## ETSI TS 123 288 V18.5.0 (2024-05)



## 5G;

Architecture enhancements for 5G System (5GS) to support network data analytics services (3GPP TS 23.288 version 18.5.0 Release 18)



# Reference RTS/TSGS-0223288vi50 Keywords 5G

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Siret N° 348 623 562 00017 - APE 7112B Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° w061004871

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## Contents

| Intelle            | ectual Property Rights  | 2  |
|--------------------|---|----|
| Legal              | l Notice  | 2  |
| Moda               | al verbs terminology  | 2  |
| Forew              | word  | 10 |
| 1                  | Scope   | 11 |
| 2                  | References  |    |
| 3                  | Definitions and abbreviations   |    |
| 3.1                | Definitions   | 13 |
| 3.2                | Abbreviations   |    |
| 4                  | Reference Architecture for Data Analytics                               |    |
| 4.1                | General   |    |
| 4.2<br>4.2.0       | General   |    |
| 4.2.1              | Analytics Data Repository Function                                      |    |
| 4.3                | Roaming architecture  |    |
| 5                  | Network Data Analytics Functional Description                           | 18 |
| 5.1                | General   |    |
| 5.2                | NWDAF Discovery and Selection   |    |
| 5.3                | Federated Learning (FL) among multiple NWDAFs                           | 21 |
| 5A                 | Data Collection Coordination and Delivery Functional Description        | 22 |
| 5A.1               | General   |    |
| 5A.2               | Data Collection Coordination  | 22 |
| 5A.3               | Data Delivery   |    |
| 5A.3.0             |   |    |
| 5A.3.1             |   |    |
| 5A.3.2<br>5A.4     | Data Delivery via a Messaging Framework  Data Formatting and Processing |    |
| 5A.5               | Historical Data Handling  |    |
| 5B                 | Analytics Data Repository Functional Description                        | 29 |
| 5B.1               | General   |    |
|                    |   |    |
| 5C<br>5C.1         | Analytics/ML Model Accuracy Monitoring Functional Description           |    |
|                    |   |    |
| 6                  | Procedures to Support Network Data Analytics                            |    |
| 6.0<br>6.1         | General Procedures for analytics exposure                               |    |
| 6.1.1              | Analytics Subscribe/Unsubscribe   |    |
| 6.1.1.1            | · · · · · · · · · · · · · · · · · · ·                                   |    |
| 6.1.1.2            | ·   |    |
| 6.1.2              | Analytics Request   | 34 |
| 6.1.2.1            |   |    |
| 6.1.2.2            |   |    |
| 6.1.3              | Contents of Analytics Exposure  |    |
| 6.1.4<br>6.1.4.1   | Analytics Exposure using DCCF   |    |
| 6.1.4.1<br>6.1.4.2 |   |    |
| 6.1.4.3            |   |    |
| 6.1.4.4            | • 1   |    |
| 6.1.4.5            |   |    |
| 6.1.5              | Analytics Exposure in Roaming Case                                      |    |
| 6.1.5.1            |   |    |
| 6.1.5.2            | 2 Analytics Exposure from HPLMN to VPLMN                                | 49 |

| 6.1.5.3                 | Analytics Exposure from VPLMN to HPLMN   |     |
|-------------------------|--|-----|
| 6.1.5.4                 | Contents of Analytics Exposure in roaming case   |     |
| 6.1A                    | Analytics aggregation from multiple NWDAFs   |     |
| 6.1A.1                  | General  |     |
| 6.1A.2                  | Analytics Aggregation  | 55  |
| 6.1A.3                  | Procedure for analytics aggregation  | 55  |
| 6.1A.3.1                | Procedure for analytics aggregation with Provision of Area of Interest                 | 55  |
| 6.1A.3.2                | Procedure for Analytics Aggregation without Provision of Area of Interest              | 57  |
| 6.1B                    | Transfer of analytics context and analytics subscription                               | 59  |
| 6.1B.1                  | General  | 59  |
| 6.1B.2                  | Analytics Transfer Procedures  | 60  |
| 6.1B.2.1                | Analytics context transfer initiated by target NWDAF selected by the NWDAF service co  |     |
| 6.1B.2.2                | Analytics Subscription Transfer initiated by source NWDAF                              |     |
| 6.1B.2.3                | Prepared analytics subscription transfer   |     |
| 6.1B.3                  | Analytics Context Transfer   |     |
| 6.1B.4                  | Contents of Analytics Context  |     |
| 6.1C                    | NWDAF Registration/Deregistration in UDM   |     |
| 6.1C.1                  | General  |     |
| 6.1C.2                  | NWDAF Registration in UDM  |     |
| 6.1C.3                  | NWDAF De-registration from UDM.  |     |
| 6.2                     | Procedures for Data Collection   |     |
| 6.2.1                   | General  |     |
| 6.2.2                   | Data Collection from NFs   |     |
| 6.2.2.1                 | General  |     |
| 6.2.2.1                 | Procedure for Data Collection from NFs   |     |
| 6.2.2.3                 | Procedure for Data Collection from AF via NEF  |     |
| 6.2.2.4                 | Procedure for Data Collection from NRF   |     |
| 6.2.2.5                 |  |     |
| 6.2.3                   | Usage of Exposure framework by the NWDAF for Data Collection  Data Collection from OAM |     |
|                         |  |     |
| 6.2.3.1                 | General  |     |
| 6.2.3.2                 | Procedure for data collection from OAM   |     |
| 6.2.4                   | Correlation between network data and service data                                      |     |
| 6.2.5                   | Time coordination across multiple NWDAF instances                                      |     |
| 6.2.5.1                 | General  |     |
| 6.2.5.2                 | Procedure for time coordination across multiple NWDAFs                                 |     |
| 6.2.6                   | Enhanced Procedures for Data Collection  |     |
| 6.2.6.0                 | General  |     |
| 6.2.6.1                 | Bulked Data Collection   |     |
| 6.2.6.1.0               | General  |     |
| 6.2.6.1.1               | Services for Bulked Data Collection  |     |
| 6.2.6.2                 | Procedure for Data Collection from NWDAF   |     |
| 6.2.6.3                 | Data Collection using DCCF   | 89  |
| 6.2.6.3.1               | General  | 89  |
| 6.2.6.3.2               | Data Collection via DCCF   | 89  |
| 6.2.6.3.3               | Historical Data Collection via DCCF  | 92  |
| 6.2.6.3.4               | Data Collection via Messaging Framework  | 94  |
| 6.2.6.3.5               | Historical Data Collection via Messaging Framework                                     | 96  |
| 6.2.6.3.6               | Data collection profile registration   |     |
| 6.2.6.3.7               | DCCF (re-)selection initiated by consumer  |     |
| 6.2.6.3.8               | DCCF and MFAF relocation initiated by DCCF   |     |
| 6.2.7                   | Data Collection with Event Muting Mechanism  |     |
| 6.2.7.1                 | General  |     |
| 6.2.7.2                 | Procedure for Data Collection with Event Muting Mechanism                              |     |
| 6.2.8                   | Data Collection from the UE Application  |     |
| 6.2.8.1                 | General  |     |
| 6.2.8.2                 | Procedure for data collection from the UE Application                                  |     |
| 6.2.8.2.1               | Connection establishment between UE Application and AF                                 |     |
| 6.2.8.2.2               | AF registration and discovery  |     |
| 6.2.8.2.3               | Data Collection Procedure from UE  |     |
| 6.2.8.2.4               | Correlation between UE data collection and the NWDAF data request                      |     |
| 6.2.8.2.4<br>6.2.8.2.4a |  |     |
|                         |  |     |
| 6.2.9                   | User consent for analytics   | 112 |

| 6.2.10               | Data collection by H-RE-NWDAF from V-RE-NWDAF for outbound roaming users           |     |
|----------------------|--|-----|
| 6.2.11               | Data collection by V-RE-NWDAF from H-RE-NWDAF for inbound roaming users            |     |
| 6.2.12               | Data Collection using LCS  |     |
| 6.2.12.1             | General  |     |
| 6.2.12.2             | Procedure for data collection using LCS  |     |
| 6.2.13               | Rating untrusted AF data sources   |     |
| 6.2.13.1             | General  |     |
| 6.2.13.2             | Procedure for rating untrusted AF data sources                                     |     |
| 6.2.14               | Analytics Collection from MDAF   |     |
| 6.2.14.1<br>6.2.14.2 | General Procedure for analytics collection from MDAF                               |     |
| 6.2.14.2<br>6.2A     | ·  |     |
| 6.2A<br>6.2A.0       | Procedure for ML Model Provisioning  |     |
| 6.2A.1               | ML Model Subscribe/Unsubscribe   |     |
| 6.2A.1               | Contents of ML Model Provisioning  |     |
| 6.2A.3               | ML Model request   |     |
| 6.2H.3               | Analytics Data and ML Model Repository procedures                                  |     |
| 6.2B.1               | General General  |     |
| 6.2B.2               | Historical Data and Analytics storage  |     |
| 6.2B.3               | Historical Data and Analytics Storage via Notifications                            |     |
| 6.2B.4               | Data removal from an ADRF.   |     |
| 6.2B.5               | ML Model Storage in ADRF   |     |
| 6.2B.6               | ML Model removal from ADRF.  |     |
| 6.2B.7               | ML Model retrieval from ADRF   |     |
| 6.2C                 | Federated Learning among Multiple NWDAFs   |     |
| 6.2C.1               | General  |     |
| 6.2C.2               | Procedures   | 135 |
| 6.2C.2.1             | Registration and Discovery procedure for Federated Learning                        | 135 |
| 6.2C.2.2             | General procedure for Federated Learning among Multiple NWDAF Instances            |     |
| 6.2C.2.3             | Procedures for Maintaining Federated Learning Processes                            | 139 |
| 6.2D                 | AnLF Analytics Accuracy Monitoring Procedures                                      |     |
| 6.2D.1               | General  |     |
| 6.2D.2               | Procedures for Analytics Accuracy Information Subscription                         |     |
| 6.2D.3               | Procedures for Analytics Accuracy Information Request                              |     |
| 6.2E                 | MTLF-based ML Model Accuracy Monitoring  |     |
| 6.2E.1               | General  |     |
| 6.2E.2               | Procedure for MTLF-based ML Model Accuracy Monitoring                              |     |
| 6.2E.3               | Procedure for AnLF-assisted MTLF ML Models Accuracy Monitoring                     |     |
| 6.2E.3.1             | General  |     |
| 6.2E.3.2             | Procedures for registering the monitoring of the analytics accuracy of an ML Model |     |
| 6.2E.3.3             | Procedures for monitoring the analytics accuracy of an ML Model                    |     |
| 6.2F                 | Procedure for ML Model Training  |     |
| 6.2F.1               | ML Model Training Subscribe/Unsubscribe  |     |
| 6.2F.2               | Contents of ML Model Training  |     |
| 6.2F.3               | ML Model Training Information Request  |     |
| 6.3                  | Slice load level related network data analytics                                    |     |
| 6.3.1                | General  |     |
| 6.3.2                | Void   |     |
| 6.3.2A<br>6.3.3      | Input dataVoid   |     |
|                      |  |     |
| 6.3.3A<br>6.3.4      | Output analytics   |     |
| 6.4                  | Observed Service Experience related network data analytics                         |     |
| 6.4.1                | General  |     |
| 6.4.2                | Input Data   |     |
| 6.4.3                | Output Analytics   |     |
| 6.4.4                | Procedures to request Service Experience for an Application                        |     |
| 6.4.5                | Procedures to request Service Experience for a Network Slice                       |     |
| 6.4.6                | Procedures to request Service Experience for a VE                                  |     |
| 6.5                  | NF load analytics  |     |
| 6.5.1                | General  |     |
| 6.5.2                | Innut data   | 173 |

| 6.5.3                | Output analytics   | 179        |
|----------------------|--|------------|
| 6.5.4                | Procedures   | 180        |
| 6.6                  | Network Performance Analytics  | 182        |
| 6.6.1                | General  | 182        |
| 6.6.2                | Input Data   | 183        |
| 6.6.3                | Output Analytics   | 183        |
| 6.6.4                | Procedures   | 185        |
| 6.7                  | UE related analytics   | 186        |
| 6.7.1                | General  | 186        |
| 6.7.2                | UE mobility analytics  | 186        |
| 6.7.2.1              | General  | 186        |
| 6.7.2.2              | Input Data   |            |
| 6.7.2.3              | Output Analytics   |            |
| 6.7.2.4              | Procedures   |            |
| 6.7.3                | UE Communication Analytics   |            |
| 6.7.3.1              | General  |            |
| 6.7.3.2              | Input Data   |            |
| 6.7.3.3              | Output Analytics   |            |
| 6.7.3.4              | Procedures   |            |
| 6.7.4                | Expected UE behavioural parameters related network data analytics                          |            |
| 6.7.4.1              | General  |            |
| 6.7.4.2              | Input Data   |            |
| 6.7.4.3              | Output Analytics   |            |
| 6.7.4.4<br>6.7.4.4.1 | Procedures   |            |
| 6.7.4.4.1            | NWDAF-assisted expected UE behavioural analytics   |            |
| 6.7.5.1              | Abnormal behaviour related network data analytics  |            |
| 6.7.5.1              | Input Data   |            |
| 6.7.5.2              | Output Analytics   |            |
| 6.7.5.4              | Procedure  |            |
| 6.8                  | User Data Congestion Analytics   |            |
| 6.8.1                | General General  |            |
| 6.8.2                | Input data   |            |
| 6.8.3                | Output analytics   |            |
| 6.8.4                | Procedures   |            |
| 6.8.4.1              | Procedure for one-time or continuous reporting of analytics for user data congestion in a  |            |
|                      | geographic area  | 209        |
| 6.8.4.2              | Procedure for one-time or continuous reporting of analytics for user data congestion for a |            |
|                      | specific UE  | 211        |
| 6.9                  | QoS Sustainability Analytics   | 214        |
| 6.9.1                | General  | 214        |
| 6.9.2                | Input data   | 216        |
| 6.9.3                | Output analytics   | 217        |
| 6.9.4                | Procedures   |            |
| 6.9.4.1              | Procedure for Qos Sustainability in a coarse granularity area                              |            |
| 6.9.4.2              | Procedure for QoS Sustainability in a fine granularity area                                |            |
| 6.10                 | Dispersion Analytics   |            |
| 6.10.1               | General  |            |
| 6.10.2               | Input Data   |            |
| 6.10.3               | Output Analytics   |            |
| 6.10.3.0             | General  |            |
| 6.10.3.1             | Data Volume Dispersion Analytics   |            |
| 6.10.3.2             | Transactions Dispersion Analytics  |            |
| 6.10.4               | Dispersion Analytic Procedure  |            |
| 6.11                 | WLAN performance analytics   |            |
| 6.11.1               | General Language Details   |            |
| 6.11.2<br>6.11.3     | Input Data   |            |
| 6.11.4               | Output Analytics   |            |
| 6.11.4               | Session Management Congestion Control Experience Analytics                                 |            |
| 6.12.1               | GeneralGeneral   |            |
| 0.12.1<br>6.12.2     | Input Data   | 242<br>242 |

| 6.12.3 | 3 Output Analytics   | 243 |
|--------|--|-----|
| 6.12.4 | 4 Procedures   | 243 |
| 6.13   | Redundant Transmission Experience related analytics        | 244 |
| 6.13.1 | 1 General  | 244 |
| 6.13.2 | 2 Input Data   | 245 |
| 6.13.3 | 3 Output Analytics   | 246 |
| 6.13.4 | 4 Procedures   | 247 |
| 6.13.4 | 4.1 Analytics Procedure                                    | 247 |
| 6.14   | DN Performance Analytics                                   | 249 |
| 6.14.1 | · · · · · · · · · · · · · · · · · · ·                      |     |
| 6.14.2 | 2 Input Data   | 250 |
| 6.14.3 | 3 Output Analytics   | 251 |
| 6.14.4 | •  |     |
| 6.15   | Void   |     |
| 6.16   | PFD Determination Analytics                                | 255 |
| 6.16.1 | 1 General  | 255 |
| 6.16.2 |  |     |
| 6.16.3 | •  |     |
| 6.16.4 | 4 Procedures   | 257 |
| 6.17   | Location Accuracy Analytics                                |     |
| 6.17.1 |  |     |
| 6.17.2 |  |     |
| 6.17.3 | •  |     |
| 6.17.4 | •  |     |
| 6.18   | End-to-end data volume transfer time analytics             |     |
| 6.18.1 | ·  |     |
| 6.18.2 |  |     |
| 6.18.3 | 1  |     |
| 6.18.4 |  |     |
| 6.19   | Relative Proximity Analytics                               |     |
| 6.19.1 |  |     |
| 6.19.2 |  |     |
| 6.19.3 |  |     |
| 6.19.4 | 1  |     |
| 6.20   | PDU Session traffic analytics                              |     |
| 6.20.1 | ·  |     |
| 6.20.2 |  |     |
| 6.20.3 |  |     |
| 6.20.4 |  |     |
| 6.21   | Movement Behaviour Analytics                               |     |
| 6.21.1 | •  |     |
| 6.21.2 |  |     |
| 6.21.3 |  |     |
| 6.21.4 | 1 ,  |     |
| 7      | N 100 ' D '  | 200 |
| 7      | Nnwdaf Services Description                                |     |
| 7.1    | General  |     |
| 7.2    | Nnwdaf_AnalyticsSubscription Service                       |     |
| 7.2.1  | General  |     |
| 7.2.2  | Nnwdaf_AnalyticsSubscription_Subscribe service operation   |     |
| 7.2.3  | Nnwdaf_AnalyticsSubscription_Unsubscribe service operation |     |
| 7.2.4  | Nnwdaf_AnalyticsSubscription_Notify service operation      |     |
| 7.2.5  | Nnwdaf_AnalyticsSubscription_Transfer service operation    |     |
| 7.3    | Nnwdaf_AnalyticsInfo service                               |     |
| 7.3.1  | General  |     |
| 7.3.2  | Nnwdaf_AnalyticsInfo_Request service operation             |     |
| 7.3.3  | Nnwdaf_AnalyticsInfo_ContextTransfer service operation     |     |
| 7.4    | Nnwdaf_DataManagement Service                              |     |
| 7.4.1  | General  |     |
| 7.4.2  | Nnwdaf_DataManagement_Subscribe service operation          |     |
| 7.4.3  | Nnwdaf_DataManagement_Unsubscribe service operation        |     |
| 7 4 4  | Nnwdaf DataManagement Notify service operation             | 289 |

| 7.4.5          | Nnwdaf_DataManagement_Fetch service operation               |     |
|----------------|---|-----|
| 7.5            | Nnwdaf_MLModelProvision services                            | 290 |
| 7.5.1          | General   |     |
| 7.5.2          | Nnwdaf_MLModelProvision_Subscribe service operation         |     |
| 7.5.3          | Nnwdaf_MLModelProvision_Unsubscribe service operation       |     |
| 7.5.4          | Nnwdaf_MLModelProvision_Notify service operation            |     |
| 7.6            | Nnwdaf_MLModelInfo service                                  |     |
| 7.6.1          | General   |     |
| 7.6.2          | Nnwdaf_MLModelInfo_Request service operation                |     |
| 7.7            | Nnwdaf_RoamingAnalytics Service                             |     |
| 7.7.1          | General   |     |
| 7.7.2          | Nnwdaf_RoamingAnalytics_Subscribe service operation         |     |
| 7.7.3          | Nnwdaf_RoamingAnalytics_Unsubscribe service operation       |     |
| 7.7.4          | Nnwdaf_RoamingAnalytics_Notify service operation            |     |
| 7.7.5          | Nnwdaf_RoamingAnalytics_Request service operation           |     |
| 7.8            | Nnwdaf_RoamingData Service                                  |     |
| 7.8.1          | General   |     |
| 7.8.2          | Nnwdaf_RoamingData_Subscribe service operation              |     |
| 7.8.3          | Nnwdaf_RoamingData_Unsubscribe service operation            |     |
| 7.8.4          | Nnwdaf_RoamingData_Notify service operation                 |     |
| 7.9            | Nnwdaf_MLModelMonitor Service                               |     |
| 7.9.1<br>7.9.2 | Nnwdaf_MLModelMonitor_Subscribe service operation           |     |
| 7.9.2          | Nnwdaf_MLModelMonitor_Unsubscribe service operation         |     |
| 7.9.3<br>7.9.4 | Nnwdaf_MLModelMonitor_Notify service operation              |     |
| 7.9.4          | Nnwdaf_MLModelMonitor_Register                              |     |
| 7.9.5          | Nnwdaf_MLModelMonitor_Deregister                            |     |
| 7.3.0          | Nnwdaf_MLModelTraining Service                              |     |
| 7.10.1         |   |     |
| 7.10.1         |   |     |
| 7.10.2         | · · · · · · · · · · · · · · · · · · ·                       |     |
| 7.10.3         |   |     |
| 7.11           | Nnwdaf_MLModelTrainingInfo Service                          |     |
| 7.11.1         | · · · · · · · · · · · · · · · · · · ·                       |     |
| 7.11.2         |   |     |
| 0              |   |     |
| 8              | DCCF Services   |     |
| 8.1            | General   |     |
| 8.2            | Ndccf_DataManagement service                                |     |
| 8.2.1          | General   |     |
| 8.2.2          | Ndccf_DataManagement_Subscribe service operation            |     |
| 8.2.3          | Ndccf_DataManagement_Unsubscribe service operation          |     |
| 8.2.4          | Ndccf_DataManagement_Notify service operation               |     |
| 8.2.5          | Ndccf_DataManagement_Fetch service operation                |     |
| 8.2.6          | Ndccf_DataManagement_Transfer service operation             |     |
| 8.3<br>8.3.1   | Ndccf_ContextManagement service                             |     |
| 8.3.2          | General  Ndccf_ContextManagement_Register service operation |     |
| 8.3.3          | Ndccf_ContextManagement_Update service operation            |     |
| 8.3.4          | Ndccf_ContextManagement_Deregister service operation        |     |
| 0.5.4          | Nucci_Contextivianagement_Deregister service operation      |     |
| 9              | MFAF Services   | 305 |
| 9.1            | General   | 305 |
| 9.2            | Nmfaf_3daDataManagement service                             | 305 |
| 9.2.1          | General   |     |
| 9.2.2          | Nmfaf_3daDataManagement_Configure service operation         | 305 |
| 9.2.3          | Nmfaf_3daDataManagement_Deconfigure service operation       | 306 |
| 9.3            | Nmfaf_3caDataManagement service                             |     |
| 9.3.1          | General   |     |
| 9.3.2          | Nmfaf_3caDataManagement_Notify service operation            |     |
| 9.3.3          | Nmfaf_3caDataManagement_Fetch service operation             | 307 |
| 10             | ADRE Services   | 307 |

| 10.1    | General   | 307 |
|---------|---|-----|
| 10.2    | Nadrf_DataManagement service                                      |     |
| 10.2.1  | General   |     |
| 10.2.2  | Nadrf_DataManagement_StorageRequest service operation             | 307 |
| 10.2.3  | Nadrf_DataManagement_StorageSubscriptionRequest service operation | 308 |
| 10.2.4  | Nadrf_DataManagement_StorageSubscriptionRemoval service operation |     |
| 10.2.5  | Nadrf_DataManagement_RetrievalRequest service operation           |     |
| 10.2.6  | Nadrf_DataManagement_RetrievalSubscribe service operation         |     |
| 10.2.7  | Nadrf_DataManagement_RetrievalUnsubscribe service operation       |     |
| 10.2.8  | Nadrf_DataManagement_RetrievalNotify service operation            |     |
| 10.2.9  | Nadrf_DataManagement_Delete                                       |     |
| 10.3    | Nadrf_MLModelManagement service                                   |     |
| 10.3.1  | General   |     |
| 10.3.2  | Nadrf_MLModelManagement_StorageRequest service operation          | 311 |
| 10.3.3  | Nadrf_MLModelManagement_Delete service operation                  | 311 |
| 10.3.4  | Nadrf_MLModelManagement_RetrievalRequest service operation        |     |
| Annex   | A (informative): Methods to handle NAT on IPv4 between UE and AF  | 313 |
| A.1 N   | Methods to handle NAT on IPv4 between UE and AF                   | 313 |
| Annex   | B (informative): Change history                                   | 314 |
| History |   | 326 |

## **Foreword**

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

#### where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## 1 Scope

The present document defines the Stage 2 architecture enhancements for 5G System (5GS) to support network data analytics services in 5G Core network.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

| [1]  | 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".  |
|------|--|
| [2]  | 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".  |
| [3]  | 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".   |
| [4]  | 3GPP TS 23.503: "Policy and Charging Control Framework for the 5G System; Stage 2".  |
| [5]  | Void.  |
| [6]  | 3GPP TS 28.532: "Management and orchestration; Generic management services".   |
| [7]  | 3GPP TS 28.550: "Management and orchestration; Performance Assurance".   |
| [8]  | 3GPP TS 28.552: "Management and orchestration; 5G performance measurements".   |
| [9]  | 3GPP TS 28.545: "Management and orchestration; Fault Supervision (FS)".  |
| [10] | 3GPP TS 28.554: "Management and orchestration; 5G end to end Key Performance Indicators (KPI)".  |
| [11] | ITU-T Recommendation P.1203.3: "Parametric bitstream-based quality assessment of progressive download and adaptive audiovisual streaming services over reliable transport - Quality integration module". |
| [12] | 3GPP TS 38.215: "NR; Physical layer measurements".   |
| [13] | Void.  |
| [14] | 3GPP TS 38.331: "NR; Radio Resource Control (RRC) protocol specification".   |
| [15] | 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC); Protocol specification".   |
| [16] | 3GPP TS 38.413: "NG-RAN; NG Application Protocol (NGAP)".  |
| [17] | 3GPP TS 29.244: "Interface between the Control Plane and the User Plane Nodes".  |
| [18] | 3GPP TS 29.510: "5G System; Network function repository services; Stage 3".  |
| [19] | 3GPP TS 28.533: "Management and orchestration; Architecture framework".  |
| [20] | 3GPP TS 37.320: "Radio measurement collection for Minimization of Drive Tests (MDT); Overall description; stage 2".  |

| [21] | 3GPP TS 28.201: "Charging management; Network slice performance and analytics charging in the 5G System (5GS); stage 2".                                    |
|------|---|
| [22] | 3GPP TS 28.541: "Management and orchestration; 5G Network Resource Model (NRM); Stage 2 and stage 3".   |
| [23] | 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".   |
| [24] | 3GPP TS 28.310: "Management and orchestration; Energy efficiency of 5G".  |
| [25] | 3GPP TS 29.518: "5G System; Access and Mobility Management Services; Stage 3".  |
| [26] | 3GPP TS 29.503: "Unified Data Management Services; Stage 3".  |
| [27] | 3GPP TS 26.114: "IP Multimedia Subsystem (IMS); Multimedia Telephony; Media handling and interaction".  |
| [28] | 3GPP TS 26.247: "Transparent end-to-end Packet-switched Streaming Service (PSS); Progressive Download and Dynamic Adaptive Streaming over HTTP (3GP-DASH)". |
| [29] | 3GPP TS 26.118: "Virtual Reality (VR) profiles for streaming applications".   |
| [30] | 3GPP TS 26.346: "Multimedia Broadcast/Multicast Service (MBMS); Protocols and codecs".  |
| [31] | 3GPP TS 26.512: "5G Media Streaming (5GMS); Protocols".   |
| [32] | 3GPP TS 26.531: "Data Collection and Reporting; General Description and Architecture".  |
| [33] | 3GPP TS 22.261: "Service requirements for the 5G system; Stage 1".  |
| [34] | 3GPP TS 23.032: "Universal Geographical Area Description (GAD)".  |
| [35] | 3GPP TS 22.071: "Technical Specification Group Systems Aspects; Location Services (LCS)".   |
| [36] | 3GPP TS 29.508: "5G System; Session Management Event Exposure Service; Stage 3".  |
| [37] | 3GPP TS 29.572: "5G System; Location Management Services; Stage 3".   |
| [38] | GSMA TS.06: "IMEI Allocation and Approval Process".   |
| [39] | 3GPP TS 23.273: "5G System (5GS) Location Services (LCS); Stage 2".   |
| [40] | ITU-T Y.1540: "Internet protocol data communication service - IP packet transfer and availability performance parameters".                                  |
| [41] | 3GPP TS 28.622: "Telecommunication management; Generic Network Resource Model (NRMs). Integration Reference Point (IRP): Information Service (IS)".         |
| [42] | 3GPP TS 32.422: "Subscriber and equipment trace: Trace control and configuration management".   |
| [43] | 3GPP TS 26.532: "Data Collection and Reporting; Protocols and Formats".   |
| [44] | 3GPP TS 38.455: "NG-RAN; NR Positioning Protocol A (NRPPa)".  |
| [45] | 3GPP TS 28.104: "Management and orchestration; Management Data Analytics (MDA)".  |
| [46] | 3GPP TS 28.537: "Management and orchestration; Management capabilities".  |
| [47] | 3GPP TS 23.228: "IP Multimedia Subsystem (IMS); Stage 2".   |
| [48] | 3GPP TS 29.515: "Gateway Mobile Location Services; Stage 3".  |
| [49] | 3GPP TS 33.501: "Security architecture and procedures for 5G system".   |
| [50] | 3GPP TS 28.558: "User Equipment (UE) level measurements for 5G system".   |
|      |   |

### 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1], TS 23.501 [2] and TS 23.503 [4]. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Analytics Accuracy Information:** Represent a performance measure of an analytics ID provided by an NWDAF containing AnLF, which is composed of the number of correct predictions of the analytics ID out of all predictions and the corresponding number of samples.

**ML Model Accuracy Information:** Represent a performance measure of a ML Model provided by an NWDAF containing MTLF, which is composed of the number of correct predictions by the ML Model out of all predictions and the corresponding number of samples.

**Analytics Feedback Information:** Indicates that the consumer NF has taken action(s) influenced by the previously provided analytics, which may or may not affect the ground truth data.

#### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], TS 23.501 [2] and TS 23.503 [4] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

DCAF Data Collection Application Function

RE-NWDAF Roaming Exchange Network Data Analytics Function

## 4 Reference Architecture for Data Analytics

#### 4.1 General

The NWDAF (Network Data Analytics Function) is part of the architecture specified in TS 23.501 [2] and uses the mechanisms and interfaces specified for 5GC in TS 23.501 [2] and OAM services (see clause 6.2.3.1).

The NWDAF may support the following functionalities:

- Data collection based on subscription to events provided by AMF, SMF, UPF, PCF, UDM, NSACF, AF (directly or via NEF) and OAM;
- Analytics and Data collection using the DCCF (Data Collection Coordination Function);
- Retrieval of information from data repositories (e.g. from UDR via UDM for subscriber-related information or optinally via NEF(PFDF) for PFD information);
- Data collection of location information using LCS (finer granularity location information determined by LMF);
- Storage and retrieval of information from ADRF (Analytics Data Repository Function);
- Analytics and Data collection from MFAF (Messaging Framework Adaptor Function);
- Retrieval of information about NFs (e.g. from NRF for NF-related information);
- On demand provision of analytics to consumers, as specified in clause 6.
- Provision of bulked data related to Analytics ID(s).
- Provision of Accuracy information about Analytics ID(s).

Provision or retrieval of ML Model Accuracy Information or ML Model accuracy degradation about a ML Model.

A single instance or multiple instances of NWDAF may be deployed in a PLMN. If multiple NWDAF instances are deployed, the architecture supports deploying the NWDAF as a central NF, as a collection of distributed NFs, or as a combination of both. If multiple NWDAF instances are deployed, an NWDAF can act as an aggregate point (i.e. Aggregator NWDAF) and collect analytics information from other NWDAFs, which may have different Serving Areas, to produce the aggregated analytics (per Analytics ID), possibly with Analytics generated by itself.

NOTE 1: When multiple NWDAFs exist, not all of them need to be able to provide the same type of analytics results, i.e. some of them can be specialized in providing certain types of analytics. An Analytics ID information element is used to identify the type of supported analytics that NWDAF can generate.

NOTE 2: NWDAF instance(s) can be collocated with a 5GS NF.

## 4.2 Non-roaming architecture

#### 4.2.0 General

As depicted in Figure 4.2.0-1, the 5G System architecture allows NWDAF to collect data from any 5GC NF. The NWDAF belongs to the same PLMN as the 5GC NF that provides the data.

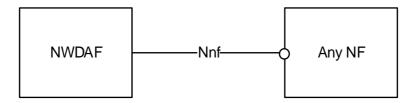


Figure 4.2.0-1: Data Collection architecture from any 5GC NF

The Nnf interface is defined for the NWDAF to request subscription to data delivery for a particular context, to cancel subscription to data delivery and to request a specific report of data for a particular context.

The 5G System architecture allows NWDAF to retrieve the management data from OAM by invoking OAM services.

The 5G System architecture allows NWDAF to collect data from any 5GC NF or OAM using a DCCF with associated Ndccf services as specified in clause 8.2.

The 5G System architecture allows NWDAF and DCCF to collect data from an NWDAF with associated Nnwdaf\_DataManagement services as specified in clause 7.4. The 5G system architecture allows MFAF to fetch data from an NWDAF with associated Nnwdaf\_DataManagement service as specified in clause 7.4.

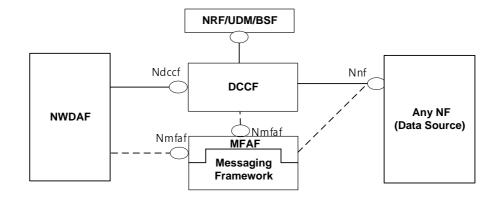


Figure 4.2.0-1a: Data Collection architecture using Data Collection Coordination

As depicted in Figure 4.2.0-1a, the Ndccf interface is defined for the NWDAF to support subscription request(s) for data delivery from a DCCF, to cancel subscription to data delivery and to request a specific report of data. If the data is not already being collected, the DCCF requests the data from the Data Source using Nnf services. The DCCF may collect the data and deliver it to the NWDAF or the DCCF may rely on a messaging framework to collect data from the NF and deliver it to the NWDAF.

As depicted in Figure 4.2.0-2, the 5G System architecture allows any 5GC NF to request network analytics information from NWDAF containing Analytics logical function (AnLF). The NWDAF belongs to the same PLMN as the 5GC NF that consumes the analytics information.

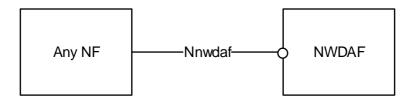


Figure 4.2.0-2: Network Data Analytics Exposure architecture

The Nnwdaf interface is defined for 5GC NFs, to request subscription to network analytics delivery for a particular context, to cancel subscription to network analytics delivery and to request a specific report of network analytics for a particular context.

NOTE 1: The 5G System architecture also allows other consumers such as OAM and CEF (Charging Enablement Function) to request network analytics information from NWDAF.

The 5G System architecture allows any NF to obtain Analytics from an NWDAF using a DCCF function with associated Ndccf services, as specified in clause 8.2.

The 5G System architecture allows NWDAF and DCCF to request historical analytics from an NWDAF with associated Nnwdaf\_AnalyticsSubscription services as specified in clause 7.2. The 5G system architecture allows MFAF to fetch historical analytics from an NWDAF with associated Nnwdaf\_AnalyticsSubscription service as specified in clause 7.2.

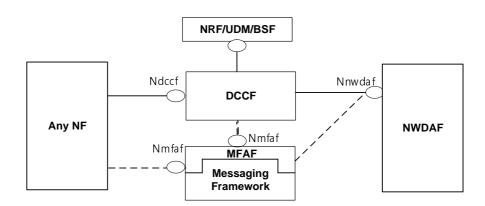


Figure 4.2.0-2a: Network Data Analytics Exposure architecture using Data Collection Coordination

As depicted in Figure 4.2.0-2a, the Ndccf interface is defined for any NF to support subscription request(s) to network analytics, to cancel subscription for network analytics and to request a specific report of network analytics. If the analytics is not already being collected, the DCCF requests the analytics from the NWDAF using Nnwdaf services. The DCCF may collect the analytics and deliver it to the NF, or the DCCF may rely on a messaging framework to collect analytics and deliver it to the NF.

As depicted in Figure 4.2.0-3, the 5G System architecture allows NWDAF containing Analytics logical function (AnLF) to use trained ML Model provisioning services from another NWDAF containing Model Training logical function (MTLF).

NOTE 2: Analytics logical function and Model Training logical function are described in clause 5.1.



Figure 4.2.0-3: Trained ML Model Provisioning architecture

The Nnwdaf interface is used by an NWDAF containing AnLF to request and subscribe to trained ML Model provisioning services.

NOTE 3: The NWDAF trained ML Model provisioning services are described in clause 7.5 and clause 7.6.

NOTE 4: The NWDAF containing AnLF is the only consumer of trained ML Model provisioning services in this release of the specification.

## 4.2.1 Analytics Data Repository Function

As depicted in Figure 4.2.1-1, the 5G System architecture allows ADRF to store and retrieve the collected data and analytics. The following options are supported:

- ADRF exposes the Nadrf service for storage and retrieval of data by other 5GC NFs (e.g. NWDAF) which access the data using Nadrf services.
- Based on the NF request or configuration on the DCCF, the DCCF may determine the ADRF and interact directly or indirectly with the ADRF to request or store data. The interaction can be:
  - Direct: the DCCF requests to store data in the ADRF via an Nadrf service, or via an Ndccf\_DataManagement\_Notify (e.g. when ADRF requested data collection notification via DCCF). In addition, the DCCF retrieves data from the ADRF via an Nadrf service.
  - Indirect: the DCCF requests that the Messaging Framework to store data in the ADRF i.e. via an Nadrf service or via an Nmfaf\_3daDataManagement\_Configure. The Messaging Framework may contain one or more Adaptors that translate between 3GPP defined protocols.

NOTE 1: The internal logic of Messaging Framework is outside the scope of 3GPP, only the MFAF and the interface between MFAF and other 3GPP defined NF is under 3GPP scope.

- A Consumer NF may specify in requests to a DCCF that data provided by a Data Source needs to be stored in the ADRF.
- The ADRF stores data received in an Nadrf\_DataManagement\_StorageRequest sent directly from an NF, or data received in an Ndccf\_DataManagement\_Notify / Nmfaf\_3caDataManagement\_Notify or Nnwdaf\_DataManagement\_Notify from the DCCF, MFAF or from the NWDAF.
- The ADRF checks if the Data Consumer is authorized to access ADRF services and provides the requested data using the procedures specified in clause 7.1.4 of TS 23.501 [2].

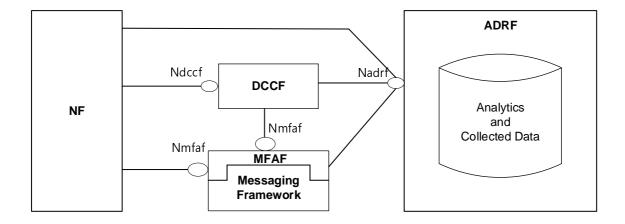


Figure 4.2.1-1: Data storage architecture for Analytics and Collected Data

## 4.3 Roaming architecture

Based on operator's policy and local regulations (e.g. privacy), data or analytics may be exchanged between PLMNs (i.e. HPLMN and VPLMN). In a PLMN, an NWDAF is used as exchange point to exchange analytics and to collect input data for analytics with other PLMNs. The NWDAF with roaming exchange capability is called Roaming Exchange NWDAF (RE-NWDAF).

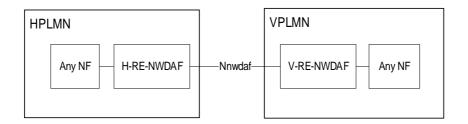


Figure 4.3-1: Roaming Architecture to exchange Input Data or Data Analytics between VPLMN and HPLMN

Using the architecture shown in Figure 4.3-1:

- For outbound roaming users, the NF consumer in the HPLMN can retrieve analytics from the VPLMN via the H-RE-NWDAF in HPLMN and V-RE-NWDAF in VPLMN.

NOTE 1: The analytics from the VPLMN may be generated by the V-RE-NWDAF in the VPLMN or by other NWDAFs in the VPLMN.

- For outbound roaming users, the H-RE-NWDAF in HPLMN can collect data from the VPLMN via V-RE-NWDAF in VPLMN.
- For inbound roaming users, the NF consumer in the VPLMN can retrieve analytics from the HPLMN via V-RE-NWDAF in VPLMN and H-RE-NWDAF in HPLMN.

NOTE 2: The analytics from the HPLMN may be generated by H-RE-NWDAF in the HPLMN or other NWDAFs in the HPLMN.

- For inbound roaming users, the V-RE-NWDAF can collect data from the HPLMN via the H-RE-NWDAF.

NOTE 3: Both local breakout and home routed roaming architectures support the data or analytics exchanging between PLMNs.

NOTE 4: Interactions between RE-NWDAFs of different PLMNs may be via SEPPs, which are not depicted in the architecture for the sake of clarity.

## 5 Network Data Analytics Functional Description

#### 5.1 General

The NWDAF provides analytics to 5GC NFs and OAM as defined in clause 7. An NWDAF may contain the following logical functions:

- **Analytics logical function (AnLF):** A logical function in NWDAF, which performs inference, derives analytics information (i.e. derives statistics and/or predictions based on Analytics Consumer request) and exposes analytics service i.e. Nnwdaf\_AnalyticsSubscription or Nnwdaf\_AnalyticsInfo.
- **Model Training logical function (MTLF):** A logical function in NWDAF, which trains Machine Learning (ML) models and exposes new training services (e.g. providing trained ML Model) as defined in clause 7.5 and clause 7.6.
- NOTE 1: NWDAF can contain an MTLF or an AnLF or both logical functions.
- NOTE 2: Pre-trained ML Model storage and provisioning to NWDAF is out of the scope of 3GPP.

Analytics information are either statistical information of the past events, or predictive information.

Different NWDAF instances may be present in the 5GC, with possible specializations per type of analytics. The capabilities of a NWDAF instance are described in the NWDAF profile stored in the NRF.

To guarantee the accuracy of analytics output for an Analytics ID, based on the UE abnormal behaviour analytics from itself or other NWDAF including abnormal UE list and the observed time window, the NWDAF is to detect and may delete the input data from the abnormal UE(s) and then may generate a new ML Model and/or analytics outputs for the Analytics ID without the input data related to abnormal UE list during the observed time window and then send/update the ML Model Information and/or analytics outputs to the subscribed NWDAF service consumer.

In order to support NFs to discover and select an NWDAF instance containing MTLF, AnLF, or both, that is able to provide the required service (e.g. analytics exposure or ML Model provisioning) for the required type of analytics, each NWDAF instance should provide the list of supported Analytics IDs (possibly per supported service) when registering to the NRF, in addition to other NRF registration elements of the NF profile. NFs requiring the discovery of an NWDAF instance that provides support for some specific service(s) for a specific type of analytics may query the NRF for NWDAFs supporting the required service(s) and the required Analytics ID(s).

The consumers, i.e. 5GC NFs and OAM, decide how to use the data analytics provided by NWDAF.

The interactions between 5GC NF(s) and the NWDAF take place within a PLMN.

The NWDAF has no knowledge about NF application logic. The NWDAF may use subscription data but only for statistical purpose.

The NWDAF architecture allows for arranging multiple NWDAF instances in a hierarchy/tree with a flexible number of layers/branches. The number and organisation of the hierarchy layers, as well as the capabilities of each NWDAF instance remain deployment choices.

In a hierarchical deployment, NWDAFs may provide data collection exposure capability for generating analytics based on the data collected by other NWDAFs, when DCCF, MFAF are not present in the network.

In order to make NWDAF discoverable in some network deployments, NWDAF may be configured (e.g. for UE mobility analytics) to register in UDM (Nudm\_UECM\_Registration service operation) for the UE(s) it is serving and for the related Analytics ID(s). Registration in UDM should take place at the time the NWDAF starts serving the UE(s) or collecting data for the UE(s). Deregistration in UDM takes place when NWDAF deletes the analytics context for the UE(s) (see clause 6.1B.4) for a related Analytics ID.

NOTE 3: The procedures for data collection for UE related analytics need to take user consent into account. The user consent for analytics is defined in clause 6.2.9.

## 5.2 NWDAF Discovery and Selection

The NWDAF service consumer selects an NWDAF that supports requested analytics information and required analytics capabilities and/or requested ML Model Information by using the NWDAF discovery principles defined in clause 6.3.13 of TS 23.501 [2].

Different deployments may require different discovery and selection parameters. Different ways to perform discovery and selection mechanisms depend on different types of analytics/data (NF related analytics/data and UE related analytics/data). NF related refers to analytics/data that do not require a SUPI nor group of SUPIs (e.g. NF load analytics). UE related refers to analytics/data that requires SUPI or group of SUPIs (e.g. UE mobility analytics).

In order to discover an NWDAF containing AnLF using the NRF:

- If the analytics is related to NF(s) and the NWDAF service consumer (other than an NWDAF) cannot provide an Area of Interest for the requested data analytics, the NWDAF service consumer may select an NWDAF with large serving area from the candidate NWDAFs from discovery response. Alternatively, in case the consumer receives NWDAF(s) with aggregation capability, the consumer preferably selects an NWDAF with aggregation capability with large serving area.
- NOTE 1: If the selected NWDAF cannot provide the requested data analytics, e.g. due to the NF(s) to be contacted being out of serving area of the NWDAF, the selected NWDAF might reject the analytics request/subscription or it might query the NRF with the service area of the NF to be contacted to determine another target NWDAF.
- If the analytics is related to UE(s) and the NWDAF service consumer (other than an NWDAF) cannot provide an Area of Interest for the requested data analytics, the NWDAF service consumer may select an NWDAF with large serving area from the candidate NWDAFs from discovery response. Alternatively, in case the consumer receives NWDAF(s) with aggregation capability, the consumer preferably selects an NWDAF with aggregation capability with large serving area.
- NOTE 2: If a selected NWDAF cannot provide analytics for the requested UE(s) (e.g. the NWDAF serves a different serving area), the selected NWDAF might reject the analytics request/subscription or it might determine the AMF serving the UE as specified in clause 6.2.2.1, request UE location information from the AMF and query the NRF with the tracking area where the UE is located to discover another target NWDAF serving the area where the UE(s) is located.
- If the analytics are related to UE(s) and if NWDAF instances indicate weights for TAIs in their NF profile (see clause 6.3.13 of TS 23.501 [2]), the NWDAF service consumer may use the weights for TAIs to decide which NWDAF to select.
- If the NWDAF service consumer needs to discover an NWDAF containing an AnLF with analytics accuracy
  checking capability, the consumer may query NRF providing also the analytics accuracy checking capability in
  the discovery request.

If the NWDAF service consumer needs to discover an NWDAF that is able to collect data from particular data sources identified by their NF Set IDs or NF types or to collect data from particular NWDAF Serving Area, the consumer may query NRF providing the NF Set IDs or NF types or Area of Interest in the discovery request.

NOTE 3: The NF Set ID or NF Type of a data source serving a particular UE, can be determined as indicated in Table 5A.2-1.

In order to discover an NWDAF that has registered in UDM for a given UE:

- NWDAF service consumers or other NWDAFs interested in UE related data or analytics, if supported, may make a query to UDM to discover an NWDAF instance that is already serving the given UE.

If an NWDAF service consumer needs to discover NWDAFs with data collection exposure capability, the NWDAF service consumer may discover via NRF the NWDAF(s) that provide the Nnwdaf\_DataManagement service and their associated NF type of data sources or their associated NF Set ID of data sources or NWDAF Serving Area information as defined in clause 6.3.13 of TS 23.501 [2].

In order to discover an NWDAF containing MTLF via NRF:

- When one or more trained ML Models are available for one or more Analytics ID(s) the NWDAF containing MTLF shall include the Analytics ID(s) that is(are) supported per service in the registration towards NRF. The

NWDAF containing MTLF may wait to register in NRF the above services until at least one trained model is available. The NWDAF containing MTLF may provide to the NRF a list of Analytics IDs corresponding to the trained ML Models and possibly the ML Model Filter Information for the trained ML Model per Analytics ID(s), if available. In this Release of the specification, only the S-NSSAI(s) and Area(s) of Interest from the ML Model Filter Information for the trained ML Model per Analytics ID(s) may be registered into the NRF during the NWDAF containing MTLF registration. If the NWDAF containing MTLF supports ML Model interoperability, the NWDAF containing MTLF includes, in the registration to the NRF, an ML Model Interoperability indicator for each Analytics ID.

- The ML Model Interoperability indicator comprises a list of NWDAF providers (vendors) that are allowed to retrieve ML Models from this NWDAF containing MTLF. It also indicates that the NWDAF containing MTLF supports the interoperable ML Models requested by the NWDAFs from the vendors in the list.
- NOTE 4: The S-NSSAI(s) and Area(s) of Interest from the ML Model Filter Information are within the indicated S-NSSAI and NWDAF Serving Area information in the NF profile of the NWDAF containing MTLF, respectively.
- During the discovery of NWDAF containing MTLF, a consumer (e.g. an NWDAF containing AnLF, an NWDAF containing MTLF as FL server or FL client) may include in the request the target NF type (i.e. NWDAF), the Analytics ID(s), the S-NSSAI(s), Area(s) of Interest of the Trained ML Model required, ML Model Interoperability indicator and NF consumer information. The NRF returns one or more candidate instances of NWDAF containing MTLF to the NF consumer and each candidate instance of NWDAF containing MTLF includes the Analytics ID(s), possibly the ML Model Filter Information for the available trained ML Models and ML Model Interoperability indicator, if available.

NOTE 5: NF consumer information such as Vendor ID is defined in stage 3.

If the NWDAF service consumer needs to discover an NWDAF containing an MTLF with ML Model accuracy
checking capability, the consumer may query NRF also providing the ML Model accuracy checking capability in
the discovery request.

In order to discover an NWDAF containing MTLF with Federated Learning (FL) capability via NRF, in addition to the procedures described above for discovering NWDAF containing MTLF:

- An NWDAF containing MTLF supporting FL as a server shall additionally include FL capability type (i.e. FL server) and may include Time interval supporting FL as FL capability information during the registration in NRF.
- An NWDAF containing MTLF supporting FL as a client shall additionally include FL capability type (i.e. FL client) and may include Time interval supporting FL as FL capability information during the registration in NRF, and it may also include, NF type(s) and NWDAF Serving Area information and/or NF set ID(s) of the data source(s) where data can be collected as input for local model training.
- NOTE 6: An NWDAF containing MTLF may indicate to support both FL server and FL client in the FL capability for specific Analytics ID.
- During the discovery of NWDAF containing MTLF as FL server, a consumer (e.g. a NWDAF containing MTLF) may include in the request the FL capability type as FL server and may include Time Period of Interest and ML Model Filter information for the trained ML Model(s) per Analytics ID(s), if available. The NRF returns one or more NF profiles of candidate instances of NWDAF satisfying the query parameters.
- During the discovery of NWDAF containing MTLF as FL client, a consumer (e.g. an FL server) may include in the request FL capability type as FL client and may include Time Period of Interest, a list of NF type(s) and/or NF set ID(s) of the data source(s). The NRF returns one or more NF profiles of candidate instances of NWDAF satisfying the query parameters.
- NOTE 7: The service consumer to discover an NWDAF containing MTLF with FL capability is limited to NWDAF containing MTLF in this Release.

A PCF may learn which NWDAFs being used by AMF, SMF and UPF for a specific UE, via signalling described in clause 4.16 of TS 23.502 [3]. This enables a PCF to select the same NWDAF instance that is already being used for a specific UE.

In the roaming architecture, the NWDAF with roaming exchange capability (RE-NWDAF) to request analytics or input data is discovered via the NRF. A consumer in the same PLMN as the RE-NWDAF discovers the RE-NWDAF(s) by

querying for NWDAF(s) where the roaming exchange capability is indicated in its (their) NF profile. A consumer in a peer PLMN (i.e. RE-NWDAF) discovers the RE-NWDAF(s) by querying for NWDAF(s) in the target PLMN that is (are) supporting the specific services defined for roaming. A RE-NWDAF discovers the RE-NWDAF(s) in a different PLMN (i.e. HPLMN or VPLMN) using the procedure defined in clause 4.17.5 (if delegated discovery is not used) or clause 4.17.10 (if delegated discovery is used) of TS 23.502 [3], where the detailed parameters are determined based on the analytics request or subscription from the consumer 5GC NF, operator policy, user consent and/or local configuration.

## 5.3 Federated Learning (FL) among multiple NWDAFs

Federated learning among multiple NWDAFs is a machine learning technique in core network that trains an ML Model across multiple decentralized entities holding local data set, without exchanging/sharing local data set. This approach stands in contrast to centralized machine learning techniques where all the local datasets are uploaded to one server, thus allowing to address critical issues such as data privacy, data security, data access rights.

NOTE 1: Horizontal Federated Learning is supported among multiple NWDAFs, which means the local data set in different FL client NWDAFs have the same feature space for different samples (e.g. UE IDs).

For Federated Learning supported by multiple NWDAFs containing MTLF, there is one NWDAF containing MTLF acting as FL server (called FL server NWDAF for short) and multiple NWDAFs containing MTLF acting as FL client (called FL client NWDAF for short), the main functionality includes:

#### **FL server NWDAF:**

- discovers and selects FL client NWDAFs to participant in an FL procedure
- requests FL client NWDAFs to do local model training and to report local model information.
- generates global ML Model by aggregating local model information from FL client NWDAFs.
- sends the global ML Model back to FL client NWDAFs to perform an additional training iteration if needed.

#### **FL client NWDAF:**

- locally trains ML Model as tasked by the FL server NWDAF with the available local data set, which includes the data that may not be allowed to be shared with other FL client NWDAFs due to e.g. data privacy, data security, data access rights.
- reports the trained local ML Model information to the FL server NWDAF.
- receives the global ML Model from FL server NWDAF and perform an additional training iteration if needed.

FL server NWDAF or FL client NWDAF register to NRF with their FL capability information as described in clause 5.2.

The NWDAF containing MTLF determines to train an ML Model either based on local configuration or when it receives a request from NWDAF containing AnLF. The NWDAF containing MTLF further determines whether the ML Model should be trained via FL mechanism based on Analytic ID, Service Area/DNAI or when data can not be obtained directly from data producer NF (e.g. due to data privacy, data security). The NWDAF containing AnLF is not aware whether the ML Model is trained based on FL or not.

If the NWDAF containing MTLF can act as an FL server for the ML Model training, then FL procedure is initiated by the NWDAF containing MTLF as FL server NWDAF directly.

If the NWDAF containing MTLF determines to train an ML Model based on local configuration and the FL mechanism is required, but the NWDAF containing MTLF can't act as an FL server, the NWDAF containing MTLF should discover an FL server NWDAF as described in clause 5.2 and request the FL server NWDAF to provide the trained ML Model as described in clause 6.2C.2.2. The FL server NWDAF may determine to initiate FL procedure before providing the ML Model.

If the ML Model training is triggered by the request from NWDAF containing AnLF, the NWDAF containing MTLF determines the FL mechanism is required but it can not act as an FL server, the NWDAF containing MTLF should discover an FL server NWDAF as described in clause 5.2 and request the FL server NWDAF to provide the trained ML Model as described in clause 6.2C.2.2. The Notification endpoint of the NWDAF containing AnLF is provided in the

request message sent to the FL server NWDAF. The FL server NWDAF may determine to initiate FL procedure before providing the ML Model. The FL server NWDAF sends the ML Model information to the notification endpoint (e.g. the NWDAF containing AnLF) after the ML Model training success.

NOTE 2: How to authorize an MTLF to request ML Models on behalf of an AnLF to another MTLF (e.g., FL server NWDAF) is up to SA WG3.

Before FL procedure is initiated by FL server NWDAF, appropriate FL client NWDAFs should be discovered by FL server NWDAF as described in clause 5.2.

When starting an FL procedure, the FL server NWDAF is to provide an initial model to each FL client NWDAF, and then each FL client NWDAF is to perform local model training using its local data set. The detailed procedure for FL among Multiple NWDAFs is described in clause 6.2C.

## 5A Data Collection Coordination and Delivery Functional Description

#### 5A.1 General

Data Collection Coordination and Delivery coordinates the collection and distribution of data requested by NF consumers. It prevents data sources from having to handle multiple subscriptions for the same data and send multiple notifications containing the same information due to uncoordinated requests from data consumers.

Data Collection Coordination and Delivery is supported by a DCCF via Ndccf\_DataManagement service or by an NWDAF via Nnwdaf\_DataManagement service. Unless otherwise stated, capabilities specified in clause 5A for a DCCF are also applicable to an NWDAF.

In this Release of the specification Data Collection Coordination and Delivery is applicable to:

- NWDAFs that request data from a Data Source (e.g. for use in computing analytics).
- NF consumers that request analytics from an NWDAF Data Source.
- NF consumers that request data from an ADRF Data Source.
- ADRFs that receive data from an NF Data Source.

NOTE: Nnwdaf\_DataManagement service can be used to collect historical data or runtime data. For collecting historical and runtime analytics, Nnwdaf\_AnalyticsSubscription service is used.

#### 5A.2 Data Collection Coordination

Data Collection Coordination is supported by a DCCF or an NWDAF. The Data Consumer may use an NRF to perform NF discovery and selection to find a DCCF that can coordinate data collection (DCCF discovery principles are defined in clause 6.3.19 of TS 23.501 [2]). Data Consumers send requests for data to the DCCF rather than directly to the NF Data Source. Whether the data consumers directly contact the NF Data Source or goes via the DCCF is based on configuration of the data consumers. For the Data Consumer and each notification endpoint in a data request, the Data Consumer may specify Formatting and Processing Instructions that determine how the data is to be provided. Upon receiving a request from a Data Consumer, the selected DCCF determines the NF instance that can be a Data Source if the Data Source is not indicated in the Data Consumer's request. The DCCF may also select an ADRF if the data is to be stored in an ADRF and an ADRF endpoint is not indicated in the Data Consumer's request. To retrieve data for a specific UE, the NRF, UDM or BSF can provide the DCCF with the identity of the Data Source using the services indicated in table 5A.2-1.

Table 5A.2-1: NF Services consumed by DCCF or NWDAF to determine which NF instances are serving a UE

| Type of NF instance (serving the UE) to determine | NF to be contacted by DCCF | Service                       | Reference in<br>TS 23.502 [3] |
|---|----------------------------|-------------------------------|-------------------------------|
| UDM   | NRF                        | Nnrf_NFDiscovery<br>(NOTE 1)  | 5.2.7.3                       |
| AMF   | UDM                        | Nudm_UECM                     | 5.2.3.2                       |
| SMF   | UDM                        | Nudm_UECM                     | 5.2.3.2                       |
| BSF   | NRF                        | Nnrf_NFDiscovery<br>(NOTE 1)  | 5.2.7.3                       |
| PCF   | BSF                        | Nbsf_Management               | 5.2.13.2                      |
| NEF   | NRF                        | Nnrf_NFDiscovery              | 5.2.7.3                       |
| NWDAF   | NRF<br>UDM                 | Nnrf_NFDiscovery<br>Nudm_UECM | 5.2.7.3<br>5.2.3.2            |
| GMLC  | NRF                        | Nnrf_NFDiscovery<br>(NOTE 2)  | 5.2.7.3                       |
| NSACF   | NRF                        | Nnrf_NFDiscovery              | 5.2.7.3                       |

NOTE 1: Discovery can be based on a group ID. The group ID for a UE ID can be obtained using the Nudr\_GroupIDmap service defined in clause 5.2.12.3 of TS 23.502 [3].

NOTE 2: Discovery of the GMLC serving a UE is described in clause 5.1a of TS 23.273 [39] and can also be based on DNS. A GMLC is supposed to be able to serve any UE in the PLMN; the GMLC will in turn discover an AMF serving the UE via the UDM as described in clause 6.1 of TS 23.273 [39].

The DCCF keeps track of the data actively being collected from the Data Sources it is coordinating. It may do so by maintaining a record of the active prior requests it sends to each Data Source. If a NWDAF subscribes for data directly with a Data Source, or a Data Source has stored data in an ADRF, the NWDAF or ADRF may register the data collection profile with the DCCF. The data collection profile may include the following parameters:

- "Service Operation" identifies the service used to collect the data or analytics from a Data Source (e.g. Namf\_EventExposure\_Subscribe or Nnwdaf\_AnalyticsSubscription\_Subscribe);
- "Analytics/Data Specification" is the "Service Operation" specific parameters that identify the collected data (i.e. Analytics ID(s) / Event ID (s), Target of Analytics Reporting or Target of Event Reporting, Analytics Filter or Event Filter, etc.);
- NWDAF ID or ADRF ID specifies the ADRF or NWDAF which registers data collection profile.

The DCCF may then determine certain historical data may be available in the NWDAF or ADRF and coordinate collection of data from the NWDAF or ADRF based on the data collection profile.

When the DCCF receives a request for data, it determines the status of data collection from the Data Source. If parameters in a request for data from a Data Consumer match those in a prior request or in a data collection profile registration, the DCCF may determine that the requested data is already being collected from a Data Source or that a prior subscription to a Data Source may be modified to in addition satisfy the requirements of the new data request from a Data Consumer. This status is used in clause 5A.3 to deliver data to the Data Consumer and notification endpoints.

For persisting event exposure subscriptions for long-lived data collection, the DCCF may subscribe to the UDM to receive event notifications even if a Data Source that serves a UE changes.

The DCCF may subscribe to the NRF to receive event notifications if a Data Source changes (e.g. because of a NF life-cycle event).

NOTE: A DCCF can support multiple Data Sources, Data Consumers and Message Frameworks. However, to avoid duplicate data collection, each Data Source NF or Set of Data Source NF should be associated with only one DCCF instance or DCCF Set.

A DCCF may use the same mechanisms described in clause 6.2.2.1 to determine AMF and SMF to retrieve data related to "any UE".

If the data consumer requests to collect data for any UE in an area of interest, the data consumer shall first determine all DCCFs covering the area of interest and then contact these DCCFs to request for data collection.

## 5A.3 Data Delivery

#### 5A.3.0 General

Data is provided to Consumers or notification endpoints according to the Delivery Option configured on the DCCF or NWDAF. Delivery Options are:

- Delivery via DCCF or NWDAF: Consumers or Notification Endpoints receive the data from the DCCF or NWDAF.
- 2. Delivery via Messaging Framework: Consumers or Notification Endpoints receive the data from the Messaging Framework via the services offered by the MFAF.

#### 5A.3.1 Data Delivery via the DCCF or NWDAF

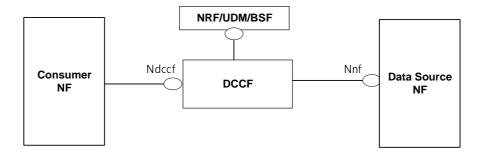


Figure 5A.3.1-1: Data Delivery via DCCF

Data Delivery via DCCF is shown in Figure 5A.3.1-1. Each Event Notification received from a Data Source NF is sent to the DCCF which propagates it to all Data Consumers / Notification Endpoints specified by the Data Consumers or determined by the DCCF. Each Data Consumer may specify in its request to the DCCF multiple notification endpoints, which may include the requesting Data Consumer, an ADRF or other NFs. The DCCF may also select an ADRF or other notification endpoint based on configuration. The DCCF supports formatting and processing for each Consumer / notification endpoint so notifications comply with the data requests received from each Consumer NF.

Upon the DCCF determining the status of data collection for a data request (see clause 5A.2):

- If the requested data is not already being collected from a Data Source, the DCCF sends a new subscription/request towards the Data Source with the notification target specified as the DCCF.
- If the requested data is partially covered by existing subscriptions with the Data Source, the DCCF sends to the existing Data Source a request to modify the subscription and/or creates new subscription(s) to new Data Source for the newly requested data which cannot be provided by the current Data Source.
- If the requested data is already being collected from the Data Source, the DCCF determines that no subscriptions to the Data Source need to be created or modified.

When notifications are received by the DCCF, they are processed according to the Formatting and Processing Instructions for each Consumer and notification endpoint. The DCCF subsequently sends notifications to Consumers and notification endpoints via a Ndccf\_DataManagement service.

The same functionality as described above applies for Data Delivery and bulked data collection via NWDAF with Nnwdaf services replacing corresponding Ndccf services.

#### 5A.3.2 Data Delivery via a Messaging Framework

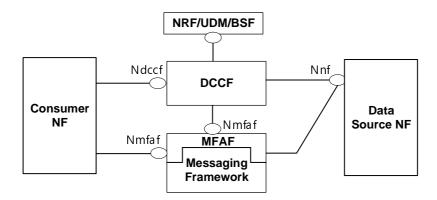


Figure 5A.3.2-1: Data Delivery via a Messaging Framework

Data Delivery via a Messaging Framework is shown in figure 5A.3.2-1. The Messaging Framework formats and processes data received from the Data Source NF and sends notifications to all Data Consumers and Notification Endpoints specified by Data Consumers or determined by the DCCF. Each Data Consumer may specify in its request to the DCCF multiple notification endpoints, which may include the requesting Data Consumer, an ADRF or other NFs. The DCCF may also select an ADRF or other notification endpoint based on configuration. While the Messaging Framework is not standardized by 3GPP, a Messaging Framework Adaptor NF (MFAF) offers 3GPP defined services that allow the 5GS to interact with the Messaging Framework. Internally, the Messaging Framework may for example support the pub-sub pattern, where received data are published to the Messaging Framework and requests from 3GPP Consumers result in Messaging Framework specific subscriptions. Alternatively, the Messaging Framework may support other protocols outside of the scope of 3GPP.

The Messaging Framework Adaptor NF offers services that enable the 5GS to interact with the Messaging Framework:

- 3GPP Consumer Adaptor (3CA) Data Management Service: Nmfaf\_3caDataManagement Service delivers data to each Data Consumer or notification endpoint after formatting and processing of data received by the Messaging Framework.
- 3GPP DCCF Adaptor (3DA) Data Management Service: The consumer (e.g. DCCF) may configure MFAF using Nmfaf\_3daDataManagement service to enable the MFAF to convey data or analytics received from data source NF to notification endpoints. The configuration may include data formatting and processing instruction and notification endpoints.

Upon the DCCF determining the status of data collection for a data request (see clause 5A.2):

- If the requested data is not currently being collected from a Data Source, the DCCF sends a new subscription/request towards the Data Source with the notification target specified as the Messaging Framework.
- If the requested data is partially covered by existing subscriptions with the Data Source, the DCCF sends a request to the Data Source to modify one or more subscriptions to accommodate both the previous requests for data and the new request for data and/or creates new subscription(s) to new Data Source for the newly requested data which cannot be provided by the current Data Source.
- If the requested data is already being collected from the Data Source, the DCCF determines that no subscriptions to the Data Source need to be created or modified.

NOTE: The internal logic of DCCF, e.g. how it decides on what modifications to do, is not specified.

- The DCCF uses the Nmfaf\_3daData Management service to convey information so:
  - 1. the Messaging Framework can recognize data that are received from a Data Source.

2. the MFAF can obtain data received by the Messaging Framework, process and format the data according to processing and formatting instructions for each Consumer / notification endpoint and send notifications or responses to the Data Consumers.

When data are received by the Messaging Framework (e.g. because of an event notification) they are processed according the Formatting and Processing Instructions for each Consumer / notification endpoint before notifications are sent to the Data Consumer or Notification Endpoints. Notifications sent via the Nmfaf\_3caDataManagement service have the same content as those sent via a Ndccf\_DataManagement service for Data Delivery via the DCCF.

The same functionality as described above applies for Data Delivery and bulk data collection via NWDAF with Nnwdaf services replacing corresponding Ndccf services.

## 5A.4 Data Formatting and Processing

Formatting and/or Processing instructions may be provided in requests by Data Consumers via the Ndccf\_DataManagement service and Nnwdaf\_DataManagement service. As an alternative to providing individual events, formatting can be used to aggregate notifications and processing can be used to extract and send summary information from multiple notifications. Data Formatting and Processing are applicable to notifications due to events as they occur at data sources (runtime data or analytics) and historical data as described in clause 5A.5.

When using the Messaging Framework, the DCCF sends the formatting and/or processing instructions to the Messaging Framework via the Nmfaf\_3daData\_Management Service so the MFAF may format and/or process the data before sending notifications to the Data Consumers / notification endpoints. When using Data Delivery via the DCCF, the DCCF performs formatting and/or processing before sending notifications.

Formatting determines when a notification is sent to the Consumer. Formatting Instructions may indicate:

- Notification Event clubbing: Buffering and sending of several notifications in one message. The consumer may specify a minimum and/or maximum number of notifications to be clubbed.
- Notification Time Window (example: notifications are buffered and sent between 2 and 3 AM).
- Cross event reference-based notification: When a subscribing NF is subscribing to multiple events (e.g. event X and event Y) the notification for an Event-X is buffered and reported only when the Event-Y occurs.
- Consumer triggered Notification: Notifications containing data or analytics are buffered until the consumer requests delivery using Nnwdaf\_DataManagement, Ndccf\_DataManagement or Nmfaf\_3caDataManagement Service. The consumer requests Consumer triggered notification by setting a "fetch flag" in its subscription request to the DCCF or NWDAF. When the requested data or analytics is available for retrieval, the DCCF, NWDAF or MFAF sends a notification containing fetch instructions to the consumer. The consumer must then fetch the data or analytics before an expiry time as provided in the fetch instructions.

NOTE: When this indication is set by the consumer, DCCF, NWDAF or MFAF notifications to the consumer contain Fetch Instructions (see clauses 8.2.4, 7.4.4 and 9.3.2).

- Exact time-based Notification: Notifications are sent to the Consumer at an exact time, irrespective of whether the event occurs (example: every 30 min). Exact time-based notifications may be periodic.
- Increasing time window based notification: Notifications are sent to the Consumer at an increasing periodicity (example: the first notification is sent immediately, subsequent received notifications are sent after 5 min, then after 10 min, then after 15 min, etc.).

For an ADRF endpoint, Formatting Instructions sent to the messaging framework may further specify whether Nmfaf services are used to deliver notifications to an ADRF, or whether the data are sent to the ADRF using a Nadrf service.

Processing instructions allow summarizing of notifications to reduce the volume of data reported to the Data Consumer. The processing results in summarizing of information from multiple notifications into a common report. Processing of data for inclusion in each notification sent to consumers occurs over a Processing Interval specified in the Processing Instructions. Notifications sent to consumers may represent partial intervals if formatting instructions or Event Reporting Information (as specified in table 4.15.1-1 of TS 23.502 [3]) require that a notification be sent to the consumer before the end of a processing interval. Processing Instructions are provided per Event ID or Analytics ID and are applied to multiple notifications that result from the same subscription and for the same Event ID or Analytics ID. Processing Instructions, in addition to the Processing Interval, may specify the parameter names, parameter values and the attributes to be determined and reported to the Consumer. Processing Instructions may also specify aggregation

level (e.g. per-UEs, per AoIs) or temporal aggregation (e.g. per minute, per hour). The processed notifications may comprise the following depending on the Event and Processing Instructions:

- Event;
- Processing Interval;
- List of Event Parameter Name(s) and for each Event Parameter Name, one Event Parameter Values and sets of the following attributes as indicated in the processing instructions:
  - Event Spacing: Average and variance of the time interval separating two consecutive occurrences of the same event and parameter value, or periodicity for periodic reporting;
  - Event Duration: Average and variance of the Time for which the parameter value applies;
  - Number of countable occurrences for the parameter (e.g. Mobility Registration Update);
  - Average, variance, most frequent value, least frequent value and skew of the parameter (e.g.: number of UEs in an AoI);
  - Maximum and minimum parameter values (e.g. number of UEs in an AoI).

Event Parameter Names are Event specific and not all attributes are applicable for all parameter names. Examples of Event Parameter Names and Parameter values are provided in table 5A.4-1.

Table 5A.4-1: Examples of Event Parameter Names, Parameter values

| Event                           | Event parameter name | Parameter values | Attributes  |
|---------------------------------|----------------------|------------------|---|
| Location Report                 | TAI                  | TAI-7            | <ul> <li>Average and variance of the time interval between TA boundary crossings.</li> <li>Number of TA boundary crossing.</li> </ul>   |
| Number of UEs in a<br>Region    | Region               | AMF-3            | Average and variance     of the number of UEs     in the Region.  |
| UE Reachability (status change) | CM State             | Connected        | <ul> <li>Average and variance of time between CM connected state transitions.</li> <li>Average and variance of the time spent in CM connected state.</li> <li>Number of transitions to CM connected state.</li> </ul>                 |
| PDU Session<br>Establishment    | DNN                  | Internet         | - Average and variance of time between PDU Session establishments to the Internet DN Average and variance of the duration of PDU Sessions established to the Internet DN Number of PDU Session establishments to the Internet DN.     |
| PDU Session<br>Establishment    | PDU Session Type     | Ethernet         | <ul> <li>Average and variance of time between         Ethernet PDU Session establishments.</li> <li>Average and variance of the duration of Ethernet PDU Sessions.</li> <li>Number of Ethernet PDU Session establishments.</li> </ul> |

## 5A.5 Historical Data Handling

ADRF or NWDAF as a Data Source:

- When the DCCF receives a request for data that includes a period in the past and ADRF is deployed, the DCCF may obtain data from ADRF as the Data Source. The DCCF may also obtain historical data from an NWDAF. The data obtained from the ADRF or NWDAF is delivered to Consumers / Notification Endpoints according to a configured Delivery Option. The DCCF may determine that requested data may be available in an ADRF or NWDAF based on ADRF identification from the consumer, the data collection profile previously registered by the ADRF or NWDAF or by querying the ADRF or NWDAF.

#### ADRF or NWDAF as a Data Recipient:

- An ADRF or NWDAF may be a Consumer NF that initiates requests to the DCCF for data, the ADRF or NWDAF may be specified as a notification endpoint by another Consumer NF that wants to have data it requests archived, or the DCCF may be configured to archive certain data in a ADRF (e.g. all data from an AMF instance).

- If the ADRF or NWDAF instance is not specified in a request for data by a Consumer NF, the DCCF may select the ADRF or NWDAF instance based on provisioned information or information received from the NRF.
- Data is delivered to the ADRF or NWDAF according to a configured Delivery Option (via DCCF or Messaging Framework).

## 5B Analytics Data Repository Functional Description

#### 5B.1 General

The ADRF offers services that enable a consumer to store, retrieve and delete data and analytics. The analytics are produced by the NWDAF as described in clause 6.1 and data are collected as described in clause 6.2. Multiple instances of ADRF may be deployed in a network, NF consumer selects a target ADRF instance using ADRF discovery mechanism defined in clause 6.3.20 of TS 23.501 [2].

Data may be stored in the ADRF by:

- a consumer sending the ADRF an Nadrf\_DataManagement\_StorageRequest containing the data or analytics to be stored. The ADRF response provides a result indication.
- a consumer sending the ADRF an Nadrf\_DataManagement\_StorageSubscriptionRequest requesting that the
  ADRF subscribes to receive data or analytics for storage. The ADRF then subscribes to the NWDAF or DCCF
  for data or analytics, providing ADRF Notification Address (+Notification Correlation ID). Analytics or Data are
  subsequently provided as notifications using DCCF, NWDAF or MFAF services (Ndccf\_DataManagement
  Nnwdaf\_DataManagement or Nmfaf\_3caDataManagement service).

Data may be retrieved from the ADRF by:

- a consumer sending an Nadrf\_DataManagement\_RetrievalRequest request to the ADRF to retrieve data or analytics for a Storage Transaction Identifier or a Fetch Instructions received from the ADRF in an Nadrf\_DataManagement\_RetrievalNotify. The ADRF determines the availability of the data or analytics in its repository and sends in the response to the consumer either the data or analytics.
- a consumer sending an Nadrf\_DataManagement\_RetrievalSubscribe request to the ADRF to retrieve data or analytics for a specified data or analytics collection time window. If the time window includes the future and the ADRF has subscribed to receive the data or analytics, subsequent notifications received by the ADRF are sent by the ADRF to the notification endpoint.

The ADRF determines the availability of the data or analytics and sends a success/failure indication in the response to the consumer. The ADRF then sends one or more notifications using an Nadrf\_DataManagement\_RetrievalNotify to the Notification Address (+Notification Correlation ID) specified by the consumer. The notification(s) either provide the data or analytics or provide instructions to the endpoint to fetch the data or analytics using an Nadrf\_DataManagement\_RetrievalRequest.

Data may be deleted from the ADRF by:

 a consumer sending an Nadrf\_DataManagement\_Delete request. The ADRF response provides a result indication.

An ADRF may be configured to register the data it is collecting with a DCCF. The registration uses the Ndccf\_ContextManagement service specified in clause 8.3.2. The registration may subsequently be used by the DCCF to obtain data from the ADRF as described in clause 6.2.6.3.6.

The ADRF offers services that enable a consumer to store, delete and retrieve ML Models. The ML Models are trained by NWDAF containing MTLF as described in clause 5.1.

ML Model(s) may be retrieved from the ADRF by:

a consumer sending the ADRF an Nadrf\_MLModelManagement\_RetrievalRequest as described in clause 10.3.4,
 containing one or more tuples of unique ML Model identifier stored in ADRF or Storage Transaction Identifier.
 The ADRF response provides one or more tuples of unique ML Model identifiers and ML Model file address of ML Model file stored in ADRF.

ML Model(s) may be stored in the ADRF by:

- a consumer sending the ADRF an Nadrf\_MLModelManagement\_StorageRequest as described in clause 10.3.2, containing the ML Model or ML Model address to be stored. The ADRF response provides a result indication.

ML Model(s) may be deleted from the ADRF by:

- a consumer sending an Nadrf\_MLModelManagement\_Delete request as described in clause 10.3.3. The ADRF response provides a result indication.

When a consumer requests data or analytics to be stored in an ADRF, it may specify Storage Handling information requesting:

- a lifetime indicating how long the data or analytics should be stored; and/or
- that a notification alerting the consumer be sent prior to data deletion from the ADRF.

The ADRF, DCCF or NWDAF may be configured with default operator storage policies. The policies specify how long data or analytics are to be stored and conditions when the default policy supersedes Storage Handling Information provided in a request from the Data or Analytics Consumer. Based on the default operator policy and the Storage Handling information, the ADRF, DCCF or NWDAF determines the Storage Approach that will be applied for the data or analytics.

The Storage Approach is comprised of the lifetime for how long the data or analytics will be stored and the notification requirement to be applied when data or analytics are to be deleted from the ADRF.

When more than one consumer requests a storage lifetime for the same data or analytics, the Storage Approach should be based on the longest requested storage lifetime.

The response to the consumer request for data or analytics includes the Storage Approach.

NOTE: The default operator policy for how long data or analytics are to be stored may be longer or shorter than the lifetime requested by the consumer. A default operator policy may for example accept only consumer requested lifetimes that are shorter or longer than the default policy.

The ADRF, DCCF or NWDAF determines when the data or analytics lifetime has expired. When data or analytics is to be removed from the ADRF, an alert is sent to the Consumer that the data is about to be deleted. The alert contains a Storage Transaction Identifier that can be used by the consumer to retrieve the data or analytics.

## 5C Analytics/ML Model Accuracy Monitoring Functional Description

#### 5C.1 General

A NWDAF may have the accuracy checking capability for Analytics and/or ML Models. The NWDAF may provide the accuracy information to consumers when requested or use it for its internal processes.

Input data is collected from Data Producer NF(s) when there is a request for inference/prediction per analytics ID in NWDAF for a specific time period in future. Ground truth data are collected from those Data Producer NF corresponding to the requested analytic ID at the time to which the prediction refers.

The ground truth data is the actual measured data observed at the time which the prediction refers to.

NOTE 1: The ground truth data can be impacted when Analytics Feedback Information shows that an action is triggered by the analytics output in the consumer.

Analytics/ML Model Accuracy Monitoring is to be achieved by comparing the predictions using the current trained ML Model and its corresponding ground truth data i.e. the corresponding true observed events.

Analytics/ML Model Accuracy Information is to represent general performance measurements for analytics and ML Model respectively, which are composed of the number of correct predictions out of all predictions and the corresponding number of samples.

- NOTE 2: In this Release, only Analytics/ML Model Accuracy Information is supported for monitoring the performance of Analytics/ML Model.
- NOTE 3: How an MTLF/AnLF determines whether a prediction is correct is up to implementation.

The NWDAF (containing AnLF/MTLF) with accuracy checking capability decides to initiate Analytics Accuracy Monitoring based on:

- A request from an analytics accuracy consumer. The analytics accuracy consumer may be an NWDAF containing AnLF, NWDAF containing MTLF or an analytics consumer NF.
- Analytics Feedback Information which may be provided by an Analytics Consumer NF.

The AnLF with analytics accuracy checking capability as defined in clause 6.2D is able to provide or notify the accuracy information of Analytics IDs to the analytics consumers of such service and when the analytics accuracy does not meet the analytics consumer's requirements, the analytics consumer may stop using analytics for a period of time or obtain new analytics. In addition, updated analytics for the provided Analytics IDs may be provided to analytics consumers as requested, if the updated analytics is able to be generated within the correction time period. The AnLF with analytics accuracy checking capability is as defined in clause 6.2D.1 is able to determine Analytics Accuracy Information based on e.g.:

- Comparing predictions and its corresponding ground truth data, which are collected corresponding to the requested analytic ID at the time which the prediction refers to.

NOTE 4: The ground truth data and the corresponding prediction is to be defined per Analytics ID.

- Comparing changes in internal configuration for the analytics ID generation (e.g. change of data collection parameters, change in data distribution from a Data Source).
- Previous existent records of Analytics Accuracy Information.
- Accuracy Feedback Information provided by an NF consumer.
- Determining analytics accuracy by comparing analytics accuracy using multiple ML Models that serve the same Analytics ID.

The MTLF with ML Model accuracy checking capability as defined in clause 6.2E is able to determine ML Model degradation based on e.g.:

- comparing/evaluating the data: including input data, analytics output and the ground truth data either collected from various data source NFs, DCCF, AnLF, ADRF or configured by OAM;
- or AnLF providing notifications of the Analytics Accuracy Information; or
- AnLF providing Analytics Feedback Information of the analytics generated by the ML Model.

The NWDAF containing MTLF may reselect a new ML Model or retrain the existing ML Model and consequently notify the ML Model accuracy degradation to the ML Model consumer(s). In addition, the NWDAF containing MTLF may consider the rating of untrusted AF(s) when used as data sources.

## 6 Procedures to Support Network Data Analytics

#### 6.0 General

This clause specifies procedures to support network data analytics function.

Clause 6.1 and clause 6.2 specify generic procedures which apply to all type of analytics, while clause 6.3 and onwards specify procedures specific to some type of analytics.

## 6.1 Procedures for analytics exposure

#### 6.1.1 Analytics Subscribe/Unsubscribe

#### 6.1.1.1 Analytics subscribe/unsubscribe by NWDAF service consumer

This procedure is used by any NWDAF service consumer (e.g. including NFs/OAM) to subscribe/unsubscribe at NWDAF to be notified on analytics information, using Nnwdaf\_AnalyticsSubscription service defined in clause 7.2. This service is also used by an NWDAF service consumer to modify existing analytics subscription(s). Any entity can consume this service as defined in clause 7.2.

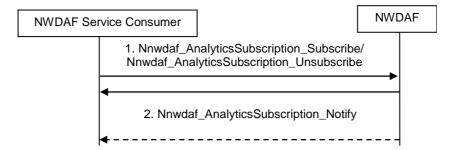


Figure 6.1.1.1-1: Network data analytics Subscribe/unsubscribe

1. The NWDAF service consumer subscribes to or cancels subscription to analytics information by invoking the Nnwdaf\_AnalyticsSubscription\_Subscribe/Nnwdaf\_AnalyticsSubscription\_Unsubscribe service operation. The parameters that can be provided by the NWDAF service consumer are listed in clause 6.1.3.

When a subscription to analytics information is received, the NWDAF determines whether triggering new data collection is needed.

If the service invocation is for a subscription modification, the NF service consumer includes an identifier (Subscription Correlation ID) to be modified in the invocation of Nnwdaf\_AnalyticsSubscription\_Subscribe. In addition, if the NWDAF service consumer has taken an action(s) influenced by the previously received analytics information at step 2, which may or may not affect the ground truth data corresponding to Analytics ID requested at the time which the prediction refers to, the NWDAF service consumer may include Analytics Feedback Information in the invocation of Nnwdaf\_AnalyticsSubscription\_Subscribe.

If the subscription relates to outbound roaming users, the NWDAF in the HPLMN may decide to retrieve or to subscribe to input data or analytics from the VPLMN and the detailed procedure is described in clause 6.1.5.3 for analytics retrieval and in clause 6.2.10 for data retrieval.

If the subscription relates to inbound roaming users, the NWDAF in the VPLMN may decide to retrieve or to subscribe to input data or analytics from the HPLMN and the detailed procedure is described in clause 6.1.5.2 for analytics retrieval and in clause 6.2.11 for data retrieval.

2. If NWDAF service consumer is subscribed to analytics information, the NWDAF notifies the NWDAF service consumer with the analytics information by invoking Nnwdaf\_AnalyticsSubscription\_Notify service operation, based on the request from the NWDAF service consumer, e.g. Analytics Reporting Parameters. If the NWDAF provides a Termination Request, then the consumer cancels subscription to analytics information by invoking the Nnwdaf\_AnalyticsSubscription\_Unsubscribe service operation.

When calculating the analytics/ML Model Accuracy Information with the retrieved Analytics Feedback Information, in addition to comparing predictions of ML Model and its corresponding ground truth data, the NWDAF may additionally determine/take into account whether the action(s) taken by the NWDAF service consumer affects the ground truth data corresponding to Analytics ID requested at the time which the prediction refers to as described in clauses 6.2D and 6.2E, which may affect the ML Model Accuracy Monitoring/Analytics Accuracy Monitoring.

#### 6.1.1.2 Analytics subscribe/unsubscribe by AFs via NEF

The analytics exposure to AFs may be performed via NEF by using analytics subscription to NWDAF.

Figure 6.1.1.2-1 illustrates the interaction between AF and NWDAF performed via the NEF.

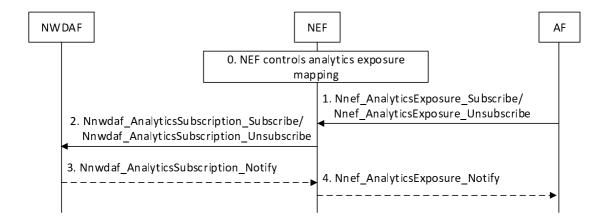


Figure 6.1.1.2-1: Procedure for analytics subscribe/unsubscribe by AFs via NEF

0. NEF controls the analytics exposure mapping among the AF identifier with allowed Analytics ID and associated inbound restrictions (i.e. applied to subscription of the Analytics ID for an AF) and/or outbound restrictions (i.e. applied to notification of Analytics ID to an AF).

In this Release, AF is configured with the appropriated NEF to subscribe to analytics information, the allowed Analytics ID(s) and with allowed inbound restrictions (i.e. parameters and/or parameter values) for subscription to each Analytics ID.

- 1. The AF subscribes to or cancels subscription to analytics information via NEF by invoking the Nnef\_AnalyticsExposure\_Subscribe/ Nnef\_AnalyticsExposure\_Unsubscribe service operation defined in TS 23.502 [3]. If the AF wants to modify an existing analytics subscription at NEF, it includes an identifier (Subscription Correlation ID) to be modified in the invocation of Nnef\_AnalyticsExposure\_Subscribe, in addition, if the AF has taken an action(s) influenced by the previously received analytics information at step 4, which may or may not affect the ground truth data corresponding to Analytics ID requested at the time which the prediction refers to, the AF may include Analytics Feedback Information in the invocation of Nnef\_AnalyticsExposure\_Subscribe. If the analytics information subscription is authorized by the NEF, the NEF proceeds with the steps below.
- 2. Based on the request from the AF, the NEF subscribes to or cancels subscription to analytics information by invoking the Nnwdaf\_AnalyticsSubscription\_Subscribe/ Nnwdaf\_AnalyticsSubscription\_Unsubscribe service operation.

If the parameters and/or parameters values of the AF request comply with the inbound restriction in the analytics exposure mapping, NEF forwards in the subscription to NWDAF service the Analytics ID, parameters and/or parameters values from the AF request.

If the request from AF does not comply with the restrictions in the analytics exposure mapping, NEF may apply restrictions to the subscription request to NWDAF (e.g. restrictions to parameters or parameter values of the Nnwdaf\_AnalyticsSubscription\_Subscribe service operations), based on operator configuration and/or may apply parameter mapping (e.g. geo coordinate mapping to TA(s)/Cell-id(s)).

The NEF records the association of the analytics request from the AF and the analytics request sent to the NWDAF.

The NEF selects an NWDAF that supports analytics information requested by the AF using the NWDAF discovery procedure defined in TS 23.502 [3].

If the subscription relates to outbound roaming users, the NWDAF in the HPLMN may decide to retrieve or to subscribe to input data or analytics from the VPLMN and the detailed procedure is described in clause 6.1.5.3 for analytics retrieval and in clause 6.2.10 for data retrieval.

If the AF request is for a modification of the existing analytics subscription(s), the NEF invokes Nnwdaf\_AnalyticsSubscription\_Subscribe to modify the analytics subscription identified by an identifier (Subscription Correlation ID) associated with the AF.

- 3. If the NEF has subscribed to analytics information, the NWDAF notifies the NEF with the analytics information or Termination Request by invoking Nnwdaf\_AnalyticsSubscription\_Notify service operation.
- 4. If the NEF receives the notification from the NWDAF, the NEF notifies the AF with the analytics information or Termination Request by invoking Nnef\_AnalyticsExposure\_Notify service operation defined in TS 23.502 [3]. NEF may apply outbound restrictions to the notifications to AFs (e.g. restrictions to parameters or parameter values of the Nnef\_AnalyticsExposure\_Notify service operation) based on analytics exposure mapping and may apply parameter mapping for external usage (e.g. TA(s), cell ID(s) to geo coordinate). The AF checks if a Termination Request is present in the Nnef\_AnalyticsExposure\_Notify as defined in step 2 in clause 6.1.1.1.

When calculating the analytics/ML Model Accuracy Information with the retrieved Analytics Feedback Information, in addition to comparing predictions of ML Model and its corresponding ground truth data, the NWDAF may determine/take into account whether the action(s) taken by the AF, when AF provides Analytics Feedback Information, affects the ground truth data corresponding to Analytics ID requested at the time which the prediction refers to or start rating AF(s) used as data sources, as described in clauses 6.2D, 6.2E and 6.2.13, which may affect the ML Model Accuracy Monitoring/Analytics Accuracy Monitoring.

#### 6.1.2 Analytics Request

#### 6.1.2.1 Analytics request by NWDAF service consumer

This procedure is used by the NWDAF service consumer (e.g. including NFs/OAM) to request and get from NWDAF analytics information, using Nnwdaf\_AnalyticsInfo service defined in clause 7.3.

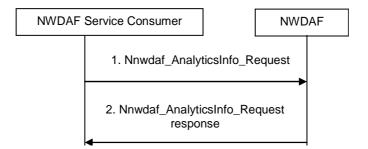


Figure 6.1.2.1-1: Network data analytics Request

1. The NWDAF service consumer requests analytics information by invoking Nnwdaf\_AnalyticsInfo\_Request service operation. The parameters that can be provided by the NWDAF service consumer are listed in clause 6.1.3.

When a request for analytics information is received, the NWDAF determines whether triggering new data collection is needed.

If the analytics request relates to outbound roaming users, the NWDAF in the HPLMN may decide to retrieve input data or analytics from the related VPLMN and the detailed procedure is described in clause 6.1.5.3 for analytics retrieval and in clause 6.2.10 for data retrieval.

If the analytics request relates to inbound roaming users, the NWDAF in the VPLMN may decide to retrieve input data or analytics, from the related HPLMN and the detailed procedure is described in clause 6.1.5.2 for analytics retrieval and in clause 6.2.11 for data retrieval.

2. The NWDAF responds with analytics information to the NWDAF service consumer. The NWDAF checks if a Termination Request is indicated as defined in step 2 in clause 6.1.1.1.

#### 6.1.2.2 Analytics request by AFs via NEF

The analytics exposure to AFs may be performed via NEF by using analytics request to NWDAF.

Figure 6.1.2.2-1 illustrates the interaction between AF and NWDAF performed via the NEF.

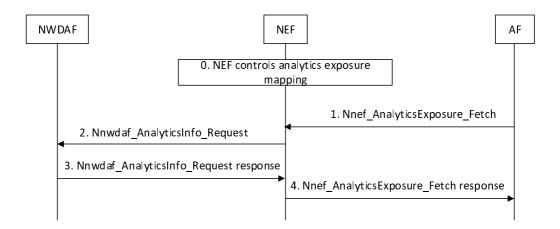


Figure 6.1.2.2-1: Procedure for analytics request by AFs via NEF

0. NEF controls the analytics exposure mapping among the AF identifier with allowed Analytics ID(s) and associated inbound restrictions (i.e. applied to the Analytics ID requested by AF) and/or outbound restrictions (i.e. applied to the response of Analytics ID to AF).

In this Release, AF is configured, e.g. via static OAM configuration, with the appropriated NEF to subscribe to analytics information, the allowed Analytics ID(s) and with allowed inbound restrictions (i.e. parameters and/or parameter values) for requesting each Analytics ID.

- 1. The AF requests to receive analytics information via NEF by invoking the Nnef\_AnalyticsExposure\_Fetch service operation defined in TS 23.502 [3]. If the analytics information request is authorized by the NEF, the NEF proceeds with the steps below.
- 2. Based on the request from the AF, the NEF requests analytics information by invoking the Nnwdaf\_AnalyticsInfo\_Request service operation.

If the parameters and/or parameters values of the AF request comply with the restriction in the analytics exposure mapping, NEF forwards in the subscription to NWDAF service the Analytics ID, parameters and/or parameters values from AF in the request to NWDAF.

If the request from AF does not comply with the restrictions in the analytics exposure mapping, NEF may apply restrictions to the request to NWDAF (e.g. restrictions to parameters or parameter values of the Nnwdaf\_AnalyticsInfo\_Request service operations) based on operator configuration and/or may apply parameter mapping (e.g. geo coordinate mapping to TA(s), Cell-id(s)).

The NEF records the association of the analytics request from the AF and the analytics request sent to the NWDAF.

The NEF selects an NWDAF that supports analytics information requested by the AF using the NWDAF discovery procedure defined in TS 23.501 [2].

If the analytics request relates to outbound roaming users, the NWDAF in the HPLMN may decide to retrieve input data or analytics from the related VPLMN and the detailed procedure is described in clause 6.1.5.3 for analytics retrieval and in clause 6.2.10 for data retrieval.

- 3. The NWDAF responds with the analytics information to the NEF.
- 4. The NEF responds with the analytics information to the AF. NEF may apply restrictions to the response to AFs (e.g. restrictions to parameters or parameter values of the Nnef\_AnalyticsExposure\_Fetch response service

operation) based on operator configuration. The AF checks if a Termination Request is present and then follows as defined in step 2 in clause 6.1.1.1.

# 6.1.3 Contents of Analytics Exposure

The consumers of the Nnwdaf\_AnalyticsSubscription\_Subscribe or Nnwdaf\_AnalyticsInfo\_Request service operations described in clause 7 provide the input parameters listed below.

- A list of Analytics IDs: identifies the requested analytics.
  - Analytics Filter Information: indicates the conditions to be fulfilled for reporting Analytics Information. This set of optional parameter types and values enables to select which type of analytics information is requested. Analytics Filter Information is defined in the analytics related clauses.
- Target of Analytics Reporting: indicates the object(s) for which Analytics information is requested, entities such as specific list of UEs, i.e. a list of SUPIs, group of UEs, i.e. a list of Internal-Group-Ids, or any UE (i.e. all UEs).
- (Only for Nnwdaf\_AnalyticsSubscription\_Subscribe) A Notification Target Address (+ Notification Correlation ID) as defined in clause 4.15.1 of TS 23.502 [3], allowing to correlate notifications received from NWDAF with this subscription.
- (Only for Nnwdaf\_AnalyticsSubscription\_Subscribe) Subscription Correlation ID: identifies an existing analytics subscription that is to be modified.
- Related to analytic consumers that aggregate analytics from multiple NWDAF subscriptions:
  - [OPTIONAL] (Set of) NWDAF identifiers of NWDAF instances used by the NWDAF service consumer when aggregating multiple analytics subscriptions. See clause 6.1A.
- Analytics Reporting Information with the following parameters:
  - (Only for Nnwdaf\_AnalyticsSubscription\_Subscribe) Analytics Reporting Parameters as per Event Reporting parameters defined in Table 4.15.1-1 of TS 23.502 [3].
- NOTE 1: When the Analytics Reporting Parameters indicates a periodic reporting mode and the periodicity of the report is equal to or greater than the Supported Analytics Delay associated with the Analytics ID (if available) defined in clause 6.2.6.2 of TS 23.501 [2], it is expected that the periodic reporting can be provided by the NWDAF as requested.
  - (Only for Nnwdaf\_AnalyticsSubscription\_Subscribe) Reporting Thresholds, which indicate conditions on the level of each requested analytics that when reached shall be notified by the NWDAF.
    - [OPTIONAL] Matching direction: A matching direction may be provided such as below, above, or crossed. If no matching direction is provided, the default direction is crossed.
    - [OPTIONAL] Acceptable deviation: An acceptable deviation from the threshold level in the non-critical direction (i.e. in which the QoS is improving) may be set to limit the amount of signalling.
  - Analytics target period: time interval [start..end], either in the past (both start time and end time in the past) or in the future (both start time and end time in the future). An Analytics target period in the past is a request or subscription for statistics. An Analytics target period in the future is a request or subscription for predictions. The time interval is expressed with actual start time and actual end time (e.g. via UTC time). When the Analytics Reporting Parameters indicate a periodic reporting mode, the time interval can also be expressed as positive or negative offsets to the reporting time, which indicates a subscription for predictions or statistics respectively. By setting start time and end time to the same value, the consumer of the analytics can request analytics or subscribe to analytics for a specific time rather than for a time interval.
  - Time window for historical analytics: time interval [start..end]. The time window for historical analytics indicates the time interval during which the historical analytics was generated. If the time window for historical analytics is included, the NWDAF only needs to provide the existing analytics, and does not need to generate new analytics.
  - [OPTIONAL] Data time window: if specified, only events that have been created in the specified time interval are considered for the analytics generation.

- [OPTIONAL] Preferred level of accuracy of the analytics ("Low", "Medium", "High" or "Highest").
- [OPTIONAL] Preferred level of accuracy per analytics subset ("Low", "Medium", "High" or "Highest"). When a preferred level of accuracy is expressed for a given analytics subset, it takes precedence for this subset over the above preferred level of accuracy of the analytics. Analytics subsets are defined in the "Output Analytics" clause of applicable analytics.
- [OPTIONAL] Dataset Statistical Properties: information in order to influence the data selection mechanisms to be used for the generation of an Analytics ID, assuring that the generated Analytics ID reflects the statistical characteristics of the data that are relevant for the NWDAF consumer. The following dataset statistical properties are allowed:
  - Uniformly distributed datasets, which indicates the use of data samples that are uniformly distributed according to the different aspects of the requested analytics (e.g. equivalent data samples for each UE listed as a Target of Analytics Reporting or for S-NSSAIs included in the Analytics Filter Information).
  - Datasets with or without outliers, which indicates that the data samples shall consider or disregard data samples that are at the extreme boundaries of the value range.
- Time when analytics information is needed (if applicable): indicates to the NWDAF the latest time the analytics consumer expects to receive analytics data provided by the NWDAF. It should not be set to a value less than the Supported Analytics Delay of the selected NWDAF if applicable. If the time is reached the consumer does not need to wait for the analytics information any longer, yet the NWDAF may send an error response or error notification to the consumer. "Time when analytics information is needed" is a relative time interval as the gap with respect to analytics request /subscription (e.g. "in 10 minutes").
- NOTE 2: If the analytics request contains the parameter "Time when analytics information is needed" for Analytics ID(s), this parameter takes precedence over the requested periodicity, if a periodic reporting mode is requested.
- NOTE 3: If the Time when analytics information is needed is provided and it is less than the Supported Analytics Delay per Analytics ID (if available) defined in clause 6.2.6.2 of TS 23.501 [2], it is expected that the NWDAF might not be able to treat the Analytics ID on time.
  - [OPTIONAL] Maximum number of objects requested by the consumer (max) to limit the number of objects in a list of analytics per Nnwdaf\_AnalyticsSubscription\_Notify or Nnwdaf\_AnalyticsInfo\_Request response.
  - [OPTIONAL] Preferred granularity of location information: "TA level", "cell level" or "longitude and latitude level".
- NOTE 4: As defined in clause 4 of TS 23.032 [34], longitude and latitude level means the location information is expressed as longitude and latitude in geographical coordinate instead of TA ID or cell ID that is only known in 3GPP system. It also stands for the location information that is expressed as a reference point in local co-ordinate.
  - [OPTIONAL] Spatial granularity size: maximum number of TA or cells used to define an area for which analytics are provided. When this parameter is provided, the NWDAF should provide analytics per group of TA of cells accordingly.
  - [OPTIONAL] Temporal granularity size: minimum duration of each time slot for which analytics are provide. When this parameter is provided, the NWDAF should provide analytics per elementary time slot accordingly.
- NOTE 5: It is up to NWDAF implementation to determine whether the data is taken into account that the UE locates in an area for a shorter time than the Temporal granularity size.
  - [OPTIONAL] Preferred orientation of location information: ("horizontal", "vertical", "both").
  - [OPTIONAL] Preferred order of results when a list of analytics is returned, possibly with a criterion for identifying the property of the results to which the preferred ordering is applied.
  - [OPTIONAL] Maximum number of SUPIs (SUPImax) requested by the consumer to limit the number of SUPIs in an object. When SUPImax is not provided, the NWDAF shall return all SUPIs concerned by the analytics object. When SUPImax is set to 0, the NWDAF shall not provide any SUPI.

- [OPTIONAL] Output strategy: indicates the relevant factors for determining when the analytics reported. The following values are allowed:
  - Binary output strategy: indicates that the analytics shall only be reported when the preferred level of accuracy is reached within a cycle of periodic notification as defined in the Analytics Reporting Parameters.
- NOTE 6: If preferred level of accuracy is more important than providing an output, then the binary strategy is used so that all analytics outputs have equivalent confidence in the prediction.
  - Gradient output strategy: indicates that the analytics shall be reported according to the periodicity defined in the Analytics Reporting Parameters irrespective if the preferred level of accuracy has been reached.
- NOTE 7: If having an analytics output is more important than reaching the preferred level of accuracy, then the gradient output strategy is used so that each NWDAF will timely provide the output indicating the confidence of the prediction at the moment of the output generation.
- NOTE 8: When no output strategy is included in the subscription, the analytics output will be generated based on the gradient strategy and includes the confidence of the prediction for the reporting period.
  - [OPTIONAL] Analytics metadata request: indicates a request from one NWDAF to another NWDAF to provide the "analytics metadata information" related to the produced output analytics. This input parameter indicates which parameters in "analytics metadata information" are required to aggregate the output analytics for the requested Analytics ID(s).
- (Only for Nnwdaf\_AnalyticsSubscription\_Subscribe) Consumer NF's serving area or NF ID. During a pending analytics subscription transfer, this information can be used by the NWDAF to find out if the analytics consumers may change as described in clause 6.1B.2.
- (Only for Nnwdaf\_AnalyticsSubscription\_Subscribe) Information of previous analytics subscription. When setting up the analytics generation, this information may be used to retrieve analytics context from the previous NWDAF in order to build upon the context that is already related to this subscription as described in clause 6.1B.2.1.
- [OPTIONAL] Use case context: indicates the context of use of the analytics to select the most relevant ML Model.
- NOTE 9: The NWDAF can use the parameter "Use case context" to select the most relevant ML Model, when several ML Models are available for the requested Analytics ID(s). NWDAF containing AnLF can additionally provide the parameter "Use case context" when requesting an ML Model from an NWDAF containing MTLF. The values of this parameter are not standardized. For example, the AMF can use a given value of "Use case context" when requesting UE Mobility analytics for optimizing the definition of a Registration Area, and a different value of "Use case context" when requesting UE Mobility analytics for determines a paging strategy.
- (Only for Nnwdaf\_AnalyticsSubscription\_Subscribe) [OPTIONAL] Analytics Feedback Information: indicates that the consumer NF has taken an action(s) influenced by the previously provided analytics, which may or may not affect the ground truth data corresponding to analytic ID requested at the time which the prediction refers to, and consequently affect the ML Model Accuracy Monitoring by the subscription with following parameter(s):
  - Corresponding Analytics ID(s) which has been used for taking an action(s);
  - Indication whether the action will affect ground truth data (if available);
  - Time stamp(s) of the action(s) taken.
- NOTE 10:The consumer NF cannot include Analytics Feedback Information in initial subscription request.

  Analytics Feedback Information can be included in modification request for the existing analytics subscription.
- [OPTIONAL] Analytics Accuracy Request information with the following parameters:
  - Analytics accuracy request: indicates NWDAF to provide accuracy information to the analytics consumer.
  - [OPTIONAL] Analytics Accuracy Information time window: time interval [start. end], which indicates that analytics consumers only consider the accuracy information which is generated within this time interval.

- [OPTIONAL] Analytics Accuracy Information periodicity: time period, which indicates periodic reporting of accuracy information for the corresponding Analytics ID(s).
- [OPTIONAL] Analytics Accuracy threshold: a reporting threshold accuracy value, which indicates that:
  - The NWDAF can provide analytics output and optionally analytics accuracy value to the analytics consumer(s) when the accuracy value is above this Analytics Accuracy threshold (i.e. the accuracy is sufficient according to the threshold);
  - The NWDAF can provide "Stop Analytics Output Consumption indication", "Updated Analytics" or the Analytics Accuracy Information to the analytics consumer(s) when the accuracy value is under this threshold (This indicates the deviation of the predictions from the actual network data does not meet analytics accuracy requirement, i.e. the accuracy is not sufficient according to the threshold).
- [OPTIONAL] Minimal number of analytics output occurrences: determines the minimal number of analytics outputs provided by NWDAF that have to be considered in the determination of the accuracy information.
- [OPTIONAL] Updated Analytics flag: indicates that the NWDAF can provide updated analytics for provided Analytics ID(s), if updated analytics can be generated within Analytics Accuracy Information time window.
- [OPTIONAL] Correction time period: a relative time interval as the gap with respect to analytics is provided, which is indicated the time interval during which the updated analytics can be accepted by the analytics consumer.
- [OPTIONAL] Pause analytics consumption flag: is a flag indicating to NWDAF to stop sending the notifications of analytics outputs for a subscribed analytics ID, without unsubscribing to such analytics ID.
- [OPTIONAL] Resume Analytics Subscription request: is a flag indicating to NWDAF to resume the notification of analytics outputs for an existing analytics ID(s) subscription(s) that have been previously paused.

The NWDAF provides to the consumer of the Nnwdaf\_AnalyticsSubscription\_Subscribe or Nnwdaf\_AnalyticsInfo\_Request service operations described in clause 7, the output information listed below, using a Nnwdaf\_AnalyticsSubscription\_Notify service operation or the Nnwdaf\_AnalyticsInfo\_Request response, respectively:

- (Only for Nnwdaf\_AnalyticsSubscription\_Notify) The Notification Correlation Information.
- For each Analytics ID, the analytics information in the requested Analytics target period. If the analytics subset is subscribed or requested, then the corresponding analytics information shall be provided.
- Timestamp of analytics generation: allows consumers to decide until when the received information shall be used. For instance, an NF can deem a received notification from NWDAF for a given feedback as invalid based on this timestamp;
- Validity period: defines the time period for which the analytics information is valid.

NOTE 11: Validity period is determined by NWDAF internal logic and it is a subset of Analytics target period.

- Confidence: probability assertion, i.e. confidence in the prediction.
- [OPTIONAL] For each Analytics ID the Termination Request, which notifies the consumer that the subscription is requested to be cancelled as the NWDAF can no longer serve this subscription, e.g. due to user consent revoked, NWDAF overload, UE moved out of NWDAF serving area, etc.
- [OPTIONAL] Analytics metadata information: additional information required to aggregate the output analytics for the requested Analytics ID(s). This parameter shall be provided if the "Analytics metadata request" parameter was provided in the corresponding Nnwdaf\_AnalyticsSubscription\_Subscribe or Nnwdaf\_AnalyticsInfo\_Request service operation.
  - Number of data samples used for the generation of the output analytics;
  - Data time window of the data samples;
  - Dataset Statistical Properties of the analytics output used for the generation of the analytics;
  - [OPTIONAL] Data source(s) of the data used for the generation of the output analytics;

- [OPTIONAL] Data Formatting and Processing applied on the data;
- Output strategy (i.e. gradient output strategy or binary output strategy) used for the reporting of the analytics.
- (Only for error response or error notification) Revised waiting time: indicates to the consumer a revised waiting value for "Time when analytics information is needed". Each NWDAF may include this as part of error response or error notification to "Time when analytics information is needed" as described in clause 6.2.5. Revised waiting time is the minimum time interval recommended by NWDAF to use as "Time when analytics information is needed" for similar future analytics requests/subscriptions.
- [OPTIONAL] Analytics Accuracy Information generated for each analytics ID, including:
  - Analytics accuracy value for requested Analytics ID(s): a value shall be provided if "Analytics accuracy request" parameter was provided in the corresponding Nnwdaf\_AnalyticsSubscription\_Subscribe service operation. This parameter may be provided if the value crosses the analytics accuracy threshold(s) which is indicated in the subscribe request or locally configured, or the Analytics Accuracy Information periodicity indicated in the subscribe request is reached.
  - [OPTIONAL] An indication that the determined accuracy value for the analytics ID does not meet the analytics accuracy threshold requested for the analytics ID.
- [OPTIONAL] Updated Analytics: NWDAF provides updated Analytics, which is generated within Analytics Accuracy Information time window, for provided Analytics ID(s), if "Updated Analytics flag" parameter was indicated in the corresponding Nnwdaf\_AnalyticsSubscription\_Subscribe service operation.
- [OPTIONAL] Stop Analytics Output Consumption indication: NWDAF provides to the consumer an indication to stop the consumption of the Analytics ID(s) related to the subscription ID based on NWDAF internal logic or specified analytics accuracy threshold.
- [OPTIONAL] Stop Analytics Output Consumption time window: NWDAF provides to the consumer a time window to stop the consumption of the Analytics ID(s) related to the subscription ID based on NWDAF internal logic or specified analytics accuracy threshold.
- [OPTIONAL] Resume Analytics Output Consumption indication: NWDAF provides to consumer an indication to resume the consumption of analytics output for existing subscription to the analytics ID(s) that was previously paused.
- [OPTIONAL] Accuracy Information Termination: NWDAF notifies the consumer that the subscription to the accuracy information for an analytics ID has been cancelled as the NWDAF does not support the accuracy checking capability, e.g. as an indication that a new target serving NWDAF supporting accuracy checking capability could not have been selected during the analytics transfer procedures.

NOTE 12:It is left to Stage 3 to decide whether the Accuracy Information Termination is a cause related to the Termination Request or not.

# 6.1.4 Analytics Exposure using DCCF

#### 6.1.4.1 General

This clause specifies procedures for analytics exposure using DCCF services, with two options: analytics can be exposed via DCCF, according to clauses 6.1.4.2 and 6.1.4.3, or can be exposed via a messaging framework according to clauses 6.1.4.4 and 6.1.4.5. Which option to use is determined by DCCF configuration.

# 6.1.4.2 Analytics Exposure via DCCF

The procedure as depicted in Figure 6.1.4.2-1 is used by analytics consumer(s) (e.g. NFs/OAM) to subscribe/unsubscribe to NWDAF analytics and be notified of analytics information via the DCCF, using Ndccf\_DataManagement\_Subscribe service operation. Whether a NWDAF service consumer directly contacts the NWDAF or goes via the DCCF is based on NWDAF service consumer configuration.

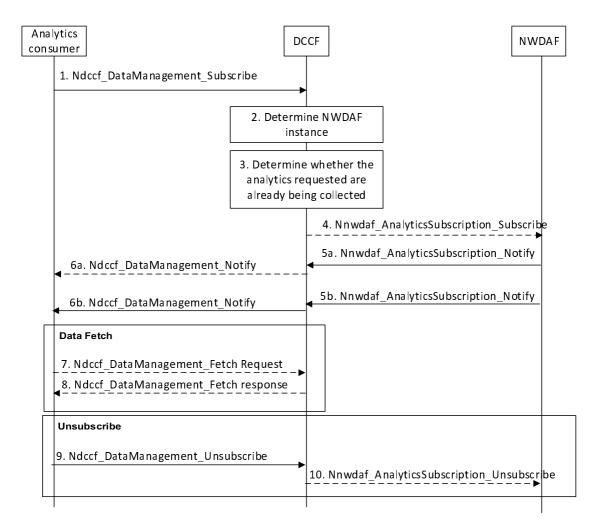


Figure 6.1.4.2-1: Network data analytics subscription via DCCF

- 1. Analytics consumer subscribes to analytics information via DCCF by invoking the Ndccf\_DataManagement\_Subscribe (Nnwdaf service operation, Analytics Specification, Formatting Instructions, Processing Instructions, NWDAF (or NWDAF-Set) ID, ADRF Information) service operation. The analytics consumer may specify one or more notification endpoints. Analytics consumer decides to go via DCCF based on internal configuration. The "Analytics Specification" provides Nnwdaf service operation specific parameters, e.g. Analytics IDs, Target of Analytics Reporting and optional parameters used to retrieve the analytics. The analytics consumer may provide the identity of the NWDAF to collect analytics from. The analytics consumer may provide additional information on possible notification endpoints or ADRF information so analytics are archived.
- 2. If the NWDAF instance or NWDAF Set is not identified by the analytics Consumer, the DCCF determines the NWDAF instances that can provide analytics. If the consumer requested storage of analytics in an ADRF but an ADRF ID is not provided by the Analytics Consumer, or the collected analytics is to be stored in an ADRF according to configuration on the DCCF, the DCCF selects an ADRF to store the collected data.
- 3. The DCCF determines whether the analytics requested in step 1 are already being collected. If the requested analytics are already being collected by an Analytics Consumer, the DCCF adds the new analytics consumer to the list of analytics consumers that are subscribed for these analytics.
- 4. If the analytics subscribed in step 1 partially matches an analytics that is already being collected by the DCCF from an NWDAF and a modification of this subscription to the NWDAF would satisfy both the existing analytics subscriptions as well as the newly requested analytics, the DCCF invokes a modification of the previous subscription via Nnwdaf\_AnalyticsSubscription\_Subscribe service operation (as specified in clause 6.1.1.1) and the DCCF adds the analytics consumer to the list of analytics consumers that are subscribed for these analytics.

If the analytics requested at step 1 are not already available or not being collected yet, the DCCF subscribes to analytics from NWDAF using the Nnwdaf\_AnalyticsSubscription\_Subscribe procedure as specified in clause 6.1.1.1. The DCCF adds the analytics consumer to the list of analytics consumers that are subscribed for these analytics.

- 5. When new output analytics are available, the NWDAF notifies the analytics information to the DCCF by invoking Nnwdaf\_AnalyticsSubscription\_Notify service operation.
- 6. The DCCF uses Ndccf\_DataManagement\_Notify to send the analytics to all notification endpoints indicated in step 1. Analytics sent to notification endpoints may be processed and formatted by the DCCF so they conform to delivery requirements for each analytics consumer or notification endpoint as specified in clause 5A.4. The DCCF may store the analytics in the ADRF if requested by the consumer or if required by DCCF configuration, using procedure as specified in clause 6.2B.3.

NOTE: According to Formatting Instructions provided by the Analytics Consumer, multiple notifications from a NWDAF can be combined in a single Ndccf\_DataManagement\_Notify so many notifications from an NWDAF results in fewer notifications (or one notification) to the Analytics Consumer. Alternatively, a notification can instruct the analytics notification endpoint to fetch the analytics from the DCCF.

- 7. If a Ndccf\_DataManagement\_Notify contains a fetch instruction, the notification endpoint sends a Ndccf\_DataManagement\_Fetch request to fetch the analytics from the DCCF before an expiry time.
- 8. The DCCF delivers the analytics to the notification endpoint.
- 9. When the Analytics Consumer no longer wants analytics to be collected it invokes Ndccf\_DataManagement\_Unsubscribe (Subscription Correlation ID), using the Subscription Correlation ID received in response to its subscription in step 1. The DCCF removes the analytics consumer from the list of analytics consumers that are subscribed for these analytics.
- 10. If there are no other Analytics Consumers subscribed to the analytics, the DCCF unsubscribes with the NWDAF.

## 6.1.4.3 Historical Analytics Exposure via DCCF

The procedure as depicted in Figure 6.1.4.3-1 is used by an analytics consumer (e.g. NFs/OAM) to obtain historical analytics via the DCCF. Historical analytics may be previously computed statistics or predictions stored in an NWDAF or ADRF. Statistics may have been previously computed and stored in the ADRF or NWDAF and can be identified by a "target period" in the past (see clause 6.1.3). Requests for previously computed predictions have a "Time Window", which specifies an allowable span for when the predictions may have been computed. This allows the Analytics Consumer to request previously computed predictions for a target period.

The analytics consumer requests analytics via the DCCF, using Ndccf\_DataManagement\_Subscribe service operation. Whether the NWDAF service consumer directly contacts the NWDAF/ADRF or goes via the DCCF is based on configuration.

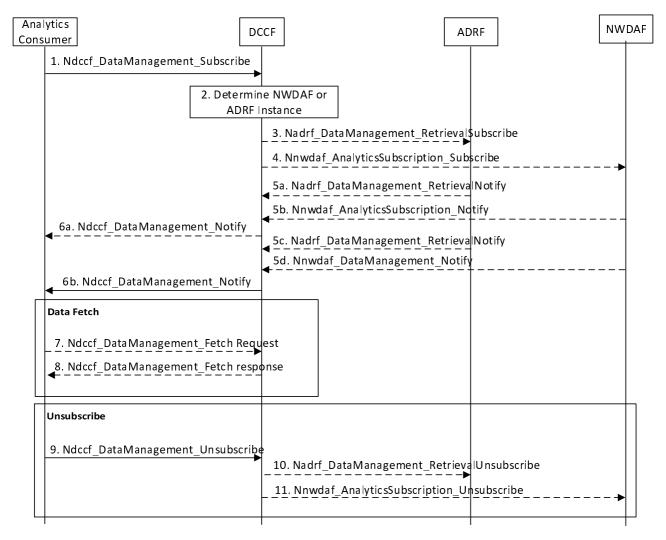


Figure 6.1.4.3-1: Historical Analytics Exposure via DCCF

1. The analytics consumer requests analytics via DCCF by invoking the Ndccf\_DataManagement\_Subscribe (Nnwdaf service operation, Analytics Specification, Time Window, Formatting Instructions, Processing Instructions, ADRF ID or NWDAF ID (or ADRF Set ID or NWDAF Set ID) service operation as specified in clause 8.2.2. The analytics consumer may specify one or more notification endpoints to receive the analytics.

Parameter "Nnwdaf service operation" is the service operation used to originally acquire the analytics and identifies this as a request for analytics, "Analytics Specification" provides Nnwdaf service operation specific parameters, e.g. Analytics IDs, Target of Analytics Reporting and optional parameters used to retrieve the analytics. "Time Window" specifies a past time period and comprises a start and stop time indicating when predictions were computed and "Formatting and Processing Instructions" are as defined in clause 5A.4. The analytics consumer may optionally include the ADRF or NWDAF instance (or ADRF Set or NWDAF Set) ID where the stored analytics resides.

- 2. If an ADRF or NWDAF instance or ADRF or NWDAF Set ID is not provided by the analytics consumer, the DCCF determines if any ADRF or NWDAF instances might provide the analytics as described in clause 5A and clause 5B.
- 3. (conditional) If the DCCF determines that an ADRF instance might provide the analytics, or an ADRF instance or Set was supplied by the analytics consumer, the DCCF sends a request to the ADRF, using Nadrf\_DataManagement\_RetrievalSubscribe (Analytics Specification, Notification Target Address=DCCF) service operation, as specified in clause 10.2. The ADRF responds to the DCCF with an Nadrf\_DataManagement\_RetrievalSubscribe response indicating if the ADRF can supply the analytics. If the analytics can be provided, the procedure continues with step 5.

- 4. (conditional) If the DCCF determines that an NWDAF instance might provide the analytics or an NWDAF instance or Set was supplied by the Analytics Consumer, the DCCF sends a request to the NWDAF using Nnwdaf\_AnalyticsSubscription\_Subscribe as specified in clause 7.2.2.
- 5. The ADRF uses Nadrf\_DataManagement\_RetrievalNotify or the NWDAF uses Nnwdaf\_AnalyticsSubscription\_Notify to send the requested analytics (e.g. one or more stored notifications archived from an NWDAF) to the DCCF. The analytics may be sent in one or more notification messages.
- 6. The DCCF uses Ndccf\_DataManagement\_Notify to send analytics to all notification endpoints indicated in step 1. Notifications are sent to the Notification Target Address(es) using the Analytics Consumer Notification Correlation ID(s) received in step 1. Analytics sent to notification endpoints may be processed and formatted by the DCCF, so they conform to delivery requirements specified by the analytics consumer.
- NOTE: According to Formatting Instructions provided by the analytics consumer, multiple notifications from an ADRF or NWDAF can be combined in a single Ndccf\_DataManagement\_Notify so many notifications from the ADRF or NWDAF results in fewer notifications (or one notification) to the Analytics Consumer. Alternatively, a Ndccf\_DataManagement\_Notify can instruct the analytics notification endpoint to fetch the analytics from the DCCF before an expiry time.
- 7. If a notification contains a fetch instruction, the notification endpoint sends a Ndccf\_DataManagement\_Fetch request as specified in clause 8.2.5 to fetch the analytics from the DCCF.
- 8. The DCCF delivers the analytics to the notification endpoint.
- 9. When the analytics consumer no longer wants analytics to be collected or has received all the analytics it needs, it invokes Ndccf\_DataManagement\_Unsubscribe (Subscription Correlation ID), using the Subscription Correlation Id received in response to its subscription in step 1.
- 10. If the analytics are being provided by an ADRF and there are no other analytics consumers subscribed to the analytics, the DCCF unsubscribes with the ADRF using Nadrf\_DataManagement\_RetrievalUnsubscribe as specified in clause 10.2.7.
- 11. If the analytics are being provided by an NWDAF and there are no other analytics consumers subscribed to the analytics, the DCCF unsubscribes with the NWDAF using Nnwdaf\_AnalyticsSubscription\_Unsubscribe service operation as specified in clause 7.2.3.

## 6.1.4.4 Analytics Exposure via Messaging Framework

The procedure as depicted in Figure 6.1.4.4-1 is used by analytics consumer(s) (e.g. NFs/OAM) to subscribe/unsubscribe to NWDAF analytics and be notified of analytics information, using Ndccf\_DataManagement\_Subscribe service operation. The 3GPP DCCF Adaptor (3da) Data Management service and 3GPP Consumer Adaptor (3ca) Data Management service of the Messaging Framework Adaptor Function (MFAF) are used to interact with the 3GPP Network and the Messaging Framework. Whether a NWDAF service consumer directly contacts the NWDAF or goes via the DCCF is based on NWDAF service consumer configuration.

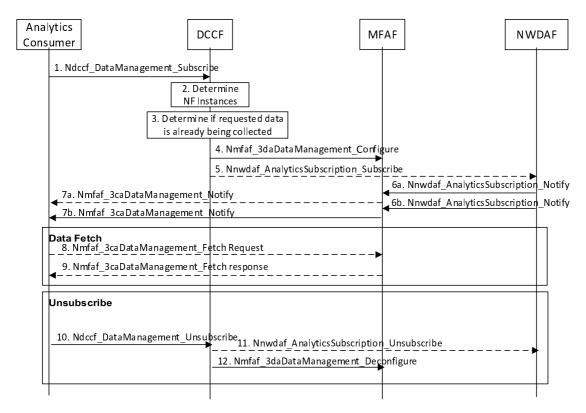


Figure 6.1.4.4-1: Network data analytics subscription via DCCF

- Analytics consumer subscribes to analytics information via DCCF by invoking the
  Ndccf\_DataManagement\_Subscribe (Nnwdaf service operation, Analytics Specification, Formatting
  Instructions, Processing Instructions, NWDAF (or NWDAF-Set) ID, ADRF Information, Analytics Consumer
  Notification Target Address (+ Notification Correlation ID)) service operation. The analytics consumer may
  specify one or more notification endpoints. Analytics consumer decides to go via DCCF based on internal
  configuration. The "Analytics Specification" provides Nnwdaf service operation specific parameters, e.g.
  Analytics IDs, Target of Analytics Reporting and optional parameters used to retrieve the analytics. The
  analytics consumer may provide the identity of the NWDAF to collect analytics from. The analytics consumer
  may provide additional information on possible notification endpoints or ADRF information to archive analytics.
- 2. If the NWDAF instance or NWDAF Set is not identified by the analytics consumer, the DCCF determines the NWDAF instances that can provide analytics. If the consumer requested storage of analytics in an ADRF but an ADRF ID is not provided by the Analytics Consumer, or the collected analytics is to be stored in an ADRF according to configuration on the DCCF, the DCCF selects an ADRF to store the collected analytics.
- 3. The DCCF determines whether the analytics requested in step 1 are already being collected. If the requested analytics are already being collected by an analytics consumer, the DCCF adds the new analytics consumer to the list of analytics consumers that are subscribed for these analytics.
- 4. The DCCF sends an Nmfaf\_3daDataManagement\_Configure (Analytics Consumer Information, MFAF Notification Information, Formatting Conditions, Processing Instructions) to configure the MFAF to map notifications received from the NWDAF to outgoing notifications sent to endpoints and to instruct the MFAF how to format and process the outgoing notifications.
  - "Analytics Consumer Information" contains for each notification endpoint, the analytics consumer Notification Target Address (+ Analytics Consumer Notification Correlation ID) to be used by the MFAF when sending notifications in step 7.

"MFAF Notification Information" is included if an NWDAF is already sending the analytics to the MFAF. MFAF Notification Information identifies Event Notifications received from the NWDAF and comprises the MFAF Notification Target Address (+ MFAF Notification Correlation ID). If the MFAF does not receive MFAF Notification information from the DCCF, the MFAF selects an MFAF Notification Target Address (+ MFAF Notification Correlation ID) and sends the MFAF Notification Information, containing the MFAF Notification

Target Address (+ MFAF Notification Correlation ID), to the DCCF in the Nmfaf\_3daDataManagement\_Configure Response.

5. If the analytics subscribed in step 1 partially matches analytics that are already being collected by the DCCF from a NWDAF and a modification of this subscription to the NWDAF would satisfy both the existing analytics subscriptions as well as the newly requested analytics, the DCCF invokes Nnwdaf\_AnalyticsSubscription\_Subscribe (Subscription Correlation ID) with parameters indicating how to modify the previous subscription (as specified in clause 6.1.1.1). The DCCF adds the analytics consumer to the list of analytics consumers that are subscribed for these analytics.

If the analytics requested at step 1 are not already available or not being collected yet, the DCCF subscribes to analytics from the NF using Nnwdaf\_AnalyticsSubscription\_Subscribe, setting the Notification Target Address (+Notification Correlation ID)) to the MFAF Notification Target Address (+MFAF Notification Correlation ID) received in step 4. The DCCF adds the analytics consumer to the list of analytics consumers that are subscribed for these analytics.

- 6. When new output analytics are available, the NWDAF uses Nnwdaf\_AnalyticsSubscription\_Notify to send the analytics to the MFAF. The Notification includes the MFAF Notification Correlation ID.
- 7. The MFAF uses Nmfaf\_3caDataManagement\_Notify to send the analytic to all notification endpoints indicated in step 4. Notifications are sent to the Notification Target Address(es) using the Analytics Consumer Notification Correlation ID(s) received in step 4. Analytics sent to notification endpoints may be processed and formatted by the MFAF, so they conform to delivery requirements specified by the analytics consumer. The MFAF may store the information in the ADRF if requested by consumer or if required by DCCF configuration, using procedure as specified in clause 6.2B.3.

NOTE: According to Formatting Instructions provided by the Analytics Consumer, multiple notifications from a NWDAF can be combined in a single Nmfaf\_3caDataManagement\_Notify, so many notifications from the NWDAF results in fewer notifications (or one notification) to the analytics consumer. Alternatively, a notification can instruct the analytics notification endpoint to fetch the analytics from the MFAF before an expiry time.

- 8. If a Nmfaf\_3caDataManagement\_Notify contains a fetch instruction, the notification endpoint sends a Nmfaf\_3caDataManagement\_Fetch request to fetch the analytics from the MFAF.
- 9. The MFAF delivers the analytics to the notification endpoint.
- 10. When the analytics consumer no longer wants analytics to be collected, it invokes Ndccf\_DataManagement\_Unsubscribe (Subscription Correlation ID), using the Subscription Correlation Id received in response to its subscription in step 1. The DCCF removes the analytics consumer from the list of analytics consumers that are subscribed for these analytics.
- 11. If there are no other analytics consumers subscribed to the analytics, the DCCF unsubscribes with the NWDAF.
- 12. The DCCF de-configures the MFAF so it no longer maps notifications received from the NWDAF to the notification endpoints configured in step 4.

## 6.1.4.5 Historical Analytics Exposure via Messaging Framework

The procedure as depicted in Figure 6.1.4.5-1 is used by an analytics consumer (e.g. NFs/OAM) to obtain historical analytics via the messaging framework. Historical analytics may be previously computed statistics or predictions stored in an NWDAF or ADRF. Statistics may be previously computed and stored in the ADRF or NWDAF and can be identified by a "target period" in the past (see clause 6.1.3). Requests for previously computed predictions have a "Time Window", which specifies an allowable span for when the predictions may have been computed. This allows the analytics consumer to request previously computed predictions for a target period.

The analytics consumer requests analytics via the DCCF, using Ndccf\_DataManagement\_Request service operation. Whether the NWDAF service consumer directly contacts the NWDAF/ADRF, or goes via the DCCF is based on configuration.

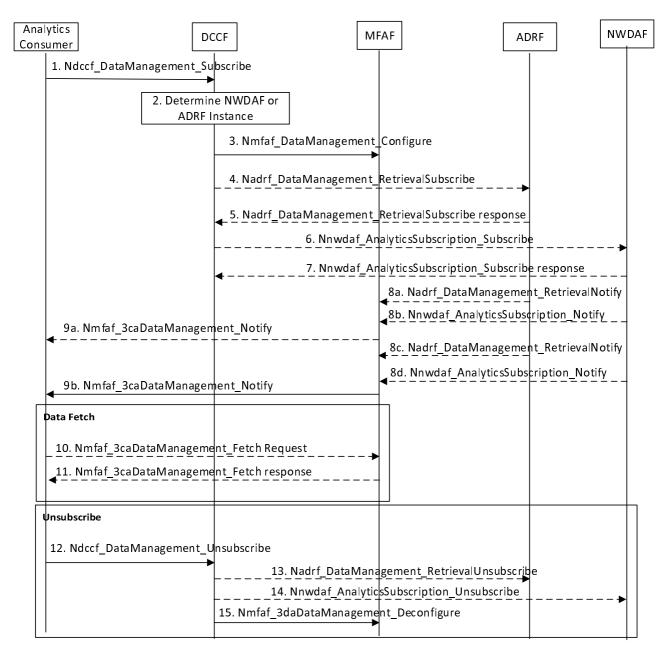


Figure 6.1.4.5-1: Historical Analytics Exposure via Messaging Framework

- 1. The analytics consumer requests analytics via DCCF by invoking the Ndccf\_DataManagement\_Subscribe (Nnwdaf service operation, Analytics Specification, Time Window, Formatting Instructions, Processing Instructions, ADRF ID or NWDAF ID (or ADRF Set ID or NWDAF Set ID)). The analytics consumer may specify one or more notification endpoints to receive the analytics.
- 2. If an ADRF or NWDAF instance or ADRF or NWDAF Set ID is not provided by the Analytics Consumer, the DCCF determines if any ADRF or NWDAF instances might provide the analytics as described in clause 5A and clause 5B.
- 3. The DCCF sends an Nmfaf\_3daDataManagement\_Configure (Analytics Consumer Information, Formatting Conditions, Processing Instructions) to configure the MFAF to map notifications received from the ADRF or NWDAF to outgoing notifications sent to endpoints and to instruct the MFAF how to format and process the outgoing notifications.

<sup>&</sup>quot;Analytics Consumer Information" contains for each notification endpoint, the analytics consumer Notification Target Address (+ Analytics Consumer Notification Correlation ID) to be used by the MFAF when sending notifications. The MFAF selects an MFAF Notification Target Address (+ MFAF Notification Correlation ID) and sends the MFAF Notification Information, containing the MFAF Notification Target Address (+ MFAF Notification Correlation ID), to the DCCF in the Nmfaf\_3daDataManagement\_Configure Response.

- 4. (conditional) If the DCCF determines that an ADRF instance might provide the analytics, or an ADRF instance or Set was supplied by the Analytics Consumer, the DCCF sends a request to the ADRF, using Nadrf\_DataManagement\_RetrievalSubscribe (Analytics Specification, MFAF Notification Information) as specified in clause 10.2.6. The MFAF Notification information contains the MFAF Notification Target Address (+ MFAF Notification Correlation ID) received in step 3.
- 5. The ADRF responds to the DCCF with an Nadrf\_DataManagement\_RetrievalSubscribe response indicating if the ADRF can supply the analytics. If the analytics can be provided, the procedure continues with step 8.
- 6. (conditional) If the DCCF determines that an NWDAF instance might provide the analytics or an NWDAF instance or Set was supplied by the Analytics Consumer, the DCCF sends a request to the NWDAF using Nnwdaf\_AnalyticsSubscription\_Subscribe as specified in clause 7.2.2. The MFAF Notification Information contains the MFAF Notification Target Address (+ MFAF Notification Correlation ID) received in step 3.
- 7. The NWDAF responds to the DCCF with an Nnwdaf\_AnalyticsSubscription\_Subscribe response indicating if the NWDAF can supply the analytics.
- 8. The ADRF uses Nadrf\_DataManagement\_RetrievalNotify or the NWDAF uses Nnwdaf\_AnalyticsSubscription\_Notify to send the requested analytics (e.g. one or more stored notifications archived from an NWDAF) to the MFAF. The analytics may be sent in one or more notification messages.
- 9. The MFAF uses Nmfaf\_3caDataManagement\_Notify to send analytics to all notification endpoints indicated in step 3. Notifications are sent to the Notification Target Address(es) using the Analytics Consumer Notification Correlation ID(s) received in step 3. Analytics sent to notification endpoints may be processed and formatted by the DCCF, so they conform to delivery requirements specified by the analytics consumer.
- NOTE: According to Formatting Instructions provided by the Analytics Consumer, multiple notifications from an ADRF or NWDAF can be combined in a single Ndccf\_DataManagement\_Notify so many notifications from the ADRF or NWDAF results in fewer notifications (or one notification) to the Analytics Consumer. Alternatively, a Nmfaf\_3caDataManagement\_Notify can instruct the analytics notification endpoint to fetch the analytics from the DCCF before an expiry time.
- 10. If a notification contains a fetch instruction, the notification endpoint sends a Nmfaf\_3caDataManagement\_Fetch request as specified in clause 9.3.3 to fetch the analytics from the MFAF.
- 11. The DCCF delivers the analytics to the notification endpoint.
- 12. When the analytics consumer no longer wants analytics to be collected or has received all the analytics it needs, it invokes Ndccf\_DataManagement\_Unsubscribe (Subscription Correlation ID) as specified in clause 8.2.3, using the Subscription Correlation Id received in response to its subscription in step 1.
- 13. If the analytics are being provided by an ADRF and there are no other analytics consumers subscribed to the analytics, the DCCF invokes Nadrf\_DataManagement\_RetrievalUnsubscribe as specified in clause 10.2.7 to unsubscribe from the ADRF.
- 14. If the analytics are being provided by an NWDAF and there are no other analytics consumers subscribed to the analytics, the DCCF invokes Nnwdaf\_AnalyticsSubscription\_Unsubscribe service operation as specified in clause 7.2.3 to unsubscribe from the NWDAF
- 15. The DCCF de-configures the MFAF so it no longer maps notifications received from the NWDAF to the notification endpoints configured in step 3.

# 6.1.5 Analytics Exposure in Roaming Case

#### 6.1.5.1 General

Based on roaming agreements (e.g. SLA), analytics may be exchanged between PLMNs (i.e. HPLMN and VPLMN of a UE served by the NWDAF analytics consumer). In this case, an NWDAF with roaming exchange capability (RE-NWDAF) is used as entry point in a PLMN to exchange analytics in roaming scenario with other PLMNs. It authorizes the analytics request according to roaming agreements, and filters the information exposed to other PLMNs. The roaming architecture is defined in clause 4.3.

The H-RE-NWDAF may provide analytics to the V-RE-NWDAF. The V-RE-NWDAF may provide analytics to the H-RE-NWDAF.

- NOTE 1: The roaming agreements (e.g. SLA) between HPLMN and VPLMN on network analytics and data exchange takes into consideration all relevant regulatory requirements.
- NOTE 2: It depends on the deployment whether analytics exchange between PLMNs is supported, and thus whether H-RE-NWDAF and V-RE-NWDAF are provided in a PLMN.

The H-RE-NWDAF or V-RE-NWDAF provides the Nnwdaf\_RoamingAnalytics service for that purpose. An RE-NWDAF is the only consumer of these services, i.e. both NWDAF in HPLMN and NWDAF in VPLMN need to have the roaming exchange capability (in other words, be an H-RE-NWDAF or V-RE-NWDAF, respectively) when used as entry point or exit point to exchange analytics in roaming scenario.

- NOTE 3: The access to the Nnwdaf\_AnalyticsSubscription service and the Nnwdaf\_AnalyticsInfo service is expected to be restricted by the NRF to NF service consumers within the same PLMN to prevent bypassing checks based on user consent and operator policy
- NOTE 4: See clause X.7 and Annex V of TS 33.501 [49] for details of the user consent check procedures. See clause X.8 of TS 33.501 [49] for protection of analytics exchange in roaming case.

V-RE-NWDAF may request or subscribe to HPLMN analytics from the H-RE-NWDAF as described in clause 6.1.5.2, and then the analytics can be leveraged by the 5GC NF in the VPLMN, for example:

- In home routed roaming scenarios, HPLMN analytics (i.e. slice load level analytics, NF load analytics, etc.) can be leveraged by the AMF in the VPLMN for network slice selection and SMF selection for PDU Session management.
- UE-related analytics (e.g., service experience analytics, etc.) can include statistics or predictions for outbound roaming UEs.
- NOTE 5: Analytics that rely on input data from the VPLMN are preferably not provided from H-RE-NWDAF to V-RE-NWDAF, but generated by a NWDAF in the VPLMN.

H-RE-NWDAF may request or subscribe to VPLMN analytics from the V-RE-NWDAF as described in clause 6.1.5.3, and then the analytics can be leveraged by the 5GC NF in the HPLMN, for example:

- In home routed roaming scenarios, analytics information with statistics or predictions for outbound roaming UEs can be leveraged by the H-PCF for QoS control of the PDU Session.
- Analytics (i.e. service experience analytics, slice load level analytics, etc.) can be leveraged by the H-PCF for decision of NSSP in URSP rules provisioned to the UE roaming in the VPLMN.

NOTE 6: Analytics that rely on input data from the HPLMN are preferably not provided from V-RE-NWDAF to H-RE-NWDAF, but generated by a NWDAF in the HPLMN.

## 6.1.5.2 Analytics Exposure from HPLMN to VPLMN

Figure 6.1.5.2-1 shows the procedure where an V-RE-NWDAF requests network analytics (i.e. slice load level analytics, NF load analytics, service experience analytics, etc.) in HPLMN from a H-RE-NWDAF, upon receiving an analytics information request/subscription from a service consumer NF (e.g. AMF) in VPLMN.

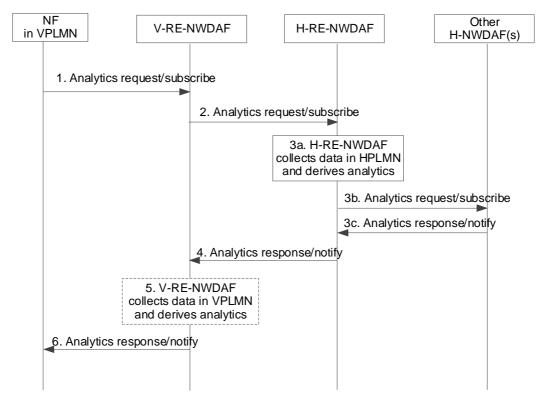


Figure 6.1.5.2-1: Procedure for analytics exposure from HPLMN to VPLMN

- 1. Consumer NF in VPLMN (e.g. AMF) discovers a V-RE-NWDAF as described in clause 5.2 and sends an Analytics request/subscribe (Analytics ID, Analytics Filter Information, Target of Analytics Reporting) to V-RE-NWDAF by invoking a Nnwdaf\_AnalyticsInfo\_Request service operation or a Nnwdaf\_AnalyticsSubscription\_Subscribe service operation. For the inbound roaming UE(s) indicated in the Target of Analytics Reporting, V-RE-NWDAF determines based on operator configuration and the requested analytics whether analytics or input data from the HPLMN are required, or the analytics can be derived locally. The subsequent steps only apply if analytics from the HPLMN are required. If input data from the HPLMN are required, the procedures in clause 6.2.11 apply.
- NOTE 1: It is possible that the Target of Analytics Reporting sent by the Consumer NF to the V-RE-NWDAF includes both inbound roaming UE(s) and non-roaming UE(s).
- 2. V-RE-NWDAF checks the roaming agreements related to analytics from HPLMN to determine if the roaming analytics request/subscribe can be accepted or must be rejected. If the request is rejected, the following steps are skipped.
  - V-RE-NWDAF discovers a H-RE-NWDAF as described in clause 5.2. V-RE-NWDAF sends a roaming analytics request/subscribe (Analytics ID, Analytics Filter Information, Target of Analytics Reporting) to H-RE-NWDAF by invoking a Nnwdaf\_RoamingAnalytics\_Request service operation or a Nnwdaf\_RoamingAnalytics\_Subscribe service operation, based on the Analytics request/subscribe received from the Consumer NF in VPLMN. The Target of Analytics Reporting sent by the V-RE-NWDAF to the H-RE-NWDAF only contains the inbound roaming UE(s).
- NOTE 2: The inbound roaming UE(s) are distinguished by the V-RE-NWDAF according to UE ID(s) (i.e. SUPI(s)).
- 3a. H-RE-NWDAF checks the roaming agreements between the HPLMN and the VPLMN, and user consent for analytics as defined in clause 6.2.9 if needed, to determine if the roaming analytics request/subscribe can be accepted or must be rejected. If the roaming analytics request/subscribe is rejected, the following steps are skipped.
  - If H-RE-NWDAF supports to generate the requested analytics, it collects data from the NF(s) and/or OAM in HPLMN and derives the requested analytics; otherwise steps 3b and 3c are executed.
- NOTE 3: See clause X.7 and Annex V of TS 33.501 [49] for details of the user consent check procedures. See clause X.8 of TS 33.501 [49] for protection of analytics exchange in roaming case.

- 3b-3c. [Optional] If the H-RE-NWDAF does not support to generate the requested analytics, it may request/subscribe to other NWDAF(s) in the HPLMN (if available) for the analytics and get corresponding response/notification.
- 4. H-RE-NWDAF sends the HPLMN analytics information to the V-RE-NWDAF using either Nnwdaf\_RoamingAnalytics\_Request response or Nnwdaf\_RoamingAnalytics\_Notify service operation, depending on the service used in step 2. The H-RE-NWDAF may restrict the exposed analytics information based on HPLMN operator polices.
- 5. [Optional] If the Consumer NF also indicates request or subscription of analytics information available in the VPLMN (e.g. via Target of Analytics Reporting) in step 1, V-RE-NWDAF collects data from the NF(s) and/or OAM in VPLMN and derives the requested analytics. The V-RE-NWDAF may perform analytics aggregation with the analytics information received from the H-RE-NWDAF and analytics information generated by itself, based on the analytics request or subscription.
- 6. V-RE-NWDAF sends the HPLMN analytics information received in step 4, or the aggregated analytics information if step 5 are performed, to the Consumer NF in VPLMN using either Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify service operation, depending on the service used in step 1.
- NOTE 4: The present document describes that the RE-NWDAF can perform analytics aggregation for roaming scenario, but whether and how the RE-NWDAF performs analytics aggregation for roaming scenario are up to implementation.

#### 6.1.5.3 Analytics Exposure from VPLMN to HPLMN

Figure 6.1.5.3-1 shows the procedure that the H-RE-NWDAF requests network analytics (i.e. service experience analytics, slice load level analytics, etc.) in VPLMN from the V-RE-NWDAF, upon receiving an analytics information request/subscription from the NF (e.g. PCF) in HPLMN.

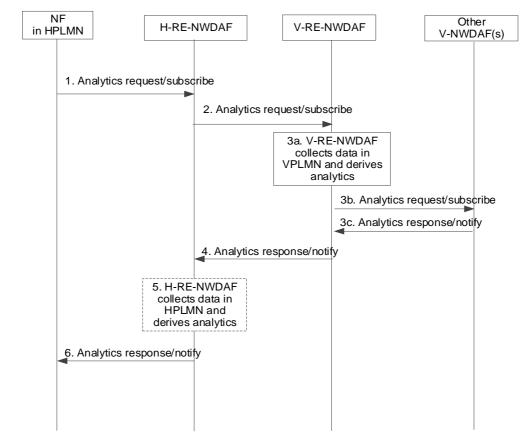


Figure 6.1.5.3-1: Procedure for analytics exposure from VPLMN to HPLMN

1. If the Consumer NF in HPLMN (e.g. H-PCF) is aware that the UE(s) indicated in Target of Analytics Reporting is/are outbound roaming UE(s) the Consumer NF discovers a H-RE-NWDAF as described in clause 5.2 and

sends an Analytics request/subscribe (Analytics ID, Target of Analytics Reporting, Analytics Filter Information) to H-RE-NWDAF by invoking a Nnwdaf\_AnalyticsInfo\_Request service operation or a Nnwdaf\_AnalyticsSubscription\_Subscribe service operation. For the outbound roaming UE(s) indicated in the Target of Analytics Reporting, H-RE-NWDAF determines based on operator configuration and the requested analytics whether analytics or input data from the VPLMN are required, or the analytics can be derived locally. The subsequent steps only apply if analytics from the VPLMN are required. If input data from the VPLMN are required, the procedures in clause 6.2.10 apply.

If the Consumer NF is unaware that the Target of Analytics Reporting includes roaming UE(s) and sends the Analytics request/subscribe to a H-NWDAF which does not support roaming exchange capability, then the H-NWDAF may perform either of the following after determining that the Target of Analytics Reporting includes roaming UE(s) (e.g. by inquiring the AMF(s) serving the UE(s) at the UDM with the Nudm\_UECM service):

- forward the Analytics request/subscribe to a H-RE-NWDAF after discovering a H-RE-NWDAF as described in clause 5.2. The H-NWDAF may include the VPLMN ID in the Analytics request/subscribe; or
- reject the Analytics request/subscribe with a proper cause value. And the following steps will not be performed.
- NOTE 1: It is possible that the Target of Analytics Reporting sent by the Consumer NF to the H-RE-NWDAF includes both outbound roaming UE(s) and non-roaming UE(s).
- NOTE 2: The H-NWDAF is not depicted in the flow.
- 2. If PLMN ID of the VPLMN is not included in the Analytics request/subscribe, the H-NWDAF inquires it at the UDM for the UE(s) indicated as Target of Analytics Reporting. The H-RE-NWDAF checks user consent for analytics as defined in clause 6.2.9. H-RE-NWDAF checks the roaming agreements between the HPLMN and the VPLMN to determine if the roaming analytics request/subscription can be accepted or must be rejected. If the request is rejected, the following steps are skipped.
- NOTE 3: See clause X.7 and Annex V of TS 33.501 [49] for details of the user consent check procedures. See clause X.8 of TS 33.501 [49] for protection of analytics exchange in roaming case.

The H-RE-NWDAF discovers the V-RE-NWDAF as described in clause 5.2.

H-RE-NWDAF sends a roaming analytics request/subscribe (Analytics ID, Analytics Filter Information, Target of Analytics Reporting, [NF ID(s)]) to V-RE-NWDAF by invoking a Nnwdaf\_RoamingAnalytics\_Request service operation or a Nnwdaf\_RoamingAnalytics\_Subscribe service operation, based on the Analytics request/subscribe received from the Consumer NF in HPLMN. The Target of Analytics Reporting sent by the H-RE-NWDAF to the V-RE-NWDAF only contains the outbound roaming user(s). The H-RE-NWDAF may obtain NF ID(s) of the NF(s) serving the roaming UE(s) in the VPLMN, e.g. AMF ID(s), SMF ID(s), from the UDM and include the NF ID(s) in the analytics request/subscribe.

- 3a. V-RE-NWDAF checks the roaming agreements between the HPLMN and the VPLMN, to determine if the roaming analytics request/subscribe can be accepted or must be rejected. If the roaming analytics request/subscribe is rejected, the following steps are skipped.
  - If V-RE-NWDAF supports to generate the requested analytics, it collects data from the NF(s) serving the roaming UE(s) and/or OAM in VPLMN and derives the analytics; otherwise steps 3b and 3c are executed. The NF(s) serving the roaming UE(s), e.g. AMF(s) or SMF(s), if indicated in step 2, can be used as data source.
- 3b-3c. [Optional] If the V-RE-NWDAF does not support to generate the requested analytics, it may request/subscribe to other NWDAF(s) in the VPLMN (if available) for the analytics and get corresponding response/notification(s).
- 4. V-RE-NWDAF sends the VPLMN analytics information to the H-RE-NWDAF using either Nnwdaf\_RoamingAnalytics\_Request response or Nnwdaf\_RoamingAnalytics\_Notify service operation, depending on the service used in step 2. The V-RE-NWDAF may restrict the exposed analytics information based on VPLMN operator polices.
- 5. [Optional] If the Consumer NF also indicates request or subscription of analytics information available in the HPLMN (e.g. via Target of Analytics Reporting) in step 1, H-RE-NWDAF collects data from the NF(s) and/or OAM in HPLMN and derives the analytics. These steps can be executed in parallel with steps 3-4. The H-RE-NWDAF may perform analytics aggregation with the analytics information received from the V-RE-NWDAF and analytics information generated by itself, based on the analytics request or subscription.

6. H-RE-NWDAF sends the VPLMN analytics information received in step 4, or the aggregated analytics information if step 5 are performed, to the Consumer NF in HPLMN using either Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1.

If the Analytics request/subscribe is forwarded to the H-RE-NWDAF by a H-NWDAF as described in step 1, the H-NWDAF forwards the analytics information received from the H-RE-NWDAF to the Consumer NF using either Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1.

NOTE 4: The present document describes that the RE-NWDAF may perform analytics aggregation for roaming scenario, but whether and how the RE-NWDAF performs analytics aggregation for roaming scenario are up to implementation.

#### 6.1.5.4 Contents of Analytics Exposure in roaming case

When requesting or subscribing to analytics involving one or more roaming UEs, the Consumer NF shall send the request or subscription to an RE-NWDAF belonging to the same PLMN as the Consumer NF. The Consumer NF may indicate the following parameters in the Nnwdaf\_AnalyticsInfo\_Request service operation or the Nnwdaf\_AnalyticsSubscription Subscribe service operation:

- The parameters as defined in clause 6.1.3, with the following differences:
  - Parameters related to analytics aggregation, analytics transfer or ML Model selection should not be included;
  - If Target of Analytics Reporting is included and indicates specific UE(s) or a group of UEs, these UEs shall belong to the same HPLMN (if HPLMN analytics are subscribed/requested) or be served by the same VPLMN (if VPLMN analytics are subscribed/requested)
  - Additionally, the following parameters:
    - If the NWDAF service consumer in a VPLMN requests/subscribes to HPLMN analytics, in Analytics Filter Information:
      - [OPTIONAL] PLMN ID of the HPLMN;

NOTE 1: If PLMN ID of the HPLMN is not provided by the NWDAF service consumer, the V-RE-NWDAF derives it from the SUPIs of UEs indicated as Target of Analytics Reporting.

- [OPTIONAL] mapped S-NSSAI of the HPLMN.
- [OPTIONAL] AOI (in the form of geographical area) in the HPLMN
- If the NWDAF service consumer in a HPLMN requests/subscribes to VPLMN analytics, in Analytics Filter Information:
  - [OPTIONAL] PLMN ID of the VPLMN
  - [OPTIONAL] AOI (in the form of geographical area) in the VPLMN
- NOTE 2: If PLMN ID of the VPLMN is not provided by the NWDAF service consumer, the H-RE-NWDAF inquires it at the UDM for the UEs indicated as Target of Analytics Reporting.
- NOTE 3: In this release of the specification, only one PLMN ID (of HPLMN or VPLMN) is supported in the Nnwdaf\_AnalyticsInfo\_Request service operation or the Nnwdaf\_AnalyticsSubscription\_Subscribe service operation.

Based on the analytics request or subscription from the NWDAF service consumer in the HPLMN, and local configuration, the H-RE-NWDAF may indicate the following parameters in the Nnwdaf\_RoamingAnalytics\_Request service operation or the Nnwdaf\_RoamingAnalytics\_Subscribe service operation to the V-RE-NWDAF, for requesting/subscribing to analytics in the VPLMN:

- Analytics ID;
- PLMN ID of the HPLMN;

NOTE 4: It is up to SA WG3 to decide if a special protection of the PLMN ID of the HPLMN is required.

- Analytics Filter Information:
  - [OPTIONAL] HPLMN S-NSSAI;

NOTE 5: V-NWDAF maps that S-NSSAI to an S-NSSAI of the VPLMN which will be used in the Analytics Filter Information.

- other Analytics Filter Information (e.g. AOI in the form of geographical area in the VPLMN) as provided by NWDAF service consumer in the HPLMN, if applicable in the VPLMN.
- [OPTIONAL] NF ID(s) of the NF(s) (e.g. AMF(s), SMF(s)) serving the roaming UE(s) in the VPLMN;
- other parameters as provided by NWDAF service consumer in the HPLMN, if applicable in the VPLMN.

Based on the analytics request or subscription from the NWDAF service consumer in the VPLMN, and local configuration, the V-RE-NWDAF may indicate the following parameters in the Nnwdaf\_RoamingAnalytics\_Request service operation or the Nnwdaf\_RoamingAnalytics\_Subscribe service operation to the H-RE-NWDAF, for requesting/subscribing to analytics in the HPLMN:

- Analytics ID;
- PLMN ID of the VPLMN;

NOTE 6: It is up to SA WG3 to decide if a special protection of the PLMN ID of the VPLMN is required.

- Analytics Filter Information:

[OPTIONAL] HPLMN S-NSSAI;

- NOTE 7: If an S-NSSAI but no mapped S-NSSAI is provided by the NWDAF service consumer in the Analytics Filter Information, the V-NWDAF maps the S-NSSAI to the S-NSSAI of the HPLMN and provides that mapped S-NSSAI of the HPLM as the Analytics Filter Information.
  - other Analytics Filter Information (e.g. AOI in the form of geographical area in the HPLMN) as provided by NWDAF service consumer in the VPLMN, if applicable in the HPLMN.
- other parameters as provided by NWDAF service consumer in the VPLMN, if applicable in the HPLMN.

The RE-NWDAF provides the following output information to the consumer RE-NWDAF of the Nnwdaf\_RoamingAnalytics\_Request service operation:

- The output information as defined in clause 6.1.3, with the following differences:
  - Information related to analytics aggregation (i.e. analytics metadata information) should not be included.
- NOTE 8: The output of the analytics depends on the roaming agreements.
- NOTE 9: UE specific data and analytics exchange between HPLMN and VPLMN and the possible storage is agreed between the operators bilaterally via roaming agreements (e.g. SLA) and takes into consideration all relevant regulatory requirements.

# 6.1A Analytics aggregation from multiple NWDAFs

#### 6.1A.1 General

In a multiple NWDAF deployment scenario, an NWDAF instance may be specialized to provide Analytics for one or more Analytics IDs. Each of the NWDAF instances may serve a certain Area of Interest or TAI(s). Multiple NWDAFs may collectively serve the particular Analytics ID. An NWDAF may have the capability to support the aggregation of Analytics (per Analytics ID) received from other NWDAFs, possibly with Analytics generated by itself.

The procedure for analytics aggregation from multiple NWDAFs is as defined in clause 6.1A.3.

# 6.1A.2 Analytics Aggregation

The analytics aggregation from multiple NWDAFs is used to address cases where an NWDAF service consumer requests Analytics ID(s) that requires multiple NWDAFs to collectively serve the request. Analytic aggregation applies to scenarios where NWDAF service consumer requests or subscribes to analytics information with or without provisioning Area of Interest.

Aggregator NWDAF or aggregation point:

- Is an NWDAF instance with additional capabilities to aggregate output analytics provided by other NWDAFs. This is in addition to regular NWDAF behaviour such as collecting data from other data sources to be able to generate its own output analytics.
- Is able to divide the area of interest, if received from the consumer, into sub area of interest based on the serving area of each NWDAF to be requested for analytics and then send analytics requests including the sub area of interest as an Analytics Filter to corresponding NWDAFs. The Aggregator NWDAF may maintain information on the discovered NWDAFs, including their supported Analytics IDs, NWDAF Serving Areas, etc.
- Has "analytics aggregation capability" registered in its NF Profile within the NRF.
- Supports the requesting and exchange of "Analytics Metadata Information" between NWDAFs when required for the aggregation of output analytics. "Analytics Metadata Information" is additional information associated with the requested Analytics ID(s) as defined in clause 6.1.3.
- Supports dataset statistical properties, output strategy and data time window parameters per type of analytics (i.e. Analytics ID) as defined in clause 6.1.3.

#### NRF:

- Stores the NF Profile of the NWDAF instances, including "analytics aggregation capability" for Aggregator NWDAFs and "analytics metadata provisioning capability" when supported by the NWDAF.
  - Returns the NWDAF(s) matching the attributes provided in the Nnrf\_NFDiscovery\_Request, as specified in clause 5.2.7.3 of TS 23.502 [3].

#### NWDAF service consumer:

- Requests or subscribes to receive analytics for one or more Analytics IDs, as specified in clause 6.1 of the present document.
- Does discovery and selection as defined in clause 6.3.13 of TS 23.501 [2] to identify NWDAFs with analytics aggregation capability and other capabilities (e.g. providing data/analytics for specific TAI(s)).
- Can differentiate and select the preferred NWDAF in case multiple NWDAFs are returned in the NWDAF discovery response based on its internal selection criteria (considering the registered NWDAF capabilities and information in NRF or UDM).

# 6.1A.3 Procedure for analytics aggregation

## 6.1A.3.1 Procedure for analytics aggregation with Provision of Area of Interest

The procedure depicted in figure 6.1A.3-1 is used to address cases where an NWDAF service consumer requests Analytics ID(s) for an Area of Interest that requires multiple NWDAFs that collectively serve the request.

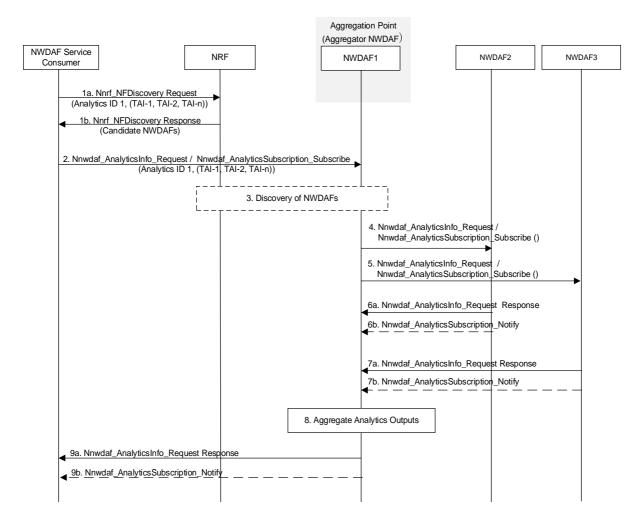


Figure 6.1A.3.1-1: Procedure for analytics aggregation

- 1a-b. NWDAF service consumer discovers the NWDAF as specified in clause 5.2. When NRF is used, NRF may return multiple NWDAF candidates matching the requested capabilities, area of interest and supported Analytics ID(s). NWDAF service consumer selects an NWDAF (e.g. NWDAF1) with analytics aggregation capability (i.e. Aggregator NWDAF), based on its internal selection criteria, considering registered NWDAF capabilities and information in NRF including the Supported Analytics Delay per Analytics ID (if available).
- 2. NWDAF service consumer invokes Nnwdaf\_AnalyticsInfo\_Request or Nnwdaf\_AnalyticsSubscription\_Subscribe service operation from the selected Aggregator NWDAF (e.g. NWDAF1). In the request, NWDAF service consumer provides Analytics ID(s) (e.g. Analytics ID 1) Analytics Filter Information (area of interest, e.g. TAI-1, TAI-2, TAI-n, if known to the NWDAF service consumer), Target of Analytics Reporting as defined in clause 6.1.3. It may also provide "time when analytics information is needed" (e.g. T1). It is expected that T1 is equal or greater than the Supported Analytics Delay per Analytics ID (if available) of the Aggregator NWDAF. Otherwise, the aggregator NWDAF may reject the analytics request or analytics subscription.
- 3. On receiving the request in step 2, Aggregator NWDAF (e.g. NWDAF1), based on e.g. configuration, queries to NRF including the Real-Time Communication Indication per Analytics ID and queries to UDM for checking which NWDAF(s) is serving the Target of Analytics Reporting. Considering the request from the NWDAF service consumer (e.g. Analytics Filter Information, T1, etc.) and Supported Delay per Analytics ID per NWDAF instance (when Real-Time Communication Indication was included), Aggregator NWDAF determines the other NWDAF instances that collectively can cover the area of interest indicated in the request (e.g. TAI-1, TAI-2, TAI-n).
- NOTE 1: In the discovery request sent to NRF, Aggregator NWDAF might indicate "analytics metadata provisioning capability" (e.g. as query parameter), thus, requesting to NRF to reply back with, if available, those NWDAF instance(s) which also supports "analytics metadata provisioning capability" functionality as indicated during particular NWDAF instance registration procedure.

4-5. Aggregator NWDAF (e.g. NWDAF1) invokes Nnwdaf\_AnalyticsInfo\_Request or Nnwdaf\_AnalyticsSubscription\_Subscribe service operation from each of the NWDAFs discovered/determined in step 3 (e.g. NWDAF2 and NWDAF3).

The request may optionally indicate "analytics metadata request" parameter to the determined NWDAFs (e.g. NWDAF-2 and/or NWDAF3), when analytics metadata is supported by these NWDAFs.

The request or subscription to the determined NWDAFs (e.g. NWDAF2 and/or NWDAF3) may also include the dataset statistical properties, output strategy and data time window. This indicates to the determined NWDAFs that the Analytics ID output shall be generated based on such parameters when requested.

If "time when analytics information is needed" (T1) was provided in step 2, the Aggregator NWDAF shall also provide a "time when analytics information is needed" to the determined NWDAFs, with a smaller value compared with T1 (e.g. T2).

- NOTE 2: T2 in step 4-5 is smaller than T1 accounting for the analytics delay and processing time within the Aggregator NWDAF itself.
- 6-7a-b. The determined NWDAFs (e.g. NWDAF-2 and/or NWDAF3) reply or notify with the requested output analytics.

If "analytics metadata request" was included in the request received by such NWDAF (in steps 4-5), the NWDAF additionally returns the "analytics metadata information" used for generating the analytics output as defined in clause 6.1.3.

If the determined NWDAFs (e.g. NWDAF 2 and/or NWDAF 3) cannot reply or notify with the requested output analytics before the expiry of T2, they may send an error response or error notification to the Aggregator NWDAF including a "revised waiting time".

8. Aggregator NWDAF (e.g. NWDAF1) aggregates received Analytics information, i.e. generates a single output analytics based on the multiple analytics outputs and, optionally, the "analytics metadata information" received from the determined NWDAFs (e.g. NWDAF2 and NWDAF3).

The Aggregator NWDAF (e.g. NWDAF1) may also take its own analytics for TAI-n into account for the analytics aggregation.

9a-b. Aggregator NWDAF (e.g. NWDAF1) sends a response or notifies to the NWDAF service consumer the aggregated output analytics for the requested Analytics ID.

If the Aggregator NWDAF (e.g. NWDAF 1) cannot reply or notify with the requested output analytics before the expiry of T1 or anticipates that it cannot reply or notify with the requested output analytics before the expiry of T1 (e.g. due to error notification in step 6-7a-b), it may send an error response or error notification to the NWDAF service consumer including a "revised waiting time". The NWDAF service consumer may optionally use the "revised waiting time" to update the "time when analytics information is needed" parameter (i.e. T1) for future analytics requests/subscriptions to the same Aggregator NWDAF as defined in clause 6.2.5.2.

## 6.1A.3.2 Procedure for Analytics Aggregation without Provision of Area of Interest

The procedure depicted in Figure 6.1A.3.2-1 is used to address cases where an NWDAF service consumer requests Analytics ID(s) without providing an Area of Interest, but requires multiple NWDAFs to collectively serve the request.

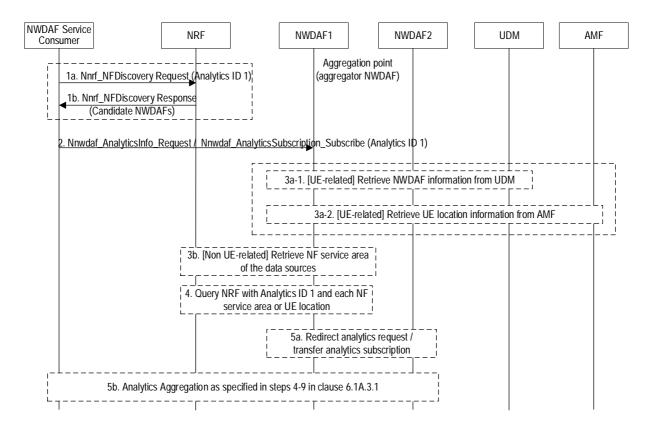


Figure 6.1A.3.2-1: Procedure for analytics aggregation without provision of Area of Interest

1. This step is a NWDAF discovery procedure without providing any area of interest. The service consumer discovers an aggregation NWDAF (e.g. NWDAF1) as specified in clause 5.2. When NRF is used, NRF may return multiple NWDAF candidates matching the requested capabilities and supported Analytics ID(s).

Depending on the requested Analytics ID, the NWDAF service consumer, e.g. based on internal logic, can be able to determine which NWDAF should be selected for providing the required data analytics. If not, the NWDAF service consumer should select a NWDAF with large serving area from the candidate NWDAFs which supports analytics aggregation, e.g. NWDAF1.

2. NWDAF service consumer sends Analytics information or analytics subscription request to the aggregator NWDAF, i.e. NWDAF1 in the Figure 6.1A.3.2-1. In the request, NWDAF service consumer provides the requested Analytics ID(s), e.g. Analytics ID 1.

The NWDAF service consumer may also provide "time when analytics information is needed" (e.g. T1). It is expected that T1 is equal or greater than the Supported Analytics Delay per Analytics ID of the Aggregator NWDAF (if available). Otherwise, the aggregator NWDAF may reject the analytics request or analytics subscription.

Once receiving the request, the Aggregator NWDAF1 may decide to subscribe data analytics from other NWDAF instances which can provide the requested data analytics. Based on the Analytics ID, there are two cases for the Aggregator NWDAF1 to subscribe data analytics from other NWDAF instances.

- 3a. If the data analytics requires UE location information, e.g. for the Analytics IDs "UE Mobility", "Abnormal behaviour", or "User Data Congestion", then:
  - 3a-1: (optional) The Aggregator NWDAF1 queries UDM to discover the NWDAF serving the UE, if it is supported.
  - 3a-2: If step 3a-1 is not supported, was not executed, or did not return a suitable NWDAF serving the UE, the Aggregator NWDAF1 determines the AMF serving the UE as specified in the clause 6.2.2.1, then requests UE location information from the AMF to be used in the query to NRF in step 4.

- NOTE: If an Aggregator NWDAF receives an Analytics request for a group of UEs, i.e. the Target of Analytics Reporting set to a list of Internal Group IDs, it performs NWDAF discovery based on location information of all UEs in each of the Internal Group Ids in the list and then requests all discovered NWDAFs to provide the required analytics.
- 3b. If the data analytics does not require to collect UE location information, e.g. for the Analytics IDs "Service Experience", "NF load information", or "UE Communication", the Aggregator NWDAF1 can determine the NFs to be contacted for data collection as specified in clause 6.2.2.1 and then it can retrieve NF service area for each of the data source NFs from NRF.
- 4. (conditional) With the data obtained in step 3, the Aggregator NWDAF1 queries the NRF for discovering the required NWDAF, by sending an NF discovery request which may include UE location (e.g. TAI-1) or NF serving area (e.g. TAI list-1) as a filter to NRF and obtains candidates target NWDAF(s) that can provide the required analytics. This step is skipped if a suitable NWDAF was discovered in step 3a-1. Additionally the Aggregator NWDAF1 may include in the NF discovery request the Real-Time Communication Indication per Analytics ID to request Supported Delay per Analytics ID per NWDAF instance.

Depending on the discovered NWDAF instance(s), there can be two cases:

- 5a. If a single target NWDAF (e.g. NWDAF2) can provide the requested analytics data, the Aggregator NWDAF (e.g. NWDAF1) can redirect the Nnwdaf\_AnalyticsInfo\_Request to that target NWDAF or request an analytics subscription transfer to that target NWDAF, depending on the type of the analytics request/subscription received by the NWDAF Service Consumer.
- 5b. If the Aggregator NWDAF decides to request data analytics from one or more target NWDAFs, the steps 4-9 of the analytics aggregation procedure in clause 6.1A.3.1 are executed.

# 6.1B Transfer of analytics context and analytics subscription

## 6.1B.1 General

In a multiple NWDAFs deployment scenario, procedures for transfer of analytics context and analytics subscription can be used to support the target NWDAF to produce the needed analytics.

When the analytics consumer provides the target NWDAF with information on the subscription that could be transferred from the source NWDAF, the target NWDAF may initiate the transfer of analytics context. The analytics consumer provides the information via Nnwdaf\_AnalyticsSubscription\_Subscribe service operation. When the analytics consumer is an AMF, the old subscription information (if related to a UE) may be provided by the source AMF to the target AMF using a UE context transfer procedure as specified in TS 23.502 [3].

An analytics subscription transfer to the target NWDAF may be initiated by the source NWDAF, followed by an analytics context transfer initiated by the target NWDAF. An NWDAF may transfer one or more of its analytics subscriptions to another NWDAF instance due to internal (e.g. load balancing, graceful shutdown) or external triggers (e.g. UE mobility). For external triggers, the NWDAF may subscribe to NF(s) to be notified about the corresponding events. As for UE mobility, upon the UE location change event notified by the AMF subscribed by the NWDAF, the NWDAF determines whether it can continue to provide the analytics service. If the NWDAF cannot continue to serve the consumer, it should either select a target NWDAF and initiate analytics subscription transfer, or notify the analytics consumer that it cannot provide the service anymore, so that the analytics consumer can select a new NWDAF.

Procedures for analytics subscription transfer allow one NWDAF instance to transfer its ongoing analytics subscriptions to another NWDAF instance. The transfer can be done for all subscriptions or just a selected subset of subscriptions related to specific area(s), specific Analytics ID(s), specific NF(s) and/or specific UE(s).

The procedure for prepared analytics subscription transfer can be used if the source NWDAF instance anticipates that it will soon not be able to continue its current analytics tasks.

## 6.1B.2 Analytics Transfer Procedures

# 6.1B.2.1 Analytics context transfer initiated by target NWDAF selected by the NWDAF service consumer

The procedure in Figure 6.1B.2.1-1 is used when an NF decides to select a new NWDAF instance due to internal or external triggers, e.g. the NF starts serving a UE with analytics subscription information received upon UE context transfer procedure as described in TS 23.502 [3], or the NF starts to request NF related analytics, or the NF receives a "Termination Request" for an existing analytics subscription from an NWDAF. The NF sends to the target NWDAF information about the NWDAF previously used for analytics subscription, if available, in Nnwdaf\_AnalyticsSubscription\_Subscribe service operation. The target NWDAF may initiate the transfer of the analytics context, using the Nnwdaf\_AnalyticsInfo\_ContextTransfer or Nnf\_DataManagement\_Subscribe service operation.

The procedure in Figure 6.1B.2.1-1 is also used when an Aggregator NWDAF decides to select a new NWDAF to request output analytics for analytics aggregation. For example, upon receiving a Termination Request from one of the NWDAFs that are collectively serving a request for analytics subscription as specified in clause 6.1A, the Aggregator NWDAF queries the NRF or UDM to select a target NWDAF as specified in clause 6.1A.3 using information e.g. the UE location, the 5GC NFs (identified by their NF Set IDs or NF types) serving the UE or to be contacted for data collection (if Area of Interest is not provisioned for the requested analytics), or the subset of AoI (if Area of Interest is provisioned for the requested analytics). Then, the Aggregator NWDAF sends information about the NWDAF previously used for analytics subscription, if available, in Nnwdaf\_AnalyticsSubscription\_Subscribe service operation towards the selected target NWDAF.

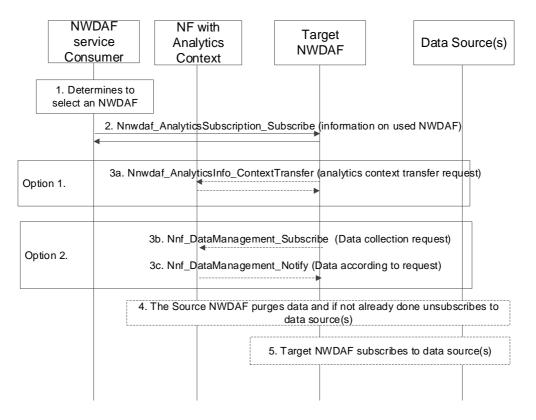


Figure 6.1B.2.1-1: Analytics context transfer initiated by target NWDAF selected by the NWDAF service consumer

- 1. The NWDAF service consumer determines to select an NWDAF instance. The consumer discovers and selects the target NWDAF as specified in clause 5.2.
- 2. The consumer sends a request for analytics subscription to the target NWDAF using Nnwdaf\_AnalyticsSubscription\_Subscribe service operation, including information on the previous analytics subscription (i.e. NWDAF ID, Analytics ID(s), SUPIs, Analytics Filter Information for UE-related Analytics, Subscription Correlation ID, the Analytics Accuracy Request information (as defined in clause 6.1.3) when the

Target NWDAF supports accuracy checking capability) which relates to the requested analytics subscription, if available. If the target NWDAF accepts the analytics subscription request, it sends Nnwdaf\_AnalyticsSubscription\_Subscribe response with a Subscription Correlation ID.

If the target NWDAF does not receive information of previous analytics subscription in step 2, for UE related Analytics, the target NWDAF may discover previously used NWDAF in UDM as specified in clause 5.2.

- NOTE 1: If the selected target NWDAF instance is the same as the source NWDAF instance (as received from the other consumer in step 0), the target NWDAF will update the existing analytics subscription to the new analytics consumer. Following steps are skipped.
- NOTE 2: The consumer can provide information on the previous analytics subscription when, e.g. the consumer is an AMF and it received information from the old AMF, see clause 5.2.2.2.2 of TS 23.502 [3].
- 3a. [Option 1] If the target NWDAF decides to request an analytics context transfer from the previously used NWDAF, it may make use of information sent in step 2 (e.g. the provided Subscription Correlation ID) and use the analytics context transfer procedure as specified in clause 6.1B.3. The target NWDAF may receive an ADRF ID or DCCF ID for collecting the historical data and/or analytics.

If the target NWDAF supports the accuracy checking capability and the request received in step 2 contains the Analytics Accuracy Request information, the target NWDAF may include in the Nnwdaf\_AnalyticsInfo\_ContextTransfer request the field Requested Analytics Context Type with value set to Analytics accuracy related information and ML Model accuracy related information (both defined in clause 6.1B.4) in order to retrieve the necessary information for starting, respectively, the Analytics Accuracy Information generation as well as the registration as provider of ML Model Accuracy Information for the ML Model.

3b-c. [Option 2] If the target NWDAF decides to only request historical data and/or analytics, then it may collect the data and/or analytics via Nnf\_DataManagement\_Subscribe service, where the NFs may be either the ADRF, NWDAF or DCCF, as described in clauses 10.2.6, 7.4.2 and 8.2.2 respectively.

Target NWDAF is now ready to generate analytics information and if applicable, Analytics Accuracy Information, taking into account the information received in step 3. The target NWDAF is also able to perform the registration as a new provider for an existing ML Model Accuracy Information process as defined in clause 6.2E.3.2.

- 4. [Optional] Source NWDAF may purge analytics context after completion of step 3a, if performed and if not already done, unsubscribes from the data source(s) and/or model source(s) that are no longer needed for the remaining analytics subscriptions.
- 5. [Optional] Target NWDAF may subscribe to relevant data source(s) and/or model source(s), if it is not yet subscribed to the data source(s) and/or model source(s).

#### 6.1B.2.2 Analytics Subscription Transfer initiated by source NWDAF

The procedure in Figure 6.1B.2.2-1 is used by an NWDAF instance to request the transfer of analytics subscription(s) to another NWDAF instance, using the Nnwdaf\_AnalyticsSubscription\_Transfer service operation defined in clause 7.2.5.

If the source NWDAF discovers that the analytics consumer may change concurrently to this procedure, the source NWDAF should not perform the procedure. In such a case, the source NWDAF may send a message to indicate to the analytics consumer that it will not serve this subscription anymore.

- NOTE 1: To discover the possible change of analytics consumer, if the Analytics ID is UE related, the source NWDAF takes actions responding to external trigger (such as UE mobility), for example, checking if the Target of Analytics Reporting is still within the serving area of the analytics consumer, if the serving area information is available.
- NOTE 2: Handling of overload situation or preparation for a graceful shutdown are preferably executed inside an NWDAF Set, when available, therefore, not requiring an analytics subscription transfer as described in this clause. The procedure in Figure 6.1B.2.2-1 is applicable for analytics subscription transfer across NF Sets or if the NWDAF is not deployed in a Set.

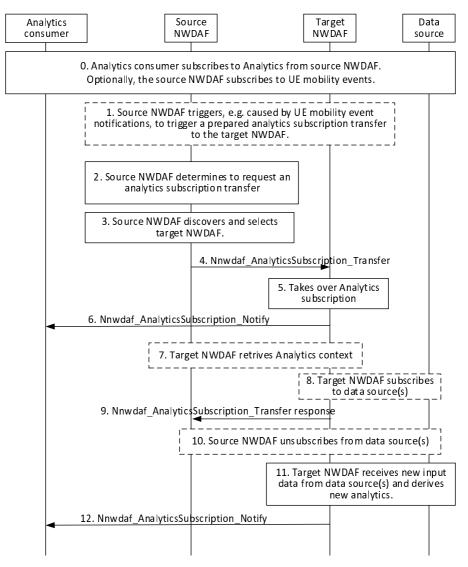


Figure 6.1B.2.2-1: Analytics subscription transfer initiated by source NWDAF

- 0. The analytics consumer subscribes to analytics from source NWDAF. The analytics consumer may send its NF ID or serving area, enabling NWDAF to determine whether the following analytics subscription transfer procedure is applicable. Optionally the source NWDAF subscribes to UE mobility events.
- 1. [Optional] Source NWDAF determines, e.g. triggered by a UE mobility event notification, to prepare an analytics subscription transfer to target NWDAF(s), as specified in the procedure illustrated in clause 6.1B.2.3.
- 2. Source NWDAF determines, e.g. based on the UE location information received and the analytics consumer's serving area either directly received in step 0 or indirectly received via NRF, to perform an analytics subscription transfer to target NWDAF(s). Therefore, the source NWDAF determines the analytics subscription(s) to be transferred to a target NWDAF.
- 3. Source NWDAF performs an NWDAF discovery and selects the target NWDAF. NWDAF discovery may be skipped if the target NWDAF had already been discovered as part of a prepared analytics subscription transfer. In the case of aggregated analytics from multiple NWDAFs, the source NWDAF may use the set of NWDAF identifiers related to aggregated analytics (see clause 6.1.3) to preferably select a target NWDAF that is already serving the consumer. If the analytics subscription to be relocated to a target NWDAF also includes the Analytics Accuracy Request information, the source NWDAF selects, if possible, a target NWDAF also with accuracy checking capability. If the source NWDAF does not discover a target NWDAF with accuracy checking capability, the source NWDAF notifies the analytics consumer with Accuracy Information Termination. Therefore, the consumer based on local policy may decide to unsubscribe the analytics ID or to keep using the analytics ID even without receiving the accuracy information.

- 4. Source NWDAF requests, using Nnwdaf\_AnalyticsSubscription\_Transfer Request service operation, a transfer of the analytics subscription(s) determined in step 2 to the target NWDAF. The request contains a callback URI of the analytics consumer. The request may also contain active data source ID(s) and ML Model related information, which are related to the analytics subscriptions requested to be transferred, if not already provided as part of the prepared analytics subscription transfer in the preparation procedure (see step 1). The ML Model related information contains the ID(s) of NWDAF(s) containing MTLF that provided the trained models and may contain the file address(es) of the trained ML Model(s), where the file address(es) of the trained ML Model(s) is included only when the source NWDAF itself provides the trained ML Model(s) for the analytics subscription(s) being transferred. The request message may also include "analytics context identifier(s)" indicating the availability of analytics context for particular Analytics ID(s).
- 5. Target NWDAF accepts the analytics subscription transfer and takes over the analytics generation and if applicable, the Analytics Accuracy Information generation, based on the information received from the source NWDAF.

The target NWDAF may use analytics accuracy request information included in the analytics subscription transfer received in step 4 to start the process of checking and generating Analytics Accuracy Information for the consumer of the transfer analytics subscription.

Target NWDAF may use the ML Model related information, if provided in the Nnwdaf\_AnalyticsSubscription\_Transfer request. If the ID(s) of NWDAF(s) containing MTLF is provided in the Nnwdaf\_AnalyticsSubscription\_Transfer request and the NWDAF(s) containing MTLF is part of the locally configured set of NWDAFs containing MTLF, target NWDAF may request or subscribe to the ML Model(s) from the indicated NWDAF(s) containing MTLF as specified in clause 6.2A and use the ML Model(s) for the transferred analytics subscription. If the file address(es) of the trained ML Model(s) is provided and if the NWDAF containing MTLF is part of the locally configured set of NWDAFs containing MTLF, the target NWDAF may retrieve the ML Model using the file address of the trained ML Model. If the provided ID(s) of NWDAF(s) containing MTLF are not part of the locally configured set of ID(s) of NWDAFs containing MTLF, the target NWDAF discovers the NWDAF(s) supporting MTLF that can provide trained ML Model(s) for the Analytics ID(s) as described in clause 5.2.

- NOTE 3: If not yet done during a prepared analytics subscription transfer, the target NWDAF allocates a new Subscription Correlation ID to the received analytics subscriptions.
- NOTE 4: The target NWDAF might already have received information on some/all of the analytics subscriptions as part of the prepared analytics subscription transfer request received in step 1 and, thus, might already have started to prepare for the analytics generation, e.g. by having already subscribed to relevant event notifications.
- 6. Target NWDAF informs the analytics consumer about the successful analytics subscription transfer using a Nnwdaf\_AnalyticsSubscription\_Notify message. A new Subscription Correlation ID, which was assigned by the target NWDAF, is provided in the Subscription Correlation ID and the old Subscription Correlation Id, which was allocated by the source NWDAF, is provided in the Subscription Change Notification Correlation ID parameter of this message as specified in clause 7.2.4.
- NOTE 5: Notification correlation information in the Nnwdaf\_AnalyticsSubscription\_Notify message allows the analytics consumer to correlate the notifications (of analytics output and if applicable of Analytics Accuracy Information) to the initial subscription request made with the source NWDAF in step 0.
- NOTE 6: The existing Analytics context in the source NWDAF is not deleted directly but will be purged first when it was collected by the target NWDAF.
- NOTE 7: If this subscription is used as input for analytics aggregation by the analytics consumer, the analytics consumer might inform the other NWDAFs instance participating in this analytics aggregation that the Set of NWDAF identifiers of NWDAF instances used by the NWDAF service consumer for this analytics aggregation (see clause 6.1.3) has changed using the Nnwdaf\_AnalyticsSubscription\_Subscribe service operation.
- 7. [Conditional] If "analytics context identifier(s)" had been included in the Nnwdaf\_AnalyticsSubscription\_Transfer Request received in step 4, the target NWDAF requests the "analytics context". The analytics context transfer procedure is specified in clause 6.1B.3.
  - If the transfer request received by the target NWDAF also includes the Analytics Accuracy Request information, the target NWDAF will include in the Nnwdaf\_AnalyticsInfo\_ContextTransfer request the field Requested

Analytics Context Type with value set to Analytics accuracy related information (as defined in clause 6.1B.4) in order to retrieve the necessary information for generating the Analytics Accuracy Information.

The target NWDAF may also retrieve from source NWDAF containing AnLF the ML Model Accuracy Information for the ML Model when ML Model accuracy related information context type is included in the "analytics context identifier(s)" in the transfer request. Based on the retrieved ML Model accuracy related information, the target NWDAF containing AnLF registers as provider of ML Model Accuracy Information for the ML Model as defined in clause 6.2E.3.2.

- 8. [Optional] Target NWDAF subscribes to relevant data source(s), if it is not yet subscribed to the data source(s) for the data required for the Analytics.
- 9. Target NWDAF confirms the analytics subscription transfer to the source NWDAF.
- 10. [Optional] Source NWDAF unsubscribes with the data source(s) that are no longer needed for the remaining analytics subscriptions. In addition, Source NWDAF unsubscribes with the NWDAF(s) containing MTLF, if exist, which are no longer needed for the remaining analytics subscriptions.
- NOTE 8: At this point, the analytics subscription transfer is deemed completed, i.e. the source NWDAF can delete all information related to the successfully transferred analytics subscription.
- 11-12. Target NWDAF at some point derives new output analytics and Analytics Accuracy Information (if applicable) based on new input data and notifies the analytics consumer about the new analytics and new Analytics Accuracy Information (if applicable) using a Nnwdaf\_AnalyticsSubscription\_Notify message as specified in clause 6.1.1.

#### 6.1B.2.3 Prepared analytics subscription transfer

The procedure in Figure 6.1B.2.3-1 is used by an NWDAF instance to request another NWDAF instance for a prepared analytics subscription transfer from the source NWDAF instance, using the Nnwdaf\_AnalyticsSubscription\_Transfer service operation defined in clause 7.2.5.

- NOTE 1: The source NWDAF might determine that it needs to prepare to transfer analytics to another NWDAF instance, e.g. when the source NWDAF estimates for UE related analytics subscription that the UE might enter an area which is not covered by the source NWDAF (e.g. by subscribing to AMF event exposure service for UE mobility event notifications, by performing UE mobility analytics, or by subscribing to another NWDAF providing UE mobility analytics). If the source NWDAF discovers that the analytics consumer may change concurrently to this procedure, the source NWDAF does not perform the procedure. If the procedure makes use of predictions to determine the candidate NWDAFs, care must be taken with regards to load and signalling cost when sending data to an NWDAF that will not eventually start serving the UE.
- NOTE 2: The source NWDAF might also determine that it needs to prepare to transfer analytics subscriptions to another NWDAF instance, as the source NWDAF wants to resolve an internal load situation or prepare for a graceful shutdown.
- NOTE 3: Handling of overload situation or preparation for a graceful shutdown are preferably executed inside an NWDAF Set, when available.

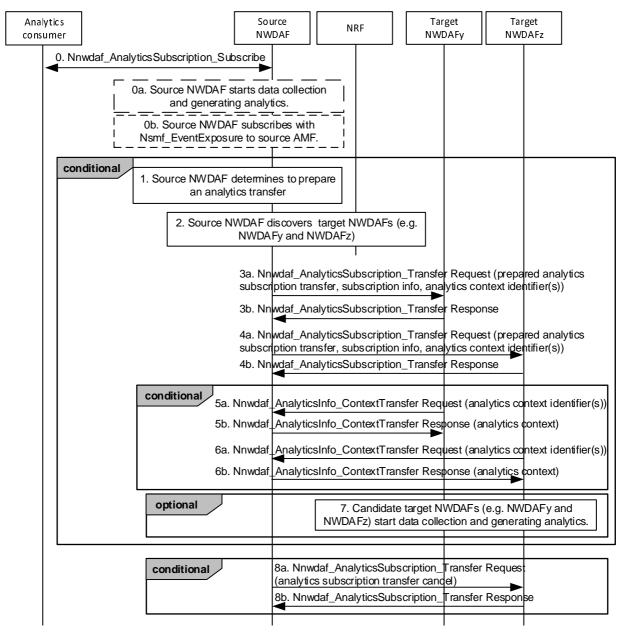


Figure 6.1B.2.3-1: Prepared analytics transfer

- 0. Analytics consumer subscribes to the source NWDAF for certain analytics as specified in clause 6.1.1.
- 0a. Source NWDAF starts data collection from relevant data source(s) (e.g. NFs or OAM) as specified in clause 6.2. Source NWDAF starts generating requested analytics.
- 0b. [Conditional] (Only if the source NWDAF does not serve the whole PLMN and the requested analytics involves UE related data) The source NWDAF subscribes, using Namf\_EventExposure\_Subscribe service operation, to receive notifications on UE mobility events from AMF.
- 1. The source NWDAF determines that it needs to prepare to transfer analytics to another NWDAF instance.
- 2. The source NWDAF discovers candidate target NWDAF instances (e.g. NWDAFy and NWDAFz) supporting the requested analytics information for the predicted target area(s). NWDAF discovery and selection is specified in clause 6.3.13 of TS 23.501 [2]. In the case of aggregated analytics from multiple NWDAFs, the source NWDAF may use the set of NWDAF identifiers related to aggregated analytics (see clause 6.1.3) to preferably select a target NWDAF that is already serving the consumer. In the case of the analytics subscription to be transferred also includes an Analytics Accuracy Request information as defined in clause 6.1.3, the source NWDAF takes into consideration in the selection process whether target NWDAF have the accuracy checking capability.

- NOTE 4: In this procedure, NWDAFy and NWDAFz are examples for target NWDAF instances that are candidates to take over those analytic subscriptions.
- 3-4. In the case of a prepared analytics subscription transfer, the source NWDAF requests, using Nnwdaf\_AnalyticsSubscription\_Transfer Request, to the candidate target NWDAFs (e.g. NWDAFy and NWDAFz) to prepare for an analytics subscription transfer by including a "prepared analytics subscription transfer indication" in the request message. The request message includes information on the analytics subscriptions to be transferred. The request message may also include "analytics context identifier(s)" indicating the availability of analytics context for particular Analytics ID(s).

The candidate target NWDAFs (e.g. NWDAFy and NWDAFz) respond to the request from the source NWDAF using a Nnwdaf\_AnalyticsSubscription\_Transfer Response message.

5-6. [Conditional] If "analytics context identifier(s)" had been included in the Nnwdaf\_AnalyticsSubscription\_Transfer Request received in step 4, the determined target NWDAFs (e.g. NWDAFy and NWDAFz) may request the "analytics context" from the source NWDAF by invoking the "Nnwdaf\_AnalyticsInfo\_ContextTransferservice" operation. The analytics context transfer procedure is specified in clause 6.1B.3.

If the target NWDAF supports the accuracy checking capability and the request received in steps 3-4 contains the Analytics Accuracy Request information, the target NWDAF includes in the Nnwdaf\_AnalyticsInfo\_ContextTransfer request the field Requested Analytics Context Type with value set to Analytics accuracy related information (as defined in clause 6.1B.4) in order to retrieve the necessary information for starting the Analytics Accuracy Information. The target NWDAF may also include in the request the Requested Analytics Context Type with value set to ML Model accuracy related information in order obtain the proper information to register as a new provider of ML Model Accuracy Information for the ML Model as defined in clause 6.2E.3.2.

- NOTE 5: The target NWDAFs (e.g. NWDAFy and NWDAFz) can allocate a new Subscription Correlation ID to the received analytics subscriptions.
- 7. [Optional] Based on the information received from the source NWDAF, the target NWDAFs (e.g. NWDAFy and NWDAFz) start data collection from NFs or OAM (as specified in clause 6.2), analytics generation for the indicated analytics subscriptions and if applicable the analytics accuracy checking and generation (as specified in clause 6.2D).
- NOTE 6: After step 7, the source NWDAF initiates the analytics subscription transfer to the target NWDAF as specified in steps 4 to 12 of the analytics subscription transfer procedure illustrated in Figure 6.1B.2.2-1.
- 8. The source NWDAF cancels the prepared analytics subscription transfer to a candidate target NWDAF (e.g. NWDAFz), using Nnwdaf\_AnalyticsSubscription\_Transfer Request include an "analytics subscription transfer cancel indication". The target NWDAF (e.g. NWDAFz) confirms the cancelation to the source NWDAF and, if applicable, deletes any analytics data that is no longer needed. If the target NWDAF (e.g. NWDAFz), as part of the analytics subscription preparation, had already subscribed to entities to collect data or acquire ML Model, it unsubscribes to those entities if the subscriptions are not needed for other active analytics subscriptions with the target NWDAF. If the candidate NWDAF (e.g. NWDAFz), as part of the analytics subscription preparation, had already started the analytics accuracy generation (as specified in clause 6.2D), it cancels the generation and if any extra data collection has been started, this data collection is also stopped, if not used by any other process in the target NWDAF.

Step 8 may take place any time after step 4 if the NWDAF determines that the candidate target NWDAF (e.g. NWDAFz) does no longer need to prepare for the analytics subscription transfer. In particular, the source NWDAF shall cancel the prepared analytics subscription transfer to all remaining candidate target NWDAFs after one target NWDAF has accepted the analytics subscription transfer (see NOTE 6).

If the source NWDAF is not able to discover a target NWDAF with accuracy checking capability, the source NWDAF notifies the analytics consumer with Accuracy Information Termination. Therefore, the consumer may decide to unsubscribe the analytics ID or to keep using the analytics ID even without receiving the accuracy information.

# 6.1B.3 Analytics Context Transfer

The procedure depicted in Figure 6.1B.3-1 is used by an NWDAF instance to request analytics context from another NWDAF instance, using the Nnwdaf\_AnalyticsInfo\_ContextTransfer service operation as defined in clause 7.3.3. This procedure, for example, can be invoked in the procedures described in clause 6.1B.2 to request the transfer of relevant analytics context.

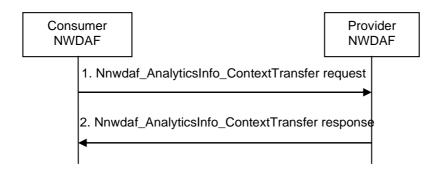


Figure 6.1B.3-1: Analytics Context Transfer

The procedure of analytics context information transfer comprises the following steps:

- 1. The consumer NWDAF requests analytics context by invoking Nnwdaf\_AnalyticsInfo\_ContextTransfer request service operation. The parameters that can be provided in the request are listed in clause 6.1B.4.
- 2. The provider NWDAF responds with analytics context to the consumer NWDAF. The analytics context that can be provided in the response is listed in clause 6.1B.4.

If the provider NWDAF stores analytics context (i.e. Historical output Analytics and/or Data related to Analytics) in ADRF, the provider NWDAF may include in the response the ADRF ID together with an indication of the Analytics Context Type stored in the ADRF (i.e. Historical output Analytics and/or Data related to Analytics).

Upon receiving the analytics context, the consumer NWDAF may:

- provide the pending output analytics or historical analytics information to the analytics consumer per the subscription/request;
- use the historical data and analytics metadata in the analytics context to generate analytics;
- use the analytics accuracy related information in the analytics context to activate the checking of Analytics Accuracy Information for the transferred analytics ID, generate and provide the Analytics Accuracy Information for the consumer.

NOTE: The consumer NWDAF can analyse the timestamps of the historical data included in the analytics context in order to obtain the inference configuration used at the source NWDAF for data collection and may decide to use the same inference configuration for the analytics accuracy generation.

- use the ML Model accuracy related information in the analytics context to determine the need for registration at the NWDAF containing MTLF with the information to enable the NWDAF containing MTLF to reassociated the data of the existing subscription for ML Model Accuracy Information to a new ML Model Accuracy Monitoring process at the target NWDAF containing AnLF, reusing the existing data (as further detailed in clause 6.2E.3).
- subscribe to data collected for analytics with the data sources indicated in the analytics context;
- if the ID(s) of the NWDAF(s) containing MTLF indicated in the analytics context is part of the locally configured (set of) IDs of NWDAFs containing MTLF, retrieve trained ML Model(s) from the indicated NWDAF(s) containing MTLF or based on the file address(es) of the trained ML Model(s) and use for analytics; and/or

- subscribe to output analytics from the indicated NWDAFs that collectively serve the transferred analytics subscription and perform analytics aggregation on the output analytics using the analytics metadata information, based on the analytics subscription aggregation information.

## 6.1B.4 Contents of Analytics Context

The Nnwdaf\_AnalyticsInfo\_ContextTransfer service operation is used to transfer analytics context from a source NWDAF instance to a target NWDAF instance, whereby the target NWDAF consumes the Nnwdaf\_AnalyticsInfo\_ContextTransfer service operation produced by the source NWDAF instance to request the analytics context as depicted in Figure 6.1B.3-1.

The consumers of the Nnwdaf\_AnalyticsInfo\_ContextTransfer service operation (as specified in clause 7.3.3) provide the following input parameters:

- A list of analytics context identifier(s): identify a set of analytics contexts that are available at the NWDAF instance providing this service and that are requested to be transferred to the consumer NWDAF instance. The analytics context identifier is provided as the following:
  - Subscription Correlation ID: identifies the analytics subscription for which the related analytics context is requested; or
  - A set of SUPI and associated Analytics ID for UE related Analytics; or
  - An Analytics ID for NF related Analytics.
- [OPTIONAL] Requested Analytics Context Type per analytics context identifier: indicates which part of the analytics context the consumer wishes to receive. Following values are specified:
  - Pending output Analytics;
  - Historical output Analytics;
  - Analytics subscription aggregation information;
  - Data related to Analytics;
  - Aggregation related information;
  - ML Model related information;
  - Analytics accuracy related information.
  - ML Model accuracy related information.

NOTE: A list of "analytics context identifiers" can be provided by the source NWDAF to the target NWDAF in an analytics subscriptions transfer request as described in clause 6.1B.2.2. Information allowing to identify an analytics context can also be provided by the NWDAF consumer to the target NWDAF in the Nnwdaf\_AnalyticsSubscription\_Subscribe request and based on this information the target NWDAF derives the "analytics context identifier", as defined in clause 6.1B.2.1.

The producer NWDAF provides to the consumer of the Nnwdaf\_AnalyticsInfo\_ContextTransfer service operation (as specified in clause 7.3.3), the output information listed below:

- (Set of) Analytics context matching the input parameters of the Nnwdaf\_AnalyticsInfo\_ContextTransfer request. If no Requested Analytics Context type parameters are available in the request, all available analytics context types are sent. Analytics context includes the following information parts, if available:
  - Analytics related:
    - Pending output analytics (i.e. not yet notified to the consumer).
    - Historical output analytics information. The content of the output analytics is specified in clause 6.1.3 as output information of the Nnwdaf\_AnalyticsSubscription\_Notify or Nnwdaf\_AnalyticsInfo\_Request service operations.

- Timestamp(s) of the last output analytics provided to the analytics consumer(s). Value is set to 0 if no output analytics had been sent yet.
- Analytics subscription aggregation information (only provided when analytics context is related to analytics aggregation): information about the analytics subscriptions that the source NWDAF has with the NWDAFs that collectively serve the transferred analytics subscription, which includes IDs and analytics metadata information of these NWDAFs for specific Analytics ID(s) and optionally input parameters of analytics exposure as defined in clause 6.1.3.

#### - Data related to Analytics:

- Historical data that is available at the source NWDAF and that is related to the analytics to be handed over to the target NWDAF. If available, the time period of the collected data, NF ID(s) of the data source(s) and information (e.g. filter and event reporting parameters) on the subscriptions with those data sources which were used to generate this historical data.
- Aggregation related information: Related to analytic consumers that aggregate analytics from multiple NWDAF subscriptions:
  - (Set of) NWDAF identifiers of NWDAF instances used by the NWDAF service consumer when aggregating multiple analytic subscriptions.

#### ML Model related information:

- ID(s) of NWDAF(s) containing MTLF: Instance/Set ID(s) of the NWDAF(s) containing MTLF from which the source NWDAF currently subscribes to the ML Model Information used for the analytics.
- Optionally, file address(es) of the trained ML Model(s), which is included only when the source NWDAF itself provides the trained ML Model(s) for the analytics subscription(s) for which the related analytics context is requested.
- Analytics Accuracy related information: The information is related to the parameters of the Analytics Accuracy Request Information and status of the analytics subscription due to NF consumer and NWDAF interactions pausing or resuming the analytics output. It includes:
  - Timestamp(s) of the last Analytics Accuracy Information provided to the analytics consumer(s). Value is set to 0 if no Analytics Accuracy Information had been sent yet;
  - Indication whether analytics subscription is paused;
  - Remaining time window of paused analytics subscription;
  - Ground truth information: data types and data sources of the ground truth per analytics used for the accuracy information computation.
- ML Model accuracy related information: The information is related to the parameters of the ML Model Accuracy (i.e. Analytics accuracy for an ML model as described in clause 6.2E.3.3) Subscription Information requested by a NWDAF containing MTLF. It includes:
  - original Subscription Correlation ID for the ML Model Accuracy Information associated with the ML Model and/or analytics ID at the source NWDAF containing AnLF;
  - Analytics ID, Target of Analytics Reporting and the corresponding Analytics filter associated with the original ML Model Monitoring subscription at the source NWDAF containing AnLF;
  - NWDAF containing AnLF NF ID of source NWDAF;
  - The parameters used for the subscription for ML Model Accuracy Information for the given ML Model at the source NWDAF containing AnLF.

# 6.1C NWDAF Registration/Deregistration in UDM

#### 6.1C.1 General

The procedures in this clause are applicable to UE-related analytics (e.g. UE mobility analytics) for some network deployments, e.g. such with an NWDAF co-located to an AMF or SMF, where the NWDAF is configured to register in UDM for the UEs that it is serving or collecting data for and for the related Analytics ID(s). The procedures in this clause are also applicable to analytics that are not UE-related, when the NWDAF collects UE-related data. This enables NWDAF service consumers to discover the NWDAF instance that is already serving the UE for one or more Analytics ID(s).

## 6.1C.2 NWDAF Registration in UDM

Figure 6.1C.2-1 shows the procedures for registration of the NWDAF in UDM for UE-related analytics or UE-related data collection.

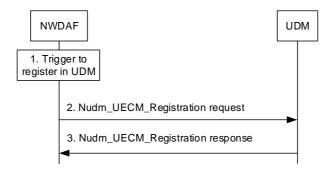


Figure 6.1C.2-1: NWDAF registration in UDM

- 1. NWDAF triggers a registration in UDM, e.g. based on local configuration in the NWDAF, the reception of a new Analytics subscription request, start of collection of UE related data or an OAM configuration action.
- 2. The NWDAF registers into UDM for the served UE, by sending Nudm\_UECM\_Registration request (UE ID, NWDAF ID, Analytics ID(s)).
- 3. UDM sends a response to NWDAF.

# 6.1C.3 NWDAF De-registration from UDM

Figure 6.1C.3-1 shows the procedures for deregistration of the NWDAF in UDM.

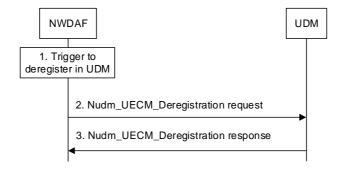


Figure 6.1C.3-1: NWDAF de-registration from UDM

- 1. NWDAF triggers a de-registration from a previous registration in UDM. This trigger may be that, e.g. the NWDAF has purged the analytics context for the UE (see clause 6.1B.4) for related Analytics ID(s), the NWDAF is no longer collecting data related to the UE, or an administrative action.
- 2. NWDAF sends Nudm\_UECM\_Deregistration request (UE ID, NWDAF ID, Analytics ID(s)).
- 3. UDM sends a response to NWDAF.

## 6.2 Procedures for Data Collection

#### 6.2.1 General

The Data Collection feature permits NWDAF to retrieve data from various sources (e.g. NF such as AMF, SMF, PCF, NSACF, GMLC and AF; OAM), as a basis of the computation of network analytics.

All available data encompass:

- OAM global NF data,
- Data available in NFs, e.g. behaviour data related to individual UEs or UE groups (e.g. UE reachability) and precomputed metrics covering UE populations (e.g. number of UEs present in a geographical area), per spatial and temporal dimensions (e.g. per region for a period of time),
- NF data available in the 5GC (e.g. NRF),
- Data available in AF.

When DCCF, ADRF, MFAF or NWDAF hosting DCCF or ADRF are present in the network, the data collection also follows the principles described in clause 6.2.6.

The NWDAF shall use at least one of the following services:

- the Generic management services as defined in TS 28.532 [6], the Performance Management services as defined in TS 28.550 [7] or the Fault Supervision services as defined in TS 28.545 [9], offered by OAM in order to collect OAM global NF data.
- the Exposure services offered by NFs in order to retrieve data and other non-OAM pre-computed metrics available in the NFs.
- Other NF services in order to collect NF data (e.g. NRF)
- DCCF data management service to retrieve data using DCCF.

The NWDAF shall obtain the proper information to perform data collection for a UE identified by a SUPI, a group of UEs identified by an Internal-Group-Id or any UE:

- For an Analytics ID, NWDAF is configured with the corresponding NF Type(s) and/or event ID(s) and/or OAM measurement types.
- NWDAF shall determine which NF instance(s) of the relevant NF type(s) are serving the UE, the group of UEs identified by an Internal-Group-Id or any UE, taking into account the S-NSSAI(s) and area of interest as defined in clause 7.1.3 of TS 23.501 [2].
- NWDAF invokes Nnf\_EventExposure\_Subscribe services to collect data from the determined NF instance(s) and/or triggers the procedure in clause 6.2.3.2 to subscribe to OAM services to collect the OAM measurement.

The NWDAF performs data collection from an AF directly as defined in clause 6.2.2.2 or via NEF as defined in clause 6.2.2.3. According to the data collection request, the AF may further perform data collection from UE (see clause 6.4.2 and clauses 6.5.2-6.5.4) as defined in clause 6.2.8.

The NWDAF shall be able to discover the events supported by a NF.

Data collection procedures enables the NWDAF to efficiently obtain the appropriate data with the appropriate granularity.

When a request or subscription for statistics or predictions is received, the NWDAF may not possess the necessary data to perform the service, including:

- Data on the monitoring period in the past, which is necessary for the provision of statistics and predictions matching the Analytics target period.
- Data on longer monitoring periods in the past, which is necessary for model training.

Therefore, in order to optimize the service quality, the NWDAF may undertake the following actions:

- The NWDAF may return a confidence parameter as stated in clause 6.1.3 expressing the confidence in the prediction produced. Prediction may be returned with zero confidence as described below. This confidence is likely to grow in the case of subscriptions.
- The value of the confidence depends on the level or urgency expressed by the parameter "preferred level of accuracy" as listed in clause 6.1.3, the parameter "time when analytics information is needed" as listed in clause 6.1.3 and the availability of data. If no sufficient data is collected to provide an estimation for the preferred level of accuracy before the time deadline, the service shall return a zero confidence. Otherwise, the NWDAF may wait until enough data is collected before providing a response or a first notification.
- In order to be prepared for future requests on analytics from NFs/OAM, the NWDAF, upon operator configuration, may collect data on its own initiative, e.g. on samples of UEs and retain the data collected in the data storage.
- NOTE 1: The NWDAF can send an error response to the analytics consumer to indicate that statistics are unavailable if the NWDAF was not prepared for future requests and did not collect data on its own initiative.

The volume and maximum duration of data storage is also subject to operator configuration.

The NWDAF may decide to reduce the amount of data collected to reduce signalling load, by either prioritizing requests received from analytics consumers, or reducing the extent (e.g. duration, scope) of data collection, or modifying the sampling ratios. When using sampling ratio, the NWDAF may, depending on the analytics required and based on local configuration, provide additional partitioning criteria to the NFs to allow for a better UEs representation and to request that the NFs first partition the UEs before applying sampling ratio (see Event Reporting Information as specified in TS 23.502 [3]). The NWDAF may provide one or multiple partitioning criteria in its request for data collection from NFs.

In order to optimize the performance and accuracy of data collection and reporting and reduce the impact on data producers, the NWDAF may request subscriptions to the NFs with the variable reporting periodicity parameter. Depending on the condition provided as part of this parameter, different reporting periodicity are used. The condition is the load of the NF.

The NWDAF may skip data collection phase when the NWDAF already has enough information to provide requested analytics.

The data which NWDAF may collect is listed for each analytics in input data clause and is decided by the NWDAF.

NOTE 2: NWDAF can skip data collection phase for some specific input data per the requested analytics e.g. when some of the data is already available at NWDAF for the requested analytics, or when NWDAF considers that some of the data is not needed at all to provide the requested analytics as per the analytics consumer request (e.g. based on preferred level of accuracy or based on the time when analytics are needed).

Event exposure subscriptions for data collection from the AMF and the SMF may need to survive after the removal of UE context in the AMF including event exposure subscriptions, or upon the creation of new UE context in AMF or SMF. In order for event exposure subscriptions in AMF and SMF to be (re)created in these cases, the NWDAF may subscribe to the events in AMF and/or SMF via UDM for a UE identified by a SUPI or a group of UEs identified by an Internal-Group-Id, as specified in clause 4.15.4.4 of TS 23.502 [3].

In hierarchical interactions among NWDAFs, without standalone DCCF, or co-located DCCF, the efficiency of data collection can be achieved by inter-NWDAF instance cooperation among NWDAF instances on different levels of the hierarchy. An efficient data collection means that the same data required for the same Analytics ID or different Analytics IDs should not be collected multiple times by the different NWDAFs of the hierarchy.

## 6.2.2 Data Collection from NFs

#### 6.2.2.1 General

The Data Collection from NFs is used by NWDAF to subscribe/unsubscribe at any 5GC NF to be notified for data on a set of events.

The Data Collection from NFs is based on the services of AMF, SMF, UDM, PCF, NRF, NSACF, UPF and AF (possibly via NEF):

- Event Exposure Service offered by each NF as defined in clause 4.15 and clause 5.2 of TS 23.502 [3].
- other NF services (e.g. Nnrf\_NFDiscovery and Nnrf\_NFManagement in NRF as defined in clause 4.17 of TS 23.502 [3])

This data collection service is used directly in order to retrieve behaviour data for individual UEs or groups of UEs (e.g. UE reachability) and also to retrieve global UE information (e.g. Number of UEs present in a geographical area).

Reference in TS 23.502 [3] Service producer Service Namf\_EventExposure (NOTE 2) 5.2.2.3 AMF 5.2.3.5 SMF Nsmf\_EventExposure (NOTE 2) 5.2.8.3 5.2.3.5 PCF Npcf\_EventExposure (for a group of UEs 5.2.5.7 identified by an Internal-Group-Id or any UE) Npcf\_PolicyAuthorization\_Subscribe (for a specific UE) UDM Nudm\_EventExposure 5.2.3.5 **NEF** Nnef\_EventExposure 5.2.6.2 AF Naf\_EventExposure 5.2.19.2 NRF Nnrf\_NFDiscovery 5.2.7.3 Nnrf\_NFManagement 5.2.7.2 **NSACF** Nnsacf\_SliceEventExposure 5.2.21.4 **UPF** Nsmf\_EventExposure or 5.2.8.3 Nupf\_EventExposure 5.2.26.2

Table 6.2.2.1-1: NF Services consumed by NWDAF for data collection

NOTE 1: There is no data collected from the PCF by the NWDAF defined in this Release of the specification.

NOTE 2: The Nudm\_EventExposure can be used when NWDAF uses the procedures specified in clause 4.15.4.4 of TS 23.502 [3] to subscribe to AMF or SMF via UDM.

To retrieve data related to a specific UE, there are two cases:

- If no Area of interest is indicated by the consumer, the NWDAF shall first determine which NF instances are serving this UE as stated in table 6.2.2.1-2 unless the NWDAF has already obtained this information due to recent operations related to this UE.
- If an Area of interest is indicated, the NWDAF can:
  - First determine the AMF serving the UE and subscribe UE location from the AMF. Once the UE is in or moves into the Area of interest, the NWDAF determines which NF instances are serving this UE as stated in table 6.2.2.1-2 unless the NWDAF has already obtained this information due to recent operations related to this UE; or
  - Determine the NF instances of a given type of network function serving the Area of interest by querying NRF unless the NWDAF has already obtained this information due to recent operations related to this UE.

Table 6.2.2.1-2: NF Services consumed by NWDAF to determine which NF instances are serving a UE

| Type of NF instance (serving the UE) to determine | NF to be contacted by NWDAF | Service          | Reference in<br>TS 23.502 [3] |
|---|-----------------------------|------------------|-------------------------------|
| UDM   | NRF                         | Nnrf_NFDiscovery | 5.2.7.3                       |
| AMF   | UDM                         | Nudm_UECM        | 5.2.3.2                       |
| SMF   | UDM                         | Nudm_UECM        | 5.2.3.2                       |
| BSF   | NRF                         | Nnrf_NFDiscovery | 5.2.7.3                       |
| PCF   | BSF                         | Nbsf_Management  | 5.2.13.2                      |
| NEF   | NRF                         | Nnrf_NFDiscovery | 5.2.7.3                       |
| NWDAF   | NRF                         | Nnrf_NFDiscovery | 5.2.7.3                       |
|   | UDM                         | Nudm_UECM        | 5.2.3.2                       |
| NSACF   | NRF                         | Nnrf_NFDiscovery | 5.2.7.3                       |
| GMLC  | NRF                         | Nnrf_NFDiscovery | 5.2.7.3                       |

The UDM instance should be determined using NRF as described in clause 4.17.4 of TS 23.502 [3] and factors to determine as described in clause 6.3.8 of TS 23.501 [2].

The AMF, SMF instances should be determined using a request to UDM providing the SUPI. To determine the SMF serving a PDU session, the NWDAF should in addition provide the DNN and S-NSSAI of this PDU Session; otherwise the NWDAF will obtain a list of possibly multiple SMFs (e.g. one per PDU session).

The BSF instance is discovered and selected according to TS 23.503 [4], clause 6.1.1.2.2.

The PCF instance serving UE PDU Session(s) should be determined using a request to BSF with the allocated UE address, DNN and S-NSSAI.

To collect data (e.g. current number of UEs registered in a network slice or current number of PDU Sessions established in a network slice) from NSACF, the NSACF instance is discovered and selected as specified in clause 6.3.22 of TS 23.501 [2].

When NWDAF receives a request addressed to an Internal Group ID from a consumer, NWDAF may need to initiate data collection from several 5GC NFs, such as AMF, SMF, UDM, PCF, AF (e.g. via NEF), etc. If an Area of interest is indicated by the consumer, NWDAF may first discover the instances of the required 5GC NFs deployed in the network, e.g. by querying NRF, otherwise:

- For discovering the UDM, NWDAF can query the NRF with the Internal Group ID as the target of the query.
- For discovering AMF, SMF, PCF, NEF and AF, NWDAF may need to discover all instances in the network by using the Nnrf\_NFDiscovery service.

NOTE 3: It is assumed that all members of an Internal Group ID belong to the same UDM Group ID. NWDAF can select a UDM instance supporting the UDM Group ID of the Internal Group ID.

Then, if data needs to be collected from AMF, SMF, UDM and PCF, NWDAF may initiate the data collection with the Internal Group ID as the target, e.g. subscribing to the event exposure in all the instances of a given type of network function. This subscription to all the instances of required source of event exposure handles, e.g. mobility of UEs across AMFs, or initiation of new PDU sessions with different allocated SMFs.

For collecting data from AMF and SMF, NWDAF may collect the data directly from AMF and/or SMF, or indirectly via UDM, according to clause 4.15.4.4 of TS 23.502 [3]. The indirect method may be required if the event exposure subscription from NWDAF, for a UE identified by a SUPI or a group of UEs identified by an Internal-Group-Id, needs to survive the removal of UE context in the AMF including event exposure subscriptions, or upon the creation of new UE context in AMF or SMF serving the UE or group of UEs. In this case the UDM is responsible for (re)creating event exposure subscriptions in AMF and SMF, as specified in clause 4.15.4.4 of TS 23.502 [3].

The NWDAF determines to collect data from a trusted AF supporting specific Event ID(s) and serving specific application(s) based on internal configuration.

The NEF instance that is serving a specific network slices and/or applications of a UE should be determined using NRF using optional request parameters as defined in clause 6.3.14 of TS 23.501 [2]

If NWDAF needs to collect data from an AF deployed outside the operator's domain, the NWDAF shall contact NEF with a SUPI or Internal Group ID as the target of the data collection. NEF is responsible for translation of SUPI to GPSI, or internal to external group identifiers, by querying UDM, prior to contacting the AF.

NOTE 4: It is assumed that an AF is provisioned with the list of UE IDs (GPSIs or SUPIs) belonging to an External or Internal Group ID.

NWDAF may collect data directly from UPF for a specific UE identified by a SUPI, a group of UEs identified by an Internal-Group-Id or for any UEs as defined in clause 5.8.2 of TS 23.501 [2] and in clause 4.15.4.5 of TS 23.502 [3]. NWDAF may subscribe indirectly via SMF or directly to UPF for UPF data collection. The direct subscription to UPF event exposure service is only for data collection for any UE e.g., to collect user data usage information for NWDAF NF Load analytic, and if the subscription is not including any of the parameters described in Table 4.15.4.5.1-1 of TS 23.502 [3].

NOTE 5: To avoid causing high UPF load due to extensive reporting related to all traffic flows, the NWDAF can preferably subscribe for reporting for some UEs only.

To retrieve required data for any UE, the NWDAF may subscribe to events from the AMF and/or SMF instances or UPF instances it has determined, setting the target of event reporting to "any UE" and the event filter(s) according to the Analytics Filter Information. Alternatively, if the required data is communication related and for any UE within an Area of interest, the NWDAF can obtain from the AMF instances it has determined a list of UEs located within the Area of Interest. Based on the obtained UE list, for each UE in the list, the NWDAF retrieves the SMF serving the UE and the NWDAF subscribes to data from the relevant SMF per each specific UE. The indirect event exposure subscription to AMF or SMF via UDM is not available for "any UE" or "any UE within an Area of interest". If the required data is collected from UE via AF as described in clause 6.2.8 and the Target of Analytics Reporting received from consumer is "any UE", the NWDAF may either set the target of event reporting to "any UE" in the data collection request to the AF, or may determine a list of UEs from AMF and/or SMF based on the Analytics Filter Information and send the data collection request to the AF for the determined list of UEs.

NOTE 6: If NWDAF requires collecting data from either AMF or SMF for "any UE" or "any UE within an Area of Interest", NWDAF can use the direct Event Exposure subscription to AMF or SMF, since subscriptions to "any UE" or "any UE within an Area of Interest" are persistent by nature in AMF or SMF, due to not being linked to a UE context.

To retrieve data related to "any UE" based on Analytics Filter Information, the NWDAF shall first determine which NF instances are matching the Analytics Filter Information (see clause 6.7.5.1) as stated in table 6.2.2.1-3 unless the NWDAF has already obtained this information due to recent operations related to this Analytics Filter Information.

Table 6.2.2.1-3: NF Services consumed by NWDAF to determine which NF instances are matching analytics filters

| Type of NF instance<br>(matching analytics filters)<br>to determine | NF to be contacted by NWDAF | Service          | Reference in<br>TS 23.502 [3] |
|---|-----------------------------|------------------|-------------------------------|
| AMF, SMF, UPF   | NRF                         | Nnrf_NFDiscovery | 5.2.7.3                       |

To retrieve data related to Analytics IDs for "any UE" with Analytics Filter Information defining an area of interest in terms of TA or Cells and/or with specific S-NSSAIs, NWDAF requires the network slice association information to properly determine the AMFs to collect data from as well as the proper queries to OAM for data collection.

NOTE 7: Examples of Analytics ID requiring NWDAF to use network slice association information for data retrieval are: network performance clause 6.6.1; user data congestion clause 6.8.1; QoS Sustainability clause 6.9; Dispersion Analytics clause 6.10.1; observed service experience for a Network Slice clause 6.4.1; and slice load analytics clause 6.3.

The network slice association information comprises the TAs associated with each AMF and for each TAI its associated access type, cells and list of supported S-NSSAIs (including indication of S-NSSAIs restricted by AMF). Additionally, the mapping of cells per TAI and supported S-NSSAIs (including indication of S-NSSAIs restricted by AMF) for TAI for each AMF can change and NWDAF shall obtain this accurate information in order to properly retrieve data for analytics generation.

In order to derive the network slice association information, NWDAF may be configured with the mapping of cells per TAI and the S-NSSAIs per TAI. NWDAF may subscribe to the "S-NSSAIs per TAI mapping" event exposed by AMF.

The NWDAF may use the configured information (when the analytics subscription or request is at cell granularity) and the area of interest in the analytics subscription or request to retrieve from AMF the list of supported S-NSSAIs (including indication of S-NSSAIs restricted by AMF) per TAI and access types per TAI for each AMF in the required area of interest. NWDAF consumes the "S-NSSAIs per TAI mapping" event exposed by AMF using, as target of event reporting, the list of TAIs based on the area of interest received in the Analytics Filter Information or identified by the mapping of the Cells per TAI matching to the Cell granularity included in the Analytics Filter Information. The AMF "S-NSSAIs per TAI mapping" event output contains, for each of the TAIs requested by NWDAF, its associated access type and the list of supported S-NSSAIs (including indication of S-NSSAIs restricted by AMF).

To retrieve data from SMFs for Analytics IDs subscription or requests for "any UE" including Analytics Filter Information with specific Applications, DNNs, DNAIs and area of interest per TA granularity, NWDAF shall first discover the SMF serving the area of interest via NRF.

NOTE 8: Examples of Analytics ID requiring NWDAF to collect data related to PDU sessions associated with an AoI with TA granularity are: network performance clause 6.6.1; user data congestion clause 6.8.1, QoS Sustainability clause 6.9.

NWDAF may directly consume events from the discovered and selected SMF using the event target set to "any PDU session" and event filters with the same parameters of the Analytics Filter Information, i.e. list of Application IDs and/or DNNs and/or DNAI and the area of interest related to the requested Analytics ID.

- When SMF supports the exchange of UE Location parameter when SMF interacts with AMF via Nsmf\_PDUSession\_Create/Update/CreateSMContext/UpdateSMContext due to session establishment, modification, or release, service request, or handover procedures (as defined in clause 5.2.8.2 of TS 23.502 [3]), SMF can directly map the PDU sessions to an AoI with TA granularity.
  - If there are any changes in PDU sessions in the area of interest, for the Application ID and/or DNN and/or DNAI subscribed by NWDAF, SMF notifies the detected changes to NWDAF via Nsmf\_EventExposure\_Notify service operation, enabling NWDAF to keep an updated map of SMF and PDU sessions associated with the Analytics Filter Information in an area of interest.
- 2. When SMF does not support the exchange of UE Location parameter when SMF interacts with AMF but supports the mapping of PDU sessions per TA (as defined in clause 5.6.11 of TS 23.501 [2]), SMF may subscribe to UE mobility event notifications of AMF as described in clause 5.3.4.4 of TS 23.501 [2] using event ID "UE moving in or out of Area of Interest" and Event Filters as described in Table 5.2.2.3.1-1 from TS 23.502 [3] to retrieve the list of SUPIs (and GPSIs if available) in the area of interest. Based on the retrieved list of SUPIs in the area of interest, SMF identifies the PDU sessions in the area of interest.
- 3. When SMF does not support the exchange of UE Location parameter when SMF interacts with AMF nor supports the mapping of PDU sessions per TA (as defined in clause 5.6.11 of TS 23.501 [2]), SMF rejects the request from NWDAF. Upon the reject, NWDAF identifies the need to create the mapping of PDU sessions per TA. NWDAF subscribes to UE mobility event notifications of AMF as described in clause 5.3.4.4 of TS 23.501 [2] using event ID "UE moving in or out of Area of Interest" and Event Filters as described in Table 5.2.2.3.1-1 from TS 23.502 [3] to retrieve the list of SUPIs (and GPSIs if available) in the area of interest. Based on the retrieved list of SUPIs in the area of interest, NWDAF subscribes to the SMFs serving the UEs in the area of interest and derives the mapping of PDU sessions per TA.

An NWDAF may require to discover and select other NWDAFs for UE related analytics. In this case, the NWDAF may discover from UDM if an NWDAF is already collecting data related to the UE, as specified in clauses 5.2 and 6.1C.

#### 6.2.2.2 Procedure for Data Collection from NFs

The procedure in Figure 6.2.2.2-1 is used by NWDAF to subscribe/unsubscribe at NFs in order to be notified for data collection on a related event (s), using Event Exposure Services as listed in Table 6.2.2.1-1. Depending on local regulation requirements, user consent for UE related data collection and usage of collected data may be required. User consent is defined for a specific purpose such as, e.g. analytics or model training. NWDAF checks user consent taking the purpose for data collection and usage of these data into account.

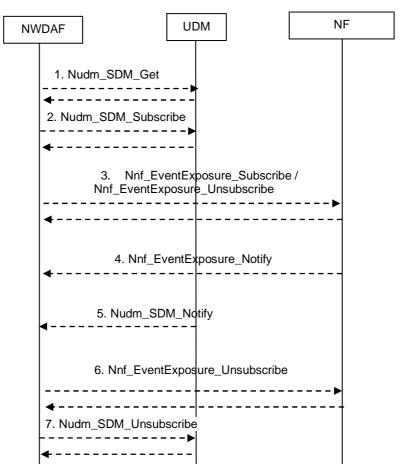


Figure 6.2.2.2-1: Event Exposure Subscribe/unsubscribe for NFs

- The NWDAF checks if data is to be collected for a user, i.e. SUPI or GPSI, then, depending on local policy and
  regulations, the NWDAF checks the user consent by retrieving the user consent information from UDM using
  Nudm\_SDM\_Get including data type "User consent". If user consent is not granted, NWDAF does not subscribe
  to event exposure for events related to this user and the data collection for this SUPI or GPSI stops here.
- 2. If the user consent is granted, the NWDAF subscribes to UDM to notifications of changes on subscription data type "User consent" for this user using Nudm\_SDM\_Subscribe.
- 3. The NWDAF subscribes to or cancels subscription for a (set of) Event ID(s) by invoking the Nnf\_EventExposure\_Subscribe/Nnf\_EventExposure\_Unsubscribe service operation.

NOTE 1: The Event ID(s) are defined in TS 23.502 [3].

4. If NWDAF subscribes to a (set of) Event ID(s), the NFs notify the NWDAF (e.g. with the event report) by invoking Nnf\_EventExposure\_Notify service operation according to Event Reporting Information in the subscription.

When the Reporting type is provided at step 1, the NWDAF determines that the events are disappeared, if the same events are included in the notification compared to the previous notification. Otherwise, NWDAF determines the events are newly appeared or changed. Also, the NWDAF restores the events that are not included in the notification, but included in the previous notification.

If the Granularity of dynamics is applied to the subscription, the NWDAF shall infer the events in the NF from the events in the previous notification with the applied Granularity of dynamics.

- NOTE 2: The Event Reporting Information are defined in TS 23.502 [3].
- NOTE 3: The NWDAF can use the immediate reporting flag as defined in Table 4.15.1-1 of TS 23.502 [3] to meet the request-response model for data collection from NFs.
- NOTE 4: This procedure is also used when the NWDAF subscribes for data from a trusted AF.

- 5. The UDM may notify the NWDAF on changes of user consent at any time after step 2.
- 6-7. If user consent is no longer granted for a user for which data has been collected, the NWDAF shall unsubscribe to any Event ID to collect data for that SUPI or GPSI. The NWDAF may unsubscribe to be notified of user consent updates from UDM for each SUPI for which data consent has been revoked.

# 6.2.2.3 Procedure for Data Collection from AF via NEF

The procedure in Figure 6.2.2.3-1 is used by NWDAF to collect information from AFs via the NEF.

NOTE 1: In this release, AF registers its available data to NWDAF via OAM configuration at NEF.

The AF collectable data information includes: AF identification, AF service identification (e.g. endpoint information of Naf\_EventExposure), available data to be collected per application (e.g. identified by Event ID(s)).

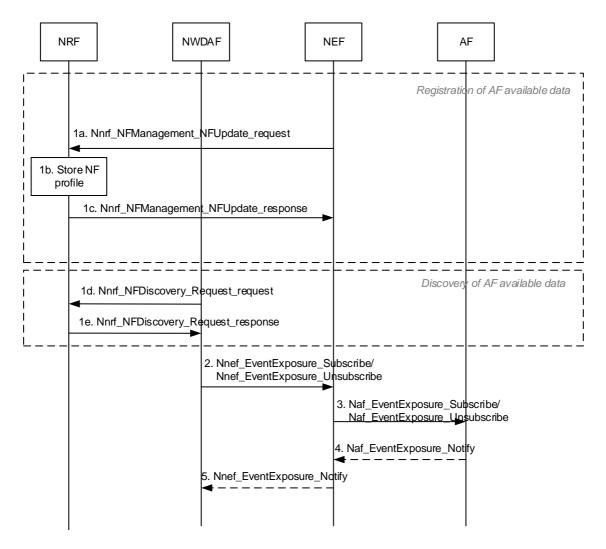


Figure 6.2.2.3-1: Data Collection from AF via NEF

- 1a. After the registration of AF available data at the NEF, NEF generates an event exposure with new EventID to be associated with available data to be collected from AF. NEF invokes Nnrf\_NFManagement\_NFUpdate\_request service operation to update its registration information (i.e. NEF Profile) including the generated Event IDs and associated AF identification, Application ID(s) (i.e. internal application ID or Application ID known in the core network).
- 1b. NRF stores the received NEF registration information including available data to be collected from AF.
- 1c. NRF sends Nnrf\_NFManagement\_NFUpdate\_response message to NEF.

- 1d. When NWDAF needs to discovery the available data from AFs and the appropriated NEF to collect this data, NWDAF invokes Nnrf\_NFDiscovery\_Request\_request service operation using as parameter the NEF NF Type and optionally a list of Event ID(s), AF identification and application ID.
- 1e. NRF matches the requested query for available data in AFs with the registered NEF Profiles and sends this information via Nnrf\_NFDiscovery\_Request\_response message to NWDAF.
- NOTE 2: After the registration and discovery procedure described in step 1, NWDAF identifies the available data per AF per application and the proper NEF to collect such data.
- 2. The NWDAF subscribes to or cancels subscription to data in AF via NEF by invoking the Nnef\_EventExposure\_Subscribe or Nnef\_EventExposure\_Unsubscribe service operation. If the event subscription is authorized by the NEF, the NEF records the association of the event trigger and the NWDAF identity.

NOTE 3: User consent for retrieving user data in AF via NEF is not specified in this Release.

- 3. Based on the request from the NWDAF, the NEF subscribes to or cancels subscription to data in AF by invoking the Naf EventExposure Subscribe/ Naf EventExposure Unsubscribe service operation.
- 4. If the NEF subscribes to data in AF, the AF notifies the NEF with the data by invoking Naf\_EventExposure\_Notify service operation according to Event Reporting Information in the subscription.
- 5. If the NEF receives the notification from the AF, the NEF notifies the NWDAF with the data by invoking Nnef\_EventExposure\_Notify service operation.

When the Reporting type is provided at step 2, the NWDAF determines that the events are disappeared, if the same events are included in the notification compared to the previous notification. Otherwise, NWDAF determines the events are newly appeared or changed. Also, the NWDAF restores the events that are not included in the notification, but included in the previous notification.

If the Granularity of dynamics is applied to the subscription, the NWDAF shall infer the events in the AF from the events in the previous notification and the applied Granularity of dynamics.

## 6.2.2.4 Procedure for Data Collection from NRF

The NWDAF may use NRF services and Network Function service framework procedures as defined in clause 5.2.7 and clause 4.17 of TS 23.502 [3]:

- NF/NF service discovery procedures (in clause 4.17.4 of TS 23.502 [3]) and Nnrf\_NFDiscovery service (in clause 5.2.7.3 of TS 23.502 [3]) in order to dynamically discover the NF instances and services of the 5GC. Such discovery may be performed on a periodic basis, or under specific circumstances.
- NF/NF service status subscribe/notify procedures (in clause 4.17.7 of TS 23.502 [3]) and Nnrf\_NFManagement service (in clause 5.2.7.2 of TS 23.502 [3]) in order to be notified about the change of status of an NF. The service operations for obtaining status information are NFStatusSubscribe and NFStatusNotify, from the Nnrf\_NFManagement service.

The information provided by the NRF to the NWDAF with the Nnrf\_NFDiscovery\_Request and the Nnrf\_NFManagement\_NFStatusNotify service operations are the NF Profiles which includes the supported NF services of the NFs as defined in clause 5.2.7 of TS 23.502 [3]. Such information can be used to set-up and maintain a consistent network map for data collection and also, depending on use cases, to perform analytics (e.g. NF load analytics as defined in clause 6.5).

If the NWDAF needs to keep a consistent network map for data collection from AMFs and SMFs associated with a list of TAs, the subscription to NFStatusSubscribe for such type of NFs may include the list of TAs target.

# 6.2.2.5 Usage of Exposure framework by the NWDAF for Data Collection

The NWDAF shall subscribe (and unsubscribe) to the Event exposure service from NF(s) reusing the framework defined in clause 4.15 of TS 23.502 [3]. This framework supports the possibility for the NWDAF to indicate/request:

- Events-ID: one or multiple Event ID(s) defined in clause 4.15.1 of TS 23.502 [3].

- Target of Event Reporting defined in clause 4.15.1 of TS 23.502 [3]: the objects targeted by the Events. Within a subscription, all Event ID(s) are associated with the same target of event reporting. In the case of NWDAF, the objects can be UE(s), UE group(s), any UE.
- Event Filter Information defined in clause 4.15.1 of TS 23.502 [3]. This provides Event Parameter Types and Event Parameter Value(s) to be matched against.
- A Notification Target Address and a Notification Correlation ID as defined in clause 4.15.1 of TS 23.502 [3], allowing the NWDAF to correlate notifications received from the NF with this subscription.
- Event Reporting Information described in TS 23.502 [3] Table 4.15.1-1 and the muted stored events exposure as described in clause 6.2.7.
- Expiry time as defined in clause 4.15.1 of TS 23.502 [3].

The notifications from NFs/AFs contain on top of the Event being reported (and of dedicated information being reported for this event):

- the Notification Correlation Information provided by the NWDAF in its request;
- (when applicable to the event) the Target Id e.g. UE ID (SUPI and if available GPSI); and
- a time stamp.

## 6.2.3 Data Collection from OAM

#### 6.2.3.1 General

The NWDAF may collect relevant management data from the services in the OAM as configured by the PLMN operator.

- NG RAN or 5GC performance measurements as defined in TS 28.552 [8].
- 5G End to end KPIs as defined in TS 28.554 [10].

NWDAF shall use the following services to have access to the information provided by OAM:

- Generic performance assurance and fault supervision management services as defined in TS 28.532 [6].
- PM (Performance Management) services as defined in TS 28.550 [7].
- FS (Fault Supervision) services defined in TS 28.545 [9].
- MDA (Management Data Analytics) services as defined in TS 28.104 [45].

NWDAF can be configured to invoke the existing OAM services to retrieve the management data that are relevant for analytics generation, which may include NF resources usage information (e.g. usage of virtual resources assigned to NF) and NF resource configuration information (e.g. life cycle changes of NF resource configurations).

OAM perform the required configuration in order to provide the information requested by NWDAF subscription and perform the tasks, e.g. data collection, data processing, associated with the subscribed request from NWDAF.

Another usage of OAM services is when the target of data collection is a specific UE, via MDT based retrieval of information:

- Measurement collection for MDT as defined in TS 37.320 [20].

In addition, NWDAF can be provisioned with Network Slice information (i.e. as defined by the NetworkSliceInfo specified in TS 28.541 [22]) when a slice is created or modified via OAM configuration mechanism as defined in TS 28.541 [22] and TS 28.532 [6].

#### 6.2.3.2 Procedure for data collection from OAM

The interactions between NWDAF and OAM for data collection are illustrated in Figure 6.2.3.2-1. The data collected depends on the use cases. This figure is an abstraction of the OAM performance data file report management service

that is defined TS 28.532 [6]. The actual OAM services and reporting mechanisms that NWDAF may use are specified in TS 28.532 [6], TS 28.550 [7] or TS 28.545 [9].

The flow below assumes the NWDAF is configured on how to subscribe to the relevant OAM services.

OAM shall setup the required mechanisms to guarantee the continuous data collection requested by NWDAF.

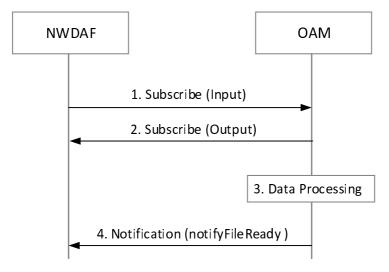


Figure 6.2.3.2-1: Data collection from OAM performance data file report management service

- 1. (Clause 11.6.1.3.2 of TS 28.532 [6]), Subscribe (Input): NWDAF subscribes to the notification(s) related to the services provided by the management service producer.
- 2. (Clause 11.6.1.3.3 of TS 28.532 [6]), Subscribe (Output): management service producer responses to NWDAF if the subscription is success or not.
- 3. Data processing: management service producer prepares the data.
- 4. (Clause 11.6.1.1 of TS 28.532 [6]), Notification (notifyFileReady): management service producer notifies the data file is ready.

As the final step, NWDAF fetches data by using file transfer protocols as defined in clause 11.6.2 of TS 28.532 [6].

- NOTE 1: The call flow in Figure 6.2.3.2-1 only shows a subscribe/notify model for the simplicity, however both request-response and subscription-notification models are supported.
- NOTE 2: NWDAF is configured with the Network Slice information (i.e. NetworkSliceInfo including a DN (Distinguished Name) of the NetworkSlice managed object relating to the network slice instance associated to the S-NSSAI and NSI ID if available as defined in TS 28.541 [22]). Based on the Network Slice information, the NWDAF uses the DN (Distinguished Name) to identify the NetworkSlice managed object relating to the S-NSSAI and NSI ID and consumes the management services to collect the management data of the corresponding NetworkSlice managed object (including the NRF serving the network slice, the NFs associated to the network slice, the NG RAN or 5GC performance measurements defined in TS 28.552 [8], or the 5G end to end KPIs defined in TS 28.554 [10]) provided by OAM.

# 6.2.4 Correlation between network data and service data

The Correlation information in input data which helps NWDAF correlate data from different NFs, OAM and UE application(s) is defined in Table 6.2.4-1, which is subject to all the network data analytics.

NOTE: For simplicity, the correlation information is not listed in the input data per network data analytics.

**Table 6.2.4-1: Correlation Information** 

| Correlation Information                | Description  |
|--|--|
| Timestamp, IP address 5-tuple          | To correlate the data from AF and from UPF.            |
| Timestamp, AN Tunnel Info              | To correlate the UPF data and OAM data which are       |
| (Clause 9.3.2.2 of TS 38.413 [16])     | reported by the RAN (e.g. Reference Signal Received    |
|  | Power or Reference Signal Received Quality as defined  |
|  | in Table 6.4.2-3).                                     |
| Timestamp, UE IP address               | To correlate the data from UPF and SMF.                |
| Timestamp, SUPI                        | To correlate data from SMF and AMF.                    |
| Timestamp, SUPI, DNN, S-NSSAI or UE    | To correlate data from SMF and PCF.                    |
| IP address                             |  |
| Timestamp, RAN UE NGAP ID              | To correlate the AMF data and OAM data reported by the |
| (Clause 9.3.3.2 of TS 38.413 [16]) and | RAN (e.g. Reference Signal Received Power or           |
| Global RAN Node ID                     | Reference Signal Received Quality as defined in Table  |
|  | 6.4.2-3).  |
| Timestamp, Application ID, IP filter   | To correlate data from SMF and AF.                     |
| information                            |  |
| Timestamp, UE ID or UE IP address,     | To correlate data from 5GC NF (e.g. SMF, UPF) and UE   |
| Application ID, DNN, S-NSSAI           | Application (via the AF).                              |

# 6.2.5 Time coordination across multiple NWDAF instances

# 6.2.5.1 General

In certain situations, an NWDAF Service Consumer expects to receive analytics by a given time. In particular, when an NWDAF Service Consumer is collecting analytics from multiple NWDAFs it can be necessary to coordinate the timing of the analytics subscriptions/requests from the same NWDAF service consumer.

The NWDAF Service Consumer may use "time when analytics information is needed parameter" (see clause 6.1.3) as a dynamic timer to indicate the minimum time it is going to wait (i.e. "expected waiting time") to receive the analytics collected from multiple NWDAFs.

# 6.2.5.2 Procedure for time coordination across multiple NWDAFs

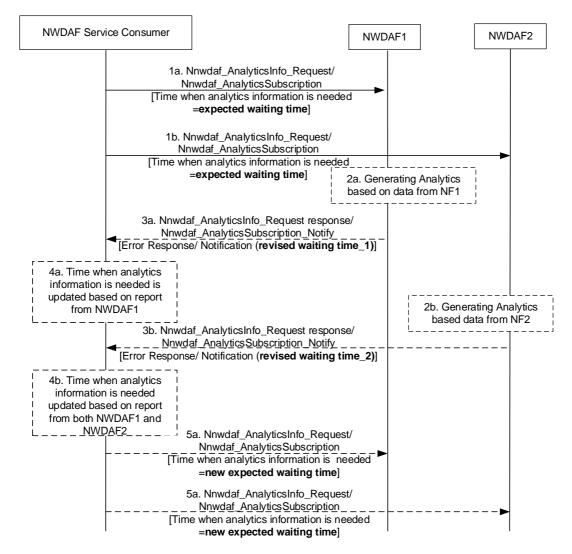


Figure 6.2.5.2-1: Procedure for time coordination across multiple NWDAFs

- 1a-1b. On analytics request/subscription, the NWDAF Service Consumer indicates the "expected waiting time" as "time when analytics is needed" parameter to those NWDAFs from which it expects to receive the analytics latest by the "time when analytics information is needed", using either the Nnwdaf\_AnalyticsInfo\_Request or Nnwdaf\_AnalyticsSubscription\_Subscribe service operation. In this example, NWDAF1 and NWDAF2 are the NWDAFs with tightly related analytics.
- 2a-2b. Each NWDAF generates the requested analytics based on data from related data sources. In this example, NWDAF1 processes data from NF1 and NWDAF2 processes data from NF2.
- 3a-3b. [Optional] If the "time when analytics information is needed" is reached, but the analytics is not ready, the NWDAF may indicate a "revised waiting time" in an error response or error notification, using either the Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify service operation, depending on the service used in step 1.
- 4a-4b. [Optional] On receiving an indicated "revised waiting time" as part of an error response or error notification, the NWDAF Service consumer may use the "revised waiting time" to update the "time when analytics information is needed" parameter for future analytics requests/subscriptions to the same group of NWDAFs.
- 5a-5b. If the value of the "time when analytics information is needed" was updated in step 4, the NWDAF Service Consumer, in future requests or within current subscription, indicates the new expected waiting time as "time when analytics information is needed" to all NWDAFs with tightly related analytics, using either the Nnwdaf\_AnalyticsInfo\_Request or Nnwdaf\_AnalyticsSubscription\_Subscribe service operation.

- NOTE 1: Steps 3a-3b and steps 4a-4b may happen in different orders depending on the timing of analytics collection (from other NFs, e.g. NF1 or NF2) or processing.
- NOTE 2: Parameter "time when analytics is needed" as in steps 1a-1b, 3a-3b, 4a-4b and 5a-5b can be per individual Analytics ID.

# 6.2.6 Enhanced Procedures for Data Collection

#### 6.2.6.0 General

Data collection may be performed via DCCF, MFAF and ADRF, when such NFs are deployed, or via NWDAF (hosting DCCF and/or ADRF). The NF services used for DCCF, MFAF, ADRF and the NWDAF (hosting DCCF and/or ADRF) are listed in Table 6.2.6.0-1.

Service producer Service Reference NWDAF Nnwdaf\_DataManagement 7.4 DCCF Ndccf\_DataManagement 8.2 Ndccf\_ContextManagement 8.3 **MFAF** Nmfaf\_3daDataManagement 9.2 Nmfaf\_3caDataManagement 9.3 **ADRF** Nadrf\_DataManagement 10.2

Table 6.2.6.0-1: NF Services for the enhanced data collection procedures

DCCF, MFAF and NWDAF hosting DCCF shall use the same services listed in clause 6.2.1 from OAM and NFs (including AFs directly or via NEF) to collect data. Additionally, the new services for data exposure from DCCF, MFAF, ADRF and NWDAF (hosting DCCF and/or ADRF) as specified in clause 8, 9, 10 and 7.4 may also be used for data collection.

The NWDAF, DCCF, ADRF shall obtain the proper information to perform data collection for a UE identified by a SUPI, a group of UEs identified by an Internal-Group-Id or any UE following the principles of clause 6.2.1.

When NWDAF or DCCF need to discover the sources of data collection, they follow the principles defined in clause 6.2.2.1 in the case of data collection from NFs; in clause 5A.2 in the case of DCCF deployed in the network and requiring data from NWDAFs or ARDFs that independently collect data; clause 5.2 in the case of NWDAF (hosting DCCFs) and collecting data from other NWDAFs.

## 6.2.6.1 Bulked Data Collection

#### 6.2.6.1.0 General

NWDAFs may provide bulked data to consumers as an alternative to providing individual events (i.e. subscription to multiple event IDs to obtain the data required for an analytics generation).

The bulked data is the set of data samples from the collected event notifications to be used for an Analytics ID for a consumer of NWDAF. A data sample may be a notification, in which case the bulked data may comprise a group of received notifications, or a data sample may be information extracted from a notification and processed, in which case the bulked data comprises the processed information. The bulked data can be used for the purpose of analytics inference or ML Model training.

The bulked data is generated based on the set of data samples from event notifications collected from NFs/OAM and the properties for the selection of such data, Consumers of bulked data or operators may define different rules (e.g. aggregation formats or processes) for generation of bulked data for training or inference.

NFs capable to expose bulked data have the following capabilities:

- Exposing runtime collected data (e.g. data from NFs/AFs/OAM retrieved via notification mechanisms), or historical collected data (e.g. data from NFs/AFs/OAM that were at some point collected, then stored), or both;
- Applying selection processes of data samples or processing mechanisms for the generation of the bulked data
  according to bulked data formatting and processing instructions provided by consumers of the bulked data or
  defined by an operator. The bulked data formatting and processing instructions may include the formatting and

processing instructions as specified in clause 5A.4 and further instructions as described in clause 6.2.6.1.1. Such instructions define the allowed and/or restricted properties and/or processes to be applied to the set of data from the collected event notifications to be used for the bulked data, the properties and processes being:

- The properties associated with the Bulked data formatting and processing are filters over the data to be associated with the bulked data. The properties for bulked data are defined as per Data Specification parameters in clause 6.2.6.1.1.
- The processes associated with the bulked data formatting and processing are mechanisms applied to the data to be associated with the bulked data and are defined according to the Formatting and Processing parameter defined in clause 5A.4 and the further instructions defined in clause 6.2.6.1.1. These processes may comprise: definition if data to be used for composing the bulked data is directly extracted from collected events, or the data is extracted from event notification of the same event type and pre-processed, or both; applying anonymization of data fields in the bulked data to avoid exposing undesired information, aggregation levels (i.e. per cell, per UEs, or temporal, e.g. per hours or days).

NOTE: Pre-process data from collected event notifications of the same event type refers to the usage of data manipulation processes in order to aggregate, concatenate, process data from multiple collected event notifications from the same event type that results in a single processed value.

 Having the mapping of the Service Operation that have to be used for collecting data of the bulked data associated with an Analytics ID.

#### 6.2.6.1.1 Services for Bulked Data Collection

NWDAF may expose the Nnwdaf\_DataManagement\_Subscribe service operation with a request for bulked data including the following input parameters:

- Data Specification:
  - Event ID(s) or Analytics ID(s);
    - In the case of Event IDs, the Data Specification fields includes the fields Target of Event Reporting and Event Filter Information as defined in clause 4.15.1 of TS 23.502 [3] and Bulked Data Type parameter, which can be set to "raw data samples" (i.e. data is directly extracted from collected events) or "preprocessed data samples" (i.e. data from collected events is processed and the processed data is included in the bulked data) or a combination of both;
    - If the Analytics ID(s), the Data Specification fields contain:
      - Target of Reporting including a tuple with Analytics ID; Bulked Data Type, which can be set to "raw data samples" (i.e. data is directly extracted from collected events) or "pre-processed data samples" (i.e. data from collected events is processed and the processed data is included in the bulked data) or a combination of both:
      - Filter Information may include fields related to the Analytics ID such as: Target of Analytics Information (e.g. any UE, list of UEs, groups of UEs); Analytics Filter Information (e.g. area of interest, DNN, Application, S-NSSAI). The Analytics ID also determines the Service Operation from NFs, OAM to be used and type of data (i.e. Event IDs, OAM measurements) to be collected and associated with the bulked data.
- Service Operation in the case of Event ID, defines the service operation to be used by NWDAF, DCCF, MFAF, or ADRF to request data (e.g. Namf\_EventExposure\_Subscribe or OAM Subscribe)
- Bulked Data Formatting and Processing: the parameters defined in clause 4.15.1 of TS 23.502 [3] for Event Reporting Information and Formatting and Processing instructions as defined in clause 5A.4.
- A Notification Target Address (+ Notification Correlation ID), where the Notification Correlation ID is the unique identification for the bulked data being generated for the requesting consumer.
- ADRF ID or NWDAF ID (or ADRF Set ID or NWDAF Set ID) storing historical data (optional). If known to the consumer, this may be specified to direct a DCCF or an NWDAF to the repository containing historical data.
- (Optional) ADRF information indicating whether the collected data for the generation of the bulked data are to be stored in an ADRF and optionally an ADRF ID.

- (Optional, in case the requested data is Event IDs) Data Source identification to collect the data, e.g. NF Instance (or NF Set) ID from which the data needs to be collected.

The output parameter of the Nnwdaf\_DataManagement\_Subscribe service operation comprise the subscription correlation ID, which identifies the requested bulked data.

The input parameters of Nnwdaf\_DataManagement\_Notify service operation shall contain the Notification Correlation ID and the generated bulked data when the fetch flag = false. When the fetch flag = true the notifications will contain the Notification Correlation ID, the Fetch Correlation ID and a target address where the generated bulked data may be retrieved. In the case of unsuccessful bulked data generation, the notification will contain an indication of an unsuccessful bulked data generation, optionally with expired bulked data deadline.

The input parameters for the service operation Nnwdaf\_DataManagement\_Fetch include: the Notification correlation ID (+list of Fetch Correlation ID), which identifies the requested bulked data.

The output parameters for the service operation Nnwdaf\_DataManagement\_Fetch include:

- the generated bulked data.

The generated bulked data exposed by the above listed service operations comprises:

- the dataset (i.e. the resulting set of data samples and/or set of pre-processed data samples from the collected event notifications) generated based on the parameters of bulked data request and Bulked Data Formatting and Processing;
  - timestamp when the data sample is associated with a bulked data.

# 6.2.6.2 Procedure for Data Collection from NWDAF

The procedure in Figure 6.2.6.2-1 is used by NWDAF service consumer to invoke the data management services at NWDAFs in order to retrieve runtime and historical data.

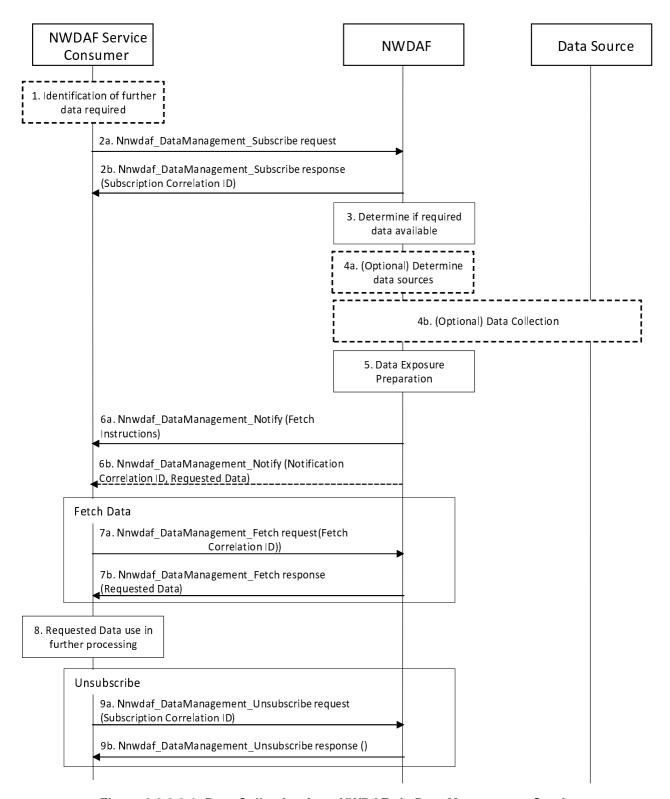


Figure 6.2.6.2-1: Data Collection from NWDAF via Data Management Service

- 1. NWDAF service consumer (e.g. NWDAF, DCCF) identifies that further data from an NWDAF is required in order to perform some operation related to Analytics ID. The triggers for further data collection are related to:
  - a) the local policies of NWDAF or DCCF (e.g. preparation for future requests for Analytics ID as specified in clause 6.2.2.1);
  - b) a request for analytics generation requiring data not available or not directly reachable via the NWDAF service consumer (e.g. out of the serving area);
  - c) a request for model training;

- d) a request for data collection that NWDAF service consumer cannot provide by itself.
- NOTE 1: If the NWDAF service consumer is a DCCF, the discovery of the proper NWDAF is defined in clause 6.2.6.3.6. If the NWDAF service consumer is a NWDAF, the NWDAF service consumer can discover the appropriate NWDAF(s) as defined in clause 5.2.
- 2a. NWDAF service consumer invokes Nnwdaf\_DataManagement\_Subscribe service from NWDAF to request a required data. The request comprises the Data Specification as well as Data Formatting and Processing instructions as defined in clause 5A.4, Notification Target Address (+ Notification Correlation ID).

When the required data is Event IDs, the NWDAF service consumer may include the Data Source, e.g. NF Instance (or NF Set) ID from which the data needs to be collected.

The NWDAF service consumer may include ADRF information indicating whether the data are to be stored in an ADRF and optionally an ADRF ID.

The NWDAF service consumer may include ADRF ID or NWDAF ID (or ADRF Set ID or NWDAF Set ID) storing historical data (optional), directing NWDAF to the repository containing historical data.

The NWDAF checks if required data is related to a user, i.e. SUPI or GPSI, then, depending on local policy and regulations, as described in clause 6.2.9, the NWDAF checks or has checked the user consent by retrieving the user consent information from UDM using Nudm\_SDM\_Get including data type "User consent". If user consent is not granted, NWDAF sends a response to the NWDAF service consumer in step 2b, indicating that user consent for data collection was not granted and the data collection for this SUPI or GPSI stops here. If the user consent is granted, the NWDAF can provide the required data to the NWDAF service consumer by performing the following steps 2b-7 and the NWDAF subscribes to UDM to notifications of changes on subscription data type "User consent" for this user using Nudm\_SDM\_Subscribe. When receiving the notification that user consent has been revoked, the NWDAF shall provide a Termination Request in Nnwdaf\_DataManagement\_Notify to request the NWDAF service consumer to cancel the subscription to the required data.

- 2b. Based on the received request, NWDAF creates a new data for the requesting consumer. NWDAF sends Nnwdaf\_DataManagement\_Subscribe service response with a confirmation of successful request and the subscription correlation ID identifying the requested data.
- NOTE 2: Subscription Correlation ID allows the NWDAF service consumer to request to NWDAF any changes in the generation of a requested data.
- 3. NWDAF determines whether the request data is available at such NWDAF.

NWDAF maintains a local association of requested Event IDs or Analytics IDs to the list of triggered event subscription identifications from data sources to generate the requested data. Based on this local association, the NWDAF checks if the data to be collected is available at itself. If the data is available, NWDAF uses such data to generate the requested data.

When data sources are NFs, the NWDAF discovers the proper NFs as defined in clause 6.2.2.1.

When the data sources are other NWDAFs, the NWDAF discovers the other NWDAFs as defined in clause 5.2.

When the data source is DCCF, the NWDAF discovers the proper DCCF as defined in clause 6.3.19 of TS 23.501 [2].

- 4a. (Optional) If NWDAF receives a request for data that is not available or not reachable by such NWDAF (e.g. out of serving area), NWDAF determines the sources for the data that is not available, if the information has not been included in the subscription to the requested data.
- 4b. (Optional) NWDAF may trigger further data collection using any of the available mechanisms in clause 6.2.2 (e.g. if the data subscribed in step 2a partially matches data that are already being collected by the NWDAF from a data source and a modification of the subscription to the data source would satisfy both the existing data collection as well as the newly requested data) and clause 6.2.6 (e.g. recursively using data collection services from other needed NWDAFs, DCCFs, ADRFs, NFs).

NWDAF updates its local association of the mapping of the requested data (Event ID or Analytics ID) to the identification of the request/subscription for data collection from the further data sources.

- 5. Based on the properties of the received request, NWDAF generates the requested data including the available or collected data (e.g. from other NWDAFs, DCCFs or ADRFs, NFs).
- 6a. If the fetch flag is set to true in step 2a, NWDAF waits until the requested data is ready and sends a Nnwdaf\_DataManagement\_Notify service message with fetch instructions.
  - The requested data is ready when the NWDAF has generated the data and completed processing and formatting as described in clause 5A.4.
- 6b. If the fetch flag is set to false in step 2a, NWDAF uses the Nnwdaf\_DataManagement\_Notify service to send the Notification Correlation ID and requested data to the NWDAF service consumer.
- 7(a.b). Alternatively, if the Nnwdaf\_DataManagement\_Notify service message with fetch instructions is received in step 6a, the NWDAF service consumer shall fetch the required data from NWDAF via Nnwdaf\_DataManagement\_Fetch service operation within the fetch deadline specified in the fetch instructions. The NWDAF service consumer invokes the Nnwdaf\_DataManagement\_Fetch service operation with the input parameters including the Fetch Correlation ID, that identifies the data to be fetched and receives a response with the requested data.
- 8. The NWDAF service consumer uses the requested data for performing further processing. If the NWDAF service consumer is an NWDAF the requested data can be used for analytics generation or model training or for further exposing such data to other NWDAFs. If the NWDAF service consumer is a DCCF, the requested data can be provided to a DCCF data consumer.
- 9. When the NWDAF service consumer determines that no more data is required or if receiving a Termination Request from the NWDAF, e.g. due to user consent revocation for the data collection related to a user, it unsubscribes to the requested data from NWDAF. If NWDAF had triggered further data collection in Step 3a and 3b, NWDAF also unsubscribe to all data sources.
- NOTE 3: It is also possible that instead of providing the dataset of the generated data in steps 6a, 6b, 7b, the NWDAF provides a reference to where the dataset can be retrieved by the NWDAF service consumer.

# 6.2.6.3 Data Collection using DCCF

# 6.2.6.3.1 General

This clause specifies procedures for data collection using the DCCF described in clause 5A for cases other than obtaining analytics from an NWDAF (which is specified in clause 6.1.2). Two options are supported: data delivered via the DCCF, according to clauses 6.2.6.3.2 and 6.2.6.3.3 and data delivered via a messaging framework according to clauses 6.2.6.3.4 and 6.2.6.3.5. Which option to be used is determined by DCCF configuration.

Due to e.g. UE mobility, the source DCCF or MFAF may no longer be able to serve the UE. In such cases, the data consumer may select a new DCCF as described in clause 6.2.6.3.7, or source DCCF may execute the DCCF relocation procedure as described in clause 6.2.6.3.8. The data consumer may indicate in the subscription request whether the DCCF should execute the relocation procedure or send a notification to the data consumer as specified in the reselection procedure. If the data consumer does not send an indicator in the subscription request allowing DCCF relocation initiated by the DCCF the consumer may execute DCCF reselection based on internal logic.

When data is collected for a group of UEs identified by an Internal-Group-Id or any UE, the procedure of DCCF relocation initiated by the DCCF is not applicable. In such case, the consumer may select one or more Target DCCFs and initiate a new subscription for the UEs.

### 6.2.6.3.2 Data Collection via DCCF

The procedure depicted in Figure 6.2.6.3.2-1 is used by a data consumer (e.g. NWDAF) to obtain data and be notified of events via the DCCF using Ndccf\_DataManagement\_Subscribe service operation. Whether the data consumer directly contacts the Data Source or goes via the DCCF is based on configuration of the data consumer.

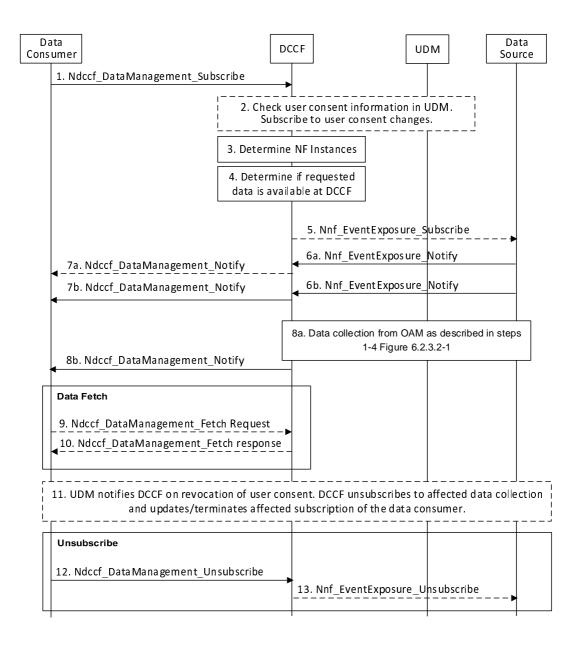


Figure 6.2.6.3.2-1: Data Collection via DCCF

- 1. The data consumer subscribes to data via the DCCF by invoking the Ndccf\_DataManagement\_Subscribe (Service\_Operation, Data Specification, Formatting Instructions, Processing Instructions, NF (or NF-Set) ID, ADRF Information) service operation as specified in clause 8.2.2. The data consumer may specify one or more notification endpoints. If data to be collected is subject to user consent: if the data consumer checked user consent, the data consumer shall provide user consent check information (i.e. an indication that it has checked user consent), otherwise, the data consumer shall provide a purpose for the data collection.
  - Service\_Operation is the service operation to be used by the DCCF to request data (e.g. Namf\_EventExposure\_Subscribe or OAM Subscribe). Data Specification provides Service Operation-specific parameters (e.g. event IDs, UE-ID(s), target of event reporting) used to retrieve the data. Formatting and Processing Instructions are as defined in clause 5A.4. The data consumer may include the Data Source, e.g. NF Instance (or NF Set) ID from which the data needs to be collected. The data consumer may include ADRF information indicating whether the data are to be stored in an ADRF and optionally an ADRF ID.
- 2. The DCCF checks if data is to be collected for a user, i.e. SUPI or GPSI, then, depending on local policy and regulations, the DCCF checks the user consent by retrieving the user consent information from UDM using Nudm\_SDM\_Get including data type "User consent" and taking into account purpose for data collection as provided in step 1. If user consent is not granted, DCCF does not subscribe to event exposure for events related to this user, the data collection for this SUPI or GPSI stops here and DCCF sends a response to the data

consumer indicating that user consent for data collection was not granted. If the user consent is granted, the DCCF subscribes to UDM to notifications of changes on subscription data type "User consent" for this user using Nudm\_SDM\_Subscribe.

If the data consumer is NWDAF and it provides user consent check information (i.e. an indication that it has checked user consent) in Ndccf\_DataManagement\_Subscribe in step 1, which has been obtained by the NWDAF from UDM before, then the DCCF can do data collection for a user based on the user consent information from the NWDAF and skip retrieving it from UDM.

- 3. The DCCF determine the NF type(s) and/or OAM to retrieve the data based on the Service Operation requested in step 1. If the NF instance or NF Set ID is not provided by the data consumer, the DCCF determines the NF instances that can provide data as described in clause 5A.2 and clause 6.2.2.2. If the consumer requested storage of data in an ADRF but the ADRF ID is not provided by the data consumer, or the collected data is to be stored in an ADRF according to configuration on the DCCF, the DCCF selects an ADRF to store the collected data.
- 4. The DCCF determines whether the data requested in step 1 are already being collected, as described in clause 5A.2.
  - If the data requested are already being collected from the Data Source by a data consumer, the DCCF adds the data consumer to the list of data consumers that are subscribed for these data, then the DCCF determines that no subscriptions to the Data Source need to be created or modified.
- 5. If the data subscribed in step 1 partially matches data that are already being collected by the DCCF from a Data Source and a modification of this subscription to the Data Source would satisfy both the existing data subscriptions as well as the newly requested data, the DCCF invokes Nnf\_EventExposure\_Subscribe (Subscription Correlation ID) with parameters indicating how to modify the previous subscription (as specified in clause 5A.2). The DCCF adds the data consumer to the list of data consumers that are subscribed for these data. If some of the newly requested data can only be provided by new Data Source, the DCCF creates new subscription(s) to the new Data Source for the newly requested data.

If the data requested at step 1 are not already available or not being collected yet, the DCCF subscribes to data from the NF using the Nnf\_EventExposure\_Subscribe service operation as specified in clause 5A.2 and clause 6.2.2.2, with DCCF indicated as Notification Target Address. The DCCF adds the data consumer to the list of data consumers that are subscribed for these data.

- 6. When new output data are available, the Data Source uses Nnf\_EventExposure\_Notify to send the data to the DCCF.
- 7. The DCCF uses Ndccf\_DataManagement\_Notify to send the data to all notification endpoints indicated in step 1. Data sent to notification endpoints may be processed and formatted by the DCCF so they conform to delivery requirements for each data consumer or notification endpoint as specified in clause 5A.4. The DCCF may store the information in ADRF if requested by the consumer or if required by DCCF configuration, using procedure as specified in clause 6.2B.3.
- NOTE: According to Formatting Instructions provided by the data consumer, multiple notifications from a Data Source can be combined in a single Ndccf\_DataManagement\_Notify so many notifications from the Data Source result in fewer notifications (or one notification) to the data consumer. Alternatively, a notification can instruct the data notification endpoint to fetch the data from the DCCF before an expiry time.
- 8a. If DCCF needs to retrieve data from OAM, procedure for data collection from OAM as per steps 1-4 from clause 6.2.3.2 is used.
- 8b. The DCCF uses Ndccf\_DataManagement\_Notify to send the data to all notification endpoints indicated in step 1. Data sent to notification endpoints may be processed and formatted by the DCCF, so they conform to delivery requirements for each data consumer or notification endpoint as specified in clause 5A.4. The DCCF may store the information in ADRF if requested by the consumer or if required by DCCF configuration, using procedure as specified in clause 6.2B.3.
- 9. If a Ndccf\_DataManagement\_Notify contains a fetch instruction, the notification endpoint sends a Ndccf\_DataManagement\_Fetch request to fetch the data from the DCCF.
- 10. The DCCF delivers the data to the notification endpoint
- 11. The UDM may notify the DCCF on changes of user consent at any time after step 2. If user consent is no longer granted for a user for which data has been collected and there are no other consumers for the data, the DCCF

shall unsubscribe to any Event ID to collect data for that SUPI or GPSI. The DCCF shall further update or terminate affected subscriptions of the Data Consumer. The DCCF may unsubscribe to be notified of user consent updates from UDM for each SUPI for which user consent has been revoked.

- 12. When the data consumer no longer wants data to be collected it invokes Ndccf\_DataManagement\_Unsubscribe (Subscription Correlation ID), using the Subscription Correlation Id received in response to its subscription in step 1. The DCCF removes the data consumer from the list of data consumers that are subscribed for these data.
- 13. If there are no other data consumers subscribed to the data, the DCCF unsubscribes with the Data Source.

#### 6.2.6.3.3 Historical Data Collection via DCCF

The procedure depicted in figure 6.2.6.3.3-1 is used by data consumers (e.g. NWDAF) to obtain historical data, i.e. data related to past time period. The data consumer requests data using Ndccf\_DataManagement\_Subscribe service operation. Whether the data consumer uses this procedure or directly contacts the ADRF or NWDAF is based on configuration.

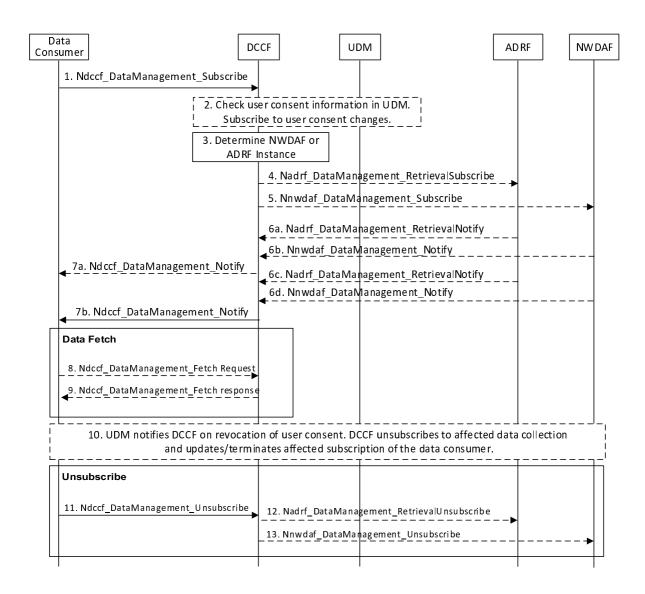


Figure 6.2.6.3.3-1: Historical Data Collection via DCCF

1. The data consumer requests data via DCCF by invoking the Ndccf\_DataManagement\_Subscribe (Service\_Operation, Data Specification, Time Window, Formatting Instructions, Processing Instructions, ADRF ID or NWDAF ID (or ADRF Set ID or NWDAF Set ID) service operation as specified in clause 8.2.2. The data consumer may specify one or more notification endpoints to receive the data. If data to be collected is subject to user consent: if the data consumer checked user consent, the data consumer shall provide user consent check

information (i.e. an indication that it has checked user consent), otherwise, the data consumer shall provide a purpose for the data collection.

"Service\_Operation" is the service operation used to acquire the data from a data source. "Data Specification" provides Service\_Operation-specific parameters (e.g. event IDs, UE-ID(s)) used to retrieve the data. "Time Window" specifies a past time period and comprises a start and stop time. "Formatting and Processing Instructions" are as defined in clause 5A4. The data consumer may optionally include the ADRF or NWDAF instance (or ADRF Set or NWDAF Set) ID where the stored data resides.

2. The DCCF checks if data is to be collected for a user, i.e. SUPI or GPSI, then, depending on local policy and regulations, the DCCF checks the user consent by retrieving the user consent information from UDM using Nudm\_SDM\_Get including data type "User consent" and taking into account purpose for data collection as provided in step 1. If user consent is not granted, DCCF does not subscribe to event exposure for events related to this user, the data collection for this SUPI or GPSI stops here and DCCF sends a response to the data consumer indicating that user consent for data collection was not granted. If the user consent is granted, the DCCF subscribes to UDM to notifications of changes on subscription data type "User consent" for this user using Nudm\_SDM\_Subscribe.

If the data consumer is NWDAF and it provides user consent check information (i.e. an indication that it has checked user consent) in Ndccf\_DataManagement\_Subscribe in step 1, which has been obtained by the NWDAF from UDM before, then the DCCF can do data collection for a user based on the user consent information from the NWDAF and skip retrieving it from UDM.

3. If an ADRF or NWDAF instance or ADRF Set ID or NWDAF Set ID is not provided by the data consumer, the DCCF determines if any ADRF or NWDAF instances might provide the data as described in clause 5B and 5A.2.

NOTE 1: An ADRF or NWDAF might have previously registered data it is collecting with the DCCF.

- 4. (conditional) If the DCCF determines that an ADRF instance might provide the data, or an ADRF instance or Set was supplied by the data consumer, the DCCF sends a request to the ADRF, using Nadrf\_DataManagement\_RetrievalSubscribe (Data Specification, Notification Target Address=DCCF) service operation as specified in clause 10.2. The ADRF responds to the DCCF with an Nadrf\_DataManagement\_RetrievalSubscribe response indicating if the ADRF can supply the data. If the data can be provided, the procedure continues with step 5.
- 5. (conditional) If the DCCF determines that an NWDAF instance might provide the data or an NWDAF instance or Set was supplied by the data consumer, the DCCF sends a request to the NWDAF using Nnwdaf\_DataManagement\_Subscribe (Data Specification, Notification Target Address=DCCF) as specified in clause 7.4.2.
- 6. The ADRF uses Nadrf\_DataManagement\_RetrievalNotify or the NWDAF uses Nnwdaf\_DataManagement\_Notify to send the requested data (e.g. one or more stored notifications archived from a data source) to the DCCF. The data may be sent in one or more notification messages.
- 7. The DCCF uses Ndccf\_DataManagement\_Notify to send data to all notification endpoints indicated in step 1. Notifications are sent to the Notification Target Address(es) using the data consumer Notification Correlation ID(s) received in step 1. Data sent to notification endpoints may be processed and formatted by the DCCF, so they conform to delivery requirements specified by the data consumer.
- NOTE 2: According to Formatting Instructions provided by the data consumer, multiple notifications from an ADRF or NWDAF can be combined in a single Ndccf\_DataManagement\_Notify so many notifications from the ADRF or NWDAF results in fewer notifications (or one notification) to the data consumer. Alternatively, a Ndccf\_DataManagement\_Notify can instruct the data notification endpoint to fetch the data from the DCCF before an expiry time.
- 8. If a notification contains a fetch instruction, the notification endpoint sends a Ndccf\_DataManagement\_Fetch request to fetch the data from the DCCF.
- 9. The DCCF delivers the data to the notification endpoint.
- 10. The UDM may notify the DCCF on changes of user consent at any time after step 2. If user consent is no longer granted for a user for which data has been collected and if there are no other consumers for the data, the DCCF shall unsubscribe to any data collection for that SUPI or GPSI. The DCCF shall further update or terminate

affected subscriptions of the Data Consumer. The DCCF may unsubscribe to be notified of user consent updates from UDM for each SUPI for which user consent has been revoked.

- 11. When the data consumer no longer wants data to be collected or has received all the data it needs, it invokes Ndccf\_DataManagement\_Unsubscribe (Subscription Correlation ID) as specified in clause 8.2.3, using the Subscription Correlation Id received in response to its subscription in step 1.
- 12. If the data are being provided by an ADRF and there are no other data consumers subscribed to the data, the DCCF unsubscribes with the ADRF using Nadrf\_DataManagement\_RetrievalUnsubscribe as specified in clause 10.2.7.
- 13. If the data are being provided by an NWDAF and there are no other data consumers subscribed to the data, the DCCF unsubscribes with the NWDAF using Nnwdaf\_DataManagement\_Unsubscribe as specified in clause 7.4.3.

# 6.2.6.3.4 Data Collection via Messaging Framework

This procedure depicted in Figure 6.2.6.3.4-1 is used by a data consumer (e.g. NWDAF) to obtain data and be notified of events using the DCCF and a Messaging Framework. The 3GPP DCCF Adaptor (3da) Data Management service and 3GPP Consumer Adaptor (3ca) Data Management service of the Messaging Framework Adaptor Function (MFAF) are used to interact with the 3GPP Network and the Messaging Framework. Whether the data consumer directly contacts the Data Source or goes via the DCCF is based on configuration.

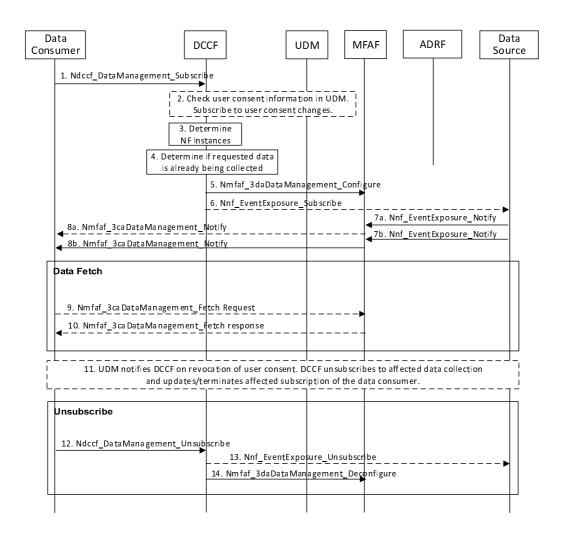


Figure 6.2.6.3.4-1: Data Collection via Messaging Framework

1. The data consumer subscribes to data via the DCCF by invoking the Ndccf\_DataManagement\_Subscribe (Service\_Operation, Data Specification, Formatting Instructions, Processing Instructions, NF (or NF-Set) ID,

ADRF Information, Data Consumer Notification Target Address (+ Notification Correlation ID)) service operation as specified in clause 8.2.2. The data consumer may specify one or more notification endpoints and the NF or NF set to collect data from. If data to be collected is subject to user consent: if the data consumer checked user consent, the data consumer shall provide user consent check information (i.e. an indication that it has checked user consent), otherwise, the data consumer shall provide a purpose for the data collection.

Service\_Operation is the service operation to be used by the DCCF to request data (e.g. Namf\_EventExposure\_Subscribe or OAM Subscribe). Data Specification provides Service\_Operation-specific required parameters (e.g. event IDs, UE-ID(s), target of event reporting) and optional input parameters used to retrieve the data. Formatting and Processing Instructions are as defined in clause 5A.4. The data consumer may optionally include the Data Source NF Instance (or NF Set) ID. The data consumer may include ADRF information indicating whether the data are to be stored in an ADRF and, optionally, an ADRF ID.

- NOTE 1: Data consumer requesting data to be stored in ADRF allows the collected data to be available to other data consumers in the future.
- 2. The DCCF checks if data is to be collected for a user, i.e. SUPI or GPSI, then, depending on local policy and regulations, the NWDAF checks the user consent by retrieving the user consent information from UDM using Nudm\_SDM\_Get including data type "User consent" and taking into account purpose for data collection as provided in step 1. If user consent is not granted, NWDAF does not subscribe to event exposure for events related to this user, the data collection for this SUPI or GPSI stops here and DCCF sends a response to the data consumer indicating that user consent for data collection was not granted. If the user consent is granted, the DCCF subscribes to UDM to notifications of changes on subscription data type "User consent" for this user using Nudm\_SDM\_Subscribe.

If the data consumer is NWDAF and it provides user consent check information (i.e. an indication that it has checked user consent) in Ndccf\_DataManagement\_Subscribe in step 1, which has been obtained by the NWDAF from UDM before, then the DCCF can do data collection for a user based on the user consent information from the NWDAF and skip retrieving it from UDM.

- 3. If the NF instance or NF Set ID is not provided by the data consumer, the DCCF determines the NF instances that can provide data as described in clause 5A.2 and clause 6.2.2.2. If the consumer requested storage of data in an ADRF, but the ADRF ID is not provided by the data consumer, or the collected data is to be stored in an ADRF according to configuration on the DCCF, the DCCF selects an ADRF to store the collected data.
- 4. The DCCF determines whether the data requested in step 1 are already being collected, as described in clause 5A.2.

If the data requested are already being collected from the Data Source by a data consumer, the DCCF adds the data consumer to the list of data consumers that are subscribed for these data, then the DCCF determines that no subscriptions to the Data Source need to be created or modified.

5. The DCCF sends an Nmfaf\_3daDataManagement\_Configure (Data Consumer Information, MFAF Notification Information, Formatting Conditions, Processing Instructions) to configure the MFAF to map notifications received from the Data Source to outgoing notifications sent to endpoints and to instruct the MFAF how to format and process the outgoing notifications. The DCCF may also instruct the MFAF to store data into ADRF by providing an ADRF ID, if requested by the data consumer in step 1, together with the NF Id of the data source.

Data Consumer Information contains for each notification endpoint, the data consumer Notification Target Address (+ Data Consumer Notification Correlation ID to be used by the MFAF when sending notifications in step 9.

MFAF Notification Information is included if a Data Source is already sending the data to the MFAF. MFAF Notification Information identifies Event Notifications received from the Data Sources and comprises the MFAF Notification Target Address (+ MFAF Notification Correlation ID). If the MFAF does not receive MFAF Notification information from the DCCF, the MFAF selects a MFAF Notification Target Address (+ MFAF Notification Correlation ID) and sends the MFAF Notification Information, containing MFAF Notification Target Address (+ MFAF Notification Correlation ID), to the DCCF in the Nmfaf\_3daDataManagement\_Configure Response.

6. If the data subscribed in step 1 partially matches data that are already being collected by the DCCF from a Data Source and a modification of this subscription to the Data Source would satisfy both the existing data subscriptions as well as the newly requested data, the DCCF invokes Nnf\_EventExposure\_Subscribe

(Subscription Correlation ID) with parameters indicating how to modify the previous subscription (as specified in clause 5A.2). The DCCF adds the data consumer to the list of data consumers that are subscribed for these data. If some of the newly requested data can only be provided by new Data Source, the DCCF creates new subscription(s) to the new Data Source for the newly requested data.

If the data requested at step 1 are not already available or not being collected yet, the DCCF subscribes to data from the NF using the Nnf\_EventExposure\_Subscribe (Data Specification, MFAF Notification Target Address (+ MFAF Notification Correlation ID)) service operation as specified in clause 5A.2 and clause 6.2.2.2, using the MFAF Notification Target Address (+ MFAF Notification Correlation ID) received in step 5. The DCCF adds the data consumer to the list of data consumers that are subscribed for these data.

- 7. When new output data are available, the Data Source uses Nnf\_EventExposure\_Notify to send the data to the MFAF. The Notification includes the MFAF Notification Correlation ID.
- 8. The MFAF uses Nmfaf\_3caDataManagement\_Notify to send the data to all notification endpoints indicated in step 6. Notifications are sent to the Notification Target Address(es) using the Data Consumer Notification Correlation ID(s) received in step 6. Data sent to notification endpoints may be processed and formatted by the MFAF, so they conform to delivery requirements specified by the data consumer. The MFAF may store the information in ADRF if requested by consumer or if required by DCCF configuration
- NOTE 2: According to Formatting Instructions provided by the data consumer, multiple notifications from a Data Source can be combined in a single Nmfaf\_3caDataManagement\_Notify, so many notifications from the Data Source results in fewer notifications (or one notification) to the data consumer. Alternatively, a notification can instruct the data notification endpoint to fetch the data from the MFAF before an expiry time.
- 9. If a Nmfaf\_3caDataManagement\_Notify contains a fetch instruction, the notification endpoint sends a Nmfaf\_3caDataManagement\_Fetch request to fetch the data from the MFAF.
- 10. The MFAF delivers the data to the notification endpoint.
- 11. The UDM may notify the DCCF on changes of user consent at any time after step 2. If user consent is no longer granted for a user for which data has been collected and if there are no other consumers for the data, the DCCF shall unsubscribe to any Event ID to collect data for that SUPI or GPSI. The DCCF shall further update or terminate affected subscriptions of the Data Consumer. The DCCF may unsubscribe to be notified of user consent updates from UDM for each SUPI for which user consent has been revoked.
- 12. When the data consumer no longer wants data to be collected, it invokes Ndccf\_DataManagement\_Unsubscribe (Subscription Correlation ID), using the Subscription Correlation Id received in response to its subscription in step 1. The DCCF removes the data consumer from the list of data consumers that are subscribed for these data.
- 13. If there are no other data consumers subscribed to the data, the DCCF unsubscribes with the Data Source.
- 14. The DCCF de-configures the MFAF so it no longer maps notifications received from the Data Source to the notification endpoints configured in step 5.

# 6.2.6.3.5 Historical Data Collection via Messaging Framework

The procedure depicted in figure 6.2.6.3.5-1 is used by data consumers (e.g. NWDAF) to obtain historical data, i.e. data related to past time period. The data consumer obtains data using Ndccf\_DataManagement\_Subscribe service operation as specified in clause 8.2.2, where the subscription results in one or more notifications depending on how the data is retrieved from the ADRF or NWDAF and how the data is formatted. Whether the data consumer uses this procedure or directly contacts the ADRF or NWDAF is based on configuration.

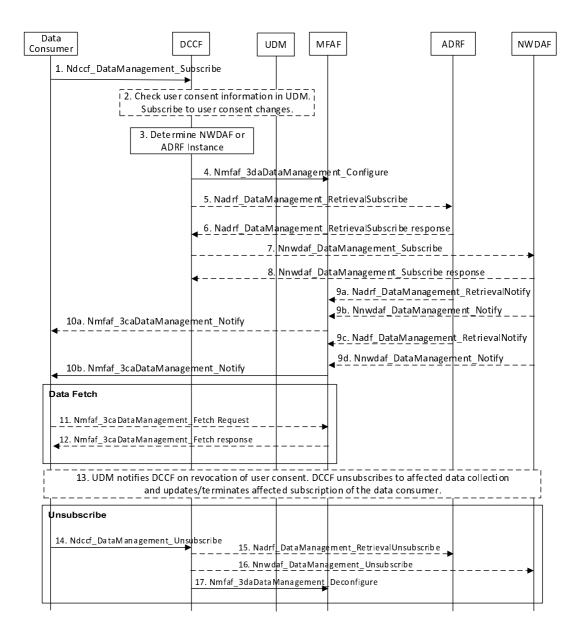


Figure 6.2.6.3.5-1: Historical Data Collection via Messaging Framework

- 1. The data consumer requests data via DCCF by invoking the Ndccf\_DataManagement\_Subscribe (Service Operation, Data Specification, Time Window, Formatting Instructions, Processing Instructions, ADRF ID or NWDAF ID (or ADRF Set ID or NWDAF Set ID) service operation as specified in clause 8.2.2. The data consumer may specify one or more notification endpoints to receive the data. If data to be collected is subject to user consent: if the data consumer checked user consent, the data consumer shall provide user consent check information (i.e. an indication that it has checked user consent), otherwise, the data consumer shall provide a purpose for the data collection.
  - Service\_Operation is the service operation used to acquire the data from a data source, Data Specification provides Service\_Operation-specific required parameters (e.g. event IDs, UE-ID(s) and optional input parameters used to retrieve the data. Time Window specifies a past time period and comprises a start and stop time and Formatting and Processing Instructions are as defined in clause 5A4. The data consumer may optionally include the ADRF or NWDAF instance (or ADRF Set or NWDAF Set) ID where the stored data resides.
- 2. The DCCF checks if data is to be collected for a user, i.e. SUPI or GPSI, then, depending on local policy and regulations, the DCCF checks the user consent by retrieving the user consent information from UDM using Nudm\_SDM\_Get including data type "User consent" and taking into account purpose for data collection as provided in step 1. If user consent is not granted, DCCF does not subscribe to event exposure for events related to this user, the data collection for this SUPI or GPSI stops here and DCCF sends a response to the data

consumer indicating that user consent for data collection was not granted. If the user consent is granted, the DCCF subscribes to UDM to notifications of changes on subscription data type "User consent" for this user using Nudm\_SDM\_Subscribe.

If the data consumer is NWDAF and it provides user consent check information (i.e. an indication that it has checked user consent) in Ndccf\_DataManagement\_Subscribe in step 1, which has been obtained by the NWDAF from UDM before, then the DCCF can do data collection for a user based on the user consent information from the NWDAF and skip retrieving it from UDM.

3. If an ADRF or NWDAF instance or ADRF Set ID or NWDAF Set ID is not provided by the data consumer, the DCCF determines if any ADRF or NWDAF instances might provide the data as described in clause 5B and 5A.2.

NOTE 1: An ADRF or NWDAF might have previously registered data it is collecting with the DCCF.

- 4. The DCCF sends an Nmfaf\_3daDataManagement\_Configure (Data Consumer Information, Formatting Conditions, Processing Instructions) to configure the MFAF to map notifications received from the ADRF or NWDAF to outgoing notifications sent to endpoints and to instruct the MFAF how to format and process the outgoing notifications.
  - "Data Consumer Information" contains for each notification endpoint, the data consumer Notification Target Address (+ Data Consumer Notification Correlation ID) to be used by the MFAF when sending notifications. The MFAF selects an MFAF Notification Target Address (+ MFAF Notification Correlation ID) and sends the MFAF Notification Information, containing MFAF Notification Target Address (+ MFAF Notification Correlation ID), to the DCCF in the Nmfaf\_3daDataManagement\_Configure Response.
- 5. (conditional) If the DCCF determines that an ADRF instance might provide the data, or an ADRF instance or Set was supplied by the data consumer, the DCCF sends a request to the ADRF, using Nadrf\_DataManagement\_RetrievalSubscribe (Data Specification, MFAF Notification Information) containing the MFAF Notification Target Address (+ MFAF Notification Correlation ID) received in step 4 as specified in clause 10.2.
- 6. The ADRF responds to the DCCF with an Nadrf\_DataManagement\_RetrievalSubscribe response indicating if the ADRF can supply the data. If the data can be provided, the procedure continues with step 9.
- 7. (conditional) If the DCCF determines that an NWDAF instance might provide the data, or an NWDAF instance or NWDAF Set was supplied by the data consumer, the DCCF sends a request to the NWDAF, using Nnwdaf\_DataManagement\_Subscribe (Data Specification, MFAF Notification Information) as specified in clause 7.4.2. MFAF Notification Information contains the MFAF Notification Target Address (+ MFAF Notification Correlation ID) received in step 4.
- 8. The NWDAF responds to the DCCF with an Nnwdaf\_DataManagement\_Subscribe response indicating if the NWDAF can supply the data.
- 9. The ADRF uses Nadrf\_DataManagement\_RetrievalNotify or the NWDAF uses Nnwdaf\_DataManagement\_Notify to send the requested data (e.g. one or more stored notifications archived from a data source) to the MFAF. The data may be sent in one or more notification messages.
- 10. The MFAF uses Nmfaf\_3caDataManagement\_Notify to send data to all notification endpoints indicated in step 4. Notifications are sent to the Notification Target Address(es) using the Data Consumer Notification Correlation ID(s) received in step 4. Data sent to notification endpoints may be processed and formatted by the MFAF, so they conform to delivery requirements specified by the data consumer.
- NOTE 2: According to Formatting Instructions provided by the data consumer, multiple notifications from an ADRF or NWDAF can be combined in a single Nmfaf\_3caDataManagement\_Notify so many notifications from the ADRF or NWDAF results in fewer notifications (or one notification) to the data consumer. Alternatively, a Nmfaf\_3caDataManagement\_Notify can instruct the data notification endpoint to fetch the data from the MFAF before an expiry time.
- 11. If a notification contains a fetch instruction, the notification endpoint sends a Nmfaf\_3caDataManagement\_Fetch request as specified in clause 9.3.3 to fetch the data from the MFAF.
- 12. The MFAF delivers the data to the notification endpoint.

- 13. The UDM may notify the DCCF on changes of user consent at any time after step 2. If user consent is no longer granted for a user for which data has been collected and if there are no other consumers for the data, the DCCF shall unsubscribe to any data collection for that SUPI or GPSI. The DCCF shall further update or terminate affected subscriptions of the Data Consumer. The DCCF may unsubscribe to be notified of user consent updates from UDM for each SUPI for which user consent has been revoked.
- 14. When the data consumer no longer wants data to be collected or has received all the data it needs, it invokes Ndccf\_DataManagement\_Unsubscribe (Subscription Correlation ID), using the Subscription Correlation Id received in response to its subscription in step 1.
- 15. If the data are being provided by an ADRF and there are no other data consumers subscribed to the data, the DCCF unsubscribes with the ADRF using Nadrf\_DataManagement\_RetrievalUnsubscribe as specified in clause 10.2.7.
- 16. If the data are being provided by an NWDAF and there are no other data consumers subscribed to the data, the DCCF unsubscribes with the NWDAF using Nnwdaf\_DataManagement\_Unsubscribe as specified in clause 7.4.3.
- 17. The DCCF de-configures the MFAF so it no longer maps notifications received from the ADRF or NWDAF to the notification endpoints configured in step 4.

## 6.2.6.3.6 Data collection profile registration

In some cases data consumers (e.g. NWDAF or ADRF) collect data from data source NF directly, e.g. when NWDAF is co-located with 5GC NF.

To enable data consumers can get the data which has been collected by NWDAF or ADRF directly (i.e. not via DCCF), the NWDAF or ADRF may register/update the data collection profile to the DCCF during/after the procedure of data collection. DCCF can then determine some requested data is available in NWDAF or ADRF and can coordinate data collection based on the data collection profile.

The procedure depicted in Figure 6.2.6.3.6-1 is used by data source (e.g. NWDAF or ADRF) to register data profile to DCCF.

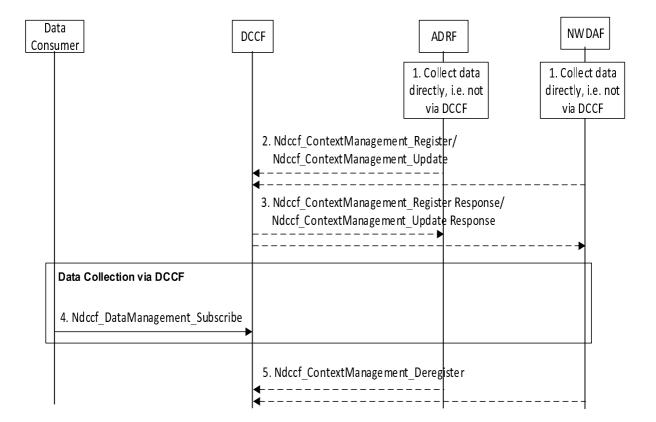


Figure 6.2.6.3.6-1: Procedure for the NWDAF or ADRF register data profile to DCCF

- 1. An ADRF or NWDAF instance is collecting or has collected data directly, e.g. from collocated NF.
- 2. The ADRF or NWDAF requests to register/update data collection profile (Service Operation, Analytics/Data Specification, ADRF ID or NWDAF ID) to DCCF by invoking the Ndccf\_ContextManagement\_Register or Ndccf\_ContextManagement\_Update. The registration/ update request can be triggered by the acceptation of subscription for data collection responded by the data source (e.g. collocated NF), it can be before the start of data collection or after the completion of data collection. DCCF determines the data collection status of NWDAF or ADRF based on the Analytics/Data Specification, i.e. DCCF determines whether the required data is being collected or has been collected.

"Service Operation" identifies the service used to collect the data or analytics from a Data Source (e.g.: Namf\_EventExposure\_Subscribe or Nnwdaf\_AnalyticsSubscription\_Subscribe).

"Analytics/Data Specification" is the "Service Operation" specific parameters that identify the collected data (i.e.: Analytics ID(s) / Event ID (s), Target of Analytics Reporting or Target of Event Reporting, Analytics Filter or Event Filter, etc.).

ADRF ID or NWDAF ID specify the ADRF or NWDAF which registers data collection profile.

- 3. The DCCF responds to the ADRF or NWDAF with a Ndccf\_ContextManagement\_Register Response or Ndccf\_ContextManagement\_Update Response.
- 4. To obtain historical data and if the data consumer is configured to collect data via the DCCF using Ndccf\_DataManagement\_Subscribe service operation, the data consumer uses the procedures described in clause 6.2.6.3.2 or clause 6.2.6.3.3.
- 5. The ADRF or NWDAF requests to delete a registration of data collection or analytics collection to the DCCF by invoking the Ndccf\_ContextManagement\_Deregister, triggered for instance by a request of the service consumer or by a storage life-time expiry of related data.

## 6.2.6.3.7 DCCF (re-)selection initiated by consumer

The procedure depicted in Figure 6.2.6.3.7-1 is used by a data consumer (e.g. NWDAF or central DCCF) to obtain data related to UE(s), to be notified by the DCCF when the DCCF can no longer serve the UE(s) and to then reselect the DCCF.

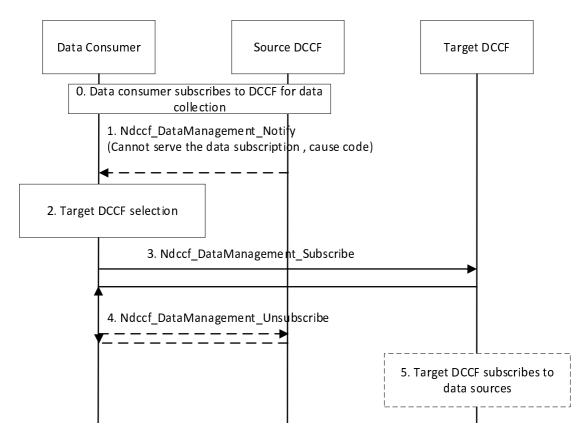


Figure 6.2.6.3.7-1: Procedure for DCCF relocation initiated by consumer

- 0. The data consumer subscribes to source DCCF.
- 1. Source DCCF may notify the data consumer that it cannot serve the subscription anymore, e.g. when location of UE(s) falls outside the serving area of the DCCF. A cause code is added with the notification (e.g. UE(s) moved outside DCCF serving area). The DCCF may send pending data to the data consumer.
- 2. The data consumer for the DCCF determines to select a new instance of DCCF. The data consumer discovers and selects the target DCCF as described in clause 6.3.19 of TS 23.501 [2]. The data consumer may perform the DCCF selection due to internal triggers, notification of a UE mobility event or by receiving the notification from the source DCCF in step 1.
- 3. The data consumer sends a subscription request to the target DCCF using Ndccf\_DataManagement\_Subscribe request.
- 4. The data consumer may unsubscribe from the source DCCF.
- 5. Target DCCF may subscribe to relevant data source(s), if not yet subscribed.

# 6.2.6.3.8 DCCF and MFAF relocation initiated by DCCF

The procedure depicted in Figure 6.2.6.3.8-1 is used by a data consumer (e.g. NWDAF or central DCCF) to obtain UE data and to support DCCF and MFAF reselection when the DCCF or MFAF can no longer serve the UE.

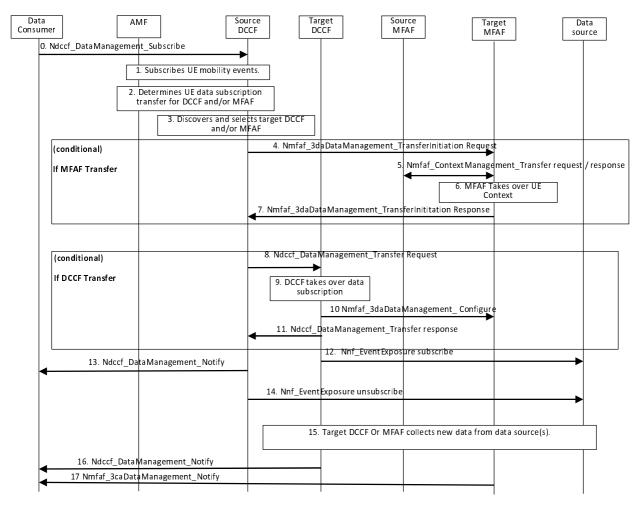


Figure 6.2.6.3.8-1: Procedure for DCCF relocation initiated by DCCF

0. The data consumer subscribes to source DCCF. The data consumer may indicate in the subscription request with an indicator that the DCCF may execute the relocation procedure.

NOTE 1: If the source DCCF or target DCCF does not support relocation, the consumer may execute DCCF relocation based on internal logic.

- 1. Source DCCF subscribes UE mobility events from AMF. The UE ID is provided by the data consumer in step 0.
- 2. If UE moves out of the service area of the source DCCF, source DCCF determines UE DCCF subscription context to be transferred to target DCCF, e.g., triggered by a UE mobility event notification from AMF. If UE moves out of the service area of the source MFAF, source DCCF determines UE MFAF data subscription to be transferred to target MFAF.
- 3. Source DCCF may query the NRF to discover and select the target DCCF and/or MFAF, e.g. based on the UE location information received from AMF.

#### Conditional on MFAF transfer:

- 4. Source DCCF uses the Nmfaf\_3daDataManagement service to request transfer of UE MFAF data subscription context to the target MFAF.
- 5. Target MFAF retrieves MFAF subscription context from Source MFAF.
- 6. Target MFAF accepts the data subscription(s) context transfer.
- 7. Target MFAF responds to the DCCF indicating the transfer is complete. The response may contain a Transaction Reference ID from the Target MFAF.

# Conditional on DCCF transfer:

- 8. Source DCCF using Ndccf\_DataManagement\_Transfer Request service operation to transfer of UE data subscription context to the target DCCF.
- 9. Target DCCF accepts the data subscription(s) context transfer.
- 10. If an MFAF is being used, the Target DCCF uses the Nmfaf\_3daDataManagement\_Configure service to configure the target MFAF.
- 11. Target DCCF confirms UE data subscription context transfer to the source DCCF. The confirmation includes the Subscription Correlation ID used by the Target DCCF.

#### For DCCF or MFAF transfer:

- 12. [Optional] Target DCCF subscribes to the relevant data source(s), if it is not yet subscribed to the data source(s) for the data required for the data subscription context and repeat step 1 to subscribes for UE mobility events from AMF.
- NOTE 2: For MFAF context transfer without DCCF context transfer, the Target DCCF is also the Source DCCF.
  - 13. Source DCCF informs the data consumer about the successful UE DCCF or MFAF data subscription context transfer using a Ndccf\_DataManagement\_Notify message. The notification may contain a Subscription Correlation ID provided by the target DCCF.
  - 14. [Optional] Source DCCF unsubscribes with the data source(s) that are no longer needed for the remaining UE data subscriptions.
- NOTE 3: At this point, UE data subscription transfer is deemed completed.
  - 15-17. Target DCCF or MFAF collects data from the data source(s) and notifies the data consumer using a Ndccf\_DataManagement\_Notify message.

# 6.2.7 Data Collection with Event Muting Mechanism

# 6.2.7.1 General

Additional mechanisms to limit signalling between Event Producer NF (e.g. AMF, SMF) and Event Consumer NF (NWDAF, DCCF) are provided, with the Event Provider NFs enhanced with the optional capability of muting the notification of the events while storing for a limited time and limited size the events until the Event Consumer NF retrieves such mute stored events.

# 6.2.7.2 Procedure for Data Collection with Event Muting Mechanism

The mute storage of events mechanism in the DCCF, the NWDAF, or NFs (if configured to support event muting mechanism) reuses the Event Reporting Information field of Event Exposure Framework to include the following flags:

- Deactivate notification flag: The event consumer NF includes in the subscription to an event ID the deactivation flag to indicate to the event provider NF to collect, store the requested events but halt the notification to the consumer. The number of stored events may be limited based on Event Producer NF configuration; when this number is reached or another exception occurs (e.g., full buffer), the Event Producer NF performs the actions described later in this clause.
- Retrieval notification flag: The event consumer NF includes in an event subscription modification request the subscription identification and the retrieval notification flag to indicate to the event producer NF to send the past collected events not already sent to this consumer NF. After sending the past collected events the event producer continues to store events without sending notifications to the event consumer.

When an Event Consumer NF requests notification muting from an Event Producer NF using the Deactivation notification flag, the Event Consumer can in addition specify requested Event Producer NF actions to be taken when an exception occurs at the Event Producer NF. The Event Consumer NF may specify the following parameters in the Event Reporting Information field of Event Exposure Framework:

- Event Producer NF action on buffered notifications: 'Send All', 'Discard All' or 'Drop Old'.
- Event Producer NF action on subscription: 'close', 'continue with muting', 'continue without muting'.

The Event Producer NF evaluates the requested action from the Event Consumer NF according to local policy (if configured) and in the response to the Event Consumer NF provides an accept indication if the request can be satisfied.

If the request is accepted, the response from the Event Producer NF may indicate the following:

- The maximum number of notifications that the Event Producer NF expects to be able to store.
- An estimate of the duration for which notifications can be buffered.

Using the event muting mechanism NWDAF, DCCF can subscribe to events from NFs such as AMF and SMF (if configured to support event muting mechanism), to avoid constant notifications and retrieve the mute stored events when it requires.

The procedure in Figure 6.2.7.2-1 is used by Event Consumer NF to control the frequency of data collection from Event Producer NFs (except DCCF and NWDAF) via Event Exposure. For data collection via DCCF and NWDAF, the consumer may mute the notifications by using the formatting instructions as specified in clause 5A.4.

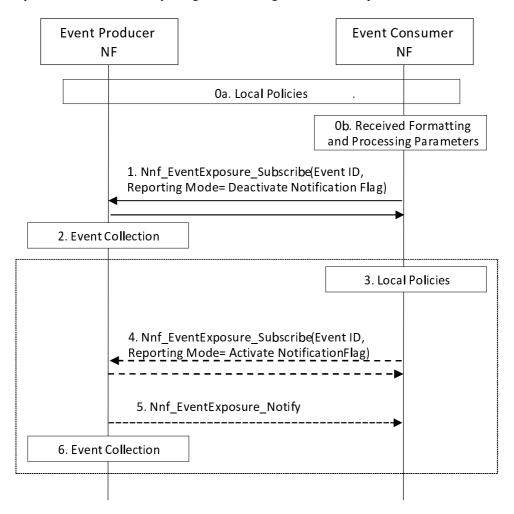


Figure 6.2.7.2-1: Procedure for muting event notification

- 0a. The Event Consumer NF, such as NWDAF or DCCF, is configured with local policies that are used to determine when the muted storage of events is triggered and actions to be requested from the Event Producer NF if an exception occurs at the NF Producer (e.g., full buffer). The Event Producer NF is configured with local polices specifying default actions to take should an exception occur and policies for handling Event Consumers requests containing requested actions to be taken by the Event Producer NF if an exception occurs.
- 0b. The Event Consumer NF, such as NWDAF or DCCF, may receive a request with the Formatting and Processing parameters indicating Event Clubbing. The DCCF or NWDAF may utilize event muting when collecting data from NFs (if configured to support event muting mechanism).
- 1. The Event Consumer NF, DCCF or NWDAF subscribes for a (set of) Event ID(s) by invoking the Nnf\_EventExposure\_Subscribe service operation including in event reporting information the deactivate

notification flag and optionally requested Event Producer NF actions to be taken when an exception occurs at the Event Producer NF.

If the Event Producer NF supports the deactivate notification flag and the requested actions to be taken by the Event Producer NF when an exception occurs, the Event Producer NF sends a response back including the Subscription Correlation ID and an indication of successful deactivation of notifications. The Event Consumer NF may request the Event Producer NF to store data related to Event ID(s), or aggregated data related to UE(s). The response from the Event Producer NF may indicates the maximum number of notifications that the Event Producer NF expects to be able to store and / or an estimate of the duration for which notifications can be buffered.

If the Event Producer NF does not support the deactivate notification flag or does not accept requested actions to be taken by the Event Producer NF when an exception occurs, the Event Producer NF sends a response back including an indication of failure and cause code. In this case, the Event Consumer NF re-sends the subscription request without including in the event reporting information the deactivate notification flag or with different requested actions to be taken by the Event Producer NF when an exception occurs.

NOTE: If the Event Producer NF receives a subscription without the deactivate notification flag, the steps 2 - 6 are not executed and the Event Producer NF performs the event notification as defined in clause 4.15 of TS 23.502 [3].

- 2. Based on the request from Event Consumer NF, DCCF or NWDAF, the Event Producer NF triggers a window of event collection for the Event Consumer NF, DCCF or NWDAF subscription with the indication of "deactivate notification flag". The Event Producer NF keeps the association between the Event ID, Subscription Correlation ID (which identifies the consumer of the event), subscriber information (e.g. notification target information) and the status of the transaction between the Event Consumer NF, DCCF or NWDAF and the Event Producer as "collecting events / non-notification".
- 3. Based on local policies or based on the Notification Time Window indicated in the Formatting and Processing parameters of the received request in step 0b, the Event Consumer NF, DCCF or NWDAF decides when to request the muted stored events from the Event Producer NF.
- 4. Event Consumer NF invokes the Nnf\_EventExposure\_Subscribe service operation from the Event Producer NF including, the Event ID, the Subscription Correlation ID and the retrieval notification flag. These parameters denote the identification of the transaction required by the Event Consumer NF, i.e. retrieve muted stored events for a subscribed Event ID and trigger a new time window of muted stored event generation without notification.
- 5. Event Producer NF based on the parameters received in the request from Event Consumer NF verifies whether there is a subscription to the requested Event ID with a deactivate notification flag. In positive case, Event Producer NF identifies and sends the past collected events muted during the period between the received retrieval notification flag and the last deactivate flag received from the Event consumer NF for the Event ID, the Subscription Correlation ID.

If an exception (e.g. full buffer) occurs, the Event Producer NF performs the actions determined in steps 0a and 1.

6. The Event Producer NF checks whether overall event reporting information (e.g. the maximum time window for the subscription of such Event ID) has expired. If yes, it does not trigger another round of event muted storage and deactivates the subscription. If not expired, the Event Producer NF trigger another time window for muted stored of produced events, sets back the deactivated notification flag for the Event ID and Subscription Correlation ID.

If the Event Consumer NF wants to change an existing subscription to an Event Producer NF using muted stored events into a regular notification of events, it shall invoke Nnf\_EventExposure\_Subscribe service operation from Event Producer NF without deactivate notification flag.

# 6.2.8 Data Collection from the UE Application

#### 6.2.8.1 General

The NWDAF may interact with an AF to collect data from UE Application(s) as an input for analytics generation and ML Model training. The AF can be in the MNO domain or an AF external to MNO domain. The data collection request

from NWDAF may trigger the AF to collect data from the UE Application. The AF in this clause is referred as the Data Collection AF which is described in TS 26.531 [32].

The UE Application establishes a connection to the AF in the MNO domain or external to MNO domain over user plane via a PDU session. The AF communicates with the UE Application and collects data from UE Application.

For both an AF in trusted domain and an AF in untrusted domain (which supports to collect data from a UE Application), the SLA between the operator and the Application Service Provider (i.e. ASP) determines per Application ID in use by the ASP:

- The AF for the UE Application to connect to (e.g. based on an FQDN).
- The information that the UE Application shares with the AF, subject to user consent.
- Possible Data Anonymization, Aggregation or Normalization algorithms (if used).
- The authentication information that enable the AF to verify the authenticity of the UE's Application that provides data
- NOTE 1: The mutual authentication information that is used by the UE Application and the AF and how user consent is obtained is out of SA WG2's scope. However, the authentication information could contain GPSI.

The AF (which supports the data collection) is configured based on the SLA above.

NOTE 2: Data Anonymization, Aggregation or Normalization algorithms within the SLA are defined per individual UE.

A UE Application (which supports to providing data to an AF) is configured by the ASP with the Application ID to use in the communication with the AF and then the UE Application is configured per Application ID with the following information:

- The address of the AF to contact.
- The parameters that the UE Application is authorized to provide to the AF.
- The authentication information to enable the UE Application to verify the authenticity of the AF that requests data.
- NOTE 3: The authentication and authorization information that is used by the UE Application and the AF for collection and how user consent is obtained is out of SA2's scope. However, the authentication information could contain GPSI.
- NOTE 4: The configuration procedure for the above information from the ASP to the UE's Application is out of SA WG2 scope.
- NOTE 5: The Application ID configured in the UE Application can either be an OSAppId as defined in TS 23.503 [4] or an OS independent Application Identifier (e.g. for applications running on a web browser).

The Target for Event Reporting in the Naf EventExposure request may be set to:

- an external UE ID (i.e. GPSI) or an external Group ID, in case the AF is located in the untrusted domain;
- a SUPI or an internal Group ID, in case the AF is located within the trusted domain.

The GPSI may be an External Identifier for individual UE as defined in TS 23.501 [2] that includes the domain name. This domain name and the Application ID configured in the UE Application are different from each other.

#### 6.2.8.2 Procedure for data collection from the UE Application

## 6.2.8.2.1 Connection establishment between UE Application and AF

The UE Application receives the data collection configuration from ASP. The configuration information is as described in clause 6.2.8.1.

The UE Application establishes a user plane connection to the AF. Data collection procedure from the UE Application is performed via the user plane connection.

- NOTE 1: Whether multiple user plane connections are established, or a single user plane connection is established for different applications between each UE Application and AF is based on implementation that is out of 3GPP scope.
- NOTE 2: The Connection establishment procedure from the UE Application to the AF as above is out of scope of the present specification. For the 3GPP defined services, the Connection establishment procedure is in the scope of SA WG4. For the non-3GPP defined services, the Connection establishment procedure is out of 3GPP's scope.
- NOTE 3: In order to preserve resources (e.g. battery, quota) for the end user, a user plane connection to the AF can be established only when the UE has an active PDU Session for the UE Application and it is actively using the network (i.e. the user plane connection to the AF does not need to be established when the UE Application is inactive, or used in an off-line mode).

Both direct data collection procedure (from the UE Application to the AF, either in trusted domain or untrusted domain) and indirect data collection procedure (from the UE Application to the Application server and from the Application server to the AF) are described in TS 26.531 [32].

The AF stores the IP address received from the UE (in the PDU session used) in order to request data collection from the UE Application. The UE IP address is used by the AF to identify the user plane connection.

The UE Application provides the Application ID configured in the UE Application to the AF as described in TS 26.531 [32].

# 6.2.8.2.2 AF registration and discovery

The AF registers its available NF profile to the NRF. The AF in trusted domain registers to the NRF by using the Nnrf\_NFManagement service that is defined in clause 5.2.7.2 of TS 23.502 [3]. The AF in untrusted domain registers the available NF profile to the NRF via the NEF as described in clause 6.2.2.3.

The AF discovery and selection is described in clause 6.3.25 of TS 23.501 [2].

#### 6.2.8.2.3 Data Collection Procedure from UE

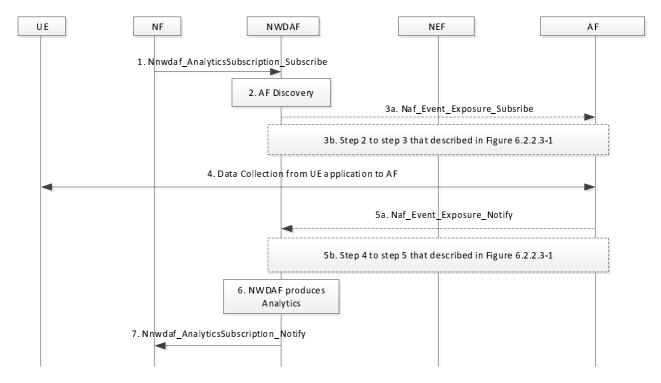


Figure 6.2.8.2.3-1: Data Collection Procedure from UE

- 1. An NF subscribes to analytics from the NWDAF as described in clause 6.1.1.1, that includes Analytics ID, Analytics Filter Information including e.g. AoI, Internal Application ID(s) and Target of Analytics Reporting. NWDAF may also initiate the data collection prior to this subscription.
- NOTE: Subscription to analytics can be triggered directly towards NWDAF or can be done via DCCF using procedure in clause 6.1.4.2.
- 2. NWDAF discovers and selects the AF that provides data collection (based on the AF profiles registered in NRF) as described in clause 6.3.25 of TS 23.501 [2].

Step 3a is used for the AF in trusted domain while step 3b is used for the AF in untrusted domain.

- 3a. NWDAF subscribes to the AF in trusted domain for UE data collection (i.e. input data from UE for analytics), by using Naf\_EventExposure\_Subscribe as defined in clause 5.2.19.2.2 of TS 23.502 [3]. The NWDAF request contains an Application ID known in the core network and the UE Application provides the Application ID configured in the UE Application. The AF binds the NWDAF request for an Application Id and the UE data collection for an Application Id configured in the UE.
- 3b. NWDAF subscribes to the AF in untrusted domain for UE data collection (i.e. input data from UE for analytics), by using step 2 and step 3 of the procedure that is described in Figure 6.2.2.3-1.

NOTE: For steps 3a and 3b, data collection can also be triggered using DCCF, as specified in clause 6.2.6.3.

- 4. The AF collects the UE data using either direct or indirect data collection procedure in clause 6.2.8.2.1. The establishment of the connection can be performed at any time prior to this. The AF links the data collection request from step 3 to the user plane connection as described in clause 6.2.8.2.4.
- NOTE 1: The Direct data collection and indirect data collection procedure is described in TS 26.531 [32].

Step 5a is used for the AF in trusted domain while step 5b is used for the AF in untrusted domain.

- 5a. The AF in trusted domain receives the input data from the UE and processes the data (e.g. anonymizes, aggregates and normalizes) according to the SLA that is configured in the AF described in clause 6.2.8.1 and Event ID(s) and Event Filter(s) set during step 3a. The trusted AF then notifies the NWDAF on the processed data according to the NWDAF subscription in step 3a.
- 5b. The AF in untrusted domain receives the input data from the UE and processes the data (e.g. anonymizes, aggregates and normalizes) according to the SLA that is configured in the AF described in clause 6.2.8.1 and Event ID(s) and Event Filter(s) set during step 3b. The untrusted AF notifies the NWDAF on the processed data by using step 5b (i.e. Step 4 and step 5 of the procedure that described in Figure 6.2.2.3-1).
- NOTE 2: If NWDAF requests the same data from multiple UEs, i.e. a determined list of UEs or "any UE" as the Target of Analytics Reporting, the AF can process (e.g. anonymize, aggregate and normalize) the data from multiple UEs according to the Event ID(s) and Event Filter(s) received from NWDAF during step 3a or 3b before notifying the NWDAF on the processed data in step 5a (if the AF is in trusted domain) or step 5b (if the AF is in untrusted domain).
- 6. The NWDAF produces analytics using the UE data received from the AF.
- 7. The NWDAF provides analytics to the consumer NF.

If the Target of Analytics Reporting that was received from the consumer in step 1 includes an Internal Group ID, NWDAF includes such Internal Group ID in step 3a or step 3b to AF. In the case of step 3b, NEF translates the Internal Group ID to an External Group ID.

If the Target of Analytics Reporting that was received from consumer in step 1 is "any UE", NWDAF may either set the target of event reporting to "any UE" in step 3a or 3b to AF, or may determine a list of SUPIs from AMF and/or SMF based on the Analytics Filter Information and sends the step 3a or 3b to AF for the determined list of UEs.

NOTE 3: It is assumed that the AF is provisioned with the list of UE IDs (GPSIs or SUPIs) belonging to an External or Internal Group ID.

#### 6.2.8.2.4 Correlation between UE data collection and the NWDAF data request

#### 6.2.8.2.4.1 General

The UE IP address is used to identify the user plane connection established between the UE application and the AF for data collection, while the AF receives the Naf\_EventExposure\_Subscribe to request for the specific UE data collection by using SUPI (for AF in trusted domain) or external UE ID (i.e. GPSI) (for AF in untrusted domain). AF is required to correlate the UE IP address to the SUPI or to GPSI.

If the AF supports requests addressed to External Group ID (for AF in untrusted domain) or Internal Group ID (for AF in internal trust domain), the AF must correlate the list of external UE ID (i.e. GPSI) or SUPI, respectively, with the group(s) the UE belongs to, so that the AF can further correlate the UE ID (external or internal) to the UE IP address.

AF may indicate in NF profile and register to NRF in clause 6.2.8.2.2 if it supports to do the mapping itself or ask NWDAF to do it. If the AF is in a trusted domain, it may also indicate the supported list of S-NSSAI, DNN combinations to NRF in NF profile.

NOTE 1: If any method in Annex A is used, the AF always indicates in the NF profile that it supports mapping by itself.

Accordingly, if AF supports the mapping, for AF in trusted domain, it is required to correlate the UE IP address and SUPI as described in clause 6.2.8.2.4.2 or by other means, e.g. as described in Annex A. This, after receiving the data collection request from NWDAF and there is no mapping information storage in the AF. For AF in untrusted domain, the procedure to correlate the UE IP address and GPSI is described in clause 6.2.8.2.4.4 or by some other means, e.g. as described in Annex A. If there is NAT between the UE and the AF, one of the methods in Annex A can be used.

If there is no NAT between the UE and the AF, NWDAF may collect the mapping information as described in clause 6.2.8.2.4.4 before sending request to AF in step 3a or step 3b in Figure 6.2.8.2.3-1.

If the user plane session between the UE and the AF is released, the AF / NWDAF removes the stored correlation information between UE IP address and UE SUPI / GPSI.

For all procedures defined in this clause 6.2.8.2.4.1, a specific combination of S-NSSAI/DNN shall be corresponding to a single PDU session for a UE to access the AF (either in trusted domain or untrusted domain).

NOTE 2: Based on implementation, for the UE to access the Data Collection AF, only a single PDU Session is allowed to be established to the Data Collection AF, by configuring a specific S-NSSAI/DNN for the Data Collection AF only.

#### 6.2.8.2.4.2 AF in trusted domain correlates UE data collection and NWDAF request

This is only valid if there is no NAT between the UE and the AF.

If the AF receives the Naf\_EventExposure\_Subscribe/Request including Target for Event Reporting set to SUPI and not including the UE's IP address and the AF does not locally store the UE's IP address, the AF finds the PDU session(s) serving the SUPI, DNN, S-NSSAI from UDM and the allocated IPv4 address or IPv6 prefix or both from SMF as described in Figure 6.2.8.2.4.2-1.

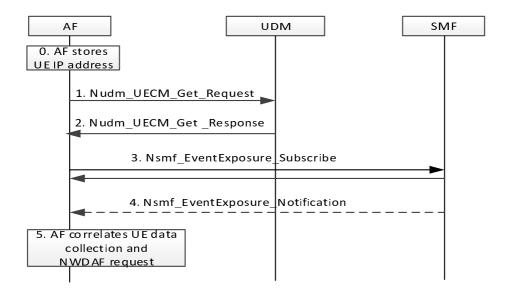


Figure 6.2.8.2.4.2-1: AF in trusted domain correlates UE data collection and NWDAF request

- 0. At the establishment of the user plane connection between the UE Application and the AF, the AF stores the UE IP address (for both direct and indirect reporting) as described clause 6.2.8.2.1.
- 1. The AF receives a request to retrieve input data as described in clause 6.2.8.2.3 including a SUPI. The AF finds the SMF serving the PDU session(s) for this SUPI using Nudm\_UECM\_Get\_Request including SUPI, type of requested information set to SMF Registration Info and the S-NSSAI and DNN, as defined in clause 5.3.2.5.7 in TS 29.503 [26].
- 2. The UDM provides the SMF id and the corresponding PDU Session id, S-NSSAI, DNN using Nudm\_UECM\_Get\_Response to the AF. Using the AF supported S-NSSAI, DNN and the received information from UDM, AF determines the PDU session used for the user plane connection between UE and AF.
- 3. The AF sends Nsmf\_EventExposure\_Subscribe to the SMF identified in step 2, including the Target for Event Reporting set to the PDU Session id(s) provided in step 2 and the Event ID set to IP address/prefix allocation/change.
- 4. The SMF provides the allocated IPv4 address or IPv6 prefix to the AF.
- 5. The AF correlates the UE data that includes the UE IP address and the NWDAF request for a SUPI using the retrieved IPv4 address or IP v6 prefix.

If the user plane session between the UE and the AF is released, the AF shall remove the stored correlation information between the UE IP address / prefix and SUPI.

#### 6.2.8.2.4.3 AF in untrusted domain correlates UE data collection and NWDAF request

This is only valid if there is no NAT between the UE and the AF.

If the AF receives the Naf\_EventExposure\_Subscribe from NWDAF, via NEF, including Target for Event Reporting set to GPSI and not including the UE's IP address and the AF does not locally store the UE's IP address, the AF request the NEF to provide the allocated IPv4 address or IPv6 prefix or both as described in Figure 6.2.8.2.4.3-1.

NOTE 1: The NWDAF can also provide the UE IP address to the AF as described in clause 6.2.8.2.4.1.

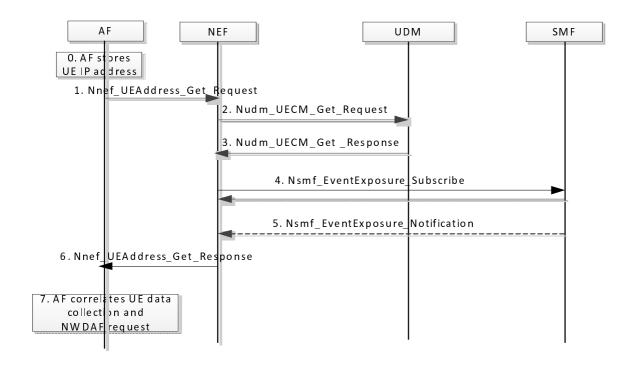


Figure 6.2.8.2.4.3-1: AF in untrusted domain correlates UE data collection and NWDAF request

- 0. Same step as step 0 in figure 6.2.8.2.4.3-1.
- 1. The AF receives a request to retrieve input data as described in clause 6.2.8.2.3 including a GPSI. The AF requests NEF to provide the IPv4address or IPv6 prefix or both serving the PDU session for this GPSI towards the AF using Nnef\_UEAddress\_Get\_Request.
- 2. The NEF is configured with the DNN, S-NSSAI to access this AF. The NEF finds the SMF serving the PDU session(s) for this GPSI, DNN, S-NSSAI using Nudm\_UECM\_Get\_Request including type of requested information set to SMF Registration Info and the S-NSSAI and DNN, as defined in clause 5.3.2.5.7 of TS 29.503 [26].
- NOTE 2: If there are more than one (DNN, S-NSSAI) combination to access this AF, the NEF will find the SMF(s) serving the PDU session(s) to any of these (DNN, S-NSSAI) combinations.
- 3. The UDM provides the SMF id(s) and the tuple (PDU Session id (S-NSSAI, DNN) using Nudm\_UECM\_Get\_Response to the NEF. Using the configuration in NEF, as described in step 2, the NEF determines the PDU session used for the user plane connection between UE and AF.
- 4. The NEF sends Nsmf\_EventExposure\_Subscribe to the SMF(s) identified in step 3, including the Target for Event Reporting set to the PDU Session id(s) provided in step 3 and the Event ID set to IP address/prefix allocation/change.
- 5. The SMF provides the allocated IPv4 address or IPv6 prefix or both to the NEF.
- 6. The NEF provides the allocated IPv4 address or IPv6 prefix or both provided by SMF in step 5 to the AF.
- 7. The AF correlates the UE data that includes the UE IP address and the NWDAF request for the GPSI using the retrieved IPv4 address or IP v6 prefix.

If the user plane session between the UE and the AF is released, the AF shall remove the stored correlation information between the UE IP address / prefix and GPSI.

6.2.8.2.4.4 NWDAF correlates UE data collection and NWDAF request for trusted AF and untrusted AF

This is only valid if there is no NAT between the UE and the AF.

NWDAF receives the analytics subscription from consumer and discover an AF as described in clause 6.2.8.2.3. NWDAF finds the PDU session(s) serving the SUPI, DNN, S-NSSAI from UDM and the allocated IPv4 address or IPv6 prefix from SMF as described in Figure 6.2.8.2.4.4-1.

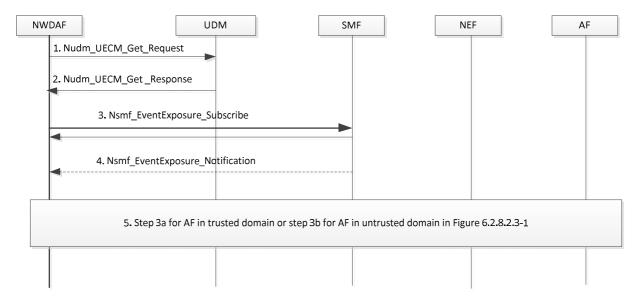


Figure 6.2.8.2.4.4-1: NWDAF correlates UE data collection and NWDAF request

- The NWDAF finds the SMF(s) serving the PDU session(s) for this SUPI or GPSI using Nudm\_UECM\_Get\_Request including SUPI or GPSI, type of requested information set to SMF Registration Info and the list of S-NSSAI and DNN combinations, as defined in clause 5.3.2.5.7 in TS 29.503 [26]. The NWDAF acquires the DNN, S-NSSAI used to access the AF using Nnrf\_NFDiscovery\_Request service operation or is configured with the DNN, S-NSSAI used to access the AF.
- 2. The UDM provides the SMF id(s) and the corresponding PDU Session id(s), per S-NSSAI, DNN combination using Nudm\_UECM\_Get\_Response to the NWDAF. Based on the S-NSSAI, DNN used to access the AF in step 1, NWDAF determines the PDU session used for the user plane connection between UE and AF.
- 3. The NWDAF sends Nsmf\_EventExposure\_Subscribe to the SMF identified in step 2, including the Target for Event Reporting set to the PDU Session id(s) provided in step 2 and the Event ID set to IP address/prefix allocation/change.
- 4. The SMF provides the allocated IPv4 address or IPv6 prefix to the NWDAF.
- 5. Step 3a for AF in trusted domain or step 3b for AF in untrusted domain in Figure 6.2.8.2.3-1 is performed with the exception that NWDAF sets the allocated IPv4 address or IPv6 prefix that were received in step 4 as target of event reporting.

If NWDAF subscribed for the PDU session used for the user plane connection between the UE and the AF is released notification in step 3, the SMF informs the NWDAF that the UE IP address / prefix is released via Nsmf\_EventExposure\_Notify. Based on this information, the NWDAF shall remove the stored correlation information between the UE IP address / prefix and SUPI.

#### 6.2.8.2.4a Void

### 6.2.9 User consent for analytics

Depending on local policy or regulations, to protect the privacy of user data, the data collection, ML Model training and analytics generation for a SUPI or GPSI, Internal or External\_Group\_Id or "any UE" may be subject to user consent

bound to a purpose, such as analytics or ML Model training. The user consent is subscription information stored in the UDM, which includes:

- a) whether the user authorizes the collection and usage of its data for a particular purpose;
- b) the purpose for data collection, e.g. analytics or model training.

The NWDAF retrieves the user consent to data collection and usage from UDM for a user, i.e. SUPI prior to collecting user data from an NF as described in clause 6.2.2 and from a DCCF as described in clause 6.2.6 and prior to retrieving data from NWDAF (either directly according to clause 6.2.6.2 or via DCCF according to clause 6.2.6.3) or ADRF (either directly or via DCCF according to clause 6.2.6.3).

In roaming scenario, the H-RE-NWDAF is the enforcement point to check user consent. The H-RE-NWDAF retrieves the roaming-related user consent for a user from the UDM.

NOTE 1: The content of the roaming-related user consent is specified in clause X.7 and Annex V of TS 33.501 [49].

If a request for analytics is for "any UE", meaning that the consumer requests analytics for all UEs registered in an area, such as a S-NSSAI or DNN or AoI, then the NWDAF resolves "any UE" into a list of SUPIs using the Namf\_EventExposure service with EventId "Number of UEs served by the AMF and located in an area of interest" and retrieves user consent for each SUPI. If a request for analytics is for an Internal or External Group Id, NWDAF resolves it into a list of SUPIs and retrieves user consent for each SUPI.

If user consent for a user is granted, then the NWDAF subscribes to user consent updates in UDM using Nudm\_SDM\_Subscribe service operation. Otherwise, the NWDAF excludes the corresponding SUPI from the request to collect data and generate analytics or ML Model on the other users for which user consent is granted if the request is for a group of UEs identified by an Internal-Group-Id or "any UE".

When data is collected from the UE Application, the ASP is responsible to obtain user consent to share data with the MNO.

If the UDM notifies that the user consent changed, then the NWDAF checks if the user consent is not granted for the purpose of analytics or model training. If user consent was revoked for a UE, the NWDAF stops data collection for that UE. For analytics subscriptions to UE related analytics with the Target of Analytics Reporting set to that UE, the NWDAF stops generation of new analytics and stops providing affected analytics to consumers. For ML Model subscriptions with Target of ML Model Reporting set to that UE, the NWDAF containing MTLF stops (re-)training of ML Model(s) using data from the UE and stops providing the ML Model(s) to consumers (NWDAF containing AnLF) for analytics. If the Target of Analytics Reporting or Target of ML Model Reporting is either an Internal or External Group Id or a list of SUPIs or "any UE", the NWDAF skips those SUPIs that do not grant user consent for the purpose of analytics or model training. The NWDAF may unsubscribe to be notified of user consent updates from UDM for users for which data consent has been revoked.

NOTE 2: The NWDAF can provide analytics or ML Model to consumers that request analytics or ML Model for an Internal or External Group Id, or for "any UE", skipping those users for which consent is not granted or is revoked.

The Analytics ID that needs to check user consent before collecting input data are those that collect input data per user, i.e. per SUPI, GPSI, Internal or External Group Id, or those with the Target of Analytics Reporting or Target of ML Model Reporting set to a SUPI, GPSI or External or Internal Group Id and are described in clause 6.

# 6.2.10 Data collection by H-RE-NWDAF from V-RE-NWDAF for outbound roaming users

This procedure may be used by the RE-NWDAF in the HPLMN as service consumer to subscribe/unsubscribe to notifications about input data from the VPLMN for outbound roaming users (from the HPLMN perspective). H-RE-NWDAF and V-RE-NWDAF in the procedure are NWDAFs with roaming exchange capability.

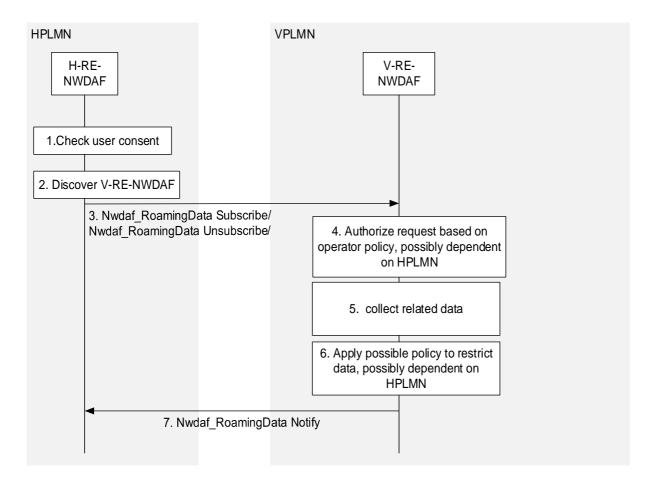


Figure 6.2.10-1: data collection by H-RE-NWDAF from V-RE-NWDAF for outbound roaming users

- 1. For subscription to collected data related to the UE(s), the H-RE-NWDAF checks the user consent of related users
- NOTE 1: See clause X.7 and Annex V TS 33.501 [49] for details of the user consent check procedures. See clause X.8 of TS 33.501 [49] for protection of data exchange in roaming case.
- 2. The H-RE-NWDAF of HPLMN discovers V-RE-NWDAF of VPLMN that supports the Nwdaf\_RoamingData service using the NRF as specified in Clause 5.2.
- NOTE 2: The access to the Nnf\_EventExposure services is expected to be restricted to NF service consumers within the same PLMN to prevent bypassing checks based on user consent and operator policy.
- 3. The H-RE-NWDAF subscribes/unsubscribes to notifications about input data by invoking the Nnwdaf\_RoamingData\_Subscribe / Nnwdaf\_RoamingData\_Unsubscribe service operation. It optionally may indicate the IDs of AMFs and for local breakout also SMFs in the VPLMN handling related UEs, as obtained from the UDM.
- 4. V-RE-NWDAF checks if the HPLMN is authorised to request the input data based on VPLMN operator polices (that may depend on the HPLMN and may indicate permissible or restricted input data and related parameters).
- 5. The V-RE-NWDAF triggers new data collection from NF(s) (as indicated via the AMF ID(s) or SMF ID(s)) if needed and monitors the requested input data using procedures as described in clauses 6.2.1 to 6.2.8.
- 6. The V-RE-NWDAF may restrict the exposed input data based on VPLMN operator polices (that may depend on the HPLMN) and may store them for auditing.
- 7. The V-RE-NWDAF notifies input data to the H-RE-NWDAF.

# 6.2.11 Data collection by V-RE-NWDAF from H-RE-NWDAF for inbound roaming users

This procedure may be used by an RE-NWDAF in the VPLMN to subscribe/unsubscribe to notifications about input data from the HPLMN for inbound roaming users (from the VPLMN perspective). H-RE-NWDAF and V-RE-NWDAF in the procedure are NWDAFs with roaming exchange capability.

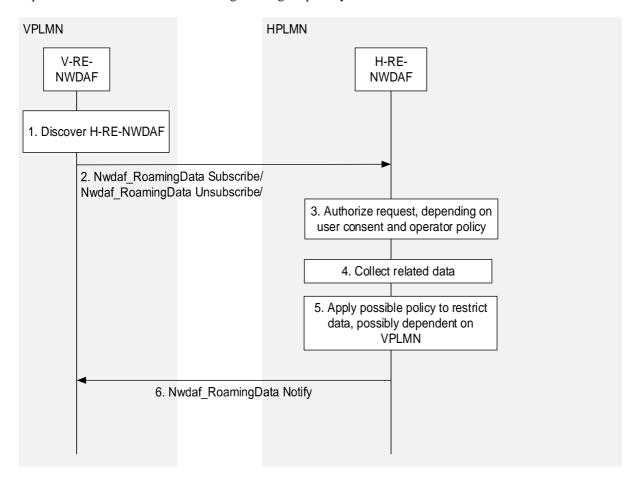


Figure 6.2.11-1: Data Collection by V-NWDAF from H-NWDAF for inbound roaming users

- 1. The V-RE-NWDAF of VPLMN discovers H-RE-NWDAF of HPLMN that supports the Nnwdaf\_RoamingData service using the NRF as specified in clause 5.2.
- NOTE 1: The access to the Nnf\_EventExposure services is expected to be restricted to NF service consumers within the same PLMN to prevent bypassing checks based on user consent and operator policy
- 2. The V-RE-NWDAF subscribes/unsubscribes to input data information by invoking Nnwdaf\_RoamingData\_Subscribe / Nnwdaf\_RoamingData\_Unsubscribe service operation.
- 3. H-RE-NWDAF checks if the VPLMN is authorised to subscribe to the indicated input data based on HPLMN operator polices (that may depend on the VPLMN and may indicate permissible or restricted input data and related parameters) and user consent of related users.
- NOTE 2: See clause X.7 and Annex V of TS 33.501 [49] for details of the user consent check procedures. See clause X.8 of TS 33.501 [49] for protection of data exchange in roaming case.
- NOTE: User consent check needs to be aligned with SA WG3.
- 4. The H-RE-NWDAF triggers new data collection if needed and monitors the requested input data, using procedures as described in clauses 6.2.1 to 6.2.8.

- 5. The H-RE-NWDAF may restrict the exposed input data based on HPLMN operator polices (that may depend on the VPLMN) and may store them for auditing.
- 6. The H-RE-NWDAF notifies input data to the V-RE-NWDAF.

### 6.2.12 Data Collection using LCS

#### 6.2.12.1 General

The NWDAF may collect location information for a target UE or a group of target UEs using LCS. The collected location related information can include:

- Location estimate of the UE in geographical coordinates and/or local coordinates expressed as a shape as defined in TS 23.032 [34] or local coordinate reference system;
- Time stamp of location estimate;
- Velocity of the UE as defined in TS 23.032 [34];
- Information about the positioning method used to obtain the location estimate of the UE;
- Indication of area event, when UE enters, is within or leaves the Geographical area;
- Indication of motion event when UE moves by more than some predefined straight line distance from a previous location.

NOTE: The location information that can be retrieved is defined within the location service response in clause 5.5 of TS 23.273 [39].

NWDAF shall use Ngmlc service as defined in TS 23.273 [39] to collect the location information using LCS. Only Mobile Terminated Location Request (MT-LR) is supported, including both Immediate Location Request and Deferred Location Request.

NWDAF may determine to query LCS system instead of AMF to obtain UE's location information based on the following attributes as received from NWDAF consumer:

- Analytics ID (e.g. UE Mobility, QoS Sustainability, Relative Proximity, Movement Behaviour);
- Preferred granularity of location information.

#### 6.2.12.2 Procedure for data collection using LCS

The interactions between NWDAF and LCS for data collection are illustrated in Figure 6.2.12.2-1. The data collected depends on the use cases. This figure is an abstraction of how NWDAF collects location information using LCS. The actual procedures that NWDAF may use are as follows:

- For a target UE, both 5GC-MT-LR procedure for the commercial location service as specified in clause 6.1.2 and deferred 5GC-MT-LR procedure as specified in clause 6.3 of TS 23.273 [39] can be utilized;
- For a group of target UEs, bulk operation of LCS Service Request Targeting to Multiple UEs as specified in clause 6.8 of TS 23.273 [39] can be utilized.

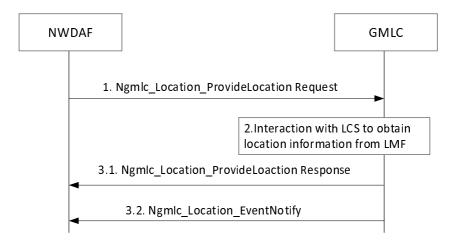


Figure 6.2.12.2-1: Data collection using LCS

- 1. NWDAF requests the location information from GMLC about a target UE (that may be identified by a SUPI) or a group of target UEs (identified by a group ID).
- 2. GMLC interacts within LCS, i.e. with AMF/LMF as described in TS 23.273 [39], to obtain the UE's location information. If privacy verification is required, GLMC will interact with UE via AMF before sending the location information to NWDAF.
- 3.1 If it is Immediate Location Request, GMLC sends the location service response including the location information for the target UE (or a group of target UEs) within a short time period as specified in clause 4.1a.4 of TS 23.273 [39] to the NWDAF.
- 3.2 If it is Deferred Location Request, GMLC sends the location service response including the indication of event occurrence and location information if requested for the target UE (or group of target UEs) at some future time (or times) as specified in clause 4.1a.5 of TS 23.273 [39] to the NWDAF.

### 6.2.13 Rating untrusted AF data sources

#### 6.2.13.1 General

When using an untrusted AF as data source, NWDAF may consider the data source rating results. The rating of untrusted AF is based on the quality of data collected.

Such rating may be triggered when the accuracy check based on the calculation between the predicted and ground-truth data indicates low performance, while the untrusted data source rating may be performed based on NWDAF internal logic. In the selection of the appropriate data sources, the NWDAF may use the rate of untrusted AF data sources as a criterion to calculate the expected confidence degree.

#### 6.2.13.2 Procedure for rating untrusted AF data sources

The process of rating untrusted AF data sources is depicted in Figure 6.2.13.2. For realizing potential issues, the NWDAF containing AnLF subscribes to the NWDAF containing MTLF, which performs an accuracy calculation based on the predicted and ground-truth data or alternatively the NWDAF containing AnLF can calculate the accuracy locally by comparing the predicted and ground-truth data.

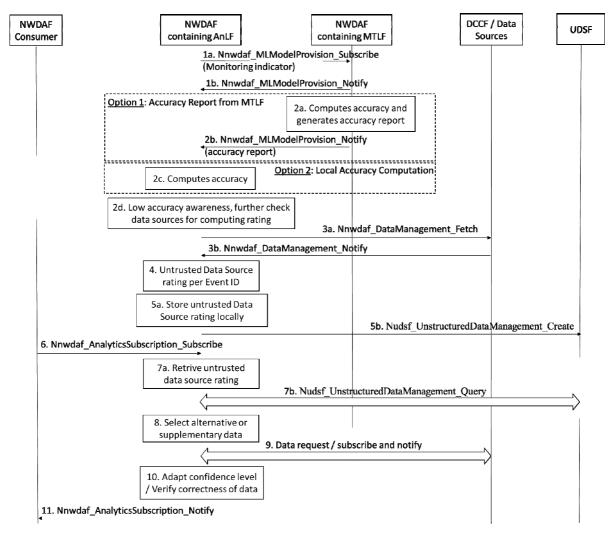


Figure 6.2.13.2: NWDAF containing AnLF-based untrusted AF data source rating

 NWDAF containing AnLF subscribes to NWDAF containing MTLF for obtaining an ML Model using the Nnwdaf\_ModelProvision\_Subscribe service operation. The NWDAF containing AnLF may include a threshold (as described in clause 6.2E.2) to indicate when the NWDAF containing MTLF needs to execute the accuracy monitoring operations.

#### Option 1: Accuracy report from NWDAF containing MTLF

- 2a. NWDAF containing MTLF evaluates the ML Model Accuracy according to clause 6.2E.2.
- 2b. An accuracy report is sent to the NWDAF containing AnLF, e.g. when the reporting threshold is met by invoking Nnwdaf\_MLModelProvision\_Notify service operation.

#### Option 2: NWDAF containing AnLF computes accuracy

- 2c. NWDAF containing AnLF calculates the accuracy by comparing the predictions with ground truth data.
- 2d. NWDAF containing AnLF is aware that the ML Model used has a low accuracy either by receiving the accuracy report in step 2b or monitoring the accuracy by itself in step 2c. NWDAF containing AnLF determines that it needs to check further the data sources and compute data source rating. The decision conditions upon which it needs to initiate data source rating for a data source is based on NWDAF containing AnLF implementation.
- 3a-3b. NWDAF containing AnLF initiates rating of a data source by requesting and receiving supplementary data, i.e. via Nnwdaf\_DataManagement\_Fetch / Ndccf\_DataManagement\_Notify, from different data sources (if available) to verify the data source quality or correctness. Such data can be for example performance data from the OAM which are supplementary to the data from untrusted AFs, or data from UPF supplementary to the data from untrusted AFs.

- 4. NWDAF containing AnLF updates the rating for the sources where untrusted data is deviated from the supplementary trusted data per Event ID.
- NOTE 1: An NWDAF containing AnLF determines the rating of an untrusted AF data source based on internal operations.
- 5a. NWDAF containing AnLF stores the untrusted AF data source rating locally.
- 5b. NWDAF containing AnLF may send the untrusted AF data source rating to UDSF, if available. NWDAF containing AnLF uses the Nudsf\_ UnstructuredDataManagement\_Create service operation.
- NOTE 2: To avoid an untrusted AF to be permanently excluded as a data source, the NWDAF containing AnLF can re-rate the untrusted AF based on its internal logic. For example, it can rate the untrusted AF after some timer expired.
- 6. A NWDAF consumer subscribes to a certain Analytics ID, using Nnwdaf\_AnalyticsSubscription\_Subscribe service operation.

Either step 7a or step 7b is executed, before collecting the data needed for the subscribed Analytic ID.

- 7a. The NWDAF containing AnLF retrieves the untrusted AF rating of the data sources locally.
- 7b. The NWDAF containing AnLF retrieves the untrusted AF rating of the data sources from the UDSF using the to use Nudsf\_UnstructuredDataManagement\_Query service operation.
- 8. If the rating of one or more untrusted AF is below a threshold (i.e. that is pre-configured), then the NWDAF containing AnLF can:
  - (i) select an alternative untrusted AF (if available) with higher rating; or
  - (ii) request supplementary data from other trusted data sources.
- 9. The NWDAF containing AnLF subscribes to a new data source to receive alternative or supplementary data if a new data source is selected in step 8.
- 10. The NWDAF containing AnLF may use the rate of untrusted AF data sources as a criterion to calculate the confidence level of the respective analytics output.
- 11. The NWDAF containing AnLF provides the analytics output to the analytics consumer, using the Nnwdaf\_AnalyticsSubscription\_Notify service operation.

In the case of ML Model (re)training, if the NWDAF containing MTLF is the same NWDAF containing AnLF in step 5b, it may also use the rate of untrusted AF data sources by performing steps 7b and 8-9 and then, (re)trains the ML Model.

## 6.2.14 Analytics Collection from MDAF

#### 6.2.14.1 General

The MDA functional overview and service framework as defined in Figure 5.1-1 of TS 28.104 [45] is used by NWDAF to trigger the MDA MnS to request analytics from the MDA Management Function (MDAF).

Before NWDAF requests analytics from the MDA Management Function, the NWDAF discovers the MDA Management Function via the MnS discovery service producer as defined in clause 5 of TS 28.537 [46].

#### 6.2.14.2 Procedure for analytics collection from MDAF

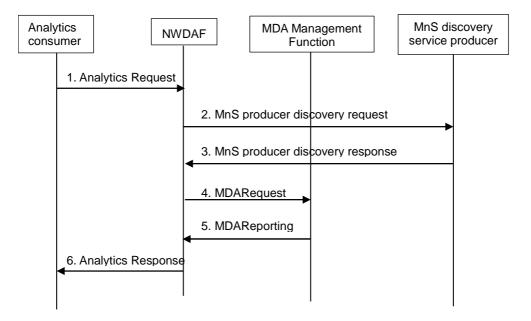


Figure 6.2.14.2-1: Procedure for analytics collection from MDAF

Precondition: Initially MDAF(s) or MDA MnS producers register their capabilities, i.e. MnS information or MnS profile as described in clause 5 of TS 28.537 [46] to a MnS discovery service producer. The MnS discovery service producer may contain all or partial information related to the capabilities of MDA MnS producer.

- 1. An analytics consumer issues a request or subscription of network analytics towards the selected NWDAF as described in clause 6.1.
- 2. NWDAF discovers the MDA Management Function from the MnS discovery service producer by sending a MnS producer discovery service operation.
- NOTE 1: The service operation can possibly include parameters for MDA MnS discovery, e.g. requested MDA Type, Area of Interest, Network Slice information, etc. The detailed parameters are defined in TS 28.537 [46] and TS 28.622 [41].
- 3. The MnS discovery service producer provides the relevant MnS information of the MDA Management Function to the NWDAF.
- NOTE 2: MnS information refer to the data describing a MnS producer and their capabilities, which is used by the consumer to discover the producers of specific Management Services and to derive the addresses of the Management Services as defined in TS 28.537 [46] and TS 28.622 [41].
- 4. If the MnS information of more than one MDAF is received, NWDAF selects the most suitable MDAF and gets the address of the selected MDAF from the MnS information. Then NWDAF sends analytics request to the MDA Management Function by triggering a MDARequest service operation as defined in clause 9.3.2 of TS 28.104 [45] requesting management data analytics such as Slice Coverage Analysis, Mobility Performance Analysis, Fault Prediction analysis. The analyticScope may contain Area of interest, Network Slice information, NF type etc.
- NOTE 3: Definitions and details of the parameters for MDARequest service operation can be found in TS 28.104 [45].
- NOTE 4: The selection of the most suitable MDAF can be based on MnS information of the MDA MnS, e.g. MDA type as one MDA capability, and the request/subscription received from analytics consumer in step 1. The detailed information and procedure between the NWDAF and the MDAF for MDA MnS selection is defined in TS 28.537 [46], TS 28.622 [41] and TS 28.104 [45].
- 5. The MDA Management Function provides the analytics to the NWDAF by triggering an MDAReporting service operation.

6. NWDAF provides the analytics response back to the analytics consumer after processing the analytics provided by the MDA Management Function together with other data receives from NF sources according to the Analytics ID defined in clause 6.

## 6.2A Procedure for ML Model Provisioning

#### 6.2A.0 General

This clause presents the procedure for the ML Model provisioning.

An NWDAF containing AnLF may be locally configured with (a set of) IDs of NWDAFs containing MTLF and the Analytics ID(s) supported by each NWDAF containing MTLF to retrieve trained ML Models or may use the NWDAF discovery procedure specified in clause 5.2 for discovering NWDAFs containing MTLF. An NWDAF containing MTLF may determine that further training for an existing ML Model is needed when it receives the ML Model subscription or the ML Model request.

A NWDAF containing MTLF may retrieve trained ML Models from other NWDAF containing MTLF as described in clause 5.3. The NWDAF containing MTLF determines to train a ML Model either based on the request from NWDAF containing AnLF, or based on local configuration. The NWDAF containing MTLF further determines the FL procedure is required but it can not act as an FL server, therefore, the NWDAF containing MTLF should discover an FL server NWDAF as described in clause 5.2 and request the ML Model provisioning from the FL server NWDAF. How to protect the trained ML Model file from being used without authorization e.g. forward from an NWDAF containing MTLF that has retrieved a model from another NWDAF containing MTLF is defined in TS 33.501 [49].

#### 6.2A.1 ML Model Subscribe/Unsubscribe

The procedure in Figure 6.2A.1-1 is used by an NWDAF service consumer, i.e. an NWDAF containing AnLF to subscribe/unsubscribe at another NWDAF, i.e. an NWDAF containing MTLF or an NWDAF containing MTLF to subscribe/unsubscribe at another NWDAF containing MTLF, i.e. an FL server NWDAF, to be notified when ML Model Information on the related Analytics becomes available, using Nnwdaf\_MLModelProvision services as defined in clause 7.5. The ML Model Information is used by an NWDAF containing AnLF to derive analytics. The service is also used by an NWDAF to modify existing ML Model Subscription(s). An NWDAF can be at the same time a consumer of this service provided by other NWDAF(s) and a provider of this service to other NWDAF(s).

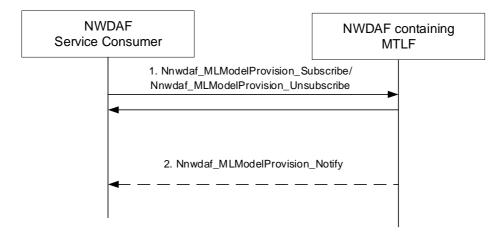


Figure 6.2A.1-1: ML Model for analytics subscribe/unsubscribe

The NWDAF service consumer subscribes to, modifies, or cancels subscription for a (set of) trained ML
Model(s) associated with a/an (set of) Analytics ID(s) by invoking the Nnwdaf\_MLModelProvision\_Subscribe /
Nnwdaf\_MLModelProvision\_Unsubscribe service operation. The parameters that can be provided by the
NWDAF service consumer are listed in clause 6.2A.2. The service consumer optionally indicates its support for
multiple ML Models if available.

When a subscription for a trained ML Model associated with an Analytics ID is received, the NWDAF containing MTLF may:

- determine whether existing trained ML Model(s) can be used for the subscription; or
- determine whether triggering further training for the existing trained ML Models is needed for the subscription.

If the NWDAF containing MTLF determines that further training is needed, this NWDAF may initiate data collection from NFs, (e.g. AMF/DCCF/ADRF), UE Application (via AF) or OAM as described in clause 6.2, to generate the ML Model.

If the service invocation is for a subscription modification or subscription cancelation, the NWDAF service consumer includes an identifier (Subscription Correlation ID) to be modified in the invocation of Nnwdaf\_MLModelProvision\_Subscribe.

- 2. If the NWDAF service consumer subscribes to a (set of) trained ML Model(s) associated to a (set of) Analytics ID(s), the NWDAF containing MTLF notifies the NWDAF service consumer by invoking Nnwdaf\_MLModelProvision\_Notify service operation with:
  - For each Analytics ID requested by the service consumer, a set of pair(s) of unique ML Model identifier and the associated Information.
- NOTE 1: The structure and format of the ML Model identifier and its uniqueness are up to stage 3.
- NOTE 2: Parameters defined for Multiple ML Models are for Analytics accuracy enhancement.

The content of trained ML Model Information that can be provided by the NWDAF containing MTLF is specified in clause 6.2A.2.

The NWDAF containing MTLF also invokes the Nnwdaf\_MLModelProvision\_Notify service operation to notify an available re-trained ML Model when the NWDAF containing MTLF determines that the previously provided trained ML Model required re-training at step 1.

When the step 1 is for a subscription modification (i.e. including Subscription Correlation ID), the NWDAF containing MTLF may provide either a new trained ML Model different to the previously provided one, or retrained ML Model by invoking Nnwdaf\_MLModelProvision\_Notify service operation.

When the content includes ML Model provide indicator, the NWDAF service consumer (i.e. an NWDAF containing AnLF) may triggers ML Model retrieval procedure (e.g. clause 6.2B.7) to retrieve ML Model(s).

## 6.2A.2 Contents of ML Model Provisioning

The consumers of the ML Model provisioning services as described in clause 7.5 and clause 7.6 may provide the input parameters as listed below:

- Information of the analytics for which the requested ML Model is to be used, including:
  - A list of Analytics IDs: identifies the analytics for which the ML Model is used.
  - [OPTIONAL] NF consumer information: identifies the vendor of NWDAF containing AnLF or NWDAF containing MTLF.

NOTE 1: NF consumer information such as Vendor ID is defined in Stage 3.

- [OPTIONAL] Use case context: indicates the context of use of the analytics to select the most relevant t ML Model.
- NOTE 2: The NWDAF containing MTLF can use the parameter "Use case context" to select the most relevant ML Model, when several ML Models are available for the requested Analytics ID(s). The values of this parameter are not standardized.
  - [OPTIONAL] ML Model Interoperability Information. This is vendor-specific information that conveys, e.g., requested model file format, model execution environment, etc. The encoding, format, and value of ML Model Interoperable Information is not specified since it is vendor specific information, and is agreed between vendors, if necessary for sharing purposes.

- [OPTIONAL] ML Model Filter Information: enables the NWDAF containing MTLF to select which ML Model for the analytics is requested, e.g. S-NSSAI, Area of Interest. Parameter types in the ML Model Filter Information are the same as parameter types in the Analytics Filter Information which are defined in procedures.
- [OPTIONAL] Target of ML Model Reporting: indicates the object(s) for which ML Model is requested, e.g. specific UEs i.e. a list of SUPIs, a group of UEs i.e. a list of Internal-Group-Ids or any UE (i.e. all UEs).
- [OPTIONAL] Requested representative ratio: a minimum percentage of UE(s) in the group whose data is a non-empty set and can be used in the model training when the Target of ML Model Reporting is a group of UEs i.e. a list of Internal-Group-Ids.
- ML Model Reporting Information with the following parameters:
  - (Only for Nnwdaf\_MLModelProvision\_Subscribe) ML Model Reporting Information Parameters as per Event Reporting Information Parameter defined in Table 4.15.1-1, TS 23.502 [3].
- [OPTIONAL] ML Model Target Period: indicates time interval [start, end] for which ML Model for the Analytics is requested. The time interval is expressed with actual start time and actual end time (e.g. via UTC time).
- [OPTIONAL] Inference Input Data information: contains information about various settings that are expected to be used by AnLF during inferences such as:
  - the "Input Data" that are expected be used, each of them optionally accompanied by metrics that show the granularity with which this data will be used (i.e., a sampling ratio, the maximum number of input values, and/or a maximum time interval between the samples of this input data).

NOTE 3: This can be a subset of the possible Input Data specified for a certain analytics type.

- the data sources that are expected to be used, indicated as a list of NF instance (or NF set) identifiers.
- A Notification Target Address (+ Notification Correlation ID) as defined in clause 4.15.1 of TS 23.502 [3], allowing to correlate notifications received from the NWDAF containing MTLF with this subscription.
- [OPTIONAL] Indication of supporting multiple ML Models.
- [OPTIONAL] Accuracy level(s) of Interest.
- [OPTIONAL] Number of ML Model(s), indicating the maximum number of ML Models that the NWDAF containing MTLF could provide to the NWDAF containing AnLF.

NOTE 4: Multiple ML Models Filter Information are composed by Accuracy level(s) of Interest and Number of ML Model(s).

- [OPTIONAL] Time when model is needed: indicates the latest time when the consumer expects to receive the ML Model(s).
- [OPTIONAL] ML Model Monitoring Information:
  - desired ML Model metric.

NOTE 5: In this Release, only "ML Model Accuracy" is defined as ML Model metric.

- [OPTIONAL] (only for Nnwdaf\_MLModelProvision\_Subscribe service operation) ML Model monitoring
  reporting mode: such as Accuracy reporting interval or pre-determined status. Depending on the reporting
  mode, the NWDAF containing MTLF reports the ML Model accuracy to NWDAF containing AnLF either
  periodically or when the ML Model accuracy is crossing an ML Model Accuracy threshold, i.e. the accuracy
  either becomes higher or lower than the ML Model Accuracy threshold.
- [OPTIONAL] ML Model Accuracy Threshold: indicating the accuracy threshold of the ML Model requested by the consumer (as a kind of pre-determined status). It also can be used as an indication that the MTLF is triggered to execute the accuracy monitoring operations for the ML Model provisioned to AnLF.

- [OPTIONAL] DataSetTag and ADRF ID if available: indicates the inference data (including input data, prediction and the ground truth data at the time which the prediction refers to) stored in ADRF which can be used by MTLF to retrain or reprovision of the ML Model.
- [OPTIONAL] ML Model identifier: indicates the Model that the data corresponding to the DataSetTag is related to (in the case of subscription modification).

The NWDAF containing MTLF provides to the consumer of the ML Model provisioning service operations as described in clause 7.5 and 7.6, the output information as listed below:

- (Only for Nnwdaf\_MLModelProvision\_Notify) The Notification Correlation Information.
- For each Analytics ID requested by the service consumer, a set of pair (s) of unique ML Model identifier and the following information.
  - ML Model Information, which includes:
    - the ML Model file address; or
    - ADRF (Set) ID.

When ADRF (Set) ID is provisioned and the MTLF authorizes the NF Service Consumer to retrieve all ML Models corresponding to a Storage Transaction ID, a Storage Transaction ID may also be provisioned.

- [OPTIONAL] ML Model provide indicator: indicates that the ML Model corresponding to the ML Model identifier is updated (e.g. re-trained ML Model).
- [OPTIONAL] ML Model degradation indicator: indicates whether the provided ML Model is degraded.
- [OPTIONAL] Validity period: indicates time period when the provided ML Model Information applies.
- [OPTIONAL] Spatial validity: indicates Area where the provided ML Model Information applies.

NOTE 6: Spatial validity and Validity period are determined by MTLF internal logic and it is a subset of AoI if provided in ML Model Filter Information and of ML Model Target Period, respectively.

- [OPTIONAL] ML Model representative ratio: indicating the percentage of UE(s) in the group whose data is used in the ML Model training when the Target of ML Model Reporting is a group of UE(s).
- [OPTIONAL] Training Input Data Information: contains information about various settings that have been used by MTLF during training, such as:
  - the "Input Data" that have been used, each of them optionally accompanied by metrics that show the data characteristics and granularity with which this data has been used (i.e. a sampling ratio, the maximum number of input values and/or a maximum time interval between the samples of this input data, data range including maximum and minimum values, mean and standard deviation and data distribution when applicable) and the time, i.e. timestamp and duration, when this data was obtained.
  - the data sources related to the "Input Data" that were used for ML Model training, which have been identified by a list of NF instance (or NF set) identifiers.
- NOTE 7: This can be a subset of the possible Input Data specified for a certain analytics type.
- NOTE 8: Data source information enables ML Model selection when different models are available for an Analytics ID, or it enables a consumer to avoid selecting a ML Model that used data from a specific data source at a particular time or used data characterized by specific data characteristics.
  - [OPTIONAL] ML Model Accuracy Information: indicates the accuracy of the ML Model if related ML Model Monitoring Information was provided, which includes:
    - the metric value of the ML Model.
    - [OPTIONAL] used ML Model metric.

### 6.2A.3 ML Model request

The procedure in Figure 6.2A.3-1 is used by an NWDAF service consumer, i.e. an NWDAF containing AnLF to request and get from another NWDAF, i.e. an NWDAF containing MTLF ML Model Information, or an NWDAF containing MTLF to request and get from another NWDAF containing MTLF worked as FL server ML Model Information, using Nnwdaf\_MLModelInfo services as defined in clause 7.6. The ML Model Information is used by an NWDAF containing AnLF to derive analytics. An NWDAF can be at the same time a consumer of this service provided by other NWDAF(s) and a provider of this service to other NWDAF(s).

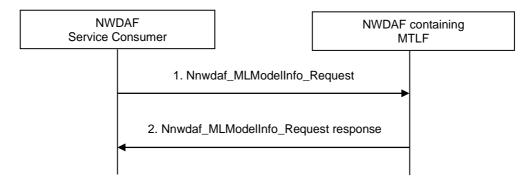


Figure 6.2A.3-1: ML Model Request

1. The NWDAF service consumer requests a (set of) ML Model(s) associated with a/an (set of) Analytics ID(s) by invoking Nnwdaf\_MLModelInfo\_Request service operation. The parameters that can be provided by the NWDAF Service Consumer are listed in clause 6.2A.2. The service consumer optionally indicates its support for multiple ML Models if available.

When a request to an ML Model Information for the Analytics is received, the NWDAF containing MTLF may:

- determine whether existing trained ML Model(s) can be used for the request; or
- determine whether triggering further training for the existing trained ML Models is needed for the request.

If the NWDAF containing MTLF determines that further training is needed, this NWDAF may initiate data collection from NFs, (e.g. AMF/DCCF/ADRF), UE Application (via AF) or OAM as described in clause 6.2, to generate the ML Model.

- 2. The NWDAF containing MTLF responds to the NWDAF service consumer by invoking Nnwdaf\_MLModelInfo\_Request response service operation including:
  - a set of pair(s) of unique ML Model identifier and the ML Model Information for each Analytics ID that the NWDAF service consumer requests.

The content of ML Model Information that can be provided by the NWDAF containing MTLF is specified in clause 6.2A.2.

## 6.2B Analytics Data and ML Model Repository procedures

#### 6.2B.1 General

Collected data and analytics may be stored in ADRF, using procedure as specified in clause 6.2B.2 and clause 6.2B.3. Collected data and analytics may be deleted from ADRF, using procedure as specified in clause 6.2B.4.

ML Model may be stored in ADRF, using procedure as specified in clause 6.2B.5. ML Model may be deleted from ADRF, using procedure as specified in clause 6.2B.6. ML Model(s) may be retrieved from ADRF, using procedure as specified in clause 6.2B.7.

## 6.2B.2 Historical Data and Analytics storage

The procedure depicted in figure 6.2B.2-1 is used by consumers (e.g. NWDAF, DCCF or MFAF) to store historical data and/or analytics, i.e. data and/or analytics related to past time period that has been obtained by the consumer. After the consumer obtains data and/or analytics, consumer may store historical data and/or analytics in an ADRF. Whether the consumer directly contacts the ADRF or goes via the DCCF or via the Messaging Framework is based on configuration.

The consumer may include in the storage request the DataSetTag attribute which the data records are to be associated to when stored by ADRF. The DataSetTag attribute is defined in Table 6.2B-1. Data records can be associated to multiple DataSetTag attributes.

Table 6.2B-1: DataSetTag attribute

| Information        | Description   |
|--------------------|---|
| DataSetTag         | Identifies the data set.  |
| DataSetDescription | Provides human-readable information about the characteristics of the data |
|                    | set.  |

The consumer may include in the storage request the Data Synthesis and Compression (DSC) information. The detail of DSC information is up to implementation, which is out of 3GPP scope.

NOTE: DCS information can include the following information:

- indication that the data have been generated using a data synthesis tool;
- indication that the data have been generated using a data compression tool;
- the information about the data synthesis and/or compression technique.

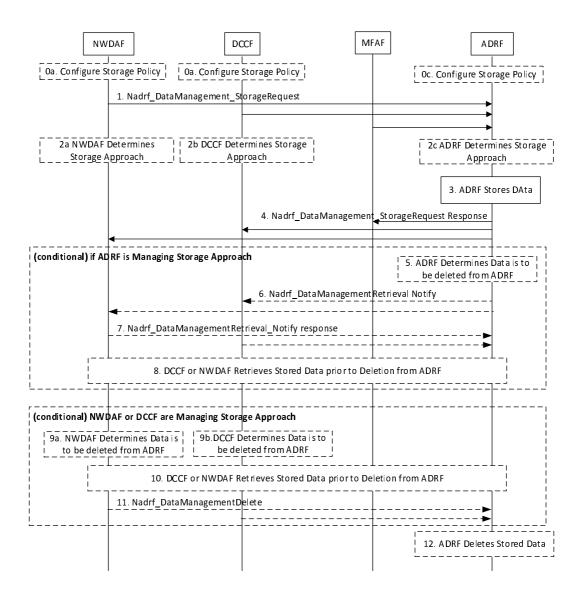


Figure 6.2B.2-1: Historical Data and Analytics storage

0a-c. NWDAF, DCCF or ADRF are configured with default operator storage policies as described in clause 5B.1.

- The consumer sends data and/or analytics to the ADRF by invoking the Nadrf\_DataManagement\_StorageRequest (collected data with timestamp, analytics with timestamp, Service Operation, Analytics Specification or Data Specification, Storage Handling information, optionally DataSetTag, optionally DSC information) service operation. The NWDAF or DCCF may provide notification endpoint information to the ADRF for use by the ADRF to send notifications (implicit subscription) alerting the DCCF or NWDAF that data are about to be deleted (see step 6).
- 2.a-c Based on Storage Handling information (if available) and Storage Policy, the ADRF, DCCF or NWDAF determines the Storage Approach (lifetime for storing data and whether consumer is notified prior to data deletion).
- 3. The ADRF stores the data and/or analytics sent by the consumer. The ADRF may, based on implementation, determine whether the same data and/or analytics is already stored or being stored based on the information sent in step 1 by the consumer NF and, if the data and/or analytics is already stored or being stored in the ADRF, the ADRF decides to not store again the data and/or analytics sent by the consumer. If the DataSetTag attribute is included for data and/or analytics already stored or being stored, then ADRF associates the data records with such DataSetTag.

4. The ADRF sends Nadrf\_DataManagement\_StorageRequest Response message to the consumer indicating that data and/or analytics is stored, whether the ADRF determined at step 3 that data or analytics is already stored and the Storage Approach.

#### Conditional on ADRF Managing the Storage Approach

- 5 The ADRF determines that the lifetime of the stored data or analytics has expired (according to the Storage Approach).
- 6 If indicated by the Storage Approach, the ADRF sends a notification alerting the DCCF or NWDAF that data are about to be deleted.

NOTE: This is an implicit subscription.

- 7. The DCCF or NWDAF indicate in the response to the ADRF whether they will retrieve the Data or Analytics
- 8 The DCCF or NWDAF may retrieve the Data or Analytics from the ADRF

#### Conditional on the DCCF or NWDAF Managing the Storage Approach

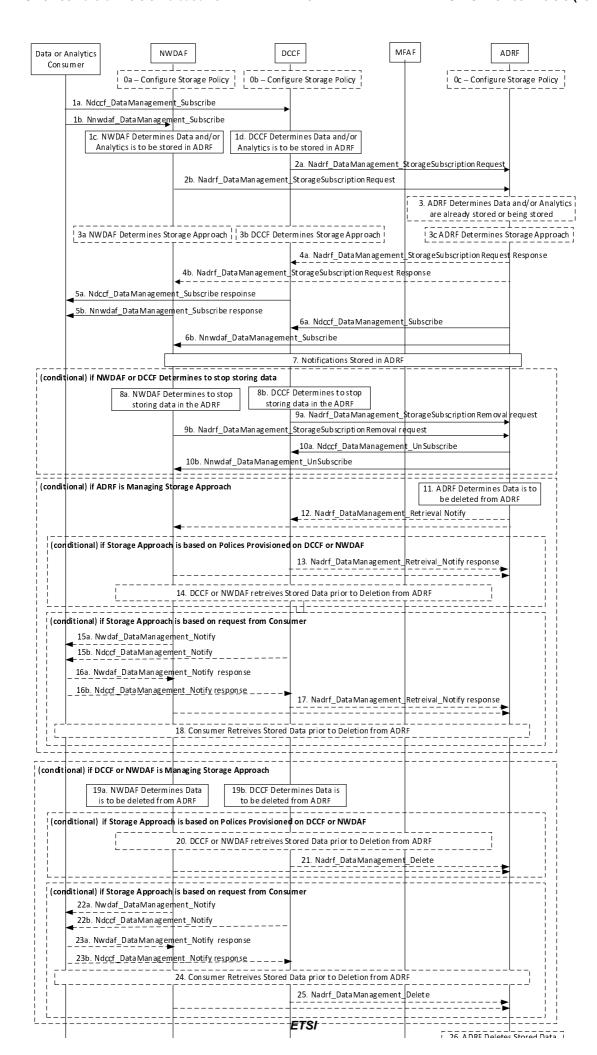
- 9 The NWDAF or DCCF determine that the lifetime of the stored data or analytics has expired (according to the Storage Approach).
- 10. The NWDAF or DCCF optionally retrieve the data or analytics from the ADRF
- 11. The NWDAF or DCCF request that the data or analytics be deleted from the ADRF
- 12. The ADRF deletes the data or analytics if:
  - the Storage Approach in the ADRF indicates alerting the consumer is not required prior to data or analytics deletion:
  - in step 7 the DCCF or NWDAF indicated data or analytics will not be retrieved prior to deletion;
  - data or analytics retrieval in step 8 has completed; or
  - A request to delete data or analytics was received in step 11.

If the ADRF received a response from the NWDAF or DCCF in step 7 indicating data or analytics will be retrieved but retrieval is not initiated before an adequate fixed time has elapsed, the ADRF may autonomously delete the data or analytics.

## 6.2B.3 Historical Data and Analytics Storage via Notifications

The procedure depicted in figure 6.2B.3-1 is used by consumers (NWDAF, DCCF) to store received notifications in the ADRF. The consumer requests the ADRF to initiate a subscription for data and/or analytics. Data and/or analytics provided in notifications as a result of the subsequent subscription by the ADRF are stored in the ADRF.

The consumer may include in the subscription request the DataSetTag attribute defined in Table 6.2B-1.



#### Figure 6.2B.3-1: Historical Data and Analytics Storage via Notifications

- 0a-c NWDAF, DCCF or ADRF are configured with default operator storage policies as described in clause 5B.1.
- 1a-d. Based on provisioning or based on reception of a DataManagement subscription request (e.g. see clause 6.2.6.3.2), the DCCF or the NWDAF determines that notifications are to be stored in an ADRF. The subscription request may contain Storage Handling information with a requested ADRF storage lifetime and a request to be notified before data are deleted from the ADRF.
- 2a-b. The DCCF or the NWDAF determines the ADRF where data and/or analytics needs to be stored and requests that the ADRF subscribes to receive notifications. The determination may be made based on configuration or information supplied by the data consumer as described in clauses 6.1.4 and 6.2.6.3. The request to the ADRF specifies the data and/or analytics to which the ADRF will subscribe by invoking the Nadrf\_DataManagement\_StorageSubscriptionRequest service operation. The request may include the DataSetTag attribute which the data records are to be associated to when stored by ADRF. If the Storage Policy is not configured on the NWDAF or DCCF, the NWDAF or DCCF sends the Storage Handling Request to the ADRF. The NWDAF or DCCF may provide notification endpoint information to the ADRF for use by the ADRF to send notifications (implicit subscription) alerting the DCCF or NWDAF that data are about to be deleted (see step 12).
- 3. [Optional] The ADRF may, based on implementation, determine whether the same data and/or analytics is already stored or being stored, based on the information sent in step 2 by the consumer. If the DataSetTag attribute is included for data and/or analytics already stored or being stored, then ADRF associates the data records with such DataSetTag.
- 3a-c. [Optional] Based on Storage Handling information and Storage Policy, the ADRF, DCCF or NWDAF determines the Storage Approach (lifetime for storing data and whether consumer is notified prior to data deletion).
- 4. [Optional] If the data and/or analytics is already stored and/or being stored in the ADRF, the ADRF sends Nadrf\_DataManagement\_StorageSubscriptionRequest Response message to the consumer indicating that data and/or analytics is stored. The ADRF includes the Storage Approach if determined in step 3c.
- 5a-b. The DCCF or NWDAF sends a subscription response to the Data or Analytics Consumer. The response may contain the Storage Approach determined by the ADRF, NWDAF or DCCF.
- 6a-b. ADRF subscribes to the DCCF or the NWDAF to receive notifications, providing its notification endpoint address and a notification correlation ID.
- 7. The DCCF, the MFAF or the NWDAF sends Analytics or Data notifications containing the notification correlation ID provided by the ADRF to ADRF notification endpoint address. The Analytics or Data notifications shall contain timestamp. The ADRF stores the notifications.

#### Conditional on DCCF or NWDAF determining to stop storing data or analytics

- 8a-b. The DCCF or the NWDAF determines to stop storing notifications in the ADRF.
- 9a-b. The DCCF or the NWDAF requests that the ADRF unsubscribes to receive notifications.
- 10a-b. The ADRF sends a request to the DCCF or the NWDAF to unsubscribe to data notifications.

The NWDAF may interact with the Data Source and the DCCF may interact with the Data Source and/or MFAF. Delivery of notifications from the DCCF/MFAF or NWDAF to the ADRF are subsequently halted.

#### **Conditional on ADRF Managing the Storage Approach**

- 11. The ADRF determines that the lifetime of the stored data or analytics has expired (according to the Storage Approach).
- 12. If indicated by the Storage Approach, the ADRF sends a notification alerting the DCCF or NWDAF that data are about to be deleted.

NOTE: This is an implicit subscription.

## Conditional if the Storage Appoach is based on Default Operator Policies provisioned on the NWDAF or DCCF (see step 3a-c and clause 5B.1)

- 13. The DCCF or NWDAF indicate in the response to the ADRF whether they will retrieve the Data or Analytics
- 14. The DCCF or NWDAF may retrieve the Data or Analytics from the ADRF

## Conditional if the Storage Appoach is based on a Storage Handling Request received from the Data or Analytics Consumer (see step 3a-c and clause 5B.1)

- 15a-b. The NWDAF or DCCF sends a notification to the Data or Analytics Consumer alerting it that the data or analytics are about to be deleted.
- 16a-b. The Data or Analytics Consumer indicates in the response to the NWDAF or DCCF whether it will retrieve the Data or Analytics
- 17. The DCCF or NWDAF indicate in the response to the ADRF whether the consumer will retrieve the Data or Analytics
- 18. The Data or Analytics Consumer retrieves the stored data or analytics

#### Conditional on DCCF or NWDAF Managing the Storage Approach

19a-b. The NWDAF or DCCF determines that the lifetime of the stored data has expired (according to the Storage Approach).

## Conditional if the Storage Appoach is based on Default Operator Policies provisioned on the NWDAF or DCCF (see step 3a-c and clause 5B.1)

- 20. The NWDAF or DCCF optionally retrieve the data or analytics from the ADRF
- 21. The NWDAF or DCCF request that the data or analytics be deleted from the ADRF

## Conditional if the Storage Appoach is based on a Storage Handling Request received from the Data or Analytics Consumer (see step 3a-c and clause 5B.1)

- 22a-b. The NWDAF or DCCF sends a notification to the Data or Analytics Consumer alerting it that the data or analytics are about to be deleted.
- 23a-b. The Data or Analytics Consumer indicates in the response to the NWDAF or DCCF whether it will retrieve the Data or Analytics
- 24. The Data or Analytics Consumer retrieves the stored data or analytics
- 25. The NWDAF or DCCF request that the data or analytics be deleted from the ADRF
- 26. The ADRF deletes the data or analytics if:
  - the Storage Approach in the ADRF indicates alerting the consumer is not required prior to data or analytics deletion:
  - in Steps 13 or 17 the DCCF or NWDAF indicated data or analytics will not be retrieved prior to deletion;
  - data or analytics retrieval in steps 14 or 18 has completed or
  - A request to delete data or analytics was received in steps 21 or 25.

If the ADRF received a response from the NWDAF or DCCF in steps 14 or 17 indicating data or analytics will be retrieved but retrieval is not initiated before an adequate fixed time has elapsed, the ADRF may autonomously delete the data or analytics.

#### 6.2B.4 Data removal from an ADRF

The procedure depicted in figure 6.2B.4-1 is used by consumers (DCCF, NWDAF) to remove data previously stored in an ADRF.

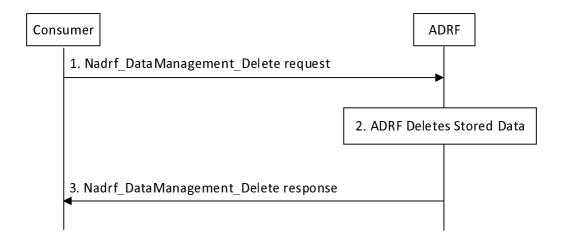


Figure 6.2B.4-1: Data Removal from an ADRF

- 1. A consumer requests that specified data be deleted from the ADRF using Nadrf\_DataManagement\_Delete request service operations. The request may include the DataSetTag attribute which the stored data records are associated to.
- 2. The ADRF deletes all copies of the stored data.
- 3. The ADRF indicates the result (i.e. data deleted, data not found, data found but not deleted) using Nadrf\_DataManagement\_Delete response service operations.

NOTE: As described in clauses 6.2B.2 and 6.2B.3, data or analytics may be removed from an ADRF when a storage lifetime expires. The NWDAF, DCCF or ADRF can provide an alert to the consumer and the consumer may retrieve data or analytics prior to deletion by the ADRF.

## 6.2B.5 ML Model Storage in ADRF

The procedure depicted in figure 6.2B.5-1 is used by NWDAF containing MTLF to store ML Model in an ADRF.

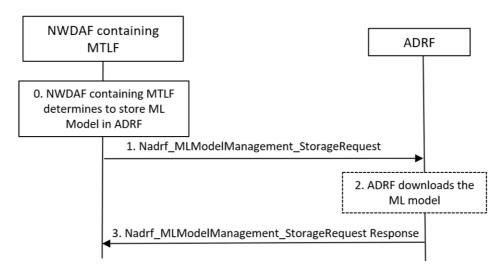


Figure 6.2B.5-1: ML Model Storage in ADRF

- 0. NWDAF containing MTLF determines to store ML Model in ADRF based on MTLF policy.
- NWDAF containing MTLF requests to store a (set of) ML Model(s) to the ADRF by invoking Nadrf\_MLModelManagement\_StorageRequest service, optionally including allowed NF instance list for the ML Model identifier as described in TS 33.501 [49].

- 2. The ADRF locally maintains the association between the ML Model identifier and the NF instance ID of NWDAF containing MTLF and allowed NF instance list (if there is any in step 1).
  - [Optional] If instead of the ML Model(s), the ML Model address(es) is/are included in request, ADRF downloads the ML Model(s) based on the ML Model address(es) and locally stores the ML Model(s).
- 3. The ADRF sends Nadrf\_MLModelManagement\_StorageRequest Response message to the consumer including the ML Model storage result indication.

#### 6.2B.6 ML Model removal from ADRF

The procedure depicted in figure 6.2B.6-1 is used by NWDAF containing MTLF to delete ML Model from an ADRF.

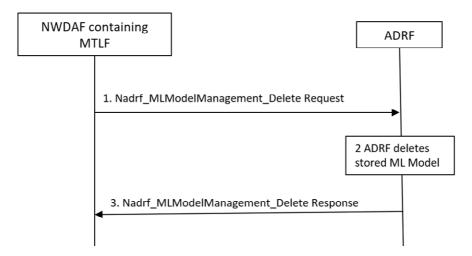


Figure 6.2B.6-1: ML Model removal from ADRF

- 1. NWDAF containing MTLF requests to delete the ML Model(s) previously stored in the ADRF using Nadrf\_MLModelManagement\_Delete request service operation.
- 2. The ADRF deletes both the stored ML Model(s) and related ML Model information.
- 3. The ADRF indicates the result (i.e. ML Model deleted, ML Model not found, ML Model found but not deleted) using Nadrf\_MLModelManagement\_Delete response service operation.

#### 6.2B.7 ML Model retrieval from ADRF

The procedure depicted in Figure 6.2B.7-1 is used by consumers (NWDAF containing MTLF and NWDAF containing AnLF) to retrieve ML Models from an ADRF.

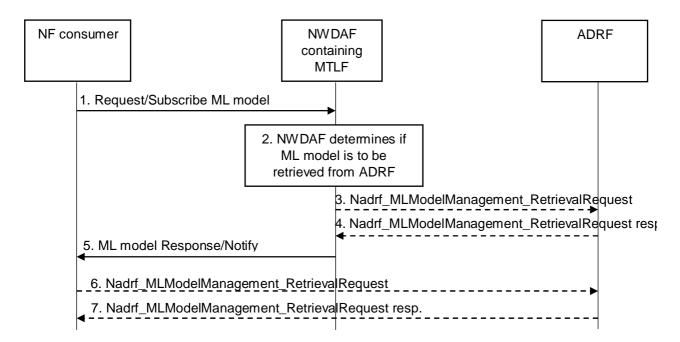


Figure 6.2B.7-1: Procedure for ML Model(s) retrieval from ADRF

- 1. The NWDAF service consumer (NWDAF containing AnLF or NWDAF containing MTLF) subscribes/requests for a (set of) trained ML Model(s) associated with a/an (set of) Analytics ID(s) by invoking the Nnwdaf\_MLModelProvision\_Subscribe / Nnwdaf\_MLModelInfo\_Request service.
- 2. The NWDAF containing MTLF determines whether the set of ML Model(s) associated with a/an (set of) Analytics ID(s) should be retrieved from the ADRF.

When NWDAF containing MTLF authorizes the NF consumer to retrieve the ML Model(s) stored in the ADRF directly, steps 3 and 4 is skipped.

If NWDAF containing MTLF determines that the set of ML Model(s) corresponding Analytics ID(s) requested in step 1 needs to be retrieved from ADRF and the NF consumer is agnostic to where the ML Model(s) is stored, then Steps 3 and 4 is performed.

NOTE: How NWDAF containing MTLF and ADRF authorizes the NF Consumer is specified in clause X.10 of TS 33.501 [49].

- 3. The ADRF service consumer (NWDAF containing MTLF) requests for the ML Model stored in ADRF by invoking the Nadrf\_MLModelManagement\_RetrievalRequest Request (Storage Transaction Identifier or one or more unique ML Model identifier(s)) service operation.
- 4. The ADRF verifies the service consumer (NWDAF containing MTLF) as described in Annex X.10 of TS 33.501 [49]. If verification is successful, the ADRF sends Nadrf\_MLModelManagement\_RetrievalRequest Response (ML Model file address of Model file(s) stored in ADRF) service operation.
- 5. The NWDAF containing MTLF notifies/ response to the NWDAF service consumer with the tuple Analytics ID, one or more tuples of unique ML Model identifier and ML Model Information. The ML Model information may contain the ML Model file address or ADRF (Set) ID. The ADRF(Set) ID is included only when the NWDAF containing MTLF authorizes the NF consumer to retrieve the ML Model(s) stored in the ADRF in step 2. When ADRF (Set) ID is provided and the NWDAF containing MTLF authorizes the NF Service Consumer to retrieve all ML Models corresponding to a Storage Transaction ID, the Storage Transaction ID may be provided. In other cases, the NWDAF containing MTLF only provides ML Model identifier(s).
- 6. If in step 5, the NWDAF service consumer (NWDAF containing AnLF or NWDAF containing MTLF) received ADRF (Set) ID (where the ML Model(s) requested in step 1 is stored) and/or the ML Model provide indicator, then the NWDAF service consumer may invoke the Nadrf\_MLModelManagement\_RetrievalRequest (Storage Transaction Identifier or one or more unique ML Model identifier(s)) service operation to get the ML Model stored in ADRF.

7. The ADRF verifies the service consumer as described in Annex X.10 of TS 33.501 [49]. If verification is successful, the ADRF sends Nadrf\_MLModelManagement\_RetrievalRequest Response (ML Model identifier(s) and address(es) of Model file(s) stored in ADRF to the NWDAF service consumer.

## 6.2C Federated Learning among Multiple NWDAFs

#### 6.2C.1 General

This clause specifies how NWDAF containing MTLF can leverage Federated Learning technique to train an ML Model.

#### 6.2C.2 Procedures

### 6.2C.2.1 Registration and Discovery procedure for Federated Learning

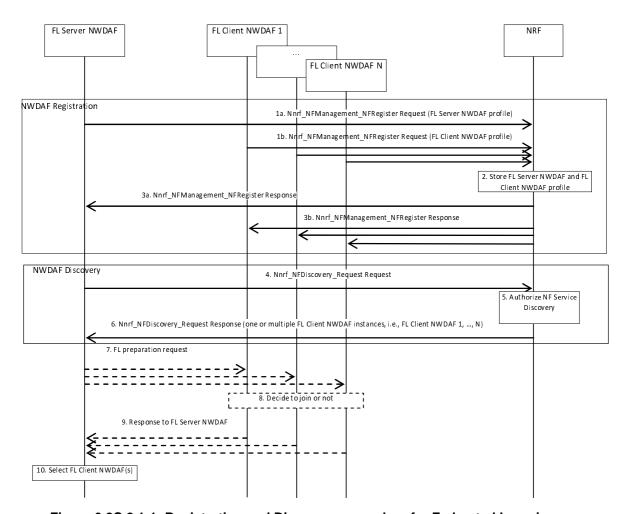


Figure 6.2C.2.1-1: Registration and Discovery procedure for Federated Learning

Steps 1 to 3 are the NWDAF registration procedure.

1-3. NWDAF containing MTLF as FL Server NWDAF or FL Client NWDAF registers to NRF with its NF profile, which includes NWDAF NF Type (see clause 5.2.7.2.2 of TS 23.502 [3]), Analytics ID(s), Address information of NWDAF, Service Area, FL capability type information (i.e. FL server and/or FL client) and Time interval supporting FL as described in clause 5.2.

Steps 4 to 6 are the NWDAF Discovery procedure.

4-6. NWDAF containing MTLF determines ML Model requires FL based on operator policy (e.g. pre-configured list of ML Models), Analytic ID, Service Area/DNAI or data can not be obtained directly from data producer NF (e.g. due to privacy reasons).

If the NWDAF containing MTLF can not perform as FL Server NWDAF, the MTLF first discovers and selects FL Server NWDAF from NRF by invoking the Nnrf\_NFDiscovery\_Request service operation. The following criteria might be used: Analytic ID of the ML Model required, Model filter information as defined in clause 6.2A.2, FL capability Type (i.e. FL server), Time Period of Interest, Service Area.

Once the FL Server NWDAF (the requested or the selected one) is determined, the FL Server NWDAF discovers and selects other NWDAF(s) containing MTLF as FL Client NWDAF(s) from NRF by invoking the Nnrf\_NFDiscovery\_Request service operation. The following criteria might be used: Analytic ID of the ML Model required, FL capability Type (i.e. FL client), Service Area, NF type(s) of data sources from which the FL Client NWDAF is able to collect data for local ML Model training, Time Period of Interest, ML Model Interoperability Indicator.

- 7. FL Server NWDAF sends Federated Learning preparation request to the FL Client NWDAF(s), using Nnwdaf\_MLModelTraining\_Subscribe or Nnwdaf\_MLModelTrainingInfo\_Request service with the ML Preparation Flag, to check if the FL Client NWDAF(s) can meet the ML Model training requirement (e.g. Analytics ID, ML Model Interoperability information, Data Availability requirement, FL Availability time requirement (time span needed for the FL process), etc.). Data Availability requirement includes a list of Event IDs of the local data for training, and may also include the dataset statistical properties, the time window of the data samples and the minimum number of data samples.
- NOTE: Federated Learning preparation procedure (i.e. steps 7-9) can be skipped if the FL Server NWDAF can decide that the FL Client NWDAF(s) supports the FL procedure to be performed, e.g. based on information acquired from previous FL procedures or from the NRF, or based on local configuration.
- 8. FL Client NWDAF(s) checks if it can meet the ML Model training requirement and/or can successfully download the model if the model information is provided in the request and decides whether to join the Federated Learning process based on operator policy (e.g. pre-configured list of ML Models) and/or implementation. Example criteria used by FL Client NWDAF(s) may be based on its data availability and time availability, computation and communication capability and ML Model Interoperability information.
- 9. FL Client NWDAF(s) invokes Nnwdaf\_MLModelTraining\_Notify or Nnwdaf\_MLModelTraining\_Subscribe response or Nnwdaf\_MLModelTrainingInfo\_Request response service operation to indicate to the FL Server NWDAF whether it will join the FL procedure and may include the reason in the response message if it cannot join the FL process.
- 10. FL Server NWDAF determines the FL Client NWDAFs to be involved in the FL procedures based on the information received in step 6 and other information received in step 9 (if available).

## 6.2C.2.2 General procedure for Federated Learning among Multiple NWDAF Instances

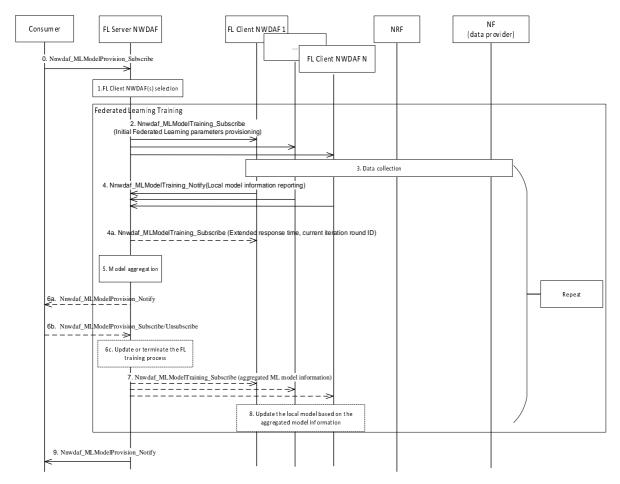


Figure 6.2C.2.2-1: General procedure for Federated Learning among Multiple NWDAF

- 0. The consumer (NWDAF containing AnLF or NWDAF containing MTLF) sends a subscription request to FL server NWDAF to retrieve an ML Model, using Nnwdaf\_MLModelProvision including Analytics ID, ML Model Monitoring information as defined in clause 7.5.2, desired ML Model metric (e.g. ML Model Accuracy).
- NOTE 1: The ML Model Accuracy threshold can be used to indicate the target ML Model Accuracy of the training process and the FL server NWDAF may stop the training process when the ML Model Accuracy threshold is achieved during the training process.

If the consumer (i.e. the NWDAF containing AnLF or NWDAF containing MTLF) provides the Time when the ML Model is needed, the FL Server NWDAF can take this information into account to decide the maximum response time for its FL Client NWDAF(s).

- FL Server NWDAF selects NWDAF(s) containing MTLF (FL Client NWDAF(s)) as described in clause 6.2C.2.1.
- 2. FL Server NWDAF sends a Nnwdaf\_MLModelTraining\_Subscribe or Nnwdaf\_MLModelTrainingInfo\_Request to the selected NWDAF(s) containing MTLF (FL Client NWDAF(s)), which participate in the Federated learning to perform the local model training and determine the interim local ML Model information based on the input parameter in the request from FL Server NWDAF. The request includes the desired ML Model metric and initial ML Model and also includes the maximum response time, the FL Client NWDAF has to report the interim local ML Model information to the FL Server NWDAF before the maximum response time elapses.
- 3. [Optional] Each FL Client NWDAF collects its local data by using the current mechanism in clause 6.2 if the Client NWDAF has not local data available already.
- 4. During Federated Learning training procedure, each FL Client NWDAF further trains the ML Model provided by the FL Server NWDAF based on its local data and reports the interim local ML Model information to the FL

Server NWDAF in Nnwdaf\_MLModelTraining\_Notify or Nnwdaf\_MLModelTrainingInfo\_Request response. The Nnwdaf\_MLModelTraining\_Notify or Nnwdaf\_MLModelTrainingInfo\_Request response may also include the Status report of FL training that includes local ML Model metric value (and optionally the used metric) computed by the FL Client NWDAF and Training Input Data Information (e.g. areas covered by the data set, sampling ratio, maximum/minimum of value of each dimension of data, etc.) in the FL Client NWDAF. The Nnwdaf\_MLModelTraining\_Notify or Nnwdaf\_MLModelTrainingInfo\_Response also includes the global ML Model metric (value (and optionally the used metric) when the ML Model Accuracy Check Flag was included in the Nnwdaf\_MLModelTraining\_Subscribe or Nnwdaf\_MLModelTrainingInfo\_Request (as described in step 7), the global ML Model metric value is calculated by the FL Client NWDAF using the local training data as the testing dataset.

NOTE 2: The parameters in characteristics of local training dataset are up to the implementation.

The local ML Model, which is sent from the FL Client NWDAF(s) to the FL Server NWDAF during the FL training process, is the information needed by the FL Server NWDAF to build the aggregated model.

If the FL Client NWDAF is not able to complete the training of the interim local ML Model within the maximum response time provided by the FL Server NWDAF, the FL Client NWDAF shall send the Delay Event Notification that include the delay event indication, an optional cause code (e.g. local ML Model training failure, more time necessary for local ML Model training) and the expected time to complete the training if available to the FL Server NWDAF before the maximum response time elapses.

4a. [Optional]If FL Server NWDAF receives notification/response that the FL Client NWDAF is not able to complete the training within the maximum response time, the FL Server NWDAF may send to the FL Client NWDAF a new maximum response time in Nnwdaf\_MLModelTraining\_Subscribe or Nnwdaf\_MLModelTrainingInfo\_Request, before which the FL Client NWDAF has to report the interim local ML Model information to the FL Server NWDAF. Otherwise, the FL Server NWDAF may indicate FL Client NWDAF to skip reporting for this iteration. FL Server NWDAF includes the current iteration round ID in the message to indicate that the request is to modify the training parameters of the current iteration round.

Alternatively, the FL Server NWDAF may inform the FL Client NWDAF to cease the ML Model training by sending termination request and to report back the current local ML Model updates.

5. The FL Server NWDAF aggregates all the local ML Model information retrieved at step 4, to update the global ML Model. The FL Server NWDAF may also compute the global ML Model metric value, e.g. based on the local ML Model metric value(s) provided by the FL Client NWDAF(s) or by applying the global model on the validation dataset (if available). The FL Server NWDAF may update the global ML Model each time a FL Client NWDAF provides updated local ML Model information, or the FL Server NWDAF may decide to wait for local ML Model information from all FL Client NWDAFs before updating the global ML Model.

If the FL Server NWDAF provides the maximum response time for the FL Client NWDAF(s) to provide the interim local ML Model information in step 2, or the new maximum response time in step 4a, the FL Server NWDAF decides either to wait for the FL Client NWDAF(s) which have not yet provided their interim local ML Model within the new maximum response time or to aggregate only the retrieved local ML Model information instances to update global ML Model. The FL Server NWDAF makes this decision, considering the notification/response from the FL Client NWDAF or, if the notification is not received, based on local configuration.

- 6a. [Optional] Based on the consumer request in step 0, the FL Server NWDAF sends a Nnwdaf\_MLModelProvision\_Notify message to update the global ML Model metric value to the consumer periodically (e.g. a certain number of training rounds or every 10 min) or dynamically when some predetermined status is achieved (e.g. the ML Model Accuracy threshold is achieved or training time expires).
- 6b. [Optional] The consumer decides whether the current model can fulfil the requirement, e.g. global ML Model metric value is satisfactory for the consumer and determines to stop or continue the training process. The consumer re-invokes Nnwdaf\_MLModelProvision\_Subscribe service operation as used in step 0 to continue the training process or invokes Nnwdaf\_MLModelProvision\_Unsubscribe service operation to stop the training process.
- 6c. [Optional] Based on the subscription request sent from the consumer in step 6b, the FL Server NWDAF updates or terminates the current FL training process.

If the FL Server NWDAF received a request in step 6b to stop the Federated Training process, steps 7 and 8 are skipped.

- 7. If the FL procedure continues, FL Server NWDAF may determine FL Client NWDAF as described in clause 6.2C.2.3 and sends Nnwdaf\_MLModelTraining\_Subscribe or Nnwdaf\_MLModelTrainingInfo\_Request that includes the aggregated ML Model information to selected FL Client NWDAF(s) for next round of Federated Training. The request may also include the ML Model Accuracy Check Flag, that indicates the FL Client NWDAF(s) to use the local training data as the testing dataset to calculate the Model Accuracy of the global ML Model provided by the FL Server NWDAF.
- 8. Each FL Client NWDAF updates its own ML Model based on the aggregated ML Model information distributed by the FL Server NWDAF at step 7.

NOTE 3: The steps 3-8 should be repeated until the training termination condition (e.g. maximum number of iterations, or the result of loss function is lower than a threshold) is reached.

When the Federated Training procedure is complete, the FL Server NWDAF requests the FL client NWDAF(s) to terminate the FL procedure by invoking Nnwdaf\_MLModelTraining\_Unsubscribe service with a cause code that the FL process has finished and optionally with the final aggregated ML Model information. Then the FL client NWDAF(s) terminate the local model training and if the final aggregated ML Model information is received from the FL server NWDAF, the FL client NWDAF(s) can store it for further use.

9. After the training process is complete, the FL Server NWDAF may send Nnwdaf\_MLModelProvision\_Notify that includes the globally optimal ML Model information to the consumer.

#### 6.2C.2.3 Procedures for Maintaining Federated Learning Processes

This clause specifies how to maintain a Federation Learning process in FL execution phase, including FL Server NWDAF triggers reselection, addition, or removal of FL Client NWDAF(s), discovers new FL Client NWDAF(s) via NRF and FL Client NWDAF(s) joins or leaves Federated Learning process dynamically.

In Federated Learning execution phase, FL Server NWDAF monitors the status changes of FL Client NWDAF(s) and may reselects FL Client NWDAF(s) based on the updated status, availability and/or capability, etc.

NOTE 1: FL Server NWDAF checks if there is a need to carry on the FL execution phase and then reselects FL members for the next iteration if needed.

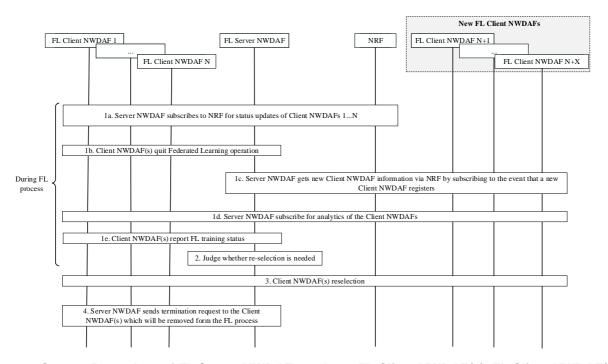


Figure 6.2C.2.3-1: Procedure of FL Server NWDAF reselects FL Client NWDAF(s), FL Client NWDAF(s) Join or Leave Federated Learning Process Dynamically in Federated Learning execution phase

The procedure for FL Server NWDAF reselecting FL Client NWDAF(s), FL Client NWDAF(s) joining or leaving Federated Learning process dynamically is as follows:

- 1a. FL Server NWDAF may get the updated status of current FL Client NWDAF(s) via NRF by using Nnrf\_NFManagement service (as in clause 5.2.7.2 of TS 23.502 [3]) in the Federated Learning execution phase.
  - FL Server NWDAF may subscribe to NRF for notifications of status changes of the current NWDAF(s) (FL Client NWDAFs 1...N) by invoking an Nnrf\_NFManagement\_NFStatusSubscribe service operation. NRF notifies the FL Server NWDAF the status changes of the current FL Client NWDAF(s) by invoking Nnrf\_NFManagement\_NFStatusNotify service operation(s).
  - The status of a current FL Client NWDAF could be availability changes, capability changes (e.g. it will not support FL anymore, etc.).
- 1b. The current FL Client NWDAF(s) may inform FL Server NWDAF that it is leaving the Federated Learning process by invoking Nnwdaf\_MLModelTraining\_Notify service operation with Termination Request and cause code (reason for leaving, e.g. high NF load, time availability changes).
- 1c. FL Server NWDAF may get the information of the new FL Client NWDAF(s) dynamically via NRF by subscribing to the event that a new FL Client NWDAF registers (Nnrf\_NFManagement\_NFStatusSubscribe service as in clause 5.2.7.2 of TS 23.502 [3]).
- 1d. NWDAF may subscribe for NF load analytics of the FL Client NWDAF(s).
- 1e. FL Client NWDAF(s) may send Status report of FL training and Global ML Model Accuracy Information by invoking Nnwdaf\_MLModelTraining\_Notify service.
- 2. FL Server NWDAF checks FL Client NWDAF(s) status based on the received information and may determine whether reselection of FL Client NWDAF(s) for the next round(s) of Federated Learning is needed based on the received information from step 1.
- NOTE 2: Several examples of the factors that the FL Server NWDAF can consider to reselect the FL Client NWDAF(s) are updated status of FL Client NWDAF reported by NRF is different than the criteria were initially used for selecting the client; characteristics of local training dataset is different than global validation dataset owned by FL Server NWDAF and/or the metric value of the global model calculated using the local training dataset is much different from that calculated by other FL Client NWDAFs; the metric value of the global model calculated using the local training dataset is lower than the metric value calculated using the global validation dataset owned by FL Server NWDAF; the metric value of the global model calculated using the local training dataset is lower than ML Model metric value received in Nnwdaf\_MLModelMonitor\_Notify when FL Server NWDAF using AnLF-assisted MTLF ML Models Accuracy Monitoring; the load of the FL Client NWDAF (from the NF load Analytics or from the FL Client NWDAF directly) is high; the FL Server NWDAF receives leave request from the FL Client NWDAF; the FL Client NWDAF did not report the status of FL Training within the maximum response time.
- 3. [If re-selection is needed as judged in step 2] If step 1c is not performed, FL Server NWDAF may discover new candidate FL Client NWDAF(s) via NRF by using Nnrf\_NFDiscovery services as in clause 5.2.7.3 of TS 23.502 [3]. FL Server NWDAF reselects FL Client NWDAF(s) from the current FL Client NWDAF(s) and the new candidate FL Client NWDAF(s) (found in steps 1c or 3). For the new candidate FL Client NWDAF(s), the interaction between FL Server NWDAF and FL Client NWDAF(s) is same as the selection procedure described in clause 6.2C.2.1. The adding / deleting FL Client NWDAF(s) may happen at the end of each iteration.
- 4. FL Server NWDAF sends termination request by invoking Nnwdaf\_MLModelTraining\_Unsubscribe service operation or Nnwdaf\_MLModelTrainingInfo\_Request service operation with Termination Request to the FL Client NWDAF(s), optionally indicating the reason, e.g. FL Client NWDAF is unselected by the FL Server NWDAF for the FL process, or the FL process is suspended, etc. And FL server may also send the updated global ML Model information to the unselected FL client NWDAF. FL Client NWDAF(s) terminates operations for the Federated Learning process if receive termination request from the FL Server NWDAF and may perform further action to be qualified in participation of FL training in the next cycles.
- NOTE 3: In the case of high load, the FL Client NWDAF can e.g. decline new service request. In the case of low accuracy, the FL Client NWDAF can gather new local training data.

## 6.2D AnLF Analytics Accuracy Monitoring Procedures

#### 6.2D.1 General

The Analytics Accuracy Information comprises a set of parameters as defined in clause 6.1.3.

When multiple NWDAFs are deployed, some NWDAFs may be specialized with the analytics accuracy checking capability. When an NWDAF containing AnLF has the analytics accuracy checking capability, such an NWDAF is able to:

- Receive a subscription or a request for analytics IDs via Nnwdaf\_AnalyticsSubscription\_Subscribe or Nnwdaf\_AnalyticsInfo\_Request service operation with the indication for activating the mechanisms for checking the accuracy of such analytics ID as defined in clause 6.1.3.
- Provide the accuracy information to the consumer via Nnwdaf\_AnalyticsSubscription\_Notify or Nnwdaf\_AnalyticsInfo\_Request response service operation.
- NOTE 1: In this version of the specification, NWDAF containing AnLF can provide accuracy information to an NF consumer that subscribes or requests for the analytics.
- NOTE 2: When receiving a subscription from an NF consumer that includes a request for accuracy information, the analytics output and the accuracy information can be provided by NWDAF containing AnLF in a single notification or via separate notifications.
- NOTE 3: When receiving a request from an NF consumer that includes a request for accuracy information, the analytics and the accuracy information can be provided by NWDAF containing AnLF within the single response.
- NOTE 4: In this version of the specification, the subscription or request for accuracy information independently from requesting an analytics output is not supported.

Based on the triggers described in clause 5C.1, NWDAF containing AnLF starts the accuracy monitoring and generation of Analytics Accuracy Information for an Analytics ID.

The Analytics Accuracy Information may be requested per Analytics ID and scoped using the same parameters as those defined in the Target of Analytics Reporting as defined in clause 6.1.3 and Analytics Filter Information (e.g. for a specific area, specific slice) of the requested Analytics ID.

When the analytics accuracy checking is activated in an NWDAF containing the AnLF, the NWDAF may store for a period of time the necessary information to determine the analytics accuracy and provide the accuracy information to consumers when requested or use it for its internal processes.

NWDAF containing AnLF generates the accuracy information as described in clause 5C.1.

## 6.2D.2 Procedures for Analytics Accuracy Information Subscription

This procedure is used by NF consumers of analytics ID to subscribe to receive analytics output and Analytics Accuracy Information related to the requested analytics ID for NF consumer. Figure 6.2D.2-1 shows the procedure for accuracy information subscription and provisioning.

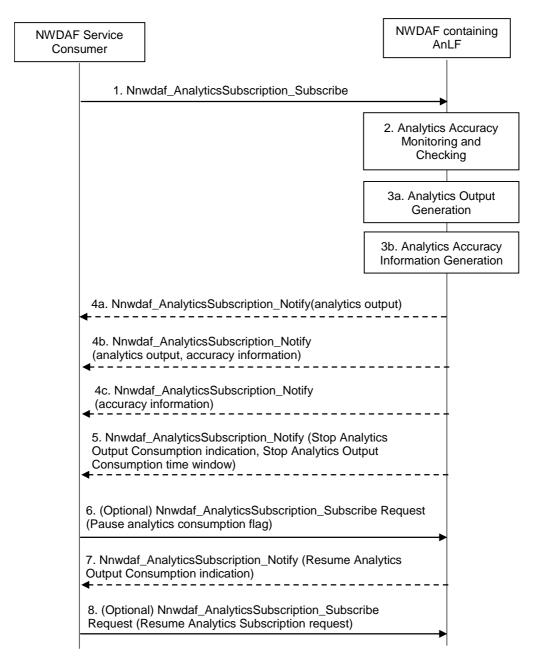


Figure 6.2D.2-1: Analytics Accuracy Information Subscribe

1. The NWDAF service consumer selects the appropriated NWDAF containing AnLF according to clause 5.2 and subscribes or modifies the subscription for Analytics Accuracy Information by invoking the Nnwdaf\_AnalyticsSubscription\_Subscribe service operation. The parameters that can be included in the subscription to trigger the accuracy information checking and provisioning are listed in clause 6.1.3.

If the subscription is not the initial subscription request, it may include Analytics Feedback Information as described in clause 6.1.1.

2. When a subscription request is received, the NWDAF containing AnLF verifies the parameters of the Analytics Accuracy Request information received from the NWDAF service consumer in step 1.

The NWDAF containing AnLF starts the Analytics Accuracy Monitoring and generation of the Analytics Accuracy Information related to the analytics ID indicated in the subscription according to the parameters defined in Analytics Accuracy Request Information in clause 6.1.3. The NWDAF containing AnLF is to compute Analytics Accuracy Information according to the methods in clause 6.2D.1. If the NWDAF containing AnLF does not have enough necessary data, it will perform step 3b to collect ground truth data before computing Analytics Accuracy Information.

The NWDAF containing AnLF may have started to perform the Analytics Accuracy Monitoring and Analytics Accuracy Information generation, triggered by other NWDAF service consumer(s) before. Upon receiving a new request from the NWDAF service consumer, the NWDAF containing AnLF determines whether new data collection is needed for Analytics Accuracy Information generation based on the corresponding analytics subscription.

In addition to the received request from the NWDAF service consumer, based on local policy, the NWDAF containing AnLF may determine to start the Analytics Accuracy Monitoring and Analytics Accuracy Information generation.

- 3a. The NWDAF containing AnLF performs the data collection for the subscribed analytics ID and generates the analytics output.
- 3b. The NWDAF containing AnLF performs the data collection (e.g., ground truth data collection) for accuracy information generation for the subscribed analytics ID and generates the associated Analytics Accuracy Information. If Analytics Feedback Information is included in step 1, the NWDAF containing AnLF may take it into account and determine whether it affects the ground truth data by the internal logic to generate Analytics Accuracy Information.
- 4a. The NWDAF containing AnLF provides the analytics output according to the parameters defined in Analytics Reporting Information included in the subscription request when there is no Analytics Accuracy Request Information included in the subscription in step 1.

NOTE: Steps 3b and 4a can occur in any order.

- 4b. The NWDAF containing AnLF provides the Analytics Accuracy Information together with the analytics output for the analytics ID according to the parameters defined in the Analytics Accuracy Request Information included in the subscription request.
- 4c. The NWDAF containing AnLF provides only the Analytics Accuracy Information for the analytics ID according to the parameters defined in the Analytics Accuracy Request Information included in the subscription request. The Analytics Accuracy Information is provided in a separated notification when the periodicity for providing the Analytics Accuracy Information indicated in the Analytics Accuracy Request Information is different from the periodicity for providing the analytics output indicated in the subscription request, or the accuracy value is under the analytics accuracy threshold which is indicated in the subscription request or locally configured.
- 5. When determining the low or insufficient accuracy for an analytics ID, i.e. the deviation of the analytics output using the trained ML Model from the ground truth data (which are collected from Data Producer NF corresponding to the requested analytic ID at the time which the prediction refers to) does not meet analytics accuracy requirement, which indicates the accuracy value is under the analytics accuracy threshold(s) (which are locally configured or received in the subscribe request), the NWDAF containing AnLF may notify the NWDAF Service consumer with the Stop Analytics Output Consumption indication and the Stop Analytics Output Consumption time window.
- 6. (Optional) The NWDAF Service Consumer may decide to stop the consumption of analytics output without unsubscribing to the analytics ID, based on its own logic or based on a received notification from NWDAF with the Stop Analytics Output Consumption indication. The NWDAF Service Consumer invokes the Nnwdaf\_AnalyticsSubscription\_Subscribe service operation including the Subscription Correlation ID to modify an existing subscription and provides the parameter Pause analytics consumption flag in the Analytics Accuracy Request Information.
- 7. When the NWDAF determines an improvement in the accuracy of an analytics ID (e.g. meet the accuracy requirement of the analytics consumer) or when the Stop Analytics Output Consumption time window set by itself is finished, the NWDAF notifies the NWDAF Service Consumer of the accuracy information for the analytics ID to resume the consumption of the analytics output, therefore reactivating an existing analytics ID subscription that has been previously stopped.
- 8. (Optional) The NWDAF Service Consumer based on its own logic can notify the NWDAF to resume the notification of analytics output, therefore reactivating an existing subscription to analytics ID that has been paused either by NWDAF Service Consumer request (step 6) or by NWDAF indication (step 5). The NWDAF Service Consumer invokes the Nnwdaf\_AnalyticsSubscription\_Subscribe service operation including the Subscription Correlation ID to modify an existing subscription and provides the parameter Resume Analytics Subscription request in the Analytics Accuracy Request Information.

## 6.2D.3 Procedures for Analytics Accuracy Information Request

This procedure is used by NF consumers of analytics ID to request Analytics Accuracy Information related to the requested analytics ID for NF consumer. Figure 6.2D.3-1 shows the procedure for accuracy information request and response.

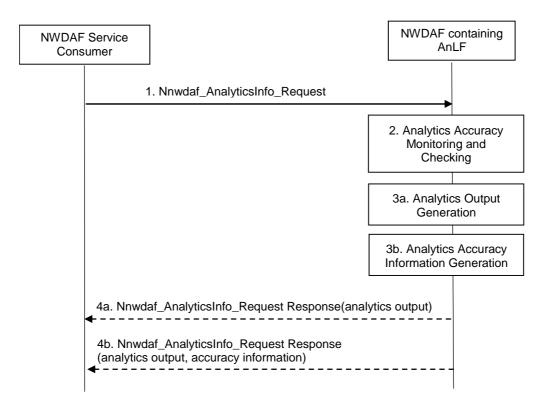


Figure 6.2D.3-1: Analytics Accuracy Information Request

- 1. The NWDAF service consumer selects the appropriated NWDAF containing AnLF according to Clause 5.2 and requests for Analytics Accuracy Information by invoking the Nnwdaf\_AnalyticsInfo\_Request service operation. The parameters that can be included in the request to trigger the accuracy information checking and provisioning are listed in clause 6.1.3.
- 2. When a request is received, the NWDAF containing AnLF determines whether the request is only for analytics output generation or if it includes the Analytics Accuracy request.

If the Analytics Accuracy request is included, the NWDAF containing AnLF starts the Analytics Accuracy Monitoring and generation of the Analytics Accuracy Information related to the analytics ID indicated in the request and according to the parameters defined in Analytics Accuracy Request Information in clause 6.1.3. The NWDAF containing AnLF is to compute Analytics Accuracy Information according to the methods in Clause 6.2D.1. If the NWDAF containing AnLF does not have enough necessary data, it will perform step 3b to collect ground truth data before computing Analytics Accuracy Information.

The NWDAF containing AnLF may have started to perform the Analytics Accuracy Monitoring and Analytics Accuracy Information generation, triggered by other NWDAF service consumer(s) before. Upon receiving a new request from the NWDAF service consumer, the NWDAF containing AnLF determines whether new data collection is needed for Analytics Accuracy Information generation based on the corresponding analytics request.

In addition to the received request from the NWDAF service consumer, based on local policy, the NWDAF containing AnLF may determine to start the Analytics Accuracy Monitoring and Analytics Accuracy Information generation.

3a. The NWDAF containing AnLF performs the data collection for the requested analytics ID and generates the analytics output.

- 3b. The NWDAF containing AnLF performs the data collection (e.g., ground truth data collection) for accuracy information generation for the requested analytics ID and generates the associated Analytics Accuracy Information.
- 4a. The NWDAF containing AnLF provides the analytics output according to the parameters defined in Analytics Reporting Information included in the request, when no Analytics Accuracy Request Information is included in the request in step 1.

NOTE: Step 3b, 4a can occur in any order.

4b. The NWDAF containing AnLF provides the requested analytics output and Analytics Accuracy Information for the analytics ID according to the parameters defined in the Analytics Accuracy Request Information included in the request.

## 6.2E MTLF-based ML Model Accuracy Monitoring

#### 6.2E.1 General

MTLF-based ML Model Accuracy Monitoring procedure is where an NWDAF containing MTLF determines ML Model degradation based on newly collected test data and retrain or reprovisioning the existing ML Model.

#### 6.2E.2 Procedure for MTLF-based ML Model Accuracy Monitoring

Figure 6.2E.2-1 illustrates the procedure for monitoring the accuracy of the provisioned ML Model using newly collected data. NWDAF containing AnLF may provide inference data to NWDAF containing MTLF for model accuracy monitoring and the NWDAF containing MTLF determines retraining or re-provisioning of the ML Model.

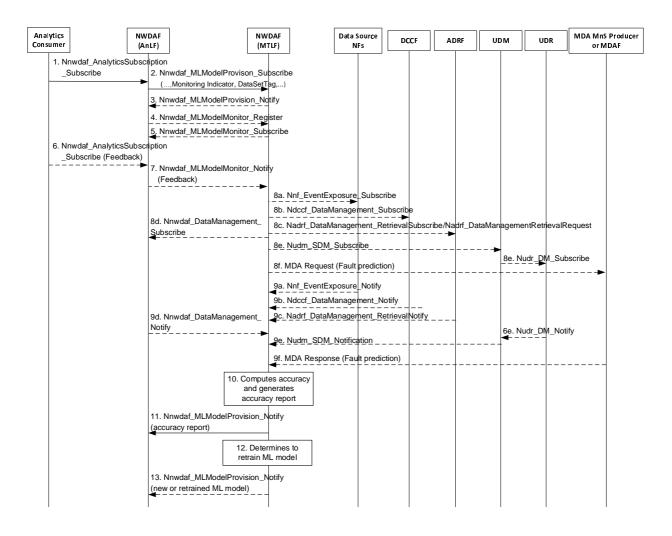


Figure 6.2E.2-1: Procedure for MTLF-based ML Model Accuracy Monitoring

- An analytics consumer initiates a subscription for analytics exposure services towards an NWDAF containing AnLF.
- 2. The NWDAF containing AnLF requests an ML Model from the appropriate NWDAF containing MTLF, using the Nnwdaf\_MLModelProvision\_Subscribe service operation. The NWDAF containing AnLF may include an ML Model accuracy threshold which is used as an indicator to execute the accuracy monitoring operations as defined in clause 6.2A.2. NWDAF containing AnLF may include a DataSetTag (see clause 6.2B.1) and/or ADRF ID, which is used to store and fetch the inference data (including input data, prediction and the ground truth data at the time which the prediction refers to) from ADRF which are relevant for the accuracy monitoring and re-training/re-provisioning of ML Model.
  - If the NWDAF containing AnLF receives ML Model(s), the NWDAF containing AnLF sends set of tuples (unique ML Model identifier and the DataSetTag and/or ADRF ID) to the NWDAF containing MTLF by invoking Nnwdaf MLModelProvision Subscribe service operation for subscription modification.
- 3. The NWDAF containing MTLF provides trained ML Model(s) to the NWDAF containing AnLF. The NWDAF containing MTLF may include an accuracy information which is used to indicate the accuracy of ML Model during the training.
  - When the step 1 is for a subscription modification (i.e. including Subscription Correlation ID) and contains the set of tuples (unique ML Model identifier and the DataSetTag and/or ADRF ID), the NWDAF containing MTLF determines the relationship between the ML Model and the DataSetTag.
- 4. The NWDAF containing AnLF registers the use of the ML Model with the NWDAF containing MTLF to indicate its capability of sending Analytics Feedback Information and/or ML Model Accuracy Information from the analytics consumers for the ML Model.

- 5. Due to the registration in the previous step, the NWDAF containing MTLF may subscribe to the NWDAF containing AnLF to get Analytics Feedback Information and/or ML Model Accuracy Information from the analytics consumers for the provisioned ML Model by invoking Nnwdaf\_MLModelMonitor\_Subscribe service operation, if the service operation is supported by the NWDAF containing AnLF.
- The Analytics consumer may send Analytics Feedback Information in an Nnwdaf\_AnalyticsSubscription\_Subscribe message as described in clause 6.1.1.
- 7. The NWDAF containing AnLF may send the Analytics Feedback Information and/or ML Model Accuracy Information received from the analytics consumer for the provisioned ML Model by invoking Nnwdaf\_MLModelMonitor\_Notify service operation as requested in step 5. When the NWDAF containing MTLF receives Analytics Feedback Information and/or ML Model Accuracy Information, the NWDAF containing MTLF may trigger step from 8 to 13 to enhance the ML Model accuracy.
- 8a-8f. The NWDAF containing MTLF, based on the request(s) from one or more NWDAF containing AnLF or its local policy, determines whether to perform ML Model Accuracy Monitoring and re-training/re-provisioning of ML Model by collecting new data from various data sources:
  - The NWDAF containing MTLF may collect new data for ML Model Accuracy monitoring, re-training and re-provisioning from the data source NFs and DCCF by invoking Nnf\_EventExposure\_Subscribe and Ndccf\_DataManagement\_Subscribe service operation, respectively.
  - When ADRF ID and/or DataSetTag is given by step 2, the NWDAF containing MTLF may retrieve historical data from the ADRF indicated by the NWDAF containing AnLF at step 2. by invoking Nadrf\_DataManagementRetrievalRequest or Nadrf\_DataManagementRetrieval\_Subscribe service operation. Otherwise, the NWDAF containing MTLF may retrieves the historical data from the DCCF or the NWDAF containing AnLF by invoking Ndccf\_DataManagement\_Subscribe or Nnwdaf\_DataManagement\_Subscribe service operation, respectively.
  - If the NWDAF containing AnLF does not include a DataSetTag with ADRF ID at step 2, the NWDAF containing MLTF may request ADRF to subscribe for the collection of the analytics and data that correspond to the analytics generated by the ML Model provisioned in step 3, using the procedures defined in clause 6.2B.3.
  - The NWDAF containing MTLF may subscribe to UDM to get notification on change in the subscription data for Target of ML Model Reporting by invoking Nudm\_SDM\_Subscribe service operation and the UDM subscribes to the UDR to get notifications of the modification on UE subscription data by invoking Nudr\_DM\_Subscribe service operation.
  - The NWDAF containing MTLF may consider the data quality into the accuracy monitoring by collecting fault prediction analytics data from MDAS to determine the status of Data Source NFs, using MDA Request.
    - If the NWDAF containing MTLF has already collected new test data and performed ML Model Accuracy Monitoring and retraining which is triggered by other NWDAF containing AnLF(s) (for ML Model Accuracy Monitoring and retraining), the NWDAF containing MTLF, based on its internal logic, determines whether to use the data for the subscription or not.
- 9a-9f. The NWDAF containing MTLF receives the requested data from various sources as requested in steps 8a-8f.
- 10. Based on the collected analytics and data from steps 9a-9f, the NWDAF containing MTLF computes the accuracy using the methods described in clause 5C.1. The NWDAF containing MTLF may discard data from data sources if it detects the data quality of that source is not good. The NWDAF containing MTLF may generate prediction with the collected input data to calculate the accuracy if only input data and ground truth data are available.
- NOTE: How the NWDAF containing MTLF determines whether the data from the data source is of good quality or needs to be discarded is up to the NWDAF implementation and configuration.
- 11. An accuracy report is sent to the NWDAF containing AnLF, e.g. when the reporting threshold is met by invoking Nnwdaf\_MLModelProvision\_Notify service operation.
- 12. Based on the computed accuracy, the NWDAF containing MTLF may decide to re-train/re-provision the ML Model.

13. When the newly generated or re-trained ML Model is ready, the NWDAF containing MTLF sends new or retrained ML Model to the NWDAF containing AnLF by invoking Nnwdaf\_MLModelProvision\_Notify service operation. The NWDAF containing MTLF may send the accuracy report of the new or re-trained ML Model to the NWDAF containing AnLF.

## 6.2E.3 Procedure for AnLF-assisted MTLF ML Models Accuracy Monitoring

#### 6.2E.3.1 General

The procedures described in this clause enable the following functionality:

- An NWDAF containing AnLF may register with an NWDAF containing MTLF when it starts using an ML Model and monitoring the accuracy of analytics generated by that ML Model for a given Analytics ID. It is assumed that the NWDAF containing AnLF obtained the ML Model in a previous interaction with the NWDAF containing MTLF, e.g. using the Nnwdaf\_MLModelInfo\_Request or Nnwdaf\_MLModelProvision\_Subscribe services. This registration enables the NWDAF containing MTLF to become aware of NWDAF containing AnLF that are using a given ML Model for certain Analytics ID and that the NWDAF containing AnLF supports the capability of monitoring the accuracy of the corresponding analytics.
- An NWDAF containing MTLF may subscribe to an NWDAF containing AnLF where an existing Nnwdaf\_MLModelMonitor service is established for receiving notifications of the accuracy of analytics generated by a given ML Model for a certain Analytics ID. NWDAF containing AnLF can generate the accuracy information in many ways: e.g. comparing predictions of ML Model and its corresponding ground truth data, comparing changes in internal configuration for the analytics ID generation, previous existent records of Analytics Accuracy Information, etc.

## 6.2E.3.2 Procedures for registering the monitoring of the analytics accuracy of an ML Model

When an NWDAF containing AnLF starts making use of an ML Model and it has the ability either to monitor the analytics accuracy of the ML Model, or to deliver Analytics Feedback Information for the analytics generated by the ML Model, it registers with the NWDAF containing MTLF, that is responsible for training/updating this ML Model.

When the NWDAF containing AnLF is no longer using the ML Model or monitoring the accuracy of the analytics generated by that ML Model for the Analytics ID, it de-registers it with the responsible NWDAF containing MTLF.

Figure 6.2E.3.2-1 illustrates the procedure by which an NWDAF containing AnLF registers with an NWDAF containing MTLF that it is starting to make use and monitor the analytics accuracy of an ML Model. A new Nnwdaf\_MLModelMonitor\_Register service operation is used for that purpose.

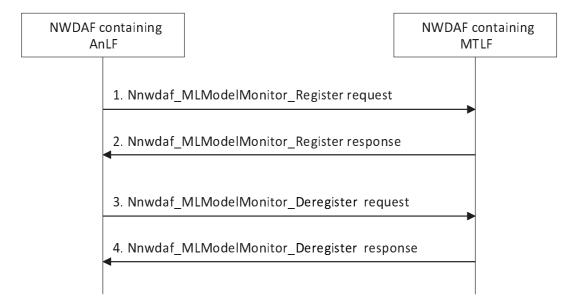


Figure 6.2E.3.2-1: Procedure for ML Model monitoring registration

An NWDAF containing AnLF may start monitoring the accuracy of an ML Model based on local policy or request from its service consumer.

1-2. The NWDAF containing AnLF sends an Nnwdaf\_MLModelMonitor\_Register request to an NWDAF containing MTLF (NF ID of the NWDAF containing AnLF, unique identifier of the ML Model, optionally: subscription endpoint of the Nnwdaf\_MLModelMonitor\_Subscribe service operation at the NWDAF containing AnLF, Analytics ID, Target of Analytics Reporting, Analytics filter). The NWDAF containing MTLF is now aware of the NF ID of the NWDAF containing AnLF that is monitoring the accuracy of that ML Model.

If the NWDAF containing AnLF is a target NWDAF in analytics transfer procedure (as defined in clause 6.1B), based on the ML Model Accuracy Information received from source NWDAF containing AnLF, the NWDAF containing AnLF also includes in the Nnwdaf\_MLModelMonitor\_Register service request the ML Model accuracy transfer indication, which includes the original Subscription Correlation ID for the ML Model Accuracy Information provided by the source NWDAF containing AnLF and the source NF ID of the NWDAF containing AnLF.

- NOTE 1: These parameters support the NWDAF containing MTLF to map the registration of a new NWDAF containing AnLF with an existing subscription for consumption of ML Model Accuracy Information from a previous NWDAF containing AnLF (i.e. source NWDAF containing AnLF which as described in steps 3-4 may provide a termination indication), enabling NWDAF containing MTLF to reassociate the data from the previous subscription to the new the subscription for ML Model accuracy provisioning at the new NWDAF containing AnLF.
- 3-4. When the NWDAF containing AnLF is no longer using the ML Model, it sends an Nnwdaf\_MLModelMontior\_Deregister service operation.

If NWDAF containing AnLF is registered with a NWDAF containing MTLF, is a source NWDAF containing AnLF in an analytics transfer procedure (as defined in clause 6.1B) and is no longer using the ML Model, the NWDAF containing AnLF sends Nnwdaf\_MLModelMontior\_Deregister service operation request including the ML Model accuracy provisioning termination information, which includes: a termination indication, the termination cause set to analytics transfer and optionally the NWDAF containing AnLF NF ID of the target NWDAF.

NOTE 2: The ML Model accuracy termination information is used by the NWDAF containing MTLF to determine whether the termination request is from the source NWDAF containing AnLF. If so, the NWDAF containing MTLF will not delete any data immediately upon receiving of a de-registration request. Then the NWDAF containing MTLF is able to associate the data from the source NWDAF containing AnLF to the target NWDAF containing AnLF.

#### 6.2E.3.3 Procedures for monitoring the analytics accuracy of an ML Model

An NWDAF containing MTLF, due to the registration of monitoring of the analytics accuracy of an ML Model received from NWDAF containing AnLF and local policies, subscribes to the NWDAF containing AnLF for receiving notifications of either the accuracy of the ML Model, or Analytics Feedback Information of the ML Model. The NWDAF containing MTLF may get the Subscription endpoint address of the NWDAF containing AnLF from the information received in a previous registration or through a service discovery procedure at the NRF.

Figure 6.2E.3.3-1 illustrates the procedure either for monitoring the analytics accuracy of an ML Model or for delivery of Analytics Feedback Information of an ML Model. Nnwdaf\_MLModelMonitor\_Subscribe and Nnwdaf\_MLModelMonitor\_Notify service operations are used for the purposes. A service consumer, i.e. an NWDAF containing MTLF, subscribes at a service producer, i.e. an NWDAF containing AnLF, to be notified when either the analytics accuracy of the previously provisioned ML Model is not sufficient, or Analytics Feedback Information is retrieved from analytics consumer NF.

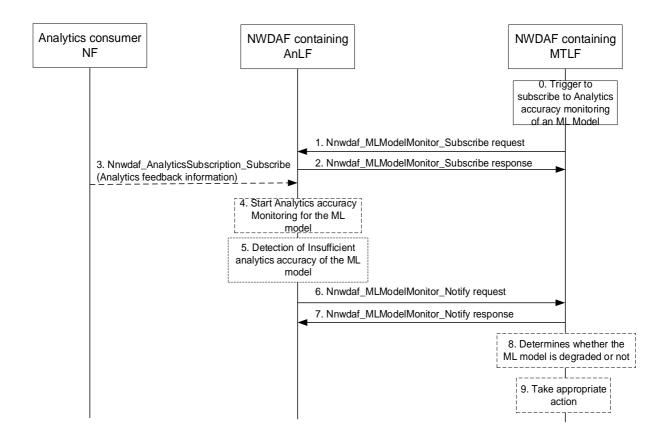


Figure 6.2E.3.3-1: Procedure for monitoring the analytics accuracy of an ML Model

- 0. Upon the reception of an Nnwdaf\_MLModelMonitor\_Register request and based on local policy, the NWDAF containing MTLF determines to subscribe to the Analytics Accuracy Monitoring for the ML Model as defined in clause 5C.1.
- 1. The NWDAF containing MTLF sends an Nnwdaf\_MLModelMonitor\_Subscribe request (Analytics ID(s), unique identifier(s) of the ML Model(s) to be monitored, desired accuracy metrics to be monitored, optionally Reporting Threshold(s), Analytics ID, Target of Analytics Reporting and Analytics filter for each ML Model identifier or Reporting Period) to an NWDAF containing AnLF subscription endpoint.

When the NWDAF containing MTLF determines during the registration process described in clause 6.2E.3.2 that a subscription request for ML Model Accuracy Monitoring to an NWDAF containing AnLF is related to a previous subscription for ML Model Accuracy Information to a different NWDAF containing AnLF (due to changes in the provider of the ML accuracy monitoring for a given ML Model, as an effect of analytics transfer among NWDAFs containing AnLF), the NWDAF containing MTLF may use as base for the new subscription request at the new NWDAF containing AnLF the parameters associated with the original subscription identification for the ML Model Accuracy Information that was received in the registration request of the new NWDAF containing AnLF, as described in steps 1-2 of clause 6.2E.3.2.

- 2. The NWDAF containing AnLF sends a response to the NWDAF containing MTLF.
- 3. The analytics consumer NF may send Analytics Feedback Information to the NWDAF containing AnLF as described in clause 6.1.1.
- 4. When step 1 is triggered, the NWDAF containing AnLF may start monitoring the analytics accuracy of the ML Model(s), if it not started yet.

NOTE 1: The NWDAF containing AnLF can monitor the analytics accuracy in many ways: e.g. comparing predictions of ML Model and its corresponding ground truth data, comparing changes in internal configuration for the analytics ID generation, previous existent records of Analytics Accuracy Information, etc.

- 5. The NWDAF containing AnLF determines whether the analytics accuracy of the ML Model is insufficient, i.e. deviation of the output analytics using the trained ML Model from ground truth data (which are collected from Data Producer NF corresponding to analytic ID requested at the time which the prediction refers to) does not meet the analytics accuracy requirement, which indicates the accuracy value is under the Reporting Threshold(s) (which are locally configured or received in the Subscribe request), or the Reporting Period indicated in the Subscribe request is reached.
- 6. Either the Analytics Feedback Information is retrieved at step 3 or the NWDAF containing AnLF detects the analytics accuracy of ML Model is insufficient at step 5, the NWDAF containing AnLF sends an Nnwdaf\_MLModelMonitor\_Notify request to the notification endpoint (e.g. the NWDAF containing MTLF). The Notify request includes either Analytics Feedback Information, or the monitored accuracy information of the ML Model (e.g. unique identifier(s) of the ML Model(s) to be monitored, Analytics ID, Target of Analytics Reporting and Analytics filter for each ML Model identifier, a deviation value which indicates the deviation of the predictions generated using the ML Model(s) from the ground truth data and the network data when the deviation occurs (which can be used by the NWDAF containing MTLF for possible ML Model retraining) and the number of inferences that were performed during the time interval between Nnwdaf\_MLModelMonitor\_Register request and the Notify request or between the time of last Notification message and the time of the current Notification message) and optionally an indication that the analytics accuracy of the ML Model does not meet the requirement of accuracy for the ML Model.
- 7. The NWDAF containing MTLF sends a response.
- 8. The NWDAF containing MTLF determines whether the ML Model is degraded or not based on the notification at step 6. If the notification contains Analytics Feedback Information, the NWDAF containing MTLF may determine ML Model degradation based on the procedures as described in clause 6.2E.2. Otherwise when the NWDAF containing MTLF has received the multiple Analytics Accuracy Information, from one or more NWDAFs containing AnLF, it may consider that the ML Model is degraded/to be updated (i.e. enough number Analytics Accuracy Information received from one or more NWDAFs containing AnLF, indicating insufficient analytics accuracy).
- NOTE 2: The actual mechanism for the NWDAF containing MTLF for determining the degradation of the ML Model degradation is an internal procedure of the NWDAF containing MTLF, e.g. the NWDAF containing MTLF calculate a global accuracy based on the Analytics Accuracy Information and the number of inferences received from multiple NWDAFs containing AnLF.
- 9. When an ML Model is considered degraded / to be updated at step 8, the NWDAF containing MTLF re-trains the existing ML Model or selects a new ML Model. If the network data was not included in the Nnwdaf\_MLModelMonitor\_Notify request of step 6, the NWDAF containing MTLF may request data from the NWDAF containing AnLF, ADRF and/or other 5GS entities as specified in clause 6.2 and use the collected data for ML Model retraining. The NWDAF containing MTLF notifies the NWDAF(s) containing AnLF with the updated trained ML Model Information by invoking Nnwdaf\_MLModelProvision\_Notify service operation, as described in clause 6.2A.

## 6.2F Procedure for ML Model Training

## 6.2F.1 ML Model Training Subscribe/Unsubscribe

The procedure in Figure 6.2F.1-1 is used by an NWDAF service consumer, i.e. an NWDAF containing MTLF to subscribe to another NWDAF, i.e. an NWDAF containing MTLF, for a trained ML Model based on the ML Model file or ML Model information as described in clause 6.2F.2 provided by the NWDAF service consumer. The service may be used by an NWDAF containing MTLF to enable e.g. Federated Learning or to update ML Model. The service is also used by an NWDAF service consumer to request an NWDAF containing MTLF to prepare training ML Model or modify existing ML Model training subscription.

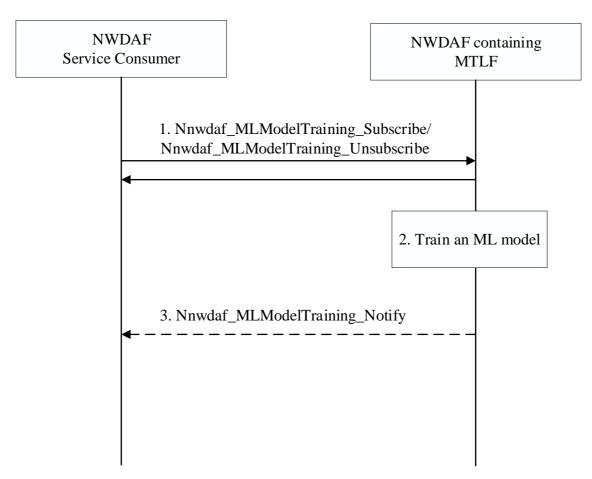


Figure 6.2F.1-1: Procedure for ML Model Training subscribe/unsubscribe

1. The NWDAF service consumer may subscribe or unsubscribe for training an ML Model by invoking the Nnwdaf\_MLModelTraining\_Subscribe/ Nnwdaf\_MLModelTraining\_Unsubscribe service operation. The parameters that can be provided by the NWDAF service consumer are listed in clause 6.2F.2.

In order to enable Federated Learning, NWDAF Service consumer act as FL Server NWDAF can subscribe to multiple NWDAFs containing MTLF act as FL Client NWDAFs, which are selected by the FL Server NWDAF.

The FL server NWDAF may use the request to check if an NWDAF can meet the ML Model training requirement (e.g. ML Model Interoperability information, Analytics ID, Serving Area and/or availability of data and time). In such case, the FL server NWDAF includes an ML Preparation Flag. When the ML Preparation Flag presents in the request, the service provider NWDAF only checks if it can meet the ML Model training requirement (e.g. ML Model Interoperability information, Analytics ID, Serving Area and/or availability of data and time) and / or can successfully download the model if the model information is provided.

The FL server NWDAF may use the request to get the Model Accuracy information of the global ML Model calculated by the FL Client NWDAFs. In such cases, the service consumer NWDAF includes a Model Accuracy Check Flag. When the Model Accuracy Check Flag is present in the request, the service provider NWDAF uses the local training data as the testing dataset to calculate the Model Accuracy information of the ML Model provided by the service consumer NWDAF.

When NWDAF service consumer determine to further update the ML Model, NWDAF service consumer modifies the subscription by invoking Nnwdaf\_MLModelTraining\_Subscribe service operation including Subscription Correlation ID with ML Model Information (as defined in clause 6.2A.2).

- 2. The NWDAF containing MTLF trains ML Model provided at step 2 by collecting new data or re-use the data that it owns. If the ML Model file is not provided in step 1, the NWDAF containing MTLF shall first get the ML Model using the information indicated at step 1.
- 3. When the NWDAF containing MTLF completes ML Model training, the NWDAF containing MTLF notifies the NWDAF service consumer with ML Model Information (as defined in clause 6.2A.2) of updated ML Model by

invoking the Nnwdaf\_MLModelTraining\_Notify service operation. The parameters that can be provided by the NWDAF containing MTLF as service provider is specified in clause 6.2F.2.

If the NWDAF containing MTLF determines to terminate the ML Model training, i.e. NWDAF containing MTLF will not provide further notifications related to this request, then the NWDAF containing MTLF may notify the NWDAF Service consumer a Terminate Request indication with cause code (e.g. NWDAF overload, not available for the FL process anymore, etc.) by invoking the Nnwdaf\_MLModelTraining\_Notify service operation.

In order to enable Federated Learning, NWDAF containing MTLF acting as FL Client NWDAF can notify NWDAF Service consumer acting as FL Server NWDAF the local ML Model information and status report of FL training including accuracy information of local model and Training Input Data Information (e.g. areas covered by the data set, sampling ratio, maximum/minimum of value of each dimension, etc.).

If the Model Accuracy Check Flag is present in the Nnwdaf\_MLModelTraining\_Subscribe, the service provider NWDAF acting as FL Client NWDAF may notify the NWDAF Service consumer acting as FL Server NWDAF the Model Accuracy information of the global ML Model.

## 6.2F.2 Contents of ML Model Training

The consumers of the ML Model training services (i.e. an NWDAF containing MTLF) may provide the input parameters in Nnwdaf\_MLModelTraining\_Subscribe or Nnwdaf\_MLModelTrainingInfo\_Request service operations as listed below:

- Analytics ID: identifies the analytics for which the ML Model is requested to be trained.
- ML Model Interoperability Information as defined in clause 6.2A.2.
- (Only for Nnwdaf\_MLModelTraining\_Subscribe) A Notification Target Address (+ Notification Correlation ID) as defined in TS 23.502 [3] clause 4.15.1, allowing to correlate notifications received from the NWDAF containing MTLF with the subscription.
- [OPTIONAL] ML Model Information (as defined in clause 6.2A.2).
- [OPTIONAL] ML Model file.

NOTE 1: It is up to NWDAF implementation to determine whether to include ML Model file in input parameters considering ML Model file size, etc.

- [OPTIONAL] ML Model identifier: identifies the provided ML Model.
- [OPTIONAL] ML Preparation Flag: identifies whether the request is for preparing Federated Learning or executing Federated Learning.
- [OPTIONAL] ML Model Accuracy Check Flag: identifies that the request is for using the local training data as the testing dataset to calculate the Model Accuracy of the global ML Model provided by the NWDAF service consumer acting as the FL Server NWDAF.
- [OPTIONAL] ML Correlation ID: identifies the Federated Learning procedure for training the ML Model. This parameter is included when the service is used for Federated Learning.
- [OPTIONAL] Data Availability requirement. This is the requirement on data availability for the ML Model training. e.g. FL Server NWDAF sends the requirement in preparation request to a FL Client NWDAF for selecting the FL Client NWDAF which can meet the data availability requirement. The following may be included:
  - Event ID list to be collected for local model training.
  - Dataset statistical properties as defined in clause 6.1.3.
  - Time window of the data samples.
  - Minimum number of data samples.

- [OPTIONAL] FL Availability time requirement. This is the requirement on availability time for the ML Model training, e.g. FL Server NWDAF sends the requirement in preparation request to FL Client NWDAF for selecting the FL Client NWDAF which is available in the required time for training ML Model.
- [OPTIONAL] Training Filter Information: enables to select which data for the ML Model training is requested, e.g. S-NSSAI, Area of Interest. Parameter types in the Training Filter Information are the same as or subset of parameter types in the ML Model Filter Information which are defined in clause 6.2A.2.
- [OPTIONAL] Target of Training Reporting: indicates the object(s) for which data for ML Model training is requested, i.e. group of UEs identified by a list of Internal-Group-Ids or any UE (i.e. all UEs).
- [OPTIONAL] Use case context: indicates the context of use of ML Model.
- [OPTIONAL] Training Reporting Information with the following parameters:
  - Maximum response time: indicates maximum time for waiting notifications (i.e. model training results).
- [OPTIONAL] Iteration round ID: indicates the iteration round number of current ML Model training.
- [OPTIONAL] Expiry time.
- [OPTIONAL] Indication of skipping the current FL round.

The NWDAF containing MTLF provides to the consumer of the ML Model training service operations as described in clause 7.10 and clause 7.11, the output information in notification or response as listed below:

- (Only for Nnwdaf\_MLModelTraining\_Notify) The Notification Correlation Information.
- [OPTIONAL] ML Model Information (as defined in clause 6.2A.2).
- [OPTIONAL] ML Model identifier: identifies the provisioned ML Model.
- [OPTIONAL] Global ML Model Accuracy information: The model metric value of the global ML Model and optionally the used metric, which is calculate by the FL Client NWDAF using the local training data as the testing dataset.

[OPTIONAL] Status report of FL training: Accuracy information of local model and Training Input Data Information (e.g. areas covered by the data set, sampling ratio, maximum/minimum of value of each dimension, etc.), which are generated by the FL Client NWDAF during FL procedure.

NOTE 2: The parameters in Training Input Data Information are up to the implementation.

- [OPTIONAL] ML Correlation ID. This parameter may be included when the service is used for Federated Learning.
- [OPTIONAL] Iteration round ID: indicates the iteration round number of ML Model training indicated by the FL Server NWDAF.
- [OPTIONAL] Delay Event Notification with the following parameters:
  - delay event indication: this parameter indicates that FL Client NWDAF is not able to complete the training of the interim local ML Model within the maximum response time provided by the FL Server NWDAF.
  - [OPTIONAL] cause code (e.g. local ML Model training failure, more time necessary for local ML Model training, etc.).
  - [OPTIONAL] Expected time to complete the training: Indicates to the FL Server NWDAF that expected remaining training time and may be provided with Delay Event Notification.

## 6.2F.3 ML Model Training Information Request

The procedure in Figure 6.2F.3-1 is used by an NWDAF service consumer, i.e., an NWDAF containing MTLF to request another NWDAF, i.e., an NWDAF containing MTLF, for the information about ML Model training based on the ML Model file or ML Model information as described in clause 6.2F.2 provided by the NWDAF service consumer. The service may be used by an NWDAF containing MTLF to enable e.g. Federated Learning.

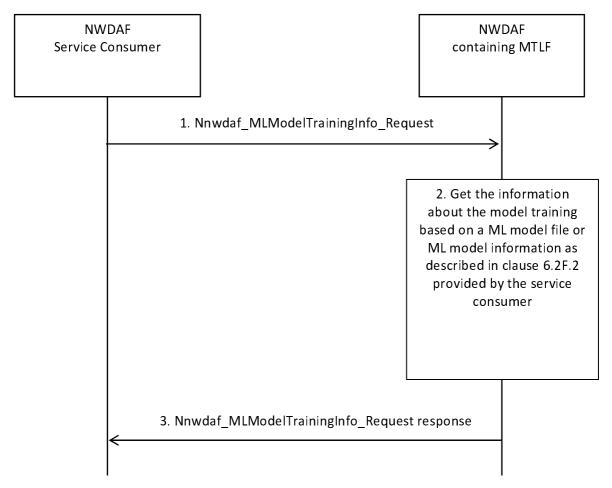


Figure 6.2F.3-1: Procedure for ML Model Training Information Request

1. The NWDAF service consumer may request the NWDAF containing MTLF to get the information about the ML Model training based on the ML Model file or ML Model information as described in clause 6.2F.2 provided by the service consumer by invoking the Nnwdaf\_MLModelTrainingInfo\_Request service operation. The parameters that can be provided by the NWDAF service consumer are listed in clause 6.2F.2.

In order to enable Federated Learning, NWDAF Service consumer acting as FL Server NWDAF requests to get ML Model Training Information from multiple NWDAF containing MTLF acting as FL Client NWDAFs, which are selected by the FL Server NWDAF. The details are specified in clause 6.2C.

The NWDAF service consumer may use the request to check if an NWDAF can meet the ML Model training requirements (e.g. ML Model Interoperability information, Analytics ID, Service Area/DNAI and/or availability of data and time). In such cases, the NWDAF service consumer includes an ML Preparation Flag.

The NWDAF service consumer may use the request to get the Model Accuracy of the ML Model provided by the service consumer using local training data in the NWDAF containing MTLF as the testing dataset. In such cases, the service consumer NWDAF includes a Model Accuracy Check Flag.

2. When the ML Preparation Flag is present in the request, the NWDAF containing MTLF only checks whether it can meet the ML Model training requirement and/or can successfully download the model information is provided. Based on the check result, the NWDAF containing MTLF gets a successful return code or failure cause code (e.g. NWDAF does not meet the ML training requirements) as the information about the ML Model training.

When the Model Accuracy Check Flag is present in the request, the NWDAF containing MTLF uses the local training data as the testing dataset to calculate the Model Accuracy information of the ML Model provided by the service consumer. The NWDAF containing MTLF includes the Model Accuracy information into the information about the ML Model training.

When the NWDAF containing MTLF is ongoing ML Model training based on the ML Model file or ML Model information as described in clause 6.2F.2 provided by the NWDAF service consumer, the NWDAF containing

MTLF gets a failure cause code (e.g. ML training is not complete) as the information about the ML Model training.

When the NWDAF containing MTLF completes ML Model training based on the ML Model file or ML Model information as described in clause 6.2F.2 provided by the NWDAF service consumer, the NWDAF containing MTLF gets a successful return code and the ML Model Information of the trained ML Model as the information about the ML Model training.

3. The NWDAF containing MTLF replies to the NWDAF service consumer with the information about the ML Model training by invoking the Nnwdaf\_MLModelTrainingInfo\_Request response service operation.

## 6.3 Slice load level related network data analytics

#### 6.3.1 General

The NWDAF provides slice load level information to a consumer NF on a Network Slice level or a Network Slice instance level or both. The NWDAF is not required to be aware of the current subscribers using the slice. The NWDAF notifies slice specific network status analytics information to the consumer NF that is subscribed to it. A consumer NF may collect directly slice specific network status analytics information from NWDAF. This information is not subscriber specific.

The NWDAF services as defined in the clause 7.2 and clause 7.3 are used to expose slice load level analytics from the NWDAF to the consumer NF (e.g. PCF, NSSF, AMF or AF, possibly via NEF).

The consumer of these analytics shall indicate in the request or subscription:

- Analytics ID = "Load level information";
- Analytics Filter Information:
  - S-NSSAI and NSI ID;
- NOTE 1: The use of NSI ID in the network is optional and depends on the deployment choices of the operator. If used, the NSI ID is associated with S-NSSAI. NSI ID is only applicable when the consumer of analytics is NSSF or AMF.
  - optionally, the list of analytics subsets that are requested among those specified in clause 6.3.3A;
  - optionally, for analytics exposure in roaming case (see clause 6.1.5), the PLMN ID identifying the target PLMN (i.e. PLMN of which the roaming analytics is requested); and
  - optionally, for analytics exposure in roaming case (see clause 6.1.5), mapped S-NSSAI of the HPLMN if the consumer NF is in the VPLMN.

NOTE 2: The terms "HPLMN" and "VPLMN" here refer to a roaming case in which at least one UE served by the NWDAF analytics consumer is involved.

- an optional Area of Interest (i.e. list of TAs or cells);

NOTE 3: When the AF provides geographical area, the NEF can map it into list of TAs or cells.

- an optional list of NF types;
- optionally, Load Level Threshold value;
- optionally, "maximum number of objects" indicating the maximum number of Network Slice instances expected in output, when the Analytics Filter Information does not indicate an NSI ID; and
- an Analytics target period indicating the time period over which the statistics or predictions are requested.

#### 6.3.2 Void

#### 6.3.2A Input data

The detailed information collected by the NWDAF is listed in Table 6.3.2A-1 and Table 6.3.2A-2.

Table 6.3.2A-1: OAM Input data for slice load analytics

| Information  | Source | Description  |  |
|--|--------|--|--|
| UE registered in a Network   | OAM    | Mean number of UEs registered in a NW slice or NW slice          |  |
| Slice/Network Slice instance   |        | instance as defined in TS 28.552 [8]. (NOTE 1).                  |  |
| PDU Session established on   | OAM    | Mean number of established PDU Sessions in a NW slice or NW      |  |
| a Network Slice/Network  |        | slice instance as defined in TS 28.552 [8]. (NOTE 1).            |  |
| Slice instance   |        |  |  |
| Load of NFs associated to  | OAM    | Resource utilization information of a Network Slice instance     |  |
| Network Slice instance   |        | obtained from its constituent NF instances. NF instance load     |  |
|  |        | input data collection is described in clause 6.5, Table 6.5.2-1. |  |
| NOTE 1: 5GC performance measurements can be provided per S-NSSAI by OAM as defined in TS 28.552 [8]. Any |        |  |  |
| 5GC performance measurements per NSI ID required further coordination with SA WG5.                       |        |  |  |

Table 6.3.2A-2: 5GC NF Input data for slice load analytics

| Information   | Source              | Description  |
|---|---------------------|--|
| Timestamps  | 5GC NF              | A time stamp associated with the collected information.  |
| UE registers/de-registers to a<br>Network Slice/Network Slice<br>instance | AMF(s)              | AMF reports that a UE registered or deregistered to a S-NSSAI or to a S-NSSAI and NSI ID.  |
| Number of UEs served by the AMF   | AMF(s)              | AMF reports the total number of UEs served by the AMF per S-<br>NSSAI or per S-NSSAI and NSI ID. (NOTE 1)  |
| PDU Session<br>established/released on a<br>Network Slice                 | SMF(s)              | SMF reports that a PDU Session is established or released per S-NSSAI or per S-NSSAI and NSI ID.   |
| Current number of UEs registered in a NW slice                            | NSACF               | NSACF reports the number of UE registered at the S-NSSAI.  |
| Current number of PDU<br>Sessions established in a<br>NW slice            | NSACF               | NSACF reports the number of PDU Sessions established at the S-NSSAI.   |
| Load of NFs associated to<br>Network Slice instance                       | NRF                 | Resource utilization information of a Network Slice instance obtained from its constituent NF instances. NF instance load input data collection is described in clause 6.5, Table 6.5.2-1. |
| NOTE 1: AMF reports the total   | I number of registe | red UE in the AMF at each associated time stamp.   |

NOTE 2: SMF reports multiple PDU Sessions when establishment or release happened at the same time, indicated by the time stamp.

NOTE 3: Based on the internal logic, the NWDAF determines the source for the data collection.

NWDAF collects input data on the number of UEs registered in a S-NSSAI or S-NSSAI and NSI ID combination using one of the following options:

- Total number of UE registered to a S-NSSAI or to a S-NSSAI and NSI ID from each AMF(s) and/or from NSCAF serving the slice:
  - Namf\_EventExposure\_Subscribe (Target for Event Reporting = "any UE", Event ID = "Number of UEs served by the AMF and located in "Area of Interest"", Event Filter information = S-NSSAI(s) or one or more of the tuple (S-NSSAI, NSI ID), Event reporting mode = periodic along with periodicity) as defined in clause 5.2.2.3.1 of TS 23.502 [3]; or
  - Nnsacf\_SliceEventExposure\_Subscribe (EventID = "Number of UE registered", EventFilter = "S-NSSAI", Event reporting mode = periodic along with periodicity) as defined in clause 5.2.21.4.2 of TS 23.502 [3].
- Individual UE registration/deregistration to a S-NSSAI or to a S-NSSAI and NSI ID reported by AMF(s):
  - Namf\_EventExposure\_Subscribe (Target for Event Reporting = "any UE", Event ID = "UE moving in or out of a subscribed "Area of Interest", Event Filter information = S-NSSAI(s) or one or more of the tuples (S-NSSAI, NSI ID), Event reporting mode = reporting to a maximum number or a maximum duration) as defined in clause 5.2.2.3.1 of TS 23.502 [3].

NWDAF collects input data on the number of PDU Sessions established in a S-NSSAI using one of the following options:

- Total number of PDU Sessions established in a S-NSSAI from each NSACF serving the slice:
  - Nnsacf\_SliceEventExposure\_Subscribe (EventID = "Number of PDU sessions established", EventFilter = "S-NSSAI(s)", Event reporting mode = periodic along with periodicity) as defined in clause 5.2.21.4.2 of TS 23.502 [3].
- Individual PDU Session Established or PDU Session Released in a S-NSSAI from SMF:
  - Nsmf\_EventExposure\_Subscribe (Target for Event Reporting = "any UE", Event ID = "PDU Session Establishment and/or PDU Session Release", Event Filter information = S-NSSAI(s), Event reporting mode = reporting to a maximum number or a maximum duration) as defined in clause 5.2.8.3.1 of TS 23.502 [3].

#### 6.3.3 Void

## 6.3.3A Output analytics

The NWDAF services as defined in the clause 7.2 and 7.3 are used to expose the following analytics:

- Network Slice instance load statistics information as defined in Table 6.3.3A-1.
- Network Slice load statistics information as defined in Table 6.3.3A-2.
- Network Slice instance load predictions information as defined in Table 6.3.3A-3.
- Network Slice load predictions information as defined in Table 6.3.3A-4.

Table 6.3.3A-1: Network Slice instance load statistics

| Information   | Description   |  |  |
|---|---|--|--|
| S-NSSAI   | Identification of the Network Slice.  |  |  |
| Network Slice instances   | List of Network Slice instance(s) within the S-NSSAI.   |  |  |
| (1max)  |   |  |  |
| > NSI ID  | Identification of the Network Slice instance.   |  |  |
| > Number of UE<br>Registrations (NOTE 1)  | Number of UE registrations of the Network Slice instance (average, variance).   |  |  |
| > Number of PDU Sessions establishment (NOTE 1)   | Number of PDU Session establishments of the Network Slice instance (average, variance).   |  |  |
| > Resource usage (NOTE 1)   | The usage of assigned virtual resources currently in use for the NF instances (mean usage of virtual CPU, memory, disk) as defined in clause 5.7 of TS 28.552 [8], belonging to a particular Network Slice instance.                |  |  |
| > Resource usage threshold crossings (NOTE 1)   | Number of times resource usage threshold is met or exceeded or crossed on the Network Slice instance and the time when it happened. It is present if threshold is provided by the consumer as Analytics Filter.                     |  |  |
| > Resource usage threshold<br>crossings time period<br>(1max) (NOTE 1, NOTE 2)  | Resource usage threshold crossing vector including time elapsed between times each threshold is met or exceeded or crossed on the Network Slice instance if a threshold value is provided by the consumer as Analytics Filter.      |  |  |
| > Load Level (NOTE 1)   | The load level of the Network Slice Instance indicated by the S-NSSAI and the associated NSI ID (if applicable) in the Analytics Filter, it is present if Load Level Threshold is not provided by the consumer as Analytics Filter. |  |  |
| > Crossed Load Level<br>Threshold (NOTE 1)  | An indication on whether the Load Level Threshold is met or exceeded by the statistics value of the Load Level. It is present if the Load Level Threshold is provided by the consumer as Analytics Filter.                          |  |  |
| NOTE 1: Analytics subset that can be used in "list of analytics subsets that are requested".  NOTE 2: The time period is a time interval specified by a start time and an end time timestamps within the Analytics target period. |   |  |  |

Table 6.3.3A-2: Network Slice load statistics

| Information  | Description  |  |  |
|--|--|--|--|
| S-NSSAI  | Identification of the Network Slice.   |  |  |
| > Number of UE   | Number of UE registrations at the Network Slice (average,  |  |  |
| Registrations (NOTE 1)   | variance).   |  |  |
| > Number of PDU sessions   | Number of PDU Session establishments at the Network Slice  |  |  |
| establishments (NOTE 1)  | (average, variance).   |  |  |
| > Load Level (NOTE 1)  | The load level of the Network Slice Instance indicated by the S-<br>NSSAI and the associated NSI ID (if applicable) in the Analytics |  |  |
|  | Filter, it is present if Load Level Threshold is not provided by the consumer as Analytics Filter.                                   |  |  |
| > Crossed Load Level   | An indication on whether the Load Level Threshold is met or  |  |  |
| Threshold (NOTE 1)   | exceeded by the statistics value of the Load Level. It is present if   |  |  |
|  | the Load Level Threshold is provided by the consumer as Analytics Filter.  |  |  |
| NOTE 1: Analytics subset that can be used in "list of analytics subsets that are requested". |  |  |  |

Table 6.3.3A-3: Network Slice instance load predictions

| Information   | Description  |  |  |
|---|--|--|--|
| S-NSSAI   | Identification of the Network Slice.   |  |  |
| Network Slice instances (1max)  | List of Network Slice instance(s) within the S-NSSAI.  |  |  |
| > NSI ID  | Identification of the Network Slice instance.  |  |  |
| > Number of UE<br>Registrations (NOTE 1)  | Number of predicted UE registrations at the Network Slice instance (average, variance).  |  |  |
| > Number of PDU Sessions establishment (NOTE 1)   | Number of predicted PDU Session establishments of the Network Slice instance (average, variance).  |  |  |
| > Resource usage (NOTE 1)   | The predicted usage of assigned virtual resources for the NF instances (mean usage of virtual CPU, memory, disk) as defined in clause 5.7 of TS 28.552 [8], belonging to a particular Network Slice instance.  |  |  |
| > Resource usage threshold crossings (NOTE 1)   | Number of predicted times resource usage threshold is met or exceeded or crossed at the Network Slice instance and the time when it happened. It is present if a threshold value is provided by the consumer as Analytics Filter.                        |  |  |
| > Resource usage threshold<br>crossings time period<br>(1max) (NOTE 1, NOTE 2)  | Predicted Resource usage threshold vector including predicted time elapsed between times each threshold is met or exceeded or crossed on the Network Slice instance, it is present if a threshold value is provided by the consumer as Analytics Filter. |  |  |
| > Load Level (NOTE 1)   | The load level of the Network Slice Instance indicated by the S-NSSAI and the associated NSI ID (if applicable) in the Analytics Filter, if Load Level Threshold is not provided by the consumer as Analytics Filter.                                    |  |  |
| > Crossed Load Level<br>Threshold (NOTE 1)  | An indication on whether the Load Level Threshold is met or exceeded by the predicted value of the Load Level. It is present if the Load Level Threshold is provided by the consumer as Analytics Filter.  |  |  |
| > Confidence  | Confidence of this prediction.   |  |  |
| NOTE 1: Analytics subset that can be used in "list of analytics subsets that are requested".  NOTE 2: The time period is a time interval specified by a start time and an end time timestamps within the Analytics target period. |  |  |  |

Table 6.3.3A-4: Network Slice load predictions

| Information  | Description   |  |
|--|---|--|
| S-NSSAI  | Identification of the Network Slice.                                |  |
| > Number of UE   | Predicted Number of UE registrations at the Network Slice           |  |
| Registrations (NOTE 1)   | (average, variance).  |  |
| > Number of PDU sessions   | Predicted Number of PDU Session establishments at the Network       |  |
| establishments (NOTE 1)  | Slice (average, variance).  |  |
| > Load Level (NOTE 1)  | The load level of the Network Slice Instance indicated by the S-    |  |
|  | NSSAI and the associated NSI ID (if applicable) in the Analytics    |  |
|  | Filter, if Load Level Threshold is not provided by the consumer as  |  |
|  | Analytics Filter.   |  |
| > Crossed Load Level   | An indication of whether the Load Level Threshold is met or         |  |
| Threshold (NOTE 1)   | exceeded by the predicted value of the Load Level. It is present if |  |
|  | the Load Level Threshold is provided by the consumer as Analytics   |  |
|  | Filter.   |  |
| > Confidence   | Confidence of this prediction.                                      |  |
| NOTE 1: Analytics subset that can be used in "list of analytics subsets that are requested". |   |  |

NOTE: If no NSI ID is provided as Analytics Filter, slice load level related output analytics are provided according to Tables 6.3.3A-2 and 6.3.3A-4. Otherwise slice instance load level related output analytics are provided according to Tables 6.3.3A-1 and 6.3.3A-3.

The predictions are provided with a Validity Period, as defined in clause 6.1.3. The Network Slice Load statistics and predictions may be exposed to the AF by NEF under the conditions defined in clause 5.9.2.3 of TS 33.501 [49] NEF security requirements.

#### 6.3.4 Procedures

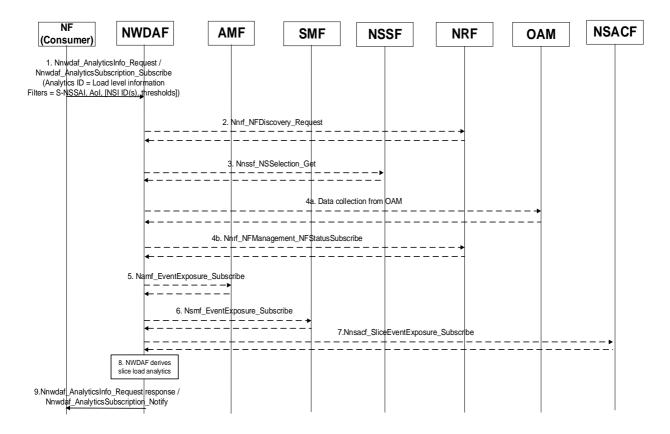


Figure 6.3.4-1: Network Slice load analytics provided by NWDAF

Figure 6.3.4-1 shows the procedure for NWDAF to derive slice load analytics. The steps are described as follows:

- A consumer NF subscribes to/requests a NWDAF using Nnwdaf\_AnalyticsSubscription\_Subscribe or Nnwdaf\_AnalyticsInfo\_Request service operation (Analytics ID = Load level information and a set of Analytics Filters (e.g. S-NSSAI, NSI ID, Area of Interest)).
- 2. [OPTIONAL] If the NWDAF does not have already the slice information, it gains the slice information from OAM (as described in clause 6.2.3) and selects, based on discovery towards NRF, the AMF, SMF and NSSF instance(s) relevant to the Analytics Filters provided in the analytics subscription.
- 3. [OPTIONAL] If the NSI ID(s) are not provided in the analytics subscription by the consumer NF, the NWDAF invokes Nnssf\_NSSelection\_Get service operation from NSSF to obtain the NSI ID(s) corresponding to the S-NSSAI in the subscription.
- NOTE: Step 4a to step 7 are conditional depending on the NWDAF internal logic that determines the source(s) of data collection.
- 4a. [CONDITIONAL] The NWDAF may subscribe to input data in Table 6.3.2A-1 from the OAM according to the data collection principles from the OAM described in clause 6.2.3.
- 4b. [CONDITIONAL] The NWDAF may collect input data from the NRF (see clause 6.5) to derive slice instance resource usage statistics and predictions for a Network Slice instance.
- 5. [CONDITIONAL] The NWDAF may subscribe to the AMF(s) event exposure service to collect data on the number of UEs currently registered on certain Network Slice and, if available, its constituent Network Slice instance(s) as defined in clause 6.3.2A. If required, the NWDAF may also collect the corresponding UE IDs.
- 6. [CONDITIONAL] The NWDAF may subscribe to the SMF(s) event exposure service to collect data on the number of PDU sessions established and/or released at the SMF on currently registered on certain Network Slice as defined in clause 6.3.2A. NWDAF can then use such collected data to determine the number of PDU sessions

established on i) a Network Slice; and ii) if available, on a Network Slice instance by leveraging the data collected in step 5.

- 7. [CONDITIONAL] The NWDAF may subscribe to one or multiple NSACFs to collect data on either the number of UE registered in a S-NSSAI or the number of PDU sessions established in a S-NSSAI as defined in clause 6.3.2A. When multiple NSACFs are selected by the NWDAF for the S-NSSAI, the NWDAF aggregates the reports from the NSACFs to derive the number of UEs registered in the S-NSSAI or the number of PDU sessions established in the S-NSSAI.
- 8. The NWDAF derives slice load analytics.
- 9. The NWDAF delivers analytics to the consumer NF by invoking Nnwdaf\_AnalyticsSubscription\_Notify or Nnwdaf\_AnalyticsInfo\_Request response service operations.

# 6.4 Observed Service Experience related network data analytics

#### 6.4.1 General

This clause specifies how NWDAF can provide Observed Service Experience (i.e. average of observed Service MoS and/or variance of observed Service MoS indicating service MOS distribution for services such as audio-visual streaming as well as services that are not audio-visual streaming such as V2X and Web Browsing services) analytics, in the form of statistics or predictions, to a service consumer.

The Observed Service Experience analytics may provide one or more of the following outputs:

- Service Experience for a Network Slice: Service Experience for a UE or group of UEs or any UE in a Network Slice:
- Service Experience for an Application: Service Experience for a UE or group of UEs or any UE in an Application or a set of Applications;
- Service Experience for an Edge Application over a UP path: Service experience for a UE or a group UEs or any UE in an Application or a set of Applications over a specific UP path (UPF, DNAI and EC server);
- Service Experience for an Application over a RAT Type or Frequency or both: Service experience for a UE or group of UEs in an Application or a set of Applications over a RAT Type or over a Frequency or both as defined in Table 6.4.1-1.
- Service Experience for an Application transferring data over a PDU Session: Service experience for a UE or
  group of UEs or any UE in an Application or a set of Applications transferring data over a PDU Session with
  PDU Session parameters i.e. S-NSSAI, DNN, PDU Session Type, SSC mode and optionally an Access Type or
  with combination of PDU Session parameters such as a list of the tuple (PDU Session Type, SSC mode)
  optionally per Access Type.

Therefore, Observed Service experience may be provided as defined in clause 6.4.3. For example, individually per UE or group of UEs, or globally, averaged per Application or averaged across a set of Applications on a Network Slice.

The service consumer may be an NF (e.g. PCF, NSSF, AMF, NEF), AF, or the OAM.

The consumer of these analytics shall indicate in the request or subscription:

- Analytics ID = "Service Experience";
- Target of Analytics Reporting as defined in clause 6.1.3;
- Analytics Filter Information as defined in Table 6.4.1-1 and optionally a list of analytics subsets that are requested (see clause 6.4.3);
- optionally, maximum number of objects and maximum number of SUPIs;
- optionally, preferred level of accuracy of the analytics;

- optionally, preferred level of accuracy per analytics subset;
- optionally, preferred order of results for the list of Application Service Experiences and/or Slice instance service experiences: "ascending" or "descending";
- optionally, preferred granularity of location information: TA level or cell level or "longitude and latitude level";
- Analytics target period that indicates the time window for which the statistics or predictions are requested;
- in a subscription, the Notification Correlation Id and the Notification Target Address; and
- optionally, Reporting Thresholds, which apply only for subscriptions and indicate conditions on the Service Experience to be reached in order to be notified by the NWDAF (see Table 6.4.3-1 and Table 6.4.3-2).

NOTE: Definition of "longitude and latitude level" is described in clause 6.1.3.

Table 6.4.1-1: Analytics Filter Information related to the observed service experience

| Information                                    | Description   |             |                  | Mandatory                                  |  |   |
|--|---|-------------|------------------|--|--|---|
|  |   | Application | Network<br>Slice | Edge<br>Application<br>s over a UP<br>path | Application<br>over RAT<br>Type and<br>frequency | Application<br>transfering<br>data over a<br>PDU<br>Session |
| Application<br>ID (0max)                       | The identification of the application(s) for which the analytics information is subscribed or requested.  | Y           | N                | Y  | Y  | Y   |
| S-NSSAI<br>(NOTE 3)                            | When requesting Service Experience for a Network Slice: identifies the Network Slice for which analytics information is subscribed or requested. When requesting Service Experience for an Application: identifies the S-NSSAI used to access the application together with the DNN listed below. | Z           | Y                | N  | N  | С   |
| NSI ID(s)                                      | Identifies the Network Slice instance(s) for which analytics information is subscribed or requested.  | N           | N                | N  | N  | N   |
| Area of<br>Interest<br>(NOTE 6)                | Identifies the Area (i.e. set of TAIs), as defined in TS 23.501 [2] for which the analytics information is subscribed or requested.   | N           | N                | N  | N  | N   |
| DNN<br>(NOTE 3)                                | When requesting Service Experience for an Application, this is the DNN to access the application.   | N           | N                | N  | N  | С   |
| DNAI<br>(NOTE 1)                               | Identifier of a user plane access to one or more DN(s) where applications are deployed as defined in TS 23.501 [2].   | N           | N                | Y  | N  | N   |
| RAT Type<br>(NOTE 2)                           | Identifies the RAT type.  | N           | N                | N  | Y  | N   |
| Frequency<br>(NOTE 2)                          | Identifies the Frequency value(s) (e.g. high, low).   | N           | N                | N  | Y  | N   |
| Application<br>Server<br>Addresses<br>(NOTE 1) | List of IP addresses/FQDNs of the Application Servers the Target of Analytics Reporting has a communication session for which Service Experience Analytic information is requested.   | N           | N                | Y  | N  | N   |
| UPF anchor ID (NOTE 1) (NOTE 4)                | Identifies the UPF where a UE has an associated PDU session   | N           | N                | N  | N  | N   |
| PDU<br>Session type<br>(NOTE 3)                | Identifies the type of the associated PDU Session   | N           | N                | N  | N  | С   |
| SSC Mode<br>(NOTE 3)                           | Identifies the SSC Mode selected for the associated PDU Session   | N           | N                | N  | N  | С   |
| Access Type<br>(NOTE 3)                        | Identifies the Access type of the associated PDU Session  | N           | N                | N  | N  | С   |

| Mapped<br>NSSAI<br>(NOTE 5) | Identifies the mapped NSSAI in the HPLMN. May be used in VPLMN for analytics exposure in roaming case (see clause 6.1.5).                | N | N | Y | N | N |
|-----------------------------|--|---|---|---|---|---|
| PLMN ID                     | Identifies the target PLMN (i.e. PLMN from which the analytics are requested, for analytics exposure in roaming case (see clause 6.1.5). | N | N | Y | N | N |

- NOTE 1: These parameters can be provided when a consumer requires analytics for an edge application over a UP path.
- NOTE 2: A service consumer can provide either a RAT Type or a Frequency or a specific combination of RAT Type and Frequency. A service consumer can also provide multiple instances of RAT Type or multiple instances of Frequency or multiple combinations of RAT type and Frequency. A service consumer can also provide "any" RAT type indication "any" Frequency value indication or "any" indication for all the RAT type and Frequency value the NWDAF has received for the application.
- NOTE 3: One or more of these parameters can be provided by the consumer when requesting analytics for an application running over a PDU Session(s).
- NOTE 4: UPF ID is only needed when the target of NWDAF analytics on Service Experience is a specific UPF.
- NOTE 5: The terms "HPLMN" and "VPLMN" here refer to a roaming case in which at least one UE served by the NWDAF analytics consumer is involved.
- NOTE 6: If the request is for fine granularity location information (i.e. with a finer granularity than cell), the AOI may be described as shown in clause 5.5 of TS 23.273 [39].

NOTE 1: A service consumer can use the Area of Interest in order to reduce the amount of signalling that the analytics subscription or request generates.

The NWDAF shall notify the result of the analytics to the consumer as specified in clause 6.4.3.

NWDAF collects the network data from AF (directly or via NEF) and from other 5GC NF(s) in order to calculate and provide statistics and predictions on the observed service experience to a consumer NF or to OAM. When the AF provides Service Experience Information and the Target of Analytics Reporting is one or more UE ID(s), the AF may also provide a Service Experience Contribution Weight with each UE's Service Experience value. The Service Experience Contribution Weight is determined by the AF and indicates the relative importance of each UE's Service Experience. The NWDAF may use the Service Experience Contribution Weight(s) to calculate and provide statistics, confidence values and predictions on the observed service experience to a consumer NF or to OAM.

NOTE 2: The relative importance of that is conveyed in the Service Experience Contribution Weights is used to indicate the relative importance of the Service Experience value (i.e. MOS). For example, it might be that one the Service Experience of one UE is not very important because the UE is an infrequent user of the service, or the UE does not use all features that are associated with the service. Whereas another UE might be considered important because the UE is a frequent user of the service or a user who uses many features that are associated with the service.

Based on the Analytics Filter information in Table 6.4.1-1 and the Target of Analytics Reporting provided by the service consumer in the analytics subscription or request, NWDAF determines whether service experience analytics should be delivered for:

- i) Application(s);
- ii) Network Slice;
- iii) both Application(s) and Network Slice;
- iv) Edge Applications over a UP path;
- v) Application(s) over RAT Type(s) and/or Frequency value(s);
- vi) Application(s) running over a PDU Session using the following PDU Session parameters or combination of them, i.e. S-NSSAI, DNN, PDU Session type, SSC Mode and optionally per Access Type.

If NWDAF is unable to differentiate based on the analytics subscription or request, it provides service experience analytics for both Application(s) and Network Slice.

If service experience for both Application(s) and Network Slice is desired but the Target of Analytics Reporting or Analytics Filter information values (e.g. Area of Interest) need to be different, separate subscriptions/requests may be provided by the service consumer.

### 6.4.2 Input Data

The service data and performance data collected from the AF (including the service data collected from the UE through the AF), the network data from other 5GC NFs and the network data from OAM and MDAS/MDAF for observed service experience are defined in Table 6.4.2-1, 6.4.2-1a, Table 6.4.2-2, Table 6.4.2-3, Table 6.4.2-4 and Table 6.4.2-5 respectively.

Table 6.4.2-1: Service Data from AF related to the observed service experience

| Information                               | Source      | Description  |  |
|---|-------------|--|--|
| Application ID                            | AF          | To identify the service and support analytics per type of service (the desired level of service)   |  |
| IP filter information                     | AF          | Identify a service flow of the UE for the application  |  |
| Locations of Application                  | AF/NEF      | Locations of application represented by a list of DNAIs. The NEF may map the AF-Service-Identifier information to a list of DNAI when the DNAI(s) being used by the application are statically defined.  |  |
| Service Experience                        | AF          | Refers to the QoE per service flow as established in the SLA and during on boarding. It can be either e.g. MOS or video MOS as specified in ITU-T P.1203.3 [11] or a customized MOS for any kind of service including those not related to video or voice.   |  |
| UE ID                                     | AF          | The list of UE IDs that are associated with the Service Experience value(s). When the AF is untrusted, GPSIs will be provided. When the AF is trusted SUPIs will be provided.  |  |
| Service Experience<br>Contribution Weight | AF          | The list of Service Experience Contribution Weights that are associated with each of the provided UE IDs.  |  |
| QoE metrics                               | UE (via AF) | QoE metrics observed at the UE(s). QoE metrics and measurement as described in TS 26.114 [27], TS 26.247 [28], TS 26.118 [29], TS 26.346 [30], TS 26.512 [31] or ASP specific QoE metrics in TS 26.512 [31], as agreed in the SLA with the MNO, may be used. |  |
| Timestamp                                 | AF          | A time stamp associated to the Service Experience provided by the AF, mandatory if the Service Experience is provided by the ASP.  |  |
| Application Server Instance               | AF          | The IP address or FQDN of the Application Server that the UE had a communication session when the measurement was made.  |  |

NWDAF subscribes to the service data from AF in the Table 6.4.2-1 either directly for trusted AFs by invoking Naf\_EventExposure\_Subscribe service (Event ID = Service Experience information, Event Filter information = Area of Interest, Application ID) as defined in TS 23.502 [3], or indirectly for untrusted AFs via NEF by invoking Nnef\_EventExposure\_Subscribe service (Event ID = Service Experience information, Event Filter information = Area of Interest, Application ID) where NEF translates the Area of Interest into geographic zone identifier(s). For the information whose source is UE (via AF), the AF collects data from the UE as defined in clause 6.2.8.

NOTE: When the Service Experience is expressed as a customized MOS, the customized MOS might be defined by the content provider or by the MNO and might be based on the nature of the targeted service type (e.g. web browsing, gaming, augmented reality, V2X, SMS).

Table 6.4.2-1a: Performance Data from AF

| Information                         | Source | Description  |
|-------------------------------------|--------|--|
| UE identifier                       | AF     | IP address of the UE at the time the measurements was made.  |
| UE location                         | AF     | The location of the UE when the performance measurement was made.  |
| Application ID                      | AF     | To identify the service and support analytics per type of service (the desired level of service).  |
| IP filter information               | AF     | Identify a service flow of the UE for the application.   |
| Locations of Application            | AF/NEF | Locations of application represented by a list of DNAIs. The NEF may map the AF-Service-Identifier information to a list of DNAIs when the DNAIs being used by the application are statically defined. |
| Application Server Instance address | AF/NEF | The IP address/FQDN of the Application Server that the UE had a communication session when the measurement was made.   |
| Performance Data                    | AF     | The performance associated with the communication session of the UE with an Application Server that includes: Average Packet Delay, Average Loss Rate and Throughput.                                  |
| Timestamp                           | AF     | A time stamp associated to the Performance Data provided by the AF.  |

NWDAF subscribes to the performance data from AF in the Table 6.4.2-1a either directly for trusted AFs by invoking Naf\_EventExposure\_Subscribe service (Event ID = Performance Data, Event Filter information = Area of Interest, Application ID) as defined in TS 23.502 [3], or indirectly for untrusted AFs via NEF by invoking Nnef\_EventExposure\_Subscribe service (Event ID = Performance Data, Event Filter information = Area of Interest, Application ID) where NEF translates the Area of Interest into geographic zone identifier(s).

Table 6.4.2-2: QoS flow level Network Data from 5GC NF related to the QoS profile assigned for a particular service (identified by an Application Id or IP filter information)

| Information                | Source    | Description   |
|----------------------------|-----------|---|
| Timestamp                  | 5GC NF    | A time stamp associated with the collected information.             |
| Location                   | AMF       | The UE location information, e.g. cell ID or TAI.                   |
| Finer granularity location | GMLC      | UE positions.   |
| (1max)                     |           |   |
| >UE location               |           | GAD shape or location coordinates (see TS 23.032 [34]).             |
| >Timestamp                 |           | A time stamp when the location was measured.                        |
| >LCS QoS                   |           | The accuracy of the measurement.                                    |
| UE ID                      | AMF       | List of SUPIs. If UE IDs are not provided as Target of Analytics    |
|                            |           | Reporting for slice service experience, AMF returns the UE IDs      |
|                            |           | matching the AMF event filters.                                     |
| DNN                        | SMF       | DNN for the PDU Session which contains the QoS flow.                |
| S-NSSAI                    | SMF       | S-NSSAI for the PDU Session which contains the QoS flow.            |
| Application ID             | SMF       | Used by NWDAF to identify the application service provider and      |
|                            |           | application for the QoS flow.                                       |
| DNAI                       | SMF       | Identifies the access to DN to which the PDN session connects.      |
| PDU Session type           | SMF       | Type of the PDU Session.  |
| SSC Mode                   | SMF       | SSC Mode selected for the PDU Session.                              |
| Access Type                | SMF       | List of Access Types used for the PDU Session.                      |
| IP filter information      | SMF       | Provided by the SMF, which is used by NWDAF to identify the         |
|                            |           | service data flow for policy control and/or differentiated charging |
|                            |           | for the QoS flow.   |
| QFI                        | SMF       | QoS Flow Identifier.  |
| QoS flow Bit Rate          | UPF       | The observed bit rate for UL direction; and                         |
|                            |           | The observed bit rate for DL direction.                             |
| QoS flow Packet Delay      | UPF       | The observed Packet delay for UL direction; and                     |
|                            |           | The observed Packet delay for the DL direction.                     |
| Packet transmission        | UPF       | The observed number of packet transmission.                         |
| Packet retransmission      | UPF or AF | The observed number of packet retransmission.                       |

NOTE: Care needs to be taken with regards to load and major signalling caused when requesting Any UE. This could be achieved via utilization of some event filters (e.g. Area of Interest for AMF), Analytics Reporting Information (e.g. SUPImax), or sampling ratio as part of Event Reporting Information.

NWDAF subscribes to the network data from 5GC NF(s) in the Table 6.4.2-2 by invoking Nnf\_EventExposure\_Subscribe service operation with the following Event IDs as input parameters:

- AMF Source: Namf\_EventExposure\_Subscribe (Event IDs = Location Changes, Area of Interest).
- SMF Source: Nsmf\_EventExposure\_Subscribe (Event ID = QFI allocation).

Table 6.4.2-3: UE level Network Data from OAM related to the QoS profile

| Information  | Source  | Description   |  |  |
|--|---|---|--|--|
| Timestamp  | OAM   | A time stamp associated with the collected information.   |  |  |
| Reference Signal Received  | OAM   | The per UE measurement of the received power level in a   |  |  |
| Power  | (see NOTE 1)  | network cell, including SS-RSRP, CSI-RSRP as specified in   |  |  |
|  |   | clause 5.5 of TS 38.331 [14] and E-UTRA RSRP as specified in  |  |  |
| Deference Cianal Descived  | OAM   | clause 5.5.5 of TS 36.331 [15].   |  |  |
| Reference Signal Received Quality  | (see NOTE 1)  | The per UE measurement of the received quality in a network cell, including SS-RSRQ, CSI-RSRQ as specified in clause 5.5 of |  |  |
| Quality  | (SEE NOTE 1)  | TS 38.331 [14] and E-UTRA RSRQ as specified in clause 5.5.5 of  |  |  |
|  |   | TS 36.331 [15].   |  |  |
| Signal-to-noise and  | OAM   | The per UE measurement of the received signal to noise and  |  |  |
| interference ratio   | (see NOTE 1)  | interference ratio in a network cell, including SS-SINR, CSI-SINR,  |  |  |
|  |   | E-UTRA RS-SINR, as specified in clause 5.1 of TS 38.215 [12].   |  |  |
| RAN Throughput for DL and  | OAM   | The per UE measurement of the throughput for DL and UL as   |  |  |
| UL   | (see NOTE 1)  | specified in clauses 5.2.1.1 and 5.4.1.1 of TS 37.320 [20].   |  |  |
| RAN Packet delay for DL and  | OAM   | The per UE measurement of the packet delay for DL and UL,   |  |  |
| UL   | (see NOTE 1)  | including per QCI per UE packet delay as specified in   |  |  |
|  |   | clause 5.2.1.1 of TS 37.320 [20] and per DRB per UE packet  |  |  |
|  |   | delay as specified in clause 5.4.1.1 of TS 37.320 [20].   |  |  |
| RAN Packet loss rate for DL  | OAM   | The per UE measurement of the packet loss rate for DL and UL,   |  |  |
| and UL   | (see NOTE 1)  | including the per QCI per UE packet loss rate as specified in   |  |  |
|  |   | clause 5.2.1.1 of TS 37.320 [20] and the per DRB per UE packet  |  |  |
|  | 0.114   | loss rate as specified in clause 5.4.1.1 of TS 37.320 [20].   |  |  |
| The mapping information  | OAM   | The mapping information between cell ID and frequency   |  |  |
| between cell ID and frequency  |   | (NOTE 2).   |  |  |
| Cell Energy Saving State   | OAM   | List of the cells which are within the area of interest and are in  |  |  |
|  |   | energy saving state, as specified in clauses 3.1 and 6.2 of TS 28.310 [24].   |  |  |
| NOTE 1: Per UE measurement for a specific UE from OAM (via MDT), is as captured in clause 6.2.3.1. |   |   |  |  |
|  | NOTE 2: The MDT measurement report provides the cell identity and carrier frequency information for UE's serving cell |   |  |  |

NOTE 2: The MDT measurement report provides the cell identity and carrier frequency information for UE's serving cell and neighbour cell(s). The NWDAF can get the mapping information between cell ID and frequency using OAM service as described in clause 6.2.3.

NWDAF subscribes the network data from OAM in the Table 6.4.2-3 by using the services provided by OAM as described in clause 6.2.3.

Table 6.4.2-4: UE level Network Data from 5G NF related to the Service Experience

| Information                | Source | Description   |  |
|----------------------------|--------|---|--|
| Timestamp                  | 5GC NF | A time stamp associated with the collected information. |  |
| Location                   | AMF    | The UE location information, e.g. cell ID or TAI.       |  |
| Finer granularity location | GMLC   | UE positions.   |  |
| UE ID                      | AMF    | List of SUPIs.  |  |
| RAT Type                   | SMF    | The RAT type the UE camps on.                           |  |

The Event Filters for the service data collection from SMF, AMF and AF are defined in TS 23.502 [3].

The timestamps are provided by each NF to allow correlation of QoS and traffic KPIs. The clock reference is able to know the accuracy of the time and correlate the time series of the data retrieved from each NF.

The NWDAF collects the following MDAF analysis result listed in Table 6.4.2-5, as defined in clauses 8.4.2.1.3 and 8.4.4.1.3 of TS 28.104 [45].

Table 6.4.2-5: Data collection from MDAS/MDAF of service experience and energy saving state analysis

| Information                  | Source | Description  |
|------------------------------|--------|--|
| ServiceExperienceIssueType   | MDAF   | Indication of the service experience issue type.  The allowed value is one of the enumerated values: RAN issue, CN issue, both.  |
| AffectedObjects              | MDAF   | The managed object instances where the service experience is applicable, e.g. SubNetwork Instance, NetworkSlice Instance, S-NSSAI.   |
| ServiceExperienceStatistics  | MDAF   | The statistics of the level of service experience for a service in a certain time period, e.g. there are five levels which are represented by 1, 2, 3, 4, 5 where level 1 represents the users are enduring bad experience while level 5 represents the users' requirements are perfectly satisfied. |
| ServiceExperiencePredictions | MDAF   | The predictions of the level of service experience for a service in a certain time period.   |
| StatisticsOfCellsEsState     | MDAF   | The statistic result of current energy saving state of the cells at a certain time.  |

## 6.4.3 Output Analytics

The NWDAF services as defined in the clause 7.2 and 7.3 are used to expose the analytics.

- Service Experience statistics information is defined in Table 6.4.3-1.
- Service Experience predictions information is defined in Table 6.4.3-2.

Table 6.4.3-1: Service Experience statistics

| Information  | Description  |  |  |
|--|--|--|--|
|  | Description  |  |  |
| Slice instance service experiences (0max)  | List of observed service experience information for each Network Slice instance.               |  |  |
| > S-NSSAI  | Identifies the Network Slice   |  |  |
| > NSI ID (NOTE 2)  | Identifies the Network Slice instance within the Network Slice.                                |  |  |
| > Network Slice instance   | Service experience across Applications on a Network Slice instance over the Analy              |  |  |
| service experience   | target period (average, variance).   |  |  |
| > SUPI list (0SUPImax)<br>(NOTE 3)   | List of SUPI(s) for which the slice instance service experience applies.                       |  |  |
| > Ratio (NOTE 3)   | Estimated percentage of UEs with similar service experience (in the group, or among all UEs).  |  |  |
| > Spatial validity (NOTE 6)  | Area where the Network Slice service experience analytics applies.                             |  |  |
| > Validity period  | Validity period for the Network Slice service experience analytics as defined in clause 6.1.3. |  |  |
| Application service experiences (0max)   | List of observed service experience information for each Application.                          |  |  |
| > S-NSSAI  | Identifies the Network Slice used to access the Application.                                   |  |  |
| > Application ID   | Identification of the Application.   |  |  |
| > Service Experience Type  | Type of Service Experience analytics, e.g. on voice, video, other.                             |  |  |
| > UE location (NOTE 1,   | Indicating the UE location information (e.g. TAI list, gNB ID, or location coordinates,        |  |  |
| NOTE 5)  | etc) when the UE service is delivered.   |  |  |
| > UPF Info (NOTE 4)  | Indicating UPF serving the UE.   |  |  |
| > DNAI   | Indicating which DNAI the UE service uses/camps on.  |  |  |
| > DNN  | DNN for the PDU Session which contains the QoS flow.   |  |  |
| > Application Server   | Identifies the Application Server Instance (IP address of the Application Server) or           |  |  |
| Instance Address   | FQDN of Application Server.  |  |  |
| > Service Experience   | Service Experience over the Analytics target period (average, variance).                       |  |  |
| > SUPI list (0SUPImax)<br>(NOTE 3)   | List of SUPI(s) with the same application service experience.                                  |  |  |
| > Ratio (NOTE 3)   | Estimated percentage of UEs with similar service experience (in the group, or among all UEs).  |  |  |
| > Spatial validity (NOTE 6)  | Area where the Application service experience analytics applies.                               |  |  |
| > Validity period  | Validity period for the Application service experience analytics as defined in clause 6.1.3.   |  |  |
| > RAT Type Indicating the list of RAT type(s) for which the application service experie applies.   |  |  |  |
| > Frequency  | Indicating the list of carrier frequency value(s) of UE's serving cell(s) where the            |  |  |
| (NOTE 7)   | application service experience analytics applies.  |  |  |
| > SSC Mode   | SSC Mode selected for the PDU Session used to associate with the application.                  |  |  |
| > PDU Session Type   | Type of PDU Session used to associate with the application.                                    |  |  |
| > Access Type  | List of Access Type(s) used for the PDU Session for the application.                           |  |  |
| NOTE 1: This information element is an Analytics subset that can be used in "list of analytics subsets that are requested" and "Preferred level of accuracy per analytics subset". |  |  |  |
| NOTE 2: The NSI ID is an optional parameter. If not provided the Slice instance service experience indicates the service experience for the S-NSSAI.                               |  |  |  |
| NOTE 3: The SUPI list and Ratio in the service experience information for an application can be omitted, if the  |  |  |  |
| corresponding parameter(s) is/are provided and are assigned with the same value(s) in the service  |  |  |  |
| experience information for the slice instance which the application belongs to. Otherwise, the SUPI list and   |  |  |  |
| Ratio are mandatory to be provided for an application service experience.  |  |  |  |
|  | NOTE 4: If the consumer NF is an AF, the "UPF info" shall not be included.                     |  |  |
| IOTE 5: When possible and applicable to the access type, UE location is provided according to the preferred  |  |  |  |
| granularity of location information. UE location shall only be included if the Consumer analytics request is   |  |  |  |

the RAT type and frequency indication), the NWDAF provides an instance of the Application service experience per combination of RAT Type(s) and/or Frequency value(s) having the same Service Experience.

NOTE 6: The Spatial validity is present in the output parameters if the consumer provided the Area of Interest as

NOTE 7: When "any" value has been provided in the request (e.g. "any" RAT type, "any" frequency, or "any" for all

for single UE or a list of UEs. Inclusion of UE location requires user consent.

defined in Table 6.4.1-1.

Experience.

Table 6.4.3-2: Service Experience predictions

| Information  | Description  |  |  |
|--|--|--|--|
| Slice instance service   | List of observed service experience information for each Network Slice instance.   |  |  |
| experiences (0max)   | -  |  |  |
| > S-NSSAI  | Identifies the Network Slice   |  |  |
| > NSI ID (NOTE 2)  | Identifies the Network Slice instance within the Network Slice.  |  |  |
| > Network Slice instance   | Service experience across Applications on a Network Slice instance over the Analytics  |  |  |
| service experience   | target period (average, variance).   |  |  |
| > SUPI list (0SUPImax)<br>(NOTE 3)   | List of SUPI(s) for which the slice instance service experience applies.   |  |  |
| > Ratio (NOTE 3)   | Estimated percentage of UEs with similar service experience (in the group, or among all UEs).  |  |  |
| > Spatial validity (NOTE 6)  | Area where the Network Slice service experience analytics applies.   |  |  |
| > Validity period  | Validity period for the Network Slice service experience analytics as defined in clause 6.1.3.   |  |  |
| > Confidence   | Confidence of this prediction.   |  |  |
| Application service experiences (0max)   | List of predicted service experience information for each Application.   |  |  |
| > S-NSSAI  | Identifies the Network Slice used to access the Application.   |  |  |
| > Application ID   | Identification of the Application.   |  |  |
| > Service Experience Type  | Type of Service Experience analytics, e.g. on voice, video, other.   |  |  |
| > UE location (NOTE 1,   | Indicating the UE location information (e.g. TAI list, gNB ID, or location coordinates,  |  |  |
| NOTE 5)  | etc.) when the UE service is delivered.  |  |  |
| > UPF Info (NOTE 4)  | Indicating UPF serving the UE.   |  |  |
| > DNAI   | Indicating which DNAI the UE service uses/camps on.  |  |  |
| > DNN  | DNN for the PDU Session which contains the QoS flow.   |  |  |
| > Application Server   | Identifies the Application Server Instance (IP address of the Application Server) or   |  |  |
| Instance Address   | FQDN of Application Server.  |  |  |
| > Service Experience   | Service Experience over the Analytics target period (average, variance).   |  |  |
| > SUPI list (0SUPImax)<br>(NOTE 3)   | List of SUPI(s) with the same application service experience.  |  |  |
| > Ratio (NOTE 3)   | Estimated percentage of UEs with similar service experience (in the group, or among all UEs).  |  |  |
| > Spatial validity (NOTE 6)  | Area where the Application service experience analytics applies.   |  |  |
| > Validity period Validity period for the Application service experience analytics as defined in clause 6.1.3.   |  |  |  |
| > Confidence   | Confidence of this prediction.   |  |  |
| > RAT Type<br>(NOTE 7)   | Indicating the list of RAT type(s) for which the application service experience analytics applies.   |  |  |
| > Frequency  | Indicating the list of carrier frequency value(s) of UE's serving cell(s) where the  |  |  |
| (NOTE 7)   | application service experience analytics applies.  |  |  |
| > SSC Mode   | SSC Mode selected for the PDU Session used to associate with the application.  |  |  |
| > PDU Session Type   | Type of PDU Session used to associate with the application.  |  |  |
| > Access Type List of Access Type(s) used for the PDU Session for the application.   |  |  |  |
| NOTE 1: This information ele   | ement is an Analytics subset that can be used in "list of analytics subsets that are   |  |  |
| requested" and "Preferred level of accuracy per analytics subset".   |  |  |  |
| NOTE 2: The NSI ID is an operation service experience  | otional parameter. If not provided the Slice instance service experience indicates the for the S-NSSAI.  |  |  |
| NOTE 3: The SUPI list and Ratio in the service experience information for an application can be omitted, if the  |  |  |  |
| corresponding parameter(s) is/are provided and are assigned with the same value(s) in the service experience information for the slice instance which the application belongs to. Otherwise, the SUPI list and Ratio are mandatory to be provided for an application service experience. |  |  |  |
|  |  |  |  |
| NOTE 5: When possible and  | IOTE 5: When possible and applicable to the access type, UE location is provided according to the preferred granularity of location information. UE location shall only be included if the Consumer analytics request is |  |  |
|  | for single UE or a list of UEs. Inclusion of UE location requires user consent.  |  |  |
|  | al validity is present in the output parameters if the consumer provided the Area of Interest as   |  |  |
| NOTE 7: When "any" value he RAT type and f   | OTE 7: When "any" value has been provided in the request (e.g. "any" RAT type, "any" frequency, or "any" for all the RAT type and frequency indication), the NWDAF provides an instance of the Application service       |  |  |

The number of Service Experiences and SUPIs are limited respectively by the maximum number of objects and the Maximum number of SUPIs provided as part of Analytics Reporting Information by the NWDAF Service Consumer.

experience per combination of RAT Type(s) and/or Frequency value(s) having the same Service

#### 6.4.4 Procedures to request Service Experience for an Application

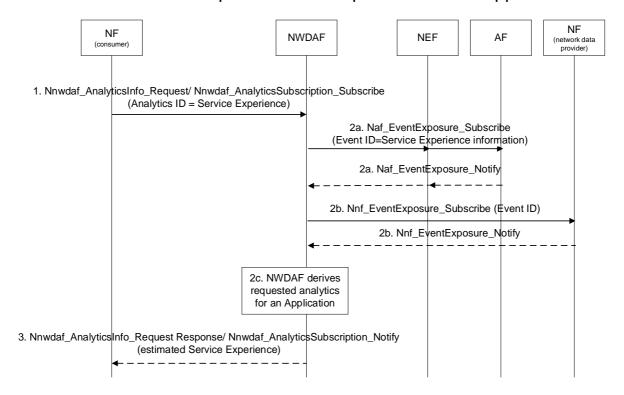


Figure 6.4.4-1: Procedure for NWDAF providing Service Experience for an Application

This procedure allows the consumer to request Analytics ID "Service Experience" for a particular Application. The consumer includes both the Application ID for which the Service Experience is requested and indicates that the Target of Analytics Reporting is "any UE". If the Target for Analytics Reporting is either a SUPI or an Internal-Group-Id the procedure in clause 6.4.6 applies. At the same time, for an Application ID, a set of initial QoS parameter combinations per service experience window (e.g. one is for 3<Service MOS<4 and another is for 4<Service MOS<5) is defined in PCF (e.g. by configuration of operator policies) that may be updated based on the Service Experience reported by NWDAF.

- Consumer NF sends an Analytics request/subscribe (Analytics ID = Service Experience, Target of Analytics Reporting = any UE, Analytics Filter Information that may include one or more of the following as defined in Table 6.4.1-1 (Application ID, S-NSSAI, DNN, Application Server Address(es), Area of Interest, RAT type(s), Frequency value(s)), Analytics Reporting Information=Analytics target period) to NWDAF by invoking a Nnwdaf\_AnalyticsInfo\_Request or a Nnwdaf\_AnalyticsSubscription\_Subscribe.
- 2a. NWDAF subscribes the service data from AF in the Table 6.4.2-1 by invoking Nnef\_EventExposure\_Subscribe or Naf\_EventExposure\_Subscribe service (Event ID = Service Experience information, Application ID, Event Filter information, Target of Event Reporting = Any UE) as defined in TS 23.502 [3].
- NOTE 1: In the case of trusted AF, NWDAF provides the Area of Interest as a list of TAIs to AF. In the case of untrusted AF, NEF translates the requested Area of Interest provided as event filter by NWDAF into geographic zone identifier(s) that act as event filter for AF.
- 2b. NWDAF subscribes the network data from 5GC NF(s) in the Table 6.4.2-2 by invoking Nnf\_EventExposure\_Subscribe service operation.
- 2c. With these data, the NWDAF estimates the Service experience for the application.
- NOTE 2: QoE measurements from the applications are based on outcome of the ongoing SA5 Rel-16 WID "Management of QoE measurement collection" which addresses how to collect the QoE measurements from the applications in the UE.
- 3. The NWDAF provides the data analytics, i.e. the observed Service Experience (which can be a range of values) to the consumer NF by means of either Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1, indicating how well the used

QoS Parameters satisfy the Service MoS agreed between the MNO and the end user or between the MNO and the external ASP.

- NOTE 3: The call flow only shows a request-response model for the interaction of NWDAF and consumer NF for simplicity instead of both request-response model and subscription-notification model.
- NOTE 4: The non-real time data information from AF includes the service experience data (see Table 6.4.2-1), which indicates the service quality during the service lifetime.

If the consumer NF is a PCF and it determines that the application SLA is not satisfied, it may take into account the Observed Service Experience and the operator policies including SLA and required Service Experience (which can be a range of values) to determine new QoS parameters to be applied for the service, as defined in clause 6.1.1.3 and clause 6.2.1.2 of TS 23.503 [4].

If the consumer NF is an AF (e.g. MEC or other Application Server), it may use the Observed Service Experience related network data analytics to determine whether the user experience can be satisfied. If not, the AF may determine to adjust service parameters, e.g. for a video service this may be bit rate, frame rate, codec format, compression parameter, screen size, etc. to better match the network conditions and achieve better user experience.

If the consumer NF is SMF, PCF or AF/Application Server, it may take into account the Observed Service Experience analytics per UP path (i.e. UPF and/or DNAI and/or AS instance address as defined in Table 6.4.3-1) to perform the following procedures:

- The consumer SMF determines to (re)selects UP paths, including UPF and DNAI, as described in clause 4.3.5 of TS 23.502 [3]. In addition, the SMF may (re)configure traffic steering, updating the UPF regarding the target DNAI with new traffic steering rules.
- The consumer AF/Application Server determines to adjust service parameters, e.g. service parameters of video for adjustment may be bit rate, frame rate, codec format, compression parameter, screen size, etc. or service parameters for the AI/ML operations described in clause 6.40 of TS 22.261 [33]. In addition, the AF/Application Server may provide an updated list of DNAI(s) for SMF to perform relocation when appropriate.
- The consumer PCF may provide an updated list of DNAI(s) for SMF to perform relocation upon AF request.

If the consumer NF is a NEF, it may take into account the Observed Service Experience analytics to support Member UE selection as detailed in clause 4.15.13 of TS 23.502 [3].

## 6.4.5 Procedures to request Service Experience for a Network Slice

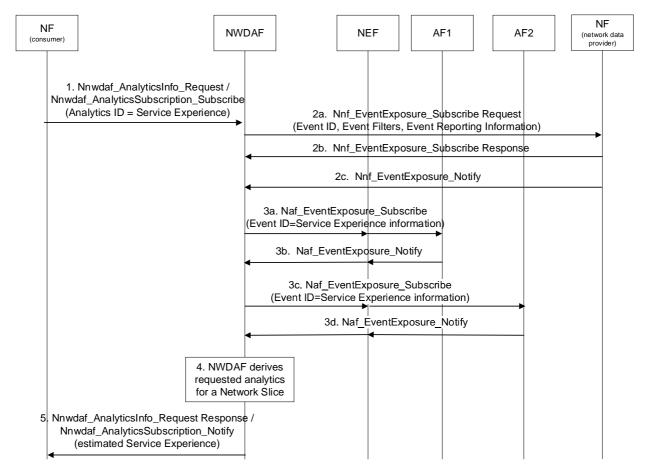


Figure 6.4.5-1: Procedure for NWDAF providing Service Experience for a UE or a group of UEs in a Network Slice

This procedure is similar to the procedure in clause 6.4.4, with the following differences. The consumer needs to request the Analytics ID "Service Experience" for a Target for Analytics Reporting as defined in clause 6.1.3 on a Network Slice, identified by an S-NSSAI. If multiple Network Slice instances of the same Network Slice are deployed, associated NSI ID(s) may be used in addition to S-NSSAI. If 'any UE' is the Target of Analytics Reporting, NWDAF may subscribe to UE mobility event notifications of AMF as described in clause 5.3.4.4 of TS 23.501 [2] using event ID "UE moving in or out of Area of Interest" and Event Filters as described in Table 5.2.2.3.1-1 of TS 23.502 [3] if it is needed to retrieve the list of SUPIs (and GPSIs if available) in the area of interest. The event exposure service request may also include the immediate reporting flag as Event Reporting Information as described in Table 4.15.1-1 of TS 23.502 [3].

In addition, service experience data may need to be collected from multiple Applications. If each Application is hosted in different AFs, NWDAF subscribes the service data in the Table 6.4.2-1 from the different AFs by invoking Nnef\_EventExposure\_Subscribe services for each Application (Event ID = Service Experience information, Event Filter information, Application ID) as defined in TS 23.502 [3]. Figure 6.4.5-1 shows an example procedure with two AFs. If one AF provides the service experience data of multiple Applications, the set of Application IDs is provided by NWDAF to the AF with the Naf\_EventExposure\_Subscribe service operation, as defined TS 23.502 [3].

The Observed Service Experience for a Network Slice when consumed by OAM could be used as described in Annex H of TS 28.550 [7].

## 6.4.6 Procedures to request Service Experience for a UE

Figure 6.4.6-1 depicts procedure for NWDAF providing Service Experience for an application for a UE or a group of UEs.

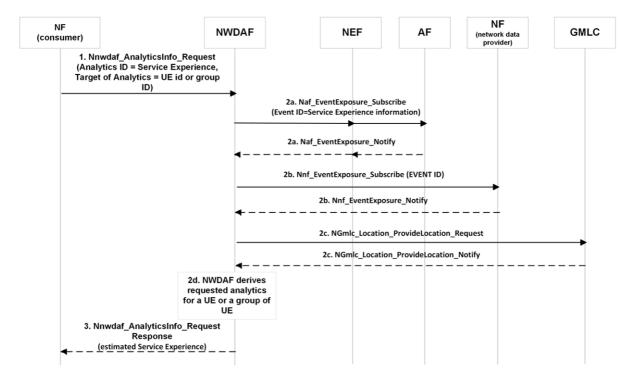


Figure 6.4.6-1: Procedure for NWDAF providing Service Experience for an application for a UE or a group of UEs

The procedure in clause 6.4.4 applies with the following additions. The consumer needs to request the Analytics ID "Service Experience" for a UE identified by a SUPI or a group of UEs identified by a list of Internal Group-Ids. The consumer includes both the Application ID for which their Service Experience is requested and the Target of Analytics Reporting. Analytic Filter Information can be set according to clause 6.4.1. The NWDAF may collect UE location information from the GLMC if the consumer requested fine granularity location information according to clause 6.4.2.1. When NEF is the NF service consumer, the NEF translates a GPSI into a SUPI or an External-Group-Id into an Internal-Group-Id then includes it in the Target of Analytics Reporting.

## 6.5 NF load analytics

#### 6.5.1 General

The clause 6.5 describes how NWDAF can provide NF load analytics, in the form of statistics or predictions or both, to another NF.

The service consumer may be an NF, or the OAM.

The consumer of these analytics shall indicate in the request:

- Analytics ID = "NF load information";
- Target of Analytics Reporting: an optional SUPI or any UE;
- Analytics Filter Information:
  - optional S-NSSAI;
  - an optional list of NF Instance IDs, NF Set IDs, or NF types;
  - optional area of interest;
  - an optional list of analytics subsets that are requested (see clause 6.5.3);
- Optional preferred level of accuracy of the analytics;

- Optional preferred level of accuracy per analytics subset (see clause 6.5.3);
- Optional preferred order of results for the list of resource status: ascending or descending NF load;
- Optional Reporting Threshold; the Reporting Threshold is unique for all NFs matching the above Analytics Filter and the reporting applies when the conditions are met for at least one of these NFs;
- An Analytics target period indicates the time period over which the statistics or predictions are requested;
- In a subscription, the Notification Correlation Id and the Notification Target Address are included.

The NWDAF shall notify the result of the analytics to the consumer as indicated in clause 6.5.3.

If a list of the NF Instance IDs (or respectively of NF Set IDs) is provided, the NWDAF shall provide the analytics for each designated NF instance (or respectively for each NF instance belonging to each designated NF Set). In such case the Target of Analytics Reporting should be ignored.

Otherwise, if a SUPI is provided, the NWDAF shall use the SUPI to determine which NF instances (AMF and SMF) are serving this specific UE, filter them according to the provided S-NSSAI and NF types using data collected from NRF or OAM and provide analytics for these NF instances.

NOTE: Only NF instances of type AMF and SMF can be determined using a SUPI.

## 6.5.2 Input data

For the purpose of NF load analytics, the NWDAF may collect the information as listed in Table 6.5.2-1 for the relevant NF instance(s).

Information Source Description NF load **NRF** The load of specific NF instance(s) in their NF profile as defined per TS 29.510 [18] NF status NRF The status of a specific NF instance(s) (registered, suspended, undiscoverable) as defined per TS 29.510 [18]. NF resource usage OAM The usage of assigned virtual resources currently in use for specific NF instance(s) (mean usage of virtual CPU, memory, disk) as defined in clause 5.7 of TS 28.552 [8]. NF resource configuration OAM The life cycle changes of specific NF resources (e.g. NF operational or interrupted during virtual/physical resources reconfiguration) as defined in clause 5.2 of TS 28.533 [19].

Table 6.5.2-1: Data collected by NWDAF for NF load analytics

- NOTE 1: The OAM information can be used as a complement to NRF information for some or all of the following aspects: resources utilization, NRF information correlation and alternative source of information if NRF information on load is not available.
- NOTE 2: NWDAF can request NRF for data related to NF instances, as described in TS 29.510 [18].
- NOTE 3: NWDAF can correlate the NF resources configuration with NF resource usage for generating the analytics output.

If target NF type is UPF, the NWDAF may collect the information as listed in Table 6.5.2-2, in addition to information listed in Table 6.5.2-1.

Table 6.5.2-2: Data collected by NWDAF for UPF load analytics

| Information          | Source | Description   |
|----------------------|--------|---|
| Traffic usage report | UPF    | Report of user plane traffic in the UPF for the accumulated usage of network resources (see TS 29.244 [17]) |

For the purpose of NF load analytics, the NWDAF may collect the information as listed in Table 6.5.2-3 (from OAM via MDT) and Table 6.5.2-5 via the AF (for trusted AF) or NEF (for untrusted AF) in addition to other information described above.

Table 6.5.2-3: MDT input data for UE

| Information  | Source       | Description                          |  |
|--|--------------|--------------------------------------|--|
| UE Speed   | OAM          | UE Speed (see TS 37.320 [20]).       |  |
|  | (see NOTE 1) |                                      |  |
| UE Orientation   | OAM          | UE Orientation (see TS 37.320 [20]). |  |
|  | (see NOTE 1) |                                      |  |
| NOTE 1: UE input data collection for a specific UE from OAM (via MDT), is as captured in |              |                                      |  |
| clause 6.2.3.1.  |              |                                      |  |

Table 6.5.2-4: Per UE attribute to be collected and processed by the AF

| Information  | Source         | Description                          |
|--|----------------|--------------------------------------|
| Per UE attribute   | UE Application | UE application data to be collected  |
|  | (see NOTE 1)   | from UE.                             |
| > Destination  |                | Expected final location of UE based  |
|  |                | on the route planned.                |
| > Route  |                | Planned path of movement by a UE     |
|  |                | application (e.g. a navigation app). |
|  |                | The format is based on the SLA.      |
| > Average Speed  |                | Expected speed over the route        |
|  |                | planned by a UE application.         |
| > Time of arrival  |                | Expected Time of arrival to          |
|  |                | destination based on the route       |
|  |                | planned.                             |
| NOTE 1: The procedure for data collection from UE Application is as covered in |                |                                      |
| dauga 6 2 9  |                |                                      |

Table 6.5.2-5: AF input data to the NWDAF for Collective Behaviour of UEs

| I          | nformation  | Source   | Description                            |  |
|------------|---|--|--|--|
| Collective | Attribute   | AF / NEF   | Characterise collective attribute per  |  |
|            |   | (see NOTE 1,   | set of UEs (see Table 6.5.2-4) within  |  |
|            |   | NOTE 2)  | the area of interest.                  |  |
| > Numb     | er of UEs   |  | Total number of UEs that fulfil a      |  |
|            |   |  | collective behaviour within the area   |  |
|            |   |  | of interest.                           |  |
| > Times    | tamp  |  | A time stamp of time that the          |  |
|            |   |  | collective attribute derived.          |  |
| > Applic   | ation ID(s)   | (see NOTE 3)   | Identifying the application providing  |  |
|            |   |  | this information                       |  |
| > List of  | UE IDs  | (see NOTE 4)   | UE IDs that fulfil a collective        |  |
|            |   |  | behaviour within the area of interest. |  |
| NOTE 1:    | For collective behavi   | iour attribute, data pro   | cessing procedure is as defined in     |  |
|            | clause 6.2.8.   |  |  |  |
| NOTE 2:    |   | Per collective attribute, the AF may provide several collective attribute sets, if |  |  |
|            |   | several sets of UEs with similar behaviour are identified. A similar behaviour can |  |  |
|            |   | be identified to specific ranges if the AF performs data processing (Data          |  |  |
|            | Anonymisation, Aggregation or Normalization) based on NWDAF request. UEs        |  |  |  |
|            | falling in the same range per UE attribute can form a collective attribute set. |  |  |  |
| NOTE 3:    |   |  |  |  |
|            | . ,   |  | ion ID(s) can be identified by NWDAF   |  |
|            |   | nt event ID as register  | red in NRF as covered in               |  |
|            | clause 6.2.8.2.2.   |  |  |  |
| NOTE 4:    | -   | -  | upport by the AF when processing the   |  |
|            | data based on NWD   | AF request.  |  |  |

Based on network configuration, NWDAF may discover the AF from the NRF as defined in 6.2.8.2.2 (based on Collective Behaviour as Event ID or a corresponding Application ID).

For AF in trusted domain, the NWDAF invokes step 3a in clause 6.2.8.2.3 by using Naf\_EventExposure\_Subscribe service (Event ID = Collective Behaviour, Event Filter information, Target of Event Reporting). The collective attribute (see Table 6.5.2-5) can be indicated as part of event filter information as defined in TS 23.502 [3]. Otherwise, the AF notifies for all collective attributes within the area of interest.

For AF in untrusted domain, the NWDAF invokes step 3b in clause 6.2.8.2.3 by using Nnef\_EventExposure\_Subscribe (Event ID = Collective Behaviour, Event Filter information, Target of Event Reporting). The collective attribute (see Table 6.5.2-5) can be indicated as part of event filter information as defined in TS 23.502 [3]. Otherwise, the AF via NEF notifies for all collective attributes within the area of interest.

For Collective Behaviour of multiple UEs, NWDAF based on the configuration by MNO may request certain type of data processing from the AF as part of event filter information (e.g. for anonymisation, normalisation, aggregation). The data processing requested by NWDAF is used to anonymise, normalise or aggregate the same UE attribute from multiple UEs at the AF before notifying to the NWDAF.

For each UE attribute of a specific UE, whether and how AF is processing the data that is received from the UE depends on the SLA configured in AF (defined in clause 6.2.8.1) and is not known by the NWDAF.

To determine NF load (per area of interest), NWDAF may collect and take into account UE trajectory input data from the AF, defined in clause 6.7.2.2, Table 6.7.2.2-2 for UE mobility analytics in addition to MDT input data and /or collective behaviour input data, defined in clause 6.5.2, Table 6.5.2-3 and Table 6.5.2-5, respectively.

## 6.5.3 Output analytics

The NWDAF services as defined in the clause 7.2 and 7.3 are used to expose the analytics. NF load statistics information are defined in Table 6.5.3-1. NF load predictions information are defined in Table 6.5.3-2.

Table 6.5.3-1: NF load statistics

| Information   | Description   |  |
|---|---|--|
| List of resource status (1max)  | List of observed load information for each NF instance along with the corresponding NF id / NF Set ID (as applicable).  |  |
| > NF type   | Type of the NF instance.  |  |
| > NF instance ID  | Identification of the NF instance.  |  |
| > NF status (NOTE 1)  | The availability status of the NF on the Analytics target period, expressed as a percentage of time per status value (registered, suspended, undiscoverable). |  |
| > NF resource usage (NOTE 1)  | The average usage of assigned resources (CPU, memory, disk).  |  |
| > NF load (NOTE 1)  | The average load of the NF instance over the Analytics target period.   |  |
| > NF peak load (NOTE 1)   | The maximum load of the NF instance over the Analytics target period.   |  |
| > NF load (per area of interest) (NOTE 1, NOTE 2)   | The average load of the NF instances over the area of interest.   |  |
| NOTE 1: Analytics subset that can be used in "list of analytics subsets that are requested" and "Preferred level of accuracy per analytics subset". |   |  |
| NOTE 2: Applicable only to AMF load based on Input data in clause 6.5.2, Table 6.5.2-3 and Table 6.5.2-5.   |   |  |

#### Table 6.5.3-2: NF load predictions

| Information   | Description   |  |
|---|---|--|
| List of resource status (1max)  | List of predicted load information for each NF instance along with the      |  |
|   | corresponding NF id / NF Set ID (as applicable)                             |  |
| > NF type   | Type of the NF instance   |  |
| > NF instance ID  | Identification of the NF instance   |  |
|   | The availability status of the NF on the Analytics target period, expressed |  |
| > NF status (NOTE 1)  | as a percentage of time per status value (registered, suspended,            |  |
|   | undiscoverable)   |  |
| > NF resource usage (NOTE 1)  | The average usage of assigned resources (CPU, memory, disk)                 |  |
| > NF load (NOTE 1)  | The average load of the NF instance over the Analytics target period        |  |
| > NF peak load (NOTE 1)   | The maximum load of the NF instance over the Analytics target period        |  |
| > Confidence  | Confidence of this prediction   |  |
| > NF load (per area of interest)  | The predicted average load of the NF instances over the area of interest.   |  |
| (NOTE 1, NOTE 2)  |   |  |
| NOTE 1: Analytics subset that can be used in "list of analytics subsets that are requested" and "Preferred level of |   |  |
| accuracy per analytics subset".   |   |  |
| NOTE 2: Applicable only to AMF load based on Input data in clause 6.5.2, Table 6.5.2-3 and Table 6.5.2-5.           |   |  |

NOTE: The variations on per-instance NF load and resource usage could be influenced by the number of running NF instances in addition to the load itself.

The predictions are provided with a Validity Period, as defined in clause 6.1.3.

The number of resource status is limited by the maximum number of objects provided as part of Analytics Reporting Information.

#### 6.5.4 **Procedures**

The procedure depicted in Figure 6.5.4-1 allows a consumer NF to request analytics to NWDAF for NF load of various NF instances as defined in 6.5.1.

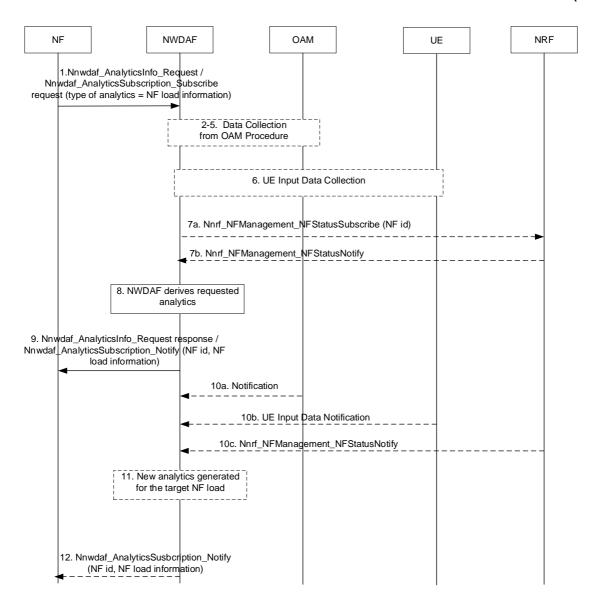


Figure 6.5.4-1: NF load analytics provided by NWDAF

- 1. The NF sends a request to the NWDAF for analytics for NF load for a specific NF, using either the Nnwdaf\_AnalyticsInfo or Nnwdaf\_AnalyticsSubscription service. The Analytics ID is set to NF load information, the Target of Analytics Reporting and the Analytics Filter Information are set according to clause 6.5.1. The NF can request statistics or predictions or both and can provide a time window.
- 2-5. If the request is authorized and in order to provide the requested analytics, the NWDAF may need for each NF targeted instance to subscribe to OAM services to retrieve the target NF resource usage and NF resources configuration following steps captured in clause 6.2.3.2 for data collection from OAM. The NWDAF may collect MDT input data per individual UE from OAM (see Table 6.5.2-3). Steps 2-5 may be skipped when e.g. the NWDAF already has the requested analytics.
- 6. For Collective Behaviour attributes, if the request is authorized and in order to provide the requested analytics, NWDAF may follow the UE Input Data Collection Procedure via the AF as defined in clause 6.2.8 (see Table 6.5.2-4 and Table 6.5.2-5).

The NWDAF subscribes to the AF services as above invoking either Nnef\_EventExposure\_Subscribe or Naf\_EventExposure\_Subscribe service (Event ID = Collective Behaviour, Event Filter information, Target of Event Reporting) as defined in TS 23.502 [3]. The area of interest is set as part of Event Filter information to specific TAs or AMF region. The UE data is collected from UEs within the area of interest.

In the case of trusted AF, the NWDAF provides the Area of Interest as a list of TAIs to the AF. In the case of untrusted AF, NEF translates the requested Area of Interest provided as event filter by the NWDAF into geographic zone identifier(s) that act as event filter for the AF.

For collective attributes as defined in Table 6.5.2-5, the AF processes (e.g. anonymize, aggregate and normalize) the data from individual UEs per UE attribute (see Table 6.5.2-4) based on Event Filters indicated by the NWDAF to determine which ones display a collective behaviour within the area of interest before notifying a collective attribute directly (trusted AF) or via NEF (for untrusted AF) to the NWDAF. The AF will provide (per collective attribute) e.g. the number of UEs that fulfil the collective attribute (within an area of interest).

- NOTE 1: The call flow only shows a subscription/notification model for the simplicity, however both request-response and subscription-notification models should be supported.
- NOTE 2: If the target NF type is UPF, the NWDAF can collect the information as listed in Table 6.5.2-2. How the NWDAF collects information is defined in clause 5.8.2.17 of TS 23.501 [2] and in clause 4.15.4 of TS 23.502 [3].
- 7a. The NWDAF subscribes to changes on the load and status of NF instances registered in NRF and identified by their NF id from NRF using Nnrf\_NFManagement\_NFStatusSubscribe service operation for each NF instance.
- 7b. NRF notifies NWDAF of changes on the load and status of the requested NF instances by using Nnrf NFManagement NFStatusNotify service operation.
- 8. The NWDAF derives requested analytics.
- 9. The NWDAF provides requested NF load analytics to the NF along with the corresponding Validity Period (only for predictions) or area of interest, using either the Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1.
- 10-12. If at step 1 the NF has subscribed to receive continuous reporting of NF load analytics, the NWDAF may generate new analytics and, when relevant according to the Analytics target period and Reporting Threshold, provide them along with the corresponding Validity Period (only for predictions) to the NF upon reception of notification of new NF load information from OAM or NRF or UE Input data notification via MDT or the AF (see Table 6.5.2-3 and Table 6.5.2-5).
- NOTE 3: If the target NF type at step 1 is UPF, the NWDAF can generate new analytics when receiving new information as listed in Table 6.5.2-2.

## 6.6 Network Performance Analytics

#### 6.6.1 General

With Network Performance Analytics, NWDAF provides either statistics or predictions on the gNB status information, gNB resource usage, communication performance and mobility performance in an Area of Interest. In addition, NWDAF can provide statistics or predictions on the number of UEs located in that Area of Interest.

The service consumer may be an NF (e.g. PCF, NEF, AF), or the OAM.

The consumer of these analytics may indicate in the request:

- Analytics ID = "Network Performance";
- Target of Analytics Reporting as defined in clause 6.1.3;
- Analytics Filter Information:
  - Area of Interest (list of TAs or Cell IDs) which restricts the area in focus (mandatory if Target of Analytics Reporting is set to "any UE", optional otherwise);
  - Optionally, Traffic type of interest (overall traffic, GBR traffic or Delay-critical GBR traffic);

NOTE: If Traffic type of interest is not provided, overall traffic is considered.

- Optionally, a list of analytics subsets that are requested among those specified in clause 6.6.3;

- Optionally, a preferred level of accuracy of the analytics;
- Optionally, preferred level of accuracy per analytics subset (see clause 6.6.3);
- Optionally, preferred order of results for the list of Network Performance information:
  - ordering criterion: "number of UEs", "communication performance" or "mobility performance";
  - order: ascending or descending;
- Optionally, Reporting Thresholds, which apply only for subscriptions and indicate conditions on the level to be reached for respective analytics information (see clause 6.6.3) in order to be notified by the NWDAF;
- An Analytics target period indicates the time period over which the statistics or prediction are requested; and
- Optionally, maximum number of objects.
- In a subscription, the Notification Correlation Id and the Notification Target Address are included.
- Optionally, Spatial granularity size (if an Area of Interest is provided) and Temporal granularity size.

The NWDAF notifies the result of the analytics to the consumer as indicated in clause 6.6.3.

### 6.6.2 Input Data

The NWDAF collects Load and Performance information in an Area of Interest from the sources listed in Table 6.6.2-1 and number of UEs within Area of Interest from the sources listed in Table 6.6.2-2.

Table 6.6.2-1: Load and Performance information collected by NWDAF

| Load information                         | Source | Description  |
|--|--------|--|
| Status, load and performance information | OAM    | Statistics on RAN status (up/down), load (i.e. Radio Resource Utilization) and performance per Cell Id for the traffic type of interest and in the Area of Interest as defined in TS 28.552 [8]. |
| NF Load information                      | NRF    | Load per NF  |

Table 6.6.2-2: Number of UEs in Area of Interest information collected by NWDAF

| Number of UEs information | Source | Description                          |
|---------------------------|--------|--------------------------------------|
| Number of UEs             | AMF    | Number of UEs in an Area of Interest |

The NWDAF shall be able to collect UE mobility information as stated in clause 6.7.2.2.

## 6.6.3 Output Analytics

The NWDAF shall be able to provide both statistics and predictions on Network Performance.

Network performance statistics are defined in Table 6.6.3-1.

Table 6.6.3-1: Network performance statistics

| Information  | Description  |  |
|--|--|--|
| List of network performance information (1max)   | Observed statistics during the Analytics target period.  |  |
| > Area subset  | List of TAs or Cell IDs within the requested area of interest as defined in clause 6.6.1. If a Spatial granularity size was provided in the request or subscription, the number of elements of the list is   |  |
|  | smaller than or equal to the Spatial granularity size.   |  |
| > Analytics target period subset   | Time window within the requested Analytics target period as defined in clause 6.6.1. If a Temporal granularity size was provided in the request or subscription, the duration of the Analytics target period subset is greater than or equal to the Temporal granularity size. |  |
| > gNB status information (NOTE 1)  | Average ratio of gNBs that have been up and running during the entire Analytics target period in the area subset.  |  |
| > gNB resource usage (NOTE 1) (NOTE 2)   | Usage of assigned resources (average, peak).   |  |
| > gNB resource usage for GBR traffic<br>(NOTE 1) (NOTE 2) (NOTE 3)   | Usage of assigned resources for GBR traffic (average, peak).   |  |
| > gNB resource usage for Delay-critical GBR traffic (NOTE 1) (NOTE 2) (NOTE 3)   | Usage of assigned resources for Delay-critical GBR traffic (average, peak).  |  |
| > Number of UEs (NOTE 1)   | Average number of UEs observed in the area subset.   |  |
| > Communication performance (NOTE 1)   | Average ratio of successful setup of PDU Sessions.   |  |
| > Mobility performance (NOTE 1)  | Average ratio of successful handover.  |  |
| NOTE 1: Analytics subset that can be used in 'accuracy per analytics subset".  | list of analytics subsets that are requested" and "Preferred level of  |  |
| NOTE 2: The average and peak usage of uplink and downlink traffic are provided as percentage.  NOTE 3 The resource usage (average, peak) for GBR and Delay-critical GBR traffic types can be computed using the sub-counters of their corresponding 5QI measurements, as defined in clause 5.1.1.2 of TS 28.552 [8]. |  |  |

Network performance predictions are defined in Table 6.6.3-2.

Table 6.6.3-2: Network performance predictions

| Information  | Description  |
|--|--|
| List of network performance information (1max)                                 | Predicted analytics during the Analytics target period   |
| > Area subset  | List of TAs or Cell IDs within the requested area of interest as defined in clause 6.6.1. If a Spatial granularity size was provided in the request or subscription, the number of elements of the list is smaller than or equal to the Spatial granularity size.              |
| > Analytics target period subset   | Time window within the requested Analytics target period as defined in clause 6.6.1. If a Temporal granularity size was provided in the request or subscription, the duration of the Analytics target period subset is greater than or equal to the Temporal granularity size. |
| > gNB status information (NOTE 1)  | Average ratio of gNBs that will be up and running during the entire Analytics target period in the area subset.  |
| > gNB resource usage (NOTE 1) (NOTE 2)   | Usage of assigned resources (average, peak)  |
| > gNB resource usage for GBR traffic<br>(NOTE 1) (NOTE 2) (NOTE 3)             | Usage of assigned resources for GBR traffic (average, peak).   |
| > gNB resource usage for Delay-critical GBR traffic (NOTE 1) (NOTE 2) (NOTE 3) | Usage of assigned resources for Delay-critical GBR traffic (average, peak).  |
| > Number of UEs (NOTE 1)   | Average number of UEs predicted in the area subset.  |
| > Communication performance (NOTE 1)   | Average ratio of successful setup of PDU Sessions.   |
| > Mobility performance (NOTE 1)  | Average ratio of successful handover.  |
| > Confidence   | Confidence of this prediction.   |
| NOTE 1: Analytics subset that can be used in accuracy per analytics subset".   | "list of analytics subsets that are requested" and "Preferred level of   |
| NOTE 2: The average and peak usage of upli                                     | nk and downlink traffic are provided as percentage.  |
|  | ) for GBR and Delay-critical GBR traffic types can be computed using ling 5QI measurements, as defined in clauses 5.1.1.2 of TS 28.552 [8].  |

NOTE 1: The predictions are provided with a Validity Period, as defined in clause 6.1.3.

NOTE 2: The analytics on number of UEs are related to the information retrieved from the AMFs.

The number of network performance information entries is limited by the maximum number of objects provided as part of Analytics Reporting Information.

The NWDAF provides Network Performance Analytics to a consumer at the time requested by the consumer in the Analytics target period:

- Analytics ID set to "Network Performance".
- Notification Target Address including the address of the consumer.
- Notification Correlation ID, for the consumer to correlate notifications from NWDAF if subscription applies.
- Analytics specific parameters at the time indicated in the Analytics target period.

#### 6.6.4 Procedures

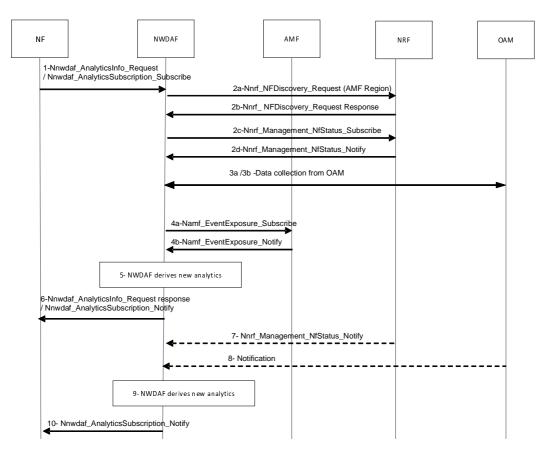


Figure 6.6.4-1: Procedure for subscription to network performance analytics

- 1. The NF sends Nnwdaf\_AnalyticsSubscription\_Subscribe or Nnwdaf\_AnalyticsInfo\_Request (Analytics ID="Network Performance", Target of Analytics Reporting, Analytics Filter Information = "Area of Interest", Analytics Reporting Information = ("Reporting Thresholds" and Analytics target Period(s))) to the NWDAF.
- 2a-2d. The NWDAF discovers from NRF the AMF(s) belonging to the AMF Region(s) that include(s) the Area of Interest and subscribes to NF load and status information from NRF about these AMF(s).
- 3a-3b. The NWDAF subscribes to OAM services to get the status and load information and the resource usage on the Area of Interest in clause 6.6.2, following the procedure captured in Clause 6.2.3.2.
- 4a-4b. The NWDAF collects the number of UEs located in the Area of Interest from AMF using Namf\_EventExposure\_Subscribe service, including the Target of Event Reporting provided as an input parameter (i.e. any UE or Internal Group Identifier).
- 5. The NWDAF derives the requested analytics.

- 6. The NWDAF sends Nnwdaf\_AnalyticsSubscription\_Notify or Nnwdaf\_AnalyticsInfo\_Request response (Network Performance analytics, Subscription Correlation Id, Confidence).
- 7-8. A change of network performance information, i.e. change in the gNB status information, gNB resource usage, communication performance and mobility performance in the area of interest at the observed period, is detected by OAM, or a change in the NF load information is reported by NRF and is notified to NWDAF.
- 9. The NWDAF derives new analytics taking into account the most recent data collected.
- 10. When relevant according to the Analytics target period and Reporting Thresholds, the NWDAF provides a notification using Nnwdaf\_AnalyticsSubscription\_Notify (Network Performance analytics, Subscription Correlation Id, Confidence).

## 6.7 UE related analytics

#### 6.7.1 General

This clause specifies the UE related analytics which can be provided by NWDAF:

- UE mobility analytics;
- UE communication analytics;
- Expected UE behavioural parameters related network data analytics; and
- Abnormal behaviour related network data analytics.

The NWDAF service consumer may request for these analytics separately, or in a combined way. As an example, an NWDAF service consumer may learn from the NWDAF the expected UE behaviour parameters as defined in clause 4.15.6.3 of TS 23.502 [3], by requesting analytics for both UE mobility (see clause 6.7.2) and for UE communication (see clause 6.7.3).

Depending on local regulations, the NWDAF retrieves user consent for the UE with UDM prior to data collection as defined in clause 6.2.2.2 or clause 6.2.2.3. If user consent to collect data is not granted by the UE, the NWDAF rejects/cancels any analytics subscriptions to any of the UE related analytics with target for analytics set to the SUPI or GPSI of that UE. If the target for analytics is either an Internal or External Group Id or a list of SUPIs or "any UE", the NWDAF skips those SUPIs that do not grant user consent for the purpose of analytics or model training.

NOTE: Possible uses of such analytics is for the AMF to learn about expected UE behaviour to derive appropriate MICO mode configuration, or for an AF to learn about expected UE behaviour to further provision 5GC with appropriate UE parameters.

## 6.7.2 UE mobility analytics

#### 6.7.2.1 General

NWDAF supporting UE mobility statistics or predictions shall be able to collect UE mobility related information from NF, OAM and to perform data analytics to provide UE mobility statistics or predictions.

The service consumer may be a NF (e.g. AMF, SMF or AF).

The consumer of these analytics may indicate in the request:

- Analytics ID = "UE Mobility".
- Target of Analytics Reporting: a single UE (SUPI) or group of UEs (i.e. a list of Internal Group Ids);
- Analytics Filter Information optionally containing:
  - Area of Interest (AOI): restricts the scope of the UE mobility analytics to the provided area. If the request is for fine granularity location information (i.e. with a finer granularity than cell), the AOI may be described as shown in clause 5.5 of TS 23.273 [39];

NOTE 1: For LADN service, the consumer (e.g. SMF) provides the LADN DNN to refer the LADN service area as the AOI.

- Visited Area(s) of Interest (visited AOI(s)): additional filter to only consider UEs that are currently (i.e. now) in the "AOI" and had previously (i.e. in the "Analytics target period") been in at least one of the Visited AOI(s). If this parameter is provided, the Analytics target period shall be in the past (i.e. supported for statistics only);
- Linear distance threshold: An event where the UE moves by more than some predefined straight line distance from a previous location as per TS 23.273 [39]. The consumer can provide more than one value of Linear distance threshold.
- an optional list of analytics subsets that are requested (see clause 6.7.2.3);
- An Analytics target period indicates the time period over which the statistics or predictions are requested;
- NOTE 2: For regular analytics scenarios, the Analytics target period is associated with the Analytics Filter Information = AOI, while for the scenario that Analytics ID=UE Mobility and Analytics Filter Information = (AOI and visited AOI(s)), as described in this clause, the Analytics target period is associated with the visited AOI(s) and to obtain the statistics for those UEs that currently reside in the AOI and had previously (i.e. in the "Analytics target period") been in at least one of the Visited AOI(s).
- Optionally, maximum number of objects;
- Preferred level of accuracy of the analytics;
- Optionally, Preferred level of accuracy per analytics subset (see clause 6.7.2.3);
- Preferred order of results for the time slot entries: ascending or descending time slot start;
- Optionally, preferred granularity of location information: TA level or cell level "longitude and latitude level";

NOTE 3: Definition of "longitude and latitude level" is described in clause 6.1.3.

- Optionally, Preferred orientation of location information: ("horizontal", "vertical", "both");
- Optionally, Spatial granularity size and Temporal granularity size;
- UE Location order indicator: indicates the NWDAF should derives and provides the UE Mobility analytics for UE Location in time order; and
- In a subscription, the Notification Correlation Id and the Notification Target Address are included.

#### 6.7.2.2 Input Data

The NWDAF supporting data analytics on UE mobility shall be able to collect UE mobility information from OAM, 5GC and AFs. The detailed information collected by the NWDAF could be MDT data from OAM, network data from 5GC and/or service data from AFs:

- UE mobility information from OAM is UE location carried in MDT data;
- Network data related to UE mobility from 5GC is UE location information, UE location trends or UE access behaviour trends, as defined in the Table 6.7.2.2-1;

Table 6.7.2.2-1: UE Mobility information collected from 5GC

| Information   | Source   | Description   |
|---|----------|---|
| UE ID   | AMF      | SUPI.   |
| UE locations (1max)   | AMF      | UE positions.   |
| >UE location  |          | TA or cells that the UE enters (NOTE 1).  |
| >Timestamp  |          | A time stamp when the AMF detects the UE enters this  |
| ·   |          | location.   |
| Fine granularity location (1max)  | LCS      | UE positions.   |
|   | (NOTE 2) |   |
| >UE location  |          | GAD shape or location coordinates (see TS 23.032 [34]).   |
| >Timestamp  |          | A time stamp when the location was measured.  |
| >LCS QoS  |          | LCS QoS accuracy as defined in clause 4.1b of   |
|   |          | TS 23.273 [39].   |
| >Motion Event Notification)   |          | The notification about motion event reporting as  |
|   | 104/DAE  | described in TS 23.273 [39].  |
| >Liner distance threshold   | NWDAF    | The distance travelled by the UE before reporting   |
| T All (TAO)   | consumer | subsequent location as described in TS 23.273 [39].   |
| Type Allocation code (TAC)  | AMF      | To indicate the terminal model and vendor information of  |
|   |          | the UE. The UEs with the same TAC may have similar mobility behaviour. The UE whose mobility behaviour is |
|   |          | unlike other UEs with the same TAC may be an  |
|   |          | abnormal one.   |
| Frequent Mobility Registration  | AMF      | A UE (e.g. a stationary UE) may re-select between   |
| Update Togothamon   | 7 (14)1  | neighbour cells due to radio coverage fluctuations. This  |
| Opadio  |          | may lead to multiple Mobility Registration Updates if the   |
|   |          | cells belong to different registration areas. The number  |
|   |          | of Mobility Registration Updates N within a period M may  |
|   |          | be an indication for abnormal ping-pong behaviour,  |
|   |          | where N and M are operator's configurable parameters.   |
| UE access behaviour trends  | AMF      | Metrics on UE state transitions (e.g. access, RM and CM   |
|   |          | states, handover).  |
| UE location trends  | AMF      | Metrics on UE locations.  |
| NOTE 1: UE location includes either the last known location or the current location, under the conditions |          |   |
| defined in Table 4.15.3.1-1 in TS 23.502 [3].   |          |   |
| NOTE 2: The procedure to collect location data using LCS is described in clause 6.2.12.                   |          |   |

<sup>-</sup> Service data related to UE mobility provided by AFs is defined in the Table 6.7.2.2-2;

Table 6.7.2.2-2: Service Data from AF related to UE mobility

| Information Description   |   |  |
|---|---|--|
| UE ID   | Could be external UE ID (i.e. GPSI).                        |  |
| Application ID  | Identifying the application providing this information.     |  |
| UE trajectory (1max)  | Timestamped UE positions.                                   |  |
| >UE location  | Geographical area that the UE enters.                       |  |
| >Timestamp  | A time stamp when UE enters this area.                      |  |
| A list of areas   | A list of areas used by the AF for the application service. |  |
| NOTE: The application ID is optional. If the application ID is omitted, the collected UE mobility |   |  |
| information can be applicable to all the applications for the UE.                                 |   |  |

Depending on the requested level of accuracy, data collection may be provided on samples (e.g. spatial subsets of UEs or UE group, temporal subsets of UE location information).

NOTE: Reporting current UE location can cause AMF to request NG-RAN to report UE location and consequently extra signalling and load in NG-RAN and AMF. The consumer retrieving data from AMF needs to use current location with care to avoid excessive signalling.

#### 6.7.2.3 Output Analytics

The NWDAF supporting data analytics on UE mobility shall be able to provide UE mobility analytics to consumer NFs or AFs. The analytics results provided by the NWDAF could be UE mobility statistics as defined in table 6.7.2.3-1, UE mobility predictions as defined in Table 6.7.2.3-2:

Table 6.7.2.3-1: UE mobility statistics

| Information  | Description   |  |  |
|--|---|--|--|
| UE group ID or UE ID   | Identifies the UE(s) for which the statistic applies by a list of SUPIs, or a group of UEs by a list of Internal-Group-Ids defined in clause 5.9.7 of |  |  |
| Time slot entry (1max)   | TS 23.501 [2] (see NOTE 1).  List of time slots during the Analytics target period.   |  |  |
| > Time slot entry (1max)   | Time slot start within the Analytics target period.   |  |  |
| > Duration   | Duration of the time slot. If a Temporal granularity size was provided in   |  |  |
| > Duration   | the request or subscription, the Duration is greater than or equal to the Temporal granularity size.  |  |  |
| > UE location (1max)   | Observed location statistics (see NOTE 2).  |  |  |
| >> UE location<br>(NOTE 5)   | TAs or cells which the UE stays or geographical location (longitude and latitude level) (see NOTE 3).   |  |  |
| >> Ratio (NOTE 5)  | Percentage of UEs in the group (in the case of a UE group).   |  |  |
| >> UE's  | The geographical distribution of the UEs among the TAs or cells or  |  |  |
| geographical distribution (NOTE 5)   | location coordinates.   |  |  |
| >> Requested   | The linear distance threshold used for UE location reporting.   |  |  |
| Linear Distance<br>Threshold (NOTE 4)  |   |  |  |
| >> Geographical Identifier (NOTE 5)  | Geographical Identifier as specified in TS 23.228 [47] (see NOTE 6).  |  |  |
| > UE's direction<br>(NOTE 5)   | The direction of the UEs in the Area of Interest.   |  |  |
| NOTE 1: When Target of Analytics Reporting is an individual UE, one UE ID (i.e. SUPI) will be included, the NWDAF will provide the analytics mobility result (i.e. list of (predicted) time slots) to NF service consumer(s) for the UE. |   |  |  |
| NOTE 2: If Visited AOI(s) was provided in the analytics request/subscription, the UE location provides information on the observed location(s) that the UE or group of UEs had been residing during the Analytics Target Period.         |   |  |  |
| NOTE 3: When possible and applicable to the access type, UE location is provided according to the preferred granularity of location information and Spatial granularity size.  |   |  |  |
| NOTE 4: The requested Linear Distance Threshold is provided only when in the analytic filter information of the analytics request there are multiple linear distance thresholds and the target is a single UE.                           |   |  |  |
| NOTE 5: Analytics subset that can be used in "list of analytics subsets that are requested" and "Preferred level of accuracy per analytics subset".  |   |  |  |
|  | epends on the implementation how the NWDAF collects the geographic identifier.  |  |  |

Table 6.7.2.3-2: UE mobility predictions

| Information   | Description  |  |
|---|--|--|
| UE group ID or UE<br>ID   | Identifies the UE(s) for which the prediction applies by a list of SUPIs, or a group of UEs by a list of Internal-Group-Ids defined in clause 5.9.7 of TS 23.501 [2] (see NOTE 1). |  |
| Time slot entry   | List of predicted time slots.  |  |
| (1max)  |  |  |
| >Time slot start  | Time slot start time within the Analytics target period.   |  |
| > Duration  | Duration of the time slot. If a Temporal granularity size was provided in the request or subscription, the Duration is greater than or equal to the Temporal granularity size.     |  |
| > UE location (1max)  | Predicted location prediction during the Analytics target period.  |  |
| >> UE location (NOTE 3)   | TAs or cells where the UE or UE group may move into or geographical location (longitude and latitude level) (see NOTE 2).  |  |
| >> Confidence   | Confidence of this prediction.   |  |
| >> Ratio<br>(NOTE 3)  | Percentage of UEs in the group (in the case of a UE group).  |  |
| >> UE's<br>geographical<br>distribution<br>(NOTE 3)   | The geographical distribution of the UEs among the TAs or cells or location coordinates.   |  |
| >> Geographical Identifier (NOTE 3)   | Geographical Identifier as specified in TS 23.228 [47] (see NOTE 4).   |  |
| > UE's direction<br>(NOTE 3)  | The direction of the UEs in the Area of Interest.  |  |
| NOTE 1: When Target of Analytics Reporting is an individual UE, one UE ID (i.e. SUPI) will be included, the NWDAF will provide the analytics mobility result (i.e. list of (predicted) time slots) to NF service consumer(s) for the UE.  NOTE 2: When possible and applicable to the access type, UE location is provided according to the preferred |  |  |
| granularity of location information and Spatial granularity size.  NOTE 3: Analytics subset that can be used in "list of analytics subsets that are requested" and "Preferred level of  |  |  |

The results for UE groups address the group globally. The ratio is the proportion of UEs in the group at a given location at a given time.

It depends on the implementation how the NWDAF collects the geographic identifier.

The number of time slots and UE locations is limited by the maximum number of objects provided as part of Analytics Reporting Information.

The time slots shall be provided by order of time, possibly overlapping. The locations shall be provided by decreasing value of ratio for a given time slot. The sum of all ratios on a given time slot must be equal or less than 100%. Depending on the list size limitation, the least probable locations on a given Analytics target period may not be provided.

If a UE Location order indicator is included in the Analytics Reporting information, the NWDAF does not aggregate the UE locations in a long duration but provides the UE locations one by one in their own time period, i.e. the "UE location (1..max)" in the UE Mobility analytics has only one UE location (TA, Cell or a finer granularity UE Location smaller than cell) which indicates the UE is located in this UE location in the duration from the time slot start (i.e. time stamp when the UE enters this location as described in clause 6.7.2.2).

#### 6.7.2.4 Procedures

accuracy per analytics subset".

The NWDAF can provide UE mobility related analytics, in the form of statistics or predictions or both, directly to another NF. If the NF is an AF and when the AF is untrusted, the AF will request analytics via the NEF and the NEF will then convey the request to NWDAF.

NOTE: In the case of untrusted AF the Target of Analytics Reporting can be a GPSI or an External Group Identifier that is mapped in the 5GC to a SUPI or an Internal Group Identifier.

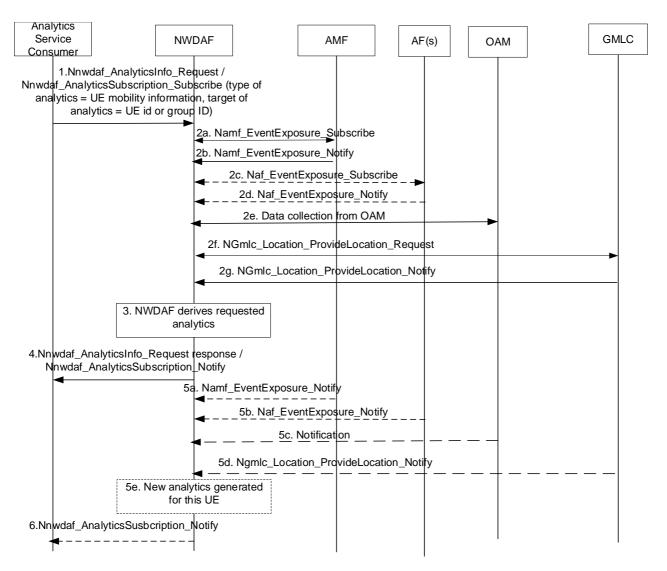


Figure 6.7.2.4-1: UE mobility analytics provided to an Analytics Service Consumer

1. The NF sends a request (Analytics ID = UE mobility, Target of Analytics Reporting = UE id or Internal Group ID, Analytics Filter Information = AOI, Analytics Reporting Information = Analytics target period and/or UE Location order indicator) to the serving NWDAF for analytics information on a specific UE or group of UEs, i.e. list of Internal-Group-Ids, using either the Nnwdaf\_AnalyticsInfo or Nnwdaf\_AnalyticsSubscription service to derive UE mobility information. The NF can request statistics or predictions or both. For LADN service, the NF (i.e. SMF) provides LADN DNN as AOI in the Analytics Filter Information.

If NF wants to obtain the aggregated mobility analytics of those UEs, that currently reside in the AOI and had visited at least one of visited AOI(s) during an Analytics target period, the NF may send a request for UE mobility analytics with Analytics ID = UE mobility, Target of Analytics Reporting = UE group ID or UE ID, Analytics Filter Information = (AOI, visited AOI(s)), Analytics Reporting information = Analytics target period. In this case, the requested mobility analytics is a statistics.

2. If the request is authorized and in order to provide the requested analytics, the NWDAF may subscribe to events with all the serving AMFs for the requested UE(s), for notification of location changes. This step may be skipped when e.g. the NWDAF already has the requested analytics available.

The NWDAF subscribes the service data for the requested UE(s) from AF(s) in the Table 6.7.2.2-2 by invoking Naf\_EventExposure\_Subscribe service or Nnef\_EventExposure\_Subscribe (if via NEF) using event ID "UE Mobility information" as defined in TS 23.502 [3].

The NWDAF collects UE mobility information from OAM for the requested UE(s), following the procedure captured in clause 6.2.3.2.

The NWDAF may collect UE location information from the GLMC, which may initiate the UE location service procedure and gets the location of each requested UE(s), if the consumer requested fine granularity location information and/or one or more location requests corresponding to the linear distance threshold values in the analytics request according to clause 6.7.2.1.

NOTE 1: The NWDAF determines the serving AMF(s) as described in clause 6.2.2.1.

3. The NWDAF derives requested analytics.

If in step 1 the NWDAF receives analytics subscription/request from NF to obtain the aggregated mobility analytics of those UEs, which currently reside in AOI and had visited at least one of visited AOI(s) during an Analytics target period and if visited AOI(s) and AOI are covered by different NWDAFs, in addition to the data collected in the AOI in step 2, the NWDAF can also obtain UE mobility analytics in one of the visited AOI(s) during the Analytics target period from other NWDAF instance(s) for the requested UE(s). Then the NWDAF supporting analytics aggregation capability derives a UE ID list based on the request from the NF in step 1 and the requested aggregated analytics based on the data collected in the AOI in step 2 and UE mobility analytics in one or more of the visited AOI(s) obtained from the other NWDAF instance(s). UE visited locations in visited AOI(s) and AOI will be included in the aggregated UE mobility analytics.

- NOTE 2: If the visited AOI(s) and AOI are covered by different NWDAFs, then consumer in the AOI firstly discovers a NWDAF supporting analytics aggregation capability in the AOI from the NRF, as defined in clause 6.3.13 of TS 23.501 [2].
- 4. The NWDAF provides requested UE mobility analytics to the NF, using either the Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1. The details for UE mobility analytics provided by NWDAF are defined in clause 6.7.2.3.

If in step 1 the NF wants to obtain the aggregated mobility analytics of those UEs, that currently reside in the AOI and had visited at least one of visited AOI(s) during an Analytics target period, the NWDAF will provide the requested aggregated analytics for the UE(s) matching this criteria, i.e. the derived mobility analytics can cover a subset of UEs compared to the Target of Analytics Reporting as provided in step 1.

5-6. If at step 1, the NF has subscribed to receive notifications for UE mobility analytics, after receiving event notification from the AMFs, AFs, GMLC and OAM subscribed by NWDAF in step 2, the NWDAF may generate new analytics and provide them to the NF.

## 6.7.3 UE Communication Analytics

#### 6.7.3.1 General

In order to support some optimized operations, e.g. customized mobility management, traffic routing handling, RFSP Index Management, QoS improvement or Inactivity Timer optimization, in 5GS, an NWDAF may perform data analytics on UE communication pattern and user plane traffic and provide the analytics results (i.e. UE communication statistics or prediction) to NFs in the 5GC or an AF.

An NWDAF supporting UE Communication Analytics collects per-application communication description from AFs. If consumer NF provides an Application ID, the NWDAF only considers the data from AF, SMF and UPF that corresponds to this application ID. NWDAF may also collect data from AMF.

The consumer of these analytics may indicate in the request:

- Analytics ID = "UE Communication".
- Target of Analytics Reporting: a single UE (SUPI) or group of UEs (a list of Internal-Group-Ids).
- Analytics Filter Information optionally including:
  - S-NSSAI;
  - DNN;
  - Application ID;
  - Area of Interest.

- an optional list of analytics subsets that are requested (see clause 6.7.3.3);
- An Analytics target period indicates the time period over which the statistics or predictions are requested.
- Preferred level of accuracy of the analytics.
- Optional Preferred level of accuracy per analytics subset (see clause 6.7.3.3);
- Optional preferred order of results for the list of UE Communications:
  - ordering criterion: "start time" or "duration",
  - order: ascending or descending;
- Optionally, maximum number of objects;
- Optionally, Spatial granularity size (if an Area of Interest is provided); and
- In a subscription, the Notification Correlation Id and the Notification Target Address are included.

#### 6.7.3.2 Input Data

The NWDAF supporting data analytics on UE communication shall be able to collect communication information for the UE from 5GC. The detailed information collected by the NWDAF includes service data related to UE communication as defined in the Table 6.7.3.2-1.

Table 6.7.3.2-1: Service Data from 5GC related to UE communication

| Information                 | Source  | Description  |
|-----------------------------|---------|--|
| UE ID                       | SMF, AF | SUPI in the case of SMF, external UE ID (i.e. GPSI) in the case of AF  |
| Group ID                    | SMF, AF | To identify UE group if available                                      |
|                             |         | Internal Group ID in the case of SMF, External Group ID in the case of |
|                             |         | AF   |
| S-NSSAI                     | SMF     | Information to identify a Network Slice                                |
| DNN                         | SMF     | Data Network Name where PDU connectivity service is provided           |
| Application ID              | SMF, AF | Identifying the application providing this information                 |
| Expected UE Behaviour       | AF      | Same as Expected UE Behaviour parameters specified in                  |
| parameters                  |         | TS 23.502 [3]  |
| UE communication (1max)     | UPF, AF | Communication description per application                              |
| >Communication start        |         | The time stamp that this communication starts                          |
| >Communication stop         |         | The time stamp that this communication stops                           |
| >UL data rate               |         | UL data rate of this communication                                     |
| >DL data rate               |         | DL data rate of this communication                                     |
| >Traffic volume             |         | Traffic volume of this communication                                   |
| Type Allocation code (TAC)  | AMF     | To indicate the terminal model and vendor information of the UE. The   |
|                             |         | UEs with the same TAC may have similar communication behaviour.        |
|                             |         | The UE whose communication behaviour is unlike other UEs with the      |
|                             |         | same TAC may be an abnormal one.                                       |
| UE locations (1max)         | AMF     | UE positions   |
| >UE location                |         | TA or cells that the UE enters   |
| >Timestamp                  |         | A time stamp when the AMF detects the UE enters this location          |
| UE location trends          | AMF     | Metrics on UE locations.   |
| PDU Session ID (1max)       | SMF     | Identification of PDU Session.   |
| > Inactivity detection time | SMF,    | Value of session inactivity timer.                                     |
|                             | UPF     |  |
| > PDU Session status        | SMF     | Status of the PDU Session (activated, deactivated).                    |
| UE CM state                 | AMF     | UE connection management state (e.g. CM-IDLE).                         |
| UE session behaviour trends | SMF     | Metrics on UE state transitions (e.g. "PDU Session Establishment",     |
|                             |         | "PDU Session Release").  |
| UE communication trends     | SMF     | Metrics on UE communications.  |
| UE access behaviour trends  | AMF     | Metrics on UE state transitions (e.g. access, RM and CM states,        |
|                             |         | handover).   |

Depending on the requested level of accuracy, data collection may be provided on samples (e.g. spatial subsets of UEs or UE group, temporal subsets of UE communication information).

The application Id is optional. If the application Id is omitted, the collected UE communication information can be applicable to all the applications for the UE.

#### **Output Analytics** 6.7.3.3

The NWDAF supporting UE Communication Analytics provides the analytics results to consumer NFs. The analytics results provided by the NWDAF include the UE communication statistics as defined in Table 6.7.3.3-1 or predictions as defined in Table 6.7.3.3-2.

Table 6.7.3.3-1: UE Communication Statistics

| Information   | Description  |  |
|---|--|--|
| UE group ID or UE ID  | Identifies the UE(s) for which the statistic applies by a list of SUPIs, or a group of UEs by a list of Internal-Group-Ids defined in clause 5.9.7 of TS 23.501 [2]. |  |
| UE communications (1max) (NOTE 1)   | List of communication time slots.  |  |
| > Periodic communication indicator (NOTE 1)   | Identifies whether the UE communicates periodically or not.  |  |
| > Periodic time (NOTE 1)  | Interval Time of periodic communication (average and variance) if periodic.  Example: every hour   |  |
| > Start time (NOTE 1)   | Start time observed (average and variance)   |  |
| > Duration (NOTE 1)   | Duration of communication (average and variance).  |  |
| > Traffic characterization  | S-NSSAI, DNN, ports, other useful information.   |  |
| > Traffic volume (NOTE 1)   | Volume UL/DL (average and variance).   |  |
| > Ratio   | Percentage of UEs in the group (in the case of a UE group).  |  |
| Applications (0max) (NOTE 1)  | List of applications in use.   |  |
| > Application Id  | Identification of the application.   |  |
| > Start time  | Start time of the application.   |  |
| > Duration time   | Duration interval time of the application.   |  |
| > Occurrence ratio  | Proportion for the application used by the UE during requested period.   |  |
| > Spatial validity  | Area where the service behaviour applies. If Area of Interest information was  |  |
|   | provided in the request or subscription, spatial validity may be a subset of   |  |
|   | the requested Area of Interest.  |  |
| N4 Session ID (1max) (NOTE 1) (NOTE 2)  | Identification of N4 Session.  |  |
| > Inactivity detection time   | Value of session inactivity timer (average and variance).  |  |
| NOTE 1: Analytics subset that can be used in "list of analytics subsets that are requested" and "Preferred level of |  |  |
| accuracy per analytics subset".   |  |  |

This analytics subset shall only be included if the consumer is SMF.

Table 6.7.3.3-2: UE Communication Predictions

| Information   | Description  |
|---|--|
| UE group ID or UE ID  | Identifies the UE(s) for which the statistic applies by a list of SUPIs, or a group of UEs by a list of Internal-Group-Ids defined in clause 5.9.7 of TS 23.501 [2].   |
| UE communications (1max) (NOTE 1)   | List of communication time slots.  |
| > Periodic communication indicator (NOTE 1)   | Identifies whether the UE communicates periodically or not.  |
| > Periodic time (NOTE 1)  | Interval Time of periodic communication (average and variance) if periodic. Example: every hour.   |
| > Start time (NOTE 1)   | Start time predicted (average and variance).   |
| > Duration time (NOTE 1)  | Duration interval time of communication.   |
| > Traffic characterization  | S-NSSAI, DNN, ports, other useful information.   |
| > Traffic volume (NOTE 1)   | Volume UL/DL (average and variance).   |
| > Confidence  | Confidence of the prediction.  |
| > Ratio   | Percentage of UEs in the group (in the case of a UE group).  |
| Applications (0max) (NOTE 1)  | List of applications in use.   |
| > Application Id  | Identification of the application.   |
| > Start time  | Start time of the application.   |
| > Duration time   | Duration interval time of the application.   |
| > Occurrence probability  | Probability the application will be used by the UE.  |
| > Spatial validity  | Area where the service behaviour applies. If Area of Interest information was provided in the request or subscription, spatial validity may be a subset of the requested Area of Interest. If a Spatial granularity size was provided in the request or subscription, the number of elements of TAs or cells in the area is smaller than or equal to the Spatial granularity size. |
| N4 Session ID (1max) (NOTE 1) (NOTE 2)  | Identification of N4 Session.  |
| > Inactivity detection time   | Value of session inactivity timer (average and variance).  |
| > Confidence  | Confidence of the prediction.  |
| NOTE 1: Analytics subset that can be accuracy per analytics subset NOTE 2: This analytics subset shall or |  |

NOTE: When Target of Analytics Reporting is an individual UE, one UE ID (i.e. SUPI) will be included, the NWDAF will provide the analytics communication result (i.e. list of (predicted) communication time slots) to NF service consumer(s) for the UE.

The results for UE groups address the group globally. The ratio is the proportion of UEs in the group for a given communication at a given time and duration.

The number of UE communication entries (1..max) is limited by the maximum number of objects provided as part of Analytics Reporting Information. The communications shall be provided by order of time, possibly overlapping.

Depending on the list size limitation, the least probable communications on a given Analytics target period may not be provided.

#### 6.7.3.4 Procedures

The NWDAF can provide UE communication related analytics, in the form of statistics or predictions or both, to a 5GC NF.

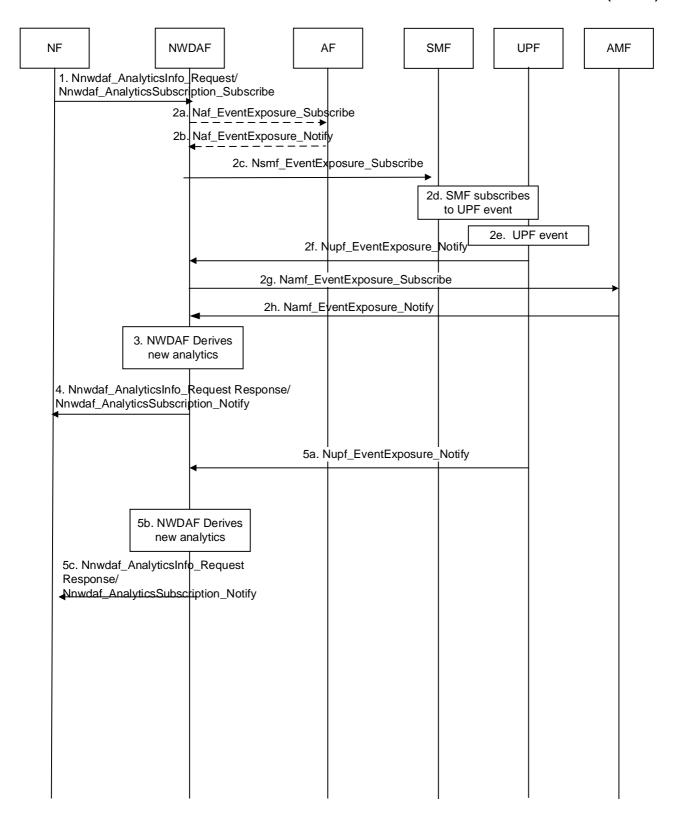


Figure 6.7.3.4-1: Procedure for UE communication analytics

1. 5GC NF to NWDAF: Nnwdaf\_AnalyticsSubscription\_Subscribe (Analytics ID = UE communication, Target of Analytics Reporting=SUPI, Analytics Filter Information = (Application ID, Area of Interest, etc.)).

5GC NF sends a request to the NWDAF for analytics on a specific UE(s), using either Nnwdaf\_AnalyticsInfo or Nnwdaf\_AnalyticsSubscription\_Subscribe service. The analytics type indicated by "Analytics ID" is set to "UE communication". The Target of Analytics Reporting is set to SUPI or an Internal Group Identifier and Analytics Filter may include Application ID and Area of Interest.

2a-b. NWDAF to AF (Optional): Naf\_EventExposure\_Subscribe (Event ID, external UE ID, Application ID, Area of Interest).

In order to provide the requested analytics, the NWDAF may subscribe per application communication information, which is identified by Application ID, from AFs for the UE. The Event ID "UE Communication information" as defined in TS 23.502 [3] is used, which indicates communication report for the UE which is requested by the 5GC NF in the step 1. The external UE ID is obtained by the NWDAF based on UE internal ID, i.e. SUPI. In the case of external AF, the NEF translates the requested Area of Interest into a list of geographic zone identifier(s) as described in clause 5.6.7.1 of TS 23.501 [2].

This step is skipped if the NWDAF already has the requested analytics available or has subscribed to the AF.

2c. NWDAF to SMF: Nsmf EventExposure Subscribe (Event ID, SUPI, Application ID).

In order to provide the requested analytics, the NWDAF subscribes via SMF to UPF information on SUPI, providing e.g. Indication of UPF Event Exposure Service and Target subscription UPF Event Id, Filter Information such as Application ID and/or Area of Interest. This is specified in clause 5.8.2.17 of TS 23.501 [2] and clause 4.15.4 of TS 23.502 [3].

- 2d. How SMF subscribes to on UPF is defined in clause 5.8.2.17 of TS 23.501 [2] and in clause 4.15.4 of TS 23.502 [3].
- NOTE: The NWDAF request does not trigger any N4 session Establishment/Modification procedure. UPF sends N4 session level reports, including PDU session Inactivity to SMF, according to clause 4.4.2.2 of TS 23.502 [3].
- 2f. The UPF provides the requested input data to NWDAF. This is specified in clause 4.15.4 of TS 23.502 [3].
- 2g-h. NWDAF to AMF: Namf\_EventExposure\_Subscribe (Event ID, SUPI, Area of Interest).

In order to provide the requested analytics, the NWDAF retrieves one or more of Type Allocation code, UE connection management state, UE access behaviour trends and UE location trends from AMF.

NOTE: The NWDAF determines the SMF serving the UE as described in clause 6.2.2.1.

- 3. The NWDAF derives requested analytics, in the form of UE communication statistics or predictions or both.
- $4. \ \ NWDAF to 5GC\ NF: Nnwdaf\_AnalyticsInfo\_Request\ response\ or\ Nnwdaf\_AnalyticsSubscription\_Notify.$

The NWDAF provides requested UE communication analytics to the NF, using either Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1.

5. If the NF subscribed UE communication analytics at step 1, when, based e.g. on new UPF notifications the NWDAF generates new analytics, the NWDAF notifies the new generated analytics to the 5GC NF.

## 6.7.4 Expected UE behavioural parameters related network data analytics

#### 6.7.4.1 General

The clause 6.7.4 defines how a service consumer learns from the NWDAF the expected UE behaviour parameters as defined in clause 4.15.6.3 of TS 23.502 [3] for a group of UEs or a specific UE.

The service consumer may be an NF (e.g. AMF, AF), or the OAM.

The consumer of these analytics shall indicate in the request:

- Analytics ID = "UE Mobility" or "UE Communication".
- Target of Analytics Reporting: a single UE (SUPI) or group of UEs (i.e. a list of Internal Group Ids).

NOTE: In the case of untrusted AF the Target of Analytics Reporting can be a GPSI or an External Group Identifier that is mapped in the 5GC to a SUPI or an Internal Group Identifier

- An Analytics target period, which indicates the time period over which the statistics or predictions are requested.

- Analytics Filter Information optionally including:
  - Area of Interest (AOI);
  - S-NSSAI;
  - DNN;
  - Application ID;
  - an optional list of analytics subsets that are requested (see clause 6.7.3.3).
- Optional maximum number of objects.
- In a subscription, the Notification Correlation Id and the Notification Target Address are included.

The NWDAF shall notify the result of the analytics to the consumer as indicated in clause 6.7.4.3.

#### 6.7.4.2 Input Data

In order to produce "UE Mobility" analytics, the NWDAF collects UE mobility information, UE location trends and/or UE access behaviour trends, as defined in clause 6.7.2.2.

In order to produce "UE Communication" analytics, the NWDAF collects UE communication information, UE communication trends, UE session behaviour trends and/or UE access behaviour trends, as defined in clause 6.7.3.2.

#### 6.7.4.3 Output Analytics

The analytics results for "UE Mobility" are specified in Table 6.7.2.3-1 and Table 6.7.2.3-2.

The analytics results for "UE Communication" are specified in Table 6.7.3.3-1 and Table 6.7.3.3-2.

#### 6.7.4.4 Procedures

#### 6.7.4.4.1 NWDAF-assisted expected UE behavioural analytics

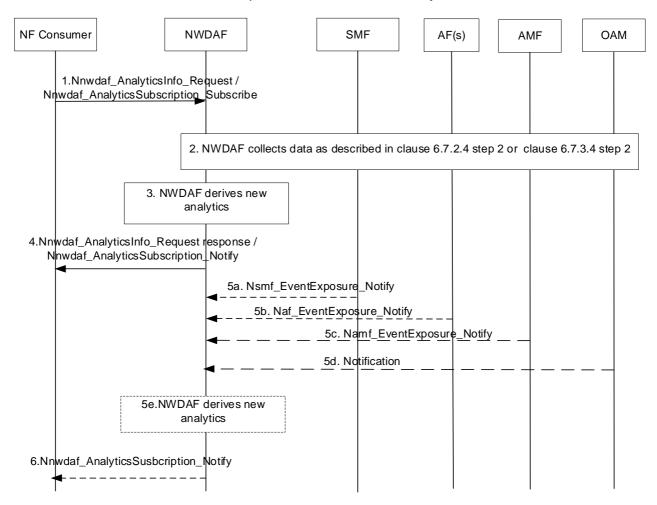


Figure 6.7.4.4.1-1: NWDAF assisted expected UE behavioural analytics procedure

1. 5GC NF (e.g. AMF, SMF and AF) to NWDAF: Nnwdaf\_AnalyticsInfo\_Request (Analytics ID, Target of Analytics Reporting, Analytics Filter Information) or Nnwdaf\_AnalyticsSubscription\_Subscribe (Analytics ID, Target of Analytics Reporting, Analytics Filter Information).

The Analytics ID is set to "UE Mobility" or to "UE Communication"," and the consumer request analytics.

2. If Analytics ID is set to "UE Mobility", the NWDAF collects data from OAM, AMF and/or AF as specified in clause 6.7.2.4 step 2, unless the information is already available.

If Analytics ID is set to "UE Communication", the NWDAF collects data from AMF, SMF and/or AF as specified in clause 6.7.3.4 step 2, unless the information is already available.

- 3. The NWDAF derives requested analytics.
- 4. NWDAF to 5GC NF: Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify.

The NWDAF provides requested Expected UE behaviour to the NF, using either Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1.

5-6. If the NF subscribed to at step 1, when the NWDAF generates new analytics, it provides the new generated analytics to the NF.

### 6.7.5 Abnormal behaviour related network data analytics

#### 6.7.5.1 General

This clause defines how to identify a group of UEs or a specific UE with abnormal behaviour, e.g. being misused or hijacked, with the help of NWDAF.

NOTE 1: The misused or hijacked UEs are UEs in which there are malicious applications running or UEs which have been stolen.

The consumer of this analytics could be a 5GC NF. The 5GC NF subscribes analytics on abnormal behaviour from a NWDAF based on the UE subscription, network configuration or application layer request.

The NWDAF performs data analytics on abnormal behaviour if there is a related subscription and returns exception reports that result from the analysis of the correlations between behavioural variables. The exception reports contain an Exception Level expressed in the form of a scalar value, possibly supplemented by additional measurements.

The consumer of this analytics shall indicate in the request:

- Analytics ID = "Abnormal behaviour";
- Target of Analytics Reporting as defined in clause 6.1.3;
- An Analytics target period indicates the time period over which the statistics or predictions are requested;
- Analytics Filter Information optionally including:
  - expected UE behaviour parameters;
  - expected analytics type or list of Exception IDs with associated thresholds for the Exception Level, where the expected analytics type can be mobility related, communication related or both;
  - Area of interest;
  - Application ID;
  - DNN;
  - S-NSSAI.

NOTE 2: The expected analytics type generally indicates whether mobility or communication related abnormal behaviour analytics or both are expected by the consumer and the list of exception IDs indicates what specific analytics are expected by the consumer. Either the expected analytics type or the list of Exception IDs needs to be indicated, but they are not presented simultaneously. When the expected analytics type is indicated, the NWDAF performs corresponding abnormal behaviour analytics which are supported by the NWDAF. The relation between the expected analytics type and Exception IDs is defined in Table 6.7.5.1-

- Optionally, maximum number of objects and maximum number of SUPIs;
- In a subscription, the Notification Correlation Id and the Notification Target Address are included.

Table 6.7.5.1-1: Relation between expected analytics type and Exception IDs

| Expected analytics type | Exception IDs matching the expected analytics type  |
|-------------------------|---|
| mobility related        | Unexpected UE location, Ping-ponging across neighbouring cells, Unexpected wakeup, Unexpected radio link failures.                          |
| communication related   | Unexpected long-live/large rate flows, Unexpected wakeup, Suspicion of DDoS attack, Wrong destination address, Too frequent Service Access. |

If the Target of Analytics Reporting is any UE, then the Analytics Filter should at least include:

- Area of Interest or S-NSSAI, if the expected analytics type or the list of Exception IDs is mobility related.

 Area of Interest, application ID, DNN or S-NSSAI, if the expected analytics type or the list of Exception IDs is communication related.

If the Target of Analytics Reporting is any UE, the consumer of this analytics shall request either mobility related only or communication related only abnormal behaviour analytics, but not both at the same time.

The expected UE behaviour parameters that the consumer can indicate in the request when known depend on the Exception ID that the consumer expects. They may encompass UE behaviour parameters as defined in clause 4.15.6.3 of TS 23.502 [3] and other parameters. Table 6.7.5.1-2 shows the mapping between each Exception ID and UE behaviour parameters.

Table 6.7.5.1-2: Description of Expected UE Behaviour parameters per Exception ID

| Exception ID                           | UE behaviour parameters to provide  |
|--|---|
| Unexpected UE location                 | Expected UE Moving Trajectory Stationary Indication   |
| Unexpected long-live/large rate flows  | Periodic Time Scheduled Communication Time Communication Duration Time  |
| Unexpected wakeup                      | Periodic Time Communication Duration Time Scheduled Communication Time  |
| Suspicion of DDoS attack               | Periodic Time Communication Duration Time Scheduled Communication Time Scheduled Communication Type Traffic Profile Expected transaction Dispersion |
| Too frequent Service Access            | Periodic Time   |
| Unexpected radio link failures         | Expected UE Moving Trajectory   |
| Ping-ponging across neighbouring cells | Expected UE Moving Trajectory Stationary Indication   |

When the NWDAF detects those UEs that deviate from the expected UE behaviour, e.g. unexpected UE location, abnormal traffic pattern, unexpected transaction dispersion amount, wrong destination address, etc. the NWDAF shall notify the result of the analytics to the consumer as specified in clause 6.7.5.3.

#### 6.7.5.2 Input Data

The Exceptions information from AF is as specified in Table 6.7.5.2-1.

On request of the service consumer, the NWDAF shall collect and analyse UE behavioural information from the 5GC NFs (SMF, AMF, AF), or OAM as specified in clauses 6.7.2.2 and 6.7.3.2 and/or expected UE behavioural parameters from UDM as defined in clause 4.15.6.3, TS 23.502 [3], depending on Exception IDs.

NOTE: Care needs to be taken with regards to load by avoiding to cause major extra signalling when collecting data for any UE.

Table 6.7.5.2-1: Exceptions information from AF

| Information   | Description  |
|---|--|
| IP address 5-tuple  | To identify a data flow of a UE via the AF (such as the Firewall or a Threat Intelligence Sharing platform)  |
| Exceptions (1max) (NOTE 1)  |  |
| >Exception ID   | Indicating the Exception ID (such as Unexpected long-live/large rate flows and Suspicion of DDoS attack as defined in Table 6.7.5.3-2) of the data flow. |
| >Exception Level  | Scalar value indicating the severity of the abnormal behaviour.  |
| >Exception trend  | Measured trend (up/down/unknown/stable)  |
| NOTE 1: The Exceptions information and the UE behavioural information as defined in clauses 6.7.2.2 and 6.7.3.2 could help NWDAF to train an Abnormal classifier, which could be used to classify a UE behaviour data into Normal behaviour or Exception. |  |

#### 6.7.5.3 Output Analytics

Corresponding to the "abnormal behaviour" Analytics ID, the analytics result provided by the NWDAF is defined in Table 6.7.5.3-1 and Table 6.7.5.3-2. When the level of an exception trespasses above or below the threshold, the NWDAF shall notify the consumer with the exception ID associated with the exception if the exception ID is within the list of exception IDs indicated by the consumer or matches the expected analytics type indicated by the consumer. The NWDAF shall provide the Exception Level and determine which of the other information elements to provide, depending on the observed exception.

Abnormal behaviour statistics information is defined in Table 6.7.5.3-1.

Table 6.7.5.3-1: Abnormal behaviour statistics

| Information              | Description  |
|--------------------------|--|
| Exceptions (1max)        | List of observed exceptions                                      |
| > Exception ID           | The risk detected by NWDAF                                       |
| > Exception Level        | Scalar value indicating the severity of the abnormal behaviour   |
| > Exception trend        | Measured trend (up/down/unknown/stable)                          |
| > UE characteristics     | Internal Group Identifier, TAC                                   |
| > SUPI list (1SUPImax)   | SUPI(s) of the UE(s) affected with the Exception                 |
| > Ratio                  | Estimated percentage of UEs affected by the Exception within the |
|                          | Target of Analytics Reporting                                    |
| > Amount                 | Estimated number of UEs affected by the Exception (applicable    |
|                          | when the Target of Analytics Reporting = "any UE")               |
| > Additional measurement | Specific information for each risk (see Table 6.7.5.3-3)         |

Abnormal behaviour predictions information is defined in Table 6.7.5.3-2.

Table 6.7.5.3-2: Abnormal behaviour predictions

| Information              | Description  |
|--------------------------|--|
| Exceptions (1max)        | List of predicted exceptions   |
| > Exception ID           | The risk detected by NWDAF   |
| > Exception Level        | Scalar value indicating the severity of the abnormal behaviour   |
| > Exception trend        | Measured trend (up/down/unknown/stable)  |
| > UE characteristics     | Internal Group Identifier, TAC   |
| > SUPI list (1SUPImax)   | SUPI(s) of the UE(s) affected with the Exception   |
| > Ratio                  | Estimated percentage of UEs affected by the Exception within the Target of Analytics Reporting                   |
| > Amount                 | Estimated number of UEs affected by the Exception (applicable when the Target of Analytics Reporting = "any UE") |
| > Additional measurement | Specific information for each risk (see Table 6.7.5.3-3)   |
| > Confidence             | Confidence of this prediction  |

The predictions are provided with a Validity Period, as defined in clause 6.1.3.

The UE characteristics may provide a set of features common to all UEs affected with the exception.

The number of exceptions and the length of the SUPI list shall respectively be lower than the parameters maximum number of objects and Maximum number of SUPIs provided as part of Analytics Reporting Information.

If PCF subscribes to notifications on "Abnormal behaviour", the NWDAF shall send the PCF notifications about the risk, which may trigger the PCF to update the AM/SM policies.

The NWDAF also sends the notification directly to the AMF or SMF, if the AMF or SMF subscribes to the notification, so that the AMF or SMF may, based on operator local policies defined on a per S-NSSAI basis (for AMF) or on a per S-NSSAI, per DNN, or per (DNN,S-NSSAI) basis (for SMF), take actions for risk solving.

If the AF subscribes to notifications on "Abnormal behaviour", the NWDAF sends the notifications to the AF so that the AF may take actions for risk solving.

The following Table 6.7.5.3-3 gives examples of additional measurement provided by the NWDAF and examples of NF actions for solving each risk.

Table 6.7.5.3-3: Examples of additional measurements and NF actions for risk solving

| Exception ID and description               | Additional measurement  | Actions of NFs   |
|--|---|--|
| Unexpected UE location                     | Unexpected UE location (TA or cells which the UE stays)   | PCF may extend the Service Area Restrictions with current UE location. AMF may extend the mobility restriction with current UE location.   |
| Ping-ponging across<br>neighbouring cells  | Numbers, frequency, time and location information, assumption about the possible circumstances of the pingponging | <ol> <li>If the ping-ponging are per UE, then:</li> <li>the AMF may adjust the UE (e.g. a stationary UE) registration area.</li> <li>the AMF and/or the AF may allow the use of Coverage Enhancement for the affected UE.</li> </ol>   |
| Unexpected long-<br>live/large rate flows  | Unexpected flow template (IP address 5 tuple)   | SMF updates the QoS rule, e.g. decrease the MBR for the related QoS flow. PCF, if dynamic PCC applies for corresponding DNN, S-NSSAI, updates PCC Rules that triggers SMF updates the QoS rule, e.g. decrease the MBR for the related QoS flow.  |
| Unexpected wakeup Suspicion of DDoS attack | Time of unexpected wake-up Victim's address (target IP address list)  | AMF applies MM back-off timer to the UE.  PCF may request SMF to release the PDU session.  SMF may release the PDU session and apply SM back-off timer.  |
| Wrong destination address                  | Wrong destination address (target IP address list)  | PCF updates the packet filter in the PCC Rules that triggers the SMF to update the related QoS flow and configures the UPF.  |
| Too frequent Service<br>Access             | Volume, frequency, time, assumptions about the possible circumstances   | AF may release the AF session. PCF may request SMF to release the PDU session. SMF may release the PDU session and apply SM back-off timer.  |
| Unexpected radio link failures             | Numbers, frequency, time and location, assumptions about the possible circumstances                               | If the unexpected radio link failures are per UE location bases, the AMF may allow the use of CE (Coverage Enhancement) in the affected location. Also, the Operator may improve the coverage conditions in the affected location. If the unexpected radio link failures are per UE bases, then the AMF and/or the AF may allow the use of CE for the affected UE. |

#### 6.7.5.4 Procedure

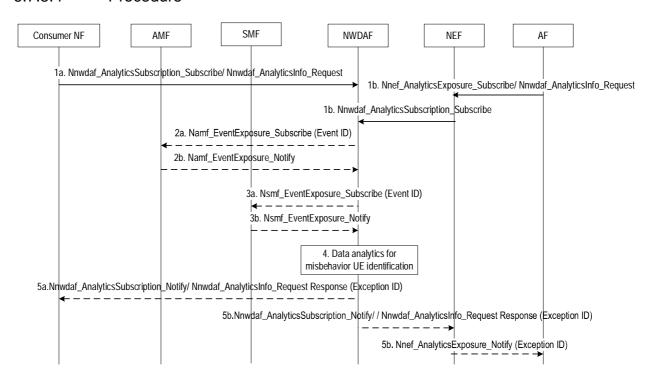


Figure 6.7.5.4-1: Procedure for NWDAF assisted misused or hijacked UEs identification

1a. A consumer NF subscribes to/requests NWDAF using Nnwdaf\_AnalyticsSubscription\_Subscribe/
Nnwdaf\_AnalyticsInfo\_Request (Analytics ID = Abnormal behaviour, Target of Analytics Reporting as defined in clause 6.1.3, Analytics Filter Information).

A consumer NF may subscribe to/request abnormal behaviour notification/response from NWDAF for a group of UEs, any UE or a specific UE. The Analytics ID indicates the NWDAF to identify misused or hijacked UEs through abnormal behaviour analytic.

1b. AF to NWDAF: Nnwdaf\_AnalyticsSubscription\_Subscribe or Nnwdaf\_AnalyticsInfo\_Request (Analytics ID, Target of Analytics Reporting as defined in clause 6.1.3, Analytics Filter Information).

For untrusted AFs, the AF sends the subscription via a NEF, where the AF invokes NEF service Nnef\_AnalyticsExposure\_Subscribe or Nnef\_AnalyticsExposure\_Fetch (Analytics ID, Target of Analytics Reporting = External-group-identifier, any UE or External UE ID, Analytics Filter Information).

An AF may also subscribe to/request abnormal behaviour notification/response from NWDAF for a group of UEs, a specific UE or any UE, where the subscription/request message may contain expected UE behaviour parameters identified on the application layer. If an External-Group-Identifier is provided by the AF, the NEF interrogates UDM to map the External-Group-Identifier to the Internal-Group-Identifier and obtain SUPI list corresponding to the Internal-Group-Identifier.

2. [Conditional] NWDAF to AMF: Namf\_EventExposure\_Subscribe (Event ID(s), Event Filter(s), Internal-Group-Identifier, any UE or SUPI).

The NWDAF sends subscription requests to the related AMF to collect UE behavioural information if it has not subscribed such data.

NOTE 1: The NWDAF determines the related AMF(s) as described in clause 6.2.2.1.

The AMF sends event reports to the NWDAF based on the report requirements contained in the subscription request received from the NWDAF.

If requested by NWDAF via Event Filter(s), the AMF checks whether the UE's behaviour matches its expected UE behavioural information. In this case, the AMF sends event reports to the NWDAF only when it detects that the UE's behaviour deviated from its expected UE behaviour.

Depending on the Exception ID, the NWDAF may in addition perform data collection from OAM as specified in clause 6.2.3.2.

3. [Conditional] NWDAF to SMF: Nsmf\_EventExposure\_Subscribe (Event ID(s), Event Filter(s), Internal-Group-Identifier, any UE or SUPI).

The NWDAF sends subscription requests to the related SMF(s) if it has not subscribed to such data.

- NOTE 2: Besides Analytics Filter Information, other mechanisms such as setting maximum number of SUPIs and/ or using sampling ratio as part of Analytics Reporting Parameters as per Event Reporting Information (clause 4.15.1 of TS 23.502 [3]) can be used by the analytics consumer to limit signalling load, e.g. when the Target of Analytics Reporting is "any UE". The NWDAF can also use sampling ratio, possibly with partition criteria, when subscribing towards AMF and SMF.
- NOTE 3: The NWDAF determines the related SMF(s) as described in clause 6.2.2.1.

The SMF sends event reports to the NWDAF based on the report requirements contained in the subscription request received from the NWDAF.

If requested by NWDAF via Event Filter(s), the SMF checks whether the UE's behaviour matches its expected UE behavioural information. In this case, the SMF sends event reports to the NWDAF only when it detects that the UE's behaviour deviated from its expected UE behaviour.

- 4. The NWDAF performs data analytics for misused or hijacked UEs identification. Based on the analytics and operator's policies the NWDAF determines whether to send a notification to the consumer NF or AF.
- 5a. [Conditional] NWDAF to consumer NF (AMF or PCF or SMF depending on the subscription): Nnwdaf\_AnalyticsSubscription\_Notify or Nnwdaf\_AnalyticsInfo\_Request response (Analytics ID, Exception ID, Internal-Group-Identifier or SUPI, Exception level) (which is used depending on the service used in step 1a).
  - If the NWDAF determines to send a notification/response to the consumer 5GC NFs, the NWDAF invokes Nnwdaf\_AnalyticsSubscription\_Notify or Nnwdaf\_AnalyticsInfo\_Request response service operations. Based on the notification/response, the 5G NFs adopt configured actions to resolve/mitigate/avoid the risks as described in the Table 6.7.5.3-1.
- 5b. [Conditional] NWDAF to AF: Nnwdaf\_AnalyticsSubscription\_Notify or Nnwdaf\_AnalyticsInfo\_Request response (Analytics ID, Exception ID, External UE ID, Exception level) (which is used depending on the service used in step 1b).
  - If the NWDAF determines to send a notification/response to the consumer AF, the NWDAF needs to include external UE ID of the identified UE into the notification/response message.
- NOTE 3: Based on the notification, the AF can adopt corresponding actions, e.g. adjusting recommended TCP Window Size, adjusting recommended Service Start and End.
- NOTE 4: The call flow only shows a subscribe-notify model for the interaction of NWDAF and consumer NF for simplicity instead of both request-response model and subscription-notification model.

## 6.8 User Data Congestion Analytics

#### 6.8.1 General

The NWDAF can provide user data congestion related analytics, by one-time reporting or continuous reporting, in the form of statistics or predictions or both, to another NF. User Data Congestion related analytics can relate to congestion experienced while transferring user data over the control plane or user plane or both. A request for user data congestion analytics relates to a specific area or to a specific user. If the consumer of these analytics provides a UE ID, the NWDAF determines the area where the UE is located. The NWDAF then collects measurements per cell and uses the measurements to determine user data congestion analytics.

The request for user data congestion related analytics indicates the location area information where congestion related analytics is desired or indicates a UE Identity that can be used by the NWDAF to determine the location area information where congestion related analytics is desired. When requesting user data congestion, the consumer may request the identifiers of the applications that contribute the most to the traffic in the area. The consumer may indicate

how many applications should be reported by providing the maximum number of applications in the request or subscription.

When the consumer of user data congestion related analytics subscribes to user data congestion related analytics, it may indicate a threshold and the NWDAF will provide analytics to the consumer when the congestion level crosses the threshold. The consumer can indicate an S-NSSAI in the request when congestion analytics are needed on a per slice level.

The service consumer may be an NF (e.g. NEF, AF, PCF).

The consumer of these analytics may indicate in the request or subscription the following parameters, its content is described in the clause 6.1.3:

- Analytics ID = "User Data Congestion";
- Target of Analytics Reporting: either a single UE (SUPI), or "any UE";

NOTE: The Target of Analytics Reporting set to "any UE" applies when user data congestion analytics relates to a specific Area of Interest.

- Analytics Filter Information:
  - Area of Interest (i.e. list of TAIs or Cell IDs) which restricts the area in focus (mandatory if Target of Analytics Reporting is set to "any UE", optional otherwise);
  - an optional list of analytics subsets that are requested, (see clause 6.8.3);
  - Optional S-NSSAI, in order to obtain congestion analytics only on a given slice;
- Optional Reporting Threshold, which applies only for subscriptions and indicates conditions on the congestion level (Network Status Indication, see clause 6.8.3) to be reached in order to be notified by the NWDAF.
- Preferred level of accuracy of the analytics;
- Preferred order of results for the list of User Data Congestion statistics or predictions:
  - ordering by Applicable Time Window, chronological or reverse chronological order; or
  - ordering by Network Status Indication, ascending or descending;
- Optional maximum number of objects;
- An Analytics target period indicates the time period over which the statistics or prediction are requested, either in the past or in the future;
- Optionally, Temporal granularity size; and
- In a subscription, the Notification Correlation Id and the Notification Target Address are included.

The NWDAF notifies the result of the analytics to the consumer as indicated in clause 6.8.3.

#### 6.8.2 Input data

The detailed information collected by the NWDAF is defined in Table 6.8.2-1.

NOTE 1: Performance Measurements defined in TS 28.552 [8] represent resource utilisation but do not, by themselves, indicate the event of congestion or congestion levels. The NWDAF collects measurements from the OAM and how the NWDAF derives Network Status Indication (NSI) is not specified.

Table 6.8.2-1: Data Collected from the NF and OAM related to User Data Congestion Analytics

| Information  | Source | Description   |
|--------------|--------|---|
| UE Location  | AMF    | UE location information that NWDAF can use to derive the Area of Interest.      |
| Measurements | OAM    | Performance Measurements that will be used by the NWDAF to determine            |
|              |        | congestion levels. Performance Measurements are related to information transfer |
|              |        | over the user plane and/or the control plane (e.g. UE Throughput, DRB Setup     |
|              |        | Management, RRC Connection Number, PDU Session Management and Radio             |
|              |        | Resource Utilization as defined in TS 28.552 [8]). The NWDAF may obtain         |
|              |        | measurements by invoking management services that are defined in                |
|              |        | TS 28.532 [6] and TS 28.550 [7].  |

Table 6.8.2-2: Data Collected from the UPF or from the AF related to User Data Congestion Analytics

| Information             | Source    | Description  |
|-------------------------|-----------|--|
| Application ID          | UPF or AF | Application identifier as defined in clause 5.8.2 of TS 23.501 [2] (see NOTE 1). |
| IP Packet<br>Filter Set | UPF or AF | IP Packet Filter set as defined in clause 5.8.2 of TS 23.501 [2] (see NOTE 1).   |
| Measurement period      | UPF or AF | Measurement period.  |
| Throughput UL/DL        | UPF or AF | Average Throughput UL/DL over the measurement period.                            |
| Throughput UL/DL (peak) | UPF or AF | Peak Throughput UL/DL over the measurement period.                               |
| Timestamp               | UPF or AF | Time when measurements are taken.  |
| Achieved sampling ratio | UPF       | Sampling ratio achieved by UPF (see NOTE 2).                                     |

NOTE 1: Application Id and IP Packet Filter Set are mutually exclusive.

NOTE 2: UPF may apply data sampling to reduce the load on the UPF. This parameter is provided when no sampling ratio is configured at the UPF or the UPF could not fulfil the configured sampling ratio.

NOTE 3: Multiple outputs are provided by the UPF when multiple Service Data Flows are running at the UPF for the same UE and measurement period.

NOTE 2: Care needs to be taken with regards to load and major signalling caused when requesting "any UE". This can be achieved via utilization of event filters (e.g. Area of Interest), Analytics Reporting Information (e.g. maximum number of objects), or preferred sampling ratio provided by NWDAF to the UPF and/or local UPF configuration of data collection for specific application IDs, Packet Filter Sets and/or PFDs.

Additionally, NWDAF may use statistics or predictions on service experience as specified in clause 6.4.3 as an input, e.g. for service experience in a given area or service experience for some specific applications such as high bandwidth applications.

## 6.8.3 Output analytics

The NWDAF outputs the user data congestion analytics for transfer over the user plane, for transfer over the control plane, or for both. The output may consist of statistics, predictions, or both. The detailed information provided by the NWDAF is defined in Table 6.8.3-1 for statistics and in Table 6.8.3-2 for predictions.

Table 6.8.3-1: User Data Congestion statistics

| Description   |  |
|---|--|
| A list of TAIs or Cell IDs  |  |
| List of user data congestion Analytics. See NOTE 5.   |  |
|   |  |
| User Plane or Control Plane   |  |
| The time period that the analytics applies to. If a Temporal granularity size was   |  |
| provided in the request or subscription, the duration of the Applicable Time Window is  |  |
| greater than or equal to the Temporal granularity size.   |  |
| Congestion Level  |  |
| The list of applications that contribute the most to the traffic in the UL direction.   |  |
|   |  |
|   |  |
| Application identifier as defined in clause 5.8.2 of TS 23.501 [2] (see NOTE 2).  |  |
| IP Packet Filter set as defined in clause 5.8.2 of TS 23.501 [2] (see NOTE 2).  |  |
| The application's throughput as a percentage of the total throughput in the Area of   |  |
| Interest.   |  |
| The list of applications that contribute the most to the traffic in the DL direction.   |  |
|   |  |
|   |  |
| Application identifier as defined in clause 5.8.2 of TS 23.501 [2] (see NOTE 2)   |  |
| IP Packet Filter set as defined in clause 5.8.2 of TS 23.501 [2] (see NOTE 2).  |  |
| The application's throughput as a percentage of the total throughput in the Area of Interest.   |  |
| NOTE 1: This information element is an Analytics subset that can be used in "list of analytics subsets that are   |  |
| requested".   |  |
| NOTE 2: Application Id and IP Packet Filter Set are mutually exclusive.   |  |
| NOTE 3: The listed applications are not necessarily ranked by any order of traffic contribution.  |  |
| NOTE 4: This information element relates to congestion experienced while transferring user data over the user plane.  |  |
|   |  |
| NOTE 5: The number of user data congestion analytics entries is limited by the maximum number of objects provided as part of Analytics Reporting Information. |  |
|   |  |

Table 6.8.3-2: User Data Congestion predictions

| Information  | Description  |  |
|--|--|--|
| Area of Interest   | A list of TAIs or Cell IDs.  |  |
| List of user data congestion<br>Analytics (1max)   | List of user data congestion Analytics. See NOTE 5.  |  |
| > Type   | User Plane or Control Plane.   |  |
| > Applicable Time Window   | The time period that the analytics applies to. If a Temporal granularity size was provided in the request or subscription, the duration of the Applicable Time Window is greater than or equal to the Temporal granularity size. |  |
| > Network Status Indication  | Congestion Level.  |  |
| > Confidence   | Confidence of this prediction.   |  |
| > List of top applications in UL (0N <sub>U</sub> ) (NOTE 1, NOTE 4)   | The list of applications predicted to contribute most of the traffic in the UL direction.  |  |
| >> Application ID  | Application identifier as defined in clause 5.8.2 of TS 23.501 [2] (see NOTE 2).   |  |
| >> IP Packet Filter Set  | IP Packet Filter set as defined in clause 5.8.2 of TS 23.501 [2] (see NOTE 2).   |  |
| >> Percentage  | The application's throughput as a percentage of the total throughput in the Area of Interest.  |  |
| >> Confidence  | Confidence of this prediction.   |  |
| > List of top applications in DL (0N <sub>D</sub> ) (NOTE 1, NOTE 4)   | The list of applications predicted to contribute most of the traffic in the DL direction.  |  |
| >> Application ID  | Application identifier as defined in clause 5.8.2 of TS 23.501 [2] (see NOTE 2).   |  |
| >> IP Packet Filter Set  | IP Packet Filter set as defined in clause 5.8.2 of TS 23.501 [2] (see NOTE 2).   |  |
| >> Percentage  | The application's throughput as a percentage of the total throughput in the Area of Interest.  |  |
| >> Confidence  | Confidence of this prediction.   |  |
| <ul> <li>NOTE 1: This information element is an Analytics subset that can be used in "list of analytics subsets that are requested".</li> <li>NOTE 2: Application Id and IP Packet Filter Set are mutually exclusive.</li> <li>NOTE 3: The listed applications are not necessarily ranked by any order.</li> <li>NOTE 4: This information element relates to congestion experienced while transferring user data over the user plane.</li> </ul> |  |  |
| NOTE 5: The number of user data congestion analytics entries is limited by the maximum number of objects provided as part of Analytics Reporting Information.  |  |  |

The following list shows the applicability of the analytics subsets per consumer:

- Analytics subset "List of top applications in UL (0..NU)" and "List of top applications in DL (0..ND)" are applicable to any consumer (e.g. PCF, AF, NEF), The NWDAF decides if these Analytics subset is provided to an AF.

#### 6.8.4 Procedures

# 6.8.4.1 Procedure for one-time or continuous reporting of analytics for user data congestion in a geographic area

The procedure as depicted in Figure 6.8.4.1-1 is used by an NF to retrieve congestion analytics for a specific geographic area. The procedure can be used to request a one-time or continuous reporting of congestion analytics.

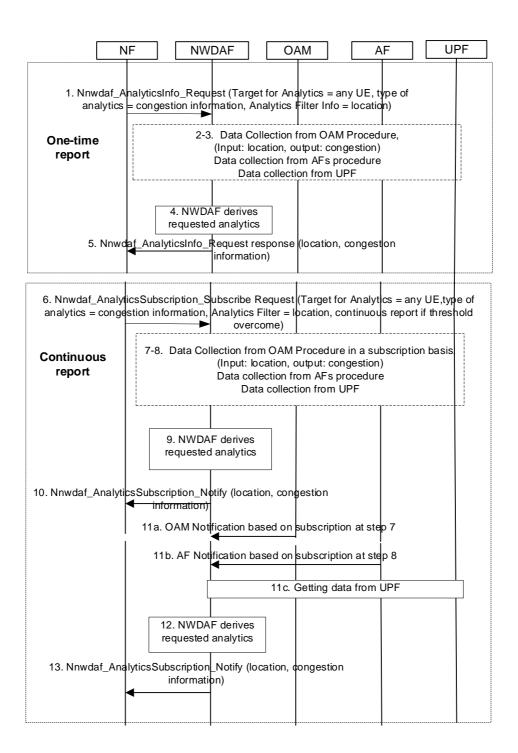


Figure 6.8.4.1-1: Procedure for one-time or continuous reporting of analytics for congestion in a geographic area

For one-time reporting:

- 1. The NF sends Nnwdaf\_AnalyticsInfo\_Request to NWDAF, indicating request for analytics for congestion in a specific location. The NF can request statistics or predictions or both. The Analytics ID is set to "User Data Congestion" for transfer over user plane, control plane, or both, the Target of Analytics Reporting is set to "any UE" and Analytics Filter Information set to include a location (e.g. ECGI, TA) and an indication to provide the list of applications that contribute the most to the traffic.
- 2-3. If the request is authorized and in order to provide the requested analytics, the NWDAF may request the measurement information for the requested location from OAM services following the data collection from

OAM procedure as captured in 6.2.3.2. If the NWDAF already has information about the requested location, these steps are omitted. The NWDAF may obtain measurements by invoking management services that are defined in TS 28.532 [6] and TS 28.550 [7].

If the request is to provide the list of applications that contribute the most to the traffic, then the NWDAF collects input data from the AF for the applications being served by AF(s) by invoking Naf\_EventExposure\_Subscribe service or Nnef\_EventExposure\_Subscribe (if via NEF) or collected from the UPF or collected from both, AF and UPF.

- 4. The NWDAF derives requested analytics.
- 5. The NWDAF provides the analytics for congestion to the NF.

For continuous reporting:

- 6. The NF sends Nnwdaf\_AnalyticsSubscription\_Subscribe Request to the NWDAF, indicating request for analytics for congestion in a specific location (e.g. ECGI, TA), possibly with thresholds and including an indication to provide the list of applications that contribute the most to the traffic. The NF can request statistics or predictions or both. The type of analytics is set to user data congestion analytics for transfer over user plane, control plane, or both.
- 7-8. The NWDAF subscribes to OAM services following the data collection from OAM procedure as captured in 6.2.3.2 to get measurement information for the requested location, possibly providing measurement thresholds for example, data congestion crossing values. The NWDAF may obtain measurements by invoking management services that are defined in TS 28.532 [6] and TS 28.550 [7]. If a request is to provide the list of applications that contribute the most to the traffic, the NWDAF subscribes to the service data from AF by invoking Naf\_EventExposure\_Subscribe service or Nnef\_EventExposure\_Subscribe (if via NEF) or from the UPF or from both.
- 9. The NWDAF derives requested analytics.
- 10. The NWDAF provides the analytics for congestion to the NF.
- 11a. A change of user data congestion status corresponding to crossing a threshold set by the NWDAF at steps 7-8 is detected by OAM and notified to NWDAF.
- 11b. The AF notifies the NWDAF with the input data as defined in table 6.8.2-2.
- 11c. The UPF provides the NWDAF with the input data as defined in table 6.8.2-2.
- NOTE: How the data from UPF is retrieved (subscribed to on UPF and notified then by UPF) is defined in clause 5.8.2.17 of 23.501 [2] and in clause 4.15.4 of TS 23.502 [3].
- 12. The NWDAF derives new analytics.
- 13. The NWDAF provides a notification for analytics for the user data congestion to the NF.

# 6.8.4.2 Procedure for one-time or continuous reporting of analytics for user data congestion for a specific UE

The procedure as depicted in Figure 6.8.4.2-1 is used by an NF to retrieve user data congestion analytics for a specific UE. The procedure can be used to request a one-time or continuous reporting of user data congestion analytics.

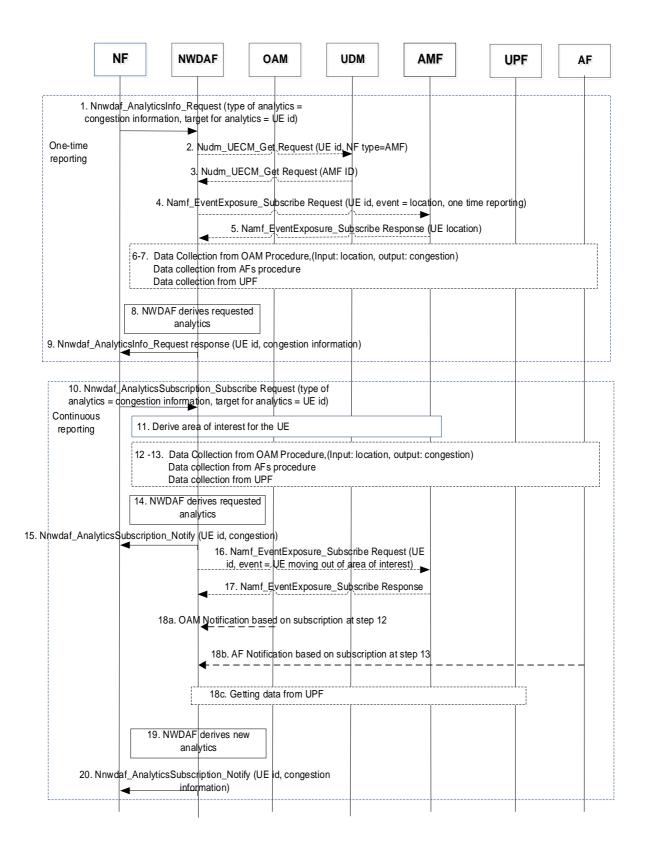


Figure 6.8.4.2-1: Procedure for one-time or continuous reporting of analytics for congestion for a specific UE

For one-time reporting:

1. The NF sends Nnwdaf\_AnalyticsInfo\_Request to NWDAF, requesting for analytics for user data congestion for a specific UE id. The NF can request statistics or predictions or both. The type of analytics is set to user data

- congestion analytics for transfer over user plane, control plane, or both, the Target of Analytics Reporting is set to UE id.
- 2-5. The NWDAF may already know the UE location. If not, the NWDAF checks the UE location by first retrieving the AMF serving the UE (steps 2-3) and then by interrogating the AMF about the UE location.
- NOTE 1: The NF sends a request for a UE that is registered, so that NWDAF can retrieve the UE location.
- 6-7. The NWDAF requests measurement information for the UE location from OAM services (as captured in 6.2.3.2). These steps are omitted if the NWDAF already has the information. The NWDAF may obtain measurements by invoking management services that are defined in TS 28.532 [6] and TS 28.550 [7].

If the request is to provide the list of applications that contribute the most to the traffic, then the NWDAF collects the input data from the UPF serving the UE location, or from the AF(s) by invoking Naf\_EventExposure\_Subscribe service or Nnef\_EventExposure\_Subscribe (if via NEF) or collects input data from both, AF and UPF. The input data is defined in Table 6.8.2-2.

- 8. The NWDAF derives requested analytics.
- 9. The NWDAF provides the analytics for congestion to the NF.

For continuous reporting:

- 10. The NF sends Nnwdaf\_AnalyticsSubscription\_Subscribe Request to the NWDAF. The NF can request for statistics or for predictions or for both. The type of analytics is set to user data congestion analytics for transfer over user plane, control plane, or both.
- 11. The NWDAF determines the UE location, either via internal information or by applying the same steps as steps 2 to 5. The NWDAF then determines an area of interest.
- 12-13. The NWDAF subscribes to OAM services (as captured in 6.2.3.2) to get the measurement information for the UE location, possibly providing measurement thresholds. The NWDAF may obtain measurements by invoking management services that are defined in TS 28.532 [6] and TS 28.550 [7].

If the request is to provide the list of applications that contribute the most to the traffic, then the NWDAF collects input data from the UPF serving the UE location or from the AF(s) by invoking Naf\_EventExposure\_Subscribe service or Nnef\_EventExposure\_Subscribe (if via NEF) or collects from both, AF and UPF. The input data is defined in Table 6.8.2-2.

- 14. The NWDAF derives requested analytics.
- 15. The NWDAF provides the analytics for user data congestion status information to the NF.
- 16-17. The NWDAF subscribes to UE mobility event notification in order to be informed when the UE moves out of the area of interest (in order to define a new area of interest and request new information to OAM if the UE moves to a different area).
- 18a. A change of user data congestion status corresponding to crossing a threshold set by the NWDAF is detected by OAM and notified to NWDAF.
- 18b. The AF notifies the NWDAF with the input data as defined in table 6.8.2-2.
- 18c. The UPF provides the NWDAF with the input data as defined in table 6.8.2-2.
- NOTE 2: How the data from UPF is retrieved (subscribed to on UPF and notified then by UPF) is defined in clause 5.8.2.17 of TS 23.501 [2] and in clause 4.15.4 of TS 23.502 [3].
- 19. The NWDAF derives new analytics.
- 20. The NWDAF provides a notification for analytics for the user data congestion status information to the NF.

## 6.9 QoS Sustainability Analytics

#### 6.9.1 General

The consumer of QoS Sustainability analytics may request the NWDAF analytics information regarding the QoS change statistics for an Analytics target period in the past in a certain area or the likelihood of a QoS change for an Analytics target period in the future in a certain area. The consumer can request either to subscribe to notifications (i.e. a Subscribe-Notify model) or to a single notification (i.e. a Request-Response model).

The service consumer may be a NF (e.g. AF).

The request includes the following parameters:

- Analytics ID = "QoS Sustainability";
- Target of Analytics Reporting: any UE;
- Analytics Filter Information:
  - QoS requirements (mandatory):
    - 5QI (standardized or pre-configured) and applicable additional QoS parameters and the corresponding values (conditional, i.e. it is needed for GBR 5QIs to know the GFBR); or
    - the QoS Characteristics attributes including Resource Type, PDB, PER and their values;
  - Location information (mandatory): an Area Of Interest or a path of interest. The location information could reflect a list of waypoints:
    - if the location information is an Area Of Interest, the area can be either described in a coarse granularity as list of TAIs or Cell IDs, or in a fine granularity as geographical area (that can be smaller than a cell), or both (coarse and fine granularity); if both granularities are provided, the NWDAF understands that the area of interest is the intersection between the fine granularity location and the list of TAIs or Cell IDs.
    - if the location information is a path of interest, the area can be either described in a coarse granularity as list of TAIs or Cell IDs, or in a fine granularity as a list of waypoints (expressed as longitude and latitude in geographical coordinates) and combined with a radius value, or both (coarse and fine granularity); if both granularities are provided, the NWDAF understands that the path of interest is the intersection between the fine granularity location and the list of TAIs or Cell IDs.
    - Threshold linear distance: The distance travelled by the UE before reporting subsequent location as described in TS 23.273 [39].
- NOTE 1: Threshold linear distance is used by the NWDAF when requesting location of the UE from the GMLC using LCS.
- NOTE 2: In this Release, the consumer of the "QoS Sustainability" Analytics ID will provide location information in the area of interest format (TAIs or Cell IDs or geographical area) which is understandable by NWDAF.
- NOTE 3: When location information is described as an area of interest in a fine granularity (i.e. as geographical area that can be smaller than a cell) the Cell ID(s) can already be determined by the NEF based on its local configuration and provided to the NWDAF in addition. This addresses the scenario when Cell ID(s) cannot be determined by the NWDAF based on its local configuration. Alternatively, the NWDAF can also be configured with the Cell ID(s) corresponding to an area of interest in a fine granularity.
  - S-NSSAI (optional);
- Optional maximum number of objects;
- Optional UE Device and Context Information: which may contain one or more of the following:
  - Speed range, which is a range of UE speeds for which analytics is requested, where the speed range is indicated as a range of Velocity Estimate, as in clause 6.1.6.2.17 of TS 29.572 [37];

- Device information, which may contain one of the following:
  - List of equipment types, according to clause 8.0 of GSMA TS.06 [38].
- Analytics target period: relative time interval, either in the past or in the future, that indicates the time period for which the QoS Sustainability analytics is requested;
- Optionally, Spatial granularity size and Temporal granularity size;
- Reporting Threshold(s), which apply only for subscriptions and indicate conditions on the level to be reached for the reporting of the analytics, i.e. to discretize the output analytics and to trigger the notification when the threshold(s) provided in the analytics subscription are crossed by the expected QoS KPIs.
  - A matching direction may be provided such as crossed (default value), below, or above.
  - An acceptable deviation from the threshold level in the non-critical direction (i.e. in which the QoS is improving) may be set to limit the amount of signalling.

The level(s) relate to value(s) of the QoS KPIs defined in TS 28.554 [10], for the relevant 5QI:

- for a 5QI of GBR resource type, the Reporting Threshold(s) refer to the QoS flow Retainability KPI;
- for a 5QI of non-GBR resource type, the Reporting Threshold(s) refer to the RAN UE Throughput KPI and/or delay in RAN KPI as defined in TS 28.554 [10].
- In a subscription, the Notification Correlation Id and the Notification Target Address.

To derive the QoS Sustainability analytics when the location information is an area of interest with coarse granularity (i.e. TAIs or Cell IDs):

- The NWDAF collects the corresponding statistics information on the QoS KPI for the relevant 5QI of interests from the OAM, i.e. the QoS flow Retainability or the RAN UE Throughput or delay in RAN as defined in TS 28.554 [10] and average GTP metrics as defined in TS 28.552 [8].

To derive the QoS Sustainability analytics when the location information is an area of interest with fine granularity (i.e. geographical area that can be smaller than a cell):

- The NWDAF derives the UE list for the area of interest with fine granularity by two steps, firstly based on an initial selection of the UE list in the corresponding coarse area from AMF, secondly based on finer granularity location data using LCS as described in clause 6.2.12, e.g. NWDAF can collect finer granularity location data of a UE using LCS and identify whether this UE is inside of the area of interest with fine granularity area, input data as defined in Table 6.9.2-2.
- The NWDAF can then collect the corresponding UE level information on the QoS KPI for the relevant 5QI of interests from the 5GC NF/OAM, i.e. input data as defined in Table 6.9.2-2.
- NWDAF derives QoS sustainability statistics or predictions for the area of interest with fine granularity by averaging these input data for all the UEs that are in the UE list.

To improve QoS Sustainability analytics, the NWDAF may additionally collect GTP metrics defined in Table 6.9.2-3.

If the Analytics target period refers to the past:

- The NWDAF verifies whether the triggering conditions for the notification of QoS change statistics are met and if so, generates for the consumer one or more notifications.
- The analytics feedback contains the information on the location and the time for the QoS change statistics and the Reporting Threshold(s) that were crossed.

If the Analytics target period is in the future:

- The NWDAF detects the need for notification about a potential QoS change based on comparing the expected values for the KPI of the target 5QI against the Reporting Threshold(s) provided by the consumer in any cell in the requested area for the requested Analytics target period. The expected KPI values are derived from the statistics for the 5QI obtained from OAM. OAM information may also include planned or unplanned outages detection and other information that is not in scope for 3GPP to discuss in detail.

- The analytics feedback contains the information on the location and the time when a potential QoS change may occur and what Reporting Threshold(s) may be crossed.

## 6.9.2 Input data

To derive the QoS Sustainability analytics for a path of interest or for an area of interest with coarse granularity (i.e. TAIs or Cell IDs), the input data is listed in Table 6.9.2-1.

Table 6.9.2-1: Data collection for "QoS Sustainability" analytics

| Information            | Source         | Description                            |
|------------------------|----------------|--|
| RAN UE Throughput      | OAM            | Average UE bitrate in the cell         |
|                        | TS 28.554 [10] | (Payload data volume on RLC level      |
|                        |                | per elapsed time unit on the air       |
|                        |                | interface, for transfers restricted by |
|                        |                | the air interface), per timeslot, per  |
|                        |                | cell, per 5QI and per S-NSSAI.         |
| QoS flow Retainability | OAM            | Number of abnormally released QoS      |
|                        | TS 28.554 [10] | flows during the time the QoS Flows    |
|                        |                | were used per timeslot, per cell, per  |
|                        |                | 5QI and per S-NSSAI.                   |
| Delay in RAN           | OAM            | Average Uplink and downlink packet     |
|                        | TS 28.554 [10] | transmission delay through RAN part    |
|                        |                | to the UE, per timeslot, per cell, per |
|                        |                | 5QI and per S-NSSAI.                   |

NOTE 1: The timeslot is the time interval split according to the time unit of the OAM statistics defined by operator.

To derive the QoS Sustainability analytics for an area of interest with fine granularity (i.e. geographical area that can be smaller than a cell), additional input data is listed in Table 6.9.2-2.

Table 6.9.2-2: UE level data collection for "QoS Sustainability" analytics with fine granularity

| Information  | Source       | Description                          |
|--|--------------|--------------------------------------|
| Timestamp  | LCS (NOTE 1) | A time stamp associated with the     |
|  |              | collected information.               |
| UE ID  | LCS, AMF     | (list of) SUPI(s).                   |
|  | (NOTE 1)     |                                      |
| Finer granularity location data  | LCS (NOTE 1) | UE position in the area of interest. |
| Speed  | LCS (NOTE 1) | Current UE speed.                    |
| SMF info   | AMF          | SMF address for the SMF serving      |
|  |              | the UE                               |
| UPF info   | SMF          | Address for the UPF serving the UE.  |
| 5QI  | SMF          | 5G QoS Identifier.                   |
| PEI  | UDM          | Permanent Equipment Identifier, as   |
|  |              | described in clause 5.9.3 of         |
|  |              | 1 23.501 [2].                        |
| Equipment type   | GSMA IMEI    | Equipment Type such as               |
|  | database or  | smartphone, tablet, dongle, etc. as  |
|  | OAM          | described in GSMA TS.06 [38].        |
| NOTE 1: The procedure to collect location data using LCS is described in clause 6.2.12 |              |                                      |

NOTE 2: How to use the input data from GSMA for QoS Sustainability analytics is up to NWDAF implementation.

Additionally, the NWDAF collects the following input (Table 6.9.2-3) according to measurements defined in clause 5.33.3 QoS Monitoring to Assist URLLC Service of TS 23.501 [2] and IP-layer clause capacity and IP-layer available clause capacity definition from ITU-T Y.1540 [40] between UE, NG-RAN and UPF at GTP level.

Table 6.9.2-3: Data collection for QoS Sustainability analytics at GTP level

| Information  | Source               | Description  |  |
|--|----------------------|--|--|
| UL/DL packet delay GTP   | OAM<br>TS 28.552 [8] | UL/DL packet delay measurement round trip on GTP path on N3 for non-GBR traffic. |  |
|  | (NOTE)               | non est traine.  |  |
| UL/DL capacity GTP   | OAM                  | UL/DL capacity measurement from UPF to NG-RAN based on GTP                       |  |
| between UPF and NG-  | TS 28.552 [8]        | path. The capacity measurement corresponds to the IP-layer                       |  |
| RAN  |                      | section capacity definition from ITU-T Y.1540 [40].                              |  |
| UL/DL capacity GTP   | OAM                  | UL/DL capacity measurement from UE to UPF based on GTP path.                     |  |
| between UPF and UE   | TS 28.552 [8]        | The capacity measurement corresponds to the IP-layer section                     |  |
|  |                      | capacity definition from ITU-T Y.1540 [40].                                      |  |
| UL/DL available capacity   | OAM                  | UL/DL available capacity measurement from UPF to NG-RAN                          |  |
| GTP between UPF and  | TS 28.552 [8]        | based on GTP path. The available capacity measurement                            |  |
| NG-RAN   |                      | corresponds to the IP-layer available section capacity definition                |  |
|  |                      | from ITU-T Y.1540 [40].  |  |
| UL/DL available capacity   | OAM                  | UL/DL available capacity measurement from UE to UPF based on                     |  |
| GTP between UPF and UE   | TS 28.552 [8]        | GTP path. The available capacity measurement corresponds to the                  |  |
|  |                      | IP-layer available section capacity definition from ITU-T Y.1540 [40].           |  |
| NOTE: Refer to clause 5.1 of TS 28.552 [8] for the performance measurement in NG-RAN and clause 5.4 of |                      |  |  |
|  |                      | asurement in UPF. In addition, Annex A of TS 28.552 [8] describes                |  |
|  |                      | especially, clause A.61 "Monitoring of one way delay between PSA                 |  |
| UPF and NG-RAN" indicates that the measurements on the one way DL and UL delay between PSA UPF         |                      |  |  |
|  |                      | and optimize the DL and UL user plane delay performance between                  |  |
| 5GC and NG-RAN   | l.                   |  |  |

## 6.9.3 Output analytics

The NWDAF outputs the QoS Sustainability analytics. Depending on the Analytics target period, the output consists of statistics or predictions. The detailed information provided by the NWDAF is defined in Table 6.9.3-1 for statistics and Table 6.9.3-2 for predictions.

Table 6.9.3-1: "QoS Sustainability" statistics

| Information   | Description   |  |
|---|---|--|
| List of QoS sustainability  |   |  |
| Analytics (1max)  |   |  |
| >Applicable Area (NOTE 1)   | A list of TAIs or Cell IDs or a geographical area in a fine granularity (e.g. smaller than a cell) within the Location information that the analytics applies to. If a Spatial granularity size was provided in the request or subscription, the number of elements of the list is smaller than or equal to the Spatial granularity size. |  |
| >Applicable Time Period   | The time period within the Analytics target period that the analytics applies to. If a Temporal granularity size was provided in the request or subscription, the duration of the Applicable Time Period is greater than or equal to the Temporal granularity size.   |  |
| >Crossed Reporting  | The Reporting Threshold(s) that are met or exceeded or crossed by the statistics value  |  |
| Threshold(s)  | or the expected value of the QoS KPI.   |  |
| NOTE 1: The Applicable Area may be described as geographical area in a fine granularity (e.g. smaller than a cell) within the Location information when the location information is an area of interest with finer granularity or a |   |  |
| path of interest expressed with a list of waypoints and a radius value. How to determine the geographical area is up to NWDAF implementation.   |   |  |

| Information                | Description   |
|----------------------------|---|
| List of QoS sustainability |   |
| Analytics (1max)           |   |
| >Applicable Area (NOTE 1)  | A list of TAIs or Cell IDs or a geographical area in a fine granularity (e.g. smaller than a cell) within the Location information that the analytics applies to. If a Spatial granularity size was provided in the request or subscription, the number of elements of the list is smaller than or equal to the Spatial granularity size. |
| >Applicable Time Period    | The time period within the Analytics target period that the analytics applies to. If a Temporal granularity size was provided in the request or subscription, the duration of the Applicable Time Period is greater than or equal to the Temporal granularity size  |

The Reporting Threshold(s) that are met or exceeded or crossed by the statistics value

Table 6.9.3-2: "QoS Sustainability" predictions

Confidence
 Confidence of the prediction.
 NOTE 1: The Applicable Area may be described as geographical area in a fine granularity (e.g. smaller than a cell) within the Location information when the location information is an area of interest with finer granularity or a path of interest expressed with a list of waypoints and a radius value. How to determine the geographical area is up to NWDAF implementation.

or the expected value of the QoS KPI.

- NOTE 1: The meaning of Confidence is based on the SLA, i.e. the consumer has to understand the meaning of the different values of Confidence.
- NOTE 2: The Analytics can contain multiple sets of the above information if the location information reflected a list of waypoints.

The number of QoS sustainability analytics entries is limited by the maximum number of objects provided as part of Analytics Reporting Information.

### 6.9.4 Procedures

>Crossed Reporting

Threshold(s)

### 6.9.4.1 Procedure for Qos Sustainability in a coarse granularity area

Figure 6.9.4.1-1 depicts a procedure for "QoS Sustainability" analytics in a coarse granularity area provided by NWDAF.

The NWDAF shall not activate the measurements for QoS Monitoring. If QoS Monitoring is already activated, the measurements will be triggered according to QoS Monitoring procedures (see clause 5.33.3 of TS 23.501 [2]).

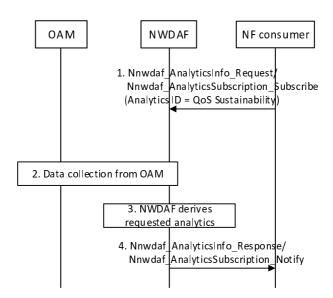


Figure 6.9.4.1-1: "QoS Sustainability" analytics provided by NWDAF in a coarse granularity area

- 1. The consumer requests or subscribes to analytics information on "QoS Sustainability" provided by NWDAF. The parameters included in the request are described in clause 6.9.1.
  - The consumer may include multiple sets of parameters in order to provide different combinations of "Location information" and "Analytics target period" when requesting QoS Sustainability analytics.
- 2. The NWDAF collects the data specified in clause 6.9.2 from the OAM, following the procedure captured in clause 6.2.3.2.
- 3. The NWDAF verifies whether the triggering conditions are met and derives the requested analytics. The NWDAF can detect the need for notification based on comparing the requested analytics of the target 5QI against the Reporting Threshold(s) provided by consumer in any cell over the requested Analytics target period.
- 4. The NWDAF provides response or notification on "QoS Sustainability" to the consumer.

### 6.9.4.2 Procedure for QoS Sustainability in a fine granularity area

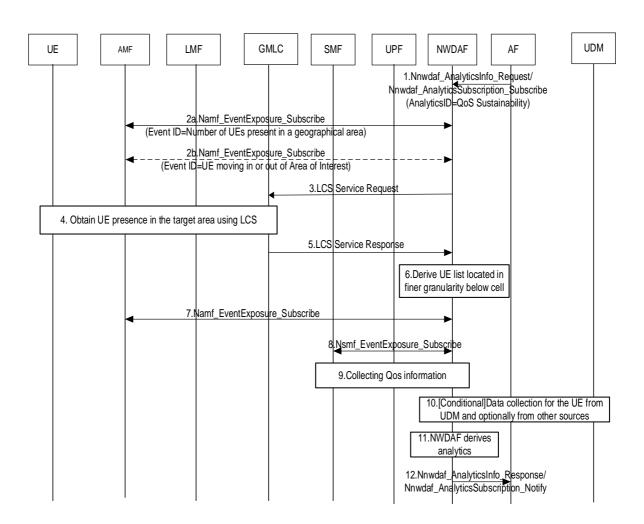


Figure 6.9.4.2-1: Procedure for "QoS Sustainability" analytics in a fine granularity area

1. The NF consumer requests or subscribes to analytics information on "QoS Sustainability" provided by the NWDAF. The parameters included in the request are defined in clause 6.9.1 of TS 23.288 [5]. The NF can request statistics or predictions or both.

The consumer provides the "Location information" as defined in clause 6.9.1 when requesting QoS Sustainability analytics. If the AF doesn't provide TAIs or Cell IDs, the NWDAF as an 5GC internal NF needs to determine which cells are related to the fine granularity area.

The consumer may optionally provide UE Context or Subscription information such as one or more of the following: device speed or speed range, IMEI or IMEISV or TAC range, equipment type.

- 2a. If the request is authorized and in order to provide the requested analytics, the NWDAF decides the AMF(s) based on the TAIs/Cell IDs and obtains the UE list in the TAs/Cells from AMF by invoking Namf\_EventExposure\_Subscribe service operation using event ID "Number of UEs present in a geographical area" as described in TS 23.502 [3].
- 2b. The NWDAF invokes Namf\_EventExposure\_Subscribe service operation to get the update of the UE list using event ID "UE moving in or out of Area of Interest" as described in TS 23.502 [3].
- 3. The NWDAF obtains location information using LCS by initiating the LCS Service Request to the GMLC to get the location and optionally the speed of UEs from UE list provided by the AMF in step 2.
- 4. The GMLC initiates the UE location service procedure and gets the location of the UEs as described in TS 23.273 [39].
- 5. The GMLC provides location information for each UE in the UE list to the NWDAF.
- 6. From the list of UE locations returned by the GMLC, the NWDAF identifies the UEs located in fine granularity area by comparing the UEs' locations to the fine granularity area, provided in step 1.
- 7. The NWDAF invokes Namf\_EventExposure\_Subscribe service operation to get the serving SMF for the UE.
- 8. Based on the serving SMF in step 7, the NWDAF invokes Nsmf\_EventExposure\_Subscribe service operation to get the UPF information and 5QI for the UE.
- 9. The NWDAF may collect QoS information either from the UPF directly, or subscribe to the UPF via the SMF. The QoS information may include the bandwidth, packet delay for the UE and the information on the serving UPF node id.
- NOTE 1: How the data from UPF is retrieved (subscribed to on UPF and notified then by UPF) is defined in clause 5.8.2.17 of 23.501 [2] and in clause 4.15.4 of TS 23.502 [3].
- 10. Optionally, the NWDAF may collect additional information for the UE Context or UE Subscription from the UDM such as PEI (if available). PEI may be used to retrieve, from GSMA database, additional information such as IMEI or IMEISV or TAC range, equipment type. Such additional information may be used by NWDAF to add more information to the collected measurements and filter those measurements that are applicable to the UE Device and Context Information for which analytics are requested by the service consumer.
- 11. The NWDAF verifies whether the triggering conditions are met and derives the requested analytics. The NWDAF can detect the need for notification based on comparing the requested analytics of the target 5QI against the Reporting Threshold(s) provided by the consumer in any cell over the requested Analytics target period.
- 12. The NWDAF provides the response or notification on "QoS Sustainability" to the NF consumer.
- NOTE 2: NWDAF may decide to ignore some of the filters if collected measurements are not sufficient to derive meaningful analytics.
- NOTE 3: In order to reduce the amount of information collected per measurement point, the additional information from UDM may only be collected for the events of GBR unfulfillment. In this way the additional analytics filter information may only be supported for 5QI of resource type GBR and for events of GBR unfulfillment.

## 6.10 Dispersion Analytics

### 6.10.1 General

Dispersion analytics identifies the location (i.e. areas of interest, TAs, cells) or network slice(s) where a UE, or a group of UEs, or any UE disperse most (if not all) of their data volume and sessions transactions (i.e. MM and SM messages).

The NWDAF shall be able to provide dispersion statistics or predictions and shall be able to collect UE dispersion related information from NFs.

Dispersion is the percentage of activity that a UE, or group of UEs, or any UE, generates at a location or a slice during a period of interest. This enables the operator to rank hot locations or slices by the various activities and to identify the top contributors (i.e. UEs) for that activity. When heavy UEs at a particular location or slice are detected/predicted and reported to a policy entity, their QoS attributes, or access to an area of interest or a slice can then be limited.

- Data volume dispersion The percentage of data traffic volume that a UE, or a group of UEs, or any UE, generated at a location or in a slice during the period of interest.
- Transactions dispersion The percentage of MM and SM messages that a UE, or a group of UEs, or any UE, generated at a location or in a slice during the period of interest.

The operator may classify and assign one of the three mobility classes per dispersion characteristics for a UE or a group of UEs, or any UE as fixed, camper or traveller. In addition, the operator may classify a UE as a Top-Heavy UE in case its dispersion percentile rating, at a location or a slice, is higher than a threshold value defined by the operator (e.g. 0.9 percentile), see percentile ranking in the output tables, clause 6.10.3. The classification is based on either Data volume dispersion or Transactions dispersion.

- Data-Classification fixed, camper, traveller data-classification per thresholds assigned by the operator.
- EXAMPLE: When a UE disperses, during the period of observation, most (threshold=95%) of its data at a location or a slice, the dispersion data-classification of the UE at that location or slice is "fixed".
- Transaction-Classification fixed, camper, traveller transactions-classifications per thresholds assigned by the operator.
- EXAMPLE: When a UE disperses, during the period of observation, (threshold=40%) of its session transactions at a location or a slice, the dispersion transaction-classification of the UE is camper at that location or slice.

Based on the dispersion analytics, the analytics consumer can determine that a data volume or transactions hot spot is formed when the volume of data or amount of transactions dispersed by most of the UEs at the area of interest or slice exceeds a certain data or transaction threshold established through trend analysis or operator policy.

The consumer of dispersion analytics may indicate in its request:

- Analytics ID = "Dispersion Analytics";
- Target of Analytics Reporting as defined in clause 6.1.3. "Any UE" is only supported in combination with Analytics Filter Information (S-NSSAI, Area(s) of Interest, Top-Heavy UEs indication, Fixed indication, Camper indication or Traveller indication) and Dispersion Analytics type "Data Volume Dispersion Analytics" and/or "Transaction Dispersion Analytics";
- Analytics Filter Information:
  - optional list of TAs, Areas of Interest, Cells, or S-NSSAIs, Application IDs, Top-Heavy UEs, Fixed UEs, Camper UEs, Dispersion Analytics types (Data Volume Dispersion Analytics (DVDA) or Transactions Dispersion Analytics (TDA) or both); and
  - optional list of analytics subsets that are requested (see clause 6.10.3);
- Preferred level of accuracy of the analytics;
- Preferred level of accuracy per analytics subset (see clause 6.10.3);
- Preferred order of results for the list of UE Dispersion Analytics information:
  - ordering criterion:
    - For DDA, one of the following: "time slot start", "data dispersion", "data classification", "data ranking", or "data percentile ranking";
    - For TDA, one of the following: "time slot start", "transaction dispersion", "transaction classification", "transaction ranking", or "transaction percentile ranking"; and

- order: ascending or descending;
- Analytics target period indicating the time period over which the statistics or predictions are requested;
- Optionally, maximum number of objects and maximum number of SUPIs;
- Optionally, preferred granularity of location information: TA level or cell level;
- Optionally, Spatial granularity size and Temporal granularity size; and
- In a subscription, the Notification Correlation Id and the Notification Target Address are included.
- NOTE 1: If one or multiple ID(s) are included in the filter information, the output analytics will be reported per Application ID, otherwise the output analytics is aggregated information per AOI or slice independent of the applications.
- NOTE 2: Care needs to be taken with regards to load when requesting analytics for "Any UE". This could be achieved by utilizing event filters (e.g. Area of Interest for AMF) and possible analytics filters including Top-Heavy UEs and/or Fixed and/or Camper UEs and/or Analytics Reporting Information (e.g. SUPImax), or sampling ratio as part of Event Reporting Information. The load of analytics for "Any UE" can be alleviated by limiting the number of S-NSSAI in the analytics filter.

## 6.10.2 Input Data

The NWDAF shall be able to collect UE dispersion information from NF(s) and AFs. The information collected by the NWDAF is network data from 5GC NFs and service data from AFs:

- Data related to UE transactions dispersion and bound by location collected from AMF and SMF are defined in the tables 6.10.2-1 and 6.10.2-2. This may include individual transactions or SMF/AMF state transition information stored as UE behaviour trends, which provides aggregated transaction information.

Table 6.10.2-1: Location based UE transactions dispersion information collected from serving AMF

| AMF<br>AMF  | SUPI. Terminal model and vendor information of the UE. |  |
|---|--|--|
|   |  |  |
|   | information of the LIE                                 |  |
|   | inionnation of the OE.                                 |  |
| AMF   | UE locations.  |  |
| AMF   | Area of Interest (TA or cells that the                 |  |
|   | UE had entered).                                       |  |
| AMF   | Time stamp when the AMF detected                       |  |
|   | that the UE had entered this                           |  |
|   | location.  |  |
| AMF   | Either all transactions for this UE in                 |  |
|   | this location or, optionally, if                       |  |
|   | subscription with periodic notification                |  |
|   | is requested, the amount of UE                         |  |
|   | transactions exchanged at the                          |  |
|   | location in the notified time period.                  |  |
| AMF   | Metrics on UE state transitions (e.g.                  |  |
|   | access, RM and CM states,                              |  |
|   | handover).   |  |
| AMF   | Metrics on UE locations.                               |  |
| NOTE: UE location provides one location per instance while UE location trend provides |  |  |
|   | AMF  AMF   |  |

Table 6.10.2-2: UE transactions dispersion information collected from serving SMF

| Information                   | Source | Description  |
|-------------------------------|--------|--|
| UE IP address                 | SMF    | UE IP address.   |
| UE ID                         | SMF    | SUPI.  |
| > Timestamp                   | SMF    | Time stamp of the collected information.   |
| > Transactions                | SMF    | Either all transaction for this UE or, optionally, if subscription with periodic notification is requested, the amount of UE transactions exchanged in the notified time period. |
| > UE session behaviour trends | SMF    | Metrics on UE state transitions (e.g. PDU Session Establishment, PDU Session Release).   |

Data volume can be collected from the AF per UE across all the UE applications provided by a particular AF or per UE per application when application IDs are requested.

Data related to UE data volume dispersion, bound by a location or slice, collected from the AF and UPF are defined in tables 6.10.2-3 through 6.10.2-6.

If the Target of Analytics Reporting is set to an Internal Group ID and the AF is located in the untrusted domain, NEF translates it into an External Group ID.

NOTE 1: It is assumed that the AF is provisioned with the list of UE IDs (GPSIs or SUPIs) belonging to an External or Internal Group ID.

- In table 6.10.2-3, the data volume is collected per UE from the AF. The collected UE information is applicable across all the applications used by the UE during the period.

Table 6.10.2-3: UE Data volume dispersion information collected from the AF

| Information       | Source | Description   |
|-------------------|--------|---|
| UE ID             | AF     | Internal or External UE ID (i.e. SUPI or GPSI, respectively).               |
| Timestamp         | AF     | Time stamp of the collected information.                                    |
| Data Volume UL/DL | AF     | Sum of UE data volume exchanged at the AF during the period of observation. |

- In table 6.10.2-4, the AF reports data volume per UE per application in relation to the start and stop of the application as indicated by the application duration.

Table 6.10.2-4: UE data volume dispersion collected from the AF

| Information  | Source | Description                              |
|--|--------|--|
| UE IP address  | AF     | UE IP address.                           |
| IP 5-tuple   | AF     | IP address 5-tuple.                      |
| Timestamp  | AF     | Timestamp of the collected               |
|  |        | information.                             |
| Application ID   | AF     | Identifier of the application at the AF. |
| Location of Application  | AF/NEF | Location of application represented      |
|  |        | by a list of DNAIs. The NEF may          |
|  |        | map the AF-Service identifier            |
|  |        | information to a list of DNAIs when      |
|  |        | the DNAIs being used by the              |
|  |        | application are statically defined.      |
| Data Volume UL/DL  | AF     | Sum of UE data volume exchanged          |
|  |        | per application during the period.       |
| Application duration   | AF     | Duration for the application (e.g.       |
|  |        | Voice talk time).                        |
| NOTE 1: Application ID and IP 5-tuple are mutually exclusive.                          |        |  |
| NOTE 2: Multiple outputs are provided by the AF when multiple applications are running |        |  |
| at the AF for the same UE and time period.   |        |  |

Data volume can also be collected from the UPF per UE IP address across all applications or per UE for specific application(s).

In table 6.10.2-5, the data volume is collected per UE from the UPF. The collected UE information is applicable across all applications used by the UE between start and stop of the PDU session. The UPF reports volume per UE IP address across all applications.

Table 6.10.2-5: UE data volume dispersion collected from serving UPF

| Information   | Source | Description                     |  |
|---|--------|---------------------------------|--|
| UE IP address   | UPF    | UE IP address.                  |  |
| Timestamp   | UPF    | Time stamp of the collected     |  |
|   |        | information.                    |  |
| Data Volume UL/DL   | UPF    | Sum of UE data volume exchanged |  |
|   |        | per UE across all applications. |  |
| NOTE: The Data volume can be  |        |                                 |  |
| periodically. It refers to the Data volume exchanged between the start and stop   |        |                                 |  |
| of the PDU session. When reported periodically, the period can be specified in    |        |                                 |  |
| the requested analytic target period or configured as a default value in the UPF. |        |                                 |  |

In table 6.10.2-6, the UPF reports data volume per UE for specific application(s) in relation to the start and stop of the application as indicated by the application duration.

There are two modes of data collection:

- Non periodical: A mode where the data volume is requested and consequently provided for the total volume of a PDU session.
- Periodical: A mode where data volume is provided periodically between the start and stop of a PDU session. The period can be specified in the requested analytic target period or configured as a default value in the UPF.

For both modes of data collection, if there are multiple application sessions for the UE PDU session, the NWDAF aggregates (i.e. sums up) the data volume across all applications to obtain per UE information.

Table 6.10.2-6: UE data volume dispersion collected from serving UPF

| Information  | Source | Description  |
|--|--------|--|
| UE IP address  | UPF    | UE IP address.   |
| Timestamp  | UPF    | A timestamp of the collected information.                          |
| Application ID   | UPF    | Identify the application at the UPF                                |
| IP 5-tuple   | UPF    | IP 5-tuple.  |
| Location of Application                                      | UPF    | List of Internet applications represented by DNAI(s).              |
| Data Volume UL/DL  | UPF    | Sum of UE data volume exchanged per application during the period. |
| Application duration   | UPF    | Duration for the application (e.g. Voice talk time).               |
| NOTE 1. Application ID and ID 5 tuple are mutually evaluable |        |  |

NOTE 1: Application ID and IP 5-tuple are mutually exclusive.

NOTE 2: Multiple outputs are provided by the UPF when multiple applications are running at the UPF for the same UE and time period.

NOTE 3: The Data volume can be reported either as total volume of the PDU session or periodically. It refers to the Data volume exchanged between the start and stop of the PDU session. When reported periodically, the period can be specified in the requested analytic target period or configured as a default value in the UPF.

The task of the NWDAF is to calculate the volume of data and amount of transactions dispersed by the UE at each visited location during a period of interest. The entry time and exit time to a location is provided by the AMF.

Collecting volume per UE and per specific application is optional and such information can be provided by the UPF or AF

The Correlation Information as defined in table 6.2.4-1 is used to correlate the input data from AMF and SMF, the input data from SMF and UPF and the input data from UPF and AF.

- Slice information related to UE transactions dispersion from NF(s) are defined in tables 6.10.2-7 and 6.10.2-8. This may include individual transactions or SMF/AMF state transition information stored as UE behaviour trends, which provides aggregated transaction information.
- The Slice data volume collection is the same as listed in tables 6.10.2-3 to 6.10.2-6.

Table 6.10.2-7: Slice based UE transactions dispersion information collected from serving AMF

| Information   | Source  | Description                             |  |
|---|---|---|--|
| UE ID   | AMF   | SUPI.                                   |  |
| Type Allocation Code  | AMF   | Terminal model and vendor               |  |
|   |   | information of the UE.                  |  |
| Slice (1max)  | AMF   | UE assigned slice.                      |  |
| >S-NSSAI  | AMF   | Identifier of the slice.                |  |
| >Timestamp  | AMF   | Time stamp when the slice was           |  |
|   |   | assigned.                               |  |
| >Transactions (NOTE)  | AMF   | Either all transactions for this UE or, |  |
|   |   | optionally, if subscription with        |  |
|   |   | periodic notification is requested, an  |  |
|   |   | amount of UE transactions               |  |
|   |   | exchanged at the slice in the notified  |  |
|   |   | time period.                            |  |
| UE access behaviour trends  | AMF   | Metrics on UE state transitions (e.g.   |  |
|   |   | access, RM and CM states,               |  |
|   |   | handover).                              |  |
| NOTE: When a UE moves to a new AMF during Analytics target period, timestamps for |   |   |  |
| assigned slices of the UE are set to the date and time of the new AMF. The        |   |   |  |
| NWDAF shall aggregate (sum up) the amount of slice transactions, for the          |   |   |  |
| requested Analytics tard  | requested Analytics target period, across the AMFs traversed by the UE. |   |  |

Table 6.10.2-8: Slice based UE transactions dispersion information collected from serving SMF

| Information                 | Source | Description   |
|-----------------------------|--------|---|
| UE IP                       | SMF    | UE IP address.  |
| UE ID                       | SMF    | SUPI.   |
| Slice (1max)                | SMF    | UE assigned slice.  |
| >S-NSSAI                    | SMF    | Identifier of the slice.  |
| >Timestamp                  | SMF    | Time stamp associated with the collected information.   |
| >Application ID             | SMF    | Identifier of the application for the UE in the slice.  |
| >Transactions               | SMF    | Either all transactions for this UE or, optionally, if subscription with periodic notification is requested, an amount of UE transactions exchanged at the slice in the notified time period. |
| UE session behaviour trends | SMF    | Metrics on UE state transitions (e.g. PDU Session Establishment, PDU Session Release).  |

The task of the NWDAF is to calculate the volume of data and amount of transactions dispersed by the UE at each slice during a period of interest. A time stamp of a slice assignment is provided by the AMF.

The Correlation Information as defined in table 6.2.4-1 is used to correlate the input data from AMF and SMF, the input data from SMF and UPF and the input data from UPF and AF.

Data collection may be provided on samples (e.g. spatial subsets of UEs or UE group, temporal subsets of UE location information).

- NOTE 2: How the data from UPF is retrieved (subscribed to on UPF and notified then by UPF) is defined in clause 5.8.2.17 of TS 23.501 [2] and in clause 4.15.4 of TS 23.502 [3].
- NOTE 3: The list of monitored transactions (MM and SM messages) is a subset of the messages listed in clauses 8.2 and 8.3 of TS 24.501 [23].
- NOTE 4: The Namf\_EventExposure and Nsmf\_EventExposure services in clauses 5.2.2.3 and 5.2.8.3 of TS 23.502 [3] provide the required transactions information.

### 6.10.3 Output Analytics

### 6.10.3.0 General

The NWDAF provides UE dispersion analytics, which can be statistics and/or prediction for data volume and/or transactions dispersions, to consumer NFs or AFs.

### 6.10.3.1 Data Volume Dispersion Analytics

The data volume dispersion analytics results provided by the NWDAF can be for a UE or group of UEs or "any UE" data volume dispersion statistics as defined in table 6.10.3.1-1 and/or UE data volume dispersion predictions as defined in table 6.10.3.1-2. If the analytics covers multiple UEs, the Data dispersed, Data ranking and Data percentile ranking parameters are calculated as the aggregated (i.e. summed up) data volume. When the Target of Analytics Reporting is set as "any UE" the data volume dispersion analytics results provided by the NWDAF can be for all UEs data volume dispersion statistics at a location and/or the slice and/or data volume dispersion predictions at a location and/or the slice.

Table 6.10.3.1-1: Data volume dispersion statistics bound by location

| Information  | Description  |
|--|--|
| UE group ID or UE ID or list of UE IDs (1SUPImax)  | Identifies the UE(s) for which the statistic applies by a list of SUPIs, or a group of UEs by a list of Internal-Group-Ids (see NOTE 1).   |
| Time slot entry (1max)                             | List of time slots during the Analytics target period.   |
| > Time slot start                                  | Time slot start within the Analytics target period.  |
| > Duration   | Duration of the time slot. If a Temporal granularity size was provided in the request or subscription, the Duration is greater than or equal to the Temporal granularity size.                                       |
| > UE location (1max)                               | Observed location statistics.  |
| >> UE location                                     | TA or cells where the UE or UE group or list of UEs dispersed its data (see NOTE 3).   |
| >> Application ID (0max) (NOTE 7)                  | To identify the application (NOTE 6).  |
| >>> Data volume dispersed (NOTE 4)                 | Data volume dispersed at this location.  |
| >> Data mobility classification (NOTE 4)           | Data mobility classification of the UE for this location: fixed, camper, traveller (see NOTE 5).   |
| >> Data usage ranking (NOTE 4) (NOTE 7)            | Ranking of UE data usage at this location (See NOTE 2).  |
| >> Data usage percentile ranking (NOTE 4) (NOTE 7) | Percentile ranking of the UE or UE group in the Cumulative Distribution Function of data usage for the population of all UEs served at the location.   |
| >> Ratio   | Percentage of UEs in the group at the location and observation time (in the case of UE group).   |
| included. When Targe included. When Targe          | ics Reporting is an individual UE, a single UE ID (i.e. SUPI) will be t of Analytics Reporting is a UE group ID, an internal group ID will be t of Analytics Reporting is a UE group ID or "Any UE" and a filter for |

- NOTE 1: When Target of Analytics Reporting is an individual UE, a single UE ID (i.e. SUPI) will be included. When Target of Analytics Reporting is a UE group ID, an internal group ID will be included. When Target of Analytics Reporting is a UE group ID or "Any UE" and a filter for Top-Heavy UEs, fixed, camper or traveller is included, the NWDAF will include the list of UEs matching the filter. This information element is not present when Target of Analytic Reporting is "Any UE" and no filter for Top-Heavy UEs, fixed, camper or traveller is included. The NWDAF will provide the dispersion analytics result of one or a list of time slots to the service consumer(s) for the UE, group of UEs or list of UEs.
- NOTE 2: UE is ranked high (i.e. 1), medium (2) and low (3) when its data dispersed, during the period of observation at the location, is higher than N1% or at the range of N1% to N2% or less than N2%, respectively. The percentage values of N1 and N2 are subject to operator's configuration.
- NOTE 3: When possible and applicable to the access type, UE location is provided according to the preferred granularity of location information and Spatial granularity size.
- NOTE 4: This information element is an analytics subset that can be used in "list of analytics subsets that are requested" and "preferred level of accuracy per analytics subset".
- NOTE 5: This parameter is only provided for Target of Analytics Reporting set to single UE.
- NOTE 6: When Application ID is not included in the input, cardinality is zero and data volume dispersed is provided per UE location and applies across all the applications in that location.
- NOTE 7: This parameter is not provided for Target of Analytics Reporting set to "Any UE".

Table 6.10.3.1-2: Data volume dispersion prediction bound by location

|   | Information   | Description   |  |
|---|---|---|--|
|   | ID or UE ID or list of  | Identifies the UE(s) for which the prediction applies by a list of                      |  |
| UE IDs (1   | SUPImax)  | SUPIs, or a group of UEs by a list of Internal-Group-Ids (see                           |  |
|   |   | NOTE 1).  |  |
|   | entry (1max)  | List of time slots during the Analytics target period.                                  |  |
| > Time s  |   | Time slot start within the Analytics target period.                                     |  |
| > Duration  | on  | Duration of the time slot. If a Temporal granularity size was provided                  |  |
|   |   | in the request or subscription, the Duration is greater than or equal                   |  |
|   |   | to the Temporal granularity size.   |  |
|   | cation (1max)   | Predicted location during the analytics target period.                                  |  |
| >> UE   | location  | TA or cells where the UE or UE group or list of UEs is predicted to                     |  |
|   |   | disperse its data (see NOTE 3).   |  |
|   | plication ID (0max)   | To identify the application (NOTE 6).   |  |
| (NOTE 7)  |   |   |  |
|   | > Data volume   | Data volume dispersion prediction at this location.                                     |  |
| dispersion  | n (NOTE 4)  |   |  |
| >   | >>> Confidence  | Confidence of this prediction (i.e. data volume to be dispersed at                      |  |
|   |   | this location).   |  |
|   | ata mobility  | Data mobility classification of the UE for this location: fixed, camper,                |  |
|   | tion (NOTE 4)   | traveller (see NOTE 5).   |  |
| >>>   | > Confidence  | Confidence of this prediction (i.e. mobility classification at this                     |  |
|   |   | location).  |  |
|   | nta usage ranking   | Ranking of UE data usage at this location (See NOTE 2).                                 |  |
|   | (NOTE 7)  |   |  |
|   | ita percentile usage  | Percentile ranking of the UE or UE group in the Cumulative                              |  |
| ranking (N  | NOTE 4) (NOTE 7)  | Distribution Function of data usage for the population of all UEs                       |  |
|   |   | served at the location.   |  |
|   | > Confidence  | Confidence of this prediction (i.e. percentile ranking at this location).               |  |
| >> Ra   |   | Percentage of UEs in the group (in the case of UE group).                               |  |
| NOTE 1:   |   | s Reporting is an individual UE, a single UE ID (i.e. SUPI) will be                     |  |
|   |   | of Analytics Reporting is a UE group ID, an internal group ID will be                   |  |
|   |   | of Analytics Reporting is a UE group ID or "Any UE" and a filter for                    |  |
|   |   | camper or traveller is included, the NWDAF will include the list of UEs                 |  |
|   |   | information element is not present when Target of Analytic Reporting                    |  |
|   |   | for Top-Heavy UEs, fixed, camper or traveller is included. The                          |  |
|   |   | dispersion analytics result of one or a list of time slots to the service               |  |
| NOTE  |   | group of UEs or list of UEs.  |  |
| NOTE 2: UE is ranked high (i.e. 1), medium (2) and low (3) when its data dispersed, during the peri |   |   |  |
|   |   | ation, is higher than N1% or at the range of N1% to N2% or less than                    |  |
|   | N2%, respectively. The percentage values of N1 and N2 are subject to operator's   |   |  |
| NOTE 2:   | configuration.  |   |  |
| NOTE 3:   |   | en possible and applicable to the access type, UE location is provided according to the |  |
| NOTE 4:   | preferred granularity of location information and Spatial granularity size.  This information element is an analytics subset that can be used in "list of analytics subsets." |   |  |
| INOTE 4.  | NOTE 4: This information element is an analytics subset that can be used in "list of analytics subsets  |   |  |
| NOTE 5:   | that are requested" and "preferred level of accuracy per analytics subset".  NOTE 5: This parameter is only provided for Target of Analytics Reporting set to single UE.      |   |  |
|   |   | not included in the input, cardinality is zero and data volume                          |  |
| INCIE 6.  |   | provided per UE location and applies across all the applications in that                |  |
|   | location.   | provided per OE iocation and applies across all the applications in that                |  |
| NOTE 7:   |   | ovided for Target of Analytics Reporting set to "Any UE".                               |  |
| INUTE 7.  | THIS PATAINELET IS HOLDI  | ovided for Target of Arialytics Reporting Set to Ariy UE.                               |  |

The data volume dispersion analytics results provided by the NWDAF can also be for a UE or group of UEs or "any UE" data volume dispersion statistics at a given slice as defined in Table 6.10.3.1-3 and/or data volume dispersion predictions as defined in Table 6.10.3.1-4. If the analytics covers multiple UEs, the Data dispersed, Data ranking and Data percentile ranking parameters are calculated as the aggregated (i.e. summed up) data volume. When the Target of Analytics Reporting is set as "any UE" the data volume dispersion analytics results provided by the NWDAF can be for all UEs data volume dispersion statistics at a given slice and/or data volume dispersion predictions at a given slice.

Table 6.10.3.1-3: Data volume dispersion statistics bound by slice

|  | ·   |  |
|--|---|--|
| Information  | Description   |  |
| UE group ID or UE ID or a list of  | Identifies the UE(s) for which the statistics applies by a list of SUPIs, |  |
| UE IDs (1SUPImax)  | or a group of UEs by a list of Internal-Group-lds (see NOTE 1).           |  |
| Time slot entry (1max)   | List of time slots during the Analytics target period.                    |  |
| > Time slot start  | Time slot start within the Analytics target period.                       |  |
| > Duration   | Duration of the time slot. If a Temporal granularity size was provided    |  |
|  | in the request or subscription, the Duration is greater than or equal     |  |
|  | to the Temporal granularity size.   |  |
| > Slice (1max)   | Observed slice statistics.  |  |
| >> S-NSSAI   | Slice where the UE or group of UEs or list of UEs dispersed data.         |  |
| >> Application ID (0max)   | To identify the application at the slice (NOTE 5).                        |  |
| (NOTE 6)   |   |  |
| >>> Data volume  | Data volume dispersed at this slice.                                      |  |
| dispersed (NOTE 3)   |   |  |
| >> Data mobility   | Data mobility classification of the UE at the slice: fixed, camper,       |  |
| classification (NOTE 3)  | traveller (see NOTE 4).   |  |
| >> Data usage ranking  | Ranking of UE data usage at this slice. (See NOTE 2).                     |  |
| (NOTE 3) (NOTE 6)  |   |  |
| >> Data usage percentile   | Percentile ranking of the UE or UE group in the Cumulative                |  |
| ranking (NOTE 3) (NOTE 6)  | Distribution Function of data usage for the population of all UEs         |  |
| D. d   | served by the slice.  |  |
| >> Ratio   | Percentage of UEs in the group (in the case of UE group).                 |  |
|  | es Reporting is an individual UE, a single UE ID (i.e. SUPI) will be      |  |
|  | of Analytics Reporting is a UE group ID, an internal group ID will be     |  |
|  | of Analytics Reporting is a UE group ID or "Any UE" and a filter for      |  |
|  | camper or traveller is included, the NWDAF will include the list of UEs   |  |
|  | information element is not present when Target of Analytic Reporting      |  |
| is "Any UE" and no filter for Top-Heavy UEs, fixed, camper or traveller is included. The   |   |  |
| NWDAF will provide the dispersion analytics result of one or a list of time slots to the servi   |   |  |
|  | consumer(s) for the UE, the group of UEs or the list of UEs.              |  |
| NOTE 2: UE is ranked high (i.e. 1), medium (2) and low (3) when its data dispersed, during the period of observation at the location, is higher than N1% or at the range of N1% to N2% or loss the |   |  |
| of observation at the location, is higher than N1% or at the range of N1% to N2% or less t N2%, respectively. The percentage values of N1 and N2 are subject to operator's                         |   |  |
| configuration.   |   |  |
|  | nt is an analytics subset that can be used in "list of analytics subsets  |  |
|  | "preferred level of accuracy per analytics subset".                       |  |
|  | prototred level of accuracy per analytics subset.                         |  |

NOTE 4: This parameter is only provided for Target of Analytics Reporting set to single UE.

is provided per slice and applies across all the applications in the slice.

NOTE 6: This parameter is not provided for Target of Analytics Reporting set to "Any UE".

NOTE 5: When Application ID is not included in the input, cardinality is zero and data volume dispersed

Table 6.10.3.1-4: Data volume dispersion prediction bound by slice

| Information  | Description  |  |  |
|--|--|--|--|
| UE group ID or UE ID or list of  | Identifies the UE(s), for which the prediction applies by a list of  |  |  |
| UE IDs (1SUPImax)  | SUPIs, or a group of UEs by a list of Internal-Group-Ids (see NOTE 1).   |  |  |
| Time slot entry (1max)   | List of time slots during the Analytics target period.   |  |  |
| > Time slot start  | Time slot start within the Analytics target period.  |  |  |
| > Duration   | Duration of the time slot. If a Temporal granularity size was provided   |  |  |
|  | in the request or subscription, the Duration is greater than or equal  |  |  |
| 011 (4   | to the Temporal granularity size.  |  |  |
| > Slice (1max)   | Predicted slice during the Analytics target period.  |  |  |
| >> S-NSSAI   | Slice where the UE or UE group or list of UEs is predicted to disperse its data.   |  |  |
| >> Application ID (0max) (NOTE 6)  | To identify the application at the slice (NOTE 5).   |  |  |
| >>> Data volume  | Data volume dispersion prediction at this slice.   |  |  |
| dispersion (NOTE 3) >>>> Confidence  | Confidence of this prediction (i.e. data volume to be dispersed at   |  |  |
|  | this slice).   |  |  |
| >> Data mobility   | Data mobility classification of the UE at this slice: fixed, camper,   |  |  |
| classification (NOTE 3) >>> Confidence   | traveller (see NOTE 4).  |  |  |
| >>> Confidence >> Data usage ranking   | Confidence of this prediction (i.e. mobility classification at this slice).  Ranking of UE data usage at this slice. See NOTE 2.   |  |  |
| (NOTE 3) (NOTE 6)  |  |  |  |
| >> Data usage percentile   | Percentile ranking of the UE or UE group in the Cumulative   |  |  |
| ranking (NOTE 3) (NOTE 6)  | Distribution Function of data usage for the population of all UEs served by the slice.   |  |  |
| >>> Confidence   | Confidence of this prediction (i.e. percentile ranking at this slice).   |  |  |
| >> Ratio   | Percentage of UEs in the group (in the case of UE group).  |  |  |
|  | cs Reporting is an individual UE, a single UE ID (i.e. SUPI) will be   |  |  |
|  | of Analytics Reporting is a UE group ID, an internal group ID will be  |  |  |
|  | of Analytics Reporting is a UE group ID or "Any UE" and a filter for   |  |  |
|  | camper or traveller is included, the NWDAF will include the list of UEs  |  |  |
|  | information element is not present when Target of Analytic Reporting   |  |  |
| is "Any UE" and no filter  | for Top-Heavy UEs, fixed, camper or traveller is included. The   |  |  |
|  | NWDAF will provide the dispersion analytics result of one or a list of time slots to the service   |  |  |
| consumer(s) for the UE, group of UEs or list of UEs.   |  |  |  |
| NOTE 2: UE is ranked high (i.e. 1), medium (2) and low (3) when its data dispersed, during the period  |  |  |  |
| of observation at the location, is higher than N1% or at the range of N1% to N2% or less than N2%, respectively. The percentage values of N1 and N2 are subject to operator's      |  |  |  |
| configuration.  NOTE 3: This information element is an analytics subset that can be used in "list of analytics subsets   |  |  |  |
| NOTE 3: This information element is an analytics subset that can be used in "list of analytics subsets that are requested" and "preferred level of accuracy per analytics subset". |  |  |  |
| that are requested and "preferred level of accuracy per analytics subset".  NOTE 4: This parameter is only provided for Target of Analytics Reporting set to single UE.            |  |  |  |
|  | NOTE 4: This parameter is only provided for Target of Analytics Reporting set to single OE.  NOTE 5: When Application ID is not included in the input, cardinality is zero and data volume |  |  |
|  | provided per slice and applies across all the applications in the slice.   |  |  |
|  | provided for Target of Analytics Reporting set to "Any UE".  |  |  |

When the Target of Analytics Reporting is set as "any UE", no filter for Top-Heavy UEs, fixed, camper or traveller is included and the Analytics Filter Information is set to one or several S-NSSAIs and DDVA, the data volume dispersion analytics results provided by the NWDAF can be for all UEs data volume dispersion statistics at a given slice as defined in Table 6.10.3.1-5 and/or data volume dispersion predictions as defined in Table 6.10.3.1-6:

Table 6.10.3.1-5: Data volume dispersion statistics bound by slice (for any UE)

| Information   | Description  |  |
|---|--|--|
| Time slot entry (1max)  | List of time slots during the Analytics target period.                 |  |
| > Time slot start   | Time slot start within the Analytics target period.                    |  |
| > Duration  | Duration of the time slot. If a Temporal granularity size was provided |  |
|   | in the request or subscription, the Duration is greater than or equal  |  |
|   | to the Temporal granularity size.                                      |  |
| > Slice (1max)  | Observed slice statistics.   |  |
| >> S-NSSAI  | Slice where all UEs dispersed data.                                    |  |
| >> Data volume dispersed  | Data volume dispersed at this slice. (see NOTE 1).                     |  |
| NOTE 1: Utilized bandwidth (i.e. average throughput) at the slice can be calculated by using the Data |  |  |
| volume dispersed in the Duration.   |  |  |

Table 6.10.3.1-6: Data volume dispersion prediction bound by slice (for any UE)

| Information  | Description  |  |
|--|--|--|
| Time slot entry (1max)   | List of time slots during the Analytics target period.                   |  |
| > Time slot start  | Time slot start within the Analytics target period.                      |  |
| > Duration   | Duration of the time slot. If a Temporal granularity size was provided   |  |
|  | in the request or subscription, the Duration is greater than or equal    |  |
|  | to the Temporal granularity size.  |  |
| > Slice (1max)   | Predicted slice during the Analytics target period.                      |  |
| >> S-NSSAI   | Slice where the UE is predicted to disperse its data.                    |  |
| >> Data volume dispersion  | Data volume dispersion prediction at this slice. (see NOTE 1).           |  |
| >>>> Confidence  | Confidence of this prediction (i.e. data to be dispersed at this slice). |  |
| NOTE 1: Utilized bandwidth (i.e. average throughput) predication at the slice can be calculated by |  |  |
| using the Data volume dispersion in the Duration.  |  |  |

NOTE: The Application ID in the Data Volume Dispersion Analytics is optional. When the Application ID is missing, the Data Volume Dispersion Analytics is applied across all the applications in an AOI or a slice.

### 6.10.3.2 Transactions Dispersion Analytics

The transactions (MM and MS messages) dispersion analytics results provided by the NWDAF can be for a UE or group of UEs or "any UE" transactions dispersion statistics as defined in Table 6.10.3.2-1 and/or UE transactions dispersion predications as defined in Table 6.10.3.2-2. If the analytics covers multiple UEs, the Transactions dispersed, Transactions ranking and Transactions percentile ranking parameters are calculated as the aggregated (i.e. summed up) number of transactions. When the Target of Analytics Reporting is set as "any UE" the transaction dispersion analytics results provided by the NWDAF can be for all UEs transaction dispersion statistics at a location and/or the slice and/or transaction dispersion predictions at a location and/or the slice.

Table 6.10.3.2-1: Transactions dispersion statistics bound by location

| Information  | Description   |  |
|--|---|--|
| UE group ID or UE ID or list of  | Identifies the UE(s) for which the statistics applies by a list of SUPIs, |  |
| UE IDs (1SUPImax)  | or a group of UEs by a list of Internal-Group-lds (see NOTE 1).           |  |
| Time slot entry (1max)   | List of time slots during the Analytics target period.                    |  |
| > Time slot start  | Time slot start within the Analytics target period.                       |  |
| > Duration   | Duration of the time slot. If a Temporal granularity size was provided    |  |
|  | in the request or subscription, the Duration is greater than or equal     |  |
|  | to the Temporal granularity size.   |  |
| > UE location (1max)   | Observed location statistics.   |  |
| >> UE location   | TA or cells where the UE or group of UEs or list of UEs dispersed its     |  |
|  | transactions (see NOTE 3).  |  |
| >> Transactions dispersed  | Transactions amount dispersed at this location.                           |  |
| (NOTE 4)   |   |  |
| >> Transactions mobility   | Transactions mobility classification of the UE for this location: fixed,  |  |
| classification (NOTE 4)  | camper, traveller (see NOTE 5).   |  |
| >> Transactions ranking  | Ranking of transactions amount at this location. See NOTE 2.              |  |
| (NOTE 4) (NOTE 6)  |   |  |
| >> Transactions percentile   | Percentile ranking of the UE or UE group in the Cumulative                |  |
| ranking (NOTE 4) (NOTE 6)  | Distribution Function of transactions for the population of all UEs       |  |
|  | served at the location.   |  |
| >> Ratio   | Percentage of UEs in the group (in the case of UE group).                 |  |
| NOTE 1: When Target of Analytics Reporting is an individual UE, a single UE ID (i.e. SUPI) will be |   |  |

- NOTE 1: When Target of Analytics Reporting is an individual UE, a single UE ID (i.e. SUPI) will be included. When Target of Analytics Reporting is a UE group ID, an internal group ID will be included. When Target of Analytics Reporting is a UE group ID or "Any UE" and a filter for Top-Heavy UEs, fixed, camper or traveller is included, the NWDAF will include the list of UEs matching the filter. This information element is not present when Target of Analytic Reporting is "Any UE" and no filter for Top-Heavy UEs, fixed, camper or traveller is included. The NWDAF will provide the dispersion analytics result of one or a list of time slots to the service consumer(s) for the UE, group of UEs or list of UEs.
- NOTE 2: UE is ranked high (i.e. 1), medium (2) and low (3) when its transactions are dispersed, during the period of observation at the location, is higher than N1% or at the range of N1% to N2% or less than N2%, respectively. The percentage values of N1 and N2 are subject to operator's configuration.
- NOTE 3: When possible and applicable to the access type, UE location is provided according to the preferred granularity of location information and Spatial granularity size.
- NOTE 4: This information element is an analytics subset that can be used in "list of analytics subsets that are requested" and "preferred level of accuracy per analytics subset".
- NOTE 5: This parameter is only provided for Target of Analytics Reporting set to single UE.
- NOTE 6: This parameter is not provided for Target of Analytics Reporting set to "Any UE".

Table 6.10.3.2-2: Transactions dispersion prediction bound by location

| Information  | Description  |  |
|--|--|--|
| UE group ID or UE ID or list of  | Identifies the UE(s), for which the prediction applies by a list of  |  |
| UE IDs (1SUPImax)  | SUPIs, or a group of UEs by a list of Internal-Group-Ids (see  |  |
| Time slot entry (1max)   | NOTE 1).  List of predicted time slots.  |  |
| > Time slot entry (1max)   | Time slot start within the Analytics target period.  |  |
| > Duration   | Duration of the time slot. If a Temporal granularity size was provided   |  |
| > Duration   | in the request or subscription, the Duration is greater than or equal  |  |
|  | to the Temporal granularity size.  |  |
| > UE location (1max)   | Predicted location during the analytic target period.  |  |
| >> UE location   | TA or cells where the UE or group of UEs or list of UEs is predicted   |  |
| 7 7 0 <u>2</u> 100aus.   | to disperse its transactions (see NOTE 3).   |  |
| >> Transactions dispersion   | Transaction amount predicted to be dispersed at this location.   |  |
| (NOTE 4)   | ' '  |  |
| >>> Confidence   | Confidence of this prediction (i.e. data to be dispersed at this location).  |  |
| >> Transactions mobility classification (NOTE 4)   | Transaction mobility classification of the UE for this location: fixed, camper, traveller (see NOTE 5).  |  |
| >>> Confidence   | Confidence of this prediction (i.e. mobility classification at this location).   |  |
| >> Transactions ranking (NOTE 4) (NOTE 6)  | Ranking of transactions amount at this location. See NOTE 2.   |  |
| >> Transactions percentile   | Percentile ranking of the UE or UE group in the Cumulative   |  |
| ranking (NOTE 4) (NOTE 6)  | Distribution Function of transactions for the population of all UEs  |  |
| 0 51   | served at the location.  |  |
| >>> Confidence   | Confidence of this prediction (i.e. percentile ranking at this location).  |  |
| >> Ratio   | Percentage of UEs in the group (in the case of UE group).  |  |
| included. When Target of included. When Target of Top-Heavy UEs, fixed, of matching the filter. This is "Any UE" and no filter NWDAF will provide the consumer(s) for the UE,  | is Reporting is an individual UE, a single UE ID (i.e. SUPI) will be of Analytics Reporting is a UE group ID, an internal group ID will be of Analytics Reporting is a UE group ID or "Any UE" and a filter for camper or traveller is included, the NWDAF will include the list of UEs information element is not present when Target of Analytic Reporting for Top-Heavy UEs, fixed, camper or traveller is included. The dispersion analytics result of one or a list of time slots to the service group of UEs or list of UEs. |  |
| NOTE 2: UE is ranked high (i.e. 1), medium (2) and low (3) when its transactions are dispersed, during the period of observation at the location, is higher than N1% or at the range of N1% to N2% or less than N2%, respectively. The percentage values of N1 and N2 are subject to operator's configuration. |  |  |
| NOTE 3: When possible and app  |  |  |
| NOTE 4: This information element is an analytics subset that can be used in "list of analytics subsets that are requested" and "preferred level of accuracy per analytics subset".   |  |  |
| NOTE 5: This parameter is only p   | provided for Target of Analytics Reporting set to single UE. ovided for Target of Analytics Reporting set to "Any UE".   |  |

The transactions dispersion analytics results provided by the NWDAF can be UE or group of UEs or "any UE" transactions dispersion statistics at a given slice as defined in table 6.10.3.2-3 and/or transactions dispersion predictions as defined in table 6.10.1.4.2-4. If the analytics covers multiple UEs, the Transactions dispersed, Transactions ranking and Transactions percentile ranking parameters are calculated as the aggregated (i.e. summed up) number of transactions. When the Target of Analytics Reporting is set as "any UE" the transaction dispersion analytics results provided by the NWDAF can be for all UEs transaction dispersion statistics at a given slice and/or transaction dispersion predictions at a given slice.

Table 6.10.3.2-3: Transactions dispersion statistics bound by slice

| Information  | Description  |  |
|--|--|--|
| UE group ID or UE ID or a list of UE IDs (1SUPImax)  | Identifies the UE(s), for which the statistic applies by a list of SUPIs, or a group of UEs by a list of Internal-Group-Ids (see NOTE 1).                                      |  |
| Time slot entry (1max)   | List of time slots during the Analytics target period.   |  |
| > Time slot start  | Time slot start within the Analytics target period.  |  |
| > Duration   | Duration of the time slot. If a Temporal granularity size was provided in the request or subscription, the Duration is greater than or equal to the Temporal granularity size. |  |
| > Slice (1max)   | Observed slice statistics.   |  |
| >> S-NSSAI   | Slice where the UE or group of UEs or list of UEs dispersed its transactions.  |  |
| >> Transactions dispersed (NOTE 3)   | Transactions amount dispersed at this location.  |  |
| >> Transactions mobility classification (NOTE 3)   | Transaction mobility classification of the UE at the slice: fixed, camper, traveller (see NOTE 4).   |  |
| >> Transactions ranking (NOTE 3) (NOTE 5)  | Ranking of transactions amount at this slice. See NOTE 2.  |  |
| >> Transactions percentile ranking (NOTE 3) (NOTE 5)   | Percentile ranking of the UE or UE group in the Cumulative Distribution Function of transactions for the population of all UEs served by the slice.                            |  |
| >> Ratio   | Percentage of UEs in the group (in the case of UE group).  |  |
| NOTE 1: When Target of Analytics Reporting is an individual UE, a single UE ID (i.e. SUPI) will be |  |  |

- NOTE 1: When Target of Analytics Reporting is an individual UE, a single UE ID (i.e. SUPI) will be included. When Target of Analytics Reporting is a UE group ID, an internal group ID will be included. When Target of Analytics Reporting is a UE group ID or "Any UE" and a filter for Top-Heavy UEs, fixed, camper or traveller is included, the NWDAF will include the list of UEs matching the filter. This information element is not present when Target of Analytic Reporting is "Any UE" and no filter for Top-Heavy UEs, fixed, camper or traveller is included. The NWDAF will provide the dispersion analytics result of one or a list of time slots to the service consumer(s) for the UE, the group of UEs or the list of UEs.
- NOTE 2: UE is ranked high (i.e. 1), medium (2) and low (3) when its transactions are dispersed, during the period of observation at the location, is higher than N1% or at the range of N1% to N2% or less than N2%, respectively. The percentage values of N1 and N2 are subject to operator's configuration.
- NOTE 3: This information element is an analytics subset that can be used in "list of analytics subsets that are requested" and "preferred level of accuracy per analytics subset".
- NOTE 4: This parameter is only provided for Target of Analytics Reporting set to single UE.
- NOTE 5: This parameter is not provided for Target of Analytics Reporting set to "Any UE".

Table 6.10.3.2-4: Transactions dispersion prediction bound by slice

| Information  | Description  |  |
|--|--|--|
| UE group ID or UE ID or list of  | Identifies the UEs, , or a list of UEs for which the prediction applies  |  |
| UE IDs (1SUPImax)  | by a list of SUPIs, or a group of UEs by a list of Internal-Group-Ids  |  |
|  | (see NOTE 1).  |  |
| Time slot entry (1max)   | List of predicted time slots.  |  |
| > Time slot start  | Time slot start within the Analytics target period.  |  |
| > Duration   | Duration of the time slot. If a Temporal granularity size was provided   |  |
|  | in the request or subscription, the Duration is greater than or equal  |  |
|  | to the Temporal granularity size.  |  |
| > Slice (1max)   | Predicted slice during the Analytics target period.  |  |
| >> S-NSSAI   | Slice where the UE or group of UEs or list of UEs is predicted to  |  |
|  | disperse its transactions.   |  |
| >> Transactions dispersion   | Transaction volume to be dispersed at this slice.  |  |
| (NOTE 3)   |  |  |
| >>> Confidence   | Confidence of this prediction (i.e. transactions to be dispersed at this   |  |
|  | slice).  |  |
| >> Transactions mobility   | Transaction mobility classification of the UE at this slice: fixed,  |  |
| classification (NOTE 3)  | camper, traveller (see NOTE 4).  |  |
| >>> Confidence   | Confidence of this prediction (i.e. mobility classification at this slice).  |  |
| >> Transactions ranking  | Ranking of transactions amount at this slice. See NOTE 2.  |  |
| (NOTE 3) (NOTE 5)  | Describe and the LIF and IF are to the Organistics   |  |
| >> Transactions percentile ranking (NOTE 3) (NOTE 5)   | Percentile ranking of the UE or UE group in the Cumulative   |  |
| Tanking (NOTE 3) (NOTE 5)  | Distribution Function of transactions for the population of all UEs served by the slice.   |  |
| >>> Confidence   | Confidence of this prediction (i.e. percentile ranking at this slice).   |  |
| >> Ratio   | Percentage of UEs in the group (in the case of UE group).  |  |
|  | is Reporting is an individual UE, a single UE ID (i.e. SUPI) will be   |  |
|  | of Analytics Reporting is a UE group ID an internal group ID will be   |  |
|  | of Analytics Reporting is a UE group ID or "Any UE" and a filter for   |  |
|  | camper or traveller is included, the NWDAF will include the list of UEs  |  |
|  | information element is not present when Target of Analytic Reporting   |  |
|  | for Top-Heavy UEs, fixed, camper or traveller is included. The   |  |
| NWDAF will provide the dispersion analytics result of one or a list of time slots to the service       |  |  |
| consumer(s) for the UE, group of UEs or list of UEs.   |  |  |
| NOTE 2: UE is ranked high (i.e. 1), medium (2) and low (3) when its transactions are dispersed, during |  |  |
| the period of observation at the location, is higher than N1% or at the range of N1% to N2% of         |  |  |
| less than N2%, respectively. The percentage values of N1 and N2 are subject to operator's              |  |  |
| configuration.   |  |  |
|  | t is an analytics subset that can be used in "list of analytics subsets  |  |
| that are requested" and "preferred level of accuracy per analytics subset".                            |  |  |
|  | This parameter is only provided for Target of Analytics Reporting set to single UE.  This parameter is not provided for Target of Analytics Reporting set to "Any UE". |  |
| INOTE 5. This parameter is not pr  | ovided for Target of Analytics Reporting Set to Any UE.  |  |

## 6.10.4 Dispersion Analytic Procedure

The NWDAF can provide Dispersion analytics, in the form of statistics or predictions, to an NF or AF.

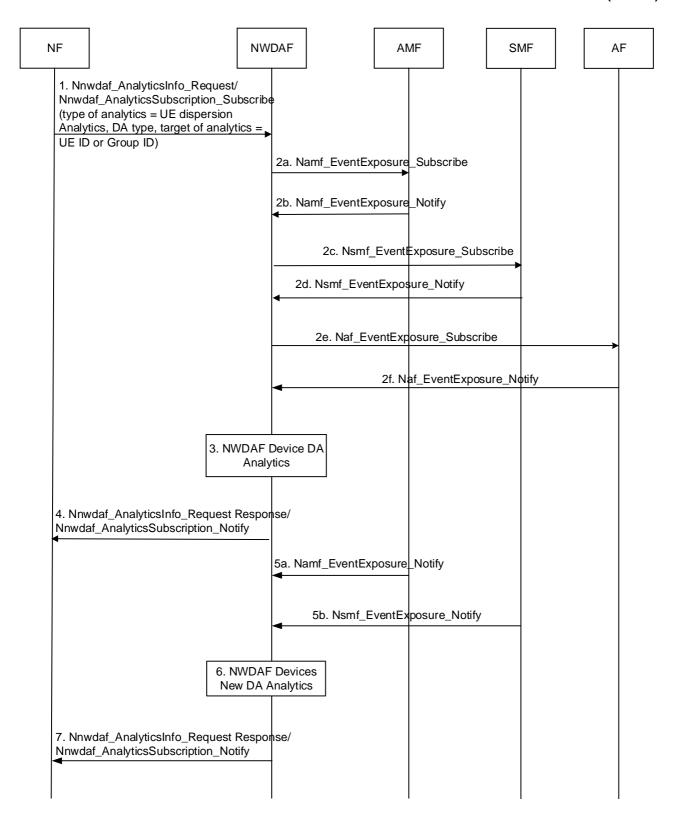


Figure 6.10.4-1: UE Dispersion Analytics provided to an NF or AF

1. The NF sends a request or subscription to the NWDAF for dispersion analytics on a specific UE, any UE, or a group of UEs, using either the Nnwdaf\_AnalyticsInfo or Nnwdaf\_AnalyticsSubscription service. The NF can request statistics or predictions or both. The Analytics ID is set to "UE Dispersion Analytics", the Dispersion Analytic (DA) type is set to "Data Volume Dispersion Analytics" (DVDA) or "Transactions Dispersion Analytics" (TDA) and Analytic Filter Information = (Area of Interest, slice, target period, optional UE class: Top-Heavy, Fixed, or Camper UEs). The NF or AF provides the Target of Analytics Reporting as defined in clause 6.10.2.

2. If the request is authorized and in order to provide the requested analytics, the NWDAF may subscribe to events with all the serving AMFs, SMFs of the requested UE(s) for notification of location changes or a slice change (a slice change can be an additional slice or a deletion), or to obtain UE location trends, UE access behaviour trends and UE session behaviour trends. This step may be skipped when, e.g. the NWDAF already has the requested analytics available.

The NWDAF subscribes to application service data from AF(s) by invoking Naf\_EventExposure\_Subscribe service or Nnef\_EventExposure\_Subscribe (via NEF).

The NWDAF can collect data volume information from the UPF, as listed in tables 6.10.2-5 and 6.10.2-6 and clause 6.2.2.1. How the data from UPF is retrieved (subscribed to on UPF and notified then by UPF) is defined in clause 5.8.2.17 of TS 23.501 [2] and in clause 4.15.4 of TS 23.502 [3].

NOTE: The NWDAF determines the AMF serving the UE, any UE, or the group of UEs, identified by an Internal-Group-Id, as described in clause 6.2.2.1.

- 3. The NWDAF derives requested analytics.
- 4. The NWDAF provides requested or subscribed UE dispersion analytics to the NF, using either the Nnwdaf\_AnalyticsInfo\_Request Response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1. The details for UE dispersion analytics provided by NWDAF are described in clause 6.10.3. The provided analytics enables the consumer to predict changing network conditions such as data volume change at a location or a slice, excessive signalling conditions at a location or a slice, etc.
- 5-6. If at step 1, the NF has subscribed to receive notifications for UE dispersion analytics, after receiving event notification from the AMFs (e.g. location change) or SMFs (e.g. slice change add/delete) subscribed by NWDAF in step 2, the NWDAF may generate new dispersion analytics.
- 7. The NWDAF provides the newly generated dispersion analytics to the NF. The details for UE dispersion analytics provided by NWDAF are described in clause 6.10.3.

## 6.11 WLAN performance analytics

### 6.11.1 General

The NWDAF provides WLAN performance analytics to a service consumer NF. The analytics results are generated based on the data from other 5GC NFs and OAM. The analytics results, provided in the form of statistics or predictions, contain quality and performance of WLAN connection of UE according to UE location and SSID. The consumer can request either one-time or continuous reporting.

The service consumer may be an NF (e.g. PCF, NEF or AF).

If a service consumer is PCF, the WLAN performance analytics can be used to update WLANSP as defined in TS 23.503 [4].

If the service consumer is NEF or AF, the WLAN performance analytics per UE granularity can be used to select candidate members for an application layer operation.

The consumer of these analytics may indicate in the request or subscription:

- Analytics ID = "WLAN performance";
- Target of Analytics Reporting as defined in clause 6.1.3;
- Analytics Filter Information:
  - Area of Interest (list of TA or Cells);
  - SSID(s);
  - BSSID(s); and
  - optional list of analytics subsets that are requested (see clause 6.11.3);

- An Analytics target period indicates the time period over which the statistics or prediction are requested, either in the past or in the future;
- Optionally, Temporal granularity size;
- Optional maximum number of objects;
- Preferred level of accuracy of the analytics;
- Preferred level of accuracy per analytics subset (see clause 6.11.3);
- Preferred order of results for the list of WLAN performance information:
  - ordering criterion: "time slot start", "number of UEs", "RSSI", "RTT" or "Traffic information"; and
  - order: ascending or descending; and
- In a subscription, the Notification Correlation Id and the Notification Target Address are included.

If the Target of Analytics Reporting is any UE, then the Analytics Filter should at least include Area of Interest or SSID(s) or BSSID(s).

### 6.11.2 Input Data

For the purpose of generating WLAN performance analytics results, the NWDAF collects the data as listed in Table 6.11.2-1.

Table 6.11.2-1: Data collected by NWDAF for WLAN performance analytics

| Information                                    | Source | Description   |
|--|--------|---|
| WLAN measurement results                       | OAM    | The WLAN measurement results per wireless network served by the WLAN AP.  |
| > SSID / BSSID / HESSID                        |        | SSID / BSSID / HESSID of the selected WLAN during the period of analysis.   |
| > RSSI   |        | Measured RSSI of the selected WLAN during the period of analysis.   |
| > RTT  |        | Measured RTT of the selected WLAN during the period of interest.  |
| > UE Location                                  |        | Location information tagged by UE when it reports WLAN MDT measurement (e.g. Cell ID and/or longitude/latitude if available).                           |
| Information on PDU Session for WLAN (1max)     | SMF    | Information on PDU session for which Access Type is Non-3GPP and RAT Type is TRUSTED_WLAN.  |
| > UE ID  |        | SUPI  |
| > SSID / BSSID                                 |        | SSID / BSSID that the PDU session is related to.  |
| > Start time of the PDU Session for WLAN       |        | The time stamp that indicates when the existing PDU Session's access type changes to WLAN or when the new PDU Session for WLAN is established.          |
| > End time of the PDU Session<br>for WLAN      |        | The time stamp that indicates when the existing WLAN based PDU Session's access type is not WLAN any more or when the PDU Session for WLAN is released. |
| UE communications (1max) > Communication start | UPF    | List of communication time slots The time stamp that PDU session(s) for WLAN starts.  |
| > Communication stop                           |        | The time stamp that PDU session(s) for WLAN ends.   |
| > UL data rate                                 |        | UL data rate of PDU session(s) for WLAN.  |
| > DL data rate                                 |        | DL data rate of PDU session(s) for WLAN.  |
| > Traffic volume                               |        | Traffic volume of PDU session(s) for WLAN.  |

NOTE 1: WLAN Data from OAM is collected via MDT and aligned with the WLAN measurement reporting list described in clause 5.1.1.3.3 of TS 37.320 [20]. It is assumed that not all UEs support MDT WLAN measurements.

NOTE 2: UE Location from OAM can be used to deduce WLAN location.

## 6.11.3 Output Analytics

The NWDAF generates WLAN performance analytics. Depending on the Analytics Target Period, the output consists of statistics or predictions. The detailed information provided by the NWDAF is defined in Table 6.11.3-1 for statistics and Table 6.11.3-2 for predictions.

Table 6.11.3-1: WLAN performance statistics

| Information  | Description  |  |
|--|--|--|
| Area of Interest   | A list of TAIs or Cell Ids   |  |
| List of Analytics per SSID   | SSIDs of WLAN access points deployed in the Area of Interest           |  |
| > Time slot entry (1max)   | List of time slots during the Analytics target period                  |  |
| >> Time slot start   | Time slot start time within the Analytics target period                |  |
| >> Duration  | Duration of the time slot. If a Temporal granularity size was provided |  |
|  | in the request or subscription, the Duration is greater than or equal  |  |
|  | to the Temporal granularity size.                                      |  |
| >> RSSI (NOTE 1)   | Measured RSSI  |  |
| >> RTT (NOTE 1)  | Measured RTT   |  |
| >> Traffic Information (NOTE 1)  | UL/DL data rate, Traffic volume  |  |
| >> Number of UEs (NOTE 1)  | Number of UEs observed for the SSID                                    |  |
| List of Analytics per UE   | UE ID(s) of WLAN performance analytics                                 |  |
| > Time slot entry (1max)   | List of time slots during the Analytics target period                  |  |
| >> Time slot start   | Time slot start time within the Analytics target period                |  |
| >> Duration  | Duration of the time slot  |  |
| >> RSSI (NOTE 1)   | Measured RSSI  |  |
| >> RTT (NOTE 1)  | Measured RTT   |  |
| >> Traffic Information (NOTE 1)  | UL/DL data rate, Traffic volume  |  |
| NOTE 1: This information element is an analytics subset that can be used in "list of analytics subsets that are requested" and "preferred level of accuracy per analytics subset". |  |  |

Table 6.11.3-2: WLAN performance predictions

| Information  | Description  |  |
|--|--|--|
| Area of Interest   | A list of TAIs or Cell Ids   |  |
| List of Analytics per SSID   | SSIDs of WLAN access points deployed in the Area of Interest           |  |
| > Time slot entry (1max)   | List of time slots during the Analytics target period                  |  |
| >> Time slot start   | Time slot start time within the Analytics target period                |  |
| >> Duration  | Duration of the time slot. If a Temporal granularity size was provided |  |
|  | in the request or subscription, the Duration is greater than or equal  |  |
|  | to the Temporal granularity size.                                      |  |
| >> RSSI (NOTE 1)   | Predicted RSSI   |  |
| >> RTT (NOTE 1)  | Predicted RTT  |  |
| >> Traffic Information (NOTE 1)  | Predicted UL/DL data rate, Traffic volume                              |  |
| >> Number of UEs (NOTE 1)  | Number of UEs predicted for the SSID                                   |  |
| List of Analytics per UE   | UE ID(s) of WLAN performance analytics                                 |  |
| > Time slot entry (1max)   | List of time slots during the Analytics target period                  |  |
| >> Time slot start   | Time slot start time within the Analytics target period                |  |
| >> Duration  | Duration of the time slot  |  |
| >> RSSI (NOTE 1)   | Measured RSSI  |  |
| >> RTT (NOTE 1)  | Measured RTT   |  |
| >> Traffic Information (NOTE 1)  | UL/DL data rate, Traffic volume  |  |
| >> Confidence  | Confidence of the prediction   |  |
| NOTE 1: This information element is an analytics subset that can be used in "list of analytics subsets |  |  |
| that are requested" and "preferred level of accuracy per analytics subset".                            |  |  |

### 6.11.4 Procedures

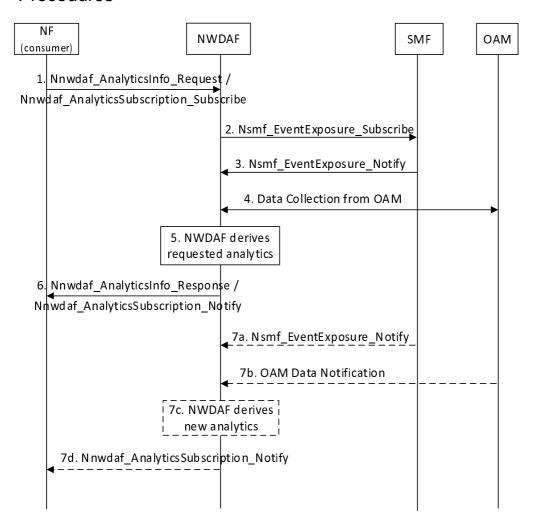


Figure 6.11.4-1: Procedure for WLAN performance analytics

1. The consumer NF sends a request to the NWDAF for WLAN performance analytics using either the Nnwdaf\_AnalyticsInfo or Nnwdaf\_AnalyticsSubscription service. The Analytics ID is set to "WLAN performance", the Target of Analytics Reporting and the Analytics Filter Information are set according to clause 6.11.1. The consumer NF can request statistics or predictions.

The analytics can be requested with the filter information (e.g. Area of Interest or specific SSID(s)). When Area of Interest is provided, the analytics results include WLAN performance information of all SSID(s) located in the Area of Interest. When specific SSID(s) is provided, the analytics results include WLAN performance information of a specific UE or all UE(s) connected to the corresponding SSID(s).

- 2-3. The NWDAF subscribes to information related to PDU Session for WLAN (i.e. Access Type is Non-3GPP and RAT Type is TRUSTED\_WLAN) from SMF.
- 4. The NWDAF collects WLAN measurement data for the period of analysis from the OAM, following the procedure captured in clause 6.2.3.2.

NOTE: The NWDAF collects the data from the UPF as listed in Table 6.11.2.1. How the NWDAF collects the data is defined in clause 5.8.2.17 of TS 23.501 [2] and in clause 4.15.4 of TS 23.502 [3].

- 5. The NWDAF derives requested analytics with the collected data. Analytics output parameters are listed in clause 6.11.3.
- 6. The NWDAF provides the requested analytics to the NF, using either the Nnwdaf\_AnalyticsInfo or Nnwdaf\_AnalyticsSubscription service, depending on the service used at step 1.

7. If the NF subscribed the analytics at step 1, the NWDAF provides a new analytics when it generated the new output.

# 6.12 Session Management Congestion Control Experience Analytics

### 6.12.1 General

According to the Session Management Congestion Control (SMCC) mechanisms, i.e. DNN based congestion control defined in clause 5.19.7.3 of TS 23.501 [2] and S-NSSAI based congestion control defined in clause 5.19.7.4 of TS 23.501 [2], the SMF that is applying or has applied the SMCC mechanism does not store any historical information related to which UEs is/was subject to backoff timer settings. Therefore, fairness to apply the SMCC cannot be considered nor guaranteed. For example, among UEs that use a PDU Session associated with S-NSSAI#1, some of them may have experienced the S-NSSAI based high level of congestion control (e.g. receiving NAS SM reject messages with a long backoff timer) while some of the UEs may have experienced the S-NSSAI based low level congestion control (e.g. receiving NAS SM reject messages with a short backoff timer), within a specific period. The backoff timer provided to each UE can vary, e.g. up to 70 hours.

The SMF service consumer may request the NWDAF to provide Session Management Congestion Control Experience (SMCCE) statistical analytics for a specific DNN and/or S-NSSAI. The SMF uses potential congestion condition as a trigger to request the SMCCE analytics from the NWDAF.

The request by the SMF includes mainly the following parameters:

- Analytics ID = "Session Management Congestion Control Experience".
- Target of Analytics Reporting: one or more SUPI(s).

NOTE 1: The UE(s) contained in the Target of Analytics Reporting. These are UE(s) that have the PDU Session for the DNN and/or S-NSSAI indicated by Analytics Filter Information.

- Analytics Filter Information:
  - DNN and/or S-NSSAI; and
  - optional list of analytics subsets that are requested (see clause 6.12.3);
- Analytics target period: the time window for which the statistics are requested.

NOTE 2: Predictions are not provided as output for the Session Management Congestion Control Experience analytics.

## 6.12.2 Input Data

For the purpose of SMCCE analytics, the NWDAF collects the data as listed in Table 6.12.2-1.

Table 6.12.2-1: Data collected by NWDAF for SMCCE analytics

| Information   | Source | Description   |
|---|--------|---|
| UE ID   | SMF    | SUPI.   |
| SMCC experience for PDU Session                         | SMF    | Data related to SMCC experience per PDU Session.  |
| > DNN   | SMF    | DNN for the PDU Session that SMF collects Data related to SMCCE.  |
| > S-NSSAI   | SMF    | S-NSSAI for the PDU Session that SMF collects Data related to SMCCE.  |
| > Start time of data collection                         | SMF    | Start time of data collection.  |
| > End time of data collection                           | SMF    | End time of data collection.  |
| > SM NAS request from UE (1max)                         | SMF    | Information on the SM NAS messages that SMF receives from UE for PDU Session during the collection period.  |
| >> Type of SM NAS request                               | SMF    | The type of SM NAS message transmitted by UE (e.g. PDU Session Establishment Request, PDU Session Modification Request, etc.).  |
| >> Timestamp  | SMF    | A time stamp when SMF receives SM NAS message from UE.  |
| > SM NAS message from network with backoff timer (1max) | SMF    | Information on SMCC applied to UE for PDU Session.  |
| >> Type of SM NAS message from network                  | SMF    | The type of SM NAS message with backoff timer provided to UE (e.g. PDU Session Establishment Reject, PDU Session Modification Reject, PDU Session Release Command, etc.). |
| >> Timestamp  | SMF    | A time stamp when SMF sends SM NAS message to UE.   |
| >> Provided backoff timer                               | SMF    | A value of backoff timer provided to UE.  |
| >> Type of applied SMCC                                 | SMF    | The type of applied SMCC, i.e. DNN based congestion control or S-NSSAI based congestion control.  |

As described in Table 6.12.2-1, the NWDAF subscribes to the network data from SMF(s) by invoking Nsmf\_EventExposure\_Subscribe service (Event ID = Session Management Congestion Control Experience for PDU Session, Target of Event Reporting = one or more SUPI(s), Event Filter information = DNN and/or S-NSSAI and target period).

## 6.12.3 Output Analytics

The NWDAF outputs the SMCCE statistical analytics. The detailed statistical information provided by the NWDAF is defined in Table 6.12.3-1.

Table 6.12.3-1: SMCCE statistics

| Information   | Description   |  |
|---|---|--|
| List of SMCCE Analytics (1max)  |   |  |
| > DNN   | DNN that SMCC is applied.   |  |
| > S-NSSAI   | S-NSSAI that SMCC is applied.   |  |
| > List of UEs classified based on experience level of SMCC  | One, or more than one, of the following lists (SUPI is used to identify a UE).          |  |
| >> List of high-experienced UEs (NOTE 2)  | A list of UEs whose experience level of SMCC for specific DNN and/or S-NSSAI is high.   |  |
| >> List of medium-experienced UEs (NOTE 2)  | A list of UEs whose experience level of SMCC for specific DNN and/or S-NSSAI is medium. |  |
| >> List of low-experienced UEs (NOTE 2)   | A list of UEs whose experience level of SMCC for specific DNN and/or S-NSSAI is low.    |  |
| NOTE 1: The high/medium/low-experience thresholds values are operator defined.  |   |  |
| NOTE 2: This information element is an analytics subset that can be used in "list of analytics subsets that are requested". |   |  |

### 6.12.4 Procedures

Figure 6.12.4-1 shows the procedure for Session Management Congestion Control Experience Analytics.

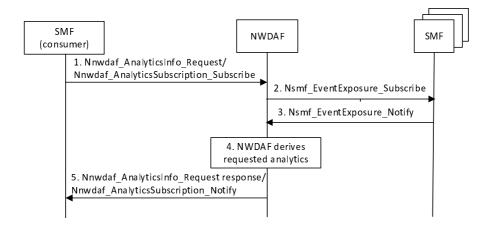


Figure 6.12.4-1: Procedure for Session Management Congestion Control Experience Analytics

 Consumer SMF requests or subscribes to analytics information for "Session Management Congestion Control Experience" from the NWDAF using either Nnwdaf\_AnalyticsInfo or Nnwdaf\_AnalyticsSubscription service when the SMF wants to take the analytics information into account for the Session Management Congestion Control to be applied.

The parameters included in the request are described in clause 6.12.1.

NOTE: To account for SMCCE analytics information, the SMF needs to request the analytics information from the NWDAF before applying Session Management Congestion Control due to potential congestion conditions formation.

- 2. If has not already subscribed, the NWDAF sends subscription requests to all the SMFs serving the DNN and/or S-NSSAI as indicated by Analytics Filter Information to collect data related to SMCCE. The SMF that made the request in step 1 can be also one of the data providers.
- 3. The SMF(s) provide the collected data to the NWDAF.
- 4. The NWDAF derives the requested analytics.
- 5. The NWDAF provides the analytics for Session Management Congestion Control Experience to the consumer SMF, using either Nnwdaf\_AnalyticsInfo\_Request Response or Nnwdaf\_AnalyticsSubscription\_Notify.

## 6.13 Redundant Transmission Experience related analytics

### 6.13.1 General

This clause describes the Redundant Transmission Experience related analytics. These analytics may be used as follows:

- by the SMF to determine whether redundant transmission on N3/N9 interfaces (see clause 5.33.2.2 of TS 23.501 [2]) shall be performed, or (if it had been activated) shall be stopped;
- by the PCF for the calculation of the Route Selection Components in a URSP Rule for redundant PDU Sessions as described in clause 5.33.2.1 of TS 23.501 [2].

The service consumer may be a NF (e.g. SMF, PCF).

The consumer of these analytics may indicate in the request:

- Analytics ID = "Redundant Transmission Experience".
- Target of Analytics Reporting as defined in clause 6.1.3.
- Analytics Filter Information optionally containing:
  - Area of Interest;

- S-NSSAI;
- DNN;
- optional list of analytics subsets that are requested (i.e. UL/DL packet drop rate GTP-U, UL/DL packet delay GTP-U, see clause 6.13.3).
- An Analytics target period indicates the time period over which the statistics or predictions are requested.
- Optionally, Temporal granularity size;
- Preferred level of accuracy of the analytics;
- Preferred order of results for the list of Redundant Transmission Experience:
  - ordering criterion: "time slot start"; and
  - order: ascending or descending;
- In a subscription, the Notification Correlation Id and the Notification Target Address are included.

## 6.13.2 Input Data

The NWDAF supporting data analytics on Redundant Transmission Experience shall be able to collect UE mobility information from OAM, MDAS/MDAF, 5GC and AFs and service data from AF, as described in clause 6.7.2.2. In addition, NWDAF shall be able to collect the information for PDU session which is established with redundant transmission from the SMF. UE mobility information is specified in Table 6.7.2.2-1 and service data from AF related to UE mobility in Table 6.7.2.2-2. In addition, the NWDAF shall be able to collect performance measurements on user data congestion as specified in Table 6.8.2-1 for user data congestion analytics.

Additionally, the NWDAF collects the following input (see Table 6.13.2-1 and Table 6.13.2-2) according to existing measurements defined in clause 5.33.3 QoS Monitoring to Assist URLLC Service of TS 23.501 [2]. The NWDAF also collects the input from MDAS/MDAF of the end to end latency analysis in Table 6.13.2-3, as defined in clause 8.4.2.4.3 of TS 28.104 [45].

Table 6.13.2-1: Packet drop and/or packet delay measurement per QFI or GTP level

| Information                        | Source   | Description  |
|------------------------------------|----------|--|
| UL/DL packet drop rate GTP-U       | OAM (see | UL/DL packet drop rate measurement on GTP path on    |
|                                    | NOTE 2)  | N3.  |
| UL/DL packet delay GTP             | OAM (see | UL/DL packet delay measurement round trip on GTP     |
|                                    | NOTE 2)  | path on N3.  |
| E2E UL/DL packet delay             | UPF (see | End-to-End (between UE and UPF) packet delay         |
|                                    | NOTE 3)  | measurement between the UE and the UPF.              |
| UL/DL packet drop/loss rate of RAN | OAM (see | UL/DL packet drop/loss rate measurement of RAN part. |
| part                               | NOTÉ 2)  | ·  |

NOTE 1: The information in this table is provided both as the base to compare with the redundant transmission performance as well as when redundant transmission is enabled.

NOTE 2: Refer to clause 5.1 of TS 28.552 [8] for the performance measurement in NG-RAN and clause 5.4 of TS 28.552 [8] for the performance measurement in UPF. In addition, Annex A of TS 28.552 [8] describes various performance measurements.

NOTE 3: Refer to clause 5.33.3 of TS 23.501 [2] for the packet delay measurement in the UPF.

Table 6.13.2-2: The information related to PDU Session established

| Information                    | Source | Description                                     |
|--------------------------------|--------|---|
| DNN                            | SMF    | Data Network Name associated for URLLC service. |
| UP with redundant transmission | SMF    | Redundant transmission is setup or terminated.  |

Table 6.13.2-3: Data collection from MDAS/MDAF of end-to-end latency analysis

| Information         | Source | Description   |
|---------------------|--------|---|
| E2ELatencyIssueType | MDAF   | Indication the type of the E2E latency issue. The allowed value is one of the enumerated values: RAN latency issue, CN latency issue. |
| AffectedObjects     | MDAF   | The managed object instances of subnetwork, managed elements or network slices where the latency issue happens.                       |

## 6.13.3 Output Analytics

The NWDAF supporting data analytics on Redundant Transmission Experience shall be able to provide Redundant Transmission Experience analytics as defined in Table 6.13.3-1 and Table 6.13.3-2.

Table 6.13.3-1: Redundant Transmission Experience statistics

| Information   | Description   |  |  |
|---|---|--|--|
| UE group ID or UE ID, any UE  | Identifies the UE(s) for which the statistic applies by a list of SUPIs, or a |  |  |
|   | group of UEs by a list of Internal-Group-lds.                                 |  |  |
| DNN   | Data Network Name associated for URLLC service.                               |  |  |
| Spatial validity  | Area where the Redundant Transmission Experience statistics applies.          |  |  |
|   | If Area of Interest information was provided in the request or subscription,  |  |  |
|   | spatial validity should be the requested Area of Interest.                    |  |  |
| Time slot entry (1max)  | List of time slots during the Analytics target period.                        |  |  |
| > Time slot start   | Time slot start within the Analytics target period.                           |  |  |
| > Duration  | Duration of the time slot. If a Temporal granularity size was provided in     |  |  |
|   | the request or subscription, the Duration is greater than or equal to the     |  |  |
|   | Temporal granularity size.  |  |  |
| > Observed Redundant Transmission   | Observed Redundant Transmission Experience related information during         |  |  |
| Experience  | the Analytics target period.  |  |  |
| >> UL/DL packet drop rate GTP-U   | Observed UL/DL packet drop rate on GTP-U path on N3 (average,                 |  |  |
| (NOTE 2)  | variance).  |  |  |
| >> UL/DL packet delay GTP-U   | Observed UL/DL packet delay round trip on GTP-U path on N3 (average,          |  |  |
| (NOTE 2)  | variance).  |  |  |
| >> E2E UL/DL packet delay   | Observed End-to-End (between UE and UPF) UL/DL packet delay                   |  |  |
| (NOTE 2)  | (average, variance).  |  |  |
| >> E2E UL/DL packet loss rate   | Observed End-to-End (between UE and UPF) UL/DL packet loss                    |  |  |
| (NOTE 2) (NOTE 3)   | (average, variance).  |  |  |
| > Redundant Transmission Status   | Redundant Transmission Status, i.e. redundant transmission was                |  |  |
|   | activated or not activated for the time slot entry.                           |  |  |
| > Ratio   | Percentage on which UE, any UE, or UE group experience the packet             |  |  |
|   | drop rate and packet delay.   |  |  |
| NOTE 1: The Observed Redundant Transmission Experience can be further derived by SMF from the observed          |   |  |  |
| UL/DL packet drop rate GTP-U and UL/DL packet delay GTP-U.  |   |  |  |
| NOTE 2: This information element is an analytics subset that can be used in "list of analytics subsets that are |   |  |  |
| requested" and only applicable when Target of Analytics Reporting is for a single UE.                           |   |  |  |
| NOTE 3: The NWDAF outputs the analytics on E2E UL/DL packet loss rate based on the input UL/DL packet drop      |   |  |  |

NOTE 3: The NWDAF outputs the analytics on E2E UL/DL packet loss rate based on the input UL/DL packet drop rate on N3 and UL/DL packet drop/loss rate of RAN part.

Table 6.13.3-2: Redundant Transmission Experience predictions

| Information   | Description  |  |  |
|---|--|--|--|
| UE group ID or UE ID, any UE  | Identifies the UE(s) for which the prediction applies by a list of SUPIs, or |  |  |
|   | a group of UEs by a list of Internal-Group-Ids.                              |  |  |
| DNN   | Data Network Name associated for URLLC service.                              |  |  |
| Spatial validity  | Area where the estimated Redundant Transmission Experience                   |  |  |
| ·   | predictions applies.   |  |  |
|   | If Area of Interest information was provided in the request or subscription, |  |  |
|   | spatial validity should be the requested Area of Interest.                   |  |  |
| Time slot entry (1max)  | List of predicted time slots.  |  |  |
| >Time slot start  | Time slot start time within the Analytics target period.                     |  |  |
| > Duration  | Duration of the time slot.   |  |  |
| > Predicted Redundant Transmission  | Predicted Redundant Transmission Experience related information during       |  |  |
| Experience  | the Analytics target period.   |  |  |
| >> UL/DL packet drop rate GTP-U   | Predicted UL/DL packet drop rate on GTP-U path on N3 (average,               |  |  |
| (NOTE 2)  | variance).   |  |  |
| >> UL/DL packet delay GTP-U   | Predicted UL/DL packet delay round trip on GTP-U path on N3 (average,        |  |  |
| (NOTE 2)  | variance).   |  |  |
| >> E2E UL/DL packet delay   | Predicted End-to-End (between UE and UPF) UL/DL packet delay                 |  |  |
|   | (average, variance).   |  |  |
| >> E2E UL/DL packet loss rate   | Predicted End-to-End (between UE and UPF) UL/DL packet loss rate             |  |  |
| (NOTE 4)  | (average, variance).   |  |  |
| > Redundant Transmission Status   | Redundant Transmission Status, i.e., redundant transmission is activated     |  |  |
| (NOTE 3)  | or not activated for the time slot entry.                                    |  |  |
| > Ratio   | Percentage on which the UE, any UE, or UE group may efficiently use the      |  |  |
|   | PDU session with redundant transmission.                                     |  |  |
| > Confidence  | Confidence of this prediction.   |  |  |
|   | smission Experience can be further derived by the SMF from the predicted     |  |  |
|   | and UL/DL packet delay GTP-U and based on which the SMF can decide           |  |  |
| to start redundant transmission or not.   |  |  |  |
| NOTE 2: This information element is an analytics subset that can be used in "list of analytics subsets that are |  |  |  |
| requested" and only applicable when Target of Analytics Reporting is for a single UE.                           |  |  |  |
| NOTE 3: The list of predicted time slots and predicted redundant transmission experience is provided to the     |  |  |  |
| consumer when both the redundant transmission status is activated and not activated.                            |  |  |  |
| NOTE 4: The NWDAF outputs the prediction on E2E UL/DL packet loss rate based on the input UL/DL packet drop     |  |  |  |
| rate on N3 and UL/DL packet drop/loss rate of RAN part.   |  |  |  |

### 6.13.4 Procedures

## 6.13.4.1 Analytics Procedure

Figure 6.13.4.1-1 shows the analytics procedure. The NWDAF can provide analytics, in the form of statistics or predictions or both.

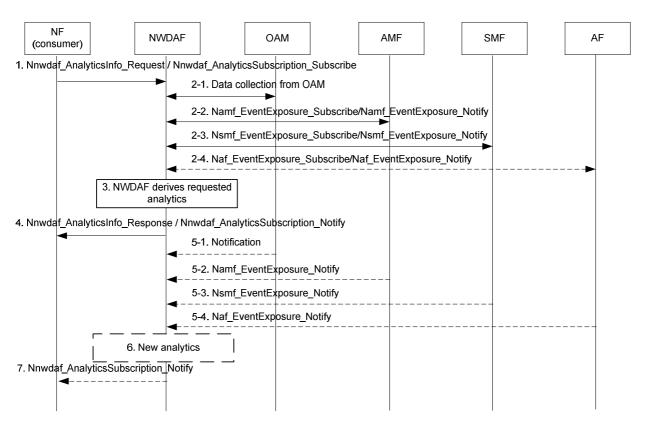


Figure 6.13.4.1-1: Redundant Transmission Experience analytics provided to an NF

- 1. The analytics consumer sends a request to the NWDAF for analytics on a Target for Analytics Reporting as defined in clause 6.13.2, using either the Nnwdaf\_AnalyticsInfo or Nnwdaf\_AnalyticsSubscription service. The NF can request statistics or predictions or both. The type of analytics is set to Redundant Transmission Experience. Analytics Filter Information optionally contains DNN, S-NSSAI, Area of Interest, etc.
- 2. If the request is authorized and in order to provide the requested analytics, the NWDAF may subscribe to events with all the serving AMFs for notification of location changes and may subscribe to events with SMFs serving PDU Session on URLLC service for notification of redundant transmission related information.

The NWDAF may subscribe the service data from AF(s) by invoking Naf\_EventExposure\_Subscribe service or Nnef\_EventExposure\_Subscribe (if via NEF).

The NWDAF collects UE mobility information and/or packet measurement information from OAM, following the procedure captured in clause 6.2.3.2. The NWDAF collects redundant transmission status from SMF.

NOTE: The NWDAF determines the AMF serving the UE, any UE, or the group of UEs, identified by an Internal-Group-id, as described in clause 6.2.2.1.

This step may be skipped when e.g. the NWDAF already has the requested analytics available.

- 3. The NWDAF derives requested analytics.
- 4. The NWDAF provide requested Redundant Transmission Experience analytics to the NF, using either the Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1.
- 5-7. If at step 1, the NF has subscribed to receive notifications for Redundant Transmission Experience analytics, after receiving event notification from the AMFs, SMF, AFs and OAM subscribed by NWDAF in step 2, the NWDAF may generate new analytics and provide them to the NF.

If a service consumer is SMF, the Redundant Transmission Experience analytics can be used to make decision if that redundant transmission shall be performed or (if activated) shall be stopped regarding the PDU session for URLLC service.

## 6.14 DN Performance Analytics

### 6.14.1 General

This clause specifies how an NWDAF can provide DN Performance Analytics which provides analytics for user plane performance (i.e. average/maximum traffic rate, average/maximum packet delay, average packet loss rate) in the form of statistics or predictions to a service consumer.

The DN Performance Analytics may provide one or a combination of the following information:

- User plane performance analytics for a specific Edge Computing application for a UE, group of UEs, or any UE
  over a specific serving anchor UPF.
- User plane performance analytics for a specific Edge Computing application for a UE, group of UEs, or any UE over a specific DNAI.
- User plane performance analytics for a specific Edge Computing application for a UE, group of UEs, or any UE over a specific Edge Application Server Instance.

The service consumer may be an NF (e.g. SMF, PCF) or an AF.

The consumer of these analytics shall indicate in the request or subscription:

- Analytics ID = "DN Performance";
- Target of Analytics Reporting as defined in clause 6.1.3;
- Analytics Filter Information as defined in table 6.14.1-1 and optionally a list of analytics subsets that are requested (see clause 6.14.3); and
- optionally, a preferred level of accuracy of the analytics;
- optionally, preferred level of accuracy per analytics subset (see clause 6.14.3);
- optionally, preferred order of results for the list of Network Performance information:
  - ordering criterion: one of the analytics subset (see clause 6.14.3);
  - order: ascending or descending;
- optionally, Reporting Thresholds, which apply only for subscriptions and indicate conditions on the level to be reached for respective analytics subsets (see clause 6.14.3) in order to be notified by the NWDAF;
- optionally, maximum number of objects and maximum number of SUPIs;
- An Analytics target period indicates the time period over which the statistics or predictions are requested; and
- Optionally, Spatial granularity size and Temporal granularity size.

Table 6.14.1-1: Analytics Filter Information related to DN Performance Analytics

| Information                        | Description   |
|------------------------------------|---|
| Application ID (0max)              | The identification of the application(s) for which the analytics information is subscribed or requested.  |
| S-NSSAI                            | Identifies the Network Slice for which analytics information is subscribed or requested.  |
| NSI ID(s)                          | Identifies the Network Slice instance(s) for which analytics information is subscribed or requested.  |
| Area of Interest                   | Identifies the Area (i.e. set of TAIs), as defined in TS 23.501 [2] for which the analytics information is subscribed or requested.   |
| Anchor UPF info                    | Identifies the UPF where a UE has an associated PDU session.  |
| DNN                                | DNN to access the application.  |
| DNAI                               | The UPF ID/address/FQDN information identifier of a user plane access to one or more DN(s) where applications are deployed as defined in TS 23.501 [2].                                 |
| Application Server Addresses       | List of IP addresses/FQDNs of the Application Servers that a UE, group of UEs, or 'any UE' has a communication session with for which DN Performance Analytic information is requested. |
| List of analytics subsets          | List of analytics subsets that are requested among those specified in clause 6.14.3.  |
| NOTE: All parameters are optional. |   |

## 6.14.2 Input Data

The data collected from the AF are defined in table 6.14.2-1

Table 6.14.2-1: Performance Data from AF

| Information                         | Source | Description  |
|-------------------------------------|--------|--|
| UE identifier                       | AF     | IP address of the UE at the time the measurements was      |
|                                     |        | made.  |
| UE location                         | AF     | The location of the UE when the performance                |
|                                     |        | measurement was made.                                      |
| Application ID                      | AF     | To identify the service and support analytics per type of  |
|                                     |        | service (the desired level of service).                    |
| IP filter information               | AF     | Identify a service flow of the UE for the application.     |
| Locations of Application            | AF/NEF | Locations of application represented by a list of DNAI(s). |
|                                     |        | The NEF may map the AF-Service-Identifier information      |
|                                     |        | to a list of DNAI(s) when the DNAI(s) being used by the    |
|                                     |        | application are statically defined.                        |
| Application Server Instance address | AF/NEF | The IP address/FQDN of the Application Server that the     |
|                                     |        | UE had a communication session when the                    |
|                                     |        | measurement was made.                                      |
| UL/DL Performance Data              | AF     | The performance associated with the communication          |
|                                     |        | session of the UE with an Application Server that          |
|                                     |        | includes: UL/DL Average/Maximum Packet Delay,              |
|                                     |        | UL/DL Average/Maximum Loss Rate and UL/DL                  |
|                                     |        | Average/Minimum/Maximum Throughput.                        |
| Timestamp                           | AF     | A time stamp associated to the Performance Data            |
|                                     |        | provided by the AF.  |

The data collected by the SMF are described in table 6.4.2-2.

The NWDAF subscribes to network data as defined in clause 6.4.2.

Data may be collected from OAM as described in table 6.4.2-3 by using the services provided by OAM as described in clause 6.2.3.

The Event Filters for the service data collection from SMF, AMF and AF are defined in TS 23.502 [3].

The timestamps are provided by each NF to allow correlation of QoS and traffic KPIs. The clock reference is able to know the accuracy of the time and correlate the time series of the data retrieved from each NF.

## 6.14.3 Output Analytics

The DN performance analytics is shown in table 6.14.3-1 and table 6.14.3-2.

group of UEs.

Table 6.14.3-1: DN service performance statistics

| Information   | Description  |  |
|---|--|--|
| Application ID  | Identifies the application for which analytics information is provided.  |  |
| S-NSSAI   | Identifies the Network Slice for which analytics information is provided. See note 1.  |  |
| DNN   | Identifies the data network name (e.g. "internet") for which analytics information is provided. See NOTE 1.  |  |
| DN performance (0-x)  | List of DN performances for the application.   |  |
| > Application Server Instance Address   | Identifies the Application Server Instance (IP address/FQDN of the Application Server).  |  |
| > Serving anchor UPF info   | The UPF ID/address/FQDN information for the involved anchor UPF. See   |  |
| > DNAI  | NOTE 1.  Identifier of a user plane access to one or more DN(s) where applications are deployed as defined in TS 23.501 [2].   |  |
| > Performance (NOTE 4)  | Performance indicators.  |  |
| >> Aggregated Traffic rate (NOTE 2,   | Aggregated traffic rate observed for the UE group or all UEs (i.e. any UE)   |  |
| NOTE 5)   | communicating with the application.  |  |
| >> Average Traffic rate (NOTE 2)  | UE granularity level Average traffic rate observed for the UE group or all UEs communicating with the application, or Average traffic rate observed for the specific UE in the statistics period.  |  |
| >> Maximum Traffic rate (NOTE 2)  | UE granularity level Maximum traffic rate observed for the UE group or all UEs communicating with the application, or Maximum Traffic rate observed for the specific UE in the statistics period.  |  |
| >> Minimum Traffic rate (NOTE 3)  | UE granularity level Minimum traffic rate observed for the UE group or all UEs communicating with the application, or Minimum Traffic rate observed for the specific UE in the statistics period.  |  |
| >> Variance Traffic rate (NOTE 2, NOTE 5)   | UE granularity level Variance of the traffic rate observed for the UE group or all the UEs communicating with the application, or Variance Traffic rate observed for the specific UE in the statistics period.                               |  |
| >> UE ID or list of UE IDs for traffic rate performance (1SUPImax) (NOTE 2, NOTE 5)                           | Identifies a UE or a list of UEs whose observed traffic rate is higher or lower than the Reporting Threshold.  |  |
| >> Average Packet Delay (NOTE 2)  | Average packet delay observed for the specific UE, the UE group or all UEs communicating with the application.   |  |
| >> Maximum Packet Delay (NOTE 2)  | Maximum packet delay observed for the specific UE, the UE group or all UEs communicating with the application.   |  |
| >> Variance Packet Delay (NOTE 2, NOTE 5)   | Variance of packet delay observed for the specific UE, the UE group or a UEs communicating with the application.   |  |
| >> UE ID or list of UE IDs for packet<br>delay performance (1SUPImax)<br>(NOTE 2, NOTE 5)                     | Identifies a UE or a list of UEs whose observed packet delay is higher or lower than the Reporting Threshold.  |  |
| >> Average Packet Loss Rate (NOTE 2)  | Average packet loss observed for the specific UE, the UE group or all UEs communicating with the application.  |  |
| >> Maximum Packet Loss Rate (NOTE 2)  | Maximum packet loss observed for the specific UE, the UE group or all UEs communicating with the application.  |  |
| >> Variance Packet Loss Rate<br>(NOTE 2, NOTE 5)  | Variance of packet loss rate observed for the specific UE, the UE group or all UEs communicating with the application.   |  |
| >> UE ID or list of UE IDs for packet loss performance (1SUPImax) (NOTE 2, NOTE 5)                            | Identifies a UE or a list of UEs whose observed packet loss rate is higher than the Reporting Threshold.   |  |
| >> Number of UEs (NOTE 2)   | The observed number of UEs for the UE group or all UEs (i.e. any UE) communicating with the application in the DNAI.   |  |
| > Spatial Validity Condition  | Area (i.e. list of TAIs) where the DN performance analytics applies. If a Spatial granularity size was provided in the request or subscription, the number of elements of the list is smaller than or equal to the Spatial granularity size. |  |
| > Temporal Validity Condition   | Validity period for the DN performance analytics. If a Temporal granularity size was provided in the request or subscription, the duration of this period is greater than or equal to the Temporal granularity size.                         |  |
| NOTE 2: Analytics subset that can be us accuracy per analytics subset"  NOTE 3: Minimum traffic rate measurem | info" shall not be included if the consumer NF is an AF. sed in "list of analytics subsets that are requested", "Preferred level of and "Reporting Thresholds".  |  |
|   | sed to support aggregated UE performance monitoring and exposure for a   |  |

Table 6.14.3-2: DN service performance predictions

| Application ID S-NSSAI  DNN  DN performance (0-x) | Identifies the application for which analytics information is provided.  Identifies the Network Slice for which analytics information is provided.  See NOTE 1.  Identifies the data network name (e.g. internet) for which analytics        |
|---|--|
| DNN DN performance (0-x)                          | Identifies the Network Slice for which analytics information is provided.  See NOTE 1.  Identifies the data network name (e.g. internet) for which analytics   |
| DN performance (0-x)                              |  |
| . ,   | information is provided. See NOTE 1.   |
|   | List of DN performance for the application.  |
| > Application Server Instance Address             | Identifies the Application Server Instance (IP address/FQDN of the Application Server).  |
| > Serving anchor UPF info                         | The UPF ID/address/FQDN information for the involved anchor UPF. See NOTE 1.   |
| > DNAI  | Identifier of a user plane access to one or more DN(s) where applications are deployed as defined in TS 23.501 [2].  |
| > Performance                                     | Performance indicators.  |
| >> Aggregated Traffic rate (NOTE 2)               | Aggregated traffic rate predicted for the UE group or all UEs (i.e. any UE) communicating with the application.  |
| >> Average Traffic rate (NOTE 2)                  | UE granularity level Average traffic rate predicted for the UE group or all UEs communicating with the application, or Average traffic rate predicted for the specific UE in the prediction period.  |
| >> Maximum Traffic rate (NOTE 2)                  | UE granularity level Maximum traffic rate predicted for the UE group or all UEs communicating with the application, or Maximum Traffic rate predicted for the specific UE in the prediction period.  |
| >> Minimum Traffic rate (NOTE 3)                  | UE granularity level Minimum traffic rate predicted for the UE group or all UEs communicating with the application, or Minimum Traffic rate predicted for the specific UE in the prediction period.  |
| >> Variance Traffic rate (NOTE 2)                 | UE granularity level Variance of the traffic rate predicted for the UE group or all the UEs communicating with the application, or Variance Traffic rate predicted for the specific UE in the prediction period.                             |
| >> Average Packet Delay (NOTE 2)                  | Average packet delay predicted for the specific UE, the UE group or all UEs communicating with the application.  |
| >> Maximum Packet Delay (NOTE 2)                  | Maximum packet delay for predicted for the specific UE, the UE group or all UEs communicating with the application.  |
| >> Variance Packet Delay (NOTE 2)                 | Variance of packet delay predicted for the specific UE, the UE group or all UEs communicating with the application.  |
| >> Average Packet Loss Rate<br>(NOTE 2)           | Average packet loss predicted for the specific UE, the UE group or all UEs communicating with the application.   |
| >> Maximum Packet Loss Rate (NOTE 2)              | Maximum packet loss predicted for the specific UE, the UE group or all UEs communicating with the application.   |
| >> Variance Packet Loss Rate<br>(NOTE 2)          | Variance of packet loss rate predicted for the specific UE, the UE group or all UEs communicating with the application.  |
| >> Number of UEs (NOTE 2)                         | The predicted number of UEs for the UE group or all UEs (i.e. any UE) communicating with the application in the DNAI.  |
| > Spatial Validity Condition                      | Area (i.e. list of TAIs) where the DN performance analytics applies. If a Spatial granularity size was provided in the request or subscription, the number of elements of the list is smaller than or equal to the Spatial granularity size. |
| > Temporal Validity Condition                     | Validity period for the DN performance analytics. If a Temporal granularity size was provided in the request or subscription, the duration of this period is greater than or equal to the Temporal granularity size.                         |
| > Confidence                                      | Confidence of this prediction. info" shall not be included if the consumer is an AF.   |

NOTE 3: Minimum traffic rate measurements are only derived from active traffic.

NOTE 1: The item "Serving anchor UPF info" shall not be included if the consumer is an AF.

NOTE 2: Analytics subset that can be used in "list of analytics subsets that are requested", "Preferred level of accuracy per analytics subset" and "Reporting Thresholds".

### 6.14.4 Procedures to request DN Performance Analytics for an Application

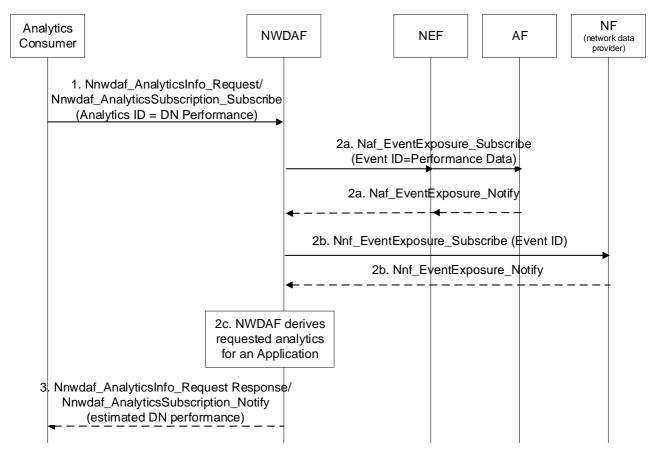


Figure 6.14.4-1: Procedure for NWDAF providing DN Performance analytics for an Application

The procedure illustrated in figure 6.14.4-1 allows an analytics consumer to request Analytics ID "DN Performance" for a particular Application. The analytics consumer includes the Application ID for which DN Performance is requested. The consumer indicates the Target of Analytics Reporting (e.g. "any UE") and may include as Analytic Filter Information the UPF anchor ID, DNAI, or Application Server instance that DN performance analytics are requested.

- 1. Analytics consumer sends an Analytics request/subscribe (Analytics ID = DN Performance Target of Analytics Reporting, Analytics Filter Information = (Application ID, S-NSSAI, DNN, Area of Interest, UPF anchor ID, DNAI, Application Server Address(es)), Analytics Reporting Information = Analytics target period) to NWDAF by invoking a Nnwdaf\_AnalyticsInfo\_Request or a Nnwdaf\_AnalyticsSubscription\_Subscribe service.
- 2a. NWDAF subscribes to the performance data from AF defined in table 6.14.2-1 by invoking Nnef\_EventExposure\_Subscribe or Naf\_EventExposure\_Subscribe service (Event ID = Performance Data, Application ID, Event Filter information), Target of Event Reporting = Any UE) as defined in TS 23.502 [3].
- NOTE 1: In the case of trusted AF, NWDAF provides the Area of Interest as a list of TAIs to AF. In the case of untrusted AF, NEF translates the requested Area of Interest provided as event filter by NWDAF into geographic zone identifier(s) that act as event filter for AF.
- 2b. NWDAF subscribes to the network data from 5GC NF(s) defined in table 6.4.2-2 by invoking Nnf\_EventExposure\_Subscribe service.
- 2c. With the collected data, the NWDAF estimates the DN Performance for the application.
- NWDAF provides the data analytics, to the analytics consumer by means of either Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1.
- NOTE 2: For simplicity, the call flow only shows a request-response model for the interaction of NWDAF and analytics consumer instead of both request-response model and subscription-notification model.

If the analytics consumer is an SMF, the SMF may use the analytics to determine the UPF and DNAI that offers the best user plane performance.

If the analytics consumer is an AF, the AF may use the analytics to determine the DNAI that has the best user plane performance if Application Server relocation is required.

### 6.15 Void

## 6.16 PFD Determination Analytics

#### 6.16.1 General

This clause specifies the procedure on how NWDAF can provide NWDAF-assisted PFD Determination analytics, in the form of statistics.

To assist determination of PFDs for known application identifiers, if the related Service Level Agreement does not preclude this, an NWDAF may perform data analytics on existing PFD information and user plane traffic and provide analytics results in the form of new or updated PFD determination statistics, e.g. new or updated IP 3-tuple list, to an analytics consumer in the 5GC. The NEF(PFDF) as the consumer uses the suggested PFD information of the PFD determination statistics provided by the NWDAF as defined in clause 4.18.2.2 of TS 23.502 [3] and in TS 23.503 [4].

The service consumer is a NEF(PFDF).

The consumer of these analytics shall indicate in the subscription:

- Analytics ID = " PFD Determination";
- Target of Analytics Reporting: "any UE";
- Application identifier;
- Analytics Filter Information optionally containing:
  - S-NSSAI(s);
  - DNN(s);
- An Analytics target period indicates the time period over which the statistics are requested;
- Analytics Reporting Parameters indicating either periodic reporting (together with the parameter periodicity) or reporting when threshold is reached (together with the parameter Reporting type (as defined in clause 4.15.1 of TS 23.502 [3]) to indicate that the report shall only occur when the information differs from the previous report);
- Optionally, the preferred level of accuracy on the PFD determination statistics;
- Optionally, maximum number of objects. This refers to the analytic output (i.e. maximum number of new or updated suggested PFD information in the PFD determination statistics);
- In a subscription, the Notification Correlation Id and the Notification Target Address are included.

## 6.16.2 Input Data

The NWDAF collects information on user data traffic from the UPF for a known Application ID optionally together with a combination of certain S-NSSAI(s) and/or DNN(s) and retrieves the existing PFDs from the NEF(PFDF). The detailed input data are described in Table 6.16.2-1.

Table 6.16.2-1: Input data to detect known application from NFs

| Information                  | Source                      | Description   |
|------------------------------|-----------------------------|---|
| Application ID for detection | UPF                         | Identifies the application detection algorithm used             |
| of suggested PFD             |                             | for the detection of suggested PFD information.                 |
| information                  |                             |   |
| (NOTE 1)                     |                             |   |
| Application Traffic Flow     | UPF                         | Per Application Traffic flow related information for            |
| Information (1max)           |                             | the application and optionally, for a DNN, S-NSSAI combination. |
| > IP 5-tuple                 | UPF                         | Identifies a service flow of the UE that uses the application.  |
| > Start time                 | UPF                         | Start time of traffic detection for the flow.                   |
| > End time                   | UPF                         | End time of traffic detection for the flow.                     |
| > UL Data volume             | UPF                         | Measured UL data traffic volume for the flow.                   |
| > DL Data volume             | UPF                         | Measured DL data traffic volume for the flow.                   |
| > UL Data Rate               | UPF                         | Measured UL data rate for the flow.                             |
| > DL Data Rate               | UPF                         | Measured DL data rate for the flow.                             |
| > URL list                   | UPF                         | List of URLs extracted from the inspected user                  |
|                              |                             | plane packets in the flow.                                      |
| > Domain Name list           | UPF                         | List of domain names extracted from the inspected               |
|                              |                             | user plane packets in the flow.                                 |
| PFD Information              | NEF(PFDF)                   | PFD Information stored in the UDR (as Application               |
|                              |                             | Data) and retrieved by NEF (PFDF), as defined in                |
|                              |                             | clause 6.1.2.3.2 of TS 23.503 [4].                              |
| > Application ID             | NEF(PFDF)                   | Identification of the application that refers to one or         |
|                              |                             | more application detection filters.                             |
| > PFD(s) (1max)              | NEF(PFDF)                   |   |
| >> PFD ID                    | NEF(PFDF)                   |   |
| >> IP 3-tuple list           | NEF(PFDF)                   | Including protocol, server side IP address and port number.     |
| >> URL list                  | NEF(PFDF)                   | The significant parts of the URL to be matched, e.g. host name. |
| >> Domain Name list          | NEF(PFDF)                   | A Domain Name matching criteria and information                 |
| 22 Bornain Name list         | NEI (I I DI )               | about applicable protocol(s).                                   |
| >> Source NF type            | NEF(PFDF)                   | Indicates the source NF type which generated the                |
| (NOTE 2)                     |                             | PFD (i.e. AF or NWDAF).   |
|                              |                             | uggested PFD information" can refer to a detection              |
| algorithm that has a         | wider scope than the detect | ion algorithm the Application ID provided by the                |

algorithm that has a wider scope than the detection algorithm the Application ID provided by the consumer refers to.

NOTE 2: The absence of the Source NF type indicates that this PFD was generated by the AF.

NOTE 1: Extensive reporting of all traffic flows may conflict with the requirement to avoid extra UPF load. An NWDAF may subscribe only for reporting for some UEs to limit the load.

NOTE 2: In order to enable retrieval of input data from the UPF as listed in Table 6.16.2-1, if UPF supports exposure, then SMF is expected to provide DNN and S-NSSAI to UPF at PDU Session establishment.

### 6.16.3 Output Analytics

The output analytics of PFD Determination is defined in Table 6.16.3-1. The output analysis may be used to provision new or updated PFDs information for known applications. The NWDAF may assign a confidence to the PFD Determination statistics based on the analytics of input data provided by UPF and NEF(PFDF).

Table 6.16.3-1: PFD Determination statistics

| Information                       | Description   |
|-----------------------------------|---|
| Application ID                    | Identifies the application for which the PFD information applies.       |
| List of suggested PFD information | Suggested PFD information derived by the analytics for the application. |
| (1max)                            |   |
| > PFD ID                          | Identifier of the PFD (i.e. new PFD ID assigned by NWDAF or existing    |
|                                   | PFD ID retrieved from UDR).   |
| > IP 3-tuple list                 | Including protocol, server side IP address and port number.             |
| > URL list                        | The significant parts of the URL to be matched, e.g. host name.         |
| > Domain Name list                | A Domain Name matching criteria and information about applicable        |
|                                   | protocol(s).  |
| > Confidence                      | Confidence on the provided suggested PFD information for the            |
|                                   | Application ID.   |

#### 6.16.4 Procedures

Figure 6.16.4-1 shows the procedure that a consumer can use to request PFD Determination analytics.

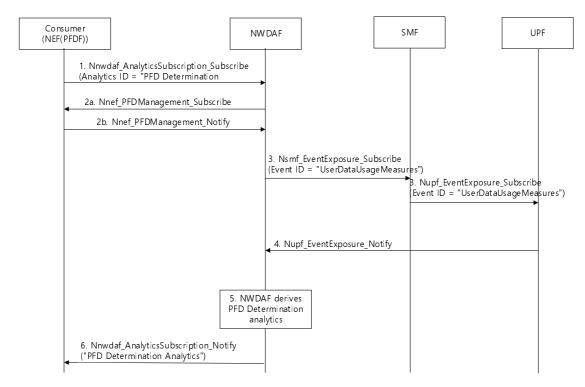


Figure 6.16.4-1: A procedure for NWDAF-assisted PFD Determination

- 1. The Consumer NF (NEF(PFDF)) subscribes to the NWDAF to request PFD Determination analytics for a known application identifier. The Target of Analytics Reporting is set to Any UE.
  - The Analytics Filter Information may optionally include the S-NSSAI and/or DNN.
- 2. The NWDAF subscribes to PFD notifications for the Application ID provided in step 1 by sending Nnef\_PFDManagement\_Subscribe message to the NEF (PFDF) in order to be informed about the stored PFD information in use from UDR via NEF(PFDF). The NEF(PFDF) sends the PFD(s) that are currently stored for the Application ID in the Nnef\_PFD\_Management\_Notify message. The NEF(PFDF) will send further Nnef\_PFD\_Management\_Notify messages whenever the PFD(s) for this Application ID change.
- 3. The NWDAF collects information from the UPF (event "User Data Usage Measures") as listed in Table 6.16.2-1 via SMF as defined in clause 5.8.2.17 of TS 23.501 [2]. NWDAF discovers the SMF through NRF as defined in clause 4.15.4.5.3 of TS 23.502 [3]. The event "User Data Usage Measures" is defined in clause 5.2.26.2 of TS 23.502 [3], the subscription to the event includes an "Application ID for detection of suggested PFD information" which allows the NWDAF to retrieve input information to derive PFD Determination Analytics for the Application ID that is provided by the consumer.

- NOTE 1: The Application ID provided by the consumer and the "Application ID for detection of suggested PFD information" provided to the UPF to retrieve input data for PFD Determination Analytics can be different ones.
- 4. UPF reports the data directly to NWDAF.
- 5. The NWDAF derives PFD Determination analytics, e.g. new or updated suggested PFD information for the existing Application ID. For providing new suggested PFD information, the NWDAF shall assign a new PFD ID that is not yet used for this Application ID. For updating PFD information, the NWDAF shall use the existing PFD ID when indicating the updated suggested PFD information. For deleting PFD information, the NWDAF shall only indicate the existing PFD ID. The NWDAF can only update or delete suggested PFD information previously generated by the NWDAF, i.e. that contains "NWDAF" as source NF type. When the consumer provides the preferred level of accuracy, the NWDAF provides PFD Determination Analytics that reaches or exceeds this level to the consumer.
- 6. The NWDAF notifies PFD Determination analytics (shown in table 6.16.3-1) to the consumer NF (i.e. NEF(PFDF)) with suggested PFD Information according to the reporting mode indicated by the Consumer NF (i.e. NEF(PFDF)) during the subscription in step 1.
- NOTE 2: Steps 2b, 4, 5 and 6 can occur multiple times during the lifetime of the subscription of the consumer NF (i.e. NEF(PFDF)).

# 6.17 Location Accuracy Analytics

#### 6.17.1 General

This clause specifies how an NWDAF can provide Location Accuracy Analytics which provides analytics for LCS QoS accuracy to an analytics consumer such as LMF.

With different input data to NWDAF, the Location Accuracy Analytics provides one or a combination of the following information:

- when the input data is location:
  - location accuracy (i.e. Horizontal Accuracy (see clause 4.3.1 of TS 22.071 [35]) and optionally Vertical Accuracy (see clause 4.3.2 of TS 22.071 [35]) of applicable positioning method at indicated location;
  - Indication on whether a UE is indoor or outdoor when a location measurement is made (optional);
  - Indication on whether a UE location is measured with NLOS or LOS (optional);
- when the input data is area:
  - average location accuracy (i.e. Horizontal Accuracy (see clause 4.3.1 of TS 22.071 [35]) and optionally Vertical Accuracy (see clause 4.3.2 of TS 22.071 [35]) of applicable positioning method at indicated area;
  - percentage of indoor/outdoor measurement for an applicable area (optional);
  - percentage of NLOS/LOS measurement for an applicable area (optional);
- requested Confidence of location accuracy prediction (optional).

The consumer of these analytics shall indicate in the request or subscription:

- Analytics ID = "Location Accuracy";
- Target of Analytics Reporting: "any UE";
- Analytics Filter Information:
  - Optionally, the Positioning methods used as defined in clause 4.2b of TS 23.273 [39];
  - Location information (horizontal and optional vertical); or

- Area of Interest: restricts the scope of the LCS accuracy analytics to the provided area. The AOI is TA and/or cell list as defined in TS 23.502 [3]. Depends on the NWDAF consumer, there can be more than one AOIs in one request;
- Optionally, the list of analytics subsets that are requested among those specified in clause 6.17.3;
- optionally, a preferred level of accuracy of the analytics;
- optionally, preferred level of accuracy per analytics subset (see clause 6.17.3);
- optionally, Reporting Thresholds, which apply only for subscriptions and indicate conditions on the level to be reached for respective analytics subsets (see clause 6.17.3) in order to be notified by the NWDAF;
- optionally, maximum number of objects; and
- an Analytics target period indicates the time period over which the statistics or predictions are requested.

### 6.17.2 Input Data

In Input data for the analytics ID is listed in Table 6.17.2-1. A training should be done by performing a specific LCS positioning method and comparing the position result with the ground truth UE location obtained by another method.

NOTE: There are at least two conceivable options for the ground truth data used for the ML Model training of this analytics ID. One option is to use the known location of Positioning Reference Units (PRUs), another option is to use the positioning result of one positioning method (preferably Global Navigation Satellite System (GNSS) information such as GPS information). Collecting PRU related information by NWDAF is not supported in this release. There could be other options for ground truth data which can be determined in the future releases.

Table 6.17.2-1: Data Collected by NWDAF for Location Accuracy Analytics

| Information                   | Source  | Description   |
|-------------------------------|---------|---|
| UE IDs                        | AMF     | (List of) SUPI(s)   |
| Finer granularity UE location | LCS     | UE location information.                                  |
|                               | NOTE 1  |   |
| >UE location                  |         | Geographical location or location in local coordinate (as |
|                               |         | defined in TS 23.032 [34])                                |
| >positioning methods          |         | The positioning methods used by the LMF when the          |
|                               |         | location measurement was made                             |
| >LCS QoS                      |         | LCS QoS accuracy as defined in clause 4.1b in             |
|                               |         | TS 23.273 [39]  |
| >Indoor/outdoor indication    |         | Indication of indoor/outdoor when LMF can distinguish     |
|                               |         | indoor/outdoor environment                                |
| >NLOS/LOS indication          |         | Indication whether the UE measurements were based on      |
|                               |         | LOS/NLOS measurements                                     |
| UE location (ground truth)    | AF      | Timestamped UE positions                                  |
| >UE location                  | NOTE 2, | GNSS location information                                 |
| >Timestamp                    | NOTE 3  | Time stamp for the UE location                            |
| >Accuracy                     |         | The accuracy of the GNSS location information             |

NOTE 1: The procedure to collect location data using LCS is described in clause 6.2.12.

NOTE 2: How the AF obtains timestamped GNSS location information of a UE ID is out of 3GPP scope. Whether to trust the UE location from AF is up to the implementation.

NOTE 3: When AF is deployed in the untrusted domain, NEF is employed to mediate the interactions between NWDAF and AF via the Naf\_EventExposure\_Subscribe service specified in clause 5.2.19.2 of TS 23.502 [3], as described in clause 6.2.8.2.3.

## 6.17.3 Output Analytics

The Location Accuracy analytics are shown in table 6.17.3-1.

**Table 6.17.3-1: Location Accuracy statistics** 

| Information  | Description  |  |  |
|--|--|--|--|
| List of Accuracy   |  |  |  |
| sustainability Analytics   |  |  |  |
| (1max)   |  |  |  |
| >Applicable Area   | A list of TAIs or Cell IDs or longitude and latitude level location information that the   |  |  |
|  | analytics applies to   |  |  |
| >Applicable Time Period  | The time period within the Analytics target period that the statistics applies to.   |  |  |
| > Positioning Methods  | The positioning methods used to measure location as defined in clause 4.2b of  |  |  |
| (1max) (NOTE)  | TS 23.273 [39]   |  |  |
| >> average accuracy of   | Statistics about the horizontal and optionally vertical location average accuracy of   |  |  |
| positioning method in  | applicable positioning method at the applicable area   |  |  |
| applicable area (NOTE)   |  |  |  |
| >> Percentage of   | Provides ratio of LOS to NLOS measurements of positioning method in the applicable   |  |  |
| NLOS/LOS measurements  | area   |  |  |
| of positioning method in   |  |  |  |
| applicable area  |  |  |  |
| > Percentage of UE in  | Provides ratio of UEs that are indoor/outdoor in the applicable area   |  |  |
| indoor/outdoor in applicable   |  |  |  |
| area (optional) (NOTE)   |  |  |  |
| > Applicable Location  | A location (i.e. Geographical location or location in local coordinate (as defined in TS 23.032 [34])) that the analytics applies to |  |  |
| >Applicable Time Period  | The time period within the Analytics target period that the prediction applies to.   |  |  |
| > Positioning Methods  | The positioning methods used to measure location as defined in clause 4.2b of  |  |  |
| (1max) (NOTE)  | TS 23.273 [39]   |  |  |
| >>accuracy of  | statistics about the horizontal and optionally vertical location accuracy of applicable  |  |  |
| positioning method in  | positioning method at the applicable location  |  |  |
| applicable location (NOTE)   |  |  |  |
| >>Indication whether the   | Indicates whether this applicable location is measured with NLOS or LOS with the   |  |  |
| location is measured   | positioning method   |  |  |
| NLOS/LOS with the  |  |  |  |
| positioning method   |  |  |  |
| > Indication whether   | Indicates whether this applicable location is measured to be indoor or outdoor   |  |  |
| location is indoor/outdoor   |  |  |  |
| (optional) (NOTE)  |  |  |  |
| NOTE: Analytics subset that can be used in "list of analytics subsets that are requested", "Preferred level of |  |  |  |
| accuracy per analyt  | accuracy per analytics subset" and "Reporting Thresholds".   |  |  |

**Table 6.17.3-2: Location Accuracy predictions** 

| Information   | Description   |  |
|---|---|--|
| List of Accuracy<br>sustainability Analytics<br>(1max)  |   |  |
| >Applicable Area  | A list of TAIs or Cell IDs or longitude and latitude level location information that the analytics applies to                             |  |
| >Applicable Time<br>Period  | The time period within the Analytics target period that the prediction applies to   |  |
| > Positioning Methods (1max) (NOTE)   | The positioning methods used to measure location as defined in clause 4.2b of TS 23.273 [39]  |  |
| >> average accuracy of positioning method in applicable area (NOTE)   | Prediction about the horizontal and optionally vertical location average accuracy of applicable positioning method at the applicable area |  |
| >> Percentage of NLOS/LOS measurements of positioning method in applicable area   | Provides predicted ratio of LOS to NLOS measurements of positioning method in the applicable area   |  |
| > Percentage of UE in<br>indoor/outdoor in<br>applicable area (optional)<br>(NOTE)  | Provides predicted ratio of UEs that are indoor/outdoor in the applicable area  |  |
| > Applicable Location   | A location (i.e. Geographical location or location in local coordinate (as defined in TS 23.032 [34])) that the analytics applies to      |  |
| >Applicable Time<br>Period  | The time period within the Analytics target period that the prediction applies to.  |  |
| > Positioning Methods (1max) (NOTE)   | The positioning methods used to measure location as defined in clause 4.2b of TS 23.273 [39]  |  |
| >>accuracy of positioning method in applicable location (NOTE)  | Prediction about the horizontal and optionally vertical location accuracy of applicable positioning method at the applicable location     |  |
| >>Indication whether<br>the location is measured<br>NLOS/LOS with the<br>positioning method   | Predicts whether this applicable location is measured with NLOS or LOS with the positioning method  |  |
| > Indication whether location is indoor/outdoor (optional) (NOTE)   | Predicts whether this applicable location is measured to be indoor or outdoor   |  |
| > Confidence  | Confidence of the prediction  |  |
| NOTE: Analytics subset that can be used in "list of analytics subsets that are requested", "Preferred level of accuracy per analytics subset" and "Reporting Thresholds". |   |  |

### 6.17.4 Procedures to request Location Accuracy Analytics

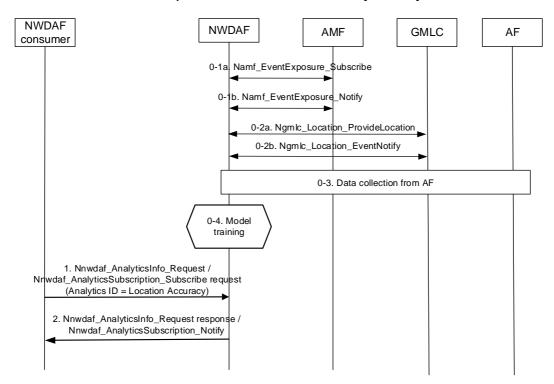


Figure 6.17.4-1: Location accuracy analytics retrieval

**Pre-condition:** NWDAF has a trained supervised ML Model for predicting location accuracy. In the training phase, the NWDAF consumes input data as listed in clause 6.17.2. To pre-train the ML Model, NWDAF may collect input data based on area of interest (AoI). The AoI is determined by NWDAF.

- 0-1. NWDAF collects UE IDs from AMF as defined in Table 6.17.2 via the AMF event exposure service with AoI as filtering criteria.
- 0-2. NWDAF collects the UE location information using LCS (location estimate, Position Methods Used, Indoor/Outdoor indication, NLOS/LOS indication) as described in clause 6.2.12 and Table 6.17.2 with UE ID as filtering criteria.
- 0-3. NWDAF collects ground truth UE location information (i.e. timestamped GNSS location information) from AF.
- 0-4. NWDAF prepares the analytics for statistics and/or pre-trains the ML Model for prediction.
- 1. The Analytics consumer, e.g. LMF, requests from NWDAF location accuracy statistics or predictions, giving as inputs the corresponding Analytics ID and UE location estimate (in the form of horizontal and/or vertical location) or corresponding area (cell ID/TAI). The analytics request to the NWDAF may be a one time or a subscription request. Other inputs such as UE positioning method, applicable period can be assistance data.
- NOTE 1: It is assumed that the analytics consumer determines a location. For instance, the LMF can be triggered by LCS client request to determine a location and then use location accuracy analytics to inquire the accuracy of the determined location.
- NOTE 2: Both Nnwdaf\_AnalyticsSubscription or Nnwdaf\_AnalyticsInfo services can be used for step 1. The Nnwdaf\_AnalyticsSubscription service can be used by a service consumer to receive notifications about location accuracy, e.g. when a change is detected.
- 2. The NWDAF uses the trained ML Model and provides the location accuracy as output to the analytics consumer.
- NOTE 3: The NWDAF can derive the accuracy based on the model without further input data.

## 6.18 End-to-end data volume transfer time analytics

#### 6.18.1 General

Clause 6.18 describes how NWDAF can provide E2E data volume transfer time analytics, in the form of statistics or predictions or both, to a service consumer. NWDAF collects E2E data volume transfer time related input data from 5GC NFs, OAM and AF. The consumer can either subscribe to analytics notifications (i.e. a Subscribe-Notify model) or request a single notification (i.e. a Request-Response model).

The E2E data volume transfer time refers to a time delay for completing the transmission of a specific data volume from UE to AF, or from AF to UE. The data volume may be the expected or observed data volume from UE to AF or from AF to UE. The NWDAF may use the data volume indicated by the consumer as a reference to collect the input data for deriving the statistics and predictions of the end-to-end data volume transfer time. If a target repetition number of data transmissions or a target time interval between data transmissions is given, the E2E data volume transfer time can be provided as an average value of the data volume transfer times within the Analytics target period. The E2E data volume transfer time analytics may be used to assist an AF or NEF with AI/ML-based services, e.g. for member UE selection of federated learning.

The E2E data volume transfer time analytics may be provided as defined in clause 6.18.3 for a single UE or a list of UEs.

More than one E2E data volume transfer time classes might be assigned by operator or AF to a list of UEs. The UEs might be classified into high-, medium- and low-transfer time classes with respect to the threshold(s) of the corresponding class.

The service consumer may be an NF (e.g. AF, or NEF).

The consumer of these analytics indicates in the request or subscription:

- Analytics ID = "E2E data volume transfer time ".
- Target of Analytics Reporting as defined in clause 6.1.3.
- Analytics Filter Information, including:
  - Optionally, DNN;
  - Optionally, S-NSSAI;
  - Optionally, Application ID;
  - Optionally, Area of Interest (AOI(s)): restricts the scope of the E2E data volume transfer time analytics to the provided area;
  - Optionally, a list of analytics subsets that are requested (see clause 6.18.3);
  - QoS requirements (e.g. 5QI, QoS Characteristics);
  - Optionally, either a target number of repeating data transmissions or a target time interval between data transmissions within the Analytics target period;
- Data Volume UL/DL: indicates a specific data volume per transmission either from UE to AF or from AF to UE;
- A request for geographical distribution (i.e. the AoIs) of the UEs.

An Analytics target period indicates the time period over which the statistics or predictions are requested.

- In a subscription, the Notification Correlation Id and the Notification Target Address are included.
- Optionally, preferred level of accuracy of the analytics.
- Optionally, preferred level of accuracy per analytics subset (see clause 6.18.3).
- Optionally, preferred order of results for the list of E2E data volume transfer times:
  - ordering criterion: "E2E data volume transfer time",

- order: ascending or descending.
- Optionally, Reporting Thresholds, which apply only for subscriptions and indicate conditions on the levels to be reached for the respective analytics subsets (see clause 6.18.3)
- Optionally, maximum number of UEs.

### 6.18.2 Input Data

The NWDAF supporting analytics on E2E data volume transfer time shall be able to collect information from AF, OAM and 5GC NFs.

The information collected by the NWDAF from the OAM is defined in the Table 6.18.2-1, from relevant 5GC NFs (i.e. UPF, SMF, AMF) is defined in Table 6.18.2-2 and from AF is defined in Table 6.18.2-3.

Table 6.18.2-1: Input data from OAM related to E2E data volume transfer time

| Information   | Source                         | Description  |
|---|--------------------------------|--|
| RAN part delay for DL and UL  | OAM<br>(See NOTE 2)            | Average packet transmission delay through the RAN part to the UE per 5QI and per S-NSSAI as specified in clauses 6.3.1.2.1 and 6.3.1.7.1 of TS 28.554 [10] and per UE level per supported S-NSSAI and per QoS level in clauses 6.3.1.1.1 and 6.3.1.1.6 of TS 28.558 [50].          |
| Timestamp   | OAM                            | A time stamp associated with the collected information.  |
| RAN Throughput for DL and UL  | OAM<br>(see NOTE 1)            | The per UE measurement of the throughput for DL and UL as specified in clauses 5.2.1.1 and 5.4.1.1 of TS 37.320 [20].  |
| RAN Packet delay for DL and UL  | OAM<br>(see NOTE 1)            | The per UE measurement of the packet delay for DL and UL, including per DRB per UE packet delay as specified in clause 5.4.1.1 of TS 37.320 [20].  |
| RAN Packet loss rate for DL and UL  | OAM<br>(see NOTE 1,<br>NOTE 2) | The per UE measurement of the packet loss rate for DL and UL, including per DRB per UE packet loss rate as specified in clause 5.4.1.1 of TS 37.320 [20] and packet loss rate per UE per QoS level and per supported S-NSSAI in clauses 6.3.1.2.1 and 6.3.1.3.1 of TS 28.558 [50]. |
| Average UL/DL packet delay between PSA UPF and UE   | OAM<br>(See NOTE 2)            | The average of UL/DL packet delay between PSA UPF and UE per S-NSSAI as specified in clauses 5.4.9.1.1 and 5.4.9.2.1 of TS 28.552 [8] and per UE level per supported S-NSSAI and per QoS level in clauses 6.2.2.1.1 and 6.2.2.1.3 of TS 28.558 [50].                               |
| Average UL/DL Packet delay between PSA UPF and RAN  | OAM<br>(See NOTE 2)            | The per UE level per supported S-NSSAI and per QoS level of the average UL/DL packet delay between PSA UPF and RAN as specified in clauses 6.2.2.1.4 and 6.2.2.1.5 of TS 28.558 [50].  |
| Average DL/UL UE throughput in gNB  | OAM<br>(See NOTE 2)            | Average DL/UL UE throughput in the gNB per QoS level (mapped 5QI) and per S-NSSAI as specified in clauses 5.1.1.3.1 and 5.1.1.3.3 of TS 28.552 [8] and per UE per supported S-NSSAI and per QoS level clauses 6.3.1.4.1 and 6.3.1.4.2 of TS 28.558 [50].                           |
| NOTE 1: Per UE measurement for a specific UE from OAM (via MDT), is as specified in clause 6.2.3.1 in TS 37.230 [20]. |                                |  |

NOTE 2: In addition to the average KPIs, per UE level measurements if available, can be taken into account by the NWDAF.

NWDAF subscribes to the input data from OAM as defined in the Table 6.18.2-1 by using the services provided by OAM as described in clause 6.2.3.

NOTE 1: Whether the UE(s) is supporting a Slice or not can be checked by retrieving the registered AMF details from UDM or by asking AMF about what Slice is used by the UE(s) at the current registration (Alternatively, if NSACF is deployed, NSACF can provide a report on what slices are used by the UE(s)).

NOTE 23: User consent checking from UDM can apply to these analytics.

Table 6.18.2-2: Service Data from 5GC NFs for E2E data volume transfer time analytics

| Information   | Source               | Description  |
|---|----------------------|--|
| Timestamp   | 5GC NF               | A time stamp associated with the collected information.  |
| UE location   | AMF, LCS<br>(NOTE 1) | Location of the UE(s) needs to be collected from AMF if the application needs to be started at the same time. If the AoI indicated by the AF is a finer granularity area than the Cell level, the current location of the UE(s) needs to be collected from GMLC instead. |
| UE ID   | AMF                  | (list of) SUPI(s).   |
| 5QI   | SMF                  | A reference to 5G QoS characteristics.   |
| QoS flow Packet Delay   | SMF, UPF             | The observed Packet delay for UL/DL/round trip directions between UE and PSA_UPF.  |
| RAT Type  | SMF                  | The RAT types the UE camps on.   |
| Access Type   | SMF                  | The list of Access Type(s) used for the PDU Session.   |
| NOTE 1: The procedure to collect location data using LCS is described in clause 6.2.12. |                      |  |

Table 6.18.2-3: Service Data from AF for E2E data volume transfer time analytics

| Information                             | Source | Description   |
|---|--------|---|
| Timestamp                               | AF     | Timestamp of the collected information.   |
| Application ID                          | AF     | Identifier of the application at the AF.  |
| UE ID(s)                                | AF     | Internal or External UE IDs (i.e. SUPI or GPSI, respectively).                      |
| Transmitted UL/DL data volume           | AF     | The volume of the transmitted UL/DL data.   |
| UL/DL transmission time duration        | AF     | The time duration (start and end time) needed for sending the volume of UL/DL data. |
| Application Server Instance information | AF     | The IP address/FQDN of the Application Server.                                      |

NOTE 3: How to derive the time delay for sending a specific data volume between UPF and AF on N6 interface is out of scope of the present specification.

For calculation of the E2E data volume transfer time, the NWDAF uses mainly the Data Volume DL/UL provided by the analytics consumer (see clause 6.18.1) and the Average DL/UL UE throughput in gNB of the NG-RAN serving the UE or in the AoI (if an AoI is provided by the analytics consumer). Per UE input parameters if available may also be taken into account by the NWDAF.

## 6.18.3 Output Analytics

The NWDAF supporting E2E data volume transfer time analytics provides the analytics results to consumer NFs, e.g. AF, or NEF. The analytics results provided by the NWDAF could be E2E data volume transfer time statistics as defined in Table 6.18.3-1 or predictions as defined in table 6.18.3-2.

Table 6.18.3-1: E2E data volume transfer time statistics

| Information                   | Description   |
|-------------------------------|---|
| UE ID or list of UE IDs       | Identifies the UE(s)for which the statistic applies by a list of SUPIs.   |
| (1SUPImax)                    |   |
| Time slot entry (1max)        | List of time slots during the Analytics target period.  |
| > Time slot start             | Time slot start within the Analytics target period.   |
| > Duration                    | Duration of the time slot.  |
| > E2E data volume transfer    | List of E2E data volume transfer time statistics per UE.  |
| time information (1max)       | Max. is the number of UEs, if applicable.   |
| >> Application ID             | Identifies the application in use during the time slot  |
| >> UE location                | Indicate the UE location information when the service is delivered.   |
| >> DNN                        | DNN for the PDU Session which contains the QoS flow.  |
| >> S-NSSAI                    | Identifies the Network Slice used to access the application.  |
| >> RAT Type                   | Indicate the list of RAT types for which the E2E data volume transfer time applies.                                     |
| >> Access Type                | Access Type when the UE establishes a PDU Session for the AF.   |
| >> Validity period            | The validity period within the time slot for the E2E data volume transfer time statistics                               |
|                               | as defined in clause 6.1.3.   |
| >> Spatial validity           | Area where the E2E data volume transfer time statistics applies.  |
| >> E2E data volume transfer   | E2E data volume transfer time UL indicators.  |
| time UL                       | Statistics of E2E data volume transfer time for uplink over the time slot (e.g. average,                                |
|                               | variance).  |
| >>> Data volume UL            | Indicates uplink data volume used to derive E2E data volume transfer time UL.   |
| >> E2E data volume transfer   | E2E data volume transfer time DL indicators.  |
| time DL                       | Statistics of E2E data volume transfer time for downlink over the time slot (e.g.                                       |
|                               | average, variance).   |
| >>> Data volume DL            | Indicates downlink data volume used to derive E2E data volume transfer time DL.   |
| > Classified E2E data         | Classified E2E data volume transfer time statistics for multiple UEs with respect to                                    |
| volume transfer time          | one or more reporting thresholds (e.g. NWDAF may provide the ratio of UEs that have                                     |
| information for a list of UEs | reached certain Reporting Threshold(s)).  |
| (NOTE 1)                      | The list of UEs is indicated in the request of service consumer.  |
| >> E2E data volume transfer   | List with group of UEs classified by ranges of E2E data volume transfer time.   |
| time classes (1max)           |   |
| (NOTE 2)                      |   |
| >>> UE ID(s)                  | Identifies the UE(s) in the transfer time class with respect to the threshold of the corresponding transfer time class. |
| >>> Ratio of UEs per E2E      | Ratio of UEs.   |
| data volume transfer time     | Naio oi ols.  |
| class                         |   |
| >> Validity period            | The validity period within the time slot for the Classified E2E data volume transfer                                    |
| 22 Validity polica            | times for a list of UEs statistics as defined in clause 6.1.3.  |
| >> Spatial Validity           | Area where the Classified E2E data volume transfer times statistics for a list of UEs                                   |
| - Spatial validity            | analytics applies.  |
| > Geographical distribution   | If requested, a list of UEs per location information.   |
| of the UE(s)                  | - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1   |
|                               | at can be used in "list of analytics subsets that are requested". "Preferred level of                                   |

NOTE 1: Analytics subset that can be used in "list of analytics subsets that are requested", "Preferred level of accuracy per analytics subset" and "Reporting Thresholds".

NOTE 2: The number of transfer time classes may be pre-configured by the operator or provided by the service

consumer via reporting thresholds.

Table 6.18.3-2: E2E data volume transfer time predictions

| Information  | Description   |
|--|---|
| UE ID or list of UE IDs (1SUPImax)                       | Identifies the UE(s) for which the predictions applies by a list of SUPIs.  |
| Time slot entry (1max)                                   | List of time slots during the Analytics target period.  |
| > Time slot start  | Time slot start within the Analytics target period.   |
| > Duration   | Duration of the time slot.  |
| > E2E data volume transfer                               | List of E2E data volume transfer time predictions per UE.   |
| time information (1max)                                  | Max. is the number of UEs, if applicable.   |
| >> Application ID  | Identifies the application in use during the time slot.   |
| >> UE location   | Indicate the UE location information when the service is delivered.   |
| >> DNN   | DNN for the PDU Session which contains the QoS flow.  |
| >> S-NSSAI   | Identifies the Network Slice used to access the application.  |
| >> RAT Type  | Indicate the list of RAT types for which the E2E data volume transfer time applies.   |
| >> Access Type   | Access Type when the UE establishes a PDU Session for the AF.   |
| >> Validity period                                       | The validity period within the time slot for the E2E data volume transfer time prediction as defined in clause 6.1.3.         |
| >> Spatial validity                                      | Area where the E2E data volume transfer time prediction applies.  |
| >> E2E data volume transfer                              | E2E data volume transfer time indicators.   |
| time UL  | Predictions of E2E data volume transfer time for uplink over the time slot (e.g.  |
|  | average, variance).   |
| >>> Data volume UL                                       | Indicates uplink data volume used to derive E2E data volume transfer time UL.   |
| >> E2E data volume transfer                              | E2E data volume transfer time DL indicators.  |
| time DL  | Predictions of E2E data volume transfer time for downlink over the time slot (e.g.  |
|  | average, variance).   |
| >>> Data volume DL                                       | Indicates downlink data volume used to derive E2E data volume transfer time DL.   |
| > Classified E2E data                                    | Classified E2E data volume transfer time prediction for multiple UEs with respect to  |
| volume transfer time                                     | one or more reporting thresholds (e.g. NWDAF may provide the ratio of UEs that have   |
| information for a list of UEs                            | reached certain Reporting Threshold(s)).  |
| (NOTE 1)   | The list of UEs is indicated in the request of service consumer.  |
| >> E2E data volume transfer time classes (1max) (NOTE 2) | List with group of UEs classified by ranges of E2E data volume transfer time.   |
| >>> UE ID(s)   | Identifies the UE(s) in the transfer time class with respect to the threshold of the corresponding transfer time class.       |
| >>> Ratio of UEs per E2E                                 | Ratio of UEs.   |
| data volume transfer time class                          |   |
| >> Validity period                                       | The validity period within the time slot for the Classified E2E data volume transfer  |
|  | times for a list of UEs prediction as defined in clause 6.1.3.  |
| >> Spatial Validity                                      | Area where the Classified E2E data volume transfer times prediction for a list of UEs applies.                                |
| > Geographical distribution of the UE(s)                 | If requested, a list of UEs per location information.   |
| Confidence   | Confidence of this prediction.  |
| NOTE 1: Analytics subset that                            | at can be used in "list of analytics subsets that are requested", "Preferred level of ics subset" and "Reporting Thresholds". |

NOTE 2: The number of transfer time classes may be pre-configured by the operator or provided by the service consumer via reporting thresholds.

NOTE: When Target of Analytics Reporting is an individual UE, one UE ID (i.e. SUPI) will be included, the NWDAF will provide the analytics transfer time result (i.e. list of (predicted) time slots) to NF service consumer(s) for the UE.

#### 6.18.4 **Procedures**

The NWDAF may provide E2E data volume transfer time analytics to a 5GC NF (e.g. AF, or NEF).

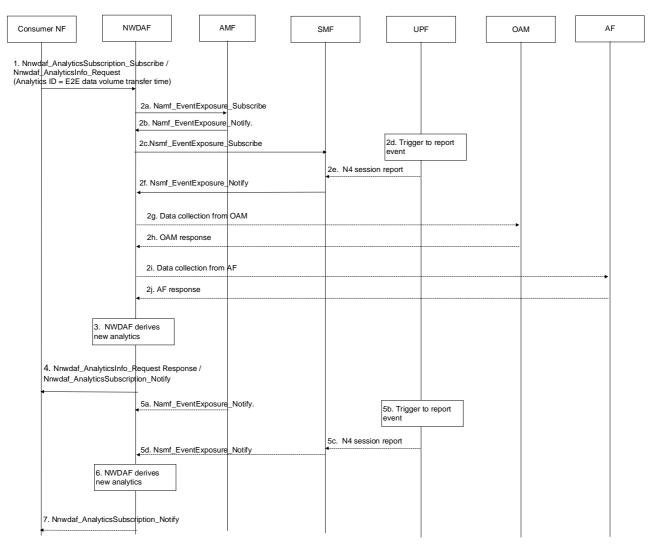


Figure 6.18.4-1: Procedure for E2E data volume transfer time analytics

- 1. The Consumer NF, e.g. AF or NEF, requests or subscribes to analytics for E2E data volume transfer time analytics from NWDAF (possibly via NEF in case the consumer NF is an untrusted AF) and provides the input information as specified in clause 6.18.1 to 5GC.
- 2a-b. The NWDAF subscribes the service data from AMF in Table 6.18.2-2 using Namf\_EventExposure\_Subscribe service for collecting UE location(s) for a UE or a group of UEs using an Internal-Group-Id.
- NOTE: If NWDAF requires UE location information with finer granularity than TA/cell level, then NWDAF collects the location data from GMLC instead of AMF.
- 2c. NWDAF subscribes to service data from SMF in Table 6.18.2-2 by invoking Nsmf\_EventExposure\_Subscribe (Event ID, SUPI(s) or Application ID).

In order to provide the requested analytics, the NWDAF subscribes to information of the UE and may subscribe to N4 Session related input data from SMFs as defined in Table 6.18.2-2.

- 2d-e. N4 related input data is provided by UPF to SMF.
- 2f. SMF provides the requested input data to NWDAF.
- 2g-h. The NWDAF may subscribe to the input data from the OAM as defined in the Table 6.18.2-1 according to the data collection principles described in clause 6.2.3.
- 2i-j. The NWDAF may subscribe to the service data from as defined AF in the Table 6.18-3 by invoking Nnef\_EventExposure\_Subscribe or Naf\_EventExposure\_Subscribe (Event ID = E2E data volume transfer time

information, Application ID, Event Filter information, Target of Event Reporting = UE ID(s)) service as defined in TS 23.502 [3].

- 3. The NWDAF derives the requested analytics, in the form of E2E data volume transfer time statistics and/or predictions.
- 4. The NWDAF provides the requested E2E data volume transfer time analytics to the consumer NF, using either Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1.
- 5-7. If the consumer NF subscribed to E2E data volume transfer time analytics in step 1, once the NWDAF generates new analytics for E2E data volume transfer time, it provides a notification using Nnwdaf\_AnalyticsSubscription\_Notify to the Consumer NF.

# 6.19 Relative Proximity Analytics

### 6.19.1 General

Relative Proximity Analytics among UEs provided by NWDAF can be used to assist a consumer NF to more accurately localize a cluster (or a set) of UEs via provisioning statistics or prediction information related to their relative proximity. This analytics type may help the consumer improve the location estimation accuracy of a UE by using proximity information from nearby UEs, or it may help the consumer identify UEs in the vicinity of another UE. Relative proximity information can also be leveraged by NWDAF to provide location information with finer granularity than TA/cell.

The NWDAF provides relativity proximity analytics to a NF (e.g. NEF or AF).

The consumer of these analytics shall indicate in the request or subscription:

- Analytics ID = "Relative Proximity";
- Target of Analytics Reporting as defined in clause 6.1.3;
- Analytics Filter Information:
  - optionally, S-NSSAI and/or DNN
  - an optional Area of Interest;
  - an optional individual or set of direction(s) of interest;
  - an optional number of UEs to be accounted for relative proximity (i.e. the number of UEs for which one UE may report proximity information);
  - optionally, one or several attributes to be accounted for relative proximity (i.e. additional information that can be provided in addition to distance between two UEs): velocity, average speed, orientation, mobility trajectory;
- Optionally, the list of analytics subsets that are requested among those specified in clause 6.19.3;
- Optionally, preferred level of accuracy of the analytics;
- Optionally, preferred level of accuracy per analytics subset (see clause 6.19.3);
- Optionally, "maximum number of objects" indicating the maximum number of UEs
- An Analytics target period indicating the time period over which the statistics or predictions are requested.

### 6.19.2 Input data

The detailed input data collected by the NWDAF for relative proximity analytics is listed in Table 6.19.2-1, Table 6.19.2-2 and Table 6.19.2-3.

Table 6.19.2-1: OAM input data for relative proximity analytics

| Information        | Source | Description    |
|--------------------|--------|----------------|
| Per UE information | OAM    |                |
| > Speed            |        | UE Speed       |
| > Orientation      |        | UE Orientation |

NOTE 1: To collect the input data in Table 6.19.2-1, NWDAF can invoke TraceJob with the UE ID (e.g. SUPI) of the UE as described in clause 4.3.30 of TS 28.622 [41] using Generic Provisioning Management Service as described in clause 11.1 of TS 28.532 [6] to get Sensor Information as part of MDT data collection mechanism. The UE sensor information includes Barometric pressure, UE speed and UE orientation as described in clause 5.10.29 of TS 32.422 [42].

Table 6.19.2-2: Proximity related input data collected via DCAF/NEF

| Information          | Source     | Description                                    |
|----------------------|------------|--|
| Proximity Attribute  | DCAF / NEF | Characterise a set of UEs in relative          |
|                      |            | proximity.                                     |
| > Number of UEs      |            | Total number of UEs in proximity of target     |
|                      |            | UE.  |
| > Timestamp          |            | A time stamp of time that the proximity        |
|                      |            | attribute derived.                             |
| > Application ID(s)  |            | Identifying the applications(s) providing this |
|                      |            | information.                                   |
| > List of UE IDs     |            | UE IDs in proximity of target UE if available. |
| > Other attribute(s) |            | Other attributes for the set of UEs i.e.       |
|                      |            | destination, route, average speed, time of     |
|                      |            | arrival.                                       |
| > Confidence         |            | Confidence on relative proximity data.         |

NOTE 2: The list of UE IDs that fulfil a proximity criterion can be determined by NWDAF based on the input information collected from the UE application via DCAF. The UE does not need to determine proximity information related to other UEs.

NOTE 3: When DCAF is deployed in untrusted domain, NEF is employed to mediate the interactions between NWDAF and DCAF via the Naf\_EventExposure\_Subscribe service specified in clause 5.2.19.2 of TS 23.502 [3], as described in clause 6.2.8.2.3.

Table 6.19.2-3: Proximity related input data from 5GC/AF

| Information             | Source    | Description                                   |
|-------------------------|-----------|---|
| UE ID(s)                | AMF       | SUPI(s) of individual UE(s).                  |
| UE location             | AMF, GMLC | Absolute location of an UE.                   |
| UE location accuracy    | GMLC      | Accuracy of the location estimation.          |
| Velocity estimate       | LCS       | UE velocity.                                  |
| Collision risk distance | AF        | Derived from the UE connected physical        |
|                         |           | object dimensions e.g. length, width, height. |
| UE heading              | AF        | UE moving direction.                          |
| > Absolute heading      |           | Heading of the UE movement with respect to    |
|                         |           | the true north.                               |
| > Relative heading      |           | Heading of the UE movement with respect to    |
|                         |           | another UE.                                   |
| UE trajectory           | AF        | Timestamped UE positions.                     |
| >UE location            |           | Geographical area where the UE is located.    |
| >Timestamp              |           | Time stamp for the UE location.               |

## 6.19.3 Output analytics

The NWDAF services as defined in the clause 7.2 and 7.3 are used to expose the analytics.

- Relative proximity statistics information is defined in Table 6.19.3-1.

- Relative proximity predictions information is defined in Table 6.19.3-2.

Table 6.19.3-1: Relative proximity statistics

| Information  | Description  |
|--|--|
| UE group ID or set of UE IDs   | Identifies the UE(s) for which the statistics apply by a list of SUPIs or  |
|  | GPSIs, or a group of UEs by a list of Internal-Group-Ids.  |
| Time slot entry (1max)   | List of time slots during the Analytics target period.   |
| > Time slot start  | Time slot start within the Analytics target period.  |
| > Duration   | Duration of the time slot.   |
| > UE proximity attributes  | Observed proximity data. This includes distance between UEs in the group/list and other proximity data as mentioned in clause 6.19.1 |
| >> relative proximity information                                    | Observed proximity information.  |
| >> Sampling Ratio  | Percentage of UEs accounted based on proximity criteria.   |
| NOTE 1: Analytics subset that can be u accuracy per analytics subset | sed in "list of analytics subsets that are requested" and "Preferred level of ".   |

Table 6.19.3-2: Relative proximity predictions

| Information                              | Description   |  |
|--|---|--|
| UE group ID or set of UE IDs             | Identifies the UE(s) for which the statistics apply by a list of SUPIs or   |  |
|  | GPSIs, or a group of UEs by a list of Internal-Group-Ids.                   |  |
| Time slot entry (1max)                   | List of predicted time slots.   |  |
| >Time slot start                         | Time slot start time within the Analytics target period.                    |  |
| > Duration                               | Duration of the time slot.  |  |
| > UE proximity attributes                | Predicted proximity data. This includes distance between UEs in the         |  |
|  | group/list and other proximity data as mentioned in clause 6.19.1.          |  |
| >> relative proximity information        | Predicted proximity information.  |  |
| >> Confidence                            | Confidence of this prediction.  |  |
| >> Sampling Ratio                        | Percentage of UEs accounted based on proximity criteria.                    |  |
| Time To Collision (TTC) information      |   |  |
| (NOTE 1)                                 |   |  |
| > Time To Collision                      | Time until a collision with another UE happens.                             |  |
| > Confidence                             | Confidence of the prediction.   |  |
| > Accuracy                               | Accuracy of TTC (dependent on both the UE location accuracy and             |  |
|  | confidence of the prediction).  |  |
| NOTE 1: Analytics subset that can be use | d in "list of analytics subsets that are requested" and "Preferred level of |  |
| accuracy per analytics subset".          |   |  |

#### 6.19.4 Procedures

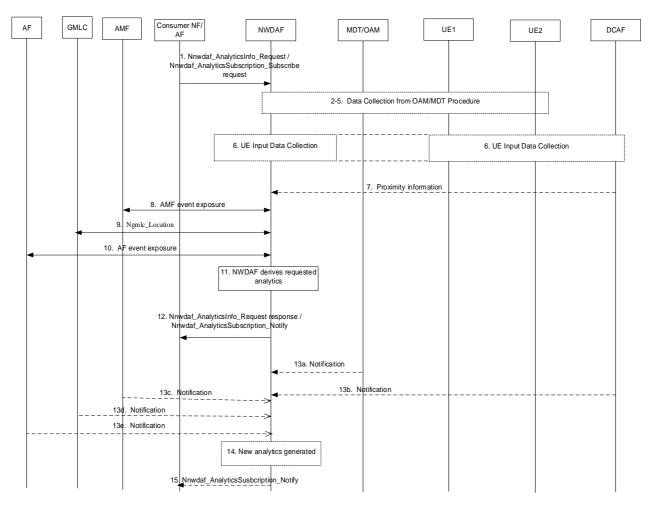


Figure 6.19.4-1: Procedure for NWDAF providing relative proximity analytics

Figure 6.19.4-1 shows the procedure for NWDAF to derive relative proximity analytics. The steps are described as follows:

1. The Consumer NF/AF sends a request to the NWDAF for analytics related to relative proximity, using either the Nnwdaf\_AnalyticsInfo or Nnwdaf\_AnalyticsSubscription service.

The Analytics ID is set to "Relative Proximity". The target for analytics reporting as defined in clause 6.19.1. Analytic filters may be provided as shown in clause 6.19.1.

The Consumer NF/AF can request statistics or predictions or both for a given Analytics target period.

- 2-5. If the request is authorized and in order to provide the requested analytics, the NWDAF may subscribe to OAM services to retrieve relevant information to proximity analytics. The NWDAF may collect MDT input data per individual UE from OAM as defined in Table 6.19.2-1.
- 6-7. For relative proximity information, if the request is authorized and in order to provide the requested analytics, NWDAF may follow the UE Input Data Collection Procedure via the DCAF. DCAF may collect proximity related input data directly from the UE Application, for NWDAF to determine a list of UEs fulfilling certain proximity criterion.
- NOTE 1: The UE data collection procedure should be based on clause 6.2.8.
- NOTE 2: Different Application IDs of the same DCAF or different DCAFs may be selected for different UEs, since each DCAF can only collect the proximity information for the UEs that have PDU session between UE and DCAF.

The NWDAF subscribes to the AF services as above invoking either Nnef\_EventExposure\_Subscribe for untrusted DCAF or Naf\_EventExposure\_Subscribe service for trusted DCAF with (Event ID = Relative Proximity, Event Filter information, Target of Event Reporting). The target of event reporting and / or Event Filter information is set according to the target of analytics reporting and / or analytics filters set during the step 1 of the procedure.

Event filters can be defined for relative proximity to indicate to NWDAF on how to process the data from individual UEs to determine the set of UEs to be accounted for relative proximity.

In the case of a trusted DCAF, the NWDAF may provide the Area of Interest, proximity range, predefined geographical area, or other criteria to the DCAF on the resolution of TAIs or any other finer resolution recognizable by the 5GC. In the case of an untrusted DCAF, NEF translates the requested criteria provided as event filter by the NWDAF into geographic zone identifier(s) or other geographic range identifier(s) or geographic direction identifier(s) that act as event filter(s) for the DCAF.

The DCAF may collect the data from individual UEs based on Event Filters indicated by the NWDAF to determine the set of UEs to be accounted for relative proximity before notifying that directly (for trusted DCAF) or via NEF (for untrusted DCAF) to the NWDAF. Input data that may be collected from DCAF can be found in Table 6.19.2-2.

- NOTE 3: Details of DCAF and its data processing can be found in TS 26.531 [32] and TS 26.532 [43].
- 8. The NWDAF collects input data from AMF as defined in Table 6.19.2-3 via the AMF event exposure service as defined in TS 23.502 [3].
- NOTE 4: Step 8 could be performed before step 6 when DCAF cannot determine the set of UEs fulfilling a proximity criterion.
- 9. The NWDAF collects input data from GMLC as defined in Table 6.19.2-3 using the Ngmlc\_Location service as defined in TS 23.273 [39] and TS 29.515 [48].
- 10. The NWDAF collects input data from AF as defined in Table 6.19.2-3 via the AF event exposure service as defined in TS 23.502 [3].
- 11. The NWDAF derives requested analytics.
- 12. The NWDAF provides requested relative proximity and TTC information as defined in Table 6.19.3-1 and Table 6.19.3-2 to the consumer NF or AF along with the corresponding Validity Period or any Validity Area, Validity Direction of interest or ranging distance, using either the Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1.
- 13-15. If at step 1 the consumer NF or AF has subscribed to receive continuous reporting of relative proximity information, the NWDAF may generate new analytics and, when relevant according to the Analytics target period and Reporting Threshold, provide them along with the corresponding Validity Period (or any Validity Area, Validity Direction of interest or ranging distance) to the consumer NF or AF.

# 6.20 PDU Session traffic analytics

#### 6 20 1 General

This clause specifies the procedure for an NWDAF to provide statistics on whether traffic of UEs via one or multiple PDU sessions is according to the information provides by the service consumer.

The NWDAF collects traffic flow information of UE traffic via PDU session(s) established for a specific S-NSSAI and/or DNN and provides statistics of UEs that route traffic according to the information provided by the service consumer (e.g., Traffic Descriptor, S-NSSAI, DNN) and UEs that route traffic which is not expected according to the information provided by the service consumer (e.g., Traffic Descriptor, S-NSSAI, DNN).

The assumption is that Traffic Descriptors are known (e.g. known flow description), so that there are associated Packet Detection Rule(s) for the known traffic configured in the UPF.

NOTE 1: How traffic from different applications over the same S-NSSAI/DNN and/or PDU session is discerned is implementation-specific.

The service consumer may be a PCF.

The consumer of these analytics includes in the request:

- Analytics ID = "PDU Session traffic".
- Target of Analytics Reporting as defined in clause 6.1.3.
- Traffic Descriptor: Application Identifier, IP Descriptions or Domain Descriptors are applicable.

NOTE 2: The Application Id provided by the consumer is known by the network, i.e. corresponds to an Application Id known at the UPF.

- Analytics Filter Information containing:
  - Area of Interest (i.e. the location of UEs where PDU Session traffic is monitored);
  - S-NSSAI;
  - DNN:
  - an optional list of analytics subsets that are requested (see clause 6.20.3);
- Optionally, preferred level of accuracy of the analytics.
- Optionally, preferred level of accuracy per analytics subset (see clause 6.20.3);
- An Analytics target period indicates the time period over which the analytics are requested.
- Optionally, maximum number of objects.
- In a subscription, the Notification Correlation Id and the Notification Target Address are included.

### 6.20.2 Input Data

NWDAF collects input data from the SMF and/or UPF. The detailed data are described in Table 6.20.2-1.

Table 6.20.2-1: Collected PDU Session User Plane Traffic Information

| Information             | Source   | Description  |
|-------------------------|----------|--|
| SUPI                    | SMF, UPF | UE ID for the UE that established PDU sessions to an S-      |
|                         |          | NSSAI/DNN.   |
| S-NSSAI                 | SMF, UPF | The S-NSSAI for which the PDU session is established.        |
| DNN                     | SMF, UPF | The DNN for which the PDU session is established.            |
| PDU Session Information |          | The detected Service Data Flow, volume measured and          |
|                         |          | Application related information in a PDU Session.            |
| > Packet Filter Set     | SMF, UPF | Packet Filter Set for the detected Service Data Flow as      |
|                         |          | defined in clause 5.8.2.4.2 of TS 23.502 [3].                |
| > URL list              | SMF, UPF | If available, list of URLs extracted from the inspected user |
|                         |          | plane packets in the Service Data Flow.                      |
| > Domain Name list      | SMF, UPF | If available, list of domain names extracted from the        |
|                         |          | inspected user plane packets in the Service Data Flow.       |
| > UL Data volume        | SMF, UPF | Measured UL data traffic volume for the Service Data Flow    |
|                         |          | for the duration of the PDU Session.                         |
| > DL Data volume        | SMF, UPF | Measured DL data traffic volume for the Service Data Flow    |
|                         |          | for the duration of the PDU Session.                         |

NOTE: Care needs to be taken with regards to load and major signalling caused when requesting Any UE. This could be achieved via utilization of some event filters (e.g. Area of Interest), a specific DNN, S-NSSAI or sampling ratio as part of Event Reporting Information.

The NWDAF collects input data from the UPF either indirectly via the SMF, or directly from the UPF using the "UserDataUsageMeasures" event exposure event as described in clause 4.15.4.5 of TS 23.502 [3]. Further details about input parameters are described in Table 4.15.4.5.1 of TS 23.502 [3].

## 6.20.3 Output Analytics

The NWDAF collects input data from the UPF, via SMF when the request is for a UE or a group of UEs identified by an Internal-Group-ID or directly from the UPF when possible (see clause 5.8.2.17 of TS 23.501 [2]) if the request applies for any UE and NWDAF provides analytics of UEs about the traffic they route over a PDU session of specific S-NSSAI/DNN according to the Traffic Descriptor provided by the consumer and traffic they route over a PDU Session that is not according to the Traffic Descriptor provided by the consumer and information about that traffic.

The output analytics is shown in Table 6.20.3-1.

Table 6.20.3-1: PDU Session traffic statistics

| Information                               | Description   |  |
|---|---|--|
| List of SUPIs or SUPI                     | Identifies a SUPI, or a list of SUPIs for which analytics are provided.   |  |
| S-NSSAI                                   | Identifies the Network Slice for which analytic information is provided.  |  |
| DNN                                       | Identifies the data network name (e.g. internet) for which analytics      |  |
|   | information is provided.  |  |
| Traffic matching the Traffic Descriptor   | Identifies traffic that matches Traffic Descriptor provided by the        |  |
| (NOTE 1)                                  | consumer in those PDU Sessions identified by the S-NSSAI and DNN          |  |
|   | above and the volume.   |  |
| > Traffic Descriptor                      | IP Flow descriptor containing 3-tuple, server side (destination address,  |  |
|   | port and protocol) or Application ID or Domain descriptor.                |  |
| > Volume                                  | measures of data volume exchanged (UL, DL and/or overall) and/or          |  |
|   | number of packets exchanged (UL, DL and/or overall) for the Traffic       |  |
|   | Descriptor within the PDU Session.  |  |
| Traffic which does not match Traffic      | Identifies traffic that does not match Traffic Descriptor provided by the |  |
| Descriptor (NOTE 1)                       | consumer in those PDU Sessions identified by the S-NSSAI and DNN          |  |
|   | above and the volume.   |  |
| > Traffic Descriptor                      | IP Flow descriptor containing 3-tuple, server side (destination address,  |  |
|   | port and protocol) or Application ID or Domain descriptor.                |  |
| > Volume                                  | measures of data volume exchanged (UL, DL and/or overall) and/or          |  |
|   | number of packets exchanged (UL, DL and/or overall).                      |  |
| NOTE 1: Analytics subset that can be used | in "list of analytics subsets that are requested" and "Preferred level of |  |
| accuracy per analytics subset". The       | ne list is ordered by descending volume.                                  |  |

NOTE: Predictions are not provided.

#### 6.20.4 Procedures

The procedure for deriving PDU Session traffic is shown below.

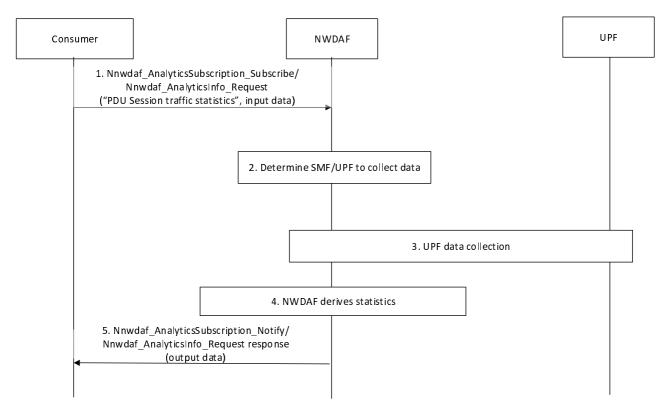


Figure 6.20.4-1: NWDAF providing PDU Session traffic analytics

- 1. The Consumer NF (e.g. the PCF) requests or subscribes to the NWDAF to request PDU Session Traffic analytics. The consumer includes within analytics filter the expected traffic via a PDU session according to the Traffic Descriptors and the SUPI or list of SUPIs, the S-NSSAI and DNN of the PDU session. It is assumed that there are associated Packet Detection Rule(s) for the expected traffic listed in the analytics request, i.e. the expected traffic is known.
- 2. The NWDAF determines to collect data, either directly from the UPF or indirectly via the SMF and identifies the SMF(s) and/or UPF(s) to retrieve input data according to the S-NSSAI/DNN and SUPI or list of SUPIs in the analytics request.
- 3. The NWDAF collects input information using the procedure for subscription to UPF event exposure for certain UEs via SMF as described in clause 4.15.4.5.2 of TS 23.502 [3]; the Target of Event Reporting is set to each of the tuples (SUPI, DNN and S-NSSAI) that have a PDU Session in the same SMF, the Target Subscription Information is set to "UserDataUsageMeasures", the Target service data flows is absent to indicate that the UPF reports any detected service data flow in the PDU Session.
  - The UPF reports volume measurements for the PDU Session with per application granularity, the report includes volume measurements and provide the application related information, i.e. URLs and Domain names.
- 4. The NWDAF derives analytics indicating a list of UEs and the traffic they route according to the provided information provided by the consumer (i.e. Traffic Descriptor, S-NSSAI and DNN), including its volume and the traffic they route and a list of UEs which route traffic that it is not expected according to the information provided by the consumer (i.e. Traffic Descriptor, S-NSSAI and DNN) including its volume.
- 5. The NWDAF provides the analytics as defined in Table 6.20.3-1 using either the Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Subscribe\_Notify, depending on the service used in step 1

## 6.21 Movement Behaviour Analytics

#### 6.21.1 General

The movement behaviour analytics provides analytics information (statistics or predictions) regarding e.g. the number, direction and velocity of UEs during an analytics target period in a target area. The analytics of movement behaviour requires to collect UE movements in a certain region in a certain period of time. In addition, the consumers can further derive the congestion situation in the target area through analytics.

The NWDAF provides movement behaviour analytics to a NF (e.g. NEF, AF).

The consumer of these analytics shall indicate in the request or subscription:

- Analytics ID = "movement behaviour";
- Target of Analytics Reporting: any UE;
- Analytics Filter Information:
  - Area of Interest (AOI): restricts the scope of the movement behaviour analytics to the provided area. The AOI may be described as shown in clause 5.5 of TS 23.273 [39];
  - Optionally, the list of analytics subsets that are requested among those specified in clause 6.21.3;
- An Analytics target period indicates the time period over which the statistics or predictions are requested;
- Optionally, preferred level of accuracy of the analytics;
- Optionally, preferred level of accuracy per analytics subset (see clause 6.21.3);
- Optionally, preferred granularity of location information: "longitude and latitude level";
- Optionally, preferred orientation of location information: ("horizontal", "vertical", "both")"; and
- Optionally, maximum number of objects.

### 6.21.2 Input data

The NWDAF collects the UE location and velocity information within the area of interest from the sources listed in Table 6.21.2-1.

Table 6.21.2-1: Data collection by NWDAF for "movement behaviour" analytics

| Information                                | Source              | Description  |
|--|---------------------|--|
| UE ID                                      | AMF                 | SUPI   |
| UE locations (1max)                        | AMF                 | UE positions   |
| >UE location                               |                     | TA or cells that the UE enters                             |
| >Timestamp                                 |                     | A time stamp when the AMF detects the UE enters this       |
|  |                     | location   |
| Fine granularity locations (1max)          | LCS (NOTE 1)        | UE locations   |
| >Timestamp                                 |                     | Time information associated with the collected information |
| >LCS QoS                                   |                     | The achieved LCS QoS accuracy (see TS 23.273 [39])         |
| >Location estimate                         |                     | Geographical location or location in local coordinates (as |
|  |                     | defined in TS 23.032 [34])                                 |
| >Velocity estimate                         |                     | Speed and direction information                            |
| >Indoors/outdoor indication                |                     | Indicate the location estimate is indoor or outdoor        |
| >Age of location                           |                     | Valid period of the location estimate                      |
| NOTE 1: The procedure to collect locations | ation data using LO | CS is described in clause 6.2.12.                          |

## 6.21.3 Output analytics

The output for analytics of movement behaviour provided by NWDAF is defined in Table 6.21.3-1 and Table 6.21.3-2.

Table 6.21.3-1: movement behaviour statistics

| Information                             | Description   |  |
|---|---|--|
| Applicable Area                         | A geographical area as defined in TS 23.273 [39] that the analytics         |  |
|   | applies to.   |  |
| Time slot entry (1max)                  | List of time slots during the Analytics target period                       |  |
| > Time slot start                       | Time slot start within the Analytics target period                          |  |
| > Duration                              | Duration of the time slot   |  |
| > Total number of UEs (NOTE)            | Total number of UEs in the area of interest                                 |  |
| > Ratio of moving UEs (NOTE)            | Ratio of moving UEs in the area of interest                                 |  |
| > Average speed (NOTE)                  | Average speed of all UEs in the area of interest                            |  |
| > Speed threshold (1max) (NOTE)         | Threshold utilized to filter the UEs  |  |
| >> Number of UEs                        | The number of UEs whose speed is faster than the speed threshold            |  |
| >> Ratio                                | Percentage of UEs whose speed is faster than the speed threshold            |  |
| > Direction (1max) (NOTE)               | Heading directions of the UEs in the target area, such as north, south,     |  |
|   | east, west etc.   |  |
| >> Number of UEs                        | The number of UEs in the specific direction                                 |  |
| >> Average speed                        | Average speed of UEs in the specific direction                              |  |
| >> Ratio                                | Percentage of UEs in the specific direction                                 |  |
| NOTE: Analytics subset that can be used | I in "list of analytics subsets that are requested" and "Preferred level of |  |
| accuracy per analytics subset".         | •   |  |

Table 6.21.3-2: movement behaviour predictions

| Information                             | Description   |  |
|---|---|--|
| Applicable Area                         | A geographical area as defined in TS 23.273 [39] that the analytics       |  |
|   | applies to  |  |
| Time slot entry (1max)                  | List of time slots during the Analytics target period                     |  |
| > Time slot start                       | Time slot start within the Analytics target period                        |  |
| > Duration                              | Duration of the time slot   |  |
| > Total number of UEs (NOTE)            | Total number of UEs in the area of interest                               |  |
| > Ratio of moving UEs (NOTE)            | Ratio of moving UEs in the area of interest                               |  |
| > Average speed (NOTE)                  | Average speed of all UEs in the area of interest                          |  |
| > Speed threshold (1max) (NOTE)         | Threshold utilized to filter the UEs                                      |  |
| >> Number of UEs                        | The number of UEs whose speed is faster than the speed threshold          |  |
| >> Ratio                                | Percentage of UEs whose speed is faster than the speed threshold          |  |
| > Direction (1max) (NOTE)               | Heading directions of the UEs in the target area, such as north, south,   |  |
|   | east, west etc.   |  |
| >> Number of UEs                        | The number of UEs in the specific direction                               |  |
| >> Average speed                        | Average speed of UEs in the specific direction                            |  |
| >> Ratio                                | Percentage of UEs in the specific direction                               |  |
| Confidence                              | Confidence of the prediction  |  |
| NOTE: Analytics subset that can be used | in "list of analytics subsets that are requested" and "Preferred level of |  |
| accuracy per analytics subset".         |   |  |

### 6.21.4 Procedures

Figure 6.21.4-1 depicts the procedure for movement behaviour analytics provided by NWDAF.

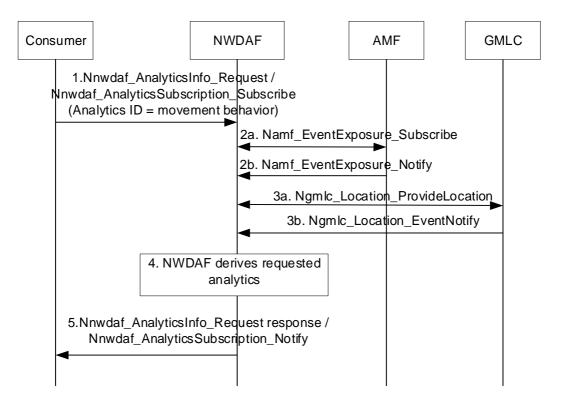


Figure 6.21.4-1: "Movement Behaviour" analytics provided by NWDAF

1. The NWDAF receives an analytics request from the NWDAF service consumer (as defined in clause 6.1.1.1) or from an AF via NEF (as defined in clause 6.1.1.2) to request movement behaviour analytics within a target area for any UE.

The Analytics ID is set to "Movement Behaviour". The target for analytics reporting is set to be any UE. Analytic filters may be provided as shown in clause 6.21.1. The Area of Interest is the target area that may be defined as a geographical area or as a geopolitical name of an area, in such case, the NEF will translate it as the identities of one or more radio cells or tracking areas.

The NWDAF service consumer NF can request statistics or predictions or both for a given Analytics target period.

2. The NWDAF collects input data from AMF as defined in Table 6.21.2-1 via the AMF event exposure service.

The collected UE location information from AMF is optional and may assist in the statistics/prediction of UE moving direction and speed in step 4.

3. The NWDAF collects the UE location information using LCS as defined in Table 6.21.2-1 and clause 6.2.12.

Based on the analytics target period, the NWDAF may trigger the immediate 5GC-MT-LR procedure as defined in clause 6.1.2 or the deferred 5GC-MT-LR procedure in clause 6.3.1 of TS 23.273 [39] to obtain UE's location information.

- 4. The NWDAF derives requested analytics.
- 5. The NWDAF provides the analytics as defined in Table 6.21.3-1 and Table 6.21.3-2 using either the Nnwdaf\_AnalyticsInfo\_Request response or Nnwdaf\_AnalyticsSubscription\_Notify, depending on the service used in step 1.

# 7 Nnwdaf Services Description

### 7.1 General

Table 7.1-1 illustrates the NWDAF Services.

Table 7.1-1: NF services provided by NWDAF

| Service Name                 | Service Operations       | Operation<br>Semantics | Example Consumer(s)  |
|------------------------------|--------------------------|------------------------|--|
| Nnwdaf_AnalyticsSubscription | Subscribe                | Subscribe / Notify     | PCF, NSSF, AMF, SMF,<br>NEF, AF, OAM, CEF,<br>NWDAF, DCCF, LMF       |
|                              | Unsubscribe              |                        | PCF, NSSF, AMF, SMF,<br>NEF, AF, OAM, CEF,<br>NWDAF, DCCF, LMF       |
|                              | Notify                   |                        | PCF, NSSF, AMF, SMF,<br>NEF, AF, OAM, CEF,<br>NWDAF, DCCF, MFAF, LMF |
|                              | Transfer                 | Request / Response     | NWDAF  |
| Nnwdaf_AnalyticsInfo         | Request                  | Request / Response     | PCF, NSSF, AMF, SMF,<br>NEF, AF, OAM, CEF,<br>NWDAF, DCCF, LMF       |
|                              | ContextTransfer          | Request / Response     | NWDAF  |
| Nnwdaf_DataManagement        | Subscribe<br>Notify      | Subscribe / Notify     | NWDAF, DCCF<br>NWDAF, DCCF, MFAF,<br>ADRF                            |
|                              | Fetch                    | Request / Response     | NWDAF, DCCF, MFAF,<br>ADRF   |
| Nnwdaf_MLModelProvision      | Subscribe<br>Unsubscribe | Subscribe / Notify     | NWDAF<br>NWDAF   |
|                              | Notify                   |                        | NWDAF  |
| Nnwdaf_MLModelInfo           | Request                  | Request / Response     | NWDAF  |
| Nnwdaf_MLModelMonitor        | Subscribe                | Subscribe / Notify     | NWDAF  |
|                              | Unsubscribe              |                        | NWDAF  |
|                              | Notify                   |                        | NWDAF  |
|                              | Register                 | Request / Response     | NWDAF  |
|                              | Request                  |                        | NWDAF  |
| Nnwdaf_MLModelTraining       | Subscribe                | Subscribe / Notify     | NWDAF  |
| -                            | Unsubscribe              |                        | NWDAF  |
|                              | Notify                   |                        | NWDAF  |
| Nnwdaf_MLModelTrainingInfo   | Request                  | Request / Response     | NWDAF  |
| Nnwdaf_RoamingAnalytics      | Subscribe                | Subscribe / Notify     | H-RE-NWDAF, V-RE-<br>NWDAF   |
|                              | Unsubscribe              |                        | H-RE-NWDAF, V-RE-<br>NWDAF   |
|                              | Notify                   |                        | H-RE-NWDAF, V-RE-<br>NWDAF   |
|                              | Request                  | Request / Response     | H-RE-NWDAF, V-RE-<br>NWDAF   |
| Nnwdaf_RoamingData           | Subscribe                | Subscribe / Notify     | H-RE-NWDAF, V-RE-<br>NWDAF   |
|                              | Unsubscribe              |                        | H-RE-NWDAF, V-RE-<br>NWDAF   |
| NOTE 4. How OAM accounts     | Notify                   |                        | H-RE-NWDAF, V-RE-NWDAF   |

NOTE 1: How OAM consumes Nnwdaf services and which Analytics information is relevant is defined in TS 28.550 [7] Annex H and out of the scope of this TS.

NOTE 2: How CEF consumes Nnwdaf services and which Analytics information is relevant is defined in TS 28.201 [21] and out of the scope of this TS.

NOTE 3: The Nnwdaf\_MLModelProvision service and the Nnwdaf\_MLModelInfo service are provided by an NWDAF containing MTLF and consumed by an NWDAF containing AnLF or provided by an NWDAF containing MTLF supporting FL as a server and consumed by an NWDAF containing MTLF.

Table 7.1-2 shows the analytics information provided by NWDAF service.

Table 7.1-2: Analytics information provided by NWDAF

| Analytics<br>Information                         | Request Description   | Response Description   |
|--|---|--|
| Slice Load level information                     | Analytics ID: load level information                              | Load level provided as number of UE registrations and number of PDU sessions for a Network Slice and Network Slice instances as well as resource utilization for Network Slice instances.  |
| Observed Service experience information          | Analytics ID: Service Experience                                  | Observed Service experience statistics or predictions may be provided for a Network Slice or an Application. They may be derived from an individual UE, a group of UEs or any UE. For slice service experience, they may be derived from an Application, a set of Applications or all Applications on the Network Slice. |
| NF Load information                              | Analytics ID: NF load information                                 | Load statistics or predictions information for specific NF(s).   |
| Network<br>Performance<br>information            | Analytics ID: Network Performance                                 | Statistics or predictions on the load in an Area of Interest; in addition, statistics or predictions on the number of UEs that are located in that Area of Interest.   |
| UE mobility information                          | Analytics ID: UE Mobility   | Statistics or predictions on UE mobility. When visited AOI(s) is included in the Analytics Filter information, only statistics on UE mobility can be provided.   |
| UE Communication information                     | Analytics ID: UE Communication                                    | Statistics or predictions on UE communication.   |
| Expected UE behavioural parameters               | Analytics ID: UE Mobility and/or UE Communication                 | Analytics on UE Mobility and/or UE Communication.  |
| UE Abnormal behaviour information                | Analytics ID: Abnormal behaviour                                  | List of observed or expected exceptions, with Exception ID, Exception Level and other information, depending on the observed or expected exceptions.   |
| End-to-end data volume transfer time             | Analytics ID: E2E data volume transfer time                       | Analytics on E2E data volume transfer time.  |
| User Data<br>Congestion<br>information           | Analytics ID: User Data Congestion                                | Statistics or predictions on the user data congestion for transfer over the user plane, for transfer over the control plane, or for both.  |
| QoS Sustainability                               | Analytics ID: QoS Sustainability                                  | For statistics, the information on the location and the time for the QoS change and the threshold(s) that were crossed; or, for predictions, the information on the location and the time when a potential QoS change may occur and what threshold(s) may be crossed.  |
| Session Management Congestion Control Experience | Analytics ID: Session Management<br>Congestion Control Experience | Statistics on session management congestion control experience for specific DNN and/or S-NSSAI.  |
| Redundant<br>Transmission<br>Experience          | Analytics ID: Redundant Transmission Experience                   | Statistics or predictions aimed at supporting redundant transmission decisions for URLLC services.   |
| WLAN performance                                 | Analytics ID: WLAN performance                                    | Statistics or predictions on WLAN performance of UE.   |
| Dispersion                                       | Analytics ID: UE Dispersion                                       | Statistics or predictions that identify the location (i.e. areas of interest) or network slice(s) where a UE, or a group of UEs disperse their data volume, or disperse mobility or session management transactions or both.   |
| DN Performance                                   | Analytics ID: DN Performance                                      | Statistics or predictions on user plane performance for a specific Edge Computing application.   |
| PFD Determination                                | Analytics ID: PFD Determination                                   | Statistics on PFD information for a known application identifier(s).   |
| Movement Behaviour                               | Analytics ID: Movement Behaviour                                  | Statistics or predictions on movement behaviour for an applicable area.  |
| Location Accuracy<br>Relative Proximity          | Analytics ID: Location Accuracy Analytics ID: Relative Proximity  | Predictions on Location Accuracy.  Statistics or predictions on Relative Proximity among UEs.  |

| PDU Session traffic | Analytics ID: PDU Session traffic | Statistics on whether traffic of UEs via one or |
|---------------------|-----------------------------------|---|
|                     |                                   | multiple PDU sessions is according to the       |
|                     |                                   | information provided by the service consumer.   |

# 7.2 Nnwdaf\_AnalyticsSubscription Service

### 7.2.1 General

**Service Description**: This service enables the consumer to subscribe/unsubscribe for network data analytics and optionally its corresponding Analytics Accuracy Information.

When the subscription for network data analytics and optionally Analytics Accuracy Information is accepted by the NWDAF containing AnLF, the consumer receives from the NWDAF an identifier (Subscription Correlation ID) allowing to further manage (modify, delete) this subscription. The modification of Analytics and optionally Analytics Accuracy Information subscription can be enforced by NWDAF based on operator policy and configuration.

This service also enables the consumer to transfer analytics subscriptions to another NWDAF using the Nnwdaf\_AnalyticsSubscription\_Transfer service operation. Using this service operation, a consumer can request to the NWDAF to prepare for or take over analytics subscription(s), indicating also if and where analytics context is available.

### 7.2.2 Nnwdaf\_AnalyticsSubscription\_Subscribe service operation

**Service operation name:** Nnwdaf\_AnalyticsSubscription\_Subscribe

**Description:** Subscribes to NWDAF analytics and optionally Analytics Accuracy Information with specific parameters.

#### Inputs, Required:

- (Set of) Analytics ID(s) as defined in Table 7.1-2;
- Target of Analytics Reporting;
- Notification Target Address (+ Notification Correlation ID);
- Analytics Reporting Parameters (including Analytics target period, etc.).

NOTE 1: Target of Analytics Reporting can be provided per individual Analytics ID.

#### **Inputs, Optional:**

- Analytics Filter Information;
- Time window for historical analytics;
- Subscription Correlation ID (in the case of modification of the analytics subscription);
- Preferred level of accuracy of the analytics;
- Preferred level of accuracy per analytics subset;
- Reporting Thresholds;
- Maximum number of objects requested (max);
- Preferred order of results;
- Maximum number of SUPIs requested (SUPImax);
- Time when analytics information is needed;
- Analytics Metadata Request;

- (Set of) NWDAF identifiers used by the NWDAF service consumer when aggregating multiple analytic subscriptions;
- Dataset Statistical Properties;
- Output strategy;
- Data time window;
- Consumer NF's serving area or NF ID;
- Information of previous analytics subscription, i.e. NWDAF identifier (i.e. Instance ID or Set ID), Analytics ID(s) (including SUPIs and Analytics Filter Information for UE-related Analytics) and Subscription Correlation ID:
- Use case context.
- Analytics Accuracy Request information.
- Analytics Feedback Information.
- NOTE 2: Analytics Filter Information, Reporting Thresholds, maximum number of objects requested (max), maximum number of SUPIs requested (SUPImax), Analytics Metadata Request, Dataset Statistical Properties, Output strategy, Data time window and time when analytics information is needed can be provided per individual Analytics ID.
- NOTE 3: Analytics Feedback Information only can be included in modification request for the existing analytics subscription.
- NOTE 4: Other input parameters specific for different analytics ID can be also needed, as specified in the corresponding clause for each analytics ID.

**Outputs Required:** When the subscription is accepted: Subscription Correlation ID (required for management of this subscription). When the subscription is not accepted, an error response.

**Outputs, Optional:** First corresponding Analytics report is included, if available and if analytics consumer requested immediate reporting (see clause 4.15.1 of TS 23.502 [3]).

NOTE 5: When the Target of Analytics Reporting is a SUPI or a GPSI then the subscription may not be accepted, e.g. user consent is not granted and an error is sent to the consumer. When the Target of Analytics Reporting is an Internal Group Id, or a list of SUPIs/GPSIs or any UE, no error is sent, but a SUPI or GPSI is skipped if user consent is not granted.

## 7.2.3 Nnwdaf\_AnalyticsSubscription\_Unsubscribe service operation

Service operation name: Nnwdaf\_AnalyticsSubscription\_Unsubscribe

**Description:** Unsubscribe to NWDAF analytics.

Inputs, Required: Subscription Correlation ID.

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

## 7.2.4 Nnwdaf\_AnalyticsSubscription\_Notify service operation

Service operation name: Nnwdaf AnalyticsSubscription Notify

**Description:** NWDAF notifies the consumer instance of the analytics and optionally Analytics Accuracy Information that has subscribed to the specific NWDAF service. See also clause 6.1.3 for contents of the Analytics Exposure.

**Inputs, Required:** Notification Correlation Information: this parameter indicates the Notification Correlation ID that has been assigned by the consumer during analytics subscription.

#### **Inputs, Optional:**

- Set of the tuple (Analytics ID, Analytics specific parameters): this parameter shall be present if output analytics are reported.
- Timestamp of analytics generation (required when ADRF is deployed).
- Validity period.
- Confidence
- Revised waiting time.
- Analytics Metadata Information.
- Analytics Accuracy Information.
- Stop Analytics Output Consumption indication.
- Stop Analytics Output Consumption time window.
- Resume Analytics Output Consumption indication.
- Termination Request: this parameter indicates that NWDAF requests to terminate the analytics subscription, i.e. NWDAF will not provide further notifications related to this subscription, with cause value (e.g. user consent revoked, NWDAF overload, UE moved out of NWDAF serving area, etc.).
- Accuracy Information Termination.
- NOTE 1: Validity period can also be provided as part of Analytics specific parameters for some NWDAF output analytics.
- Subscription Change Notification Correlation ID: this parameter shall be present if the notification is for informing the assignment of a new Subscription Correlation Id by the NWDAF. It is set to the old Subscription Correlation ID.
- Subscription Correlation ID: this parameter shall be present if the notification is for informing the assignment of a new Subscription Correlation Id by the NWDAF. It is set to a new Subscription Correlation ID assigned by the NWDAF.
- NOTE 2: Other input parameters specific for different analytics ID can be also needed, as specified in the corresponding clause of each analytics ID.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

# 7.2.5 Nnwdaf\_AnalyticsSubscription\_Transfer service operation

Service operation name: Nnwdaf\_AnalyticsSubscription\_Transfer

**Description:** Requests to NWDAF for transferring analytics subscription(s) from the consumer NWDAF.

#### Inputs, Required:

- Transfer type: indicates the type of the transfer request. The following values are supported:
  - Analytics subscription transfer preparation: requests the NWDAF to prepare for taking over the analytics subscription(s) and/or prepare for collecting Analytics Context(s).
  - Analytics subscription transfer: requests the NWDAF to take over the analytics subscription(s).
  - Analytics subscription transfer cancel: cancels a prepared analytics subscription request.

#### **Inputs, Optional:**

- If this service operation is for "analytics subscription transfer preparation", the following parameter shall be provided:
  - (Set of) analytics subscription information with the following parameters:
    - All input parameters for the analytics exposure as specified in clause 6.1.3.
    - [OPTIONAL] Active data source ID(s): Instance ID or Set ID of the active data source(s) the consumer NWDAF is currently using for the analytics of this analytics subscription.
    - [OPTIONAL] ML Model related information, i.e. information related to the ML Model(s) that the NWDAF is currently using for the analytics:
      - ID(s) of NWDAF(s) containing MTLF: Instance ID(s) of the NWDAF(s) containing MTLF from which the consumer NWDAF currently subscribes to the ML Model information used for the analytics.
      - [OPTIONAL] File address of the ML Model(s).
    - ID of the analytics consumer, e.g. NF, AF or OAM, that is subscribed to receive analytics.
    - [OPTIONAL] (Set of) analytics context identifier(s): identifies analytics context available at the consumer NWDAF as defined in clause 6.1B.4.
- If this service operation is to request analytics subscriptions transfer and if analytics subscription transfer preparation is not performed, the same parameters as those for transfer type "Analytics subscription transfer preparation" shall be provided.
- If this service operation is to request analytics subscriptions transfer and if analytics subscription transfer preparation is performed, the same parameters as those for transfer type "Analytics subscription transfer preparation" can be provided with updated parameter values.
- If this service operation is to request analytics subscriptions transfer cancel, the following parameter shall be provided:
  - Subscription Correlation ID.

Outputs Required: Operation execution result indication.

Outputs, Optional: None.

## 7.3 Nnwdaf AnalyticsInfo service

#### 7.3.1 General

**Service description:** this service enables the consumer to request and get from NWDAF network data analytics, Analytics Accuracy Information or enables NWDAF to request transfer of analytics context from another NWDAF.

## 7.3.2 Nnwdaf\_AnalyticsInfo\_Request service operation

Service operation name: Nnwdaf\_AnalyticsInfo\_Request

**Description:** The consumer requests NWDAF operator specific analytics and optionally Analytics Accuracy Information.

**Inputs, Required:** (Set of) Analytics ID(s) as defined in Table 7.1-2, Target of Analytics Reporting, Analytics Reporting Parameters (including Analytics target period, etc.).

NOTE 1: Target of Analytics Reporting can be provided per individual Analytics ID.

**Inputs, Optional:** Analytics Filter Information, preferred level of accuracy of the analytics, preferred level of accuracy per analytics subset, time when analytics information is needed, maximum number of objects requested (max),

preferred order of results, maximum number of SUPIs requested (SUPImax), Analytics Metadata Request, Dataset Statistical Properties, Output strategy, Data time window, Use case context, Time window for historical analytics and Analytics Accuracy Request information.

NOTE 2: Analytics Filter Information, Maximum number of objects requested (max), Maximum number of SUPIs requested (SUPImax), Analytics Metadata Request, Dataset Statistical Properties, Output strategy, Data time window and time when analytics information is needed can be provided per individual Analytics ID.

**Outputs, Required:** If the request is accepted, then set of the tuple (Analytics ID, Analytics specific parameters). When the request is not accepted, an error response.

**Outputs, Optional:** Timestamp of analytics generation (required when ADRF is deployed), validity period, confidence, revised waiting time, Analytics Metadata Information, Analytics Accuracy Information. See clause 6.1.3.

- NOTE 3: Validity period can also be provided as part of Analytics specific parameters for some NWDAF output analytics.
- NOTE 4: When the Target of Analytics Reporting is a SUPI or a GPSI then the request may not be accepted, e.g. user consent is not granted and an error is sent to the consumer. When the Target of Analytics Reporting is an Internal Group Id, or a list of SUPIs/GPSIs or any UE, no error is sent, but a SUPI or GPSI is skipped if user consent is not granted.
- NOTE 5: Other input or output parameters specific for different analytics ID can be also needed, as specified in the corresponding clause of each analytics ID.

#### 7.3.3 Nnwdaf\_AnalyticsInfo\_ContextTransfer service operation

Service operation name: Nnwdaf\_AnalyticsInfo\_ContextTransfer

**Description:** Requests to NWDAF to transfer context information related to analytics subscriptions.

**Inputs, Required:** (Set of) Analytics context identifier(s).

**Inputs, Optional:** Requested Analytics Context per analytics context identifier.

Outputs Required: (Set of) Analytics Context as specified in clause 6.1B.4.

**Outputs, Optional:** ADRF ID with Analytics Context Type stored in the ADRF (i.e. Historical output Analytics and/or Data related to Analytics).

NOTE: For the Requested Analytics Context, the NWDAF can return a combination of Analytics Context and reference to ADRF ID from where Historical output Analytics and/or Data related to Analytics can be retrieved.

## 7.4 Nnwdaf\_DataManagement Service

#### 7.4.1 General

Service Description: This service enables the consumer to subscribe/unsubscribe for data exposed by an NWDAF, fetch the subscribed data. Historical data or runtime data may be obtained using this service.

## 7.4.2 Nnwdaf\_DataManagement\_Subscribe service operation

**Service operation name:** Nnwdaf\_DataManagement\_Subscribe

**Description:** The consumer subscribes to receive data, or if the data is already requested from the NWDAF, then the subscription is updated. The subscription includes service operation specific parameters that identify the data to be provided and may include formatting and processing instructions that specify how the data is to be delivered to the consumer. The consumer may request bulked data related to Analytics, as an alternative to asking individual events (i.e. subscription to multiple event IDs to obtain the data required for an analytics generation). The consumer may also request that data be stored in an ADRF. When historical data is being obtained, the consumer may specify the ID of the ADRF or NWDAF containing the data.

Inputs, Required: Data Specification, Notification Target Address(es) (+ Notification Correlation ID(s)).

When the required data is data for Event IDs received from NFs, the Data Specification includes set of Event IDs, Event Filter Information, Target of Event Reporting.

When the required data is a bulked data for an Analytics ID, the Data Specification includes Target of Reporting with the Analytics ID to generate bulked data, Target of Analytics reporting and Analytics Filter.

**Inputs, Optional:** Service Operation, Time Window, NF (or NF-Set) ID, ADRF or NWDAF hosting ADRF information where data are to be stored, ADRF ID where historical data are stored, Formatting Instructions, Processing Instructions, user consent check information (i.e. an indication that the data consumer has checked user consent), purpose for data collection, Storage Handling Information.

NOTE 1: See clause 8.2.2 for further description of the Input Parameters.

**Outputs Required:** When the subscription is accepted: Subscription Correlation ID (required for management of the requested subscription). When the subscription is not accepted, an error response.

**Outputs, Optional:** First corresponding event report is included, if available (see clause 4.15.1 of TS 23.502 [3]), Requested data, Storage Approach (see clause 5B.1).

NOTE 2: When the Target of Event Reporting or Target of Reporting is a SUPI or a GPSI then the subscription may not be accepted, e.g. for user consent is not granted and an error is sent to the consumer. When the Target of Event Reporting or Target of Reporting is an Internal Group Id, or a list of SUPIs/GPSI(s) or any UE, no error is sent, but a SUPI or GPSI is skipped if user consent is not granted.

## 7.4.3 Nnwdaf\_DataManagement\_Unsubscribe service operation

**Service operation name:** Nnwdaf\_DataManagement\_Unsubscribe

**Description:** The NF consumer unsubscribes to the NWDAF for data.

**Inputs, Required:** Subscription Correlation ID.

Outputs, Required: Operation execution result indication.

Outputs, Optional: The pending data that have not been sent to the consumer yet.

## 7.4.4 Nnwdaf\_DataManagement\_Notify service operation

Service operation name: Nnwdaf\_DataManagement\_Notify

**Description:** NWDAF notifies the consumer instance of the requested data, or notifies of the availability of previously subscribed Data when delivery is via an NWDAF. The NWDAF may also notify the consumer instance when Data or Analytics is to be deleted.

**Inputs, Required:** Notification Correlation ID, time stamp representing time when NWDAF completed preparation of the requested data.

#### **Inputs, Optional:**

- Requested Data with timestamp;
- Fetch Instructions;
- Termination Request;
- Data or Analytics Deletion Alert.

NOTE 1: If the NWDAF has received the notifications from another source without a timestamp, then the NWDAF adds itself a timestamp based on the time it received the notification.

Fetch Instructions indicate whether the data are to be fetched by the Consumer. If the data are to be fetched, the fetch instructions include an address from which the data may be fetched, one or more Fetch Correlation IDs and a deadline to fetch the data (Fetch Deadline).

Termination Request indicates that NWDAF requests to terminate the data management subscription, i.e. NWDAF will not provide further notifications related to this subscription.

Pending Notification Cause indicates the cause of the pending notification of the stored unsent data, e.g., the data cannot be collected any more due to UE moved out of NWDAF serving area.

Data or Analytics Deletion Alert is described in clause 5B.1.

NOTE 2: Data provided in notifications are processed and formatted according to the Processing and Formatting Instructions provided by the Consumer in Nnwdaf\_DataManagement\_Subscribe.

Outputs, Required: Operation execution result indication.

Outputs, Optional: Data or Analytics Retrieval Indication.

The Data or Analytics Retrieval Indication may be sent if the notification contains a Data or Analytics Deletion Alert. The Data or Analytics Retrieval Indication indicates if the consumer will retrieve stored data or analytics prior to deletion (see clause 6.2B.3)

#### 7.4.5 Nnwdaf\_DataManagement\_Fetch service operation

**Service operation name:** Nnwdaf\_DataManagement\_Fetch

**Description:** Consumer retrieves from the NWDAF subscribed data (which is regarded as a kind of data), as indicated by Fetch Instructions from Nnwdaf\_DataManagement\_Notify.

**Inputs, Required:** Set of Fetch Correlation ID(s).

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

Outputs, Optional: Requested data.

## 7.5 Nnwdaf MLModelProvision services

#### 7.5.1 General

**Service Description:** This service enables the consumer to receive a notification when an ML Model matching the subscription parameters becomes available.

When the subscription is accepted by the NWDAF containing MTLF, the consumer NF, receives from the NWDAF an identifier (Subscription Correlation ID) allowing to further manage (modify, delete) this subscription. The modification of ML Model subscription can be enforced by NWDAF based on operator policy and configuration.

## 7.5.2 Nnwdaf\_MLModelProvision\_Subscribe service operation

Service operation name: Nnwdaf\_MLModelProvision\_Subscribe

**Description:** Subscribes to NWDAF ML Model provision with specific parameters.

**Inputs, Required:** (set of) Analytics ID(s) defined in Table 7.1-2, Notification Target Address (+ Notification Correlation ID).

Inputs, Optional: Subscription Correlation ID (in the case of modification of the ML Model subscription), ML Model Filter Information to indicate the conditions for which ML Model for the analytics is requested and Target of ML Model Reporting as defined in clause 6.2A.2, NF consumer information, Requested representative ratio, ML Model Reporting Information (including e.g. ML Model Target Period), Expiry time, Use case context, Inference Input Data information, indication of support for multiple ML Models, multiple ML Models Filter Information to indicate the conditions for which multiple ML Models are requested, ML Model Interoperability Information, Time when model is needed, ML Model Monitoring Information (including e.g. desired ML Model metric, ML Model monitoring reporting mode, ML Model Accuracy Threshold, DataSetTag and ADRF ID, ML Model identifier).

**Outputs Required:** When the subscription is accepted: Subscription Correlation ID (required for management of this subscription), Expiry time (required if the subscription can be expired based on the operator's policy).

Outputs, Optional: None.

#### 7.5.3 Nnwdaf\_MLModelProvision\_Unsubscribe service operation

Service operation name: Nnwdaf MLModelProvision Unsubscribe

**Description:** unsubscribe to NWDAF ML Model provision.

Inputs, Required: Subscription Correlation ID.

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

## 7.5.4 Nnwdaf\_MLModelProvision\_Notify service operation

Service operation name: Nnwdaf\_MLModelProvision\_Notify

**Description:** NWDAF notifies the ML Model information to the consumer instance which has subscribed to the specific NWDAF service.

Inputs, Required: Notification Correlation Information, Set of:

- the tuple (Analytics ID, one or more tuples of unique ML Model identifier and the information as defined in clause 6.2A.2).

Inputs, Optional: ML Model Accuracy Information.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

## 7.6 Nnwdaf\_MLModelInfo service

#### 7.6.1 General

**Service description:** this service enables the consumer to request and get from NWDAF containing MTLF ML Model Information.

## 7.6.2 Nnwdaf\_MLModelInfo\_Request service operation

Service operation name: Nnwdaf\_MLModelInfo\_Request

**Description:** The consumer requests NWDAF ML Model Information.

**Inputs, Required:** (Set of) Analytics ID(s) defined in Table 7.1-2.

**Inputs, Optional:** ML Model Filter Information to indicate the conditions for which ML Model for the analytics is requested and Target of ML Model Reporting as defined in clause 6.2A.2, NF consumer information, Requested representative ratio, ML Model Reporting Information (including e.g. ML Model Target Period), Use case context, Inference Input Data Information, indication of support for multiple ML Models, multiple ML Models Filter Information to indicate the conditions for which multiple ML Models are requested, ML Model Interoperability Information, ML Model Accuracy Monitoring Information.

Outputs, Required: Set of:

- the tuple (Analytics ID, one or more tuples of unique ML Model identifier and the information as defined in clause 6.2A.2.

Outputs, Optional: ML Model Accuracy Information.

## 7.7 Nnwdaf\_RoamingAnalytics Service

#### 7.7.1 General

**Service Description:** This service enables the consumer to request or to subscribe/unsubscribe for network data analytics related to roaming UE(s).

#### 7.7.2 Nnwdaf\_RoamingAnalytics\_Subscribe service operation

Service operation name: Nnwdaf\_RoamingAnalytics\_Subscribe

**Description:** Subscribes to NWDAF analytics related to roaming UE(s).

#### Inputs, Required:

- (Set of) Analytics ID(s).
- PLMN ID of the consumer NWDAF.
- Target of Analytics Reporting.
- Notification Target Address (+ Notification Correlation ID).
- Analytics Reporting Parameters (including Analytics target period, etc.).

NOTE 1: Target of Analytics Reporting can be provided per individual Analytics ID.

NOTE 2: Target of Analytics Reporting sent by the V-RE-NWDAF to the H-RE-NWDAF only contains the inbound roaming UE(s), and the Target of Analytics Reporting sent by the H-RE-NWDAF to the V-RE-NWDAF only contains the outbound roaming UE(s).

#### Inputs, Optional:

- Analytics Filter Information.
- Time window for historical analytics.
- Subscription Correlation ID (in the case of modification of the analytics subscription).
- preferred level of accuracy of the analytics.
- preferred level of accuracy per analytics subset.
- Reporting Thresholds.
- maximum number of objects requested (max).
- preferred order of results, maximum number of SUPIs requested (SUPImax).
- time when analytics information is needed.
- Output strategy.
- NF (or NF-Set) ID(s) of NFs in VPLMN serving the UE(s) in Target of Analytics Reporting.

NOTE 3: Analytics Filter Information, Reporting Thresholds, maximum number of objects requested (max), maximum number of SUPIs requested (SUPImax) and time when analytics information is needed can be provided per individual Analytics ID.

**Outputs Required:** When the subscription is accepted: Subscription Correlation ID (required for management of this subscription). When the subscription is not accepted, an error response.

Outputs, Optional: None.

## 7.7.3 Nnwdaf\_RoamingAnalytics\_Unsubscribe service operation

Service operation name: Nnwdaf RoamingAnalytics Unsubscribe

**Description:** Unsubscribe to NWDAF analytics related to roaming UE(s).

Inputs, Required: Subscription Correlation ID.

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

## 7.7.4 Nnwdaf\_RoamingAnalytics\_Notify service operation

**Service operation name:** Nnwdaf\_RoamingAnalytics\_Notify

**Description:** NWDAF notifies the consumer instance of the analytics related to roaming UE(s) that the consumer has subscribed to.

**Inputs, Required:** Notification Correlation Information: this parameter indicates the Notification Correlation Id that has been assigned by the consumer during analytics subscription.

#### **Inputs, Optional:**

- Set of the tuple (Analytics ID, Analytics specific parameters): this parameter shall be present if output analytics are reported.
- Timestamp of analytics generation.
- Validity period.
- Confidence
- Revised waiting time.
- Termination Request: this parameter indicates that NWDAF requests to terminate the analytics subscription, i.e. NWDAF will not provide further notifications related to this subscription, with cause value (e.g. user consent revoked, NWDAF overload, UE moved out of NWDAF serving area, etc.).

NOTE: Validity period can also be provided as part of Analytics specific parameters for some NWDAF output analytics.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

## 7.7.5 Nnwdaf\_RoamingAnalytics\_Request service operation

**Service operation name:** Nnwdaf\_RoamingAnalytics\_Request

**Description:** The consumer requests analytics related to roaming UE(s).

#### Inputs, Required:

- (Set of) Analytics ID(s).
- PLMN ID of the consumer NWDAF.

- Target of Analytics Reporting.
- Analytics Reporting Parameters (including Analytics target period, etc.).
- NOTE 1: Target of Analytics Reporting can be provided per individual Analytics ID.
- NOTE 2: Target of Analytics Reporting sent by the V-RE-NWDAF to the H-RE-NWDAF only contains the inbound roaming UE(s), and the Target of Analytics Reporting sent by the H-RE-NWDAF to the V-RE-NWDAF only contains the outbound roaming UE(s).

#### Inputs, Optional:

- Analytics Filter Information.
- Time window for historical analytics.
- preferred level of accuracy of the analytics.
- preferred level of accuracy per analytics subset.
- Reporting Thresholds.
- maximum number of objects requested (max).
- preferred order of results, maximum number of SUPIs requested (SUPImax).
- time when analytics information is needed.
- Output strategy.
- NF (or NF-Set) ID(s) of NFs in VPLMN serving the UE(s) in Target of Analytics Reporting.

NOTE 3: Analytics Filter Information, Reporting Thresholds, maximum number of objects requested (max), maximum number of SUPIs requested (SUPImax) and time when analytics information is needed can be provided per individual Analytics ID.

**Outputs, Required:** If the request is accepted, then set of the tuple (Analytics ID, Analytics specific parameters). When the request is not accepted, an error response.

Outputs, Optional: Timestamp of analytics generation, validity period, confidence, revised waiting time.

## 7.8 Nnwdaf\_RoamingData Service

#### 7.8.1 General

**Service Description:** This service enables the consumer to subscribe/unsubscribe for input data related to roaming UE(s) for NWDAF analytics.

## 7.8.2 Nnwdaf\_RoamingData\_Subscribe service operation

Service operation name: Nnwdaf\_RoamingData\_Subscribe

**Description:** Subscribe to input data related to roaming UE(s). The consumer subscribes to receive data, or if the data is already requested from the RE-NWDAF, then the subscription is updated. The subscription includes service operation specific parameters that identify the data to be provided and may include formatting and processing instructions that specify how the data is to be delivered to the consumer. The consumer may request bulked data related to Analytics, as an alternative to asking individual events (i.e. subscription to multiple event IDs to obtain the data required for an analytics generation).

**Inputs, Required:** PLMN ID of the consumer NWDAF, Data Specification, Notification Target Address(es) (+ Notification ID(s)).

When the required data is data for Event IDs received from NFs, the Data Specification includes set of Event IDs, Event Filter Information, Target of Event Reporting.

When the required data is a bulked data for an Analytics ID, the Data Specification includes Target of Reporting with the Analytics ID to generate bulked data, Target of Analytics reporting and Analytics Filter.

**Inputs, Optional:** Service Operation, Time Window, NF (or NF-Set) ID of data source (e.g. AMF, SMF), Formatting Instructions, Processing Instructions.

**Outputs Required:** When the subscription is accepted: Subscription Correlation ID (required for management of this subscription). When the subscription is not accepted, an error response.

**Outputs, Optional:** First corresponding event report is included, if available (see clause 4.15.1 of TS 23.502 [3]), Requested data.

## 7.8.3 Nnwdaf\_RoamingData\_Unsubscribe service operation

Service operation name: Nnwdaf\_RoamingData\_Unsubscribe

**Description:** Unsubscribe to input data related to roaming UE(s).

Inputs, Required: Subscription Correlation ID.

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

Outputs, Optional: The pending data that have not been sent to the consumer yet.

## 7.8.4 Nnwdaf\_RoamingData\_Notify service operation

Service operation name: Nnwdaf\_RoamingData\_Notify

**Description:** NWDAF notifies the consumer about input data related to roaming UE(s) that the consumer has subscribed to.

**Inputs, Required:** Notification Correlation Information: this parameter indicates the Notification Correlation Id that has been assigned by the consumer during analytics subscription. Time stamp representing time when NWDAF completed preparation of the requested data.

#### **Inputs, Optional:**

- Requested Data with timestamp;
- Termination Request.

NOTE 1: If the NWDAF has received the notifications from another source without a timestamp, then the NWDAF adds itself a timestamp based on the time it received the notification.

Termination Request indicates that NWDAF requests to terminate the data subscription, i.e. NWDAF will not provide further notifications related to this subscription.

NOTE 2: Data provided in notifications are processed and formatted according to the Processing and Formatting Instructions provided by the Consumer in Nnwdaf\_RoamingData\_Subscribe.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

## 7.9 Nnwdaf\_MLModelMonitor Service

#### 7.9.1 General

**Service Description:** This service enables the consumer to subscribe/unsubscribe for ML Model accuracy (i.e. Analytics accuracy for an ML Model as described in clause 6.2E.3.3) information monitored. The service can additionally provide Analytics Feedback Information for the analytics generated by an NWDAF (i.e. NWDAF)

containing AnLF). The service also enables the NWDAF containing AnLF registers the use and monitoring capability for an ML Model into the model provider NWDAF, i.e. NWDAF containing MTLF.

#### 7.9.2 Nnwdaf\_MLModelMonitor\_Subscribe service operation

Service operation name: Nnwdaf\_MLModelMonitor\_Subscribe

**Description:** Subscribes to NWDAF for the monitored ML Model accuracy (i.e. Analytics accuracy for an ML Model as described in clause 6.2E.3.3) information and Analytics Feedback Information for the analytics generated by the NWDAF with specific parameters.

Inputs, Required: (set of) Unique ML Model identifier(s), Notification Target Address (+ Notification Correlation ID).

**Inputs, Optional:** Subscription Correlation ID (in the case of modification of the ML Model monitor subscription), desired Accuracy metrics to indicate the metrics to calculate the accuracy information, reporting period to indicate the reporting periodicity in which the monitored ML Model Accuracy Information shall be reported, Accuracy reporting threshold to indicate the reporting condition across which the accuracy information shall be reported, Analytics ID, Target of Analytics Reporting and Analytics filter.

Analytics ID, Target of Analytics Reporting and Analytics filter for each ML Model identifier should reflect the corresponding information received in the Nnwdaf\_MLModelMonitor\_Register request from the NWDAF containing AnLF to which the current NWDAF containing MTLF subscribes.

**Outputs Required:** When the subscription is accepted: Subscription Correlation ID (required for management of this subscription), Expiry time (required if the subscription can be expired based on the operator's policy).

Outputs, Optional: None.

#### 7.9.3 Nnwdaf\_MLModelMonitor\_Unsubscribe service operation

Service operation name: Nnwdaf\_MLModelMonitor\_Unsubscribe

**Description:** The NF consumer unsubscribes to the NWDAF for the monitored ML Model accuracy (i.e. Analytics accuracy for an ML Model as described in clause 6.2E.3.3) information and Analytics Feedback Information for the analytics generated by the NWDAF.

Inputs, Required: Subscription Correlation ID.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

## 7.9.4 Nnwdaf\_MLModelMonitor\_Notify service operation

**Service operation name:** Nnwdaf\_MLModelMonitor\_Notify.

**Description:** NWDAF notifies the monitored ML Model accuracy (i.e. Analytics accuracy for an ML Model as described in clause 6.2E.3.3) information and Analytics Feedback Information for the analytics generated by the NWDAF to the consumer instance which has subscribed to the specific NWDAF service.

**Inputs, Required:** Notification Correlation Information, at least one of the following:

- the monitored ML Model Accuracy Information which includes:
  - Unique ML Model identifier;
  - Monitoring interval: time interval during which the ML Model Accuracy Monitoring was conducted;
  - Monitored Analytics metrics value of the ML Model and a deviation value which indicates the deviation of the predictions generated using the ML Model(s) from the ground truth data;
  - Number of inferences that were performed during the monitoring interval;
  - Used Accuracy metrics (as requested in Subscribe service operation).

- Analytics Feedback Information: indicates that the consumer NF of the analytics generated by the provisioned ML Model has taken an action(s) influenced by the analytics and includes the following parameter(s):
  - Corresponding Analytics ID(s) which has been used for taking an action(s);
  - Corresponding ML Model identifier(s) which has been used for generating Analytics;
  - Indication whether the action will affect on ground truth data (if available);
  - Time stamp(s) when the action(s) are taken.

#### **Inputs, Optional:**

Input data used for inferencing indicated by DataSetTag with ADRF ID when the prediction generated from the ML Model is not correct (which can be used by the NWDAF containing MTLF for possible ML Model retraining);

NOTE: How MTLF/AnLF determines whether the prediction is correct one is up to implementation.

- An indication that the analytics metrics value of the ML Model does not meet the requirement of accuracy for the ML Model.
- Analytics ID;
- Target of Analytics Reporting;
- Analytics filter for which the model is used for.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

#### 7.9.5 Nnwdaf\_MLModelMonitor\_Register

**Service operation name:** Nnwdaf\_MLModelMonitor\_Register

**Description:** The consumer registers the use and monitoring capability for an ML Model at an NWDAF containing MTLF.

Inputs, Required: Consumer NF ID, Unique ML Model identifier.

**Inputs, Optional:** Endpoint address of the Nnwdaf\_MLModelMonitor\_Subscribe service operation, ML Model accuracy transfer indication as defined in clause 6.2E.3.2, Analytics ID, Target of Analytics Reporting and the Analytics filter for which the model is used for.

Outputs, Required: ML Model monitoring registration ID.

Outputs, Optional: None.

## 7.9.6 Nnwdaf\_MLModelMonitor\_Deregister

Service operation name: Nnwdaf MLModelMonitor Deregister

**Description:** The consumer deregisters, from an NWDAF containing MTLF, a previous MLModelMonitor registration, e.g. when the consumer is no longer using or monitoring the accuracy of the analytics generated using the ML Model.

**Inputs, Required:** ML Model monitoring registration ID.

**Inputs, Optional:** A termination indication, a termination cause, the NWDAF containing AnLF NF ID of the target NWDAF (in the case that the termination cause is due to analytics transfer).

Outputs, Required: None.

Outputs, Optional: None.

## 7.10 Nnwdaf\_MLModelTraining Service

#### 7.10.1 General

Service Description: This service enables the consumer to subscribe/unsubscribe/notify/modify for ML Model training.

NOTE: In this release of the specification, the service provider and consumer are limited to NWDAF containing MTLF.

When used for Federated Learning, this service enables FL server NWDAF to enable Federated Learning while providing global ML Model information to FL Client NWDAF and getting local ML Model information and status report of FL training as defined in clause 6.2C.2.3 from the FL Client NWDAF.

This service may also be used by the consumer (i.e. FL Server NWDAF) to check if the service provider (i.e. FL Client NWDAF) can meet the ML Model training requirement as described in clause 6.2F.1.

This service may also be used by the consumer (i.e. FL Server NWDAF) to request the service provider (i.e. FL Client NWDAF) to calculate and provide Model Accuracy of the global ML Model as described in clause 6.2F.1.

## 7.10.2 Nnwdaf\_MLModelTraining\_Subscribe service operation

Service operation name: Nnwdaf\_MLModelTraining\_Subscribe

**Description:** Subscribes to NWDAF ML Model training with specific parameters.

#### Inputs, Required:

- Analytics ID as defined in Table 7.1-2;
- ML Model Interoperability information;
- Notification Target Address (+ Notification Correlation ID).

#### **Inputs, Optional:**

- ML Model identifier: identifies the provided ML Model.
- ML Model Information (as defined in clause 6.2A.2);
- ML Model file;
- Subscription Correlation ID (in the case of modification of the ML Model Training subscription);
- ML Training Information, i.e. data availability requirement, time availability requirement.
- ML Preparation Flag;
- ML Model Accuracy Check Flag;
- ML Correlation ID;
- Training Filter Information;
- Target of Training Reporting;
- Training Reporting Information as defined in clause 6.2F.2;
- Use case context;
- Iteration round ID;
- Expiry time.
- Indication of skipping the current FL round.

**Outputs Required:** When the request is accepted: Subscription Correlation ID (required for management of this subscription). When the request is not accepted, an error response with cause code (e.g. NWDAF does not meet the ML training requirements, ML training is not complete, NWDAF overload, not available for the FL process anymore, etc.).

NOTE: The detail reasons in the cause code are up to Stage 3.

Outputs, Optional: ML Correlation ID (e.g. confirm of the subscription for this FL process).

## 7.10.3 Nnwdaf\_MLModelTraining\_Unsubscribe service operation

Service operation name: Nnwdaf\_MLModelTraining\_Unsubscribe

**Description:** Terminate NWDAF ML Model training.

Inputs, Required: Subscription Correlation ID.

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

**Outputs, Optional:** Cause code (e.g. FL Client NWDAF is unselected by the FL Server NWDAF for the FL process, or the FL process is suspended or finished, etc.). Final aggregated ML Model information (if FL has finished) or updated aggregated ML Model information (if FL is suspended).

## 7.10.4 Nnwdaf\_MLModelTraining\_Notify service operation

Service operation name: Nnwdaf\_MLModelTraining\_Notify

**Description:** NWDAF notifies the consumer instance of the trained ML Model that has subscribed to the specific NWDAF service. The NWDAF can also use this service to indicate to consumer it will terminate the ML Model training.

#### Inputs, Required:

- Notification Correlation Information: this parameter indicates the Notification Correlation ID that has been assigned by the consumer during ML Model training.

#### **Inputs, Optional:**

- Set of the tuple (Analytics ID, ML Model Information as defined in clause 6.2F.2;
- ML Correlation ID, when for Federated Learning;
- Corresponding Use case context;
- Termination Request: this parameter indicates that NWDAF requests to terminate the ML Model training, i.e. NWDAF will not provide further notifications related to this request, with cause code (e.g. NWDAF overload, not available for the FL process anymore, etc.);
- ML Model identifier: this parameter identifies the provisioned ML Model;
- Global ML Model Accuracy information: The model accuracy of the global ML Model, which is calculate by the FL Client NWDAF using the local training data as the testing dataset;
- Status report of FL training: local ML Model accuracy information and Training Input Data Information (e.g. areas covered by the data set, sampling ratio, maximum/minimum of value of each dimension, etc.), which are generated by the FL Client NWDAF during FL procedure;
- Delay Event Notification: as defined in clause 6.2F.2;
- Iteration round ID.

NOTE: The detail reasons in the cause code are up to stage 3.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

## 7.11 Nnwdaf\_MLModelTrainingInfo Service

#### 7.11.1 General

Service Description: This service enables the consumer to request for the information about ML Model training based on the ML Model file or ML Model information as described in clause 6.2F.2 provided by the consumer.

NOTE: In this release of the specification, the service provider and consumer are limited to NWDAF containing MTLF.

When used for Federated Learning, this service enables FL server NWDAF to enable Federated Learning while providing global ML Model information to FL Client NWDAF and getting local ML Model information from the FL Client NWDAF.

## 7.11.2 Nnwdaf\_MLModelTrainingInfo\_Request service operation

Service operation name: Nnwdaf MLModelTrainingInfo Request

**Description:** Request information about NWDAF ML Model training with specific parameters.

#### Inputs, Required:

- Analytics ID as defined in Table 7.1-2.
- ML Model Interoperability information.

#### **Inputs, Optional:**

- ML Model identifier: identifies the provided ML Model.
- ML Model Information (as defined in clause 6.2A.2).
- ML Model file.
- ML Training Information (i.e. data availability requirement, time availability requirement).
- Training Reporting Information as defined in clause 6.2F.2.
- ML Preparation Flag.
- ML Model Accuracy Check Flag.
- ML Correlation ID.
- Termination Request, when terminating the Federated Learning identified by the ML Correlation ID and optionally indicating the reason, e.g. FL Client NWDAF is unselected by the FL Server NWDAF for the FL process, or the FL process is suspended, etc.
- Training Filter Information.
- Target of Training Reporting.
- Use case context.
- Indication of skipping the current FL round.

**Outputs Required:** When the request is accepted: Operation execution result indication. When the request is not accepted, an error response with cause code (e.g. NWDAF does not meet the ML training requirements, ML training is not complete, NWDAF overload, not available for the FL process anymore, etc.).

NOTE: The detail reasons in the cause code are up to stage 3.

#### **Outputs, Optional:**

- ML Model identifier.
- Set of the tuple (Analytics ID, ML Model Information (as defined in clause 6.2A.2)).
- ML Correlation ID, when for Federated Learning.
- Corresponding Use case context.
- Global ML Model Accuracy information: The model accuracy of the global ML Model, which is calculate by the FL Client NWDAF using the local training data as the testing dataset.
- Status report of FL training: local ML Model Accuracy Information and Training Input Data Information (e.g. areas covered by the data set, sampling ratio, maximum/minimum of value of each dimension of data, etc.), which are generated by the FL Client NWDAF during FL procedure.
- Delay Event Notification as defined in clause 6.2F.2.

## 8 DCCF Services

#### 8.1 General

Table 8.1-1 shows the DCCF services and DCCF service operations.

Table 8.1-1: NF services provided by DCCF

Service Operations Operations

| Service Name            | Service Operations | Operation Semantics | Example<br>Consumer(s)                          |
|-------------------------|--------------------|---------------------|---|
| Ndccf_DataManagement    | Subscribe          | Subscribe / Notify  | NWDAF, PCF,<br>NSSF, AMF, SMF,<br>NEF, AF, ADRF |
|                         | Unsubscribe        |                     | NWDAF, PCF,<br>NSSF, AMF, SMF,<br>NEF, AF, ADRF |
|                         | Notify             |                     | NWDAF, PCF,<br>NSSF, AMF, SMF,<br>NEF, AF, ADRF |
|                         | Fetch              | Request / Response  | NWDAF, PCF,<br>NSSF, AMF, SMF,<br>NEF, AF, ADRF |
|                         | Transfer           | Request / Response  | DCCF  |
| Ndccf_ContextManagement | Register           | Request / Response  | NWDAF, ADRF                                     |
|                         | Update             | Request / Response  | NWDAF, ADRF                                     |
|                         | Deregister         | Request / Response  | NWDAF, ADRF                                     |

## 8.2 Ndccf\_DataManagement service

#### 8.2.1 General

**Service Description:** This service enables the consumer to subscribe/unsubscribe for data or analytics via the DCCF, be notified about data or analytics exposed by the DCCF, fetch the subscribed data and have data delivered via the DCCF or via a messaging framework. Historical data, or runtime data may be obtained using this service.

When the subscription is accepted by the DCCF, the consumer NF receives from the DCCF an identifier (Subscription Correlation ID) allowing it to further manage (modify, delete) the subscription.

#### 8.2.2 Ndccf\_DataManagement\_Subscribe service operation

**Service operation name:** Ndccf\_DataManagement\_Subscribe

**Description:** The consumer subscribes to receive data or analytics (which is regarded as a kind of data), or if the data is already requested from the DCCF, then the subscription is updated. The subscription includes service operation specific parameters that identify the data or analytics to be provided and may include formatting and processing instructions that specify how the data is to be delivered to the consumer. The consumer may also request that data be stored in an ADRF or an NWDAF hosting ADRF functionality. When historical data is being obtained, the consumer may specify the ID of the ADRF or NWDAF containing the data.

**Inputs, Required:** Service operation, Analytics Specification or Data Specification, Notification Target Address(es) (+ Notification Correlation ID (s)).

**Inputs, Optional:** Time Window, NF (or NF-Set) ID, ADRF or NWDAF hosting ADRF information where collected data are to be stored, ADRF ID where historical data are stored, Formatting Instructions, Processing Instructions, user consent check information (i.e. an indication that the data consumer has checked user consent), purpose for data collection, Storage Handling Information.

"Service Operation" identifies the service used by the DCCF to request data or analytics from a Data Source (e.g.: Namf\_EventExposure\_Subscribe or Nnwdaf\_AnalyticsSubscription\_Subscribe)

"Analytics Specification or Data Specification" is the "Service Operation" specific required and optional input parameters that identify the data to be collected (e.g. Analytics ID(s) / Event ID (s), Target of Analytics Reporting or Target of Event Reporting, Analytics Filter or Event Filter, etc.). Service Operations and input parameters are defined in clause 7 for NWDAF and in TS 23.502, clause 5.2 for the other NFs.

"Time Window" is the start and stop time when the requested data or analytics was or will be collected. If the Time Window includes a period in the past, then the data or analytics collection is "historical". If the Time Window includes a period in the future, the data or analytics collection is "runtime".

NOTE 1: Time Window parameter is different from the "Analytics target period" defined in clause 6.1.3.

NOTE 2: When Time Window is not provided, the consumer subscribes to runtime data or analytics collection, with no end time specified.

NF (or NF-Set) ID specifies a data source that may provide the data.

ADRF Information specifies that collected data or analytics is to be stored in an ADRF and optionally an ADRF or NWDAF ID.

Formatting Instructions and Processing Instructions are as defined in clause 5A.4.

Storage Handling Information is described in clause 5B.1.

**Outputs Required:** When the subscription is accepted: Subscription Correlation ID (required for management of this subscription). When the subscription is not accepted: An error response.

**Outputs, Optional:** First corresponding event report is included, if available (see clause 4.15.1 of TS 23.502 [3]), Requested data, Storage Approach (see clause 5B.1).

NOTE 3: When the Target of Event Reporting or Target of Reporting is a SUPI or a GPSI then the subscription may not be accepted, e.g. for user consent is not granted and an error is sent to the consumer. When the Target of Event Reporting or Target of Reporting is an Internal Group Id, or a list of SUPIs/GPSI(s) or any UE, no error is sent, but a SUPI or GPSI is skipped if user consent is not granted.

## 8.2.3 Ndccf\_DataManagement\_Unsubscribe service operation

Service operation name: Ndccf\_DataManagement\_Unsubscribe

**Description:** The consumer unsubscribes to DCCF for data or analytics.

Inputs, Required: Subscription Correlation ID.

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

**Outputs, Optional:** The pending (events) data that is not sent to the consumer yet.

## 8.2.4 Ndccf\_DataManagement\_Notify service operation

Service operation name: Ndccf\_DataManagement\_Notify

**Description:** DCCF notifies the consumer instance of the requested data or analytics (which is regarded as a kind of data) according to the request, or notifies of the availability of previously subscribed Data or Analytics when data delivery is via the DCCF. The DCCF may also notify the consumer instance when Data or Analytics is to be deleted.

**Inputs, Required:** Notification Correlation Information, time stamp representing time when DCCF completed preparation of the requested data.

**Inputs, Optional:** Requested Data with timestamp or Analytics with timestamp, Fetch Instructions, Termination Request, Data or Analytics Deletion Alert.

NOTE 1: If the DCCF has received the notifications from another source (e.g. NWDAF) without a timestamp, then the DCCF adds itself a timestamp based on the time it received the notification.

Fetch Instructions indicate whether the data or analytics are to be fetched by the Consumer. If the data or analytics are to be fetched, the fetch instructions include an address from which the data may be fetched, one or more Fetch Correlation IDs and a deadline to fetch the data (Fetch Deadline).

Termination Request indicates that the DCCF requests to terminate the data management subscription, i.e. DCCF will not provide further notifications related to this subscription.

Pending Notification Cause indicates the cause of the pending notification of the stored unsent data, e.g. the data cannot be collected any more due to UE moved out of DCCF serving area.

Data or Analytics Deletion Alert is described in clause 5B.1.

NOTE 2: Data or Analytics provided in notifications are processed and formatted according to the Processing and Formatting Instructions provided by the Consumer in Ndccf\_DataManagement\_Subscribe.

Outputs, Required: Operation execution result indication.

Outputs, Optional: Data or Analytics Retrieval Indication.

The Data or Analytics Retrieval Indication may be sent if the notification contains a Data or Analytics Deletion Alert. The Data or Analytics Retrieval Indication indicates if the consumer will retrieve stored data or analytics prior to deletion (see clause 6.2B.3).

## 8.2.5 Ndccf\_DataManagement\_Fetch service operation

Service operation name: Ndccf\_DataManagement\_Fetch

**Description:** Consumer retrieves from the DCCF, data or analytics (which is regarded as a kind of data) as indicated by Ndccf\_DataManagement\_Notify Fetch Instructions.

**Inputs, Required:** Set of Fetch Correlation ID(s).

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

Outputs, Optional: Requested data or Analytics.

## 8.2.6 Ndccf\_DataManagement\_Transfer service operation

Service operation name: Ndccf\_DataManagement\_Transfer

**Description:** Source DCCF transfers UE data subscription context to the target DCCF.

**Inputs, Required:** UE data subscription context(UE ID, Data Specification, Notification Target Address(es) (+ Notification Correlation ID(s))).

**Inputs, Optional:** Service Operation, Time Window, NF (or NF-Set) ID, ADRF or NWDAF hosting ADRF information where data are to be stored.

Outputs Required: Subscription Correlation ID, Operation execution result indication.

Outputs, Optional: None.

## 8.3 Ndccf\_ContextManagement service

#### 8.3.1 General

**Service Description:** This service enables the consumer to register collected data or analytics with the DCCF.

When the DCCF is configured by the consumer NF, the DCCF supplies a Transaction Reference Id. The Consumer NF may use the Transaction Reference Id in subsequent transactions to update or delate the context in the DCCF.

#### 8.3.2 Ndccf\_ContextManagement\_Register service operation

Service operation name: Ndccf\_ContextManagement\_Register

**Description:** The consumer NF uses this service operation to register data or analytics it is collecting to the DCCF. The registration includes a service operation specific Analytics/Data Specification that identifies the data or analytics that are being collected or has been collected.

Inputs, Required: Service Operation, Analytics/Data Specification, NWDAF ID or ADRF ID.

Inputs, Optional: None

NOTE: The input parameters are defined as:

- "Service Operation" identifies the service used to collect the data or analytics from a Data Source (e.g. Namf\_EventExposure\_Subscribe or Nnwdaf\_AnalyticsSubscription\_Subscribe).
- "Analytics/Data Specification" is the "Service Operation" specific required and optional input parameters that identify the collected data (i.e. Analytics ID(s) / Event ID (s), Target of Analytics Reporting or Target of Event Reporting, Analytics Filter or Event Filter, etc.). NF Service Operations and input parameters are defined in clause 7 and clause 5.2 of TS 23.502 [3].
- NWDAF ID or ADRF ID specify the ADRF or NWDAF with the stored data.

Outputs Required: Transaction Reference ID(s), Operation execution result indication.

Outputs, Optional: None.

## 8.3.3 Ndccf\_ContextManagement\_Update service operation

**Service operation name:** Ndccf\_ContextManagement\_Update

**Description:** The consumer NF uses this service operation to update a registration of data or analytics to the DCCF. The registration update includes a service operation specific Analytics/Data Specification that identifies the data or analytics that is being collected or has been collected.

Inputs, Required: Transaction Reference ID(s), Service Operation, Analytics/Data Specification

Inputs, Optional: None

NOTE: The input parameters are defined in clause 8.3.2.

Outputs Required: Transaction Reference ID(s), Operation execution result indication.

#### 8.3.4 Ndccf\_ContextManagement\_Deregister service operation

Service operation name: Ndccf\_ContextManagement\_Deregister

**Description:** The consumer NF uses this service operation to delete a registration of data or analytics to the DCCF.

**Inputs, Required:** Transaction Reference ID(s)

Inputs, Optional: None

Outputs Required: Transaction Reference ID(s), Operation execution result indication.

Outputs, Optional: None.

## 9 MFAF Services

#### 9.1 General

Table 9.1-1 shows the MFAF services and MFAF service operations.

Table 9.1-1: NF services provided by MFAF

| Service Name            | Service Operations | Operation<br>Semantics | Example<br>Consumer(s)                          |
|-------------------------|--------------------|------------------------|---|
| Nmfaf_3daDataManagement | Configure          | Request / Response     | DCCF, NWDAF                                     |
|                         | Deconfigure        | Request / Response     | DCCF, NWDAF                                     |
| Nmfaf_3caDataManagement | Notify             | Subscribe / Notify     | NWDAF, PCF,<br>NSSF, AMF, SMF,<br>NEF, AF, ADRF |
|                         | Fetch              | Request / Response     | NWDAF, PCF,<br>NSSF, AMF, SMF,<br>NEF, AF, ADRF |

## 9.2 Nmfaf\_3daDataManagement service

#### 9.2.1 General

Service Description: The consumer (e.g. DCCF) uses this service to instruct the MFAF to map data or analytics received by the MFAF to out-bound notification endpoints. Configuration of the MFAF by the consumer may include formatting and processing instructions for each notification endpoint as described in clause 5A.4. The sending of historical data or run-time data may be configured/deconfigured using this service.

When the MFAF is configured by the consumer NF, the MFAF provides a Transaction Reference Id. The Consumer NF may use the Transaction Reference Id in subsequent transactions to modify or remove (deconfigure) the sending of data to consumers.

## 9.2.2 Nmfaf\_3daDataManagement\_Configure service operation

Service operation name: Nmfaf\_3daDataManagement\_Configure

**Description:** The consumer configures or reconfigures the MFAF to map data or analytics received by the MFAF to out-bound notification endpoints and to format and process the out-bound data or analytics.

Inputs, Required: Data Consumer or Analytics Consumer Information.

"Data Consumer or Analytics Consumer Information" contains for each notification endpoint, the consumer provided Notification Target Address (+ Analytics Consumer Notification Correlation ID) or other endpoint addresses if provisioned on the DCCF to be used by the MFAF when sending notifications.

**Inputs, Optional:** Formatting Instructions, Processing Instructions, MFAF Notification Information, Transaction Reference Id, ADRF ID.

"MFAF Notification Information" is used to identify Event Notifications received from a Data Source and comprises the MFAF Notification Target Address (+ MFAF Notification Correlation ID). If a Data Source is already supplying the data to the MFAF, the MFAF Notification Information previously provided by the MFAF and used by the DCCF to obtain data from a Data Source is provided as an Input. If a new subscription to a Data Source is needed, the MFAF Notification Information is not specified as an Input and the MFAF provides Notification Information as an output. The MFAF Notification Information may subsequently be used by the DCCF when subscribing to a Data Source. "ADRF ID" is used to identify the ADRF when DCCF requests that the Messaging Framework to store historical data and analytics in the ADRF via Nadrf\_DataManagement\_StorageRequest. When a Notification Correlation ID is provided, MFAF shall use the Nmfaf\_3caDataManagement\_Notify service with the notification correlation ID to send the data or analytics to the ADRF.

Outputs Required: Operation execution result indication.

Outputs, Optional: MFAF Notification Information, Transaction Reference Id.

## 9.2.3 Nmfaf\_3daDataManagement\_Deconfigure service operation

Service operation name: Nmfaf\_3daDataManagement\_Deconfigure

**Description:** The consumer configures the MFAF to stop mapping data or analytics received by the MFAF to one or more out-bound notification endpoints.

Inputs, Required: Data Consumer or Analytics Consumer Information, Transaction Reference Id.

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

## 9.3 Nmfaf\_3caDataManagement service

#### 9.3.1 General

Service Description: This service is used to supply data or analytics from the MFAF to notification endpoints. Notifications may contain data or analytics, or an indication of availability of data or analytics.

## 9.3.2 Nmfaf\_3caDataManagement\_Notify service operation

**Service operation name:** Nmfaf\_3caDataManagement\_Notify

**Description:** Provides data or analytics or notification of availability of data or analytics to notification endpoints.

**Inputs, Required:** Notification Correlation Information.

**Inputs, Optional:** Requested Data with timestamp or Analytics with timestamp, Fetch Instructions.

NOTE 1: If the MFAF has received the notifications from another source (e.g. NWDAF) without a timestamp, then the MFAF adds itself a timestamp based on the time it received the notification.

Fetch Instructions indicate whether the data or analytics are to be fetched by the Consumer. If the data or analytics are to be fetched, the fetch instructions include an address from which the data may be fetched, one or more Fetch Correlation IDs and a deadline to fetch the data (Fetch Deadline).

NOTE 2: Data or Analytics provided in notifications can be processed and formatted according to the Processing and Formatting Instructions provided by the Consumer.

Outputs, Required: None.

Outputs, Optional: None.

#### 9.3.3 Nmfaf\_3caDataManagement\_Fetch service operation

**Service operation name:** Nmfaf\_3caDataManagement\_Fetch

**Description:** Consumer retrieves from the MFAF, data or analytics (which is regarded as a kind of data) as indicated by Nmfaf 3caDataManagement Notify Fetch Instructions.

**Inputs, Required:** Set of Fetch Correlation ID(s).

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

Outputs, Optional: Requested Data or Analytics.

NOTE: Data or Analytics provided in notifications can be processed and formatted according to the Processing

and Formatting Instructions provided by the Consumer.

## 10 ADRF Services

#### 10.1 General

Table 10.1-1 shows the ADRF services and ADRF service operations.

ADRF service operations may be used to store data or analytics in the ADRF, retrieve data or analytics from an ADRF, or delete data or analytics from an ADRF.

ADRF service operations may also be used to store ML Model(s) or ML Model address(es) in the ADRF, retrieve ML Model(s) from an ADRF or delete ML Model(s) from an ADRF.

**Service Name Service Operations Operation Semantics** Example Consumer(s) Nadrf\_DataManagement StorageRequest Request / Response DCCF, NWDAF, **MFAF** StorageSubscriptionRequest Request / Response DCCF, NWDAF StorageSubscriptionRemoval Request / Response DCCF, NWDAF DCCF, NWDAF RetrievalRequest Request / Response DCCF, NWDAF RetrievalSubscribe Subscribe / Notify DCCF, NWDAF RetrievalUnsubscribe DCCF, NWDAF RetrievalNotify Delete DCCF, NWDAF Request / Response StorageRequest Nadrf\_MLModelManagement Request / Response **NWDAF** Request / Response **NWDAF** RetrievalRequest Delete Request / Response NWDAF

Table 10.1-1: NF services provided by ADRF

## 10.2 Nadrf\_DataManagement service

#### 10.2.1 General

Service Description: This service enables the consumer to store, retrieve and remove data or analytics from an ADRF.

## 10.2.2 Nadrf\_DataManagement\_StorageRequest service operation

Service operation name: Nadrf\_DataManagement\_StorageRequest

**Description:** The consumer NF uses this service operation to request the ADRF to store data or analytics. Data or analytics are provided to the ADRF in the request message.

**Inputs, Required:** Data with timestamp or Analytics with timestamp to be stored, Service operation, Analytics Specification or Data Specification.

"Service Operation" identifies the service used to obtain the data or analytics from a Data Source (e.g. Namf\_EventExposure\_Subscribe or Nnwdaf\_AnalyticsSubscription\_Subscribe).

"Analytics Specification or Data Specification" is the "Service Operation" specific required and optional input parameters that identify the data that was stored (e.g. Analytics ID(s) / Event ID (s), Target of Analytics Reporting or Target of Event Reporting, Analytics Filter or Event Filter, etc.). Service Operations and input parameters are defined in clause 7 for NWDAF and in clause 5.2 of TS 23.502 [3] for the other NFs.

**Inputs, Optional:** DataSetTag, DSC information, Storage Handling Information, Data Deletion Notification Endpoint (see clause 6.2B.2).

Outputs Required: Result Indication.

Outputs, Optional: Storage Transaction Identifier, DataSetTag(s), Storage Approach.

## 10.2.3 Nadrf\_DataManagement\_StorageSubscriptionRequest service operation

Service operation name: Nadrf\_DataManagement\_StorageSubscriptionRequest

**Description:** The consumer (NWDAF or DCCF) uses this service operation to request the ADRF to initiate a subscription for data or analytics (see clause 6.2B.3). Data or analytics provided in notifications as a result of the subsequent subscription by the ADRF are stored in the ADRF.

This service operation provides parameters needed by the ADRF to initiate the subscription (to a DCCF or NWDAF).

**Inputs, Required:** Service operation, Analytics Specification or Data Specification, Target NF (or Set) to subscribe to for notifications.

"Service Operation" identifies the service used to request data or analytics from a Data Source (e.g. Namf\_EventExposure\_Subscribe or Nnwdaf\_AnalyticsSubscription\_Subscribe)

"Analytics Specification or Data Specification" is the "Service Operation" specific required and optional input parameters that identify the data to be collected (e.g. Analytics ID(s) / Event ID (s), Target of Analytics Reporting or Target of Event Reporting, Analytics Filter or Event Filter, etc.). Service Operations and input parameters are defined in clause 7 for NWDAF and in clause 5.2 of TS 23.502 [3] for the other NFs.

"Target NF (or Set) to subscribe to for notifications" may be a DCCF or NWDAF that can provide the data or analytics

**Inputs, Optional:** Formatting Instructions, Processing Instructions, DataSetTag, Storage Handling Information, Data Deletion Notification Endpoint (see clause 6.2B.3).

Formatting Instructions and Processing Instructions are as defined in clause 5A.4.

Outputs Required: Transaction Reference ID, DataSetTag(s) (if any).

Outputs, Optional: Storage Approach.

NOTE: The parameters used in this service operation, including Formatting and Processing Instructions (if provided) are used by the ADRF when subscribing to a DCCF or NWDAF for Data or Analytics to be stored.

# 10.2.4 Nadrf\_DataManagement\_StorageSubscriptionRemoval service operation

Service operation name: Nadrf\_DataManagement\_StorageSubscriptionRemoval

**Description:** The consumer NF uses this service operation to request that the ADRF no longer subscribes to data or analytics it is collecting and storing.

**Inputs, Required:** one of the following:

- Transaction Reference ID provided in the Nadrf\_DataManagement\_StorageSubscriptionRequest Output; or
- DataSetTag.

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

#### 10.2.5 Nadrf\_DataManagement\_RetrievalRequest service operation

Service operation name: Nadrf\_DataManagement\_RetrievalRequest

**Description:** The consumer NF uses this service operation to retrieve stored data or analytics from the ADRF. The Nadrf\_DataManagement\_RetrievalRequest response either contains the data or analytics, or provides instructions for fetching the data or analytics. The Nadrf\_DataManagement\_RetrievalRequest may be unsolicited (e.g. when the consumer itself has known "Storage Transaction Identifier") or sent in response to a Fetch Instructions received from the ADRF in an Nadrf\_DataManagement\_RetrievalNotify.

#### **Inputs, Required:** one of the following:

- Storage Transaction Identifier; or
- Fetch Correlation ID(s) if the RetrievalRequest is in response to a Fetch Instruction received from the ADRF in an Nadrf\_DataManagement\_RetrievalNotify; or
- DataSetTag.

Inputs, Optional: None.

Outputs Required: Result Indication.

Outputs, Optional: Data or Analytics, DSC information.

## 10.2.6 Nadrf\_DataManagement\_RetrievalSubscribe service operation

**Service operation name:** Nadrf\_DataManagement\_RetrievalSubscribe

**Description:** The consumer NF uses this service operation to retrieve stored data or analytics from the ADRF and to receive future notifications containing the corresponding data or analytics received by ADRF.

#### **Inputs, Required:** one of the following:

- Service Operation, Analytics Specification or Data Specification, Time Window; or
- DataSetTag.

"Service Operation" identifies the service used to obtain the data or analytics from a Data Source (e.g. Namf\_EventExposure\_Subscribe or Nnwdaf\_AnalyticsSubscription\_Subscribe).

"Analytics Specification or Data Specification" is the "Service Operation" specific required and optional input parameters that identify the data that was stored (e.g. Analytics ID(s) / Event ID (s), Target of Analytics Reporting or Target of Event Reporting, Analytics Filter or Event Filter, etc.). Service Operations and input parameters are defined in clause 7 for NWDAF and in clause 5.2 of TS 23.502 [3] for the other NFs.

"Time Window" is the start and stop time when the requested data or analytics was collected. If Time Window includes a period in the future, subsequent notifications containing the requested data or analytics received by the ADRF are sent to the notification endpoint.

"DataSetTag" indicates all data records stored or being collected and stored by ADRF which are associated to that attribute.

Inputs, Optional: Fetch flag.

Outputs Required: Result Indication.

Outputs, Optional: Subscription Correlation ID.

## 10.2.7 Nadrf\_DataManagement\_RetrievalUnsubscribe service operation

Service operation name: Nadrf\_DataManagement\_RetrievalUnsubscribe

**Description:** The consumer NF uses this service operation to request that the ADRF no longer sends data or analytics to

a notification endpoint.

Inputs, Required: Subscription Correlation ID.

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

## 10.2.8 Nadrf\_DataManagement\_RetrievalNotify service operation

Service operation name: Nadrf DataManagement RetrievalNotify

Description: This service operation provides consumers with either data or analytics from an ADRF, or instructions to fetch the data or analytics from an ADRF. The notifications are provided to consumers that have subscribed using the Nadrf\_DataManagement\_RetrievalSubscribe service operation. Historical data or analytics may be retrieved from ADRF storage and data received in the future be sent when obtained by the ADRF. The ADRF may also notify the consumer instance when Data or Analytics is to be deleted

**Inputs, Required:** Notification Correlation Information, time stamp representing time when ADRF completed preparation of the requested data.

**Inputs, Optional:** Requested Data or Analytics, Fetch Instructions, Termination Request, DSC information, Data or Analytics Deletion Alert.

Fetch Instructions indicate whether the data or analytics are to be fetched from the ADRF by the Consumer. If the data or analytics are to be fetched, the fetch instructions include an address from which the data may be fetched, one or more Fetch Correlation IDs. and a deadline to fetch the data (Fetch Deadline).

Data or Analytics are fetched using the Nadrf\_DataManagement\_RetrievalRequest service operation.

Termination Request indicates that the ADRF requests to terminate the subscription, i.e. ADRF will not provide further notifications related to this subscription, e.g. when all data or analytics requested by the consumer have been provided to the consumer.

Outputs, Required: Operation execution result indication.

Outputs, Optional: Data or Analytics Retrieval Indication..

The Data or Analytics Retrieval Indication may be sent if the notification contains a Data or Analytics Deletion Alert. The Data or Analytics Retrieval Indication indicates if the consumer will retrieve stored data or analytics prior to deletion (see clause 6.2B.3).

## 10.2.9 Nadrf\_DataManagement\_Delete

Service operation name: Nadrf\_DataManagement\_Delete

**Description:** This service operation instructs the ADRF to delete stored data.

**Inputs, Required:** One of the following:

- Storage Transaction Identifier;
- Service Operation, Analytics Specification or Data Specification and Time Window; or

- DataSetTag.

Inputs, Optional: None.

Outputs, Required: Operation execution result indication.

Outputs, Optional: None.

## 10.3 Nadrf\_MLModelManagement service

#### 10.3.1 General

Service Description: This service enables the consumer to store and update, retrieve and remove ML Model(s) or ML Model address(es) from an ADRF.

## 10.3.2 Nadrf\_MLModelManagement\_StorageRequest service operation

Service operation name: Nadrf\_MLModelManagement\_StorageRequest

**Description:** The consumer NF uses this service operation to request the ADRF to store or update ML Model(s). ML Model(s) or ML Model address(es) stored in NWDAF containing MTLF are provided to the ADRF in the request message.

Inputs, Required: NF instance ID of the NWDAF containing MTLF and set of:

- ML Model(s): or
- the tuple (unique ML Model identifier and ML Model file address and Storage size required for each of the ML Models).

NOTE 1: The ADRF can download ML Model file using the ML Model addresses provided by the NWDAF containing MTLF. How the ADRF locally stores the ML Model is left for implementation.

Inputs, Optional: Allowed NF instance list for the ML Model identifier.

#### **Outputs Required:**

- Result Indication;
- [Conditional] one or more tuples of unique ML Model identifier and ML Model address of Model file stored in ADRF.

NOTE 2: The definition of ML file address such as e.g. name, location and access method is up to stage 3.

Outputs, Optional: Storage Transaction Identifier.

## 10.3.3 Nadrf\_MLModelManagement\_Delete service operation

Service operation name: Nadrf\_MLModelManagement\_Delete

**Description:** This service operation instructs the ADRF to delete stored ML Model file(s) or ML Model address(es).

#### Inputs, Required:

- Storage Transaction Identifier; or
- one or more unique ML Model identifier(s).

When a Storage Transaction Identifier is given, ADRF shall delete all the models that stored in the corresponding storage transaction.

Inputs, Optional: None.

#### Outputs, Required: One of the following:

- Operation execution result indication (i.e. ML Model deleted, ML Model not found, ML Model found but not deleted), if for all of the involved ML Model(s) have the same execution result.
- One or more tuples (each includes the unique ML Model identifier and corresponding operation execution result indication).

Outputs, Optional: None.

## 10.3.4 Nadrf\_MLModelManagement\_RetrievalRequest service operation

Service operation name: Nadrf\_MLModelManagement\_RetrievalRequest

**Description:** The consumer NF uses this service operation to request the ADRF to get the ML Model(s) stored in ADRF.

#### Inputs, Required:

- Storage Transaction Identifier; or
- one or more tuples of unique ML Model identifier(s).

Inputs, Optional: None.

#### Outputs Required: Result Indication.

- [Conditional] one or more tuples of unique ML Model identifiers and ML Model address of Model file stored in ADRF.

NOTE: The definition of ML file address such as e.g. name, location and access method is up to stage 3.

Outputs, Optional: None.

# Annex A (informative): Methods to handle NAT on IPv4 between UE and AF

## A.1 Methods to handle NAT on IPv4 between UE and AF

The following methods can be used to handle the case when there is a NAT between the UE and AF for data collection:

NOTE: These methods can be used both when there is a NAT between the UE and the AF, and when there is no NAT between the UE and the AF.

- 1) Use IPv6 instead of IPv4 and then use any of the procedures in clauses 6.2.8.2.4.2 to 6.2.8.2.4.4.
- 2) Provide GPSI via header enrichment as described in TS 29.244 [17].
- 3) Have GPSI as part of the authentication information, or via in-band signalling.
- 4) At the establishment of the user plane connection between the UE Application and AF, the AF can use the procedure in clause 4.15.10 of TS 23.502 [3] to get the GPSI.
- 5) At the establishment of the user plane connection between the UE Application and a trusted AF, the AF can use the steps 3 to 8 in clause 4.15.10 of TS 23.502 [3], where NEF is replaced by the AF, to retrieve the SUPI of the UE.

In methods 2) to 4), the AF can correlate the UE public IP address and port with the SUPI/GPSI.

# Annex B (informative): Change history

|         |                |                        |      |          |     | Change history  |                  |
|---------|----------------|------------------------|------|----------|-----|---|------------------|
| Date    | Meeting        | TDoc                   | CR   | Rev      | Cat | Subject/Comment   | New version      |
|         | SP#84          | SP-190456              | -    | -        | -   | MCC Editorial update for presentation to TSG SA#84 for approval                       | 1.0.0            |
| 2019-06 | SP#84          | -                      | -    | -        | -   | MCC editorial update for publication after approval at TSG SA#84                      | 16.0.0           |
|         | SP#85          | SP-190612              | 0001 | 3        | F   | Clarifications to Observed Service experience related network data analytics          | 16.1.0           |
| 2019-09 | SP#85          | SP-190612              | 0010 | 1        | F   | Specification clean-up  | 16.1.0           |
| 2019-09 | SP#85          | SP-190612              | 0012 | 3        | F   | Miscellaneous corrections to TS 23.288  | 16.1.0           |
| 2019-09 | SP#85          | SP-190612              | 0014 | 1        | F   | Clarification of NF and AF  | 16.1.0           |
| 2019-09 | SP#85          | SP-190612              | 0015 | 3        | F   | Update the Analytics information provided by NWDAF                                    | 16.1.0           |
|         | SP#85          | SP-190612              | 0017 | 2        | F   | Closing open issue on NEF-AF interaction for data collection from AF                  | 16.1.0           |
| 2019-09 | SP#85          | SP-190612              | 0026 | 1        | F   | Clarification of the correlation information  | 16.1.0           |
| 2019-09 | SP#85          | SP-190612              | 0027 | 4        | F   | Clarifications of the pre-check behaviours of the NF                                  | 16.1.0           |
| 2019-09 | SP#85          | SP-190612              | 0029 | 3        | F   | Corrections to slice load level analytics   | 16.1.0           |
| 2019-09 | SP#85          | SP-190612              | 0034 | 3        | F   | Clarifications on Potential QoS Change  | 16.1.0           |
| 2019-09 | SP#85          | SP-190612              | 0036 | 1        | F   | CR to properly separate UE identifiers from Analytics Filter                          | 16.1.0           |
| 2019-09 |                | SP-190612              | 0037 | 1        | F   | CR for update of observed service experience  | 16.1.0           |
| 2019-09 |                | SP-190612              | 0039 | 3        | F   | Miscellaneous editorial corrections   | 16.1.0           |
|         | SP#85          | SP-190612              | 0040 | 3        | F   | Optionality of data to be collected by NWDAF  | 16.1.0           |
| 2019-09 |                | SP-190612              | 0040 | 1        | F   | Clarification on Data Collection  | 16.1.0           |
| 2019-09 |                | SP-190612              | 0042 | 1        | F   | Probability assertion clarification on NWDAF services description                     | 16.1.0           |
| 2019-09 |                | SP-190612              | 0045 | 1        | F   | Corrections for analytics exposure framework related parameters                       | 16.1.0           |
| 2019-09 |                | SP-190612<br>SP-190612 | 0046 | 1        | F   | BSF and PCF selection for data collection   |                  |
|         | SP#85<br>SP#85 | SP-190612<br>SP-190612 | 0052 | -        | F   | Corrections to Nnwdaf_AnalyticsSubscription_Subscribe and                             | 16.1.0<br>16.1.0 |
|         |                |                        |      |          | -   | Nnwdaf_AnalyticsInfo_Request service operations                                       |                  |
| 2019-12 |                | SP-191079              | 0002 | 6        | F   | Clarifications to NF load data analytics  | 16.2.0           |
| 2019-12 |                | SP-191079              | 0003 | 8        | F   | Clarifications to Network Performance related network data analytics                  | 16.2.0           |
| 2019-12 |                | SP-191079              | 0004 | 3        | F   | Clarifications to Abnormal behaviour analytics  | 16.2.0           |
| 2019-12 |                | SP-191079              | 0009 | 4        | F   | Clarifications to UE mobility and Abnormal behaviour analytics                        | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0043 | 2        | F   | Remove UE related analytics for any UE  | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0044 | 6        | F   | Clarifications to UE communication and mobility analytics output                      | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0047 | 3        | F   | Corrections for observed Service experience related network data analytics            | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0055 | 01       | F   | Terminology Alignment   | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0057 | 5        | F   | Editor's Notes cleanup  | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0062 | -        | F   | Corrections to User Data Congestion Analytics   | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0063 | -        | F   | Correction for data collection from OAM   | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0064 | 7        | F   | Corrections to general and framework parts of analytics                               | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0065 | -        | F   | Corrections to data collection from NFs   | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0066 | 6        | F   | Miscellaneous corrections/updates to TS 23.288  | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0068 | 4        | F   | Clarification of the data collection of the OSE                                       | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0071 | 3        | F   | Update to UE related analytics  | 16.2.0           |
| 2019-12 |                | SP-191079              | 0072 |          | F   | Clarifications on Supporting Modification of Analytics Subscription                   | 16.2.0           |
|         | SP#86          | SP-191079              | 0076 | 2        | F   | Removing Editor's note on how to find a PCF instance serving a UE                     | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0078 | 2        | F   | User Data Congestion - Removal of Editor's Notes and Description Alignments           | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0081 | 3        | F   | CR to update UE communication   | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0084 | 3        | F   | Correction to Analytics Filter for slice load level analytics                         | 16.2.0           |
|         | SP#86          | SP-191079              | 0087 | 3        | F   | Clarification on NWDAF-assisted expected UE behavioural analytics                     | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0088 |          | F   | Update the correlation information for AMF data and RAN data                          | 16.2.0           |
| 2019-12 |                | SP-191079              | 0091 | 1        | F   | Clarification of UE related analytics   | 16.2.0           |
|         | SP#86          | SP-191079              | 0092 |          | F   | Clarification of QoS requirements parameter used for QoS Sustainability Analytics     | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0093 | 4        | F   | Alignments on Analytics Filter Information and clarifications on Reporting Thresholds | 16.2.0           |
| 2019-12 | SP#86          | SP-191079              | 0094 | 1        | F   | Clarification for UPF related data collection   | 16.2.0           |
| 2019-12 |                | SP-191120              | 0095 | 3        | F   | Alignment of User Data Congestion Analytics   | 16.2.0           |
| 2019-12 |                | SP-191079              | 0099 | 1        | F   | NEF parameter mapping for outbound analytics  | 16.2.0           |
| 2019-12 |                | SP-191079              | 0100 | 5        | F   | Alignments on QoS Sustainability Analytics  | 16.2.0           |
| 2020-03 |                | SP-200070              | 0103 | 1        | F   | Clarification on definitions and NSI  | 16.3.0           |
| 2020-03 |                | SP-200070              | 0104 | <u> </u> | F   | NWDAF collect MDT/SON parameters  | 16.3.0           |
| 2020-03 |                | SP-200070              | 0104 | 1        | F   | Update to Clause 6.1.3 Contents of Analytics Exposure                                 | 16.3.0           |
|         | SP#87E         | SP-200070              | 0103 | 2        | F   | CR to update Observed Service Experience  | 16.3.0           |
| 2020-03 |                | SP-200070              | 0109 | 3        | F   | Corrections on UE mobility analytics type by NWDAF service                            | 16.3.0           |
| 2020-03 |                | SP-200070              | 0110 | 3        | F   | Corrections on UE mobility analytics type by NWDAF service                            | 16.3.0           |
|         | SP#87E         | SP-200070              | 0110 | 2        | F   | Correct the filters for UE related analytics  | 16.3.0           |
|         | SP#87E         | SP-200070              | 0112 | 4        | F   | A mechanism to avoid the flooding of reporting  | 16.3.0           |
|         | ++()/ [        | JI -ZUUU/U             |      | . 4      |     | ra mechanism to avoid the hooding of reputting  | 10.5.0           |

| 2020-03                       | SP#87E           | SP-200070              | 0114         | 1 | F      | Reporting information updates   | 16.3.0           |
|-------------------------------|------------------|------------------------|--------------|---|--------|---|------------------|
| 2020-03                       | SP#87E           | SP-200070              | 0115         | 1 | F      | Mega CR on editorial corrections  | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0117         | 1 | F      | Slice service experience data collection corrections  | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0119         | 1 | F      | Add the definition for Maximum number of results parameter into clause 6.1.3  | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0123         | 1 | F      | Clarification of clause 6.7.2 UE mobility analytics   | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0124         | 1 | F      | Clarification of clause 6.7.4 Expected UE behavioural parameters related network data analytics   | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0126         | 1 | F      | Clarification on abnormal behaviour analytics   | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0127         | 1 | F      | Clarifications on data collection   | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0128         | 1 | F      | Corrections to Observed Service Experience analytics  | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0129         | 1 | F      | Corrections to User Data Congestion Analytics   | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0130         | - | F      | Corrections related to Analytics Filter Information and others  | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0132         | - | F      | Clarifications on Inputs of NWDAF Analytics Subscription  | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0139         | - | F      | Clarification of data collection from UPF   | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0140         | - | F      | TS 23.288 editor's note handling  | 16.3.0           |
| 2020-03                       | SP#87E           | SP-200070              | 0142         | 1 | F      | Clarification on the NWDAF services invoked in Abnormal behaviour   | 16.3.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0118         | 3 | F      | Abnormal analytics for any UE   | 16.4.0           |
|                               | SP#88E           | SP-200431              | 0146         | 1 | F      | Clarification of NF load analytics procedure  | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0148         | 1 | F      | Clarification on Data Collection Procedure  | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0149         | 1 | F      | Correction on Probability Assertion   | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0150         | 1 | F      | Miscellaneous FASMO corrections to service experience analytics   | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0153         | 1 | F      | Support of abnormal behaviour analytics for any UE  | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0154         | 1 | F      | Support of data collection for any UE   | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0155         | 2 | F      | Clarification on UE mobility analytics exposed to AF  | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0156         | 1 | F      | Abnormal analytics clarifications (not any UE related)  | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0158         | 1 | F      | Clarification on Event and Analytics Filters for some analytics types   | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0159         | - | F      | The term MoS to apply for all kind of services  | 16.4.0           |
|                               | SP#88E           | SP-200431              | 0160         | 1 | F      | Further corrections to Observed Service Experience analytics  | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0161         | 1 | F      | Clarifications on procedures for analytics exposure   | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0162         | 1 | F      | Clarifications on procedures for data collection  | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0163         | 1 | F      | Further clarifications on abnormal behaviour related network data analytics   | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0165         | 1 | F      | Clarification for the Network Performance analytics   | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0166         | 1 | F      | Updates of data collection for slice service experience   | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0167         | 1 | F      | Corrections related to external UE ID   | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0168         | - | F      | General clean-up for output abnormal behaviour analytics  | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0169         | 1 | F      | Removing service provider actions for exception ID ping-ponging across neighbouring cells   | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0170         | 1 | F      | Updated Event IDs for analytics   | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0172         | 1 | F      | Corrections to Nnwdaf service operations  | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0173         | 1 | F      | Adding UDM and OAM as consumers of services provided by NWDAF   | 16.4.0           |
| 2020-07                       | SP#88E           | SP-200431              | 0176         | 1 | F      | Corrections for maximum number of objects and Maximum number of SUPIs   | 16.4.0           |
| 2020-09                       | SP#89E           | SP-200679              | 0177         | 1 | F      | Service experience analytics discrimination   | 16.5.0           |
| 2020-09                       | SP#89E           | SP-200679              | 0178         | - | F      | Corrections for wrong references for TS 28.532 clauses  | 16.5.0           |
| 2020-09                       | SP#89E           | SP-200679              | 0179         | - | F      | Clarification on Target of Event Reporting  | 16.5.0           |
| 2020-09                       | SP#89E           | SP-200679              | 0181         | 2 | F      | Clarification on Analytics Exposure   | 16.5.0           |
| 2020-09                       | SP#89E           | SP-200679              | 0182         | 1 | F      | Clarification on data collection for statistics   | 16.5.0           |
| 2020-09                       | SP#89E           | SP-200679              | 0183         | 1 | F      | Clarification on mapping of expected analytics type and Exception IDs   | 16.5.0           |
| 2020-09                       | SP#89E           | SP-200679              | 0184         | 1 | F      | Clarification on NWDAF identifying the AF to collect data for an Event  | 16.5.0           |
| 2020-09                       | SP#89E           | SP-200679              | 0186         | 1 | F      | Clarification on Multiple Parameter Sets for QoS Sustainability   | 16.5.0           |
| 2020-12                       |                  | SP-200957              | 0188         | 1 | F      | Clarifications for Charging function as NWDAF consumer  | 16.6.0           |
| 2021-03                       | SP#90E           | SP-210246              | 0192         | - | F      | Correct wrong reference   | 16.7.0           |
| 2021-03                       | SP#90E           | SP-210246              | 0199         | 1 | F      | UE location in the AMF  | 16.7.0           |
| 2021-03                       | SP#90E           | SP-210070              | 0193         | 1 | В      | Analytics ID UE communication and Observed Service Experience<br>Extension to Support Application Related Analytics for RFSP<br>Policy      | 17.0.0           |
| 2021-03                       | SP#90E           | SP-210070              | 0194         | 2 | В      | Dispersion Analytics  | 17.0.0           |
| 2021-03                       | SP#90E           | SP-210070              | 0195         | 1 | В      | Persistent Data Collection  | 17.0.0           |
| 2021-03                       | SP#90E           | SP-210070              | 0196         | 1 | С      | Extending Observed Service Experience related network data analytics with cell energy saving state to Support UP path selection enhancement | 17.0.0           |
|                               | SP#90E           | SP-210070              | 0197         | 1 | В      | Adding a new analytics WLAN performance   | 17.0.0           |
| 2021-02                       | ∪ı #3∪⊏          |                        |              |   |        |   |                  |
| 2021-03                       | SP#Q0F           | SP-210070              | N102         | 1 | l R    | I Adding Analytics II is  |                  |
| 2021-03<br>2021-03<br>2021-03 | SP#90E<br>SP#90E | SP-210070<br>SP-210070 | 0198<br>0200 | 1 | B<br>B | Adding Analytics IDs  Extensions to User Data Congestion Analytics  | 17.0.0<br>17.0.0 |

|                    | 1                |                        |         |         |         |  | 1      |
|--------------------|------------------|------------------------|---------|---------|---------|--|--------|
| 2021-03            |                  | SP-210070              | 0202    | 1       | С       | KI#4: Additional consumer NFs for service experience analytics                                     | 17.0.0 |
| 2021-03            |                  | SP-210070              | 0203    | 1       | С       | Network slice information from OAM   | 17.0.0 |
| 2021-03            |                  | SP-210070              | 0204    | 1       | С       | UE Communication analytics updates for user plane optimization                                     | 17.0.0 |
| 2021-03            |                  | SP-210070              | 0205    | 1       | В       | NWDAF decomposition  | 17.0.0 |
| 2021-03            |                  | SP-210070              | 0206    | 1       | В       | NWDAF - Data repository function   | 17.0.0 |
| 2021-03            |                  | SP-210070              | 0207    | 1       | В       | Procedure for Multiple NWDAF Analytics aggregation   | 17.0.0 |
| 2021-03            |                  | SP-210070              | 0208    | 1       | В       | Procedure for time coordination across multiple NWDAF instances                                    | 17.0.0 |
| 2021-03            |                  | SP-210070              | 0209    | 1       | В       | NF Load analytics enhancement  | 17.0.0 |
| 2021-03            |                  | SP-210070              | 0210    | 1       | В       | Session Management Congestion Control Experience Analytics   | 17.0.0 |
| 2021-03            |                  | SP-210070              | 0211    | 1       | В       | Adding the new analytics Redundant Transmission Experience   | 17.0.0 |
| 2021-03            |                  | SP-210070              | 0212    | 1       | С       | Extension of the existing analytics, UE Mobility   | 17.0.0 |
| 2021-03            |                  | SP-210070              | 0213    | 1       | В       | Hierarchical Principles and Interactions on Multiple NWDAFs in TS23.288                            | 17.0.0 |
|                    | SP#90E           | SP-210070              | 0214    | 1       | В       | Principles, Procedures, Services of Bulked Data Collection in TS23.288                             | 17.0.0 |
|                    | SP#90E           | SP-210071              | 0215    | 1       | В       | Implementation of Support For Discovering and Tracking Entities in Area of Interesting in TS23.288 | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0216    | 1       | В       | Implementation of Enhancements on Event Exposure used by NWDAF in TS23.288                         | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0218    | 1       | В       | ML Model sharing between NWDAF instances   | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0219    | 1       | В       | Analytics ID Service Experience Extension to Support UP path selection enhancement                 | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0220    | 1       | В       | KI#11: Update of data collection procedures  | 17.0.0 |
|                    | SP#90E           | SP-210071              | 0221    | 1       | В       | KI#1: Extension of functional descriptions for Model training logical                              | 17.0.0 |
|                    |                  |                        | <u></u> | <u></u> | <u></u> | function   |        |
|                    | SP#90E           | SP-210071              | 0222    | 1       | В       | KI#19: Procedures for ML Model provisioning and training   | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0223    | 1       | В       | Multiple NWDAF instances architecture  | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0224    | 1       | В       | Procedures for model sharing   | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0229    | 1       | В       | NWDAF discovery for no AOI case  | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0230    | 1       | В       | Support of analytics aggregation without provision of AOI  | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0233    | 1       | В       | Support of DN performance analytics by NWDAF   | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0235    | 1       | В       | DCCF and ADRF architectural changes to increasing efficiency of data collection                    | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0236    | 1       | В       | Procedures for data collection using DCCF  | 17.0.0 |
|                    | SP#90E           | SP-210071              | 0237    | 1       | В       | Procedures for data collection using DCCF and Messaging Framework                                  | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0238    | -       | В       | Procedures for analytics exposure using DCCF   | 17.0.0 |
| 2021-03            |                  | SP-210071              | 0239    | 1       | В       | Procedures for analytics exposure using DCCF and Messaging   | 17.0.0 |
| 2021-03            | 31 #30L          | 31 -210071             | 0233    | '       |         | Framework  | 17.0.0 |
| 2021-03            | SP#90E           | SP-210071              | 0240    | -       | В       | NWDAF usage of partitioning criteria   | 17.0.0 |
| 2021-03            |                  | SP-210071              | 0241    | 1       | В       | New procedure for data collection from UE  | 17.0.0 |
| 2021-03            |                  | SP-210071              | 0244    | 1       | В       | Increasing efficiency of data collection (Architecture part)                                       | 17.0.0 |
| 2021-03            |                  | SP-210071              | 0248    | 1       | В       | Update the procedure for Observed Service Experience related                                       | 17.0.0 |
|                    |                  |                        |         |         |         | network data analytics case  |        |
| 2021-03            | SP#90E           | SP-210072              | 0250    | 1       | В       | Service operations for Multiple NWDAF Analytics aggregation  | 17.0.0 |
| 2021-03            |                  | SP-210072              | 0251    | 1       | В       | NWDAF Reselection for Multiple NWDAF deployments - procedures                                      | 17.0.0 |
| 2021-03            | SP#90E           | SP-210072              | 0252    | 1       | В       | NWDAF Discovery and Selection for Multiple NWDAF   | 17.0.0 |
| 2021-03            |                  | SP-210072              | 0255    | 1       | В       | Improvements on analytics subsets, accuracy levels and ordering                                    | 17.0.0 |
|                    | SP#90E           | SP-210072              | 0256    | 1       | В       | of results  NWDAF Reselection for Multiple NWDAF deployments - service                             | 17.0.0 |
|                    |                  |                        |         |         |         | operations   |        |
|                    | SP#92E           | SP-210348              | 0243    | 5       | В       | Exposing UE mobility analytics for multiple NWDAFs case  | 17.1.0 |
| 2021-06            |                  | SP-210348              | 0257    | 1       | В       | KI#7 - Extensions to User Data Congestion Analytics  | 17.1.0 |
|                    | SP#92E           | SP-210348              | 0258    | 3       | В       | KI#8 - Resolving Editors note on mapping UE IP address and SUPI or GPSI                            | 17.1.0 |
|                    | SP#92E           | SP-210348              | 0259    | 2       | В       | NWDAF registering into UDM   | 17.1.0 |
|                    | SP#92E           | SP-210348              | 0260    | 2       | В       | Updating clause 5.2 for the discovery of NWDAFs  | 17.1.0 |
|                    |                  | SP-210348              | 0262    | 2       | В       | CR to update MTLF services to resolve ENs  | 17.1.0 |
|                    |                  | SP-210348              | 0263    | 1       | В       | CR to resolve ENs related to multiple MTLFs  | 17.1.0 |
| 2021-06            |                  | SP-210330              | 0265    | 1       | Α       | Delete NSI ID via N7 interface   | 17.1.0 |
|                    | SP#92E           | SP-210350              | 0266    | 1       | F       | Clarification on DCCF usage in non-roaming architecture  | 17.1.0 |
|                    | SP#92E           | SP-210350              | 0267    | 1       | F       | Clarification on applying analytics subsets  | 17.1.0 |
|                    | SP#92E           | SP-210348              | 0268    | 1       | В       | Adding Application Status to analytics filter information  | 17.1.0 |
|                    | ISP#92E          | SP-210350              | 0272    | 1       | D       | Removal of Editorial Note related to Namf/Nsmf_EventExposure                                       | 17.1.0 |
| 2021-06            |                  |                        | 0273    | 1       | В       | Preferred granularity of location in analytics outputs   | 17.1.0 |
| 2021-06            | SP#92E           | SP-210348              |         |         |         | tan  | 4740   |
| 2021-06            | SP#92E<br>SP#92E | SP-210348<br>SP-210348 | 0274    | 1       | В       | Alignments on analytics subsets, accuracy levels and ordering of results                           | 17.1.0 |
| 2021-06            | SP#92E           |                        |         | 1       | В       |  | 17.1.0 |
| 2021-06<br>2021-06 | SP#92E<br>SP#92E | SP-210348              | 0274    |         |         | results Use of UE behaviour, location and communication trends for                                 |        |

| 2021-06                                  | SP#92E                     | SP-210350              | 0280         | 3          | F        | Resolving EN on analytics metadata request                          | 17.1.0 |
|--|----------------------------|------------------------|--------------|------------|----------|---|--------|
| 2021-06                                  | SP#92E                     | SP-210350              | 0282         | -          | F        | Analytics Context Information Transfer                              | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210350              | 0283         | 1          | F        | Services for Analytics Subscription Transfer                        | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210348              | 0285         | 1          | В        | Removing EN on dataset statistical properties related to analytics  | 17.1.0 |
|  |                            |                        |              |            |          | aggregation definitions.  |        |
| 2021-06                                  | SP#92E                     | SP-210350              | 0286         | 4          | F        | Removing EN on bulked data definitions and procedures.              | 17.1.0 |
| 2021-06                                  |                            | SP-210348              | 0287         | 1          | В        | Remove ENs from Event Muting Mechanisms                             | 17.1.0 |
| 2021-06                                  |                            | SP-210348              | 0288         | 1          | В        | Removal of FFS on SMF mapping of PDU sessions to TAI                | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210348              | 0289         | 2          | В        | Removal of EN related to network slice association information in   | 17.1.0 |
| 2021-00                                  | SF#9ZL                     | 3F-210340              | 0209         | _          |          | TS 23.288.  | 17.1.0 |
| 2024 00                                  | CD#00E                     | CD 040040              | 0000         | 4          | _        |   | 4740   |
| 2021-06                                  | SP#92E                     | SP-210348              | 0290         | 1          | В        | Alignment of NWDAF discovery of data exposure capability in TS      | 17.1.0 |
|  |                            |                        |              |            |          | 23.288.   |        |
| 2021-06                                  |                            | SP-210348              | 0291         | 4          | В        | NWDAF discovery and selection based on ML Model information         | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210348              | 0293         | 4          | В        | Add bandwidth into Dispersion analytics per slice                   | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210350              | 0295         | 1          | С        | Improve the accuracy of the analytics ouput based on Abnormal       | 17.1.0 |
|  |                            |                        |              |            |          | Behaviour analytics interactions between NWDAFs                     |        |
| 2021-06                                  | SP#92E                     | SP-210348              | 0297         | 1          | В        | Clarification on MTLF determining when further training is required | 17.1.0 |
| 2021-06                                  |                            | SP-210350              | 0299         | 4          | F        | Resolve ENs for clause 6.1A.3.2                                     | 17.1.0 |
| 2021-06                                  |                            | SP-210350              | 0300         | 3          | F        | Data collection within AoI for specific UEs                         | 17.1.0 |
| 2021-06                                  |                            | SP-210350              | 0304         | 5          | F        |   | 17.1.0 |
|  |                            |                        |              |            |          | Miscellaneous correction(s)   |        |
| 2021-06                                  | SP#92E                     | SP-210348              | 0305         | 3          | В        | Definition of Nnwdaf_MLModelInfo_Request procedure and              | 17.1.0 |
|  | 00                         | 00                     |              |            | L        | services  |        |
| 2021-06                                  | SP#92E                     | SP-210348              | 0306         | 2          | В        | ADRF functional description   | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210348              | 0307         | -          | В        | Editor's note resolution for data and analytics collection via      | 17.1.0 |
|  |                            |                        |              |            | <u> </u> | messaging framework   |        |
| 2021-06                                  | SP#92E                     | SP-210573              | 0308         | 4          | В        | DCCF services definition  | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210349              | 0309         | -          | В        | MFAF services definition  | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0310         | 1          | В        | Data collection profile parameters                                  | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0311         | 1          | В        | Clarify the procedure for exposing Service Experience to a MEC      | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0315         | 1          | В        | Update the procedure for Data Collection from NWDAF                 | 17.1.0 |
|  |                            |                        |              | 4          | В        |   |        |
| 2021-06                                  |                            | SP-210349              | 0318         |            |          | Update to NF Load Analytics   | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0319         | 1          | В        | Update to time coordination across multiple NWDAF instances         | 17.1.0 |
| 2021-06                                  |                            | SP-210350              | 0320         | -          | С        | Correlation ID for transfer of analytics subscription               | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0322         | 1          | В        | Analytics aggregation - EN resolutions                              | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210349              | 0323         | -          | В        | Analytics aggregation - analytics metadata provisioning             | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210349              | 0324         | 1          | В        | Analytics aggregation - analytics metadata content                  | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0326         | 3          | В        | User Data congestion analytics update                               | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0329         | -          | В        | Analytics transfer service operations                               | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210349              | 0330         | 3          | В        | Extension of Naf_EventExposure for observed service experience      | 17.1.0 |
| 2021-00                                  | 31 #32L                    | 31 -210349             | 0330         | 3          |          | data collection from UEs  | 17.1.0 |
| 2024 06                                  | CD#02E                     | CD 040000              | 0224         | 4          | _        | Clarification on output parameters in OSE                           | 17.1.0 |
| 2021-06                                  |                            | SP-210333              | 0334         | 1          | Α        |   |        |
| 2021-06                                  |                            | SP-210349              | 0336         | 1          | В        | Data collection from UE Application                                 | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0337         | 1          | В        | Mapping UE IP address and GPSI                                      | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210349              | 0338         | 1          | В        | User consent to data collection and analytics                       | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210350              | 0341         | 1          | F        | Adding clarifications related to the Supported Analytic Delay       | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210349              | 0342         | -          | В        | Dispersion Analytics and DN Performance Analytics to Table 7.1-2    | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210349              | 0343         | 1          | В        | Addition of sets of NWDAF identifiers involved in analytics         | 17.1.0 |
|  |                            |                        |              |            |          | aggregation   | -      |
| 2021-06                                  | SP#92E                     | SP-210350              | 0348         | 1          | F        | Update to slice load analytics procedure                            | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210349              | 0351         | 1          | В        | Removal of FFS Clause 6.2.2.1 on determining data sources in        | 17.1.0 |
| 2021-00                                  | J. #JZL                    | O1 210049              | 5551         | '          |          | area of interest  | 17.1.0 |
| 2021.06                                  | SD#02E                     | SP-210350              | 0352         | 1          | F        | Clarification on data storage in ADRF                               | 17.1.0 |
| 2021-06                                  |                            |                        |              | - I<br>- 4 |          |   |        |
| 2021-06                                  |                            | SP-210350              | 0353         | 1          | F        | Analytics Subscription Transfer for aggregator NWDAF                | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0355         | 1          | В        | Clarification on the NWDAF decomposition                            | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0356         | 1          | В        | Analytics request to collect data from UE for any UE                | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0359         |            | В        | Update to Contents of Analytics Exposure                            | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210349              | 0360         | 1          | В        | Update to NF Load Analytics Output data                             | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0361         | 1          | В        | Update to UE Data Collection  | 17.1.0 |
| 2021-06                                  |                            | SP-210349              | 0362         | 1          | В        | ML Model Provisioning filter information                            | 17.1.0 |
| 2021-06                                  |                            | SP-210350              | 0363         | 1          | F        | Clarification of Application Server address within Analytics Filter | 17.1.0 |
| 2021-00                                  | OI #JZL                    | 01 210000              | 0000         | '          | '        | information in DN Performance Analytics                             | 17.1.0 |
| 2021-06                                  | SP#92E                     | SP-210350              | 0364         | 1          | F        | Clarification of Application Server address within Analytics Filter | 17.1.0 |
| 2021-00                                  | SF#9ZE                     | SF-∠10350              | 0304         | '          | 「        |   | 17.1.0 |
| 0004.00                                  | OD#OCE                     | OD 040050              | 0000         | 4          | -        | information in Service Experience Analytics                         | 4740   |
|  | SP#92E                     | SP-210350              | 0366         | 1          | F        | Correction to UE communication analytics for PDU session            | 17.1.0 |
| 2021-06                                  | 1                          |                        |              |            |          | inactivity timer  |        |
|  |                            | SP-210350              | 0368         | 1          | F        | Dispersion analytics update   | 17.1.0 |
| 2021-06                                  | SP#92E                     |                        | 0000         | 1          | В        | Processing and Processing Instructions                              | 17.1.0 |
|  |                            | SP-210349              | 0369         |            |          |   |        |
| 2021-06                                  |                            | SP-210349<br>SP-210350 | 0369         | 1          | В        | Analytics Data Repository procedures and Historical Data            | 17.1.0 |
| 2021-06<br>2021-06                       | SP#92E                     |                        |              | 1          | В        |   | 17.1.0 |
| 2021-06<br>2021-06<br>2021-06            | SP#92E<br>SP#92E           | SP-210350              | 0370         | 1 -        |          | Handling procedure  |        |
| 2021-06<br>2021-06<br>2021-06<br>2021-06 | SP#92E<br>SP#92E<br>SP#92E | SP-210350<br>SP-210350 | 0370<br>0371 | -          | В        | Handling procedure Procedure for data removal from ADRF             | 17.1.0 |
| 2021-06<br>2021-06<br>2021-06            | SP#92E<br>SP#92E           | SP-210350              | 0370         |            |          | Handling procedure  |        |

|  | I                                    |                                     |                      |     | _      |   |                  |
|--|--------------------------------------|-------------------------------------|----------------------|-----|--------|---|------------------|
| 2021-06                                  | SP#92E                               | SP-210350                           | 0374                 | 1   | В      | Procedure for Historical Data and Analytics Storage via Notifications                               | 17.1.0           |
| 2021-09                                  | SP#93E                               | SP-210921                           | 0377                 | 1   | F      | Resolving editor's notes for references to NWDAF services and cleanup for call flows                | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210921                           | 0378                 | -   | F      | DCCF for data collection from applications in the UE  | 17.2.0           |
|  | SP#93E                               | SP-210921                           | 0379                 | 1   | F      | ADRF ID in Nmfaf_3daDataManagement_Configure service operation                                      | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210922                           | 0381                 | 1   | В      | KI#15 - User consent  | 17.2.0           |
| 2021-09                                  |                                      | SP-210921                           | 0382                 | 1   | В      | Collection of input data for Analytics ID Load level information                                    | 17.2.0           |
| 2021-09                                  |                                      | SP-210921                           | 0383                 | 1   | C      | Defines the Analytics Context identifiers   | 17.2.0           |
| 2021-09                                  |                                      | SP-210921                           | 0384                 | 1   | С      | Updates to Analytics Context information  | 17.2.0           |
|  | SP#93E                               | SP-210921                           | 0385                 | 1   | C      | Updates for Analytics Context Information Transfer  | 17.2.0           |
|  | SP#93E<br>SP#93E                     | SP-210921<br>SP-210921              |                      | 1   | F      |   | 17.2.0           |
|  |                                      |                                     | 0386                 | -   |        | NWDAF procedures for Analytics transfer update  |                  |
| 2021-09                                  |                                      | SP-210921                           | 0387                 | 1   | В      | NWDAF selection including transfer of Analytics context   | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210921                           | 0388                 | 1   | С      | NWDAF re-selection for Multiple NWDAF deployments -   | 17.2.0           |
| 0004.00                                  | 00,400                               | 00.010001                           | 0000                 | _   | _      | procedures -update  | 47.00            |
|  | SP#93E                               | SP-210921                           | 0389                 | 1   | F      | Data collection from AF support for internal group ID   | 17.2.0           |
|  | SP#93E                               | SP-210921                           | 0390                 | -   | F      | Editorial fix in clause 5.2   | 17.2.0           |
| 2021-09                                  |                                      | SP-210921                           | 0393                 | -   | С      | Update to Suspicion of DDoS attack  | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210921                           | 0394                 | 1   | С      | Application ID - an optional input in Dispersion Analytics  | 17.2.0           |
|  | SP#93E                               | SP-210921                           | 0395                 | -   | F      | Correction to reference descriptions for slice load level analytics                                 | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210921                           | 0396                 | -   | В      | Removing EN on Frequency in OSE   | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210907                           | 0398                 | 1   | Α      | Clarify the data source of UE behavioural information and expected UE behavioural parameters        | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210921                           | 0400                 |     | F      | Remove the FFS on how to remove the noise data by the abnormal UE list                              | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210921                           | 0401                 | 1   | F      | Alignment of the ML Model subscription and ML Model request   | 17.2.0           |
| 2021-09                                  |                                      | SP-210921                           | 0404                 | 1   | F      | Clarify the Analytics Filter Information of the ML Model provisioning                               | 17.2.0           |
|  | SP#93E                               | SP-210921                           | 0406                 | 1   | F      | Update to analytics summary table   | 17.2.0           |
| 2021-09                                  |                                      | SP-210921                           | 0400                 | 1   | F      | Correction for slice restrictions information   | 17.2.0           |
|  | SP#93E                               | SP-210922<br>SP-210921              |                      |     | F      | Correction to Annex A reference   |                  |
|  |                                      |                                     | 0409                 | 1   |        |   | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210921                           | 0410                 | 1   | F      | Resolve EN on how the level of accuracy can be derived for analytics reports                        | 17.2.0           |
|  | SP#93E                               | SP-210921                           | 0411                 | 1   | F      | Correction to NWDAF Data management service operation   | 17.2.0           |
|  | SP#93E                               | SP-210921                           | 0412                 | -   | F      | Correction in General description of DN Performance Analytics                                       | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210921                           | 0414                 | 1   | F      | Corrections and clarifications related to analytics subscription transfer                           | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210921                           | 0417                 | 1   | F      | Corrections related to analytics aggregation  | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210921                           | 0421                 | 1   | F      | Clarification on the usage of Supported Analytics Delay when the                                    | 17.2.0           |
|  |                                      |                                     |                      |     |        | NWDAF also supports Analytics Aggregation   |                  |
| 2021-09                                  | SP#93E                               | SP-210922                           | 0424                 | -   | F      | Clarification on Analytics Subscription Transfer  | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210922                           | 0426                 | 1   | F      | Mapping information update in UE data collection procedure  | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210922                           | 0427                 | 2   | F      | Miscellaneous correction for TS 23.288  | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210922                           | 0428                 | 1   | F      | Update description for AF registration to the NRF in TS 23.288                                      | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210922                           | 0429                 | 1   | F      | Clarifying that some data types for a specific UE collected from                                    | 17.2.0           |
| 2021-09                                  | SP#93E                               | SP-210922                           | 0430                 | 2   | F      | OAM via MDT  Clarify and complement the data source for data collection for                         | 17.2.0           |
|  |                                      |                                     |                      |     |        | NWDAF containing MTLF   |                  |
| 2021-09                                  | SP#93E                               | SP-210922                           | 0431                 | 1   | F      | Clarification about the Application ID in the NF profile for AF registration to the NRF             | 17.2.0           |
|  | SP#93E                               | SP-210922                           | 0432                 | 1   | F      | ML Model storage alignment  | 17.2.0           |
|  | SP#93E                               | SP-210922                           | 0433                 | -   | F      | Clarification on the NWDAF or AF triggered mapping procedure  | 17.2.0           |
|  | SP#93E                               | SP-210922                           | 0434                 | 1   | F      | Removal of EASDF  | 17.2.0           |
| 2021-12                                  |                                      | SP-211292                           | 0437                 | 1   | F      | Add mute to DataManagement service  | 17.3.0           |
| 2021-12                                  |                                      | SP-211292                           | 0438                 | 1   | F      | Correcting inconsistencies for analytics transfer   | 17.3.0           |
|  | SP#94E                               | SP-211292                           | 0439                 | 1   | F      | UDM-based discovery of NWDAF in Analytics Aggregation procedure                                     | 17.3.0           |
| 2021-12                                  | SP#94E                               | SP-211292                           | 0441                 | -   | F      | Alignment of NWDAF services Example Consumers with corresponding procedures                         | 17.3.0           |
| 2021-12                                  | SP#94F                               | SP-211292                           | 0443                 | 1   | F      | Clarify the OSE analytics per RAT type and/or per Frequency   | 17.3.0           |
| 2021-12                                  |                                      | SP-211292                           | 0444                 | 1   | F      | Alignment and corrections related to accuracy, confidence and                                       | 17.3.0           |
|  |                                      |                                     |                      |     |        | normative wording in notes  |                  |
| 2021-12                                  |                                      | SP-211292                           | 0445                 | 1   | F      | Alignment, clarifications, corrections related to KI#2 and KI#11                                    | 17.3.0           |
| 2021-12                                  | SP#94E                               | SP-211292                           | 0447                 | 1   | F      | Clarification for Supported Analytics Delay when reporting mode is requested                        | 17.3.0           |
|  |                                      |                                     | 0449                 | 1   | F      | Clarify the Analytics target period   | 17.3.0           |
| 2021-12                                  | SP#94E                               | SP-211292                           | 0449                 |     |        |   |                  |
|  |                                      | SP-211292<br>SP-211292              | 0449                 | 3   | F      | Clarify the content of ML Model provisioning  | 17.3.0           |
| 2021-12                                  | SP#94E                               |                                     |                      | 3   | F      | Clarify the Slice load level analytics  | 17.3.0           |
| 2021-12<br>2021-12                       | SP#94E<br>SP#94E                     | SP-211292                           | 0450                 |     |        | Clarify the Slice load level analytics Clarification on Per-UE Service Experience Request Procedure |                  |
| 2021-12<br>2021-12<br>2021-12            | SP#94E<br>SP#94E<br>SP#94E           | SP-211292<br>SP-211292              | 0450<br>0451         | 1   | F      | Clarify the Slice load level analytics  | 17.3.0           |
| 2021-12<br>2021-12<br>2021-12<br>2021-12 | SP#94E<br>SP#94E<br>SP#94E<br>SP#94E | SP-211292<br>SP-211292<br>SP-211292 | 0450<br>0451<br>0453 | 1 2 | F<br>F | Clarify the Slice load level analytics Clarification on Per-UE Service Experience Request Procedure | 17.3.0<br>17.3.0 |

| 2021-12 S<br>2021-12 S<br>2021-12 S<br>2021-12 S              |                           |                                     |              |     |          |   |                  |
|---|---------------------------|-------------------------------------|--------------|-----|----------|---|------------------|
| 2021-12 S   | SP#94E                    | SP-211292                           | 0457         | 1   | F        | Clarifications on transfer of analytics context and analytics subscription  | 17.3.0           |
|   | SP#94E                    | SP-211292                           | 0458         | 1   | F        | Clarifications on prepared analytics transfer   | 17.3.0           |
|   | SP#94E                    | SP-211292                           | 0459         | 1   | F        | Clarifications on analytics aggregation   | 17.3.0           |
|   |                           | SP-211292                           | 0461         | 1   | F        | Clarify the NWDAF (MTLF) and NWDAF (AnLF)   | 17.3.0           |
| 2021-12 S   |                           | SP-211292                           | 0463         | 3   | F        | Clarify the UE aggregated mobility analytics exposure to NF   | 17.3.0           |
| 2021-12 S   |                           | SP-211292                           | 0464         | -   | F        | Content correction of user consent  | 17.3.0           |
| 2021-12 S   |                           | SP-211292                           | 0465         | 1   | F        | Clean up for UE data reporting procedure  | 17.3.0           |
| 2021-12 S   |                           | SP-211292                           | 0467         | 3   | F        | Miscellaneous corrections for TS 23.288 on eNA_ph2  | 17.3.0           |
| 2021-12 S   |                           | SP-211292                           |              | 1   | F        |   |                  |
|   |                           |                                     | 0468         |     |          | Clarification for analytics exposure via NWDAF(hosting DCCF)  | 17.3.0           |
| 2021-12 S   |                           | SP-211292                           | 0469         | 1   | F        | Clarification for analytics subscription termination request  | 17.3.0           |
|   | SP#94E                    | SP-211293                           | 0471         | 1   | F        | Correction on NWDAF reselectioin  | 17.3.0           |
|   | SP#94E                    | SP-211275                           | 0474         | 1   | Α        | Add the description of Wrong destination address  | 17.3.0           |
| 2021-12 S   | SP#94E                    | SP-211293                           | 0475         | 1   | F        | Clarifications for Ndccf services and Nnwdaf_DataManagement   | 17.3.0           |
|   |                           |                                     |              |     |          | services  |                  |
| 2021-12 S   | SP#94E                    | SP-211293                           | 0476         | 1   | F        | Clarify the resource usage for a network slice instance   | 17.3.0           |
| 2021-12 S   | SP#94E                    | SP-211293                           | 0478         | 1   | F        | Clarification on external triggers and clean up the UE mobility   | 17.3.0           |
|   |                           |                                     |              |     |          | analytics   |                  |
| 2021-12 S   | SP#94E                    | SP-211293                           | 0479         | 1   | F        | Clarifications for UE data collection   | 17.3.0           |
| 2021-12 S   |                           | SP-211293                           | 0480         | 1   | F        | User consent for analytics and model training   | 17.3.0           |
| 2021-12 S   |                           | SP-211293                           | 0481         | 1   | F        | Term alignment of Target of Analytics Reporting and Analytics   | 17.3.0           |
| 2021-12   | Oι #34L                   | 01 -211293                          | 0401         | '   |          | Filter Information  | 17.3.0           |
| 2024 42 2   | SP#94E                    | SP-211293                           | 0400         | 4   | F        | Adding a list to enumerate NF services for enhanced procedures  | 17.3.0           |
| 2021-12 S   | 37#94E                    | SP-211293                           | 0482         | 1   | -        |   | 17.3.0           |
| 0001.15   | 00,45                     | OD 044555                           | 0.45.1       |     | _        | data collection   | 4700             |
| 2021-12 S   | 5P#94E                    | SP-211293                           | 0484         | 1   | F        | Termination request or rejection of NWDAF data managment  | 17.3.0           |
|   |                           |                                     |              |     |          | service due to user consent not granted   |                  |
| 2021-12 S   |                           | SP-211293                           | 0486         | 1   | F        | Corrections to discovery for NWDAF containing MTLF  | 17.3.0           |
|   | SP#94E                    | SP-211293                           | 0490         | 1   | F        | Alignment and corrections related to prepared analytics transfer  | 17.3.0           |
| 2021-12 S   | SP#94E                    | SP-211293                           | 0491         | 1   | F        | Clarification on interaction between timers and supported analytics   | 17.3.0           |
|   |                           |                                     |              |     |          | Delay   |                  |
| 2021-12 S   | SP#94E                    | SP-211293                           | 0492         | 1   | F        | Resolve normative wording in notes  | 17.3.0           |
| 2021-12 S   |                           | SP-211293                           | 0493         | 1   | В        | DCCF-based user consent checking  | 17.3.0           |
| 2022-03 S   |                           | SP-220056                           | 0425         | 2   | F        | Update of Data collection from OAM  | 17.4.0           |
| 2022-03 S   |                           | SP-220056                           | 0489         | 2   | F        | Clarification on including location information for OSE analytics   | 17.4.0           |
|   |                           |                                     |              |     |          |   |                  |
| 2022-03 S   |                           | SP-220056                           | 0494         | 1   | F        | ADRF ID in the analytics context between NWDAFs   | 17.4.0           |
|   | SP#95E                    | SP-220056                           | 0496         | -   | F        | Correction for the figure of DN Performance Analytics   | 17.4.0           |
| 2022-03 S   | SP#95E                    | SP-220056                           | 0497         | 1   | F        | Clarification on Dispersion Analytic for identification of Top-Heavy  | 17.4.0           |
|   |                           |                                     |              |     |          | UEs in a location   |                  |
| 2022-03 S   |                           | SP-220056                           | 0499         | 1   | F        | Clarification on identifiers used as Target of Analytics Reporting  | 17.4.0           |
|   | SP#95E                    | SP-220056                           | 0500         | 1   | F        | Correction on Analytics ID on Service Experience  | 17.4.0           |
| 2022-03 S   | SP#95E                    | SP-220056                           | 0504         | 1   | F        | Clarification for Analytics Aggregation without Provision of Area of  | 17.4.0           |
|   |                           |                                     |              |     |          | Interest  |                  |
| 2022-03 S   | SP#95E                    | SP-220056                           | 0505         | 1   | F        | Update for the user consent checking  | 17.4.0           |
| 2022-03 S   | SP#95E                    | SP-220056                           | 0506         | 1   | F        | Remove the redundant content of performance data collected from   | 17.4.0           |
|   |                           |                                     |              |     |          | SMF   |                  |
| 2022-03 S   | SP#95E                    | SP-220056                           | 0507         | -   | F        | Remove the statistics value or expected value for load level  | 17.4.0           |
|   | SP#95E                    | SP-220056                           | 0508         | 1   | F        | Clarification on UE related analytics   | 17.4.0           |
|   | SP#95E                    | SP-220056                           | 0509         | 1   | F        | Correction on service operation to retreive the number of UE and  | 17.4.0           |
| 2022 03 0   | 01 #33L                   | 01 220000                           | 0303         | l ' | <b>'</b> | number of PDU session   | 17.4.0           |
| 2022.06.5   | SD#06                     | CD 220200                           | 0462         | 1   | F        |   | 17 5 0           |
|   | SP#96                     | SP-220399                           | 0462         | 4   |          | Clarify the Redundant Transmission Experience related analytics Clarification of transferring ML Model during analytics transfer  | 17.5.0           |
|   | SP#96                     | SP-220399                           | 0488         | 3   | F        |   | 17.5.0           |
| 2022-06 S   |                           | SP-220399                           | 0510         | 1   | F        | Clarification on historical data and analytics storage via MFAF   | 17.5.0           |
|   | SP#96                     | SP-220399                           | 0511         | 1   | F        | Alignment and corrections on analytics subscription procedures  | 17.5.0           |
|   | SP#96                     | SP-220399                           | 0512         | 1   | F        | Inputs update for NWDAF Notify services for missing elements  | 17.5.0           |
| 2022-06 S   |                           | SP-220399                           | 0513         | 1   | F        | Correction to Dispersion Analytics  | 17.5.0           |
| 2022-06 S   | SP#96                     | SP-220399                           | 0515         | 1   | F        | Alignment on the data collection from NSACF   | 17.5.0           |
|   | SP#96                     | SP-220399                           | 0517         | 1   | F        | Clarify the Analytics subset per different Analytics ID   | 17.5.0           |
|   | SP#96                     | SP-220399                           | 0518         | -   | F        | Adding UE ID to the Service Data from AF related to the Observed  | 17.5.0           |
| - 1   |                           |                                     |              |     |          | Service Experience  |                  |
|   | SP#96                     | SP-220399                           | 0519         | 1   | F        | Update inputs parameters for the  | 17.5.0           |
| 2022-06   |                           | J. 220000                           | 30.0         | '   | '        | Nadrf_DataManagement_StorageRequest service   |                  |
| 2022-06 S   | SP#96                     | SP-220399                           | 0520         | 1   | F        | Clarification on data collection with Event Muting Mechanism  | 17.5.0           |
|   | Oι #30                    |                                     | 0527         | -   | A        | Removing UDM as consumer of expected UE behavioural   | 17.5.0           |
| 2022-06 S   | SD#06                     |                                     | 0327         | -   | _ ^      |   | 17.3.0           |
| 2022-06 S   | SP#96                     | SP-220391                           |              |     | 1        | parameters analytics  |                  |
| 2022-06 S<br>2022-06 S  |                           |                                     | 0500         | 4   | ٦        |   | 47 F ^           |
| 2022-06 S<br>2022-06 S  | SP#96<br>SP#96            | SP-220391<br>SP-220399              | 0528         | 1   | F        | Update the Slice Load Level Analytics and DN Performance  | 17.5.0           |
| 2022-06 S<br>2022-06 S<br>2022-06 S                           | SP#96                     | SP-220399                           |              |     |          | Update the Slice Load Level Analytics and DN Performance Analytics  |                  |
| 2022-06 S<br>2022-06 S<br>2022-06 S                           |                           |                                     | 0528<br>0532 | 1   | F        | Update the Slice Load Level Analytics and DN Performance Analytics Clarification to Data Delivery and Data Collection via DCCF and  | 17.5.0<br>17.6.0 |
| 2022-06 S<br>2022-06 S<br>2022-06 S<br>2022-09 S              | SP#96<br>SP#97E           | SP-220399<br>SP-220778              | 0532         |     | F        | Update the Slice Load Level Analytics and DN Performance<br>Analytics<br>Clarification to Data Delivery and Data Collection via DCCF and<br>via MFAF  | 17.6.0           |
| 2022-06 S<br>2022-06 S<br>2022-06 S<br>2022-09 S              | SP#96                     | SP-220399                           |              |     |          | Update the Slice Load Level Analytics and DN Performance Analytics Clarification to Data Delivery and Data Collection via DCCF and via MFAF Clarification on granularity of time and location for NWDAF                   |                  |
| 2022-06 S<br>2022-06 S<br>2022-06 S<br>2022-09 S<br>2022-09 S | SP#96<br>SP#97E<br>SP#97E | SP-220399<br>SP-220778              | 0532         | 1   | F        | Update the Slice Load Level Analytics and DN Performance Analytics Clarification to Data Delivery and Data Collection via DCCF and via MFAF Clarification on granularity of time and location for NWDAF analytics results | 17.6.0           |
| 2022-06 S<br>2022-06 S<br>2022-06 S<br>2022-09 S<br>2022-09 S | SP#96<br>SP#97E           | SP-220399<br>SP-220778              | 0532         | 1   | F        | Update the Slice Load Level Analytics and DN Performance Analytics Clarification to Data Delivery and Data Collection via DCCF and via MFAF Clarification on granularity of time and location for NWDAF                   | 17.6.0           |
| 2022-06 S<br>2022-06 S<br>2022-06 S<br>2022-09 S<br>2022-09 S | SP#96<br>SP#97E<br>SP#97E | SP-220399<br>SP-220778<br>SP-220778 | 0532<br>0533 | 1   | F        | Update the Slice Load Level Analytics and DN Performance Analytics Clarification to Data Delivery and Data Collection via DCCF and via MFAF Clarification on granularity of time and location for NWDAF analytics results | 17.6.0<br>17.6.0 |

| 2022-09            | SP#97E         | SP-220778              | 0537         | 1  | F   | Alignment of DCCF and NWDAF Data Management services and                                     | 17.6.0           |
|--------------------|----------------|------------------------|--------------|----|-----|--|------------------|
|                    |                |                        |              |    |     | corrections to ADRF Data Management service  |                  |
|                    | SP#97E         | SP-220778              | 0538         | 1  | F   | Corrections on information of previous analytics subscription                                | 17.6.0           |
| 2022-09            |                | SP-220778              | 0539         | 1  | F   | Correction of wrong references to TS28.532   | 17.6.0           |
| 2022-09            |                | SP-220778              | 0540         | 1  | F   | Clarify the Nadrf_DataManagement_RetrievalRequest service                                    | 17.6.0           |
| 2022-12<br>2022-12 |                | SP-221070<br>SP-221070 | 0546<br>0547 | -  | F   | Corrections to Slice Load level Analytics ID Remove the Editor's Note related to EVEX in SA4 | 17.7.0<br>17.7.0 |
|                    | SP#98E         | SP-221070              | 0550         | 1  | F   | Formatting and Processing Instructions related correction to                                 | 17.7.0           |
| 2022-12            | 01 #30L        | 01 221070              | 0000         | '  | l ' | Nadrf_DataManagement service   | 17.7.0           |
| 2022-12            | SP#98E         | SP-221070              | 0552         | -  | F   | Corrections for time window in ADRF Nadrf_DataManagement                                     | 17.7.0           |
|                    |                |                        |              |    |     | service  |                  |
|                    |                | SP-221070              | 0553         | 1  | F   | Corrections on exposing 5GS information to untrusted AF                                      | 17.7.0           |
| 2022-12            |                | SP-221255              | 0596         | -  | F   | Correction on SMCCE Analytics  | 17.7.0           |
| 2022-12            | SP#98E         | SP-221093              | 0551         | 1  | В   | Alignment for UPF event exposure service to NWDAF via the SMF in TS 23.288                   | 18.0.0           |
| 2022-12            | SP#98E         | SP-221096              | 0554         | 1  | В   | TS 23.288 Enhancement to Support AI/ML Data Transfer   | 18.0.0           |
| 2022-12            |                | SP-221139              | 0558         | 3  | В   | Adding Interoperability Indicator for ML Model sharing                                       | 18.0.0           |
| 2022-12            | SP#98E         | SP-221139              | 0559         | 2  | В   | Multiple ML Models for an analytics ID   | 18.0.0           |
| 2022-12            | SP#98E         | SP-221139              | 0561         | 1  | В   | Use case context   | 18.0.0           |
| 2022-12            | SP#98E         | SP-221139              | 0565         | 1  | В   | Improving the Correctness of Service Experience Predictions with Contribution Weights        | 18.0.0           |
| 2022-12            | SP#98E         | SP-221096              | 0566         | 2  | В   | Enhancements to Network Performance Analytics to support AIML                                | 18.0.0           |
| 2022.42            | CD#OCE         | CD 004400              | 0500         |    |     | data traffic policies  | 10.00            |
| 2022-12            | SP#98E         | SP-221139              | 0569         | -  | В   | Enhancement on OSE for NWDAF assisting PCF in making URSP decisions                          | 18.0.0           |
| 2022-12            | SP#98E         | SP-221096              | 0575         | 2  | В   | NWDAF updates to assist resource monitoring of AI/ML-based                                   | 18.0.0           |
| 2022-12            | 31 #30L        | 31 -22 1090            | 0373         |    |     | services   | 10.0.0           |
| 2022-12            | SP#98E         | SP-221139              | 0576         | 2  | В   | QoS sustainability analytics enhancement   | 18.0.0           |
| 2022-12            | SP#98E         | SP-221139              | 0582         | 5  | В   | Federated Learning among Multiple NWDAFs in TS 23.288  | 18.0.0           |
| 2022-12            | SP#98E         | SP-221139              | 0584         | 3  | В   | NWDAF-assisted application detection in TS 23.288  | 18.0.0           |
| 2022-12            | SP#98E         | SP-221139              | 0595         | 1  | В   | Update TS23.288 to Manage Event Muting Impact on NFp   | 18.0.0           |
|                    | SP#99          | SP-230054              | 0555         | 5  | В   | Updates for DN performance Analytics of Group UEs  | 18.1.0           |
| 2023-03            |                | SP-230060              | 0556         | 2  | В   | Updates for Model Provisioning for Analytics of Group UEs                                    | 18.1.0           |
|                    |                | SP-230060              | 0557         | 7  | В   | Monitoring of accuracy of ML Models  | 18.1.0           |
| 2023-03            |                | SP-230060              | 0564         | 4  | В   | Adding Accuracy Checking Capability to NWDAF Architecture                                    | 18.1.0           |
| 2023-03            | SP#99          | SP-230060              | 0592         | 2  | В   | Enhancing location analytics with finer granularity location information                     | 18.1.0           |
| 2023-03            | SP#99          | SP-230060              | 0602         | 1  | F   | Update ML Model provisioning service with Interoperability Information                       | 18.1.0           |
| 2023-03            | SP#99          | SP-230060              | 0604         | 7  | В   | Support the Maintenance of Federated Learning Process in 5GC                                 | 18.1.0           |
| 2023-03            | SP#99          | SP-230060              | 0606         | 1  | В   | Updates on pending notifications handling  | 18.1.0           |
|                    |                | SP-230054              | 0608         | -  | В   | Network performance analytics  | 18.1.0           |
| 2023-03            |                | SP-230060              | 0609         | -  | В   | Service Experience Analytics ID  | 18.1.0           |
| 2023-03            |                | SP-230060              | 0611         | 1  | В   | Key Issue #3: Data and analytics exchange in roaming case                                    | 18.1.0           |
| 2023-03            |                | SP-230060              | 0612         | 1  | В   | Key Issue #9: Analytic ID that supports location accuracy estimate                           | 18.1.0           |
| 2023-03            |                | SP-230039              | 0614         | 1  | Α   | Corrections for historical analytics collection  | 18.1.0           |
| 2023-03            | SP#99          | SP-230054              | 0616         | 6  | В   | KI#7 - 23.288 CR for adding UE Transmission Latency<br>Performance Analytics                 | 18.1.0           |
| 2023-03            | SP#99          | SP-230054              | 0622         | 1  | F   | Clarification on enhanced Network Performance analytics                                      | 18.1.0           |
| 2023-03            |                | SP-230060              | 0625         | 6  | В   | KI#2 Clarification on PFD Determination Analytics in TS 23.288                               | 18.1.0           |
| 2023-03            | SP#99          | SP-230060              | 0627         | 1  | В   | KI#10 NWDAF interaction with MDAS/MDAF in TS 23.288  | 18.1.0           |
| 2023-03            | SP#99          | SP-230060              | 0628         | 11 | С   | Update for Federated Learning among Multiple NWDAFs in TS 23.288                             | 18.1.0           |
| 2023-03            | SP#99          | SP-230060              | 0630         | 4  | В   | eNA_Ph3 DCCF relocation in TS 23.288   | 18.1.0           |
| 2023-03            |                | SP-230060              | 0631         | 8  | В   | QoS sustainability analytics with fine granularity enhancement                               | 18.1.0           |
|                    | SP#99          | SP-230060              | 0633         | 2  | В   | Enhancements on QoS Sustainability analytics   | 18.1.0           |
| 2023-03            |                | SP-230060              | 0634         | 4  | В   | Enhance NWDAF to enable Federated Learning   | 18.1.0           |
| 2023-03            |                | SP-230060              | 0635         | 1  | В   | TS 23.288 enhancements for model sharing.  | 18.1.0           |
|                    | SP#99          | SP-230060              | 0636         | 1  | В   | Roaming architecture for data or analytics exchange  | 18.1.0           |
| 2023-03            |                | SP-230060              | 0637         | 7  | В   | Analytics/ML Model Accuracy Monitoring Functional Description                                | 18.1.0           |
| 2023-03            | SP#99          | SP-230060              | 0640         | 1  | В   | Update on Multiple ML Models for an analytics ID   | 18.1.0           |
|                    | SP#99          | SP-230061              | 0641         | 8  | В   | ML Model storage and retrieval via ADRF  | 18.1.0           |
|                    |                | SP-230061              | 0645         | 3  | В   | KI#4 Optimization on the collection and reporting of network data                            | 18.1.0           |
|                    |                | SP-230061              | 0646         | 1  | В   | NWDAF data collection from LCS system  | 18.1.0           |
| 2023-03            | SP#99          | SP-230061              | 0647         | 1  | В   | Enhancements to Analytics Reporting Information and NWDAF output                             | 18.1.0           |
| 2023-03            | SP#99          | SP-230061              | 0651         | 5  | В   | Relative Proximity Analytics   | 18.1.0           |
|                    | SP#99          | SP-230061              | 0653         | 1  | В   | UE Mobility analytics enhancement  | 18.1.0           |
| 2023-03            | SP#99          | SP-230061              | 0658         | 4  | В   | KI#1: Update for supporting analytics feedback   | 18.1.0           |
|                    |                | 00 000                 | 0005         | 3  | В   | NWDAF analytics to detect URSP enforcement   | 18.1.0           |
| 2023-03            | SP#99          | SP-230065              | 0665         |    |     |  |                  |
| 2023-03            | SP#99<br>SP#99 | SP-230065<br>SP-230061 | 0666         | 3  | В   | NWDAF discovery and selection for an NWDAF supporting MTLF with FL capability                | 18.1.0           |

| 2023-03   | SP#99  | SP-230061   | 0669                         | -                | С           | Optimizing data collection and storage by NWDAF registration in   | 18.1.0                     |
|---|--|---|------------------------------|------------------|-------------|---|----------------------------|
|   |  |   |                              |                  |             | UDM for all Analytics IDs   |                            |
| 2023-03   | SP#99  | SP-230054   | 0671                         | 1                | В           | Enhancement of Service Experience Analytics to assist federated learning operation  | 18.1.0                     |
| 2023-03   | SP#99  | SP-230061   | 0673                         | 1                | В           | Procedure for accuracy monitoring at NWDAF containing AnLF  | 18.1.0                     |
| 2023-03   | SP#99  | SP-230061   | 0675                         | 3                | В           | New NWDAF service supporting for AnLF assisted MTLF in accuracy monitoring  | 18.1.0                     |
| 2023-03   | SP#99  | SP-230054   | 0677                         | 1                | В           | KI#7 23.288 CR for UE mobility analytics to assist FL operation   | 18.1.0                     |
| 2023-03   | SP#99  | SP-230061   | 0683                         | 1                | В           | Procedures for network data analytics in roaming  | 18.1.0                     |
| 2023-03   |  | SP-230061   | 0689                         | 8                | В           | MTLF-based ML Model Accuracy Monitoring   | 18.1.0                     |
| 2023-03   |  | SP-230061   | 0690                         | 0                | C           | Data storage in ADRF using DataSetTag.  | 18.1.0                     |
| 2023-03   |  | SP-230061   | 0693                         | 1                | C           | Data Storage Management   | 18.1.0                     |
| 2023-03   |  | SP-230039   | 0695                         | -                | A           | Corrections to Data Delivery and Data Collection via DCCF and via MFAF  | 18.1.0                     |
| 2023-03   | SP#99  | SP-230039   | 0696                         | 1                | Α           | Corrections on immediate notification in subscription response  | 18.1.0                     |
| 2023-03   |  | SP-230039   | 0697                         | 1                | A           | Corrections for historical analytics exposure procedures  | 18.1.0                     |
| 2023-03   | SP#99  | SP-230054   | 0699                         | 2                | В           | Enhance WLAN performance analytics for Federated Learing  | 18.1.0                     |
|   |  |   |                              |                  |             | member selection  |                            |
| 2023-03   | SP#99  | SP-230061   | 0704                         | 2                | В           | Add new parameters to Redundant Transmission Experience analytics   | 18.1.0                     |
| 2023-03   | SP#99  | SP-230061   | 0705                         | 2                | В           | Updates on pending notifications handling by NWDAF  | 18.1.0                     |
| 2023-03   | SP#99  | SP-230061   | 0706                         | 2                | В           | Support Model Information Exchange for Federated Learning in 5GC  | 18.1.0                     |
| 2023-03   | SP#99  | SP-230061   | 0707                         | 1                | С           | Update for ML Model provisioning with model identifier  | 18.1.0                     |
| 2023-03   | SP#99  | SP-230061   | 0714                         | 2                | C           | Updates to FL procedure related to the maximum response time  | 18.1.0                     |
| 2023-03   | SP#99  | SP-230062   | 0721                         | 3                | В           | Procedure to retrieve ML Model from ADRF  | 18.1.0                     |
| 2023-06   |  | SP-230467   | 0598                         | 5                | В           | Rating untrusted AF data sources  | 18.2.0                     |
| 2023-05   | SP#100   | SP-230466   | 0660                         | 1                | A           | Correction for NEF service for correlating UE data collection and NWDAF request   | 18.2.0                     |
| 2023-05   | SP#100   | SP-230467   | 0662                         | 8                | В           | New analytics ID for traffic flow use case  | 18.2.0                     |
| 2023-05   |  | SP-230490   | 0668                         | 3                | F           | NWDAF discovery with overlapping Serving Areas  | 18.2.0                     |
| 2023-05   |  | SP-230495   | 0688                         | 2                | С           | NWDAF access to UPF information   | 18.2.0                     |
| 2023-05   | SP#100   | SP-230467   | 0702                         | 4                | В           | Adding Data Source Information in the Contents of ML Model  | 18.2.0                     |
|   |  |   |                              |                  |             | Provisioning  |                            |
| 2023-05   | SP#100   | SP-230467   | 0703                         | 2                | С           | Update for UE mobility analytics using fine granularity in TS 23.288  | 18.2.0                     |
| 2023-05   | SP#100   | SP-230467   | 0713                         | 4                | В           | Enhancements to analytics specific procedures for analytics exchange in roaming case  | 18.2.0                     |
| 2023-05   | SP#100   | SP-230467   | 0725                         | 1                | В           | Enhancement of NWDAF with finer granularity of location information in Observed Service Experience Analytic ID  | 18.2.0                     |
| 2023-05   | SP#100   | SP-230467   | 0726                         | 2                | С           | Data Storage Management   | 18.2.0                     |
| 2023-05   | SP#100   | SP-230467   | 0727                         | 2                | C           | Data storage in ADRF using DSC indicator  | 18.2.0                     |
| 2023-05   |  | SP-230476   | 0728                         | 5                | В           | KI#2- Removing Editors Note   | 18.2.0                     |
| 2023-05   |  | SP-230467   | 0729                         | 1                | C           | Update to ML Model storage and retrieval via ADRF   | 18.2.0                     |
| 2023-05   | SP#100   | SP-230467   | 0730                         | -                | В           | Resolving ENs in AnLF assisted ML Model accuracy monitoring   | 18.2.0                     |
| 2023-05   |  | SP-230467   | 0732                         | 1                | F           | Solving EN in the Procedure for Maintaining Federated Learning  | 18.2.0                     |
| 2023-05   |  | SP-230457   | 0734                         | -                | F           | Update to the Input of E2E Data Volumn Transfer Time Analytics  | 18.2.0                     |
| 2023-05   |  | SP-230457   | 0734                         | 1                | С           | OAM input Corrections and EN addition   |                            |
| 2023-05   |  | SP-230457   | 0737                         | 1                | С           | Timestamp of action taken by analytics consumer   | 18.2.0<br>18.2.0           |
| 2023-05   |  | SP-230467   | 0742                         | 6                | В           | Addressing ENs in location analytics with finer granularity location  | 18.2.0                     |
| 2022 05   | CD#400   | CD 000467   | 0742                         | 7                | P           | information   | 10 2 0                     |
| 2023-05   | SP#100   | SP-230467   | 0743                         | 7                | В           | Addressing ENs on location accuracy analytics   | 18.2.0                     |
| 2023-05<br>2023-05                                  | SP#100<br>SP#100   | SP-230457<br>SP-230457  | 0748<br>0751                 | 1                | B<br>B      | Service data from AF for E2E data volume transfer time analytics  Resolve an EN on Which AF event can be used to collect Server   | 18.2.0<br>18.2.0           |
| 2022 25   | OD#400   | OD 000 107  | 0750                         | <u> </u>         | ı           | location  | 40.00                      |
| 2023-05   | SP#100   | SP-230467   | 0753                         | -                | В           | Update NWDAF Services and analytics information tables for KI#9   | 18.2.0                     |
| 2023-05   |  | SP-230467   | 0754                         | 4                | В           | Clarification on data collection frequency mode in TS 23.288  | 18.2.0                     |
| 2023-05   | SP#100   | SP-230467   | 0755                         | 8                | С           | Clarification on Federated Learning among Multiple NWDAFs in TS 23.288  | 18.2.0                     |
| 2023-05   | SP#100   | SP-230467   | 0761                         | 3                | В           | Extending Analytics Transfer to support also accuracy checking transfer to target NWDAF.  | 18.2.0                     |
|   | 00//400  | SP-230467   | 0763                         | 5                | В           | Removing Ens from AnLF Analytics Accuracy Monitoring  | 18.2.0                     |
| 2023-05   | SP#100   |   |                              | 8                | С           | Service operations update to general procedure for Federated  | 18.2.0                     |
| 2023-05<br>2023-05                                  | SP#100<br>SP#100   | SP-230467   | 0765                         |                  |             | I earning between NWDAFs  |                            |
| 2023-05   | SP#100   | SP-230467   |                              |                  | C           | Learning between NWDAFs  New parameter introduced in Contents of ML Model Provisioning  | 18 2 0                     |
| 2023-05<br>2023-05                                  | SP#100<br>SP#100   | SP-230467<br>SP-230467  | 0767                         | 4                | С           | New parameter introduced in Contents of ML Model Provisioning   | 18.2.0<br>18.2.0           |
| 2023-05<br>2023-05<br>2023-05                       | SP#100<br>SP#100<br>SP#100                               | SP-230467<br>SP-230467<br>SP-230467                           | 0767<br>0768                 | 4                | С           | New parameter introduced in Contents of ML Model Provisioning Update to MTLF-based ML Model Accuracy Monitoring Procedure   | 18.2.0                     |
| 2023-05<br>2023-05                                  | SP#100<br>SP#100<br>SP#100                               | SP-230467<br>SP-230467  | 0767                         | 4                |             | New parameter introduced in Contents of ML Model Provisioning Update to MTLF-based ML Model Accuracy Monitoring Procedure General clause update for ML Model(s) retireval from ADRF Resolving editor's notes in procedures for analytics exposure in              |                            |
| 2023-05<br>2023-05<br>2023-05<br>2023-05<br>2023-05 | SP#100<br>SP#100<br>SP#100<br>SP#100<br>SP#100           | SP-230467<br>SP-230467<br>SP-230467<br>SP-230467<br>SP-230468 | 0767<br>0768<br>0769<br>0770 | 4<br>1<br>3<br>7 | C<br>C<br>B | New parameter introduced in Contents of ML Model Provisioning Update to MTLF-based ML Model Accuracy Monitoring Procedure General clause update for ML Model(s) retireval from ADRF Resolving editor's notes in procedures for analytics exposure in roaming case | 18.2.0<br>18.2.0<br>18.2.0 |
| 2023-05<br>2023-05<br>2023-05<br>2023-05            | SP#100<br>SP#100<br>SP#100<br>SP#100<br>SP#100<br>SP#100 | SP-230467<br>SP-230467<br>SP-230467<br>SP-230467              | 0767<br>0768<br>0769         | 4 1 3            | C<br>C      | New parameter introduced in Contents of ML Model Provisioning Update to MTLF-based ML Model Accuracy Monitoring Procedure General clause update for ML Model(s) retireval from ADRF Resolving editor's notes in procedures for analytics exposure in              | 18.2.0<br>18.2.0           |

| 2023-05   | SP#100   | SP-230457  | 0776                                 | 1                     | F           | AIMLsys: KI#7 - Clarification for validity conditions of E2E data  | 18.2.0                               |
|---|--|--|--------------------------------------|-----------------------|-------------|--|--------------------------------------|
| 2022.05   | SP#100   | CD 220457  | 0790                                 | 1                     | В           | volume transfer time analytics   | 10 2 0                               |
| 2023-05   | SP#100   | SP-230457  | 0780                                 | 1                     | В           | Clarification of N6 data collection and output enhancement for<br>End-to-end data volume transfer  | 18.2.0                               |
| 2023-05   | SP#100   | SP-230468  | 0782                                 | 7                     | В           | KI#1 Clarification on the accuracy information   | 18.2.0                               |
| 2023-06   | SP#100   | SP-230468  | 0783                                 | 1                     | В           | Kl#1 Adding the number of inferences for calculating global accuracy   | 18.2.0                               |
| 2023-06   | SP#100   | SP-230466  | 0785                                 | 1                     | Α           | Clarification for anonymization rules  | 18.2.0                               |
|   | SP#100   | SP-230468  | 0787                                 | 5                     | В           | KI#1: Update of MLModelMonitor service to deliver Analytics  | 18.2.0                               |
|   |  |  |                                      |                       |             | feedback information   |                                      |
|   | SP#100   | SP-230457  | 0789                                 | 1                     | F           | Update to remove the EN for DN performance predictions   | 18.2.0                               |
|   | SP#100   | SP-230457  | 0790                                 | 1                     | F           | Update the End-to-end data volume transfer time analytics  | 18.2.0                               |
| 2023-06   |  | SP-230466  | 0792                                 | -                     | Α           | Clarifications on the Validity period  | 18.2.0                               |
| 2023-06   |  | SP-230468  | 0794                                 | 3                     | В           | Update the procedures for data exchange in roaming case  | 18.2.0                               |
|   | SP#100   | SP-230468  | 0796                                 | -                     | С           | Update information used for ML Model Accuracy Monitoring   | 18.2.0                               |
|   | SP#100   | SP-230468  | 0800                                 | 1                     | С           | UE Mobility analytics enhancement  | 18.2.0                               |
| 2023-06   |  | SP-230468  | 0801                                 | 3                     | В           | Procedure for analytics collection from MDAF   | 18.2.0                               |
|   | SP#100   | SP-230468  | 0802                                 | 1                     | В           | Update the contents of ML Model provisioning   | 18.2.0                               |
| 2023-06   | SP#100   | SP-230468  | 0807                                 | 1                     | В           | Enhancement of DN Performance Analytics  | 18.2.0                               |
| 2023-06   | SP#100   | SP-230468  | 0808                                 | 4                     | В           | Update of Procedures for Federated Learning  | 18.2.0                               |
| 2023-06   | SP#100   | SP-230468  | 0816                                 | 5                     | В           | Resolving Open issues for key issue 3  | 18.2.0                               |
|   | SP#100   | SP-230468  | 0817                                 | 1                     | С           | Subsets and order of results for newly defined analytics output  | 18.2.0                               |
| 2023-06   |  | SP-230457  | 0821                                 | 3                     | В           | NEF consumes analytic of OSE to support UE member selection  | 18.2.0                               |
|   | SP#100   | SP-230468  | 0822                                 | 2                     | С           | KI#1 Removing ENs in clause 6.1.3 and 6.2E.2   | 18.2.0                               |
|   |  | SP-230468  | 0823                                 | 4                     | C           | General description update about FL  | 18.2.0                               |
| 2023-06   |  | SP-230468  | 0826                                 | 3                     | F           | PFD determination Analytics - Confidence level   | 18.2.0                               |
|   | SP#100   | SP-230468  | 0827                                 | 4                     | В           | Geographical Identifier in UE Mobility analytics   | 18.2.0                               |
| 2023-06   | SP#100   | SP-230468  | 0830                                 | 2                     | В           | Service definitions to support analytics and data exchange for   | 18.2.0                               |
| 2020 00   | 01 // 100  | 01 200-100   | 0000                                 | _                     |             | roaming cases  | 10.2.0                               |
| 2023-06   | SP#100   | SP-230468  | 0832                                 | 1                     | С           | Update PFD information   | 18.2.0                               |
|   |  | SP-230469  | 0835                                 | 2                     | C           | Update the Qos sustainability analytics  | 18.2.0                               |
| 2023-06   | SP#100   | SP-230469  | 0836                                 | 2                     | C           | Update the data collection from LCS system   | 18.2.0                               |
|   | SP#100   | SP-230469  | 0839                                 | 1                     | F           | Update Analytics/ML Model Accuracy Monitoring Functional   | 18.2.0                               |
| 2023-00   | 51 #100  | 31 -230409   | 0033                                 | '                     | '           | Description  | 10.2.0                               |
| 2023-06   | SP#100   | SP-230469  | 0843                                 | 2                     | В           | Update on NWDAF-assisted URSP  | 18.2.0                               |
| 2023-09   | SP#101   | SP-230847  | 0852                                 |                       | F           | Clarification on enhanced OSE analytics  | 18.3.0                               |
|   | SP#101   | SP-230847  | 0853                                 | 1                     | F           | Unifying NWDAF roaming exchange terminology and removing EN  | 18.3.0                               |
| 2023-09   | 3F#101   | 3F-230041  | 0033                                 | '                     | '           | of the roaming architecture  | 10.5.0                               |
| 2023-09   | SP#101   | SP-230847  | 0854                                 | 1                     | F           | Resolving issues in ADRF ML Model Storage services   | 18.3.0                               |
|   | SP#101   | SP-230847  | 0857                                 | -                     | F           | Number of Inferences in Nnwdaf_MLModelMonitor_Notify service   | 18.3.0                               |
| 2023-09   | SF#101   | SF-230041  | 0657                                 | -                     | F           | operation  | 10.3.0                               |
| 2023-09   | SP#101   | SP-230847  | 0862                                 | 1                     | F           | Corrections to Analytics Subset  | 18.3.0                               |
|   | SP#101   | SP-230847  | 0865                                 | 2                     | F           | Resolving EN on analytics and data exchange in roaming scenario  | 18.3.0                               |
|   |  | SP-230847  | 0868                                 | 1                     | F           | Clarifications on determining accuracy of analytics  | 18.3.0                               |
| 2023-09   |  | SP-230847  | 0871                                 | 1                     | F           | Analytics Transfer Impact in ML Model Accuracy Consumption by  | 18.3.0                               |
| 2023-09   | 3F#101   | 3F-230041  | 0071                                 | '                     | '           | NWDAF containing MTLF  | 10.5.0                               |
| 2023-09   | SP#101   | SP-230847  | 0872                                 | 1                     | F           | Update the DataSetTag in procedure of MTLF-based ML Model  | 18.3.0                               |
| 2023-09   | SF#101   | SF-230041  | 0072                                 |                       | F           | Accuracy Monitoring  | 10.3.0                               |
| 2023-09   | SP#101   | SP-230847  | 0874                                 | _                     | F           | Update to procedure for Rating untrusted AF data sources   | 18.3.0                               |
| 2023-09   |  | SP-230847<br>SP-230847   | 0874                                 | -                     | F           | Correction to ML Model Accuracy information support  | 18.3.0                               |
|   | SP#101<br>SP#101   | SP-230847<br>SP-230847   | 0877                                 | <del>-</del>          | F           | Correction to ML Model Accuracy Information support  Correction to MLModelManagement_Delete service operation  |                                      |
| 2023-09   | SP#101<br>SP#101   | SP-230847<br>SP-230847   | 0877                                 | 2                     | F           | Clarification for roaming exchange capability  | 18.3.0<br>18.3.0                     |
|   |  | SP-230847<br>SP-230847   |                                      | 2                     | F           |  |                                      |
|   | SP#101   |  | 0879                                 |                       | F           | Modification for ADRF ML Model storage and retrieval   | 18.3.0                               |
|   | SP#101   | SP-230847  | 0880                                 | 2                     |             | Refinement for ML Model information for KI#4   | 18.3.0                               |
|   | SP#101   | SP-230847  | 0885                                 | 1                     | F           | Corrections on AnLF-assisted MTLF monitoring procedure   | 18.3.0                               |
|   | SP#101   | SP-230847  | 0886                                 | -                     | F           | Corrections on MTLF based ML Model Monitoring  | 18.3.0                               |
|   | SP#101   | SP-230847  | 0894                                 | 1                     | F           | Update the description about Data collection profile registration  | 18.3.0                               |
| 2023-09   | SP#101   | SP-230847  | 0895                                 | -                     | F           | Update the general description of ADRF   | 18.3.0                               |
|   | SP#101   | SP-230847  | 0898                                 | -                     | F           | Remove EN in Network Performance analytics   | 18.3.0                               |
| 2023-09   | SP#101   | SP-230847  | 0899                                 | 1                     | F           | Editorial changes of the parameter used for AnLF Analytics   | 18.3.0                               |
| 0000  | 00   | 00.000   | 005-                                 | ļ .                   | _           | Accuracy Monitoring  | 40.7.                                |
| 1 2003 00   | SP#101   | SP-230847  | 0905                                 | 1                     | F           | Clarification on ML Model interoperability   | 18.3.0                               |
|   |  |  | 0909                                 | 2                     | F           | Clarification on multiple ML Models provisioning   | 18.3.0                               |
| 2023-09   | SP#101   | SP-230847  | 00                                   |                       | F           | Clarification of federated learning procedure  | 18.4.0                               |
| 2023-09<br>2023-12  | SP#101<br>SP#102   | SP-231257  | 0855                                 | 2                     |             |  | 40 1 -                               |
| 2023-09<br>2023-12<br>2023-12   | SP#101<br>SP#102<br>SP#102   | SP-231257<br>SP-231257   | 0869                                 | 5                     | F           | Alignment on terminology on obtaining location data from LCS   | 18.4.0                               |
| 2023-09<br>2023-12<br>2023-12<br>2023-12                                  | SP#101<br>SP#102<br>SP#102<br>SP#102                               | SP-231257<br>SP-231257<br>SP-231257  | 0869<br>0870                         | 5<br>3                | F<br>F      | Alignment on terminology on obtaining location data from LCS Clarifications on obtaining analytics information from MDAF   | 18.4.0                               |
| 2023-09<br>2023-12<br>2023-12<br>2023-12                                  | SP#101<br>SP#102<br>SP#102   | SP-231257<br>SP-231257   | 0869                                 | 5                     | F           | Alignment on terminology on obtaining location data from LCS Clarifications on obtaining analytics information from MDAF Modification to Federated Learning feature description and call   |                                      |
| 2023-09<br>2023-12<br>2023-12<br>2023-12<br>2023-12                       | SP#101<br>SP#102<br>SP#102<br>SP#102<br>SP#102                     | SP-231257<br>SP-231257<br>SP-231257<br>SP-231257                           | 0869<br>0870<br>0881                 | 5<br>3<br>3           | F<br>F      | Alignment on terminology on obtaining location data from LCS Clarifications on obtaining analytics information from MDAF Modification to Federated Learning feature description and call flow  | 18.4.0<br>18.4.0                     |
| 2023-09<br>2023-12<br>2023-12<br>2023-12<br>2023-12                       | SP#101<br>SP#102<br>SP#102<br>SP#102<br>SP#102<br>SP#102           | SP-231257<br>SP-231257<br>SP-231257<br>SP-231257<br>SP-231257              | 0869<br>0870<br>0881<br>0883         | 5<br>3<br>3<br>4      | F<br>F<br>F | Alignment on terminology on obtaining location data from LCS Clarifications on obtaining analytics information from MDAF Modification to Federated Learning feature description and call flow Updates for ML Model training related procedures and services                                      | 18.4.0<br>18.4.0<br>18.4.0           |
| 2023-09<br>2023-12<br>2023-12<br>2023-12<br>2023-12<br>2023-12<br>2023-12 | SP#101<br>SP#102<br>SP#102<br>SP#102<br>SP#102<br>SP#102<br>SP#102 | SP-231257<br>SP-231257<br>SP-231257<br>SP-231257<br>SP-231257<br>SP-231257 | 0869<br>0870<br>0881<br>0883<br>0901 | 5<br>3<br>3<br>4<br>2 | F<br>F<br>F | Alignment on terminology on obtaining location data from LCS Clarifications on obtaining analytics information from MDAF Modification to Federated Learning feature description and call flow Updates for ML Model training related procedures and services Movement behaviour analytics updates | 18.4.0<br>18.4.0<br>18.4.0<br>18.4.0 |
| 2023-09<br>2023-12<br>2023-12<br>2023-12<br>2023-12                       | SP#101<br>SP#102<br>SP#102<br>SP#102<br>SP#102<br>SP#102<br>SP#102 | SP-231257<br>SP-231257<br>SP-231257<br>SP-231257<br>SP-231257              | 0869<br>0870<br>0881<br>0883         | 5<br>3<br>3<br>4      | F<br>F<br>F | Alignment on terminology on obtaining location data from LCS Clarifications on obtaining analytics information from MDAF Modification to Federated Learning feature description and call flow Updates for ML Model training related procedures and services                                      | 18.4.0<br>18.4.0<br>18.4.0           |

| 2023-12  | SD#102   | SP-231257   | 0911   | 3   | F                               | Replace the below the cell level with longitude and latitude level  | 18.4.0   |
|--|--|---|--|---|---------------------------------|---|--|
| 2023-12  |  | SP-231237   | 0911   | 3   | F                               | Data collection from UPF  | 18.4.0   |
| 2023-12  |  | SP-231277   | 0914   | 2   | F                               | Clarification on the ML Model Metric in Federated Learning  | 18.4.0   |
| 2023-12  |  | SP-231257   | 0922   | 3   | F                               | Clarification on movement behaviour analytics   | 18.4.0   |
| 2023-12  |  | SP-231257   | 0923   | 2   | F                               | Clarification on procedure for ML Model Training  | 18.4.0   |
| 2023-12  |  | SP-231275   | 0924   | 2   | F                               | Corrections to UPF data collection in NWDAF   | 18.4.0   |
| 2023-12  |  | SP-231257   | 0925   | 2   | F                               | Alignment of parameters for Federated Learning operation  | 18.4.0   |
| 2023-12  |  | SP-231257   | 0933   | 4   | F                               | Correcting clause 6.2B.7  | 18.4.0   |
| 2023-12  |  | SP-231242   | 0935   | -   | Α                               | Wrong NF in 6.2.2.1, bullet 3   | 18.4.0   |
| 2023-12  |  | SP-231257   | 0939   | 3   | F                               | Alignments for ML Model provisioning content and related services   | 18.4.0   |
| 2023-12  |  | SP-231257   | 0943   | 3   | F                               | Corrections of ML Model Training Service  | 18.4.0   |
| 2023-12  | SP#102   | SP-231257   | 0946   | 1   | F                               | Clarification on registration and discovery procedure for FL  | 18.4.0   |
| 2023-12  | SP#102   | SP-231257   | 0947   | 1   | F                               | Clarification on the ML Model metric for FL   | 18.4.0   |
| 2023-12  | SP#102   | SP-231257   | 0949   | 2   | F                               | Update data collected by NWDAF for location Accuracy Analytics  | 18.4.0   |
| 2023-12  | SP#102   | SP-231257   | 0950   | 2   | F                               | Add the velocity estimation to the input data for relative proximity analytics  | 18.4.0   |
| 2023-12  | SP#102   | SP-231257   | 0953   | 1   | F                               | Remove EN related to parameters of<br>Nnwdaf_RoamingData_Subscribe service operation  | 18.4.0   |
| 2023-12  | SP#102   | SP-231257   | 0962   | 3   | F                               | Correction on usage of untrusted AF data source rating  | 18.4.0   |
| 2023-12  | SP#102   | SP-231253   | 0970   | 3   | F                               | Updates to AI/ML functionality descriptions related to E2E data   | 18.4.0   |
|  |  |   |  |   |                                 | volume transfer time analytics  |  |
|  | SP#102   | SP-231257   | 0972   | 2   | F                               | Clarification and correction on the<br>Nnwdaf_MLModelMonitor_Notify service operation   | 18.4.0   |
| 2023-12  |  | SP-231257   | 0976   | 4   | F                               | Clarification on the analytics exposure in roaming case   | 18.4.0   |
|  | SP#102   | SP-231258   | 0978   | 1   | F                               | Add Ndccf_DataManagement_Transfer service   | 18.4.0   |
| 2023-12  | SP#102   | SP-231258   | 0980   | 1   | F                               | Corrections for Analytics Accuracy Subscription Procedures of NWDAF   | 18.4.0   |
| 2023-12  |  | SP-231258   | 0985   | 1   | F                               | Update the contents of ML Model Provisioning  | 18.4.0   |
|  | SP#102   | SP-231258   | 0987   | 1   | F                               | Update Location accuracy analytics.   | 18.4.0   |
| 2023-12  | SP#102   | SP-231258   | 0988   | 2   | F                               | Correction to Nadrf_MLModelManagement_StorageRequest service operation  | 18.4.0   |
| 2023-12  | SP#102   | SP-231258   | 0992   | 2   | F                               | Correction on ML Model accuracy information   | 18.4.0   |
| 2023-12  | SP#102   | SP-231258   | 0993   | 2   | F                               | Correction on MTLF-based ML Model Accuracy Monitoring   | 18.4.0   |
| 2023-12  | SP#102   | SP-231258   | 0994   | 2   | F                               | Align and unify description on AnLF Analytics Accuracy Monitoring   | 18.4.0   |
| 2023-12  | SP#102   | SP-231258   | 1003   | 1   | F                               | Clarifications to Analytics/ML Model Accuracy Monitoring<br>Functionality   | 18.4.0   |
| 2023-12  | SP#102   | SP-231258   | 1004   | 1   | F                               | Clarifications to Contents of Analytics exposure  | 18.4.0   |
| 2023-12  |  | SP-231258   | 1016   | 2   | F                               | Alignments for Analytics Exposure and related services  | 18.4.0   |
| 2023-12  | SP#102   | SP-231258   | 1017   | -   | F                               | Correction on procedure for ML Model Storage in ADRF  | 18.4.0   |
|  | SP#102   | SP-231253   | 1019   | 4   | F                               | Resolve EN for end-to-end data volume transfer time analytics   | 18.4.0   |
|  | SP#102   | SP-231258   | 1020   | 3   | F                               | Corrections on parameters for PFD Determination   | 18.4.0   |
|  | SP#103   | SP-240094   | 0856   | 3   | F                               | Removing Editor's Note of the Output of the Network Performance<br>Analytics  | 18.5.0   |
| 2024-03  |  | SP-240099   | 0903   | 4   | F                               | Update the consumer of ML Model Provisioning services   | 18.5.0   |
| 2024-03  |  | SP-240099   | 0926   | 2   | D                               | Editorial changes to RE-NWDAF   | 18.5.0   |
| 2024-03  | SP#103   | SP-240099   | 0938   | 4   | F                               | Use of ML Model Interoperability Indicator and Vendor ID in<br>Discovery  | 18.5.0   |
|  | SP#103   | SP-240099   | 0945   | 4   | F                               | Clarification on analytics collection from MDAF   | 18.5.0   |
| 2024-03  | SP#103   | SP-240099   | 0951   | 7   | F                               | Source of the PFD contained in PFD information retrieved from UDR   | 18.5.0   |
| 2024-03  | CD#103   | 00.0000   | 2007   | 2   | F                               | Editorial clean-up for the description of Figures and Procedures  | 18.5.0   |
|  | SP#103   | SP-240099   | 0967   |   |                                 |   |  |
| 2024-03  | SP#103   | SP-240099<br>SP-240099  | 0967   | 4   | F                               | Solve NAT issue in UE data collection   | 18.5.0   |
| 2024-03<br>2024-03   | SP#103<br>SP#103   | SP-240099<br>SP-240099  | 0981<br>0986   | 4<br>5  | F<br>F                          | Solve NAT issue in UE data collection Clarification on meaning of accuracy  | 18.5.0   |
| 2024-03  | SP#103<br>SP#103   | SP-240099<br>SP-240099<br>SP-240099   | 0981   | 4<br>5<br>6   | F                               | Solve NAT issue in UE data collection  Clarification on meaning of accuracy  Harmonising terminology related to Accuracy  |  |
| 2024-03<br>2024-03<br>2024-03<br>2024-03   | SP#103<br>SP#103   | SP-240099<br>SP-240099  | 0981<br>0986<br>0996<br>1005   | 4<br>5  | F<br>F<br>F                     | Solve NAT issue in UE data collection Clarification on meaning of accuracy Harmonising terminology related to Accuracy Clarifications to Analytics Exposure and data collection in Roaming Case   | 18.5.0   |
| 2024-03<br>2024-03<br>2024-03<br>2024-03   | SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103   | SP-240099<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240083   | 0981<br>0986<br>0996<br>1005   | 4<br>5<br>6<br>2  | F<br>F<br>F                     | Solve NAT issue in UE data collection Clarification on meaning of accuracy Harmonising terminology related to Accuracy Clarifications to Analytics Exposure and data collection in Roaming Case Addressing EN on reference of MDA service   | 18.5.0<br>18.5.0<br>18.5.0   |
| 2024-03<br>2024-03<br>2024-03<br>2024-03   | SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103   | SP-240099<br>SP-240099<br>SP-240099<br>SP-240099  | 0981<br>0986<br>0996<br>1005   | 4<br>5<br>6<br>2  | F<br>F<br>F                     | Solve NAT issue in UE data collection  Clarification on meaning of accuracy  Harmonising terminology related to Accuracy  Clarifications to Analytics Exposure and data collection in Roaming Case  Addressing EN on reference of MDA service  Clarification for ADRF discovery   | 18.5.0<br>18.5.0<br>18.5.0   |
| 2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03   | SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103   | SP-240099<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240083   | 0981<br>0986<br>0996<br>1005   | 4<br>5<br>6<br>2  | F<br>F<br>F                     | Solve NAT issue in UE data collection Clarification on meaning of accuracy Harmonising terminology related to Accuracy Clarifications to Analytics Exposure and data collection in Roaming Case Addressing EN on reference of MDA service   | 18.5.0<br>18.5.0<br>18.5.0   |
| 2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03  | SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103   | SP-240099<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240083<br>SP-240099  | 0981<br>0986<br>0996<br>1005<br>1013<br>1015   | 4<br>5<br>6<br>2  | F<br>F<br>F<br>A                | Solve NAT issue in UE data collection  Clarification on meaning of accuracy  Harmonising terminology related to Accuracy  Clarifications to Analytics Exposure and data collection in Roaming Case  Addressing EN on reference of MDA service  Clarification for ADRF discovery  DNAI parameter removal from E2E data volume transfer time  | 18.5.0<br>18.5.0<br>18.5.0<br>18.5.0   |
| 2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03   | SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103   | SP-240099<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240083<br>SP-240094<br>SP-240083<br>SP-240099  | 0981<br>0986<br>0996<br>1005<br>1013<br>1015<br>1022<br>1024   | 4<br>5<br>6<br>2<br>1<br>1<br>-   | F<br>F<br>F<br>A<br>F<br>A      | Solve NAT issue in UE data collection  Clarification on meaning of accuracy Harmonising terminology related to Accuracy  Clarifications to Analytics Exposure and data collection in Roaming Case  Addressing EN on reference of MDA service  Clarification for ADRF discovery  DNAI parameter removal from E2E data volume transfer time predictions  Correction on user consent for retrieving data stored in the ADRF/NWDAF  Correction on ML Model retrieval  | 18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0   |
| 2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03   | SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103   | SP-240099<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240094<br>SP-240094<br>SP-240099<br>SP-240099<br>SP-240099  | 0981<br>0986<br>0996<br>1005<br>1013<br>1015<br>1022<br>1024<br>1025<br>1027   | 4<br>5<br>6<br>2<br>1<br>1<br>-<br>1  | F<br>F<br>F<br>A<br>F<br>A      | Solve NAT issue in UE data collection  Clarification on meaning of accuracy Harmonising terminology related to Accuracy  Clarifications to Analytics Exposure and data collection in Roaming Case  Addressing EN on reference of MDA service  Clarification for ADRF discovery  DNAI parameter removal from E2E data volume transfer time predictions  Correction on user consent for retrieving data stored in the ADRF/NWDAF  Correction on ML Model retrieval  Clarification on E2E data volume transfer time analytics  | 18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0   |
| 2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03  | SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103   | SP-240099<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240094<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240099  | 0981<br>0986<br>0996<br>1005<br>1013<br>1015<br>1022<br>1024<br>1025<br>1027<br>1029                                 | 4<br>5<br>6<br>2<br>1<br>1<br>-   | F<br>F<br>A<br>F<br>A           | Solve NAT issue in UE data collection  Clarification on meaning of accuracy Harmonising terminology related to Accuracy  Clarifications to Analytics Exposure and data collection in Roaming Case  Addressing EN on reference of MDA service  Clarification for ADRF discovery  DNAI parameter removal from E2E data volume transfer time predictions  Correction on user consent for retrieving data stored in the ADRF/NWDAF  Correction on ML Model retrieval  Clarification on E2E data volume transfer time analytics  Clarification on Federated Learning between NWDAFs  | 18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0                               |
| 2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03<br>2024-03   | SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103<br>SP#103   | SP-240099<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240094<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240099<br>SP-240099  | 0981<br>0986<br>0996<br>1005<br>1013<br>1015<br>1022<br>1024<br>1025<br>1027<br>1029<br>1030                         | 4<br>5<br>6<br>2<br>1<br>1<br>-<br>1<br>1<br>1<br>1<br>1                          | F<br>F<br>F<br>A<br>F<br>F<br>F | Solve NAT issue in UE data collection  Clarification on meaning of accuracy Harmonising terminology related to Accuracy  Clarifications to Analytics Exposure and data collection in Roaming Case  Addressing EN on reference of MDA service  Clarification for ADRF discovery  DNAI parameter removal from E2E data volume transfer time predictions  Correction on user consent for retrieving data stored in the ADRF/NWDAF  Correction on ML Model retrieval  Clarification on E2E data volume transfer time analytics  Clarification on Federated Learning between NWDAFs  Clarification on ML Model Metric in Federated Learning  | 18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0<br>18.5.0                     |
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| 2024-03 | SP#103 | SP-240191 | 1043 | 1 | F | Clarifications on ground truth retrieval of Location Accuracy<br>Analytics  | 18.5.0 |
|---------|--------|-----------|------|---|---|---|--------|
| 2024-03 | SP#103 | SP-240191 | 1045 | 2 | F | Adding Analytics Information in the ML Model Monitoring service   | 18.5.0 |
| 2024-03 | SP#103 | SP-240191 | 1048 | 1 | F | Qos related correction  | 18.5.0 |
| 2024-03 | SP#103 | SP-240191 | 1049 | 1 | F | Clarification on the ML Model Metric in Federated Learning  | 18.5.0 |
| 2024-03 | SP#103 | SP-240191 | 1050 | 1 | F | Miscellaneous corrections   | 18.5.0 |
| 2024-03 | SP#103 | SP-240115 | 1052 | 1 | F | Alignment of unclear description of 3DA Data Management Service   | 18.5.0 |
| 2024-03 | SP#103 | SP-240191 | 1053 | 1 | F | Alignment of table 5A.2-1 and table 6.2.2.1-2   | 18.5.0 |
| 2024-03 | SP#103 | SP-240191 | 1054 | 3 | F | Clarification of analytics accuracy information   | 18.5.0 |
| 2024-03 | SP#103 | SP-240191 | 1056 | 1 | F | Clarification of training data in federated learning process  | 18.5.0 |
| 2024-03 | SP#103 | SP-240191 | 1059 | 2 | F | Corrections related to ML model identifier  | 18.5.0 |
| 2024-03 | SP#103 | SP-240115 | 1061 | 2 | F | Clarification related to the information exposed by the 5GC to NSCE server  | 18.5.0 |
| 2024-03 | SP#103 | SP-240083 | 1063 | 1 | Α | Correction on Data Management   | 18.5.0 |
| 2024-03 | SP#103 | SP-240115 | 1064 | 2 | F | Correction on NWDAF discovery and selection   | 18.5.0 |
| 2024-03 | SP#103 | SP-240191 | 1066 | - | F | FL related corrections  | 18.5.0 |
| 2024-03 | SP#103 | SP-240191 | 1067 | 1 | F | Correction to the FL capability type  | 18.5.0 |
| 2024-03 | SP#103 | SP-240191 | 1071 | - | F | Alignments for the Accuracy checking capability in TS 23.288  | 18.5.0 |
| 2024-03 | SP#103 | SP-240191 | 1072 | 2 | F | Modification about the Analytics Accuracy of the ML Model   | 18.5.0 |
| 2024-03 | SP#103 | SP-240094 | 1074 | 2 | F | Add KPI and references. Removal of Input Data general Note for the end-to-end data volume transfer time analytics | 18.5.0 |

## History

| Document history |          |             |  |  |  |  |  |
|------------------|----------|-------------|--|--|--|--|--|
| V18.5.0          | May 2024 | Publication |  |  |  |  |  |
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