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#### **Foreword**

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

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- z the third digit is incremented when editorial only changes have been incorporated in the document.

The present document is one part of a multi-part Technical Specification (TS) covering the New Radio (NR) User Equipment (UE) conformance specification, which is divided in the following parts:

- 3GPP TS 38.521-1: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 1: Range 1 Standalone" (the present document).
- 3GPP TS 38.521-2 [13]: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 2: Range 2 Standalone".
- 3GPP TS 38.521-3 [14]: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios"
- 3GPP TS 38.521-4 [15]: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 4: Performance".
- 3GPP TS 38.522 [16]: NR; User Equipment (UE) conformance specification; Applicability of RF and RRM test cases;
- 3GPP TS 38.533 [17]: NR; User Equipment (UE) conformance specification; Radio resource management;

#### 1 Scope

The present document specifies the measurement procedures for the conformance test of the user equipment (UE) that contain RF characteristics for frequency Range 1 as part of the 5G-NR.

The requirements are listed in different clauses only if the corresponding parameters deviate. More generally, tests are only applicable to those mobiles that are intended to support the appropriate functionality. To indicate the circumstances in which tests apply, this is noted in the "definition and applicability" part of the test.

For example only Release 15 and later UE declared to support 5G-NR shall be tested for this functionality. In the event that for some tests different conditions apply for different releases, this is indicated within the text of the test itself.

#### 2 Reference

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

#### Editor's note: intended to capture more references

[1]	3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
[2]	3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".
[3]	3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".
[4]	3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".
[5]	3GPP TS 38.508-1: "5GS; User Equipment (UE) conformance specification; Part 1: Common test environment ".
[6]	3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol specification".
[7]	Recommendation ITU-R M.1545: "Measurement uncertainty as it applies to test limits for the terrestrial component of International Mobile Telecommunications-2000".
[8]	3GPP TS 38.211: "NR; Physical channels and modulation".
[9]	3GPP TS 38.213: "NR; Physical layer procedures for control".
[10]	3GPP TR 38.903: "NR; Derivation of test tolerances and measurement uncertainty for User Equipment (UE) conformance tests".
[11]	3GPP TR 38.905: "NR; Derivation of test points for radio transmission and reception conformance test cases".
[12]	3GPP TS 38.214: "NR; Physical layer procedures for data".
[13]	3GPP TS 38.521-2: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 2: Range 2 Standalone".
[14]	3GPP TS 38.521-3: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".

[15]	3GPP TS 38.521-4: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 4: Performance".
[16]	3GPP TS 38.522: "NR; User Equipment (UE) conformance specification; Applicability of RF and RRM test cases".
[17]	3GPP TS 38.533: "NR; User Equipment (UE) conformance specification; Applicability of RF and RRM test cases".

# 3 Definitions, symbols and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

**Aggregated Channel Bandwidth:** The RF bandwidth in which a UE transmits and receives multiple contiguously aggregated carriers.

Carrier aggregation: Aggregation of two or more component carriers in order to support wider transmission bandwidths.

**Carrier aggregation band:** A set of one or more operating bands across which multiple carriers are aggregated with a specific set of technical requirements.

**Carrier aggregation bandwidth class:** A class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by a UE.

Carrier aggregation configuration: A combination of CA operating band(s) and CA bandwidth class(es) supported by a UE.

**Contiguous carriers**: A set of two or more carriers configured in a spectrum block where there are no RF requirements based on co-existence for un-coordinated operation within the spectrum block.

**Contiguous resource allocation**: A resource allocation of consecutive resource blocks within one carrier or across contiguously aggregated carriers. The gap between contiguously aggregated carriers due to the nominal channel spacing is allowed.

Contiguous spectrum: Spectrum consisting of a contiguous block of spectrum with no sub-block gaps.

**Inter-band carrier aggregation:** Carrier aggregation of component carriers in different operating bands.

NOTE: Carriers aggregated in each band can be contiguous or non-contiguous.

Intra-band contiguous carrier aggregation: Contiguous carriers aggregated in the same operating band.

Intra-band non-contiguous carrier aggregation: Non-contiguous carriers aggregated in the same operating band.

**Sub-block:** This is one contiguous allocated block of spectrum for transmission and reception by the same UE. There may be multiple instances of sub-blocks within an RF bandwidth.

Sub-block bandwidth: The bandwidth of one sub-block.

**Sub-block gap**: A frequency gap between two consecutive sub-blocks within an RF bandwidth, where the RF requirements in the gap are based on co-existence for un-coordinated operation.

**UE transmission bandwidth configuration**: Set of resource blocks located within the UE channel bandwidth which may be used for transmitting or receiving by the UE

# 3.2 Symbols

For the purposes of the present document, the following symbols apply:

 $\begin{array}{ll} \Delta F_{Global} & Granularity \ of \ the \ global \ frequency \ raster \\ \Delta F_{Raster} & Band \ dependent \ channel \ raster \ granularity \\ \Delta f_{OOB} & \Delta \ Frequency \ of \ Out \ Of \ Band \ emission \end{array}$ 

 $\Delta F_{TX-RX}$   $\Delta$  Frequency of default TX-RX separation of the FDD operating band  $\Delta P_{PowerClass}$  Adjustment

to maximum output power for a given power class

 $\Delta_{RB}$  The starting frequency offset between the allocated RB and the measured non-allocated RB $\Delta_{RB,c}$ 

Allowed reference sensitivity relaxation due to support for inter-band CA operation, for serving

 $\operatorname{cell} c$ 

 $\Delta R_{IB,4R}$  Reference sensitivity adjustment due to support for 4 antenna ports

 $\Delta_{\text{Shift}}$  Channel raster offset for SUL $\Delta T_{\text{C}}$  Allowed operating band edge transmission power relaxation

 $\Delta T_{C,c}$  Allowed operating band edge transmission power relaxation for serving cell c

ΔT<sub>IB.c</sub> Allowed maximum configured output power relaxation due to support for inter-band CA

operation, for serving cell c

BW<sub>Channel</sub> Channel bandwidth

 $BW_{Channel,block} \qquad Sub-block \ bandwidth, \ expressed \ in \ MHz. \ BW_{Channel,block} = F_{edge,block,high} - F_{edge,block,low}$ 

BW<sub>Channel\_CA</sub> Aggregated channel bandwidth, expressed in MHz

BW<sub>Channel,max</sub> Maximum channel bandwidth supported among all bands in a release

 $BW_{GB}$  max( $BW_{GB,Channel(k)}$ )

 $BW_{GB,Channel(k)}$  Minimum guard band defined in sub-clause 5.3A.1 of carrier k

 $\begin{array}{ll} BW_{DL} & Channel \ bandwidth \ for \ DL \\ BW_{UL} & Channel \ bandwidth \ for \ UL \\ BW_{interferer} & Bandwidth \ of \ the \ interferer \end{array}$ 

 $\begin{array}{ll} Ceil(x) & Rounding \ upwards; \ ceil(x) \ is \ the \ smallest \ integer \ such \ that \ ceil(x) \ge x \\ Floor(x) & Rounding \ downwards; \ floor(x) \ is \ the \ greatest \ integer \ such \ that \ floor(x) \le x \\ F_C & RF \ reference \ frequency \ on \ the \ channel \ raster, \ given \ in \ table \ 5.4.2.2-1 \\ \end{array}$ 

 $F_{C,block, high}$  Fc of the highest transmitted/received carrier in a sub-block Fc.block, low Fc of the lowest transmitted/received carrier in a sub-block

 $\begin{array}{ll} F_{C\_low} & The \ Fc \ of \ the \ lowest \ carrier, \ expressed \ in \ MHz \\ F_{C\_high} & The \ Fc \ of \ the \ highest \ carrier, \ expressed \ in \ MHz \\ F_{DL\_low} & The \ lowest \ frequency \ of \ the \ downlink \ operating \ band \\ F_{DL\_high} & The \ lowest \ frequency \ of \ the \ uplink \ operating \ band \\ F_{UL\_high} & The \ highest \ frequency \ of \ the \ uplink \ operating \ band \\ F_{UL\_high} & The \ highest \ frequency \ of \ the \ uplink \ operating \ band \\ \hline \end{array}$ 

 $F_{edge\_low} \qquad \qquad \text{The } \textit{lower edge} \text{ of aggregated channel bandwidth, expressed in MHz. } F_{edge\_low} = F_{C,low} - F_{offset,low} \\ F_{edge\_high} \qquad \qquad \text{The } \textit{higher edge} \text{ of aggregated channel bandwidth, expressed in MHz. } F_{edge\_high} = F_{C,high} + F_{offset,high} \\ F_{Interferer} \text{ (offset)} \qquad \qquad \text{Frequency offset of the interferer (between the centre frequency of the interferer and the carrier}$ 

frequency of the carrier measured)

F<sub>Interferer</sub> Frequency of the interferer

F<sub>loffset</sub> Frequency offset of the interferer (between the centre frequency of the interferer and the closest

edge of the carrier measured)

 $F_{offset}$  Frequency offset from  $F_{C\_high}$  to the higher edge or  $F_{C\_low}$  to the lower edge

 $F_{offset,high} \hspace{1.5cm} Frequency \hspace{0.1cm} offset \hspace{0.1cm} from \hspace{0.1cm} F_{C,high} \hspace{0.1cm} to \hspace{0.1cm} the \hspace{0.1cm} upper \hspace{0.1cm} \textit{UE} \hspace{0.1cm} \textit{RF} \hspace{0.1cm} \textit{Bandwidth} \hspace{0.1cm} \textit{edge}, \hspace{0.1cm} or \hspace{0.1cm} from \hspace{0.1cm} F_{C,block,\hspace{0.1cm} high} \hspace{0.1cm} to \hspace{0.1cm} the \hspace{0.1cm} upper \hspace{0.1cm} \textit{UE} \hspace{0.1cm} \textit{RF} \hspace{0.1cm} \textit{Bandwidth} \hspace{0.1cm} \textit{edge}, \hspace{0.1cm} or \hspace{0.1cm} from \hspace{0.1cm} F_{C,block,\hspace{0.1cm} high} \hspace{0.1cm} to \hspace{0.1cm} the \hspace{0.1cm} upper \hspace{0.1cm} \textit{UE} \hspace{0.1cm} \textit{RF} \hspace{0.1cm} \textit{Bandwidth} \hspace{0.1cm} \textit{edge}, \hspace{0.1cm} or \hspace{0.1cm} from \hspace{0.1cm} F_{C,block,\hspace{0.1cm} high} \hspace{0.1cm} to \hspace{0.1cm} the \hspace{0.1cm} upper \hspace{0.1cm} \textit{UE} \hspace{0.1cm} \textit{RF} \hspace{0.1cm} \textit{Bandwidth} \hspace{0.1cm} \textit{edge}, \hspace{0.1cm} or \hspace{0.1cm} from \hspace{0.1cm} F_{C,block,\hspace{0.1cm} high} \hspace{0.1cm} to \hspace{0.1cm} the \hspace{0.1cm} upper \hspace{0.1cm} \textit{UE} \hspace{0.1cm} \textit{RF} \hspace{0.1cm} \textit{Bandwidth} \hspace{0.1cm} \textit{edge}, \hspace{0.1cm} or \hspace{0.1cm} from \hspace{0.1cm} F_{C,block,\hspace{0.1cm} high} \hspace{0.1cm} to \hspace{0.1cm} the \hspace{0.1cm} upper \hspace{0.1cm} \textit{IS} \hspace{0.1cm} \textit{All} \hspace{0.1cm} \textit{UE} \hspace{0.1cm} \textit{All} \hspace{0.1cm} \textit{IS} \hspace{0.1cm} \textit{I$ 

sub-block edge

 $F_{\text{offset,low}}$  Frequency offset from  $F_{\text{C,low}}$  to the lower UE RF Bandwidth edge, or from  $F_{\text{C,block, low}}$  to the lower

sub-block edge

F<sub>OOB</sub> The boundary between the NR out of band emission and spurious emission domains

 $\begin{array}{ll} F_{REF} & RF \ reference \ frequency \\ F_{REF-Offs} & Offset \ used \ for \ calculating \ F_{REF} \end{array}$ 

F<sub>REF,Shift</sub> RF reference frequency for Supplementary Uplink (SUL) bands

F<sub>uw</sub> (offset) The frequency separation of the centre frequency of the carrier closest to the interferer and the

centre frequency of the interferer

GB<sub>Channel</sub> Minimum guard band defined in sub-clause 5.3.3

L<sub>CRB</sub> Transmission bandwidth which represents the length of a contiguous resource block allocation

expressed in units of resources blocks

Max() The largest of given numbers Min() The smallest of given numbers  $n_{\text{PRB}}$  Physical resource block number

NR<sub>ACLR</sub> NR ACLR

N<sub>RB</sub> Transmission bandwidth configuration, expressed in units of resource blocks

 $N_{RB.low}$  The transmission bandwidth configurations according to Table 5.3.2-1 for the lowest assigned

component carrier in section 5.3A.1

N<sub>RB,high</sub> The transmission bandwidth configurations according to Table 5.3.2-1 for the highest assigned

component carrier in section 5.3A.1

NR Absolute Radio Frequency Channel Number (NR-ARFCN)

 $N_{REF-Offs}$  Offset used for calculating  $N_{REF}$ 

 $P_{CMAX}$  The configured maximum UE output power

 $P_{CMAX, c}$  The configured maximum UE output power for serving cell c

 $P_{CMAX, f, c}$  The configured maximum UE output power for carrier f of serving cell c in each slot

P<sub>EMAX</sub> Maximum allowed UE output power signalled by higher layers

 $P_{EMAX, c}$  Maximum allowed UE output power signalled by higher layers for serving cell c

P<sub>Interferer</sub> Modulated mean power of the interferer

P<sub>PowerClass</sub> P<sub>PowerClass</sub> is the nominal UE power (i.e., no tolerance)

 $\begin{array}{ll} \text{P-MPR}_c & \text{Maximum allowed UE output power reduction for serving cell } c \\ \text{P}_{\text{RB}} & \text{The transmitted power per allocated RB, measured in dBm} \\ \text{P}_{\text{UMAX}} & \text{The measured configured maximum UE output power} \end{array}$ 

Puw Power of an unwanted DL signal Pw Power of a wanted DL signal

 $\begin{array}{ll} RB_{Start} & Indicates \ the \ lowest \ RB \ index \ of \ transmitted \ resource \ blocks \\ SCS_{low} & SCS \ for \ the \ lowest \ assigned \ component \ carrier \ in \ section \ 5.3A.1 \\ SCS_{high} & SCS \ for \ the \ highest \ assigned \ component \ carrier \ in \ section \ 5.3A.1 \\ \end{array}$ 

T(P<sub>CMAX</sub>, f, c) Tolerance for applicable values of P<sub>CMAX</sub>, f, c for configured maximum UE output power for carrier

f of serving cell c

T<sub>L,c</sub> Absolute value of the lower tolerance for the applicable *operating band* as specified in section

6.2.1

SS<sub>REF</sub> SS block reference frequency position

UTRA<sub>ACLR</sub> UTRA ACLR

#### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

ACLR Adjacent Channel Leakage Ratio
ACS Adjacent Channel Selectivity

A-MPR Additional Maximum Power Reduction

BS Base Station
BW Bandwidth
BWP Bandwidth Part
CA Carrier Aggregation

CA\_nX-nY Inter-band CA of component carrier(s) in one sub-block within Band X and component carrier(s)

in one sub-block within Band Y where X and Y are the applicable NR operating band

CC Component Carriers
CP-OFDM Cyclic Prefix-OFDM
CW Continuous Wave
DC Dual Connectivity

DFT-s-OFDM Discrete Fourier Transform-spread-OFDM

DM-RS Demodulation Reference Signal

DTX Discontinuous TransmissionE-UTRA Evolved UTRA

EVM Error Vector Magnitude FR Frequency Range FRC Fixed Reference Channel

GSCN Global Synchronization Channel Number

IBB In-band Blocking

IDFT Inverse Discrete Fourier Transformation

ITU-R Radiocommunication Sector of the International Telecommunication Union

MBW Measurement bandwidth defined for the protected band

MOP Maximum Output Power

MPR Allowed maximum power reduction

MSD Maximum Sensitivity Degradation

NR New Radio

NR-ARFCN NR Absolute Radio Frequency Channel Number

NS Network Signalling

OCNG OFDMA Channel Noise Generator

OOB Out-of-band

P-MPR Power Management Maximum Power Reduction

PRB Physical Resource Block

QAM Quadrature Amplitude Modulation

RE Resource Element
REFSENS Reference Sensitivity
RF Radio Frequency

RMS Root Mean Square (value)

RSRP Reference Signal Receiving Power

Rx Receiver

SC Single CarrierSCS Subcarrier spacing

SDL Supplementary Downlink
SEM Spectrum Emission Mask
SNR Signal-to-Noise Ratio
SRS Sounding Reference Symbol
SUL Supplementary uplink
SS Synchronization Symbol
TAE Time Alignment Error

Tx Transmitter

UL-MIMO Uplink Multiple Antenna transmission

#### 4 General

# 4.1 Relationship between minimum requirements and test requirements

The TS 38.101-1 [2] is a Single-RAT specification for NR UE, covering RF characteristics and minimum performance requirements. Conformance to the TS 38.101-1 [2] is demonstrated by fulfilling the test requirements specified in the present document.

The Minimum Requirements given in TS 38.101-1 [2] make no allowance for measurement uncertainty. The present document defines test tolerances and measurement uncertainty. These test tolerances are individually calculated for each test. The test tolerances are used to relax the minimum requirements in this specification to create test requirements. For some requirements, including regulatory requirements, the test tolerance is set to zero.

The measurement results returned by the test system are compared - without any modification - against the test requirements as defined by the shared risk principle.

The shared risk principle is defined in Recommendation ITU-R M.1545 [7].

# 4.2 Applicability of minimum requirements

- a) In TS 38.101-1 [2] the Minimum Requirements are specified as general requirements and additional requirements. Where the Requirement is specified as a general requirement, the requirement is mandated to be met in all scenarios
- b) For specific scenarios for which an additional requirement is specified, in addition to meeting the general requirement, the UE is mandated to meet the additional requirements.
- c) The spurious emissions power requirements are for the long-term average of the power. For the purpose of reducing measurement uncertainty it is acceptable to average the measured power over a period of time sufficient to reduce the uncertainty due to the statistical nature of the signal.
- d) All the requirements for intra-band contiguous and non-contiguous CA apply under the assumption of the same uplink-downlink and special subframe configurations in the PCell and SCells for SA. 3GPP3GPP

# 4.3 Specification suffix information

Unless stated otherwise the following suffixes are used for indicating at 2<sup>nd</sup> level subclause, shown in Table 4.3-1.

Table 4.3-1: Definition of suffixes

Clause suffix	Variant
None	Single Carrier
Α	Carrier Aggregation (CA)
В	Dual-Connectivity (DC)
С	Supplement Uplink (SUL)
D	UL MIMO

A terminal which supports the above features needs to meet both the general requirements and the additional requirement applicable to the additional subclause (suffix A, B, C and D) in clauses 5, 6 and 7. Where there is a difference in requirement between the general requirements and the additional subclause requirements (suffix A, B, C and D) in clauses 5, 6 and 7, the tighter requirements are applicable unless stated otherwise in the additional subclause.

A terminal which supports more than one feature in clauses 5, 6 and 7 shall meet all of the separate corresponding requirements.

For a terminal that supports SUL for the band combination specified in Table 5.2C-1, the current version of the specification assumes the terminal is configured with active transmission either on UL carrier or SUL carrier at any time in one serving cell and the UE requirements for single carrier shall apply for the active UL or SUL carrier accordingly.

# 4.4 Test points analysis

The information on test point analysis and test point selection including number of test points for each test case is shown in TR 38.905 [11] clause 4.1.

# 5 Operating bands and Channel arrangement

#### 5.1 General

The channel arrangements presented in this clause are based on the operating bands and channel bandwidths defined in the present release of specifications.

NOTE: Other operating bands and channel bandwidths may be considered in future releases.

Requirements throughout the RF specifications are in many cases defined separately for different frequency ranges (FR). The frequency ranges in which NR can operate according to this version of the specification are identified as described in Table 5.1-1.

Table 5.1-1: Definition of frequency ranges

Frequency range designation	Corresponding frequency range
FR1	450 MHz – 6000 MHz
FR2	24250 MHz – 52600 MHz

The present specification covers FR1 operating bands.

# 5.2 Operating bands

NR is designed to operate in the FR1 operating bands defined in Table 5.2-1.

Table 5.2-1: NR operating bands in FR1

NR operating band	Uplink (UL) operating band BS receive / UE transmit FuL_low - FuL_high	Downlink (DL) operating band BS transmit / UE receive FDL_low - FDL_high	Duplex Mode
n1	1920 MHz – 1980 MHz	2110 MHz – 2170 MHz	FDD
n2	1850 MHz – 1910 MHz	1930 MHz – 1990 MHz	FDD
n3	1710 MHz – 1785 MHz	1805 MHz – 1880 MHz	FDD
n5	824 MHz – 849 MHz	869 MHz – 894 MHz	FDD
n7	2500 MHz – 2570 MHz	2620 MHz – 2690 MHz	FDD
n8	880 MHz – 915 MHz	925 MHz – 960 MHz	FDD
n12	699 MHz – 716 MHz	729 MHz – 746 MHz	FDD
n20	832 MHz – 862 MHz	791 MHz – 821 MHz	FDD
n25	1850 MHz – 1915 MHz	1930 MHz – 1995 MHz	FDD
n28	703 MHz – 748 MHz	758 MHz – 803 MHz	FDD
n34	2010 MHz – 2025 MHz	2010 MHz – 2025 MHz	TDD
n38	2570 MHz – 2620 MHz	2570 MHz – 2620 MHz	TDD
n39	1880 MHz – 1920 MHz	1880 MHz – 1920 MHz	TDD
n40	2300 MHz – 2400 MHz	2300 MHz – 2400 MHz	TDD
n41	2496 MHz – 2690 MHz	2496 MHz – 2690 MHz	TDD
n50	1432 MHz – 1517 MHz	1432 MHz – 1517 MHz	TDD <sup>1</sup>
n51	1427 MHz – 1432 MHz	1427 MHz – 1432 MHz	TDD
n66	1710 MHz – 1780 MHz	2110 MHz – 2200 MHz	FDD
n70	1695 MHz – 1710 MHz	1995 MHz – 2020 MHz	FDD
n71	663 MHz – 698 MHz	617 MHz – 652 MHz	FDD
n74	1427 MHz – 1470 MHz	1475 MHz – 1518 MHz	FDD
n75	N/A	1432 MHz – 1517 MHz	SDL
n76	N/A	1427 MHz – 1432 MHz	SDL
n77	3300 MHz – 4200 MHz	3300 MHz – 4200 MHz	TDD
n78	3300 MHz – 3800 MHz	3300 MHz – 3800 MHz	TDD
n79	4400 MHz – 5000 MHz	4400 MHz – 5000 MHz	TDD
n80	1710 MHz – 1785 MHz	N/A	SUL
n81	880 MHz – 915 MHz	N/A	SUL
n82	832 MHz – 862 MHz	N/A	SUL
n83	703 MHz – 748 MHz	N/A	SUL
n84	1920 MHz – 1980 MHz	N/A	SUL
n86	1710 MHz – 1780MHz	N/A	SUL

NOTE 1: UE that complies with the NR Band n50 minimum requirements in this specification. Shall also comply with the NR Band n51 minimum requirements.

NOTE 2: UE that complies with the NR Band n75 minimum requirements in this specification. Shall also comply with the NR Band n76 minimum requirements.

# 5.2A Operating bands for CA

#### 5.2A.1 Intra-band CA

NR intra-band contiguous carrier aggregation is designed to operate in the operating bands defined in Table 5.2A.1-1, where all operating bands are within FR1.

Table 5.2A.1-1: Intra-band contiguous CA operating bands in FR1

NR CA Band	NR Band (Table 5.2-1)
CA_n71	n71
CA_n77	n77
CA_n78	n78
CA n79	n79

#### 5.2A.2 Inter-band CA

NR inter-band carrier aggregation is designed to operate in the operating bands defined in Table 5.2A.2-1, where all operating bands are within FR1.

Table 5.2A.2-1: Inter-band CA operating bands involving FR1 (two bands)

NR CA Band	NR Band (Table 5.2-1)				
CA_n3-n77	n3, n77				
CA_n3-n78	n3, n78				
CA_n3-n79	n3, n79				
CA_n8A-n75A	n8, n75				
CA n8-n78	n8, n78				
CA_n8-n79	n8, n79				
CA_n28-n75 <sup>2</sup>	n28, n75				
CA_n28_n78	n28, n78				
CA_n41-n78	n41, n78				
CA_n75-n78 <sup>1</sup>	n75, n78				
CA_n77-n79	n77, n79				
CA_n78-n79	n78, n79				
NOTE 1: Applicable for UE supporting inter-band carrier aggregation with mandatory simultaneous Rx/Tx capability.					
NOTE 2: The frequency range in band n28 is restricted for this band combination to 703-733 MHz for the UL and 758-788 MHz for the DL.					

# 5.2B Operating bands for DC

#### 5.2B.1 General

NR dual connectivity is designed to operate in the operating bands defined in Table 5.2B-1, where all operating bands are within FR1.

Table 5.2B-1: Inter-band DC operating bands involving FR1 (two bands)

N	R DC Band	NR Band (Table 5.2-1)
NOTE:		supporting inter-band dual mandatory simultaneous Rx/Tx

# 5.2C Operating band combination for SUL

NR operation is designed to operate in the operating band combination defined in Table 5.2C-1, where all operating bands are within FR1.

Table 5.2C-1: Operating band combination for SUL in FR1

NR Band combination	NR Band
for SUL	(Table 5.2-1)
SUL_n78-n80 <sup>2</sup>	n78, n80
SUL_n78-n81 <sup>2</sup>	n78, n81
SUL_n78-n82 <sup>2</sup>	n78, n82
SUL_n78-n83 <sup>2</sup>	n78, n83
SUL_n78-n84 <sup>2</sup>	n78, n84
SUL_n78-n86 <sup>2</sup>	n78, n86
SUL_n79-n80 <sup>2</sup>	n79, n80
SUL_n79-n81 <sup>2</sup>	n79, n81

NOTE 1: If a UE is configured with both NR UL and NR SUL carriers in a cell, the switching time between NR UL carrier and NR SUL carrier is

NOTE 2: For UE supporting SUL band combination simultaneous Rx/Tx capability is mandatory.

#### 5.3 UE channel bandwidth

#### 5.3.1 General

The UE channel bandwidth supports a single NR RF carrier in the uplink or downlink at the UE. From a BS perspective, different UE channel bandwidths may be supported within the same spectrum for transmitting to and receiving from UEs connected to the BS. Transmission of multiple carriers to the same UE (CA) or multiple carriers to different UEs within the BS channel bandwidth can be supported.

From a UE perspective, the UE is configured with one or more BWP / carriers, each with its own UE channel bandwidth. The UE does not need to be aware of the BS channel bandwidth or how the BS allocates bandwidth to different UEs.

The placement of the UE channel bandwidth for each UE carrier is flexible but can only be completely within the BS channel bandwidth.

The relationship between the channel bandwidth, the guardband and the maximum transmission bandwidth configuration is shown in Figure 5.3.1-1.

Figure 5.3.1-1: Definition of the channel bandwidth and the maximum transmission bandwidth configuration for one NR channel

# 5.3.2 Maximum transmission bandwidth configuration

The maximum transmission bandwidth configuration  $N_{RB}$  for each UE channel bandwidth and subcarrier spacing is specified in Table 5.3.2-1.

Table 5.3.2-1: Maximum transmission bandwidth configuration N<sub>RB</sub>

SCS (kHz)	5MHz	10MHz	15MHz	20 MHz	25 MHz	30 MHz	40 MHz	50MHz	60 MHz	80 MHz	90 MHz	100 MHz
(KHZ)	N <sub>RB</sub>											
15	25	52	79	106	133	160	216	270	N/A	N/A	N/A	N/A
30	11	24	38	51	65	78	106	133	162	217	245	273
60	N/A	11	18	24	31	38	51	65	79	107	121	135

# 5.3.3 Minimum guard band and transmission bandwidth configuration

The minimum guard band for each UE channel bandwidth and SCS is specified in Table 5.3.3-1.

Table 5.3.3-1: Minimum guard band for each UE channel bandwidth and SCS (kHz)

SCS (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50MHz	60 MHz	80 MHz	90 MHz	100 MHz
15	242.5	312.5	382.5	452.5	522.5	592.5	552.5	692.5	N/A	N/A	N/A	N/A
30	505	665	645	805	785	945	905	1045	825	925	885	845
60	N/A	1010	990	1330	1310	1290	1610	1570	1530	1450	1410	1370

NOTE: The minimum guard bands have been calculated using the following equation: (CHBW x 1000 (kHz) - RB value x SCS x 12) / 2 - SCS/2, where RB values are from Table 5.3.2-1.

Figure 5.3.3-1: Void

The number of RBs configured in any channel bandwidth shall ensure that the minimum guard band specified in this clause is met.

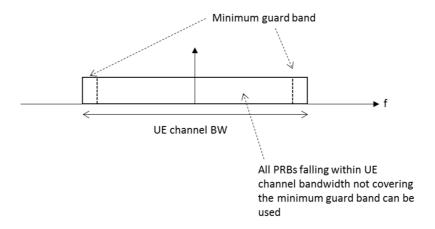


Figure 5.3.3-2: UE PRB utilization

In the case that multiple numerologies are multiplexed in the same symbol due to transmission of SSB, the minimum guard band on each side of the carrier is the guard band applied at the configured channel bandwidth for the numerology that is received immediately adjacent to the guard.

If multiple numerologies are multiplexed in the same symbol and the UE channel bandwidth is >50 MHz, the minimum guard band applied adjacent to 15 kHz SCS shall be the same as the minimum guard band defined for 30 kHz SCS for the same UE channel bandwidth.

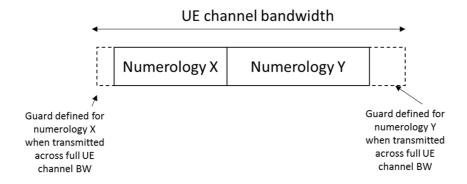


Figure 5.3.3-3: Guard band definition when transmitting multiple numerologies

NOTE: Figure 5.3.3-3 is not intended to imply the size of any guard between the two numerologies. Internumerology guard band within the carrier is implementation dependent.

#### 5.3.4 RB alignment

For each numerology, its common resource blocks are specified in Section 4.4.4.3 in [9], and the starting point of its transmission bandwidth configuration on the common resource block grid for a given channel bandwidth is indicated by an offset to "Reference point A" in the unit of the numerology. The *UE transmission bandwidth configuration* is indicated by the higher layer parameter *carrierBandwidth* [11] and will fulfil the minimum UE guard band requirement specified in Section 5.3.3.

#### 5.3.5 UE channel bandwidth per operating band

The requirements in this specification apply to the combination of channel bandwidths, SCS and operating bands shown in Table 5.3.5-1. The transmission bandwidth configuration in Table 5.3.2-1 shall be supported for each of the specified channel bandwidths. The channel bandwidths are specified for both the TX and RX path.

Table 5.3.5-1: Channel Bandwidths for Each NR band

					band /		UE Cha	annel b	andwid				
NR Band	SCS kHz	5 MHz	10 <sup>1,2</sup> MHz	15 <sup>2</sup> MHz	20 <sup>2</sup> MHz	25 <sup>2</sup> MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
	15	Yes	Yes	Yes	Yes								
n1	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
	15	Yes	Yes	Yes	Yes								
n2	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n3	15	Yes	Yes	Yes	Yes	Yes	Yes						
	30		Yes	Yes	Yes	Yes	Yes						
	60		Yes	Yes	Yes	Yes	Yes						
n5	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
n7	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n8	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
	15	Yes	Yes	Yes									
n12	30		Yes	Yes									
	60												
n20	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
	15	Yes	Yes	Yes	Yes								
n25	30		Yes	Yes	Yes								
0	60		Yes	Yes	Yes								
n28	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
	15	Yes	Yes	Yes									
n34	30		Yes	Yes									
	60		Yes	Yes									
n38	15	Yes	Yes	Yes	Yes								
	30			Yes	Yes								
	60		Yes	Yes	Yes								
	15	Yes	Yes	Yes	Yes	Yes	Yes	Yes					
n39	30		Yes	Yes	Yes	Yes	Yes	Yes					
1100	60		Yes	Yes	Yes	Yes	Yes	Yes					
	15	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes				
n40	30		Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes		
0	60		Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes		
n41	15		Yes	Yes	Yes			Yes	Yes				
= =	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
n50	15	Yes	Yes	Yes	Yes			Yes	Yes	. 55		1.00	. 55
	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes <sup>3</sup>		
	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes <sup>3</sup>		
n51	15	Yes	. 55	. 55	. 50			. 50	. 50	. 55	. 50		
	30												
	60											1	
n66	15	Yes	Yes	Yes	Yes			Yes					
	30	. 00	Yes	Yes	Yes			Yes					
	60		Yes	Yes	Yes			Yes					
n70	15	Yes	Yes	Yes	Yes <sup>3</sup>	Yes <sup>3</sup>		163					
117 0	30	100	Yes	Yes	Yes <sup>3</sup>	Yes <sup>3</sup>							
	60		Yes	Yes	Yes <sup>3</sup>	Yes <sup>3</sup>						+	
	UU	l	168	168	1 62°	1 62°						1	

n71	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
n74	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n75	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n76	15	Yes											
	30												
	60												
	15		Yes	Yes	Yes			Yes	Yes				
n77	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	15		Yes	Yes	Yes			Yes	Yes				
n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	15							Yes	Yes				
n79	30							Yes	Yes	Yes	Yes		Yes
	60							Yes	Yes	Yes	Yes		Yes
	15	Yes	Yes	Yes	Yes	Yes	Yes						
n80	30		Yes	Yes	Yes	Yes	Yes						
	60		Yes	Yes	Yes	Yes	Yes						
	15	Yes	Yes	Yes	Yes								
n81	30		Yes	Yes	Yes								
	60												
	15	Yes	Yes	Yes	Yes								
n82	30		Yes	Yes	Yes								
	60												
	15	Yes	Yes	Yes	Yes								
n83	30		Yes	Yes	Yes								
	60												
	15	Yes	Yes	Yes	Yes								
n84	30		Yes	Yes	Yes								
	6	0	Yes	Yes	Yes								
	15	Yes	Yes	Yes	Yes			Yes					
n86	30		Yes	Yes	Yes			Yes					
	60		Yes	Yes	Yes			Yes					
NOTE 1.	000/	cnoctru		tion me	v not h	a aabia	und for	201/LI= 0	000				

NOTE 1: 90% spectrum utilization may not be achieved for 30kHz SCS.

NOTE 2: 90% spectrum utilization may not be achieved for 60kHz SCS.

NOTE 3: This UE channel bandwidth is applicable only to downlink.

NOTE 4: For test configuration tables from the transmitter and receiver tests in Section 6 and 7 that refer to this table for test SCS, the Lowest SCS refers to lowest supported SCS per channel bandwidth. Highest SCS refers to highest supported SCS per channel bandwidth.

NOTE 5: For test configuration tables from the transmitter and receiver tests in Section 6 and 7 that refer to this table and list and list the test SCS as Mid or any other value; if that value is not supported by the UE in UL and/or DL, select the closest SCS supported by the UE in both UL and DL.

#### 5.3.6 Asymmetric channel bandwidths

The UE channel bandwidth can be asymmetric in downlink and uplink. In asymmetric channel bandwidth operation, the narrower carrier shall be confined within the frequency range of the wider channel bandwidth.

In FDD, the confinement is defined as a deviation to the default Tx-Rx carrier centre frequency separation (defined in Table 5.4.4-1) as following:

$$\Delta F_{TX\text{-}RX} = \mid (BW_{DL} - BW_{UL})/2 \mid$$

The operating bands and supported asymmetric channel bandwidth combinations are defined in Table 5.3.6-1.

Table 5.3.6-1: FDD asymmetric UL and DL channel bandwidth combinations

NR Band	Channel bandwidths for UL (MHz)	Channel bandwidths for DL (MHz)			
n66	5, 10	20, 40			
1100	20	40			
n70	5	10, 15			
1170	5, 10, 15	20, 25			
	5	10			
n71	10	15			
	15	20			

In TDD, the operating bands and supported asymmetric channel bandwidth combinations are defined in Table 5.3.6-2.

Table 5.3.6-2: TDD asymmetric UL and DL channel bandwidth combinations

NR Band	Channel	Channel
	bandwidths for UL	bandwidths for DL
	(MHz)	(MHz)

#### 5.3A UE channel bandwidth for CA

#### 5.3A.1 General

For intra-band contiguous carrier aggregation, *Aggregated Channel Bandwidth* and *Guard Bands* are defined as follows, see Figure 5.3A.1-1.

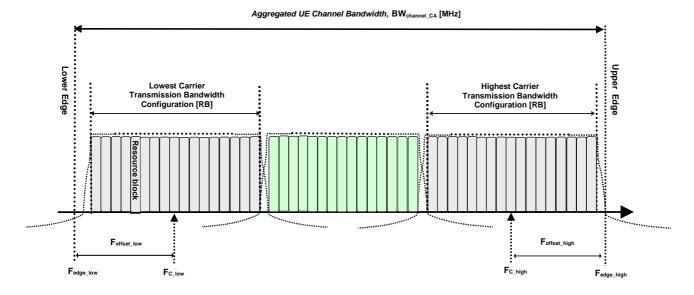


Figure 5.3A.1-1: Definition of Aggregated Channel Bandwidth for intra-band carrier aggregation

The aggregated channel bandwidth,  $BW_{Channel\_CA}$ , is defined as

$$BW_{Channel\_CA} = F_{edge,high} - F_{edge,low}$$
 (MHz).

The lower bandwidth edge  $F_{\text{edge, low}}$  and the upper bandwidth edge  $F_{\text{edge, high}}$  of the aggregated channel bandwidth are used as frequency reference points for transmitter and receiver requirements and are defined by

$$F_{edge,low} = F_{C,low} - F_{offset,low}$$

$$F_{edge,high} = F_{C,high} + F_{offset,high}$$

The lower and upper frequency offsets depend on the transmission bandwidth configurations of the lowest and highest assigned edge component carrier and are defined as

$$\begin{split} F_{offset,low} &= (N_{RB,low}*12 + 1)*SCS_{low}/2 + BW_{GB} \, (MHz) \\ F_{offset,high} &= (N_{RB,high}*12 - 1)*SCS_{high}/2 + BW_{GB} \, (MHz) \\ BW_{GB} &= max(\ BW_{GB,Channel(k)}) \end{split}$$

 $BW_{GB,Channel(k)}$  is the minimum guard band defined in sub-clause 5.3.3 of carrier k, while  $N_{RB,low}$  and  $N_{RB,high}$  are the transmission bandwidth configurations according to Table 5.3.2-1 for the lowest and highest assigned component carrier,  $SCS_{low}$  and  $SCS_{high}$  are the sub-carrier spacing for the lowest and highest assigned component carrier respectively.

For intra-band non-contiguous carrier aggregation *Sub-block Bandwidth* and *Sub-block edges* are defined as follows, see Figure 5.3A.1-2.

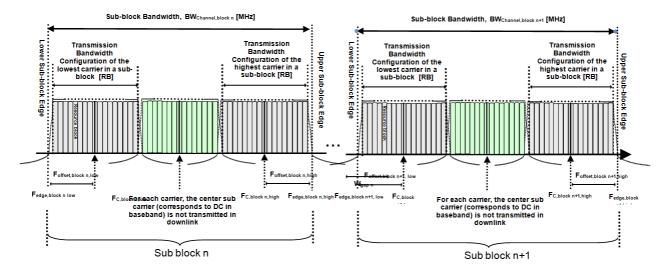


Figure 5.3A.1-2: Definition of sub-block bandwidth for intra-band non-contiguous spectrum

The lower sub-block edge of the Sub-block Bandwidth (BW<sub>Channel,block</sub>) is defined as

The upper sub-block edge of the Sub-block Bandwidth is defined as

$$F_{edge,block,high} = F_{C,block,high} + F_{offset,high}$$
.

The Sub-block Bandwidth, BW<sub>Channel,block</sub>, is defined as follows:

$$BW_{Channel,block} = F_{edge,block,high} - F_{edge,block,low} (MHz)$$

The lower and upper frequency offsets  $F_{offset,block,low}$  and  $F_{offset,block,high}$  depend on the transmission bandwidth configurations of the lowest and highest assigned edge component carriers within a sub-block and are defined as

$$\begin{split} F_{offset,block,low} &= (N_{RB,low}*12+1)*SCS_{low}/2 + BW_{GB}\,(MHz) \\ F_{offset,block,high} &= (N_{RB,high}*12-1)*SCS_{high}/2 + BW_{GB}(MHz) \\ BW_{GB} &= max(BW_{GB,Channel(k)}) \end{split}$$

where  $N_{RB,low}$  and  $N_{RB,high}$  are the transmission bandwidth configurations according to Table 5.3.2-1 for the lowest and highest assigned component carrier within a sub-block, respectively.  $SCS_{low}$  and  $SCS_{high}$  are the sub-carrier spacing for the lowest and highest assigned component carrier within a sub-block, respectively.  $BW_{GB,Channel(k)}$  is the minimum guard band defined in sub-clause 5.3.3 of carrier k within a sub-block.

The sub-block gap size between two consecutive sub-blocks  $W_{\text{gap}}$  is defined as

$$W_{gap} = F_{edge,block n+1,low} - F_{edge,block n,high} (MHz)$$

#### 5.3A.2 Maximum transmission bandwidth configuration for CA

#### 5.3A.3 Minimum guard band and transmission bandwidth configuration for CA

#### 5.3A.4 RB alignment with Different Numerologies for CA

#### 5.3A.5 UE channel bandwidth per operating band for CA

The requirements for carrier aggregation in this specification are defined for carrier aggregation configurations.

For intra-band contiguous carrier aggregation, a carrier aggregation configuration is a single operating band supporting a carrier aggregation bandwidth class with associated bandwidth combination sets specified in clause 5.5A.1. For each carrier aggregation configuration, requirements are specified for all aggregated channel bandwidths contained in a bandwidth combination set, A UE can indicate support of several bandwidth combination sets per carrier aggregation configuration. For intra-band non-contiguous carrier aggregation, a carrier aggregation configuration is a single operating band supporting two or more sub-blocks, each supporting a carrier aggregation bandwidth class.

For inter-band carrier aggregation, a carrier aggregation configuration is a combination of operating bands, each supporting a carrier aggregation bandwidth class.

Table 5.3A.4-1: CA bandwidth classes

NR CA bandwidth class	Aggregated channel bandwidth	Number of contiguous CC	Fallback group
A	BW <sub>Channel_CA</sub> ≤ BW <sub>Channel,max</sub>	1	
В	20 MHz ≤ CBW ≤ 100 MHz	2	
С	100 MHz $<$ BW <sub>Channel_CA</sub> $\le 2 x$ BW <sub>Channel,max</sub>	2	1
D	200 MHz < BW <sub>Channel_CA</sub> ≤ 3 x BW <sub>Channel,max</sub>	3	
E	300 MHz < BW <sub>Channel_CA</sub> ≤ 4 x BW <sub>Channel,max</sub>	4	
F	50 MHz < BW <sub>Channel_CA</sub> ≤ 100 MHz	2	2
G	100 MHz < BW <sub>Channel_CA</sub> ≤ 150 MHz	3	
Н	150 MHz < BW <sub>Channel_CA</sub> ≤ 200 MHz	4	
I	200 MHz < BW <sub>Channel_CA</sub> ≤ 250 MHz	5	
J	250 MHz < BW <sub>Channel_CA</sub> ≤ 300 MHz	6	
K	300 MHz < BW <sub>Channel_CA</sub> ≤ 350 MHz	7	
L	350 MHz < BW <sub>Channel_CA</sub> ≤ 400 MHz	8	

NOTE 1: BW<sub>Channel,max</sub> is maximum channel bandwidth supported among all bands in a release

NOTE 2: It is mandatory for a UE to be able to fallback to lower order CA bandwidth class configuration within a fallback group. It is not mandatory for a UE to be able to fallback to lower order CA bandwidth class configuration that belong to a different fallback group

# 5.4 Channel arrangement

# 5.4.1 Channel spacing

#### 5.4.1.1 Channel spacing for adjacent NR carriers

The spacing between carriers will depend on the deployment scenario, the size of the frequency block available and the channel bandwidths. The nominal channel spacing between two adjacent NR carriers is defined as following:

- For NR operating bands with 100 kHz channel raster,

Nominal Channel spacing =  $(BW_{Channel(1)} + BW_{Channel(2)})/2$ 

- For NR operating bands with 15 kHz channel raster,

Nominal Channel spacing = 
$$(BW_{Channel(1)} + BW_{Channel(2)})/2 + \{-5kHz, 0kHz, 5kHz\}$$

- For NR operating bands with 30 kHz channel raster,

where  $BW_{Channel(1)}$  and  $BW_{Channel(2)}$  are the channel bandwidths of the two respective NR carriers. The channel spacing can be adjusted depending on the channel raster to optimize performance in a particular deployment scenario.

#### 5.4.2 Channel raster

#### 5.4.2.1 NR-ARFCN and channel raster

The global frequency channel raster defines a set of RF reference frequencies  $F_{REF}$ . The RF reference frequency is used in signalling to identify the position of RF channels, SS blocks and other elements.

The global frequency raster is defined for all frequencies from 0 to 100 GHz. The granularity of the global frequency raster is  $\Delta F_{Global}$ .

RF reference frequencies are designated by an NR Absolute Radio Frequency Channel Number (NR-ARFCN) in the range (0.. 2016666] on the global frequency raster. The relation between the NR-ARFCN and the RF reference frequency  $F_{REF}$  in MHz is given by the following equation, where  $F_{REF-Offs}$  and  $N_{Ref-Offs}$  are given in Table 5.4.2.1-1 and  $N_{REF}$  is the NR-ARFCN.

$$F_{REF} = F_{REF\text{-}Offs} + \Delta F_{Global} \; (N_{REF} - N_{REF\text{-}Offs})$$

Table 5.4.2.1-1: NR-ARFCN parameters for the global frequency raster

Frequency range (MHz)	ΔF <sub>Global</sub> (kHz)	Free-Offs (MHz)	NREF-Offs	Range of N <sub>REF</sub>
0 – 3000	5	0	0	0 – 599999
3000 – 24250	15	3000	600000	600000 - 2016666

The channel raster defines a subset of RF reference frequencies that can be used to identify the RF channel position in the uplink and downlink. The RF reference frequency for an RF channel maps to a resource element on the carrier. For each operating band, a subset of frequencies from the global frequency raster are applicable for that band and forms a channel raster with a granularity  $\Delta$ FRaster, which may be equal to or larger than  $\Delta$ F $_{Global}$ .

For SUL bands and for the uplink of bands n1, n2, n3, n5, n7, n8, n12, n20, n25, n28, n66, n70 and n71 defined in Table 5.2-1.

$$F_{REF, shift} = F_{REF} + \Delta_{shift}$$
,  $\Delta_{shift} = 0$ kHz or 7.5kHz.

where  $\Delta_{\text{shift}}$  is signalled by the network in higher layer parameter frequencyShift7p5khz [6].

The mapping between the channel raster and corresponding resource element is given in Section 5.4.2.2. The applicable entries for each operating band are defined in Section 5.4.2.3

#### 5.4.2.2 Channel raster to resource element mapping

The mapping between the RF reference frequency on the channel raster and the corresponding resource element is given in Table 5.4.2.2-1 and can be used to identify the RF channel position. The mapping depends on the total number of RBs that are allocated in the channel and applies to both UL and DL. The mapping must apply to at least one numerology supported by the UE.

Table 5.4.2.2-1: Channel raster to resource element mapping

	$N_{\rm RB} \mod 2 = 0$	$N_{\rm RB} \mod 2 = 1$	
Resource element index $k$	0	6	
Physical resource block number $n_{\mathrm{PRB}}$	$n_{\mathrm{PRB}} = \left\lfloor \frac{N_{\mathrm{RB}}}{2} \right\rfloor$	$n_{ m PRB} = \left\lfloor \frac{N_{ m RB}}{2} \right floor$	

 $k\,,\,n_{\rm PRB}$  ,  $\,N_{\rm RB}\,$  are as defined in TS 38.211[8].

#### 5.4.2.3 Channel raster entries for each operating band

The RF channel positions on the channel raster in each NR operating band are given through the applicable NR-ARFCN in Table 5.4.2.3-1, using the channel raster to resource element mapping in subclause 5.4.2.2.

For NR operating bands with 100 kHz channel raster,  $\Delta F_{Raster} = 20 \times \Delta F_{Global}$ . In this case every  $20^{th}$  NR-ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in Table 5.4.2.3-1 is given as <20>.

For NR operating bands with 15 kHz channel raster below 3GHz,  $\Delta F_{Raster} = 3 \times \Delta F_{Global}$ . In this case every 3rd NR ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in Table 5.4.2.3-1 is given as <3>.

For NR operating bands with 15 kHz channel raster above 3GHz,  $\Delta F_{Raster} = \Delta F_{Global}$ . In this case all NR ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in Table 5.4.2.3-1 is given as <1>.

In frequency bands with two  $\Delta F_{Raster}$ , the higher  $\Delta F_{Raster}$  applies to channels using only the SCS that equals the higher  $\Delta F_{Raster}$ 

Table 5.4.2.3-1: Applicable NR-ARFCN per operating band

NR Operating	ΔF <sub>Raster</sub> (kHz)	Uplink Range of N <sub>REF</sub>	Downlink Range of N <sub>REF</sub>
Band		(First – <step size=""> – Last)</step>	(First – <step size=""> – Last)</step>
n1	100	384000 - <20> - 396000	422000 - <20> - 434000
n2	100	370000 - <20> - 382000	386000 - <20> - 398000
n3	100	342000 - <20> - 357000	361000 - <20> - 376000
n5	100	164800 - <20> - 169800	173800 - <20> - 178800
n7	100	500000 - <20> - 514000	524000 - <20> - 538000
n8	100	176000 - <20> - 183000	185000 - <20> - 192000
n12	100	139800 - <20> - 143200	145800 - <20> - 149200
n20	100	166400 - <20> - 172400	158200 - <20> - 164200
n25	100	370000 - <20> - 383000	386000 - <20> - 399000
n28	100	140600 - <20> - 149600	151600 - <20> - 160600
n34	100	402000 - <20> - 405000	402000 - <20> - 405000
n38	100	514000 - <20> - 524000	514000 - <20> - 524000
n39	100	376000 - <20> - 384000	376000 - <20> - 384000
n40	100	460000 - <20> - 480000	460000 - <20> - 480000
n41	15	499200 - <3> - 537999	499200 - <3> - 537999
	30	499200 - <6> - 537996	499200 - <6> - 537996
n51	100	285400 - <20> - 286400	285400 - <20> - 286400
n66	100	342000 - <20> - 356000	422000 - <20> - 440000
n70	100	339000 - <20> - 342000	399000 - <20> - 404000
n71	100	132600 - <20> - 139600	123400 - <20> - 130400
n75	100	N/A	286400 - <20> - 303400
n76	100	N/A	285400 - <20> - 286400
n77	15	620000 - <1> - 680000	620000 - <1> - 680000
	30	620000 - <2> - 680000	620000 - <2> - 680000
n78	15	620000 - <1> - 653333	620000 - <1> - 653333
	30	620000 - <2> - 653332	620000 - <2> - 653332
n79	15	693334 - <1> - 733333	693334 - <1> - 733333
	30	693334 - <2> - 733332	693334 - <2> - 733332
n80	100	342000 - <20> - 357000	N/A
n81	100	176000 - <20> - 183000	N/A
n82	100	166400 - <20> - 172400	N/A
n83	100	140600 - <20> -149600	N/A
n84	100	384000 - <20> - 396000	N/A
n86	100	342000 - <20> - 356000	N/A

#### 5.4.3 Synchronization raster

#### 5.4.3.1 Synchronization raster and numbering

The synchronization raster indicates the frequency positions of the synchronization block that can be used by the UE for system acquisition when explicit signalling of the synchronization block position is not present.

A global synchronization raster is defined for all frequencies. The frequency position of the SS block is defined as  $SS_{REF}$  with corresponding number GSCN. The parameters defining the  $SS_{REF}$  and GSCN for all the frequency ranges are in Table 5.4.3.1-1.

The resource element corresponding to the SS block reference frequency  $SS_{REF}$  is given in subclause 5.4.3.2. The synchronization raster and the subcarrier spacing of the synchronization block are defined separately for each band.

Table 5.4.3.1-1: GSCN parameters for the global frequency raster

Frequency range	SS Block frequency position SSREF	GSCN	Range of GSCN		
0 – 3000 MHz	N * 1200kHz + M * 50 kHz, N=1:2499, M ε {1,3,5} (Note 1)	[3N + (M-3)/2]	[2 – 7498]		
3000-24250 MHz	2400 MHz + N * 1.44 MHz N = 0:14756	[7499 + N]	[7499 – 22255]		
NOTE 1: The default value for operating bands with SCS spaced channel raster is M=3.					

#### 5.4.3.2 Synchronization raster to synchronization block resource element mapping

The mapping between the synchronization raster and the corresponding resource element of the SS block is given in Table 5.4.3.2-1. The mapping depends on the total number of RBs that are allocated in the channel and applies to both UL and DL.

Table 5.4.3.2-1: Synchronization raster to SS block resource element mapping

Resource element index k	0
Physical resource block number $n_{\mathrm{PRB}}$ of the SS block	$n_{\text{PRB}} = 10$

k,  $n_{PRB}$ , are as defined in TS 38.211[8].

#### 5.4.3.3 Synchronization raster entries for each operating band

The synchronization raster for each band is given in Table 5.4.3.3-1. The distance between applicable GSCN entries is given by the <Step size> indicated in Table 5.4.3.3-1.

Table 5.4.3.3-1: Applicable SS raster entries per operating band

NR Operating Band	erating Band SS Block SCS SS Block pattern <sup>1</sup>		Range of GSCN (First – <step size=""> – Last)</step>			
n1	15kHz	Case A	5279 - <1> - 5419			
n2	15kHz	Case A				
n3	15kHz	Case A	4517 - <1> - 4693			
	15kHz	Case A	2177 - <1> - 2230			
n5 —	30kHz	Case B	2183 - <1> - 2224			
n7	15kHz	Case A	6554 - <1> - 6718			
n8	15kHz	Case A	2318 - <1> - 2395			
n12	15kHz	Case A	1828 – <1> – 1858			
n20	15kHz	Case A	1982 – <1> – 2047			
n25	15 kHz	Case A	4829 - <1> - 4981			
n28	15kHz	Case A	1901 – <1> – 2002			
n34	15kHz	Case A	5030 - <1> - 5056			
n38	15kHz	Case A	6431 - <1> - 6544			
n39	15kHz	Case A	4706 – <1> – 4795			
n40	15kHz	Case A	5756 - <1> - 5995			
n41	15kHz	Case A	6246 - <9> - 6714			
	30 kHz	Case C	6252 - <3> - 6714			
n51	15kHz	Case A	3572 - <1> - 3574			
n66	15kHz	Case A	5279 - <1> - 5494			
1100	30kHz	Case B	5285 - <1> - 5488			
n70	15kHz	Case A	4993 - <1> - 5044			
n71	15kHz	Case A	1547 – <1> – 1624			
n74	15kHz	Case A	3692 - <1> - 3790			
n75	15kHz	Case A	3584 - <1> - 3787			
n76	15kHz	Case A	3572 - <1> - 3574			
n77	30kHz	Case C	7711 – <1> – 8329			
n78	30kHz	Case C	7711 – <1> – 8051			
n79	30kHz	Case C	8480 - <16> - 8880			
NOTE 1: SS Block pattern is defined in section 4.1 in TS 38.213 [9]						

# 5.4.4 TX-RX frequency separation

The default TX channel (carrier centre frequency) to RX channel (carrier centre frequency) separation for operating bands is specified in Table 5.4.4-1.

**NR Operating Band** TX - RXcarrier centre frequency separation 190 MHz n1 n2 80 MHz 95 MHz n3 45 MHz n5 120 MHz n7 45 MHz n8 30 MHz n12 n20 -41 MHz 80 MHz n25 55 MHz n28 n66 400 MHz n70 295,300 MHz n71 -46 MHz Default TX-RX carrier centre frequency separation.

Table 5.4.4-1: Default UE TX-RX frequency separation

# 5.4A Channel arrangement for CA

#### 5.4A.1 Channel spacing for CA

For intra-band contiguous carrier aggregation with two or more component carriers, the nominal channel spacing between two adjacent NR component carriers is defined as the following unless stated otherwise:

For NR operating bands with 100 kHz channel raster:

For NR operating bands with 15 kHz channel raster:

with

$$n = \max(\mu_1, \mu_2)$$

where  $BW_{Channel(1)}$  and  $BW_{Channel(2)}$  are the channel bandwidths of the two respective NR component carriers according to Table 5.3.2-1 with values in MHz. and the  $GB_{Channel(i)}$  is the minimum guard band defined in sub-clause 5.3.3, while  $\mu_1$  and  $\mu_2$  are the subcarrier spacing configurations of the component carriers as defined in TS 38.211. The channel spacing for intra-band contiguous carrier aggregation can be adjusted to any multiple of least common multiple of channel raster and sub-carrier spacing less than the nominal channel spacing to optimize performance in a particular deployment scenario.

For intra-band non-contiguous carrier aggregation the channel spacing between two NR component carriers in different sub-blocks shall be larger than the nominal channel spacing defined in this subclause

#### 5.4A.2 Channel raster for CA

For inter-band carrier aggregation, the channel raster requirements in subclause 5.4.2 apply for each operating band.

#### 5.4A.3 Synchronization raster for CA

For inter-band carrier aggregation, the synchronization raster requirements in subclause 5.4.3 apply for each operating band.

#### 5.4A.4 Tx-Rx frequency separation for CA

For inter-band carrier aggregation, the Tx-Rx frequency separation requirements in subclause 5.4.4 apply for each operating band.

# 5.5 Configurations

# 5.5A Configurations for CA

#### 5.5A.1 Configurations for intra-band contiguous CA

Table 5.5A.1-1: NR CA configurations and bandwidth combination sets defined for intra-band contiguous CA for fallback group 1

NR CA configuration / Bandwidth combination set								
Component carriers in order of increasing carrier frequency						ļ <u>.</u>		
NR CA configuratio n	Uplink CA configur ations	Channel bandwidths for carrier (MHz)	Channel bandwidth s for carrier (MHz)	Channel bandwidth s for carrier (MHz)	Channel bandwidth s for carrier (MHz)	Channel bandwidth s for carrier (MHz)	Aggregat ed bandwidt h (MHz)	Bandwidth combination set
		5	20					
CA =74D		10	15				İ	
CA_n71B		15	10				25	0
		20	5					
		50	60				110	
		60	60				120	
		50	80				130	
CA_n77C		60	80				140	
CA_n78C		50	100				150	
CA_n79C		60	100				160	
		80	80				160	
		80	100				180	
		100	100				200	
		50	60	100			210	0
		60	60	100			220	
		50	80	100			230	
		60	80	100			240	
CA_n77D, CA_n78D, CA_n79D		50	100	100			250	
		80	80	100			260	
_		80	90	100			270	
		80	100	100			280	
		90	100	100			290	
		100	100	100			300	

	50	60	100	100	310
	60	60	100	100	320
	50	80	100	100	330
	60	80	100	100	340
CA_n77E,	50	100	100	100	350
CA_n78E, CA_n79E	80	80	100	100	360
	80	90	100	100	370
	80	100	100	100	380
	90	100	100	100	390
	100	100	100	100	400

# 5.5A.2 Configurations for intra-band non-contiguous CA

Detailed structure of the subclause is TBD.

# 5.5A.3 Configurations for inter-band CA

Table 5.5A.3-1: NR CA configurations and bandwidth combinations sets defined for inter-band CA (two bands)

NR CA configur ation	Uplink CA configur ation	NR Ban d	SCS (kHz )	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Banc width comb ination
			15	Yes	Yes	Yes	Yes	Yes	Yes							
		n3	30		Yes	Yes	Yes	Yes	Yes							]
CA_n3A- n77A	-		60 15		Yes Yes	Yes Yes	Yes Yes	Yes	Yes	Yes	Yes					0
11///		n77	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	4
		''''	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	1
			15	Yes	Yes	Yes	Yes	Yes	Yes							
24 04	04 04	n3	30		Yes	Yes	Yes	Yes	Yes							1
CA_n3A- n78A	CA_n3A- n78A		60 15		Yes Yes	Yes Yes	Yes Yes	Yes	Yes	Yes	Yes					0
11707	1170A	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	1
		0	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	1
			15	Yes	Yes	Yes	Yes	Yes	Yes							_
24 04		n3	30		Yes	Yes	Yes	Yes	Yes							1
CA_n3A- n79A	-		60 15		Yes Yes	Yes Yes	Yes Yes	Yes	Yes	Yes	Yes					0
117 974		n79	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes		Yes	4
			60		Yes	Yes	Yes			Yes	Yes	Yes	Yes		Yes	1
			15	Yes	Yes	Yes	Yes									
		n8	30		Yes	Yes	Yes									1
CA_n8A- n78A	CA_n8A- n78A		60 15		Yes	Yes	Yes			Yes	Yes					0
IIIOA	1170A		Yes	Yes	Yes	Yes	Yes	Yes	4							
		1170	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	4
			15	Yes	Yes	Yes	Yes									
		n8	30		Yes	Yes	Yes									1
CA_n8A- n79A	-		60		Vaa	Vaa	Vaa			Vaa	Vaa					0
1179A		n79	15 30		Yes Yes	Yes Yes	Yes Yes			Yes Yes	Yes Yes	Yes	Yes		Yes	4
		1170	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes		Yes	1
			15	Yes	Yes	Yes	Yes									
		n28	30		Yes	Yes	Yes									ļ
CA_n28A -n78A	-		60 15		Yes	Yes	Yes			Yes	Yes					0
-11 <i>1</i> OA		n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	4
			60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	1
			15		Yes	Yes	Yes			Yes	Yes					
		n41	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes		Yes	1
CA_n41A -n78A	-		60 15		Yes Yes	Yes Yes	Yes Yes			Yes Yes	Yes Yes	Yes	Yes		Yes	0
-11 <i>1</i> OA		n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	4
		0	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	1
-			15	Yes	Yes	Yes	Yes									Ţ
24 754		n75	30		Yes	Yes	Yes									4
CA_n75A -n78A	-		60 15		Yes Yes	Yes Yes	Yes Yes			Yes	Yes					0
-117 0/		n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	1
			60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	1
		n76	15	Yes												
CA			30													
n76A-			60 15		Yes	Yes	Yes			Yes	Yes					0
n78A		n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	-
		L	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	
			15		Yes	Yes	Yes			Yes	Yes					<u> </u>
24 77 4		n77	30		Yes	Yes	Yes		ļ	Yes	Yes	Yes	Yes	Yes	Yes	ļ _
CA_n77A		60 15		Yes	Yes	Yes		1	Yes Yes	Yes Yes	Yes	Yes	Yes	'es Yes	0	
-11 <i>1 31</i> 4		n79	30							Yes	Yes	Yes	Yes		Yes	1
		60							Yes	Yes	Yes	Yes		Yes	1	

CA_n78A -n79A	-	n78	15	Yes	Yes	Yes		Yes	Yes			0

# 5.5B Configurations for DC

# 5.5C Configurations for SUL

Table 5.5C-1: Supported channel bandwidths per SUL band combination

SUL onfigurati on	NR Band	Subcarrier spacing (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Ba dw th co bir tic se
		15		Yes	Yes	Yes			Yes	Yes					
JL_n78A-	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	С
n80A		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	
	n80	15	Yes	Yes	Yes	Yes	Yes	Yes							
		15		Yes	Yes	Yes			Yes	Yes					
JL_n78A-	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	С
n81A		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	] '
	n81 15 Yes Yes Yes Yes														
		15		Yes	Yes	Yes			Yes	Yes					
JL_n78A-	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	С
n82A		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	_ `
	n82	15	Yes	Yes	Yes	Yes									
		15		Yes	Yes	Yes			Yes	Yes					
JL_n78A-	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	С
n83A		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	
	n83	15	Yes	Yes	Yes	Yes									
		15		Yes	Yes	Yes			Yes	Yes					
JL_n78A-	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	С
n84A		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	
	n84	15	Yes	Yes	Yes	Yes									
		15		Yes	Yes	Yes			Yes	Yes					
JL_n78A-	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	c
n86A		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	
	n86	15	Yes	Yes	Yes	Yes									
		15							Yes	Yes					
JL_n79A- n80A	n79	30							Yes	Yes	Yes	Yes		Yes	С
		60							Yes	Yes	Yes	Yes		Yes	
	n80	15	Yes	Yes	Yes	Yes	Yes	Yes							<u> </u>
		15							Yes	Yes					1
JL_n79A-	n79	30							Yes	Yes	Yes	Yes		Yes	c
n81A		60							Yes	Yes	Yes	Yes		Yes	_ `
	n81	15	Yes	Yes	Yes	Yes									<u> </u>

# 6 Transmitter characteristics

## 6.1 General

Unless otherwise stated, the transmitter characteristics are specified at the antenna connector of the UE with a single or multiple transmit antenna(s). For UE with integral antenna only, a reference antenna with a gain of 0 dBi is assumed.

Unless otherwise stated, Channel Bandwidth shall be prioritized in the selecting of test points. Subcarrier spacing shall be selected after Test Channel Bandwidth is selected.

Uplink RB allocations given in Table 6.1-1 are used throughout this section, unless otherwise stated by the test case.

Table 6.1-1: Common uplink configuration

			RB allocation							
Channel Bandwidth	SCS(kHz)	OFDM	Edge_Full_Left	Edge_Full_Right	Edge_1RB_Left	Edge_1RB_Right	Outer_Full	Inner_Full	Inner_1RB_Left	Inner_1RB_Right
	15	DFT-s	2@0	2@23	1@0	1@24	25@0	12@6	1@1	1@23
	10	CP DFT-s	2@0 2@0	2@23	1@0 1@0	1@24 1@10	25@0 10@0	13@6 5@2	1@1 1@1	1@23 1@9
5MHz	30	CP	2@0	2@9	1@0	1@10	11@0	5@2	1@1	1@9
	60	DFT-s	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	00	CP DFT-s	N/A 2@0	N/A 2@50	N/A 1@0	N/A 1@51	N/A 50@0	N/A 25@12	N/A 1@1	N/A 1@50
	15	CP	2@0	2@50	1@0	1@51	52@0	26@13	1@1	1@50
10MHz	30	DFT-s	2@0	2@22	1@0	1@23	24@0	12@6	1@1	1@22
TUNITZ	30	CP	2@0	2@22	1@0	1@23	24@0	12@6	1@1	1@22
	60	DFT-s CP	2@0	2@9	1@0	1@10	10@0	5@2	1@1	1@9
		DFT-s	2@0 2@0	2@9 2@77	1@0 1@0	1@10 1@78	11@0 75@0	5@2 36@18	1@1 1@1	1@9 1@77
	15	CP	2@0	2@77	1@0	1@78	79@0	39@19	1@1	1@77
15MHz	30	DFT-s	2@0	2@36	1@0	1@37	36@0	18@9	1@1	1@36
1011112		CP	2@0	2@36	1@0	1@37	38@0	19@9	1@1	1@36
	60	DFT-s CP	2@0 2@0	2@16 2@16	1@0 1@0	1@17 1@17	18@0 18@0	9@4 9@4	1@1 1@1	1@16 1@16
		DFT-s	2@0	2@104	1@0	1@105	100@0	50@25	1@1	1@104
	15	CP	2@0	2@104	1@0	1@105	106@0	53@26	1@1	1@104
20MHz	30	DFT-s	2@0	2@49	1@0	1@50	50@0	25@12	1@1	1@49
		CP DET a	2@0	2@49	1@0	1@50	51@0	25@12	1@1	1@49
	60	DFT-s CP	2@0	2@22	1@0 1@0	1@23 1@23	24@0 24@0	12@6 12@6	1@1 1@1	1@22 1@22
	45	DFT-s	2@0	2@131	1@0	1@132	128@0	64@32	1@1	1@131
	15	CP	2@0	2@131	1@0	1@132	133@0	67@33	1@1	1@131
25MHz	30	DFT-s	2@0	2@63	1@0	1@64	64@0	32@16	1@1	1@63
		CP DFT-s	2@0 2@0	2@63 2@29	1@0 1@0	1@64 1@30	65@0 30@0	33@16 15@7	1@1 1@1	1@63 1@29
	60	CP	2@0	2@29	1@0	1@30	31@0	15@7	1@1	1@29
	45	DFT-s	2@0	2@158	1@0	1@159	160@0	80@40	1@1	1@158
	15	CP	2@0	2@158	1@0	1@159	160@0	80@40	1@1	1@158
30MHz	30	DFT-s	2@0	2@76	1@0	1@77	75@0	36@18	1@1	1@76
		CP DFT-s	2@0 2@0	2@76 2@36	1@0 1@0	1@77 1@37	78@0 36@0	39@19 18@9	1@1 1@1	1@76 1@36
	60	CP	2@0	2@36	1@0	1@37	38@0	19@9	1@1	1@36
	15	DFT-s	2@0	2@214	1@0	1@215	216@0	108@54	1@1	1@214
	15	CP	2@0	2@214	1@0	1@215	216@0	108@54	1@1	1@214
40MHz	30	DFT-s CP	2@0 2@0	2@104 2@104	1@0 1@0	1@105 1@105	100@0 106@0	50@25 53@26	1@1 1@1	1@104 1@104
		DFT-s	2@0	2@49	1@0	1@50	50@0	25@12	1@1	1@49
	60	CP	2@0	2@49	1@0	1@50	51@0	25@12	1@1	1@49
	15	DFT-s	2@0	2@268	1@0	1@269	270@0	135@67	1@1	1@268
		CP DET -	2@0	2@268	1@0	1@269	270@0	135@67	1@1	1@268
50MHz	30	DFT-s CP	2@0 2@0	2@131 2@131	1@0 1@0	1@132 1@132	128@0 133@0	64@32 67@33	1@1 1@1	1@131 1@131
	00	DFT-s	2@0	2@63	1@0	1@64	64@0	32@16	1@1	1@63
	60	CP	2@0	2@63	1@0	1@64	65@0	33@16	1@1	1@63
	15	DFT-s	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
		CP	N/A	N/A	N/A	N/A 1@161	N/A	N/A	N/A	N/A
60MHz	30	DFT-s CP	2@0 2@0	2@160 2@160	1@0 1@0	1@161	162@0 162@0	81@40 81@40	1@1 1@1	1@160 1@160
		DFT-s	2@0	2@77	1@0	1@78	75@0	36@18	1@1	1@77
	60	CP	2@0	2@77	1@0	1@78	79@0	39@19	1@1	1@77
80MHz	15	DFT-s	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	_	CP	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

	00	DFT-s	2@0	2@215	1@0	1@216	216@0	108@54	1@1	1@215
	30	CP	2@0	2@215	1@0	1@216	217@0	109@54	1@1	1@215
	60	DFT-s	2@0	2@105	1@0	1@106	100@0	50@25	1@1	1@105
	60	CP	2@0	2@105	1@0	1@106	107@0	53@26	1@1	1@105
	15	DFT-s	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	15	CP	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
90MHz	30	DFT-s	2@0	2@243	1@0	1@244	240@0	120@60	1@1	1@243
90WITIZ	30	CP	2@0	2@243	1@0	1@244	245@0	123@61	1@1	1@243
	60	DFT-s	2@0	2@119	1@0	1@120	120@0	60@30	1@1	1@119
	60	CP	2@0	2@119	1@0	1@120	121@0	61@30	1@1	1@119
	15	DFT-s	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	15	CP	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
100MHz	30	DFT-s	2@0	2@271	1@0	1@272	270@0	135@67	1@1	1@271
TOUNITIE	30	CP	2@0	2@271	1@0	1@272	273@0	137@68	1@1	1@271
	60	DFT-s	2@0	2@133	1@0	1@134	135@0	64@32	1@1	1@133
	60	CP	2@0	2@133	1@0	1@134	135@0	67@33	1@1	1@133

# 6.2 Transmitter power

## 6.2.1 UE maximum output power

## 6.2.1.1 Test purpose

To verify that the error of the UE maximum output power does not exceed the range prescribed by the specified nominal maximum output power and tolerance.

An excess maximum output power has the possibility to interfere to other channels or other systems. A small maximum output power decreases the coverage area.

## 6.2.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

### 6.2.1.3 Minimum conformance requirements

The following UE Power Classes define the maximum output power for any transmission bandwidth within the channel bandwidth of NR carrier unless otherwise stated. The period of measurement shall be at least one sub frame (1ms).

Table 6.2.1.3-1: UE Power Class

NR band	Class 1 (dBm)	Tolerance (dB)	Class 2 (dBm)	Tolerance (dB)	Class 3 (dBm)	Tolerance (dB)
n1	(abiii)	(42)	(aBiii)	(42)	23	± 2
n2					23	± 2 <sup>3</sup>
n3					23	± 2 <sup>3</sup>
n5					23	± 2
n7					23	± 2 <sup>3</sup>
n8					23	± 2 <sup>3</sup>
n12					23	± 2 <sup>3</sup>
n20					23	± 2 <sup>3</sup>
n25					23	± 2
n28					23	+2/-2.5
n34					23	± 2
n38					23	± 2
n39					23	± 2
n40					23	± 2
n41			26	+2/-33	23	± 2 <sup>3</sup>
n50					23	± 2
n51					23	± 2
n66					23	± 2
n70					23	± 2
n71					23	+2/-2.5
n74					23	± 2
n77			26	+2/-3	23	+2/-3
n78			26	+2/-3	23	+2/-3
n79			26	+2/-3	23	+2/-3
n80					23	± 2
n81					23	± 2
n82					23	± 2
n83					23	± 2/-2.5
n84					23	±2
n86					23	± 2

NOTE 1: P<sub>PowerClass</sub> is the maximum UE power specified without taking into account the tolerance

NOTE 2: Power class 3 is default power class unless otherwise stated

NOTE 3: Refers to the transmission bandwidths (Figure 5.3.3-1) confined within F<sub>UL\_low</sub> and F<sub>UL\_low</sub> + 4 MHz or F<sub>UL\_high</sub> - 4 MHz and F<sub>UL\_high</sub>, the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB

If a UE supports a different power class than the default UE power class for the band and the supported power class enables the higher maximum output power than that of the default power class:

- if the field of UE capability *maxUplinkDutyCycle* is absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than 50% (The exact evaluation period is no less than one radio frame); or
- if the field of UE capability *maxUplinkDutyCycle* is not absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than *maxUplinkDutyCycle* as defined in TS 38.331 (The exact evaluation period is no less than one radio frame); or
- if the IE *P-Max* as defined in TS 38.331 [6] is provided and set to the maximum output power of the default power class or lower;
  - shall apply all requirements for the default power class to the supported power class and set the configured transmitted power as specified in sub-clause 6.2.4;
- else if (the IE *P-Max* as defined in TS 38.331 [6] is not provided or set to the higher value than the maximum output power of the default power class and the percentage of uplink symbols transmitted in a certain evaluation period is less than or equal to *maxUplinkDutyCycle* as defined in TS 38.331; or
- the IE *P-Max* as defined in TS 38.331 [6] is not provided or set to the higher value than the maximum output power of the default power class and the percentage of uplink symbols transmitted in a certain evaluation period is less than or equal to 50% when *maxUplinkDutyCycle* is absent. The exact evaluation period is no less than one radio frame):

- shall apply all requirements for the supported power class and set the configured transmitted power class as specified in sub-clause 6.2.4;

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.1.

#### 6.2.1.4 Test description

#### 6.2.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.2.1.4.1-1: Test Configuration Table

		Initial Conditions					
Test Environme [5] subclause 4.	ent as specified in TS 38.508-1 1	Normal, TL/VL, TL/VH, TH/VL, TH/VH					
Test Frequencie [5] subclause 4.	es as specified in TS 38.508-1 3.1	Low range, Mid range, High range					
Test Channel B 38.508-1 [5] sub	andwidths as specified in TS oclause 4.3.1	Lowest, Mid, Highest					
Test SCS as sp	ecified in Table 5.3.5-1	Lowest, Highest					
	Test Parameters						
Test ID	est ID Downlink Configuration Uplink Configuration						
	N/A for maximum output	Modulation (NOTE 2)	RB allocation (NOTE 1)				
1	power test case	DFT-s-OFDM PI/2 BPSK	Inner Full				
2		DFT-s-OFDM PI/2 BPSK	Inner 1RB Left				
3		DFT-s-OFDM PI/2 BPSK	Inner 1RB Right				
4		DFT-s-OFDM QPSK	Inner Full				
5		DFT-s-OFDM QPSK	Inner 1RB Left				
6	6 DFT-s-OFDM QPSK Inner 1RB Right						
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.  NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.							

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.2.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2.1.4.3.

#### 6.2.1.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.2.1.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level corresponding to Power Class 3.

- 3. Measure the mean power of the UE in the channel bandwidth of the radio access mode. The period of measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- 4. For UEs supporting Power Class 2, repeat steps  $1\sim3$  on the applicable bands except  $P_{UMAX}$  level in step 2 is corresponding to Power Class 2.

#### 6.2.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 and 5.4.

#### 6.2.1.5 Test requirement

The maximum output power, derived in step 3 shall be within the range prescribed by the nominal maximum output power and tolerance in Table 6.2.1.5-1.

The maximum output power, derived in step 4 shall be within the range prescribed by the nominal maximum output power and tolerance in Table 6.2.1.5-2.

Table 6.2.1.5-1: Maximum Output Power test requirement for Power Class 3

NR band	Class 1 (dBm)	Tolerance (dB)	Class 2 (dBm)	Tolerance (dB)	Class 3 (dBm)	Tolerance (dB)		
n1	, ,	` ′	•	` ′	23	±2±TT		
n2					23	±2 <sup>3</sup> ±TT		
n3					23	±2 <sup>3</sup> ±TT		
n5					23	±2±TT		
n7					23	±2 <sup>3</sup> ±TT		
n8					23	±2 <sup>3</sup> ±TT		
n12					23	±2 <sup>3</sup> ±TT		
n20					23	±2 <sup>3</sup> ±TT		
n25					23	±2±TT		
n28					23	+2/-2.5		
n34					23	±2±TT		
n38					23	±2±TT		
n39					23	±2±TT		
n40					23	±2±TT		
n41					23	± 2 <sup>3</sup> ±TT		
n50					23	±2±TT		
n51					23	±2±TT		
n66					23	±2±TT		
n70					23	±2±TT		
n71					23	+2+TT/-2.5- TT		
n74					23	±2±TT		
n77					23	+2+TT/-3-TT		
n78					23	+2+TT/-3-TT		
n79					23	+2+TT/-3-TT		
n80					23	±2±TT		
n81					23	±2±TT		
n82					23	±2±TT		
n83					23	+2+TT/-2.5- TT		
n84					23	±2±TT		
n86					23	±2±TT		
NOTE 1: P <sub>PowerClass</sub> is the maximum UE power specified without taking into account the								

NOTE 1: P<sub>PowerClass</sub> is the maximum UE power specified without taking into account the tolerance

NOTE 2: Power class 3 is default power class unless otherwise stated

NOTE 3: Refers to the transmission bandwidths (Figure 5.3.3-1) confined within F<sub>UL\_low</sub> and FUL\_low + 4 MHz or F<sub>UL\_high</sub> – 4 MHz and F<sub>UL\_high</sub>, the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB NOTE 4: TT for each frequency and channel bandwidth is specified in Table 6.2.1.5-3

Table 6.2.1.5-2: Maximum Output Power test requirement for Power Class 2

NR band	Class 1 (dBm)	Tolerance (dB)	Class 2 (dBm)	Tolerance (dB)	Class 3 (dBm)	Tolerance (dB)		
n41			26	+2+TT/- 3 <sup>3</sup> -TT				
n77			26	+2+TT/-3- TT				
n78			26	+2+TT/-3- TT				
n79			26	+2+TT/-3- TT				
NOTE 1:	P <sub>PowerClass</sub> is the maximum UE power specified without taking into account the tolerance							
	Power class 3 is default power class unless otherwise stated							

NOTE 3: Refers to the transmission bandwidths (Figure 5.3.3-1) confined within Ful\_low and Ful\_low + 4 MHz or Ful\_high - 4 MHz and Ful\_high, the maximum output power tolerance limit by 1.5 dB

NOTE 4: TT for each frequency and channel bandwidth is specified in Table 6.2.1.5-3

Table 6.2.1.5-3: Test Tolerance (UE maximum output power)

	f ≤ 3.0GHz	3.0GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6.0GHz
BW ≤ 40MHz	0.7 dB	1.0 dB	1.0 dB
40MHz < BW ≤ 100MHz	1.0 dB	1.0 dB	1.0 dB

## 6.2.2 Maximum Power Reduction (MPR)

Editor's Note: The following aspects are either missing or not yet determined:

- There are still brackets and TBD left for PC 2 in the minimum requirement).
- SA Generic procedures with condition NR in TS 38.508-1 is FFS.
- The minimum requirement for almost contiguous signals are not tested in the existing test points.
- PC1 and PC4 requirements are not defined in RAN4 Rel-15 spec.

### 6.2.2.1 Test purpose

The number of RB identified in Table 6.2.2.3-1 is based on meeting the requirements for adjacent channel leakage ratio and the maximum power reduction (MPR) due to Cubic Metric (CM).

## 6.2.2.2 Test applicability

The requirements of this test apply in test cases 6.5.2.2. 1 Adjacent Channel Leakage power Ratio to all types of NR Power Class [2] and 3 UE release 15 and forward

#### 6.2.2.3 Minimum conformance requirements

UE is allowed to reduce the maximum output power due to higher order modulations and transmit bandwidth configurations. For UE Power Class [2] and 3, the allowed maximum power reduction (MPR) is defined in Table 6.2.2.3-2 and 6.2.2.3-1, respectively for channel bandwidths that meets both following criteria:

- Channel bandwidth ≤ 100MHz.
- Relative channel bandwidth  $\leq 4\%$  for TDD bands and  $\leq 3\%$  for FDD bands.

Where relative channel bandwidth =  $2*BW_{Channel}/(F_{UL\ low} + F_{UL\ high})$ .

Table 6.2.2.3-1: Maximum Power Reduction (MPR) for Power 3

Modulation		MPR (dB)	
	Edge RB allocations	Outer RB allocations	Inner RB allocations
DET a OEDM DI/2 PDSK	≤ 3.5 <sup>1</sup>	≤ 1.2 <sup>1</sup>	≤ 0.2 <sup>1</sup>
DFT-s-OFDM PI/2 BPSK	≤ (	O <sup>2</sup>	
DFT-s-OFDM QPSK	≤	1	0
DFT-s-OFDM 16 QAM	≤	≤ 1	
DFT-s-OFDM 64 QAM		≤ 2.5	
DFT-s-OFDM 256 QAM		≤ 4.5	
CP-OFDM QPSK	≤	3	≤ 1.5
CP-OFDM 16 QAM	≤	3	≤ 2
CP-OFDM 64 QAM		≤ 3.5	
CP-OFDM 256 QAM		≤ 6.5	

- NOTE 1: Applicable for UE operating in TDD mode with PI/2 PBSK modulation and UE indicates support for UE capability [powerBoosting-pi2BPSK] and if the IE powerBoostPi2BPSK is set to 1 and 40% or less slots in radio frame are used for UL transmission for bands n40, n41, n77, n78 and n79. The reference power of 0dB MPR is 26dBm.
- NOTE 2 Applicable for UE operating in FDD mode, or in TDD mode in bands other than n40, n41, n77, n78 and n79 and if the IE *powerBoostPi2BPSK* is set to 0 and if more than 40% of slots in radio frame are used for UL transmission for bands n40, n41, n77, n78 and n79.

Table 6.2.2.3-2: Maximum Power Reduction (MPR) for Power Class 2

Modulation	MPR (dB)					
	Edge RB allocations	Outer RB allocations	Inner RB allocations			
DFT-s-OFDM PI/2 BPSK	≤ 3.5	≤ 0.5	0			
DFT-s-OFDM QPSK	≤ 3.5	≤ 1	0			
DFT-s-OFDM 16 QAM	≤ 3.5	≤ 2	≤ 1			
DFT-s-OFDM 64 QAM	≤ 3.5	≤ 2	2.5			
DFT-s-OFDM 256 QAM		≤ 4.5				
CP-OFDM QPSK	≤ 3.5	≤ 3	≤ 1.5			
CP-OFDM 16 QAM	≤ 3.5	≤ 3	≤ 2			
CP-OFDM 64 QAM		≤ 3.5				
CP-OFDM 256 QAM		≤ 6.5				

Where the following parameters are defined to specify valid RB allocation ranges for Outer and Inner RB allocations:

N<sub>RB</sub> is the maximum number of RBs for a given Channel bandwidth and sub-carrier spacing defined in Table 5.3.2-1.

$$RB_{Start,Low} = max(1, floor(L_{CRB}/2))$$

where max() indicates the largest value of all arguments and floor(x) is the greatest integer less than or equal to x.

$$RB_{Start,High} = N_{RB} - RB_{Start,Low} - L_{CRB}$$

The RB allocation is an Inner RB allocation if the following conditions are met:

$$RB_{Start,Low} \leq RB_{Start} \leq RB_{Start,High}$$
, and

$$L_{CRB} \le ceil(N_{RB}/2)$$

where ceil(x) is the smallest integer greater than or equal to x.

An Edge RB allocation is one for which the RB's are allocated at the lowermost or uppermost edge of the channel with  $LCRB \le 2$  RB's.

The RB allocation is an Outer RB allocation for all other allocations which are not an Inner RB allocation or Edge RB allocation.

If CP-OFDM allocation satisfies following conditions it is considered as almost contiguous allocation

$$N_{RB\_gap} \, / \, (N_{RB\_alloc} + N_{RB\_gap} \, ) \leq 0.25$$

and  $N_{RB\_alloc} + N_{RB\_gap}$  is larger than 106, 51 or 24 RBs for 15 kHz, 30 kHz or 60 kHz respectively where  $N_{RB\_gap}$  is the total number of unallocated RBs between allocated RBs and  $N_{RB\_alloc}$  is the total number of allocated RBs. The size and location of allocated and unallocated RBs are restricted by RBG parameters specified in sub-clause 6.1.2.2 of TS 38.214. For these almost contiguous signals in power class 3, the allowed maximum power reduction defined in Table 6.2.2-1 is increased by

CEIL( 
$$10 \log_{10}(1 + N_{RB\_gap} / N_{RB\_alloc}), 0.5$$
) dB.

For almost contiguous signals in power class 2, the allowed maximum power reduction is TBD.

For the UE maximum output power modified by MPR, the power limits specified in subclause 6.2.4 apply.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.2.

6.2.2.4 Test description

6.2.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.2.2.4.1-1: Test Configuration Table for Power Class 3

			Initial Conditions						
	/ironment a ause 4.1	s specified in TS 38.508-1	Normal, TL/VL, TL/VH, TH/VL, TH/VH						
		s specified in TS 38.508-1	Low range, High range						
	ause 4.3.1	3 3pccilica iii 10 00.000 1	Low range, riigh range						
		widths as specified in TS	Lowest, Highest						
	l [5] subcla		Lowoot, riighoot						
Test SC	S as specif	ied in Table 5.3.5-1	Lowest and Highest						
	<u> </u>	Test Paran	neters for Channel Bandwidths						
Test	Freq	Downlink Configuration	Uplink Configur	ation					
ID	-	_							
		N/A for Maximum Power	Modulation (NOTE 2)	RB allocation (NOTE 1)					
1 <sup>3</sup>	Default	Reduction (MPR) test	DFT-s-OFDM PI/2 BPSK	Inner Full					
- 0		case							
2 <sup>3</sup>	Low		DFT-s-OFDM PI/2 BPSK	Edge_1RB_Left					
3 <sup>3</sup>	High		DFT-s-OFDM PI/2 BPSK	Edge_1RB_Right					
4 <sup>3</sup>	Default		DFT-s-OFDM PI/2 BPSK	Outer Full					
5 <sup>4</sup>	Default		DFT-s-OFDM PI/2 BPSK	Inner Full					
64	Low		DFT-s-OFDM PI/2 BPSK	Edge_1RB_Left					
74	High		DFT-s-OFDM PI/2 BPSK	Edge_1RB_Right					
84	Default		DFT-s-OFDM PI/2 BPSK	Outer Full					
9	Default		DFT-s-OFDM QPSK	Inner Full					
10	Low		DFT-s-OFDM QPSK	Edge_1RB_Left					
11	High		DFT-s-OFDM QPSK	Edge_1RB_Right					
12	Default		DFT-s-OFDM QPSK	Outer Full					
13	Default		DFT-s-OFDM 16 QAM	Inner Full					
14	Low		DFT-s-OFDM 16 QAM	Edge_1RB_Left					
15	High		DFT-s-OFDM 16 QAM	Edge_1RB_Right					
16	Default		DFT-s-OFDM 16 QAM	Outer Full					
17	Low		DFT-s-OFDM 64 QAM	Edge_1RB_Left					
18	High		DFT-s-OFDM 64 QAM	Edge_1RB_Right					
11	Default		DFT-s-OFDM 64 QAM	Outer Full					
20	Low		DFT-s-OFDM 256 QAM	Edge_1RB_Left					
21	High		DFT-s-OFDM 256 QAM	Edge_1RB_Right					
22	Default		DFT-s-OFDM 256 QAM	Outer Full					
23	Default		CP-OFDM QPSK	Inner Full					
24	Low		CP-OFDM QPSK	Edge_1RB_Left					
25	High		CP-OFDM QPSK	Edge_1RB_Right					
26	Default		CP-OFDM QPSK	Outer Full					
27	Default		CP-OFDM 16 QAM	Inner Full					
28	Low		CP-OFDM 16 QAM	Edge_1RB_Left					
29	High		CP-OFDM 16 QAM	Edge_1RB_Right					
30	Default		CP-OFDM 16 QAM	Outer Full					
31	Low		DFT-s-OFDM 64 QAM	Edge_1RB_Left					
32	High		DFT-s-OFDM 64 QAM	Edge_1RB_Right					
33	Default		CP-OFDM 64 QAM	Outer Full					
34	Low		DFT-s-OFDM 256 QAM	Edge_1RB_Left					
35	High		DFT-s-OFDM 256 QAM	Edge_1RB_Right					
36 NOTE 4	Default	ilia aantimumatissa stassat DD	CP-OFDM 256 QAM	Outer Full					
INOTE 1:	. ine spec	cinc configuration of each RB	allocation is defined in Table 6.1-1.	!					

NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.

NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.

NOTE 3: UE operating in TDD mode with PI/2 PBSK modulation and UE indicates support for UE capability [powerBoosting-pi2BPSK] and the IE powerBoostPi2BPSK is set to 1 for bands n40, n41, n77, n78 and

NOTE 4: UE operating in FDD mode, or in TDD mode in bands other than n40, n41, n77, n78 and n79, or in TDD mode the IE *powerBoostPi2BPSK* is set to 0 for bands n40, n77, n78 and n79.

Table 6.2.2.4.1-2: Test Configuration Table for Power Class 2

			Initial Conditions					
[5] subcl	ause 4.1	s specified in TS 38.508-1	Normal, TL/VL, TL/VH, TH/VL, TH/VH	I				
Test Fre [5] subcl	quencies a ause 4.3.1	s specified in TS 38.508-1	Low range, High range					
38.508-1	[5] subcla	widths as specified in TS use 4.3.1	Lowest, Highest					
Test SC	S as specif	ied in Table 5.3.5-1	Lowest and Highest					
			neters for Channel Bandwidths					
Test ID	Freq	Downlink Configuration	Uplink Config					
		N/A for Maximum Power	Modulation (NOTE 2)	RB allocation (NOTE 1)				
1	Default	Reduction (MPR) test case	DFT-s-OFDM PI/2 BPSK	Inner Full				
2	Low		DFT-s-OFDM PI/2 BPSK	Edge_1RB_Left				
3	High		DFT-s-OFDM PI/2 BPSK	Edge_1RB_Right				
4	Default		DFT-s-OFDM PI/2 BPSK	Outer Full				
5	Default		DFT-s-OFDM QPSK	Inner Full				
6	Low		DFT-s-OFDM QPSK	Edge_1RB_Left				
7	High		DFT-s-OFDM QPSK	Edge_1RB_Right				
8	Default		DFT-s-OFDM QPSK	Outer Full				
9	Default		DFT-s-OFDM 16 QAM	Inner Full				
10	Low		DFT-s-OFDM 16 QAM	Edge_1RB_Left				
11	High		DFT-s-OFDM 16 QAM	Edge_1RB_Right				
12	Default		DFT-s-OFDM 16 QAM	Outer Full				
13	Low		DFT-s-OFDM 64 QAM	Edge_1RB_Left				
14	High		DFT-s-OFDM 64 QAM	Edge_1RB_Right				
15	Default		DFT-s-OFDM 64 QAM	Outer Full				
16	Low		DFT-s-OFDM 256 QAM	Edge_1RB_Left				
17	High		DFT-s-OFDM 256 QAM	Edge_1RB_Right				
18	Default		DFT-s-OFDM 256 QAM	Outer Full				
19	Default		CP-OFDM QPSK	Inner Full				
20	Low		CP-OFDM QPSK	Edge_1RB_Left				
21	High		CP-OFDM QPSK	Edge_1RB_Right				
22	Default		CP-OFDM QPSK	Outer Full				
23	Default		CP-OFDM 16 QAM	Inner Full				
24	Low		CP-OFDM 16 QAM	Edge_1RB_Left				
25	High		CP-OFDM 16 QAM	Edge_1RB_Right				
26	Default		CP-OFDM 16 QAM	Outer Full				
27	Low		CP-OFDM 64 QAM	Edge_1RB_Left				
28	High		CP-OFDM 64 QAM	Edge_1RB_Right				
29	Default		CP-OFDM 64 QAM	Outer Full				
30	Low		CP-OFDM 256 QAM	Edge_1RB_Left				
31	High		CP-OFDM 256 QAM	Edge_1RB_Right				
32	Default		CP-OFDM 256 QAM	Outer Full				
			allocation is defined in Table 6.1-1.					
NOTE 2:	: DFT-s-O	FDM PI/2 BPSK test applies	only for UEs which supports half Pi BPS	SK in FR1.				

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.2.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.2.2.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2.2.4.3.

#### 6.2.2.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.2.2.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200ms for the UE to reach  $P_{UMAX}$  level.
- 3. Measure the mean power of the UE in the channel bandwidth of the radio access mode. The period of measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- NOTE 1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.2.2.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition.

#### 6.2.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 and 5.4.

#### 6.2.2.5 Test requirement

The maximum output power, derived in step 3 shall be within the range prescribed by the nominal maximum output power and tolerance in Table 6.2.2.5-1 and Table 6.2.2.5-4.

Table 6.2.2.5-1: UE Power Class test requirements (for Bands n1, n2, n3, n4, n5, n8, n12, n20, n25, n34, n38, n39, n40, n41, n50, n51, n66, n70, n74, n80, n81, n82, n84, n86) for Power Class 3

Test ID	P <sub>PowerClass</sub> (dBm)	ΔP <sub>PowerClass</sub> (dB)	MPR (dB)	Δ	T <sub>C,c</sub> (dB)	P <sub>CMAX_I</sub>	.,f,c (dBm)	Т(Рсмах	x_L,f,c) (dB)	T <sub>L,c</sub> (dB)	
1	23	-3	0.2	0	(1.52)	25.8	( 24.3 <sup>2</sup> )	25.8	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
2	23	-3	3.5	0	( 1.5 <sup>2</sup> )	22.5	( 21.0 <sup>2</sup> )	22.5	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
3	23	-3	3.5	0	( 1.5 <sup>2</sup> )	22.5	( 21.0 <sup>2</sup> )	22.5	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
4	23	-3	1.2	0	(1.52)	24.8	( 23.3 <sup>2</sup> )	24.8	( 3.5 <sup>2</sup> )	2	(3.52)
5	23	0	0	0	(1.52)	23.0	( 21.5 <sup>2</sup> )	23.0	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
6	23	0	0.5	0	(1.52)	22.5	( 21.0 <sup>2</sup> )	2.0	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
7	23	0	0.5	0	( 1.5 <sup>2</sup> )	22.5	( 21.0 <sup>2</sup> )	2.0	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
8	23	0	0.5	0	( 1.5 <sup>2</sup> )	22.5	( 21.0 <sup>2</sup> )	2.0	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
9	23	0	0	0	( 1.5 <sup>2</sup> )	23.0	( 21.5 <sup>2</sup> )	2.0	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
10	23	0	1	0	( 1.5 <sup>2</sup> )	22.0	( 20.5 <sup>2</sup> )	2.0	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
11	23	0	1	0	( 1.5 <sup>2</sup> )	22.0	( 20.5 <sup>2</sup> )	2.0	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
12	23	0	1	0	( 1.5 <sup>2</sup> )	22.0	( 20.5 <sup>2</sup> )	2.0	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
13	23	0	1	0	( 1.5 <sup>2</sup> )	22.0	( 20.5 <sup>2</sup> )	2.0	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
14	23	0	2	0	( 1.5 <sup>2</sup> )	21.0	( 19.5 <sup>2</sup> )	2.0	( 3.5 <sup>2</sup> )	2	(3.52)
15	23	0	2	0	( 1.5 <sup>2</sup> )	21.0	( 19.5 <sup>2</sup> )	2.0	(3.52)	2	(3.52)
16	23	0	2	0	( 1.5 <sup>2</sup> )	21.0	( 19.5 <sup>2</sup> )	2.0	( 3.5 <sup>2</sup> )	2	(3.52)
17	23	0	2.5	0	( 1.5 <sup>2</sup> )	2.05	( 19.0²)	2.0	(3.52)	2	(3.52)
18	23	0	2.5	0	( 1.5 <sup>2</sup> )	2.05	( 19.0²)	2.0	(3.52)	2	(3.52)
19	23	0	2.5	0	( 1.5 <sup>2</sup> )	20.5	( 19.0²)	2.5	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
20	23	0	4.5	0	( 1.5 <sup>2</sup> )	18.5	( 17.0 <sup>2</sup> )	4.0	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
21	23	0	4.5	0	( 1.5 <sup>2</sup> )	18.5	( 17.0 <sup>2</sup> )	4.0	( 3.5 <sup>2</sup> )	2	(3.52)
22	23	0	4.5	0	( 1.5 <sup>2</sup> )	18.5	( 17.0 <sup>2</sup> )	4.0	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
23	23	0	1.5	0	( 1.5 <sup>2</sup> )	21.5	( 20.0 <sup>2</sup> )	2.0	( 3.5 <sup>2</sup> )	2	(3.52)
24	23	0	3	0	( 1.5 <sup>2</sup> )	20.0	( 18.5 <sup>2</sup> )	2.5	( 3.5 <sup>2</sup> )	2	(3.52)
25	23	0	3	0	( 1.5 <sup>2</sup> )	20.0	( 18.5 <sup>2</sup> )	2.5	( 3.5 <sup>2</sup> )	2	(3.52)
26	23	0	3	0	( 1.5 <sup>2</sup> )	20.0	( 18.5 <sup>2</sup> )	2.5	( 3.5 <sup>2</sup> )	2	(3.52)
27	23	0	2	0	( 1.5 <sup>2</sup> )	21.0	( 19.5 <sup>2</sup> )	2.0	( 3.5 <sup>2</sup> )	2	(3.52)
28	23	0	3	0	( 1.5 <sup>2</sup> )	20.0	( 18.5 <sup>2</sup> )	2.5	(3.52)	2	(3.52)
29	23	0	3	0	( 1.5 <sup>2</sup> )	20.0	( 18.5 <sup>2</sup> )	2.5	( 3.5 <sup>2</sup> )	2	(3.52)
30	23	0	3	0	( 1.5 <sup>2</sup> )	20.0	( 18.5 <sup>2</sup> )	2.5	( 3.5 <sup>2</sup> )	2	(3.52)
31	23	0	3.5	0	( 1.5 <sup>2</sup> )	19.5	( 18.0 <sup>2</sup> )	3.5	(4.02)	2	(3.52)
32	23	0	3.5	0	( 1.5 <sup>2</sup> )	19.5	( 18.0 <sup>2</sup> )	3.5	(4.02)	2	(3.52)

33	23	0	3.5	0	( 1.5 <sup>2</sup> )	19.5	( 18.0 <sup>2</sup> )	3.5	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )
34	23	0	6.5	0	( 1.5 <sup>2</sup> )	16.5	( 15.0 <sup>2</sup> )	5.0		2	(3.52)
35	23	0	6.5	0	( 1.5 <sup>2</sup> )	16.5	( 15.0 <sup>2</sup> )	5.0		2	( 3.5 <sup>2</sup> )
36	23	0	6.5	0	( 1.5 <sup>2</sup> )	16.5	( 18.0 <sup>2</sup> )	5.0	( 3.5 <sup>2</sup> )	2	( 3.5 <sup>2</sup> )

NOTE 1: P<sub>PowerClass</sub> is the maximum UE power specified without taking into account the tolerance.

NOTE 2: For Band n2, n3, n7, n8, 12, 20, n41, transmission bandwidths (Figure 5.3.3-1) confined within F<sub>UL\_low</sub> and F<sub>UL\_low</sub> + 4 MF NOTE 3: TT for each frequency and channel bandwidth is specified in Table 6.2.2.5-5.

Table 6.2.2.5-2: UE Power Class test requirements (for Bands n28, n71, n83) for Power Class 3

Test ID	P <sub>PowerClass</sub> (dBm)	ΔP <sub>PowerClass</sub> (dB)	MPR (dB)	ΔT <sub>C,c</sub> (dB)	P <sub>CMAX_L,f,c</sub> (dBm)	T(P <sub>CMAX_L,f,c</sub> ) (dB)	T <sub>L,c</sub> (dB)	Upper limit (dBm)	Lower limit (dBm)
5	23	0	0	0	23.0	2.0	2.5	25.0 + TT	20.5 - TT
6	23	0	0.5	0	22.5	2.0	2.5	25.0 + TT	20.0 - TT
7	23	0	0.5	0	22.5	2.0	2.5	25.0 + TT	20.0 - TT
8	23	0	0.5	0	22.5	2.0	2.5	25.0 + TT	20.0 - TT
9	23	0	0	0	23.0	2.0	2.5	25.0 + TT	20.5 - TT
10	23	0	1	0	22.0	2.0	2.5	25.0 + TT	19.5 - TT
11	23	0	1	0	22.0	2.0	2.5	25.0 + TT	19.5 - TT
12	23	0	1	0	22.0	2.0	2.5	25.0 + TT	19.5 - TT
13	23	0	1	0	22.0	2.0	2.5	25.0 + TT	19.5 - TT
14	23	0	2	0	21.0	2.0	2.5	25.0 + TT	18.5 - TT
15	23	0	2	0	21.0	2.0	2.5	25.0 + TT	18.5 - TT
16	23	0	2	0	21.0	2.0	2.5	25.0 + TT	18.5 - TT
17	23	0	2.5	0	20.5	2.5	2.5	25.0 + TT	18.0 - TT
18	23	0	2.5	0	20.5	2.5	2.5	25.0 + TT	18.0 - TT
19	23	0	2.5	0	20.5	2.5	2.5	25.0 + TT	18.0 - TT
20	23	0	4.5	0	18.5	4.0	2.5	25.0 + TT	14.5 - TT
21	23	0	4.5	0	18.5	4.0	2.5	25.0 + TT	14.5 - TT
22	23	0	4.5	0	18.5	4.0	2.5	25.0 + TT	14.5 - TT
23	23	0	1.5	0	21.5	2.0	2.5	25.0 + TT	19.0 - TT
24	23	0	3	0	20.0	2.5	2.5	25.0 + TT	17.5 - TT
25	23	0	3	0	20.0	2.5	2.5	25.0 + TT	17.5 - TT
26	23	0	3	0	20.0	2.5	2.5	25.0 + TT	17.5 - TT
27	23	0	2	0	21.0	2.0	2.5	25.0 + TT	18.5 - TT
28	23	0	3	0	20.0	2.5	2.5	25.0 + TT	17.5 - TT
29	23	0	3	0	20.0	2.5	2.5	25.0 + TT	17.5 - TT
30	23	0	3	0	20.0	2.5	2.5	25.0 + TT	17.5 - TT
31	23	0	3.5	0	19.5	3.5	2.5	25.0 + TT	16.0 - TT
32	23	0	3.5	0	19.5	3.5	2.5	25.0 + TT	16.0 - TT
33	23	0	3.5	0	19.5	3.5	2.5	25.0 + TT	16.0 - TT
34	23	0	6.5	0	16.5	5.0	2.5	25.0 + TT	11.5 - TT
35	23	0	6.5	0	16.5	5.0	2.5	25.0 + TT	11.5 - TT
36	23	0	6.5	0	16.5	5.0	2.5	25.0 + TT	11.5 - TT

NOTE 1: P<sub>PowerClass</sub> is the maximum UE power specified without taking into account the tolerance. NOTE 2: TT for each frequency and channel bandwidth is specified in Table 6.2.2.5-5.

Table 6.2.2.5-3: UE Power Class test requirements (for Bands n77, n78, n79) for Power Class 3

Test ID	P <sub>PowerClass</sub> (dBm)	ΔP <sub>PowerClass</sub> (dB)	MPR (dB)	ΔT <sub>C,c</sub> (dB)	P <sub>CMAX_L,f,c</sub> (dBm)	T(P <sub>CMAX_L,f,c</sub> ) (dB)	T <sub>L,c</sub> (dB)	Upper limit (dBm)	Lower limit (dBm)
1	23	-3	0.2	0	25.8	2.0	3	28.0 + TT	22.8 - TT
2	23	-3	3.5	0	22.5	2.0	3	28.0 + TT	19.5 - TT
3	23	-3	3.5	0	22.5	2.0	3	28.0 + TT	19.5 - TT
4	23	-3	1.2	0	24.8	2.0	3	28.0 + TT	21.8 - TT
5	23	0	0	0	23.0	2.0	3	25.0 + TT	20.0 - TT
6	23	0	0.5	0	22.5	2.0	3	25.0 + TT	19.5 - TT
7	23	0	0.5	0	22.5	2.0	3	25.0 + TT	19.5 - TT
8	23	0	0.5	0	22.5	2.0	3	25.0 + TT	19.5 - TT
9	23	0	0	0	23.0	2.0	3	25.0 + TT	20.0 - TT
10	23	0	1	0	22.0	2.0	3	25.0 + TT	19.0 - TT
11	23	0	1	0	22.0	2.0	3	25.0 + TT	19.0 - TT
12	23	0	1	0	22.0	2.0	3	25.0 + TT	19.0 - TT
13	23	0	1	0	22.0	2.0	3	25.0 + TT	19.0 - TT
14	23	0	2	0	21.0	2.0	3	25.0 + TT	18.0 - TT
15	23	0	2	0	21.0	2.0	3	25.0 + TT	18.0 - TT
16	23	0	2	0	21.0	2.0	3	25.0 + TT	18.0 - TT
17	23	0	2.5	0	20.5	2.5	3	25.0 + TT	17.5 - TT
18	23	0	2.5	0	20.5	2.5	3	25.0 + TT	17.5 - TT
19	23	0	2.5	0	20.5	2.5	3	25.0 + TT	17.5 - TT
20	23	0	4.5	0	18.5	4.0	3	25.0 + TT	14.5 - TT
21	23	0	4.5	0	18.5	4.0	3	25.0 + TT	14.5 - TT
22	23	0	4.5	0	18.5	4.0	3	25.0 + TT	14.5 - TT
23	23	0	1.5	0	21.5	2.0	3	25.0 + TT	18.5 - TT
24	23	0	3	0	20.0	2.5	3	25.0 + TT	17.0 - TT
25	23	0	3	0	20.0	2.5	3	25.0 + TT	17.0 - TT
26	23	0	3	0	20.0	2.5	3	25.0 + TT	17.0 - TT
27	23	0	2	0	21.0	2.0	3	25.0 + TT	18.0 - TT
28	23	0	3	0	20.0	2.5	3	25.0 + TT	17.0 - TT
29	23	0	3	0	20.0	2.5	3	25.0 + TT	17.0 - TT
30	23	0	3	0	20.0	2.5	3	25.0 + TT	17.0 - TT
31	23	0	3.5	0	19.5	3.5	3	25.0 + TT	16.0 - TT
32	23	0	3.5	0	19.5	3.5	3	25.0 + TT	16.0 - TT
33	23	0	3.5	0	19.5	3.5	3	25.0 + TT	16.0 - TT
34	23	0	6.5	0	16.5	5.0	3	25.0 + TT	11.5 - TT
35	23	0	6.5	0	16.5	5.0	3	25.0 + TT	11.5 - TT
36	23	0	6.5	0	16.5	5.0	3	25.0 + TT	11.5 - TT

NOTE 1: P<sub>PowerClass</sub> is the maximum UE power specified without taking into account the tolerance. NOTE 2: TT for each frequency and channel bandwidth is specified in Table 6.2.2.5-5.

Table 6.2.2.5-4: UE Power Class test requirements (for Bands n41, n77, n78, n79) for Power Class 2

Test ID	P <sub>PowerClass</sub> (dBm)	ΔP <sub>PowerClass</sub> (dB)	MPR (dB)	ΔT <sub>C,c</sub> (dB)	P <sub>CMAX_L,f,c</sub> (dBm)	T(P <sub>CMAX_L,f,c</sub> ) (dB)	T <sub>L,c</sub> (dB)
1	26	0	0	0 (1.52)	26.0 ( 24.5 <sup>2</sup> )	2.0	3 (4.5²)
2	26	0	3.5	0 (1.52)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.5²)
3	26	0	3.5	0 (1.52)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.5²)
4	26	0	0.5	0 (1.52)	25.5 ( 24.0 <sup>2</sup> )	2.0	3 (4.5²)
5	26	0	0	0 (1.52)	26.0 ( 24.5 <sup>2</sup> )	2.0	3 (4.5²)
6	26	0	3.5	0 (1.52)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.5²)
7	26	0	3.5	0 (1.5²)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.5²)
8	26	0	1	0 (1.52)	25.0 ( 23.5 <sup>2</sup> )	2.0	3 (4.52)
9	26	0	1	0 (1.52)	25.0 ( 23.5 <sup>2</sup> )	2.0	3 (4.5²)
10	26	0	3.5	0 (1.5²)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.5²)
11	26	0	3.5	0 (1.52)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.52)
12	26	0	2	0 (1.5²)	24.0 ( 22.5²)	2.0	3 (4.52)
13	26	0	3.5	0 (1.5²)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.52)
14	26	0	3.5	0 (1.52)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.52)
15	26	0	2.5	0 (1.52)	23.5 ( 22.0 <sup>2</sup> )	2.0	3 (4.5²)
16	26	0	4.5	0 (1.52)	21.5 ( 20.0 <sup>2</sup> )	2.0 (2.5²)	3 (4.52)
17	26	0	4.5	0 (1.52)	21.5 ( 20.0 <sup>2</sup> )	2.0 (2.5²)	3 (4.5²)
18	26	0	4.5	0 (1.52)	21.5 ( 20.0 <sup>2</sup> )	2.0 (2.5²)	3 (4.5²)
19	26	0	1.5	0 (1.52)	24.5 ( 23.0 <sup>2</sup> )	2.0	3 (4.5²)
20	26	0	3.5	0 (1.5²)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.5²)
21	26	0	3.5	0 (1.52)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.52)
22	26	0	3	0 (1.52)	23.0 (21.5²)	2.0	3 (4.52)
23	26	0	2	0 (1.5²)	24.0 ( 22.5 <sup>2</sup> )	2.0	3 (4.5²)
24	26	0	3.5	0 (1.52)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.5²)
25	26	0	3.5	0 (1.5 <sup>2</sup> )	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.5²)
26	26	0	3	0 (1.5 <sup>2</sup> )	23.0 (21.5²)	2.0	3 (4.5²)
27	26	0	3.5	0 (1.52)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.5²)
28	26	0	3.5	0 (1.52)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.5²)
29	26	0	3.5	0 (1.52)	22.5 ( 21.0 <sup>2</sup> )	2.0	3 (4.5²)
30	26	0	6.5	0 (1.52)	19.5 ( 18.0 <sup>2</sup> )	3.5 (4.0²)	3 (4.5²)
31	26	0	6.5	0 (1.52)	19.5 ( 18.0 <sup>2</sup> )	3.5 (4.0²)	3 (4.5²)
32	26	0	6.5	0 (1.5 <sup>2</sup> )	19.5 ( 18.0 <sup>2</sup> )	3.5 (4.0²)	3 (4.5²)

NOTE 1: PPowerClass is the maximum UE power specified without taking into account the tolerance.

NOTE 2: For Band n41, transmission bandwidths (Figure 5.3.3-1) confined within Fullow and Fullow + 4 MHz or Fullhigh – 4 MHz a

NOTE 3: TT for each frequency and channel bandwidth is specified in Table 6.2.2.5-5.

Table 6.2.2.5-5: Test Tolerance (Maximum Power Reduction (MPR))

	f ≤ 3.0GHz	3.0GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6.0GHz
BW ≤ 40MHz	0.7 dB	1.0 dB	1.0 dB
40MHz < BW ≤ 100MHz	1.0 dB	1.0 dB	1.0 dB

## 6.2.3 UE additional maximum output power reduction

Editor's note: The following aspects are either missing or not yet determined:

- Tests for network signalling values NS\_03, NS\_04, NS\_10, NS\_05, NS\_08; NS\_07, NS\_40 and NS\_09 not complete.

#### 6.2.3.1 Test purpose

Additional emission requirements can be signalled by the network. Each additional emission requirement is associated a unique with network signalling (NS) value indicated in RRC signalling by an NR frequency band number of the applicable operating band and an associated value in the field *additionalSpectrumEmission*. Throughout this specification, the notion of indication or signalling of an NS value refers to the corresponding indication of an NR frequency band number of the applicable operating band (the IE *freqBandIndicatorNR*) and an associated value of *additionalSpectrumEmission* in the relevant RRC information elements [6].

To meet the additional requirements, additional maximum power reduction (A-MPR) is allowed for the maximum output power as specified in Table 6.2.1.3-1. Unless stated otherwise, an A-MPR of 0 dB shall be used.

## 6.2.3.2 Test applicability

The requirements of this test apply in test case 6.5.2.3 Additional Spectrum Emission mask for network signalled values NS\_03, NS\_03U, NS\_04, NS\_06, NS\_35, NS\_41 and NS\_42, NS\_40 to all types of NR UE release 15 and forward.

The requirements of this test apply in test case 6.5.3.3 Additional Spurious Emissions for network signalled values NS\_05, NS\_05U, NS\_08U, NS\_17, NS\_18, NS\_37, NS\_38 and NS\_39 to all types of NR UE release 15 and forward.

### 6.2.3.3 Minimum conformance requirements

#### 6.2.3.3.1 General

Table 6.2.3.3.1-1 specifies for UE Power Class 3 the additional requirements requirements with their associated network signalling values and the allowed A-MPR and applicable operating band(s) for each NS value. The mapping of NR frequency band numbers and values of the *additionalSpectrumEmission* is specified in Table 6.2.3.3.1-1A. Unless otherwise stated, the allowed A-MPR is in addition to the allowed MPR specified in subclause 6.2.2.

Table 6.2.3.3.1-1: Additional maximum power reduction (A-MPR)

Network Signalling label	Requirements (subclause)	NR Band	Channel bandwidth (MHz)	Resources Blocks (N <sub>RB</sub> )	A-MPR (dB)	Value of additional Spectrum Emission
NS_01		Table 5.2-1			N/A	1
NS_03	6.5.2.3.3	n2, n25, n66 n70			Table 6.2.3.3.7-1	3
NS_03U	6.5.2.3.3.3, 6.5.2.4.2	n2, n25, n66			FFS	
NS_04	6.5.2.3.3.2	n41	10, 15, 20, 40, 50, 60, 80, 100		Subclause 6.2.3.3.2	4
NS_05	6.5.3.3.3.3	n1, n84	5, 10, 15, 20 <sup>5</sup>		Subclause 6.2.3.3.4	
NS_05U	6.5.3.3.3.3, 6.5.2.4.2	n1, n84	5, 10, 15, 20		FFS	
NS_06	6.5.2.3.3.4	n12	5, 10, 15	5.3.5	N/A	2
NS_08	6.5.3.3.3.4	n8, n81	5, 10, 15		Subclause 6.2.3.3.6	NS_08
NS_08U	6.5.3.3.3.4, 6.5.2.4.2	n8, n81	5, 10, 15		FFS	NS_08U
NS_10		n20, n82	15, 20	Table 6.2.3.3.3-	Table 6.2.3.3.3-1	NS_xx
NS_17	[TBD]	n28, n83	5, 10	Table 5.3.2-1	[1] <sup>3,4</sup>	
NS_18	[TBD]	n28, n83	5	≥ 2	≤2 <sup>4</sup>	
			10, 15, 20	≥1	≤5 <sup>4</sup>	_
NS_35	6.5.2.3.3.1	n71	5, 10, 15, 20	Table 5.3.2-1	N/A	2
NS_37	[TBD]	n74 (Note 6)	10, 15	Table 6.2.3.8-1	Table 6.2.3.8-1	1
NS_38	[TBD]	n74	5, 10, 15, 20	Table 6.2.3.9-1	Table 6.2.3.9-1	2
NS_39	[TBD]	n74	10, 15, 20	Table 6.2.3.10-1	Table 6.2.3.10-1	3
NS_40	6.5.2.3.3.5	n51	5		Table 6.2.3.3.5-1	
NS_41	6.5.2.3.3.6	n50	5, 10, 15, 20, 40, 50, 60		Table 6.2.3.3.11-1	
NS_42	6.5.2.3.3.7	n50	5, 10, 15, 20, 40, 50, 60		Table 6.2.3.3.12-1	
NS_100	6.5.2.4.2	n1, n2, n3, n5, n8, n20, n25, n66, n80, n81, n82, n84, Note 1			Table 6.2.3.3.1-2	

NOTE 1: This NS can be signalled for NR bands that have UTRA services deployed.

NOTE 6: Applicable when the NR carrier is within 1447.9 - 1462.9 MHz.

NOTE 2: The total maximum output power reduction for NS\_17 and NS\_18 is obtained by taking the maximum value of MPR + A-MPR specified in Table 6.2.3.3.1-1 and Table 6.2.4-1 in TS 36.101 and A-MPR specified in Table 6.2.3.3-1.

NOTE 3: The A-MPR is 0 dB for inner RB allocations for DFT-s-OFDM PI/2 BPSK and QPSK.

NOTE 4: The A-MPR for CP-OFDM shall also add the corresponding MPR specified in Table 6.2.2.3-1.

NOTE 5: No A-MPR is applied for 5 MHz CBW where the lower channel edge is ≥1930 MHz,10 MHz CBW where the lower channel edge is ≥1950 MHz and 15 MHz CBW where the lower channel edge is ≥1955 MHz.

Table 6.2.3.3.1-1A: Mapping of Network Signalling label

NR band			Valu	e of additionalS	pectrumEm	ission		
	0	1	2	3	4	5	6	7
n1	NS_01	NS_100	NS_05	NS_05U				
n2	NS_01	NS_100	NS_03	NS_03U				
n3	NS_01	NS_100						
n5	NS_01	NS_100						
n7	NS_01							
n8	NS_01	NS_100	NS_08	NS_08U				
n12	NS_01	NS_06						
n20	NS_01	NS_100	NS_10					
n25	NS_01	NS_100	NS_03	NS_03U				
n28	NS_01	NS_17	NS_18					
n34	NS_01							
n38	NS_01							
n39	NS_01							
n40	NS_01	NS_40						
n41	NS_01	NS_04						
n51	NS_01							
n66	NS_01	NS_100	NS_03	NS_03U				
n70	NS_01	NS_03						
n71	NS_01	NS_35						
n75	NS_01							
n76	NS_01							
n77	NS_01							
n78	NS_01							
n79	NS_01							
n80	NS_01	NS_100						
n81	NS_01	NS_100	NS_08	NS_08U				
n82	NS_01	NS_100	NS_10					
n83	NS_01	NS_17	NS_18					
n84	NS_01	NS_100	NS_05	NS_05U				
n86	NS_01							
NOTE	1.1 10 4	<del> </del>		·		•		1 000

NOTE: additional Spectrum Emission corresponds to an information element of the same name defined in sub-clause 6.3.2 of TS 38.331.

Table 6.2.3.3.1-2: A-MPR for UTRA protections

Modulation	A-N	A-MPR					
Wiodulation	Outer RB allocations	Inner RB allocations					
DFT-s-OFDM PI/2 BPSK	≤ 1.5	0					
DFT-s-OFDM QPSK	≤ 1	0					
DFT-s-OFDM 16 QAM	≤ 0.5	0					
DFT-s-OFDM 64 QAM	≤ 0.5	0					
DFT-s-OFDM 256 QAM	0	0					
CP-OFDM QPSK	≤ 1	0					
CP-OFDM 16 QAM	≤ 1	0					
CP-OFDM 64 QAM	≤ 0.5	0					
CP-OFDM 256 QAM	0	0					

NOTE 1: A-MPR defined in this Table is additive to MPR defined in Table 6.2.2.3-1 NOTE 2: Outer and inner allocations are defined in clause 6.2.2

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.1.

## 6.2.3.3.2 A-MPR for NS\_04

For NS\_04, A-MPR is not added to MPR. Also, when NS\_04 is signalled, MPR shall be set to zero in the  $P_{CMAX}$  equations to avoid double-counting MPR.

Allowed maximum power reduction is defined as A-MPR=max(MPR, A-MPR'),

Note that A-MPR=0 dB means only MPR is applied, where A-MPR is defined as

 $if \ RB_{start} \leq f_{start,max,IMD3} \ / \ (12 \cdot SCS) \ and \ L_{CRB} \leq AW_{max,IMD3} \ / \ (12 \cdot SCS) \ and \ F_C \ - \ BW_{Channel} \ / \ 2 < F_{UL\_low} \ + \ offset_{IMD3}, \ then$ 

the A-MPR is defined according to Table 6.2.3.3.2-2 PC3\_A2 for Power Class 3 and PC2 A4 for Power Class 2, else,

 $if \ RB_{start} \leq L_{CRB}/2 + \Delta_{start} \ / \ (12 \cdot SCS) \ and \ L_{CRB} \leq AW_{max,regrowth} \ / \ (12 \cdot SCS) \ and \ F_C \ - \ BW_{Channel}/2 < F_{UL\_low} + offset_{regrowth}, \ then$ 

the A-MPR is defined according to Table 6.2.3.3.2-2 PC3\_A1 for Power Class 3 and PC2 A3 for Power Class 2, else

A-MPR = 0 dB and apply MPR.

With the parameters defined in Table 6.2.3.3.2-1. Table 6.2.3.3.2-1: Parameters for region edges and frequency offsets

Davamatas	Cumala al	Val	lue	Deleted condition
Parameter	Symbol	OFDM	DFT-S-OFDM	Related condition
Max allocation start in IMD3 region	f <sub>start,max,IMD3</sub>	0.33 BW <sub>Channel</sub>		RB <sub>start</sub> ≤ f <sub>start,max,IMD3</sub> / (12SCS)
Max allocation BW in IMD3 region	AW <sub>max,IMD3</sub>	4 MHz		L <sub>CRB</sub> ≤ AW <sub>max,IMD3</sub> / (12SCS)
Max freq. offset for IMD3 region	offset <sub>max,IMD3</sub>	BW <sub>Channe</sub>	ı - 6MHz	
Freq. offset required to avoid A-MPR in IMD3 region	offset <sub>IMD3</sub>	offset <sub>n</sub>	nax,IMD3	F <sub>C</sub> - BW <sub>Channel</sub> /2 ≥ F <sub>UL_low</sub> + offset <sub>IMD3</sub>
Right edge of regrowth region	$\Delta_{start}$	0.08 B\	VChannel	$RB_{start} \le L_{CRB}/2 + \Delta_{start} / (12SCS)$
Max allocation BW in regrowth region	AW <sub>max,regrowth</sub>	100	MHz	$L_{CRB} \le Min(L_{CRB,Max,} AW_{max,regrowth} / (12SCS))$
Freq. offset required to avoid A-MPR in regrowth region	offset <sub>regrowth</sub>	Max (10 MHz, 0.25* BW <sub>Channel</sub> MHz)	Max (10 MHz, 0.45* BW <sub>Channel</sub> MHz)	$F_C$ - $BW_{Channel}/2 \ge F_{UL\_low} + offset_{regrowth}$

Table 6.2.3.3.2-2: A-MPR values

Access	Modulation	MPR' (dB)					
Access	Modulation	PC3_A1	PC3_A2	PC2_A3	PC2_A4		
	pi/2-BPSK	3.5	3.5	3.5	[5.5]		
	QPSK	4	4	4.5	[6]		
DFT-S-OFDM	16-QAM	4	4	5	[6]		
	64-QAM		4.5	5	[6.5]		
	256-QAM	4.5	6	6.5	[8]		
	QPSK	5.5	5.5	6.5	[7.5]		
CP-OFDM	16-QAM	5.5	5.5	6.5	[7.5]		
	64-QAM	5.5	5.5	6.5	[7.5]		
	256-QAM	6.5	8	7.5	[10]		

NOTE: The A-MPR' values in this table apply for both A-MPR relative to 23 dBm for PC3 and A-MPR relative to 26 dBm for PC2

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.2.

#### 6.2.3.3.3 A-MPR for NS\_10

Table 6.2.3.3.3-1: A-MPR for NS\_10

Channel bandwidth [MHz]	Parameters	Region A			
	RB <sub>start</sub>	[0 – 10]			
15	L <sub>CRB</sub> [RBs]	[1 -20]			
	A-MPR [dB]	≤ 3 <sup>6</sup>			
	RB <sub>start</sub>	[0 – 15]			
20	L <sub>CRB</sub> [RBs]	[1 -20]			
	A-MPR [dB]	≤ 6 <sup>6</sup>			
NOTE 1: RB <sub>start</sub> inc	dicates the lowest RB index	of transmitted resource blocks			
NOTE 2: LCRB is th	e length of a contiguous re	source block allocation			
NOTE 3: For intra-	subframe frequency hoppir	ng which intersects Region A, notes 1 and 2 apply			
on a per slot basis. For intra-slot or intra-subslot frequency hopping which intersects					
Region A, notes 1 and 2 apply on a T <sub>no_hopping</sub> basis.					
NOTE 4: For intra-subframe frequency hopping which intersect Region A, the larger A-MPR value may be applied for both slots in the subframe. For intra-slot frequency hopping					

NOTE 4: For intra-subframe frequency hopping which intersect Region A, the larger A-MPR value may be applied for both slots in the subframe. For intra-slot frequency hopping which intersects Region A, the larger A-MPR value may be applied for the slot. For intra-subslot frequency hopping which intersects Region A, the larger A-MPR value may be applied for the subslot.

NOTE 5: The total maximum output power reduction for NS\_10 is obtained by taking the maximum value of MPR + A-MPR specified in Table 6.2.3.3.1-1and Table 6.2.4-1 in TS 36.101 and A-MPR specified in Table 6.2.3.3.3-1.

NOTE 6: The A-MPR for CP-OFDM shall also add the corresponding MPR specified in Table 6.2.2.3-1.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.3.

## 6.2.3.3.4 A-MPR for NS\_05

Table 6.2.3.3.4-1: A-MPR for NS\_05

Channel andwidth,	Carrier Centre		Region A		R	egion B		Re	egion C	
MHz	Frequency, Fc, MHz	Rbstart	LCRB	A- MPR	Rbstart	LCRB	A- MPR	Rbstart	LCRB	A- MPR

5 MHz	1922.5 ≤ F <sub>C</sub> < 1927.5	<1.62MHz /12/SCS	>2.52MHz /12/SCS	А3						
10 MHz	1920 ≤ F <sub>C</sub> < 1935	<1.62MHz /12/SCS	>0	A1	>1.62MHz/ 12/SCS ≤3.60MHz/ 12/SCS	>5.4MHz /12/SCS	A7	>7.74MHz /12/SCS	≤1.08 MHz/1 2/SCS	A2
10 MHz	1935 ≤ F <sub>C</sub> < 1945		>4.5MHz/ 12/SCS	A4						
15 MHz	1927.5 ≤ F <sub>C</sub> < 1932.5	<3.24MHz /12/SCS	>0	A1	>3.24MHz/ 12/SCS ≤5.40MHz/ 12/SCS	>8.1MHz /12/SCS	A7	>10.9MHz /12/SCS	≤1.08 MHz/1 2/SCS	A2
15 MHz	1932.5 ≤ F <sub>C</sub> < 1942.5	<1.62MHz /12/SCS	>0	A1				>12.6 MHz/12/S CS	≤1.08 MHz/1 2/SCS	A2
15 MHz	1942.5 ≤ F <sub>C</sub> < 1947.5		>7.2MHz/ 12/SCS	A5						
20 MHz	1930 ≤ F <sub>C</sub> < 1950	<4.86MHz /12/SCS	>0	A1	>4.86MHz/ 12/SCS ≤7.20MHz/ 12/SCS	>9.0MHz /12/SCS	A7	>14.22MH z/12/SCS	≤1.08 MHz/1 2/SCS	A2
20 MHz	1950 ≤ F <sub>C</sub> <1960		>9.0MHz/ 12/SCS	A6			_			

NOTE 1: The A-MPR values are listed in Table 6.2.3.3.4-2 and 6.2.3.3.4-3. NOTE 3: For any undefined region, MPR applies

Table 6.2.3.3.4-2: A-MPR for modulation and waveform type

Modulation/Waveform	A1	A2	A	3
Wodulation/wavelorm	Outer/Inner	Outer/Inner	Outer	Inner
DFT-s-OFDM PI/2 BPSK	≤ 10	≤ 5	≤ 4	N/A
DFT-s-OFDM QPSK	≤ 10	≤ 5	≤ 4.5	N/A
DFT-s-OFDM 16 QAM	≤ 10	≤ 5	≤6	N/A
DFT-s-OFDM 64 QAM	≤ 11	≤ 5	≤6	N/A
DFT-s-OFDM 256 QAM	≤ 13	≤ 5	≤7	N/A
CP-OFDM QPSK	≤ 10	≤ 5	≤ 7.5	≤ 2
CP-OFDM 16 QAM	≤ 10	≤ 5	≤ 7.5	N/A
CP-OFDM 64 QAM	≤ 11	≤ 5	≤8	N/A
CP-OFDM 256 QAM	≤ 13	N/A	≤ 10	N/A

NOTE 1: The backoff applied is max (MPR, A-MPR) where MPR is defined in Table 6.2.2.3-1

NOTE 2: Outer and inner allocations are defined in clause 6.2.2

Table 6.2.3.3.4-3: A-MPR for modulation and waveform type

Modulation/Waveform	A4	A5	A6	A7
Wodulation/wavelorm	Outer Inner	Outer Inner	Outer Inner	Outer/Inner

DFT-s-OFDM PI/2 BPSK	≤ 1		≤ 1	N/A	≤ 1		≤ 6
DFT-s-OFDM QPSK	N/A		≤ 1.5	N/A	≤ 1.5		≤ 6
DFT-s-OFDM 16 QAM	N/A		N/A	N/A	N/A		≤ 6
DFT-s-OFDM 64 QAM	N/A		N/A	N/A	N/A		≤6
DFT-s-OFDM 256 QAM	N/A	N/A	N/A	N/A	N/A	N/A	≤ 6
CP-OFDM QPSK	≤ 3.5		≤ 3.5	N/A	≤ 3.5		≤ 6
CP-OFDM 16 QAM	≤ 3.5		≤ 3.5	N/A	≤ 3.5		≤ 6
CP-OFDM 64 QAM	N/A		N/A	≤ 4	N/A		≤ 6
CP-OFDM 256 QAM	N/A		N/A	N/A	N/A		≤ 6

NOTE 1: The backoff applied is max (MPR, A-MPR) where MPR is defined in Table

6.2.2.3-1

NOTE 2: Outer and inner allocations are defined in clause 6.2.2

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.4.

6.2.3.3.5 A-MPR for NS 40

Table 6.2.3.3.5-1: A-MPR for NS\_40

Modulation	A-MPR Channel bandwidth (MHz): 5 MHz			
	Outer RB allocations	Inner RB allocations		
DFT-s-OFDM QPSK	15.5	12		
DFT-s-OFDM 16 QAM	14.5	11		
DFT-s-OFDM 64 QAM	14.5	10		
DFT-s-OFDM 256 QAM	12.5	7.5		
CP-OFDM QPSK	14.5	10		
CP-OFDM 16 QAM	14.5	10		
CP-OFDM 64 QAM	14	8		
CP-OFDM 256 QAM	11	5.5		

NOTE 1: The total maximum output power reduction for NS\_40 is obtained by taking the maximum value of MPR + A-MPR specified in Table 6.2.3.3.1-1 and Table 6.2.4-30a in TS 36.101 and MPR+A-MPR specified in Table 6.2.2.3-1 and Table 6.2.3.3.5-1.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.5.

6.2.3.3.6 A-MPR for NS 08

Table 6.2.3.3.6-1: A-MPR for NS\_08

Modulation	A-N	Configurations for A-MPR	
Wodulation	Outer RB allocations	Inner RB allocations	(Note 3)
DFT-s-OFDM PI/2 BPSK	0	0	
DFT-s-OFDM QPSK	≤ 2	0	L <sub>CRB</sub> > 15 for 15kHz SCS
DFT-s-OFDM 16 QAM	0	0	
DFT-s-OFDM 64 QAM	0	0	
DFT-s-OFDM 256 QAM	0	0	
CP-OFDM QPSK	≤ 3.5	0	L <sub>CRB</sub> > 15 for 15kHz SCS
CP-OFDM 16 QAM	≤ 3.5	0	L <sub>CRB</sub> > 15 for 15kHz SCS
CP-OFDM 64 QAM	0	0	
CP-OFDM 256 QAM	0	0	

NOTE 1: The total backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2.3-1.

NOTE 2: Outer and inner allocations are defined in clause 6.2.2.

NOTE 3: When configurations are specified, A-MPR is only applied for the conditions and zero A-MPR is applied for the rest of RB allocations.

Table 6.2.3.3.6-2: A-MPR for NS\_08 for 10 MHz CBW

Modulation	A-M	Configurations for A-MPR	
Wodulation	Outer RB allocations	Inner RB allocations	(NOTE 3)

DFT-s-OFDM PI/2 BPSK	≤ 1.5	0	LCRB > 40 for 15kHz SCS
DFT-s-OFDM QPSK	≤ 2.5	0	LCRB > 30 for 15kHz SCS LCRB > 15 for 30kHz SCS
DFT-s-OFDM 16 QAM	≤ 2.5	0	LCRB > 40 for 15kHz SCS LCRB > 20 for 30kHz SCS
DFT-s-OFDM 64 QAM	≤ 2.5	0	LCRB > 45 for 15kHz SCS
DFT-s-OFDM 256 QAM	0	0	LCRB > 40 for 15kHz SCS LCRB > 20 for 30kHz SCS
CP-OFDM QPSK	≤ 4	0	LCRB > 40 for 15kHz SCS LCRB > 20 for 30kHz SCS
CP-OFDM 16 QAM	≤ 4	0	LCRB > 40 for 15kHz SCS LCRB > 20 for 30kHz SCS
CP-OFDM 64 QAM	≤ 4	0	LCRB > 45 for 15kHz SCS
CP-OFDM 256 QAM	0	0	

- NOTE 1: The total backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2.3-1.
- NOTE 2: Outer and inner allocations are defined in clause 6.2.2.
- NOTE 3: When configurations are specified, A-MPR is only applied for the conditions and zero A-MPR is applied for the rest of RB allocations.

Table 6.2.3.3.6-3: A-MPR for NS\_08 for 15 MHz CBW

Modulation	A-N	Configurations for A-MPR	
Modulation	Outer RB allocations	Inner RB allocations	(NOTE 3)
DFT-s-OFDM PI/2 BPSK	≤ 9	≤ 9	NOTE 4
1DFT-s-OFDM QPSK	≤ 9	≤ 9	NOTE 4
DFT-s-OFDM 16 QAM	≤ 9	≤ 9	NOTE 4
DFT-s-OFDM 64 QAM	≤ 9	≤ 9	NOTE 4
DFT-s-OFDM 256 QAM	≤ 9	≤ 9	NOTE 4
CP-OFDM QPSK	≤ 9	≤ 9	NOTE 4
CP-OFDM 16 QAM	≤ 9	≤ 9	NOTE 4
CP-OFDM 64 QAM	≤ 9	≤ 9	NOTE 4
CP-OFDM 256 QAM	≤ 9	≤ 9	NOTE 4

- NOTE 1: The total backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2.3-1.
- NOTE 2: Outer and inner allocations are defined in clause 6.2.2.
- NOTE 3: When configurations are specified, A-MPR is only applied for the conditions and zero A-MPR is applied for the rest of RB allocations.
- NOTE 4: For 15kHz SCS, applicable for RBstart<10 or >68 and LcrB>0, or 10≤ RBstart<34 and LcrB>40. For 30kHz SCS, applicable for RBstart<5 or >34 and LcrB>0, or 5≤ RBstart<17 and LcrB>12.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.6.

6.2.3.3.7 A-MPR for NS\_03

Table 6.2.3.3.7-1: A-MPR for NS\_03

Modulation		Channel BW	Z	A-MPR			
	5	10	15	20	40	Outer RB allocations	Inner RB allocations
DFT-s-OFDM PI/2 BPSK, DFT-s-OFDM QPSK, DFT-s-OFDM 16	1.44	1.44 – 2.16	1.44 – 2.88	2.16 – 3.24	2.88 – 4.32	N/A	≤1
QAM	> 1.44	> 2.16	> 2.88	> 3.24	> 4.32	≤1	≤2
DFT-s-OFDM 64	1.44	1.44 – 2.16	1.44 – 2.88	2.16 - 3.24	2.88 - 4.32	≤0.5	≤0.5
QAM	> 1.44	> 2.16	> 2.88	> 3.24	> 4.32	≤1.5	≤1.5
DFT-s-OFDM 256			≤0.5	≤0.5			
QAM			≤1.5	≤1.5			
CD OEDM ODCK	1.44	1.44 – 2.16	1.44 – 2.88	2.16 - 3.24	2.88 - 4.32	N/A	≤1
CP-OFDINI QPSK	> 1.44	> 2.16	> 2.88	> 3.24	> 4.32	≤1	≤2
CP-OFDM 16	1.44	1.44 – 2.16	1.44 – 2.88	2.16 - 3.24	2.88 - 4.32	N/A	≤2
QAM	> 1.44	> 2.16	> 2.88	> 3.24	> 4.32	≤1	≤2
CP-OFDM 64 QAM	> 1.08	> 1.08	> 1.44	> 1.8	> 2.88	≤1	≤1
CP-OFDM 256 QAM	> 1.08	> 1.08	> 1.44	> 1.8	> 2.88	≤1	≤1
CP-OFDM QPSK  CP-OFDM 16 QAM  CP-OFDM 64 QAM  CP-OFDM 256	> 1.44 1.44 > 1.44 > 1.08 > 1.08	> 2.16 1.44 - 2.16 > 2.16 > 1.08 > 1.08	> 2.88 1.44 - 2.88 > 2.88 > 1.44 > 1.44	> 3.24 2.16 - 3.24 > 3.24 > 1.8 > 1.8	> 4.32 2.88 - 4.32 > 4.32 > 2.88 > 2.88	≤1.5 N/A ≤1 N/A ≤1 ≤1	≤1.5  ≤1  ≤2  ≤2  ≤2  ≤2  ≤2  ≤1

NOTE 1: A-MPR defined in this Table is additive to MPR defined in Table 6.2.2.3-1 NOTE 2: Inner and outer allocations are defined in clause 6.2.2

6.2.3.3.8 A-MPR for NS\_37

Table 6.2.3.3.8-1: A-MPR for B11/B21 protection (NS\_37) for 10MHz, 15MHz (1447.9-1462.9MHz)

Channel	Carrier Centre	(4	Region A Region B (Outer/Inner)			Region C (Outer/Inner)				
Bandwidth, MHz	Frequency, Fc, MHz	RBstart,( MHz/12/ SCS)	LCRB (MHz/12/ SCS)	A-MPR	RBstart (MHz/12/ SCS)	LCRB (MHz/12/ SCS)	A-MPR	RBstart (MHz/12/ SCS)	LCRB (MHz/12/ SCS)	A-MPR
10MHz	1452.9 < F <sub>C</sub> ≤1457.9	≥0	>40	≤ A1	N/A	N/A	N/A	N/A	N/A	N/A
15MHz	F <sub>C</sub> =1455.4	≥0	>55	≤ A1	<[0.54]	< [1.08]	[≤ A2]	>[13.86]	< [1.08]	[≤ A2]

NOTE 1: A-MPR values in Table 6.2.3.3.8-2.

NOTE 2: The backoff applied is max (MPR, A-MPR) where MPR is defined in Table 6.2.2.3-1

NOTE 3: For any undefined region, MPR applies

NOTE 4: No A-MPR for SCS=60 kHz.

Table 6.2.3.3.8-2: A-MPR for modulation and waveform type

Modulation/Waveform	A	1	A2	
Wioddiation/waverorm	Outer	Inner	Outer/Inner	
DFT-s-OFDM PI/2 BPSK	≤ 1	N/A	[≤ 3]	
DFT-s-OFDM QPSK	≤ 1.5	N/A	[≤ 3]	
DFT-s-OFDM 16 QAM	≤ 2.5	N/A	[≤ 3]	
DFT-s-OFDM 64 QAM	≤ 3	N/A	[≤ 3]	
DFT-s-OFDM 256 QAM	N/A	N/A	[N/A]	
CP-OFDM QPSK	≤ 3.5	N/A	[≤ 3]	
CP-OFDM 16 QAM	≤ 3.5	N/A	[≤ 3]	
CP-OFDM 64 QAM	N/A	N/A	[N/A]	
CP-OFDM 256 QAM	N/A	N/A	[N\A]	

NOTE 1: The backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2.3-1

NOTE 2: Outer and inner allocations are defined in clause 6.2.2

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.8.

6.2.3.3.9 A-MPR for NS\_38

Table 6.2.3.3.9-1: A-MPR for EESS (NS\_38) Protection (1430-1470MHz)

Channel Bandwidth,	Carrier Centre	Regio (Outer/	Region B (Outer/Inner)			
MHz	Frequency, Fc, MHz	RBstart,	LCRB	A-MPR	Rbstart+LCRB(M Hz/12/SCS)	A-MPR
10MHz	[1435 ≤ F <sub>C</sub> < 1442]	[<= -1.8 MHz/12/SCS + LCRB/2]	[>3.6]	[≤ 12]	[≤2.16]	[≤ 9]
15MHz	[1437.5 ≤ F <sub>C</sub> < 1447.5]	[<= -1.8 MHz/12/SCS + LCRB/2]	[>3.6]	[≤ 13]	[≤3.6]	[≤ 10]
20MHz	[1440 ≤ F <sub>C</sub> < 1450]	[<= -1.8 MHz/12/SCS + LCRB/2]	[>3.6]	[≤ 13]	[≤5.4]	[≤ 10]

NOTE 1: The backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2.3-1.

NOTE 2: Outer and inner allocations are defined in clause 6.2.2.

NOTE 3: For any undefined region, MPR applies

NOTE 4: A-MPR applies to all modulation and waveform types.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.9.

6.2.3.3.10 A-MPR for NS\_39

Table 6.2.3.3.10-1: A-MPR for own RX (NS\_39) Protection for 10MHz,15MHz, 20MHz (1440-1470MHz)

Channel	Carrier Centre	Region A (Outer/Inner)			
Bandwidth, MHz	Frequency, Fc, MHz	Rbstart+LCRB(MHz/ 12/SCS)	A-MPR		
10MHz	[1462 < F <sub>C</sub> ≤1465]	[>7.9]	[≤ 6]		
15MHz	[1456.3 < F <sub>C</sub> ≤ 1462.5]	[>11.2]	[≤ 6]		
20MHz	[1450.8 < F <sub>C</sub> ≤ 1460]	[>14.4]	[≤ 6]		

NOTE 1: The backoff applied is max(MPR, A-MPR) where MPR is defined in

Table 6.2.2.3-1

NOTE 2: Outer and inner allocations are defined in clause 6.2.2.

NOTE 3: For any undefined region, MPR applies

NOTE 4: A-MPR applies to all modulation and waveform types.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.10.

6.2.3.3.11 A-MPR for NS\_41

Table 6.2.3.3.11-1: A-MPR for NS\_41

Channel Bandwidth,	Carrier Centre		gion A er/Inner)		Region B (Outer/Inner)		
MHz	Frequency, Fc, MHz	Rbstart	LCRB	A-MPR [dB]	Rbstart	LCRB	A-MPR [dB]
5 MHz	-	-	-	NA	-	-	NA
10 MHz	1437 ≤ F <sub>C</sub> < 1442	<= -4.5MHz/12/SCS + LCRB	>4.5MHz/12/ SCS	≤ 9	LCRB < 1.7MHz/12/SCS	≤ 2.7 MHz/12/SCS	≤ 9
15 MHz	1439.5 ≤ F <sub>C</sub> < 1447.5	<= -5.4MHz/12/SCS + LCRB	>5.4MHz/12/ SCS	≤ 11	LCRB < 3.42MHz/12/SCS	≤ 3.6 MHz/12/SCS	≤ 9
20 MHz	1442 ≤ F <sub>C</sub> < 1450	<= -5.4MHz/12/SCS + LCRB	>5.4MHz/12/ SCS	≤ 12	LCRB < 5.04MHz/12/SCS	≤ 5 MHz/12/SCS	≤ 9
40 MHz	1452 ≤ F <sub>C</sub> < 1497	<= -7.2MHz/12/SCS + LCRB	>7.2MHz/12/ SCS	≤ 13.5	LCRB < 11.7MHz/12/SCS	≤ 18 MHz/12/SCS	≤ 10.5
50 MHz	1457 ≤ F <sub>C</sub> < 1492	<= -7.2MHz/12/SCS + LCRB	>7.2MHz/12/ SCS	≤ 13.5	LCRB < 15.12MHz/12/SCS	≤ 18 MHz/12/SCS	≤ 10.5
60 MHz	1462 ≤ F <sub>C</sub> < 1487	<= -7.2MHz/12/SCS + LCRB	>7.2MHz/12/ SCS	≤ 13.5	LCRB < 18.72MHz/12/SCS	≤ 18.72 MHz/12/SCS	≤ 10.5

NOTE 1: The backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2.3-1

NOTE 2: Outer and inner allocations are defined in clause 6.2.2

NOTE 3: For any undefined region, MPR applies

NOTE 4: A-MPR applies to all modulation and waveform types.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.11.

6.2.3.3.12 A-MPR for NS\_42

Table 6.2.3.3.12-1: A-MPR for NS\_42

Channel	Carrier Centre	Region	A	Region B		
Bandwidth, MHz	Frequency, Fc, MHz	RBend	A-MPR (Outer/Inner)	Rbstart	A-MPR (Inner)	A-MPR (Outer)
5 MHz	1512 ≤ F <sub>C</sub> ≤ 1514.5	>3.1MHz / 12 / SCS	≤ 7	<0.9MHz/12/SCS	≤ 1.5	≤ 4
10 MHz	1497 ≤ F <sub>C</sub> ≤ 1512	>6.2MHz / 12 / SCS	≤ 8	<0.9MHz/12/SCS	≤ 1.5	≤ 5
15 MHz	1502 F <sub>C</sub> ≤ 1509.5	>9.3MHz / 12 / SCS	≤ 8	<3.06MHz/12/SCS	≤ 1.5	≤ 5
20 MHz	1497 ≤ F <sub>C</sub> ≤ 1507	>12.4MHz / 12 / SCS	≤ 8	<4.5MHz/12/SCS	≤ 1.5	≤ 5
40 MHz	1477 ≤ F <sub>C</sub> ≤ 1497	>24.8MHz / 12 / SCS	≤ 8	<5.4MHz/12/SCS	≤ 1.5	≤ 5
50 MHz	1467 ≤ F <sub>C</sub> ≤ 1492	>31MHz / 12 / SCS	≤ 8	<7.2MHz/12/SCS	≤ 1.5	≤ 5
60 MHz	1462 ≤ F <sub>C</sub> ≤ 1487	>37.2MHz / 12 / SCS	≤ 8	<7.2MHz/12/SCS	≤ 1.5	≤ 5

NOTE 1: The backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2.3-1.

NOTE 2: Outer and inner allocations are defined in clause 6.2.2.

NOTE 3: For any undefined region, MPR applies

NOTE 4: A-MPR applies to all modulation and waveform types.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.7.

6.2.3.4 Test description

6.2.3.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2.3.4.1-2. The details of the uplink reference measurement channels (RMCs) are specified in Annex A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2

Table 6.2.3.4.1-1: Test Configuration table for NS\_35

Initial Conditions									
Test E	nvironme	nt as spec	cified in TS	38.508-1 [5] subclause 4.1		Normal			
			ified in TS		Low range and High range				
				ed in TS 38.508-1 [5] subclaus	е	Lowest and Highest			
Test SCS as specified in Table 5.3.5-1 Lowest and Highest									
	A-MPR test parameters for NS_35								
				Downlink Configuration		Uplink Configur	ation		
Test	Freq	ChBw	SCS	N/A for A-MPR testing.		Modulation	RB allocation		
ID				_		(NOTE 2)	(NOTE 1)		
1	Low	Default	Default		DF	T-s-OFDM PI/2 BPSK	Edge_1RB_Left		
2	High				DF	T-s-OFDM PI/2 BPSK	Edge_1RB_Right		
3	Default				DF	T-s-OFDM PI/2 BPSK	Outer Full		
4	Low				[	DFT-s-OFDM QPSK	Edge_1RB_Left		
5	High				DFT-s-OFDM QPSK Edge		Edge_1RB_Right		
6	Default				[	DFT-s-OFDM QPSK	Outer Full		
7	Low				D	FT-s-OFDM 16 QAM	Edge_1RB_Left		
8	High				D	FT-s-OFDM 16 QAM	Edge_1RB_Right		
9	Default				D	FT-s-OFDM 16 QAM	Outer Full		
10	Default				D	FT-s-OFDM 64 QAM	Outer Full		
11	Default				DF	T-s-OFDM 256 QAM	Outer Full		
12	Low					CP-OFDM QPSK	Edge_1RB_Left		
13	High					CP-OFDM QPSK	Edge_1RB_Right		
14	Default					CP-OFDM QPSK	Outer Full		
15	Low					CP-OFDM 16 QAM	Edge_1RB_Left		
16	High					CP-OFDM 16 QAM	Edge_1RB_Right		
17	Default					CP-OFDM 16 QAM	Outer Full		
18	Default				•	CP-OFDM 64 QAM	Outer Full		
19	Default	_				CP-OFDM 256 QAM	Outer Full		
NOTE	NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.								

NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.

NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.

Table 6.2.3.4.1-2: Test Configuration table for NS\_04

Initial Conditions									
	nvironment as specified in TS 38.50			Normal					
	requencies as specified in TS 38.50			(See Freq column)					
Test C 4.3.1	Channel Bandwidths as specified in	TS 38.508-1 [5] subclause	Э	Lowest and Highest					
	GCS as specified in Table 5.3.5-1		Lowest and Highest						
		A-MPR test parameters t	for NS	_04					
		Downlink Configuration		Uplink Config	uration				
Test	Freq	N/A for A-MPR		Modulation	RB allocation				
ID	- 1	testing.		(NOTE 2)	(NOTE 1)				
1	Low			Γ-s-OFDM PI/2 BPSK	Edge_1RB_Left				
2	2496 + 3/2 × BW <sub>Channel</sub> - 6 MHz			Γ-s-OFDM PI/2 BPSK	Edge_1RB_Left				
3	2496 + BW <sub>Channel</sub> /2 +			Γ-s-OFDM PI/2 BPSK	Edge_1RB_Left				
4	MAX(10 MHz, 0.45 × BW <sub>Channel</sub> )			T-s-OFDM PI/2 BPSK	Inner Full				
5 6	High			Γ-s-OFDM PI/2 BPSK Γ-s-OFDM PI/2 BPSK	Outer Full Edge_1RB_Right				
7	High			T-s-OFDM PI/2 BPSK	Inner Full				
8	High			Γ-s-OFDM PI/2 BPSK	Outer Full				
9	Low			FT-s-OFDM QPSK	Edge_1RB_Left				
10	2496 + 3/2 × BW <sub>Channel</sub> – 6 MHz			FT-s-OFDM QPSK	Edge_1RB_Left				
11	2496 + BW <sub>Channel</sub> /2 +			FT-s-OFDM QPSK	Edge_1RB_Left				
12	MAX(10 MHz, 0.45 × BW <sub>Channel</sub> )			FT-s-OFDM QPSK	Inner Full				
13 14	· · · · · · · · · · · · · · · · · · ·			FT-s-OFDM QPSK FT-s-OFDM QPSK	Outer Full Edge_1RB_Right				
15	High High			FT-s-OFDM QPSK	Inner Full				
16	High			FT-s-OFDM QPSK	Outer Full				
17	Low			T-s-OFDM 16 QAM	Edge_1RB_Left				
18	2496 + 3/2 × BW <sub>Channel</sub> – 6 MHz			T-s-OFDM 16 QAM	Edge_1RB_Left				
19	2496 + BWchannel /2 +			T-s-OFDM 16 QAM	Edge_1RB_Left				
20	MAX(10 MHz, 0.45 × BW <sub>Channel</sub> )			T-s-OFDM 16 QAM	Inner Full				
21	· · · · · · · · · · · · · · · · · · ·			T-s-OFDM 16 QAM	Outer Full				
22 23	High High			FT-s-OFDM 16 QAM FT-s-OFDM 16 QAM	Edge_1RB_Right Inner Full				
24	High			T-s-OFDM 16 QAM	Outer Full				
25	Low			T-s-OFDM 64 QAM	Edge_1RB_Left				
26	2496 + 3/2 × BW <sub>Channel</sub> – 6 MHz			T-s-OFDM 64 QAM	Edge_1RB_Left				
27	2496 + BW <sub>Channel</sub> /2 +		DF	T-s-OFDM 64 QAM	Edge_1RB_Left				
28	MAX(10 MHz, 0.45 × BW <sub>Channel</sub> )			T-s-OFDM 64 QAM	Outer Full				
29	High			T-s-OFDM 64 QAM	Edge_1RB_Right				
30	High			T-s-OFDM 64 QAM T-s-OFDM 256 QAM	Outer Full				
31 32	Low 2496 + 3/2 × BW <sub>Channel</sub> – 6 MHz			T-s-OFDM 256 QAM	Edge_1RB_Left Edge_1RB_Left				
33	2496 + 3/2 x BWChannel - 6 MHZ 2496 + BWChannel /2 +			T-s-OFDM 256 QAM	Edge_1RB_Left				
34	MAX(10 MHz, 0.45 × BW <sub>Channel</sub> )			T-s-OFDM 256 QAM	Outer Full				
35	High			T-s-OFDM 256 QAM	Edge_1RB_Right				
36	High			T-s-OFDM 256 QAM	Outer Full				
37	Low			CP-OFDM QPSK	Edge_1RB_Left				
38	2496 + 3/2 × BW <sub>Channel</sub> – 6 MHz			CP-OFDM QPSK	Edge_1RB_Left				
39 40	2496 + BW <sub>Channel</sub> /2 +			CP-OFDM QPSK CP-OFDM QPSK	Edge_1RB_Left Inner Full				
41	MAX(10 MHz, 0.25 × BW <sub>Channel</sub> )			CP-OFDM QPSK	Outer Full				
42	High			CP-OFDM QPSK	Edge_1RB_Right				
43	High			CP-OFDM QPSK	Inner Full				
44	High			CP-OFDM QPSK	Outer Full				
45	Low			CP-OFDM 16 QAM	Edge_1RB_Left				
46	2496 + 3/2 × BW <sub>Channel</sub> - 6 MHz			CP-OFDM 16 QAM	Edge_1RB_Left				
47	2496 + BW <sub>Channel</sub> /2 +			CP-OFDM 16 QAM	Edge_1RB_Left				
48 49	MAX(10 MHz, 0.25 × BW <sub>Channel</sub> )			CP-OFDM 16 QAM CP-OFDM 16 QAM	Inner Full Outer Full				
50	High			CP-OFDM 16 QAM	Edge_1RB_Right				
51	High			CP-OFDM 16 QAM	Inner Full				
52	High			CP-OFDM 16 QAM	Outer Full				
53	Low			CP-OFDM 64 QAM	Edge_1RB_Left				
54	$2496 + 3/2 \times BW_{Channel} - 6 MHz$			CP-OFDM 64 QAM	Edge_1RB_Left				

55	2496 + BW <sub>Channel</sub> /2 +
56	MAX(10 MHz, 0.25 × BW <sub>Channel</sub> )
57	High
58	High
59	Low
60	2496 + 3/2 × BW <sub>Channel</sub> – 6 MHz
61	2496 + BW <sub>Channel</sub> /2 +
62	MAX(10 MHz, 0.25 × BW <sub>Channel</sub> )
63	High
64	High

CP-OFDM 64 QAM	Edge_1RB_Left
CP-OFDM 64 QAM	Outer Full
CP-OFDM 64 QAM	Edge_1RB_Right
CP-OFDM 64 QAM	Outer Full
CP-OFDM 256 QAM	Edge_1RB_Left
CP-OFDM 256 QAM	Edge_1RB_Left
CP-OFDM 256 QAM	Edge_1RB_Left
CP-OFDM 256 QAM	Outer Full
CP-OFDM 256 QAM	Edge_1RB_Right
CP-OFDM 256 QAM	Outer Full

NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.

NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.

Editor's note: The following lines belong at the end of subclause 6.2.3.4.1. As new tables are added to this section, these lines should always follow the tables

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2 and uplink signals according Annex G.0, G.1, G.2 and G.3.0.
- 4. The UL Reference Measurement channels are set according to the applicable table from Table 6.2.3.4.1-1 to Table 6.2.3.4.1-2.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2.3.4.3.

### 6.2.3.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to the applicable table from Table 6.2.4.3.1-1 to Table 6.2.4.3.1-2. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE Allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
- 3. Measure the mean power of the UE in the channel bandwidth of the radio access mode. The period of measurement shall be at least the continuous duration one sub-frame (1ms). [For TDD slots with transient periods are not under test.]

#### 6.2.3.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.1, with the following exceptions for each network signalled value.

#### 6.2.3.4.3.1 Message contents exceptions for network signalled value "NS 03"

1. Information element additionalSpectrumEmission is set to NS\_03. This can be set in the SystemInformationblockType2 as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

Table 6.2.3.4.3.1-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 03" and NR band n2, n25 and n66

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]				
Information Element Value/remark Comment Condition				Condition
additionalSpectr	umEmission	2 (NS 03)		

# Table 6.2.3.4.3.1-2: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 03" and NR band n70

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]				
Information Element Value/remark Cor			Condition	
additionalSpectrumEmission	1 (NS_03)			

#### 6.2.3.4.3.2 Message contents exceptions for network signalled value "NS\_35"

1. Information element additionalSpectrumEmission is set to NS\_35. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.2-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 35" and NR band n71

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]				
Information Element	Comment	Condition		
additionalSpectrumEmission	1 (NS_35)			

### 6.2.3.4.3.3 Message contents exceptions for network signalled value "NS\_03U"

1. Information element additionalSpectrumEmission is set to NS\_03U. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.3-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_03U"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]				
Information Element	Value/remark	Comment	Condition	
additionalSpectrumEmission	3 (NS_03U)			

#### 6.2.3.4.3.4 Message contents exceptions for network signalled value "NS\_04"

1. Information element additionalSpectrumEmission is set to NS\_04. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.4-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 04"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]				
Information Element	Value/remark	Comment	Condition	
additionalSpectrumEmission	1 (NS_04)			

### 6.2.3.4.3.5 Message contents exceptions for network signalled value "NS\_05"

1. Information element additionalSpectrumEmission is set to NS\_05. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.5-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_05"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]					
Information Element	Value/remark	Comment	Condition		
additionalSpectrumEmission	2 (NS_05)				

#### 6.2.3.4.3.6 Message contents exceptions for network signalled value "NS 05U"

1. Information element additional Spectrum Emission is set to NS\_05U. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.6-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 05U"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]				
Information Element	Value/remark	Comment	Condition	
additionalSpectrumEmission	3 (NS_05U)			

#### 6.2.3.4.3.7 Message contents exceptions for network signalled value "NS\_06"

1. Information element additional Spectrum Emission is set to NS\_06. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.7-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 06"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]				
Information Element	Value/remark	Comment	Condition	
additionalSpectrumEmission	1 (NS_06)			

#### 6.2.3.4.3.8 Message contents exceptions for network signalled value "NS 08"

1. Information element additionalSpectrumEmission is set to NS\_08. This can be set in the SystemInformationblockType2 as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.8-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 08"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]				
Information Element	Value/remark	Comment	Condition	
additionalSpectrumEmission	1 (NS 08)			

### 6.2.3.4.3.9 Message contents exceptions for network signalled value "NS\_08U"

1. Information element additionalSpectrumEmission is set to NS\_08U. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.9-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 08U"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]				
Information Element	Value/remark	Comment	Condition	
additionalSpectrumEmission	3 (NS_08U)			

#### 6.2.3.4.3.10 Message contents exceptions for network signalled value "NS\_10"

1. Information element additionalSpectrumEmission is set to NS\_10. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.10-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 10"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]					
Information Element Value/remark Comment Conditi					
additionalSpectrumEmission	2 (NS_10)				

#### 6.2.3.4.3.11 Message contents exceptions for network signalled value "NS 17"

1. Information element additionalSpectrumEmission is set to NS\_17. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.11-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 17"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]						
Information Element	Comment	Condition				
additionalSpectrumEmission	1 (NS_17)					

### 6.2.3.4.3.12 Message contents exceptions for network signalled value "NS\_18"

1. Information element additionalSpectrumEmission is set to NS\_18. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.12-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 18"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]						
Information Element	Value/remark	Comment	Condition			
additionalSpectrumEmission	2 (NS_18)					

#### 6.2.3.4.3.13 Message contents exceptions for network signalled value "NS\_37"

1. Information element additionalSpectrumEmission is set to NS\_37. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.13-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 37"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [	TBD]			
Information Element	Value/remark	Comment	Condition	
additionalSpectrumEmission	1 (NS_37)			

### 6.2.3.4.3.14 Message contents exceptions for network signalled value "NS\_38"

1. Information element additionalSpectrumEmission is set to NS\_38. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.14-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_38"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]					
Information Element	Value/remark	Comment	Condition		
additionalSpectrumEmission	2 (NS_38)				

#### 6.2.3.4.3.15 Message contents exceptions for network signalled value "NS\_39"

1. Information element additionalSpectrumEmission is set to NS\_39. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.15-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 39"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]						
Information Element	Value/remark	Comment	Condition			
additionalSpectrumEmission	3 (NS_39)					

#### 6.2.3.4.3.16 Message contents exceptions for network signalled value "NS\_40"

1. Information element additionalSpectrumEmission is set to NS\_40. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.16-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 40"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [	TBD]		
Information Element	Value/remark	Comment	Condition
additionalSpectrumEmission	1 (NS_40)		

#### 6.2.3.4.3.17 Message contents exceptions for network signalled value "NS 41"

1. Information element additionalSpectrumEmission is set to NS\_41. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.17-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 41"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]						
Information Element Value/remark Comment Cond						
additionalSpectrumEmission	[TBD] (NS 41)					

### 6.2.3.4.3.18 Message contents exceptions for network signalled value "NS\_42"

1. Information element additionalSpectrumEmission is set to NS\_42. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.2.3.4.3.18-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_42"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]						
Information Element	Value/remark	Comment	Condition			
additionalSpectrumEmission	[TBD] (NS_42)					

#### 6.2.3.4.3.19 Message contents exceptions for network signalled value "NS\_100"

1. Information element additionalSpectrumEmission is set to NS\_100. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

Table 6.2.3.4.3.19-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_100"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]						
Information Element Value/remark Comment Condition						
additionalSpectrumEmission	1 (NS_100)					

## 6.2.3.5 Test requirement

The maximum output power, derived in step 3 shall be within the range prescribed by the nominal maximum output power and tolerance in the applicable table from table 6.2.3.5-1. The allowed A-MPR values specified in table 6.2.3.3-1 are in addition to the allowed MPR requirements specified in clause 6.2.2. For the UE maximum output power modified by MPR and/or A-MPR, the power limits specified in table 6.2.1.3-1 apply.

Table 6.2.3.5-0: Test Tolerance (UE additional maximum output power reduction)

	f ≤ 3.0GHz	3.0GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6.0GHz
BW ≤ 40MHz	0.7 dB	1.0 dB	1.0 dB
40MHz < BW ≤ 100MHz	1.0 dB	1.0 dB	1.0 dB

Table 6.2.3.5-1: UE Power Class 3 test requirements (NS\_35) for band n71.

Test ID	P <sub>PowerClass</sub> (dBm)	MPR (dB)	A-MPR (dB)	ΔT <sub>C,c</sub> (dB)	P <sub>CMAX,c</sub> (dBm)	T(P <sub>CMAX_L,c</sub> ) (dB)	T <sub>L,c</sub> (dB)	Upper limit (dBm)	Lower limit (dBm)
1	23	0.5	0	0	22.5	2	2.5	25.7	19.3
2	23	0.5	0	0	22.5	2	2.5	25.7	19.3
3	23	0.5	0	0	22.5	2	2.5	25.7	19.3
4	23	1	0	0	22	2	2.5	25.7	18.8
5	23	1	0	0	22	2	2.5	25.7	18.8
6	23	1	0	0	22	2	2.5	25.7	18.8
7	23	2	0	0	21	2	2.5	25.7	17.8
8	23	2	0	0	21	2	2.5	25.7	17.8
9	23	2	0	0	21	2	2.5	25.7	17.8
10	23	2.5	0	0	20.5	2.5	2.5	25.7	17.3
11	23	4.5	0	0	18.5	4	2.5	25.7	13.8
12	23	3	0	0	20	2.5	2.5	25.7	16.8
13	23	3	0	0	20	2.5	2.5	25.7	16.8
14	23	3	0	0	20	2.5	2.5	25.7	16.8
15	23	3	0	0	20	2.5	2.5	25.7	16.8
16	23	3	0	0	20	2.5	2.5	25.7	16.8
17	23	3	0	0	20	2.5	2.5	25.7	16.8
18	23	3.5	0	0	19.5	3.5	2.5	25.7	15.3
19	23	6.5	0	0	16.5	5	2.5	25.7	10.8

NOTE 1: P<sub>PowerClass</sub> is the maximum UE power specified without taking into account the tolerance.

NOTE 2: TT for each frequency and channel bandwidth is specified in Table 6.2.3.5-0.

Table 6.2.3.5-2: UE Power Class 2 test requirements (NS\_04) for band n41.

Test ID	P <sub>PowerClass</sub> (dBm)	MPR (dB)	A-MPR (dB)	ΔT <sub>C,c</sub> (dB)	P <sub>CMAX,c</sub> (dBm)	T(P <sub>CMAX_L,c</sub> ) (dB)	T <sub>L,c</sub> (dB)	Upper limit (dBm)	Lower limit (Note 2) (dBm)
1	26	0	[5.5]	0	20.5	2.5	2	28+TT	[17.5+TT]
2	26	0	[5.5]	0	20.5	2.5	2	28+TT	[17.5+TT]
3	26	0	3.5	0	22.5	2	2	28+TT	19.5+TT
4	26	0	3.5	0	22.5	2	2	28+TT	19.5+TT
5	26	0	3.5	0	22.5	2	2	28+TT	19.5+TT
6	26	3.5	0	0	22.5	2	2	28+TT	19.5+TT
7	26	0.2	0	0	25.8	2	2	28+TT	22.8+TT
8	26	1.2	0	0	24.8	2	2	28+TT	21.8+TT
9	26	0	[6]	0	20	2.5	2	28+TT	[17+TT]
10	26	0	[6]	0	20	2.5	2	28+TT	[17+TT]
11	26	0	4.5	0	21.5	2	2	28+TT	18.5+TT
12	26	0	4.5	0	21.5	2	2	28+TT	18.5+TT
13	26	0	4.5	0	21.5	2	2	28+TT	18.5+TT
14	26	3.5	0	0	22.5	2	2	28+TT	19.5+TT
15	26	0.2	0	0	25.8	2	2	28+TT	22.8+TT
16	26	1.2	0	0	24.8	2	2	28+TT	21.8+TT
17	26	0	[6]	0	20	2.5	2	28+TT	[17+TT]
18	26	0	[6]	0	20	2.5	2	28+TT	[17+TT]
19	26	0	5	0	21	2.3	2	28+TT	18+TT
20	26	0	5	0	21	2	2	28+TT	18+TT
21	26	0	5	0	21	2	2	28+TT	18+TT
22	26	3.5	0	0	22.5	2	2	28+TT	19.5+TT
23	26	0.2	0	0	25.8	2	2	28+TT	22.8+TT
24	26	1.2	0	0	24.8	2	2	28+TT	21.8+TT
25	26			0	19.5	3.5	2	28+TT	[16+TT]
26	26	0	[6.5]	_	19.5	3.5	2	28+TT	[16+11] [16+TT]
27	26	0	[6.5]	0	21	2	2	28+TT	18+TT
-	+	0	5 5	0	21	2		28+TT	18+TT
28 29	26 26	3.5	0	0	22.5	2	2 2	28+TT	19.5+TT
	26	1.2	0	0	24.8	2		28+TT	
30						+	2		21.8+TT
31	26	0	[8]	0	18	4	2	28+TT	[14+TT]
32	26	0	[8]	0	18	4	2	28+TT	[14+TT]
33	26	0	6.5	0	19.5	3.5	2	28+TT	16+TT
34	26	0	6.5	0	19.5	3.5	2	28+TT	16+TT
35	26	3.5	0	0	22.5	2	2	28+TT	19.5+TT
36	26	1.2	0	0	24.8	2	2	28+TT	21.8+TT
37	26	0	[7.5]	0	18.5	4	2	28+TT	[14.5+TT]
38	26	0	[7.5]	0	18.5	4	2	28+TT	[14.5+TT]
39	26	0	6.5	0	19.5	3.5	2	28+TT	16+TT
40	26	0	6.5	0	19.5	3.5	2	28+TT	16+TT
41	26	0	6.5	0	19.5	3.5	2	28+TT	16+TT
42	26	3.5	0	0	22.5	2	2	28+TT	19.5+TT
43	26	0.2	0	0	25.8	2	2	28+TT	22.8+TT
44	26	1.2	0	0	24.8	2	2	28+TT	21.8+TT
45	26	0	[7.5]	0	18.5	4	2	28+TT	[14.5+TT]
46	26	0	[7.5]	0	18.5	4	2	28+TT	[14.5+TT]
47	26	0	6.5	0	19.5	3.5	2	28+TT	16+TT
48	26	0	6.5	0	19.5	3.5	2	28+TT	16+TT

49	26	0	6.5	0	19.5	3.5	2	28+TT	16+TT
50	26	3.5	0	0	22.5	2	2	28+TT	19.5+TT
51	26	0.2	0	0	25.8	2	2	28+TT	22.8+TT
52	26	1.2	0	0	24.8	2	2	28+TT	21.8+TT
53	26	0	[7.5]	0	18.5	4	2	28+TT	[14.5+TT]
54	26	0	[7.5]	0	18.5	4	2	28+TT	[14.5+TT]
55	26	0	6.5	0	19.5	3.5	2	28+TT	16+TT
56	26	0	6.5	0	19.5	3.5	2	28+TT	16+TT
57	26	3.5	0	0	22.5	2	2	28+TT	19.5+TT
58	26	1.2	0	0	24.8	2	2	28+TT	21.8+TT
59	26	0	[10]	0	16	5	2	28+TT	[11+TT]
60	26	0	[10]	0	16	5	2	28+TT	[11+TT]
61	26	0	7.5	0	18.5	4	2	28+TT	14.5+TT
62	26	0	7.5	0	18.5	4	2	28+TT	14.5+TT
63	26	3.5	0	0	22.5	2	2	28+TT	19.5+TT
64	26	1.2	0	0	24.8	2	2	28+TT	21.8+TT

NOTE 1: P<sub>PowerClass</sub> is the maximum UE power specified without taking into account the tolerance.

NOTE 2: For Band n41, refers to the transmission bandwidths (Figure 5.3.3-1) confined within Ful\_low and Ful\_low + 4 MHz or Ful\_high - 4 MHz and Ful\_high, the lower limit shall be decreased by 1.0 dB for CP-OFDM 256 QAM and decreased by 1.5 dB for other modulations.

NOTE 3: TT=0.7 dB for BW<sub>channel</sub>  $\leq$  40 MHz; TT=1.0 dB for 40 MHz < BW<sub>channel</sub>  $\leq$  100 MHz.

Table 6.2.3.5-3: UE Power Class 3 test requirements (NS\_04) for band n41.

Test ID	P <sub>PowerClass</sub> (dBm)	MPR (dB)	A-MPR (dB)	ΔT <sub>C,c</sub> (dB)	P <sub>CMAX,c</sub> (dBm)	T(P <sub>CMAX_L,c</sub> ) (dB)	T <sub>L,c</sub> (dB)	Upper limit (dBm)	Lower limit (Note 2) (dBm)
1	23								, ,
1	23	0	3.5	0	19.5	3.5	2	25+TT	16+TT
2	23	0	3.5	0	19.5	3.5	2	25+TT	16+TT
3	23	0	3.5	0	19.5	3.5	2	25+TT	16+TT
4	23	0	3.5	0	19.5	3.5	2	25+TT	16+TT
5	23	0	3.5	0	19.5	3.5	2	25+TT	16+TT
6	23	3.5	0	0	19.5	3.5	2	25+TT	16+TT
7	23	0.2	0	0	22.8	2	2	25+TT	20.8+TT
8	23	1.2	0	0	21.8	2	2	25+TT	19.8+TT
9	23	0	4	0	19	3.5	2	25+TT	15.5+TT
10	23	0	4	0	19	3.5	2	25+TT	15.5+TT
11	23	0	4	0	19	3.5	2	25+TT	15.5+TT
12	23	0	4	0	19	3.5	2	25+TT	15.5+TT
13	23	0	4	0	19	3.5	2	25+TT	15.5+TT
14	23	3.5	0	0	19.5	3.5	2	25+TT	16+TT
15	23	0.2	0	0	22.8	2	2	25+TT	20.8+TT
16	23	1.2	0	0	21.8	2	2	25+TT	19.8+TT
17	23	0	4	0	19	3.5	2	25+TT	15.5+TT
18	23	0	4	0	19	3.5	2	25+TT	15.5+TT
19	23	0	4	0	19	3.5	2	25+TT	15.5+TT
20	23	0	4	0	19	3.5	2	25+TT	15.5+TT
21	23	0	4	0	19	3.5	2	25+TT	15.5+TT
22	23	3.5	0	0	19.5	3.5	2	25+TT	16+TT
23	23	0.2	0	0	22.8	2	2	25+TT	20.8+TT
24	23	1.2	0	0	21.8	2	2	25+TT	19.8+TT
25	23	0	4	0	19	3.5	2	25+TT	15.5+TT
26	23	0	4	0	19	3.5	2	25+TT	15.5+TT
27	23	0	4.5	0	18.5	4	2	25+TT	14.5+TT
28	23	0	4.5	0	18.5	4	2	25+TT	14.5+TT
29	23	3.5	0	0	19.5	3.5	2	25+TT	16+TT
30	23	1.2	0	0	21.8	2	2	25+TT	19.8+TT
31	23	0	4.5	0	18.5	4	2	25+TT	14.5+TT
32	23	0	4.5	0	18.5	4	2	25+TT	14.5+TT
33	23	0	6	0	17	5	2	25+TT	12+TT
34	23	0	6	0	17	5	2	25+TT	12+TT
35	23	3.5	0	0	19.5	3.5	2	25+TT	16+TT
36	23	1.2	0	0	21.8	2	2	25+TT	19.8+TT
37	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
38	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
39	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
40	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
41	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
42	23	3.5	0	0	19.5	3.5	2	25+TT	16+TT
43	23	0.2	0	0	22.8	2	2	25+TT	20.8+TT
44	23	1.2	0	0	21.8	2	2	25+TT	19.8+TT
45	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
46	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
47	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
48	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT

49	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
50	23	3.5	0	0	19.5	3.5	2	25+TT	16+TT
51	23	0.2	0	0	22.8	2	2	25+TT	20.8+TT
52	23	1.2	0	0	21.8	2	2	25+TT	19.8+TT
53	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
54	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
55	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
56	23	0	5.5	0	17.5	5	2	25+TT	12.5+TT
57	23	3.5	0	0	19.5	3.5	2	25+TT	16+TT
58	23	1.2	0	0	21.8	2	2	25+TT	19.8+TT
59	23	0	6.5	0	16.5	5	2	25+TT	11.5+TT
60	23	0	6.5	0	16.5	5	2	25+TT	11.5+TT
61	23	0	8	0	15	5	2	25+TT	10+TT
62	23	0	8	0	15	5	2	25+TT	10+TT
63	23	3.5	0	0	19.5	3.5	2	25+TT	16+TT

NOTE 1: P<sub>PowerClass</sub> is the maximum UE power specified without taking into account the tolerance.

NOTE 2: For Band n41, refers to the transmission bandwidths (Figure 5.3.3-1) confined within F<sub>UL\_low</sub> and F<sub>UL\_low</sub> + 4 MHz or F<sub>UL\_high</sub> - 4 MHz and F<sub>UL\_high</sub>, the lower limit shall be decreased by 1.0 dB for CP-OFDM 256 QAM and decreased by 1.5 dB for other modulations.

NOTE 3: TT=0.7 dB for BW<sub>channel</sub> ≤ 40 MHz; TT=1.0 dB for 40 MHz < BW<sub>channel</sub> ≤ 100 MHz.

# 6.2.4 Configured transmitted power

Editor's Note:

- Measurement period is pending RAN4 clarification.

#### 6.2.4.1 Test purpose

To verify the measured UE configured maximum output power P<sub>UMAX,f,c</sub> is within the specified bounds.

### 6.2.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.2.4.3 Minimum conformance requirements

The UE is allowed to set its configured maximum output power  $P_{CMAX,f,c}$  for carrier f of serving cell c in each slot. The configured maximum output power  $P_{CMAX,f,c}$  is set within the following bounds:

$$P_{CMAX\_L,f,c} \leq P_{CMAX,f,c} \leq P_{CMAX\_H,f,c}$$
 with

$$\begin{split} P_{CMAX\_L,f,c} = MIN \; \{ P_{EMAX,c} - \Delta T_{C,c}, \; \; (P_{PowerClass} - \Delta P_{PowerClass}) - MAX(MPR_c + A-MPR_c + \Delta T_{IB,c} + \Delta T_{C,c} + \Delta T_{RxSRS}, P-MPR_c) \; \} \end{split}$$

$$P_{CMAX\_H,f,c} = MIN \{P_{EMAX,c}, P_{PowerClass} - \Delta P_{PowerClass} \}$$

where

P<sub>EMAX,c</sub> is the value given by IE P-Max for serving cell c, defined in TS 38.331[6];

P<sub>PowerClass</sub> is the maximum UE power specified in Table 6.2.1.3-1 without taking into account the tolerance specified in the Table 6.2.1.3-1:

When the IE [P-Boost-BPSK] is set to 1,  $P_{EMAX,c}$  is increased by +3 dB for a power class 3 capable UE operating in TDD bands n40, n77, n78, and n79 with PI/2 BPSK modulation and 40% or less slots in radio frame are used for UL transmission when  $P_{EMAX,c} \ge 20$  dBm.

When the IE [P-Boost-BPSK] is set to 1,  $\Delta P_{PowerClass} = -3$  dB for a power class 3 capable UE operating in TDD bands n40, n77, n78, and n79 with PI/2 BPSK modulation and 40% or less slots in radio frame are used for UL transmission.

 $\Delta P_{PowerClass} = 3$  dB for a power class 2 capable UE, when P-max of 23 dBm or lower is indicated; or when the field of UE capability maxUplinkDutyCycle is absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than 50%; or when the field of UE capability maxUplinkDutyCycle is not absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than maxUplinkDutyCycle as defined in TS 38.331 (The exact evaluation period is no less than one radio frame); otherwise  $\Delta P_{PowerClass} = 0$  dB;

 $\Delta T_{IB,c}$  is the additional tolerance for serving cell c as specified in TS 38.101-3 [4] subclause 6.2A.4.2 and 6.2B.4.2;  $\Delta T_{IB,c} = 0$  dB otherwise;

 $\Delta T_{C,c}$  = 1.5dB when NOTE 3 in Table 6.2.1-1 in 38.101-1 applies for a serving cell c, otherwise  $\Delta T_{C,c}$  = 0dB;

MPR<sub>c</sub> and A-MPR<sub>c</sub> for serving cell c are specified in subclause 6.2.2.3 and subclause 6.2.3.3, respectively;

 $\Delta T_{RxSRS}$  is applied when UE transmits SRS other than first SRS port when the SRS-TxSwitch capability is indicated as '1T2R', '1T4R' or, '1T4R/2T4R' with UE configured with 4 SRS resources in the SRS resource set, and when UE transmits SRS to other than first or second SRS port when the SRS-TxSwitch capability is indicated as '2T4R' or '1T4R/2T4R' with the UE configured with 2 SRS resources in the SRS resource set. The value of  $\Delta T_{RxSRS}$  is 4.5dB for n79 and 3 dB for bands whose  $F_{UL\_high}$  is lower than the  $F_{UL\_low}$  of n79.

For other SRS transmissions  $\Delta T_{RxSRS}$  is zero;

P-MPR<sub>c</sub> is the allowed maximum output power reduction for

- a) ensuring compliance with applicable electromagnetic energy absorption requirements and addressing unwanted emissions / self defence requirements in case of simultaneous transmissions on multiple RAT(s) for scenarios not in scope of 3GPP RAN specifications;
- b) ensuring compliance with applicable electromagnetic energy absorption requirements in case of proximity detection is used to address such requirements that require a lower maximum output power.

The UE shall apply P-MPR $_c$  for serving cell c only for the above cases. For UE conducted conformance testing P-MPR $_c$  shall be 0 dB

NOTE 1: P-MPR<sub>c</sub> was introduced in the  $P_{CMAX,f,c}$  equation such that the UE can report to the eNB the available maximum output transmit power. This information can be used by the eNB for scheduling decisions.

NOTE 2: P-MPR<sub>c</sub> may impact the maximum uplink performance for the selected UL transmission path.

 $T_{REF}$  and  $T_{eval}$  are specified in Table 6.2.4.3-1. For each  $T_{REF}$ , the  $P_{CMAX,L,c}$  for serving cell c are evaluated per  $T_{eval}$  and given by the minimum value taken over the transmission(s) within the  $T_{eval}$ ; the minimum  $P_{CMAX\_L,f,c}$  over one or more  $T_{eval}$  is then applied for the entire  $T_{REF}$ 

Table 6.2.4.3-1: Evaluation and reference periods for Pcmax

T <sub>REF</sub>	Teval	T <sub>eval</sub> with frequency hopping
Physical channel length	Physical channel length	$Min(T_{no\_hopping}, Physical Channel Length)$

The measured configured maximum output power P<sub>UMAX,f,c</sub> shall be within the following bounds:

$$P_{CMAX\_L,f,c} \ - \ MAX\{T_{L,c}, T(P_{CMAX\_L,f,c})\} \ \le \ P_{UMAX,f,c} \ \le \ P_{CMAX\_H,f,c} \ + \ T(P_{CMAX\_H,f,c}).$$

where the tolerance  $T(P_{CMAX,f,c})$  for applicable values of  $P_{CMAX,f,c}$  is specified in Table 6.2.4.3-2. The tolerance  $T_{L,c}$  is the absolute value of the lower tolerance for the applicable operating band as specified in Table 6.2.1.3-1.

Table 6.2.4.3-2: P<sub>CMAX</sub> tolerance

P <sub>CMAX,f,c</sub> (dBm)	Tolerance T(P <sub>CMAX,f,c</sub> ) (dB)
23 < P <sub>CMAX,c</sub> ≤ 33	2.0
21 ≤ P <sub>CMAX,c</sub> ≤ 23	2.0
20 ≤ P <sub>CMAX,c</sub> < 21	2.5
19 ≤ P <sub>CMAX,c</sub> < 20	3.5
18 ≤ P <sub>CMAX,c</sub> < 19	4.0
13 ≤ P <sub>CMAX,c</sub> < 18	5.0
8 ≤ P <sub>CMAX,c</sub> < 13	6.0
-40 ≤ P <sub>CMAX,c</sub> < 8	7.0

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.4.

6.2.4.4 Test description

6.2.4.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2.4.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.2.4.4.1-1: Test Configuration Table

		Initial Conditions				
	ent as specified in TS 38.508-1	Normal, TL/VL, TL/VH, TH/VL, TH/VH				
[5] subclause 4	.1					
Test Frequencie [5] subclause 4	es as specified in TS 38.508-1 .3.1	Low range, Mid range, High range				
Test Channel B	andwidths as specified in TS	Lowest, Mid, Highest				
38.508-1 [5] sul	oclause 4.3.1	-				
Test SCS as sp	ecified in Table 5.3.5-1	Lowest, Highest				
	Test Paran	neters for Channel Bandwidths				
Test ID	Downlink Configuration	Uplink Configuration				
	N/A for minimum output	Modulation (NOTE 2)	RB allocation (NOTE 1)			
	power					
1	test case	DFT-s-OFDM Pi/2 BPSK	Inner Full			
2	2 DFT-s-OFDM QPSK Inner Full					
NOTE 1: The	NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.					
NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.						

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.2.4.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2.4.4.3.

#### 6.2.4.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.2.4.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200ms starting from the first TPC command in this step to ensure that the UE reaches the Pumax level of the test point.
- 3. Measure the mean power of the UE in the channel bandwidth for each test point in table 6.2.4.5-1 according to the test configuration from table 6.2.4.4.1-1. The period of measurement shall be at least the continuous duration of [one active slot] and in the uplink symbols. For TDD slots with transient periods are not under test.

#### 6.2.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the following exceptions:

#### Table 6.2.4.4.3-1: FrequencyInfoUL: Test point 1

Derivation Path: TS 38.508-1 [5] Table 4.6.3-44 FrequencyInfoUL						
Information Element	Value/remark	Comment	Condition			
p-Max	-10					

#### Table 6.2.4.4.3-2: FrequencyInfoUL: Test point 2

Derivation Path: TS 38.508-1 [5] Table 4.6.3-44 FrequencyInfoUL							
Information Element	Value/remark	Comment	Condition				
p-Max	10						

#### Table 6.2.4.4.3-3: FrequencyInfoUL: Test point 3

Derivation Path: TS 38.508-1 [5] Table 4.6.3-44 FrequencyInfoUL						
Information Element	Value/remark	Comment	Condition			
p-Max	15					

## 6.2.4.5 Test requirement

The maximum output power measured shall not exceed the values specified in Table 6.2.4.5-1.

Table 6.2.4.5-1: P<sub>CMAX</sub> configured UE output power

	maximum output power		
Measured UE			
output power test	-10 dBm ± (7+TT)		
point 1			
Measured UE	40 dD (0.TT)		
output power test	10 dBm ± (6+TT)		
point 2			
Measured UE	45 ID (5 TT)		
output power test	15 dBm ± (5+TT)		
point 3			
Note 1: TT for each frequency and channel bandwidth is specified in Table 6.2.4.5-2.			
Note 2: In addition note 2 in Table 6.2.1.3-1 shall apply to the tolerances.			

Table 6.2.4.5-2: Test Tolerance (Configured transmitted power)

	f ≤ 3.0GHz	3.0GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6.0GHz
BW ≤ 40MHz	0.7 dB	1.0 dB	1.0 dB
40MHz < BW ≤ 100MHz	1.0 dB	1.0 dB	1.0 dB

For the UE which supports inter-band NR CA configurations or inter-band EN-DC configurations, the  $\Delta T_{IB,c}$  in TS 38.101-3 [4] subclause 6.2A.4.2 and 6.2B.4.2 shall be applied for applicable bands.

# 6.2A Transmitter power for CA

**FFS** 

# 6.2B Transmitter power for DC

**FFS** 

# 6.2C Transmitter power for SUL

# 6.2C.1 Configured transmitted power for SUL

Editor's notes:

- Connection diagram is TBD.
- Parameters setting for Cell setup in 38.508 subclause is FFS.

### 6.2C.1.1 Test purpose

Same test purpose as in clause 6.2.4.1

## 6.2C.1.2 Test applicability

This test applies to all types of NR UE release 15 and forward that support SUL operating on the SUL bands.

## 6.2C.1.3 Minimum conformance requirements

Refer to clause 6.2.4.3, and with the following supplementary specification for UE configured with SUL

For single carrier configured transmit power, as the UL carrier and SUL carrier is a same cell, the configured transmit power is specified for each UL carrier in a serving cell. The configured transmit power requirement for serving cell is applied for each UL carrier.

For the UE which supports SUL band combination,  $\Delta T_{IB,c}$  in Table 6.2C.2-1 applies.

The normative reference for this requirement is TS 38.101-1 [2] clauses 6.2C.

### 6.2C.1.4 Test description

Same test description as specified in clause 6.2.4.4 with following exceptions:

Instead of table  $5.3.5-1 \rightarrow$  use Table 5.5C-1

**Table 6.2C.1.4-1: Test Configuration Table** 

1 22 10 122					
		In	itial Condition	ns	
Test Env	ironment as specified in TS	3	Normal, TL/V	L, TL/VH, TH/VL, TH/VH	
38.508-1	[5] subclause 4.1		,		
	Test Frequencies as specified in TS			r both SUL carrier and Non-S	III carrier
·			Iviid range ioi	Dotti Doe camer and Non-o	OL Carrier
38.508-1 [5] subclause 4.3.1					
Test Channel Bandwidths as specified in			Lowest, Mid,	Highest for both SUL carrier	and Non-SUL
TS 38.508-1 [5] subclause 4.3.1			carrier		
Test SCS as specified in Table 5.3.5-1			15kHz for both SUL carrier and Non-SUL carrier		
Test Parameters for Channel Bandwidths					
	Downlink	UL C	onfiguration	SUL Configurat	ion
	Configuration		_	_	
Test ID	N/A for Configured UE			Modulation	RB
	transmitted Output				allocation
1	Power test case		NA	DFT-s-OFDM Pi/2 BPSK	Inner Full
2			NA	DFT-s-OFDM QPSK	Inner Full
NOTE 1:	Test Channel Bandwidth	s are cl	hecked separa	tely for each SUL band comb	ination, the
	applicable channel bandy	widths a	are specified in	Table 5.5C-1.	
NOTE 2:	DFT-s-OFDM PI/2 BPSK	test ar	oplies only for l	UEs which supports half Pi B	PSK in FR1.

- The parameter setting for the cell are set up according to the TS 38.508-1 [5] subclause [4.4.3]
- Downlink signals are initially setup according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, and G.3.0 with consideration of supplementary uplink physical channels.

Message contents in initial conditions are according to TS 38.508-1 [5] subclause 4.6 ensuring Tables 4.6.3-13, 4.6.3-97, and 4.6.3-129A with conditions SUL, and Table 4.6.3-128 with condition PUSCH\_PUCCH\_ON\_SUL, additionally the following exceptions shown in Table 6.2C.1.4-2 ~ Table 6.2C.1.4-4 are considered.Table 6.2C.1.4-2: SIB1: Test point 1

Derivation Path: TS 38.508-1 [5] [Table 4.6.1-5] SIB1			
Information Element	Value/remark	Comment	Condition
supplementaryUplink ::= SEQUENCE {			
frequencyInfoUL SEQUENCE {			
p-Max	-10		
}			
}			

**Table 6.2C.1.4-3: SIB1: Test point 2** 

Derivation Path: TS 38.508-1 [5] [Table 4.6.1-5] SIB1					
Information Element	Value/remark	Comment	Condition		
supplementaryUplink ::= SEQUENCE {					
frequencyInfoUL SEQUENCE {					
p-Max	10				
}					
}					

Table 6.2C.1.4-4: SIB1: Test point 3

Derivation Path: TS 38.508-1 [5] [Table 4.6.1-5] SIB1			
Information Element	Value/remark	Comment	Condition
supplementaryUplink ::= SEQUENCE {			
frequencyInfoUL SEQUENCE {			
p-Max	15		
}			
}			

## 6.2C.1.5 Test requirement

The maximum output power measured on SUL carrier shall not exceed the values specified in Table 6.2C.1.5-1.

Table 6.2C.1.5-1: P<sub>CMAX</sub> configured UE output power

		Channel bandwidth / maximum output power				
	5	10	15	20	25	30
	MHz	MHz	MHz	MHz	MHz	MHz
Measured UE						
output power test			-10 dBm :	± (7+TT)		
point 1	` ,					
Measured UE						
output power test	10 dBm ± (6+TT)					
point 2						
Measured UE				(- <b></b> )		
output power test	15 dBm ± (5+TT)					
point 3						
Note 1: TT for eac	Note 1: TT for each frequency and channel bandwidth is specified in Table 6.2.4.5-2.					
Note 2: In addition	note 3 in Ta	ble 6.2.1.3-1	shall apply t	to the tolera	nces.	

For the UE which supports SUL configurations with uplink assigned to one E-UTRA band and one supplementary E-UTRA band the  $\Delta T_{IB,c}$  in Tables 6.2C.2-1 shall be applied for applicable bands.

# 6.2C.2 $\Delta T_{IB,c}$

For the UE which supports SUL band combination,  $\Delta T_{IB,c}$  in Tables below applies. Unless otherwise stated,  $\Delta T_{IB,c}$  is set to zero.

Table 6.2C.2-1: ΔT<sub>IB,c</sub> due to SUL

Band combination for SUL	NR Band	ΔT <sub>IB,c</sub> (dB)
SUL n78-n80	n78	0.8
SUL_1176-1160	n80	0.6
SUL n78-n81	n78	0.8
30L_1176-1161	n81	0.6
SUL n78-n82	n78	0.8
30L_1176-1162	n82	0.6
SUL n78-n83	n78	0.8
30L_1176-1163	n83	0.5
CIII n70 n04	n78	0.8
SUL_n78-n84	n84	0.3
SUL_n78-n86	n78	0.8

# 6.2D Transmitter power for UL-MIMO

# 6.2D.1UE maximum output power for UL-MIMO

#### Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Test point selection is FFS.
- Connection diagram is FFS.
- MU/TT is FFS

#### 6.2D.1.1 Test purpose

To verify that the error of the UE maximum output power for UL-MIMO does not exceed the range prescribed by the specified nominal maximum output power and tolerance.

An excess maximum output power has the possibility to interfere to other channels or other systems. A small maximum output power decreases the coverage area.

#### 6.2D.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

#### 6.2D.1.3 Minimum conformance requirements

For PC2 UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the maximum output power for any transmission bandwidth within the channel bandwidth is specified in Table 6.2D.1.3-1. The requirements shall be met with the UL-MIMO configurations specified in Table 6.2D.1.3-2. For UE supporting UL-MIMO, the maximum output power is measured as the sum of the maximum output power at each UE antenna connector. The period of measurement shall be at least one sub frame (1ms).

The requirements shall be met with the UL-MIMO configurations of using 2-layer UL-MIMO transmission with codebook of  $\frac{1}{\sqrt{2}}\begin{bmatrix}1&0\\0&1\end{bmatrix}$ . DCI Format for UE configured in PUSCH transmission mode for uplink single-user MIMO shall be used.

Table 6.2D.1.3-1: UE Power Class for UL-MIMO in closed loop spatial multiplexing scheme

NR band	Class 1 (dBm)	Tolerance (dB)	Class 2 (dBm)	Tolerance (dB)	Class 3 (dBm)	Tolerance (dB)	Class 4 (dBm)	Tolerance (dB)
n41			26	+2/-3 <sup>1</sup>	23	+2/-3 <sup>1</sup>		
n77			26	+2/-3	23	+2/-3		
n78			26	+2/-3	23	+2/-3		
n79			26	+2/-3	23	+2/-3		_

NOTE 1: <sup>1</sup> refers to the transmission bandwidths confined within F<sub>UL\_low</sub> and F<sub>UL\_low</sub> + 4 MHz or F<sub>UL\_high</sub> - 4 MHz and F<sub>UL\_high</sub>, the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB

Table 6.2D.1.3-2: UL-MIMO configuration in closed-loop spatial multiplexing scheme

Transmission scheme	DCI format	Codebook Index
Codebook based uplink	DCI format 0_1	Codebook index 0

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.2.1 apply.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2D.1.

6.2D.1.4 Test description

6.2D.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2D.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 6.2D.1.4.1-1: Test Configuration Table

#### **FFS**

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure [A.3.1.2.2] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.2D.1.4.1-1.

- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2D.1.4.3.

#### 6.2D.1.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.2D.1.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with the condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2.
- 2. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200ms starting from the first TPC command in this step for the UE to reach P<sub>UMAX</sub> level.
- 3. Measure the sum of the mean power of the UE at each transmit antenna connector in the channel bandwidth of the radio access mode. The period of measurement shall be at least the continuous duration of one active subframe (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.

#### 6.2D.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 and 5.4.

#### 6.2D.1.5 Test requirement

The maximum output power, derived in step 3 shall be within the range prescribed by the nominal maximum output power and tolerance in Table 6.2D.1.5-1.

Table 6.2D.1.5-1: UE Power Class

NR band	Class 1 (dBm)	Tolerance (dB)	Class 2 (dBm)	Tolerance (dB)	Class 3 (dBm)	Tolerance (dB)	Class 4 (dBm)	Tolerance (dB)
n41			26	+2+TT/-3 <sup>1-</sup>	23	+2+TT/-3 <sup>1</sup> - TT		
n77			26	+2+TT/-3- TT	23	+2+TT/-3-TT		
n78			26	+2+TT/-3- TT	23	+2+TT/-3-TT		
n79			26	+2+TT/-3- TT	23	+2+TT/-3-TT		

NOTE 1: <sup>1</sup> refers to the transmission bandwidths confined within F<sub>UL\_low</sub> and F<sub>UL\_low</sub> + 4 MHz or F<sub>UL\_high</sub> – 4 MHz and F<sub>UL\_high</sub>, the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB NOTE 2: TT for each frequency and channel bandwidth is specified in Table 6.2D.1.5-2

Table 6.2D.1.5-2: Test Tolerance (UE maximum output power)

	f ≤ 3.0GHz	3.0GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6.0GHz
BW ≤ 40MHz	0.7 dB	1.0 dB	1.0 dB
40MHz < BW ≤ 100MHz	1.0 dB	1.0 dB	1.0 dB

# 6.2D.2 UE maximum output power reduction for UL-MIMO

#### Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Test point selection is FFS.
- Connection diagram is FFS.
- Test requirement is FFS.

#### 6.2D.2.1 Test purpose

To verify that the power reduction of UE due to higher order modulations and transmit bandwidth configuration does not exceed the specified maximum power reduction.

#### 6.2D.2.2 Test applicability

The requirements of this test apply in test cases [6.5D.2.2] Adjacent Channel Leakage power Ratio for UL-MIMO to all types of NR UE release 15 and forward that support UL-MIMO.

#### 6.2D.2.3 Minimum conformance requirements

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the allowed Maximum Power Reduction (MPR) for the maximum output power in Table 6.2D.1.3-1 is specified in Table 6.2.2.3-1. The requirements shall be met with UL-MIMO configurations defined in Table 6.2D.1.3-2. For UE supporting UL-MIMO, the maximum output power is measured as the sum of the maximum output power at each UE antenna connector.

For the UE maximum output power modified by MPR, the power limits specified in subclause 6.2D.4 apply.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.2.2 apply.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2D.2.

#### 6.2D.2.4 Test description

#### 6.2D.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2D.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

# Table 6.2D.2.4.1-1: Test Configuration Table

#### **FFS**

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure [A.3.1.2.2] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.2D.2.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2D.2.4.3.

#### 6.2D.2.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.2D.2.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with the condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2.
- 2. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.

3. Measure the sum of the mean power of the UE at each transmit antenna connector in the channel bandwidth of the radio access mode. The period of measurement shall be at least the continuous duration of one active subframe (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.

NOTE 1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.2.2.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition.

#### 6.2D.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 and 5.4.

6.2D.2.5 Test requirement

The maximum output power, derived in step 3 shall be within the range prescribed by the nominal maximum output power and tolerance in Table 6.2D.2.5-1 and Table 6.2D.2.5-2.

Table 6.2D.2.5-1: UE Power Class test requirements for Power Class 3

**FFS** 

Table 6.2D.2.5-2: UE Power Class test requirements for Power Class 2

**FFS** 

# 6.2D.4 Configured transmitted power for UL-MIMO

Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Test point selection is FFS.
- Connection diagram is FFS.
- MU and TT need further study on whether any deviation from basic test case is needed.
- Measurement period is pending RAN4 clarification.

#### 6.2D.4.1 Test purpose

To verify the measured UE configured maximum output power P<sub>UMAX,f,c</sub> for UL-MIMO is within the specified bounds.

#### 6.2D.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

#### 6.2D.4.3 Minimum conformance requirements

For UE supporting UL-MIMO, the transmitted power is configured per each UE.

The definitions of configured maximum output power  $P_{CMAX,c}$ , the lower bound  $P_{CMAX\_L,c}$ , and the higher bound  $P_{CMAX\_H,c}$  specified in subclause 6.2.4 shall apply to UE supporting UL-MIMO, where

 $P_{PowerClass}, \Delta P_{PowerClass}$  and  $\Delta T_{C, \it{c}}$  are specified in subclause 6.2D.1.3;

MPR<sub>.c</sub> is specified in subclause 6.2D.2.3;

A-MPR<sub>,c</sub> is specified in subclause 6.2D.3.3.

The measured configured maximum output power  $P_{UMAX,c}$  for serving cell c shall be within the following bounds:

$$P_{CMAX\_L,c} - MAX\{T_L, T_{LOW}(P_{CMAX\_L,c})\} \le P_{UMAX,c} \le P_{CMAX\_H,c} + T_{HIGH}(P_{CMAX\_H,c})$$

where  $T_{LOW}(P_{CMAX\_L,c})$  and  $T_{HIGH}(P_{CMAX\_H,c})$  are defined as the tolerance and applies to  $P_{CMAX\_L,c}$  and  $P_{CMAX\_L,c}$  separately, while  $T_L$  is the absolute value of the lower tolerance in Table 6.2D.1.3-1 for the applicable operating band.

For UE with two transmit antenna connectors in closed-loop spatial amultiplexing scheme, the tolerance is specified in Table 6.2D.4.3-1. The requirements shall be met with UL-MIMO configurations specified in Table 6.2D.1.3-2.

Table 6.2D.4.3-1: P<sub>CMAX,c</sub> tolerance in closed-loop spatial multiplexing scheme

Р <sub>смах,с</sub> (dВm)	Tolerance TLOW(PCMAX_L,c) (dB)	Tolerance Thigh(PcMax_h,c) (dB)
Рсмах,с =26	3.0	2.0
23 ≤ P <sub>CMAX,c</sub> < 26	3.0	2.0
22 ≤ P <sub>CMAX,c</sub> < 23	5.0	2.0
21 ≤ P <sub>CMAX,c</sub> < 22	5.0	3.0
20 ≤ P <sub>CMAX,c</sub> < 21	6.0	4.0
16 ≤ P <sub>CMAX,c</sub> < 20	5	.0
11 ≤ P <sub>CMAX,c</sub> < 16	6	.0
-40 ≤ P <sub>CMAX,c</sub> < 11	7.	.0

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2D.4.

6.2D.4.4 Test description

6.2D.4.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2D.4.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

# Table 6.2D.4.4.1-1: Test Configuration Table

#### **FFS**

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure [A.3.1.2.2] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.2D.4.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2D.4.4.3.

#### 6.2D.4.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.2D.4.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with the condition 2TX UL MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2.
- 2. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.

3. Measure the sum of the mean power of the UE at each transmit antenna connector in the channel bandwidth of the radio access mode. The period of measurement shall be at least the continuous duration of [one active slot] and in the uplink symbols. For TDD slots with transient periods are not under test.

#### 6.2D.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the condition 2TX\_UL\_MIMO and following exception.

### Table 6.2D.4.4.3-1: FrequencyInfoUL: Test point 1

Derivation Path: TS 38.508-1 [5] Table 4.6.3-44 FrequencyInfoUL					
Value/remark	Comment	Condition			
0					
		<u> </u>			

## Table 6.2D.4.4.3-2: FrequencyInfoUL: Test point 2

Derivation Path: TS 38.508-1 [5] Table 4.6.3-44 FrequencyInfoUL				
Information Element Value/remark Comment Conditi				
p-Max	14			

### Table 6.2D.4.4.3-3: FrequencyInfoUL: Test point 3

Derivation Path: TS 38.508-1 [5] Table 4.6.3-44 FrequencyInfoUL			
Information Element Value/remark Comment Conditi			
18			
	Value/remark	Value/remark Comment	

### 6.2D.4.5 Test requirement

The maximum output power measured shall not exceed the values specified in Table 6.2D.4.5-1.

Table 6.2D.4.5-1: P<sub>CMAX</sub> configured UE output power

	maximum output power	
Measured UE output power test point 1	0 dBm ± (7+TT)	
Measured UE output power test point 2	14 dBm ± (6+TT)	
Measured UE output power test point 3	18 dBm ± (5+TT)	
Note 1: TT for each frequency and channel bandwidth is specified in Table 6.2.4.5-2.  Note 2: In addition note 2 in Table 6.2.1.3-1 shall apply to the tolerances.		

### Table 6.2D.4.5-2: Test Tolerance (Minimum output power)

	f ≤ 3.0GHz	3.0GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6.0GHz
BW ≤ 40MHz	0.7 dB	1.0 dB	1.0 dB
40MHz < BW ≤ 100MHz	1.0 dB	1.0 dB	1.0 dB

# 6.3 Output power dynamics

# 6.3.1 Minimum output power

#### 6.3.1.1 Test purpose

To verify the UE's ability to transmit with a broadband output power below the value specified in the test requirement when the power is set to a minimum value.

#### 6.3.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.3.1.3 Minimum conformance requirements

The minimum controlled output power of the UE is defined as the power in the channel bandwidth for all transmit bandwidth configurations (resource blocks), when the power is set to a minimum value.

The minimum output power is defined as the mean power in one sub-frame TBD ms. The minimum output power shall not exceed the values specified in Table 6.3.1.3-1.

Channel bandwidth Minimum output power Measurement bandwidth (MHz) (dBm) (MHz) -40 4.515 5 -40 9.375 10 14.235 -40 15 20 -40 19.095 23.955 25 -39 30 -38.2 28.815 40 -37 38.895 50 -36 48.615 60 -35.2 58.35 80 78.15 -34 88.23 90 -33.5 100 98.31 -33

Table 6.3.1.3-1: Minimum output power

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.1.

## 6.3.1.4 Test description

### 6.3.1.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 6.3.1.4.1-1: Test Configuration Table

		Initial Conditions		
Test Environment as specified in TS 38.508-1		Normal, TL/VL, TL/VH, TH/VL, TH/VH		
[5] subclause 4				
Test Frequenc	ies as specified in TS 38.508-1	Low range, Mid range, High range		
[5] subclause 4	4.3.1			
Test Channel I	Bandwidths as specified in TS	Lowest, Mid, Highest		
38.508-1 [5] su	ıbclause 4.3.1			
Test SCS as s	pecified in Table 5.3.5-1	Lowest, Highest		
	Test Para	meters for Channel Bandwidths		
Test ID	Downlink Configuration	Uplink Conf	iguration	
	N/A for minimum output	Modulation	RB allocation (NOTE 1)	
	power			
1	test case	CP-OFDM QPSK	Outer Full	
NOTE 1: The	specific configuration of each RE	allocation is defined in Table 6.1-1.	•	

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.3.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3.1.4.3.

#### 6.3.1.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.3.1.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "down" commands in every uplink scheduling information to the UE; allow at least 200ms starting from the first TPC command in this step to ensure that the UE transmits at its minimum output power.
- 3. Measure the mean power of the UE in the associated measurement channel bandwidth specified in Table 6.3.1.5-1 for the specific channel bandwidth under test. The period of measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.

### 6.3.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with following exception.

## Table 6.3.1.4.3-1: PUSCH-Config

Derivation Path: TS 38.508-1 [5], Table 4.6.3-89 with condition CP-OFDM

#### 6.3.1.5 Test requirement

The minimum output power, derived in step 3 shall not exceed the values specified in Table 6.3.1.5-1.

Table 6.3.1.5-1: Minimum output power

Channel bandwidth (MHz)	Minimum output power (dBm)	Measurement bandwidth (MHz)	
5	-40+TT	4.515	
10	-40+TT	9.375	
15	-40+TT	14.235	
20	-40+TT	19.095	
25	-39+TT	23.955	
30	-38.2+TT	28.815	
40	-37+TT	38.895	
50	-36+TT	48.615	
60	-35.2+TT	58.35	
80	-34+TT	78.15	
90	-33.5+TT	88.23	
100	-33+TT	98.31	
NOTE 1: TT for each frequency and channel bandwidth is specified in Table 6.3.1.5-2			

Table 6.3.1.5-2: Test Tolerance (Minimum output power)

	f ≤ 3.0GHz	3.0GHz < f ≤ 6.0GHz
BW ≤ 40MHz	1.0 dB	1.3 dB
40MHz < BW ≤ 100MHz	1.3 dB	1.3 dB

# 6.3.2 Transmit OFF power

#### 6.3.2.1 Test purpose

To verify that the UE transmit OFF power is lower than the value specified in the test requirement.

## 6.3.2.2 Test applicability

The requirements of this test apply in test cases 6.3.3 Transmit ON/OFF time mask to all types of NR UE release 15 and forward.

#### 6.3.2.3 Minimum conformance requirements

Transmit OFF power is defined as the mean power in the channel bandwidth when the transmitter is OFF. The transmitter is considered OFF when the UE is not allowed to transmit or during periods when the UE is not transmitting a sub-frame. During DTX and measurements gaps, the transmitter is not considered OFF.

The transmit OFF power is defined as the mean power in a duration of at least one sub-frame (1ms) excluding any transient periods. The transmit OFF power shall not exceed the values specified in Table 6.3.2.3-1.

Table 6.3.2.3-1: Transmit OFF power

Channel bandwidth (MHz)	Transmit OFF power (dBm)	Measurement bandwidth (MHz)
5	-50	4.515
10	-50	9.375
15	-50	14.235
20	-50	19.095
25	-50	23.955
30	-50	28.815
40	-50	38.895
50	-50	48.615
60	-50	58.35
80	-50	78.15
100	-50	88.23

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.2.

An excess transmit OFF power potentially increases the Rise Over Thermal (RoT) and therefore reduces the cell coverage area for other UEs.

#### 6.3.2.4 Test description

This test is covered by clause 6.3.3 Transmit ON/OFF time mask.

#### 6.3.2.5 Test requirement

The requirement for the transmit OFF power shall not exceed the values specified in Table 6.3.2.5-1.

Table 6.3.2.5-1: Transmit OFF power

Channel bandwidth (MHz)	Transmit OFF power (dBm)	Measurement bandwidth (MHz)	
5	-50+TT	4.515	
10	-50+TT	9.375	
15	-50+TT	14.235	
20	-50+TT	19.095	
25	-50+TT	23.955	
30	-50+TT	28.815	
40	-50+TT	38.895	
50	-50+TT	48.615	
60	-50+TT	58.35	
80	-50+TT	78.15	
100	-50+TT	88.23	
NOTE 1: TT for each frequency and channel bandwidth is specified in Table 6.3.2.5-2			

Table 6.3.2.5-2: Test Tolerance (Transmit OFF power)

	f ≤ 3.0GHz	3.0GHz < f ≤ 6.0GHz
BW ≤ 40MHz	1.5 dB	1.8 dB
40MHz < BW ≤ 100MHz	1.7 dB	1.8 dB

## 6.3.3 Transmit ON/OFF time mask

#### 6.3.3.1 General

The transmit power time mask defines the transient period(s) allowed

- between transmit OFF power as defined in sub-clause 6.3.2 and transmit ON power symbols (transmit ON/OFF)
- between continuous ON-power transmissions with power change or RB hopping is applied.

In case of RB hopping, transition period is shared symmetrically.

Unless otherwise stated the minimum requirements in clause 6.5 apply also in transient periods.

In the following sub-clauses, following definitions apply:

- A slot transmission is a Type A transmission.
- A long subslot transmission is a Type B transmission with more than 2 symbols.
- A short subslot transmission is a Type B transmission with 1 or 2 symbols.

#### 6.3.3.2 General ON/OFF time mask

Editor's Note:

#### 6.3.3.2.1 Test purpose

To verify that the general ON/OFF time mask meets the requirements given in 6.3.3.2.5.

The transmit power time mask for transmit ON/OFF defines the transient period(s) allowed between transmit OFF power as defined in sub-clause 6.3.2 and transmit ON power symbols (transmit ON/OFF)

Transmission of the wrong power increases interference to other channels, or increases transmission errors in the uplink channel.

#### 6.3.3.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.3.3.2.3 Minimum conformance requirements

The general ON/OFF time mask defines the observation period between transmit OFF and ON power and between transmit ON and OFF power for each SCS. ON/OFF scenarios include: the beginning or end of DTX, measurement gap, contiguous, and non contiguous transmission, etc

The OFF power measurement period is defined in a duration of at least one slot excluding any transient periods. The ON power is defined as the mean power over one slot excluding any transient period.

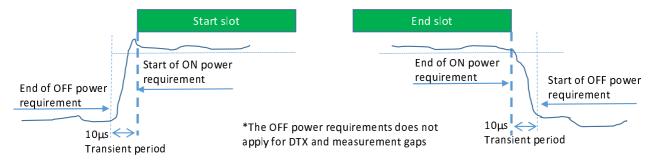


Figure 6.3.3.2.3-1: General ON/OFF time mask for NR UL transmission in FR1

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.3.2.

6.3.3.2.4 Test description

6.3.3.2.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, and are shown in table 6.3.3.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexe A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.3.3.2.4.1-1: Test Configuration Table

	Initial Conditions			
Test Environme	ent as specified in TS 38.508-1	Normal, TL/VL, TL/VH, TH/VL, TH/VH		
[5] subclause 4.	.1			
Test Frequencie	es as specified in TS 38.508-1	Low range, Mid range, High range		
[5] subclause 4.	3.1			
Test Channel B	andwidths as specified in TS	Lowest, Mid, Highest		
38.508-1 [5] sul	oclause 4.3.1			
Test SCS as sp	Test SCS as specified in Table 5.3.5-1 Lowest, Highest			
Test Parameters for Channel Bandwidths				
Test ID	Downlink Configuration	Uplink Configura	ation	
	N/A for minimum output	Modulation	RB allocation (NOTE 1)	
	power			
1	test case	CP-OFDM QPSK	Outer Full	
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.				

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.3.3.2.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3.3.2.4.3.

#### 6.3.3.2.4.2 Test procedure

- SS sends uplink scheduling information via PDCCH DCI format 0\_1 with TPC command 0dB for C\_RNTI to schedule the UL RMC according to Table 6.3.3.2.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The UL assignment is such that the UE transmits on slots 4 and 9 for15kHz SCS, on slots 9 and 19 for 30kHz SCS and on slots 4, 9, 14, 19, 24, 29, 34 and 39 for 60kHz SCS.
- 2. Measure the UE transmission OFF power during the slot prior to the PUSCH transmission, excluding a transient period of 10 µs in the end of the slot.
- 3. Measure the output power of the UE PUSCH transmission during one slot.
- 4. Measure the UE transmission OFF power during the slot following the PUSCH transmission, excluding a transient period of 10 µs at the beginning of the slot.

#### 6.3.3.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with following exceptions.

### Table 6.3.3.2.4.3-1: PUSCH-ConfigCommon

Derivation Path: TS 38.508-1[5], Table 4.6.3-90			
Information Element	Value/remark	Comment	Condition
PUSCH-ConfigCommon ::= SEQUENCE {			
p0-NominalWithGrant	-106		
}			

#### Table 6.3.3.2.4.3-2: PUSCH-Config

Derivation Path: TS 38.508-1 [5], Table 4.6.3-89 with condition CP-OFDM

#### 6.3.3.2.5 Test requirement

The requirement for the power measured in steps 2, 3 and 4 of the test procedure shall not exceed the values specified in Table 6.3.3.2.5-1.

Table 6.3.3.2.5-1: General ON/OFF time mask

	SCS			Cha	nnel band	vidth / mini	mum outp	ut power / i	measureme	ent bandwi	dth		
	[kHz]	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
Transmit OFF power			1				≤ -50+T	T dBm		1	•		
Transmission OFF Measurement bandwidth		4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	78.15	88.23	98.31
Expected Transmission ON	15	-9.62±9.0 ±TT	- 6.44±9.0 ±TT	- 4.62±9.0 ±TT	- 3.35±9.0 ±TT	- 2.36±9.0 ±TT	- 1.56±9.0 ±TT	- 0.26±9.0 ±TT	0.71±9.0 ±TT	N/A	N/A	N/A	N/A
Measured power for CP-OFDM	30	- 10.18±9.0 ±TT	- 6.79±9.0 ±TT	- 4.79±9.0 ±TT	- 3.51±9.0 ±TT	- 2.46±9.0 ±TT	- 1.67±9.0 ±TT	- 0.34±9.0 ±TT	0.65±9.0 ±TT	1.51±9.0 ±TT	2.77±9.0 ±TT	3.30±9.0 ±TT	3.77±9.0 ±TT
	60	N/A	- 7.17±9.0 ±TT	- 5.03±9.0 ±TT	- 3.78±9.0 ±TT	- 2.67±9.0 ±TT	- 1.78±9.0 ±TT	- 0.50±9.0 ±TT	0.55±9.0 ±TT	1.40±9.0 ±TT	2.71±9.0 ±TT	3.25±9.0 ±TT	3.72±9.0 ±TT
Expected Transmission ON	15	-9.62±9.0 ±TT	- 6.61±9.0 ±TT	- 4.85±9.0 ±TT	- 3.60±9.0 ±TT	- 2.53±9.0 ±TT	- 1.56±9.0 ±TT	- 0.26±9.0 ±TT	0.71±9.0 ±TT	N/A	N/A	N/A	N/A
Measured power for DFT-s-OFDM	30	- 10.59±9.0 ±TT	- 6.79±9.0 ±TT	- 5.03±9.0 ±TT	- 3.60±9.0 ±TT	- 2.53±9.0 ±TT	- 1.84±9.0 ±TT	- 0.59±9.0 ±TT	0.48±9.0 ±TT	1.51±9.0 ±TT	2.75±9.0 ±TT	3.21±9.0 ±TT	3.72±9.0 ±TT
NOTE 1: TT fo	60	N/A	7.58±9.0 ±TT	5.03±9.0 ±TT	3.78±9.0 ±TT	2.81±9.0 ±TT	- 2.02±9.0 ±TT	- 0.59±9.0 ±TT	0.48±9.0 ±TT	1.17±9.0 ±TT	2.42±9.0 ±TT	3.21±9.0 ±TT	3.72±9.0 ±TT

Table 6.3.3.2.5-2: Test Tolerance for OFF power

	f ≤ 3.0GHz	3.0GHz < f ≤ 6GHz
BW ≤ 40MHz	1.5 dB	1.8 dB
40MHz < BW ≤ 100MHz	1.7 dB	1.8 dB

Table 6.3.3.2.5-3: Test Tolerance for ON power

	f ≤ 3.0GHz	3.0GHz < f ≤ 6GHz
BW ≤ 40MHz	1.5 dB	1.8 dB
40MHz < BW ≤ 100MHz	1.7 dB	1.8 dB

## 6.3.3.3 Transmit power time mask for slot and [mini-slot] boundaries

**FFS** 

#### 6.3.3.4 PRACH time mask

#### 6.3.3.4.1 Test purpose

To verify that the PRACH time mask meets the requirements given in 6.3.3.4.5.

The time mask for PRACH time mask defines the transient period(s)allowed between transmit OFF power and transmit ON power when transmitting the PRACH.

Transmission of the wrong power increases interference to other channels, or increases transmission errors in the uplink channel

## 6.3.3.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.3.3.4.3 Minimum conformance requirements

The PRACH ON power is specified as the mean power over the PRACH measurement period excluding any transient periods as shown in Figure 6.3.3.4.3-1. The measurement period for different PRACH preamble format is specified in Table 6.3.3.4.3-1.

Table 6.3.3.4.3-1: PRACH ON power measurement period

PRACH preamble format	SCS (kHz)	Measurement period (ms)				
0	1.25	0.903125				
1	1.25	2.284375				
2	1.25	3.352604				
3	5	0.903125				
0.4	15	0.142708				
A1	30	0.071354				
A2	15	0.285417				
A2	30	0.142708				
A3	15	0.428125				
AS	30	0.2140625				
B1	15	0.140365				
ы	30	0.070182				
B4	15	0.83046875				
Б4	30	0.415234375				
	15 30	0.142708 ms for first six occasion				
A1/B1		0.140365 ms for the last occasion				
A I/B I		0.071354 ms for first six occasion				
	30	0.070182 ms for the last occasion				
	15	0.285417 ms for first two occasion				
A2/B2	10	0.278385 ms for the third occasion				
7.2,82	30	0.142708 ms for first two occasion				
		0.1391925 ms for the third occasion				
	15	0.428125 ms for the first occasion				
A3/B3		0.41640625 ms for the second occasion				
7.0,20	30	0.2140625 ms for the first occasion				
		0.208203125 ms for the second occasion				
C0	15	0.10703125				
	30	0.053515625				
C2	15	0.333333				
<u> </u>	30	0.166667				
NOTE: For PRACH o						
boundary of 0.5ms of the subframe, the measurement period will plus 0.032552µs						

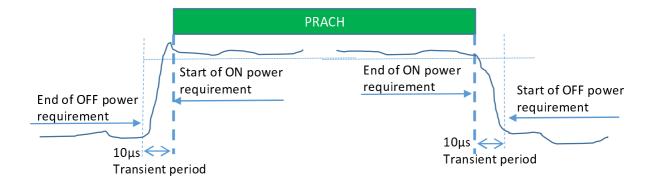


Figure 6.3.3.4.3-1: PRACH ON/OFF time mask

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.3.4.

6.3.3.4.4 Test description

6.3.3.4.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.2-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3.3.4.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes [TBD]. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Initia	Conditions				
Test Environment as specified in TS 38.508-1 [5] Normal, TL/VL, TL/VH, TH/VH subclause 4.1					
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1	Mid range				
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1	Lowest, Mid, Highest				
Test SCS as specified in Table 5.3.5-1	SCS defined in TS 38.211 [8] subclause 6.3.3.2				
PRACH p	reamble format				
	Paired Spectrum	Unpaired Spectrum			
PRACH Configuration Index	4 (long sequence), 160 (short sequence)	15 (long sequence), 131 (short sequence)			

Table 6.3.3.4.4.1-1: Test Configuration Table

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. Propagation conditions are set according to Annex B.0.
- 5. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3.3.4.4.3.

#### 6.3.3.4.4.2 Test procedure

1. The SS shall signal a Random Access Preamble ID via a PDCCH order to the UE and initiate a Non-contention based Random Access procedure.

- 2. The UE shall send the signalled preamble to the SS.
- 3. The SS measure the UE transmission OFF power during the slot preceding the PRACH preamble excluding a transient period of 10 µs according to Figure 6.3.3.4.3-1.
- 4. Measure the output power of the transmitted PRACH preamble according to Figure 6.3.3.4.3-1.
- 5. Measure the UE transmission OFF power, starting  $10 \,\mu s$  after the PRACH preamble ends for a measurement period.

#### 6.3.3.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.3 with the following exceptions:

Table 6.3.3.4.4.3-1: RACH-ConfigGeneric: PRACH measurement

Derivation Path: TS 38.331 [6], clause 6.3.2			
Information Element	Value/remark	Comment	Condition
RACH-ConfigGeneric ::= SEQUENCE {			
prach-ConfigurationIndex	4	Paired Spectrum	PRACH
			Format 0
	160	Paired Spectrum	PRACH
			Format A3
	15	Unpaired	PRACH
		Spectrum	Format 0
	131	Unpaired	PRACH
		Spectrum	Format A3
powerRampingStep	dB0		
}			

Table 6.3.3.4.4.3-2: ServingCellConfigCommonSIB: PRACH measurement

Derivation Path: TS 38.331 [6], clause 6.3.2			
Information Element	Value/remark	Comment	Condition
ServingCellConfigCommonSIB ::= SEQUENCE {			
ss-PBCH-BlockPower	32		
}			

### 6.3.3.4.5 Test requirement

The requirement for the power measured in steps (3), (4) and (5) of the test procedure shall not exceed the values specified in Table 6.3.3.4.5-1.

Table 6.3.3.4.5-1: PRACH time mask

				Channel ba	ndwidth / m	inimum outp	out power / n	neasuremen	t ba
	5	10	15	20	25	30	40	50	
	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	
Transmit OFF power						≤ -50+ <sup>-</sup>	TT dBm		
Transmission OFF Measurement bandwidth	4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	
Expected PRACH Transmission ON Measured Power	-1 dBm	-1 dBm	-1 dBm	-1 dBm	-1 dBm	-1 dBm	-1 dBm	-1 dBm	_
ON Power Tolerance	± (9+TT)dB								
NOTE 1: TT for each f	NOTE 1: TT for each frequency and channel bandwidth is specified in Table 6.3.3.4.5-2								

Table 6.3.3.4.5-2: Test Tolerance (Transmit OFF power and PRACH time mask)

	f ≤ 3.0GHz	3.0GHz < f ≤ 6.0GHz
BW ≤ 40MHz	1.5 dB	1.8 dB
40MHz < BW ≤ 100MHz	1.7 dB	1.8 dB

6.3.3.5.1 Long PUCCH time mask

**FFS** 

6.3.3.5.2 Short PUCCH time mask

**FFS** 

#### 6.3.3.6 SRS time mask

#### Editor's Note:

- Test Configuration Table and Test requirements are not complete

### 6.3.3.6.1 Test purpose

To verify that the SRS time mask meets the requirements given in 6.3.3.6.5.

The time mask for SRS time mask defines the transient period(s) allowed between transmit OFF power and transmit ON power when transmitting the SRS.

Transmission of the wrong power increases interference to other channels, or increases transmission errors in the uplink channel.

#### 6.3.3.6.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.3.3.6.3 Minimum conformance requirements

For SRS transmission mapped to one OFDM symbol, the ON power is defined as the mean power over the symbol duration excluding any transient period; Figure 6.3.3.6.3-1

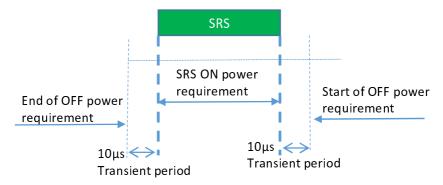


Figure 6.3.3.6.3-1: Single SRS time mask for NR UL transmission

For SRS transmission mapped to two OFDM symbols the ON power is defined as the mean power for each symbol duration excluding any transient period. See Figure 6.3.3.6.3-2

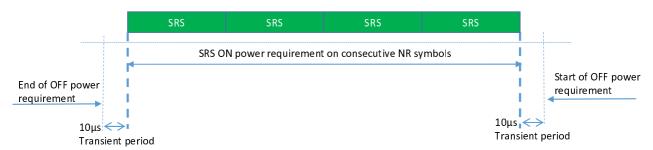


Figure 6.3.3.6.3-2: Consecutive SRS time mask for the case when no power change is required

When power change between consecutive SRS transmissions is required, then Figure 6.3.3.6.3-3 and Figure 6.3.3.6.3-4 apply.

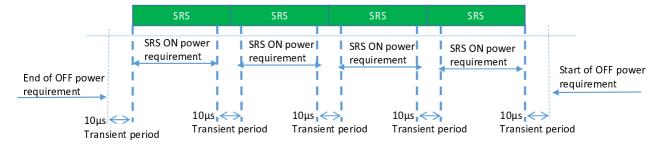


Figure 6.3.3.6.3-3: Consecutive SRS time mask for the case when power change is required and when 15kHz and 30kHz SCS is used in FR1

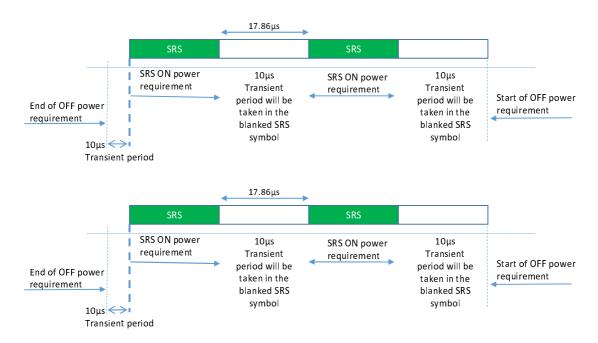


Figure 6.3.3.6.3-4: Consecutive SRS time mask for the case when power change is required and when 60kHz SCS is used in FR1

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.3.6.

### 6.3.3.6.4 Test description

#### 6.3.3.6.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, and are shown in table 6.3.3.6.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexe A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 6.3.3.6.4.1-1: Test Configuration Table

Initial Conditions									
Test Environment as specified in TS 38.508-1 [5] subclause 4.1	Normal, TL/VL, TL/VH, TH/VL, TH/VH								
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1	Mid range								
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1	Lowest, Mid, Highest								
SRS configuration	SCS defined in TS 38.211 [8] subclause								
Test SCS as specified in Table 5.3.5-1	6	.3.3.2							
	Paired Spectrum	Unpaired Spectrum							
srs-BandwidthConfig	Defined in TS 38.211 [8] subclause 6.4.1.4.3								
srs-ConfigIndex	FFS	FFS							

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. Propagation conditions are set according to Annex B.0.
- 5. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3.3.6.4.3.

#### 6.3.3.6.4.2 Test procedure

- 1. The SS measure the UE transmission OFF power during the 13 symbols preceding the SRS symbol excluding a transient period of 10 μs according to Figure 6.3.3.6.3-1. For TDD UE, the SS measure the UE transmission OFF power during the 8 symbols preceding the two SRS symbols excluding a transient period of 10μs according to Figure 6.3.3.6.3-2.
- 2. Measure the output power of the transmitted SRS according to Figure 6.3.3.6.3-1 for FDD UE and according to Figure 6.3.3.6.3-2 for TDD UE, The transient periods are excluded from measurement accordingly.
- 3. Measure the UE transmission OFF power during the slot following the SRS under test, excluding a transient period of 10 µs according to Figure 6.3.3.6.3-1 for FDD UE and according to Figure 6.3.3.6.3-2 for TDD UE.

# 6.3.3.6.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

# 6.3.3.6.5 Test requirement

The requirement for the power measured in steps (1), (2) and (3) of the test procedure shall not exceed the values specified in Table 6.3.3.6.5-1.

Table 6.3.3.6.5-1: SRS time mask

	SCS			Cha	nnel bandy	width / mini	mum outp	ut power /	measureme	nt bandwi	ď
	[kHz]	5	10	15	20	25	30	40	50	60	Τ
		MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	
Transmit							≤ -50+T	T dBm			
OFF power											
Transmission OFF		4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	
Measurement bandwidth											
Expected	15	-9.62±9.0	-	-	-	-	-	-	0.71±9.0	N/A	Ī
SRS		±TT	6.44±9.0	4.62±9.0	3.35±9.0	2.36±9.0	1.56±9.0	0.26±9.0	±TT		
Transmission ON			±TT	±TT	±TT	±TT	±TT	±TT			
Measured	30	-	-	-	-	-	-	-	0.65±9.0	1.51±9.0	
power for		10.18±9.0	6.79±9.0	4.79±9.0	3.51±9.0	2.46±9.0	1.67±9.0	0.34±9.0	±TT	±TT	
CP-OFDM		±TT	±TT	±TT	±TT	±TT	±TT	±TT			
	60	N/A	-	-	-	-	-	-	0.55±9.0	1.40±9.0	
			7.17±9.0	5.03±9.0	3.78±9.0	2.67±9.0	1.78±9.0	0.50±9.0	±TT	±TT	
			±TT	±TT	±TT	±TT	±TT	±TT			
Expected	15	-9.62±9.0	-	-	-	-	-	-	0.71±9.0	N/A	
Transmission		±TT	6.61±9.0	4.85±9.0	3.60±9.0	2.53±9.0	1.56±9.0	0.26±9.0	±TT		
ON			±TT	±TT	±TT	±TT	±TT	±TT			
Measured	30	-	-	-	-	-	-	-	0.48±9.0	1.51±9.0	
power for		10.59±9.0	6.79±9.0	5.03±9.0	3.60±9.0	2.53±9.0	1.84±9.0	0.59±9.0	±TT	±TT	
DFT-s-OFDM		±TT	±TT	±TT	±TT	±TT	±TT	±TT			
	60	N/A	-	-	-	-	-	-	0.48±9.0	1.17±9.0	
			7.58±9.0	5.03±9.0	3.78±9.0	2.81±9.0	2.02±9.0	0.59±9.0	±TT	±TT	
			±TT	±TT	±TT	±TT	±TT	±TT			1

Table 6.3.3.6.5-2: Test Tolerance for ON/OFF power

	f ≤ 3.0GHz	3.0GHz < f ≤ 6GHz
BW ≤ 40MHz	1.5 dB	1.8 dB
40MHz < BW ≤ 100MHz	1.7 dB	1.8 dB

# 6.3.3.7 PUSCH-PUCCH and PUSCH-SRS time masks

#### Editor's Note:

- Measurement uncertainty and Test tolerance are not complete
- Test Configuration Table, Test procedure, Message contents and Test requirement for SRS are not complete

#### 6.3.3.7.1 Test purpose

To verify that the PUSCH-PUCCH and PUSCH-SRS time mask meets the requirements given in 6.3.3.7.5.

The time mask for PUSCH-PUCCH and PUSCH-SRS time mask defines the transient period(s) allowed between transmit OFF power and transmit ON power when transmitting the PUSCH-PUCCH and PUSCH-SRS.

Transmission of the wrong power increases interference to other channels, or increases transmission errors in the uplink channel.

## 6.3.3.7.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.3.3.7.3 Minimum conformance requirements

The PUCCH/PUSCH/SRS time mask defines the observation period between sounding reference symbol (SRS) and an adjacent PUSCH/PUCCH symbol and subsequent UL transmissions. The time masks apply for all types of frame structures and their allowed PUCCH/PUSCH/SRS transmissions unless otherwise stated.

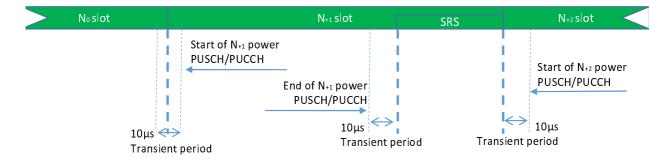


Figure 6.3.3.7.3-1: PUCCH/PUSCH/SRS time mask when there is a transmission before or after or both before and after SRS

When there is no transmission preceding SRS transmission or succeeding SRS transmission, then the same time mask applies as shown in Figure 6.3.3.7.3-1.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.3.7.

#### 6.3.3.7.4 Test description

#### 6.3.3.7.4.1 Initial condition

NOTE 1:

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, and are shown in table 6.3.3.7.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexe A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Initial Conditions** Test Environment as specified in TS 38.508-1 Normal, TL/VL, TL/VH, TH/VL, TH/VH [5] subclause 4.1 Test Frequencies as specified in TS 38.508-1 Mid range [5] subclause 4.3.1 Test Channel Bandwidths as specified in TS Lowest, Mid, Highest 38.508-1 [5] subclause 4.3.1 Test SCS as specified in Table 5.3.5-1 Lowest, Highest **Test Parameters for Channel Bandwidths Test ID Downlink Configuration Uplink Configuration RB allocation (NOTE 1)** N/A for minimum output Modulation power **CP-OFDM QPSK** test case Outer Full

Table 6.3.3.7.4.1-1: Test Configuration Table

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.

The specific configuration of each RB allocation is defined in Table 6.1-1.

- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. Propagation conditions are set according to Annex B.0.
- 5. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3.3.7.4.3.

#### 6.3.3.7.4.2 Test procedure

- 1. SS sends uplink scheduling information via PDCCH DCI format 0\_1 with TPC command 0dB for C\_RNTI to schedule the UL RMC according to Table 6.3.3.7.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The UL assignment is such that the UE transmits on slots 4 and 9 for15kHz SCS, on slots 9 and 19 for 30kHz SCS and on slots 4, 9, 14, 19, 24, 29, 34 and 39 for 60kHz SCS.
- 2. Measure the output power of the UE PUSCH/PUCCH transmission during one slot, excluding a transient period of  $10~\mu s$  at the beginning of the slot.
- 3. Excluding a transient period of 10 µs before SRS.
- 4. Excluding a transient period of 10 µs at the end of the slot after SRS.

#### 6.3.3.7.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with following exceptions.

#### Table 6.3.3.7.4.3-1: PUSCH-ConfigCommon

Derivation Path: TS 38.508-1[5], Table 4.6.3-90			
Information Element	Value/remark	Comment	Condition
PUSCH-ConfigCommon ::= SEQUENCE {			
p0-NominalWithGrant	-106		
}			

# Table 6.3.3.7.4.3-2: PUSCH-Config

Derivation Path: TS 38.508-1 [5], Table 4.6.3-89 with condition CP-OFDM

# 6.3.3.7.5 Test requirement

The requirement for the power measured in step (2) of the test procedure shall not exceed the values specified in Table 6.3.3.7.5-1.

Table 6.3.3.7.5-1: PUSCH-PUCCH and PUSCH-SRS time mask

	SCS		Channel bandwidth / minimum output power / measurement b										
	[kHz]	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz				
Expected PUSCH/PUCCH Transmission ON	15	9.6	6.4	4.6	3.3	2.3	1.5	0.2	0.7				
Measured power for CP- OFDM	30	10.1	6.7	4.7	3.5	2.4	1.6	0.3	0.6				
	60	N/A	7.1	5.0	3.7	2.6	1.7	0.5	0.5				
ON Power Tolerance ± (9+TT)dB													

NOTE 1: TT for each frequency and channel bandwidth is specified in Table 6.3.3.7.5-2

Table 6.3.3.7.5-2: Test Tolerance for ON power

	f ≤ 3.0GHz	3.0GHz < f ≤ 6GHz
BW ≤ 40MHz	1.5 dB	1.8 dB
40MHz < BW ≤ 100MHz	1.7 dB	1.8 dB

# 6.3.4 Power control

#### 6.3.4.1 General

The requirements on power control accuracy apply under normal conditions.

# 6.3.4.2 Absolute power tolerance

#### Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 [5] is FFS.
- SA message contents in TS 38.508-1 [5] subclause 4.6 is FFS
- Message Contents are tentative pending review of values for p0-NominalWithGrant
- Test requirement is TBD
- Test Tolerance is TBD for SCS60, needs to be higher due to shorter measurement period forced by UL-DL Config.

#### 6.3.4.2.1 Test purpose

To verify the ability of the UE transmitter to set its initial output power to a specific value at the start of a contiguous transmission or non-contiguous transmission with a long transmission gap, i.e. transmission gap is larger than 20ms.

#### 6.3.4.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

# 6.3.4.2.3 Minimum conformance requirements

The absolute power tolerance is the ability of the UE transmitter to set its initial output power to a specific value for the first sub-frame at the start of a contiguous transmission or non-contiguous transmission with a transmission gap larger than 20ms. The tolerance includes the channel estimation error.

The minimum requirement specified in Table 6.3.4.2.3-1 apply in the power range bounded by the minimum output power as specified in sub-clause 6.3.1 and the maximum output power as specified in sub-clause 6.2.1.

Table 6.3.4.2.3-1: Absolute power tolerance

Conditions	Tolerance
Normal	± 9.0 dB

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.4.2

6.3.4.2.4 Test description

6.3.4.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3.4.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.3.4.2.4.1-1: Test Configuration Table

		Initial Co	onditions					
Test Enviror subclause 4	nment as specified in TS 1	38.508-1 [5]	Normal					
Test Freque subclause 4	encies as specified in TS 4.3.1	38.508-1 [5]	Mid Range					
Test Chann [5] subclaus	el Bandwidths as specifi se 4.3.1	ed in TS 38.508-1	Lowest, Mid and Highest					
Test SCS a	s specified in Table 5.3.	5-1	Lowest and Highest					
		Test Pa	rameters					
Test ID	Downlink Co	nfiguration	Upli	nk Configuration				
	Modulation	RB Allocation	Modulation	RB allocation (NOTE 1)				
1	N/A for Absolute power	tolerance test case	CP-OFDM QPSK Outer_Full					
NOTE 1	: The specific configura	ation of each RF alloca	ation is defined in Table	6.1-1.				

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.3.4.2.4.1-1 and Table 6.3.4.2.4.1-2
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3.4.2.4.3. Note that PDCCH DCI format 0\_1 sent after resetting uplink power with RRC Connection Reconfiguration, should have TPC command 0dB.

#### 6.3.4.2.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 with TPC command 0dB for C\_RNTI to schedule the UL RMC according to Table 6.3.4.2.4.1-1 and Table 6.3.4.2.4.1-2. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Measure the initial output power of the first sub-frame (1ms) of UE PUSCH first transmission, or 1 slot (0.25ms) in the case of TDD SCS 60kHz.

3. Repeat for the two test points as indicated in section 6.3.4.2.4.3. The timing of the execution between the two test points shall be larger than 20ms.

# 6.3.4.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the following exceptions:

Table 6.3.4.2.4.3-1: UplinkPowerControlCommon: Test point 1

Information Element	Value/remark	Comment	Condition
PUSCH-ConfigCommon::= SEQUENCE {			
p0-NominalWithGrant	[-105]	Test point 1 to verify a UE relative low initial power transmission	

#### Table 6.3.4.2.4.3-2: UplinkPowerControlCommon: Test point 2

Derivation Path: TS 38.508-1 [5] subclause 4.6.3	Table 4.6.3-90 PUSCH-ConfigC	Common	
Information Element	Value/remark	Comment	Condition
PUSCH-ConfigCommon::= SEQUENCE {			
p0-NominalWithGrant	[-93]	Test point 2 to verify a UE relative high initial power transmission	
}			

#### Table 6.3.4.2.4.3-3: PUSCH-Config

Derivation Path: TS 38.508-1 [5], Table 4.6.3-89 with condition CP-OFDM

# 6.3.4.2.5 Test requirement

The requirement for the power measured in step (2) of the test procedure is not to exceed the values specified in Table 6.3.4.2.5-1 and 6.3.4.2.5-2.

Table 6.3.4.2.5-1: Absolute power tolerance: test point 1

				Chan	nel ban	dwidth /	/ expec	ted out	put po	wer (dE	3m)		
		5	10	15	20	25	30	40	50	60	80	90	100
		MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz
Expected Measured	SCS15	-6	-2.8	-1.0	0.3	1.2	2.0	3.3	4.3				
Expected Measured power	SCS30	-6.6	-3.2	-1.2	0.1	1.1	1.9	3.3	4.2	5.1	6.4	6.9	7.4
power	SCS60		-3.6	-1.4	-0.2	0.9	1.8	3.1	4.1	5.0	6.3	6.8	7.3
Power tolerance ± (9+TT)dB													
Note 1: The lower po	Note 1: The lower power limit shall not exceed the minimum output power requirements defined in sub-clause 6.3.2.3												
Note 2: TT for each													

Table 6.3.4.2.5-2: Absolute power tolerance: test point 2

		Channel bandwidth / expected output power (dBm)											
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
Expected Measured	SCS15	6	9.2	11.0	12.3	13.2	14.0	15.3	16.3				
•	SCS30	5.4	8.8	10.8	12.1	13.1	13.9	15.3	16.2	17.1	18.4	18.9	19.4
power	SCS60		8.4	10.6	11.8	12.9	13.8	15.1	16.1	17.0	18.3	18.8	19.3
Power tolerance	± (9+TT)dB												
Note 1: The lower po	wer limit sl	hall not e	exceed t	he minin	num out	out nowe	er requi	rements	define	d in sub	-clause	6323	}

Note 1: The lower power limit shall not exceed the minimum output power requirements defined in sub-clause 6.3.2.3 Note 2: TT for each duplex, Sub-Carrier Spacing, frequency and channel bandwidth is specified in Table 6.3.4.2.5-3.

Table 6.3.4.2.5-3: Test Tolerance

		f ≤ 3.0GHz	3.0GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6.0GHz
FDD, TDD SCS 15kHz	BW ≤ 40MHz	1.0 dB	1.4 dB	1.4 dB
& TDD SCS 30khz	40MHz < BW ≤ 100MHz	1.4 dB	1.4 dB	1.4 dB
TDD SCS 60kHz		[TBD]	[TBD]	[TBD]

# 6.3.4.3 Power Control Relative power tolerance

Editor's note: The following items are missing or incomplete:

- Test description is not complete, many TBD (references to missing tables in test requirement)
- Test requirement, missing tables

#### 6.3.4.3.1 Test purpose

To verify the ability of the UE transmitter to set its output power in a target sub-frame relatively to the power of the most recently transmitted reference sub-frame if the transmission gap between these sub-frames is larger than 20ms.

# 6.3.4.3.2 Test applicability

This test applies to all types of NR UE release 15 and forward.

## 6.3.4.3.3 Minimum conformance requirement

The UE shall meet the requirements specified in Table 6.3.4.3.3-1.

The minimum requirements specified in Table 6.3.4.3.3-1 apply when the power of the target and reference sub-frames are within the power range bounded by the minimum output power as defined in sub-clause 6.3.1 and the measured PUMAXas defined in sub-clause 6.2.4.

Table 6.3.4.3.3-1: Relative Power Tolerance

Power step ΔP (Up or down) (dB)	All combinations of PUSCH and PUCCH transitions (dB)	All combinations of PUSCH/PUCCH and SRS transitions between sub- frames (dB)	PRACH (dB)
ΔP < 2	± 2.0 (NOTE)	± 2.5	± 2.0
2 ≤ ΔP < 3	± 2.5	± 3.5	± 2.5
3 ≤ ΔP < 4	± 3.0	± 4.5	± 3.0
4 ≤ ΔP ≤ 10	± 3.5	± 5.5	± 3.5
10 ≤ ΔP < 15	± 4.0	± 7.0	± 4.0
15 ≤ ΔP	± 5.0	± 8.0	± 5.0

NOTE: For PUSCH to PUSCH transitions with the allocated resource blocks fixed in frequency and no transmission gaps other than those generated by downlink subframes, DwPTS fields or Guard Periods: for a power step  $\Delta P \le 1$  dB, the relative power tolerance for transmission is  $\pm$  0.7 dB.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.4.3.

6.3.4.3.4 Test description

6.3.4.3.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3.4.3.4.1-1 and table 6.3.4.3.4.1-2. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.3.4.3.4.1-1: Test Configuration Table

Initial Conditions				
Test Environment as specified in TS 38.508-1 [5]		Normal, TL/VL, TL/VH	H, TH/VL, TH/VH	
subclause 4				
	ncies as specified in TS	38.508-1 [5]	Low Range	
subclause 4		l: TO 00 500 4		
[5] subclaus	el Bandwidths as specifi	ed in 15 38.508-1	Lowest, Mid and High	lest
	s specified in TS 38.508	1 [5] subclause	Lowest and Highest	
4.3.1	s specified in 13 30.300	- I [J] Subclause	Lowest and riighest	
		Test Pa	rameters	
Ch BW	Downlink Co		Upli	nk Configuration
	Modulation	RB Allocation	Modulation	RB allocation (NOTE 1)
5MHz	N/A for Relative power	tolerance test case	DFT-s-OFDM QPSK	See Table 6.3.4.3.5-1
				See Table 6.3.4.3.5-2
				See Table 6.3.4.3.5-3
10MHz			DFT-s-OFDM QPSK	See Table TBD
15MHz			DFT-s-OFDM QPSK	See Table TBD
20MHz			DFT-s-OFDM QPSK	See Table TBD
25MHz			DFT-s-OFDM QPSK	See Table TBD
30MHz			DFT-s-OFDM QPSK	See Table TBD
40MHz			DFT-s-OFDM QPSK	See Table TBD
50MHz			DFT-s-OFDM QPSK	See Table TBD
60MHz			DFT-s-OFDM QPSK	See Table TBD
80MHz			DFT-s-OFDM QPSK	See Table TBD
90MHz			DFT-s-OFDM QPSK	See Table TBD
100MHz			DFT-s-OFDM QPSK	See Table TBD

Table 6.3.4.3.4.1-2: Uplink Configuration of each RB allocation

#### **FFS**

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.3.4.3.4.1-1 and Table 6.3.4.3.4.1-2.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5 Message contents are defined in clause 6.3.4.3.4.3.

# 6.3.4.3.4.2 Test procedure

The procedure is separated in various subtests to verify different aspects of relative power control. The power patterns of the subtests are described in figure 6.3.4.3.4.2-1 thru figure 6.3.4.3.4.2-5.

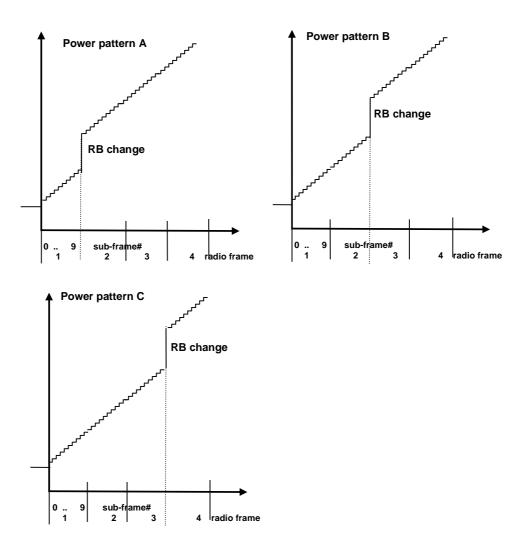
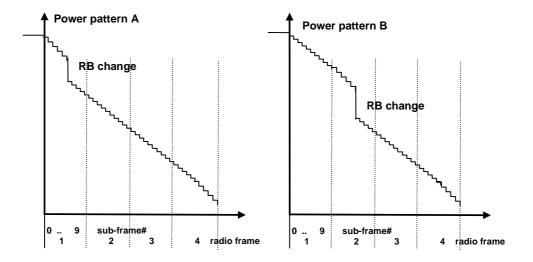


Figure 6.3.5.2.4.2-1: FDD ramping up test power patterns



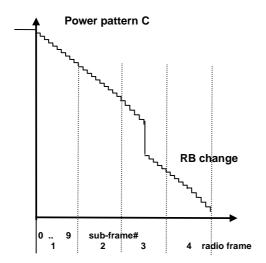
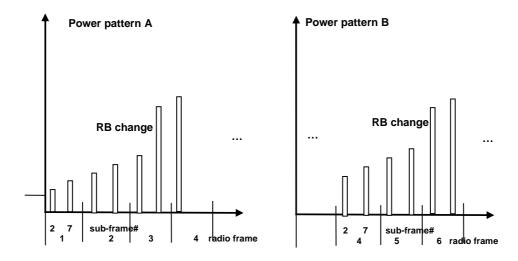


Figure 6.3.5.2.4.2-2: FDD ramping down test power patterns



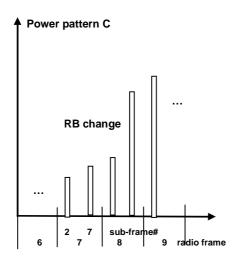
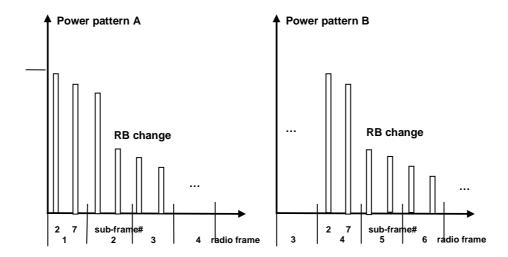


Figure 6.3.5.2.4.2-3: TDD ramping up test power patterns



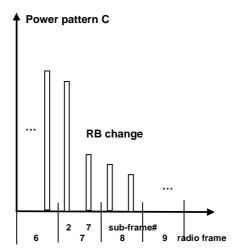


Figure 6.3.5.2.4.2-4: TDD ramping down test power patterns

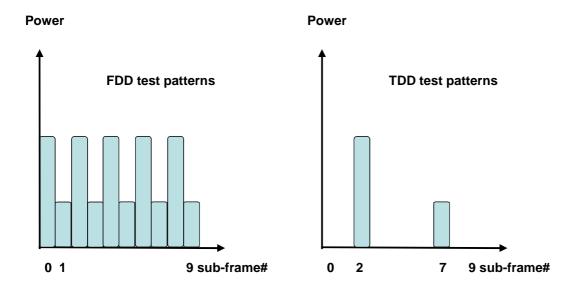


Figure 6.3.5.2.4.2-5: Alternating Test Power patterns

#### 1. Sub test: ramping up pattern

- 1.1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.3.4.3.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. Send the appropriate TPC commands for PUSCH to the UE to ensure that the UE transmits PUSCH at -30.3 dBm +/- 2.7 dB
- 1.2 Schedule the UE's PUSCH data transmission as described in Figure 6.3.4.3.4.2-1 (FDD pattern A: sub-test is divided in 4 arbitrary radio frames with 10 active uplink sub-frames per radio frame) and Figure 6.3.4.3.4.2--3 (TDD pattern A: sub-test is divided in 10 arbitrary radio frames with 4 active uplink sub-frames per radio frame) with an uplink RB allocation as defined in table 6.3.4.3.4.1-1 depending on channel bandwidth. On the PDCCH format 0\_1 for the scheduling of the PUSCH the SS will transmit a +1dB TPC command. Note that the measurement need not be done continuously, provided that interruptions are whole numbers of frames, and TPC commands of 0dB are sent during the interruption.
- 1.3 Measure the power of PUSCH transmissions to verify the UE relative power control meet test requirements 6.3.4.3.5. For power transients between subframes, transient periods of 40us between subframes are excluded. For ON/OFF or OFF/ON transients, transient periods of 20 us at the beginning of the subframe are excluded.
- 1.4 Repeat the subtest different pattern B, C to move the RB allocation change at different points in the pattern as described in Table 6.3.4.3.4.1-1 to force bigger UE power steps at various points in the power range.

#### 2. Sub test: ramping down pattern

- 2.1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.3.4.3.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. Send the appropriate TPC commands for PUSCH to the UE to ensure that the UE transmits PUSCH at 18 dBm +/- 2.7 dB.
- 2.2. Schedule the UE's PUSCH data transmission as described in Figure 6.3.4.3.4.2-2 (FDD pattern A: subtest is divided in 4 arbitrary radio frames with 10 active uplink sub-frames per radio frame) and Figure 6.3.4.3.4.2-1-4 (TDD pattern A: sub-test is divided in 10 arbitrary radio frames with 4 active uplink sub-frames per radio frame) with an uplink RB allocation as defined in table 6.3.4.3.4.1-1 depending on channel bandwidth. On the PDCCH format 0\_1 for the scheduling of the PUSCH the SS will transmit a -1dB TPC command. Note that the measurement need not be done continuously, provided that interruptions are whole numbers of frames, and TPC commands of 0dB are sent during the interruption.

- 2.3. Measure the power of PUSCH transmissions to verify the UE relative power control meet test requirements 6.3.4.4.5. For power transients between subframes, transient periods of 40us between subframes are excluded. For ON/OFF or OFF/ON transients, transient periods of 20 us at the beginning of the subframe are excluded.
- 2.4. Repeat the subtest different pattern B, C to move the RB allocation change at different points in the pattern as described in Table 6.3.4.3.4.1-1 to force bigger UE power steps at various points in the power range.

#### 3. Sub test: alternating pattern

- 3.1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.3.4.3.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. Send the appropriate TPC commands for PUSCH to the UE to ensure that the UE transmits PUSCH at -10 dBm +/- 2.7 dB. The initial uplink RB allocation is defined as the smaller uplink RB allocation value specified in table 6.3.4.3.4.1-1. The power level and RB allocation are reset for each sub-test.
- 3.2. Schedule the UE's PUSCH data transmission as described in Figure 6.3.4.3.4.2-5 for 10 sub-frames with an uplink RB allocation alternating pattern as defined in table 6.3.4.3.4.1-1 while transmitting 0dB TPC command for PUSCH via the PDCCH.
- 3.3. Measure the power of PUSCH transmissions to verify the UE relative power control meet test requirements specified in clause 6.3.4.3.5. For power transients between subframes, transient periods of 40us between subframes are excluded. For ON/OFF or OFF/ON transients, transient periods of 20 us at the beginning of the subframe are excluded.

# 6.3.4.3.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.3.4.3.5 Test requirement

Each UE power step measured in the test procedure 6.3.4.3.4.2 should satisfy the test requirements specified in Table 6.3.4.3.5-1 thru 6.3.4.3.5-36 for normal conditions; for extreme conditions an additional  $\pm 2.0$  dB relaxation is allowed.

Table 6.3.4.3.5-1: Test Requirements Relative Power Tolerance for Transmission, channel BW 5MHz, ramp up sub-test

Test SCS [kHz]	Sub- test ID	Applicable sub-frames	Uplink RB allocation	TPC command	power step size	Power step size range (Up)	PUSCH
					(Up) ΔP [dB]	ΔP [dB]	[dB]
		Subframes before RB change	1RB	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
	1	RB change	1RB to 2 RBs	TPC=+1dB	3.01	3dB ≤ ΔP < 4dB	3.01 +/- 3 + TT
		Subframes	Fixed = 2	TPC=+1dB			
		after RB change			1	ΔP ≤ 1 dB	1 +/-0.7 + TT
		Subframes before RB change	1RB	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
15	2	RB change	1RB to 5 RBs	TPC=+1dB	6.99	4dB ≤ ΔP < 10dB	6.99 +/- 3.5 + TT
		Subframes after RB change	Fixed = 2	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
		Subframes before RB change	1RB	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
	3	RB change	1RB to 15 RBs	TPC=+1dB	11.76	10dB ≤ ΔP < 15dB	11.76 +/- 4 + TT
		Subframes after RB change	Fixed = 15	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
		Subframes before RB change	1RB	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
	1	RB change	1RB to 2 RBs	TPC=+1dB	6.02	4dB ≤ ΔP < 10dB	6.02 +/- 4 + TT
		Subframes after RB change	Fixed = 2	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
		Subframes before RB change	1RB	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
30	2	RB change	1RB to 7 RBs	TPC=+1dB	11.46	10dB ≤ ΔP < 15dB	11.46 +/- 4 + TT
		Subframes after RB change	Fixed = 7	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
		Subframes before RB change	1RB	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
	3	RB change	1RB to 20 RBs	TPC=+1dB	16.02	15dB < ΔP	16.02 +/- 5 + TT
		Subframes after RB change	Fixed = 20	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
		Subframes before RB change	1RB	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
	1	RB change	1RB to 2 RBs	TPC=+1dB	9.03	10dB ≤ ΔP < 15dB	9.03 +/- 3.5 + TT
		Subframes after RB change	Fixed = 2	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
		Subframes before RB change	1RB	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
60	2	RB change	1RB to 3 RBs	TPC=+1dB	10.79	10dB ≤ ΔP < 15dB	10.79 +/- 4 + TT
		Subframes after RB change	Fixed = 3	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
		Subframes before RB change	1RB	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
	3	RB change	1RB to 20 RBs	TPC=+1dB	19.03	15dB < ΔP	19.03 +/- 5 + TT

	Subframes after RB change	Fixed = 20	TPC=+1dB	1	ΔP ≤ 1 dB	1 +/-0.7 + TT
	Pa Pa Note 2: Fo Note 3: Th	attern B the position attern C the position	n of RB uplink n of RB uplink n of RB uplink ns an addition	allocation ch allocation ch al ± 2.0 dB re	ange is after 10 active ange is after 20 active ange is after 30 active elaxation is allowed.	uplink subframes

# Table 6.3.4.3.5-2: Test Requirements Relative Power Tolerance for Transmission, channel BW 5MHz, ramp down sub-test

# Table 6.3.4.3.5-3: Test Requirements Relative Power Tolerance for Transmission, channel BW 5MHz, alternating sub-test

# 6.3.4.4 Aggregate power tolerance

Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 [5] is FFS.
- SA message contents in TS 38.508-1 [5] subclause 4.6 is FFS
- Test Tolerance is TBD

#### 6.3.4.4.1 Test purpose

To verify the ability of the UE transmitter to maintain its power during non-contiguous transmissions within 21ms in response to 0 dB commands with respect to the first UE transmission and all other power control parameters as specified in 38.213 kept constant.

#### 6.3.4.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

# 6.3.4.4.3 Minimum conformance requirements

The aggregate power control tolerance is the ability of the UE transmitter to maintain its power during non-contiguous transmissions within 21ms in response to 0 dB commands with respect to the first UE transmission and all other power control parameters as specified in 38.213 kept constant.

The minimum requirement specified in Table 6.3.4.4.3-1 apply in the power range bounded by the minimum output power as specified in sub-clause 6.3.1 and the maximum output power as specified in sub-clause 6.2.2.

Table 6.3.4.4.3-1: Aggregate power tolerance

TPC command	UL channel	Aggregate power tolerance within 21ms
0 dB	PUCCH	± 2.5 dB
0 dB	PUSCH	± 3.5 dB

The normative reference for this requirement is TS 38.01-1 [2] clause 6.3.4.4

6.3.4.4.4 Test description

#### 6.3.4.4.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in

table 6.3.4.4.4.1-1 and table 6.3.4.4.4.1-2. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 6.3.4.4.4.1-1: Test Configuration Table: PUCCH sub-test

	Initial Conditions				
	ent as specified in TS 38.508-1 [5]	Normal			
subclause 4.1					
Test Frequencie	es as specified in TS 38.508-1 [5]	Mid range			
subclause 4.3.1					
Test Channel Bandwidths as specified in TS 38.508-1 [5]		Lowest, Mid and Highest			
subclause 4.3.1					
Test SCS as sp	ecified in Table 5.3.5-1	Lowest and Highest			
	Test Parameters for Channel Bandwidths				
Test ID	Downlink Configuration	Uplink Configuration			
	N/A for aggregate power tolerance	PUCCH format = Format 1			
1	testcase	Length in OFDM symbols = 14			

# Table 6.3.4.4.4.1-2: Test Configuration Table: PUSCH sub-test

		Initial Conditions	
Test Environme	ent as specified in TS 38.508-1	Normal	
[5] subclause 4	.1		
Test Frequenci	es as specified in TS 38.508-1	Mid range	
[5] subclause 4	.3.1		
Test Channel Bandwidths as specified in TS		Lowest, Mid and Highest	
38.508-1 [5] subclause 4.3.1			
Test SCS as sp	pecified in Table 5.3.5-1	Lowest and Highest	
	Test Paran	neters for Channel Bandwidths	
Test ID	Downlink Configuration	Uplink Configura	ation
	N/A for aggregate power	Modulation	RB allocation (NOTE 1)
1	tolerance testcase	CP-OFDM QPSK	Outer_Full
NOTE 1: The specific configuration of each RF allocation is defined in Table 6.1-1.			

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to G.0, G.1, G.2, G.3.0.
- 4. The UL and DL Reference Measurement channels are set according to Table 6.3.4.4.1-1 (PUCCH sub-test) and Table 6.3.4.4.1-2 (PUSCH sub-test)
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3.4.4.4.3.

#### 6.3.4.4.4.2 Test procedure

The procedure is separated in two subtests to verify PUCCH and PUSCH aggregate power control tolerance respectively. The uplink transmission patterns are described in figure 6.3.4.4.4.2-1.

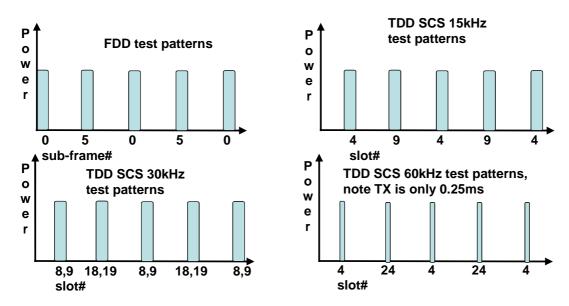


Figure 6.3.4.4.4.2-1 Test uplink transmission

#### 1. PUCCH sub test:

- 1.1 The SS transmits PDSCH via PDCCH DCI format  $0\_1$  for C\_RNTI to transmit the DL RMC according to Table 6.3.4.4.4.1-1. The SS sends downlink MAC padding bits on the DL RMC. The transmission of PDSCH will make the UE send uplink ACK/NACK using PUCCH. Send the appropriate TPC commands for PUCCH to the UE to ensure that the UE transmits PUCCH at 0dBm +/-3.5 dB+TT for carrier frequency 1.5 dB+TT frequency 1.5 dB+TT frequency 1.5 dB+TT f
- 1.2. Every 5 sub-frames (5ms) transmit to the UE downlink PDSCH MAC padding bits as well as 0 dB TPC command for PUCCH via the PDCCH to make the UE transmit ACK/NACK on the PUCCH for 1 sub-frame(1ms), or 1 slot (0.25ms) in the case of TDD SCS 60kHz. The downlink transmission is scheduled in the appropriate slots to make the UE transmit PUCCH as described in figure 6.3.4.4.2-1
- 1.3. Measure the power of 5 consecutive PUCCH transmissions to verify the UE transmitted PUCCH power is maintained within 21ms.

#### 2. PUSCH sub test:

- 2.1. The SS sends uplink scheduling information via PDCCH DCI format  $0_1$  for  $C_RNTI$  to schedule the PUSCH. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. Send the appropriate TPC commands for PUSCH to the UE to ensure that the UE transmits PUSCH at 0dBm + 2.5 dB + TT for carrier frequency 1.0dBm + 2.0dBm + 2.0
- 2.2. Every 5 sub-frames (5ms) schedule the UE's PUSCH data transmission for 1 sub-frame(1ms), or 1 slot (0.25ms) in the case of TDD SCS 60kHz,and transmit 0 dB TPC command for PUSCH via the PDCCH to make the UE transmit PUSCH. The uplink transmission patterns are described in figure 6.3.4.4.4.2-1,
- 2.3. Measure the power of 5 consecutive PUSCH transmissions to verify the UE transmitted PUSCH power is maintained within 21ms transmissions.

#### 6.3.4.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.3.4.4.5 Test requirement

The requirement for the power measurements made in step (1.3) and (2.3) of the test procedure shall not exceed the values specified in Table 6.3.4.4.5-1. The power measurement period shall be 1 sub-frame, or 1 slot (0.25ms) in the case of TDD SCS 60kHz.

Table 6.3.4.4.5-1: Power control tolerance

TPC command	UL channel	Test requirement measured power		
0 dB	PUCCH	Given 5power measurements in the pattern, the 2 <sup>nd</sup> , and later measurements shall be within ± 2.5dB of the 1 <sup>st</sup> measurement.		
0 dB	PUSCH	Given TBD power measurements in the pattern, the 2 <sup>nd</sup> , and later measurements shall be within ± 3.5dB of the 1 <sup>st</sup> measurement.		
	Note 1: For SCS 30kHz 1 sub-frame corresponds to 2 slots, so 2 TPC commands will be sent for a single measurement period.			
	TT for each duplex, Sub-Carrier Spacing, frequency and channel bandwidth is specified in Table 6.3.4.4.5-2.			

Table 6.3.4.4.5-2: Test Tolerance

[TBD]

# 6.3D

# 6.3D.1 Minimum output power for UL-MIMO

#### Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 [5] is FFS.
- SA message contents in TS 38.508-1 [5] subclause 4.6 is FFS
- Test config table is FFS
- Connection diagram is FFS
- MU/TT is still FFS

#### 6.3D.1.1 Test purpose

To verify the UE's ability to transmit with a UL-MIMO broadband output power below the value specified in the test requirement when the power is set to a minimum value.

#### 6.3D.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

# 6.3D.1.3 Minimum conformance requirements

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the minimum output power is defined as the sum of the mean power at each UE antenna connector in one sub-frame (1ms). The minimum output power shall not exceed the values specified in Table 6.3D.1.3-1.

Table 6.3D.1.3-1: Minimum output power

Channel bandwidth (MHz)	Minimum output power (dBm)	Measurement bandwidth (MHz)
5	-40	4.515
10	-40	9.375
15	-40	14.235
20	-40	19.095
25	-39	23.955
30	-38.2	28.815
40	-37	38.895
50	-36	48.615
60	-35.2	58.35
80	-34	78.15
90	-33.5	88.23
100	-33	98.31

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3D.1.

6.3D.1.4 Test description

6.3D.1.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, and channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3D.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 6.3D.1.4.1-1: Test Configuration Table

#### **FFS**

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure [FFS] for TE diagram and Figure [FFS] for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.3D.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3D.1.4.3.

#### 6.3D.1.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.3D.1.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with the condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2.
- 2. Send continuously uplink power control "down" commands in every uplink scheduling information to the UE; allow at least 200ms starting from the first TPC command in this step to ensure that the UE transmits at its minimum output power.
- 3. Measure the sum of mean power of the UE at each UE antenna connector in the associated measurement channel bandwidth specified in Table 6.3D.1.5-1 for the specific channel bandwidth under test. The period of

measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.

#### 6.3D.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with following exception.

Table 6.3D.1.4.3-1: PUSCH-Config

Derivation Path: TS 38.508-1 [5], Table 4.6.3-89 with condition CP-OFDM

#### 6.3D.1.5 Test requirement

The minimum output power, derived in step 3 shall not exceed the values specified in Table 6.3D.1.5-1.

Table 6.3D.1.5-1: Minimum output power

Channel bandwidth (MHz)	Minimum output power (dBm)	Measurement bandwidth (MHz)
5	-40+TT	4.515
10	-40+TT	9.375
15	-40+TT	14.235
20	-40+TT	19.095
25	-39+TT	23.955
30	-38.2+TT	28.815
40	-37+TT	38.895
50	-36+TT	48.615
60	-35.2+TT	58.35
80	-34+TT	78.15
90	-33.5+TT	88.23
100	-33+TT	98.31
NOTE 1: TT for each frequ	ency and channel bandwidth is	specified in Table 6.3D.1.5-2

Table 6.3D.1.5-2: Test Tolerance (Minimum output power)

	f ≤ 3.0GHz	3.0GHz < f ≤ 6.0GHz
BW ≤ 40MHz	FFS	FFS
40MHz < BW ≤ 100MHz	FFS	FFS

# 6.3D.2 Transmit OFF power for UL-MIMO

#### Editor's note:

- Test description is not complete

#### 6.3D.2.1 Test purpose

To verify that the UE transmit OFF power for UL-MIMO is lower than the value specified in the test requirement.

#### 6.3D.2.2 Test applicability

The requirements of this test apply in test cases 6.3D.3 Transmit ON/OFF time mask for UL-MIMO to all types of NR UE release 15 and forward that support UL-MIMO.

## 6.3D.2.3 Minimum conformance requirements

The transmit OFF power is defined as the mean power at each transmit connector in a duration of at least one sub-frame (1ms) excluding any transient periods. The transmit OFF power at each transmit connector shall not exceed the values specified in Table 6.3D.2.3-1.

Table 6.3D.2.3-1: Transmit OFF power

Channel bandwidth (MHz)	Transmit OFF power (dBm)	Measurement bandwidth (MHz)
5	-50	4.515
10	-50	9.375
15	-50	14.235
20	-50	19.095
25	-50	23.955
30	-50	28.815
40	-50	38.895
50	-50	48.615
60	-50	58.35
80	-50	78.15
90	-50	88.23
100	-50	98.31

Transmit OFF power is defined as the mean power in the channel bandwidth when the transmitter is OFF. The transmitter is considered OFF when the UE is not allowed to transmit or during periods when the UE is not transmitting a sub-frame. During DTX and measurements gaps, the transmitter is not considered OFF.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3D.2.

An excess transmit OFF power potentially increases the Rise Over Thermal (RoT) and therefore reduces the cell coverage area for other UEs.

#### 6.3D.2.4 Test description

This test is covered by clause 6.3D.3 Transmit ON/OFF time mask for UL-MIMO.

#### 6.3D.2.5 Test requirement

The requirement for the transmit OFF power at each transmit antenna connector shall not exceed the values specified in Table 6.3D.2.5-1.

Table 6.3D.2.5-1: Transmit OFF power

Channel bandwidth (MHz)	Transmit OFF power (dBm)	Measurement bandwidth (TBD)
5	-50+TT	
10	-50+TT	
15	-50+TT	
20	-50+TT	
25	-50+TT	
30	-50+TT	
40	-50+TT	
50	-50+TT	
60	-50+TT	
80	-50+TT	
90	-50+TT	
100	-50+TT	
NOTE 1: TT for each frequ	ency and channel bandwidth is	specified in Table 6.3D.2.5-2

Table 6.3D.2.5-2: Test Tolerance (Transmit OFF power)

	f ≤ 3.0GHz	3.0GHz < f ≤ 6GHz
BW ≤ 40MHz	1.5 dB	1.8 dB
40MHz < BW ≤ 100MHz	1.7 dB	1.8 dB

# 6.3D.3 Transmit ON/OFF time mask for UL-MIMO

#### Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 [2] is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Test config table is FFS
- Connection diagram is FFS

#### 6.3D.3.1 Test purpose

To verify that the general ON/OFF time mask for UL-MIMO meets the requirements given in 6.3D.3.5

The transmit power time mask for transmit ON/OFF defines the transient period(s) allowed between transmit OFF power as defined in sub-clause 6.3D.2 and transmit ON power symbols (transmit ON/OFF)

Transmission of the wrong power increases interference to other channels, or increases transmission errors in the uplink channel.

#### 6.3D.3.2. Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

#### 6.3D.3.3 Minimum conformance requirements

For UE with multiple transmit antenna connectors, the ON/OFF time mask requirements in subclause 6.3.3.2.3 apply to each transmit antenna connector.

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the general ON/OFF time mask requirements specified in subclause 6.3.3.2.3 apply to each transmit antenna connector with the UL-MIMO configurations specified in Table 6.3D.3.3-1.

Table 6.3D.3.3-1: UL-MIMO configuration in closed-loop spatial multiplexing scheme

Transmission scheme	DCI format	Codebook Index
Codebook based uplink	DCI format 0 1	Codebook index 0

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3D.3

#### 6.3D.3.4 Test description

# 6.3D.3.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, and are shown in table 6.3D.3.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexe A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 6.3D.3.4.1-1: Test Configuration Table

#### FFS

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure [FFS] for TE diagram and section [FFS] for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.

- 4. The UL Reference Measurement Channel is set according to Table 6.3D.3.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3D.3.4.3.

#### 6.3D.3.4.2 Test procedure

- 1. SS sends uplink scheduling information via PDCCH DCI format 0\_1 with TPC command 0dB for C\_RNTI to schedule the UL RMC according to Table 6.3D.3.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The UL assignment is such that the UE transmits on slots 4 and 9 for15kHz SCS, on slots 9 and 19 for 30kHz SCS and on slots 4, 9, 14, 19, 24, 29, 34 and 39 for 60kHz SCS. The PDCCH DCI format 0\_1 is specified with the condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2.
- 2. Measure the UE transmission OFF power during the slot prior to the PUSCH transmission, excluding a transient period of 10 µs in the end of the slot.
- 3. Measure the output power of the UE PUSCH transmission during one slot.
- 4. Measure the UE transmission OFF power during the slot following the PUSCH transmission, excluding a transient period of 10 μs at the beginning of the slot.
- 5. Repeat step 2) until 4) for each of transmit antenna of the UE

# 6.3D.3.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with following exceptions.

#### Table 6.3D.3.4.3-1: PUSCH-ConfigCommon

Derivation Path: TS 38.508-1[5], Table 4.6.3-90										
Information Element	Value/remark	Comment	Condition							
PUSCH-ConfigCommon ::= SEQUENCE {										
p0-NominalWithGrant	-106									
}										

#### Table 6.3D.3.4.3-2: PUSCH-Config

Derivation Path: TS 38.508-1 [5], Table 4.6.3-89 with condition CP-OFDM

#### 6.3D.3.5 Test requirement

The requirement for the power of each transmit antenna measured in steps 2, 3 and 4 of the test procedure shall not exceed the values specified in Table 6.3D.3.5-1.

Table 6.3D.3.5-1: General ON/OFF time mask

	SCS			С	hannel ban	dwidth / mir	nimum outpi	ut power / m	neasuremer	t bandwidth	1
	[kHz]	5	10	15	20	25	30	40	50	60	Π
		MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	L.
Transmit OFF power							≤ -50+T	T dBm			
Transmission OFF Measurement		4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	
bandwidth											
Expected	15	-9.62±9.0	-	-	-	-	-	-	0.71±9.0	N/A	П
Transmission ON		±TT	6.44±9.0 ±TT	4.62±9.0 ±TT	3.35±9.0 ±TT	2.36±9.0 ±TT	1.56±9.0 ±TT	0.26±9.0 ±TT	±TT		
Measured	30	-	-	-	-	-	-	-	0.65±9.0	1.51±9.0	2
power for CP-OFDM		10.18±9.0 ±TT	6.79±9.0 ±TT	4.79±9.0 ±TT	3.51±9.0 ±TT	2.46±9.0 ±TT	1.67±9.0 ±TT	0.34±9.0 ±TT	±TT	±TT	
OI OI DIVI	60	N/A							0.55±9.0	1.40±9.0	2
		14/71	7.17±9.0 ±TT	5.03±9.0 ±TT	3.78±9.0 ±TT	2.67±9.0 ±TT	1.78±9.0 ±TT	0.50±9.0 ±TT	±TT	±TT	
Expected	15	-9.62±9.0	-	-	-	-	-	-	0.71±9.0	N/A	T
Transmission		±TT	6.61±9.0	4.85±9.0	3.60±9.0	2.53±9.0	1.56±9.0	0.26±9.0	±TT		
ON Management	30		±TT	±TT	±TT	±TT	±TT	±TT	0.48±9.0	4.54.0.0	2
Measured	30	- 10.59±9.0	- 6.79±9.0	- - 02.00	3.60±9.0	2 52 . 0 0	- 1.84±9.0	- 0.59±9.0	0.48±9.0 ±TT	1.51±9.0 ±TT	-
power for DFT-s-OFDM		±TT	6.79±9.0 ±TT	5.03±9.0 ±TT	3.60±9.0 ±TT	2.53±9.0 ±TT	±TT	0.59±9.0 ±TT	±11	±II	
	60	N/A	-	-	-	-	-	-	0.48±9.0	1.17±9.0	2
			7.58±9.0 ±TT	5.03±9.0 ±TT	3.78±9.0 ±TT	2.81±9.0 ±TT	2.02±9.0 ±TT	0.59±9.0 ±TT	±TT	±TT	
NOTE 1: TT o	of each t	ransmit anter							3D 3 5-2 au	L nd Table 6.3	3D

Table 6.3D.3.5-2: Test Tolerance for OFF power

	f ≤ 3.0GHz	3.0GHz < f ≤ 6GHz
BW ≤ 40MHz	1.5 dB	1.8 dB
40MHz < BW ≤ 100MHz	1.7 dB	1.8 dB

Table 6.3D.3.5-3: Test Tolerance for ON power

	f ≤ 3.0GHz	3.0GHz < f ≤ 6GHz
BW ≤ 40MHz	1.5 dB	1.8 dB
40MHz < BW ≤ 100MHz	1.7 dB	1.8 dB

# 6.3D.4 Power control for UL-MIMO

# 6.3D.4.1 Absolute power tolerance for UL-MIMO

Editor's Note:- Test config table is FFS

- SA generic procedure is FFS
- MU/TT is still FFS
- Test procedure is FFS with measurement period undecided.

#### 6.3D.4.1.1 Test purpose

To verify the ability of the UE transmitter for UL-MIMO to set its initial output power to a specific value at the start of a contiguous transmission or non-contiguous transmission with a long transmission gap, i.e. transmission gap is larger than 20ms.

# 6.3D.4.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

#### 6.3D.4.1.3 Minimum conformance requirements

For UE supporting UL-MIMO, the power control tolerance applies to the sum of output power at each transmit antenna connector.

The power control requirements specified in subclause 6.3.4.2 apply to UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme. The requirements shall be met with UL-MIMO configurations described in Table 6.3D.4.1.3-1

Table 6.3D.4.1.3-1: UL-MIMO configuration in closed-loop spatial multiplexing scheme

Transmission scheme	DCI format	Codebook Index			
Codebook based uplink	DCI format 0_1	Codebook index 0			

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3D.4.

#### 6.3D.4.1.4 Test description

#### 6.3D.4.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3D.4.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 6.3D.4.1.4.1-1: Test Configuration Table

#### **FFS**

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.2 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.3D.4.1.4.1-1 and Table 6.3D.4.1.4.1-2
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3D.4.1.4.3. Note that PDCCH DCI format 0\_1 sent after resetting uplink power with RRC Connection Reconfiguration, should have TPC command 0dB.

#### 6.3D.4.1.4.2 Test procedure

Same test procedure as clause 6.3.4.2.4.2 with following exceptions.

The power of UE PUSCH fiest transmissions should be measured as the sum power at each antenna connector.

# 6.3D.4.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 ensuring Table 4.6.3-135 with condition 2TX\_UL\_MIMO and Table 4.6.3-89 with condition CP-OFDM.

#### 6.3D.4.1.5 Test requirement

The requirement for the power measured in step (2) of the test procedure is not to exceed the values specified in Table 6.3D.4.1.5-1 and 6.3D.4.1.5-2.

Table 6.3D.4.1.5-1: Absolute power tolerance: test point 1

			Channel bandwidth / expected output power (dBm)										
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
		IVITIZ	IVITIZ	IVITIZ	IVITIZ	IVITIZ	IVITIZ	IVITIZ	IVITIZ	IVITZ	IVITZ	IVITIZ	IVITZ
Expected Measured	SCS15	-6	-2.8	-1.0	0.3	1.2	2.0	3.3	4.3				
•	SCS30	-6.6	-3.2	-1.2	0.1	1.1	1.9	3.3	4.2	5.1	6.4	6.9	7.4
power	SCS60		-3.6	-1.4	-0.2	0.9	1.8	3.1	4.1	5.0	6.3	6.8	7.3
Power tolerance							± (9+TT	)dB					

Note 1: The lower power limit shall not exceed the minimum output power requirements defined in sub-clause 6.3.2.3 Note 2: TT for each duplex, Sub-Carrier Spacing, frequency and channel bandwidth is specified in Table 6.3D.4.1.5-3.

Table 6.3D.4.1.5-2: Absolute power tolerance: test point 2

			Channel bandwidth / expected output power (dBm)										
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
Cymaetad Magayyad	SCS15	6	9.2	11.0	12.3	13.2	14.0	15.3	16.3				
Expected Measured	SCS30	5.4	8.8	10.8	12.1	13.1	13.9	15.3	16.2	17.1	18.4	18.9	19.4
power	SCS60		8.4	10.6	11.8	12.9	13.8	15.1	16.1	17.0	18.3	18.8	19.3
Power tolerance		± (9+TT)dB											

Note 1: The lower power limit shall not exceed the minimum output power requirements defined in sub-clause 6.3.2.3 Note 2: TT for each duplex, Sub-Carrier Spacing, frequency and channel bandwidth is specified in Table 6.3D.4.1.5-3.

Table 6.3D.4.1.5-3: Test Tolerance

		f ≤ 3.0GHz	3.0GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6.0GHz
FDD, TDD SCS 15kHz	BW ≤ 40MHz	FFS	FFS	FFS
& TDD SCS 30khz	40MHz < BW ≤ 100MHz	FFS	FFS	FFS
TDD SCS 60kHz		FFS	FFS	FFS

# 6.3D.4.2 Relative power tolerance for UL-MIMO

#### Editor's Note:

- SA generic procedure is FFS- Test config table is FFS
- Test requirement is FFS

#### 6.3D.4.2.1 Test purpose

To verify the ability of the UE transmitter to set its output power in a target sub-frame relatively to the power of the most recently transmitted reference sub-frame if the transmission gap between these sub-frames is  $\leq 20$ ms.

#### 6.3D.4.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

#### 6.3D.4.2.3 Minimum conformance requirements

For UE supporting UL-MIMO, the power control tolerance applies to the sum of output power at each transmit antenna connector.

The power control requirements specified in subclause 6.3.4.3 apply to UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme. The requirements shall be met with UL-MIMO configurations described in Table 6.3D.4.2.3-1

Table 6.3D.4.2.3-1: UL-MIMO configuration in closed-loop spatial multiplexing scheme

Transmission scheme	DCI format	Codebook Index
Codebook based uplink	DCI format 0_1	Codebook index 0

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3D.4

6.3D.4.2.4 Test description

6.3D.4.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3D.4.2.4.1-1 and table 6.3D.4.2.4.1-2. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 6.3D.4.2.4.1-1: Test Configuration Table

**FFS** 

#### Table 6.3.4.2.4.1-2: Uplink Configuration of each RB allocation

**FFS** 

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.2 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.3D.4.2.4.1-1 and Table 6.3D.4.2.4.1-2.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3D.4.2.4.3.

#### 6.3D.4.2.4.2 Test procedure

Same test procedure as clause 6.3.4.3.4.2 with following exceptions.

The power of PUSCH transmissions should be measured as the sum power at each antenna connector.

#### 6.3D.4.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 ensuring Table 4.6.3-135 with condition 2TX\_UL\_MIMO and Table 4.6.3-89 with condition CP-OFDM.

6.3D.4.2.5 Test requirement

**FFS** 

# 6.3D.4.3 Aggregate power tolerance for UL-MIMO

Editor's Note:

- Test config table is FFS
- MU/TT is FFS

#### 6.3D.4.3.1 Test purpose

To verify the ability of the UE with UL-MIMO to maintain its power during non-contiguous transmissions within 21ms in response to 0 dB commands with respect to the first UE transmission and all other power control parameters as specified in 38.213 kept constant.

6.3D.4.3.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

6.3D.4.3.3 Minimum conformance requirements

For UE supporting UL-MIMO, the power control tolerance applies to the sum of output power at each transmit antenna connector.

The power control requirements specified in subclause 6.3.4.4 apply to UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme. The requirements shall be met with UL-MIMO configurations described in Table 6.3D.4.3.3-1

Table 6.3D.4.3.3-1: UL-MIMO configuration in closed-loop spatial multiplexing scheme

Transmission scheme	DCI format	Codebook Index
Codebook based uplink	DCI format 0_1	Codebook index 0

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3D.4

6.3D.4.3.4 Test description

6.3D.4.3.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3D.4.3.4.1-1 and table 6.3D.4.3.4.1-2. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.3D.4.3.4.1-1: Test Configuration Table: PUCCH sub-test

**FFS** 

# Table 6.3D.4.3.4.1-2: Test Configuration Table: PUSCH sub-test

FFS

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.2 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL and DL Reference Measurement channels are set according to Table 6.3D.4.3.4.1-1 (PUCCH sub-test) and Table 6.3D.4.3.4.1-2 (PUSCH sub-test)
- 5. Propagation conditions are set according to Annex B.0.

6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3D.4.3.4.3.

#### 6.3D.4.3.4.2 Test procedure

Same test procedure as clause 6.3.4.4.4.2 with following exceptions.

The power of PDCCH /PUSCH transmissions should be measured as the sum power at each antenna connector.

#### 6.3D.4.3.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 ensuring Table 4.6.3-135 with condition 2TX\_UL\_MIMO and Table 4.6.3-89 with condition CP-OFDM.

#### 6.3D.4.3.5 Test requirement

The requirement for the power measurements made in step (1.3) and (2.3) of the test procedure shall not exceed the values specified in Table 6.3D.4.3.5-1. The power measurement period shall be 1 sub-frame, or [TBD]slot in the case of TDD SCS 60kHz.

Table 6.3D.4.3.5-1: Power control tolerance

TPC	UL channel	Test requirement measured power	
commands			
		Given 5 power measurements in the pattern, the 2 <sup>nd</sup> , and later measurements shall be within ± 2.5dB+TT of the 1 <sup>st</sup> measurement.	
0 dB	PUSCH	Given 5 power measurements in the pattern, the 2 <sup>nd</sup> , and later measurements shall be within ± 3.5dB+TT of the 1 <sup>st</sup> measurement.	
	Note 1: For SCS 30kHz 1 sub-frame corresponds to 2 slots, so 2 TPC commands will be sent for a single measurement period.		
	T for each duplex, Sub-Carrier Spacing, frequency and channel bandwidth is specified in able 6.3D.4.3.5-2.		

Table 6.3D.4.3.5-2: Test Tolerance

**FFS** 

# 6.4 Transmit signal quality

In this clause a multitude of results are derived, all using one common algorithm returning these results: Global In-Channels TX-Test Annex E. Each sub clause of this clause contains a procedure and test requirements described for a specific measurement. If all relevant test parameters in different sub clauses are the same, then the results, returned by the Global In-Channel TX-Test, may be used across the applicable sub clauses.

# 6.4.1 Frequency error

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

Whether for TDD slots with transient periods are tested is FFS.

## 6.4.1.1 Test purpose

This test verifies the ability of both, the receiver and the transmitter, to process frequency correctly.

Receiver: to extract the correct frequency from the stimulus signal, offered by the System simulator, under ideal propagation conditions and low level.

Transmitter: to derive the correct modulated carrier frequency from the results, gained by the receiver.

#### 6.4.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.4.1.3 Minimum conformance requirements

The UE modulated carrier frequency shall be accurate to within  $\pm 0.1$  PPM observed over a period of 1 ms compared to the carrier frequency received from the NR Node B.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4.1

#### 6.4.1.4 Test description

#### 6.4.1.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.4.1.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.4.1.4.1-1: Test Configuration Table

		Initia	l Conditions	
Test Environment as specified in TS 38.508-1 [5]		Normal, TL/VL, TL/VH, TH/VL, TH/VH		
subclause 4.1				
Test Frequencies as specified in TS 38.508-1 [5]		Mid range		
subclause 4.3.1				
Test Channel Bandwidths as specified in TS 38.508-		Highest		
1 [5] subclause 4.3.1				
Test SCS as specified in Table 5.3.5-1		Smallest supported SCS per Channel Bandwidth		
		Test	Parameters	
Downlink Configuration		Uplink Configuration		
Test ID	Modulation	RB allocation	Modulation	RB allocation
1	CP-OFDM	Full RB (NOTE 1)	DFT-s-OFDM QPSK	REFSENS (NOTE 2)
	QPSK			
NOTE 1: Full RB allocation shall be used per each SCS and channel BW as specified in Table 7.3.2.4.1-2				
	NOTE 2: REFSENS refers to Table 7.3.2.4.1-3 which defines uplink RB configuration and start RB location for			

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The DL and UL Reference Measurement channels are set according to Table 6.4.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.

each SCS, channel BW and NR band.

6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4.1.4.3

# 6.4.1.4.2 Test procedure

- 1. SS transmits PDSCH via PDCCH DCI format [1\_0] for C\_RNTI to transmit the DL RMC according to Table 6.4.1.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4.1.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 3. Set the Downlink signal level to the appropriate REFSENS value defined in Table 7.3.2.5-1. Send continuously uplink power control "up" commands to the UE in every uplink scheduling information to the UE so that the UE

transmits at  $P_{UMAX}$  level for the duration of the test. Allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.

4. Measure the Frequency Error using Global In-Channel Tx-Test (Annex E). [For TDD slots with transient periods are not under test.]

#### 6.4.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.4.1.5 Test requirement

The 20 frequency error  $\Delta f$  results must fulfil the test requirement:

 $|\Delta f| \le (0.1 \text{ PPM} + 15 \text{ Hz})$ 

# 6.4.2 Transmit modulation quality

Transmit modulation defines the modulation quality for expected in-channel RF transmissions from the UE. This transmit modulation limit is specified in terms of:

- Error Vector Magnitude (EVM) for the allocated resources blocks (RB),
- EVM equalizer spectrum flatness derived from the equalizer coefficients generated by the EVM measurement process
- Carrier leakage
- In-band emissions for the non-allocated RB

All the parameters defined in subclause 6.4.2 are defined using the measurement methodology specified in Annex E.

#### 6.4.2.1 Error Vector Magnitude

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- 38.101-1 Clause 6.3.4.3: Relative power tolerances are in square brackets.
- Annex on Global In-Channel TX-Test contains TBDs for PRACH.

#### 6.4.2.1.1 Test Purpose

The Error Vector Magnitude is a measure of the difference between the reference waveform and the measured waveform. This difference is called the error vector. Before calculating the EVM the measured waveform is corrected by the sample timing offset and RF frequency offset. Then the carrier leakage shall be removed from the measured waveform before calculating the EVM.

The measured waveform is further equalised using the channel estimates subjected to the EVM equaliser spectrum flatness requirement specified in sub-clause 6.4.2.4.3. For DFT-s-OFDM waveforms, the EVM result is defined after the front-end FFT and IDFT as the square root of the ratio of the mean error vector power to the mean reference power expressed as a %. For CP-OFDM waveforms, the EVM result is defined after the front-end FFT as the square root of the ratio of the mean error vector power to the mean reference power expressed as a %.

The basic EVM measurement interval in the time domain is one preamble sequence for the PRACH and the duration of PUCCH/PUSCH channel, or one hop, if frequency hopping is enabled for PUCCH and PUSCH in the time domain. The EVM measurement interval is reduced by any symbols that contains an allowable power transient as defined in subclause 6.3.3.3.

#### 6.4.2.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

# 6.4.2.1.3 Minimum conformance requirements

The RMS average of the basic EVM measurements for 10 sub-frames excluding any transient period for the average EVM case, and 60 sub-frames excluding any transient period for the reference signal EVM case, for the different

modulations schemes shall not exceed the values specified in Table 6.4.2.1.3-1 for the parameters defined in Table 6.4.2.1.3-2. For EVM evaluation purposes, all PRACH preamble formats 0-4 and all PUCCH formats 1, 1a, 1b, 2, 2a and 2b are considered to have the same EVM requirement as QPSK modulated.

Table 6.4.2.1.3-1: Requirements for Error Vector Magnitude

Parameter	Unit	Average EVM Level
Pi/2-BPSK	%	30
QPSK	%	17.5
16 QAM	%	12.5
64 QAM	%	8
256 QAM	%	3.5

Table 6.4.2.1.3-2: Parameters for Error Vector Magnitude

Parameter	Unit	Level
UE Output Power	dBm	≥Table 6.3.1.3-1
UE Output Power for 256 QAM	dBm	≥ Table 6.3.1-1 + 10 dB
Operating conditions		Normal conditions

The normative reference for this requirement is TS 38.101 [2] clause 6.4.2.1.

6.4.2.1.4 Test description

6.4.2.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.4.2.1.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.4.2.1.4.1-1: Test Configuration Table for PUSCH

	In	itial Conditions		
Test Environment as specified in TS 38.508-1 [5]		Normal		
subclause 4.1		Lawrence Midnesses Lin	h	
subclause	encies as specified in TS 38.508-1 [5]	Low range, Mid range, Hig	n range	
	nel Bandwidths as specified in TS	Lowest, Highest		
	5] subclause 4.3.1	Lowest, Highest		
	as specified in Table 5.3.5-1	Lowest, mid and highest S	CS per Channel Bandwidth	
		est Parameters	•	
Test ID	Downlink Configuration		k Configuration	
	N/A	Modulation (NOTE 3)	RB allocation (NOTE 1)	
1 <sup>3</sup>		DFT-s-OFDM PI/2	Inner Full	
		BPSK		
<b>2</b> <sup>3</sup>		DFT-s-OFDM PI/2	Outer Full	
3		BPSK DFT-s-OFDM QPSK	Inner Full	
4		DFT-s-OFDM QPSK	Outer Full	
5		DFT-s-OFDM 16 QAM	Inner Full	
6		DFT-s-OFDM 16 QAM	Outer Full	
7		DFT-s-OFDM 64 QAM	Outer Full	
8		DFT-s-OFDM 256 QAM	Outer Full	
9		CP-OFDM QPSK	Inner Full	
10		CP-OFDM QPSK	Outer Full	
11		CP-OFDM 16 QAM	Inner Full	
12		CP-OFDM 16 QAM	Outer Full	
13		CP-OFDM 64 QAM	Outer Full	
14		CP-OFDM 256 QAM	Outer Full	
	NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.			
NOTE 2: Test Channel Bandwidths are checked separately for each NR band, which applicable channel				
	bandwidths are specified in Table 5.3.5-1.			
NOTE 3:	DFT-s-OFDM PI/2 BPSK test applies or	nly for UEs which supports h	alt Pi BPSK in FR1.	

# Table 6.4.2.1.4.1-2: Test Configuration Table for PUCCH

	<u> </u>	itial Conditions			
Test Environment as specified in TS 38.508-1 [5]		Normal			
subclause	e 4.1				
Test Fred	quencies as specified in TS 38.508-1 [5]	See Table 6.4.2.1.4.1-	See Table 6.4.2.1.4.1-1		
subclaus	e 4.3.1				
Test Cha	nnel Bandwidths as specified in TS	See Table 6.4.2.1.4.1-	1		
38.508-1 [5] subclause 4.3.1					
Test SCS	est SCS as specified in Table 5.3.5-1 See Ta		See Table 6.4.2.1.4.1-1		
	T	est Parameters			
ID	Downlink Configuration	Uplink Configuration			
	N/A	Waveform	PUCCH format		
1		CP-OFDM	FDD: PUCCH format = Format 1a		
			TDD: PUCCH format = Format 1a / 1b		
2		DFT-s-OFDM	FDD: PUCCH format = Format 1a		
			TDD: PUCCH format = Format 1a / 1b		
NOTE 1: Test Channel Bandwidths are checked separately for each NR band, which applicable channel			pand, which applicable channel		
_	bandwidths are specified in Table 5.3.5-	·1.			

# Table 6.4.2.1.4.1-3: Test Configuration for PRACH

Initial Conditions					
Test Environment as specified in TS 38.508-1 [5] subclause 4.1	Normal				
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1	See Table 6.4.2.1.4.1-1				
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1	See Table 6.4.2.1.4.1-1				
Test SCS as specified in Table 5.3.5-1	See Table 6.4.2.1.4.1-1				
PRAC	CH preamble format				
	FDD	TDD			
PRACH Configuration Index	17	52			
RS EPRE setting for test point 1 (dBm/15kHz)	-71	-65			
RS EPRE setting for test point 2 (dBm/15kHz)	-86	-80			

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.4.2.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4.2.1.4.3

### 6.4.2.1.4.2 Test procedure

Test procedure for PUSCH:

- 1.1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4.2.1.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 1.2 Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX\ level}$ , allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}\ level$ .
- 1.3 Measure the EVM and  $EVM_{DMRS}$  using Global In-Channel Tx-Test (Annex E).
- 1.4 For modulations except 256QAM, send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is in the range  $P_{min} + P_W \pm P_W$ , where  $P_{min}$  is the minimum output power according to Table 6.3.1.3-1 and  $P_W$  is the power window according to Table 6.4.2.1.4.2-1 for the carrier frequency f and the channel bandwidth BW.
  - For 256 QAM, send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is in the range  $P_{min}+10~dB+P_W\pm P_W$ , where  $P_{min}$  is the minimum output power according to Table 6.3.1.3-1 and  $P_W$  is the power window according to Table 6.4.2.1.4.2-1 for the carrier frequency f and the channel bandwidth BW
- 1.5 Measure the EVM and EVM DMRS using Global In-Channel Tx-Test (Annex E).

NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.4.2.1.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition..

Table 6.4.2.1.4.2-1: Power Window (dB) for EVM PUSCH and PUCCH

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 40MHz	[1.7]	[2.0]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.5]

### Test procedure for PUCCH:

- 2.1 PUCCH is set according to Table 6.4.2.1.4.1-2.
- 2.2 SS transmits PDSCH via PDCCH DCI format [0\_1] for C\_RNTI to transmit the DL RMC according to Table 6.4.2.1.4.1-2. The SS sends downlink MAC padding bits on the DL RMC. The transmission of PDSCH will make the UE send uplink ACK/NACK using PUCCH. There is no PUSCH transmission.
- 2.3~SS send appropriate TPC commands for PUCCH to the UE until the UE transmit PUCCH at  $P_{UMAX}$  level. Allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
- 2.4 Measure PUCCH EVM using Global In-Channel Tx-Test (Annex E).
- 2.5 Send the appropriate TPC commands for PUCCH to the UE until the UE transmits PUCCH at  $P_{min} + P_W \pm P_W$ , where  $P_{min}$  is the minimum output power according to Table 6.3.1.3-1 and  $P_W$  is the power window according to Table 6.4.2.1.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 2.6 Measure PUCCH EVM using Global In-Channel Tx-Test (Annex E).
- NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.4.2.1.4.1-2, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition.

### Test procedure for PRACH:

- 3.1 The SS shall set RS EPRE according to Table 6.4.2.1.4.1-3.
- 3.2 PRACH is set according to Table 6.4.2.1.4.1-3.
- 3.3 The SS shall signal a Random Access Preamble ID via a PDCCH order to the UE and initiate a Non-contention based Random Access procedure.
- 3.4 The UE shall send the signalled preamble to the SS.
- 3.5 In response to the preamble, the SS shall transmit a random access response not corresponding to the transmitted random access preamble, or send no response.
- 3.6 The UE shall consider the random access response reception not successful then re-transmit the preamble with the calculated PRACH transmission power.
- 3.7 Repeat step 5 and 6 until the SS collect enough PRACH preambles ([2] preambles for format 0 and [10] preambles for format 4). Measure the EVM in PRACH channel using Global In-Channel Tx-Test (Annex E).

# 6.4.2.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

# 6.4.2.1.5 Test requirement

The PUSCH EVM, derived in Annex E.4.2, shall not exceed the values in Table 6.4.2.1.5-1.

The PUSCH  $EVM_{DMRS}$ , derived in Annex E.4.6.2, shall not exceed the values in Table 6.4.2.1.5-1 when embedded with data symbols of the respective modulation scheme.

Table 6.4.2.1.5-1: Test requirements for Error Vector Magnitude

Parameter	Unit	Average EVM Level		
Pi/2-BPSK	%	30 + TT		
QPSK	%	17.5 + TT		
16 QAM	%	12.5 + TT		
64 QAM	%	8 + TT		
256 QAM	%	3.5 + TT		
Note 1: TT is defined in Table 6.4.2.1.5-2.				

Table 6.4.2.1.5-2: Test Tolerance

Parameter	Unit	Average EVM Level
Pi/2-BPSK	%	0
QPSK	%	0
16 QAM	%	0
64 QAM	%	0
256 QAM	%	0.3 for 15 dBm < P <sub>UL</sub>
		0.8 for -25 dBm < P∪∟≤ 15 dBm
		1.1 for -40dBm ≤ P <sub>UL</sub> ≤ -25dBm

The PUCCH EVM derived in Annex E.5.9.2 shall not exceed 17.5 %.

The PRACH EVM derived in Annex E.6.9.2 shall not exceed 17.5%.

# 6.4.2.2 Carrier leakage

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

38.101-1 [2] Clause 6.3.4.3: Relative power tolerances are in square brackets.

# 6.4.2.2.1 Test purpose

Carrier leakage expresses itself as unmodulated sine wave with the carrier frequency or centre frequency of aggregated transmission bandwidth configuration. It is an interference of approximately constant amplitude and independent of the amplitude of the wanted signal.

The purpose of this test is to exercise the UE transmitter to verify its modulation quality in terms of carrier leakage.

### 6.4.2.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

# 6.4.2.2.3 Minimum conformance requirements

Carrier leakage is an additive sinusoid waveform whose frequency is the same as the modulated waveform carrier frequency. The measurement interval is one slot in the time domain.

In the case that uplink sharing, the carrier leakage may have 7.5 kHz shift with the carrier frequency.

The relative carrier leakage power is a power ratio of the additive sinusoid waveform and the modulated waveform. The relative carrier leakage power shall not exceed the values specified in Table 6.4.2.2-1.

Table 6.4.2.2.3-1: Requirements for Carrier Leakage

Parameter	Relative Limit [dBc]
Output power > 10 dBm	-28
0 dBm ≤ Output power ≤10 dBm	-25
-30 dBm ≤ Output power ≤ 0 dBm	-20
-40 dBm ≤ Output power < -30 dBm	-10

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4.2.2.

### 6.4.2.2.4 Test description

#### 6.4.2.2.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.4.2.2.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

### Table 6.4.2.2.4.1-1: Test Configuration

Initial Conditions					
Test Enviror subclause 4	nment as specified in TS 38.508-1 [5] .1	Normal			
Test Freque subclause 4	encies as specified in TS 38.508-1 [5] .3.1	38.508-1 [5] Low range, Mid range, High range			
	el Bandwidths as specified in TS subclause 4.3.1	Mid			
Test SCS as	s specified in Table 5.3.5-1	Smallest supported SCS per Channel Bandwidth			
Test Parameters					
Test ID	Downlink Configuration	Uplin	k Configuration		
	N/A	Modulation RB allocation (NOTE 1,			
1		DFT-s-OFDM QPSK	Inner_1RB_Left		
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.					

- NOTE 2: Test Channel Bandwidths are checked separately for each NR band, which applicable channel bandwidths are specified in Table 5.3.5-1.
- NOTE 3: When the signalled DC carrier position is at Inner\_1RB\_Left, use Inner\_1RB\_Right for UL RB allocation.
- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to AnnexC.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.4.2.2.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4.2.2.4.3

### 6.4.2.2.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4.2.2.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $10 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4.2.2.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 3. Measure carrier leakage using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test.
- 4. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $0 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4.2.2.4.2-1 for the carrier frequency f and the channel bandwidth BW.

- 5. Measure carrier leakage using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test.
- 6. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $30 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4.2.2.4.2-2 for the carrier frequency f and the channel bandwidth BW.
- 7. Measure carrier leakage using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test
- 8. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $40 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4.2.2.4.2-2 for the carrier frequency f and the channel bandwidth BW.
- 9. Measure carrier leakage using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test

Table 6.4.2.2.4.2-1: Power Window (dB) for carrier leakage (step 2 and step 4)

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 20MHz	[1.4]	[1.7]	[2]
20MHz < BW ≤ 40MHz	[1.4]	[1.7]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.3]

Table 6.4.2.1.4.2-2: Power Window (dB) for carrier leakage (step 6 and step 8)

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 40MHz	[1.7]	[2.0]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.5]

### 6.4.2.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

# 6.4.2.2.5 Test requirement

Each of the n carrier leakage results, derived in Annex E.3.1, shall not exceed the values in table 6.4.2.2.5-1. Allocated RBs are not under test.

Table 6.4.2.2.5-1: Test requirements for Relative Carrier Leakage Power

	Parameters	Relative limit		
	UE output power	(dBc)		
	$10 + P_W dBm \pm P_W dB^5$ -2			
	0 + Pw dBm ± Pw dB <sup>5</sup>	-25 + TT		
	$-30 + P_W dBm \pm P_W dB^6$	-20 + TT		
	$-40 + P_W dBm \pm P_W dB^6$	-10 + TT		
NOTE 1:	The measurement bandwidth is 1 RB and	the limit is		
	expressed as a ratio of measured power i	n one non-		
	allocated RB to the measured total power	in all allocated		
	RBs.			
NOTE 2:				
	enclosed in the RBs containing the carrier	r leakage frequency		
if $N_{\it RB}$ is odd, or in the two RBs immediately adjacent to the				
	carrier leakage frequency if $N_{_{RR}}$ is even but excluding any			
	allocated RB.			
NOTE 3:	$N_{\scriptscriptstyle RR}$ is the Transmission Bandwidth Con	figuration (see		
	Figure 5.3.3).	,		
NOTE 4:	OTE 4: Pw is the power window according to Table 6.4.2.2.4.2-1 for			
	the carrier frequency f and the channel ba			
NOTE 5:				
	the carrier frequency f and the channel bandwidth BW.			
NOTE 6:	Test tolerance TT = 0.8 dB.			

### 6.4.2.3 In-band emissions

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- 38.101-1 [2] Clause 6.3.4.3: Relative power tolerances are in square brackets.

-

### 6.4.2.3.1 Test purpose

The in-band emissions are a measure of the interference falling into the non-allocated resources blocks

The in-band emission is defined as the average emission across 12 sub-carriers and as a function of the RB offset from the edge of the allocated UL transmission bandwidth. The in-band emission is measured as the ratio of the UE output power in a non–allocated RB to the UE output power in an allocated RB.

The basic in-band emissions measurement interval is defined over one slot in the time domain, however, the minimum requirement applies when the in-band emission measurement is averaged over 10 sub-frames. When the PUSCH or PUCCH transmission slot is shortened due to multiplexing with SRS, the in-band emissions measurement interval is reduced by one or more symbols, accordingly.

The purpose of this test is to exercise the UE transmitter to verify its modulation quality in terms of in-band emissions.

# 6.4.2.3.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

### 6.4.2.3.3 Minimum conformance requirements

The average of the basic in-band emission measurement over 10 sub-frames shall not exceed the values specified in Table 6.4.2.3.3-1.

Table 6.4.2.3.3-1: Requirements for in-band emissions

Parameter description	Unit	Limit (NOTE 1)		Applicable Frequencies
General	dB	$\max \left\{ -25 - 10 \cdot \log_{10} \left( N_{RB} / L_{CRB} \right), \\ 20 \cdot \log_{10} EVM - 3 - 5 \cdot \left( \left  \Delta_{RB} \right  - 1 \right) / L_{CRB}, \\ -57 \ dBm + 10 \log_{10} \left( SCS / 15 kHz \right) - P_{RB} \right\}$		Any non-allocated (NOTE 2)
		-28	Image frequencies when output power > 10 dBm	Image
IQ Image	dB	-25	Image frequencies when output power ≤ 10 dBm	frequencies (NOTES 2, 3)
		-28	Output power > 10 dBm	Comien le else me
Carrier	dBc	-25	0 dBm ≤ Output power ≤10 dBm	Carrier leakage
leakage	ubc	-20	-30 dBm ≤ Output power ≤ 0 dBm	frequency (NOTES 4, 5)
		-10	-40 dBm ≤ Output power < -30 dBm	(INOTES 4, 5)

- NOTE 1: An in-band emissions combined limit is evaluated in each non-allocated RB. For each such RB, the minimum requirement is calculated as the higher of  $P_{RB}$  30 dB and the power sum of all limit values (General, IQ Image or Carrier leakage) that apply.  $P_{RB}$  is defined in NOTE 10.
- NOTE 2: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one nonallocated RB to the measured average power per allocated RB, where the averaging is done across all allocated RBs.
- NOTE 3: The applicable frequencies for this limit are those that are enclosed in the reflection of the allocated bandwidth, based on symmetry with respect to the carrier leakage frequency, but excluding any allocated RBs.
- NOTE 4: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one non-allocated RB to the measured total power in all allocated RBs.
- NOTE 5: The applicable frequencies for this limit are those that are enclosed in the RBs containing the carrier leakage frequency if  $N_{RB}$  is odd, or in the two RBs immediately adjacent to the carrier leakage frequency if  $N_{RB}$  is even but excluding any allocated RB.
- NOTE 6:  $L_{\it CRB}$  is the Transmission Bandwidth (see Figure 5.3.3).
- NOTE 7:  $N_{RB}$  is the Transmission Bandwidth Configuration (see Figure 5.3.3).
- NOTE 8: *EVM* is the limit specified in Table 6.4.2.1.3-1 for the modulation format used in the allocated RBs.
- NOTE 9:  $\Delta_{RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.  $\Delta_{RB}=1$  or  $\Delta_{RB}=-1$  for the first adjacent RB outside of the allocated bandwidth.
- NOTE 10:  $P_{\it RB}$  is the transmitted power normalized by the number of allocated RBs, measured in dBm.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4.2.3.

6.4.2.3.4 Test description

6.4.2.3.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.4.2.3.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.4.2.3.4.1-1: Test Configuration Table for PUSCH

Initial Conditions				
Test Enviro	onment as specified in TS 38.508-1 [5] 4.1	Normal		
Test Frequ subclause	encies as specified in TS 38.508-1 [5] 4.3.1	Low range, Mid range, High range		
	nel Bandwidths as specified in TS 5] subclause 4.3.1	Lowest, Mid, Highest		
Test SCS a	as specified in Table 5.3.5-1	Smallest supported SCS p	er Channel Bandwidth	
Test Parameters				
Test ID	Downlink Configuration	Uplin	k Configuration	
	N/A	Modulation	RB allocation (NOTE 1)	
1		DFT-s-OFDM QPSK	Inner_1RB_Left	
2		DFT-s-OFDM QPSK	Inner_1RB_Right	
3		CP-OFDM QPSK	Inner_1RB_Left	
4	CP-OFDM QPSK Inner_1RB_Right			
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.  NOTE 2: Test Channel Bandwidths are checked separately for each NR band, which applicable channel bandwidths are specified in Table 5.3.5-1.				

	Initial Conditions				
Test Env subclaus	ironment as specified in TS 38.508-1 [5] e 4.1	See Table 6.4.2.3.4.1-	1		
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		See Table 6.4.2.3.4.1-	1		
	innel Bandwidths as specified in TS [5] subclause 4.3.1	See Table 6.4.2.3.4.1-	1		
Test SCS	S as specified in Table 5.3.5-1	See Table 6.4.2.3.4.1-	1		
	Test Parameters				
ID	Downlink Configuration	Ul	olink Configuration		
	N/A	Waveform	PUCCH format		
1		DFT-s-OFDM	FDD: PUCCH format = Format 1a TDD: PUCCH format = Format 1a / 1b		
2		CP-OFDM	FDD: PUCCH format = Format 1a TDD: PUCCH format = Format 1a / 1b		
NOTE 1: Test Channel Bandwidths are checked separately for each NR band, which applicable channel bandwidths are specified in Table 5.3.5-1.					

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.4.2.3.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4.2.3.4.3

# 6.4.2.3.4.2 Test procedure

Test procedure for PUSCH:

- 1.1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [1\_0] for C\_RNTI to schedule the UL RMC according to Table 6.4.2.3.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 1.2 Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $10 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4.2.3.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 1.3 Measure In-band emission using Global In-Channel Tx-Test (Annex E)
- 1.4 Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $0 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4.2.3.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 1.5 Measure In-band emission using Global In-Channel Tx-Test (Annex E)
- 1.6 Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $30 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4.2.3.4.2-2 for the carrier frequency f and the channel bandwidth BW.
- 1.7 Measure In-band emission using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test
- 1.8 Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $40 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4.2.3.4.2-2 for the carrier frequency f and the channel bandwidth BW.

1.9 Measure In-band emission using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test

NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.4.2.3.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition

### Test procedure for PUCCH:

- 2.1 PUCCH is set according to Table 6.4.2.3.4.1-2. SS transmits PDSCH via PDCCH DCI format [1A] for C\_RNTI to transmit the DL RMC according to Table 6.4.2.3.4.1-2. The SS sends downlink MAC padding bits on the DL RMC. The transmission of PDSCH will make the UE send uplink ACK/NACK using PUCCH.
- 2.2 Send the appropriate TPC commands in the uplink scheduling information for PUCCH to the UE until UE output power is  $10 + P_W \, dBm \pm P_W \, dB$  where  $P_W$  is the power window according to Table 6.4.2.3.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 2.3 Measure In-band emission using Global In-Channel Tx-Test (Annex E)
- 2.4 Send the appropriate TPC commands in the uplink scheduling information for PUCCH to the UE until UE output power is  $0 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4.2.3.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 2.5 Measure In-band emission using Global In-Channel Tx-Test (Annex E)
- 2.6 Send the appropriate TPC commands for PUCCH in the uplink scheduling information to the UE until UE output power is  $-30 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.3.4.2-2 for the carrier frequency f and the channel bandwidth BW.
- 2.7 Measure In-band emission using Global In-Channel Tx-Test (Annex E)
- 2.8 Send the appropriate TPC commands for PUCCH in the uplink scheduling information to the UE until UE output power is  $-40 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4.2.3.4.2-2 for the carrier frequency f and the channel bandwidth BW.
- 2.9 Measure In-band emission using Global In-Channel Tx-Test (Annex E)

NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.4.2.3.4.1-2, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition

Table 6.4.2.3.4.2-1: Power Window (dB) for carrier leakage (steps 1.2, 1.4, 2.2, and 2.4)

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 20MHz	[1.4]	[1.7]	[2]
20MHz < BW ≤ 40MHz	[1.4]	[1.7]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.3]

Table 6.4.2.3.4.2-2: Power Window (dB) for carrier leakage (steps 1.6, 1.8, 2.6, and 2.8)

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 40MHz	[1.7]	[2.0]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.5]

#### 6.4.2.3.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

# 6.4.2.3.5 Test requirement

Each of the [20] In-band emissions results, derived in Annex E.4.3 shall not exceed the corresponding values in Tables 6.4.2.3.5-1.

Parameter **Applicable** Unit Limit (NOTE 1) description **Frequencies**  $\max \{ -25 - 10 \cdot \log_{10} (N_{RB} / L_{CRB}),$ Any non-allocated  $20 \cdot \log_{10} EVM - 3 - 5 \cdot (|\Delta_{RB}| - 1) / L_{CRB}$ , General dB (NOTE 2)  $-57 dBm + 10 \log_{10} (SCS / 15kHz) - P_{RR}$ Image frequencies when output power > 10 dBm -28 + TT **Image** IQ Image dB frequencies -25 + TT Image frequencies when output power ≤ 10 dBm (NOTES 2, 3) -28 + TT Output power > 10 dBm Carrier leakage -25 + TT 0 dBm ≤ Output power ≤10 dBm Carrier dBc frequency leakage -20 + TT -30 dBm ≤ Output power ≤ 0 dBm (NOTES 4, 5)

Table 6.4.2.3.5-1: Test requirements for in-band emissions

- NOTE 1: An in-band emissions combined limit is evaluated in each non-allocated RB. For each such RB, the minimum requirement is calculated as the higher of  $P_{RB}$  30 dB and the power sum of all limit values (General, IQ Image or Carrier leakage) that apply.  $P_{RB}$  is defined in NOTE 10.
- NOTE 2: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one nonallocated RB to the measured average power per allocated RB, where the averaging is done across all allocated RBs.

-40 dBm ≤ Output power < -30 dBm

- NOTE 3: The applicable frequencies for this limit are those that are enclosed in the reflection of the allocated bandwidth, based on symmetry with respect to the carrier leakage frequency, but excluding any allocated RBs.
- NOTE 4: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one non-allocated RB to the measured total power in all allocated RBs.
- NOTE 5: The applicable frequencies for this limit are those that are enclosed in the RBs containing the carrier leakage frequency if  $N_{RB}$  is odd, or in the two RBs immediately adjacent to the carrier leakage frequency if  $N_{RB}$  is even, but excluding any allocated RB.
- NOTE 6:  $L_{\it CRB}$  is the Transmission Bandwidth (see Figure 5.3.3).
- NOTE 7:  $N_{RR}$  is the Transmission Bandwidth Configuration (see Figure 5.3.3).

-10 + TT

- NOTE 8: *EVM* is the limit specified in Table 6.4.2.1.3-1 for the modulation format used in the allocated RBs.
- NOTE 9:  $\Delta_{RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.  $\Delta_{RB}=1$  or  $\Delta_{RB}=-1$  for the first adjacent RB outside of the allocated bandwidth.
- NOTE 10:  $P_{\it RB}$  is the transmitted power normalized by the number of allocated RBs, measured in dBm.
- NOTE 11: Test tolerance TT = 0.8 dB.

### 6.4.2.4 EVM equalizer spectrum flatness

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

38.101-1 [2] Clause 6.3.4.3: Relative power tolerances are in square brackets.

# 6.4.2.4.1 Test purpose

The zero-forcing equalizer correction applied in the EVM measurement process (as described in Annex E) must meet a spectral flatness requirement for the EVM measurement to be valid. The EVM equalizer spectrum flatness is defined in terms of the maximum peak-to-peak ripple of the equalizer coefficients (dB) across the allocated uplink block, at which the equalizer coefficients are generated by the EVM measurement process. The basic measurement interval is the same as for EVM.

The EVM equalizer spectrum flatness requirement does not limit the correction applied to the signal in the EVM measurement process but for the EVM result to be valid, the equalizer correction that was applied must meet the EVM equalizer spectrum flatness minimum requirements.

### 6.4.2.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

## 6.4.2.4.3 Minimum conformance requirements

The peak-to-peak variation of the EVM equalizer coefficients contained within the frequency range of the uplink allocation shall not exceed the maximum ripple specified in Table 6.4.2.4.3-1 for normal conditions. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 5 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 7 dB (see Figure 6.4.2.4.3-1).

The EVM equalizer spectral flatness shall not exceed the values specified in Table 6.4.2.4.3-2 for extreme conditions. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 6 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 10 dB (see Figure 6.4.2.4.3-1).

Table 6.4.2.4.3-1: Requirements for EVM equalizer spectrum flatness (normal conditions)

	Frequency range	Maximum ripple [dB]
F <sub>UL_Meas</sub>	s – F <sub>UL_Low</sub> ≥ 3 MHz and F <sub>UL_High</sub> – F <sub>UL_Meas</sub> ≥ 3 MHz	4 (p-p)
	(Range 1)	
F <sub>UL_Mea</sub>	$_{as}$ - $F_{UL\_Low}$ < 3 MHz or $F_{UL\_High}$ - $F_{UL\_Meas}$ < 3 MHz	8 (p-p)
	(Range 2)	
NOTE 1:	$F_{\text{UL\_Meas}}$ refers to the sub-carrier frequency for which evaluated	the equalizer coefficient is
NOTE 2:	$F_{\text{UL\_Low}}$ and $F_{\text{UL\_High}}$ refer to each E-UTRA frequency 5.5-1	band specified in Table

Table 6.4.2.4.3-2: Minimum requirements for EVM equalizer spectrum flatness (extreme conditions)

Frequency range	Maximum Ripple [dB]
F <sub>UL_Meas</sub> – F <sub>UL_Low</sub> ≥ 5 MHz and F <sub>UL_High</sub> – F <sub>UL_Meas</sub> ≥ 5 MHz	4 (p-p)
(Range 1)	
Ful_Meas - Ful_Low < 5 MHz or Ful_High - Ful_Meas < 5 MHz	12 (p-p)
(Range 2)	
NOTE 1: F <sub>UL_Meas</sub> refers to the sub-carrier frequency for which evaluated	the equalizer coefficient is
NOTE 2: Ful_Low and Ful_High refer to each E-UTRA frequency 5.5-1	band specified in Table

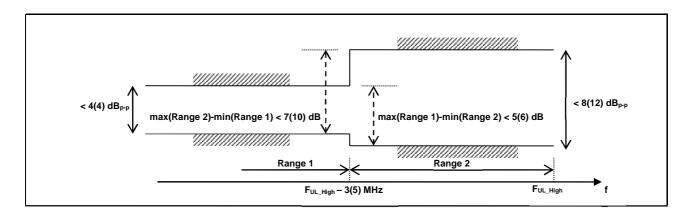


Figure 6.4.2.4.3-1: The limits for EVM equalizer spectral flatness with the maximum allowed variation of the coefficients indicated (the ETC minimum requirement are within brackets)

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4.2.4.

6.4.2.4.4 Test description

6.4.2.4.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.4.2.4.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.4.2.4.4.1-1: Test Configuration

	Initial Conditions				
Test Environment as specified in TS 38.508-1 [5]		Normal, TL/VL, TL/VH, TH/VL, TH/VH			
subclause	4.1				
Test Frequ	encies as specified in TS 38.508-1 [5]	Low range, Mid range, High	range		
subclause	4.3.1				
Test Chan	nel Bandwidths as specified in TS	Lowest, Mid, Highest			
38.508-1 [	5] subclause 4.3.1		, , , , , , , , , , , , , , , , , , ,		
Test SCS	as specified in Table 5.3.5-1	Lowest SCS per Channel Bandwidth			
	Т	est Parameters			
Test ID	Downlink Configuration	Uplink	Configuration		
	N/A	Modulation	RB allocation (NOTE 1)		
1		DFT-s-OFDM QPSK	Outer Full		
2	2 CP-OFDM QPSK Outer Full				
NOTE 1:	NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.				
NOTE 2: Test Channel Bandwidths are checked separately for each NR band, which applicable channel					
110122.					

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.4.2.4.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4.2.4.4.3.

### 6.4.2.4.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4.2.4.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level. Allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
- 3. Measure spectrum flatness using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test.

NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.4.2.1.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition.

### 6.4.2.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

### 6.4.2.4.5 Test requirement

Each of the *n* spectrum flatness functions, shall derive four ripple results in Annex E.4.4. The derived results shall not exceed the values in Figure 6.4.2.4.5-1:

For normal conditions, the maximum ripple in Range 1 and Range 2 shall not exceed the values specified in Table 6.4.2.4.5-1 and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 6.4 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 8.4 dB (see Figure 6.4.2.4.5-1).

For normal conditions, the peak-to-peak variation of the EVM equalizer coefficients contained within the frequency range of the uplink allocation shall not exceed the maximum ripple specified in Table 6.4.2.4.5-1. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 6.4 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 8.4 dB (see Figure 6.4.2.4.5-1).

For extreme conditions, the EVM equalizer spectral flatness shall not exceed the values specified in Table 6.4.2.4.5-2. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 7.4 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 11.4 dB (see Figure 6.4.2.4.5-1).

Table 6.4.2.4.5-1: Requirements for EVM equalizer spectrum flatness (normal conditions)

Frequency range	Maximum ripple [dB]		
Ful_Meas - Ful_Low ≥ 3 MHz and Ful_High - Ful_Meas ≥ 3 MHz	4 + TT (p-p)		
(Range 1)			
Ful_Meas - Ful_Low < 3 MHz or Ful_High - Ful_Meas < 3 MHz	8 + TT (p-p)		
(Range 2)			
NOTE 1: Ful_Meas refers to the sub-carrier frequency for which	the equalizer coefficient is		
evaluated			
NOTE 2: Ful_Low and Ful_High refer to each E-UTRA frequency	band specified in Table		
5.5-1			
NOTE 3: Test tolerance TT = 1.4 dB.			

Table 6.4.2.4.5-2: Minimum requirements for EVM equalizer spectrum flatness (extreme conditions)

Frequency range	Maximum Ripple [dB]
Ful_Meas - Ful_Low ≥ 5 MHz and Ful_High - Ful_Meas	≥ 5 MHz 4 + TT (p-p)
(Range 1)	
Ful_Meas - Ful_Low < 5 MHz or Ful_High - Ful_Meas	< 5 MHz 12 + TT (p-p)
(Range 2)	
NOTE 1: Ful_Meas refers to the sub-carrier frequen	cy for which the equalizer coefficient is
evaluated	
NOTE 2: Ful_Low and Ful_High refer to each E-UTR	A frequency band specified in Table
5.5-1	
NOTE 3: Test tolerance TT = 1.4 dB.	

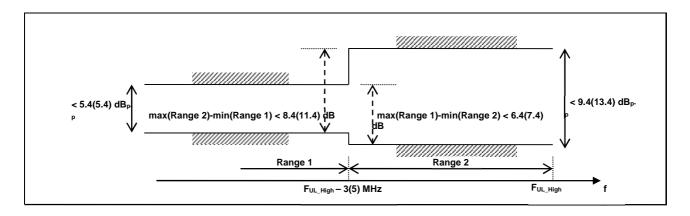


Figure 6.4.2.4.5-1: The test requirements for EVM equalizer spectral flatness with the maximum allowed variation of the coefficients indicated for unshaped modulations (the ETC test requirements are within brackets)

# 6.4.2.5 EVM equalizer spectrum flatness for Pi/2 BPSK

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- SA generic procedures with condition NR in TS 38.508-1 [5] is FFS.
- 38.101-1 [2] Clause 6.3.4.3: Relative power tolerances are in square brackets.
- Whether and, if yes, how to test the requirement on shaping filter is FFS.

#### 6.4.2.5.1 Test purpose

The zero-forcing equalizer correction applied in the EVM measurement process (as described in Annex E) must meet a spectral flatness requirement for the EVM measurement to be valid. The EVM equalizer spectrum flatness is defined in terms of the maximum peak-to-peak ripple of the equalizer coefficients (dB) across the allocated uplink block, at which the equalizer coefficients are generated by the EVM measurement process. The basic measurement interval is the same as for EVM.

# 6.4.2.5.2 Test applicability

This test case applies to all types of NR UE release 15 and forward indicating support for UE capability [powerBoosting-pi2BPSK].

### 6.4.2.5.3 Minimum conformance requirements

These requirements apply if the IE *powerBoostPi2BPSK* is set to 1 for power class 3 capable UE operating in TDD bands n40, n77, n78 and n79 with pi/2 BPSK modulation and UE indicates support for UE capability [*powerBoosting-pi2BPSK*] and 40% or less slots in radio frame are used for UL transmission. Otherwise the requirements for EVM equalizer spectrum flatness defined in clause 6.4.2.4.3 apply.

The EVM equalizer coefficients across the allocated uplink block shall be modified to fit inside the mask specified in Table 6.4.2.5.3-1 for normal conditions, prior to the calculation of EVM.

Table 6.4.2.5.3-1: Mask for EVM equalizer coefficients for pi/2 BPSK, normal conditions

Frequency range	Parameter	Maximum ripple [dB]
F_meas – F_center ≤ X MHz or F_center – F_meas ≤ X MHz (Range 1)	X1	6 (p-p)
F_meas - F_center > X MHz or F_center - F_meas > X MHz (Range 2)	X2	14 (p-p)
NOTE 1: F_meas refers to the sub-carrier frequency for which the equalizer coefficient is evaluated NOTE 2: F_center refers to the centre frequency of an allocated block of PRBs NOTE 3: X, in MHz, is equal to 25% of the bandwidth of the PRB allocation NOTE 4: See Figure 6.4.2.4-1 for description of X1, X2		

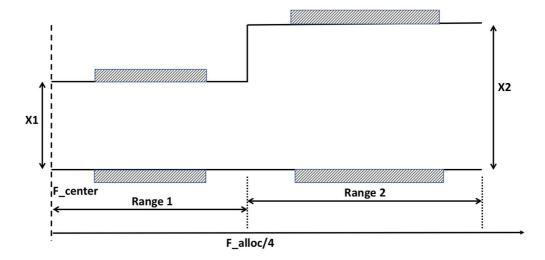


Figure 6.4.2.5.3-1: The limits for EVM equalizer spectral flatness with the maximum allowed variation.

F\_center denotes the centre frequency of the allocated block of PRBs. F\_alloc denotes the bandwidth of the PRB allocation.

For pi/2 BPSK modulation the UE shall be allowed to employ spectral shaping and the shaping filter shall be restricted so that the impulse response of the shaping filter itself shall meet

$$\begin{aligned} \left| \tilde{a}_{t}(t,0) \right| &\geq \left| \tilde{a}_{t}(t,\tau) \right| \quad \forall \tau \neq 0 \\ &20log_{10} \left| \tilde{a}_{t}(t,\tau) \right| < -15 \text{ dB} \quad 1 < \tau < M - 1, \end{aligned}$$

where,  $|\tilde{a}_{t}(t,\tau)| = IDFT\{ |\tilde{a}_{t}(t,f)| e^{j\varphi(t,f)}\}$ , f is the frequency of the M allocated subcarriers,  $\tilde{a}(t,f)$  and  $\varphi(t,f)$  are the amplitude and phase response.

0dB reference is defined as  $20log_{10} \mid \tilde{a}_t(t,0) \mid$ .

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4.2.4.1.

6.4.2.5.4 Test description
6.4.2.5.4.1 Initial condition

Same initial conditions as in clause 6.4.2.4.4.1 with following exceptions:

- Instead of Table 6.4.2.4.4.1-1 → use Table 6.4.2.5.4.1-1

# Table 6.4.2.5.4.1-1: Test Configuration

	Initial Conditions				
Test Enviro	onment as specified in TS 38.508-1 [5]	Normal, TL/VL, TL/VH, TH/VL, TH/VH			
subclause	4.1				
Test Frequ	encies as specified in TS 38.508-1 [5]	Low range, Mid range, High	h range		
subclause	4.3.1				
Test Chann	nel Bandwidths as specified in TS	Lowest, Mid, Highest			
38.508-1 [5	5] subclause 4.3.1	-			
Test SCS as specified in Table 5.3.5-1		Lowest SCS per Channel Bandwidth			
	Т	est Parameters			
Test ID	Downlink Configuration	Uplink Configuration			
	N/A	Modulation	RB allocation (NOTE 1)		
1	1 DFT-s-OFDM Pi/2 BPSK Outer Full				
NOTE 1:	NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.				
NOTE 2:	NOTE 2: Test Channel Bandwidths are checked separately for each NR band, which applicable channel				
	bandwidths are specified in Table 5.3.5-1.				

# 6.4.2.5.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4.2.4.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level. Allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
- 3. Measure spectrum flatness using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test.

# 6.4.2.5.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the following exception:

Table 6.4.2.5.4.3-1: ServingCellConfig

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-128				
Information Element	Value/remark	Comment	Condition	
uplinkConfig SEQUENCE {				
initialUplinkBWP	BWP-UplinkDedicated			
uplinkBWP-ToReleaseList	Not present			
uplinkBWP-ToAddModList	Not present			
firstActiveUplinkBWP-Id	BWP-Id			
pusch-ServingCellConfig CHOICE {				
setup	PUSCH-			
	ServingCellConfig			
}				
carrierSwitching	Not present			
powerBoostPi2BPSK	enabled			
}				

### 6.4.2.5.5 Test requirement

Each of the n spectrum flatness functions, shall derive four ripple results in Annex E.4.4. The derived results shall not exceed the values in Figure 6.4.2.5.5-1:

Table 6.4.2.5.5-1: Mask for EVM equalizer coefficients for pi/2 BPSK, normal conditions

Frequency range	Parameter	Maximum ripple [dB]		
F_meas – F_center ≤ X MHz or F_center – F_meas ≤ X MHz	X1	6 + TT (p-p)		
(Range 1)				
F_meas - F_center > X MHz or F_center - F_meas > X MHz	X2	14 + TT (p-p)		
(Range 2)				
NOTE 1: F_meas refers to the sub-carrier frequency for which the equalizer coefficient is evaluated				
NOTE 2: F_center refers to the centre frequency of an allocated block of PRBs				
NOTE 3: X, in MHz, is equal to 25% of the bandwidth of the PRB allocation				
NOTE 4: See Figure 6.4.2.4-1 for description of X1, X2				
NOTE 5: Test tolerance TT = 1.4 dB.				

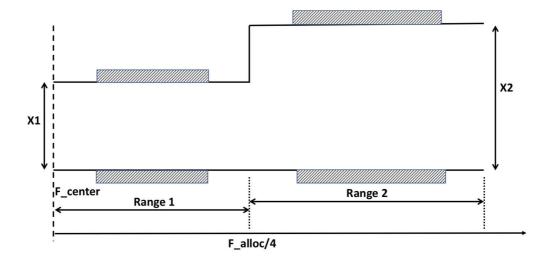


Figure 6.4.2.5.5-1: The limits for EVM equalizer spectral flatness with the maximum allowed variation.

F\_center denotes the centre frequency of the allocated block of PRBs. F\_alloc denotes the bandwidth of the PRB allocation.

# 6.4A Transmit signal quality for CA

# 6.4A.1 Frequency error for CA

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- Message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- Generic procedures with condition NR in TS 38.508-1[5] is FFS
- The test configuration table for inter-band CA is FFS.
- The connection diagram for inter-band CA in TS 38.508-1[5] Annex A is FFS.
- Procedure to configure SCC in TS 38.508-1[5] clause [TBD] is FFS.
- Extending the coverage of the TCs with intra-band CA scenarios is FFS
- The subclauses 7.3A.2.3.4 in reference to REFSENS value for inter-band CA is FFS

# 6.4A.1.0 Minimum conformance requirements

For inter-band carrier aggregation with uplink assigned to two NR bands, the minimum conformance requirements specified in subclause 6.4.1.3 shall apply on each component carrier with all component carriers active.

# 6.4A.1.1 Frequency error for CA (2UL CA)

### 6.4A.1.1.1 Test purpose

This test verifies the ability of both, the receiver and the transmitter, to process frequency for 2UL CA correctly.

Receiver: to extract the correct frequency from the stimulus signal, offered by the System simulator, under ideal propagation conditions and low level.

Transmitter: to derive the correct modulated carrier frequency from the results, gained by the receiver.

# 6.4A.1.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports 2DL and 2UL CA.6.4A.1.1.3 Minimum conformance requirements

The minimum conformance requirements are defined in clause 6.4A.1.0.

6.4A.1.1.4 Test description

#### 6.4A.1.1.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state. The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR CA configuration specified in clause 5.5A. All of these configurations shall be tested with applicable test parameters for each CA configuration, and are shown in Table 6.4A.1.1.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.4A.1.1.4.1-1: Inter band CA Test Configuration Table

		Initia	l Conditions				
Test Enviro	onment as specified	in TS 38.508-1 [5]	Normal, TL/VL, TL/VH, TH/VL, TH/VH				
subclause	4.1						
Test Frequ	encies as specified	in TS 38.508-1 [5]	[TBD]				
subclause	4.3.1.1.3 for inter ba	nd CA in FR1					
Test Chan	nel Bandwidths as s	pecified in TS 38.508-	[Highest for both PCC an	d SCC]			
1 [5] subcl	ause 4.3.1						
Test SCS	as specified in Table	5.5A.3-1	Smallest supported SCS per Channel Bandwidth				
		Test	Parameters				
	Downlink	Configuration	Uplink Configuration				
Test ID	Modulation	RB allocation	Modulation	RB allocation			
1	CP-OFDM	Full RB (NOTE 1)	DFT-s-OFDM QPSK	REFSENS (NOTE 2)			
QPSK , , , , , , , , , , , , , , , , , , ,							
NOTE 1: Full RB allocation shall be used per each SCS and channel BW as specified in Table 7.3.2.4.1-2.							
NOTE 2: REFSENS refers to Table 7.3.2.4.1-3 which defines uplink RB configuration and start RB location for							
	each SCS, channel BW and NR band belongs to inter-band CA combination.						

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [TBD] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause4.4.3.
- 3. Downlink signals for PCC are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The DL and UL Reference Measurement channels are set according to Table 6.4A.1.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4A.1.1.4.3

### 6.4A.1.1.4.2 Test procedure

- 1. Configure SCC according to Annex C.0, C.1, C.2 for all downlink physical channels.
- 2. The SS shall configure SCC as per TS 38.508-1 [5] clause [TBD]. Message contents are defined in clause 6.4A.1.1.4.3
- 3. SS activates SCC by sending the activation MAC CE (Refer TS 38.321 [TBD], clauses 5.9, 6.1.3.10). Wait for at least 2 seconds (Refer TS 38.133[TBD], clause 9.3).
- 4. SS transmits PDSCH via PDCCH DCI format [1\_0] for C\_RNTI to transmit the DL RMC according to Table6.4A.1.3.4.1-1 on both PCC and SCC. The SS sends downlink MAC padding bits on the DL RMC.
- 5. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4A.1.1.4.1-1 on both PCC and SCC. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 6. Set the Downlink signal level to the appropriate REFSENS value defined in subclauses 7.3A.2.3.4 and 7.3A.4. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE so that the UE transmits at P<sub>UMAX</sub> level for the duration of the test. Allow at least 200ms starting from the first TPC command in this step for the UE to reach P<sub>UMAX</sub> level.
- 7. Measure the Frequency Error on PCC and SCC using Global In-Channel Tx-Test (Annex E) respectively. For TDD slots with transient periods are not under test.

### 6.4A.1.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

### 6.4A.1.1.5 Test requirement

The 20 frequency error  $\Delta f$  results must fulfil the test requirement:

 $|\Delta f| \le (0.1 \text{ PPM} + 15 \text{ Hz})$  for each test point

where PPM refers to each CC UL frequency.

# 6.4A.2 Transmit modulation quality for CA

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- Message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- Generic procedures with condition NR in TS 38.508-1 [5] is FFS.
- 38.101-1 Clause 6.3.4.3: Relative power tolerances are in square brackets.
- Window length in TS 38.101-1 [2] Annex on Transmit modulation is TBD.
- The test configuration table for inter-band CA is FFS.
- The connection diagram for inter-band CA in TS 38.508-1[5] Annex A is FFS.
- Procedure to configure SCC in TS 38.508-1[5] clause [TBD] is FFS
- Extending the coverage of the TCs with intra-band CA scenarios is FFS

The requirements in this clause apply with PCC and SCC in the UL configured and activated: PCC with PRB allocation and SCC without PRB allocation and without CSI reporting and SRS configured.

# 6.4A.2.1 Error Vector Magnitude for CA

# 6.4A.2.1.0 Minimum conformance requirements

For Inter-band carrier aggregation, EVM measurements are evaluated for each component carrier, and for the different modulations schemes, the EVM requirements shall not exceed the values specified in Table 6.4A.2.1.0-1 for the parameters defined in Table 6.4A.2.1.0-2, if CA is configured in uplink.

Table 6.4A.2.1.0-1: Requirements for Error Vector Magnitude

Parameter	Unit	Average EVM Level
Pi/2-BPSK	%	30
QPSK	%	17.5
16QAM	%	12.5
64QAM	%	8
256 QAM	%	3.5

Table 6.4A.2.1.0-2: Parameters for Error Vector Magnitude

Parameter	Unit	Level
UE Output Power	dBm	≥Table 6.3.1.3-1
UE Output Power for 256 QAM	dBm	≥Table 6.3.1.3-1 + 10 dB
Operating conditions		Normal conditions

The normative reference for this requirement is TS 38.101 [2] clause 6.4A.2.3.1

# 6.4A.2.1.1 Error Vector Magnitude for CA (2UL CA)6.4A.2.1.1.1 Test Purpose

For 2UL carrier aggregation, the Error Vector Magnitude requirement should be defined for each component carrier. Requirement applies for the allocated component carrier, when all other component carriers are activated, but not allocated.

Similar transmitter impairment removal procedures are applied for CA waveform before EVM calculation as is specified for non-CA waveform in sub-section 6.4.2.1.

#### 6.4A.2.1.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports 2DL and 2UL CA.

# 6.4A.2.1.1.3 Minimum conformance requirements

The minimum conformance requirements are defined in clause 6.4A.2.1.0.

### 6.4A.2.1.1.4 Test description

### 6.4A.2.1.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR CA configuration specified in clause 5.5A. All of these configurations shall be tested with applicable test parameters for each CA configuration, and are shown in Table 6.4A.2.1.1.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

### Table 6.4A.2.1.1.4.1-1: Inter band CA Test Configuration Table

# [FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [TBD] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause4.4.3.

- 3. Downlink signals for PCC are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.4A.2.1.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4A.2.1.1.4.3

### 6.4A.2.1.1.4.2 Test procedure

- 1. Configure SCC according to Annex C.0, C.1, C.2 for all downlink physical channels.
- 2. The SS shall configure SCC as per TS 38.508-1 [5] clause [TBD]. Message contents are defined in clause 6.4A.2.1.1.4.3.
- 3. SS activates SCC by sending the activation MAC CE (Refer TS 38.321 [TBD], clauses 5.9, 6.1.3.10). Wait for at least 2 seconds (Refer TS 38.133[TBD], clause9.3).
- 4. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4A.2.1.1.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 5. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX level}$ , allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
- 6. Measure the EVM and EVM DMRS on PCC using Global In-Channel Tx-Test (Annex E).
- 7. For modulations except 256QAM, send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is in the range  $P_{min} + P_{W\pm} P_{W}$ , where  $P_{min}$  is the minimum output power according to Table 6.3.1.3-1 and  $P_{W}$  is the power window according to Table 6.4.2.1.4.2-1 for the carrier frequency f and the channel bandwidth BW.
  - For 256 QAM, send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is in the range TBD  $\pm$  TBD.
- 8. Measure the EVM and  $\overline{EVM}_{DMRS}$  on PCC using Global In-Channel Tx-Test (Annex E).

NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration Table 6.4A.2.1.1.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition.

### 6.4A.2.1.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

# 6.4A.2.1.1.5 Test requirement

The PUSCH EVM, derived in Annex E.4.2, shall not exceed the values in Table 6.4A.2.1.1.5-1.

The PUSCH  $EVM_{DMRS}$ , derived in Annex E.4.6.2, shall not exceed the values in Table 6.4A.2.1.1.5-1 when embedded with data symbols of the respective modulation scheme.

Table 6.4A.2.1.1.5-1: Test requirements for Error Vector Magnitude

Parameter	Unit	Average EVM Level
Pi/2-BPSK	%	30
QPSK	%	17.5
16QAM	%	12.5
64QAM	%	8
256 QAM	%	3.8 for 15 dBm< P∪L
		4.3 for -25 dBm< P <sub>UL</sub> ≤ 15 dBm
		4.6 for -40dBm ≤ $P_{UL}$ ≤ -25dBm

# 6.4A.2.2 Carrier leakage for CA

# 6.4A.2.2.0 Minimum conformance requirements

For Inter band carrier aggregation, the carrier leakage shall not exceed the values specified in Table 6.4A.2.2.0-1.

In the case that uplink sharing, the carrier leakage may have 7.5 kHz shift with the carrier frequency.

Table 6.4A.2.2.0-1: Requirements for carrier leakage

Parameter description	Unit		Applicable Frequencies	
		-28	Output power > 10 dBm	Comica lockora
Carrier	dBc	-25 0 dBm ≤ Output	0 dBm ≤ Output power ≤10 dBm	Carrier leakage
leakage		-20	-30 dBm ≤ Output power ≤ 0 dBm	frequency
		-10	-40 dBm≤ Output power < -30 dBm	(NOTES 1, 2)

NOTE 1: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one nonallocated RB to the measured total power in all allocated RBs.

NOTE 2: The applicable frequencies for this limit are those that are enclosed in the RBs containing the carrier leakage frequency if  $N_{RB}$  is odd, or in the two RBs immediately adjacent to the carrier leakage frequency if  $N_{RB}$  is even but excluding any allocated RB.

NOTE 3:  $N_{RR}$  is the Transmission Bandwidth Configuration (see Figure 5.3.3).

NOTE 4:  $P_{RB}$  is the transmitted power normalized by the number of allocated RBs, measured in dBm.

The normative reference for this requirement is TS 38.101-1 [2] clauses 6.4A.2.3.2.

# 6.4A.2.2.1 Carrier leakage for CA (2UL CA)

6.4A.2.2.1.0 Minimum conformance requirements

### 6.4A.2.2.1.1 Test purpose

Carrier leakage is an additive sinusoid waveform that is confined within the aggregated transmission bandwidth configuration. The carrier leakage requirement for 2UL CA is defined for each component carrier and is measured on the component carrier with PRBs allocated.

### 6.4A.2.2.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports 2DL and 2UL CA.

### 6.4A.2.2.1.3 Minimum conformance requirements

The minimum conformance requirements are defined in clause 6.4A.2.2.0.6.4A.2.2.1.4Test description

# 6.4A.2.2.1.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR CA configuration specified in clause 5.5A. All of these configurations shall be tested with applicable test parameters for each CA configuration, and are shown in Table 6.4A.2.2.1.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

### Table 6.4A.2.2.1.4.1-1: Inter band CA Test Configuration Table

### [FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [TBD] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause4.4.3.
- 3. Downlink signals for PCC are initially set up according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.4A.2.2.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4A.2.2.1.4.3.

### 6.4A.2.2.1.4.2 Test procedure

- 1. Configure SCC according to Annex C.0, C.1, C.2 for all downlink physical channels.
- 2. The SS shall configure SCC as per TS 38.508-1 [5] clause [TBD]. Message contents are defined in clause 6.4A.2.2.1.4.3.
- 3. SS activates SCC by sending the activation MAC CE (Refer TS 38.321 [TBD], clauses 5.9, 6.1.3.10). Wait for at least 2 seconds (Refer TS 38.133[TBD], clause9.3).
- 4. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4A.2.2.1.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 5. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $10 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4A.2.2.1.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 6. Measure carrier leakage using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test.
- 7. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is 0 + PwdBm±Pw dB where Pw is the power window according to Table 6.4A.2.2.1.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 8. Measure carrier leakage on PCC using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test.
- 9. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $30 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4A.2.2.1.4.2-2 for the carrier frequency f and the channel bandwidth BW.
- 10. Measure carrier leakage on PCC using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test.
- 11. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $40 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4A.2.2.1.4.2-2 for the carrier frequency f and the channel bandwidth BW.
- 12. Measure carrier leakage on PCC using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test.

Table 6.4A.2.2.1.4.2-1: Power Window (dB) for carrier leakage (step 5 and step 7)

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 20MHz	[1.4]	[1.7]	[2]
20MHz < BW ≤ 40MHz	[1.4]	[1.7]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.3]

Table 6.4A.2.2.1.4.2-2: Power Window (dB) for carrier leakage (step 9 and step 11)

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 40MHz	[1.7]	[2.0]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.5]

## 6.4A.2.2.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

# 6.4A.2.2.1.5 Test requirement

Each of the [20] carrier leakage results, derived in Annex E.3.1, shall not exceed the values in table 6.4A.2.2.1.5-1. Allocated RBs are not under test.

Table 6.4A.2.2.1.5-1: Test requirements for Carrier Leakage

LO Leakage		Parameters	Relative limit				
		UE output power	(dBc)				
		10 + PwdBm±Pw dB⁵	-27.2				
		0 + PwdBm±Pw dB⁵	-24.2				
		-30 + PwdBm±Pw dB <sup>6</sup>	-19.2				
		-40 + PwdBm±Pw dB <sup>6</sup>	-9.2				
NOTE 1:		neasurement bandwidth is 1 RB and					
		ssed as a ratio of measured power i					
		ited RB to the measured total power	in all allocated				
	RBs.						
NOTE 2:			pplicable frequencies for this limit are those that are				
	encio	sed in the RBs containing the carrier leakage frequency					
	if $N_R$	$_{\it B}$ is odd, or in the two RBs immediately adjacent to the					
	carrie	r leakage frequency if $N_{\scriptscriptstyle RB}$ is even	but excluding any				
	alloca	ited RB.					
NOTE 3:	$N_{RB}$	is the Transmission Bandwidth Con	figuration (see				
	Figure	e 5.3.3).					
	$P_{nn}$						
NOTE 4: TRB		is the transmitted power normalized by the number of					
NOTE 5.	alloca	aled RDS, measured in dDm.					
NOTE 5:		the power window according to Table 6.4A.2.3.2.4.2-1 e carrier frequency f and the channel bandwidth BW.					
NOTE 6: Pw is the power window according to Table 6.4A.2.3							
INOTE 0.		e carrier frequency f and the channe					
1	101 111	s darrier frequency rand the charme	Danawidin Dvv.				

# 6.4A.2.3 In-band emission for CA

### 6.4A.2.3.0 Minimum conformance requirements

For inter-band carrier aggregation with uplink assigned to two NR bands, the requirements shall apply on each component carrier as defined in clause 6.4.2 with all component carriers active.

The requirements in Table 6.4A.2.3.0-1 apply with PCC and SCC in the UL configured and activated: PCC with PRB allocation and SCC without PRB allocation and without CSI reporting and SRS configured.

Table 6.4A.2.3.0-1: Inter band CA Requirements for in-band emissions

Parameter description	Unit		Applicable Frequencies	
General	dB	ma 20 - 5	Any non-allocated (NOTE 2)	
		-28	Image frequencies when output power > 10 dBm	Image
IQ Image	dB	-25	Image frequencies when output power ≤ 10 dBm	frequencies (NOTES 2, 3)
		-28	Output power > 10 dBm	Carrier la alcare
Carrier	dBc	-25	0 dBm ≤ Output power ≤10 dBm	Carrier leakage
leakage		-20	-30 dBm ≤ Output power ≤ 0 dBm	frequency (NOTES 4, 5)
		-10	-40 dBm≤ Output power < -30 dBm	(1401234, 3)

- NOTE 1: An in-band emissions combined limit is evaluated in each non-allocated RB. For each such RB, the minimum requirement is calculated as the higher of  $P_{RB}$  30 dB and the power sum of all limit values (General, IQ Image or Carrier leakage) that apply.  $P_{RB}$  is defined in NOTE 10.
- NOTE 2: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one nonallocated RB to the measured average power per allocated RB, where the averaging is done across all allocated RBs.
- NOTE 3: The applicable frequencies for this limit are those that are enclosed in the reflection of the allocated bandwidth, based on symmetry with respect to the carrier leakage frequency, but excluding any allocated RBs.
- NOTE 4: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one nonallocated RB to the measured total power in all allocated RBs.
- NOTE 5: The applicable frequencies for this limit are those that are enclosed in the RBs containing the carrier leakage frequency if  $N_{RB}$  is odd, or in the two RBs immediately adjacent to the carrier leakage frequency if  $N_{RB}$  is even but excluding any allocated RB.
- NOTE 6:  $L_{\it CRB}$  is the Transmission Bandwidth (see Figure 5.3.3).
- NOTE 7:  $N_{RR}$  is the Transmission Bandwidth Configuration (see Figure 5.3.3).
- NOTE 8: *EVM* is the limit specified in Table 6.4A.2.3.1.3-1 for the modulation format used in the allocated RBs.
- NOTE 9:  $\Delta_{RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.
  - $\Delta_{RB} = 1$  or  $\Delta_{RB} = -1$  for the first adjacent RB outside of the allocated bandwidth.
- NOTE 10:  $P_{RB}$  is the transmitted power normalized by the number of allocated RBs, measured in dBm.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4A.2.3.

# 6.4A.2.3.1 In-band emissions for CA (2UL CA)

# 6.4A.2.3.1.1 Test purpose

The in-band emissions are a measure of the interference falling into the non-allocated resources blocks.

For an allocated component carrier, the in-band emission is defined as the average across 12 sub-carrier and as a function of the RB offset from the edge of the allocated UL transmission bandwidth. The in-band emission is measured as the ratio of the UE output power in a non–allocated RB to the UE output power in an allocated RB. The basic in-band emissions measurement interval is defined over one slot in the time domain, however, the minimum requirement applies when the in-band emission measurement is averaged over 10 sub-frames. When the PUSCH or PUCCH transmission slot is shortened due to multiplexing with SRS, the in-band emissions measurement interval is reduced by one or more symbols, accordingly.

For a non allocated component carrier a spectral measurement is specified.

### 6.4A.2.3.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports 2DL and 2UL CA.

### 6.4A.2.3.1.3 Minimum conformance requirements

The minimum conformance requirements are defined in clause 6.4A.2.3.06.4A.2.3.1.4 Test description

#### 6.4A.2.3.1.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR CA configuration specified in clause 5.5A. All of these configurations shall be tested with applicable test parameters for each CA configuration, and are shown in Table 6.4A.2.3.1.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

### Table 6.4A.2.3.1.4.1-1: Test Configuration Table

# [FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [TBD] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause4.4.3.
- 3. Downlink signals for PCC are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.4A.2.3.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4A.2.3.1.4.3.

### 6.4A.2.3.1.4.2 Test procedure

- 1. Configure SCC according to Annex C.0, C.1, C.2 for all downlink physical channels.
- 2. The SS shall configure SCC as per TS 38.508-1 [5] clause [TBD]. Message contents are defined in clause 6.4A.2.3.1.4.3.
- 3. SS activates SCC by sending the activation MAC CE (Refer TS 38.321 [TBD], clauses 5.9, 6.1.3.10). Wait for at least 2 seconds (Refer TS 38.133[TBD], clause9.3).
- 4. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [1\_0] for C\_RNTI to schedule the UL RMC according to Table 6.4A.2.3.1.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 5. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is 10 + P<sub>W</sub>dBm±P<sub>W</sub> dB where P<sub>W</sub> is the power window according to Table 6.4A.2.3.1.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 6. Measure In-band emission on PCC using Global In-Channel Tx-Test (Annex E). Measure power spectral density on the SCC. For TDD slots with transient periods are not under test.
- 7. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is 0 + P<sub>W</sub>dBm±P<sub>W</sub> dB where P<sub>W</sub> is the power window according to Table 6.4A.2.3.1.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 8. Measure In-band emission on PCC using Global In-Channel Tx-Test (Annex E). Measure power spectral density on the SCC. For TDD slots with transient periods are not under test.
- 9. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is -30 + PwdBm±Pw dB where Pw is the power window according to Table 6.4A.2.3.1.4.2-2 for the carrier frequency f and the channel bandwidth BW.

- 10. Measure In-band emission on PCC using Global In-Channel Tx-Test (Annex E). Measure power spectral density on the SCC. For TDD slots with transient periods are not under test.
- 11. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $40 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4A.2.3.1.4.2-2 for the carrier frequency f and the channel bandwidth BW.
- 12. Measure In-band emission on PCC using Global In-Channel Tx-Test (Annex E). Measure power spectral density on the SCC. For TDD slots with transient periods are not under test.

NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration Table 6.4.2.3.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition.

Table 6.4A.2.3.1.4.2-1: Power Window (dB) for carrier leakage (steps 5, 7)

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 20MHz	[1.4]	[1.7]	[2]
20MHz < BW ≤ 40MHz	[1.4]	[1.7]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.3]

Table 6.4A.2.3.1.4.2-2: Power Window (dB) for carrier leakage (steps 9, 11)

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 40MHz	[1.7]	[2.0]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.5]

### 6.4A.2.3.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

# 6.4A.2.3.1.5 Test requirement

Each of the [20] In-band emissions results, derived in Annex E.4.3 shall not exceed the corresponding values in Table 6.4A.2.1.3.5-1.

Table 6.4A.2.3.1.5-1: Test requirements for in-band emissions

Parameter description	Unit		Applicable Frequencies	
General	dB	max { 20 · 10 – 57 o	Any non-allocated (NOTE 2)	
		-27.2	Image frequencies when output power > 10 dBm	Image
IQ Image	dB	-24.2	Image frequencies when output power ≤ 10 dBm	frequencies (NOTES 2, 3)
		-27.2	Output power > 10 dBm	Camian la alcama
Carrier leakage	dBc	-24.2	0 dBm ≤ Output power ≤10 dBm	Carrier leakage
		-19.2	-30 dBm ≤ Output power ≤ 0 dBm	frequency (NOTES 4, 5)
		-9.2	-40 dBm≤ Output power < -30 dBm	(1101234, 3)

- NOTE 1: An in-band emissions combined limit is evaluated in each non-allocated RB. For each such RB, the minimum requirement is calculated as the higher of *P*<sub>RB</sub>- 30 dB and the power sum of all limit values (General, IQ Image or Carrier leakage) that apply. *P*<sub>RB</sub>is defined in NOTE 10.
- NOTE 2: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one nonallocated RB to the measured average power per allocated RB, where the averaging is done across all allocated RBs.
- NOTE 3: The applicable frequencies for this limit are those that are enclosed in the reflection of the allocated bandwidth, based on symmetry with respect to the carrier leakage frequency, but excluding any allocated RBs.
- NOTE 4: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one non-allocated RB to the measured total power in all allocated RBs.
- NOTE 5: The applicable frequencies for this limit are those that are enclosed in the RBs containing the carrier leakage frequency if  $N_{RB}$  is odd, or in the two RBs immediately adjacent to the carrier leakage frequency if  $N_{RB}$  is even, but excluding any allocated RB.
- NOTE 6:  $L_{\it CRB}$  is the Transmission Bandwidth (see Figure 5.3.3).
- NOTE 7:  $N_{RR}$  is the Transmission Bandwidth Configuration (see Figure 5.3.3).
- NOTE 8: *EVM* is the limit specified in Table 6.4.2.1.3-1 for the modulation format used in the allocated RBs.
- NOTE 9:  $\Delta_{\it RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.
  - $\Delta_{RB} = 1$  or  $\Delta_{RB} = -1$  for the first adjacent RB outside of the allocated bandwidth.
- NOTE 10:  $P_{RR}$  is the transmitted power normalized by the number of allocated RBs, measured in dBm.

# 6.4D Transmit signal quality for UL-MIMO

# 6.4D.1 Frequency error for UL-MIMO

### Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Test point selection is FFS.
- Connection diagram is FFS.
- MU and TT need further study on whether any deviation from basic test case is needed.

### 6.4D.1.1 Test purpose

This test verifies the ability of both, the receiver and the transmitter for UL-MIMO, to process frequency correctly.

Receiver: to extract the correct frequency from the stimulus signal, offered by the System simulator, under ideal propagation conditions and low level.

Transmitter: to derive the correct modulated carrier frequency for each antenna connector from the results, gained by the receiver.

# 6.4D.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

# 6.4D.1.3 Minimum conformance requirements

For UE(s) supporting UL-MIMO, the UE modulated carrier frequency at each transmit antenna connector shall be accurate to within  $\pm$  0.1 PPM observed over a period of one sub-frame (1 ms) compared to the carrier frequency received from the NR Node B.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4D.1.

### 6.4D.1.4 Test description

#### 6.4D.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.4D.1.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

### Table 6.4D.1.4.1-1: Test Configuration Table

#### **FFS**

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure [A.3.1.2.2] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.4D.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4D.1.4.3.

#### 6.4D.1.4.2 Test procedure

- 1. SS transmits PDSCH via PDCCH DCI format [1\_0] for C\_RNTI to transmit the DL RMC according to Table 6.4D.1.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.4D.1.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with the condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2
- 3. Set the Downlink signal level to the appropriate REFSENS value defined in [Table 7.3D.2.5-1]. Send continuously uplink power control "up" commands to the UE in every uplink scheduling information to the UE so that the UE transmits at  $P_{UMAX}$  level for the duration of the test. Allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
- 4. Measure the Frequency Error using Global In-Channel Tx-Test (Annex E) at each transmit antenna connector of the UE. For TDD slots with transient periods are not under test.

### 6.4D.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the exceptions in [clause 7.3D.4.3].

# 6.4D.1.5 Test requirement

The requirements apply to each transmit antenna connector.

The n frequency error  $\Delta f$  results must fulfil the test requirement:

 $|\Delta f| \le (0.1PPM+15 Hz)$ 

n is 10 for 15kHz SCS, 20 for 30kHz SCS and 30 for 60kHz SCS.

# 6.4D.2 Transmit modulation quality for UL-MIMO

For UE supporting UL-MIMO, the transmit modulation quality requirements are specified at each transmit antenna connector.

If UE is configured for transmission on single-antenna port, the requirements specified for single carrier apply.

The transmit modulation quality is specified in terms of:

- Error Vector Magnitude (EVM) for the allocated resource blocks (RBs)
- EVM equalizer spectrum flatness derived from the equalizer coefficients generated by the EVM measurement process
- Carrier leakage (caused by IQ offset)
- In-band emissions for the non-allocated RB

# 6.4D.2.1 Error Vector Magnitude for UL-MIMO

#### Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Test point selection is FFS.
- Connection diagram is FFS.

#### 6.4D.2.1.1 Test purpose

The Error Vector Magnitude is a measure of the difference between the reference waveform and the measured waveform. This difference is called the error vector. Before calculating the EVM the measured waveform is corrected by the sample timing offset and RF frequency offset. Then the carrier leakage shall be removed from the measured waveform before calculating the EVM.

The measured waveform is further equalised using the channel estimates subjected to the EVM equaliser spectrum flatness requirement specified in sub-clause 6.4D.2.4.3. For DFT-s-OFDM waveforms, the EVM result is defined after the front-end FFT and IDFT as the square root of the ratio of the mean error vector power to the mean reference power expressed as a %. For CP-OFDM waveforms, the EVM result is defined after the front-end FFT as the square root of the ratio of the mean error vector power to the mean reference power expressed as a %.

The basic EVM measurement interval in the time domain is the duration of PUSCH channel, or one hop, if frequency hopping is enabled for PUSCH in the time domain. The EVM measurement interval is reduced by any symbols that contains an allowable power transient as defined in subclause 6.3D.3.3.

### 6.4D.2.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

# 6.4D.2.1.3 Minimum conformance requirements

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the Error Vector Magnitude requirements specified in Table 6.4.2.1.3-1 which is defined in subclause 6.4.2.1.3 apply at each transmit antenna connector. The requirements shall be met with the UL-MIMO configurations specified in Table 6.2D.1.3-2

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4D.2.1.

### 6.4D.2.1.4 Test description

### 6.4D.2.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.4D.2.1.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

### Table 6.4D.2.1.4.1-1: Test Configuration Table

#### **FFS**

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure [A.3.1.2.2] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.4D.2.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4D.2.1.4.3.

### 6.4D.2.1.4.2 Test procedure

Test procedure for PUSCH:

- 1.1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4D.2.1.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with the condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2
- 1.2 Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX\ level}$ , allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}\ level$ .
- 1.3 Measure the EVM and  $EVM_{DMRS}$  using Global In-Channel Tx-Test (Annex E) for each of transmit antenna of the UE.
- 1.4 For modulations except 256QAM, send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is in the range  $P_{min} + P_W \pm P_W$ , where  $P_{min}$  is the minimum output power according to Table 6.3.1.3-1 and  $P_W$  is the power window according to Table 6.4D.2.1.4.2-1 for the carrier frequency f and the channel bandwidth BW.
  - For 256 QAM, send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is in the range TBD  $\pm$  TBD.
- 1.5 Measure the EVM and EVM <sub>DMRS</sub> using Global In-Channel Tx-Test (Annex E).

NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.4D.2.1.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition.

Table 6.4D.2.1.4.2-1: Power Window (dB) for EVM PUSCH and PUCCH except 256QAM

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 40MHz	[1.7]	[2.0]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.5]

### 6.4D.2.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the condition 2TX\_UL\_MIMO.

### 6.4D.2.1.5 Test requirement

The requirements apply to each transmit antenna connector.

The PUSCH EVM, derived in Annex E.4.2, shall not exceed the values in Table 6.4D.2.1.5-1.

The PUSCH  $EVM_{DMRS}$ , derived in Annex E.4.6.2, shall not exceed the values in Table 6.4D.2.1.5-1 when embedded with data symbols of the respective modulation scheme.

Table 6.4.2.1.5-1: Test requirements for Error Vector Magnitude

Parameter	Unit	Average EVM Level
Pi/2-BPSK	%	30
QPSK	%	17.5
16 QAM	%	12.5
64 QAM	%	8
256 QAM	%	3.8 for 15 dBm < P∪L
		4.3 for -25 dBm < P <sub>UL</sub> ≤ 15 dBm
		4.6 for -40dBm ≤ P <sub>UL</sub> ≤ -25dBm

# 6.4D.2.2 Carrier leakage for UL-MIMO

#### Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Test point selection is FFS.
- Connection diagram is FFS.

#### 6.4D.2.2.1 Test purpose

The purpose of this test is to exercise the UE transmitter for UL-MIMO to verify its modulation quality in terms of carrier leakage.

### 6.4D.2.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

# 6.4D.2.2.3 Minimum conformance requirements

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the Relative Carrier Leakage Power requirements specified in Table 6.4.2.2.3-1 which is defined in subclause 6.4.2.2.3 apply at each transmit antenna connector. The requirements shall be met with the UL-MIMO configurations specified in Table 6.2D.1.3-2

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4D.2.2.

# 6.4D.2.2.4 Test description

# 6.4D.2.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.4D.2.2.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

### Table 6.4D.2.2.4.1-1: Test Configuration Table

### **FFS**

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure [A.3.1.2.2] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.4D.2.2.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4D.2.2.4.3.

### 6.4D.2.2.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4D.2.2.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with the condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2.
- 2. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $10 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4D.2.2.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 3. Measure carrier leakage using Global In-Channel Tx-Test (Annex E) for each of transmit antenna of the UE. For TDD slots with transient periods are not under test.
- 4. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $0 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4D.2.2.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 5. Measure carrier leakage using Global In-Channel Tx-Test (Annex E) for each of transmit antenna of the UE. For TDD slots with transient periods are not under test.
- 6. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $30 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4D.2.2.4.2-2 for the carrier frequency f and the channel bandwidth BW.
- 7. Measure carrier leakage using Global In-Channel Tx-Test (Annex E) for each of transmit antenna of the UE. For TDD slots with transient periods are not under test
- 8. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $40 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4D.2.2.4.2-2 for the carrier frequency f and the channel bandwidth BW.
- 9. Measure carrier leakage using Global In-Channel Tx-Test (Annex E) for each of transmit antenna of the UE. For TDD slots with transient periods are not under test

Table 6.4D.2.2.4.2-1: Power Window (dB) for carrier leakage (step 2 and step 4)

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 20MHz	[1.4]	[1.7]	[2]
20MHz < BW ≤ 40MHz	[1.4]	[1.7]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.3]

Table 6.4D.2.1.4.2-2: Power Window (dB) for carrier leakage (step 6 and step 8)

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 40MHz	[1.7]	[2.0]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.5]

### 6.4D.2.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the condition 2TX\_UL\_MIMO.

### 6.4D.2.2.5 Test requirement

The requirements apply to each transmit antenna connector.

Each of the n carrier leakage results, derived in Annex E.3.1, shall not exceed the values in table 6.4D.2.2.5-1. Allocated RBs are not under test. n is 10 for 15kHz SCS, 20 for 30kHz SCS and 30 for 60kHz SCS.

Table 6.4D.2.2.5-1: Test requirements for Relative Carrier Leakage Power

LO Leakage		Parameters	Relative limit	
		UE output power	(dBc)	
		10 + Pw dBm ± Pw dB⁵	-27.2	
		$0 + P_W dBm \pm P_W dB^5$	-24.2	
		$-30 + P_W dBm \pm P_W dB^6$	-19.2	
		$-40 + P_W dBm \pm P_W dB^6$	-9.2	
NOTE 1:	The m	neasurement bandwidth is 1 RB and	the limit is	
	expre	ssed as a ratio of measured power i	n one non-	
	alloca RBs.	ted RB to the measured total power	in all allocated	
NOTE 2:		The applicable frequencies for this limit are those that are enclosed in the RBs containing the carrier leakage frequency		
	if $N_{\scriptscriptstyle R}$	$_{\it B}$ is odd, or in the two RBs immedia	tely adjacent to the	
	carrie	r leakage frequency if $N_{{\scriptscriptstyle R}{\scriptscriptstyle B}}$ is even	but excluding any	
	alloca	ted RB.		
NOTE 3:	$N_{nn}$	is the Transmission Bandwidth Con	figuration (see	
	T(D	e 5.3.3).	(	
	J	,		
NOTE 4:	$P_{RB}$ i	s the transmitted power normalized	by the number of	
	alloca	ted RBs, measured in dBm.	•	
NOTE 5:	Pw is	the power window according to Tab	le 6.4.2.2.4.2-1 for	
		arrier frequency f and the channel ba		
NOTE 6:		the power window according to Tab		
	the ca	arrier frequency f and the channel ba	andwidth BW.	

# 6.4D.2.3 In-band emissions for UL-MIMO

#### Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Test point selection is FFS.
- Connection diagram is FFS.
- MU and TT are FFS.

# 6.4D.2.3.1 Test purpose

The purpose of this test is to exercise the UE transmitter for UL-MIMO to verify its modulation quality in terms of inband emissions.

### 6.4D.2.3.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

#### 6.4D.2.3.3 Minimum conformance requirements

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the In-band Emission requirements specified in Table 6.4.2.3.3-1 which is defined in subclause 6.4.2.3.3 apply at each transmit antenna connector. The requirements shall be met with the uplink MIMO configurations specified in Table 6.2D.1.3-2.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4D.2.3.

6.4D.2.3.4 Test description

6.4D.2.3.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.4D.2.3.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

# Table 6.4D.2.3.4.1-1: Test Configuration Table

### **FFS**

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure [A.3.1.2.2] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.4D.2.3.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4D.2.3.4.3.

### 6.4D.2.3.4.2 Test procedure

Test procedure for PUSCH:

- 1.1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [1\_0] for C\_RNTI to schedule the UL RMC according to Table 6.4D.2.3.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with the condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2.
- 1.2 Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $10 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4D.2.3.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 1.3 Measure In-band emission using Global In-Channel Tx-Test (Annex E) for each of transmit antennas of the UE. For TDD slots with transient periods are not under test.
- 1.4 Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $0 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4D.2.3.4.2-1 for the carrier frequency f and the channel bandwidth BW.
- 1.5 Measure In-band emission using Global In-Channel Tx-Test (Annex E) for each of transmit antennas of the UE. For TDD slots with transient periods are not under test.

- 1.6 Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $30 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4D.2.3.4.2-2 for the carrier frequency f and the channel bandwidth BW.
- 1.7 Measure In-band emission using Global In-Channel Tx-Test (Annex E) for each of transmit antennas of the UE. For TDD slots with transient periods are not under test.
- 1.8 Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $40 + P_W dBm \pm P_W dB$  where  $P_W$  is the power window according to Table 6.4D.2.3.4.2-2 for the carrier frequency f and the channel bandwidth BW.
- 1.9 Measure In-band emission using Global In-Channel Tx-Test (Annex E) for each of transmit antennas of the UE. For TDD slots with transient periods are not under test.

NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.4D.2.3.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition

Table 6.4D.2.3.4.2-1: Power Window (dB) for carrier leakage (steps 1.2, 1.4)

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 20MHz	[1.4]	[1.7]	[2]
20MHz < BW ≤ 40MHz	[1.4]	[1.7]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.3]

Table 6.4D.2.3.4.2-2: Power Window (dB) for carrier leakage (steps 1.6, 1.8)

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 40MHz	[1.7]	[2.0]	[2.2]
40MHz < BW ≤ 100MHz	[2.1]	[2.3]	[2.5]

## 6.4D.2.3.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the condition 2TX\_UL\_MIMO.

### 6.4D.2.3.5 Test requirement

The requirements apply to each transmit antenna connector.

Each of the n In-band emissions results, derived in Annex E.4.3 shall not exceed the corresponding values in Tables 6.4D.2.3.5-1. n is 10 for 15kHz SCS, 20 for 30kHz SCS and 30 for 60kHz SCS.

Table 6.4D.2.3.5-1: Test requirements for in-band emissions

Parameter description	Unit		Applicable Frequencies	
General	dB	20 · log	$-25 - 10 \cdot \log_{10} (N_{RB} / L_{CRB}),$ $g_{10} EVM - 3 - 5 \cdot ( \Delta_{RB}  - 1) / L_{CRB}, + 0.8$ $Bm + 10 \log_{10} (SCS / 15kHz) - P_{RB} $	Any non-allocated (NOTE 2)
		-27.2	Image frequencies when output power > 10 dBm	Image
IQ Image dB	-24.2	Image frequencies when output power ≤ 10 dBm	frequencies (NOTES 2, 3)	
		-27.2	Output power > 10 dBm	Comicaloskowa
Carrier dBc	-24.2	0 dBm ≤ Output power ≤10 dBm	Carrier leakage	
	ubc	-19.2	-30 dBm ≤ Output power ≤ 0 dBm	frequency (NOTES 4, 5)
		-9.2	-40 dBm ≤ Output power < -30 dBm	(1401234, 5)

- NOTE 1: An in-band emissions combined limit is evaluated in each non-allocated RB. For each such RB, the minimum requirement is calculated as the higher of  $P_{RB}$  30 dB and the power sum of all limit values (General, IQ Image or Carrier leakage) that apply.  $P_{RB}$  is defined in NOTE 10.
- NOTE 2: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one nonallocated RB to the measured average power per allocated RB, where the averaging is done across all allocated RBs.
- NOTE 3: The applicable frequencies for this limit are those that are enclosed in the reflection of the allocated bandwidth, based on symmetry with respect to the carrier leakage frequency, but excluding any allocated RBs.
- NOTE 4: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one non-allocated RB to the measured total power in all allocated RBs.
- NOTE 5: The applicable frequencies for this limit are those that are enclosed in the RBs containing the carrier leakage frequency if  $N_{RB}$  is odd, or in the two RBs immediately adjacent to the carrier leakage frequency if  $N_{RB}$  is even, but excluding any allocated RB.
- NOTE 6:  $L_{\it CRB}$  is the Transmission Bandwidth (see Figure 5.3.3).
- NOTE 7:  $N_{RR}$  is the Transmission Bandwidth Configuration (see Figure 5.3.3).
- NOTE 8: *EVM* is the limit specified in Table 6.4.2.1.3-1 for the modulation format used in the allocated RBs.
- NOTE 9:  $\Delta_{RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.  $\Delta_{RB}=1$  or  $\Delta_{RB}=-1$  for the first adjacent RB outside of the allocated bandwidth.
- NOTE 10:  $P_{\it RB}$  is the transmitted power normalized by the number of allocated RBs, measured in dBm.

## 6.4D.2.4 EVM equalizer spectrum flatness for UL-MIMO

#### Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Test point selection is FFS.
- Connection diagram is FFS.

#### 6.4D.2.4.1 Test purpose

The purpose of this test is to verify the zero-forcing equalizer correction applied in the EVM measurement process (as described in Annex E) meets a spectrum flatness requirement for the EVM measurement to be valid.

#### 6.4D.2.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

#### 6.4D.2.4.3 Minimum conformance requirements

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the EVM Equalizer Spectrum Flatness requirements specified in Table 6.4.2.4.3-1 and Table 6.4.2.4.3-2 which are defined in subclause 6.4.2.4.3 apply at each transmit antenna connector. The requirements shall be met with the UL-MIMO configurations specified in Table 6.2D.1.3-2

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4D.2.4.

6.4D.2.4.4 Test description

6.4D.2.4.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in

table 6.4D.2.4.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

### Table 6.4D.2.4.4.1-1: Test Configuration Table

#### **FFS**

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure [A.3.1.2.2] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement Channel is set according to Table 6.4D.2.4.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4D.2.4.4.3.

#### 6.4D.2.4.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4D.2.4.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with the condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2.
- 2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level. Allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
- 3. Measure spectrum flatness using Global In-Channel Tx-Test (Annex E) for each of transmit antennas of the UE. For TDD slots with transient periods are not under test.

### 6.4D.2.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the condition 2TX\_UL\_MIMO.

#### 6.4D.2.4.5 Test requirement

The requirements apply to each transmit antenna connector.

Each of the [20] spectrum flatness functions, shall derive four ripple results in Annex E.4.4. The derived results shall not exceed the values in Figure 6.4D.2.4.5-1:

For shaped Pi/2-BPSK modulated waveforms, the test requirements are TBD.

For normal conditions and unshaped modulated waveforms, the maximum ripple in Range 1 and Range 2 shall not exceed the values specified in Table 6.4D.2.4.5-1 and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 6.4 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 8.4 dB (see Figure 6.4D.2.4.5-1).

For normal conditions and for unshaped modulated waveforms, the peak-to-peak variation of the EVM equalizer coefficients contained within the frequency range of the uplink allocation shall not exceed the maximum ripple specified in Table 6.4D.2.4.5-1. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 6.4 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 8.4 dB (see Figure 6.4D.2.4.5-1).

For extreme conditions, the EVM equalizer spectral flatness shall not exceed the values specified in Table 6.4D.2.4.5-2. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative

difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 7.4 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 11.4 dB (see Figure 6.4D.2.4.5-1).

Table 6.4D.2.4.5-1: Requirements for EVM equalizer spectrum flatness for unshaped modulations (normal conditions)

	Frequency range	Maximum ripple [dB]
F <sub>UL_Meas</sub>	- Ful_Low≥ 3 MHz and Ful_High - Ful_Meas≥ 3 MHz	5.4 (p-p)
	(Range 1)	
Ful_Meas	s - Ful_Low < 3 MHz or Ful_High - Ful_Meas < 3 MHz	9.4 (p-p)
	(Range 2)	
	$FuL_Meas$ refers to the sub-carrier frequency for which evaluated	the equalizer coefficient is
	$F_{\text{UL\_Low}}$ and $F_{\text{UL\_High}}$ refer to each E-UTRA frequency 5.5-1	band specified in Table

Table 6.4D.2.4.5-2: Minimum requirements for EVM equalizer spectrum flatness for unshaped modulations (extreme conditions)

Frequency range	Maximum Ripple [dB]
F <sub>UL_Meas</sub> – F <sub>UL_Low</sub> ≥ 5 MHz and F <sub>UL_High</sub> – F <sub>UL_Meas</sub> ≥ 5 MHz	5.4 (p-p)
(Range 1)	
Ful_Meas - Ful_Low < 5 MHz or Ful_High - Ful_Meas < 5 MHz	13.4 (p-p)
(Range 2)	
NOTE 1: F <sub>UL_Meas</sub> refers to the sub-carrier frequency for which evaluated	
NOTE 2: Ful_Low and Ful_High refer to each E-UTRA frequency 5.5-1	band specified in Table

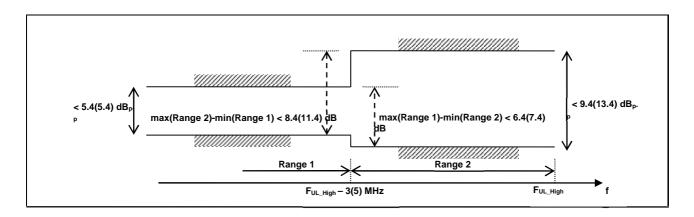


Figure 6.4D.2.4.5-1: The test requirements for EVM equalizer spectral flatness with the maximum allowed variation of the coefficients indicated for unshaped modulations (the ETC test requirements are within brackets).

# 6.4D.3 Time alignment error for UL-MIMO

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- Test config table is still FFS.
- SA Generic procedures with condition NR in TS 38.508-1 is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- TT value is still FFS.

### 6.4D.3.1 Test purpose

To verify that the error of time alignment in UL MIMO does not exceed the range prescribed by the specified UL MIMO Time Alignment Error (TAE) and tolerance.

An excess time alignment error has the possibility to interfere to other channels or other systems and decrease UL MIMO performance because of the timing unsynchronization.

### 6.4D.3.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

## 6.4D.3.3 Minimum conformance requirements

For UE(s) with multiple transmit antenna connectors supporting UL-MIMO, this requirement applies to frame timing differences between transmissions on multiple transmit antenna connectors in the closed-loop spatial multiplexing scheme.

The time alignment error (TAE) is defined as the average frame timing difference between any two transmissions on different transmit antenna connectors.

For UE(s) with multiple transmit antenna connectors, the Time Alignment Error (TAE) shall not exceed 130 ns.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4D.3

### 6.4D.3.4 Test description

#### 6.4D.3.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.4D.3.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

## Table 6.4D.3.4.1-1: Test Configuration Table

#### FFS

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.1.2 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.4D.3.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4D.3.4.3

## 6.4D.3.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.4D.3.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200ms for the UE to reach  $P_{\text{UMAX}}$  level for UE.
- 3. Measure the timing of one sub-frame at each antenna connector.

### 6.4D.3.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.4D.3.5 Test requirement

For UE(s) with multiple transmit antenna connectors, the Time Alignment Error (TAE) shall not exceed 130 + TT ns.

Table 6.4D.3.5-1: Test Tolerance (Time alignment error for UL-MIMO)

Test Tolerance
TBD

# 6.5 Output RF spectrum emissions

Unwanted emissions are divided into "Out-of-band emission" and "Spurious emissions" in 3GPP RF specifications. This notation is in line with ITU-R recommendations such as SM.329 [TBD] and the Radio Regulations [TBD].

#### ITU defines:

Out-of-band emission = Emission on a frequency or frequencies immediately outside the necessary bandwidth which results from the modulation process, but excluding spurious emissions.

Spurious emission = Emission on a frequency, or frequencies, which are outside the necessary bandwidth and the level of which may be reduced without affecting the corresponding transmission of information. Spurious emissions include harmonic emissions, parasitic emissions, intermodulation products and frequency conversion products but exclude out-of-band emissions.

Unwanted emissions = Consist of spurious emissions and out-of-band emissions.

The UE transmitter spectrum emission consists of the three components; the occupied bandwidth (channel bandwidth), the Out Of Band (OOB) emissions and the far out spurious emission domain.

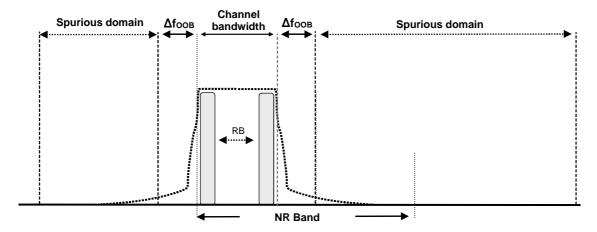


Figure 6.5-1: Transmitter RF spectrum

# 6.5.1 Occupied bandwidth

### 6.5.1.1 Test purpose

To verify that the UE occupied bandwidth for all transmission bandwidth configurations supported by the UE are less than their specific limits

#### 6.5.1.2 Test applicability

This test applies to all types of NR UE release 15 and forward.

### 6.5.1.3 Minimum conformance requirements

Occupied bandwidth is defined as the bandwidth containing 99 % of the total integrated mean power of the transmitted spectrum on the assigned channel. The occupied bandwidth for all transmission bandwidth configurations (Resources Blocks) shall be less than the channel bandwidth specified in Table 6.5.1.3-1

Table 6.5.1.3-1: Occupied channel bandwidth

		Occupied channel bandwidth / NR Channel bandwidth											
	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	
Channel bandwidth (MHz)	5	10	15	20	25	30	40	50	60	80	90	100	

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.1.

6.5.1.4 Test description

6.5.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.5.1.4.1-1: Test Configuration Table

	Initial Conditions									
Test Enviro	onment as specified in TS 38.508-1 [5]	Normal								
subclause	4.1									
	encies as specified in TS 38.508-1 [5]	Mid range by default, excep	ptions listed in Table 6.5.1.4.1-2							
subclause										
Test Chan	nel Bandwidths as specified in TS	All								
38.508-1 [5	5] subclause 4.3.1									
Test SCS a	as specified in Table 5.3.5-1	Lowest SCS per Channel Bandwidth								
	7	Test Parameters								
Test ID	Downlink Configuration	Uplinl	k Configuration							
	N/A for occupied bandwidth test	Modulation	RB allocation (NOTE 1)							
1	case	CP-OFDM QPSK	Outer_full							
NOTE 1:	NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.									

Table 6.5.1.4.1-2: Test frequency exceptions for Occupied Bandwidth

5G NR Band	Test Frequency
n77	Low Range, Mid Range, High Range
n78	Low Range, Mid Range, High Range
n79	Low Range, Mid Range, High Range

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.

- 4. The UL Reference Measurement channels are set according to Table 6.5.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0 -
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.1.4.3

#### 6.5.1.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.1.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send continuously power control "up" commands to the UE until the UE transmits at PUMAX level. Allow at least 200ms for the UE to reach PUMAX level.
- 3. Measure the power spectrum distribution within two times or more range over the requirement for Occupied Bandwidth specification centring on the current carrier frequency. The characteristics of the filter shall be approximately Gaussian (typical spectrum analyser filter). Other methods to measure the power spectrum distribution are allowed. The measuring duration is one active uplink subframe.
- 4. Calculate the total power within the range of all frequencies measured in step 3 and save this value as "Total power".
- 5. Sum up the power upward from the lower boundary of the measured frequency range in step 3 and seek the limit frequency point by which this sum becomes 0.5% of "Total power" and save this point as "Lower Frequency".
- 6. Sum up the power downward from the upper boundary of the measured frequency range in step 3 and seek the limit frequency point by which this sum becomes 0.5% of "Total power" and save this point as "Upper Frequency".
- 7. Calculate the difference "Upper Frequency" "Lower Frequency" = "Occupied Bandwidth" between the two limit frequencies obtained in step 5 and step 6.

#### 6.5.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.5.1.5 Test requirement

The measured Occupied Bandwidth shall not exceed values in Table 6.5.1.5-1.

Table 6.5.1.5-1: Occupied channel bandwidth

		Occupied channel bandwidth / NR Channel bandwidth											
	5	5   10   15   20   25   30   40   50   60   80   90											
	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	
Channel bandwidth (MHz)	5	10	15	20	25	30	40	50	60	80	90	100	

## 6.5.2 Out of band emission

The Out of band emissions are unwanted emissions immediately outside the assigned channel bandwidth resulting from the modulation process and non-linearity in the transmitter but excluding spurious emissions. This out of band emission limit is specified in terms of a spectrum emission mask and an adjacent channel leakage power ratio.

To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

### 6.5.2.1 General

**FFS** 

## 6.5.2.2 Spectrum Emission Mask

The spectrum emission mask of the UE applies to frequencies ( $\Delta f_{OOB}$ ) starting from the  $\pm$  edge of the assigned NR channel bandwidth. For frequencies offset greater than  $F_{OOB}$ , the spurious requirements in subclause 6.5.3 are applicable.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

#### 6.5.2.2.1 Test purpose

To verify that the power of any UE emission shall not exceed specified level for the specified channel bandwidth.

## 6.5.2.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

## 6.5.2.2.3 Minimum conformance requirements

The power of any UE emission shall not exceed the levels specified in Table 6.5.2.2.3-1 for the specified channel bandwidth.

Spectrum emission limit (dBm) / Channel bandwidth 90 Measurement Δfоов 5 10 15 20 25 30 40 50 60 80 100 (MHz) MHz bandwidth MHz 1 % channel  $\pm 0 - 1$ -13 -13 -13 -13 -13 -13 bandwidth  $\pm 0 - 1$ -24 -24 -24 -24 -24 30 kHz ± 1-5 -10 -10 -10 -10 -10 -10 -10 -10 -10 -10 -10 -10  $\pm 5-6$ -13 -13 ± 6-10 -25 -13 -13 ± 10-15 -25 -13 -13 ± 15-20 -25 -13 -25  $\pm 20-25$ -13 -25 ± 25-30 -13 -25  $\pm 30-35$ -13 ± 35-40 -13 -25 ± 40-45 -13 1 MHz ± 45-50 ± 50-55 -25 ± 55-60 ± 60-65 -25  $\pm 65-80$ -25  $\pm 80-90$ -25 ± 90-95 ± 95-100 ± 100-105 -25

Table 6.5.2.2.3-1: NR General spectrum emission mask

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.2

6.5.2.2.4 Test description

6.5.2.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with

applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5.2.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2

Table 6.5.2.2.4.1-1: Test Configuration Table

				Default Condi	tions			
Test E	Environme	nt as spec	cified in TS	38.508-1 [5]	Normal			
	ause 4.1	•						
subclause 4.3.1				Low range, High ra	inge			
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1					Lowest, Highest			
Test S	SCS as sp	ecified in	Table 5.3.	Lowest and Highest				
	•			nnel Bandwidths				
Test ID	Freq	ChBw	SCS	Downlink Configuration	Uplink (	Configuration		
		Default	Default	N/A for	Modulation (NOTE 2)	RB allocation (NOTE 1)		
1 <sup>3</sup>	Low			Spectrum Emission	DFT-s-OFDM	Edge_1RB_Left		
2 <sup>3</sup>	High			Mask test case	PI/2 BPSK DFT-s-OFDM	Edge_1RB_Right		
<b>3</b> <sup>3</sup>	Default				PI/2 BPSK DFT-s-OFDM	Outer Full		
					PI/2 BPSK			
4	Low				DFT-s-OFDM QPSK	Edger_1RB_Left		
5	High				DFT-s-OFDM QPSK	Edge_1RB_Right		
6	Default				DFT-s-OFDM QPSK	Outer_Full		
7	Low				DFT-s-OFDM 16 QAM	Edge_1RB_Left		
8	High				DFT-s-OFDM 16	Edge_1RB_Right		
9	Default				QAM DFT-s-OFDM 16	Outer_Full		
10	Low				QAM DFT-s-OFDM 64	Edge_1RB_Left		
11	High				QAM DFT-s-OFDM 64	Edge_1RB_Right		
12	Default				QAM DFT-s-OFDM 64	Outer_Full		
					QAM			
13	Low				DFT-s-OFDM 256 QAM	Edge_1RB_Left		
14	High				DFT-s-OFDM 256 QAM	Edge_1RB_Right		
15	Default				DFT-s-OFDM 256 QAM	Outer_Full		
16	Low				CP-OFDM QPSK	Outer_1RB_Left		
17	High				CP-OFDM	Outer_1RB_Right		
18	Default				QPSK CP-OFDM	Outer_Full		
19	Low				QPSK CP-OFDM 16	Outer_1RB_Left		
20	High				QAM CP-OFDM 16	Outer_1RB_Right		
21	Default				QAM CP-OFDM 16	Outer_Full		
22	Low				QAM CP-OFDM 64	Edge_1RB_Left		
					QAM			
23	High				CP-OFDM 64 QAM	Edge_1RB_Right		
24	Default				CP-OFDM 64 QAM	Outer_Full		
25	Low				CP-OFDM 256 QAM	Edge_1RB_Left		
26	High				CP-OFDM 256 QAM	Edge_1RB_Right		
27	Default				CP-OFDM 256	Outer_Full		
					QAM			

- NOTE 1: The specific configuration of each RF allocation is defined in Table 6.1-1.
- NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.
- NOTE 3: For Power Class 3 testing, include two steps for UE operating in bands n40, n77, n78 and n79, with IE [P-Boost-BPSK] set to 1 and 0 separately.
- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5.2.2.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.2.2.4.3

#### 6.5.2.2.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.2.2.1.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send continuously power control "up" commands to the UE until the UE transmits at PUMAX level. Allow at least 200ms for the UE to reach PUMAX level.
- 3. Measure the mean power of the UE in the channel bandwidth of the radio access mode according to the test configuration, which shall meet the requirements described in Table 6.2.1.5-1 for 6.2.2.5-1. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- 4. Measure the power of the transmitted signal with a measurement filter of bandwidths according to table 6.5.2.2.5-1. The centre frequency of the filter shall be stepped in continuous steps according to the same table. The measured power shall be recorded for each step. The measurement period shall capture the active TSs.
- NOTE 1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.5.2.2.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition.

#### 6.5.2.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

## 6.5.2.2.5 Test requirement

The measured UE mean power in the channel bandwidth, derived in step 3, shall fulfil requirements in Tables 6.2.1.5-1 or 6.2.2.5-1 as appropriate, and the power of any UE emission shall fulfil requirements in Table 6.5.2.2.5-1.

Table 6.5.2.2.5-1: NR General spectrum emission mask

				Spect	rum em	nission	limit (dE	3m) / Cl	nannel k	andwic	lth		
Δf <sub>OOB</sub> (MHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Measurement bandwidth
± 0-1	-13 + TT	-13 + TT	-13 + TT	-13 + TT	-13 + TT	-13 + TT	-13 + TT						1 % channel bandwidth
± 0-1								-24 + TT	-24 + TT	-24 + TT	-24 + TT	-24 + TT	30 kHz
± 1-5	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	
± 5-6	-13 + TT	-13											
± 6-10	-25 + TT	+ TT	-13 + TT	-13									
± 10-15	711	-25 + TT	7 11	+ TT	-13 + TT	-13 +							
± 15-20			-25 + TT			TT	-13 +						
± 20-25				-25 + TT			TT	-13 + TT					
± 25-30					-25 + TT				-13 + TT	-13 +			
± 30-35						-25 + TT				TT	-13 + TT		
± 35-40												-13	1 MHz
± 40-45							-25 + TT					+ TT	
± 45-50													
± 50-55								-25 + TT					
± 55-60													
± 60-65									-25 + TT				
± 65-80													
± 80-90										-25 + TT			
± 90-95											-25 + TT		
± 95-100													
± 100-105												-25 + TT	

Note 1: The first and last measurement position with a 30 kHz filter is at ΔfOOB equals to 0.015 MHz and 0.985 MHz.

Table 6.5.2.2.5-2: Test Tolerance (Spectrum Emission Mask)

	f ≤ 3.0GHz	3.0GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6.0GHz
BW ≤ 100MHz	1.5 dB	1.8 dB	1.8 dB

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

## 6.5.2.3 Additional spectrum emission mask

Editor's note: The following aspects are either missing or not yet determined:

Note 2: At the boundary of spectrum emission limit, the first and last measurement position with a 1 MHz filter is the inside of +0.5MHz and -0.5MHz, respectively.

Note 3: The measurements are to be performed above the upper edge of the channel and below the lower edge of the channel.

Note 4: TT for each frequency and channel bandwidth is specified in Table 6.5.2.2.5-2.

- Initial condition is not complete.
- Test tolerance is not complete.

#### 6.5.2.3.1 Test purpose

To verify that the power of any UE emission shall not exceed specified level for the specified channel bandwidth under the deployment scenarios where additional requirements are specified.

#### 6.5.2.3.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

6.5.2.3.3 Minimum conformance requirements

6.5.2.3.3.1 Minimum requirement for " NS\_35"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS\_35" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.2.3.3.1-1.

Table 6.5.2.2.3.3.1-1: Additional requirements for "NS 35"

Δfooв (MHz)	5 MHz	10 MHz	15 MHz	20 MHz	Measurement bandwidth (unless otherwise stated)								
± 0-0.1	-15	-18	-20	-21	30 kHz								
± 0.1-6	-13	-13	-13	-13	100 kHz								
± 6-10	-25 <sup>1</sup>	-13	-13	-13	100 kHz								
± 10-15		-25 <sup>1</sup>	-13	-13	100 kHz								
± 15-20			-25 <sup>1</sup>	-13	100 kHz								
± 20-25				-25	1 MHz								
NOTE 1: T	he measur	ement ban											

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.3.1.

## 6.5.2.3.3.2 Requirements for network signalled value "NS\_04"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

The n41 SEM transition point from -13 dBm/MHz to -25 dBm/MHz is based on the emission bandwidth. The emission bandwidth is defined as the width of the signal between two points, one below the carrier centre frequency and one above the carrier centre frequency, outside of which all emissions are attenuated at least 26 dB below the transmitter power. Since the 26-dB emission bandwidth is implementation dependent, the transmission bandwidths occupied by RBs is used for the SEM.

Table 6.5.2.3.3.2-1: n41 transmission bandwidths for CP-OFDM

SCS		Channel bandwidths (MHz)										
(kHz)	10	15	20	40	50	60	80	90	100			
15	9.36	14.22	19.08	38.88	48.6	N.A	N.A	N.A	N.A			
30	8.64	13.68	18.36	38.16	47.88	58.32	78.12	88.02	98.28			
60	7.92	12.96	17.28	36.72	46.8	56.88	77.04	87.12	97.20			

Table 6.5.2.3.3.2-2: n41 transmission bandwidths for DFT-S-OFDM

SCS	Channel bandwidths (MHz)									
(kHz)	10	15	20	40	50	60	80	90	100	
15	9.00	13.50	18.00	38.88	48.60	N/A	N/A	N/A	N/A	
30	8.64	12.96	18.00	36.00	46.08	58.32	77.76	87.48	97.20	
60	7.20	12.96	17.28	36.00	46.08	54.00	72.00	86.40	97.20	
SCS			C	hannel	bandwid	dths (MF	łz)			
(kHz)	10	15	20	40	50	60	80	90	100	
15	9.00	13.50	18.00	38.88	48.60	N/A	N/A	N/A	N/A	
30	8.64	12.96	18.00	36.00	46.08	58.32	77.76	87.48	97.20	
60	7.20	12.96	17.28	36.00	46.08	54.00	72.00	86.40	97.20	

When "NS\_04" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.2-3.

Table 6.5.2.3.3.2-3: n41 SEM with "NS\_04"

		Spectrum emission limit (dBm) / measurement bandwidth for each channel bandwidth								
Δf <sub>OOB</sub> MHz	10 MHz	15 MHz	20 MHz	40 MHz						Measurement bandwidth
± 0 - 1	-10	-10	-10	-10						2 % channel bandwidth
					-10				1 MHz	
±1-5					-1	10				
± 5 - X					-1	3				1 MHz
± X - (BW <sub>Channel</sub> + 5 MHz)	-25									
NOTE: X is defined in	Table 6	5.5.2.3	.2-1 fo	r CP-C	FDM a	and 6.5	5.2.3.2	-2 for I	DFT-S	-OFDM

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.3.2.

### 6.5.2.3.3.3 Requirements for network signalled value "NS\_03"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS\_03", is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.3-1.

Table 6.5.2.3.3.3-1: Additional requirements for "NS\_03"

	Spectrum emission limit (dBm)/ Channel bandwidth										
Δf <sub>OOB</sub> (MHz)	5 MHz	10 MHz	15 MHz	20 MHz	40 MHz	Measurement bandwidth					
± 0-1	-13	-13	-13	-13	-13	1 % of channel BW					
± 1-6	-13	-13	-13	-13	-13	1 MHz					
± 6-10	-25	-13	-13	-13	-13	1 MHz					
± 10-15		-25	-13	-13	-13	1 MHz					
± 15-20			-25	-13	-13	1 MHz					
± 20-25				-25	-13	1 MHz					
± 25-40					-13	1 MHz					
± 40-45					-25	1 MHz					

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.3.3.

#### 6.5.2.3.3.4 Requirements for network signalled value "NS 06"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS\_06" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.4-1.

Spectrum emission limit (dBm) / Channel bandwidth Δfоов Measurement MHz (MHz) MHz MHz bandwidth ± 0 - 0.1 -15 -18 -20 30 kHz ± 0.1 – 1 -13 -13 -13 100 kHz  $\pm 1 - 6$ -13 -13  $\pm 6 - 10$ -25 -13 1 MHz ± 10 - 15 -25  $\pm 15 - 20$ -25

Table 6.5.2.3.3.4-1: Additional requirements for "NS\_06"

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.3.4.

#### 6.5.2.3.3.5 Requirements for network signalled value "NS\_40"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS\_40" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.5-1.

Table 6.5.2.3.3.5-1: Additional requirements for NR channels are assigned within 1427-1452 MHz

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 27 MHz	Measurement bandwidth						
1400 ≤ f ≤ 1427	-32	27 MHz						
NOTE 1: This requirement shall be verified with UE transmission power of 15 dBm.								

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.3.5.

## 6.5.2.3.3.6 Requirements for network signalled value "NS\_41"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS\_41" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.6-1.

Table 6.5.2.3.3.6-1: Additional requirements for NR channels assigned within 1427-1452 MHz

Frequency band	Channel bandwidth /	Measurement
(MHz)	Spectrum emission limit	bandwidth
, ,	(dBm)	
	27 MHz	1

1400	) ≤f≤1427	-32	27 MHz				
NOTE 1: This requirement shall be verified with UE transmission power of 15 dBm.							

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.3.6.

## 6.5.2.3.3.7 Requirements for network signalled value "NS\_42"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS\_42" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.7-1.

Table 6.5.2.3.3.7-1: Additional requirements for NR channels assigned within 1492-1517 MHz

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 1 MHz	Measurement bandwidth
1518 ≤ f ≤ 1520	-0.8	1 MHz
1520 < f ≤ 1559	-30	1 MHz

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.3.7.

6.5.2.3.4 Test description

6.5.2.3.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2.3.4.1-1 through 6.2.3.4.1-2". The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3..
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2 and uplink signals according Annex G.0, G.1, G.2, G.3.0..
- 4. The UL Reference Measurement channels are set according to the applicable table from Table 6.2.3.4.1-1 to Table 6.2.3.4.1-2.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2.3.4.3.

### 6.5.2.3.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to the applicable table from table 6.2.4.3.1-1 to table 6.2.4.3.1-2.1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE. Allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
- 3. Measure the mean power of the UE in the channel bandwidth of the radio access mode. The period of measurement shall be at least the continuous duration one sub-frame (1ms). For TDD slots with transient periods are not under test.

#### 6.5.2.3.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause [TBD], with the following exceptions for each network signalled value.

6.5.2.3.4.3.1 Message contents exceptions (network signalled value " NS 35")

For "NS\_35" see A-MPR test case in table 6.2.3.4.3.2-1.

6.5.2.3.4.3.2 Message contents exceptions (network signalled value "NS\_04")

For "NS\_04" see A-MPR test case in table 6.2.3.4.3.4-1.6.5.2.3.5 Test requirement

6.5.2.3.5.1 Test requirements (network signalled value " NS\_35"

When " NS 35" is indicated in the cell:

- the measured UE mean power in the channel bandwidth, derived in step 3, shall fulfil requirements in table 6.5.2.3.5.1-1 as appropriate for a NR UE.

and

- the power of any UE emission shall fulfil requirements in table [TBD], as applicable.

Table 6.5.2.3.5.1-1: Additional test requirements " NS\_35"

Δfоов (MHz)	5 MHz	10 MHz	15 MHz	20 MHz	Measurement bandwidth (unless otherwise stated)
± 0-0.1	13.5	16.5	18.5	19.5	30 kHz
± 0.1-6	11.5	11.5	11.5	11.5	100 kHz
± 6-10	23.5 <sup>1</sup>	11.5	11.5	11.5	100 kHz
± 10-15		23.5 <sup>1</sup>	11.5	11.5	100 kHz
± 15-20			23.5 <sup>1</sup>	11.5	100 kHz
± 20-25				23.5	1 MHz
NOTE 1: Th	e measure	ment band	width sha	ll be 1 MH	7

### 6.5.2.3.5.2 Test requirements (network signalled value "NS\_04"

When "NS\_04" is indicated in the cell:

the measured UE mean power in the channel bandwidth, derived in step 3, shall fulfil requirements in Table 6.2.3.5-2 for UE power class 2 or Table 6.2.3.5-3 UE power class 2.

and

- the power of any UE emission shall fulfil requirements in table 6.5.2.3.5.2-1.

Table 6.5.2.3.5.2-1: Additional test requirements for "NS\_04"

		Spectrum emission limit (dBm) / measurement bandwidth for each channel bandwidth								
Δf <sub>OOB</sub> MHz	10 MHz	15 MHz	20 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Measurement bandwidth
± 0 - 1	-8.5	-8.5	-8.5	-8.5		•	•		•	2 % channel bandwidth
							-8	.5		1 MHz
±1-5					-8	.5				
± 5 - X					-1 <sup>-</sup>	1.5				1 MHz
± X - (BW <sub>Channel</sub> + 5 MHz)		-23.5								
NOTE: X is defined in	Table 6	6.5.2.3	.2-1 fo	r CP-C	)FDM	and 6.5	5.2.3.2	-2 for I	DFT-S	-OFDM

# 6.5.2.4 Adjacent channel leakage ratio

Adjacent channel leakage power Ratio (ACLR) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency.

#### 6.5.2.4.1 NR ACLR

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

### 6.5.2.4.1.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to adjacent channels in terms of Adjacent Channel Leakage power Ratio (ACLR).

## 6.5.2.4.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

## 6.5.2.4.1.3 Minimum conformance requirements

NR adjacent channel leakage power ratio ( $NR_{ACLR}$ ) is the ratio of the filtered mean power centred on the assigned NR channel frequency to the filtered mean power centred on an adjacent NR channel frequency at nominal channel spacing.

The assigned NR channel power and adjacent NR channel power are measured with rectangular filters with measurement bandwidths specified in Table 6.5.2.4.1.3-1.

If the measured adjacent channel power is greater than [-50dBm] then the  $NR_{ACLR}$  shall be higher than the value specified in Table 6.5.2.4.1.3-2.

Table 6.5.2.4.1.3-1: NR ACLR measurement bandwidth

	NR channel bandwidth / NR ACLR measurement bandwidth											
	5         10         15         20         25         30         40         50         60         80         90         100           MHz         MHz											
NR ACLR measurement bandwidth	4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	78.15	88.23	98.31

Table 6.5.2.4.1.3-2: NR ACLR requirement

	Power class 1	Power class 2	Power class 3
NR ACLR		31 dB	30 dB

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.4.1.

6.5.2.4.1.4 Test description

6.5.2.4.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5.2.4.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2

Table 6.5.2.4.1.4.1-1: Test Configuration Table

	D. ( ) ( ) ( )									
	Default Conditions									
	Environme ause 4.1	nt as spec	cified in TS	38.508-1 [5]	NC, TL/VL, TL/VH, TH/VL, TH/VH					
Test I		es as spec	ified in TS	38.508-1 [5]	Low range, High ran	ige				
Test (	Channel Bar 18-1 [5] sub			ied in TS	Lowest, Highest					
	SCS as sp			5-1	Lowest and Highest					
1030	000 as sp	comea in			Innel Bandwidths					
Test	Freq	ChBw	SCS	Downlink		onfiguration				
ID	Tieq	CIIDW	303	Configuration	Opinik O	omiguration				
		Default	Default	N/A for	Modulation	RB allocation (NOTE				
		Delault	Delault	Adjacent	(NOTE 2)	1)				
1 <sup>3</sup>	Default			Channel	DFT-s-OFDM PI/2	Inner_Full				
1	Delault			Leakage Ratio		IIIIIeI_Fuii				
<b>2</b> <sup>3</sup>	Law			test case	BPSK DFT-s-OFDM PI/2	Edge 4DD Left				
	Low			lesi case	BPSK	Edge_1RB_Left				
<b>3</b> <sup>3</sup>	High				DFT-s-OFDM PI/2 BPSK	Edge_1RB_Right				
<b>4</b> <sup>3</sup>	Default				DFT-s-OFDM PI/2 BPSK	Outer_Full				
5	Default				DFT-s-OFDM QPSK	Inner_Full				
6	Low				DFT-s-OFDM	Edge_1RB_Left				
7	High				QPSK DFT-s-OFDM	Edge_1RB_Right				
8	Default				QPSK DFT-s-OFDM	Outer_Full				
9	Default				QPSK DFT-s-OFDM 16	Inner_Full				
					QAM					
10	Low				DFT-s-OFDM 16 QAM	Edge_1RB_Left				
11	High				DFT-s-OFDM 16 QAM	Edge_1RB_Right				
12	Default				DFT-s-OFDM 16 QAM	Outer_Full				
13	Low				DFT-s-OFDM 64 QAM	Edge_1RB_Left				
14	High				DFT-s-OFDM 64 QAM	Edge_1RB_Right				
15	Default				DFT-s-OFDM 64 QAM	Outer_Full				
16	Low				DFT-s-OFDM 256 QAM	Edge_1RB_Left				
17	High				DFT-s-OFDM 256	Edge_1RB_Right				
18	Default				QAM DFT-s-OFDM 256	Outer_Full				
40	Doford	}			QAM CP-OFDM QPSK	Innar Full				
19	Default					Inner_Full				
20	Low				CP-OFDM QPSK	Edge_1RB_Left				
21	High				CP-OFDM QPSK	Edge_1RB_Right				
22	Default				CP-OFDM QPSK	Outer_Full				
23	Default				CP-OFDM 16 QAM	Inner_Full				
24	Low				CP-OFDM 16 QAM	Edge_1RB_Left				
25	High				CP-OFDM 16 QAM	Edge_1RB_Right				
26	Default				CP-OFDM 16 QAM	Outer_Full				
27	Low				CP-OFDM 64	Edge_1RB_Left				
28	High				QAM CP-OFDM 64	Edge_1RB_Right				
29	Default				QAM CP-OFDM 64	Outer_Full				
					QAM					

30	Low		CP-OFDM 256	Edge_1RB_Left
			QAM	
31	High		CP-OFDM 256	Edge_1RB_Right
			QAM	
32	Default	ılt	CP-OFDM 256	Outer_Full
			QAM	

NOTE 1: The specific configuration of each RF allocation is defined in Table 6.1-1.

NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.

NOTE 3: For Power Class 3 testing, include two steps for UE operating in bands n40, n77, n78 and n79, with IE [P-Boost-BPSK] set to 1 and 0 separately.

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5.2.4.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR, Connected without release On according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.2.4.1.4.3

#### 6.5.2.4.1.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.2.2.1.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send continuously power control "up" commands to the UE until the UE transmits at P<sub>UMAX</sub> level. Allow at least 200ms for the UE to reach  $P_{UMAX}$  level.
- 3. Measure the mean power of the UE in the channel bandwidth of the radio access mode according to the test configuration, which shall meet the requirements described in Tables 6.2.2.5-1 and 6.2.2.5-5 as appropriate. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- 4. Measure the rectangular filtered mean power for the assigned NR channel.
- 5. Measure the rectangular filtered mean power of the first NR adjacent channel on both lower and upper side of the assigned NR channel, respectively.
- 6. Calculate the ratios of the power between the values measured in step 4 over step 5 for lower and upper NR ACLR, respectively.
- NOTE 1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.5.2.4.1.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP--OFDM condition

#### 6.5.2.4.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.5.2.4.1.5 Test requirement

The measured UE mean power in the channel bandwidth, derived in step 3, shall fulfil requirements in Tables 6.2.2.5-1 and 6.2.2.5-5 as appropriate, and if the measured adjacent channel power is greater than -50 dBm then the measured NR ACLR, derived in step 6, shall be higher than the limits in Table 6.5.2.4.1.5-2.

Table 6.5.2.4.1.5-1: NR ACLR measurement bandwidth

	NR channel bandwidth / NR ACLR measurement bandwidth											
	5	10	15	20	25	30	40	50	60	80	90	100
	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz
NR ACLR												
measurement	4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	78.15	88.23	98.31
bandwidth												

### Table 6.5.2.4.1.5-2: NR ACLR requirement

	Power class 1	Power class 2	Power class 3			
NR ACLR		31 + TT dB	30 + TT dB			
NOTE 1: TT for each frequency and channel bandwidth is specified in Table 6.5.2.4.1.5-3.						

## Table 6.5.2.4.1.5-3: Test Tolerance (NR ACLR)

	f ≤ 3.0GHz	3.0GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6.0GHz
BW ≤ 100MHz	0.8 dB	0.8 dB	0.8 dB

#### 6.5.2.4.2 UTRA ACLR

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- NR measurement BW is not specified (missing in 38.101-1 [2])
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Value of IE Additional Spectrum Emission is TBD (not defined in 38.101-1 [2])

### 6.5.2.4.2.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to adjacent channels in terms of Adjacent Channel Leakage power Ratio (ACLR).

#### 6.5.2.4.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

## 6.5.2.4.2.3 Minimum conformance requirements

UTRA adjacent channel leakage power ratio (UTRA<sub>ACLR</sub>) is the ratio of the filtered mean power centred on the assigned NR channel frequency to the filtered mean power centred on an adjacent(s) UTRA channel frequency.

UTRA<sub>ACLR</sub> is specified for the first adjacent UTRA channel (UTRA<sub>ACLR1</sub>) which centre frequency is  $\pm$  2.5 MHz from NR channel edge and for the  $2^{nd}$  adjacent UTRA channel (UTRA<sub>ACLR2</sub>) which centre frequency is  $\pm$  7.5 MHz from NR channel edge.

The UTRA channel power is measured with an RRC filter with roll-off factor  $\alpha$  =0.22 and bandwidth of 3.84 MHz. The assigned NR channel power is measured with a rectangular filter with measurement bandwidth specified in Table 6.5.2.4.2.3-1.

If the measured adjacent channel power is greater than - 50dBm then the  $UTRA_{ACLR1}$  and  $UTRA_{ACLR2}$  shall be higher than the value specified in Table 6.5.2.4.2-1.

Table 6.5.2.4.2.3-1: UTRA ACLR requirement

	Power class 3
UTRA <sub>ACLR1</sub>	33 dB
UTRA <sub>ACLR2</sub>	36 dB

UTRA ACLR requirement is applicable when signalled by the network with network signalling value indicated by the field *additionalSpectrumEmission*.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.4.2.

6.5.2.4.2.4 Test description

6.5.2.4.2.4.1 Initial conditions

Same as in subclause 6.5.2.4.1.4.1

#### 6.5.2.4.2.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.2.2.1.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send continuously power control "up" commands to the UE until the UE transmits at  $P_{UMAX}$  level. Allow at least 200ms for the UE to reach  $P_{UMAX}$  level.
- 3. Measure the mean power of the UE in the channel bandwidth of the radio access mode according to the test configuration, which shall meet the requirements described in Tables 6.2.2.5-1 and 6.2.2.5-5 as appropriate. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- 4. Measure the rectangular filtered mean power for the assigned NR channel.
- 5. Measure the RRC filtered mean power of the first and the second UTRA adjacent channel on both lower and upper side of the NR channel, respectively.
- 6. Calculate the ratios of the power between the values measured in step 4 over step 5 for lower and upper UTRA ACLR, respectively.
- NOTE 1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.5.2.4.2.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition

### 6.5.2.4.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the following exceptions:

## Table 6.5.2.4.2.4.3-1: Additional Spectrum Emission

Derivation Path: 38.508-1 [5] clause 4.6.3, Table 4.6.3-1 Additional Spectrum Emission						
Information Element Value/remark Comment Condition						
AdditionalSpectrumEmission	TBD					

## 6.5.2.4.2.5 Test requirement

The measured UE mean power in the channel bandwidth, derived in step 3, shall fulfil requirements in Tables 6.2.2.5-1 and 6.2.2.5-5 as appropriate, and if the measured adjacent channel power is greater than -50 dBm then the measured UTRA ACLR, derived in step 6, shall be higher than the limits in table 6.5.2.2.1.5-2.

## Table 6.5.2.4.2.5-1: Measurement bandwidth for NR carrier

**TBD** 

#### Table 6.5.2.4.2.5-2: UTRA ACLR requirement

Power class 3
i owei class s

UTRA <sub>ACLR1</sub>	33 dB +TT
UTRA <sub>ACLR2</sub>	36 dB + TT
NOTE 1: TT = $0.8 \text{ dB for } f \le 4.00$	GHz, TT = 1.0 dB for $4.0$ GHz $< f \le 6.0$ GHz,

# 6.5.3 Spurious emissions

Spurious emissions are emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emissions, intermodulation products and frequency conversion products, but exclude out of band emissions unless otherwise stated. The spurious emission limits are specified in terms of general requirements in line with SM.329 and NR operating band requirement to address UE co-existence.

To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

## 6.5.3.1 General spurious emissions

### 6.5.3.1.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to other channels or other systems in terms of transmitter spurious emissions.

### 6.5.3.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.5.3.1.3 Minimum conformance requirements

This clause specifies the requirements for the specified NR band for Transmitter Spurious emissions requirement with frequency range as indicated in table 6.5.3.1.3-2.

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than  $\Delta f_{OOB}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth. The spurious emission limits in Table 6.5.3.1.3-2 apply for all transmitter band configurations ( $N_{RB}$ ) and channel bandwidths.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

Table 6.5.3.1.3-1: Boundary between NR out of band and general spurious emission domain

Channel bandwidth	OOB boundary Δf <sub>OOB</sub> (MHz)
BW <sub>Channel</sub>	BW <sub>Channel</sub> + 5

Table 6.5.3.1.3-2: Requirement for general spurious emissions limits

Frequency Range	Maximum Level	Measurement bandwidth	NOTE
9 kHz ≤ f < 150 kHz	-36 dBm	1 kHz	
150 kHz ≤ f < 30 MHz	-36 dBm	10 kHz	
30 MHz ≤ f < 1000 MHz	-36 dBm	100 kHz	
1 GHz ≤ f < 12.75 GHz	-30 dBm	1 MHz	3
	-25 dBm	1 MHz	3
12.75 GHz ≤ f < 5th harmonic of the upper frequency edge of the UL operating band in GHz	-30 dBm	1 MHz	1
12.75 GHz < f < 26 GHz	-30 dBm	1 MHz	2

NOTE 1: Applies for Band that the upper frequency edge of the UL Band more than 2.69 GHz

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NOTE 2: Applies for Band that the upper frequency edge of

the UL Band more than 5.2 GHz

NOTE 3: Applies for Band n41, CA configurations including Band n41, and EN-DC configurations that include n41 specified in sub-clause 5.2B of [3] when NS\_04 is signalled.

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.1

6.5.3.1.4 Test description

6.5.3.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5.3.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.5.3.1.4.1-1: Test Configuration Table

	Initial Conditions						
Test Enviro	nment as specified in TS	Normal					
38.508-1 [5]	subclause 4.1.						
	encies as specified in TS	Low range, Mid range, High range					
38.508-1 [5]	subclause 4.3.1.						
	el Bandwidths as specified in	Lowest, Mid, Highest					
TS 38.508-1	1 [5] subclause 4.3.1.						
Test SCS as	s specified in Table 5.3.5-1	Lowest, Highest					
		Test Parameters					
Test ID	Downlink Configuration	Uplink Configuration					
		Modulation	RB allocation (NOTE 1)				
1	N/A for Spurious Emissions	CP-OFDM QPSK	OuterFull				
2	·						
3							
NOTE 1: T							

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex [A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.

- 3. Downlink signals are initially set up according to Annex C.0, C.1 and C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5.3.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.3.1.4.3.

#### 6.5.3.1.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.3.1.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level.
- 3. Measure the power of the transmitted signal with a measurement filter of bandwidths according to table 6.5.3.1.5-1. The centre frequency of the filter shall be stepped in contiguous steps according to table 6.5.3.1.5-1. The measured power shall be verified for each step. The measurement period shall capture the active time slots.

### 6.5.3.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.5.3.1.5 Test requirement

This clause specifies the requirements for the specified NR band for Transmitter Spurious emissions requirement with frequency range as indicated in table 6.5.3.1.5-1.

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than  $\Delta f_{OOB}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth. The spurious emission limits in Table 6.5.3.1.5-1 apply for all transmitter band configurations (NRB) and channel bandwidths.

The measured average power of spurious emission, derived in step 3, shall not exceed the described value in Table 6.5.3.1.5-1.

Table 6.5.3.1.5-1: General spurious emissions test requirements

Frequency Range	Maximum Level	Measurement bandwidth	NOTE
9 kHz ≤ f < 150 kHz	-36 dBm	1 kHz	
150 kHz ≤ f < 30 MHz	-36 dBm	10 kHz	
30 MHz ≤ f < 1000 MHz	-36 dBm	100 kHz	
1 GHz ≤ f < 12.75 GHz	-30 dBm	1 MHz	
	-25 dBm	1 MHz	3
12.75 GHz ≤ f < 5th harmonic of the upper frequency edge of the UL operating band in GHz	-30 dBm	1 MHz	1
12.75 GHz < f < 26 GHz	-30 dBm	1 MHz	2

NOTE 1: Applies for Band that the upper frequency edge of the UL Band more than 2.69 GHz

NOTE 2: Applies for Band that the upper frequency edge of the UL Band more than 5.2 GHz

NOTE 3: Applies for EN-DC combinations that include n41 when NS\_04 is signalled.

## 6.5.3.2 Spurious emission for UE co-existence

## 6.5.3.2.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to co-existing systems for the specified bands which has specific requirements in terms of transmitter spurious emissions.

### 6.5.3.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.5.3.2.3 Minimum conformance requirements

This clause specifies the requirements for the specified NR band for coexistence with protected bands as indicated in Table 6.5.3.2.3-1.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

Table 6.5.3.2.3-1: Requirements for spurious emissions for UE co-existence

	Spurie	r UE co-existe	existence				
NR Band	Protected band	Frequency range (MHz)			Maxim um Level (dBm)	MBW (MHz)	NOTE
n1, n84	E-UTRA Band 1, 5, 7, 8, 11, 18, 19, 20, 21, 22, 26, 27, 28, 31, 32, 38, 40, 41, 42, 43, 44, 45, 50, 51, 65, 67, 68, 69, 72, 73, 74, 75, 76  NR Band n78, n79	F <sub>DL_low</sub>		$F_{DL_{\! -} high}$	-50	1	
	NR Band n77	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 3, 34	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	Frequency range	1880	-	1895	-40	1	15, 27
	Frequency range	1895	-	1915	-15.5	5	15, 26, 27
	Frequency range	1915	-	1920	+1.6	5	15, 26, 27
n2	E-UTRA Band 4, 5, 10, 12, 13, 14, 17, 24, 26, 27, 28, 29, 30, 41, 42, 48, 50, 51, 66, 70, 71, 74	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 2, 25	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
n2 n00	E-UTRA Band 43 E-UTRA Band 1, 5, 7, 8, 20, 26,	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50 -50	1	2
n3, n80	27, 28, 31, 32, 33, 34, 38, 39, 40, 41, 43, 44, 45, 50, 51, 65, 67, 68, 69, 72, 73,74, 75, 76 NR Band n79	F <sub>DL_low</sub>	-	$F_{DL\_high}$	-50	-	
	E-UTRA Band 3	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	E-UTRA Band 11, 18, 19, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	13
	E-UTRA Band 22, 42 NR Band n77, n78	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	Frequency range	1884.5	-	1915.7	-41	0.3	13
n5	E-UTRA Band 1, 2, 3, 4, 5, 7, 8, 10, 12, 13, 14, 17, 18, 19, 24, 25, 26, 28, 29, 30, 31, 34, 38, 40, 42, 43, 45, 48, 50, 51, 65, 66, 70, 71, 73, 74, 85	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	-	
	E-UTRA Band 41, 52	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 11, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50 -41	0.3	39
n7	Frequency range  E-UTRA Band 1, 2, 3, 4, 5, 7, 8, 10, 12, 13, 14, 17, 20, 22, 26, 27, 28, 29, 30, 31, 32, 33, 34, 40, 42, 43, 50, 51, 65, 66, 67, 68, 72, 74, 75, 76 NR Band n77, n78	1884.5 F <sub>DL_low</sub>	-	1915.7 F <sub>DL_high</sub>	-50	1	8,39
	Frequency range	2570	-	2575	+1.6	5	15, 21, 26
	Frequency range	2575	-	2595	-15.5	5	15, 21, 26
<b>**</b> 0 **04	Frequency range	2595	-	2620	-40	1	15, 21
n8, n81	E-UTRA Band 1, 20, 28, 31, 32, 33, 34, 38, 39, 40, 45, 50, 51, 65, 67, 68, 69, 72, 73, 74, 75, 76	F <sub>DL_low</sub>	-	$F_{DL\_high}$	-50	1	
	E-UTRA band 3, 7, 22, 41, 42, 43 NR Band n77, n78, n79	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA 8	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	E-UTRA Band 11, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	23
	Frequency range	1884.5	-	1915.7	-41	0.3	8
n12	E-UTRA Band 2, 5, 13, 14, 17, 24, 25, 26, 27, 30, 41, 48, 50, 51, 71, 74	FDL_low	-	FDL_high	-50	1	

	E LITPA Donal 4 40 CC 70	EDI Jaw		EDI bish		4	_
	E-UTRA Band 4, 10, 66, 70 E-UTRA Band 12, 85	FDL_low FDL_low	-	FDL_high FDL_high	-50 -50	1	2 15
n20 n02	E-UTRA Band 1, 3, 7, 8, 22, 31,		-	-		1	15
n20, n82	32, 33, 34, 40, 43, 50, 51, 65, 67, 68, 72, 74, 75, 76	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 20	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	E-UTRA Band 38, 42, 69	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	NR Band n77, n78			<b>g</b>			
	Frequency range	758	-	788	-50	1	
n25	E-UTRA Band 4, 5, 10,12, 13,	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	14, 17, 24, 26, 27, 28, 29, 30,						
	41, 42, 48, 66, 70, 71, 85			F	F0	1	15
	E-UTRA Band 2 E-UTRA Band 25	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50 -50	1	15 15
	E-UTRA Band 43	F <sub>DL_low</sub>	H	F <sub>DL_high</sub>	-50	1	2
n28, n83	E-UTRA Band 1, 4, 10, 22, 42,	F <sub>DL_low</sub>	H	F <sub>DL_high</sub>	-50	1	2
1120, 1103	43, 50, 51, 65, 73, 74, 75, 76	I DL_low	_	$F_{DL\_high}$	-30	'	2
	NR Band n77, n78						
	E-UTRA Band 1	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	19, 25
	E-UTRA Band 2, 3, 5, 7, 8, 18,	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	19, 20, 25, 26, 27, 31, 34, 38,						
	40, 41, 66, 72						
	NR Band n79 E-UTRA Band 11, 21	E		E	-50	1	10.24
	· · · · · · · · · · · · · · · · · · ·	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50 -42	8	19, 24 15, 35
	Frequency range	470	-	710	-42	6	34
	Frequency range	662	-	694	-26.2	6	15
	Frequency range Frequency range	758	-	773	-32	1	15
	Frequency range	773	-	803	-52	1	10
	Frequency range	1884.5	H	1915.7	-41	0.3	8, 19
n34	E-UTRA Band 1, 3, 7, 8, 11, 18,	F <sub>DL</sub> low		F <sub>DL_high</sub>	-50	1	5
	19, 20, 21, 22, 26, 28, 31, 32, 33, 38,39, 40, 41, 42, 43, 44, 45, 50, 51, 65, 67, 69, 72, 74, 75, 76  NR Band n78, n79	. BE_10#		. Dgii		·	,
	NR Band n77	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	Frequency range	1884.5	-	1915.7	-41	0.3	8
n38	E-UTRA Band 1, 2, 3, 4, 5, 8, 10, 12, 13, 14, 17, 20, 22, 27, 28, 29, 30, 31, 32, 33, 34, 40, 42, 43, 50, 51, 65, 66, 67, 68, 72, 74, 75, 76	F <sub>DL_low</sub>	-	$F_{DL\_high}$	-50	1	
	Frequency range	2620	-	2645	-15.5	5	15, 22, 26
	Frequency range	2645	-	2690	-40	1	15, 22
n39	E-UTRA Band 1, 8, 22, 26, 34,	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50		<u> </u>
	40, 41, 42, 44, 45, 50, 51, 74 NR Band n79			-			
	Frequency range	1805	-	1855	-40	1	33
	Frequency range	1855	-	1880	-15.5	5	15, 26, 33
n40	E-UTRA Band 1, 3, 5, 7, 8, 20, 22, 26, 27, 28, 31, 32, 33, 34, 38, 39, 41, 42, 43, 44, 45, 50, 51, 65, 67, 68, 69, 72, 74, 75, 76 NR Band n77, n78	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	NR Band n79	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
n41	E-UTRA Band 1, 2, 3, 4, 5, 8, 10, 12, 13, 14, 17, 24, 25, 26, 27, 28, 29, 30, 34, 39, 40, 42, 44, 45, 48, 50, 51, 65, 66, 70, 71, 73, 74	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	NR Band n77, n78 NR Band n79	Fp. 1	-	For kink	-50	1	2
	INIT DATIO III 3	F <sub>DL_low</sub>		F <sub>DL_high</sub>	-50	'	

	E-UTRA Band 9, 11, 18, 19, 21	F <sub>DL</sub> low	-	F <sub>DL_high</sub>	-50	1	30
	Frequency range	1884.5		1915.7	-41	0.3	8, 30
n50	E-UTRA Band 1, 2, 3, 4, 5, 7, 8,	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	-,
	12, 13, 17, 20, 26, 28, 29, 31,			<b>ə</b>			
	34, 38, 39, 40, 41, 42, 43, 48,						
	65, 66, 67, 68						
n51	E-UTRA Band 1, 2, 3, 4, 5, 7, 8,	$F_{DL\_low}$	-	$F_DL\_high$	-50	1	
	12, 13, 17, 20, 26, 28, 29, 31, 34, 38, 39, 40, 41, 42, 43, 48,						
	65, 66, 67, 68						
n66, n86	E-UTRA Band 2, 4, 5, 7, 12, 13,	F <sub>DL low</sub>	-	F <sub>DL_high</sub>	-50	1	
,	17, 26, 28, 29, 38, 41, 43, 66,	· DL_IOW		· DL_IIIgII			
	70, 71						
	E-UTRA Band 42, 48	F <sub>DL_low</sub>	-	$F_{DL\_high}$	-50	1	2
n70	E-UTRA Band 2, 4, 5, 10, 12,	$F_{DL\_low}$	-	$F_{DL\_high}$	-50	1	2
	13, 14, 17, 24, 25, 29, 30, 41,						
74	48, 66, 70, 71				50	4	
n71	E-UTRA Band 4, 5, 12, 13, 14, 17, 24, 26, 30, 48, 66, 85	F <sub>DL_low</sub>	-	$F_{DL\_high}$	-50	1	
	E-UTRA Band 2, 25, 41, 70	F <sub>DL low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 29	F <sub>DL low</sub>	-	F <sub>DL_high</sub>	-38	1	15
	E-UTRA Band 71	F <sub>DL low</sub>	-	F <sub>DL_high</sub>	-50	1	15
n74	E-UTRA Band 1, 2, 3, 4, 5, 7, 8,	F <sub>DL low</sub>	-	F <sub>DL_high</sub>	-50	1	
	12, 13, 17, 18, 19, 20, 26, 28,			<b>ə</b>			
	29, 31, 34, 38, 39, 40, 41, 42,						
	43, 48, 65, 66, 67, 68						_
	Frequency range	1884.5	-	1915.7	-41	0.3	8
	Frequency range	1400	-	1427	-32	27	15, 41
	Frequency range	1475	-	1488	-50	1	42
	Frequency range	1488	-	1518	-50	1	15
n77, n78	E-UTRA Band 1, 3, 5, 7, 8, 11,	F <sub>DL_low</sub>	-	$F_{DL\_high}$	-50	1	
	18, 19, 20, 21, 26, 28, 34, 39, 40, 41, 65						
	Frequency range	1884.5	-	1915.7	-41	0.3	8
n79	E-UTRA Band 1, 3, 5, 8, 11, 18,	F <sub>DL_low</sub>	-	$F_DL\_high$	-50	1	
	19, 21, 28, 34, 39, 40, 41, 42, 65	4001 =	ļ	10155		0.0	
0.0	Frequency range	1884.5	-	1915.7	-41	0.3	8
n80	See n3				-		
n81	See n8						
n82	See n20						
n83	See n28				-		
n84	See n1						
n86	See n66				ļ		

- NOTE 1: F<sub>DL\_low</sub> and F<sub>DL\_high</sub> refer to each frequency band specified in Table 5.2-1 or Table 5.5-1 in TS 36.101
- NOTE 2: As exceptions, measurements with a level up to the applicable requirements defined in Table 6.6.3.1-2 are permitted for each assigned NR carrier used in the measurement due to 2nd, 3rd, 4th [or 5th] harmonic spurious emissions. Due to spreading of the harmonic emission the exception is also allowed for the first 1 MHz frequency range immediately outside the harmonic emission on both sides of the harmonic emission. This results in an overall exception interval centred at the harmonic emission of (2MHz + N x LCRB x 180kHz), where N is 2, 3, 4, [5] for the 2nd, 3rd, 4th [or 5th] harmonic respectively. The exception is allowed if the measurement bandwidth (MBW) totally or partially overlaps the overall exception interval.
- NOTE 3: 15 kHz SCS is assumed when RB is mentioned in the note.
- NOTE 4: N/A
- NOTE 5: For non synchronised TDD operation to meet these requirements some restriction will be needed for either the operating band or protected band
- NOTE 6: N/A
- NOTE 7: Applicable when co-existence with PHS system operating in 1884.5 -1919.6MHz.
- NOTE 8: Applicable when co-existence with PHS system operating in 1884.5 -1915.7MHz.
- NOTE 9: N/A
- NOTE 10: N/A
- NOTE 11: Whether the applicable frequency range should be 793-805MHz instead of 799-805MHz is TBD
- NOTE 12: The emissions measurement shall be sufficiently power averaged to ensure a standard deviation < 0.5 dB
- NOTE 13: This requirement applies for 5, 10, 15 and 20 MHz NR channel bandwidth allocated within 1744.9MHz and 1784.9MHz.
- NOTE 14: N/A
- NOTE 15: These requirements also apply for the frequency ranges that are less than FOOB (MHz) in Table 6.6.3.1.3-1 and Table 6.6A.3.1.3-1 from the edge of the channel bandwidth.
- NOTE 16: N/A
- **NOTE 17: N/A**
- NOTE 18: N/A
- NOTE 19: Applicable when the assigned NR carrier is confined within 718 MHz and 748 MHz and when the channel bandwidth used is 5 or 10 MHz.
- NOTE 20: N/A
- NOTE 21: This requirement is applicable for any channel bandwidths within the range 2500 2570 MHz with the following restriction: for carriers of 15 MHz bandwidth when carrier centre frequency is within the range 2560.5 2562.5 MHz and for carriers of 20 MHz bandwidth when carrier centre frequency is within the range 2552 2560 MHz the requirement is applicable only for an uplink transmission bandwidth less than or equal to 54 RB.
- NOTE 22: This requirement is applicable for power class 3 UE for any channel bandwidths within the range 2570 2615 MHz with the following restriction: for carriers of 15 MHz bandwidth when carrier centre frequency is within the range 2605.5 2607.5 MHz and for carriers of 20 MHz bandwidth when carrier centre frequency is within the range 2597 2605 MHz the requirement is applicable only for an uplink transmission bandwidth less than or equal to 54 RB. For power class 2 UE for any channel bandwidths within the range 2570 2615 MHz, NS\_44 shall apply. For power class 2 or 3 UE for carriers with channel bandwidth overlapping the frequency range 2615 2620 MHz the requirement applies with the maximum output power configured to +19 dBm in the IE P-Max.
- NOTE 23: Void
- NOTE 24: As exceptions, measurements with a level up to the applicable requirement of -38 dBm/MHz is permitted for each assigned NR carrier used in the measurement due to 2nd harmonic spurious emissions. An exception is allowed if there is at least one individual RB within the transmission bandwidth (see Figure 5.3.3-1) for which the 2nd harmonic totally or partially overlaps the measurement bandwidth (MBW).
- NOTE 25: As exceptions, measurements with a level up to the applicable requirement of -36 dBm/MHz is permitted for each assigned NR carrier used in the measurement due to 3rd harmonic spurious emissions. An exception is allowed if there is at least one individual RB within the transmission bandwidth (see Figure 5.3.3-1) for which the 3rd harmonic totally or partially overlaps the measurement bandwidth (MBW).
- NOTE 26: For these adjacent bands, the emission limit could imply risk of harmful interference to UE(s) operating in the protected operating band.
- NOTE 27: This requirement is applicable for any channel bandwidths within the range 1920 1980 MHz with the following restriction: for carriers of 15 MHz bandwidth when carrier centre frequency is within the range 1927.5 1929.5 MHz and for carriers of 20 MHz bandwidth when carrier centre frequency is within the range 1930 1938 MHz the requirement is applicable only for an uplink transmission bandwidth less than or equal to 54 RB.
- NOTE 28: N/A
- NOTE 29: N/A
- NOTE 30: This requirement applies when the NR carrier is confined within 2545-2575MHz or 2595-2645MHz and the channel bandwidth is 10 or 20 MHz

NOTE 31: N/A

NOTE 32: Void

- NOTE 33: This requirement is only applicable for carriers with bandwidth confined within 1885-1920 MHz (requirement for carriers with at least 1RB confined within 1880 1885 MHz is not specified). This requirement applies for an uplink transmission bandwidth less than or equal to 54 RB for carriers of 15 MHz bandwidth when carrier centre frequency is within the range 1892.5 1894.5 MHz and for carriers of 20 MHz bandwidth when carrier centre frequency is within the range 1895 1903 MHz.
- NOTE 34: This requirement is applicable for 5 and 10 MHz NR channel bandwidth allocated within 718-728MHz. For carriers of 10 MHz bandwidth, this requirement applies for an uplink transmission bandwidth less than or equal to 30 RB with Restart > 1 and Restart < 48.
- NOTE 35: This requirement is applicable in the case of a 10 MHz NR carrier confined within 703 MHz and 733 MHz, otherwise the requirement of -25 dBm with a measurement bandwidth of 8 MHz applies.
- NOTE 36: This requirement is applicable for NR channel bandwidth allocated within 1920-1980 MHz.
- NOTE 37: Applicable when the upper edge of the channel bandwidth frequency is greater than 1980MHz.
- NOTE 38: Applicable when NS\_33 or NS\_34 is configured by the pre-configured radio parameters.

NOTE 39: Void.

- NOTE 40: In the frequency range x-5950MHz, SE requirement of -30dBm/MHz should be applied; where x = max (5925, face + 15), where face is the channel centre frequency.
- NOTE 41: Applicable for 1.4 MHz bandwidth, and when the lower edge of the assigned NR UL channel bandwidth frequency is greater than or equal to 1427 MHz + the channel BW assigned for 3, 5 and 10 MHz bandwidth, and when the lower edge of the assigned E-UTRA UL channel bandwidth frequency is greater than or equal to 1440 MHz for 15 and 20 MHz bandwidth.
- NOTE 42: Applicable for 1.4, 3 and 5 MHz bandwidth, and when the upper edge of the assigned NR UL channel bandwidth frequency is less than or equal to 1467 MHz assigned for 10 MHz bandwidth, and when the upper edge of the assigned NR UL channel bandwidth frequency is less than or equal to 1463.8 MHz for 15 MHz bandwidth, and when the upper edge of the assigned NR UL channel bandwidth frequency is less than or equal to 1460.8 MHz for 20 MHz bandwidth.

Note: To simplify Table 6.5.3.2.3-1, E-UTRA band numbers are listed for bands which are specified only for E-UTRA operation or both E-UTRA and NR operation. NR band numbers are listed for bands which are specified only for NR operation.

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.2.

6.5.3.2.4 Test description

6.5.3.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5.3.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes [A.2]. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.5.3.2.4.1-1: Test Configuration Table

Initial Conditions							
	nment as specified in TS	Normal					
38.508-1 [5] subclause 4.1.							
Test Frequencies as specified in TS		Low range, Mid range, High range	Low range, Mid range, High range				
	subclause 4.3.1.						
	el Bandwidths as specified in	Lowest, Mid, Highest					
	1 [5] subclause 4.3.1.	1 1000	I DIA				
Test SCS as	s specified in Table 5.3.5-1	Lowest supported SCS per test channel BW,					
Highest supported SCS per test channel BW  Test Parameters							
Ch BW	Downlink Configuration		uration				
CH BVV	Downlink Configuration	Uplink Config					
5 MHz		Modulation CP-OFDM QPSK	RB allocation (NOTE 1)				
3 IVITZ		CF-OFDINI QF3K	Outer_Full				
			Edge_1RB_Left				
	-		Edge_1RB_Right Outer_Full				
10 MH=		CD OFDM ODGK					
10 MHz		CP-OFDM QPSK	Edge_1RB_Left				
	1		Edge_1RB_Right Outer_Full				
15 MHz		CP-OFDM QPSK	Edge_1RB_Left				
13 IVITZ		CF-OFDIVI QF3K	Edge_1RB_Right				
	-		Outer_Full				
20 MHz		CP-OFDM QPSK	Edge_1RB_Left				
20 1011 12		CI -OI DIVI QI SIX	Edge_1RB_Right				
	N/A for Spurious Emissions testing		Outer_Full				
25 MHz		CP-OFDM QPSK	Edge_1RB_Left				
20 1011 12		OF OF DIVING SIX	Edge_1RB_Right				
		CP-OFDM QPSK	Outer_Full				
30 MHz			Edge_1RB_Left				
00 1111 12		or or blivial ord	Edge_1RB_Right				
			Outer_Full				
40 MHz		CP-OFDM QPSK	Edge_1RB_Left				
			Edge_1RB_Right				
			Outer_Full				
50 MHz		CP-OFDM QPSK	Edge_1RB_Left				
			Edge_1RB_Right				
			Outer_Full				
60 MHz		CP-OFDM QPSK	Edge_1RB_Left				
			Edge_1RB_Right				
80 MHz			Outer_Full				
		CP-OFDM QPSK	Edge_1RB_Left				
			Edge_1RB_Right				
			Outer_Full				
90 MHz		CP-OFDM QPSK	Edge_1RB_Left				
			Edge_1RB_Right				
			Outer_Full				
100 MHz		CP-OFDM QPSK	Edge_1RB_Left				
			Edge_1RB_Right				
NOTE 1: T	ne specific configuration of each	RB allocation is defined in Table 6.1-1	Common UL configuration.				

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex [A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [6] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5.3.2.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.

6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.3.2.4.3.

#### 6.5.3.2.4.2 Test procedure

- 1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.3.2.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at PUMAX level.
- 3. Measure the power of the transmitted signal with a measurement filter of bandwidths according to table 6.5.3.2.3-1. The centre frequency of the filter shall be stepped in contiguous steps according to table 6.5.3.2.3-1. The measured power shall be verified for each step. The measurement period shall capture the active time slots.

#### 6.5.3.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.5.3.2.5 Test requirement

Test requirements for Spurious Emissions UE Co-existence are the same as the minimum requirements and are not repeated in this section.

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than  $\Delta f_{OOB}$  (MHz) in Table 6.5.3.2.3-1 from the edge of the channel bandwidth. The spurious emission limits in Table 6.5.3.2.3-1 apply for all transmitter band configurations (NRB) and channel bandwidths.

The measured average power of spurious emission, derived in step [3], shall not exceed the described value in Table 6.5.3.2.3-1.

#### 6.5.3.3 Additional spurious emissions

#### Editor's note:

- Initial condition for NS value of 17, 18, 05, 08, 37, 38 and 39 are incomplete.

#### 6.5.3.3.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to other channels or other systems in terms of transmitter spurious emissions under the deployment scenarios where additional requirements are specified.

#### 6.5.3.3.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.5.3.3.3 Minimum conformance requirements

These requirements are specified in terms of an additional spectrum emission requirement. Additional spurious emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

#### 6.5.3.3.3.1 Minimum conformance requirements (network signalled value "NS\_04")

When "NS 04" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.3.1-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth.

Table 6.5.3.3.3.1-1: Additional requirements

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 10, 15, 20, 40, 50, 60, 80, 90, 100 MHz	Measurement bandwidth
2495 ≤ f < 2496	-13	1% of Channel BW
2490.5 ≤ f < 2495	-13	1 MHz
0.009 < f < 2490.5	-25	1 MHz

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.1.

## 6.5.3.3.3.2 Minimum conformance requirements (network signalled value "NS\_17")

When "NS\_17" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.3.2-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth.

Table 6.5.3.3.3.2-1: Additional requirements

Frequency band	Channel bandwidth / Spectrum emission limit (dBm)	Measurement bandwidth	NOTE			
(MHz)	5, 10 MHz					
470 ≤ f ≤ 710	-26.2	6 MHz	1			
	NOTE 1: Applicable when the assigned E-UTRA carrier is confined within 718 MHz and 748 MHz and when the channel bandwidth used is 5 or 10 MHz.					

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.2.

#### 6.5.3.3.3.3 Minimum conformance requirements (network signalled value "NS\_18")

When "NS\_18" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.3.3-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth.

Table 6.5.3.3.3.1: Additional requirements

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 5, 10, 15, 20 MHz	Measurement bandwidth	NOTE
692-698	-26.2	6 MHz	

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.3.

#### 6.5.3.3.3.4 Minimum conformance requirements (network signalled value "NS\_05")

When "NS\_05" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.3.4-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth.

Table 6.5.3.3.3.4-1: Additional requirements

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm)				Measurement bandwidth	NOTE
,	5	10	15	20		
	MHz	MHz	MHz	MHz		
1884.5 ≤ f ≤1915.7	-41	-41	-41	-41	300 KHz	

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.4.

#### 6.5.3.3.3.5 Minimum conformance requirements (network signalled value "NS\_08")

When "NS\_08" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.3.5-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth.

Table 6.5.3.3.3.5-1: Additional requirement

Frequency band	Channel bandwidth / Spectrum emission limit (dBm)			Measurement bandwidth
(MHz)	5 MHz	10 MHz	15 MHz	
860 ≤ f ≤ 890	-40	-40	-40	1 MHz

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.5.

#### 6.5.3.3.3.6 Minimum conformance requirements (network signalled value "NS\_37")

When "NS 37" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.6-1. This requirement also applies for the frequency ranges that are less than FOOB (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

Table 6.5.3.3.6-1: Additional requirement

Frequency band (MHz)	Channe	Measurement bandwidth			
(2)	5MHz	10MHz	15MHz	20MHz	
1475.9 ≤ f ≤ 1510.9	-35	-35	-35	-35	1 MHz

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.6.

#### 6.5.3.3.3.7 Minimum conformance requirements (network signalled value "NS 38")

When "NS 38" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.7-1. This requirement also applies for the frequency ranges that are less than FOOB (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

Table 6.5.3.3.7-1: Additional requirements

Frequency band	Channel bandwidth /	Measurement	
(MHz)	Spectrum emission limit	bandwidth	
	(dBm)		
	5 MHz, 10 MHz, 15 MHz, 20 MHz		
1400 ≤ f ≤ 1427	-32	27MHz	
NOTE 1: This requirement shall be verified with UE transmission power of 15 dBm.			

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.7.

6.5.3.3.3.8 Minimum conformance requirements (network signalled value "NS\_39")

When "NS 39" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.8-1. This requirement also applies for the frequency ranges that are less than FOOB (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

Table 6.5.3.3.8-1: Additional requirements

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 5 MHz, 10 MHz, 15 MHz, 20 MHz	Measurement bandwidth
1475 ≤ f ≤ 1488	-28	1MHz

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.8.

6.5.3.3.4 Test description

6.5.3.3.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All these configurations shall be tested with applicable test parameters for each channel bandwidth and sub-carrier spacing, are shown in Table 6.5.3.3.4.1-1 through Table 6.5.3.3.4.1-8 for different NS values. The details of the uplink reference measurement channels (RMCs) are specified in Annex A.2.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.5.3.3.4.1-1: Test Configuration Table (network signalled value "NS\_04")

	Initial (	Conditions		
Test Enviror subclause 4	nment as specified in TS 38.508-1 [5]	Normal		
Test Freque subclause 4	encies as specified in TS 38.508-1 [5]	Low r	ange	
Test Channe [5] subclaus	el Bandwidths as specified in TS 38.508-1 se 4.3.1	Lowest, Mid, Highest		
Test SCS as	s specified in Table 5.3.5-1	Lowest, Highest		
	Test P	arameters		
Test ID	Downlink Configuration	Uplink Cor	figuration	
		Modulation	RB allocation (NOTE 1)	
1		CP-OFDM QPSK	Edge_1RB_Left	
2	N/A for Spurious Emissions testing	CP-OFDM QPSK	Edge_1RB_Right	
3		CP-OFDM QPSK	Inner Full	
4	4 CP-OFDM QPSK Outer Full			
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1 Common UL configuration				

Table 6.5.3.3.4.1-2: Test Configuration Table (network signalled value "NS\_17")

Initial Conditions					
	nment as specified in TS 38.508-1 [5]	Normal			
subclause 4	.1				
Test Freque	ncies as specified in TS 38.508-1 [5]	[TBD]			
subclause 4	.3.1				
Test Channe	el Bandwidths as specified in TS 38.508-1	[TBD]			
[5] subclaus	e 4.3.1				
Test SCS as	s specified in Table 5.3.5-1	[TBD]			
	Test P	arameters			
Test ID	Downlink Configuration	Uplink Configuration			
		Modulation	RB allocation (NOTE 1)		
1	N/A for Spurious Emissions testing	FFS	FFS		
2	14/7 Tol Opunous Emissions testing	FFS	FFS		
3		FFS	FFS		
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1 Common UL configuration					

## Table 6.5.3.3.4.1-3: Test Configuration Table (network signalled value "NS\_18")

	Initial (	Conditions		
Test Enviror	nment as specified in TS 38.508-1 [5]	Normal		
subclause 4	.1			
Test Freque	ncies as specified in TS 38.508-1 [5]	[TBD]		
subclause 4				
Test Channe	el Bandwidths as specified in TS 38.508-1	[TBD]		
[5] subclaus				
Test SCS as	s specified in Table 5.3.5-1	[TBD]		
	Test P	arameters		
Test ID	Downlink Configuration	Uplink Configuration		
		Modulation	RB allocation (NOTE 1)	
1	N/A for Spurious Emissions testing	FFS	FFS	
2	Turk for opanious Emissions tooking	FFS	FFS	
3		FFS	FFS	
NOTE 1: T	he specific configuration of each RB allocat	tion is defined in Table 6.1-1	Common UL configuration	

## Table 6.5.3.3.4.1-4: Test Configuration Table (network signalled value "NS\_05")

	Initial (	Conditions	
Test Enviror	nment as specified in TS 38.508-1 [5]	Normal	
subclause 4	.1		
Test Freque	encies as specified in TS 38.508-1 [5]	[TBD]	
subclause 4	.3.1		
Test Channe	el Bandwidths as specified in TS 38.508-1	[TBD]	
[5] subclaus	e 4.3.1		
Test SCS as	s specified in Table 5.3.5-1	[TBD]	
	Test P	arameters	
Test ID	Downlink Configuration	Uplink Configuration	
		Modulation	RB allocation (NOTE 1)
1	N/A for Spurious Emissions testing	FFS	FFS
2	TWITTER OPARIOUS ETHIOSIONS TOSTING	FFS	FFS
3		FFS	FFS
NOTE 1: T	he specific configuration of each RB allocat	ion is defined in Table 6.1-1 Co	ommon UL configuration

Table 6.5.3.3.4.1-5: Test Configuration Table (network signalled value "NS\_08")

•	Initial (	Conditions						
Test Environ	nment as specified in TS 38.508-1 [5]	Normal						
subclause 4	.1							
Test Freque	ncies as specified in TS 38.508-1 [5]	[TBD]						
subclause 4	.3.1							
Test Channe	el Bandwidths as specified in TS 38.508-1	[TBD]						
[5] subclaus	e 4.3.1							
Test SCS as specified in Table 5.3.5-1		[TBD]						
	Test P	arameters						
Test ID	Downlink Configuration	Uplink Configuration						
		Modulation	RB allocation (NOTE 1)					
1	N/A for Spurious Emissions testing	FFS	FFS					
2	14/7 (10) Opunous Emissions testing	FFS	FFS					
3		FFS	FFS					
NOTE 1: T	he specific configuration of each RB allocat	tion is defined in Table 6.1-1						

## Table 6.5.3.3.4.1-6: Test Configuration Table (network signalled value "NS\_37")

	Initial Conditions				
Test Enviror	nment as specified in TS 38.508-1 [5]	Normal			
subclause 4					
Test Freque	encies as specified in TS 38.508-1 [5]	[TBD]			
subclause 4	.3.1				
Test Chann	el Bandwidths as specified in TS 38.508-1	[TBD]	·		
[5] subclaus	e 4.3.1				
Test SCS as	s specified in Table 5.3.5-1	[TBD]			
	Test P	arameters			
Test ID	Downlink Configuration	Uplink Cor	nfiguration		
		Modulation	RB allocation (NOTE 1)		
1	N/A for Spurious Emissions testing	FFS	FFS		
2	14/7 (10) Opunous Emissions testing	FFS	FFS		
3		FFS	FFS		
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1 Common UL configuration					

## Table 6.5.3.3.4.1-7: Test Configuration Table (network signalled value "NS\_38")

	Initial Conditions					
Test Enviror	nment as specified in TS 38.508-1 [5]	Normal				
subclause 4						
Test Freque	encies as specified in TS 38.508-1 [5]	[TBD]				
subclause 4	i.3.1					
Test Chann	el Bandwidths as specified in TS 38.508-1	[TBD]				
[5] subclaus	se 4.3.1					
Test SCS as	s specified in Table 5.3.5-1	[TBD]				
	Test P	arameters				
Test ID	Downlink Configuration	Uplink C	Configuration			
		Modulation	RB allocation (NOTE 1)			
1	N/A for Spurious Emissions testing	FFS	FFS			
2	Turk opanious Ermobionis toothing	FFS	FFS			
3		FFS	FFS			
NOTE 1: T	NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1 Common UL configuration					

Table 6.5.3.3.4.1-8: Test Configuration Table (network signalled value "NS\_39")

	Initial Conditions					
Test Enviro	nment as specified in TS 38.508-1 [5]	Normal				
subclause 4	.1					
Test Freque	encies as specified in TS 38.508-1 [5]	[TBD]				
subclause 4	.3.1					
Test Chann	el Bandwidths as specified in TS 38.508-1	[TBD]				
[5] subclaus						
Test SCS as	s specified in Table 5.3.5-1	[TBD]				
	Test P	arameters				
Test ID	Downlink Configuration	Uplink Co	nfiguration			
		Modulation	RB allocation (NOTE 1)			
1	N/A for Spurious Emissions testing	FFS	FFS			
2	14/7 (10) Opunous Emissions testing	FFS	FFS			
3		FFS	FFS			
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1 Common UL configuration						

- 1. Connect the SS to the UE to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5.3.3.4.1-1 through Table 6.5.3.3.4.1-8.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.3.3.4.3.

## 6.5.3.3.4.2 Test procedure

- 1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.3.3.4.1-1 through Table [TBD]. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level.
- 3. Measure the mean power of the UE in the channel bandwidth of the radio access mode according to the test configuration, which shall meet the requirements with allowed A-MPR values specified in table 6.2.3.5-2 per test condition specified in Table 6.2.3.4.1-2. For NS\_04. The measured power shall be verified for each step. The measurement period shall capture the active time slots.
- 4. Measure the power of the transmitted signal with a measurement filter of bandwidths according to Tables 6.5.3.3.3.1-1 to Table 6.5.3.3.8-1 as appropriate. The centre frequency of the filter shall be stepped in contiguous steps according to the same table.

#### 6.5.3.3.4.3 Message contents

#### 6.6.3.3.4.3.1 Message contents exceptions (network signalled value "NS\_04")

Message contents are according to TS 38.508 [5] subclause 4.6, with the following exceptions:

1. Information element additional Spectrum Emission is set to NS\_04. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.6.3.3.4.3.1-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 04"

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1			
Information Element Value/remark Comment Condition			
additionalSpectrumEmission	1 (NS_04)		

#### 6.6.3.3.4.3.2 Message contents exceptions (network signalled value "NS\_17")

Message contents are according to TS 38.508 [5] subclause 4.6, with the following exceptions:

1. Information element additionalSpectrumEmission is set to NS\_17. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.6.3.3.4.3.1-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 17"

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1			
Information Element	Value/remark	Comment	Condition
additionalSpectrumEmission	1 (NS_17)		

#### 6.6.3.3.4.3.3 Message contents exceptions (network signalled value "NS\_18")

Message contents are according to TS 38.508 [5] subclause 4.6, with the following exceptions:

1. Information element additionalSpectrumEmission is set to NS\_18. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.6.3.3.4.3.1-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_18"

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1			
Information Element	Value/remark	Comment	Condition
additionalSpectrumEmission	2 (NS_18)		

#### 6.6.3.3.4.3.4 Message contents exceptions (network signalled value "NS 05")

Message contents are according to TS 38.508 [5] subclause 4.6, with the following exceptions:

1. Information element additionalSpectrumEmission is set to NS\_05. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

## Table 6.6.3.3.4.3.1-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 05"

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1			
Information Element	Value/remark	Comment	Condition
additionalSpectrumEmission	2 (NS_05)		

#### 6.6.3.3.4.3.5 Message contents exceptions (network signalled value "NS\_08")

Message contents are according to TS 38.508 [5] subclause 4.6, with the following exceptions:

1. Information element additionalSpectrumEmission is set to NS\_08. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.6.3.3.4.3.1-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 08"

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1			
Information Element Value/remark Comment Condition			
additionalSpectrumEmission	2 (NS_08)		

#### 6.6.3.3.4.3.6 Message contents exceptions (network signalled value "NS 37")

Message contents are according to TS 38.508 [5] subclause 4.6, with the following exceptions:

1. Information element additionalSpectrumEmission is set to NS\_37. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

# Table 6.6.3.3.4.3.1-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 37"

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1			
Information Element	Value/remark	Comment	Condition
additionalSpectrumEmission	1 (NS_37)		

#### 6.6.3.3.4.3.7 Message contents exceptions (network signalled value "NS\_38")

Message contents are according to TS 38.508 [5] subclause 4.6, with the following exceptions:

1. Information element additionalSpectrumEmission is set to NS\_38. This can be set in the SystemInformationblockType2 as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

## Table 6.6.3.3.4.3.1-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 38"

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1			
Information Element	Value/remark	Comment	Condition
additionalSpectrumEmission	2 (NS_38)		

#### 6.6.3.3.4.3.8 Message contents exceptions (network signalled value "NS\_39")

Message contents are according to TS 38.508 [5] subclause 4.6, with the following exceptions:

1. Information element additionalSpectrumEmission is set to NS\_39. This can be set in the SystemInformationblockType2 as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

Table 6.6.3.3.4.3.1-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS 39"

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1			
Information Element	Value/remark	Comment	Condition
additionalSpectrumEmission	3 (NS_39)		

## 6.5.3.3.5 Test requirement

This clause specifies the requirements for the specified NR band for an additional spectrum emission requirement with protected bands as indicated from Table 6.5.3.3.5.1-1 to Table 6.5.3.3.5.8-1 for different NS\_values.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

6.5.3.3.5.1 Test requirement (network signalled value "NS\_04")

When "NS 04" is indicated in the cell,

- The measured UE mean power in the channel bandwidth, derived in step 3, shall fulfil requirements in Table 6.2.3.5-2.
- The power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.5.1-1. This requirement also applies for the frequency ranges that are less than F<sub>OOB</sub> (MHz) in Table 6.5.3.1.3 from the edge of the channel bandwidth.

Table 6.5.3.3.5.1-1: Additional requirements

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 10, 15, 20, 40, 50, 60, 80, 90 100 MHz	Measurement bandwidth
2495 ≤ f < 2496	-13	1% of Channel BW
2490.5 ≤ f < 2495	-13	1 MHz
0 < f < 2490.5	-25	1 MHz

6.5.3.3.5.2	Test requirement (network signalled value "NS_17")
FFS	
6.5.3.3.5.3	Test requirement (network signalled value "NS_18")
FFS	
6.5.3.3.5.4	Test requirement (network signalled value "NS_05")
FFS	
6.5.3.3.5.5	Test requirement (network signalled value "NS_08")
FFS	
6.5.3.3.5.6	Test requirement (network signalled value "NS_37")
FFS	
6.5.3.3.5.7	Test requirement (network signalled value "NS_38")
FFS	
6.5.3.3.5.8	Test requirement (network signalled value "NS_39")
FFS	

## 6.5.4 Transmit intermodulation

#### Editor's Note:

- How to deal with TDD slots with transient periods is FFS

## 6.5.4.1 Test purpose

To verify that the UE transmit intermodulation does not exceed the described value in the test requirement.

The transmit intermodulation performance is a measure of the capability of the transmitter to inhibit the generation of signals in its non linear elements caused by presence of the wanted signal and an interfering signal reaching the transmitter via the antenna.

#### 6.5.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.5.4.3 Minimum conformance requirements

UE transmit intermodulation is defined by the ratio of the mean power of the wanted signal to the mean power of the intermodulation product when an interfering CW signal is added at a level below the wanted signal at each transmitter antenna port with the other antenna port(s) if any terminated. Both the wanted signal power and the intermodulation product power are measured through NR rectangular filter with measurement bandwidth shown in Table 6.5.4.3-1.

The requirement of transmit intermodulation is specified in Table 6.5.4.3-1.

Table 6.5.4.3-1: Transmit Intermodulation

Wanted signal channel bandwidth	BW <sub>Channel</sub>						
Interference signal frequency offset from channel centre	BW <sub>Channel</sub>	2*BWchannel					
Interference CW signal level	-40dBc						
Intermodulation product	< -29dBc	< -35dBc					
Measurement bandwidth	The maximum transmission bandwidth configuration among the different SCSs for the channel BW as defined in Table 6.5.2.2.3-1						
Measurement offset from channel centre	BW <sub>Channel</sub> and 2*BW <sub>Channel</sub>	2*BW <sub>Channel</sub> and 4*BW <sub>Channel</sub>					

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.4.

6.5.4.4 Test description

#### 6.5.4.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, and are shown in table 6.5.4.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.5.4.4.1-1: Test Configuration Table

		Initial Conditions				
Test Environme	ent as specified in TS 38.508-1	Normal				
[5] subclause 4.	.1					
Test Frequencie	es as specified in TS 38.508-1	Mid range				
[5] subclause 4.	.3.1					
Test Channel B	andwidths as specified in TS	Mid, Highest				
38.508-1 [5] sul	oclause 4.3.1					
Test SCS as sp	ecified in Table 5.3.5-1	Lowest, Highest				
		Test Parameters				
Test ID	Downlink Configuration	Uplink Configura	ation			
	N/A for transmit	Modulation	RB allocation (NOTE 1)			
1	intermodulation test case	DFT-s-OFDM PI/2 BPSK	Inner Full			
2	intermodulation test case	DFT-s-OFDM QPSK Inner Full				
NOTE 1: The	specific configuration of each RB	allocation is defined in Table 6.1-1.				

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [A.3.1.3] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.

- 4. The UL Reference Measurement channels are set according to Table 6.5.4.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.4.4.3.

#### 6.5.4.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.4.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2. Send continuously uplink power control "up" commands to the UE until the UE transmits at its P<sub>UMAX</sub> level.
- 3. Measure the rectangular filtered mean power of the UE. [For TDD slots with transient periods are not under test for the wanted signal and for the intermodulation product.]
- 4. Set the interference signal frequency below the UL carrier frequency using the first offset in table 6.5.4.5-1.
- 5. Set the interference CW signal level according to table 6.5.4.5-1.
- 6. Search the intermodulation product signals below and above the UL carrier frequency, then measure the rectangular filtered mean power of transmitting intermodulation for both signals, and calculate the ratios with the power measured in step 3.
- 7. Set the interference signal frequency above the UL carrier frequency using the first offset in table 6.5.4.5-1.
- 8. Search the intermodulation product signals below and above the UL carrier frequency, then measure the rectangular filtered mean power of transmitting intermodulation for both signals, and calculate the ratios with the power measured in step 3.
- 9. Repeat the measurement using the second offset in table 6.5.4.5-1.

## 6.5.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

## 6.5.4.5 Test requirement

The ratio derived in step 6 and 8, shall not exceed the described value in table 6.5.4.5-1.

Table 6.5.4.5-1: Transmit Intermodulation

Wanted signal channel bandwidth	BW <sub>Channel</sub>					
Interference signal frequency offset from channel centre	BW <sub>Channel</sub>	2*BW <sub>Channel</sub>				
Interference CW signal level	-40dBc					
Intermodulation product	< -29dBc	< -35dBc				
Measurement bandwidth	The maximum transmission bandwidth configuration among the different SCSs for the channel BW as defined in Table 6.5.2.2.3-1					
Measurement offset from channel centre	BW <sub>Channel</sub> and 2*BW <sub>Channel</sub>	2*BW <sub>Channel</sub> and 4*BW <sub>Channel</sub>				

## 6.5A Output RF spectrum emissions for CA

## 6.5A.2 Out of band emission for CA

## 6.5A.2.2 Spectrum emission mask

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- Message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- The test configuration table for inter-band CA is FFS.
- The connection diagram for inter-band CA in TS 38.508-1[5] Annex A is FFS.
- Procedure to configure SCC in TS 38.508-1[5] clause [TBD] is FFS.
- The subclause 6.2A.3.3.5 in reference to requirements for transmitter power for inter-band CA is FFS.
- Extending the coverage of the TCs with intra-band CA scenarios is FFS

#### 6.5A.2.2.0 Minimum conformance requirements

For inter-band carrier aggregation with uplink assigned to two NR bands, the minimum conformance requirements specified in subclause 6.5.2.2 shall apply on each component carrier with all component carriers active. If for some frequency spectrum emission masks of component carriers overlap then spectrum emission mask allowing higher power spectral density applies for that frequency. If for some frequency a component carrier spectrum emission mask overlaps with the channel bandwidth of another component carrier, then the emission mask does not apply for that frequency.

## 6.5A.2.2.1 Spectrum emission mask for CA (2UL CA)

#### 6.5A.2.2.1.1 Test purpose

To verify that the power of any UE emission shall not exceed specified level for the specified channel bandwidth for 2UL CA.

#### 6.5A.2.2.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports 2DL and 2UL CA.

#### 6.5A.2.2.1.3 Minimum conformance requirements

The minimum conformance requirements are defined in subclause 6.5 A.2.2.0.

#### 6.5A.2.2.1.4 Test description

#### 6.5A.2.2.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR CA configuration specified in clause 5.5A. All of these configurations shall be tested with applicable test parameters for each CA configuration, are shown in Table 6.5A.2.2.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

## Table 6.5A.2.2.1.4.1-1: Inter band CA Test Configuration Table

#### [FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [TBD] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals for PCC are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5A.2.2.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5A.2.2.1.4.3.

#### 6.5A.2.2.1.4.2 Test procedure

- 1. Configure SCC according to Annex C.0, C.1, C.2 for all downlink physical channels.
- 2. The SS shall configure SCC as per TS 38.508-1 [5] clause [TBD]. Message contents are defined in clause 6.5A.2.2.1.4.3.
- 3. SS activates SCC by sending the activation MAC CE (Refer TS 38.321 [TBD], clauses 5.9, 6.1.3.10). Wait for at least 2 seconds (Refer TS 38.133[TBD], clause9.3).
- 4. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5A.2.2.1.4.1-1 on both PCC and SCC. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 5. Send continuously power control "up" commands in every uplink scheduling information to the UE until the UE transmits at PUMAX level. Allow at least 200ms for the UE to reach PUMAX level.
- 6. Measure the mean power of the UE in the channel bandwidth of the radio access mode for each CC according to the test configuration, which shall meet the requirements described in clause [6.2A.3.3.5]. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- 7. Measure the power of the transmitted signal with a measurement filter of bandwidths for each CC according to Table 6.5A.2.2.1.5-1. The centre frequency of the filter shall be stepped in continuous steps according to the same table. The measured power shall be recorded for each step. The measurement period shall capture the active TSs.
- NOTE 1: When switching to DFT-s-OFDMwaveform, as specified in the test configuration table 6.5A.2.2.1.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition.

#### 6.5A.2.2.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.5A.2.2.1.5 Test requirement

The measured UE mean power in the channel bandwidth for each CC, derived in step 6, shall fulfil requirements in Table [6.2A.3.3.5-1] as appropriate, and the power of any UE emission for each CC, derived in step 7, shall fulfil requirements in Table 6.5A.2.2.1.5-1.If for some frequency spectrum emission masks of component carriers overlap then spectrum emission mask allowing higher power spectral density applies for that frequency. If for some frequency a component carrier spectrum emission mask overlaps with the channel bandwidth of another component carrier, then the emission mask does not apply for that frequency.

Table 6.5A.2.2.1.5-1: NR General spectrum emission mask

Δf <sub>OOB</sub> (MHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Measuremer bandwidth
± 0-1	-13 + TT						1 % channel bandwidth						
± 0-1								-24 + TT	30 kHz				
± 1-5	-10 + TT												
± 5-6	-13 + TT	-13											
± 6-10	-25 + TT	+ TT	-13 + TT	-13									
± 10-15		-25 + TT	' ' '	+ TT	-13 + TT	-13							
± 15-20			-25 + TT			+ TT	-13						
± 20-25				-25 + TT			+TT	-13 + TT					
± 25-30					-25 + TT				-13 + TT	-13			
± 30-35						-25 + TT				+ TT	-13 + TT		
± 35-40							1					-13	1 MHz
± 40-45							-25 + TT					+ TT	
± 45-50													
± 50-55								-25 + TT					
± 55-60													
± 60-65									-25 + TT				
± 65-80											]		
± 80-90										-25 + TT			
± 90-95											-25 + TT		
± 95-100												1	
: 100-105												-25	

Note 1: The first and last measurement position with a 30 kHz filter is at ΔfOOB equals to 0.015 MHz and 0.985 MHz.

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

## 6.5A.2.4 Adjacent channel leakage ratio

## 6.5A.2.4.1 NR ACLR

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- Message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- PC1 and PC4 requirements are missing in TS 38.101-1 [2].

Note 2: At the boundary of spectrum emission limit, the first and last measurement position with a 1 MHz filter is the inside of +0.5MHz and -0.5MHz, respectively.

Note 3: The measurements are to be performed above the upper edge of the channel and below the lower edge of the channel.

Note 4: TT = 1.5 dB for  $f \le 3\text{GHz}$ , TT = 1.8 dB for  $3\text{GHz} < f \le 4.2\text{GHz}$ , TT = 1.8 dB for  $4.2\text{GHz} < f \le 6.0\text{GHz}$ .

- The test configuration table for inter-band CA is FFS.
- The connection diagram for inter-band CA in TS 38.508-1[5] Annex A is FFS.
- Procedure to configure SCC in TS 38.508-1[5] clause [TBD] is FFS.
- The subclause 6.2A.3.3.5 in reference to requirements for transmitter power for inter-band CA is FFS.
- Extending the coverage of the TCs with intra-band CA scenarios is FFS

#### 6.5A.2.4.1.0 Minimum conformance requirements

For inter-band carrier aggregation with uplink assigned to two NR bands, the minimum conformance requirements specified in subclause 6.5.2.4.1.3 shall apply on each component carrier with all component carriers active.

6.5A.2.4.1.1 NR ACLR for CA (2UL CA)

#### 6.5A.2.4.1.1.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to adjacent channels in terms of Adjacent Channel Leakage power Ratio (ACLR) for 2UL CA.

#### 6.5A.2.4.1.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports 2DL and 2UL CA.

#### 6.5A.2.4.1.1.3 Minimum conformance requirements

The minimum conformance requirements are defined in subclause 6.5A.2.4.1.0.

6.5A.2.4.1.1.4 Test description

#### 6.5A.2.4.1.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR CA configuration specified in clause 5.5A. All of these configurations shall be tested with applicable test parameters for each CA configuration, are shown in Table 6.5A.2.4.1.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2

## Table 6.5A.2.4.1.1.4.1-1: Inter band CA Test Configuration Table

## [FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [TBD] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause4.4.3.
- 3. Downlink signals for PCC are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5A.2.4.1.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5A.2.4.1.1.4.3

#### 6.5A.2.4.1.1.4.2 Test procedure

- 1. Configure SCC according to Annex C.0, C.1, C.2 for all downlink physical channels.
- 2. The SS shall configure SCC as per TS 38.508-1 [5] clause [TBD]. Message contents are defined in clause 6.5A.2.4.1.1.4.3.

- 3. SS activates SCC by sending the activation MAC CE (Refer TS 38.321 [TBD], clauses 5.9, 6.1.3.10). Wait for at least 2 seconds (Refer TS 38.133[TBD], clause9.3).
- 4. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5A.2.2.1.1.4.1-1 on both PCC and SCC. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 5. Send continuously power control "up" commands in every uplink scheduling information to the UE until the UE transmits at P<sub>UMAX</sub> level. Allow at least 200ms for the UE to reach P<sub>UMAX</sub> level.
- 6. Measure the mean power of the UE in the channel bandwidth of the radio access mode on PCC according to the test configuration, which shall meet the requirements described in clause [6.2A.3.3.5] as appropriate. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- 7. Measure the rectangular filtered mean power for the assigned NR channel on PCC.
- 8. Measure the rectangular filtered mean power of the first NR adjacent channel on both lower and upper side of the assigned NR channel on PCC, respectively.
- 9. Calculate the ratios of the power between the values measured in step 7 over step 8 for lower and upper NR ACLR, respectively.
- 10. Measure the mean power of the UE in the channel bandwidth of the radio access mode on PCC according to the test configuration, which shall meet the requirements described in clause [6.2A.3.3.5] as appropriate. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- 11. Measure the rectangular filtered mean power for the assigned NR channel on PCC.
- 12. Measure the rectangular filtered mean power of the first NR adjacent channel on both lower and upper side of the assigned NR channel on PCC, respectively.
- 13. Calculate the ratios of the power between the values measured in step 11 over step 12 for lower and upper NR ACLR, respectively.
- NOTE 1: When switching to DFT-s-OFDM waveform, as specified in the test configuration Table 6.5A.2.4.1.1.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition

#### 6.5A.2.4.1.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.5A.2.4.1.1.5 Test requirement

The measured UE mean power in the channel bandwidth, derived in step 6 and step 10, shall fulfil requirements in clause [6.2A.3.3.5] as appropriate, and if the measured adjacent channel power is greater than –50 dBm, then the measured NR ACLR for each CC, derived in step 9 and step 13, shall be higher than the limits in Table 6.5A.2.4.1.1.5-2.

Table 6.5A.2.4.1.1.5-1: NR ACLR measurement bandwidth

	NR channel bandwidth / NR ACLR measurement bandwidth											
	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
NR ACLR												
measurement bandwidth	4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	78.15	88.23	98.31

Table 6.5A.2.4.1.1.5-2: NR ACLR requirement

	Power class 1	Power class 2	Power class 3					
NR ACLR		31 + TT dB	30 + TT dB					
NOTE 1: TT	NOTE 1: TT = 0.8 dB for $f \le 4.0$ GHz, TT = 1.0 dB for $4.0$ GHz < $f \le 6.0$ GHz,							

#### 6.5A.2.4.2 UTRA ACLR

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- Message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- The test configuration table for inter-band CA is FFS.
- The connection diagram for inter-band CA in TS 38.508-1[5] Annex A is FFS.
- Procedure to configure SCC in TS 38.508-1[5] clause [TBD] is FFS.
- The subclause 6.2A.3.3.5 in reference to requirements for transmitter power for inter-band CA is FFS.
- Extending the coverage of the TCs with intra-band CA scenarios is FFS

#### 6.5A.2.4.2.0 Minimum conformance requirements

For inter-band carrier aggregation with uplink assigned to two NR bands, the minimum conformance requirements specified in subclause 6.5.2.4.2.3 shall apply on each component carrier with all component carriers active.

#### 6.5A.2.4.2.1 UTRA ACLR for CA (2UL CA)

#### 6.5A.2.4.2.1.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to adjacent UTRA channels in terms of Adjacent Channel Leakage power Ratio (UTRA ACLR) for 2UL CA.

## 6.5A.2.4.2.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports 2DL and 2UL CA.

#### 6.5A.2.4.2.1.3 Minimum conformance requirements

The minimum conformance requirements are defined in subclause 6.5A.2.4.2.0.

#### 6.5A.2.4.2.1.4 Test description

## 6.5A.2.4.2.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR CA configuration specified in clause 5.5A. All of these configurations shall be tested with applicable test parameters for each CA configuration, are shown in Table 6.5A.2.4.2.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2

#### Table 6.5A.2.4.2.1.4.1-1: Inter band CA Test Configuration Table

#### [FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [TBD] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause4.4.3.
- 3. Downlink signals for PCC are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.

- 4. The UL Reference Measurement channels are set according to Table 6.5A.2.4.2.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5A.2.4.2.1.4.3

#### 6.5A.2.4.2.1.4.2 Test procedure

- 1. Configure SCC according to Annex C.0, C.1, C.2 for all downlink physical channels.
- 2. The SS shall configure SCC as per TS 38.508-1 [5] clause [TBD]. Message contents are defined in clause 6.5A.2.4.2.1.4.3.
- 3. SS activates SCC by sending the activation MAC CE (Refer TS 38.321 [TBD], clauses 5.9, 6.1.3.10). Wait for at least 2 seconds (Refer TS 38.133[TBD], clause9.3).
- 4. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5A.2.2.1.1.4.1-1 on both PCC and SCC. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 5. Send continuously power control "up" commands in every uplink scheduling information to the UE until the UE transmits at P<sub>UMAX</sub> level. Allow at least 200ms for the UE to reach P<sub>UMAX</sub> level.
- 6. Measure the mean power of the UE in the channel bandwidth of the radio access mode on PCC according to the test configuration, which shall meet the requirements described in clause [6.2A.3.3.5] as appropriate. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- 7. Measure the rectangular filtered mean power for the assigned NR channel on PCC.
- 8. Measure the RRC filtered mean power of the first and the second UTRA adjacent channel on both lower and upper side of the assigned NR channel on PCC, respectively.
- 9. Calculate the ratios of the power between the values measured in step 7 over step 8 for lower and upper UTRA ACLR, respectively.
- 10. Measure the mean power of the UE in the channel bandwidth of the radio access mode on SCC according to the test configuration, which shall meet the requirements described in clause [6.2A.3.3.5] as appropriate. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- 11. Measure the rectangular filtered mean power for the assigned NR channel on SCC.
- 12. Measure the RRC filtered mean power of the first and the second UTRA adjacent channel on both lower and upper side of the assigned NR channel on SCC, respectively.
- 13. Calculate the ratios of the power between the values measured in step 11 over step 12 for lower and upper UTRA ACLR, respectively.
- NOTE 1: When switching to DFT-s-OFDM waveform, as specified in the test configuration Table 6.5A.2.4.1.1.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition

#### 6.5A.2.4.2.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the following exceptions:

#### Table 6.5A.2.4.2.3.4.3-1: Additional Spectrum Emission

Derivation Path: 38.508-1 [5] clause 4.6.3, Table 4.6.3-1 Additional Spectrum Emission							
Information Element	Value/remark	Comment	Condition				
AdditionalSpectrumEmission	TBD						

### 6.5A.2.4.2.1.5 Test requirement

The measured UE mean power in the channel bandwidth, derived in step 6 and step 10, shall fulfil requirements in clause [6.2A.3.3.5] as appropriate, and if the measured adjacent channel power is greater than –50 dBm, then the measured UTRA ACLR for each CC, derived in step 9 and step 13, shall be higher than the limits in Table 6.5A.2.4.2.1.5-2.

Table 6.5A.2.4.2.1.5-1: Measurement bandwidth for NR carrier

	NR channel bandwidth / UTRA ACLR measurement bandwidth											
	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
NR channel measurement bandwidth (MHz)	4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	78.15	88.23	98.31
UTRA channel Measurement bandwidth (MHz)		3.84										
1st Adjacent channel centre frequency offset	± 2.5 MHz from NR channel edge											
2 <sup>nd</sup> Adjacent channel centre frequency offset					± 7.5 MH	Hz from N	R channe	l edge				

Table 6.5A.2.4.2.1.5-2: UTRA ACLR requirement

	Power class 3
UTRA <sub>ACLR1</sub>	33 dB +TT
UTRA <sub>ACLR2</sub>	36 dB + TT
NOTE 1: $TT = 0.8 \text{ dB for } f \le 4.00$	GHz, TT = 1.0 dB for $4.0$ GHz $< f \le 6.0$ GHz,

## 6.5A.3 Spurious emission for CA

## 6.5A.3.1 General spurious emissions for CA

Editor's Note: This clause is incomplete. The following aspects are either missing or not yet determined:

- Message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- The test configuration table for inter-band CA is FFS.
- The connection diagram for inter-band CA in TS 38.508-1[5] Annex A is FFS.
- Extending the coverage of the TCs with intra-band CA scenarios is FFS
- Procedure to configure SCC in TS 38.508-1[5] clause [TBD] is FFS

## 6.5A.3.1.0 Minimum conformance requirements

For inter-band carrier aggregation with uplink assigned to two NR bands, the spurious emission requirement Table 6.5.3.1.3-2 apply for the frequency ranges that are more than  $F_{OOB}$  as defined in Table 6.5.3.1.3-1 away from edges of the assigned channel bandwidth on a component carrier. If for some frequency a spurious emission requirement of individual component carrier overlaps with the spectrum emission mask or channel bandwidth of another component carrier then it does not apply.

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5A.3.1

## 6.5A.3.1.1 General spurious emissions for CA (2UL CA)

#### 6.5A.3.1.1.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to other channels or other systems in terms of transmitter spurious emissions.

#### 6.5A.3.1.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports 2DL and 2UL CA.

#### 6.5A.3.1.1.3 Minimum conformance requirements

The minimum conformance requirements are defined in subclause 6.5A.3.1.0.

#### 6.5A.3.1.1.4 Test description

## 6.5A.3.1.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR CA configuration specified in clause 5.5A. All of these configurations shall be tested with applicable test parameters for each CA configuration, are shown in Table 6.5A.3.1.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

## Table 6.5A.3.1.1.4.1-1: Inter band CA Test Configuration Table

#### [FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [TBD] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals for PCC are initially set up according to Annex C.0, C.1 and C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5A.3.1.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5A.3.1.1.4.3.

### 6.5A.3.1.1.4.2 Test procedure

- 1. Configure SCC according to Annex C.0, C.1, C.2 for all downlink physical channels.
- 2. The SS shall configure SCC as per TS 38.508-1 [5] clause [TBD]. Message contents are defined in clause 6.5A.3.1.1.4.3.
- 3. SS activates SCC by sending the activation MAC CE (Refer TS 38.321 [TBD], clauses 5.9, 6.1.3.10). Wait for at least 2 seconds (Refer TS 38.133[TBD], clause9.3).
- 4. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5A.3.1.1.4.1-1 on both PCC and SCC. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 5. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level.

6. Measure the power of the transmitted signal with a measurement filter of bandwidths according to Table 6.5A.3.1.1.5-1. The centre frequency of the filter shall be stepped in contiguous steps according to Table 6.5A.3.1.1.5-1. The measured power shall be verified for each step. The measurement period shall capture the active time slots.

#### 6.5A.3.1.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause [TBD].

#### 6.5A.3.1.1.5 Test requirement

This clause specifies the requirements for the specified NR band for Transmitter Spurious emissions requirement with frequency range as indicated in Table 6.5A.3.1.1.5-1.

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than  $\Delta f_{OOB}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth. If for some frequency a spurious emission requirement of individual component carrier overlaps with the spectrum emission mask or channel bandwidth of another component carrier then it does not apply.

The measured average power of spurious emission, derived in step 6, shall not exceed the described value in Table 6.5A.3.1.1.5-1.

Table 6.5A.3.1.1.5-1: General spurious emissions test requirements

Frequency Range	Maximum Level	Measurement bandwidth	NOTE
9 kHz ≤ f < 150 kHz	-36 dBm	1 kHz	
150 kHz ≤ f < 30 MHz	-36 dBm	10 kHz	
30 MHz ≤ f < 1000 MHz	-36 dBm	100 kHz	
1 GHz ≤ f < 12.75 GHz	-30 dBm	1 MHz	
12.75 GHz ≤ f < 5th harmonic of the upper frequency edge of the UL operating band in GHz	-30 dBm	1 MHz	1
12.75 GHz < f < 26 GHz	-30 dBm	1 MHz	2

NOTE 1: Applies for Band that the upper frequency edge of the UL Band more than 2.69 GHz

NOTE 2: Applies for Band that the upper frequency edge of the UL Band more than 5.2 GHz

## 6.5A.3.2 Spurious emission for UE co-existence

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- Message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- Extending the coverage of the TCs with intra-band CA scenarios is FFS.
- The test configuration table for inter-band CA is FFS.
- The connection diagram for inter-band CA in TS 38.508-1[5] Annex A is FFS.
- Procedure to configure SCC in TS 38.508-1[5] clause [TBD] is FFS.

## 6.5A.3.2.0 Minimum conformance requirements

This clause specifies the requirements for the specified NR carrier aggregation configurations for coexistence with protected bands.

NOTE 1: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

For inter-band carrier aggregation with the uplink assigned to two NR bands, the requirements in Table 6.5.3.2.3-1apply on each component carrier with both component carriers are active. The minimum conformance requirements are specified in Table 6.5A.3.2.0-1.

NOTE 2: For inter-band carrier aggregation with uplink assigned to two NR bands, the requirements in Table 6.5.3.2.3-1 could be verified by measuring spurious emissions at the specific frequencies where second and third order intermodulation products generated by the two transmitted carriers can occur; in that case, the requirements for remaining applicable frequencies in Table 6.5.3.2.3-1would be considered to be verified by the measurements verifying the one uplink inter-band CA UE to UE co-existence requirements.

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5A.3.2.

Table 6.5A.3.2.0-1: Requirements for uplink inter-band carrier aggregation (two bands)

NR CA		Spui	rious er	nission			
Configuration	Protected Band	Frequen	cy ranç	ge (Mhz)	Maximum Level (dBm)	MBW (MHz)	NOTE
CA_n3A-n78A	E-UTRA Band 1, 3, 5, 7, 8, 11, 18, 19, 20, 21, 26, 28, 34, 39, 40, 41, 65	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	Frequency range	1884.5	-	1915.7	-41	0.3	3
	NR Band n257	26500	-	29500	-5	100	
CA_n8A-n78A	E-UTRA Band 1,8, 20, 28, 34, 39, 40,65	$F_{DL\_low}$	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 3, 7,41	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 11, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	5
	Frequency range	860	-	890	-40	1	4,5
	Frequency range	1884.5	-	1915.7	-41	0.3	3
	NR Band n257	26500	-	29500	-5	100	
	NR Band n258	24250	-	27500	-5	100	

NOTE 1: FDL\_low and FDL\_high refer to each frequency band specified in Table 5.2-1 or Table 5.5-1 in TS 36.101 NOTE 2: As exceptions, measurements with a level up to the applicable requirements defined in Table 6.5.3.1-2 are permitted for each assigned NR carrier used in the measurement due to 2nd, 3rd, 4th or 5<sup>th</sup> harmonic spurious emissions. Due to spreading of the harmonic emission the exception is also allowed for the first 1 MHz frequency range immediately outside the harmonic emission on both sides of the harmonic emission. This results in an overall exception interval centred at the harmonic emission of (2MHz + N x LCRB x 180kHz), where N is 2, 3, 4, 5 for the 2nd, 3rd, 4th or 5th harmonic respectively. The exception is allowed if the measurement bandwidth (MBW) totally or partially overlaps the overall exception interval.

NOTE 3: Applicable when co-existence with PHS system operating in 1884.5 -1915.7MHz

NOTE 4: These requirements also apply for the frequency ranges that are less than F<sub>OOB</sub> (MHz) in Table 6.5.3.1-1 and Table 6.5A.3.1-1 from the edge of the channel bandwidth.

NOTE 5: This requirement is applicable only for the following cases: - for carriers of 5 MHz channel bandwidth when carrier centre frequency (Fc) is within the range 902.5 MHz ≤ Fc < 907.5 MHz with an uplink transmission bandwidth less than or equal to 20 RB - for carriers of 5 MHz channel bandwidth when carrier centre frequency (Fc) is within the range 907.5 MHz ≤ Fc ≤ 912.5 MHz without any restriction on uplink transmission bandwidth. - for carriers of 10 MHz channel bandwidth when carrier centre frequency (Fc) is Fc = 910 MHz with an uplink transmission bandwidth less than or equal to 32 RB with RBstart> 3.

#### 6.5A.3.2.1 Spurious emissions for UE co-existence for CA (2UL CA)

## 6.5A.3.2.1.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to co-existing systems for the specified bands which has specific requirements in terms of transmitter spurious emissions for 2UL CA.

### 6.5A.3.2.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support 2DL and 2UL CA.

#### 6.5A.3.2.1.3 Minimum conformance requirements

The minimum conformance requirements are defined in subclause 6.5A.3.2.0.

#### 6.5A.3.2.1.4 Test description

#### 6.5A.3.2.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR CA configuration specified in clause 5.5A. All of these configurations shall be tested with applicable test parameters for each CA configuration, are shown in Table 6.5A.3.2.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 6.5A.3.2.1.4.1-1: Inter band CA Test Configuration Table

#### [FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [TBD] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [6] subclause4.4.3.
- 3. Downlink signals for PCC are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5A.3.2.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5A.3.2.1.4.3.

#### 6.5A.3.2.1.4.2 Test procedure

- 1. Configure SCC according to Annex C.0, C.1, and Annex C.2 for all downlink physical channels.
- 2. The SS shall configure SCC as per TS 38.508-1 [5] clause [TBD]. Message contents are defined in clause 6.5A.3.2.1.4.3.
- 3. SS activates SCC by sending the activation MAC CE (Refer TS 38.321 [TBD], clauses 5.9, 6.1.3.10). Wait for at least 2 seconds (Refer TS 38.133[TBD], clause9.3).
- 4. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5A.3.2.1.4.1-1 on both PCC and SCC. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 5. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level.
- 6. Measure the power of the transmitted signal with a measurement filter of bandwidths according to Table 6.5.3.2.3-1. The centre frequency of the filter shall be stepped in contiguous steps according to Table 6.5.3.2.3-1. The measured power shall be verified for each step. The measurement period shall capture the active time slots.

#### 6.5A.3.2.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

## 6.5A.3.2.1.5 Test requirement

Test requirements for Spurious Emissions UE Co-existence are the same as the minimum requirements. The measured average power of spurious emission, derived in step 6, shall not exceed the described value in Table 6.5A.3.2.1.5-1.

Table 6.5A.3.2.1.5-1: Requirements for uplink inter-band carrier aggregation (two bands)

NR CA		Spui	ious er	nission			
Configuration	Protected Band	Frequen	cy rang	ge (Mhz)	Maximum Level (dBm)	MBW (MHz)	NOTE
CA_n3A-n78A	E-UTRA Band 1, 3, 5, 7, 8, 11, 18, 19, 20, 21, 26, 28, 34, 39, 40, 41, 65	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	Frequency range	1884.5	-	1915.7	-41	0.3	3
	NR Band n257	26500	-	29500	-5	100	
CA_n8A-n78A	E-UTRA Band 1,8, 20, 28, 34, 39, 40,65	$F_{DL\_low}$	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 3, 7,41	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 11, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	5
	Frequency range	860	-	890	-40	1	4,5
	Frequency range	1884.5	-	1915.7	-41	0.3	3
	NR Band n257	26500	-	29500	-5	100	
	NR Band n258	24250	-	27500	-5	100	

NOTE 1: FDL\_low and FDL\_high refer to each frequency band specified in Table 5.2-1 or Table 5.5-1 in TS 36.101

NOTE 2: As exceptions, measurements with a level up to the applicable requirements defined in Table 6.5.3.1-2 are permitted for each assigned NR carrier used in the measurement due to 2nd, 3rd, 4th or 5<sup>th</sup> harmonic spurious emissions. Due to spreading of the harmonic emission the exception is also allowed for the first 1 MHz frequency range immediately outside the harmonic emission on both sides of the harmonic emission. This results in an overall exception interval centred at the harmonic emission of (2MHz + N x LCRB x 180kHz), where N is 2, 3, 4, 5 for the 2nd, 3rd, 4th or 5th harmonic respectively. The exception is allowed if the measurement bandwidth (MBW) totally or partially overlaps the overall exception interval.

- NOTE 3: Applicable when co-existence with PHS system operating in 1884.5 -1915.7MHz
- NOTE 4: These requirements also apply for the frequency ranges that are less than F<sub>OOB</sub> (MHz) in Table 6.5.3.1-1 and Table 6.5A.3.1-1 from the edge of the channel bandwidth.
- NOTE 5: This requirement is applicable only for the following cases: for carriers of 5 MHz channel bandwidth when carrier centre frequency (Fc) is within the range 902.5 MHz ≤ Fc < 907.5 MHz with an uplink transmission bandwidth less than or equal to 20 RB for carriers of 5 MHz channel bandwidth when carrier centre frequency (Fc) is within the range 907.5 MHz ≤ Fc ≤ 912.5 MHz without any restriction on uplink transmission bandwidth. for carriers of 10 MHz channel bandwidth when carrier centre frequency (Fc) is Fc = 910 MHz with an uplink transmission bandwidth less than or equal to 32 RB with RBstart> 3.

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than  $\Delta f_{OOB}$  (MHz) in Table 6.5.3.2.3-1 from the edge of the channel bandwidth. The spurious emission limits in Table 6.5.3.2.3-1 apply for all transmitter band configurations (NRB) and channel bandwidths for all CC combinations.

## 6.5A.4 Transmit intermodulation for CA

Editor's Note: This clause is incomplete. The following aspects are either missing or not yet determined:

- Message contents in TS 38.508-1 [5] subclause 4.6 is FFS.
- The test configuration table for inter-band CA is FFS.
- The connection diagram for inter-band CA in TS 38.508-1 [5] Annex A is FFS.
- Procedure to configure SCC in TS 38.508-1 [5] clause [TBD] is FFS.
- Extending the coverage of the TCs with intra-band CA scenarios is FFS

#### 6.5A.4.0 Minimum conformance requirements

For inter-band carrier aggregation with uplink assigned to two NR bands, the transmit intermodulation requirement is specified in Table 6.5.4-1 which shall apply on each component carrier with both component carriers active.

## 6.5A.4.1 Transmit intermodulation for CA (2UL CA)

#### 6.5A.4.1.1 Test purpose

To verify that the UE transmit intermodulation does not exceed the described value in the test requirement.

The transmit intermodulation performance is a measure of the capability of the transmitter to inhibit the generation of signals in its non linear elements caused by presence of the wanted signal and an interfering signal reaching the transmitter via the antenna.

#### 6.5A.4.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports 2DL and 2UL CA.

#### 6.5A.4.1.3 Minimum conformance requirements

The minimum conformance requirements are defined in subclause 6.5A.4.0.

6.5A.4.1.4 Test description

#### 6.5A.4.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR CA configuration specified in clause 5.5A. All of these configurations shall be tested with applicable test parameters for each CA configuration, are shown in Table 6.5A.4.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 6.5A.4.1.4.1-1: Inter-band CA Test Configuration Table

#### [FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [TBD] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals for PCC are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5A.4.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5A.4.1.4.3.

#### 6.5A.4.1.4.2 Test procedure

- 1. Configure SCC according to Annex C.0, C.1, C.2 for all downlink physical channels.
- 2. The SS shall configure SCC as per TS 38.508-1 [5] clause [TBD]. Message contents are defined in clause 6.5A.4.1.4.3.
- 3. SS activates SCC by sending the activation MAC CE (Refer TS 38.321 [TBD], clauses 5.9, 6.1.3.10). Wait for at least 2 seconds (Refer TS 38.133[TBD], clause9.3).
- 4. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5A.4.1.4.1-1 on both PCC and SCC. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 5. Send continuously uplink power control "up" commands on PCC and SCC to the UE until the UE transmits at its  $P_{UMAX}$  level; allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level
- 6. Measure the rectangular filtered mean power of the UE. [For TDD slots with transient periods are not under test for the wanted signal and for the intermodulation product.]
- 7. Set the interference signal frequency below the UL carrier frequency of the PCC using the first offset in Table 6.5A.4.1.5-1.

- 8. Set the interference CW signal level according to Table 6.5A.4.1.5-1.
- 9. Search the intermodulation product signals below and above the UL carrier frequency of the PCC, then measure the rectangular filtered mean power of transmitting intermodulation for both signals, and calculate the ratios with the power measured in step 6.
- 10. Set the interference signal frequency above the UL carrier frequency of the PCC using the first offset in Table 6.5A.4.1.5-1.
- 11. Search the intermodulation product signals below and above the UL carrier frequency of the PCC, then measure the rectangular filtered mean power of transmitting intermodulation for both signals, and calculate the ratios with the power measured in step 6.
- 12. Set the interference signal frequency below the UL carrier frequency of the SCC using the first offset in Table 6.5A.4.1.5-1.
- 13. Search the intermodulation product signals below and above the UL carrier frequency of the SCC, then measure the rectangular filtered mean power of transmitting intermodulation for both signals, and calculate the ratios with the power measured in step 6.
- 14. Set the interference signal frequency above the UL carrier frequency of the SCC using the first offset in Table 6.5A.4.1.5-1.
- 15. Search the intermodulation product signals below and above the UL carrier frequency of the SCC, then measure the rectangular filtered mean power of transmitting intermodulation for both signals, and calculate the ratios with the power measured in step 6.
- 16. Repeat the measurement using the second offset in Table 6.5A.4.1.5-1.

### 6.5A.4.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.5A.4.1.5 Test requirement

The ratio derived in steps9, 11, 13 and 15, shall not exceed the described value in Table 6.5A.4.1.5-1.

Wanted signal **BW**Channel channel bandwidth Interference signal frequency offset from channel 2\*BWChannel **BW**Channel centre -40dBc Interference CW signal level Intermodulation product <-29dBc < -35dBc The maximum transmission bandwidth configuration Measurement bandwidth among the different SCSs for the channel BW as defined in Table 6.5.2.2.3-1 2\*BW<sub>Channel</sub> and Measurement offset from channel BW<sub>Channel</sub> and centre 2\*BWChannel 4\*BWChannel

Table 6.5A.4.1.5-1: Transmit Intermodulation

## 6.5C Output RF spectrum emissions for SUL

For a terminal that supports SUL for the band combination specified in Table 5.2C-1, the current version of the specification assumes the terminal is configured with active transmission either on UL carrier or SUL carrier at any time in one serving cell and the UE requirements for single carrier shall apply for the active UL or SUL carrier accordingly

## 6.5C.1 Occupied bandwidth for SUL

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1 [5] subclause 4.6 is FFS
- Test Configuration Table is FFS

#### 6.5C.1.1 Test purpose

To verify that the UE occupied bandwidth for all transmission bandwidth configurations supported by the UE supporting SUL are less than their specific limits when UE is configured using SUL transmission.

#### 6.5C.1.2 Test applicability

This test applies to all types of NR UE release 15 and forward that support SUL operating on the SUL bands.

#### 6.5C.1.3 Minimum conformance requirements

Same minimum conformance requirements as in clause 6.5.1.3

### 6.5C.1.4 Test description

Same test description as specified in clause 6.5.1.4 with following exceptions:

- Instead of table 5.3.5-1  $\rightarrow$  use Table 5.5C-1
- Instead of table 6.5.1.4.1-1  $\rightarrow$  use Table 6.5C.1.4-1

Table 6.5C.1.4.1-1: Test Configuration Table

			141 - 1 - 0 1141 -						
			itial Condition						
Test Env	ironment as specified in TS	3	Normal, TL/V	'L, TL/VH, TH/VL, TH/VH					
38.508-1	[5] subclause 4.1								
Test Free	quencies as specified in TS	3	FFS						
38.508-1	[5] subclause 4.3.1								
Test Cha	nnel Bandwidths as specif	ied in	FFS						
TS 38.50	08-1 [5] subclause 4.3.1								
Test SCS	S as specified in Table 5.3.	5-1	FFS						
	Test Pa	ramete	ers for Channe	el Bandwidths					
	Downlink	UL C	onfiguration	SUL Configurat	ion				
	Configuration								
Test ID	N/A for Configured UE			Modulation	RB				
	transmitted Output allocation								
1	Power test case		NA	FFS	FFS				
2			NA	FFS	FFS				
Note 1:	Note 1: Test Channel Bandwidths are checked separately for each SUL band combination, the applicable channel bandwidths are specified in Table 5.5C-1.								

- The parameter setting for the cell are set up according to the TS 38.508-1 [5] subclause [4.4.3]
- Downlink signals are initially setup according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, and G.3.0 with consideration of supplementary uplink physical channels.
- Message contents in initial conditions are according to TS 38.508-1 [5] subclause 4.6 ensuring Tables 4.6.3-13, 4.6.3-97, and 4.6.3-129A with conditions SUL, and Table 4.6.3-128 with condition PUSCH\_PUCCH\_ON\_SUL.

### 6.5C.1.5 Test requirement

The measured Occupied Bandwidth on SUL carrier shall not exceed values in Table 6.5C.1.5-1.

Table 6.5C.1.5-1: Occupied channel bandwidth

			Occu	pied cha	annel ba	ndwidth	ı / NR CI	nannel b	andwid	th	
5	10	15	20	25	30	40	50	60	80	90	100
MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz

Channel												
bandwidth	5	10	15	20	25	30	40	50	60	80	90	100
(MHz)												

## 6.5C.2 Out of band emission for SUL

#### 6.5C.2.1 General

Void

#### 6.5C.2.2 Spectrum Emission Mask for SUL

The spectrum emission mask of the UE applies to frequencies ( $\Delta f_{OOB}$ ) starting from the  $\pm$  edge of the assigned NR channel bandwidth. For frequencies greater than ( $\Delta f_{OOB}$ ) the spurious requirements in subclause 6.5.3 are applicable.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Configuration table is FFS
- The clause in reference to the total transmission power is TBD.

#### 6.5C.2.2.1 Test purpose

To verify that the power of any UE emission shall not exceed specified level for the specified channel bandwidth.

## 6.5C.2.2.2 Test applicability

This test applies to all types of NR UE release 15 and forward that support SUL operating on the SUL bands.

#### 6.5C.2.2.3 Minimum conformance requirements

Same minimum conformance requirements as in the clause 6.5.2.2.3.

## 6.5C.2.2.4 Test description

Same test description as specified in clause 6.5.2.2 with following exceptions:

- Instead of table 5.3.5-1  $\rightarrow$  use Table 5.5C-1
- Instead of table 6.5.2.2.4.1-1 → use Table 6.5C.2.2.4.1-1

Table 6.5C.2.2.4.1-1: Test Configuration Table(FFS)

		In	itial Conditi	ons				
Test Env	ironment as specified in TS	3	Normal, TL	/VL, TL/VH, TH/VL, TH/VH				
38.508-1	[5] subclause 4.1							
Test Free	quencies as specified in TS	;	FFS					
38.508-1	[5] subclause 4.3.1							
Test Cha	nnel Bandwidths as specifi	ed in	FFS					
TS 38.50	8-1 [5] subclause 4.3.1							
Test SCS	S as specified in Table 5.3.	5-1	FFS					
	Test Pa	ramete	ers for Chan	nel Bandwidths				
	Downlink		UL	SUL Configu	ıration			
	Configuration	Con	figuration					
Test ID	N/A for Configured UE			Modulation	RB allocation			
1	1 transmitted Output NA FFS FFS							
2	Power test case		NA	FFS	FFS			
Note 1:	Test Channel Bandwidths	s are cl	hecked sepa	rately for each SUL band o	ombination, the			
	applicable channel bandwidths are specified in Table 5.5C-1.							

- The parameter setting for the cell are set up according to the TS 38.508-1 [5] subclause [4.4.3]
- Downlink signals are initially setup according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, and G.3.0 with consideration of supplementary uplink physical channels.
- Message contents in initial conditions are according to TS 38.508-1 [5] subclause 4.6 ensuring Tables 4.6.3-13, 4.6.3-97, and 4.6.3-129A with conditions SUL, and Table 4.6.3-128 with condition PUSCH\_PUCCH\_ON\_SUL.

## 6.5C.2.2.5 Test requirement

The measured sum of the UE mean power in the channel bandwidth on the SUL carrier, derived in step 3, shall fulfil requirements in Tables [6.2C.1.5-1 or 6.2C.2.5-1] as appropriate, and the power of any UE emission shall fulfil requirements in Table 6.5C.2.2.5-1.

Table 6.5C.2.2.5-1: NR General spectrum emission mask

## 1	Δf <sub>OOB</sub> (MHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Measuremen bandwidth
# 0-1	± 0-1													1 % channel
# 1-5	± 0-1	-15	-18	-20	-21	-22 +	-23 +	-24 +						
# 5-6	± 1-5	-10	-10	-10	-10	-10 +	-10 +	-10 +	-10	-10 +	-10 +	-10 +	-10	
# 6-10	± 5-6	-13		+ 11	+ 11	11	11	11	+ 11	11	11	11	+ 11	
# 10-15	± 6-10	-25	_		40									
# 15-20	± 10-15	+ 11		+ 11		_	-13 ±							
± 20-25	± 15-20		T 11			''								
± 25-30	± 20-25							TT						
± 30-35	± 25-30								+ TT					
± 35-40 ± 40-45 ± 45-50 ± 50-55 ± 55-60 ± 60-65 ± 65-80 ± 80-90 ± 90-95	± 30-35											-13 +		
± 40-45	± 35-40													
± 45-50 ± 50-55								-25 + TT						1 MHz
± 55-60 ± 60-65 ± 65-80 ± 80-90 ± 90-95	± 45-50													
± 55-60 ± 60-65 ± 65-80 ± 80-90 ± 90-95	± 50-55													
± 60-65	± 55-60													
± 65-80 ± 80-90 ± 90-95														
± 90-95 TT -25 + TT	± 65-80													
± 90-95 -25 + TT	± 80-90													
	± 90-95													
	± 95-100											• • •		

Note 1: The first and last measurement position with a 30 kHz filter is at ΔfOOB equals to 0.015 MHz and 0.985 MHz.

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

## 6.5C.2.3 Additional spectrum emission mask for SUL

Editor's note: The following aspects are either missing or not yet determined:

- Configuration table is TBD and NS\_XXS apply to SUL Bands is FFS.
- Parameters setting for Cell setup in 38.508 subclause is FFS

Note 2: At the boundary of spectrum emission limit, the first and last measurement position with a 1 MHz filter is the inside of +0.5MHz and -0.5MHz, respectively.

Note 3: The measurements are to be performed above the upper edge of the channel and below the lower edge of the channel.

Note 4: TT = 1.5 dB for  $f \le 3$ GHz, TT = 1.8 dB for 3GHz  $< f \le 4.2$ GHz, TT = 1.8 dB for 4.2GHz  $< f \le 6.0$ GHz.

- SA Generic procedures with condition NR in TS 38.508-1 [5] is FFS.
- Message contents in reference to clause 6.2C.3.4.3 is TBD
- Test point analysis in reference to clause 6.2C.3 is TBD
- Test procedure is incomplete, the clause in reference to the total transmission power is TBD.
- Test requirements is incomplete.

#### 6.5C.2.3.1 Test purpose

Same test purpose as in clause 6.5.2.3.1

#### 6.5C.2.3.2 Test applicability

This test applies to all types of NR UE release 15 and forward that support SUL operating on the SUL bands.

#### 6.5C.2.3.3 Minimum conformance requirements

Same minimum conformance requirements as in the clause 6.5.2.3.3 with consideration of the NS\_XXs applicable to the SUL bands.

#### 6.5C.2.3.4 Test description

Same test description as specified in clause 6.5.2.3.4 with following exceptions:

- Instead of table 5.3.5-1  $\rightarrow$  use Table 5.5C-1
- The parameter setting for the cell are set up according to the TS 38.508-1 [5] subclause [4.4.3]
- Downlink signals are initially setup according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, and G.3.0 with consideration of supplementary uplink physical channels.
- Message contents in initial conditions are according to TS 38.508-1 [5] subclause 4.6 ensuring Tables 4.6.3-13, 4.6.3-97, and 4.6.3-129A with conditions SUL, and Table 4.6.3-128 with condition PUSCH\_PUCCH\_ON\_SUL, additionally the following exceptions shown in Table 6.2C.1.4-2 ~ Table 6.2C.1.4-4 are considered.

[FFS]

#### 6.5C.2.3.4.3 Message contents

The same message contents as in Clause 6.2C.3.4.3

6.5C.2.3.5 Test requirement

[FFS for NS\_XXs]

## 6.5C.2.4 Adjacent channel leakage ratio for SUL

Adjacent channel leakage power Ratio (ACLR) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency.

#### 6.5C.2.4.1 NR ACLR for SUL

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- PC1 and PC4 requirements are missing in TS 38.101-1 [2].
- Test point analysis is FFS
- The clause 6.2C.2.5 in reference to the total transmission power is TBD

#### 6.5C.2.4.1.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to adjacent channels in terms of Adjacent Channel Leakage power Ratio (ACLR).

#### 6.5C.2.4.1.2 Test applicability

This test applies to all types of NR UE release 15 and forward that support SUL operating on the SUL bands.

#### 6.5C.2.4.1.3 Minimum conformance requirements

The minimum conformance requirements specified in Clause 6.5.2.4.1.3 applies to the UE that support SUL operating on the SUL bands.

The normative reference for this requirement is TS 38.101-] clauses 6.5.2.4.1.

#### 6.5C.2.4.1.4 Test description

Same test description as specified in clause 6.5.2.4.1.4 with following exceptions:

- Instead of table 5.3.5-1  $\rightarrow$  use Table 5.5C-1
- Instead of table 6.5.2.4.1.4.1-1  $\rightarrow$  use Table 6.5C.2.4.1.4-1

Table 6.5C.2.4.1.4-1: Test Configuration Table

		In	itial Condition	ns			
Test Env	ironment as specified in TS	3	Normal, TL/V	L, TL/VH, TH/VL, TH/VH			
38.508-1	[5] subclause 4.1						
	quencies as specified in TS	3	Mid range for	r both SUL carrier and Non-S	SUL carrier		
	[5] subclause 4.3.1						
Test Cha	innel Bandwidths as specif	ied in	Lowest, Mid,	Highest for both SUL carrier	and Non-SUL		
TS 38.50	8-1 [5] subclause 4.3.1		carrier				
Test SCS	S as specified in Table 5.3.	5-1	15kHz for both	th SUL carrier and Non-SUL	carrier		
	Test Pa	ramete	ers for Channe	el Bandwidths			
	Downlink	UL C	onfiguration	SUL Configurat	ion		
	Configuration						
Test ID	N/A for Configured UE			Modulation	RB		
transmitted Output allocation							
1	Power test case		NA	FFS	FFS		
2			NA	FFS	FFS		
Note 1:				tely for each SUL band comb	oination, the		
	applicable channel bandwidths are specified in Table 5.5C-1.						

- The parameter setting for the cell are set up according to the TS 38.508-1 [5] subclause [4.4.3]
- Downlink signals are initially setup according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, and G.3.0 with consideration of supplementary uplink physical channels.
- Message contents in initial conditions are according to TS 38.508-1 [5] subclause 4.6 ensuring Tables 4.6.3-13, 4.6.3-97, and 4.6.3-129A with conditions SUL, and Table 4.6.3-128 with condition PUSCH\_PUCCH\_ON\_SUL.

## 6.5C.2.4.1.5 Test requirement

The measured UE mean power in the channel bandwidth on SUL carrier, derived in step 3, shall fulfil requirements in [Clause 6.2C.2.5] as appropriate, and if the measured adjacent channel power is greater than –50 dBm then the measured NR ACLR, derived in step 6, shall be higher than the limits in Table 6.5C.2.4.1.5-1.

#### Table 6.5C.2.4.1.5-1: NR ACLR measurement bandwidth

	NR channel bandwidth / NR ACLR measurement bandwidth											
	5   10   15   20   25   30   40   50   60   80   90   100											
	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz
NR ACLR												
measurement	4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	78.15	88.23	98.31
bandwidth												

## Table 6.5C.2.4.1.5-2: NR ACLR requirement

	Power class 1	Power class 2	Power class 3					
NR ACLR		31 + TT dB	30 + TT dB					
NOTE 1: TT = 0.8 dB for $f \le 4.0$ GHz, TT = 1.0 dB for $4.0$ GHz $< f \le 6.0$ GHz,								

## 6.5C.2.4.2 UTRA ACLR for SUL

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Test point analysis is FFS
- The requirement is incomplete: No specified requirements for the UEs of PC other than PC3, the additionalSpectrumEmission. is unspecified in the core requirement.
- The clause 6.2C.3.5 in reference to the total transmission power is TBD
- The clause 6.5.2.4.2.3 in reference to the minimum requirements is TBD.

## 6.5C.2.4.2.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to adjacent channels in terms of Adjacent Channel Leakage power Ratio (ACLR).

## 6.5C.2.4.2.2 Test applicability

This test applies to all types of NR UE release 15 and forward that support SUL operating on the SUL bands.

#### 6.5C.2.4.2.3 Minimum conformance requirements

The minimum conformance requirements specified in Clause [6.5.2.4.2.3] the UE that support SUL operating on the SUL bands.

The normative reference for this requirement is TS 38.101-] clause 6.5.2.4.2.

#### 6.5C.2.4.2.4 Test description

Same test description as specified in clause 6.5.2.4.2.4 with following exceptions:

- Instead of table 5.3.5-1  $\rightarrow$  use Table 5.5C-1
- Instead of table 6.5.2.4.2.4.1-1 $\rightarrow$  use Table 6.5C.2.4.2.4-1

Table 6.5C.2.4.2.4-1: Test Configuration Table(FFS)

		In	itial Condition	ns				
Test Env	rironment as specified in TS	3	Normal, TL/V	L, TL/VH, TH/VL, TH/VH				
	[5] subclause 4.1							
Test Free	quencies as specified in TS	3	FFS					
38.508-1	[5] subclause 4.3.1							
Test Cha	annel Bandwidths as specifi	ied in	FFS					
TS 38.50	)8-1 [5] subclause 4.3.1							
Test SCS	S as specified in Table 5.3.		FFS					
	Test Parameters for Channel Bandwidths							
	Downlink	UL C	onfiguration	SUL Configurat	ion			
	Configuration							
Test ID	N/A for Configured UE			Modulation	RB			
	transmitted Output allocation							
1	Power test case		NA	FFS	FFS			
2			NA	FFS	FFS			
Note 1:	Test Channel Bandwidth	s are c	hecked separa	tely for each SUL band comb	oination, the			
	applicable channel bandwidths are specified in Table 5.5C-1.							

- The parameter setting for the cell are set up according to the TS 38.508-1 [5] subclause [4.4.3]
- Downlink signals are initially setup according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, and G.3.0 with consideration of supplementary uplink physical channels.
- Message contents in initial conditions are according to TS 38.508-1 [5] subclause 4.6 ensuring Tables 4.6.3-13, 4.6.3-97, and 4.6.3-129A with conditions SUL, and Table 4.6.3-128 with condition PUSCH\_PUCCH\_ON\_SUL, together with the exceptions as specified in Table 6.5C.2.4.2.4-2

Table 6.5C.2.4.2.4-2: SystemInformationBlockType2: UTRA ACLR test requirement for "NS\_XX"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [	TBD]		
Information Element	Value/remark	Comment	Condition
additionalSpectrumEmission	XX (NS_XX)		

#### 6.5C.2.4.2.5 Test requirement

UTRA ACLR requirement is applicable when signalled by the network with network signalling value indicated by the field *additionalSpectrumEmission*.

The measured UE mean total power in the channel bandwidth on SUL carrier, derived in step 3, shall fulfil requirements in [Clause 6.2C.3.5] as appropriate, and if the measured adjacent channel power is greater than –50 dBm then the measured UTRA ACLR, derived in step 6, shall be higher than the limits in Table 6.5C.2.4.2.5-1.

Table 6.5C.2.4.2.5-1: NR ACLR requirement

	Power class 3			
UTRA <sub>ACLR1</sub>	33 dB + TT			
UTRA <sub>ACLR2</sub>	36 dB + TT			
NOTE 1: TT = 0.8 dB for $f \le 4.0$ GHz, TT = 1.0 dB for				
4.0GHz < f ≤ 6.0GHz,				

## 6.5C.3 Spurious emissions for SUL

## 6.5C.3.1 General spurious emissions for SUL

#### Editor's Note:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- The clause 6.2C.2.5 in reference to the total transmission power is TBD

- Parameters setting for Cell setup in 38.508 subclause is FFS.
- The configuration needs FFS to incorporate into the Edge allocation.

#### 6.5C.3.1.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to other channels or other systems in terms of transmitter spurious emissions.

#### 6.5C.3.1.2 Test applicability

This test applies to all types of NR UE release 15 and forward that support SUL operating on the SUL bands.

#### 6.5C.3.1.3 Minimum conformance requirements

The general spurious emission requirement specified in clause 6.5.3.1.3 applies to the UE that support SUL operating on the SUL bands.

The normative reference for this requirement is TS 38.101-1 [2] subclauses 6.5.3.1

#### 6.5C.3.1.4 Test description

Same test description as specified in clause 6.5.3.1.4 with following exceptions:

- Instead of table 5.3.5-1  $\rightarrow$  use Table 5.5C-1
- Instead of table  $6.5.3.1.4.1-1 \rightarrow$  use Table 6.5C.3.1.4-1

Table 6.5C.3.1.4-1: Test Configuration Table

Initial Conditions						
Test Environment as specified in TS			Normal, TL/VL, TL/VH, TH/VL, TH/VH			
38.508-1 [5] subclause 4.1						
Test Frequencies as specified in TS		Low range, Mid range, High range				
38.508-1 [5] subclause 4.3.1						
Test Channel Bandwidths as specified in			Lowest, Mid, Highest			
TS 38.508-1 [5] subclause 4.3.1						
Test SCS	Test SCS as specified in Table 5.3.5-1		Lowest, Highest			
Test Parameters for Channel Bandwidths						
	Downlink	UL Configuration		SUL Configuration		
	Configuration					
Test ID	N/A for Configured			Modulation	RB allocation	
1	UE transmitted	CP-OFDM QPSK		Outer_Full	CP-OFDM QPSK	
2	Output Power test	CP-OFDM QPSK		Outer_1RB_Left	CP-OFDM QPSK	
3	case	CP-OFDM QPSK		Outer_1RB_Right	CP-OFDM QPSK	
Note 1: Test Channel Bandwidths are checked separately for each SUL band combination, the						
applicable channel bandwidths are specified in Table 5.5C-1.						

- The parameter setting for the cell are set up according to the TS 38.508-1 [5] subclause [4.4.3]
- Downlink signals are initially setup according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, and G.3.0 with consideration of supplementary uplink physical channels.
- Message contents in initial conditions are according to TS 38.508-1 [5] subclause 4.6 ensuring Tables 4.6.3-13, 4.6.3-97, and 4.6.3-129A with conditions SUL, and Table 4.6.3-128 with condition PUSCH\_PUCCH\_ON\_SUL.
- Instead of Table 6.5C.3.1.5-1  $\rightarrow$  use Table 6.5C.3.1.5-1

#### 6.5C.3.1.5 Test requirement

The measured average power of spurious emission on the SUL carrier, derived in step 3, shall not exceed the described value in Table 6.5C.3.1.5-1.

The spurious emission limits apply for the frequency ranges that are more than  $\Delta fOOB$  (MHz) in Table 6.5C.3.1.3-1 from the edge of the channel bandwidth.

Table 6.5C.3.1.5-1: General spurious emissions test requirements

Frequency Range	Maximum Level	Measurement bandwidth	NOTE
9 kHz ≤ f < 150 kHz	-36 dBm	1 kHz	
150 kHz ≤ f < 30 MHz	-36 dBm	10 kHz	
30 MHz ≤ f < 1000 MHz	-36 dBm	100 kHz	
1 GHz ≤ f < 12.75 GHz	-30 dBm	1 MHz	
12.75 GHz ≤ f < 5th harmonic of the upper frequency edge of the UL operating band in GHz	-30 dBm	1 MHz	1
12.75 GHz < f < 26 GHz	-30 dBm	1 MHz	2

NOTE 1: Applies for Band that the upper frequency edge of the UL Band more than 2.69 GHz

NOTE 2: Applies for Band that the upper frequency edge of the UL Band more than 5.2 GHz

# 6.5C.3.2 Spurious emission for UE co-existence for SUL

#### Editor's note

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- Initial conditions is incomplete.
- The configuration needs FFS to incorporate into the Edge allocation.
- Parameters setting for Cell setup in 38.508 subclause is FFS.

## 6.5C.3.2.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to co-existing systems for the specified bands which has specific requirements in terms of transmitter spurious emissions.

### 6.5C.3.2.2 Test applicability

This test applies to all types of NR UE release 15 and forward that support SUL operating on the SUL bands.

# 6.5C.3.2.3 Minimum conformance requirements

The requirements for NR bands for coexistence with protected bands specified in subclause 6.5.3.2.3 apply to the UE that support SUL operating on the SUL bands

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.2.

## 6.5C.3.2.4 Test description

Same test description as specified in clause 6.5.3.2.4 with following exceptions:

- Instead of table 5.3.5-1  $\rightarrow$  use Table 5.5C-1
- Instead of table 6.5.3.2.4.1-1 → use Table 6.5C.3.2.4-1

Table	6.5C.3.2	2.4-1:	Test	Config	uration	Table
I abic	0.00.0.					

	Initial Conditions					
Test Enviror	nment as specified in TS	Normal				
38.508-1 [5]	subclause 4.1.					
Test Freque	encies as specified in TS	Low range, Mid range, High range				
38.508-1 [5]	subclause 4.3.1.					
Test Chann	el Bandwidths as specified in	Lowest, Mid, Highest				
TS 38.508-1	1 [5] subclause 4.3.1.					
Test SCS as	s specified in Table 5.3.5-1	Lowest supported SCS per test channel				
		Highest supported SCS per test channel BW				
		Test Parameters				
Test ID	Downlink Configuration	Uplink Configura	ation			
		Modulation	RB allocation (NOTE 1)			
<ol> <li>N/A for Spurious Emissions</li> </ol>		CP-OFDM QPSK	Outer_Full			
2	testing	CP-OFDM QPSK	Outer_1RB_Left			
3		CP-OFDM QPSK	Outer_1RB_Right			
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1 Common UL configuration.						

- The parameter setting for the cell are set up according to the TS 38.508-1 [5] subclause [4.4.3]
- Downlink signals are initially setup according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, and G.3.0 with consideration of supplementary uplink physical channels.
- Message contents in initial conditions are according to TS 38.508-1 [5] subclause 4.6 ensuring Tables 4.6.3-13, 4.6.3-97, and 4.6.3-129A with conditions SUL, and Table 4.6.3-128 with condition PUSCH\_PUCCH\_ON\_SUL.

## 6.5C.3.2.5 Test requirement

Test requirements for Spurious Emissions UE Co-existence are the same as specified in clause 6.5.3.2.3-1.

The measured average power of spurious emission, derived in step 3, shall not exceed the described value in Table 6.5.3.2.3-1.

# 6.5C.3.3 Additional spurious emissions for SUL

- Initial condition for NS value is incomplete. TP analysis is pending.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- Initial conditions is incomplete: incomplete test configuration
- The test requirement is TBD
- The clause in reference to message contents is TBD.
- The configuration needs FFS to incorporate into the Edge allocation.
- Parameters setting for Cell setup in 38.508 subclause is FFS.

### 6.5C.3.3.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to other channels or other systems in terms of transmitter spurious emissions under the deployment scenarios where additional requirements are specified.

## 6.5C.3.3.2 Test applicability

This test applies to all types of NR UE release 15 and forward that support SUL operating on the SUL bands.

# 6.5C.3.3.3 Minimum conformance requirements

The additional spurious emission requirements specified in 6.5.3.3.3 apply to the UE operating on SUL bands.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.3.3

### 6.5C.3.3.4 Test description

Same test description as specified in clause 6.5.3.2.4 with following exceptions:

- Instead of table 5.3.5-1  $\rightarrow$  use Table 5.5C-1
- Instead of table  $6.5.3.3.4.1-1 \rightarrow$  use Table 6.5C.3.3.4-1

Table 6.5C.3.3.4-1: Test Configuration Table

	Initial (	Conditions				
Test Enviror subclause 4	nment as specified in TS 38.508-1 [5]	Normal				
Test Freque subclause 4	encies as specified in TS 38.508-1 [5]	[ТВ	D]			
Test Channel Bandwidths as specified in TS 38.508-1 [TBD] [5] subclause 4.3.1						
Test SCS as	s specified in Table 5.3.5-1	[TBD]				
	Test P	arameters				
Test ID	Downlink Configuration	Uplink Con	figuration			
		Modulation	RB allocation (NOTE 1)			
1	N/A for Spurious Emissions testing	FFS	FFS			
2	TVA for opunous Emissions testing	FFS FFS				
3 FFS FFS						
NOTE 1: T	he specific configuration of each RB allocate	tion is defined in Table 6.1-1 Co	mmon UL configuration			

- The parameter setting for the cell are set up according to the TS 38.508-1 [5] subclause [4.4.3]
- Downlink signals are initially setup according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, and G.3.0 with consideration of supplementary uplink physical channels.
- Instead of table  $6.5.3.3.5.1-1 \rightarrow$  use Table 6.5C.3.3.5-1
- Message contents in initial conditions are according to TS 38.508-1 [5] subclause 4.6 ensuring Tables 4.6.3-13, 4.6.3-97, and 4.6.3-129A with conditions SUL, and Table 4.6.3-128 with condition PUSCH\_PUCCH\_ON\_SUL, together with the exceptions as specified in Clause [6.2C.3.4.3]

### 6.5C.3.3.5 Test requirement

The measured power on SUL carrier derived in step 4 shall meet the requirements for the specified NR band for an additional spectrum emission requirement with protected bands as indicated in Table 6.5C.3.3.5-1.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

Table 6.5C.3.3.5-1: Additional spurious emissions test requirements [FFS]

# 6.5C.4 Transmit intermodulation for SUL

# Editor's Note:

- SA message contents in TS 38.508-1 [5] subclause 4.6 is FFS
- How to deal with TDD slots with transient periods is FFS
- Initial conditions is incomplete: Test configuration table is incomplete
- Parameters setting for Cell setup in 38.508 subclause is FFS.

## 6.5C.4.1 Test purpose

To verify that the UE transmit intermodulation does not exceed the described value in the test requirement.

The transmit intermodulation performance is a measure of the capability of the transmitter to inhibit the generation of signals in its non linear elements caused by presence of the wanted signal and an interfering signal reaching the transmitter via the antenna.

## 6.5C.4.2 Test applicability

This test applies to all types of NR UE release 15 and forward that support SUL operating on the SUL bands.

### 6.5C.4.3 Minimum conformance requirements

The requirements in subclause 6.5.4 apply to the UE operating on SUL bands

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.4.

# 6.5C.4.4 Test description

Same test description as specified in clause 6.2.4.4 with following exceptions:

- Instead of table  $5.3.5-1 \rightarrow$  use Table 5.5C-1
- Instead of table 6.5.4.4.1-1 → use Table 6.5C.4.4-1

# Table 6.5C.4.4-1: Test Configuration Table

		Initial Conditions				
Test Environme [5] subclause 4	ent as specified in TS 38.508-1 .1	Normal				
Test Frequenci [5] subclause 4	es as specified in TS 38.508-1 .3.1	FFS				
Test Channel E 38.508-1 [5] su	Bandwidths as specified in TS bclause 4.3.1	FFS				
Test SCS as sp	pecified in Table 5.3.5-1	Lowest, Highest				
		Test Parameters				
Test ID	Downlink Configuration	Uplink Configu	ration			
	NI/A for transmit	Modulation	RB allocation (NOTE 1)			
1	N/A for transmit intermodulation test case	FFS	FFS			
2	intermodulation test case	FFS	FFS			
NOTE 1: The	NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.					

- The parameter setting for the cell are set up according to the TS 38.508-1 [5] subclause [4.4.3]
- Downlink signals are initially setup according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, and G.3.0 with consideration of supplementary uplink physical channels.
- Instead of table  $6.5.4.5-1 \rightarrow$  use Table 6.5C.4.5-1
- Message contents in initial conditions are according to TS 38.508-1 [5] subclause 4.6 ensuring Tables 4.6.3-13, 4.6.3-97, and 4.6.3-129A with conditions SUL, and Table 4.6.3-128 with condition PUSCH\_PUCCH\_ON\_SUL.

## 6.5C.4.5 Test requirement

The ratio derived in step 6 and 8, shall not exceed the described value in table 6.5C.4.5-1.

#### Table 6.5C.4.5-1: Transmit Intermodulation

Wanted signal channel bandwidth	BW	√Channel
Interference signal frequency offset from channel centre	BWChannel	2*BW <sub>Channel</sub>
Interference CW signal level	-4	0dBc
Intermodulation product	< -29dBc	< -35dBc
Measurement bandwidth	The maximum transmission bandwidth co the channel BW as defined in Table 6.5.2	
Measurement offset from channel centre	BWChannel and 2*BWChannel	2*BWchannel and 4*BWchannel

# 6.5D Output RF spectrum emissions for UL-MIMO

# 6.5D.1 Occupied bandwidth for UL-MIMO

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1 [5] subclause 4.6 is FFS
- TT analysis is FFS
- Test point analysis is FFS
- Configuration table is TBD

### 6.5D.1.1 Test purpose

To verify that the UE occupied bandwidth for all transmission bandwidth configurations supported by the UE supporting UL-MIMO are less than their specific limits when UE is configured using UL-MIMO transmission.

# 6.5D.1.2 Test applicability

This test applies to all types of NR UE release 15 and forward that support UL MIMO.

### 6.5D.1.3 Minimum conformance requirements

For UE supporting UL-MIMO, the requirements for occupied bandwidth is specified at each transmit antenna connector. The occupied bandwidth is defined as the bandwidth containing 99 % of the total integrated mean power of the transmitted spectrum on the assigned channel at each transmit antenna connector.

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the occupied bandwidth at each transmitter antenna shall be less than the channel bandwidth specified in table 6.5.1.3-1. The requirements shall be met with UL-MIMO configurations described in sub-clause 6.2D.1.3.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.5.1.3 apply

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5D.1.

## 6.5D.1.4 Test description

### 6.5D.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5D.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

## Table 6.5D.1.4.1-1: Test Configuration Table[FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.2 for TE diagram and section A.3.2 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5D.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5D.1.4.3

### 6.5D.1.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.5.1.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2
- 2. Send continuously power control "up" commands to the UE until the UE transmits at PUMAX level. Allow at least 200ms for the UE to reach PUMAX level.
- 3. Measure the power spectrum distribution within two times or more range over the requirement for Occupied Bandwidth specification centring on the current carrier frequency. The characteristics of the filter shall be approximately Gaussian (typical spectrum analyser filter). Other methods to measure the power spectrum distribution are allowed. The measuring duration is one active uplink subframe.
- 4. Calculate the total power within the range of all frequencies measured in step 3 and save this value as "Total power".
- 5. Sum up the power upward from the lower boundary of the measured frequency range in step 3 and seek the limit frequency point by which this sum becomes 0.5% of "Total power" and save this point as "Lower Frequency".
- 6. Sum up the power downward from the upper boundary of the measured frequency range in step 3 and seek the limit frequency point by which this sum becomes 0.5% of "Total power" and save this point as "Upper Frequency".
- 7. Calculate the difference "Upper Frequency" "Lower Frequency" = "Occupied Bandwidth" between the two limit frequencies obtained in step 5 and step 6.
- 8. Repeat step 3 until step 7 for each of transmit antenna of the UE.

# 6.5D.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 ensuring Table 4.6.3-135 with condition 2TX\_UL\_MIMO and Table 4.6.3-89 with condition CP-OFDM

# 6.5D.1.5 Test requirement

The measured Occupied Bandwidth shall not exceed values in Table 6.5D.1.5-1.

Table 6.5.1.5-1: Occupied channel bandwidth

				Occu	pied cha	annel ba	ndwidth	/ NR CI	nannel b	andwid	th	
	5	10	15	20	25	30	40	50	60	80	90	100
	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz
Channel												
bandwidth	5	10	15	20	25	30	40	50	60	80	90	100
(MHz)												

# 6.5D.2 Out of band emission for UL-MIMO

## 6.5D.2.1 General

For UE supporting UL-MIMO, the requirements for Out of band emissions resulting from the modulation process and non-linearity in the transmitters are specified at each transmit antenna connector.

For UEs with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the requirements in subclause 6.5.2 apply to each transmit antenna connector. The requirements shall be met with UL-MIMO configurations described in sub-clause 6.2D.1.3

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.5.2 apply.

## 6.5D.2.2 Spectrum Emission Mask for UL-MIMO

The spectrum emission mask of the UE applies to frequencies ( $\Delta f_{OOB}$ ) starting from the  $\pm$  edge of the assigned NR channel bandwidth. For frequencies greater than ( $\Delta f_{OOB}$ ) the spurious requirements in subclause 6.5D.3 are applicable.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Test point analysis is FFS
- The clause in reference to the total transmission power is TBD.

### 6.5D.2.2.1 Test purpose

To verify that the power of any UE emission shall not exceed specified level for the specified channel bandwidth.

# 6.5D.2.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports UL-MIMO.

## 6.5D.2.2.3 Minimum conformance requirements

The power of any UE emission shall not exceed the levels specified in Table 6.5.2.2.3-1 for the specified channel bandwidth at each transmit antenna connector.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5D.2 and 6.5.2.2

### 6.5D.2.2.4 Test description

### 6.5D.2.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, and are shown in table 6.5D.2.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2

# Table 6.5D.2.2.4.1-1: Test Configuration Table(FFS)

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.2 for TE diagram and section A.3.2 for UE diagram.

- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5D.2.2.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5D.2.2.4.3

# 6.5D.2.2.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.5D.2.2.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with condition [2TX\_UL\_MIMO] in 38.508-1 [5] subclause 4.3.6.1.1.2
- 2. Send continuously power control "up" commands to the UE until the UE transmits at PUMAX level. Allow at least 200ms for the UE to reach PUMAX level.
- 3. Measure the sum of the mean power of the UE in the channel bandwidth of the radio access mode according to the test configuration, which shall meet the requirements described in Tables [6.2D.1.5-1] or [6.2D.2.5-1] as appropriate. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- 4. Measure the power of the transmitted signal at each antenna connector with a measurement filter of bandwidths according to table 6.5D.2.2.5-1. The centre frequency of the filter shall be stepped in continuous steps according to the same table. The measured power shall be recorded for each step. The measurement period shall capture the active TSs.

# 6.5D.2.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 ensuring Table 4.6.3-135 with condition 2TX\_UL\_MIMO and Table 4.6.3-89 with condition CP-OFDM.

# 6.5D.2.2.5 Test requirement

The measured sum of the UE mean power in the channel bandwidth, derived in step 3, shall fulfil requirements in Tables [6.2D.1.5-1 or 6.2D.2.5-1] as appropriate, and the power of any UE emission shall fulfil requirements in Table 6.5D.2.2.5-1.

Table 6.5D.2.2.5-1: NR General spectrum emission mask

				Speci	rum en	nission	limit (dE	3m) / Cl	nannel b	andwic	ith		
Δf <sub>OOB</sub> (MHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Measurement bandwidth
± 0-1	-13 + TT	-13 + TT	-13 + TT	-13 + TT	-13 + TT	-13 + TT	-13 + TT						1 % channel bandwidth
± 0-1	-15 + TT	-18 + TT	-20 + TT	-21 + TT	-22 + TT	-23 + TT	-24 + TT	-24 + TT	-24 + TT	-24 + TT	-24 + TT	-24 + TT	30 kHz
± 1-5	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	-10 + TT	
± 5-6	-13 + TT	-13											
± 6-10	-25 + TT	+ TT	-13 + TT	-13									
± 10-15	7 11	-25 + TT	7 11	+ TT	-13 + TT	-13 +							
± 15-20			-25 + TT		' '	TT	-13 +						
± 20-25				-25 + TT			TT	-13 + TT					
± 25-30					-25 + TT				-13 + TT	-13 +			
± 30-35						-25 + TT				TT	-13 + TT		
± 35-40							!					-13	1 MHz
± 40-45							-25 + TT					+ TT	
± 45-50													
± 50-55								-25 + TT					
± 55-60													
± 60-65									-25 + TT				
± 65-80													
± 80-90										-25 + TT			
± 90-95											-25 + TT		
± 95-100													
± 100-105												-25 + TT	

Note 1: The first and last measurement position with a 30 kHz filter is at ΔfOOB equals to 0.015 MHz and 0.985 MHz.

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

# 6.5D.2.4 Adjacent channel leakage ratio for UL-MIMO

Adjacent channel leakage power Ratio (ACLR) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency.

Note 2: At the boundary of spectrum emission limit, the first and last measurement position with a 1 MHz filter is the inside of +0.5MHz and -0.5MHz, respectively.

Note 3: The measurements are to be performed above the upper edge of the channel and below the lower edge of the channel.

Note 4: TT = 1.5 dB for  $f \le 3$ GHz, TT = 1.8 dB for 3GHz <  $f \le 4.2$ GHz, TT = 1.8 dB for 4.2GHz <  $f \le 6.0$ GHz.

### 6.5D.2.4.1 NR ACLR for UL-MIMO

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- PC1 and PC4 requirements are missing in TS 38.101-1 [2].
- Test point analysis is FFS
- The clause 6.2D.2.5 in reference to the total transmission power is TBD

### 6.5D.2.4.1.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to adjacent channels in terms of Adjacent Channel Leakage power Ratio (ACLR).

### 6.5D.2.4.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports UL-MIMO.

### 6.5D.2.4.1.3 Minimum conformance requirements

The minimum conformance requirements specified in Clause 6.5.2.4.1.3 applies to each antenna connector of the UE.

The normative reference for this requirement is TS 38.101-] clauses and 6.5D.2 and 6.5.2.4.1.

## 6.5D.2.4.1.4 Test description

### 6.5D.2.4.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5D.2.4.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2

# Table 6.5D.2.4.1.4.1-1: Test Configuration Table[FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.2 for TE diagram and section A.3.2 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5D.2.4.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5D.2.4.1.4.3

# 6.5.2.4.1.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5D.2.4.1.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2

- 2. Send continuously power control "up" commands to the UE until the UE transmits at  $P_{UMAX}$  level. Allow at least 200ms for the UE to reach  $P_{UMAX}$  level.
- 3. Measure the sum of the mean power of the UE at each antenna connector in the channel bandwidth of the radio access mode according to the test configuration, which shall meet the requirements described in clause [6.2D.2.5] as appropriate. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- 4. Measure the rectangular filtered mean power for the assigned NR channel at each antenna connector of UE.
- 5. Measure the rectangular filtered mean power of the first NR adjacent channel at each antenna connector of UE on both lower and upper side of the assigned NR channel, respectively.
- 6. Calculate the ratios of the power between the values measured in step 4 over step 5 for lower and upper NR ACLR at each antenna connector of UE, respectively.

### 6.5D.2.4.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 ensuring Table 4.6.3-135 with condition 2TX UL MIMO and Table 4.6.3-89 with condition CP-OFDM.

# 6.5D.2.4.1.5 Test requirement

The measured UE mean total power in the channel bandwidth at all the antenna connectors, derived in step 3, shall fulfil requirements in [Clause 6.2D.2.5] as appropriate, and if the measured adjacent channel power is greater than –50 dBm then the measured NR ACLR, derived in step 6, shall be higher than the limits in Table 6.5D.2.4.1.5-2.

Table 6.5D.2.4.1.5-1: NR ACLR measurement bandwidth

-			NR channel bandwidth / NR ACLR measurement bandwidth								
MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
NR ACLR	101112			141112	141112	11112	141112	2	10112	141112	
measurement 4.515 bandwidth	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	78.15	88.23	98.31

Table 6.5D.2.4.1.5-2: NR ACLR requirement

	Power class 1	Power class 2	Power class 3	
NR ACLR		31 + TT dB	30 + TT dB	
NOTE 1: TT = 0.8 dB for $f \le 4.0$ GHz. TT = 1.0 dB for $4.0$ GHz < $f \le 6.0$ GHz.				

### 6.5D.2.4.2 UTRA ACLR for UL-MIMO

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- Test point analysis is FFS
- The requirement is incomplete: No specified requirements for the UEs of PC other than PC3, the additionalSpectrumEmission. is unspecified in the core requirement.
- The clause 6.2D.3.5 in reference to the total transmission power is TBD
- The clause 6.5.2.4.2.3 in reference to the minimum requirements is TBD.

# 6.5D.2.4.2.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to adjacent channels in terms of Adjacent Channel Leakage power Ratio (ACLR).

## 6.5D.2.4.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports UL-MIMO.

### 6.5D.2.4.2.3 Minimum conformance requirements

The minimum conformance requirements specified in Clause [6.5.2.4.2.3] applies to each antenna connector of the UE.

The normative reference for this requirement is TS 38.101-1 [2] clauses and 6.5D.2 and 6.5.2.4.2.

6.5D.2.4.2.4 Test description

6.5D.2.4.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5D.2.4.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2

## Table 6.5D.2.4.2.4.1-1: Test Configuration Table[FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.2 for TE diagram and section A.3.2 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5D.2.4.2.4.1-1.
- 5. Propagation conditions are set according to Annex B.0
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5D.2.4.2.4.3

### 6.5D.2.4.2.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5D.2.4.2.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2
- 2. Send continuously power control "up" commands to the UE until the UE transmits at  $P_{UMAX}$  level. Allow at least 200ms for the UE to reach  $P_{UMAX}$  level.
- 3. Measure the sum of the mean power of the UE at each antenna connector in the channel bandwidth of the radio access mode according to the test configuration, which shall meet the requirements described in clause [6.2D.3.5] as appropriate. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
- 4. Measure the rectangular filtered mean power for the assigned NR channel at each antenna connector of UE.
- 5. Measure the RRC filtered mean power of the first and the second UTRA adjacent channel at each antenna connector of UE on both lower and upper side of the assigned NR channel, respectively.
- 6. Calculate the ratio of the power between the values measured in step 4 over step 5 for UTRA<sub>ACLR1</sub>, UTRA<sub>ACLR2</sub> for both lower an upper side of the assigned NR channel, respectively.

## 6.5D.2.4.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 ensuring Table 4.6.3-135 with condition 2TX\_UL\_MIMO and Table 4.6.3-89 with condition CP-OFDM and with the exception specified in Table 6.5D.2.4.2.4.3 - 1

Table 6.5D.2.4.2.4.3-1: SystemInformationBlockType2: UTRA ACLR test requirement for "NS\_XX"

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]						
Information Element	Value/remark	Comment	Condition			
additionalSpectrumEmission	XX (NS_XX)					

# 6.5D.2.4.2.5 Test requirement

UTRA ACLR requirement is applicable when signalled by the network with network signalling value indicated by the field *additionalSpectrumEmission*.

The measured UE mean total power in the channel bandwidth at all the antenna connectors, derived in step 3, shall fulfil requirements in [Clause 6.2D.3.5] as appropriate, and if the measured adjacent channel power is greater than –50 dBm then the measured UTRA ACLR, derived in step 6, shall be higher than the limits in Table 6.5D.2.4.2.5-1.

Table 6.5D.2.4.2.5-1: UTRA ACLR requirement

	Power class 3				
UTRA <sub>ACLR1</sub>	UTRA <sub>ACLR1</sub> 33 dB + TT				
UTRA <sub>ACLR2</sub>	36 dB + TT				
NOTE 1: TT = 0.8 dB for $f \le 4.0$ GHz, TT = 1.0 dB for $4.0$ GHz < $f \le 6.0$ GHz,					

# 6.5D.3 Spurious emissions for UL-MIMO

For UE supporting UL-MIMO, the requirements for Spurious emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emissions, intermodulation products and frequency conversion products are specified at each transmit antenna connector.

For UEs with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the requirements specified in subclause 6.5.3 apply to each transmit antenna connector. The requirements shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1.3.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.5.3 apply.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5D.3

# 6.5D.3.1 General spurious emissions for UL-MIMO

### Editor's Note:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- The clause 6.2D.2.5 in reference to the total transmission power is TBD

## 6.5D.3.1.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to other channels or other systems in terms of transmitter spurious emissions.

## 6.5D.3.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

## 6.5D.3.1.3 Minimum conformance requirements

The general spurious emission requirement specified in clause 6.5.3.1.3 applies to each antenna connector of the UE.

The normative reference for this requirement is TS 38.101-1 [2] subclauses 6.5D.3 and 6.5.3.1

6.5D.3.1.4 Test description

6.5D.3.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5D.3.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

# Table 6.5D.3.1.4.1-1: Test Configuration Table [FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex [A, Figure A.3.1.2.2 for TE diagram and section A.3.2 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1 and C.2, and uplink signals according to Annex G.0, G.1, G.2, and G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5.3.1.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5D.3.1.4.3.

# 6.5D.3.1.4.2 Test procedure

- 1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5D.3.1.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2.
- 2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at P<sub>UMAX</sub> level.
- 3. Measure the power of the transmitted signal at each antenna connector with a measurement filter of bandwidths according to table 6.5D.3.1.5-1. The centre frequency of the filter shall be stepped in contiguous steps according to table 6.5D.3.1.5-1. The measured power shall be verified for each step. The measurement period shall capture the active time slots.

# 6.5D.3.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 ensuring Table 4.6.3-135 with condition 2TX UL MIMO and Table 4.6.3-89 with condition CP-OFDM

# 6.5D.3.1.5 Test requirement

The measured average power of spurious emission at each antenna connector, derived in step 3, shall not exceed the described value in Table 6.5D.3.1.5-1.

The spurious emission limits apply for the frequency ranges that are more than  $\Delta fOOB$  (MHz) in Table 6.5.3.1.3-1from the edge of the channel bandwidth.

Table 6.5D.3.1.5-1: General spurious emissions test requirements

Frequency Range	Maximum Level	Measurement bandwidth	NOTE
9 kHz ≤ f < 150 kHz	-36 dBm	1 kHz	
150 kHz ≤ f < 30 MHz	-36 dBm	10 kHz	
30 MHz ≤ f < 1000 MHz	-36 dBm	100 kHz	
1 GHz ≤ f < 12.75 GHz	-30 dBm	1 MHz	
12.75 GHz ≤ f < 5th harmonic of the upper frequency edge of the UL operating band in GHz	-30 dBm	1 MHz	1
12.75 GHz < f < 26 GHz	-30 dBm	1 MHz	2

NOTE 1: Applies for Band that the upper frequency edge of the UL Band more than 2.69 GHz

NOTE 2: Applies for Band that the upper frequency edge of the UL Band more than 5.2 GHz

# 6.5D.3.2 Spurious emission for UE co-existence for UL-MIMO

#### Editor's note

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- Configuration table need adaptation to edge RB allocation.
- The test configuration adapt to edge allocation

## 6.5D.3.2.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to co-existing systems for the specified bands which has specific requirements in terms of transmitter spurious emissions.

### 6.5D.3.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

# 6.5D.3.2.3 Minimum conformance requirements

The requirements for NR bands for coexistence with protected bands specified in subclause 6.5.3.2.3 apply to each UE transmit antenna connector

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.2.

## 6.5D.3.2.4 Test description

### 6.5D.3.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5D.3.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 6.5D	.3.2.4.1-1	l: Test	Confid	uration	Table
I able 0.3D		ı. I <del>C</del> SL	COLLIC	iui alivii	Iabic

		Initial Conditions							
Test Enviror	nment as specified in TS	Normal							
38.508-1 [5]	subclause 4.1.								
Test Freque	encies as specified in TS	Low range, Mid range, High range							
38.508-1 [5]	subclause 4.3.1.								
Test Chann	el Bandwidths as specified in	Lowest, Mid, Highest							
TS 38.508-1	TS 38.508-1 [5] subclause 4.3.1.								
Test SCS as	s specified in Table 5.3.5-1	Lowest supported SCS per test channel							
		Highest supported SCS per test channel	BW						
		Test Parameters							
Test ID	Downlink Configuration	Uplink Configura	ation						
		Modulation	RB allocation (NOTE 1)						
1	N/A for Spurious Emissions	CP-OFDM QPSK	Outer_Full						
2	2 testing CP-OFDM QPSK Outer_1RB_Left								
3		CP-OFDM QPSK	Outer_1RB_Right						
NOTE 1: T	he specific configuration of each	RB allocation is defined in Table 6.1-1 Cor	mmon UL configuration.						

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.2.2 for TE diagram and section A.3.2 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [6] subclause 4.4.3. .
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5D.3.2.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5D.3.2.4.3.

# 6.5D.3.2.4.2 Test procedure

- 1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.5D.3.2.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2.
- 2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at PUMAX level.
- 3. Measure the power of the transmitted signal at each UE antenna connector with a measurement filter of bandwidths according to table 6.5.3.2.3-1. The centre frequency of the filter shall be stepped in contiguous steps according to table 6.5.3.2.3-1. The measured power shall be verified for each step. The measurement period shall capture the active time slots.

### 6.5D.3.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 ensuring Table 4.6.3-135 with condition 2TX\_UL\_MIMO and Table 4.6.3-89 with condition CP-OFDM.

### 6.5D.3.2.5 Test requirement

Test requirements for Spurious Emissions UE Co-existence are the same as the minimum requirements and are not repeated in this section.

The measured average power of spurious emission, derived in step 3, shall not exceed the described value in Table 6.5.3.2.3-1.

### 6.5D.3.3 Additional spurious emissions for UL-MIMO

- Initial condition for NS value is incomplete. TP analysis is pending.

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- Initial conditions is incomplete: Test points incomplete
- The clause in reference to test requirement is TBD
- The clause in reference to message contents is TBD.

### 6.5D.3.3.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to other channels or other systems in terms of transmitter spurious emissions under the deployment scenarios where additional requirements are specified.

### 6.5D.3.3.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

#### 6.5D.3.3.3 Minimum conformance requirements

The additional spurious emission requirements specified in 6.5.3.3.3 apply to each UE antenna connector.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5D.3 and 6.5.3.3

6.5D.3.3.4 Test description

### 6.5D.3.3.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, and are shown in Table 6.5D.3.3.4.1-1 through Table 6.5D.3.3.4.1-xx [TBD]. The details of the uplink reference measurement channels (RMCs) are specified in Annex A.2.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

# Table 6.5D.3.3.4.1-1: Test Configuration Table (network signalled value "NS\_04")[FFS]

- 1. Connect the SS to the UE to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A Figure A.3.1.2.2 for TE diagram and section A.3.2 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5D.3.3.4.1-1 through Table [TBD]
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5D.3.3.4.3.

# 6.5D.3.3.4.2 Test procedure

- 1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.5D.3.3.4.1-1 through Table [TBD]. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2
- 2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level.

- 3. Measure the sum of the mean power at each UE antenna connector in the channel bandwidth of the radio access mode, which shall meet the appropriate requirements described in Tables from [6.2D.3.5-1] TBD. The period of measurement shall be at least the continuous duration one sub-frame (1ms). For TDD slots with transient periods are not under test.
- 4. Measure the power of the transmitted signal at each UE antenna connector with a measurement filter of bandwidths according to Tables [TBD] as appropriate. The centre frequency of the filter shall be stepped in contiguous steps according to the same table the measured power shall be verified for each step. The measurement period shall capture the active time slots.

## 6.5D.3.3.4.3 Message contents

Same message contents as in Clause [6.2D.3.4.3]

### 6.5D.3.3.5 Test requirement

The measured power at each UE antenna connector derived in step 4 shall meet the requirements for the specified NR band for an additional spectrum emission requirement with protected bands as indicated in clause 6.5.3.3.5.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

# 6.5D.4 Transmit intermodulation for UL-MIMO

#### Editor's Note:

- SA message contents in TS 38.508-1 [5] subclause 4.6 is FFS
- How to deal with TDD slots with transient periods is FFS
- Initial conditions is incomplete: Test points incomplete

## 6.5D.4.1 Test purpose

To verify that the UE transmit intermodulation does not exceed the described value in the test requirement.

The transmit intermodulation performance is a measure of the capability of the transmitter to inhibit the generation of signals in its non linear elements caused by presence of the wanted signal and an interfering signal reaching the transmitter via the antenna.

### 6.5D.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

### 6.5D.4.3 Minimum conformance requirements

For UE supporting UL-MIMO, the transmit intermodulation requirements are specified at each transmit antenna connector and the wanted signal is defined as the sum of output power at each transmit antenna connector.

For UEs with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the requirements specified in subclause 6.5.4 apply to each transmit antenna connector. The requirements shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.5.4 apply.

The normative reference for this requirement is TS 38.101-1 [2] clauses 6.5D.4 and 6.5.4.

# 6.5D.4.4 Test description

### 6.5D.4.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, and are shown in table 6.5D.4.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

### Table 6.5D.4.4.1-1: Test Configuration Table[FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.3.2 for TE diagram and section A.3.2 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
- 4. The UL Reference Measurement channels are set according to Table 6.5D.4.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5D.4.4.3.

### 6.5D.4.4.2 Test procedure

- SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.5D.4.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2
- 2. Send continuously uplink power control "up" commands to the UE until the UE transmits at its P<sub>UMAX</sub> level.
- 3. Measure the sum of the rectangular filtered mean power at each antenna connector of the UE. [For TDD slots with transient periods are not under test for the wanted signal and for the intermodulation product.]
- 4. Set the interference signal frequency below the UL carrier frequency using the first offset in table 6.5.4.5-1.
- 5. Set the interference CW signal level according to table 6.5.4.5-1.
- 6. Search the intermodulation product signals below and above the UL carrier frequency at each UE antenna connector, then measure the rectangular filtered mean power of transmitting intermodulation for both signals, and calculate the ratios for each UE antenna connector with the power measured in step 3.
- 7. Set the interference signal frequency above the UL carrier frequency using the first offset in table 6.5.4.5-1.
- 8. Search the intermodulation product signals below and above the UL carrier frequency at each UE antenna connector, then measure the rectangular filtered mean power of transmitting intermodulation for both signals, and calculate the ratios for each UE antenna with the power measured in step 3.
- 9. Repeat the measurement using the second offset in table 6.5.4.5-1.

## 6.5D.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 ensuring Table 4.6.3-135 with condition 2TX\_UL\_MIMO and Table 4.6.3-89 with condition CP-OFDM.

### 6.5D.4.5 Test requirement

The ratio derived in step 6 and 8, shall not exceed the described value in table 6.5D.4.5-1.

Table 6.5D.4.5-1: Transmit Intermodulation

Wanted signal channel bandwidth	BW	Channel
Interference signal frequency offset from channel centre	BWChannel	2*BWChannel
Interference CW signal level	-4	0dBc
Intermodulation product	< -29dBc	< -35dBc
Measurement bandwidth	The maximum transmission bandwidth co the channel BW as defined in Table 6.5.2	
Measurement offset from channel centre	BW <sub>Channel</sub> and 2*BW <sub>Channel</sub>	2*BWchannel and 4*BWchannel

# 7 Receiver characteristics

TBD

# 7.1 General

Unless otherwise stated the receiver characteristics are specified at the antenna connector(s) of the UE. For UE(s) with an integral antenna only, a reference antenna(s) with a gain of 0 dBi is assumed for each antenna port(s). UE with an integral antenna(s) may be taken into account by converting these power levels into field strength requirements, assuming a 0 dBi gain antenna. For UEs with more than one receiver antenna connector, identical interfering signals shall be applied to each receiver antenna port if more than one of these is used (diversity).

The levels of the test signal applied to each of the antenna connectors shall be as defined in the respective sections below.

Unless otherwise stated, Channel Bandwidth shall be prioritized in the selecting of test points. Subcarrier spacing shall be selected after Test Channel Bandwidth is selected.

With the exception of subclause 7.3, the requirements shall be verified with the network signalling value NS\_01 configured (Table 6.2.3.3-1).

All the parameters in clause 7 are defined using the UL reference measurement channels specified in Annexes A.2.2 and A.2.3, the DL reference measurement channels specified in Annex A.3.2 and using the set-up specified in Annex C.3.1.

# 7.2 Diversity characteristics

The UE is required to be equipped with a minimum of two Rx antenna ports in all operating bands except for the bands n7, n38, n41, n77, n78, n79 where the UE is required to be equipped with a minimum of four Rx antenna ports. This requirement applies when the band is used as a standalone band or as part of a band combination.

For the requirements in Section 7, the UE shall be verified with two Rx antenna ports in all supported frequency bands. Additional requirements for four Rx ports shall be verified in operating bands where the UE is equipped with four Rx antenna ports.

The above rules apply for all subclasses with the exception of subclause 7.9.

# 7.3 Reference sensitivity

# 7.3.1 General

The reference sensitivity power level REFSENS is the minimum mean power applied to each one of the UE antenna ports for all UE categories, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

In later subclauses of Section 7 where the value of REFSENS is used as a reference to set the corresponding requirement.

For all bands, the UE shall be verified against those requirements by applying the REFSENS value in Table 7.3.2.3-1 with 2 Rx antenna ports tested.

For bands where the UE is required to be equipped with 4 Rx antenna ports, the UE shall additionally be verified against those requirements by applying the resulting REFSENS value derived from the requirement in Table 7.3.2\_1.3-1 with 4 Rx antenna ports tested.

# 7.3.2 Reference sensitivity power level

## 7.3.2.1 Test purpose

The test purpose is to verify the ability of the UE to receive data with a given average throughput for a specified reference measurement channel, under conditions of low signal level, ideal propagation and no added noise.

### 7.3.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward

### 7.3.2.3 Minimum conformance requirements

The reference sensitivity power level REFSENS is the minimum mean power applied to each one of the UE antenna ports for all UE categories, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

The normative reference for this requirement is TS 38.101-1 [2] clause 7.3.2.

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.3.2.3-1 and Table 7.3.2.3-2.

Table 7.3.2.3-1: Two antenna port reference sensitivity QPSK PREFSENS

				=			hannel b							
Operating Band	SCS kHz	5 MHz (dBm)	10 MHz (dBm)	15 MHz (dBm)	20 MHz (dBm)	25 MHz (dBm)	30 MHz (dBm)	40 MHz (dBm)	50 MHz (dBm)	60 MHz (dBm)	80 MHz (dBm)	90 MHz (dBm)	100 MHz (dBm)	Duplex Mode
ļ	15	-100.0	-96.8	-95.0	-93.8									
n1	30		-97.1	-95.1	-94.0									FDD
	60		-97.5	-95.4	-94.2									
}	15	-98.0	-94.8	-93.0	-91.8									
n2	30		-95.1	-93.1	-92.0									FDD
	60	07.0	-95.5	-93.4	-92.2		20.0							
	15	-97.0	-93.8	-92.0	-90.8	-89.7	-88.9							
n3	30		-94.1	-92.1	-91.0	-89.8	-89.0							FDD
	60	00.0	-94.5	-92.4	-91.2	-90.0	-89.1							
	15	-98.0	-94.8	-93.0	-90.8									FDD
n5	30		-95.1	-93.1	-91.0									FDD
	60	00.0	04.0	00.0	04.0									
71	15	-98.0	-94.8	-93.0	-91.8									FDD
n7¹	30		-95.1	-93.1	-92.0									FDD
	60	07.0	-95.5	-93.4	-92.2									
-0	15	-97.0	-93.8	-92.0	-90.0									FDD
n8	30 60		-94.1	-92.1	-90.2									FDD
	15	-97.0	-93.8	-84.0										
n10	30	-97.0	-94.1	-84.1										FDD
n12	60		-34.1	-04.1										FDD
		07.0	02.0	-91.0	-89.8									
n20	15 30	-97.0	-93.8 -94.1	-91.0 -91.1	-90.0									FDD
1120	60		-94.1	-91.1	-90.0									רטט
	15	-96.5	-93.3	-91.5	-90.3									<del>                                     </del>
n25	30	-30.5	-93.6	-91.6	-90.5									FDD
1123	60		-94.0	-91.9	-90.7									FDD
	15	-98.5	-95.5	-93.5	-90.8									
n28	30	-90.5	-95.5 -95.6	-93.6	-90.8									FDD
1120	60		-95.0	-93.0	-91.0									FDD
	15	-100.0	-96.8	-95.0										
n34	30	100.0	-97.1	-95.1										TDD
1154	60		-97.5	-95.4										100
	15	-100.0	-96.8	-95.0	-93.8									
n38¹	30	-100.0	-97.1	-95.1	-94.0									TDD
1100	60		-97.5	-95.4	-94.2									100
	15	-100.0	-96.8	-95.0	-93.8	-92.7	-91.9	-90.6						
n39	30	100.0	-97.1	-95.1	-94.0	-92.8	-92.0	-90.7						TDD
1100	60		-97.5	-95.4	-94.2	-93.0	-92.1	-90.9						100
	15	-100.0	-96.8	-95.0	-93.8	-92.7	-91.9	-90.6	-89.6					
n40	30	70070	-97.1	-95.1	-94.0	-92.8	-92.0	-90.7	-89.7	-88.9	-87.6			TDD
0	60		-97.5	-95.4	-94.2	-93.0	-92.1	-90.9	-89.8	-89.1	-87.6			,,,,
	15		-94.8	-93.0	-91.8			-88.6	-87.6					
n41 <sup>1</sup>	30		-95.1	-93.1	-92.0			-88.7	-87.7	-86.9	-85.6	-85.1	-84.7	TDD
	60		-95.5	-93.4	-92.2			-88.9	-87.8	-87.1	-85.6	-85.1	-84.7	
	15	-100.0	-96.8	-95.0	-93.8			-90.6	-89.6	57.1	30.0		3	
n50	30	.00.0	-97.1	-95.1	-94.0			-90.7	-89.7	-88.9	-87.6			TDD
	60		-97.5	-95.4	-94.2			-90.9	-89.8	-89.1	-87.6			
	15	-100.0	37.0	30.1	32									
n51		. 55.5		-	1			1						TDD

	60												
	15	-99.5	-96.3	-94.5	-93.3		-90.1						
n66	30		-96.6	-94.6	-93.5		-90.2						FDD
	60		-97.0	-94.9	-93.7		-90.4						
	15	-100.0	-96.8	-95.0	-93.8	-92.7							
n70	30		-97.1	-95.1	-94.0	-92.8							FDD
	60		-97.5	-95.4	-94.2	-93.0							
	15	-97.2	-94.0	-91.6	-86.0								
n71	30		-94.3	-91.9	-87.4								FDD
	60	-											
	15	-99.5 <sup>3</sup>	-96.3 <sup>3</sup>	-94.5 <sup>3</sup>	-93.3 <sup>3</sup>								
n74	30		-96.6 <sup>3</sup>	-94.6 <sup>3</sup>	-93.5 <sup>3</sup>								FDD
	60		-97.0 <sup>3</sup>	-94.9 <sup>3</sup>	-93.7 <sup>3</sup>								
	15		-95.8	-94.0	-92.7		-89.6	-88.6					
n77(3.3 to 3.8 GHz) <sup>1</sup>	30		-96.1	-94.1	-92.9		-89.7	-88.7	-87.9	-86.6	-86.1	-85.6	TDD
3.6 GHZ)	60	-	-96.5	-94.4	-93.1		-89.9	-88.8	-88.0	-86.7	-86.2	-85.7	
	15		-95.3	-93.5	-92.2		-89.1	-88.1					
n77¹	30		-95.6	-93.6	-92.4		-89.2	-88.2	-87.4	-86.1	-85.6	-85.1	TDD
	60	-	-96.0	-93.9	-92.6		-89.4	-88.3	-87.5	-86.2	-85.7	-85.2	
	15		-95.8	-94.0	-92.7		-89.6	-88.6					
n78¹	30		-96.1	-94.1	-92.9		-89.7	-88.7	-87.9	-86.6	-86.1	-85.6	TDD
	60		-96.5	-94.4	-93.1		-89.9	-88.8	-88.0	-86.7	-86.2	-85.7	
	15						-89.6	-88.6					
n79¹	30						-89.7	-88.7	-87.9	-86.6		-85.6	TDD
	60						-89.9	-88.8	-88.0	-86.7		-85.7	

NOTE 1: Four Rx antenna ports shall be the baseline for this operating band

NOTE 2: The transmitter shall be set to Pumax as defined in subclause 6.2.4.

NOTE 3: <sup>3</sup> indicates that the requirement is modified by -0.5 dB when the assigned NR channel bandwidth is confined within 1475.9-1510.9 MHz

The reference receive sensitivity (REFSENS) requirement specified in Table 7.3.2.3-1 and Table 7.3.2.3-2 shall be met for an uplink transmission using QPSK DFT-s-OFDM waveforms and for uplink transmission bandwidth less than or equal to that specified in Table 7.3.2.3-1.

Table 7.3.2.3-3: Uplink configuration for reference sensitivity

Operating Band	SCS kHz	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Duplex Mode
	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>									
n1	30		24	36 <sup>1</sup>	50 <sup>1</sup>									FDD
	60		10 <sup>1</sup>	18	24									
	15	25	50 <sup>1</sup>	50 <sup>1</sup>	50 <sup>1</sup>									
n2	30	10 <sup>1</sup>	24	241	24 <sup>1</sup>									FDD
	60		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									
	15	25	50 <sup>1</sup>	50 <sup>1</sup>	50 <sup>1</sup>	50 <sup>1</sup>	50 <sup>1</sup>							
n3	30		24	241	24 <sup>1</sup>	24 <sup>1</sup>	24 <sup>1</sup>							FDD
	60		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>							
	15	25	25 <sup>1</sup>	25 <sup>1</sup>	25 <sup>1</sup>									
n5	30		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									FDD
	60													
	15	25	50 <sup>1</sup>	75 <sup>1</sup>	75 <sup>1</sup>									
n7	30		24	36 <sup>1</sup>	36¹									FDD
	60		10 <sup>1</sup>	18	18 <sup>1</sup>									
	15	25	25 <sup>1</sup>	25 <sup>1</sup>	25 <sup>1</sup>									
n8	30		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									FDD
	60													
	15	20 <sup>1</sup>	20 <sup>1</sup>	20 <sup>1</sup>										
n12	30		10 <sup>1</sup>	10 <sup>1</sup>										FDD
	60													
	15	25	20 <sup>1</sup>	20 <sup>2</sup>	20 <sup>2</sup>									
n20	30		10 <sup>1</sup>	10 <sup>2</sup>	10 <sup>2</sup>									FDD
	60													
	15	25	50	50 <sup>1</sup>	50 <sup>1</sup>									
n25	30		24	24 <sup>1</sup>	24 <sup>1</sup>									FDD
	60		10	10 <sup>1</sup>	10 <sup>1</sup>									
	15	25	25 <sup>1</sup>	25 <sup>1</sup>	25 <sup>1</sup>									
n28	30		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									FDD
	60													
	15	25	50	75										
n34	30		24	36										
	60		10	18										
	15	25	50	75	100									
n38	30		24	36	50									TDD
	60		10	18	24									
	15	25	50	75	100	128	160	216						
n39	30		24	36	50	64	75	100						TDD
	60		10	18	24	30	36	50						1
	15	25	50	75	100	128	160	216	270					
n40	30		24	36	50	64	75	100	128	162	216			TDD
	60		10	18	24	30	36	50	64	75	100			1
	15		50	75	100			216	270					
n41	30		24	36	50			100	128	162	216	243	270	TDD
	60		10	18	24			50	64	75 <sup>1</sup>	100	120	135	
	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>			216	270	<u> </u>				
n50	30		24	36 <sup>1</sup>	50 <sup>1</sup>			100 <sup>1</sup>	128 <sup>1</sup>	162	NOTE			TDD
1100	60		10 <sup>1</sup>	18	24			50 <sup>1</sup>	64 <sup>1</sup>	75¹	3 NOTE 3			155
	15	25									J			
n51	30						1							TDD
.101	60						1							'55
	- 50	1	1	1			1		1	1	l	1	1	1

	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>		216						
n66	30		24	36 <sup>1</sup>	50 <sup>1</sup>		100 <sup>1</sup>						FDD
	60		10 <sup>1</sup>	18	24								
	15	25	50 <sup>1</sup>	75¹	NOTE 3	NOTE 3							
n70	30		24	36¹	NOTE 3	NOTE 3							FDD
	60		10 <sup>1</sup>	18	NOTE 3	NOTE 3							
	15	25	25 <sup>1</sup>	20 <sup>1</sup>	20 <sup>1</sup>								
n71	30		12 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>								FDD
	60												
	15	25	25 <sup>1</sup>	25 <sup>1</sup>	25 <sup>1</sup>								
n74	30		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>								FDD
	60		5 <sup>1</sup>	5 <sup>1</sup>	5 <sup>1</sup>								
	15		50	75	100		216	270					
n77	30		24	36	50		100	128	162	216	243	270	TDD
	60	-	10	18	24		50	64	75	100	120	135	
n77 (3.8	15		50	75	100		216	270					
to 4.2	30		24	38	51		100	128	162	216	243	270	TDD
GHz)	60		10	18	24		50	64	75	100	120	135	
	15		50	75	100		216	270					
n78	30		24	36	50		100	128	162	216	243	270	TDD
	60		10	18	24		50	64	75	100	120	135	
	15						216	270					
n79	30						100	128	162	216		270	TDD
	60						50	64	75	100		135	

NOTE 1: <sup>1</sup> Refers to the UL resource blocks shall be located as close as possible to the downlink operating band but confined within the transmission bandwidth configuration for the channel bandwidth (Table 5.3.2-1).

NOTE 2: <sup>2</sup> refers to Band 20; for 15kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 11 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at Restart 16; for 30kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 6 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at Restart 8; for 60kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 3 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at Restart 4.

NOTE 3: For DL channel bandwidths that do not have symmetric UL channel bandwidth, highest valid UL configuration with lowest duplex distance shall be used.

Unless given by Table 7.3.2.3-4, the minimum requirements specified in Tables 7.3.2.3-1 and 7.3.2.3-2 shall be verified with the network signalling value NS\_01 (Table 6.2.3.3-1) configured.

Table 7.3.2.3-4: Network signalling value for reference sensitivity

Operating	Network
band	Signalling
	value
n2	NS_03
n12	NS_06
n25	NS_03
n66	NS_03
n70	NS_03
n71	NS_35

For the UE which supports inter-band carrier aggregation, the minimum requirement for reference sensitivity in Table 7.3.2.3-1 shall be increased by the amount given in  $\Delta R_{IB,c}$  defined in subclause 7.3.3 for the applicable operating bands.

7.3.2.4 Test description

7.3.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, and channel bandwidths based on NR operating bands specified in Table 5.2-1. All of these configurations shall be tested with applicable test parameters for each channel bandwidth, and are shown in Table 7.3.2.4.1-1, Table 7.3.2.4.1-2, and Table 7.3.2.4.1-3 The details of the uplink reference measurement channels (RMCs) are specified in Annexe A2.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 7.3.2.4.1-1: Test Configuration Table

			Initial Conditions						
Test Enviro	nment as specifie	d in TS 38.508-1	Normal, TL/VL, TL/VH, TH/VL, TH/VH						
Test Freque subclause4		d in TS 38.508-1 [5]	Low range, Mid range, High range						
	el Bandwidths as ] subclause 4.3.1	specified in TS	Lowest, Mid, Highest						
Test SCS a	s specified in Tab	le 5.3.5-1	Lowest supported SCS per test channel BW						
			Test Parameters						
Test ID	Downlink	Configuration	Uplink Configura	ation					
	Modulation	RB allocation	Modulation	RB allocation					
1	CP-OFDM QPSK	Full RB (NOTE 1)	DFT-s-OFDM QPSK REFSENS (NOTE 2)						
			ch SCS and channel BW as specified in T which defines uplink RB configuration and						

NOTE 2: REFSENS refers to Table 7.3.2.4.1-3 which defines uplink RB configuration and start RB location for each SCS, channel BW and NR band.

Table 7.3.2.4.1-2: Downlink Configuration of each RB allocation

5MHz	15	25	
5MHz		20	25@0
_	30	11	11@0
	60	N/A	N/A
	15	52	52@0
10MHz	30	24	24@0
	60	11	11@0
	15	79	79@0
15MHz	30	38	38@0
	60	18	18@0
	15	106	106@0
20MHz	30	51	51@0
	60	24	24@0
	15	133	133@0
25MHz	30	65	65@0
	60	31	31@0
	15	160	160@0
30MHz	30	78	78@0
	60	38	38@0
	15	216	216@0
40MHz	30	106	106@0
	60	51	51@0
	15	270	270@0
50MHz	30	133	133@0
	60	65	65@0
	15	N/A	N/A
60MHz	30	162	162@0
	60	79	79@0
	15	N/A	N/A
80MHz	30	217	217@0
	60	107	107@0
	15	N/A	N/A
90MHz	30	245	245@0
	60	121	121@0
	15	N/A	N/A
100MHz	30	273	273@0
	60	135	135@0

NOTE 1: Test Channel Bandwidths are checked separately for each NR band, the applicable channel bandwidths are specified in Table 5.3.5-1.

Table 7.3.2.4.1-3: Uplink configuration for reference sensitivity, LCRB @ Restart format

Operating Band	SCS kHz	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Duplex Mode
	15	25@0	50@0¹	75@0¹	100@0 <sup>1</sup>									
n1	30		24@0	36@0¹	50@0¹									FDD
	60		10@0¹	18@0	24@0									
	15	25@0	50@0¹	50@25 <sup>1</sup>	50@50 <sup>1</sup>									
n2	30	10 <sup>1</sup>	24@0	24@12 <sup>1</sup>	24@26 <sup>1</sup>									FDD
	60		10@0¹	10@8¹	10@14 <sup>1</sup>									
	15	25@0	50@0¹	50@25 <sup>1</sup>	50@50 <sup>1</sup>	50@78 <sup>1</sup>	50@110 <sup>1</sup>							
n3	30		24@0	24@12 <sup>1</sup>	24@26 <sup>1</sup>	24@40 <sup>1</sup>	24@51 <sup>1</sup>							FDD
	60		10@0¹	10@8¹	10@14 <sup>1</sup>	10@20 <sup>1</sup>	10@26 <sup>1</sup>							
	15	25@0	25@25¹	25@50 <sup>1</sup>	25@75 <sup>1</sup>									
n5	30		10@14 <sup>1</sup>	10@26 <sup>1</sup>	10@40 <sup>1</sup>									FDD
	60													
	15	25@0	50@0¹	75@0¹	75@25 <sup>1</sup>									
n7	30		24@0	36@0¹	36@14 <sup>1</sup>									FDD
	60		10@0¹	18@0	18@8¹									
	15	25@0	25@25¹	25@50 <sup>1</sup>	25@75 <sup>1</sup>									
n8	30		10@14 <sup>1</sup>	10@26¹	10@40 <sup>1</sup>									FDD
	60													
	15	20@5 <sup>1</sup>	20@30¹	20@55 <sup>1</sup>										
n12	30		10@14 <sup>1</sup>	10@26 <sup>1</sup>										
	60													
	15	25@0	20@30¹	20@11 <sup>2</sup>	20@16 <sup>2</sup>									
n20	30		10@14 <sup>1</sup>	10@6²	10@8²									FDD
	60													
	15	25@0	50@0	50@0¹	50@50 <sup>1</sup>									
n25	30		24@0	24@12 <sup>1</sup>	24@26 <sup>1</sup>									FDD
	60		10@0	10@8¹	10@14 <sup>1</sup>									
	15	25@0	25@25¹	25@50 <sup>1</sup>	25@75 <sup>1</sup>									
n28	30		10@14 <sup>1</sup>	10@26¹	10@40 <sup>1</sup>									FDD
	60													1
	15	25@0	50@0¹	75@0¹										
n34	30		24@0	36@0¹										TDD
	60		10@0¹	18@0										1

	45	05.00	50@01	75 @ 01	400@01							1	1	
00	15	25@0	50@0¹	75@0¹	100@0¹									TDD
n38	30		24@0	36@0¹	50@0¹									TDD
	60		10@0¹	18@0	24@0		_	_						<b></b>
n39	15	25@0	50@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0¹	128@0¹	160@0	216@0						
	30		24@0	36@0¹	50@0 <sup>1</sup>	64@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>						TDD
ļ	60		10@0 <sup>1</sup>	18@0	24@0	30@0¹	36@0¹	50@0¹						
	15	25@0	50@0 <sup>1</sup>	75@0¹	100@0¹	128@0¹	160@0	216@0	270@0					
n40	30		24@0	36@0¹	50@0¹	64@0¹	75@0¹	100@0¹	128@0¹	162@0	216@0¹			TDD
	60		10@0¹	18@0	24@0	30@0¹	36@0¹	50@0¹	64@0¹	75@0¹	100@0 <sup>1</sup>			
1	15		50@0¹	75@0¹	100@0 <sup>1</sup>			216@0	270@0					
n41	30		24@0	36@0¹	50@0¹			100@0 <sup>1</sup>	128@0¹	162@0	216@0 <sup>1</sup>	243@0¹	270@0¹	TDD
<u> </u>	60		10@0¹	18@0	24@0			50@0 <sup>1</sup>	64@0 <sup>1</sup>	75@0¹	100@0 <sup>1</sup>	120@0¹	135@0	
	15	25@0	50@0¹	75@0¹	100@0¹			216@0	270@0					
n50	30		24@0	36@0¹	50@0¹			100@0¹	128@0¹	162@0	NOTE 3			TDD
	60		10@0¹	18@0	24@0			50@0¹	64@0¹	75@0¹	NOTE 3			
	15	25@0												TDD
n51	30													
	60													
	15	25@0	50@0¹	75@0¹	100¹			216@0						FDD
n66	30		24@0	36@0¹	50@0¹			100@0 <sup>1</sup>						
1	60		10@0 <sup>1</sup>	18@0	24@0			50@0 <sup>1</sup>						
	15	25@0	50@0¹	75@0¹	NOTE 3	NOTE 3								FDD
n70	30		24@0	36@0¹	NOTE 3	NOTE 3								
	60		10@0¹	18@0	NOTE 3	NOTE 3								
	15	25@0	25@25¹	20@55 <sup>1</sup>	20@80¹									FDD
n71	30		12@12 <sup>1</sup>	10@26 <sup>1</sup>	10@40¹									
	60													
	15	25@0	25@25 <sup>1</sup>	25@50 <sup>1</sup>	25@75 <sup>1</sup>									FDD
n74	30		10@14 <sup>1</sup>	10@26¹	10@40¹									
	60		5@5¹	5@13 <sup>1</sup>	5@19 <sup>1</sup>									
	15		50@0 <sup>1</sup>	75@0¹	100@0¹			216@0	270@0					
n77	30		24@0	36@0¹	50@0¹			100@0 <sup>1</sup>	128@0¹	162@0	216@0 <sup>1</sup>	243@0¹	270@0¹	TDD
	60	-	10@0 <sup>1</sup>	18@0	24@0			50@0¹	64@0¹	75@0¹	100@0 <sup>1</sup>	120@0¹	135@0	

n77 (3.8 to 4.2 GHz)	15	50@0 <sup>1</sup>	75@0¹	100@0¹		216@0	270@0					
	30	24@0	36@0	50@0		100@0¹	128@0¹	162@0	216@0¹	243@0¹	270@0¹	TDD
	60	10@0¹	18@0	24@0		50@0¹	64@0¹	75@0¹	100@0¹	120@0¹	135@0	
n78	15	50@0¹	75@0¹	100@0¹		216@0	270@0					
	30	24@0	36@0¹	50@0¹		100@0¹	128@0¹	162@0	216@0¹	243@0¹	270@0¹	TDD
	60	10@0¹	18@0	24@0		50@0¹	64@0¹	75@0¹	100@0¹	120@0¹	135@0	
n79	15					216@0	270@0					
	30					100@0¹	128@0¹	162@0	216@0¹		270@0¹	TDD
	60					50@0 <sup>1</sup>	64@0¹	75@0¹	100@0 <sup>1</sup>		135@0	1

- NOTE 1: <sup>1</sup> Refers to the UL resource blocks shall be located as close as possible to the downlink operating band but confined within the transmission bandwidth configuration for the channel bandwidth (Table 5.3.2-1).
- NOTE 2: <sup>2</sup> refers to Band 20; for 15kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 11 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at Restart 16; for 30kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 8; for 60kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 8; for 60kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 3 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at Restart 4.
- NOTE 3: For DL channel bandwidths that do not have symmetric UL channel bandwidth, highest valid UL configuration with lowest duplex distance shall be used.

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex [A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and C.3.1, and uplink signals according to Annex G.0, G.1, G.2, and G.3.1.
- 4. The UL and Reference Measurement Channel is set according to Table 7.3.2.4.1-1, Table 7.3.2.4.1-2, and Table 7.3.2.4.1-3.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 7.3.2.4.3.

# 7.3.2.4.2 Test procedure

- 1. SS transmits PDSCH via PDCCH DCI format [1\_1] for C\_RNTI to transmit the DL RMC according to Table 7.3.2.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Tables 7.3.2.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 3. Set the Downlink signal level to the appropriate REFSENS value defined in Table 7.3.2.5-1. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE to ensure the UE transmits PUMAX level for at least the duration of the Throughput measurement.
- 4. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.2.

## 7.3.2.4.3 Message contents

Message contents are according to TS 38.508-1[5] subclause 4.6 with the following exceptions.

7.3.2.4.3.1 Message contents exceptions (network signalled value "NS 01")

Message contents according to TS 38.508-1 [5] subclause 4.6 can be used without exceptions.

# 7.3.2.4.3.2 Message contents exceptions (network signalled value "NS\_35")

1. Information element additional Spectrum Emission is set to NS\_35. This can be set in the *SystemInformationblockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

Table 7.3.2.4.3.2-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_35"

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1									
Information Element	Value/remark	Comment	Condition						
additionalSpectrumEmission	[35 (NS_35)]								

### 7.3.2.5 Test requirement

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A3.2 with reference receive power level specified in Tables 7.3.2.5-1 and parameters specified Tables 7.3.2.4.1-1, Tables 7.3.2.4.1-2 and Tables 7.3.2.4.1-3.

Table 7.3.2.5-1: Reference sensitivity QPSK PREFSENS

	000	. <u>-</u>	1	-					h / Duple				455	
Operating Band	SCS kHz	5 MHz (dBm)	10 MHz (dBm)	15 MHz (dBm)	20 MHz (dBm)	25 MHz (dBm)	30 MHz (dBm)	40 MHz (dBm)	50 MHz (dBm)	60 MHz (dBm)	80 MHz (dBm)	90 MHz (dBm)	100 MHz (dBm)	Duplex Mode
	15	-100.0	-96.8	-95.0	-93.8	,	,		,		,	,	,	
	10	+TT	+TT	+TT	+TT									
n1	30		-97.1 +TT	-95.1 +TT	-94.0 +TT									FDD
			-97.5	-95.4	-94.2									
	60		+TT	+TT	+TT									
	15	-98.0	-94.8	-93.0	-91.8									
		+TT	+TT -95.1	+TT -93.1	+TT -92.0									
n2	30		+TT	+TT	+TT									FDD
	60		-95.5	-93.4	-92.2									
	00		+TT	+TT	+TT									
	15	-97.0 +TT	-93.8 +TT	-92.0 +TT	-90.8 +TT	-89.7 +TT	-88.9 +TT							
		+11	-94.1	-92.1	-91.0	-89.8	-89.0							
n3	30		+TT	+TT	+TT	+TT	+TT							FDD
	60		-94.5	-92.4	-91.2	-90.0	-89.1							
	- 00	00.0	+TT	+TT	+TT	+TT	+TT							
	15	-98.0 +TT	-94.8 +TT	-93.0 +TT	-90.8 +TT									
n5			-95.1	-93.1	-91.0									FDD
	30		+TT	+TT	+TT									
	60													
	15	-98.0	-94.8	-93.0	-91.8									
n7¹		+TT	+TT -95.1	+TT -93.1	+TT -92.0									
	30		+TT	+TT	+TT									FDD
	60		-95.5	-93.4	-92.2									
	60		+TT	+TT	+TT									
	15	-97.0	-93.8	-92.0	-90.0									
n8		+TT	+TT -94.1	+TT -92.1	+TT -90.2									FDD
110	30		+TT	+TT	+TT									
	60													
	15	-97.0	-93.8	-92.0										
	- 00	+TT	+TT	+TT										FDD
n12	30		-94.1 +TT	-92.1 +TT										
	60													
	45	-97.0	-93.8	-91.0	-89.8									<del></del>
	15	+TT	+TT	+TT	+TT									
n20	30		-94.1	-91.1	-90.0									FDD
	60		+TT	+TT	+TT									
	15	-96.5	-93.3	-91.5	-90.3									
	10	+TT	+TT	+TT	+TT									
n25	30		-93.6	-91.6	-90.5									FDD
1120			+TT	+TT	+TT									100
	60		-94.0 +TT	-91.9 +TT	-90.7 +TT									
	45	-98.5	-95.5	-93.5	-90.8									
	15	+TT	+TT	+TT	+TT									
n28	30		-95.6	-93.6	-91.0									FDD
			+TT	+TT	+TT									
	60 15	-100.0	-96.8	-95.0			-	-			-			
	່າວ	+TT	-96.8 +TT	-95.0 +TT										
n24	30	<u> </u>	-97.1	-95.1	1				1		1			TDD
n34			+TT	+TT										TDD
	60		-97.5	-95.4										
	Ī		+TT	+TT	]		1	1	]	]	]			

15															
100		15	-100.0	-96.8	-95.0	-93.8									
15			+11												
Ref	n38	30													TDD
15															
Name		60			+TT										
198		15													
100   100		20	+TT												
Page	n39	30													TDD
15		60													
1				+TT	+TT	+TT	+TT	+TT							
140   140		15													
1		20	+11								00.0	07.6			
15	n40	30													TDD
15		60													
10					+TT	+TT			+TT	+TT					
141		15													
161   30											96.0	9E 6	0E 1	947	
FDD    n41¹	30													TDD	
15															
15		60			+TT										
n50		15													
15			+TT								00.0	07.0			
15	n50	30													TDD
15 -100.0 60															
n61		60													
No.	15														
15	n51		+TT												TDD
15															100
n66         30         -96.6         -94.6         -93.5         -90.2         -91.7         -91.7         -90.2         -91.7         -91.7         -91.7         -90.4         -91.7         -90.4         -91.7         -91.		60													
Page		15													
15			+11												
15	n66	30													FDD
15		60		-97.0	-94.9	-93.7			-90.4						
n70		00							+TT						
n70         30         -97.1 + TT         -94.0 + TT         -92.8 + TT         +TT		15													
NOTE			TII												
n71     60     -97.5	n70	30													FDD
n71		60		-97.5	-95.4	-94.2	-93.0								
n71		00					+TT								
n71       30       -94.3		15													
NT7(3.3 to 3.8 GHz)    Section   S	p71		#11												EDD
n74       60       -       -99.53	117 1	30													100
n74		60	-												
n74 30		15	-99.5 <sup>3</sup>	-96.3 <sup>3</sup>	-94.5 <sup>3</sup>	-93.3 <sup>3</sup>									
1174   30		13	+TT			+TT									
15	n74	30													FDD
n77(3.3 to 3.8 GHz) <sup>1</sup> 30         -96.5 -94.4 -93.1 -95.5 -94.4 -93.1 -95.3 -95.3 -93.5 -92.2         -96.5 -94.4 -93.5 -92.2         -96.1 -95.3 -93.5 -92.2         -96.1 -88.6 -86.1 -85.6 -86.1 -85.6 -86.2 -85.7 -87.9 -88.8 -88.0 -86.7 -86.2 -85.7 -87.9 -88.8 -88.0 -86.7 -86.2 -85.7 -87.9 -88.8 -88.0 -86.7 -86.2 -85.7 -87.9 -87.9 -88.8 -88.0 -86.7 -86.2 -85.7 -87.9 -87.															
n77(3.3 to 3.8 GHz) <sup>1</sup> 30     -96.1		60													
n77(3.3 to 3.8 GHz) <sup>1</sup> 30     -96.1 -94.1 -92.9 + TT +TT +TT +TT +TT +TT +TT +TT +TT +		4.5							-89.6	-88.6					
3.8 GHz) <sup>1</sup>   30		15		+TT		+TT			+TT	+TT					
3.8 GHz)		30													TDD
60     -     +TT	3.8 GHz) <sup>1</sup>														
n771 15 -95.3 -93.5 -92.2 -89.1 -88.1 TDD		60	-												
		4 -									***				TDD
	n//'	15													טטו

-	00		-95.6	-93.6	-92.4		-89.2	-88.2	-87.4	-86.1	-85.6	-85.1	
	30		+TT	+TT	+TT		+TT	+TT	+TT	+TT	+TT	+TT	
	60		-96.0	-93.9	-92.6		-89.4	-88.3	-87.5	-86.2	-85.7	-85.2	
	60	-	+TT	+TT	+TT		+TT	+TT	+TT	+TT	+TT	+TT	
	15		-95.8	-94.0	-92.7		-89.6	-88.6					
	15		+TT	+TT	+TT		+TT	+TT					
n78¹	30		-96.1	-94.1	-92.9		-89.7	-88.7	-87.9	-86.6	-86.1	-85.6	TDD
11/6	30		+TT	+TT	+TT		+TT	+TT	+TT	+TT	+TT	+TT	טטו
	60		-96.5	-94.4	-93.1		-89.9	-88.8	-88.0	-86.7	-86.2	-85.7	
	00		+TT	+TT	+TT		+TT	+TT	+TT	+TT	+TT	+TT	
	15						-89.6	-88.6					
	13						+TT	+TT					
n79 <sup>1</sup>	30						-89.7	-88.7	-87.9	-86.6		-85.6	TDD
1179	30						+TT	+TT	+TT	+TT		+TT	טטו
	60						-89.9	-88.8	-88.0	-86.7		-85.7	
	00						+TT	+TT	+TT	+TT		+TT	

NOTE 1: Four Rx antenna ports shall be the baseline for this operating band

NOTE 2: The transmitter shall be set to Pumax as defined in subclause 6.2.4

NOTE 3: <sup>3</sup> indicates that the requirement is modified by -0.5 dB when the assigned NR channel bandwidth is confined within 1475.9-1510.9 MHz.

NOTE 4: TT for each frequency and channel bandwidth is specified in Table 7.3.2.5-2

Table 7.3.2.5-2: Test Tolerance (TT) for RX sensitivity level

f ≤ 3.0GHz	3.0GHz < f ≤ 6.0 GHz
0.7 dB	1.0 dB

For the UE which supports inter-band carrier aggregation, the minimum requirement for reference sensitivity in Table 7.3.2.5-1 shall be increased by the amount given in  $\Delta R_{IB,c}$  defined in subclause 7.3.3 for the applicable operating bands

## 7.3.2\_1 Reference sensitivity level with 4 Rx antenna ports

Editor's Note: - Connection diagram for 4-Rx port is FFS.

#### 7.3.2\_1.1 Test purpose

To verify the ability of UE that supports 4 Rx antenna ports to receive data with a given average throughput for a specified reference measurement channel, under conditions of low signal level, ideal propagation and no added noise.

A UE unable to meet the throughput requirement under these conditions will decrease the effective coverage area.

#### 7.3.2 1.2 Test applicability

This test applies to all types of NR UE release 15 and forward that supports 4 Rx antenna ports.

#### 7.3.2\_1.3 Minimum conformance requirements

For UE(s) equipped with 4 Rx antenna ports, reference sensitivity for 2Rx antenna ports in Table 7.3.2.5-1 shall be modified by the amount given in  $\Delta R_{IB,4R}$  in Table 7.3.2\_1.3-1 for the applicable operating bands.

Table 7.3.2\_1.3-1: Four antenna port reference sensitivity allowance △R<sub>IB,4R</sub>

Operating band	ΔR <sub>IB,4R</sub> (dB)
n1, n2, n3, n7, n34, n38, n40,	-2.7
n41, n66, n70	
n77, n78, n79	-2.2

The minimum conformance requirements are defined in TS 38.101-1 [2] clause 7.3.2.3.

### 7.3.2\_1.4 Test description

#### 7.3.2 1.4.1 Initial conditions

Same as in clause 7.3.2.4.1 with following exceptions:

- Instead of Figure A.3.2.1.3.2  $\rightarrow$  use Figure [TBD].
- Instead of clause  $7.3.2.4.3 \rightarrow$  use clause  $7.3.2_1.4.3$ .

#### 7.3.2\_1.4.2 Test procedure

Same as in clause 7.3.2.4.2.

#### 7.3.2\_1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 subclause 4.6 with the following exceptions.

7.3.2\_1.4.3.1 Message contents exceptions (network signalled value "NS\_01")

Message contents according to TS 38.508-1 [5] subclause 4.6 subclause 4.6 can be used without exceptions.

### 7.3.2\_1.4.3.2 Message contents exceptions (network signalled value "NS\_35")

1. Information element additional Spectrum Emission is set to NS\_35. This can be set in the SystemInformationblockType2 as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

Table 7.3.2\_1.4.3.2-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_35"

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4	Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1											
Information Element	Value/remark	Comment	Condition									
additionalSpectrumEmission	35 (NS_35)											

#### 7.3.2 1.5 Test requirement

Same as in clause 7.3.2.5 with the following exceptions:

- Instead of Table 7.3.2.5-1 → use Table 7.3.2\_1.5-1.

Table 7.3.2\_1.5-1: Reference sensitivity QPSK PREFSENS

	Operating band / SCS / Channel bandwidth / Duplex-mode    SCS   5   10   15   20   25   30   40   50   60   80   90   100   5													
Operating Band	SCS kHz	5 MHz (dBm)	10 MHz (dBm)	15 MHz (dBm)	20 MHz (dBm)	25 MHz (dBm)	30 MHz (dBm)	40 MHz (dBm)	50 MHz (dBm)	60 MHz (dBm)	80 MHz (dBm)	90 MHz (dBm)	100 MHz (dBm)	Duplex Mode
	15	-100.7 +TT	-97.5 +TT	-95.7 +TT	-94.5 +TT									
n7	30		-97.8 +TT	-95.8 +TT	-94.7 +TT									FDD
	60		-98.2 +TT	-97.1 +TT	-94.9 +TT									
	15	-102.7 +TT	-99.5 +TT	-97.7 +TT	-96.5 +TT									
n38	30		-99.8 +TT	-97.8 +TT	-96.7 +TT									TDD
	60		-100.2 +TT	-98.1 +TT	-96.9 +TT									
	15		-97.5 +TT	-95.7 +TT	-94.5 +TT			-91.3 +TT	-90.3 +TT					
n41	30		-97.8 +TT	-95.8 +TT	-94.7 +TT			-91.4 +TT	-90.4 +TT	-89.6 +TT	-88.3 +TT	-87.8 +TT	-87.4 +TT	TDD
	60		-98.2 +TT	-96.1 +TT	-94.9 +TT			-91.6 +TT	-90.5 +TT	-89.8 +TT	-88.3 +TT	-87.8 +TT	-87.4 +TT	
	15		-98.0 +TT	-96.2 +TT	-94.9 +TT			-91.8 +TT	-90.8 +TT					
n77	30		-98.3 +TT	-96.3 +TT	-95.1 +TT			-91.9 +TT	-90.9 +TT	-90.1 +TT	-88.8 +TT	-88.3 +TT	-87.8 +TT	TDD
	60	-	-98.7 +TT	-96.6 +TT	-95.3 +TT			-92.1 +TT	-91.0 +TT	-90.2 +TT	-88.9 +TT	-88.4 +TT	-87.9 +TT	
	15		-98.0 +TT	-96.2 +TT	-94.9 +TT			-91.8 +TT	-90.8 +TT					
n78	30		-98.3 +TT	-96.3 +TT	-95.1 +TT			-91.9 +TT	-90.9 +TT	-90.1 +TT	-88.8 +TT	-88.3 +TT	-87.8 +TT	TDD
	60		-98.7 +TT	-96.6 +TT	-95.3 +TT			-92.1 +TT	-91.0 +TT	-90.2 +TT	-88.9 +TT	-88.4 +TT	-87.9 +TT	
n70								-91.8 +TT	-90.8 +TT					TDD
n79								-91.9 +TT	-90.9 +TT	-90.1 +TT	-88.8 +TT		-87.8 +TT	טטו

NOTE 1: The transmitter shall be set to Pumax as defined in subclause 6.2.4

NOTE 2: TT for each frequency and channel bandwidth is specified in Table 7.3.2\_1.5-2

Table 7.3.2\_1.5-2: Test Tolerance (TT) for RX sensitivity level

f ≤ 3.0GHz	3.0GHz < f ≤ 6.0 GHz
0.7 dB	1.0 dB

## 7.3.3 ΔRIB,c

Editor's note:  $\triangle$ RIB,c is pending RAN4 update.

# 7.3A Reference sensitivity for CA

#### Editor's Note:

- Test procedure is not complete
- Message contents is not complete
- Test requirement table is incomplete.
- Reference sensitivity power level for 3DL CA is FFS.

- Tests for network signalling values NS\_03, NS\_04, NS\_06, NS\_40, NS\_41 and NS\_42 not complete.

### 7.3A.1 General

The reference sensitivity power level REFSENS is the minimum mean power applied to each one of the UE antenna ports for all UE categories, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

## 7.3A.2 Reference sensitivity power level for CA

#### 7.3A.2.0 Minimum conformance requirements

#### 7.3A.2.0.1 Reference sensitivity power level for Intra-band contiguous CA

For intra-band contiguous carrier aggregation, the throughput of each component carrier shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal) with peak reference sensitivity specified in Table 7.3.2.3-1.

#### 7.3A.2.0.2 Reference sensitivity power level for Intra-band non-contiguous CA

**FFS** 

### 7.3A.2.0.3 Reference sensitivity power level for Inter-band CA

For inter-band carrier aggregation with one component carrier per operating band and the uplink assigned to one NR band the throughput shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annex A (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal) with parameters specified in Table 7.3.2.3-1, Table 7.3.2.3-2 and Table 7.3.2.3-3 modified in accordance with sub-clause 7.3A.2.0.4. The reference sensitivity is defined to be met with all downlink component carriers active and one of the uplink carriers active. Exceptions to reference sensitivity are allowed in accordance with sub-clause 7.3A.2.0.5.

#### 7.3A.2.0.4 $\triangle$ RIB,c for CA

#### 7.3A.2.0.4.1 General

For a UE supporting a CA configuration, the  $\Delta R_{IB,c}$  applies for both SC and CA operation.

#### 7.3A.2.0.4.2 ΔRIB,c for Inter-band CA

For the UE which supports inter-band carrier aggregation, the minimum requirement for reference sensitivity in Table 7.3.2.3-1 shall be increased by the amount given by  $\Delta R_{IB,c}$  defined in subclause 7.3A.2.0.4.2 for the applicable operating bands. Unless otherwise stated,  $\Delta R_{IB,c}$  is set to zero.

#### 7.3A.2.0.4.2.1 $\Delta R_{IB,c}$ for two bands

Table 7.3A.2.0.4.2.1-1:  $\Delta R_{IB,c}$  due to CA (two bands)

Inter-band CA configuration	NR Band	ΔR <sub>IB,c</sub> (dB)
CA_n3-n77	n3	0.2
CA_IIS-III I	n77	0.5
CA n3A-n78A	n3	0.2
CA_IISA-II76A	n78	0.5
CA_n3-n79	n79	0.5
CA n8A-n78A	n8	0.2
CA 116A-1176A	n78	0.5
CA_n8-n79	n79	0.5
CA n28-n75	n28	0.2
CA 200 270 A	n28	0.2
CA_n28A-n78A	n78	0.5
CA_n41A-n78A <sup>1</sup>	n78	0.5
CA_n75A-n78A	n78	0.5
CA_n76A-n78A	n78	0.5

NOTE 1: The requirements only apply when the sub-frame and Tx-Rx timings are synchronized between the component carriers. In the absence of synchronization, the requirements are not within scope of these specifications.

#### 7.3A.2.0.4.2.2 $\triangle$ RIB,c for three bands

Table 7.3A.2.0.4.2.2-1: ΔR<sub>IB,c</sub> due to CA (three bands)

Inter-band CA configuration	NR Band	ΔR <sub>IB,c</sub> (dB)

## 7.3A.2.0.5 Reference sensitivity exceptions due to UL harmonic interference for CA

Sensitivity degradation is allowed for a band in frequency range 1 if it is impacted by UL harmonic interference from another band in frequency range 1 of the same CA configuration. Reference sensitivity exceptions are specified in Table 7.3A.2.0.5-1 with uplink configuration specified in Table 7.3A.2.0.5-2.

Table 7.3A.2.0.5-1: Reference sensitivity exceptions due to UL harmonic for NR CA FR1

			М	SD due	to harm	onic exc	eption f	for the D	L band				
UL band	DL band	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
		dB	dB	dB	dB	dB	dB	dB	dB	dB	dB	dB	dB
n3	n77 <sup>1,2</sup>		23.9	22.1	20.9			17.9	16.9	16.1			
113	n77 <sup>3</sup>		1.1	0.8	0.3								
n3	n78 <sup>1,2</sup>		23.9	22.1	20.9			17.9	16.9	16.1			
	n78 <sup>3</sup>		1.1	0.8	0.3								
n8	n78 <sup>4,5</sup>		10.8	9.1	8.0			5.1	4.2	3.5	2.3		1.4
n8	n78 <sup>1,2</sup>		10.8	9.1	8			3.5	2.3	1.4			
n8	n79 <sup>1,2</sup>							[6.8]	6.2	[5.6]	4.9		4.4
n28	n75 <sup>1,2</sup>	28.1	25.3	24.0	22.8								
1120	n78 <sup>1,2</sup>		[10.4]	[8.9]	[7.8]			[4.7]	[3.7]	[3]	[1.7]	[1.2]	[0.7]

- NOTE 1: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 2nd transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band.
- NOTE 2: The requirements should be verified for UL NR-ARFCN of the aggressor (lower) band (superscript LB) such that  $f_{UL}^{LB} = \left \lfloor f_{DL}^{HB} / 0.2 \right \rfloor 0.1$  in MHz and  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \le f_{UL\_high}^{LB} BW_{Channel}^{LB} / 2$  with  $f_{DL}^{HB}$  carrier frequency in the victim (higher) band in MHz and  $BW_{Channel}^{LB}$  the channel bandwidth configured in the lower band.
- NOTE 3: The requirements are only applicable to channel bandwidths with a carrier frequency at  $\pm \left(20 + BW_{Channel}^{HB} / 2\right) \text{ MHz offset from } 2f_{UL}^{LB} \text{ in the victim (higher band) with } \\ F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \le f_{UL}^{LB} \le F_{UL\_high}^{LB} BW_{Channel}^{LB} / 2 \text{ , where } BW_{Channel}^{LB} \text{ and } BW_{Channel}^{HB} \text{ are the channel bandwidths configured in the aggressor (lower) and victim (higher) bands in MHz, respectively.}$
- NOTE 4: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of a low band for which the 4<sup>th</sup> transmitter harmonic is within the downlink transmission bandwidth of a high band.

Table 7.3A.2.0.5-2: Uplink configuration for reference sensitivity exceptions due to UL harmonic interference for NR CA, FR1

				NR Ban	d / Char	nel ban	dwidth c	of the hi	gh band				
UL band	DL band	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
n3	n77		26	39	53			106	133	160			
n3	n78		26	39	53			106	133	160		25	
n8	n78		16	25	25			25	25	25	25	25	25
n8	n79							25	25	25	25		25
n28	n75	12	25	36	50								
n28	n78	5	10	15	20								
NOTE:	15kHz	SCS is	assume	d for UL	band.								

For unsynchronized operation, Rx de-sensing in one band will be caused by another band due to lack of isolation in the band filters. Reference sensitivity exceptions for cross band are specified in Table 7.3A.2.0.5-3 with uplink configuration specified in Table 7.3A.2.0.5-4.

Table 7.3A.2.0.5-3: MSD for the CA configuration for asynchronous operation and cross band isolation for CA

Channel bandwidth												
NR CA Configuration	NR band	5 MHz	10 MHz	15 MHz	20 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Duplex mode
CA_n41A-n78A	n41		90.3	- 88.5	- 87.3	- 84.1	- 83.1					TDD

Table 7.3A.2.0.5-4: Uplink configuration for reference sensitivity exceptions due to cross band isolation for CA

				NR E	Band / C	NR Band / Channel bandwidth of the high band													
UL band																			
n78	n41	30	N/A	273	273	273	N/A	N/A	273	273	273	273	273	273					

Table 7.3A.2.0.5-5: Reference sensitivity exceptions due to harmonic mixing for CA in NR FR1

UL band	DL band	5 MHz (dB)	10 MHz (dB)	15 MHz (dB)	20 MHz (dB)	25 MHz (dB)	40 MHz (dB)	50 MHz (dB)	60 MHz (dB)	80 MHz (dB)	90 MHz (dB)	100 MHz (dB)
n41	n78¹	N/A	8.3	8.0	6.9	N/A	3.9	3	2.3	1.2		0.4
n78	n41 <sup>2</sup>	N/A	10.4	10.4	10.4	N/A	7.2	6.2	5.5	4.5		4.5

NOTE 2: The requirements should be verified for UL EARFCN of the aggressor (high) band (superscript HB) such that  $f_{\mathit{UL}}^{\mathit{LB}} = \lfloor 15 * f_{\mathit{DL}}^{\mathit{HB}} \rfloor 0.1_{\mathsf{in}} \; \mathsf{MHz} \; \mathsf{and} \; F_{\mathit{UL\_low}}^{\mathit{HB}} + BW_{\mathit{Channel}}^{\mathit{HB}} / 2 \leq f_{\mathit{UL}}^{\mathit{HB}} \leq f_{\mathit{UL\_high}}^{\mathit{HB}} - BW_{\mathit{Channel}}^{\mathit{HB}} / 2 \; \mathsf{with} \; f_{\mathit{DL}}^{\mathit{LB}} \; \mathsf{carrier} \; \mathsf{frequency} \; \mathsf{in} \; \mathsf{the} \; \mathsf{victim} \; (\mathsf{lower}) \; \mathsf{band} \; \mathsf{in} \; \mathsf{MHz} \; \mathsf{and} \; BW_{\mathit{Channel}}^{\mathit{LB}} \; \; \mathsf{the} \; \mathsf{channel} \; \mathsf{bandwidth} \; \mathsf{configured} \; \mathsf{in} \; \mathsf{the} \; \mathsf{higher} \; \mathsf{band}.$ 

Table 7.3A.2.0.5-6: Uplink configuration for reference sensitivity exceptions due to receiver harmonic mixing for CA in NR FR1

UL band	DL band	SCS (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
n41	n78	30	N/A	24	24	24	N/A	24	24	24	24	24	24
n78	n41	30	N/A	50	50	50	N/A	50	50	50	50	50	50

# 7.3A.2.0.6 Reference sensitivity exceptions due to intermodulation interference due to 2UL CA

For inter-band carrier aggregation with uplink assigned to two NR bands given in Table 7.3A.2.0.6-1 the reference sensitivity is defined only for the specific uplink and downlink test points specified in Table 7.3A.2.0.6-1. For these test points the reference sensitivity requirement specified in Table 7.3.2.3-1 and Table 7.3.2.3-2 are relaxed by the amount of the corresponding parameter MSD given in Table 7.3A.2.0.6-1.

Table 7.3A.2.0.6-1: 2DL/2UL interband Reference sensitivity QPSK P<sub>REFSENS</sub> and uplink/downlink configurations

Band / Channel bandwidth / N <sub>RB</sub> / Duplex mode												
NR CA Configuration	NR band	UL F <sub>c</sub> (MHz)	UL/DL BW (MHz)	UL C <sub>LRB</sub>	DL F <sub>c</sub> (MHz)	MSD (dB)	Duplex mode					
CA_n3A-n78A	n3	1740	5	25	1835	[26] [28.7 <sup>5</sup> ]	FDD	IMD2 <sup>4</sup>				
	n78	3575	10	25	3575	N/A	TDD	N/A				
CA_n3A-n78A	n3	1765	5	25	1860	[8.0] [10.7 <sup>5</sup> ]	FDD	IMD4 <sup>4</sup>				
	n78	3435	10	25	3435	N/A	TDD	N/A				
CA noA n70A	n8	897.5	5	25	942.5	8.3	FDD	IMD4				
CA_n8A-n78A	n78	3635	10	52	3635	N/A	TDD	N/A				

NOTE 1: Both of the transmitters shall be set min(+20 dBm, PcMAX L.f.c) as defined in subclause 6.2A.4

NOTE 2: RBstart = 0, 15kHz SCS is assumed.

NOTE 3: No requirements apply when there is at least one individual RE within the intermodulation generated by the dual uplink is within the downlink transmission bandwidth of the FDD band. The reference sensitivity should only be verified when this is not the case (the requirements specified in clause 7.3 apply).

NOTE4: This band is subject to IMD5 also which MSD is not specified.

NOTE 5: Applicable only if operation with 4 antenna ports is supported in the band with carrier aggregation configured.

The normative reference for this requirement is TS 38.101-1 [2] clause 7.3.A.

### 7.3A.2.1 Reference sensitivity power level for 2DL CA

### 7.3A.2.1.1 Test purpose

To verify the ability of UE that support CA to receive data with a given average throughput for a specified reference measurement channel, under conditions of low signal level, ideal propagation and no added noise.

A UE unable to meet the throughput requirement under these conditions will decrease the effective coverage area

### 7.3A.2.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support NR 2DL CA

#### 7.3A.2.1.3 Minimum requirements

The minimum conformance requirements are defined in clause 7.3A2.0.

7.3A.2.1.4 Test description

7.3A.2.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, and channel bandwidths based on NR operating bands specified in Table 5.2-1. All of these configurations shall be tested with applicable test parameters for each channel bandwidth, and are shown in Table TBD. The details of the uplink reference measurement channels (RMCs) are specified in Annexe A2.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 7.3A.2.1.4.1-1: Test Configuration Table

**FFS** 

7.3A.2.1.4.2 Test procedure

FFS

#### 7.3A.2.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 7.3A.2.1.5 Test requirement

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.3A.2.1.1.5-1 and Table 7.3A.2.1.1.5-2.

#### Table 7.3A.2.1.1.5-1: Reference sensitivity for intra-band 2DL CA

**FFS** 

#### Table 7.3A.2.1.1.5-2: Intra-band 2DL\_CA uplink configuration for reference sensitivity

**FFS** 

## 7.3A.2.2 Reference sensitivity power level for 3DL CA

**FFS** 

## 7.3B Reference sensitivity for DC

## 7.3C Reference sensitivity for SUL

### 7.3C.1 General

The reference sensitivity power level REFSENS is the minimum mean power applied to each one of the UE antenna ports for all UE categories, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

## 7.3C.2 Reference sensitivity power level

#### Editor's Note:

- Setup SUL operation is not complete.
- Test point selection needs further analysis.

## 7.3C.2.1 Test purpose

The test purpose is to verify the ability of the UE to receive data with a given average throughput for a specified reference measurement channel, under SUL operation and conditions of low signal level, ideal propagation and no added noise.

#### 7.3C.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports SUL operation.

#### 7.3C.2.3 Minimum conformance requirements

For SUL operation, the reference receive sensitivity (REFSENS) requirement for downlink bands specified in Table 7.3.2.3-1 shall be met for an uplink transmission bandwidth less than or equal to that specified in Table 7.3.2.3-1 or supplementary uplink transmission bandwidth less than or equal to that specified in Table 7.3C.2.3-1 with uplink transmission using QPSK DFT-s-OFDM waveforms.

Table 7.3C.2.3-1: Supplementary Uplink configuration for reference sensitivity

Do	Downlink band/ Uplink band / Channel bandwidth / NRB												
Downlink band	Uplink band	SCS kHz	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz					
n78	n80	15	25	50	75	100	128	160					
n78	n81	15	25	50	75	100							
n78	n82	15	25	50	75	100							
n78	n83	15	25	50	75	100							
n78	n84	15	25	50	75	100							
n78	n86	15	25	50	75	100							
n79	n80	15	25	50	75	100							
n79	n81	15	25	50	75	100							

For the UE that supports any of the SUL operation given in Table 7.3C.2.3-2, exceptions to the requirements specified in Table 7.3.2.3-1 are allowed when the uplink is active in a lower frequency band and is within a specified frequency range such that transmitter harmonics fall within the downlink transmission bandwidth assigned in a higher band as noted in Table 7.3C.2.3-2. For these exceptions, the UE shall meet the requirements specified in Table 7.3C.2.3.-2 and Supplementary Uplink configuration (exceptions due to harmonic issue given in Table 7.3C.2.3.-3.

Table 7.3C.2.3-2: Reference sensitivity for SUL operation (exceptions due to harmonic issue)

	NR Band / Channel bandwidth of the high band												
UL band	DL band	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
		dB	dB	dB	dB	dB	dB	dB	dB	dB	dB	dB	dB
n80	n78 <sup>1,2</sup>		23.9	22.1	20.9			17.9					
	n78 <sup>3</sup>		1.1	0.8	0.3								
n82	n78 <sup>4,5</sup>		10.8	9.1	8			6					
n81	n78 <sup>1,2</sup>		10.8	9.1	8			5.1	4.2	3.5	2.3		1.4
n81	n78 <sup>6,7</sup>		10.4	8.9	7.8			4.7	3.7	3	1.7	1.2	0.7
n86	n78 <sup>1,2</sup>		23.9	22.1	20.9			17.9					
1100	n78³		1.1	0.8	0.3								
n81	n79 <sup>6,7</sup>							[6.8]	6.2	[5.6]	4.9		4.4

- NOTE 1: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 2nd transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band.
- NOTE 2: The requirements should be verified for UL EARFCN of the aggressor (lower) band (superscript LB) such that  $f_{UL}^{LB} = \left \lfloor f_{DL}^{HB} / 0.2 \right \rfloor 0.1$  in MHz and  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \le f_{UL}^{LB} \le F_{UL\_high}^{LB} BW_{Channel}^{LB} / 2$  with  $f_{DL}^{HB}$  carrier frequency in the victim (higher) band in MHz and  $\frac{BW_{Channel}^{LB}}{B}$  the channel bandwidth configured in the lower band.
- NOTE 3: The requirements are only applicable to channel bandwidths with a carrier frequency at  $\frac{\pm \left(20 + BW_{Channel}^{HB} \ / 2\right)}{\pm \left(20 + BW_{Channel}^{HB} \ / 2\right)} \text{ MHz offset from } 2^{\int_{UL}^{LB}} \text{ in the victim (higher band) with}$   $F_{UL\_low}^{LB} + BW_{Channel}^{LB} \ / 2 \leq f_{UL}^{LB} \leq F_{UL\_high}^{LB} BW_{Channel}^{LB} \ / 2 \text{ , where } BW_{Channel}^{LB} \text{ and } BW_{Channel}^{HB} \text{ are the channel bandwidths configured in the aggressor (lower) and victim (higher) bands in MHz, respectively.}$
- NOTE 4: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 4th transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band.
- NOTE 5: The requirements should be verified for UL EARFCN of the aggressor (lower) band (superscript LB) such that  $f_{UL}^{LB} = \left\lfloor f_{DL}^{HB} / 0.4 \right\rfloor 0.1$  in MHz and  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \le f_{UL}^{LB} \le F_{UL\_high}^{LB} BW_{Channel}^{LB} / 2$  with  $f_{DL}^{HB}$  carrier frequency in the victim (higher) band in MHz and  $BW_{Channel}^{LB}$  the channel bandwidth configured in the lower band.
- NOTE 6: The requirements should be verified for UL EARFCN of the aggressor (lower) band (superscript LB) such that  $f_{UL}^{LB} = \left \lfloor f_{DL}^{HB} / 0.5 \right \rfloor 0.1$  in MHz and  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \le f_{UL}^{LB} \le F_{UL\_high}^{LB} BW_{Channel}^{LB} / 2$  with  $f_{DL}^{HB}$  carrier frequency in the victim (higher) band in MHz and  $BW_{Channel}^{LB}$  the channel bandwidth configured in the lower band
- NOTE 7: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 4<sup>th</sup> transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band

Table 7.3C.2.3-3: Supplementary Uplink configuration (exceptions due to harmonic issue)

	NR Band / Channel bandwidth of the high band													
UL band	DL band	5 MHz (N <sub>RB</sub> )	10 MHz (N <sub>RB</sub> )	15 MHz (N <sub>RB</sub> )	20 MHz (N <sub>RB</sub> )	25 MHz (N <sub>RB</sub> )	30 MHz (N <sub>RB</sub> )	40 MHz (N <sub>RB</sub> )	50 MHz (N <sub>RB</sub> )	60 MHz (N <sub>RB</sub> )	80 MHz (N <sub>RB</sub> )	90 MHz (N <sub>RB</sub> )	100 MHz (N <sub>RB</sub> )	
n80	n78		25	36	50			100						
n81	n78		16	25	25			25	25	25	25	25	25	
n81	n79							25	25	25	25	25	25	
n83	n78		10	15	20			25	25	25	25	25	25	
n86	n78		26	39	53			100						

NOTE 1: 15kHz SCS is assumed for UL band.

NOTE 2: The UL configuration applies regardless of the channel bandwidth of the low band unless the UL resource blocks exceed that specified in Table 7.3.2-3 for the uplink bandwidth in which case the allocation according to Table 7.3.2-3 applies.

For the UE which supports SUL band combination, the minimum requirement for reference sensitivity in Table 7.3.2.3-1 shall be increased by the amount given in  $\Delta R_{IB,c}$  defined in subclause 7.3C.3 for the applicable NR bands. The normative reference for this requirement is TS 38.101-1 [2] clause 7.3C.2

#### 7.3C.2.4 Test description

#### 7.3C.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, and channel bandwidths based on NR operating bands specified in table 5.2-1. All of these configurations shall be tested with applicable test parameters for each channel bandwidth, and are shown in Table 7.3.2.4.1-1, Table 7.3.2.4.1-2, and Table 7.3.2.4.1-3. The details of the uplink reference measurement channels (RMCs) are specified in A2.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex [A, Figure [TBD].
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C0, C.1, C.2, C3.1, and uplink signals according to Annex G.0, G.1, G.2, G.3.1.
- 4. The UL and DL Reference Measurement Channel shall be set according to Table 7.3.2.4.1-1, Table 7.3.2.4.1-2, and Table 7.3.2.4.1-3.
- 5. The UL Reference Measurement Channel shall be set according to Table 7.3C.2.3-2 and 7.3C.2.3-3 when testing is performed with UL/DL band combination listed in Table 7.3C.2.3-2 for exceptions due to harmonic issue.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 7.3C.2.4.3

#### 7.3C.2.4.2 Test procedure

- 1 SS transmits PDSCH via PDCCH DCI format [1\_1] for C\_RNTI to transmit the DL RMC according to Table 7.3.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Tables 7.3.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 3. Set the Downlink signal level to the appropriate REFSENS value defined in Table 7.3.3.1. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE to ensure the UE transmits P<sub>UMAX</sub> level for at least the duration of the Throughput measurement.
- 4. Setup SUL operation [TBD].
- 5. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.2.

#### 7.3C.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 7.3C.2.5 Test requirement

The throughput shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annex A3.2 with parameters specified in Tables 7.3.2.3-1 and Tables 7.3.2.3-2.

For SUL operation, the reference receive sensitivity (REFSENS) requirement for downlink bands specified in Table 7.3.2.5-1 shall be met for an uplink transmission bandwidth less than or equal to that specified in Table 7.3.2.4.1-3 with exceptions listed in clause 7.3C.2.5.1.

#### 7.3C.2.5.1 Reference sensitivity exceptions due to harmonic issue

For SUL operation with DL band listed in Table 7.3C.2.3-2 with supplementary uplink transmission bandwidth less than or equal to that specified in Table 7.3C.2.3-1, the reference receive sensitivity (REFSENS) requirement for downlink bands specified in Table 7.3C.2.5.1-1 due to harmonic exceptions.

Table 7.3C.2.5.1-1: Reference sensitivity for SUL operation (exceptions due to harmonic issue)

UL band	DL band	scs	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	100 MHz
		kHz	dBm	dBm	dBm	dBm	dBm	dBm	dBm	dBm	dBm	dBm	dBm
		15		-70.9	-70.9	-70.8			-70.7				
n80	n78 <sup>1,2</sup>	30		-71.2	-71.0	-70.9			-70.8				
		60		-71.6	-71.3	-71.2			-71.0				
		15		-93.7	-92.2	-91.4							
n80	n78³	30		-94.0	-92.3	-91.5							
		60		-94.4	-92.6	-91.8							

NOTE 1: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 2nd transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band.

NOTE 2: The requirements should be verified for UL EARFCN of the aggressor (lower) band (superscript LB) such that  $f_{UL}^{LB} = \left \lfloor f_{DL}^{HB} / 0.2 \right \rfloor \! 0.1 \, \text{in MHz}$  and  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \leq f_{UL}^{LB} \leq F_{UL\_high}^{LB} - BW_{Channel}^{LB} / 2 \, \text{with}^{\int_{DL}^{HB}} \, \text{carrier}$  frequency in the victim (higher) band in MHz and  $\frac{BW_{Channel}^{LB}}{BW_{Channel}}$  the channel bandwidth configured in the lower

For the UE which supports SUL band combination, the minimum requirement for reference sensitivity in Table 7.3C.2.3-1 shall be increased by the amount given in  $\Delta R_{IB,c}$  defined in subclause 7.3C.3.

## 7.3C.3 ARIB.c for SUL

band.

#### 7.3C.3.1 General

For a UE supporting a SUL configuration, the  $\Delta R_{IB,c}$  applies for both SC and SUL operation.

#### 7.3C.3.2 SUL band combination

For the UE which supports SUL band combination, the minimum requirement for reference sensitivity in Table 7.3.2.3-1 shall be increased by the amount given in  $\Delta R_{IB,c}$  defined in Table 7.3C.3.2-1 for the applicable operating bands.

Table 7.3C.3.2-1: ΔR<sub>IB,c</sub> due to SUL (two bands)

Band combination for SUL	NR Band	ΔR <sub>IB,c</sub> [dB]				
SUL n78-n80	n78	0.5				
30L_1176-1160	n80	0.2				
CIII n70 n01	n78	0.2				
SUL_n78-n81	n81	0.2				
SUL_n78-n82	n78	0.5				
SUL_n78-n83	n78	0.5				
30L_1176-1163	n83	0.2				
SUL_n78-n84	n78	0.5				
SUL n78-n86	n78	0.5				
30L_11/6-1166	n86	0.2				

## 7.3D Reference sensitivity for UL-MIMO

#### 7.3D.1 General

For UE with two transmitter antenna connectors in closed-loop spatial multiplexing scheme, the minimum requirements specified in subclause 7.3 shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1. For UL-

MIMO, the parameter  $P_{UMAX}$  is the total transmitter power over the two transmits power over the two transmit antenna connectors.

The normative reference for this requirement is TS 38.101-1 [2] clauses 7.3D

## 7.3D.2 Reference sensitivity power level for UL-MIMO

#### Editor's note:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- Uplink configuration is pending on RAN4. DFT-s-OFDM is disabled in case of UL\_MIMO rank > 1.
- Initial conditions is incomplete: Test points incomplete
- The clause in reference to the test requirement is TBD.

## 7.3D.2.1 Test purpose

The test purpose is to verify the ability of the UE to receive data with a given average throughput for a specified reference measurement channel, under conditions of low signal level, ideal propagation and no added noise.

#### 7.3D.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO

#### 7.3D.2.3 Minimum conformance requirements

For UE with two transmitter antenna connectors in closed-loop spatial multiplexing scheme, the minimum requirements specified in subclause 7.3 shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1. For UL-MIMO, the parameter  $P_{UMAX}$  is the total transmitter power over the two transmits power over the two transmit antenna connectors

The normative reference for this requirement is TS 38.101-1 [2] clauses 7.3D and 7.3.

#### 7.3D.2.4 Test description

#### 7.3D.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, and channel bandwidths based on NR operating bands specified in Table 5.2-1. All of these configurations shall be tested with applicable test parameters for each channel bandwidth, and are shown in Table 7.3D.2.4.1-1, Table 7.3D.2.4.1-2, and Table 7.3D.2.4.1-3. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexe A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 7.3D.2.4.1-1: Test Configuration Table

			Initial Conditions						
Test Enviror	nment as specified	d in TS 38.508-1	Normal, TL/VL, TL/VH, TH/VL, TH/VH						
[5] subclaus	•		, , , , ,						
Test Freque	ncies as specified	d in TS 38.508-1 [5]	Low range, Mid range, High range						
subclause4.	3.1								
Test Channe	el Bandwidths as	specified in TS	Lowest, Mid, Highest						
38.508-1 [5]	subclause 4.3.1								
Test SCS as	s specified in Tabl	le 5.3.5-1	Lowest supported SCS per test channel BW						
			Test Parameters						
Test ID	Downlink (	Configuration	Uplink Configura	ation					
	Modulation	RB allocation	Modulation	RB allocation					
1	CP-OFDM	Full RB (NOTE 1)	) FFS REFSENS (NOTE 2)						
	QPSK								
NOTE 4. E.	II DD allocation of	all he wood nor ooch	SCC and channel DW as appointed in Tal	No 7 2D 2 4 4 2					

NOTE 1: Full RB allocation shall be used per each SCS and channel BW as specified in Table 7.3D.2.4.1-2.

NOTE 2: REFSENS refers to Table [7.3.2.4.1-3] which defines uplink RB configuration and start RB location for each SCS, channel BW and NR band.

Table 7.3D.2.4.1-2: Downlink Configuration of each RB allocation

Channel Bandwidth	SCS(kHz)	LCRBmax	Outer RB allocation / Normal RB allocation
	15	25	25@0
5MHz	30	11	11@0
	60	N/A	N/A
	15	52	52@0
10MHz	30	24	24@0
	60	11	11@0
	15	79	79@0
15MHz	30	38	38@0
	60	18	18@0
	15	106	106@0
20MHz	30	51	51@0
	60	24	24@0
	15	133	133@0
25MHz	30	65	65@0
	60	31	31@0
	15	160	160@0
30MHz	30	78	78@0
	60	38	38@0
	15	216	216@0
40MHz	30	106	106@0
	60	51	51@0
	15	270	270@0
50MHz	30	133	133@0
	60	65	65@0
	15	N/A	N/A
60MHz	30	162	162@0
	60	79	79@0
	15	N/A	N/A
80MHz	30	217	217@0
	60	107	107@0
	15	N/A	N/A
90MHz	30	245	245@0
	60	121	121@0
	15	N/A	N/A
100MHz	30	273	273@0
- · · ·	60	135	135@0
NOTE 1: To			e checked senarately for each

NOTE 1: Test Channel Bandwidths are checked separately for each NR band, the applicable channel bandwidths are specified in Table 5.3.5-1.

Table 7.3D.2.4.1-3: Uplink configuration for reference sensitivity, LCRB @ Restart format

[FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A Figure A.3.1.1.2 for TE diagram and section A.3.2 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and C.3.1, and uplink signals according to Annex G.0, G.1, G.2, and G.3.1.
- 4. The UL and DL Reference Measurement Channel is set according to Table 7.3D.2.4.1-1, Table 7.3D.2.4.1-2, and Table 7.3D.2.4.1-3.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 7.3D.2.4.3.

#### 7.3D.2.4.2 Test procedure

Same test procedure as specified in 7.3.2.4.2 with the following exception:

Instead of table 7.3.2.4.1-1 and 7.3.2.4.1-2, use Table 7.3D.2.4.1-1 and Table 7.3D.2.4.1-2

## 7.4 Maximum input level

#### Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.

#### 7.4.1 Test purpose

Maximum input level tests the UE's ability to receive data with a given average throughput for a specified reference measurement channel, under conditions of high signal level, ideal propagation and no added noise.

A UE unable to meet the throughput requirement under these conditions will decrease the coverage area near to a g-NodeB.

#### 7.4.2 Test applicability

This test applies to all types of NR UE release 15 and forward.

#### 7.4.3 Minimum conformance requirements

Maximum input level is defined as the maximum mean power received at the UE antenna port, at which the specified relative throughput shall meet or exceed the minimum requirements for the specified reference measurement channel. The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A.3 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.4.3-1.

Table 7.4.3-1: Maximum input level

Rx	Units		Channel bandwidth										
Parameter		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
Power in Transmission Bandwidth Configuration	dBm		-2 -2	5 <sup>2</sup> 7 <sup>3</sup>		-24 <sup>2</sup> -26 <sup>3</sup>	-23 <sup>2</sup> -25 <sup>3</sup>	-22 <sup>2</sup> -24 <sup>3</sup>	-21 <sup>2</sup> -23 <sup>3</sup>		-2 -2	0 <sup>2</sup> 2 <sup>3</sup>	

NOTE 1: The transmitter shall be set to 4dB below P<sub>CMAX\_L</sub> at the minimum uplink configuration specified in Table 7.3.2.3-3 with P<sub>CMAX\_L</sub> as defined in subclause 6.2.4.

NOTE 2: Reference measurement channel is Annex A.3.2.3/A.3.3.3 for 64-QAM.

NOTE 3: Reference measurement channel is Annex A.3.2.4/A.3.3.4 for 256-QAM.

The normative reference for this requirement is TS 38.101-1 [2] clause 7.4.

#### 7.4.4 Test description

#### 7.4.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 7.4.4.1-1. The details of the uplink and downlink reference measurement channels (RMC) are specified in Annexes A.2 and A.3. The details of the OCNG patterns used are specified in Annex A.5. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 7.4.4.1-1: Test Configuration Table

Initial Conditions									
Test Environment as speci	fied in TS 38.508-1 [5]	Normal							
subclause 4.1									
Test Frequencies as specific subclause 4.3.1	ied in TS 38.508-1 [5]	Mid range							
Test Channel Bandwidths	as specified in TS	Lowest, Mid, Highest							
38.508-1 [5] subclause 4.3	.1								
Test SCS as specified in T	able 5.3.5-1	Lowest							
	Test Parameters for	Channel Bandwidths							
Downlink Cor	nfiguration	Uplink Confi	iguration						
Modulation	RB allocation	Modulation	RB allocation						
CP-OFDM 64 QAM	NOTE 1	DFT-s-OFDM QPSK	NOTE 2						
CP-OFDM 256 QAM	NOTE 1	DFT-s-OFDM QPSK	NOTE 2						
NOTE 1: The specific configuration of downlink RB allocation is defined in Table 7.3.2.4.1-2.  NOTE 2: The specific configuration of uplink RB allocation is defined in Table 7.3.2.4.1-3.									

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and C.3.1, and uplink signals according to Annex G.0, G.1, G.2, and G.3.1.
- 4. The DL and UL Reference Measurement Channels are set according to Table 7.4.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 7.4.4.3.

#### 7.4.4.2 Test procedure

- 1. SS transmits PDSCH via PDCCH DCI format [1\_1] for C\_RNTI to transmit the DL RMC according to Table 7.4.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Tables 7.4.4.1-1. Since the UE has no payload data and no loopback data to send, the UE sends uplink MAC padding bits on the UL RMC.
- 3. Set the Downlink signal level to the value as defined in Table 7.4.5-1. Send uplink power control commands to the UE (less or equal to 1dB step size should be used), to ensure that the UE output power is within  $-P_W \pm P_W dB$  of the target power level in Table 7.4.5-1 for at least the duration of the Throughput measurement.  $P_W$  is the power window according to Table 7.4.5-2 for the carrier frequency f and the channel bandwidth BW.
- 4. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.2

#### 7.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 7.4.5 Test requirement

The throughput measurement derived in test procedure shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A.3 with parameters specified in Tables 7.4.5-1.

Table 7.4.5-1: Maximum input level

Rx	Units		Channel bandwidth										
Parameter		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
Power in Transmission	dBm		-25 <sup>2</sup> -TT				-23 <sup>2</sup> - TT	-22 <sup>2</sup> - TT	-21 <sup>2</sup> - TT		-20 <sup>2</sup>	²-TT	
Bandwidth Configuration	ubili		-27 <sup>3</sup> -TT		-26 <sup>3</sup> - TT	-25 <sup>3</sup> - TT	-24 <sup>3</sup> - TT	-23 <sup>3</sup> - TT	-22 <sup>3</sup> -TT				

NOTE 1: The transmitter shall be set to 4dB below P<sub>CMAX\_L</sub> at the minimum uplink configuration specified in Table

7.3.2.3-3 with  $P_{\text{CMAX\_L}}$  as defined in subclause 6.2.4.

NOTE 2: Reference measurement channel is Annex A.3.2.3/A.3.3.3 for 64-QAM. NOTE 3: Reference measurement channel is Annex A.3.2.4/A.3.3.4 for 256-QAM.

NOTE 4: TT for each frequency is specified in Table 7.4.5-3.

Table 7.4.5-2: Power Window (dB) for Maximum input level

	f ≤ 3GHz	3GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6GHz
BW ≤ 20MHz	1.4	1.7	2
20MHz < BW ≤ 40MHz	1.4	1.7	2.2
40MHz < BW ≤ 100MHz	2.1	2.3	2.3

Table 7.4.5-3: Test Tolerance (Maximum input level)

f ≤ 3.0GHz	3.0GHz < f ≤6.0GHz				
0.7 dB	1.0 dB				

## 7.4A Maximum input level for CA

**FFS** 

# 7.4D Maximum input level for UL-MIMO

#### Editor's note:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- Uplink configuration is pending on RAN4. DFT-s-OFDM is disabled in case of UL\_MIMO rank > 1.
- Initial conditions is incomplete: Test points incomplete
- TT analysis is incomplete.
- Message Contents is FFS.

#### 7.4D.1 Test purpose

Maximum input level tests the ability of UE that supports UL- MIMO to receive data with a given average throughput for a specified reference measurement channel, under conditions of high signal level, ideal propagation and no added noise.

A UE unable to meet the throughput requirement under these conditions will decrease the coverage area near to an e-NodeB.

#### 7.4D.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support UL-MIMO.

#### 7.4D.3 Minimum conformance requirements

For UE with two transmitter antenna connectors in closed-loop spatial multiplexing, the minimum requirements specified in sub-clause 7.4 shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1. For UL-MIMO, the parameter  $P_{\text{CMAX L}}$  is defined as the total transmitter power over the two transmit antenna connectors.

The normative reference for this requirement is TS 38.101-1 [2] clauses 7.4D and 7.4.

#### 7.4D.4 Test description

#### 7.4D.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, and channel bandwidths based on NR operating bands specified in Table 5.2-1. All of these configurations shall be tested with applicable test parameters for each channel bandwidth, and are shown in Table 7.4D.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annex A.2 and Annex A.3 respectively. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 7.4D.4.1-1: Test Configuration Table[FFS]

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A Figure A.3.1.1.2 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and C.3.1, and uplink signals according to Annex G.0, G.1, G.2, and G.3.1.
- 4. The UL and DL Reference Measurement Channel is set according to Table 7.4D.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 7.4D.4.3.

#### 7.4D.4.2 Test procedure

- 1. SS transmits PDSCH via PDCCH DCI format 1\_1 for C\_RNTI to transmit the DL RMC according to Table 7.4D.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Tables 7.4D.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC. The PDCCH DCI format 0\_1 is specified with condition 2TX\_UL\_MIMO in 38.508-1 [5] subclause 4.3.6.1.1.2
- 3. Set the Downlink signal level to the value defined in Table 7.4D.5-1. Send Uplink power control commands to the UE (less or equal to 1dB step size should be used), to ensure that the UE output power is within [TT-TBD] of the target level in Table 7.4D.5-1, for at least the duration of the throughput measurement.
- 4. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.2

#### 7.4D.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 ensuring Table 4.6.3-135 with condition 2TX\_UL\_MIMO and Table 4.6.3-89 with condition CP-OFDM

#### 7. 4D.5 Test requirement

The throughput shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annex A.3.2 with parameters specified in Table 7.4D.5-1.

Table 7.4D.5-1 Maximum input level

Rx	Unit		Channel bandwidth										
Parameter	S	5 MH	10 MH	15	20 MH	25 MH	30	40	50	60	80 MH	90	100 MH
		Z	Z	MH	Z	Z	MH z	MH z	MH z	MH z	Z	MH z	Z
Power in Transmissi			-25 <sup>2</sup>				- 23 <sup>2</sup>	- 22 <sup>2</sup>	- 21 <sup>2</sup>		-2	0 <sup>2</sup>	
on Bandwidth Configurati on	dBm		-273		- 26 <sup>3</sup>	- 25 <sup>3</sup>	- 24 <sup>3</sup>	- 23 <sup>3</sup>		-2	2 <sup>3</sup>		

NOTE 1: The transmitter shall be set to 4dB below P<sub>CMAX\_L</sub> at the minimum uplink configuration specified in Table 7.3-3 with P<sub>CMAX\_L</sub> as defined in subclause 6.2.4.

NOTE 2: Reference measurement channel is [TBD] for 64-QAM. NOTE 3: Reference measurement channel is [TBD] for 256-QAM.

## 7.5 Adjacent channel selectivity

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

UL power level configuration is TBD in TS 38.101-1 [2].

#### 7.5.1 Test purpose

Adjacent channel selectivity (ACS) is a measure of a receiver's ability to receive an NR signal at its assigned channel frequency in the presence of an adjacent channel signal at a given frequency offset from the centre frequency of the assigned channel. ACS is the ratio of the receive filter attenuation on the assigned channel frequency to the receive filter attenuation on the adjacent channel(s).

## 7.5.2 Test applicability

This test applies to all types of NR UE release 15 and forward.

#### 7.5.3 Minimum conformance requirements

The UE shall fulfil the minimum requirements specified in Table 7.5.3-1 for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz and the minimum requirements specified in Table 7.5.3-2. for NR bands with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz. These requirements apply for all values of an adjacent channel interferer up to -25 dBm and for any SCS specified for the channel bandwidth of the wanted signal. However, it is not possible to directly measure the ACS; instead the lower and upper range of test parameters are chosen as in Table 7.5.3-3 and Table 7.5.3-4 for verification of the requirements specified in Table 7.5.3-1 and as in Table 7.5.3-5, and Table 7.5.3-6 for verification of the requirements specified in Table 7.5.3-2. For these test parameters, the throughput shall be  $\ge 95\%$  of the maximum throughput of the reference measurement channels as specified in [Annexes A.2.2, A.2.3 and A.3.2(with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5)]. For operating bands with an unpaired DL part (as noted in Table 5.2-1), the requirements only apply for carriers assigned in the paired part.

Table 7.5.3-1: ACS for NR bands with F<sub>DL\_high</sub> < 2700 MHz and F<sub>UL\_high</sub> < 2700 MHz

RX parameter	Units	Channel bandwidth							
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz			
ACS	dB	[33]	[33]	[30]	[27]	[26]			
RX parameter	Units	Channel bandwidth							
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz			
ACS	dB	[25.5]	[24]	[23]	[22.5]	[21]			
RX parameter	Units		Cha	nnel bandw	idth				
		90 MHz	100 MHz						
ACS	dB	[20.5]	[20]						

Table 7.5.3-2: ACS for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

RX parameter	Units	Channel bandwidth							
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz			
ACS	dB	[33]	[33]	[33]	[33]	[33]			
RX parameter	Units		Cha	nnel bandw	idth				
		60 MHz	80 MHz	90 MHz	100 MHz				
ACS	dB	[33]	[33]	[33]	[33]				

Table 7.5.3-3: Test parameters for NR bands with FDL\_high < 2700 MHz and FUL\_high < 2700 MHz, case 1

RX parameter	Units		CI	nannel bandwid	lth			
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz		
Power in transmission bandwidth configuration	dBm		R	EFSENS + 14 d	В			
Pinterferer	dBm	REFSENS + [45.5] dB	REFSENS + [45.5] dB	REFSENS + [42.5] dB	REFSENS + [39.5] dB	REFSENS + [38.5] dB		
BWinterferer	MHz	5	5	5	5	5		
Finterferer (offset)	MHz	5	7.5	10	12.5	15		
		/	/	/	/	/		
		-5	-7.5	-10	-12.5	-15		
RX parameter	Units	Channel bandwidth						
_		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz		
Power in transmission bandwidth configuration	dBm		R	EFSENS + 14 d	В			
Pinterferer	dBm	REFSENS + [38] dB	REFSENS + [36.5] dB	REFSENS + [35.5] dB	REFSENS + [35] dB	REFSENS + [33.5] dB		
BW <sub>interferer</sub>	MHz	5	5	5	5	5		
F <sub>interferer</sub> (offset)	MHz	17.5 / -17.5	22.5 / -22.5	27.5 / -27.5	32.5 / -32.5	42.5 / -42.5		
RX parameter	Units		CI	nannel bandwid	th			
-		90 MHz	100 MHz					
Power in transmission bandwidth configuration	dBm	REFSEN	S + 14 dB					
Pinterferer	dBm	REFSENS + [33] dB	REFSENS + [32.5] dB					
BWinterferer	MHz	5	5					
Finterferer (offset)	MHz	47.5 / -47.5	52.5 / -52.5					

NOTE 1: The transmitter shall be set to 4dB below P<sub>CMAX\_L,f,c</sub> at the minimum UL configuration specified in

Table 7.3.2-3 with  $P_{\text{CMAX\_L,f,c}}$  defined in clause 6.2.4.

NOTE 2: The absolute value of the interferer offset  $F_{\text{interferer}}$  (offset) shall be further adjusted to  $(F_{\text{interferer}} \mid /SCS \mid + 0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 3: The interferer consists of the NR interferer RMC specified in [...]

Table 7.5.3-4: Test parameters for NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz, case 2

RX parameter	Units		С	hannel bandwic	ith			
-		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz		
Power in transmission bandwidth	dBm	[-56.5]	[-56.5]	[-53.5]	[-50.5]	[-49.5]		
configuration								
Pinterferer	dBm			-25				
BWinterferer	MHz	5	5	5	5	5		
Finterferer (offset)	MHz	5	7.5	10	12.5	15		
		/_	/	/	/	/		
		-5	-7.5	-10	-12.5	-15		
RX parameter	Units	Channel bandwidth						
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz		
Power in transmission bandwidth configuration	dBm	[-49]	[-47]	[-46.5]	[-46]	[-44.5]		
Pinterferer	dBm			-25				
BW <sub>interferer</sub>	MHz	5	5	5	5	5		
F <sub>interferer</sub> (offset)	MHz	17.5 / -17.5	22.5 / -22.5	27.5 / -27.5	32.5 / -32.5	42.5 / -42.5		
RX parameter	Units			hannel bandwic				
•		90 MHz	100 MHz					
Power in transmission bandwidth configuration	dBm	[-44]	[-43.5]					
Pinterferer	dBm	-						
BWinterferer	MHz	5	5					
Finterferer (offset)	MHz	47.5 / -47.5	52.5 / -52.5					

The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 3: The interferer consists of the RMC specified in [...]

NOTE 1: The transmitter shall be set to 24 dB below  $P_{CMAX\_L,f,c}$  at the minimum UL configuration specified in Table 7.3.2-3 with  $P_{CMAX\_L,f,c}$  defined in clause 6.2.4.

NOTE 2: The absolute value of the interferer offset  $F_{interferer}$  (offset) shall be further adjusted to  $(|F_{interferer}|/SCS] + 0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz.

Table 7.5.3-5: Test parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz, case 1

RX parameter	Units		CI	nannel bandwid	lth	
-		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
Power in	dBm		R	EFSENS + 14 d	В	
transmission						
bandwidth						
configuration						
Pinterferer	dBm		RE	FSENS + [45.5]	dB	
BWinterferer	MHz	10	15	20	40	50
Finterferer (offset)	MHz	10	15	20	40	50
		/	/	/	/	/
		-10	-15	-20	-40	-50
RX parameter	Units		CI	nannel bandwid	lth	
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in	dBm					
transmission			DEEGENIG	S + 14 dB		
bandwidth			INLI OLING	7 14 UD		
configuration						
Pinterferer	dBm	REFSENS	REFSENS	REFSENS	REFSENS	
		+ [45.5] dB	+ [45.5] dB	+ [45.5] dB	+ [45.5] dB	
BW <sub>interferer</sub>	MHz	60	80	90	100	
Finterferer (offset)	MHz	60	80	90	100	
. ,		/	/	/	/	
		-60	-80	-90	-100	

NOTE 1: The transmitter shall be set to 4dB below  $P_{CMAX\_L,f,c}$  at the minimum UL configuration specified in Table 7.3.2-3 with  $P_{CMAX\_L,f,c}$  defined in clause 6.2.4.

NOTE 2: The absolute value of the interferer offset F<sub>interferer</sub> (offset) shall be further adjusted to  $(|F_{interferer}|/SCS|+0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The

interferer is an NR signal with an SCS equal to that of the wanted signal. NOTE 3: The interferer consists of the RMC specified in [...]

Table 7.5.3-6: Test parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz, case 2

RX parameter	Units		CI	nannel bandwic	ith	
-		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
Power in	dBm		•			
transmission bandwidth configuration				[-56.5]		
Pinterferer	dBm			-25		
BWinterferer	MHz	10	15	20	40	50
Finterferer (offset)	MHz	10	15	20	40	50
		/	/	/	/	/
		-10	-15	-20	-40	-50
RX parameter	Units		CI	nannel bandwid	ith	
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in transmission bandwidth configuration	dBm		[-56	5.5]		
Pinterferer	dBm	-25	-25	-25	-25	
BWinterferer	MHz	60	80	90	100	
Finterferer (offset)	MHz	60	80	90	100	
, ,		/	/	/	/	
		-60	-80	-90	-100	

NOTE 1: The transmitter shall be set to 24 dB below P<sub>CMAX\_L,f,c</sub> at the minimum UL configuration specified in Table 7.3.2-3 with P<sub>CMAX\_L,f,c</sub> defined in clause 6.2.4.

NOTE 2: The absolute value of the interferer offset  $F_{\text{interferer}}$  (offset) shall be further adjusted to  $(F_{\text{interferer}} \mid /SCS \mid + 0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz.

The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 3: The interferer consists of the RMC specified in [...]

The normative reference for this requirement is TS 38.101-1 [2] clause 7.5.

#### 7.5.4 Test description

#### 7.5.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 7.5.4.1-1. The details of the uplink and downlink reference measurement channels (RMC) are specified in Annexes A.2 and A.3. Configuration of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 7.5.4.1-1: Test Configuration Table** 

		De	fault Conditio	ons		
Test Enviro	onment as specified in T	S	Normal			
38.508-1 [5	5] subclause 4.1					
Test Frequ	encies as specified in T	S	Mid range			
38.508-1 [5	5] subclause 4.3.1		_			
Test Channel Bandwidths as specified in			Lowest, Mid and Highest			
TS 38.508-	-1 [5] subclause 4.3.1			_		
Test SCS a	as specified in Table 5.3	.5-1	Lowest			
		Т	est Parameter	rs		
	Downlink Co	nfigura	ition	Uplink Config	guration	
Test ID	Mod'n	RB allocation		Mod'n	RB allocation	
1	CP-OFDM QPSK	1	NOTE 1	DFT-s-OFDM QPSK	NOTE 1	
NOTE 1:	The specific configuration	n of upl	ink and downling	nk are defined in Table 7	.3.2.4.1-1.	

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.4.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, C.3.1, and uplink signals according to Annex G.0, G.1, G.2, G.3.1.
- 4. The DL and UL Reference Measurement channels are set according to Table 7.5.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message content are defined in clause 7.5.4.3.

### 7.5.4.2 Test procedure

- 1. SS transmits PDSCH via PDCCH DCI format [1\_1] for C\_RNTI to transmit the DL RMC according to Table 7.5.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 7.5.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 3. Set the Downlink signal level to the value as defined in Table 7.5.5-2 or Table 7.5.5-5 as appropriate (Case 1). Send Uplink power control commands to the UE (less or equal to TBD dB step size should be used), to ensure that the UE output power is within  $\pm 0.7$  dB of the target power level in Table 7.5.5-2 or Table 7.5.5-5 for carrier frequency  $f \le 3$ GHz and  $BW \le 40$  MHz, or within  $\pm 1.0$  dB of the target power level for carrier frequency  $f \le 3$ GHz and  $f \le 3$ GHz and  $f \le 3$ GHz or within  $f \le 3$ GHz or within  $f \le 3$ GHz, for at least the duration of the Throughput measurement.
- 4. Set the Interferer signal level to the value as defined in Table 7.5.5-2 or Table 7.5.5-5 as appropriate (Case 1) and frequency below the wanted signal, using a modulated interferer bandwidth as defined in Annex [TBD].
- 5. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.

- 6. Repeat steps from 3 to 5, using an interfering signal above the wanted signal in Case 1 at step 4.
- 7. Set the Downlink signal level to the value as defined in Table 7.5.5-3 or Table 7.5.5-6 as appropriate (Case 2). Send Uplink power control commands to the UE (less or equal to 1dB step size should be used), to ensure that the UE output power is within  $\pm 0.7$  dB of the target power level in Table 7.5.5-3 or Table 7.5.5-6 for carrier frequency  $f \le 3$  GHz and  $BW \le 40$  MHz, or within  $\pm 1.0$  dB of the target power level for carrier frequency  $f \le 3$  GHz and  $f \le 40$  MHz or within  $f \le 40$  GHz of the target power level for carrier frequency  $f \le 40$  GHz, for at least the duration of the Throughput measurement.
- 8. Set the Interferer signal level to the value as defined in Table 7.5.5-3 or Table 7.5.5-6 as appropriate (Case 2) and frequency below the wanted signal, using a modulated interferer bandwidth as defined in Annex [TBD].
- 9. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.
- 10. Repeat steps from 7 to 9, using an interfering signal above the wanted signal in Case 2 at step 8.
- 11. Repeat for applicable channel bandwidths and operating band combinations in both Case 1 and Case 2.

#### 7.5.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with DFT-s-OFDM condition in Table 4.6.3-89 PUSCH-Config.

### 7.5.5 Test requirement

For NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz, the throughput measurement derived in test procedure shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in [Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1)] with parameters specified in Tables 7.5.5-2 and 7.5.5-3.

Table 7.5.5-1: ACS for NR bands with FDL high < 2700 MHz and FUL high < 2700 MHz

RX parameter	Units	Channel bandwidth							
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz			
ACS	dB	[33]	[33]	[30]	[27]	[26]			
RX parameter	Units	Channel bandwidth							
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz			
ACS	dB	[25.5]	[24]	[23]	[22.5]	[21]			
RX parameter	Units		Cha	annel bandw	idth				
		90 MHz	100 MHz						
ACS	dB	[20.5]	[20]						

Table 7.5.5-2: Test parameters for NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz, case 1

RX parameter	Units		CI	nannel bandwid	lth	
-		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
Power in transmission bandwidth configuration	dBm		R	EFSENS + 14 d	В	
Pinterferer	dBm	REFSENS + [45.5] dB	REFSENS + [45.5] dB	REFSENS + [42.5] dB	REFSENS + [39.5] dB	REFSENS + [38.5] dB
BWinterferer	MHz	5	5	5	5	5
Finterferer (offset)	MHz	5 / -5	7.5 / -7.5	10 / -10	12.5 / -12.5	15 / -15
RX parameter	Units			nannel bandwid		
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm		R	EFSENS + 14 d	В	
Pinterferer	dBm	REFSENS + [38] dB	REFSENS + [36.5] dB	REFSENS + [35.5] dB	REFSENS + [35] dB	REFSENS + [33.5] dB
BWinterferer	MHz	5	5	5	5	5
Finterferer (offset)	MHz	17.5 / -17.5	22.5 / -22.5	27.5 / -27.5	32.5 / -32.5	42.5 / -42.5
RX parameter	Units		CI	nannel bandwid	th	
		90 MHz	100 MHz			
Power in transmission bandwidth configuration	dBm	REFSEN	S + 14 dB			
Pinterferer	dBm	REFSENS + [33] dB	REFSENS + [32.5] dB			
BW <sub>interferer</sub>	MHz	5	5			
Finterferer (offset)	MHz	47.5 / -47.5	52.5 / -52.5			

NOTE 1: The transmitter shall be set to 4dB below [...].

NOTE 2: The absolute value of the interferer offset  $F_{interferer}$  (offset) shall be further adjusted to  $(|F_{interferer}|/SCS|+0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz.

The interferer is an NR signal with an SCS equal to that of the wanted signal. NOTE 3: The interferer consists of the NR interferer RMC specified in [...]

Table 7.5.5-3: Test parameters for NR bands with F<sub>DL\_high</sub> < 2700 MHz and F<sub>UL\_high</sub> < 2700 MHz, case 2

RX parameter	Units	Channel bandwidth					
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	
Power in	dBm	[-56.5]	[-56.5]	[-53.5]	[-50.5]	[-49.5]	
transmission							
bandwidth							
configuration							
Pinterferer	dBm			-25			
BWinterferer	MHz	5	5	5	5	5	
Finterferer (offset)	MHz	5	7.5	10	12.5	15	
		/	/	/	/	/	
		-5	-7.5	-10	-12.5	-15	
RX parameter	Units			hannel bandwid			
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	
Power in	dBm	[-49]	[-47]	[-46.5]	[-46]	[-44.5]	
transmission							
bandwidth							
configuration							
Pinterferer	dBm			-25			
BW <sub>interferer</sub>	MHz	5	5	5	5	5	
Finterferer (offset)	MHz	17.5	22.5	27.5	32.5	42.5	
		/	/	/	/	/	
		-17.5	-22.5	-27.5	-32.5	-42.5	
RX parameter	Units			hannel bandwid	lth		
		90 MHz	100 MHz				
Power in	dBm						
transmission		[ 44]	[-43.5]				
bandwidth		[-44]	[-43.3]				
configuration							
Pinterferer	dBm	-	25				
BWinterferer	MHz	5	5				
Finterferer (offset)	MHz	47.5	52.5				
,		/	/				
		-47.5	-52.5				

NOTE 1: The transmitter shall be set to 24 dB below [...].

NOTE 2: The absolute value of the interferer offset  $F_{\text{interferer}}$  (offset) shall be further adjusted to  $([F_{\text{interferer}} \mid /SCS \mid + 0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz.

The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 3: The interferer consists of the RMC specified in [...]

For NR bands with  $F_{DL\_high}$  < 3300 MHz and  $F_{UL\_high}$  < 3300 MHz, the throughput measurement derived in test procedure shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in [Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1)] with parameters specified in Tables 7.5.5-5 and 7.5.5-6.

Table 7.5.5-4: ACS for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

RX parameter	Units	Channel bandwidth				
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
ACS	dB	[33]	[33]	[33]	[33]	[33]
RX parameter	Units		Cha	nnel bandw	idth	
		60 MHz	80 MHz	90 MHz	100 MHz	
ACS	dB	[33]	[33]	[33]	[33]	

Table 7.5.5-5: Test parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz, case 1

RX parameter	Units	Channel bandwidth				
-		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB				
Pinterferer	dBm		RE	FSENS + [45.5]	dB	
BWinterferer	MHz	10	15	20	40	50
Finterferer (offset)	MHz	10 / -10	15 / -15	20 / -20	40 / -40	50 / -50
RX parameter	Units		CI	hannel bandwid	th	
-		60 MHz	80 MHz	90 MHz	100 MHz	
Power in transmission bandwidth configuration	dBm		REFSEN	S + 14 dB		
Pinterferer	dBm	REFSENS + [45.5] dB	REFSENS + [45.5] dB	REFSENS + [45.5] dB	REFSENS + [45.5] dB	
BW <sub>interferer</sub>	MHz	60	80	90	100	
F <sub>interferer</sub> (offset)	MHz	60 /	80 /	90 /	100 /	
NOTE 4 TI 1	200	-60	-80	-90	-100	

NOTE 1: The transmitter shall be set to 4dB below [...].

NOTE 2: The absolute value of the interferer offset Finterferer (offset) shall be further adjusted to  $(|F_{\text{interferer}}|/SCS|+0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz.

The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 3: The interferer consists of the RMC specified in [...]

Table 7.5.5-6: Test parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz, case 2

RX parameter	Units	Channel bandwidth				
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
Power in	dBm					
transmission bandwidth configuration				[-56.5]		
Pinterferer	dBm			-25		
BWinterferer	MHz	10	15	20	40	50
Finterferer (offset)	MHz	10	15	20	40	50
		/	/	/	/	/
		-10	-15	-20	-40	-50
RX parameter	Units		CI	hannel bandwid	dth	
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in transmission bandwidth configuration	dBm		[-50	6.5]		
P <sub>interferer</sub>	dBm	-25	-25	-25	-25	
BW <sub>interferer</sub>	MHz	60	80	90	100	
F <sub>interferer</sub> (offset)	MHz	60	80	90	100	
		/	/	/	/	
NOTE 4	*** 1	-60	-80	-90	-100	

NOTE 1: The transmitter shall be set to 24 dB below [...]. NOTE 2: The absolute value of the interferer offset  $F_{interferer}$  (offset) shall be further adjusted to  $(|F_{interferer}|/SCS|+0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz.

The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 3: The interferer consists of the RMC specified in [...

#### 7.5A Adjacent channel selectivity for CA

**FFS** 

## 7.6 Blocking characteristics

The blocking characteristic is a measure of the receiver's ability to receive a wanted signal at its assigned channel frequency in the presence of an unwanted interferer on frequencies other than those of the spurious response or the adjacent channels, without this unwanted input signal causing a degradation of the performance of the receiver beyond a specified limit. The blocking performance shall apply at all frequencies except those at which a spurious response occurs.

#### 7.6.1 General

**FFS** 

## 7.6.2 Inband Blocking

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- UL power level configuration is TBD in TS 38.101-1 [2].

#### 7.6.2.1 Test purpose

Inband blocking is defined for an unwanted interfering signal falling into the range from 15 MHz below to 15 MHz above the UE receive band, with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz, or into an immediately adjacent frequency range up 3CBW below or above the UE receive band, with  $F_{DL\_high}$  < 3300 MHz and  $F_{UL\_high}$  < 3300 MHz, at which the relative throughput shall meet or exceed the requirement for the specified measurement channel.

#### 7.6.2.2 Test applicability

This test applies to all types of NR UE release 15 and forward.

#### 7.6.2.3 Minimum conformance requirements

For NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz, in-band blocking (IBB) is defined for an unwanted interfering signal falling into the UE receive band or into the first 15 MHz below or above the UE receive band. The throughput of the wanted signal shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL signal as described in Annex A.5) with parameters specified in Table 7.6.2.3-1 and Table 7.6.2.3-2. The said relative throughput shall be met for any SCS specified for the channel bandwidth of the wanted signal. For operating bands with an unpaired DL part (as noted in Table 5.2-1), the requirements only apply for carriers assigned in the paired part.

Table 7.6.2.3-1: In-band blocking parameters for NR bands with FDL\_high < 2700 MHz and FUL\_high < 2700 MHz

RX parameter	Units		CI	hannel bandwid	Channel bandwidth				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz			
Power in	dBm		REFSENS +	channel specific	value below				
transmission	dB	6	6	7	9	10			
bandwidth									
configuration									
BWinterferer	MHz			5					
Floffset, case 1	MHz			7.5					
Floffset, case 2	MHz			12.5					
RX parameter	Units			hannel bandwid					
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz			
Power in	dBm		REFSENS +	channel specific	value below				
transmission	dB	11	12	13	14	15			
bandwidth									
configuration									
BWinterferer	MHz			5					
Floffset, case 1	MHz			7.5					
Floffset, case 2	MHz			12.5					
RX parameter	Units			hannel bandwid	lth				
		90 MHz	100 MHz						
Power in	dBm								
transmission			hannel specific						
bandwidth		value below							
configuration									
	dB	15.5	16						
BWinterferer	MHz		5						
Floffset, case 1	MHz		.5						
Floffset, case 2	MHz	12	2.5						

NOTE 1: The transmitter shall be set to 4dB below P<sub>CMAX\_L,f,c</sub> at the minimum UL configuration specified in Table 7.3.2-3 with P<sub>CMAX\_L,f,c</sub> defined in clause 6.2.4[TBD].

NOTE 2: The interferer consists of the RMC specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1 and set up according to Annex C.3.1.

Table 7.6.2.3-2: In-band blocking for NR bands with  $F_{DL\ high}$  < 2700 MHz and  $F_{UL\ high}$  < 2700 MHz

NR band	Parameter	Unit	Case 1	Case 2	Case 3
	Pinterferer	dBm	-56	-44	-15
n1, n2, n3,	Finterferer (offset)	MHz	-CBW/2 -	≤ -CBW/2 -	
n5, n7, n8,			Floffset, case 1	Floffset, case 2	
n12, n20,			and	and	
n25, n28,			CBW/2 +	≥ CBW/2 +	
n34, n38,			Floffset, case 1	Floffset, case 2	
n39, n40,	Finterferer	MHz		F <sub>DL_low</sub> – 15	
n41, n50,				to	
n51, n66,			NOTE 2	FDL_high + 15	
n70, n74,					
n75, n76					
n71	Finterferer	MHz	NOTE 2	$F_{DL\_low} - 12 \text{ to}$ $F_{DL\_high} + 15$	F <sub>DL_low</sub> – 12

NOTE 1: The absolute value of the interferer offset Finterferer (offset) shall be further adjusted to

 $(|F_{\text{interferer}}|/SCS|+0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 2: For each carrier frequency, the requirement applies for two interferer carrier frequencies: a: -CBW/2 - F<sub>loffset, case 1</sub>; b: CBW/2 + F<sub>loffset, case 1</sub>

For NR bands with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz, in-band blocking (IBB) is defined for an unwanted interfering signal falling into the UE receive band or into an immediately adjacent frequency range up 3CBW below or above the UE receive band with CBW is the bandwidth of the wanted signal. The throughput of the wanted signal shall be  $\ge 95\%$  of the maximum throughput of the reference measurement channels as specified in [Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex

A.5.1.1/A.5.2.1)] with parameters specified in Table 7.6.2.3-3 and Table 7.6.2.3-4. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal.

Table 7.6.2.3-3: In-band blocking parameters for NR bands with F<sub>DL low</sub> ≥ 3300 MHz and F<sub>UL low</sub> ≥ 3300

RX parameter	Units		Channel bandwidth				
-		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz	
Power in	dBm	REFSENS + channel specific value below					
transmission bandwidth configuration	dB			6			
BW <sub>interferer</sub>	MHz	10	15	20	40	50	
Floffset, case 1	MHz	15	22.5	30	60	75	
Floffset, case 2	MHz	25	37.5	50	100	125	
RX parameter	Units		CI	hannel bandwid	lth		
		60 MHz	80 MHz	90 MHz	100 MHz		
Power in	dBm	REF	SENS + channe	l specific value b	elow		
transmission bandwidth configuration	dB		(	3			
BWinterferer	MHz	60	80	90	100		
F <sub>loffset, case 1</sub>	MHz	90	120	135	150		
Floffset, case 2	MHz	150	200	225	250		

NOTE 1: The transmitter shall be set to 4dB below P<sub>CMAX\_L,f,c</sub> at the minimum UL configuration specified in Table 7.3.2-3 with P<sub>CMAX\_L,f,c</sub> defined in clause 6.2.4[TBD].

NOTE 2: The interferer consists of the RMC specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1 and set up according to Annex C.3.1.

Table 7.6.2.3-4: In-band blocking for NR bands with F<sub>DL</sub> low ≥ 3300 MHz and F<sub>UL</sub> low ≥ 3300 MHz

NR band	Parameter	Unit	Case 1	Case 2			
	Pinterferer	dBm	-56	-44			
n77, n78,	Finterferer (offset)	MHz	-CBW/2 -	≤ -CBW/2 -			
n79			Floffset, case 1	Floffset, case 2			
			and	and			
			BW/2 +	≥ CBW/2 +			
			Floffset, case 1	Floffset, case 2			
	Finterferer			F <sub>DL_low</sub> – 3CBW			
			NOTE 2	to			
				FDL_high + 3CBW			
NOTE 1: T	he absolute value o	of the inter	ferer_offset Finterfere	er (offset) shall be			
further adjusted to $(\lceil F_{\text{interferer}} \mid / SCS \rceil + 0.5)SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.							
NOTE 2: F	or each carrier freq	uency, the	e requirement applies	s for two interferer			

carrier frequencies: a: -CBW/2 - Floffset, case 1; b: CBW/2 + Floffset, case 1

NOTE 3: CBW denotes the channel bandwidth of the wanted signal

The normative reference for this requirement is TS 38.101-1 [2] clause 7.6.2.

7.6.2.4 Test description

7.6.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 7.6.2.4.1-1. The details of the uplink and downlink reference measurement channels (RMC) are specified in Annexes A.2 and A.3. Configuration of PDSCH and PDCCH before measurement are specified in Annex C.2.

#### Table 7.6.2.4.1-1: Test Configuration Table

	Default Conditions						
Test Enviro	onment as specified in T	S	Normal				
38.508-1 [5	5] subclause 4.1						
Test Frequ	encies as specified in T	S	Mid range				
	5] subclause 4.3.1						
Test Chan	nel Bandwidths as speci	fied in	Lowest, Mid a	Lowest, Mid and Highest			
TS 38.508	-1 [5] subclause 4.3.1						
Test SCS a	as specified in Table 5.3		Lowest				
		T	est Parameter				
	Downlink Co			Uplink Config	guration		
Test ID	Mod'n	RB	allocation	Mod'n	RB allocation		
1 CP-OFDM QPSK NOTE 1 DFT-s-OFDM QPSK NOTE 1							
NOTE 1:	The specific configuration	n of upl	ink and downli	nk are defined in Table 7.	.3.2.4.1-1.		

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.4.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, C.3.1, and uplink signals according to Annex G.0, G.1, G.2, G.3.1.
- 4. The DL and UL Reference Measurement channels are set according to Table 7.6.2.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message content are defined in clause 7.6.2.4.3.

#### 7.6.2.4.2 Test procedure

- 1. SS transmits PDSCH via PDCCH DCI format [1\_1] for C\_RNTI to transmit the DL RMC according to Table 7.6.2.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 7.6.2.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 3. Set the parameters of the signal generator for an interfering signal below the wanted signal in Case 1 according to Tables 7.6.2.5-1 and 7.6.2.5-2 or Tables 7.6.2.5-3 and 7.6.2.5-4 as appropriate depending on NR band.
- 4. Set the downlink signal level according to the table 7.6.2.5-1 or 7.6.2.5-3 as appropriate. Send uplink power control commands to the UE (less or equal to TBD dB step size should be used), to ensure that the UE output power is within  $\pm$  0.7 dB of the target level in table 7.6.2.5-1 for NR bands with FDL\_high < 2700 MHz and FUL\_high < 2700 MHz for carrier BW  $\leq$  40 MHz, or within  $\pm$  1.0 dB of the target power level for carrier 40 MHz < BW  $\leq$  100 MHz or within  $\pm$  1.0 dB of the target level in table 7.6.2.5-3 for NR bands with FDL\_low  $\geq$  3300 MHz and FUL\_low  $\geq$  3300 MHz, for at least the duration of the throughput measurement.
- 5. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.
- 6. Repeat steps from 3 to 5, using an interfering signal above the wanted signal in Case 1 at step 3.
- 7. Repeat steps from 3 to 6, using interfering signals in Case 2 at step 3 and 6. The ranges of case 2 are covered in steps equal to the interferer bandwidth.

#### 7.6.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with DFT-s-OFDM condition in Table 4.6.3-89 PUSCH-Config.

#### 7.6.1.5 Test requirement

For NR bands with F<sub>DL\_high</sub> < 2700 MHz and F<sub>UL\_high</sub> < 2700 MHz, the throughput measurement derived in test procedure shall be ≥ 95% of the maximum throughput of the reference measurement channels as specified in Annex in Annexes A.2.2, A.2.3 and A.3.2 with parameters specified in Tables 7.6.2.5-1 and 7.6.2.5-2.

Table 7.6.2.5-1: In-band blocking parameters for NR bands with FDL high < 2700 MHz and FUL high < 2700 MHz

RX parameter	Units		CI	nannel bandwid	ith	
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
Power in	dBm		REFSENS +	channel specific	value below	
transmission	dB	6	6	7	9	10
bandwidth						
configuration						
BW <sub>interferer</sub>	MHz			5		
Floffset, case 1	MHz			7.5		
Floffset, case 2	MHz			12.5		
RX parameter	Units		CI	nannel bandwid	ith	
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
Power in	dBm		REFSENS +	channel specific	c value below	
transmission	dB	11	12	13	14	15
bandwidth						
configuration						
BWinterferer	MHz			5		
Floffset, case 1	MHz			7.5		
Floffset, case 2	MHz			12.5		
RX parameter	Units		CI	nannel bandwid	ith	
		90 MHz	100 MHz			
Power in	dBm					
transmission			hannel specific			
bandwidth		value	below			
configuration						
	dB	15.5	16			
BW <sub>interferer</sub>	MHz		5			
Floffset, case 1	MHz		.5			
Floffset, case 2	MHz		2.5			

NOTE 1: The transmitter shall be set to 4dB below [TBD].

NOTE 2: The interferer consists of the RMC specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1 and set up according to Annex C.3.1.

Table 7.6.2.5-2: In-band blocking for NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz

NR band	Parameter	Unit	Case 1	Case 2	Case 3
	Pinterferer	dBm	-56	-44	-15
n1, n2, n3,	Finterferer (offset)	MHz	-CBW/2 -	≤ -CBW/2 -	
n5, n7, n8,			Floffset, case 1	F <sub>loffset, case 2</sub>	
n12, n20,			and	and	
n28, n38,			CBW/2 +	≥ CBW/2 +	
n39, n40,			Floffset, case 1	Floffset, case 2	
n41, n50,	Finterferer	MHz		F <sub>DL_low</sub> - 15	
n51, n66,			NOTE 2	to	
n70, n74,			NOTE 2	F <sub>DL_high</sub> + 15	
n75, n76					
n71	F <sub>interferer</sub>	MHz	NOTE 2	F <sub>DL_low</sub> – 12 to	F <sub>DL_low</sub> – 12
			NOTE 2	F <sub>DL_high</sub> + 15	

NOTE 1: The absolute value of the interferer offset Finterferer (offset) shall be further adjusted to  $(|F_{\text{interferer}}|/SCS|+0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in

MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 2: For each carrier frequency, the requirement applies for two interferer carrier frequencies: a: -CBW/2 - Floffset, case 1; b: CBW/2 + Floffset, case 1

For NR bands with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz, the throughput measurement derived in test procedure shall be  $\ge 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A.2 and A.3 with parameters specified in Tables 7.6.2.5-3 and 7.6.2.5-4.

Table 7.6.2.5-3: In-band blocking parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz
MHz

RX parameter	Units	Channel bandwidth					
•		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz	
Power in	dBm	REFSENS + channel specific value below					
transmission	dB	6					
bandwidth							
configuration							
BWinterferer	MHz	10	15	20	40	50	
Floffset, case 1	MHz	15	22.5	30	60	75	
Floffset, case 2	MHz	25	37.5	50	100	125	
RX parameter	Units	Channel bandwidth					
		60 MHz	80 MHz	90 MHz	100 MHz		
Power in	dBm	REFSENS + channel specific value below					
transmission	dB	6					
bandwidth							
configuration							
BW <sub>interferer</sub>	MHz	60	80	90	100		
Floffset, case 1	MHz	90	120	135	150		
Floffset, case 2	MHz	150	200	225	250		

NOTE 1: The transmitter shall be set to 4dB below [TBD].

NOTE 2: The interferer consists of the RMC specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1 and set up according to Annex C.3.1.

Table 7.6.2.5-4: In-band blocking for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

NR band	Parameter	Unit	Case 1	Case 2				
	Pinterferer	dBm	-56	-44				
n77, n78,	Finterferer (offset)	MHz	-CBW/2 -	≤ -CBW/2 -				
n79			Floffset, case 1	Floffset, case 2				
			and	and				
			BW/2 +	≥ CBW/2 +				
			Floffset, case 1	Floffset, case 2				
	Finterferer			F <sub>DL_low</sub> – 3CBW				
			NOTE 2	to				
				F <sub>DL_high</sub> + 3CBW				
	NOTE 1: The absolute value of the interferer offset Finterferer (offset) shall be							
further adjusted to $(F_{\text{interferer}}   / SCS   + 0.5)SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an								
NR signal with an SCS equal to that of the wanted signal.								
NOTE 2: For each carrier frequency, the requirement applies for two interferer carrier frequencies: a: -CBW/2 - F <sub>loffset, case 1</sub> ; b: CBW/2 + F <sub>loffset, case 1</sub>								

## 7.6.3 Out-of-band blocking

#### 7.6.3.1 Test Purpose

Out-of-band band blocking is defined for an unwanted CW interfering signal falling outside a frequency range 15 MHz below or above the UE receive band, with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, or falling outside a frequency range up to 3CBW below or from 3CBW above the UE receive band, with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz, at which a given average throughput shall meet or exceed the requirement for the specified measurement channels.

NOTE 3: CBW denotes the channel bandwidth of the wanted signal

### 7.6.3.2 Test Applicability

This test applies to all types of NR UE release 15 and forward.

#### Minimum Conformance Requirements 7.6.3.3

For NR bands with F<sub>DL\_high</sub> < 2700 MHz and F<sub>UL\_high</sub> < 2700 MHz out-of-band band blocking is defined for an unwanted CW interfering signal falling outside a frequency range 15 MHz below or above the UE receive band. The throughput of the wanted signal shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.6.3-1 and Table 7.6.3-2. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal. For operating bands with an unpaired DL part (as noted in Table 5.5-1), the requirements only apply for carriers assigned in the paired part.

Table 7.6.3-1: Out-of-band blocking parameters for NR bands with FDL\_high < 2700 MHz and FUL\_high < 2700 MHz

DV nonemater	l linita	Channel bandwidth					
RX parameter	Units	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	
Power in	dBm	REFSENS + channel specific value below					
transmission bandwidth configuration	dB	6	6	7	9	10	
DV noremeter	Units	Channel bandwidth					
RX parameter	Units	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	
Power in transmission							
bandwidth configuration	dB	11	12	13	14	15	
DV narameter	Units	Channel bandwidth					
RX parameter	Ullits	90 MHz	100 MHz				
Power in	dBm	REFSENS + cl	hannel specific				
transmission		value	below				
bandwidth							
configuration	dB	15.5	16				
NOTE: The transmitter shall be set to 4dB below P <sub>CMAX_L,f,c</sub> at the minimum UL configuration specified in Table 7.3.2-3 with P <sub>CMAX_L,f,c</sub> defined in clause 6.2.4.							

Table 7.6.3-2: Out of-band blocking for NR bands with F<sub>DL\_high</sub> < 2700 MHz and F<sub>UL\_high</sub> < 2700 MHz

NR band	Parameter	Unit	Range 1	Range 2	Range 3			
n1, n2, n3,	Pinterferer	dBm	-44	-30	-15			
n5, n7, n8,	Finterferer (CW)	MHz						
n12, n20,								
n25, n28,					1 ≤ f ≤ F <sub>DL low</sub> – 85			
n34, n38,			$-60 < f - F_{DL_{low}} < -15$	$-85 < f - F_{DL_{low}} \le -60$	= -			
n39, n40,			or	or	or F <sub>DL high</sub> + 85 ≤ f			
n41, n51,			$15 < f - F_{DL\_high} < 60$	$60 \le f - F_{DL\_high} < 85$	FDL_nign + 65 ≤ 1 ≤ 12750			
n66, n70,					≥ 12750			
n71, n75,								
n76								
NOTE: The power level of the interferer (PInterferer) for Range 3 shall be modified to -20 dBm for FInterferer >								
note. The power level of the interferer (Fintenerer) for Range 3 shall be mounted to -20 dbirt for Fintenerer >								

6000 MHz

For interferer frequencies across ranges 1, 2 and 3 in Table 7.6.3-2, a maximum of

$$\left| \max \left\{ 24,6 \cdot \left\lceil n \cdot N_{RR} / 6 \right\rceil \right\} / \min \left\{ \left\lceil n \cdot N_{RR} / 10 \right\rceil, 5 \right\} \right|$$

exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a step size of min(|CBW/2|,5) MHz with  $N_{RR}$  the number of resource blocks in the downlink transmission bandwidth configuration, CBW the bandwidth of the frequency channel in MHz and n = 1,2,3 for SCS = 15,30,60 kHz, respectively. For these exceptions, the requirements in sub-clause 7.7 apply.

For NR bands with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz out-of-band band blocking is defined for an unwanted CW interfering signal falling outside a frequency range up to 3CBW below or from 3CBW above the UE receive band, where CBW is the channel bandwidth. The throughput of the wanted signal shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.6.3-3 and Table 7.6.3-4. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal.

Table 7.6.3-3: Out-of-band blocking parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

RX parameter	Units	Channel bandwidth					
-		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz	
Power in	dBm		REFSENS + channel specific value below				
transmission bandwidth configuration	dB	6	7	9	9	9	
RX parameter	Units	Channel bandwidth					
-		60 MHz	80 MHz	90 MHz	100 MHz		
Power in	dBm	REFSENS + channel specific value below					
transmission bandwidth configuration	dB	9	9	9	9		

NOTE: The transmitter shall be set to 4dB below P<sub>CMAX\_L,f,c</sub> at the minimum UL configuration specified in Table 7.3.2-3 with P<sub>CMAX\_L,f,c</sub> defined in clause 6.2.4.

Table 7.6.3-4: Out of-band blocking for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

NR band	Parameter	Unit	Range1	Range 2	Range 3
n77, n78	Pinterferer	dBm	-44	-30	-15
(NOTE 3)	Finterferer (CW)	MHz	$\begin{array}{c} -60 < f - F_{DL\_low} \leq \\ -3CBW \\ or \\ 3CBW \leq f - F_{DL\_high} < \\ 60 \end{array}$	$\begin{array}{l} -200 < f - F_{DL\_low} \leq \\ -MAX(60,3CBW) \\ or \\ MAX(60,3CBW) \leq f - \\ F_{DL\_high} < 200 \end{array}$	$1 \le f \le F_{DL\_low} - \\ MAX(200,3CBW) \\ or \\ F_{DL\_high} \\ + MAX(200,3CBW) \\ \le f \le 12750$
n79 (NOTE 4)	Finterferer (CW)	MHz	N/A	$\begin{array}{c} -150 < f - F_{DL\_low} \leq \\ -MAX(60,3CBW) \\ or \\ MAX(60,3CBW) \leq f - \\ F_{DL\_high} < 150 \end{array}$	$1 \le f \le F_{DL\_low} - MAX(150,3CBW)$ or $F_{DL\_high} + MAX(150,3CBW)$ $\le f \le 12750$

- NOTE 1: The power level of the interferer (P<sub>Interferer</sub>) for Range 3 shall be modified to -20 dBm for F<sub>Interferer</sub> > 6000 MHz.
- NOTE 2: CBW denotes the channel bandwidth of the wanted signal
- NOTE 3: The power level of the interferer (P<sub>Interferer</sub>) for Range 3 shall be modified to -20 dBm, for F<sub>Interferer</sub> > 2700 MHz and F<sub>Interferer</sub> < 4800 MHz. For CBW > 15 MHz, the requirement for Range 1 is not applicable and Range 2 applies from the frequency offset of 3CBW from the band edge. For CBW larger than 60 MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.
- NOTE 4: The power level of the interferer (P<sub>Interferer</sub>) for Range 3 shall be modified to -20 dBm, for F<sub>Interferer</sub> > 3650 MHz and F<sub>Interferer</sub> < 5750 MHz. For CBW ≥ 40 MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.

For interferer frequencies across ranges 1, 2 and 3 in Table 7.6.3-4, a maximum of

$$|\max\{24,6\cdot \lceil n\cdot N_{RR} / 6 \rceil\}/\min\{|n\cdot N_{RR} / 10 | 5\}|$$

exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a step size of  $\min(\lfloor CBW/2 \rfloor, 5)$  MHz with  $N_{RB}$  the number of resource blocks in the downlink transmission bandwidth configuration, CBW the bandwidth of the frequency channel in MHz and n = 1,2,3 for SCS = 15, 30, 60 kHz, respectively. For these exceptions, the requirements in sub-clause 7.7 apply.

The normative reference for this requirement is TS 38.101-1 [2] clause 7.6.3.

### 7.6.3.4 Test Description

#### 7.6.3.4.1 Initial Conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, and channel bandwidths based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, and are shown in table 7.6.3.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3 respectively. The details of the OCNG patterns used are specified in Annex A.5. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.3.

Table 7.6.3.4.1-1: Test Configuration Table

		De	fault Conditio	ns				
Test Enviro	Test Environment as specified in TS							
38.508-1 [5	38.508-1 [5] subclause 4.1							
	Test Frequencies as specified in TS			cy chosen arbitrarily from	low or high range			
38.508-1 [5	i] subclause 4.3.1							
Test Chann	Test Channel Bandwidths as specified in			and Highest				
TS 38.508-	·1 [5] subclause 4.3.1							
Test SCS a	as specified in TS 38.50	8-1 [5]	Lowest	Lowest				
subclause -	4.3.1							
		Т	est Parameter	's				
	Downlink Co	nfigura	tion	Uplink Config	guration			
Test ID	Mod'n	RB	allocation	Mod'n	RB allocation			
1	CP-OFDM QPSK	1	NOTE 1	DFT-s-OFDM QPSK	NOTE 1			
NOTE 1:	The specific configuration	n of upl	ink and downli	nk are defined in Table 7.	.3.2.4.1-1.			

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508 [5] Annex A, in Figure A.3.1.4.1 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.3.1 and TS 38.508-1 [5] subclause 5.2.1.1.1, and uplink signals according to Annex [TBD].
- 4. The UL and DL Reference Measurement channels are set according to Table 7.6.3.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity *NR*, Connected without release *On* according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 7.6.3.4.3.

#### 7.6.3.4.2 Test Procedure

- 1. SS transmits PDSCH via PDCCH DCI format [1\_1] for C\_RNTI to transmit the DL RMC according to Table 7.6.3.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 7.6.3.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 3. Set the parameters of the CW signal generator for an interfering signal below the wanted signal according to Table 7.6.3.5-2 or 7.6.3.5-4. The frequency step size is  $\min(|CBW/2|_5)$  MHz.
- 4. Set the downlink signal level according to the table 7.6.3.5-1 or 7.6.3.5-3. Send uplink power control commands to the UE (less or equal to 1 dB step size should be used), to ensure that the UE output power is within 4dB below  $P_{CMAX\_L,f,c}$  of the target level in table 7.6.3.5-1 for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz or within 4dB below  $P_{CMAX\_L,f,c}$  dB of the target level in table 7.6.2.5-3 for NR bands with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz, for at least the duration of the throughput measurement.

- Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.
- 6. Record the frequencies for which the throughput doesn't meet the requirements.
- 7. Repeat steps from 3 to 6, using an interfering signal above the wanted signal at step 3.

### 7.6.3.4.3 Message Contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

### 7.6.3.5 Test Requirement

For NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz, the throughput measurement derived in test procedure shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annex A.3.2 with parameters specified in Tables 7.6.3.5-1 and 7.6.3.5-2.

For NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, the number of spurious response frequencies recorded in the final step of test procedure shall not exceed  $\lfloor \max\{24,6 \cdot \lceil n \cdot N_{RB} / 6 \rceil\} / \min\{\lfloor n \cdot N_{RB} / 10 \rfloor, 5\} \rfloor$  in each assigned frequency channel when measured using a  $\min(\lfloor CBW / 2 \rfloor, 5)$  MHz step size. For these exceptions the requirements of clause 7.7 Spurious Response are applicable.

Table 7.6.3.5-1: Out-of-band blocking parameters for NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz

DV noremeter	Units		CI	nannel bandwid	ith			
RX parameter	Units	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz		
Power in	dBm		REFSENS +	channel specific	value below			
transmission bandwidth configuration	dB	6	6	7	9	10		
DV noromotor	Units		CI	nannel bandwid	İth			
RX parameter	Units	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz		
Power in transmission	dBm	REFSENS + channel specific value below						
bandwidth configuration	dB	11	12	13	14	15		
DV narameter	Units		CI	nannel bandwid	lth			
RX parameter	Ullits	90 MHz	100 MHz					
Power in	dBm	REFSENS + c	hannel specific					
transmission		value	below					
bandwidth								
configuration	dB	15.5	16					
NOTE: The tra	ansmitter sh	nall be set to 4dB	below	•		•		

Table 7.6.3.5-2: Out of-band blocking for NR bands with F<sub>DL\_high</sub> < 2700 MHz and F<sub>UL\_high</sub> < 2700 MHz

NR band	Parameter	Unit	Range 1	Range 2	Range 3
n1, n2, n3,	Pinterferer	dBm	-44	-30	-15
n5, n7, n8,	Finterferer (CW)	MHz			
n12, n20,					
n25, n28,					1 ≤ f ≤ F <sub>DL low</sub> – 85
n34, n38,			$-60 < f - F_{DL_{low}} < -15$	$-85 < f - F_{DL_{low}} \le -60$	= -
n39, n40,			or	or	Or E 95 < f
n41, n51,			$15 < f - F_{DL\_high} < 60$	$60 \le f - F_{DL\_high} < 85$	F <sub>DL_high</sub> + 85 ≤ f ≤ 12750
n66, n70,					≥ 12730
n71, n75,					
n76					
NOTE: Th	ne power level of the	ne interfere	er (P <sub>Interferer</sub> ) for Range 3	shall be modified to -20 of	dBm for F <sub>Interferer</sub> >
60	000 MHz.				

For NR bands with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz, the throughput measurement derived in test procedure shall be  $\ge 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex 3.2 with parameters specified in Tables 7.6.3.5-3 and 7.6.3.5-4.

For NR bands with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz, the number of spurious response frequencies recorded in the final step of test procedure shall not exceed  $\left[\max\left\{24,6\cdot\left\lceil n\cdot N_{RB} \mid 6\right\rceil\right\}\right]/\min\left[\left\lceil n\cdot N_{RB} \mid 10\right\rfloor,5\right\}\right]$  in each assigned frequency channel when measured using a  $\min(\left\lfloor CBW \mid 2\right\rfloor,5)$  MHz step size. For these exceptions the requirements of clause 7.7 Spurious Response are applicable.

Table 7.6.3.5-3: Out-of-band blocking parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

RX parameter	Units		CI	hannel bandwid	lth						
-		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz					
Power in	dBm		REFSENS +	channel specific	c value below						
transmission bandwidth configuration	dB	6	7	9	9	9					
RX parameter	Units		Channel bandwidth								
		60 MHz	80 MHz	90 MHz	100 MHz						
Power in	dBm	REF	SENS + channe	l specific value b	elow						
transmission bandwidth configuration	dB	9	9	9	9						
NOTE: The tra	ansmitter sh	all be set to 4dB	below								

Table 7.6.3.5-4: Out of-band blocking for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

NR band	Parameter	Unit	Range1	Range 2	Range 3
n77, n78	P <sub>interferer</sub>	dBm	-44	-30	-15
(NOTE 3)	Finterferer (CW)	MHz	$\begin{array}{c} -60 < f - F_{DL\_low} \leq \\ -3CBW \\ or \\ 3CBW \leq f - F_{DL\_high} < \\ 60 \end{array}$	$\begin{array}{l} -200 < f - F_{DL\_low} \leq \\ -MAX(60,3CBW) \\ or \\ MAX(60,3CBW) \leq f - \\ F_{DL\_high} < 200 \end{array}$	$1 \le f \le F_{DL\_low} - \\ MAX(200,3CBW) \\ or \\ F_{DL\_high} \\ + MAX(200,3CBW) \\ \le f \le 12750$
n79 (NOTE 4)	Finterferer (CW)	MHz	N/A	$\begin{array}{l} -150 < f - F_{DL\_low} \leq \\ -MAX(60,3CBW) \\ \text{or} \\ MAX(60,3CBW) \leq f - \\ F_{DL\_high} < 150 \end{array}$	$1 \le f \le F_{DL\_low} - MAX(150,3CBW)$ or $F_{DL\_high} + MAX(150,3CBW)$ $\le f \le 12750$

NOTE 1: The power level of the interferer (P<sub>Interferer</sub>) for Range 3 shall be modified to -20 dBm for F<sub>Interferer</sub> > 6000 MHz.

# 7.6.4 Narrow band blocking

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- UL power level configuration is TBD in RAN 4 38.101-1.

NOTE 2: CBW denotes the channel bandwidth of the wanted signal

NOTE 3: The power level of the interferer (P<sub>Interferer</sub>) for Range 3 shall be modified to -20 dBm, for F<sub>Interferer</sub> > 2700 MHz and F<sub>Interferer</sub> < 4800 MHz. For CBW > 15 MHz, the requirement for Range 1 is not applicable and Range 2 applies from the frequency offset of 3CBW from the band edge. For CBW larger than 60 MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.

NOTE 4: The power level of the interferer (P<sub>Interferer</sub>) for Range 3 shall be modified to -20 dBm, for F<sub>Interferer</sub> > 3650 MHz and F<sub>Interferer</sub> < 5750 MHz. For CBW ≥ 40 MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.

# 7.6A Blocking characteristics for CA

7.6A.1 General

7.6A.2 Inband blocking for CA

7.6A.2.1 Intra-band contiguous CA

**FFS** 

7.6A.3 Out-of-band blocking for CA

7.6A.3.1 Intra-band contiguous CA

**FFS** 

7.6A.4 Narrow band blocking for CA

# 7.7 Spurious response

**FFS** 

# 7.7D Spurious response for UL-MIMO

### Editor's note:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- Uplink configuration is pending on RAN4. DFT-s-OFDM is disabled in case of UL\_MIMO rank > 1.
- Initial conditions is incomplete: Test points incomplete
- TT analysis is incomplete.
- Message Contents is FFS.
- Clause [7.6D.3] Out-of-band blocking for UL-MIMO is FFS.
- Clause [7.7.3] Minimum Conformance Requirements is FFS.
- Clause [6.2.1D] UE maximum output power for UL-MIMO is FFS.
- Clause [7.6D.3.1] Initial Conditions for Out-of-band blocking for UL-MIMO is FFS.
- Clause [7.6D.3.2] Test Procedure for Out-of-band blocking for UL-MIMO is FFS.

#### 7.7D.1 Test Purpose

Spurious response verifies the ability of the UE that support UL-MIMO to receive a wanted signal on its assigned channel frequency without exceeding a given degradation due to the presence of an unwanted CW interfering signal at any other frequency at which a response is obtained i.e. for which the out of band blocking for UL-MIMO limit as specified in sub-clause [7.6D.3] is not met.

The lack of the spurious response ability decreases the coverage area when other unwanted interfering signal exists at any other frequency.

### 7.7D.2 Test Applicability

This test applies to all types of NR UE release 15 and forward that support UL-MIMO.

### 7.7D.3 Minimum Conformance Requirements

For UE with two transmitter antenna connectors in closed-loop spatial multiplexing scheme, the minimum requirements in Clause [7.7.3] shall be met with the UL-MIMO configurations specified in Table [FFS] in Clause [6.2.1D] UE

maximum output power for UL-MIMO. For UL-MIMO, the parameter Pcmax\_L is defined as the total transmitter power over the two transmitter antenna connectors.

The normative reference for this requirement is TS 38.101-1 [2] clause 7.7D.

7.7D.4 Test Description

7.7D.4.1 Initial Conditions

The initial conditions shall be the same as in clause [7.6D.3.1] in order to test spurious responses obtained in clause [7.6D.3] under the same conditions.

### 7.7D.4.2 Test Procedure

- 1. SS transmits PDSCH via PDCCH DCI format 1\_1 for C\_RNTI to transmit the DL RMC according to Test Configuration Table [FFS] in Clause [7.6D.3]. The SS sends downlink MAC padding bits on the DL RMC.
- 2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Test Configuration Table [FFS] in Clause [7.6D.3]. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 3. Set the parameters of the CW signal generator for an interfering signal according to Table 7.7D.5-2. The spurious frequencies are taken from records in the final step of test procedures in clause [7.6D.3.2].
- 4. Set the downlink signal level according to the table 7.7D.5-1. Send uplink power control commands to the UE (less or equal to 1dB step size should be used), to ensure that the UE output power is within +0, 3.4 dB of the target level in table 7.7D.5-1 for carrier frequency  $f \le 3.0 GHz$  or within +0, -4.0 dB of the target level for carrier frequency  $3.0 GHz < f \le 4.2 GHz$ , for at least the duration of the throughput measurement.
- 5. For the spurious frequency, measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.2.

### 7.7D.4.3 Message Contents

Message contents are according to TS 38.508-1 [5] clause 4.6 with the following exception.

Table 7.7D.4.3-1: UplinkPowerControlDedicated

Derivation Path: 38.331 clause 6.3.2			
Information Element	Value/remark	Comment	Condition
UplinkPowerControlDedicated-DEFAULT ::=			
SEQUENCE {			
p0-UePUSCH	0		
deltaMCS-Enabled	en0		
accumulationEnabled	TRUE		
p0-uePUCCH	0		
pSRS-Offset	3 (-6 dB)		
filterCoefficient	fc8	larger filter length is	
		used to reduce the	
		RSRP	
		measurement	
		variation	
}			

### 7.7D.5 Test Requirement

The throughput measurement derived in test procedure shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A.3 with parameters specified in Tables 7.7D.5-1 and 7.7D.5-2.

Table 7.7D.5-1: Spurious response parameters

RX parameter	Units		CI	nannel bandwid	lth	
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
Power in	dBm		REFSENS +	channel specific	value below	
transmission bandwidth configuration	dB	6	7	9	9	9
RX parameter	Units		CI	nannel bandwid	lth	
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in	dBm	REF	SENS + channe	specific value b	elow	
transmission bandwidth configuration	dB	9	9	9	9	

Note 1: The transmitter shall be set to 4dB below P<sub>CMAX\_L</sub> with P<sub>CMAX\_L</sub> as defined in clause 6.2.4.

Note 2: The reference measurement channel is specified in Annex A.3 with one sided dynamic OCNG

Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1.

Table 7.7D.5-2: Spurious Response

Parameter	Unit	Level			
P <sub>Interferer</sub> (CW)	dBm	-44			
F <sub>Interferer</sub>	MHz	Spurious response frequencies			

# 7.8 Intermodulation characteristics

### 7.8.1 General

Intermodulation response rejection is a measure of the capability of the receiver to receiver a wanted signal on its assigned channel frequency in the presence of two or more interfering signals which have a specific frequency relationship to the wanted signal

## 7.8.2 Wide band Intermodulation

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1[5] subclause 4.6 is TBD
- Modulated interferer details are TBD in 38.101-1
- UL Power window is TBD
- MU and TT missing in Annex F

# 7.8.2.1 Test purpose

Intermodulation response tests the UE's ability to receive data with a given average throughput for a specified reference measurement channel, in the presence of two or more interfering signals which have a specific frequency relationship to the wanted signal, under conditions of ideal propagation and no added noise.

A UE unable to meet the throughput requirement under these conditions will decrease the coverage area when two or more interfering signals exist which have a specific frequency relationship to the wanted signal.

## 7.8.2.2 Test applicability

This test applies to all types of NR UE release 15 and forward.

### 7.8.2.3 Minimum conformance requirements

The wide band intermodulation requirement is defined using a CW carrier and modulated NR signal as interferer 1 and interferer 2 respectively.

The throughput shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.8.2-1 for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz and Table 7.8.2-2 for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal. For operating bands with an unpaired DL part (as noted in Table 5.5-1), the requirements only apply for carriers assigned in the paired part.

Table 7.8.2-1: Wide band intermodulation parameters for NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz

Rx parameter	Units					С	hannel b	andwidt	h				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
P <sub>w</sub> in					REFSE	NS + ch	annel bar	ndwidth s	pecific va	lue belo	W		
Transmission Bandwidth Configuration, per CC	dBm	6	6	7	9	10	11	12	13	14	15	15	16
P <sub>Interferer 1</sub> (CW)	dBm		-46										
P <sub>Interferer 2</sub> (Modulated)	dBm							-46					
BW <sub>Interferer 2</sub>	MHz							5					
F <sub>Interferer 1</sub> (Offset)	MHz		-BW/2 - 7.5 / +BW/2 + 7.5										
F <sub>Interferer 2</sub> (Offset)	MHz						2	*FInterferer	1				

NOTE 1: The transmitter shall be set to 4dB below P<sub>CMAX\_L,f,c</sub> at the minimum UL configuration specified in Table 7.3.2-3 with P<sub>CMAX\_L,f,c</sub> defined in clause 6.2.4.

NOTE 2: Reference measurement channel is TBD.

NOTE 3: The modulated interferer consists of the Reference measurement channel specified in TBD.

NOTE 4: The Finterferer 1 (offset) is the frequency separation of the centre frequency of the carrier closest to the interferer and the centre frequency of the CW interferer and Finterferer 2 (offset) is the frequency separation of the centre frequency of the carrier closest to the interferer and the centre frequency of the modulated interferer.

Table 7.8.2-2: Wide band intermodulation parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

Dv	Unit				Channe	l bandwidth					
Rx parameter	S	10 MHz	20 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz		
P <sub>w</sub> in Transmission Bandwidth Configuration , per CC	dBm					REFSENS	+ 6				
P <sub>Interferer 1</sub> (CW)	dBm			-46							
P <sub>Interferer 2</sub> (Modulated)	dBm					-46					
BW <sub>Interferer 2</sub>	MHz					BW					
F <sub>Interferer 1</sub> (Offset)	MHz			-2BW / +2BW							
F <sub>Interferer 2</sub> (Offset)	MHz					2*FInterferer	· 1				

NOTE 1: The transmitter shall be set to 4dB below P<sub>CMAX\_L,f,c</sub> at the minimum UL configuration specified in Table 7.3.2-3 with P<sub>CMAX\_L,f,c</sub> defined in clause 6.2.4.

NOTE 2: Reference measurement channel is TBD.

NOTE 3: The modulated interferer consists of the Reference measurement channel specified in TBD.

NOTE 4: The F<sub>interferer 1</sub> (offset) is the frequency separation of the centre frequency of the carrier closest to the interferer and the centre frequency of the CW interferer and F<sub>interferer 2</sub> (offset) is the frequency separation of the centre frequency of the carrier closest to the interferer and the centre frequency of the modulated

interferer.

The normative reference for this requirement is TS 38.101-1 [2] clause 7.8.2.

7.8.2.4 Test description

7.8.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 7.8.2.4.1-1. The details of the uplink and downlink reference measurement channels (RMC) are specified in Annexes A.2 and A.3. Configuration of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 7.8.2.4.1-1: Test Configuration Table

	Default Conditions								
Test Enviro	Test Environment as specified in TS								
38.508-1 [5	5] subclause 4.1								
	encies as specified in T	S	Mid range						
38.508-1 [	5] subclause 4.3.1								
Test Chan	Test Channel Bandwidths as specified in			and Highest					
TS 38.508	TS 38.508-1 [5] subclause 4.3.1								
Test SCS a	as specified in Table 5.3	.5-1	Highest						
		Т	est Parameter	rs					
	Downlink Co	nfigura	ition	Uplink Config	guration				
Test ID	Mod'n	RB	allocation	Mod'n	RB allocation				
1	CP-OFDM QPSK	1	NOTE 1 DFT-s-OFDM QPSK NOTE						
NOTE 1:	The specific configuration	n of upl	link and downli	nk are defined in Table 7.	.3.2.4.1-1.				

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.4.3 for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.

- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, C.3.1, and uplink signals according to Annex G.0, G.1, G.2, G.3.1.
- 4. The DL and UL Reference Measurement channels are set according to Table 7.5.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message content are defined in clause 7.5.4.3.

### 7.8.2.4.2 Test procedure

- 1. SS transmits PDSCH via PDCCH DCI format 1\_1 for C\_RNTI to transmit the DL RMC according to Table 7.8.2.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 7.8.2.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 3. Set the Downlink signal level to the value as defined in Table 7.8.2.5-1. Send Uplink power control commands to the UE (less or equal to 1dB step size should be used), to ensure that the UE output power is within [TBD] dB of the target level in Table 7.8.2.5-1
- 4. Set the Interfering signal levels to the values as defined in Table 7.8.2.5-1 and frequency below the wanted signal, using a modulated interferer bandwidth as defined in [TBD] of the present document.
- 5. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex G.2.
- 6. Repeat steps from 3 to 5, using an interfering signal above the wanted signal at step 4.

## 7.8.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with DFT-s-OFDM condition in Table 4.6.3-89 PUSCH-Config.

## 7.8.2.5 Test requirement

The throughput shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annex A.3.2 with parameters specified in Table 7.8.2.5-1 for the specified wanted signal mean power in the presence of two interfering signals.

Table 7.8.2.5-1: Wide band intermodulation parameters for NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz

Rx parameter	Units					С	hannel b	andwidtl	h				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
P <sub>w</sub> in					REFSE	NS + ch	annel bar	idwidth s	pecific va	lue belo	W		
Transmission Bandwidth Configuration, per CC	dBm	6+TT	6+TT	7+T T	9+TT	10+T T	11+T T	12+T T	13+T T	14+ TT	15+ TT	15+TT	16+TT
P <sub>Interferer 1</sub> (CW)	dBm		-46+TT										
P <sub>Interferer 2</sub> (Modulated)	dBm							-46+TT					
BWInterferer 2	MHz							5					
F <sub>Interferer 1</sub> (Offset)	MHz		-BW/2 - 7.5 / +BW/2 + 7.5										
F <sub>Interferer 2</sub> (Offset)	MHz						2°	*FInterferer	I				

- NOTE 1: The transmitter shall be set to 4dB below P<sub>CMAX\_L,f,c</sub> at the minimum UL configuration specified in Table 7.3.2-3 with P<sub>CMAX\_L,f,c</sub> defined in clause 6.2.4.
- NOTE 2: Reference measurement channel is TBD.
- NOTE 3: The modulated interferer consists of the Reference measurement channel specified in TBD.
- NOTE 4: The Finterferer 1 (offset) is the frequency separation of the centre frequency of the carrier closest to the interferer and the centre frequency of the CW interferer and Finterferer 2 (offset) is the frequency separation of the centre frequency of the carrier closest to the interferer and the centre frequency of the modulated interferer.

Table 7.8.2-2: Wide band intermodulation parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

Dv	Unit				Channel	bandwidth						
Rx parameter	S	10 MHz	20 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz			
P <sub>w</sub> in Transmission Bandwidth Configuration , per CC	dBm				R	REFSENS +	6+TT					
P <sub>Interferer 1</sub> (CW)	dBm		-46+TT									
P <sub>Interferer 2</sub> (Modulated)	dBm					-46+TT						
BW <sub>Interferer 2</sub>	MHz					BW						
F <sub>Interferer 1</sub> (Offset)	MHz		-2BW / +2BW									
F <sub>Interferer 2</sub> (Offset)	MHz		2*FInterferer 1									

NOTE 1: The transmitter shall be set to 4dB below P<sub>CMAX\_L,f,c</sub> at the minimum UL configuration specified in Table 7.3.2-3 with P<sub>CMAX\_L,f,c</sub> defined in clause 6.2.4.

NOTE 2: Reference measurement channel is TBD.

NOTE 3: The modulated interferer consists of the Reference measurement channel specified in TBD.

NOTE 4: The F<sub>interferer 1</sub> (offset) is the frequency separation of the centre frequency of the carrier closest to the interferer and the centre frequency of the CW interferer and F<sub>interferer 2</sub> (offset) is the frequency separation of the centre frequency of the carrier closest to the interferer and the centre frequency of the modulated interferer.

# 7.9 Spurious emissions

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS

The spurious emissions power is the power of emissions generated or amplified in a receiver that appear at the UE antenna connector.

### 7.9.1 Test purpose

Test verifies the UE's spurious emissions meet the requirements described in clause 7.9.3.

Excess spurious emissions increase the interference to other systems.

## 7.9.2 Test applicability

This test applies to all types of NR UE release 15 and forward.

### 7.9.3 Minimum conformance requirements

The spurious emissions power is the power of emissions generated or amplified in a receiver that appear at the UE antenna connector.

The power of any narrow band CW spurious emission shall not exceed the maximum level specified in Table 7.9.3-1

Table 7.9.3-1: General receiver spurious emission requirements

Frequency range	Measurement bandwidth	Maximum level	NOTE
30 MHz ≤ f < 1 GHz	100 kHz	-57 dBm	
1 GHz ≤ f ≤ 12.75 GHz	1 MHz	-47 dBm	
12.75 GHz $\leq$ f $\leq$ 5 <sup>th</sup> harmonic of the upper frequency edge of the DL operating band in GHz	1 MHz	-47 dBm	2
12.75 GHz – 26 GHz	1 MHz	-47dBm	3

NOTE 1: Unused PDCCH resources are padded with resource element groups with power level given by PDCCH RA/RB as defined in Annex C.3.1.

NOTE 2: Applies for Band that the upper frequency edge of the DL Band more than 2.69 GHz. NOTE 3: Applies for Band that the upper frequency edge of the DL Band more than 5.2 GHz.

The normative reference for this requirement is TS 38.101-1 [2] clause 7.9.

## 7.9.4 Test description

### 7.9.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and subcarrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 7.9.4.1-1. The details of the uplink and downlink reference measurement channels (RMC) are specified in Annexes A.2 and A.3. Configuration of PDSCH and PDCCH before measurement are specified in Annex C.2.

Table 7.9.4.1-1: Test Configuration Table

		De	fault Conditio	ns					
	onment as specified in T 5] subclause 4.1	S	Normal						
Test Frequ	encies as specified in T subclause 4.3.1	S	Low range, M	lid range, High range					
Test Chan	nel Bandwidths as speci -1 [5] subclause 4.3.1	fied in	Highest						
Test SCS a	as specified in Table 5.3	.5-1	Highest						
		Т	est Parameter	'S					
	Downlink Co	nfigura	ition	Uplink Config	guration				
Test ID	Mod'n	RB	allocation	Mod'n	RB allocation				
1	N/A		0 N/A 0						
NOTE 1:	The specific configuration	n of upl	link and downlin	nk are defined in Table 7	.3.2.4.1-1.				

- 1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [TBD] for TE diagram and section A.3.2.1 for UE diagram.
- 2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
- 3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, C.3.1, and uplink signals according to Annex G.0, G.1, G.2, G.3.1.
- 4. The DL and UL Reference Measurement channels are set according to Table 7.9.4.1-1.
- 5. Propagation conditions are set according to Annex B.0.
- 6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message content are defined in clause 7.5.4.3.

### 7.9.4.2 Test procedure

- 1. Sweep the spectrum analyzer (or equivalent equipment) over a frequency range and measure the average power of spurious emission.
- 2. Repeat step 1 for all NR Rx antennas of the UE.

## 7.9.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

### 7.9.5 Test requirement

The measured spurious emissions derived in step 1), shall not exceed the maximum level specified in Table 7.9.5-1.

Table 7.9.5-1: General receiver spurious emission requirements

Frequency range	Measurement bandwidth	Maximum level	NOTE
30 MHz ≤ f < 1 GHz	100 kHz	-57 dBm	
1 GHz ≤ f ≤ 12.75 GHz	1 MHz	-47 dBm	
12.75 GHz ≤ f ≤ 5 <sup>th</sup> harmonic of the upper frequency edge of the DL operating band in GHz	1 MHz	-47 dBm	2
12.75 GHz – 26 GHz	1 MHz	-47dBm	3

NOTE 1: Unused PDCCH resources are padded with resource element groups with power level given by PDCCH\_RA/RB as defined in Annex C.3.1.

NOTE 2: Applies for Band that the upper frequency edge of the DL Band more than 2.69 GHz.

NOTE 3: Applies for Band that the upper frequency edge of the DL Band more than 5.2 GHz.

# Annex A (normative): Measurement channels

# A.1 General

The throughput values defined in the measurement channels specified in Annex A, are calculated and are valid per data stream (codeword). For multi-stream (more than one codeword) transmissions, the throughput referenced in the minimum requirements is the sum of throughputs of all data streams (code words).

The UE category entry in the definition of the reference measurement channel in Annex A is only informative and reveals the UE categories, which can support the corresponding measurement channel. Whether the measurement channel is used for testing a certain UE category or not is specified in the individual minimum requirements.

# A.2 UL reference measurement channels

# A.2.1 General

The measurement channels in the following subclauses are defined to derive the requirements in clause 6 (Transmitter Characteristics) and clause 7 (Receiver Characteristics). The measurement channels represent example configurations of physical channels for different data rates.

# A.2.2 Reference measurement channels for FDD

# A.2.2.1 DFT-s-OFDM Pi/2-BPSK

Table A.2.2.1-1: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	5	15	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	5	15	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	10	15	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	10	15	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	15	15	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	15	15	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	20	15	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	20	15	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	25	15	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	25	15	128	11	pi/2 BPSK	0	1/4	3976	24	2	2	16896	16896
	30	15	80	11	pi/2 BPSK	0	1/4	2472	16	2	1	10560	10560
	30	15	160	11	pi/2 BPSK	0	1/4	4872	24	2	2	21120	21120
	40	15	108	11	pi/2 BPSK	0	1/4	3368	16	2	1	14256	14256
	40	15	216	11	pi/2 BPSK	0	1/4	6664	24	2	2	28512	28512
	50	15	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820
Note 1: D	50	15	270	11	pi/2 BPSK	0	1/4	8448	24	2	3	35640	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Table A.2.2.1-2: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	5	30	5	11	pi/2 BPSK	0	1/4	160	16	2	1	660	660
	5	30	10	11	pi/2 BPSK	0	1/4	320	16	2	1	1320	1320
	10	30	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	10	30	24	11	pi/2 BPSK	0	1/4	768	16	2	1	3168	3168
	15	30	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	15	30	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	20	30	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	20	30	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	25	30	32	11	pi/2 BPSK	0	1/4	1032	16	2	1	4224	4224
	25	30	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	30	30	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	30	30	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	40	30	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	40	30	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	50	30	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	50	30	128	11	pi/2 BPSK	0	1/4	3976	24	2	2	16896	16896
	60	30	81	11	pi/2 BPSK	0	1/4	2536	16	2	1	10692	10692
	60	30	162	11	pi/2 BPSK	0	1/4	5000	24	2	2	21384	21384
	80	30	108	11	pi/2 BPSK	0	1/4	3368	16	2	1	14256	14256
	80	30	216	11	pi/2 BPSK	0	1/4	6664	24	2	2	28512	28512
	90	30	120	11	pi/2 BPSK	0	1/4	3752	16	2	1	15840	15840
	90	30	243	11	pi/2 BPSK	0	1/4	7560	24	2	2	32076	32076
	100	30	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820
	100	30	270	11	pi/2 BPSK	0	1/4	8448	24	2	3	35640	35640

PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. Note 1:

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.1-3: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	10	60	5	11	pi/2 BPSK	0	1/4	160	16	2	1	660	660
	10	60	10	11	pi/2 BPSK	0	1/4	320	16	2	1	1320	1320
	15	60	9	11	pi/2 BPSK	0	1/4	288	16	2	1	1188	1188
	15	60	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	20	60	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	20	60	24	11	pi/2 BPSK	0	1/4	768	16	2	1	3168	3168
	25	60	15	11	pi/2 BPSK	0	1/4	480	16	2	1	1980	1980
	25	60	30	11	pi/2 BPSK	0	1/4	984	16	2	1	3960	3960
	30	60	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	30	60	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	40	60	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	40	60	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	50	60	32	11	pi/2 BPSK	0	1/4	1032	16	2	1	4224	4224
	50	60	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	60	60	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	60	60	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	80	60	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	80	60	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	90	60	60	11	pi/2 BPSK	0	1/4	1864	16	2	1	7920	7920
	90	60	120	11	pi/2 BPSK	0	1/4	3752	16	2	1	15840	15840
	100	60	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	100	60	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820

PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, Note 1: 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2:

MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

# A.2.2.2 DFT-s-OFDM QPSK

Table A.2.2.2-1: Reference Channels for DFT-s-OFDM QPSK for 15kHz SCS

Paramet er	Channel bandwidt h	Subcarri er Spacing	Allocate d resourc e blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Codin g Rate	Payloa d size	Transpo rt block CRC	LDPC Base Graph	Numbe r of code blocks per slot (Note 3)	Total numbe r of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	15	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	5	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	5	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	10	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	15	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	15	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	15	15	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	15	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	15	15	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	20	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	20	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	20	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	20	15	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	25	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	25	15	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	25	15	128	11	QPSK	2	1/6	6408	24	2	2	33792	16896
	30	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	30	15	80	11	QPSK	2	1/6	3976	24	2	2	21120	10560
	30	15	160	11	QPSK	2	1/6	7944	24	2	3	42240	21120
	40	15	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	40	15	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512

50	15	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
50	15	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, Note 1: 11. DMRS is [TDM'ed] with PUSCH data.

Note 2:

MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

Table A.2.2.2-2: Reference Channels for DFT-s-OFDM QPSK for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz		,				Bits	Bits			Bits	
	5-50	30	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	30	5	11	QPSK	2	1/6	256	16	2	1	1320	660
	5	30	10	11	QPSK	2	1/6	504	16	2	1	2640	1320
	10	30	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	10	30	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	15	30	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	15	30	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	20	30	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	20	30	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	25	30	32	11	QPSK	2	1/6	1608	16	2	1	8448	4224
	25	30	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	30	30	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	30	30	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	40	30	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	40	30	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	50	30	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	50	30	128	11	QPSK	2	1/6	6408	24	2	2	33792	16896
	60	30	81	11	QPSK	2	1/6	4040	24	2	2	21384	10692
	60	30	162	11	QPSK	2	1/6	8064	24	2	3	42768	21384
	80	30	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	80	30	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	90	30	120	11	QPSK	2	1/6	5896	24	2	2	31680	15840
	90	30	243	11	QPSK	2	1/6	12040	24	2	4	64152	32076
	100	30	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	100	30	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

- Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.
- Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].
- Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.2-3: Reference Channels for DFT-s-OFDM QPSK for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	QPSK	2	1/6	56	16	2	1	264	132
	10	60	5	11	QPSK	2	1/6	256	16	2	1	1320	660
	10	60	10	11	QPSK	2	1/6	504	16	2	1	2640	1320
	15	60	9	11	QPSK	2	1/6	456	16	2	1	2376	1188
	15	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	20	60	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	20	60	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	25	60	15	11	QPSK	2	1/6	768	16	2	1	3960	1980
	25	60	30	11	QPSK	2	1/6	1544	16	2	1	7920	3960
	30	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	30	60	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	40	60	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	40	60	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	50	60	32	11	QPSK	2	1/6	1608	16	2	1	8448	4224
	50	60	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	60	60	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	60	60	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	80	60	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	80	60	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	90	60	60	11	QPSK	2	1/6	3104	16	2	1	15840	7920
	90	60	120	11	QPSK	2	1/6	5896	24	2	2	31680	15840
	100	60	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	100	60	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

# A.2.2.3 DFT-s-OFDM 16QAM

Table A.2.2.3-1: Reference Channels for DFT-s-OFDM 16QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	15	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	5	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	15	15	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	15	15	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	20	15	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	20	15	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	25	15	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	25	15	128	11	16QAM	10	1/3	22536	24	1	3	67584	16896
	30	15	80	11	16QAM	10	1/3	14088	24	1	2	42240	10560
	30	15	160	11	16QAM	10	1/3	28168	24	1	4	84480	21120
	40	15	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	40	15	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	50	15	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
<u> </u>	50	15	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.3-2: Reference Channels for DFT-s-OFDM 16QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	30	5	11	16QAM	10	1/3	888	16	2	1	2640	660
	5	30	10	11	16QAM	10	1/3	1800	16	2	1	5280	1320
	10	30	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	10	30	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	15	30	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	15	30	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	20	30	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	20	30	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	25	30	32	11	16QAM	10	1/3	5632	24	1	1	16896	4224
	25	30	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	30	30	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	30	30	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	40	30	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	40	30	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	50	30	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	50	30	128	11	16QAM	10	1/3	22536	24	1	3	67584	16896
	60	30	81	11	16QAM	10	1/3	14088	24	1	2	42768	10692
	60	30	162	11	16QAM	10	1/3	28168	24	1	4	85536	21384
	80	30	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	80	30	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
-	90	30	120	11	16QAM	10	1/3	21000	24	1	3	63360	15840
-	90	30	243	11	16QAM	10	1/3	43032	24	1	6	128304	32076
-	100	30	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	100	30	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.3-3: Reference Channels for DFT-s-OFDM 16QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	16QAM	10	1/3	176	16	2	1	528	132
	10	60	5	11	16QAM	10	1/3	888	16	2	1	2640	660
	10	60	10	11	16QAM	10	1/3	1800	16	2	1	5280	1320
	15	60	9	11	16QAM	10	1/3	1608	16	2	1	4752	1188
	15	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	20	60	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	20	60	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	25	60	15	11	16QAM	10	1/3	2664	16	2	1	7920	1980
	25	60	30	11	16QAM	10	1/3	5248	24	1	1	15840	3960
	30	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	30	60	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	40	60	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	40	60	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	50	60	32	11	16QAM	10	1/3	5632	24	1	1	16896	4224
	50	60	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	60	60	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	60	60	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	80	60	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	80	60	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	90	60	60	11	16QAM	10	1/3	10504	24	1	2	31680	7920
	90	60	120	11	16QAM	10	1/3	21000	24	1	3	63360	15840
	100	60	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	100	60	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

# A.2.2.4 DFT-s-OFDM 64QAM

Table A.2.2.4-1: Reference Channels for DFT-s-OFDM 64QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	64QAM	18	1/2	9992	24	1	2	19800	3300
	10	15	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	15	15	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	20	15	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	25	15	128	11	64QAM	18	1/2	51216	24	1	7	101376	16896
	30	15	160	11	64QAM	18	1/2	63528	24	1	8	126720	21120
	40	15	216	11	64QAM	18	1/2	86040	24	1	11	171072	28512
	50	15	270	11	64QAM	18	1/2	108552	24	1	13	213840	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Table A.2.2.4-2: Reference Channels for DFT-s-OFDM 64QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	10	11	64QAM	18	1/2	3968	24	1	1	7920	1320
	10	30	24	11	64QAM	18	1/2	9480	24	1	2	19008	3168
	15	30	36	11	64QAM	18	1/2	14344	24	1	2	28512	4752
	20	30	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	25	30	64	11	64QAM	18	1/2	25608	24	1	4	50688	8448
	30	30	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	40	30	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	50	30	128	11	64QAM	18	1/2	51216	24	1	7	101376	16896
	60	30	162	11	64QAM	18	1/2	64552	24	1	8	128304	21384
	80	30	216	11	64QAM	18	1/2	86040	24	1	11	171072	28512
	90	30	243	11	64QAM	18	1/2	96264	24	1	12	192456	32076
	100	30	270	11	64QAM	18	1/2	108552	24	1	13	213840	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.4-3: Reference Channels for DFT-s-OFDM 64QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	10	11	64QAM	18	1/2	3968	24	1	1	7920	1320
	15	60	18	11	64QAM	18	1/2	7168	24	1	1	14256	2376
	20	60	24	11	64QAM	18	1/2	9480	24	1	2	19008	3168
	25	60	30	11	64QAM	18	1/2	12040	24	1	2	23760	3960
	30	60	36	11	64QAM	18	1/2	14344	24	1	2	28512	4752
	40	60	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	50	60	64	11	64QAM	18	1/2	25608	24	1	4	50688	8448
	60	60	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	80	60	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	90	60	120	11	64QAM	18	1/2	48168	24	1	6	95040	15840
	100	60	135	11	64QAM	18	1/2	54296	24	1	7	106920	17820

PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, Note 1: 11. DMRS is [TDM'ed] with PUSCH data.

Note 2:

MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

# A.2.2.5 DFT-s-OFDM 256QAM

Table A.2.2.5-1: Reference Channels for DFT-s-OFDM 256QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	256QAM	20	2/3	17424	24	1	3	26400	3300
	10	15	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	15	15	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	20	15	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	25	15	128	11	256QAM	20	2/3	90176	24	1	11	135168	16896
	30	15	160	11	256QAM	20	2/3	112648	24	1	14	168960	21120
	40	15	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	50	15	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Table A.2.2.5-2: Reference Channels for DFT-s-OFDM 256QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	10	11	256QAM	20	2/3	7040	24	1	1	10560	1320
	10	30	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	15	30	36	11	256QAM	20	2/3	25104	24	1	3	38016	4752
	20	30	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	25	30	64	11	256QAM	20	2/3	45096	24	1	6	67584	8448
	30	30	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	40	30	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	50	30	128	11	256QAM	20	2/3	90176	24	1	11	135168	16896
	60	30	162	11	256QAM	20	2/3	114776	24	1	14	171072	21384
	80	30	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	90	30	243	11	256QAM	20	2/3	172176	24	1	21	256608	32076
	100	30	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.5-3: Reference Channels for DFT-s-OFDM 256QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	10	11	256QAM	20	2/3	7040	24	1	1	10560	1320
	15	60	18	11	256QAM	20	2/3	12552	24	1	2	19008	2376
	20	60	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	25	60	30	11	256QAM	20	2/3	21000	24	1	3	31680	3960
	30	60	36	11	256QAM	20	2/3	25104	24	1	3	38016	4752
	40	60	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	50	60	64	11	256QAM	20	2/3	45096	24	1	6	67584	8448
	60	60	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	80	60	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	90	60	120	11	256QAM	20	2/3	83976	24	1	10	126720	15840
	100	60	135	11	256QAM	20	2/3	94248	24	1	12	142560	17820

PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, Note 1: 11. DMRS is [TDM'ed] with PUSCH data.

Note 2:

MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

# A.2.2.6 CP-OFDM QPSK

Table A.2.2.6-1: Reference Channels for CP-OFDM QPSK for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	15	13	11	QPSK	2	1/6	672	16	2	1	3432	1716
	5	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	10	15	52	11	QPSK	2	1/6	2600	16	2	1	13728	6864
	15	15	40	11	QPSK	2	1/6	2024	16	2	1	10560	5280
	15	15	79	11	QPSK	2	1/6	3912	24	2	2	20856	10428
	20	15	53	11	QPSK	2	1/6	2664	16	2	1	13992	6996
	20	15	106	11	QPSK	2	1/6	5256	24	2	2	27984	13992
	25	15	67	11	QPSK	2	1/6	3368	16	2	1	17688	8844
	25	15	133	11	QPSK	2	1/6	6664	24	2	2	35112	17556
	30	15	80	11	QPSK	2	1/6	3976	24	2	2	21120	10560
	30	15	160	11	QPSK	2	1/6	7944	24	2	3	42240	21120
•	40	15	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	40	15	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	50	15	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	50	15	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Table A.2.2.6-2: Reference Channels for CP-OFDM QPSK for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	30	6	11	QPSK	2	1/6	304	16	2	1	1584	792
	5	30	11	11	QPSK	2	1/6	552	16	2	1	2904	1452
	10	30	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	10	30	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	15	30	19	11	QPSK	2	1/6	984	16	2	1	5016	2508
	15	30	38	11	QPSK	2	1/6	1928	16	2	1	10032	5016
	20	30	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	20	30	51	11	QPSK	2	1/6	2536	16	2	1	13464	6732
	25	30	33	11	QPSK	2	1/6	1672	16	2	1	8712	4356
	25	30	65	11	QPSK	2	1/6	3240	16	2	1	17160	8580
	30	30	39	11	QPSK	2	1/6	2024	16	2	1	10296	5148
	30	30	78	11	QPSK	2	1/6	3848	24	2	2	20592	10296
	40	30	53	11	QPSK	2	1/6	2664	16	2	1	13992	6996
	40	30	106	11	QPSK	2	1/6	5256	24	2	2	27984	13992
	50	30	67	11	QPSK	2	1/6	3368	16	2	1	17688	8844
	50	30	133	11	QPSK	2	1/6	6664	24	2	2	35112	17556
	60	30	81	11	QPSK	2	1/6	4040	24	2	2	21384	10692
	60	30	162	11	QPSK	2	1/6	8064	24	2	3	42768	21384
	80	30	109	11	QPSK	2	1/6	5384	24	2	2	28776	14388
-	80	30	217	11	QPSK	2	1/6	10752	24	2	3	57288	28644
	90	30	123	11	QPSK	2	1/6	6152	24	2	2	32472	16236
-	90	30	245	11	QPSK	2	1/6	12296	24	2	4	64680	32340
-	100	30	137	11	QPSK	2	1/6	6792	24	2	2	36168	18084
	100	30	273	11	QPSK	2	1/6	13576	24	2	4	72072	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2:

MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

Table A.2.2.6-3: Reference Channels for CP-OFDM QPSK for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	QPSK	2	1/6	56	16	2	1	264	132
	10	60	6	11	QPSK	2	1/6	304	16	2	1	1584	792
	10	60	11	11	QPSK	2	1/6	552	16	2	1	2904	1452
	15	60	9	11	QPSK	2	1/6	456	16	2	1	2376	1188
	15	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	20	60	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	20	60	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	25	60	16	11	QPSK	2	1/6	808	16	2	1	4224	2112
	25	60	31	11	QPSK	2	1/6	1544	16	2	1	8184	4092
	30	60	19	11	QPSK	2	1/6	984	16	2	1	5016	2508
	30	60	38	11	QPSK	2	1/6	1928	16	2	1	10032	5016
	40	60	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	40	60	51	11	QPSK	2	1/6	2536	16	2	1	13464	6732
	50	60	33	11	QPSK	2	1/6	1672	16	2	1	8712	4356
	50	60	65	11	QPSK	2	1/6	3240	16	2	1	17160	8580
	60	60	40	11	QPSK	2	1/6	2024	16	2	1	10560	5280
	60	60	79	11	QPSK	2	1/6	3912	24	2	2	20856	10428
	80	60	54	11	QPSK	2	1/6	2664	16	2	1	14256	7128
	80	60	107	11	QPSK	2	1/6	5256	24	2	2	28248	14124
	90	60	61	11	QPSK	2	1/6	3104	16	2	1	16104	8052
	90	60	121	11	QPSK	2	1/6	6024	24	2	2	31944	15972
	100	60	68	11	QPSK	2	1/6	3368	16	2	1	17952	8976
	100	60	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

# A.2.2.7 CP-OFDM 16QAM

Table A.2.2.7-1: Reference Channels for CP-OFDM 16QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	15	13	11	16QAM	10	1/3	2280	16	2	1	6864	1716
	5	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	10	15	52	11	16QAM	10	1/3	9224	24	1	2	27456	6864
	15	15	40	11	16QAM	10	1/3	7040	24	1	1	21120	5280
	15	15	79	11	16QAM	10	1/3	13832	24	1	2	41712	10428
	20	15	53	11	16QAM	10	1/3	9224	24	1	2	27984	6996
	20	15	106	11	16QAM	10	1/3	18432	24	1	3	55968	13992
	25	15	67	11	16QAM	10	1/3	11784	24	1	2	35376	8844
	25	15	133	11	16QAM	10	1/3	23040	24	1	3	70224	17556
	30	15	80	11	16QAM	10	1/3	14088	24	1	2	42240	10560
	30	15	160	11	16QAM	10	1/3	28168	24	1	4	84480	21120
	40	15	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	40	15	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	50	15	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	50	15	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Table A.2.2.7-2: Reference Channels for CP-OFDM 16QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	30	6	11	16QAM	10	1/3	1064	16	2	1	3168	792
	5	30	11	11	16QAM	10	1/3	1928	16	2	1	5808	1452
	10	30	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	10	30	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	15	30	19	11	16QAM	10	1/3	3368	16	2	1	10032	2508
	15	30	38	11	16QAM	10	1/3	6656	24	1	1	20064	5016
	20	30	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	20	30	51	11	16QAM	10	1/3	8968	24	1	2	26928	6732
	25	30	33	11	16QAM	10	1/3	5760	24	1	1	17424	4356
	25	30	65	11	16QAM	10	1/3	11272	24	1	2	34320	8580
	30	30	39	11	16QAM	10	1/3	6784	24	1	1	20592	5148
	30	30	78	11	16QAM	10	1/3	13576	24	1	2	41184	10296
	40	30	53	11	16QAM	10	1/3	9224	24	1	2	27984	6996
	40	30	106	11	16QAM	10	1/3	18432	24	1	3	55968	13992
	50	30	67	11	16QAM	10	1/3	11784	24	1	2	35376	8844
	50	30	133	11	16QAM	10	1/3	23040	24	1	3	70224	17556
	60	30	81	11	16QAM	10	1/3	14088	24	1	2	42768	10692
	60	30	162	11	16QAM	10	1/3	28168	24	1	4	85536	21384
	80	30	109	11	16QAM	10	1/3	18960	24	1	3	57552	14388
	80	30	217	11	16QAM	10	1/3	37896	24	1	5	114576	28644
	90	30	123	11	16QAM	10	1/3	21504	24	1	3	64944	16236
	90	30	245	11	16QAM	10	1/3	43032	24	1	6	129360	32340
	100	30	137	11	16QAM	10	1/3	24072	24	1	3	72336	18084
	100	30	273	11	16QAM	10	1/3	48168	24	1	6	144144	36036

PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. Note 1:

Note 2:

MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

Table A.2.2.7-3: Reference Channels for CP-OFDM 16QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz		-				Bits	Bits			Bits	
	10-100	60	1	11	16QAM	10	1/3	176	16	2	1	528	132
	10	60	6	11	16QAM	10	1/3	1064	16	2	1	3168	792
	10	60	11	11	16QAM	10	1/3	1928	16	2	1	5808	1452
	15	60	9	11	16QAM	10	1/3	1608	16	2	1	4752	1188
	15	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	20	60	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	20	60	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	25	60	16	11	16QAM	10	1/3	2792	16	2	1	8448	2112
	25	60	31	11	16QAM	10	1/3	5376	24	1	1	16368	4092
	30	60	19	11	16QAM	10	1/3	3368	16	2	1	10032	2508
	30	60	38	11	16QAM	10	1/3	6656	24	1	1	20064	5016
	40	60	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	40	60	51	11	16QAM	10	1/3	8968	24	1	2	26928	6732
	50	60	33	11	16QAM	10	1/3	5760	24	1	1	17424	4356
	50	60	65	11	16QAM	10	1/3	11272	24	1	2	34320	8580
	60	60	40	11	16QAM	10	1/3	7040	24	1	1	21120	5280
	60	60	79	11	16QAM	10	1/3	13832	24	1	2	41712	10428
-	80	60	54	11	16QAM	10	1/3	9480	24	1	2	28512	7128
-	80	60	107	11	16QAM	10	1/3	18960	24	1	3	56496	14124
-	90	60	61	11	16QAM	10	1/3	10760	24	1	2	32208	8052
-	90	60	121	11	16QAM	10	1/3	21000	24	1	3	63888	15972
-	100	60	68	11	16QAM	10	1/3	11784	24	1	2	35904	8976
	100	60	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

# A.2.2.8 CP-OFDM 64QAM

Table A.2.2.8-1: Reference Channels for CP-OFDM 64QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	64QAM	19	1/2	9992	24	1	2	19800	3300
	10	15	52	11	64QAM	19	1/2	21000	24	1	3	41184	6864
	15	15	79	11	64QAM	19	1/2	31752	24	1	4	62568	10428
	20	15	106	11	64QAM	19	1/2	42016	24	1	5	83952	13992
	25	15	133	11	64QAM	19	1/2	53288	24	1	7	105336	17556
	30	15	160	11	64QAM	19	1/2	63528	24	1	8	126720	21120
	40	15	216	11	64QAM	19	1/2	86040	24	1	11	171072	28512
	50	15	270	11	64QAM	19	1/2	108552	24	1	13	213840	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Table A.2.2.8-2: Reference Channels for CP-OFDM 64QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	11	11	64QAM	19	1/2	4352	24	1	1	8712	1452
	10	30	24	11	64QAM	19	1/2	9480	24	1	2	19008	3168
	15	30	38	11	64QAM	19	1/2	15112	24	1	2	30096	5016
	20	30	51	11	64QAM	19	1/2	20496	24	1	3	40392	6732
	25	30	65	11	64QAM	19	1/2	26120	24	1	4	51480	8580
	30	30	78	11	64QAM	19	1/2	31240	24	1	4	61776	10296
	40	30	106	11	64QAM	19	1/2	42016	24	1	5	83952	13992
	50	30	133	11	64QAM	19	1/2	53288	24	1	7	105336	17556
	60	30	162	11	64QAM	19	1/2	64552	24	1	8	128304	21384
	80	30	217	11	64QAM	19	1/2	86040	24	1	11	171864	28644
	90	30	245	11	64QAM	19	1/2	98376	24	1	12	194040	32340
	100	30	273	11	64QAM	19	1/2	108552	24	1	13	216216	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.8-3: Reference Channels for CP-OFDM 64QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	11	11	64QAM	19	1/2	4352	24	1	1	8712	1452
	15	60	18	11	64QAM	19	1/2	7168	24	1	1	14256	2376
	20	60	24	11	64QAM	19	1/2	9480	24	1	2	19008	3168
	25	60	31	11	64QAM	19	1/2	12296	24	1	2	24552	4092
	30	60	38	11	64QAM	19	1/2	15112	24	1	2	30096	5016
	40	60	51	11	64QAM	19	1/2	20496	24	1	3	40392	6732
	50	60	65	11	64QAM	19	1/2	26120	24	1	4	51480	8580
	60	60	79	11	64QAM	19	1/2	31752	24	1	4	62568	10428
	80	60	107	11	64QAM	19	1/2	43032	24	1	6	84744	14124
	90	60	121	11	64QAM	19	1/2	48168	24	1	6	95832	15972
	100	60	135	11	64QAM	19	1/2	54296	24	1	7	106920	17820

PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, Note 1: 11. DMRS is [TDM'ed] with PUSCH data.

Note 2:

MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

#### A.2.2.9 CP-OFDM 256QAM

Table A.2.2.9-1: Reference Channels for CP-OFDM 256QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	256QAM	20	2/3	17424	24	1	3	26400	3300
	10	15	52	11	256QAM	20	2/3	36896	24	1	5	54912	6864
	15	15	79	11	256QAM	20	2/3	55304	24	1	7	83424	10428
	20	15	106	11	256QAM	20	2/3	73776	24	1	9	111936	13992
	25	15	133	11	256QAM	20	2/3	94248	24	1	12	140448	17556
	30	15	160	11	256QAM	20	2/3	112648	24	1	14	168960	21120
	40	15	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	50	15	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.9-2: Reference Channels for CP-OFDM 256QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	11	11	256QAM	20	2/3	7680	24	1	1	11616	1452
	10	30	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	15	30	38	11	256QAM	20	2/3	26632	24	1	4	40128	5016
	20	30	51	11	256QAM	20	2/3	35856	24	1	5	53856	6732
	25	30	65	11	256QAM	20	2/3	46104	24	1	6	68640	8580
	30	30	78	11	256QAM	20	2/3	55304	24	1	7	82368	10296
	40	30	106	11	256QAM	20	2/3	73776	24	1	9	111936	13992
	50	30	133	11	256QAM	20	2/3	94248	24	1	12	140448	17556
	60	30	162	11	256QAM	20	2/3	114776	24	1	14	171072	21384
	80	30	217	11	256QAM	20	2/3	151608	24	1	18	229152	28644
	90	30	245	11	256QAM	20	2/3	172176	24	1	21	258720	32340
	100	30	273	11	256QAM	20	2/3	192624	24	1	23	288288	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.9-3: Reference Channels for CP-OFDM 256QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP- OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	11	11	256QAM	20	2/3	7680	24	1	1	11616	1452
	15	60	18	11	256QAM	20	2/3	12552	24	1	2	19008	2376
	20	60	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	25	60	31	11	256QAM	20	2/3	22032	24	1	3	32736	4092
	30	60	38	11	256QAM	20	2/3	26632	24	1	4	40128	5016
	40	60	51	11	256QAM	20	2/3	35856	24	1	5	53856	6732
	50	60	65	11	256QAM	20	2/3	46104	24	1	6	68640	8580
	60	60	79	11	256QAM	20	2/3	55304	24	1	7	83424	10428
	80	60	107	11	256QAM	20	2/3	75792	24	1	9	112992	14124
	90	60	121	11	256QAM	20	2/3	86040	24	1	11	127776	15972
	100	60	135	11	256QAM	20	2/3	94248	24	1	12	142560	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

## A.2.3 Reference measurement channels for TDD

TDD slot patterns defined for reference sensitivity tests will be used for UL RMCs defined below.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.3.1 DFT-s-OFDM Pi/2-BPSK

Table A.2.3.1-1: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	5	15	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	5	15	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	10	15	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	10	15	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	15	15	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	15	15	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	20	15	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	20	15	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	25	15	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	25	15	128	11	pi/2 BPSK	0	1/4	3976	24	2	2	16896	16896
	30	15	80	11	pi/2 BPSK	0	1/4	2472	16	2	1	10560	10560
	30	15	160	11	pi/2 BPSK	0	1/4	4872	24	2	2	21120	21120
	40	15	108	11	pi/2 BPSK	0	1/4	3368	16	2	1	14256	14256
	40	15	216	11	pi/2 BPSK	0	1/4	6664	24	2	2	28512	28512
	50	15	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820
N ( 4 5	50	15	270	11	pi/2 BPSK	0	1/4	8448	24	2	3	35640	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2:

MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

Table A.2.3.1-2: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	5	30	5	11	pi/2 BPSK	0	1/4	160	16	2	1	660	660
	5	30	10	11	pi/2 BPSK	0	1/4	320	16	2	1	1320	1320
	10	30	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	10	30	24	11	pi/2 BPSK	0	1/4	768	16	2	1	3168	3168
	15	30	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	15	30	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	20	30	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	20	30	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	25	30	32	11	pi/2 BPSK	0	1/4	1032	16	2	1	4224	4224
	25	30	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	30	30	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	30	30	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	40	30	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	40	30	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	50	30	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	50	30	128	11	pi/2 BPSK	0	1/4	3976	24	2	2	16896	16896
	60	30	81	11	pi/2 BPSK	0	1/4	2536	16	2	1	10692	10692
	60	30	162	11	pi/2 BPSK	0	1/4	5000	24	2	2	21384	21384
	80	30	108	11	pi/2 BPSK	0	1/4	3368	16	2	1	14256	14256
	80	30	216	11	pi/2 BPSK	0	1/4	6664	24	2	2	28512	28512
	90	30	120	11	pi/2 BPSK	0	1/4	3752	16	2	1	15840	15840
	90	30	243	11	pi/2 BPSK	0	1/4	7560	24	2	2	32076	32076
	100	30	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820
	100	30	270	11	pi/2 BPSK	0	1/4	8448	24	2	3	35640	35640

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.1-3: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 16, 17, 18, 19, 36, 37, 38 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39 (Note 3)	Total number of bits per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39	Total modulate d symbols per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39
Unit	MHz	KHz			1/2 2201/			Bits	Bits			Bits	
	10-100	60	1 -	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	10	60	5	11	pi/2 BPSK	0	1/4	160	16	2	1	660	660
	10	60	10	11	pi/2 BPSK	0	1/4	320	16	2	1	1320	1320
	15	60	9	11	pi/2 BPSK	0	1/4	288	16	2	1	1188	1188
	15	60	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	20	60	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	20	60	24	11	pi/2 BPSK	0	1/4	768	16	2	1	3168	3168
	25	60	15	11	pi/2 BPSK	0	1/4	480	16	2	1	1980	1980
	25	60	30	11	pi/2 BPSK	0	1/4	984	16	2	1	3960	3960
	30	60	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	30	60	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	40	60	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	40	60	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	50	60	32	11	pi/2 BPSK	0	1/4	1032	16	2	1	4224	4224
	50	60	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	60	60	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	60	60	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	80	60	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	80	60	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	90	60	60	11	pi/2 BPSK	0	1/4	1864	16	2	1	7920	7920
	90	60	120	11	pi/2 BPSK	0	1/4	3752	16	2	1	15840	15840
	100	60	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	100	60	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820

Note 2:

MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

#### A.2.3.2 DFT-s-OFDM QPSK

Table A.2.3.2-1: Reference Channels for DFT-s-OFDM QPSK for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	15	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	5	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	5	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	10	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	15	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	15	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	15	15	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	15	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	15	15	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	20	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	20	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	20	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	20	15	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	25	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	25	15	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	25	15	128	11	QPSK	2	1/6	6408	24	2	2	33792	16896
	30	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	30	15	80	11	QPSK	2	1/6	3976	24	2	2	21120	10560
	30	15	160	11	QPSK	2	1/6	7944	24	2	3	42240	21120
	40	15	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	40	15	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	50	15	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	50	15	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.2-2: Reference Channels for DFT-s-OFDM QPSK for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	30	5	11	QPSK	2	1/6	256	16	2	1	1320	660
	5	30	10	11	QPSK	2	1/6	504	16	2	1	2640	1320
	10	30	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	10	30	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	15	30	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	15	30	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	20	30	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	20	30	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	25	30	32	11	QPSK	2	1/6	1608	16	2	1	8448	4224
	25	30	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	30	30	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	30	30	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	40	30	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	40	30	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	50	30	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	50	30	128	11	QPSK	2	1/6	6408	24	2	2	33792	16896
	60	30	81	11	QPSK	2	1/6	4040	24	2	2	21384	10692
	60	30	162	11	QPSK	2	1/6	8064	24	2	3	42768	21384
	80	30	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	80	30	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	90	30	120	11	QPSK	2	1/6	5896	24	2	2	31680	15840
	90	30	243	11	QPSK	2	1/6	12040	24	2	4	64152	32076
	100	30	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	100	30	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.2-3: Reference Channels for DFT-s-OFDM QPSK for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 16, 17, 18, 19, 36, 37, 38 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39 (Note 3)	Total number of bits per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39	Total modulate d symbols per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39
Unit	MHz	KHz		4.4	0.001/		4.0	Bits	Bits			Bits	100
	10-100	60	1	11	QPSK	2	1/6	56	16	2	1	264	132
	10	60	5	11	QPSK	2	1/6	256	16	2	1	1320	660
	10 15	60 60	10 9	11 11	QPSK QPSK	2	1/6 1/6	504 456	16 16	2	1	2640 2376	1320 1188
	15	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	20	60	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	20	60	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	25	60	15	11	QPSK	2	1/6	768	16	2	1	3960	1980
	25	60	30	11	QPSK	2	1/6	1544	16	2	1	7920	3960
	30	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	30	60	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	40	60	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	40	60	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	50	60	32	11	QPSK	2	1/6	1608	16	2	1	8448	4224
	50	60	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	60	60	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	60	60	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	80	60	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	80	60	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	90	60	60	11	QPSK	2	1/6	3104	16	2	1	15840	7920
	90	60	120	11	QPSK	2	1/6	5896	24	2	2	31680	15840
	100	60	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	100	60	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820

Note 2:

MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

#### A.2.3.3 DFT-s-OFDM 16QAM

Table A.2.3.3-1: Reference Channels for DFT-s-OFDM 16QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	15	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	5	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	15	15	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	15	15	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	20	15	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	20	15	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	25	15	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	25	15	128	11	16QAM	10	1/3	22536	24	1	3	67584	16896
	30	15	80	11	16QAM	10	1/3	14088	24	1	2	42240	10560
	30	15	160	11	16QAM	10	1/3	28168	24	1	4	84480	21120
	40	15	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	40	15	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	50	15	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
N ( 4 5	50	15	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2:

MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

Table A.2.3.3-2: Reference Channels for DFT-s-OFDM 16QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	30	5	11	16QAM	10	1/3	888	16	2	1	2640	660
	5	30	10	11	16QAM	10	1/3	1800	16	2	1	5280	1320
	10	30	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	10	30	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	15	30	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	15	30	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	20	30	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	20	30	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	25	30	32	11	16QAM	10	1/3	5632	24	1	1	16896	4224
	25	30	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	30	30	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	30	30	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	40	30	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	40	30	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	50	30	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	50	30	128	11	16QAM	10	1/3	22536	24	1	3	67584	16896
	60	30	81	11	16QAM	10	1/3	14088	24	1	2	42768	10692
	60	30	162	11	16QAM	10	1/3	28168	24	1	4	85536	21384
	80	30	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	80	30	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	90	30	120	11	16QAM	10	1/3	21000	24	1	3	63360	15840
	90	30	243	11	16QAM	10	1/3	43032	24	1	6	128304	32076
	100	30	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	100	30	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.3-3: Reference Channels for DFT-s-OFDM 16QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 16, 17, 18, 19, 36, 37, 38 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39 (Note 3)	Total number of bits per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39	Total modulate d symbols per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	16QAM	10	1/3	176	16	2	1	528	132
	10	60	5	11	16QAM	10	1/3	888	16	2	1	2640	660
	10	60	10	11	16QAM	10	1/3	1800	16	2	1	5280	1320
	15	60	9	11	16QAM	10	1/3	1608	16	2	1	4752	1188
	15	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	20	60	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	20	60	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	25	60	15	11	16QAM	10	1/3	2664	16	2	1	7920	1980
	25	60	30	11	16QAM	10	1/3	5248	24	1	1	15840	3960
	30	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	30	60	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	40	60	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	40	60	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	50	60	32	11	16QAM	10	1/3	5632	24	1	1	16896	4224
	50	60	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	60	60	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	60	60	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	80	60	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	80	60	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	90	60	60	11	16QAM	10	1/3	10504	24	1	2	31680	7920
	90	60	120	11	16QAM	10	1/3	21000	24	1	3	63360	15840
	100	60	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	100	60	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820

Note 2:

MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

#### A.2.3.4 DFT-s-OFDM 64QAM

Table A.2.3.4-1: Reference Channels for DFT-s-OFDM 64QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	64QAM	18	1/2	9992	24	1	2	19800	3300
	10	15	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	15	15	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	20	15	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	25	15	128	11	64QAM	18	1/2	51216	24	1	7	101376	16896
	30	15	160	11	64QAM	18	1/2	63528	24	1	8	126720	21120
	40	15	216	11	64QAM	18	1/2	86040	24	1	11	171072	28512
	50	15	270	11	64QAM	18	1/2	108552	24	1	13	213840	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.4-2: Reference Channels for DFT-s-OFDM 64QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	10	11	64QAM	18	1/2	3968	24	1	1	7920	1320
	10	30	24	11	64QAM	18	1/2	9480	24	1	2	19008	3168
	15	30	36	11	64QAM	18	1/2	14344	24	1	2	28512	4752
	20	30	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	25	30	64	11	64QAM	18	1/2	25608	24	1	4	50688	8448
	30	30	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
`	40	30	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	50	30	128	11	64QAM	18	1/2	51216	24	1	7	101376	16896
· <del></del>	60	30	162	11	64QAM	18	1/2	64552	24	1	8	128304	21384
·	80	30	216	11	64QAM	18	1/2	86040	24	1	11	171072	28512
	90	30	243	11	64QAM	18	1/2	96264	24	1	12	192456	32076
	100	30	270	11	64QAM	18	1/2	108552	24	1	13	213840	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.4-3: Reference Channels for DFT-s-OFDM 64QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 16, 17, 18, 19, 36, 37, 38 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39 (Note 3)	Total number of bits per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39	Total modulate d symbols per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	10	11	64QAM	18	1/2	3968	24	1	1	7920	1320
	15	60	18	11	64QAM	18	1/2	7168	24	1	1	14256	2376
	20	60	24	11	64QAM	18	1/2	9480	24	1	2	19008	3168
	25	60	30	11	64QAM	18	1/2	12040	24	1	2	23760	3960
	30	60	36	11	64QAM	18	1/2	14344	24	1	2	28512	4752
	40	60	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	50	60	64	11	64QAM	18	1/2	25608	24	1	4	50688	8448
	60	60	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	80	60	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	90	60	120	11	64QAM	18	1/2	48168	24	1	6	95040	15840
	100	60	135	11	64QAM	18	1/2	54296	24	1	7	106920	17820

Note 2:

MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.3.5 DFT-s-OFDM 256QAM

Table A.2.3.5-1: Reference Channels for DFT-s-OFDM 256QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	256QAM	20	2/3	17424	24	1	3	26400	3300
	10	15	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	15	15	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	20	15	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	25	15	128	11	256QAM	20	2/3	90176	24	1	11	135168	16896
	30	15	160	11	256QAM	20	2/3	112648	24	1	14	168960	21120
	40	15	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	50	15	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.5-2: Reference Channels for DFT-s-OFDM 256QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	10	11	256QAM	20	2/3	7040	24	1	1	10560	1320
	10	30	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	15	30	36	11	256QAM	20	2/3	25104	24	1	3	38016	4752
	20	30	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	25	30	64	11	256QAM	20	2/3	45096	24	1	6	67584	8448
	30	30	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	40	30	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	50	30	128	11	256QAM	20	2/3	90176	24	1	11	135168	16896
·	60	30	162	11	256QAM	20	2/3	114776	24	1	14	171072	21384
·	80	30	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	90	30	243	11	256QAM	20	2/3	172176	24	1	21	256608	32076
	100	30	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.5-3: Reference Channels for DFT-s-OFDM 256QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 16, 17, 18, 19, 36, 37, 38 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39 (Note 3)	Total number of bits per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39	Total modulate d symbols per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39
Unit	MHz	KHz						Bits	Bits		,	Bits	
	10	60	10	11	256QAM	20	2/3	7040	24	1	1	10560	1320
	15	60	18	11	256QAM	20	2/3	12552	24	1	2	19008	2376
	20	60	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	25	60	30	11	256QAM	20	2/3	21000	24	1	3	31680	3960
	30	60	36	11	256QAM	20	2/3	25104	24	1	3	38016	4752
	40	60	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	50	60	64	11	256QAM	20	2/3	45096	24	1	6	67584	8448
	60	60	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	80	60	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	90	60	120	11	256QAM	20	2/3	83976	24	1	10	126720	15840
1	100	60	135	11	256QAM	20	2/3	94248	24	1	12	142560	17820

Note 2:

MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.3.6 CP-OFDM QPSK

Table A.2.3.6-1: Reference Channels for CP-OFDM QPSK for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	15	13	11	QPSK	2	1/6	672	16	2	1	3432	1716
	5	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	10	15	52	11	QPSK	2	1/6	2600	16	2	1	13728	6864
	15	15	40	11	QPSK	2	1/6	2024	16	2	1	10560	5280
	15	15	79	11	QPSK	2	1/6	3912	24	2	2	20856	10428
	20	15	53	11	QPSK	2	1/6	2664	16	2	1	13992	6996
	20	15	106	11	QPSK	2	1/6	5256	24	2	2	27984	13992
	25	15	67	11	QPSK	2	1/6	3368	16	2	1	17688	8844
	25	15	133	11	QPSK	2	1/6	6664	24	2	2	35112	17556
	30	15	80	11	QPSK	2	1/6	3976	24	2	2	21120	10560
•	30	15	160	11	QPSK	2	1/6	7944	24	2	3	42240	21120
	40	15	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	40	15	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	50	15	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
•	50	15	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2:

MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

Table A.2.3.6-2: Reference Channels for CP-OFDM QPSK for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	30	6	11	QPSK	2	1/6	304	16	2	1	1584	792
	5	30	11	11	QPSK	2	1/6	552	16	2	1	2904	1452
	10	30	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	10	30	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	15	30	19	11	QPSK	2	1/6	984	16	2	1	5016	2508
	15	30	38	11	QPSK	2	1/6	1928	16	2	1	10032	5016
	20	30	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	20	30	51	11	QPSK	2	1/6	2536	16	2	1	13464	6732
	25	30	33	11	QPSK	2	1/6	1672	16	2	1	8712	4356
	25	30	65	11	QPSK	2	1/6	3240	16	2	1	17160	8580
	30	30	39	11	QPSK	2	1/6	2024	16	2	1	10296	5148
	30	30	78	11	QPSK	2	1/6	3848	24	2	2	20592	10296
	40	30	53	11	QPSK	2	1/6	2664	16	2	1	13992	6996
	40	30	106	11	QPSK	2	1/6	5256	24	2	2	27984	13992
	50	30	67	11	QPSK	2	1/6	3368	16	2	1	17688	8844
	50	30	133	11	QPSK	2	1/6	6664	24	2	2	35112	17556
	60	30	81	11	QPSK	2	1/6	4040	24	2	2	21384	10692
	60	30	162	11	QPSK	2	1/6	8064	24	2	3	42768	21384
	80	30	109	11	QPSK	2	1/6	5384	24	2	2	28776	14388
	80	30	217	11	QPSK	2	1/6	10752	24	2	3	57288	28644
	90	30	123	11	QPSK	2	1/6	6152	24	2	2	32472	16236
	90	30	245	11	QPSK	2	1/6	12296	24	2	4	64680	32340
	100	30	137	11	QPSK	2	1/6	6792	24	2	2	36168	18084
	100	30	273	11	QPSK	2	1/6	13576	24	2	4	72072	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.6-3: Reference Channels for CP-OFDM QPSK for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 16, 17, 18, 19, 36, 37, 38 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39 (Note 3)	Total number of bits per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39	Total modulate d symbols per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39
Unit	MHz	KHz			0.701/			Bits	Bits			Bits	
	10-100	60	1	11	QPSK	2	1/6	56	16	2	1	264	132
	10	60	6	11	QPSK	2	1/6	304	16	2	1	1584	792
	10	60	11	11	QPSK	2	1/6	552	16	2	1	2904	1452
	15 15	60 60	9	11 11	QPSK QPSK	2	1/6 1/6	456	16 16	2	1	2376	1188
	20	60	18 12	11	QPSK QPSK	2	1/6	928 608	16		1	4752 3168	2376 1584
	20	60	24	11	QPSK QPSK	2	1/6	1192	16	2	1	6336	3168
	25	60	16	11	QPSK QPSK	2	1/6	808	16	2	1	4224	2112
	25	60	31	11	QPSK	2	1/6	1544	16	2	1	8184	4092
	30	60	19	11	QPSK	2	1/6	984	16	2	1	5016	2508
	30	60	38	11	QPSK	2	1/6	1928	16	2	1	10032	5016
	40	60	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	40	60	51	11	QPSK	2	1/6	2536	16	2	1	13464	6732
	50	60	33	11	QPSK	2	1/6	1672	16	2	1	8712	4356
	50	60	65	11	QPSK	2	1/6	3240	16	2	1	17160	8580
	60	60	40	11	QPSK	2	1/6	2024	16	2	1	10560	5280
	60	60	79	11	QPSK	2	1/6	3912	24	2	2	20856	10428
	80	60	54	11	QPSK	2	1/6	2664	16	2	1	14256	7128
	80	60	107	11	QPSK	2	1/6	5256	24	2	2	28248	14124
	90	60	61	11	QPSK	2	1/6	3104	16	2	1	16104	8052
	90	60	121	11	QPSK	2	1/6	6024	24	2	2	31944	15972
	100	60	68	11	QPSK	2	1/6	3368	16	2	1	17952	8976
	100	60	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820

Note 2:

MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

#### A.2.3.7 CP-OFDM 16QAM

Table A.2.3.7-1: Reference Channels for CP-OFDM 16QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	15	13	11	16QAM	10	1/3	2280	16	2	1	6864	1716
	5	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	10	15	52	11	16QAM	10	1/3	9224	24	1	2	27456	6864
	15	15	40	11	16QAM	10	1/3	7040	24	1	1	21120	5280
	15	15	79	11	16QAM	10	1/3	13832	24	1	2	41712	10428
	20	15	53	11	16QAM	10	1/3	9224	24	1	2	27984	6996
	20	15	106	11	16QAM	10	1/3	18432	24	1	3	55968	13992
	25	15	67	11	16QAM	10	1/3	11784	24	1	2	35376	8844
	25	15	133	11	16QAM	10	1/3	23040	24	1	3	70224	17556
	30	15	80	11	16QAM	10	1/3	14088	24	1	2	42240	10560
	30	15	160	11	16QAM	10	1/3	28168	24	1	4	84480	21120
	40	15	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	40	15	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	50	15	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	50	15	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2:

MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

Table A.2.3.7-2: Reference Channels for CP-OFDM 16QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	30	6	11	16QAM	10	1/3	1064	16	2	1	3168	792
	5	30	11	11	16QAM	10	1/3	1928	16	2	1	5808	1452
	10	30	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	10	30	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	15	30	19	11	16QAM	10	1/3	3368	16	2	1	10032	2508
	15	30	38	11	16QAM	10	1/3	6656	24	1	1	20064	5016
	20	30	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	20	30	51	11	16QAM	10	1/3	8968	24	1	2	26928	6732
	25	30	33	11	16QAM	10	1/3	5760	24	1	1	17424	4356
	25	30	65	11	16QAM	10	1/3	11272	24	1	2	34320	8580
	30	30	39	11	16QAM	10	1/3	6784	24	1	1	20592	5148
	30	30	78	11	16QAM	10	1/3	13576	24	1	2	41184	10296
	40	30	53	11	16QAM	10	1/3	9224	24	1	2	27984	6996
	40	30	106	11	16QAM	10	1/3	18432	24	1	3	55968	13992
	50	30	67	11	16QAM	10	1/3	11784	24	1	2	35376	8844
	50	30	133	11	16QAM	10	1/3	23040	24	1	3	70224	17556
	60	30	81	11	16QAM	10	1/3	14088	24	1	2	42768	10692
	60	30	162	11	16QAM	10	1/3	28168	24	1	4	85536	21384
	80	30	109	11	16QAM	10	1/3	18960	24	1	3	57552	14388
	80	30	217	11	16QAM	10	1/3	37896	24	1	5	114576	28644
	90	30	123	11	16QAM	10	1/3	21504	24	1	3	64944	16236
	90	30	245	11	16QAM	10	1/3	43032	24	1	6	129360	32340
	100	30	137	11	16QAM	10	1/3	24072	24	1	3	72336	18084
	100	30	273	11	16QAM	10	1/3	48168	24	1	6	144144	36036

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.7-3: Reference Channels for CP-OFDM 16QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 16, 17, 18, 19, 36, 37, 38 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39 (Note 3)	Total number of bits per slot for slots 416, 17, 18, 19, 36, 37, 38 and 39	Total modulate d symbols per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	16QAM	10	1/3	176	16	2	1	528	132
	10	60	6	11	16QAM	10	1/3	1064	16	2	1	3168	792
	10	60	11	11	16QAM	10	1/3	1928	16	2	1	5808	1452
	15	60	9	11	16QAM	10	1/3	1608	16	2	1	4752	1188
	15	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	20	60	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	20	60	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	25	60	16	11	16QAM	10	1/3	2792	16	2	1	8448	2112
	25	60	31	11	16QAM	10	1/3	5376	24	1	1	16368	4092
	30	60	19	11	16QAM	10	1/3	3368	16	2	1	10032	2508
	30	60	38	11	16QAM	10	1/3	6656	24	1	1	20064	5016
	40	60	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	40	60	51	11	16QAM	10	1/3	8968	24	1	2	26928	6732
	50	60	33	11	16QAM	10	1/3	5760	24	1	1	17424	4356
	50	60	65	11	16QAM	10	1/3	11272	24	1	2	34320	8580
	60	60	40	11	16QAM	10	1/3	7040	24	1	1	21120	5280
	60	60	79	11	16QAM	10	1/3	13832	24	1	2	41712	10428
	80	60	54	11	16QAM	10	1/3	9480	24	1	2	28512	7128
	80	60	107	11	16QAM	10	1/3	18960	24	1	3	56496	14124
	90	60	61	11	16QAM	10	1/3	10760	24	1	2	32208	8052
	90	60	121	11	16QAM	10	1/3	21000	24	1	3	63888	15972
	100	60	68	11	16QAM	10	1/3	11784	24	1	2	35904	8976
	100	60	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820

Note 2:

MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit) Note 3:

#### A.2.3.8 CP-OFDM 64QAM

Table A.2.3.8-1: Reference Channels for CP-OFDM 64QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	64QAM	19	1/2	9992	24	1	2	19800	3300
	10	15	52	11	64QAM	19	1/2	21000	24	1	3	41184	6864
	15	15	79	11	64QAM	19	1/2	31752	24	1	4	62568	10428
	20	15	106	11	64QAM	19	1/2	42016	24	1	5	83952	13992
	25	15	133	11	64QAM	19	1/2	53288	24	1	7	105336	17556
	30	15	160	11	64QAM	19	1/2	63528	24	1	8	126720	21120
	40	15	216	11	64QAM	19	1/2	86040	24	1	11	171072	28512
	50	15	270	11	64QAM	19	1/2	108552	24	1	13	213840	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.8-2: Reference Channels for CP-OFDM 64QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	11	11	64QAM	19	1/2	4352	24	1	1	8712	1452
	10	30	24	11	64QAM	19	1/2	9480	24	1	2	19008	3168
	15	30	38	11	64QAM	19	1/2	15112	24	1	2	30096	5016
	20	30	51	11	64QAM	19	1/2	20496	24	1	3	40392	6732
	25	30	65	11	64QAM	19	1/2	26120	24	1	4	51480	8580
	30	30	78	11	64QAM	19	1/2	31240	24	1	4	61776	10296
-	40	30	106	11	64QAM	19	1/2	42016	24	1	5	83952	13992
	50	30	133	11	64QAM	19	1/2	53288	24	1	7	105336	17556
	60	30	162	11	64QAM	19	1/2	64552	24	1	8	128304	21384
	80	30	217	11	64QAM	19	1/2	86040	24	1	11	171864	28644
	90	30	245	11	64QAM	19	1/2	98376	24	1	12	194040	32340
	100	30	273	11	64QAM	19	1/2	108552	24	1	13	216216	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.8-3: Reference Channels for CP-OFDM 64QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 16, 17, 18, 19, 36, 37, 38 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39 (Note 3)	Total number of bits per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39	Total modulate d symbols per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	11	11	64QAM	19	1/2	4352	24	1	1	8712	1452
	15	60	18	11	64QAM	19	1/2	7168	24	1	1	14256	2376
	20	60	24	11	64QAM	19	1/2	9480	24	1	2	19008	3168
	25	60	31	11	64QAM	19	1/2	12296	24	1	2	24552	4092
	30	60	38	11	64QAM	19	1/2	15112	24	1	2	30096	5016
	40	60	51	11	64QAM	19	1/2	20496	24	1	3	40392	6732
	50	60	65	11	64QAM	19	1/2	26120	24	1	4	51480	8580
	60	60	79	11	64QAM	19	1/2	31752	24	1	4	62568	10428
	80	60	107	11	64QAM	19	1/2	43032	24	1	6	84744	14124
	90	60	121	11	64QAM	19	1/2	48168	24	1	6	95832	15972
	100	60	135	11	64QAM	19	1/2	54296	24	1	7	106920	17820

Note 2:

MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.3.9 CP-OFDM 256QAM

Table A.2.3.9-1: Reference Channels for CP-OFDM 256QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	256QAM	20	2/3	17424	24	1	3	26400	3300
	10	15	52	11	256QAM	20	2/3	36896	24	1	5	54912	6864
	15	15	79	11	256QAM	20	2/3	55304	24	1	7	83424	10428
	20	15	106	11	256QAM	20	2/3	73776	24	1	9	111936	13992
	25	15	133	11	256QAM	20	2/3	94248	24	1	12	140448	17556
	30	15	160	11	256QAM	20	2/3	112648	24	1	14	168960	21120
	40	15	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	50	15	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.9-2: Reference Channels for CP-OFDM 256QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	11	11	256QAM	20	2/3	7680	24	1	1	11616	1452
	10	30	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	15	30	38	11	256QAM	20	2/3	26632	24	1	4	40128	5016
	20	30	51	11	256QAM	20	2/3	35856	24	1	5	53856	6732
	25	30	65	11	256QAM	20	2/3	46104	24	1	6	68640	8580
	30	30	78	11	256QAM	20	2/3	55304	24	1	7	82368	10296
`	40	30	106	11	256QAM	20	2/3	73776	24	1	9	111936	13992
	50	30	133	11	256QAM	20	2/3	94248	24	1	12	140448	17556
· <del></del>	60	30	162	11	256QAM	20	2/3	114776	24	1	14	171072	21384
·	80	30	217	11	256QAM	20	2/3	151608	24	1	18	229152	28644
	90	30	245	11	256QAM	20	2/3	172176	24	1	21	258720	32340
	100	30	273	11	256QAM	20	2/3	192624	24	1	23	288288	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.9-3: Reference Channels for CP-OFDM 256QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP- OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 16, 17, 18, 19, 36, 37, 38 and 39	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39 (Note 3)	Total number of bits per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39	Total modulated symbols per slot for slots 16, 17, 18, 19, 36, 37, 38 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	11	11	256QAM	20	2/3	7680	24	1	1	11616	1452
	15	60	18	11	256QAM	20	2/3	12552	24	1	2	19008	2376
	20	60	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	25	60	31	11	256QAM	20	2/3	22032	24	1	3	32736	4092
	30	60	38	11	256QAM	20	2/3	26632	24	1	4	40128	5016
	40	60	51	11	256QAM	20	2/3	35856	24	1	5	53856	6732
	50	60	65	11	256QAM	20	2/3	46104	24	1	6	68640	8580
	60	60	79	11	256QAM	20	2/3	55304	24	1	7	83424	10428
	80	60	107	11	256QAM	20	2/3	75792	24	1	9	112992	14124
	90	60	121	11	256QAM	20	2/3	86040	24	1	11	127776	15972
	100	60	135	11	256QAM	20	2/3	94248	24	1	12	142560	17820

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.3 DL reference measurement channels

### A.3.1 General

Unless otherwise stated, Tables A.3.2.2-1, A.3.2.2-2, A.3.2.2-3, A.3.3.2-1, A.3.3.2-2 and A.3.3.2-3 are applicable for measurements of the Receiver Characteristics (clause 7) with the exception of subclauses 7.4 (Maximum input level).

Unless otherwise stated, Tables A.3.2.3-1, A.3.2.3-2, A.3.2.3-3, A.3.3.3-1, A.3.3.3-2 and A.3.3.3-3 are applicable for subclauses 7.4 (Maximum input level) and for UE not supporting PDSCH 256QAM,

Unless otherwise stated, Tables A.3.2.4-1, A.3.2.4-2, A.3.2.4-3, A.3.3.4-1, A.3.3.4-2 and A.3.3.4-3 are applicable for subclauses 7.4 (Maximum input level) and for UE supporting PDSCH 256QAM,

Unless otherwise stated, Tables A.3.2.2-1, A.3.2.2-2, A.3.2.2-3, A.3.3.2-1, A.3.3.2-2 and A.3.3.2-3 also apply for the modulated interferer used in Clauses 7.5, 7.6 and 7.8 with test specific bandwidths.

Table A.3.1-1: Common reference channel parameters

Parameter	Unit	Value
CORESET frequency domain allocation		Full BW
CORESET time domain allocation		2 OFDM symbols at the begin of each slot
PDSCH mapping type		Type A
PDSCH start symbol index (S)		2
Number of consecutive PDSCH symbols (L)		12
PDSCH PRB bundling	PRBs	2
Dynamic PRB bundling		false
Overhead value for TBS determination		0
First DMRS position for Type A PDSCH mapping		2
DMRS type		Type 1
Number of additional DMRS		2
FDM between DMRS and PDSCH		Disable
TRS configuration		2 slots, periodicity 10 ms, offset 0
PTRS configuration		PTRS is not configured

## A.3.2 DL reference measurement channels for FDD

### A.3.2.1 General

Table A.3.2.1-1: Additional reference channels parameters for FDD

Parameter	Unit	Value
Number of HARQ Processes		4
K1 value		2 for all slots

## A.3.2.2 FRC for receiver requirements for QPSK

Table A.3.2.2-1: Fixed reference channel for receiver requirements (SCS 15 kHz, FDD, QPSK 1/3)

Parameter	Unit				Va	lue			
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		9	9	9	9	9	9	9	9
MCS Index		4	4	4	4	4	4	4	4
MCS Table for TBS determination					64C	(MA)			
Modulation		QPSK	QPSK						
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,3,4,5,6,7,8,9	Bits	1672	3368	5120	6912	8712	10504	14088	17424
Transport block CRC	Bits	16	16	24	24	24	24	24	24
LDPC base graph		2	2	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slot 0	CBs	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	CBs	1	1	1	1	2	2	2	3
Binary Channel Bits per Slot									
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,3,4,5,6,7,8,9	Bits	5400	11232	17064	22896	28728	34560	46656	58320
Max. Throughput averaged over 1 frame	Mbps	1.504	3.031	4.608	6.220	7.841	9.454	12.67 9	15.68 2

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

Note 3: SS/PBCH block is transmitted in slot #0 of each frame.

Note 4: Slot i is slot index per frame, QPSK 1/3.

Table A.3.2.2-2: Fixed reference channel for receiver requirements (SCS 30 kHz, FDD, QPSK 1/3)

Parameter	Unit						Va	lue					
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	90	100
Subcarrier spacing configuration $^{\mu}$		1	1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	[78]	106	133	162	217	245	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		19	19	19	19	19	19	19	19	19	19	19	19
MCS Index		4	4	4	4	4	4	4	4	4	4	4	4
MCS Table for TBS determination							640	QAM					
Modulation		QPSK	QPSK	QPSK	QPSK	QPSK	QPSK						
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot													
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,,19	Bits	736	1608	2472	3368	4224	4992	6912	8712	10504	14088	15880	17928
Transport block CRC	Bits	16	16	16	16	24	24	24	24	24	24	24	24
LDPC base graph		2	2	2	2	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot													
For Slot 0	CBs	N/A	N/A	N/A	N/A	N/A	N/A						
For Slots 1,,19	CBs	1	1	1	1	1	1	1	2	2	2	2	3
Binary Channel Bits per Slot													
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,,19	Bits	2376	5184	8208	11016	14040	16848	22896	28728	34992	46872	52920	58968
Max. Throughput averaged over 1				_	_		_				_		
frame	Mbps	1.398	3.055	4.697	6.399	8.025	9.485	13.133	16.553	19.958	26.767	30.172	34.063

Note 1:

Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit). Note 2:

SS/PBCH block is transmitted in slot #0 of each frame. Note 3:

Note 4: Slot i is slot index per frame.

Table A.3.2.2-3: Fixed reference channel for receiver requirements (SCS 60 kHz, FDD, QPSK 1/3)

Parameter	Unit						Value					
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	90	100
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	38	51	65	79	107	121	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		38	38	38	38	38	38	38	38	38	38	38
MCS Index		4	4	4	4	4	4	4	4	4	4	4
MCS Table for TBS Determination							64QAM					
Modulation		QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slot 0,1	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,,39	Bits	736	1192	1608	2024	2472	3368	4224	5120	6912	7808	8712
Transport block CRC	Bits	16	16	16	16	16	16	24	24	24	24	24
LDPC base graph		2	2	2	2	2	2	1	1	1	1	1
Number of Code Blocks per Slot												
For Slot 0,1	CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,,39	CBs	1	1	1	1	1	1	1	1	1	1	2
Binary Channel Bits per Slot												
For Slot 0,1	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,,39	Bits	2376	3888	5184	6696	8208	11016	14040	17064	23112	26136	29160
Max. Throughput averaged over 1 frame	Mbps	2.870	4.649	6.271	7.894	9.641	13.135	16.474	19.968	26.957	30.451	33.977

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot #0 of each frame.

NOTE 4: Slot i is slot index per frame.

# A.3.2.3 FRC for maximum input level for 64QAM

Table A.3.2.3-1: Fixed reference channel for maximum input level receiver requirements (SCS 15 kHz, FDD, 64QAM)

Parameter	Unit	Value							
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		9	9	9	9	9	9	9	9
MCS Index		24	24	24	24	24	24	24	24
MCS Table for TBS determination		64QAM							
Modulation		64 QAM							
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,3,4,5,6,7,8,9	Bits	12296	25608	38936	52224	64552	77896	10657 6	13117 6
Transport block CRC	Bits	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slot 0	CBs	N/A							
For Slots 1,2,3,4,5,6,7,8,9	CBs	2	4	5	7	8	10	13	16
Binary Channel Bits per Slot									
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,3,4,5,6,7,8,9	Bits	16200	33696	51192	68688	86184	10368 0	13996 8	17496 0
Max. Throughput averaged over 1 frame	Mbps	11.06 6	23.04 7	35.04 2	47.00 2	58.09 7	70.10 6	95.91 8	118.0 58

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot 0 of each frame

NOTE 4: Slot i is slot index per frame

Table A.3.2.3-2: Fixed reference channel for maximum input level receiver requirements (SCS 30 kHz, FDD, 64QAM)

Parameter	Unit						Value					
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $^{\mu}$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	[78]	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		19	19	19	19	19	19	19	19	19	19	19
MCS Index		24	24	24	24	24	24	24	24	24	24	24
MCS Table for TBS determination							64QAM					
Modulation		64	64	64	64	64	64	64	64	64	64	64
		QAM	QAM	QAM	QAM							
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,,19	Bits	5376	11784	18432	25104	31752	37896	52224	64552	79896	106576	135296
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slot 0	CBs	N/A	N/A	N/A	N/A							
For Slots 1,,19	CBs	1	2	3	3	4	5	7	8	10	13	17
Binary Channel Bits per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,,19	Bits	7128	15552	24624	33048	42120	50544	68688	86184	104976	140616	176904
Max. Throughput averaged over 1 frame	Mbps	10.214	22.390	35.021	47.698	60.329	72.002	99.226	122.64 9	151.80 2	202.49 4	257.06 2

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot 0 of each frame.

Note 4: Slot i is slot index per frame.

Table A.3.2.3-3: Fixed Reference Channel for Maximum input level receiver requirements (SCS 60 kHz, FDD, 64QAM)

Parameter	Unit					Va	lue				
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	[38]	51	65	79	107	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		38	38	38	38	38	38	38	38	38	38
MCS Index		24	24	24	24	24	24	24	24	24	24
MCS Table for TBS determination						640	QAM				
Modulation		64	64	64	64	64	64	64	64	64	64
		QAM	QAM	QAM	QAM						
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot											
For Slot 0,1	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,,39	Bits	5376	8712	11784	15112	18432	25104	31752	38936	52224	65576
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot											
For Slot 0,1	CBs	N/A	N/A	N/A	N/A						
For Slots 2,,39	CBs	1	2	2	2	3	3	4	5	7	8
Binary Channel Bits per Slot											
For Slot 0,1	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,,39	Bits	7128	11664	15552	20088	24624	33048	42120	51192	69336	87480
Max. Throughput averaged over 1 frame	Mbps	20.429	33.106	44.779	57.426	70.042	95.395	120.65 8	147.95 7	198.45 1	249.18 9

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot #0 of each frame.

Note 4: Slot i is slot index per frame.

# A.3.2.4 FRC for maximum input level for 256 QAM

Table A.3.2.4-1: Fixed reference channel for maximum input level receiver requirements (SCS 15 kHz, FDD, 256QAM)

Unit				Va	lue			
MHz	5	10	15	20	25	30	40	50
kHz	15	15	15	15	15	15	15	15
	0	0	0	0	0	0	0	0
	25	52	79	106	133	[160]	216	270
	12	12	12	12	12	12	12	12
	9	9	9	9	9	9	9	9
	23	23	23	23	23	23	23	23
				2560	QAM			
	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM
	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
	1	1	1	1	1	1	1	1
Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Bits	16896	34816	53288	71688	90176	10855 2	14340 0	18037 6
Bits	24	24	24	24	24	24	24	24
	1	1	1	1	1	1	1	1
CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
CBs	3	5	7	9	12	14	18	23
Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Bits	21600	44928	68256	91584	11491 2	13824 0	18662 4	23328 0
Mbps	15.20	31.33	47.95	64.51	81.15	97.69	129.0	162.3
	Bits Bits CBs CBs CBs Bits Bits	MHz 5	MHz 5 10  kHz 15 15  0 0  25 52  12 12 12  9 9  23 23  256 QAM QAM  4/5 4/5  1 1  Bits N/A N/A  Bits 16896 34816  Bits 24 24  1 1  CBs N/A N/A  CBs 3 5  Bits N/A N/A  Bits 21600 44928	MHz 5 10 15  kHz 15 15 15  0 0 0 0  25 52 79  12 12 12 12  9 9 9 9  23 23 23  256 QAM QAM QAM  4/5 4/5 4/5  1 1 1  Bits N/A N/A N/A  Bits 16896 34816 53288  Bits 24 24 24  1 1 1  CBs N/A N/A N/A  CBs 3 5 7  Bits N/A N/A N/A  Bits 21600 44928 68256	MHz         5         10         15         20           kHz         15         15         15         15           0         0         0         0         0           25         52         79         106           12         12         12         12           9         9         9         9           23         23         23         23           256         256         256         256           QAM         QAM         QAM         QAM           4/5         4/5         4/5         4/5           1         1         1         1           Bits         16896         34816         53288         71688           Bits         24         24         24         24           1         1         1         1         1           CBs         N/A         N/A         N/A         N/A         N/A           Bits         N/A         N/A         N/A         N/A         N/A           Bits         21600         44928         68256         91584	MHz         5         10         15         20         25           kHz         15         15         15         15         15           0         0         0         0         0         0           25         52         79         106         133           12         12         12         12         12           9         9         9         9         9         9           23         23         23         23         23         23           256QAM         QAM         QAM         QAM         QAM         QAM           4/5         4/5         4/5         4/5         4/5         4/5           1         1         1         1         1         1           Bits         16896         34816         53288         71688         90176           Bits         24         24         24         24         24           1         1         1         1         1         1           CBs         N/A         N/A         N/A         N/A         N/A         N/A           CBs         3         5         7 <td< td=""><td>MHz         5         10         15         20         25         30           kHz         15         15         15         15         15         15           0         0         0         0         0         0         0           25         52         79         106         133         [160]           12         12         12         12         12         12           9         9         9         9         9         9         9           23         23         23         23         23         23         23           256         24         24         24         24         24         24         24         24         24         24         24         24         24         24         24</td></td<> <td>MHz         5         10         15         20         25         30         40           kHz         15         15         15         15         15         15         15           0         0         0         0         0         0         0         0           25         52         79         106         133         [160]         216           12         12         12         12         12         12         12           9</td>	MHz         5         10         15         20         25         30           kHz         15         15         15         15         15         15           0         0         0         0         0         0         0           25         52         79         106         133         [160]           12         12         12         12         12         12           9         9         9         9         9         9         9           23         23         23         23         23         23         23           256         24         24         24         24         24         24         24         24         24         24         24         24         24         24         24	MHz         5         10         15         20         25         30         40           kHz         15         15         15         15         15         15         15           0         0         0         0         0         0         0         0           25         52         79         106         133         [160]         216           12         12         12         12         12         12         12           9

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot 0 of each frame.

Note 4: Slot i is slot index per frame.

Table A.3.2.4-2: Fixed reference channel for maximum input level receiver requirements (SCS 30 kHz, FDD, 256QAM)

Parameter	Unit						Value					
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $^{\mu}$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	[78]	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		19	19	19	19	19	19	19	19	19	19	19
MCS Index		23	23	23	23	23	23	23	23	23	23	23
MCS Table for TBS determination							256QAM					
Modulation		256	256	256	256	256	256	256	256	256	256	256
		QAM	QAM	QAM	QAM							
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,,19	Bits	7424	16136	25608	33816	44040	52224	71688	90176	108552	147576	184424
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slot 0	CBs	N/A	N/A	N/A	N/A							
For Slots 1,,19	CBs	1	3	4	5	6	7	9	12	14	19	23
Binary Channel Bits per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,,19	Bits	9504	20736	32832	44064	56160	67392	91584	114912	139968	187488	235872
Max. Throughput averaged over 1 frame	Mbps	14.106	30.658	48.655	64.250	83.676	99.226	136.20	171.33 4	206.24 9	280.39 4	350.40 6

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot 0 of each frame.

Note 4: Slot i is slot index per frame.

Table A.3.2.4-3: Fixed reference channel for maximum input level receiver requirements (SCS 60 kHz, FDD, 256QAM)

Parameter	Unit					Va	lue				
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $^{\mu}$		2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	[38]	51	65	79	107	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		38	38	38	38	38	38	38	38	38	38
MCS Index		23	23	23	23	23	23	23	23	23	23
MCS Table for TBS determination						2560	QAM				
Modulation		256	256	256	256	256	256	256	256	256	256
		QAM	QAM	QAM	QAM	QAM	QAM	QAM	QAM	QAM	QAM
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot											
For Slot 0,1	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,,39	Bits	7424	12040	16136	21000	25608	33816	44040	53288	71688	90176
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot											
For Slot 0,1	CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,,39	CBs	1	2	3	3	4	5	6	7	9	12
Binary Channel Bits per Slot											
For Slot 0,1	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,,39	Bits	9504	15552	20736	26784	32832	44064	56160	68256	92448	116640
Max. Throughput averaged over 1 frame	Mbps	28.211	45.752	61.317	79.800	97.310	128.50 1	167.35 2	202.49 4	272.41 4	342.66 9

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot #0 of each frame.

Note 4: Slot i is slot index per frame.

# A.3.3 DL reference measurement channels for TDD

# A.3.3.1 General

Table A.3.3.1-1: Additional reference channels parameters for TDD

D	2 × 2 × 2 × 2 × 2		Value	
P	arameter	SCS 15 kHz (µ=0)	SCS 30 kHz (µ=1)	SCS 60 kHz (µ=2)
UL-DL configuration	referenceSubcarrie rSpacing	15 kHz	30 kHz	60 kHz
	dl-UL- TransmissionPerio dicity nrofDownlinkSlots	5 ms	5 ms	5 ms
	nrofDownlinkSymb ols	10	6	12
	nrofUplinkSlot	1	2	4
	nrofUplinkSymbols	2	4	8
Number of HA	RQ Processes	8	8	16
K1 value		$K1 = 4 \text{ if mod}(i,5) = 0$ $K1 = 3 \text{ if mod}(i,5) = 1$ $K1 = 2 \text{ if mod}(i,5) = 2$ where i is slot index per frame; i = $\{0,,9\}$	K1 =8 if $mod(i,10) = 0$ K1 =7 if $mod(i,10) = 1$ K1 =6 if $mod(i,10) = 2$ K1 =5 if $mod(i,10) = 3$ K1 =4 if $mod(i,10) = 4$ K1 =3 if $mod(i,10) = 5$ K1 =2 if $mod(i,10) = 6$ where i is slot index per frame; $i = \{0,,19\}$	K1 = 15 if $mod(i,20) = 0K1 = 14$ if $mod(i,20) = 1K1 = 13$ if $mod(i,20) = 2K1 = 12$ if $mod(i,20) = 3K1 = 11$ if $mod(i,20) = 4K1 = 10$ if $mod(i,20) = 5K1 = 9$ if $mod(i,20) = 6K1 = 8$ if $mod(i,20) = 7K1 = 7$ if $mod(i,20) = 8K1 = 6$ if $mod(i,20) = 9K1 = 5$ if $mod(i,20) = 10K1 = 4$ if $mod(i,20) = 11K1 = 3$ if $mod(i,20) = 12K1 = 2$ if $mod(i,20) = 13where i is slot index per frame; i = \{0,,39\}$

# A.3.3.2 FRC for receiver requirements for QPSK

Table A.3.3.2-1: Fixed reference channel for receiver requirements (SCS 15 kHz, TDD, QPSK 1/3)

Parameter	Unit				Va	lue			
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		5	5	5	5	5	5	5	5
MCS Index		4	4	4	4	4	4	4	4
Modulation		QPSK							
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slots 0,3,4,8,9	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	Bits	1672	3368	5120	6912	8712	10504	14088	17424
Transport block CRC	Bits	16	16	24	24	24	24	24	24
LDPC base graph		2	2	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slots 0,3,4,8,9	CBs	N/A							
For Slots 1,2,5,6,7	CBs	1	1	1	1	2	2	2	3
Binary Channel Bits per Slot									
For Slots 0,3,4,8,9	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	Bits	5400	11232	17064	22896	28728	34560	46656	58320
Max. Throughput averaged over 1 frame	Mbps	0.836	1.684	2.560	3.456	4.356	5.252	7.044	8.712

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot 0 of each frame.

Note 4: Slot i is slot index per frame.

Table A.3.3.2-2: Fixed reference channel for receiver requirements (SCS 30 kHz, TDD, QPSK 1/3)

Parameter	Unit						Value					
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $^{\mu}$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	78	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		13	13	13	13	13	13	13	13	13	13	13
MCS Index		4	4	4	4	4	4	4	4	4	4	4
Modulation		QPSK	QPSK	QPSK	QPSK							
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slots 0 and Slot i, if mod(i, 10) = {7,8,9} for i from {0,,19}	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slot i, if $mod(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1,,19\}$	Bits	736	1608	2472	3368	4224	4992	6912	8712	10504	14088	17928
Transport block CRC	Bits	16	16	16	16	24	24	24	24	24	24	24
LDPC base graph		2	2	2	2	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slots 0 and Slot i, if mod(i, 10) = {7,8,9} for i from {0,,19}	CBs	N/A	N/A	N/A	N/A							
For Slot i, if $mod(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1,,19\}$	CBs	1	1	1	1	1	1	1	2	2	2	3
Binary Channel Bits per Slot												
For Slots 0 and Slot i, if mod(i, 10) = {7,8,9} for i from {0,,19}	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slot i, if $mod(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1,,19\}$	Bits	2376	5184	8208	11016	14040	16848	22896	28728	34992	46872	58968
Max. Throughput averaged over 1 frame	Mbps	0.957	2.090	3.214	4.378	5.491	6.490	8.986	11.326	13.655	18.314	23.306

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot #0 of each frame.

Note 4: Slot i is slot index per frame.

Table A.3.3.2-3: Fixed reference channel for receiver requirements (SCS 60 kHz, TDD, QPSK 1/3)

Parameter	Unit					Va	lue				
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	38	51	65	79	107	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		26	26	26	26	26	26	26	26	26	26
MCS Index		4	4	4	4	4	4	4	4	4	4
Modulation		QPSK	QPSK	QPSK	QPSK						
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot											
For Slots 0,1 and Slot i, if mod(i, 20) = {14, 15, 16, 17, 18, 19} for i from {0,,39}	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slot i, if $mod(i, 20) = \{0,,13\}$ for i from $\{2,,39\}$	Bits	736	1192	1608	2024	2472	3368	4224	5120	6912	8712
Transport block CRC	Bits	16	16	16	16	16	16	24	24	24	24
LDPC base graph		2	2	2	2	2	2	1	1	1	1
Number of Code Blocks per Slot											
For Slots 0,1 and Slot i, if mod(i, 20) = {14, 15, 16, 17, 18, 19} for i from {0,,39}	CBs	N/A	N/A	N/A	N/A						
For Slot i, if $mod(i, 20) = \{0,,13\}$ for i from $\{2,,39\}$	CBs	1	1	1	1	1	1	1	1	1	2
Binary Channel Bits per Slot											
For Slots 0,1 and Slot i, if mod(i, 20) = {14, 15, 16, 17, 18, 19} for i from {0,,39}	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slot i, if $mod(i, 5) = \{0,,13\}$ for i from $\{2,,39\}$	Bits	2376	3888	5184	6696	8208	11016	14040	17064	23112	29160
Max. Throughput averaged over 1 frame	Mbps	1.914	3.099	4.181	5.262	6.427	8.757	10.982	13.312	17.961	22.651

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot #0 of each frame.

Note 4: Slot i is slot index per frame.

# A.3.3.3 FRC for maximum input level for 64QAM

Table A.3.3.3-1: Fixed reference channel for maximum input level receiver requirements (SCS 15 kHz, TDD, 64QAM)

Parameter	Unit				Va	lue			
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $^{\mu}$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		5	5	5	5	5	5	5	5
MCS Index		24	24	24	24	24	24	24	24
Modulation		64 QAM	64 QAM	64 QAM	64 QAM	64 QAM	64 QAM	64 QAM	64 QAM
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slots 0,3,4,8,9	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	Bits	12296	25608	38936	52224	64552	77896	10657 6	13117 6
Transport block CRC	Bits	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slots 0,3,4,8,9	CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	CBs	2	4	5	7	8	10	13	16
Binary Channel Bits per Slot									
For Slots 0,3,4,8,9	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	Bits	16200	33696	51192	68688	86184	10368 0	13996 8	17496 0
Max. Throughput averaged over 1 frame	Mbps	6.148	12.80 4	19.46 8	26.11 2	32.27 6	38.94 8	53.28 8	65.58 8

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot 0 of each frame.

Note 4: Slot i is slot index per frame.

Table A.3.3.3-2: Fixed reference channel for maximum input level receiver requirements (SCS 30 kHz, TDD, 64QAM)

Parameter	Unit						Value					
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	78	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		13	13	13	13	13	13	13	13	13	13	13
MCS Index		24	24	24	24	24	24	24	24	24	24	24
Modulation		64	64	64	64	64	64	64	64	64	64	64
		QAM	QAM	QAM	QAM	QAM	QAM	QAM	QAM	QAM	QAM	QAM
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slots 0 and Slot i, if mod(i, 10) =	Dit-	N1/A	N1/A	N1/A	NI/A							
{7,8,9} for i from {0,,19}	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slot i, if $mod(i, 10) = \{0,1,2,3,4,5,6\}$ for	Dita	5070	44704	40400	05404	04750	07000	50004	0.4550	70000	400570	405000
i from {1,,19}	Bits	5376	11784	18432	25104	31752	37896	52224	64552	79896	106576	135296
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slots 0 and Slot i, if mod(i, 10) =	CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
{7,8,9} for i from {0,,19}	CDS	IN/A	IN/A	IN/A	IN/A	IN/A	IN/A	IN/A	IN/A	IN/A	IN/A	IN/A
For Slot i, if $mod(i, 10) = \{0,1,2,3,4,5,6\}$ for	CBs	1	2	3	3	4	E	7	8	10	13	17
i from {1,,19}	CDS	'	2	3	3	4	5	/	0	10	13	17
Binary Channel Bits per Slot												
For Slots 0 and Slot i, if mod(i, 10) =	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
{7,8,9} for i from {0,,19}	DIIS	IN/A	IN/A	IN/A	IN/A	IN/A	IN/A	IN/A	IN/A	IN/A	IN/A	IN/A
For Slot i, if $mod(i, 10) = \{0,1,2,3,4,5,6\}$ for	Bits	7128	15552	24624	33048	42120	50544	68688	86184	104976	140616	176904
i from {1,,19}	DIIS	/ 120	10002	24024	33046	42120	30344	00000	00104	104976	140010	170904
Max. Throughput averaged over 1 frame	Mbps	6.989	15.319	23.962	32.635	41.278	49.265	67.891	83.918	103.86	138.54	175.88
	IVIDPS	0.909	13.319	23.902	32.033	41.210	49.203	07.091	03.910	5	9	5

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot #0 of each frame.

Note 4: Slot i is slot index per frame.

Table A.3.3.3-3: Fixed reference channel for maximum input level receiver requirements (SCS 60 kHz, TDD, 64QAM)

Parameter	Unit					Va	lue				
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	38	51	65	79	107	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		26	26	26	26	26	26	26	26	26	26
MCS Index		24	24	24	24	24	24	24	24	24	24
Modulation		64	64	64	64	64	64	64	64	64	64
		QAM									
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot											
For Slots 0,1 and Slot i, if mod(i, 20) =	D:4-	N1/A	NI/A								
{14, 15, 16, 17, 18, 19} for i from {0,,39}	Bits	N/A									
For Slot i, if $mod(i, 5) = \{0,, 13\}$ for i from	Dite	5070	0740	44704	45440	40400	25404	24752	20020	E0004	05570
{2,,39}	Bits	5376	8712	11784	15112	18432	25104	31752	38936	52224	65576
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot											
For Slots 0,1 and Slot i, if mod(i, 20) =	CBs	N/A									
{14, 15, 16, 17, 18, 19} for i from {0,,39}	CDS	IN/A									
For Slot i, if $mod(i, 5) = \{0,, 13\}$ for i	CBs	1	2	2	2	3	3	4	5	7	8
from {2,,39}	CDS					3	3	4	5	,	0
Binary Channel Bits per Slot											
For Slots 0,1 and Slot i, if mod(i, 20) =	Bits	N/A									
{14, 15, 16, 17, 18, 19} for i from {0,,39}	DIIS	IN/A									
For Slot i, if $mod(i, 5) = \{0,, 13\}$ for i	Bits	7128	11664	15552	20088	24624	33048	42120	51192	69336	87480
from {2,,39}	סונס	1120	11004	10002	20000	24024	33040	42120	31182		
Max. Throughput averaged over 1 frame	Mbps	13.978	22.651	30.638	39.291	47.923	65.270	82.555	101.23	135.78	170.49
	IVIDPS	13.910	22.001	30.036	33.231	41.323	00.270	02.000	4	2	8

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot #0 of each frame.

Note 4: Slot i is slot index per frame.

# A.3.3.4 FRC for maximum input level for 256 QAM

Table A.3.3.4-1: Fixed reference channel for maximum input level receiver requirements (SCS 15 kHz, TDD, 256QAM)

Parameter	Unit				Va	lue			
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		5	5	5	5	5	5	5	5
MCS Index		23	23	23	23	23	23	23	23
Modulation		256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slots 0,3,4,8,9	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	Bits	16896	34816	53288	71688	90176	10855 2	14340 0	18037 6
Transport block CRC	Bits	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slots 0,3,4,8,9	CBs	N/A							
For Slots 1,2,5,6,7	CBs	3	5	7	9	12	14	18	23
Binary Channel Bits per Slot									
For Slots 0,3,4,8,9	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	Bits	21600	44928	68256	91584	11491 2	13824 0	18662 4	23328 0
Max. Throughput averaged over 1 frame	Mbps	8.448	17.40 8	26.64 4	35.84 4	45.08 8	54.27 6	71.70 0	90.18 8

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot 0 of each frame.

Note 4: Slot i is slot index per frame.

Table A.3.3.4-2: Fixed Reference channel for maximum input level receiver requirements (SCS 30 kHz, TDD, 256QAM)

Parameter	Unit						Value					
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	78	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		13	13	13	13	13	13	13	13	13	13	13
MCS Index		23	23	23	23	23	23	23	23	23	23	23
Modulation		256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slots 0 and Slot i, if mod(i, 10) = {7,8,9} for i from {0,,19}	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slot i, if $mod(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1,,19\}$	Bits	7424	16136	25608	33816	44040	52224	71688	90176	108552	147576	184424
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slots 0 and Slot i, if $mod(i, 10) = \{7,8,9\}$ for i from $\{0,,19\}$	CBs	N/A	N/A	N/A	N/A							
For Slot i, if $mod(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1,,19\}$	CBs	1	1	1	1	1	1	1	2	2	2	3
Binary Channel Bits per Slot												
For Slots 0 and Slot i, if mod(i, 10) = {7,8,9} for i from {0,,19}	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slot i, if $mod(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1,,19\}$	Bits	9504	20736	32832	44064	56160	67392	91584	114912	139968	187488	235872
Max. Throughput averaged over 1 frame	Mbps	9.651	20.977	33.290	43.961	57.252	67.891	93.194	117.22 9	141.11 8	191.84 9	239.75 1

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

SS/PBCH block is transmitted in slot #0 of each frame. Note 3:

Note 4: Slot i is slot index per frame.

Table A.3.3.4-3: Fixed reference channel for maximum input level receiver requirements (SCS 60 kHz, TDD, 256QAM)

Parameter	Unit					Va	lue				
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	38	51	65	79	107	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		26	26	26	26	26	26	26	26	26	26
MCS Index		23	23	23	23	23	23	23	23	23	23
Modulation		256	256	256	256	256	256	256	256	256	256
		QAM									
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot											
For Slots 0,1 and Slot i, if mod(i, 20) = {14, 15, 16, 17, 18, 19} for i from {0,,39}	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slot i, if $mod(i, 20) = \{0,,13\}$ for i from $\{2,,39\}$	Bits	7424	12040	16136	21000	25608	33816	44040	53288	71688	90176
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot											
For Slots 0,1 and Slot i, if mod(i, 20) = {14, 15, 16, 17, 18, 19} for i from {0,,39}	CBs	N/A									
For Slot i, if $mod(i, 5) = \{0,, 13\}$ for i from $\{2,,39\}$	CBs	1	2	3	3	4	5	6	7	9	12
Binary Channel Bits per Slot											
For Slots 0,1 and Slot i, if mod(i, 20) = {14, 15, 16, 17, 18, 19} for i from {0,,39}	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slot i, if $mod(i, 5) = \{0,, 13\}$ for i from $\{2,,39\}$	Bits	9504	15552	20736	26784	32832	44064	56160	68256	92448	116640
Max. Throughput averaged over 1 frame	Mbps	19.302	31.304	41.954	54.600	66.581	87.922	114.50	138.54	186.38	234.45

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 3: SS/PBCH block is transmitted in slot #0 of each frame.

Note 4: Slot i is slot index per frame.

# A.4 CSI reference measurement channels

TBD

# A.5 OFDMA Channel Noise Generator (OCNG)

#### A.5.1 OCNG Patterns for FDD

# A.5.1.1 OCNG FDD pattern 1: Generic OCNG FDD Pattern for all unused REs

Table A.5.1.1-1: OP.1 FDD: Generic OCNG FDD Pattern for all unused REs

OCNG Distribution	Control Region	Data Region				
OCNG Parameters	(Core Set)					
Resources allocated	All unused REs (Note 1)	All unused REs (Note 2)				
Structure	PDCCH	PDSCH				
Content	Uncorrelated pseudo random QPSK modulated data	Uncorrelated pseudo random QPSK modulated data				
Transmission scheme for multiple antennas ports transmission	Single Tx port transmission	Spatial multiplexing using any precoding matrix with dimensions same as the precoding matrix for PDSCH				
Subcarrier Spacing	Same as for RMC PDCCH in the active BWP	Same as for RMC PDSCH in the active BWP				
Power Level	Same as for RMC PDCCH	Same as for RMC PDSCH				
Note 1: All unused REs in the active CORESETS appointed by the search spaces in use.  Note 2: Unused available REs refer to REs in PRBs not allocated for any physical channels, CORESETs, synchronization signals or reference signals in channel bandwidth.						

#### A.5.2 OCNG Patterns for TDD

# A.5.2.1 OCNG TDD pattern 1: Generic OCNG TDD Pattern for all unused REs

Table A.5.2.1-1: OP.1 TDD: Generic OCNG TDD Pattern for all unused REs

OCNG Distribution	Control Region	Data Region				
OCNG Parameters	(Core Set)	-				
Resources allocated	All unused REs (Note 1)	All unused REs (Note 2)				
Structure	PDCCH	PDSCH				
Content	Uncorrelated pseudo random QPSK modulated data	Uncorrelated pseudo random QPSK modulated data				
Transmission scheme for multiple antennas ports transmission	Single Tx port transmission	Spatial multiplexing using any precoding matrix with dimensions same as the precoding matrix for PDSCH				
Subcarrier Spacing	Same as for RMC PDCCH in the active BWP	Same as for RMC PDSCH in the active BWP				
Power Level	Same as for RMC PDCCH	Same as for RMC PDSCH				
Note 1: All unused REs in the active CORESETS appointed by the search spaces in use.  Note 2: Unused available REs refer to REs in PRBs not allocated for any physical channels, CORESETs, synchronization signals or reference signals in channel bandwidth.						

# Annex B (normative): Propagation Conditions

The propagation conditions and channel models for various environments are specified. For each environment a propagation model is used to evaluate the propagation pathless due to the distance. Channel models are formed by combining delay profiles with a Doppler spectrum, with the addition of correlation properties in the case of a multi-antenna scenario.

# B.0 No interference

The downlink connection between the System Simulator and the UE is without Additive White Gaussian Noise, and has no fading or multipath effects.

# Annex C (normative): Downlink physical channels

#### Downlink signal levels C.0

The downlink power settings in Table C.0-1 is used unless otherwise specified in a test case.

If the UE has more than one Rx antenna, the downlink signal is applied to each one. All UE Rx antennas shall be connected.

If the UE has one Rx antenna, the downlink signal is applied to it.

Table C.0-1: Default Downlink power levels for NR

scs		Unit		Channel bandwidth										
(kHz)			5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
15	Number of RBs		25	50	75	100	128	160	215	270	N/A	N/A	N/A	N/A
15	Channel BW power	dBm	-60	-57	-55	-54	-53	-52	-51	-50	N/A	N/A	N/A	N/A
30	Number of RBs		10	24	36	50	64	75	100	128	162	216	243	270
30	Channel BW power	dBm	-61	-57	-55	-54	-53	-52	-51	-50	-49	-48	-47	-47
60	Number of RBs		N/A	10	18	24	30	36	50	64	75	100	120	135
60	Channel BW power	dBm	N/A	-58	-56	-54	-53	-52	-51	-50	-49	-48	-47	-47
	RS EPRE	dBm/ 15kH z	-85	-85	-85	-85	-85	-85	-85	-85	-85	-85	-85	-85
	Note 1: The channel bandwidth powers are informative, based on -85dBm/15kHz SS/PBCH SSS EPRE, then scaled according to the number of RBs and rounded to the nearest integer dBm value. Full RE allocation with no boost or deboost is assumed.													
	Note 3: DI	ne power L level is	applied for	•				nfiguratio	n ( ) with	the same	e power	spectrum	n density	of -

The default signal level uncertainty is +/-3dB at each test port, for any level specified. If the uncertainty value is critical for the test purpose, a tighter uncertainty is specified for the related test case in Annex F

#### **C.1** General

The following clauses, describes the downlink Physical Channels that are transmitted during a connection i.e., when measurements are done.

#### **C.2** Setup

Table C.2-1 describes the downlink Physical Channels that are required for connection set up.

Table C.2-1: Downlink Physical Channels required for connection set-up

Physical Channel							
PBCH							
SSS							
PSS							
PDCCH							
PDSCH							
PBCH DMRS							
PDCCH DMRS							
PDSCH DMRS							
CSI-RS							

#### C.3 Connection

#### C.3.1 Measurement of Receiver Characteristics

Unless otherwise stated, Table C.3.1-1 is applicable for measurements on the Receiver Characteristics (clause 7).

Table C.3.1-1: Downlink Physical Channels transmitted during a connection (FDD and TDD)

Parameter	Unit	Value					
SSS transmit power	W	Test specific					
EPRE ratio of PSS to SSS	dB	0					
EPRE ratio of PBCH DMRS to SSS	dB	0					
EPRE ratio of PBCH to PBCH DMRS	dB	0					
EPRE ratio of PDCCH DMRS to SSS	dB	0					
EPRE ratio of PDCCH to PDCCH DMRS	dB	0					
EPRE ratio of PDSCH DMRS to SSS (Note 1)	dB	3					
EPRE ratio of PDSCH to PDSCH DMRS (Note 1)	dB	-3					
EPRE ratio of CSI-RS to SSS	dB	0					
EPRE ratio of PTRS to PDSCH	dB	Test specific					
EPRE ratio of OCNG DMRS to SSS	dB	0					
EPRE ratio of OCNG to OCNG DMRS (Note 1)	dB	0					
Note 1: No boosting is applied to any of the channels except PDSCH DMRS. For PDSCH DMRS, 3 dB power boosting is applied assuming DMRS Type 1 configuration when DMRS and PDSCH are TDM'ed and only half of the DMRS REs are occupied.							

Number of DMRS CDM groups without data for PDSCH DMRS configuration for OCNG is set to 1. Note 2:

# Annex E (normative): Global In-Channel TX-Test

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

Number of preamble sequences over which EVM<sub>PRACH</sub> is averaged is TBD in TS 38.101-1.

Note: Clauses E.2.2 to E.5.9.3 are descriptions, which assume no power ramping adjacent to the measurement period.

#### E.1 General

The global in-channel TX test enables the measurement of all relevant parameters that describe the in-channel quality of the output signal of the TX under test in a single measurement process.

The parameters describing the in-channel quality of a transmitter, however, are not necessarily independent. The algorithm chosen for description inside this annex places particular emphasis on the exclusion of all interdependencies among the parameters.

# E.2 Signals and results

# E.2.1 Basic principle

The process is based on the comparison of the actual **output signal of the TX under test**, received by an ideal receiver, with a **reference signal**, that is generated by the measuring equipment and represents an ideal error free received signal. All signals are represented as equivalent (generally complex) baseband signals.

The description below uses numbers as examples. These numbers are taken from FDD with normal CP length and 100 MHz bandwidth with 30 kHz SCS. The application of the text below, however, is not restricted to this frame structure and bandwidth.

# E.2.2 Output signal of the TX under test

The output signal of the TX under test is acquired by the measuring equipment and stored for further processing. It is sampled at a sampling rate of 122.88 Msps. In the time domain it comprises at least 10 uplink subframes. The measurement period is derived by concatenating the correct number of individual uplink slots until the correct measurement period is reached. The output signal is named z(v). Each slot is modelled as a signal with the following parameters: demodulated data content, carrier frequency, amplitude and phase for each subcarrier, timing, carrier leakage.

NOTE 1: TDD

Since the uplink subframes are not continuous, n slots should be extracted from more than 1 continuous radio frame where

$$n = \begin{cases} 10, \text{ for } 15 \text{ kHz SCS} \\ 20, \text{ for } 30 \text{ kHz SCS} \\ 30, \text{ for } 60 \text{ kHz SCS} \end{cases}$$

# E.2.3 Reference signal

Two types of reference signal are defined:

The reference signal  $i_1(v)$  is constructed by the measuring equipment according to the relevant TX specifications, using the following parameters: demodulated data content, nominal carrier frequency, nominal amplitude and phase for each

subcarrier, nominal timing, no carrier leakage. It is represented as a sequence of samples at a sampling rate of 122.88 Msps in the time domain.

The reference signal  $i_2(v)$  is constructed by the measuring equipment according to the relevant TX specifications, using the following parameters: restricted data content: nominal reference symbols, (all modulation symbols for user data symbols are set to 0V), nominal carrier frequency, nominal amplitude and phase for each applicable subcarrier, nominal timing, no carrier leakage. It is represented as a sequence of samples at a sampling rate of 122.88 Msps in the time domain.

NOTE: The PUCCH is off during the time under test.

#### E.2.4 Measurement results

The measurement results, achieved by the global in channel TX test are the following:

- Carrier Frequency error
- EVM (Error Vector Magnitude)
- Carrier leakage
- Unwanted emissions, falling into non allocated resource blocks.
- EVM equalizer spectrum flatness

## E.2.5 Measurement points

The unwanted emission falling into non-allocated RB(s) is calculated directly after the FFT as described below. In contrast to this, the EVM for the allocated RB(s) is calculated after the IDFT for DFT-s-OFDM or after the Tx-Rx chain equalizer for CP-OFDM. The samples after the TX-RX chain equalizer are used to calculate EVM equalizer spectrum flatness. Carrier frequency error and carrier leakage is calculated in the block "RF correction".

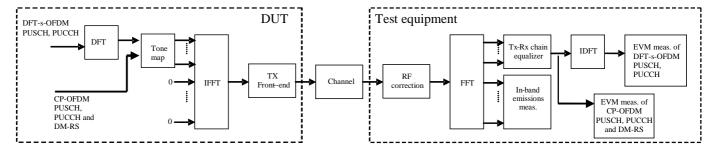


Figure E.2.5-1: EVM measurement points

# E.3 Signal processing

# E.3.1 Pre FFT minimization process

Before applying the pre-FFT minimization process, z(v) and i(v) are portioned into n pieces, comprising one slot each, where

$$n = \begin{cases} 10, \text{ for } 15 \text{ kHz SCS} \\ 20, \text{ for } 30 \text{ kHz SCS} \\ 30, \text{ for } 60 \text{ kHz SCS} \end{cases}$$

Each slot is processed separately. Sample timing, Carrier frequency and carrier leakage in z(v) are jointly varied in order to minimise the difference between z(v) and i(v). Best fit (minimum difference) is achieved when the RMS difference value between z(v) and i(v) is an absolute minimum.

The carrier frequency variation and the IQ variation are the measurement results: Carrier Frequency Error and Carrier leakage.

From the acquired samples n carrier frequencies and n carrier leakages can be derived.

- NOTE 1: The minimisation process, to derive carrier leakage and RF error can be supported by Post FFT operations. However the minimisation process defined in the pre FFT domain comprises all acquired samples (i.e. it does not exclude the samples in between the FFT widths and it does not exclude the bandwidth outside the transmission bandwidth configuration
- NOTE 2: The algorithm would allow deriving Carrier Frequency error and Sample Frequency error of the TX under test separately. However there are no requirements for Sample Frequency error. Hence the algorithm models the RF and the sample frequency commonly (not independently). It returns one error and does not distinguish between both.

After this process the samples z(v) are called  $z^{0}(v)$ .

# E.3.2 Timing of the FFT window

The FFT window length is 4096 samples per OFDM symbol. 14 FFTs (57344 samples) cover less than the acquired number of samples (61440 samples). The position in time for FFT must be determined.

In an ideal signal, the FFT may start at any instant within the cyclic prefix without causing an error. The TX filter, however, reduces the window. The EVM requirements shall be met within a window W<CP. There are three different instants for FFT:

Centre of the reduced window, called  $\Delta \tilde{c}$ ,  $\Delta \tilde{c}$  -W/2 and  $\Delta \tilde{c}$  +W/2.

The timing of the measured signal is determined in the pre FFT domain as follows, using  $z^0(v)$  and  $i_2(v)$ :

- 1. The measured signal is delay spread by the TX filter. Hence the distinct boarders between the OFDM symbols and between Data and CP are also spread and the timing is not obvious.
- 2. In the Reference Signal  $i_2(v)$  the timing is known.
- 3. Correlation between (1.) and (2.) will result in a correlation peak. The meaning of the correlation peak is approx. the "impulse response" of the TX filter. The meaning of "impulse response" assumes that the autocorrelation of the reference signal  $i_2(v)$  is a Dirac peak and that the correlation between the reference signal  $i_2(v)$  and the data in the measured signal is 0. The correlation peak, (the highest, or in case of more than one, the earliest) indicates the timing in the measured signal.

From the acquired samples, n timings can be derived.

For all calculations, except EVM, the number of samples in  $z^0(v)$  is reduced to 14 blocks of samples, comprising 4096 samples (FFT width) and starting with  $\Delta \tilde{c}$  in each OFDM symbol including the demodulation reference signal.

For the EVM calculation the output signal under test is reduced to 28 blocks of samples, comprising 4096 samples (FFT width) and starting with  $\Delta \tilde{c}$  -W/2 and  $\Delta \tilde{c}$  +W/2 in each OFDM symbol including the demodulation reference signal.

The number of samples, used for FFT is reduced compared to  $z^0(v)$ . This subset of samples is called z'(v).

The timing of the centre  $\Delta \tilde{c}$  with respect to the different CP length in a slot is as follows: (FDD, normal CP length)

 $\Delta\widetilde{c}$  is on T<sub>f</sub>=144 (=CP/2) within the CP of length 288 FFT samples (in OFDM symbols except 0 and 28 (=7  $\cdot$  2 $^{\mu}$ ), where symbol 0 is the first symbol of each subframe) for 100 MHz channel bandwidth and SCS = 30 kHz.

 $\Delta \tilde{c}$  is on T<sub>f</sub>=176 (=320-144) within the CP of length 320 (in OFDM symbol 0 and 28 (=7 · 2 $^{\mu}$ ), where symbol 0 is the first symbol of each subframe) for 100 MHz channel bandwidth and SCS = 30 kHz.

# E.3.3 Post FFT equalisation

Perform 14 FFTs on z'(v), one for each OFDM symbol in a slot using the timing  $\Delta \tilde{c}$ , including the demodulation reference symbol. The result is an array of samples, 14 in the time axis t times 4096 in the frequency axis f. The

samples represent the data symbols (in OFDM-symbol 0,1,3,4,5,6,8,9,10,12,13 in each slot) and demodulation reference symbols (OFDM symbol 2, 7, 11 in each slot) in the allocated RBs and inband emissions in the non allocated RBs within the transmission BW.

Only the allocated resource blocks in the frequency domain are used for equalisation.

The nominal demodulation reference symbols and nominal data symbols are used to equalize the measured data symbols. (Location for equalization see Figure E.2.5-1)

NOTE: The nomenclature inside this note is local and not valid outside.

The nominal data symbols are created by a demodulation process. The location to gain the demodulated data symbols is "EVM" in Figure E.2.5-1. For CP-OFDM, the process described in Annex E.5 can be applied. A demodulation process as follows is recommended for DFT-s-OFDM:

- 1. Equalize the measured data symbols using the reference symbols for equalisation. Result: Equalized data symbols
- 2. Only for DFT-s-OFDM, iDFT transform the equalized data symbols: Result: Equalized data symbols
- 3. Decide for the nearest constellation point: Result: Nominal data symbols
- 4. Only for DFT-s-OFDM, DFT transform the nominal data symbols: Result: Nominal data symbols

At this stage we have an array of  $\underline{M}$  easured data- $\underline{S}$  ymbols and reference- $\underline{S}$  ymbols (MS(f,t))

versus an array of Nominal data-Symbols and reference Symbols (NS(f,t))

(complex, the arrays comprise 11 data symbols and 3 demodulation reference symbol in the time axis and the number of allocated subcarriers in the frequency axis.)

MS(f,t) and NS(f,t) are processed with a least square (LS) estimator, to derive one equalizer coefficient per time slot and per allocated subcarrier. EC(f) is defined as

$$EC(f) = \frac{\sum_{t=0}^{13} NS(f,t)^* NS(f,t)}{\sum_{t=0}^{13} NS(f,t)^* MS(f,t)}$$

With \* denoting complex conjugation.

EC(f) are used to equalize the DFT-coded data symbols. The measured DFT-coded data and the references symbols are equalized by:

$$Z'(f,t) = MS(f,t) \cdot EC(f)$$

With denoting multiplication.

Z'(f,t), restricted to the data symbol (excluding t=2,7,11) is used to calculate EVM, as described in E.4.1.

EC(f) is used in E.4.4 to calculate EVM equalizer spectral flatness.

NOTE: The post FFT minimisation process is done over 14 symbols (11 DFT-coded data symbols and 3 reference symbols).

The samples of the non allocated resource blocks within the transmission bandwidth configuration in the post FFT domain are called Y(f,t) (f covering the non allocated subcarriers within the transmission bandwidth configuration, t covering the OFDM symbols during 1 slot).

## E.4 Derivation of the results

#### E.4.1 EVM

For EVM create two sets of Z'(f,t)., according to the timing " $\Delta \tilde{c}$  -W/2 and  $\Delta \tilde{c}$  +W/2" using the equalizer coefficients from E.3.3.

Perform the iDFTs on Z'(f,t) in the case of DFT-s-OFDM waveform. The IDFT-decoding preserves the meaning of t but transforms the variable f (representing the allocated sub carriers) into another variable g, covering the same count and representing the demodulated symbols. The samples in the post IDFT domain are called iZ'(g,t). The equivalent ideal samples are called iI(g,t). Those samples of Z'(f,t), carrying the reference symbols (=symbol 2,7,11) are not iDFT processed.

The EVM is the difference between the ideal waveform and the measured and equalized waveform for the allocated RB(s)

$$EVM = \sqrt{\frac{\displaystyle\sum_{t \in T} \sum_{g \in G} \left| iZ^{'}\left(g^{'}, t^{'}\right) - iI\left(g^{'}, t^{'}\right)^{2}}{\left|G\right| \cdot \left|T\right| \cdot P_{0}}} \;,$$

where

t covers the count of demodulated symbols with the considered modulation scheme being active within the measurement period, (i.e. symbol 0,1,3,4,5,6,8,9,10,12,13 in each slot,  $\rightarrow |T|=11$ )

g covers the count of demodulated symbols with the considered modulation scheme being active within the allocated bandwidth. ( $|G|=12*L_{CRBs}$  (with  $L_{CRBs}$ : number of allocated resource blocks)).

iZ'(g,t) are the samples of the signal evaluated for the EVM.

iI(g,t) is the ideal signal reconstructed by the measurement equipment, and

 $P_0$  is the average power of the ideal signal. For normalized modulation symbols  $P_0$  is equal to 1.

From the acquired samples 2n EVM values can be derived, n values for the timing  $\Delta \widetilde{c}$  -W/2 and n values for the timing  $\Delta \widetilde{c}$  +W/2

# E.4.2 Averaged EVM

EVM is averaged over all basic EVM measurements.

The averaging comprises n UL slots

$$\overline{EVM} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} EVM_i^2}$$

where

$$n = \begin{cases} 10, \text{ for } 15 \text{ kHz SCS} \\ 20, \text{ for } 30 \text{ kHz SCS} \\ 30, \text{ for } 60 \text{ kHz SCS} \end{cases}$$

for PUCCH, PUSCH.

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The averaging is done separately for timing  $\Delta \widetilde{c}$  –W/2 and  $\Delta \widetilde{c}$  +W/2 leading to  $\overline{EVM}_l$  and  $\overline{EVM}_h$ 

$$EVM_{final} = max(\overline{EVM}_1, \overline{EVM}_h)$$
 is compared against the test requirements.

#### E.4.3 In-band emissions measurement

The in-band emissions are a measure of the interference falling into the non-allocated resources blocks.

**Explanatory Note:** 

The inband emission measurement is only meaningful with allocated RB(s) next to non allocated RB. The allocated RB(s) are necessary but not under test. The non allocated RBs are under test. The RB allocation for this test is as follows: The allocated RB(s) are at one end of the channel BW, leaving the other end unallocated. The number of allocated RB(s) is smaller than half of the number of RBs, available in the channel BW. This means that the vicinity of the carrier in the centre is unallocated.

There are 3 types of inband emissions:

- 1. General
- 2. IQ image
- 3. Carrier leakage

Carrier leakage are inband emissions next to the carrier.

IQ image are inband emissions symmetrically (with respect to the carrier) on the other side of the allocated RBs.

General are applied to all unallocated RBs.

For each evaluated RB, the minimum requirement is calculated as the higher of  $P_{RB}$  - 30 dB and the power sum of all limit values (General, IQ Image or Carrier leakage) that apply.

In specific the following combinations:

- Power (General)
- Power (General + Carrier leakage)
- Power (General + IQ Image)

1 and 2 is expressed in terms of power in one non allocated RB under test, normalized to the average power of an allocated RB (unit dB).

3 is expressed in terms of power in one non allocated RB, normalized to the power of all allocated RBs. (unit dBc).

This is the reason for two formulas *Emissions* relative.

Create one set of Y(t,f) per slot according to the timing " $\Delta \tilde{c}$ "

For the non-allocated RBs below the in-band emissions are calculated as follows

$$Emissions_{absolute}(\Delta_{RB}) = \begin{cases} \frac{1}{|T_{s}|} \sum_{t \in T_{s}} \sum_{\max(f_{\min}, (c_{t}+12 \cdot \Delta_{RB} * \Delta f))}^{c_{t}+(12 \cdot \Delta_{RB} * \Delta f))} |Y(t, f)|^{2}, \Delta_{RB} < 0 \\ \frac{1}{|T_{s}|} \sum_{t \in T_{s}} \sum_{c_{h}+(12 \cdot \Delta_{RB} * \Delta f))}^{\min(f_{\max}, (c_{h}+12 \cdot \Delta_{RB} * \Delta f))} |Y(t, f)|^{2}, \Delta_{RB} > 0 \end{cases}$$

where

the upper formula represents the in band emissions below the allocated frequency block and the lower one the in band emissions above the allocated frequency block.

 $T_s$  is a set of  $|T_s|$  DFT-s-OFDM symbols with the considered modulation scheme being active within the measurement period,

 $\Delta_{RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.  $\Delta_{RB}=1$  for the first upper or  $\Delta_{RB}=-1$  for the first lower adjacent RB),

 $f_{
m min}$  and  $f_{
m max}$  are the lower and upper edge of the UL transmission BW configuration,

 $\boldsymbol{c}_l$  and  $\boldsymbol{c}_h$  are the lower and upper edge of the allocated BW,

 $\Delta f$  is the SCS, and

Y(t, f) is the frequency domain signal evaluated for in-band emissions as defined in the subsection E.3.3

The allocated RB power per RB and the total allocated RB power are given by:

$$P_{RB} = \frac{1}{|T_{s}| \cdot L_{CRBs}} \sum_{t \in T_{s}}^{c_{1} + (12 \cdot L_{CRBs} - 1) \cdot \Delta f} |\text{MS}(t, f)|^{2} [\text{dBm}/(12\Delta f)]$$

$$P_{All-RBs} = \frac{1}{|T_{s}|} \sum_{t \in T_{s}}^{c_{1} + (12 \cdot L_{CRBs} - 1) * \Delta f} |\text{MS}(t, f)|^{2} [\text{dBm}]$$

The relative in-band emissions, applicable for General and IQ image, are given by:

$$Emissions_{relative}(\Delta_{RB}) = 10 \cdot \log_{10} \left( \frac{Emissions_{absolute}(\Delta_{RB})}{\frac{1}{|T_S| \cdot L_{CRBS}} \sum_{t \in T_S} \sum_{c_l}^{c_l + (12 \cdot L_{CRBS} - 1) \cdot \Delta f} |\mathsf{MS}(t, f)|^2} \right) [\mathsf{dB}] = Emissions_{absolute}(\Delta_{RB}) [\mathsf{dBm}/12\Delta f] - P_{RB}[dBm/12\Delta f]$$

where

 $L_{CRBs}$  is the number of allocated resource blocks,

and

MS(t, f) is the frequency domain samples for the allocated bandwidth, as defined in the subsection E.3.3.

The relative in-band emissions, applicable for carrier leakage, is given by:

$$\begin{split} Emissions_{relative} &= 10 \cdot \log_{10} \left( \frac{Emissions_{absolute}(RBnextDC)}{\frac{1}{|T_s|} \sum_{t \in T_s} \sum_{c_l}^{c_l + (12 \cdot L_{CRBs} - 1) \cdot \Delta f} |\mathsf{MS}(t, f)|^2} \right) [\mathsf{dBc}] \\ &= Emissions_{absolute}(RBnextDC)[\mathsf{dBm}/12\Delta f] - P_{All\ RBs}[\mathsf{dBm}] \end{split}$$

where RBnextDC means: Resource Block next to the carrier.

This can be one RB or one pair of RBs, depending whether the DC carrier is inside an RB or in-between two RBs.

Although an exclusion period may be applicable in the time domain, when evaluating EVM, the inband emissions measurement interval is defined over one complete slot in the time domain.

From the acquired samples *n* functions for general in band emissions and IQ image inband emissions can be derived. n values or n pairs of carrier leakage inband emissions can be derived. They are compared against different limits.

## E.4.4 EVM equalizer spectrum flatness

For EVM equalizer spectrum flatness use EC(f) as defined in E.3.3. Note, EC(f) represents equalizer coefficient  $f \in F$ , f is the allocated subcarriers within the transmission bandwidth  $((|F|=12*L_{CRB}))$ 

From the acquired samples n functions EC(f) can be derived.

EC(f) is broken down to 2 functions:

$$EC_1(f), f \in Range 1$$

$$EC_2(f), f \in Range \ 2$$

Where Range 1 and Range 2 are as defined in Table 6.5.2.4.5-1 for normal condition and Table 6.5.2.4.5-2 for extreme condition

The following peak to peak ripple is calculated:

 $RP_1 = 20 * \log (\max (|EC_1(f)|) / \min (|EC_1(f)|))$ , which denote the maximum ripple in Range 1

 $RP_2 = 20*log\left(max\left(\mid EC_2(f)\mid\right)/min(\mid EC_2(f)\mid\right)\right), \text{which denote the maximum ripple in Range 2}$ 

 $RP_{12} = 20*\log(\max(|EC_1(f)|)/\min(|EC_2(f)|))$ , which denote the maximum ripple between the upper side of Range 1 and lower side of Range 2

 $RP_{21} = 20*log(max(|EC_2(f)|)/min(|EC_1(f)|))$ , which denote the maximum ripple between the upper side of Range 2 and lower side of Range 1

# E.4.5 Frequency error and Carrier leakage

See E.3.1.

# E.4.6 EVM of Demodulation reference symbols (EVM<sub>DMRS</sub>)

For the purpose of EVM  $_{DMRS}$ , the steps E.2.2 to E.4.2 are repeated 6 times, constituting 6 EVM  $_{DMRS}$  sub-periods. The only purpose of the repetition is to cover the longer gross measurement period of EVM  $_{DMRS}$  (6 · n time slots) and to derive the FFT window timing per sub-period.

The bigger of the EVM results in one n TS period corresponding to the timing  $\Delta \tilde{c} - W/2$  or  $\Delta \tilde{c} + W/2$  is compared against the limit. (Clause E.4.2) This timing is re-used for EVM <sub>DMRS</sub> in the equivalent EVM <sub>DMRS</sub> sub-period.

For EVM the demodulation reference symbols are excluded, while the data symbols are used. For EVM $_{DMRS}$  the data symbols are excluded, while the demodulation references symbols are used. This is illustrated in figure E.4.6-1

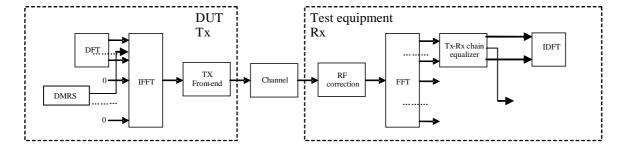


Figure E.4.6-1: EVM<sub>DMRS</sub> measurement points

Re-use the following formula from E.3.3:

$$Z'(f,t) = MS(f,t) \cdot EC(f)$$

To calculate EVM<sub>DMRS</sub>, the data symbol ( t=0,1,3,4,5,6,8,9,10,12,13) in Z'(f,t) are excluded and only the reference symbols (t=2,7,11) is used.

The EVM <sub>DMRS</sub> is the difference between the ideal waveform and the measured and equalized waveform for the allocated RB(s)

$$EVM_{DMRS} = \sqrt{\frac{\sum_{t \in T} \sum_{f \in F} \left| Z^{'}(f, t) - I(f, t) \right|^{2}}{\left| T \left| \cdot P_{0} \cdot \middle| F \right|}},$$

where

t covers the count of demodulation reference symbols (i.e. symbols 2,7,11 in each slot, so count=3)

f covers the count of demodulation reference symbols within the allocated bandwidth. ( $|F|=12*L_{CRBs}$  (with  $L_{CRBs}$ : number of allocated resource blocks)).

Z '(f,t) are the samples of the signal evaluated for the EVM  $_{
m DMRS}$ 

I(f,t) is the ideal signal reconstructed by the measurement equipment, and

 $P_0$  is the average power of the ideal signal. For normalized modulation symbols  $P_0$  is equal to 1.

n such results are generated per measurement sub-period.

# E.4.6.1 1st average for EVM DMRS

EVM  $_{DMRS}$  is averaged over all basic EVM  $_{DMRS}$  measurements in one sub-period

The averaging comprises n UL slots

$$1stEVM_{DMRS} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (EVM_{DMRS,i})^{2}}$$

The timing is taken from the EVM for the data. 6 of those results are achieved from the samples. In general the timing is not the same for each result.

#### E.4.6.2 Final average for EVM DMRS

$$finalEVM_{DMRS} = \sqrt{\frac{1}{6} \sum_{i=1}^{6} \left(1stEVM_{DMRS,i}\right)^{2}}$$

## E.5 EVM and inband emissions for PUCCH

For the purpose of worst case testing, the PUCCH shall be located on the edges of the Transmission Bandwidth Configuration (6,15,25,50,75,100 RBs).

The EVM for PUCCH (EVM $_{PUCCH}$ ) is averaged over n slots, where

$$n = \begin{cases} 10, \text{ for } 15 \text{ kHz SCS} \\ 20, \text{ for } 30 \text{ kHz SCS} \\ 30, \text{ for } 60 \text{ kHz SCS} \end{cases}$$

At least *n* TSs shall be transmitted by the UE without power change. SRS multiplexing shall be avoided during this period. The following transition periods are applicable: One OFDM symbol on each side of the slot border (instant of band edge alternation).

The description below is generic in the sense that all 5 PUCCH formats are covered. Although the number of OFDM symbols in one slot can be different from 7 (depending on the format, configuration and cyclic prefix length), the text below uses 7 without excluding the others.

## E.5.1 Basic principle

The basis principle is the same as described in E.2.1

# E.5.2 Output signal of the TX under test

The output signal of the TX under test is processed same as described in E.2.2

# E.5.3 Reference signal

The reference signal is defined same as in E.2.3. Same as in E.2.3,  $i_1(v)$  is the ideal reference for EVM<sub>PUCCH</sub> and  $i_2(v)$  is used to estimate the FFT window timing.

Note PUSCH is off during the PUCCH measurement period.

#### E.5.4 Measurement results

The measurement results are:

- EVM<sub>PUCCH</sub>
- Inband emissions with the sub-results: General in-band emission, IQ image (according to: 38.101. Annex F.4, Clause starting with: "At this stage the ....")

# E.5.5 Measurement points

The measurement points are illustrated in Figure E.2.5-1.

# E.5.6 Pre FFT minimization process

The pre FFT minimisation process is the same as describes in clause E.3.1.

NOTE: although an exclusion period for  $EVM_{PUCCH}$  is applicable in E.5.9.1, the pre FFT minimisation process is done over the complete slot.

RF error, and carrier leakage are necessary for best fit of the measured signal towards the ideal signal in the pre FFT domain. However they are not used to compare them against the limits.

## E.5.7 Timing of the FFT window

Timing of the FFT window is estimated with the same method as described in E.3.2.

# E.5.8 Post FFT equalisation

The post FFT equalisation is described separately without reference to E.3.3:

Perform 14 FFTs on z'(v), one for each OFDM symbol in a slot using the timing  $\Delta \widetilde{c}$ , including the demodulation reference symbol. The result is an array of samples, 14 in the time axis t times 4096 in the frequency axis f. The samples represent the OFDM symbols (data and reference symbols) in the allocated RBs and inband emissions in the non allocated RBs within the transmission BW.

Only the allocated resource blocks in the frequency domain are used for equalisation.

The nominal reference symbols and **nominal** OFDM data symbols are used to equalize the measured data symbols.

Note: (The nomenclature inside this note is local and not valid outside)

The nominal OFDM data symbols are created by a demodulation process. A demodulation process as follows is recommended:

- 1. Equalize the measured OFDM data symbols using the reference symbols for equalisation. Result: Equalized OFDM data symbols
- 2. Decide for the nearest constellation point, however not independent for each subcarrier in the RB. 12 constellation points are decided dependent, using the applicable CAZAC sequence. Result: Nominal OFDM data symbols

At this stage we have an array of Measured data-Symbols and reference-Symbols (MS(f,t))

versus an array of  $\underline{N}$  ominal data- $\underline{S}$  ymbols and reference  $\underline{S}$  ymbols (NS(f,t))

The arrays comprise in sum 14 data and reference symbols, depending on the PUCCH format, in the time axis and the number of allocated sub-carriers in the frequency axis.

MS(f,t) and NS(f,t) are processed with a least square (LS) estimator, to derive one equalizer coefficient per time slot and per allocated subcarrier. EC(f)

$$EC(f) = \frac{\sum_{t=0}^{6} NS(f,t)^{*} NS(f,t)}{\sum_{t=0}^{6} MS(f,t)^{*} NS(f,t)}$$

With \* denoting complex conjugation.

EC(f) are used to equalize the OFDM data together with the demodulation reference symbols by:

$$Z'(f,t) = MS(f,t) \cdot EC(f)$$

With denoting multiplication.

Z'(f,t) is used to calculate EVM<sub>PUCCH</sub>, as described in E.5.9 1

NOTE: although an exclusion period for EVM<sub>PUCCH</sub> is applicable in E.5.9.1, the post FFT minimisation process is done over 14 OFDM symbols.

The samples of the non allocated resource blocks within the transmission bandwidth configuration in the post FFT domain are called Y(f,t) (f covering the non allocated subcarriers within the transmission bandwidth configuration, t covering the OFDM symbols during 1 slot).

#### E.5.9 Derivation of the results

#### E.5.9.1 EVM<sub>PUCCH</sub>

For EVM<sub>PUCCH</sub> create two sets of Z'(f,t)., according to the timing "  $\Delta \widetilde{c}$  –W/2 and  $\Delta \widetilde{c}$  +W/2" using the equalizer coefficients from E.5.8

The EVM<sub>PUCCH</sub> is the difference between the ideal waveform and the measured and equalized waveform for the allocated RB(s)

$$EVM_{PUCCH} = \sqrt{\frac{\displaystyle\sum_{t \in T} \sum_{f \in F} \left| Z^{-1}(f, t) - I(f, t) \right|^{2}}{\left| T \left| \cdot P_{0} \cdot \middle| F \right|}},$$

where

the OFDM symbols next to slot boarders (instant of band edge alternation) are excluded:

t covers less than the count of demodulated symbols in the slot (|T|=5)

f covers the count of subcarriers within the allocated bandwidth. (|F|=12)

Z'(f,t) are the samples of the signal evaluated for the EVM<sub>PUCCH</sub>

I(f,t) is the ideal signal reconstructed by the measurement equipment, and

 $P_0$  is the average power of the ideal signal. For normalized modulation symbols  $P_0$  is equal to 1.

From the acquired samples 2n EVM<sub>PUCCH</sub> value can be derived, n values for the timing  $\Delta \widetilde{c}$  -W/2 and n values for the timing  $\Delta \widetilde{c}$  +W/2

# E.5.9.2 Averaged EVM<sub>PUCCH</sub>

EVM<sub>PUCCH</sub> is averaged over all basic EVM<sub>PUCCH</sub> measurements

The averaging comprises n UL slots

$$\overline{EVM}_{PUCCH} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (EVM_{PUCCH,i})^2}$$

The averaging is done separately for timing:  $\Delta \widetilde{c}$  –W/2 and  $\Delta \widetilde{c}$  +W/2 leading to  $\overline{EVM}_{PUCCH,low}$  and  $\overline{EVM}_{PUCCH,high}$ 

 $EVM_{PUCCH,final} = \max(\overline{EVM}_{PUCCH,low},\overline{EVM}_{PUCCH,high})$  is compared against the test requirements.

#### E.5.9.3 In-band emissions measurement

The in-band emissions are a measure of the interference falling into the non-allocated resources blocks

Create one set of Y(t,f) per slot according to the timing " $\Delta \widetilde{c}$ "

For the non-allocated RBs the in-band emissions are calculated as follows

$$Emissions_{absolute}(\Delta_{RB}) = \begin{cases} \frac{1}{|T_{s}|} \sum_{t \in T_{s}} \sum_{\max(f_{\min}, (c_{t}+12 \cdot \Delta_{RB} + \Delta f))}^{c_{t}+(12 \cdot \Delta_{RB} + \Delta f)} |Y(t, f)|^{2}, \Delta_{RB} < 0 \\ \frac{1}{|T_{s}|} \sum_{t \in T_{s}} \sum_{c_{h}+(12 \cdot \Delta_{RB} + \Delta f))}^{\min(f_{\max}, (c_{h}+12 \cdot \Delta_{RB} + \Delta f))} |Y(t, f)|^{2}, \Delta_{RB} > 0 \end{cases},$$

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where

the upper formula represents the inband emissions below the allocated frequency block and the lower one the inband emissions above the allocated frequency block.

 $T_s$  is a set of  $|T_s|$  OFDM symbols in the measurement period,

 $\Delta_{RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.  $\Delta_{RB} = 1$  for the first upper or  $\Delta_{RB} = -1$  for the first lower adjacent RB),

 $f_{\min}$  and  $f_{\max}$  are the lower and upper edge of the UL system BW,

 $\boldsymbol{c}_l$  and  $\boldsymbol{c}_h$  are the lower and upper edge of the allocated BW,

 $\Delta f$  is the SCS, and

Y(t, f) is the frequency domain signal evaluated for in-band emissions as defined in the subsection E.5.8

The relative in-band emissions are, given by

$$Emissions_{relative}(\Delta_{RB}) = 10*\log_{10} \frac{Emissions_{absolute}(\Delta_{RB})}{\frac{1}{|T_s| \cdot L_{CRBs}} \sum_{t \in T_s} \sum_{t \in T_s} \sum_{c_s} \left| MS(t, f) \right|^2} [dB]$$

where

 $L_{\it CRBs}$  is the number of allocated RBs,

and MS(t, f) is the frequency domain samples for the allocated bandwidth, as defined in the subsection E.5.8

Although an exclusion period for EVM is applicable in E.5.9.1, the inband emissions measurement interval is defined over one complete slot in the time domain.

From the acquired samples n functions for inband emissions can be derived.

Since the PUCCH allocation is always on the upper or lower band-edge, the opposite of the allocated one represents the IQ image, and the remaining inner RBs represent the general inband emissions. They are compared against different limits.

# E.6 EVM for PRACH

The description below is generic in the sense that all PRACH formats are covered. The numbers, used in the text below are taken from PRACH format#0 without excluding the other formats. [The sampling rate for the PUSCH, 122.88 Msps in the time domain, is re-used for the PRACH.] The carrier spacing of the PUSCH is up to 48 times higher than that of PRACH depending on the PRACH format and SCS. This results in an oversampling factor *ovf* of up to 48, when acquiring the time samples for the PRACH. The pre-FFT algorithms (clauses E.6.6 and E.6.7) use all time samples, although oversampled. For the FFT the time samples are decimated by the *ovf*, resulting in the same FFT size as for the

other transmit modulation tests. Decimation requires a decision, which samples are used and which ones are rejected. The algorithm in E.6.6, Timing of the FFT window, can also be used to decide about the used samples.

#### E.6.1 Basic principle

The basis principle is the same as described in E.2.1

# E.6.2 Output signal of the TX under test

The output signal of the TX under test is processed same as described in E.2.2

The measurement period is TBD.

# E.6.3 Reference signal

The test description in 6.4.2.1.4.1 is based on non-contention based access:

- PRACH configuration index (responsible for Preamble format, System frame number and subframe number)
- Preamble ID
- Preamble power

signalled to the UE, defines the reference signal unambiguously, such that no demodulation process is necessary to gain the reference signal.

The reference signal i(v) is constructed by the measuring equipment according to the relevant TX specifications, using the following parameters: the applicable Zadoff Chu sequence, nominal carrier frequency, nominal amplitude and phase for each subcarrier, nominal timing, no carrier leakage. It is represented as a sequence of samples at a sampling rate of 122.88 Msps in the time domain.

#### E.6.4 Measurement results

The measurement result is:

- EVMPRACH

# E.6.5 Measurement points

The measurement points are illustrated in the figure below:

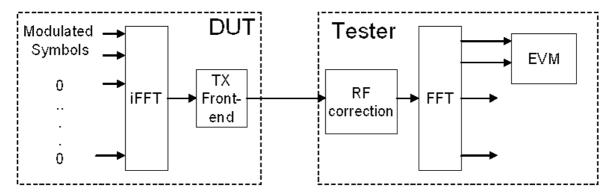


Figure E.6.5-1: Measurement points

# E.6.6 Pre FFT minimization process

The pre-FFT minimization process is applied to each PRACH preamble separately. The time period for the pre-FFT minimisation process includes the complete CP and Zadoff-Chu sequence (in other words, the power transition period is

per definition outside of this time period) Sample timing, Carrier frequency and carrier leakage in z(v) are jointly varied in order to minimise the difference between z(v) and i(v). Best fit (minimum difference) is achieved when the RMS difference value between z(v) and i(v) is an absolute minimum.

After this process the samples z(v) are called  $z^{0}(v)$ .

RF error, and carrier leakage are necessary for best fit of the measured signal towards the ideal signal in the pre FFT domain. However they are not used to compare them against the limits.

## E.6.7 Timing of the FFT window

The FFT window length is 24576 samples for preamble format 0, however in the measurement period at least 27744 samples are taken. The position in time for FFT must be determined.

In an ideal signal, the FFT may start at any instant within the cyclic prefix without causing an error. The TX filter, however, reduces the window. The EVM requirements shall be met within a window W<CP.

The reference instant for the FFT start is the centre of the reduced window, called  $\Delta \tilde{c}$ ,

EVM is measured at the following two instants:  $\Delta \tilde{c} - W/2$  and  $\Delta \tilde{c} + W/2$ .

The timing of the measured signal  $z^0(v)$  with respect to the ideal signal i(v) is determined in the pre FFT domain as follows:

Correlation between  $z^0(v)$  and i(v) will result in a correlation peak. The meaning of the correlation peak is approx. the "impulse response" of the TX filter. The correlation peak, (the highest, or in case of more than one, the earliest) indicates the timing in the measured signal with respect to the ideal signal.

W is different for different preamble formats and shown in Table E.6.7-1 for  $L_{RA} = 839$  and  $\Delta f^{RA} \in \{1.25,5\}$  kHz and in Table E.6.7-2 for  $L_{RA} = 139$  and  $\Delta f^{RA} = 15 \cdot 2^{\mu}$  kHz where  $\mu \in \{0,1,2\}$ .

Table E.6.7-1: EVM window length for PRACH formats for  $L_{\rm RA} = 839$ 

Preamble format	$\begin{array}{c} \text{Cyclic} \\ \text{prefix} \\ \text{length} \ N_{cp} \end{array}$	Nominal FFT size <sup>1</sup>	EVM window length W in FFT samples	Ratio of W to CP*
0	3168	24576	2307	72.8%
1	21024	49152	20163	95.9%
2	4688	98304	3827	81.6%
3	3168	24576	2952	93.2%

Note 1: The use of other FFT sizes is possible as long as appropriate scaling of the window length is applied

Note 2: These percentages are informative

Preamble format	$\begin{array}{c} \text{Cyclic} \\ \text{prefix} \\ \text{length} \ N_{cp} \end{array}$	Nominal FFT size <sup>1</sup>	EVM window length W in FFT samples	Ratio of <i>W</i> to CP*
A1	288·2 <sup>-μ</sup>	4096·2 <sup>-μ</sup>	144·2 <sup>-μ</sup>	50.0%
A2	576·2 <sup>-μ</sup>	8192·2 <sup>-μ</sup>	432·2 <sup>-μ</sup>	75.0%
A3	864·2 <sup>-μ</sup>	12288⋅2 <sup>-</sup> μ	720·2 <sup>-</sup>	83.3%
B1	216·2 <sup>-μ</sup>	4096·2 <sup>-μ</sup>	72·2 <sup>-</sup> µ	33.3%
B2	360·2 <sup>-</sup> µ	8192·2 <sup>-μ</sup>	216·2 <sup>-μ</sup>	60.0%
B3	504·2 <sup>-μ</sup>	12288⋅2 <sup>-</sup> μ	360·2 <sup>-</sup> µ	71.4%
B4	936·2 <sup>-μ</sup>	24576·2 <sup>-μ</sup>	792·2 <sup>-</sup> µ	84.6%
C0	1240·2 <sup>-μ</sup>	2048·2 <sup>-μ</sup>	1096·2 <sup>-</sup> µ	88.4%
C2	2048·2 <sup>-μ</sup>	8192·2 <sup>-μ</sup>	1904·2 <sup>-</sup> µ	93.0%

Table E.6.7-2: EVM window length for PRACH formats for  $L_{\rm RA}$  = 139

Note 1: The use of other FFT sizes is possible as long as appropriate scaling of the window length is applied

Note 2: These percentages are informative

The number of samples, used for FFT is reduced compared to  $z^0(v)$ . This subset of samples is called z''(v).

The sample frequency [122.88] MHz is oversampled with respect to the PRACH-subcarrier spacing of 1.25kHz (format 0 to 2) and 5kHz (format 3). EVM is based on 2048 samples per PRACH preamble and requires decimation of the time samples by the factor of 12 (format 0 to 2) and factor 3 (format 3). The final number of samples per PRACH preamble, used for FFT is reduced compared to z'(v) by the same factor. This subset of samples is called z'(v).

## E.6.8 Post FFT equalisation

Equalisation is not applicable for the PRACH.

#### E.6.9 Derivation of the results

#### E.6.9.1 EVMPRACH

Perform FFT on z'(v) and i(v) using the FFT timing  $\Delta \widetilde{c}$  –W/2 and  $\Delta \widetilde{c}$  +W/2.

[For format 2 and 3 the first and the repeated preamble sequence are FFT-converted separately. using the standard FFT length of 2048.]

The EVM<sub>PRACH</sub> is the difference between the ideal waveform and the measured and equalized waveform for the allocated RB(s).

$$EVM_{PRACH} = \sqrt{\frac{\displaystyle\sum_{f \in F} \left| Z_{-}' \left( f_{-} \right) - I \left( f_{-} \right)^{2}}{N_{ZC} \cdot P_{0}}},$$

where

f covers the count of demodulated symbols within the allocated bandwidth.

Z'(f) are the samples of the signal evaluated for the EVM<sub>PRACH</sub>

I(f) is the ideal signal reconstructed by the measurement equipment, and

 $P_0$  is the average power of the ideal signal. For normalized modulation symbols  $P_0$  is equal to 1.

 $N_{\rm ZC}$  is random access preamble sequence length.

From the acquired samples TBD EVM<sub>PRACH</sub> values can be derived, TBD values for the timing  $\Delta \widetilde{c}$  –W/2 and TBD values for the timing  $\Delta \widetilde{c}$  +W/2.

# E.6.9.2 Averaged EVM<sub>PRACH</sub>

The PRACH EVM,  $\ensuremath{\mathit{EVM}}_{\mathit{PRACH}}$  , is averaged over TBD preamble sequence measurements.

$$\overline{EVM}_{PRACH} = \sqrt{\frac{1}{m} \sum_{i=1}^{m} (EVM_{PRACH,i})^{2}}$$
where m is TPD

The averaging is done separately for timing  $\Delta \tilde{c}$  –W/2 and  $\Delta \tilde{c}$  +W/2 leading to  $\overline{EVM}_{PRACH,low}$  and  $\overline{EVM}_{PRACH,high}$ 

 $EVM_{PRACH,final} = \max(\overline{EVM}_{PRACH,low},\overline{EVM}_{PRACH,high})$  is compared against the test requirements.

# Annex F (normative): Measurement uncertainties and Test Tolerances

# F.1 Acceptable uncertainty of Test System (normative)

The maximum acceptable uncertainty of the Test System is specified below for each test, where appropriate. The Test System shall enable the stimulus signals in the test case to be adjusted to within the specified range, and the equipment under test to be measured with an uncertainty not exceeding the specified values. All ranges and uncertainties are absolute values, and are valid for a confidence level of 95 %, unless otherwise stated.

A confidence level of 95 % is the measurement uncertainty tolerance interval for a specific measurement that contains 95 % of the performance of a population of test equipment.

For RF tests it should be noted that the uncertainties in clause F.1 apply to the Test System operating into a nominal 50 ohm load and do not include system effects due to mismatch between the DUT and the Test System.

The downlink signal uncertainties apply at each receiver antenna connector.

### F.1.1 Measurement of test environments

The measurement accuracy of the UE test environments defined in TS 38.508-1 [5] subclause 4.1, Test environments shall be

Pressure ±5 kPa.

- Temperature ±2 degrees.

- Relative Humidity ±5 %.

- DC Voltage  $\pm 1,0 \%$ .

- AC Voltage  $\pm 1,5 \%$ .

- Vibration 10 %.

- Vibration frequency 0,1 Hz.

The above values shall apply unless the test environment is otherwise controlled and the specification for the control of the test environment specifies the uncertainty for the parameter.

## F.1.2 Measurement of transmitter

Table F.1.2-1: Maximum Test System Uncertainty for transmitter tests

Subclause	Maximum Test System Uncertainty	Derivation of Test System Uncertainty
6.2.1 UE maximum output	f ≤ 3.0GHz	
power	±0.7 dB, BW ≤ 40MHz	
F	±1.4 dB, 40MHz < BW ≤ 100MHz	
	3.0GHz < f ≤ 4.2GHz	
	±1.0 dB, BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
	, ,	
	4.2GHz < f ≤ 6.0GHz	
	±1.3 dB, BW ≤ 20MHz	
	±1.5 dB, 20MHz < BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
6.2.2 Maximum Power	f ≤ 3.0GHz	
Reduction (MPR)	±0.7 dB, BW ≤ 40MHz	
, ,	±1.4 dB, 40MHz < BW ≤ 100MHz	
	3.0GHz < f ≤ 4.2GHz	
	±1.0 dB, BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
	4.2GHz < f ≤ 6.0GHz	
	±1.3 dB, BW ≤ 20MHz	
	±1.5 dB, 20MHz < BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
6.2.3 UE additional	f ≤ 3.0GHz	
maximum output power	±0.7 dB, BW ≤ 40MHz	
reduction	±1.4 dB, 40MHz < BW ≤ 100MHz	
	3.0GHz < f ≤ 4.2GHz	
	±1.0 dB, BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
	4.2GHz < f ≤ 6.0GHz	
	±1.3 dB, BW ≤ 20MHz	
	±1.5 dB, 20MHz < BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
6.2.4 Configured transmitted		
power	±0.7 dB, BW ≤ 40MHz	
	±1.4 dB, 40MHz < BW ≤ 100MHz	
	0.0011 ( 1.4.0011	
	3.0GHz < f ≤ 4.2GHz	
	±1.0 dB, BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
	4.0011-	
	4.2GHz < f ≤ 6.0GHz	
	±1.3 dB, BW ≤ 20MHz	
	±1.5 dB, 20MHz < BW ≤ 40MHz	
COCA Configurad	±1.6 dB, 40MHz < BW ≤ 100MHz	
6.2C.1 Configured	Same as 6.2.1	
transmitted power for SUL 6.3.1 Minimum output power	f ≤ 3.0GHz	+
0.3.1 Williminum output power	± 3.0GH2   ±1.0 dB, BW ≤ 40MHz	
	±1.0 dB, BW ≤ 40MHz  ±1.4 dB, 40MHz < BW ≤ 100MHz	
	±1.4 UD, 4UIVITZ < DVV > 1UUIVITZ	
	3.0GHz < f ≤ 4.2GHz	
	±1.3 dB, BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
	±1.0 dD, TOIVII IZ \ DVV = 100IVII IZ	
	4.2GHz < f ≤ 6.0GHz	
	±1.5 dB, BW ≤ 40MHz	
	±1.8 dB, 40MHz < BW ≤ 100MHz	
<u> </u>		

6.3.2 Transmit OFF power	f ≤ 3.0GHz	
ретен	±1.5 dB, BW ≤ 40MHz	
	±1.7 dB, 40MHz < BW ≤ 100MHz	
	3.0GHz < f ≤ 4.2GHz	
	±1.8 dB, BW ≤ 40MHz	
	l '	
	±1.9 dB, 40MHz < BW ≤ 80MHz	
	±2.2 dB, 80MHz < BW ≤ 100MHz	
	4.2GHz < f ≤ 6.0GHz	
	±2.0 dB. BW ≤ 20MHz	
	±2.1 dB, 20MHz < BW ≤ 80MHz	
	±2.2 dB, 80MHz < BW ≤ 100MHz	
6.3.3.2 General ON/OFF	f ≤ 3.0GHz	
time mask		
tille mask	±1.5 dB, BW ≤ 40MHz	
	±1.7 dB, 40MHz < BW ≤ 100MHz	
	3.0GHz < f ≤ 4.2GHz	
	±1.8 dB, BW ≤ 40MHz	
	±1.9 dB, 40MHz < BW ≤ 80MHz	
	±2.2 dB, 80MHz < BW ≤ 100MHz	
	4.2GHz < f ≤ 6.0GHz	
	±2.0 dB, BW ≤ 20MHz	
	±2.1 dB, 20MHz < BW ≤ 80MHz	
	±2.2 dB, 80MHz < BW ≤ 100MHz	
C 2 2 4 DD A CI I time a model		
6.3.3.4 PRACH time mask	f ≤ 3.0GHz	
	±1.5 dB, BW ≤ 40MHz	
	±1.7 dB, 40MHz < BW ≤ 100MHz	
	0.0011- 4.4.0011-	
	3.0GHz < f ≤ 4.2GHz	
	±1.8 dB, BW ≤ 40MHz	
	±1.9 dB, 40MHz < BW ≤ 80MHz	
	±2.2 dB, 80MHz < BW ≤ 100MHz	
	4.2GHz < f ≤ 6.0GHz	
	±2.0 dB, BW ≤ 20MHz	
	±2.1 dB, 20MHz < BW ≤ 80MHz	
	±2.2 dB, 80MHz < BW ≤ 100MHz	
6.3.3.6 SRS time mask	f ≤ 3.0GHz	
	±1.5 dB, BW ≤ 40MHz	
	±1.7 dB, 40MHz < BW ≤ 100MHz	
	3.0GHz < f ≤ 4.2GHz	
	±1.8 dB, BW ≤ 40MHz	
	l '	
	±1.9 dB, 40MHz < BW ≤ 80MHz	
	±2.2 dB, 80MHz < BW ≤ 100MHz	
	4.2GHz < f ≤ 6.0GHz	
	±2.0 dB, BW ≤ 20MHz	
	±2.1 dB, 20MHz < BW ≤ 80MHz	
	±2.2 dB, 80MHz < BW ≤ 100MHz	
6.3.3.7 PUSCH-PUCCH and		
PUSCH-SRS time masks	1. 4.44	
FUSUR-SKS little masks	±1.5 dB, BW ≤ 40MHz	
	±1.7 dB, 40MHz < BW ≤ 100MHz	
	3.0GHz < f ≤ 4.2GHz	
	±1.8 dB, BW ≤ 40MHz	
	±1.9 dB, 40MHz < BW ≤ 80MHz	
	±2.2 dB, 80MHz < BW ≤ 100MHz	
	,	
	4 20Uz 4 5 6 00Uz	
	4.2GHz < f ≤ 6.0GHz	
	±2.0 dB, BW ≤ 20MHz	
	±2.1 dB, 20MHz < BW ≤ 80MHz	
	±2.2 dB, 80MHz < BW ≤ 100MHz	
İ	±2.2 GD, OUIVII IZ \ DVV = TOUIVII IZ	

6.3.4.2 Absolute power	f ≤ 3.0GHz	Test System uncertainty =
tolerance	±1.0 dB, BW ≤ 40MHz	SQRT (UL Meas Uncer <sup>2</sup> + DL
tolorarios	±1.6 dB, 40MHz < BW ≤ 100MHz	Meas Uncer <sup>2</sup> )
		,
	3.0GHz < f ≤ 4.2GHz	
	±1.4 dB, BW ≤ 40MHz	
	±1.9 dB, 40MHz < BW ≤ 100MHz	
	4.2GHz < f ≤ 6.0GHz	
	±2.0 dB, BW ≤ 20MHz	
	±2.1 dB, 20MHz < BW ≤ 40MHz	
	±2.2 dB, 80MHz < BW ≤ 100MHz	
6.3.4.3 Power Control	±0.7 dB, BW ≤ 40MHz	
Relative power tolerance	±1.0 dB, 40MHz < f ≤ 100MHz	
6.3.4.4 Aggregate power	±0.7 dB, BW ≤ 40MHz	
tolerance	±1.0 dB, 40MHz < f ≤ 100MHz	
6.4.1 Frequency Error	±15 Hz, f ≤ 3.0GHz	
	±36 Hz, f > 3.0GHz	
	DL Signal level:	
	±0.7 dB, f ≤ 3.0GHz	
	±1.0 dB, 3.0GHz < f ≤ 4.2GHz	
	±1.5 dB, 4.2GHz < f ≤ 6.0GHz	
6.4.2.1 Error Vector	For up to 256QAM:	
Magnitude	f ≤ 6.0GHz, BW ≤ 100MHz	
	4.5 dDm. D	
	15 dBm < Pul	
	PUSCH, PUCCH, PRACH: ±1.5 % -25 dBm < PuL ≤ 15 dBm	
	PUSCH, PUCCH, PRACH: ±2.5 %	
	$-40$ dBm $\leq P_{UL} \leq -25$ dBm	
	PUSCH, PUCCH, PRACH: ±3.0 %	
6.4.2.2 Carrier Leakage	f ≤ 3.0GHz	
0.4.2.2 Carrier Leakage	±0.8 dB, BW ≤ 40MHz	
	±1.5 dB, 40MHz < BW ≤ 100MHz	
	11.0 dB, 40Wii i2 \ BW = 100Wii i2	
	3.0GHz < f ≤ 4.2GHz	
	±0.8 dB, BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
	4.2GHz < f ≤ 6.0GHz	
	±1.0 dB, BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
6.4.2.3 In-band emissions	f ≤ 3.0GHz	
	±0.8 dB, BW ≤ 40MHz	
	±1.5 dB, 40MHz < BW ≤ 100MHz	
	3.0GHz < f ≤ 4.2GHz	
	±0.8 dB, BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
	4.2GHz < f ≤ 6.0GHz	
	±1.0 dB, BW ≤ 40MHz	
0.4.0.4.5\/\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	±1.6 dB, 40MHz < BW ≤ 100MHz	
6.4.2.4 EVM equalizer	±1.4 dB, BW ≤ 40MHz	
spectrum flatness	±1.6 dB, 40MHz < BW ≤ 100MHz	
6.4.2.5 EVM equalizer	Same as 6.4.2.4	
spectrum flatness for Pi/2 BPSK		
6.5.1 Occupied bandwidth	1.5% of channel bandwidth	
	Same as 6.5.1	
6.5C.1 Occupied bandwidth for SUL	Same as 0.3.1	
6.5.2.2 Spectrum Emission	±1.5 dB, f ≤ 3.0GHz	
Mask	±1.5 dB, 1 ≤ 3.0GHz ±1.8 dB, 3.0GHz < f ≤ 4.2GHz	
Ividor	±1.6 dB, 3.0GHz < 1 ≤ 4.2GHz ±2.0 dB, 4.2GHz < f ≤ 6.0GHz	
6.5C.2.2 Spectrum Emission		
Mask for SUL	Jamo as 0.5.2.2	
MIGSK TOT SUL		1

	1	1
6.5.2.3 Additional spectrum	±1.5 dB, f ≤ 3.0GHz	
emission mask	±1.8 dB, 3.0GHz < f ≤ 4.2GHz	
0.50.00.4	$\pm 2.0 \text{ dB}, 4.2 \text{GHz} < f \le 6.0 \text{GHz}$	
6.5C.2.3 Additional	Same as 6.5.2.3	
spectrum emission mask for SUL		
6.5.2.4.1 NR ACLR	±0.8 dB, f ≤ 4.0GHz ±1.0 dB, 4.0GHz < f ≤ 6.0GHz	
6.5C.2.4.1 NR ACLR for SUL	Same 6.5.2.4.1	
6.5.2.4.2 UTRA ACLR	±0.8 dB, f ≤ 4.0GHz ±1.0 dB, 4.0GHz < f ≤ 6.0GHz	
6.5C.2.4.2 UTRA ACLR for SUL	Same as 6.5.2.4.2	
6.5.3.1 General spurious	for results > -60 dBm:	
emissions	±2.0 dB, 9kHz < f ≤ 3GHz	
	±2.5 dB, 3GHz < f ≤ 4GHz	
	±4.0 dB, 4GHz < f ≤ 19GHz	
	±6.0 dB, 19GHz < f ≤ 26GHz	
6.5C.3.1 General spurious	Same as 6.5.3.1	
emissions for SUL	Same as sister.	
6.5.3.2 Spurious emission	for results > -60 dBm:	
for UE co-existence	±2.0 dB, 9kHz < f ≤ 3GHz	
	±2.5 dB, 3GHz < f ≤ 4GHz	
	±4.0 dB, 4GHz < f ≤ 19GHz	
	±6.0 dB, 19GHz < f ≤ 26GHz	
6.5C.3.2 Spurious emission	Same as 6.5.3.2	
for UE co-existence for SUL		
6.5.3.3 Additional spurious	for results > -60 dBm:	
emissions	±2.0 dB, 9kHz < f ≤ 3GHz	
	±2.5 dB, 3GHz < f ≤ 4GHz	
	±4.0 dB, 4GHz < f ≤ 19GHz	
C. C. C. C. Additional anumique	±6.0 dB, 19GHz < f ≤ 26GHz	
6.5C.3.3 Additional spurious emissions for SUL	Same as 6.5.3.3	
6.5.4 Transmit	f ≤ 3.0GHz	Overall system uncertainty
intermodulation	±2.7 dB, BW ≤ 40MHz	comprises four quantities:
intermodulation	±3.1 dB, 40MHz < BW ≤ 100MHz	
		Wanted signal setting error
	3.0GHz < f ≤ 4.2GHz	2. CW Interferer level error
	±3.7 dB, BW ≤ 40MHz	3. Wanted signal meas. error
	±4.0 dB, 40MHz < BW ≤ 100MHz	4. Intermodulation product
		measurement error
	4.2GHz < f ≤ 6.0GHz	The relative level of the wanted
	±5.1 dB, BW ≤ 40MHz	signal and the CW interferer
	±5.3 dB, 40MHz < BW ≤ 100MHz	has 2 x effect on the
		intermodulation product.
		·
		Items 1, 2, 3 and 4 are
		assumed to be uncorrelated so
		can be root sum squared to
		provide the combined effect.
		Test System uncertainty =
		SQRT [(2 x SQRT (Wanted
		setting_error <sup>2</sup> +
		CW_level_error <sup>2</sup> )) <sup>2</sup> +
		Wanted_level_meas error <sup>2</sup> +
		Intermodulation product
		measurement error <sup>2</sup> ]
6.5C.4 Transmit	Same as 6.5.4	
intermodulation for SUL		

## F.1.3 Measurement of receiver

Table F.1.3-1: Maximum Test System Uncertainty for receiver tests

Subclause	Maximum Test System Uncertainty	Derivation of Test System Uncertainty
7.3.2 Reference sensitivity	±0.7 dB, f ≤ 3.0GHz	
power level	±1.0 dB, 3.0GHz < f ≤ 4.2GHz	
	±1.5 dB, 4.2GHz < f ≤ 6GHz	
7.3.2_1 Reference	±0.7 dB, f ≤ 3.0GHz	
sensitivity level with 4 Rx	±1.0 dB, 3.0GHz < f ≤ 4.2GHz	
antenna ports	±1.5 dB, 4.2GHz < f ≤ 6GHz	
7.3C.2 Reference sensitivity power level	Same as 7.3.2	
7.4 Maximum input level	Downlink power	
·	±0.7 dB, f ≤ 3.0GHz	
	±1.0 dB, 3.0GHz < f ≤ 4.2GHz	
	±1.5 dB, 4.2GHz < f ≤ 6GHz	
	Uplink power measurement	
	f ≤ 3.0GHz	
	$\pm 0.7 \text{ dB}, \text{BW} \leq 40 \text{MHz}$	
	±1.4 dB, 40MHz < BW ≤ 100MHz	
	3.0GHz < f ≤ 4.2GHz	
	±1.0 dB, BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
	4.2GHz < f ≤ 6.0GHz	
	±1.3 dB, BW ≤ 20MHz	
	±1.5 dB, 20MHz < BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
7.5 Adjacent channel	ACS value	Overall ACS uncertainty
selectivity	±1.6 dB, f ≤ 3.0GHz	comprises three quantities:
	±2.3 dB, 3.0GHz < f ≤ 4.2GHz	1. Wanted signal level error
	±3.0 dB, 4.2GHz < f ≤ 6.0GHz	Interferer signal level error
		3. Additional impact of interferer
	Uplink power measurement	ACLR
	f ≤ 3.0GHz	1.0=.1
	±0.7 dB, BW ≤ 40MHz	Items 1 and 2 are assumed to
	±1.4 dB, 40MHz < BW ≤ 100MHz	be uncorrelated so can be root
		sum squared to provide the
	3.0GHz < f ≤ 4.2GHz	ratio error of the two signals.
	±1.0 dB, BW ≤ 40MHz	The interferer ACLR effect is
	±1.6 dB, 40MHz < BW ≤ 100MHz	systematic, and is added
	4.20Uz f < 6.00Uz	arithmetically.
	4.2GHz < f ≤ 6.0GHz	-
	±1.3 dB, BW ≤ 20MHz	Test System uncertainty =
	±1.5 dB, 20MHz < BW ≤ 40MHz	[SQRT (wanted_level_error <sup>2</sup> +
	±1.6 dB, 40MHz < BW ≤ 100MHz	interferer_level_error <sup>2</sup> )] + ACLR effect.
		effect.

7.6.2 Inband Blocking	Blocking	Overall blocking uncertainty can
7.0.2 Inband Blocking	±1.6 dB, f ≤ 3.0GHz	have these contributions:
	±2.3 dB, 3.0GHz < f ≤ 4.2GHz	Wanted signal level error
	±3.0 dB, 4.2GHz < f ≤ 6.0GHz	Interferer signal level error
	10.0 dB, 4.20112 < 1 = 0.00112	3. Interferer ACLR
	Uplink power measurement	4. Interferer broadband noise
	f ≤ 3.0GHz	Items 1 and 2 are assumed to
	±0.7 dB, BW ≤ 40MHz	be uncorrelated so can be root
	±1.4 dB, 40MHz < BW ≤ 100MHz	sum squared to provide the
		ratio error of the two signals.
	3.0GHz < f ≤ 4.2GHz	The Interferer ACLR or
	±1.0 dB, BW ≤ 40MHz	Broadband noise effect is
	±1.6 dB, 40MHz < BW ≤ 100MHz	systematic, and is added
	, ,	arithmetically.
	4.2GHz < f ≤ 6.0GHz	Test System uncertainty =
	±1.3 dB, BW ≤ 20MHz	[SQRT (wanted_level_error <sup>2</sup> +
	±1.5 dB, 20MHz < BW ≤ 40MHz	interferer_level_error <sup>2</sup> )] + ACLR
	±1.6 dB, 40MHz < BW ≤ 100MHz	effect + Broadband noise effect.
		In-band blocking, using
		modulated interferer:
7.6.2 Out of band blacking	Wanted signal f < 2 00Hz	Broadband noise not applicable Out of band blocking, using CW
7.6.3 Out-of-band blocking	Wanted signal, f ≤ 3.0GHz	0.
	±2.0 dB, Blocking, 1MHz < finterferer ≤ 3GHz	interferer:
	±3.9 dB, Blocking, 3GHz < f <sub>interferer</sub> ≤ 12.75GHz	Interferor ACLB not applicable
	Wanted signal, 3.0GHz < f ≤ 4.2GHz	Interferer ACLR not applicable Impact of interferer Broadband
	±2.2 dB, Blocking, 1MHz < f <sub>interferer</sub> ≤ 3GHz	noise 0.8dB
	±4.0 dB, Blocking, 3GHz < f <sub>interferer</sub> ≤ 3GHz ±4.0 dB, Blocking, 3GHz < f <sub>interferer</sub> ≤ 12.75GHz	Hoise 0.oub
	=4.0 dB, Blocking, 30112 < Interferer = 12.730112	Figures are combined to give
	Wanted signal, 4.2GHz < f ≤ 6GHz	Test System uncertainty, using
	±2.6 dB, Blocking, 1MHz < f <sub>interferer</sub> ≤ 3GHz	formula given for 7.6.2
	±4.2 dB, Blocking, 3GHz < finterferer ≤ 12.75GHz	
	=4.2 db, blocking, 30112 < linterierer = 12.730112	
	Uplink power measurement	
	f ≤ 3.0GHz	
	±0.7 dB, BW ≤ 40MHz	
	±1.4 dB, 40MHz < BW ≤ 100MHz	
	0.0011	
	3.0GHz < f ≤ 4.2GHz	
	±1.0 dB, BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
	4.2GHz < f ≤ 6.0GHz	
	±1.3 dB, BW ≤ 20MHz	
	±1.5 dB, 20MHz < BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
7.6.4 Narrow band blocking	Blocking	Narrow band blocking, using
and blooming	± 2.0dB, f ≤ 3.0GHz	CW interferer:
	± 2.4dB, 3.0GHz < f ≤ 4.2GHz	
	± 3.1dB, 4.2GHz < f ≤ 6.0GHz	Interferer ACLR not applicable
		Impact of interferer Broadband
	Uplink power measurement	noise 0.8dB
	f ≤ 3.0GHz	
	±0.7 dB, BW ≤ 40MHz	Figures are combined to give
	±1.4 dB, 40MHz < BW ≤ 100MHz	Test System uncertainty, using
		formula given for 7.6.2
	3.0GHz < f ≤ 4.2GHz	
	±1.0 dB, BW ≤ 40MHz	
	±1.6 dB, 40MHz < BW ≤ 100MHz	
	4 2017 - 15 < 6 0011-	
	$4.2 \text{GHz} < f \le 6.0 \text{GHz}$	
	±1.3 dB, BW ≤ 20MHz	
	±1.5 dB, 20MHz < BW ≤ 40MHz ±1.6 dB, 40MHz < BW ≤ 100MHz	
7.7 Spurious roops	±1.6 dB, 40MHz < BW ≤ 100MHz Same as 7.6.3	Sama as 7.6.2
7.7 Spurious response	Saine do 1.0.3	Same as 7.6.3

7.8.2 Wide band	Intermodulation	Overall intermodulation
Intermodulation	± 2.3dB, f ≤ 3.0GHz	uncertainty comprises three
	± 3.1dB, 3.0GHz < f ≤ 4.2GHz	quantities:
	± 4.3dB, 4.2GHz < f ≤ 6.0GHz	Wanted signal level error
	,	2. CW Interferer level error
	Uplink power measurement	Modulated Interferer level
	f ≤ 3.0GHz	error
	±0.7 dB, BW ≤ 40MHz	
	±1.4 dB, 40MHz < BW ≤ 100MHz	Effect of interferer ACLR has
		not been included as modulated
	3.0GHz < f ≤ 4.2GHz	interferer has larger frequency
	±1.0 dB, BW ≤ 40MHz	offset
	±1.6 dB, 40MHz < BW ≤ 100MHz	The effect of the closer CW
		signal has twice the effect.
	4.2GHz < f ≤ 6.0GHz	Items 1, 2 and 3 are assumed
	±1.3 dB, BW ≤ 20MHz	to be uncorrelated so can be
	±1.5 dB, 20MHz < BW ≤ 40MHz	root sum squared to provide the
	±1.6 dB, 40MHz < BW ≤ 100MHz	combined effect of the three
		signals.
		Test System uncertainty =
		SQRT [(2 x CW_level_error) <sup>2</sup>
		+(mod interferer_level_error) <sup>2</sup>
		+(wanted signal_level_error) <sup>2</sup> ]
7.9 Spurious emissions	for results > -60 dBm:	
	±2.0 dB, 9kHz < f ≤ 3GHz	
	±2.5 dB, 3GHz < f ≤ 4GHz	
	±4.0 dB, 4GHz < f ≤ 19GHz	
	±6.0 dB, 19GHz < f ≤ 26GHz	

## F.2 Interpretation of measurement results (normative)

The measurement results returned by the Test System are compared – without any modification – against the Test Requirements. The Test Requirement is defined as a threshold considered in a test to assess compliance of the device; it might be either equal or relaxed compared to the corresponding core specification value by an amount defined in Annex F.3 as Test Tolerance.

The "Shared Risk" principle is defined in Rec. ITU-R M.1545.

The actual measurement uncertainty of the Test System for the measurement of each parameter shall be included in the test report.

The recorded value for the Test System uncertainty shall be, for each measurement, equal to or lower than the appropriate figure in clause F.1 of the present document.

If the Test System for a test is known to have a measurement uncertainty greater than that specified in clause F.1, it is still permitted to use this apparatus provided that an adjustment is made value as follows:

Any additional uncertainty in the Test System over and above that specified in clause F.1 shall be used to tighten the Test Requirement, making the test harder to pass. For some tests, for example receiver tests, this may require modification of stimulus signals. This procedure will ensure that a Test System not compliant with clause F.1does not increase the chance of passing a device under test where that device would otherwise have failed the test if a Test System compliant with clause F.1 had been used.

# F.3 Test Tolerance and Derivation of Test Requirements (informative)

The Test Requirements in the present document have been calculated by relaxing the Minimum Requirements of the core specification using the Test Tolerances defined in this clause. When the Test Tolerance is zero, the Test Requirement will be the same as the Minimum Requirement. When the Test Tolerance is non-zero, the Test Requirements will differ from the Minimum Requirements, and the formula used for the relaxation is given in this clause.

The Test Tolerances are derived from Test System uncertainties, regulatory requirements and criticality to system performance. As a result, the Test Tolerances may sometimes be set to zero.

The test tolerances should not be modified for any reason e.g. to take account of commonly known test system errors (such as mismatch, cable loss, etc.).

The downlink Test Tolerances apply at each receiver antenna connector.

#### F.3.1 Measurement of test environments

The UE test environments are set to the values defined in TS 38.508-1 subclause 4.1, without any relaxation. The applied Test Tolerance is therefore zero.

## F.3.2 Measurement of transmitter

**Table F.3.2-1: Derivation of Test Requirements (Transmitter tests)** 

Sub clause	Test Tolerance (TT)	Formula for test requirement
6.2.1 UE maximum output power	$f \le 3.0 \text{GHz}$ 0.7 dB, BW ≤ 40MHz 1.0 dB, 40MHz < BW ≤ 100MHz	Upper limit + TT, Lower limit - TT
	3.0GHz < f ≤ 6.0GHz 1.0 dB, BW ≤ 100MHz	
6.2.2 Maximum Power Reduction (MPR)	$f \le 3.0 \text{GHz}$ 0.7 dB, BW ≤ 40MHz 1.0 dB, 40MHz < BW ≤ 100MHz	Upper limit + TT, Lower limit - TT
	$\frac{3.0\text{GHz} < f \le 6.0\text{GHz}}{1.0 \text{ dB, BW} \le 100\text{MHz}}$	
6.2.3 UE additional maximum output power reduction	$\frac{f \le 3.0 \text{GHz}}{0.7 \text{ dB, BW} \le 40 \text{MHz}}$ 1.0 dB, 40MHz < BW ≤ 100MHz	Upper limit + TT, Lower limit - TT
	$\frac{3.0\text{GHz} < f \le 6.0\text{GHz}}{1.0 \text{ dB, BW} \le 100\text{MHz}}$	
6.2.4 Configured transmitted power	$\frac{f \le 3.0 \text{GHz}}{0.7 \text{ dB, BW}} \le 40 \text{MHz}$ 1.0 dB, 40MHz < BW ≤ 100MHz	Upper limit + TT, Lower limit - TT
	$\frac{3.0\text{GHz} < f \le 6.0\text{GHz}}{1.0 \text{ dB, BW} \le 100\text{MHz}}$	
6.2C.1 Configured transmitted power for SUL	Same as 6.2.1	Same as 6.2.1
6.3.1 Minimum output power	$\frac{f \le 3.0 \text{GHz}}{1.0 \text{ dB, BW}} \le 40 \text{MHz}$ 1.3 dB, 40MHz < BW ≤ 100MHz	Minimum requirement + TT
	3.0GHz < f ≤ 6.0GHz 1.3 dB, BW ≤ 100MHz	
6.3.2 Transmit OFF power	<u>f ≤ 3.0GHz</u> 1.5 dB, BW ≤ 40MHz 1.7 dB, 40MHz < BW ≤ 100MHz	Minimum requirement + TT
	3.0GHz < f ≤ 6.0GHz 1.8 dB, BW ≤ 100MHz	
6.3.3.2 General ON/OFF time mask	$\frac{f \le 3.0 \text{GHz}}{1.5 \text{ dB, BW}}$ ≤ 40MHz 1.7 dB, 40MHz < BW ≤ 100MHz	OFF Power: Minimum requirement + TT
	3.0GHz < f ≤ 6.0GHz 1.8 dB, BW ≤ 100MHz	ON Power: Upper limit + TT, Lower limit - TT
6.3.3.4 PRACH time mask	$\frac{f \le 3.0 \text{GHz}}{1.5 \text{ dB, BW}}$ ≤ 40MHz 1.7 dB, 40MHz < BW ≤ 100MHz	OFF Power: Minimum requirement + TT
	3.0GHz < f ≤ 6.0GHz 1.8 dB, BW ≤ 100MHz	ON Power: Upper limit + TT, Lower limit - TT
6.3.3.6 SRS time mask	$\frac{f \le 3.0 \text{GHz}}{1.5 \text{ dB, BW}}$ ≤ 40MHz 1.7 dB, 40MHz < BW ≤ 100MHz	OFF Power: Minimum requirement + TT
	3.0GHz < f ≤ 6.0GHz 1.8 dB, BW ≤ 100MHz	ON Power: Upper limit + TT, Lower limit - TT
6.3.3.7 PUSCH-PUCCH and PUSCH-SRS time masks	$\frac{f \le 3.0 \text{GHz}}{1.5 \text{ dB, BW}} \le 40 \text{MHz}$ 1.7 dB, 40MHz < BW ≤ 100MHz	OFF Power: Minimum requirement + TT
	3.0GHz < f ≤ 6.0GHz 1.8 dB, BW ≤ 100MHz	ON Power: Upper limit + TT, Lower limit - TT

	T	1
6.3.4.2 Absolute power	<u>UL Power ≥ 0dBm</u>	Upper limit + TT, Lower limit – TT
tolerance	TDD SCS 60kHz: [TBD] dB due to 0.25ms	
	measurement time	
	Otherwise:	
	f ≤ 3.0GHz	
	1.0 dB, BW ≤ 40MHz	
	· · · · · · · · · · · · · · · · · · ·	
	1.4 dB, 40MHz < BW ≤ 100MHz	
	3.0GHz < f ≤ 6.0GHz	
	1.4 dB, BW ≤ 100MHz	
6.3.4.3 Power Control	0.7 dB, BW ≤ 100MHz	Upper limit + TT, Lower limit – TT
Relative power tolerance	, , , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , , ,
6.3.4.4 Aggregate power	TBD	Upper limit + TT, Lower limit - TT
	100	opper iii iii + 11, Lower iii iii - 11
tolerance	45.11	
6.4.1 Frequency Error	15 Hz	Modulated carrier frequency:
		Upper limit + TT, Lower limit – TT
		DL power:
		REFSENS + TT
6.4.2.1 Error Vector	For up to 64QAM	Minimum requirement + TT
Magnitude	1 0 dp to 04QAW	
wagnitude	070	
	F 0500AM	
	For 256QAM	
	f ≤ 6.0GHz, BW ≤ 100MHz	
	0.3%, 15dBm < P∪L	
	0.8%, -25dBm < P∪L ≤ 15dBm,	
	1.1%, -40dBm ≤ P∪L ≤ -25dBm	
6.4.2.2 Carrier Leakage	0.8 dB, BW ≤ 100MHz	Minimum requirement + TT
	•	Minimum requirement + TT
6.4.2.3 In-band emissions	0.8 dB, BW ≤ 100MHz	
6.4.2.4 EVM equalizer	1.4 dB, BW ≤ 100MHz	Minimum requirement + TT
spectrum flatness		
6.4.2.5 EVM equalizer	Same as 6.4.2.4	Minimum requirement + TT
spectrum flatness for Pi/2		
BPSK		
6.5.1 Occupied bandwidth	0 kHz	Minimum requirement + TT
6.5C.1 Occupied bandwidth	Same as 6.5.1	Same as 6.5.1
	Same as 6.5.1	Same as 6.5.1
for SUL		
6.5.2.2 Spectrum Emission	1.5 dB, f ≤ 3.0GHz	Minimum requirement + TT
Mask	1.8 dB, 3.0GHz < f ≤ 6.0GHz	
6.5C.2.2 Spectrum	Same as 6.5.2.2	Same as 6.5.2.2
Emission Mask for SUL		
6.5.2.3 Additional spectrum	1.5 dB, f ≤ 3.0GHz	Minimum requirement + TT
emission mask	1.8 dB, 3.0GHz < f ≤ 6.0GHz	
		Comp on 6 F 2 2
6.5C.2.3 Additional	Same as 6.5.2.3	Same as 6.5.2.3
spectrum emission mask		
for SUL		
6.5.2.4.1 NR ACLR	Absolute requirement	Absolute requirement
	0 dB	ACLR Minimum Requirement + TT
	Relative requirement	Relative requirement
	0.8 dB	ACLR Minimum Requirement + TT
C FC 2 4 4 ND ACL D for		
6.5C.2.4.1 NR ACLR for	Same as 6.5.2.4.1	Same as 6.5.2.4.1
SUL		
6.5.2.4.2 UTRA ACLR	Same as 6.5.2.4.1	Same as 6.5.2.4.1
6.5C.2.4.2 UTRA ACLR for	Same as 6.5.2.4.2	Same as 6.5.2.4.2
SUL		
6.5.3.1 General spurious	0 dB	Minimum requirement + TT
emissions		
6.5C.3.1 General spurious	Same as 6.5.3.1	Same as 6.5.3.1
	Same as 6.5.3.1	Same as 0.5.3.1
emissions for SUL		
6.5.3.2 Spurious emission	0 dB	Minimum requirement + TT
for UE co-existence		
6.5C.3.2 Spurious emission	Same as 6.5.3.2	Same as 6.5.3.2
for UE co-existence for		
SUL		
UUL	l	

6.5.3.3 Additional spurious	0 dB	Minimum requirement + TT
emissions		
6.5C.3.3 Additional	Same as 6.5.3.3	Same as 6.5.3.3
spurious emissions for SUL		
6.5.4 Transmit	0 dB	CW interferer Minimum Requirement
intermodulation		- TT
6.5C.4 Transmit	Same as 6.5.4	Same as 6.5.4
intermodulation for SUL		

## F.3.3 Measurement of receiver

Table F.3.3-1: Derivation of Test Requirements (Receiver tests)

	0.7 dB, f ≤ 3.0GHz 1.0 dB, 3.0GHz < f ≤ 6.0GHz	Reference sensitivity power level +
nower level	10 dD 20CUz 4f < 60CUz	. J. I
power level	1.0 db, 3.0GHZ < 1 ≥ 0.0GHZ	TT
	2 - 12 ( 2 2 2 1	T-put limit unchanged
	0.7 dB, f ≤ 3.0GHz	Reference sensitivity power level +
	1.0 dB, 3.0GHz < f ≤ 6.0GHz	TT
antenna ports		T put limit upphanged
7.3C.2 Reference	Same as 7.3.2	T-put limit unchanged Same as 7.3.2
sensitivity power level	Came as 1.5.2	Dame as 7.5.2
	0.7 dB, f ≤ 3.0GHz	Maximum input level - TT
	1.0 dB, 3.0GHz < f ≤ 6.0GHz	
	0 dB	Wanted signal power + TT
selectivity		
	<u>Uplink power</u>	Interferer signal power unchanged
	<u>f</u> ≤ 3.0GHz	T-put limit unchanged
	0.7 dB, BW ≤ 40MHz	
	1.0 dB, 40MHz < BW ≤ 100MHz	
	2.00114 < 0.0011-	
	3.0GHz < f ≤ 6.0GHz 1.0 dB, BW ≤ 100MHz	
	0 dB	Wanted signal power + TT
7.0.2 Inband Blocking	0 db	Wanted Signal power + 11
	Uplink power	Interferer signal power unchanged
	f ≤ 3.0GHz	T-put limit unchanged
	0.7 dB, BW ≤ 40MHz	1 3
	1.0 dB, 40MHz < BW ≤ 100MHz	
	$3.0\text{GHz} < f \le 6.0\text{GHz}$	
	1.0 dB, BW ≤ 100MHz	
7.6.3 Out-of-band blocking	0 dB	Wanted signal power + TT
		Interferer signal power unchanged
		T-put limit unchanged
7.6.4 Narrow band blocking	0 dB	Wanted signal power + TT
The state of the s		
		Interferer signal power unchanged
		T-put limit unchanged
7.7 Spurious response	0 dB	Wanted signal power + TT
		Interferer signal power unchanged
7.0.0 \\(\frac{1}{2}\)	0. ID	T-put limit unchanged
	0 dB	Wanted signal power +TT
Intermodulation		CW Interferer signal power
		unchanged
		Modulated Interferer signal power
		unchanged
		T-put limit unchanged
7.9 Spurious emissions	0 dB	Minimum requirement + TT

# Annex G (normative): Uplink Physical Channels

## G.0 Uplink Signal Levels

Uplink signal power is a UE figure, which is configured by the Test System by means of:

RRC messages (IE-s), such as:

- PUSCH-PowerControl
- PUCCH-PowerControl
- RACH-ConfigGeneric
- SRS-Config

and L1/2 Power control commands (TPC).

The uplink power settings are specified in the test case.

Otherwise, the uplink power settings result from the default RRC messages described in 3GPP TS 38.508 [5], and appropriate TPC-s, which are sent to the UE to transmit with an UL power level necessary for maintaining the call during the test.

### G.1 General

This annex specifies the uplink physical channels that are needed for setting a connection and channels that are needed during a connection. Table G.1-1 describes the mapping of uplink physical channels and signals to physical resources

Table G.1-1: Mapping of uplink physical channels and signals to physical resources

Physical channel	Time Domain Location	Frequency Domain Location	Note
PRACH	Allowed by the parameter prach- ConfigurationIndex provided by higher layers	Allowed by the parameter msg1- FrequencyStart provided by higher layers	Mapping rule is specified in TS 38.211 [8] Section 6.3.3
DMRS	For DMRS on PUCCH format 1: Every other symbols i.e., 0, 2, 4 For DMRS on PUCCH format 2: All the PUCCH symbols For DMRS on PUCCH format 3,4: PUCCH length dependent  For One symbol DMRS on PUSCH: Symbol 0 of each slot	DMRS on CP-OFDM PUSCH: Specified by the parameters dmrs-Type provided by higher layers.  DMRS on DFT-OFDM PUSCH: Allowed for DMRS configuration type1  DMRS on PUCCH: PUCCH bandwidth dependent.	Mapping rule of DMRS for PUCCH is specified in TS 38.211 [8] Section 6.4.1.3  Mapping rule of DMRS for PUSCH is specified in TS 38.211 [8] Sections 6.4.1.1, 6.4.1.2
PUCCH	For PUCCH Format 0:  1 ~ 2 symbols each slot, specified by the parameters of nrofSymbols and startingSymbolIndex in PUCCH-format0 provided by the higher layer.  For PUCCH Format 1:  4 ~ 14 symbols each slot, specified by the parameters of nrofSymbols and those of startingSymbolIndex of PUCCH-format1 provided by the higher layer.  For PUCCH Format 2,  1 ~ 2 symbols each slot, specified by the parameters of nrofSymbols and startingSymbolIndex in PUCCH-format2 provided by the higher layer.  For PUCCH Format 3:  4 ~ 14 symbols each slot, allowed by the parameters of nrofSymbols and startingSymbolIndex in PUCCH-format3, provided by the higher layer.  For PUCCH Format 4:  4 ~ 14 symbols each slot, specified by the parameters of nrofSymbols and startingSymbolIndex in PUCCH-format4, provided by higher layer.	For PUCCH Format 0, 1  1 RB, the position specified by the parameters of startingPRB and intraSlotFrequencyHopping in the corresponding PUCCH-Resource provided by the higher layer.  For PUCCH Format 2, 3:  1~16 RBs, specified by the parameter of nrofPRBs in PUCCH-format2 and PUCCH-format3 respectively; additionally the position specified by the parameters of startingPRB and intraSlotFrequencyHopping in the corresponding PUCCH-Resource provided by the higher layer.  For PUCCH Format 4  1 RB, the position specified by the parameters of startingPRB and intraSlotFrequencyHopping in the corresponding PUCCH-Resource provided by the higher layer	Mapping rule is specified in TS 38.211 [8] Section 6.3.2 and 38.213 [9] Section 9.2
PUSCH	All remaining uplink symbols of each slot not allocated to DMRS	RBs allocated according to Reference Measurement channel in Annex A.2	Mapping rule is specified in TS 38.211 [8] Section 6.3 and 38.214 [12] Section 6.1
SRS	1, 2, or 4 symbols among the last 6 symbols in each SRS transmission slot specified by the parameters of resourceMapping, and resourceType in SRS-Config provided by the higher layer.	RBs specified by the ue-specific parameters of freqDomainPosition, freqDomainShift and freqHopping in SRS-Config provided by the higher layer.	Mapping rule is specified in TS 38.211 [8] Section 6.4.1.4.3

## G.2 Set-up

Table G.2-1 describes the uplink physical channels that are required for connection set up.

Table G.2-1: Uplink Physical Channels required for connection set-up

Physical Channel PRACH PUCCH PUSCH PUCCH DMRS
PUCCH PUSCH
PUSCH
PUCCH DMRS
PUSCH DMRS
SRS

In case of supplementary test, Table G.2-2 describes the supplementary uplink physical channels that are required for connection set-up, and unless stated otherwise, there is no other uplink physical channels configured on the NON-SUL carrier except PRACH.

Table G.2-2: Supplementary Uplink Physical Channels required for connection set-up

Physical Channel
PRACH
DMRS
PUCCH
PUSCH

### G.3 Connection

The following clauses describes the uplink physical channels that are transmitted during a connection i.e., when measurements are done.

## G.3.0 Measurement of Transmitter Characteristics

As specified in the test case. Otherwise:

- PUSCH + DMRS for PUSCH (and DMRS) measurements.
- PUCCH + DMRS for PUCCH (and DMRS) measurements.
- PRACH for PRACH measurements.

SRS for SRS measurements.

### G.3.1 Measurement of Receiver Characteristics

As specified in the test case. Otherwise:

- PUSCH + DMRS for measurements with uplink interference configured.
- PUCCH + DMRS for measurements without uplink interference configured.

## G.3.2 Measurement of Performance Requirements

As specified in the test case. Otherwise:

PUCCH + DMRS for measurements without CSI feedback, or with CSI feedback in PUCCH mode.

PUSCH + DMRS for measurements with CSI feedback in PUSCH mode.

# Annex H (normative): Statistical Testing

#### H.1 General

FFS.

## H.2 Statistical testing of receiver characteristics

#### H.2.1 General

The test of receiver characteristics is two fold.

- 1. A signal or a combination of signals is offered to the RX port(s) of the receiver.
- 2. The ability of the receiver to demodulate /decode this signal is verified by measuring the throughput.

In (2) is the statistical aspect of the test and is treated here.

The minimum requirement for all receiver tests is >95% of the maximum throughput.

All receiver tests are performed in static propagation conditions. No fading conditions are applied.

## H.2.2 Mapping throughput to error ratio

- a) The measured information bit throughput R is defined as the sum (in kilobits) of the information bit payloads successfully received during the test interval, divided by the duration of the test interval (in seconds).
- b) In measurement practice the UE indicates successfully received information bit payload by signalling an ACK to the SS.
  - If payload is received, but damaged and cannot be decoded, the UE signals a NACK.
- c) Only the ACK and NACK signals, not the data bits received, are accessible to the SS. The number of bits is known in the SS from knowledge of what payload was sent.
- d) For the reference measurement channel, applied for testing, the number of bits is different in different subframes, however in a radio frame it is fixed during one test.
- e) The time in the measurement interval is composed of successfully received subframes (ACK), unsuccessfully received subframes (NACK) and no reception at all (DTX-subframes).
- f) DTX-subframes may occur regularly according the applicable reference measurement channel (regDTX). In real live networks this is the time when other UEs are served. In TDD these are the UL and special subframes. regDTX vary from test to test but are fixed within the test.
- g) Additional DTX-subframes occur statistically when the UE is not responding ACK or NACK where it should. (statDTX)
  - This may happen when the UE was not expecting data or decided that the data were not intended for it.

The pass / fail decision is done by observing the:

- number of NACKs
- number of ACKs and
- number of statDTXs (regDTX is implicitly known to the SS)

The ratio (NACK + statDTX)/(NACK+ statDTX + ACK) is the Error Ratio (ER). Taking into account the time consumed by the ACK, NACK, and DTX-TTIs (regular and statistical), ER can be mapped unambiguously to throughput for any single reference measurement channel test.

## H.2.3 Design of the test

The test is defined by the following design principles (see clause H.x, Theory....):

- 1. The early decision concept is applied.
- 2. A second limit is introduced: Bad DUT factor M>1
- 3. To decide the test pass:

Supplier risk is applied based on the Bad DUT quality

To decide the test fail

Customer Risk is applied based on the specified DUT quality

The test is defined by the following parameters:

- 1. Limit ER = 0.05 (Throughput limit = 95%)
- 2. Bad DUT factor M=1.5 (selectivity)
- 3. Confidence level CL = 95% (for specified DUT and Bad DUT-quality)

## H.2.4 Numerical definition of the pass fail limits

Table H.2.4-1: pass fail limits

ne	nsp	ns <sub>f</sub>	ne	nsp	ns <sub>f</sub>	ne	nsp	ns <sub>f</sub>	ne	ns <sub>p</sub>	ns <sub>f</sub>
0	67	NA	39	763	500	78	1366	1148	117	1951	1828
1	95	NA	40	778	516	79	1381	1166	118	1965	1845
2	119	NA	41	794	532	80	1396	1183	119	1980	1863
3	141	NA	42	810	548	81	1412	1200	120	1995	1881
4	162	NA	43	826	564	82	1427	1217	121	2010	1899
5	183	NA	44	842	580	83	1442	1234	122	2025	1916
6	202	NA	45	858	596	84	1457	1252	123	2039	1934
7	222	NA	46	873	612	85	1472	1269	124	2054	1952
8	241	NA	47	889	629	86	1487	1286	125	2069	1969
9	259	NA	48	905	645	87	1502	1303	126	2084	1987
10	278	76	49	920	661	88	1517	1321	127	2099	2005
11	296	88	50	936	678	89	1532	1338	128	2113	2023
12	314	100	51	952	694	90	1547	1355	129	2128	2040
13	332	113	52	967	711	91	1562	1373	130	2143	2058
14	349	126	53	983	727	92	1577	1390	131	2158	2076
15	367	140	54	998	744	93	1592	1407	132	2172	2094
16	384	153	55	1014	760	94	1607	1425	133	2187	2111
17	401	167	56	1029	777	95	1623	1442	134	2202	2129
18	418	181	57	1045	793	96	1637	1459	135	2217	2147
19	435	195	58	1060	810	97	1652	1477	136	2231	2165
20	452	209	59	1076	827	98	1667	1494	137	2246	2183
21	469	224	60	1091	844	99	1682	1512	138	2261	2201
22	486	238	61	1106	860	100	1697	1529	139	2275	2218
23	503	253	62	1122	877	101	1712	1547	140	2290	2236
24	519	268	63	1137	894	102	1727	1564	141	2305	2254
25	536	283	64	1153	911	103	1742	1582	142	2320	2272
26	552	298	65	1168	928	104	1757	1599	143	2334	2290
27	569	313	66	1183	944	105	1772	1617	144	2349	2308
28	585	328	67	1199	961	106	1787	1634	145	2364	2326
29	602	343	68	1214	978	107	1802	1652	146	2378	2344
30	618	359	69	1229	995	108	1817	1669	147	2393	2361
31	634	374	70	1244	1012	109	1832	1687	148	2408	2379
32	650	389	71	1260	1029	110	1847	1704	149	2422	2397
33	667	405	72	1275	1046	111	1861	1722	150	2437	2415
34	683	421	73	1290	1063	112	1876	1740	151	2452	2433
35	699	436	74	1305	1080	113	1891	1757	152	2466	2451
36	715	452	75	1321	1097	114	1906	1775	153*)	NA	2469
37	731	468	76	1336	1114	115	1921	1793			
38	747	484	77	1351	1131	116	1936	1810	*) no	te 2 in F	1.2.5

NOTE 1: The first column is the number of errors (ne = number of NACK + statDTX)

NOTE 2: The second column is the number of samples for the pass limit (nsp, ns=Number of Samples= number of NACK + statDTX + ACK)

NOTE 3: The third column is the number of samples for the fail limit (ns<sub>f</sub>)

#### H.2.5 Pass fail decision rules

The pass fail decision rules apply for a single test, comprising one component in the test vector. The over all Pass /Fail conditions are defined in clause H.2.6and H.2A.6

Having observed 0 errors, pass the test at 67+ samples, otherwise continue

Having observed 1 error, pass the test at 95+ otherwise continue

Having observed 2 errors, pass the test at 119+ samples, otherwise continue

Etc. etc.

Having observed 151 errors, pass the test at 2452+ samples, fail the test at 2433- samples, otherwise continue

Having observed 152 errors, pass the test at 2466+ samples, fail the test at 2451- samples.

Where x+ means: x or more, x- means x or less

NOTE 1: an ideal DUT passes after 67 samples. The maximum test time is 2466 samples.

NOTE 2: It is allowed to deviate from the early decision concept by postponing the decision (pass/fail or continue). Postponing the decision to or beyond the end of Table H.2.4-1 requires a pass fail decision against the test limit: pass the DUT for ER<0.0618, otherwise fail.

# Annex I: Change history

						Change history	
Date	Meeting	TDoc	CR	R ev	Cat	Subject/Comment	New versio n
2017-08	RAN5#76	R5-175705	-	Ŀ		Draft skeleton	0.0.1
2018-01	RAN5#1- 5G-NR Adhoc	R5-180068 R5-180069 R5-180070 R5-180071 R5-180072 R5-180073 R5-180075 R5-180077 R5-180078 R5-180079	-	-	-	Implementation of pCRs to TS 38.521-1 V0.1.0	0.1.0
2018-01	RAN5#78	R5-181506 R5-181507 R5-181670 R5-181671 R5-181672 R5-181676 R5-181677 R5-181679 R5-181685 R5-181686 R5-181699 R5-181699 R5-181700	-	-	-	Implementation of pCRs to TS 38.521-1 V0.2.0	0.2.0
2018-03	RAN5#2- 5G-NR Adhoc	R5-181759	-	-	-	Update TS 38.521-1 to align with new structure of TS 38.101-1 based on endorsed CR R4-1802403	0.3.0
2018-04	RAN5#2- 5G-NR Adhoc	R5-81976	-	-	-	3GU mismatch	0.3.1
2018-04	RAN5#2- 5G-NR Adhoc	R5-181771 R5-181833 R5-181842 R5-182000 R5-182002 R5-182003 R5-182004 R5-182005 R5-182020 R5-182021 R5-182026	-	-	_	Implementation of pCRs to TS 38.521-1 V0.4.0 Add clause 4.4 Test point analysis	0.4.0
2018-07	RAN5#79	R5-182768 R5-182973 R5-183702 R5-183703 R5-183704 R5-183705 R5-183906 R5-183923 R5-183953 R5-183954 R5-183955 R5-183956 R5-183957 R5-183958 R5-183959 R5-183960	-		-	Implementation of pCRs to TS 38.521-1 V0.5.0	0.5.0
2018-07	RAN5#79	R5-183960 R5-183279	-	-	-	Corrected Table numbering issues in subclause 6.5.2.4.1.4.2 Test procedure to capture R5-183960 changes into draft TS 38.521-1 v0.5.1	0.5.1
2018-07	RAN5#79	R5-182363	-	-	-	withdrawn	1.0.0

0040 00							
2018-08	RAN5#80	R5-185321	-	-	-	Implementation of pCRs to TS 38.521-1 V1.0.1	1.0.1
		R5-184298					
		R5-185305					
		R5-185322					
		R5-185323					
		R5-185495					
		R5-185444					
		R5-185565					
		R5-185445					
		R5-185524					
		R5-184572					
		R5-185390					
		R5-184574					
		R5-185521					
		R5-185408					
		R5-184822					
		R5-185446					
		R5-185324					
		R5-185447					
		R5-185411					
		R5-185413					
		R5-185496					
		R5-185414					
		R5-185415					
		R5-185325					
		R5-185500					
		R5-185501					
		R5-185312					
		R5-185326					
		R5-185315					
		R5-185317					
		R5-185327					
		R5-185320					
2018-09	RAN#81	-	-	-	-	raised to v15.0.0 with editorial changes only	15.0.0
2018-12	RAN#82	R5-186604	0072	-	F	5G_FR1 Text update for 7.3 Reference sensitivity	15.1.0
2018-12	RAN#82	R5-186605	0073	-	F	5R_FR1 Text Update for 6.5.3.1_General spurious emissions	15.1.0
2018-12	RAN#82	R5-186606	0074	-	F	5R FR1 Text Update for 6.5.3.2 Spurious emission for UE co-	15.1.0
2010 12	11/11/11/02	100000	0014		l'	existence	13.1.0
2018-12	D 4 N 1400	R5-186670	0078		F		15.1.0
2010-12	RAN#82	K3-1000/U	0076	-	Г	Updating test case 6.2.3 UE additional maximum output power	15.1.0
						reduction	
2018-12	RAN#82	R5-186671	0079	-	F	Updating test case 6.5.2.3 Additional spectrum emission mask	15.1.0
2018-12	RAN#82	R5-186680	0800	-	F	Update of test case 6.5.2.4.2, UTRA ACLR in 38.521-1	15.1.0
2018-12	RAN#82	R5-186736	0084	-	F	Update of FR1 Transmit OFF power	15.1.0
2018-12	RAN#82	R5-186774	0088	1_	F	Addition of 6.3D.1 Minimum output power for UL-MIMO	15.1.0
2018-12	RAN#82	R5-186776	0089	<u> </u>	F	Addition of 6.3D.2 Transmit OFF power for UL-MIMO	15.1.0
				Ε-			
2018-12	RAN#82	R5-186781	0090	-	F	Addition of 6.3D.3 Transmit ON/OFF time mask for UL-MIMO	15.1.0
	RAN#82	R5-186901	0091	-	F	Update SEM requirements to TS 38.101-1 v15.3.0	15.1.0
2018-12	RAN#82	R5-186902	0092	-	F	Update ACS and inband blocking test cases in TS 38.521-1	15.1.0
2018-12	RAN#82	R5-187034	0107	-	F	Adding edge allocation into common uplink configuration in 6.1	15.1.0
2018-12	RAN#82	R5-187038	0400		_		
2018-12			10109	l -	11-	IUpdate test points for multiple FR1 test cases	
201012	IRAN#82		0109	-	F	Update test points for multiple FR1 test cases  Updated to Appexes for FR1 tests	15.1.0
2019 12	RAN#82	R5-187149	0111	-	F	Updated to Annexes for FR1 tests	15.1.0 15.1.0
2018-12	RAN#82	R5-187149 R5-187150	0111 0112	-	F F	Updated to Annexes for FR1 tests General clauses updated for TS38.521-1	15.1.0 15.1.0 15.1.0
2018-12	RAN#82 RAN#82	R5-187149 R5-187150 R5-187376	0111 0112 0120	- - -	F F	Updated to Annexes for FR1 tests General clauses updated for TS38.521-1 Update of 6.2.1 MOP	15.1.0 15.1.0 15.1.0 15.1.0
2018-12 2018-12	RAN#82 RAN#82 RAN#82	R5-187149 R5-187150 R5-187376 R5-187378	0111 0112 0120 0122	- - - -	F F F	Updated to Annexes for FR1 tests General clauses updated for TS38.521-1 Update of 6.2.1 MOP Update of 6.3.1 Minimum Output Power	15.1.0 15.1.0 15.1.0 15.1.0 15.1.0
2018-12	RAN#82 RAN#82	R5-187149 R5-187150 R5-187376	0111 0112 0120		F F	Updated to Annexes for FR1 tests General clauses updated for TS38.521-1 Update of 6.2.1 MOP	15.1.0 15.1.0 15.1.0 15.1.0
2018-12 2018-12	RAN#82 RAN#82 RAN#82 RAN#82	R5-187149 R5-187150 R5-187376 R5-187378	0111 0112 0120 0122	- - - - -	F F F	Updated to Annexes for FR1 tests General clauses updated for TS38.521-1 Update of 6.2.1 MOP Update of 6.3.1 Minimum Output Power Update of 6.3.3.2 General ON/OFF time mask	15.1.0 15.1.0 15.1.0 15.1.0 15.1.0
2018-12 2018-12 2018-12 2018-12	RAN#82 RAN#82 RAN#82 RAN#82 RAN#82	R5-187149 R5-187150 R5-187376 R5-187378 R5-187379 R5-187380	0111 0112 0120 0122 0123 0124	- - - - -	F F F F	Updated to Annexes for FR1 tests General clauses updated for TS38.521-1 Update of 6.2.1 MOP Update of 6.3.1 Minimum Output Power Update of 6.3.3.2 General ON/OFF time mask Addition of 6.2D.1 MOP for MIMO	15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0
2018-12 2018-12 2018-12 2018-12 2018-12	RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82	R5-187149 R5-187150 R5-187376 R5-187378 R5-187379 R5-187380 R5-187381	0111 0112 0120 0122 0123 0124 0125	- - - - - -	F F F F F	Updated to Annexes for FR1 tests General clauses updated for TS38.521-1 Update of 6.2.1 MOP Update of 6.3.1 Minimum Output Power Update of 6.3.3.2 General ON/OFF time mask Addition of 6.2D.1 MOP for MIMO Addition of 6.2D.2 MPR for MIMO	15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0
2018-12 2018-12 2018-12 2018-12 2018-12 2018-12	RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82	R5-187149 R5-187150 R5-187376 R5-187378 R5-187379 R5-187380 R5-187381 R5-187382	0111 0112 0120 0122 0123 0124 0125 0126	- - - - - -	F F F F F	Updated to Annexes for FR1 tests General clauses updated for TS38.521-1 Update of 6.2.1 MOP Update of 6.3.1 Minimum Output Power Update of 6.3.3.2 General ON/OFF time mask Addition of 6.2D.1 MOP for MIMO Addition of 6.2D.2 MPR for MIMO Addition of 6.2D.4 Configured Output Power for MIMO	15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0
2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12	RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82	R5-187149 R5-187150 R5-187376 R5-187378 R5-187379 R5-187380 R5-187381 R5-187382 R5-187383	0111 0112 0120 0122 0123 0124 0125 0126 0127	- - - - - - -	F F F F F F	Updated to Annexes for FR1 tests  General clauses updated for TS38.521-1  Update of 6.2.1 MOP  Update of 6.3.1 Minimum Output Power  Update of 6.3.3.2 General ON/OFF time mask  Addition of 6.2D.1 MOP for MIMO  Addition of 6.2D.2 MPR for MIMO  Addition of 6.2D.4 Configured Output Power for MIMO  Addition of 6.4D.1 Frequency error for MIMO	15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0
2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12	RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82	R5-187149 R5-187150 R5-187376 R5-187378 R5-187379 R5-187380 R5-187381 R5-187382 R5-187383 R5-187384	0111 0112 0120 0122 0123 0124 0125 0126 0127 0128	- - - - - - -	F F F F F F F	Updated to Annexes for FR1 tests  General clauses updated for TS38.521-1  Update of 6.2.1 MOP  Update of 6.3.1 Minimum Output Power  Update of 6.3.3.2 General ON/OFF time mask  Addition of 6.2D.1 MOP for MIMO  Addition of 6.2D.2 MPR for MIMO  Addition of 6.2D.4 Configured Output Power for MIMO  Addition of 6.4D.1 Frequency error for MIMO  Addition of 6.4D.2.1 EVM for MIMO	15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0
2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12	RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82	R5-187149 R5-187150 R5-187376 R5-187378 R5-187379 R5-187380 R5-187381 R5-187382 R5-187383 R5-187384 R5-187385	0111 0112 0120 0122 0123 0124 0125 0126 0127 0128 0129	- - - - - - - -	F F F F F F F	Updated to Annexes for FR1 tests  General clauses updated for TS38.521-1  Update of 6.2.1 MOP  Update of 6.3.1 Minimum Output Power  Update of 6.3.3.2 General ON/OFF time mask  Addition of 6.2D.1 MOP for MIMO  Addition of 6.2D.2 MPR for MIMO  Addition of 6.2D.4 Configured Output Power for MIMO  Addition of 6.4D.1 Frequency error for MIMO  Addition of 6.4D.2.1 EVM for MIMO  Addition of 6.4D.2.2 Carrier Leakage for MIMO	15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0
2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12	RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82	R5-187149 R5-187150 R5-187376 R5-187378 R5-187379 R5-187380 R5-187381 R5-187382 R5-187383 R5-187384	0111 0112 0120 0122 0123 0124 0125 0126 0127 0128	- - - - - - - - -	F F F F F F F	Updated to Annexes for FR1 tests  General clauses updated for TS38.521-1  Update of 6.2.1 MOP  Update of 6.3.1 Minimum Output Power  Update of 6.3.3.2 General ON/OFF time mask  Addition of 6.2D.1 MOP for MIMO  Addition of 6.2D.2 MPR for MIMO  Addition of 6.2D.4 Configured Output Power for MIMO  Addition of 6.4D.1 Frequency error for MIMO  Addition of 6.4D.2.1 EVM for MIMO	15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0
2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12	RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82	R5-187149 R5-187150 R5-187376 R5-187378 R5-187379 R5-187380 R5-187381 R5-187382 R5-187383 R5-187384 R5-187385 R5-187386	0111 0112 0120 0122 0123 0124 0125 0126 0127 0128 0129	- - - - - - - - - - - - - - - - - - -	F F F F F F F	Updated to Annexes for FR1 tests  General clauses updated for TS38.521-1  Update of 6.2.1 MOP  Update of 6.3.1 Minimum Output Power  Update of 6.3.3.2 General ON/OFF time mask  Addition of 6.2D.1 MOP for MIMO  Addition of 6.2D.2 MPR for MIMO  Addition of 6.2D.4 Configured Output Power for MIMO  Addition of 6.4D.1 Frequency error for MIMO  Addition of 6.4D.2.1 EVM for MIMO  Addition of 6.4D.2.2 Carrier Leakage for MIMO  Addition of 6.4D.2.3 In-band emissions for MIMO	15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0
2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12	RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82	R5-187149 R5-187150 R5-187376 R5-187378 R5-187379 R5-187380 R5-187381 R5-187382 R5-187383 R5-187384 R5-187385 R5-187386 R5-187387	0111 0112 0120 0122 0123 0124 0125 0126 0127 0128 0129 0130	- - - - - - - - - - - - - - - - - - -	F F F F F F F F F	Updated to Annexes for FR1 tests  General clauses updated for TS38.521-1  Update of 6.2.1 MOP  Update of 6.3.1 Minimum Output Power  Update of 6.3.3.2 General ON/OFF time mask  Addition of 6.2D.1 MOP for MIMO  Addition of 6.2D.2 MPR for MIMO  Addition of 6.2D.4 Configured Output Power for MIMO  Addition of 6.4D.1 Frequency error for MIMO  Addition of 6.4D.2.1 EVM for MIMO  Addition of 6.4D.2.2 Carrier Leakage for MIMO  Addition of 6.4D.2.3 In-band emissions for MIMO  Addition of 6.4D.2.4 EVM equalizer spectrum flatness for MIMO	15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0
2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12 2018-12	RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82 RAN#82	R5-187149 R5-187150 R5-187376 R5-187378 R5-187379 R5-187380 R5-187381 R5-187382 R5-187383 R5-187384 R5-187385 R5-187386 R5-187387 R5-187387	0111 0112 0120 0122 0123 0124 0125 0126 0127 0128 0129 0130 0131	- - - - - - - - - - - - - - - - - - -	F F F F F F F F F F	Updated to Annexes for FR1 tests  General clauses updated for TS38.521-1  Update of 6.2.1 MOP  Update of 6.3.1 Minimum Output Power  Update of 6.3.3.2 General ON/OFF time mask  Addition of 6.2D.1 MOP for MIMO  Addition of 6.2D.2 MPR for MIMO  Addition of 6.2D.4 Configured Output Power for MIMO  Addition of 6.4D.1 Frequency error for MIMO  Addition of 6.4D.2.1 EVM for MIMO  Addition of 6.4D.2.2 Carrier Leakage for MIMO  Addition of 6.4D.2.3 In-band emissions for MIMO  Addition of 6.4D.2.4 EVM equalizer spectrum flatness for MIMO  Update of test case 6.2.3 UE A-MPR, general	15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0 15.1.0
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2018-12	RAN#82	R5-187431	0146	-	F	Introduction of TC 7.4D Maximum input level for UL-MIMO	15.1.0
2018-12	RAN#82	R5-187432	0147	-	F	Updation of 6.2C.1 Configured transmitted power for SUL	15.1.0
2018-12	RAN#82	R5-187433	0148	1-	F	Introduction of TC 6.5C.1 Occupied bandwidth for SUL	15.1.0
2018-12	RAN#82	R5-187434	0149	_	F	Introduction of TC 6.5C.2.2 Spectrum Emission Mask for SUL	15.1.0
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2018-12	RAN#82	R5-187435	0150	-	F	Introduction of TC 6.5C.2.3 Additional Spectrum Emission Mask for	15.1.0
						SUL	
2018-12	RAN#82	R5-187436	0151	-	F	Introduction of TC 6.5C.2.4.1 NR ACLR for SUL	15.1.0
2018-12	RAN#82	R5-187437	0152	1_	F	Introduction of TC 6.5C.2.4.2 UTRA ACLR for SUL	15.1.0
				1			
2018-12	RAN#82	R5-187438	0153	-	F	Introduction of TC 6.5C.3.2 General spurious emissions for SUL	15.1.0
2018-12	RAN#82	R5-187439	0154	-	F	Introduction of TC 6.5C.3.3 Spurious Emission for UE co-existence	15.1.0
						for SUL	
2018-12	RAN#82	R5-187440	0155	-	F	Introduction of TC 6.5C.3.4 Additional Spurious Emission for SUL	15.1.0
2018-12	RAN#82	R5-187455	0158	1	F	Updating test case 6.3.4.2 Absolute Power Tolerance	15.1.0
				₽-			
2018-12	RAN#82	R5-187456	0159	<u> -</u>	F	Updating test case 6.3.4.4 Aggregate Power Tolerance	15.1.0
2018-12	RAN#82	R5-187560	0162	-	F	Update to Table 5.3.5-1 in TS 38.521-1	15.1.0
2018-12	RAN#82	R5-187585	0164	-	F	Update of transmit signal quality test cases in 38.521-1	15.1.0
2018-12	RAN#82	R5-187615	0167	1_	F	Introduction of TC 6.5D.3.1 General spurious emissions for UL	15.1.0
2010-12	10/111//02	107010	0107		1'	·	13.1.0
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2018-12	RAN#82	R5-187616	0168	-	F	Introduction of TC 6.5D.3.2 Spurious Emission for UE co-existence	15.1.0
						for UL MIMO	
2018-12	RAN#82	R5-187617	0169	-	F	Introduction of TC 6.5D.3.3 Additional Spurious Emission for UL	15.1.0
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2018-12	RAN#82	R5-187618	0170	<del>1.</del>	F	Updation of Uplink channel for SUL in Annex G	15.1.0
				1-			
2018-12	RAN#82	R5-187804	0069	1	F	Editorial_Cleaning up for description of test requirement in clause 6	15.1.0
2018-12	RAN#82	R5-187805	0063	1	F	Introduction of TC 7.7D Spurious response for UL-MIMO	15.1.0
2018-12	RAN#82	R5-187807	0113	1	F	Introduction of receiver spurious emission tests for FR1 SA	15.1.0
2018-12	RAN#82	R5-187810	0114	1	F	Introduction of wideband intermodulation tests for FR1 SA	15.1.0
2018-12	RAN#82	R5-187811	0145	1	F	Introduction of TC 7.3D Reference sensitivity for UL-MIMO	15.1.0
2018-12	RAN#82	R5-187812	0085	1	F	Update of operating bands and channel arrangement to TS 38.521-1	15.1.0
2018-12	RAN#82	R5-187888	0121	1	F	Update of 6.2.4 Configured Output Power	15.1.0
2018-12	RAN#82	R5-187890	0156	1	F	Introduction of TC 6.5C.4 Transmit intermodulation for SUL	15.1.0
2018-12		R5-187892	0108	1	F		15.1.0
2016-12	RAN#82	K5-10/092	0100	'	-	Removing the Editor's notes of SA messages and procedures for all	15.1.0
						FR1 test cases	
2018-12	RAN#82	R5-187893	0083	1	F	Update of FR1 6.2.2 MPR	15.1.0
2018-12	RAN#82	R5-187894	0086	1	F	Addition of Time alignment error for UL-MIMO to TS38.521-1	15.1.0
2018-12	RAN#82	R5-187895	0115	1	F	Introduction of New FR1 test case 6.3.3.6 SRS time mask	15.1.0
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2018-12	RAN#82	R5-187896	0116	1		5G_FR1 Text update for 6.5.3.3 Additional Spurious emission	15.1.0
2018-12	RAN#82	R5-187897	0161	1	F	Update of test case 6.3.4.3, Power Control Relative power tolerance	15.1.0
						in 38.521-1	
2018-12	RAN#82	R5-187898	0165	1	F	Addition of EVM equalizer spectral flatness test case 6.4.2.5 to TS	15.1.0
				-	-	38.521-1	
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2018-12	RAN#82	R5-187899	0099	_		Introduction of test case for Frequency error for CA	
2018-12	RAN#82	R5-187900	0100	1	F	Introduction of test cases for Transmit modulation quality for CA	15.1.0
2018-12	RAN#82	R5-187901	0101	1	F	Introduction of test case for Spectrum emission mask for Inter-band	15.1.0
						CA	
2018-12	RAN#82	R5-187902	0102	1	F	Introduction of test case for NR ACLR for Inter-band CA	15.1.0
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2018-12	RAN#82	R5-187903	0103	1	F	Introduction of test case for UTRA ACLR for Inter-band CA	15.1.0
2018-12	RAN#82	R5-187904	0104	1	F	Introduction of test case for General spurious emissions for Inter-	15.1.0
	<u></u>	<u>                                      </u>	<u> </u>	1	L	band CA	<u> </u>
2018-12	RAN#82	R5-187905	0105	1	F	Introduction of test case for Spurious emission for UE co-existence	15.1.0
			1	1		for CA	
2018-12	RAN#82	R5-187906	0106	1	F	Introduction of test case for Transmit intermodulation for Inter-band	15.1.0
2010-12	KAIN#62	K3-10/900	0100	'	-		15.1.0
					<u> </u>	CA	
2018-12	RAN#82	R5-187911	0118	1	F	Addition of notes to clarify test point selection into general section of	15.1.0
						TS 38.521-1	
2018-12	RAN#82	R5-187914	0163	1	F	Update of Global In-channel Tx Test Annex in 38.521-1	15.1.0
2018-12	RAN#82	R5-187915	0082	1	F.	Introduction of FR1 7.4 Maximum input level	15.1.0
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2018-12	RAN#82	R5-188032	0075	1	F	Addition of 6.3D.4.1 Absolute Power tolerance for UL-MIMO	15.1.0
2018-12	RAN#82	R5-188033	0076	1	F	Addition of 6.3D.4.2 Relative Power Tolerance for UL-MIMO	15.1.0
2018-12	RAN#82	R5-188034	0077	1	F	Addition of 6.3D.4.3 Aggregate Power tolerance for UL-MIMO	15.1.0
2018-12	RAN#82	R5-188035	0110	1	F	Update to FR1 test case 6.3.3.4 PRACH time mask	15.1.0
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2018-12	RAN#82	R5-188206	0117	1	F	Introduction of New FR1 test case 6.3.3.7 PUSCH-PUCCH and	15.1.0
						PUSCH-SRS time masks	
2018-12	RAN#82	R5-188207	0071	1	F	5G_FR1 Text update for 7.3A Reference sensitivity for CA	15.1.0
2018-12	RAN#82	R5-188208	0067	2	F	Updates of MU in TS 38.521-1 Annex F during RAN5#81	15.1.0
2018-12	RAN#82	R5-188209	0068	2	F	Updates of TT in TS 38.521-1 Annex F during RAN5#81	15.1.0
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2018-12	RAN#82	R5-188210	0097	1	F	TDD configuration for UE Tx test in FR1	15.1.0
2018-12	RAN#82	R5-188211	0119	1	F	Core alignment CR to capture TS 38.101-1 updates during	15.1.0
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# History

Document history								
V15.0.0	October 2018	Publication						
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