

# ETSI TS 138 307 V17.11.0 (2024-02)



**5G;  
NR;  
Requirements on User Equipments (UEs)  
supporting a release-independent frequency band  
(3GPP TS 38.307 version 17.11.0 Release 17)**



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**Reference**

RTS/TSGR-0438307vhb0

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**Keywords**

5G

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# Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

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Version x.y.z

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- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, modal verbs have the following meanings:

- shall** indicates a mandatory requirement to do something
- shall not** indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

- should** indicates a recommendation to do something
- should not** indicates a recommendation not to do something
- may** indicates permission to do something
- need not** indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

- can** indicates that something is possible
- cannot** indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

- will** indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
- will not** indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
- might** indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

**might not** indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

**is** (or any other verb in the indicative mood) indicates a statement of fact

**is not** (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

---

# 1 Scope

The present document specifies requirements for Rel-16 UEs supporting release independent features like:

- additional NR operating bands and power classes on top of Rel-16 of TS 38.101-1/-2/-3/-4 [2-5] and TS 38.133 [6];

Furthermore, for Rel-17 UEs supporting satellite access operation, the present document specifies requirements supporting release independent features like:

- additional NR operating bands on top of Rel-17 of TS 38.101-5 [8] and TS 38.133 [6].

---

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".
- [3] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".
- [4] 3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".
- [5] 3GPP TS 38.101-4: "NR; User Equipment (UE) radio transmission and reception; Part 4: UE performance requirements".
- [6] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".
- [7] 3GPP TS 38.306: "NR; User Equipment (UE) radio access capabilities".
- [8] 3GPP TS 38.101-5: "NR; User Equipment (UE) radio transmission and reception; Part 5: Satellite access Radio Frequency (RF) and performance requirements".

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# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**release independent:** applicable to some frozen releases, starting from a certain release Rel-M



NOTE 1: Normally, a feature is introduced only in the latest open release Rel-N and future releases are based on the previous one so that future releases inherit the requirements of this feature. Introducing a feature "in a release independent way from Rel-M onwards" ( $M < N$ ) means it was decided by TSG RAN that this feature would be also beneficial in previous, already frozen releases starting with Rel-M until Rel-(N-1). In order to avoid touching TS 38.101 [2-5] or TS 38.133 [6] of these frozen releases, the corresponding requirements are captured in TS 38.307 via pointers to [2-5] or [6] of the release in which the feature was introduced.

NOTE 2: Release independent does not mean applicable to all releases.

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

|   |   |
|---|---|
| N | Release in which a feature is introduced into TS 38.101 [2-5] or TS 38.133 [6]    |
| M | Release from which onwards (including release M) a feature is release independent |

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

|       |   |
|-------|---|
| BW    | Bandwidth                               |
| CA    | Carrier Aggregation                     |
| CC    | Component carrier                       |
| DL    | Downlink                                |
| EN-DC | Dual connectivity between E-UTRA and NR |
| FDD   | Frequency Division Duplex               |
| FR1   | Frequency range 1                       |
| FR2   | Frequency range 2                       |
| NR    | New radio                               |
| REL   | Release                                 |
| SDL   | Supplementary downlink                  |
| SUL   | Supplementary uplink                    |
| TDD   | Time Division Duplex                    |
| UE    | User Equipment                          |
| UL    | Uplink                                  |

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## 4 General

TSG-RAN has agreed for certain features (see the following clauses) to introduce them in a "release independent way".

This means for each feature:

- it is "introduced" in a release N, i.e. TS 38.101 [2-5] and TS 38.133 [6] of release N define certain UE requirements for this feature; the feature is indicated in the tables of the following clauses;
- it is "release independent" starting from a release M ( $M < N$ ); M for the given feature is provided in the tables of the following clauses;
- UEs supporting this feature have to fulfil additional requirements in release M or higher which are specified in one or more Annexes of TS 38.307 of release N; the applicable Annexes for a given feature are provided in the tables of the following clauses.

The applicable UE Categories are specified in TS 38.306 [7] according to the release to which the UE conforms.

In the table of release independent features in subsequent clauses, "FDD, TDD" refers to CA or EN-DC configuration composed by only FDD bands or only TDD bands, respectively. "FDD and TDD" refers to CA or EN-DC configuration

including both FDD and TDD bands. "SDL and FDD, SDL and TDD" refers to CA configuration including both SDL and FDD bands or both SDL and TDD bands, respectively. "TDD and SUL" refers to SUL configuration including both TDD and SUL bands. "FDD and TDD and SUL" refers to EN-DC configuration including both FDD, TDD and SUL bands. Unless stated otherwise, the release independent for the band combinations are from Rel-15. Configurations with BCSs other than BCS5 are release independent from Rel-15, where the BCSs for configurations are defined in TS 38.101-1 [2] and/or TS 38.101-3 [4]. Configurations with BCS5 are release independent from Rel-17, and BCS5 with signalling is allowed for early implementation from Rel-15. Note that BCS4/BCS5 is not applicable to intra-band EN-DC configurations.

When a new feature is introduced only the latest release of release independent spec needs to be updated. The latest release of release independent spec refers to the release which the new feature is introduced in. If an RF feature introduced in the same release as the release which the feature is independent from, (i.e. M=N), the common UE RF requirements table in annex B.4 is specified from release N+1, otherwise the common UE RF requirements table is specified from release N.

## 5 Release independent features for NR frequency range 1

### 5.1 Additional NR operating bands and UE power classes for NR frequency range 1

Requirements for a Rel-17 UE for additional NR operating bands and power classes compared to TS 38.101-1 of Rel-17 [2] are introduced via this clause.

**Table 5.1-1: NR operating bands**

| Feature  | Duplex-mode        | Release independent from | Requirements to be fulfilled (see TS 38.307 of the release in which the band was introduced) |
|--|--------------------|--------------------------|--|
| Operating bands                                | FDD, TDD, SDL, SUL | Rel-15                   | Table B.4.1-1, Table B.4.3-1   |
| Shared spectrum access operating bands         | TDD                | Rel-16                   | Table B.4.7-1  |
| UL MIMO operating bands without ULFPTx         | FDD, TDD           | Rel-15                   | Table B.4.13-1   |
| UL MIMO operating bands with ULFPTx            | FDD, TDD           | Rel-16                   |  |
| UL MIMO operating bands with or without ULFPTx | SUL                | Rel-17                   |  |

**Table 5.1-2: NR UE power class**

| Feature         | Duplex-mode   | Release independent from | Requirements to be fulfilled (see TS 38.307 of the release in which the power class was introduced) |
|-----------------|---------------|--------------------------|---|
| Power Class 1   | FDD           | Rel-15                   | Table B.4.1-1   |
| Power Class 1.5 | TDD           | Rel-15                   | Table B.4.1-1, Table B.4.3-1  |
| Power Class 2   | FDD, TDD      | Rel-15                   | Table B.4.1-1, Table B.4.3-1  |
| Power Class 3   | FDD, TDD, SUL | Rel-15                   | Table B.4.1-1, Table B.4.3-1  |

## 5.2 Additional NR CA configurations for NR frequency range 1

### 5.2.1 Intra-band CA

Requirements for a Rel-16 UE for additional NR intraband CA configurations within FR1 compared to TS 38.101-1 of Rel-16 [2] are introduced via this clause.

Requirements for a Rel-17 UE for additional NR intraband CA configurations with UL MIMO within FR1 compared to TS 38.101-1 of Rel-17 [2] are introduced via this clause.

**Table 5.2.1-1: NR intra-band CA within FR1**

| Feature   | DL/UL | CA BW Class                           | Duplex-mode | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|---|-------|---------------------------------------|-------------|--------------------------|---|
| Intra-band contiguous CA configurations within FR1              | DL    | B, C, D, E, G, H, I, J, K, L, M, N, O | FDD,TDD     | Rel-15                   | Table B.4.2-1   |
|   | UL    | A,B,C                                 | FDD,TDD     | Rel-15                   |   |
| Intra-band contiguous CA configurations with UL MIMO within FR1 | UL    | C                                     | FDD,TDD     | Rel-15                   | Table B.4.2-2   |

**Table 5.2.1-2: NR intra-band non-contiguous CA within FR1**

| Feature  | DL/UL | number of sub-blocks | maximum number of CCs within a sub-block | Duplex-mode | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|--|-------|----------------------|--|-------------|--------------------------|---|
| Intra-band non-contiguous CA configurations within FR1 | DL    | 2                    | 1  | FDD, TDD    | Rel-15                   | Table B.4.2-1   |
|  |       | 3                    | 1  | TDD         | Rel-15                   |   |
|  |       | 4                    | 1  | TDD         | Rel-15                   |   |
|  | UL    | 2                    | 1  | TDD         | Rel-15                   |   |

### 5.2.2 Inter-band CA

Requirements for a Rel-16 UE for additional NR inter-band CA configurations within FR1 compared to TS 38.101-1 of Rel-16 [2] are introduced via this clause.

Table 5.2.2-0: NR inter-band CA UE power class within FR1

| Feature                     | Duplex-mode                        | Release independent from | Requirements to be fulfilled (see TS 38.307 of the release in which the power class was introduced) |
|-----------------------------|------------------------------------|--------------------------|---|
| Inter-band CA Power Class 2 | TDD, FDD and TDD                   | Rel-15                   | Table B.4.2-1   |
| Inter-band CA Power Class 3 | FDD, TDD, SDL and TDD, FDD and TDD | Rel-15                   |   |

Table 5.2.2-1: NR inter-band CA within FR1

| Feature                                    | DL/UL | Maximum number of bands | number of CCs | CA BW Classes | Duplex-mode                                     | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|--|-------|-------------------------|---------------|---------------|---|--------------------------|---|
| Inter-band CA configurations within NR FR1 | DL    | 4                       | 5             | A, B, C       | TDD, FDD, SDL and FDD, SDL and TDD, FDD and TDD | Rel-15                   | Table B.4.2-1   |
|  | UL    | 2                       | 2             | A             | TDD, FDD, FDD and TDD                           | Rel-15                   |   |
|  |       | 1                       | 2             | B, C, 2A      |   |                          |   |
|  |       | 2                       | 3             | A, B, C       |   |                          |   |

### 5.3 Additional NR SUL configurations for NR frequency range 1

Requirements for a Rel-17 UE for additional NR SUL configurations within FR1 compared to TS 38.101-1 of Rel-17 [2] are introduced via this clause.

When a UE is configured with both NR UL and NR SUL carriers in a serving cell with active transmission either on the UL carrier or SUL carrier, the release independent features in clause 5.1 are applicable for the UL carrier and the SUL carrier, respectively.

Table 5.3-1: NR SUL within FR1

| Feature                                     | DL/UL | number of bands | number of CCs | CA BW Classes | Duplex-mode              | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the SUL configuration was introduced) |
|---|-------|-----------------|---------------|---------------|--------------------------|--------------------------|--|
| Inter-band SUL configurations within NR FR1 | DL    | 3               | 3             | A, C          | TDD, FDD, FDD and TDD    | Rel-15                   | Table B.4.3-1  |
|   | UL    | 2               | 3             | A, C          | TDD and SUL, FDD and SUL | Rel-15                   |  |

## 5.4 Other release independent features for NR frequency range 1

This clause covers requirements for a Rel-15 UE coming from all other release independent features that are not covered under clause 5.1, 5.2 and 5.3, e.g. generic baseband requirements or requirements that are not band/CA/SUL configuration specific.

**Table 5.4-1: Additional requirements of other release independent features**

| Feature  | Release independent from | Requirements to be fulfilled (see 38.307 of the REL when the feature was introduced) | Further information   |
|--|--------------------------|--|---|
| RRM requirements for high speed train scenario   | Rel-15 (NOTE 1)          | Table C.1-1  | Rel-16 WI NR_HST introduced band independent RRM requirements: see Table C.1-1  |
| UE demodulation requirements for high speed train scenario   | Rel-15 (NOTE 1)          | Table C.2-1  | Rel-16 WI NR_HST introduced band independent UE demodulation requirements: see Table C.2-1  |
| RF requirements for 4Rx UEs  | Rel-15                   | Table B.4.10-1, Table B.4.10-2   |   |
| Transparent Tx diversity   | Rel-15                   | Table B.4.11-1   | Rel-17 WI NR_RF_TxD introduced transparent Tx diversity requirements: see Table B.4.11-1  |
| UE demodulation and CSI requirements for MMSE-IRC receiver for scenarios with inter cell and intra cell inter user interference  | Rel-15                   | Table B.3.3-1  | Rel-17 WI NR_demod_enh2-Perf: see Table B.3.3-1. These requirements are optional for Rel-15 and Rel-16 UEs and can be executed based on UE declaration. |
| RRM enhancement for FR1 high speed train scenario enhancement  | Rel-16 (NOTE 2)          | Table C.3-1  | Rel-17 WI NR_HST_FR1_enh introduced band independent RRM enhancement: see Table C.3-1   |
| HST-SFN CA demodulation enhancement for FR1 high speed train scenario enhancement  | Rel-16 (NOTE 3)          | Table C.4-1  | Rel-17 WI NR_HST_FR1_enh introduced band independent HST-SFN CA demodulation enhancement: see Table C.4-1   |
| HST-DPS CA demodulation enhancement for FR1 high speed train scenario enhancement  | Rel-15 (NOTE 4)          | Table C.4-1  | Rel-17 WI NR_HST_FR1_enh introduced band independent HST-DPS CA demodulation enhancement: see Table C.4-1   |
| NOTE 1: Rel-15 UEs supporting the high speed train are assumed to read the Rel-16 high speed train scenario information, which is broadcast to all UEs.<br>NOTE 2: Rel-16 UEs supporting the high speed train are assumed to read the Rel-17 high speed train scenario information, which is broadcast to all UEs.<br>NOTE 3: Rel-16 UEs supporting the high speed train are assumed to read the Rel-17 high speed train scenario information, which is broadcast to all UEs.<br>NOTE 4: Rel-15 UEs supporting the high speed train are assumed to read the Rel-17 high speed train scenario information, which is broadcast to all UEs. |                          |  |   |

## 5.5 Additional Inter-band NR-DC configurations for NR frequency range 1

Requirements for a Rel-16 UE for additional NR-DC configurations within FR1 compared to TS 38.101-1 of Rel-16 [2] are introduced via this clause.

Table 5.5.1-1: NR-DC within FR1

| Feature                            | DL/UL | Maximum number of bands | number of CCs | CA BW Classes | Duplex-mode | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the DC configuration was introduced) |
|------------------------------------|-------|-------------------------|---------------|---------------|-------------|--------------------------|---|
| NR-DC configurations within NR FR1 | DL    | 2                       | 2             | A             | FDD         | Rel-16                   |   |

5.6 UL 7.5KHz shift for TDD band n40 Requirements for a Rel-17 UE for UL 7.5KHz shift for TDD band n40 within FR1 compared to TS 38.101-1 of Rel-17 [2] are introduced via this clause. For Band n40, UL shift is only applicable to uplink transmissions using a 15 kHz SCS.

Table 5.3-2: UL 7.5KHz shift for band n40 in FR1

| Feature                             | DL/UL | Duplex-mode | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the configuration was introduced) |
|-------------------------------------|-------|-------------|--------------------------|--|
| 7.5KHz UL shift for band n40 in FR1 | UL    | TDD         | Rel-15                   | Table B.4.7-1  |

## 5.6 Void

## 5.7 Additional Inter-band EN-DC or NR CA configurations involving shared spectrum access

Requirements for a Rel-17 UE for additional NR CA, EN-DC, and NR DC configurations involving shared spectrum access compared to TS 38.101-1 of Rel-16 [2] or TS 38.101-3 of Rel-17 [4] are introduced via this clause.

Table 5.6-1: NR-DC within FR1

| Feature   | Duplex-mode      | Release independent from | Requirements to be fulfilled (see 38.307 of the REL in which the EN-DC or NR CA configuration was introduced) |
|---|------------------|--------------------------|---|
| Intra-band and Inter-band NR CA configurations involving shared spectrum access | FDD and TDD, TDD | Rel-16                   | Table B.3.1-1, Table B.4.2-1, Table B.4.8-1   |
| Inter-band EN-DC configurations involving shared spectrum access                | FDD and TDD, TDD | Rel-16                   | Table B.4.6-1   |
| Inter-band NR DC configurations involving shared spectrum access                | FDD and TDD, TDD | Rel-16                   | Table B.4.5-1   |

## 5.8 Additional V2X configurations for NR frequency range 1

Requirements for a Rel-17 UE for additional NR SL configurations involving V2X con-current operation compared to TS 38.101-1 of Rel-17 [4] are introduced via this clause.

Table 5.8-1: NR V2X con-current operation within in FR1

| Feature  | Release independent from | Requirements to be fulfilled (see 38.307 of the REL when the feature was introduced) | Further information  |
|--|--------------------------|--|--|
| Operating bands for V2X communication with con-current operation | Rel-16                   | Table B.4.12-1, Table B.4.12-2   | NR V2X new bands, intra-band/inter-band con-current operation, SL MIMO/TxD are release independent according to requirements in Table B.4.12-1, Table B.4.12-2 |

## 6 Release independent features for NR frequency range 2

### 6.1 Additional NR operating bands and UE power classes for NR frequency range 2

Requirements for a Rel-16 UE for additional NR operating bands and power classes compared to TS 38.101-2 of Rel-16 [3] are introduced via this clause.

Table 6.1-1: NR operating bands

| Feature         | Duplex-mode | Release independent from | Requirements to be fulfilled (see TS 38.307 of the release in which the band was introduced) |
|-----------------|-------------|--------------------------|--|
| Operating bands | TDD         | Rel-15                   | Table B.4.1-1  |

**Table 6.1-2: NR UE power class**

| Feature                   | Duplex-mode | Release independent from | Requirements to be fulfilled (see TS 38.307 of the release in which the band was introduced) |
|---------------------------|-------------|--------------------------|--|
| Power Class 1, 2, 3, 4, 5 | TDD         | Rel-15                   | Table B.4.1-1  |

## 6.2 Additional NR CA configurations for NR frequency range 2

### 6.2.1 Intra-band CA

Requirements for a Rel-16 UE for additional NR intra-band CA configurations within FR2 compared to TS 38.101-2 of Rel-16 [3] are introduced via this clause.

**Table 6.2.1-1: NR intra-band contiguous CA within FR2**

| Feature  | DL/UL | CA BW Class                                 | Duplex-mode | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|--|-------|---|-------------|--------------------------|---|
| Intra-band contiguous CA configurations within FR2 | DL    | B, C, D, E, F, G, H, I, J, K, L, M, O, P, Q | TDD         | Rel-15                   | Table B.4.2-1   |
|  | UL    | B, D, E, F, G, H, I, J, K, L, M, O, P, Q    | TDD         | Rel-15                   |   |

**Table 6.2.1-2: NR non-contiguous intra-band CA within FR2**

| Feature  | DL/UL | number of sub-blocks | maximum number of CCs within a sub-block | Duplex-mode | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|--|-------|----------------------|--|-------------|--------------------------|---|
| Intra-band non-contiguous CA configurations within FR2 | DL    | 2                    | 4  | TDD         | Rel-15                   | Table B.4.2-1   |
|  |       | 3                    | 1  | TDD         | Rel-15                   |   |
|  |       | 4                    | 1  | TDD         | Rel-15                   |   |
|  |       | 5                    | 2  | TDD         | Rel-15                   |   |
|  |       | 6                    | 2  | TDD         | Rel-15                   |   |
|  |       | 7                    | 2  | TDD         | Rel-15                   |   |
|  |       | 8                    | 1  | TDD         | Rel-15                   |   |
|  |       | 9                    | 1  | TDD         | Rel-15                   |   |
|  |       | 10                   | 1  | TDD         | Rel-15                   |   |



Table 6.2.1-3: NR inter-band CA within FR2

| Feature                                    | DL/UL | Maximum number of bands | CA BW Classes                               | Duplex-mode | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|--|-------|-------------------------|---|-------------|--------------------------|---|
| Inter-band CA configurations within NR FR2 | DL    | 2                       | B, C, D, E, F, G, H, I, J, K, L, M, O, P, Q | TDD         | Rel-16                   | Table B.4.2-1   |
|  | UL    | 1                       | B, C, D, E, F, G, H, I, J, K, L, M, O, P, Q | TDD         | Rel-16                   |   |

## 7 Release independent features for NR interworking between NR frequency range 1 and NR frequency range 2

### 7.1 Additional NR inter-band CA configurations between frequency range 1 and frequency range 2

Requirements for a Rel-16 UE for additional NR inter-band CA configurations between FR1 and FR2 compared to TS 38.101-3 of Rel-16 [4] are introduced via this clause.

Table 7.1-1: NR inter-band CA between FR1 and FR2

| Feature  | DL/UL  | number of bands | maximum number of CCs | CA BW Classes                   | Duplex-mode           | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|--|--------|-----------------|-----------------------|---------------------------------|-----------------------|--------------------------|---|
| Inter-band CA configurations for NR interworking between FR1 and FR2 | DL FR1 | 3               | 4                     | A, C                            | FDD, TDD, FDD and TDD | Rel-15                   | Table B.4.4-1   |
|  | DL FR2 | 1               | 4                     | A, D, E, F, G, H, I, J, K, L, M | TDD                   | Rel-15                   |   |
|  | UL FR1 | 1               | 1                     | A                               | FDD, TDD              | Rel-15                   |   |
|  | UL FR2 | 1               | 1                     | A, D, G, H, I, J, K, L, M       | TDD                   | Rel-15                   |   |

## 7.2 Additional Inter-band NR-DC configurations between frequency range 1 and frequency range 2

Requirements for a Rel-16 UE for additional Inter-band NR-DC configurations between FR1 and FR2 compared to TS 38.101-3 of Rel-16 [4] are introduced via this clause.

**Table 7.2-1: Inter-band NR-DC between FR1 and FR2**

| Feature  | DL/UL  | number of bands | maximum number of CCs | CA BW Classes                   | Duplex-mode           | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|--|--------|-----------------|-----------------------|---------------------------------|-----------------------|--------------------------|---|
| Inter-band DC configurations for NR interworking between FR1 and FR2 | DL FR1 | 3               | 4                     | A, C                            | FDD, TDD, FDD and TDD | Rel-15                   | Table B.4.5-1   |
|  | DL FR2 | 1               | 8                     | A, D, E, F, G, H, I, J, K, L, M | TDD                   | Rel-15                   |   |
|  | UL FR1 | 1               | 1                     | A                               | FDD,                  | Rel-15                   |   |
|  | UL FR2 | 1               | 1                     | A, D, G, H, I, J, K, L, M       | TDD                   | Rel-15                   |   |

## 8 Release independent features for NR interworking between NR and E-UTRA

### 8.1 Additional EN-DC configurations

#### 8.1.1 Intra-band EN-DC

Requirements for a Rel-16 UE for additional EN-DC intra-band configurations within FR1 compared to TS 38.101-3 of Rel-16 [4] are introduced via this clause.

**Table 8.1.1-0: EN-DC intra-band UE power class**

| Feature   | Duplex-mode | Release independent from | Requirements to be fulfilled (see TS 38.307 of the release in which the band was introduced) |
|---|-------------|--------------------------|--|
| Intra-band contiguous EN-DC power class 1.5     | TDD         | Rel-15                   | Table B.4.6-1  |
| Intra-band contiguous EN-DC power class 2       | TDD         | Rel-15                   |  |
| Intra-band contiguous EN-DC power class 3       | FDD, TDD    | Rel-15                   |  |
| Intra-band non-contiguous EN-DC power class 1.5 | TDD         | Rel-15                   |  |
| Intra-band non-contiguous EN-DC power class 2   | TDD         | Rel-15                   |  |
| Intra-band non-contiguous EN-DC power class 3   | FDD, TDD    | Rel-15                   |  |

**Table 8.1.1-1: EN-DC contiguous intra-band configurations within FR1**

| Feature                     | DL/UL | maximum number of E-UTRA CCs | maximum number of NR CCs | Duplex-mode | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|-----------------------------|-------|------------------------------|--------------------------|-------------|--------------------------|---|
| Intra-band contiguous EN-DC | DL    | 3                            | 2                        | FDD, TDD    | Rel-15                   | Table B.3.2-1, Table B.4.6-1  |
|                             | UL    | 1                            | 1                        | FDD, TDD    | Rel-15                   |   |

**Table 8.1.1-2: EN-DC non-contiguous intra-band configurations within FR1**

| Feature                         | DL/UL | maximum number of sub-blocks | maximum number of E-UTRA CCs | maximum number of NR CCs | Duplex-mode | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|---------------------------------|-------|------------------------------|------------------------------|--------------------------|-------------|--------------------------|---|
| Intra-band non-contiguous EN-DC | DL    | 3                            | 3                            | 1                        | FDD, TDD    | Rel-15                   | Table B.3.2-2, Table B.4.6-1  |
|                                 | UL    | 2                            | 1                            | 1                        | FDD, TDD    | Rel-15                   |   |

## 8.1.2 Inter-band EN-DC

### 8.1.2.1 Inter-band EN-DC within frequency range 1

Requirements for a Rel-16 UE for additional EN-DC inter-band configurations within FR1 compared to TS 38.101-3 of Rel-16 [4] are introduced via this clause.

**Table 8.1.2.1-0: EN-DC inter-band UE power class**

| Feature                        | Duplex-mode           | Release independent from | Requirements to be fulfilled (see TS 38.307 of the release in which the band was introduced) |
|--------------------------------|-----------------------|--------------------------|--|
| Inter-band EN-DC Power Class 2 | TDD, FDD and TDD      | Rel-15                   | Table B.4.6-1  |
| Inter-band EN-DC Power Class 3 | FDD, TDD, FDD and TDD | Rel-15                   |  |

**Table 8.1.2.1-1: EN-DC inter-band configurations without SUL within FR1**

| Feature          | DL/UL | maximum number of E-UTRA bands | maximum number of E-UTRA CCs | maximum number of NR bands | maximum number of NR CCs | Duplex-mode           | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|------------------|-------|--------------------------------|------------------------------|----------------------------|--------------------------|-----------------------|--------------------------|---|
| Inter-band EN-DC | DL    | 6                              | 6                            | 2                          | 3                        | FDD, TDD, FDD and TDD | Rel-15                   | Table B.4.6-1   |
|                  | UL    | 1                              | 2 contiguous                 | 1                          | 2 contiguous             | FDD, TDD, FDD and TDD | Rel-15                   |   |

**Table 8.1.2.1-2: EN-DC inter-band configurations with SUL within FR1**

| Feature          | DL/UL | maximum number of E-UTRA bands | maximum number of E-UTRA CCs | maximum number of NR bands | maximum number of NR CCs | Duplex-mode                   | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|------------------|-------|--------------------------------|------------------------------|----------------------------|--------------------------|-------------------------------|--------------------------|---|
| Inter-band EN-DC | DL    | 2                              | 3                            | 1                          | 1                        | FDD, TDD, FDD and TDD         | Rel-15                   | Table B.4.6-1   |
|                  | UL    | 1                              | 1                            | 2                          | 2 contiguous             | FDD, TDD, FDD and TDD and SUL | Rel-15                   |   |

### 8.1.2.2 Inter-band EN-DC including frequency range 2

Requirements for a Rel-16 UE for additional EN-DC inter-band configurations including FR2 compared to TS 38.101-3 of Rel-16 [4] are introduced via this clause.

**Table 8.1.2.2-1: EN-DC inter-band configurations including FR2**

| Feature          | DL/UL | number of E-UTRA bands | maximum number of E-UTRA CCs | number of NR bands | maximum number of NR CCs | Duplex-mode      | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|------------------|-------|------------------------|------------------------------|--------------------|--------------------------|------------------|--------------------------|---|
| Inter-band EN-DC | DL    | 4                      | 6                            | 1                  | 10                       | TDD, FDD and TDD | Rel-15                   | Table B.4.6-1   |
|                  | UL    | 1                      | 4                            | 1                  | 8                        | TDD, FDD and TDD | Rel-15                   |   |

### 8.1.2.3 Inter-band EN-DC including frequency range 1 and frequency range 2

Requirements for a Rel-16 UE for additional EN-DC inter-band configurations including FR1 and FR2 compared to TS 38.101-3 of Rel-16 [4] are introduced via this clause.

**Table 8.1.2.3-1: EN-DC inter-band configurations including FR1 and FR2**

| Feature          | DL/UL  | maximum number of E-UTRA bands | maximum number of E-UTRA CCs | maximum number of NR bands | maximum number of NR CCs | Duplex-mode           | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|------------------|--------|--------------------------------|------------------------------|----------------------------|--------------------------|-----------------------|--------------------------|---|
| Inter-band EN-DC | DL FR1 | 4                              | 6                            | 1                          | 2                        | TDD, FDD, FDD and TDD | Rel-15                   | Table B.4.6-1   |
|                  | DL FR2 |                                |                              | 1                          | 8                        | TDD                   | Rel-15                   |   |
|                  | UL FR1 | 1                              | 2                            | 1                          | 1                        | FDD, TDD, FDD and TDD | Rel-15                   |   |
|                  | UL FR2 |                                |                              | 1                          | 3                        | TDD,                  | Rel-15                   |   |

## 8.2 Additional NE-DC configurations

### 8.2.1 Interband NE-DC

#### 8.2.1.1 Interband NE-DC within frequency range 1

Requirements for a Rel-17 UE for additional NE-DC interband configurations within FR1 compared to TS 38.101-3 of Rel-17 [4] are introduced via this clause.

**Table 8.2.1.1-0: NE-DC interband UE power class**

| Feature                       | Duplex-mode           | Release independent from | Requirements to be fulfilled (see TS 38.307 of the release in which the band was introduced) |
|-------------------------------|-----------------------|--------------------------|--|
| Interband NE-DC Power Class 3 | FDD, TDD, FDD and TDD | Rel-15                   | Table B.4.6-1  |

**Table 8.2.1.1-1: NE-DC interband configurations without SUL within FR1**

| Feature         | DL/UL | maximum number of E-UTRA bands | maximum number of E-UTRA CCs | maximum number of NR bands | maximum number of NR CCs | Duplex-mode           | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|-----------------|-------|--------------------------------|------------------------------|----------------------------|--------------------------|-----------------------|--------------------------|---|
| Interband NE-DC | DL    | 4                              | 5                            | 1                          | 1                        | FDD, TDD, FDD and TDD | Rel-15                   | Table B.4.6-1   |
|                 | UL    | 1                              | 1                            | 1                          | 1                        | FDD, TDD, FDD and TDD | Rel-15                   |   |

#### 8.2.1.2 Interband EN-DC including frequency range 2

Requirements for a Rel-17 UE for additional NE-DC interband configurations including FR2 compared to TS 38.101-3 of Rel-17 [4] are introduced via this clause.

Table 8.2.1.2-1: NE-DC interband configurations including FR2

| Feature         | DL/UL | number of E-UTRA bands | maximum number of E-UTRA CCs | number of NR bands | maximum number of NR CCs | Duplex-mode | Release independent from | requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced) |
|-----------------|-------|------------------------|------------------------------|--------------------|--------------------------|-------------|--------------------------|---|
| Interband NE-DC | DL    | 4                      | 5                            | 1                  | 8                        | FDD and TDD | Rel-15                   | Table B.4.6-1   |
|                 | UL    | 1                      | 2                            | 1                  | 8                        | FDD and TDD | Rel-15                   |   |

## 9 Release independent features for NR UE supporting satellite access operation

### 9.1 Additional NR operating bands for NTN

Requirements for a Rel-17 NR UE supporting satellite access operation, for additional NTN operating bands compared to TS 38.101-5 of Rel-17 [8] are introduced via this clause.

Table 9.1-1: Additional NR operating bands for NTN

| Feature             | Duplex-mode | Release independent from | Requirements to be fulfilled (see TS 38.307 of the release in which the band was introduced) |
|---------------------|-------------|--------------------------|--|
| NTN operating bands | FDD         | Rel-17                   | Table F.1-1  |

Table 9.1-2: NR NTN UE power class

| Feature       | Duplex-mode | Release independent from | Requirements to be fulfilled (see TS 38.307 of the release in which the power class was introduced) |
|---------------|-------------|--------------------------|---|
| Power Class 3 | FDD         | Rel-17                   |   |

Table 9.1-3: NR NTN UE channel bandwidth

| Feature              | Duplex-mode | Release independent from | Requirements to be fulfilled (see TS 38.307 of the release in which the UE channel bandwidth was introduced) |
|----------------------|-------------|--------------------------|--|
| UE channel bandwidth | FDD         | Rel-17                   |  |

## Annex A : Frequency arrangement for overlapping operating bands

The following information is provided in order to assist a UE derive the DL NR-ARFCN and UL NR-ARFCN in a multi-band environment, in which multiple overlapping operating bands may be indicated in the fields *freqBandIndicatorNR* and *MultiFrequencyBandListNR-SIB*.

The overlapping bands, independent of release, which may be indicated in a cell are shown in Table A-1 for applicable NR operating bands. The DL NR-ARFCN and UL NR-ARFCN are derived according to TS 38.101-1 and TS 38.101-2.

**Table A-1: Overlapping bands (multi-band environments) for each NR band**

| NR Operating Band | Overlapping NR operating bands | Duplex Mode |
|-------------------|--------------------------------|-------------|
| n2                | n25                            | FDD         |
| n5                | n18, n26                       | FDD         |
| n18               | n5, n26                        | FDD         |
| n12               | n85                            | FDD         |
| n25               | n2                             | FDD         |
| n26               | n5, n18                        | FDD         |
| n38               | n41, n90                       | TDD         |
| n41               | n38, n90                       | TDD         |
| n48               | n78, n77                       | TDD         |
| n78               | n48, n77                       | TDD         |
| n77               | n48, n78                       | TDD         |
| n80               | n86                            | SUL         |
| n85               | n12                            | FDD         |
| n86               | n80                            | SUL         |
| n96               | n102                           | TDD         |
| n102              | n96                            | TDD         |
| n257              | n258                           | TDD         |
| n257              | n261                           | TDD         |
| n259              | n260                           | TDD         |

## Annex B (normative): Common Requirements for bands, CA, SUL or DC

### B.1 Purpose of annex

The purpose of Annex B is to group the requirements that are common for several bands or CA configurations in this specification and use the common tables as references.

### B.2 Common RRM requirements

### B.3 Common UE performance requirements

#### B.3.1 Common UE performance requirements for different CA configurations and combination sets

The requirements and test cases listed in Table B.3.1-1 are specified in Rel-16 version of TS 38.101-4 [5].

**Table B.3.1-1: Common UE performance requirements for different CA configurations and combination sets**

| Section / Clause  | Description  |
|---|--|
| 5.2A.2.1  | PDSCH 2RX demodulation requirements for NR FR1 CA configurations <sup>(Note 1)</sup>                             |
| 5.2A.3.1  | PDSCH 4RX demodulation requirements for NR FR1 CA configurations <sup>(Note 1)</sup>                             |
| 7.2A.2  | PDSCH 2RX demodulation requirements for NR FR2 CA configurations <sup>(Note 1)</sup>                             |
| 5.2A.2.2  | PDSCH 2RX demodulation requirements for NR FR1 intra-band contiguous CA with power imbalance <sup>(Note 2)</sup> |
| 5.2A.3.2  | PDSCH 4RX demodulation requirements for NR FR1 intra-band contiguous CA with power imbalance <sup>(Note 2)</sup> |
| 6.2A  | Channel Quality Indicator (CQI) reporting requirements for NR FR1 CA <sup>(Note 3)</sup>                         |
| 8.2A  | Channel Quality Indicator (CQI) reporting requirements for NR FR2 CA <sup>(Note 3)</sup>                         |
| NOTE 1: The applicability of requirements for different CA configurations and bandwidth combination sets is specified in Section 5.1.1.5 and 7.1.1.5. |  |
| NOTE 2: The applicability of PDSCH performance requirements with power imbalance for intra-band contiguous CA is specified in Section 5.1.1.6.        |  |
| NOTE 3: The applicability of Channel Quality Indicator (CQI) reporting requirements for CA specified in Section 6.1.1.5 and 8.1.1.5.                  |  |

#### B.3.2 Common UE performance requirements for interworking between NR and E-UTRA

The requirements and test cases listed in Table B.3.2-1 and Table B.3.2-2 are specified in Rel-16 version of TS 38.101-4 [5].



**Table B.3.2-1: Common UE performance requirements for intra-band contiguous EN-DC within FR1**

| Section / Clause  | Description   |
|---|---|
| 9.5B.1.1  | PDSCH demodulation for FR1 intra-band contiguous EN-DC with power imbalance <sup>(Note 1)</sup> |
| NOTE 1: The requirements applicability for UE supporting FR1 intra-band and inter-band EN-DC is specified in Section 9.1.1. |   |

**Table B.3.2-2: Common UE performance requirements for intra-band non-contiguous EN-DC within FR1**

| Section / Clause  | Description   |
|---|---|
| 9.5B.1.2  | PDSCH demodulation for FR1 intra-band non-contiguous EN-DC with power imbalance <sup>(Note 1)</sup> |
| NOTE 1: The requirements applicability for UE supporting FR1 intra-band and inter-band EN-DC is specified in Section 9.1.1. |   |

### B.3.3 Common PDSCH demodulation and CSI requirements with inter cell interference and intra cell inter user interference

The requirements and test cases listed in Table B.3.3-1 are specified in Rel-17 version of TS 38.101-4 [5].

**Table B.3.3-1: UE PDSCH demodulation and CSI requirements with MMSE-IRC receiver for scenarios with inter cell interference and intra cell inter user interference**

| Section / Clause | Description   |
|------------------|---|
| 5.2.2.1.15       | PDSCH demodulation requirements with inter cell interference for 2RX FDD            |
| 5.2.3.1.15       | PDSCH demodulation requirements with inter cell interference for 4RX FDD            |
| 5.2.2.2.16       | PDSCH demodulation requirements with inter cell interference for 2RX TDD            |
| 5.2.3.2.16       | PDSCH demodulation requirements with inter cell interference for 4RX TDD            |
| 5.2.2.1.16       | PDSCH demodulation requirements with intra cell inter user interference for 2RX FDD |
| 5.2.3.1.16       | PDSCH demodulation requirements with intra cell inter user interference for 4RX FDD |
| 5.2.2.2.17       | PDSCH demodulation requirements with intra cell inter user interference for 2RX TDD |
| 5.2.3.2.17       | PDSCH demodulation requirements with intra cell inter user interference for 4RX TDD |
| 6.2.2.1.2.3      | CQI requirements with inter cell interference for 2RX FDD                           |
| 6.2.3.1.2.3      | CQI requirements with inter cell interference for 4RX FDD                           |
| 6.2.2.2.2.2      | CQI requirements with inter cell interference for 2RX TDD                           |
| 6.2.3.2.2.2      | CQI requirements with inter cell interference for 4RX TDD                           |

## B.4 Common UE RF requirements

### B.4.1 Common UE RF requirements for a release independent band

The requirements and test cases listed in Table B.4.1-1 are specified in REL-17 version of TS 38.101-1 [2] or TS 38.101-2 [3].

**Table B.4.1-1: Common UE RF requirements for a release independent band**

| Clause / Clause | Description   |
|-----------------|---|
| 5.2             | Operating bands   |
| 5.3             | UE Channel bandwidth  |
| 5.4             | Channel arrangement   |
| 6.2             | Transmitter power   |
| 6.3             | Output power dynamics   |
| 6.4             | Transmit signal quality   |
| 6.5             | Output RF spectrum emissions  |
| 6.6 of [3]      | Beam correspondence   |
| 7.3             | Reference sensitivity   |
| 7.4             | Maximum input level   |
| 7.5             | Adjacent Channel Selectivity  |
| 7.6             | Blocking characteristics  |
| 7.7 of [2]      | Spurious response   |
| 7.8 of [2]      | Intermodulation characteristics   |
| 7.9             | Spurious emissions  |
| L.1             | Indication of modified MPR behavior   |
| NOTE:           | A UE which supports any FR2 band introduced in release N, where N > 15, shall meet the requirements according to the FR2 UE multi-band relaxation factors defined in Table 6.2.1.3-4 of the release N version of [3] for all FR2 bands which it supports. |

## B.4.2 Common UE RF requirements for CA configurations within NR frequency range 1 or NR frequency range 2

The requirements and test cases listed in Table B.4.2-1 are specified in in REL-16 version of TS 38.101-1 [2] or TS 38.101-2 [3].

**Table B.4.2-1: Common UE RF requirements for a release independent CA configurations within NR frequency range 1 or NR frequency range 2**

| Clause      | Description                            |
|-------------|--|
| 5.2A        | Operating bands for CA                 |
| 5.3A        | UE channel bandwidth for CA            |
| 5.4A        | Channel arrangement for CA             |
| 6.2A        | Transmitter power for CA               |
| 6.3A        | Output power dynamics for CA           |
| 6.4A        | Transmit signal quality for CA         |
| 6.5A        | Output RF spectrum emissions for CA    |
| 6.6A of [3] | Beam correspondence for CA             |
| 7.3A        | Reference sensitivity for CA           |
| 7.4A        | Maximum input level for CA             |
| 7.5A        | Adjacent Channel Selectivity for CA    |
| 7.6A        | Blocking characteristics for CA        |
| 7.7A of [2] | Spurious response for CA               |
| 7.8A of [2] | Intermodulation characteristics for CA |
| 7.9A of [2] | Spurious emissions for CA              |

The requirements and test cases listed in Table B.4.2-2 are specified in in REL-17 version of TS 38.101-1 [2].

**Table B.4.2-2: Common UE RF requirements for a release independent intra-band contiguous UL CA configurations with UL MIMO within NR frequency range 1**

| Clause | Description  |
|--------|--|
| 6.2H   | Transmitter power for CA with UL MIMO                                    |
| 6.2H.1 | Transmitter power for intra-band UL contiguous CA for UL MIMO            |
| 6.3H   | Output power dynamics for intra-band UL contiguous CA for UL MIMO        |
| 6.4H   | Transmit signal quality for CA with UL MIMO                              |
| 6.4H.1 | Transmit signal quality for intra-band UL contiguous CA for UL MIMO      |
| 6.5H   | Output RF spectrum emissions for CA with UL MIMO                         |
| 6.5H.1 | Output RF spectrum emissions for intra-band UL contiguous CA for UL MIMO |

### B.4.3 Common UE RF requirements for SUL

The requirements and test cases listed in Table B.4.3-1 are specified in REL-17 version of TS 38.101-1 [2].

**Table B.4.3-1: Common UE RF requirements for a release independent SUL**

| Clause  | Description  |
|---------|--|
| 5.2     | Operating bands  |
| 5.2C    | Operating band combination for SUL                           |
| 5.4.2.1 | NR-ARFCN and channel raster (7.5kHz frequency shift for SUL) |
| 5.5C    | Configurations for SUL                                       |
| 6.2C    | Transmitter power for SUL                                    |
| 6.4.2.2 | Carrier leakage (7.5 kHz shift with the carrier frequency.)  |
| 7.3.3   | $\Delta RIB,c$   |
| 7.3C    | Reference sensitivity for SUL                                |
| 7.6C    | Blocking characteristics for SUL                             |

### B.4.4 Common UE RF requirements for interband CA configurations between NR frequency range 1 and NR frequency range 2

The requirements and test cases listed in Table B.4.4-1 are specified in in REL-16 version of TS 38.101-3 [4].

**Table B.4.4-1: Common UE RF requirements for a release independent interband CA configurations between NR frequency range 1 and NR frequency range 2**

| Clause | Description                            |
|--------|--|
| 5.2A   | Operating bands for CA                 |
| 5.3A   | UE channel bandwidth for CA            |
| 5.4A   | Channel arrangement for CA             |
| 6.2A   | Transmitter power for CA               |
| 6.3A   | Output power dynamics for CA           |
| 6.4A   | Transmit signal quality for CA         |
| 6.5A   | Output RF spectrum emissions for CA    |
| 7.3A   | Reference sensitivity for CA           |
| 7.4A   | Maximum input level for CA             |
| 7.5A   | Adjacent Channel Selectivity for CA    |
| 7.6A   | Blocking characteristics for CA        |
| 7.7A   | Spurious response for CA               |
| 7.8A   | Intermodulation characteristics for CA |
| 7.9A   | Spurious emissions for CA              |

## B.4.5 Common UE RF requirements for Inter-band NR-DC configurations between frequency range 1 and frequency range 2

The requirements and test cases listed in Table B.4.5-1 are specified in in REL-16 version of TS 38.101-3 [4].

**Table B.4.5-1: Common UE RF requirements for a release independent Inter-band NR-DC configurations between frequency range 1 and frequency range 2**

| Clause | Description                           |
|--------|---------------------------------------|
| 4.2    | Applicability of minimum requirements |
| 5.2B   | Operating bands for DC                |
| 6.2B.5 | Configured output power for NR-DC     |

## B.4.6 Common UE RF requirements for NR interworking between NR and E-UTRA

The requirements and test cases listed in Table B.4.6-1 are specified in REL-16 version of TS 38.101-3 [4].

**Table B.4.6-1: Common UE RF requirements for a release independent NR interworking between NR and E-UTRA**

| Clause | Description                                   |
|--------|---|
| 4.2    | Applicability of minimum requirements         |
| 5.2B   | Operating bands for DC                        |
| 5.3B   | UE channel bandwidth for DC                   |
| 5.4B   | Channel arrangement for DC                    |
| 6.2B   | Transmitter power for DC                      |
| 6.3B   | Output power dynamics for DC                  |
| 6.4B   | Transmit signal quality for DC                |
| 6.5B   | Output RF spectrum emissions for DC           |
| 6.6B   | Beam correspondence for DC                    |
| 7.3B   | Reference sensitivity level for DC            |
| 7.4B   | Maximum input level for DC in FR1             |
| 7.5B   | Adjacent Channel Selectivity for DC in FR1    |
| 7.6B   | Blocking characteristics for DC in FR1        |
| 7.7B   | Spurious response for DC in FR1               |
| 7.8B   | Intermodulation characteristics for DC in FR1 |
| 7.9A   | Spurious emissions for CA in FR1              |

## B.4.7 Common UE RF requirements for UL 7.5KHz shift for TDD band n40

The requirements and test cases listed in Table B.4.7-1 are specified in REL-17 version of TS 38.101-1 [2]. For Band n40, UL shift is only applicable to uplink transmissions using a 15 kHz SCS.

**Table B.4.7-1: Common UE RF requirements for UL 7.5KHz shift for TDD Band n40**

| Clause  | Description   |
|---------|---|
| 5.4.2.1 | NR-ARFCN and channel raster (7.5kHz frequency shift for TDD band n40) |

## B.4.8 Common UE RF requirements shared spectrum access

The requirements and test cases listed in Table B.4.8-1 are specified in REL-17 version of TS 38.101-1 [2].

**Table B.4.8-1: Common UE RF requirements for shared spectrum access**

| Clause | Description  |
|--------|--|
| 5.2A   | Operating bands for CA   |
| 5.3A   | UE channel bandwidth for CA  |
| 5.4A   | Channel arrangement for CA   |
| 6.2F   | Transmitter power for shared spectrum channel access               |
| 6.3F   | Output power dynamics for shared spectrum channel access           |
| 6.4F   | Transmit signal quality for shared spectrum channel access         |
| 6.5F   | Output RF spectrum emissions                                       |
| 7.3F   | Reference sensitivity for shared spectrum channel access           |
| 7.4    | Maximum input level  |
| 7.5F   | Adjacent channel selectivity                                       |
| 7.6F   | Blocking characteristics   |
| 7.7F   | Spurious response for shared spectrum channel access               |
| 7.8F   | Intermodulation characteristics for shared spectrum channel access |
| 7.9    | Spurious emissions   |

## B.4.9 Common UE RF requirements for Intra-band and Inter-band NR CA configurations involving shared spectrum access

The requirements and test cases listed in Table B.4.9-1 are specified in REL-17 version of TS 38.101-1 [2].

**Table B.4.9-1: Common UE RF requirements for Intra-band and Inter-band NR CA configurations involving shared spectrum access**

| Clause    | Description  |
|-----------|--|
| 6.2F.1A.1 | UE maximum output power for inter-band CA                      |
| 6.2F.2A.1 | UE maximum output power reduction for inter-band CA            |
| 6.2F.3A.1 | UE additional maximum output power reduction for inter-band CA |
| 6.3F.3A.1 | General ON/OFF mask for inter-band CA                          |
| 6.4F.2A.1 | Transmit modulation quality for inter-band CA                  |
| 7.3F.3    | $\Delta R_{B,c}$   |
| 7.3F.4    | Intra-band contiguous shared spectrum channel access CA        |
| 7.3G.5    | Inter-band CA with shared spectrum channel access              |
| 7.5F.2    | Intra-band contiguous shared spectrum channel access CA        |
| 7.7F.2    | Intra-band contiguous shared spectrum channel access CA        |

## B.4.10 Common UE RF requirements for 4Rx

The requirements and test cases listed in Table B.4.10-1 are specified in REL-17 version of TS 38.101-1 [2].

**Table B.4.10-1: Common UE RF requirements for 4Rx for single band in FR1**

| Clause | Description                     |
|--------|---------------------------------|
| 6.2.4  | Configured transmitted power    |
| 7.3    | Reference sensitivity           |
| 7.4    | Maximum input level             |
| 7.5    | Adjacent Channel Selectivity    |
| 7.6    | Blocking characteristics        |
| 7.7    | Spurious response               |
| 7.8    | Intermodulation characteristics |
| 7.9    | Spurious emissions              |

The requirements and test cases listed in Table B.4.10-2 are specified in REL-17 version of TS 38.101-1 [2].

**Table B.4.10-2: Common UE RF requirements for 4Rx for CA in FR1**

| Clause | Description                            |
|--------|--|
| 6.2A.4 | Configured output power for CA         |
| 7.3A   | Reference sensitivity for CA           |
| 7.4A   | Maximum input level for CA             |
| 7.5A   | Adjacent Channel Selectivity for CA    |
| 7.6A   | Blocking characteristics for CA        |
| 7.7A   | Spurious response for CA               |
| 7.8A   | Intermodulation characteristics for CA |
| 7.9A   | Spurious emissions for CA              |

## B.4.11 Common UE RF requirements for transparent Tx diversity

The requirements and test cases listed in Table B.4.11-1 are specified in in REL-17 version of TS 38.101-1 [2].

**Table B.4.11-1: Common UE RF requirements for a release independent transparent Tx diversity**

| Clause   | Description   |
|----------|---|
| 6.2G     | Transmitter power for Tx Diversity                            |
| 6.2G.1   | UE maximum output power for Tx Diversity                      |
| 6.2G.2   | UE maximum output power reduction for Tx Diversity            |
| 6.2G.3   | UE additional maximum output power reduction for Tx Diversity |
| 6.2G.4   | Configured transmitted power for Tx Diversity                 |
| 6.3G     | Output power dynamics for Tx Diversity                        |
| 6.3G.1   | Minimum output power for Tx Diversity                         |
| 6.3G.2   | Transmit OFF power for Tx Diversity                           |
| 6.3G.3   | Transmit ON/OFF time mask for Tx Diversity                    |
| 6.3G.4   | Power control for Tx Diversity                                |
| 6.4G     | Transmit signal quality for Tx Diversity                      |
| 6.4G.1   | Frequency error for Tx Diversity                              |
| 6.4G.2   | Transmit modulation quality for Tx Diversity                  |
| 6.4G.2.1 | Error Vector Magnitude  |
| 6.4G.2.2 | Carrier leakage   |
| 6.4G.2.3 | In-band emissions   |
| 6.4G.2.4 | EVM equalizer spectrum flatness for Tx Diversity              |
| 6.5G     | Output RF spectrum emissions for Tx Diversity                 |
| 6.5G.1   | Occupied bandwidth for Tx Diversity                           |
| 6.5G.2   | Out of band emission for Tx Diversity                         |
| 6.5G.3   | Spurious emission for Tx Diversity                            |
| 6.5G.4   | Transmit intermodulation for Tx Diversity                     |
| 7.3G     | Reference sensitivity for Tx Diversity                        |
| F.8      | EVM measurement for dual Tx                                   |

## B.4.12 Common UE RF requirements for NR V2X

The requirements and test cases listed in Table B.4.12-1 are specified in REL-17 version of TS 38.101-1 [2].

**Table B.4.12-1: Common UE RF requirements for release independent operating bands and concurrent operation for NR V2X**

| Clause | Description                                   |
|--------|---|
| 5.2E   | Operating band for V2X                        |
| 5.2E.1 | V2X operating bands                           |
| 5.2E.2 | V2X operating bands for con-current operation |
| 6.2E   | Transmitter power for V2X                     |
| 6.3E   | Output power dynamics for V2X                 |
| 6.4E   | Transmit signal quality for V2X               |
| 6.5E   | Output RF spectrum emissions for V2X          |
| 7.3E   | Reference sensitivity for V2X                 |
| 7.4E   | Maximum input level for V2X                   |
| 7.5E   | Adjacent channel selectivity for V2X          |
| 7.6E   | Blocking characteristics for V2X              |
| 7.7E   | Spurious response for V2X                     |
| 7.8E   | Intermodulation characteristics for V2X       |

The requirements and test cases listed in Table B.4.12-2 are specified in REL-17 version of TS 38.101-3 [2].



**Table B.4.12-2: Common UE RF requirements for release independent intra-band and inter-band concurrent operation for NR V2X**

| Clause | Description  |
|--------|--|
| 6.2E   | Transmitter power for V2X in FR1                         |
| 6.3E   | Output power dynamics for V2X                            |
| 6.4E   | Transmit signal quality for V2X operation in FR1         |
| 6.5E   | Output RF spectrum emissions for V2X operation in FR1    |
| 7.3E   | Reference sensitivity for V2X operation in FR1           |
| 7.4E   | Maximum input level for V2X operation in FR1             |
| 7.5E   | Adjacent channel selectivity for V2X operation in FR1    |
| 7.6E   | Blocking characteristics for V2X in FR1                  |
| 7.7E   | Spurious response for V2X in FR1                         |
| 7.8E   | Intermodulation characteristics for V2X operation in FR1 |

### B.4.13 Common UE RF requirements for UL MIMO bands in FR1

The requirements and test cases listed in Table B.4.13-1 and Table B.4.13-2 are specified in REL-17 version of TS 38.101-1 [2].

**Table B.4.13-1: Common UE RF requirements for UL MIMO band in FR1**

| Clause | Description                                 |
|--------|---|
| 6.2D   | Transmitter power for UL MIMO               |
| 6.3D   | Output power dynamics for UL MIMO           |
| 6.4D   | Transmit signal quality for UL MIMO         |
| 6.5D   | Output RF spectrum emissions for UL MIMO    |
| 7.3D   | Reference sensitivity for UL MIMO           |
| 7.4D   | Maximum input level for UL MIMO             |
| 7.5D   | Adjacent channel selectivity for UL MIMO    |
| 7.6D   | Blocking characteristics for UL MIMO        |
| 7.7D   | Spurious response for UL MIMO               |
| 7.8D   | Intermodulation characteristics for UL MIMO |

**Table B.4.13-2: Common UL MIMO requirements for shared spectrum channel access in FR1**

| Clause  | Description  |
|---------|--|
| 6.2F.1D | UE maximum output power for UL MIMO                      |
| 6.2F.2D | UE maximum output power reduction for UL MIMO            |
| 6.2F.3D | UE additional maximum output power reduction for UL MIMO |
| 6.2F.4D | Configured transmitted power UL MIMO                     |

## Annex C (normative): Common Requirements for high speed train scenario

### C.1 Common RRM requirements for high speed train scenario

The requirements and test cases listed in Table C.1-1 are specified in TS 38.133 Rel-16 and TS 36.133 Rel-16.

**Table C.1-1: RRM requirements for high speed train scenario**

| Clause                  | Description   |
|-------------------------|---|
| 4.2.2.2 in TS 38.133    | Cell Re-selection requirements for intra-frequency NR cells for high speed train scenario |
| 9.2.5 in TS 38.133      | NR intra-frequency measurements without measurement gaps for high speed train scenario    |
| 9.2.6 in TS 38.133      | NR intra-frequency measurements with measurement gaps for high speed train scenario       |
| 4.2.2.5 in TS 38.133    | Cell Re-selection measurements of inter-RAT E-UTRAN cells for high speed train scenario   |
| 9.4.2 in TS 38.133      | NR – E-UTRAN FDD measurements for high speed train scenario                               |
| 9.4.3 in TS 38.133      | NR – E-UTRAN TDD measurements for high speed train scenario                               |
| 9.5.4 in TS 38.133      | L1-RSRP measurement requirements for high speed train scenario                            |
| 4.2.2.5.6 in TS 36.133  | Cell Re-selection measurements of inter-RAT NR cells for high speed train scenario        |
| 8.1.2.4.21 in TS 36.133 | E-UTRAN FDD – NR measurements for high speed train scenario                               |
| 8.1.2.4.22 in TS 36.133 | E-UTRAN TDD – NR measurements for high speed train scenario                               |

### C.2 Common UE demodulation requirements for high speed train scenario

The requirements and test cases listed in Table C.2-1 are specified in TS 38.101-4 Rel-16.

**Table C.2-1: UE demodulation requirements for high speed train scenario**

| Clause                        | Description   |
|-------------------------------|---|
| 5.2.2.2.9 test 1-1            | TDD PDSCH requirements for HST-SFN for high speed train scenario with 2RX                       |
| 5.2.3.2.9 test 1-1            | TDD PDSCH requirements for HST-SFN for high speed train scenario with 4RX                       |
| 5.2.2.1.9 test 1-1            | FDD PDSCH requirements for HST-SFN for high speed train scenario with 2RX                       |
| 5.2.3.1.9 test 1-1            | FDD PDSCH requirements for HST-SFN for high speed train scenario with 4RX                       |
| 5.2.2.2.1 test 1-10, 1-11     | TDD PDSCH requirements for HST single tap and multi-path for high speed train scenario with 2RX |
| 5.2.3.2.1 test 1-10, 1-11     | TDD PDSCH requirements for HST single tap and multi-path for high speed train scenario with 4RX |
| 5.2.2.1.1 test 1-6, 1-7       | FDD PDSCH requirements for HST single tap and multi-path for high speed train scenario with 2RX |
| 5.2.3.1.1 test 1-6, 1-7       | FDD PDSCH requirements for HST single tap and multi-path for high speed train scenario with 4RX |
| 5.2.2.1.10 test 1-1, test 1-2 | FDD PDSCH requirements for HST DPS for high speed train scenario with 2RX                       |
| 5.2.2.2.10 test 1-1, test 1-2 | TDD PDSCH requirements for HST DPS for high speed train scenario with 2RX                       |
| 5.2.3.1.10 test 1-1, test 1-2 | FDD PDSCH requirements for HST DPS for high speed train scenario with 4RX                       |
| 5.2.3.2.10 test 1-1, test 1-2 | TDD PDSCH requirements for HST DPS for high speed train scenario with 4RX                       |

### C.3 Common RRM requirements for FR1 high speed train scenario enhancement

The requirements and test cases listed in Table C.3-1 are specified in TS 38.133 Rel-17.

**Table C.3-1: RRM requirements for FR1 high speed train scenario enhancement**

| Clause               | Description  |
|----------------------|--|
| 4.2.2.4 in TS 38.133 | Cell Re-selection requirements for inter-frequency NR cells for FR1 high speed train scenario  |
| 9.2.5 in TS 38.133   | NR intra-frequency measurements without measurement gaps for activated SCell and deactivated SCell for FR1 high speed train scenario |
| 9.2.6 in TS 38.133   | NR intra-frequency measurements with measurement gaps for active SCell for FR1 high speed train scenario                             |
| 9.3.4 in TS 38.133   | Inter-frequency measurement with measurement gaps for FR1 high speed train scenario  |
| 9.3.5 in TS 38.133   | Inter-frequency measurement with measurement gaps for FR1 high speed train scenario  |
| 9.3.9 in TS 38.133   | Inter frequency measurements without measurement gaps for FR1 high speed train scenario  |
| 9.5.4 in TS 38.133   | L1-RSRP measurement requirements for FR1 high speed train scenario   |

### C.4 Common UE demodulation requirements for FR1 high speed train scenario enhancement

The requirements and test cases listed in Table C.4-1 are specified in TS 38.101-4 Rel-17.

**Table C.4-1: CA demodulation requirements for FR1 high speed train scenario enhancement**

| <b>Clause</b> | <b>Description</b>   |
|---------------|--|
| 5.2A.2.4      | PDSCH requirements for HST-SFN CA for FR1 high speed train scenario with 2RX |
| 5.2A.3.4      | PDSCH requirements for HST-SFN CA for FR1 high speed train scenario with 4RX |
| 5.2A.2.5      | PDSCH requirements for HST-DPS CA for FR1 high speed train scenario with 2RX |
| 5.2A.3.5      | PDSCH requirements for HST-DPS CA for FR1 high speed train scenario with 4RX |

## Annex D (normative): Common PMI reporting requirements for 16TX and 32TX

### D.1 Common UE PMI reporting requirements for 16TX and 32TX TypeI-SinglePanel Codebook

The requirements and test cases listed in Table D.1-1 are specified in Rel-16 version of TS 38.101-4 [5].

**Table D.1-1: UE PMI reporting requirements for 16TX and 32TX TypeI-SinglePanel Codebook**

| Section / Clause | Description   |
|------------------|---|
| 6.3.2.1.3        | Multiple PMI with 16TX TypeI-SinglePanel Codebook for 2Rx FDD |
| 6.3.2.1.4        | Single PMI with 32TX TypeI-SinglePanel Codebook for 2Rx FDD   |
| 6.3.2.2.3        | Multiple PMI with 16TX TypeI-SinglePanel Codebook for 2Rx TDD |
| 6.3.2.2.4        | Single PMI with 32TX TypeI-SinglePanel Codebook for 2Rx TDD   |
| 6.3.3.1.3        | Multiple PMI with 16TX TypeI-SinglePanel Codebook for 4Rx FDD |
| 6.3.3.1.4        | Single PMI with 32TX TypeI-SinglePanel Codebook for 4Rx FDD   |
| 6.3.3.2.3        | Multiple PMI with 16TX TypeI-SinglePanel Codebook for 4Rx TDD |
| 6.3.3.2.4        | Single PMI with 32TX TypeI-SinglePanel Codebook for 4Rx TDD   |

### D.2 Common UE PMI reporting requirements for 16TX TypeII Codebook

The requirements and test cases listed in Table D.2-1 are specified in Rel-16 version of TS 38.101-4 [5].

**Table D.2-1: UE PMI reporting requirements for 16TX TypeII Codebook**

| Section / Clause | Description  |
|------------------|--|
| 6.3.2.1.5        | Multiple PMI with 16TX TypeII Codebook for 2Rx FDD |
| 6.3.2.2.5        | Multiple PMI with 16TX TypeII Codebook for 2Rx TDD |
| 6.3.3.1.5        | Multiple PMI with 16TX TypeII Codebook for 4Rx FDD |
| 6.3.3.2.5        | Multiple PMI with 16TX TypeII Codebook for 4Rx TDD |

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## Annex E (normative): Common PDSCH demodulation requirements with LTE CRS rate matching

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### E.1 Common PDSCH demodulation requirements with LTE CRS rate matching

The requirements and test cases listed in Table E.1-1 are specified in Rel-16 version of TS 38.101-4 [5].

**Table E.1-1: UE PDSCH demodulation requirements with LTE CRS rate matching for TDD band**

| <b>Section / Clause</b> | <b>Description</b>   |
|-------------------------|--|
| 5.2.2.2.4               | PDSCH demodulation requirements with LTE CRS rate matching for 2Rx TDD |
| 5.2.3.2.4               | PDSCH demodulation requirements with LTE CRS rate matching for 4Rx TDD |

## Annex F (Informative): Change history

| Change history |          |            |      |     |     |  |             |
|----------------|----------|------------|------|-----|-----|--|-------------|
| Date           | Meeting  | TDoc       | CR   | Rev | Cat | Subject/Comment  | New version |
| 2017-09        | RAN#85   | R4-1712166 |      |     |     | Skeleton TS  | 0.0.1       |
| 2018-03        | RAN#86   | R4-1802107 |      |     |     | TS 38.307 v0.1.0   | 0.1.0       |
| 2018-06        | RAN#80   | RP-180988  |      |     |     | v1.0.0 submitted for plenary approval  | 1.0.0       |
| 2018-06        | RAN#80   |            |      |     |     | Approved by plenary – Rel-15 spec under change control   | 15.0.0      |
| 2018-09        | RAN#81   | RP-181896  | 0001 |     | F   | CR for FR2 Power Classes in TS38.307   | 15.1.0      |
| 2018-12        | RAN#82   | RP-182362  | 0002 | 2   | B   | CR for TS 38.307   | 15.2.0      |
| 2019-06        | RAN#84   | RP-191237  | 0005 |     | B   | Addition of missing features for TS 38.307   | 15.3.0      |
| 2019-09        | RAN#85   | RP-192046  | 0007 | 1   | B   | REL-16 TS 38.307 addition of Annexes for UE RF requirements  | 16.0.0      |
| 2019-12        | RAN#86   | RP-193019  | 0009 |     | B   | CR for REL-16 TS 38.307 for PC2 EN-DC TDD+TDD  | 16.1.0      |
| 2019-12        | RAN#86   | RP-193018  | 0012 |     | B   | CR for TS 38.307: additional UE channel bandwidth  | 16.1.0      |
| 2019-12        | RAN#86   | RP-193036  | 0014 |     | A   | Adding SDL to 38.307   | 16.1.0      |
| 2020-03        | RAN#87   | RP-200404  | 0016 |     | A   | 38.307 CR power class  | 16.2.0      |
| 2020-06        | RAN#88   | RP-201046  | 0018 |     | F   | CR to 38.307 on clarification of the FR2 multi-band requirement framework                                  | 16.3.0      |
| 2020-06        | RAN#88   | RP-200986  | 0022 |     | A   | Maintenance CR to 38307 on a reference spec number R16   | 16.3.0      |
| 2020-06        | RAN#88   | RP-200959  | 0023 |     | F   | Endorsed CR to 38307 on applicable SUL requirements  | 16.3.0      |
| 2020-06        | RAN#88   | RP-200965  | 0019 | 1   | B   | CR for 38.307: Introduction of Power Class 1.5   | 16.3.0      |
| 2020-09        | RAN#89   | RP-201503  | 0028 |     | B   | CR for 38.307: Introduction of Power Class 1.5   | 16.4.0      |
| 2020-12        | RAN#90   | RP-202485  | 0032 | 1   | F   | CR on adding NR overlapping bands list in TS38.307 in Rel-16   | 16.5.0      |
| 2020-12        | RAN#90   | RP-202428  | 0040 | 1   | B   | CR to TS 38.307 on release independent update for the Rel.16 EN-DC and NR CA/DC                            | 16.5.0      |
| 2020-12        | RAN#90   | RP-202429  | 0041 |     | B   | CR to TS 38.307 on Release independence of FDD-TDD EN-DC High Power UE                                     | 16.5.0      |
| 2020-12        | RAN#90   | RP-202422  | 0035 | 1   | B   | CR on release independent for Rel.16 NR HST RRM requirements   | 16.5.0      |
| 2020-12        | RAN#90   | RP-202422  | 0036 | 1   | B   | CR on release independent for Rel.16 NR HST UE demodulation requirements                                   | 16.5.0      |
| 2020-12        | RAN#90   |            | 0024 | 3   | B   | LTE/NR spectrum sharing in Band 40/n40   | 17.0.0      |
| 2021-03        | RAN#91   | RP-210093  | 0042 |     | B   | CR for 38.307: introduction of power class 5 for FR2   | 17.1.0      |
| 2021-03        | RAN#91   | RP-210065  | 0045 |     | A   | Draft CR for TS 38.307 on UE demodulation performance requirements (Rel-17)                                | 17.1.0      |
| 2021-03        | RAN#91   | RP-210078  | 0048 |     | A   | CR on release independent for Rel-16 NR HST UE demodulation requirements                                   | 17.1.0      |
| 2021-03        | RAN#91   | RP-210098  | 0051 | 1   | B   | CR to 38.307 Release independent for UE power class 2 NR inter-band CA and SUL configurations (R17)        | 17.1.0      |
| 2021-06        | RAN#92   | RP-211104  | 0062 |     | A   | CR to 38.307 to add interband CA R17 CATA  | 17.2.0      |
| 2021-09        | RAN#93   | RP-211921  | 0071 |     | A   | CR to TS 38.307 on the definition of the duplex-mode for the band configurations                           | 17.3.0      |
| 2021-09        | RAN#93   | RP-211922  | 0077 |     | A   | CR Correction of common UE RF requirement 38.307 Annex tables R17  | 17.3.0      |
| 2021-12        | RAN#94   | RP-212828  | 0080 | 1   | B   | CR for REL-17 TS 38.307 for FR1 NE-DC  | 17.4.0      |
| 2021-12        | RAN#94   | RP-212837  | 0085 |     | F   | Big CR for TS 38.307 Maintenance (Rel-17)  | 17.4.0      |
| 2022-03        | RAN#95   | RP-220353  | 0087 | 1   | B   | CR to TS 38.307 on Release independence of BCS4 and BCS5   | 17.5.0      |
| 2022-03        | RAN#95   | RP-220343  | 0088 |     | B   | CR to TS38.307: Release independent for PC2 FDD bands  | 17.5.0      |
| 2022-03        | RAN#95   | RP-220349  | 0090 | 1   | B   | Big CR for TS 38.307: release independent requirements for TxD   | 17.5.0      |
| 2022-03        | RAN#95   | RP-220337  | 0093 |     | A   | Big CR for TS 38.307 Maintenance (Rel-17)  | 17.5.0      |
| 2022-03        | RAN#95   | RP-220352  | 0096 |     | F   | CR for release independent for 4Rx support for NR band   | 17.5.0      |
| 2022-06        | RAN#96   | RP-221685  | 0098 |     | B   | CR for release independent of Rel.17 NE-DC FR1 and FR2 combinations  | 17.6.0      |
| 2022-06        | RAN#96   | RP-221686  | 0099 |     | B   | CR for 38.307 to update the release independence for R17 SUL band combinations                             | 17.6.0      |
| 2022-06        | RAN#96   | RP-221670  | 0100 | 1   | B   | Big CR for TS 38.307: release independent for UL MIMO bands (R17)  | 17.6.0      |
| 2022-06        | RAN#96   | RP-221661  | 0101 | 1   | B   | Big CR to TS 38.307: intra-band CA with MIMO requirements (R17)  | 17.6.0      |
| 2022-06        | RAN#96   | RP-221677  | 0102 | 1   | F   | CR to TS 38.307: SL requirements (R17)   | 17.6.0      |
| 2022-06        | RAN#96   | RP-221663  | 0104 |     | A   | Big CR for TS 38.307 Maintenance (Rel-17)  | 17.6.0      |
| 2022-06        | RAN#96   | RP-221680  | 0106 |     | B   | CR to 38.307: release independent for FR1 HST demodulation (Rel-17)  | 17.6.0      |
| 2022-09        | RAN#97   | RP-222036  | 0107 |     | F   | CR to R17 38307 to add UL configurations for inter-band combinations and overlapping bands                 | 17.7.0      |
| 2022-09        | RAN#97   | RP-222049  | 0109 |     | B   | CR for introduction of release independence for MMSE-IRC receiver requirements                             | 17.7.0      |
| 2022-12        | RAN#98-e | RP-223310  | 0111 | 1   | F   | CR on release independent for Rel-17 FR1 HST RRM   | 17.8.0      |
| 2022-12        | RAN#98-e | RP-223310  | 0112 |     | F   | CR on release independent for FR1 HST demodulation   | 17.8.0      |
| 2023-03        | RAN#99   | RP-230501  | 0115 |     | A   | CR 38.307 Addition of FR2 overlapping bands into Annex-A R17   | 17.9.0      |
| 2023-06        | RAN#100  | RP-231341  | 0117 | 1   | F   | Correction to Frequency arrangement for overlapping operating bands information R17                        | 17.10.0     |
| 2023-06        | RAN#100  | RP-231350  | 0119 |     | F   | CR on 38.307: Cleanup the brackets of section number in UE PDSCH requirements with inter cell interference | 17.10.0     |
| 2023-12        | RAN#102  | RP-233351  | 0129 |     | F   | [NR_RF_TxD-Core] Removing brackets from TxD release independent information                                | 17.11.0     |



|         |         |           |      |   |   |   |         |
|---------|---------|-----------|------|---|---|---|---------|
| 2023-12 | RAN#102 | RP-233332 | 0138 |   | A | [NR_newRAT-Core] Common UE RF requirements for 4Rx  | 17.11.0 |
| 2023-12 | RAN#102 | RP-233349 | 0145 | 1 | F | [NR_NTN_solutions-Core] CR to TS 38.307: release independent requirements for NTN FR1, Rel-17 | 17.11.0 |

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# History

| <b>Document history</b> |               |             |
|-------------------------|---------------|-------------|
| V17.5.0                 | April 2022    | Publication |
| V17.6.0                 | August 2022   | Publication |
| V17.7.0                 | October 2022  | Publication |
| V17.8.0                 | January 2023  | Publication |
| V17.9.0                 | May 2023      | Publication |
| V17.10.0                | July 2023     | Publication |
| V17.11.0                | February 2024 | Publication |