

# ETSI TS 136 421 V10.0.0 (2011-01)

---

*Technical Specification*

**LTE;  
Evolved Universal Terrestrial Radio  
Access Network (E-UTRAN);  
X2 layer 1  
(3GPP TS 36.421 version 10.0.0 Release 10)**

---



---

**Reference**

RTS/TSGR-0336421va00

---

**Keywords**

LTE

**ETSI**

---

650 Route des Lucioles  
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C  
Association à but non lucratif enregistrée à la  
Sous-Préfecture de Grasse (06) N° 7803/88

---

**Important notice**

Individual copies of the present document can be downloaded from:

<http://www.etsi.org>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at

<http://portal.etsi.org/tb/status/status.asp>

If you find errors in the present document, please send your comment to one of the following services:

[http://portal.etsi.org/chaicor/ETSI\\_support.asp](http://portal.etsi.org/chaicor/ETSI_support.asp)

---

**Copyright Notification**

No part may be reproduced except as authorized by written permission.  
The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2011.  
All rights reserved.

**DECT™**, **PLUGTESTS™**, **UMTS™**, **TIPHON™**, the TIPHON logo and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.

**3GPP™** is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

**LTE™** is a Trade Mark of ETSI currently being registered

for the benefit of its Members and of the 3GPP Organizational Partners.

**GSM®** and the GSM logo are Trade Marks registered and owned by the GSM Association.

---

## Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<http://webapp.etsi.org/IPR/home.asp>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

---

## Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

---

# Contents

Intellectual Property Rights .....	2
Foreword.....	2
Foreword.....	4
1 Scope .....	5
2 References .....	5
3 Definitions, symbols and abbreviations .....	5
3.1 Definitions .....	5
3.2 Symbols.....	5
3.3 Abbreviations .....	5
4 Introduction .....	5
<b>Annex A (informative): Change history .....</b>	<b>6</b>
History .....	7

---

## Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

---

# 1 Scope

The present document specifies the standards allowed to implement Layer 1 on the X2 interface.

The specification of transmission delay requirements and O&M requirements are not in the scope of the present document.

In the following "Layer 1" and "Physical Layer" are assumed to be synonymous.

---

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TS 36.411: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 layer 1".

---

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the definitions given in 3GPP TS 36.411 apply.

## 3.2 Symbols

For the purposes of the present document, the symbols given in 3GPP TS 36.411 apply.

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TS 36.411 apply.

---

# 4 Introduction

The X2 Layer 1 shall comply with the requirements of clauses 5 through 8 in [1].

---

## Annex A (informative): Change history

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2007-09	37	RP-070587			specification presented to TSG-RAN for information	0.0.1	1.0.0
2007-11	38	RP-070854			specification presented to TSG-RAN for approval	1.0.0	2.0.0
2007-12	38				specification approved at TSG-RAN and placed under change control	2.0.0	8.0.0
2009-12	46				Upgraded to Release 9	8.0.0	9.0.0
2010-02					History section updated	9.0.0	9.0.1
2010-12					Rel-10 created based on v. 9.0.1	9.0.1	10.0.0

---

## History

<b>Document history</b>		
V10.0.0	January 2011	Publication