# ETSITS 136 521-2 V9.8.0 (2012-03)



## LTE;

Evolved Universal Terrestrial Radio Access (E-UTRA);
User Equipment (UE) conformance specification;
Radio transmission and reception;
Part 2: Implementation Conformance Statement (ICS)
(3GPP TS 36.521-2 version 9.8.0 Release 9)



Reference
RTS/TSGR-0536521-2v980

Keywords

LTE

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

#### Important notice

Individual copies of the present document can be downloaded from: <u>http://www.etsi.org</u>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<a href="http://portal.etsi.org/tb/status/status.asp">http://portal.etsi.org/tb/status/status.asp</a>

If you find errors in the present document, please send your comment to one of the following services: http://portal.etsi.org/chaircor/ETSI\_support.asp

#### **Copyright Notification**

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2012. All rights reserved.

**DECT**<sup>TM</sup>, **PLUGTESTS**<sup>TM</sup>, **UMTS**<sup>TM</sup> and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members. **3GPP**<sup>TM</sup> and **LTE**<sup>TM</sup> are Trade Marks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

## Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://ipr.etsi.org).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

#### **Foreword**

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <a href="http://webapp.etsi.org/key/queryform.asp">http://webapp.etsi.org/key/queryform.asp</a>.

## Contents

Intel	lectual Property Rights		2			
	-					
3		d abbreviations				
4						
Ann	ex A:	Void				
Ann	ex B (informative):	Change history				
		g-				

#### **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

#### Introduction

The present document is part 2 of a multi-parts TS:

3GPP TS 36.521-1 [1]: Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification Radio transmission and reception Part 1: Conformance testing.

3GPP TS 36.521-2: Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification Radio transmission and reception Part :2 Implementation Conformance Statement (ICS).

3GPP TS 36.521-3 [2]: Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification Radio transmission and reception Part 3: Radio Resource Management (RRM) Conformance Testing.

## 1 Scope

The present document provides the Implementation Conformance Statement (ICS) proforma for 3G Evolved Universal Terrestrial Radio Access (E-UTRA) User Equipment (UE), in compliance with the relevant requirements, and in accordance with the relevant guidance given in ISO/IEC 9646-1 [3] and ISO/IEC 9646-7 [4]

The present document specifies the recommended applicability statement for the test cases included in 3GPP TS 36.521-1 [1] and 3GPP TS 36.521-3 [2]. These applicability statements are based on the features implemented in the LIF.

Special conformance testing functions can be found in 3GPP TS 36.509 [5] and the common test environments are included in 3GPP TS 36.508 [6].

The present document is valid for UE implemented according to 3GPP releases starting from Release 8 up to the Release indicated on the cover page of the present document.

### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.
  - For a Release 8 UE, references to 3GPP documents are to version 8.x.y, when available.

Editor's Note: The Reference list is incomplete and some references are still to UMTS specs.

[1] to [16] (void)

[17] 3GPP TS 36.521-2 Release 10: "Evolved Universal Terrestrial Radio Access (E-UTRA); User

Equipment (UE) conformance specification Radio transmission and reception; Part 2:

Implementation Conformance Statement (ICS)".

## 3 Definitions, symbols and abbreviations

Void

## 4 Recommended test case applicability

The requirements of the present document are provided in 3GPP TS 36.521-2 Release 10 [17].

Annex A: Void

# Annex B (informative): Change history

Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2008-03					Skeleton proposed for RAN5#38 Malaga		0.0.1
2008-06					Updated after RAN5#39bis: - Editorial update and alignment with 36.523-2	0.0.1	0.1.0
					- TC included in 36.521-1 and 36.521-3 included		
2000 00					- Some Conditions for TC selections introduce	0.1.1	0.2.0
2008-08					Updated after RAN5#40: - Editorial update in regard to changing spec names, etc.	0.1.1	0.2.0
					- FDD and TDD split (R5-083839) - RRM TC numbers aligned with 36.521-3 v030		
2008-10					Update after RAN5#40bis:	0.2.0	0.3.0
					- Table split in different clauses for Conformance and RRM		
					test cases - Extension of applicability tables to include Additional		
					information column - Change of applicability of TCs that apply to any E-UTRA		
					device into "R" - recommended		
					- Updated TCs in accordance to 36.521-1 v110 and 36.521-3 v040		
					- Some editorial updates		
2008-11					Update After RAN5#41 (R5-055360):	0.3.0	2.0.0
					- Renamed 8.1.1, added new 8.1.2, - Added new TCs to RRM section Measurement		
					Performance Requirements		
					- Added Table A.4.3-2 with reference to test loop functions in		
					36.509		
					- Some editorial changes		
					- Normative References updated		
					- Change RRM TC titles to reflect their applicability to FDD only		
2008-12	RAN#42	RP-080970			Approval of version 2.0.0 at RAN#42, then put to version 8.0.0.	2.0.0	8.0.0
2008-01					Editorial corrections.	8.0.0	8.0.1
2009-05	RAN#44	RP-090448	0001		CR to 36.521-2: Applicability changes and additions for RRM test cases	8.0.1	8.1.0
2009-05	RAN#44	RP-090448	0002		LTE-RF: Applicability for Output Power Dynamics test cases	8.0.1	8.1.0
2009-09	RAN#45	R5-094035	0003	-	Correction CR to 36.521-2: Applicability changes to introduce additional RRM tests	8.1.0	8.2.0
2009-09	RAN#45	R5-094572	0004	-	Applicability for Output Power Dynamics test cases	8.1.0	8.2.0
2009-09	RAN#45	R5-094710	0005	-	Resubmission-Correction CR to 36.521-2: Applicability changes to introduce additional RRM tests	8.1.0	8.2.0
2009-09	RAN#45	R5-094768	0006	-	Update of RRM Conformance test applicability for SON	8.1.0	8.2.0
2009-09	RAN#45	R5-094999	0007	-	Correction CR to 36.521-2: Applicability changes to RF PDSCH Demodulation tests	8.1.0	8.2.0
2009-12	RAN#46	R5-095519	8000		Correction CR to 36.521-2: Applicability changes to update the Demodulation of PDSCH (FDD) tests based on the CR merge results from RAN5#44	8.2.0	8.3.0
2009-12	RAN#46	R5-095778	0009	-	Update of RRM Conformance test applicability for RLM in	8.2.0	8.3.0
2000 12	10/11/11/10	110 000770	0000		DRX test cases	0.2.0	0.0.0
2009-12	RAN#46	R5-095841	0010	-	CR to 36.521-2: Applicability additions for new RRM (FDD) tests	8.2.0	8.3.0
2010-03	RAN#47	R5-100358	0011	-	CR to 36.521-2 Rel-8 Introduction of Applicability for E-	8.3.0	8.4.0
					UTRAN FDD - FDD Intra Frequency Cell Search with DRX when L3 filtering is used		
2010-03	RAN#47	R5-100561	0012	-	CR to 36.521-2. Update baseline implementation capabilities with extended LTE1500 operating bands	8.3.0	8.4.0
2010-03	RAN#47	R5-100872	0013	-	CSI: Following up corrections to tests titles and RI clause structure	8.3.0	8.4.0
2010-03	RAN#47	=	-	1-	Moved to v9.0.0 with no change	8.4.0	9.0.0
2010-06	RAN#48	R5-103147	0014	-	Adding band 20, 800MHZ in EU to TS36.521-2	9.0.0	9.1.0
2010-06	RAN#48	R5-103757	0015	-	Introduction of feature group indicator in applicability for RRM test cases	9.0.0	9.1.0
2010-09	RAN#49	R5-104246	0017	-	CR to 36.521-2 on Correction to cell search	9.1.0	9.2.0
2010-09	RAN#49	R5-104264	0018	-	Addition of applicability for new RRM test cases	9.1.0	9.2.0
2010-09	RAN#49	R5-104372	0019	-	Update of Applicability for Demodulation test cases and UE implementation Types for UTRA TDD	9.1.0	9.2.0
2010-09	RAN#49	R5-104840	0020	-	36521-2 General update to add-remove TCs applicability correct, TC titles and numbers and editorials	9.1.0	9.2.0

Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2010-09	RAN#49	R5-105056	0021	-	Applicability of a new Rel-9 downlink sustained data rate	9.1.0	9.2.0
					performance test cases		
2010-12	RAN#50	R5-106118	0022	-	CR to 36.521-2: Update baseline implementation capabilities	9.2.0	9.3.0
					for EUTRA TDD LTE band 41		
2011-03	RAN#51	R5-110536	0023	-	Defining new bands 42 and 43 (3500MHz)	9.3.0	9.4.0
2011-03	RAN#51	R5-110955	0024	-	CR to 36.521-2: General update to add, remove, and correct	9.3.0	9.4.0
					applicability of RRM TCs		
2011-06	RAN#52	R5-112131	0025	-	Correction to Band 12 frequency range in 36.521-2	9.4.0	9.5.0
2011-06	RAN#52	R5-112212	0026	-	Adding Band 24 to TS 36.521-2	9.4.0	9.5.0
2011-06	RAN#52	R5-112378	0027	-	Update of FGI bit definitions for rel-9	9.4.0	9.5.0
2011-06	RAN#52	R5-112821	0028	-	Add release applicability for spatial multiplexing test cases	9.4.0	9.5.0
2011-06	RAN#52	R5-112857	0029	-	Addition of applicability for new RRM test cases 4.3.4.3 and	9.4.0	9.5.0
					8.4.3		
2011-06	RAN#52	R5-112865	0030	-	Addition of applicability for new MBMS test cases 10.1 and	9.4.0	9.5.0
					10.2		
2011-09	RAN#53	R5-113306	0031	-	Adding band 25 to TS36.521-2	9.5.0	9.6.0
2011-09	RAN#53	R5-113625	0033	-	Introduction of applicability of Rel-9 Scenarios	9.5.0	9.6.0
2011-09	RAN#53				Introduction of applicability of PDSCH performance tests for	9.5.0	9.6.0
		R5-113626	0034	-	low UE categories		
2011-09	RAN#53	R5-114025	0035	-	Test Cases 6.2.3 and 6.2.4 Applicability Clarification	9.5.0	9.6.0
2011-09	RAN#53				Update baseline implementation capabilities for FDD LTE	9.5.0	9.6.0
		R5-114070	0036	-	Band 23 in 36.521-2		
2011-09	RAN#53	R5-114074	0037	-	Applicability for new R9 RRM test cases	9.5.0	9.6.0
2011-09	RAN#53	R5-114096	0038	-	Missing FGIs in RRM Test Case Applicabilities in 36.521-2	9.5.0	9.6.0
2011-12	RAN#54	R5-115128	0039	-	Correction the content of A.4.4-1_16 in 36.521-2	9.6.0	9.7.0
2011-12	RAN#54	R5-115134	0040	-	Correction to the test case condition of C12 in 3GPP TS 36.521-2	9.6.0	9.7.0
2011-12	RAN#54	R5-115186	0041	-	Adding band 22 (3500MHz FDD) to 36.521-2	9.6.0	9.7.0
2011-12	RAN#54	R5-115785	0042	-	Requirement change in UE spurious emissions for Band 7 and 38 co-existence (Rel-8 only)	9.6.0	9.7.0
2011-12	RAN#54	R5-115422	0043	-	Update of FGI bit table in 36.521-2	9.6.0	9.7.0
2011-12	RAN#54	R5-115813	0044	1-	RF: Update of the applicability list	9.6.0	9.7.0
2012-03	RAN#55	R5-120081	0045	1-	Removal of technical content in 36.521-2 v9.7.0 and	9.7.0	9.8.0
					substitution with pointer to the next Release		

## History

Document history				
V9.0.0	April 2010	Publication		
V9.1.0	July 2010	Publication		
V9.2.0	October 2010	Publication		
V9.3.0	January 2011	Publication		
V9.4.0	April 2011	Publication		
V9.5.0	July 2011	Publication		
V9.6.0	November 2011	Publication		
V9.7.0	January 2012	Publication		
V9.8.0	March 2012	Publication		