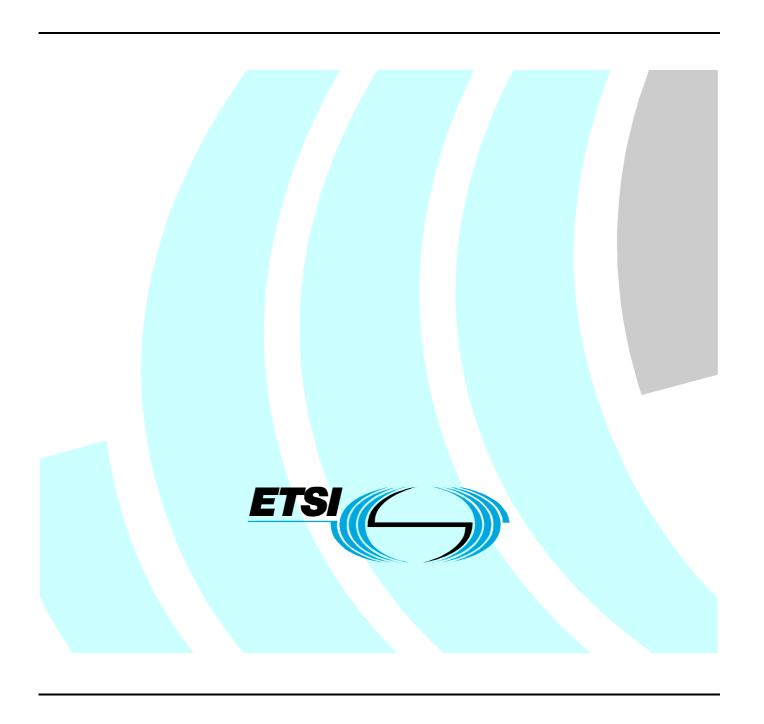
ETSI TR 102 348 V1.1.1 (2004-09)

Technical Report

Using CSTA for SIP Phone User Agents (uaCSTA)



Reference DTR/ECMA-00292 Keywords CSTA, transport, user

ETSI

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

Individual copies of the present document can be downloaded from: <u>http://www.etsi.org</u>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

http://portal.etsi.org/tb/status/status.asp

If you find errors in the present document, please send your comment to one of the following services: http://portal.etsi.org/chaircor/ETSI_support.asp

Copyright Notification

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2004.
All rights reserved.

DECTTM, **PLUGTESTS**TM and **UMTS**TM are Trade Marks of ETSI registered for the benefit of its Members. **TIPHON**TM and the **TIPHON logo** are Trade Marks currently being registered by ETSI for the benefit of its Members. **3GPP**TM is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

Contents

Intelle	ectual Property Rights	6
Forew	vord	6
Brief	history	6
1	Scope	7
2	Purpose	7
3	References	
4	Terminology	
4.1 4.2	General terms SIP/CSTA Terminology Mappings	8
5 5.1 5.2 5.3	Example environments for uaCSTA Controlling and Observing a SIP Phone Controlling and Observing a SIP Phone by Augmenting B2BUA Functionality Controlling a PBX Phone	9 9
6	Example User Agent Configurations	
6.1 6.2	Single Line Phone UA	
6.3	Bridged Appearance Phone and other Advanced UA Configurations	
7	SIP Transport Mechanism for CSTA Messages	
7.1	Establishing a CSTA Application Session	
7.2	Transporting CSTA Service Requests and Responses	13
7.3	Starting a Monitor and Transporting CSTA Events	13
8	uaCSTA Profiles	
8.1	Minimal uaCSTA Call Control Profile	
8.1.1 8.1.2	Services	
8.1.2 8.2	Events	
8.2.1	Services	
8.2.2	Events	
8.3	Advanced uaCSTA Call Control Profile	
8.3.1	Services	
8.3.2 8.4	Events Conferencing uaCSTA Call Control Feature Profile	
8.4.1	Services	
8.4.2	Events	
8.5	Basic uaCSTA Device Feature Profile	
8.5.1	Services	17
8.5.2	Events	
8.6	Speaker uaCSTA Device Feature Profile	
8.6.1 8.6.2	Events	
9	CSTA Calls and Connections	
9.1	CSTA Connection State Model	
9.2	Connection State Transitions for CSTA Calls.	
9.2.1	Incoming Call	19
9.2.2	Outgoing Call	19
10	Call Control	20
10.1	Alternate Call	
10.1.1	1	
10.1.2 10.1.3	1	
10.1.3	Answer Call	

10.2.1	Service Request	
10.2.2	Positive Service Response	22
10.2.3	Negative Service Response	22
10.3	Clear Connection	22
10.3.1	Service Request	23
10.3.2	Positive Service Response	23
10.3.3	Negative Service Response	23
10.4	Consultation Call	24
10.4.1	Service Request	24
10.4.2	Positive Service Response	24
10.4.3	Negative Service Response	
10.5	Deflect Call	
10.5.1	Service Request	25
10.5.2	Positive Service Response	
10.5.3	Negative Service Response	
10.6	Generate Digits	
10.6.1	Service Request	
10.6.2	Positive Service Response	
10.6.3	Negative Service Response	
10.7	Hold Call	
10.7.1	Service Request	
10.7.1	Positive Service Response	
10.7.3	Negative Service Response	
10.7.5	Make Call	
10.8.1	Service Request	
10.8.1	•	
10.8.2	Positive Service Response	
10.8.3	Reconnect Call	
10.9		
10.9.1	Service Request	
	Positive Service Response	
10.9.3	Negative Service Response	
10.10	Retrieve Call	
10.10.1	Service Request	
10.10.2	Positive Service Response	
10.10.3	Negative Service Response	
10.11	Single Step Transfer Call	
10.11.1	Service Request	
10.11.2	Positive Service Response	
10.11.3 10.12	Negative Service Response	
	Transfer Call	
10.12.1	Service Request	
10.12.2	Positive Service Response	
10.12.3	Negative Service Response	35
11 Pl	hysical Phone Features	36
11.1	Get Message Waiting Indicator	
11.1.1	Service Request	
11.1.2	Service Response	
11.1.2	Set Message Waiting Indicator	
11.2.1	Service Request	
11.2.1	Service Response	
11.2.2	<u> •</u>	
11.3.1	Get Speaker Mute	
11.3.1	Service Response	
11.3.2 11.4		
	Set Speaker Mute	
11.4.1	Service Request	
11.4.2	Service Response	
11.5	Get Speaker Volume	
11.5.1	Service Request	
11.5.2	Service Response	
11.6	Set Speaker Volume	
11.6.1	Service Request	40

11.6.2	Service Response	41
12	Logical Phone Features	41
12.1	Get Do Not Disturb	
12.1.1	Service Request	
12.1.2	Service Response	
12.2	Set Do Not Disturb	
12.2.1	Service Request	
12.2.2	Service Response	
12.3	Get Forwarding	
12.3.1	Service Request	
12.3.2	Service Response	
12.4	Set Forwarding	
12.4.1	Service Request	43
12.4.2	Service Response	44
13	Monitoring Services and Events	44
13.1	Monitor Start	44
13.1.1	Service Request	44
13.1.2	Positive Service Response	
13.1.3	Negative Service Response	45
13.2	Monitor Stop	46
13.2.1	Service Request	46
13.2.2	Positive Service Response	46
13.2.3	Negative Service Response	46
13.3	Events	47
14	Snapshot Services	47
14.1	Ŝnapshot Device	47
14.1.1	Service Request	47
14.1.2	Positive Service Response	47
14.1.3	Negative Service Response	49
15	Discovery and System Status Services	50
15.1	Get CSTA Features	
15.1.1	Service Request	
15.1.2	Service Response	
15.1.3	Negative Service Response	
15.2	Request System Status	
15.2.1	Service Request	51
15.2.2	Service Response	52
15.2.3	Negative Service Response	52
15.3	System Status	52
15.3.1	Service Request	
15.3.2	Positive Service Response	
15.3.3	Negative Service Response	53
16	ECMA-323 Illustrative Examples	53
16.1	Controlling a SIP UA	
16.1.1	Creating an Application Session, Establishing a Monitor for a SIP Phone	53
16.1.2	Creating a Call from a SIP UA, Clearing a Call at a SIP UA	55
16.1.3	Answering and Clearing an Incoming Call at a UA	58
16.1.4	Answering an Incoming Call at a UA (no CSTA monitor or CSTA events)	60
16.1.5	Examples of Exception Conditions at a SIP UA	62
16.2	Controlling a PBX Phone	
16.2.1	Creating an Application Session, Establishing a Monitor for a PBX Phone	
16.2.2	Creating a Call from a PBX Phone, Clearing a Call at a PBX Phone	
16.2.3	Answering and Clearing an Incoming Call at a PBX Phone	
16.2.4	Examples of Exception Conditions at a PBX Phone	71
Anne	x A: Example use of SIP and TEL URIs	7 3
Histor		7.5
LUSIO	`V	/~

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://webapp.etsi.org/IPR/home.asp).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This Technical Report (TR) has been produced by ECMA on behalf of its members and those of the European Telecommunications Standards Institute (ETSI).

Brief history

This Technical Report illustrates how CSTA can be used over a SIP session to control and observe SIP user agents (uaCSTA).

This Technical Report is part of a suite of Ecma CSTA Phase III Standards and Technical Reports.

All of the Standards and Technical Reports in this suite are based upon the practical experience of Ecma member companies and each one represents a pragmatic and widely based consensus.

1 Scope

The Session Initiation Protocol (SIP) is a control (signalling) protocol for creating, modifying, and terminating sessions with one or more participants. These sessions include Internet telephone calls, multimedia distribution, and multimedia conferences.

CSTA standardizes a very powerful and flexible set of application services to observe and control voice and non-voice media calls as well as control and observe non-call related features.

This Ecma Technical Report describes how CSTA can be used to provide a subset of CSTA call control functionality, called 1st party call control, for SIP user agents. The term uaCSTA (for user agent CSTA) refers to transporting ECMA-323 (CSTA XML) messages over a SIP session.

uaCSTA leverages SIP mechanisms to provide a highly featured, robust, and extensible set of features to support applications in the Enterprise environment.

uaCSTA can be implemented by several different types of SIP user agents:

- directly by a SIP user agent on a SIP phone.
- uaCSTA can also be implemented by a SIP B2BUA to augment 3PCC functionality.
- by a proxy server that is front-ending a PBX.

2 Purpose

- Describe the relevant portions of the CSTA Standards The two primary CSTA Standards, Services for Computer Supported Telecommunications Applications (ECMA-269) and the XML Protocol for CSTA (ECMA-323), are relatively large standards (combined over 1 100 pages). Due to their size it is sometimes difficult for SIP developers without prior knowledge of CSTA standards to quickly find the relevant parts of the CSTA standards needed to implement basic features. The present document shows the relevant CSTA concepts and how they can be used to implement a CSTA-based application protocol without having to read all of the CSTA Standards.
- Terminology Although many of the concepts are similar, different terms are used in SIP and CSTA to describe the same concepts. Since CSTA is designed to be protocol independent, it is helpful to show how the abstract terminology of CSTA is mapped to SIP specific terminology.
- Extensibility A SIP phone that is being developed to support a specific application may initially need to only support a small subset of the features standardized in CSTA. As the types and complexity of applications using these devices increase, it is expected that these devices will need to support additional, more advanced, features standardized by CSTA, similar to features supported by other types of phones in Enterprise environments. The present document shows how basic features can be extended to support a rich standards-based feature set for applications.
- Interoperability In order to encourage interoperability of applications and phones supporting this application protocol, additional CSTA Profiles, which include minimal sets of CSTA functionality, are described. These profiles can be extended by implementations to provide a more complete set of call and devices features commonly used by Enterprise applications.

3 References

The present document provides informative examples of how to use CSTA concepts as an application protocol for SIP user agents. The following Ecma Standards should be used as the definitive references for CSTA.

ECMA-269 Services for Computer Supported Telecommunications Applications (CSTA) Phase III, 6th edition (June 2004).

ECMA-323 XML Protocol for Computer Supported Telecommunications Applications (CSTA) Phase III, 3rd edition (June 2004).

The following IETF references provide information on the SIP features referenced in the present document:

IETF RFC 3261 SIP: Session Initiation Protocol, Rosenberg, J. et al, IETF, June 2002.

4 Terminology

4.1 General terms

The following table summarizes some of the commonly used terminologies used in the present document.

application	Refers to all components that control and observe uaCSTA instances that may be present in an application/computing domain such as an application residing on any type of computing device (desktop PC, mobile computing device, CTI middleware, SIP B2BUA, SIP UA, etc.).
uaCSTA	user agent CSTA - This refers the mechanism to transport CSTA messages over a SIP session, and the type of call control, called 1 st party call control, that allows an application to control and observe only the device or devices it is directly involved with. A desktop application controlling its associated phone, for example.
uaCSTA device	Refers to a SIP UA, such as a SIP or PBX phone, that supports the CSTA features over SIP as described in the present document.
phone	A SIP UA that supports calls. It is also a PBX device that supports calls. Examples of the types of phones that can be supported by this application protocol are described in clause 6.
B2BUA	A back-to-back-user-agent is a type of user agent that acts both as a user agent server (receives requests) and acts as a user agent client (generates requests). B2BUA is used to implement SIP third party call control (3PCC) features. uaCSTA could be used to augment the existing B2BUA functionality for enhanced call control.

4.2 SIP/CSTA Terminology Mappings

The following table shows some of the common SIP terms and how they are referenced using CSTA and vice versa.

SIP Term	CSTA Term	Notes
User Agent or UA	device	A SIP UA can be modelled as a type of CSTA device.
		Whenever the term device is used in the present document, it is referring to a SIP UA.
SIP URI	device identifier or	A SIP URI can be used to address a SIP UA or a PBX phone
	deviceID	in the same way that a CSTA device identifier can be used to address a CSTA device.
		One of the formats of a Device Identifier is URI. Whenever the
		term DeviceID is used in the present document, it is referring to a URI format of CSTA deviceID.
		Refer to annex A for a description of the ways to represent a user and a user's device with a URI.
TEL URI	device identifier or	A TEL URI can be used to address a device or a dialled
	deviceID	number in the same way that a CSTA device identifier can be
		used to address a CSTA device.
		Refer to annex A for a description of the ways to represent a device and a dialled number with a TEL URI.

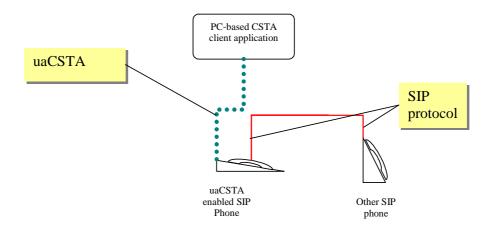
5 Example environments for uaCSTA

The following figures illustrate how uaCSTA is used to pass ECMA-323 messages between an application and a user agent that supports this protocol. uaCSTA provides a standards-based protocol for applications to use to request a UA to invoke features.

The term uaCSTA device refers to a SIP UA, such as a SIP phone, that supports the ECMA-323 messages over SIP as described in the present document.

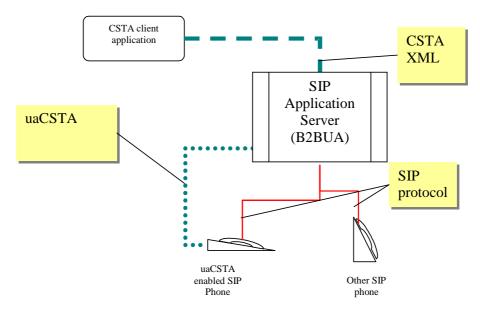
5.1 Controlling and Observing a SIP Phone

In this environment, a PC-based application can use uaCSTA to directly control and observe its associated SIP UA phone.



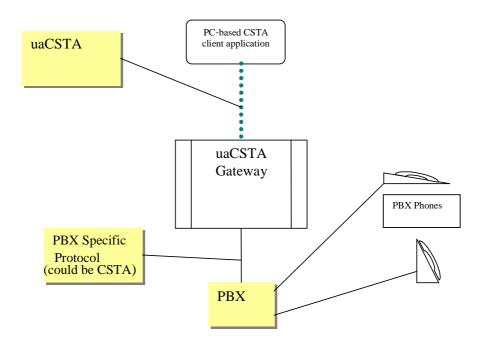
5.2 Controlling and Observing a SIP Phone by Augmenting B2BUA Functionality

In this environment, uaCSTA is used to augment existing B2BUA functionality to invoke features on the SIP phone not possible with standard SIP.



5.3 Controlling a PBX Phone

In this environment, a PC-based application can use uaCSTA to control its associated PBX phone that is part of a PBX/IP Switch. A SIP/CSTA Gateway is a type SIP UA that terminates SIP and converts ECMA-323 messages to/from an application to/from PBX dependent protocols. The uaCSTA Gateway allows an application to control only its associated device(s) - (1st party call control).



6 Example User Agent Configurations

CSTA defines two elements that are relevant for user agents:

- A logical element: the set of attributes/features/services that have an association with the control and/or observation of calls at a UA. For example (line) appearances and attributes such as forwarding. Some phones provide more than one addressable logical elements (e.g. multi line phones). Some phones share a logical element among multiple physical devices (e.g. bridged appearance phones).
- A physical element: the set of attributes/features/services that have any association with the control or observation of the physical components (speaker, microphone, display, etc.) of a UA.

Some UAs provide a single address which can be used to address all of its (logical and physical) elements while other UAs provide multiple addresses so that requests can be directed to a specific logical element on the UA (e.g. a specific line on a multi-line phone).

This clause discusses the typical types of phones that can be supported by this application protocol, the types of elements supported by phone type, and how applications can address each element.

6.1 Single Line Phone UA

A single line phone is a type of user agent that supports only one logical element (addressable line) not shared with any other device and a single physical element (see clause 6.1.3.3.2 of ECMA-269). The single line can support one or more calls at the same time.

For a single line phone, a single CSTA deviceID (e.g. SIP URI) is used to reference both the phone's logical and physical elements.

For example if the phone has registered with the contact address of sip:1234@domain1, the various elements of the phone could be addressed via CSTA services as follows:

- phone's logical element with a call control feature: To originate a call from the single line, an application would provide sip:1234@domain1 as the callingDevice in the CSTA Make Call service.
- phone's logical element with a logical device feature: To control the forwarding feature for the single line, an application would provide sip:1234@domain1 as the deviceID in the CSTA Set Forwarding service.
- phone's physical element with a physical device feature: To set the speaker mute for the device, an application would provide sip:1234@domain1 as the deviceID in the CSTA Set Speaker Mute service.

6.2 Multi Line Phone UA

A multi line phone is a type of user agent that supports more than one addressable line (see clause 6.1.3.3.3 of ECMA-269). Each line can support one or more calls at the same time.

For a multi line phone, there are multiple logical elements (lines) and a single physical element.

For a multi line phone, each logical element (line) is addressed with a unique CSTA deviceID (e.g. SIP URI). CSTA services that reference a phone's logical element use the deviceID (e.g. SIP contact URI) associated with the desired line. CSTA services that apply to the physical element address it via any one of the SIP contact URIs since they all, in this phone configuration, refer to the same device.

For example if the phone has registered with the contacts of sip:1234@domain1 and sip:5678@domain1, the various elements of the phone could be addressed via CSTA services as follows:

- phone's logical component with a call control feature:
 - To originate a call from the line addressed via sip:1234@domain1, an application would provide sip:1234@domain1 as the callingDevice in the CSTA Make Call service.
 - To originate a call from the line addressed via sip:5678@domain1, an application would provide sip:5678@domain1 as the callingDevice in the CSTA Make Call service.
- phone's logical element with a logical device feature:
 - To control the forwarding feature for the line addressed via sip:1234@domain1, an application would provide sip:1234@domain1 as the deviceID in the CSTA Set Forwarding service.
 - To control the forwarding feature for the line addressed via sip:5678@domain1, an application would provide sip:5678@domain1 as the deviceID in the CSTA Set Forwarding service.
- phone's physical element with a physical device feature: To set the speaker mute for the device, an application would provide either one of the following:
 - sip:1234@domain1 as the deviceID in the CSTA Set Speaker Mute service. Since this contact is only associated with one physical device, it would be unambiguous.
 - sip:5678@domain1 as the deviceID in the CSTA Set Speaker Mute service. Since this contact is only associated with one physical device, it would be unambiguous.

6.3 Bridged Appearance Phone and other Advanced UA Configurations

A bridged-appearance phone is a type of user agent that contains a logical element (e.g. a line) shared with another phone (see clause 6.1.3.3.5 of ECMA-269).

CSTA specifies many different kinds of bridged appearances that reflect the different behaviours and different capabilities for calls at shared appearances. (See bridged calls in section of ECMA-269.)

uaCSTA does not specify (nor preclude) the behaviour or the addressing of bridged appearances and other advanced UA configurations.

7 SIP Transport Mechanism for CSTA Messages

This clause describes how:

- SIP is used to establish a CSTA application session.
- CSTA service request and response messages are transported over SIP.
- A CSTA Monitor is started and CSTA events are transported over SIP.

The mechanism described here uses the SIP INVITE and INFO methods to transport ECMA-323 messages provided in a SIP message body.

The SIP Content-type used for an ECMA-323 message is application/csta+xml. This MIME media type is used specifically for encapsulating ECMA-323 messages.

A user agent using this mechanism could be any type of SIP UA such as a SIP phone or a SIP user agent that is front-ending a PBX, like the CSTA/SIP server as shown in clause 5.

NOTE: The SIP mechanism described here to establish a CSTA application session and transport ECMA-323 messages is one of many possible mechanisms. Other options such as using SIP SUBSCRIBE/NOTIFY methods with a CSTA event package(s) could be used. SIP extensions to methods such as REFER may provide another option.

7.1 Establishing a CSTA Application Session

Before ECMA-323 service requests are sent to a SIP UA, a CSTA application session must be established between the application and the SIP UA.

ECMA-269 specifies a number of options for establishing a CSTA application session. This mechanism uses the "Implicit Association created using CSTA Request System Status" option as described in clause 7.2 of ECMA-269.

The application creates an application session by establishing a SIP dialog with the user agent using a SIP INVITE method that includes a Content-Disposition header indicating "signal" and "handling=required" to mandate support for the application/csta+xml MIME type.

An ECMA-323 Request System Status service request is included in the SIP INVITE body with the Content-Type application/csta+xml.

The Request-URI header in the SIP INVITE could specify a particular user agent instance (e.g. globally unique contact URI) or a SIP Address-of-Record URI (a user's published address). If the Request-URI specifies a SIP Address-of-Record for which multiple devices have registered contacts, the application should be aware that a SIP proxy may pick a specific contact to forward this INVITE to or the proxy may parallel fork the INVITE to all of the contacts. This behaviour depends upon the proxy design, policy, scripting, etc. In general if the INVITE is forked, the application will get the contact URIs from all instances and can choose which specific contact it wishes to monitor and/or control using uaCSTA.

If the UA supports this MIME type and can establish this application session, it responds to this SIP Invite with a 200 OK (line 2 below) that includes an ECMA-323 Request System Status service response in the message body. The application sends a SIP ACK to complete the dialog establishment (line 3).

If the UA does not support this MIME type, the SIP UA must provide a 415 (Unsupported Media Type) response as defined in RFC 3261.

The application-control session exists for as long as the application is interested in controlling the SIP user agent which might, for some deployments, persist as long as the user agent is operational. Once the application-control session is no longer needed, it can be terminated using the SIP BYE method.

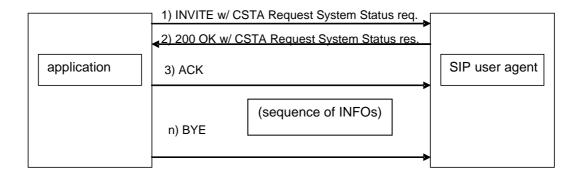


Figure 1: Establishing a CSTA Application Session using the SIP INVITE method

7.2 Transporting CSTA Service Requests and Responses

Once a SIP dialog has been created for the CSTA application session, ECMA-323 service requests can be sent using the SIP INFO method.

An ECMA-323 service request is provided in the body of a SIP INFO method. The SIP INFO method is sent using the SIP dialog created above.

An ECMA-323 (positive or negative) service response is provided in the body of a 200 OK method.

The CSTA response can be correlated to the CSTA request using the SIP CSEQ headers associated with the SIP dialog used for the application control session.

ECMA-323 service requests can be sent by either the application or the UA. Steps 1 and 2 in figure 2 show how the SIP INFO method is used for an application initiated request using the CSTA Make Call service as an example. Steps 3 and 4 show a UA initiated request using the CSTA System Status service as an example.

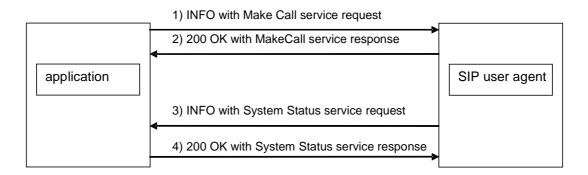


Figure 2: Sending CSTA Service Requests and Service Responses using the SIP INFO method

7.3 Starting a Monitor and Transporting CSTA Events

An application needs to start a CSTA monitor before it can observe changes to CSTA calls and features at a UA via CSTA events. To start a monitor, an ECMA-323 Monitor Start service request is sent in the body of a SIP INFO method (line 1 below).

The user agent responds to the SIP INFO with a SIP 200 OK (line 2 below). The SIP UA provides an ECMA-323 Monitor Start response in the SIP 200 OK message.

The SIP UA generates subsequent ECMA-323 events in a SIP INFO method (line 3 below).

A supported event is generated whenever a CSTA connection changes state for a call that the UA is involved with or when changes occur in the state of a physical or logical feature, as per the monitoring requirements for supported CSTA services and events as specified in ECMA-269.

ECMA-323 events are generated regardless of what caused the event, i.e. either through application initiated features or manually invoked features.

When an application wants to terminate an existing monitor, it generates a SIP INFO method with an ECMA-323 Monitor Stop service request (line 5 below).

If the SIP UA terminates the monitor the SIP UA generates a SIP INFO method with an ECMA-323 Monitor Stop service request.

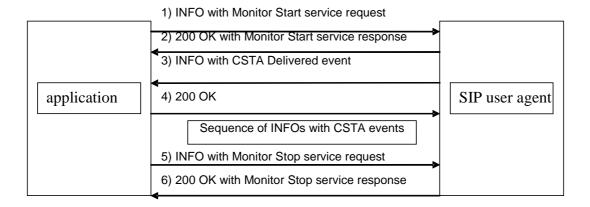


Figure 3: Establishing a CSTA Monitor and sending CSTA events using the SIP INFO method

8 uaCSTA Profiles

Since many CSTA features are optional, and to enhance application portability across different CSTA implementations, CSTA standards require a minimal subset of functionality as conformance criteria.

ECMA-269 specifies this minimal subset of functionality via a set of standardized profiles. In order to claim conformance to CSTA at least one profile must be supported. The following profiles, specified in ECMA-269 6th Edition, most closely match the call control and device control services and events needed by an application that is managing a SIP user agent. Note that multiple profiles may be supported.

- Minimal uaCSTA Call Control Profile provides support for making a call, answering an incoming call, deflecting a call, clearing a call, transferring a call in a single step, holding a call, and retrieving a call.
- Basic uaCSTA Call Control Profile in addition to the features in the Minimal uaCSTA Call Control Profile, this profile includes the ability to monitor call activity for supported CSTA call and device services at a UA.
- Advanced uaCSTA Call Control Profile in addition to the features in the Basic uaCSTA Call Control Profile, this profile includes the ability to start a consultation call, reconnect a call, alternate between two calls, and transfer a call (two step transfer).
- Conferencing uaCSTA Call Control Profile in addition to the features in the Basic or the Advanced uaCSTA
 Call Control Profile, this profile includes the ability to conference another device into an existing call at the
 user agent in two steps (Conference Call) or to conference another device into a call in one step (Single Step
 Conference Call).
- Basic uaCSTA Device Feature Profile in addition to the features in the Basic or the Advanced uaCSTA Call
 Control Profile, this profile provides support for setting the forwarding feature and setting the Do Not Disturb
 feature at a UA
- Speaker uaCSTA Device Feature Profile in addition to the features in the Basic or the Advanced uaCSTA Call Control Profile, this profile provides support for setting the speaker mute feature and setting the speaker volume feature at a UA.

8.1 Minimal uaCSTA Call Control Profile

8.1.1 Services

The following CSTA services are included in the Minimal uaCSTA Call Control Profile:

- Answer call Answers an alerting call at a UA.
- Clear Connection Clears a connection at a UA.
- Deflect Call Moves a connection away from the deflecting UA. The deflecting UA is no longer involved with the call after the Deflect Call service is completed.
- Hold Call Holds a call at a holding UA.
- Make Call Makes a call from an originating UA.
- Retrieve Call Retrieves a call at a retrieving UA.
- Single Step Transfer Transfers a call to another device in a single step. A transferring UA is no longer involved with the call after the Single Step Transfer service is completed.

8.1.2 Events

There are no CSTA events specified as part of this profile. This profile assumes that an application uses mechanisms outside of the present document (SIP Subscribe/Notify, for example) to obtain call/connection information that can be used in CSTA services rather than using CSTA events to obtain this information.

8.2 Basic uaCSTA Call Control Profile

8.2.1 Services

The following CSTA services are included in the Basic uaCSTA Call Control Profile:

- Answer call Answers an alerting call at a UA.
- Clear Connection Clears a connection at a UA.
- Deflect Call Moves a connection away from a deflecting UA. A deflecting UA is no longer involved with the call after the Deflect Call service is completed.
- Hold Call Holds a call at a holding UA.
- Make Call Makes a call from an originating UA.
- Retrieve Call Retrieves a call at a retrieving UA.
- Single Step Transfer Transfers a call to another device in a single step. A transferring UA is no longer involved with the call after the Single Step Transfer service is completed.
- Monitor Start Establishes a device-type monitor on a UA.
- Monitor Stop Terminates an existing monitor.

8.2.2 Events

The following CSTA events are included in the uaCSTA Call Control Profile:

- Connection Cleared Indicates that a UA has disconnected from a call.
- Delivered Indicates that a call is alerting a UA.

- Diverted Indicates that a UA has redirected a call to another device and is no longer involved with the call.
- Established Indicates that a UA has answered or has been connected to a call.
- Failed Indicates that a call cannot be completed (e.g. call has encountered a busy device).
- Held Indicates that a call at a UA is on hold.
- Network Reached For an outbound call, indicates that a call has been connected to an external network via a Network Interface Device.
- Retrieved Indicates that a call at a UA has been retrieved.
- Service Initiated Indicates that a call is prompting the originating UA to go off hook (part of a CSTA Make Call service) or the UA is requesting service.
- Transferred Indicates that a call at the UA has been transferred to another location.

8.3 Advanced uaCSTA Call Control Profile

8.3.1 Services

The following CSTA services are included in the Advanced uaCSTA Call Control Profile:

- Alternate Call Places an existing call on hold and retrieves a previously held call at a UA.
- Answer Call Answers an alerting call at a UA.
- Clear Connection Clears a connection at a UA.
- Consultation Call Places an existing call on hold at the UA and initiates a new call from the UA.
- Deflect Call Moves a connection away from the deflecting UA. The deflecting UA is no longer involved with the call after the Deflect Call service is completed.
- Hold Call Holds a call at the holding UA.
- Make Call Makes a call from an originating UA.
- Reconnect Call Clears an existing connected call and retrieves a call on hold at a UA.
- Retrieve Call Retrieves a call at a retrieving UA.
- Single Step Transfer Transfers a connected call to another device without placing the call on hold. The transferring UA is no longer involved with the call after this service is completed.
- Transfer Call Merges two calls at the UA into one call. As a result of the Transfer, the device is no longer involved with the call.
- Monitor Start Establishes a device-type monitor on a UA.
- Monitor Stop Terminates an existing monitor.

8.3.2 Events

The following CSTA events are included in the Advanced uaCSTA Call Control Profile:

- Originated For an outbound call, indicates that an originating UA is connected to the call.
- Connection Cleared Indicates that a UA has disconnected from a call.
- Delivered Indicates that a call is alerting a UA.

- Diverted Indicates that a UA has redirected a call to another device and is no longer involved with the call.
- Established Indicates that an UA has answered or has been connected to a call.
- Failed Indicates that a call cannot be completed (e.g. call has encountered a busy device).
- Held Indicates that a call at the UA is on hold.
- Network Reached For an outbound call, indicates that the call has been connected to an external network via a Network Interface Device.
- Retrieved Indicates that a call at the UA has been retrieved.
- Service Initiated Indicates that a call is prompting the originating UA to go off hook (as part of a CSTA Make Call service) or the UA is requesting service.
- Transferred Indicates that a call at a UA has been transferred to another location.

8.4 Conferencing uaCSTA Call Control Feature Profile

8.4.1 Services

The CSTA services in the *Conferencing uaCSTA Call Control Profile* must include the services in either the Basic or the Advanced uaCSTA Call Control Profile plus:

- Conference Call Conferences two calls together at a UA.
- Single Step Conference Call Adds another device to an existing call at a UA in one step.

8.4.2 Events

The CSTA events in the *Conferencing uaCSTA Call Control Profile* must include the events in either the Basic or the Advanced uaCSTA Call Control Profile plus:

• Conferenced - Indicates that a conference call has been created at a UA.

8.5 Basic uaCSTA Device Feature Profile

8.5.1 Services

The CSTA services in the *Basic uaCSTA Device Feature Profile* must include the services in either the Basic or the Advanced uaCSTA Call Control Profile plus:

- Set Do Not Disturb Sets the DND feature status at a UA. This is a CSTA logical device feature (see clause 12).
- Set Forwarding Sets the forwarding feature status at a UA. This is a CSTA logical device feature (see clause 12).

8.5.2 Events

The CSTA events in the *Basic uaCSTA Device Feature Profile* must include the events in either the Basic or the Advanced uaCSTA Call Control Profile plus:

- Do Not Disturb Indicates that the DND feature status has changed at a UA.
- Forwarding Indicates that the forwarding feature status has changed at a UA.

8.6 Speaker uaCSTA Device Feature Profile

8.6.1 Services

The CSTA services in the *Speaker uaCSTA Device Feature Profile* must include the services in either the Basic or the Advanced uaCSTA Call Control Profile plus:

- Set Speaker Mute Sets the speaker mute feature status at a UA. This is a CSTA physical device feature (see clause 11).
- Set Speaker Volume Sets the speaker volume feature status at a UA. This is a CSTA physical device feature (see clause 11).

8.6.2 Events

The CSTA events in the *Speaker uaCSTA Device Feature Profile* must include the events in either the Basic or the Advanced uaCSTA Call Control Profile plus:

- Speaker Mute Indicates that the speaker mute feature status has changed at a UA.
- Speaker Volume Indicates that the speaker volume feature status has changed at a UA.

9 CSTA Calls and Connections

This clause summarizes the fundamental concepts regarding CSTA calls and connections.

Most CSTA call control services are applied to a CSTA connection. A CSTA connection is referenced via a CSTA connection identifier. A CSTA connection identifier consists of a call identifier and a device (UA) identifier.

In a typical 1st party call control environment, an application manipulates only the CSTA device (or devices) and CSTA connections directly associated (via provisioning, for example) with the application. A device identifier is included in a CSTA connection identifier to allow the application to reference any device associated with the application. For example, a phone may support multiple deviceIDs (one per addressable line appearance).

9.1 CSTA Connection State Model

A CSTA application is informed of connection state transitions (via ECMA-323 call control events) by placing a monitor on a device via an associated address (e.g. this is how an application "listens" for incoming calls).

Each CSTA connection in a call is associated with a connection state. CSTA specifies a connection state model (see ECMA-269, figure 6-19) that consists of the following connection states:

- Alerting -Indicates an incoming call at a device. Typically, the connection may be ringing or it may be in a
 pre-alerting (e.g. offered) condition.
- Connected Indicates that a connection is actively participating in a call. This connection state can be the
 result of an incoming or outgoing call.
- Failed Indicates that call progression has stalled. Typically, this could indicate that an outgoing call attempt that encountered a busy device.
- Held Indicates that a device is no longer actively participating in a call. For implementations that support
 multiple calls per a single device (i.e. line), a connection could be Held while the line is used to place another
 call (consultation transfer on a single line, for example).
- Initiated A transient state, usually indicating that the device is initiating a service (e.g. dial tone) or is being prompted to go off hook.
- Null There is no relationship between the call and the device.

Queued - Indicates that the call is temporarily suspended at a device (e.g. call has been parked, camped on).

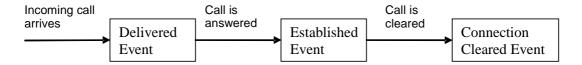
The CSTA Connection State is provided in ECMA-323 events.

9.2 Connection State Transitions for CSTA Calls

9.2.1 Incoming Call

The following figure illustrates the CSTA events for an incoming call to a UA. The connection state of the UA (called connection) is indicated in parenthesis.

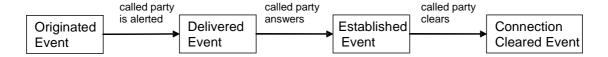
- Offered Event (Alerting): Indicates a call is in a pre-alerting state. This gives an application an opportunity to influence the routing of the call before it actually rings the device. The application can either accept, clear, or deflect the call to another UA. If the application does not send any service within an (implementation dependent) time, the device will be alerted normally. If the Offered event is not supported by the UA or requested by an application, the call will continue directly to an alerting state.
- **Delivered Event** (Alerting): Indicates a call is alerting. Calls that are "auto-answered" do not send this event. A CSTA Answer Call service can be used to answer the call. This results in an Established event.
- Established Event (Connected): Indicates a call has been answered. Media path has been established. The CSTA Clear Connection service can be used to clear the call. A Connection Cleared event is generated as the result of the Clear Connection service.
- Connection Cleared Event (Null): Indicates a connection has cleared. This can be the result of the Clear Connection service or as the result of any party clearing from the call.



9.2.2 Outgoing Call

The following figure illustrates the CSTA events for an outgoing call from a UA. The connection state of the UA (originating connection) is indicated in parenthesis. This sequence could be the result of a CSTA Make Call service.

- **Service Initiated Event** (Initiated): Indicates that the originating connection at a UA is prompting the user to go off hook. This event is not generated if the originating UA is not prompted (i.e. auto-originated).
- Originated Event (Connected): Indicates that the originating connection at a UA is connected.
- **Delivered Event** (Connected): Indicates the call is alerting the called party.
- **Established Event** (Connected): Indicates the called party has answered the call. The media path has been established.
- Connection Cleared Event (Null): Indicates a connection at a UA has cleared.



10 Call Control

This clause shows examples of how CSTA is used to control calls at a SIP UA.

Note that, in addition to these features included in this clause, there are many other call control features specified in ECMA-269.

10.1 Alternate Call

An application uses this service to place an existing call on hold and then retrieves a previously held call. This can also be used to place an existing call on hold and then connect to an alerting or queued call at the same UA.

The UA should provide a positive response if it can successfully alternate the call.

The UA should provide a negative response with an appropriate error code if it cannot successful perform the service. Some examples:

- The specified calls at the UA are not in the appropriate states (e.g. two held calls).
- The UA does not support this service.

10.1.1 Service Request

The common Service Request elements are:

- heldCall this mandatory element contains the connection identifier of the held connection. The connection
 identifier consists of the logical address of the device (e.g. line) and the call identifier of the call. The
 application uses the contents of the connection element provided by either CSTA events, or by other
 mechanisms (e.g. SIP event packages).
- activeCall this mandatory element contains the connection identifier of the active connection. The connection identifier consists of the logical address of the device (e.g. line) and the call identifier of the call. The application uses the contents of the connection element provided by either CSTA events, or by other mechanisms (e.g. SIP event packages).

In this example, the application indicates that the held and active calls at UA sip:tom1@domain.com should be alternated. As a result of this service the active call becomes a held call and the held call becomes an active call.

10.1.2 Positive Service Response

There are no common Service Response elements in the positive service response.

This example indicates that the UA has alternated the active and held calls.

```
<?xml version="1.0" encoding="UTF-8"?>
<AlternateCallResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

10.1.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) UA does not support the alternate call service.
 - operation (invalidConnectionIdentifier) one of the connection identifiers in the request is not valid.
 - stateIncompatibility (invalidConnectionState) one (or both) of the calls is not in the appropriate state.

This example shows a negative response because the Alternate Call service is not supported.

This example shows a negative response because one of the connection identifiers in the request is invalid.

This example shows a negative response because of a privilege violation.

10.2 Answer Call

An application uses this service to answer an alerting call at a UA.

The UA should provide a positive response if it can successfully answer the call.

The UA should provide a negative response with an appropriate error code if it cannot successful answer the call. Some examples:

- The UA cannot uniquely identify an alerting call based upon the connection identifier in the request.
- The specified call at the UA is not in the alerting state.
- The UA does not support this service.

10.2.1 Service Request

The common Service Request elements are:

callToBeAnswered - this mandatory element contains the connection identifier of the alerting connection. The
connection identifier consists of the logical address of the device (e.g. line) and the call identifier of the call.
The application uses the contents of the connection element provided by either CSTA events, or by other
mechanisms (e.g. SIP event packages).

In this example, the application indicates that the alerting call with the SIP call-id of 123456789, remote-tag of 1222, and a local-tag of 7777 at the UA sip:tom@domain.com should be answered. (The SIP call-id, local-tag, and remote-tag were obtained from a SIP event package.)

10.2.2 Positive Service Response

There are no common Service Response elements in the positive service response.

This example indicates that the UA is answering the requested call.

```
<?xml version="1.0" encoding="UTF-8"?>
<AnswerCallResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

10.2.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) UA does not support the answer call service.
 - operation (invalidConnectionIdentifier) the connection identifier in the request is not valid.
 - stateIncompatibility (invalidConnectionState) call is not in the alerting state.

This example shows a negative response because there is no alerting call at the device.

This example shows a negative response because the Answer Call service is not supported.

This example shows a negative response because the connection identifier in the request is invalid.

This example shows a negative response because of a privilege violation.

10.3 Clear Connection

An application uses this service to clear an existing call at a UA.

The UA should provide a positive response if it can successfully clear the call.

The UA should provide a negative response with an appropriate error code if it cannot successfully clear the call. Some examples:

- The UA cannot uniquely identify the call based upon the connection identifier in the request.
- The UA does not support this service.
- The call has already been cleared at the UA.

10.3.1 Service Request

The common Service Request elements are:

• connectionToBeCleared - this mandatory element contains the connection identifier of the call to be cleared. The connection identifier consists of the logical address of the device (e.g. line) and the call identifier of the call. The application uses the contents of the connection element provided by either CSTA events, or by other mechanisms (e.g. SIP event packages).

In this example, the application indicates that call with the call identifier of 123456789 at the UA sip:tom1@domain.com should be cleared.

10.3.2 Positive Service Response

There are no common Service Response elements in the positive service response.

This example indicates that the UA is clearing the requested call.

```
<?xml version="1.0" encoding="UTF-8"?>
<ClearConnectionResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

10.3.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) UA does not support the service.
 - operation (invalidConnectionIdentifier) the connection identifier in the request is not valid.
 - operation (noConnectionToClear) there is no call at the UA associated with the connection identifier in the request.

This example shows a negative response because the Clear Connection Call service is not supported.

This example shows a negative response because the connection identifier in the request is invalid.

This example shows a negative response because there is no connection at the UA to clear.

10.4 Consultation Call

An application uses the Consultation Call service to place a call on hold and to originate a new call from the same device.

10.4.1 Service Request

The common Service Request elements are:

- existingCall this mandatory element specifies the existing connection.
- consultedDevice this mandatory element specifies the consulted device.

In this example, the application wants to place an existing call on hold and place another call from the same UA to the number 14085551212.

10.4.2 Positive Service Response

This example shows the positive response to the Consultation Call request.

The common Service Response elements are:

• initiatedCall connection identifier - this element provides the connection identifier that is used to reference the consulted connection. The connection identifier consists of the logical address of the device (e.g. line) and the call identifier of the call.

In this example, the UA indicates the originating connectionID for the call at the UA.

10.4.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) UA does not support the service.
 - operation (invalidConnectionIdentifier) the connection identifier in the request is not valid.

- operation (invalidDeviceIdentifier) the device identifier in the request is not valid.
- availability (resourceBusy) the UA is not in a state where it can accept a Consultation Call request.

This example shows a negative response because the UA cannot accept a Consultation Call request because it is busy.

This example shows a negative response because the Consultation Call service is not supported.

This example shows a negative response because the device identifier in the request is invalid.

10.5 Deflect Call

An application uses this service to move an existing call at a UA to another destination.

The UA should provide a positive response if it can successfully deflect the call.

The UA should provide a negative response with an appropriate error code if it cannot successfully deflect the call. Some examples:

- The specified call is not in the appropriate state at the UA.
- The UA cannot uniquely identify the call based upon the connection identifier in the request.
- The UA does not support this service.

10.5.1 Service Request

The common Service Request elements are:

- callToBeDiverted this mandatory element contains the connection identifier of the call to be diverted. The
 connection identifier consists of the logical address of the device (e.g. line) and the call identifier of the call.
 The application uses the contents of the connection element provided by either CSTA events, or by other
 mechanisms (e.g. SIP event packages).
- newDestination this mandatory element specifies the device identifier (e.g., SIP URI) where the call will be sent.

In this example, the application indicates that call with the call identifier of 123456789 at the UA sip:tom1@domain.com should be deflected to the address sip:tom2@domain.com.

10.5.2 Positive Service Response

There are no common Service Response elements in the positive service response.

This example indicates that the SIP phone is deflecting the call at the device.

```
<?xml version="1.0" encoding="UTF-8"?>
<DeflectCallResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

10.5.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) the UA does not support the service.
 - operation (invalidConnectionIdentifier) the connection identifier in the request is not valid.
 - stateIncompatibility (invalidConnectionState) call is not in the appropriate state at the UA.

This example shows a negative response because the Deflect Call service is not supported.

This example shows a negative response because the connection identifier in the request is invalid.

This example shows a negative response because the connection is not in the correct state.

10.6 Generate Digits

An application uses this service to cause a series of digits to be sent on an existing call at a UA.

The UA should provide a positive response if it supports the service, the service request is valid, and the call is in the connected state. This means that the UA will attempt to send the digits but does not indicate that this will be successful.

The UA should provide a negative response with an appropriate error code if it will not be attempting to generate the digits:

- The specified call is not in the connected state.
- The UA cannot uniquely identify the call based upon the connection identifier in the request.
- The UA does not support this service.

10.6.1 Service Request

The common Service Request elements are:

- connectionToSendDigits this mandatory element contains the connection identifier of the call on which the digits will be sent. The connection identifier consists of the logical address of the device (e.g. line) and the call identifier of the call. The application uses the contents of the connection element provided by either CSTA events, or by other mechanisms (e.g. SIP event packages).
- characters To Send this mandatory element specifies the string of characters to send. The characters consist of the following (DTMF) characters: 0,1,2,3,4,5,6,7,8,9, *,#, A,B,C,D.

In this example, the application indicates that the digit "1" should be sent on the call with the call identifier of 123456789 at the UA sip:tom1@domain.com.

10.6.2 Positive Service Response

There are no common Service Response elements in the positive service response.

This example indicates that the SIP phone has validated the request and will attempt to generate the digits.

```
<?xml version="1.0" encoding="UTF-8"?>
<GenerateDigitsResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

10.6.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) the UA does not support the service.
 - operation (invalidConnectionIdentifier) the connection identifier in the request is not valid.
 - operation (invalidParameterValue) the charactersToSend value in the request is not valid.
 - stateIncompatibility (invalidConnectionState) call is not in the connected state.

This example shows a negative response because the Deflect Call service is not supported.

This example shows a negative response because the connection identifier in the request is invalid.

10.7 Hold Call

An application uses this service to hold a connected call at a UA.

The UA should provide a positive response if it can successfully hold the call.

The UA should provide a negative response with an appropriate error code if it cannot successfully hold the call. Some examples:

- The UA cannot uniquely identify a call based upon the connection identifier in the request.
- The specified call is not in the connected state.
- The UA does not support this service.

10.7.1 Service Request

The common Service Request elements are:

callToBeHeld - this mandatory element contains the connection identifier of the connection to be held. The
connection identifier consists of the logical address of the device (e.g. line) and the call identifier of the call.
The application uses the contents of the connection element provided by either CSTA events, or by other
mechanisms (e.g. SIP event packages).

In this example, the application indicates that call with the call identifier of 123456789 at the UA sip:tom1@domain.com should be held.

10.7.2 Positive Service Response

There are no common Service Response elements in the positive service response.

This example indicates that the SIP phone is holding the requested call.

```
<?xml version="1.0" encoding="UTF-8"?>
<HoldCallResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

10.7.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) the UA does not support the Hold Call service.
 - operation (invalidConnectionIdentifier) the connection identifier in the request is not valid.
 - stateIncompatibility (invalidConnectionState) the call is not in the connected state.

This example shows a negative response because there is no connected call at the UA.

This example shows a negative response because the Hold Call service is not supported.

This example shows a negative response because the connection identifier in the request is invalid.

10.8 Make Call

An application uses the Make Call service to set up a call between the UA and another device.

10.8.1 Service Request

The common Service Request elements are:

- calling Device this mandatory element specifies the SIP URI of the originating line on the UA.
- calledDevice this mandatory element specifies the device of the called device.
- autoOriginate this optional element specifies if the originating UA should either be prompted or not prompted to go manually off hook. The possible values are:
 - prompt (default) specifies that the originating UA should be prompted (typically the device is rung) to go off hook.
 - doNotPrompt specifies that the originating UA should attempt to connect the originating device without manual interaction (hands free mode). If the UA cannot connect without prompting, the processing of the service should continue and the originating device should be prompted instead.
- userData this optional element specifies user data that is to be sent to parties in the call. Note that the
 application should verify that the sending of user data can be supported by the device and by the underlying
 transport.

In this example, the application wants to establish a call from the originating UA sip:tom1@domain.com (on the SIP phone) to sip:ed@domain.com. The application indicates that the call should be connected to the originating UA without prompting (hands free mode).

In this example, the application indicates that the originating UA should be prompted to go off hook. Since this is the default value of autoOriginate, it would not need to be included to specify this behaviour. If the originating UA does not go off hook within a UA determined period, the UA should clear the call.

10.8.2 Positive Service Response

This example shows the positive response to the Make Call request.

The common Service Response elements are:

callingDevice connection identifier - this mandatory element provides the connection identifier that is used to
reference the connection in subsequent services. It is also provided in future CSTA events associated with the
connection. The connection identifier consists of the logical address of the device (e.g. line) and the call
identifier of the call.

In this example, the UA indicates the originating connectionID for the call at the UA.

10.8.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) UA does not support the service.
 - operation (invalidCallingDeviceIdentifier) the connection identifier in the request is not valid.
 - operation (invalidCalledDeviceIdentifier) the connection identifier in the request is not valid.
 - availability (resourceBusy) the UA is not in a state where it can accept a Make Call request.

This example shows a negative response because the UA cannot accept a Make Call request because it is busy.

This example shows a negative response because the Make Call service is not supported.

This example shows a negative response because the calling device in the request is invalid.

10.9 Reconnect Call

An application uses this service to clear a specified connection at the UA and retrieve a specified held connection at the same UA.

The UA should provide a positive response if it can successfully perform the service.

The UA should provide a negative response with an appropriate error code if it cannot successful reconnect the call. Some examples:

- The UA cannot uniquely identify a connected and/or held call based upon the connection identifiers in the request.
- One or both calls at the UA are not in the appropriate state.
- The UA does not support this service.

10.9.1 Service Request

The common Service Request elements are:

- activeCall this mandatory element contains the connection identifier of the active call to be cleared. The
 connection identifier consists of the logical address of the device (e.g. line) and the call identifier of the call.
 The application uses the contents of the connection element provided by either CSTA events, or by other
 mechanisms (e.g. SIP event packages).
- heldCall this mandatory element contains the connection identifier of the held call to be retrieved. The
 connection identifier consists of the logical address of the device (e.g. line) and the call identifier of the call.
 The application uses the contents of the connection element provided by either CSTA events, or by other
 mechanisms (e.g. SIP event packages).

In this example, the application wants to clear the active connection and retrieve the held connection at the same UA.

10.9.2 Positive Service Response

There are no common Service Response elements in the positive service response.

This example indicates that the UA is clearing the active call and retrieving the held call.

```
<?xml version="1.0" encoding="UTF-8"?>
<ReconnectCallResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

10.9.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) UA does not support the Reconnect Call service.
 - operation (invalidConnectionIdentifier) one of the connection identifiers in the request is not valid.
 - stateIncompatibility (invalidConnectionState) one (or both) of the calls is not in the appropriate state.

This example shows a negative response because the Reconnect Call service is not supported.

This example shows a negative response because one of the connection identifiers in the request is invalid.

10.10 Retrieve Call

An application uses this service to retrieve a held call at a UA.

The UA should provide a positive response if it can successfully retrieve the call.

The UA should provide a negative response with an appropriate error code if it cannot successful retrieve the call: Some examples:

- The specified call is not in the held state.
- The UA does not support this service.

10.10.1 Service Request

The common Service Request elements are:

callToBeRetrieved - this mandatory element contains the connection identifier of the connection to be
retrieved. The connection identifier consists of the logical address of the device (e.g. line) and the call
identifier of the call. The application uses the contents of the connection element provided by either CSTA
events, or by other mechanisms (e.g. SIP event packages).

In this example, the application indicates that call with the call identifier of 123456789 at the UA sip:tom1@domain.com should be retrieved.

10.10.2 Positive Service Response

There are no common Service Response elements in the positive service response.

This example indicates that the UA is retrieving the requested call.

```
<?xml version="1.0" encoding="UTF-8"?>
<RetrieveCallResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

10.10.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) the UA does not support the service.

- operation (invalidConnectionIdentifier) the connection identifier in the request is not valid.
- stateIncompatibility (invalidConnectionState) call is not in the held state.

This example shows a negative response because there is no held call at the device.

This example shows a negative response because the Retrieve Call service is not supported.

This example shows a negative response because the connection identifier in the request is invalid.

10.11 Single Step Transfer Call

An application uses this service to transfer an existing connection at a UA to another device.

This transfer is performed in a single step, that is, the UA does not place the existing call on hold before transferring the call.

The UA should provide a positive response if it can successfully transfer the call.

The UA should provide a negative response with an appropriate error code if it cannot successfully transfer the call. Some examples:

- The specified call is not in the appropriate state at the UA.
- The UA cannot uniquely identify the call based upon the connection identifier in the request.
- The UA does not support this service.

10.11.1 Service Request

The common Service Request elements are:

- activeCall this mandatory element contains the connection identifier of the call to be transferred. The connection identifier consists of the logical address of the device (e.g. line) and the call identifier of the call. The application uses the contents of the connection element provided by either CSTA events, or by other mechanisms (e.g. SIP event packages).
- transferredTo this mandatory element specifies the device identifier (e.g., SIP URI) where the call will be sent.

In this example, the application indicates that call with the call identifier of 123456789 at the UA sip:tom1@domain.com should be transferred to the phone number +1(800)555-1212.

10.11.2 Positive Service Response

There are no common Service Response elements in the positive service response.

This example indicates that the SIP phone is transferring the call at the device.

```
<?xml version="1.0" encoding="UTF-8"?>
<DeflectCallResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

10.11.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) the UA does not support the service.
 - operation (invalidConnectionIdentifier) the connection identifier in the request is not valid.
 - stateIncompatibility (invalidConnectionState) call is not in the appropriate state at the UA.

This example shows a negative response because the Single Step Transfer Call service is not supported.

This example shows a negative response because the connection identifier in the request is invalid.

This example shows a negative response because the connection is not in the correct state.

10.12 Transfer Call

An application uses this service to transfer a call held at the UA to an active call at the same UA.

The held and active calls at the UA are merged into a new call and the UA is released from the call.

The UA should provide a positive response if it can successfully transfer the call.

The UA should provide a negative response with an appropriate error code if it cannot successfully transfer the call. Some examples:

- The specified call is not in the appropriate state at the UA.
- The UA cannot uniquely identify the call based upon the connection identifier in the request.
- The UA does not support this service.

10.12.1 Service Request

The common Service Request elements are:

- heldCall this mandatory element contains the connection identifier of the held call at the UA. The connection
 identifier consists of the logical address of the device (e.g. line) and the call identifier of the call. The
 application uses the contents of the connection element provided by either CSTA events, or by other
 mechanisms (e.g. SIP event packages).
- activeCall connection identifier of the active call at the UA. The connection identifier consists of the logical address of the device (e.g. line) and the call identifier of the call. The application uses the contents of the connection element provided by either CSTA events, or by other mechanisms (e.g. SIP event packages).

In this example, the application indicates that calls with the call identifier of 123456789 and 5678 at the UA sip:tom1@domain.com should be merged into a new call and the UA sip:tom1@domain.com is released from the resulting call.

10.12.2 Positive Service Response

This example shows the positive response to the Transfer Call request.

The common Service Response elements are:

• transferredCall connection identifier - this mandatory element provides the connection identifier of the transferredTo device in the resulting call. The connection identifier consists of the logical address of the device (e.g. line) and the call identifier of the call.

In this example, the UA indicates the connectionID of the transferred call at the UA sip:jane1@domain.com.

10.12.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) the UA does not support the service.
 - operation (invalidConnectionIdentifier) the connection identifier in the request is not valid.
 - stateIncompatibility (invalidConnectionState) call is not in the appropriate state at the UA.

This example shows a negative response because the Transfer Call service is not supported.

This example shows a negative response because the connection identifier in the request is invalid.

This example shows a negative response because the connection is not in the correct state.

11 Physical Phone Features

This clause shows examples of how CSTA can be used to control and query physical components commonly found on a UA like a speakerphone, microphone, and a message waiting indicator.

In addition to these components, ECMA-269 and ECMA-323 specifies additional features to control physical attributes such as buttons, displays, hook switch status, lamps, and ringer status.

Speakers and Microphone Components

An *auditory apparatus* is a component that contains a speaker and/or a microphone. A UA can support several auditory apparatuses associated with it such as:

- Handset an auditory apparatus that is held in a person's hand that contains a microphone and speaker.
- Headset an auditory apparatus that is worn on a person's head and contains a microphone and speaker.
- Speakerphone an auditory apparatus that does not require a person's body to use the apparatus and contains a microphone and speaker.
- Speaker-only speakerphone a speakerphone without a microphone.
- Microphone-only speakerphone a speakerphone without a speaker.

Each auditory apparatus has an identifier, called an auditory Apparatus ID, that allows an application to control attributes associated with a specific auditory apparatus such as:

- Microphone gain the input level for the microphone.
- Microphone mute temporarily disables the microphone.
- Speaker Volume the output level for the speaker.
- Speaker Mute temporarily disables the speaker output.

Addressing a Physical Element of a UA

The physical element of a UA is referenced through a deviceID (SIP URI) which, for single line phones, is typically the same SIP URI used to reference a UA's logical element (line).

For uaCSTA, since the CSTA request is sent on an existing SIP dialog, the physical element referenced will be that which terminates the dialog.

11.1 Get Message Waiting Indicator

An application can use this service to query a UA's message waiting indicator status.

The message waiting indicator can be used to notify a user (typically via a dedicated lamp on a phone) when messages are available.

11.1.1 Service Request

The common Service Request elements are:

• device - this mandatory element specifies the URI of the UA.

In this example, an application requests the status of a UA's message waiting indicator.

11.1.2 Service Response

The common Service Response elements are:

- messageWaitingOn this mandatory element specifies the value of the message waiting indicator. The
 possible values are:
 - true message waiting in ON.
 - false message waiting is OFF.

In this example, the UA indicates that the message waiting indicator is on.

```
<?xml version="1.0" encoding="UTF-8"?>
<GetMessageWaitingIndicatorResponse
    xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <messageWaitingOn>true</messageWaitingOn>
    </GetMessageWaitingIndicatorResponse>
```

11.2 Set Message Waiting Indicator

An application can use this service to control a UA's message waiting indicator status.

The message waiting indicator can be used to notify a user (typically via a dedicated lamp on a phone) when messages are available.

11.2.1 Service Request

The common Service Request elements are:

- device specifies the URI of the UA.
- messageWaitingOn this mandatory element specifies the requested setting of the message waiting feature. The possible values are:
 - true message waiting in ON.
 - false message waiting is OFF.

Example of a request from an application to clear the message waiting indicator:

11.2.2 Service Response

There are no common Service Response elements.

EXAMPLE:

11.3 Get Speaker Mute

An application can use this service to obtain a UA's speaker mute setting.

11.3.1 Service Request

The common Service Request elements are:

- device this mandatory element specifies the URI of the phone.
- auditoryApparatus this optional element specifies the phone's auditory apparatus associated with the speaker.
 If this element is not provided, the phone will provide a list of the mute status associated with all of the auditoryApparatuses.

Example of a request from an application to get the phone's speaker mute setting for auditory Apparatus 1:

11.3.2 Service Response

The common Service Response elements are:

- speakerMuteList this mandatory element specifies a list of speakerMuteItem elements that contain:
 - auditory Apparatus specifies the phone's auditory apparatus.
 - speakerMuteOn specifies the speaker mute setting. The possible values are: true (mute is ON) and false (mute is off).

This example response shows that the speaker associated with auditory apparatus 1 is set Mute on.

11.4 Set Speaker Mute

An application can use this service to control a UA's speaker mute status.

11.4.1 Service Request

The common Service Request elements are:

- device this mandatory element specifies the URI of the UA.
- auditoryApparatus this mandatory element specifies the UA's auditory apparatus that contains the speaker to be controlled.
- speakerMuteOn this mandatory element specifies the requested setting of the speaker mute. The possible values are:
 - true Mute is ON.
 - false Mute is OFF.

Example of a request from an application to set the UA's speaker mute status to Mute:

11.4.2 Service Response

There are no common Service Response elements.

```
EXAMPLE:
```

11.5 Get Speaker Volume

An application can use this service to obtain a UA's speaker volume setting.

11.5.1 Service Request

The common Service Request elements are:

- device this mandatory element specifies the URI of the UA.
- auditoryApparatus this optional element specifies the UA's auditory apparatus associated with the speaker. If
 this element is not provided, the UA will provide a list of the volume setting associated with all of the
 auditoryApparatuses.

Example of a request from an application to get the UA's speaker volume setting. In this case, the application is omitting an auditoryApparatus element so the UA should provide a list of all of its auditoryApparatuses.

11.5.2 Service Response

The common Service Response elements are:

- speakerVolumeList this mandatory element specifies a list of the UA's auditory apparatuses:
 - auditory Apparatus this mandatory element specifies the UA's auditory apparatus that contains the volume setting.
 - speakerVolAbs this optional element specifies the absolute volume setting of the speaker where the setting of 0 indicates the most silent and the value of 100 indicates the maximum possible value. This element is not provided when the UA cannot provide the speaker volume.

This example response shows that the speaker associated with auditory apparatus 1 is set to maximum volume. Although there is another auditory apparatus on the UA, its speaker volume cannot be provided.

11.6 Set Speaker Volume

An application can use this service to control a UA's speaker volume setting.

11.6.1 Service Request

The common Service Request elements are:

- device this mandatory element specifies the URI of the UA.
- auditoryApparatus this mandatory element specifies the UA's auditory apparatus that contains the speaker to be controlled.
- speakerVolume this mandatory element specifies either a speaker volume as an absolute value or that the
 volume should be incremented or decremented by a phone-specified amount (typically corresponding to an
 amount that would be adjusted by manually pressing the volume button on a phone). One of the following is
 provided:
 - volAbs is used to provide an absolute volume setting value where the setting of 0 indicates the most silent and the value of 100 indicates the maximum possible value.
 - volInc specifies the value "Increment" if the volume should be incremented or "Decrement" if the value should be decremented.

Example of a request from an application to set the phone's speaker volume to maximum volume:

Example of a request from an application to decrement the phone's speaker volume:

11.6.2 Service Response

There are no common Service Response elements.

EXAMPLE:

12 Logical Phone Features

A logical element of a UA refers to the part of the UA that manages and interacts with calls.

A logical element of a UA is referenced through a deviceID (SIP URI). A UA may support one or more addressable logical elements (e.g. multi line phones). A UA may share a logical element with other physical devices (e.g. bridged appearance phone).

This clause shows examples of how CSTA can be used to control and query attributes associated with a logical element such as do not disturb and forwarding. In addition to these features, ECMA-269 and ECMA-323 specify many additional features to control logical attributes of a UA.

12.1 Get Do Not Disturb

An application can use this service to query a UA's do not disturb status.

The do not disturb feature is used to prevent incoming calls at a UA.

12.1.1 Service Request

The common Service Request elements are:

• device - this mandatory element specifies the URI of the UA.

In this example, an application requests the status of a UA's do not disturb status.

12.1.2 Service Response

The common Service Response elements are:

- doNotDisturb this mandatory element specifies the value of the message waiting indicator. The possible values are:
 - true do not disturb feature is ON.
 - false do not disturb feature is OFF.

In this example, the UA indicates that the do not disturb status is off.

12.2 Set Do Not Disturb

An application can use this service to control a UA's do not disturb status.

The do not disturb status can be used to prevent incoming calls at a UA.

12.2.1 Service Request

The common Service Request elements are:

- device this mandatory element specifies the URI of the phone.
- doNotDisturbOn this mandatory element specifies the requested setting of the do not disturb feature. The possible values are:
 - true do not disturb is ON.
 - false do not disturb is OFF.

Example of a request from an application to set the do not disturb status to ON:

12.2.2 Service Response

There are no common Service Response elements.

```
EXAMPLE:
```

```
<?xml version="1.0" encoding="UTF-8"?>
<SetDoNotDisturbResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

12.3 Get Forwarding

An application can use this service to query the current status of the forwarding feature of a UA.

The forwarding feature is used to redirect incoming calls to an alternate destination.

12.3.1 Service Request

The common Service Request elements are:

• device - this mandatory element specifies the URI of the UA.

In this example, an application requests the status of a UA's forwarding feature.

12.3.2 Service Response

The common Service Response elements are:

- forwardingList this mandatory element specifies a list of forwardListItem elements that contain:
 - forwarding Type this mandatory element indicates the forwarding types. Some of the common forwarding types are: forwardImmediate, forwardBusy, forwardDND, and forwardNoAns.
 - forwardStatus this mandatory element indicates the forwarding status of false (the forwarding type is not active) or true (the forwarding type is active).
 - forwardDN this mandatory element specifies the destination to which calls are forwarded.
 - ringCount this mandatory element specifies the number of ring cycles prior to forwardNoAns.

In this example, the phone indicates that the UA is set to forward all incoming calls to sip:tom2@domain.com.

In this example, the UA indicates that it will forward incoming calls to sip:tom2@domain.com if the phone is not answered in 10 ring cycles.

12.4 Set Forwarding

An application can use this service to control a UA's forwarding feature.

The forwarding feature is used to redirect incoming calls to an alternate destination.

12.4.1 Service Request

The common Service Request elements are:

- device this mandatory element specifies the URI of the UA.
- forwardingType this mandatory element specifies the requested forwarding type. Some of the common forwarding types are: forwardImmediate, forwardBusy, forwardDND, and forwardNoAns.
- activateForward this mandatory element specifies the forwarding status of false (the forwarding type is not active) or true (the forwarding type is active).
- forwardDN this mandatory element specifies the destination to which calls are forwarded.
- ringCount this optional element specifies the number of ring cycles prior to forwardNoAns.

Example of a request from an application to set the UA to forward unanswered calls to UA sip:tom2@domain.com after 10 ring cycles:

12.4.2 Service Response

There are no common Service Response elements.

EXAMPLE:

```
<?xml version="1.0" encoding="UTF-8"?>
<SetForwardingResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

13 Monitoring Services and Events

13.1 Monitor Start

An application uses the Monitor Start service to observe changes in the state of connections and features at a UA.

The UA should provide a positive response if it can establish a monitor. The UA provides a monitorCrossRefID in the positive response that is used to correlate subsequent CSTA events to this Monitor Start request.

The UA should provide a negative response with an appropriate error code if it cannot successfully establish a monitor. Some examples:

- The UA does not recognize the monitorObject in the service request.
- The UA is not permitted to establish a monitor for the monitorObject in the service request.
- The UA does not support this service.

13.1.1 Service Request

The common Service Request elements are:

- monitorObject this mandatory element specifies the URI of the UA to be observed.
- monitorType this optional element specifies the type of monitor. If not provided in the service request the UA uses a monitorType of "device" as the default value.
- requestedMonitorMediaClass this optional element specifies a list of the type of media that the application in interested in observing. If not provided in the service request the UA should assume that the application is interested in receiving events for all CSTA media types supported by the UA.

In this example, an application requests that the UA sip:tom@sip.com be monitored. The monitorType is device which indicates that only calls at the specified monitorObject are monitored. The application is interested in observing both voice and IM calls at the specified device as shown below.

13.1.2 Positive Service Response

This example shows the positive response to the Monitor Start request.

The common Service Response elements are:

- monitorCrossRefID this element is a unique value that that is used to correlate subsequent CSTA events to the monitor request that initiated the event reporting.
- actualMonitorMediaClass this optional element specifies a list of the actual types of media that the UA is observing. The types of media observed by the UA may be the same or a subset of the media types requested in the service request. The UA may omit this element if the actualMonitorMediaClass type is the same as what was requested in the service request.

In this example, the UA indicates it has assigned a value of 5665621 to the monitorCrossRefID associated with this monitor. Subsequent CSTA events generated as a result of this monitor request will contain this value. The UA is also indicating that both voice and Instant Messaging media class types are observed for this monitor.

13.1.3 Negative Service Response

The CSTAErrorCode message is used to indicate a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
- operation (serviceNotSupported) UA does not support the service.
- operation (invalidMonitorObject) the monitor object in the request is not valid.
- operation (privilegeViolationSpecifiedDevice) the UA could not establish the monitor because it does not have the authorization to do so.
- system resource availability (outOfService) the UA cannot start a monitor because the monitor object is out
 of service.

This example shows a negative response because the UA is not authorized to observe this monitor object.

This example shows a negative response because the Monitor Start service is not supported.

This example shows a negative response because the monitor object in the request is invalid.

13.2 Monitor Stop

An application uses the Monitor Stop service to stop an existing monitor.

The UA should provide a negative response with an appropriate error code if it cannot stop the specified monitor. Some examples:

- The UA does not recognize the monitorCrossRefID in the service request.
- The UA does not support this service.

13.2.1 Service Request

The common Service Request elements are:

• monitorCrossRefID - this mandatory element specifies the monitor cross reference ID that was provided in the Monitor Stop positive response.

In this example, an application requests that the existing monitor associated with the monitor cross reference identifier of 5665621 be stopped.

13.2.2 Positive Service Response

There are no common Service Response elements in the positive service response.

This example indicates that the UA has stopped the monitor specified in the service request.

```
<?xml version="1.0" encoding="UTF-8"?>
<MonitorStopResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"/>
```

13.2.3 Negative Service Response

The CSTAErrorCode message indicates a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) UA does not support the service.
 - operation (invalidMonitorCrossRefID) the monitor object in the request is not valid.

This example shows a negative response because the Monitor Stop service is not supported.

This example shows a negative response because the monitor cross reference identifier in the request is invalid.

13.3 Events

CSTA events indicate changes in the state of a CSTA connection or a feature at a UA.

Events are organized in CSTA standards into categories just like CSTA services.

Clause 8 summarizes the events that are part of the uaCSTA profiles. There are numerous examples of how events are used in clause 16.

Refer to ECMA-269 for a complete description of all of the CSTA events, event elements, and behaviours.

14 Snapshot Services

14.1 Snapshot Device

An application uses the Snapshot Device service to obtain information about the CSTA connections at a UA.

The UA should provide a positive response with the list of zero or more connections and information about each connection at the UA.

The UA should provide a negative response with an appropriate error code if it cannot provide a positive response. Some examples:

- The UA does not recognize the snapshotObject in the service request.
- The UA is not permitted to snapshot the snapshotObject in the service request.
- The UA does not support this service.

14.1.1 Service Request

The common Service Request elements are:

snapshotObject - this mandatory element specifies the URI of the UA to be snapshot.

In this example, an application requests that the UA sip:tom@sip.com be snapshot.

14.1.2 Positive Service Response

Since the response information is returned in a single response message the element snapshotData is provided with zero or more snapshotDeviceResponseInfo elements (i.e. corresponding to the number of CSTA connections at the UA).

The common elements in the snapshotDeviceResponseInfo element are:

• connectionIdentifier - this mandatory element provides the connectionID of the connection. This is the connectionID that is used in CSTA services that are applied to the connection.

- localCallState this mandatory element specifies a compoundCallState which consists of one or more CSTA connection states. The first connection state in the list is the "local" connection state of the connection being reported. Other connection states that reflect other connections in the same call (at different devices) may also be provided, if known to the UA.
- servicesPermitted this optional parameter indicates what CSTA services can be applied to the connection being reported. Since the call model and features supported by a UA can vary, the UA is encouraged to provide this information to applications so that applications can know which services can be applied to the connection given the state of the connection and the state of the other connections at the UA.
- mediaCallCharacteristics this optional element indicates the mediaClass (voice, image, IM, etc.) of the connection being reported.

In this example, the UA indicates that there is one CSTA connection at the device with a callID of 32387 and a deviceID of sip:tom1@domain.com. The connection state is alerting. The UA is indicating that three CSTA services can be applied to the connection: Deflect Call, Answer Call, and Clear Connection. The UA indicates that this is a voice call.

```
<?xml version="1.0" encoding="UTF-8"?>
<SnapshotDeviceResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"</pre>
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
    <crossRefIDorSnapshotData>
        <snapshotData>
            <snapshotDeviceResponseInfo>
                <connectionIdentifier>
                     <callTD>32387</callTD>
                     <deviceID>sip:tom1@domain.com</deviceID>
                </connectionIdentifier>
                <localCallState>
                     <compoundCallState>
                         <localConnectionState>alerting</localConnectionState>
                     </compoundCallState>
                </localCallState>
                <servicesPermitted>
                     <callControlServices>
                         <answerCall>true</answerCall>
                         <clearConnection>true</clearConnection>
                        <deflectCall>true</deflectCall>
                     </callControlServices>
                     <callAssociatedServices/>
                     <mediaAttachementServices/>
                     <routeingServices/>
                     <voiceUnitServices/>
                </servicesPermitted>
                <mediaCallCharacteristics>
                     <mediaClass>
                        <voice>true</voice>
                    </mediaClass>
                </mediaCallCharacteristics>
            </snapshotDeviceResponseInfo>
        </snapshotData>
    </crossRefIDorSnapshotData>
</SnapshotDeviceResponse>
```

In the next example, the UA indicates that there are two (voice media) CSTA connections at the device. The connection state of the first call is held and is connected for the second connection.

The UA is indicating that only one CSTA service can be applied to the first connection: Clear Connection. The UA indicates that the following CSTA services can be applied to the second connection: Transfer Call, Alternate Call, and Reconnect Call.

```
</compoundCallState>
                </localCallState>
                <servicesPermitted>
                    <callControlServices>
                        <clearConnection>true</clearConnection>
                    </callControlServices>
                    <callAssociatedServices/>
                    <mediaAttachementServices/>
                    <routeingServices/>
                    <voiceUnitServices/>
                </servicesPermitted>
                <mediaCallCharacteristics>
                    <mediaClass>
                        <voice>true</voice>
                    </mediaClass>
                </mediaCallCharacteristics>
            </snapshotDeviceResponseInfo>
            <snapshotDeviceResponseInfo>
                <connectionIdentifier>
                    <callID>32388</callID>
                    <deviceID>sip:tom1@domain.com</deviceID>
                </connectionIdentifier>
                <localCallState>
                    <compoundCallState>
                        <localConnectionState>connected</localConnectionState>
                    </compoundCallState>
                </localCallState>
                <servicesPermitted>
                    <callControlServices>
                        <alternateCall>true</alternateCall>
                        <reconnectCall>true</reconnectCall>
                        <transferCall>true</transferCall>
                    </callControlServices>
                    <callAssociatedServices/>
                    <mediaAttachementServices/>
                    <routeingServices/>
                    <voiceUnitServices/>
                </servicesPermitted>
                <mediaCallCharacteristics>
                    <mediaClass>
                        <voice>true</voice>
                    </mediaClass>
                </mediaCallCharacteristics>
            </snapshotDeviceResponseInfo>
        </snapshotData>
    </crossRefIDorSnapshotData>
</SnapshotDeviceResponse>
```

In the next example, the UA indicates that there are no CSTA connections at the snapshot object.

14.1.3 Negative Service Response

The CSTAErrorCode message indicates a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) UA does not support the service.
 - operation (invalidSnapshotObject) the snapshot object in the request is not valid.
 - operation (privilegeViolationSpecifiedDevice) the UA could not snapshot the object because it does not have the authorization to do so.

This example shows a negative response because the UA is not authorized to snapshot this object.

This example shows a negative response because the Snapshot Device service is not supported.

This example shows a negative response because the snapshot object in the request is invalid.

15 Discovery and System Status Services

15.1 Get CSTA Features

This service obtains the list of supported CSTA features (CSTA Services and Events).

This is a system level (i.e. CSTA switching function level) request. If there is only one UA in the switching function, then this service returns all of the features supported by that UA. If there are more than one UA supported in the switching function then this returns the superset of all services and events supported by all of the UAs.

Note that this is a "lightweight" CSTA Capability Exchange service. Other CSTA services can be used to obtain additional information about a specific UA (device).

15.1.1 Service Request

There are no common Service Request elements in the service request.

In this example, an application requests the supported CSTA features.

```
<?xml version="1.0" encoding="UTF-8"?>
<GetCSTAFeatures xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"/>
```

15.1.2 Service Response

The common Service Response elements are:

- supportedServices this mandatory element specifies a list of the supported CSTA services. The services are organized by categories. If the service is not included in the list it is not supported.
- supportedEvents this mandatory element specifies a list of the supported CSTA events. The events are organized by categories. If the event is not included in the list it is not supported.

In this example, the following services and events are supported by one or more UAs in the switching function.

```
<systemStatus/>
        </systemStatServList>
        <monitoringServList>
            <monitorStart/>
            <monitorStop/>
        </monitoringServList>
        <snapshotServList>
            <snapshotDevice/>
        </snapshotServList>
        <callControlServList>
            <answerCall/>
            <clearConnection/>
            <deflectCall/>
            <holdCall/>
            <makeCall/>
            <retrieveCall/>
            <singleStepTransfer/>
        </callControlServList>
    </supportedServices>
    <supportedEvents>
        <callControlEvtsList>
            <connectionCleared/>
            <delivered/>
            <diverted/>
            <established/>
            <failed/>
            <held/>
            <netwReached/>
            <retrieved/>
            <serviceInitiated/>
            <transferred/>
        </callControlEvtsList>
    </supportedEvents>
</GetCSTAFeaturesResponse>
```

15.1.3 Negative Service Response

The CSTAErrorCode message indicates a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) UA does not support the service.

This example shows a negative response because the Get CSTA Features service is not supported.

15.2 Request System Status

This service is used by the application to obtain the status of the system (i.e. application association).

15.2.1 Service Request

There are no common Service Request elements in the service request.

In this example, an application requests the supported CSTA features.

```
<?xml version="1.0" encoding="UTF-8"?>
<RequestSystemStatus xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"/>
```

15.2.2 Service Response

The common Service Response elements are:

- systemStatus this is the system status. Some of the typical values are:
 - normal the system status is normal.
 - disabled the system status is disabled. Existing monitors have been lost and will need to be reestablished once the system status is no longer disabled.

The following example illustrates how a system status of normal is returned.

15.2.3 Negative Service Response

The CSTAErrorCode message indicates a negative response.

The common elements are:

- A mandatory error category element. There is a choice of seven error categories each with a set of error values. See ECMA-323 clause 9.19 for a list of all possible error codes. Some commonly used error codes are:
 - operation (serviceNotSupported) UA does not support the service.

This example shows a negative response because the Request System Status service is not supported.

15.3 System Status

This service is used to send the status of the system (i.e. application association) to the application.

15.3.1 Service Request

The common Service Request elements are:

- systemStatus this mandatory element specifies the status of the system. Some of the common system status values reported are:
 - disabled all monitors that have been started on the application session have been lost and if further
 monitoring is needed, the application must re-establish the monitors using the Monitor Start service once
 the system status is no longer disabled.
 - enabled all monitors that have been started on the application session have been lost and further monitoring is needed, the application must re-establish the monitors using the Monitor Start service.
 - normal the system status is normal.

In this example, the switching function is reporting a systemStatus of enabled. This means that any monitors started during the application session have been lost and need to be re-established via the Monitor Start service.

15.3.2 Positive Service Response

```
<?xml version="1.0" encoding="UTF-8"?>
<SystemStatusResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"/>
```

15.3.3 Negative Service Response

There should be no expected negative service response to the System Status request.

16 ECMA-323 Illustrative Examples

This clause provides examples of ECMA-323 XML messages when used with SIP. The clause is organized into sections that address the environments discussed in clause 5.

16.1 Controlling a SIP UA

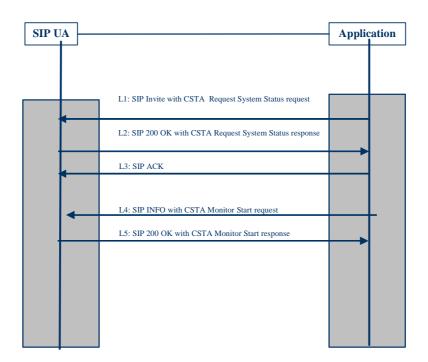
This clause shows examples of how uaCSTA (CSTA over SIP) can be used to control a SIP UA that, in this example, is a SIP phone.

There are two functional components in these examples:

- SIP UA From a CSTA interface perspective, this is a CSTA "switching function". The SIP UA application protocol is ECMA-323 over SIP. The CSTA deviceID of the SIP phone is represented with a contact URI of sip:ua1@123.123.123.123.
- Application From a CSTA interface perspective this is a "computing function". This component typically
 includes a client application. The application is also a SIP UA that is represented with a contact URI of
 sip:app1@pc33.domain.com.

16.1.1 Creating an Application Session, Establishing a Monitor for a SIP Phone

The following figure shows how an application session is created and how a CSTA monitor is started.



The first thing that an application does is establish a CSTA application session with the SIP UA. This is accomplished by sending a SIP INVITE with a CSTA Request System Status service request provided in the body of the INVITE method. The content type is application/csta+xml. The content disposition header specifies that the UA must support the application/csta+xml content type.

In this example, the application sends the INVITE to the user's published address, or Address of Record (AoR) URI sip:tom@domain.com.

Some of the CSTA relevant SIP headers are included in the example below. Refer to RFC 3261 for a complete description of the format of the SIP INVITE and associated headers.

```
INVITE sip:tom@domain.com SIP/2.0
Via:
Max-Forwards:
To: <sip:tom@domain.com>
From: <sip:appl@domain.com>; tag=abc
Call-id:
CSeq: 1 INVITE
Contact: <sip:appl@pc33.domain.com>
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn

<?xml version="1.0" encoding="UTF-8"?>
<RequestSystemStatus xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"/>
```

The UA accepts the Invite and detects (through the Content-Disposition and Content-Type headers) that this is a special Invite to establish a CSTA application session. (This UA supports this MIME type - otherwise it returns a 415 (Unsupported Media Type) response message to the INVITE.)

After the CSTA application association is established, the UA sends a SIP 200 OK to the application as shown in line 2. The 200 OK message includes the CSTA Request System Status response that provides a "normal" system status indicating that a CSTA application session has been established. Note that other system status values could also be provided - in these cases an application session is still considered established but, depending upon the system status value, certain CSTA features may not yet be available.

In this example, the 200 OK includes a contact address URI of sip:ua1@123.123.123.123 that is used to address this UA in subsequent SIP methods such as INFO.

The application responds with an ACK to establish the SIP session for transporting CSTA service requests and responses as shown in line 3.

The app wants to be informed when an incoming call arrives at the UA so it starts a CSTA Monitor on the UA that it is interested in observing (sip:ua1@123.123.123.123). The ECMA-323 Monitor Start service is encapsulated in a SIP INFO method as shown in line 4.

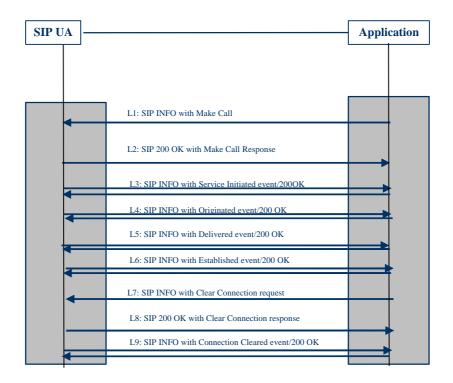
Note that the SIP Request URI header specifies the address returned in the Contact header from the INVITE 200 OK response.

```
INFO sip:ual@123.123.123.123 SIP/2.0
.
.
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
```

The UA notifies the application that the monitor request was successful by sending a Monitor Start positive response in a 200 OK as shown in line 5.

16.1.2 Creating a Call from a SIP UA, Clearing a Call at a SIP UA

The following figure shows an example of a call origination from a SIP UA. The application issues a CSTA Make Call to originate the call. A CSTA monitor has already been established at the originating device.



The application wants to originate a call between SIP UA sip:ua1@123.123.123.123 and SIP UA sip:alice@domain.com. The application wants the originating SIP UA sip:ua1@123.123.123.123.123 to be auto-originated (not prompted) before sip:alice@domain.com is called. A SIP INFO with a CSTA Make Call service request to achieve this is shown on line 1.

```
INFO sip:ual@123.123.123.123 SIP/2.0
.
.
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
```

The UA accepts the INFO with Make Call and sends a Make Call response to the application in a SIP 200 OK as shown in line 2.

As a result of the call control activity generated as a result of the Make Call service, the SIP UA sends a sequence of ECMA-323 events representing the progress of the call. Each ECMA-323 event is encapsulated in a SIP INFO method.

Note that the following event is ONLY sent if the UA cannot auto-originate the request. If it can auto-originate the request (not prompt the originating device to go off hook) then this event would NOT be sent.

```
INFO sip:app1@pc33.domain.com SIP2/0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<ServiceInitiatedEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <initiatedConnection>
        <callID>888</callID>
        <deviceID>sip:ual@123.123.123.123/deviceID>
    </initiatedConnection>
    <initiatingDevice>
        <deviceIdentifier>sip:ual@123.123.123.123/deviceIdentifier>
    </initiatingDevice>
    <localConnectionInfo>initiated</localConnectionInfo>
    <cause>makeCall</cause>
</ServiceInitiatedEvent>
```

The next event indicates that the UA sip:ua1@123.123.123.123 has gone off hook and the call has been originated as shown in line 4.

```
INFO sip:app1@pc33.domain.com SIP2/0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<OriginatedEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <originatedConnection>
        <callID>888</callID>
        <deviceID>sip:ual@123.123.123.123/deviceID>
    </originatedConnection>
    <callingDevice>
        <deviceIdentifier>sip:ual@123.123.123.123/deviceIdentifier>
    </callingDevice>
    <calledDevice>
        <deviceIdentifier>sip:alice@domain.com</deviceIdentifier>
    </calledDevice>
    <localConnectionInfo>connected</localConnectionInfo>
```

```
<cause>normal</cause>
</OriginatedEvent>
```

The next event indicates that the called SIP UA sip:alice@domain.com is alerting as shown in line 5.

```
INFO sip:app1@pc33.domain.com SIP2/0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<DeliveredEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <connection>
        <callID>888</callID>
        <deviceID>sip:alice@domain.com</deviceID>
    </connection>
    <alertingDevice>
        <deviceIdentifier>sip:alice@domain.com</deviceIdentifier>
    </alertingDevice>
    <callingDevice>
        <deviceIdentifier>sip:ual@123.123.123.123/deviceIdentifier>
    </callingDevice>
    <calledDevice>
        <deviceIdentifier>sip:alice@domain.com</deviceIdentifier>
    </calledDevice>
    <lastRedirectionDevice>
        <notRequired/>
    </lastRedirectionDevice>
    <localConnectionInfo>connected</localConnectionInfo>
    <cause>normal</cause>
</DeliveredEvent>
```

The next event indicates that the called SIP phone sip:alice@domain.com has answered the call as shown in line 6.

```
INFO sip:app1@pc33.domain.com SIP2/0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<EstablishedEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <establishedConnection>
        <callID>888</callID>
        <deviceID>sip:alice@domain.com </deviceID>
    </establishedConnection>
    <answeringDevice>
        <deviceIdentifier>sip:alice@domain.com</deviceIdentifier>
    </answeringDevice>
        <deviceIdentifier>sip:ual@123.123.123.123</deviceIdentifier>
    </callingDevice>
    <calledDevice>
        <deviceIdentifier>sip:alice@domain.com</deviceIdentifier>
    </calledDevice>
    <lastRedirectionDevice>
        <notRequired/>
    </lastRedirectionDevice>
    <localConnectionInfo>connected</localConnectionInfo>
    <cause>normal</cause>
</EstablishedEvent>
```

Eventually the application wants to clear the call at the SIP UA sip:ua1@123.123.123.123.123. It sends a CSTA Clear Connection service request to the phone in a SIP INFO as shown in line 7. The connectionID in the CSTA Clear Connection request was obtained from either the Make Call response or one of the above events.

```
INFO sip:ual@123.123.123.123 SIP/2.0
.
.
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
```

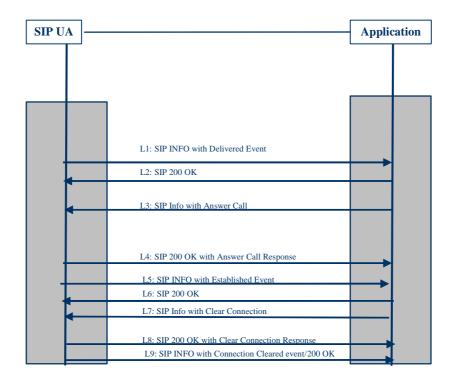
The SIP UA sends the CSTA Clear Connection response in a 200 OK as shown in line 8.

As a result of the Clear Connection service, the call is cleared at sip:tom@domain.com and the SIP UA sends a Connection Cleared encapsulated message to the application as shown in line 9.

```
INFO sip:app1@pc33.domain.com SIP2/0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<ConnectionClearedEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <droppedConnection>
        <callID>888</callID>
        <deviceID>sip:ual@123.123.123.123/deviceID>
    </droppedConnection>
    <releasingDevice>
        <deviceIdentifier>sip:ual@123.123.123.123/deviceIdentifier>
    </releasingDevice>
    <localConnectionInfo>null</localConnectionInfo>
    <cause>normal</cause>
</ConnectionClearedEvent>
```

16.1.3 Answering and Clearing an Incoming Call at a UA

The following example shows an incoming call to a monitored SIP UA. The application detects the incoming call via the CSTA Delivered event and answers the call using the CSTA Answer Call service.



An application has placed a monitor on the SIP UA sip:ua1@123.123.123.123. When an incoming call arrives at this device from SIP phone sip:alice@domain.com, the SIP UA sends a CSTA Delivered event encapsulated in a SIP INFO method to the application as shown in line 1. The application saves the connectionID of the alerting call for use in subsequent CSTA services.

```
INFO sip:app1@pc33.domain.com SIP2/0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<DeliveredEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <connection>
        <callID>999</callID>
        <deviceID>sip:ual@123.123.123.123/deviceID>
    </connection>
    <alertingDevice>
        <deviceIdentifier>sip:ual@123.123.123.123/deviceIdentifier>
    </alertingDevice>
    <callingDevice>
        <deviceIdentifier>sip:alice@domain.com</deviceIdentifier>
    </callingDevice>
    <calledDevice>
        <deviceIdentifier>sip:ual@123.123.123.123</deviceIdentifier>
    <lastRedirectionDevice><notRequired/></lastRedirectionDevice>
    <localConnectionInfo>alerting</localConnectionInfo>
    <cause>normal</cause>
</DeliveredEvent>
```

The application responds to the SIP INFO with a SIP 200 OK as shown in line 2.

The application uses the CSTA Answer Call service to answer the alerting call at the SIP phone sip:tom@domain.com without requiring manual intervention. The connectionID in the Answer Call service is obtained from the prior Delivered event. The CSTA Answer Call encapsulated in the SIP INFO is shown in line 3.

```
INFO sip:ual@123.123.123.123 SIP/2.0
.
.
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
```

The UA sends an Answer Call response in a 200 OK as shown in line 4.

```
SIP 200 OK
.
.
.
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<AnswerCallResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

The alerting call at the SIP phone sip:ual@123.123.123.123 is answered as a result of the CSTA Answer Call service resulting in a CSTA Established encapsulated in a SIP INFO method as shown in line 9.

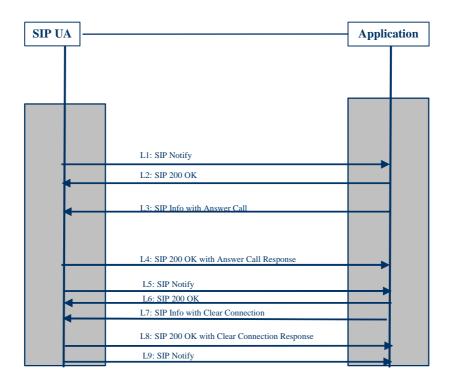
```
INFO sip:app1@pc33.domain.com SIP2/0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<EstablishedEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <establishedConnection>
        <callID>999</callID>
        <deviceID>sip:ua1@123.123.123.123/deviceID>
    </establishedConnection>
    <answeringDevice>
        <deviceIdentifier>sip:ual@123.123.123.123/deviceIdentifier>
    </answeringDevice>
    <callingDevice>
        <deviceIdentifier>sip:alice@domain.com</deviceIdentifier>
    </callingDevice>
    <calledDevice>
        <deviceIdentifier>sip:ual@123.123.123.123</deviceIdentifier>
    </calledDevice>
    <lastRedirectionDevice>
        <notRequired/>
    </lastRedirectionDevice>
    <localConnectionInfo>connected</localConnectionInfo>
    <cause>normal</cause>
</EstablishedEvent>
```

Lines 5 and 6 show the application clearing the call as in the previous example.

16.1.4 Answering an Incoming Call at a UA (no CSTA monitor or CSTA events)

These examples show how CSTA service can be used without a CSTA monitor. For example, in the Minimal uaCSTA Call Control profile, an application could use a SIP event package to obtain the information on a call that can be used for a CSTA connectionID in a CSTA service request.

The following example shows an incoming call to a SIP UA. The application detects the incoming call and the state of the SIP dialog through a SIP event package. It uses the CSTA Answer Call service to answer the call.



When an incoming call arrives at this UA, the UA sends a SIP Notify to the application. This is the result of a previous subscription to a SIP event package that provides dialog state information.

The application saves the information provided by the SIP event package so that it that can be used to uniquely identify the alerting dialog in CSTA services. Since SIP does not standardize a single value for a dialogID, three pieces of information are needed to identify a dialog: This information consists of the Call-id, local-tag, and the remote-tag. This information can be provided as the CSTA callID in CSTA connectionIDs using the syntax shown in the examples below.

A CSTA UA that does not support the CSTA Monitor Start service must allow this form of callID in CSTA connectionIDs.

```
NOTIFY sip:appl@pc33.domain.com SIP2/0 . (dialog specific information not shown - provides the dialog state of ringing, dialog Callid=24252dfjdj, local-tag=xyz, and the remote-tag=abc)
```

The application uses the CSTA Answer Call service to answer the alerting call at the SIP phone sip:tom@domain.com without requiring manual intervention. The connectionID in the Answer Call service is obtained from the previous SIP NOTIFY indicating a dialog in the alerting (180 ringing) dialog state and providing the call-id, local-tag, and remote-tag information. The CSTA Answer Call encapsulated in the SIP INFO is shown in line 3.

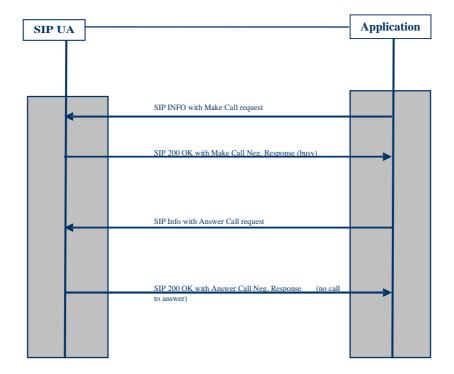
The UA sends an Answer Call response in a 200 OK as shown in line 4.

```
SIP 200 OK
.
.
.
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<AnswerCallResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

The alerting call at the SIP phone sip:ua1@123.123.123.123 is answered as a result of the CSTA Answer Call service resulting in another SIP Notify from the SIP event package.

```
NOTIFY sip:appl@pc33.domain.com SIP2/0 .
(dialog specific information not shown - provides the dialog state of 200 OK, dialog Callid=24252dfjdj, local-tag=xyz, and the remote-tag=abc)
```

16.1.5 Examples of Exception Conditions at a SIP UA



This figure shows two exception sequences.

In the first example, the application attempts to originate a call from a SIP phone using the CSTA Make Call service as shown in line 1.

Due to race conditions, an incoming call has arrived at the same time as the request and the SIP phone responds with a negative response to the Make Call request in the 200 OK response to the SIP INFO as shown in line 2.

In the next example (unrelated to the previous example), the application uses the CSTA Answer Call service to answer the alerting call at sip:ua1@123.123.123.123 without requiring manual intervention as shown in line 3.

However, due to the caller abandoning the call, the SIP phone responds with a negative response to the Answer Call service in the 200 OK response to the SIP INFO as shown in line 4.

16.2 Controlling a PBX Phone

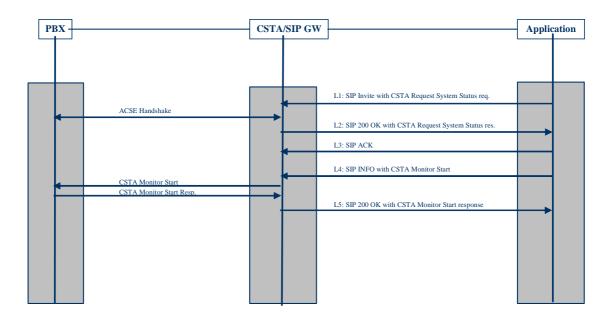
This clause shows examples of how ECMA-323 messages can be transported over SIP to control PBX phones.

There are three functional components in these examples. These components could be combined/integrated in actual implementations:

- PBX represents a TDM or IP-based PBX, including all of the devices (e.g. phones) that are part of the PBX.
 The PBX acts as a CSTA "switching function" to the SIP/CSTA gateway. The PBX/CSTA-SIP gateway
 protocol is, in this example, ECMA-323. TCP (or any other transport) for the PBX/CSTA-SIP Gateway
 protocol could be used.
- CSTA/SIP Gateway represents an interworking function between the PBX and the application. This gateway
 acts as a "computing function" to the PBX and as a "switching function" (SIP UA) to the application. This
 component could be packaged as part of the PBX or the application. The CSTA deviceID of the PBX phone is
 represented with a URI of sip:+14082222222@domain.com;user=phone.
- Application From a CSTA interface perspective this is a "computing function". This component typically
 includes a client application. The application is also a SIP UA that is represented with a contact URI of
 sip:app1@pc33.domain.com.

16.2.1 Creating an Application Session, Establishing a Monitor for a PBX Phone

The following figure shows how an application session is created and how a CSTA monitor is started.



The first thing that an application does is establish a CSTA application session with the CSTA/SIP Gateway. This is accomplished by sending a SIP INVITE with a CSTA Request System Status service request in the body of the INVITE method. The content type is application/csta+xml. The content disposition header specifies that the UA must support the application/csta+xml content type.

Some of the CSTA relevant SIP headers are included in the example below. Refer to RFC 3261 for a complete description of the format of the SIP INVITE and associated headers.

```
INVITE sip:+1408222222@domain.com;user=phone SIP/2.0
Via:
Max-Forwards:
To: <sip:+1408222222@domain.com;user=phone>
From: <sip:appl@domain.com>;tag=abc
Call-id:
CSeq: 1 INVITE
Contact: <sip:appl@pc33.domain.com>
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn

<?xml version="1.0" encoding="UTF-8"?>
<RequestSystemStatus xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"/>
```

The CSTA/SIP GW accepts the Invite and detects (through the content-type and content-disposition headers) that this is a special Invite to establish a CSTA application session. (This UA supports the MIME type - otherwise it returns a 415 (Unrecognized Media Type) response message to the INVITE.)

In this example, ACSE is used to establish an application session with the PBX. Once this is completed, the CSTA/SIP GW can indicate that its application session with the application has been established and the GW sends a SIP 200 OK to the application as shown in line 2. The 200 OK message includes the CSTA Request System Status response that indicates a "normal" system status indicating that a CSTA application session has been established.

```
SIP/2.0 200 OK
Via:
To: <sip:+14082222222@domain.com;user=phone>; tag=def
From: <sip:appl@domain.com>; tag=abc
Call-id= xxx
CSeq: 1 INVITE
Contact: sip:14082222222@domain.com;user=phone
```

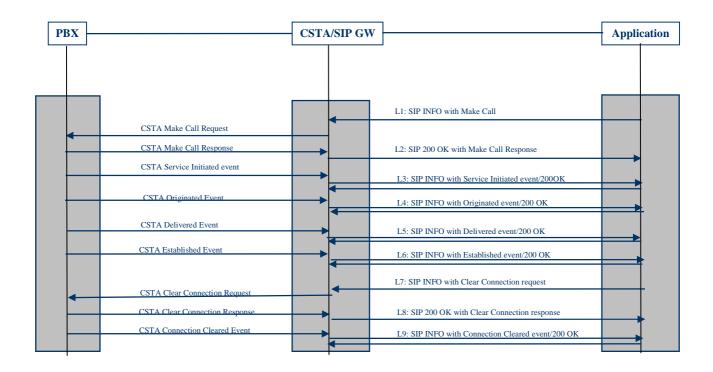
The application responds with an ACK to establish the SIP session for transporting CSTA service requests and responses as shown in line 3.

The app wants to be informed when an incoming call arrives at the UA so it starts a CSTA Monitor on the UA that it is interested in observing. The ECMA-323 Monitor Start service is encapsulated in a SIP INFO method as shown in line 4.

The CSTA/SIP GW starts a monitor on the PBX phone by sending a CSTA Monitor Start service request to the PBX. After the response is received, the gateway notifies the application that the monitor request was successful by sending a Monitor Start positive response in the 200 OK as shown in line 5.

16.2.2 Creating a Call from a PBX Phone, Clearing a Call at a PBX Phone

The following figure shows an example of a call origination from a PBX device. The application issues a CSTA Make Call to originate the call. A monitor has already been established at the originating device.



The application wants to originate a call between PBX device +14082222222 and device +14083333333. The application wants the originating device +140822222222 to be prompted to manually go off hook before device +14083333333 is called. A SIP INFO with a CSTA Make Call service request to achieve this is shown on line 1.

The CSTA/SIP GW accepts the INFO with Make Call and sends a Make Call service to the PBX. When the gateway receives a Make Call response from the PBX, it sends the Make Call response to the application in a SIP 200 OK as shown in line 2.

As a result of the Make Call service, the PBX sends a sequence of ECMA-323 events representing the progress of the call. Each ECMA-323 event is encapsulated in a SIP INFO method.

The first event indicates that device +140822222222 is prompting a user to go off hook as shown in line 3.

```
INFO sip:app1@pc33.domain.com SIP/2.0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<ServiceInitiatedEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <initiatedConnection>
        <callID>888</callID>
        <deviceID>sip:+14082222222@domain.com;user=phone </deviceID>
    </initiatedConnection>
    <initiatingDevice>
        <deviceIdentifier>sip:+14082222222@domain.com;user=phone</deviceIdentifier>
    </initiatingDevice>
    <localConnectionInfo>initiated</localConnectionInfo>
    <cause>makeCall</cause>
</ServiceInitiatedEvent>
```

```
INFO sip:app1@pc33.domain.com SIP/2.0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<OriginatedEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <originatedConnection>
        <callID>888</callID>
        <deviceID>sip:+14082222222;user=phone</deviceID>
    </originatedConnection>
    <callingDevice>
        <deviceIdentifier>sip:+14082222222@domain.com;user=phone</deviceIdentifier>
    </callingDevice>
    <calledDevice>
        <deviceIdentifier>sip:+1408333333@domain.com;user=phone</deviceIdentifier>
    </calledDevice>
    <localConnectionInfo>connected</localConnectionInfo>
    <cause>normal</cause>
</OriginatedEvent>
```

The next event indicates that the called device +14083333333 is alerting as shown in line 5.

```
INFO sip:app1@pc33.domain.com SIP/2.0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<DeliveredEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <connection>
        <callID>888</callID>
        <deviceID>sip:+14082222222@domain.com;user=phone</deviceID>
    </connection>
    <alertingDevice>
        <deviceIdentifier>sip:+14083333333@domain.com;user=phone</deviceIdentifier>
    </alertingDevice>
    <callingDevice>
        <deviceIdentifier>sip:+14082222222@domain.com;user=phone</deviceIdentifier>
    </callingDevice>
    <calledDevice>
        <deviceIdentifier>sip:+14083333333@domain.com;user=phone</deviceIdentifier>
    </calledDevice>
    <lastRedirectionDevice>
        <notRequired/>
    </lastRedirectionDevice>
    <localConnectionInfo>connected</localConnectionInfo>
    <cause>normal</cause>
```

</DeliveredEvent>

The next event indicates that the called device +14083333333 has answered the call as shown in line 6.

```
INFO sip:appl@pc33.domain.com SIP/2.0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<EstablishedEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <establishedConnection>
        <callID>888</callID>
        <deviceID>sip:+14082222222@domain.com;user=phone</deviceID>
    </establishedConnection>
    <answeringDevice>
        <deviceIdentifier>sip:+1408333333@domain.com;user=phone</deviceIdentifier>
    </answeringDevice>
    <callingDevice>
        <deviceIdentifier>sip:+14082222222@domain.com;user=phone</deviceIdentifier>
    </callingDevice>
    <calledDevice>
        <deviceIdentifier>sip:+14083333333@domain.com;user=phone</deviceIdentifier>
    </calledDevice>
    <lastRedirectionDevice>
        <notRequired/>
    </lastRedirectionDevice>
    <localConnectionInfo>connected</localConnectionInfo>
    <cause>normal</cause>
</EstablishedEvent>
```

Eventually the application wants to clear the call at +14082222222. It sends a CSTA Clear Connection service request to the gateway via a SIP INFO as shown in line 7. The connectionID in the CSTA Clear Connection request was obtained from either the Make Call response or from the Service Initiated or Originated events.

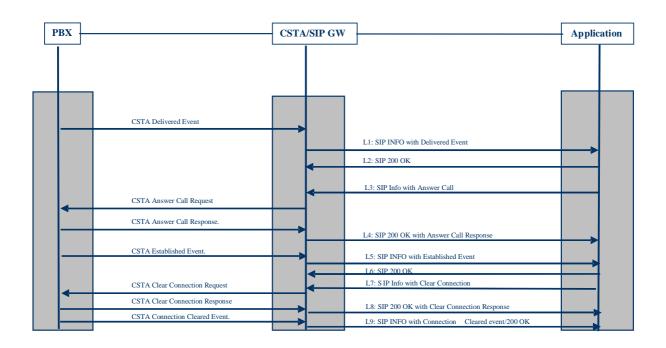
The CSTA/SIP GW sends the CSTA Clear Connection request to the PBX and packages the CSTA Clear Connection response it gets from the PBX in a 200 OK as shown in line 8.

As a result of the Clear Connection service, the call is cleared at +140822222222 and the PBX sends a Connection Cleared event to the CSTA/SIP GW which sends a Connection Cleared encapsulated message to the application as shown in line 9.

```
INFO sip:app1@pc33.domain.com SIP/2.0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<ConnectionClearedEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <droppedConnection>
        <callID>888</callID>
        <deviceID>sip:+14082222222@domain.com;user=phone</deviceID>
    </droppedConnection>
    <releasingDevice>
        <deviceIdentifier>sip:+14082222222@domain.com;user=phone</deviceIdentifier>
    </releasingDevice>
    <localConnectionInfo>null</localConnectionInfo>
    <cause>normal</cause>
</ConnectionClearedEvent>
```

16.2.3 Answering and Clearing an Incoming Call at a PBX Phone

The following example shows an incoming call to a monitored device. The application detects the incoming call via the CSTA Delivered event and answers the call using the CSTA Answer Call service.



An application has placed a monitor on device +140822222222. When an incoming call arrives at this device, the PBX sends a CSTA Delivered event to the CSTA/SIP gateway which sends a CSTA Delivered event encapsulated in a SIP INFO method to the application as shown in line 1. The application saves the connectionID of the alerting call for use in subsequent CSTA services.

The application responds to the SIP INFO with a SIP 200 OK as shown in line 2.

The application uses the CSTA Answer Call service to answer the alerting call at +14082222222 without requiring manual intervention. The connectionID in the Answer Call service is obtained from the prior Delivered event. The CSTA Answer Call encapsulated in the SIP INFO is shown in line 3.

The CSTA/SIP GW sends the CSTA Answer Call request to the PBX and packages the CSTA Answer Call response it gets from the PBX in a 200 OK as shown in line 4.

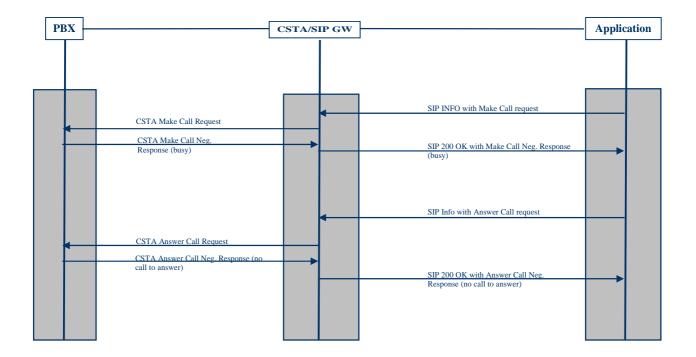
```
SIP 200 OK
.
.
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<AnswerCallResponse xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3"/>
```

The alerting call at device +14082222222 is answered as a result of the CSTA Answer Call service resulting in a CSTA Established event from the PBX which the CSTA/SIP GW maps into a CSTA Established event encapsulated in a SIP INFO method as shown in line 9.

```
INFO sip:appl@pc33.domain.com SIP/2.0
Content-Type: application/csta+xml
Content-Disposition: signal; handling=required
Content-Length: nnn
<?xml version="1.0" encoding="UTF-8"?>
<EstablishedEvent xmlns="http://www.ecma-international.org/standards/ecma-323/csta/ed3">
    <monitorCrossRefID>1</monitorCrossRefID>
    <establishedConnection>
        <callID>999</callID>
        <deviceID>sip:+14082222222@domain.com;user=phone</deviceID>
    </establishedConnection>
    <answeringDevice>
        <deviceIdentifier>sip:+14082222222@domain.com;user=phone</deviceIdentifier>
    </answeringDevice>
    <callingDevice>
        <deviceIdentifier>sip:+1408333333@domain.com;user=phone</deviceIdentifier>
    </callingDevice>
    <calledDevice>
        <deviceIdentifier>sip:+14082222222@domain.com;user=phone</deviceIdentifier>
    </calledDevice>
```

Lines 5 and 6 show the application clearing the call as in the previous example.

16.2.4 Examples of Exception Conditions at a PBX Phone



This figure shows two exception sequences.

In the first example, the application sends attempts to originate a call from a device using the CSTA Make Call service as shown in line 1.

Due to race conditions, an incoming call has arrived at the same time as the request and the PBX responds with a negative response to the Make Call request. The CSTA/SIP gateway sends the CSTA Make Call negative response to the application in the 200 OK response to the SIP INFO as shown in line 2.

In the next example (unrelated to the previous example), the application uses the CSTA Answer Call service to answer the alerting call at +140822222222 without requiring manual intervention as shown in line 3.

However, due to the caller abandoning the call, the PBX responds with a negative response to the Answer Call service. The gateway sends the negative CSTA Answer call response in the 200 OK response to the SIP INFO as shown in line 4.

Annex A: Example use of SIP and TEL URIs

uaCSTA deviceIDs should use the CSTA URI format using a SIP: or TEL: scheme according to the following rules:

Phone numbers, both public and private, shall conform to:

- Tel URI: http://www.ietf.org/internet-drafts/draft-ietf-iptel-rfc2806bis-09.txt
- SIP URI derived from Tel URI: section 19.1.6/RFC 3261, which refers to the Tel URI draft.

A Tel URI has the following syntax:

```
tel:<+telephone-subscriber>
tel:<telephone-subscriber>;phone-context=<descriptor>
```

A SIP URI derived from a tel URI has the "@hostport" appended to the tel URI, and has the ";user=phone" attribute appended.

```
sip:<+telephone-subscriber>@<hostport>;user=phone
sip:<telephone-subscriber>;phone-context=<descriptor>@<hostport>;user=phone
```

A SIP URI not derived from a tel URI does not have the ";user=phone" attribute appended and would have the following syntax:

```
sip:<user>@<hostport>
```

telephone-subscriber is a telephone number, and *user* is the user part of an email-like SIP URL. It is possible for the *user* field to include digits that would look like a phone number (and where no *user=phone* is present), but in that case the SIP URL is treated as a normal email-like SIP URI.

The *descriptor* is a character string which has no meaning in SIP. It is only used to establish the context. It is expected that the phone contexts would be configured and would map to existing E.164 and private numbering plans. Phone contexts are normally either a phone number, or a domain name: they are however considered as character strings by the applications (i.e. there is no attempt to perform DNS queries on the domain names). The system configuration aspects are outside of the scope of the present document, and are not subject to standardization.

A potential NPI/TON to Phone-context mapping approach using the E.164/QSIG field values follows to illustrate the concept:

NPI	TON	Phone-context
E.164	International	none, the "+" is pre-pended to the telephone-subscriber
E.164	National	<national-domain>, or <+national prefix></national-domain>
E.164	Local	<local-domain> , or <+national and regional prefix></local-domain>
E.164	Special	<special-domain></special-domain>
Any	Unknown	<unknown-domain></unknown-domain>
Private	Level0	<level0-domain></level0-domain>
Private	Level1	<level1-domain></level1-domain>
Private	Level2	<level2-domain></level2-domain>
Private	Special	<special-domain></special-domain>

This is an illustrative mapping:

NPI	Number Digits	Tel URI
TON	itamber Bigito	SIP URI
E164	14085551212	tel:+14085551212
International		sip:+14085551212@example.com;user=phone
E164	4085551212	tel:4085551212;phone-context=na.e164.foo.com
National		tel:4085551212;phone-context=+1
		sip:4085551212;phone-context=na.e164.foo.com@example.com;user=phone
		sip:4085551212;phone-context=+1@example.com;user=phone
E164	5551212	tel:5551212;phone-context=san-jose.na.e164.foo.com
Local		tel:5551212;phone-context=+1408
		sip:5551212;phone-context=san-
		jose.na.e164.foo.com@example.com;user=phone
		sip:5551212;phone-context=+1408@example.com;user=phone
E.164	411	tel:411;phone-context=special.e164.foo.com
Special		tel:411;phone-context=+1408
		sip:411;phone-context=special.e164.foo.com@example.com;user=phone
		sip:411;phone-context=+1408@example.com;user=phone
Any	614085551212	tel: 614085551212;phone-context=unknown.foo.com
Unknown		sip:614085551212;phone-context=unknown.foo.com@example.com;user=phone
Private	1212	tel:1212;phone-context=san-jose.na.private.foo.com
Level0		sip:1212;phone-context=san-jose.na.private.foo.com@example.com;user=phone
Private	2651212	tel:2651212;phone-context=na.private.foo.com
Level1		sip:2651212;phone-context=na.private.foo.com@example.com;user=phone
Private	52651212	tel:52651212;phone-context=private.foo.com
Level2		sip:52651212;phone-context=private.foo.com@example.com;user=phone
Private	411	tel:411;phone-context=special.private.foo.com
Special		sip:411;phone-context=special.private.foo.com@example.com;user=phone

History

Document history				
V1.1.1	September 2004	Publication		