

European Patent Office



Standards as Prior Art at the European Patent Office

René Bourbon SOS Interop 3 21 February 2006





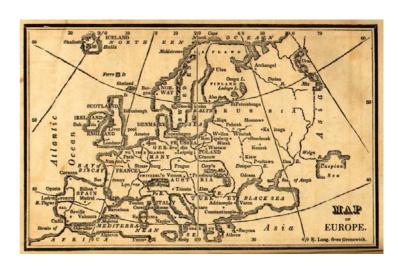
Roadmap

- The European Patent Office
- Standards as prior art
- Patents searchable on Internet for free



A bit of history: a European idea

- 1958 Treaty of Rome
- 1960 Idea of a European Patent
- 1973 Convention signed
- 1977 European Patent Office





Example of an international patent procedure when the EPO did not exist











First filing followed by geographic extensions

PATENT SPECIFICATION (11)

1 543 602

- (21) Application No. 19618/76 (22) Filed 12 May. 1976
- (31) Convention Application No. 7514808 (32) Filed 13 May, 1975 in
- (33) France (FR)

- (44) Complete Specification Published 4 Apr. 1979
- (51) INT. CL.² G06K 19/00
- (52) Index at Acceptance

G4H 13D 14A 14B 14D 1A TG

B6A GA

(72) Inventor: ROLAND MORENO



(54) IMPROVEMENTS IN SYSTEMS FOR **STÓRING AND TRANSFERRING DATA**

We, SOCIETE INTERNATIONALE POUR L'INNOVATION, a French Societe Anonyme of 141 Boulevard de Sebastopol, Paris, Seine, France., do hereby declare the invention, for which we pray that a patent may be granted to us, and the method by which it is to be performed, to be particularly described in and by the following statement:-

The present invention relates to systems of storing and transferring data confidentially and personally by means of portable independent electronic objects; it refers more particu-

larly to these portable electronic objects.



The Smart Card Patent procedure

National patents

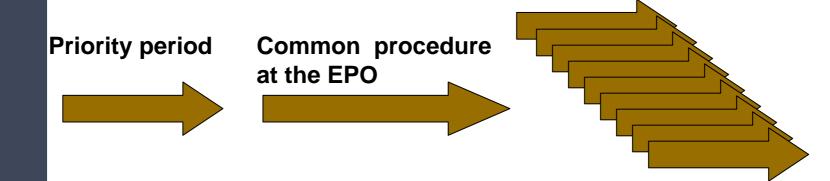
12 months

Priority



The European Patent System: the european route

National Patents





3 main steps: Search, Examination and Opposition

 Search: retrieves relevant documents published before the filing date of the patent

 Examination: iterative process to establish the scope of protection of the patent taking into account the relevant documents found

Opposition: 9 months after granting



Striving at granting quality patents

- Patentability conditions: Novelty and Inventive
 Step
- Only significant inventions get patented
- Not all innovations are inventions



What advantages does a European patent have?

Unitary protection standards in the contracting states

- One application/one language
- One procedure = less administration

Cost-effective

Costs less than 3 separate national patents

Strong

- Thorough search one of the largest repositories of scientific and technical information
- Substantive examination = sound legal protection

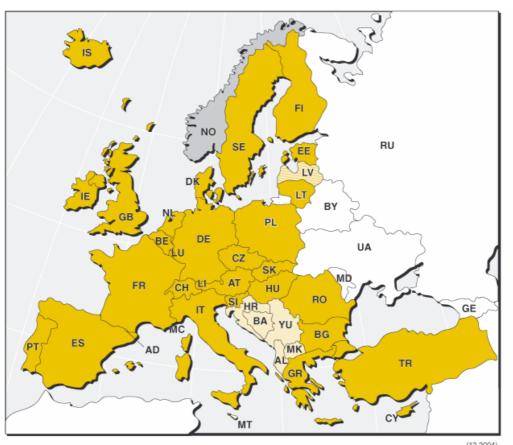


Facts and Figures

- In 2005: 195 000 filings
- 6 300 staff members 3 500 examiners
 (Munich-The Hague-Berlin-Vienna)
- 55 million patent documents in its database



European Patent Organisation: Member States





EPO member states

AT Austria, BE Belgium, BG Bulgaria, CH Switzerland, CY Cyprus, CZ Czech Republic, DE Germany, DK Denmark, EE Estonia, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, HU Hungary, IE Ireland, IS Iceland, IT Italy, LI Liechtenstein, LT Lithuania, LU Luxembourg, MC Monaco, NL Netherlands, PL Poland, PT Portugal, RO Romania, SE Sweden, SI Slovenia, SK Slovakia, TR Turkey



States entitled to join the EPC NO Norway



States which have been invited to join the EPC LV Latvia, MT Malta



States recognising European patents ("EXTension states")

AL Albania, BA Bosnia-Herzegovina, HR Croatia, LV Latvia, MK Former Yugoslav Republic of Macedonia (FYROM), YU Serbia and Montenegro

(12.2004)



Standards as Prior Art

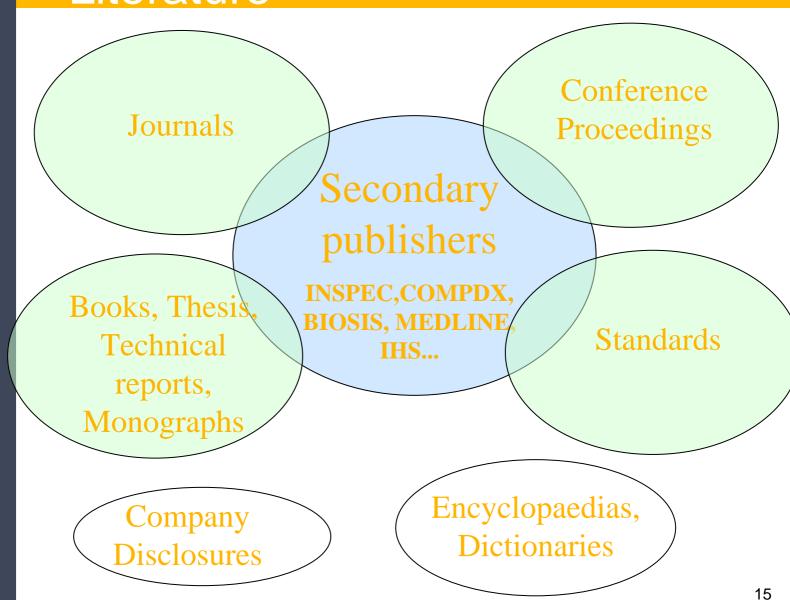


Why Standards for search?

- Standards are prior art or part of the state of the art:
- Art 54 (2) EPC: The state of the art shall be held to comprise everything made available to the public by means of a written or oral description, by use, or any other way, before the date of filing of the European Patent Application
- Patent and Non-Patent literature



Standards part of Non-Patent Literature





Fields where Standards are important as Prior Art

 Telecommunications (ETSI, ITU, EIA/TIA, IETF, ATIS, IEEE...)

Audio Video (MPEG, JPEG, DVB...)

Electronics (SEMI)



Why Drafts of Standards for search?

- Drafts and working documents brought in a meeting belong to the state of the art:
- *** T 202/97: "Mit einer Tagesordnung an Mitglieder einer internationalen Normenausschußarbeitsgruppe versandter Normungsvorschlag zur Vorbereitung einer Normen-Sitzung unterliegt gewöhnlich nicht der Geheimhaltung und gilt daher als der Öffentlichkeit zugänglich".
- Exception: Confidentiality agreements



Most cited articles

- 3 most cited articles in 2005 were all in Biotechnology:
- 1. Functional anatomy of siRNAs for mediating efficient RNAi in Drosophila melanogaster embryo lysate (48 times)
- 2. Crystallime polymorphisme of organic compounds (26 times)
- 3. RNA interference is mediated by 21- and 22-nucleotide RNAs (26 times)
- 1 technical standard was cited 19 times:
- TEXT OF FINAL COMMITTEE DRAFT OF JOINT VIDEO SPECIFICATION (ITU-T REC. H.264 / ISO/IEC 14496-10 AVC)MPEG02/N4920



Advantages for SDOs

 Avoid granting patents filed after a disclosure in a standardisation meeting

 Avoid "Forgent" type cases: company asking license fees for patent based on the JPEG standard (?)

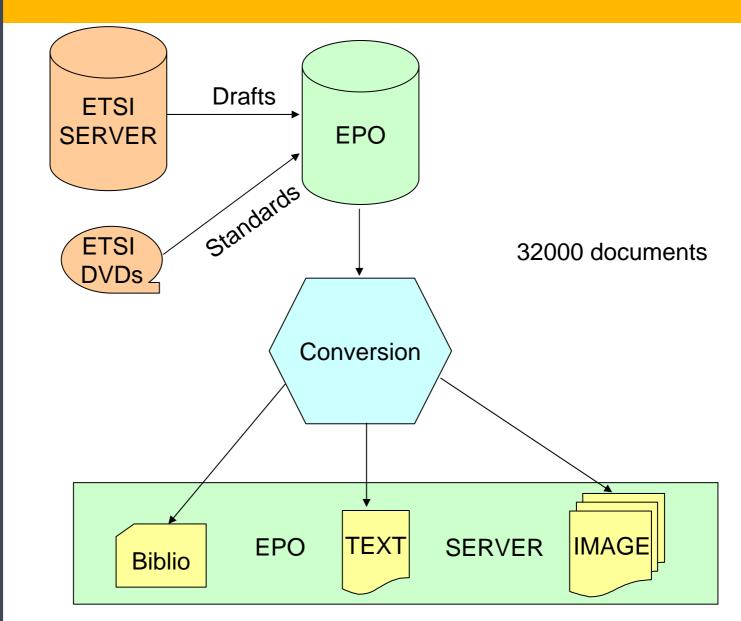


History and status with ETSI

- 2002: Subscription to ETSI Standards (EDS)
- 2003: Membership
- 2003: Acquisition of drafts from ETSI server
- 2004: XPETSI database at EPO with Standards and Drafts
- November 2005: Authorisation from ETSI to give access to the National Offices of EPO Member States



XPETSI Database: process



XPETSI Database record

- 1/31496 (C) XPETSI/ETSI
- AN XP014031695
- COPY- European Telecommunications Standards Institute 2005
- DT Standard Working Draft
- IW access; ADSL; HĎSL; ISDN; local loop; modem
- PUB Technical Report
- 2005-12
- European Telecommunications Standards Institute (ETSI) 650 Route des Lucioles, Sophia Antipolis CEDEX, F-06921, France
- STDN Draft ETSI TR 101 830-1
- TI Transmission and Multiplexing (TM); Access networks; Spectral management on metallic access networks; Part 1: Definitions and signal library
- **TXT Copyright Notification**
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- registered by ETSI for the benefit of its Members.
- 3GPP TM is a Trade Mark of ETSI registered for the benefit of its
- Members and of the 3GPP Organizational Partners.....



Security aspects

- Database on EPO secure server
- Database strictly limited to internal use and for patent granting purposes only



EPO databases of Standards

- XPETSI (Standards+ Drafts)
- XPIETF (Standards + Drafts)
- XPJPG (JPEG Standards and Drafts)
- XPETIA (Standards)
- To come: ITU, MPEG, IEEE, ATIS, DVB, SEMI...



Next Steps

 Harmonise approach with Trilateral partners: USPTO and JPO

 Organise meeting with main SDOs to inform them and cooperate

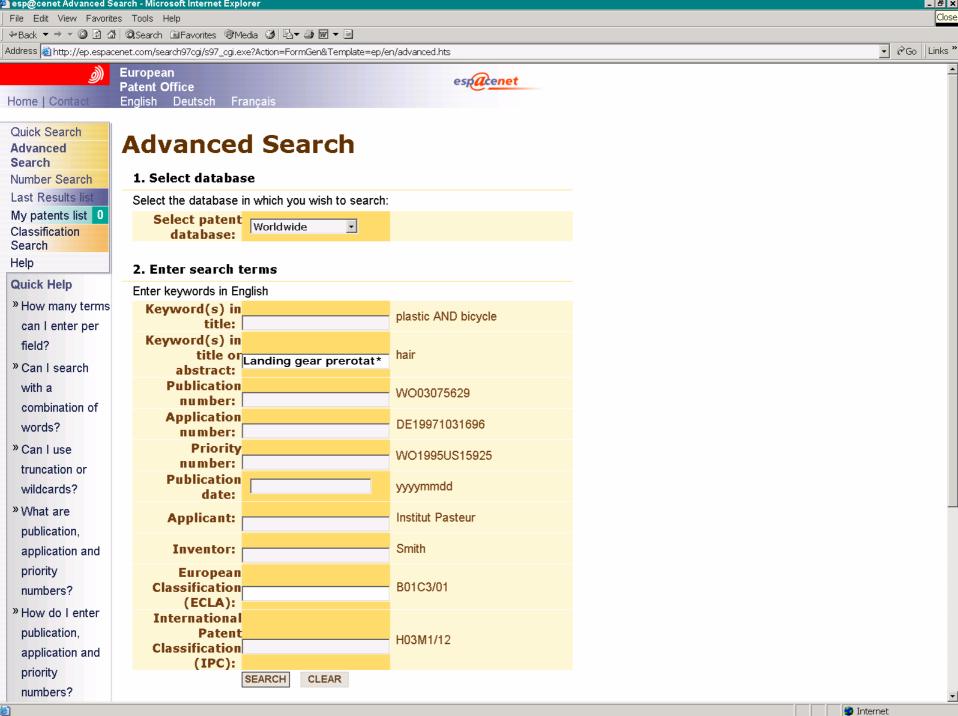


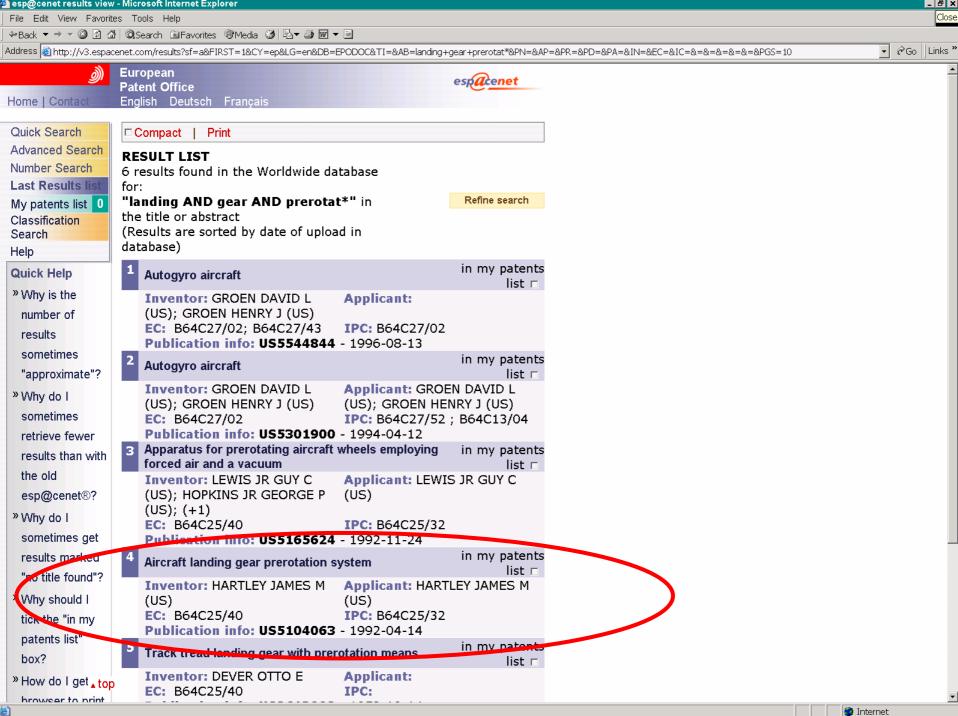
Patents on Internet

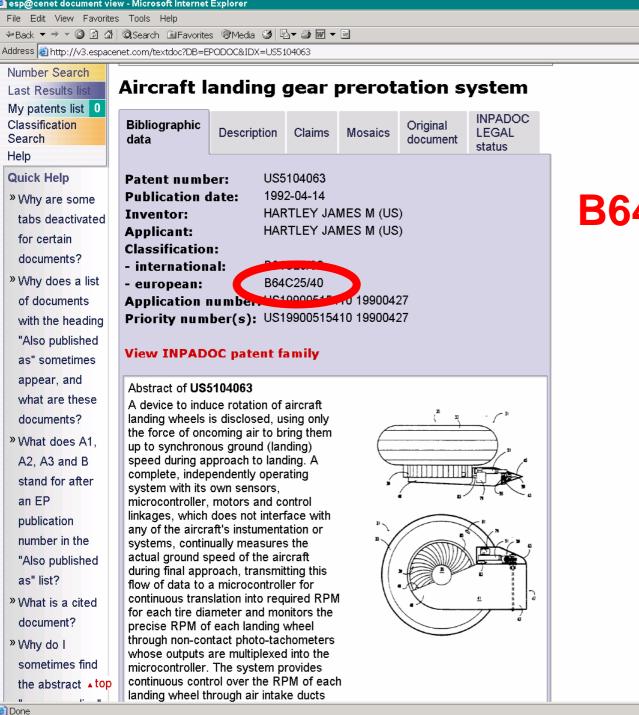


Espacenet

- 55 million worldwide patents (free)
- www.espacenet.com



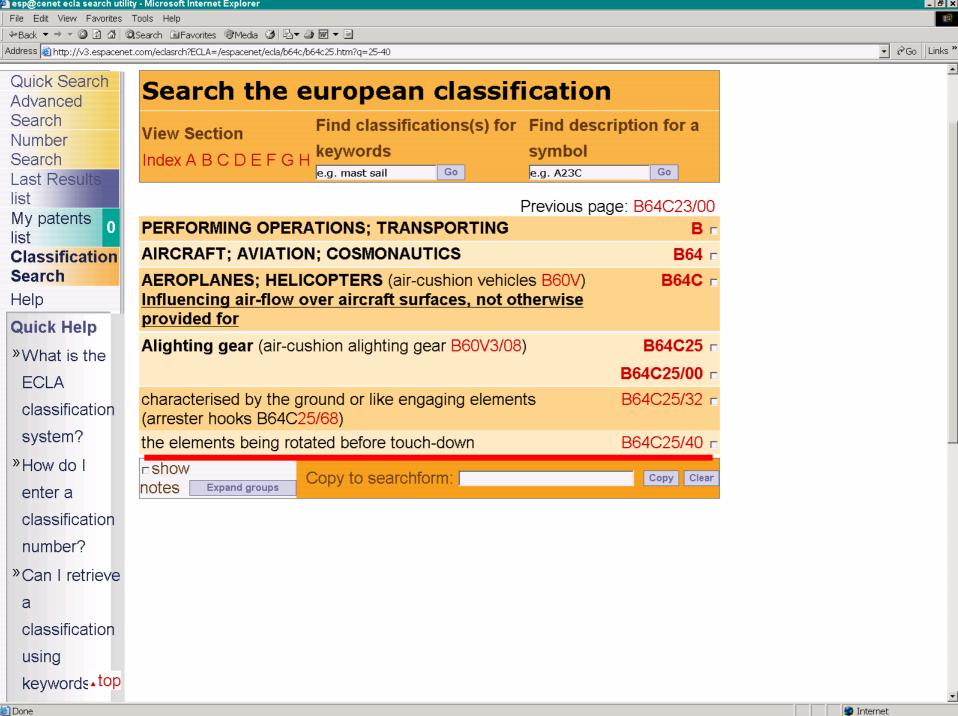


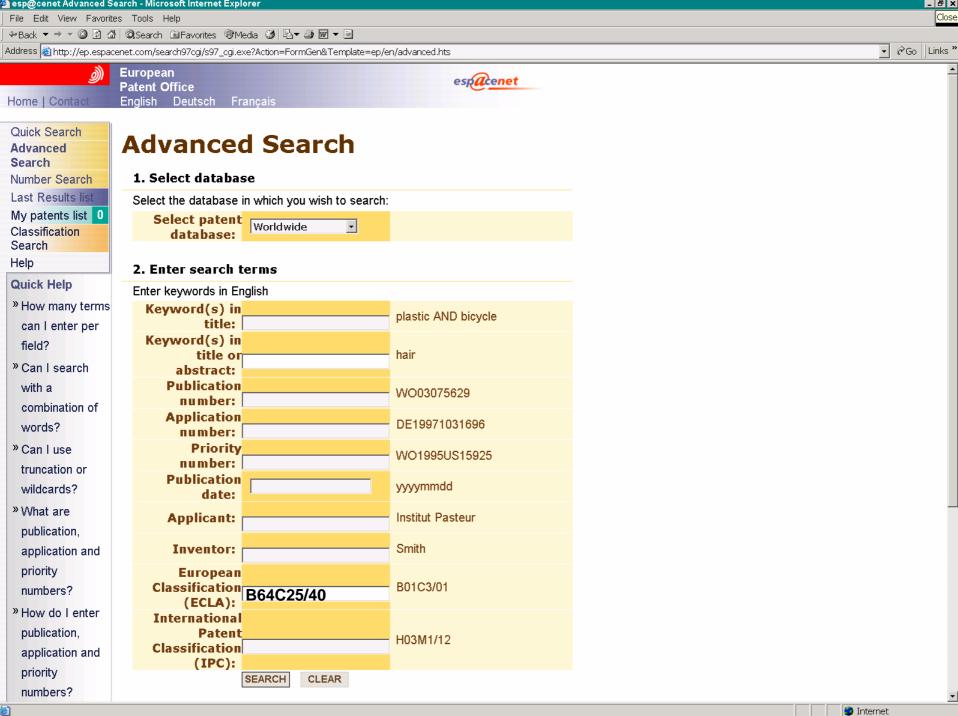


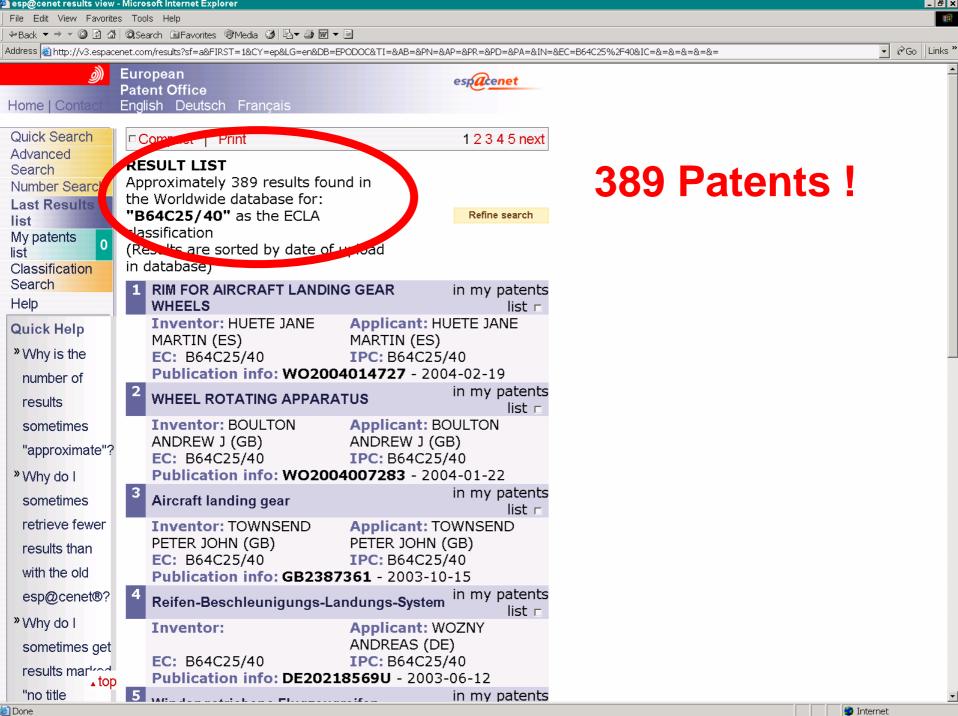
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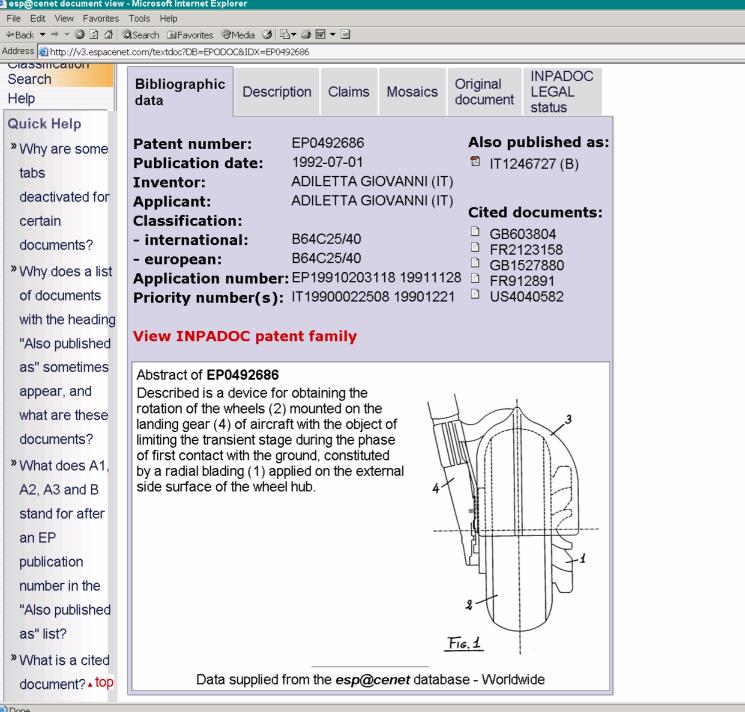
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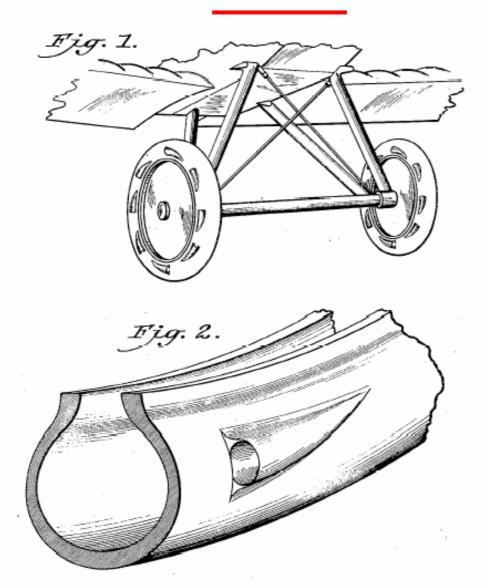
Nov. 24, 1931.

J. A. FAUCHER ET AL

1,833,019

AIRPLANE TIRE

Filed Nov. 1, 1929





European Patent Office

Thank you for your attention www.european-patent-office.org

René Bourbon Interop 3 21 February 2006