



**Fixed Radio Systems;  
Characteristics and requirements for  
point-to-point equipment and antennas;  
Part 1: Overview, common characteristics and  
requirements not related to access to radio spectrum**

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**Reference**

REN/ATTM-0457

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# Contents

Intellectual Property Rights .....	6
Foreword.....	6
Modal verbs terminology.....	7
Introduction .....	7
1 Scope .....	8
2 References .....	8
2.1 Normative references .....	8
2.2 Informative references.....	10
3 Definition of terms, symbols and abbreviations.....	13
3.1 Terms.....	13
3.2 Symbols.....	19
3.3 Abbreviations .....	20
4 Structure and applicability of the ETSI EN 302 217 series.....	22
4.1 Generality .....	22
4.2 Summary of system options provided .....	23
4.3 User's guide .....	27
5 General characteristics .....	29
5.1 Frequency bands and channel arrangements .....	29
5.2 Special compatibility requirements between systems .....	29
5.3 Transmission capacity and spectral efficiency .....	29
5.4 Performance and availability requirements .....	29
5.5 Environmental profiles .....	30
5.5.0 Introduction.....	30
5.5.1 Environmental profile under Directive 2014/53/EU.....	30
5.5.2 ETSI standardized environmental profiles.....	30
5.5.2.0 Generality.....	30
5.5.2.1 Equipment intended for telecommunications applications installed in weather-protected locations (indoor locations) .....	31
5.5.2.2 Equipment intended for telecommunications applications installed in not-weather-protected locations (outdoor locations).....	31
5.5.3 Test environment profiles .....	31
5.6 Power supply .....	31
5.6.0 Introduction.....	31
5.6.1 Power supply profile under Directive 2014/53/EU.....	31
5.6.2 ETSI power supply profile.....	31
5.7 System block diagram .....	31
6 Baseband interfaces and parameters.....	32
6.0 Introduction .....	32
6.1 Ethernet interfaces.....	32
6.2 Plesiochronous interfaces .....	32
6.3 Synchronous digital hierarchy interfaces .....	33
6.4 Other baseband data interfaces.....	33
7 Main requirements.....	33
7.0 Introduction .....	33
7.1 General requirements .....	33
7.1.1 System identification .....	33
7.1.2 System nominal loading.....	34
7.1.3 Environmental profile .....	34
7.2 Transmitter characteristics.....	34
7.2.1 Transmitter power and power tolerance.....	34
7.2.1.1 Transmitter maximum power and EIRP.....	34
7.2.1.2 Transmitter combined output power and EIRP limits.....	34
7.2.1.3 Transmitter output power environmental variation .....	34
7.2.2 Transmitter power and frequency control.....	35

7.2.2.1	Transmitter Power and Frequency Control (ATPC, RTPC and RFC) .....	35
7.2.2.1.1	Automatic Transmit Power Control (ATPC).....	35
7.2.2.1.2	Remote Transmit Power Control (RTPC) .....	36
7.2.2.1.3	Transmitter Remote Frequency Control (RFC) .....	36
7.2.3	Transmitter Radio Frequency spectrum mask.....	36
7.2.4	Transmitter discrete CW components exceeding the spectrum mask limit .....	36
7.2.4.1	Transmitter discrete CW components at the symbol rate .....	36
7.2.4.2	Transmitter other discrete CW components exceeding the spectrum mask limit.....	36
7.2.5	Transmitter unwanted emissions in the spurious domain .....	36
7.2.6	Transmitter dynamic change of modulation order .....	36
7.2.7	Transmitter radio frequency tolerance .....	36
7.2.8	Transmitter emission limitations outside the allocated band .....	37
7.3	Receiver characteristics .....	37
7.3.1	Unwanted emissions in the spurious domain .....	37
7.3.2	Receiver BER as a function of Receiver Signal Level (RSL).....	37
7.3.3	Receiver selectivity.....	37
7.3.3.1	Introduction.....	37
7.3.3.2	Receiver co-channel, first and second adjacent channel interference sensitivity .....	37
7.3.3.3	Blocking (CW spurious interference sensitivity) .....	37
7.4	Antenna directional characteristics.....	37
8	Complementary requirements .....	38
8.0	Introduction .....	38
8.1	Branching/feeder requirements .....	38
8.1.1	Waveguide flanges (or other connectors) .....	38
8.1.2	Return loss of feeder/antenna systems at equipment antenna port (C/C' reference point) .....	39
8.2	Intermodulation products .....	40
8.3	Transmitter characteristics.....	40
8.3.1	Unwanted emissions - internal.....	40
8.3.2	Transmitter Radio Frequency (RF) spectrum mask when mixed manufacturer compatibility under same antenna is required.....	40
8.4	Receiver characteristics .....	41
8.4.1	Receiver maximum input level and input level range.....	41
8.4.2	Unwanted emissions - internal.....	42
8.4.3	Image rejection .....	42
8.4.4	Innermost channel selectivity .....	43
8.5	System performance without diversity .....	43
8.5.1	Equipment Residual BER (RBER) .....	43
8.5.2	Multipath distortion sensitivity .....	45
8.5.2.1	Introduction.....	45
8.5.2.2	Requirement .....	45
8.5.2.3	Assessment.....	47
8.5.3	Interference sensitivity for CCDP with XPIC operation.....	47
8.5.3.1	General .....	47
8.5.3.2	Co-channel "internal" interference sensitivity in flat fading conditions.....	47
8.6	System characteristics with diversity .....	48
8.6.0	Introduction.....	48
8.6.1	Differential delay compensation .....	48
8.6.2	BER performance .....	48
<b>Annex A (normative):</b>	<b>Transmitter Radio Frequency spectrum masks and receiver selectivity when mixed manufacturer compatibility is required .....</b>	<b>49</b>
A.0	Introduction .....	49
A.1	Transmitter Radio Frequency masks assessment .....	50
A.2	Normal channels - Emission mask floor for local TX to RX compatibility.....	50
A.2.1	Spectrum mask .....	50
A.2.2	Receiver selectivity .....	50
A.3	Innermost channels for channel arrangements from about 4 GHz to about 8,5 GHz with channel separation of 28 MHz to 30 MHz.....	51
A.3.0	Introduction .....	51

A.3.1	Innermost channels spectrum masks .....	51
A.3.2	Receiver innermost channel selectivity .....	53
A.4	Innermost channels for channel arrangements from about 4 GHz to 11 GHz with channel separation of 40 MHz .....	54
A.4.0	Introduction .....	54
A.4.1	Innermost channels spectrum masks .....	54
A.4.2	Receiver innermost channels selectivity .....	55
A.5	Innermost channels for 18 GHz channel arrangements with channel separation of 55 MHz .....	56
A.5.0	Introduction .....	56
A.5.1	Innermost channels spectrum masks .....	56
A.5.2	Receiver innermost channels selectivity .....	57
<b>Annex B (normative):</b>	<b>Definition of equivalent data rates for packet data, PDH/SDH and other signals on the traffic interface.....</b>	<b>59</b>
<b>Annex C (informative):</b>	<b>Information on <i>Multi-channel</i> and <i>Channels-aggregation</i> differences and operation .....</b>	<b>60</b>
C.1	Multi-channel and Channels-aggregation (two channel case).....	60
C.2	Channels-aggregation (more than two channels case) .....	61
<b>Annex D (informative):</b>	<b>Additional information on relevant characteristics and operation .....</b>	<b>64</b>
D.1	Residual Bit Error Ratio (RBER) and Residual Frame Error Ratio (RFER) .....	64
D.2	Measurement test set for XPI characteristics .....	65
D.3	Differential delay compensation range.....	66
D.4	FER/BER equivalence and FER performance measurement equipment settings (example).....	67
D.4.1	FER/BER equivalence.....	67
D.4.2	FER equipment settings and measurement techniques (example).....	68
D.5	Impact of power control (ATPC and/or RTPC), mixed-mode and bandwidth adaptive operation on spectrum mask and link design requirements.....	68
D.5.0	Introduction .....	68
D.5.1	ATPC and RTPC .....	68
D.5.1.1	ATPC .....	68
D.5.1.2	ATPC and RTPC implementation background.....	69
D.5.2	Mixed-mode and bandwidth adaptive operation impact.....	71
D.5.2.1	Mixed-mode basic concepts.....	71
D.5.2.2	Bandwidth adaptive .....	72
D.5.2.2.1	Basic concepts.....	72
D.5.2.2.2	Bandwidth (channel) occupancy .....	72
D.5.3	Impact on frequency co ordination.....	72
D.5.4	Impact of operating conditions on the access to radio spectrum through European Harmonised Standard .....	72
D.6	Typical interference sensitivity behaviour for frequency planning purpose .....	73
D.7	Band and Carrier Aggregation (BCA) operation.....	74
<b>Annex E (informative):</b>	<b>Mechanical characteristics.....</b>	<b>75</b>
<b>Annex F (informative):</b>	<b>Mitigation techniques referred in CEPT/ERC/DEC(00)07 (18 GHz band).....</b>	<b>76</b>
<b>Annex G (informative):</b>	<b>Bibliography.....</b>	<b>77</b>
<b>Annex H (informative):</b>	<b>Change history .....</b>	<b>78</b>
History .....		79

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# Foreword

This draft European Standard (EN) has been produced by ETSI Technical Committee Access, Terminals, Transmission and Multiplexing (ATTM), and is now submitted for the combined Public Enquiry and Vote phase of the ETSI EN Approval Procedure (ENAP).

The present document is part 1 of a multi-part deliverable covering the Fixed Radio Systems; Characteristics and requirements for point-to-point equipment and antennas, as identified below (see note):

**Part 1: "Overview, common characteristics and requirements not related to access to radio spectrum";**

Part 2: "Digital systems operating in frequency bands from 1 GHz to 174,8 GHz; Harmonised Standard for access to radio spectrum";

Part 4: "Antennas".

NOTE: In previous regulatory regime under Directive 1999/5/EC more parts (harmonised and non-harmonised standards) were published. Since Directive 2014/53/EU [i.1] repealed Directive 1999/5/EC the following parts have been replaced while the content has been moved to other parts of the series.

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(\*) Part 2-2- was also published in the OJEU under Directive 2014/53/EU [i.1], presumption of conformity ceased on 31-12-2018;

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## Introduction

The ETSI EN 302 217 series replaced and superseded a number of older standards (frequency and/or capacity oriented), which remained, only as "historical" documents, in the ETSI data base. Provided that they may still be referenced in some documentation, the version 2.1.1 of the present document [i.41] provides their list.

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# 1 Scope

The present document applies to Digital Fixed Radio Systems (DFRS) in point-to-point operation with integral and external antennas in the frequency range of 1 GHz to 86 GHz corresponding to the appropriate frequency bands 1,4 GHz to 86 GHz as described in ETSI EN 302 217-2 [16], annex B to annex L.

The present document summarizes:

- all characteristics, principles and, of utmost importance, terms and definitions that are common to all P-P equipment and antennas and its consultation is necessary when using all other parts of ETSI EN 302 217 series;
- all system-dependent requirements for Point-to-Point (P-P) equipment. These requirements are introduced in two different clauses sub-sets:
  - **Main requirements** are requirements that are also related to the "essential requirements" under article 3.2 of Directive 2014/53/EU [i.1] and further detailed in the Harmonised Standard ETSI EN 302 217-2 [16].
  - **Complementary requirements** are requirements that are not related to essential requirements under article 3.2 of Directive 2014/53/EU [i.1]. Nevertheless they have been commonly agreed for proper system operation and deployment when specific deployment conditions or compatibility requirements are present. Compliance to all or some of these requirements is left to manufacturer decision.

Health and safety requirements and EMC conditions and requirements (articles 3.1a and 3.1b of Directive 2014/53/EU [i.1]), as well as other special conditions and requirements (articles 3.3(a) to 3.3(i) of Directive 2014/53/EU [i.1]) are not considered in the ETSI EN 302 217 series.

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## 2 References

### 2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

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The following referenced documents are necessary for the application of the present document.

- [1] [CEPT/ERC/DEC\(00\)07](#): "The shared use of the band 17.7 - 19.7 GHz by the fixed service and Earth stations of the fixed-satellite service (space-to-Earth)". ERC Decision, approved 19 October 2000, amended 04 March 2016.
- [2] [ETSI EN 300 019-1-0](#): "Environmental Engineering (EE); Environmental conditions and environmental tests for telecommunications equipment; Part 1-0: Classification of environmental conditions; Introduction".
- [3] [ETSI EN 300 019-2-0](#): "Environmental Engineering (EE); Environmental conditions and environmental tests for telecommunications equipment; Part 2: Specification of environmental tests; Sub-part 0: Introduction".
- [4] [ETSI EN 300 019-1-1](#): "Environmental Engineering (EE); Environmental conditions and environmental tests for telecommunications equipment; Part 1-1: Classification of environmental conditions; Storage".



- [5] [ETSI EN 300 019-2-1](#): "Environmental Engineering (EE); Environmental conditions and environmental tests for telecommunications equipment; Part 2-1: Specification of environmental tests; Storage".
- [6] [ETSI EN 300 019-1-2](#): "Environmental Engineering (EE); Environmental conditions and environmental tests for telecommunications equipment; Part 1-2: Classification of environmental conditions; Transportation".
- [7] [ETSI EN 300 019-2-2](#): "Environmental Engineering (EE); Environmental conditions and environmental tests for telecommunications equipment; Part 2-2: Specification of environmental tests; Transportation".
- [8] [ETSI EN 300 019-1-3](#): "Environmental Engineering (EE); Environmental conditions and environmental tests for telecommunications equipment; Part 1-3: Classification of environmental conditions; Stationary use at weather protected locations".
- [9] [ETSI EN 300 019-2-3](#): "Environmental Engineering (EE); Environmental conditions and environmental tests for telecommunications equipment; Part 2-3: Specification of environmental tests; Stationary use at weather protected locations".
- [10] [ETSI EN 300 019-1-4](#): "Environmental Engineering (EE); Environmental conditions and environmental tests for telecommunications equipment; Part 1-4: Classification of environmental conditions; Stationary use at non-weather protected locations".
- [11] [ETSI EN 300 019-2-4](#): "Environmental Engineering (EE); Environmental conditions and environmental tests for telecommunications equipment; Part 2-4: Specification of environmental tests; Stationary use at non-weather protected locations".
- [12] [ETSI EN 300 132-2](#): "Environmental Engineering (EE); Power supply interface at the input of Information and Communication Technology (ICT) equipment; Part 2: -48 V Direct Current (DC)".
- [13] [ETSI EN 300 132-3](#): "Environmental Engineering (EE); Power supply interface at the input of Information and Communication Technology (ICT) equipment; Part 3: Up to 400 V Direct Current (DC)".
- [14] [ETSI EN 301 126-1](#): "Fixed Radio Systems; Conformance testing; Part 1: Point-to-Point equipment - Definitions, general requirements and test procedures".
- [15] [ETSI EN 302 099](#): "Environmental Engineering (EE); Powering of equipment in access network".
- [16] [ETSI EN 302 217-2 \(V3.4.0\)](#): "Fixed Radio Systems; Characteristics and requirements for point-to-point equipment and antennas; Part 2: Digital systems operating in frequency bands from 1 GHz to 174,8 GHz; Harmonised Standard for access to radio spectrum".
- [17] [ETSI EN 302 217-4 \(V2.2.0\)](#): "Fixed Radio Systems; Characteristics and requirements for point-to-point equipment and antennas; Part 4: Antennas".
- [18] [EN 60835-2-4](#): "Methods of measurement for equipment used in digital microwave radio transmission systems - Part 2: Measurements on terrestrial radio-relay systems - Section 4: Transmitter/receiver including modulator/demodulator", (produced by CEN).
- [19] [EN 60835-2-8](#): "Methods of measurement for equipment used in digital microwave radio transmission systems - Part 2: Measurements on terrestrial radio-relay systems - Section 8: Adaptive equalizer", (produced by CEN).
- [20] [ISO/IEC/IEEE 8802.3™-2021](#): "Telecommunications and exchange between information technology systems — Requirements for local and metropolitan area networks — Part 3: Standard for Ethernet".
- [21] Void.
- [22] [Recommendation ITU-R F.1668](#): "Error performance objectives for real digital fixed wireless links used in 27 500 km hypothetical reference paths and connections".

- [23] [Recommendation ITU-R F.1703](#): "Availability objectives for real digital fixed wireless links used in 27 500 km hypothetical reference paths and connections".
- [24] [Recommendation ITU-R F.2113](#): "Error performance and availability objectives and requirements for real point-to-point packet-based radio links".
- [25] [Recommendation ITU-R P.530](#): "Propagation data and prediction methods required for the design of terrestrial line-of-sight systems".
- [26] [Recommendation ITU-T G.703](#): "Physical/electrical characteristics of hierarchical digital interfaces".
- [27] [Recommendation ITU-T G.704](#): "Synchronous frame structures used at 1544, 6312, 2048, 8448 and 44 736 kbit/s hierarchical levels".
- [28] [Recommendation ITU-T G.707](#): "Network node interface for the synchronous digital hierarchy (SDH)".
- [29] [Recommendation ITU-T G.708](#): "Sub STM-0 network node interface for the synchronous digital hierarchy (SDH)".
- [30] [Recommendation ITU-T G.826](#): "End-to-end error performance parameters and objectives for international, constant bit-rate digital paths and connections".
- [31] [Recommendation ITU-T G.828](#): "Error performance parameters and objectives for international, constant bit-rate synchronous digital paths".
- [32] [Recommendation ITU-T G.829](#): "Error performance events for SDH multiplex and regenerator sections".
- [33] [Recommendation ITU-T G.957](#): "Optical interfaces for equipment and systems relating to the synchronous digital hierarchy".
- [34] [Recommendation ITU-T I.356](#): "B-ISDN ATM layer cell transfer performance".
- [35] [Recommendation ITU-T I.357](#): "B-ISDN semi-permanent connection availability".
- [36] [Recommendation ITU-T O.151](#): "Error performance measuring equipment operating at the primary rate and above".
- [37] [Recommendation ITU-T O.181](#): "Equipment to assess error performance on STM-N interfaces".
- [38] [Recommendation ITU-T O.191](#): "Equipment to measure the cell transfer performance of ATM connections".
- [39] [Recommendation ITU-T V.11](#): "Electrical characteristics for balanced double-current interchange circuits operating at data signalling rates up to 10 Mbit/s".
- [40] [Recommendation ITU-T V.24](#): "List of definitions for interchange circuits between data terminal equipment (DTE) and data circuit-terminating equipment (DCE)".
- [41] [Recommendation ITU-T V.28](#): "Electrical characteristics for unbalanced double-current interchange circuits".
- [42] [Recommendation ITU-T Y.1540](#): "Internet protocol data communication service - IP packet transfer and availability performance parameters".

## 2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

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The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] [Directive 2014/53/EU](#) of the European Parliament and of the Council of 16 April 2014 on the harmonisation of the laws of the Member States relating to the making available on the market of radio equipment and repealing Directive 1999/5/EC.
- [i.2] ETSI TR 101 035: "Transmission and Multiplexing (TM); Synchronous Digital Hierarchy (SDH) aspects regarding Digital Radio Relay Systems (DRRS)".
- [i.3] ETSI TR 102 243-1: "Fixed Radio Systems; Representative values for transmitter power and antenna gain to support inter- and intra-compatibility and sharing analysis; Part 1: Digital point-to-point systems".
- [i.4] [CEPT/ERC/REC 12-03](#): "Harmonised radio frequency channel arrangements for digital terrestrial fixed systems operating in the band 17.7 GHz to 19.7 GHz".
- [i.5] [CEPT/ECC/REC\(02\)06](#): "Preferred channel arrangements for digital Fixed Service Systems operating in the frequency range 7125-8500 MHz".
- [i.6] [CEPT/ECC/Report 80](#): "Enhancing harmonisation and introducing flexibility in the spectrum regulatory framework".
- [i.7] [CEPT/ECC/Report 198](#): "Adaptive modulation and ATPC operations in fixed point-to-point systems - Guideline on coordination procedures".
- [i.8] [CEPT/ERC/REC 14-01](#): "Radio-frequency channel arrangements for high capacity analogue and digital radio-relay systems operating in the band 5925 MHz to 6425 MHz".
- [i.9] [CEPT/ERC/REC 14-02](#): "Radio-frequency channel arrangements for high, medium and low capacity digital fixed service systems operating in the band 6425 to 7125 MHz".
- [i.10] Void.
- [i.11] Void.
- [i.12] ETSI GR mWT 015: "Frequency Bands and Carrier Aggregation Systems; Band and Carrier Aggregation".
- [i.13] ETSI EN 300 119 (all parts): "Environmental Engineering (EE); European telecommunication standard for equipment practice".
- [i.14] ETSI TR 101 036-1: "Fixed Radio Systems; Generic wordings for standards on DFRS (Digital Fixed Radio Systems) characteristics; Part 1: General aspects and point-to-point equipment parameters".
- [i.15] ETSI TR 101 506 (V2.1.1): "Fixed Radio Systems; Generic definitions, terminology and applicability of essential requirements covering article 3.2 of Directive 2014/53/EU to Fixed Radio Systems".
- [i.16] ETSI TR 101 854: "Fixed Radio Systems; Point-to-point equipment; Derivation of receiver interference parameters useful for planning fixed service point-to-point systems operating different equipment classes and/or capacities".
- [i.17] ETSI TR 103 103: "Fixed Radio Systems; Point-to-point systems; ATPC, RTPC, Adaptive Modulation (mixed-mode) and Bandwidth Adaptive functionalities; Technical background and impact on deployment, link design and coordination".
- [i.18] EN 122150: "Sectional Specification: Radio frequency coaxial connectors - Series EIA flange", (produced by CEN).
- [i.19] EN 60153-2: "Hollow metallic waveguides. Part 2: Relevant specifications for ordinary rectangular waveguides", (produced by CEN).
- [i.20] EN 60154-2: "Flanges for waveguides. Part 2: Relevant specifications for flanges for ordinary rectangular waveguides", (produced by CEN).

- [i.21] IEC 60169-1: "Radio-frequency connectors. Part 1: General requirements and measuring methods".
- [i.22] IEC 60339 (all parts): "General purpose rigid coaxial transmission lines and their associated flange connectors".
- [i.23] Recommendation ITU-R F.383: "Radio-frequency channel arrangements for high capacity fixed wireless systems operating in the lower 6 GHz (5 925 to 6 425 MHz) band".
- [i.24] Recommendation ITU-R F.384: "Radio -frequency channel arrangements for medium- and high-capacity digital fixed wireless systems operating in the 6 425-7 125 MHz band".
- [i.25] Recommendation ITU-R F.385: "Radio-frequency channel arrangements for fixed wireless systems operating in the 7 110-7 900 MHz band".
- [i.26] Recommendation ITU-R F.595: "Radio-frequency channel arrangements for fixed wireless systems operating in the 17.7-19.7 GHz frequency band".
- [i.27] Recommendation ITU-R F.750: "Architectures and functional aspects of radio-relay systems for synchronous digital hierarchy (SDH)-based network".
- [i.28] Recommendation ITU-R F.752: "Diversity techniques for point-to-point fixed wireless systems".
- [i.29] Recommendation ITU-R F.758: "System parameters and considerations in the development of criteria for sharing or compatibility between digital fixed wireless systems in the fixed service and systems in other services and other sources of interference".
- [i.30] Recommendation ITU-R F.1093: "Effects of multipath propagation on the design and operation of line-of-sight digital fixed wireless systems".
- [i.31] Recommendation ITU-R F.1094: "Maximum allowable error performance and availability degradations to digital fixed wireless systems arising from radio interference from emissions and radiations from other sources".
- [i.32] Recommendation ITU-R F.1101: "Characteristics of digital fixed wireless systems below about 17 GHz".
- [i.33] Recommendation ITU-R F.1102: "Characteristics of fixed wireless systems operating in frequency bands above about 17 GHz".
- [i.34] Recommendation ITU-R F.1191: "Bandwidths and unwanted emissions of digital fixed service systems".
- [i.35] Recommendation ITU-T G.783: "Characteristics of synchronous digital hierarchy (SDH) equipment functional blocks".
- [i.36] Recommendation ITU-T G.784: "Management aspects of the synchronous digital hierarchy (SDH) transport network element".
- [i.37] Recommendation ITU-T I.414: "Overview of Recommendations on layer 1 for ISDN and B-ISDN customer accesses".
- [i.38] ITU Radio Regulations, Edition of 2020.
- [i.39] J. Redd: "[Calculating Statistical Confidence Levels for Error-Probability Estimates](#)". Lightwave Magazine, pp. 110-114, April 2000.
- [i.40] Lundgren, C.W.; Rummeler, W.D.: "[Digital Radio Outage Due to Selective Fading - Observation vs Prediction from Laboratory Simulation](#)". BSTJ 58-5 May-June 1979 pp. 1073-1100.
- [i.41] ETSI EN 302 217-1 (V2.1.1): "Fixed Radio Systems; Characteristics and requirements for point-to-point equipment and antennas; Part 1: Overview and system-independent common characteristics".

NOTE: V2.1.1 is a superseded version of the present document still containing the information referred in the Introduction.

[i.42] [Recommendation ITU-R F.746](#): "Radio-frequency arrangements for fixed service systems".

## 3 Definition of terms, symbols and abbreviations

### 3.1 Terms

For the purposes of the present document and of the other ETSI EN 302 217 series parts (i.e. ETSI EN 302 217-2 [16] and ETSI EN 302 217-4 [17]), the terms given in Directive 2014/53/EU [i.1] and the following apply:

NOTE: In the present document the "terms" defined in this clause, when used elsewhere, are given in "*italic*" font.

**aggregated channel:** one of the channels used in "*channels-aggregation*" equipment

NOTE: There is no relationship with the "aggregation" terminology used in some ITU-R and ECC recommendations on radio frequency channel arrangements; there, the "aggregation" of contiguous channels is used to determine wider channels positions.

**allocated radio frequency band:** Derived from the definition of "allocation (of a frequency band)" (ITU Radio Regulations [i.38], article 1.16): "entry in the Table of Frequency Allocations of a given frequency band for the purpose of its use by one or more terrestrial or space radiocommunication services or the radio astronomy service under specific conditions. This term is also applicable to the frequency band concerned".

NOTE: From the regulatory point of view three different applications might be envisaged and used in the whole allocated band or in its dedicated segments:

- **Frequency band where frequency co-ordination is applied:** in these bands, in the licensing process, regulatory bodies enforce co-ordination rules to ensure that all links work on an "acceptable interference" bases.
- **Frequency band where frequency co-ordination is not applied:** in these bands, irrespective of any licensing process or with no licensing at all, the deployment is freely made by the user on a "first on-first served" bases without any warrantee of "acceptable interference" from the regulatory body.
- **Frequency band where self-coordination is applied:** in these bands an approach similar to the "light licensing", described in CEPT/ECC/Report 80 [i.6], is used. Such regimes do not mean "licence exempt" use, but rather using a simplified set of conventional licensing mechanisms and attributes within the scope decided by the administration. This planning is delegated to the licensee.

**antenna:** part of the transmitting or receiving system that is designed to radiate and/or receive electromagnetic waves

**Automatic Transmit Power Control (ATPC):** function implemented to offer a dynamic power control that delivers maximum power only during deep fading; in this way for most of the time the interference is reduced and the transmitter operates in a higher linearity mode

NOTE: When this function is used, the transmit power is dynamically changed with respect to the propagation conditions. In principle, when ATPC is implemented, three different levels of power may be identified:

- **maximum available power** (delivered, when licensing conditions permits it, only in conditions of deep fading);
- **maximum nominal power** (useable on a permanent basis when ATPC is disabled); it should be noted that this power is "nominal for the equipment" and is not to be confused with the "nominal level set link by link" by the frequency co-ordinating body. This is achieved through the use of the RTPC function or passive RF attenuators;
- **minimum power** (delivered in unfaded conditions).

Maximum nominal and maximum available power levels may be coincident or, in case of multi-state modulation formats (e.g. QAM formats), the *maximum available power* may be used, if licensing conditions permits, to overdrive the transmitter (losing linearity but gaining fade margin when the fade conditions have already impaired the expected RBER). In such a case, performance predictions can also be based on the "*maximum available power*".

More detailed information on ATPC operation can be found in ETSI TR 103 103 [i.17].

**Band and Carrier Aggregation (BCA) systems:** combination of channels operating typically in different, well separated (e.g. 6 GHz plus 18 GHz or 38 GHz plus 80 GHz) bands carrying an overall packet payload capacity over the same link with different availability for the relevant portions of payload

NOTE: BCA concept is generic and is not specifically related to *channels-aggregation* or *multi-channel* concepts and it can be extended also to the case; even if in most cases *channels-aggregation* equipment and/or *multi-channel* systems are combined in BCA operation.

**bandwidth adaptive systems:** system, the capacity of which may be dynamically changed by means of bandwidth reduction

NOTE: Activated only during adverse propagation conditions

**block assignment:** application of block of spectrum assigned to one or more stations of an operator under a single exclusive licence

**channels-aggregation:** equipment where two ( $N = 2$ ) or more ( $N > 2$ ) radio channels (*aggregated channels*) are transmitted/received by the same radio equipment; each channel can be configured to operate within the whole *tuning range*

NOTE 1: The use of channels-aggregation system is intended, in general, to provide high capacity, through the aggregation of wide channels (e.g. 28/56/112/224 MHz).

NOTE 2: Under this category different applications are possible, to which the following more detailed definitions apply:

- 1) **channels-aggregation (single-band):** (applicable to equipment band-coverage) where the two *aggregated-channels* operate on the same overlapping or contiguous bands (see also clause O.1 of ETSI EN 302 217-2 [16]);
- 2) **channels-aggregation (dual-band):** (applicable to equipment band-coverage) where the two (or more) *aggregated-channels* operate on non-contiguous bands (see note 3);
- 3) **channels-aggregation/multi-port:** (applicable to equipment physical layout) equipment where the payload capacity is transmitted by the same radio equipment through more than 1 different antenna ports over:
  - 3a) same link and direction on two (or more) different (in frequency and/or in polarization) assigned radio frequency channels (see annex C) in the same or different frequency bands;
  - 3b) two (or more) different links and directions on the assigned radio frequency channels (see annex C) in the same or different frequency bands (see notes 4 and 5);
- 4) **channels-aggregation/single-port:** (applicable to equipment physical layout) equipment where the payload capacity is transmitted from the same radio equipment and unique antenna port over two (or more) different assigned frequency channels (see annex C) in the same or contiguous frequency bands (see notes 4 and 5);
- 5) **single-channel-port:** (applicable to port characteristic) an antenna port capable of emitting only one channel;
- 6) **multiple-channels-port:** (applicable to port characteristic) an antenna port capable of emitting two channels.

NOTE 3: This case can also constitute a "*Band and Carrier Aggregation systems*" (BCA).

NOTE 4: Note that the "*single-port*" layout implies only one "*multiple-channels-port*" and a "*multi-port*" layout, for  $N = 2$ , implies two "*single-channel-ports*". Note also that in previous version of the present document, when only  $N = 2$  was considered, the definition of "dual-port" was used instead of the more generic "multi-port" broadly valid for any  $N > 1$ .

NOTE 5: When more than two channels are aggregated, the equipment may have a combination of *single-channel-port* and *multiple-channels-ports* for operations depending on the desired combination of the channels on the antenna system, see examples in annex C.

**Channel Separation (CS):** distance between adjacent channels in a radio frequency channels arrangement; it represents one of the major parameters for the identification of the radio equipment use and relevant requirements

**co-polar radiation pattern:** diagram representing the radiation pattern of a test antenna when the reference antenna is similarly polarized, scaled in dBi or dB relative to the measured antenna gain

**cross-Polar Discrimination (XPD):** difference in dB between the co-polarized main beam gain and the cross-polarized one, measured within a defined angular region

**cross-polar radiation pattern:** diagram representing the radiation pattern of a test antenna when the reference antenna is orthogonally polarized, scaled in dBi or dB relative to the measured antenna gain

**dedicated antenna:** antenna specifically designed for being attached to the radio equipment (i.e. with special mechanical fixing to the antenna port of the specific radio supplied), but can be separated from the equipment (typically for transport purpose) as indicated in the installation information

**environmental profile:** range of environmental conditions under which equipment within the scope of the ETSI EN 302 217 series is required to comply with the provisions of the ETSI EN 302 217 series

**essential characteristic:** radio frequency characteristic related to the essential requirements under article 3.2 of Directive 2014/53/EU [i.1] that is capable of expression in terms of quantifiable technical essential parameters

**frequency band:** band of frequencies over which the performance characteristics of the equipment/antenna are set within specified limits

**frequency block:** portion of a radio-frequency band licensed or auctioned to a user

NOTE: It is commonly assumed that the user can freely deploy radio systems inside the block, complying only with few inter-block coexistence rules and possibly with operational constraints given in the license/auction.

**frequency slot:** basis on which one or more slots can be aggregated to form a channel or a block

**gain (of an antenna):** ratio of the radiation intensity, in a given direction, to the radiation intensity that would be obtained if the power accepted by the antenna were radiated isotropically

NOTE: Value measured in dBi. See also *nominal gain*.

**gross bit rate:** total number of bit/s actually transmitted on the air; when divided by the actual modulation index it corresponds to the *symbol rate*

NOTE: In case of a transmitter working in burst mode, the *gross bit rate* is the instantaneous maximum transmission bit rate during the burst.

**half power beamwidth (of an antenna):** angle, relative to the main beam axis, between the two directions at which the measured co-polar pattern is 3 dB below the value on the main beam axis

**input port(s):** flange(s) or connector(s) through which access to the antenna system is provided

NOTE 1: These are shown in the following figure 1 at points D and D'.

NOTE 2: The points in figure 1 are reference points only; points B, C and D, B', C' and D' may coincide.

**integral (integrated) antenna:** antenna which is indicated in the *technical documentation* as part of the radio equipment; it is not physically separable from the equipment

NOTE: Under this category different applications are possible, to which the following more detailed definitions apply:

- 1) **Detachable (integral) antenna:** Even when equipment with integral antenna is concerned, the manufacturer's design might still permit, through specific procedure, to separate the antenna from the equipment at an internal antenna port, physically suitable for testing purpose. In such cases the assessment of the radio equipment and of the antenna against requirements of this ETSI EN 302 217 series should be done separately with conducted test procedures.
- 2) **Undetachable (integral) antenna:** In this case the manufacturer's design does not permit the physical access to the antenna port; nevertheless, for the equipment in the scope of the ETSI EN 302 217 series, a "virtual" antenna port can be identified, according to the indication in the *technical documentation*, where all requirements can be referenced. However, in this case only radiated test procedures can be made.

**Inter Port Isolation (IPI) (of an antenna):** ratio in dB of the power level applied to one port of a multi-port antenna (e.g. dual polarization ports or multi-band ports) to the power level received in any other port of the same antenna as a function of frequency

**isotropic radiator:** hypothetical, lossless antenna having equal radiation intensity in all directions

**main beam (of an antenna):** radiation lobe containing the direction of maximum radiation

**main beam axis (of an antenna):** direction for which the radiation intensity is the maximum

**maximum available power:** See Automatic Transmit Power Control (ATPC).

**maximum nominal power:** See Automatic Transmit Power Control (ATPC).

**mixed-mode system:** system having the capability for stations to operate, according to network and operator needs (e.g. according to propagation variations), on different modulation orders and/or different error correction coding, switching dynamically between them within the same assigned radio frequency channel, adapting the system capacity accordingly (*multirate* operation)

NOTE: These systems are also identified as Adaptive Coding and Modulation (ACM). They can be used to improve capacity capabilities, with variable availability objectives, by adaptive adjustment for time-variant channel impairments. The switching between modulation orders and/or coding may occur as frequently as the propagation conditions dictate and as appropriate to the system dynamic behaviour management, (e.g. on a per-symbol and/or, in *multi-carrier* systems, per-carrier basis).

**multi-carrier (equipment):** equipment where more than one modulated sub-carrier is radiated from the same transmitter, or received by the same receiver, within one polarization of the assigned radio frequency channel, disregarding the actual centre frequencies of the individual sub-carriers, which may vary, for technical reasons, according to practical implementations

NOTE: Definition in line with Recommendation ITU-R F.595 [i.26]. For the purpose of this ETSI EN 302 217 series, all sub-carriers are assumed to be nominally equal in terms of modulation format, bandwidth and output power. Dissimilar sub-carriers systems are not in the scope of the ETSI EN 302 217 series. OFDM modulated signals are not considered multi-carrier unless few equal OFDM modulated sub-carriers can be identified within the assigned radio frequency channel bandwidth.

**multi-channel (system):** system where the payload capacity is transmitted through two or more radio equipment operating over different (in frequency or in polarization) assigned radio frequency channels (see annex C)

**multirate systems:** systems that can operate with multiple payload rates; the actual rate can either be statically preset (possibly coupled also with *Preset-mode* operation) or, when coupled with *Mixed-mode* operation, dynamically change according to the change in modulation format and/or in error correction coding

**national radio frequency channel arrangement:** predefined centre frequencies raster, used on a national basis, for a number of radio frequency channels, covered by a national regulation in a frequency band in absence of, or different from, existing ECC or Recommendation ITU-R recommended channel arrangements

NOTE: May all or in part overlap with other national or recommended radio frequency channel arrangements.



**Network Interface Capacity (NIC):** sum of the maximum bit rates of the implemented base band interfaces at reference point X/X'

**nominal (channel) bandwidth:** bandwidth, defined in the *technical documentation*, which the system will use when deployed in bands where no specific radio frequency channel arrangement is defined (or it is defined only in terms of aggregation of basic slots)

NOTE: Its value can be defined as a free value (nominal bandwidth) or in terms of the used aggregation of basic frequency slots to form the used channel (nominal channel bandwidth). This value, if required, may represent the reference for defining parametric requirements (e.g. spectrum density mask, spectrum efficiency, etc.).

**nominal EIRP (of a transmitter):** EIRP evaluated using the *nominal output power* and the *nominal antenna gain*

**nominal gain (of an antenna):** mean value (dBi) of the antenna gain within its operating band

NOTE: Value indicated in the *technical documentation* with its relevant maximum gain deviation along its operating band, symmetrically across the nominal gain (e.g. 35 dBi  $\pm$  1 dB).

**nominal output power (of a transmitter):** mean power level (dBm) used as reference power for the transmitter level

NOTE: The term may assume two different meaning depending on the context (i.e. equipment characteristic or licensing conditions):

- a) mean power level (dBm), as equipment characteristic, is used as reference for the "transmitter output power environmental variation" requirement. Unless specifically indicated, it is intended without any ATPC or RTPC attenuation;
- b) mean power level (dBm), in the licensing conditions, is the established level for each station and eventually obtained through RTPC function, used as reference for respecting the *nominal EIRP* assigned to the station in the licensing conditions;

**occupied bandwidth:** width of a frequency band such that, below the lower and above the upper frequency limits, the mean powers emitted are each equal to a specified percentage  $\beta/2$  of the total mean power of a given emission (ITU Radio Regulations [i.38], article 1.153)

NOTE: For the purpose of the present document,  $\beta/2$  is assumed to be equal to 0,5 % (Recommendation ITU-R F.1191 [i.34]).

**operating frequency range:** range(s) of radio frequency channels covered by the Equipment Under Test (EUT) without any change of Hardware (HW)

**out-of-band domain:** See ITU Radio Regulations [i.38], article 1.146A.

**preset-mode system:** multi-rate and multi-format system that can be statically configured or preset to operate on a permanent basis with one among several possible modulation orders within the same assigned radio frequency channel, changing consequently the payload rate

**radiation pattern (of an antenna):** diagram relating power flux density at a constant distance from the antenna at off-axis angles (non intentional antenna radiation) relative to a direction of the antenna main beam axis (intentional antenna radiation)

**Radiation Pattern Envelope (RPE) (of an antenna):** envelope below which the radiation pattern fits; diagrams representing the radiation pattern of a test antenna measured with a reference antenna, scaled in dBi or dB relative to the measured antenna gain

NOTE: For linearly polarized antennas, two different RPE are generally identified:

- **co-polar radiation pattern envelope:** diagram representing the radiation pattern of a test antenna when the reference antenna is similarly polarized, scaled in dBi or dB relative to the measured antenna gain;
- **cross-polar radiation pattern envelope:** diagram representing the radiation pattern of a test antenna when the reference antenna is orthogonally polarized, scaled in dBi, or dB relative to the measured antenna gain.

**radio frequency channel:** portion of a radio frequency band, where a radio frequency channel arrangement has been established, dedicated to one fixed radio link

**radio frequency channel arrangement:** predefined (centre frequencies) raster for a number of radio frequency channels

NOTE 1: Used by administrations for co-ordination in the same geographical area.

NOTE 2: As defined by Recommendation ITU-R F.746 [i.42].

**Radio Interface Capacity (RIC):** maximum user net capacity (in terms of system capability), defined at Z/Z' reference points, that can be transmitted over the radio interface defined at reference point C'

NOTE: RIC is defined at Z/Z' reference points and includes additional capacity added for framing and multiplexing/demultiplexing different baseband signals (at X/X' points) into a transport module, eventually integrated in the baseband processing of the radio system, virtually defined at the Z/Z' reference points (e.g. the STM-N for the standardized SDH case or the higher level PDH frames for the transport of  $N \times 2$  Mbit/s or similar proprietary multiplexing frames of different signals described in the *technical documentation*). It does not include other additional proprietary algorithms and signals used for specific radio systems purposes (typically error correction codes and radio system service channels).

**radome (of an antenna):** cover of dielectric material, intended to protect an antenna from the effects of its physical environment

**recommended (or harmonized) radio frequency channel arrangement:** predefined centre frequencies raster for a number of radio frequency channels, covered by an ITU-R and/or ECC (or CEPT/ERC) Recommendation in a frequency band that is recommended (but not usually imposed) for harmonisation to the member countries where they use the relevant frequency band for the Fixed Service

**reference mode (reference equipment class and channel separation):** in *mixed-mode* systems, it identifies the operative mode which characteristics (i.e. system capacity, *spectral efficiency class* over a given *channel separation*) are used (i.e. indicated in the licensing process) in the link per link coordination analysis

NOTE: It provides the reference availability objective commonly used for the whole network (i.e. the typical 99,99 % or any other generally used by the administration concerned for the frequency coordination of licensed P-P links). When also *bandwidth adaptive* operation is active, the *reference mode* is always related to the widest *channel separation* used.

**Remote Frequency Control (RFC):** many fixed digital radio systems offer this functionality as a qualifying aid to deployment

NOTE: When this function is used, the transmit centre frequency/channel can be set either by a local control unit, connected to the system control unit, or by a remote network management terminal. The frequency variation is static and usually made at the activation or re-commissioning of links in order to easily obtain the licensed frequency assigned by the co-ordinating body to the network operator for that link, in order to control network interference in the same geographical area.

**Remote Transmit Power Control (RTPC):** many fixed digital radio systems offer this functionality as a qualifying aid to the deployment

NOTE: When this function is used, the transmit power can be set either by a local control unit, connected to the system control unit, or by a remote network management terminal. The power variation is static and usually made at the activation or re-commissioning of links in order to easily obtain the EIRP required by the frequency co-ordinating body for that link, to control co-channel and adjacent channel interference in the same geographical area. In principle, this function is equivalent to the requirement power regulation capability (e.g. by fixed attenuators) commonly required in fixed systems.

**Residual Bit Error Ratio (RBER):** Bit Error Ratio observed over suitably long period (as specified by the test requirement) at a RSL where the thermal noise contribution is negligible (e.g. at least 10 dB RSL higher than the  $BER = 10^{-6}$  threshold)

**self-alignment tracking (antenna):** antenna capable to maintain boresight alignment when in presence of external source of deviation (e.g. wind, thermal distortion of the mechanical supporting structure) within the limited angle range indicated in the *technical documentation*.

**signature:** test methodology, based on two rays path simulator, defined in Recommendation ITU-R F.1093 [i.30] for characterizing digital radio receiver resistance to multipath phenomena

NOTE: First introduced by Bellcore work (Lundgren, Rummler) [i.40]), the so defined signature of a point-to-point radio system is used in Recommendation ITU-R P.530 [25] for prediction of the selective outage probability due to multipath occurrence on a given link.

**single-mode system:** system designed to operate with a single modulation order only

**spectral efficiency:** defined as the ratio between the peak *gross-bit-rate* and the Occupied BW or occupied CS (whichever is applicable)

**spectral efficiency class:** formal subdivision of increasing modulation efficiency introduced in ETSI EN 302 217-2 [16] as major parameter for the identification of the radio equipment use and relevant requirements

NOTE: Actual modulation format used is not relevant in this definition; any modulation format can be used provided that the requirements of the class are met.

**spectral efficiency reference index:** "n" power index of 2 of a modulation format with  $2^n$  different states

**spurious domain:** See ITU Radio Regulations [i.38], article 1.146B.

**stand-alone antenna:** antenna designed independently from the fixed radio equipment, by the same or a different manufacturer and connected to the radio equipment in the field through standard cables or waveguide connections

**symbol rate:** total number of symbols/s actually transmitted on the air; it is equal to the *gross bit rate* divided by the actual modulation index

**technical documentation:** See Articles 10 and 21 of Directive 2014/53/EU [i.1].

**tuning range:** envelope bandwidth (see note) of the number of contiguous RF channels in a radio frequency channel arrangement that can be generated by equipment, from which the operating channel(s) can be selected

NOTE: The size of the tuning range bandwidth is then obtained as  $n \times CS$  (MHz), where n is the number of channels covered by the tunability.

## 3.2 Symbols

For the purposes of the present document and of the other ETSI EN 302 217 series parts (i.e. ETSI EN 302 217-2 [16] and ETSI EN 302 217-4 [17]), the following symbols apply:

°	degree
Ω	Ohm
dB	deciBel
dBc	deciBel relative to mean carrier power
dB <sub>i</sub>	deciBel relative to an isotropic radiator
dB <sub>m</sub>	deciBel relative to 1 mW
dB <sub>u</sub>	deciBel relative to 1 microVolt
dBW	deciBel relative to 1 Watt
GHz	GigaHertz
h	hour
kg	kilogramme
kHz	kiloHertz
km	kilometre
kN	kiloNewton
m/s	metres per second
Mbit/s	Mega-bits per second
MHz	MegaHertz
mW	milliWatt
ns	nanosecond
ppm	parts per million
V	Volts
W/m <sup>2</sup>	Watts per square metre

### 3.3 Abbreviations

For the purposes of the present document and of the other ETSI EN 302 217 series parts (i.e. ETSI EN 302 217-2 [16] and ETSI EN 302 217-4 [17]), the following abbreviations apply:

ACAP	Adjacent Channel Alternate Polarization
ACCP	Adjacent Channel Co-Polarization
ACM	Adaptive Coding and Modulation
ADCO RED	Group of Administrative Co-operation Under the Radio Equipment Directive
ATM	Asynchronous Transport Module
ATPC	Automatic Transmit Power Control
ATTM	Access, Terminals, Transmission and Multiplexing
BB	Base Band
BBER	Background Block Error Ratio
BCA	Band and Carrier Aggregation
BER	Bit Error Ratio
BW	BandWidth
BWA	Broadband Wireless Access

NOTE: Intended as any mixture of fixed, nomadic, mobile application.

C/I	Carrier to Interference ratio
CCDP	Co-Channel Dual Polarized
CEPT	Conférence Européenne des administrations des Postes et des Télécommunications (European Conference of Postal and Telecommunications administrations)
CL	Confidence Level
CMI	Coded Mark Inversion
CS	Channel Separation

NOTE: Sometimes referred in literature as Channel Spacing.

CSmin            minimum practical Channel Separation

NOTE: Defined for each given radio-frequency channel arrangement.

CW	Continuous Wave
DC	Direct Current
DFRS	Digital Fixed Radio System
DRRS	Digital Radio Relay System
EB	Errored Blocks
EC	European Community
ECC	Electronic Communication Committee of the CEPT
EHF	Extremely High Frequency
EIA	Electronic Industries Alliance
EIRP	Equivalent Isotropically Radiated Power
EMC	ElectroMagnetic Compatibility
EPO	Error Performance Objective
ERC	European Radiocommunications Committee of the CEPT, presently become ECC
ES	Errored Seconds
ESR	Errored Second Ratio
EUT	Equipment Under Test
FDD	Frequency Division Duplex
FEC	Forward Error Correction
FER	Frame Error Ratio
FLANE	Fixed Local Area Network Extension
FS	Fixed Service
FSK	Frequency-Shift Keying (modulation)
FSS	Fixed Satellite Service
FWA	Fixed Wireless Access
Gant	Antenna Gain
HDB2	High Density Bipolar coding order 2
HDB3	High Density Bipolar coding order 3
HDFS	High Density Fixed Service

IDU	InDoor Unit
IEC	International Electrotechnical Committee
IEEE	Institute of Electrical and Electronics Engineers
IF	Intermediate Frequency
IFbw	IF bandwidth

NOTE: Indicating the noise resolution bandwidth of a spectrum analyser.

IP	Internet Protocol
IPI	Inter-Port Isolation
ISDN	Integrated Services Digital Network
ISO	International Organization for Standardization
ITU-R	International Telecommunication Union - Radiocommunications standardization sector
ITU-T	International Telecommunication Union - Telecommunications standardization sector
L6	Lower 6 band from 5 925 GHz to 6 425 GHz
LAN	Local Area Network
MIMO	Multiple Input - Multiple Output
NF	Noise Figure
NFD	Net Filter Discrimination
NIC	Network Interface Capacity
OJEU	Official Journal of the European Union
OOB	Out-Of-Band
OSI	Open Systems Interconnection
PDH	Plesiochronous Digital Hierarchy
PFD	Power Flux Density
P-MP	Point-to-Multipoint
Pout	output Power

NOTE: Of the transmitter; generic term.

P-P	Point-to-Point
PRBS	Pseudo Random Binary Sequence
PSK	Phase-Shift Keying (modulation)
QAM	Quadrature Amplitude Modulation
RBER	Residual BER
RCSOH	Radio Complementary Section OverHead
RF	Radio Frequency
RFC	Remote Frequency Control
RFCOH	Radio Frame Complementary OverHead
RFER	Residual FER
RIC	Radio Interface Capacity
RPE	Radiation Pattern Envelope
RR	Radio Regulation
RSL	Receiver Signal Level
RTPC	Remote Transmit Power Control
RX	Receive or Receiver
S/(N+I)	Signal to Noise plus Interference ratio
S/N	Signal to Noise ratio
SAB	Services Auxiliary to Broadcasting
SAP	Services Auxiliary to Programme making
SDH	Synchronous Digital Hierarchy
SOH	Section OverHead
SR	Symbol Rate
sSTM-1k	Synchronous Transport Module of k times VC-12 equivalent payload (k = 1, 2, 4, 8, 16)

NOTE: Defined by Recommendation ITU-T G.708 [29].

sSTM-2n Synchronous Transport Module of n times VC2 equivalent payload (n = 1, 2, 4)

NOTE: Defined by Recommendation ITU-T G.708 [29].

STM Synchronous Transport Module

STM-0	Synchronous Transport Module Level 0
NOTE:	51,840 Mbit/s AU-3 equivalent payload.
STM-1	Synchronous Transport Module Level 1
NOTE:	155,520 Mbit/s.
STM-4	Synchronous Transport Module Level 4
NOTE:	622,080 Mbit/s.
STM-N	Synchronous Transport Module, level N
TCAM	Telecommunication Conformity Assessment and Market surveillance committee
TDD	Time Division Duplex
TX	Transmit or Transmitter
U4	Upper 4 band from 4,4 GHz to 5,0 GHz
U6	Upper 6 band from 6 425 GHz to 7 125 GHz
VSWR	Voltage Standing Wave Ratio
WBSEL	Wide Band SElectivity
WR	Waveguide Rectangular
XPD	Cross-Polar Discrimination
XPI	Cross-Polar Interference
XPIC	Cross-Polar Interference Canceller

## 4 Structure and applicability of the ETSI EN 302 217 series

### 4.1 Generality

For the correct understanding and application of the requirements in the whole ETSI EN 302 217 multi-part series, the definition of terms summarized in clause 3.1 of the present document are also relevant; those definitions are generally hereby identified with the use of *italic characters* (e.g. *mixed-mode*).

Standards for point-to-point systems, including antennas, cover a very large range of traffic capacities, Channel Separations (CS), modulation formats and applications over a very wide range of frequency bands that are summarized in table 1.

**Table 1: Digital Fixed Radio Systems (DFRS) parameters**

Parameter	Range
Frequency bands	From 1 GHz to 174,8 GHz (see note)
Traffic capacities	From 9,6 kbit/s to 622 Mbit/s and to 1 Gbit/s and above in the highest bands
Channel separations	From 25 kHz to 1 GHz and above in the highest bands
Modulation formats	From 2 states to 2 048 states (amplitude and/or phase and/or frequency modulated states)
Typical applications	<p><b>POINT-TO-POINT (P-P) CONNECTIONS:</b> Rural and urban low/medium/high capacity links for mobile access infrastructure (backhaul), transport/trunk (long haul), FWA/BWA/ access, Fixed LAN Extensions (FLANE) governmental (non-military) links, private fixed networks, SAP/SAB P-P audio and video links with <i>integral</i> or <i>dedicated antenna</i>.</p> <p><b>STAND ALONE ANTENNAS:</b> For all of the above applications when <i>integral</i> or <i>dedicated antennas</i> are not employed.</p>
NOTE:	Market demand will likely extend the upper limits.

The regulatory framework for placing radio systems on the market, foresees the availability of European Harmonised Standards covering the essential requirements under article 3.2 of Directive 2014/53/EU [i.1]. ETSI EN 302 217 series meet this demand by providing a rational subdivision of technical characteristics into:

- general system independent and complementary parameters, defined in the present document;

- parameters relevant to the "essential" requirements of article 3.2 of Directive 2014/53/EU [i.1], which are required for access to radio spectrum; they are briefly summarized in the present document but specifically defined in ETSI EN 302 217-2 [16];
- antenna directional parameters also made "essential" by reference into ETSI EN 302 217-2 [16]; they are specifically defined in ETSI EN 302 217-4 [17];
- system independent and "complementary" parameters NOT relevant for European Harmonised Standard. Nevertheless they have been commonly agreed for proper system operation and deployment when specific deployment conditions or compatibility requirements are present; they are also defined in the present document.

Equipment is grouped into families of either similar frequency bands or applications. Nine families are identified for frequency bands corresponding, in ETSI EN 302 217-2 [16], to annexes referenced from annex B to annex L and one family associated with applications of packet data and combination of other signals mapped into proprietary transport modules, detailed in annex N of ETSI EN 302 217-2 [16].

- B frequency bands from 1,4 GHz to 2,6 GHz;
- C frequency bands from 3,5 GHz to 11 GHz (channel separation up to 30 MHz, 56/60 MHz and 112 MHz);
- D frequency bands from 4 GHz to 11 GHz (channel separation 40 MHz and 80 MHz);
- E frequency bands 13 GHz, 15 GHz and 18 GHz;
- F frequency bands from 23 GHz to 42 GHz;
- G frequency bands from 50 GHz to 55 GHz;
- H information on frequency bands from 57 GHz to 66 GHz;
- I information on frequency bands from 64 GHz to 66 GHz;
- J frequency bands from 71 GHz to 76 GHz and 81 GHz to 86 GHz;
- K frequency bands in the range from 92 GHz to 114,25 GHz;
- L frequency bands in the range from 130 GHz to 174,8 GHz;
- M left void for providing room for future considered bands;
- N definition of equivalent data rates for packet data, PDH/SDH and other signals on the traffic interface.

As the maximum transmission rate in a given bandwidth depends on system spectral efficiency, equipment is subdivided in different spectral efficiency classes as defined in ETSI EN 302 217-2 [16].

The spectral efficiency classes are indicative only and do not imply any constraint to the actual modulation format, provided that all the requirements in the relevant parts of this multi-part deliverable for the declared class are met.

Guidance on the definition of radio parameters relevant to the essential requirements under article 3.2 of Directive 2014/53/EU [i.1] for DFRS may be found in ETSI TR 101 506 [i.15].

ETSI EN 302 217-4 [17] provides the antenna characteristics to be used, in all operating bands, by any P-P system with *integral antennas* when assessed under article 3.2 of Directive 2014/53/EU [i.1]. It constitutes also the reference for the equipment manufacturer for developing *dedicated antennas*; also it shall be used as reference characteristics in the user instruction of equipment supplied without antenna so that the equipment can be operated as intended (e.g. according to article 10 recital 8 of Directive 2014/53/EU [i.1]) with a *stand-alone* antenna. ETSI EN 302 217-4 [17] also includes electrical and mechanical characteristics for development of any kind of DFRS P-P directional antenna.

## 4.2 Summary of system options provided

A number of options for equipment implementation are identified in ETSI EN 302 217 series; the set of characteristics applicable to each option is uniquely identified through three parameters:

- operating frequency band;

- operating radio frequency Channel Separation (CS);
- spectral efficiency class (as defined in ETSI EN 302 217-2 [16]).

Each option so identified has a "nominal" payload requirement in terms of minimum RIC (Radio Interface Capacity) to be fulfilled when packet payloads are used (e.g. Ethernet, ATM, etc.); in case PDH/SDH traffic are alternatively provided, annex N of ETSI EN 302 217-2 [16] gives the translation from the minimum RIC to the minimum hierarchic interfaces.

Table 2 and table 3 summarize the relevant cross-references between channel separation in various Fixed Service frequency bands and the available options of equipment provided in ETSI EN 302 217 series. They are shown in terms of the minimum RIC payload, which, depending on the channel separation, correspond to a specific spectral efficiency class detailed in clause 4.1.3 of ETSI EN 302 217-2 [16] (identified, with increasing spectral efficiency, as classes 1, 2, 3, 4L, 4H, 5L, 5H, 6L, 6H 7 and 8). In classes from 5L to 8, two further sub-classes suffix (i.e. A and B) are provided for the same channel separation depending on whether ACAP or CCDP operation is, respectively, considered for the equipment use.

The minimum RIC payload in table 2 and table 3 are the minimum required for conformance to the present document and are based on the "minimum RIC density" defined, for each spectral efficiency class, in clause 4.1.3 of ETSI EN 302 217-2 [16] (see note).

NOTE: In ETSI EN 302 217-2 [16] only some cases of systems in annex B, due to the smaller channel separation provided, are (exceptionally) labelled with typical *gross bit rate* rather than minimum RIC capacity rates.

However, equipment may offer a variety of base band interfaces, e.g. typical hierarchical rates PDH or SDH, ISDN, Ethernet as well as mixture of these or other standardized interfaces. Mapping/multiplexing of the various base-band interfaces into common frame(s) suitable for radio transmission may be done using standardized higher hierarchical frames or other proprietary methods.

Table N.1a through table N.1h in annex N of ETSI EN 302 217-2 [16] summarize the "minimum RIC" considered in the present document and, when only PDH or SDH interfaces are provided, give the equivalent capacity in terms of number of 2 048 Mbit/s streams provided as multiple or single multiplexed PDH or SDH interfaces. These minimum capacities are associated to the relevant channel separation and spectral efficiency classes defined.

The cells in table 2 and table 3 are filled only on the basis of available physical single channel transmission capacity (up to a minimum RIC of 1 724 Mbit/s for class 8 systems in conventional channel arrangements with CS up to 224 MHz or even up to about several Gbit/s in bands above 57 GHz where larger CS are possible). Doubled capacity is, in principle, possible for any option using CCDP or MIMO operation and, more in general, even larger capacity may be obtained subdividing the payload over two (or more) channels, e.g. in *multi-channels* or *channels-aggregation* systems and/or Band and Carrier Aggregation (BCA) systems operation; however, specific test procedures are provided in ETSI EN 302 217-2 [16] only for STM-4 interface or other high speed data interfaces when their payload is split over two or more equipment (*multi-channels* systems) or on *channels-aggregation* equipment.



**Table 2: Cross reference of available equipment and antenna requirements in parts and annexes of ETSI EN 302 217 series:  
bands from 1,4 GHz to 18 GHz**

ETSI EN 302 217 series																	
General requirements⇒		Part 1 (the present document) (System common characteristics and system-independent requirements)															
Antenna requirements⇒		Part 4 [17] (Antenna general and complementary requirements)															
Equipment main requirements⇒		Part 2 [16] (Harmonised Standard for equipment characteristics relevant to article 3.2 of Directive 2014/53/EU [i.1])															
Relevant annex in Part 2 [16]⇒		Annex B					Annex C or Annex E								Annex D		
Frequency band (GHz)⇒		1,4; 2,4	2,1; 2,6	1,4; 2,1; 2,6	2,1; 2,6		Annex C: 3,5; 4; U4; L6; U6; 7; 8; 10,5; 11 Annex E: 13; 15; 18								Annex C: U6	4, U4, U6, 8, 11	
Minimum RIC (Mbit/s) provided with relevant equipment characteristics	Channel separation (MHz) ⇒	CS < 1,75 and 2	1,75	3,5	7	14	1,75	3,5	7	13,75 / 14 / 15	27,5 / 28 / 29 / 29,65 / 30	55 / 56 / 58 / 59,3 / 60	110/112 (note 1)	220 (note 1)	20	40 / 80 (note 3)	
	Spectral efficiency ↓																
	Reference index ↓    Class ↓																
	1	1	(note 2)	1	2												
	2	2	(note 2)	2	4	8	16	2	4	8	16	32	64	128	256		
	3	3						3	6	12	24	48	96	191	382		
	4	4L	(note 2)	4	8	16	32	4	8	16	32	64	128	256	512	45	
	5	4H							12 (a)	24	49	98	196	392	784		
	6	5L								29	58						
	6	5LA, 5LB										117	235	470	940		168/336
	7	5H							17 (a)	34	68						
	7	5HA, 5HB										137	274 (b)	548	1 096		137 (c) 196/392
	8	6L								39	78						
	8	6LA, 6LB										156	313	627	1 254		224/448
	9	6H									88						
	9	6HA, 6HB										176	352	705	1 410		252 (b)/512
10	7									98							
10	7A, 7B										196	392	784	1 568		280/560	
11	8									107							
11	8A, 8B										215	431	862	1 724		308/616	
<b>Equivalent capacity for hierarchic-only systems</b>		Annex D of the present document and annex N of Part 2 [16]															
NOTE 1: CS 110 MHz and 220 MHz available only in 18 GHz band; CS 112 MHz available only in 11 GHz band.																	
NOTE 2: For channel separations of 2 MHz and other various smaller than 1,75 MHz, only typical "gross bit rates" are defined (see details in table B.2 of ETSI EN 302 217-2 [16]).																	
NOTE 3: CS = 80 MHz only in U6 and 11 frequency bands.																	
(a) These systems are intended only for the transport of subSTM-0 capacities only in 18 GHz band.																	
(b) STM-4 capacity as combination of two 2 × STM-1 equipment operating on two 40 MHz channels in ACAP or CCDP or even non adjacent operation is also described.																	
(c) For CS 40 MHz only, minimum RIC 137 Mbit/s option is special provision only for commonality of use of 5HB/28 MHz like equipment modulation also into 40 MHz channel arrangements.																	

**Table 3: Cross reference of available equipment and antenna requirements in parts and annexes of ETSI EN 302 217 series: bands from 23 GHz to 174,8 GHz**

ETSI EN 302 217 series																				
Common requirements ⇨		Part 1 (the present document) (System common characteristics and system-independent requirements)																		
Antenna requirements ⇨		Part 4 [17] (Antenna general and complementary requirements)																		
Equipment requirements ⇨		Part 2 [16] (Harmonised Standard for access to radio spectrum relevant to article 3.2 of Directive 2014/53/EU [i.1])																		
Relevant annex Part 2 [16] ⇨		Annex F						Annex G					Annex J, Annex K and Annex L							
Frequency band (GHz) ⇨		23, 26, 28, 31, 32, 38, 42						50, 52, 55					71 to 76 and 81 to 86 (E band) Bands in the range 92 to 114,25 (W band) Bands in the range 130 to 174,8 (D band)							
Minimum RIC (Mbit/s) provided with relevant equipment characteristics	Spectral efficiency ↓		Channel separation (MHz)						Channel separation (MHz)					Channel separation (MHz)						
	Reference index ↓	Class ↓	3,5	7	14	28	56	112 (e)	224 (e)	3,5	7	14	28	56	62,5 (a)	125 (a)	Channel size multiple of predefined slots from 250 MHz to 2 250 MHz (E and W bands) or to 5 000 MHz (D band)			
	1	1	-	-	-	-	-	-	-	2	4(c)	8(c)	16(c)	32(c)	35	71	See minimum RIC reported in tables J.2, K.2 and L.2 of the relevant annexes J, K and L of ETSI EN 302 217-2 [16]			
	2	2	4(b)	8	16	32	64	128	256	4	8	16	32	64(c)	71	142				
	3	3	6(b)	12	24	48	96	191	382	6	12	24	48	96(c)	106	212				
	4	4L	8(b)	16	32	64	128	256	512	8	16	32	64	128(c)	142	285				
	5	4H	-	24	49	98	196	392	784	-	-	-	-	-	219	438				
	6	5L	-	29	58	-	-	-	-	-	-	-	-	-	-	-				
	6	5LA, 5LB	-	-	-	117	235	470	940	-	-	-	-	-	262	525				
	7	5H	-	34	68	-	-	-	-	-	-	-	-	-	-	-				
	7	5HA, 5HB	-	-	-	137	274(d)	548	1 096	-	-	-	-	-	306	612				
	8	6L	-	39	78	-	-	-	-	-	-	-	-	-	-	-				
	8	6LA, 6LB	-	-	-	156	313	627	1 254	-	-	-	-	-	350	700				
	9	6H	-	-	88	-	-	-	-	-	-	-	-	-	-	-				
	9	6HA, 6HB	-	-	-	176	352	705	1 410	-	-	-	-	-	-	-				
	10	7	-	-	98	-	-	-	-	-	-	-	-	-	-	-				
10	7A, 7B	-	-	-	196	392	784	1 568	-	-	-	-	-	-	-					
11	8	-	-	107	-	-	-	-	-	-	-	-	-	-	-					
11	8A, 8B	-	-	-	215	431	862	1 724	-	-	-	-	-	-	-					
Equivalent capacity for hierarchic only systems		Annex D of the present document and annex N of Part 2 [16]																		

(a) Available only in the 71 to 76 GHz and 81 to 86 GHz bands (E band).

(b) Not provided in 42 GHz band.

(c) Not provided in 50 GHz band.

(d) STM-4 capacity as combination of two 2 × STM-1 equipment operating on two channels in ACAP or CCDP or even non adjacent operation is also described.

(e) 112 MHz not provided in 31 GHz band; 224 MHz not provided in 26 GHz and 31 GHz bands.

## 4.3 User's guide

The symbols abbreviations and definitions, which apply to the whole ETSI EN 302 217 series, are listed in the present document. In particular, correct understanding of the definitions is necessary for the correct application of all the requirements.

The requirements applicable to a specific point to point digital fixed radio systems (including its antenna) are summarized in table 4 showing the major structure of the whole ETSI EN 302 217 series. The requirements are subdivided across the three parts of the EN series corresponding to their four major categories.

**The first category** (the present document) corresponds to "common" characteristics and other requirements not related to access to radio spectrum, which are common to the whole family of equipment, i.e. performance and availability, environmental profiles, power supply, system block diagram, mechanical characteristics and baseband interfaces and parameters. The present document defines those requirements and characteristics set out in the other parts of ETSI EN 302 217 series.

**The second category** (also described in the present document) corresponds to "complementary" characteristics and requirements. Although not relevant for the Harmonised European Standard they may guarantee better performance to the actual deployed links; contributing to a proper system operation and deployment, in particular when specific deployment conditions or compatibility requirements are present. Compliance to all or some of these requirements of the non-harmonised parts of the ETSI EN 302 217 series will be made on a voluntary basis.

The limits for main and complementary requirements that are not common or parameterized for all of the equipment covered by one part, but specific to one frequency range, one RIC or PDH/SDH capacity, etc., are located in annexes.

**The third category** (summarized in the present document and detailed in ETSI EN 302 217-2 [16]) is for equipment in any frequency bands; and will be detailed in the harmonised standard for access to radio spectrum (ETSI EN 302 217-2 [16]) involving a complete set of TX and RX parameters. The limiting values specific to one frequency range, one RIC or PDH/SDH capacity, etc., are located in annexes. In annex A of ETSI EN 302 217-2 [16] a correlation table summarizes the technical requirements in respect to radio spectrum access.

**The fourth category** (ETSI EN 302 217-4 [17]) provides the antenna characteristics to be used for any P-P system in all operating bands. Some of these characteristics are also referenced in ETSI EN 302 217-2 [16] as being critical parameters for radio equipment with *integral or dedicated antenna for the access to radio spectrum*. These latter characteristics has also to be used by the manufacturer of radio equipment placed on the market with external *dedicated antenna* or other *stand-alone* antenna possibly independently substituted or purchased by the user itself, annex Q of ETSI EN 302 217-2 [16] provides suitable guidelines on the antenna characteristics for using *stand-alone antennas*; such information are required to use radio equipment in accordance with its intended use.

To conclude, ETSI EN 302 217 series is used as a comprehensive document that, starting from the present document down to the relevant annexes of parts ETSI EN 302 217-2 [16] and ETSI EN 302 217-4 [17]. Table 4 shows the major clauses and annexes of the series.

Table 4: Structure of the ETSI EN 302 217 series

ETSI EN 302 217-1 [i.41] (the present document) Overview, common characteristics and system-dependent requirements		ETSI EN 302 217-2 [16] Fixed Radio Systems; Characteristics and requirements for point-to-point equipment and antennas; Part 2: Digital systems operating in frequency bands from 1 GHz to 86 GHz; Harmonised Standard for access to radio spectrum	
Clause N°	Title	Clause N°	Title
3	Definitions, symbols and abbreviations	4	Technical requirements specifications
4	Structure and applicability of the ETSI EN 302 217 series	4.1	Framework for categorization of system
5	General characteristics	4.2	Transmitter requirements
6	Baseband interfaces and parameters	4.3	Receiver requirements
7	Main requirements	4.4	Antenna characteristics
8	Complementary requirements	5	Testing for compliance with technical requirements
Annex A (normative)	Transmitter Radio Frequency spectrum masks and receiver selectivity when mixed manufacturer compatibility is required	Annex A (informative)	Relationship between the present document and the essential requirements of Directive 2014/53/EU [i.1]
Annex B (normative)	Definition of equivalent data rates for packet data, PDH/SDH and other signals on the traffic interface	Annex B (normative)	Frequency bands 1,4 GHz to 2,6 GHz
Annex C (informative)	Information on <i>Multi-channel</i> and <i>Channel</i> -aggregation differences and operation	Annex C (normative)	Frequency bands from 3,5 GHz to 11 GHz (CS up to 30 MHz and 56/60 MHz)
Annex D (informative)	Additional information on relevant characteristics and operation:	Annex D (normative)	Frequency bands from 4 GHz to 11 GHz (CS 40 MHz)
D.1	Residual Bit Error Ratio (RBER) and Residual Frame Error Ratio (RFER)	Annex E (normative)	Frequency bands 13 GHz, 15 GHz and 18 GHz
D.2	Measurement test set for XPI characteristics	Annex F (normative)	Frequency bands from 23 GHz to 42 GHz
D.3	Differential delay compensation range	Annex G (normative)	Frequency bands from 50 GHz to 55 GHz
D.4	FER/BER equivalence and FER performance measurement equipment settings (example)	Annex H (informative)	Information on Frequency band 57 GHz to 66 GHz
D.5	Impact of power control (ATPC and/or RTPC), mixed-mode and bandwidth adaptive operation on spectrum mask and link design requirements	Annex I informative	Information on Frequency band 64 GHz to 66 GHz
D.6	Typical interference sensitivity behaviour for frequency planning purpose	Annex J (normative)	Frequency bands 71 GHz to 86 GHz
D.7	Band and Carrier Aggregation (BCA) operation	Annex K (normative)	Bands in the range 92 GHz to 114,25 GHz
Annex E (informative)	Mechanical characteristics	Annex L (normative)	Bands in the range 130 GHz to 174,8 GHz
Annex F (informative)	Mitigation techniques referred in CEPT/ERC/DEC(00)07 [1] (18 GHz band)	Annex M	Void (for future use)
Annex G (informative)	Change history	Annex N (normative)	Definition of equivalent data rates for packet data, PDH/SDH and other signals on the traffic interface
<b>ETSI EN 302 217-4 [17] Antennas</b>		Annex O (normative)	Test report in relation to flexible systems applications
Clause N°	Title	Annex P (informative)	Technical background for receiver selectivity and C/I interference sensitivity evaluation
4	Technical requirements specifications	Annex Q (informative)	Guidelines for using <i>stand-alone antennas</i>
4.1	Introduction	Annex R (informative)	Payload flexibility
4.2	Templates for definition of Radiation Pattern Envelope (RPE) classes	Annex S (informative)	Test interpretation and measurement uncertainty
4.3	Environmental profile	Annex T (informative)	Bibliography
4.4	Radiation Pattern Envelope (RPE)	Annex U (informative)	Change history
4.5	Cross-Polar Discrimination (XPD)		
4.6	Antenna gain		
5	Testing for compliance with technical requirements		
Annex A (informative)	Additional information		
A.1	Mechanical characteristics		
A.2	Antenna input connectors		
A.3	Return loss at the input ports		
A.4	Inter-port isolation		
A.5	Antenna labelling		
Annex B (informative)	Antenna gain and radiation pattern information		
B.1	Impact of antenna gain on the frequency planning		
B.2	Gain and typical radiation pattern for circular-symmetric antennas		

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## 5 General characteristics

### 5.1 Frequency bands and channel arrangements

Frequency bands and channel arrangements, which are relevant for equipment covered by the present document, are defined by Recommendations ITU-R and/or ECC (or CEPT/ERC) Recommendations and are referenced in the first table of each annex B through annex L (i.e. table B.1 through table L.1) of ETSI EN 302 217-2 [16].

Recommendations ITU-R and ECC (or CEPT/ERC Recommendation, see note) recommended frequency channel arrangements, known at the date of publication of this multi-part deliverable, are set out for reference only.

NOTE: CEPT Recommendations were published until 2002 as CEPT/ERC Recommendations; consequently to the restructuring of ERC under new ECC organization, Recommendations developed after that date formally changed their reference as ECC Recommendations, without changing their applicability.

Other national or future Recommendations ITU-R or ECC Recommendations, set around the same or close to the frequency range of present Recommendations ITU-R or ECC Recommendations, are considered applicable to systems assessed against this multi-part deliverable, provided that they use the same channel separation.

Specification and tests of wide radio-frequency band covering units and *multirate* or *mixed-mode* equipment are placed in normative annex O of ETSI EN 302 217-2 [16]. Whenever applicable, it is also valid for assessing parameters specified in the present document.

### 5.2 Special compatibility requirements between systems

There shall be no requirement to operate transmitting equipment from one manufacturer with receiving equipment from another and, depending on the deployment conditions.

To be compatible with certain constraints given by existing installations and/or deployments already made with systems from other manufacturer or for different FS applications, new systems on the same path may be subject to additional requirements, other than those derived for a single manufacturer or same application environment.

NOTE: This does not imply that when a single manufacturer is involved there are no similar requirements; however, they do not need standardization because many other technical and cost-effective solutions might be flexibly adopted under manufacturer's own responsibility only.

For the purposes of this multi-part deliverable the following set of compatibility requirements between systems has been defined:

- a) There may be a requirement to multiplex different manufacturers' equipment on the same polarization of the same antenna. This will not apply to systems with an integral antenna.
- b) There may be a requirement to multiplex different manufacturers' equipment on different polarizations of the same antenna. This will not apply to systems with an integral antenna.

### 5.3 Transmission capacity and spectral efficiency

See clause 4.1.3 and clause 4.1.7 of ETSI EN 302 217-2 [16].

### 5.4 Performance and availability requirements

Equipment shall be designed in order to meet network performance and availability requirements appropriate for the type of traffic carried in a multimedia network. These network requirements (see note) are foreseen by Recommendations ITU-T G.826 [30] and G.828 [31], by Recommendations ITU-T I.356 [34] and I.357 [35] for ATM transmission and Y.1540 [42] for IP transmission. For transmission of Ethernet frames, network performance requirements of ISO/IEC/IEEE 8802.3™ [20] for Physical Layer Devices shall be referred to.

The events for SDH multiplex and regenerator sections have to be measured according to Recommendation ITU-T G.829 [32].

The performance and availability objectives for any overall radio connections, used in the international or national portion of the digital path, have to be based on the criteria defined in Recommendations ITU-R F.1668 [22] and F.1703 [23] and, for availability of packet based digital paths, in ITU-R F.2113 [24].

The effect of the link design on performance is recognized and the general design criteria specified in Recommendations ITU-R F.752 [i.28], F.1093 [i.30], F.1101 [i.32] and F.1102 [i.33] are to be applied to the digital connection with respect to the propagation scenarios set out in Recommendation ITU-R P.530 [25].

NOTE: An exhaustive list of recommendations for network performance and availability requirements is not in the scope of the present document. The Recommendations referred in this clause are the basic ones for the most common applications in the fixed networks. Due to continuous evolution of the communication technology, other payloads/network applications might require different or new requirements that should be taken into due account in the equipment and link design for such applications.

## 5.5 Environmental profiles

### 5.5.0 Introduction

There are three environmental profiles (see note) to be considered:

- environmental profile defined by its intended use under Directive 2014/53/EU [i.1];
- standardized environmental profiles;
- test environmental profiles.

NOTE: With the generic term of environmental profile, it is here intended any variation of the "external" conditions (e.g. climatic and external primary/secondary power supply sources feeding the equipment to be assessed) that might affect the system parameters.

#### 5.5.1 Environmental profile under Directive 2014/53/EU

The environmental profile applicable to the intended operation of the equipment shall be defined by its intended use. In any case, a minimum environmental profile shall be used when testing the equipment to the requirements of ETSI EN 302 217-2 [16].

#### 5.5.2 ETSI standardized environmental profiles

##### 5.5.2.0 Generality

Testing for conformance is sought also to an ETSI standardized environmental profile, the radio equipment shall be required to meet the environmental conditions and related tests set out in the appropriate part(s) of the multi-part standard ETSI EN 300 019-1-0 [2] to ETSI EN 300 019-2-4 [11], which defines weather protected and non-weather protected locations, classes and test severity.

NOTE: The environmental profile used when assessing equipment to ETSI EN 302 217-2 [16] may differ from any ETSI standardized one (see clause 5.1.1 of ETSI EN 302 217-2 [16]).

Environmental conditions for antennas are not generally included in the scope of ETSI EN 300 019 series (see references [2] through [11]); environmental profiles are left to the intended use specified in the *technical documentation*. However annex A of ETSI EN 302 217-4 [17] gives some generic guidance.

The equipment shall comply with all of the relevant requirements of the ETSI EN 302 217 series at all times when operating within the boundary limits of the chosen operational environmental profile of the equipment.

### 5.5.2.1 Equipment intended for telecommunications applications installed in weather-protected locations (indoor locations)

Equipment intended for telecommunications applications and operating inside weather protected locations shall meet, as minimum, the requirements of the environmental class characterized in clause 4.2 of the ETSI EN 300 019-1-3 [8] for such purpose. The *technical documentation* may indicate other, more demanding, environmental class(es).

### 5.5.2.2 Equipment intended for telecommunications applications installed in not-weather-protected locations (outdoor locations)

Equipment intended for telecommunications applications and operating inside weather protected locations shall meet, as minimum, the requirements of the environmental class characterized in clause 4.1 of the ETSI EN 300 019-1-4 [10] for such purpose. The *technical documentation* may indicate other, more demanding, environmental class(es).

## 5.5.3 Test environment profiles

In the case of Directive 2014/53/EU [i.1], any test, carried out to generate the test report and/or declaration of conformity, required to fulfil any conformity assessment set out by Directive 2014/53/EU [i.1] for radio equipment, shall be carried out with the same principles and procedures, for reference and extreme conditions, specified in clause 5.1 of ETSI EN 302 217-2 [16].

The test report shall be produced according to the procedure specified by article 17 of Directive 2014/53/EU [i.1].

## 5.6 Power supply

### 5.6.0 Introduction

There are two power supply profiles to be considered:

- power supply profile defined for the intended use under Directive 2014/53/EU [i.1];
- standardized ETSI power supply profile.

### 5.6.1 Power supply profile under Directive 2014/53/EU

Compliance with the requirements of ETSI EN 302 217-2 [16] shall apply across the equipment input power supply voltage range defined by its intended use.

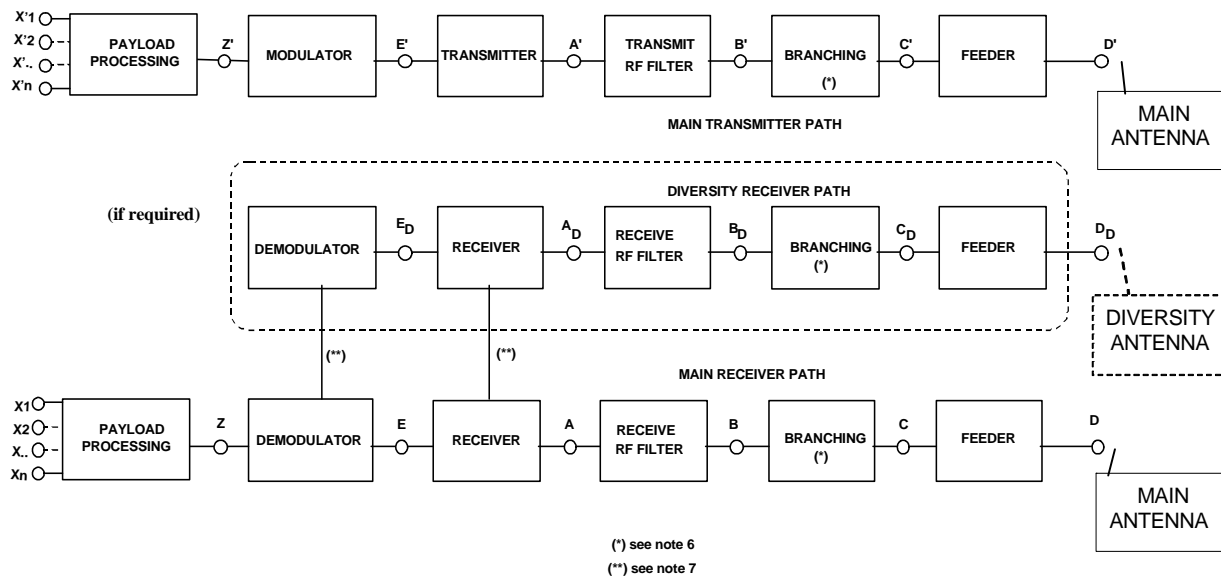
### 5.6.2 ETSI power supply profile

If conformance test is sought also to an ETSI standardized power supply profile, the power supply interface shall be in accordance with the characteristics of one or more of the secondary voltages specified in ETSI EN 300 132-2 [12] and ETSI EN 300 132-3 [13]. When appropriate, in case of remote or local powering of user stations, also ETSI EN 302 099 [15] shall apply.

Minimum power supply profile for testing is specified in clauses 5.2.0 and 5.3.0 of ETSI EN 302 217-2 [16].

## 5.7 System block diagram

The reference points of the system block diagram shown in figure 1 are used in the descriptions of requirements and of test points in the ETSI EN 302 217-2 [16].



- NOTE 1: For the purpose of defining the measurement points, the branching network does not include a combiner.
- NOTE 2: The points shown above are reference points only and do not mandate any implementation; points C and C', D and D' in general coincide.
- NOTE 3: Points B, C, B' and C' may coincide when a simple duplexer is used.
- NOTE 4: Points X1, X2, ... Xn and points X'1, X'2, ... X'n correspond to one or more digital or analogue signal input reference points. They are generically referred to as X and X'.
- NOTE 5: The subdivision of "Payload processing" and the "Modulator/demodulator" blocks is functional and not physical. The first functionally contains the payload processing needed for building up the transport module (e.g. framing, multiplexing and or concentration), the latter functionally contains mo-demodulation, coding-decoding and service signals processing needed for transmission (e.g. error correction algorithms and service channels). Points Z and Z', that might not be physically available, represent the virtual points where the Radio Interface Capacity (RIC), referred in the provisions of annex D of the present document and annex N of ETSI EN 302 217-2 [16], shall be defined.
- NOTE 6: No filtering included.
- NOTE 7: Alternative connection at RF, IF or Baseband level.

**Figure 1: System block diagram**

## 6 Baseband interfaces and parameters

### 6.0 Introduction

The baseband parameters, specified in following clauses, refer to point X and X' of figure 1. Parameters for service channels and wayside traffic channels are outside the scope of the ETSI EN 302 217 series.

One or more of the following clauses is applicable.

#### 6.1 Ethernet interfaces

Ethernet data interface (for e.g. 10 Mbit/s, 100 Mbit/s, 1 Gbit/s and 10 Gbit/s rates) shall be in accordance with the ISO/OSI and physical layer requirements of ISO/IEC/IEEE 8802.3™ [20].

#### 6.2 Plesiochronous interfaces

If applicable, Plesiochronous interfaces at 64 kbit/s, 2 Mbit/s, 8 Mbit/s, 34 Mbit/s and 140 Mbit/s shall comply with Recommendation ITU-T G.703 [26]. Parameters for service channels and wayside traffic channels are outside the scope of this ETSI EN 302 217 series.



## 6.3 Synchronous digital hierarchy interfaces

If applicable, the SDH baseband interface shall be in accordance with Recommendations ITU-T G.703 [26], G.707 [28], G.708 [29], G.783 [i.35], G.784 [i.36] and G.957 [33].

The following STM physical interfaces are possible:

- sSTM-1k and sSTM-2n (Recommendation ITU-T G.708 [29]);
- STM-0 CMI, HDB2, HDB3 electrical (Recommendation ITU-T G.703 [26]);
- STM-1 CMI electrical (Recommendation ITU-T G.703 [26]);
- STM-N optical (Recommendation ITU-T G.957 [33]).

The use of reserved bytes contained in the Section OverHead (SOH), and their termination should preferably be in accordance with Recommendation ITU-R F.750 [i.27]. Further details on the possible use of the SOH bytes including additional RFCOH or RCOH are given in ETSI TR 101 035 [i.2].

## 6.4 Other baseband data interfaces

Other standardized base band data interfaces are possible; for equipment assessment when other base band interfaces are foreseen see annex N in ETSI EN 302 217-2 [16] and clause D.4 of the present document. Those annexes provide the conditions under which the present document specifications can be used for systems with traffic interface combinations other than those specifically mentioned in the present document.

Examples of most common such interfaces are:

- low speed data interfaces in accordance to Recommendations ITU-T V.11 [39], V.24 [40] and/or V.28 [41];
- ISDN interfaces: the transmission of 2 Mbit/s signals using the structure and functions of ISDN primary multiplex signals is to be in accordance with Recommendations ITU-T G.703 [26], G.704 [27] and the requirements summarized in Recommendation ITU-T I.414 [i.37].

The *technical documentation* will describe the data interface offered by the equipment together with the relevant set of applicable international standards.

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# 7 Main requirements

## 7.0 Introduction

The following clauses summarize requirements relevant also for European Harmonised Standard that are further detailed in ETSI EN 302 217-2 [16].

However, for some requirements, the present document also contains additional more stringent limits than those in ETSI EN 302 217-2 [16] for use by network operators that require them for inter system compatibility reasons when deploying new systems on the same routes with existing systems from other manufacturers.

## 7.1 General requirements

### 7.1.1 System identification

Equipment in the scope of the present document shall refer to a coherent set of transmitter and receiver requirements uniquely defined on the basis of the following identifying parameters:

- Operating frequency band.
- Operating radio frequency channel separation.

- Spectral efficiency class, to which is associated the minimum RIC density, as defined in clauses 4.1.3 and 4.1.7 of ETSI EN 302 217-2 [16].
- Actual maximum total RIC transmitted over the channel with the selected spectral efficiency class.

## 7.1.2 System nominal loading

The specified transmitter and receiver characteristics shall be met with the appropriate baseband signals summarized in table 5 applied at reference point X' and received from reference point X of figure 1.

**Table 5: Baseband test signals**

Type of baseband signal interface at X/X'	Test signal to be applied according to:
PDH	PRBS Recommendation ITU-T O.151 [36]
SDH	Recommendation ITU-T O.181 [37]
ATM	Recommendation ITU-T O.191 [38]
Ethernet interface (packet data)	ISO/IEC/IEEE 8802.3™ [20]
Other than the above	Relevant standards which the interface refers to

## 7.1.3 Environmental profile

The environmental profiles for the testing of equipment according to its intended use are specified in clause 5 of ETSI EN 302 217-2 [16]. Other background on environmental profiles is given in clause 5.5 of present document.

## 7.2 Transmitter characteristics

### 7.2.1 Transmitter power and power tolerance

#### 7.2.1.1 Transmitter maximum power and EIRP

The Transmitter maximum power and EIRP are specified in ETSI EN 302 217-2 [16].

For guidance, in addition to the absolute maximum transmitter power, typical values of transmitter highest power for real equipment, of feeder loss and length, and of antenna diameter and gain are provided in ETSI TR 102 243-1 [i.3] in order to support inter-systems and intra-system compatibility and sharing analysis.

In some frequency bands, or parts of frequency bands, Recommendations ITU-R define specific limits in terms of output power and/or EIRP (or output power and/or EIRP density) in order to improve the compatibility with other Radio Services sharing these frequency bands with the FS.

An additional capability for output power level adjustment may be required for regulatory purposes in which case the range of adjustment, either by fixed or automatic attenuators, should be in increments of 5 dB or less.

In particular, for the band 18 GHz, the FS shall, where practical, implement the appropriate mitigation techniques as required in CEPT/ERC/DEC(00)07 [1]. See annex F.

#### 7.2.1.2 Transmitter combined output power and EIRP limits

When relevant in some frequency bands, these combined limits are specified in ETSI EN 302 217-2 [16].

#### 7.2.1.3 Transmitter output power environmental variation

The power variation around the nominal output power within the associated environmental profile shall be indicated in the *technical documentation*. For relevant limits see ETSI EN 302 217-2 [16].

**Additional, voluntary limitation:**

It should be taken into consideration that, in general, the declared profile for Directive 2014/53/EU [i.1] assessment might not be coincident with the ETSI standardized ones in ETSI EN 300 019 series (see references [2] through [11]), which have been specifically designed for telecommunication equipment in various deployment situations. Therefore, the manufacturer may decide to comply with equal or more stringent limits for operation of the system over some ETSI standardized environmental condition for which the system is designed to operate. Limits for this case are reported below.

The tolerance of the nominal output power shall be:

- a) Systems operating within class 3.1 or class 3.2 of weather protected locations defined in ETSI EN 300 019-1-0 [2] and ETSI EN 300 019-1-3 [8].

The nominal output power, when specified, shall be within  $\pm A$  dB value reported in table 6.

- b) Systems operating within one or more of non-weather protected locations class 4.1 and class 4.1E, defined in ETSI EN 300 019-1-0 [2] and ETSI EN 300 019-1-4 [10], and/or within class 3.3, class 3.4 and class 3.5 (particular extreme conditions of weather protected locations) defined in ETSI EN 300 019-1-0 [2], ETSI EN 300 019-1-3 [8].

The nominal output power shall remain within  $\pm B$  dB value, reported in table 6, within one or more of the above environment classes, specified by the manufacturer.

**Table 6: Power tolerance for indoor operation**

Operating frequency range (GHz)	$\pm A$ (dB)	$\pm B$ (dB)
1 to 2,7	Not defined	+2/-1
3 to 30	$\pm 1$	$\pm 2$
> 31	$\pm 2$	$\pm 3$

## 7.2.2 Transmitter power and frequency control

### 7.2.2.1 Transmitter Power and Frequency Control (ATPC, RTPC and RFC)

#### 7.2.2.1.1 Automatic Transmit Power Control (ATPC)

This functionality is relevant for specific applications and its assessment is described in ETSI EN 302 217-2 [16].

ATPC may be requested as licensing conditions (see note 1) for the following purposes (see note 2):

- a) to enhance network density;
- b) as a mitigation factor for sharing with other Services due to ECC Decisions (see note 3).

NOTE 1: User information: it is expected that Administrations explicitly state whether ATPC is used as a regulatory measure for either frequency coordination or as a mitigation technique to protect other services in its radio regulation interface for notification according to article 8 of Directive 2014/53/EU [i.1].

NOTE 2: User information: license conditions are under administration responsibility; in principle, from technical point of view, when used as mitigation factor, ATPC would not be used to enhance network density because this could invalidate the expected mitigation.

NOTE 3: User information: for example is in the 18 GHz band, where there is sharing between FS and FSS, ATPC will become a mandatory feature for all new equipment to be deployed after the date referred by CEPT/ERC/DEC(00)07 [1], however, that Decision clarify also that actual usage of ATPC will be required by administrations only where practical and depending on local sharing conditions with satellite services and local deployment conditions in existing networks. The ATPC range is not subject to standardization.

In case a), the administration might specify that the transmitter output emission meets the spectrum mask limits set out in clause 4.2.3 of ETSI EN 302 217-2 [16] throughout an ATPC range specified in the license conditions.

The *technical documentation* shall indicate the ATPC range within which the spectrum mask is still fulfilled. The indication should take into account, if relevant, that the ATPC range is often interlaced and interchangeable with the available RTPC range (see note 4).

The spectrum mask shall be tested (additionally to the tests required in ETSI EN 302 217-2 [16] and with the same test method) also with the maximum ATPC attenuation and, if applicable, of the associated maximum RTPC attenuation included (see note 4). This is not applicable for testing the masks for compatibility under the same antenna systems described in annex A; in that case, the maximum TX power should be used, while RTPC can be taken into account on a station-by-station terms.

NOTE 4: Further guidance on ATPC and RTPC operation can be found in ETSI TR 103 103 [i.17].

#### 7.2.2.1.2 Remote Transmit Power Control (RTPC)

This parameter is specified in ETSI EN 302 217-2 [16].

#### 7.2.2.1.3 Transmitter Remote Frequency Control (RFC)

This parameter is specified in ETSI EN 302 217-2 [16].

### 7.2.3 Transmitter Radio Frequency spectrum mask

The limits for the essential portion of RF spectrum density masks are found in ETSI EN 302 217-2 [16].

Additional requirements for spectrum density masks may be necessary in cases where there is a requirement for internal system dependent reasons related only to TX/RX compatibility between equipment from different manufacturers operating on the innermost channels of some channel arrangements (see compatibility requirements in clause 5.2). These more stringent requirements are reported in clause 8.3.2.

### 7.2.4 Transmitter discrete CW components exceeding the spectrum mask limit

#### 7.2.4.1 Transmitter discrete CW components at the symbol rate

This parameter is specified in ETSI EN 302 217-2 [16].

#### 7.2.4.2 Transmitter other discrete CW components exceeding the spectrum mask limit

This parameter is specified in ETSI EN 302 217-2 [16].

### 7.2.5 Transmitter unwanted emissions in the spurious domain

"External" limit for spurious emissions from transmitters are necessary in order to limit interference into other systems operating externally to the system under consideration (external emissions).

This parameter is specified in ETSI EN 302 217-2 [16].

### 7.2.6 Transmitter dynamic change of modulation order

This parameter is specified in ETSI EN 302 217-2 [16].

### 7.2.7 Transmitter radio frequency tolerance

This parameter is specified in ETSI EN 302 217-2 [16].

## 7.2.8 Transmitter emission limitations outside the allocated band

When relevant in some frequency bands, those limits are specified in ETSI EN 302 217-2 [16].

## 7.3 Receiver characteristics

### 7.3.1 Unwanted emissions in the spurious domain

This parameter is specified in ETSI EN 302 217-2 [16].

### 7.3.2 Receiver BER as a function of Receiver Signal Level (RSL)

This parameter is specified in ETSI EN 302 217-2 [16].

### 7.3.3 Receiver selectivity

#### 7.3.3.1 Introduction

The receiver selectivity is defined in terms of receiver BER performance in presence of different C/I situations over the wide range of spectrum including co-channel situation as reference condition.

#### 7.3.3.2 Receiver co-channel, first and second adjacent channel interference sensitivity

Co-channel interference is considered to be that interference from a system fully independent from the one under test (i.e. an "external" system deployed by another operator in the same geographical area and is not related to the "internal" requirement for equipment using XPIC, specified in clause 8.5.3 of the present document).

This parameter is specified in ETSI EN 302 217-2 [16].

First adjacent channel sensitivity is specified in ETSI EN 302 217-2 [16] (see note).

NOTE: User information: for ACCP/CCDP applications of spectral efficiency classes higher than 4L systems in frequency bands below 15 GHz with CS  $\geq$  14 MHz, to cope with differential fading effects on the longer hops in systems operating on adjacent channels on the same route but using different antennas, some literature report that C/I values up to about 10 dB tighter than those reported in ETSI EN 302 217-2 [16] may be necessary. However, additional burden to the assessment is not considered necessary, because this actually depends, link by link, on the hop fading occurrence factor and the ATPC range implemented on all adjacent systems (i.e. the higher is the ATPC common range, the lower is the C/I sensitivity need). The relationship of these parameters on hop performance prediction is not identified.

Second adjacent channel sensitivity is specified in ETSI EN 302 217-2 [16].

#### 7.3.3.3 Blocking (CW spurious interference sensitivity)

This parameter is specified in ETSI EN 302 217-2 [16].

## 7.4 Antenna directional characteristics

Antenna directional characteristics are also relevant for the European Harmonised Standard.

In case *integral* or *dedicated antenna* is used, antenna parameters are specified in ETSI EN 302 217-2 [16].

In cases where a detachable antenna (*dedicated* or *stand-alone*) is foreseen (possibly independently substituted or purchased by the user himself), annex Q of ETSI EN 302 217-2 [16] provides suitable guidelines.

## 8 Complementary requirements

### 8.0 Introduction

Complementary requirements in clause 8 are not relevant for the European Harmonised Standard. However, these requirements are considered useful for correct system operation and deployment when specific deployment conditions or compatibility requirements, as defined in clause 5.2, are present. Compliance to all or some of these requirements is left to manufacturer decision.

Test methods for complementary requirements are also given in ETSI EN 301 126-1 [14]; however, their formal assessment is optional depending on the agreement between manufacturer and the end user.

### 8.1 Branching/feeder requirements

#### 8.1.1 Waveguide flanges (or other connectors)

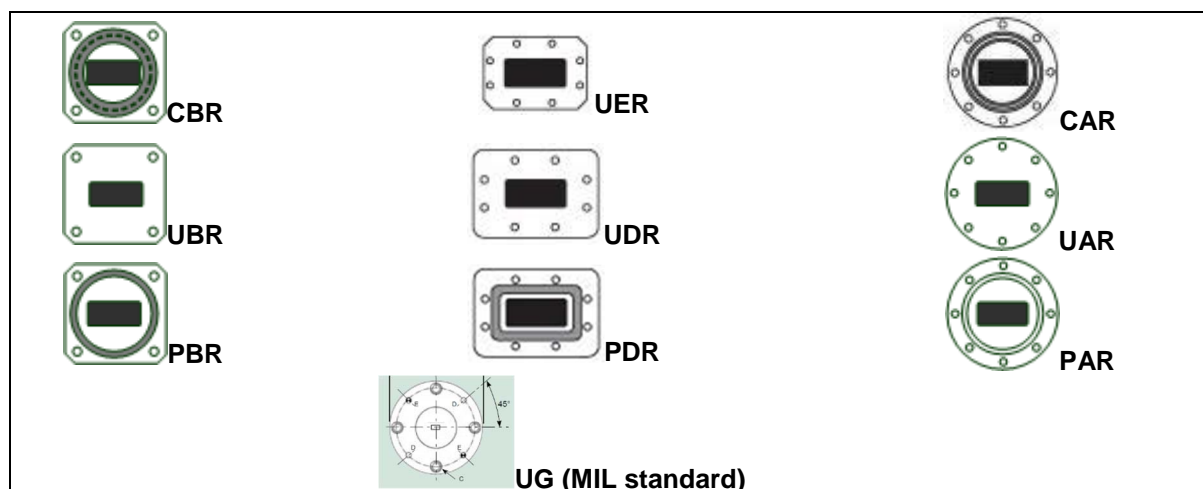
When flanges (or coaxial types) are required at reference point(s) B, B', C and C' of figure 1 of, the following types shall be used:

- UBR/PBR/CBR/CAR (Square flanges) or UDR/PDR/UER (Rectangular flanges) or UAR/PAR (Circular flanges) -XXX (waveguide type reference number) flanges according to EN 60154-2 [i.20] shall be used for the bands and waveguides specified in table 7; for reader convenience figure 2 and note 2 show the representative shapes and relevant codes of the above flanges. When the same band appears covered by two different options, both are admitted, provided that adaptors are available.
- Coaxial connectors can be used, as an option, for all frequency bands (see note 1). The impedance of the coaxial ports shall be nominally 50  $\Omega$ .

NOTE 1: User information: For coaxial connectors, a number of popular standards exist; for example, a range of coaxial connectors referred to in parts 1 and 2 of IEC 60339 [i.22], IEC 60169-1 [i.21], EN 122150 [i.18]. However, it should be noted that these standards are not exhaustive.

**Table 7: Waveguides useable for various frequency bands**

Frequency band(s)	"R XXX" waveguide designation (and its XXX frequency range in GHz) according to EN 60153-2 [i.19]
1,4 GHz and 2,5 GHz bands 2,1 GHz to 2,5 GHz bands	None (Only coaxial connections are commonly used)
3,5 GHz band	Either R 32 (2,6 to 3,95) or R 40 (3,3 to 4,9)
4 GHz band	R 40 (3,3 to 4,9)
5 GHz band	R 48 (3,95 to 5,85)
L6/U6 GHz band	R 70 (5,85 to 8,2)
7 GHz band(s)	Either R 70 (5,85 to 8,2) or R 84 (7,05 to 10)
8 GHz band	R 84 (7,05 to 10)
10,5 GHz and 11 GHz bands	Either R 100 (8,2 to 12,4) or R 120 (10 to 15)
13 GHz band	Either R 120 (10 to 15) or R 140 (12,4 to 18)
15 GHz band	R 140 (12,4 to 18)
18 GHz band	Either R 180 (15 to 22) or R 220 (18 to 26)
23 GHz band	R 220 (18 to 26)
26 GHz band	Either R 220 (18 to 26) or R 260 (22 to 33)
28 GHz band	Either R 260 (22 to 33) or R 320 (26,5 to 40)
31 GHz and 32 GHz bands	R 320 (26,5 to 40)
38 GHz band	R 320 (26,5 to 40)
42 GHz band	Either R 400 (33 to 50) or R 500 (40 to 60)
50 GHz band	R 500 (40 to 60)
52 GHz, 55 GHz and 57 GHz to 66 GHz bands	Either R 500 (40 to 60) or R 620 (50 to 75)
70 GHz and 80 GHz bands (E band)	R 740 (60 to 90)
92 GHz to 114,25 GHz (W band)	R 1200 (90 to 140)
130 GHz to 174,8 GHz (D band)	R 1400 (114 to 173) or R 1800 (145 to 220)



**Figure 2: Waveguide Flange Shapes and coding (see note 2)**

NOTE 2: The flange codes in figure 2 are defined in IEC and EIA normalizations.

IEC flanges are identified by an alphanumeric code consisting of; the letter *U*, *P* or *C* for *Unpressurizable* (plain cover), *Pressurizable* (with a gasket groove) and *Choke* (with both choke gasket grooves); a second letter, indicating the shape and other details of the flange and finally the IEC identifier for the waveguide. For standard rectangular waveguide the second letter is *A* to *E*, where *A* and *C* are round flanges, *B* is square and *D* and *E* are rectangular. So for example UBR220 is a square plain cover flange for R220 waveguide, PDR84 is a rectangular gasket flange for R84 waveguide and CAR70 is a round choke flange for R70 waveguide.

EIA flanges are designated *CMR* (for *Connector, Miniature, Rectangular waveguide*) or *CPR* (*Connector, Pressurizable, Rectangular waveguide*) followed by the EIA number (WR number) for the relevant waveguide. So for example, CPR112 is a gasket flange for waveguide WR112 (equivalent to IEC R84). For highest frequency bands (typically above 70 GHz) also UG circular flanges (MIL, military, standard) standard or compatibly modified, for better coupling precision, are widely used on the waveguide component and test instrument market.

### 8.1.2 Return loss of feeder/antenna systems at equipment antenna port (C/C' reference point)

It is frequent practice that, on links where multi-channel operation is necessary, equipment without integral antennas is connected to feeder/antenna systems of other manufacturer.

For equipment in the scope of the present document, that uses outdoor radio frequency units, which are likely to have integral antennas or similar technical solutions, without long feeder connections, the impact of return loss at the antenna port on system performance is negligible and does not require standardized limits.

For fully indoor systems, which are generally deployed with longer feeder connections to an external antenna, and may be required to operate with respect to compatibility requirements specified in clause 5.2 list items a) and b), the minimum return loss, for negligibly affecting BER and RBER performances, depends on the signal bandwidth and modulation complexity used or, in case of *mixed-mode* systems, chosen as *reference mode*. However, the effectiveness of the used error correction technology also plays a significant effect.

The *technical documentation* will indicate the minimum required return loss of the feeder/antenna systems connected at the antenna port (point C and C' of figure 1).

The *technical documentation* will also indicate the minimum return loss of the equipment antenna port (i.e. towards the equipment), see note.

NOTE: For guidance only, this is typically around 20 dB for waveguide connections and around 15 dB when coaxial connections are considered.

For feeder/antenna return loss information, see ETSI EN 302 217-4 [17].

## 8.2 Intermodulation products

Where a multi-channels branching system is concerned and where the system is intended to comply with compatibility requirement in clause 5.2, each odd order intermodulation product, caused by different transmitters linked to the same branching system, shall be less than -110 dBm referenced to reference point B of figure 1 with output power per transmitter set to the maximum power (see note).

The reference power shall be the maximum power. This clause is not intended for use with any conformance tests, but only, if required, for type tests agreed between user and manufacturer. The measurement, if any, shall be carried out with un-modulated signals of the same power of the mean level of the digital signals.

**NOTE:** The intermodulation effect is generated by the branching system itself that, even if being of passive nature, might still generate intermodulation effects due to flanges, circulators and other passive components. These effects, even if being too low to be of any effect on the transmitted signal (including its unwanted emissions) are still "detectable" by the receivers sharing the same branching system.

## 8.3 Transmitter characteristics

### 8.3.1 Unwanted emissions - internal

This category covers emissions that, only for compatibility of TX and RX digital systems of different manufacturers connected to the same antenna (see clause 5.2), may be required to be more stringent than the "external" emissions detailed in clause 7.2.5.

The levels of the unwanted emissions from the transmitter, referenced to reference point B' of figure 1 are specified in table 8.

The level of spurious emission will be the total mean level integrated over the bandwidth of the channel under consideration.

**Table 8: Internal levels for the transmitter unwanted emissions**

Controlling factor for requirement application	Spurious emission frequency relative to channel assigned frequency	Specification limit
Within receive half band, digital into digital interference on the same local multi-channel branching/antenna system, for digital systems with compatibility requirements as specified in clause 5.2 list item a) (co-polar operation).	All spurious signals within the receive half band	$\leq -90$ dBm
Within receive half band, digital into digital interference for digital systems without branching network (i.e. single transceivers with duplexer), for digital systems with compatibility requirements as specified in clause 5.2 list item b) (cross-polar operation).		$\leq -70$ dBm

### 8.3.2 Transmitter Radio Frequency (RF) spectrum mask when mixed manufacturer compatibility under same antenna is required

For systems, which are intended to comply with compatibility requirement under clause 5.2, to guarantee normal and innermost TX to RX channel compatibility, the TX noise floor and inner side of the innermost transmitter shall offer sufficient attenuation to the locally interfered receiver.

For this purpose the overall Net Filter Discrimination (NFD) should be enough for giving an acceptable threshold degradation to the local receiver; this can be accomplished only with suitable combined filtering of both interfering TX and victim RX (see background in ETSI TR 101 036-1 [i.14]).

Examples of suitable spectrum masks (TX filtering) defined for most common system and channel arrangement are given in annex A of the present document. For corresponding RX filtering see clause 8.4.4.

Their attenuation/frequency parameters are defined in the same way as in clause 4.2.3 of ETSI EN 302 217-2 [16].



The spectrum analyser settings for measuring the RF spectrum mask are shown in table 7 of clause 5.2.3 of ETSI EN 302 217-2 [16].

Since it might be difficult or not practical to make direct measurement of this characteristic, in alternative, the manufacturer shall give the attenuation data of all RF filters, implemented in the TX chain after the transmit power amplifier output, to be eventually added to the spectrum tested in that point (reference point A' in figure 1).

## 8.4 Receiver characteristics

### 8.4.1 Receiver maximum input level and input level range

The input Receiver Signal Level (RSL) range, under flat fading condition, where the BER is kept lower than a specified level (typically  $10^{-6}$  for availability purpose and, for quality purpose,  $10^{-8}$  for system RIC  $\leq 100$  Mbit/s or  $10^{-10}$  for system RIC  $> 100$  Mbit/s) depends on various parameters such as, but not limited to, frequency band, hop length and spectrum efficiency class. In principle, the higher the range, the more flexible is the use of the equipment; however, high capacity systems with complex modulations (e.g. classes 5L and higher) suffer from one side of relatively higher RSL/BER thresholds and from the other side from more sensitivity to non-linear distortion caused by RX chain saturations.

It is also recognized that the higher modes (e.g. class 7 and class 8 and, in some cases, also class 6H or lower classes) are hardly suitable as reference-mode because their very limited fade margin might not be enough to guarantee the required performance and availability objectives in typical links. Therefore, they are likely to be used only during dynamic operation with a lower class reference-mode. Nevertheless, their systems characteristics are also reported for possible use by special equipment or for reference in administrative licensing procedures.

A unique standardized approach is not therefore advisable, nevertheless the necessary fade-margin shall be accommodated (see note); the following "design objectives" are given for guidance only.

NOTE: Enhanced input level range for sensitive modulation formats is currently obtained through ATPC activation.

The limits for the RSL threshold for a BER  $\leq 10^{-6}$  and BER  $\leq 10^{-8}$  or BER  $\leq 10^{-10}$  are specified in the relevant annex of ETSI EN 302 217-2 [16]. The upper limit for the RSL, where the same BER values is experienced, due to non-linear distortions, should be equal to or higher than the values shown in table 9.

However, when the lower BER thresholds, compared to the upper limit levels in table 9, result in a total RSL range of:

- $\geq 50$  dB for BER  $\leq 10^{-6}$
- $\geq 47$  dB for BER  $\leq 10^{-8}$
- $\geq 44$  dB for BER  $\leq 10^{-10}$

the maximum RSL given in table 9 may be reduced accordingly.

When *mixed-mode* systems are concerned the above limits are intended relevant to the *reference mode(s)* only.

These limits apply without interference and are referenced to point B (point B and point C may coincide when simple duplexer is used) of figure 1.

For equipment designed to operate only with ATPC as a fixed permanent feature the above maximum input levels are reduced by an amount up to the ATPC range.

Table 9: Minimum upper input received signal level

Spectral efficiency		BER (see note 1 and note 2)	Minimum upper value of RSL (dBm) (see note 2 and note 3)		
			Frequency Range (GHz)		
Reference index	Class		Up to 19,7 GHz	21,2 GHz to 57 GHz	71 GHz to 86 GHz 92 GHz to 114,25 GHz 130 GHz to 174,8 GHz
1, 2, 3, 4, 5	1, 2, 3, 4L, 4H	$\leq 10^{-6}$	-21	-23	-23
		$\leq 10^{-8}$	-22	-24	-
		$\leq 10^{-10}$ (see note 4)	-23	-25	-25
6, 7	5L, 5H	$\leq 10^{-6}$	-22	-24	-24
		$\leq 10^{-8}$	-24	-25	-
		$\leq 10^{-10}$ (see note 4)	-25	-26	-26
8, 9	6L, 6H	$\leq 10^{-6}$	-23	-25	-25
		$\leq 10^{-8}$	-24	-26	-
		$\leq 10^{-10}$ (see note 4)	-25	-27	-27
10, 11	7, 8	$\leq 10^{-6}$	-24	-26	-26
		$\leq 10^{-8}$	-25	-27	-
		$\leq 10^{-10}$ (see note 4)	-26	-28	-28

NOTE 1: BER =  $10^{-6}$  range for all systems, BER =  $10^{-8}$  range for system RIC  $\leq$  100 Mbit/s or BER =  $10^{-10}$  range for system RIC > 100 Mbit/s.

NOTE 2: In case of *multi-channel* systems, when carrying STM-4 or when carrying payload interface capacity spread on different channels, the test shall be made changing the RSL of all channels simultaneously. For more details see clause O.3 in ETSI EN 302 217-2 [16].

NOTE 3: When ATPC is used as permanent feature, the requirement is intended with ATPC enabled. In this case the values in the column shall be relaxed by the ATPC minimum attenuation.

EXAMPLE: A system with permanent ATPC in the range between 6 dB (minimum) and 20 dB (maximum) is subject to a maximum RSL 6 dB lower than the values in the table (e.g. the -23 dBm becomes -29 dBm).

NOTE 4: BER  $\leq 10^{-9}$  for non-Ethernet-based systems with 64 kbit/s  $\leq$  minimum RIC  $\leq$  192 kbit/s.

## 8.4.2 Unwanted emissions - internal

For systems without the compatibility requirements of clause 5.2 there is no requirement.

When equipment is required to share the same antenna with other equipment, the unwanted emissions limits, referenced to point B of figure 1, are specified in table 10.

The required level is the total mean level integrated over the bandwidth of the channel under consideration.

Table 10: Limits of unwanted emissions-internal

Controlling factor	Specification limit
Unwanted emissions falling in the same receive half-band for systems with compatibility requirements of clause 5.2 list item a).	$\leq -110$ dBm
Unwanted emissions falling in the same receive half-band for systems with compatibility requirements of clause 5.2 list item b).	$\leq -70$ dBm

## 8.4.3 Image rejection

The requirement for a minimum receiver image rejection is not applicable to receivers with direct demodulation.

When down conversion is used, the receiver image(s) rejection shall be as listed in table 11.

**Table 11: Receiver image rejection**

Controlling factor	Image rejection
a) For any image frequency falling within the receive half band while using branching on different polarizations as defined under the compatibility requirements in clause 5.2 list item b).	≥ 90 dB
b) For systems not intended to fulfil any compatibility requirements in clause 5.2 list item a) and/or 5.2 list item b).	Not Applicable
c) For any image frequency falling within the receive half band, while using branching on same polarization as defined in clause 5.2 list item a), or in the transmit half band on different polarization, as defined by the compatibility requirements in clause 5.2 list item b).	≥ 100 dB
d) For any image(s) frequency(ies) falling within transmit half band, while using branching on same polarization as defined by the compatibility requirements in clause 5.2 list item a).	≥ 120 dB

In addition, due to particular conditions of frequency channel arrangements in bands below 3 GHz, independently from requirements in table 11, the receiver image(s) rejection shall be:

- Class 1 and class 2: 75 dB minimum.
- Class 4L: 85 dB minimum.

#### 8.4.4 Innermost channel selectivity

For systems, which are intended to comply with compatibility requirement under clause 5.2, to guarantee innermost TX to RX channel compatibility, the inner side of the innermost receiver shall offer sufficient selectivity on the locally interfering transmitter.

Examples of selectivity masks defined for most common systems and channel arrangements are given in annex A of the present document.

Since it is not considered feasible to make a practical measurement of this characteristic, the manufacturer shall give the design data of all filters (at RF, IF and baseband levels) implemented on the receiver chain of the innermost channels.

### 8.5 System performance without diversity

#### 8.5.1 Equipment Residual BER (RBER)

The RBER limits under simulated operating conditions without interference (see note 1) shall be maintained within the following RSL range:

- Lower RSL limit:  $\leq$  BER threshold  $10^{-6}$  (as specified in clause 7.3.2) + 10 dB.
- Upper RSL limit:  $\geq$  minimum upper limit of RSL for BER  $10^{-6}$  (derived from clause 8.4.1) - 5 dB.
- In any case, the actual guaranteed RBER dynamic range (Upper RSL limits - Lower RSL limit) shall be  $\geq$  5 dB (see note 2).

NOTE 1: User information: To guarantee the degree of service, see clause D.1, the RBER limit is assumed to be met also in presence of adjacent channel interferer at C/I ratio  $\sim$  0 dB or less for ACCP systems and  $\sim$  10 dB or less for ACAP systems. This has not been considered worth of additional requirement in the present document, because modern systems, even the most simple, usually implement highly efficient error correction codes, which render this additional interference burden irrelevant with respect to the RBER. Therefore, additional burden to the assessment is not necessary.

NOTE 2: This requirement is based on the need for the system to have a stable RSL range where the RBER is certainly obtained and where the ATPC enabled RSL can safely be maintained during normal propagation. The RBER dynamic range can therefore be extended through ATPC operation.

All limiting conditions in clause 8.4.1, such as maximum RSL range and permanent ATPC feature, should be taken into account, unless violating bullet c) above (see following examples).

EXAMPLE 1: 7 GHz class 2 system with minimum RIC = 2 Mbit/s, CS = 1,75 MHz,  
 RSL threshold BER  $10^{-6} \leq -93$  dBm (but any declared better value should be used for this example).  
 Maximum required RSL range for BER  $10^{-6} \geq 50$  dB.  
 Upper RSL limit for BER  $10^{-6}$  (from table 9)  $\geq -21$  dBm.  
 Upper RSL limit for BER  $10^{-6}$  (from RSL range)  $\leq -93 + 50 = -43$  dBm.  
 Actual minimum RSL limit for BER  $10^{-6} = -43$  dBm.  
 Maximum RSL requirement (RBER)  $\geq -43 - 5 = -48$  dBm (no permanent ATPC functionality).  
 Maximum RSL requirement (RBER) with permanent ATPC functionality  $\geq -48$  dBm - ATPC (e.g. -54 dBm assuming minimum 6 dB attenuation).

EXAMPLE 2: 80 GHz class 4L system with minimum RIC = 2 Gbit/s, CS = 1 000 MHz,  
 RSL threshold BER  $10^{-6} \leq -52,5$  dBm (but any better value indicated in the *technical documentation* should be used for this example).  
 Maximum required RSL range for BER  $10^{-6} \geq 50$  dB.  
 Upper RSL limit for BER  $10^{-6}$  (from table 9)  $\geq -23$  dBm.  
 Upper RSL limit for BER  $10^{-6}$  (from RSL range)  $\leq -52,5 + 50 = -2,5$  dBm.  
 Actual minimum RSL limit for BER  $10^{-6} = -23$  dBm.  
 Maximum RSL requirement (RBER)  $\geq -28$  dBm (no permanent ATPC functionality).  
 Maximum RSL requirement (RBER) with permanent ATPC functionality  $\geq -28$  dBm - ATPC (e.g. -34 dBm assuming minimum 6 dB attenuation).

EXAMPLE 3: 80 GHz class 6L system with minimum RIC = 11,2 Gbit/s, CS = 2 000 MHz,  
 RSL threshold BER  $10^{-6} \leq -35$  dBm.  
 Upper RSL limit for BER  $10^{-6}$  (from table 9)  $\geq -25$  dBm.  
 Upper RSL limit for BER  $10^{-6}$  (from RSL range)  $\leq -35 + 50 = +15$  dBm (considered unfeasible).  
 Actual minimum RSL limit for BER  $10^{-6} = -25$  dBm.  
 Maximum RSL requirement (RBER)  $\geq -30$  dBm (excluding ATPC functionality).  
 Minimum RBER RSL range:  $-30 - (-35 + 10) = -5$  dB (no RBER RSL range available).  
 10 dB overall improvement on the declared parameters (see note 3) should be provided for respecting requirement of bullet c) above.

NOTE 3: The example uses the "minimum EN requirements"; real equipment is possibly performing better in terms of:

- BER  $10^{-6}$  upper and lower RSL thresholds.
- Reduced lower RSL difference from  $10^{-6}$  to RBER (i.e.  $< 10$  dB).
- Reduced upper RSL difference from  $10^{-6}$  to RBER (i.e.  $< 5$  dB).

The requirement is intended between base-band ports at reference points X' and X shown in figure 1. As the measurement is made on the tributaries, the clause relative to one rate is also applicable to systems for  $n \times$  the same rate (e.g. requirement for 2 Mbit/s is applicable to  $n \times 2$  Mbit/s); however, when the system can be configured with different tributary capacities (e.g. STM-1 or  $63 \times 2$  Mbit/s), the more stringent requirement applies.

In the above conditions the RBER shall be:

- Ethernet and other packet data interface capacity: RBER  $< 10^{-12}$ . However, it is recognized that test equipment on copper-line interface might not have the capability of testing such low BER. In this case alternative methodology could be needed.
- PDH and SDH hierarchic interface capacity:
  - For systems capacity between 64 kbit/s and 192 kbit/s: RBER  $< 10^{-9}$ .
  - For systems capacity above 192 kbit/s and less than 34 Mbit/s: RBER  $< 10^{-10}$ .
  - For PDH systems capacity equal to 34 Mbit/s and less than 140 Mbit/s: RBER  $< 10^{-11}$ .
  - For systems capacity at PDH 140 Mbit/s and SDH up to STM-4 (see note 4): RBER  $< 10^{-12}$ .

NOTE 4: User information: For STM-4 capacity on multi-channel trunk systems at or below 11 GHz (for long radio connections) some operator may require a RBER  $< 10^{-13}$ ; however, this has not been considered as general requirement in the present document. It should also be considered that Recommendation ITU-T G.828 [31] does not define any ES EPO for systems at or above STM-4 capacity.

This requirement is intended for the payload bit rates defined in clause 6 or equivalent payload rates as defined in annex B.

Systems designed for CCDP operation, shall guarantee RBER with its own cross-polar corresponding equipment active and set at a RSL difference, with respect to that under test, of less than 5 dB.

In case of *multi-channel* systems (e.g. *two-channel* system when carrying STM-4 or when carrying  $4 \times$  STM-1, with each STM-1 mixed on both carriers), or similar *channels-aggregation* equipment, the test shall be made changing simultaneously the RSL of all equipment operating on all channels. For more details see clause O.3 in ETSI EN 302 217-2 [16].

This requirement may be indicated in the *technical documentation*. Clause D.1 gives some background information relating to the actual test methods and test confidence is given.

Annex D also provides information for defining the minimum recording time and the maximum numbers of errors not to be exceeded.

## 8.5.2 Multipath distortion sensitivity

### 8.5.2.1 Introduction

Transmission channel distortion due to multipath propagation typically affects the performance and availability of P-P links as function of four parameters:

- The system bandwidth (wider bands are more affected).
- The hop length (longer hops are more affected).
- The operating frequency (lower frequency bands are, in general, more affected because hop lengths are usually longer than in higher bands), see note.
- The modulation format (higher states modulations are more affected).

NOTE: Actually, the formulas in Recommendation ITU-R P.530 [25] imply that, on the same hop length, higher frequencies are more sensitive; however, the opposite effect of reduced length is much more effective.

Therefore, for counteracting multipath effects, P-P receivers, depending on the target operational ranges of those parameters, implement digital adaptive equalizer, which complexity is generally tailored to their operational needs.

It was commonly understood that systems with bandwidth lower than about 14 MHz and with operating frequency higher than about 18 GHz are not significantly affected by multipath. However, the recent standardization in this multi-part deliverable of wider system bandwidth (110/112 MHz from 18 GHz band and above) associated to higher spectral efficiency classes (512/1024/2048QAM with channel separation  $\geq 14$  MHz) suggests that the multipath sensitivity might sometimes extend beyond 18 GHz.

### 8.5.2.2 Requirement

Reference is made to the signature concept, measurement and the representative parameters width (W), depth (Bc) and normalized system parameter (Kn) defined in Recommendation ITU-R F.1093 [i.30] (see note).

Equipment for nominal CS  $\geq 14$  MHz and operating frequency up to the whole 18 GHz band (17,7 GHz to 19,7 GHz) shall have a *signature* (see definition of terms in clause 3.1) within one of the limits provided hereafter.

The *signature* limits are defined as follows:

- For a reference delay ( $\tau_r$ ) of 6,3 ns and a BER of  $10^{-6}$  the *signature* shall exhibit a normalized system parameter (Kn) (see note), calculated with the actually declared *signature* parameters (W and  $B_c$ ) and Symbol Rate (SR) of the system under test, equal to or less than the Kn limits defined in table 12 or table 13, as appropriate.
- The limits are intended as the mean value (i.e. arithmetical sum divided by 2) of Kn separately calculated for the minimum and the non-minimum phase cases.
- The actual *signatures* shall also contain in their area the loss of synchronization and re-acquisition signatures (see EN 60835-2-4 [18] and EN 60835-2-8 [19]).
- The limits are valid also with a notch sweep speed indicated in the *technical documentation*.
- For *mixed-mode* systems the limits apply only for the *reference modes*.

NOTE: For reader convenience, the relationships between W,  $B_c$  and Kn defined in Recommendation ITU-R F.1093 [i.30] are here summarized:

$$Kn = (T^2 \times W \times \lambda_a) / \tau_r$$

where:

T: system baud period (ns) (i.e. equal to 1/SR expressed in Gbaud/s)

W: *signature* width (GHz)

$\tau_r$ : reference delay (ns) for  $\lambda_a$

$\lambda_a$ : average of (linear) *signature* depth ( $\lambda_c$ ) variable with frequency ( $f$ ) as:

$$\lambda_a = \frac{\int_{f=-W/2}^{f=+W/2} \lambda_c(f) df}{W}$$

where:

$$\lambda_c(f) = 1 - b_c(f),$$

$$b_c = 1 - 10^{-B_c(dB)/20}$$

$B_c$ : *signature* depth expressed in dB.

Table 12 gives the limits for SDH single-mode or preset-mode systems, i.e. STM-1/N  $\times$  STM-1/STM4 or equivalent traffic, in 28 MHz, 40 MHz or 56 MHz nominal CS.

Table 13 gives the limits for generic mixed-mode systems (e.g. suitably scaled for constant equalization structure from 4QAM to 2048QAM).

**Table 12: Kn limits for single or preset mode equipment of SDH or equivalent PDH traffic**

Spectral efficiency		Nominal payload Bit-Rate	Nominal CS (MHz)	Maximum Kn (reference to Recommendation ITU-R F.1093 [i.30])
Reference index	Class			
5	4H	STM-1	56	1,0
6	5L	STM-1	40	1,15
7	5H	STM-1	28	1,3
7	5H	2 $\times$ STM-1	56	1,3

**Table 13: Kn limits for the *reference modes* of mixed-mode equipment**

Spectral efficiency		Maximum Kn (reference to Recommendation ITU-R F.1093 [i.30])
Reference index	Class	
2	2	0,30
3	3	0,45
4	4L	0,6
5	4H	0,75
6	5L	0,9
7	5H	1,05
8	6L	1,2
9	6H	As indicated in the <i>technical documentation</i>
10	7	
11	8	

### 8.5.2.3 Assessment

It is recognized that dedicated test equipment for signature is hardly available and might likely completely disappear in future; other non-specific test setups are possible, but they could be complex, bulky and hardly suitable for standardization. On the other hand, the present digital equalizer technology has solved most of the possible hardware problematic that sometimes affected older equalizers techniques.

Therefore, the formal assessment of Kn limits set in previous clause relies only on the design indication in the *technical documentation*.

## 8.5.3 Interference sensitivity for CCDP with XPIC operation

### 8.5.3.1 General

The level and impact of Cross Polar Co-channel Interference depends on the frequency band, class of equipment, climatic conditions, antenna discrimination and hop length. When these factors are favourable, CCDP can be achieved without the use of an XPIC.

Whenever XPIC is implemented for systems operating (permanently or as *reference-mode*) on classes equal to or higher than 5L, with channel separations from 27,5 MHz to 60 MHz the following applies.

The "internal interference" notation is hereby considered to be that given by the twin systems sharing the same XPIC system in absence of any other "external interference".

### 8.5.3.2 Co-channel "internal" interference sensitivity in flat fading conditions

The limits of the co-channel self-interference sensitivity for the system with XPIC functionality activated are given in table 14.

**Table 14: Degradation versus C/I (co-channel "internal" interference)**

Reference BER → RSL Degradation →	10 <sup>-6</sup>	
	1 dB	3 dB
<b>C/I (dB) for class 5L and class 5H equipment</b>	17	13
<b>C/I (dB) for class 6L and class 6H equipment</b>	24	20
<b>C/I (dB) for class 7 and class 8 equipment</b>	As indicated in the <i>technical documentation</i>	As indicated in the <i>technical documentation</i>

Referring to the measurement test bench in clause D.2, the measurements shall be made adding the same values of noise and interference to both the paths, and varying the phase shifter of the interfering path in order to find the worst condition for this characteristic.

## 8.6 System characteristics with diversity

### 8.6.0 Introduction

Clause 8.6 defines requirements where space, angle and frequency diversity techniques are applicable. Only combining techniques are considered.

### 8.6.1 Differential delay compensation

It shall be possible to compensate for differential absolute delays due to antennas, feeders and cable connections on the two diversity paths. The limit shall be at least 75 ns of differential absolute delay.

### 8.6.2 BER performance

When both receiver inputs (main and diversity, reference point B and D of figure 1) are fed with the same signal level with an arbitrary phase difference, the input level limits for the specified BER values defined under clause 7.3.2, shall be lower than those given under clause 7.3.2 for the case without diversity:

- More than 2,5 dB for IF or baseband combining systems.
- More than 1,5 dB for RF combining systems.
- No improvement for baseband switch systems.



# Annex A (normative): Transmitter Radio Frequency spectrum masks and receiver selectivity when mixed manufacturer compatibility is required

## A.0 Introduction

When only one manufacturer provides all the equipment attached to a single antenna, it is the responsibility of that manufacturer to adopt the appropriate measures so as to guarantee local TX and RX compatibility.

When equipment of different manufacturers is connected to the same antenna system, clause 5.2 defines the possible compatibility requirements.

In the latter case, this annex defines the requirements for the noise floor of the normal channels and, for the most common cases of innermost channels of some well-known channel arrangements, the limits for the combined innermost channels spectrum masks and receiver selectivity already standardized.

It should be noted that the limits reported in this annex are necessary only when the innermost TX and RX channels are physically present on the same antenna and they are from different manufacturers. Whenever they are from the same manufacturer, they are not mandatory, but the manufacturer in general declares the maximum degradation [in dB] expected on the BER = 10<sup>-6</sup> threshold of the receiver under the assumptions reported in clause A.2.

ETSI TR 101 854 [i.16] provides the background for the practical calculation of the NFD derived from the TX spectrum mask and RX selectivity, including the possible refined improvement considering the typical spectrum shaping.

For information, the further calculation of the expected BER = 10<sup>-6</sup> threshold degradation can be made from the following equations:

$$\text{Assuming that } K \text{ (dB) represents the I/N ratio } \frac{\text{TX interference into RX bandwidth}}{\text{RX noise power}} \text{ (dB)} \quad (\text{A.1})$$

Where the RX bandwidth spans, in theory, the whole operating band, and includes residual power of all local TX carriers connected to the same antenna.

$$K(\text{dB}) = \{P_{\text{tx}} (\text{dBm}) - \text{TX/RX decoupling} - \text{Other losses} - \text{NFD}\} - \{-114 + 10\log(\text{RX Symbol rate in MHz}) + \text{NF}\} \quad (\text{A.2})$$

Other losses are branching and feeder losses, for instance.

TX/RX decoupling depends on antenna, feeder and equipment antenna port characteristics; the following typical reference values are assumed:

- TX and RX on same antenna port: 25 dB
- TX and RX on cross-polar antenna ports: 45 dB
- TX and RX on separate antennas: 70 dB

Then the BER = 10<sup>-6</sup> threshold degradation is calculated as:

$$\text{Threshold Degradation (dB)} = 10\log\left(1 + \frac{1}{10^{-K/10}}\right) \quad (\text{A.3})$$

EXAMPLE: Commonly used values:  
 K = -10 dB → Degradation ≅ 0,4 dB  
 K = -6 dB → Degradation ≅ 1 dB  
 K = -3 dB → Degradation ≅ 1,8 dB  
 K = 0 dB → Degradation ≅ 3 dB.

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## A.1 Transmitter Radio Frequency masks assessment

Since it is not possible to measure spectrum attenuation values up to 105 dB directly, the relative power spectral density below -65 dB level, shown in figure A.1, figure A.2, figure A.4 and figure A.5 of the present annex, should be demonstrated in the *technical documentation* (see note).

NOTE: User guidance: the values beyond -65 dB may be indirectly evaluated by adding a measured filter characteristic to the spectrum measured at reference point A' (Power amplifier output) of figure 1. Due to the limitations of some spectrum analysers, difficulties may be experienced when testing high capacity/wideband systems. In this event, the following options may be considered: measurement using high performance spectrum analyser, use of notch filters (for blocking the TX carrier power for improving the dynamic range of the analyser) and the two step measurement technique.

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## A.2 Normal channels - Emission mask floor for local TX to RX compatibility

### A.2.1 Spectrum mask

For all channels but the innermost one, the only additional requirement with respect to the spectrum mask defined in ETSI EN 302 217-2 [16] is that the mask floor of the TX emission within the receiver sub-band should, if necessary, be improved until the formulas (A.2) and (A.3) above, calculated with NFD equal to the expected noise floor attenuation, gives an acceptable (see note) BER threshold degradation.

NOTE: Acceptable, means commonly agreed between customer and manufacturer.

EXAMPLE: Assuming:  
 TX output power = +30 dBm,  
 Co-polar TX/RX decoupling (antenna circulator) = 25 dB (compatibility clause 5.2 list item a)).  
 Additional losses (branching circulators) = 1,5 dB.  
 NF = 5 dB.  
 RX Symbol Rate = 22,4 Mbit/s.  
 Acceptable BER threshold degradation  $\leq 1$  dB (i.e. K = -6 dB).  
 Applying formula (A.2) above results in NFD  $\geq 105$  dB.  
 Hence, the TX mask floor spectral density in the RX sub-band should also be extended down to:  
 Relative Spectral density = -NFD = -105 dB.  
 Similarly, when considering:  
 Cross-polar decoupling (antenna diplexer) = 45 dB (compatibility clause 5.2 list item b)),  
 Relative Spectral density = -85 dB.

The most significant examples of spectral density masks limits for normal channels, fulfilling, in clause 5.2, list item a) (for co-polar operation under the same antenna), or list item b) (for cross-polar operation under the same antenna) the compatibility requirements are shown, together with those for innermost channels, in figure A.1, figure A.2, figure A.4 and figure A.5 of the present annex.

### A.2.2 Receiver selectivity

Applying the same formulas and example in clause A.0 above, it is easily understood that the required NFD (105 dB in the example) can only be obtained when the contribution of the residual of the innermost TX carrier is sufficiently reduced by the RX filter so as not to increase the interference generated by the off-carrier spectrum falling directly into the innermost RX band.

Therefore, the total RX filters attenuation on the TX sub-band should be at least of the same entity of the TX spectrum attenuation in the RX sub-band.

Figure A.3, figure A.5 and figure A.7 show the required selectivity for all channels and that for the innermost channel for the different bands covered.

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## A.3 Innermost channels for channel arrangements from about 4 GHz to about 8,5 GHz with channel separation of 28 MHz to 30 MHz

### A.3.0 Introduction

The following clauses refer requirements when channel separations between 28 MHz and 30 MHz are considered. However, it is recognized that, in some cases, it is possible to combine two 28/30 MHz channels for the use of a single 56/60 MHz system. This case has not been addressed with specific mask limits. Nevertheless, the general concepts still apply and suitable masks can be studied and agreed among the concerned actors.

### A.3.1 Innermost channels spectrum masks

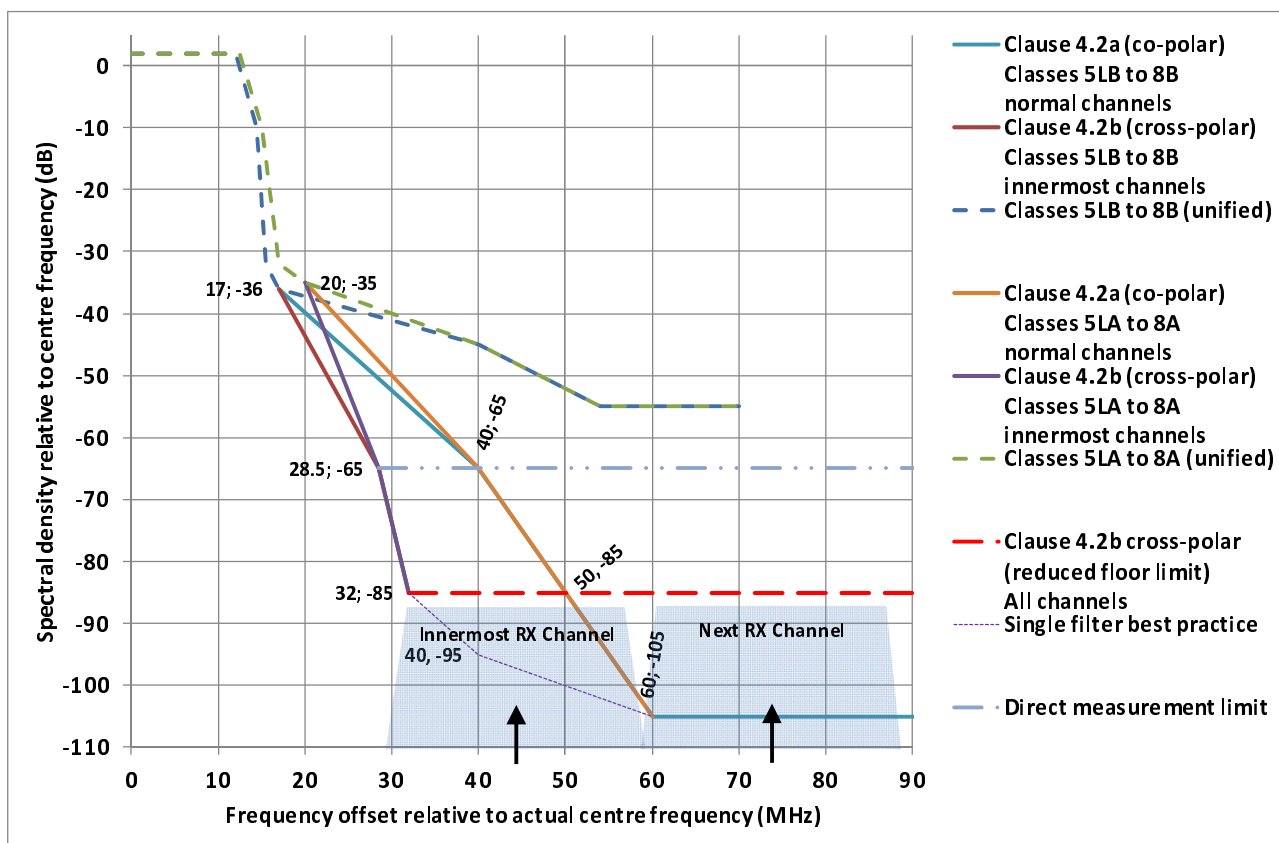
This clause reports only the limits for the most significant and common cases of innermost channel compatibility.

For the lower 6 GHz (L6) band, where the centre gap is 44,49 MHz, figure A.1 shows the limits of masks for normal channels, which are intended to comply with the most stringent compatibility requirement, for normal channels under clause 5.2 list item a) (co-polar operation on the same antenna) and for the inner edges of the centre gap channels 8 and 1' under clause 5.2 list item b) (cross-polar operation of both channels on the same antenna). The masks are specified for equipment with spectral efficiencies equal to or higher than 5L and for sub-classes A and B (see note 1).

When compatibility of the innermost channels under clause 5.2 list item a) is necessary, see note 2.

NOTE 1: This arrangement is defined in CEPT/ERC/REC 14-01 [i.8] and Recommendation ITU-R F.383 [i.23]. It is commonly understood that in this band only high capacity systems are employed; however, if lower spectral efficiency classes are used, the mask are considered valid as well.

NOTE 2: For equipment exploiting CCDP operation, figure A.1 shows that the compatibility, according to clause 5.2 list item a), of the co-polarized innermost systems is not possible (i.e. the required 105 dB spectrum attenuation is not met with conventional single channel filter practice) unless different antennas for H and V channels are used, or additional, high complexity, filtering (typically stop-band) are properly designed and placed on the TX and RX chains. This additional filtering has not been considered suitable for standardization.



**Figure A.1: L6 GHz band, limits of power spectral density for normal channels and the inner edges of the innermost channels (reference point B' of figure 1 of the present document)**

For the 7 GHz and 8 GHz bands, various innermost channels separations are reported ranging from 42 MHz to 63 MHz or larger width. The 42 MHz case foresees only co-polar operations; therefore, the innermost channel compatibility falls in the case described in note 2 above and has not been standardized.

When the centre gap is 56 MHz (channel arrangement according to annex 3 of Recommendation ITU-R F.385 [i.25]), for guaranteeing compatibility between co-polarized signals innermost channels on the same antenna, a mask is specified for the innermost edges of the centre gap channels, the mask is given in figure A.2.

Other innermost separations such as 49 MHz, 58 MHz, 59,5 MHz or 63 MHz (reported in CEPT/ECC/REC(02)06 [i.5]) may be properly derived by scaling figure A.1 or figure A.2.

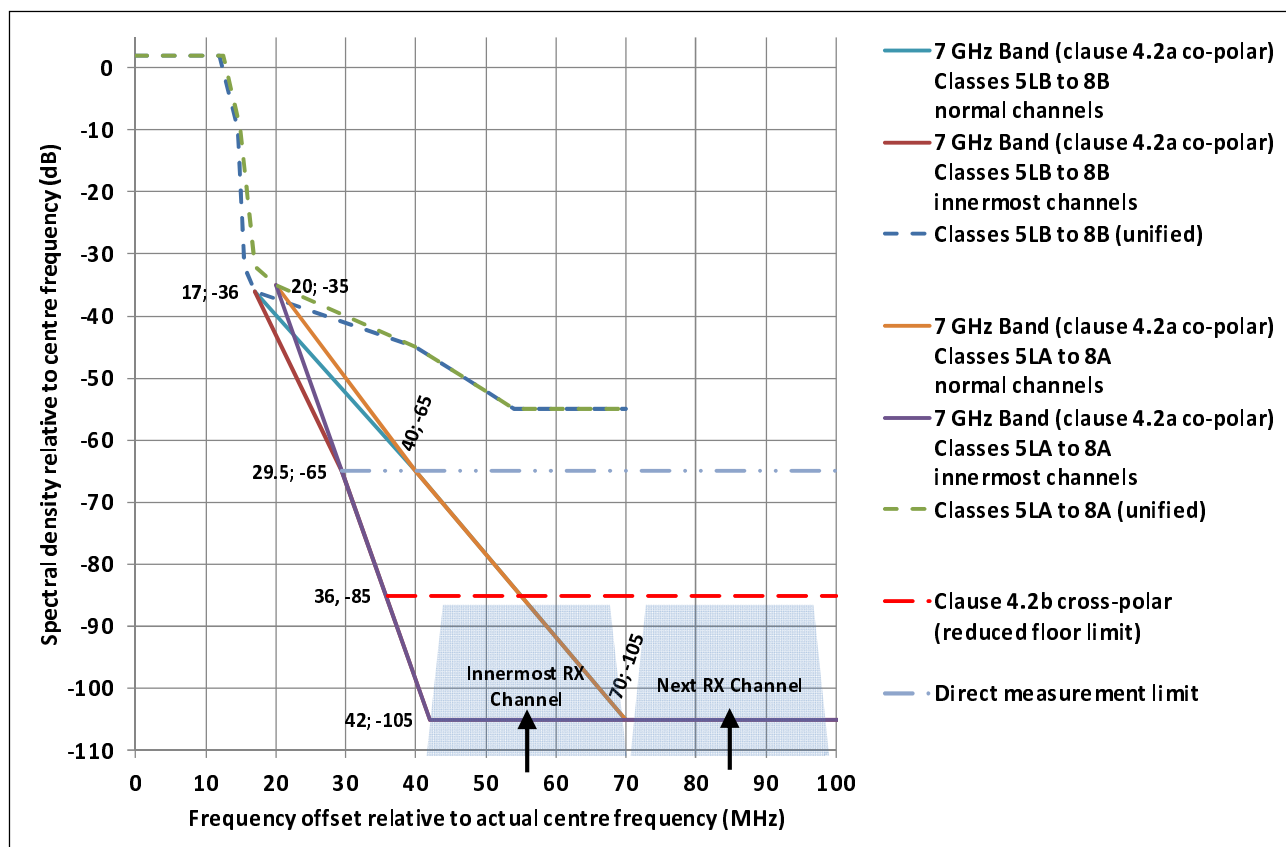


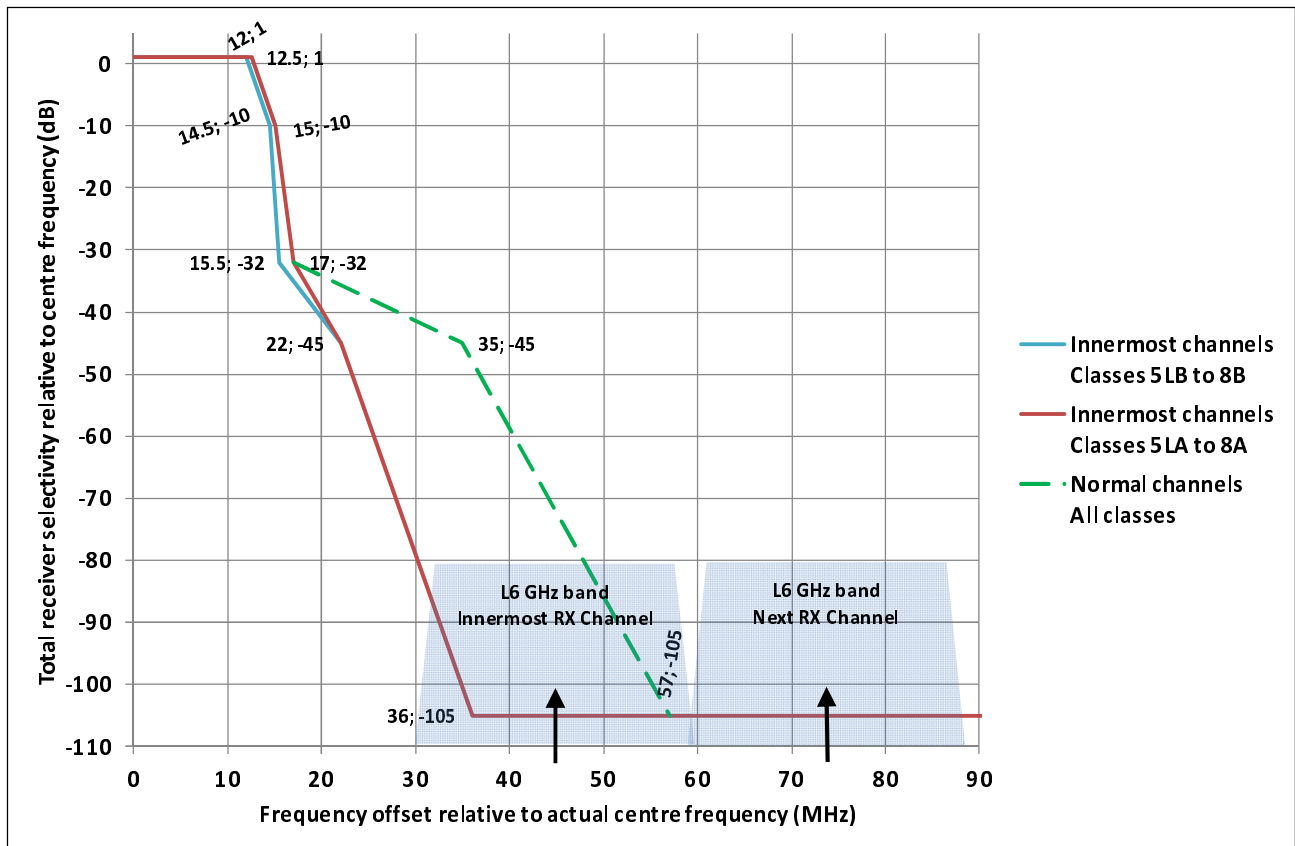
Figure A.2: 7 GHz band with 56 MHz co-polar centre gap, limits of power spectral density for normal channels and the inner edges of the innermost channels (reference point B' of figure 1 of the present document)

### A.3.2 Receiver innermost channel selectivity

Only two general purpose selectivity, variable according to the possible in-band different shaping between "A" and "B" sub-classes, are standardized. Due to the fact that most of the selectivity is usually obtained at IF and BB level, no detailed variants according to the actual centre gap is retained necessary.

For systems which are intended to comply with compatibility requirements under clause 5.2 list item a) and/or list item b), to guarantee innermost TX/RX channel compatibility in L6 GHz band, the inner side of the innermost receiver selectivity (combination of all RF, IF and Base-band filters) shall be within the mask given in figure A.3; figure A.3 shows also the possibly relaxed selectivity for other normal channels.

Since it is not considered feasible to make a practical measurement of this characteristic, the manufacturer shall give the design data of the filters implemented on this receiver.



NOTE: The corner points of the main lobe filter are the same of the relevant spectrum masks apart from the +2 dB (K1 value) segment set to 0 dB; see ETSI EN 302 217-2 [16].

**Figure A.3: Overall minimum receiver selectivity of normal channels and of the inner side of innermost receivers for L6 GHz band (reference point B of figure 1 of the present document)**

## A.4 Innermost channels for channel arrangements from about 4 GHz to 11 GHz with channel separation of 40 MHz

### A.4.0 Introduction

The following clauses refer requirements when channel separation of 40 MHz is considered. However, it is recognized that, in some cases, it is possible to combine two 40 MHz channels for the use of a single 80 MHz system. This case has not been addressed with specific mask limits. However, the general concepts still apply and suitable masks can be studied and agreed among the concerned actors.

### A.4.1 Innermost channels spectrum masks

This clause reports only the limits for the most significant and common cases of innermost channel compatibility.

For the upper 6 GHz (U6) band, where the centre gap is 60 MHz, figure A.4 shows the limits of masks for normal channels, which are intended to comply with the most stringent compatibility requirement under clause 5.2 list item a) (co-polar operation on the same antenna) and for the inner edges of the centre gap channels 8 and 1' under clause 5.2 list item b) (cross-polar operation of both channels on the same antenna). The masks are specified for equipment with spectral efficiencies equal to or higher than 5L and for sub-classes A and B (see note 1).

When compatibility of the innermost channels under clause 5.2 list item a) is necessary, see note 2.

NOTE 1: This arrangement is defined in CEPT/ERC/REC 14-02 [i.9] and Recommendation ITU-R F.384 [i.24]. It is commonly understood that, in this band, 40 MHz channel arrangement is used only for high capacity systems; however, if lower spectral efficiency classes are used, the masks are considered valid as well.

NOTE 2: For equipment exploiting CCDP operation, figure A.4 shows that the compatibility, according to clause 5.2 list item a), of the co-polarized innermost systems is not possible (i.e. the required -105 dB spectrum attenuation is not met with conventional single channel filter practice) unless different antennas for H and V channels are used, or additional, high complexity, filtering (typically stop-band) are properly designed and placed on the TX and RX chains. This additional filtering has not been considered suitable for standardization.

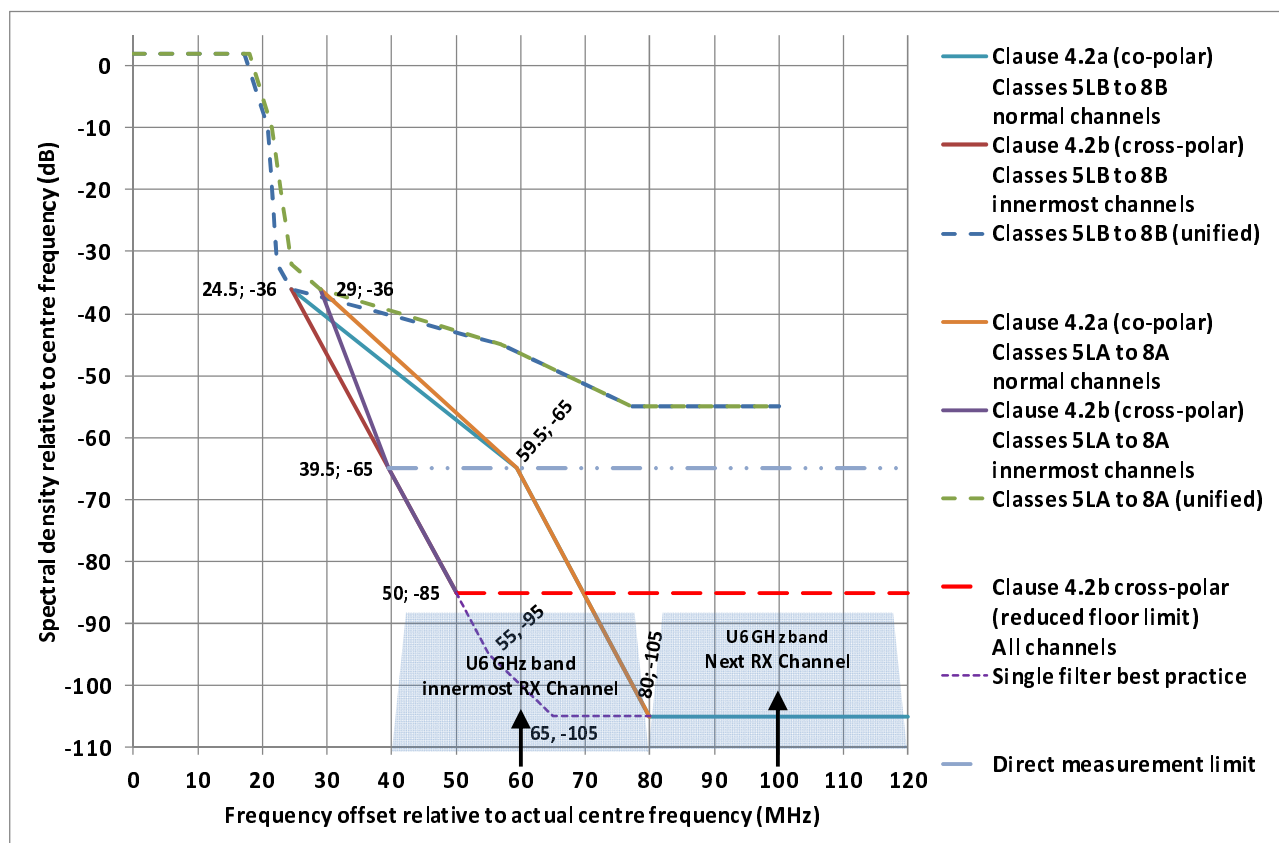


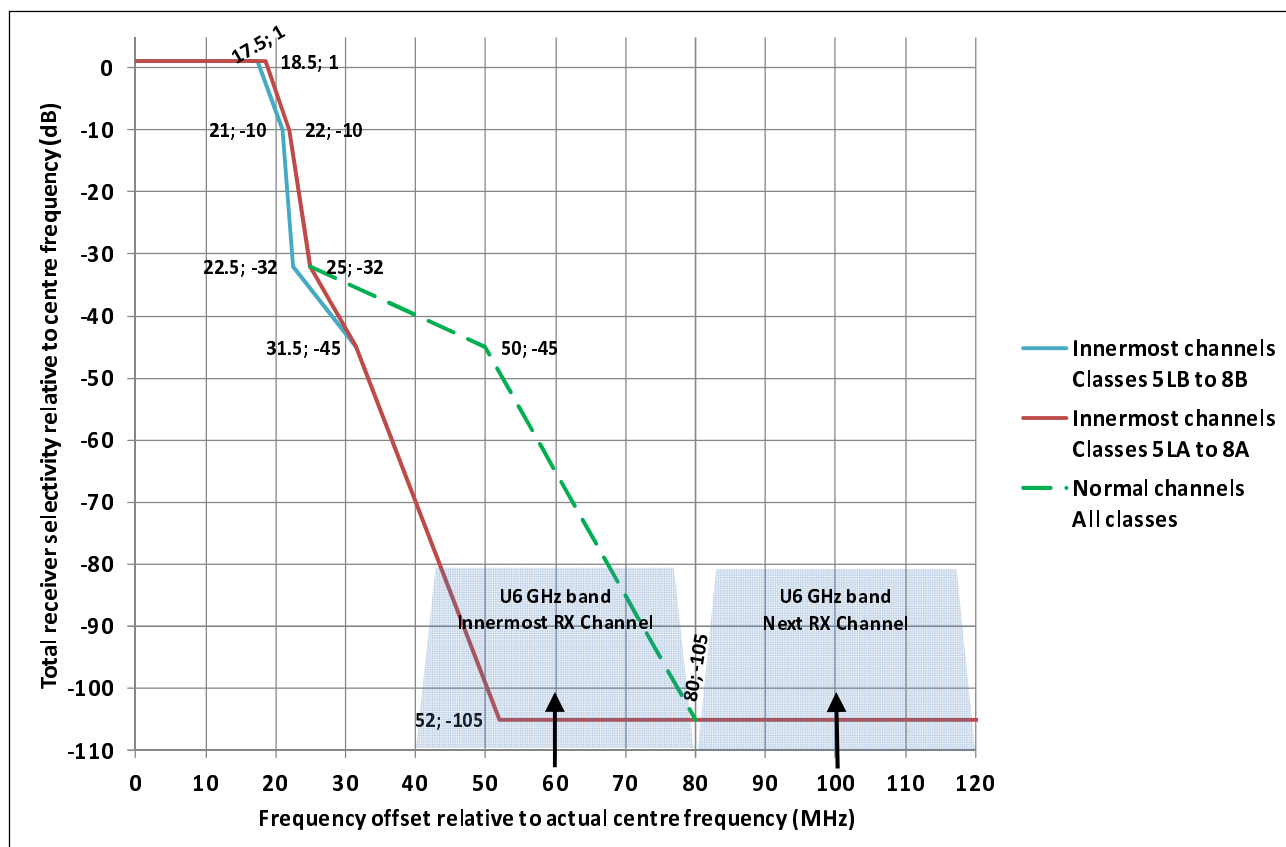
Figure A.4: U6 GHz band, limits of power spectral density for normal channels and the inner edges of the innermost channels (reference point B' of figure 1 of the present document)

## A.4.2 Receiver innermost channels selectivity

Only two general purpose selectivity, variable according to the possible in-band different shaping between "A" and "B" sub-classes, are standardized. Due to the fact that most of the selectivity is usually obtained at IF and BB level, no detailed variants according to the actual centre gap is retained necessary.

For systems which are intended to comply with compatibility requirements under clause 5.2 list item a) and/or list item b), to guarantee innermost TX/RX channel compatibility in U6 GHz band, the inner side of the innermost receiver selectivity (combination of all RF, IF and Base-band filters) shall be within the mask given in figure A.5; figure A.5 shows also the possibly relaxed selectivity for other normal channels.

Since it is not considered feasible to make a practical measurement of this characteristic, the manufacturer shall give the design data of the filters implemented on this receiver.



NOTE: The corner points of the main lobe filter are the same of the relevant spectrum masks apart from the +2 dB (K1 value) segment set to 0 dB; see ETSI EN 302 217-2 [16].

Figure A.5: Overall minimum receiver selectivity of normal channels and of the inner side of innermost receivers for U6 GHz band (reference point B of figure 1 of the present document)

## A.5 Innermost channels for 18 GHz channel arrangements with channel separation of 55 MHz

### A.5.0 Introduction

The following clauses refer requirements when channel separation of 55 MHz is considered. However, it is recognized that, in some cases, it is possible to combine two 55 MHz channels for the use of a single 110 MHz system. The latter case has not been addressed with specific mask limits. However, the general concepts still apply and suitable masks can be studied and agreed among the concerned actors.

### A.5.1 Innermost channels spectrum masks

For the upper 18 GHz band, where, for the 55 MHz channel separation, the centre gap is 130 MHz, figure A.6 shows the limits of masks for normal channels, which are intended to comply with the most stringent compatibility requirement under clause 5.2 list item a) (co-polar operation on the same antenna) and for the inner edges of the centre gap channels 17 and 1' also under clause 5.2 list item a) (co-polar operation of both channels on the same antenna). Two more relaxed masks are also given whenever those channels are subject only to less stringent clause 5.2 list item b) (cross-polar operation on the same antenna). The masks are specified for equipment with spectral efficiencies equal to or higher than 4H and for sub-classes A and B (see note).

NOTE: This arrangement is defined in CEPT/ERC/REC 12-03 [i.4] and Recommendation ITU-R F.595 [i.26]. It is commonly understood that, in this band, 55 MHz channel arrangement is used only for high capacity systems; however, if lower spectral efficiency classes are used, the masks are considered valid as well.



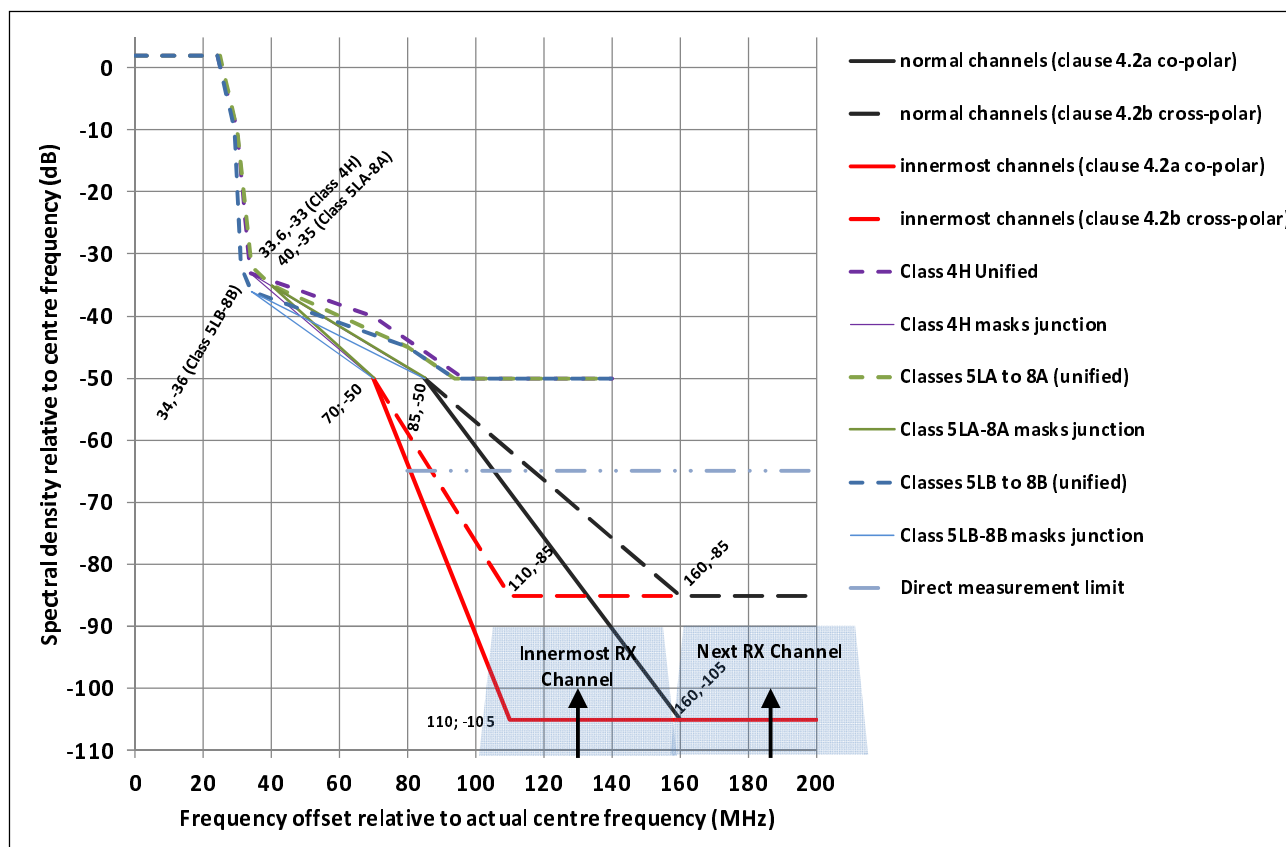
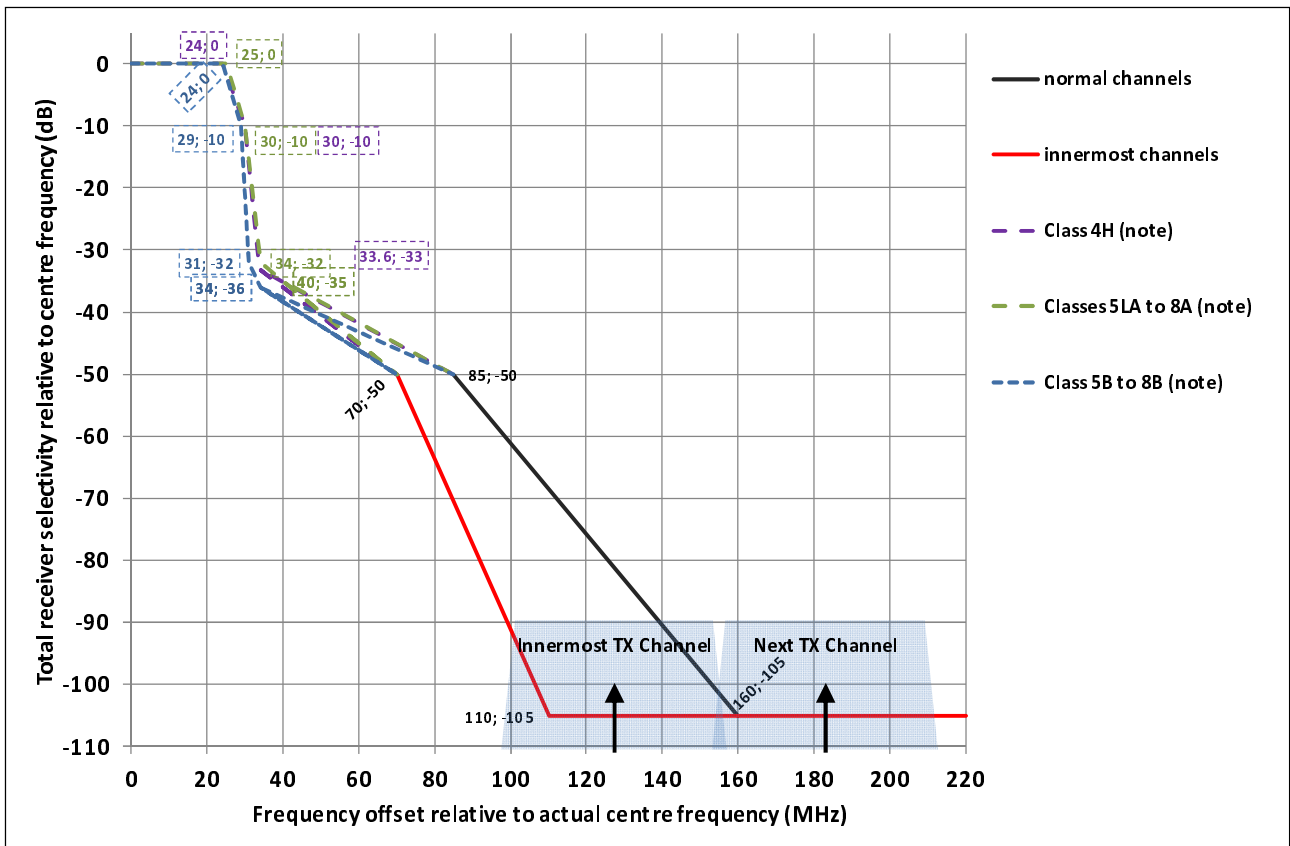


Figure A.6: 18 GHz band, Limits of spectral power density for normal channels and for the inner edges of the innermost channels (at reference point B' of figure 1 of the present document)

## A.5.2 Receiver innermost channels selectivity

Only two general purpose selectivity, variable according to the possible in-band different shaping among equipment classes, are standardized. No detailed variants are retained necessary because most of the selectivity is usually obtained at IF and BB level.

For systems which are intended to comply with compatibility requirements under clause 5.2 list item a) and/or clause 5.2 list item b), to guarantee innermost TX/RX channel compatibility in 18 GHz band, the inner side of the innermost receiver selectivity (combination of all RF, IF and Base-band filters) shall be within the mask given in figure A.7.



NOTE: The corner points of the main lobe filter are the same of the relevant spectrum masks apart from the +2 dB (K1 value) segment set to 0 dB; see ETSI EN 302 217-2 [16].

**Figure A.7: Overall minimum receiver selectivity of normal channels and of the inner side of innermost receivers for 18 GHz band (at reference point B of figure 1 of the present document)**

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## Annex B (normative): Definition of equivalent data rates for packet data, PDH/SDH and other signals on the traffic interface

Annex N of ETSI EN 302 217-2 [16] provides the conditions under which the BER oriented specifications in the present document can be used for systems with traffic interface other than PDH/SDH.

Clause D.4 of the present document gives also information on the BER/FER equivalence.

## Annex C (informative): Information on *Multi-channel* and *Channels-aggregation* differences and operation

### C.1 Multi-channel and Channels-aggregation (two channel case)

Figures C.1, figure C.2 and figure C.3 show the physical and operative differences (for  $N = 2$ ) between "*multi-channels*" and "*channels-aggregation (single or multi-port layout)*" systems.

NOTE: *Multi-carrier* systems are not specifically mentioned because, from their definition, any *multi-channel* or *channel-aggregation* equipment and systems can also be multi-carriers in each of the used channel; therefore, *multi-carrier* characteristic is not significant from operative point of view.

Figure C.1 shows the example of a defined *Multi-channel* system: TWO equipment share the same IN/OUT traffic (generally from a common IDU) over two different channels co-polar or cross-polar (including co-channel CCDP reuse) from a suitable antenna system.

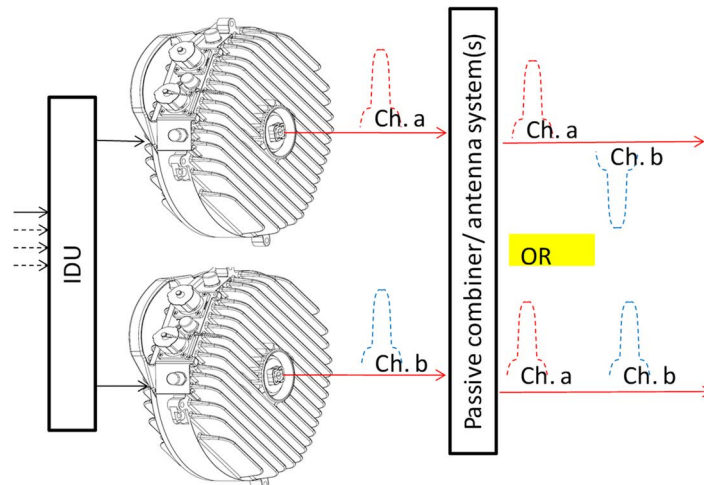


Figure C.1: Two channels examples of *Multi-channel* system

Figure C.2 shows a two channels example of a "*Channel aggregation/Single-port*" equipment: ONE equipment split/combine the IN/OUT traffic (with or without an IDU) produced at single antenna port over two different channels co-polar (from common antenna). In this case the single port provided fits the definition of "*multiple-channel-port*" given in clause 3.1.

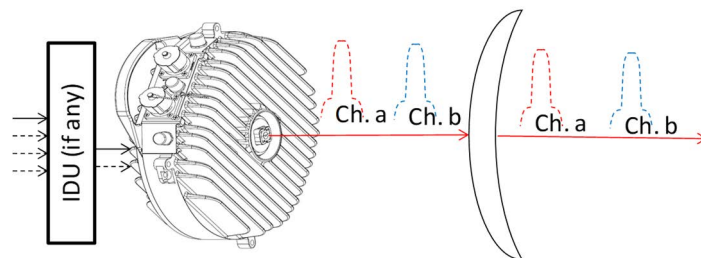


Figure C.2: Two channels example of *channels aggregation/single-port* equipment layout

Figure C.3 shows the example, for  $N = 2$ , of *Channel aggregation/multi-port* (see note) equipment: ONE equipment split/combine the IN/OUT traffic (with or without an IDU) produced at TWO separate antenna ports over two different channels co-polar or cross-polar (including co-channel reuse) of the same link/direction (case A in figure C.3) or even on two directions (case B in figure C.3) at arbitrary polarization (from a suitable antennas systems). In principle, the two channels can be transmitted within the same band (intra-band operation) or in different bands (inter-band operation). In this case both the ports provided fit also the definition of "single-channel-port" given in clause 3.1.

It should be noted that the use over two separate link direction (case B) in figure C.3), would imply more stringent requirements (e.g. due to uncorrelated propagation).

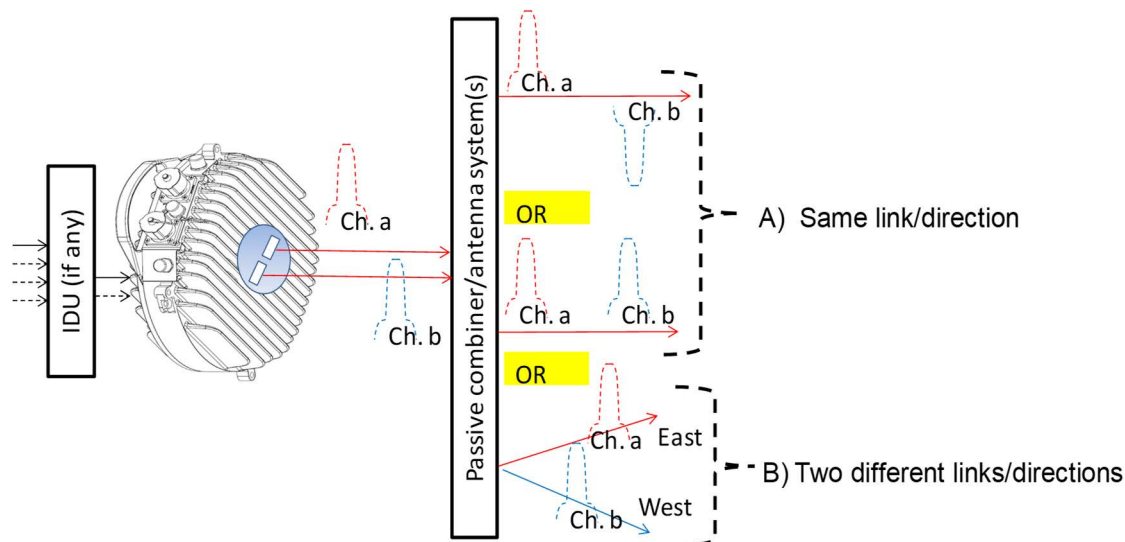


Figure C.3: Two channels example of *Channels aggregation/multi-port* equipment layout

## C.2 Channels-aggregation (more than two channels case)

In principle, when more than two *aggregated channels* are considered in the equipment, the number of ports might equal the number of channels; this could depend on e.g.:

- more than two different nodal directions are foreseen; or
- the *aggregated channels* are in different bands requiring different waveguide interface (e.g. H/V polarization in two different bands for a four *aggregated channels* system).

In same band/same link/same direction case the number of ports, in principle, is only two when H and V polarization are used; however, also in principle, MIMO or space diversity operations might also be considered, raising the number of possible separate ports.

However, for the purpose of the ETSI EN 302 217 series, only two kinds of ports are relevant (see definitions in clause 3.1):

- 1) *Single-channel-port*: an antenna port emitting only one channel;
- 2) *Multiple-channels-port*: an antenna port emitting two channels.

Examples of *channels-aggregation/multi-port* equipment are shown in figure C.4, figure C.5 and figure C.6.

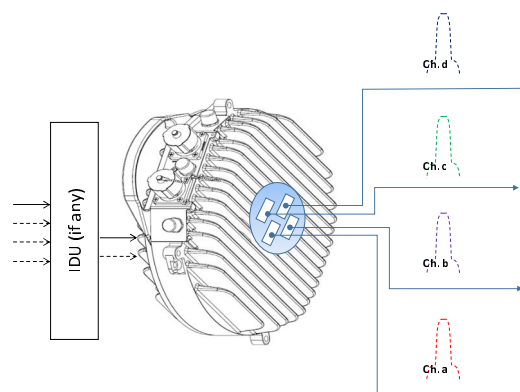


Figure C.4: Example of 4 *channels-aggregation* equipment with *single-channel-ports* only

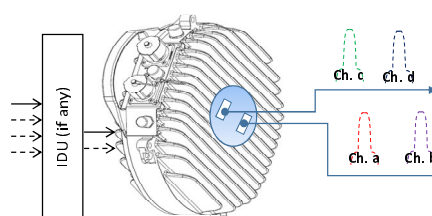


Figure C.5: Example of 4 *channels-aggregation* equipment with *multiple-channels-ports* only

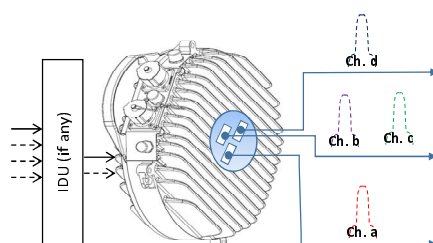
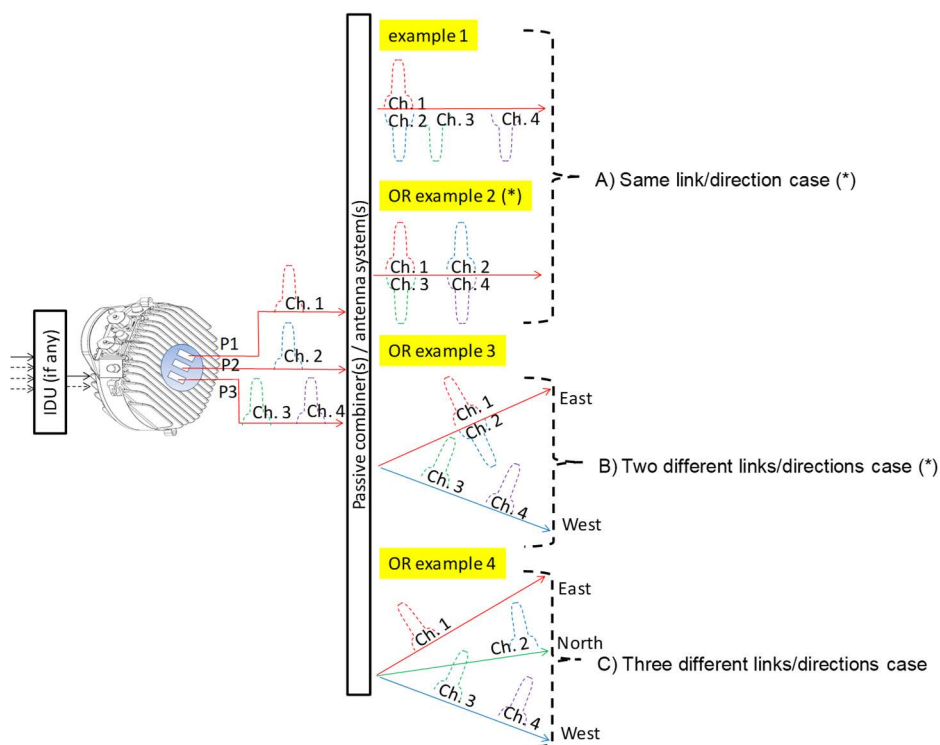


Figure C.6: Example of 4 *channels-aggregation* equipment with both *single-channel-ports* and *multiple-channels-port*

Figure C.7 shows some non-exhaustive examples of how a number of channels ( $N$ ) emitted by a number of ports ( $P_n$ ) may be combined through passive combiners and antennas. Figure C.7 considers a *channels aggregation* equipment with  $N = 4$  and  $P_n = 3$ .

It should be noted that the use over two or more separate links direction (cases B and C in figure C.7), would imply more stringent requirements (e.g. due to uncorrelated propagation).



(\*) Examples reflecting two reused channels with dual-pol antenna; two MIMO reused channels might also be exploited using two antennas same polarization.

**Figure C.7: Non exhaustive examples of four channels aggregation/multi-port equipment layout**

In figure C.7 the four channels are driven over three ports (this subdivision results only from manufacturer analysis of the networks opportunities that could be covered by such equipment design). From the purpose of the present document and the ETSI EN 302 217-2 [16], only the typologies of the ports provided (according to definitions in clause 3.1) are relevant; in the case of figure C.7:

- Ports P1 and P2 are considered *single-channel-ports*.
- Port P.3 is considered *multiple-channels-port*.

A number of different usages within the network are possible depending on the number and kind of passive combiners and antennas that are connected to the three ports carrying the four channels as shown in figure C.7.

## Annex D (informative): Additional information on relevant characteristics and operation

### D.1 Residual Bit Error Ratio (RBER) and Residual Frame Error Ratio (RFER)

In particular applications, where there is a high density of radio links in a specific area, e.g. nodal site, closely located radios may use adjacent channels. Therefore, to guarantee the grade of service, the equipment needs to meet the RBER criteria in the presence of adjacent channel interference.

The RBER is standardized in order to match the ESR (or the BER) performance required by ITU-R transmission performance recommendations.

To have sufficient confidence in the measurement, where the BER is relatively low compared to the actual payload, the test time is very long. To have sufficient confidence in measuring RBER where it is relatively low compared to the actual payload bit rate, the testing period becomes significantly long.

It may be estimated from the formula given in "Calculating Statistical Confidence Levels for Error-Probability Estimates" [1.39]:

$$N = \frac{1}{BER} \left[ -\ln(1-CL) + \ln \left( \sum_{k=0}^E \frac{(N \times BER)^k}{k!} \right) \right] \quad (D.1)$$

Where:  $N = \text{Bit-rate (Hz)} \times \text{recording time (s)}$  is number of bits received with "E" errors detected giving "CL" confidence level of having the given BER.

Formula (D.1) can also be resolved in terms of the CL relative to the numbers (x) of error detected, the following formula is obtained:

$$CL_{(E=x)} = 1 - e^{-\left\{ \ln \left[ \sum_{k=0}^x \frac{(N \times BER)^k}{k!} \right] - (N \times BER) \right\}} \quad (D.2)$$

The formula (D.2) above assumes errors are not created in burst even if error correction is implemented; longer recording times can be used as indicated by the manufacturer depending on actual error distribution due to different modulation and error correction implemented on the system.

When error correction feature is implemented it may be possible to reduce the measurement time by estimating the RBER, from the value tested without error correction, using the relevant BER improvement formula indicated by the manufacturer.

The equipment maximum allowed number of errors is measured under simulated operating conditions with a signal level at reference point B (or C) of figure 1 of the present document, which is 10 dB above the RSL which gives  $BER \leq 10^{-6}$  (as specified in clause 4.3.2 and in ETSI EN 302 217-2 [16]). All measurements should be made at the payload bit rate defined in clause 6.

For a  $CL \approx 50\%$  confidence, the measurement period and maximum number of errors allowed are given in table D.1.



Table D.1: Maximum permitted number of bit errors

Bit rate (Network interface)	RBBER objective	Minimum recording time (hours) (confidence ~ 50 %)	Maximum allowed number of bit Errors
Packet 10 Mbit/s	10 <sup>-12</sup>	102	3
Packet 100 Mbit/s		24	8
Packet 1 000 Mbit/s		3	10
PDH 2 Mbit/s	10 <sup>-10</sup>	17,25	12
PDH 8 Mbit/s	10 <sup>-10</sup>	4,25	12
PDH 34 Mbit/s	10 <sup>-11</sup>	9,5	11
PDH 140 Mbit/s	10 <sup>-12</sup>	23,5	11
SDH up to STM-1	10 <sup>-12</sup>	23	12
SDH STM-4	10 <sup>-12</sup>	5	10
	10 <sup>-13</sup>	50	10

Another more practical option is to ensure that no errors (or any defects) occur during the minimum recording time. It can be calculated with the formula (D.2); when putting  $E = 0$  the formula is highly simplified and the results with CL ~ 63 % confidence (see note) are shown in table D.2 for PDH and SDH signals and table D.3 for packet data signals; for other rates (possibly used under the provision of annex F) values may be extrapolated from the closest ones.

NOTE: While this method is faster, the confidence level drops sharply when one single error is detected.

Table D.2: PDH and SDH rates - Zero errors/defects recording times

Bit rate (Mbit/s) (Network interface)	RBBER objective	Minimum recording time (minutes) (confidence ~ 63 %)	Errors/defects
PDH 2	10 <sup>-10</sup>	82	0
PDH 8	10 <sup>-10</sup>	20	0
PDH 34	10 <sup>-11</sup>	50	0
PDH 140	10 <sup>-12</sup>	113	0
SDH STM-1	10 <sup>-12</sup>	108	0
SDH STM-4	10 <sup>-12</sup>	27	0
SDH STM-4	10 <sup>-13</sup>	270	0

Table D.3: Packet data rates - Zero errors/defects recording times

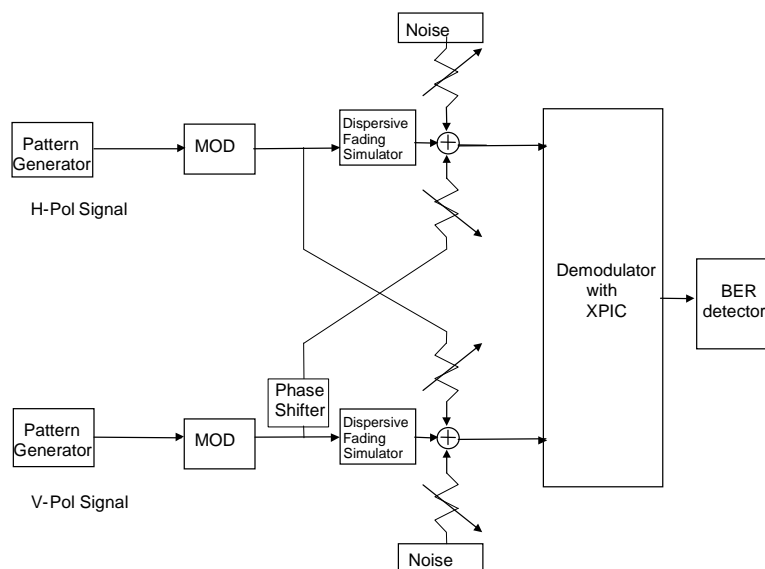
Bit rate under test (Mbit/s) (see note 2)	RBBER objective	Equivalent FER (see note 1)	Minimum recording time (minutes) (confidence ~ 63 %) (full loading) (see note 2)	Errors/defects
10	10 <sup>-10</sup>	5 × 10 <sup>-8</sup>	17	0
	10 <sup>-11</sup>	5 × 10 <sup>-9</sup>	170	0
	10 <sup>-12</sup>	5 × 10 <sup>-10</sup>	1 700 (28 hours)	0
100	10 <sup>-11</sup>	5 × 10 <sup>-9</sup>	17	0
	10 <sup>-12</sup>	5 × 10 <sup>-10</sup>	170	0
1 000	10 <sup>-12</sup>	5 × 10 <sup>-10</sup>	17	0
	10 <sup>-13</sup>	5 × 10 <sup>-11</sup>	170	0

NOTE 1: 64 octets Ethernet frame calculated according to formulas in clause D.4.

NOTE 2: In case the actual system capacity does not allow full load capacity the recording time is increased by a factor calculated  $\frac{\text{full load capacity}}{\text{actual system capacity}}$  (e.g. for a 10baseT load transported on an 8 Mbit/s system rate the recording time will become  $17 \times 10/8 \approx 21$  minutes).

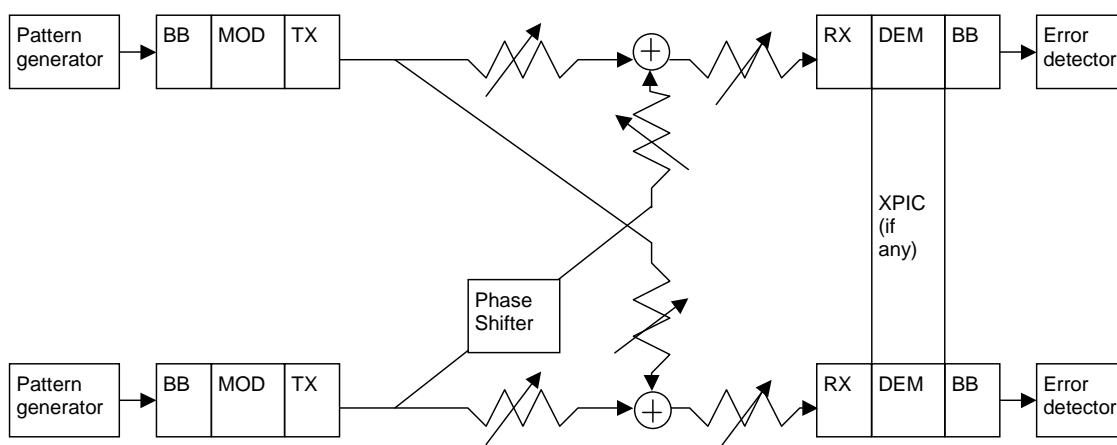
## D.2 Measurement test set for XPI characteristics

In figure D.1, a measurement set-up is defined that allows simulating wanted signals affected by flat and/or dispersive fading conditions in the presence of XPI (Cross Polar Interference) where level and phase can be varied.



**Figure D.1: IF measurement test set**

When only not-dispersive tests are considered, an alternative, full RF, test set-up, that allows simulating wanted signals affected by flat fading conditions in presence of cross polar interference, which level and phase can also be varied, may be used as shown in figure D.2.



**Figure D.2: RF measurement test set**

## D.3 Differential delay compensation range

When frequency diversity hitless protection is integrated within the radio system it is desirable to provide the means to compensate for differential absolute delays due to antennas, feeders, cable connections, and relative velocities on different RF channels.

For indoor systems with multitrack and multi-vendor physical structure, the range of adjustment of differential absolute delay in the order of about 600 ns with a minimum step size equivalent to the symbol period is considered adequate for most applications. For outdoor and more compact single-vendor structure systems, this capability is under the responsibility of the manufacturer.

## D.4 FER/BER equivalence and FER performance measurement equipment settings (example)

### D.4.1 FER/BER equivalence

FER and BER can be translated as described herein. The "useful" part (i.e. excluding preamble, delimiter and interframe gap) of Ethernet frames can range from 64 octets up to 1 522 octets. For this analysis 64 octet frames are used, based on errors uniformly distributed over the bit stream (see note 1).

NOTE 1: When frames become longer the actual distribution of errors becomes more important. From one side the probability of errored frames is higher; from the other side, due to the fact that error correction codes tend to concentrate errors in bursts, the higher probability of having frames affected by more than one error would reduce again the overall probability of errored frames. Therefore, the 64 octets case is the one that more closely fits with the theory in uniform error distribution; BER/FER translation for longer frames may be produced through specific comparison tests on actual equipment.

An Ethernet frame is considered errored if at least one bit in the "useful" part of the frame is errored. The probability of having errored frames (FER) is the probability of having frames with one error/frame ( $p_{e=1}$ ) plus the probabilities of having two errors/frame ( $p_{e=2}$ ) and so on (see example).

EXAMPLE: For 64 octet frame, assuming a uniform distribution of errors, the probability FER would be:

$$FER = \sum_{i=1}^{i=64 \times 8 = 512} p_{e=i}$$

the probability of having exactly one errored bit in a 64 octet frame  $p_{e=1}$  is:

$$p_{e=1} = BER \times (1 - BER)^{[(64 \times 8) - 1]} \times (64 \times 8)$$

the probability that a frame contains exactly two errors  $p_{e=2}$  is:

$$p_{e=2} = \frac{BER^2 \times (1 - BER)^{[(64 \times 8) - 2]} \times (64 \times 8) \times [(64 \times 8) - 1]}{2}$$

As it can be seen in table D.4 that, at least for  $BER < 10^{-5}$ ,  $p_{e=2}$  is already negligible with respect to  $p_{e=1}$ ; the addenda for higher number of errors/frame are definitely irrelevant. Therefore, it can be assumed that:

$$FER \cong p_{e=1}$$

The formulas above determine (see note 2) the probabilities and the equivalent FER shown in table D.4.

NOTE 2: The FER so calculated may be considered "worst case" because, besides the positive impact of error concentration, the probability that errors happen outside the "useful" part would also improve the real FER (e.g. if Ethernet physical layer is transmitted transparently, the 64 octets frames are actually part of at least 84 octets basic time slots).

**Table D.4: FER/BER equivalence (64 octets per frame)**

Channel BER	Probability of 1 bit error per frame ( $p_{e=1}$ )	Probability of 2 bit errors per frame ( $p_{e=2}$ )	FER ( $\cong p_{e=1} + p_{e=2}$ )
$1 \times 10^{-4}$	$4,86 \times 10^{-2}$	$1,24 \times 10^{-3}$	$4,98 \times 10^{-2}$
$1 \times 10^{-5}$	$5,09 \times 10^{-3}$	$1,30 \times 10^{-5}$	$5,10 \times 10^{-3}$
$1 \times 10^{-6}$	$5,12 \times 10^{-4}$	$1,31 \times 10^{-7}$	$5,12 \times 10^{-4}$
$1 \times 10^{-8}$	$5,12 \times 10^{-6}$	$1,31 \times 10^{-11}$	$5,12 \times 10^{-6}$
$1 \times 10^{-10}$	$5,12 \times 10^{-8}$	$1,31 \times 10^{-15}$	$5,12 \times 10^{-8}$
$1 \times 10^{-12}$	$5,12 \times 10^{-10}$	$1,31 \times 10^{-19}$	$5,12 \times 10^{-10}$
$1 \times 10^{-13}$	$5,12 \times 10^{-11}$	$1,31 \times 10^{-21}$	$5,12 \times 10^{-11}$

## D.4.2 FER equipment settings and measurement techniques (example)

Equipment used: ISO/IEC/IEEE 8802.3™ [20] compliant Ethernet frame test equipment.

The transmitting Ethernet port of the test equipment should be configured to the following settings:

- Mode: Single burst.
- Count: 10 000 000 frames.
- Length: Fixed, 64 bytes.
- Interframe Gap: 96 ns for 1 Gbit/s, 0,96 µs for 100 Mbit/s, 9,6 µs for 10 Mbit/s.

FER can be calculated by the following formula after using the above measurements:

- $FER = 1 - (\text{number of non-errored frames received}) / (\text{number of frames transmitted})$ .

For example if 50 frames are lost or errored then the number of non-errored frames indicated by the test equipment is 9 999 950. The resulting  $FER = 1 - 9\,999\,950 / 10\,000\,000 = 5 \times 10^{-6}$ .

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## D.5 Impact of power control (ATPC and/or RTPC), mixed-mode and bandwidth adaptive operation on spectrum mask and link design requirements

### D.5.0 Introduction

These functionalities have been developed in most fixed radio systems for assisting appropriate network planning and for improving network efficiency and available capacity.

More extensive description of the technical background behind their implementation and use in the network (e.g. deployment, link design and coordination) can be found in CEPT/ECC/Report 198 [i.7] and ETSI TR 103 103 [i.17].

The following clauses give information relevant to the impact of those functions on essential parameters defined in the present document as well as on possible and link design and coordination aspects.

### D.5.1 ATPC and RTPC

#### D.5.1.1 ATPC

Automatic Transmitter Power Control (ATPC) may be useful in some circumstances, e.g.:

- To reduce interference between neighbouring systems or adjacent channels of the same system, while maintaining a high system gain as a countermeasure against multipath or rainfall attenuation.
- To improve compatibility with analogue and digital systems at nodal stations.
- As a mitigation factor for improving sharing with other services.
- To improve residual BER or BBER performance.
- To reduce up-fading problems.
- To reduce transmitter power consumption.

- To reduce digital to digital and digital to analogue distant interference between hops which re-use the same frequency.
- To increase system gain (with possible overdrive conditions with reduced linearity) as a countermeasure against extreme rainfall attenuation.
- In frequency bands where multipath is the dominant propagation factor, to improve adjacent channel protection to differential fading conditions caused by operation of adjacent channels on different antennas on parallel routes (e.g. operated by different operators).

According to the definitions of ATPC power conditions in clause 3.1, ATPC, as an optional feature, is aimed at driving the TX power amplifier output level from a proper "*minimum power*" which facilitates the radio network planning requirements and which is used under normal propagation conditions up to a "*maximum nominal power*" value which fulfils all the specifications defined in the present document.

ATPC may also be used to increase the output power above the "*maximum nominal power*" up to a "*maximum available power*" (as specified, when appropriate, in the *technical documentation*), with the agreement of administrations and operators, during fading conditions. Therefore, when ATPC is disabled, the nominal output power for stable operation is lower than the maximum in dynamic operation with ATPC enabled; this can be useful because in frequency ranges above 13 GHz the main limiting factors are given by non-selective fading events. In such cases ATPC may be employed as a fixed feature (i.e. the ATPC may not be disabled) in order to reach a higher nominal system gain (i.e. defined by the "maximum available power").

For planning considerations in a nodal environment a system equipped with ATPC can be considered to operate at "minimum power".

Care should be taken of the fact that the use of ATPC increases the percentage of time in which the system operates at low receiver signal level; care should also be taken that the threshold of ATPC intervention is designed to be in a RSL region where the BBER is still met, so that, even if the system would remain at constant RSL for higher percentage of time, an increase of Errored Blocks (EB), Background Block Error Ratio (BBER) or Residual BER (RBER) objectives is avoided with respect to a system without ATPC function enabled; additional information may be found in ETSI TR 101 036-1 [i.14].

### D.5.1.2 ATPC and RTPC implementation background

It is worth explaining that, in most practical applications, ATPC and RTPC are realized by a single software programmable function of the system; therefore it is the manufacturer that should declare how the available range of attenuation should be subdivided (and possibly limited) in order to meet the appropriate behaviour of the system described below.

It is important to understand that the total available range of attenuation is, in general, subdivided in two sub-ranges, which, in principle, are independent from any "labelling" as RTPC or ATPC ranges:

- "Initial" Sub-range where the required spectrum mask is still fulfilled.
- "Final" Sub-range where the required spectrum mask is no longer fulfilled.

The ATPC sub-range may be used within two possible scenarios synthesized by table D.5.

Table D.5: ATPC requirements versus licensing conditions

Coordination/licensing conditions	Effect on network	Appropriate system behaviour
No ATPC is imposed but the user(s), under his (their) responsibility, apply an ATPC reduction in a homogeneous area for general improvement of the interference situation.	Interference impact on performance and availability is still evaluated with power at nominal level (no ATPC attenuation is considered in the coordination process); therefore: <ul style="list-style-type: none"> <li>No improvement in the network density.</li> <li>The user, under his own responsibility, might obtain additional margin against the calculated performance and availability objectives.</li> </ul>	The spectrum mask (and consequently NFD) is not relevant in the ATPC range, which can indifferently use "initial" and/or "final" sub-ranges of attenuation.
ATPC is imposed as pre-condition of coordination/licensing (note 1).	Interference impact on performance and availability is evaluated with power reduced by an ATPC range; therefore: <ul style="list-style-type: none"> <li>Improvement in the network density could be obtained (note 2).</li> <li>No additional margin against the calculated performance and availability objectives (note 3).</li> </ul>	The spectrum mask (and consequently NFD) is to be respected in the assumed ATPC range, which is consequently supposed to remain within "initial" sub-range of attenuation.
NOTE 1: The ATPC range is link-by-link dependent, it is usually determined in order to fix the maximum RSL permitted during unfaded periods.		
NOTE 2: In general the use of ATPC pre-condition is possible for new links in a network; if existing links in already dense networks were coordinated without any ATPC, the possible density improvement might be severely reduced.		
NOTE 3: However, in principle and if possible and practical, improvement might still be obtained using the residual ATPC attenuation, under operator responsibility.		

Therefore, from the point of view of equipment use in the network, the RTPC and ATPC "labelling" of the available attenuation range is, in principle, different for the two cases considered in table D.5; figure D.3 summarizes this aspect (see note).

NOTE: The use of ATPC in the license conditions is foreseen in some countries on national basis; in addition, the implementation of ATPC functionality is left to manufacturer choice. Nevertheless, the manufacturer is recommended to define the RTPC/ATPC ranges possibly available for that purpose.

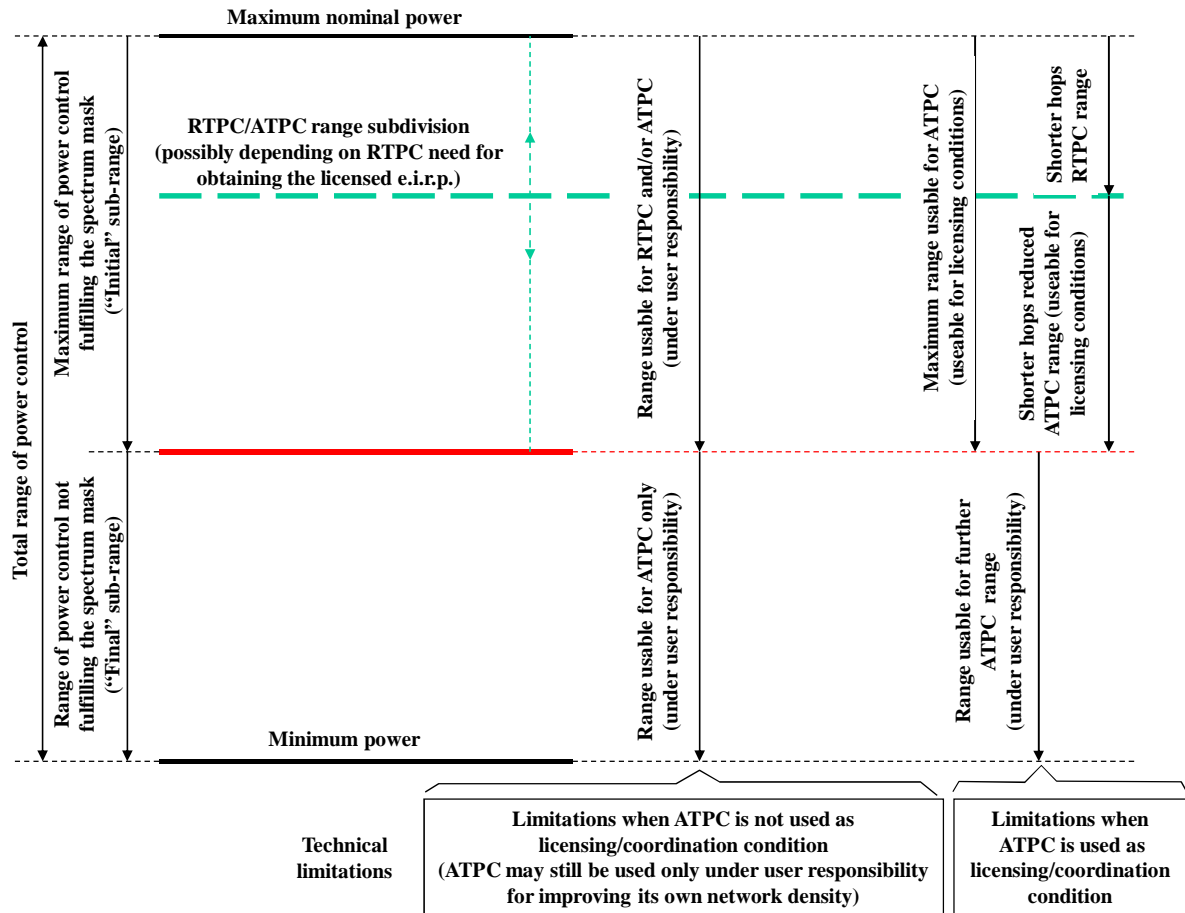


Figure D.3: ATPC/RTPC ranges and licensing/coordination conditions

## D.5.2 Mixed-mode and bandwidth adaptive operation impact

### D.5.2.1 Mixed-mode basic concepts

*Mixed-mode* systems (see note) can dynamically (on the basis of RSL and other built-in quality parameters) smoothly switch between different modulation formats, increasing/decreasing the payload capacity accordingly. At the same time they can manage the TX output power, reducing it for the higher complexity formats that require higher linearity. Therefore, *mixed-mode* systems have also a built-in ATPC functionality.

NOTE: *Mixed-mode* is a notation used in the present document, for commonality with similar concept previously defined for P-MP systems. However, in common point-to-point market practice, these systems are more often identified as "Adaptive Coding and Modulation" (ACM) systems.

*Mixed-mode* technology might be combined with variable (more or less redundant) coding techniques for the same format. In addition, further *bandwidth adaptive* functionality could, in principle, be used (e.g. after reaching the simplest modulation format, the system bandwidth is reduced as described in clause D.5.2.2) for further enhancing the link availability for a very limited portion of payload (beyond the minimum modulation format). However; the possible use of this feature is irrelevant for the technical descriptions in this clause.

The variable capacity of the *mixed-mode* systems in various propagation conditions implies that part of the maximum payload is gradually lost. This also requires that mechanism for defining different priority steps to portion of the payloads should be provided and the *mixed-mode* system should be able to detect it in order to gradually eliminate lower priority parts.

## D.5.2.2 Bandwidth adaptive

### D.5.2.2.1 Basic concepts

*Bandwidth adaptive* systems can dynamically (on the basis of RSL and other built-in quality parameters) smoothly switch between different bandwidth with the same modulation formats, increasing/decreasing the payload capacity accordingly. In principle, the output power is kept constant because no different linearity requirements are present; therefore, differently from *mixed-mode* ACM systems, *bandwidth adaptive* systems might not have ATPC built-in functions.

These systems are mainly used for high capacity systems in EHF bands (e.g. 70 GHz and 80 GHz and higher frequency bands allocated to FS) where the radio frequency technology does not (yet) permit enough TX power and RX sensitivity for producing a sufficient fade margin for operating the maximum capacity on relatively long hops in geographical areas with sensible rain-rate.

In principle, this technology might be combined with *Mixed-mode* functionality (e.g. switching also between PSK and QPSK/QAM). Still in principle, this technology might also be added to (full) (ACM) systems described in previous clause D.5.2.1 for further enhancing the link availability for a very limited portion of payload (beyond the minimum modulation format).

### D.5.2.2.2 Bandwidth (channel) occupancy

When operated in a network requiring coordination (either under administration or user responsibility) the occupied bandwidth or the channel occupancy (when a channel arrangement is provided) and their relevant system characteristics for coordination (*Reference mode*) should be defined for the maximum bandwidth that will be used for the link under consideration.

## D.5.3 Impact on frequency coordination

The possible operative conditions described in detail in CEPT/ECC/Report 198 [i.7] and ETSI TR 103 103 [i.17], in general implies from time to time the change of modulation format, TX output power and bandwidth. Applied on link by link frequency coordinated bands, the above documents consider the implications deriving from the licensed use of the spectrum based on the "*Reference mode*" concept.

## D.5.4 Impact of operating conditions on the access to radio spectrum through European Harmonised Standard

The introduction of *mixed-mode* (adaptive) systems within the frame of the present document will need a specific set of parameters relevant to European Harmonised Standard for access to radio spectrum.

These requirements may be summarized as follows:

- 1) As for any multirate/multiformat equipment, in the scope of the present document, *mixed-mode* systems should demonstrate of being capable of respecting all requirements for each of the rate/format offered (i.e. *mixed-mode* systems are tested as *preset-mode* systems). In this way it is ensured that the any selected "*Reference mode*" (equipment class) can be singularly satisfied (see note).
- 2) A specific set of presetting in terms of matching payload capacity, modulation format and transmit power (including RTPC/ATPC operations, see also clause D.5.1) has to be defined and assessed so that, within a licensed constant channel bandwidth and whichever is the instantaneously used mode (format), the TX spectrum mask, will not exceed that of the "*Reference-mode*" equipment class, as defined in the present document, among any possibly declared ones (which will be used for the link-by-link frequency coordination/licensing process).
- 3) Ensure that requirement 2) above is respected also during dynamic transitions between different modes. A specific requirement and conformance test has been introduced.



- 4) *Bandwidth adaptive* systems should be capable of respecting all requirements for the corresponding maximum bandwidth, which will define the "reference mode" (or multiple "reference modes") when more than one basic licensed channel size may be "pre-set" by the equipment.

NOTE: According to requirement 2), *mixed-mode* systems, when deployed according to the licensing conditions, during dynamic operation dictated by the propagation situation, always respect the spectrum mask of the *reference mode* and the EIRP stated in the licensing conditions. Thus the planning assumptions based on the *reference mode* will always be valid.

## D.6 Typical interference sensitivity behaviour for frequency planning purpose

In annex B to annex L of ETSI EN 302 217-2 [16], for conformity assessment, the requirements for co-channel and adjacent channel(s) are limited to discrete guaranteed points at 1 dB and 3 dB degradation of the RSL for  $\text{BER} \leq 10^{-6}$ .

Similarly, for the RX blocking performance (CW interference) also provided in annex B to annex L of ETSI EN 302 217-2 [16], the conformity assessment is limited to discrete guaranteed point at 1 dB degradation (see note).

NOTE: Blocking performance characteristic is necessary for compatibility with other radio services/applications operating in adjacent band where the Recommendations ITU-R F.1094 [i.31] and F.758 [i.29] provide quite lower degradation limits for RSL for  $\text{BER} \leq 10^{-6}$  (i.e. 0,04 dB, related to maximum I/N ratio of -20 dB, while the 1 dB corresponds to I/N ratio of -6 dB). The 1 dB degradation assessment is still maintained, whenever possible, for stability/repeatability of the test; nevertheless, on field operation, the actual maximum interference level would be quite lower than in the test (about 14 dB less).

Figure D.4 shows the typical behaviour for intermediate points which can be used for frequency planning purpose. Two different plots are given that are dependent on the difference between 1 dB and 3 dB RSL degradation.

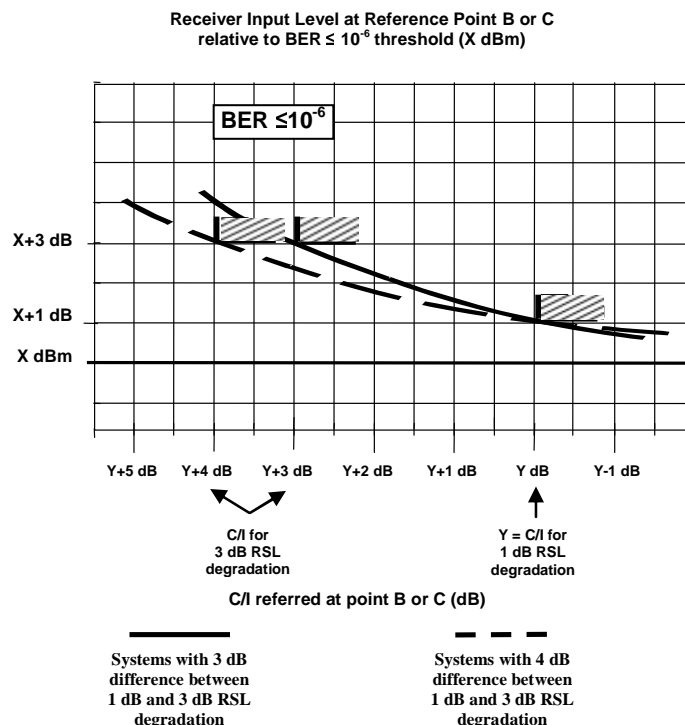


Figure D.4: Interference threshold degradation versus C/I (typical behaviour)

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## D.7 Band and Carrier Aggregation (BCA) operation

Band and Carrier Aggregation (BCA) operation is considered when a combination of *multi-channel* systems or *channels-aggregation (dual band)* systems, with channels typically operating in different bands are carrying an overall payload capacity over the same link (see note 1). In principle all carriers of the BCA system are connected to a common network interface (for Ethernet or other packet based payload) of capacity at least equal (see note 2) to the sum of capacities of all BCA carriers.

The quite different propagation effects in the two bands over the same link, joined to the quite different equipment characteristics, results in quite different availability for each channel composing the BCA system (typically in the range 99 % to 99,999 %). Nevertheless this well fit when carrying packet data that can be subdivided giving different quality traffic; therefore, in combination of *mixed-mode* (ACM) and/or *band adaptive* operation, will maintain very high availability for the high quality data and still giving acceptable quality for other lower or best effort quality data (see note 3).

NOTE 1: It is here assumed that for the assessment of radio equipment BCA operation is not relevant; eventually, the *multi-channel* or *channels-aggregation (dual band)* systems composing the BCA might be considered separately according to their own requirements. This aspect should be dealt with in ETSI EN 302 217-2 [16].

NOTE 2: In principle the network capacity can be higher, provided that the network manager is enabled to limit the capacity to the maximum available through the BCA systems (i.e. with all carriers operating at most efficient modulation formats) and to "profile" down the instantaneous capacity according to the actual capacity available from the BCA system according to propagation effects.

NOTE 3: The potential difference in availability of the different band equipment over the same link should be considered also in the planning and licensing procedure, as appropriate. More information on BCA operation can be found in ETSI GR mWT 015 [i.12].

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## Annex E (informative): Mechanical characteristics

The mechanical dimensions for indoor installations should be in agreement with ETSI EN 300 119 [i.13].

For outdoor installations each of the outdoor units should be weatherproof or weather protected.

NOTE: The following parameters should be taken into account in the design of equipment incorporating an external unit:

- a) maximum weight of external unit;
- b) size of external unit for wind loading considerations;
- c) maximum weight of replaceable units;
- d) ease of access of replaceable units.

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## Annex F (informative): Mitigation techniques referred in CEPT/ERC/DEC(00)07 (18 GHz band)

In accordance with the CEPT/ERC/DEC(00)07 [1] the FS should, where practical, implement the following mitigation techniques:

- a) Automatic Transmitter Power Control (ATPC).
- b) EIRP limited to the minimum necessary to fulfil the performance objectives of the fixed link.
- c) Antennas: use of high performance (low sidelobe) antennas in areas of dense FS deployment.

Equipment manufacturers should consult national regulatory authorities to know which mitigation techniques may need to be implemented (which in some cases are presented also in ECC web site: <http://www.cept.org/ecc>).

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## Annex G (informative): Bibliography

- [Commission Implementing Decision \(EU\) 2019/235](#) of 24 January 2019 on amending Decision 2008/411/EC as regards an update of relevant technical conditions applicable to the 3 400-3 800 MHz frequency band.
- [Commission implementing Decision \(EU\) 2019/784](#) of 14 May 2019 on harmonisation of the 24,25-27,5 GHz frequency band for terrestrial systems capable of providing wireless broadband electronic communications services in the Union.
- [Commission Implementing Decision \(EU\) 2019/1345](#) of 2 August 2019 amending Decision 2006/771/EC updating harmonised technical conditions in the area of radio spectrum use for short-range devices (notified under document C(2019) 5660).

## Annex H (informative): Change history

Version	Information about changes
1.1.3	First Publication.
1.1.4	Editorial changes.
1.2.1	Overall updating (e.g. definitions relevant to adaptive modulation operation, summary tables with new systems and covered bands, references) consequent to significant revisions of Part 2-2 and Part 3 of the ETSI EN 302 217 series.
1.3.1	New overall updating (e.g. definitions relevant to band adaptive operation, summary tables with new systems and covered bands, references split into normative and informative) consequent to further revisions of Part 2-2 and Part 3 of the ETSI EN 302 217 series and changes in ETSI drafting rules.
2.1.1	<ul style="list-style-type: none"> <li>• System options identification has been changed, in line with corresponding changes in Parts 2-1 and 2-2 of ETSI EN 302 217. Old systems notations (A.1, ... B.1, ... C.1, ... D.1, ... E.1 ....) have been removed and the system capacity is defined in terms of minimum Radio Interface Capacity (RIC) rather than previous hierarchic PDH/SDH interfaces. Each equipment in the scope of the present document refers to a coherent set of transmitter and receiver requirements uniquely defined on the basis of the following identifying parameters:               <ol style="list-style-type: none"> <li>1) operating frequency band;</li> <li>2) operating radio frequency channel separation;</li> <li>3) spectral efficiency class, to which the minimum RIC density is associated.</li> </ol> </li> <li>• Cross reference to older "historical" source ENs, no longer of interest has been moved to an annex.</li> <li>• Required new and updated "definitions".</li> <li>• Alignment of summary tables of frequency bands and equipment options introduced in other parts of ETSI EN 302 217 series.</li> </ul>
3.1.1	<ul style="list-style-type: none"> <li>• Alignment of equipment options (CS smaller than 250 MHz in 71 GHz to 86 GHz band) introduced in other parts of ETSI EN 302 217 series.</li> <li>• New definitions and new informative annex C for "<i>channels aggregation</i>" equipment.</li> <li>• Merging the technical content of late ETSI EN 302 217-2-1 V2.1.1 to be superseded.</li> <li>• Complete review for application under Directive 2014/53/EU and publication of new part 4 (as combination of parts 4-1 and 4-2 to be superseded).</li> <li>• Change of Title and scope accordingly.</li> </ul>
3.2.2	<ul style="list-style-type: none"> <li>• Editorial alignment to revision of ETSI EN 302 217-2 V3.2.2.</li> </ul>
3.3.1	<ul style="list-style-type: none"> <li>• Revision of definitions and informative annex C for the introduction of <i>channels-aggregation</i> equipment with number of <i>aggregated channels</i> <math>N &gt; 2</math> emitted from combination of single-channel ports and multiple-channels-ports, with maximum two channels per port.</li> <li>• Added CS = 80 MHz in bands U6 and 11 GHz, 112 MHz in band 11 GHz and CS = 220/224 MHz in bands between 18 and 42 GHz in summary table 2.</li> <li>• In informative annex D, the RSL range where BBER should be respected has been extended for covering very wide band/high modulation index systems.</li> <li>• Introduction in informative annex D of the description of BCA (Band and Carrier Aggregation) systems.</li> </ul>
3.4.1	<ul style="list-style-type: none"> <li>• Introduction of bands in the range 92 GHz to 114,25 GHz (W band) and 130 GHz to 174,8 GHz (D band).</li> <li>• Removal of requirements for bands in the range 57 GHz to 66 GHz.</li> </ul>

## History

<b>Document history</b>		
V1.1.3	December 2004	Publication as ETSI EN 302 217 part 1 and part 2-1
V1.1.4	November 2005	Publication
V1.2.1	June 2007	Publication as ETSI EN 302 217 part 1 and part 2-1
V1.3.1	January 2010	Publication as ETSI EN 302 217 part 1 and part 2-1
V2.1.1	July 2013	Publication
V2.1.1	December 2014	Publication as ETSI EN 302 217 part 2-1
V3.1.1	May 2017	Publication
V3.2.2	February 2020	Publication
V3.3.1	October 2021	Publication
V3.4.0	April 2025	ENAP process <span style="float: right;">AP 20250702: 2025-04-03 to 2025-07-02</span>