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TECHNICAL SPECIFICATION

**LTE;  
Evolved Universal Terrestrial Radio Access (E-UTRA);  
User Equipment (UE) radio transmission and  
reception for satellite access  
(3GPP TS 36.102 version 18.11.0 Release 18)**



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In the present document, modal verbs have the following meanings:

- shall** indicates a mandatory requirement to do something
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The constructions "is" and "is not" do not indicate requirements.

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# 1 Scope

The present document establishes the minimum RF characteristics and minimum performance requirements for E-UTRA User Equipment (UE) operating satellite access.

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.108: "Evolved Universal Terrestrial Radio Access (E-UTRA); Satellite Access Node (SAN) radio transmission and reception".
- [3] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Channels and Modulation".
- [4] ITU-R Recommendation M.1545: "Measurement uncertainty as it applies to test limits for the terrestrial component of International Mobile Telecommunications-2000".
- [5] 3GPP TS 36.307: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements on User Equipments (UEs) supporting a release-independent frequency band".
- [6] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC); Protocol specification".
- [7] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception".
- [8] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2".
- [9] ITU-R Recommendation SM.329, "Unwanted emissions in the spurious domain"
- [10] [ANSI C63.26-2015, American National standard for Compliance Testing of Transmitters Used in Licensed Radio Services, Accredited Standards Committee C63 – Electromagnetic compatibility]
- [11] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio access capabilities".
- [12] 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures".
- [13] 3GPP TR 38.811: "Study on New Radio (NR) to support non-terrestrial networks"
- [14] 3GPP TS 36.508: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Packet Core (EPC); Common test environments for User Equipment (UE) conformance testing".
- [15] 3GPP TS 36.212: " Evolved Universal Terrestrial Radio Access (E-UTRA); Multiplexing and channel coding".
- [16] 3GPP TS 38.101-5: "NR; User Equipment (UE) radio transmission and reception; Part 5: Satellite access Radio Frequency (RF) and performance requirements"

## 3 Definitions of terms, symbols and abbreviations

### 3.1 Terms

For the purposes of the present document, the terms given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Channel edge:** The lowest and highest frequency of the carrier, separated by the channel bandwidth.

**Channel bandwidth:** The RF bandwidth supporting a single E-UTRA RF carrier with the transmission bandwidth configured in the uplink or downlink of a cell. The channel bandwidth is measured in MHz and is used as a reference for transmitter and receiver RF requirements.

**Category NB1/NB2 stand-alone operation:** category NB1/NB2 is operating standalone when it utilizes its own spectrum, for example the spectrum used by GERAN systems as a replacement of one or more GSM carriers, as well as scattered spectrum for potential IoT deployment.

**Category NB1/NB2 guard band operation:** category NB1/NB2 is operating in guard band when it utilizes the unused resource block(s) within a E-UTRA carrier's guard-band.

**Category NB1/NB2 in-band operation:** category NB1/NB2 is operating in-band when it utilizes the resource block(s) within a normal E-UTRA carrier or within a normal NR carrier plus 15 kHz at each edge (and not within NR minimum guard band).

**Geosynchronous Earth Orbit:** Earth-centred orbit at approximately 35786 kilometres above Earth's surface and synchronised with Earth's rotation. A geostationary orbit is a non-inclined geosynchronous orbit, i.e. in the Earth's equator plane.

**Low Earth Orbit:** Orbit around the Earth with an altitude between 300 km, and 1500 km.

**Satellite:** A space-borne vehicle embarking a bent pipe payload or a regenerative payload telecommunication transmitter, placed into Low-Earth Orbit (LEO), Medium-Earth Orbit (MEO), or Geosynchronous Earth Orbit (GEO).

**Satellite Access Node:** see definition in TS 36.108 [2].

**sTTI:** A transmission time interval (TTI) of either one slot or one subslot as defined in TS 36.211 [3] on either uplink or downlink.

### 3.2 Symbols

For the purposes of the present document, the following symbols apply:

$\Delta F_{\text{Raster}}$	Band dependent channel raster granularity
$BW_{\text{Channel}}$	Channel bandwidth
$F$	Frequency
$F_{\text{Interferer (offset)}}$	Frequency offset of the interferer (between the center frequency of the interferer and the carrier frequency of the carrier measured)
$F_{\text{Interferer}}$	Frequency of the interferer
$F_{\text{Offset}}$	Frequency offset of the interferer (between the center frequency of the interferer and the closest edge of the carrier measured)
$F_{\text{C}}$	Frequency of the carrier centre frequency
$F_{\text{DL\_low}}$	The lowest frequency of the downlink operating band
$F_{\text{DL\_high}}$	The highest frequency of the downlink operating band
$F_{\text{UL\_low}}$	The lowest frequency of the uplink operating band
$F_{\text{UL\_high}}$	The highest frequency of the uplink operating band
$F_{\text{OOB}}$	The boundary between the E-UTRA out of band emission and spurious emission domains.
$L_{\text{Ctone}}$	Transmission bandwidth which represents the length of a contiguous sub-carrier allocation expressed in units of tones

$N_{DL}$	Downlink EARFCN
$N_{Offs-DL}$	Offset used for calculating downlink EARFCN
$N_{Offs-UL}$	Offset used for calculating uplink EARFCN
$N_{RB}$	Transmission bandwidth configuration, expressed in units of resource blocks
$N_{RB\_alloc}$	Total number of simultaneously transmitted resource blocks in Channel bandwidth or Aggregated Channel Bandwidth.
$N_{tone}$	Transmission bandwidth configuration for category NB1 and NB2, expressed in units of tones.
$N_{tone\ 3.75kHz}$	Transmission bandwidth configuration for category NB1 and NB2 with 3.75 kHz sub-carrier spacing, expressed in units of tones.
$N_{tone\ 15kHz}$	Transmission bandwidth configuration for category NB1 and NB2 with 15 kHz sub-carrier spacing, expressed in units of tones.
$N_{UL}$	Uplink EARFCN.
$P_{CMAX}$	The configured maximum UE output power.
$P_{Interferer}$	Modulated mean power of the interferer
$P_{PowerClass}$	$P_{PowerClass}$ is the nominal UE power (i.e., no tolerance).
$P_{PowerClass\_Default}$	$P_{PowerClass\_Default}$ is the default nominal UE power (i.e., no tolerance) for the band.
$P_{UMAX}$	The measured configured maximum UE output power.
$P_{uw}$	Power of an unwanted DL signal
$P_w$	Power of a wanted DL signal
$\Delta f_{OOB}$	$\Delta$ Frequency of Out Of Band emission

### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

ACLR	Adjacent Channel Leakage Ratio
ACS	Adjacent Channel Selectivity
A-MPR	Additional Maximum Power Reduction
AWGN	Additive White Gaussian Noise
BW	Bandwidth
CW	Continuous Wave
DL	Downlink
EARFCN	E-UTRA Absolute Radio Frequency Channel Number
E-UTRA	Evolved UMTS Terrestrial Radio Access
EUTRAN	Evolved UMTS Terrestrial Radio Access Network
EVM	Error Vector Magnitude
FDD	Frequency Division Duplex
GEO	Geostationary Earth Orbit
GSO	Geosynchronous Orbit
ITU-R	Radiocommunication Sector of the International Telecommunication Union
LEO	Low Earth Orbit
HD-FDD	Half- Duplex FDD
MEO	Medium Earth Orbit
MPR	Maximum Power Reduction
NGSO	Non-Geosynchronous Orbit
OCNG	OFDMA Channel Noise Generator
OFDMA	Orthogonal Frequency Division Multiple Access
OOB	Out-of-band
QAM	Quadrature Amplitude Modulation
RAN	Radio Access Network
RE	Resource Element
REFSENS	Reference Sensitivity power level
RF	Radio Frequency
UE	User Equipment
UL	Uplink
UMTS	Universal Mobile Telecommunications System
UTRA	UMTS Terrestrial Radio Access
UTRAN	UMTS Terrestrial Radio Access Network

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## 4 General

### 4.1 Relationship between minimum requirements and test requirements

The present document is a Single-RAT specification for satellite NR UE, covering RF characteristics and minimum performance requirements.

The Minimum Requirements given in this specification make no allowance for measurement uncertainty.

The measurement results returned by the test system are compared - without any modification - against the test requirements as defined by the shared risk principle.

The shared risk principle is defined in Recommendation ITU-R M.1545 [4].

### 4.2 Applicability of minimum requirements

- a) Minimum requirements are mandated to be met in all scenarios by UEs supporting the applicable UE category(ies) for which that requirement is specified. In the present document, only minimum requirements for UE categories of M1, NB1, and NB2 are specified.
- b) For UE category M1, the applicable minimum requirements in clauses 5, 6 or 7 are specified in the suffix A subclause where they differ from the requirements in the main subclause. Where suffix A does not exist for a requirement, the minimum requirement in the main subclause shall apply.
- c) For UE category NB1 and NB2, the applicable minimum requirements in clauses 5, 6 or 7 are specified in the Suffix B subclause, where they differ from the requirements in the main subclause. Where suffix B does not exist for a requirement, the minimum requirement in the main subclause shall apply.
- d) The reference sensitivity power levels defined in subclause 7.3 are valid for the specified reference measurement channels.
- e) NOTE: Receiver sensitivity degradation may occur when:
  - 1) The UE simultaneously transmits and receives with bandwidth allocations less than the transmission bandwidth configuration (see Figure 5.3A-1 and Figure 5.3B-1), and
  - 2) Any part of the downlink transmission bandwidth is within an uplink transmission bandwidth from the downlink center subcarrier.
- f) The spurious emissions power requirements are for the long-term average of the power. For the purpose of reducing measurement uncertainty it is acceptable to average the measured power over a period of time sufficient to reduce the uncertainty due to the statistical nature of the signal.
- g) The requirements related to subslot TTI and/or slot TTI shall apply only if UE supports multiple TTI patterns. And these requirements only apply to subslot and/or slot TTI configurations
- h) TS36.307 [5] specifies which minimum requirements in the present document are applicable to UEs that conform to an earlier specification Release, and from which Release those requirements apply.

### 4.3 Specification Suffix Information

The following suffixes are defined at 2nd level for clauses 5, 6 and 7, as shown in Table 4.3-1.

Table 4.3-1: Definition of suffixes

Clause suffix	Variant
A	Cat-M1
B	NB1, NB2

The suffixes shall apply as defined in clause 4.2.

## 5 Operating bands and channel arrangement

### 5.1 General

The channel arrangements presented in this clause are based on the operating bands and channel bandwidths defined in the present release of specifications.

NOTE: Other operating bands and channel bandwidths may be considered in future releases.

### 5.2 Operating bands

E-UTRA satellite access is designed to operate in the operating bands defined in Table 5.2-1.

Table 5.2-1 E-UTRA operating bands for satellite access

E-UTRA Operating Band	Uplink (UL) operating band BS receive UE transmit	Downlink (DL) operating band BS transmit UE receive	Duplex Mode
	FUL_low – FUL_high	FDL_low – FDL_high	
256	1980 MHz – 2010 MHz	2170 MHz – 2200 MHz	FDD
255	1626.5 MHz – 1660.5 MHz	1525 MHz – 1559 MHz	FDD
254	1610 MHz – 1626.5 MHz	2483.5 MHz – 2500 MHz	FDD
253 <sup>2</sup>	1668 MHz – 1675 MHz	1518 MHz – 1525 MHz	FDD
NOTE 1: Satellite bands are numbered in descending order from 256 NOTE 2: UE assigned to channels and allocated frequency resources in the lower portion of Band 253 may experience blocking or harmful interference from terrestrial networks in adjacent or nearby frequencies when operating in the proximity with terrestrial base stations.			

#### 5.2A Operating bands for UE category M1

UE category M1 is designed to operate in the E-UTRA satellite access operating bands defined in Table 5.2-1 in both half duplex FDD mode and full-duplex FDD mode.

#### 5.2B Operating bands for category NB1 and NB2

Category NB1 and NB2 UE are designed to operate in the E-UTRA satellite access operating bands defined in Table 5.2-1.

Category NB1 and NB2 UE operate in HD-FDD duplex mode.

For operation in Band 255, only channels positions which guarantee at least 190 kHz guard band from RF channel edge to the lower limit of the band shall be used.

For operation in Band 255 in USA and Canada when NS\_02N is signalled, only channels positions which guarantee at least 90 kHz guard band from RF channel edge to the lower and upper limit of the band shall be used.

For operation in Band 254 in USA and Canada when NS\_03N is signalled, only channels positions which guarantee at least 90 kHz guard band from RF channel edge to the lower and upper limit of the band shall be used.

### 5.3 Channel bandwidth

This clause is reserved.

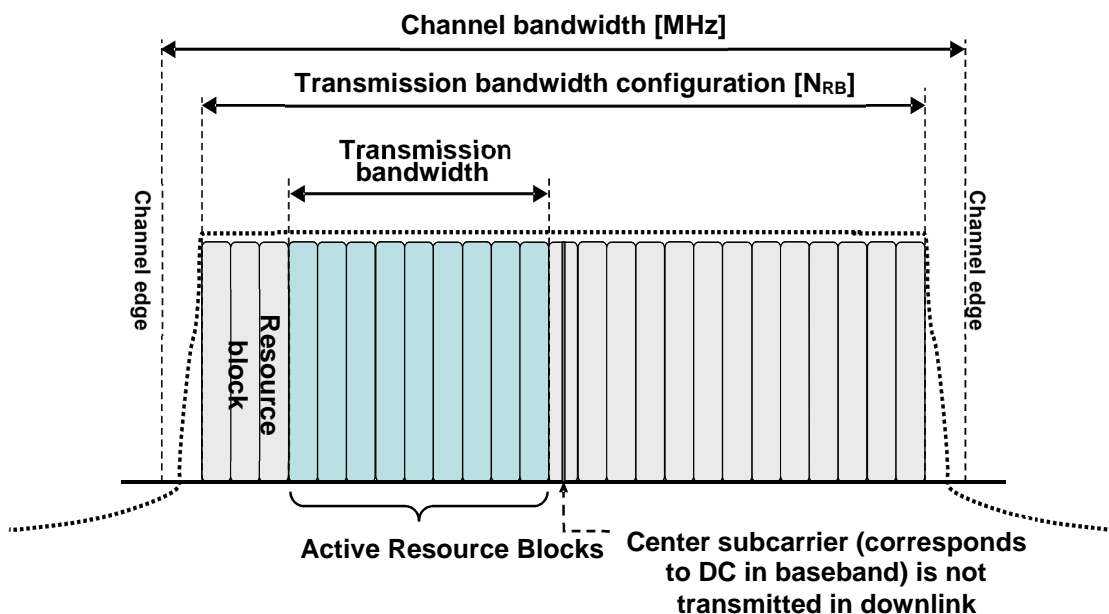
#### 5.3A Channel bandwidth for category M1

The requirements in present document are specified for the channel bandwidth listed in Table 5.3A-1.

**Table 5.3A-1: Transmission bandwidth configuration  $N_{RB}$  in E-UTRA channel bandwidths**

Channel bandwidth $BW_{Channel}$ [MHz]	1.4
Transmission bandwidth configuration $N_{RB}$	6

Figure 5.3A-1 shows the relation between the Channel bandwidth ( $BW_{Channel}$ ) and the Transmission bandwidth configuration ( $N_{RB}$ ). The channel edges are defined as the lowest and highest frequencies of the carrier separated by the channel bandwidth, i.e. at  $F_C \pm BW_{Channel} / 2$ .



**Figure 5.3A-1: Definition of channel bandwidth and transmission bandwidth configuration for one E-UTRA carrier**

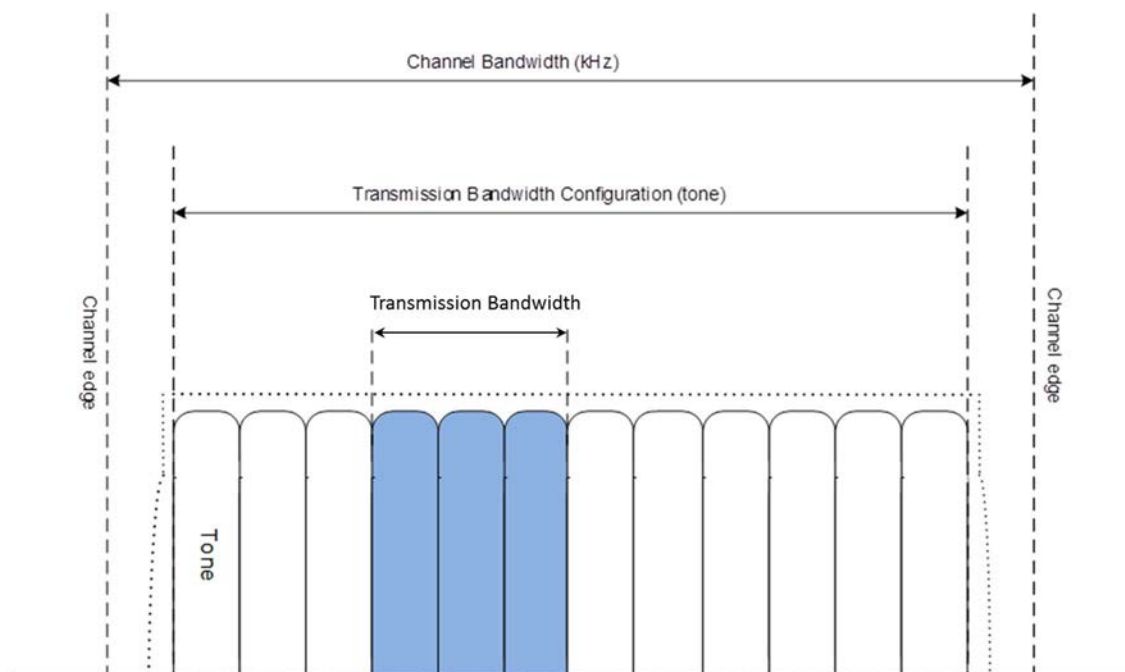
#### 5.3B Channel bandwidth for category NB1 and NB2

For category NB1 and NB2, requirements in present document are specified for the channel bandwidth listed in Table 5.3B-1.

**Table 5.3B-1: Transmission bandwidth configuration  $N_{RB}$ ,  $N_{tone\ 15kHz}$  and  $N_{tone\ 3.75kHz}$  in NB1 and NB2 channel bandwidth**

<b>Channel bandwidth <math>BW_{Channel}</math> [kHz]</b>	<b>200</b>
Transmission bandwidth configuration $N_{RB}$	1
Transmission bandwidth configuration $N_{tone\ 15kHz}$	12
Transmission bandwidth configuration $N_{tone\ 3.75kHz}$	48

Figure 5.3B-1 shows the relation between the Category NB1/NB2 channel bandwidth ( $BW_{Channel}$ ) and the Category NB1 /NB2 transmission bandwidth configuration ( $N_{tone}$ ). The channel edges are defined as the lowest and highest frequencies of the carrier separated by the channel bandwidth, i.e. at  $F_C \pm BW_{Channel} / 2$ .



**Figure 5.3B-1 Definition of Channel Bandwidth and Transmission Bandwidth configuration**

## 5.4 Channel arrangement

This clause is reserved.

### 5.4A Channel arrangement for category M1

#### 5.4A.1 Channel spacing

The spacing between carriers will depend on the deployment scenario, the size of the frequency block available and the channel bandwidths. The nominal channel spacing between two adjacent E-UTRA carriers is defined as following:

$$\text{Nominal Channel spacing} = (BW_{Channel(1)} + BW_{Channel(2)})/2$$

where  $BW_{Channel(1)}$  and  $BW_{Channel(2)}$  are the channel bandwidths of the two respective E-UTRA carriers. The channel spacing can be adjusted to optimize performance in a particular deployment scenario.

## 5.4A.2 Channel raster, carrier frequency and EARFCN

The global frequency raster is defined for all frequencies. The granularity of the global frequency raster is 100 kHz, which means that the carrier centre frequency must be an integer multiple of 100 kHz. For each operating band, a subset of frequencies from the global frequency raster are applicable and forms a channel raster with a granularity  $\Delta F_{\text{Raster}}$ .

The carrier frequency in the uplink and downlink is designated by the E-UTRA Absolute Radio Frequency Channel Number (EARFCN) in the range 0 – 262143. The relation between EARFCN and the carrier frequency in MHz for the downlink is given by the following equation, where  $F_{\text{DL\_low}}$  and  $N_{\text{Offs-DL}}$  are given in Table 5.4A.2-1 and  $N_{\text{DL}}$  is the downlink EARFCN.

$$F_{\text{DL}} = F_{\text{DL\_low}} + 0.1(N_{\text{DL}} - N_{\text{Offs-DL}})$$

The relation between EARFCN and the carrier frequency in MHz for the uplink is given by the following equation where  $F_{\text{UL\_low}}$  and  $N_{\text{Offs-UL}}$  are given in Table 5.4A.2-1 and  $N_{\text{UL}}$  is the uplink EARFCN.

$$F_{\text{UL}} = F_{\text{UL\_low}} + 0.1(N_{\text{UL}} - N_{\text{Offs-UL}})$$

The applicable channel raster and EARFCNs for each operating band are specified in Table 5.4A.2-1.

For operating bands with a channel raster of 100 kHz, every EARFCN within the operating band shall be applicable for the channel raster, and the step size for the channel raster in Table 5.4A.2-1 is given as  $\langle 1 \rangle$ . The broadcast parameter *earfcn-LSB* defined in TS36.331 [6] may be used to assist the UE in synchronizing to the cell.

**Table 5.4A.2-1: E-UTRA channel numbers**

E-UTRA Operating Band	$\Delta F_{\text{Raster}}$ (kHz)	Downlink			Uplink		
		$F_{\text{DL\_low}}$ (MHz)	$N_{\text{Offs-DL}}$	Range of $N_{\text{DL}}$ (First – $\langle \text{Step size} \rangle$ – Last)	$F_{\text{UL\_low}}$ (MHz)	$N_{\text{Offs-UL}}$	Range of $N_{\text{UL}}$ (First – $\langle \text{Step size} \rangle$ – Last)
256	100	2170	229076	229076 – $\langle 1 \rangle$ – 229375	1980	261844	261844 – $\langle 1 \rangle$ – 262143
255	100	1525	228736	228736 – $\langle 1 \rangle$ – 229075	1626.5	261504	261504 – $\langle 1 \rangle$ – 261843
254	100	2483.5	228571	228571 – $\langle 1 \rangle$ – 228735	1610	261339	261339 – $\langle 1 \rangle$ – 261503
253	100	1518	228501	228501 – $\langle 1 \rangle$ – 228570	1668	261269	261269 – $\langle 1 \rangle$ – 261338
NOTE 1: The channel numbers that designate carrier frequencies so close to the operating band edges that the carrier extends beyond the operating band edge shall not be used. This implies that the first 7 channel numbers at the lower operating band edge and the last 6 channel numbers at the upper operating band edge shall not be used for channel bandwidth of 1.4 MHz.							

## 5.4A.3 TX–RX frequency separation

- a) The default E-UTRA TX channel (carrier centre frequency) to RX channel (carrier centre frequency) separation is specified in Table 5.4A.3-1 for the TX and RX channel bandwidth defined in Table 5.3A-1.

**Table 5.4A.3-1: Default UE TX-RX frequency separation**

E-UTRA Operating Band	TX – RX carrier centre frequency separation
256	190 MHz <sup>1</sup> 161.4 to 218.6 MHz <sup>2</sup>
255	-101.5 MHz <sup>1</sup> -68.9 to -134.1 MHz <sup>2</sup>
254	873.5 MHz <sup>1</sup> 858.4 to 888.6 MHz <sup>2</sup>
253	-150 MHz <sup>1</sup> -144.4 to -155.6 MHz <sup>2</sup>
NOTE 1: Default TX-RX separation.	
NOTE 2: The verification of flexible TX-RX frequency separation within this range is limited to reference sensitivity. Further details are specified in clause 7.3A.	

## 5.4B Channel arrangement for category NB1 and NB2

### 5.4B.1 Channel spacing

Nominal channel spacing for UE category NB1 and NB2 in stand-alone mode is 200 kHz. For in-band operation, the nominal channel spacing between two adjacent category NB1 or NB2 carriers is 180 kHz.

### 5.4B.2 Channel raster, carrier frequency and EARFCN

#### 5.4B.2.1 General

The channel raster of UE category NB1/NB2 shall be as defined in clause 5.4A.2, and the channel raster per-frequency band shall be as defined in table 5.4A.2-1.

The carrier frequency of UE category NB1/NB2 in the downlink is designated by the E-UTRA Absolute Radio Frequency Channel Number (EARFCN) as defined in Table 5.4A.2-1, and the Offset of category NB1/NB2 Channel Number to EARFCN in the range of {-10, -9, -8, -7, -6, -5, -4, -3, -2, -1, -0.5, 0, 1, 2, 3, 4, 5, 6, 7, 8, 9} for FDD.

The carrier frequency of UE category NB1/NB2 in the uplink is designated by the E-UTRA Absolute Radio Frequency Channel Number (EARFCN) as defined in Table 5.4A.2-1, and the Offset of category NB1/NB2 Channel Number to EARFCN in the range of {-10, -9, -8, -7, -6, -5, -4, -3, -2, -1, 0, 1, 2, 3, 4, 5, 6, 7, 8, 9} for FDD.

#### 5.4B.2.2 Stand-alone operation

The relation between EARFCN, Offset of category NB1/NB2 Channel Number to EARFCN and the carrier frequency in MHz for the downlink is given by the following equation, where  $F_{DL}$  is the downlink carrier frequency of category NB1/NB2,  $F_{DL\_low}$  and  $N_{Offs-DL}$  are given in table 5.4A.2-1,  $N_{DL}$  is the downlink EARFCN,  $M_{DL}$  is the Offset of category NB1/NB2 Channel Number to downlink EARFCN.

$$F_{DL} = F_{DL\_low} + 0.1(N_{DL} - N_{Offs-DL}) + 0.0025*(2M_{DL})$$

The relation between EARFCN, Offset of category NB1/NB2 Channel Number to EARFCN and the carrier frequency in MHz for the uplink is given by the following equation, where  $F_{UL}$  is the uplink carrier frequency of category NB1/NB2,  $F_{UL\_low}$  and  $N_{Offs-UL}$  are given in table 5.4A.2-1,  $N_{UL}$  is the uplink EARFCN,  $M_{UL}$  is the Offset of category NB1/NB2 Channel Number to uplink EARFCN.

$$F_{UL} = F_{UL\_low} + 0.1(N_{UL} - N_{Offs-UL}) + 0.0025*(2M_{UL})$$

For the carrier including NPSS/NSSS for stand-alone operation, the  $M_{DL} = 0$  is used.

In Rel-18, UE is only required to support the same operation mode for anchor and non-anchor carriers.

### 5.4B.2.3 In-band operation

In Rel-18, NTN NB-IoT UEs may optionally support in-band operation with NR NTN.

The relation between EARFCN, Offset of category NB1/NB2 Channel Number to EARFCN and the carrier frequency in MHz for the downlink is given by the following equation, where  $F_{DL}$  is the downlink carrier frequency of category NB1/NB2,  $F_{DL\_low}$  and  $N_{Offs-DL}$  are given in table 5.4A.2-1,  $N_{DL}$  is the downlink EARFCN,  $M_{DL}$  is the Offset of category NB1/NB2 Channel Number to downlink EARFCN.

$$F_{DL} = F_{DL\_low} + 0.1(N_{DL} - N_{Offs-DL}) + 0.0025*(2M_{DL}+1)$$

The relation between EARFCN, Offset of category NB1/NB2 Channel Number to EARFCN and the carrier frequency in MHz for the uplink is given by the following equation, where  $F_{UL}$  is the uplink carrier frequency of category NB1/NB2,  $F_{UL\_low}$  and  $N_{Offs-UL}$  are given in table 5.4A.2-1,  $N_{UL}$  is the uplink EARFCN,  $M_{UL}$  is the Offset of category NB1/NB2 Channel Number to uplink EARFCN.

$$F_{UL} = F_{UL\_low} + 0.1(N_{UL} - N_{Offs-UL}) + 0.0025*(2M_{UL})$$

For the carrier including NPSS/NSSS,  $M_{DL}$  is selected from  $\{-2,-1,0,1\}$ . For in-band operations,  $M_{DL} = -0.5$  is not applicable.

In Rel-18, UE is only required to support the same operation mode for anchor and non-anchor carriers.

NOTE 1: For in-band operation, RRC signalling should indicate guardband-r13 in operationModeInfo IE in MasterInformationBlock-NB during UE conformance tests.

NOTE 2: In Rel-18, for in-band operation, UE is not expected to access a cell indicating inband-SamePCI or inband-DifferentPCI in operationMode IE in MasterInformationBlock-NB.

NOTE 3: UE is not expected to be aware of where the configured NB-IoT UL carrier is within the NTN NR carrier. It is presumed that operators will ensure, through network configuration during deployment, that there is sufficient guardband between NB-IoT carrier and operating band and/or spectrum block edges to meet emission requirements of clause 6.5B outside its allocated spectrum block.

### 5.4B.3 TX–RX frequency separation

For UE category NB1/NB2 operation in stand-alone mode, the default TX-RX frequency separation shall be as specified in Table 5.4B.3-1 for the NB-IoT TX and RX channel bandwidth defined in Table 5.3B-1.

For in-band operation, the category NB1 and NB2 TX-RX frequency separation is flexible within the assigned channel bandwidth of NR NTN carrier with the TX-RX frequency separation of the NR NTN carriers as specified in TS 38.101-5 [16].

**Table 5.4B.3-1: Default UE TX-RX frequency separation**

E-UTRA Operating Band	TX – RX carrier centre frequency separation
256	190 MHz <sup>1</sup> 160.2 to 219.8 MHz <sup>2</sup>
255	-101.5 MHz <sup>1</sup> -67.7 to -135.3 MHz <sup>2</sup>
254	873.5 MHz <sup>1</sup> 857.2 to 889.8 MHz <sup>2</sup>
253	-150 MHz <sup>1</sup> -143.2 to -156.8 MHz <sup>2</sup>
NOTE 1: Default Tx-Rx separation. NOTE 2: The verification of flexible TX-RX frequency separation within this range is limited to reference sensitivity. Further details are specified in clause 7.3B.	

## 6 Transmitter characteristics

### 6.1 General

Unless otherwise stated, the transmitter characteristics are specified at the antenna connector of the UE with a single or multiple transmit antenna(s). For UE with integral antenna only, a reference antenna with a gain of 0 dBi is assumed.

All requirements in this section are applicable to devices supporting GSO and/or NGSO satellites.

### 6.2 Transmit power

This clause is reserved.

#### 6.2A Transmit power for category M1

##### 6.2A.1 UE maximum output power for category M1

The following UE Power Classes define the maximum output power for any transmission bandwidth within the channel bandwidth. The period of measurement shall be at least one sub frame (1 ms).

**Table 6.2A.1-1: UE Power Class**

E-UTRA band	Class 2 (dBm)	Tolerance (dB)	Class 3 (dBm)	Tolerance (dB)	Class 5 (dBm)	Tolerance (dB)
256			23	+/-2	20	+/-2
255			23	+/-2	20	+/-2
254			23	+/-2	20	+/-2
253			23	+/-2	20	+/-2

NOTE 1:  $P_{\text{PowerClass}}$  is the maximum UE power specified without taking into account the tolerance.

The default power class  $P_{\text{PowerClass\_Default}}$  for an operating band is Power Class 3 unless otherwise stated.

The UE shall meet the following additional requirements for maximum transmission power density specified in Table 6.2A.1-2 when NS is signalled and when the configured channel overlaps with any portion of the specified frequency range.

**Table 6.2A.1-2: Additional requirements for transmit power density**

E-UTRA Band	NS value	Channel bandwidth (MHz)	Frequency range (MHz)	Maximum power density	Measurement method
254	NS_04N	1.4	1610 - 1618.25	27dBm/4kHz	Mean spectral limit (note 2)
	NS_05N	1.4	1618.25 - 1626.5		
	NS_11N	1.4	1610 - 1618.25	15dBm/4kHz	Peak spectral limit (note 2)
	NS_12N	1.4	1618.25 - 1626.5		

NOTE 1: The EIRP requirement in regulation is converted to conducted requirement using a 0 dBi antenna.  
NOTE 2: Indicated limit is applied to any frequency of the 1610-1626.5MHz band.

##### 6.2A.2 UE maximum output power reduction for category M1

For category M1 UE Power Class 3 and 5, the allowed Maximum Power Reduction (MPR) for the maximum output power specified in Table 6.2A.1-1 due to higher order modulation and transmit bandwidth configuration (resource blocks) is specified in Table 6.2A.2-1.

For subPRB allocation of category M1 UE of Power Class 3, no MPR applies.

**Table 6.2A.2-1: Maximum Power Reduction (MPR) for category M1 UE for Power Class 3 and 5**

Modulation	Channel bandwidth / Transmission bandwidth ( $N_{RB}$ )	MPR (dB)
	1.4 MHz	
QPSK	> 2	$\leq 1$
QPSK	> 5	$\leq 2$
16 QAM	$\leq 2$	$\leq 1$
16 QAM	>2	$\leq 2$
NOTE: MPR only applicable for $N_{RB} \geq 1$		

For PRACH, PUCCH and SRS transmissions, the allowed MPR is according to that specified for PUSCH QPSK modulation for the corresponding transmission bandwidth.

For each subframe, the MPR is evaluated per slot and given by the maximum value taken over the transmission(s) within the slot; the maximum MPR over the two slots is then applied for the entire subframe.

For the UE maximum output power modified by MPR, the power limits specified in subclause 6.2A.4 apply.

### 6.2A.3 UE additional maximum output power reduction for category M1 UE

Additional ACLR and spectrum emission requirements can be signalled by the network to indicate that the UE shall also meet additional requirements in a specific deployment scenario. To meet these additional requirements, Additional Maximum Power Reduction (A-MPR) is allowed for the output power as specified in Table 6.2A.1-1. Unless stated otherwise, an A-MPR of 0 dB shall be used.

For UE Power Class 3 and 5 the specific requirements and identified subclauses are specified in Table 6.2A.3-1 along with the allowed A-MPR values that may be used to meet these requirements. The allowed A-MPR values specified below in Table 6.2A.3-1 are in addition to the allowed MPR requirements specified in subclause 6.2A.2.

**Table 6.2A.3-1: Additional Maximum Power Reduction (A-MPR) for category M1 UE**

Network Signalling value	Requirements (subclause)	E-UTRA Band	Resources Blocks ( $N_{RB}$ )	A-MPR (dB)	
NS_01	6.5A.4.2	Table 5.2-1	Table 5.3A-1	N/A	
NS_24	6.5A.4.4.3	256	Table 5.3A-1	PC3	PC5
				$\leq 3.5$	$\leq 0.5$
NS_02N	6.5A.4.4.2	255	Table 5.3A-1	N/A	
NS_03N	6.5A.3.3.1, 6.5A.4.4.4	254	Table 5.3A-1	N/A	
NS_04N	6.5A.3.3.2, 6.5A.4.4.5	254	Table 5.3A-1	N/A	
NS_05N	6.5A.3.3.3, 6.5A.4.4.5	254	Table 5.3A-1	N/A	
NS_11N	6.5A.3.3.2, 6.5A.4.4.5	254	Table 5.3A-1	N/A	
NS_12N	6.5A.3.3.3, 6.5A.4.4.5	254	Table 5.3A-1	N/A	

For subPRB allocation, the allowed A-MPR values specified below in Table 6.2A.3-2 for category M1 UE are in addition to the allowed MPR requirements specified in subclause 6.2A.2.

**Table 6.2A.3-2: Additional Maximum Power Reduction (A-MPR) for category M1 UE for subPRB allocation**

Network Signalling value	Requirements (subclause)	E-UTRA Band	A-MPR (dB)	
NS_01	6.5A.4.2	Table 5.2-1	N/A	
NS_24	6.5A.4.4.3	256	PC3	PC5
			≤ 3.5	≤ 0.5
NS_02N	6.5A.4.4.2	255	N/A	
NS_03N	6.5A.3.3.1, 6.5A.4.4.4	254	N/A	
NS_04N	6.5A.3.3.2, 6.5A.4.4.5	254	N/A	
NS_05N	6.5A.3.3.3, 6.5A.4.4.5	254	N/A	
NS_11N	6.5A.3.3.2, 6.5A.4.4.5	254	PC3	PC5
			≤ 3	0
NS_12N	6.5A.3.3.3, 6.5A.4.4.5	254	PC3	PC5
			≤ 3	0

## 6.2A.4 Configured transmitted Power for category M1

The configured transmitted power requirements in clause 6.2.5 of TS 36.101 [7] shall apply, wherein

- The Maximum output power requirements are specified in subclause 6.2A.1
- The MPR requirements are specified in subclause 6.2A.2
- The A-MPR requirements are specified in subclause 6.2A.3.

## 6.2B Transmit power for category NB1 and NB2

### 6.2B.1 UE maximum output power for category NB1 and NB2

Category NB1 and NB2 UE Power Classes are specified in Table 6.2B.1-1 and define the maximum output power for any transmission bandwidth within the category NB1 and NB2 channel bandwidth. For 3.75 kHz sub-carrier spacing the maximum output power is defined as mean power of measurement which period is at least one slot (2ms) excluding the 2304Ts gap when UE is not transmitting. For 15kHz sub-carrier spacing the maximum output power is defined as mean power of measurement which period is at least one sub-frame (1ms).

**Table 6.2B.1-1: UE Power Class**

E-UTRA band	Class 3 (dBm)	Tolerance (dB)	Class 5 (dBm)	Tolerance (dB)
256	23	+/-2	20	+/-2
255	23	+/-2	20	+/-2
254	23	+/-2	20	+/-2
253	23	+/-2	20	+/-2

The default power class  $P_{\text{PowerClass\_Default}}$  for an operating band is Power Class 3 unless otherwise stated.

The UE shall meet the following additional requirements for maximum transmission power density specified in Table 6.2B.1-2 when NS is signaled and when the configured channel overlaps with any portion of the specified frequency range.

**Table 6.2B.1-2: Additional requirements for transmit power density**

E-UTRA Band	NS value	Channel bandwidth (MHz)	Frequency range (MHz)	Maximum power density	Measurement method
254	NS_04N	0.2	1610 - 1618.25	27dBm/4kHz	Mean spectral limit (note 2)
	NS_05N	0.2	1618.25 - 1626.5		
	NS_11N	0.2	1610 - 1618.25	15dBm/4kHz	Peak spectral limit (note 2)
	NS_12N	0.2	1618.25 - 1626.5		
NOTE 1: The EIRP requirement in regulation is converted to conducted requirement using a 0 dBi antenna. NOTE 2: Indicated limit is applied to any frequency of the 1610-1626.5MHz band.					

## 6.2B.2 UE maximum output power reduction for category NB1 and NB2

For UE category NB1 and NB2 power class 3 and 5 the allowed Maximum Power Reduction (MPR) for the maximum output power are specified in following tables.

**Table 6.2B.2-0: Maximum Power Reduction (MPR) for UE category NB1 and NB2 Power Class 3 and 5, Single-Tone**

Modulation	$\pi/2$ BPSK, $\pi/4$ QPSK	$\pi/2$ BPSK, $\pi/4$ QPSK
SCS	15KHz	3.75kHz
Tone positions for 1 Tone allocation	0-11	0-47
MPR	0 dB	0 dB

Note 1: For single-tone allocation, the listed modulation and SCS are supported.

**Table 6.2B.2-1: Maximum Power Reduction (MPR) for UE category NB1 and NB2 Power Class 3 and 5, Multi-Tone**

Modulation	QPSK		
SCS	15KHz		
Tone positions for 3 Tones allocation	0-2	3-5 and 6-8	9-11
MPR	$\leq 0.5$ dB	0 dB	$\leq 0.5$ dB
Tone positions for 6 Tones allocation	0-5 and 6-11		
MPR	$\leq 1$ dB	$\leq 1$ dB	
Tone positions for 12 Tones allocation	0-11		
MPR	$\leq 2$ dB		

Note 1: For multi-tone allocation, the listed modulation and SCS are supported.

For the UE maximum output power modified by MPR, the power limits specified in sub-clause 6.2B.4 apply.

## 6.2B.3 UE additional maximum output power reduction for category NB1 and NB2 UE

Additional ACLR and spectrum emission requirements can be signalled by the network to indicate that the UE shall also meet additional requirements in a specific deployment scenario. To meet these additional requirements, Additional Maximum Power Reduction (A-MPR) is allowed for the output power are specified. For the agreed E-UTRA bands for category NB1 and NB2 UE an A-MPR of 0 dB shall be allowed unless specified otherwise.

For UE Power Class 3 and 5 the specific requirements and identified subclauses are specified in Table 6.2B.3-1 along with the allowed A-MPR values that may be used to meet these requirements. The allowed A-MPR values specified below in Table 6.2B.3-1 are in addition to the allowed MPR requirements specified in subclause 6.2B.2-1.

Table 6.2B.3-1: Additional Maximum Power Reduction (A-MPR) for category NB1 and NB2 UE

Network Signalling value	Requirements (subclause)	E-UTRA Band	A-MPR (dB)	
NS_01	6.5B.4.2	Table 5.2-1	N/A	
NS_24	6.5B.4.4.3	256	PC3	PC5
			≤ 3.5	≤ 0.5
NS_02N	6.5B.4.4.2	255	N/A	
NS_03N	6.5B.3.3.2, 6.5B.4.4.4	254	N/A	
NS_04N	6.5B.3.3.3, 6.5B.4.4.5	254	6.2B.3.1	
NS_05N	6.5B.3.3.4, 6.5B.4.4.5	254	6.2B.3.2	
NS_11N	6.5B.3.3.3, 6.5B.4.4.5	254	6.2B.3.3	
NS_12N	6.5B.3.3.4, 6.5B.4.4.5	254	6.2B.3.4	

## 6.2B.3.1 A-MPR for NS\_04N

Table 6.2B.3.1-1: A-MPR for "NS\_04N" with 3.75 kHz SCS for Power Class 3

Modulation	QPSK						
Tone positions for 3.75 kHz single tone allocation	0-3	4-6	6-9	10-38	39-41	42-44	45-47
A-MPR (dB)	≤ 10.5	≤ 5.5	≤ 3	≤ 0	≤ 3	≤ 5.5	≤ 10.5

Table 6.2B.3.1-2: A-MPR for "NS\_04N" with 15kHz SCS for Power Class 3

Modulation	QPSK											
Tone positions for single tone allocation	0	1	2	3	4	5	6	7	8	9	10	11
A-MPR (dB)	≤12	≤8.5	≤6.5	≤1	≤0.5	≤0.5	≤1	≤6.5	≤8.5	≤12		
Tone positions for 3 Tones allocation	0-2			3-5 and 6-8				9-11				
A-MPR (dB)	≤ 8.5			≤ 2				≤ 8.5				
Tone positions for 6 Tones allocation	0-5 and 6-11											
A-MPR (dB)	≤ 6					≤ 6						
Tone positions for 12 Tones allocation	0-11											
A-MPR (dB)	≤ 2											

## 6.2B.3.2 A-MPR for NS\_05N

Table 6.2B.3.2-1: A-MPR for "NS\_05N" with 3.75 kHz SCS for Power Class 3

Modulation	QPSK						
Tone positions for 3.75 kHz single tone allocation	0-3	4-6	6-10	11-37	38-41	42-44	45-47
A-MPR (dB)	≤13.5	≤8	≤5	0	≤ 3	≤ 5.5	≤ 13.5

Table 6.2B.3.2-2: A-MPR for "NS\_05N" with 15kHz SCS for Power Class 3

Modulation	QPSK											
Tone positions for single tone allocation	0	1	2	3	4	5	6	7	8	9	10	11
A-MPR (dB)	≤15		≤10.5	≤8	≤2	≤1.5	≤1.5	≤2	≤8	≤10.5		≤15
Tone positions for 3 Tones allocation	0-2			3-5 and 6-8				9-11				
A-MPR (dB)	≤ 11			≤ 3.5				≤ 11				
Tone positions for 6 Tones allocation	0-5 and 6-11											
A-MPR (dB)	≤ 8.5					≤ 8.5						
Tone positions for 12 Tones allocation	0-11											
A-MPR (dB)	≤ 4.5											

### 6.2B.3.3 A-MPR for NS\_11N

Table 6.2B.3.3-1: A-MPR for "NS\_11N" for Power Class 3

SCS and number of tones	3.75kHz	15 kHz 1-tone	15 kHz 3-tone	15 kHz 6-tone	15 kHz 12-tone
A-MPR (dB)	≤ 18.0	≤ 15	≤ 11	≤ 8.5	≤ 4.5

### 6.2B.3.4 A-MPR for NS\_12N

Table 6.2B.3.4-1: A-MPR for "NS\_12N" for Power Class 3

SCS and number of tones	3.75kHz	15 kHz 1-tone	15 kHz 3-tone	15 kHz 6-tone	15 kHz 12-tone
A-MPR (dB)	≤ 18.0	≤ 15	≤ 11	≤ 8.5	≤ 4.5

## 6.2B.4 Configured transmitted Power for category NB1 and NB2

For category NB1 and NB2 UE, the configured transmitted power requirements in clause 6.2.5F of TS 36.101 [7] shall apply, wherein

- The Maximum output power requirements are specified in subclause 6.2B.1
- The MPR requirements are specified in subclause 6.2B.2
- The A-MPR requirements are specified in subclause 6.2B.3.

## 6.3 Output power dynamics

This clause is reserved.

### 6.3A Output power dynamics for category M1

#### 6.3A.1 UE Minimum output power for category M1

The minimum controlled output power of the UE is defined as the broadband transmit power of the UE, i.e. the power in the channel bandwidth for all transmit bandwidth configurations (resource blocks), when the power is set to a minimum value.

The minimum output power is defined as the mean power in one sub-frame (1ms). The minimum output power shall not exceed the values specified in Table 6.3.2.1-1 of TS 36.101 [7].

## 6.3A.2 Transmit OFF power for category M1

Transmit OFF power is defined as the mean power when the transmitter is OFF. The transmitter is considered to be OFF when the UE is not allowed to transmit or during periods when the UE is not transmitting a sub-frame. During DTX and measurements gaps, the UE is not considered to be OFF.

The transmit OFF power is defined as the mean power in a duration of at least one sub-frame (1ms) excluding any transient periods. The transmit OFF power shall not exceed the values specified in Table 6.3.3.1-1 of TS 36.101 [7].

## 6.3A.3 ON/OFF time mask for category M1

The requirements for transmit ON/OFF time mask defined in clause 6.3.4 of TS 36.101 [7] shall apply.

## 6.3A.4 Power control for category M1

For category M1 UE, the requirements in clause 6.3.5E of TS 36.101 [7] shall apply, wherein

- The Maximum output power requirements are specified in subclause 6.2A.1
- The Minimum output power requirements are specified in subclause 6.3A.1.
- The requirements for configured transmitted power are specified in subclause 6.2A.4.

## 6.3B Output power dynamics for category NB1 and NB2

### 6.3B.1 UE Minimum output power for category NB1 and NB2

For category NB1 and NB2 UE, the requirements in clause 6.3.2F of TS 36.101 [7] shall apply.

### 6.3B.2 Transmit OFF power for category NB1 and NB2

For category NB1 and NB2 UE, the requirements in clause 6.3.3F of TS 36.101 [7] shall apply.

### 6.3B.3 ON/OFF time mask for category NB1 and NB2

For category NB1 and NB2 UE, the requirements in clause 6.3.4F of TS 36.101 [7] shall apply.

### 6.3B.4 Power Control for category NB1 and NB2

For category NB1 and NB2 UE, the requirements in clause 6.3.5F of TS 36.101 [7] shall apply, wherein

- The Maximum output power requirements are specified in subclause 6.2B.1
- The Minimum output power requirements are specified in subclause 6.3B.1
- The requirements for configured transmitted power are specified in subclause 6.2B.4.

## 6.4 Transmit signal quality

This clause is reserved.

## 6.4A Transmit signal quality for category M1

### 6.4A.1 Frequency error for UE category M1

For category M1 UE, the basic measurement interval of modulated carrier frequency is 1 UL timeslot (0.5ms). The UE pre-compensates the uplink modulated carrier frequency by the estimated Doppler shift based on received ephemeris information of the SAN in IE EphemerisInfo (TS 36.331 [6]), its own location and UL carrier frequency signalled to the UE by the SAN (according to TS36.300 [8] clause 16.14.2).

For category M1 FD-FDD UEs and for category M1 HD-FDD UEs with continuous uplink transmissions of duration  $\leq$  64 ms, the mean value of basic measurements of UE pre-compensated modulated carrier frequency shall be accurate to within  $\pm 0.1$  PPM observed over a period of one time slot (0.5 ms) compared with the ideally pre-compensated UL carrier frequency.

For category M1 HD-FDD UEs with continuous uplink transmissions of duration  $>$  64 ms, the mean value of basic measurements of UE pre-compensated modulated carrier frequency shall be accurate within the limits in Table 6.4A.1-1 observed over a period of one time slot (0.5 ms) compared with ideally pre-compensated UL carrier frequency.

When a repetition period is configured on the uplink for which repetition period ( $R$ )  $>$  1, the UE shall not change Doppler pre-compensation during an ongoing repetition period, except in the transmission gaps as defined in clause 10.1.3.6 of TS 36.211[3]. When segmentation is applied, then the UE shall update pre-compensation at the beginning of each segment prior to segment transmission.

NOTE: The ideally pre-compensated reference uplink carrier frequency consists of the UL carrier frequency signalled to the UE by SAN and UL pre-compensated Doppler frequency shift corresponding to the estimated Doppler frequency at the beginning of the transmission.]

**Table 6.4A.1-1: Frequency error requirement for HD-FDD UE category M1**

Carrier frequency [GHz]	Frequency error [ppm]
$\leq 1$	$\pm 0.2$
$> 1$	$\pm 0.1$

### 6.4A.2 Transmit modulation quality for category M1

Transmit modulation quality defines the modulation quality for expected in-channel RF transmissions from the UE. The transmit modulation quality is specified in terms of:

- Error Vector Magnitude (EVM) for the allocated resource blocks (RBs)
- EVM equalizer spectrum flatness derived from the equalizer coefficients generated by the EVM measurement process
- Carrier leakage
- In-band emissions for the non-allocated RB

All the parameters defined in subclause 6.4A.2 are defined using the measurement methodology specified in clause Annex F of TS 36.101 [7].

For category M1 UE, the requirements in clause 6.5.2E of TS 36.101 [7] shall apply, and only QPSK and 16QAM in UL shall be applicable.

## 6.4B Transmit signal quality for category NB1 and NB2

### 6.4B.1 Frequency error for UE category NB1 and NB2

For UE category NB1 and NB2, the UE pre-compensates the uplink modulated carrier frequency by the estimated Doppler shift based on received ephemeris information of the SAN in IE *EphemerisInfo* (TS 36.331 [6]), its own location and UL carrier frequency signalled to the UE by the SAN (according to TS36.300 [8] clause 23.21.2.2).

The UE pre-compensated modulated carrier frequency shall be accurate to within the limits in Table 6.4B.1-1, observed over a period of one time slot (0.5 ms for 15 kHz sub-carrier spacing and 2 ms excluding the 2304Ts gap for 3.75 kHz sub-carrier spacing) and averaged over  $72/L_{\text{Ctone}}$  slots (where  $L_{\text{Ctone}} = \{1, 3, 6, 12\}$  is the number of sub-carriers used for the transmission), compared to the ideally pre-compensated reference uplink carrier frequency.

When a repetition period is configured on the uplink for which repetition period ( $R$ )  $>1$ , the UE shall not change Doppler pre-compensation during an ongoing repetition period, except in the transmission gaps as defined in clause 10.1.3.6 of TS 36.211[3]. When segmentation is applied, then the UE shall update pre-compensation at the beginning of each segment prior to segment transmission.

[NOTE: The ideally pre-compensated reference uplink carrier frequency consists of the UL carrier frequency signalled to the UE by SAN and UL pre-compensated Doppler frequency shift corresponding to the estimated Doppler frequency at the beginning of the transmission.]

**Table 6.4B.1-1: Frequency error requirement for UE category NB1 and NB2**

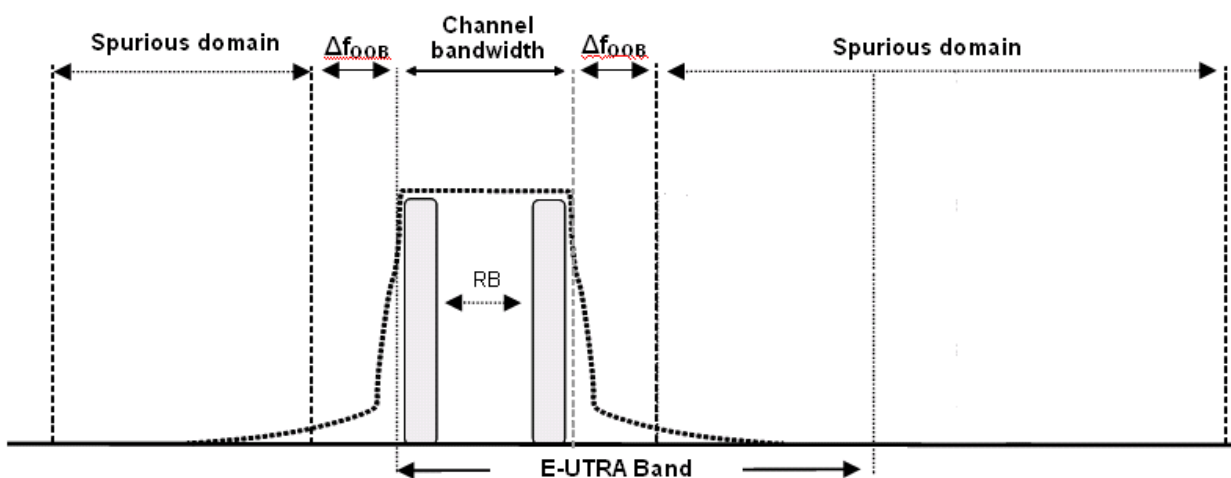
Carrier frequency [GHz]	Frequency error [ppm]
$\leq 1$	$\pm 0.2$
$> 1$	$\pm 0.1$

### 6.4B.2 Transmit modulation quality for Category NB1 and NB2

Transmit modulation quality requirements for Category NB1 and NB2 UEs for BPSK and QPSK modulation as specified in clause 6.5.2F of 36.101 [7] are applicable.

## 6.5 Output RF spectrum emissions

The output UE transmitter spectrum consists of the three components; the emission within the occupied bandwidth (channel bandwidth), the Out Of Band (OOB) emissions and the far out spurious emission domain.



**Figure 6.5-1: Transmitter RF spectrum**

## 6.5A Output RF spectrum emissions for category M1

### 6.5A.1 General

The definitions in clause 6.5 shall apply.

When the UE is operating in an NGSO deployment, to support coexistence, it is assumed that a guardband at least equivalent to the maximum doppler shift expected for the NGSO constellation between the channel edge of the channel bandwidth operated by the UE and the spectrum block edge has been accounted for as part of system deployment configuration by the operator.

### 6.5A.2 Occupied bandwidth for category M1

Occupied bandwidth is defined as the bandwidth containing 99 % of the total integrated mean power of the transmitted spectrum on the assigned channel. The occupied bandwidth for all transmission bandwidth configurations (Resources Blocks) shall be less than the 1.4MHz channel bandwidth specified in Table 6.6.1-1 of TS 36.101 [7].

### 6.5A.3 Out of band emission for category M1

#### 6.5A.3.1 General

The out of band emissions are unwanted emissions immediately outside the assigned channel bandwidth resulting from the modulation process and non-linearity in the transmitter but excluding spurious emissions. This out of band emission limit is specified in terms of a spectrum emission mask and an Adjacent Channel Leakage power Ratio.

#### 6.5A.3.2 Spectrum emission mask

The spectrum emission mask of the UE applies to frequencies ( $\Delta f_{\text{OOB}}$ ) starting from the  $\pm$  edge of the assigned E-UTRA channel bandwidth. For frequencies offset greater than  $\Delta f_{\text{OOB}}$  as specified in Table 6.5A.3.2-1 the spurious requirements in subclause 6.5A.4 are applicable.

The power of any UE emission shall not exceed the levels specified in Table 6.5A.3.2-1 for the specified channel bandwidth.

**Table 6.5A.3.2-1: Category M1 spectrum emission mask**

Spectrum emission limit (dBm)/ Channel bandwidth		
$\Delta f_{\text{OOB}}$ (MHz)	1.4 MHz	Measurement bandwidth
$\pm 0-1$	-10	30 kHz
$\pm 1-2.5$	-10	1 MHz
$\pm 2.5-2.8$	-25	1 MHz

NOTE1: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

#### 6.5A.3.3 Additional Spectrum Emission Mask for category M1

##### 6.5A.3.3.1 Requirements for network signalling value "NS\_02N" and "NS\_03N"

When "NS\_02N" or "NS\_03N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5A.3.3.1-1.

**Table 6.5A.3.3.1-1: Additional requirements for "NS\_02N" and "NS\_03N"**

$\Delta f_{\text{OoB}}$ (MHz)	Spectrum Emission Limit (dBm)	Measurement bandwidth
$\pm 0 - 0.7$	-2 for PC3 -5 for PC5	4 kHz
$\pm 0.7 - 2.8$	-12 for PC3 -15 for PC5	4 kHz
$\pm > 2.8$	-13 for PC3 and PC5	4 kHz

### 6.5A.3.3.2 Requirements for network signalling value "NS\_04N" and "NS\_11N"

When "NS\_04N" or "NS\_11N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5A.3.3.2-1 for any channel bandwidth configured within 1610-1618.25MHz.

**Table 6.5A.3.3.2-1: Additional requirements for "NS\_04N" and "NS\_11N"**

$\Delta f_{\text{OoB}}$ (kHz)	Spectrum emission limit (dBm)	Measurement bandwidth	Measurement method
$\pm 0-160$	-2	30kHz	Average
$\pm 160-2300$	-2 to -26		
$\pm 2300-18500$	-26		

NOTE 1: Spectrum emissions are linearly interpolated in dBm versus frequency offset.  
NOTE 2: The EIRP requirement in regulation is converted to conducted requirement using a 0dBi antenna.

### 6.5A.3.3.3 Requirements for network signalling value "NS\_05N" and "NS\_12N"

When "NS\_05N" or "NS\_12N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5A.3.3.3-1 for any channel bandwidth configured within 1618.25-1626.5MHz.

**Table 6.5A.3.3.3-1: Additional requirements for "NS\_05N" and "NS\_12N"**

$\Delta f_{\text{OoB}}$ (kHz)	Spectrum emission limit (dBm)	Measurement bandwidth	Measurement method
$\pm 0-160$	-5	30kHz	Average
$\pm 160-225$	-5 to -8.5		
$\pm 225-650$	-8.5 to -15		
$\pm 650-1365$	-15		
$\pm 1365-1800$	-23 to -26		
$\pm 1800-16500$	-26		

NOTE 1: Spectrum emissions are linearly interpolated in dBm versus frequency offset.  
NOTE 2: The EIRP requirement in regulation is converted to conducted requirement using a 0dBi antenna.

### 6.5A.3.4 Adjacent Channel Leakage Ratio for category M1

E-UTRA category M1 Adjacent Channel Leakage power Ratio ( $E\text{-UTRA}_{\text{ACLR}}$ ) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency at nominal channel spacing. The assigned E-UTRA category M1 channel power and adjacent E-UTRA category M1 channel power are measured with rectangular filters with measurement bandwidths specified in Table 6.5A.3.4-1. If the measured adjacent channel power is greater than  $-50\text{dBm}$  then the  $E\text{-UTRA}_{\text{ACLR}}$  shall be higher than the value specified in Table 6.5A.3.4-1.

**Table 6.5A.3.4-1: Category M1 ACLR requirements**

	Channel bandwidth / E-UTRA <sub>ACLR</sub> / Measurement bandwidth
	1.4 MHz
E-UTRA <sub>ACLR</sub>	30 dB
E-UTRA channel Measurement bandwidth	1.08 MHz
Adjacent channel centre frequency offset [MHz]	+1.4/-1.4

## 6.5A.4 Spurious emission for category M1

### 6.5A.4.1 General

Spurious emissions are emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emissions, intermodulation products and frequency conversion products, but exclude out of band emissions unless otherwise stated. The spurious emission limits are specified in terms of general requirements inline with SM.329 [9] and E-UTRA operating band requirement to address UE co-existence.

To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

### 6.5A.4.2 Minimum requirements

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than  $F_{OOB}$  (MHz) in Table 6.5A.4.2-1 from the edge of the channel bandwidth. The spurious emission limits in Table 6.5A.4.2-2 apply for all transmitter band configurations ( $N_{RB}$ ) and channel bandwidths.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus  $MBW/2$ . The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus  $MBW/2$ .  $MBW$  denotes the measurement bandwidth defined for the protected band.

**Table 6.5A.4.2-1: Boundary between E-UTRA out of band and spurious emission domain**

Channel bandwidth	1.4 MHz
OOB boundary $F_{OOB}$ (MHz)	2.8

**Table 6.5A.4.2-2: Spurious emissions limits**

Frequency Range	Maximum Level	Measurement bandwidth	NOTE
$9 \text{ kHz} \leq f < 150 \text{ kHz}$	-36 dBm	1 kHz	
$150 \text{ kHz} \leq f < 30 \text{ MHz}$	-36 dBm	10 kHz	
$30 \text{ MHz} \leq f < 1000 \text{ MHz}$	-36 dBm	100 kHz	
$1 \text{ GHz} \leq f < 5^{\text{th}}$ harmonic of the upper frequency edge of the UL operating band in GHz	-30 dBm	1 MHz	

6.5A.4.3 Spurious emission band UE co-existence

This clause specifies the requirements for E-UTRA satellite bands for UE coexistence with protected bands.

Table 6.5A.4.3-1: Requirements for spurious emissions for UE co-existence

E-UTRA Band	Spurious emission						
	Protected band	Frequency range (MHz)		Maximum Level (dBm)	MBW (MHz)	NOTE	
253	E-UTRA Band 5, 26, 31, 41, 48, 72 NR Band n1, n3, n7, n8, n18, n20, n28, n34, n38, n39, n40, n50, n51, n65, n67, n74, n75, n76, n79, n91, n92, n93, n94, n105, n109	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	NR Band n77, n78	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
254	E-UTRA Band 2, 4, 5, 12, 13, 14, 17, 24, 25, 26, 29, 30, 31, 41, 48, 54, 66, 70, 71, 72, 85, 87, 88, 103 NR Band n1, n3, n7, n8, n18, n20, n28, n34, n38, n39, n40, n50, n51, n53, n65, n67, n74, n75, n76, n77, n78, n90, n91, n92, n93, n94, n105, n106, n109	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	NR Band n79	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
255	E-UTRA Band 2, 4, 5, 12, 13, 14, 17, 24, 25, 26, 29, 30, 31, 41, 48, 66, 70, 71, 72, 85, 103 NR Band n1, n3, n7, n8, n18, n20, n28, n34, n38, n39, n40, n50, n51, n53, n65, n67, n74, n75, n76, n90, n91, n92, n93, n94, n105, n106, n109	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	NR Band n77, n78, n79	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	Frequency range	1621.5	-	1624.5	-30	0.03	5
	Frequency range	1624.5	-	1625	-30 to -27.5	0.03	4, 5
	Frequency range	1625	-	1625.125	-27.5 to -27.2	0.03	4, 5
	Frequency range	1625.125	-	1625.8	-27.2 to -20	0.03	4, 5
	Frequency range	1625.8	-	1626	-20 to -17	0.03	4, 5
	Frequency range	1626	-	1626.2	-17 to -10	0.03	4, 5
256	E-UTRA Band 1, 3, 5, 7, 8, 11, 18, 19, 20, 21, 22, 26, 27, 28, 31, 33, 32, 35, 38, 40, 41, 42, 43, 50, 51, 54, 65, 68, 69, 72, 74, 75, 76, 87, 88 NR Band n12, n13, n14, n24, n29, n30, n39, n48, n53, n66, n67, n71, n78, n79, n85, n90, n91, n92, n93, n94, n101, n105, n106, n109	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	NR Band n77	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	NR Band n2, n25, n70	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	NA	NA	3
NOTE 1: F <sub>DL_low</sub> and F <sub>DL_high</sub> refer to each E-UTRA frequency band specified in Table 5.4A.2-1							
NOTE 2: As exceptions, measurements with a level up to the applicable requirements defined in Table 6.5A.4.2-2 are permitted for each assigned E-UTRA carrier used in the measurement due to 2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> [or 5 <sup>th</sup> ] harmonic spurious emissions. Due to spreading of the harmonic emission the exception is also allowed for the first 1 MHz frequency range immediately outside the harmonic emission on both sides of the harmonic emission. This results in an overall exception interval centred at the harmonic emission of (2MHz + N x L <sub>CRB</sub> x 180kHz), where N is 2, 3, 4, [5] for the 2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> [or 5 <sup>th</sup> ] harmonic respectively. The exception is allowed if the measurement bandwidth (MBW) totally or partially overlaps the overall exception interval.							
NOTE 3: The co-existence between 256 and band 2, 25 and 70 is subject to regional/national regulation.							
NOTE 4: Linearly interpolated in dBm vs. frequency.							
NOTE 5: Requirement is a regional regulatory requirement.							

NOTE: To simplify Table 6.5A.4.3-1, E-UTRA band numbers are listed for bands which are specified only for E-UTRA operation or both E-UTRA and NR operation. NR band numbers are listed for bands which are specified only for NR operation.

## 6.5A.4.4 Additional spurious emissions

### 6.5A.4.4.1 General

These requirements are specified in terms of an additional spectrum emission requirement. Additional spurious emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

NOTE: In addition to the requirements below, additional UE region-specific emissions requirements for European are expected to be added once more information becomes available.

### 6.5A.4.4.2 Minimum requirement (network signalled value "NS\_02N")

When "NS\_02N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5A.4.4.2-1. This requirement also applies for the frequency ranges that are less than  $F_{\text{OOB}}$  (MHz) in Table 6.5A.4.2-1 from the edge of the channel bandwidth. Network signalling remark NS\_02N applies integer-value 2.

**Table 6.5A.4.4.2-1: Additional requirements for "NS\_02N"**

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit <sup>1</sup> (dBm)	Measurement bandwidth	NOTE
	1.4MHz		
$1559 \leq f \leq 1605$	-50	700 Hz	Averaged over any 2 millisecond active transmission interval
$1605 \leq f \leq 1610$	$-50 + 24/5 (f-1605)$	700Hz	
$1559 \leq f \leq 1605$	-40	1MHz	Averaged over any 2 millisecond active transmission interval
$1605 \leq f \leq 1610$	$-40 + 24/5 (f-1605)$	1MHz	

NOTE: The EIRP requirement in regulation is converted to conducted requirement using a 0 dBi antenna.

### 6.5A.4.4.3 Minimum requirement (network signalled value "NS\_24")

When "NS\_24" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5A.4.4.3-1.

**Table 6.5A.4.4.3-1: Additional requirements for "NS\_24"**

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm)	Measurement bandwidth
	1.4MHz	
Band 34	-50	MHz

NOTE 1: This requirement applies at a frequency offset equal or larger than 5 MHz from the upper edge of the channel bandwidth, whenever these frequencies overlap with the specified frequency band 256.

### 6.5A.4.4.4 Minimum requirement (network signalled value "NS\_03N")

When "NS\_03N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5A.4.4.4-1 where  $BW_{\text{channel}}$  equals to 1.4MHz. This requirement also applies for the frequency ranges that are less than  $F_{\text{OOB}}$  (MHz) in Table 6.5A.4.2-1 from the edge of the channel bandwidth.

**Table 6.5.4.4.4-1: Additional out-of-band requirements for "NS\_03N"**

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit <sup>1</sup> (dBm)	Measurement bandwidth	NOTE
	BWchannel		
$1559 \leq f \leq 1605$	-50	700 Hz	Discreet emissions averaged over any 2 millisecond active transmission interval
$1605 \leq f \leq 1610$	$-50 + 60/5 (f-1605)$	700 Hz	
$1559 \leq f \leq 1605$	-40	1MHz	Averaged over any 2 millisecond active transmission interval
$1605 \leq f \leq 1610$	$-40 + 60/5 (f-1605)$	1MHz	
NOTE: The EIRP requirement in regulation is converted to conducted requirement using a 0dBi antenna.			

#### 6.5A.4.4.5 Minimum requirement (network signalled value "NS\_04N", "NS\_05N", "NS\_11N" and "NS\_12N")

When "NS\_04N" or "NS\_05N" or "NS\_11N" or "NS\_12N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5A.4.4.5-1 where BWchannel equals to 1.4MHz. This requirement also applies for the frequency ranges that are less than F<sub>OOB</sub> (MHz) in Table 6.5A.4.2-1 from the edge of the channel bandwidth.

**Table 6.5A.4.4.5-1: Additional out-of-band requirements for "NS\_04N", "NS\_05N", "NS\_11N" and "NS\_12N"**

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit <sup>1</sup> (dBm)	Measurement bandwidth	Measurement method
	BWchannel		
$1559 \leq f \leq 1605$	-40	1MHz	Average (note 2)
$1605 \leq f \leq 1610$	$-40 + 60/5 (f-1605)$	1MHz	
$1628.5 \leq f \leq 1631.5$	-30	30kHz	Average
$1631.5 \leq f \leq 1636.5$	-30	100kHz	
$1636.5 \leq f \leq 1646.5$	-30	300kHz	
$1646.5 \leq f \leq 1666.5$	-30	1MHz	
$1666.5 \leq f \leq 2200$	-30	3MHz	
NOTE 1: The EIRP requirement in regulation is converted to conducted requirement using a 0dBi antenna.			
NOTE 2: In the sub-band 1573.42MHz to 1580.42 MHz the average measurement time is 20ms.			

#### 6.5A.4.4.6 Void

## 6.5B Output RF spectrum emissions for category NB1 and NB2

### 6.5B.1 General

The definitions in clause 6.5 shall apply.

### 6.5B.2 Occupied bandwidth for category NB1 and NB2

For category NB1 and NB2 UE, the requirements in clause 6.6.1F of TS 36.101 [7] shall apply.

## 6.5B.3 Out of band emission for category NB1 and NB2

### 6.5B.3.1 General

The out of band emissions are unwanted emissions immediately outside the assigned channel bandwidth resulting from the modulation process and non-linearity in the transmitter but excluding spurious emissions. This out of band emission limit is specified in terms of a spectrum emission mask and an Adjacent Channel Leakage power Ratio.

### 6.5B.3.2 Spectrum emission mask

The spectrum emission mask of the category NB1 and NB2 UE applies to frequencies ( $\Delta f_{\text{OOB}}$ ) starting from the  $\pm$  edge of the assigned category NB1 or NB2 channel bandwidth. For frequencies greater than ( $\Delta f_{\text{OOB}}$ ) as specified in Table 6.5B.3.2-1 the spurious requirements in subclause 6.5B.4 are applicable.

The power of any category NB1 or NB2 UE emission shall not exceed the levels specified in Table 6.5B.3.2-1. The spectrum emission limit between each  $\Delta f_{\text{OOB}}$  is linearly interpolated.

**Table 6.5B.3.2-1: Category NB1 and NB2 UE spectrum emission mask**

$\Delta f_{\text{OOB}}$ (kHz)	Emission limit (dBm)	Measurement bandwidth
$\pm 0$	26	30 kHz
$\pm 100$	-5	30 kHz
$\pm 150$	-8	30 kHz
$\pm 300$	-29	30 kHz
$\pm 500-1700$	-35	30 kHz

NOTE1: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

[NOTE2: When the UE is operating in an NGSO deployment, to support coexistence, it is assumed that a guardband at least equivalent to the maximum doppler shift expected for the NGSO constellation between the channel edge of the channel bandwidth operated by the UE and the spectrum block edge has been accounted for as part of system deployment configuration by the operator.]

### 6.5B.3.3 Additional Spectrum Emission Mask for category NB1 and NB2

#### 6.5B.3.3.1 Requirements for network signalling value "NS\_02N"

When "NS\_02N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5B.3.3.1-1.

**Table 6.5B.3.3.1-1: Additional requirements for "NS\_02N"**

$\Delta f_{\text{OOB}}$ (MHz)	Spectrum Emission Limit (dBm)	Measurement bandwidth
$\pm 0.09-0.28$	-2 for PC3 -5 for PC5	4 kHz
$\pm 0.28-0.85$	-12 for PC3 -15 for PC5	4 kHz
$\pm >0.85$	-13 for PC3 and PC5	4 kHz

NOTE:  $\Delta f_{\text{OOB}} = 0.09$  MHz corresponds to an authorized bandwidth, as defined in C63.26-2015 [10], of 0.38 MHz.

### 6.5B.3.3.2 Requirements for network signalling value "NS\_03N"

When "NS\_03N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5B.3.3.2-1.

**Table 6.5B.3.3.2-1: Additional requirements for "NS\_03N"**

$\Delta f_{\text{OOB}}$ (MHz)	Spectrum Emission Limit (dBm)	Measurement bandwidth
$\pm 0.09\text{--}0.28$	-2 for PC3 -5 for PC5	4 kHz
$\pm 0.28\text{--}0.85$	-12 for PC3 -15 for PC5	4 kHz
$\pm 0.85\text{--}1.7$	-13 for PC3 and PC5	4 kHz

NOTE:  $\Delta f_{\text{OOB}} = 0.09$  MHz corresponds to an authorized bandwidth, as defined in C63.26-2015 [10], of 0.38 MHz.

### 6.5B.3.3.3 Requirements for network signalling value "NS\_04N" and "NS\_11N"

As specified in 6.5A.3.3.2.

### 6.5B.3.3.4 Requirements for network signalling value "NS\_05N" and "NS\_12N"

As specified in 6.5A.3.3.3.

## 6.5B.3.4 Adjacent Channel Leakage Ratio for category NB1 and NB2

Adjacent Channel Leakage power Ratio is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency. The assigned category NB1 or NB2 channel power and adjacent channel power are measured with filters and measurement bandwidths specified in Table 6.5B.3.4-1. If the measured adjacent channel power is greater than  $-50\text{dBm}$  then the category NB1 or NB2 UE ACLR shall be higher than the value specified in Table 6.5B.3.4-1.  $\text{UTRA}_{\text{ACLR}}$  requirement is intended for protection of UTRA and E-UTRA systems.

Table 6.5B.3.4-1: Category NB1 and NB2 UE ACLR requirements

	UTRA <sub>ACLR</sub>
ACLR	37 dB
Adjacent channel center frequency offset from category NB1 or NB2 Channel edge	$\pm 2.5$ MHz
Adjacent channel measurement bandwidth	3.84 MHz
Measurement filter	RRC-filter $\alpha=0.22$
Category NB1 and NB2 channel measurement bandwidth	180 kHz
Category NB1 and NB2 channel Measurement filter	Rectangular

## 6.5B.4 Spurious emission for category NB1 and NB2

### 6.5B.4.1 General

Spurious emissions are emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emissions, intermodulation products and frequency conversion products, but exclude out of band emissions unless otherwise stated. The spurious emission limits are specified in terms of general requirements inline with SM.329 [9] and E-UTRA operating band requirement to address UE co-existence.

To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

### 6.5B.4.2 Minimum requirements

When UE is configured for category NB1 or NB2 uplink transmissions the requirements in subclause 6.5A.4.2 apply with an exception that boundary between category NB1 or NB2 out of band and spurious emission domain shall be  $F_{\text{OOB}} = 1.7$  MHz.

### 6.5B.4.3 Spurious emission band UE co-existence

The spurious emission band UE coexistence requirement in sub-clause 6.5A.4.3 is also applicable for NB1 and NB2 UE.

### 6.5B.4.4 Additional spurious emissions

#### 6.5B.4.4.1 General

These requirements are specified in terms of an additional spectrum emission requirement. Additional spurious emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

NOTE: In addition to the requirements below, additional UE region-specific emissions requirements for European are expected to be added once more information becomes available.

#### 6.5B.4.4.2 Minimum requirement (network signalled value "NS\_02N")

When "NS\_02N" is indicated in the cell, the power of any UE spurious emission shall not exceed the levels specified in Table 6.5B.4.4.2-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) specified in 6.5B.4.4.2 from the edge of the channel bandwidth. Network signalling remark NS\_02N applies integer-value 2.

**Table 6.5B.4.4.2-1: Additional requirements for "NS\_02N"**

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit <sup>1</sup> (dBm)	Measurement bandwidth	NOTE
	200kHz		
$1559 \leq f \leq 1605$	-50	700 Hz	Averaged over any 2 millisecond active transmission interval
$1605 \leq f \leq 1610$	$-50 + 24/5 (f-1605)$	700Hz	
$1559 \leq f \leq 1605$	-40	1MHz	Averaged over any 2 millisecond active transmission interval
$1605 \leq f \leq 1610$	$-40 + 24/5 (f-1605)$	1MHz	
NOTE: The EIRP requirement in regulation is converted to conducted requirement using a 0 dBi antenna.			

#### 6.5B.4.4.3 Minimum requirement (network signalled value "NS\_24")

When "NS\_24" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5B.4.4.3-1.

**Table 6.5B.4.4.3-1: Additional requirements for "NS\_24"**

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm)	Measurement bandwidth
	200kHz	
Band 34	-50	MHz
NOTE 1: This requirement applies at a frequency offset equal or larger than 5 MHz from the upper edge of the channel bandwidth, whenever these frequencies overlap with the specified frequency band 256.		

#### 6.5B.4.4.4 Minimum requirement (network signalled value "NS\_03N")

When "NS\_03N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5A.4.4.4-1 where BWchannel is replaced with 200 kHz, and  $F_{OOB}$  (MHz) is replaced with 1.7MHz.

#### 6.5B.4.4.5 Minimum requirement (network signalled value "NS\_04N", "NS\_05N", "NS\_11N" and "NS\_12N")

When "NS\_04N" or "NS\_05N" or "NS\_11N" or "NS\_12N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5A.4.4.5-1 where BWchannel is replaced with 200 kHz, and  $F_{OOB}$  (MHz) is replaced with 1.7MHz.

#### 6.5B.4.4.6 Void

## 6.6 Transmit intermodulation

This clause is reserved.

## 6.6A Transmit intermodulation for category M1

For category M1 UE, Tx intermodulation requirements are not applicable.

## 6.6B Transmit intermodulation for category NB1 and NB2

For category NB1 and NB2 UE, the Tx intermodulation requirements in clause 6.7.1F of TS 36.101 [7] shall apply.

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# 7 Receiver characteristics

## 7.1 General

The requirements in clause 7.1 of TS 36.101 [7] shall apply.

All requirements in this section are applicable to devices supporting GSO and/or NGSO satellites.

All RX requirements are verified with default TX-RX frequency separation specified in Table 5.4A.3-1. Additional TX-RX frequency separations specified in clauses 7.3A and 7.3B do not apply to other requirements.

## 7.2 Diversity characteristics

The requirements in clause 7 assume that the receiver is equipped with single Rx port.

## 7.3 Reference sensitivity power level

This clause is reserved.

### 7.3A Reference sensitivity power level for UE category M1

The reference sensitivity power level REFSENS is the minimum mean power applied to the single antenna port for UE category M1, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

The throughput for the REFSENS test is measured based on the Transmission Mode 1 unless specified otherwise.

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1) with parameters specified in Table 7.3A-1 and Table 7.3A-2 for category M1.

**Table 7.3A-1: Reference sensitivity for FDD UE category M1 QPSK  $P_{\text{REFSENS}}$**

NTN Band	REFSENS (dBm)	Duplex Mode
256	-102.2	FDD
255	-102.7	FDD
254	-102.2	FDD
253	-102.7	FDD
NOTE 1: The transmitter shall be set to $P_{\text{UMAX}}$ as defined in subclause 6.2.5- in TS 36.101 [7].		

**Table 7.3A-2: Reference sensitivity for HD-FDD UE category M1 QPSK  $P_{\text{REFSENS}}$** 

NTN Band	REFSENS (dBm)	Duplex Mode
256	-103	HD-FDD
255	-103.5	HD-FDD
254	-103.1	HD-FDD
253	-103.5	HD-FDD

NOTE 1: The transmitter shall be set to  $P_{\text{UMAX}}$  as defined in subclause 6.2.5 in TS 36.101 [7].

The reference receive sensitivity (REFSENS) requirement specified in Table 7.3A-1/Table 7.3A-2 shall be met for an uplink transmission bandwidth less than or equal to that specified in Table 7.3A-3 and with default TX-RX carrier center frequency separation except for cases specified in Table 7.3A-4.

NOTE: Table 7.3A-3 is intended for conformance tests and does not necessarily reflect the operational conditions of the network, where the number of uplink and downlink allocated resource blocks will be practically constrained by other factors. Typical receiver sensitivity performance with HARQ retransmission enabled and using a residual BLER metric relevant for e.g. Speech Services is given in the Annex [G] (informative).

**Table 7.3A-3: FDD UE category M1 Uplink configuration for reference sensitivity**

E-UTRA Band	$N_{\text{RB}}$	Duplex Mode
256	6 <sup>1</sup>	FDD and HD-FDD
255	6 <sup>1</sup>	FDD and HD-FDD
254	6 <sup>1</sup>	FDD and HD-FDD
253	6 <sup>1</sup>	FDD and HD-FDD

NOTE 1: <sup>1</sup> refers to the UL resource blocks shall be located as close as possible to the downlink operating band but confined within the transmission bandwidth configuration for the channel bandwidth (Table 5.3A-1).

**Table 7.3A-4: TX – RX carrier centre frequency separation for REFSENS verification**

E-UTRA Operating Band	Channel bandwidth	TX – RX carrier centre frequency separation for REFSENS verification
256	1.4 MHz	161.4 MHz, 218.6 MHz
255	1.4 MHz	-68.9 MHz, -134.1 MHz
254	1.4 MHz	858.4 MHz, 888.6 MHz
253	1.4 MHz	-144.4 MHz, -155.6 MHz

## 7.3B Reference sensitivity power level for UE category NB1 and NB2

The reference sensitivity power level REFSENS is the minimum mean power applied to the single antenna port for UE category NB1 and category NB2, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

The throughput for the REFSENS test is measured based on the Transmission Mode 1 unless specified otherwise.

The category NB1 and NB2 UE throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channel as specified in TS 36.101 [7] Annex A.3.2 with received signal level as specified in Table 7.3B-1. Requirement in Table 7.3B-1 applies for any uplink configuration and with default TX-RX carrier center frequency separation except for cases specified in Table 7.3B-2.

**Table 7.3B-1: Reference sensitivity for UE category NB1 and NB2**

Operating band	REFSENS [dBm]
According to subclause 5.2B	- 108.2

**Table 7.3B-2: TX – RX carrier centre frequency separation for REFSENS verification**

E-UTRA Operating Band	Channel bandwidth	TX – RX carrier centre frequency separation for REFSENS verification
256	0.2 MHz	160.2 MHz, 219.8 MHz
255	0.2 MHz	-67.7 MHz, -135.3 MHz
254	0.2 MHz	857.2 MHz, 889.8 MHz
253	0.2 MHz	-143.2 MHz, -156.8 MHz

## 7.4 Maximum input level

This clause is reserved.

### 7.4A Maximum input level for category M1

This is defined as the maximum mean power received at the UE antenna port, at which the specified relative throughput shall meet or exceed the minimum requirements for the specified reference measurement channel.

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in TS 36.101 [7] Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD as described in TS 36.101 [7] Annex A.5.1.1) with parameters specified in Table 7.4A-1.

**Table 7.4A-1: Maximum input level**

Rx Parameter	Units	Channel bandwidth
		1.4 MHz
Power in Transmission Bandwidth Configuration	dBm	$-40^2$
NOTE 1: The transmitter shall be set to 4dB below $P_{\text{CMAX,L}}$ at the minimum uplink configuration specified in Table 7.3A-3 with $P_{\text{CMAX,L}}$ as defined in subclause 6.2.5 of TS 36.101 [7].		
NOTE 2: Reference measurement channel is TS 36.101 [7] Annex A.3.2: 64QAM, R=3/4 variant with one sided dynamic OCNG Pattern OP.1 FDD as described in Annex A.5.1.1 of TS 36.101 [7].		

### 7.4B Maximum input level for category NB1 and NB2

This is defined as the maximum mean power received at the UE antenna port, at which the specified relative throughput shall meet or exceed the minimum requirements for the specified reference measurement channel.

Category NB1 and NB2 UE maximum input level requirement is -40 dBm. For this input level the throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channel as specified in Annex A.3.2 of TS 36.101 [7].

## 7.5 Adjacent Channel Selectivity (ACS)

This clause is reserved.

## 7.5A Adjacent Channel Selectivity for category M1

Adjacent Channel Selectivity (ACS) is a measure of a receiver's ability to receive a E-UTRA signal at its assigned channel frequency in the presence of an adjacent channel signal at a given frequency offset from the centre frequency of the assigned channel. ACS is the ratio of the receive filter attenuation on the assigned channel frequency to the receive filter attenuation on the adjacent channel(s).

The UE shall fulfil the minimum requirement specified in Table 7.5A-1 for all values of an adjacent channel interferer up to  $-40$  dBm. However it is not possible to directly measure the ACS, instead the lower and upper range of test parameters are chosen in Table 7.5A-2 and Table 7.5A-3 where the throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in TS 36.101 [7] Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in TS 36.101 [7] Annex A.5.1.1). For operating bands with an unpaired DL part (as noted in Table 5.5-1), the requirements only apply for carriers assigned in the paired part.

**Table 7.5A-1: Adjacent channel selectivity**

Rx Parameter	Units	Channel bandwidth
		1.4 MHz
ACS	dB	33.0

**Table 7.5A-2: Test parameters for Adjacent channel selectivity, Case 1**

Rx Parameter	Units	Channel bandwidth
		1.4 MHz
Power in Transmission Bandwidth Configuration	dBm	REFSENS + 14 dB
$P_{\text{Interferer}}$	dBm	REFSENS +45.5dB
$BW_{\text{Interferer}}$	MHz	1.4
$F_{\text{Interferer}}$ (offset)	MHz	1.4+0.0025 / -1.4-0.0025
NOTE 1: The transmitter shall be set to 4dB below $P_{\text{CMAX\_L}}$ at the minimum uplink configuration specified in Table 7.3A-3 with $P_{\text{CMAX\_L}}$ as defined in subclause 6.2.5 of TS 36.101 [7].		
NOTE 2: The interferer consists of the Reference measurement channel specified in TS 36.101 [7] Annex A.3.2 with one sided dynamic OCNG Pattern OP.1 FDD as described in Annex A.5.1.1 and set-up according to Annex C.3.1.		
NOTE 3: For DL category M1 UE, the reference sensitivity for category M1 in table 7.3A-1 should be used as REFSENS for the power in Transmission Bandwidth Configuration.		
NOTE 4: For DL category M1 UE, the parameters for the applicable channel bandwidth apply.		

**Table 7.5A-3: Test parameters for Adjacent channel selectivity, Case 2**

Rx Parameter	Units	Channel bandwidth
		1.4 MHz
Power in Transmission Bandwidth Configuration	dBm	-71.5
$P_{\text{Interferer}}$	dBm	-40
$BW_{\text{Interferer}}$	MHz	1.4
$F_{\text{Interferer}}$ (offset)	MHz	1.4+0.0025 / -1.4-0.0025
NOTE 1: The transmitter shall be set to 24dB below $P_{\text{CMAX\_L}}$ at the minimum uplink configuration specified in Table 7.3A-3 with $P_{\text{CMAX\_L}}$ as defined in subclause 6.2.5 of TS 36.101 [7].		
NOTE 2: The interferer consists of the Reference measurement channel specified in TS 36.101 [7] Annex A.3.2 with one sided dynamic OCNG Pattern OP.1 FDD as described in Annex A.5.1.1 and set-up according to Annex C.3.1.		

## 7.5B Adjacent Channel Selectivity for category NB1 and NB2

Adjacent Channel Selectivity (ACS) is a measure of a receiver's ability to receive a E-UTRA signal at its assigned channel frequency in the presence of an adjacent channel signal at a given frequency offset from the centre frequency of the assigned channel. ACS is the ratio of the receive filter attenuation on the assigned channel frequency to the receive filter attenuation on the adjacent channel(s).

Category NB1 and NB2 UE shall fulfil the minimum requirement specified in Table 7.5B-1 for all values of an adjacent channel interferer up to -40 dBm. However, it is not possible to directly measure the ACS, instead the lower and upper range of test parameters are chosen in Table 7.5B-1 where the throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channel as specified in TS 36.101 [7] Annex A.3.2.

**Table 7.5B-1: Adjacent channel selectivity parameters for category NB1 and NB2**

ACS1 test Parameters		
Interferer	GSM (GMSK)	E-UTRA
Category NB1 or NB2 signal power ( $P_{\text{wanted}}$ ) / dBm	REFSENS + 14 dB	
Interferer signal power ( $P_{\text{Interferer}}$ ) / dBm	REFSENS + 42 dB	REFSENS + 47 dB
Interferer bandwidth	200 kHz	5 MHz
Interferer offset from category NB1 or NB2 channel edge	$\pm 200$ kHz	$\pm 2.5$ MHz
ACS2 test Parameters		
Interferer	GSM (GMSK)	E-UTRA
Category NB1 or NB2 signal power ( $P_{\text{wanted}}$ ) / dBm	-68 dBm	-73 dBm
Interferer signal power ( $P_{\text{Interferer}}$ ) / dBm	-40 dBm	
Interferer bandwidth	200 kHz	5 MHz
Interferer offset from category NB1 or NB2 channel edge	$\pm 200$ kHz	$\pm 2.5$ MHz

## 7.6 Blocking characteristics

This clause is reserved.

Editor's note: the additional blocking requirements for band 253 will be introduced following further feedback from ETSI and additional studies.

### 7.6A Blocking characteristics for category M1

#### 7.6A.1 General

The blocking characteristic is a measure of the receiver's ability to receive a wanted signal at its assigned channel frequency in the presence of an unwanted interferer on frequencies other than those of the spurious response or the adjacent channels, without this unwanted input signal causing a degradation of the performance of the receiver beyond a specified limit. The blocking performance shall apply at all frequencies except those at which a spurious response occurs.

#### 7.6A.2 In-band blocking requirements for category M1

In-band blocking is defined for an unwanted interfering signal falling into the UE receive band or into the first 15 MHz below or above the UE receive band at which the relative throughput shall meet or exceed the minimum requirement for the specified measurement channels.

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in TS 36.101 [7] Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in TS 36.101 [7] Annex A.5.1.1) with parameters specified in Tables 7.6A.2-1 and 7.6A.2-2. For operating

bands with an unpaired DL part (as noted in Table 5.2A-1), the requirements only apply for carriers assigned in the paired part.

**Table 7.6A.2-1: In band blocking parameters**

Rx parameter	Units	Channel bandwidth
		1.4 MHz
Power in Transmission Bandwidth Configuration	dBm	REFSENS + channel bandwidth specific value below
		6
$BW_{Interferer}$	MHz	1.4
$F_{offset, case 1}$	MHz	2.1+0.0125
$F_{offset, case 2}$	MHz	3.5+0.0075
NOTE 1: The transmitter shall be set to 4dB below $P_{CMAX\_L}$ at the minimum uplink configuration specified in Table 7.3A-3 with $P_{CMAX\_L}$ as defined in subclause 6.2.5 of TS 36.101 [7].		
NOTE 2: The interferer consists of the Reference measurement channel specified in TS 36.101 [7] Annex A.3.2 with one sided dynamic OCNB Pattern OP.1 FDD as described in Annex A.5.1.1 and set-up according to Annex C.3.1.		
NOTE 3: For DL category M1 UE, the reference sensitivity for category M1 in table 7.3A-1 should be used as REFSENS for the power in Transmission Bandwidth Configuration.		
NOTE 4: For DL category M1 UE, the parameters for the applicable channel bandwidth apply.		

**Table 7.6A.2-2: In-band blocking**

E-UTRA band	Parameter	Unit	Case 1	Case 2
		$P_{Interferer}$	dBm	-56
	$F_{Interferer}$ (offset)	MHz	$= -BW/2 - F_{offset, case 1}$ & $= +BW/2 + F_{offset, case 1}$	$\leq -BW/2 - F_{offset, case 2}$ & $\geq +BW/2 + F_{offset, case 2}$
256, 255, 254, 253	$F_{Interferer}$	MHz	(NOTE 2)	$F_{DL\_low} - 15$ to $F_{DL\_high} + 15$
NOTE 1: For certain bands, the unwanted modulated interfering signal may not fall inside the UE receive band, but within the first 15 MHz below or above the UE receive band				
NOTE 2: For each carrier frequency the requirement is valid for two frequencies: a. the carrier frequency $-BW/2 - F_{offset, case 1}$ and b. the carrier frequency $+BW/2 + F_{offset, case 1}$				
NOTE 3: $F_{Interferer}$ range values for unwanted modulated interfering signal are interferer center frequencies				

### 7.6A.3 Out-of-band blocking requirements for category M1

Out-of-band band blocking is defined for an unwanted CW interfering signal falling more than 15 MHz below or above the UE receive band. For the first 15 MHz below or above the UE receive band the appropriate in-band blocking or adjacent channel selectivity in subclause 7.5A and subclause 7.6A.2 shall be applied.

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in TS 36.101 [7] Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNB Pattern OP.1 FDD for the DL-signal as described in TS 36.101 [7] Annex A.5.1.1) with parameters specified in Tables 7.6A.3-1 and 7.6A.3-2.

**Table 7.6A.3-1: Out-of-band blocking parameters for category M1 UE**

RX parameter	Units	Channel bandwidth (MHz)
		1.4
Power in transmission bandwidth configuration	dBm	REFSENS + 6 dB
NOTE 1: The transmitter shall be set to 4dB below $P_{\text{CMAX\_L}}$ at the minimum uplink configuration specified in Table 7.3.1-2 in TS 36.101 [7] with $P_{\text{CMAX\_L}}$ as defined in subclause 6.2.5.		

**Table 7.6A.3-2: Out of-band blocking for category M1 UE**

Operating Band	Parameter	Unit	Range 1	Range 2	Range 3
	$P_{\text{interferer}}$	dBm	-44	-30	-15
253, 254 <sup>2</sup> , 255	$F_{\text{interferer}}$ (C`W)	MHz	$-60 < f - F_{\text{DL\_low}} < -15$ or $15 < f - F_{\text{DL\_high}} < 60$	$-85 < f - F_{\text{DL\_low}} \leq -60$ or $60 \leq f - F_{\text{DL\_high}} < 85$	$1 \leq f \leq F_{\text{DL\_low}} - 85$ or $F_{\text{DL\_high}} + 85 \leq f \leq 12750$
256	$F_{\text{interferer}}$ (CW)	MHz	$-100 < f - F_{\text{DL\_low}} < -15$ or $15 < f - F_{\text{DL\_high}} < 60$	$-145 < f - F_{\text{DL\_low}} \leq -100$ or $60 \leq f - F_{\text{DL\_high}} < 85$	$1 \leq f \leq F_{\text{DL\_low}} - 145$ or $F_{\text{DL\_high}} + 85 \leq f \leq 12750$
NOTE 1: Void.					
NOTE 2: The power level of the interferer ( $P_{\text{interferer}}$ ) for Range 3 shall be modified to -20 dBm for $F_{\text{interferer}} > 2585$ MHz and $F_{\text{interferer}} < 2775$ MHz.					

For Table 7.6A.3-2 in frequency range 1, 2 and 3, up to  $\max(24, 6 \cdot \lceil N_{\text{RB}} / 6 \rceil)$  exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a 1MHz step size, where  $N_{\text{RB}}$  is the number of resource blocks in the downlink transmission bandwidth configuration. For these exceptions the requirements of subclause 7.7A spurious response are applicable.

#### 7.6A.4 Narrow band blocking for category M1

This requirement is measure of a receiver's ability to receive a E-UTRA signal at its assigned channel frequency in the presence of an unwanted narrow band CW interferer at a frequency, which is less than the nominal channel spacing.

The relative throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in TS 36.101 [7] Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in TS 36.101 [7] Annex A.5.1.1) with parameters specified in Table 7.6A.4-1. For operating bands with an unpaired DL part (as noted in Table 5.2-1), the requirements only apply for carriers assigned in the paired part.

**Table 7.6A.4-1: Narrow-band blocking**

Parameter	Unit	Channel Bandwidth
		1.4 MHz
$P_w$	dBm	$P_{\text{REFSENS}}$ + channel-bandwidth specific value below
		22
$P_{\text{UW}} \text{ (CW)}$	dBm	-55
$F_{\text{UW}}$ (offset for $\Delta f = 15 \text{ kHz}$ )	MHz	0.9075
$F_{\text{UW}}$ (offset for $\Delta f = 7.5 \text{ kHz}$ )	MHz	
NOTE 1: The transmitter shall be set a 4 dB below $P_{\text{CMAX,L}}$ at the minimum uplink configuration specified in Table 7.3A-3 with $P_{\text{CMAX,L}}$ as defined in subclause 6.2.5 of TS 36.101 [7]. NOTE 2: Reference measurement channel is specified in TS 36.101 [7] Annex A.3.2 with one sided dynamic OCNG Pattern OP.1 FDD as described in Annex A.5.1.1 of TS 36.101 [7]. NOTE 3: For DL category M1 UE, the reference sensitivity for category M1 in table 7.3A-1 should be used as $P_{\text{REFSENS}}$ for $P_w$ . NOTE 4: For DL category M1 UE, the parameters for the applicable channel bandwidth apply. NOTE 5: For DL category M1 UE, the parameter, $P_w$ , for all the channel bandwidth will be $P_{\text{REFSENS}} + 22 \text{ dBm}$ .		

## 7.6B Blocking characteristics for category NB1 and NB2

### 7.6B.1 General

The blocking characteristic is a measure of the receiver's ability to receive a wanted signal at its assigned channel frequency in the presence of an unwanted interferer on frequencies other than those of the spurious response or the adjacent channels, without this unwanted input signal causing a degradation of the performance of the receiver beyond a specified limit. The blocking performance shall apply at all frequencies except those at which a spurious response occur.

### 7.6B.2 In-band blocking requirements for category NB1 and NB2

In-band blocking is defined for an unwanted interfering signal falling into the UE receive band or into the first 15 MHz below or above the UE receive band at which the relative throughput shall meet or exceed the minimum requirement for the specified measurement channels.

For category NB1 and NB2 UE, the requirements in clause 7.6.1.1F of TS 36.101 [7] shall apply.

### 7.6B.3 Out-of-band blocking requirements for category NB1 and NB2

For the first 15 MHz below or above the UE receive band the appropriate in-band blocking or adjacent channel selectivity in subclause 7.5B and subclause 7.6B.2 shall be applied.

The category NB1 and NB2 UE throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in TS 36.101 [7] Annexes A.3.2 with parameters specified in Table 7.6B.3-1.

For Table 7.6B.3-1 in frequency range 1, 2 and 3, up to 24 exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a 1MHz step size. For these exceptions the requirements of subclause 7.7B spurious response are applicable.

**Table 7.6B.3-1: Out-of-band blocking parameters for category NB1 and NB2 UE**

Operating Band	Parameter	Unit	Range 1	Range 2	Range 3
		$P_w$	dBm	REFSENS + 6 dB	
	$P_{\text{interferer}}$	dBm	-44	-30	-15 <sup>3</sup>

253, 254 <sup>5</sup> , 255	$F_{\text{interferer}}$ (CW)	MHz	$-60 < f - F_{\text{DL\_low}} < -15$ or $15 < f - F_{\text{DL\_high}} < 60$	$-85 < f - F_{\text{DL\_low}} \leq -60$ or $60 \leq f - F_{\text{DL\_high}} < 85$	$1 \leq f \leq F_{\text{DL\_low}} - 85$ or $F_{\text{DL\_high}} + 85 \leq f \leq 12750$
256	$F_{\text{interferer}}$ (CW)	MHz	$-100 < f - F_{\text{DL\_low}} < -15$ or $15 < f - F_{\text{DL\_high}} < 60$	$-145 < f - F_{\text{DL\_low}} \leq -100$ or $60 \leq f - F_{\text{DL\_high}} < 85$	$1 \leq f \leq F_{\text{DL\_low}} - 145$ or $F_{\text{DL\_high}} + 85 \leq f \leq 12750$
<p>NOTE 1: Void.</p> <p>NOTE 2: Void.</p> <p>NOTE 3: For operating bands which downlink band frequency range is between 1475.9 MHz &lt; f &lt; 2690 MHz the power level of the interferer (<math>P_{\text{Interferer}}</math>) for Range 3 shall be modified to: -20 dBm for the frequency range which is bounded by <math>F_{\text{DL\_low}} - 200</math> MHz of the lowest band that UE supports in frequency range 1475.9 MHz &lt; f &lt; 2690 MHz and <math>F_{\text{DL\_high}} + 200</math> MHz of the highest band that UE supports in frequency range 1475.9 MHz &lt; f &lt; 2690 MHz.”</p> <p>NOTE 4: The power level of the interferer (<math>P_{\text{Interferer}}</math>) for Range 3 shall be modified to -20 dBm for <math>F_{\text{Interferer}} &gt; 2800</math> MHz and <math>F_{\text{Interferer}} &lt; 4400</math> MHz.</p> <p>NOTE 5: The power level of the interferer (<math>P_{\text{Interferer}}</math>) for Range 3 shall be modified to -20 dBm for <math>F_{\text{Interferer}} &gt; 2585</math> MHz and <math>F_{\text{Interferer}} &lt; 2775</math> MHz.</p>					

## 7.6B.4 Narrow band blocking for category NB1 and NB2

For category NB1 and NB2 UE, this is not applicable.

## 7.7 Spurious response

This clause is reserved.

### 7.7A Spurious response for category M1

Spurious response is a measure of the receiver's ability to receive a wanted signal on its assigned channel frequency without exceeding a given degradation due to the presence of an unwanted CW interfering signal at any other frequency at which a response is obtained i.e. for which the out of band blocking limit as specified in subclause 7.6A.2 is not met.

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in TS 36.101 [7] Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNB Pattern OP.1 FDD for the DL-signal as described in TS 36.101 [7] Annex A.5.1.1) with parameters specified in Tables 7.7A-1 and 7.7A-2.

**Table 7.7A-1: Spurious response parameters**

Rx parameter	Units	Channel bandwidth
		1.4 MHz
Power in Transmission Bandwidth Configuration	dBm	REFSENS + channel bandwidth specific value below
		6
NOTE 1: The transmitter shall be set to 4dB below $P_{\text{CMAX\_L}}$ at the minimum uplink configuration specified in Table 7.3A-3 with $P_{\text{CMAX\_L}}$ as defined in subclause 6.2.5 of TS 36.101 [7].		
NOTE 2: Reference measurement channel is specified in TS 36.101 [7] Annex A.3.2 with one sided dynamic OCNB Pattern OP.1 FDD as described in Annex A.5.1.1 of TS 36.101 [7].		
NOTE 3: The REFSENS power level is specified in Table 7.3A-1.		

**Table 7.7A-2: Spurious response**

Parameter	Unit	Level
$P_{\text{Interferer}}$ (CW)	dBm	-44
$F_{\text{Interferer}}$	MHz	Spurious response frequencies

## 7.7B Spurious response for category NB1 and NB2

Spurious response is a measure of the receiver's ability to receive a wanted signal on its assigned channel frequency without exceeding a given degradation due to the presence of an unwanted CW interfering signal at any other frequency at which a response is obtained i.e. for which the out of band blocking limit as specified in subclause 7.6B.3 is not met.

For category NB1 and NB2 UE, the minimum requirements in clause 7.7.1F of TS 36.101 [7] shall apply.

## 7.8 Intermodulation characteristics

Intermodulation response rejection is a measure of the capability of the receiver to receive a wanted signal on its assigned channel frequency in the presence of two or more interfering signals which have a specific frequency relationship to the wanted signal.

### 7.8A Intermodulation characteristics for category M1

The definition in clause 7.8 shall apply. The wide band intermodulation requirement is defined following the same principles using modulated E-UTRA carrier and CW signal as interferer.

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in TS 36.101 [7] Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in TS 36.101 [7] Annex A.5.1.1) with parameters specified in Table 7.8A.1 for the specified wanted signal mean power in the presence of two interfering signals.

**Table 7.8A-1: Wide band intermodulation**

Rx Parameter	Units	Channel bandwidth
		1.4 MHz
Power in Transmission Bandwidth Configuration	dBm	REFSENS + channel bandwidth specific value below 12
$P_{\text{Interferer 1 (CW)}}$	dBm	-46
$P_{\text{Interferer 2 (Modulated)}}$	dBm	-46
$BW_{\text{Interferer 2}}$		1.4
$F_{\text{Interferer 1 (Offset)}}$	MHz	$-BW/2 - 2.1$ / $+BW/2 + 2.1$
$F_{\text{Interferer 2 (Offset)}}$	MHz	$2 * F_{\text{Interferer 1}}$
NOTE 1: The transmitter shall be set to 4dB below $P_{\text{CMAX\_L}}$ at the minimum uplink configuration specified in Table 7.3A-3 with $P_{\text{CMAX\_L}}$ as defined in subclause 6.2.5 of TS 36.101 [7].		
NOTE 2: Reference measurement channel is specified in TS 36.101 [7] Annex A.3.2 with one sided dynamic OCNG Pattern OP.1 FDD as described in Annex A.5.1.1 of TS 36.101 [7].		
NOTE 3: The modulated interferer consists of the Reference measurement channel specified in TS 36.101 [7] Annex A.3.2 with one sided dynamic OCNG Pattern OP.1 FDD as described in Annex A.5.1.1 with set-up according to Annex C.3.1.		
NOTE 4: For DL category M1 UE, the reference sensitivity for category M1 in table 7.3A-1 should be used as REFSENS for the power in Transmission Bandwidth Configuration.		
NOTE 5: For DL category M1 UE, the parameters for the applicable channel bandwidth apply, and BW refers to the corresponding channel bandwidth.		

### 7.8B Intermodulation characteristics for category NB1 and NB2

For category NB1 and NB2 UE, the definition in clause 7.8 and the requirements in clause 7.8.1F of TS 36.101 [7] shall apply.

## 7.9 Spurious emissions

The spurious emissions power is the power of emissions generated or amplified in a receiver that appear at the UE antenna connector.

The spurious emissions requirements in clause 7.9.1 of TS 36.101 [7] shall apply.

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## 8 Performance requirement

This clause contains performance requirements for the physical channels specified in TS 36.211 [3]. The performance requirements for the UE in this clause are specified for the measurement channels specified in Annex A, the propagation conditions in Annex D and the downlink channels in Annex B.

### 8.1 General

#### 8.1.1 Receiver antenna capability

The performance requirements are based on UE(s) that utilize one or more antenna receivers.

For all test cases, the SNR is defined as

$$SNR = \frac{\sum_{j=1}^{N_{RX}} \hat{E}_s^{(j)}}{\sum_{j=1}^{N_{RX}} N_{oc}^{(j)}}$$

where  $N_{RX}$  denotes the number of receiver antenna connectors and the superscript receiver antenna connector  $j$ . The above SNR definition assumes that the REs are not precoded. The SNR definition does not account for any gain which can be associated to the precoding operation. The relative power of physical channels transmitted is defined in Annex C. The SNR requirement applies for the UE categories given for each test.

#### 8.1.2 Applicability of requirements

##### 8.1.2.1 Applicability of requirements for different channel bandwidths

In Clause 8 the test cases may be defined with different channel bandwidth to verify the same target FRC conditions with the same propagation conditions, correlation matrix and antenna configuration.

##### 8.1.2.2 Applicability of requirements for optional UE features

The performance requirements in Table 8.1.2.2-1 shall apply for UEs which support optional UE features only. If same test is listed for different UE features/capabilities in Clauses 8.1.2.2, then this test shall apply for UEs which support all corresponding UE features/capabilities.

For UEs supporting NTN access (*ntn-Connectivity-EPC-r17*), the requirements in TS36.101 [7] Clause 8 also apply with NTN configurations, e.g., including Ephemeris, K\_offset and NTN bands, according to the UE category and capability, as summarized in Table 8.1.2.2-2.

**Table 8.1.2.2-1: Requirements applicability for optional UE features**

UE feature/capability	Test list	Applicability notes
NTN access (ntn-Connectivity-EPC-r17)	Clause 8.2.1.1 (Test 1, Test 2, Test 3)	The requirements apply only for UE Category M1
	Clause 8.3.1.1 (Test 1, Test 2)	The requirements apply only for UE Category NB1, NB2
NTN scenario support (ntn-ScenarioSupport-r17)	Clause 8.2.1.1 (Test 1, Test 2, Test 3)	The requirements apply only for UE Category M1, and only when ntn-ScenarioSupport-r17 is "ngso" or is not included
	Clause 8.3.1.1 (Test 1, Test 2)	The requirements apply only for UE Category NB1, NB2, and only when ntn-ScenarioSupport-r17 is "ngso" or is not included
Operation in coverage enhancement mode A (ce-ModeA-r13)	Clause 8.2.1.1 (Test 1, Test 2)	The requirements apply only for UE Category M1
Operation in coverage enhancement mode B (ce-ModeB-r13)	Clause 8.2.1.1 (Test 3)	The requirements apply only for UE Category M1
Note:	Void	

**Table 8.1.2.2-2: Requirements applicability combinations of TS 36.101 and TS 36.102**

ntn-ScenarioSupport-r17	Supported bands	
	Both TN and NTN bands	Only NTN bands
gso (GSO only)	TS 36.101 Clause 8 & 9 (with TN configurations)	TS 36.101 Clause 8 (with NTN GSO configurations)
ngso (NGSO only)	TS 36.101 Clause 8 & 9 (with TN configurations) TS 36.102 Clause 8 (with NTN NGSO configurations)	TS 36.101 Clause 8 (with NTN NGSO configurations) TS 36.102 Clause 8 (with NTN NGSO configurations)
not included (Both GSO and NGSO)	TS 36.101 Clause 8 & 9 (with TN configurations) TS 36.102 Clause 8 (with NTN NGSO configurations)	TS 36.101 Clause 8 (with NTN GSO configurations) TS 36.102 Clause 8 (with NTN NGSO configurations)

### 8.1.3 UE category and UE DL category

UE category and UE DL category refer to *ue-Category*, *ue-CategoryDL*, and *ue-Category-NB* define in 4.1, 4.1A and 4.1C from [11]. A UE that belongs to either a UE category or a UE DL category indicated in UE performance requirements in subclause 8 shall fulfil the corresponding requirements.

## 8.2 Demodulation performance requirements for UE category M1

The requirements for UE DL Category M1 in this sub-clause are defined based on the simulation results with UE DL Category M1 unless otherwise stated.

### 8.2.1 FDD and half-duplex FDD

#### 8.2.1.1 PDSCH

The parameters specified in Table 8.2.1.1-1 are valid for FDD and half-duplex FDD tests unless otherwise stated.

**Table 8.2.1.1-1: Common Test Parameters (FDD and half-duplex FDD)**

Parameter	Unit	CE Mode A	CE Mode B
Inter-TTI Distance		1	1
Number of HARQ processes per component carrier	Processes	8	2
Maximum number of HARQ transmission		4	4
Redundancy version coding sequence $r_{Vidx}$ (Note 1)		{0, 2, 3, 1} for QPSK and 16QAM	{0,0,0,0,2,2,2,2,3,3,3,3,1,1,1,1 ...} for QPSK
Cyclic Prefix		Normal	Normal
Beamforming Precoder for MPDCCH		N/A	N/A
BL/CE DL subframe configuration (fdd-DownlinkOrTddSubframeBitmapBR)		1111111111	1111111111
HARQ bundling(ce-HARQ-AckBundling)		Disabled	Disabled
$K_{offset}$ (k-Offset)	ms	8	8
Note 1: $r_{Vidx}$ is defined in TS 36.213 [12] Table 7.1.7.1-2.			

### 8.2.1.1.1 Single-antenna port performance

#### 8.2.1.1.1.1 Minimum Requirements

The requirements are specified in Table 8.2.1.1.1-2, with the addition of the parameters in Table 8.2.1.1.1-1, and the downlink physical channel setup according to Annex B.3.2. The purpose is to verify the performance of single antenna port configuration.

Table 8.2.1.1.1-1: Test Parameters for single antenna port (FRC)

Parameter	Unit	Test 1	Test 2	Test 3
Downlink power allocation	$\rho_A$	-3	-3	-3
	$\rho_B$	-3 (Note 1)	-3 (Note 1)	-3 (Note 1)
	$\sigma$	0	0	0
	$\delta$	3	3	3
$N_{oc}$ at antenna port	dBm/15kHz	-98	-98	-98
Coverage enhancement mode		CE Mode A	CE Mode A	CE Mode B
PDSCH transmission mode		1	1	1
OFDM starting symbol (startSymbolBR)		2	2	2
Maximum number of repetitions (for PDSCH ( <i>pdsch-maxNumRepetitionCEmodeA/ pdsch-maxNumRepetitionCEmodeB</i> ))		Not configured	Not configured	Not configured
PDSCH repetition number		1	8	64
Frequency hopping (mpdcch-pdsch-HoppingConfig)		Disabled	Disabled	Disabled
Frequency hopping offset (mpdcch-pdsch-HoppingOffset)		N/A	N/A	N/A
Frequency hopping interval (interval-FDD)	ms	N/A	N/A	N/A
MPDCCH transmission duration (mpdcch-NumRepetition)	ms	1	8	64
MPDCCH repetition number		1	8	64
Number of narrowbands for frequency hopping (mpdcch-pdsch-HoppingNB)		N/A	N/A	N/A
Starting subframe configuration for MPDCCH (mpdcch_startSF_U ESS)		1	4	2.5
Narrowband for MPDCCH (mpdcch_Narrowband)		0	0	0
MPDCCH aggregation level		8	24	24
Note 1: $P_B = 1$ . Note 2: For each test, DC subcarrier puncturing shall be considered. Note 3: If not otherwise stated, the values in this table refer to parameters in TS 36.211 [3] or/and TS 36.213 [12] as appropriate.				

Table 8.2.1.1.1-2: Minimum performance for single antenna port (FRC)

Test number	Bandwidth and MCS	Reference Channel	OCNG Pattern	Propagation Condition	Correlation Matrix and Antenna Configuration	Reference value		UE Category
						Fraction of Maximum Throughput (%)	SNR (dB)	
1	1.4MHz 16QAM 1/2	R.1 FDD	OP.1 FDD	NTN- TDLC5-30	1x1	70	10.4	M1
2	1.4MHz QPSK 1/3	R.2 FDD	OP.1 FDD	NTN- TDLA100- 200	1x1	70	-4.2	M1
3	1.4MHz QPSK 1/10	R.3 FDD	OP.1 FDD	NTN- TDLA100-10	1x1	70	-11.5	M1

## 8.3 Demodulation performance requirements for UE category NB1 and NB2

### 8.3.1 Half-duplex FDD

#### 8.3.1.1 NPDSCH demodulation requirements

The parameters specified in Table 8.3.1.1-1 and Table 8.3.1.1-2 are valid for all half-duplex FDD tests unless otherwise stated.

**Table 8.3.1.1-1: Common Test Parameters**

Parameter	Unit	Value
Number of HARQ processes per component carrier	Processes	1
Maximum number of HARQ transmission		4
Cyclic Prefix		Normal
extraControlRegionSize-r13		N/A
downlinkBitmap-r13 and dl-Gap-r13		Not configured
dl-GapNonAnchor-r13 and downlinkBitmapNonAnchor-r13		Not configured
Unused REs or RB		OCNG
OCNG pattern		NB.OP.1

**Table 8.3.1.1-2: Test Parameters of related NPDCCH and NPUSCH format 2 configurations**

Parameter	Unit	Value
DCI format		DCI format N1
scheduling delay field ( $I_{\text{Delay}}$ )		1
$N_{\text{Rep}}^{\text{AN}}$ (ack-NACK- NumRepetitions-r13)		1
ACK/NACK resource field		0
Reference channel for NPDCCH		R.NB.3 FDD
$\alpha_{\text{offset}}$ (npdcch-Offset- USS-r13)		0
K_offset	ms	8

#### 8.3.1.1.1 Single-antenna port performance

##### 8.3.1.1.1.1 Minimum Requirements for standalone operation and in-band operation in NR carrier

The requirements are specified in Table 8.3.1.1.1-2, with the addition of the parameters in Table 8.3.1.1.1-1 and the downlink physical channel setup according to Annex B.3.3. The purpose of these tests is to verify the performance.

Note: For the in-band requirement these apply to cases where there is no CRS and no control region under in-band operation.

**Table 8.3.1.1.1-1: Test Parameters for NPDSCH under Standalone and In-band Operations**

Parameter	Unit		Test 1, 2
$N_{oc}$ at antenna port	$N_{oc1}$	dBm/15kHz	-93 (Note 1)
	$N_{oc2}$	dBm/15kHz	-99 (Note 2)
NPDCCH repetition number	subframe		32 for Test 1; 128 for Test 2.
$R_{max}$ ( <i>npdcch-NumRepetitions-r13</i> )	subframe		64 for Test 1; 256 for Test 2.
$G$ ( <i>npdcch-startSF-USS-r13</i> )			1.5
Note 1: This noise is applied to all subframes from the end of the NPDCCH to the end of the following NPDSCH transmission.			
Note 2: This noise is applied to all subframes from the end of the NPDSCH to the end of the following NPDCCH transmission.			

**Table 8.3.1.1.1-2: Minimum performance for NPDSCH under Standalone Operations and In-band Operations with 1 NRS port**

Test number	Bandwidth	Carrier Type	Reference Channel	Repetition number	Propagation condition	Number of NRS ports	Antenna Configuration	Reference value		UE Category
								Fraction of Maximum Throughput (%)	SNR (dB)	
1	200kHz	Anchor	R.NB.1 FDD	32	NTN-TDLC5-200	1	1x1	70%	-4.7	NB1, NB2
2	200kHz	Non-anchor	R.NB.2 FDD	128	NTN-TDLA100-10	1	1x1	70%	-10.6	NB1, NB2

## Annex A (normative): Measurement channels

### A.1 DL reference measurement channels

#### A.1.1 Reference measurement channels for NPDSCH performance requirements

##### A.1.1.1 Standalone operation and in-band operation in NR carrier

**Table A.1.1.1-1: NPDSCH Reference Channel with 1Tx Antenna for UE Category NB1 and NB2 for FDD**

Parameter	Unit	Value	Value
Reference channel		R.NB.1 FDD	R.NB.2 FDD
Carrier Type		Anchor	Non-anchor
Channel bandwidth	KHz	200	200
Allocated subframes per Radio Frame		Note 1	Note 1
Modulation		QPSK	QPSK
$l_{\text{TBS}}/l_{\text{SF}}$		9/3	6/3
Target Coding Rate		1/2	1/3
Coding Rate		0.5	0.33
Information Bit Payload			
For Sub-Frames 1,2,3,6,7,8	Bits	616	392
For Sub-Frame 0,5	Bits	N/A	392
For Sub-Frame 4,9	Bits	Note 2	392
Number of Code Blocks			
For Sub-Frames 1,2,3,6,7,8		1	1
For Sub-Frame 0,5	Bits	N/A	1
For Sub-Frame 4,9	Bits	Note 3	1
Binary Channel Bits			
For Sub-Frames 1,2,3,6,7,8	Bits	320	320
For Sub-Frame 0,5	Bits	N/A	320
For Sub-Frame 4,9	Bits	Note 4	320
Max. Average Throughput	Bps	Note 5	Note 5
UE Category		NB1,NB2	NB1,NB2
<p>Note 1: It shall depend on the specific NPDSCH scheduling. Note 2: N/A when <math>n_f \bmod 2 = 0</math>, otherwise 616.</p> <p>Note 3: N/A when <math>n_f \bmod 2 = 0</math>, otherwise 1.</p> <p>Note 4: N/A when <math>n_f \bmod 2 = 0</math>, otherwise 320.</p> <p>Note 5: Maximum Average Throughput equals to sum of TB(i) divided by sum of T(i), where TB(i) is the TB size of NPDSCH over <math>i^{\text{th}}</math> NPDSCH scheduling period, and T(i) is the total time consisting of NPDCCH transmission duration, NPDCCH to NPDSCH scheduling delay, NPDSCH transmission duration, NPDSCH to NPUSCH format 2 scheduling delay, NPUSCH format 2 transmission duration, possible delay between NPUSCH format 2 and NPDCCH for next NPDSCH scheduling and subframes used for NPSS/NSSS/NPBCH/NB-SIB1/NB-SIB2 transmission during the <math>i^{\text{th}}</math> NPDSCH scheduling period.</p>			

**Table A.1.1.1-2: NPDCCH Reference Channel for Category NB1 and NB2 UE**

Parameter	Unit	Value
Reference channel		R.NB.3 FDD
Number of NRS ports		1
Channel bandwidth	MHz	0.2
Aggregation level	NCCE	2
DCI Format		N1
Payload (without CRC)	Bits	23

## A.1.2 Reference measurement channels for PDSCH performance requirements

### A.1.2.1 Single-antenna transmission (Common Reference Symbols)

**Table A.1.2.1-1: Fixed Reference Channel Single Antenna Port**

Parameter	Unit	Value		
		R.1 FDD	R.2 FDD	R.3 FDD
Reference channel		R.1 FDD	R.2 FDD	R.3 FDD
Channel bandwidth	MHz	1.4	1.4	1.4
Allocated resource blocks		Note3	6	6
Allocated DL subframes per Radio Frame		Note 4	Note 5	Note 6
Modulation		16QAM	QPSK	QPSK
Target Coding Rate		1/2	1/3	1/10
Information Bit Payload				
For Sub-Frames 0,1,2,3,4,5,6,7,8,9	Bits	744	504	152
Number of Code Blocks				
For Sub-Frames 0,1,2,3,4,5,6,7,8,9	Code blocks	1	1	1
Binary Channel Bits				
For Sub-Frames 0,1,2,3,4,5,6,7,8,9	Bits	1656 (Note 7, 8)	1656 (Note 7, 8)	1656 (Note 7, 8)
Max. Throughput averaged over one period	Kbps	149	15.75	0.950
UE DL Category		M1	M1	M1
Note 1:	Void.			
Note 2:	Reference signal, synchronization signals and PBCH are allocated as per TS 36.211 [3].			
Note 3:	Allocated PRB positions for PDSCH are {3, 4, 5}.			
Note 4:	The downlink subframes are scheduled at the 8 <sup>th</sup> and 9 <sup>th</sup> subframes every 10ms (starting from 0 <sup>th</sup> subframe). Information bit payload is available from the 8 <sup>th</sup> to 9 <sup>th</sup> subframes. The corresponding MPDCCH is scheduled 2 subframes before the corresponding PDSCH transmissions.			
Note 5:	PDSCH subframes are scheduled at the 10 <sup>th</sup> to 17 <sup>th</sup> subframes every period (32ms). Information bit payload is available from the 10 <sup>th</sup> to 17 <sup>th</sup> subframes with repetition. (Starting from the 0 <sup>th</sup> subframe). The corresponding MPDCCH is scheduled from 1 <sup>st</sup> to 8 <sup>th</sup> subframe every 32ms (starting from 0 <sup>th</sup> subframe).			
Note 6:	PDSCH subframes are scheduled at the 96 <sup>th</sup> to 159 <sup>th</sup> subframes every period (160ms). Information bit payload is available at the 96 <sup>th</sup> to 159 <sup>th</sup> subframes with repetition. (Starting from the 0 <sup>th</sup> subframe) The corresponding MPDCCH is scheduled from 31 <sup>st</sup> to 94 <sup>th</sup> subframe every 160ms (starting from 0 <sup>th</sup> subframe).			
Note 7:	MPDCCH, and PDSCH are dropped when overlapped with SIB1-BR, or SIB2 or SIB3.			
Note 8:	MPDCCH, and PDSCH are punctured in overlapping Resource Elements (RE)s with PSS/SSS/PBCH.			

## A.2 OFDMA Channel Noise Generator (OCNG)

### A.2.1 OCNG Patterns for Narrowband IoT

The following OCNG patterns are used for modelling allocations to virtual narrowband IoT UEs (which are not under test). The OCNG pattern for each sub frame specifies the allocations that shall be filled with OCNG, and furthermore, the relative power level of each such allocation.

In each test case the OCNG is expressed by parameters OCNG\_RA and OCNG\_RB which together with a relative power level ( $\gamma$ ) specifies the NPDSCH EPRE-to-NRS EPRE ratios in OFDM symbols with and without Narrowband reference symbols, respectively. The relative power, which is used for modelling boosting per virtual UE allocation, is expressed by:

$$\gamma_i = \text{NPDSCH}_i\_RA / \text{OCNG\_RA} = \text{NPDSCH}_i\_RB / \text{OCNG\_RB},$$

where  $\gamma_i$  denotes the relative power level of the  $i$ :th virtual UE. The parameter settings of OCNG\_RA, OCNG\_RB and the set of relative power levels are chosen such that when also taking allocations to the UE under test into account, as given by a NPDSCH or NPDCCH reference channel, a transmitted power spectral density that is constant on an OFDM symbol basis is targeted.

### A.2.1.1 Narrowband IoT OCNG pattern 1

Table A.2.1.1-1: NB.OP.1 FDD: OCNG FDD Pattern 1 Bandwidth	Relative power level $\gamma$ [dB]		NPDCCH and corresponding NPDSCH Data
	Subframe		
	Unused subframes		
200KHz	0		Note 2
Note 1:	These subframes are assigned to an arbitrary number of virtual UEs with one NPDSCH per virtual UE with corresponding NPDCCH; the data transmitted over the OCNG NPDSCHs shall be uncorrelated pseudo random data, which is QPSK modulated. The parameter $\gamma$ is used to scale the power of NPDSCH and NPDCCH.		
Note 2:	Subframes and/or REs available for narrowband IOT DL transmission depend on the in-band, guard band or standalone mode indicated in MIB, and scheduling delay between NPDCCH, NPDSCH, NPUSCH format 2 and NPDCCH specified in test cases.		

## A.2.2 OCNG Patterns for FDD

The following OCNG patterns are used for modelling allocations to virtual UEs (which are not under test) and/or allocations used for MBSFN. The OCNG pattern for each sub frame specifies the allocations that shall be filled with OCNG, and furthermore, the relative power level of each such allocation.

In each test case the OCNG is expressed by parameters OCNG\_RA and OCNG\_RB which together with a relative power level ( $\gamma$ ) specifies the PDSCH EPRE-to-RS EPRE ratios in OFDM symbols with and without reference symbols, respectively. The relative power, which is used for modelling boosting per virtual UE allocation, is expressed by:

$$\gamma_i = \text{NPDSCH}_i\_RA / \text{OCNG\_RA} = \text{NPDSCH}_i\_RB / \text{OCNG\_RB},$$

where  $\gamma_i$  denotes the relative power level of the  $i$ :th virtual UE. The parameter settings of OCNG\_RA, OCNG\_RB and the set of relative power levels are chosen such that when also taking allocations to the UE under test into account, as given by a NPDSCH or NPDCCH reference channel, a transmitted power spectral density that is constant on an OFDM symbol basis is targeted.

Moreover, the OCNG pattern is accompanied by a PCFICH/PDCCH/PHICH reference channel which specifies the control region. For any aggregation and PHICH allocation, the PDCCH and any unused PHICH groups are padded with resource element groups with a power level given respectively by PDCCH\_RA/RB and PHICH\_RA/RB as specified in the test case such that a total power spectral density in the control region that is constant on an OFDM symbol basis is targeted.

### A.2.2.1 OCNG FDD Pattern 1: Two sided dynamic OCNG FDD pattern

This OCNG Pattern fills with OCNG all empty PRB-s (PRB-s with no allocation of data or system information) of the DL sub-frames, when the unallocated area is discontinuous in frequency domain (divided in two parts by the allocated area – two sided), starts with PRB 0 and ends with PRB  $N_{RB} - 1$ .

**Table A.2.2.1-1: OP.1 FDD: Two sided dynamic OCNG FDD Pattern**

Relative power level $\gamma_{PRB}$ [dB]			PDSCH Data
Subframe			
0	5	1 – 4, 6 – 9	
Allocation			
0 – (First allocated PRB-1) and (Last allocated PRB+1) – ( $N_{RB} - 1$ )	0 – (First allocated PRB-1) and (Last allocated PRB+1) – ( $N_{RB} - 1$ )	0 – (First allocated PRB-1) and (Last allocated PRB+1) – ( $N_{RB} - 1$ )	
0	0	0	Note 1
Note 1: These physical resource blocks are assigned to an arbitrary number of virtual UEs with one PDSCH per virtual UE; the data transmitted over the OCNG PDSCHs shall be uncorrelated pseudo random data, which is QPSK modulated. The parameter $\gamma_{PRB}$ is used to scale the power of PDSCH.			

## A.3 Testing related to Satellite Access

### A.3.1 General

The following test conditions should be maintained for Satellite Access

- The same ephemeris info will be maintained during each test.
- A set of ephemeris information are pre-defined for each satellite corresponding to respective epoch times in TS 36.508 [14].
- The range of the selected constant delay shift is as follows:
  - For NGSO an altitude of 600km and 1200km on a circular orbit are considered. The range of the one-way delay between UE and satellite is from 2ms (lowest value for LEO orbit 600km) to 6.67ms (highest value for LEO orbit 1200km).
- Constant delay value is derived from ephemeris info (SIB31) and UE location associated to zero Doppler or non-zero Doppler value under test.

### A.3.2 Test condition for transmitter characteristics

All requirements in section 6 for transmitter characteristics, other than frequency error in clauses 6.4A.1 and 6.4B.1, shall be verified when Doppler conditions are set to zero and delay conditions are set to constant for all types of satellites.

Frequency error requirement in clauses 6.4A.1 and 6.4B.1 shall be verified for at least two cases: one with zero Doppler condition and the other one with constant Doppler (different from zero) up to 0.93 ppm for GSO satellites and up to 24 ppm for NGSO satellites.

### A.3.3 Test condition for receiver characteristics

All requirements in section 7 for receiver characteristics shall be verified when Doppler conditions related to satellite motion for DL in service link are set to zero and delay conditions are set to constant for all types of satellites.

## A.3.4 Test condition for performance requirements

All requirements in section 8 for performance requirements shall be verified when Doppler conditions related to satellite motion for DL in service link are set to zero and delay conditions are set to constant for all types of NGSO satellites. The one-way delay between UE and satellite for NGSO at an altitude of 600km is 2ms.

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## A.4 UL reference measurement channels

### A.4.1 General

The measurement channels in the following subclauses are defined to derive the requirements in clause 6 (Transmitter Characteristics) and clause 7 (Receiver Characteristics). The measurement channels represent example configurations of physical channels for different data rates.

#### A.4.1.1 Applicability and common parameters

The UL reference measurement channels comprise transmission of PUSCH and Demodulation Reference signals only.

The following conditions apply:

- 1 HARQ transmission
- Cyclic Prefix normal
- PUSCH hopping off
- Link adaptation off
- Demodulation Reference signal as per TS 36.211 [3] subclause 5.5.2.1.2.

Where ACK/NACK is transmitted, it is assumed to be multiplexed on PUSCH as per TS 36.212 [15] subclause 5.2.2.6.

- ACK/NACK 1 bit
- ACK/NACK mapping adjacent to Demodulation Reference symbol
- ACK/NACK resources punctured into data
- Max number of resources for ACK/NACK: 4 SC-FDMA symbols per subframe
- No CQI transmitted, no RI transmitted

#### A.4.1.2 Determination of payload size

The algorithm for determining the payload size  $A$  is as follows; given a desired coding rate  $R$  and radio block allocation  $N_{RB}$ :

1. Calculate the number of channel bits  $N_{ch}$  that can be transmitted during the first transmission of a given sub-frame.
2. Find  $A$  such that the resulting coding rate is as close to  $R$  as possible, that is,

$$\min |R - (A + 24 * (N_{CB} + 1)) / N_{ch}|, \text{ where } N_{CB} = \begin{cases} 0, & \text{if } C = 1 \\ C, & \text{if } C > 1 \end{cases},$$

subject to

- a)  $A$  is a valid TB size according to clause 7.1.7 of TS 36.213 [12] assuming an allocation of  $N_{RB}$  resource blocks.
  - b)  $C$  is the number of Code Blocks calculated according to section 5.1.2 of TS 36.212 [15].
  - c) For RMC-s, which at the nominal target coding rate do not cover all the possible UE categories for the given modulation, reduce the target coding rate gradually (within the same modulation), until the maximal possible number of UE categories is covered.
3. If there is more than one  $A$  that minimises the equation above, then the larger value is chosen per default and the chosen code rate should not exceed 0.93.

### A.4.1.3 Overview of UL reference measurement channels

In Table A.4.1.3-1 to A.4.1.3-2 are listed the UL reference measurement channels specified in Annexes A.4.2 and A.4.3 of this release of TS 36.102. This table is informative and serves only to a better overview. The reference for the concrete reference measurement channels and corresponding implementation's parameters as to be used for testing are annexes A.4.2 and A.4.3 as appropriate.

**Table A.4.1.3-1: Overview of UL reference measurement channels**

Duplex	Table	Name	B W	Mod	TCR	RB	RB Off set	UE Cat eg	Notes
<b>FDD, Full RB allocation, QPSK</b>									
FDD / HD-FDD	Table A.4.2.1.1-1		1.4	QPSK	1/3	6		M1	
<b>FDD, Full RB allocation, 16-QAM</b>									
FDD / HD-FDD	Table A.4.2.1.2-1		1.4	16QAM	1/3	6		M1	
<b>FDD, Partial RB allocation, QPSK</b>									
FDD / HD-FDD	Table A.4.2.2.1-1		1.4	QPSK	1/3	1		M1	
FDD / HD-FDD	Table A.4.2.2.1-1		1.4	QPSK	1/3	2		M1	
FDD / HD-FDD	Table A.4.2.2.1-1		1.4	QPSK	1/3	3		M1	
FDD / HD-FDD	Table A.4.2.2.1-1		1.4	QPSK	1/3	4		M1	
FDD / HD-FDD	Table A.4.2.2.1-1		1.4	QPSK	1/3	5		M1	
<b>FDD, Partial RB allocation, 16-QAM</b>									
FDD / HD-FDD	Table A.4.2.2.2-1		1.4	16QAM	1/2	1		M1	
FDD / HD-FDD	Table A.4.2.2.2-1		1.4	16QAM	1/2	2		M1	
FDD / HD-FDD	Table A.4.2.2.2-1		1.4	16QAM	1/2	3		M1	
FDD / HD-FDD	Table A.4.2.2.2-1		1.4	16QAM	2/5	4		M1	
<b>FDD, SubPRB allocation</b>									
FDD / HD-FDD	Table A.4.2.3-1		1.4	$\pi/2$ BPSK	1/3	1		M1	2 out of 3 subcarriers
FDD / HD-FDD	Table A.4.2.3-1		1.4	QPSK	1/3	1		M1	3 subcarriers
FDD / HD-FDD	Table A.4.2.3-1		1.4	QPSK	1/3	1		M1	6 subcarriers

Table A.4.1.3-2: Overview of UL reference measurement channels (HD-FDD, NB-IoT)

Duplex	Table	Name	BW	Mod	TCR	RB	RB Off set	UE Cat eg	Notes
HD-FDD	Table A.4.3-1		0.2	$\pi/2$ BPSK	1/3	1		NB1	
HD-FDD	Table A.4.3-1		0.2	$\pi/4$ QPSK	1/3	1		NB1	
HD-FDD	Table A.4.3-1		0.2	$\pi/2$ BPSK	1/3	1		NB1	
HD-FDD	Table A.4.3-1		0.2	$\pi/4$ QPSK	1/3	1		NB1	
HD-FDD	Table A.4.3-1		0.2	QPSK	1/3	1		NB1	
HD-FDD	Table A.4.3-1		0.2	QPSK	1/3	1		NB1	
HD-FDD	Table A.4.3-1		0.2	QPSK	1/3	1		NB1	

## A.4.2 Reference measurement channels for FDD

### A.4.2.1 Full RB allocation

#### A.4.2.1.1 QPSK

Table A.4.2.1.1-1: Reference Channels for QPSK with full/maximum RB allocation for UE category M1

Parameter	Unit	Value
Channel bandwidth	MHz	1.4
Allocated resource blocks		6
DFT-OFDM Symbols per Sub-Frame		12
Modulation		QPSK
Target Coding rate		1/3
Payload size	Bits	600
Transport block CRC	Bits	24
Number of code blocks per Sub-Frame		1
Total number of bits per Sub-Frame	Bits	1728
Total symbols per Sub-Frame		864
UE Category		M1
<p>NOTE 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)</p> <p>NOTE 2: For HD-FDD UE, the uplink subframes are scheduled at the 4th, 5th and 6th subframes every 10ms for the channel bandwidth 5MHz/10MHz/15MHz/20MHz. For HD-FDD UE, the uplink subframes are scheduled at the 5th, 6th, and 7th subframes every 10ms for the channel bandwidth 1.4MHz/3MHz. Information bit payload is available if uplink subframe is scheduled. <math>N_{abs}^{PUSCH}</math> is total number of absolute subframes a PUSCH with repetition spans [4].</p> <p>NOTE 3: For HD-FDD UE with <math>N_{abs}^{PUSCH} &gt; 1</math>, MPDCCH are scheduled at 0th DL subframe every <math>N_{abs}^{PUSCH} + 5</math> subframes (starting from the 0th subframe). The associated PUSCH is scheduled at the 4th to (<math>N_{abs}^{PUSCH} + 3</math>)-th UL subframes every <math>N_{abs}^{PUSCH} + 5</math> subframes. Information bit payload is available if uplink subframe is scheduled.</p>		

## A.4.2.1.2 16-QAM

Table A.4.2.1.2-1: Reference Channels for 16-QAM with maximum RB allocation for UE category M1

Parameter	Unit	Value
Channel bandwidth	MHz	1.4
Allocated resource blocks		6
DFT-OFDM Symbols per Sub-Frame		12
Modulation		16QAM
Target Coding rate		1/3
Payload size	Bits	872
Transport block CRC	Bits	24
Number of code blocks per Sub-Frame		1
Total number of bits per Sub-Frame	Bits	2880
Total symbols per Sub-Frame		720
UE Category		M1
NOTE 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)		
NOTE 2: For HD-FDD UE, the uplink subframes are scheduled at the 5th, 6th, and 7th subframes every 10ms for the channel bandwidth 1.4MHz. Information bit payload is available if uplink subframe is scheduled.		

## A.4.2.2 Partial RB allocation

For each channel bandwidth, various partial RB allocations are specified. The number of allocated RBs is chosen according to values specified in the Tx and Rx requirements. The single allocated RB case is included.

The allocated RBs are contiguous and start from one end of the channel bandwidth. A single allocated RB is at one end of the channel bandwidth.

## A.4.2.2.1 QPSK

Table A.4.2.2.1-1: Reference Channels for QK with partial RB allocation for UE category M1

Parameter	Ch BW	Allocated RBs	DFT-OFDM Symbols per Sub-Frame	Mod'n	Target Coding rate	Payload size	Transport block CRC	Number of code blocks per Sub-Frame (Note 1)	Total number of bits per Sub-Frame	Total symbols per Sub-Frame	UE Category
Unit	MHz					Bits	Bits		Bits		
	1.4	1	12	QPSK	1/3	72	24	1	288	144	M1
	1.4	2	12	QPSK	1/3	176	24	1	576	288	M1
	1.4	3	12	QPSK	1/3	256	24	1	864	432	M1
	1.4	4	12	QPSK	1/3	392	24	1	1152	576	M1
	1.4	5	12	QPSK	1/3	424	24	1	1440	720	M1
Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)											
Note 2: For HD-FDD UE, the uplink subframes are scheduled at the 5th, 6th, and 7th subframes every 10ms for the channel bandwidth 1.4MHz/3MHz. Information bit payload is available if uplink subframe is scheduled.											

## A.4.2.2.2 16-QAM

Table A.4.2.2-1: Reference Channels for 16-QAM with partial RB allocation for UE category M1

Parameter	Ch BW	Allocated RBs	DFT-OFDM Symbols per Sub-Frame	Mod'n	Target Coding rate	Payload size	Transport block CRC	Number of code blocks per Sub-Frame (Note 1)	Total number of bits per Sub-Frame	Total symbols per Sub-Frame	UE Category
Unit	MHz					Bits	Bits		Bits		
	1.4	1	12	16QAM	1/2	256	24	1	576	144	M1
	1.4	2	12	16QAM	1/2	552	24	1	1152	288	M1
	1.4	3	12	16QAM	1/2	840	24	1	1728	432	M1
	1.4	4	12	16QAM	2/5	904	24	1	2304	576	M1

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

Note 2: For HD-FDD UE, the uplink subframes are scheduled at the 5th, 6th, and 7th subframes every 10ms for the channel bandwidth 1.4MHz/3MHz. Information bit payload is available if uplink subframe is scheduled.

## A.4.2.3 subPRB allocation

The location of allocated RB for subPRB allocation is chosen according to values specified in the Tx requirements.

Table A.4.2.3-1: Reference Channels for SubPRB allocation

Parameter	Unit	Value		
Channel bandwidth	MHz	1.4	1.4	1.4
Allocated resource blocks		1	1	1
Number of subcarriers		2 out of 3	3	6
DFT-OFDM Symbols per Sub-Frame		12	12	12
Modulation		$\pi/2$ BPSK	QPSK	QPSK
Target Coding rate		1/3	1/3	1/3
Payload size	Bits	32	72	72
Transport block CRC	Bits	24	24	24
Number of code blocks		1	1	1
Total number of bits per resource unit	Bits	192	288	288
Total symbols per resource unit		192	144	144
Tx time	ms	8	4	2
UE UL Category		M1	M1	M1

NOTE 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.4.3 Reference measurement channels for category NB1

**Table A.4.3-1 Reference Channels for category NB1**

Parameter	Value						
	3.75	3.75	15	15	15	15	15
Sub-carrier spacing (kHz)	3.75	3.75	15	15	15	15	15
Number of tone	1	1	1	1	3	6	12
Modulation	$\pi/2$ BPSK	$\pi/4$ QPSK	$\pi/2$ BPSK	$\pi/4$ QPSK	QPSK	QPSK	QPSK
Number of NPUSCH repetition (NOTE 5)	1	1	1	1	1	1	1
IMCS / ITBS	0 / 0	3 / 3	0 / 0	3 / 3	5 / 5	5 / 5	5 / 5
Payload size (bits)	32	40	32	40	72	72	72
Allocated resource unit	2	1	2	1	1	1	1
Code rate (target)	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Code rate (effective)	0.29	0.33	0.29	0.33	0.33	0.33	0.33
Transport block CRC (bits)	24	24	24	24	24	24	24
Code block CRC size (bits)	0	0	0	0	0	0	0
Number of code blocks - C	1	1	1	1	1	1	1
Total number of bits per resource unit	96	192	96	192	288	288	288
Total symbols per resource unit	96	96	96	96	144	144	144
Tx time (ms)	64	32	16	8	4	2	1
NOTE 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).							
NOTE 2: Parameters related to NPUSCH format 1 scheduling are defined in Table A.4.3-2.							
NOTE 3: NPDCCH is not transmitted in the subframes used for transmission of SI messages.							
NOTE 4: SI messages transmission should be prioritized over NPDCCH transmission in case of collision. NPDCCH transmission is postponed until the next NB-IoT downlink subframe in case NPDCCH transmission occurs in a non NB-IoT downlink subframe, where an NB-IoT downlink subframe is a subframe that does not contain NPSS/NSSS/NPBCH/SIB1-NB transmission.							
NOTE 5: Number of repetition $N_{Rep}$ as defined in table 16.5.1.1-3 in TS 36.213 [12].							

**Table A.4.3-2: NPDCCH configuration for NPUSCH format 1 scheduling**

Parameter	Unit	Value
DCI format		DCI format N0
NPDCCH format		1
Scheduling delay ( $I_{Delay}$ )		0
DCI subframe repetition number		00
$R_{max}$ ( <i>npdcch-NumRepetitions</i> )		1
G ( <i>NPDCCH-startSF-USS</i> )		8
$\alpha_{offset}$ ( <i>npdcch-Offset-USS</i> )		1/4

## Annex B (normative): Downlink physical channels

### B.1 General

This annex specifies the downlink physical channels that are needed for setting a connection and channels that are needed during a connection.

### B.2 Set-up

Table B.2-1 and B.2-2 describes the downlink Physical Channels that are required for connection set up.

**Table B.2-1: Downlink Physical Channels required for connection set-up (Cat-M1)**

Physical Channel
PBCH
SSS
PSS
MPDCCH
PDSCH

**Table B.2-2: Downlink Physical Channels required for connection set-up (Cat NB1/NB2)**

Physical Channel
NPBCH
NSSS
NPSS
NPDCCH
NPDSCH

### B.3 Connection

The following clauses, describes the downlink Physical Channels that are transmitted during a connection i.e., when measurements are done.

#### B.3.1 Measurement of Receiver Characteristics

Unless otherwise stated, Table B.3.1-1 is applicable for measurements on the Receiver Characteristics (clause 7).

**Table B.3.1-1: Downlink Physical Channels transmitted during a connection**

Physical Channel	EPRE Ratio
PBCH	PBCH_RA = 0 dB
	PBCH_RB = 0 dB
PSS	PSS_RA = 0 dB
SSS	SSS_RA = 0 dB
PDSCH	PDSCH_RA = 0 dB
	PDSCH_RB = 0 dB
OCNG	OCNG_RA = 0 dB
	OCNG_RB = 0 dB

NOTE 1: No boosting is applied.

**Table B.3.1-2: Power allocation for OFDM symbols and reference signals**

Parameter	Unit	Value	Note
Transmitted power spectral density $I_{or}$	dBm/15 kHz	Test specific	1. $I_{or}$ shall be kept constant throughout all OFDM symbols
Cell-specific reference signal power ratio $E_{RS}/I_{or}$		0 dB	

## B.3.2 Measurement of Performance requirements

Table B.3.2-1 is applicable for measurements in which uniform RS-to-EPRE boosting for all downlink physical channels, unless otherwise stated.

**Table B.3.2-1: Downlink Physical Channels transmitted during a connection**

Physical Channel	EPRE Ratio
PBCH	PBCH_RA = $\rho_A + \sigma$
	PBCH_RB = $\rho_B + \sigma$
PSS	PSS_RA = 0 (Note 3)
SSS	SSS_RA = 0 (Note 3)
MPDCCH	MPDCCH_RA = $\rho_A + \delta$
	MPDCCH_RB = $\rho_B + \delta$
PDSCH	PDSCH_RA = $\rho_A$
	PDSCH_RB = $\rho_B$
OCNG	OCNG_RA = $\rho_A + \sigma$
	OCNG_RB = $\rho_B + \sigma$

NOTE 1:  $\rho_A = \rho_B = 0$  dB means no RS boosting.

NOTE 2: OCNG are not defined downlink physical channels in [3].

NOTE 3: Assuming PSS and SSS transmitted on a single antenna port.

NOTE 4:  $\rho_A$ ,  $\rho_B$ ,  $\sigma$ , and  $\delta$  are test specific.

**Table B.3.2-2: Power allocation for OFDM symbols and reference signals**

Parameter	Unit	Value	Note
Total transmitted power spectral density $I_{or}$	dBm/15 kHz	Test specific	1. $I_{or}$ shall be kept constant throughout all OFDM symbols
Cell-specific reference signal power ratio $E_{RS}/I_{or}$		Test specific	1. Applies for antenna port $p$
Energy per resource element EPRE		Test specific	1. The complex-valued symbols $y^{(p)}(i)$ and $a_{k,l}^{(p)}$ defined in TS 36.211 [3] shall conform to the given EPRE value.

### B.3.3 Measurement of Receiver Characteristics for Narrowband IoT

For the performance requirements for Narrowband IoT, the power allocation for the physical channels is listed in Table B.3.3-1.

**Table B.3.3-1: Downlink Physical Channels transmitted during a connection**

Physical Channel	EPRE Ratio for one NRS antenna port	EPRE Ratio for two NRS antenna ports
NPBCH	0 dB	-3 dB
NPDCCH	0 dB	-3 dB
NPDSCH	0 dB	-3 dB
NPSS	0 dB	0 dB
NSSS	0 dB	0 dB

NOTE 1: Assuming NPSS and NSSS transmitted on one NRS antenna port.

**Table B.3.3-2: Power allocation for OFDM symbols and reference signals**

Parameter	Unit	Value	Note
Transmitted power spectral density $I_{or}$	dBm/15 kHz	Test specific	$I_{or}$ shall be kept constant throughout all OFDM symbols
Narrowband reference signal power ratio $E_{CRS}/I_{or}$		0 dB	Applicable for Stand-alone operation
Narrowband reference signal power over cell-specific reference signal power $E_{NRS}/E_{RS}$		0 dB	Applicable for In-band operation

## Annex C (normative): Environment conditions

### C.1 General

This normative annex specifies the environmental requirements of the UE. Within these limits the requirements of the present documents shall be fulfilled.

### C.2 Environmental

The requirements in this clause apply to all types of UE(s).

#### C.2.1 Temperature

The UE shall fulfil all the requirements in the full temperature range of:

**Table C.2.1-1**

+15°C to +35°C	for normal conditions (with relative humidity of 25 % to 75 %)
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Outside this temperature range the UE, if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in clause 6.2 of TS 36.101 [7] for extreme operation.

#### C.2.2 Voltage

The UE shall fulfil all the requirements in the full voltage range defined in Table C.2.2-1.

**Table C.2.2-1**

Power source	Normal conditions voltage
AC mains	nominal
Regulated lead acid battery	1,1 * nominal
Non regulated batteries:	
Leclanché	Nominal
Lithium	1,1 * Nominal
Mercury/nickel & cadmium	Nominal

Outside this voltage range the UE if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in clause 6.2 of TS 36.101 [7] for extreme operation. In particular, the UE shall inhibit all RF transmissions when the power supply voltage is below the manufacturer declared shutdown voltage.

## C.2.3 Vibration

The UE shall fulfil all the requirements when vibrated at the following frequency/amplitudes.

**Table C.2.3-1**

<b>Frequency</b>	<b>ASD (Acceleration Spectral Density) random vibration</b>
5 Hz to 20 Hz	0,96 m <sup>2</sup> /s <sup>3</sup>
20 Hz to 500 Hz	0,96 m <sup>2</sup> /s <sup>3</sup> at 20 Hz, thereafter -3 dB/Octave

Outside the specified frequency range the UE, if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in TS 36.101 [6] for extreme operation.

## Annex D (normative): Propagation conditions

### D.1 Multi-path fading propagation conditions

The multipath propagation conditions consist of several parts:

- A delay profile in the form of a "tapped delay-line", characterized by a number of taps at fixed positions on a sampling grid. The profile can be further characterized by the r.m.s. delay spread and the maximum delay spanned by the taps.
- A combination of channel model parameters that include the Delay profile and the Doppler spectrum that is characterized by a classical spectrum shape and a maximum Doppler frequency.

#### D.1.1 Delay profiles

The delay profiles are derived from the TR 38.811 [13] NTN-TDL models for the desired delay spread and tap resolution. After scaling the normalized delay spread values for each tap by the desired RMS delay spread, the tap delays are quantized to a delay resolution of 5 ns by rounding to the nearest multiple of the delay resolution.

**Table D.1.1-1: Delay profiles for IoT NTN channel models**

Type	Model	Delay spread (r.m.s.)	Delay resolution
NLOS	NTN-TDLA100	100 ns	5 ns
LOS	NTN-TDLC5	5 ns	5 ns

**Table D.1.1-2: NTN-TDLA100 (DS = 100 ns)**

Tap #	Delay [ns]	Power [dB]	Fading distribution
1	0	0	Rayleigh
2	110	-4.7	Rayleigh
3	285	-6.5	Rayleigh

**Table D.1.1-3 NTN-TDLC5 (DS = 5 ns)**

Tap #	Delay [ns]	Power [dB]	Fading distribution
1	0	-0.6	LOS path
	0	-8.9	Rayleigh
2	60	-21.5	Rayleigh
Note 1: Tap #1 follows a Rician distribution.			

#### D.1.2 Combinations of channel model parameters

The propagation conditions used for the performance measurements in multi-path fading environment are indicated as a combination of a channel model name and a maximum Doppler frequency, i.e., NTN-TDLA<DS>-<Doppler>, or NTN-TDLC<DS>-<Doppler> where '<DS>' indicates the desired delay spread and '<Doppler>' indicates the maximum Doppler frequency (Hz).

Table D.1.2-1 show the propagation conditions that are used for the performance measurements in multi-path fading environment for NLOS and LOS propagation conditions.

**Table D.1.2-1: Channel model parameters for NTN**

<b>Combination name</b>	<b>Model</b>	<b>Maximum Doppler frequency</b>
NTN-TDLA100-10	NTN-TDLA100	10 Hz
NTN-TDLA100-200	NTN-TDLA100	200 Hz
NTN-TDLC5-30	NTN-TDLC5	30 Hz
NTN-TDLC5-200	NTN-TDLC5	200 Hz

## Annex E (informative): Change history

Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2022-08	RAN4#104-e	R4-2215118				Initial Skeleton	0.1.0
2022-10	RAN4#105	R4-2218376				Added approved TPs in RAN4#104-bis-e including: R4-2217750, R4-2217752, R4-2217753, R4-2217755, R4-2217807, R4-2217810	0.2.0
2022-11	RAN4#105	R4-2218377				Added approved TPs in RAN4#105 including: R4-2218767, R4-2220803, R4-2220804, R4-2220805, R4-2220806, R4-2220812, R4-2220828, R4-2220835, R4-2220836	0.3.0
2022-12	RAN#98-e	RP-223233				1-step Approval of version 1.0.0	1.0.0

Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2022-12	RAN#98-e					Approved by plenary – Rel-18 spec under change control	18.0.0
2023-03	RAN#99	RP-230526	0001	1	F	Updates to the additional emissions requirements related to NS_02N	18.1.0
2023-03	RAN#99	RP-230526	0002	1	F	CR to 36.102 for NTN IoT UE RF requirements corrections	18.1.0
2023-03	RAN#99	RP-230526	0003	1	F	CR to 36.102 for MPR and A-MPR	18.1.0
2023-03	RAN#99	RP-230526	0005	1	F	Update A-MPR for NS_24 for Cat-M1	18.1.0
2023-06	RAN#100	RP-231361	0008	1	B	Big CR to TS36.102: Introduction of IoT-NTN UE demodulation requirements	18.2.0
2023-06	RAN#100	RP-231364	0009		F	CR to add B54/n54 as protected band and correct reference clause in 6.5B.4.3	18.2.0
2023-06	RAN#100	RP-231364	0010		F	Correction on Pcmx and OOB requirement for category NB1/NB2 UE	18.2.0
2023-06	RAN#100	RP-231364	0011	1	F	CR to 36.102 for NTN IoT UE RF requirements corrections	18.2.0
2023-09	RAN#101	RP-232510	0015		F	CR to TS36.102: Corrections to IoT-NTN requirements	18.3.0
2023-09	RAN#101	RP-232510	0018	1	F	CR to remove PC5 for A-MPR table	18.3.0
2023-09	RAN#101	RP-232510	0019	1	F	Clarifications to 36.102	18.3.0
2023-12	RAN#102	RP-233355	0021		B	CR to TS 36.102 on introducing L+S FDD band for IoT NTN operation	18.4.0
2023-12	RAN#102	RP-233354	0023	1	B	CR to TS36.102 Introduction of the Extended L-band	18.4.0
2023-12	RAN#102	RP-233357	0024		F	Correction of FRC for eMTC UE demodulation requirements	18.4.0
2023-12	RAN#102	RP-233357	0025		F	CR to TS36.102 Addition of downlink physical channels for connection set-up for Cat NB1 and NB2	18.4.0
2023-12	RAN#102	RP-233357	0026	2	F	[LTE_NBIoT_eMTC_NTN_req] CR to 36.102 Clarify test condition for IoT NTN	18.4.0
2024-03	RAN#103	RP-240582	0027	1	F	(LTE_NBIoT_eMTC_NTN_req-Core) CR to 36.102 for IoT NTN UE RF requirements	18.5.0
2024-03	RAN#103	RP-240582	0028		F	[LTE_NBIoT_eMTC_NTN_req] CR to 36.102 Remove square brackets from Doppler values for IoT NTN	18.5.0
2024-03	RAN#103	RP-240610	0029		F	CR to TS36.102: Addition of some missing bands in UE spurious emissions coexistence clause	18.5.0
2024-03	RAN#103	RP-240585	0030	1	F	(IoT_NTN_FDD_LS_band-Core) CR to TS 36.102 for additional spurious emission for band 254	18.5.0
2024-03	RAN#103	RP-240582	0033	1	F	Correction on TX-RX separation for IoT NTN bands	18.5.0
2024-03	RAN#103	RP-240582	0035	1	F	UL RMCs updates for IoT NTN	18.5.0
2024-09	RAN#105	RP-242151	0041	2	F	Correction of MOP requirements on sTTI for NTN Category M1	18.6.0
2024-09	RAN#105	RP-242151	0043	1	F	Correct the MPR requirements for NTN Category NB1 and NB2	18.6.0
2024-12	RAN#106	RP-243026	0050	1	F	(IoT_NTN_FDD_LS_band) CR to TS 36.102 adding missing regulatory EIRP density requirements in clauses 6.2A.1 and 6.2B.1 for B254	18.7.0
2024-12	RAN#106	RP-243027	0052	1	F	(LTE_NBIoT_eMTC_NTN_req-Core) CR to 36.102 for A-SEM and additional spurious emission arrangement improvements	18.7.0
2025-03	RAN#107	RP-250600	0055	1	F	CR for TS36.102, applicability rules for IoT-NTN requirements	18.8.0
2025-03	RAN#107	RP-250611	0057	3	B	(TEI18) CR to 36.102 NB-IoT NTN inband operation with NR NTN [NTN_NBIoT_inbandNTN]	18.8.0
2025-03	RAN#107	RP-250600	0059	1	F	(LTE_NBIoT_eMTC_NTN_req-Core) CR to TS 36.102 on variable TX-RX frequency separation	18.8.0
2025-03	RAN#107	RP-250600	0060	1	F	CR to TS 36.102: B255 emissions	18.8.0
2025-03	RAN#107	RP-250609	0061		F	(LTE_NBIoT_eMTC_NTN_req-Core) Correction of reference to Suspended version of ITU-R SM.329 Recommendation	18.8.0
2025-06	RAN#108	RP-250923	0063	1	F	(IoT_NTN_FDD_LS_band-Core) Clarification for the PSD limits for a UE operating in 1610-1626.5 MHz frequency range	18.9.0
2025-06	RAN#108	RP-250923	0065	1	F	(LTE_NBIoT_eMTC_NTN_req-Core) CR to TS 36.102 B256 OOB Note (Rel-18)	18.9.0
2025-06	RAN#108	RP-250923	0068		F	CR on Remove GSM_ACLR for NB-IoT based IoT-NTN	18.9.0
2025-06	RAN#108	RP-250923	0069		F	(LTE_NBIoT_eMTC_NTN_req-Core) Corrections on A-MPR requirements subclause for category M1 UE	18.9.0
2025-09	RAN#109	RP-252390	0075		F	(LTE_NBIoT_eMTC_NTN_req-Perf) CR for TS36.102 on applicability rules for IoT-NTN requirements	18.10.0
2025-09	RAN#109	RP-252389	0079		F	(IoT_NTN_FDD_LS_band-Core) Correction of the IoT NTN band 254 NS flag references to the sub-clauses with additional requirements	18.10.0
2025-09	RAN#109	RP-252389	0081	1	F	(IoT_NTN_FDD_LS_band-Core) Introduction of AMPR for the IOT NTN band 254	18.10.0
2025-09	RAN#109	RP-252390	0084	3	F	(LTE_NBIoT_eMTC_NTN_req-Perf) CR to 36.102 related to Demodulation for NB-IoT NTN inband operation with NR NTN (Rel-18, Cat F)	18.10.0
2025-09	RAN#109	RP-252390	0087		F	Correction of single-tone MPR requirement for NB-IoT based IoT-NTN	18.10.0

2025-09	RAN#109	RP-252389	0094		F	(IoT_NTN_FDD_LS_band-Core) Rel18 Transmit power density requirements conversion to conducted requirements	18.10.0
2025-12	RAN#110	RP-253668	0096	1	F	Clarification for the measurement method in ETSI requirements for the 1610-1626.5MHz range	18.11.0

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## History

<b>Version</b>	<b>Date</b>	<b>Status</b>
V18.5.0	May 2024	Publication
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