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TECHNICAL SPECIFICATION

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Contents

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

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should indicates a recommendation to do something

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need not indicates permission not to do something

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will indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

will not indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

might indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

might not indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

is (or any other verb in the indicative mood) indicates a statement of fact

is not (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

1 Scope

The present document specifies details of conformance testing of UE Multiple Input Multiple Output (MIMO) Over-the-Air (OTA) requirements and test methodologies for FR1 (NR SA and NSA). The correspondent testing methodologies are also presented in the Annex A of the present document.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".

[3] 3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".

[4] 3GPP TS 38.508-1: "5GS; User Equipment (UE) conformance specification; Part 1: Common test environment".

[5] 3GPP TR 38.901: "Study on channel model for frequencies from 0.5 to 100 GHz".

[6] 3GPP TS 38.101-4: "NR; User Equipment (UE) radio transmission and reception; Part 4: Performance requirements".

[7] 3GPP TS 38.151: "NR; User Equipment (UE) Multiple Input Multiple Output (MIMO) Over-the-Air (OTA) performance requirements".

[8] 3GPP TS 38.561: "UE TRP (Total Radiated Power) and TRS (Total Radiated Sensitivity) requirements and test methodologies for FR1 (NR SA and EN-DC); User Equipment (UE) conformance specification".

[9] IEEE Std 149: "IEEE Standard Test Procedures for Antennas", IEEE.

3 Definitions of terms, symbols, and abbreviations

3.1 Terms

For the purposes of the present document, the terms given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

Free Space (FS): UE used in a free space configuration

Handheld UE: UE intended to be used in hand held scenario

primary mechanical mode: mode that is most often used for a specific user scenario.

PAS Similarity Percentage (PSP): similarity of the PAS produced by the OTA system and the reference PAS. PSP is defined as (1-TVD) * 100 %. PSP=100 % denotes full similarity and PSP = 0 % denotes full dissimilarity.

3.2 Symbols

For the purposes of the present document, the following symbols apply:

$P_{RS\text{-}EPRE\text{-}MAX}$	Maximum downlink RS-EPRE

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

ACK	Acknowledgment
AOA	Azimuth angle Of Arrival
AOD	Azimuth angle Of Departure
ASA	Azimuth Spread of Arrival angles
ASD	Azimuth Spread of Departure angles
BS	Base Station
CASA	Cluster ASA
CASD	Cluster ASD
CDL	Clustered Delay Line
CIR	Channel Impulse Response
CSI	Channel state information
CSI-RS	CSI reference signal
CW	Continuous Wave
CZSA	Cluster ZSA
CZSD	Cluster ZSD
DML	Data Mode Landscape
DMP	Data Mode Portrait
DMRS	Demodulation reference signal
DMSU	Data Mode Screen Up
DUT	Device Under Test
EUT	Equipment Under Test
EVM	Error Vector Magnitude
FR1	Frequency Range 1
FRC	Fixed Reference Measurement Channel
FS	Free Space
gNB	Next Generation Node B
HARQ	Hybrid automatic repeat request
MIMO	Multiple Input Multiple Output
MPAC	Multi-Probe Anechoic Chamber
MU	Measurement Uncertainty
NACK	Not Acknowledged
NR	New Radio
NSA	Non-Standalone a mode of operation where operation of another radio is assisted with another radio
OCNG	OFDMA Channel Noise Generator
OTA	Over The Air
PAS	Power Angular Spectrum
PDP	Power Delay Profile
PDSCH	Physical downlink shared channel
PRB	Physical resource block
PSP	PAS Similarity Percentage
RE	Resource Element
RS-EPRE	Reference Signal-Energy Per Resource Element

SCS	Subcarrier spacing
SNR	Signal to Noise Ratio
SS	System Simulator
SSS	Secondary Synchronization Signal
TBS	Transport Block Size
TCI	Transmission Configuration Indicator
TRMS	Total Radiated Multi-antenna Sensitivity
UE	User Equipment
UMa	Urban Macro
UMi	Urban Micro
VNA	Vector Network Analyser
XPR	Cross-Polarization Ratio
ZOA	Zenith angle Of Arrival
ZOD	Zenith angle Of Departure
ZSA	Zenith angle Spread of Arrival
ZSD	Zenith angle Spread of Departure

4 General

Editor's Note: Intended to capture additional general information to be used within this test specification, such as follows:

4.3 Specification suffix information

4.4 Test point analysis

4.5 Applicability and test coverage rules

4.6 Pass fail decisions rule of test case based on Test Tolerance definitions.

4.1 Relationship between minimum requirements and test requirements

The Minimum Requirements given in 3GPP TS 38.151 [7] make no allowance for Measurement Uncertainty (MU). The present document defines the MU and Test Tolerances in Annex B for FR1 MIMO OTA. The test tolerances are used to relax the Minimum Requirements in 3GPP TS 38.151 [7] to create the Test Requirements.

4.2 Applicability of minimum requirements

The MIMO OTA minimum requirements apply only to the primary mechanical mode of UE which is declared by the manufacturer if the UE can support multiple mechanical modes.

The minimum requirements apply only to the UE under normal environmental conditions specified in Annex E.

4.3 Applicability rules for testing of SA and NSA UEs

The applicability and test coverage rules for Non-Standalone (NSA) only capable UEs shall include the following:

- For FR1 NSA (EN-DC) only capable UEs, testing is not required.

The applicability and test coverage rules for Standalone (SA) and NSA (EN-DC) capable UEs shall include the following:

- For FR1 UEs, for each NR band in a device, test the UE in Standalone Mode as per the TRMS test procedures in this specification. This shall also fulfil coverage for all EN-DC minimum performance requirements for that NR band and need not be retested in EN-DC mode.

5 Frequency Bands

5.1 General

NR MIMO OTA Requirements are defined separately for different Frequency Ranges (FR). The frequency ranges in which NR can operate according to this version of the specification are identified as described in Table 5.1-1.

Table 5.1-1: Definition of frequency ranges

Frequency range designation	Corresponding frequency range
FR1	410 MHz - 7125 MHz
FR2	24250 MHz - 52600 MHz

The present specification covers FR1 operating bands.

5.2 Operating bands

NR is designed to operate in FR1 operating bands defined in 3GPP TS 38.101-1 [3]. NSA band combinations are defined in 3GPP TS 38.101-3 [3].

6 FR1 MIMO OTA Performance

6.1 General

6.1.1 Definition of MIMO throughput

The MIMO throughput is defined here as the time-averaged number of correctly received transport blocks in a communication system running an application, where a Transport Block is defined in the reference measurement channel. From OTA perspective, this is also called MIMO OTA throughput. It will be used as the baseline figure of merit for FR1 and FR2 MIMO OTA testing.

The MIMO OTA throughput is measured at the top of physical layer of NR system under the use of FRC, the SS transmit fixed-size payload bits to the DUT. The DUT signals back either ACK or NACK to the SS. The SS then records the following:

- number of ACKs;
- number of NACKs; and
- number of DTX slots.

Hence the MIMO (OTA) throughput can be calculated as:

$$MIMO\ (OTA)\ Throughput = \frac{Transmitted\ TBS \times Num\ of\ \ ACKs}{MeasurementTime}$$

Where Transmitted TBS is the Transport Block Size transmitted by the SS, which is fixed for an FRC during the measurement period. Measurement Time is the total composed of successful slots (ACK), unsuccessful slots (NACK) and DTX-symbols.

The time-averaging is to be taken over a time period sufficiently long to average out the variations due to the fading channel. Therefore, this is also called the average MIMO OTA throughput. The throughput should be measured at a time when eventual start-up transients in the system have evanesced.

6.1.2 Total Radiated Multi-Antenna Sensitivity (TRMS)

Editor's note: The test case is incomplete. TT is pending further analysis.

6.1.2.1 Test Purpose

The purpose of this test is to ensure that the UE meets the TRMS minimum performance requirements.

6.1.2.2 Test Applicability

This test case applies to all types of NR UE release 17 and forward. See clause 4.2 for additional applicability.

6.1.2.3 Minimum Conformance Requirements

Editor's Note: TRMS values for n28/n79 are pending in RAN4 spec TS38.151.

The average TRMS of free space data mode portrait (FS DMP), free space data mode landscape (FS DML), and free space data mode screen up (FS DMSU), is defined as the FR1 MIMO OTA requirement. The averaging shall be done in linear scale for the TRMS results at these DUT positions, according to the formula:

$$TRMS_{average,70} = 10\log\left[3 \Big/ \left(\frac{1}{10^{S_{FS\_DMP,70}/10}} + \frac{1}{10^{S_{FS\_DML,70}/10}} + \frac{1}{10^{S_{FS\_DMSU,70}/10}} \right)\right]$$

where

$$S_{MODE,70} = 10\log\left[12 \Big/ \left(\frac{1}{10^{P_{MODE,70,0}/10}} + \frac{1}{10^{P_{MODE,70,1}/10}} + \cdots + \frac{1}{10^{P_{MODE,70,11}/10}} \right)\right]$$

Such that *MODE* is one of {*FS_DMP*, *FS_DML*, *FS_DMSU*}, and {$P_{MODE,70,0}$, …, $P_{MODE,70,11}$} are the measured sensitivity values at each azimuth position at the 70% throughput outage.

The reported TRMS value shall be corrected by $-0.5 \cdot \mathit{output\ level\ step\ resolution}$ of the final power step search and the correction shall be noted in the test report.

If 1 azimuth position does not result in a defined measured sensitivity at 70 % throughput, $S_{MODE,70}$ is calculated using the 11 measured sensitivities and the maximum downlink RS-EPRE $P_{RS\text{-}EPRE\text{-}MAX}$ (substitution approach) for the one missing result. $P_{RS\text{-}EPRE\text{-}MAX}$ is the maximum downlink RS-EPRE supported by the test system, and is defined as -80 dBm/15 kHz (or equivalent -77 dBm/30 kHz) for FR1 MIMO OTA.

The TRMS shall be measured at the mid channel as specified in 3GPP TS 38.508-1 [4], subclause 4.3.1.The average TRMS shall be lower than the average TRMS requirements specified in Table 6.1.2.3-1.

The additional criterion in azimuthal orientations shall be met:

- The EUT has to meet 70 % throughput in 11 of total 12 azimuthal orientations. If the EUT fails to meet this criterion even under maximum downlink power condition (i.e. $P_{RS\text{-}EPRE\text{-}MAX}$), the EUT shall fail the FR1 MIMO OTA test.
- The EUT has to meet 90 % throughput in 10 of total 12 azimuthal orientations. If the EUT fails to meet this criterion even under maximum downlink power condition (i.e. $P_{RS\text{-}EPRE\text{-}MAX}$), the EUT shall fail the FR1 MIMO OTA test.

FR1 TRMS minimum performance requirements for NR handheld UEs operating in SA mode in free space and the primary mechanical mode for 70% DL throughput with the corresponding measurement configurations (i.e. channel model and gNB configuration) specified in clause C.1 and clause E.1 are defined in Table 6.1.2.3-1.

Table 6.1.2.3-1: FR1 TRMS minimum performance requirements for NR handheld UEs operating on SA mode in free space and the primary mechanical mode

NR bands	Bandwidth (MHz)	MIMO layer	Channel model	Reference channel	$TRMS_{average,70}$
n28	10	2x2	FR1 UMi CDL-C	R.PDSCH.1-3.1 FDD	[…] dBm/15kHz
n41	40	4x4	FR1 UMa CDL-C	R.PDSCH.2-2.4 TDD	-93.3 dBm/30kHz
n78	40	4x4	FR1 UMa CDL-C	R.PDSCH.2-2.4 TDD	-94.8 dBm/30kHz
n79	40	4x4	FR1 UMa CDL-C	R.PDSCH.2-2.4 TDD	[…] dBm/30kHz

6.1.2.4 Test Description

6.1.2.4.1 Initial Conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

A radio communications tester or a corresponding device is used as a gNB simulator to setup calls to the DUT according to Clause D.1.

Channel model shall be set according to Clause C.1 and the emulated BS beam configuration shall be set according to Clause C.2.

Chamber environment constraints shall be the same as described in Clause A.2.1. The coordinate system shall match that of Clause A.3.

Environmental conditions from Annex E shall apply.

The positioning of the device under test within the test volume shall be set as defined in Clause A.3.

The calibration procedure is specified in Clause A.2.2.

Initial conditions are a set of test configurations the UE shall be tested in and the steps for the SS to take with the UE to reach the correct measurement state for each test case.

1) Ensure environmental requirements of Annex E are met.
2) Configure the test system according to Annexes C and D for the applicable test case.
3) Verify the implementation of the channel model as specified in clause C.3.
4) Position the UE in the chamber according to clause A.3.
5) Power on the UE.
6) Set up the connection.

NOTE: For step 3, the verification of the channel model implementation is usually performed once for each channel model as part of the laboratory accreditation process and will remain valid as long as the setup and instruments remain unchanged. Otherwise, the channel model validation may need to be performed prior to starting each throughput test.

6.1.2.4.2 Test Procedure

For throughput testing, the following steps shall be followed in order to evaluate NR MIMO OTA performance of the DUT:

1) Measure MIMO OTA throughput from one measurement point, the maximum downlink power $P_{RS-EPRE-MAX}$ is defined in clause 6.1.2. MIMO OTA throughput is the minimum downlink signal power resulting in a pre-defined throughput value, i.e. 70 % and 90 % of the maximum theoretical throughput. The downlink signal power step size shall be no more than 0.5 dB when RF power level is near the NR MIMO sensitivity level.
2) Rotate the UE around vertical axis of the test system by 30 degrees and repeat from step 1 until one complete rotation has been measured i.e. 12 different UE azimuth rotations.

3) Repeat the test from step 1 for each specified device orientation. A list of orientations is given in clause A.3.

4) The postprocessing method to calculate the average MIMO Throughput is defined in clause 6.

NOTE: For step 1 of throughput testing, the measurement is not needed to start from maximum downlink power each time. To save testing time, the starting downlink power can be set as a proper value (lower than maximum downlink power supported by test system) as long as all the throughput curve curves at 12 different UE azimuth rotations can reach at least 90% of the maximum theoretical throughput.

6.1.2.4 Test Requirements

Editor's Note: TRMS values for n28/n79 are pending in RAN4 spec TS38.151.

The test requirements are shown in Table 6.1.2.4-1.

Table 6.1.2.4-1: FR1 TRMS test requirements for NR handheld UEs operating on SA mode in free space and the primary mechanical mode

NR bands	Bandwidth (MHz)	MIMO layer	Channel model	Reference channel	$TRMS_{average,70}$
n28	10	2x2	FR1 UMi CDL-C	R.PDSCH.1-3.1 FDD	[…] dBm/15kHz
n41	40	4x4	FR1 UMa CDL-C	R.PDSCH.2-2.4 TDD	-93.3 dBm/30kHz + TT
n78	40	4x4	FR1 UMa CDL-C	R.PDSCH.2-2.4 TDD	-94.8 dBm/30kHz +TT
n79	40	4x4	FR1 UMa CDL-C	R.PDSCH.2-2.4 TDD	[…] dBm/30kHz

6.2 VOID

Annex A (normative): FR1 Test methodology

A.1 General

FR1 MIMO OTA requirement testing is based on UE-noise limited environmental condition, i.e. UE throughput characterized as a function of signal power incident to the DUT antennas.

The minimum test zone size for FR1 MIMO OTA test methods is 20cm. "Black-box" testing approach is adopted for NR MIMO OTA testing, the geometric centre of the EUT shall be placed in the centre of the test zone, the EUT shall be completely contained within the minimum test zone size.

FR1 MIMO OTA requirement testing should be performed under primary mechanical mode. The primary mechanical mode for devices having multiple mechanical modes shall be declared by the manufacturers. Single primary mechanical mode for each device should be declared for MIMO OTA conformance testing.

A.2 Multi-Probe Anechoic Chamber (MPAC)

A.2.1 System setup

MPAC test method is the reference methodology for FR NR MIMO OTA testing. By arranging an array of antennas around the Equipment Under Test (EUT), a spatial distribution of angles of arrival in MPAC system may be simulated to expose the EUT to a near field environment that appears to have originated from a complex multipath far field environment.

As illustrated schematically in Figure A.2.1-1, signals propagate from the base station/communication tester to the EUT through a simulated multipath environment known as a spatial channel model, where appropriate channel impairments such as Doppler and fading are applied to each path prior to injecting all of the directional signals into the chamber simultaneously through the antenna array. The resulting field distribution in the test zone is then integrated by the EUT antenna(s) and processed by the receiver(s) just as it would do so in any non-simulated multipath environment. MPAC system with 16 uniformly spaced dual-polarized probes is permitted for NR FR1 MIMO OTA testing.

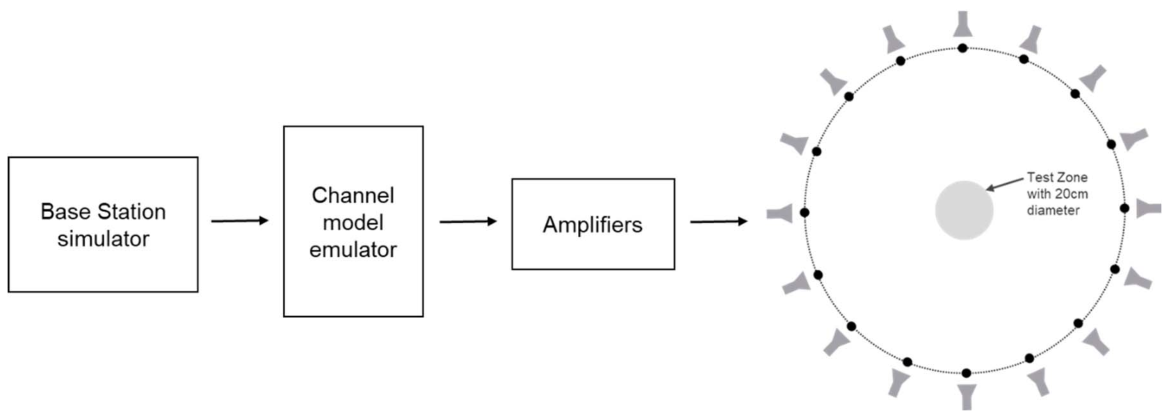


Figure A.2.1-1: MPAC system layout for NR FR1 MIMO OTA testing

For the selected environmental conditions modelled by the FR1 CDL channel models, the minimum setup configuration can be described as in Table A.2.1-1:

Table A.2.1-1: Example of a minimum setup for MPAC

	MPAC
Minimum number of antenna positions	16
Antenna spacing	22.5
Applicable channel model	FR1 UMi/UMa CDL-C

The setup of OTA chamber antennas with sixteen antenna positions is depicted in Figure A.2.1-2. The DUT is at the centre, and the antennas are aligned on a circle around the DUT with uniform spacing (e.g. 22.5° with 32 elements arranged in 16 positions, where each position contains a vertically and horizontally polarized antenna pair). Denoting directions of *K* OTA antennas with ϕ_k, $k = 1\dots K$, and antenna spacing of 22.5°. Each antenna is connected to a single fading emulator output port. In the figure, for example, antenna A_{1V} denotes the first OTA antenna position and Vertically (V) polarized element, A_{8H} denotes the eight OTA antenna position and horizontally (H) polarized element, etc.

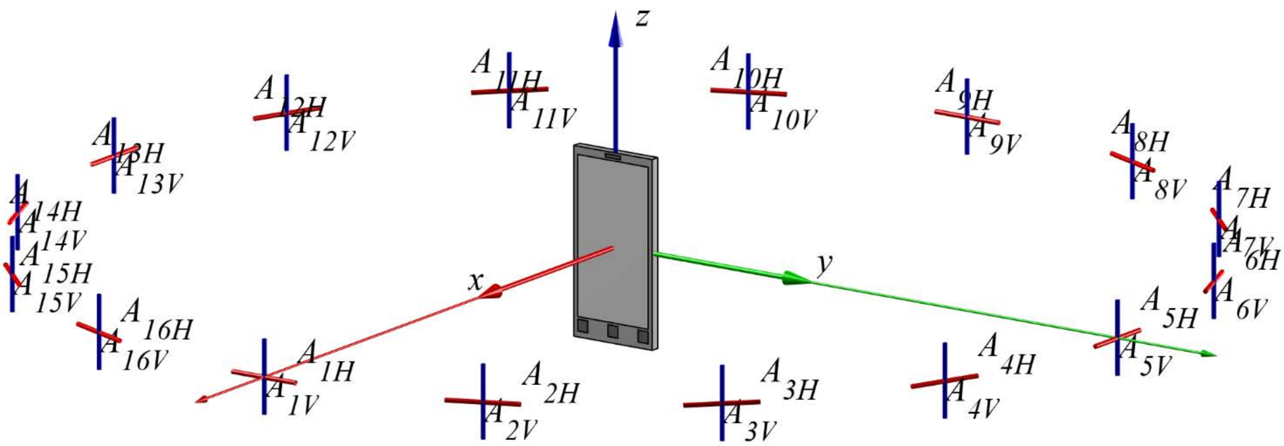


Figure A.2.1-2: OTA chamber antenna setup with sixteen uniformly spaced dual polarized chamber antennas

The OTA/channel model coordinate systems and probe placement are further clarified in Figure A.2.1-3. The *x*-axis of the coordinate system is aligned with the centre of probe #1 and the *x*, *y*, and *z* axes of the OTA coordinate system are aligned with the x_{CM}, y_{CM}, and z_{CM} axes of the channel model coordinate system.

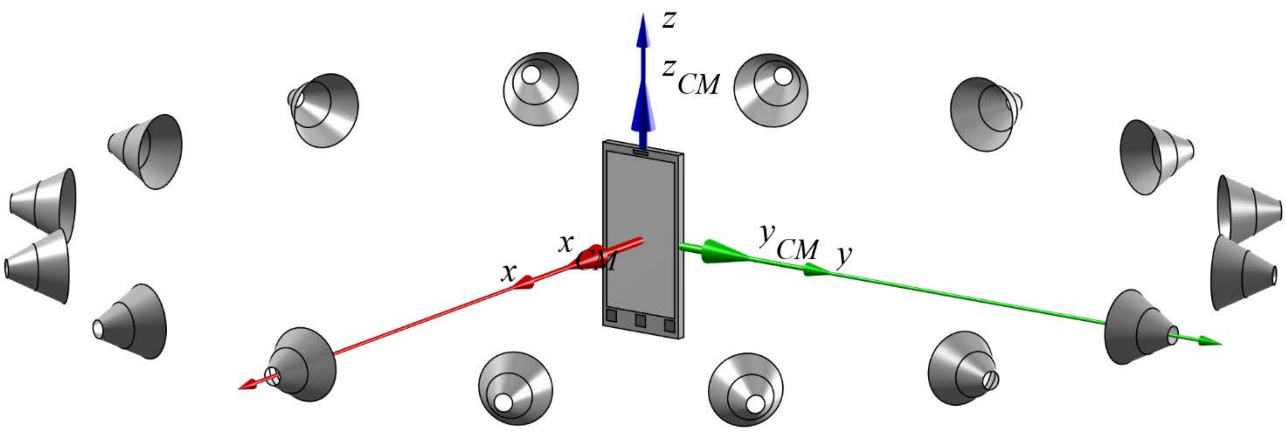


Figure A.2.1-3: Illustration of aligned OTA and channel model coordinate systems

A.2.2 Calibration procedure

The system needs to be calibrated by using a reference calibration antenna with known gain values in order to ensure that the downlink signal power is correct. In Non-Standalone (NSA) mode, the LTE link antenna provides a stable LTE signal without precise path loss or polarization control.

Unlike traditional TRP/TRS testing where the path loss corrections can all be applied as a post processing step to the measured data, the path loss for each probe in the MPAC system has to be balanced at test time in order to generate the desired channel model environment within the test zone of the chamber. The imbalance of each path during testing would result in an alteration of the angular dependence of the channel model (i.e. varied characteristics of generated channel model) within the test zone of the chamber:

1) Place a vertical reference dipole in the centre of the test zone, connected to a VNA port, with the other VNA port connected to the input of the channel emulator unit.

2) Configure the channel emulator for bypass mode.

3) Measure the response of each path from each vertical polarization probe to the reference antenna in the centre of test zone.

4) Adjust the power on all vertical polarization branches of the channel emulator so that the powers received at the centre are equal.

5) Repeat the steps 1 to 4 with the magnetic loop or horizontally polarized reference dipole instead and adjust the horizontal polarization branches of the channel emulator.

6) The worst-case path loss becomes the reference path loss of the entire system; this loss is used to compute the power in the centre of the test zone relative to the output power of the Base Station simulator. Besides, based on the reference path loss, the relative offset of each path loss shall be corrected.

NOTE: Calibration based on other antennas, e.g. horn antennas is not precluded.

This procedure shall be repeated on a regular basis, i.e., at least one per year, and any time the conducted and/or radiated signal paths have been affected, e.g., change in propagation conditions, replacement of cables, change in measurement antennas/probes, etc. It is recommended to perform this procedure rather frequently, e.g., monthly, to assess system stability.

A.2.3 Void

A.2.4 Minimum Range Length

The minimum range length of FR1 MPAC system is defined as the distance from the centre of the test zone to the aperture of the measurement probes/antennas, as illustrated in Figure A.2.4-1.

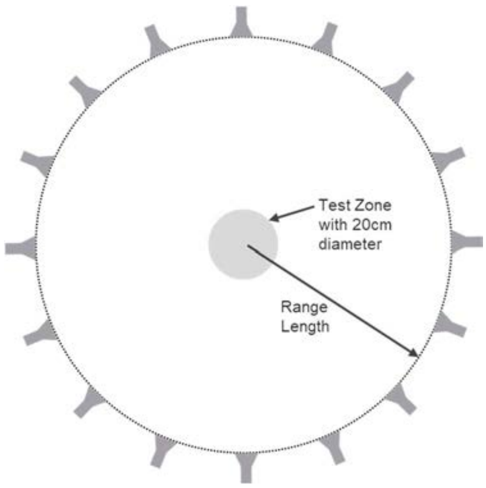


Figure A.2.4-1: Illustration of range length definition of FR1 MPAC

The minimum range length for NR FR1 MPAC OTA systems with 20cm test zone size is 1.2 m. While for MPAC systems, the far-field requirements do not have to apply, it was shown that the spatial correlation can be impacted significantly for distances below 1.2 m.

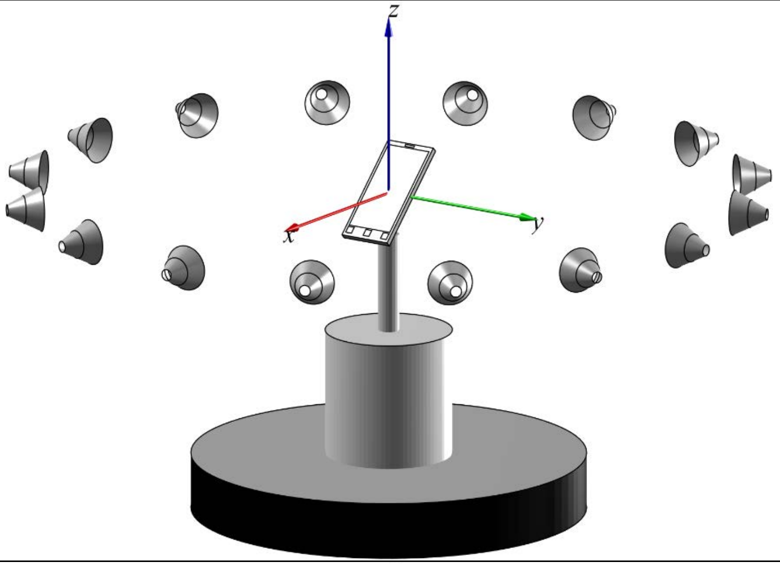
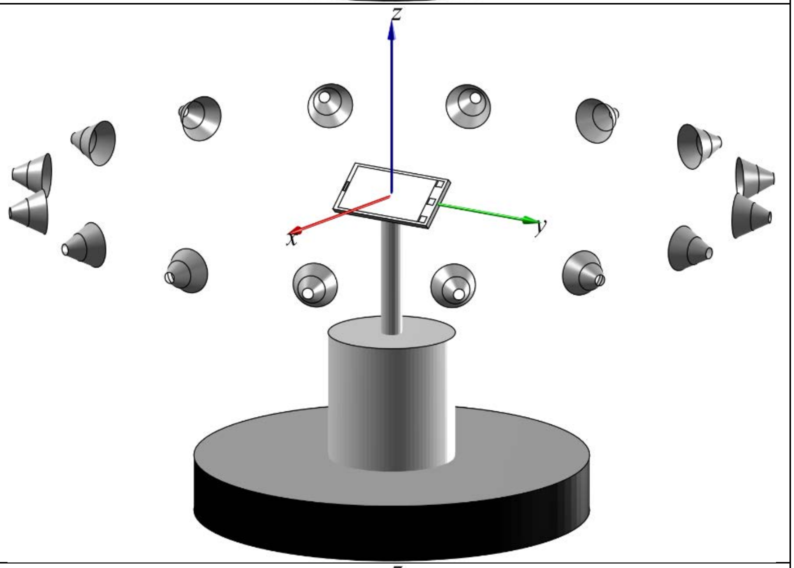
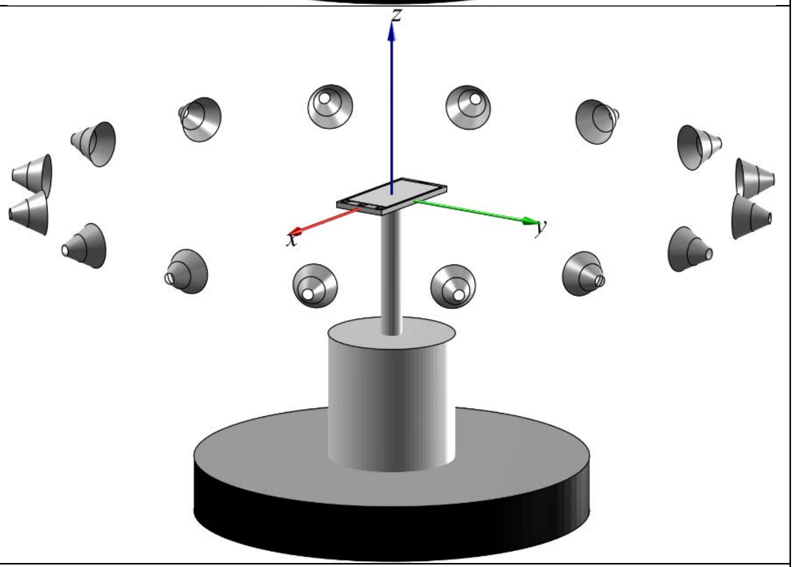
A.3 EUT positioning

This Clause defines the measurement coordinate system for the NR MIMO OTA.

For FR1 MIMO OTA, the DUT shall be tested under Free Space Data Mode Portrait (FS DMP), Free Space Data Mode Landscape (FS DML), and Free Space Data Mode Screen Up (FS DMSU), the DUT azimuthal rotation shall be performed over 360 degrees per orientation in 30 degree steps (12 total positions). The three different test and environment conditions for handsets are summarized in Table A.3-1.

The geometric centre of the DUT shall be aligned with the centre of the test zone/coordinate system and the DUT shall be fully contained within the test zone, i.e., a sphere with 20 cm diameter.

Table A.3-1: Summary of testing environment conditions for devices supporting DL MIMO data reception

DUT type	Testing condition	DUT orientation angles	Diagram
Handset	FS DMP	Ψ=0° Θ=−45° Φ=0°	
Handset	FS DML – Left Tilt	Ψ=90° Θ=−45° Φ=0°	
Handset	FS DMSU	Ψ=0° Θ=−90° Φ=0°	
NOTE: Rotation angles defined in Figure A.4-2 with the reference coordinate system defined in Clause A.4.			

A.4 Reference coordinate system

This clause defines the measurement coordinate system for the NR UE. The reference coordinate system as defined in IEEE Std 149 [9] is provided in Figure A.4-1 below while Figure A.4-2 shows an example DUT in the default alignment, i.e., the DUT and the reference coordinate systems are aligned with α = 0º and β = 0º and γ = 0º where α, β, and γ describe the relative angles between the two coordinate systems.

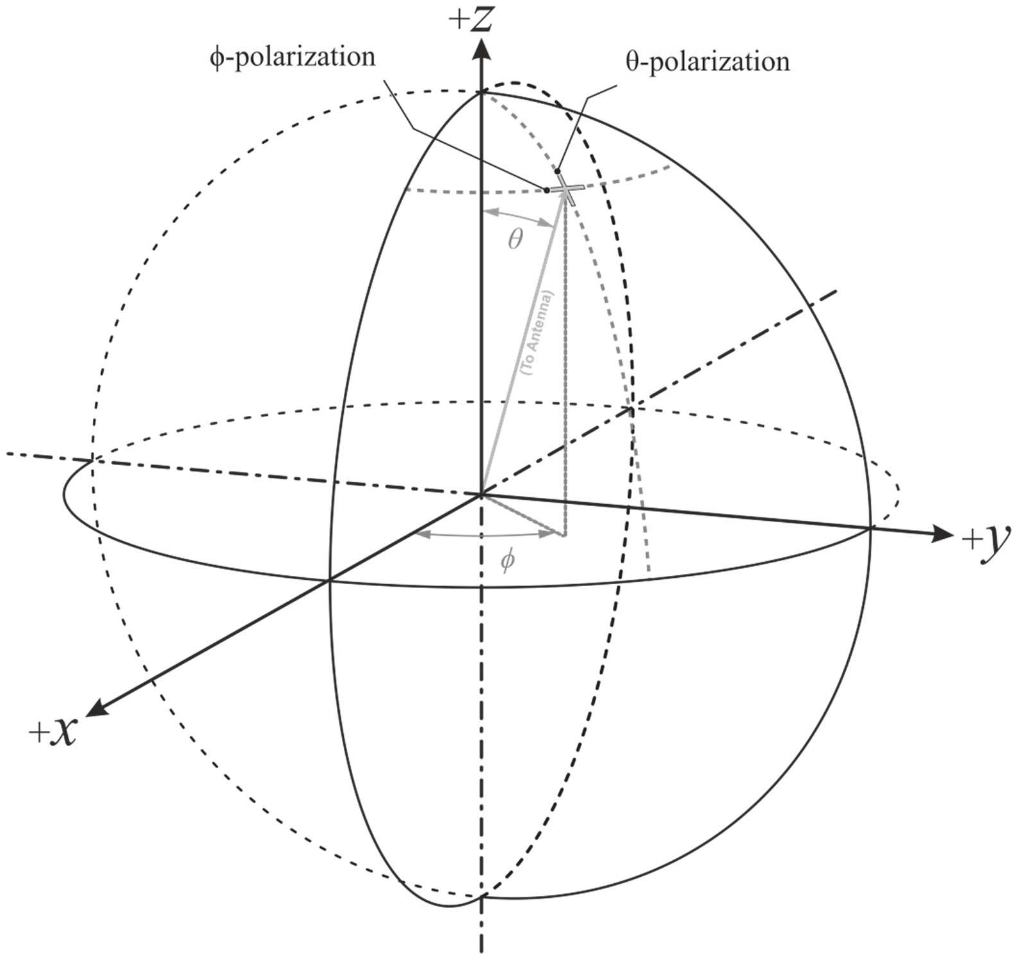


Figure A.4-1: Reference coordinate system

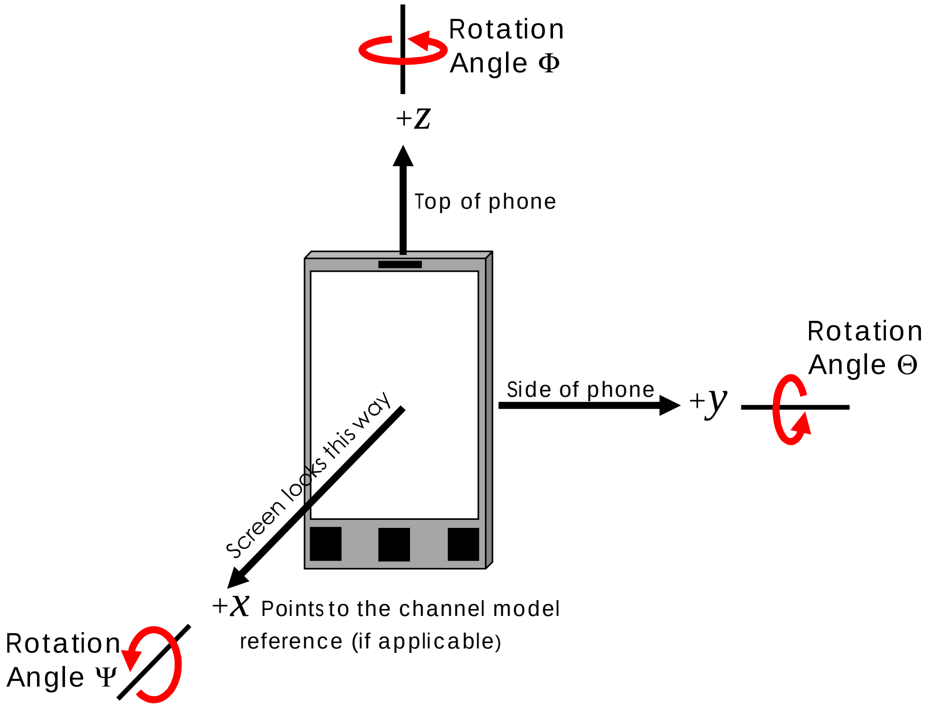


Figure A.4-2: DUT default alignment of example smartphone UE to coordinate system

The following aspects are necessary:

- A basic understanding of the top and bottom of the device is needed in order to define unambiguous DUT positioning requirements for the test, e.g., in the drawings used in this annex, the three buttons are on the bottom of the device (front) and the camera is on the top of the device (back).

- An understanding of the origin and alignment the coordinate system inside the test system i.e. the directions in which the *x*, *y*, *z* -axes points inside the test chamber is needed in order to define unambiguous DUT orientation, DUT beam, signal, interference, and measurement angles

Annex B (informative): Estimation of measurement uncertainty

B.1 MU budget of FR1 MPAC system

This clause defines the measurement uncertainty (MU) for FR1 MPAC system, as shown in Table B.1-1.

Table B.1-1: Preliminary measurement uncertainty budget for FR1 MPAC system

UID	Description of uncertainty contribution	Example value (410 MHz <f ≤ 3 GHz) [dB]	Example value (3 GHz < f ≤ 7.125GHz) [dB]	Distribution of the probability	Std Uncertainty (410 MHz < f ≤ 3 GHz) [dB]	Std Uncertainty (3 GHz < f ≤ 7.125GHz) [dB]
Stage 2: DUT measurement						
1	Mismatch for measurement process	0	0	U-Shaped	0	0
2	Measure distance uncertainty	0	0	Normal	0	0
3	Quality of quiet zone	0.5	0.5	Actual	0.5	0.5
4	Base Station simulator	1.3	1.3	Normal	0.65	0.65
5	Channel Emulator - absolute output power - output signal stability - output stability with temperature	1.5 0.5 0.4	1.5 0.5 0.4	Actual (Normal - power; rect-stability)	0.84	0.84
6	Amplifier uncertainties	0.7	0.7	Rectangular	0.40	0.40
7	Random uncertainty	0.4	0.4	Normal	0.20	0.20
8	Throughput measurement: output level step resolution	0	0	Rectangular	0	0
9	Signal flatness	0	0	Normal	0	0
Stage 1: Calibration measurement						
10	Mismatch for calibration process - loopback cable path - system input path - reference antenna	0.2	0.2	U-Shaped	0.14	0.14
11	Reference antenna positioning misalignment	0	0	Normal	0	0
12	Quality of quiet zone	0.5	0.5	Rectangular	0.29	0.20
13	Total uncertainty of the Network Analyzer	0.2	0.5	Normal	0.10	0.25
14	Uncertainty of an absolute gain of the calibration antenna	0.58	0.58	Normal	0.29	0.29
15	Offset of the Phase Center of the Reference Antenna	0	0	Normal	0	0
Total Expanded Uncertainty, U, with 95 % Confidence Interval					**2.61**	**2.65**

The detailed descriptions of each measurement uncertainty contributor are defined in in clause B.2.

B.2 Measurement error contribution descriptions for MPAC

B.2.1 Mismatch for measurement process

This term comes from the mismatch between the system input cables connecting to the base station simulator output port. For more information, see clause A.4.2.1 of 3GPP TS 38.561 [8].

B.2.2 Measurement distance uncertainty

The cause of this uncertainty contributor is due to the reduction of distance between the measurement antenna and the DUT. Given that 1.2 m is defined as the minimum range length for FR1 MPAC system and the device is not offset from the axis(es) of rotation, this term could be set as 0 dB.

B.2.3 Quality of quiet zone

The quality of the quiet zone procedure characterizes the quiet zone performance of the anechoic chamber, specifically the effect of reflections within the anechoic chamber including any positioners and support structures. For FR1 quality of quiet zone measurements, reference antennas of sleeve dipole or magnetic loop are used. For more information, see clauses A.3.7 and A.4.2.8 of 3GPP TS 38.561 [8] with the exception that only the phi-axis ripple test is performed for MIMO OTA.

The ripple test shall be repeated when the RF/propagation conditions inside the chamber have changed, e.g., the chamber has been disassembled and reassembled, portions of the absorber been replaced, measurement antennas/probes been replaced, positioning system been replaced, etc.

B.2.4 Base Station simulator

gNB emulator is used to drive a signal to the channel emulator and then to the device under test. Generally there occurs uncertainty contribution from absolute level accuracy, non-linearity and frequency characteristic of the gNB emulator.

For practical reasons, in a case that a VNA is used as a calibration equipment, gNB emulator is connected to the system after the calibration measurement is performed by the VNA. Hence, the uncertainty on the absolute level of gNB emulator (transmitter device) cannot be assumed as systematic. This uncertainty will be determined from the manufacturer's datasheet and the distribution used shall match that provided in the datasheet which are commonly quoting MUs/accuracies with a "95 % confidence level" and/or a "coverage factor of 2". In the absence of a declared distribution in the datasheet, the rectangular distribution should be used. Furthermore, the uncertainty of the non-linearity is included in the absolute level uncertainty.

B.2.5 Channel Emulator

The channel emulator is also working as a signal source in the NR MIMO OTA system, therefore there occurs uncertainty contribution from absolute level accuracy, non-linearity, frequency characteristic and stability of the channel emulator. These uncertainty contributions shall be taken from the manufacturer's data sheet.

B.2.6 Amplifier uncertainties

Any components in the setup can potentially introduce measurement uncertainty. It is then needed to determine the uncertainty contributors associated with the use of such components. For the case of external amplifiers, the following uncertainties should be considered but the applicability is contingent to the measurement implementation and calibration procedure:

- Stability:

- An uncertainty contribution comes from the output level stability of the amplifier. Even if the amplifier is part of the system for both measurement and calibration, the uncertainty due to the stability shall be considered. This uncertainty can be either measured or determined by the manufacturers' data sheet for the operating conditions in which the system will be required to operate.

- Linearity:

  - An uncertainty contribution comes from the linearity of the amplifier since in most cases calibration and measurements are performed at two different input/output power levels. This uncertainty can be either measured or determined by the manufacturers' data sheet.

- Noise Figure:

  - When the signal goes into an amplifier, noise is added so that the SNR at the output is reduced with regard to the SNR of the signal at the input. This added noise introduces error on the signal which affects the Error Rate of the receiver thus the EVM (Error Vector Magnitude). An uncertainty can be calculated through the following formula:

$$\varepsilon_{EVM} = 20\log_{10}\left(1 + 10^{\frac{-SNR}{20}}\right)$$

  - Where SNR is the signal to noise ratio in dB at the signal level used during the sensitivity measurement.

- Mismatch:

  - If the external amplifier is used for both stages, measurement and calibration the uncertainty contribution associated with it can be considered systematic and constant -> 0dB. If it is not the case, the mismatch uncertainty at its input and output shall be either measured or determined by the method described in 3GPP TR 38.901 [5].

- Gain:

  - If the external amplifier is used for both stages, measurement and calibration the uncertainty contribution associated with it can be considered systematic and constant -> 0 dB. If it is not the case, this uncertainty shall be considered.

B.2.7 Random uncertainty

This contribution is used to account for all the unknown, unquantifiable, etc. uncertainties associated with the measurements.

Random uncertainty MU contributions are normally distributed. The random uncertainty term, by definition, cannot be measured, or even isolated completely. A fixed value of 0.4 dB is suggested for TRMS measurements to include a digital error rate uncertainty and is aligned with the random uncertainty for TRS for NR FR1 (3GPP TS 38.561 [8]).

B.2.8 Throughput measurement: output level step resolution

When output power of the communication tester is swept to reach the throughput target that defines the sensitivity threshold, the final power step resolution represents an asymmetric uncertainty contribution that can be corrected since this uncertainty is device and test system independent. The lab shall correct the TRMS results by -0,5 $output\ level\ step\ resolution$ of the final power step search and note the correction in the test report. If the alternate DL signal power search procedure with linearization, detailed in clause A.2.3 is applied to assess the DL signal power at each grid point, no correction of TRMS shall be applied and no additional MU shall be considered.

B.2.9 Signal flatness

For wireless technologies with wide channel bandwidths, the test system might not have a flat frequency response across the entire channel. While the range calibration corrects for any variation of frequency response as a function of the centre frequency of the channel, the broadband delivered to the test zone will be a function of the entire channel bandwidth as opposed to just the centre frequency. For more information, see clause A.4.2.14 of 3GPP TS 38.561 [8].

B.2.10 Mismatch for calibration process

During calibration stage, there will be impendence mismatch between the various RF cables and components used within the system. Standing waves are created by the reflections between any two components and uncertainty in the signal level will be generated. In general, three mismatch for calibration process should be considered:

- Loopback cable path: This item comes from the mismatch between the reference cable and the loopback cable during the loopback cable measurement step.

- System input path: This item comes from the mismatch between the loopback cable and the system input cable (generally the output cable after BS simulator). The reflectivity of the source output port is measured at the end of the loopback cable connecting to the system input cable.

- Reference antenna: This item comes from the mismatch between the VNA input port and the reference antenna. The reflectivity of the VNA input port is measured at the end of the reference cable connecting to the reference antenna.

For more information, see clause A.4.2.1 of 3GPP TS 38.561 [8].

B.2.11 Reference antenna positioning misalignment

This contribution originates from reference antenna alignment and pointing error. In this measurement if the maximum gain directions of the reference antenna and the receiving antenna are aligned to each other, this contribution can be considered negligible and therefore set to zero.

B.2.12 Total Uncertainty of the Network Analyzer

This contribution originates from all uncertainties involved transmission magnitude measurement (including drift and frequency flatness) with a network analyser. will be determined from the manufacturer's datasheet which is now commonly quoting MUs/accuracies with a "95 % confidence level" and/or a "coverage factor of 2"and the distribution used shall match that provided in the datasheet. In the absence of a declared distribution in the datasheet, the rectangular distribution should be used.

B.2.13 Uncertainty of an absolute gain of the calibration antenna

The calibration antenna only appears in calibration phase (Stage 1). Therefore, the gain uncertainty has to be taken into account.

This uncertainty shall come from a calibration report (which is now commonly quoting MUs/accuracies with a "95 % confidence level" and/or a "coverage factor of 2") with traceability to a National Metrology Institute with measurement uncertainty budgets generated following the guidelines outlined in internationally accepted standards. In the absence of a declared distribution in the present document, the rectangular distribution should be used.

B.2.14 Offset of the Phase Center of the Reference Antenna

During range reference measurement, if a directional antenna is used, the uncertainty in the accuracy of positioning its phase center on the axis of rotation will directly generate an uncertainty in this part of the measurement. In practical measurement, sleeve dipoles and loops are used for FR1 calibration, then the uncertainty of this element should be 0 dB, since the phase center offset is negligible.

Annex C (normative): FR1 Channel models and Validation procedure

C.1 FR1 Channel models

The following channel models are required for FR1 MIMO OTA measurement.

The generic models are Table C.1-1 FR1 UMi CDL-C and Table C.1-2 FR1 UMa CDL-C, which do not include base station antenna filtering. UMi CDL-C and UMa CDL-C are selected to define 2x2 and 4x4 MIMO OTA requirements, respectively.

Therefore, in addition, the BS beam filtering effect defined in clause C.2 also apply when emulating the channel models.

Table C.1-1: Channel model parameters for UMi CDL-C at 3.5 GHz

Cluster #	Absolute Delay [ns]	Power in [dB]	AOD in [°]	AOA in [°]	ZOD in [°]	ZOA in [°]
1	0	-4.4215	-36.1891	-122.2815	98.9242	90
2	20.99	-1.25	-21.5937	125.831	99.1915	90
3	22.19	-3.4684	-21.5937	125.831	99.1915	90
4	23.29	-5.2294	-21.5937	125.831	99.1915	90
5	21.76	-2.5215	-32.5709	-143.6126	99.5732	90
6	63.66	0	-7.4275	166.4003	99.306	90
7	64.48	-2.2185	-7.4275	166.4003	99.306	90
8	65.6	-3.9794	-7.4275	166.4003	99.306	90
9	65.84	-7.4215	37.2175	73.8315	100.4513	90
10	79.35	-7.1215	-47.1664	82.7664	98.5616	90
11	82.13	-10.7215	41.5716	-79.6999	100.6231	90
12	93.36	-11.1215	-67.1585	66.9895	98.218	90
13	122.85	-5.1215	-41.5244	84.0543	100.165	90
14	130.83	-6.8215	-47.0437	-96.2818	100.2604	90
15	217.04	-8.7215	-55.7519	94.8406	98.1225	90
16	271.05	-13.2215	55.3698	53.9494	100.2604	90
17	425.89	-13.9215	53.2234	16.0364	98.4852	90
18	460.03	-13.9215	46.8456	32.2963	98.1416	90
19	549.02	-15.8215	-70.1021	18.2098	97.9698	90
20	560.77	-17.1215	48.9306	37.0455	100.7376	90
21	630.65	-16.0215	49.6052	33.7452	98.1225	90
22	663.74	-15.7215	57.7615	29.801	98.1034	90
23	704.27	-21.6215	65.6725	11.6092	100.4513	90
24	865.23	-22.8215	-83.5324	56.2837	100.9476	90
Per-Cluster Parameters						
Parameter	CASD in [°]	CASA in [°]	CZSD in [°]	CZSA in [°]	XPR in [dB]	
Value	1.2265	12.0742	0.5726	0	7	

Table C.1-2: Channel model parameters for UMa CDL-C at 3.5 GHz

Cluster #	Absolute Delay [ns]	Power in [dB]	AOD in [°]	AOA in [°]	ZOD in [°]	ZOA in [°]
1	0	-4.4215	-37.4195	-96.4031	96.7645	90
2	76.6135	-1.25	-21.7362	118.7405	98.4506	90
3	80.9935	-3.4684	-21.7362	118.7405	98.4506	90
4	85.0085	-5.2294	-21.7362	118.7405	98.4506	90
5	79.424	-2.5215	-33.5316	-124.0196	100.8594	90
6	232.359	0	-6.5142	171.2639	99.1732	90
7	235.352	-2.2185	-6.5142	171.2639	99.1732	90
8	239.44	-3.9794	-6.5142	171.2639	99.1732	90
9	240.316	-7.4215	41.4581	51.4188	106.3995	90
10	289.6275	-7.1215	-49.2149	62.9864	94.4761	90
11	299.7745	-10.7215	46.1367	-41.2744	107.4834	90
12	340.764	-11.1215	-70.697	42.5606	92.3083	90
13	448.4025	-5.1215	-43.1524	64.6538	104.5929	90
14	477.5295	-6.8215	-49.0831	-62.7423	105.1951	90
15	792.196	-8.7215	-58.4403	78.6184	91.7061	90
16	989.3325	-13.2215	60.9633	25.6781	105.1951	90
17	1554.4985	-13.9215	58.6569	-23.4063	93.9944	90
18	1679.1095	-13.9215	51.8037	-2.3553	91.8265	90
19	2003.923	-15.8215	-73.86	-20.5926	90.7426	90
20	2046.8105	-17.1215	54.0442	3.7933	108.2061	90
21	2301.8725	-16.0215	54.7691	-0.4794	91.7061	90
22	2422.651	-15.7215	63.5332	-5.5859	91.5856	90
23	2570.5855	-21.6215	72.0338	-29.1381	106.3995	90
24	3158.0895	-22.8215	-88.2912	28.7003	109.5309	90
Per-Cluster Parameters						
Parameter	CASD in [°]	CASA in [°]	CZSD in [°]	CZSA in [°]	XPR in [dB]	
Value	1.3179	15.632	3.6131	0	7	

C.2 FR1 Base Station beam configuration

The emulated BS beam configuration to be used for all emulation of channel models defined in Annex C.1 is specified in this clause.

The Base Station beam configuration includes basic antenna parameters and beamforming characteristic. The basic BS antenna parameters is defined in Table C.2-1.

Table C.2-1: BS Antenna Parameters

Parameter description	Symbol	Parameter value	
		FR1 ≤ 2.5GHz	FR1 > 2.5GHz
Antenna panels in vertical dimension	M_g	1	1
Antenna panels in horizontal dimension	N_g	1	1
Elements per panel in vertical dimension	M_e	4	8
Elements per panel in horizontal dimension	N_e	8	8
Number of polarizations per panel	P	2	2
Element spacing in horizontal dimension (λ)	d_H	0.5	0.5
Element spacing in vertical dimension (λ)	d_V	0.5	0.5

Antenna element radiation patterns, including orientation of the element main polarization components as well as orientation of the antenna array are as in the example pattern in Table 7.3-1 of 3GPP TR 38.901 [5]. The antenna element has ±45° polarization components and the radiation pattern parameters are θ_{3dB} = 65°, ϕ_{3dB} = 65°, A_{max} = 30dB, SLAv = 30dB, $G_{E,max}$ =8 dBi.

The beamforming characteristic of the FR1 BS pattern is defined as follow:

- A code book of 60 fixed beams is constructed to a grid of five elevation angles from -20° to +20° with 10° steps and 12 azimuth angles from -80° to +80° with ~15° steps.
- For 4x4 MIMO OTA, two strongest transmitting beams are selected from the pre-defined beam grid based on their proximity to the strong clusters of each FR1 channel model. These beams should have different azimuth directions and can provide the highest receive power for UE.
- For 2x2 MIMO OTA, 1 strongest transmitting beam is selected from the pre-defined beam grid which provides the highest received power for UE based on the FR1 channel model.
- Beam directions for channels model given in clause C.1 are:
  - For UMa CDL-C, the beam directions are:
    - Strongest beam: AoD: -7.27°, ZoD: 100°
    - 2nd strongest beam: AoD: -21.82°, ZoD: 100°
  - For UMi CDL-C, the strongest beam direction is: AoD: -7.27°, ZoD: 100°.

C.3 FR1 Channel model validation

C.3.1 General

This clause describes the MIMO OTA validation measurements, in order to ensure that the channel models are correctly implemented and hence capable of generating the propagation environment, as described by the model, within the test zone.

The following measurements shall be done for FR1 channel model validation:

- Power Delay Profile (PDP).
- Doppler/Temporal correlation.
- Spatial correlation.
- Cross-polarization.
- Power validation.

Frequencies to be used to test for channel model validation.

Table C.3.1-1: Frequencies for PDP, Doppler, Spatial correlation and Cross-polarization validation

NR FR1 Bands	Range	Test frequency (MHz)
n71	Low	617 MHz
n12, n17, n29, n14, n28		722 MHz
n5, n8, n18, n20		836.5 MHz
n50, n51, n74	Mid	1575.42 MHz
n3, n2, n25, n39		1880 MHz
n1, n34, n65		2132.5 MHz
n7, n30, n41, n40, n38, [n90]		2450 MHz
n77,n78	High	3600 MHz
n79		[4700 MHz]

Table C.3.1-2: Frequencies for Power validation

NR FR1 Bands	Range	Test frequency (centre frequency of each band)
n71	Low	n71
n12, n17, n29, n14, n28		n28
n5, n8, n18, n20		n8
n50, n51, n74	Mid	n51
n3, n2, n25, n39		n3
n1, n34, n65		n1
n7, n30, n41, n40, n38, [n90]		n41
n77, n78	High	n78
n79		n79

C.3.2 Power Delay Profile (PDP)

This measurement checks that the resulting power delay profile (PDP) is in-line with the PDP defined for the channel model. For PDP validation measurement, only Vertical validation is required.

The PDP measurement is performed with a Vector Network Analyser (VNA). An example setup for PDP measurement is shown in Figure C.3.2-1. VNA transmits frequency sweep signals thorough the NR MIMO OTA test system. A reference antenna (i.e. dipole antenna), within the centre of the test zone, receives the signal and VNA analyses the frequency response of the system. A number of traces (frequency responses) are measured and recorded by VNA and analysed by a post processing SW, e.g. Matlab. Special care has to be taken into account to keep the fading conditions unchanged, i.e. frozen, during the short period of time of a single trace measurement. The fading may proceed only in between traces.

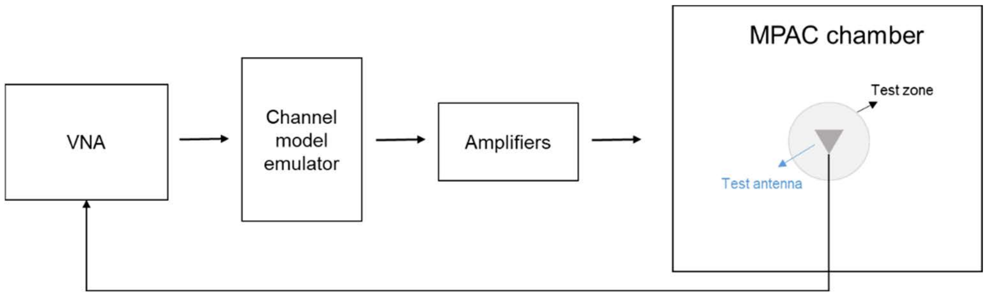


Figure C.3.2-1: Setup for PDP measurements

Step the emulation and store traces from VNA, i.e. run the emulation to CIR number 1, pause, measure VNA trace, run the emulation to CIR number 10, pause, measure VNA trace. Continue until 1000 VNA traces are measured.

VNA settings for PDP measurements are presented in Table C.3.2-1.

Table C.3.2-1: VNA settings for PDP measurements

Item	Unit	Value
Centre frequency	MHz	Downlink centre frequency in Table C.3.1-1
Span	MHz	200
Number of traces		1000
Number of points		1101
Averaging		1

Channel model specification for PDP measurements is presented in Table C.3.2-2.

Table C.3.2-2: Channel model specification for PDP measurements

Item	Unit	Value
Centre frequency	MHz	Downlink centre frequency in Table C.3.1-1
Distance between traces in channel model	wavelength (note)	> 2
Channel model		As specified in clause C.1
NOTE: Time [s] = distance [λ] / MS speed [λ/s]. MS speed [λ/s] = MS speed [m/s] / Speed of light [m/s] * Centre frequency [Hz].		

Method of measurement result analysis:

Measured VNA traces (frequency responses H(t,f)) are saved into a hard drive. The data is read into, e.g. Matlab. The analysis is performed by taking the Fourier transform of each FR. The resulting impulse responses h(t,τ) are averaged in power over time:

$$P(\tau) = \frac{1}{T}\sum_{t=1}^{T}\left|h(t,\tau)\right|^2$$

Finally the resulting PDP is shifted in delay, such that the first tap is on delay zero.

Beam-Specific Block Diagram

It is assumed that the beams are mapped to the inputs of the channel emulator as follows:

- Beam 1: Input 1 and Input 2.
- Beam 2: Input 3 and Input 4 (CDL-C UMa only).

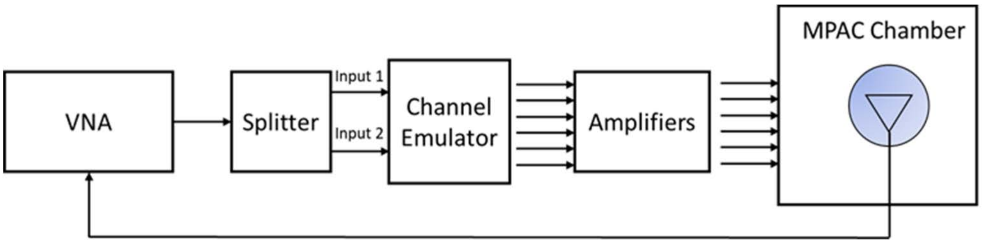


Figure C.3.2-2: Setup for Beam-Specific PDP measurements (Beam 1)

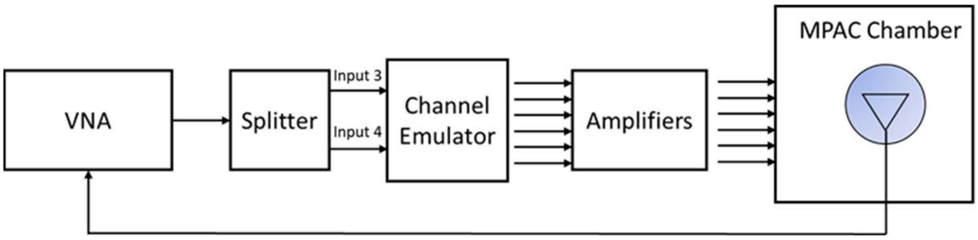


Figure C.3.2-3: Setup for Beam-Specific PDP measurements (Beam 2 CDL-C UMa only)

The detailed PDP reference value for CDL-C UMa and CDL-C UMi validation are defined in the following tables:

Table C.3.2-3: PDP Targets for CDL-C UMa beam 1 at ≤ 2.5 GHz

Combined Clusters index	Delay(ns)	Power(dB)
1	0	-34.3
2-5	80	-19.5
6-8	235	0
9-10	290	-33.0
11	450	-35.8
12	480	-34.0

Table C.3.2-4: PDP Targets for CDL-C UMa beam 2 at ≤ 2.5 GHz

Combined Clusters index	Delay(ns)	Power(dB)
1	0	-27.9
2-5	80	0
6-8	235	-18.4
9-10	290	-27.8
11	450	-27.9
12	480	-28.0

Table C.3.2-5: PDP Targets for CDL-C UMa beam 1 at > 2.5 GHz

Combined Clusters index	Delay(ns)	Power(dB)
1	0	-34.2
2-5	80	-19.3
6-8	235	0
9	290	-34.7
10	450	-35.8
11	480	-34.7

Table C.3.2-6: PDP Targets for CDL-C UMa beam 2 at > 2.5 GHz

Combined Clusters index	delay(ns)	power(dB)
1	0	-27.8
2-5	80	0
6-8	235	-18.3
9-10	290	-28.9
11	450	-28.1
12	480	-28.8

Table C.3.2-7: PDP Targets for CDL-C UMi at ≤ 2.5 GHz and > 2.5 GHz

Combined Clusters index	Delay(ns)	Power(dB)
1	0	-30.7
2-5	20	-19.2
6-10	65	0
11-12	130	-31.4

C.3.3 Doppler/Temporal correlation

This measurement checks the Doppler/temporal correlation. For Doppler/Temporal correlation validation measurement, only Vertical validation is required.

The Doppler spectrum is measured with a spectrum analyser as shown in Figure C.3.3-1. In this case a signal generator transmits CW signal through the NR MIMO OTA test system. The signal is received by a test antenna within the test area. Finally, the signal is analysed by a spectrum analyser and the measured spectrum is compared to the target spectrum. This setup can be used to measure Doppler Spectrum of the Channel models defined in clause C.1.

Method of measurement:

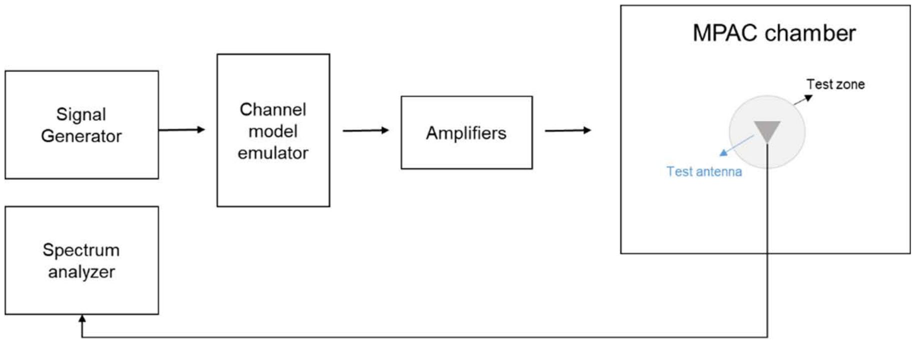


Figure C.3.3-1: Setup for Doppler measurements

Sine wave (CW, carrier wave) signal is transmitted from the signal generator. The signal is connected from the signal generator to fading emulator via cables. The fading emulator output signals are connected to power amplifier boxes via cables. The amplified signals are then transferred via cables to the probe antennas. The probe antennas radiate the signals over the air to the test antenna. The Doppler spectrum is measured by the spectrum analyser and the trace is saved.

Signal generator settings for Doppler/Temporal correlation measurements are presented in Table C.3.3-1.

Table C.3.3-1: Signal generator settings for Doppler/Temporal correlation measurements

Item	Unit	Value
Centre frequency	MHz	Downlink centre frequency in Table C.3.1-1
Modulation		OFF

Spectrum analyser settings for Doppler/Temporal correlation measurements are presented in Table C.3.3-2.

Table C.3.3-2: Spectrum analyser settings for Doppler/Temporal correlation measurements

Item	Unit	Value
Centre frequency	MHz	Downlink centre frequency in Table C.3.1-1
Minimum Span	Hz	4 kHz
RBW	Hz	1
VBW	Hz	1
Number of points		16001
Averaging		100

Channel model specification for Doppler/Temporal correlation measurements is presented in Table C.3.3-3.

Table C.3.3-3: Channel model specification for Doppler/Temporal correlation measurements

Item	Unit	Value
Centre frequency	MHz	Downlink centre frequency in Table C.3.1-1
Channel model		As specified in clause C.1
Mobile speed	km/h	100

Method of measurement result analysis: Measurement data file (Doppler power spectrum) is saved into hard drive. The data is read into, e.g. Matlab. The analysis is performed by taking the Fourier transformation of the Doppler spectrum. The resulting temporal correlation function Rt(Δt) is normalized such that max(abs($R_t(\Delta t)$))=1. Then the function values left from the maximum i.e. the negative lags are cut out. Further on the function values after five periods are cut out.

Time Domain Alternate Method

Time domain techniques can also be used to validate the temporal correlation. The temporal correlation validation measurement setup is illustrated in Figure C.3.3-2. In this case a Signal generator transmits a CW signal through the MIMO test system. The signal is received by a test antenna within the test area. Finally, the signal is collected by a signal analyser and the measured signal is stored as IQ data format for postprocessing.

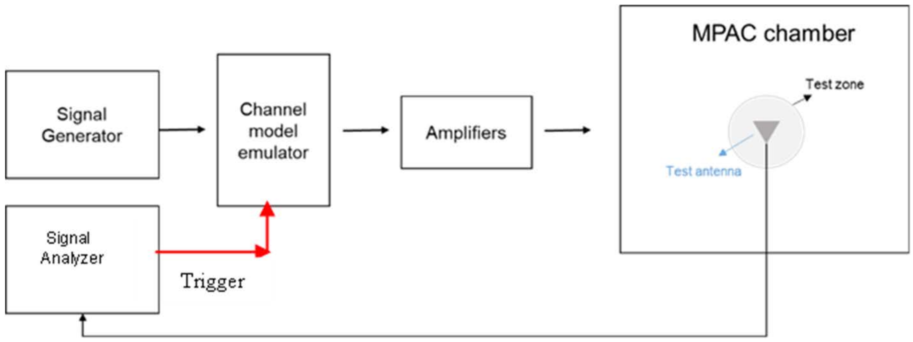


Figure C.3.3-2: Setup for Doppler measurements based on time domain technique

The time domain doppler spectrum is measured by the signal analyser and the trace in IQ format is saved. Follow the same procedure to post process the data and calculate the temporal correlation curve. Data recording is synchronized with the channel emulator trigger.

The settings for the signal analyser are in Table C.3.3-4.

Table C.3.3-4: Signal Analyser Settings

Item	Unit	Value
Centre frequency	MHz	Downlink centre frequency in Table C.3.1-1
Sampling	Hz	At least 15 times bigger than the max Doppler spread ($f_d=v/\lambda$)
Observation time	s	At least 16 s. Channel Model length should be the same or greater than the observation time

Beam-Specific Block Diagram

It is assumed that the beams are mapped to the inputs of the channel emulator as follows:

- Beam 1: Input 1 and Input 2.
- Beam 2: Input 3 and Input 4 (CDL-C UMa only).

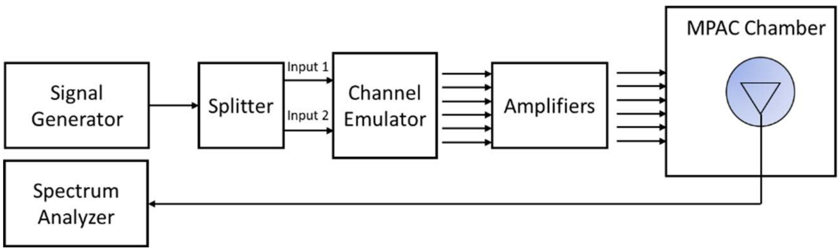


Figure C.3.3-3: Setup for Beam-Specific Doppler measurements (Beam 1)

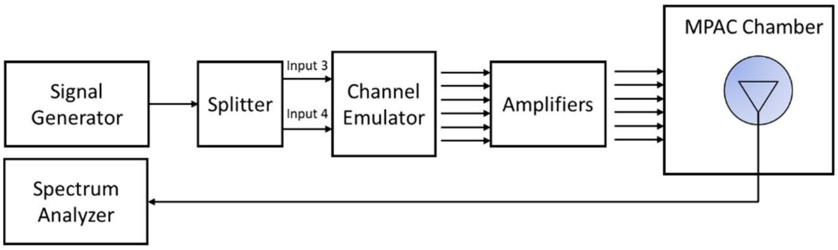


Figure C.3.3-4: Setup for Beam-Specific Doppler measurements (Beam 2 CDL-C UMa only)

The detailed Temporal correlation reference value for CDL-C UMa and CDL-C UMi channel model validation is defined is Table C.3.3-5.

Table C.3.3-5: Autocorrelation Targets

Lambda Separation	CDL-C UMa beam 1 at ≤ 2.5 GHz	CDL-C UMa beam 2 at ≤ 2.5 GHz	CDL-C UMa beam 1 at > 2.5 GHz	CDL-C UMa beam 2 at > 2.5 GHz	CDL-C UMi beam 1 at ≤ 2.5 GHz	CDL-C UMi beam 1 at > 2.5 GHz
0.0	1.000	1.000	1.000	1.000	1.000	1.000
0.1	0.986	0.974	0.985	0.973	0.995	0.995
0.2	0.945	0.907	0.942	0.904	0.982	0.982
0.3	0.882	0.832	0.874	0.825	0.962	0.961
0.4	0.801	0.776	0.787	0.765	0.936	0.935
0.5	0.709	0.738	0.689	0.723	0.906	0.905
0.6	0.613	0.695	0.586	0.675	0.872	0.871
0.7	0.518	0.623	0.486	0.599	0.834	0.834
0.8	0.430	0.525	0.394	0.496	0.793	0.793
0.9	0.353	0.426	0.315	0.391	0.750	0.749
1.0	0.289	0.360	0.252	0.319	0.705	0.704
1.1	0.240	0.335	0.206	0.290	0.659	0.658
1.2	0.204	0.320	0.174	0.273	0.614	0.612
1.3	0.181	0.287	0.154	0.239	0.569	0.568
1.4	0.167	0.233	0.143	0.185	0.527	0.525
1.5	0.159	0.176	0.137	0.129	0.487	0.485
1.6	0.155	0.141	0.135	0.096	0.450	0.448
1.7	0.153	0.135	0.134	0.092	0.417	0.415
1.8	0.150	0.137	0.134	0.095	0.387	0.385
1.9	0.144	0.132	0.130	0.093	0.361	0.358
2.0	0.135	0.117	0.122	0.089	0.337	0.335
2.1	0.121	0.097	0.109	0.086	0.316	0.313
2.2	0.105	0.076	0.090	0.076	0.296	0.293
2.3	0.085	0.062	0.069	0.064	0.277	0.274
2.4	0.065	0.071	0.047	0.067	0.258	0.255
2.5	0.048	0.090	0.031	0.088	0.239	0.236
2.6	0.039	0.099	0.033	0.103	0.219	0.216
2.7	0.038	0.088	0.046	0.099	0.198	0.195
2.8	0.042	0.058	0.057	0.073	0.178	0.175
2.9	0.043	0.037	0.062	0.038	0.158	0.154
3.0	0.041	0.067	0.060	0.045	0.138	0.135
3.1	0.037	0.103	0.050	0.080	0.120	0.116
3.2	0.036	0.120	0.036	0.100	0.103	0.100
3.3	0.044	0.115	0.019	0.099	0.089	0.085
3.4	0.056	0.097	0.010	0.081	0.076	0.073
3.5	0.068	0.082	0.019	0.061	0.066	0.063
3.6	0.075	0.083	0.029	0.053	0.057	0.055
3.7	0.076	0.090	0.034	0.060	0.051	0.049
3.8	0.068	0.089	0.036	0.073	0.046	0.044
3.9	0.051	0.079	0.044	0.091	0.042	0.041
4.0	0.027	0.068	0.062	0.111	0.039	0.038
4.1	0.007	0.063	0.090	0.127	0.037	0.035
4.2	0.036	0.062	0.123	0.133	0.036	0.034
4.3	0.067	0.057	0.155	0.129	0.038	0.036

4.4	0.093	0.052	0.182	0.126	0.043	0.040
4.5	0.111	0.055	0.200	0.131	0.051	0.048
4.6	0.119	0.063	0.207	0.139	0.061	0.058
4.7	0.116	0.066	0.200	0.138	0.073	0.070
4.8	0.101	0.058	0.180	0.117	0.085	0.082
4.9	0.078	0.047	0.149	0.079	0.096	0.093
5.0	0.051	0.048	0.110	0.034	0.107	0.104

C.3.4 Spatial correlation

This measurement checks whether the measured correlation curve follows the theoretical curve. For spatial correlation validation measurement, only Vertical validation measurement is required. Spatial correlation validation is only adopted for FR1 MIMO OTA.

The spatial correlation validation measurement setup is illustrated in Figure C.3.4-1. The network analyser transmits signals through the fading emulator and probes. The 16 probes radiate the signals within the anechoic chamber and a receiving test antenna is placed within the test zone. The test antenna is attached to a positioner that can move the antenna to pre-defined spatial locations on a fixed radius from the centre of the quiet zone. The received signal is measured with the network analyser.

The measurement and analysis procedure are as follows:

Set the target channel model to fading emulator:

1) For each position of the test antenna in the test zone, step & pause the emulator to different time instances. Measure the frequency responses $H(f,t) = H(m\Delta f, n\Delta T), m = 0, \ldots, M-1$ for all stepped channel snapshots $n = 0, \ldots, N-1$, where the interval between frequency and time samples is Δf and ΔT, respectively. The number of channel snapshots N and frequency samples M should be sufficiently high so that the matrix can be estimated reliably.

2) Move the measurement antenna with a positioner to another location k and repeat step 2 to record frequency responses $H_k(m\Delta f, n\Delta T)$ of all stepped channel snapshots.

3) Repeat step 3 to record frequency responses at all $k = 1, \ldots, K$ spatial sample points.

4) Stack measured time and frequency samples to a vector and calculate correlation between the first spatial sample point (i.e. $k = 1$) and other spatial points $k = 1, \ldots K$.

5) $\rho_k = \mathrm{corr}[\mathrm{vec}(H_1(m\Delta f, n\Delta T)), \mathrm{vec}(H_k(m\Delta f, n\Delta T))]$.

6) Take the theoretical reference spatial correlation of the corresponding spatial sample points. Plot both the measured and theoretical curves.

7) Calculate the weighted RMS correlation error between the measured and the reference.

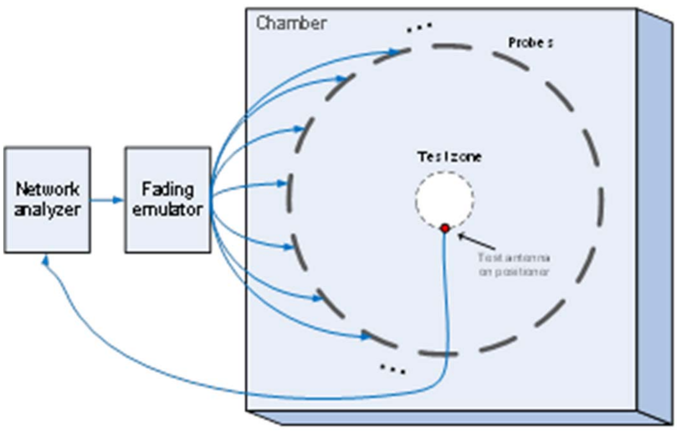


Figure C.3.4-1: Configuration for spatial correlation validation

Beam-Specific Block Diagram

It is assumed that the beams are mapped to the inputs of the channel emulator as follows:

- Beam 1: Input 1 and Input 2.
- Beam 2: Input 3 and Input 4 (CDL-C UMa only).

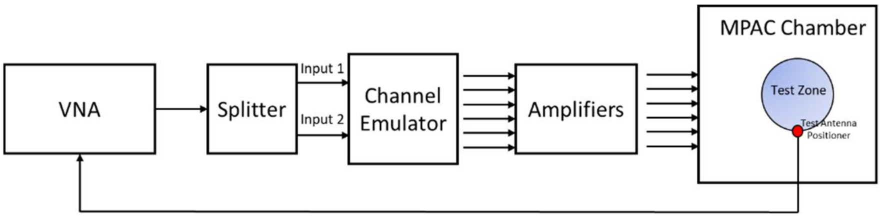


Figure C.3.4-2: Configuration for spatial correlation validation (CDL-C UMi)

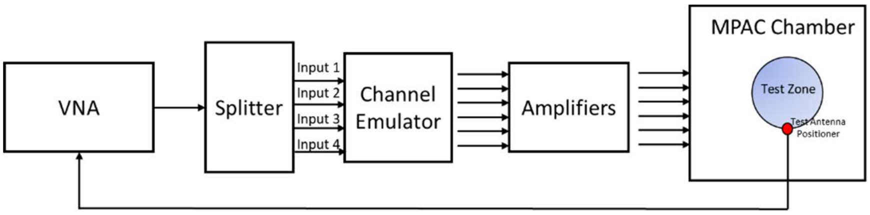


Figure C.3.4-3: Configuration for spatial correlation validation (CDL-C UMa)

Time and frequency samples

The number of temporal snapshots *N* and frequency samples *M* is shown in Table C.3.4-1. The channel model specification is presented in Table C.3.4-2.

Table C.3.4-1: VNA settings for spatial correlation

Item	Unit	Value
Center frequency	MHz	Downlink centre frequency in Table C.3.1-1
Span	MHz	0 (see note 2)
RF output level	dBm	-15
Number of traces		1000
Distance between traces in channel model	Wavelength (see note 1)	> 2
Number of points		1 (or the smallest possible) (see note 2)
Averaging		1
NOTE1: Time in seconds = distance [λ] / MS speed [λ/s]. MS speed [λ/s] = MS speed [m /s] / Speed of light [m/s] * Center frequency [Hz]. NOTE 2: Span and number of points may be increased to estimate reliably.		

Table C.3.4-2: Channel model specification

Item	Unit	Value
Center frequency	MHz	Downlink centre frequency in Table C.3.1-1
Channel model samples	Wavelength	> 2000
Channel model		As specified in clause C.1
Mobile speed	km/h	30

Spatial samples

The spatial samples for the correlation validation measurement are on the circumference of the quiet zone, as illustrated in Figure C.3.4-4. The test zone is a circle with 20 cm diameter in the horizontal plane. The reference point (denoted by a red marker) is in AoA 270°. The mean AoAs of the CDL-C UMi and CDL-C UMa models are slightly different, but the underlying geometry for the CDL model indicates that the mean AoA (or assumed LoS direction) of the model is 180°. The reference point orientation of the validation measurement is proposed to be with 90° offset to the channel model reference AoA to enable accurate sampling of the main lobe of the spatial correlation curve. The reference point orientation has to be defined in the channel model coordinate system, see Figure A.2.1-3, instead of the chamber/probe coordinate system to enable optimization of OTA model implementation to achieve better alignment with the cluster AoAs and probe directions. In order to have spatial samples that yield reasonable measurement times and adequately capture the main lobe of the correlation curve, a non-uniform sampling is used where the first quadrant i.e. 270°-180°, is sampled with dense sampling compared to the rest of the circle. The spacing of the spatial samples is summarized in Table C.3.4-3 for test frequencies less than 1800 MHz and equal to or greater than 1800 MHz.

Table C.3.4-3: Spacing of Spatial Samples

Test Frequencies [MHz]	First quadrant of test zone circumference (270º-180º)	Remaining quadrants
617, 722, 836.5 1575.42	λ/15	λ/4
1800, 2132.50, 2450, 3600, 4700	λ/10	λ/2

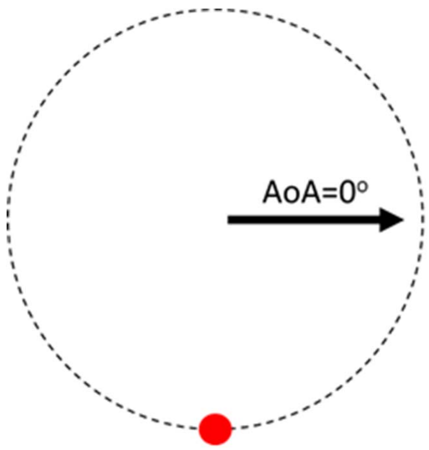


Figure C.3.4-4: Test zone interpretation with Angle of Arrival reference orientation

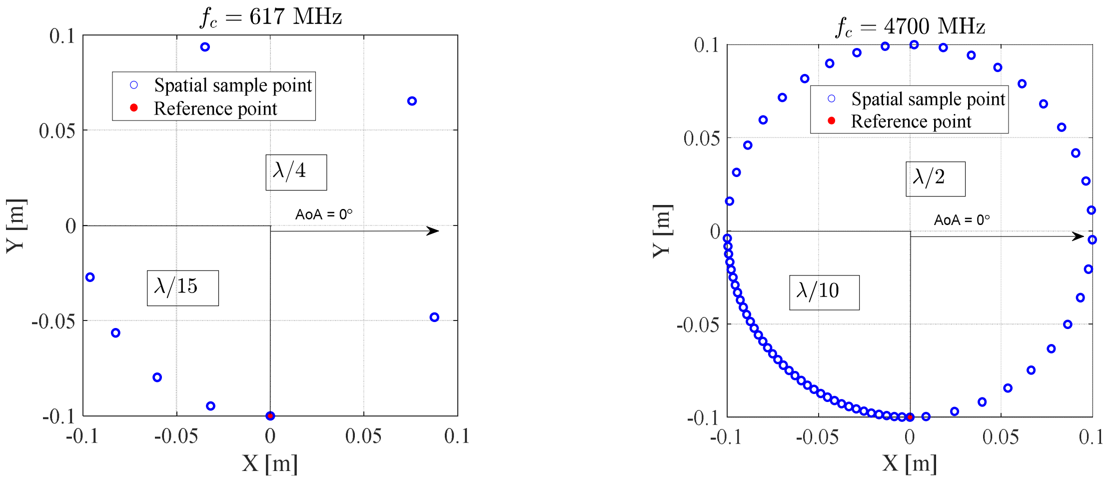


Figure C.3.4-5: Spatial sampling for spatial correlation validation measurement for test frequencies less than and equal to or greater than 1800 MHz: 617 MHz spatial sampling (left) and 4700 MHz spatial sampling (right)

Reference Spatial Correlation Curves

The spatial correlation validation reference curves are tabulated in Tables C.3.4-4 and C.3.4-5 for CDL-C UMi and CDL-C UMa, respectively, for a vertically polarized MPAC OTA setup with 16 uniformly spaced probes.

Table C.3.4-4: Spatial correlation reference curves for CDL-C UMi model for a vertically polarized MPAC OTA setup with 16 uniformly spaced probes at FR1 test frequencies

Azim [°]	|ρ| beam 1	Azim [°]	|ρ| beam 1	Azim [°]	|ρ| beam 1	Azim [°]	|ρ| beam 1	Azim [°]	|ρ| beam 1
617 MHz		722 MHz		836.5 MHz		1575.42 MHz		1800 MHz	
270.0	1.00	270.0	1.00	270.0	1.00	270.0	1.00	270.0	1.00
251.4	1.00	254.1	1.00	256.3	1.00	262.7	1.00	260.9	1.00
232.9	1.00	238.3	1.00	242.6	1.00	255.5	1.00	251.7	1.00
214.3	0.99	222.4	1.00	228.9	1.00	248.2	1.00	242.6	0.99
195.8	0.99	206.6	0.99	215.2	0.99	240.9	0.99	233.5	0.99
110.4	0.87	190.7	0.98	201.6	0.98	233.7	0.99	224.3	0.98
40.8	0.87	120.5	0.84	187.9	0.96	226.4	0.99	215.2	0.97
331.2	0.98	61.1	0.80	128.7	0.82	219.1	0.98	206.0	0.95
		1.6	0.91	77.3	0.73	211.9	0.97	196.9	0.92
		302.1	0.99	26.0	0.81	204.6	0.96	187.8	0.87
				334.7	0.95	197.3	0.94	134.3	0.39
				283.3	1.00	190.0	0.91	88.6	0.15
						182.8	0.87	43.0	0.24
						152.7	0.66	357.3	0.62
						125.5	0.44	311.6	0.94
						98.2	0.30		
						71.0	0.28		
						43.7	0.37		
						16.5	0.54		
						349.2	0.75		
						321.9	0.91		
						294.7	0.99		

Azim [°]	|ρ| beam 1	Azim [°]	|ρ| beam 1	Azim [°]	|ρ| beam 1	Azim [°]	|ρ| beam 1
2132.5 MHz		2450 MHz		3600 MHz		4700 MHz	
270.0	1.00	270.0	1.00	270.0	1.00	270.0	1.00
261.9	1.00	263.0	1.00	265.2	1.00	266.3	1.00

Azim [°]	|ρ| beam 1	Azim [°]	|ρ| beam 1	Azim [°]	|ρ| beam 1	Azim [°]	|ρ| beam 1
2132.5 MHz		2450 MHz		3600 MHz		4700 MHz	
253.9	1.00	256.0	1.00	260.5	1.00	262.7	1.00
245.8	0.99	249.0	0.99	255.7	0.99	259.0	0.99
237.8	0.99	242.0	0.99	250.9	0.99	255.4	0.99
229.7	0.98	234.9	0.99	246.1	0.99	251.7	0.99
221.7	0.97	227.9	0.98	241.4	0.98	248.1	0.98
213.6	0.96	220.9	0.97	236.6	0.98	244.4	0.98
205.6	0.93	213.9	0.95	231.8	0.97	240.8	0.98
197.5	0.89	206.9	0.92	227.1	0.97	237.1	0.97
189.5	0.84	199.9	0.88	222.3	0.95	233.5	0.97
181.4	0.77	192.9	0.83	217.5	0.93	229.8	0.96
139.7	0.27	185.9	0.76	212.7	0.90	226.1	0.95
99.5	0.14	144.9	0.19	208.0	0.86	222.5	0.93
59.2	0.14	109.9	0.26	203.2	0.81	218.8	0.91
18.9	0.26	74.8	0.37	198.4	0.75	215.2	0.87
338.6	0.71	39.8	0.19	193.7	0.68	211.5	0.83
298.4	0.97	4.7	0.29	188.9	0.59	207.9	0.78
		329.7	0.74	184.1	0.49	204.2	0.72
		294.6	0.97	156.1	0.23	200.6	0.64
				132.3	0.62	196.9	0.56
				108.4	0.85	193.3	0.47
				84.6	0.93	189.6	0.37
				60.7	0.92	185.9	0.27
				36.9	0.79	182.3	0.18
				13.0	0.42	161.7	0.51
				349.1	0.15	143.5	0.83
				325.3	0.60	125.2	0.95
				301.4	0.90	106.9	0.89
				277.6	1.00	88.6	0.80
						70.4	0.78
						52.1	0.88
						33.8	0.98
						15.5	0.91
						357.3	0.53
						339.0	0.09
						320.7	0.50
						302.4	0.82
						284.2	0.97

Table C.3.4-5: Spatial correlation reference curves for CDL-C UMa model for a vertically polarized MPAC OTA setup with 16 uniformly spaced probes at FR1 test frequencies

Azim [°]	|ρ| comb	Azim [°]	|ρ| comb	Azim [°]	|ρ| comb	Azim [°]	|ρ| comb	Azim [°]	|ρ| comb
617 MHz		722 MHz		836.5 MHz		1575.42 MHz		1800 MHz	
270.0	1.00	270.0	1.00	270.0	1.00	270.0	1.00	270.0	1.00
251.4	0.99	254.1	0.99	256.3	0.99	262.7	0.99	260.9	0.99
232.9	0.99	238.3	0.98	242.6	0.98	255.5	0.98	251.7	0.96
214.3	0.98	222.4	0.97	228.9	0.97	248.2	0.96	242.6	0.93
195.8	0.96	206.6	0.96	215.2	0.96	240.9	0.94	233.5	0.90
110.4	0.61	190.7	0.94	201.6	0.95	233.7	0.92	224.3	0.89
40.8	0.47	120.5	0.58	187.9	0.92	226.4	0.91	215.2	0.88
331.2	0.85	61.1	0.30	128.7	0.56	219.1	0.90	206.0	0.87
		1.6	0.56	77.3	0.19	211.9	0.89	196.9	0.84
		302.1	0.95	26.0	0.27	204.6	0.88	187.8	0.79
				334.7	0.70	197.3	0.87	134.3	0.16
				283.3	0.99	190.0	0.84	88.6	0.30
						182.8	0.79	43.0	0.22
						152.7	0.42	357.3	0.36
						125.5	0.13	311.6	0.57
						98.2	0.30		
						71.0	0.31		
						43.7	0.29		

						16.5	0.33		
						349.2	0.29		
						321.9	0.48		
						294.7	0.88		

Azim [°]	|ρ| comb	Azim [°]	|ρ| comb	Azim [°]	|ρ| comb	Azim [°]	|ρ| comb
2132.5 MHz		2450 MHz		3600 MHz		4700 MHz	
270.0	1.00	270.0	1.00	270.0	1.00	270.0	1.00
261.9	0.99	263.0	0.99	265.2	0.98	266.3	0.98
253.9	0.95	256.0	0.95	260.5	0.95	262.7	0.94
245.8	0.92	249.0	0.91	255.7	0.90	259.0	0.89
237.8	0.89	242.0	0.87	250.9	0.84	255.4	0.83
229.7	0.86	234.9	0.85	246.1	0.80	251.7	0.78
221.7	0.85	227.9	0.83	241.4	0.77	248.1	0.73
213.6	0.85	220.9	0.82	236.6	0.75	244.4	0.70
205.6	0.83	213.9	0.82	231.8	0.73	240.8	0.68
197.5	0.80	206.9	0.80	227.1	0.72	237.1	0.66
189.5	0.75	199.9	0.77	222.3	0.71	233.5	0.65
181.4	0.67	192.9	0.73	217.5	0.70	229.8	0.64
139.7	0.22	185.9	0.66	212.7	0.69	226.1	0.63
99.5	0.24	144.9	0.26	208.0	0.67	222.5	0.62
59.2	0.03	109.9	0.23	203.2	0.64	218.8	0.61
18.9	0.16	74.8	0.19	198.4	0.61	215.2	0.60
338.6	0.37	39.8	0.13	193.7	0.56	211.5	0.59
298.4	0.73	4.7	0.15	188.9	0.49	207.9	0.57
		329.7	0.38	184.1	0.41	204.2	0.55
		294.6	0.74	156.1	0.42	200.6	0.52
				132.3	0.19	196.9	0.48
				108.4	0.64	193.3	0.42
				84.6	0.47	189.6	0.35
				60.7	0.44	185.9	0.26
				36.9	0.28	182.3	0.18
				13.0	0.16	161.7	0.59
				349.1	0.16	143.5	0.26
				325.3	0.41	125.2	0.79
				301.4	0.40	106.9	0.43
				277.6	0.95	88.6	0.68
						70.4	0.63
						52.1	0.75
						33.8	0.87
						15.5	0.67
						357.3	0.09
						339.0	0.25
						320.7	0.32
						302.4	0.42
						284.2	0.73

Time Domain Alternative Method:

Time domain techniques can also be used to validate the spatial correlation. The spatial correlation validation measurement setup is illustrated in Figure C.3.4-3. In this case a Signal generator transmits a CW signal through the MIMO test system. The signal is received by a test antenna within the test area. Finally, the signal is collected by a signal analyser and the measured signal is stored for postprocessing.

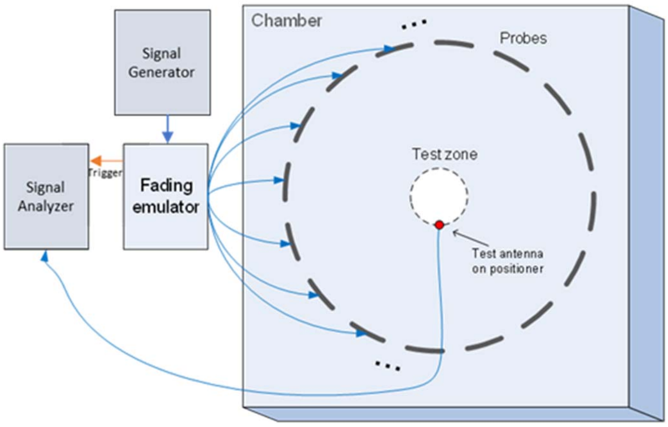


Figure C.3.4-6: Configuration for spatial correlation validation based on time domain techniques

For each spatial point, the channel emulator should issue a trigger signal each time fading is started. For each point collect a time domain trace with the signal analyser, when done, stop fading. Data recording is synchronized with the channel emulator trigger.

Follow the same procedure to postprocess the data and calculate the spatial correlation by setting *m* to 1. The settings for the Signal Generator and Signal Analyser are in Tables C.3.4-6 and C.3.4-7 respectively.

Table C.3.4-6: Signal Generator Settings

Item	Unit	Value
Centre frequency	MHz	Downlink centre frequency in Table C.3.1-1
Output power	dBm	Function of the CE. Sufficiently above Noise Floor

Table C.3.4-7: Signal Analyser Settings

Item	Unit	Value
Centre frequency	MHz	Downlink centre frequency in Table C.3.1-1
Sampling	Hz	At least 15 times bigger than the max Doppler spread ($f_d=v/\lambda$)
Observation time	s	At least 16 s. Channel Model length should be the same or greater than the observation time

Beam-Simultaneous Block Diagram

It is assumed that the beams are mapped to the inputs of the channel emulator as follows:

- Beam 1: Input 1 and Input 2.
- Beam 2: Input 3 and Input 4 (CDL-C UMa only).

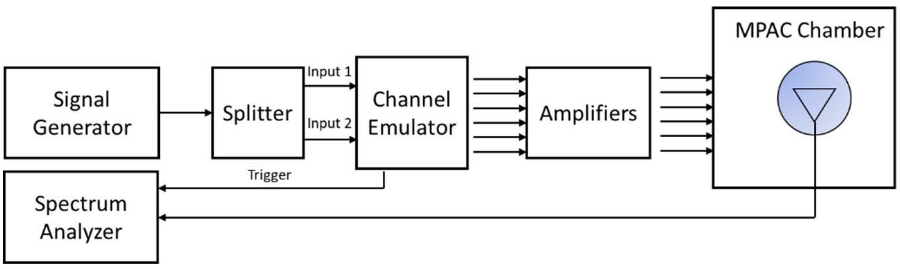


Figure C.3.4-7: Configuration for spatial correlation validation based on time domain techniques (CDL-C UMi)

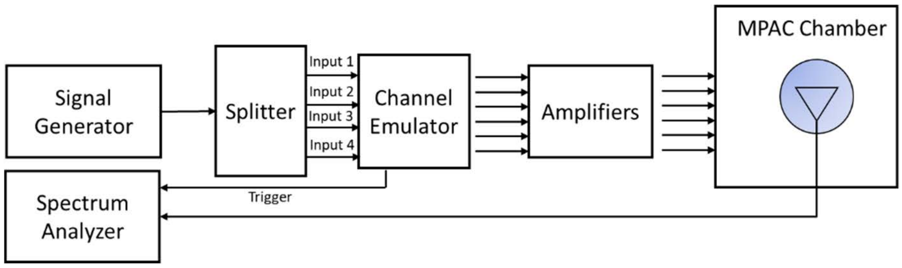


Figure C.3.4-8: Configuration for spatial correlation validation based on time domain techniques (CDL-C UMa)

C.3.5 Cross-polarization

This measurement checks how well the measured vertically or horizontally polarized power levels follow expected values. The test setup for cross-polarization is the same as PDP validation in Figure C.3.2-1.

Method of measurement: Step the emulation and store traces from VNA.

VNA settings for cross-polarization measurements are presented in Table C.3.5-1.

Table C.3.5-1: VNA settings for cross-polarization

Item	Unit	Value
Centre frequency	MHz	Downlink Centre Frequency in Table C.3.1-1
Span	MHz	40
Number of traces		1000
Number of points		802
Averaging		1

Channel model specification for cross-polarization measurements is presented in Table C.3.5-2.

Table C.3.5-2: Channel model specification for cross-polarization

Item	Unit	Value
Centre frequency	MHz	Downlink centre frequency in Table C.3.1-1
Distance between traces in channel model	wavelength (see note 1)	> 2
Channel model		As specified in clause C.1
Mobile speed (see note 2)	km/h	30
NOTE 1: Time [s] = distance [λ] / MS speed [λ/s]. MS speed [λ/s] = MS speed [m /s] / Speed of light [m/s] * Centre frequency [Hz]. NOTE 2: The mobile speed is valid for the Time Domain Alternative method only.		

Measurement Procedure:

Step the emulation and store traces from VNA. i.e. run the emulation to CIR number 1, pause, measure VNA trace, run the emulation to CIR number 10, pause, measure VNA trace. Continue until 1000 VNA traces are measured:

a. Use a vertically polarized sleeve dipole to measure the V component.

b. Use a horizontally polarized (vertically oriented) magnetic loop dipole, or a horizontally polarized sleeve dipole measured in four orthogonal horizontal positions and summed to measure the H component.

Method of measurement result analysis:

Measured VNA traces (frequency responses $H_V(t,f)$ and $H_H(t,f)$ are saved into a hard drive. The data is read into, e.g. Matlab. The frequency responses are averaged in power over time and frequency and the V/H ratio calculated as follows:

$$P_V = \sum_t \sum_f |H_V(t,f)|^2$$

$$P_H = \sum_t \sum_f |H_H(t,f)|^2$$

$$P_{V/H}[dB] = 10log_{10}\left(\frac{P_V}{P_H}\right)$$

Beam-Specific Block Diagram

It is assumed that the beams are mapped to the inputs of the channel emulator as follows:

- Beam 1: Input 1 and Input 2
- Beam 2: Input 3 and Input 4 (CDL-C UMa only)

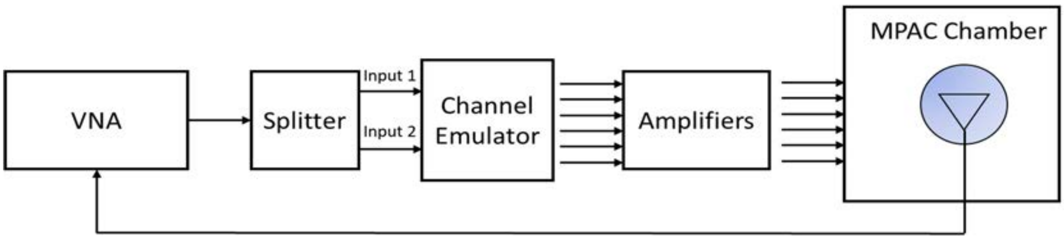


Figure C.3.5-1: Setup for Beam-Specific V/H measurements (Beam 1)

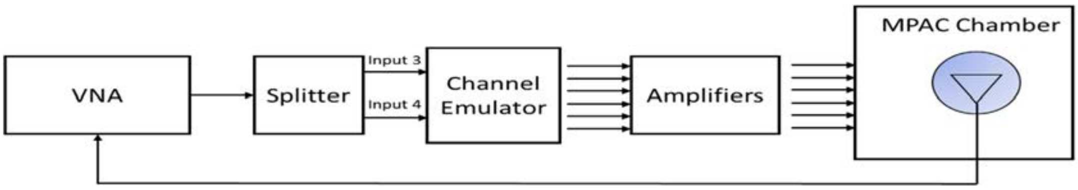


Figure C.3.5-2: Setup for Beam-Specific V/H measurements (Beam 2 CDL-C UMa only)

Time Domain Alternative Method:

The power in the Vertical and Horizontal polarizations can also be measured in time domain. The measurement setup for Beam-Specific are presented in Figures C.3.5-3, and C.3.5-4.

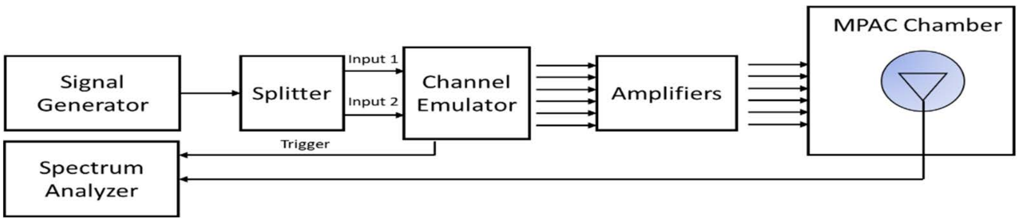


Figure C.3.5-3: Setup for Beam-Specific V/H measurements (Beam 1)

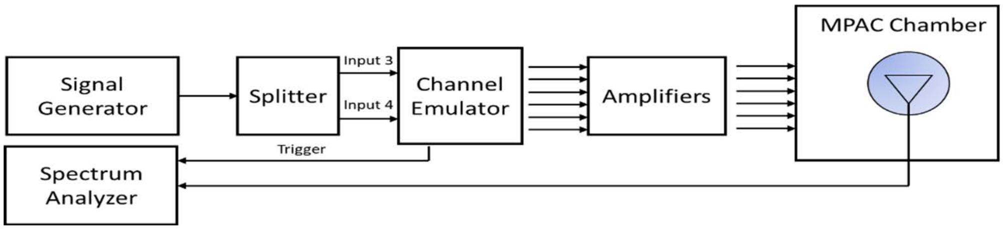


Figure C.3.5-4: Setup for Beam-Specific V/H measurements (Beam 2 CDL-C UMa only)

The instruments settings are the same as those in Tables C.3.4-6 and C.3.4-7. The measurement analysis is the same as that of the frequency domain method setting the summation over *f* to a single point.

The reference V/H-ratios for CDL-C UMa and CDL-C UMi channel model validation are defined in Tables C.3.5-3 and C.3.5-4, respectively.

Table C.3.5-3: Reference V/H-ratios for CDL-C UMa

UMa C, fc ≤ 2.5 GHz	Beam 1	Input 1+2: V/H = 0 dB
	Beam 2	Input 3+4: V/H = 0 dB
UMa C, fc > 2.5 GHz	Beam 1	Input 1+2: V/H = 0 dB
	Beam 2	Input 3+4: V/H = 0 dB

Table C.3.5-4: Reference V/H-ratios for CDL-C UMi

UMi C, fc ≤ 2.5 GHz	Beam 1	Inputs 1+2: V/H = 0 dB
UMi C, fc > 2.5 GHz	Beam 1	Inputs 1+2: V/H = 0 dB

C.3.6 Power validation

This measurement checks the total power in the centre of the test zone. The power validation is measured with a spectrum analyser as shown in Figure C.3.6-1.

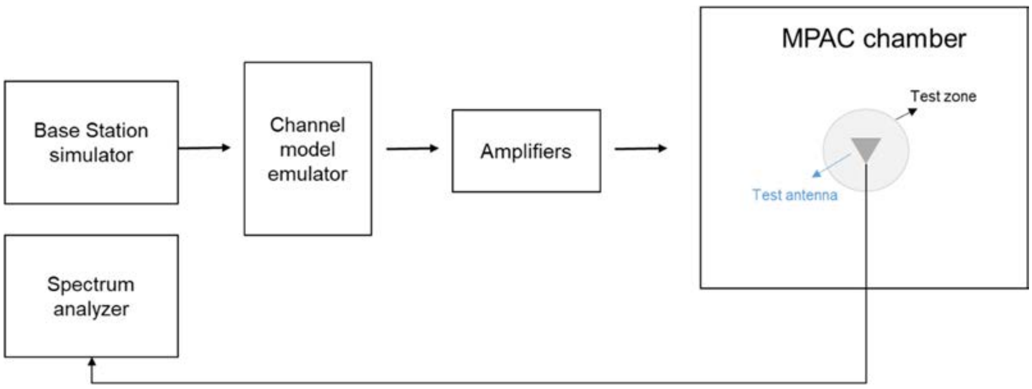


Figure C.3.6-1: Setup for power validation measurements

Table C.3.6-1: Spectrum analyser settings for power validation measurements

Item	Unit	Value
Centre frequency	MHz	Downlink centre frequency in Table C.3.1-2
Integrated Channel Span	Hz	40MHz
RBW	Hz	30 kHz
VBW	Hz	≥10MHz
Number of points		≥400
Averaging		≥100
Detector		RMS

Measurement Procedure:

1) Place a vertical reference dipole in the centre of the test zone connected to a spectrum analyser (or power meter) via a cable.

2) Record the cable and reference dipole gains.

3) Load the target channel model into the channel emulator and play the model.

4) Start the NR FR1 signalling in the base station emulator with the required parameter identical to the measurements conditions.

5) Average the power received by the spectrum analyser for a sufficient amount of time to account for the fading channel - one full channel simulation might be unnecessary.

6) Repeat steps 1 to 4 with a magnetic loop for the horizontal polarization, or a horizontally polarized sleeve dipole measured in at least four orthogonal horizontal positions and average the summed orientations to get the H component.

7) Calculate the total power received at the test area as the sum of the power in the two polarizations.

NOTE: In step 6, if horizontally polarized sleeve dipole is used, the reference gain correction should be the average of the theta gain pattern cut of the dipole. Besides, more horizontal positions for averaging will improve the measurement accuracy but increase the total measurement time.

The power validation result is considered as systematic offset, which needs to be corrected on the UE final sensitivity value to further reduce measurement uncertainty.

The detailed power validation setup for CDL-C UMi and CDL-C UMa channel models are illustrated in Figure C.3.6-2 and Figure C.3.6-3.

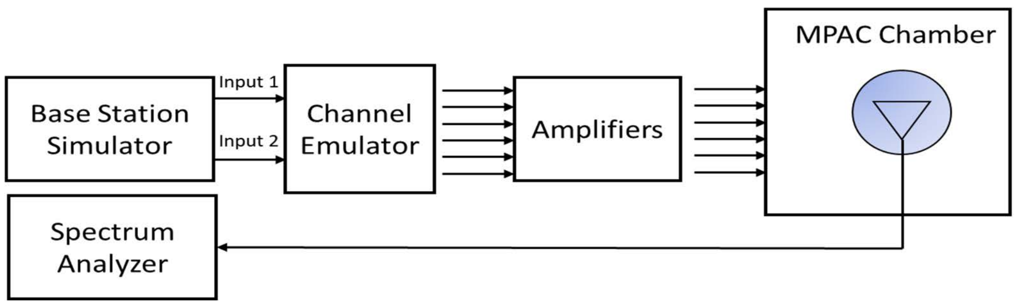


Figure C.3.6-2: Setup for power validation measurements for CDL-C UMi

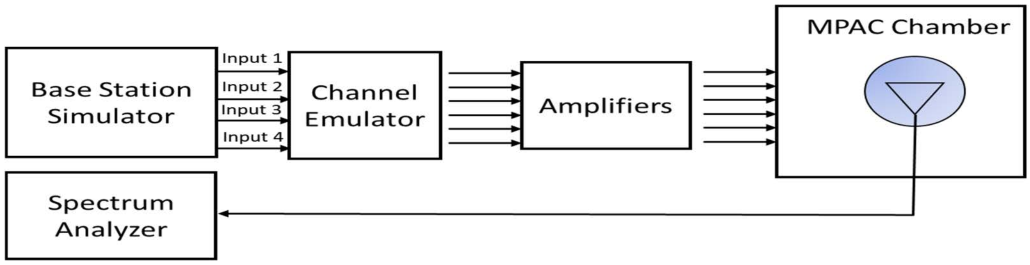


Figure C.3.6-3: Setup for power validation measurements for CDL-C Uma

C.4 Validation Pass/fail limit

C.4.1 General

This clause defines the pass/fail limit of FR1 MPAC system for FR1 channel model validation.

C.4.2 Pass/Fail Criteria of PDP

This clause defines the pass/fail criteria of PDP, this pass/fail limits apply for all FR1 frequency bands, for both combined and individual beams.

The detailed pass/fail limits for each cluster of CDL-C UMa and CDL-C UMi are defined in Table C.4.2-1.

Table C.4.2-1: PDP pass/fail limits for CDL-C UMa and CDL-C UMi channel model validation

	Power Tolerance	Delay Tolerance
Paths from 0 dB to 10 dB	±1 dB	±6 ns
Paths from 10 dB to 20 dB	±2.5 dB	±6 ns
Paths from 20 dB to 30 dB	±5 dB	±6 ns
Paths from 30 dB to 40 dB	±10 dB	±6 ns

C.4.3 Pass/Fail Criteria of Doppler/Temporal correlation

This clause defines the pass/fail criteria of doppler/temporal correlation, this pass/fail limits apply for all channel models in all FR1 frequency bands, for both combined and individual beams.

The pass/fail limits for theoretical temporal correlation defined in clause C.3.3 above 0.3 are formed as bands of ±0.1 of correlation capped at 1 at the high end. Additionally, when the theoretical temporal correlation drops below 0.3, the limits are formed at bands of ±0.3 of correlation capped at 0 at the low end.

Table C.4.3-1: pass/fail limits for temporal correlation

CDL-C UMa beam 1 at ≤ 2.5 GHz		CDL-C UMa beam 2 at ≤ 2.5 GHz		CDL-C UMa beam 1 at > 2.5 GHz		CDL-C UMa beam 2 at > 2.5 GHz		CDL-C UMi beam 1 at ≤ 2.5 GHz		CDL-C UMi beam 1 at > 2.5 GHz	
Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper
0.9	1	0.9	1	0.9	1	0.9	1	0.9	1	0.9	1
0.886	1	0.874	1	0.885	1	0.873	1	0.895	1	0.895	1
0.845	1	0.807	1	0.842	1	0.804	1	0.882	1	0.882	1
0.782	0.982	0.732	0.932	0.774	0.974	0.725	0.925	0.862	1	0.861	1
0.701	0.901	0.676	0.876	0.687	0.887	0.665	0.865	0.836	1	0.835	1
0.609	0.809	0.638	0.838	0.589	0.789	0.623	0.823	0.806	1	0.805	1
0.513	0.713	0.595	0.795	0.486	0.686	0.575	0.775	0.772	0.972	0.771	0.971
0.418	0.618	0.523	0.723	0.386	0.586	0.499	0.699	0.734	0.934	0.734	0.934

CDL-C UMa beam 1 at ≤ 2.5 GHz		CDL-C UMa beam 2 at ≤ 2.5 GHz		CDL-C UMa beam 1 at > 2.5 GHz		CDL-C UMa beam 2 at > 2.5 GHz		CDL-C UMi beam 1 at ≤ 2.5 GHz		CDL-C UMi beam 1 at > 2.5 GHz	
Lower	**Upper**	**Lower**	**Upper**	**Lower**	**Upper**	**Lower**	**Upper**	**Lower**	**Upper**	**Lower**	**Upper**
0.33	0.53	0.425	0.625	0.294	0.494	0.396	0.596	0.693	0.893	0.693	0.893
0.253	0.453	0.326	0.526	0.215	0.415	0.291	0.491	0.65	0.85	0.649	0.849
0.189	0.389	0.26	0.46	0.152	0.352	0.219	0.419	0.605	0.805	0.604	0.804
0.14	0.34	0.235	0.435	0.106	0.306	0.19	0.39	0.559	0.759	0.558	0.758
0.104	0.304	0.22	0.42	0	0.3	0.173	0.373	0.514	0.714	0.512	0.712
0	0.3	0.187	0.387	0	0.3	0.139	0.339	0.469	0.669	0.468	0.668
0	0.3	0.133	0.333	0	0.3	0	0.3	0.427	0.627	0.425	0.625
0	0.3	0	0.3	0	0.3	0	0.3	0.387	0.587	0.385	0.585
0	0.3	0	0.3	0	0.3	0	0.3	0.35	0.55	0.348	0.548
0	0.3	0	0.3	0	0.3	0	0.3	0.317	0.517	0.315	0.515
0	0.3	0	0.3	0	0.3	0	0.3	0.287	0.487	0.285	0.485
0	0.3	0	0.3	0	0.3	0	0.3	0.261	0.461	0.258	0.458
0	0.3	0	0.3	0	0.3	0	0.3	0.237	0.437	0.235	0.435
0	0.3	0	0.3	0	0.3	0	0.3	0.216	0.416	0.213	0.413
0	0.3	0	0.3	0	0.3	0	0.3	0.196	0.396	0.193	0.393
0	0.3	0	0.3	0	0.3	0	0.3	0.177	0.377	0.174	0.374
0	0.3	0	0.3	0	0.3	0	0.3	0.158	0.358	0.155	0.355
0	0.3	0	0.3	0	0.3	0	0.3	0.139	0.339	0.136	0.336
0	0.3	0	0.3	0	0.3	0	0.3	0.119	0.319	0.116	0.316
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3
0	0.3	0	0.3	0	0.3	0	0.3	0	0.3	0	0.3

Based on the value defined in Table C.4.3-1, Figure C.4.3-1 shows the pass/fail and reference curve of temporal correlation.

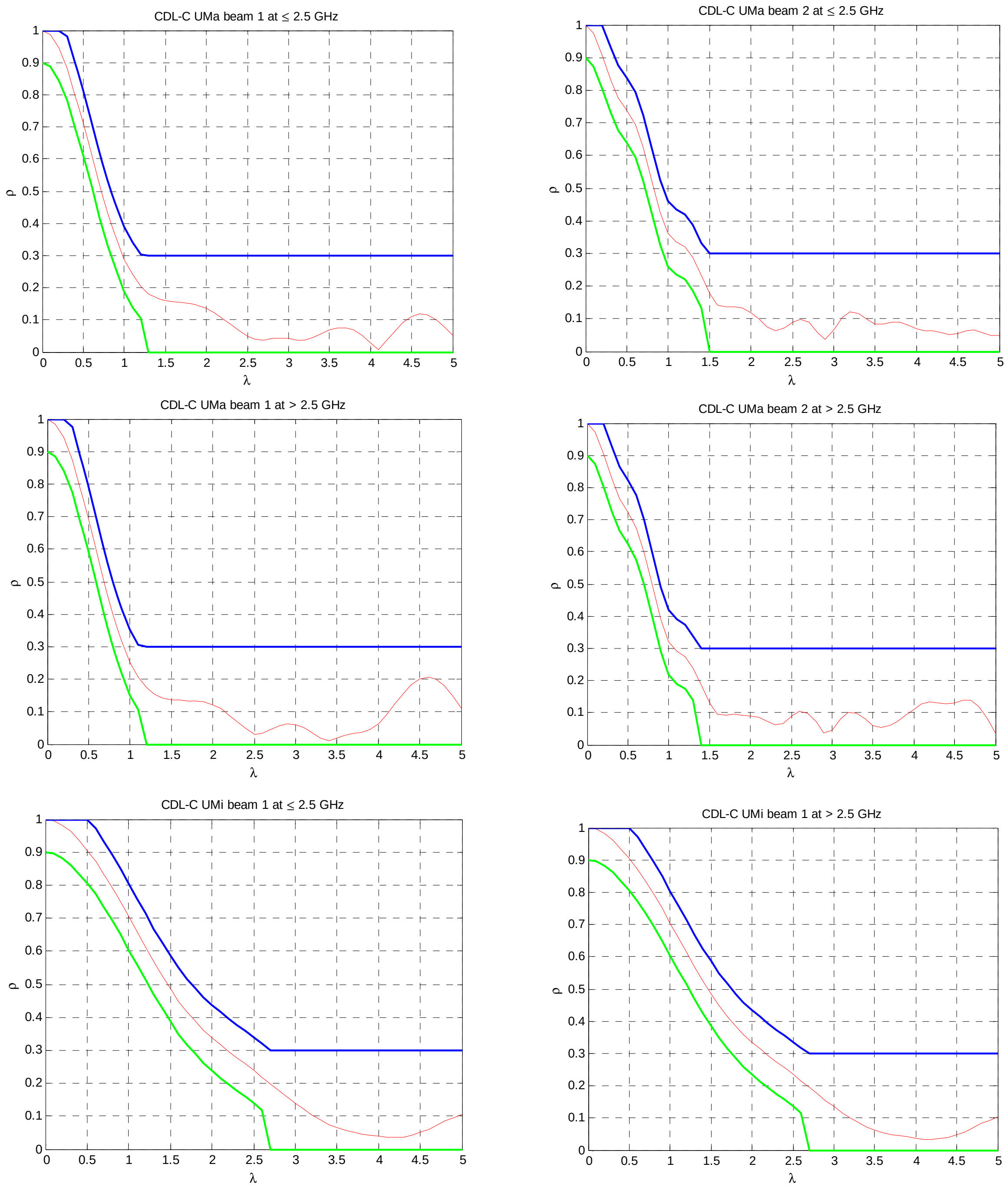


Figure C.4.3-1: Pass/fail limits and targets of Temporal correlation for CDL-C UMa and CDL-C UMi channel model: red curve (reference), blue (upper limit) and green (lower limit)

C.4.4 Pass/Fail Criteria of Spatial correlation

This clause defines the pass/fail criteria of spatial correlation, this general pass/fail limits principle apply for all channel models in all FR1 frequency bands, for both combined and individual beams.

The pass/fail limits for spatial correlation are formed as bands of ±10% of correlation capped at 100 % for the upper limit for target correlation defined in clause C.3.4 of 35 % (for CDL-C UMa @3600MHz, this value is 65 %) and above. For target correlations below 35 % (for CDL-C UMa @3600MHz, this value is 65 %), the band is widened to ±20 % capped at 0 %.

For the detailed pass/fail limit, the values are defined in the Table C.4.4-1 and Table C.4.4-2, for CDL-C UMi and CDL-C UMa channel model, respectively.

Table C.4.4-1: Spatial correlation pass/fail limits for CDL-C UMi channel model

Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper
617 MHz		722 MHz		836.5 MHz		1575.42 MHz		1880 MHz	
0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00
0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00
0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00
0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00	0.89	1.00
0.89	1.00	0.89	1.00	0.89	1.00	0.89	1.00	0.89	1.00
0.88	1.00	0.88	1.00	0.88	1.00	0.89	1.00	0.88	1.00
0.74	0.94	0.74	0.94	0.86	1.00	0.89	1.00	0.87	1.00
0.70	0.90	0.70	0.90	0.72	0.92	0.88	1.00	0.85	1.00
		0.81	1.00	0.63	0.83	0.87	1.00	0.82	1.00
		0.89	1.00	0.71	0.91	0.86	1.00	0.77	0.97
				0.85	1.00	0.84	1.00	0.29	0.49
				0.90	1.00	0.81	1.00	0.00	0.35
						0.77	0.97	0.04	0.44
						0.56	0.76	0.52	0.72
						0.34	0.54	0.84	1.00
						0.10	0.50		
						0.08	0.48		
						0.27	0.47		
						0.44	0.64		
						0.65	0.85		
						0.81	1.00		
						0.89	1.00		

Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper
2132.5 MHz		2450 MHz		3600 MHz		4700 MHz	
0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00
0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00
0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00
0.89	1.00	0.89	1.00	0.89	1.00	0.89	1.00
0.89	1.00	0.89	1.00	0.89	1.00	0.89	1.00
0.88	1.00	0.89	1.00	0.89	1.00	0.89	1.00
0.87	1.00	0.88	1.00	0.88	1.00	0.88	1.00
0.86	1.00	0.87	1.00	0.88	1.00	0.88	1.00
0.83	1.00	0.85	1.00	0.87	1.00	0.88	1.00
0.79	0.99	0.82	1.00	0.87	1.00	0.87	1.00
0.74	0.94	0.78	0.98	0.85	1.00	0.87	1.00
0.67	0.87	0.73	0.93	0.83	1.00	0.86	1.00
0.07	0.47	0.66	0.86	0.80	1.00	0.85	1.00
0	0.34	0.00	0.39	0.76	0.96	0.83	1.00
0	0.34	0.06	0.46	0.71	0.91	0.81	1.00
0.06	0.46	0.27	0.47	0.65	0.85	0.77	0.97
0.61	0.81	0.00	0.39	0.58	0.78	0.73	0.93
0.87	1.00	0.09	0.49	0.49	0.69	0.68	0.88
		0.64	0.84	0.39	0.59	0.62	0.82
		0.87	1.00	0.03	0.43	0.54	0.74
				0.52	0.72	0.46	0.66
				0.75	0.95	0.37	0.57
				0.83	1.00	0.27	0.47
				0.82	1.00	0.07	0.47
				0.69	0.89	0.00	0.38
				0.32	0.52	0.41	0.61
				0.00	0.35	0.73	0.93
				0.50	0.70	0.85	1.00
				0.80	1.00	0.79	0.99
				0.90	1.00	0.70	0.90
						0.68	0.88
						0.78	0.98
						0.88	1.00
						0.81	1.00
						0.43	0.63
						0.00	0.29
						0.40	0.60
						0.72	0.92
						0.87	1.00

Table C.4.4-2: Spatial correlation pass/fail limits for CDL-C UMa channel model

Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper
617 MHz		722 MHz		836.5 MHz		1575.42 MHz		1800 MHz	
0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00
0.89	1.00	0.89	1.00	0.89	1.00	0.89	1.00	0.89	1.00
0.89	1.00	0.88	1.00	0.88	1.00	0.88	1.00	0.86	1.00
0.88	1.00	0.87	1.00	0.87	1.00	0.86	1.00	0.83	1.00
0.86	1.00	0.86	1.00	0.86	1.00	0.84	1.00	0.80	1.00
0.51	0.71	0.84	1.00	0.85	1.00	0.82	1.00	0.79	0.99
0.37	0.57	0.48	0.68	0.82	1.00	0.81	1.00	0.78	0.98
0.75	0.95	0.20	0.40	0.46	0.66	0.80	1.00	0.77	0.97
		0.46	0.66	0.09	0.29	0.79	0.99	0.74	0.94
		0.85	1.00	0.17	0.37	0.78	0.98	0.69	0.89
				0.60	0.80	0.77	0.97	0.00	0.36
				0.89	1.00	0.74	0.94	0.10	0.50
						0.69	0.89	0.02	0.42
						0.32	0.52	0.26	0.46
						0.00	0.33	0.47	0.67
						0.10	0.50		
						0.11	0.51		
						0.09	0.49		

Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper
617 MHz		722 MHz		836.5 MHz		1575.42 MHz		1800 MHz	
						0.13	0.53		
						0.09	0.49		
						0.38	0.58		
						0.78	0.98		

Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper
2132.5 MHz		2450 MHz		3600 MHz		4700 MHz	
0.90	1.00	0.90	1.00	0.90	1.00	0.90	1.00
0.89	1.00	0.89	1.00	0.88	1.00	0.88	1.00
0.86	1.00	0.85	1.00	0.85	1.00	0.84	1.00
0.83	1.00	0.81	1.00	0.80	1.00	0.79	0.99
0.80	1.00	0.77	0.97	0.74	0.94	0.73	0.93
0.79	0.99	0.75	0.95	0.70	0.90	0.68	0.88
0.78	0.98	0.73	0.93	0.67	0.87	0.63	0.83
0.77	0.97	0.72	0.92	0.65	0.85	0.60	0.80
0.74	0.94	0.72	0.92	0.63	0.83	0.58	0.78
0.69	0.89	0.70	0.90	0.62	0.82	0.56	0.76
0.00	0.36	0.67	0.87	0.61	0.81	0.55	0.75
0.10	0.50	0.63	0.83	0.60	0.80	0.54	0.74
0.02	0.42	0.56	0.76	0.59	0.79	0.53	0.73
0.26	0.46	0.06	0.46	0.57	0.77	0.52	0.72
0.47	0.67	0.03	0.43	0.44	0.84	0.51	0.71
0.90	1.00	0.00	0.39	0.41	0.81	0.50	0.70
0.89	1.00	0.00	0.33	0.36	0.76	0.49	0.69
0.86	1.00	0.00	0.35	0.29	0.69	0.47	0.67
		0.28	0.48	0.21	0.61	0.45	0.65
		0.64	0.84	0.22	0.62	0.42	0.62
				0.00	0.49	0.38	0.58
				0.44	0.84	0.32	0.52
				0.27	0.67	0.15	0.55
				0.24	0.64	0.06	0.46
				0.08	0.58	0.00	0.38
				0.00	0.46	0.49	0.69
				0.00	0.46	0.06	0.46
				0.21	0.61	0.69	0.89
				0.20	0.60	0.33	0.53
				0.85	1.00	0.58	0.78
						0.53	0.73
						0.65	0.85
						0.77	0.97
						0.57	0.77
						0.00	0.29
						0.05	0.45
						0.12	0.52
						0.32	0.52
						0.63	0.83

C.4.5 Pass/Fail Criteria of Cross-polarization

This clause defines the pass/fail criteria of cross-polarization, this pass/fail limits apply for all channel models in all FR1 frequency bands, for both combined and individual beams.

The cross-polarization ratio pass/fail limit is specified as ±1dB.

C.4.6 Pass/Fail Criteria of Power validation

This clause defines the pass/fail criteria of power validation, this pass/fail limits apply for all channel models in all FR1 frequency bands.

The power validation pass/fail limit is specified as ±1.5 dB.

Annex D (normative): gNB configurations

D.1 FR1 gNB configurations

The gNodeB emulator parameters shall be set according to Table D.1-1 for FR1 common parameters, Table D.1-2 for FR1 FDD 2x2 test parameters, Table D.1-3 for FR1 TDD 2x2 test parameters, Table D.1-4 for FR1 FDD 4x4 test parameters, and Table D.1-5 for FR1 TDD 4x4 test parameters.

Table D.1-1: FR1 Common test parameters

Parameter		Unit	Value
PDSCH transmission scheme			Transmission scheme 1
Carrier configuration	Offset between Point A and the lowest usable subcarrier on this carrier (Note 2)	RBs	0
	Subcarrier spacing	kHz	15 or 30
DL BWP configuration #1	Cyclic prefix		Normal
	RB offset	RBs	0
	Number of contiguous PRB	PRBs	Maximum transmission bandwidth configuration as specified in clause 5.3.2 of 3GPP TS 38.101-1 [2] for tested channel bandwidth and subcarrier spacing
Common serving cell parameters	Physical Cell ID		0
	SSB position in burst		First SSB in Slot #0
	SSB periodicity	ms	20
	First DMRS position for Type A PDSCH mapping		2
PDCCH configuration	Slots for PDCCH monitoring		Each slot
	Symbols with PDCCH	Symbols	0, 1
	Number of PRBs in CORESET		Table 5.2-2 of 3GPP TS 38.101-4 [6] for tested channel bandwidth and subcarrier spacing
	Number of PDCCH candidates and aggregation levels		1/AL8
	CCE-to-REG mapping type		Non-interleaved
	DCI format		1_1
	TCI state		TCI state #1
Cross carrier scheduling			Not configured
CSI-RS for tracking	First subcarrier index in the PRB used for CSI-RS		k_0=0 for CSI-RS resource 1,2,3,4
	First OFDM symbol in the PRB used for CSI-RS		l_0 = 6 for CSI-RS resource 1 and 3 l_0 = 10 for CSI-RS resource 2 and 4
	Number of CSI-RS ports (X)		1 for CSI-RS resource 1,2,3,4
	CDM Type		'No CDM' for CSI-RS resource 1,2,3,4
	Density (ρ)		3 for CSI-RS resource 1,2,3,4
	CSI-RS periodicity	Slots	15 kHz SCS: 20 for CSI-RS resource 1,2,3,4 30 kHz SCS: 40 for CSI-RS resource 1,2,3,4
	CSI-RS offset	Slots	15 kHz SCS: 10 for CSI-RS resource 1 and 2 11 for CSI-RS resource 3 and 4 30 kHz SCS: 20 for CSI-RS resource 1 and 2 21 for CSI-RS resource 3 and 4
	Frequency Occupation		Start PRB 0 Number of PRB = BWP size
	QCL info		TCI state #0

Parameter			Unit	Value
NZP CSI-RS for CSI acquisition	First subcarrier index in the PRB used for CSI-RS			$k_0 = 0$
	First OFDM symbol in the PRB used for CSI-RS			$l_0 = 12$
	Number of CSI-RS ports (X)			Same as number of transmit antenna
	CDM Type			'FD-CDM2'
	Density (ρ)			1
	CSI-RS periodicity		Slots	15 kHz SCS: 20 30 kHz SCS: 40
	CSI-RS offset		Slots	0
	Frequency Occupation			Start PRB 0 Number of PRB = BWP size
	QCL info			TCI state #1
ZP CSI-RS for CSI acquisition	First subcarrier index in the PRB used for CSI-RS			$k_0 = 4$
	First OFDM symbol in the PRB used for CSI-RS			$l_0 = 12$
	Number of CSI-RS ports (X)			4
	CDM Type			'FD-CDM2'
	Density (ρ)			1
	CSI-RS periodicity		Slots	15 kHz SCS: 20 30 kHz SCS: 40
	CSI-RS offset		Slots	0
	Frequency Occupation			Start PRB 0 Number of PRB = BWP size
PDSCH DMRS configuration	Antenna ports indexes			{1000, 1001} for Rank 2 tests {1000-1003} for Rank 4 tests
	Number of PDSCH DMRS CDM group(s) without data			1 for Rank 2 tests 2 for Rank 4 tests
TCI state #0	Type 1 QCL information	SSB index		SSB #0
		QCL Type		Type C
	Type 2 QCL information	SSB index		N/A
		QCL Type		N/A
TCI state #1	Type 1 QCL information	CSI-RS resource		CSI-RS resource 1 from 'CSI-RS for tracking' configuration
		QCL Type		Type A
	Type 2 QCL information	CSI-RS resource		N/A
		QCL Type		N/A
PT-RS configuration				PT-RS is not configured
Maximum number of code block groups for ACK/NACK feedback				1
Maximum number of HARQ transmission				1
HARQ ACK/NACK bundling				Multiplexed
Redundancy version coding sequence				N.A
Precoding configuration				SP Type I, Random per slot with PRB bundling granularity
Symbols for all unused REs				OCNG clause A.5 of 3GPP TS 38.101-4 [9]
Minimum Number of Slots per Stream				20000 for 15kHz SCS 40000 for 30kHz SCS (Note 3)
Transmit Power Control			dBm	13
DL power level (RS EPRE of SSS)			dBm / SCS	Set at gNodeB simulator with correction from calibration
EPRE ratio of PDSCH to SSS			dB	0

NOTE 1: UE assumes that the TCI state for the PDSCH is identical to the TCI state applied for the PDCCH transmission.

NOTE 2: Point A coincides with minimum guard band as specified in Table 5.3.3-1 from 3GPP TS 38.101-1 [2] for tested channel bandwidth and subcarrier spacing.

NOTE 3: For FR1 MIMO OTA test lab alignments and FR1 MIMO OTA UE performance requirements, the following values can be used:
For FR1 bands >1GHz: 20k for 30kHz SCS, 10k for 15kHz SCS;
For FR1 bands <1GHz: [20k] for 15kHz SCS;

Table D.1-2: Test parameters for FR1 FDD 2x2

Parameter		Unit	Value
Duplex mode			FDD
Reference channel			R.PDSCH.1-3.1 FDD (Note)
Bandwidth		MHz	10
SCS		kHz	15
Modulation DL			64QAM
Modulation UL			QPSK
Active DL BWP index			1
PDSCH configuration	Mapping type		Type A
	k0		0
	Starting symbol (S)		2
	Length (L)		12
	PDSCH aggregation factor		1
	PRB bundling type		Static
	PRB bundling size		2
	Resource allocation type		Type 0
	RBG size		Config2
	VRB-to-PRB mapping type		Non-interleaved
	VRB-to-PRB mapping interleave bundle size		N/A
PDSCH DMRS configuration	DMRS Type		Type 1
	Number of additional DMRS		1
	Maximum number of OFDM symbols for DL front loaded DMRS		1
CSI-RS for tracking	CSI-RS periodicity	Slots	20
	CSI-RS offset	Slots	Table 5.2-1 [3]
Number of HARQ Processes			4
The number of slots between PDSCH and corresponding HARQ-ACK information			2
NOTE: "R.PDSCH.1-3.1 FDD" is defined in Table A.3.2.1.1-3 of 3GPP TS 38.101-4 [6].			

Table D.1-3: Test parameters for FR1 TDD 2x2

Parameter		Unit	Value
Duplex mode			TDD
Reference channel			R.PDSCH.2-3.1 TDD (Note 1)
Bandwidth		MHz	40
SCS		kHz	30
Modulation DL			64QAM
Modulation UL			QPSK
Active DL BWP index			1
PDSCH configuration	Mapping type		Type A
	k0		0
	Starting symbol (S)		2
	Length (L)		Specific to each Reference channel
	PDSCH aggregation factor		1
	PRB bundling type		Static
	PRB bundling size		2
	Resource allocation type		Type 0
	RBG size		Config2
	VRB-to-PRB mapping type		Non-interleaved
	VRB-to-PRB mapping interleave bundle size		N/A
PDSCH DMRS configuration	DMRS Type		Type 1
	Number of additional DMRS		1
	Maximum number of OFDM symbols for DL front loaded DMRS		1
CSI-RS for tracking	First OFDM symbol in the PRB used for CSI-RS		Table 5.2-1 [3]
	CSI-RS periodicity	Slots	40
	CSI-RS offset	Slots	Table 5.2-1 [3]
Number of HARQ Processes			8

Parameter		Unit	Value
TDD UL-DL pattern			FR1.30-1 (Note 2)
NOTE 1: "R.PDSCH.2-3.1 TDD" is defined in Table A.3.2.2.2-3 of 3GPP TS 38.101-4 [6]. NOTE 2: "FR1.30-1" is defined in Annex A.1.2 of 3GPP TS 38.101-4 [6].			

Table D.1-4: Test parameters for FR1 FDD 4x4

Parameter		Unit	Value
Duplex mode			FDD
Reference channel			R.PDSCH.1-2.4 FDD (Note)
Bandwidth		MHz	10
SCS		kHz	15
Modulation DL			16QAM
Modulation UL			QPSK
Active DL BWP index			1
PDSCH configuration	Mapping type		Type A
	k0		0
	Starting symbol (S)		2
	Length (L)		12
	PDSCH aggregation factor		1
	PRB bundling type		Static
	PRB bundling size		2
	Resource allocation type		Type 0
	RBG size		Config2
	VRB-to-PRB mapping type		Non-interleaved
	VRB-to-PRB mapping interleave bundle size		N/A
PDSCH DMRS configuration	DMRS Type		Type 1
	Number of additional DMRS		1
	Maximum number of OFDM symbols for DL front loaded DMRS		1
CSI-RS for tracking	CSI-RS periodicity	Slots	20
	CSI-RS offset	Slots	Table 5.2-1 [3]
Number of HARQ Processes			4
The number of slots between PDSCH and corresponding HARQ-ACK information			2
NOTE: "R.PDSCH.1-2.4 FDD" is defined in Table A.3.2.1.1-2 of 3GPP TS 38.101-4 [6].			

Table D.1-5: Test parameters for FR1 TDD 4x4

Parameter		Unit	Value
Duplex mode			TDD
Reference channel			R.PDSCH.2-2.4 TDD (Note 1)
Bandwidth		MHz	40
SCS		kHz	30
Modulation DL			16QAM
Modulation UL			QPSK
Active DL BWP index			1
PDSCH configuration	Mapping type		Type A
	k0		0
	Starting symbol (S)		2
	Length (L)		Specific to each Reference channel
	PDSCH aggregation factor		1
	PRB bundling type		Static
	PRB bundling size		2
	Resource allocation type		Type 0
	RBG size		Config2
	VRB-to-PRB mapping type		Non-interleaved
	VRB-to-PRB mapping interleave bundle size		N/A
PDSCH DMRS configuration	DMRS Type		Type 1
	Number of additional DMRS		1
	Maximum number of OFDM symbols for DL front loaded DMRS		1

Parameter		Unit	Value
CSI-RS for tracking	First OFDM symbol in the PRB used for CSI-RS		Table 5.2-1 [3]
	CSI-RS periodicity	Slots	40.
	CSI-RS offset	Slots	Table 5.2-1 [3]
Number of HARQ Processes			8
TDD UL-DL pattern			FR1.30-1 (Note 2)
NOTE 1: "R.PDSCH.2-2.4 TDD" is defined in Table A.3.2.2.2-2 of 3GPP TS 38.101-4 [6]. NOTE 2: "FR1.30-1" is defined in clause A.1.2 of 3GPP TS 38.101-4 [6].			

Annex E (normative): Environmental requirements

E.1 Scope

The requirements in this clause apply to all types of UE(s) in FR1.

E.2 Ambient temperature

All the MIMO OTA requirements are applicable in room temperature e.g. 25°C.

E.3 Operating voltage

For FR1 MIMO OTA, all test cases shall be performed in the normal voltage condition with the DUT operated in stand-alone battery powered mode. No extreme voltage testing is required. It is recommended to start testing with a fully charged battery and conclude and/or pause testing before the battery has completely lost its charge.

Annex F (normative): Maximum uncertainty of test system and test tolerance

F.1 Maximum uncertainty of test system

The maximum acceptable uncertainty of the Test System is specified in Table F.1-1 for each test, where appropriate. The Test System shall enable each test to be measured with an uncertainty not exceeding the specified values. All ranges and uncertainties are absolute values and are valid for a confidence level of 95 %.

In Annex B, the estimation of measurement uncertainty is defined.

Table F.1-1: Maximum Test System uncertainties for MIMO OTA tests

Clause	Maximum Test System Uncertainty	Derivation of Test System Uncertainty
6.1.2 Total Radiated Multi-Antenna Sensitivity (TRMS)	±2.61 dB for ≤3GHz ±2.65 dB for >3GHz	Refer to Annex B

F.2 Test tolerances

Test tolerances in Table F.2-1 are used to relax the Minimum Requirements in the present document and to derive the Test Requirements.

Table F.2-1: Test Tolerances for OTA tests

Clause	Test Tolerance
6.1.2 Total Radiated Multi-Antenna Sensitivity (TRMS)	1dB for ≤3GHz 1dB for >3GHz

Annex G (informative): Change history

Change history							
Date	**Meeting**	**TDoc**	**CR**	**Rev**	**Cat**	**Subject/Comment**	**New version**
2022-11	RAN5#97	R5-227308	-	-	-	Initial Skeleton	0.0.1
2023-03	RAN5#98	R5-230842 R5-230843 R5-230844 R5-231803 R5-230847 R5-230848 R5-231804	-	-	-	Implementation of pCRs into TS 38.551 V0.1.0	0.1.0
2023-05	RAN5#99	R5-232699 R5-232701 R5-232702 R5-232703 R5-232704	-	-	-	Implementation of pCRs into TS 38.551 V0.2.0	0.2.0
2023-08	RAN5#100	R5-234597 R5-234598 R5-234599 R5-234600 R5-234601 R5-234602 R5-234603 R5-234604	-	-	-	Implementation of pCRs into TS 38.551 V0.3.0	0.3.0
2023-11	RAN5#101	R5-237844 R5-237846 R5-236788 R5-237845	-	-	-	Implementation of pCRs into TS 38.551 V0.4.0	0.4.0
2023-12	RAN#102	RP-233639	-	-	-	presented at RAN#102 for 1-step approval	1.0.0
2023-12	RAN#102	-	-	-	-	put under revision control as v17.0.0 with small editorial changes	17.0.0
2024-03	RAN5#102	R5-240598	0002	-	F	Correction and alignment of Annex B title	17.1.0
2024-03	RAN5#102	R5-240599	0003	-	F	Further clarifications in Annex A such as MPAC description and coordinate system	17.1.0
2024-03	RAN5#102	R5-241416	0009	-	F	Add missing abbreviations	17.1.0
2024-03	RAN5#102	R5-241934	0001	1	F	Introduce Annex for maximum uncertainty of test system and test tolerance	17.1.0
2024-03	RAN5#102	R5-241935	0004	1	F	Align Test Case Structure to typical RAN5 spec	17.1.0
2024-03	RAN5#102	R5-241936	0005	1	F	Editorial update on Annex C	17.1.0
2024-03	RAN5#102	R5-241937	0006	1	F	Editorial update on Annex E	17.1.0
2024-03	RAN5#102	R5-241938	0007	1	F	Editorial update on clause 3	17.1.0
2024-03	RAN5#102	R5-241939	0008	1	F	Editorial update on clause 4	17.1.0
2024-06	RAN5#103	R5-243227	0011	-	F	CR to TS 38.551 on Annex C, editorial	17.2.0
2024-06	RAN5#103	R5-243230	0012	-	F	CR to TS 38.551 on Annex G, editorial	17.2.0
2024-06	RAN5#103	R5-243232	0013	-	F	CR to TS 38.551 on EUT positioning	17.2.0
2024-06	RAN5#103	R5-243233	0014	-	F	CR to TS 38.551 on section 6.1.2	17.2.0
2024-06	RAN5#103	R5-243312	0015	-	F	Clarification of Calibration and Ripple Test Procedures	17.2.0
2024-06	RAN5#103	R5-243313	0016	-	F	Clarification of Channel Model Coordinate System and Probe Placement	17.2.0
2024-06	RAN5#103	R5-243800	0010	1	F	CR to TS 38.551 on Annex A.2.3	17.2.0
2024-09	RAN#105	R5-244445	0018	-	F	Clarification of voltage environmental requirement	17.3.0

History

Document history		
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