ETSI TS 138 202 V18.3.0 (2024-08)



5G; NR; Services provided by the physical layer (3GPP TS 38.202 version 18.3.0 Release 18)



Reference RTS/TSGR-0138202vi30

Keywords

5G

ETSI

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Contents

Legal Notice 2 Modal verbs terminology 2 Foreword 4 1 Scope 2 References 3 Definitions of terms, symbols and abbreviations 5.1 Terms 3.2 Symbols 3.3 Abbreviations 4 Services and functions of the physical layer 4.1 General 4.2 Overview of L1 functions. 5 1.1 4.2 Overview of L1 functions. 5 1.1 5.1.1 Uplink model 7 7 5.1.1 Uplink shared channel 7 7 5.1.2 Random access channel 8 7 5.2.1 Downlink model 8 8 5.2.2 Broadcast channel 9 9 5.3 Sidelink shared channel 9 9 5.3 Sidelink shared channel 9 9 5.3 Sidelink shared channel 10 1	Intell	ectual Property Rights	2
Foreword 4 1 Scope 5 2 References 5 3 Definitions of terms, symbols and abbreviations 5 3.1 Terms. 5 3.2 Symbols 5 3.3 Abbreviations 5 4 Services and functions of the physical layer 6 4.1 General 6 4.2 Overview of L1 functions 6 5 Model of physical layer of the UE 7 5.1.1 Uplink model 7 5.1.2 Random access channel 7 5.1.1 Uplink shared channel 7 5.1.2 Random access channel 8 5.2.3 Broadcast channel 8 5.2.4 Bownlink model 8 5.2.5 Broadcast channel 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 11 6 Simultaneous transmission and reception of physical channels and physical signals 12 6.4 Uplink 13 13	Legal	l Notice	2
1 Scope	Moda	al verbs terminology	2
2 References 5 3 Definitions of terms, symbols and abbreviations 5 3.1 Terms 5 3.2 Symbols 5 3.3 Abbreviations 5 4 Services and functions of the physical layer 6 4.1 General 6 4.2 Overview of L1 functions 6 5 Model of physical layer of the UE 7 5.1.1 Uplink model 7 5.1.2 Random access channel 7 5.1.1 Uplink shared channel 7 5.1.2 Random access channel 8 5.2.1 Downlink shared channel 8 5.2.2 Broadcast channel 8 5.2.3 Paging channel 8 5.2.4 Broadcast channel 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.2 Broadcast channel 10 5.3.2 Broadcast channel 10 5.3.2 Broadcast channel 10 5.	Forev	word	4
3 Definitions of terms, symbols and abbreviations 5 3.1 Terms 5 3.2 Symbols 5 3.3 Abbreviations 5 4 Services and functions of the physical layer 6 4.1 General 6 4.2 Overview of L1 functions 6 5 Model of physical layer of the UE 7 5.1 Uplink model 7 5.1 Uplink shared channel 7 5.1.1 Uplink shared channel 8 5.2.2 Broadcast channel 8 5.2.1 Downlink shared channel 8 5.2.2 Broadcast channel 8 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.2 Broadcast channel 10 5.3.2 Broadcast channel 10 5.3.3 Sidelink shared channel 10 5.3.4 Broadcast channel 10 5.3.5 Broadcast channel 11 16 Simultaneous transmission and reception of physical channels	1	Scope	5
3.1 Terms	2	References	5
3.2 Symbols 5 3.3 Abbreviations 5 4 Services and functions of the physical layer 6 4.1 General 6 4.2 Overview of L1 functions 6 5 Model of physical layer of the UE 7 5.1 Uplink model 7 5.1.1 Uplink shared channel 7 5.2 Downlink model 8 5.2.1 Downlink model 8 5.2.2 Broadcast channel 8 5.2.3 Paging channel 9 5.3.4 Sidelink model 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink model 10 5.3.2 Broadcast channel 10 5.3.3 Sidelink shared channel 10 5.3.4 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.2 Broadcast channel 11 6.3 Sidelink shared channel 12 6.4	3	Definitions of terms, symbols and abbreviations	5
3.3 Abbreviations 5 4 Services and functions of the physical layer 6 4.1 General 6 4.2 Overview of L1 functions 6 5 Model of physical layer of the UE 7 5.1 Uplink model 7 5.2 Downlink shared channel 7 5.2.1 Downlink shared channel 8 5.2.2 Broadcast channel 8 5.2.3 Paging channel 8 5.2.4 Broadcast channel 10 5.3.1 Sidelink model 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink model 10 5.3.2 Broadcast channel 10 5.3.4 Sidelink shared channel 11 6 Simultaneous transmission and reception of physical channels and physical signals 12 6.1 U	3.1	Terms	5
4 Services and functions of the physical layer 6 4.1 General 6 4.2 Overview of L1 functions 6 5 Model of physical layer of the UE 7 5.1 Uplink model 7 5.1.1 Uplink shared channel 7 5.2 Downlink model 8 5.2.1 Downlink shared channel 8 5.2.2 Broadcast channel 8 5.2.3 Paging channel 9 5.3 Sidelink model 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.3 Paging channel 10 5.3.4 Broadcast channel 10 5.3.2 Broadcast channel 11 6 Simultaneous transmission and reception of physical channels and physical signals 12 6.1 Uplink 12 6.2 Downlink 15 7 Measurements provided by the physical layer 17 7.1 UE measurements 17 7.1 UE measurements	3.2	Symbols	5
4.1 General 6 4.2 Overview of L1 functions 6 5 Model of physical layer of the UE 7 5.1 Uplink model 7 5.1.1 Uplink shared channel 7 5.2 Downlink model 8 5.2.1 Downlink shared channel 8 5.2.2 Broadcast channel 8 5.2.3 Paging channel 9 5.3 Sidelink model 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink model 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 11 6 Simultaneous transmission and reception of physical channels and physical signals 12 6.1 Uplink 13	3.3	Abbreviations	5
4.1 General 6 4.2 Overview of L1 functions 6 5 Model of physical layer of the UE 7 5.1 Uplink model 7 5.1.1 Uplink shared channel 7 5.2 Downlink model 8 5.2.1 Downlink shared channel 8 5.2.2 Broadcast channel 8 5.2.3 Paging channel 9 5.3 Sidelink model 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink model 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 11 6 Simultaneous transmission and reception of physical channels and physical signals 12 6.1 Uplink 13	4	Services and functions of the physical layor	6
4.2 Overview of L1 functions			
5Model of physical layer of the UE.75.1Uplink model.75.1.1Uplink shared channel.75.1.2Random access channel.85.2Downlink model.85.2.1Downlink shared channel.85.2.2Broadcast channel.85.2.3Paging channel.95.3Sidelink model.105.3.1Sidelink shared channel.105.3.2Broadcast channel.105.3.3Sidelink shared channel.105.3.4Broadcast channel.105.3.5Broadcast channel.105.3.6Sidelink shared channel.105.3.7Meadcast channel.116Simultaneous transmission and reception of physical channels and physical signals.126.1Uplink.136.3Sidelink.157Measurements provided by the physical layer.177.1UE measurements.17Annex A (informative):Change history.18			
5.1 Uplink model 7 5.1.1 Uplink shared channel 7 5.1.2 Random access channel 8 5.2 Downlink model 8 5.2.1 Downlink shared channel 8 5.2.2 Broadcast channel 8 5.2.3 Paging channel 9 5.3 Sidelink model 10 5.3.1 Sidelink model 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink model 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 11 6 Simultaneous transmission and reception of physical channels and physical signals 12 6.1 Uplink 13 6.3 Sidelink 15 7 Measurements provided by the physical layer 17 7.1 UE measurements 17 7.1 UE measurements 17	4.2		
5.1.1 Uplink shared channel 7 5.1.2 Random access channel 8 5.2 Downlink model 8 5.2.1 Downlink shared channel 8 5.2.2 Broadcast channel 8 5.2.3 Paging channel 9 5.3 Sidelink model 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 11 6 Simultaneous transmission and reception of physical channels and physical signals 12 6.1 Uplink 12 6.2 Downlink 13 6.3 Sidelink 15 7 Measurements provided by the physical layer 17 7.1 UE measurements 17 Annex A (informative): Change history 18	5	Model of physical layer of the UE	7
5.1.2 Random access channel 8 5.2 Downlink model 8 5.2.1 Downlink shared channel 8 5.2.2 Broadcast channel 8 5.2.3 Paging channel 9 5.3 Sidelink model 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 10 5.3.1 Sidelink shared channel 10 5.3.2 Broadcast channel 11 6 Simultaneous transmission and reception of physical channels and physical signals 12 6.1 Uplink 12 6.2 Downlink 13 6.3 Sidelink 15 7 Measurements provided by the physical layer 17 7.1 UE measurements 17 Annex A (informative): Change history 18	5.1	Uplink model	7
5.2Downlink model85.2.1Downlink shared channel85.2.2Broadcast channel85.2.3Paging channel95.3Sidelink model105.3.1Sidelink shared channel105.3.2Broadcast channel105.3.3Sidelink shared channel105.3.4Broadcast channel105.3.5Sidelink shared channel105.3.6Simultaneous transmission and reception of physical channels and physical signals126.1Uplink126.2Downlink136.3Sidelink157Measurements provided by the physical layer177.1UE measurements174nnex A (informative):Change history18	5.1.1	Uplink shared channel	7
5.2.1Downlink shared channel85.2.2Broadcast channel85.2.3Paging channel95.3Sidelink model105.3.1Sidelink shared channel105.3.2Broadcast channel116Simultaneous transmission and reception of physical channels and physical signals126.1Uplink126.2Downlink.136.3Sidelink.157Measurements provided by the physical layer.177.1UE measurements.17Annex A (informative):Change history.18	5.1.2		
5.2.2 Broadcast channel	5.2		
5.2.3Paging channel.95.3Sidelink model.105.3.1Sidelink shared channel.105.3.2Broadcast channel.116Simultaneous transmission and reception of physical channels and physical signals.126.1Uplink.126.2Downlink.136.3Sidelink.157Measurements provided by the physical layer.177.1UE measurements.17Annex A (informative):Change history.18			
5.3Sidelink model	5.2.2		
5.3.1Sidelink shared channel105.3.2Broadcast channel116Simultaneous transmission and reception of physical channels and physical signals126.1Uplink126.2Downlink136.3Sidelink157Measurements provided by the physical layer177.1UE measurements17Annex A (informative):Change history			
5.3.2 Broadcast channel 11 6 Simultaneous transmission and reception of physical channels and physical signals 12 6.1 Uplink 12 6.2 Downlink 13 6.3 Sidelink 15 7 Measurements provided by the physical layer 17 7.1 UE measurements 17 Annex A (informative): Change history			
6 Simultaneous transmission and reception of physical channels and physical signals 12 6.1 Uplink 12 6.2 Downlink 13 6.3 Sidelink 15 7 Measurements provided by the physical layer 17 7.1 UE measurements 17 Annex A (informative): Change history			
6.1Uplink126.2Downlink136.3Sidelink157Measurements provided by the physical layer177.1UE measurements17Annex A (informative):Change history18	5.3.2	Broadcast channel	11
6.1Uplink126.2Downlink136.3Sidelink157Measurements provided by the physical layer177.1UE measurements17Annex A (informative):Change history18	6	Simultaneous transmission and reception of physical channels and physical signals	
6.2 Downlink 13 6.3 Sidelink 15 7 Measurements provided by the physical layer 17 7.1 UE measurements 17 Annex A (informative): Change history	-	Uplink	
6.3 Sidelink 15 7 Measurements provided by the physical layer 17 7.1 UE measurements 17 Annex A (informative): Change history	6.2	1	
7.1 UE measurements	6.3		
7.1 UE measurements	7	Measurements provided by the physical layer	17
	7.1		
History	Anne	ex A (informative): Change history	18
	Histo	ry	21

Foreword

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1 Scope

The present document is a technical specification of the services provided by the physical layer of 5G-NR to upper layers.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

[1]	3GPP TR 21.905: "Vocabulary for 3GPP Specifications"
[2]	3GPP TS 38.201: "NR; Physical Layer – General Description"
[3]	3GPP TS 38.211: "NR; Physical channels and modulation"
[4]	3GPP TS 38.212: "NR; Multiplexing and channel coding"
[5]	3GPP TS 38.213: "NR; Physical layer procedures for control"
[6]	3GPP TS 38.214: "NR; Physical layer procedures for data"
[7]	3GPP TS 38.215: "NR; Physical layer measurements"
[8]	3GPP TS 38.306: "NR; User Equipment (UE) radio access capabilities"

3 Definitions of terms, symbols and abbreviations

3.1 Terms

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

3.2 Symbols

For the purposes of the present document, the following symbols apply:

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

For the purposes of the present document, the following abbreviations apply:

ARQ	Automatic Repeat Request
BCH	Broadcast Channel
CA	Carrier Aggregation
CRC	Cyclic Redundancy Check
DC	Dual Connectivity
DL	Downlink
FEC	Forward Error Correction
GF	Grant-Free
MAC	Medium Access Control

MIMO PBCH	Multiple Input Multiple Output Physical Broadcast Channel
PCH	Paging Channel
PDCCH	Physical Downlink Control Channel
PDSCH	Physical Downlink Shared Channel
PRACH	Physical Random Access Channel
PUCCH	Physical Uplink Control Channel
PUSCH	Physical Uplink Shared Channel
RACH	Random Access Channel
RF	Radio Frequency
RNTI	Radio Network Temporary Identifier
SCH	Shared Channel
SI	System Information
SPS	Semi-Persistent Scheduling
SRS	Sounding Reference Signal
TPC	Transmit Power Control
UL	Uplink

4 Services and functions of the physical layer

4.1 General

The physical layer offers data transport services to higher layers.

The access to these services is through the use of transport channels via the MAC sub-layer.

A transport block is defined as the data delivered by MAC layer to the physical layer and vice versa.

4.2 Overview of L1 functions

As mentioned in [2, TS 38.201], the physical layer is expected to perform the following functions to provide the data transport service:

- Error detection on the transport channel and indication to higher layers;
- FEC encoding/decoding of the transport channel;
- Hybrid ARQ soft-combining;
- Rate matching of the coded transport channel to physical channels;
- Mapping of the coded transport channel onto physical channels;
- Power weighting of physical channels;
- Modulation and demodulation of physical channels;
- Frequency and time synchronisation;
- Radio characteristics measurements and indication to higher layers;
- Multiple Input Multiple Output (MIMO) antenna processing;
- RF processing.

L1 functions are modelled for each transport channel in clause 5.

5 Model of physical layer of the UE

The 5G-NR physical-layer model captures those characteristics of the 5G-NR physical-layer that are relevant from the point-of-view of higher layers. More specifically, the physical-layer model captures:

- The structure of higher-layer data being passed down to or up from the physical layer;
- The means by which higher layers can configure the physical layer;
- The different indications (error indications, channel-quality indications, etc.) that are provided by the physical layer to higher layers.

5.1 Uplink model

5.1.1 Uplink shared channel

The physical-layer model for Uplink Shared Channel transmission is described based on the corresponding PUSCH physical-layer-processing chain, see Figure 5.1.1-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue.

- Higher-layer data passed to/from the physical layer
- CRC and transport-block-error indication
- FEC and rate matching
- Data modulation
- Mapping to physical resource
- Multi-antenna processing
- Support of L1 control and Hybrid-ARQ-related signalling

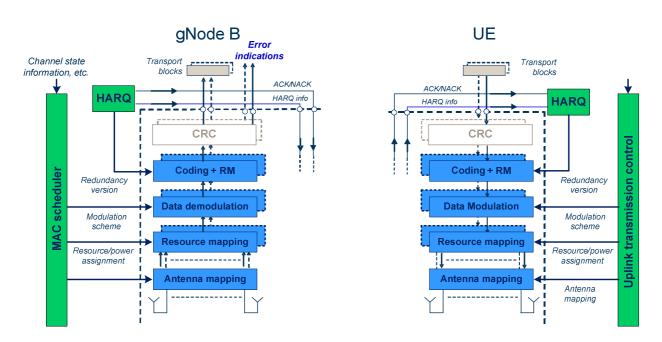


Figure 5.1.1-1: Physical-layer model for UL-SCH transmission

5.1.2 Random access channel

The physical-layer model for RACH transmission is characterized by a PRACH preamble format that consists of a cyclic prefix, a preamble, and a guard time during which nothing is transmitted.

5.2 Downlink model

5.2.1 Downlink shared channel

The physical-layer model for Downlink Shared Channel transmission is described based on the corresponding PDSCH physical-layer-processing chain, see Figure 5.2.1-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue.

- Higher-layer data passed to/from the physical layer;
- CRC and transport-block-error indication;
- FEC and rate matching;
- Data modulation;
- Mapping to physical resource;
- Multi-antenna processing;
- Support of L1 control and Hybrid-ARQ-related signalling.

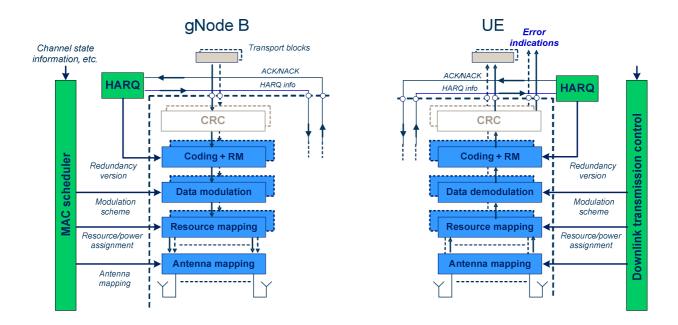


Figure 5.2.1-1: Physical-layer model for DL-SCH transmission

5.2.2 Broadcast channel

The physical-layer model for BCH transmission is characterized by a fixed pre-defined transport format. There is one transport block for the BCH every 80ms. The BCH physical-layer model is described based on the corresponding PBCH physical-layer-processing chain, see Figure 5.2.2-1:

- Higher-layer data passed to/from the physical layer;
- CRC and transport-block-error indication;
- FEC and rate matching;
- Data modulation;
- Mapping to physical resource;
- Multi-antenna processing.

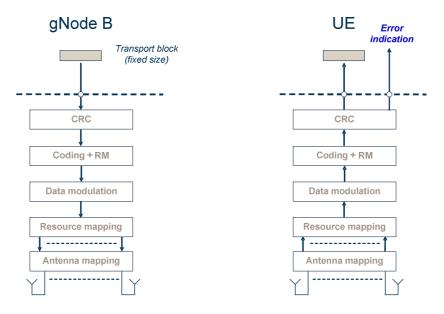


Figure 5.2.2-1: Physical-layer model for BCH transmission

5.2.3 Paging channel

The physical-layer model for PCH transmission is described based on the corresponding physical-layer-processing chain, see Figure 5.2.3-1. The PCH is carried on PDSCH. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue.

- Higher-layer data passed to/from the physical layer;
- CRC and transport-block-error indication;
- FEC and rate matching;
- Data modulation;
- Mapping to physical resource;
- Multi-antenna processing.

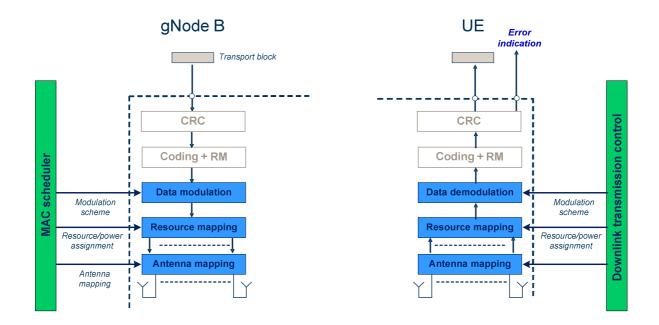


Figure 5.2.3-1: Physical-layer model for PCH transmission

5.3 Sidelink model

5.3.1 Sidelink shared channel

The physical-layer model for Sidelink Shared Channel transmission is described based on the corresponding SL-SCH physical-layer-processing chain, see Figure 5.3.1-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue.

- Higher-layer data passed to/from the physical layer;
- CRC and transport-block-error indication;
- FEC and rate matching;
- Data modulation;
- Mapping to physical resource;
- Multi-antenna processing;
- Support of L1 control and Hybrid-ARQ-related signalling.

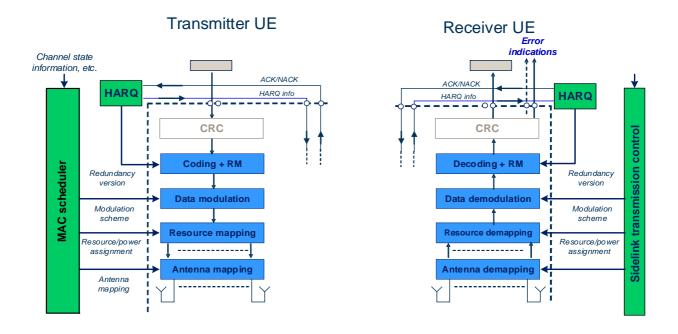


Figure 5.3.1-1: Physical-layer model for SL-SCH transmission

5.3.2 Broadcast channel

The physical-layer model for Sidelink Broadcast Channel transmission is characterized by a fixed pre-defined transport format. There is one transport block for every slot in which the UE transmits SL-BCH, if the UE is configured to transmit on SL-BCH. The SL-BCH physical-layer model is described based on the corresponding SL-BCH physical-layer-processing chain, see Figure 5.3.2-1:

- Higher-layer data passed to/from the physical layer;
- CRC and transport-block-error indication;
- FEC and rate matching;
- Data modulation;
- Mapping to physical resource;
- Multi-antenna processing.

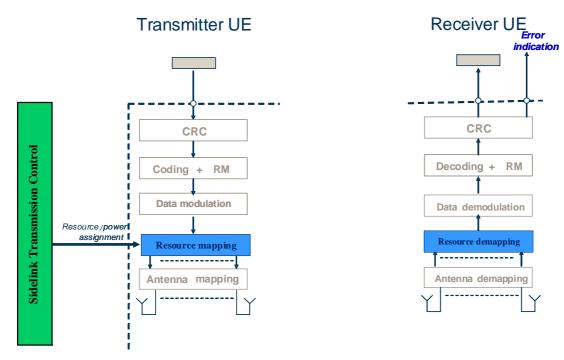


Figure 5.3.2-1: Physical-layer model for SL-BCH transmission

6 Simultaneous transmission and reception of physical channels and physical signals

This clause describes the requirements from the UE to send and receive multiple physical channels and physical signals simultaneously depending on the capabilities and service requirements. The following notation is used between both the uplink and downlink clauses below.

- *p* is the number of uplink carriers configured for the UE on which physical channels can be transmitted
- p' is the number of uplink carriers configured for the UE on which SRS can be transmitted
- q is the number of downlink carriers configured for the UE
- *j* is the number of cell groups configured for the UE.
- *k* is the number of PUCCH groups configured for the UE.

6.1 Uplink

The tables 6.1-1 and 6.1-2 describe the possible combinations of physical channels and SRS that can be sent in simultaneously in the uplink by one UE. Table 6.1-1 introduces notation for a "Transmission Type" which represents a physical channel or sounding reference signal, and any associated transport channel. Table 6.1-2 describes the combinations of these "Transmission Types" which are supported by the UE depending on capabilities [8, TS 38.306], and enumerates how many of each can be transmitted simultaneously.

"Transmission		Physical Channel or SRS	Associated	Comment
Type"			Transport Channel	
A		PRACH	RACH	Note 1, Note 3
В		PUCCH	N/A	
С		PUSCH	UL-SCH	Note 2, Note 3
D SRS		N/A		
Note 1: R/	Note 1: RACH corresponds to contention based.			
Note 2: U	Note 2: UCI on PUSCH without UL-SCH is possible.			
Note 3: Fo	te 3: For SCell, MsgA PRACH and MsgA PUSCH is not supported.			

Table 6.1-1: Uplink "Transmission Types"

Table 6.1-2: U	plink "Trans	smission Typ	pe" combinations
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	Supported Combinations	Comment	
	j x A	Note 1	
	k x B	Note 2	
	$(\sum_{i=1}^{p} m_i) imes C$	Note 3, Note 4, Note 10	
	p' x D	Note 3, Note 5	
	$\tilde{j} \times A + \tilde{k} \times B$	Note 6	
	$\widetilde{j} \times A + (\widetilde{\sum_{i=1}^{\widetilde{p}} \widetilde{m}_i}) \times C$ $\widetilde{j} \times A + \widetilde{p}' \times D$	Note 6, Note 10	
	$\tilde{j} \times A + \tilde{p}' \times D$	Note 6	
	$\hat{k} \times B + (\Sigma_{l=1}^{\widehat{p}} \widehat{m}_{l}) \times C$	Note 8, Note 10	
	$ \frac{B + (\sum_{l=1}^{\tilde{p}'} \hat{m}'_l) \times C}{\tilde{\kappa} \times B + \tilde{p}' \times D} $	Note 9, Note 10	
	$\tilde{k} \times B + \tilde{p}' \times D$	Note 7	
	$(\sum_{i=1}^{\tilde{p}} \widetilde{m}_i) \times C + \tilde{p}' \times D$	Note 7, Note 10	
Note 2: Note 3: Note 4: Note 5: Note 6: Note 7:	Note 4: In the case there is one SUL carrier, then <i>p</i> -1 would be supported. Note 5: UE may be configured with <i>p'</i> but may also have capability to simultaneously sound less than this number. Note 6: Simultaneous PRACH with PUCCH (or PUSCH or SRS) is supported only in the case of inter-band CA, with $\tilde{j} \le j$, $\tilde{k} \le k$, $\tilde{p} \le p$, and $\tilde{p}' \le p'$ depending on the configuration, and subject to UE capability for parallel transmission.		
Note 8:	respective PUCCH and PUSCH(s) are transmitted in the different PUCCH groups, with $\hat{k} < k$ and $\hat{p} \le p$. k and p are subject to UE capability for supported number of PUCCH groups and UL carriers, respectively. \hat{k} and \hat{p} depend on configuration.		
Note 9:	Note 9: Simultaneous PUCCH and PUSCH(s) within the same PUCCH group in the case of inter-band CA, \hat{p}' depending on the configuration, and subject to UE capability for parallel transmission of PUCCH and PUSCH within the same PUCCH group.		
Note 10:	6		

6.2 Downlink

The tables 6.2-1, 6.2-2 describe the possible combinations of physical channels that can be received simultaneously in the downlink by one UE. Table 6.2-1 introduces notation for a "Reception Type" which represents a physical channel and any associated transport channel. Table 6.2-2 describes the combinations of these "Reception Types" which are supported by the UE depending on capabilities [8, TS 38.306], and enumerates how many of each can be received simultaneously. The UE shall be able to receive all TBs according to the indication on PDCCH. Any subset of the combinations specified in table 6.2-2 is also supported.

"Reception Type"	Physical Channel(s)	Monitored RNTI	Associated Transport Channel	Comment
А	PBCH	N/A	BCH	
В	PDCCH+PDSCH	SI-RNTI	DL-SCH	Note 1
C0	PDCCH	P-RNTI	N/A	Note 1, Note 2
C1	PDCCH+PDSCH	P-RNTI	PCH	Note 1
D0	PDCCH+PDSCH	RA-RNTI or Temporary C- RNTI or MsgB-RNTI	DL-SCH	Note 3
D1	PDCCH+PDSCH	C-RNTI, CS-RNTI, MCS-C- RNTI	DL-SCH	
D2	PDCCH	C-RNTI, CS-RNTI, MCS-C- RNTI	DL-SCH	
D3	PDCCH+PDSCH	G-RNTI, G-CS-RNTI	DL-SCH	Note 6
D4 D5	PDCCH PDCCH+PDSCH	G-CS-RNTI MCCH-RNTI	N/A DL-SCH	Note 7 Note 8
D5	PDCCH+PDSCH	G-RNTI	DL-SCH	Note 9
D0	PDCCH+PDSCH	C-RNTI	DL-SCH	Note 10
D8	PDCCH+PDSCH	multicast-MCCH-RNTI	DL-SCH	Note 11
E	PDCCH	C-RNTI	N/A	Note 4
 F0	PDCCH	Temporary C-RNTI	UL-SCH	Note 3
F1	PDCCH	C-RNTI, CS-RNTI, MCS-C- RNTI	UL-SCH	
F2	PDCCH	C-RNTI, CS-RNTI	UL-SCH	Note 10
G	PDCCH	SFI-RNTI	N/A	
Н	PDCCH	INT-RNTI	N/A	
JO	PDCCH	TPC-PUSCH-RNTI	N/A	
J1	PDCCH	TPC-PUCCH-RNTI	N/A	
J2	PDCCH	TPC-SRS-RNTI	N/A	
K	PDCCH	SP-CSI-RNTI	N/A	
L0	PDCCH	SL-RNTI	SL-SCH	
L1	PDCCH	SL-CS-RNTI	SL-SCH	
М	PDCCH	SL Semi-Persistent Scheduling V-RNTI	SL-SCH	Note 5
Ν	PDCCH	PS-RNTI	N/A	
0	PDCCH	AI-RNTI	N/A	
Р	PDCCH	CI-RNTI	N/A	
<u>Q</u>	PDCCH	PEI-RNTI	<u>N/A</u>	Note 1
Note 2: In s Note 3: The Note 4: This Note 5: This Note 6: This Note 7: This Note 8: This Note 9: This	ese are received from PCel s corresponds to PDCCH-or s corresponds to PDCCH s s is for multicast in RRC co s corresponds to DL Semi- s is for broadcast MCCH. s is for broadcast MTCH. L s is for small data transmis	uired to monitor the short messa I or PSCell. ordered PRACH. scheduling LTE PC5.	or multicast in RRC conne	ected state.

Table 6.2-2: Downlink "Reception Type" combinations

PCell PSCell SCell 1. RRC_IDLE 1.1.1.41/UEs Note 1 1.1.4.1/UEs A+(B and/or (C1 or Q) and/or D0) +F0 Note 1 D0) + F0 Note 1 Note 1 2.0.01 + F0 F2 Note 1 2.0.01 + F0 F2 Note 1 2.1.01 + UEs A+(B and/or (C0 or C1 or Q) and/or (D0 or C7)) + (F0 or F2) Note 1 2.2 UEs supporting MBS broadcast reception A+D5 Note 1 3. RRC_CONNECTED (A+(C0 + (B and/or (D0 or G1))) + (E+F0 + G1 + H + J0 + J1 + J2 + K + Q + N + P) or D5))) Note 4, Note 5, Note 4, Note 5, Note 4, Note 5, Note 14, Note 5, Note 14, Not	Supported Combinations Comment				Comment
1.1 All UEs A + (B and/or (C1 or Q) and/or D0 + F0 Note 1 1.2 UEs supporting MBS broadcast reception A+D5 2. RRC_INACTIVE 2. RRC_INACTIVE 2.1 All UEs A + (B and/or (C0 or C1 or Q) and/or (D0 or D7)) + (F0 or F2) Note 1 2.2 UEs supporting MBS broadcast reception A+D5 2.3 UE supporting MBS multicast reception A+D5 2.3 UE supporting MBS multicast reception A+D8 3. RRC_CONNECTED (A + (D0 or (m1*D1+m2*D2))) (m1*D1+m2*D2 + (m1*D1+m2*D2)/2+(m3*D3+m4*D4)) + (F1 + G2 + F1 + G2 + G	PCell PSCell SCell				
A + (B and/or (C1 or Q) and/or D0) + F0 Note 1 1.2 UEs supporting MBS broadcast reception A+D5 2. RRC_INACTIVE 2.1 All UEs 2. RRC_INACTIVE 2.1 All UEs A + (B and/or (C0 or C1 or Q) and/or (D0 or D7)) + (F0 or F2) Note 1 2.2 UEs supporting MBS broadcast reception Note 1 A+D5 A+D5 2.3 UE supporting MBS multicast reception A+D5 2.3 UE supporting MBS multicast reception A+D8 3. RRC_CONNECTED (A + (D0 or (m1*D1+m2*D2)) (m1*D1+m2*D2+(M3*D3*M*D4)) + 1 + J + J + K + O + N + P) m1*D1 + m2*D2 + (m2*D3*M*D4) or m5*(D5 or D6)) + E + F1 + G + H + J0) + 1 + J + J + J + K + O + N + P) Note 2, Note 3, Note 4, Note 5, Note 4, Note 5, Note 4, Note 5, Note 7, Note 8, Note 9, Note 14 Note 1 .1 + JJ +	1. RRC_I	IDLE			•
D0) + F0 1.2 UEs supporting MBS broadcast reception A+D5	1.1 All UE	Es			
A+D5 2. RRC_INACTIVE 2.1 All UEs A + (B and/or (C0 or C1 or Q) and/or (D0 or D7)) + (F0 or F2) 2.2 UEs supporting MBS broadcast reception A+D5 2.3 UE supporting MBS multicast reception A+D6 (m + D1+m2*D2+(m3*D3+m4*D4)) (m + TD1+m2*D2+(m3*D3+m4*D4)) (m + L1+M+P)	A + (B				Note 1
2. RRC_INACTIVE 2.1 All UEs A + (B and/or (C0 or C1 or O) and/or (D0 or D7)) + (F0 or F2) 2.2 UEs supporting MBS broadcast reception A+D5 2.3 UE supporting MBS multicast reception A+D8 (A+D6 (A+00 or (C0 or C1 or O) a+D8 (A+00 or (D0 or (m1*D1+m2*D2+(m3*D3+m4*D4)) or m5*(D5 or D6)))) + E + F0 + n*F1 + G + H + J0 (m1*D1+m2*D2+(m3*D3+m4*D4)) (A*(C0 + (B and/or (D0 or (m1*D1+m2*D2+(m3*D3+m4*D4))) (A+1) + J2 + K+ 0 + N + P) (M*T) + M*T2+(M + 10 + 11 + J2 + K + 0 + N + P) (m3*D3+m4*D4) or m5*(D5 or D6)) + E + n*F1 + G + H + J0 + 11 + J2 + K + 0 + N + P) (M=1) + L1 + M + N + P) or D5)) (Note 1. (Note 1. Note 2. (D + L1 + M + N + P) or D5)) (D + L1 + M + N + P) or D5)) (D + L1 + M + N + P) or D5) (D + L2 - Commands, pre-emption indication and dynamic SFI monitoring. Note 3. Supported combinations are subject to UE capabilities for dual connectivity, carrier aggregation, receiving of group TPC commands, pre-emption indication and dynamic SFI monitoring. Note 3. Note 1 + 1 in the supported combinations are subject to the UE capability. Note 4. The values of m 2 0 on the Suported combinations are subject to the UE capability. </td <td>1.2 UEs s</td> <td>supporting MBS broadcast</td> <td>reception</td> <td></td> <td>I</td>	1.2 UEs s	supporting MBS broadcast	reception		I
2.1 All UEs A + (B and/or (C0 or C1 or Q) and/or (D0 or D7)) + (F0 or F2) 2.2 UEs supporting MBS broadcast reception A+D5 2.3 UE supporting MBS multicast reception A+D8 (Mathematical CONNECTED) (A + (C0 + (B and/or (C0 or m5*(D5 or m5*(D5 or M2))) + E + F0 + n*F1 + G + H + J0 or m5*(D5 or D6))))) + E + F0 + n*F1 + G + H + J0 or m5*(D5 or D6))))) + E + F0 + n*F1 + G + H + J0 + J + J + J + J + K + O + N + P) m1*D1 + m2*D2 + (m3*D3+m4*D4) or m5*(D5 or D6)) + H + J + J + J + K + O + N + P) Note 3, Note 5, Note 6, Note 7, Note 6, Note 7, Note 8, Note 9, Note 11, Note 12, Note13, Note 14, Note 11, Note 14, Note 11, Note 12, Note13, Note 14, Note 11, Note 14, Note 11, Note 12, Note13, Note 14, Note 11, Note 14, Note 11, Note 14, Note 11, Note 14, Note 11, Note 12, Note13, Note 14, Note 11, Note 14, Note 11, Note 14, Note 11, Note 15, Note 3, Note 9, Note 10, and Note 2, Note 3, Note 4, Note 9, Note 14, Note 11, Note 11, Note 11, Note 11, Note 11, Note 11, Note 12, Note13, Note 11, Note 11, Note 11, Note 11, Note 12, Note13, Note 11, Note 11		A+D5			
A + (B and/or (C0 or C1 or Q) and/or (D0 or D7)) + (F0 or F2) Note 1 2.2 UEs supporting MBS broadcast reception A+D5 2.3 UE supporting MBS multicast reception A+D8 A+D8 A+D8 (A + (C0 + (B and/or (D0 or (m1*D1+m2*D2+(m3*D3+m4*D4)) or m5*(D5 or D6)))) + E + F0 + n*F1 + G + H + J0 + J1 + J2 + K + O + N + P) m1*D1 + m2*D2 + (m3*D3+m4*D4) or m5*(D5 or D6)) + E + n*F1 + G + H + J0 + J1 + J2 + K + O + L0 + J1 + J2 + K + O + N + P) Note 2, Note 3, Note 6, Note 7, Note 6, Note 7, Note 6, Note 7, Note 6, Note 7, Note 10, Note 11. Note 1: UE is not required to decode more than two PDSCH simultaneously, and decoding prioritization when more than two are received is up to UE implementation. Note 10, Note 11, Note 12, Note13, Note 14. Note 3: Supported combinations are subject to UE capabilities for dual connectivity, carrier aggregation, receiving of group TPC commands, pre-emption indication and dynamic SFI monitoring. Note 11. Note 3: Supported combinations are subject to UE capability. Supported combinations are subject to UE capability. Note 6: The values of m1 ≥ 1 in the supported combinations are subject to the UE capability. Note 10. Note 7: In Active time, a UE is not expected to monitor the DC1 format for the PDCCH scrambled by PS-RNTI. Note 8: The values of m1 ≥ 1 in the supported combinations are subject to UE capability. Note 6: The values of m1 ≥ 1 in the supporte	2. RRC_I	INACTIVE			
and/or (D0 or D7)) + (F0 or F2) 2.2 UEs supporting MBS broadcast reception A+D5 2.3 UE supporting MBS multicast reception A+D8 (m*C)(C0 + (B and/or (D0 or (m*D1+m2*D2+)((m3*D3+m4*D4)) or m5*(D5 or D6)))) + E + F0 + n*F1 + G + H + J0 + J1 + J2 + K + O 0 + L0 + L1 + M + N + P) or D5)) + UE is not required to decode more than two PDSCH simultaneously, and decoding prioritization when more than two are received is up to UE implementation. Note 1: UE is not required to decode SI-RNTI PDSCH simultaneously with C-RNTI PDSCH, unless in FR1. Note 3: Supported combinations are subject to UE capabilities for dual connectivity, carrier aggregation, receiving of group TPC commads, pre-emption indication and dynamic SF1 monitoring. Note 6: The values of m1 ≥ 1 in the supported combinations are subject to the UE capability. Note 6: The values of m1 ≥ 1 in the supported combinations are subject to the UE capability. Note 6: The values of m1 ≥ 1 in the supported combinations are subject to the UE capability. Note 7: In Active time, a UE is not required to monitor the Coll format for the PDCCH scrambled by PS-RNTI. Note 8: The values of m1 ≥ 1 in the supported combinations are subject to the UE capability. Note 6: The values of m1 ≥ 1 in the supported combinations are subject to the UE capability. Note 8: The values of m2 ≥ 0 and m2	2.1 All U	Es			
A+D5 2.3 UE supporting MBS multicast reception A+D8 (A + ((C0 + (B and/or (D0 or (m1*D1+m2*D2+((m3*D3+m4*D4)) or m5*(D5 or D6))))) + E + F0 + n*F1 + G + H + J0 + J1 + J2 + K + O + N + P) m1*D1 + m2*D2 + ((m3*D3+m4*D4) or m5*(D5 or D6)) + E + N + T1 + G + H + J0 + L1 + M + P + D0 + D5)) Note 2, Note 3, Note 4, Note 5, Note 6, Note 7, Note 6, Note 7, Note 10, Note 11, Note 10, Note 11, Note 10, Note 11, Note 12, Note 13, Note 14 Note 1: UE is not required to decode more than two PDSCH simultaneously, and decoding prioritization when more than two are received is up to UE implementation. Note 2, Note 14, Note 14, Note 13, Note 14 Note 2: For PCeII, UE is not required to decode SI-RNTI PDSCH simultaneously, and decoding prioritization when more than two are received is up to UE implementation. Note 2, Note 14, Note 14, Note 3: Supported combinations are subject to UE capabilities for dual connectivity, carrier aggregation, receiving of group TPC commands, pre-emption indication and dynamic SFI monitoring. Note 14. Note 4: The values of m 2 0 and n 2 0 in the supported combinations are subject to the UE capability. Note 6: Note 6: Note 8, The PDCCH scrambled by PS-RNTI can only be configured on the PCeII and PSCeII. Note 9: For a UE supporting MBS multicast reception, the values of 1 ± m3 2 0 and m4 2 0 are subject to UE capability and applicable to RRC connected UEs. If m3= 1, then m1≤ 1. Note 10: For a UE supporting MBS multicast or broadcast reception, the values of 1 ± m3 2 0 and m4 2 0 are subject to UE					Note 1
2.3 UE supporting MBS multicast reception A+D8 (A + ((C0 + (B and/or (D0 or (m1*D1+m2*D2+((m3*D3+m4*D4)) or m5*(D5 or D6))))) + E + F0 + n*F1 + G + H + J0 + J1 + J2 + K + 0 + L0 + L1 + M + N + P) or D5)) (A + ((D0 or (m1*D1+m2*D2))) + E + F0 + n*F1 + G + H + J0 + J1 + J2 + K + O + N + P) m1*D1 + m2*D2 + ((m3*D3+m4*D4) or m5*(D5 or D6)) + E + n*F1 + G + H + J0 + J1 + J2 + K + O + N + P) Note 2, Note 3, Note 4, Note 5, Note 7, Note 8, Note 7, Note 8, Note 9, Note 10, Note 11, Note 12, Note13, Note 14 Note 1: UE is not required to decode more than two PDSCH simultaneously, and decoding prioritization when more than two are received is up to UE implementation. Note 2, Note 3, Note 14, Note 12, Note13, Note 14 Note 2: For PCell, UE is not required to decode SI-RNTI PDSCH simultaneously with C-RNTI PDSCH, unless in FR1. Note 3: Supported combinations are subject to UE capabilities for dual connectivity, carrier aggregation, receiving of group TPC commands, pre-emption indication and dynamic SFI monitoring. Note 14. Note 5: Support of monitoring PDCCH with SL-RNTI, SL-CS-RNTI, SL Semi-Persistent Scheduling V-RNTI are subject to UE capability. Note 3: The values of n1 ≥ 1 in the supported combinations are subject to the UE capability. Note 8: The values of n1 ≥ 1 in the supported combinations are subject to the UE capability. Note 8: The values of n1 ≥ 1 in the supported combinations are subject to the UE capability. Note 8: The values of n1 ≥ 1 in the supported combinations are subject to the UE capability. <tr< td=""><td>2.2 UEs s</td><td>supporting MBS broadcast</td><td>reception</td><td></td><td>I</td></tr<>	2.2 UEs s	supporting MBS broadcast	reception		I
A+D8 3. RRC_CONNECTED (A + ((C0 + (B and/or (D0 or (m1*D1+m2*D2+((m3*D3+m4*D4)) or m5*(D5 or D6))))) + E + F0 + n*F1 + G + H + J0 + J1 + J2 + K + O + L0 + L1 + M + N + P) or D5)) (A + (D0 or (m1*D1+m2*D2)) + E + F0 + n*F1 + G + H + J0 + J1 + J2 + K + O + N + P) Note 2, Note 3, Note 4, Note 5, Note 6, Note 7, Note 8, Note 9, Note 1, J1 + J2 + K + O + L0 + L1 + M + P Note 1: UE is not required to decode more than two PDSCH simultaneously, and decoding prioritization when more than two are received is up to UE implementation. Note 2; Note 10, Note 11, Note 12, Note13, Note 14 Note 2: For PCell, UE is not required to decode SI-RNTI PDSCH simultaneously with C-RNTI PDSCH, unless in FR1. Note 3; Note 4; The values of m2 ≥ 0 and n≥ 0 in the supported combinations are subject to the UE capability. Note 5: Supported combinations are subject to UE capabilities for dual connectivity, carrier aggregation, receiving of group TPC commands, pre-emption indication and dynamic SFI monitoring. Note 4: The values of m2 ≥ 0 and n≥ 0 in the supported combinations are subject to the UE capability. Note 5: Support of monitoring PDCCH with SL-RNTI, SL-CS-RNTI, SL Semi-Persistent Scheduling V-RNTI are subject to UE capability. Note 6: The values of m1 ≥ 1 in the supported combinations are subject to the UE capability. Note 7: In Active time, a UE is not expected to monitor the DCI format for the PDCCH scrambled by PS-RNTI. Note 8: For a UE supporting MBS multicast reception, the values of 1 ≥ m		A+D5			
3. RRC_CONNECTED (A + ((C0 + (B and/or (D0 or (m1*D1+m2*D2+((m3*D3+m4*D4))) (A + (D0 or (m1*D1+m2*D2))) m1*D1 + m2*D2 + Note 2, Note 3, Note 4, Note 5, or m5*(D5 or D6)))) + E + F0 + n*F1 + G + H + J0 + J + J + J + J + K + O + N + P) Note 6, Note 7, Note 6, Note 7, Note 6, Note 7, Note 8, Note 9, Note 10, Note 11, Note 11, Note 11, Note 12, Note 13, Note 12, Note 13, Note 12, Note 13, Note 14 Note 1: UE is not required to decode more than two PDSCH simultaneously, and decoding prioritization when more than two are received is up to UE implementation. Note 2: For PCell, UE is not required to decode SI-RNTI PDSCH simultaneously with C-RNTI PDSCH, unless in FR1. Note 3: Supported combinations are subject to UE capabilities for dual connectivity, carrier aggregation, receiving of group TPC commands, pre-emption indication and dynamic SFI monitoring. Note 10, Note 11, Note 8: Note 4: The values of m2 ≥ 0 and n2 0 in the supported combinations are subject to the UE capability. Note 6: Support of monitoring PDCCH with SL-RNTI, SL-CS-RNTI, SL Semi-Persistent Scheduling V-RNTI are subject to UE capability. Note 8: The PDCCH scrambled by PS-RNTI can only be configured on the PCell and PSCell. Note 0 are subject to UE capability. Note 9: For a UE supporting MBS multicast reception, the values of 1 ≥ m3 ≥ 0 and m4 ≥ 0 are subject to UE capability and applicable to RRC connected UEs. If m3 = 1, then m1 ≤ 1. Note 10: For a UE supporting MBS broadcast reception, the values of 1 ≥ m5 ≥ 0 are subje	2.3 UE si	upporting MBS multicast re	ception		
(A + ((C0 + (B and/or (D0 or (m1*D1+m2*D2+((M3*D3+m4*D4)) or m5*(D5 or D6))))) + E + F0 + n*F1 + G + H + J0 + J1 + J2 + K + 0 + L0 + L1 + M + N + P) or D5)) (A + (D0 or (m1*D1+m2*D2)) + E + F0 + n*F1 + G + H + J0 + J1 + J2 + K + O + N + P) m1*D1 + m2*D2 + ((M3*D3+m4*D4) or m5*(D5 or D6)) + E + n*F1 + G + H + J0 + J1 + J2 + K + O + N + P) Note 4. Note 5, Note 6, Note 7, Note 8, Note 9, Note 10, Note 11, Note 12, Note 13, Note 14 Note 1: UE is not required to decode more than two PDSCH simultaneously, and decoding prioritization when more than two are received is up to UE implementation. Note 12, Note 13, Note 14 Note 2: For PCell, UE is not required to decode SI-RNTI PDSCH simultaneously with C-RNTI PDSCH, unless in FR1. Note 3: Supported combinations are subject to UE capabilities for dual connectivity, carrier aggregation, receiving of group TPC commands, pre-emption indication and dynamic SFI monitoring. Note 4: The values of m2 ≥ 0 and n≥ 0 in the supported combinations are subject to the UE capability. Note 5: Support of monitoring PDCCH with SL-RNTI, SL-CS-RNTI, SL Semi-Persistent Scheduling V-RNTI are subject to UE capability. Note 6: The values of m1 ≥ 1 in the supported combinations are subject to the UE capability. Note 7: In Active time, a UE is not expected to monitor the DCI format for the PDCCH scrambled by PS-RNTI. Note 8: The PDCCH scrambled by PS-RNTI can only be configured on the PCell and PSCell. Note 9: For a UE supporting MBS multicast reception, the values of 1≥m5 ≥ 0		A+D8			
(m1*D1+m2*D2+((m3*D3+m4*D4)) + E + F0 + n*F1 + G + H + J0 or m5*(D5 or D6)))) + E + F0 + n*F1 + G + H + J0 + J1 + J2 + K + O + L0 + L1 + M + N + P) or D5)) + E + F0 + n*F1 + G + H + J0 + J1 + J2 + K + O + N + P) or D5)) Note 4, Note 5, Note 6, Note 7, Note 6, Note 7, + J0 + J1 + J2 + K + O + L0 + J1 + J2 + K + O + L0 + L1 + M + P Note 10, Note 11, Note 10, Note 11, Note 12, Note 11, Note 12, Note 13, Note 14 Note 3: Supported combinations are subject to UE implementation. Note 3: Note 2: For PCeII, UE is not required to decode SI-RNTI PDSCH simultaneously, and decoding prioritization when more than two are received is up to UE implementation. Note 3: Supported combinations are subject to UE capabilities for dual connectivity, carrier aggregation, receiving of group TPC commands, pre-emption indication and dynamic SFI monitoring. Note 4: The values of m2 ≥ 0 and n≥ 0 in the supported combinations are subject to the UE capability. Note 7: In Active time, a UE is not expected to monitor the DCI format for the PDCCH scrambled by PS-RNTI. Note 8: The PDCCH scrambled by PS-RNTI can only be configured on the PCEII and PSCeII. Note 9: For a UE supporting MBS multicast reception, the values of 1 ≥ m3 ≥ 0 and m4 ≥ 0 are subject to UE capability and applicable to RRC connected UEs. If m3 = 1, then m1 ≤ 1. Note 10: For a UE supporting MBS broadcast reception, the values of 1 ≥ m3 ≥ 0 are subject to UE capability and applicable to RRC connected UEs. If m5=1, then m1 ≤ 1. Note 11: For a UE supporting MBS broadcast reception in RRC_CONN	3. RRC_0	CONNECTED			
 Note 1: UE is not required to decode more than two PDSCH simultaneously, and decoding prioritization when more than two are received is up to UE implementation. Note 2: For PCell, UE is not required to decode SI-RNTI PDSCH simultaneously with C-RNTI PDSCH, unless in FR1. Note 3: Supported combinations are subject to UE capabilities for dual connectivity, carrier aggregation, receiving of group TPC commands, pre-emption indication and dynamic SFI monitoring. Note 4: The values of m2 ≥ 0 and n≥ 0 in the supported combinations are subject to the UE capability. Note 5: Support of monitoring PDCCH with SL-RNTI, SL-CS-RNTI, SL Semi-Persistent Scheduling V-RNTI are subject to UE capability. Note 6: The values of m1 ≥ 1 in the supported combinations are subject to the UE capability. Note 7: In Active time, a UE is not expected to monitor the DCI format for the PDCCH scrambled by PS-RNTI. Note 8: The PDCCH scrambled by PS-RNTI can only be configured on the PCell and PSCell. Note 9: For a UE supporting MBS multicast reception, the values of 1 ≥ m3 ≥ 0 and m4 ≥ 0 are subject to UE capability and applicable to RRC connected UEs. If m3 = 1, then m1 ≤ 1. Note 10: For a UE supporting MBS multicast reception, the values of 1≥m5 ≥ 0 are subject to UE capability and applicable to RRC connected UEs. If m5=1, then m1≤1. Note 11: For a UE supporting MBS broadcast reception, the values of 1≥m5 ≥ 0 are subject to uE capability and applicable to RRC connected UEs. If m5=1, then m1≤1. Note 12: For a UE supporting MBS broadcast reception in RRC_CONNECTED state, it is not required to support reception of FDMed MCCH PDSCH and PSCH. Note 13: For a UE supporting MBS multicast or broadcast meception in RRC_CONNECTED state, it is not required to support reception of FDMed MCCH PDSCH and PSCH. 	(m1*D1+ or m5*(D n*F1 + G	m2 [*] D2+((m3 [*] D3+m4*D4) 5 or D6))))) + E + F0 + + H + J0 + J1 + J2 + K +	+ E + F0 + n*F1 + G + H + J0	((m3*D3+m4*D4) or m5*(D5 or D6)) + E + n*F1 + G + H + J0 + J1 + J2 + K + O + L0	Note 4, Note 5, Note 6, Note 7, Note 8, Note 9, Note 10, Note 11, Note 12, Note13,
Note 14: For a UE supporting MBS multicast or broadcast reception in RRC_CONNECTED state, it is not required to					

6.3 Sidelink

The tables 6.3-1 and 6.3-2 describe the possible combinations of physical channels that can be sent simultaneously in the sidelink by a UE. Table 6.3-1 introduces notation for a sidelink "Transmission Type" which represents a physical channel, and any associated transport channel. Table 6.3-2 describes the combinations of these "Transmission Types"

which are supported by the UE depending on capabilities [8, TS 38.306], and enumerates how many of each can be transmitted simultaneously.

"Transmission Type"	Physical Channel	Associated Transport Channel	Comment
А	PSBCH	SL-BCH	
В	PSSCH	SL-SCH	
С	PSCCH	SL-SCH	
D	PSFCH	N/A	
E	SL PRS	N/A	

Table 6.3-1: Sidelink "Transmission Types"

Table 6.3-2: Sidelink "Transmission Type" combinations

	Supported Combinations	Comment	
	А	Note 2	
	В	Note 2	
	С	Note 2	
	E	Note 4	
	E	Note 5	
	$N \times D$	Note 2	
	B+C	Note 2	
Note 1:	Depending on the UE capability, the UE may be able to perform simultaneous Upline transmissions. If the simultaneous transmission of Sidelink and Uplink is beyond the prioritized can be dropped according to [TS 38.321].		
Note 2:			
Note 3:	Simultaneous transmissions over multiple SL carriers with one or more UL carriers is implementation.	s left up to UE	
Note 4:	Note 4: Depending on the UE capability, the UE may be able to perform simultaneous SL PRS transmission and PSSCH transmission(s) for a shared SL PRS resource pool across multiple SL carriers.		
Note 5:	Depending on the UE capability, applicable for a dedicated SL PRS resource pool a		

The tables 6.3-3 and 6.3-4 describe the possible combinations of physical channels that can be received simultaneously in the sidelink by a UE. Table 6.3-3 introduces notation for a sidelink "Reception Type" which represents a physical channel, and any associated transport channel. Table 6.3-4 describes the combinations of these "Reception Types" which are supported by the UE depending on capabilities [8, TS 38.306], and enumerates how many of each can be received simultaneously.

"Reception Type"	Physical Channel	Associated Transport Channel	Comment
A	PSBCH	SL-BCH	
В	PSSCH	SL-SCH	
С	PSCCH	SL-SCH	
D	PSFCH	N/A	
E	SL PRS	N/A	

Table 6.3-3: Sidelink "Reception Types"

	Supported Combinations	Comment				
	А					
	В	Note 1, Note 2				
	С	Note 1, Note 2				
	E	Note 3, Note 5				
	$M_1 imes E$	Note 4				
	M × D	Note 2				
	B+C	Note 1, Note 2				
Note 1: Note 2:	Corresponds to simultaneous reception within one sub-channel Depending on the UE capability, the UE may be able to perform simultaneous sidelink or receptions of the same sidelink "Reception Type" combinations across multiple SL carri	ers.				
Note 3:	Applicable for a shared SL PRS resource pool. Corresponds to simultaneous reception channel.	within one sub-				
Note 4:	Applicable for a dedicated SL PRS resource pool with M₁≥1. Corresponds to simultaneous one dedicated SL PRS resource pool.	ous reception within				
Note 5:	e 5: For a shared SL PRS resource pool, depending on the UE capability, the reception(s) of SL-PRS in a single SL carrier can be simultaneously received with PSSCH in other one or more SL carrier(s).					

Table 6.3-4: Sidelink "Reception Type" combinations

7 Measurements provided by the physical layer

7.1 **UE** measurements

The list and detailed definition of UE measurements is provided in [7, TS 38.215].

Annex A (informative): Change history

Det-	Change history						
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2017-05	RAN1#89	R1-1712013				Draft skeleton	0.0.0
2017-07	AH_1706	R1-1712013				Update for agreements from meetings up to RAN1 NR AH2	0.0.1
2017-08		R1-1713371				Update for agreements from meetings up to RAN1 NR AH2	0.0.2
2017-08	RAN1#90	R1-1714655				Update with change marks removed for RAN1 #90 endorsement	0.1.0
2017-09	RAN1#90	R1-1715320				Updated with minor editorial changes for review after RAN1 #90	0.1.1
2017-09	RAN#77	RP-172006				For information to plenary	1.0.0
2017-10	RAN1#90b	R1-1719229				Updated for agreements from meetings up to RAN1 #90b	1.0.1
2017-11	RAN1#91	R1-1721047				Updated after email discussion	1.1.0
2017-12	RAN1#91	R1-1721340				Updated to reflect agreements from RAN1 #91	1.2.0
2017-12	RAN#78	RP-172630				Endorsed version for approval by plenary	2.0.0
2017-12	RAN#78					Approved by plenary – Rel-15 spec under change control	15.0.0
2018-03	RAN#79	RP-180200	0001	-	F	CR capturing the Jan18 ad-hoc and RAN1#92 meeting agreements	15.1.0
2018-06	RAN#80	RP-181172	0002	1	F	CR to 38.202 capturing the RAN1#92bis and RAN1#93 meeting agreements	15.2.0
2018-06	RAN#80	RP-181257	0003	-	В	CR to 38.202 capturing the RAN1#92bis and RAN1#93 meeting agreements related to URLLC	15.2.0
2018-09	RAN#81	RP-181789	0004	-	В	CR to 38.202 capturing RAN1#94 meeting agreements	15.3.0
2018-12	RAN#82	RP-182523		-	F	CR to 38.202 capturing RAN1#95 meeting agreements	15.4.0
2019-06	RAN#84		0006	-	F	Correction to simultaneous reception of DL Channels	15.5.0
2019-06	RAN#84		0007	-	F	CR on inclusion of TC-RNTI for monitored RNTI for UL-SCH and	15.5.0
0040.40	DANUSS	DD 400000	00000		-	inclusion of monitoring PDCCH ordering PRACH on SCell	45.0.0
2019-12	RAN#86		8000	1	F	CR on simultaneous transmission of UL channels	15.6.0
2019-12	RAN#86	RP-192635		-	B	Introduction of two-step RACH	16.0.0
2019-12	RAN#86	RP-192637	0010	-	В	Introduction of integrated access and backhaul for NR	16.0.0
2019-12	RAN#86	RP-192638	0011	-	В	Introduction of V2X	16.0.0
2019-12	RAN#86	RP-192639	0012	-	В	Introduction of Physical Layer Enhancements for URLLC	16.0.0
2019-12	RAN#86	RP-192641	0013	-	В	Introduction of MIMO enhancements	16.0.0
2019-12	RAN#86	RP-192642	0014	-	В	Introduction of UE Power Savings	16.0.0
2020-06	RAN#88-e	RP-200692	0015	-	F	Corrections to MIMO enhancements	16.1.0
2020-09	RAN#89-e	RP-201810	0017	-	F	DL Channel Combination associated with DCI format 2_6 monitoring	16.2.0
2020-09	RAN#89-e	RP-201807	0018	-	F	Corrections on 5G V2X sidelink features	16.2.0
2021-12	RAN#94-e	RP-212960	0019	-	F	Alignment CR for TS 38.202	16.3.0
2021-12	RAN#94-e	RP-212968	0020	-	В	Introduction of IIoT/URLLC enhancements in NR	17.0.0
2021-12	RAN#94-e	RP-212979	0021	-	В	Introduction of multicast and broadcast services	17.0.0
2022-03	RAN#95-e	RP-220263	0022	-	F	Corrections to NR support of multicast and broadcast services	17.1.0
2022-03	RAN#95-e	RP-220256	0023	-	F	Corrections on NR UE Power Saving Enhancements	17.1.0
2022-06	RAN#96	RP-221612	0024	-	F	Corrections to NR support of multicast and broadcast services	17.2.0
2022-12	RAN#98-e	RP-222864	0025	-	F	CR on the MBS reception type combinations to TS 38.202	17.3.0
2023-09	RAN#101	RP-232450	0026	-	F	Correction on simultaneous reception of SDT and other channels in TS 38.202	17.4.0
2023-09	RAN#101	RP-232458	0027	-	В	Release 18 TS38.202 Editor CR for MIMO	18.0.0
2023-09	RAN#101	RP-232469	0028	-	В	Release 18 TS38.202 Editor CR for NR sidelink evolution	18.0.0
2023-12	RAN#102	RP-233723	0031	1	A	Correction on NR Sidelink	18.1.0
2023-12	RAN#102	RP-233733	0032	-	В	Release 18 TS38.202 Editor CR for multicast in RRC_INACTIVE state	18.1.0
2023-12	RAN#102	RP-233719	0033	-	F	Release 18 TS38.202 Editor CR for SL-PRS transmission and Reception	18.1.0

2024-03	RAN#103	RP-240528	0034	-	F	Release 18 TS38.202 Editor CR for simultaneous SL-PRS transmission and Reception	18.2.0
2024-06	RAN#104	RP-241061	0035	-	F	Correction to 38.202 on support of combination of SL CA and SL positioning	18.3.0

History

Document history					
V18.2.0	May 2024	Publication			
V18.3.0	August 2024	Publication			