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Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards Reference RSR/BOARD-00039

Keywords

IPR

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - APE 7112B Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° w061004871

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# Intellectual Property Rights

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Pursuant to the ETSI Directives including the ETSI IPR Policy, no investigation regarding the essentiality of IPRs, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

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## Foreword

The present document identifies IPRs, particularly patents and patent applications, which have been notified to ETSI as being Essential, or Potentially Essential, to ETSI Standards or Technical Specifications.

The present document has been prepared on the basis of information received. ETSI has not checked the validity of the information, nor the relevance of the identified patents/patent applications to the ETSI standards and cannot confirm, or deny, that the patents/patent applications are, in fact, essential, or potentially Essential. No investigation, or IPR searches, have been carried out by ETSI and therefore no guarantee can be given concerning the existence of other IPRs which are, or may become, essential.

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The present document will be maintained by the ETSI Secretariat and further editions will be issued as, and when, necessary. Any errors in the information contained in the present document, or any additional information concerning Essential IPRs, of which readers of the present document become aware, should be notified to the ETSI Secretariat (for the attention of the Legal Service).

NOTE: The present document is also available at <u>https://www.etsi.org/intellectual-property-rights</u> [i.4].

# Modal verbs terminology

In the present document "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

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### 1 Scope

The present document identifies IPRs, particularly patents and patent applications, which have been notified to ETSI as being Essential, or potentially Essential, to ETSI Standards or Technical Specifications.

Unless otherwise specified, all IPRs included into the present document have been notified to ETSI, with an undertaking from the owner to grant licences according to the terms and conditions of article 6.1 of the ETSI IPR Policy [i.1], Annex 6 of the ETSI Rules of Procedure [i.3].

### 2 References

### 2.1 Normative references

Normative references are not applicable in the present document.

### 2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] <u>ETSI IPR Policy</u>.
- [i.2] <u>ETSI Guide on IPRs</u>.
- [i.3] <u>ETSI Rules of Procedure (RoP)</u>.
- [i.4] ETSI IPR database.
- NOTE: Notification to ETSI of Essential or potentially Essential IPRs should be made using the ETSI IPR database at <u>https://ipr.etsi.org</u>. Additional information can be found at <u>https://www.etsi.org/intellectual-property-rights</u>.
- [i.5] Declare your IPRs to ETSI.
- NOTE: A step by step explanation for filling out the above mentioned forms is to be found in the <u>https://www.etsi.org/intellectual-property-rights/faqs</u>. Additional information can be found at <u>https://www.etsi.org/intellectual-property-rights</u>.

# 3 Definition of terms, symbols and abbreviations

### 3.1 Terms

For the purposes of the present document, the following terms apply:

The terms "ESSENTIAL", "IPR", "STANDARD" and "TECHNICAL SPECIFICATION" given below are defined in the "Definitions" annex of the ETSI IPR Policy [i.1].

**ESSENTIAL:** as applied to IPR means that it is not possible on technical (but not commercial) grounds, taking into account normal technical practice and the state of the art generally available at the time of standardization, to make, sell, lease, otherwise dispose of, repair, use or operate EQUIPMENT or METHODS which comply with a STANDARD without infringing that IPR.

For the avoidance of doubt in exceptional cases where a STANDARD can only be implemented by technical solutions, all of which are infringements of IPRs, all such IPRs shall be considered ESSENTIAL.

NOTE: In practical terms, the existence of an Essential IPR makes it necessary to have a licence in order to exploit the standard concerned.

**IPR:** shall mean any intellectual property right conferred by statute law including applications therefore other than trademarks.

For the avoidance of doubt rights relating to get up, confidential information, trade secrets or the like are excluded from the definition of IPR.

**IPR licensing declaration:** declaration to the effect that the IPR owner declares that he is prepared to grant licences on fair, reasonable and non-discriminatory terms, in accordance with article 6.1 and comply with clause 6.1 bis of the ETSI IPR Policy

**notified:** means any IPR information of which ETSI has been formally notified by the owner of the IPR or, any IPR information of which ETSI has become aware, pursuant to the IPR Policy

**STANDARD:** shall mean any standard adopted by ETSI including options therein or amended versions and shall include European Standards (ENs), ETSI standards (ESs), Common Technical Regulations (CTRs) which are taken from ENs and including drafts of any of the foregoing, and documents made under the previous nomenclature, including ETSs, I-ETSs, parts of NETs and TBRs, the technical specifications of which are available to all MEMBERS, but not including any standards, or parts thereof, not made by ETSI.

The date on which a STANDARD is considered to be adopted by ETSI for the purposes of this POLICY shall be the date on which the technical specification of that STANDARD was available to all MEMBERS.

**TECHNICAL SPECIFICATION:** shall mean any Technical Specification (TS) adopted by ETSI including options therein or amended version including drafts, the Technical Specifications of which are available to all MEMBERS, but not including any technical specifications, or parts thereof, not made by ETSI.

The date on which a TECHNICAL SPECIFICATION is considered to be adopted by ETSI for the purposes of this POLICY shall be the date on which the technical content of that TECHNICAL SPECIFICATION was available to all MEMBERS.

**3GPP standards:** all standards issued by ETSI in relation to 3<sup>rd</sup> Generation Partnership Project (3GPP)

NOTE: From <u>https://portal.etsi.org/home</u>, click on the "3GPP" tab.

BRAN standards: all standards issued by ETSI Project Broadband Radio Access Networks (BRAN)

NOTE: From https://portal.etsi.org/home, click on the "BRAN" tab.

DAB standards: all standards issued by ETSI in relation to Digital Audio Broadcasting (DAB)

NOTE: See <u>https://www.etsi.org/technologies/broadcast</u>.

**DCS 1800 standards:** all standards issued by ETSI in relation to European Cellular Digital - Global System for Mobile Communication - DCS 1800 extensions

NOTE: From https://portal.etsi.org/home, click on the "3GPP" tab.

**DECT/GSM interworking standards:** all standards issued by ETSI in relation to interworking between DECT and GSM

**DECT standards:** all standards issued by ETSI in relation to Digital Enhanced Cordless Telephone (DECT), previously known as Digital Enhanced Cordless Telephone (DECT)

NOTE: From https://portal.etsi.org/home, click on the "DECT" tab.

DTM standards: all standards issued by ETSI in relation to Dynamic synchronous Transfer Mode (DTM)

NOTE: SPAN Technical Body has closed. See <u>https://portal.etsi.org/tb/closed\_tb/span.asp</u>. All SPAN standards can now be found under TISPAN.

DVB standards: all standards issued by ETSI in relation to Digital Video Broadcasting (DVB)

NOTE: See https://www.etsi.org/technologies/broadcast.

ERMES standards: all standards issued by ETSI in relation to European Radio Messaging System (ERMES)

NOTE: From https://portal.etsi.org/home, click on the "ERM" tab.

GPRS standards: all standards issued by ETSI in relation to General Packet Radio Service (GPRS)

NOTE: From https://portal.etsi.org/home, click on the "3GPP" tab.

**GSM standards:** all standards issued by ETSI in relation to European Cellular Digital - Global System for Mobile Communication (GSM)

NOTE: From https://portal.etsi.org/home, click on the "3GPP" tab.

HDSL standards: all standards issued by ETSI in relation to High bit rate Digital Subscriber Line (HDSL)

NOTE: TM (TM 06) Technical Body has closed. See <u>https://portal.etsi.org/tb/closed\_tb/oldtbhome.asp</u>.

**HIPERLAN standards:** all standards issued by ETSI in relation to High Performance Radio Local Area Network (HIPERLAN)

ISDN standards: all standards issued by ETSI in relation to Integrated Services Digital Network (ISDN)

NOTE: SPAN Technical Body has closed. See https://portal.etsi.org/tb/closed\_tb/span.asp.

Lawful Interception (LI) standards: all standards issued by ETSI in relation to Lawful Interception (LI)

NOTE: See https://portal.etsi.org/TB-SiteMap/LI/Summary.

PSTN standards: all standards issued by ETSI in relation to Public Switched Telephone Network (PSTN)

NOTE: AT Technical Body has closed. See <u>https://portal.etsi.org/tb/closed\_tb/oldtbhome.asp.</u>

SDSL standards: all standards issued by ETSI in relation to Symmetrical Digital Subscriber Line (SDSL)

NOTE: TM (TM 06) Technical Body has closed. See <u>https://portal.etsi.org/tb/closed\_tb/oldtbhome.asp</u>.

STAG standards: all standards issued by ETSI in relation to Security Techniques Advisory Group (STAG)

NOTE: SEC Technical Body has closed. See https://portal.etsi.org/tb/closed\_tb/oldtbhome.asp.

Television systems standards: all standards issued by ETSI in relation to TV broadcasting systems

NOTE: From https://portal.etsi.org/home, click on the "BROADCAST" tab.

**TETRA standards:** all standards issued by ETSI in relation to Terrestrial Trunked Radio (TETRA), previously known as Trans-European Trunked Radio (TETRA)

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NOTE: See <u>https://www.etsi.org/technologies/tetra</u>.

TFTS standards: all standards issued by ETSI in relation to Terrestrial Flight Telecommunication System (TFTS)

NOTE: From <u>https://portal.etsi.org/home</u>, click on the "ERM" tab.

**TIPHON standards:** all standards issued by ETSI in relation to Telecommunications And Internet Protocol Harmonization Over Networks (TIPHON)

NOTE: TIPHON Technical Body has closed. See <u>https://portal.etsi.org/tb/closed\_tb/tiphon.asp</u>. All TIPHON standards can now be found under TISPAN.

UMTS standards: all standards issued by ETSI in relation to Universal Mobile Telecommunications System (UMTS)

NOTE: From https://portal.etsi.org/home, click on the "3GPP" tab.

VDSL standards: all standards issued by ETSI in relation to Very high speed Digital Subscriber Line (VDSL)

NOTE: TM (TM 06) Technical Body has closed. See <a href="https://portal.etsi.org/tb/closed\_tb/oldtbhome.asp">https://portal.etsi.org/tb/closed\_tb/oldtbhome.asp</a>.

### 3.2 Symbols

Void.

### 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

| AF       | AFGHANISTAN                                       |
|----------|---|
| OA       | AFRICAN INTELLECTUAL PROPERTY ORGANIZATION        |
| AP       | AFRICAN REGIONAL INDUSTRIAL PROPERTY ORGANIZATION |
| AL       | ALBANIA   |
| DZ       | ALGERIA   |
| AS       | ALCENIA<br>AMERICAN SAMOA                         |
| AD       | ANDORRA   |
| AD<br>AO | ANGOLA  |
| AG       | ANGOLA<br>ANTIGUA AND BARBUDA                     |
| AG       | ARGENTINA   |
|          |   |
| AM       | ARMENIA   |
| AW       | ARUBA   |
| AU       | AUSTRALIA   |
| AT       | AUSTRIA   |
| AZ       | AZERBAIJAN  |
| BS       | BAHAMAS   |
| BH       | BAHRAIN   |
| BD       | BANGLADESH  |
| BB       | BARBADOS  |
| BY       | BELARUS   |
| BE       | BELGIUM   |
| BZ       | BELIZE  |
| BJ       | BENIN   |
| BM       | BERMUDA   |
| BT       | BHUTAN  |
| BO       | BOLIVIA   |
| BA       | BOSNIA AND HERZEGOVINA                            |
| BW       | BOTSWANA  |
| BR       | BRAZIL  |
| BN       | BRUNEI DARUSSALAM                                 |
| BG       | BULGARIA  |
|          |   |

| BF       | BURKINA FASO   |
|----------|--|
|          |  |
| BI       | BURUNDI  |
| KH       | CAMBODIA   |
| CM       | CAMEROON   |
| CA       | CANADA   |
| CV<br>CE | CAPE VERDE   |
| CF       | CENTRAL AFRICAN REPUBLIC                                 |
| TD       | CHAD   |
| CL       | CHILE  |
| CN<br>CO | CHINA  |
| CO<br>KM | COLOMBIA<br>COMOROS                                      |
|          |  |
| CG<br>GC | CONGO<br>COOPERATION COUNCIL FOR ARAB STATES OF THE GULF |
| CR       | COSTA RICA   |
| CI       | CÔTE D'IVOIRE  |
| HR       | CROATIA  |
| CU       | CUBA   |
| CU<br>CY | CYPRUS   |
| CI       | CZECH REPUBLIC   |
| DK       | DENMARK  |
| DK<br>DJ | DJIBOUTI   |
| DJ<br>DM | DOMINICA   |
| DO       | DOMINICAN REPUBLIC                                       |
| EC       | ECUADOR  |
| EG       | EGYPT  |
| SV       | ELSALVADOR   |
| GQ       | EQUATORIAL GUINEA  |
| ER       | ERITREA  |
| EE       | ESTONIA  |
| ET       | ETHIOPIA   |
| EA       | EURASIAN PATENT OFFICE                                   |
| EP       | EUROPEAN PATENT OFFICE                                   |
| FO       | FAROE ISLANDS  |
| FJ       | FIJI   |
| FI       | FINLAND  |
| FR       | FRANCE   |
| MK       | FYROM  |
| GA       | GABON  |
| GM       | GAMBIA   |
| GE       | GEORGIA  |
| DE       | GERMANY  |
| GH       | GHANA  |
| GI       | GIBRALTAR  |
| GR       | GREECE   |
| GL       | GREENLAND  |
| GD       | GRENADA  |
| GP       | GUADELOUPE   |
| GU       | GUAM   |
| GT       | GUATEMALA  |
| GN       | GUINEA   |
| GW       | GUINEA-BISSAU  |
| GY       | GUYANA   |
| HT       | HAITI  |
| HN       | HONDURAS   |
| HK       | HONG KONG  |
| HU       | HUNGARY  |
| IS       | ICELAND  |
| IN       | INDIA  |
| ID       | INDONESIA  |
| IR       | IRAN (ISLAMIC REPUBLIC OF)                               |
| IQ       | IRAQ   |
|          |  |

| IE       | IRELAND                       |
|----------|-------------------------------|
| IL       | ISRAEL                        |
| IT       | ITALY                         |
| JM       | JAMAICA                       |
| JP       | JAPAN                         |
| JO       | JORDAN                        |
| KZ       | KAZAKHSTAN                    |
| KE       | KENYA                         |
| KP       | KOREA (DEM. PEOPLE'S REP. OF) |
| KR       | KOREA (REPUBLIC OF)           |
| KW       | KUWAIT                        |
| KG       | KYRGYZSTAN                    |
| LA       | LAO PEOPLE'S DEM. REP.        |
| LA<br>LV | LATVIA                        |
| LB       | LEBANON                       |
| LB<br>LS | LESOTHO                       |
| LS<br>LR | LIBERIA                       |
| LK<br>LI | LIBERIA<br>LIECHTENSTEIN      |
|          | LIECHTENSTEIN<br>LITHUANIA    |
|          |                               |
| LU       | LUXEMBOURG                    |
| MO       | MACAU                         |
| MG       | MADAGASCAR                    |
| MW       | MALAWI                        |
| MY       | MALAYSIA                      |
| MV       | MALDIVES                      |
| ML       | MALI                          |
| MT       | MALTA                         |
| MQ       | MARTINIQUE                    |
| MR       | MAURITANIA                    |
| MU       | MAURITIUS                     |
| YT       | MAYOTTE                       |
| MX       | MEXICO                        |
| MD       | MOLDOVA (REPUBLIC OF)         |
| MC       | MONACO                        |
| MN       | MONGOLIA                      |
| ME       | MONTENEGRO                    |
| MS       | MONTSERRAT                    |
| MA       | MOROCCO                       |
| MZ       | MOZAMBIQUE                    |
| MM       | MYANMAR                       |
| NA       | NAMIBIA                       |
| NP       | NEPAL                         |
| NL       | NETHERLANDS                   |
| AN       | NETHERLANDS ANTILLES          |
| NC       | NEW CALEDONIA                 |
| NZ       | NEW ZEALAND                   |
| NI       | NICARAGUA                     |
| NE       | NIGER                         |
| NG       | NIGERIA                       |
| NO       | NORWAY                        |
| OM       | OMAN                          |
| PK       | PAKISTAN                      |
| PW       | PALAU                         |
| PA       | PANAMA                        |
| PG       | PAPUA NEW GUINEA              |
| PY       | PARAGUAY                      |
| WO       | PATENT COOPERATION TREATY     |
| PE       | PERU                          |
| PH       | PHILIPPINES                   |
| PL       | POLAND                        |
| PT       | PORTUGAL                      |
| PR       | PUERTO RICO                   |
|          |                               |

| THTHAILANDTLTIMOR-LESTETGTOGOTKTOKELAUTOTONGATTTRINIDAD AND TOBAGOTNTUNISIATRTURKEYTMTURKMENISTANTVTUVALUUGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED STATESUYUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIA   | RERÉUNIONROROMANIARURUSSIAN FEDERATIONRWRWANDAWSSAMOASMSAN MARINOSASAUDI ARABIASNSENEGALRSSERBIASCSEYCHELLESSLSIERA LEONESGSINGAPORESKSLOVENIASOSOMALIAZASOUTH AFRICAESSPAINLKSRI LANKASDSUDANSRSURINAMESZSWAZILANDSESWEDENCHSWITZERLANDSYSYRIAN ARAB REPUBLICTWTAIKANA, PROVINCE OF CHINATJTAJIKISTANTZTANZANIA, UNITED REPUBLIC OFTHTHAILANDTLTIMOR-LESTETGTOGOTKTOKELAUTOTONGATTTRINIDAD AND TOBAGOTNTUNISIAREUNITED KINGDOMUSUNITED KINGDOMUS <t< th=""><th></th><th></th></t<>                              |    |                              |
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| ZASOUTH AFRICAESSPAINLKSRI LANKASDSUDANSRSURINAMESZSWAZILANDSESWEDENCHSWITZERLANDSYSYRIAN ARAB REPUBLICTWTAIWAN, PROVINCE OF CHINATJTAJIKISTANTZTANZANIA, UNITED REPUBLIC OFTHTHAILANDTLTIMOR-LESTETGTOGOTKTOKELAUTOTONGATTTRINIDAD AND TOBAGOTNTURKEYTMTURKMENISTANTVTUVALUUGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED STATESUYURUGUAYUZUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIA   | ZASOUTH AFRICAESSPAINLKSRI LANKASDSUDANSRSURINAMESZSWAZILANDSESWEDENCHSWITZERLANDSYSYRIAN ARAB REPUBLICTWTAIWAN, PROVINCE OF CHINATJTAJIKISTANTZTANZANIA, UNITED REPUBLIC OFTHTHAILANDTLTIMOR-LESTETGTOGOTKTOKELAUTOTONGATTTRINIDAD AND TOBAGOTNTURKEYTMTURKEYTMTURKAINEAEUNITED ARAB EMIRATESGBUNITED KINGDOMUSUNITED STATESUYUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIAZMZAMBIA   |    |                              |
| ES SPAIN<br>LK SRI LANKA<br>SD SUDAN<br>SR SURINAME<br>SZ SWAZILAND<br>SE SWEDEN<br>CH SWITZERLAND<br>SY SYRIAN ARAB REPUBLIC<br>TW TAIWAN, PROVINCE OF CHINA<br>TJ TAJIKISTAN<br>TZ TANZANIA, UNITED REPUBLIC OF<br>TH THAILAND<br>TL TIMOR-LESTE<br>TG TOGO<br>TK TOKELAU<br>TO TONGA<br>TT TRINIDAD AND TOBAGO<br>TN TUNISIA<br>TR TURKEY<br>TM TURKMENISTAN<br>TV TUVALU<br>UG UGANDA<br>UA UKRAINE<br>AE UNITED ARAB EMIRATES<br>GB UNITED KINGDOM<br>US UNITED STATES<br>UY URUGUAY<br>UZ UZBEKISTAN<br>VU VANUATU<br>VE VENEZUELA<br>VN VIET NAM<br>YE YEMEN<br>YU YUGOSLAVIA   | ES SPAIN<br>LK SRI LANKA<br>SD SUDAN<br>SR SURINAME<br>SZ SWAZILAND<br>SE SWEDEN<br>CH SWITZERLAND<br>SY SYRIAN ARAB REPUBLIC<br>TW TAIWAN, PROVINCE OF CHINA<br>TJ TAJIKISTAN<br>TZ TANZANIA, UNITED REPUBLIC OF<br>TH THAILAND<br>TL TIMOR-LESTE<br>TG TOGO<br>TK TOKELAU<br>TO TONGA<br>TT TRINIDAD AND TOBAGO<br>TN TUNISIA<br>TR TURKEY<br>TM TURKMENISTAN<br>TV TUVALU<br>UG UGANDA<br>UA UKRAINE<br>AE UNITED ARAB EMIRATES<br>GB UNITED KINGDOM<br>US UNITED STATES<br>UY URUGUAY<br>UZ UZBEKISTAN<br>VU VANUATU<br>VE VENEZUELA<br>VN VIET NAM<br>YE YEMEN<br>YU YUGOSLAVIA<br>ZAMBIA   |    |                              |
| LKSRI LANKASDSUDANSRSURINAMESZSWAZILANDSESWEDENCHSWITZERLANDSYSYRIAN ARAB REPUBLICTWTAIWAN, PROVINCE OF CHINATJTAJIKISTANTZTANZANIA, UNITED REPUBLIC OFTHTHAILANDTLTIMOR-LESTETGTOGOTKTOKELAUTOTONGATTTRINIDAD AND TOBAGOTNTURKEYTMTURKMENISTANTVTUVALUUGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED STATESUYUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIA   | LKSRI LANKASDSUDANSRSURINAMESZSWAZILANDSESWEDENCHSWITZERLANDSYSYRIAN ARAB REPUBLICTWTAIWAN, PROVINCE OF CHINATJTAJIKISTANTZTANZANIA, UNITED REPUBLIC OFTHTHAILANDTLTIMOR-LESTETGTOGOTKTOKELAUTOTONGATTTRINIDAD AND TOBAGOTNTURKEYTMTURKEYTMTURKMENISTANTVTUVALUUGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED STATESUYUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIAZMZAMBIA   |    |                              |
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| THTHAILANDTLTIMOR-LESTETGTOGOTKTOKELAUTOTONGATTTRINIDAD AND TOBAGOTNTUNISIATRTURKEYTMTURKMENISTANTVTUVALUUGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED STATESUYUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIA   | THTHAILANDTLTIMOR-LESTETGTOGOTKTOKELAUTOTONGATTTRINIDAD AND TOBAGOTNTUNISIATRTURKEYTMTURKMENISTANTVTUVALUUGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED STATESUYUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIAZMZAMBIA   | TJ | TAJIKISTAN                   |
| TLTIMOR-LESTETGTOGOTKTOKELAUTOTONGATTTRINIDAD AND TOBAGOTNTUNISIATRTURKEYTMTURKMENISTANTVTUVALUUGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED STATESUYUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIA   | TLTIMOR-LESTETGTOGOTKTOKELAUTOTONGATTTRINIDAD AND TOBAGOTNTUNISIATRTURKEYTMTURKMENISTANTVTUVALUUGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED STATESUYUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIAZMZAMBIA   | ΤZ | TANZANIA, UNITED REPUBLIC OF |
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| TOTONGATTTRINIDAD AND TOBAGOTNTUNISIATRTURKEYTMTURKMENISTANTVTUVALUUGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED STATESUYUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIA   | TOTONGATTTRINIDAD AND TOBAGOTNTUNISIATRTURKEYTMTURKMENISTANTVTUVALUUGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED STATESUYUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIAZMZAMBIA   | TG | TOGO                         |
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| TNTUNISIATRTURKEYTMTURKMENISTANTVTUVALUUGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED KINGDOMUSUNITED STATESUYUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIA   | TNTUNISIATRTURKEYTMTURKMENISTANTVTUVALUUGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED KINGDOMUSUNITED STATESUYUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIAZMZAMBIA   | ТО | TONGA                        |
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| UGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED KINGDOMUSUNITED STATESUYURUGUAYUZUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIA   | UGUGANDAUAUKRAINEAEUNITED ARAB EMIRATESGBUNITED KINGDOMUSUNITED STATESUYURUGUAYUZUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIAZMZAMBIA   |    |                              |
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| GBUNITED KINGDOMUSUNITED STATESUYURUGUAYUZUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIA  | GBUNITED KINGDOMUSUNITED STATESUYURUGUAYUZUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIAZMZAMBIA  |    |                              |
| US UNITED STATES<br>UY URUGUAY<br>UZ UZBEKISTAN<br>VU VANUATU<br>VE VENEZUELA<br>VN VIET NAM<br>YE YEMEN<br>YU YUGOSLAVIA  | US UNITED STATES<br>UY URUGUAY<br>UZ UZBEKISTAN<br>VU VANUATU<br>VE VENEZUELA<br>VN VIET NAM<br>YE YEMEN<br>YU YUGOSLAVIA<br>ZM ZAMBIA   |    |                              |
| UYURUGUAYUZUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIA   | UYURUGUAYUZUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIAZMZAMBIA   |    |                              |
| UZ UZBEKISTAN<br>VU VANUATU<br>VE VENEZUELA<br>VN VIET NAM<br>YE YEMEN<br>YU YUGOSLAVIA  | UZUZBEKISTANVUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIAZMZAMBIA  |    |                              |
| VUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIA  | VUVANUATUVEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIAZMZAMBIA  |    |                              |
| VE VENEZUELA<br>VN VIET NAM<br>YE YEMEN<br>YU YUGOSLAVIA   | VEVENEZUELAVNVIET NAMYEYEMENYUYUGOSLAVIAZMZAMBIA   |    |                              |
| VN VIET NAM<br>YE YEMEN<br>YU YUGOSLAVIA   | VN VIET NAM<br>YE YEMEN<br>YU YUGOSLAVIA<br>ZM ZAMBIA  |    |                              |
| YE YEMEN<br>YU YUGOSLAVIA  | YE YEMEN<br>YU YUGOSLAVIA<br>ZM ZAMBIA   |    |                              |
| YU YUGOSLAVIA  | YU YUGOSLAVIA<br>ZM ZAMBIA   |    |                              |
|  | ZM ZAMBIA  |    |                              |
|  |  |    |                              |
|  | ZW ZIMBABWE  |    |                              |
| ZW ZIMBABWE  |  | ZW | ZIMBABWE                     |

### 4 Notified IPRs

The Licensing Declarations given for the IPRs are contained in archive sr\_000314v023601p0.zip which accompanies the present document.

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ETSI has not checked the validity of the information, nor the relevance of the identified IPRs to the Standards or Technical Specifications and cannot confirm, or deny, that the IPRs are, in fact, essential, or potentially essential. No investigation, or IPR searches, have been carried out by ETSI and therefore no guarantee can be given concerning the existence of other IPRs which are, or may become, essential.

### 4.1 Notifications

Essential, or potentially essential, IPRs in respect of which a notification followed by a Licensing Declaration has been given by the IPR owner and for which licences are available on fair, reasonable and non-discriminatory terms, in accordance with article 6.1 and comply with clause 6.1 bis of the ETSI IPR Policy [i.1], annex 6 of the ETSI Rules of Procedure [i.3]. The ETSI IPR Policy can be found at <a href="https://portal.etsi.org/Resources/ETSI-Directives">https://portal.etsi.org/Resources/ETSI-Directives</a>.

NOTE: Notification to ETSI of Essential or potentially Essential IPRs should be made using the ETSI IPR database at <u>https://ipr.etsi.org</u>. Additional information can be found at <u>https://www.etsi.org/intellectual-property-rights</u> [i.4], [i.2] and [i.5].

#### 4.1.1 List of Project Names used in the ETSI IPR Database

3GP-DASH; 3GP-DASH-Release-10; 3GP-DASH-Release-11; 3GPP; 3GPP 5G NR; 3GPP SAE; 3GPP-CN; 3GPP-CT; 3GPP-EUTRAN; 3GPP-GERAN; 3GPP-radio; 3GPP-Release-10; 3GPP-Release-11; 3GPP-Release-12; 3GPP-Release-13; 3GPP-Release-14; 3GPP-Release-15; 3GPP-Release-16; 3GPP-Release-17; 3GPP-Release-18; 3GPP-Release-1997; 3GPP-Release-1998; 3GPP-Release-1999; 3GPP-Release-4; 3GPP-Release-5; 3GPP-Release-6; 3GPP-Release-7; 3GPP-Release-8; 3GPP-Release-9; 3GPP-SA; 3GPP-T; 3GPP-UTRAN; 5G; AHS Release 9; AlgUEA2; AMRWB; AMRWB+; BACKBONE; BLINK; BRAN; Broadband Access; CAMEL; CAT; CH; Cheaper Internet; CLIK; CNTRSP; CRS; CSICS; CSIterm; CTM2; DAB; DASH Release 10; DASH Release 11; DASH Release 9; DCS 1800; DECT; DECT CI; DECT CTM; DECT GSM-IW; DECT ISDN-IW; DIIS; DMR; dPMR; DRM; DTM; DTS; DVB; DVB-H; DVB-T2; E2EMSPM; e-accessibility; eANDSF; eCall\_Phase2; ECI; e-commerce; EData; EDCH; EDCHTDD; eEurope; eEurope implementation guide; eEurope2005 Broadband; eEurope2005 Security; EHNB; eICIC LTE; Electronic Signatures; EMC1; eMPS; EMTEL; ERM; ERMES; e-transport; ETSI M2M; ETSI RRS; EVS\_codec; Family SL; FGE; FLO; FS\_CNO; FS\_CoMP\_LTE; FS\_CSN; FS\_CSoPS; FS\_EHNB\_enh; FS\_Energy\_LTE; FS\_HetNet\_eMOB\_LTE; FS\_HNB\_Sec; FS\_HSDPA\_MP\_TX; FS iFire; FS IMS P2P; FS IMSconf; FS IS DASH; FS IVCS; FS LC MTC LTE; FS LTE mobRelay; FS LTE TDD eIMTA; FS M2M; FS MAPIM; FS MLTICAST-IMS; FS MMSC; FS MODAI; FS MTCe; FS NGN min drive-tests; FS NOVES; FS OAM LTE SAE; FS OAM-SON-HNB; FS PP; FS PWS; FS RAN LTEA; FS RAN-DCHS; FS RAN-HNBeNB; FS RAN-LTEmob; FS SaMOG; FS SM3GWLAN; FS\_SPIA\_IDC; FS\_SSO\_APS; FS\_SSO\_Int; FS\_SSO\_Int\_Sec; FS\_UM2M; FS\_VAS4SMS; FS\_vSRVCC; GAAI; GBA-IdM; GBAPush; GEM 1.0; GEM 1.2; GEM 1.2.2; GERAN; GERAN Release 6; GERAN Release 7; GERAN Release 8; GERAN Release 9; GMDSS; GMPRS; GMR; GPRS; GSM; GSM Release 4; GSM Release 6; GSM Release 7; GSM Release 98; GSM Release 99; GTT; HCI; HIPERACCESS; HIPERLAN/1; HIPERLAN/2; HIPERMAN; HNB\_HENB\_mob\_enh; Home fibering system; HSDPA; HSPA+; HTTP\_SDS; Hybrid Broadcast Broadband TV; ICSRA; IMCC; IMCC\_ATS; IMCC\_BASE; IMCC\_ISDN/DECIW; IMCC\_ISDN/GSMIW; IMCC\_ITAEGT; IMCC\_PR-1; IMS; IMS\_AGCF; IMS\_EMER\_GPRS\_EPS; IMS\_PSS\_MBMS\_US; IMS\_RP; IMSProtoc; IMS-UICC-S; IPCablecom; IPv4IMS; IRTSD-CS\_IMS; IRTSD-IMSCS; ISDN; ISM; ITS; KeyEstUTerm; L\_Band\_LTE\_ATC\_MSS; Lawful Interception; LCLS-CN; LCS; LCS\_LTE\_EPS; LCS LTE-NBPS; LCS-128 Pos; LibSec; LPP Release 10; LPR; LTE; LTE/EPS Release 9; LTE CA; LTE Relay; LTEimp; M2M; MBMS; MCC\_3G\_reporting; MCC\_GSM\_reporting; M-COMM; MDT\_UMTSLTE; MEDIASEC; MESS5; MHEG-5 Broadcast Profile; MHP 1.0; MHP 1.1; MHP 1.2.2; MIMO; MIW-IMS; MMB; MMB Release 1; MMS4; MOSAP; Mp; NGN; NGN5: Lawful Interception; NGN-R1; NGN-R2; NGN-R3; oneM2M Release 1; oneM2M Release 2; O-RAN; PCC; PLT; PNM; RANFS-Evo; RANFS-HSPAEvo; RANFS-MBMSImp; RES; SAE; SAES; SAES Ph2; SAES2; SDH; SDH NETWORK ELEMENTS; SDR; SEC16; SEC1-SC; SEC-IMS; SES; SFR; SIMTC; Smart Card; SMSIP; SMSMI; SON; Speech Recognition; SRD; SRSES; STAG; TD-SCDMA; TEI; TEI10; TEI4; Television systems; TETRA; TETRA DM; TETRA V+D; TFTS; TG; TIPHON; TIPHON R3; TISPAN; TV Anytime; TV-Anytime; UICC; UICC1; UMTS; UMTS FDD; UMTS TDD; UTRA; UTRAN; V5 Interface; VAS4SMS; WCDMA; WLAN; xDSL.

- 4.2 Void
- 4.3 Void

# Annex A: How to consult the Excel file in the zip attachment

Below is an explanation on the spreadsheet columns which are displayed on the "Full Declaration Data" tab of the Excel file named "Special Report - New Format For IPRSC" contained in archive sr\_000314v023601p0.zip which accompanies the present document.

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- **IPRD\_REFERENCE** = reference of the IPR declaration.
- **DIPG\_DISPLAY\_NUMBER** = number of disclosure in the specified IPR declaration. Starts at 1 and goes up to N (N being the total number of disclosures in that declaration).
- **IPRD\_SIGNATURE\_DATE** = date of the IPR declaration submission to ETSI.
- **Reflected\_Date** = date/time of ISLD declaration reflection (i.e. it corresponds to the date when the respective declaration was made publicly available via the IPR online database at https://ipr.etsi.org/).
- **COMP\_LEGAL\_NAME** = Legal name provided by the declarer to identify the declarant company.
- **DECL\_IS\_PROP\_FLAG** = whether the declarant and/or its affiliates declared to be the proprietor of the IPR(s) disclosed in the attached IPR Information Statement Annex, or not. This information is filled in by the declarant, on the first page of the ISLD declaration:
  - if it is set to "TRUE" then "The declarant and/or its affiliates are the proprietor of the IPR(s)."; and
  - if it is set to "FALSE" then "The declarant and/or its affiliates are not the proprietor of the IPR(s).".

EXAMPLE of a "DECL\_IS\_PROP\_FLAG=TRUE" on a declaration form's first page:

#### IPR INFORMATION STATEMENT

The declarant and/or its affiliates

- In are the proprietor of the IPR(s).
- or are not the proprietor of the IPR(s).

**LICD\_DEC\_PREP\_TO\_GRANT\_FLAG** = whether the declarant has declared to be prepared to grant licenses. This information is filled in by the declarant, on the first page of the ISLD declaration:

- if it is set to "TRUE" then "The declarant and/or its affiliates are prepared to grant licenses.";
- if it is set to "FALSE" then "The declarant and/or its affiliates are not prepared to grant licenses.".

**LICD\_REC\_CONDI\_FLAG** = whether the declarant is prepared to grant licenses (cf. LICD\_DEC\_PREP\_TO\_GRANT\_FLAG), and specified the option "undertaking subject to reciprocate conditions". This information is filled in by the declarant, on the first page of the ISLD declaration.

EXAMPLE of "LICD\_DEC\_PREP\_TO\_GRANT\_FLAG=TRUE" and "LICD\_REC\_CONDI\_FLAG=TRUE" on a declaration form's first page:

#### IPR LICENSING DECLARATION

- are prepared to grant licences.
  - undertaking subject to reciprocate conditions
- are not prepared to grant licences.

**DIPG\_ID** = unique numeric identifier of a disclosure patent group, in the IPR database. It corresponds to one disclosure "block" in a declaration's annex. Each DIPG\_ID has its own DIPG\_DISPLAY\_NUMBER (which is the number visible on the declaration annex).

• **ETPR\_ACRONYM** = acronym of a project included in the disclosure identified by DIPG\_ID.

• **Ess\_To\_Project** = whether the patent is disclosed as essential to the specified project or not. If there is no value, it is because no project was disclosed.

EXAMPLE of "Ess\_To\_Project=FALSE", on a disclosure:

| ETSI Projects   |  |  |
|-----------------|--|--|
| Project acronym | Project Name                               | Update   |
| UMTS            | Universal Mobile Telecommunications System | Non-essentiality of basis patent (including patent family) as updated by declarant |

EXAMPLE of "Ess\_To\_Project=TRUE", on a disclosure:

| ETSI Projects   |  |  |
|-----------------|--|--|
| Project acronym | Project Name                               |  |
| UMTS            | Universal Mobile Telecommunications System |  |

- **Standard** = the standard/work item/specification.
- **Ess\_To\_Standard** = whether the patent is disclosed as essential to a specified standard/work item/specification. If there is no value, it is because no standard/work item/specification was disclosed.

EXAMPLE of "Ess\_To\_Standard=FALSE" on a disclosure:

| Work Item or Standard<br>Work Item / Standard no. | Title   | Version/Edition | Illustrative specific part of the Standard (e.g Section) | Update  |
|---|---|-----------------|--|---|
| TS 121 101  | Universal Mobile Telecommunications System (UMTS); Technical<br>Specifications and Technical Reports for a UTRAN-based 3GPP<br>system; (3GPP TS 21.101 version 16.0.0 Release 16) |                 | Add  | Non-essentiality of basis<br>patent (including patent<br>family) as updated by<br>declarant |
| TS 21.101   | Technical Specifications and Technical Reports for a UTRAN-based<br>3GPP system   |                 | Add  | Non-essentiality of basis<br>patent (including patent<br>family) as updated by<br>declarant |

#### EXAMPLE of "Ess\_To\_Standard=TRUE" on a disclosure:

| Work Item or Standard    |  |                      |  |  |
|--------------------------|--|----------------------|--|--|
| Work Item / Standard no. | Title  | Version/Edition      | Illustrative specific part of the Standard (e.g Section) | Link to declaration(s) showing<br>patent(s) of same family declared<br>to same standard(s) |
| TS 121 101               | Universal Mobile Telecommunications<br>Technical Specifications and Technical<br>based 3GPP system; (3GPP TS 21.10:<br>16) | Reports for a UTRAN- | Add  |  |

- **Illustrative\_Part** = illustrative specific part of the standard/work item/specificaton (e.g. section, table, etc.) provided by the declarant when disclosing a standard/work item/specification.
- **DIPG\_PATF\_ID** = unique numeric identifier of a disclosure's ETSI patent family in the IPR database.
- **Patent\_Type** = type of patent in relation to the disclosure. The patent type can be "basis patent" or "family member".
- **PATT\_ID** = unique numeric identifier of a patent in the IPR database.
- **PATT\_APPLICATION\_NUMBER** = patent application number of the patent identified by PATT\_ID.
- **PUBL\_NUMBER** = patent publication number of the patent identified by PATT\_ID.
- **Explicitely\_Disclosed** = whether the respective patent (basis patent or family member) was marked as explicitly disclosed by the declarant or not.
- **Normalized\_Patent** = whether the respective patent is considered as "normalized" according to the IPR database. Patents that are considered "normalized" are those which can be mapped to the EPO database.

- **Original\_Application\_Number** = initially provided patent application number. This typically exists in cases where the originally disclosed application number was considered "un-normalized" (i.e. not mapped to EPO) and then got converted to the "normalized" patent application number "PATT\_APPLICATION\_NUMBER" (i.e. mapped to EPO).
- **Original\_Publication\_Number** = initially provided patent publication number. This typically exists in cases where the originally disclosed publication number was considered "un-normalized" (i.e. not mapped to EPO) and then got converted to a "normalized" patent publication number "PUBL\_NUMBER" (i.e. mapped to EPO).
- 2G, 3G, 4G, 5G = describes whether any of the disclosed standards/work items/specifications disclosed 3GPP technologies correspond to '2G', '3G', '4G', or '5G', respectively. '1' means 'yes', '0' means 'no'.
- NOTE: The data contained in columns "X", "Y", "Z", "AA" of the "Full Declaration Data" is aligned with the official 3GPP Radio Technology tagging.

The columns "X", "Y", "Z", "AA" correspond to the "2G/GSM", "3G/UMTS", "4G/LTE", and "5G" 3GPP Radio Technologies, respectively. These data refer to the Radio technology and not the System, and the definition of such data is done by 3GPP.

• **PBPA\_APP\_DATE** = the published patent's application date (i.e. the date associated with the respective patent's Application Number).

# History

|           | Document history |                                    |  |  |  |
|-----------|------------------|------------------------------------|--|--|--|
| Edition 1 | July 1996        | Publication as ETR 314 (Withdrawn) |  |  |  |
| Edition 2 | July 1997        | Publication as ETR 314 (Withdrawn) |  |  |  |
| V1.3.1    | June 1998        | Publication (Withdrawn)            |  |  |  |
| V1.4.1    | November 1999    | Publication (Withdrawn)            |  |  |  |
| V1.5.1    | December 2000    | Publication (Withdrawn)            |  |  |  |
| V1.6.1    | August 2001      | Publication (Withdrawn)            |  |  |  |
| V1.7.1    | December 2001    | Publication (Withdrawn)            |  |  |  |
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| V1.9.1    | November 2002    | Publication (Withdrawn)            |  |  |  |
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| V1.11.1   | December 2003    | Publication (Withdrawn)            |  |  |  |
| V1.12.1   | May 2004         | Publication (Withdrawn)            |  |  |  |
| V1.13.1   | November 2004    | Publication (Withdrawn)            |  |  |  |
| V1.14.1   | April 2005       | Publication (Withdrawn)            |  |  |  |
| V1.15.1   | November 2005    | Publication (Withdrawn)            |  |  |  |
| V2.1.1    | November 2006    | Publication (Withdrawn)            |  |  |  |
| V2.2.1    | March 2007       | Publication (Withdrawn)            |  |  |  |
| V2.3.1    | November 2007    | Publication (Withdrawn)            |  |  |  |
| V2.4.1    | March 2008       | Publication (Withdrawn)            |  |  |  |
| V2.5.1    | November 2008    | Publication (Withdrawn)            |  |  |  |
| V2.6.1    | April 2009       | Publication (Withdrawn)            |  |  |  |
| V2.7.1    | November 2009    | Publication (Withdrawn)            |  |  |  |
| V2.8.1    | March 2010       | Publication (Withdrawn)            |  |  |  |
| V2.9.1    | November 2010    | Publication (Withdrawn)            |  |  |  |
| V2.10.1   | June 2011        | Publication (Withdrawn)            |  |  |  |
| V2.11.1   | December 2011    | Publication (Withdrawn)            |  |  |  |
| V2.12.1   | March 2012       | Publication (Withdrawn)            |  |  |  |
| V2.13.1   | November 2012    | Publication (Withdrawn)            |  |  |  |
| V2.14.1   | November 2013    | Publication (Withdrawn)            |  |  |  |
| V2.15.1   | March 2014       | Publication (Withdrawn)            |  |  |  |
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| V2.21.1 | March 2017       | Publication (Withdrawn) |  |
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