | 12.6.0 |
|         | RP-68 | RP-150921 | 1802 | - |  | Correction for aperiodic CSI trigger   | 12.6.0 |
|         | RP-68 | RP-150920 | 1804 | - |  | Correction on handling of wlan-OffloadConfigDedicated upon leaving RRC_CONNECTED   | 12.6.0 |
|         | RP-68 | RP-150921 | 1805 | - |  | Reconfiguration of SPS   | 12.6.0 |
|         | RP-68 | RP-150916 | 1809 | - |  | CR on Aperiodic CSI Reporting for 1.4MHz cell  | 12.6.0 |
|         | RP-68 | RP-150921 | 1815 | - |  | Clarification on PDCP reconfiguration  | 12.6.0 |
|         | RP-68 | RP-150921 | 1816 | - |  | Correction to SCG change   | 12.6.0 |
|         | RP-68 | RP-150921 | 1817 | - |  | Minor corrections for PSCell configuration in DC   | 12.6.0 |
|         | RP-68 | RP-150921 | 1818 | - |  | CR on ROHC for split bearer  | 12.6.0 |
|         | RP-68 | RP-150921 | 1819 | - |  | Clarification on FDD/TDD differentiation of FGIs/capabilities in TDD-FDD CA  | 12.6.0 |
|         | RP-68 | RP-150921 | 1803 | 1 |  | Correction to SCG and split bearer configuration   | 12.6.0 |
|         | RP-68 | RP-150921 | 1810 | 1 |  | Clarifications on use of preconfigComm for direct communication  | 12.6.0 |
|         | RP-68 | RP-150921 | 1811 | 1 |  | Miscellaneous corrections (a.o. Sidelink)  | 12.6.0 |
|         | RP-68 | RP-150921 | 1813 | 1 |  | Conditions for establishing RRC Connection for sidelink transmission   | 12.6.0 |
|         | RP-68 | RP-150921 | 1814 | 1 |  | Correction on field description on SL-TF-ResourceConfig  | 12.6.0 |
|         | RP-68 | RP-150917 | 1806 | 1 |  | Mandatory present of supportedMIMO-CapabilityDL-r10  | 12.6.0 |
|         | RP-68 | RP-150923 | 1853 | - |  | Clarification on Cell barring for downlink only bands  | 12.6.0 |
|         | RP-68 | RP-150917 | 1852 | - |  | Clarification regarding no MBMS sessions ongoing   | 12.6.0 |
|         | RP-68 | RP-150917 | 1827 | 1 |  | Correction to additionalSpectrumEmission   | 12.6.0 |
|         | RP-68 | RP-150923 | 1820 | 4 |  | Clarification on extended RSRQ range support   | 12.6.0 |
|         | RP-68 | RP-150917 | 1838 | - |  | Restriction to CA capability signalling  | 12.6.0 |
|         | RP-68 | RP-150921 | 1823 | - |  | Clarification on PUCCH and SRS   | 12.6.0 |
|         | RP-68 | RP-150926 | 1849 | - |  | Introduction of new DL UE categories 15&16   | 12.6.0 |

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|         | RP-68 | RP-150923 | 1824 | - |  | Clean-up corrections to TS 36.331   | 12.6.0 |
|         | RP-68 | RP-150918 | 1846 | 1 |  | Correction to IDC signalling  | 12.6.0 |
|         | RP-68 | RP-150921 | 1822 | 1 |  | Change of LCID upon DC-specific DRB reconfiguration   | 12.6.0 |
|         | RP-68 | RP-150921 | 1832 | 1 |  | Correction to PHR format  | 12.6.0 |
|         | RP-68 | RP-150921 | 1842 | 1 |  | Correction on conditions for sidelink operation   | 12.6.0 |
|         | RP-68 | RP-150811 | 1834 | 2 |  | Correction on the SL-TF-IndexPair values for ProSe Direct Discovery                               | 12.6.0 |
| 09/2015 | RP-69 | RP-151443 | 1866 | - |  | Correction on UE band combination capability  | 12.7.0 |
|         | RP-69 | RP-151438 | 1869 | - |  | Correction on Restriction to CA capability signalling   | 12.7.0 |
|         | RP-69 | RP-151443 | 1884 | - |  | The support of UL64QAM  | 12.7.0 |
|         | RP-69 | RP-151442 | 1889 | - |  | Small corrections concerning RadioResourceConfig  | 12.7.0 |
|         | RP-69 | RP-151441 | 1900 | - |  | Sidelink discovery related corrections  | 12.7.0 |
|         | RP-69 | RP-151440 | 1905 | 2 |  | Clarification of Beacon RSSI Encoding   | 12.7.0 |
|         | RP-69 | RP-151439 | 1911 | 1 |  | CR for IDC signalling enhancement for UL CA   | 12.7.0 |
|         | RP-69 | RP-151440 | 1880 | 2 |  | Clarification on cell selection sequence upon leaving RRC_CONNECTED                               | 12.7.0 |
|         | RP-69 | RP-151438 | 1908 | - |  | Correction to additionalSpectrumEmission - Option 1   | 12.7.0 |
|         | RP-69 | RP-151439 | 1879 | 1 |  | Correction on the reference of EPDCCH   | 12.7.0 |
|         | RP-69 | RP-151441 | 1891 | 1 |  | Introducing general handling and guidelines concerning critical extensions within a release       | 12.7.0 |
|         | RP-69 | RP-151443 | 1909 | 1 |  | Applicability of longCodeState1XRTT for 1xRTT IRAT ANR  | 12.7.0 |
|         | RP-69 | RP-151441 | 1912 | 1 |  | Sidelink terminology alignment in TS 36.331   | 12.7.0 |
|         | RP-69 | RP-151442 | 1906 | 2 |  | Clarification for NAICS capability signalling   | 12.7.0 |
|         | RP-69 | RP-151467 | 1861 | 2 |  | Additional MIMO/CSI capability for intra-band contiguous CA                                       | 12.7.0 |
|         | RP-69 | RP-151466 | 1887 | 2 |  | Signalling for 4-layer MIMO with TM3 and TM4  | 12.7.0 |
|         | RP-69 | RP-151625 | 1914 | 2 |  | Allowing NAICS with TM10  | 12.7.0 |
| 12/2015 | RP-70 | RP-152053 | 1916 | - |  | Correction on SCG release   | 12.8.0 |
|         | RP-70 | RP-152053 | 1932 | - |  | Clarification to SCG RLF timers and constants reconfiguration                                     | 12.8.0 |
|         | RP-70 | RP-152053 | 1933 | - |  | Correction to triggerQuantityCSI-RS   | 12.8.0 |
|         | RP-70 | RP-152053 | 1946 | - |  | Correction to NAICS field descriptions  | 12.8.0 |
|         | RP-70 | RP-152055 | 1947 | - |  | Correction of need code definition terminology  | 12.8.0 |
|         | RP-70 | RP-152050 | 1964 | - |  | Clarification on FDD/TDD difference for UL CA IDC indication                                      | 12.8.0 |
|         | RP-70 | RP-152046 | 1975 | - |  | Correction to SystemTimeInfoCDMA2000 IE   | 12.8.0 |
|         | RP-70 | RP-152053 | 1928 | 1 |  | highPriorityAccess for MMTEL voice, MMTEL video and SMS   | 12.8.0 |
|         | RP-70 | RP-152053 | 1986 | 1 |  | Correction to the support of Mobility State reporting   | 12.8.0 |
|         | RP-70 | RP-152046 | 1971 | 1 |  | MaxLayerMIMO in HandoverPreparationInformation  | 12.8.0 |
|         | RP-70 | RP-152046 | 1987 | - |  | Correction to ASN.1 field names for 4-layer TM3/4   | 12.8.0 |
|         | RP-70 | RP-152053 | 1969 | 1 |  | Correction on measurement identity autonomous removal in dual connectivity                        | 12.8.0 |
|         | RP-70 | RP-152053 | 1979 | 1 |  | Clarification on tdd-FDD-CA-PCellDuplex   | 12.8.0 |
|         | RP-70 | RP-152049 | 1919 | 2 |  | Alternative new maximum transport block sizes for DL 64QAM and 256QAM in TM9/10                   | 12.8.0 |
|         | RP-70 | RP-152050 | 1934 | 1 |  | Some general RRC issues   | 12.8.0 |
|         | RP-70 | RP-152055 | 1965 | 1 |  | Correction on capability rsrq-OnAllSymbols  | 12.8.0 |
|         | RP-70 | RP-152056 | 1931 | 2 |  | Addition of establishment cause for mobile-originating VoLTE calls and network indication in SIB2 | 12.8.0 |
|         | RP-70 | RP-152048 | 1927 | 2 |  | CR to correct UE messages to be sent only after security activation                               | 12.8.0 |
|         | RP-70 | RP-152053 | 1973 | 3 |  | Clarification of MCG  | 12.8.0 |
|         | RP-70 | RP-152113 | 1923 | 4 |  | Enabling multiple NS and P-Max operation per cell   | 12.8.0 |
| 12/2015 | RP-70 | RP-152084 | 1917 | - |  | MCCH acquisition for 1.4MHz MBSFN   | 13.0.0 |
|         | RP-70 | RP-152084 | 1937 | - |  | Paging optimization   | 13.0.0 |
|         | RP-70 | RP-152084 | 1972 | - |  | White-list of cells for EUTRA measurement reporting   | 13.0.0 |
|         | RP-70 | RP-152074 | 1920 | 1 |  | Introduction of Dual Connectivity enhancements in Rel-13  | 13.0.0 |
|         | RP-70 | RP-152078 | 1983 | 2 |  | Introduction of Licensed-Assisted Access using LTE  | 13.0.0 |
|         | RP-70 | RP-152075 | 1952 | 1 |  | Extension of Frequency Priorities   | 13.0.0 |
|         | RP-70 | RP-152075 | 1949 | 1 |  | Introduction of RS-SINR measurements using non critical extension                                 | 13.0.0 |
|         | RP-70 | RP-152079 | 1961 | 2 |  | Introducing EBF FD MIMO parameters  | 13.0.0 |
|         | RP-70 | RP-152081 | 1935 | 1 |  | Removing SCG change restrictions regarding upon handover  | 13.0.0 |
|         | RP-70 | RP-152066 | 1984 | 1 |  | Introduction of Application specific Congestion control for Data Communication in LTE             | 13.0.0 |
|         | RP-70 | RP-152071 | 1872 | 6 |  | 36331 CR for capturing B5C and SCell on PUCCH   | 13.0.0 |
|         | RP-70 | RP-152073 | 1953 | 2 |  | Introducing CRS interference mitigation on SCell  | 13.0.0 |
|         | RP-70 | RP-152080 | 1939 | 3 |  | Introduction of SC-PTM  | 13.0.0 |
|         | RP-70 | RP-152082 | 1941 | 2 |  | Introduction of Rel-13 MDT enhancements   | 13.0.0 |
|         | RP-70 | RP-152075 | 1955 | 3 |  | Introduction of load redistribution in RRC_IDLE   | 13.0.0 |
|         | RP-70 | RP-152076 | 1988 | - |  | Introducing extended DRX  | 13.0.0 |
|         | RP-70 | RP-152084 | 1957 | 3 |  | Clarification on FGI bits setting for MCPTT   | 13.0.0 |
|         | RP-70 | RP-152072 | 1936 | 2 |  | Introducing eSL   | 13.0.0 |
| 03/2016 | RP-71 | RP-160454 | 2001 | 2 |  | eD2D changes resulting from review for ASN.1 freeze   | 13.1.0 |
|         | RP-71 | RP-160468 | 2002 | 2 |  | Miscellaneous changes resulting from review for ASN.1 freeze                                      | 13.1.0 |
|         | RP-71 | RP-160470 | 2005 | 1 |  | Corrections and missing agreement on the eCA  | 13.1.0 |
|         | RP-71 | RP-160470 | 2006 | 2 |  | corrections on RSSI measurement   | 13.1.0 |
|         | RP-71 | RP-160457 | 2008 | 3 |  | Introduction of LTE-WLAN Aggregation  | 13.1.0 |
|         | RP-71 | RP-160470 | 2010 | 2 |  | Corrections on SC-PTM   | 13.1.0 |
|         | RP-71 | RP-160470 | 2016 | - |  | Support of extended RLC AM SN for SCG   | 13.1.0 |

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|         | RP-71 | RP-160470 | 2017 | 1 | Miscellaneous corrections for SC-PTM  | 13.1.0 |
|         | RP-71 | RP-160519 | 2020 | 2 | EBF/FD-MIMO changes related to remaining issues   | 13.1.0 |
|         | RP-71 | RP-160467 | 2022 | 1 | Correction on the RRC signalling configuration for 4Tx MIMO   | 13.1.0 |
|         | RP-71 | RP-160462 | 2039 | 2 | Applicability of longCodeState1XRTT   | 13.1.0 |
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|         | RP-77 | RP-171915 | 3064 | 1 | F | Correction to contention free random access   | 14.4.0 |
|         | RP-77 | RP-171913 | 3065 | 2 | C | Introduction of Release Assistance Indication   | 14.4.0 |
|         | RP-77 | RP-171920 | 3067 | 2 | A | TM9 capabilities in CE mode   | 14.4.0 |
|         | RP-77 | RP-171915 | 3068 | 1 | F | Introduction of interference randomisation in NB-IoT  | 14.4.0 |
|         | RP-77 | RP-171919 | 3070 | - | A | Clarification on PUCCH SCell change   | 14.4.0 |
| 12/2017 | RP-78 | RP-172615 | 2968 | 5 | F | Cleaning up CQI and CSI-RS-related configurations (related to Rel-14 ASN.1 review issue N.099)                            | 14.5.0 |
|         | RP-78 | RP-172615 | 2982 | 8 | B | Introduction of the overheating indication  | 14.5.0 |
|         | RP-78 | RP-172616 | 3037 | 4 | F | Target cell optional PBCH repetition status indication  | 14.5.0 |
|         | RP-78 | RP-172624 | 3046 | 3 | A | Corrections on paging monitoring in RRC_CONNECTED in Rel-13 eMTC  | 14.5.0 |
|         | RP-78 | RP-172721 | 3071 | 3 | B | Introduction of DL 2Gbps Category   | 14.5.0 |
|         | RP-78 | RP-172617 | 3072 | 3 | F | Correction to Inter-frequency reception for V2X sidelink communication  | 14.5.0 |
|         | RP-78 | RP-172617 | 3073 | 4 | F | CR on SIB21 reading   | 14.5.0 |
|         | RP-78 | RP-172622 | 3081 | 2 | A | UE capabilities for Tx antenna selection  | 14.5.0 |
|         | RP-78 | RP-172617 | 3084 | 3 | F | Transmission of P2X sidelink communication in Exceptional Pool  | 14.5.0 |
|         | RP-78 | RP-172617 | 3085 | 2 | F | Correction on SubframeBitmap Configuration in Band 47   | 14.5.0 |
|         | RP-78 | RP-172616 | 3088 | 1 | F | Correction on SRS switching capabilities field description  | 14.5.0 |
|         | RP-78 | RP-172617 | 3090 | 2 | F | Clarification on Interference Randomisation in NB-IoT in 36.331   | 14.5.0 |
|         | RP-78 | RP-172616 | 3091 | 1 | F | MUST capability   | 14.5.0 |
|         | RP-78 | RP-172624 | 3096 | 4 | A | Corrections on field description of cellSelectionInfoCE for eMTC  | 14.5.0 |
|         | RP-78 | RP-172617 | 3107 | 2 | F | Correction to UE capabilities   | 14.5.0 |

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|         | RP-78 | RP-172623 | 3108 | 1 | A | Define requirement for reception of number of simultaneous SC-PTM services                     | 14.5.0 |
|         | RP-78 | RP-172616 | 3110 | 3 | B | Signaling of NCSG Support for Inter-F Measurement  | 14.5.0 |
|         | RP-78 | RP-172623 | 3112 | 2 | A | Clarification on csi-RS-ConfigNZPId  | 14.5.0 |
|         | RP-78 | RP-172617 | 3113 | 4 | F | Correction to UE-Capability-NB extension and provision for late rel-13 corrections             | 14.5.0 |
|         | RP-78 | RP-172624 | 3120 | 1 | F | Alignment of FGI4 (Short DRX) for Cat M1 and M2  | 14.5.0 |
|         | RP-78 | RP-172616 | 3127 | - | F | UE capability for support of SRS enhancements without support of comb 4                        | 14.5.0 |
|         | RP-78 | RP-172624 | 3129 | 1 | F | MBSFN subframes for target cell during handover to CE cell                                     | 14.5.0 |
|         | RP-78 | RP-172615 | 3132 | 3 | C | Reject of unprotected redirect to GERAN  | 14.5.0 |
|         | RP-78 | RP-172616 | 3135 | 2 | F | Correction to actions related to InterFreqRSTDMeasurementIndication message                    | 14.5.0 |
|         | RP-78 | RP-172616 | 3137 | 1 | F | Clarification on srs-UpPtsAdd in SRS coverage enhancement                                      | 14.5.0 |
|         | RP-78 | RP-172616 | 3138 | 1 | F | Scheduling information of SIB1-BR when skipping MIB during HO                                  | 14.5.0 |
|         | RP-78 | RP-172624 | 3140 | 1 | A | Introducing a definition for the term UE in CE   | 14.5.0 |
|         | RP-78 | RP-172617 | 3153 | 2 | F | NRS-CRS power offset configuration for NB-IoT  | 14.5.0 |
|         | RP-78 | RP-172617 | 3154 | 3 | C | Introduction of relaxed monitoring in NB-IoT   | 14.5.0 |
|         | RP-78 | RP-172617 | 3157 | 1 | F | Successful acknowledgement of RRCConnectionRelease   | 14.5.0 |
|         | RP-78 | RP-172624 | 3160 | 1 | A | TM6 capabilities in CE mode  | 14.5.0 |
|         | RP-78 | RP-172616 | 3169 | 1 | F | Correction on the field description of ce-PDSCH-TenProcesses                                   | 14.5.0 |
|         | RP-78 | RP-172617 | 3175 | 1 | F | Small corrections to CarrierConfigDedicated, T322 and t-reordering default configuration       | 14.5.0 |
|         | RP-78 | RP-172617 | 3176 | 1 | F | Correction to random access power control in 36.331  | 14.5.0 |
|         | RP-78 | RP-172616 | 3180 | 1 | B | Introduction of a new configuration for ssp10 with less CRS                                    | 14.5.0 |
|         | RP-78 | RP-172617 | 3184 | - | F | Correction on zone configuration in transmission pool selection                                | 14.5.0 |
|         | RP-78 | RP-172622 | 3190 | - | A | DCI monitoring subframes for eIMTA   | 14.5.0 |
|         | RP-78 | RP-172623 | 3194 | - | F | SFN desynchronization between eNB and eDRX UE  | 14.5.0 |
| 12/2017 | RP-78 | RP-172614 | 3115 | 3 | B | Introducing support for NR, changes relevant for NSA   | 15.0.0 |
| 01/2018 |       |           |      |   |   | Removed ASN.1 errors to make it pass the syntax check  | 15.0.1 |
| 03/2018 | RP-79 | RP-180491 | 3208 | 2 | F | Miscellaneous corrections from review in preparation for ASN.1 freeze                          | 15.1.0 |
|         | RP-79 | RP-180443 | 3217 | - | A | Correction on SRS carrier switching  | 15.1.0 |
|         | RP-79 | RP-180443 | 3222 | - | A | Correction to field description for HARQ-ACK delay for Rel-14 MTC                              | 15.1.0 |
|         | RP-79 | RP-180445 | 3224 | 1 | A | Correction to RRCConnectionReestablishment message in 36.331                                   | 15.1.0 |
|         | RP-79 | RP-180448 | 3245 | 2 | A | Introduction of LTE DL 1.4Gbps Category  | 15.1.0 |
|         | RP-79 | RP-180442 | 3256 | 1 | A | Correction to handling of p-Max procedure for high-power UEs                                   | 15.1.0 |
|         | RP-79 | RP-180446 | 3263 | 2 | A | Correction on Override of the highPriorityAccess Establishment Cause by the mo-VoiceCall value | 15.1.0 |
|         | RP-79 | RP-180442 | 3267 | 1 | A | Different power class support for band combinations  | 15.1.0 |
|         | RP-79 | RP-180444 | 3272 | 1 | A | Clarifications on V2X resource selection in the absence of positioning information             | 15.1.0 |
|         | RP-79 | RP-180446 | 3274 | 1 | A | Correction to GERAN redirection without security   | 15.1.0 |
|         | RP-79 | RP-180441 | 3277 | 1 | A | Correction to pucch-ConfigDedicated for fallback configuration                                 | 15.1.0 |
|         | RP-79 | RP-180446 | 3279 | 2 | A | Signalling for reading shared PLMN information from non-CSG cells                              | 15.1.0 |
|         | RP-79 | RP-180443 | 3282 | - | A | Clarification to PUCCH Configuration for LAA SCells  | 15.1.0 |
|         | RP-79 | RP-180441 | 3296 | 2 | A | Clarification on the NPRACH starting subcarrier partitioning for multi-tone Msg3 transmission  | 15.1.0 |
|         | RP-79 | RP-180443 | 3297 | 2 | A | Introduction of support of relaxed monitoring for BL and CE UE                                 | 15.1.0 |
|         | RP-79 | RP-180444 | 3301 | 1 | A | Correction on SI-offsetIndicator for the sidelink resource pool                                | 15.1.0 |
|         | RP-79 | RP-180441 | 3306 | - | A | RRC Corrections for RRC Resume   | 15.1.0 |
| 06/2018 | RP-80 | RP_181230 | 3293 | 2 | A | Removal of the FDD/TDD diff restriction for crs-InterfHandl IE                                 | 15.2.0 |
|         | RP-80 | RP-181171 | 3303 | 5 | C | Qualcomm Incorporated, Gemalto N.V   | 15.2.0 |
|         | RP-80 | RP-181235 | 3307 | 3 | A | Small correction on PhysicalConfigDedicated-NB   | 15.2.0 |
|         | RP-80 | RP-181234 | 3312 | 2 | A | Correction on SPS assistance information in TS 36.331  | 15.2.0 |
|         | RP-80 | RP-181233 | 3324 | 1 | F | Successful acknowledgement of RRCConnectionRelease for BL and CE UE                            | 15.2.0 |
|         | RP-80 | RP-181230 | 3357 | 2 | A | Correction for IDC hardware sharing problems   | 15.2.0 |
|         | RP-80 | RP-181234 | 3360 | 2 | A | Corrections to syncOffsetIndicator Configuration   | 15.2.0 |
|         | RP-80 | RP-181236 | 3365 | 3 | A | Correction on UE capabilities  | 15.2.0 |
|         | RP-80 | RP-181231 | 3370 | 1 | A | Clarification on ue-TxAntennaSelectionSupported when bandParameterList-v1380 is included       | 15.2.0 |
|         | RP-80 | RP-181216 | 3386 | 3 | F | Miscellaneous EN-DC related corrections  | 15.2.0 |
|         | RP-80 | RP-181229 | 3394 | 1 | F | Handling of Pmax for PC2 and uplink intra-band contiguous CA capable UEs                       | 15.2.0 |
|         | RP-80 | RP-181236 | 3396 | 1 | A | Correction for support of alternative TBS indices  | 15.2.0 |
|         | RP-80 | RP-181233 | 3399 | 1 | A | Clarification on RACH-less configuration release   | 15.2.0 |
|         | RP-80 | RP-181233 | 3426 | - | A | Clarification on RRC reconfiguration without handover for switching EC to NC                   | 15.2.0 |
|         | RP-80 | RP-181233 | 3427 | - | A | Correction on extended RSRP measurement reporting for BL UE or UE in CE                        | 15.2.0 |
|         | RP-80 | RP-181232 | 3430 | 1 | A | Correction to handling of p-Max procedure for high-power UEs                                   | 15.2.0 |
|         | RP-80 | RP-181236 | 3433 | - | A | Clarification on cellIdentity for shortMAC-I   | 15.2.0 |
|         | RP-80 | RP-181236 | 3439 | 2 | A | Introduction of DL Channel Quality reporting   | 15.2.0 |
|         | RP-80 | RP-181235 | 3441 | 1 | A | Introduction of serving cell idle mode measurements reporting in 36.331                        | 15.2.0 |
|         | RP-80 | RP-181235 | 3442 | 1 | A | Correction to T310 timer description and editorials  | 15.2.0 |

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|         | RP-80 | RP-181234 | 3454 | 1  | A | Corrections to CBR Measurement Report Triggering   | 15.2.0 |
|         | RP-80 | RP-181224 | 3466 | 1  | A | Correction on delta-RxLevMinCE1  | 15.2.0 |
|         | RP-80 | RP-181234 | 3468 | 1  | A | Introduce the short value of sc-mcch repetition period and sc-mcch modification period out of 'br-BCC-Config-r14'.             | 15.2.0 |
|         | RP-80 | RP-181233 | 3470 | -  | A | Merged CR: UE capabilities for handling of multiple numerologies in FeMBMS, SRS carrier switching, and advanced CSI in FD-MIMO | 15.2.0 |
|         | RP-80 | RP-181416 | 3406 | 2  | A | Corrections to additionalSpectrumEmission extension  | 15.2.0 |
|         | RP-80 |           |      |    |   | Added <CR> to UE-EUTRA-Capability-v1520-IEs ASN.1 structure to make it pass the syntax   | 15.2.1 |
|         | RP-80 |           |      |    |   | Corrects ASN.1 consistency problems between releases 13, 14 and 15.  | 15.2.2 |
| 09/2018 | RP-81 | RP-181953 | 3144 | 3  | B | Introduction of QoE Measurement Collection for LTE   | 15.3.0 |
|         | RP-81 | RP-181951 | 3178 | 6  | B | Introduce assistance information for local cache 36.331 CR   | 15.3.0 |
|         | RP-81 | RP-181939 | 3186 | 8  | B | Introducing support for NR, changes only relevant for SA   | 15.3.0 |
|         | RP-81 | RP-182130 | 3202 | 9  | B | Introduction of shortened TTI and processing time for LTE  | 15.3.0 |
|         | RP-81 | RP-181955 | 3211 | 7  | B | Introduction of DEFLATE based UDC Solution   | 15.3.0 |
|         | RP-81 | RP-181960 | 3226 | 10 | B | Enhancement of SRS antenna switching in TS 36.331  | 15.3.0 |
|         | RP-81 | RP-181947 | 3227 | 6  | B | Support of 1024QAM in TS 36.331  | 15.3.0 |
|         | RP-81 | RP-181964 | 3251 | 6  | B | Introduction of further enhancements to CoMP   | 15.3.0 |
|         | RP-81 | RP-181945 | 3333 | 8  | B | Introduction of further NB-IoT enhancements other than EDT in TS 36.331  | 15.3.0 |
|         | RP-81 | RP-181949 | 3341 | 6  | B | Introduction of time reference provision   | 15.3.0 |
|         | RP-81 | RP-182000 | 3342 | 7  | B | Introduction of Bluetooth and WLAN measurement collection in MDT   | 15.3.0 |
|         | RP-81 | RP-181960 | 3343 | 5  | B | Running 36.331 CR for HSDN   | 15.3.0 |
|         | RP-81 | RP-181944 | 3389 | 4  | B | Introduction of EDT for eMTC and NB-IoT enhancements   | 15.3.0 |
|         | RP-81 | RP-182081 | 3390 | 5  | B | Introduction of Rel-15 eMTC enhancements (other than EDT)  | 15.3.0 |
|         | RP-81 | RP-182006 | 3391 | 8  | B | Signalling for euCA (Enhancing LTE CA Utilization)   | 15.3.0 |
|         | RP-81 | RP-182146 | 3397 | 3  | B | Advanced CSI CBR parameter and related capability for FD-MIMO  | 15.3.0 |
|         | RP-81 | RP-181960 | 3407 | 1  | B | Avoiding FGI20 limitation  | 15.3.0 |
|         | RP-81 | RP-182119 | 3408 | 6  | B | Implementing network-based CRS interference mitigation   | 15.3.0 |
|         | RP-81 | RP-181992 | 3423 | 5  | B | Introduction of eV2X in TS 36.331  | 15.3.0 |
|         | RP-81 | RP-181962 | 3436 | 2  | A | Correction on the field description of enable256QAM  | 15.3.0 |
|         | RP-81 | RP-182005 | 3437 | 7  | B | Introduction of Release-15 Aerial functionality  | 15.3.0 |
|         | RP-81 | RP-181961 | 3445 | 1  | A | Clarification to Security mode failure in NB-IoT   | 15.3.0 |
|         | RP-81 | RP-181958 | 3446 | 2  | B | Introduction of increased number of E-UTRAN data bearers   | 15.3.0 |
|         | RP-81 | RP-181952 | 3450 | 3  | B | Addition of broadcast of positioning assistance data   | 15.3.0 |
|         | RP-81 | RP-181960 | 3453 | 4  | B | Control Plane latency reduction  | 15.3.0 |
|         | RP-81 | RP-181946 | 3473 | 4  | B | Introduce feLAA in TS 36.331   | 15.3.0 |
|         | RP-81 | RP-181949 | 3474 | 3  | B | Introduction of Ultra Reliable Low Latency Communication for LTE   | 15.3.0 |
|         | RP-81 | RP-181950 | 3475 | 2  | B | Capture NR agreements into 36.331 for E-UTRAN connected to 5GC   | 15.3.0 |
|         | RP-81 | RP-181939 | 3481 | 1  | F | Miscellaneous EN-DC related corrections  | 15.3.0 |
|         | RP-81 | RP-181961 | 3489 | -  | A | Correcting a typo in aperiodicCSI-Trigger  | 15.3.0 |
|         | RP-81 | RP-181945 | 3491 | 2  | F | Correcting inconsistent ASN.1 for NB-IoT   | 15.3.0 |
|         | RP-81 | RP-181963 | 3497 | 1  | A | Correction to RRC Connection Re-establishment for the control plane  | 15.3.0 |
|         | RP-81 | RP-181960 | 3499 | 1  | B | Introduction of modulation enhancements  | 15.3.0 |
|         | RP-81 | RP-181961 | 3502 | 1  | A | Radio resource configuration handling when resuming a suspended RRC connection   | 15.3.0 |
|         | RP-81 | RP-181962 | 3516 | 1  | A | Correction to the description of UE capability for V2X sidelink communication  | 15.3.0 |
|         | RP-81 | RP-181962 | 3518 | -  | A | Correction on the duplex mode configuration for Rx pool  | 15.3.0 |
|         | RP-81 | RP-181960 | 3523 | 1  | C | Introduction of Geofencing information in CMAS   | 15.3.0 |
|         | RP-81 | RP-181962 | 2531 | -  | A | Correction on V2X TX pool selection  | 15.3.0 |
|         | RP-81 | RP-181962 | 3533 | 1  | A | CR on Clarification of Configuring codebookConfigNx for Rel-15   | 15.3.0 |
|         | RP-81 | RP-181962 | 3538 | -  | A | Clarification for the asynchronous HARQ with the LTE mobility enhancements   | 15.3.0 |
|         | RP-81 | RP-181962 | 3539 | -  | A | Correction for Zoning  | 15.3.0 |
|         | RP-81 | RP-181960 | 3541 | -  | B | UE UL categories for 1024QAM   | 15.3.0 |
| 12/2018 | RP-82 | RP-182681 | 3495 | 3  | A | Editorial restructuring of NPRACH resource configuration   | 15.4.0 |
|         | RP-82 | RP-182674 | 3506 | 5  | F | CR for T312 on LTE HetNet mobility   | 15.4.0 |
|         | RP-82 | RP-182655 | 3525 | 3  | F | Introduction of including EUTRA UE capability for MRDC usage   | 15.4.0 |
|         | RP-82 | RP-182680 | 3544 | 3  | F | Correction for sidelink measurement periodical triggering condition  | 15.4.0 |
|         | RP-82 | RP-182678 | 3548 | 3  | F | Correction on UE capability for eV2X   | 15.4.0 |
|         | RP-82 | RP-182675 | 3551 | 2  | F | Clarification on RRC state transition  | 15.4.0 |
|         | RP-82 | RP-182675 | 3553 | 1  | F | Addition of RAN specific Access Category   | 15.4.0 |
|         | RP-82 | RP-182675 | 3554 | 1  | F | Clarification to no barring configuration for Implicit UAC   | 15.4.0 |
|         | RP-82 | RP-182675 | 3555 | 1  | F | Correction to Access Category and barring config determination for Implicit UAC  | 15.4.0 |
|         | RP-82 | RP-182679 | 3557 | 3  | A | Correction on maximum symbols for PUSCH transmission in UpPTS  | 15.4.0 |
|         | RP-82 | RP-182674 | 3560 | 3  | F | Corrections on the IDLE state measurement  | 15.4.0 |
|         | RP-82 | RP-182677 | 3563 | 4  | A | Corrections to eCA configuration   | 15.4.0 |
|         | RP-82 | RP-182677 | 3566 | 4  | F | Clarification to UE capabilities for CA  | 15.4.0 |
|         | RP-82 | RP-182674 | 3567 | 1  | F | Corrections to euCA  | 15.4.0 |
|         | RP-82 | RP-182679 | 3572 | 2  | F | Correction on SPS configuration for HRLLC  | 15.4.0 |
|         | RP-82 | RP-182655 | 3576 | 3  | F | Correction to DRB release  | 15.4.0 |
|         | RP-82 | RP-182652 | 3577 | 2  | F | Correction to inter-RAT measurement for NR   | 15.4.0 |

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|  | RP-82 | RP-182655 | 3578 | 1 | F | Clarification on capabilities transferring   | 15.4.0 |
|  | RP-82 | RP-182681 | 3580 | 2 | F | Corrections to random access power control for TDD in 36.331   | 15.4.0 |
|  | RP-82 | RP-182681 | 3581 | 2 | F | Miscellaneous corrections and cleanup for NB-IoT Rel-15  | 15.4.0 |
|  | RP-82 | RP-182681 | 3582 | 3 | F | Corrections to EDT in 36.331   | 15.4.0 |
|  | RP-82 | RP-182650 | 3583 | 2 | F | CR for security handling upon handover to eLTE in 36.331   | 15.4.0 |
|  | RP-82 | RP-182678 | 3584 | 4 | F | Miscellaneous corrections in TS 36.331 on eV2X   | 15.4.0 |
|  | RP-82 | RP-182681 | 3585 | 1 | F | Update description of consecutive precoders used for NSSS  | 15.4.0 |
|  | RP-82 | RP-182676 | 3586 | 2 | F | Miscellaneous corrections on E-UTRA connected to 5GCN  | 15.4.0 |
|  | RP-82 | RP-182675 | 3587 | 1 | F | Open issues on E-UTRA connected to 5GC for UAC   | 15.4.0 |
|  | RP-82 | RP-182675 | 3588 | 2 | F | Open issues on E-UTRA connected to 5GC for INACTIVE  | 15.4.0 |
|  | RP-82 | RP-182676 | 3589 | 3 | F | TS36.331 CR on UE capabilities for mobility and E-UTRA/5GC   | 15.4.0 |
|  | RP-82 | RP-182652 | 3592 | 2 | F | [E201] CR to 36.331 on handling of mapped GUMMEI/GUAMI at idle mode mobility between 5GS and EPS       | 15.4.0 |
|  | RP-82 | RP-182676 | 3593 | 2 | F | Access barring check after handover for eLTE   | 15.4.0 |
|  | RP-82 | RP-182672 | 3596 | 4 | C | SI message scheduling enhancement to avoid conflicts between legacy and positioning System Information | 15.4.0 |
|  | RP-82 | RP-182657 | 3597 | 5 | F | Corrections for handover between NR and E-UTRA in TS36.331   | 15.4.0 |
|  | RP-82 | RP-182652 | 3599 | 2 | F | UE capability for IDC mechanism for EN-DC operation  | 15.4.0 |
|  | RP-82 | RP-182655 | 3600 | 2 | F | Cleanup on handover to EUTRA procedure   | 15.4.0 |
|  | RP-82 | RP-182675 | 3601 | 2 | F | Correction of CN type indication for RRC Redirection from E-UTRA/5GC to E-UTRA/5GC or E-UTRAN          | 15.4.0 |
|  | RP-82 | RP-182651 | 3602 | 2 | F | RSRP result in SFTD measurement report   | 15.4.0 |
|  | RP-82 | RP-182681 | 3605 | 4 | F | Corrections and clarifications for MO EDT  | 15.4.0 |
|  | RP-82 | RP-182674 | 3607 | 2 | F | Small correction to pos-schedulingInfoList in SIB1-BR (RIL Z107)                                       | 15.4.0 |
|  | RP-82 | RP-182675 | 3614 | 2 | F | Correction on system information blocks acquisition  | 15.4.0 |
|  | RP-82 | RP-182679 | 3616 | 2 | F | Clarification on UDC configuration   | 15.4.0 |
|  | RP-82 | RP-182681 | 3619 | 3 | F | Correction to additional SIB1 in eFeNB-IoT   | 15.4.0 |
|  | RP-82 | RP-182653 | 3622 | 2 | F | UE context handling during handover to LTE-5GC   | 15.4.0 |
|  | RP-82 | RP-182652 | 3628 | 2 | F | CR to 36.331 on addition of CGI reporting timer T321 for NR  | 15.4.0 |
|  | RP-82 | RP-182653 | 3629 | 2 | F | Corrections regarding RLC failure reporting  | 15.4.0 |
|  | RP-82 | RP-182656 | 3634 | 2 | F | Some NR SA related corrections   | 15.4.0 |
|  | RP-82 | RP-182662 | 3638 | 3 | F | Correction on sorting for reporting of NR cell measurements  | 15.4.0 |
|  | RP-82 | RP-182671 | 3642 | 2 | F | Clarifications on system information acquisition time enhancements in Rel-15                           | 15.4.0 |
|  | RP-82 | RP-182680 | 3643 | 2 | F | Various sTTI corrections   | 15.4.0 |
|  | RP-82 | RP-182671 | 3647 | 2 | F | Corrections on paging monitoring and SI acquisition in RRC_CONNECTED for BL UEs and UEs in CE          | 15.4.0 |
|  | RP-82 | RP-182679 | 3651 | 3 | F | Correction on Bluetooth and WLAN measurement collection in MDT   | 15.4.0 |
|  | RP-82 | RP-182679 | 3652 | 1 | F | Correction on SRB4 for QoE measurement collection  | 15.4.0 |
|  | RP-82 | RP-182675 | 3653 | 2 | F | Corrections for E-UTRA connected to 5GC  | 15.4.0 |
|  | RP-82 | RP-182679 | 3654 | 2 | F | Corrections on time reference information  | 15.4.0 |
|  | RP-82 | RP-182679 | 3655 | 2 | F | Correction on UE behaviour about referenceSFN  | 15.4.0 |
|  | RP-82 | RP-182674 | 3656 | 1 | F | Correction on flight path information  | 15.4.0 |
|  | RP-82 | RP-182674 | 3657 | 1 | F | Correction on measurement triggering based on number of cells  | 15.4.0 |
|  | RP-82 | RP-182674 | 3658 | 2 | F | Correction on triggering idle mode measurement   | 15.4.0 |
|  | RP-82 | RP-182680 | 3660 | 2 | F | SPS for TDD sTTI   | 15.4.0 |
|  | RP-82 | RP-182680 | 3661 | 3 | F | skipUplinkTxSPS for short TTI option 1   | 15.4.0 |
|  | RP-82 | RP-182680 | 3663 | 2 | F | correction on power control  | 15.4.0 |
|  | RP-82 | RP-182678 | 3665 | 4 | F | Clarification for SLSS_TxDisabled  | 15.4.0 |
|  | RP-82 | RP-182680 | 3666 | 2 | F | Correction for sTTI  | 15.4.0 |
|  | RP-82 | RP-182682 | 3673 | 4 | F | CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation                        | 15.4.0 |
|  | RP-82 | RP-182653 | 3674 | 1 | F | Correction to FDD/TDD Diff for NR PDCP Capabilities  | 15.4.0 |
|  | RP-82 | RP-182678 | 3675 | 3 | F | Removal of redefinition of MCS-PSSCH-Range-r15   | 15.4.0 |
|  | RP-82 | RP-182679 | 3676 | 1 | F | Corrections to multiple SPS configurations after sTTI and HRLLC merge                                  | 15.4.0 |
|  | RP-82 | RP-182650 | 3678 | 1 | F | Clarification on measObjectNR of SFTD between PCell and PSCell   | 15.4.0 |
|  | RP-82 | RP-182656 | 3679 | 2 | F | Clarification on B events in EN-DC   | 15.4.0 |
|  | RP-82 | RP-182671 | 3680 | 1 | F | Correction on the measurement gaps for dense PRS   | 15.4.0 |
|  | RP-82 | RP-182672 | 3681 | 2 | F | Normative Annex of CRs Containing Early Implementable Features and Corrections                         | 15.4.0 |
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|  | RP-82 | RP-182676 | 3691 | 1 | F | Clarification of features not supported in NB-IoT  | 15.4.0 |
|  | RP-82 | RP-182681 | 3692 | 2 | F | Additional Corrections to EDT in 36.331  | 15.4.0 |
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|  | RP-82 | RP-182679 | 3707 | 1 | F | Support of multiple UL SPS configurations and configuration of repetition                              | 15.4.0 |
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|  | RP-82 | RP-182671 | 3712 | 1 | F | Exclusion of 1.4 MHz system bandwidth for flexible starting PRB  | 15.4.0 |
|  | RP-82 | RP-182676 | 3713 | 2 | F | TS36.331 CR on [103bis#43][LTE/eLTE] Capture NR agreements   | 15.4.0 |

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|         | RP-82 | RP-182662 | 3728 | 1 | F | Frequency band indication in MeasObjectNR  | 15.4.0 |
|         | RP-82 | RP-182679 | 3729 |   | F | Correction on FeLAA in TS 36.331   | 15.4.0 |
|         | RP-82 | RP-182677 | 3734 | 1 | A | n1PUCCH-AN-CS-ListP1-r13 ASN.1 error correction  | 15.4.0 |
|         | RP-82 | RP-182679 | 3739 | 1 | F | Correction of field descriptions for NW based CRS interference mitigation                      | 15.4.0 |
|         | RP-82 | RP-182666 | 3740 | 2 | F | Clarification for setting of maxLayersMIMO in LTE during EN-DC operation                       | 15.4.0 |
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|         | RP-82 | RP-182676 | 3747 | 3 | F | Corrections for handover preparation in 36.331   | 15.4.0 |
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|         | RP-82 | RP-182679 | 3752 | 2 | F | Clarification of primary and secondary RLC entity  | 15.4.0 |
|         | RP-82 | RP-182680 | 3755 |   | F | Clarification for cgi-ReportPeriodic   | 15.4.0 |
|         | RP-82 | RP-182674 | 3758 |   | F | Correction on T331 description   | 15.4.0 |
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|         | RP-82 | RP-182680 | 3763 |   | F | Correction on interFreqNeighCellList   | 15.4.0 |
|         | RP-82 | RP-182666 | 3764 | 2 | F | CR to 36.331 on corrections related to inter-RAT CGI reporting towards NR                      | 15.4.0 |
|         | RP-82 | RP-182671 | 3769 | 3 | F | Correction on the use of PRACH resource pool for EDT   | 15.4.0 |
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|         | RP-82 | RP-182667 | 3778 | 4 | F | Various NR carrier frequency definiton corrections   | 15.4.0 |
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|         | RP-82 | RP-182666 | 3787 | 2 | F | Correction to description of parameter CarrierFreq   | 15.4.0 |
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|         | RP-82 | RP-182676 | 3794 | 1 | F | Introducing PDCP suspend procedure   | 15.4.0 |
|         | RP-82 | RP-182681 | 3796 | 1 | F | Clarification to UE states for EDT   | 15.4.0 |
|         | RP-82 | RP-182667 | 3799 | 2 | F | CR on PSCell (SPCell of SN) change (36.331)  | 15.4.0 |
|         | RP-82 | RP-182674 | 3800 | 3 | F | Signalling of CRS IM and CCH-IM for UE cat 1bis and cat M2                                     | 15.4.0 |
|         | RP-82 | RP-182672 | 3803 | 5 | B | Support for Logging of 'Any cell selection' state  | 15.4.0 |
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|         | RP-82 | RP-182662 | 3807 |   | F | Correction on the terminology scg-ChangeFailure  | 15.4.0 |
|         | RP-82 | RP-182662 | 3808 |   | F | CR to 36331 on release after completion of inter-RAT HO  | 15.4.0 |
|         | RP-82 | RP-182662 | 3809 |   | F | Clarification on supportedMIMO-CapabilityDL-r15  | 15.4.0 |
|         | RP-82 | RP-182663 | 3810 |   | F | CR to 36.331 on alignment of use of fullI-RNTI and I-RNTI in paging and InactiveConfig (Alt.2) | 15.4.0 |
|         | RP-82 | RP-182667 | 3811 | 1 | F | Clarification on the candidateCellInfoListNR in RRM-Config                                     | 15.4.0 |
|         | RP-82 | RP-182676 | 3812 |   | F | TS36.331 CR on [104#23][LTE/5GC] Capture NR agreements   | 15.4.0 |
|         | RP-82 | RP-182679 | 3813 |   | F | Addition of SRB duplication in SCG   | 15.4.0 |
| 03/2019 | RP-83 | RP-190553 | 3785 | 3 | F | CR to mandate FGI 103 and 104  | 15.5.0 |
|         | RP-83 | RP-190550 | 3818 | 2 | F | Clarification on RRC connection resume   | 15.5.0 |
|         | RP-83 | RP-190550 | 3820 | 2 | F | Clarification on RRC connection establishment  | 15.5.0 |
|         | RP-83 | RP-190637 | 3821 | 3 | F | CR to 36.331 on clarification of autonomous gap in EN-DC                                       | 15.5.0 |
|         | RP-83 | RP-190546 | 3824 | 3 | F | CR on adding ssb-ToMeasure in SIB24 and MeasObjectNR   | 15.5.0 |
|         | RP-83 | RP-190542 | 3825 | 1 | F | Clarification for EN-DC SN change scenario   | 15.5.0 |
|         | RP-83 | RP-190542 | 3826 | 1 | F | Clarification on UE Capability Request Filtering   | 15.5.0 |
|         | RP-83 | RP-190551 | 3833 | 1 | F | Clarification to MeasResults for IDLE mode measurements  | 15.5.0 |
|         | RP-83 | RP-190551 | 3834 | 2 | F | Corrections to SCell group handling  | 15.5.0 |
|         | RP-83 | RP-190551 | 3836 | 1 | F | Clarification of mode 3 sensing parameter in TS 36.331   | 15.5.0 |
|         | RP-83 | RP-190549 | 3839 | 1 | A | Correction to systemInformationBlockType2Dedicated   | 15.5.0 |
|         | RP-83 | RP-190551 | 3840 | 1 | F | Corrections to mpdcch-UL-HARQ-ACK-FeedbackConfig   | 15.5.0 |
|         | RP-83 | RP-190547 | 3843 | 1 | A | Missing inter-node SCG field   | 15.5.0 |
|         | RP-83 | RP-190542 | 3849 | 1 | F | NR UE capability filtering in E-UTRAN  | 15.5.0 |
|         | RP-83 | RP-190551 | 3857 | 3 | F | Removal of parameter alpha in WUS configuration  | 15.5.0 |
|         | RP-83 | RP-190550 | 3858 | 1 | F | Miscellaneous Corrections for eLTE   | 15.5.0 |
|         | RP-83 | RP-190549 | 3860 | - | A | Correction on UE capability signalling for simultaneous antenna and carrier switching          | 15.5.0 |
|         | RP-83 | RP-190553 | 3861 | 1 | F | UE capability for eLCID support  | 15.5.0 |
|         | RP-83 | RP-190542 | 3866 | 1 | F | Corrections on NR NS-Pmax and frequency band list configuration in SIB24                       | 15.5.0 |
|         | RP-83 | RP-190552 | 3872 | 1 | F | Correction on SPUCCH-Config  | 15.5.0 |
|         | RP-83 | RP-190550 | 3873 | - | F | Correction on the field description of h1-ThresholdOffset                                      | 15.5.0 |
|         | RP-83 | RP-190552 | 3874 | 1 | F | Correction on QoE measurement collection for LTE   | 15.5.0 |
|         | RP-83 | RP-190549 | 3875 | 1 | A | Clarification to Permitted MaxCID for ROHC and Uplink-Only ROHC                                | 15.5.0 |
|         | RP-83 | RP-190550 | 3878 | 2 | F | Small corrections on TS 36.331   | 15.5.0 |
|         | RP-83 | RP-190551 | 3879 | 2 | F | Clarifications on mixed operation mode   | 15.5.0 |

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|         | RP-83 | RP-190551 | 3882 | - | F | Corrections to TDD parameters - Option 2  | 15.5.0 |
|         | RP-83 | RP-190551 | 3883 | 1 | F | Correction to carrierFreqOffset in TDD  | 15.5.0 |
|         | RP-83 | RP-190553 | 3886 | 1 | F | Corrections for MBMS reception in Receive Only Mode (ROM)                               | 15.5.0 |
|         | RP-83 | RP-190542 | 3887 | 1 | F | Minor NR related changes to 36331   | 15.5.0 |
|         | RP-83 | RP-190550 | 3890 | 1 | F | Introduction of UE capabilities on DMRS overhead reduction                              | 15.5.0 |
|         | RP-83 | RP-190540 | 3891 | - | F | Correction to Q-QualMin value range   | 15.5.0 |
|         | RP-83 | RP-190542 | 3892 | 1 | F | Supporting bearer type change with LCID change  | 15.5.0 |
|         | RP-83 | RP-190551 | 3897 | 3 | F | Correction to field description in IE RSS-Config  | 15.5.0 |
|         | RP-83 | RP-190546 | 3889 | 2 | F | Changes related to CA and or DC duplication   | 15.5.0 |
|         | RP-83 | RP-190548 | 3899 | 2 | F | Update description of ack-NACK-NumRepetitions   | 15.5.0 |
|         | RP-83 | RP-190548 | 3900 | 2 | F | Corrections of NB-IoT Access Barring  | 15.5.0 |
|         | RP-83 | RP-190543 | 3902 | 1 | F | Release and addition of the DRB   | 15.5.0 |
|         | RP-83 | RP-190550 | 3908 | 2 | F | Clarification on counter check procedure for eLTE                                       | 15.5.0 |
|         | RP-83 | RP-190551 | 3910 | 1 | F | Miscellaneous CRs for euCA  | 15.5.0 |
|         | RP-83 | RP-190549 | 3912 | - | A | Clarification on ssp mapping rules for ssp10-CRS-LessDwPTS                              | 15.5.0 |
|         | RP-83 | RP-190550 | 3913 | 1 | F | Correction to simultaneous configuration of altCQI-Table-1024QAM and altCQI-Table       | 15.5.0 |
|         | RP-83 | RP-190550 | 3914 | - | F | DL 1024QAM capability in FeatureSetsEUTRA   | 15.5.0 |
|         | RP-83 | RP-190550 | 3918 | 3 | F | Correction to fallback to the RRC connection establishment                              | 15.5.0 |
|         | RP-83 | RP-190550 | 3929 | 3 | F | Addition of missing condition in SCell release  | 15.5.0 |
|         | RP-83 | RP-190542 | 3932 | 1 | F | Clearing of SFTD measurements at handover and re-establishment                          | 15.5.0 |
|         | RP-83 | RP-190543 | 3937 | 2 | F | Introduction of tdm-PatternConfig and p-MaxEUTRA in AS-Config                           | 15.5.0 |
|         | RP-83 | RP-190541 | 3938 | - | F | Corrections on FeatureSetDL-PerCC-Id and FeatureSetUL-PerCC-Id                          | 15.5.0 |
|         | RP-83 | RP-190549 | 3940 | - | A | UE capability for support of special subframe configuration 10 with TDD-only CA         | 15.5.0 |
|         | RP-83 | RP-190543 | 3941 | - | F | CR to 36.331 on clarification of gap release during HO                                  | 15.5.0 |
|         | RP-83 | RP-190550 | 3942 | - | F | Capture NR agreements into eLTE   | 15.5.0 |
| 04/2019 | RP-83 |           |      |   |   | Correction to the implementation of CR#3913   | 15.5.1 |
| 06/2019 | RP-84 | RP-191378 | 3947 | 3 | F | Corrections to SIB24 configuration on SS-RSSI measurements                              | 15.6.0 |
|         | RP-84 | RP-191375 | 3953 | 3 | F | CR to 36.331 on SFTD measurement  | 15.6.0 |
|         | RP-84 | RP-191385 | 3956 | 2 | F | Correction of TDD UL DL Alignment offset  | 15.6.0 |
|         | RP-84 | RP-191386 | 3957 | 2 | F | Correction to sTTI field  | 15.6.0 |
|         | RP-84 | RP-191381 | 3958 | 5 | F | Alignment of definition of upperLayerIndication with the definition in the GSMA 5GSI LS | 15.6.0 |
|         | RP-84 | RP-191377 | 3962 | 1 | F | CR to 36.331 on clarification of ANR FGIs and capability under EN-DC                    | 15.6.0 |
|         | RP-84 | RP-191385 | 3963 | 4 | F | Corrections on the idle mode measurement  | 15.6.0 |
|         | RP-84 | RP-191383 | 3967 | 1 | A | UE capability signalling for FD-MIMO processing capabilities                            | 15.6.0 |
|         | RP-84 | RP-191374 | 3968 | - | F | RRC processing delay for UE capability transfer   | 15.6.0 |
|         | RP-84 | RP-191377 | 3969 | 1 | F | Handling of SMTC configuration  | 15.6.0 |
|         | RP-84 | RP-191374 | 3970 | - | F | Clarification on filters used to generate FeatureSets (36.331)                          | 15.6.0 |
|         | RP-84 | RP-191383 | 3972 | - | A | Correction to NPRACH resource default configuration                                     | 15.6.0 |
|         | RP-84 | RP-191385 | 3973 | - | F | Corrections to NSSS-based RRM measurements  | 15.6.0 |
|         | RP-84 | RP-191385 | 3974 | - | F | Correction to sourceDL-CarrierFreq in TDD   | 15.6.0 |
|         | RP-84 | RP-191384 | 3975 | 2 | F | Correction to conditions for initiating EDT   | 15.6.0 |
|         | RP-84 | RP-191383 | 3980 | - | A | Additional UE capability signalling for SRS carrier switching                           | 15.6.0 |
|         | RP-84 | RP-191384 | 3981 | - | F | Miscellaneous Corrections for UAC in eLTE   | 15.6.0 |
|         | RP-84 | RP-191384 | 3982 | 1 | F | Capture NR agreements in eLTE   | 15.6.0 |
|         | RP-84 | RP-191380 | 3984 | 2 | F | LTE changes for FullConfig for Inter-RAT intra-system HO                                | 15.6.0 |
|         | RP-84 | RP-191381 | 3990 | 4 | F | Correction on intra-band fallback behavior with FeatureSetsPerCC                        | 15.6.0 |
|         | RP-84 | RP-191384 | 3991 | 1 | F | Correction on cell reselection while T302 is running                                    | 15.6.0 |
|         | RP-84 | RP-191384 | 3992 | 2 | F | Correction on leaving RRC_CONNECTED   | 15.6.0 |
|         | RP-84 | RP-191384 | 3993 | 2 | F | Correction on inter-RAT cell reselection in RRC_INACTIVE                                | 15.6.0 |
|         | RP-84 | RP-191378 | 3994 | 1 | F | Minor NR related changes to 36.331  | 15.6.0 |
|         | RP-84 | RP-191387 | 3995 | 1 | F | Editorial/ minor corrections collected by Rapporteur                                    | 15.6.0 |
|         | RP-84 | RP-191381 | 3996 | 2 | F | Corrections regarding EN-DC terminology   | 15.6.0 |
|         | RP-84 | RP-191381 | 3998 | 1 | F | Clarification on inter-RAT mobility   | 15.6.0 |
|         | RP-84 | RP-191382 | 4001 | 1 | A | Correction to dual connectivity   | 15.6.0 |
|         | RP-84 | RP-191378 | 4002 | 2 | B | Introducing NR changes for late drop (resulting from ASN1 review)                       | 15.6.0 |
|         | RP-84 | RP-191384 | 4003 | - | F | Correction on edt-LastPreamble field description  | 15.6.0 |
|         | RP-84 | RP-191384 | 4006 | 2 | F | Clarification to the description of cellSelectionInfoCE                                 | 15.6.0 |
|         | RP-84 | RP-191385 | 4008 | 1 | F | Correct reference for serving cell relaxation with WUS                                  | 15.6.0 |
|         | RP-84 | RP-191387 | 4009 | 1 | F | Missing messages in "Protection of RRC messages" table                                  | 15.6.0 |
|         | RP-84 | RP-191382 | 4010 | 1 | A | Correction in the field description of aperiodicCSI-Trigger                             | 15.6.0 |
|         | RP-84 | RP-191383 | 4015 | 1 | A | Corrections on UE capability for eFD-MIMO   | 15.6.0 |
|         | RP-84 | RP-191378 | 4017 | 1 | F | Correction on UE Capability Transfer for Featureset in EN-DC                            | 15.6.0 |
|         | RP-84 | RP-191382 | 4020 | 2 | F | SI update notification and access barring in NB-IoT                                     | 15.6.0 |
|         | RP-84 | RP-191384 | 4022 | 1 | F | Correction on Idle mode measurement in RRC_INACTIVE                                     | 15.6.0 |
|         | RP-84 | RP-191384 | 4023 | 1 | F | Power boost values for MWUS   | 15.6.0 |
|         | RP-84 | RP-191385 | 4025 | - | F | CR on carrier frequency selection for V2X SL communication transmission                 | 15.6.0 |
| 09/2019 | RP-85 | RP-192195 | 3986 | 3 | F | Correction on handling of SCell(s) during Make Before Break handover                    | 15.7.0 |
|         | RP-85 | RP-192197 | 4028 | 2 | F | Clarification for mixed operation mode  | 15.7.0 |
|         | RP-85 | RP-192197 | 4030 | 1 | F | Correction on the field description of nprach-SubCarrierIndex                           | 15.7.0 |
|         | RP-85 | RP-192298 | 4031 | - | C | Additional capability signalling for 1024QAM support                                    | 15.7.0 |



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|         | RP-85 | RP-192196 | 4032 | - | F | Correcting algorithm key derivation for LTE/5GC in connection resume                 | 15.7.0  |
|         | RP-85 | RP-192194 | 4034 | 1 | F | Clarification to fullConfig in EN-DC   | 15.7.0  |
|         | RP-85 | RP-192192 | 4035 | 1 | F | Clarification on mobility of UE configured with SN terminated DRB without SCG        | 15.7.0  |
|         | RP-85 | RP-192192 | 4038 | 1 | F | Missing reportAddNeighMeas in ReportConfigInterRAT                                   | 15.7.0  |
|         | RP-85 | RP-192196 | 4042 | 2 | F | Intra-E-UTRA inter-system HO   | 15.7.0  |
|         | RP-85 | RP-192196 | 4043 | - | F | Support of Idle mode measurement in E-UTRA/5GC                                       | 15.7.0  |
|         | RP-85 | RP-192196 | 4044 | - | F | PDU session release indication to upper layers during Full Configuration in eLTE     | 15.7.0  |
|         | RP-85 | RP-192192 | 4055 | 1 | F | Correction of the condition HO-toEUTRAN  | 15.7.0  |
|         | RP-85 | RP-192198 | 4056 | 2 | F | Editorial/ minor corrections collected by Rapporteur                                 | 15.7.0  |
|         | RP-85 | RP-192192 | 4058 | 1 | F | Correction to s-Measure for NE-DC (36.331)   | 15.7.0  |
|         | RP-85 | RP-192190 | 4061 | - | F | Correction of security algorithms at inter-RAT handover to LTE-5GC (Alt1)            | 15.7.0  |
|         | RP-85 | RP-192193 | 4062 | 1 | F | Adding P-EUTRA for supporting power coordination in NE-DC                            | 15.7.0  |
|         | RP-85 | RP-192195 | 4064 | 1 | A | Correction to the description of DL channel quality                                  | 15.7.0  |
|         | RP-85 | RP-192197 | 4066 | - | F | Correction to table references for SIB1 scheduling in TDD                            | 15.7.0  |
|         | RP-85 | RP-192197 | 4068 | - | F | Correction to the field description of numDRX-CyclesRelaxed in WUS-Config-NB         | 15.7.0  |
|         | RP-85 | RP-192190 | 4070 | - | F | Support of SUO case1 in NE-DC  | 15.7.0  |
|         | RP-85 | RP-192196 | 4071 | - | F | Clarification on inter-node message  | 15.7.0  |
|         | RP-85 | RP-192197 | 4072 | 1 | F | Correction to sTTI and sPT capability reporting                                      | 15.7.0  |
|         | RP-85 | RP-192196 | 4073 | - | F | Correction to ROHC handling  | 15.7.0  |
|         | RP-85 | RP-192194 | 4077 | 3 | F | AS-ConfigNR at handover with (NG)EN-DC   | 15.7.0  |
|         | RP-85 | RP-192192 | 4079 | 1 | F | Miscellaneous Corrections on 36.331 for MR-DC  | 15.7.0  |
|         | RP-85 | RP-192190 | 4080 | - | F | Correction on 36.331 for reconfiguration of SCG part of DRBs in NE-DC                | 15.7.0  |
|         | RP-85 | RP-192194 | 4081 | 2 | F | Support of LCID change for (NG)EN-DC and NE-DC                                       | 15.7.0  |
|         | RP-85 | RP-192198 | 4086 | 1 | F | Corrections to SIB12 for CMAS geo-fencing  | 15.7.0  |
|         | RP-85 | RP-192196 | 4091 | 1 | F | Correction on RRC connection release indication after handover                       | 15.7.0  |
|         | RP-85 | RP-192196 | 4092 | 1 | F | Correction on stop condition of T380   | 15.7.0  |
|         | RP-85 | RP-192194 | 4097 | 1 | F | Correction on overheating indication and RLM report                                  | 15.7.0  |
|         | RP-85 | RP-192279 | 4098 | 1 | F | CR to introduce NR SS-SINR measurement capability in LTE                             | 15.7.0  |
|         | RP-85 | RP-192193 | 4100 | - | F | MR-DC measurement gap pattern capability   | 15.7.0  |
| 12/2019 | RP-86 | RP-192940 | 4113 | 1 | A | Correction on T322   | 15.8.0  |
|         | RP-86 | RP-192935 | 4115 | 1 | F | Reconfiguration failure in NE-DC   | 15.8.0  |
|         | RP-86 | RP-192936 | 4117 | 3 | F | Miscellaneous corrections for late drop  | 15.8.0  |
|         | RP-86 | RP-192934 | 4119 | 2 | F | Corrections to power limitations in (NG)EN-DC  | 15.8.0  |
|         | RP-86 | RP-192941 | 4120 | 4 | F | Correction to SIB5 acquisition for idle mode measurements                            | 15.8.0  |
|         | RP-86 | RP-192938 | 4128 | 2 | F | Correction to field conditions in NE-DC  | 15.8.0  |
|         | RP-86 | RP-192941 | 4142 | 1 | F | Corrections to Application layer measurement reporting and UE capability signalling  | 15.8.0  |
|         | RP-86 | RP-192941 | 4143 | 1 | F | Allow Delta Configuration of ParametersListFmt2 and ParametersListEDTFmt2 in SIB2-NB | 15.8.0  |
|         | RP-86 | RP-192940 | 4144 | 1 | F | Stop using redirectedCarrierOffsetDedicated after reselection to another frequency.  | 15.8.0  |
|         | RP-86 | RP-192937 | 4145 | 1 | F | Correction to AS security key update   | 15.8.0  |
|         | RP-86 | RP-192936 | 4148 | 1 | F | On performing L3 filtering of NR related measurements                                | 15.8.0  |
|         | RP-86 | RP-192941 | 4150 | - | F | Correction to nonCriticalExtension of RRCConnectionRelease                           | 15.8.0  |
|         | RP-86 | RP-192939 | 4160 | 2 | A | Clarification on sCellIndex and SCell lists  | 15.8.0  |
|         | RP-86 | RP-192941 | 4161 | 2 | F | Correction to early measurement reporting results                                    | 15.8.0  |
|         | RP-86 | RP-192941 | 4177 | 2 | F | Clarification on UE Inactive AS context  | 15.8.0  |
|         | RP-86 | RP-192941 | 4183 | - | F | Restoring SDAP and RoHC contexts during Resumption                                   | 15.8.0  |
|         | RP-86 | RP-192936 | 4185 | - | F | Correction for the establishment of LTE RLC bearers for (NG)EN-DC and NE-DC          | 15.8.0  |
| 03/2020 | RP-87 | RP-200338 | 4041 | 4 | C | Security requirement for UE capability enquiry for LTE                               | 15.9.0  |
|         | RP-87 | RP-200338 | 4104 | 5 | F | Clarification on default configuration and SRB1 for UP-EDT and RRC_INACTIVE          | 15.9.0  |
|         | RP-87 | RP-200338 | 4151 | 3 | F | Correction to full configuration   | 15.9.0  |
|         | RP-87 | RP-200334 | 4168 | 2 | F | Clarification on candidate NR frequencies for IDC in EN-DC                           | 15.9.0  |
|         | RP-87 | RP-200338 | 4195 | 1 | F | Correction on LTE early measurement  | 15.9.0  |
|         | RP-87 | RP-200338 | 4198 | 1 | F | Corrections to T312 and Discovery Signals measurement                                | 15.9.0  |
|         | RP-87 | RP-200338 | 4199 | - | F | Introduction of provisions for late non-critical extensions                          | 15.9.0  |
|         | RP-87 | RP-200334 | 4210 | - | F | Correction of UE assistance information  | 15.9.0  |
|         | RP-87 | RP-200338 | 4211 | 2 | F | Minor corrections collected by Rapporteur  | 15.9.0  |
|         | RP-87 | RP-200337 | 4213 | 1 | A | Clarification on gap sharing configuration at handover and re-establishment          | 15.9.0  |
| 07/2020 | RP-88 | RP-201169 | 4235 | 3 | F | Corrections on the number of DRBs  | 15.10.0 |
|         | RP-88 | RP-201159 | 4241 | 2 | F | Avoiding security risk for RLC AM and RLC UM bearers during termination point change | 15.10.0 |
|         | RP-88 | RP-201169 | 4257 | 2 | F | Clarification on avoiding keystream repeat due to COUNT reuse                        | 15.10.0 |
|         | RP-88 | RP-201160 | 4281 | 2 | F | UE measurement capability requirements for NR  | 15.10.0 |
|         | RP-88 | RP-201168 | 4288 | 1 | F | CR on RLC out-of-order delivery configuration  | 15.10.0 |
|         | RP-88 | RP-201169 | 4304 | 2 | F | Correction to the LTE Rel-15 TDD/FDD capability differentiation                      | 15.10.0 |
|         | RP-88 | RP-201168 | 4314 | 2 | F | Minor changes collected by Rapporteur  | 15.10.0 |
|         | RP-88 | RP-201168 | 4340 | 2 | F | Correction of AUL HARQ process   | 15.10.0 |

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|---------|--------|-----------|------|---|---|---|---------|
|         | RP-88  | RP-201165 | 4347 | 1 | F | Introduction of CGI reporting capability  | 15.10.0 |
| 09/2020 | RP-89  | RP-201936 | 4382 | 1 | F | Clarification on UL 256QAM  | 15.11.0 |
|         | RP-89  | RP-201936 | 4392 | 1 | F | Corrections on idle mode measurements   | 15.11.0 |
|         | RP-89  | RP-201936 | 4413 | 1 | F | Minor changes collected by Rapporteur   | 15.11.0 |
|         | RP-89  | RP-201938 | 4420 | 1 | F | Correction for Qrxlevmin description in SIB24   | 15.11.0 |
|         | RP-89  | RP-201939 | 4444 | - | C | Modification of SI scheduling for extended SIBs   | 15.11.0 |
|         | RP-89  | RP-201936 | 4446 | - | F | System support for Wake Up Signal   | 15.11.0 |
| 12/2020 | RP-90  | RP-202780 | 4389 | 5 | F | Corrections to the field descriptions for TDD/FDD capability differentiation, and to nMaxResource value range | 15.12.0 |
|         | RP-90  | RP-202784 | 4430 | 3 | A | Clarification to UE capabilities for non-contiguous intra-band CA   | 15.12.0 |
|         | RP-90  | RP-202787 | 4451 | - | A | Removal of DelayBudgetReport message in stage 3   | 15.12.0 |
|         | RP-90  | RP-202790 | 4455 | - | F | Correction on UAI during handover   | 15.12.0 |
|         | RP-90  | RP-202780 | 4470 | 1 | F | Correction on ROHC configuration  | 15.12.0 |
|         | RP-90  | RP-202786 | 4533 | 1 | F | Clarification for SIBs scheduled in schedulingInfoListExt and posSchedulingInfoList                           | 15.12.0 |
|         | RP-90  | RP-202785 | 4535 | - | F | Capturing ul-256QAM-r15 capability  | 15.12.0 |
|         | RP-90  | RP-202780 | 4537 | - | F | Clarification on Logged MDT regarding reporting of WLAN and BT information                                    | 15.12.0 |
| 03/2021 | RP-91  | RP-210700 | 4457 | 2 | F | Correction to RRC resume and re-establishment   | 15.13.0 |
|         | RP-91  | RP-210703 | 4548 | 1 | F | Minor changes collected by Rapporteur for Rel-15  | 15.13.0 |
|         | RP-91  | RP-210700 | 4565 | - | F | Correction to the applicability of CRS muting configuration   | 15.13.0 |
|         | RP-91  | RP-210700 | 4592 | 1 | F | Correction on NPRACH resources in SIB2-NB and SIB23-NB  | 15.13.0 |
|         | RP-91  | RP-210701 | 4595 | - | F | Reconfiguring RoHC and setting the drb-ContinueROHC simultaneously  | 15.13.0 |
|         | RP-91  | RP-210701 | 4598 | - | F | Correction on IDC indication  | 15.13.0 |
|         | RP-91  | RP-210700 | 4601 | 1 | F | Corrections on EUTRA MDT and SON (Rapporteur CR)  | 15.13.0 |
| 06/2021 | RP-92  | RP-211481 | 4611 | 2 | F | Corrections on the acquisition of a posSI message   | 15.14.0 |
|         | RP-92  | RP-211484 | 4640 | 2 | F | Correction on T325  | 15.14.0 |
|         | RP-92  | RP-211482 | 4646 | 1 | F | CR on RRC processing delay  | 15.14.0 |
|         | RP-92  | RP-211481 | 4656 | 1 | F | Corrections to Positioning SI message scheduling for eMTC and NB-IoT  | 15.14.0 |
|         | RP-92  | RP-211486 | 4680 | 1 | F | Clarification on RRC full config for PSCell change  | 15.14.0 |
|         | RP-92  | RP-211481 | 4683 | 1 | F | Minor changes collected by Rapporteur for Rel-15  | 15.14.0 |
| 09/2021 | RP-93  | RP-212439 | 4700 | 1 | F | Correction on the Release Cause for RRC_INACTIVE UE   | 15.15.0 |
|         | RP-93  | RP-212443 | 4712 | 1 | F | On T330 resetting   | 15.15.0 |
|         | RP-93  | RP-212437 | 4718 | 1 | F | Minor changes collected by Rapporteur   | 15.15.0 |
| 12/2021 | RP-94  | RP-213340 | 4691 | 2 | F | Addition of scheduling restrictions of positioning SI messages for eMTC                                       | 15.16.0 |
| 03/2022 | RP-95  | RP-220472 | 4753 | 1 | F | Dummify empty sequence in FlightPathInfoReport-r15 and other corrections                                      | 15.17.0 |
| 06/2022 | RP-96  | RP-221714 | 4775 | 2 | F | Correction to application layer measurement and reporting   | 15.18.0 |
| 09/2022 | RP-97  | RP-222517 | 4839 | 1 | F | Clarification on schedulingInfoList in NB-IoT   | 15.19.0 |
|         | RP-97  | RP-222516 | 4848 | - | F | Correction on NR serving frequency results reporting for event-triggered measurement (R15)                    | 15.19.0 |
|         | RP-97  | RP-222519 | 4860 | 1 | F | Miscellaneous changes collected by Rapporteur   | 15.19.0 |
| 12/2022 | RP-98  | RP-223404 | 4894 | - | F | Clarification on p-maxNR  | 15.20.0 |
| 09/2023 | RP-101 | RP-232565 | 4956 | - | F | Correction on location configuration for WLAN and BT for SON and MDT features                                 | 15.21.0 |
| 06/2024 | RP-104 | RP-241548 | 5024 | 2 | F | Miscellaneous Corrections for TS 36.331   | 15.22.0 |
| 09/2024 | RP-105 | RP-242232 | 5043 | 1 | A | Correction to MIB-MBMS systemFrameNumber field description  | 15.23.0 |

## History

| <b>Document history</b> |                |             |
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